

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT**

Form A  
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER  
2010 MAY 14 PM 4:18

Name: Carolyn McCarthy

Daytime Telephone: 516-873-9087

**HAND  
DELIVERED**

*MC*  
A \$200 penalty shall be assessed  
against anyone who files more  
than 30 days late.

**PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b>	

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS**

**TRUSTS**—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "exempted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

**EXEMPTION**—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.

Yes  No

**SCHEDULE I – EARNED INCOME**

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

ପ୍ରକାଶକ ପତ୍ର ପରିଚୟ ଓ ପ୍ରକାଶକ ମଧ୍ୟ ଅନୁଷ୍ଠାନିକ ଅଧିକାରୀ

<b>Examples:</b>	Keene State State of Maryland Civil War Roundtable (Oct. 2nd)
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Keene State	Approved Teaching Fee	\$6.00
State of Maryland	Legislative Pension	\$9.00
Civil War Roundtable (Oct 2nd)	Spouse Speech	\$1.00
Ontario County Board of Education	Spouse Salary	NA

None

Name Carolyn McCarthy

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**For payments to charity in lieu of honoraria, use Schedule II.**

## SCHEDULE II – PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Carolyn McCarthy

Page 1 of 1

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

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### SCHEDULE III – ASSETS AND “UNEARNED” INCOME

Name Carolyn McCarthy

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For additional assets and unearned income, use next page.

Merrill Lynch 204 108

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24-Hour Assistance: (800) MERRILL

**MLPF & S CUST FPO****HON CAROLYN McCARTHY IRA****FBO HON CAROLYN McCARTHY**

Your Financial Advisor:  
**EDWARD A COSTELLO**  
 1305 WALT WHITMAN ROAD  
 MELVILLE NY 11747  
 edward.costello@mli.com  
 (800) 937-0611

**MOM IRA**

December 01, 2009 - December 31, 2009

**CASH FLOW**

	<i>December 31</i>	<i>November 30</i>	<i>This Statement</i>	<i>Year to Date</i>
<b>Opening Cash/Money Accounts</b>	<b>\$900.97</b>	<b>449.02</b>	<b>\$449.02</b>	

**CREDITS**

Funds Received	-
Electronic Transfers	-
Other Credits	-

**Subtotal****DEBITS**

Electronic Transfers	-
Other Debits	-

**Subtotal****Net Cash Flow**

Dividends/Interest Income	<b>588.96</b>	<b>3,442.72</b>
Dividend Reinvestments	(137.01)	(392.38)
Security Purchases/Debits	-	(16,048.02)
Security Sales/Credits	-	10,860.12

**Closing Cash/Money Accounts**

Securities You Transferred In/Out	<b>94.07</b>	<b>175.03</b>
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**ASSETS**

	<i>December 31</i>	<i>November 30</i>
<b>Cash/Money Accounts</b>	<b>\$900.97</b>	<b>449.02</b>
<b>Fixed Income</b>	-	-
<b>Equities</b>	<b>27,275.00</b>	<b>27,760.00</b>
Mutual Funds	79,746.87	77,957.61
Options	-	-
Other	-	-
<b>Subtotal (Long Portfolio)</b>	<b>\$107,922.84</b>	<b>\$106,166.63</b>
<b>TOTAL ASSETS</b>	<b>\$107,922.84</b>	<b>\$106,166.63</b>

**LIABILITIES****Debit Balance****TOTAL LIABILITIES**

<b>NET PORTFOLIO VALUE</b>	<b>\$107,922.84</b>	<b>\$106,166.63</b>
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**ACCOUNT INVESTMENT OBJECTIVE**

December 01, 2009 - December 31, 2009

**GROWTH:** Objective is to accumulate wealth over time through price appreciation rather than current income. The investor should be willing to accept the risk of price volatility and principal loss in seeking to achieve growth.

If you have changes to your investment objective, please contact your Financial Advisor(s).

