



Filing ID #10028899

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Lisa Blunt Rochester
Status: Member
State/District: DE00

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2018
Filing Date: 08/7/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Charles R Rochester Jr Estate - Cash [OT] DESCRIPTION: Cash		\$1,001 - \$15,000	Interest	\$1 - \$200 <input type="checkbox"/>
Charles R Rochester Jr Estate - Holdings [OT] DESCRIPTION: The estate included, Cantaro, a real estate development partnership, in 2018 Cantaro accepted sale requests of partnership interest so it was sold, thereby reducing the estate value.		None	Interest	\$15,001 - \$50,000 <input checked="" type="checkbox"/>
Inter-Family Promissory Note [DO]		\$100,001 - \$250,000	Interest	\$5,001 - \$15,000 <input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ BLMBG BARCLAYS HIGH YIELD (JNK) BOND ETF [EF]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ CARLISLE COS INC SENIOR NOTE CALLABLE M/W 3.75% [CS] DESCRIPTION: CARLISLE COS INC SENIOR NOTE CALLABLE M/W 3.75%		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ CINCINNATI FINANCIAL CORP SENIOR DEBENTURE 6.92% [CS]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
DESCRIPTION: CINCINNATI FINANCIAL CORP SENIOR DEBENTURE 6.92%				
LISA BLUNT ROCHESTER IRA ⇒ GOLDMAN SACHS BK USA NY CD 3% 06/07/2021 [CS]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: GOLDMAN SACHS BK USA NY CD 3% 06/07/2021				
LISA BLUNT ROCHESTER IRA ⇒ ISHARES 1 3 YR TREAS BD ETF (SHY) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: ISHARES 1 3 YR TREAS BD ETF (SHY)				
LISA BLUNT ROCHESTER IRA ⇒ iShares 1-3 Year Credit Bond ETF (CSJ) [EF]		None	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: CSJ became IGSB.				
LISA BLUNT ROCHESTER IRA ⇒ iShares Barclays 1-3 Year Credit Bond Fd (IGSB) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: iShares Barclays 1-3 Year Credit Bond Fd (IGSB)				
LISA BLUNT ROCHESTER IRA ⇒ iShares Cohen & Steers REIT ETF (ICF) [EF]		None	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: iShares Cohen & Steers REIT ETF (ICF)				
LISA BLUNT ROCHESTER IRA ⇒ ISHARES CORE S&P MCP ETF (IJH) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: ISHARES CORE S&P MCP ETF (IJH)				
LISA BLUNT ROCHESTER IRA ⇒ ISHARES CORE S&P SMALL-CAP ETF (IJR) [EF]		None	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: ISHARES CORE S&P SMALL-CAP ETF (IJR)				
LISA BLUNT ROCHESTER IRA ⇒ ISHARES CORE US AGGREGATE BOND ETF (AGG) [EF]		None	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: ISHARES CORE US AGGREGATE BOND ETF (AGG)				
LISA BLUNT ROCHESTER IRA ⇒ ishares Gold Trust (IAU) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ ISHARES INTERMEDIATE CREDIT BND ETF [EF]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ ISHARES INTRM TR CRP ETF (IGIB) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ ISHARES INTRMD CR BD ETF (CIU) [EF]		None	Tax-Deferred	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
DESCRIPTION: CIU became IGIB.				
