

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 10
For use by Members, officers, and employees

Thomas Joseph Rooney

(Full Name)

(Daytime Telephone)

Filer Status ☒ Member of the U.S. House of Representatives State: FL District: 17

☐ Officer Or Employee Employing Office:

Report Type ☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | | |
|--|---|--|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response. | |

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | |
|---|---|
| IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

**HAND
DELIVERED**

LEGISLATIVE RESOURCE CENTER
JUL 24 PM 1:53
(Office Use Only)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Joseph Rooney

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| BLOCK A | | BLOCK B | BLOCK C | BLOCK D | BLOCK E |
|---|------------------------------------|--|---|--|--|
| Asset and/or Income Source | | Year-End Value of Asset | Type of Income | Amount of Income | Transaction |
| <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business, that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p> | | <p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p> | <p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p> | <p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p> | <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p> |
| JT | Wells Fargo Crown Banking | \$1 - \$1,000 | INTEREST | \$1 - \$200 | |
| JT | Wells Farego Essential Checking | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |
| JT | JPMorgan Chase Bank | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |
| | McDonald's Corporation stock | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| DC | Oppenheimer Global Allocation Fund | \$1 - \$1,000 | DIVIDENDS | \$1 - \$200 | |
| DC | Virtus Alpha Sector Rotation Fund | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Joseph Rooney

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14.1% interest in General Braddock Brewing Company II LLC that owns and operates an Irish pub located at 1153 Town Center Dr Jupiter FL

\$1 - \$1,000

Partnership income

NONE

14.3% interest in PJRooney Family Limited Partnership that owns (1) a 19.8% interest in RDC General LLC and (2) a 20% interest in Rooney Development Company LP both of which are engaged in real estate development in Pittsburgh PA

\$15,001 - \$50,000

Partnership income

\$1,001 - \$2,500

14.3% beneficial interest in the Patrick J Rooney Sr Irrevocable Trust 2005 that owns (1) cash and (2) 1800 shares of nonvoting stock of Investment Corporation of Palm Beach that owns the Palm Beach Kennel Club located in West Palm Beach FL

\$50,001 - \$100,000

Trust income

NONE

.4333 interest in Westchester Mercantile Market Inc that operated flea markets at Yonkers Raceway located in Yonkers NY

\$1 - \$1,000

S corporation income

\$1,001 - \$2,500

14.3 beneficial interest in the Patrick J Rooney Sr Family Crummey Trust 2003 that owns the following:

\$1,000,001 - \$5,000,000

Trust income

NONE

(1) Cash

\$500,001 - \$1,000,000

INTEREST

NONE

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Joseph Rooney

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(2) Marketable securities
See Wells Fargo Advisors
2012 brokerage account
attached

\$1,000,001 -
\$5,000,000

DIVIDENDS

\$15,001 - \$50,000

Member has 14.3% interest

(3) 6658 nonvoting shares of
Yonkers Racing Corporation

\$5,000,001 -
\$25,000,000

S corporation
income

\$100,001 -
\$1,000,000

(4) Promissory note from the
Patrick J Rooney Sr
Irrevocable Trust 2005

\$100,001 -
\$250,000

INTEREST

NONE

(5) 2012 estimated income tax
payments

\$250,001 -
\$500,000

None

NONE

Yonkers Racing Corporation
CL B nonvoting stock

\$1,001 -
\$15,000

S corporation
income

\$201 - \$1,000

100% beneficial interest in the
Thomas J Rooney 2011
Irrevocable Trust that owns the
following:

\$1,000,001 -
\$5,000,000

Trust income

\$100,001 -
\$1,000,000

P

(1) Cash

\$100,001 -
\$250,000

None

NONE

(2) 500 voting and 2020 non
voting shares of Yonkers
Racing Corporation

\$1,000,001 -
\$5,000,000

S corporation
income

\$100,001 -
\$1,000,000

14.3% contingent beneficial
interest in the Patrick Rooney Sr
2011 Delaware Trust
(successor to the Rooney
Family Trust) that owns the
following:

\$1,000,001 -
\$5,000,000

Trust income

\$100,001 -
\$1,000,000

E

(1) Cash

\$500,001 -
\$1,000,000

None

NONE

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Joseph Rooney

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(2) Marketable securities
See JPMorgan brokerage
statements (2) attached
Member has a contingent
14.3% interest

\$25,000,001 -
\$50,000,000

DIVIDENDS

\$100,001 -
\$1,000,000

(3) 9175 voting and 9175
nonvoting shares of Rooney
Enterprises Inc that owns
Pittsburgh Steelers Sports Inc

\$5,000,001 -
\$25,000,000

DIVIDENDS

\$100,001 -
\$1,000,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Joseph Rooney

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|---|------------------------|---|----------|---------------------------|
| | 100% beneficial interest in the Thomas J Rooney 2011 Irrevocable Trust (see footnote) | P | N/A | 01/01/12 | \$1,000,001 - \$5,000,000 |
| | 14.3 % contingent beneficial interest in the Rooney Family Trust that owned (1) cash (2) marketable securities and (3) shares of Rooney Enterprises Inc that owns common stock of Pittsburgh Steelers Sports Inc | E | N/A | 05/15/12 | \$1,000,001 - \$5,000,000 |
| | 14.3% contingent beneficial interest in the Patick Rooney Sr 2011 Delaware Trust that owns (1) cash (2) marketable securities and (3) shares of Rooney Enterprises Inc that owns common stock of Pittsburgh Steelers Sports Inc | E | N/A | 05/15/12 | \$1,000,001 - \$5,000,000 |

SCHEDULE V - LIABILITIES

Name Thomas Joseph Rooney

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

| SP, DC, JT | Creditor | Date Liability Incurred | Type of Liability | Amount of Liability |
|------------------|-----------------------------|-------------------------------|--|-----------------------|
| SP | American Education Services | December 2002 | Student loan | \$100,001 - \$250,000 |
| JT | Wells Fargo Home Mortgage | December 2010 | Mortgage on personal residence at 8741 Cherry Dr Fairfax VA | \$250,001 - \$500,000 |
| JT | JPMorgan Chase Bank | November 2012 | Mortgage on personal residence at 9590 Highway 70 West Okeechobee FL | \$100,001 - \$250,000 |