**YOUR RETIREMENT ACCOUNT ASSETS**

CASH/MONEY ACCOUNTS <i>Description</i>	Quantity	Cost Basis	Total	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
			Total	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	326.38	326.38			326.38		
FIA CARD SERVICES NA RASP	574.59	574.59			574.59		
<b>TOTAL</b>	<b>900.97</b>	<b>900.97</b>	<b>1</b>		<b>900.97</b>	<b>1</b>	<b>.10</b>

**EQUITIES**

Description	Symbol	Acquired	Quantity	Unit	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Unrealized Gain/(Loss)	Estimated Current Annual Income	Estimated Current Yield%
GENERAL ELECTRIC	GE	01/17/95	200	8.7339	1,746.79	15.1300	3,026.00	1,279.21	80	2.64
GREAT PLAINS ENERGY INC	GXP	06/20/07	300	29.6445	8,893.35	19.3900	5,817.00	(3,076.35)	249	4.28
MOTOROLA INC COM	MOT	05/11/07	200	18.5067	3,701.35	7.7600	1,552.00	(2,149.35)		
PROCTER & GAMBLE CO	PG	01/17/95	200	15.6283	3,125.67	60.6300	12,126.00	9,000.33	353	2.90
THOMSON SPN ADR	TMS	06/20/07	200	19.0267	3,805.35	1.2600	252.00	(3,553.35)		
US BANCORP (NEW)	USB	04/27/07	200	34.8367	6,967.35	22.5100	4,502.00	(2,465.35)	40	.88
<b>TOTAL</b>			<b>28,239.86</b>		<b>27,275.00</b>	<b>(964.86)</b>	<b>722</b>	<b>2.65</b>		

**RESEARCH RATINGS**

Security	Symbol	BAS-MR Research Rating	I/P/R Rating*	I/P/R Rating*	Jaywalk Consensus Rating	SELL	HOLD	BUY
GENERAL ELECTRIC	GE	B-2-8	Buy	-Morningstar	Hold -Standard & Poor's			1.59
GREAT PLAINS ENERGY INC	GXP	N/A	Hold	-Morningstar	Hold -Standard & Poor's			1.86
MOTOROLA INC COM	MOT	C-1-9	Hold	-Morningstar	Buy -Standard & Poor's			2.00
PROCTER & GAMBLE CO	PG	A-2-7	Buy	-Morningstar	Buy -Standard & Poor's			1.52
THOMSON SPN ADR	TMS	N/A	Sell	Morningstar	Sell -Standard & Poor's			2.20

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## TOTAL MERRILL

MOM IRA

# YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2009 - December 31, 2009

### RESEARCH RATINGS (continued)

<b>Security</b>	<b>Symbol</b>	<b>BAS-ML Research Rating</b>	<b>IPR Rating*</b>	<b>IPR Rating*</b>	<i>Jaywalk Consensus Rating</i>		
					SELL	HOLD	BUY
US BANCORP (NEW)	USB	B-1;7 Hold	Morningstar	Hold	Standard & Poor's	2.19	■

**PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO YOUR BAS-ML RESEARCH AND IPR RATINGS.**

<b>MUTUAL FUNDS/CLOSED END FUNDS/UIT</b>			<i>Total Client Investment</i>	<i>Cumulative Investment Return</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Unrealized Gain/(Loss)</i>	<i>Estimated Annual Income</i>	<i>Current Yield%</i>
<b>BLACKROCK INTL GROWTH &amp;</b>			285	(1,380)	4,675.20	11.5600	3,294.60	(1,380.60)	519	15.74
<b>INCOME TR</b>			SYMBOL: BGY	Initial Purchase: 04/17/08						
<b>EATON VANCE TAX MAN GLB</b>			300	(95)	4,262.79	13.8900	4,167.00	(95.79)	540	12.95
<b>BUY WRT OPR FD</b>			SYMBOL: ETW	Initial Purchase: 11/23/09						
<b>EATON VANCE TAX-MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FUND</b>			280	4,622	(1,169)	4,622.15	12.3300	3,452.40	(1,169.75)	532 15.40
<b>SYMBOL: EXG</b>			Initial Purchase: 04/17/08							
<b>Equity 100%</b>										
<b>FID ADV LATIN AMER B</b>			56	2,167	456	2,327.81	46.8600	2,624.16	296.35	13 .48
<b>SYMBOL: FLTBX</b>			Initial Purchase: 03/27/07	.5570 Fractional Share	N/A	46.8600	26.10	N/A		1 .48
<b>Equity 100%</b>										
<b>FIDELITY ADV EMERGING ASIA FD CL B</b>			99	2,530	92	2,556.53	26.4900	2,622.51	65.98	3 .10
<b>SYMBOL: FERBX</b>			Initial Purchase: 04/17/08	.6980 Fractional Share	N/A	26.4900	18.49	N/A		1 .10
<b>Equity 100%</b>										

