

MAY 12 2014

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT

FORM B
For New Members, Candidates, and New Employees

LEGISLATIVE RESOURCE CENTER

Page 1 of 10

Name: ANIL BASHESHKAR MATH KUMAR Daytime Telephone: 204.627.2041

FILER STATUS

☒ New Member of or Candidate for U.S. House of Representatives
Candidates Date of Election: NOVEMBER 2014

☐ Check if Amendment

OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES
(Office Use Only)

☐ New Officer or Employee
Employing Office: _____

Period Covered: January 1, 2013 to MARCH 31, 2014

A \$200 penalty shall be assessed against individual who files more than 30 days after the deadline.

PRELIMINARY INFORMATION ANSWER EACH OF THESE QUESTIONS

<p>A. Did you, your spouse, or your dependent child:</p> <p>a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or</p> <p>b. Make more than \$200 in unearned income from any reportable asset during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>		<p>E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	
<p>C. Did you or your spouse have (earned) income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>		<p>F. Do you have any reportable agreements or arrangements with an outside entity?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	
<p>D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>		<p>J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER YES
THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

<p>TRUSTS <input type="checkbox"/> Details regarding (Qualified Blind Trusts) approved by the Committee on Ethics and certain other (excepted trusts) need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p>EXEMPTION <input type="checkbox"/> Have you excluded from the report any other assets, (earned) income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer (yes) unless you have first consulted with the Committee on Ethics.</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
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Use additional sheets if more space is required.

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Use additional sheets if more space is required.

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Use additional sheets if more space is required.

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Use additional sheets if more space is required.

SCHEDULE C - EARNED INCOME

Name: ANIL KUMAR

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding \$1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2013 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,955. It is unchanged in 2014. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

Source (include date of receipt for honoraria)	Type	Amount	
		Current Year to Filing	Preceding Year
<div> <div> <div>ABC Trade Association, Baltimore, MD (July 15)</div> <div>State of Maryland</div> <div>Civil War Roundtable, Richmond, VA (Oct 2)</div> <div>Ontario County Board of Education</div> </div> <div> <div>Honorarium</div> <div>Salary</div> <div>Spouse Speech</div> <div>Spouse Salary</div> </div> <div> <div>\$0</div> <div>\$20,000</div> <div>\$0</div> <div>N/A</div> </div> <div> <div>\$500</div> <div>\$75,000</div> <div>\$1,000</div> <div>N/A</div> </div> </div>			
MICHIGAN UNITED PHYSICIANS PC, ROCHESTER, MI	SALARY	\$ 68,000	\$ 198,900
MICHIGAN UNITED PHYSICIANS PC, ROCHESTER, MI	SALARY	\$ 13,025	\$ 8,500
MICHIGAN UNITED PHYSICIANS PC, ROCHESTER, MI	SALARY	\$ 0	\$ 7,500
MICHIGAN UNITED PHYSICIANS PC, ROCHESTER, MI	MANAGEMENT FEE	\$ 11,250	\$ 45,000
TRINITY HEALTH, LINDEN, MI	URINARY SVCS	\$ 3,980	\$ 11,941
CANTON HOSPITAL, ROCHESTER, MI	URINARY SVCS	\$ 3,383	10,150
WAYNE STATE UNIVERSITY, DETROIT, MI	UROLOGY SVCS	\$ 593	1,779

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: **ANIL KUMAR**

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. New Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/03	Mortgage on Rental Property, Dover, DE				X							
JT	QITIMORTGAGE SIOUY HS SD	1996	MTC on Residence M1			X								
JT	QITIMORTGAGE SIOUY HS SD	2004	MTC on 2nd Res M1					X						
JT	CHASE BANK	2005	HOME EQUITY M1		X									

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report positions held in the reporting period and the current calendar year. First-year candidates and new employees report positions held in the current calendar year and two previous years.

Position	Name of Organization
NONE	

Use additional sheets if more space is required.

Name: ANIL KUMAR Page 9 of 10

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.		
Date	Parties to Agreement	Terms of Agreement
	None	

Source (Name and City/State)		Brief Description of Duties
<i>Example:</i>	Doe Jones & Smith, Hometown, Homestate	Accounting Services
	Nancy	

Use additional sheets if more space is required.

Name: ANIL KUMAR

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ANIL KUMAR

at 10 or 16

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
Periodic Transaction Report

<p>NAME <u>ANIL KUMAR</u></p> <p><input checked="" type="checkbox"/> CANDIDATE Member of the U.S. House of Representatives</p> <p>State: <u>MICHIGAN</u> District: <u>11</u></p> <p>File an original and 2 copies.</p> <p>A \$200 penalty shall be assessed against anyone who files more than 30 days late.</p> <p>Did you purchase any shares that were allocated as a part of an Initial Public Offering? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO</p>	<p>OFFICE TELEPHONE <u>248-396-8013</u></p> <p><input type="checkbox"/> Officer or Employee Employing Office: _____</p> <p>File an original and 1 copy.</p> <p>Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending. <input checked="" type="checkbox"/> Initial Report <input type="checkbox"/> Amendment</p> <p>Date of Report being Amended: _____</p>	<p>(For Official Use Only)</p>
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FULL ASSET NAME		TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION										
JT SP DC	Provide full name, not ticker symbol.	PURCHASE	SALE	EXCHANGE	(AMOUNT)	(AMOUNT)	A \$1,000- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	
JT	Example Mega Corp. Common Stock		X		8/14/12	8/14/12		X									
	SEE CANDIDATE FDS																
	DISCLOSURE ATTACHED																
	Page 3 of 3																

(This page will be publicly disclosed.)

UNITED STATES HOUSE OF REPRESENTATIVES
Periodic Transaction Report

NAME:

ANIL KUMAR

JT SP DC	FULL ASSET NAME Provide full name, not ticker symbol.	TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION										
		PURCHASE	SALE	EXCHANGE													
1	FEATHER MICHIGAN LITOTRUSRY LLC	X		1	12-31-13	3-15-14											
1	RADONC RESOURCE LLC	X		1	12-31-13	3-15-14	X										
	ENERGY TRANSFER PARTNERS LP	X			12-31-13	3-15-14	X										
1	RENTech NITROGEN PARTNERS LP	X			12-31-13	3-15-14	X										
	BLACKSTONE GROUP LP	X			12-31-13	3-15-14		X									
	ICAHN ENTERPRISES LP	X			12-31-13	3-15-14	X										

**FOOTNOTE
NUMBER**

FILER NOTES (optional)

1

RETURN OF CAPITAL

(This page will be publicly disclosed.)

UNITED STATES HOUSE OF REPRESENTATIVES
ETHICS IN GOVERNMENT ACT

FDS - FORM B: ANIL KUMAR - CANDIDATE

This report is being filed on a calendar year basis. In view of candidate's multiple business assets, information on any other basis would be practically impossible. We are submitting asset FMVs as of 12-31-13 and where requested we are submitting estimates to the best of our abilities of our current FMVs as of the end of the first quarter, 3-31-14.

The only thing current we have available for our large Joint Account with Ameritrade is a statement of Balances & Positions as of May 6, 2014 which shows an account balance as of that date of \$428,662 as opposed to a 12-31-13 balance of \$419,465. Copies of the summaries are attached. We were able to provide individual account balances as of 12-31-13 in our Schedule A statement. Candidate engages in a large amount of options trading. Accounting for individual trades would be a voluminous task. This is why we are including activity reports for the two periods of calendar year 2013 and 2014 year to date to May 6, 2014.

Ameritrade 12-31-13 statement Pages 1-20

Ameritrade 1-01-14 statement Pages 1- 7



Statement Reporting Period:
12/01/13 - 12/31/13

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # 884-135028
ANIL B KUMAR &
MARJORIE L KUMAR JT TEN
1556 BARTLEY LN
BLOOMFIELD HILL, MI 48304-1002

Announcements:
TD AMERITRADE WAS NAMED BEST BROKER
FOR ETFS IN KIPPLINGER'S PERSONAL
FINANCE, DECEMBER 2013 EDITION,
"THE BEST ISSUE." LOG IN AND GO TO
RESEARCH AND IDEAS, THEN ETFS, TO
SEE OUR RANGE OF ETF RESOURCES.

913-10620

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Cash	\$ -	\$ -	\$ -	-	\$ -	-	
Instrd Dep Acct	-	-	-	-	-	-	
Money Market	91,504.50	59,860.36	31,644.14	52.9%	-	-	Money Market 20.9%
Short Balance	-	-	-	-	-	-	
Stocks	337,457.00	329,873.50	7,583.50	2.3%	-	-	Stocks 76.9%
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	-	-	-	-	-	-	
Short Options	(9,495.99)	(2,203.66)	(7,292.33)	(330.9)%	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
Total	\$419,465.51	\$367,530.20	\$31,935.31	8.2%	\$ 0.00	0.0%	

Cash Activity Summary

Income & Expense Summary

Performance Summary

	Current	YTD	Reportable	Non Reportable	YTD	
Opening Balance	\$ 0.00	(\$5.39)				Cost Basis As Of - 12/31/13
Securities Purchased	(366,902.19)	(1,323,513.76)	Dividends	\$ -	\$582.54	Unrealized Gains
Securities Sold	398,545.61	1,204,207.23	Interest	-	0.03	Unrealized Losses
Funds Deposited	-	200,000.00	Other	-	-	Funds Deposited/(Disbursed)™
Funds Disbursed	-	-	Expense	-	-	Income/(Expense)™
Income	-	582.57	Interest	-	(118.67)	Securities Received/(Delivered)™
Expense	-	(118.67)	Fees	-	-	
Other	(31,643.42)	(81,151.98)	Other	-	-	
Closing Balance	\$ 0.00	\$0.00	Net	\$0.00	\$0.00	\$273,982.18
						59,146.18
						(5,167.35)
						200,000.00
						463.90
						0.00

Statement for Account # 884-135028

12/01/13 - 12/31/13

713 - 2012

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	\$ -	\$ 200,000.00
<i>Subtotal</i>	0.00	200,000.00
TOTAL	0.00	200,000.00

