

UNITED STATES HOUSE OF REPRESENTATIVES 2013 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

Name: Chellie Pingree

Daytime Telephone: 207-774-5019

HAND DELIVERED 1 of 10
LEGISLATIVE RESOURCE CENTER
2014 AUG 13 PM 2:13

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

FILER STATUS	<input checked="" type="checkbox"/> Member of or Candidate for U.S. House of Representatives	State: <u>Maine</u> District: <u>01</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____
REPORT TYPE	<input checked="" type="checkbox"/> 2013 Annual (Due: May 15, 2014)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination Date: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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Use additional sheets if more space is required.

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SP	Asset &/or Income Source	Asset Value							Type of Income							Amount of Income										
		EIF None 1,000- 15,000	15,001- 50,000	50,001- 100,000	100,001- 250,000	250,001- 500,000	500,001- 1mm+	1mm+ & Over	None	Div	Part	Int	Gain	Trust	Other	None	200	1,000	2,500	5,000	15,000	50,000	100,000	1mm+	Time- Action	
SP	Careml Investments LLC-Investments, Dover, DE																									
SP	Kellogg Street LLC - single property real estate - Portland, ME						X																			
SP	Rebeco LLC - real estate - Portland, ME:																									
SP	Hampshire St #2, real estate - Portland, ME				X																					
SP	Hampshire St #3, real estate - Portland, ME				X																					
SP	Hampshire St #4 real estate - Portland, ME				X																					
SP	Hampshire St #5, real estate - Portland, ME				X																					
SP	Federal St #9, real estate - Portland, ME				X																					
SP	Federal St #10, real estate - Portland, ME				X																					
SP	Newbury St #7, real estate - Portland, ME				X																					
SP	Newbury St #9, real estate - Portland, ME				X																					
SP	Atlas Capital Resources LP - Industrial Companies - Greenwich, CT Not Self Directed. Fund manager letter on file with Committee on Ethics.						X																			
SP	Congress Street Properties LLC - single property real estate - Portland, ME				X																					
SP	Dune Real Estate Fund II LP-real estate investment fund- Greenwich, CT Not Self Directed. Fund manager letter on file with Committee on Ethics.						X																			
SP	Energy Pioneer Solutions Inc.- energy efficiency provider- Hastings, NE Convertible Debt and Notes						X																			
SP	Maine's Own Organic Milk L3C, LLC - Organic Milk Producer, Augusta, ME - Stock				X																					
SP	MID Solar Sciences Corp - sunblock provider - Norwalk, CT Stock						X																			
SP	National Field, LLC - software - DC				X																					
SP	Phoenix Services International LLC - industrial services - Greenwich, CT				X																					
SP	Saco Island LP - property development - Saco, ME				X																					
SP	- Operating Company																									
SP	SI Development LLC - property development manager - Saco, ME				X																					
SP	Ulysses Real Estate Partners, LP-diversified US real estate investment fund - NY, NY Not Self Directed. Fund manager letter on file with Committee on Ethics.						X																			
SP	WDE Partners LP: West Deptford Energy Holdings LLC - electric power generator - East Brunswick, NJ						X																			
SP	Sequentia Diversified US Fund, LLC - Hedge Fund - Hamilton Bermuda - Not Self Directed. Fund manager letter on file with Committee on Ethics.																									
SP	Cathay Capital Holdings III, LP - Private Equity Fund - Greenwich, CT - Spouse is fund manager						X																			
SP	Careml Partners Ltd., Investments, Greenwich CT, S.Corp						X																			
SP	Commercial Street Capital LLC: Investments-Rye Brook, NY						X																			

SCHEDULE A - ASSETS AND "UNEARNED" INCOME

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SP	Asset & Income Source	SP	Asset Value										Type of Income			Amount of Income										Time
			1 - 1,000	1,001 - 15,000	15,001 - 50,000	50,001 - 100,000	100,001 - 250,000	250,001 - 500,000	500,001 - 1mm	1mm - 5mm	5mm - 10mm	10mm - 25mm	25mm - 50mm	50mm - 100mm	100mm - 250mm	250mm - 500mm	500mm - 1mm	1mm - 2.5mm	2.5mm - 5mm	5mm - 10mm	10mm - 25mm	25mm - 50mm	50mm - 100mm	100mm - 250mm	250mm - 500mm	
SP	Arno Therapeutics Inc. - bio-tech co - Flemington, NJ									X			X													
SP	Arno Therapeutics Inc., convertible debt, bio-tech co - Flemington, NJ													X												
SP	Kite Pharma Inc - bio-tech co - Los Angeles, CA														X											
SP	EJS Investments LLC (via Carent Investments LLC) Investments, Rye Brook, NY																									
SP	Atlas Finch Investors: Finch Paper Holdings LLC - industrial products investment - Greenwich, CT														X											
SP	Franklin Realty Company-Investment, Rye Brook, NY - S Corp														X											
SP	GreenFuture Capital LLC-Investments Rye Brook, NY																									
SP	Gray K Environmental Fund II LP - Investment partnership - NY, NY not self directed. Fund manager letter on file with Committee on Ethics.																									
SP	Sunray Power OPCCO LLC - solar power provider - NY, NY - Operating company														X											
SP	Sunray Power LLC - solar power provider - NY, NY - Operating company																									
SP	Sunray Power Management LLC - solar power provider - NY, NY - Operating company																									
SP	China Aoyuan Property Group Limited - Stock																									
SP	Atlantic Street LLC - single property real estate - Portland, ME																									
SP	Catalyst LLC - database provider - DC																									
SP	Cathay Investment Fund Limited- stock																									
SP	Centron Telecom International Holdings Ltd. - stock																									
SP	Cinisure Inc ADR - stock																									
SP	Fish Creek LLC - single property real estate - Deer Isle, ME																									
SP	Goose Cove LLC-Investments, Dover DE																									
SP	Goose Cove Lodge- real estate - Deer Isle, ME																									
SP	Davids Folly Farm - real estate - Brooksville, ME																									
SP	High Performance Districts Inc.- software company - San Francisco, CA Convertible Note																									
SP	Real Estate Recovery Partners LLC Investments-Rye Brook, NY:																									
SP	Southstar Communities, LLC - real estate development co - Dallas, TX																									
SP	Casprey Express LLC/Casprey Express Management Inc - aircraft leasing - S-Corp																									
SP	Maine Values LLC investment in Maine Today Media Holdings, Inc - stock Newspaper company - Portland, ME - Stock																									