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Thomas Joseph Rooney

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

| Source | Date(s) | Point of Departure-- Destination--Point of Return | Lodging? (Y/N) | Food? (Y/N) | Was a Family Member Included? (Y/N) | Days not at sponsor's expense |
|-------------------------|------------|--|-------------------|----------------|---|-------------------------------------|
| The Heritage Foundation | Jan. 25-27 | DC-Philadelphia-DC | Y | Y | N | None |

SCHEDULE VIII - POSITIONS

Name Thomas Joseph Rooney

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position | Name of Organization |
|--------------|---------------------------------------|
| Board member | The Children's Place at Home Safe Inc |
| Board member | Rooney's Golf Foundation Inc |

FOOTNOTES

Name Thomas Joseph Rooney

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| Number | Section / Schedule | Footnote | This note refers to the following item |
|--------|--------------------|---|---|
| 1 | Schedule III | Member did not establish this Trust, has no power to direct trust investments and does not receive monthly statements | The Patrick J Rooney Sr Family Crummey Trust 2003 |
| 2 | Schedule III | Member did not establish this Trust, has no power to direct trust investments and does not receive monthly statements | The Patrick Rooney Sr 2011 Delaware Trust |
| 3 | Schedule IV | The Thomas J Rooney Irrevocable Trust was created December 16 2011 but was not funded until January 1 2012 with a gift of the Schedule III disclosed Yonkers Racing Corporation stock | The Thomas J Rooney Irrevocable Trust |
| 4 | Schedule IV | The Rooney Family Trust assets were decanted into the Patrick Rooney Sr 2011 Delaware Trust in May 2012 | The Patrick Rooney Sr 2011 Delaware Trust |

PATRICK J ROONEY SR FAMILY CRUMMEY TRUST 2003

Wells Fargo Advisors Account 2154-1762

December 31, 2012

PATRICK J ROONEY SR FAMILY
 CRUMMEY 2003 TR
 PATRICK ROONEY JR TTEE ET AL
 U/A DTD 12/30/2003
 DECEMBER 1 - DECEMBER 31, 2012
 ACCOUNT NUMBER: [REDACTED]

Additional information

| | | |
|----------------|-------------|------------|
| Gross proceeds | THIS PERIOD | THIS YEAR |
| | 0.00 | 238,578.48 |

Portfolio detail

Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and if amounts exceed \$250,000 at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

| DESCRIPTION | ANNUAL PERCENTAGE YIELD EARNED* | CURRENT MARKET VALUE | ESTIMATED ANNUAL INCOME |
|-------------------------------------|---------------------------------|----------------------|-------------------------|
| Cash | 0.00 | 1,463.20 | 0.00 |
| BANK DEPOSIT SWEEP | 0.01 | 17,576.76 | 1.75 |
| Interest Period 12/01/12 - 12/31/12 | | | |
| Total Cash and Sweep Balances | | \$19,039.96 | \$1.75 |

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Stocks, options & ETFs

Stocks and ETFs

| DESCRIPTION | QUANTITY | CURRENT PRICE | CURRENT MARKET VALUE | ESTIMATED ANNUAL INCOME | ESTIMATED ANNUAL YIELD (%) |
|---|----------|---------------|----------------------|-------------------------|----------------------------|
| FIRST TRUST CONSUMERETF DISCRETIONARY ALPHADEX FUND | 1,425 | 22.6000 | 32,205.00 | 464.56 | 1.44 |
| FIRST TRUST LARGE ET CAP CORE ALPHADEX FUND | 2,096 | 30.6500 | 64,242.40 | 920.14 | 1.43 |
| GUGGENHEIM ET S&P 500 EQUAL WEIGHTED INDEX FD | 1,211 | 53.3200 | 64,570.52 | 1,057.20 | 1.63 |



FUNDAMENTAL CHOICE



PATRICK J ROONEY SR FAMILY
CRUMMEY 2003 TR
PATRICK ROONEY JR TTEE ET AL
U/A DTD 12/30/2003
DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER: [REDACTED]

Stocks, options & ETFs

Stocks and ETFs continued

| DESCRIPTION | QUANTITY | CURRENT PRICE | CURRENT MARKET VALUE | ESTIMATED | |
|---|----------|---------------|----------------------|---------------|------------------|
| | | | | ANNUAL INCOME | ANNUAL YIELD (%) |
| IShares JP Morgan ET EMERGING MARKETS BOND FD EMB | 148 | 122.7900 | 17,927.34 | 747.95 | 4.17 |
| IShares S&P U.S. PREFERRED STOCK PFF | 448 | 39.6200 | 17,749.76 | 1,067.58 | 6.01 |
| POWERSHARES QQQ TR ET SERIES 1 QQQ | 474 | 65.1301 | 30,871.66 | 390.10 | 1.26 |
| SELECT SECTOR SPDR TR CONSUMER STAPLES XLP | 1,125 | 34.9000 | 39,262.50 | 1,199.25 | 3.05 |
| VANGUARD INTL EORT ET INDEX FDS FTSE ALL WORLD EX US VEU | 484 | 45.7500 | 21,228.00 | 624.08 | 2.93 |
| VANGUARD MSCI ET PACIFIC VPL | 473 | 53.3900 | 25,253.47 | 815.45 | 3.22 |
| VANGUARD TOTAL STOCK MARKET ETF VTI | 550 | 73.2800 | 40,304.00 | 859.55 | 2.13 |
| Total Stocks and ETFs | | | \$353,814.65 | \$8,145.95 | 2.30 |
| Total Stocks, options & ETFs | | | \$353,814.65 | \$8,145.95 | 2.30 |

PATRICK J ROONEY SR FAMILY
 GRUMMEY 2003 TR
 PATRICK ROONEY JR TTEE ET AL
 U/A DTD 12/30/2003
 DECEMBER 1 - DECEMBER 31, 2012
 ACCOUNT NUMBER: [REDACTED]

Mutual Funds

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total Return.