Income Summary Detail*

Description	Current	Year to Date
Interest Income Credit Balance	\$ 0.00	\$ 0.03
Margin Interest Charged	0.00	(118.67)
Non Taxable Dividends	0.72	4.86
Qualified Dividends	0.00	582.50

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain/(Loss)	Estimated Income	Yield
Stocks - Cash										
FACEBOOK INC COM	FB	3,000	\$ 54.649	\$ 163,947.00	01/11/13	\$ 126,123.46	\$ 42.04	\$ 37,823.54	\$ -	-
INOVO PHARMACEUTICALS INC COM	INO	1,500	2.90	4,350.00	12/26/13	4,427.49	2.95	(77.49)	-	-
OXYGEN BIOTHERAPEUTICS INC COM	OXB	1,000	4.50	4,500.00	12/19/13	8,119.87	8.12	(3,619.87)	-	-
PROSHARES ULTRA DJ-JUBS NATURAL GAS	BOIL	4,000	39.28	157,120.00	07/30/12	136,077.98	34.02	21,042.02	-	-
SUPERNUS PHARMACEUTICALS CORP COM	SUPN	1,000	7.54	7,540.00	12/24/13	9,009.99	9.01	(1,469.99)	-	-
Total Stocks				\$337,457.00		\$283,758.79		\$53,698.21	\$0.00	0.0%

Statement for Account # 884-135028
12/01/13 - 12/31/13

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Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Short Options - Cash										
FACEBOOK INC FB Feb 22 14 57.5 C	-	30-	\$ 3.1653	\$(9,495.99)	12/20/13	\$(9,776.61)	\$ 3.26	\$ 280.62	\$ -	-
Total Short Options				\$(9,495.99)		\$(9,776.61)		\$280.62	\$0.00	0.0%
Money Market - Cash										
TDAM MUNICIPAL PORTFOLIO	ZTD30	91,504.5	\$ 1.00	\$91,504.50	-	\$ -	\$ -	\$ -	\$ -	-
Total Money Market				\$91,504.50		\$0.00		\$0.00	\$0.00	0.0%
Total Cash Account				\$419,465.51		\$273,982.18		\$53,978.83	\$0.00	0.0%

Account Activity										
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance	
Opening Balance										
11/26/13	12/02/13	Cash	Buy - Securities Purchased	INFOBLOX INC COM Commission 9.99	BLOX	300	\$ 42.51	\$(12,762.99)	\$ 0.00	(12,762.99)
11/26/13	12/02/13	Cash	Buy - Securities Purchased	INFOBLOX INC COM	BLOX	200	42.505	(8,501.00)		(21,263.99)
11/26/13	12/02/13	Cash	Sell - Securities Sold	VEEVA SYSTEMS INC COM Commission 9.99 Regulatory Fee 0.21	VEEV	300-	39.8614	11,948.22		(9,315.77)
11/26/13	12/02/13	Cash	Sell - Securities Sold	METHODE ELECTRONICS INC COM Commission 9.99 Regulatory Fee 0.20	MEI	400-	28.37	11,337.81		2,022.04

1913-4620

Statement for Account # 884-135028
12/01/13 - 12/31/13

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
11/26/13	12/02/13	Cash	Sell - Securities Sold	ASPEN TECHNOLOGY INC COM Commission 9.99 Regulatory Fee 0.28	AZPN	400-	39.0801	15,621.77	17,643.81
11/26/13	12/02/13	Cash	Sell - Securities Sold	ALLIANCE FIBER OPTIC PRODUCT AFOP COM Commission 9.99 Regulatory Fee 0.06		200-	15.2501	3,039.97	20,683.78
11/26/13	12/02/13	Cash	Sell - Securities Sold	OXYGEN BIOTHERAPEUTICS INC COM Commission 9.99 Regulatory Fee 0.05	OXBT	500-	5.4001	2,690.01	23,373.79
11/26/13	12/02/13	Cash	Sell - Securities Sold	MOLYCORP INC COM Commission 9.99 Regulatory Fee 0.04	MCP	500-	4.561	2,270.47	25,644.26
12/02/13	12/02/13	Cash	Journal - Other	PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	0.00	(25,644.26)	0.00
11/27/13	12/03/13	Cash	Buy - Securities Purchased	TIME WARNER CABLE INC COM Commission 9.99	TWC	100	137.51	(13,760.99)	(13,760.99)
12/03/13	12/03/13	Cash	Journal - Other	REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	0.00	13,760.99	0.00
12/03/13	12/04/13	Cash	Sell - Securities Sold	QIHOO 360 TECHNOLOGY CO LTD QIHU Dec 21 13 87.5 C TO OPEN Commission 11.49 Regulatory Fee 0.07	-	2-	3.00	588.44	588.44
12/03/13	12/04/13	Cash	Sell - Securities Sold	LULULEMON ATHLETICA INC LULU Dec 21 13 72.5 C TO OPEN Commission 12.24 Regulatory Fee 0.10	-	3-	2.78	821.66	1,410.10
12/03/13	12/04/13	Cash	Buy - Securities Purchased	FACEBOOK INC FB Dec 06 13 48.0 C TO CLOSE Commission 27.24 Regulatory Fee 0.56	-	23	0.28	(671.80)	738.30
12/04/13	12/04/13	Cash	Journal - Other	PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	0.00	(738.30)	0.00

Statement for Account # 884-135028

12/01/13 - 12/31/13

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Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/02/13	12/05/13	Cash	Buy - Securities Purchased	LULULEMON ATHLETICA INC COM Commission 9.99	LULU	300	71.9599	(21,597.96)	(21,597.96)
12/04/13	12/05/13	Cash	Buy - Securities Purchased	QIHOO 360 TECHNOLOGY CO LTD QIHU Dec 21 13 87.5 C TO CLOSE Commission 11.49 Regulatory Fee 0.05	-	2	2.24	(459.54)	(22,057.50)
12/04/13	12/05/13	Cash	Sell - Securities Sold	FACEBOOK INC FB Jan 18 14 47.0 P TO OPEN Commission 13.74 Regulatory Fee 0.14	-	5-	2.05	1,011.12	(21,046.38)
12/04/13	12/05/13	Cash	Buy - Securities Purchased	LULULEMON ATHLETICA INC LULU Dec 21 13 72.5 C TO CLOSE Commission 12.24 Regulatory Fee 0.08	-	3	2.00	(612.32)	(21,658.70)
12/04/13	12/05/13	Cash	Sell - Securities Sold	DIREXION SHARES TRUST NUGT Dec 21 13 24.0 P TO OPEN Commission 12.24 Regulatory Fee 0.09	-	3-	1.45	422.67	(21,236.03)
12/05/13	12/05/13	Cash	Journal - Other	REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	0.00	21,236.03	0.00
12/03/13	12/06/13	Cash	Buy - Securities Purchased	TESLA MOTORS INC COM Commission 9.99	TSLA	100	139.115	(13,921.49)	(13,921.49)
12/03/13	12/06/13	Cash	Sell - Securities Sold	TESLA MOTORS INC COM Commission 9.99 Regulatory Fee 0.25	TSLA	100-	140.34	14,023.76	102.27
12/03/13	12/06/13	Cash	Buy - Securities Purchased	APPLE INC COM Commission 9.99	AAPL	50	565.4351	(28,281.75)	(28,179.48)
12/05/13	12/06/13	Cash	Sell - Securities Sold	FACEBOOK INC FB Dec 21 13 49.0 C TO OPEN Commission 27.24 Regulatory Fee 0.61	-	23-	1.19	2,709.15	(25,470.33)
12/06/13	12/06/13	Cash	Journal - Other	REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	0.00	25,470.33	0.00

Statement for Account # 884-135028

12/01/13 - 12/31/13

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/04/13	12/09/13	Cash	Sell - Securities Sold	APPLE INC COM Commission 9.99 Regulatory Fee 0.50	AAPL	50-	568.651	28,422.06	28,422.06
12/04/13	12/09/13	Cash	Sell - Securities Sold	TIME WARNER CABLE INC COM Commission 9.99 Regulatory Fee 0.24	TWC	100-	132.6225	13,252.02	41,674.08
12/04/13	12/09/13	Cash	Sell - Securities Sold	INFOBLOX INC COM Commission 9.99 Regulatory Fee 0.26	BLOX	500-	29.88	14,929.75	56,603.83
12/04/13	12/09/13	Cash	Buy - Securities Purchased	ACETO CORP COM Commission 9.99	ACET	200	22.749	(4,559.79)	52,044.04
12/04/13	12/09/13	Cash	Buy - Securities Purchased	ACETO CORP COM	ACET	300	22.73	(6,819.00)	45,225.04
12/04/13	12/09/13	Cash	Sell - Securities Sold	QIHOO 360 TECHNOLOGY CO LTD QIHU COM Commission 9.99 Regulatory Fee 0.30	QIHU	200-	84.841	16,957.91	62,182.95
12/04/13	12/09/13	Cash	Sell - Securities Sold	LULULEMON ATHLETTICA INC COM Commission 9.99 Regulatory Fee 0.37	LULU	300-	70.0301	20,998.67	83,181.62
12/04/13	12/09/13	Cash	Sell - Securities Sold	ACETO CORP COM Commission 9.99 Regulatory Fee 0.20	ACET	500-	22.95	11,464.81	94,646.43
12/06/13	12/09/13	Cash	Buy - Securities Purchased	FACEBOOK INC FB Jan 18 14 47.0 P TO CLOSE Commission 13.74 Regulatory Fee 0.12	-	5	1.76	(893.86)	93,752.57
12/06/13	12/09/13	Cash	Buy - Securities Purchased	FACEBOOK INC FB Dec 21 13 49.0 C TO CLOSE Commission 27.24 Regulatory Fee 0.56	-	23	1.04	(2,419.80)	91,332.77

