



Filing ID #10014578

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Xavier Becerra
Status: Former Member
State/District: CA34

FILING INFORMATION

Filing Type: Terminated Filer Report
Filing Year: 2017
Filing Date: 03/24/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1005 Mooney Drive, Monterey Park, CA	JT	\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Monterey Park, CA, US					
101 North Carolina Place, SE, Apt. H, Washington, DC	JT	\$100,001 - \$250,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Washington, DC, US					
1633 Hill Drive, Los Angeles, CA	JT	\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Los Angeles, CA, US					
American Funds Money Fund - 529	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: VA					
DESCRIPTION: 529 College Savings Plan					
American Funds New Perspective (NPFFX)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
American Funds New Perspective (NPFFX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Capital World Bond Fund	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
LOCATION: VA DESCRIPTION: 529 College Savings Plan					
Capital World Growth & Income	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Capital World Growth & Income	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Congressional Federal Credit Union	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Fundamental Investors - 529	DC	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: VA DESCRIPTION: 529 College Savings Plan					
Glenmede Large Cap Gwth	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Glenmede Large Cap Gwth	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Growth Fund of America Class F	DC	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Growth Fund of America Class F	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Income Fund of America - 529	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: VA DESCRIPTION: 529 College Savings Plan					
Investment Company of America - 529	DC	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ishares 1-3 Year Credit Bond	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Ishares 1-3 Year Credit Bond	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Ishares US Real Estate	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ishares US Real Estate	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Janus Enterprise FD CL T	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Janus Enterprise FD CL T	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
JP Morgan Equity Income	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
JP Morgan Equity Income	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
New Perspective Fund - 529	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
LOCATION: VA DESCRIPTION: 529 College Savings Plan					
Oakmark Global Fund INV	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Oakmark Global Fund INV	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Parnassus MID CAP Fund	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Parnassus MID CAP Fund	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Pimco Enhanced Shrt	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Pimco Enhanced Shrt	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Pimco Invest Grade Corp	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Pimco Invest Grade Corp	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Pimco Total Return	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Pimco Total Return	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Schwab Money Fund	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Schwab Money Market Fund	JT	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Small Cap World Fund - 529	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LOCATION: VA DESCRIPTION: 529 College Savings Plan					
The Bond Fund of America - 529	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Washington Mutual Investor Fund	DC	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Washington Mutual Investor Fund	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Welltower Inc. (formerly Health Care Reit Inc.)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Welltower Inc. (formerly Health Care Reit Inc.)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Glenmede Large Cap Gwth	JT	07/21/2016	P	\$15,001 - \$50,000	
Glenmede Large Cap Gwth	DC	07/21/2016	P	\$1,001 - \$15,000	
Invesco Van Kampen Amer (MSAVX)	JT	01/25/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Invesco Van Kampen Amer (MSAVX)	DC	01/25/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Janus Enterprise FD CL T	JT	07/21/2016	P	\$1,001 - \$15,000	
Janus Enterprise FD CL T	DC	07/21/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
JP Morgan Equity Income	DC	03/8/2016	P	\$1,001 - \$15,000	
JP Morgan Equity Income	DC	01/28/2016	P	\$1,001 - \$15,000	
JP Morgan Equity Income	JT	01/28/2016	P	\$15,001 - \$50,000	
JP Morgan Equity Income	JT	07/21/2016	P	\$1,001 - \$15,000	
Marisco 21st Century Fund	DC	07/20/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Marisco 21st Century Fund	JT	07/20/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Parnassus MID CAP Fund	JT	01/26/2016	P	\$1,001 - \$15,000	
Parnassus MID CAP Fund	DC	07/21/2016	P	\$1,001 - \$15,000	
Rainier Small / Mid Cap	DC	07/20/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rainier Small / Mid Cap	JT	07/20/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Voya Corporate Leaders Trust Fund	DC	03/7/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Voya Corporate Leaders Trust Fund	DC	01/27/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Voya Corporate Leaders Trust Fund	JT	01/27/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Virginia Hospital Center	Spouse Salary	N/A
Washington Hospital Center	Spouse Salary	N/A
Medstar Medical Group, LLC	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Congressional FCU	May 2016	Mortgage on 1633 Hill Drive, Los Angeles, CA	\$50,001 - \$100,000
JT	Congressional FCU	May 2016	Mortgage on 5406 Center Drive, Chevy Chase, MD	\$250,001 - \$500,000
JT	OCWEN	June 2005	Mortgage on 101 North Carolina Place, SE, Apt. H, Washington, DC	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board of Advisors	Close Up Foundation
Advisory Council Member	Congressional Hispanic Caucus Institute
Regent	Smithsonian Institute
Board Member	Smithsonian Institute National Latino Board
Board Member	Center for the Advancement of Hispanics in Science and Engineering Education
Member	Inter-American Dialogue
Museum Council Member	Smithsonian National Museum of African American History and Culture

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Xavier Becerra , 03/24/2017