MOM IRA

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## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UNIT (continued) Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income Yield%
FIDELITY ADV LEVERAGED COMPANY STOCK FD CL B SYMBOL: FLCBX Initial Purchase: 03/27/07 .6450 Fractional Share Equity 100%	119	3,956	(790)	4,063.19	26.6100	3,166.59	(895.60)	6 .16
FIDELITY ADVISOR REAL ESTATE FD CL B SYMBOL: FHFBX Initial Purchase: 04/17/08 .6510 Fractional Share Equity 100%	143	2,496	(666)	2,540.24	12.8000	1,830.40	(709.84)	26 1.39
FIDELITY ADV STRATEGIC DIV & INCM FD CL B SYMBOL: FBSDX Initial Purchase: 03/27/07 .8570 Fractional Share Equity 100%	326	3,959	(865)	4,272.41	9.4900	3,093.74	(1,178.67)	56 1.78
FIDELITY ADVISOR ENERGY FD CL B SYMBOL: FANRX Initial Purchase: 03/27/07 .8010 Fractional Share Equity 100%	68	2,046	(101)	2,760.58	28.6000	1,944.80	(815.78)	N/A
FIDELITY ADV HEALTH CARE FD CL B SYMBOL: FAHTX Initial Purchase: 05/16/07 .4110 Fractional Share Equity 100%	440	8,786	(914)	9,704.43	17.8900	7,871.60	(1,832.83)	N/A
FIDELITY ADV SMALL CAP FD CL B SYMBOL: FSCBX Initial Purchase: 06/18/07 .8120 Fractional Share Equity 100%	199	4,309	(377)	4,621.35	19.7600	3,932.24	(689.11)	N/A
ISHARES IBOXX\$ HIGH YIEL	50	4,365	26	4,365.85	87.8400	4,392.00	26.15	417 9.48



TOTAL MERRILL

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## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Estimated Current Yield%
<b>CORPORATE BOND FUND</b>									
SYMBOL: HYG      Initial Purchase: 11/18/09 <i>Fixed Income 100%</i>									
<b>ISHARES S&amp;P GROW ALLOCAT</b>	150	4,379	(38)	4,379.45	28.9400	4,341.00	(38.45)	115	2.64
SYMBOL: AOR      Initial Purchase: 11/24/09 <i>Fixed Income 27% Equity 73%</i>									
<b>MARKET VECTORS GLOBAL ALT</b>	90	1,561	688	1,561.37	25.0000	2,250.00	688.63	2	.04
SYMBOL: GEX      Initial Purchase: 03/17/09 <i>Equity 100%</i>									
<b>NFJ DIV INT PREM STRAT AND PREMIUM STRATEGY FD</b>	505	12,625	(5,176)	12,625.00	14.7500	7,448.75	(5,176.25)	303	4.06
SYMBOL: NFJ      Initial Purchase: 02/23/05 <i>Equity 100%</i>									
<b>PIONEER HIGH YIELD FUND CL B</b>	858	4,601	3,266	9,918.79	9.1700	7,867.86	(2,050.93)	477	6.05
SYMBOL: TBHYX      Initial Purchase: 06/10/03 .1180 Fractional Share <i>Fixed Income 100%</i>				N/A	9.1700	1.08	N/A	1	6.05
<b>PIONEER CLASSIC BALANCED FUND CL B</b>	976	9,040	(656)	10,550.24	8.5900	8,383.84	(2,166.40)	172	2.04
SYMBOL: ASBBX      Initial Purchase: 06/18/07 .5160 Fractional Share <i>Fixed Income 37% Equity 63%</i>				N/A	8.5900	4.43	N/A	1	2.04
<b>PIONEER EQUITY INCOME FUND CL B</b>	321	9,895	(2,990)	10,764.85	21.5100	6,904.71	(3,860.14)	87	1.25
SYMBOL: PBEQX      Initial Purchase: 05/16/07 .2850 Fractional Share				N/A	21.5100	6.13	N/A	1	1.25

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MOM IRA

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## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income Yield%
Equity 100%								
PIONEER BOND FUND								
SYMBOL: P1OBX								
Initial Purchase: N/A	2	N/A	N/A	N/A	9.1200	18.24	N/A	2 6.14
Fixed Income 100%								
Subtotal (Fixed Income)							16,559.20	
<b>TOTAL</b>							63,187.67	
		(10,689)	100,572.23		79,746.87	(20,984.03)		3,279 4.11

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.**Initial Purchase:** Date of your initial investment in this fund.**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
<b>TOTAL</b>	129,713.06	107,922.84	(21,948.89)		4,001	3.71