LISA BLUNT ROCHESTER IRA ⇒ ISHARES MBS ETF (MBB) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: ISHARES MBS ETF (MBB)				
LISA BLUNT ROCHESTER IRA ⇒ iShares Russell 1000 ETF (IWB) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ ISHARES US PREFERRED STOCK ETF (PFF) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: ISHARES US PREFERRED STOCK ETF (PFF)				
LISA BLUNT ROCHESTER IRA ⇒ Money Market [BA]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC				
LISA BLUNT ROCHESTER IRA ⇒ SPDR DoubleLine Total Return Tactical ETF (TOTL) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: SPDR DoubleLine Total Return Tactical ETF (TOTL)				
LISA BLUNT ROCHESTER IRA ⇒ SPDR S&P 500 (SPY) [EF]		\$100,001 - \$250,000	Tax-Deferred	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]		\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ AMERICAN EXPRESS CENTRN CD, RATE: 2.2 [CS]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500 <input type="checkbox"/>
DESCRIPTION: AMERICAN EXPRESS CENTRN CD, RATE: 2.2				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ BANK OF AMERICA CORP SENIOR MEDIUM TERM NOTE, RATE: 4.125 [CS]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500 <input type="checkbox"/>
DESCRIPTION: BANK OF AMERICA CORP SENIOR MEDIUM TERM NOTE, RATE: 4.125				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ BERKSHIRE HATHAWAY FIN SENIOR MEDIUM TERM NOTE M/W, RATE: 3.0 [CS]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000 <input type="checkbox"/>
DESCRIPTION: BERKSHIRE HATHAWAY FIN SENIOR MEDIUM TERM NOTE M/W, RATE: 3.0				

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ BHP BILLITON FIN USA LTD SENIOR NOTE, RATE: 2.875 [CS] DESCRIPTION: BHP BILLITON FIN USA LTD SENIOR NOTE, RATE: 2.875		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ BLOCK FINANCIAL CORP SR NOTE CLBL M/W CR SENS, RATE: 5.5 [CS] DESCRIPTION: BLOCK FINANCIAL CORP SR NOTE CLBL M/W CR SENS, RATE: 5.5		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ CELGENE CORP SENIOR NOTE M/W, RATE: 3.95 [CS] DESCRIPTION: CELGENE CORP SENIOR NOTE M/W, RATE: 3.95		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ CHEVRON CORP NOTE CALLABLE M/W, RATE: 3.326 [CS] DESCRIPTION: CHEVRON CORP NOTE CALLABLE M/W, RATE: 3.326		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ COLGATE-PALMOLIVE CO SENIOR MEDIUM TERM NOTE M/W, RATE: 3.25 [CS] DESCRIPTION: COLGATE-PALMOLIVE CO SENIOR MEDIUM TERM NOTE M/W, RATE: 3.25		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ CVS HEALTH CORPORATION SENIOR NOTE CALLABLE M/W 3.375% 08/12/2024 [CS] DESCRIPTION: CVS HEALTH CORPORATION SENIOR NOTE CALLABLE M/W 3.375% 08/12/2024		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ DIRECTV HLDGS LLC / DIRECTV SENIOR NOTE CALLABLE M/W, RATE: 4.45 [CS] DESCRIPTION: DIRECTV HLDGS LLC / DIRECTV SENIOR NOTE CALLABLE M/W, RATE: 4.45		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ DISCOVERY COMMUNICATIONS LLC SENIOR NOTE M/W, RATE: 5.05 [CS] DESCRIPTION: DISCOVERY COMMUNICATIONS LLC SENIOR NOTE M/W, RATE: 5.05		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ENTERGY MISSISSIPPI, INC FIRST MORTGAGE CALLABLE M/W, RATE: 3.1 [CS] DESCRIPTION: ENTERGY MISSISSIPPI, INC FIRST MORTGAGE CALLABLE M/W, RATE: 3.1					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ FEDERAL HOME LN MTG CORP MTN CLBL STEPPED 1%-2.5%, RATE: 1.0 [CS] DESCRIPTION: FEDERAL HOME LN MTG CORP MTN CLBL STEPPED 1%-2.5%, RATE: 1.0		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ GENERAL MTRS FINL CO INC SENIOR NOTE M/W, RATE: 2.35 [CS] DESCRIPTION: GENERAL MTRS FINL CO INC SENIOR NOTE M/W, RATE: 2.35		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ GOLDMAN SACHS BK USA NY CD 3% [CS] DESCRIPTION: GOLDMAN SACHS BK USA NY CD 3%		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ GOLDMAN SACHS BK USA NY CD 3% [CS] DESCRIPTION: GOLDMAN SACHS BK USA NY CD 3%		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ GOLDMAN SACHS MEDIUM TERM NOTE CALLABLE M/W, RATE: 3.85 [CS] DESCRIPTION: GOLDMAN SACHS MEDIUM TERM NOTE CALLABLE M/W, RATE: 3.85		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ HARTFORD FINL SVCS GRP SENIOR NOTE M/W, RATE: 5.125 [CS] DESCRIPTION: HARTFORD FINL SVCS GRP SENIOR NOTE M/W, RATE: 5.125		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ HCP INC SENIOR NOTE CALLABLE M/W, RATE: 4.0 [CS] DESCRIPTION: HCP INC SENIOR NOTE CALLABLE M/W, RATE: 4.0		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ HESS CORPORATION SENIOR NOTE M/W, RATE: 8.125 [CS] DESCRIPTION: HESS CORPORATION SENIOR NOTE M/W, RATE: 8.125		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ HEWLETT PACKARD CO SENIOR NOTE M/W, RATE: 3.75 [CS] DESCRIPTION: HEWLETT PACKARD CO SENIOR NOTE M/W, RATE: 3.75		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES 1 3 YR CR BD ETF (CSJ) [EF] DESCRIPTION: ISHARES 1 3 YR CR BD ETF (CSJ)		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ iShares Cohen & Steers REIT ETF (ICF) [EF] DESCRIPTION: iShares Cohen & Steers REIT ETF		None	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF] DESCRIPTION: iShares Core S&P Mid-Cap ETF (IJH)		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]		None	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE US AGGREGATE BOND ETF [EF] DESCRIPTION: AGG		None	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ishares Gold Trust (IAU) [EF] DESCRIPTION: ishares Gold Trust (IAU)		\$100,001 - \$250,000	Dividends	None	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ iShares MBS ETF (MBB) [EF] DESCRIPTION: iShares MBS ETF (MBB)		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES RUS 1000 ETF (IWB) [EF] DESCRIPTION: ISHARES RUS 1000 ETF (IWB)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ISHARES SH TR CRPORT ETF (IGSB) [EF]					
DESCRIPTION: ISHARES SH TR CRPORT ETF (IGSB)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ JOHNSON & JOHNSON NOTE, RATE: 6.95 [CS]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: JOHNSON & JOHNSON NOTE, RATE: 6.95					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ JP MORGAN CHASE & CO SENIOR MEDIUM TERM NOTE, RATE: 4.25 [CS]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: JP MORGAN CHASE & CO SENIOR MEDIUM TERM NOTE, RATE: 4.25					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ JP MORGAN CHASE & CO SENIOR NOTE, RATE: 6.3 [CS]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: JP MORGAN CHASE & CO SENIOR NOTE, RATE: 6.3					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ KINDER MORGAN ENERGY PARTNERS SENIOR NOTE M/W, RATE: 2.65 [CS]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: KINDER MORGAN ENERGY PARTNERS SENIOR NOTE M/W, RATE: 2.65					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ LENNOX INTERNATIONAL INC SENIOR NOTE CALLABLE M/W, RATE: 3.0 [CS]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: LENNOX INTERNATIONAL INC SENIOR NOTE CALLABLE M/W, RATE: 3.0					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ LYB INTL FINANCE BV SENIOR NOTE M/W 4% 07/15/2023 BUY TRADE 40 @102.521 [CS]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: LYB INTL FINANCE BV SENIOR NOTE M/W 4% 07/15/2023 BUY TRADE 40 @102.521					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ MARATHON OIL CORP SENIOR NOTE CALLABLE M/W, RATE: 3.85 [CS]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: MARATHON OIL CORP SENIOR NOTE CALLABLE M/W, RATE: 3.85					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ Money Market [BA]		\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ MOODY'S CORP SENIOR MED TERM NOTE CLBL M/W 2.75% 07/15/2019 SELL TRADE 100 @100.52 [CS]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: MOODY'S CORP SENIOR MED TERM NOTE CLBL M/W 2.75% 07/15/2019 SELL TRADE 100 @100.52					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ MORGAN ST DEAN WITTER SENIOR MEDIUM TERM NOTE M/W, RATE: 3.875 [CS]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: MORGAN ST DEAN WITTER SENIOR MEDIUM TERM NOTE M/W, RATE: 3.875					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ MORGAN STANLEY SENIOR MEDIUM TERM NOTE M/W, RATE: 5.5 [CS]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: MORGAN STANLEY SENIOR MEDIUM TERM NOTE M/W, RATE: 5.5					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ NEW YORK ST DORM AUTH ST PERS REV BDS CALLABLE, RATE: 5.0 [CS]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: NEW YORK ST DORM AUTH ST PERS REV BDS CALLABLE, RATE: 5.0					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ NEWMONT MINING CORP SENIOR NOTE M/W, RATE: 5.125 [CS]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: NEWMONT MINING CORP SENIOR NOTE M/W, RATE: 5.125					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ NVR INC SENIOR NOTE CALLABLE M/W, RATE: 3.95 [CS]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: NVR INC SENIOR NOTE CALLABLE M/W 3.95% 09/15/2022 INTEREST INCOME - SECURITIES PAID 493.75 FOR 25 SHARES ON PAYABLE DATE 03/15/2015 AND RECORD DATE 03/14/2015 62944TAE5					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ PENNSYLVANIA STATE GO BDS CALLABLE, RATE: 5.0 [CS]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: PENNSYLVANIA STATE GO BDS CALLABLE, RATE: 5.0					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ PRIVATE EXPORT FUNDING NOTE, RATE: 2.3 [CS]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: PRIVATE EXPORT FUNDING NOTE, RATE: 2.3					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA		\$50,001 -	Dividends	\$2,501 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