| DESCRIPTION | QUANTITY | CURRENT PRICE | CURRENT MARKET VALUE | ESTIMATED | |
|---|--------------|---------------|----------------------|---------------|------------------|
| | | | | ANNUAL INCOME | ANNUAL YIELD (%) |
| ADVISORS INNER CIRCLE FD INTL EQUITY PORT INSTL CL | 1,247.27100 | 20.1800 | 25,169.92 | 382.91 | 1.52 |
| INVESTCO EUROPEAN SMALL COMPANY FUND CLASS Y | 1,091.83500 | 11.5900 | 12,654.36 | 193.25 | 1.52 |
| ESMAX INVESTCO EUROPEAN GROWTH FUND CLASS Y | 744.29400 | 32.9600 | 24,531.93 | 495.69 | 2.02 |
| AEDTX EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FUND CLASS I | 5,128.18400 | 9.8300 | 50,410.04 | 1,989.73 | 3.94 |
| EIGMAX FEDERATED STRATEGIC VALUE DIVIDEND FUND INSTL | 12,529.37800 | 4.9900 | 62,521.59 | 2,493.34 | 3.98 |
| SVALX LORD ABBETT MUN INCOME TR INTERMEDIATE TAX-FREE FUND CLASS F | 6,547.93400 | 11.0000 | 72,027.27 | 2,167.36 | 3.00 |
| USFX JPMORGAN TR I TAX AWARE REAL RETURN FD INSTITUTIONAL CLASS | 6,825.45200 | 10.5100 | 71,735.50 | 1,788.26 | 2.49 |
| TXRX NUVEEN MUN TR LTD TERM MUN BD FD CL I INSTL SHS CLASS | 4,557.77100 | 11.1600 | 50,864.72 | 1,287.06 | 2.49 |
| FLTRX NUVEEN ALL-AMERICAN MUNICIPAL BD FD INSTL SHS CLASS FAARX | 6,156.43700 | 11.7400 | 72,276.57 | 3,232.12 | 4.47 |



FUNDAMENTAL CHOICE

020 FI 111X



PATRICK J ROONEY SR FAMILY
CRUMMEY 2003 TR
PATRICK ROONEY JR TTEE ET AL
U/A DTD 12/30/2003
DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER: [REDACTED]

Mutual Funds

Open End Mutual Funds continued

| DESCRIPTION | QUANTITY | CURRENT PRICE | CURRENT MARKET VALUE | ESTIMATED | |
|--|-------------|---------------|----------------------|---------------|------------------|
| | | | | ANNUAL INCOME | ANNUAL YIELD (%) |
| OPPENHEIMER INTL DIV CL Y SHS | 2,030.88700 | 12.1800 | 24,733.88 | 568.59 | 2.29 |
| OLDYX | | | | | |
| JPMORGAN LARGE CAP GROWTH FUND SELECT | 2,627.18900 | 23.9500 | 62,921.17 | 275.85 | 0.43 |
| SEEEX | | | | | |
| NUVEEN MUN TR HIGH YIELD MUN BD FD INSTL SHS CLASS | 1,062.86900 | 17.1400 | 18,217.57 | 1,120.28 | 6.14 |
| NHMRX | | | | | |
| VIRTUS EMERGING MARKETS OPPORTUNITIES FND CL I | 1,204.75700 | 10.3100 | 12,421.04 | 91.58 | 0.73 |
| HEMX | | | | | |
| PRUDENTIAL GLOBAL TOTAL RETURN FND CL Z | 2,494.24100 | 7.2500 | 18,083.24 | 693.39 | 3.83 |
| PZTRX | | | | | |
| WELLS FARGO FUNDS TR ADVANTAGE MUNI BD FUND ADMIN CL | 7,034.30400 | 10.3400 | 72,734.70 | 2,335.38 | 3.21 |
| WNEEDX | | | | | |
| Total Open End Mutual Funds | | | \$651,303.50 | \$19,094.75 | 2.93 |
| Total Mutual Funds | | | \$651,303.50 | \$19,094.75 | 2.93 |

Activity detail

| DATE | ACCOUNT TYPE | TRANSACTION | QUANTITY | DESCRIPTION | PRICE | AMOUNT | CASH AND SWEEP BALANCES |
|-------|--------------|-------------|----------|---|-------|--------|-------------------------|
| 12/01 | | | | BEGINNING BALANCE | | | 16,423.03 |
| 12/03 | Cash | DIVIDEND | | EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FUND CLASS I 11/30/12 5,111.55800 AS OF 11/30/12 | | 162.60 | |

J.P. Morgan

PATRICK ROONEY SR 2011 DELAWARE TR ACCT. 1
For the Period 12/1/12 to 12/31/12

Account Summary

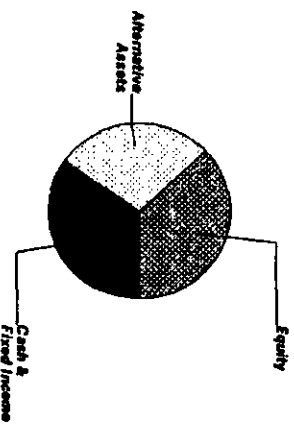
PRINCIPAL

| Asset Allocation | Beginning Market Value | Ending Market Value | Change In Value | Estimated Annual Income Allocation | Current Allocation |
|---------------------|------------------------|---------------------|-----------------|------------------------------------|--------------------|
| Equity | 10,156,578.73 | 10,148,781.28 | (7,797.45) | 93,216.17 | 37% |
| Alternative Assets | 7,823,948.87 | 7,869,197.68 | 45,248.81 | 10,419.77 | 28% |
| Cash & Fixed Income | 10,028,565.14 | 10,120,593.83 | 92,028.69 | 274,936.51 | 35% |
| Market Value | \$28,009,092.74 | \$28,138,572.79 | \$129,480.05 | \$378,572.55 | 100% |

INCOME

| Cash Position | Beginning Market Value | Ending Market Value | Change In Value |
|---------------|------------------------|---------------------|-----------------|
| Cash Balance | 218,155.14 | 319,653.62 | 101,498.48 |
| Accruals | 18,930.04 | 30,627.47 | 10,697.43 |
| Market Value | \$238,085.18 | \$350,281.09 | \$112,195.91 |

Asset Allocation



J.P. Morgan

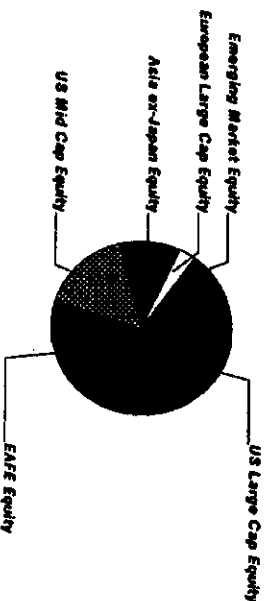
PATRICK ROONEY SR 2011 DELAWARE TR ACCT
For the Period 12/1/12 to 12/31/12