Total values exclude N/A items



TOTAL MERRILL

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Online at: [www.mlol.ml.com](http://www.mlol.ml.com)

HON CAROLYN MCCARTHY

**Net Portfolio Value:** **\$328,940.49**

Your Financial Advisor:  
**EDWARD A COSTELLO**  
 1305 WALT WHITMAN ROAD  
 MELVILLE NY 11747  
 edward\_costello@ml.com  
 (800) 937-0611

## MOM MAIN

December 01, 2009 - December 31, 2009

### CASH FLOW

This Statement Year to Date

	December 31	November 30
<b>Opening Cash/Money Accounts</b>	<b>\$5,675.33</b>	

#### CREDITS

Funds Received	-
Electronic Transfers	-
Other Credits	-

#### Subtotal

<b>DEBITS</b>	
---------------	--

Electronic Transfers	-
Margin Interest Charged	-

#### Subtotal

<b>(416.66)</b>	
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Visa Purchases (debits)	-
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ATM/Cash Advances	-
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Checks Written/Bill Payment	-
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#### Subtotal

<b>(416.66)</b>	
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Dividends/Interest Income	<b>1,576.52</b>
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Security Purchases/Debits	-
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Security Sales/Credits	<b>55,275.00</b>
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#### Closing Cash/Money Accounts

<b>\$6,835.19</b>	
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Securities You Transferred In/Out	<b>(14.03)</b>
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**ACCOUNT INVESTMENT OBJECTIVE**

December 01, 2009 - December 31, 2009

**GROWTH:** Objective is to accumulate wealth over time through price appreciation rather than current income. The investor should be willing to accept the risk of price volatility and principal loss in seeking to achieve growth.

If you have changes to your investment objective, please contact your Financial Advisor(s).

**YOUR CMA BANK DEPOSIT INTEREST SUMMARY**

Money Account Description	Opening Balance	Deposit Balance	Average Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A.	5,480	5,413	.10	0.43	5,519
Bank of America RI, N.A.	47	47	.10	0.00	47
<b>TOTAL M.L. Bank Deposit Program</b>	<b>5,527</b>			<b>0.43</b>	<b>5,566</b>

**YOUR CMA ASSETS**

CASH/MONEY ACCOUNTS	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	1,269.19	1,269.19	1,269.19	1,269.19	6	.10
ML BANK DEPOSIT PROGRAM	5,566.00	5,566.00	1,0000	5,566.00		
<b>TOTAL</b>	<b>6,835.19</b>			<b>6,835.19</b>	<b>6</b>	<b>.10</b>

GOVERNMENT AND AGENCY SECURITIES <sup>1</sup>	Acquired Description	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income	Estimated Current Yield%
0.875% APR 15 2010 INFL ADJ PRIN	01/16/07	23,000	24,956.38	100.3360	26,332.79	1,376.41	42.57	230	.87
CUSIP: 912828CZ1									
ORIGINAL UNIT/TOTAL COST:	100.8353/23,192.12								

Δ U.S. TRSY INFLATION NOTE	03/24/06	19,000	23,299.62	107.5160	24,560.22	1,260.60	261.77	686	2.79
3.00% JUL 15 2012 INFL ADJ PRIN	22,843								
CUSIP: 912828AF7									
ORIGINAL UNIT/TOTAL COST:	115.8521/22,011.90								

