more than 30 days late.	Termination Date: 1/2/2011	■ Termination	Amendment	ort	Report Type
A \$200 penalty shall be assessed against anyone who files		Officer Or Employee	State: NC District: 02	Member of the U.S. House of Representatives	Filer
(Office Use Only)	(Daytime Telephone)		<b>(a)</b>	(Full Name)	
,	919-821-9055		dge	Bob Etheridge	
2011 FES - 1 FH 3: 17	,			01016	
	For use by Members, officers, and employees		OSURE STATEM	CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT	CAL
DELIVERED	FORM A Page 1 of 11		REPRESENT	UNITED STATES HOUSE OF REPRESENTATIVES	S
HAND					

### ₹ ₹ PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS Did you, your spouse, or a dependent child receive "unearmed" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III. If yes, complete and attach Schedule V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Did you, your spouse, or dependent child purchase, sell, or exchange any reportable esset in a transaction exceeding \$1,000 during the reporting Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule IV. If yes, complete and attach Schedule I. **\*** 8 ձ 3 쯯 중 8 중 중 Ş • Did you, your spouse, or a dependent child receive any reportable gift in VI. the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Did you hold any reportable positions on or before the date of filing in the VIII. current calendar year? Ŗ Did you, your spouse, or a dependent child receive any reportable travel or VIII. reimbursements for travel in the reporting period (worth more than \$335 If yes, complete and attach Schedule IX. Did you have any reportable agreement or arrangement with an outside entity? schedule attached for each "Yes" response. Each question in this part must be answered and the appropriate If yes, complete and attach Schedule VIII. If yes, complete and attach Schedule VII. If yes, complete and attach Schedule VI. from one source)? **š** š 3 8 • Ž Z <u>z</u> ᇂ

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Exemptions	Trusts-
Exemptions— Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
Yes No	Yes No
8	* &

## **SCHEDULE 1 - EARNED INCOME**

Name Bob Etheridge

Page 2 of 11

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

	-	
Source	Туре	Amount
State of North Carolina	State/Legislative Pension	\$11,000
Harnett Board of Education	Spouse Retirement	K

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Page 3 of 11

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5 5 5 5 =in the optional column on the far left. that of your spouse (SP) or dependent child (DC) or is jointly held (JT) parent or sibling; any deposits totaling \$5,000 or less in personal If you so choose, you may indicate that an asset or income source is Government retirement programs. savings accounts; any financial interest in or income derived from U.S. debt owed to you by your spouse, or by your or your spouse's child, its activities, and its geographic location in Block A. For additional and its value at the end of the reporting period. For an active business In the account that exceeds the reporting threshold. For retirement Exclude: Your personal residence(s) (unless there is rental income); any information, see the instruction booklet. that is not publicly traded, state the name of the business, the nature of plans that are not self-directed, name the institution holding the account in which you have the power, even if not exercised, to select the specific retirement plans (such as 401(k) plans) that are self directed (i.e., plans mutual funds (do not use ticker symbols). For all IRAs and other land, provide a complete address. Provide full names of stocks and than \$200 in "unearned" income during the year. For rental property or and (b) any other assets or sources of income which generated more a fair market value exceeding \$1,000 at the end of the reporting period, identify (a) each asset held for investment or production of income with investments), provide the value and income information on each asset Asset and/or Income Source Trust Broadway, NC Road, Lillington, NC Cameron Inth Corp Farm, McArthur Road Dean Witter Money Market Cornerstone Bank 1108 Summerville-Marners Coca Cola BLOCK A \$1,001 - \$3,200 \$750,000 \$15,000 \$350,000 \$15,000 \$1,001 -\$1,001 -\$1,001 - \$6,500 \$50,000 \$15,001 the value should be "None." it is generated income asset was sold and is the method used. If ar value, piesse specify other than fair market valuation method year. If you use a at close of reporting included only because Value of Asset Year-End BLOCK B Name Bob Etheridge Rent None Rent None Dividends during the calendar year even if reinvested, should specific investments, you Check all columns that not generate any income Check "None" if asset did be listed as income. Dividends and interest, appropriate box below. income by checking the IRAs, indicate the type of other assets including all may write "NA". For all not allow you to choose plans or accounts that do apply. For retirement nterest Type of Income **BLOCK C** NONE \$2,501 - \$5,000 NONE \$1 - \$300 \$1 - \$100 \$2,501 - \$5,000 of income by checking the IRAs, indicate the category earned or generated. listed as income. Check if reinvested, should be D∤vidends and interest, even appropriate box below. other assets, including all "NA" for Income. For all you to choose specific accounts that do not allow nvestments, you may write For retirement plans or None" if no income was Amount of Income BLOCK D \$1,000 in exceeding exchanges (E) Transaction reporting year (P), sales (S), or had purchases indicate if asset BLOCKE

