S	Yes	pendent child	es of a spouse or del mmittee on Ethics.	<b>EXEMPTION</b> —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	arned" income, ss you have first	er assets, "une wer "yes" unle	s report any othion? Do not ans	ou excluded from this	ON Have yo	EXEMPTION because the
<b>№</b>	Yes	ot be	epted trusts" need no	TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	nittee on Ethics ting you, your s	nd by the Comn	Trusts" approve	ding "Qualified Blind	Details regard	TRUSTS— disclosed. I
S	QUESTIONS	)F THESE (	WER EACH OF THESE QUESTIONS	- ANS	TINFORM	OR TRUS	ENDENT,	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION	ON OF	EXCLUS
	esponse.	each "Yes" re	le attached for each "Yes" response.	Each question in this part must be answered and the appropriate schedul	and the ap	e answered	part must b	question in this	Each c	
S S	Yes 🖵	\$5,000 from	ensation of more than \$ prior years? ch Schedule VI.	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	8	Yes 🔽	ve any report- ing period?	III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	your spouse, o more than \$10 lete and attac	III. Did you, able liability If yes, comp
Ş Q	Yes	angement	rtable agreement or arrangement	V. Did you have any reportable agreemer with an outside entity? If yes, complete and attach Schedule V	No	Yes 🔽	eive "unearned" or hold any id of the period?	II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	our spouse, or ore than \$200 is set worth more steel and attac	II. Did you, y income of me reportable as if yes, comp
<u>8</u>	S S	efore the date r two years?	rtable positions on or bundar year or in the prior ich Schedule IV.	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	§	Yes 🔽	e.g., salaries or rting period?	I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	your spouse h	l. Did you on fees) of \$200 If yes, comp
				ANSWER EACH OF THESE QUESTIONS	OF THESE	ER EACH		In all sections, please type or print clearly in blue or black ink.  PRELIMINARY INFORMATION — ANSW	ns, please type	In all section PRELIM
assessed who files	\V	A \$200 penalty shall be against any individual more than 30 days late.	Check if Amendment	11/04/2013	Date of Election:	Office:	State:	Candidate for the House of Representatives New officer or employee	U HOR	Filer Status
	(Office Use Only)	6	,	Telepho"	Daytime Telepho		er e	n Schlesinger	Alan	Name:
IVES	U.S. HOUSE OF REPRESENTATIVES	U.S. HOUSE OF			:			•	2	
18 CC	LEGISLATIVE RESOURCE CENTER of 2013 SEP 30 PM 3: 28	LEGISLATIVE 2013 SEP	B nd new employees	FORM E		ATIVES	EPRESENTAI FEMENT September	UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT Period covered: January 1, ユゥlュー September ユタ	STATES: IAL DISC	FINANC Period co

# SCHEDULE I—EARNED INCOME (INCLUDING HONORARIA)

Name Alay Schlesin Sch

Page 2 of ! O

							Schlesinger+ Barbara, LLC (Law Partners fip)	Harris County, Texas Public Schools	Examples: XYZ Trade Association, Chicago, IL (Rec'd December 2)	7	XYZ Corporation, Houston, TX	Source (include date of receipt for nonoralia)		Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.	List the source, type, and amount of earned income from any source (other than the filer's current entroyment by the c.c. covernment, beaming percentage during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income	
						Non-Employee Comparation	Net Income in lieu salony	Spouse Salary	Honorarium	Director's Fee	Salary	13/20	Type	rement programs, and benefits re	man the liter's current employment amount of any honoraria; list only	II IL - III - I
							125,000.	NA	0	\$400	\$6,300	Current Year to Filing	Amount	eceived under the Social	y the source for other spo	this that I C Covernme
						15% 07%	¥,73,529	NA	\$1,000	\$3,200	\$28,450	Preceding Year	ant	Security Act.	Suse earned income	nt) totalling \$200 or

## SCHEDULE II — ASSETS AND "UNEARNED" INCOME

BLOCK A BLOCK B BLOCK C BLOCK D

identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated Provide complete names of stocks and mutual funds (do not use ticker symbols). more than \$200 in "unearned" income during the year. Asset and/or Income Source please specify the method used. reporting year. If you use a valuation method other than fair market value, Indicate value of asset at close of Value of Asset allow you to choose specific retirement accounts that do not Check all columns that apply. For Type of Income Amount of Income

it generated income, the value should ing year and is included only because If an asset was sold during the report-

account that exceeds the reporting thresholds. For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the

be "None."

if the asset generated no income closed as income. Check "None" if reinvested, must be disinterest, and capital gains, even "Tax-Deferred" column. Dividends,

investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the

and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest,

spouse or dependent child. \* This column is for income derived from assets solely held by your

