



Filing ID #10001040

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Mrs. Nita M. Lowey  
**Status:** Member  
**State/District:** NY17

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2013  
**Filing Date:** 05/9/2014

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset  | Owner | Value of Asset            | Income Type(s)                 | Income                  | Tx. > \$1,000?           |
|--|-------|---------------------------|--------------------------------|-------------------------|--------------------------|
| 57 West 70th Development LLC   | SP    | \$1,000,001 - \$5,000,000 | None                           |                         | <input type="checkbox"/> |
| LOCATION: New York, NY, US   |       |                           |                                |                         |                          |
| 649 C. Street SE, Apt 209  | JT    | \$250,001 - \$500,000     | Rent                           | \$15,001 - \$50,000     | <input type="checkbox"/> |
| LOCATION: Washington, DC, US   |       |                           |                                |                         |                          |
| Arbiter Partners   |       | \$1,000,001 - \$5,000,000 | Capital Gains                  | \$100,001 - \$1,000,000 | <input type="checkbox"/> |
| DESCRIPTION: EIF   |       |                           |                                |                         |                          |
| Arbiter Partners   | SP    | \$1,000,001 - \$5,000,000 | Capital Gains                  | \$100,001 - \$1,000,000 | <input type="checkbox"/> |
| DESCRIPTION: EIF   |       |                           |                                |                         |                          |
| Brownstone Investment Opportunities                                  | SP    | \$1,000,001 - \$5,000,000 | Capital Gains, ordinary income | \$100,001 - \$1,000,000 | <input type="checkbox"/> |
| LOCATION: New York, NY, US   |       |                           |                                |                         |                          |
| DESCRIPTION: Holding company that holds a specialized broker-dealer. |       |                           |                                |                         |                          |
| Chase Bank (checking)  | JT    | \$15,001 - \$50,000       | Interest                       | \$201 - \$1,000         | <input type="checkbox"/> |

| Asset   | Owner | Value of Asset            | Income Type(s) | Income                  | Tx. > \$1,000?                      |
|---|-------|---------------------------|----------------|-------------------------|-------------------------------------|
| Citibank Checking and Savings   | JT    | \$500,001 - \$1,000,000   | Interest       | \$1,001 - \$2,500       | <input type="checkbox"/>            |
| Diker Micro-Value Fund  | SP    | \$250,001 - \$500,000     | Capital Gains  | \$15,001 - \$50,000     | <input type="checkbox"/>            |
| DESCRIPTION: EIF  |       |                           |                |                         |                                     |
| Federated Kaufmann Small Cap Fund (Federated Kaufmann IRA)                | SP    | \$1,000,001 - \$5,000,000 | Capital Gains  | \$100,001 - \$1,000,000 | <input type="checkbox"/>            |
| Fidelity Institutional Money Market Fund Portfolio Class I (Fidelity IRA) | SP    | \$1,000,001 - \$5,000,000 | Capital Gains  | \$5,001 - \$15,000      | <input type="checkbox"/>            |
| Fidelity Institutional Money Market Portfolio Class I                     | JT    | \$50,001 - \$100,000      | Interest       | \$2,501 - \$5,000       | <input type="checkbox"/>            |
| Highway Eats  |       | None                      | None           |                         | <input type="checkbox"/>            |
| DESCRIPTION: Debt owned to the File.                                      |       |                           |                |                         |                                     |
| Ingalls & Snyder Value Fund   | SP    | \$1,000,001 - \$5,000,000 | Capital Gains  | \$15,001 - \$50,000     | <input type="checkbox"/>            |
| DESCRIPTION: EIF  |       |                           |                |                         |                                     |
| Lowey Dannenberg Bemporad & Sellinger Profit Sharing Plan                 | SP    | \$500,001 - \$1,000,000   | None           |                         | <input type="checkbox"/>            |
| Lowey Dannenberg Cohen & Hart, P.C. ownership interest in law firm        | SP    | \$250,001 - \$500,000     | None           |                         | <input type="checkbox"/>            |
| DESCRIPTION: Ownership interest engaged in business.                      |       |                           |                |                         |                                     |
| M.D. Sass/Macquarie Financial Strategies                                  | SP    | \$500,001 - \$1,000,000   | Capital Gains  | \$15,001 - \$50,000     | <input type="checkbox"/>            |
| DESCRIPTION: EIF  |       |                           |                |                         |                                     |
| New Silk Route Fund   | SP    | \$250,001 - \$500,000     | None           |                         | <input checked="" type="checkbox"/> |
| DESCRIPTION: EIF  |       |                           |                |                         |                                     |
| New York State and Local Retirement System                                |       | Undetermined              | Pension        | \$5,001 - \$15,000      | <input type="checkbox"/>            |
| Oppenheimer & Spence Investment Pp.                                       |       | \$500,001 - \$1,000,000   | Capital Gains  | \$15,001 - \$50,000     | <input type="checkbox"/>            |
| DESCRIPTION: EIF  |       |                           |                |                         |                                     |

