



Filing ID #10003450

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Dr. Chad E Mathis
Status: Congressional Candidate
State/District: AL06

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2014
Filing Date: 06/30/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Alabama Bone and Joint Clinic, LLC LOCATION: Pelham/Shelby, AL, US DESCRIPTION: Alabama Bone and Joint Clinic Assets COMMENTS: 120 Cahaba Valley Parkway, Suite 100 Pelham, AL 35124		\$100,001 - \$250,000	None		
Alabama Bone and Joint Real Estate LOCATION: Pelham/Shelby, AL, US DESCRIPTION: Medical Office Building COMMENTS: 120 Cahaba Valley Parkway Pelham, AL 35124		\$500,001 - \$1,000,000	None		
Alabama Bone and Joint, 401k ⇒ American Funds Capital WorldG/I R3		\$15,001 - \$50,000	Tax-Deferred	None	None
Alabama Bone and Joint, 401k ⇒ Columbia Mid Cap Index A		\$50,001 - \$100,000	Tax-Deferred	None	None
Alabama Bone and Joint, 401k ⇒ Columbia Small Cap Index A		\$15,001 - \$50,000	Tax-Deferred	None	None
Alabama Bone and Joint, 401k ⇒		\$50,001 -	Tax-Deferred	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fifth Third Bank Equity Index Fund A		\$100,000			
Alabama Bone and Joint, 401k ⇒ Harbor Capital Appreciation Inv		\$50,001 - \$100,000	Tax-Deferred	None	None
Alabama Bone and Joint, 401k ⇒ MFS Value R3		\$50,001 - \$100,000	Tax-Deferred	None	None
Alabama Bone and Joint, 401k ⇒ Oppenheimer Developing Markets A		\$15,001 - \$50,000	Tax-Deferred	None	None
Alabama Bone and Joint, 401k ⇒ PIMCO Real Return A		\$1,001 - \$15,000	Tax-Deferred	None	None
BBVA Bank	JT	\$1 - \$1,000	Interest	None	\$1 - \$200
Birmingham Outpatient Surgery Center, LTD		\$1 - \$1,000	Partnership Income	\$201 - \$1,000	\$15,001 - \$50,000
LOCATION: Birmingham/Jefferson, AL, US DESCRIPTION: Partnership Income COMMENTS: 2720 University Boulevard Birmingham, AL 35233					
Birmingham Outpatient Surgery Center, LTD		\$250,001 - \$500,000	Capital Gains	None	\$100,001 - \$1,000,000
LOCATION: Birmingham/Jefferson, AL, US DESCRIPTION: Capital Gains COMMENTS: 2720 University Boulevard Birmingham, AL 35233					
Open MRI on 280, LLC		\$1,001 - \$15,000	Partnership Income	\$2,501 - \$5,000	\$5,001 - \$15,000
LOCATION: Birmingham/Jefferson, AL, US DESCRIPTION: Partnership Income COMMENTS: Open MRI on 280, LLC 2737 Highway 280, Suite 141 Birmingham, AL 35223					
Service First Bank	JT	\$1,001 - \$15,000	Interest	None	\$1 - \$200
University Park Partners, LLC		\$15,001 - \$50,000	Partnership Income	\$1 - \$200	\$1 - \$200
LOCATION: Birmingham/Jefferson, AL, US DESCRIPTION: Partnership income Office Building COMMENTS: UNIVERSITY PARK PARTNERS LLC 120 18TH STREET S, STE 101 BIRMINGHAM, AL 35233					

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Alabama Bone and Joint Clinic, LLC	Partner income	\$64,696	\$474,875
Birmingham Outpatient Surgery Center, LTD	Partner Income	\$500	\$45,000
Alabama Bone and Joint Real Estate, LLC	Director Fee	\$2,000	\$2,000

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Service First Bank	June 2012	Alabama Bone and Joint Clinic and Real Estate	\$500,001 - \$1,000,000
	Service First Bank	December 2013	Personal Line of Credit	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Director/President	Alabama Coalition for Public Charter Schools
Director	Alabamians for Public Charter Schools

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
October 2013	Alabama Bone and Joint Clinic, LLC	Leave of Absence

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

◦ Alabama Bone and Joint, 401k

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Dr. Chad E Mathis , 06/30/2014