Equity Summary

| Asset Categories | Beginning Market Value | Ending Market Value | Change In Value | Current Allocation |
|---------------------------|------------------------|------------------------|---------------------|--------------------|
| US Large Cap Equity | 4,863,455.01 | 4,797,225.41 | (66,229.60) | 17% |
| US Mid Cap Equity | 1,648,962.40 | 1,632,048.94 | (16,913.46) | 6% |
| EAFE Equity | 2,134,281.91 | 2,174,228.31 | 39,966.40 | 8% |
| European Large Cap Equity | 301,929.00 | 306,892.00 | 3,963.00 | 1% |
| Asia ex-Japan Equity | 984,660.68 | 1,002,709.67 | 18,048.99 | 4% |
| Emerging Market Equity | 223,308.73 | 236,676.95 | 13,367.22 | 1% |
| Total Value | \$10,156,578.73 | \$10,148,781.28 | (\$7,797.45) | 37% |

| Market Value/Cost | Current Period Value |
|-------------------------|----------------------|
| Market Value | 10,148,781.28 |
| Tax Cost | 9,028,578.63 |
| Unrealized Gain/Loss | 1,120,202.65 |
| Estimated Annual Income | 93,216.17 |
| Accrued Dividends | 8,211.16 |
| Yield | 0.91 % |

Asset Categories



Equity as a percentage of your portfolio - 37 %

J.P.Morgan

00940730301202101119906
01040730060606003106



PATRICK ROONEY SR 3011 DELAWARE TR ACCT
For the Period 12/1/12 to 12/31/12

Equity Detail

| | Price | Quantity | Value | Adjusted Tax Cost Original Cost | Unrealized Gain/Loss | Est Annual Inc. Accrued Div. | Yield |
|--|--------|-------------|----------------|------------------------------------|-------------------------|---------------------------------|-------|
| US Large Cap Equity | | | | | | | |
| DB QARN SPX 05/15/13 | 106.16 | 400,000.000 | 424,648.00 | 396,000.00 | 28,648.00 | | |
| 100/100/100/100-11.7% BUFFER-10.2% CPN | | | | | | | |
| INITIAL LEVEL-04/27/12 SPX:1403.36 | | | | | | | |
| 2515A1-JL-6 | | | | | | | |
| HSBC MARKET PLUS SPX 02/19/13 | 119.84 | 375,000.000 | 449,400.00 | 370,312.50 | 79,087.50 | | |
| 80% CONTIN BARRIER-0% CPN | | | | | | | |
| UNCAPPED | | | | | | | |
| INITIAL LEVEL-08/11/11 SPX:1172.64 | | | | | | | |
| 4042K1-MG-5 | | | | | | | |
| JOHN HANCOCK FUNDS III DISCIPLINED | 14.01 | 41,366.906 | 579,550.35 | 575,000.00 | 4,550.35 | | |
| VALUE FUND - I | | | | | | | |
| 47803U-64-0 JVL X | | | | | | | |
| JPM LARGE CAP GROWTH FD - SEL | 23.95 | 25,781.134 | 617,458.16 | 566,099.05 | 61,359.11 | 2,707.01 | 0.44% |
| FUND 3118 | | | | | | 138.70 | |
| 481200-53-0 SEEG X | | | | | | | |
| JPM US LRGE CAP CORE PLUS FD - SEL | 22.12 | 56,966.244 | 1,260,093.32 | 1,023,356.67 | 236,736.65 | 9,627.29 | 0.76% |
| FUND 1002 | | | | | | | |
| 4812A2-38-9 JLPX X | | | | | | | |
| PRIMECAP ODYSSEY FUNDS | 15.98 | 21,341.463 | 341,036.58 | 306,250.00 | 34,786.58 | 5,249.99 | 1.54% |
| ODYSSEY STK FD | | | | | | | |
| 74160Q-30-1 POSK X | | | | | | | |
| SPDR S&P 500 ETF TRUST | 142.41 | 7,900.000 | 1,125,039.00 | 1,127,429.41 | (2,390.41) | 24,513.70 | 2.18% |
| 78462F-10-3 SPY | | | | | | 8,072.46 | |
| Total US Large Cap Equity | | | \$4,797,225.41 | \$4,354,447.63 | \$442,777.78 | \$42,087.99 | 0.88% |
| | | | | | | \$8,211.18 | |

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PATRICK ROONEY SR 2011 DELAWARE TR ACC
For the Period 12/1/12 to 12/31/12

| | Price | Quantity | Value | Adjusted Tax Cost Original Cost | Unrealized Gain/Loss | Est Annual Inc. Accrued Div. | Yield |
|---|--------|-------------|----------------|------------------------------------|-------------------------|---------------------------------|-------|
| US Mid Cap Equity | | | | | | | |
| ISHARES RUSSELL MIDCAP INDEX FUND 464287-49-9 IWR | 113.10 | 7,262,000 | 821,332.20 | 601,193.86 | 220,138.34 | 14,901.62 | 1.81% |
| MANAGERS AMG FUNDS-TIMESSQUARE MID CAP GROWTH FUND 561708-83-3 TMDP X | 14.87 | 54,520,292 | 810,716.74 | 659,143.17 | 151,573.57 | | |
| Total US Mid Cap Equity | | | \$1,632,048.94 | \$1,260,337.03 | \$371,711.91 | \$14,901.62 | 0.91% |
| EAFE Equity | | | | | | | |
| HSBC BREN EAFE 0 04/04/13 10%BUFFER-2 XLEV-9.5%CAP 19%MAXRTN INITIAL LEVEL-09/16/12:XSXE:2608.30 UKX:5965.56 TPX:866.73* 4042K1-AA-5 | 103.34 | 300,000,000 | 310,020.00 | 297,000.00 | 13,020.00 | | |
| HSBC BREN EAFE 05/01/13 10%BUFFER-2 XLEV-9%CAP 18%MAXRTN INITIAL LEVEL-04/13/12:XSXE:2291.51 UKX:5701.35 TPX:815.48 4042K1-G3-1 | 108.51 | 300,000,000 | 325,530.00 | 297,000.00 | 28,530.00 | | |
| IVY GLOBAL NATURAL RESOURCE-I 465899-50-8 IGIN X | 17.37 | 41,595,814 | 722,519.29 | 761,818.38 | (39,299.09) | 4,492.34 | 0.62% |
| MF3 INTL VALUE-I 552735-82-2 MINI X | 28.17 | 28,972,631 | 816,159.02 | 709,250.00 | 106,909.02 | 14,891.93 | 1.82% |
| Total EAFE Equity | | | \$2,174,228.31 | \$2,065,068.38 | \$109,159.93 | \$19,384.27 | 0.89% |