Statement for Account # 884-135028

12/01/13 - 12/31/13

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Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/06/13	12/09/13	Cash	Buy - Securities Purchased	ORGANOVO HOLDINGS INC ONVO Dec 21 13 10.0 P TO CLOSE Commission 13.74 Regulatory Fee 0.12	-	5	1.00	(513.86)	90,818.91
12/06/13	12/09/13	Cash	Buy - Securities Purchased	DIREXION SHARES TRUST NUGT Dec 21 13 24.0 P TO CLOSE Commission 12.24 Regulatory Fee 0.08	-	3	1.65	(507.32)	90,311.59
12/09/13	12/09/13	Cash	Journal - Other	PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	0.00	(90,311.59)	0.00
12/05/13	12/10/13	Cash	Buy - Securities Purchased	CREDIT SUISSE VELOCITYSHARES 3X LNG NAT GAS Commission 9.99	UGAZ	100	19.269	(1,936.89)	(1,936.89)
12/05/13	12/10/13	Cash	Buy - Securities Purchased	ARRIS GROUP INC COM Commission 9.99	ARRS	100	21.3199	(2,141.98)	(4,078.87)
12/05/13	12/10/13	Cash	Buy - Securities Purchased	ARRIS GROUP INC COM	ARRS	200	21.3174	(4,263.48)	(8,342.35)
12/05/13	12/10/13	Cash	Buy - Securities Purchased	PERFICIENT INC COM Commission 9.99	PRFT	300	22.679	(6,813.69)	(15,156.04)
12/09/13	12/10/13	Cash	Sell - Securities Sold	FACEBOOK INC FB Dec 21 13 49.0 C TO OPEN Commission 27.24 Regulatory Fee 0.61	-	23-	1.02	2,318.15	(12,837.89)
12/09/13	12/10/13	Cash	Buy - Securities Purchased	FACEBOOK INC FB Dec 21 13 49.0 C TO CLOSE Commission 27.24 Regulatory Fee 0.56	-	23	0.94	(2,189.80)	(15,027.69)
12/09/13	12/10/13	Cash	Sell - Securities Sold	PROSHARES BOIL Dec 21 13 38.0 P TO OPEN Commission 13.74 Regulatory Fee 0.14	-	5-	1.30	636.12	(14,391.57)
12/10/13	12/10/13	Cash	Journal - Other	REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	0.00	14,391.57	0.00

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Statement for Account # 884-135028
12/01/13 - 12/31/13

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/06/13	12/11/13	Cash	Sell - Securities Sold	ARRIS GROUP INC COM	ARRS	300-	21.6201	6,475.92	6,475.92
				Commission 9.99					
				Regulatory Fee 0.12					
12/06/13	12/11/13	Cash	Sell - Securities Sold	PERFICIENT INC COM	PRFT	300-	22.901	6,860.19	13,336.11
				Commission 9.99					
				Regulatory Fee 0.12					
12/06/13	12/11/13	Cash	Sell - Securities Sold	CREDIT SUISSE VELOCITYSHARES 3X LNG NAT GAS	UGAZ	100-	20.601	2,050.07	15,386.18
				Commission 9.99					
				Regulatory Fee 0.04					
12/06/13	12/11/13	Cash	Sell - Securities Sold	MARKET VECTORS GOLD MINERS ETF	GDX	1,000-	20.8401	20,829.74	36,215.92
				Commission 9.99					
				Regulatory Fee 0.37					
12/11/13	12/11/13	Cash	Journal - Other	PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	0.00	(36,215.92)	0.00
12/09/13	12/12/13	Cash	Buy - Securities Purchased	PRANA BIOTECHNOLOGY LTD ADR	PRAN	600	7.09	(4,263.99)	(4,263.99)
				Commission 9.99					
12/09/13	12/12/13	Cash	Sell - Securities Sold	PRANA BIOTECHNOLOGY LTD ADR	PRAN	600-	7.331	4,388.53	124.54
				Commission 9.99					
				Regulatory Fee 0.08					
12/09/13	12/12/13	Cash	Buy - Securities Purchased	NAVIGATOR HOLDINGS PLC ORD	NVGS	300	23.209	(6,972.69)	(6,848.15)
				Commission 9.99					
12/12/13	12/12/13	Cash	Journal - Other	REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	0.00	6,848.15	0.00
12/10/13	12/13/13	Cash	Buy - Securities Purchased	GRAND CANYON EDUCATION INC COM	LOPE	300	44.75	(13,434.99)	(13,434.99)
				Commission 9.99					
12/10/13	12/13/13	Cash	Buy - Securities Purchased	CANADIAN NATL RAILWAY COMPANY COM	CNI	200	56.23	(11,255.99)	(24,690.98)
				Commission 9.99					

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Statement for Account # 884-135028
12/01/13 - 12/31/13

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/10/13	12/13/13	Cash	Buy - Securities Purchased	CANADIAN NATL RAILWAY COMPANY	CNI	100	56.229	(5,622.90)	(30,313.88)
12/10/13	12/13/13	Cash	Sell - Securities Sold	NAVIGATOR HOLDINGS PLC ORD	NVGS	300-	23.6025	7,070.63	(23,243.25)
12/10/13	12/13/13	Cash	Buy - Securities Purchased	PERFICIENT INC COM	PRFT	159	22.70	(3,619.29)	(26,862.54)
12/10/13	12/13/13	Cash	Buy - Securities Purchased	PERFICIENT INC COM	PRFT	141	22.699	(3,200.56)	(30,063.10)
12/12/13	12/13/13	Cash	Buy - Securities Purchased	PROSHARES BOIL Dec 21 13 38.0 P TO CLOSE Commission 13.74 Regulatory Fee 0.12	-	5	0.40	(213.86)	(30,276.96)
12/12/13	12/13/13	Cash	Sell - Securities Sold	FACEBOOK INC FB Dec 21 13 52.0 C TO OPEN Commission 27.24 Regulatory Fee 0.60	-	23-	0.82	1,858.16	(28,418.80)
12/12/13	12/13/13	Cash	Buy - Securities Purchased	FACEBOOK INC FB Dec 21 13 52.0 C TO CLOSE Commission 27.24 Regulatory Fee 0.56	-	23	0.76	(1,775.80)	(30,194.60)
12/12/13	12/13/13	Cash	Sell - Securities Sold	PROSHARES BOIL Jan 18 14 45.0 C TO OPEN Commission 39.99 Regulatory Fee 1.13	-	40-	2.30	9,158.88	(21,035.72)
12/12/13	12/13/13	Cash	Sell - Securities Sold	PROSHARES BOIL Dec 21 13 40.0 P TO OPEN Commission 17.49 Regulatory Fee 0.26	-	10-	0.86	842.25	(20,193.47)
12/13/13	12/13/13	Cash	Journal - Other	REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	0.00	20,193.47	0.00
12/11/13	12/16/13	Cash	Buy - Securities Purchased	SCRIPPS NETWORKS INTERACTIVE COM	SNI	100	80.8394	(8,093.93)	(8,093.93)

Statement for Account # 884-135028

12/01/13 - 12/31/13

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/11/13	12/16/13	Cash	Sell - Securities Sold	GRAND CANYON EDUCATION INC COM	LOPE	300-	43.3501	12,994.81	4,900.88
				Commission 9.99					
				Regulatory Fee 0.23					
12/11/13	12/16/13	Cash	Sell - Securities Sold	CANADIAN NATL RAILWAY COMPANY	CNI	120-	54.8203	6,568.33	11,469.21
				Commission 9.99					
				Regulatory Fee 0.12					
12/11/13	12/16/13	Cash	Sell - Securities Sold	CANADIAN NATL RAILWAY COMPANY	CNI	180-	54.8201	9,867.44	21,336.65
				Commission 9.99					
				Regulatory Fee 0.18					
12/11/13	12/16/13	Cash	Buy - Securities Purchased	PROSHARES ULTRAPRO SHORT RUSSELL 2000	SRTY	100	12.7699	(1,286.98)	20,049.67
				Commission 9.99					
12/13/13	12/16/13	Cash	Sell - Securities Sold	VOXELJET AG	-	3-	1.20	347.67	20,397.34
				VJET Dec 21 13 40.0 C TO OPEN					
				Commission 12.24					
				Regulatory Fee 0.09					
12/13/13	12/16/13	Cash	Sell - Securities Sold	GILEAD SCIENCES INC	-	5-	0.90	436.13	20,833.47
				GILD Dec 21 13 70.0 P TO OPEN					
				Commission 13.74					
				Regulatory Fee 0.13					
12/16/13	12/16/13	Cash	Journal - Other	PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	0.00	(20,833.47)	0.00
12/12/13	12/17/13	Cash	Sell - Securities Sold	SCRIPPS NETWORKS INTERACTIVE	SNI	100-	80.68	8,057.86	8,057.86
				Commission 9.99					
				Regulatory Fee 0.15					
12/12/13	12/17/13	Cash	Sell - Securities Sold	PERFICIENT INC COM	PRFT	300-	22.391	6,707.19	14,765.05
				Commission 9.99					
				Regulatory Fee 0.12					
12/12/13	12/17/13	Cash	Buy - Securities Purchased	HILTON WORLDWIDE HLDGS INC COM	HLT	300	21.41	(6,432.99)	8,332.06
				Commission 9.99					

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12/01/13 - 12/31/13

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/12/13	12/17/13	Cash	Sell - Securities Sold	HILTON WORLDWIDE HLDGS INC COM Commission 9.99 Regulatory Fee 0.12	HLT	300-	21.51	6,442.89	14,774.95
12/16/13	12/17/13	Cash	Buy - Securities Purchased	PROSHARES BOLL Jan 18 14 45.0 C TO CLOSE Commission 39.99 Regulatory Fee 0.96	-	40	1.80	(7,240.95)	7,534.00
12/16/13	12/17/13	Cash	Buy - Securities Purchased	GILEAD SCIENCES INC GILD Dec 21 13 70.0 P TO CLOSE Commission 13.74 Regulatory Fee 0.12	-	5	0.49	(258.86)	7,275.14
12/16/13	12/17/13	Cash	Sell - Securities Sold	HIMAX TECHNOLOGIES INC HIMX Jan 18 14 11.0 P TO OPEN Commission 17.49 Regulatory Fee 0.26	-	10-	0.60	582.25	7,857.39
12/16/13	12/17/13	Cash	Sell - Securities Sold	HERBALIFE LTD HLF Jan 18 14 80.0 C TO OPEN Commission 10.74 Regulatory Fee 0.04	-	1-	4.10	399.22	8,256.61
12/17/13	12/17/13	Cash	Journal - Other	PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	0.00	(8,256.61)	0.00
12/13/13	12/18/13	Cash	Buy - Securities Purchased	VOXELJET AG ADR Commission 9.99	VJET	300	39.489	(11,856.69)	(11,856.69)
12/13/13	12/18/13	Cash	Buy - Securities Purchased	FACEBOOK INC COM Commission 9.99	FB	300	52.99	(15,906.99)	(27,763.68)
12/13/13	12/18/13	Cash	Buy - Securities Purchased	FACEBOOK INC COM Commission 9.99	FB	400	52.8797	(21,161.87)	(48,925.55)
12/17/13	12/18/13	Cash	Buy - Securities Purchased	VOXELJET AG VJET Dec 21 13 40.0 C TO CLOSE Commission 12.24 Regulatory Fee 0.08	-	3	0.45	(147.32)	(49,072.87)
12/17/13	12/18/13	Cash	Sell - Securities Sold	FACEBOOK INC FB Feb 22 14 62.5 C TO OPEN Commission 32.49 Regulatory Fee 0.83	-	30-	2.00	5,986.68	(43,106.19)

