<b>8</b> ⊠	Yes	pendent child	"unearned" income, transactions, or liabilities of a spouse or dependent child unless you have first consulted with the Committee on Ethics.	ne, transactions first consulted	arned" incom ss you have f	er assets, "une swer "yes" unle	<b>EXEMPTION</b> —Have you excluded from this report any other assets, "unearned" income, transactions, or liabi because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the (	<b>EXEM</b> because
N <sub>o</sub>	Yes	ot be	other "excepted trusts" need no dependent child?	ics and certain ir spouse, or a	nittee on Ethi	ed by the Comr h a trust benefi	<b>TRUSTS</b> —Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	TRUST disclose
Ø	QUESTION	)F THESE (	- ANSWER EACH OF THESE QUESTIONS		TINFOR	OR TRUS	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION	EXCL
	esponse.	each "Yes" re	schedule attached for each "Yes" response	appropriate	and the	e answered	Each question in this part must be answered and the appropriate sched	
S S	Yes	\$5,000 from	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	VI. Did you re a single source <b>If yes, compl</b>	N <sub>O</sub>	Yes	III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?  If yes, complete and attach Schedule III.	III. Did y able liabi <b>If yes, c</b> o
N <sub>o</sub>	Yes 🔲	rangement	<ul> <li>V. Did you have any reportable agreement or arrangement with an outside entity?</li> <li>If yes, complete and attach Schedule V.</li> </ul>	V. Did you have any re with an outside entity? If yes, complete and a	<b>8</b>	Yes	II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	II. Did yo income of reportable If yes, co
<b>№</b>	Yes 🔲	efore the date r two years?	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	IV. Did you hold any re of filing in the current ca ff yes, complete and a	No U	Yes X	I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?  If yes, complete and attach Schedule I.	I. Did yo fees) of the lif yes, cu
			TIONS	EACH OF THESE QUESTIONS	OF THE		In all sections, please type or print clearly in blue or black ink.  PRELIMINARY INFORMATION — ANSWER	in all ser
,		more than 30 days late				Office:	employee Employing Office:	
who files		A <i>\$200 penalty</i> shall be against any individual	Check if Amendment	NOV	Date of Election:	7×9		sutetS Filer
5	(Office Use Only)	(0						
0 %		_	e:	Daytime Telephone:	Daytime	BANKS	Name: JAMES NEAL MARCHBANES	Name
TIVES	OFFICE OF THE CLERK	U.S. HOUSE OF						
51 NER	2013 OCT 25 PM 1:51	LEGISLATIV	FORM B Indidates and new employees	FOR the by candidates	The second secon	37,20/4	FINANCIAL DISCLOSURE STATEMENT Period covered: January 1,\(\frac{\partial}{2}\).	FINA Period
Page 1 of	P 107	- <b></b>				ATIVES	UNITED STATES HOUSE OF REPRESENTATIVES	TINU

## SCHEDULE I — EARNED INCOME (INCLUDING HONORARIA)

Page _			Name
	AC MARCHBANKS	NEAC	JAMES

9

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Exclude: Milit	<b>Exclude:</b> Military pay (such as National Guard or Heserve pay), rederal retirement programs, and benefits received under the social security Act.	ement programs, and benefits rec	ceived under the Social S	ecurity Act.
		Typo	Amount	ınt
	Course (include date of lecelpt for Horiotatia)	. ype	Current Year to Filling	Preceding Year
XYZC	XYZ Corporation, Houston, TX	Salary	\$6,300	\$28,450
1	First Bank & Trust, Houston, TX	Director's Fee	\$400	\$3,200
XYZ T	XYZ Trade Association, Chicago, IL (Rec'd December 2)	Honorarium	0	\$1,000
Harris	Harris County, Texas Public Schools	Spouse Salary	NA	NA
THE TASA	THE TASA GROUP, INC	FEES (EXPERT WINE)	٥	3/04
1/4 27	1 AD CONTRATION	< Pare (4,40)	4/	24
V & 11 11 11 11 11 11 11 11 11 11 11 11 1	1 10 100 101 101			:
				·
-				