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PATRICK ROONEY SR 2011 DELAWARE TR ACCT.
For the Period 12/1/13 to 12/31/12

| | Price | Quantity | Value | Adjusted Tax Cost Original Cost | Unrealized Gain/Loss | Est. Annual Inc. Accrued Div. | Yield |
|--|--------|-------------|------------|------------------------------------|-------------------------|----------------------------------|-------|
| European Large Cap Equity | | | | | | | |
| GS MARKET PLUS SXSE 05/07/14 80% CONTIN BARRIER-6.5%CPN .UNCAPPED INITIAL LEVEL-11/02/12 SXSE2547.15 38141G-HL-6 | 101.96 | 300,000.000 | 305,892.00 | 300,000.00 | 5,892.00 | | |

| | | | | | | | |
|--|-------|------------|-----------------------|---------------------|---------------------|--------------------|--------------|
| Asia ex-Japan Equity | | | | | | | |
| COLUMBIA FDS SER TR II MAAS ASIA PC JP R5 19763P-57-2 TAPR X | 13.07 | 22,675,936 | 296,374.51 | 255,673.52 | 40,700.99 | 5,805.04 | 1.96% |
| MATTHEWS PACIFIC TIGER INSTL FUND 577130-83-4 MPT X | 24.41 | 28,936,303 | 706,335.16 | 542,112.32 | 164,222.84 | 5,845.13 | 0.83% |
| Total Asia ex-Japan Equity | | | \$1,002,709.67 | \$797,785.84 | \$204,923.83 | \$11,650.17 | 1.16% |

| | | | | | | | |
|---|-------|-----------|------------|------------|-------------|----------|-------|
| Emerging Market Equity | | | | | | | |
| VANGUARD MSCI EMERGING MARKETS ETF 922042-85-8 VWO | 44.53 | 5,315,000 | 236,676.95 | 250,939.75 | (14,262.80) | 5,182.12 | 2.19% |

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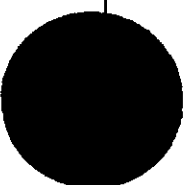
PATRICK ROONEY SR 2011 DELAWARE TR AC, LLC
For the Period 12/1/12 to 12/31/12

Alternative Assets Summary

| Asset Categories | Beginning Estimated Value | Ending Estimated Value | Change in Value | Current Allocation |
|--------------------|------------------------------|---------------------------|--------------------|-----------------------|
| Hedge Funds | 6,208,152.14 | 6,279,490.56 | 70,338.42 | 22% |
| Hard Assets | 1,614,796.73 | 1,589,707.12 | (25,089.61) | 6% |
| Total Value | \$7,823,948.87 | \$7,869,197.68 | \$45,248.81 | 28% |

Asset Categories

Hard Assets



Hedge Funds

Alternative Assets Detail

Alternative Assets as a percentage of your portfolio - 28 %

| Price | Quantity | Estimated Value | Cost |
|-------|----------|--------------------|------|
|-------|----------|--------------------|------|

Hedge Funds

| | | | | |
|-----------------------------------|----------|-------------|------------|------------|
| BRIDGEWATER PRIVATE INVESTORS LLC | 1.02 | 500,000.000 | 508,084.50 | 500,000.00 |
| CLASS A 09-12 | 11/30/12 | | | |
| N/O Client | | | | |
| HFBRDA-HX-2 | | | | |

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PATRICK ROONEY SR 2011 DELAWARE TR ACCT.
For the Period 12/1/12 to 12/31/12

Hedge Funds

| | Price | Quantity | Estimated Value | Cost |
|--|----------|---------------|-----------------|----------------|
| DOUBLELINE LEVERAGED FUND, LP CLASS A 08-12 | | | | |
| NO Client | 1.01 | 500,000.000 | 503,473.00 | 500,000.00 |
| NO Client | 11/30/12 | | | |
| HFDBLA-AC-9 | | | | |
| GATEWAY FUND - Y 367828-88-4 GTEY X | | | | |
| | 27.11 | 14,193.793 | 384,793.73 | 375,000.00 |
| GLOBAL ACCESS HEDGE FUND II LLC CLASS A 08-12 | | | | |
| NO Client | 1.04 | 3,687,343.634 | 3,843,447.33 | 3,687,343.63 |
| NO Client | | | | |
| HFQAPC-RG-3 | | | | |
| OCH-ZIFF OZDPM PRIVATE INVESTORS LLC CLASS F - NEW ISSUES INELIGIBLE 08-12 | | | | |
| NO Client | 1.03 | 500,000.000 | 514,069.50 | 500,000.00 |
| NO Client | 11/30/12 | | | |
| HFZFFA-MC-0 | | | | |
| YORK CREDIT OPPORTUNITIES FUND, LP CLASS A 08-12 | | | | |
| NO Client | 1.05 | 500,000.000 | 525,622.50 | 500,000.00 |
| NO Client | 11/30/12 | | | |
| HFYRKA-RG-5 | | | | |
| Total Hedge Funds | | | \$6,279,490.56 | \$6,062,343.63 |

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PATRICK ROONEY SR 2011 DELAWARE TR AC
For the Period 12/1/12 to 12/31/12

| | Price | Quantity | Estimated Value | Cost |
|--|-------|----------|-----------------|------|
|--|-------|----------|-----------------|------|

Hard Assets

BARC BREN COMMODITY BSKT 01/16/14 110.78 300,000.000 332,340.00 295,500.00
LKD TO COI PLTMUNM LOCADY & SPGCGRP
1.95%LEV, 20%BUFFER, 29.25%MAXRTN
01/13/12 LOCADY STRIKE: 7965.5;
SPGCGRP STRIKE: 38.91513
06738K-H3-8

PLMCO COMMODITIES PLUS STRATEGY P 10.89 45,534.722 495,873.12 491,775.00
72201P-16-7 PCLP X
SPDR GOLD TRUST 162.02 4,700,000 761,494.00 506,663.51
78463Y-10-7 GLD

