



Filing ID #10015449

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Frank Pallone Jr.  
**Status:** Member  
**State/District:** NJ06

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2016  
**Filing Date:** 05/12/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chase Bank Account, Long Branch, NJ		\$1 - \$1,000	None		<input type="checkbox"/>
Congressional Federal Credit Union	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
DC2 ⇒ ABERDEEN FDS EMERGING MARKETS FUND INSTITUTIONAL CLASS (ABEMX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ American Century Mutual Funds Growth Fund Instl (TWGIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ Dodge & Cox Funds International Stock Fund (DODFX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ Eaton Vance Large Cap Value Fund CL I (EILVX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ EATON VANCE SER II INCOME FUND BOSTON CL I (EIBIX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DC2 ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ Goldman Sachs Trust Strategic Income Fund Instl (GSZIX)	DC	None	Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ Harbor Fund Capital Appreciation Fund Instl (HACAX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ Harris Assoc. Investment Trust Oakmark Equity & Income Fund CL I (OAKBX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ HARTFORD MUTL FDS INC MIDCAP FUND CLASS I (HFMIX)	DC	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
DC2 ⇒ Investment Managers SerTr Oak Ridge Small Cap Growth Fund CL Y (ORIYX)	DC	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ JP Morgan Core Bond Fund Select (WOBDX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ RIDGEWORTH FUNDS CEREDX MID CAP VALUE EQUITY FUND CLASS I (SMVTX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ Rose Marie Hospodor IRA Trust	DC	\$100,001 - \$250,000	Excepted/Blind Trust	\$5,001 - \$15,000	<input type="checkbox"/>
DC2 ⇒ Verizon Communications Inc. (VZ)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ Victory Portfolios Munder Ser Trust Midcap Core Growth Fund CL Y (MGOYX)	DC	None	None		<input type="checkbox"/>
DC2 ⇒ Victory Sycamore Small Co. Oppty FD CL I (VSOIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Formerly Victory Portfolios Small Co. Oppty Fd CLC I (VSOIX)					
DC2 ⇒ Virtus Opportunities Tr Emerging Mkts Opptys FD Cl (HIEMX)	DC	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Formerly Virtus Emerging Markets Opportunities Fund CL I (HIEMX)					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DC2 ⇒ Wells Fargo Bank Deposit Sweep	DC	\$1 - \$1,000	None		<input type="checkbox"/>
DC3 UTMA ⇒ Rose Marie Hospodor IRA Trust	DC	\$250,001 - \$500,000	Excepted/Blind Trust	\$5,001 - \$15,000	<input type="checkbox"/>
Residential Real Estate, M. Pallone Estate (Half Interest)		\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: Long Branch, NJ, US					
WELLS FARGO ADVISORS ⇒ Wells Fargo - Bank Deposit Sweep	JT	\$1 - \$1,000	None		<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY 2016-4Q	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Unit Investment Trust - Equity Trusts					
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY SERIES 15-3Q CA	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Unit Investment Trusts - Equity Trusts - Redemption					
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Altria Group, Inc. (MO)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Chevron Corporation (CVX)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Citigroup, Inc. (C)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Dominion Resources, Inc. (D)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Mondelez International, Inc. - Class A (MDLZ)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Nuveen Municipal Trust High Yield Municipal Bond Fund CL A (NHMAX)	SP	\$1,001 - \$15,000	Dividends, Interest	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Philip Morris International Inc (PM)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒	SP	\$1,001 - \$15,000	Capital Gains,	\$201 -	<input type="checkbox"/>