SCHEDULE A - ASSETS AND "UNEARNED" INCOME

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Asset/Income Source	Asset Value	Type of Income	Amount of Income					Total Assets
			1 - 1,000	1,001 - 15,000	15,001 - 50,000	50,001 - 100,000	100,001 - 250,000	
Asset/Income Source	1 - 1,000	1,001 - 15,000	15,001 - 50,000	50,001 - 100,000	100,001 - 250,000	250,001 - 500,000	500,001 - 1,000,000	1,000,001 - 10,000,000
SP Magic Carpet Enterprises LLC/Magic Carpet Management Ltd. - aircraft leasing - Ithaca, NY		Partnership Income						X
SP Mingo Management Ltd. - real estate - St. Thomas, VI		Partnership Income						X
SP S. Corp.		Partnership Income						X
SP New China Investment Management Inc. - investment manager - Stamford, CT S. Corp. Spouse is fund manager		Partnership Income						X
SP New China Management Corp. - investment manager - Stamford, CT S. Corp. Dissolved 2013		Partnership Income						X
SP No Small Potatoes Investment Club LLC - micro lender - N. Yarmouth, ME		Partnership Income						X
SP Paloma Strategic Advisors LLC - no assets at 12/31/13, Greenwich, CT, Dissolved 2013		Partnership Income						X
SP Paloma Partners Management Co. - investment management co. - Greenwich, CT S. Corp		Partnership Income						X
SP Sundown Corporation - no assets at 12/31/13 - Greenwich, CT S. Corp. Dissolved 2013		Partnership Income						X
SP TAM China LLC - investment - Stamford, CT		Partnership Income						X
SP New China Capital Management LP - investment mgr - Stamford, CT Operating Business		Partnership Income						X
SP Trust Asset Management LLP & Capital Asset Management Inc. - investment Advisor - Portland, ME		Partnership Income						X
SP Operating Business		Partnership Income						X
SP Sequentia Limited - fund manager - Hamilton, Bermuda - via First Capital Advisors, LLC. Operating business.		Partnership Income						X
SP Loan: CMCC, LP (through Carent Investments LLC) - Augusta, ME		Partnership Income						X
SP Loan: Maine Today Media Holdings, Inc. - Portland, ME		Partnership Income						X
SP Loan: AMA, LLC - Portland, ME		Partnership Income						X
SP Loan: Island & Coastal Innovation Fund LLC - Rockport, ME		Partnership Income						X
SP Bear Harbor Bank & Trust		Partnership Income						X
SP JP Morgan Chase Bank		Partnership Income						X
SP JP Morgan Chase Bank		Partnership Income						X
SP JP Morgan Chase Bank - EIS Investments, LLC		Partnership Income						X
SP JP Morgan Chase Bank - Commercial Bank Capital LLC		Partnership Income						X
SP JP Morgan Chase Bank - Greenwich Capital LLC		Partnership Income						X
SP Morgan Stanley Smith Barney - cash		Partnership Income						X
SP Merrill Lynch - cash		Partnership Income						X
SP Camden National Bank		Partnership Income						X
SP Camden National Bank - Real Estate Recovery Partners LLC		Partnership Income						X
SP First Bank of Puerto Rico		Partnership Income						X
SP Key Bank		Partnership Income						X

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Name: Chellie Pingree

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Source (include date of receipt for honoraria)

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Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: Chellie Pinyne

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP DC JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
SP	J.P. Morgan Chase Bank	4/2008	Secured Loan											X
SP	J.P. Morgan Chase Bank	6/2012	Line of Credit											X

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Officer	Nepo Lodge, Inc.

Use additional sheets if more space is required.

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EXCISE CODE: Privately-sponsored travel approved by the Ethics Committee, if post-travel disclosure was filed with the Clerk; travel-related expenses provided by federal, state, and local governments, or by a foreign country, required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

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Use additional sheets if more space is required.