



Filing ID #10001332

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Mr. C. A. Dutch Ruppertsberger
Status: Member
State/District: MD02

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2013
Filing Date: 05/11/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America	JT	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Cash Deposits ⇒ TDAM FDIC Insured Deposit		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
Compass 2 ⇒ Compass 2 Variable Annuity- (MFS) (IRA)	SP	\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
DESCRIPTION: General Account (No specific holdings) SP					
Compass 2 Variable Annuity ⇒ Compass 2 - Variable Annuity (MFS) (IRA)		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
DESCRIPTION: General Account (No specific holdings)					
Exchange Traded Funds ⇒ ISHARES 7-10 YR. Treasury Bnd		\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES Barclays TIPS SPDR (IRA)		None	Tax-Deferred	None	<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES Core S&P 500		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Exchange Traded Funds ⇒ ISHARES High-Yield Corp Bond (IRA)		\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES MSCI Emerging Mkts. (IRA)		None	Tax-Deferred	None	<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES Treasury Bnd		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
DESCRIPTION: Last year listed as "ISHARES Agency/Treasury Bonds (IRA)					
Exchange Traded Funds ⇒ Market Vectors High Yield Municipal Index ETF (HYD)		\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ Powershares Div. Achievers		\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ Powershares Emerging Mkts		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR AMEX (IRA)		None	Tax-Deferred	None	<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ SPDR DJ Wilshre		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Global Real Estate ETF		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard Emerging Markets		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard High Div Yd ETF		\$50,001 - \$100,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard MSCI Europe ETF		\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard Short Term Corp Bond		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard US Mid Cap		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Exchange Traded Funds ⇒		\$50,001 -	Tax-Deferred	None	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard US Small Cap		\$100,000			
Nationwide Annuity ⇒ Dreyfus Social Responsibility (IRA)		\$100,001 - \$250,000	Tax-Deferred	None	<input type="checkbox"/>
Nationwide Fixed Account (IRA)		\$250,001 - \$500,000	Tax-Deferred	None	<input type="checkbox"/>
Rupp & Assoc. Inc. Timonium, Md. Collections	SP	\$100,001 - \$250,000	Dividends	\$50,001 - \$100,000	<input type="checkbox"/>
Stocks (IRA) ⇒ BlackRock Energy and Resources Trust (BGR)		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Stocks (IRA) ⇒ Eaton Vance Tax Advantaged Dividend Income Fund Common Shares of Beneficial Interest (EVT)		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Stocks (IRA) ⇒ Nuveen Quality Preferred Income Fund 2 Shares of Beneficial Interest (JPS)		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Stocks (IRA) ⇒ Templeton Emerging Markets Income Fund, Inc. (TEI)		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Summer Beach #608 LOCATION: Ocean City, MD, US DESCRIPTION: Sold 8/2013	JT	None	Capital Gains, Rent	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Summit Community Bank	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Exchange Traded Funds ⇒ ISHARES 7-10 YR. Treasury Bnd		03/25/2013	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ ISHARES Barclays TIPS SPDR (IRA)		02/20/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Exchange Traded Funds ⇒ ISHARES Core S&P 500		06/18/2013	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ ISHARES Core S&P 500		01/17/2013	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ ISHARES High-Yield Corp Bond (IRA)		05/16/2013	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ ISHARES High-Yield Corp Bond (IRA)		06/19/2013	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES MSCI Emerging Mkts. (IRA)		07/31/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Market Vectors High Yield Municipal Index ETF		09/6/2013	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ Powershares Div. Achievers		05/16/2013	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR AMEX (IRA)		02/20/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR DJ Wilshre		09/9/2013	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ Vanguard Emerging Markets		01/9/2013	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ Vanguard Emerging Markets		01/17/2013	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ Vanguard High Div Yd ETF		07/11/2013	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ Vanguard High Div Yd ETF		01/17/2013	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ Vanguard MSCI Europe ETF		01/17/2013	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒		06/20/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard MSCI Europe ETF			(partial)		
Exchange Traded Funds ⇒ Vanguard US Small Cap		03/20/2013	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ Vanguard US Small Cap		06/18/2013	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ Vanguard US Small Cap		08/29/2013	P	\$1,001 - \$15,000	
Stocks (IRA) ⇒ BlackRock Energy and Resources Trust (BGR)		08/1/2013	P	\$1,001 - \$15,000	
Stocks (IRA) ⇒ BlackRock Energy and Resources Trust (BGR)		04/19/2013	P	\$15,001 - \$50,000	
Stocks (IRA) ⇒ Eaton Vance Tax Advantaged Dividend Income Fund Common Shares of Beneficial Interest (EVT)		06/27/2013	P	\$15,001 - \$50,000	
Stocks (IRA) ⇒ Nuveen Quality Preferred Income Fund 2 Shares of Beneficial Interest (JPS)		07/10/2013	P	\$15,001 - \$50,000	
Stocks (IRA) ⇒ Nuveen Quality Preferred Income Fund 2 Shares of Beneficial Interest (JPS)		08/1/2013	P	\$1,001 - \$15,000	
Stocks (IRA) ⇒ Nuveen Quality Preferred Income Fund 2 Shares of Beneficial Interest (JPS)		09/4/2013	P	\$1,001 - \$15,000	
Stocks (IRA) ⇒ Templeton Emerging Markets Income Fund, Inc		09/6/2013	P	\$15,001 - \$50,000	
Summer Beach #608	JT	08/23/2013	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
LOCATION: Ocean City, MD, US					

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Baltimore County, Maryland	Retirement Pension	\$91,074
Maryland State Retirement Agency	Distribution from Pension	\$35,386

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Sun Trust Consumer Loans	July, 2012	Automobile Loan	\$15,001 - \$50,000
JT	Provident Funding	July, 2012	Mortgage Re-finance on Primary Residence (Highfield Ct.)	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	U. of Baltimore Law School Advisory Council
Baord Member	U. of Maryland Medical Systems Shock Trauma
Board Member	United States Navel Academy

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
August 1975	Baltimore County Employment Retirement System	Baltimore County Pension Plan & Deferred Compensation Plan
August 1988	Maryland State Retirement Agency	State Retirement & Pension System of Maryland

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"> Cash Deposits

- Compass 2 (Owner: SP)
- Compass 2 Variable Annuity
- Exchange Traded Funds
- Nationwide Annuity
- Stocks (IRA)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. C. A. Dutch Ruppertsberger , 05/11/2014