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The Kraft Heinz Company (KHC)			Dividends	\$1,000	
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Vanguard Municipal Bond Fund Limited Term Tax-Exempt Portfolio (VMLTX)	SP	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Verizon Communications Inc. (VZ)	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Wells Fargo Bank Deposit Sweep	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS FD INSTL CL (FTIXX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Emerging Markets Fund CL S (REMSX)	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Global Equity Fund CL S (RGESX)	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell International Developed Markets Fund CL S (RINTX)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Commodity Strategies Fund CL S (RCCSX)	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Global Infrastructure Fund CL S (RGISX)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Global Opportunistic Credit Fund CL S (RGCSX)	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Global Real Estate Sec Fund CL S (RRESX)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Multi-Strategy Alternative Fund CL S (RMSSX)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co US Strategic Equity Fund CL S (RSESX)	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO MULTI-STRATEGY INCOME FD CL S (RMYSX)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Strategic Bond Fund CL S (RFCTX)	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell US Small Cap Equity Fund CL S (RLESX)	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Energy Select Sector SPDR (XLE)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Financial Select Sector SPDR (XLF)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Industrial Select Sector SPDR (XLI)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays 1-3 Year Credit Bond Fund (CSJ)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays 3-7 Year Treasury Bond Fund (IEI)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays 7-10 Year Treasury Bond Fund (IEF)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays Intermediate Credit Bond Fund (CIU)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays MBS Bond Fund (MBB)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P Mid-Cap Fund (IJH)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P Small-Cap 600 Index Fund (IJR)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒	SP	\$15,001 - \$50,000	Dividends	\$201 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
iShares Core U.S. Aggregate Bond (AGG)				\$1,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares IBOXX Investment Grade Corporate Bond Fund (LQD)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares JP Morgan Emerging Markets Bond Fund (EMB)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares MSCI Germany (EWG)	SP	None	None		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares MSCI Mexico Capped Fund (EWW)	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares S&P North American Technology Networking Index Fund (IGN)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Tip Bond (TIP)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares US Preferred Stock (PFF)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Powershares DB Commodity Index Tracking Fund (DBC)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Purchased 11/21/2014 - under \$1,000					
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ PowerShares Emerging Markets Sovereign Debt (PCY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Fund Consumer Discretionary (XLY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Fund Health Care Fund (XLV)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Trust Consumer Staples Fund (XLP)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒	SP	\$1,001 - \$15,000	Dividends	\$201 -	<input checked="" type="checkbox"/>

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SPDR Barclays Capital High Yield Bond Fund (JNK)				\$1,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Euro STOXX 50 (FEZ)	SP	None	None		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR S&P 500 Trust (SPY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR S&P Retail Fund (XRT)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE Developed Markets (VEA)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE European ETF (VGK)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Information Technology Fund (VGT)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Intl Equity Index Fds Emerging Markets (VWO)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard REIT Fund (VNQ)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Total Bond Market (BND)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Wells Fargo Bank Sweep Account	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Advisors Inner Circle Fund Cambiar Opportunity Fund Instl (CAMWX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ AIM Investment Sec Funds Invesco Investment Secs Funds Global Real Estate Fund CLY (ARGYX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ American Funds Washington Mututal FD F2 (WMFFX)	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ AMG Funds Timesquare Mid-Cap Growth Fund Premier	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

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(TMDPX)					
WELLS FARGO ADVISORS: IRA ⇒ Blair Williams Funds International Growth Fund CL I (BIGIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Delaware Group Equity Funds II - Value Fund Instl (DDVIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Deutsche Secs TR Enhanced Commodity Strat FD INSTL Class (SKIRX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Eagle Small Cap Growth Fund CL I (HSIIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Estee Lauder Companies, Inc. (EL)	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ General Electric Company (GE)	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Goldman Sachs Trust Financial Square Treasury Instruments Fund Instl (FTIXX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Hancock John Capital Ser Classic Value Fund CL I (JCVIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Harbor Fund International Fund Instl (HAINX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Hotchkis & Wiley Funds Mid-Cap Value Fund CL I (HWMIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ INVESCO GLOBAL REAL ESTATE Y (ARGYX)	SP	\$1,001 - \$15,000	Excepted/Blind Trust	\$5,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Lazard Funds Inc. Emerging Markets Portfolio Instl Shares Fund (LZEMX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>



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WELLS FARGO ADVISORS: IRA ⇒ Mainstay Large Cap Growth Cap Growth Fund CL I (MLAIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Oppenheimer Developing Markets CL Y (ODVYX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Texas Instruments Incorporated (TXN)	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Unilever PLC (UL)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Victory Sycamore Small Co Opportunities Fund CL I (VSOIX)	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Voya Funds Voya Large CAP Growth Fund Class I (PLCIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Walt Disney Company (DIS)	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Wells Fargo Funds Trust Emerging Growth Fund Instl (WEMIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: ROTH IRA ⇒ Franklin Cust Dyna Tech CL A (FKDNX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: ROTH IRA ⇒ Franklin CUST DYNA TECH CL A (FKDNX)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: ROTH IRA ⇒ Wells Fargo Advantage Funds Traditional Small Cap Growth CL A (EGWAX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: ROTH IRA ⇒ Wells Fargo Advantage Funds Traditional Small Cap Growth Fund CL A (EGWAX)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Aberdeen FDS Emerging Markets Fund Institutional Class (ABEMX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