BLUNT ROCHESTER TR ⇒ PSEG POWER SENIOR NOTE M/W, RATE: 5.125 [CS] DESCRIPTION: PSEG POWER SENIOR NOTE M/W, RATE: 5.125		\$100,000		\$5,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR Barclays High Yield Bond ETF (JNK) [EF]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR TR S&P 500 ETF TR (SPY) [EF] DESCRIPTION: SPDR TR S&P 500 ETF TR (SPY)		\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SSGA ACTIVE ETF TRUST SPDR TR TACTIC (TOTL) [EF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ STARBUCKS CORP SENIOR NOTE CALLABLE M/W 3.8% 08/15/2025 [CS] DESCRIPTION: STARBUCKS CORP SENIOR NOTE CALLABLE M/W 3.8% 08/15/2025		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ STARWOOD HOTELS & RESORTS SENIOR NOTE CALLABLE M/W, RATE: 3.125 [CS] DESCRIPTION: STARWOOD HOTELS & RESORTS SENIOR NOTE CALLABLE M/W, RATE: 3.125		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ TD AMERITRADE HOLDING CORP SENIOR NOTE CALLABLE M/W, RATE: 3.625 [CS] DESCRIPTION: TD AMERITRADE HOLDING CORP SENIOR NOTE CALLABLE M/W, RATE: 3.625		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ THE MOSAIC CO SENIOR NOTE CALLABLE M/W, RATE: 3.75 [CS] DESCRIPTION: THE MOSAIC CO SENIOR NOTE CALLABLE M/W, RATE: 3.75		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ THERMO FISHER SCIENTIFIC SENIOR NOTE CALLABLE M/W 3.65% 12/15/2025 BUY TRADE 25 @98.631 [CS] DESCRIPTION: THERMO FISHER SCIENTIFIC SENIOR NOTE CALLABLE M/W 3.65% 12/15/2025 BUY TRADE 25 @98.631		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Vanguard FTSE Developed Markets ETF (VEA)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: VWO					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VERIZON COMMUNICATIONS SENIOR NOTE CALLABLE M/W, RATE: 4.15 [CS]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: VERIZON COMMUNICATIONS SENIOR NOTE CALLABLE M/W, RATE: 4.15					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ YORK CNTY PA GO BDS CALLABLE, RATE: 4.75 [CS]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: YORK CNTY PA GO BDS CALLABLE, RATE: 4.75					
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Barclays 1-3 Year Credit Bond Fd (IGSB) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: IGSB was CSJ.					
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Cohen & Steers REIT ETF (ICF) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ ishares Gold Trust (IAU) [EF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares MSCI All Country World Minimum Volatility Index Fund (ACVV) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares MSCI USA Minimum Volatility ETF (USMV) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LISA BLUNT ROCHESTER ROTH IRA ⇒ Money Market [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC					
LISA BLUNT ROCHESTER ROTH IRA ⇒ SPDR Bloomberg Barclays High Yield Bond ETF (JNK) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ SPDR DoubleLine Total Return Tactical ETF (TOTL) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
M&T Bank Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
ND LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ Money Market [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC					
Santander Bank Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Seton Villa Rental Home [RP]		\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Wilmington , DE, US DESCRIPTION: Rental Property					
Tosk, Inc. [PS]		\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: 8,333 shares of Series D Preferred Stock					
Wells Fargo Bank Accounts [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>






* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Rochester Jr Estate - Holdings [OT]		07/23/2018	S	\$250,001 - \$500,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: Cantaro, a real estate development partnership.					





Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LISA BLUNT ROCHESTER IRA ⇒ CARLISLE COS INC SENIOR NOTE CALLABLE [CS] DESCRIPTION: CARLISLE COS INC SENIOR NOTE CALLABLE M/W 3.75% 12/01/2027 BUY		03/6/2018	P	\$1,001 - \$15,000	
LISA BLUNT ROCHESTER IRA ⇒ CARLISLE COS INC SENIOR NOTE CALLABLE M/W 3.75% [CS] DESCRIPTION: CARLISLE COS INC SENIOR NOTE CALLABLE M/W 3.75%		03/6/2018	P	\$1,001 - \$15,000	
LISA BLUNT ROCHESTER IRA ⇒ CINCINNATI FINANCIAL CORP SENIOR DEBENTURE 6.92% [CS] DESCRIPTION: CINCINNATI FINANCIAL CORP SENIOR DEBENTURE 6.92%		03/2/2018	P	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER IRA ⇒ CINCINNATI FINANCIAL CORP SENIOR DEBENTURE, RATE: 6.92 [CS] DESCRIPTION: CINCINNATI FINANCIAL CORP SENIOR DEBENTURE, RATE: 6.92		03/2/2018	P	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER IRA ⇒ GOLDMAN SACHS BK USA NY CD 3% [CS] DESCRIPTION: GOLDMAN SACHS BK USA NY CD 3%		05/30/2018	P	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER IRA ⇒ GOLDMAN SACHS BK USA NY CD 3% 06/07/2021 [CS] DESCRIPTION: GOLDMAN SACHS BK USA NY CD 3% 06/07/2021		05/30/2018	P	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER IRA ⇒ ISHARES 1 3 YR CR BD ETF (CSJ) [EF] DESCRIPTION: CSJ became IGSB		05/10/2018	P	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER IRA ⇒ ISHARES 1 3 YR TREAS BD ETF (SHY) [EF] DESCRIPTION: ISHARES 1 3 YR TREAS BD ETF (SHY)		12/13/2018	P	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER IRA ⇒ ISHARES COHEN STEER REIT ETF (ICF) [EF] DESCRIPTION: ISHARES COHEN STEER REIT ETF (ICF)		10/12/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ ISHARES CORE S&P MCP ETF (IJH) [EF] DESCRIPTION: ISHARES CORE S&P MCP ETF (IJH)		08/28/2018	P	\$1,001 - \$15,000	
LISA BLUNT ROCHESTER IRA ⇒ ISHARES CORE S&P SCP ETF (IJR) [EF]		12/19/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: ISHARES CORE S&P SCP ETF (IJR)					
LISA BLUNT ROCHESTER IRA ⇒ ISHARES CORE S&P SCP ETF (IJR) [EF]		08/28/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: ISHARES CORE S&P SCP ETF (IJR)					
LISA BLUNT ROCHESTER IRA ⇒ ISHARES CORE S&P SCP ETF (IJR) [EF]		03/1/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: ISHARES CORE S&P SCP ETF (IJR)					
LISA BLUNT ROCHESTER IRA ⇒ ISHARES CORE US AGGBD ET ETF (AGG) [EF]		05/10/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: ISHARES CORE US AGGBD ET ETF (AGG)					
LISA BLUNT ROCHESTER IRA ⇒ ISHARES CORE US AGGBD ET ETF (AGG) [EF]		03/1/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: ISHARES CORE US AGGBD ET ETF (AGG)					
LISA BLUNT ROCHESTER IRA ⇒ ISHARES INTRM TR CRP ETF (IGIB) [EF]		12/13/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: ISHARES INTRM TR CRP ETF (IGIB)					
LISA BLUNT ROCHESTER IRA ⇒ ISHARES INTRMD CR BD ETF (CIU) [EF]		03/1/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: ISHARES INTRMD CR BD ETF (CIU)					
LISA BLUNT ROCHESTER IRA ⇒ VANGUARD FTSE DEV MKT ETF (VEA) [EF]		12/28/2018	P	\$15,001 - \$50,000	
DESCRIPTION: VANGUARD FTSE DEV MKT ETF (VEA)					
LISA BLUNT ROCHESTER IRA ⇒ VANGUARD FTSE DEV MKT ETF (VEA) [EF]		05/29/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: VANGUARD FTSE DEV MKT ETF (VEA)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ \$FZC: BHP BILLITON FIN USA LTD SENIOR NOTE 2.875% 02/24/2022 SELL TRADE 100 @100.922 [CS]		01/2/2018	S	\$100,001 - \$250,000	<input type="checkbox"/>
DESCRIPTION: \$FZC: BHP BILLITON FIN USA LTD SENIOR NOTE 2.875% 02/24/2022 SELL TRADE 100 @100.922					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ BLOCK FINANCIAL CORP SR NOTE CLBL M/W CR SENS 5.5% [CS]		09/14/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: BLOCK FINANCIAL CORP SR NOTE CLBL M/W CR SENS 5.5% 11/01/2022 SELL TRADE 25 @103.793					