Statement for Account # 884-135028
12/01/13 - 12/31/13

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Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/17/13	12/18/13	Cash	Sell - Securities Sold	SINA CORPORATION SINA Jan 18 14 72.5 P TO OPEN Commission 11.49 Regulatory Fee 0.06	-	2-	1.95	378.45	(42,727.74)
12/17/13	12/18/13	Cash	Buy - Securities Purchased	HERBALIFE LTD HLF Jan 18 14 80.0 C TO CLOSE Commission 10.74 Regulatory Fee 0.03	-	1	3.40	(350.77)	(43,078.51)
12/17/13	12/18/13	Cash	Buy - Securities Purchased	PROSHARES BOIL Dec 21 13 40.0 P TO CLOSE Commission 17.49 Regulatory Fee 0.24	-	10	0.71	(727.73)	(43,806.24)
12/17/13	12/18/13	Cash	Buy - Securities Purchased	HIMAX TECHNOLOGIES INC HIMX Jan 18 14 11.0 P TO CLOSE Commission 17.49 Regulatory Fee 0.24	-	10	0.50	(517.73)	(44,323.97)
12/18/13	12/18/13	Cash	Journal - Other	REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	0.00	44,323.97	0.00
12/16/13	12/19/13	Cash	Buy - Securities Purchased	HERBALIFE LTD COM Commission 9.99	HLF	100	74.449	(7,454.89)	(7,454.89)
12/16/13	12/19/13	Cash	Buy - Securities Purchased	ICAHN ENTERPRISES LP DEPOSITARY UNIT Commission 9.99	IEP	100	123.499	(12,359.89)	(19,814.78)
12/18/13	12/19/13	Cash	Buy - Securities Purchased	FACEBOOK INC FB Feb 22 14 62.5 C TO CLOSE Commission 32.49 Regulatory Fee 0.72	-	30	1.85	(5,583.21)	(25,397.99)
12/18/13	12/19/13	Cash	Sell - Securities Sold	GILEAD SCIENCES INC GILD Jan 18 14 70.0 P TO OPEN Commission 12.24 Regulatory Fee 0.09	-	3-	1.88	551.67	(24,846.32)
12/18/13	12/19/13	Cash	Buy - Securities Purchased	GILEAD SCIENCES INC GILD Jan 18 14 70.0 P TO CLOSE Commission 12.24 Regulatory Fee 0.08	-	3	1.40	(432.32)	(25,278.64)
12/19/13	12/19/13	Cash	Journal - Other	REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	0.00	25,278.64	0.00

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Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/17/13	12/20/13	Cash	Buy - Securities Purchased	PROSHARES ULTRAPRO SHORT RUSSELL 2000 Commission 9.99	SRTY	400	12.269	(4,917.59)	(4,917.59)
12/17/13	12/20/13	Cash	Sell - Securities Sold	HERBALIFE LTD COM Commission 9.99 Regulatory Fee 0.14	HLEF	100-	75.3901	7,528.88	2,611.29
12/19/13	12/20/13	Cash	Sell - Securities Sold	RED HAT INC RHT Dec 21 13 47.0 P TO OPEN Commission 12.24 Regulatory Fee 0.09	-	3-	0.80	227.67	2,838.96
12/19/13	12/20/13	Cash	Buy - Securities Purchased	SINA CORPORATION SINA Jan 18 14 72.5 P TO CLOSE Commission 11.49 Regulatory Fee 0.05	-	2	1.42	(295.54)	2,543.42
12/19/13	12/20/13	Cash	Sell - Securities Sold	HIMAX TECHNOLOGIES INC HIMX Jan 18 14 12.0 P TO OPEN Commission 13.74 Regulatory Fee 0.13	-	5-	0.75	361.13	2,904.55
12/20/13	12/20/13	Cash	Journal - Other	PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	0.00	(2,904.55)	0.00
12/18/13	12/23/13	Cash	Sell - Securities Sold	VOXELJET AG ADR Commission 9.99 Regulatory Fee 0.18	VJET	300-	34.3601	10,297.86	10,297.86
12/18/13	12/23/13	Cash	Sell - Securities Sold	PROSHARES ULTRAPRO SHORT RUSSELL 2000 Commission 9.99 Regulatory Fee 0.11	SRTY	500-	11.861	5,920.40	16,218.26
12/18/13	12/23/13	Cash	Buy - Securities Purchased	CANADIAN NATL RAILWAY COMPANY COM Commission 9.99	CNI	300	55.4797	(16,653.90)	(435.64)
12/20/13	12/23/13	Cash	Buy - Securities Purchased	HIMAX TECHNOLOGIES INC HIMX Jan 18 14 12.0 P TO CLOSE Commission 13.74 Regulatory Fee 0.12	-	5	0.50	(263.86)	(699.50)

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/20/13	12/23/13	Cash	Sell - Securities Sold	FACEBOOK INC FB Feb 22 14 57.5 C TO OPEN Commission 32.49 Regulatory Fee 0.90	-	30-	3.27	9,776.61	9,077.11
12/23/13	12/23/13	Cash	Journal - Other	PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	0.00	(9,077.11)	0.00
12/23/13	12/23/13	Cash	Received - Other	RED HAT INC RHT Dec 21 13 47.0 P EXPIRATION	-	3	0.00	-	0.00
12/19/13	12/24/13	Cash	Buy - Securities Purchased	NIKE INC CL B Commission 9.99	NIKE	200	78.7475	(15,759.49)	(15,759.49)
12/19/13	12/24/13	Cash	Buy - Securities Purchased	OXYGEN BIOTHERAPEUTICS INC COM Commission 9.99	OXBT	1,000	5.7899	(5,799.89)	(21,559.38)
12/19/13	12/24/13	Cash	Buy - Securities Purchased	VIACOM INC CL B Commission 9.99	VIAB	200	84.4099	(16,891.97)	(38,451.35)
12/24/13	12/24/13	Cash	Journal - Other	REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	0.00	38,451.35	0.00
12/20/13	12/26/13	Cash	Buy - Securities Purchased	THE MOSAIC CO COM Commission 9.99	MOS	200	45.3499	(9,079.97)	(9,079.97)
12/20/13	12/26/13	Cash	Sell - Securities Sold	CANADIAN NATL RAILWAY COMPANY COM Commission 9.99 Regulatory Fee 0.30	CNI	300-	56.755	17,016.21	7,936.24
12/26/13	12/26/13	Cash	Journal - Other	PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	0.00	(7,936.24)	0.00
12/23/13	12/27/13	Cash	Sell - Securities Sold	THE MOSAIC CO COM Commission 9.99 Regulatory Fee 0.16	MOS	200-	45.9301	9,175.87	9,175.87
12/23/13	12/27/13	Cash	Sell - Securities Sold	VIACOM INC CL B Commission 9.99 Regulatory Fee 0.30	VIAB	200-	84.951	16,979.91	26,155.78

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12/01/13 - 12/31/13

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Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/23/13	12/27/13	Cash	Sell - Securities Sold	ICAHN ENTERPRISES LP DEPOSITARY UNIT Commission 9.99 Regulatory Fee 0.21	IEP	100-	116.3401	11,623.81	37,779.59
12/23/13	12/27/13	Cash	Sell - Securities Sold	NIKE INC CL B Commission 9.99 Regulatory Fee 0.27	NIKE	200-	76.7401	15,337.76	53,117.35
12/27/13	12/27/13	Cash	Journal - Other	PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	0.00	(53,117.35)	0.00
12/24/13	12/30/13	Cash	Buy - Securities Purchased	SUPERNUS PHARMACEUTICALS CORP COM Commission 9.99	SUPN	1,000	9.00	(9,009.99)	(9,009.99)
12/30/13	12/30/13	Cash	Journal - Other	REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	0.00	9,009.99	0.00
12/26/13	12/31/13	Cash	Buy - Securities Purchased	INOVO PHARMACEUTICALS INC COM Commission 9.99	INO	1,500	2.945	(4,427.49)	(4,427.49)
12/31/13	12/31/13	Cash	Journal - Other	REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	0.00	4,427.49	0.00

Closing Balance

\$ 0.00

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Money Market Fund Account Interest Credited						
Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
12/01/13	\$ 59,860.36	1	0.0100	\$ 0.02	\$ 0.02	\$ -
12/02/13	85,504.62	1	0.0100	0.02	0.04	-
12/03/13	71,743.63	1	0.0100	0.02	0.06	-
12/04/13	72,481.93	1	0.0100	0.02	0.08	-
12/05/13	51,245.90	1	0.0100	0.01	0.09	-
12/06/13	25,775.57	3	0.0100	0.02	0.11	-
12/09/13	116,087.16	1	0.0100	0.04	0.15	-
12/10/13	101,695.59	1	0.0100	0.02	0.17	-
12/11/13	137,911.51	1	0.0100	0.04	0.21	-
12/12/13	131,063.36	1	0.0100	0.04	0.25	-
12/13/13	110,869.89	3	0.0100	0.09	0.34	-
12/16/13	131,703.36	1	0.0100	0.04	0.38	-