**TOTAL MERRILL**
**MOM MAIN**
**YOUR CMA ASSETS**

December 01, 2009 - December 31, 2009

<b>GOVERNMENT AND AGENCY SECURITIES * (continued)</b>		December 01, 2009 - December 31, 2009						
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income Yield%
△ U.S. TREASURY BOND 10.625% AUG 15 2015 CUSIP: 912810DS4 ORIGINAL UNIT/TOTAL COST: 144.3867/25,989.61	03/24/06	18,000	23,196.47	140.4380	25,278.84	2,082.37	717.19	1,913 7.56
△ U.S. TREASURY BOND 0.9000% NOV 15 2018 CUSIP: 912810EB0 ORIGINAL UNIT/TOTAL COST: 139.2344/9,746.41	03/24/06	7,000	9,092.42	140.4060	9,828.42	736.00	80.06	630 6.40
<b>TOTAL</b>		67,000	80,544.89		<b>86,000.27</b>	5,455.38	1,101.59	<b>3,459</b> 4.02
<b>MUNICIPAL BONDS</b>		Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest
△ NEW YORK NY SUBSERIES SER F-1 AMBAC SEP05 05.000%SEP01 21 MOODY'S: AA3 S&P: AA CUSIP: 64966FMS0 ORIGINAL UNIT/TOTAL COST: 105.5535/10,555.35	03/19/08	10,000	10,420.47	105.1430	<b>10,514.30</b>	93.83	166.67	<b>500</b> 4.75
△ NEW YORK ST TWY AT GEN RV SER G FSA SEP05 04.750%JAN01 30 MOODY'S: AA3 S&P: AAA CUSIP: 650009QN6 ORIGINAL UNIT/TOTAL COST: 105.0107/52,505.35	09/24/09	50,000	52,392.18	100.5290	<b>50,264.50</b>	(2,127.68)	1,187.50	<b>2,375</b> 4.72
<b>TOTAL</b>		60,000	62,812.65		<b>60,778.80</b>	(2,033.85)	1,354.17	<b>2,875</b> 4.73
<b>PREFERRED STOCKS</b>		Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest
FEDERAL HOME LOAN MORTGA SRS X TRUST PFD SECs 06.02% PERP MOODY'S: CA S&P: C CUSIP: 373400657	01/07/08	500	11,353.35	0.9000	<b>450.00</b>	(10,903.35)		
ING GROEP NV ING PERPETUAL DEBT SEC 6.125% MOODY'S: BA1 S&P: B CUSIP: 456837509	01/07/08	237	5,216.98	16.7900	<b>3,979.23</b>	(1,237.75)		<b>363</b> 9.11
<b>TOTAL</b>		737	16,570.33		<b>4,429.23</b>	(12,141.10)		<b>363</b> 8.20

MOM MAIN

24-Hour Assistance: (800) MERRILL

## YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

**PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.**

MUTUAL FUNDS/CLOSED END FUNDS/UIT	Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Estimated Current Yield%
BLACKROCK NY MUNI INC	SYMBOL: BNY	900	15,075	(2,025)	15,075.36	14,5000	13,050.00	(2,025.36)	891	6.82
Fixed Income 100%										
BLDRS EMER MKTS 50 ADR	INDEX	140	6,092	86	6,092.14	44.1300	6,178.20	86.06	144	2.32
COHEN & STEERS REIT & UTILITIES INC FD	SYMBOL: ADRE	300	5,355	(2,169)	5,355.36	10.6200	3,186.00	(2,169.36)	204	6.40
SYMBOL: RTU	Initial Purchase: 05/16/07									
EQUITY 100%										
EATON VANCE TAX MAN GLB	BUY WRT OPP FD	500	8,800	(1,855)	8,800.35	13.8900	6,945.00	(1,855.35)	900	12.95
SYMBOL: ETW	Initial Purchase: 02/04/08									
EQUITY 100%										
ISHARES MSCI BRAZIL FREE	INDEX FUND	105	6,037	1,796	6,037.20	74.6100	7,834.05	1,796.85	24	.29
SYMBOL: EWZ	Initial Purchase: 05/16/07									
EQUITY 100%										
J HANCOCK PREF INCOME 3	INCOME FUND III	500	10,470	(2,925)	10,470.35	15.0900	7,545.00	(2,925.35)	673	8.91
SYMBOL: HPS	Initial Purchase: 02/04/08									
Fixed Income 100%										
MARKET VECTORS ETF TR		125	6,182	(849)	6,182.85	42.6700	5,333.75	(849.10)	47	.88

**TOTAL MERRILL**

MOM MAIN

**YOUR CMA ASSETS**

December 01, 2009 - December 31, 2009

<b>MUTUAL FUNDS/CLOSED END FUNDS/JIT</b> <b>(continued)</b> <b>Description</b>	<b>Quantity</b>	<b>Total Client Investment</b>	<b>Cumulative Investment Return</b>	<b>Total Cost Basis</b>	<b>Estimated Market Price</b>	<b>Estimated Market Value</b>	<b>Unrealized Gain/(Loss)</b>	<b>Estimated Current Annual Income</b>	<b>Current Yield%</b>
<b>ENVIRONMENTAL SVCS</b> SYMBOL: EVX Initial Purchase: 05/16/07 Equity 100%									

<b>MARKET VECTORS RUSSIA</b> SYMBOL: RSX Initial Purchase: 05/16/07 Equity 100%	160	6,194	(1,204)	6,194.55	31.1900	<b>4,990.40</b>	(1,204.15)	<b>13</b>	.25
<b>MARKET VECTORS GLOBAL</b> SYMBOL: GEX Initial Purchase: 05/16/07 Equity 100%	150	6,061	(2.311)	6,061.35	25.0000	<b>3,750.00</b>	(2.311.35)	<b>2</b>	.04