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Utility Advocate, LLC	Ridgman, LL ( Red)	Linosana, LLC (Real)	Rental Prop. Milford, CT	( South bury, CT)	Starion Energy, Inc.		DC, Examples: Simon & Schuster	SP Mega Corp. Stock	rental property," and the city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in; or income derived from, a federal retirement program, including the Thrift Savings Plan.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left.  For a detailed discussion of Schedule II requirements, please refer to the instruction booklet.	For rental or other real property held for investment, provide a complete address or a description, e.g.,
X	<b>X</b>	× .	×		X	×	Indefinite	×	None   \$1 - \$1,000   \$1,001 - \$15,000   \$15,001 - \$50,000   \$50,001 - \$100,000   \$100,001 - \$250,000   \$250,001 - \$500,000   \$500,001 - \$1,000,000   \$1,000,001 - \$5,000,000   \$5,000,001 - \$5,000,000   \$5,000,001 - \$25,000,000   \$5,000,000 - \$25,000,000    \$5,000,000 - \$25,000,000    \$5,000,000 - \$25,000,000    \$5,000,000 - \$25,000,000    \$5,000,000 - \$25,000,000    \$5,000,000 - \$25,000,000    \$5,000,000 - \$25,000,000    \$5,000,000 - \$25,000,000    \$5,000,000 - \$25,000,000    \$5,000,000 - \$25,000,000    \$5,000,000 - \$25,000,000    \$5,000,000 - \$25,000,000    \$5,000,000 - \$25,000,000    \$5,000,000 - \$25,000    \$5,000,000 - \$25,000    \$5,000,000 - \$25,000    \$5,0	*This column is for assets solely held by your spouse or dependent child.
×	×	X	×			×		×	\$25,000,001 - \$50,000,000	y held during the reporting period.  hild.
<u> </u>	X	X			<i>K-1</i> X		Royaltes		EXCEPTED/BLIND TRUST  TAX-DEFERRED  Other Type of Income (Specify: e.g., Partnership Income or Farm Income)  None –  \$1 - \$200 =	g period.
			×			×	×	+	\$201 - \$1,000 \( \) \( \	
X	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		×		×	*	None - \$1 - \$200 =	

For additional assets and unearned income, use next page.

Page W 9

Name

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## SCHEDULE III - LIABILITIES

Name Alan Schlesinger

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owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000. ture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sib-Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount

;								Amount of Liability	nt of	Liabil	₹			
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j Ç	Creditor	Incurred mo/year	Type of Liability	10,001— 15,000	15,001— 50,000	50,001 100,000	250,001— 250,000 250,001—	500,000	1,000,000	5,000,000	25,000,000 25,000,001—	50,000,000  Over	50,000,000 spouse/DC	lability over
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	Webster Bank	May 2005	May 2005 Mortrage on 315 EdgeFichlain				X				······································			
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## SCHEDULE IV — POSITIONS

cer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an offi-

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

_	and positions solely of all normally nature.	ature.
	Position	Name of Organization
	Partner	Schlesinger + Barbara, LLC (Law From)
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7		

## SCHEDULE V -- AGREEMENTS

Name Alar Schlosinsen

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	Identify the date service; continu efit plan maintal	Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employn service; continuation or deferral of payments by a former or current employer other than the U.S. Government; cefit plan maintained by a former employer.	respect to: future employment; a leave of absence during the period of government nan the U.S. Government; or continuing participation in an employee welfare or ben-
	Date	Parties To	Terms of Agreement
,			

# SCHEDULE VI — COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule I.

The state of the s	
Source (Name and Address)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting services
See Addendum Sch II	Legal Services

SCHEDULE II — ASSETS AND "UNEARNED" INCOME

Name alar Schlesinger

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Continuation Sheet (if needed)

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### **SCHEDULE II ADDENDUM**