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	COME Name Bob Etheridge	eridge		Page 4 of 11
နှ	Goldman Sachs CD*	\$1,000 - \$20,000	None	NONE	
Ţ	General Electric	\$1,001 - \$10,000	Dividends	\$1 - \$300	
	IRA (BB&T)	\$1,001 - \$15,000	Dividends	\$1 - \$500	·- !
	IRA (Intel Corporation)	\$1,001 - \$2,500	Dividends	\$1 - \$200	
	IRA (Bank of America)	\$1,001 - \$20,000	Dividends	\$1 - \$100	
	IRA (Bank of New York)	\$1,001 - \$15,000	Dividends	\$1 - \$200	 : : : :
SP	IRA (Frontier Communications)	\$1,001 - \$3,000	Dividends	\$1 - \$400	
SP	IRA (Dow Chemical)	\$1 - \$1,000	Dividends	\$1 - \$200	
SP	IRA (Duke Energy)	\$1,001 - \$5,000	Dividends	\$1 - \$200	
	IRA (Honeywell International)	\$1,001 - \$15,000	Dividends	\$1 - \$200	
SP	IRA (Ishares MSCIEAFE Fund)	\$1,001 - \$6,000	Dividends	\$1 - \$200	
	IRA (Ishares S&P Europe)	\$1,001 - \$15,000	Dividends	\$1 - \$200	
	IRA (Ishares Trust Lehman)	\$1,001 - \$15,000	Dividends	\$1 - \$300	!
SP	IRA (Ishares Trust Lehman)	\$1,001 - \$5,000	Dividends	\$1 - \$400	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME	
Name Bob Etheridge	

SCHED	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Bob Etheridge	ridge		Page 5 of 11
	IRA (NYSE Euronext)	\$1,001 - \$15,000	Dividends	\$1 - \$200	
	IRA (Progress Energy)	\$1,001 - \$15,000	Dividends	\$1 - \$400	1
SP	IRA (Tenaris S.A.)	\$1,001 - \$5,000	Dividends	\$1 - \$200	
<b>5</b>	Microsoft	\$1,001 - \$5,000	Dividends	\$1 - \$200	
	NC State deferred compensation (NC Stable Value Fund)	\$15,001 - \$30,000	None	NONE	·
; ;	NC State deferred compensation (NC Large Cap Value Fund)	\$15,001 - \$60,000	None	NONE	
	NC State deferred compensation (NC Large Cap Index Fund)	\$15,001 - \$25,000	None	NONE	· <b></b>
TL	New Century Bank	\$15,001 - \$60,000	None	NONE	
	Oppenheimer Equity Income	\$1,001 - \$15,000	Dividends	\$1 - \$300	
   	IRA (Pfizer, Inc)	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Ţ	RBC Centura Bank	\$1,001 - \$10,000	Dividends	\$1 - \$500	
7	BB&T	\$1,001 - \$10,000	Dividends	\$1 - \$400	-
7	Buncombe County Bonds	\$1,000 - \$6,000	Interest	\$1 - \$300	