<b>NEUBERGER RE SEC INC</b> ESTATE SEC'S INCOME FD SYMBOL: NRO Initial Purchase: 02/21/08 Equity 100%	422	4,399	(3.112)	4,399.35	3.0500	<b>1,287.10</b>	(3.112.25)	<b>102</b>	7.86
<b>NEJ DIV INT PREM STRAT AND PREMIUM STRATEGY FD</b> SYMBOL: NEJ Initial Purchase: 02/04/08 Equity 100%	500	11,600	(4.225)	11,600.35	14.7500	<b>7,375.00</b>	(4.225.35)	<b>300</b>	4.06

<b>NUVEEN EQTY PREM OPP</b> SYMBOL: JSN Initial Purchase: 02/04/08 Equity 100%	500	8,500	(1,900)	8,500.35	13.2000	<b>6,600.00</b>	(1,900.35)	<b>672</b>	10.18
<b>PIMCO CORPORATE OPPTY FD</b> SYMBOL: PTY Initial Purchase: 02/04/08 Fixed Income 100%	500	7,919	(719)	7,919.35	14.4000	<b>7,200.00</b>	(719.35)	<b>690</b>	9.58

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## YOUR CMA ASSETS

24-Hour Assistance: (800) MERRILL

December 01, 2009 - December 31, 2009

<b>MUTUAL FUNDS/CLOSED END FUNDS/UIT</b> (continued) Description		Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Current Yield%	
<b>PIONEER MU H INC ADVT</b>		900	13,499	(2,096)	13,499.37	12.6700	11,403.00	(2,096.37)	918	8.05	
ADVANTAGE TR SYMBOL: MAV		Initial Purchase: 02/04/08									
Fixed Income 100%											
<b>POWERSHARES GLOBAL EXCHANGE TRADED FD TR</b>		80	1,939	(82)	1,939.35	23.2150	1,857.20	(82.15)	91	4.87	
SYMBOL: PZT		Initial Purchase: 09/24/09									
Fixed Income 100%											
<b>ROCHESTER MUNICIPAL FDS CL B</b>		159	2,493	2,884.02	15.6800	2,493.12	(390.90)	123	4.91		
SYMBOL: RMUBX		Initial Purchase: REINV		.8190 Fractional Share		N/A		15.6800	12.84	N/A	
Fixed Income 100%										1 4.91	
<b>ROCHESTER MUNICIPALS FD CL A</b>		3,138	49,984	(718)	54,549.81	15.7000	49,266.60	(5,283.21)	2,840	5.76	
SYMBOL: RMUNX		Initial Purchase: 06/10/03		.9420 Fractional Share		N/A		15.7000	14.78	N/A	
Fixed Income 100%										1 5.76	
<b>SOURCE CAP INC SYMBOL: SOR</b>		200	11,657	(3,075)	11,657.35	42.9080	8,581.60	(3,075.75)	400	4.66	
Equity 100%		Initial Purchase: 02/04/08									
Equity 100%											
<b>WISDOMTREE TR INTL BASIC MATERIALS SECTO</b>		175	6,122	(1,201)	6,122.60	28.1200	4,921.00	(1,201.60)	2	.02	
SYMBOL: DBN		Initial Purchase: 05/16/07									
Equity 100%											
<b>WISDOMTREE INTL COMM SECTO</b>		195	6,108	(1,451)	6,108.15	23.8800	4,656.60	(1,451.55)	111	2.37	



## TOTAL MERRILL

MOM MAIN

### YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

<b>MUTUAL FUNDS/CLOSED END FUNDS/UIT</b>		<i>(continued)</i>	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income Yield%
Description	Quantity								
SYMBOL: DCG		Initial Purchase: 05/16/07							
Equity 100%									

#### WISDOMTREE INTL IND SEC

SYMBOL: DDI Initial Purchase: 05/16/07

Equity 100%									
<i>Subtotal (Fixed Income)</i>									
<i>Subtotal (Equities)</i>									
<b>TOTAL</b>									
(29,742) 205,610.51 168,441.24 (37,196.89) 9,173 5.45									

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

#### LONG PORTFOLIO

	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
<b>TOTAL</b>	372,373.57	326,484.73	(45,916.46)	2,455.76	<b>15,875</b>	4.86

#### Notes

Δ Debt Instruments purchased at a premium show amortization      θ Debt Instruments purchased at a discount show accretion

<sup>1</sup> Some agency securities are not backed by the full faith and credit of the United States government.

