8	Yes N	child	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	sets, "unearned" in Do not answer "ye	Exemptions Have you excluded from this report any other assets, "unearned" income, transactions, or liberals because they meet all three tests for exemption? Do not answer "yes" unless you have first Standards of Official Conduct.	
₹ K	Yes	epted	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	ved by the Committed from this report of the committed from the commit		
	ONS	ESTI	INFORMATION ANSWER EACH OF THESE QUESTIONS	IST INFORMA	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST	I _ !
		, in	schedule attached for each "Yes" response		If yes, complete and attach Schedule V.	
riate	d the appropr	ed and	Each question in this part must be answered and the appropriate	Yes 🗸 No	Did you, your spouse, or a dependent child have any reportable liability V. (more than \$10,000) during the reporting period?	
			If yes, complete and attach Schedule IX.		If yes, complete and attach Schedule IV.	1
₹	Yes	ın outsic	Did you have any reportable agreement or arrangement with an outside IX. entity?	Yes 🗸 No	, your spouse, or dependent child purchase, sell, or exchange any ble asset in a transaction exceeding \$1,000 during the reporting	
		:	If yes, complete and attach Schedule VIII.	<u> </u>	If yes, complete and attach Schedule III.	
8	n the Yes	f filing in	Did you hold any reportable positions on or before the date of filing in the VIII. current calendar year?	Yes V No	Did you, your spouse, or a dependent child receive "unearned" income of III. more than \$200 in the reporting period or hold any reportable asset worth	
	-		from one source)? If yes, complete and attach Schedule VII.	<u> </u>	If yes, complete and attach Schedule II.	
₹ <u><</u>	or Yes	bable transtant \$3	Did you, your spouse, or a dependent child receive any reportable travel or VII. reimbursements for travel in the reporting period (worth more than \$335)	Yes No 🗸	Did any individual or organization make a donation to charity in lieu of paying II. you for a speech, appearance, or article in the reporting period?	_
!	!	 	If yes, complete and attach Schedule VI.	-	If yes, complete and attach Schedule I.	_
₹ <u>₹</u>]	ft in Yes	able gift otherwi	Did you, your spouse, or a dependent child receive any reportable gift in VI. the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	Yes 🗸 No 🔄	Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	
			QUESTIONS	OF THESE	PRELIMINARY INFORMATION ANSWER EACH	
	te.	late	ion	Termination	Type	
ays	more than 30 days	3 E	Termination Date:	-	Report	
shall lainst	A \$200 penalty shall be assessed against anyone who files	<u> </u>	Officer Or Employing Office:		Filer Member of the U.S. State: CA Status House of Representatives District: 31	
nly)	(Office Use Only)	!	(Daytime Telephone)		(Full Name)	_
: 16	20 0 JUH 15 PH 1: 16		202-225-6235 7		Xavier Becerra	1
#\ 	AT ME RESOURCE CHAIN	A				_
ERED	DELIVERED	L	For use by Members, officers, and employees	TATIVES	UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT	
	HAND					7

SCHEDULE I - EARNED INCOME

Name Xavier Becerra

Page 2 of 18

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Туре	Amount
USC School of Medicine	Spouse Salary	N/A
Los Angeles Biomedical Research Institute Spouse Medical Presentation	Spouse Medical Presentation	\$125
State of California, Administration of the Courts	Spouse Training Presentation	\$400
Center for Families, Children and the Courts		-

ŧ	1	١
ì	ŕ	í
3		•
i	4	
Ī	1	
ζ	_	,
(
ſ	-	
ľ	T	1
	_	
=		
	Ŀ	
٦		
į	í	,
ì	,	í
ì	′	,
ı	I	ļ
7		1
•	,	
1	þ	۰
2	2	,
ī	=	1
•		_
,	-	
•		
4	4	_
֜֝֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜	Į	
Į	ŀ	۰
7	Į	J
2	2	
ľ	Ī	j
t	_	j
•		:
	_	
2	2	
Ć	•)
ì		į
è	ï	í