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WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ American Century Mutual Funds Growth Fund Instl (TWGIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Deutsche SECS TR Enhanced Commodity STRAT FD INSTL Class (SKIRX)	DC	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Deutsche SECS TR Global Real Estate SECS FD INSTL Class (RRGIX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Dodge & Cox Funds International Stock Fund (DODFX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Eaton Vance Large Cap Value Fund CL I (EILVX)	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Eaton Vance SER II Income Fund Boston CL I (EIBIX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Goldman Sachs TR FINL Square Treas INSTRS FD INSTL CL (FTIXX)	DC	\$1 - \$1,000	None		<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Goldman Sachs TR Strategic Income FD CL INSTL SHS (GSZIX)	DC	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Harbor Fund Capital Appreciation Fund Instl (HACAX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Harris Assoc. Investment Trust Oakmark Equity & Income Fund CL I (OAKBX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ HARTFORD MUTL FDS INC MIDCAP FUND CLASS I (HFMIX)	DC	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Investment Managers Ser Tr Oak Ridge Small Cap Growth Fund CL Y (ORIYX)	DC	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ JP Morgan Core Bond Fund Select (WOBDX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ MFS SER TR X EMERGING MKTS DEBT FD CLASS I (MEDIX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Nuveen INVT FDS INC Real Estate SECS Fund CL I (FARCX)	DC	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Ridgeworth FDS Mid-Cap Value Equity FD Class I (SMVTX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Verizon Communications Inc. (VZ)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Victory Portfolios Munder Ser Trust Midcap Core Growth Fund CL Y (MGOYX)	DC	\$1 - \$1,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Victory Sycamore Small CO OPPTY FD CL I (VSOIX)  DESCRIPTION: Formerly Victory Portfolios Small Co. Oppty FD CL I Shs (VSOIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ VIRTUS OPPORTUNITIES TR EMERGING MKTS OPPTYS FD CLL I (HIEMX)  DESCRIPTION: Formerly Virtus Emerging Markets Opportunities FND CL I (HIEMX)	DC	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DC2 ⇒ HARTFORD MUTL FDS INC MIDCAP FUND CLASS I (HFMIX)	DC	09/12/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY 2016-4Q  LOCATION: US DESCRIPTION: Unit Investment Trust - Equity Trusts	SP	12/19/2016	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY SERIES 15-3Q CA  LOCATION: US DESCRIPTION: Unit Investment Trust - Equity Trusts - Redemption	SP	12/21/2016	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS (FTIXX)	SP	10/14/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS FD INSTL CL (FTIXX)	SP	07/12/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL EMERGING MARKETS FUND CL S (REMSX)	SP	07/12/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INTERNATIONAL DEVELOPED MARKETS FUND CL S (RINTX)	SP	07/12/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVESTMENT CO COMMODITY STRATEGIES FUND CL S (RCCSX)	SP	07/13/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVESTMENT CO GLOBAL INFRASTRUCTURE FUND CL S (RGISX)	SP	07/12/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVESTMENT CO GLOBAL OPPORTUNISTIC CREDIT FUND CL S (RGCSX)	SP	07/12/2016	P	\$15,001 - \$50,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVESTMENT CO GLOBAL OPPORTUNISTIC CREDIT FUND CL S (RGCSX)	SP	07/13/2016	P	\$15,001 - \$50,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVESTMENT CO GLOBAL REAL ESTATE SEC FUND CL S (RRESX)	SP	07/12/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVESTMENT CO MULTI-STRATEGY ALTERNATIVE FUND CL S (RMSSX)	SP	07/12/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒	SP	04/8/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
RUSSELL INVESTMENT CO US STRATEGIC EQUITY FUND CL S (RSESX)			(partial)		
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVESTMENT CO US STRATETIC EQUITY FUND CL S (RSESX)	SP	07/12/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO MULTI-STRATEGY INCOME FD CL S (RMYSX)	SP	07/12/2016	P	\$15,001 - \$50,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL STRATEGIC BOND FUND CL S (RFCTX)	SP	01/11/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL STRATEGIC BOND FUND CL S (RFCTX)	SP	07/8/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Energy Select Sector SPDR (XLE)	SP	11/8/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclay MBX Bond Fund (MBB)	SP	04/28/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays 7-10 Year Bond Fund (IEF)	SP	04/28/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays 7-10 Year Treasury Bond (IEF)	SP	01/7/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays Intermediate Credit Bond Fund (CIU)	SP	04/28/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Co S&P Small-Cap 600 Index Fund (IJR)	SP	04/28/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P Mid-Cap Fund (IJH)	SP	03/24/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P Small-Cap 600 Index Fund (IJR)	SP	08/16/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core U.S. Aggregate Bond (AGG)	SP	04/28/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core U.S. Aggregate Bond (AGG)	SP	08/16/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core U.S. Aggregate Bond (AGG)	SP	09/23/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	SP	03/24/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares iBoxx Invesmtent Grade Corporate Bond Fund (LQD)	SP	04/28/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares iBoxx Investment Grade Corporate Bond Fund (LQD)	SP	01/7/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares MSCI Germany (EWG)	SP	02/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares S&P North American Technology Networking Index Fund (IGN)	SP	01/7/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Tip Bond (TIP)	SP	04/28/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Powershares DB Commodity Index Tracking Fund (DBC)	SP	01/7/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Fund Health Care Fund (XLV)	SP	08/16/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Barclays Capital High Yield Bond Fund (JNK)	SP	08/16/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Euro STOXX 50 (FEZ)	SP	02/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE Developed Markets (VEA)	SP	02/11/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE Developed Markets (VEA)	SP	05/24/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE Developed Markets (VEA)	SP	04/28/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE European ETF (VGK)	SP	05/24/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Information Technology Fund (VGT)	SP	01/7/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Intl Equity Index Fds Emerging Markets (VWO)	SP	12/6/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Intl Equity Index Fds Emerging Markets (VWO)	SP	08/16/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard REIT Fund (VNQ)	SP	01/7/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard REIT Fund (VNQ)	SP	09/23/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ EATON VANCE LARGE CAP VALLUE FUND CL I (EILVX)	DC	09/12/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ GOLDMAN SACHS TR STRATEGIC INCOME FD CL INSTL SHS (GSZIX)	DC	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ HARTFORD MUTL FDS INC MIDCAP FUND CLASS I (HFMIX)	DC	09/12/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ MFS SER TR X EMERGING MKTS DEBT FD CLASS I (MEDIX)	DC	09/12/2016	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ VICTORY PORTFOLIOS MUNDER SER TRUST MIDCAP CORE GROWTH FUND CL Y (MGOYX)	DC	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Amplify Public Affairs	Spouse Salary	N/A
Oceans Cove	Sales Income (SP)	N/A