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Money Market Fund Account Interest Credited						
Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
12/17/13	139,959.97	1	0.0100	0.03	0.41	-
12/18/13	95,636.00	1	0.0100	0.03	0.44	-
12/19/13	70,357.36	1	0.0100	0.02	0.46	-
12/20/13	73,261.91	3	0.0100	0.06	0.52	-
12/23/13	82,339.02	1	0.0100	0.02	0.54	-
12/24/13	43,887.67	2	0.0100	0.03	0.57	-
12/26/13	51,823.91	1	0.0100	0.01	0.58	-
12/27/13	104,941.26	3	0.0100	0.09	0.67	-
12/30/13	95,931.27	1	0.0100	0.02	0.69	-
12/31/13	91,503.78	1	0.0100	0.03	0.72	-
Total Interest Income						\$0.72

Trades Pending Settlement							
Investment Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
SELL BIOGEN IDEC INC BIIB Jan 31 14 280.0 C	Cash	-	1-	\$ 9.50	12/31/13	01/02/14	\$ 939.21
SELL SUPERNUS PHARMACEUTICALS CORP COM	Cash	SUPN	1,000-	7.8001	12/30/13	01/03/14	7,789.97
BUY ACETO CORP COM	Cash	ACET	300	24.3075	12/30/13	01/03/14	(7,302.24)
BUY ALLANA POTASH CORP ORD	Cash	ALLRF	5,000	0.3528	12/30/13	01/03/14	(1,773.99)
BUY BIOGEN IDEC INC COM	Cash	BIIB	100	278.299	12/30/13	01/03/14	(27,839.89)
BUY CREE, INC. COM	Cash	CREE	300	62.3475	12/31/13	01/06/14	(18,714.24)
BUY SOTHEBY'S CL A	Cash	BID	200	53.4199	12/31/13	01/06/14	(10,693.97)
SELL ACETO CORP COM	Cash	ACET	300-	24.6501	12/31/13	01/06/14	7,384.91

Statement for Account # 884-135028

12/01/13 - 12/31/13

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Trades Pending Settlement

Investment Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
SELL OXYGEN BIOTHEAPEUTICS INC COM	Cash	OXB	1,000-	4.7801	12/31/13	01/06/14	4,770.02
BUY HERTZ GLOBAL HOLDINGS INC COM	Cash	HTZ	1,000	28.3799	12/31/13	01/06/14	(28,389.89)

Money Market Fund Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								
12/02/13	-	12/02/13	Received	TDAM MUNICIPAL PORTFOLIO PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	\$ 25,644.26	\$59,860.36
12/03/13	-	12/03/13	Delivered	TDAM MUNICIPAL PORTFOLIO REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	(13,760.99)	71,743.63
12/04/13	-	12/04/13	Received	TDAM MUNICIPAL PORTFOLIO PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	738.30	72,481.93
12/05/13	-	12/05/13	Delivered	TDAM MUNICIPAL PORTFOLIO REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	(21,236.03)	51,245.90
12/06/13	-	12/06/13	Delivered	TDAM MUNICIPAL PORTFOLIO REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	(25,470.33)	25,775.57
12/09/13	-	12/09/13	Received	TDAM MUNICIPAL PORTFOLIO PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	90,311.59	116,087.16
12/10/13	-	12/10/13	Delivered	TDAM MUNICIPAL PORTFOLIO REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	(14,391.57)	101,695.59

Statement for Account # 884-135028

12/01/13 - 12/31/13

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Money Market Fund Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
12/1/13	-	12/1/13	Received	TDAM MUNICIPAL PORTFOLIO PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	36,215.92	137,911.51
12/12/13	-	12/12/13	Delivered	TDAM MUNICIPAL PORTFOLIO REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	(6,848.15)	131,063.36
12/13/13	-	12/13/13	Delivered	TDAM MUNICIPAL PORTFOLIO REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	(20,193.47)	110,869.89
12/16/13	-	12/16/13	Received	TDAM MUNICIPAL PORTFOLIO PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	20,833.47	131,703.36
12/17/13	-	12/17/13	Received	TDAM MUNICIPAL PORTFOLIO PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	8,256.61	139,959.97
12/18/13	-	12/18/13	Delivered	TDAM MUNICIPAL PORTFOLIO REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	(44,323.97)	95,636.00
12/19/13	-	12/19/13	Delivered	TDAM MUNICIPAL PORTFOLIO REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	(25,278.64)	70,357.36
12/20/13	-	12/20/13	Received	TDAM MUNICIPAL PORTFOLIO PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	2,904.55	73,261.91
12/23/13	-	12/23/13	Received	TDAM MUNICIPAL PORTFOLIO PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	9,077.11	82,339.02
12/24/13	-	12/24/13	Delivered	TDAM MUNICIPAL PORTFOLIO REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	(38,451.35)	43,887.67

Statement for Account # 884-135028
12/01/13 - 12/31/13

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Money Market Fund Account Activity								
Date	Check	Date	Transaction	Description	Tracking	Expense	Amount	Balance
Cleared	Number	Written			Code	Code		
12/26/13	-	12/26/13	Received	TDAM MUNICIPAL PORTFOLIO PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	7,936.24	51,823.91
12/27/13	-	12/27/13	Received	TDAM MUNICIPAL PORTFOLIO PURCHASE TDAM MUNICIPAL PORTFOLIO	-	-	53,117.35	104,941.26
12/30/13	-	12/30/13	Delivered	TDAM MUNICIPAL PORTFOLIO REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	(9,009.99)	95,931.27
12/31/13	-	12/31/13	Delivered	TDAM MUNICIPAL PORTFOLIO REDEMPTION TDAM MUNICIPAL PORTFOLIO	-	-	(4,427.49)	91,503.78
12/31/13	-	12/31/13	Received	Money Market Fund Dividends	-	-	0.72	91,504.50
Closing Balance								\$91,504.50

Important Information

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <https://www.tdameritrade.com/financial-statement#financial-statement> on or before 12/27/2013, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692. As of 9/30/2013, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$1.3 billion and \$222.6 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission.

Annual Margin Disclosure

Securities purchased on margin are the firm's collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan. As a result, the firm can take action, such as issuing a margin call and/or selling securities or other assets in any of your accounts held with TD Ameritrade, in order to maintain the required equity in the account.

It is important that you fully understand the risks involved in trading securities on margin. These risks include the following: You can lose more funds than you deposit in the margin account. The firm can force the sale of securities or other assets in your account(s). The firm can sell your securities or other assets without contacting you. You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call. The firm can increase its "house" maintenance requirements at any time and is not required to provide you advance written notice. You are not entitled to an extension of time on a margin call.

Anti-Money Laundering Requirements

The USA PATRIOT Act is designed to detect, deter, and punish terrorists and/or terrorist groups in the United States and abroad. The Act requires U.S. financial institutions and brokerage firms to implement comprehensive anti-money laundering programs.

What is money laundering?

Money laundering is the process of disguising illegally obtained funds so that they appear to come from legitimate sources or activities. Money laundering occurs in connection with a wide variety of crimes, including (but not limited to) illegal arms sales, drug trafficking, fraud, terrorism, embezzlement, and political corruption.

Statement for Account # 884-135028

12/01/13 - 12/31/13

A13-200620

Important Information

How big is the problem and why is it important?

According to the U.S. Department of State, one recent estimate puts the amount of worldwide money laundering activity at roughly \$1 trillion per year. The use of the U.S. financial system by criminals to facilitate terrorism or other crimes could taint our financial markets.

What is TD Ameritrade required to do?

As required by the USA PATRIOT Act, our anti-money laundering program designates a special Compliance Officer to ensure compliance with applicable laws, maintains policies and procedures to detect and report suspicious transactions, performs employee training, and conducts independent audits. Federal law also requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. As part of this requirement, we may ask you to provide various identification documents or other information. Until you provide the information or documents required, we may not be able to open an account or process transactions for you.

We thank you for your patience and look forward to your support in our efforts to deny terrorist groups access to America's financial system.



Tue May 6 2014 10:19:48 pm EDT

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Balances & Positions 40900516 [Combined accounts](#)

Account Balances		Other Balances	
	Balance(\$)	Today's net change	
Cash balance	\$46,264.11	\$29,912.29	
Margin balance	\$0.00	\$0.00	
Money market ¹	\$25,052.38	\$0.00	
Long stock value	\$357,971.00	-\$47,182.00	
Long option value	\$5,800.00	-\$1,865.37	
Short option value	-\$12,425.00	\$3,790.40	
Account value	\$422,662.49	-\$15,344.68	

How is this calculated?

Buying Power (BP)		Order Status
Avail. funds for trading	\$259,963.92	
Stock BP	\$519,927.84	
Options BP	\$259,963.92	

Account Positions		Options Exposure							Gain/Loss
Stocks = \$357,971.00*		View:	Stock (original) ▼	Custom views Micro Charts					
Symbol ▲	Qty	Last	Change	Mkt Val	Maint Req	Cost	Gain(\$)	Gain(%)	
ALGN	300	49.03	-1.30	14,709.00	4,529.70	15,653.61	-944.61	-6.03	
ANIK	300	45.18	-2.28	13,554.00	3,600.00	11,687.97	1,866.03	15.97	
C	300	46.36	-0.82	13,908.00	4,246.20	14,537.70	-629.70	-4.33	
DGAZ	20000	2.66	-0.19	53,200.00	51,301.80	70,831.28	-17,631.28	-24.89	
FB	1700	58.53	-2.69	99,501.00	31,222.20	113,520.93	-14,019.93	-12.35	
KOLD	1100	36.06	-1.75	39,666.00	24,954.60	45,939.71	-6,273.71	-13.66	
LULU	500	44.60	-0.97	22,300.00	6,835.50	26,046.79	-3,746.79	-14.38	
MDWD	300	11.09	0.08	3,327.00	1,321.20	5,061.00	-1,734.00	-34.26	
OXBT	1000	4.98	-0.07	4,980.00 ✓	2,020.00	7,500.00	-2,520.00	-33.60	
POWR	500	20.50	-0.20	10,250.00	3,105.00	12,500.00	-2,250.00	-18.00	
SSYS	300	96.95	-2.10	29,085.00	8,914.50	30,478.79	-1,393.79	-4.57	
TVIX	300	5.95	0.02	1,785.00	1,067.40	3,468.00	-1,683.00	-48.53	
VLCGF	300	11.88	-0.12	3,564.00	1,080.00	3,782.97	-218.97	-5.79	
VMC	300	63.32	-1.69	18,996.00	5,850.90	19,949.97	-953.97	-4.78	
VRTX	300	66.56	-1.85	19,968.00	5,850.00	18,884.97	1,083.03	5.73	
ZU	200	45.89	-2.56	9,178.00	2,907.00	10,153.80	-975.80	-9.61	