### ASSETS AND "UNEARNED" INCOME FROM RETIREMENT ACCOUNTS

ASSET AND/OR INCOME SOURCE	VALUE OF ASSET	TYPE OF	AMOUUNT OF INCOME CURRENT YEAR	AMOUNT OF INCOME PRECEEDING YEAR
RBC Prime Money Market	\$50k to \$100K	Interest	\$1.00 - \$200	\$1.00 - \$200
Crown Cork & Seal Bonds	\$15k to \$50K	Interest	\$1,001 - 2,500	\$1,001 - \$2,500
Freddie Mac CMO Series 2641	\$15K to \$50K	Interest	\$1,001 - 2,500	\$1,001 - \$2,500
Nomura Asset CMO	\$50K to \$100K	Interest	\$2,501 - \$5,000	\$5,001 - \$15,000
Bank of America Trust 2007-5	\$15K to \$50K	Interest	\$201 - \$1,000	\$1,001 \$2,500
Bank of America Trust 2007-3	\$1K to \$15K	Interest	\$201 - \$1,000	\$201 - \$1,000
Lehman Mortgage Series Trust Series 2007-10	\$15K to \$50K	Interest	\$1,001 - 2,500	\$2,501 \$5,000
FNMA Series 2012-40	\$15K to 50K	Interest	\$201 - \$1,000	\$1,001   \$2,500
FNMA Series 2013 -26	\$15k TO \$50k	Interest	\$1,001 - 2,500	\$1,001 \$2,500
FHR 427 HS (CMO)	\$15K to \$50K	Interest	\$1,001 - \$2,500	None
Seelaus Money Market	\$50K to \$100K	Interest	\$1.00 to \$200	\$1.00 to \$200
Enbridge Energy Stock	\$15K to \$50K	Dividend	\$201 - \$1,000	None
Genworth Financial Notes	\$1K to \$15K	Interest	\$201 - \$1,000	\$201 - \$1,000
Hartford Financial Services Note	s \$1K to \$15k	Interest	\$201 - \$1,000	\$201 - \$1,000
FHLMC Series 1518G	\$1K to \$15K	Interest	\$201 - \$1,000	\$201 - \$1,000
FHLMC Series 2990WK	\$15K to \$50K	Interest	\$5,001 - \$15,00	0 None
FHLMC Series 3807US	\$50K to \$100K	Interest	\$5,001 - \$15,00	0 \$5,001 \$15,000
FHLMC Series 3840MS	\$15K to \$50K	Interest	\$1,000 to \$2,50	0 \$1,001 - \$2,500
Bank of America Series 03-1B3	\$50K to \$100K	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
NYC Development Bonds	\$15K to \$50K	Interest	\$2,501 - \$5,000	None
Seelaus Money Market (Roth IRA)	\$100K to \$250K	Interest	\$1.00 - \$200	\$1.00 - \$200
Annaly Capital Management REIT	\$15K to \$50K	Dividend	\$1,000 \$2,500	None
Genworth Financial Bonds	\$15K to \$50K	Interest	\$2,501 - \$5,000	\$2,501-\$5,000

### Alan Schlesinger Page 8 of 10

FNMA Series 03-119YS	\$15K to \$50k	Interest	\$1,001 - \$2,500	\$1,001-\$2,500
Bank of America Series 04-D2A2	\$1K to \$15K	Interest	\$201 - \$1,000	None
Deutsche Bank Series ALT-A05-4A3	\$15K to \$50K	Interest	\$201 - \$1,000	\$1,001 -\$2,500
Morgan Stanley Series 07-12 3A22	\$1K to \$15K	Interest	\$201 - \$1,000	\$1,001 -\$2,500
ING Fixed Plus Account	\$15K to \$50K	Interest	\$201 - \$1,000	\$1,001 -\$2,500
ING Oppenheimer Dev. Mkt Fund A	\$15K to \$50K	Dividends	None	\$201 - \$1,000
David Lerner Apple Reits	\$15K to \$50K	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
David Lerner Money Market	\$1K to \$15K	Interest	\$1 - \$200	\$1 - \$200

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### SCHEDULE II ADDENDUM

### ASSETS AND "UNEARNED" INCOME FROM MUNICIPAL BONDS

ASSET AND/OR INCOME SOURCE	VALUE OF ASSET	TYPE OF INCOME	AMOUUNT OF INCOME CURRENT YEAR	AMOUNT OF INCOME PRECEEDING YEAR
Puerto Rico Pub Bldg Auth	\$15K to \$50K	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
Miami Dade County FL Aviation	\$15K to \$50K	Interest	\$201 - \$1,000	\$1,001- \$2,500

### Alan Schlesinger Page 10 of 10

### SCHEDULE VI ADDENDUM

### LIST OF CLIENTS WITH COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE IN 2012 AND/OR 2013 (FOR LEGAL SERVICES)

Utility Income Group, LLC 373 Prospect Street, Torrington, CT 06790

Lusignan 190 Carol Road, Stratford, CT 06614

Estate of Morton Weyler 2 October Hill Road, Woodbridge, CT 06525

Zulfo Dedovic 68 Barclay Street, Bridgeport, CT 06610

Bryan Brevard 465 Tampa Street, West Haven, CT 06516

Damalys Pintle 268 Olivia Street, Derby, CT 06418

Tammy Weeden Shapiro 61 Wentworth Street, Bridgeport, CT 06606

City of Derby One Elizabeth Street, Derby, CT 06418

K. White 4 Fifth Avenue, Shelton, CT 06484

J. Lesniak 9 Wilson Road, Weston, CT 06880

L. Pompilli 9 Kimberly Lane, Madison, CT 06443

Estate of Donna Witkowski 660 Long Hill Avenue, Shelton, CT 06484

Christina Gildea 298 Wakelee Avenue, 2<sup>nd</sup> Fl., Ansonia, CT 06401

M. Mycek 11 Brook Road, Port Chester, NY 10573

Brian Darrow 97 Southwind Drive, Wallingford, CT 06492

Resnikoff Estate (Collection for State of Connecticut,
(State of Connecticut Beneficiary) Department of Administrative Services)
165 Capitol Avenue, Hartford, CT 06106

G. Pacheco 1 Tamarack Avenue, Apt. 14, Danbury, CT 06811