Ĭ Name Xavier Becerra Page 3 of 18

JT	DC	SP	j.	JT	JT	If you so cho that of your s in the option	Exclude: You debt owed to parent or sib savings according savings according to the savings accor	ASS Identify (a) e. a fair market and (b) any o than \$200 in land, provide mutual funds retirement plin which you investments) in the accouplans that an and its value that is not puits activities, information,
American Funds Investment Co. Of Amer F (AICFX)	American Funds Investment Co. (AICFX)	American Chartered Bank - CD	Alliance Bernstein Intl. (AWPAX)	101 North Carolina Place, SE Apt. H, Washington, DC	1005 Mooney Drive Monterey Park, CA	If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.	Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.
None	None	\$1,00	None	\$100,001 \$250,000	\$100,001 \$250,000			Ye Value at close year. If valuatio other th value, the met! asset w. included it is gen the valu "None."
· ·		\$1,001 - \$15,000 INTEREST		,001 - ,000	,001 - ,000			Year-End Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."
DIVIDENDS/CAPI TAL GAINS	DIVIDENDS/CAPI TAL GAINS	INTEREST	DIVIDENDS/CAPI TAL GAINS	RENT	RENT		o .	Type of Income Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and Interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.
NONE	NONE	\$1 - \$200	NONE	\$1,001 - \$2,500	\$5,001 - \$15,000			Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.
· σ	S		S					BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	OME Name Xavier Becerra	cerra		Page 4 of 18
DC	American Funds Money Funds - 529 (formerly Cash Management of America)	\$1,001 - \$15,000	DIVIDENDS	NONE	
JT	American Funds New Perspective (NPFFX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	PS(part)
DC	American Funds New Perspective (NPFFX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
DC	Blackrock Mid Cap Value	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
J	Blackrock Mid Cap Value	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	PS(part)
S d	Bridgeview Bank - CD	None	INTEREST	\$1 - \$200	W
\	California State Bonds	None	INTEREST	\$201 - \$1,000	Ø
SP	Capital One Bank - CD	\$1,001 - \$15,000	INTEREST	\$1 - \$200	0
DC	Capital World Growth & Income (CWGFX)	\$1,001 - \$15,000	DIVIDENDS/CAPI TAL GAINS	\$1 - \$200	S(part)
 JT	Capital World Growth & Income (CWGFX)	\$1,001 - \$15,000	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	PS(part)
DC	Capmark Bank - CD	\$1,001 - \$15,000	INTEREST	\$1 - \$200	ס
Ţ	Chase - Checking (formerly Washington Mutual)	\$1,001 - \$15,000 INTEREST	INTEREST	NONE	
SP	Citi Bank NA - CD	\$1,001 - \$15,000	INTEREST	\$1 - \$200	סי
SP	Citizens First Bank - CD	None	INTEREST	\$1 - \$200	S

JŢ	Congressional Federal Credit Union - Checking & Savings	\$1,001 - \$15,000 INTE	INTEREST	NONE
SP	Discover Bank - CD (25469JJY6)	\$1,001 - \$15,000 INTEREST	INTEREST	\$1 - \$200
SP	Discover Bank - CD (25469JSP5)	\$1,001 - \$15,000	INTEREST	NONE
DC	Discover Bank - CD (5989246)	None	INTEREST	\$1 - \$200
İ	Discover Bank - CD (5989247)	None	INTEREST	\$1 - \$200
DC	Discover Bank - CD (5989247)	None	INTEREST	\$201 - \$1,000
S P	First Banking - CD	None	INTEREST	\$1 - \$200
DC	First National Bank of Olathe -	None	INTEREST	\$1 - \$200
გ	Firstbank Pr - CD	None	INTEREST	\$1 - \$200
DC :	Fundamental Investors - 529	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
JT	G Reit Inc. Real Estate	\$1,001 - \$15,000	DIVIDENDS	NONE
SP	GE Capital - Bond (36962G2S2)	\$1,001 - \$15,000	INTEREST	\$1 - \$200
:	GE Capital - Bond (36962G3F9)	\$1,001 - \$15,000	INTEREST	\$1 - \$200
DC	GE Capital - Bond (36962GL7)	\$1,001 - \$15,000	N	NONE

SCHEDULE III - ASSETS AND "UNEARNED" INCOME SP J \vdash \vdash **_** ۲ 00 DC 00 DC 00 00 Health Care Reit Inc. (HCN) 4.00% Healthcare Trust of America Health Care Reit Inc. (HCN) **Growth Fund of America Class** Imperial Capital Bank - CD 3.95 % Horizon Bank - CD Hanford, Calif. - Bonds Grubb & Ellis Apartment REI1 **Growth Fund of America Class** Great Southern Bank - CD Goldman Sachs Bank - CD (36962GR30) General Electric Cap Cp - Bond (36159UFC5) GE Money Bank - CD Imperial Capital Bank - CD Healthcare REIT) (formerly Grubb & Ellis \$15,001 -\$50,000 \$15,001 -\$50,000 None None None None None \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 INTEREST \$1,001 - \$15,000 INTEREST Name Xavier Becerra DIVIDENDS INTEREST DIVIDENDS DIVIDENDS INTEREST DIVIDENDS DIVIDENDS INTEREST INTEREST DIVIDENDS INTEREST INTEREST \$201 - \$1,000 \$1 - \$200 \$201 - \$1,000 \$1 - \$200 \$1,001 - \$2,500 \$201 - \$1,000 \$1 - \$200 \$1 - \$200 \$1 - \$200 \$1 - \$200 \$201 - \$1,000 \$201 - \$1,000 \$1 - \$200 \$1 - \$200 S σ ס ഗ ഗ ഗ S U U PS(part) Page 6 of 18