Options = \$6,625.00* View: Option (original) ▼ [Custom views](#) | ☒ Separate long & short | [Micro Charts](#)

Symbol ▲	Underlying Symbol	Qty	Bid	Ask	Mkt Val	Maint Req	Cost	Gain(\$)	Gain(%)	L
AL Jun 21 2014 40 Call	AL	10	0.60	0.70	600.00	0.00	600.00	0.00	0.00	0
AMZN Jun 21 2014 310 Call	AMZN	2	6.80	7.05	1,360.00	0.00	2,342.00	-982.00	-41.93	6
<input type="checkbox"/> ANIK May 17 2014 40 Call	ANIK	-3	5.00	5.90	-1,770.00	0.00	-690.00	-1,080.00	-156.52	7
ASTM (ns) May 17 2014 5 Call <input checked="" type="checkbox"/>	ASTM	10	--	0.05	0.00	0.00	50.00	-50.00	-100.00	0
BIIB May 17 2014 305 Call	BIIB	10	1.30	1.60	1,300.00	0.00	17,990.00	-16,690.00	-92.77	1
BIIB May 17 2014 310 Call	BIIB	-10	0.80	1.10	-1,100.00	0.00	-15,570.00	14,470.00	92.94	1

5/6/2014

TD Ameritrade - 40900516

AM 248

<input type="checkbox"/> CORN May 17 2014 35 Call	CORN	10	0.55	0.85	550.00	0.00	1,400.00	-850.00	-60.71	0
CORN May 17 2014 37 Call	CORN	-10	--	0.15	-150.00	0.00	-650.00	500.00	76.92	0
EBAY May 17 2014 55 Call	EBAY	10	0.04	0.06	40.00	0.00	2,080.00	-2,040.00	-98.08	0
IRBT May 17 2014 30 Put	IRBT	10	0.05	0.15	50.00	0.00	220.00	-170.00	-77.27	0
<input type="checkbox"/> IRBT May 17 2014 35 Put	IRBT	-10	1.35	1.50	-1,500.00	5,000.00	-710.00	-790.00	-111.27	1
ITMN May 17 2014 26 Put	ITMN	10	--	0.09	0.00	0.00	1,580.00	-1,580.00	-100.00	0
ITMN May 17 2014 29 Put	ITMN	-10	0.15	0.25	-250.00	3,000.00	-2,430.00	2,180.00	89.71	0
<input type="checkbox"/> NBG Jan 15 2016 5.5 Put	NBG	10	1.90	2.45	1,900.00	0.00	1,380.00	520.00	37.68	2
<input type="checkbox"/> NBG Jan 15 2016 7 Put	NBG	-10	3.35	3.70	-3,700.00	1,500.00	-2,430.00	-1,270.00	-52.26	2
PII May 17 2014 115 Put	PII	10	--	0.10	0.00	0.00	400.00	-400.00	-100.00	0
SLCA May 17 2014 37 Put	SLCA	5	--	0.15	0.00	0.00	650.00	-650.00	-100.00	0
TSCO May 17 2014 55 Put	TSCO	5	--	0.15	0.00	0.00	120.00	-120.00	-100.00	0
TSCO May 17 2014 60 Put	TSCO	-5	--	0.25	-125.00	2,500.00	-295.00	170.00	57.63	0
<input type="checkbox"/> VRTX Jun 21 2014 65 Call	VRTX	-3	10.10	11.30	-3,390.00	0.00	-2,880.00	-510.00	-17.71	11
ZU May 17 2014 50 Call	ZU	-2	2.10	2.20	-440.00	0.00	-600.00	160.00	26.67	2

Money Market Assets = \$25,052.38

Description *	Mkt Val	Accrued Interest ²	
TDAM MUNICIPAL PORTFOLIO	25,052.34	0.04	view rate schedule

* Market value

☐ Indicates options that are in-the-money☒ Indicates non-standard option

The in-the-money indication does not apply to non-standard options.

¹ This is the interest- or dividend-earning cash you hold in a sweep vehicle; this money can be withdrawn or used to buy securities. Your money market balance also includes accrued interest that will be paid at month-end.² Interest is accrued daily and credited to your account on a monthly basis. This is accrued interest month-to-date that has not yet been paid; however, this money can be withdrawn or used to buy securities.

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Tue May 06 2014 10:21:18 PM EST

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Provided by GainsKeeper

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Please note: Information in this section is delayed. Purchases will not appear until the next day.

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Totals: Adj cost 1,530,465.54 Adj proceeds 1,595,047.77 Adj gain (\$) 64,582.23 Adj gain (%) 4.22

Security	Trans type	Qty	Open date	Adj cost	Close date	Adj proceeds	Adj gain (\$)	Adj gain (%)	Term
AAPL Mar 14 2014 530.0 Call	Buy to Close.FIFO	100	02/28/14	650.77	03/06/14	760.21	109.44	16.82	Short-term
ACCENTURE PLC CL A	Sell.FIFO	300	02/24/14	25,194.87	03/04/14	25,276.60	81.73	0.32	Short-term
ACN Apr 19 2014 80.0 Put	Sell to Close.FIFO	500	03/14/14	833.87	03/27/14	1,781.09	947.22	113.59	Short-term
ACN Apr 19 2014 77.5 Put	Buy to Close.FIFO	500	03/14/14	948.86	03/27/14	466.13	-482.73	-50.87	Short-term
ACTAVIS PLC	Assign Sell.FIFO	100	02/11/14	18,838.99	03/21/14	19,718.79	879.80	4.67	Short-term
ADVANCED CANNABIS SOLUTIONS	Sell.FIFO	100	03/05/14	6,109.99	03/21/14	3,044.94	-3,065.05	-50.16	Short-term
AERCAP HOLDINGS NV		300	---	12,927.96	---	11,855.75	-1,072.21	-8.29	Short-term
AEROVIRONMENT INC		300	---	10,679.89	---	10,730.07	50.18	0.47	Short-term
AIR LEASE CORPORATION	Sell.FIFO	300	04/10/14	11,346.96	04/11/14	10,954.78	-392.20	-3.46	Short-term
AKORN INC		500	---	13,784.98	---	11,849.85	-1,935.13	-14.04	Short-term
ALGN May 17 2014 55.0 Call	Buy to Close.FIFO	300	04/17/14	87.32	04/24/14	632.66	545.34	624.53	Short-term
ALGN May 17 2014 45.0 Put	Buy to Close.FIFO	500	04/23/14	163.86	04/24/14	261.13	97.27	59.36	Short-term
ALIGN TECH INC	Sell.FIFO	200	02/24/14	10,822.99	03/04/14	10,953.89	130.90	1.21	Short-term
ALLIANCE FIBER OPTIC PRODUC	Sell.FIFO	300	04/21/14	5,325.98	04/30/14	5,755.91	429.92	8.07	Short-term
AMBA Mar 22 2014 30.0 Put	Buy to Close.FIFO	500	02/27/14	313.86	03/04/14	361.13	47.27	15.06	Short-term
AMIRA NATURE FOODS LTD		500	---	11,884.79	---	12,140.69	255.90	2.15	Short-term
APPLE COMPUTER INC		100	---	52,890.84	---	53,140.18	249.34	0.47	Short-term
ARMH May 17 2014 44.0 Put	Buy to Close.FIFO	500	03/24/14	383.86	03/31/14	486.12	102.26	26.64	Short-term
ATHLON ENERGY INC		200	---	8,265.97	---	8,469.84	203.87	2.47	Short-term
BAC Mar 22 2014 17.0 Call	Buy to Close.FIFO	1,000	01/31/14	377.73	02/05/14	562.25	184.52	48.85	Short-term
BANK OF AMERICA CORP	Sell.FIFO	1,000	01/31/14	16,889.99	02/05/14	16,339.82	-550.17	-3.26	Short-term