Total Hard Assets \$1,589,707.12 \$1,293,938.51



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010407300606400003109

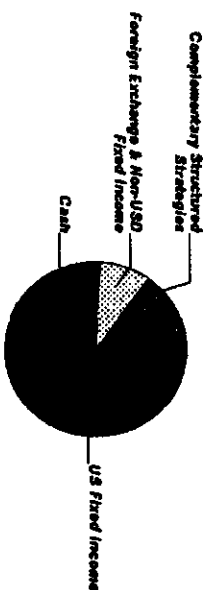


PATRICK ROONEY SR 2011 DELAWARE TR ACCT.
For the Period 12/1/12 to 12/31/12

Cash & Fixed Income Summary

| Asset Categories | Beginning Market Value | Ending Market Value | Change In Value | Current Allocation |
|---|------------------------|------------------------|--------------------|--------------------|
| Cash | 1,047,017.98 | 1,166,222.44 | 119,204.46 | 4% |
| US Fixed Income | 7,695,408.63 | 7,661,403.08 | (34,005.55) | 27% |
| Complementary Structured Strategies | 375,860.00 | 378,180.00 | 2,320.00 | 1% |
| Foreign Exchange & Non-USD Fixed Income | 910,278.53 | 914,788.31 | 4,509.78 | 3% |
| Total Value | \$10,028,565.14 | \$10,120,593.83 | \$92,028.69 | 35% |

Asset Categories



| Market Value/Cost | Current Period Value |
|-------------------------|----------------------|
| Market Value | 10,120,593.83 |
| Tax Cost | 9,884,901.98 |
| Unrealized Gain/Loss | 235,691.85 |
| Estimated Annual Income | 274,936.61 |
| Accrued Interest | 22,416.31 |
| Yield | 2.71% |

Cash & Fixed Income as a percentage of your portfolio - 35 %

SUMMARY BY MATURITY

| Cash & Fixed Income | Market Value | % of Bond Portfolio |
|--------------------------|------------------------|---------------------|
| 0-6 months ¹ | 9,929,053.83 | 98% |
| 6-12 months ¹ | 191,540.00 | 1% |
| Total Value | \$10,120,593.83 | 100% |

¹ The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

SUMMARY BY TYPE

| Cash & Fixed Income | Market Value | % of Bond Portfolio |
|-------------------------|------------------------|---------------------|
| Cash | 1,166,222.44 | 11% |
| International Bonds | 1,649,411.24 | 16% |
| Mutual Funds | 2,863,280.99 | 28% |
| Complementary Structure | 378,180.00 | 3% |
| Other | 4,063,499.16 | 42% |
| Total Value | \$10,120,593.83 | 100% |

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PATRICK ROONEY SR 2011 DELAWARE TR.
For the Period 12/1/12 to 12/31/12

Note: O - Bonds purchased at a discount show accretion.
* This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

| | Price | Quantity | Value | Adjusted Tax Cost | Unrealized | Est. Annual Income | Yield |
|---|-------|--------------|--------------|-------------------|------------|-----------------------|-------|
| | | | | Original Cost | Gain/Loss | Accrued Interest | |
| Cash | | | | | | | |
| US DOLLAR PRINCIPAL | 1.00 | 1,166,222.44 | 1,166,222.44 | 1,166,222.44 | | 349.86 33.64 | 0.03% |
| US Fixed Income | | | | | | | |
| JPM STR INC OPP FD FUND 3844 4812A4-35-1 | 11.83 | 114,782.51 | 1,357,877.03 | 1,335,143.00 | 22,734.03 | 47,979.08 4,706.08 | 3.53% |
| EATON VANCE FLOATING RATE I 277911-49-1 | 9.12 | 124,072.01 | 1,131,536.73 | 1,123,530.15 | 8,006.58 | 50,249.16 4,146.72 | 4.44% |
| JPM HIGH YIELD FD - SEL FUND 3580 4812CO-80-3 | 8.14 | 136,178.15 | 1,108,490.16 | 1,057,095.99 | 51,394.17 | 70,948.81 7,081.26 | 6.40% |
| JPM INTERMEDIATE TAX FREE BD FD -SEL FUND 685 4812AO-44-1 | 11.33 | 180,720.01 | 2,047,557.86 | 1,968,464.54 | 79,093.12 | 55,661.76 4,337.28 | 2.72% |
| JPM TRI TAX AWARE REAL RTN FD -SEL FUND 992 4812A2-54-6 | 10.49 | 73,412.85 | 770,100.78 | 731,293.56 | 38,807.22 | 18,132.97 1,174.61 | 2.35% |

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PATRICK ROONEY SR 2011 DELAWARE TR ACCT
For the Period 12/1/13 to 12/31/13

| | Price | Quantity | Value | Adjusted Tax Cost Original Cost | Unrealized Gain/Loss | Est. Annual Income Accrued Interest | Yield |
|--|-------|------------|-----------------------|------------------------------------|-------------------------|---|---------------|
| US Fixed Income | | | | | | | |
| JPM SHORT TERM INTERM MUNI BOND -SEL FUND 3726 4812C1-37-1 | 10.64 | 117,090.29 | 1,245,840.72 | 1,236,024.00 | 9,816.72 | 13,114.11 936.72 | 1.05 % |
| Total US Fixed Income | | | \$7,681,403.08 | \$7,451,551.24 | \$209,851.84 | \$256,085.89 \$22,382.67 | 3.34 % |

Complementary Structured Strategies

| | | | | | | | |
|---|-------|------------|---------------------|--|----------------------|---------------|---------------|
| 0 DB 95% PPN FX BASKET 2/1/13 LINKED TO MXN INR CNY & RUB VS USD 1.40XLEV, UNCAPPED 7/29/11 2515A1-B5-9 | 93.32 | 200,000.00 | 186,840.00 | 199,980.25 197,500.00 | (13,360.25) | | |
| 0 BARC 83% PPN CURRENCY BASKET 9/13/13 LINKED TO 3 CURRENCY BSKTS 50% 35% & 15% WEIGHT, UNCAPPED 9/9/11 06738K-UP-4 | 95.77 | 200,000.00 | 191,540.00 | 202,813.69 197,000.00 | (11,273.69) | | |
| Total Complementary Structured Strategies | | | \$378,180.00 | \$402,803.94 \$394,500.00 | (\$24,623.94) | \$0.00 | 0.00 % |

