



Filing ID #10001071

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Jose Luis Penalosa Jr
Status: Congressional Candidate
State/District: AZ07

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2014
Filing Date: 05/13/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
55 East Lexington Avenue LOCATION: Phoenix/Maricopa, AZ, US DESCRIPTION: Law Office Building		\$100,001 - \$250,000	None		
7215 South Highway 87 LOCATION: Eloy/Pinal, AZ, US DESCRIPTION: Vacant Land		\$15,001 - \$50,000	None		
9844 East Blanche Drive LOCATION: Scottsdale/Maricopa, AZ, US		\$250,001 - \$500,000	None		
Chase Bank	DC	\$1 - \$1,000	None		
Chase Bank		\$1,001 - \$15,000	None		
Chase Bank		\$1 - \$1,000	None		
J.P. Morgan		\$1,001 - \$15,000	Dividends, Interest, Tax-	\$201 - \$1,000	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
			Deferred		
NY Life Insurance & Annuity		\$1,001 - \$15,000	Dividends, Interest, Tax-Deferred	\$201 - \$1,000	\$201 - \$1,000
NY Life Insurance & Annuity-SEP		\$1 - \$1,000	Dividends, Interest, Tax-Deferred	\$1 - \$200	\$1 - \$200
NY Life Mainstay Investments		\$1,001 - \$15,000	Dividends, Interest, Tax-Deferred	\$201 - \$1,000	\$2,501 - \$5,000
NY Life Mainstay Investments-Roth IRA		\$15,001 - \$50,000	Dividends, Interest, Tax-Deferred	\$201 - \$1,000	\$2,501 - \$5,000
NYL Retirement Plan Services-Simple IRA		\$1,001 - \$15,000	Dividends, Interest, Tax-Deferred	\$201 - \$1,000	\$1,001 - \$2,500
Penalosa & Associates, P.C., Attorneys, 100% Interest		\$100,001 - \$250,000	Business Income	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
LOCATION: Phoenix/Maricopa, AZ, US DESCRIPTION: "S" Corporation-Professional Law Practice					
Scholar's Edge		\$1,001 - \$15,000	Dividends, Interest, Tax-Deferred	\$1 - \$200	\$201 - \$1,000
LOCATION: CO DESCRIPTION: DC1					
Scholar's Edge		\$1,001 - \$15,000	Dividends, Interest, Tax-Deferred	\$201 - \$1,000	\$1,001 - \$2,500
LOCATION: CO DESCRIPTION: DC2, DC3					
Wells Fargo		\$1 - \$1,000	None		
DESCRIPTION: DC2, DC3					

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Penalosa & Associates, P.C., Attorneys at Law	Salary	\$11,433	\$38,500
Penalosa & Associates, P.C., Attorneys	Spouse Salary	N/A	N/A
Penalosa & Associates, P.C.,	S Corporation	\$	\$21,853

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	U.S. Department of Education	September, 2013	College Student Loan	\$15,001 - \$50,000
	First Bank-SBA Loan for Office	May, 2013	Office Mortgage for 55 E. Lexington Ave., Phx, AZ	\$100,001 - \$250,000
JT	Wells Fargo-SBA Loan for Office	June, 2013	Office Mortgage for 55 E. Lexington Ave., Phoenix, AZ	\$100,001 - \$250,000
JT	First Bank	April, 2013	Home Mortgage	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Member, Board of Directors	Friends of the Scottsdale Library
Member, Board of Directors	Friendly House, Inc.
President	Penalosa & Associates, P.C., Attorneys at Law
Committee Member	Catholic Community Foundation

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Jose Luis Penalosa Jr, 05/13/2014