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Citi Mortgage Inc., San Antonio, TX	November 2016	Mortgage on Real Estate, Washington, DC	\$100,001 - \$250,000
JT	CitiBank, St. Louis, MO	February 2008	Home Equity Loan on Real Estate, Washington, DC	\$250,001 - \$500,000
	Wells Fargo Bank, Long Branch, NJ	December 2006	M. Pallone Estate. Mortgage on Real Estate, Long Branch, NJ, held by life estate tenant	\$15,001 - \$50,000
SP	Citi Master Card	December 2016	Revolving Credit	\$10,000 - \$15,000

**SCHEDULE E: POSITIONS**

None disclosed.

**SCHEDULE F: AGREEMENTS**

None disclosed.

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Progressive Congress	02/5/2016	02/5/2016	Metropark, NJ - Baltimore, MD - Metropark, NJ	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

**SCHEDULE A AND B ASSET CLASS DETAILS**

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- DC2 (Owner: DC)  
LOCATION: US
- DC3 UTMA (Owner: DC)
- WELLS FARGO ADVISORS (Owner: JT)  
LOCATION: US
- WELLS FARGO ADVISORS: GST TRUST 1 (Owner: SP)
- WELLS FARGO ADVISORS: GST TRUST 3 (Owner: SP)
- WELLS FARGO ADVISORS: GST TRUST 2 (Owner: SP)
- WELLS FARGO ADVISORS: IRA (Owner: SP)
- WELLS FARGO ADVISORS: ROTH IRA
- WELLS FARGO ADVISORS: ROTH IRA (Owner: SP)
- WELLS FARGO ADVISORS: UMTA NJ (3) (Owner: DC)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☒ Yes ☐ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Frank Pallone Jr., 05/12/2017