Foreign Exchange & Non-USD Fixed Income

| | | | | | | | |
|--|-------|-----------|------------|------------|-----------|-----------|--------|
| JPM INTL CURRENCY INCOME FD 4812A3-29-6 | 11.27 | 55,302.05 | 623,254.10 | 589,324.36 | 33,929.74 | 14,433.83 | 2.32 % |
|--|-------|-----------|------------|------------|-----------|-----------|--------|

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PATRICK ROONEY SR 2011 DELAWARE TR AC
For the Period 12/1/12 to 12/31/12

| | Price | Quantity | Value | Adjusted Tax Cost Original Cost | Unrealized Gain/Loss | Est. Annual Income Accrued Interest | Yield |
|--|-------|-----------|--------------|------------------------------------|-------------------------|--|--------|
| Foreign Exchange & Non-USD Fixed Income | | | | | | | |
| DREYFUS LAUREL EMG MKT DEBT LOC C-1 261990-49-4 | 15.34 | 19,004.84 | 291,534.21 | 275,000.00 | 16,534.21 | 4,067.03 | 1.40 % |
| Total Foreign Exchange & Non-USD Fixed Income | | | \$914,788.31 | \$864,324.36 | \$50,463.95 | \$18,500.86 | 2.03 % |

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PATRICK ROONEY SR 2011 DELAWARE TR ACCT.
For the Period 12/1/12 to 12/31/12

Portfolio Activity Summary - U S Dollar

| | PRINCIPAL | | INCOME | |
|--------------------------------------|-------------------------|------------------------|-------------------------|------------------------|
| | Current Period Value | Year-To-Date Value* | Current Period Value | Year-To-Date Value* |
| Transactions | | | | |
| Beginning Cash Balance | 1,047,017.98 | -- | 218,155.14 | -- |
| INFLOWS | | | | |
| Income | | | 101,467.56 | 266,225.79 |
| Contributions | | 2,663,412.38 | | 83,893.72 |
| Foreign Exchange - Inflows | | | 30.92 | 30.92 |
| Total Inflows | \$0.00 | \$2,663,412.38 | \$101,498.48 | \$349,150.43 |
| OUTFLOWS ** | | | | |
| Withdrawals | | (3,600,000.00) | | |
| Fees & Commissions | | (29,496.80) | | (29,496.81) |
| Total Outflows | \$0.00 | (\$3,629,496.80) | \$0.00 | (\$29,496.81) |
| TRADE ACTIVITY | | | | |
| Settled Sales/Maturities/Redemptions | 610,979.46 | 4,051,511.27 | | |
| Settled Securities Purchased | (491,775.00) | (1,919,204.41) | | |
| Total Trade Activity | \$119,204.46 | \$2,132,306.86 | \$0.00 | \$0.00 |
| Ending Cash Balance | \$1,166,222.44 | -- | \$319,653.62 | -- |
| Securities Transferred In/Out | Current Period Value | Year-To-Date Value* | | |
| Securities Transferred In | | 26,122,700.49 | | |

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PATRICK ROONEY SR 2011 DELAWARE TRUST

JPMorgan Account A69197001

December 31, 2012

Account Summary

PATRICK J. ROONEY, SR. 2011 DE-FMI AC

For the Period 12/1/12 to 12/31/12

| Asset Allocation | Beginning Market Value | Ending Market Value | Change In Value | Estimated Annual Income | Current Allocation |
|----------------------------|------------------------|---------------------|-----------------|-------------------------|--------------------|
| Equity | 322,673.39 | 326,302.63 | 3,629.24 | 7,010.98 | 100% |
| Market Value | \$322,673.39 | \$326,302.63 | \$3,629.24 | \$7,010.98 | 100% |
| Accruals | 765.80 | 543.77 | (222.03) | | |
| Market Value with Accruals | \$323,439.19 | \$326,846.40 | \$3,407.21 | | |

| Portfolio Activity | Current Period Value | Year-to-Date Value |
|-------------------------------|----------------------|--------------------|
| Beginning Market Value | 322,673.39 | 0.00 |
| Contributions | | 16,017.07 |
| Withdrawals & Fees | (16.80) | (1,084.51) |
| Securities Transferred In | | 312,703.10 |
| Net Contributions/Withdrawals | (\$16.80) | \$327,635.66 |
| Income & Distributions | 1,097.56 | 1,597.31 |
| Change in Investment Value | 2,548.48 | (2,930.34) |
| Ending Market Value | \$326,302.63 | \$326,302.63 |
| Accruals | 543.77 | 543.77 |
| Market Value with Accruals | \$326,846.40 | \$326,846.40 |

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PATRICK J. ROONEY, SR. 2011 DE-FMI
For the Period 12/1/12 to 12/31/12

Note: * This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Equity Detail

| US Large Cap Equity | | | | | | | | | |
|---------------------------------|-------|----------|-----------|-------------------|------------|------------------|-------|--|--|
| | Price | Quantity | Value | Adjusted Tax Cost | Unrealized | Est. Annual Inc. | Yield | | |
| | | | | Original Cost | Gain/Loss | Accrued Div. | | | |
| ACCENTURE PLC-CL A | 66.50 | 200,000 | 13,300.00 | 8,420.90 | 4,879.10 | 324.00 | 2.44% | | |
| G1151C-10-1 ACN | | | | | | | | | |
| AMERICAN EXPRESS CO | 57.48 | 175,000 | 10,059.00 | 6,691.97 | 3,367.03 | 140.00 | 1.39% | | |
| 025816-10-9 AXP | | | | | | | | | |
| AMERISOURCEBERGEN CORP | 43.18 | 275,000 | 11,874.50 | 7,743.46 | 4,131.04 | 231.00 | 1.95% | | |
| 03073E-10-5 ABC | | | | | | | | | |
| AUTOMATIC DATA PROCESSING INC | 56.93 | 175,000 | 9,962.75 | 7,101.38 | 2,861.37 | 304.50 | 3.06% | | |
| 053015-10-3 ADP | | | | | | 76.13 | | | |
| BANK OF NEW YORK MELLON CORP | 25.70 | 725,000 | 18,632.50 | 18,280.55 | 351.95 | 377.00 | 2.02% | | |
| 064058-10-0 BK | | | | | | | | | |
| BERKSHIRE HATHAWAY INC DEL CL B | 89.70 | 200,000 | 17,940.00 | 15,557.23 | 2,382.77 | | | | |
| 084670-70-2 BRK B | | | | | | | | | |
| CINTAS CORP | 40.90 | 225,000 | 9,202.50 | 5,530.50 | 3,672.00 | 144.00 | 1.56% | | |
| 172808-10-5 CTAS | | | | | | | | | |
| COMERICA INC | 30.34 | 350,000 | 10,618.00 | 10,635.20 | (16.20) | 210.00 | 1.98% | | |
| 200340-10-7 CMA | | | | | | 52.50 | | | |
| COVIDIEN PLC NEW | 57.74 | 200,000 | 11,548.00 | 9,894.00 | 1,654.00 | 208.00 | 1.80% | | |
| G2554F-11-3 COV | | | | | | | | | |
| DANONE | 13.16 | 200,000 | 2,631.80 | 2,638.77 | (6.97) | 46.20 | 1.76% | | |
| SPONS ADR | | | | | | | | | |
| 23636T-10-0 DANO Y | | | | | | | | | |