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ CHEVRON CORP SENIOR NOTE CALLABLE M/W 3.326% 11/17/2025 SELL TRADE 100 @102.686 [CS] DESCRIPTION: CHEVRON CORP SENIOR NOTE CALLABLE M/W 3.326% 11/17/2025 SELL TRADE 100 @102.686	01/2/2018	S	\$100,001 - \$250,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ CINCINNATI FINANCIAL CORP SENIOR DEBENTURE 6.92% 05/15/2028 [CS] DESCRIPTION: CINCINNATI FINANCIAL CORP SENIOR DEBENTURE 6.92% 05/15/2028 BUY TRADE 60 @120.542	11/30/2018	P	\$50,001 - \$100,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ CVS HEALTH CORPORATION SENIOR NOTE CALLABLE M/W 3.375% 08/12/2024 SELL TRADE 100 @100.211 [CS] DESCRIPTION: CVS HEALTH CORPORATION SENIOR NOTE CALLABLE M/W 3.375% 08/12/2024 SELL TRADE 100 @100.211	01/2/2018	S	\$100,001 - \$250,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ GOLDMAN SACHS BK USA NY CD 3% [CS] DESCRIPTION: GOLDMAN SACHS BK USA NY CD 3%	05/30/2018	P	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ GOLDMAN SACHS BK USA NY CD 3% [CS] DESCRIPTION: GOLDMAN SACHS BK USA NY CD 3%	05/30/2018	P	\$100,001 - \$250,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ HCP INC SENIOR NOTE CALLABLE M/W 4% 06/01/2025 SELL TRADE 75 @102.302 [CS] DESCRIPTION: HCP INC SENIOR NOTE CALLABLE M/W 4% 06/01/2025 SELL TRADE 75 @102.302	01/2/2018	S	\$50,001 - \$100,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ HESS CORPORATION SENIOR NOTE M/W 8.125% 02/15/2019 [CS] DESCRIPTION: HESS CORPORATION SENIOR NOTE M/W 8.125% 02/15/2019	02/15/2018	S	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES 1 3 YR CR BD ETF (CSJ) [EF] DESCRIPTION: ISHARES 1 3 YR CR BD ETF (CSJ)	05/10/2018	P	\$50,001 - \$100,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒	04/6/2018	S	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares Cohen & Steers REIT ETF (ICF) [EF] DESCRIPTION: iShares Cohen & Steers REIT ETF (ICF)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ iShares Cohen & Steers REIT ETF (ICF) [EF] DESCRIPTION: iShares Cohen & Steers REIT ETF (ICF)		10/12/2018	S	\$100,001 - \$250,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ iShares Cohen & Steers REIT ETF (ICF) [EF] DESCRIPTION: iShares Cohen & Steers REIT ETF (ICF)		01/3/2018	P	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE S&P MID-CAP ETF (IJH) [EF] DESCRIPTION: ISHARES CORE S&P MID-CAP ETF (IJH)		04/26/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE S&P MID-CAP ETF (IJH) [EF] DESCRIPTION: ISHARES CORE S&P MID-CAP ETF (IJH)		01/3/2018	P	\$100,001 - \$250,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE S&P MID-CAP ETF (IJH) [EF] DESCRIPTION: ISHARES CORE S&P MID-CAP ETF (IJH)		08/3/2018	P	\$100,001 - \$250,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE S&P MID-CAP ETF (IJH) [EF] DESCRIPTION: ISHARES CORE S&P MID-CAP ETF (IJH)		08/16/2018	P	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE S&P SCP ETF (IJR) [EF] DESCRIPTION: ISHARES CORE S&P SCP ETF (IJR)		04/6/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE S&P SCP ETF (IJR) [EF] DESCRIPTION: ISHARES CORE S&P SCP ETF (IJR)		10/4/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE S&P SCP ETF (IJR) [EF]		12/19/2018	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: ISHARES CORE S&P SCP ETF (IJR)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE S&P SMALL-CAP ETF (IJR) [EF]		01/3/2018	P	\$15,001 - \$50,000	
DESCRIPTION: ISHARES CORE S&P SMALL-CAP ETF (IJR)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE US AGG BD ETF [EF]		05/10/2018	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: ISHARES CORE US AGG BD ETF					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE US AGG BD ETF [EF]		04/6/2018	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: ISHARES CORE US AGG BD ETF					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE US AGG BD ETF [EF]		01/3/2018	P	\$50,001 - \$100,000	