Jτ	17	77	17	JT	5	។	Ŗ Ç	SP,	inco dent inco dent inco dent in the	Ton Ex	For that local	For prov	plan (do	ider of ir repo		$\Box$
ARES	STAMFRICAN CAPITAL	TASER INTERNATIONEL	JT KKK FILANCIAC HALDINGS STICK	BANK OF AMERICA	RENTHE		DC, Examples:	S	ing \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal refirement program, including the Thrift Savings Plan.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left.  For a detailed discussion of Schedule II requirements, please refer to the instruction booklet.	Exclude: Your personal residence, including second homes and vacation homes (unless there was rental to the contract to the co	For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.	account that exceeds the reporting thresholds.  For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and the city and state.	Provide complete names of stocks and mutual funds (do not use ticker symbols).  For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the plans)	Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.	Asset and/or Income Source	
CAPITHL	É	3	*	4	- S	<u></u>	S	SP Mega Corp. Stock	repairs from the tries journal	ersor tion I	y trac	er relete a	symi symi other le va	tsset fair m repor repor or sou	ď	
٦/	2	17	2	Z.	PRIPERTY	1st Bank of Paducah, KY accounts	Simon & Schuster	ega	permandal ret all ret all ret all ret you of you on or truction	e mod	resti ded,	the re all pro addre	bols)	held larke ting ting earne	=	BLOCK A
¥	$\mathcal{F}$	₹	5	20	101	훘	S	Corp	sona sona ital i irem y ind our s our s held he fai	side	in a state	oper sss (	ore	t valid	Š	웃
	Z	777	<b>1</b>	*	W.E	P	읈	). Sto	if chult if	nce, nles	priva s, a	ing to the dista	ach ach	west bue ex licorm	ğ	<b>^</b>
	7	*	2	4	PERTY:	絽	<u> </u>	X	seckingst in strongrams of the second section of the second secon	# no	nam nam ind ii	hrest des	and ns (s asse	ment and -	S	>
١.		<u> </u>	1	Mcx	7.5	₹			meter am, og og sig) og tan, og requ	a Lding	2 <u>2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 </u>	or in	a ich	ding (b) s	5	-
পূ	57	M	\$3	X	9	<b>20</b>			r incluincluincluincluincluincluincluinclu	as R	the busi	joj vesti	idas ual aitas at	\$1,00 \$1,00 liny of the y	୍ଦୃ	
JUK	STOCK	Dock	Ĉ.		79407	Ĭ			ings ome ding (JT), ants,	ental	ness busi- phic	nent, e.g.,	unds 11(k)	ction 20 at other ated rear.		4
			+	$oldsymbol{ o}$	<u> </u>	T			None	-	➤	.g _t	ਰੂ ਕਾ ਤਾ ≕			┪
			<del>                                     </del>	1		Г			\$1 - \$1,000		CD CD	1 × 5	If an asset ing year ar ing year ar it generate it generate be "None."	leas leas		
χ	×	×	×				Ы		\$1,001 – \$15,000		C	<u> 8</u>	ass ear era	ting od o		
				X			Indefinite		\$15,001 - \$50,000		0	ٷٙڠۣ	et wand and set was ted	yal.	<	
<u> </u>	ļ		1	ļ			क	×	\$50,001 - \$100,000		Ш	n is	ing ing	ify the o		I
ļ	<del> </del>			<del> </del>	<u> </u>	×	<u> </u>		\$100,001 - \$250,000		<u> </u>	*This column is for assets solely held by your spouse or dependent child.	If an asset was sold during the report- ing year and is included only because it generated income, the value should be "None."	Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.	Value of Asset	вгоск в
		+	ļ	┼	+	├-	-	-	\$250,001 - \$500,000		S H	ass Jepe	, de c	iset fair nett	¥	욧