Total values exclude N/A items

For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

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**TOTAL MERRILL**

24-Hour Assistance: (800) MERRILL

Online at: [www.mrl.com](http://www.mrl.com)

HON CAROLYN MCCARTHY

**Net Portfolio Value:** **\$304,363.04**

Your Financial Advisor:  
**EDWARD A COSTELLO**  
 1305 WALT WHITMAN ROAD  
 MELVILLE NY 11747  
 edward.costello@mrl.com  
 (800) 937-0611

**MGR**

This account is enrolled in the Personal Investment Advisory Program

**ASSETS**

	December 31	November 30
Cash/Money Accounts	<b>11,851.02</b>	4,894.72
Fixed Income		
Equities	<b>105,286.65</b>	107,512.68
Mutual Funds	<b>187,225.37</b>	185,063.25
Options		
Other		
Subtotal (Long Portfolio)	<b>304,363.04</b>	297,470.65
<b>TOTAL ASSETS</b>	<b>\$304,363.04</b>	<b>\$297,470.65</b>

**CASH FLOW**

	This Statement	Year to Date
<b>Opening Cash/Money Accounts</b>	<b>\$4,894.72</b>	
<b>CREDITS</b>		
Funds Received		
Electronic Transfers		
Other Credits		
Subtotal		290.15
<b>DEBITS</b>		
Margin Interest Charged		
Other Debits	(18.93)	(4,317.14)
Visa Purchases (debits)		
ATM/Cash Advances		
Checks Written/Bill Payment		
Subtotal	(18.93)	(4,317.14)
<b>Net Cash Flow</b>	<b>(\$18.93)</b>	<b>(\$4,026.99)</b>
Dividends/Interest Income	<b>1,718.58</b>	8,117.55
Security Purchases/Debits		
Security Sales/Credits	<b>5,256.65</b>	(317,365.20)
<b>Closing Cash/Money Accounts</b>	<b>\$11,851.02</b>	298,535.78
Securities You Transferred In/Out		

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24-Hour Assistance: (800) MERRILL

**ACCOUNT INVESTMENT OBJECTIVE**

December 01, 2009 - December 31, 2009

**GROWTH:** Objective is to accumulate wealth over time through price appreciation rather than current income. The investor should be willing to accept the risk of price volatility and principal loss in seeking to achieve growth.

If you have changes to your investment objective, please contact your Financial Advisor(s).

**YOUR CMA BANK DEPOSIT INTEREST SUMMARY**

Money Account Description	Opening Balance	Deposit Balance	Average Yield%	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A.	4,882	7,404	.40	.40	2.48	
Bank of America RI, N.A.	8	8	.40	.40	0.00	8
<b>TOTAL</b> M/L Bank Deposit Program	4,890			2.48		<b>10,816</b>
						<b>8</b>

**YOUR CMA ASSETS**

CASH/MONEY ACCOUNTS	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	1,027.02	1,027.02		1,027.02		
ML BANK DEPOSIT PROGRAM	10,824.00	10,824.00		10,824.00	43	.40
<b>TOTAL</b>	11,851.02			11,851.02	43	.40

EQUITIES	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Estimated Yield%
ABBOTT LABS	ABT	03/15/07	29	53.5303	1,552.38	53.9900	1,565.71	13.33	47	2.96
		01/18/08	5	59.8900	299.45	53.9900	269.95	(29.50)	8	2.96
		07/09/08	10	57.4570	574.57	53.9900	539.90	(34.67)	16	2.96
<b>Subtotal</b>			44	2,426.40	<b>2,375.56</b>			(50.84)	<b>71</b>	<b>2.96</b>