DESCRIPTION: ISHARES CORE US AGG BD ETF					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES GOLD TRUST ISHARES ETF (IAU) [EF]		04/6/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: ISHARES GOLD TRUST ISHARES ETF (IAU)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES GOLD TRUST ISHARES ETF (IAU) [EF]		08/16/2018	P	\$1,001 - \$15,000	
DESCRIPTION: ISHARES GOLD TRUST ISHARES ETF (IAU)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES GOLD TRUST ISHARES ETF (IAU) [EF]		08/3/2018	P	\$15,001 - \$50,000	
DESCRIPTION: ISHARES GOLD TRUST ISHARES ETF (IAU)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES GOLD TRUST ISHARES ETF (IAU) [EF]		01/3/2018	P	\$15,001 - \$50,000	
DESCRIPTION: ISHARES GOLD TRUST ISHARES ETF (IAU)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES MBS ETF (MBB) [EF]		04/26/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: ISHARES MBS ETF (MBB)					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES MBS ETF (MBB) [EF]		01/2/2018	S (partial)	\$100,001 - \$250,000	
DESCRIPTION: ISHARES MBS ETF (MBB)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES MBS ETF (MBB) [EF]		08/16/2018	P	\$50,001 - \$100,000	
DESCRIPTION: ISHARES MBS ETF (MBB)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES MBS ETF (MBB) [EF]		08/3/2018	P	\$100,001 - \$250,000	
DESCRIPTION: ISHARES MBS ETF (MBB)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES RUS 1000 ETF (IWB) [EF]		01/3/2018	P	\$100,001 - \$250,000	
DESCRIPTION: ISHARES RUS 1000 ETF (IWB)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES RUS 1000 ETF (IWB) [EF]		04/26/2018	S (partial)	\$1,001 - \$15,000	
DESCRIPTION: ISHARES RUS 1000 ETF (IWB)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES SH TR CRPORT ETF (IGSB) [EF]		11/30/2018	S	\$50,001 - \$100,000	
DESCRIPTION: ISHARES SH TR CRPORT ETF (IGSB) (FORMERLY TICKER SYMBOL CSJ)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ LYB INTL FINANCE BV SENIOR NOTE M/W 4% 07/15/2023 BUY TRADE 40 @102.521 [CS]		02/22/2018	P	\$15,001 - \$50,000	
DESCRIPTION: LYB INTL FINANCE BV SENIOR NOTE M/W 4% 07/15/2023 BUY TRADE 40 @102.521					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ MOODY'S CORP SENIOR MED TERM NOTE CLBL M/W 2.75% 07/15/2019 SELL TRADE 100 @100.52 [CS]		01/2/2018	S	\$100,001 - \$250,000	
DESCRIPTION: MOODY'S CORP SENIOR MED TERM NOTE CLBL M/W 2.75% 07/15/2019 SELL TRADE 100 @100.52					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ NVR INC SENIOR NOTE CALLABLE M/W 3.95% 09/15/2022 SELL TRADE 120 @104.105 [CS]		01/2/2018	S	\$100,001 - \$250,000	
DESCRIPTION: NVR INC SENIOR NOTE CALLABLE M/W 3.95% 09/15/2022 SELL TRADE 120 @104.105					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR DoubleLine Total Return Tactical ETF (TOTL) [EF] DESCRIPTION: SPDR DoubleLine Total Return Tactical ETF (TOTL)		01/2/2018	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR FTSE BLOOMBERG BRCLYS HI YLD BD ETF (JNK) [EF] DESCRIPTION: SPDR FTSE BLOOMBERG BRCLYS HI YLD BD ETF (JNK)		01/3/2018	P	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR FTSE BLOOMBERG BRCLYS HI YLD BD ETF (JNK) [EF] DESCRIPTION: SPDR FTSE BLOOMBERG BRCLYS HI YLD BD ETF (JNK)		08/3/2018	P	\$1,001 - \$15,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR SERIES TRUST BLOOMBERG BRCLYS ETF (JNK) [EF] DESCRIPTION: SPDR SERIES TRUST BLOOMBERG BRCLYS ETF (JNK)		04/26/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR TR S&P 500 ETF TR (SPY) [EF] DESCRIPTION: SPDR TR S&P 500 ETF TR (SPY)		10/4/2018	