		-	+	<del>├</del>	<del> </del>	-	<u> </u>		\$500,001 \$1,000,000 \$1,000,001 \$5,000,000	<u></u>		end ets	e v	mai chod	SS	۳ ا
	<b></b>	-		<del>                                     </del>		┪			\$5,000,001 - \$25,000,000			ent so		rket Ls(	œ	
<b></b>	<u> </u>		<del></del>	1	+	t	_	<u> </u>	\$25,000,001 - \$50,000,000		<u> </u>	┫윉≝	STAC BLG BLG	e of valuat		
			1	$\top$			l		Over \$50,000,000		r	. e	oulc	Le,		
									Spouse/DC Asset over \$1,000,000*			<u> </u>	404			
		X						×	NONE			o_ ∓ 9	등 프 교 등	inv all c		$\neg$
$\succeq$		<u> </u>	<u>~</u>	×	<u> </u>	ļ	ļ	_	DIVIDENDS			ing a	rein e	erre	9	
	ļ		┼—	<u> </u>	<u> </u>	L	-		RENT			sset the r	ferre t, an vest	ent all co	À	_
×	×	+		<u> </u>	-	×	-		INTEREST CAME		·	if the asset generated no industries drawn in the during the reporting period.		Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax investments for the such as 401(k).	Type of Income	BLOCK C
$\widehat{}$		<del></del> -	<u> </u>	×	+	╂┈	<u> </u>	-	CAPITAL GAINS EXCEPTED/BLIND TRUST			ting		ms tunts cho	3	홋
		+	+	┼		╀	-		TAX-DEFERRED			pe a s		that a	8	<u>۱</u>
		+	+	<del>                                     </del>	<del> </del>	╂	공		Other Type of Income			e j		t do spe spe wate	Ħ	
	}		1	\ 		1	Royalties		(Specify: e.g., Partnership Income or Farm	income	)	if the asset generated no income during the reporting period.	"Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disched as income Chock "None".	Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate taxing forms as 401 (k).		1
	1	1				Г			None	-						
χ									\$1 – \$200	=	=		* This column is for income spouse or dependent child.	<b>a</b> co		
									\$201 - \$1,000	3			is c	ຂອສ Me Ass		
	×			ļ				×	\$1,001 - \$2,500	<	∐		유흥 ·	and		
	ļ		<del></del>	ļ	<del></del>	×	×		\$2,501 - \$5,000	<u> </u>			dep me	· 말 당 당 학		1
-	ļ	-		-		₽	<del> </del>		\$5,001 - \$15,000 <b>\$15,001 - \$50,000</b>	<u> </u>	1 0		enc X	e karana		
	†	+	+			┢	_		\$50,001 – \$100,000		H 5			<b>9</b> 20 20 20 30		
		ļ	+	+	┪		-		\$100,001 - \$1,000,000	¥ ×		-	CH CC		_	
	1		1	1	<u> </u>	1	_		\$1,000,001 - \$5,000,000	>	_ ¬		id.	· 말 다 다 다	Į	
									Over \$5,000,000	2			œ E	· <del>≠</del> ora eck	2	
									Spouse/DC Income over \$1,000,000	* ≧			ři ön	ed :	<b>#</b>	ō
	×	in		<u> </u>			<u> </u>		None	-			Ö, Ö		<u></u>	BLOCK D
χ	1	B		<u> </u>	<del> </del>	<u> </u>	_		\$1 \$200	=			rom	Se X Se	짌	
	<del> </del>		×	╄		┞-	<u> </u>	×	\$201 - \$1,000	=	<b>P</b>		- as	ets belo	Amount of Income	
<b></b>	<del> </del>	3	+	<del>                                     </del>	+	Ļ	×	$\vdash$	\$1,001 - \$2,500 <b>\$2,501 \$5,000</b>			1	Income. Crieck "None" if no income was earned or generated.  * This column is for income derived from assets solely held by your spouse or dependent child.	For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as	Œ	
<b></b>	<del> </del>	<del> -</del>	+	X	+	₽	f	Н	\$5,001 <b></b> \$5,000	<u>&lt;</u>	<u> </u>		s se	# 다 다 다 in		
	1	2	+	1	×	1	-	Н	\$15,001 - \$50,000				ole:			
	<b>†</b>	7	+	†	1	T		Н	\$50,001 - \$100,000	Š	<b>∀</b> ₹		y h	dig the KK		
			<b>†</b>	1	1	Г			\$100,001 - \$1,000,000	 \$	i j		eld	C, cat		
									\$1,000,001 - \$5,000,000	>			φ	you egc nte		
									Over \$5,000,000	>			yοι	may bry of rest, d as		
L	1					<u></u>			Spouse/DC Income over \$1,000,000	* <u>}</u>		<u> </u>	_ =	ರ್ಚನ		