| Asset   | Owner | Value of Asset            | Income Type(s)                     | Income                  | Tx. > \$1,000?                      |
|---|-------|---------------------------|------------------------------------|-------------------------|-------------------------------------|
| P. Oppenheimer Investment Partnership                                   |       | \$1,000,001 - \$5,000,000 | Capital Gains                      | \$100,001 - \$1,000,000 | <input type="checkbox"/>            |
| DESCRIPTION: EIF  |       |                           |                                    |                         |                                     |
| P. Oppenheimer Investment Partnership (Oppenheimer & Close IRA)         |       | \$100,001 - \$250,000     | Capital Gains                      | \$1,001 - \$2,500       | <input type="checkbox"/>            |
| Parkstone Capital   | SP    | \$50,001 - \$100,000      | None                               |                         | <input checked="" type="checkbox"/> |
| DESCRIPTION: EIF  |       |                           |                                    |                         |                                     |
| Santa Monica Partners   | SP    | \$1,000,001 - \$5,000,000 | Capital Gains                      | \$100,001 - \$1,000,000 | <input type="checkbox"/>            |
| DESCRIPTION: EIF  |       |                           |                                    |                         |                                     |
| Stable Income Fund (NYS Deferred Compensation Plan)                     |       | \$15,001 - \$50,000       | Capital Gains                      | \$1 - \$200             | <input type="checkbox"/>            |
| Vanguard Inst Index Inst Pls Large Cap (NYS Deferred Compensation Plan) |       | \$50,001 - \$100,000      | Capital Gains, Dividends, Interest | \$5,001 - \$15,000      | <input type="checkbox"/>            |

SCHEDULE B: TRANSACTIONS

| Asset   | Owner | Date          | Tx. Type | Amount               | Cap. Gains > \$200? |
|---|-------|---------------|----------|----------------------|---------------------|
| New Silk Route Fund   | SP    | 08/26/2013    | P        | \$15,001 - \$50,000  |                     |
| DESCRIPTION: SP provided funds in response to a capital call based on an investment commitment at a prior date. |       |               |          |                      |                     |
| Parkstone Capital   | SP    | Semi-Annually | P        | \$50,001 - \$100,000 |                     |
| DESCRIPTION: SP provided funds in response to a capital call based on an investment commitment at a prior date. |       |               |          |                      |                     |

SCHEDULE C: EARNED INCOME

| Source                                     | Type          | Amount   |
|--|---------------|----------|
| Lowey Dannenberg Cohen & Hart, P.C.        | Spouse Salary | N/A      |
| New York State and Local Retirement System | Pension       | \$10,447 |

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

| Date         | Parties To                                 | Terms of Agreement       |
|--------------|--|--------------------------|
| January 1988 | New York State and Local Retirement System | Monthly pension payments |

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Mrs. Nita M. Lowey , 05/9/2014