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR TR S&P 500 ETF TR (SPY) [EF] DESCRIPTION: SPDR TR S&P 500 ETF TR (SPY)		04/26/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR TR S&P 500 ETF TR (SPY) [EF] DESCRIPTION: SPDR TR S&P 500 ETF TR (SPY)		08/16/2018	P	\$1,001 - \$15,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR TR S&P 500 ETF TR (SPY) [EF] DESCRIPTION: SPDR TR S&P 500 ETF TR (SPY)		04/6/2018	P	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR TR S&P 500 ETF TR (SPY) [EF] DESCRIPTION: SPDR TR S&P 500 ETF TR (SPY)		01/3/2018	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ STARBUCKS CORP SENIOR NOTE CALLABLE M/W 3.8% 08/15/2025 [CS] DESCRIPTION: STARBUCKS CORP SENIOR NOTE CALLABLE M/W 3.8% 08/15/2025		09/6/2018	P	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ THERMO FISHER SCIENTIFIC SENIOR NOTE CALLABLE M/W 3.65% [CS] DESCRIPTION: THERMO FISHER SCIENTIFIC SENIOR NOTE CALLABLE M/W 3.65% 12/15/2025 BUY TRADE 25 @98.631		09/17/2018	P	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD FTSE DEV MKT ETF (VEA) [EF] DESCRIPTION: VANGUARD FTSE DEV MKT ETF (VEA)		05/29/2018	S (partial)	\$250,001 - \$500,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD FTSE DEV MKT ETF (VEA) [EF] DESCRIPTION: VANGUARD FTSE DEV MKT ETF (VEA)		04/26/2018	S (partial)	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD FTSE DEV MKT ETF (VEA) [EF] DESCRIPTION: VANGUARD FTSE DEV MKT ETF (VEA)		04/6/2018	S (partial)	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD FTSE DEV MKT ETF (VEA) [EF] DESCRIPTION: VANGUARD FTSE DEV MKT ETF (VEA)		12/28/2018	P	\$100,001 - \$250,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD FTSE DEV MKT ETF (VEA) [EF] DESCRIPTION: VANGUARD FTSE DEV MKT ETF (VEA)		08/16/2018	P	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD FTSE DEV MKT ETF (VEA) [EF] DESCRIPTION: VANGUARD FTSE DEV MKT ETF (VEA)		01/3/2018	P	\$100,001 - \$250,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD FTSE EMR MKT ETF (VWO) [EF] DESCRIPTION: VANGUARD FTSE EMR MKT ETF (VWO)		08/16/2018	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD FTSE EMR MKT ETF (VWO) [EF] DESCRIPTION: VANGUARD FTSE EMR MKT ETF (VWO)		01/3/2018	P	\$50,001 - \$100,000	
LISA BLUNT ROCHESTER ROTH IRA ⇒ ISHARES 1 3 YR CR BD ETF (CSJ) [EF] DESCRIPTION: CSJ became IGSB.		05/16/2018	P	\$1,001 - \$15,000	
LISA BLUNT ROCHESTER ROTH IRA ⇒ ISHARES CORE US AGGBD (AGG) [EF] DESCRIPTION: ISHARES CORE US AGGBD (AGG)		05/16/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Schneider Bene Supplemental Retirement Plan	Spousal Death Benefit	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Fulton Bank	July 2017	Mortgage on Primary Residence.	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Committee Member	National Advisory Council for Aspen Institute’s Future of Work

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2018	Schneider Electric	An agreement between the widow spouse (Lisa) and Schneider Electric for a spousal death benefit from Lisa's late husband's retirement benefit plan who was an employee of Schneider Electric. Lisa was entitled to begin this monthly payout in 2018.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Progressive Caucus Center	03/8/2018	03/10/2018	Washington, DC - Baltimore, MD - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
U.S. Association of Former Members of Congress	12/16/2018	12/18/2018	Philadelphia - Boston - Philadelphia	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- LISA BLUNT ROCHESTER IRA
- LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR
- LISA BLUNT ROCHESTER ROTH IRA
- ND LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Lisa Blunt Rochester , 08/7/2019