SCHEDULE II — ASSETS AND "UNEARNED" INCOME Name Page\_\_

Conti	Continuation Sheet (if needed)			rageoi
	BLOCK A	BLOCK B	BLOCK C	BLOCK D
-	Asset and/or Income Source	Value of Asset	Type of Income	Amount of Income
SP,		A B C C D E F G H J K L C C C C M	ify: e.g.,	Current Year Preceding Year
JT,		None 31 - \$1,000 31,001 - \$15,000 315,001 - \$50,000 3100,001 - \$250,000 3250,001 - \$250,000 3250,001 - \$500,000 3500,001 - \$1,000,000 35,000,001 - \$25,000,000 35,000,001 - \$25,000,000 325,000,001 - \$50,000,000 325,000,001 - \$50,000,000 325,000,001 - \$50,000,000 325,000,000 - \$50,000,000 325,000,000 - \$50,000,000	IONE DIVIDENDS RENT NTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income(Spec	None
77	ARMONRRESIDENTIAL	X	X	X
77	ATMIS ENERGY CORY	×	*	×
J 7	FIFTH THIRD BANCORY	>.	×	X
11	HEMOBIOTECHING	><		×
17	PROSPECT CAPITAL CAPP	*	×	304D AT 2085
J7	XCEL ENERGY INC	×	×	× ×
11	DELCATH SYSTEMS IAC	*		\$ \$ <b>\$</b>
JT	٠ لم	×	**************************************	*
17		*	× .	<b>X</b>
17	ZASS IAC STICK	×	×	×
75		×	>	× ×
77	6 MI NO DICKENS, TX (25%)	×	X	
5	WORLD MOTO INCX	×	×	
17	DELCATHSYSTEMS INC	×	×	×

This page may be copled if more space is required.

## SCHEDULE III — LIABILITIES

Page \_\_\_ of \_\_\_

Name

ling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000. ture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibowed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furni-Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount

•		I''		_		
				30		SP, DC, JT
				SP ALLIANCE FED CIU,	Example: First Bank of Wilmington, DE	Creditor
					May 1998	Date Liability Incurred mo/year
			3	MORTHUSSZIY-2915 LIZZ	Mortgage on 123 Main Street, Dover, DE	Type of Liability
						\$10,001— \$15,000
				×		\$15,001— \$50,000 <b>D</b>
	·					\$50,001— \$100,000 O
						\$100,001— \$250,000 □ \$250,001—
						\$500,000 T St.000,0001— St.000,0001— St.000,0001— St.000,0001— St.000,0001— St.000,0001— St.000,0001— St.000,0001— St.000,00001— St.000,0001— St.0000,0001— St.00000,0001— St.0000,0001— St.0000,0000,0001— St.0000,0000,0001— St.0000,0000,0000,0000,0000,0000,0000,00
						\$1,000,000 T R \$1,000,001— C E
						\$5,000,001— <b>H</b>
						\$25,000,001— \$50,000,000
			·i			Over \$50,000,000
	,					Spouse/OC Liability over ス \$1.006.000

## **SCHEDULE IV — POSITIONS**

cer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an offi-

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature

and positions solely of an nonorary nature.	ature.
Position	Name of Organization