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SCHED	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Bob Etheridge	eridge		Page 6 of 11
	IRA (GE)	\$1,000 - \$6,000	Dividends	\$1 - \$500	
Sp	IRA (BB&T)	\$1,000 - \$3,000	Dividends	\$1-\$400	
ŞP	IRA (XTO Energy)	\$1,000 - \$3,000	Dividends	\$1 - \$100	
	IRA (E.O.G. Resources)	\$1,000 - \$3,000	Dividends	\$1 - \$300	-
	IRA (Chesapeake Energy)	\$1,001 - \$2,000	Dividends	\$1 - \$300	
Yes a second	IRA (PepsiCo Inc. NC)	\$1,001 - \$6,000	Dividends	\$1 - \$100	:
i de la companya de l	IRA (Discover Fincl Svcs)	\$1 - \$1,000	Dividends	\$1-\$100	<u>-</u>
SP	IRA (Dean Witter Money Market Trust)	\$1,001 - \$15,000	Interest	\$1 - \$300	
	IRA (Dean Witter Money Market Trust)	\$1,001 - \$15,000	Interest	\$1 - \$300	
Ţ	Powershares QQQ TR	\$1,000 - \$3,000	Dividends	\$1 - \$100	; <del>-</del>
<b>β</b>	IRA (PepsiCo Inc NC)	\$1,000 - \$3,000	Dividends	\$1 - \$100	:
SP	IRA (Schlumberger LTD)	\$1,000 - \$4,000	Dividends	\$1 - \$100	
SP	IRA (Spectra Energy)	\$1 - \$1,000	Dividends	\$1 - \$100	1
SP	IRA (Bank of America)	\$1,001 - \$2,000	Dividends	\$1 -\$100	

SCHED	SCHEDULE III - ASSETS AND "UNEARNED" INCOME		Name Bob Etheridge	dge	-	Page 7 of 11
JT	New Century Bancorp Inc	\$1,001 - \$4,000		None	NONE	
7	BB&T	\$5,000 - \$26,000		Dividends	\$1 - \$500	
SP P	IRA (Abbott Laboratories	\$1,001 - \$3,000		Dividends	\$1 - \$100	
<b>5</b> 7	BB&T*	\$50,001- \$100,000		None	NONE	
Ţ	Land, Lillington, NC*	\$200,001 \$365,000		None	NONE	·
JT	Capital Bank	\$2,00 - \$5,000	. ;	None	NONE	

## **SCHEDULE IV - TRANSACTIONS**

Name Bob Etheridge

Page 8 of 11

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP,	Asset	Type of Transaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
J	BB&T Stock	TO .	N/A	08-02-10	\$25,658
JT	BB&T Stock	Ø	8	06-30-10	\$26,437
7	Capital Bank Stock	Р	NA	06-30-10	\$3,496
7	New Century Stock	0	N/A	03-05-10	\$1,581
7	Capital Bank Stock	ס	N/A	09-30-10	\$553
Ţ	Capital Bank Stock	<b>ס</b>	N A	10-04-10	\$384

# SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Bob Etheridge

Page 9 of 11

the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you. sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the

0 days	Υ	~	~	Aug. 16-21 RDU-Whistler, BC-RDU	Aug. 16-21	The Aspen Institute Congressional Program
Days not at sponsor's expense	Was a Family od? Member Included? Y/N) (Y/N)	Food? (Y/N)	Lodging? Foo (Y/N) (Y	Point of Departure Lodging? Food?  Date(s) DestinationPoint of Return (Y/N) (Y/N)	Date(s)	Source

### SCHEDULE VIII - POSITIONS

Name Bob Etheridge

Page 10 of 11

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Advisory Board Member	Ochoneeche Boy Scout Council (non-paid)
Honorary Board Member	General Hugh Shelton Scholarship Endowment (non-paid)
Advisory Board Member	North Carolina Heroes Fund (non-paid)

### **FOOTNOTES**

N Number Schedule III Schedule III Schedule III Section / Schedule Inherited land Inherited stock Inherited CD Name Bob Etheridge Footnote BB&T\* Goldman Sachs CD\* Land, Lillington, NC\* This note refers to the following item Page 11 of 11