



Filing ID #10015902

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. James E. Banks
Status: Member
State/District: IN03

FILING INFORMATION

Filing Type: New Filer Report
Filing Year: 2016
Filing Date: 05/15/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Capital Income Builder Fund Class A	JT	\$1,001 - \$15,000	Dividends	None	\$1 - \$200
Capital Income Builder Fund Class A	JT	None	Capital Gains, Dividends	None	\$1 - \$200
CMS Energy Corporation (CMS)	JT	\$1,001 - \$15,000	Dividends	None	\$1 - \$200
CMS Energy Corporation (CMS)	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
Eli Lilly and Company (LLY)	JT	\$1,001 - \$15,000	None		
Eli Lilly and Company (LLY)	JT	\$1,001 - \$15,000	Dividends	None	\$1 - \$200
Grace Schools IRA - Money Market	SP	\$1,001 - \$15,000	None		
INDIANA 529 ⇒ College Choice 529 (Age-based option growth portfolio 100%)		\$1,001 - \$15,000	Tax-Deferred		
LOCATION: IN DESCRIPTION: Elizabeth Banks					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
INDIANA 529 ⇒ College Choice 529 (Age-based option growth portfolio 100%) LOCATION: IN		\$1,001 - \$15,000	Tax-Deferred		
Indiana Deferred Compensation Account		\$1,001 - \$15,000	Tax-Deferred		
INPRS Legislators' Defined Contribution Fund DESCRIPTION: 2045 Retirement Fund		\$50,001 - \$100,000	Tax-Deferred		
INPRS Legislators' Defined Contribution Fund DESCRIPTION: 2045 Retirement Fund		\$15,001 - \$50,000	Tax-Deferred		
INPRS Legislators' Defined Contribution Plan DESCRIPTION: 2045 Retirement Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
INPRS Legislators' Defined Contribution Plan DESCRIPTION: 2045 Retirement Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ AMG Yachtman Focused Fund Service Class	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ AMG Yachtman Focused Fund Service Class	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ Income Fund of America Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ Income Fund of America Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ PGR Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ PGR Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ T Rowe Price New Horizons	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ T Rowe Price New Horizons	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
IRA ⇒ Washington Mutual Investors Fund Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ Washington Mutual Investors Fund Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
Marathon Oil Corporation (MRO)	JT	\$1,001 - \$15,000	Dividends	None	\$1 - \$200
Marathon Oil Corporation (MRO)	JT	\$1,001 - \$15,000	Dividends	None	\$1 - \$200
Options Xpress Charles Schwab IRA		\$15,001 - \$50,000	Tax-Deferred		
Options Xpress Charles Schwab IRA		\$15,001 - \$50,000	Tax-Deferred		
ReliaStar Life Insurance	SP	\$100,001 - \$250,000	None		
Roth IRA ⇒ Capital World Growth & Income Fund Class A	SP	\$1 - \$1,000	Tax-Deferred		
Roth IRA ⇒ Capital World Growth & Income Fund Class A	SP	\$1 - \$1,000	Tax-Deferred		
Roth IRA ⇒ Investment Company of America Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ Investment Company of America Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ New World Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ New World Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
State of Indiana Public Employee Deferred Compensation Plan	SP	\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: Indiana 2040 Fund					
State of Indiana Public Employee Deferred Compensation Plan	SP	\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: Indiana 2040 Fund					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Traditional IRA - Money Market		\$1,001 - \$15,000	Tax-Deferred		
Traditional IRA - Money Market		\$1,001 - \$15,000	Tax-Deferred		

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
State of Indiana	Salary	N/A	\$41,194.00
Grace Schools	Spouse Salary	N/A	N/A
HDC Bradley Real Estate	Commission	N/A	\$16,105.00
COMMENTS: Commission earned before being sworn in as a Member of Congress			

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
November 2014	Jim Banks and Indiana State Senate	Indiana State Senator Jim Banks was sworn into office on 11/18/14 and immediately requested military leave of absence from his duties as authorized by IC 5-9-4 for the duration of his active duty. Upon return from deployment, Jim Banks submitted written notification of his return and readiness to resume his duties as senator to the President Pro Tempore dated 5/7/15. He resumed office 5/8/15.
November 2017	INPRS - Indiana Public Retirement System	Continued participation in retirement account

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

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- INDIANA 529
LOCATION: IN
- IRA (Owner: SP)
- Roth IRA (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. James E. Banks , 05/15/2017