BIIB May 17 2014 290.0 Call	Sell to Close.FIFO	500	04/07/14	8,963.88	04/09/14	9,535.92	572.06	6.38	Short-term
BOARDWALK PIPELINE PARTNERS	Sell.FIFO	200	04/29/14	3,339.73	05/02/14	3,171.95	-167.78	-5.02	Short-term
BOIL Feb 22 2014 50.0 Call	Buy to Close.FIFO	3,000	01/23/14	39,033.21	02/05/14	12,568.57	-26,466.64	-67.81	Short-term
BUD Jun 21 2014 100.0 Call	Sell to Close.FIFO	1,000	03/21/14	3,917.74	03/26/14	4,992.14	1,074.40	27.42	Short-term
BUD Jun 21 2014 105.0 Call	Buy to Close.FIFO	1,000	03/21/14	2,317.73	03/26/14	1,742.23	-575.50	-24.83	Short-term
CABOT OIL & GAS CORP CL A	Sell.FIFO	200	02/19/14	8,133.97	02/20/14	7,777.89	-356.08	-4.38	Short-term
CAVIUM INC	Sell.FIFO	300	04/01/14	13,371.96	04/02/14	13,547.01	175.05	1.31	Short-term
CHENIERE ENERGY INC	Sell.FIFO	300	03/04/14	15,318.89	03/05/14	15,514.85	196.16	1.28	Short-term
CHICAGO BRIDGE & IRON CO	Sell.FIFO	300	02/26/14	25,149.96	02/28/14	25,279.56	129.60	0.52	Short-term
CLOVIS ONCOLOGY INC	Sell.FIFO	100	01/13/14	8,059.99	02/05/14	8,158.90	-1,903.09	-23.81	Short-term
CLVS Feb 22 2014 65.0 Put	Buy to Close.FIFO	300	02/12/14	177.32	02/19/14	347.67	170.35	96.07	Short-term
CMG Apr 25 2014 537.5 Call	Sell to Close.FIFO	100	04/10/14	2,578.77	04/11/14	2,789.16	210.39	8.16	Short-term
COUPONS.COM INC		200	---	6,082.98	---	6,125.92	42.94	0.71	Short-term
CREE INC	Sell.FIFO	300	02/24/14	18,426.96	02/28/14	18,517.98	91.02	0.49	Short-term
CREE Mar 22 2014 65.0 Call	Buy to Close.FIFO	300	02/26/14	345.32	02/27/14	488.87	143.35	41.51	Short-term
DIAMONDBACK ENERGY INC	Sell.FIFO	100	04/11/14	6,918.83	04/14/14	7,022.00	103.17	1.49	Short-term
DIRXDLY FTSE CHN BEAR 3X	Sell.FIFO	300	03/12/14	8,655.96	03/13/14	9,095.06	439.10	5.07	Short-term
ENDOCYTE INC	Sell.FIFO	500	03/26/14	13,544.94	04/04/14	10,864.98	-2,679.98	-19.79	Short-term
ENERGY RECOVERY INC	Sell.FIFO	1,000	03/06/14	6,458.99	03/20/14	5,889.98	-769.01	-11.91	Short-term
EXP Mar 22 2014 80.0 Put	Sell to Close.FIFO	500	02/25/14	518.86	03/06/14	96.13	-422.73	-81.47	Short-term
EXP Mar 22 2014 82.5 Put	Buy to Close.FIFO	500	02/25/14	203.87	03/06/14	881.11	657.24	322.38	Short-term
EXPE Apr 19 2014 80.0 Call	Buy to Close.FIFO	100	03/17/14	45.77	03/24/14	141.22	95.45	208.54	Short-term
EXPEDIA INC		100	---	8,135.71	---	7,225.86	-909.85	-11.18	Short-term
FB Mar 22 2014 60.0 Put	Sell to Close.FIFO	1,000	02/20/14	367.73	03/05/14	92.26	-275.47	-74.91	Short-term
FB Mar 22 2014 65.0 Put		1,500	---	367.74	---	1,898.34	1,530.60	416.22	Short-term
FB Mar 22 2014 75.0 Call	Buy to Close.FIFO	1,000	03/12/14	187.73	03/13/14	402.25	214.52	114.27	Short-term
FB Mar 22 2014 82.5 Put	Expire Long	500	02/18/14	373.86	03/22/14		-373.86	-100.00	Short-term
FB May 02 2014 50.0 Put	Buy to Close.FIFO	1,000	04/08/14	547.74	04/10/14	1,242.23	694.49	126.79	Short-term
FB May 02 2014 45.0 Put	Sell to Close.FIFO	1,000	04/08/14	477.73	04/10/14	152.26	-325.47	-68.13	Short-term
FINL SELECT SECTOR SPDR TR	Sell.FIFO	500	03/20/14	11,217.49	04/04/14	11,154.76	-62.73	-0.56	Short-term
FREDDIE MAC CORP	Sell.FIFO	1,000	03/13/14	3,829.99	03/17/14	4,364.91	534.92	13.97	Short-term
FUTUREFUEL CORP	Sell.FIFO	300	03/20/14	6,519.87	03/24/14	6,251.17	-268.70	-4.12	Short-term
GASLOG LTD	Sell.FIFO	300	02/20/14	6,555.96	03/04/14	6,671.19	115.23	1.76	Short-term
GILD Mar 07 2014 70.0 Put	Sell to Close.FIFO	500	02/21/14	63.86	03/06/14	-8.87	-72.73	-113.89	Short-term
GILD Mar 07 2014 79.0 Put	Buy to Close.FIFO	500	02/21/14	28.87	03/06/14	286.12	257.25	891.06	Short-term
GILEAD SCIENCES INC		800	---	49,465.95	---	48,921.28	-544.67	-1.10	Short-term
GLOBAL X LITHIUM ETF	Sell.FIFO	500	02/27/14	7,004.99	03/11/14	7,150.08	145.09	2.07	Short-term
GW PHARMACEUTICALS -ADR	Sell.FIFO	100	04/04/14	5,271.74	04/09/14	5,489.88	218.14	4.14	Short-term
HEMP INC	Sell.FIFO	5,000	02/05/14	1,604.99	02/06/14	1,089.99	-515.00	-32.09	Short-term

IBB Apr 19 2014 270.0 Put	Buy to Close.FIFO	1,000	03/05/14	19,147.73	03/14/14	11,032.07	-8,115.66	-42.38	Short-term
IBB Apr 19 2014 275.0 Put	Sell to Close.FIFO	1,000	03/05/14	13,647.74	03/14/14	22,971.86	9,324.12	68.32	Short-term
IBM Apr 19 2014 190.0 Put	Sell to Close.FIFO	500	03/27/14	2,088.86	03/28/14	2,111.09	22.23	1.06	Short-term
IBM May 17 2014 200.0 Put	Sell to Close.FIFO	100	04/16/14	710.77	04/17/14	1,189.20	478.43	67.31	Short-term
ICPT Apr 19 2014 450.0 Put	Buy to Close.FIFO	500	03/17/14	29,353.86	03/17/14	19,695.70	-9,658.16	-32.90	Short-term
ICPT Apr 19 2014 460.0 Put	Sell to Close.FIFO	500	03/17/14	22,438.87	03/17/14	32,610.40	10,171.53	45.33	Short-term
ICPT Apr 19 2014 480.0 Call	Buy to Close.FIFO	100	03/14/14	3,950.77	03/17/14	4,989.14	1,038.37	26.28	Short-term
ILMN Mar 22 2014 180.0 Put	Sell to Close.FIFO	500	03/06/14	388.86	03/20/14	656.12	267.26	68.73	Short-term
ILMN Mar 22 2014 185.0 Put	Buy to Close.FIFO	500	03/06/14	2,353.87	03/20/14	751.11	-1,602.76	-68.09	Short-term
INTERCEPT PHARMACEUTICALS I	Sell.FIFO	100	03/14/14	47,208.98	03/17/14	44,989.01	-2,219.97	-4.70	Short-term
JCP Apr 04 2014 8.0 Put	Buy to Close.FIFO	1,000	03/07/14	297.73	03/11/14	382.26	84.53	28.39	Short-term
KOLD Mar 22 2014 46.0 Call	Buy to Close.FIFO	500	02/06/14	1,063.86	02/14/14	1,236.11	172.25	16.19	Short-term
LAS VEGAS SANDS CORP	Sell.FIFO	300	03/31/14	24,306.87	04/01/14	24,979.48	672.61	2.77	Short-term
LULU Apr 19 2014 45.0 Put		1,000	---	471.75	---	1,228.19	756.44	160.35	Short-term
LULU Apr 19 2014 42.5 Put		1,000	---	773.75	---	225.25	-548.50	-70.89	Short-term
LULU May 17 2014 52.5 Call	Buy to Close.FIFO	500	03/27/14	313.86	04/21/14	996.11	682.25	217.37	Short-term
MARKET VECTORS AGRIBUSINESS	Sell.FIFO	400	03/11/14	21,593.95	04/17/14	21,709.56	115.61	0.54	Short-term
MARTIN MARIETTA MATLS INC	Assign Sell.FIFO	300	02/21/14	35,271.63	03/21/14	36,479.88	1,208.25	3.43	Short-term
MATADOR RESOURCES COMPANY	Sell.FIFO	200	04/29/14	5,847.99	04/30/14	5,713.90	65.91	1.17	Short-term
MEDIDATA SOLUTIONS INC	Sell.FIFO	300	02/18/14	17,967.69	02/18/14	18,022.69	55.00	0.31	Short-term
MERCADOLIBRE INC		100	---	10,299.99	---	11,085.81	785.82	7.63	Short-term
METHODE ELECTRONICS INC		300	---	9,796.95	---	9,961.83	164.88	1.68	Short-term
MNX Apr 19 2014 355.0 Put	Buy to Close.FIFO	500	03/26/14	2,453.86	04/04/14	2,031.14	-422.72	-17.23	Multiple
MNX Apr 19 2014 360.0 Put	Sell to Close.FIFO	500	03/26/14	3,068.87	04/04/14	3,796.13	727.26	23.70	Multiple
MONSANTO CO NEW	Sell.FIFO	300	03/21/14	34,389.99	04/03/14	34,999.23	609.24	1.77	Short-term
MOS Mar 22 2014 45.0 Put	Buy to Close.FIFO	500	02/06/14	598.86	02/07/14	706.12	107.26	17.91	Short-term
MYRIAD GENETICS INC	Sell.FIFO	200	04/22/14	7,839.99	04/24/14	7,939.83	99.84	1.27	Short-term
NAVIGATOR HOLDINGS	Sell.FIFO	500	02/13/14	12,434.99	03/17/14	12,519.78	84.79	0.68	Short-term
NFLX Apr 19 2014 380.0 Put	Buy to Close.FIFO	500	03/24/14	13,943.86	03/28/14	8,340.95	-5,602.91	-40.18	Short-term
NFLX Apr 19 2014 385.0 Put	Sell to Close.FIFO	500	03/24/14	9,673.87	03/28/14	15,750.78	6,076.91	62.82	Short-term
NFLX May 17 2014 355.0 Put	Sell to Close.FIFO	1,000	04/03/14	28,707.74	04/04/14	36,801.45	7,893.71	27.50	Short-term
NFLX May 17 2014 350.0 Put	Buy to Close.FIFO	1,000	04/03/14	33,677.73	04/04/14	26,131.89	-7,546.04	-22.41	Short-term
NFLX May 17 2014 325.0 Put	Sell to Close.FIFO	100	04/11/14	2,605.77	04/15/14	2,789.16	183.39	7.04	Short-term
NFLX May 17 2014 340.0 Put	Sell to Close.FIFO	100	04/21/14	2,327.77	04/22/14	539.21	-1,788.56	-76.84	Short-term
NQ Apr 19 2014 13.0 Put	Sell to Close.FIFO	1,000	03/17/14	967.73	04/11/14	482.25	-485.48	-50.17	Short-term
NQ Apr 19 2014 15.0 Put	Buy to Close.FIFO	1,000	03/17/14	1,757.74	04/11/14	1,392.22	-365.52	-20.79	Short-term
OXBT Apr 19 2014 5.0 Put	Expire Long	1,000	03/06/14	247.73	04/19/14		-247.73	-100.00	Short-term
P May 17 2014 24.0 Put	Buy to Close.FIFO	500	04/07/14	403.86	04/09/14	496.12	92.26	22.84	Short-term
PALO ALTO NETWORKS INC		200	---	13,308.74	---	14,655.95	1,347.21	10.12	Short-term