1	5
3	Ĭ
ì	П
1	$\overline{\Box}$
(⊆
i	
2	=
•	7
1	>
į	Š
ì	S
	ij
(S
i	=
•	ر <u>ء</u>
(₫
i	Z
	6
- 1	D
	Z
ŀ	Щ
1	۲
	=
	ก
(Ó
3	Ζ
	П

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	E Name Xavier Becerra	сегта		Page 7 of 18
DC	Income Fund of America - 529	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
DC	ING Corporate Leaders (LCX1Z)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	סד
JT	ING Corporate Leaders (LCX1Z)	\$15,001 - \$50,000	DIVIDENDS/CAPI TAL GAINS	\$5,001 - \$15,000	PS(part)
DC	Investment Company of America - 529	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Irwin Union Bank - CD	None	INTEREST	\$1 - \$200	S
DC	JP Morgan Chase - Bond	\$1,001 - \$15,000	INTEREST	\$1 - \$200	 סי
ļ	JP Morgan Chase - Bond	\$1,001 - \$15,000	INTEREST	\$1 - \$200	ר סי
DC	Marsico 21st Century Fund	\$1,001 - \$15,000	DIVIDENDS	NONE	
JT	Marsico 21st Century Fund	\$15,001 - \$50,000	DIVIDENDS	NONE	PS(part)
SP P	Mercantle Bank - CD	None	INTEREST	\$1 - \$200	<u></u> တ
DC	Midfirst Bank - CD	\$1,001 - \$15,000	INTEREST	NONE	ַ ס
DC	Mutual Discovery Fund C1 A (TED1X)	\$1,001 - \$15,000	DIVIDENDS/CAPI TAL GAINS	\$1 - \$200	S(part)
Ţ	Mutual Discovery Fund C1 A (TED1X)	\$1,001 - \$15,000		\$1,001 - \$2,500	PS(part)
DC	New Frontier Bank - CD	None	INTEREST	\$1 - \$200	

SCHEDULE III
- ASSETS AND
"UNEARNED"
INCOME

SCHEDUI	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Xavier Becerra	сегта		Page 8 of 18
DC	New Perspective Fund - 529C	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Orange County, CA Bonds	None	INTEREST	\$201 - \$1,000	S
DC	Peoples Bank GA - CD	None	INTEREST	\$1 - \$200	S
DC	Profinium Financial - CD	\$1,001 - \$15,000	INTEREST	\$1 - \$200	ا ت
DC	Rainier Small / Mid Cap	\$1,001 - \$15,000	DIVIDENDS/CAPI TAL GAINS	NONE	. — -
JT	Rainier Small / Mid Cap	\$1,001 - \$15,000	DIVIDENDS	NONE	PS(part)
JT	San Francisco, CA Bonds	None	INTEREST	\$201 - \$1,000	S
T	San Juan, CA Unified School District Bonds	None	INTEREST	\$201 - \$1,000	S
DC	Schwab Money Fund (SWUXX)	\$1,001 - \$15,000	DIVIDENDS	NONE	
JT	Schwab Money Market Fund	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
DC	Small Cap World Fund - 529C	\$1,001 - \$15,000	None	NONE	- · <u></u>
SP	SW St Louis Bank - CD	\$1,001 - \$15,000	INTEREST	\$1 - \$200	ט ו
DC	Tennessee Commerce Bank -	\$1,001 - \$15,000	None	NONE	ס
JT	Washington Mutual Inv. Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	PS(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

SP D_C Wells Fargo Bank - Bond Washington Mutual Investor Fund \$15,001 -\$50,000 \$1,001 - \$15,000 INTEREST Name Xavier Becerra DIVIDENDS NONE \$201 - \$1,000 ט Page 9 of 18