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PATRICK J. ROONEY, SR. 2011 DE-FMI

For the Period 12/1/12 to 12/31/13


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US Large Cap Equity

| | Price | Quantity | Value | Adjusted Tax Cost Original Cost | Unrealized Gain/Loss | Est. Annual Inc. Accrued Div. | Yield |
|---------------------------------|-------|----------|-----------|------------------------------------|-------------------------|----------------------------------|-------|
| DEVON ENERGY CORP | | | | | | | |
| 25179M-10-3 DVN | 52.04 | 225,000 | 11,709.00 | 14,221.28 | (2,512.28) | 180.00 | 1.54% |
| EXPEDITORS INTERNATIONAL | | | | | | | |
| WASHINGTON INC | 39.55 | 250,000 | 9,987.50 | 9,378.22 | 509.28 | 140.00 | 1.42% |
| 302130-10-9 EXPO | | | | | | | |
| GLAXOSMITHKLINE PLC | | | | | | | |
| SPONS ADR | 43.47 | 225,000 | 9,780.75 | 9,718.41 | 62.34 | 521.77 | 5.33% |
| 37733W-10-5 GSK | | | | | | 130.26 | |
| ILLINOIS TOOL WORKS INC | | | | | | | |
| 452308-10-9 ITW | 60.81 | 225,000 | 13,682.25 | 11,139.28 | 2,542.97 | 342.00 | 2.50% |
| | | | | | | 85.50 | |
| INGERSOLL-RAND PLC | | | | | | | |
| 047791-10-1 IR | 47.96 | 200,000 | 9,592.00 | 8,912.12 | 679.88 | 168.00 | 1.75% |
| KIMBERLY-CLARK CORP | | | | | | | |
| 494368-10-3 KMB | 84.43 | 150,000 | 12,664.50 | 8,810.96 | 3,853.54 | 444.00 | 3.51% |
| | | | | | | 111.00 | |
| MICROSOFT CORP | | | | | | | |
| 584918-10-4 MSFT | 26.71 | 300,000 | 8,013.00 | 8,419.33 | (406.33) | 276.00 | 3.44% |
| MONSANTO CO | | | | | | | |
| 61166W-10-1 MON | 94.65 | 75,000 | 7,098.75 | 3,909.18 | 3,189.57 | 112.50 | 1.58% |
| NESTLE S A | | | | | | | |
| SPONS ADR REPTG REG SH | 65.11 | 150,000 | 9,766.80 | 6,902.15 | 2,864.65 | 265.80 | 2.72% |
| 641068-40-8 NSRG Y | | | | | | | |
| OMNICOM GROUP INC | | | | | | | |
| 681919-10-6 OMC | 49.96 | 225,000 | 11,241.00 | 9,553.76 | 1,687.24 | 270.00 | 2.40% |
| PACCAR INC | | | | | | | |
| 693718-10-8 PCAR | 45.21 | 150,000 | 6,781.50 | 6,095.66 | 685.84 | 120.00 | 1.77% |
| SCHLUMBERGER LTD | | | | | | | |
| 806857-10-8 SLB | 69.30 | 125,000 | 8,662.38 | 7,546.38 | 1,116.00 | 137.50 | 1.59% |
| | | | | | | 34.38 | |

J.P.Morgan

J.P. Morgan

PATRICK J. ROONEY, SR. 2011 DE-FM ACCT. 
For the Period 12/1/13 to 12/31/12

US Large Cap Equity

| | Price | Quantity | Value | Adjusted Tax Cost Original Cost | Unrealized Gain/Loss | Est. Annual Inc. Accrued Div. | Yield |
|---|-------|-----------|---------------------|------------------------------------|-------------------------|----------------------------------|--------------|
| SYSCO CORP 871828-10-7 SYV | 31.66 | 475,000 | 15,098.50 | 13,130.23 | 1,908.27 | 532.00 | 3.54% |
| TE CONNECTIVITY LTD H84989-10-4 TEL | 37.12 | 375,000 | 13,920.00 | 10,186.64 | 3,733.36 | 315.00 | 2.26% |
| TIME WARNER INC NEW 887317-30-3 TWX | 47.83 | 225,000 | 10,761.75 | 6,250.37 | 4,511.38 | 234.00 | 2.17% |
| US DOLLAR PRINCIPAL | 1.00 | 7,340,430 | 7,340.43 | 7,340.43 | | 0.73 | 0.01% |
| US DOLLAR INCOME | 1.00 | 7,376,220 | 7,376.22 | 7,376.22 | | 0.73 | 0.01% |
| WALMART STORES INC 931142-10-3 WMT | 68.23 | 175,000 | 11,940.25 | 9,250.45 | 2,689.80 | 278.25 | 2.33% |
| WILLIS GROUP HOLDINGS PLC G96666-10-5 WSH | 33.53 | 200,000 | 6,706.00 | 7,796.07 | (1,090.07) | 216.00 | 3.22% |
| 3M CO 98579Y-10-1 MNM | 92.85 | 200,000 | 18,570.00 | 16,262.35 | 2,307.65 | 472.00 | 2.54% |
| Total US Large Cap Equity | | | \$326,302.63 | \$274,693.45 | \$51,609.18 | \$7,010.98 | 2.15% |
| | | | | | | \$543.77 | |

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