PANW Mar 22 2014 70.0 Call	Buy to Close.FIFO	200	02/10/14	1,551.54	02/19/14	828.44	-723.10	-46.61	Short-term
PHARMACYCLICS INC		200	---	27,869.99	---	27,894.02	24.03	0.09	Short-term
PII May 17 2014 135.0 Call	Buy to Close.FIFO	100	04/14/14	220.77	04/29/14	355.21	134.44	60.90	Short-term
PII May 17 2014 120.0 Put	Buy to Close.FIFO	1,000	04/16/14	217.73	04/23/14	742.24	524.51	240.90	Short-term
PLUG Apr 19 2014 7.0 Put	Buy to Close.FIFO	1,000	03/07/14	887.73	03/11/14	1,132.24	264.51	30.48	Short-term
PLUG Mar 22 2014 6.0 Put	Sell to Close.FIFO	1,000	03/10/14	187.73	03/20/14	142.26	-55.47	-28.05	Short-term
PLUG Mar 22 2014 8.0 Put	Buy to Close.FIFO	1,000	03/10/14	1,927.74	03/20/14	622.24	-1,305.50	-67.72	Short-term
PLUG Mar 28 2014 7.0 Put	Buy to Close.FIFO	1,000	03/25/14	217.73	03/28/14	292.26	74.53	34.23	Short-term
PLUG POWER INC	Sell.FIFO	1,000	03/03/14	5,449.89	03/04/14	6,579.89	1,130.00	20.73	Short-term
POLARIS INDS INC	Sell.FIFO	100	04/14/14	13,211.99	04/29/14	13,221.72	9.73	0.07	Short-term
POT Apr 19 2014 35.0 Call		1,000	---	834.73	---	732.25	-102.48	-12.28	Short-term
POWER SOLUTIONS INTERNATIONAL	Sell.FIFO	200	02/06/14	12,869.99	02/07/14	12,671.98	-198.01	-1.54	Short-term
POWR Apr 19 2014 22.5 Put	Expire Long	500	03/11/14	228.86	04/19/14		-228.86	-100.00	Short-term
PRAN Feb 22 2014 9.0 Call	Buy to Close.FIFO	500	01/22/14	1,763.86	02/05/14	861.12	-902.74	-51.18	Short-term
PRANA BIOTECH LTD ADR	Sell.FIFO	500	01/22/14	4,369.99	02/05/14	5,760.30	1,390.31	31.81	Short-term
PROSHARES ULTRA DJ UBS NATU		3,000	---	96,178.06	---	181,873.69	85,695.63	89.10	Short-term
PROSHARES ULTRASHORT NASDAQ	Sell.FIFO	500	03/24/14	8,874.94	03/27/14	8,999.86	124.92	1.41	Short-term
PULTE GROUP INC	Sell.FIFO	300	02/26/14	6,426.96	03/04/14	6,242.20	-184.76	-2.87	Short-term
Q2 HOLDINGS INC	Sell.FIFO	300	03/20/14	5,031.96	03/24/14	4,588.90	-443.06	-8.80	Short-term
QQQ Apr 19 2014 87.0 Call	Sell to Close.FIFO	1,000	03/28/14	1,897.74	04/01/14	2,402.20	504.46	26.58	Short-term
QQQ Apr 19 2014 89.0 Call	Buy to Close.FIFO	1,000	03/28/14	1,107.73	04/01/14	772.25	-335.48	-30.29	Short-term
SHORT S&P500 PROSHARES	Sell.FIFO	500	04/04/14	12,319.94	04/08/14	12,499.78	179.84	1.46	Short-term
SINA CORP	Sell.FIFO	200	04/11/14	10,885.97	04/17/14	11,043.78	157.81	1.45	Short-term
SOUFUN HOLDINGS LTD	Sell.FIFO	1,000	04/02/14	15,269.79	04/11/14	13,480.11	-1,789.68	-11.72	Short-term
SPRINGLEAF HOLDINGS INC		300	---	8,491.81	---	8,671.88	179.97	2.12	Short-term
SPXW Apr 25 2014 1855.0 Put	Buy to Close.FIFO	1,000	04/10/14	40,967.73	04/11/14	16,082.27	-24,885.46	-60.74	Multiple
SPXW Apr 25 2014 1860.0 Put	Sell to Close.FIFO	1,000	04/10/14	18,057.74	04/11/14	44,592.26	26,534.52	146.94	Multiple
SPXW May 02 2014 1850.0 Put	Buy to Close.FIFO	1,000	04/10/14	37,967.73	04/11/14	20,782.27	-17,185.46	-45.26	Multiple
SPXW May 02 2014 1820.0 Put	Expire Long	100	04/11/14	2,920.77	05/02/14		-2,920.77	-100.00	Multiple
SPXW May 02 2014 1860.0 Put	Sell to Close.FIFO	1,000	04/10/14	24,857.74	04/11/14	44,092.26	19,234.52	77.38	Multiple
SPY Jun 21 2014 186.0 Put	Sell to Close.FIFO	500	04/25/14	1,973.86	04/28/14	2,261.08	287.22	14.55	Short-term
SPY May 17 2014 188.0 Put	Sell to Close.FIFO	500	04/04/14	1,548.86	04/08/14	2,528.08	977.22	63.09	Short-term
SSYS Mar 22 2014 105.0 Put	Sell to Close.FIFO	500	03/06/14	403.86	03/18/14	36.13	-367.73	-91.05	Short-term
SSYS Mar 22 2014 110.0 Put	Buy to Close.FIFO	500	03/06/14	203.87	03/18/14	811.11	607.24	297.86	Short-term
SSYS May 17 2014 110.0 Call	Buy to Close.FIFO	200	03/31/14	451.54	04/11/14	1,088.43	636.89	141.05	Short-term
STARBUCKS CORP	Sell.FIFO	300	03/19/14	23,103.96	03/21/14	23,254.52	150.56	0.65	Short-term
STJ Mar 22 2014 60.0 Put	Buy to Close.FIFO	500	02/10/14	263.86	02/12/14	336.13	72.27	27.39	Short-term
SUNSHINE HEART INC		1,000	---	10,799.89	---	9,209.84	-1,589.75	-14.72	Short-term
TESLA MOTORS INC	Sell.FIFO	100	04/10/14	20,874.99	04/22/14	21,539.53	664.54	3.18	Short-term

THE MOSAIC CO	Sell.FIFO	300	02/11/14	14,457.87	05/01/14	14,944.97	487.10	3.37	Short-term
TRACTOR SUPPLY CO	Sell.FIFO	300	02/25/14	20,637.87	03/13/14	21,451.66	813.79	3.94	Short-term
TRINET GRP INC		300	---	5,879.97	---	5,879.97	0.00	--	
TRINITY INDS INC	Sell.FIFO	300	02/13/14	18,009.90	02/20/14	19,474.67	1,464.77	8.13	Short-term
TRN Mar 22 2014 50.0 Put	Buy to Close.FIFO	500	02/03/14	363.86	02/06/14	461.13	97.27	26.73	Short-term
TSLA Apr 25 2014 210.0 Call	Buy to Close.FIFO	100	04/10/14	157.77	04/15/14	839.20	681.43	431.91	Short-term
TWITTER INC	Sell.FIFO	100	04/28/14	3,992.98	04/29/14	4,079.91	86.93	2.18	Short-term
UBNT Apr 19 2014 46.0 Put	Buy to Close.FIFO	500	03/12/14	438.86	03/13/14	621.11	182.25	41.53	Short-term
UBNT Apr 19 2014 42.0 Put	Sell to Close.FIFO	500	03/12/14	263.86	03/13/14	86.13	-177.73	-67.36	Short-term
ULTRA PETE CORP		200	---	5,807.91	---	5,938.89	130.98	2.26	Short-term
URI Mar 22 2014 90.0 Call	Sell to Close.FIFO	1,000	03/12/14	2,827.74	03/12/14	2,492.22	-135.52	-5.16	Short-term
URI Mar 22 2014 95.0 Call	Buy to Close.FIFO	1,000	03/12/14	567.73	03/12/14	472.26	-95.47	-16.82	Short-term
VELOCITYSHARES DAILY 3X INV		10,000	---	36,025.37	---	39,690.31	3,664.94	10.17	Short-term
VELOCITYSHARES DAILY 3X LON		1,300	---	44,147.26	---	42,493.83	-1,653.43	-3.75	Short-term
VIX Apr 16 2014 14.0 Call	Sell to Close.FIFO	1,000	03/26/14	1,867.74	04/09/14	812.26	-1,055.48	-56.51	Multiple
VIX Apr 16 2014 15.0 Call	Buy to Close.FIFO	1,000	03/26/14	457.73	04/09/14	1,302.27	844.54	184.51	Multiple
VLO Apr 19 2014 52.5 Put	Buy to Close.FIFO	500	03/20/14	158.87	04/03/14	461.11	302.24	190.24	Short-term
VLO Apr 19 2014 50.0 Put	Sell to Close.FIFO	500	03/20/14	228.86	04/03/14	21.13	-207.73	-90.77	Short-term
XLF Apr 19 2014 21.0 Put	Sell to Close.FIFO	1,000	03/20/14	107.73	04/01/14	22.26	-85.47	-79.34	Short-term
XLF Apr 19 2014 22.0 Put	Buy to Close.FIFO	1,000	03/20/14	137.74	04/01/14	232.25	94.51	68.61	Short-term
ZU Apr 19 2014 55.0 Call	Buy to Close.FIFO	200	03/10/14	709.54	03/24/14	888.44	178.90	25.21	Short-term
ZULILY INC CLA		200	---	10,919.78	---	10,919.78	0.00	--	
Totals:				1,530,465.54		1,595,047.77	64,582.23	4.22	

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