ł

Name Xavier Becerra

Page 10 of 18

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC	Capmark Bank - CD	ס	N/A	2-26-09	\$1,001 - \$15,000
DC	GE Capital - Bond (36962GL7)	יס ו	N/A	12-28-09	\$1,001 - \$15,000
DC	General Electric Cap Cp - Bond (36962GR30)	סד	N/A	4-22-09	\$1,001 - \$15,000
DC	Goldman Sachs Bank - CD	ס	N/A	9-16-09	\$1,001 - \$15,000
DC	JP Morgan Chase - Bond	T	N/A	7-6-09	\$1,001 - \$15,000
DC	Midfirst Bank - CD	ס	N/A	12-28-09	\$1,001 - \$15,000
DC	Profinium Financial - CD	טר : :	N/A	2-26-09	\$1,001 - \$15,000
DC	Tennessee Commerce Bank - CD	ס	N/A	12-29-09	\$1,001 - \$15,000
DC	ING Corporate Leaders (LCX1Z)	U .	N/A	2-26-09 3-5-09	\$1,001 - \$15,000
DC	Health Care Reit Inc. (HCN)	ס ־	N/A	6-12-09	\$1,001 - \$15,000
DC	American Funds Investment Co. (AICFX)	o	No	3-04-09	\$1,001 - \$15,000

Name Xavier Becerra

Page 11 of 18

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

					-
SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC	Capital World Growth & Income (CWGFX)	S(part)	N _O	5-7-09	\$1,001 - \$15,000
DC	Discover Bank - CD (5989246)	o	No	1-05-09	\$1,001 - \$15,000
DC	Discover Bank - CD (5989247)	\(\sigma \)	No	7-06-09	\$1,001 - \$15,000
DC	First National Bank of Olathe - CD	S	No	2-26-09	\$1,001 - \$15,000
D _C	Infibank - CD	0	N/A	3-9-09	\$1,001 - \$15,000
DC	Infibank - CD	o	S O	9-13-09	\$1,001 - \$15,000
DC	Imperial Capital Bank - CD 3.95 %	S	No No	12-18-09	\$1,001 - \$15,000
DC	Imperial Capital Bank - CD 4.00 %	S	N _O	12-18-09	\$1,001 - \$15,000
DC	Mutual Discovery Fund C1 A (TED1X)	S(part)	8	5-7-09	\$1,001 - \$15,000
DC	New Frontier Bank - CD	- S	No	4-21-09	\$1,001 - \$15,000
DC	American Funds New Perspective (NPFFX)	S(part)	O	5-7-09	\$1,001 - \$15,000

į

. !

i

!

i

i

i

Name Xavier Becerra

Page 12 of 18

transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC	Peoples Bank GA - CD	S	No	12-28-09	\$1,001 - \$15,000
1	American Funds New Perspective (NPFFX)	ן ס	N/A	10-21,11-19, 12-9	\$1,001 - \$15,000
7	Blackrock Mid Cap Value	<u></u>	N/A	5-6, 10-28, 10- 30, 11-19, 12-9	\$1,001 - \$15,000
SP	Capital One Bank - CD	or	N/A	10-7-09	\$1,001 - \$15,000
٦į	Capital World Growth & Income (CWGFX)	ס	N/A	1-12, 10-21, 10- 23, 11-19, 12-9	1-12, 10-21, 10- \$1,001 - \$15,000 23, 11-19, 12-9
SP	Citi Bank NA - CD	ָּים ^{ַּ}	N/A	3-30-09	\$1,001 - \$15,000
SP	Discover Bank - CD (25469JSP5)	ס	N/A	9-15-09	\$1,001 - \$15,000
	GE Capital - Bond (36962G3F9)	ן ס	N/A	5-26-09	\$1,001 - \$15,000
SP	GE Capital - Bond (36962G2S2)	סד 	N/A	4-16-09	\$1,001 - \$15,000
 	Growth Fund of America Class F	- 	N/A	2-26, 5-6, 5-11, 10-28, 10-30, 11-19, 12-9	\$1,001 - \$15,000
Ţ	Health Care Reit Inc. (HCN)	ט	N/A	6-12-09 6-15-09	\$1,001 - \$15,000

į

Name Xavier Becerra

Page 13 of 18

transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
T	ING Corporate Leaders (LCX1Z)	י	N/A	2-26, 3-5, 3-9, 5-6, 10-28, 10- 30, 11-19, 12-9	\$15,001 - \$50,000
	JP Morgan Chase - CD	ס	N/A	7-6-09	\$1,001 - \$15,000
JT	Marsico 21st Century Fund	ס	N/A	2-26, 5-6, 5-11, 10-28, 10-30, 11-19, 12-9	2-26, 5-6, 5-11, \$1,001 - \$15,000 10-28, 10-30, 11-19, 12-9
Ţ	Mutual Discovery Fund C1 A (TED1X)	ס	N/A	1-12, 10-21, 10- 23, 11-19, 12-9	1-12, 10-21, 10- \$1,001 - \$15,000 23, 11-19, 12-9
JT	Rainier Small / Mid Cap	ָ ָ ער	N/A	5-6, 10-28, 10- 30, 11-19, 12-9	\$1,001 - \$15,000
SP	SW St Louis Bank - CD	יס 	N/A	10-19-09	\$1,001 - \$15,000
J	Washington Mutual Investor Fund	ס 	N/A	5-6, 10-28, 10- 30, 11-19, 12-9	\$1,001 - \$15,000
SP	Wells Fargo Bank - Bond	ָ ס 	N/A	8-3-09	\$1,001 - \$15,000
J ₁	Alliance Bernstein Intl. (AWPAX)	σ 	No	1-12-09	\$1,001 - \$15,000
T	American Funds Investment Company of Amer F (AICFX)	 	N N	2-26-09 3-4-09 3-6-09	\$15,001 - \$50,000

Name Xavier Becerra

Page 14 of 18

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented

<u>-</u>		SP	Sp	:	SP	Ţ	Ţ	SP	Ţ	JT	SP, DC, JT
Growth Fund of America Class F	Great Southern Bank - CD	Firstbank Pr - CD	First Banking - CD	Discover Bank - CD (5989247)	Citizens First Bank - CD	California State - Bonds	Capital World Growth & Income (CWGFX)	Bridgeview Bank - CD	Blackrock Mid Cap Value	American Funds New Perspective (NPFFX)	Asset
S(part)	S	φ 	S	o	o	S	S(part)	S	S(part)	S(part)	Type of Transaction
No	No	No	No	S	N _O	N _o	Yes	No	Z	No	Capital Gain in Excess of \$200?
7-29-09 7-30-09	5-20-09	1-09-09	3-28-09	7-6-09	7-31-09	7-27-09	5-6-09 7-28-09	1-26-09	7-29-09 7-30-09	1-12-09 5-6-09 7-30-09	Date
\$15,001 - \$50,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	Amount of Transaction

Name Xavier Becerra

Page 15 of 18

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

S 7 8 7 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	Asset Hanford, Calif Bonds Horizon Bank - CD ING Corporate Leaders (LCX1Z) Irwin Union Bank - CD Marsico 21st Century Fund	Type of Transaction S S(part) S(part)	Capital Gain in Excess of \$200?	Date 7-27-09 10-7-09 7-28-09 3-6-09 7-29-09 7-30-09	Amount of Transaction \$1,001 - \$15,000 \$1,001 - \$15,000 \$15,001 - \$50,000 \$15,001 - \$50,000
SP	Marsico 21st Century Fund Mercantle Bank - CD	S(part)	S S	7-29-09 7-30-09 10-19-09	
-	Mutual Discovery Fund C1 A (TED1X)	S(part)	Yes	5-6-09 7-28-09	!
J	Orange County, CA - Bonds	ω	Z _o	7-27-09	
4	Rainier Small / Mid Cap	S(part)	No	7-29-09 7-30-09	ļ.
-	San Francisco, CA - Bonds	S	8	7-27-09	
	San Juan, CA Unified School District - Bonds	· ·	So	8-1-09	

Name Xavier Becerra

Page 16 of 18

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

JT	SP, DC, JT
Washington Mutual Inv. Fund	Asset
S(part)	Type of Transaction
No	Capital Gain in Excess of \$200?
7-29-09 7-30-09	Date
\$15,001 - \$50,000	Amount of Transaction

}

SCHEDULE V - LIABILITIES

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

Name Xavier Becerra

Page 17 of 18

O LF	SP, DC, JT	odida) omj m
GMAC Mortgage	Creditor	on to joing it the sentitive as the close of the processing
Mortgage on 101 North Carolina Place, SE Apt. H, Washington, DC	Type of Liability	
\$100,001 - \$250,000	Amount of Liability	

SCHEDULE VIII - POSITIONS

Name Xavier Becerra

Page 18 of 18

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Advisors	Close Up Foundation
Board Member	Congressional Hispanic Caucus Institute
Regent	Smithsonian Institution
Board Member	Smithsonian Institution National Latino Board
Board Member	Center for the Advancement of Hispanics in Science and Engineering Education
Commission Member	National Commission on Fiscal Responsibility and Reform