**TOTAL MERRILL**

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**YOUR CMA ASSETS**

December 01, 2009 - December 31, 2009

<b>EQUITIES (continued)</b> <i>Description</i>	<b>Symbol</b>	<b>Acquired</b>	<b>Quantity</b>	<b>Unit</b>	<b>Total</b>	<b>Estimated</b>	<b>Unrealized</b>	<b>Estimated Current</b>		
AETNA INC NEW	AET	01/07/09	30	29.1506	874.52	31.7000	951.00	76.48	2	.12
		01/08/09	10	29.0350	290.35	31.7000	317.00	26.65	1	.12
		01/14/09	12	26.0200	312.24	31.7000	380.40	68.16	1	.12
		01/28/09	19	33.3589	633.82	31.7000	602.30	(31.52)	1	.12
		<i>Subtotal'</i>	71	2,110.93	2,250.70	1,39.77	5	.12		
AFFILIATED COMP SVCS A	ACS	03/04/08	19	51.3694	976.02	59.6900	1,134.11	158.09		
AGRIUM INC	AGU	07/23/08	4	92.8450	371.38	61.5000	246.00	(125.38)	1	.17
		09/02/08	6	79.8766	479.26	61.5000	369.00	(110.26)	1	.17
		11/12/08	10	33.9860	339.86	61.5000	615.00	275.14	2	.17
		<i>Subtotal'</i>	20	1,190.50	1,230.00	39.50	4	.17		
ALLSTATE CORP DEL COM	ALL	03/24/06	18	53.1905	957.43	30.0400	540.72	(416.71)	15	2.66
		03/15/07	17	59.5200	1,011.84	30.0400	510.68	(501.16)	14	2.66
		11/05/08	21	29.3295	615.92	30.0400	630.84	14.92	17	2.66
		<i>Subtotal'</i>	56	2,585.79	1,682.24	(902.95)	46	2.66		
ALTRIA GROUP INC	M0	08/31/07	9	21.1533	190.38	19.6300	176.67	(13.71)	13	6.92
		01/04/08	10	23.0690	230.69	19.6300	196.30	(34.39)	14	6.92
		04/10/08	75	21.4905	1,611.79	19.6300	1,472.25	(139.54)	102	6.92
		03/10/09	20	16.1875	323.75	19.6300	392.60	68.85	28	6.92
		03/11/09	44	16.4393	723.33	19.6300	863.72	140.39	60	6.92
		05/15/09	33	17.1218	565.02	19.6300	647.79	82.77	45	6.92
		<i>Subtotal'</i>	191	3,644.96	3,749.33	104.37	262	6.92		
AMEREN CORP	AEE	09/11/07	5	50.5860	252.93	27.9500	139.75	(113.18)	8	5.50
		09/12/07	10	51.3220	513.22	27.9500	279.50	(233.72)	16	5.50
		09/14/07	10	51.9460	519.46	27.9500	279.50	(239.96)	16	5.50
		09/26/07	10	52.9430	529.43	27.9500	279.50	(249.93)	16	5.50
		09/27/07	15	53.0693	796.04	27.9500	419.25	(376.79)	24	5.50
		<i>Subtotal'</i>	50	2,611.08	1,397.50	(1,213.58)	80	5.50		
AT&T INC		03/24/06	59	27.3700	1,614.83	28.0300	1,653.77	38.94	100	5.99
		03/15/07	15	36.9500	554.25	28.0300	420.45	(133.80)	26	5.99
		<i>Subtotal'</i>	74	2,169.08	2,074.22	(94.86)	126	5.99		

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24-Hour Assistance: (800) MERRILL

## YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

<b>EQUITIES (continued)</b>		December 01, 2009 - December 31, 2009									
Description	Symbol	Acquired	Quantity	Unit	Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Current Yield%
AXA -SPONS ADR	AXA	03/24/06	3	33,2400	99.72	23,6800	71.04	(28.68)	2	1.92	
		03/15/07	12	39,2908	471.49	23,6800	284.16	(187.33)	6	1.92	
		10/03/07	7	44,8757	314.13	23,6800	165.76	(148.37)	4	1.92	
		04/02/08	9	38,8866	349.98	23,6800	213.12	(136.86)	5	1.92	
		07/22/08	12	29,3125	351.75	23,6800	284.16	(67.59)	6	1.92	
		11/06/08	17	18,7688	319.07	23,6800	402.56	83.49	8	1.92	
		<b>Subtotal</b>	<b>60</b>		<b>1,906.14</b>		<b>1,420.80</b>	<b>(485.34)</b>	<b>31</b>	<b>1.92</b>	
BOEING COMPANY	BA	08/08/08	15	66,8313	1,002.47	54,1300	811.95	(190.52)	26	3.10	
		08/12/08	20	66,0995	1,321.99	54,1300	1,082.60	(239.39)	34	3.10	
		01/29/09	15	40,7000	610.50	54,1300	811.95	201.45	26	3.10	
		01/30/09	7	41,3185	289.23	54,1300	378.91	89.68	12	3.10	
		02/02/09	19	40,5036	769.57	54,1300	1,028.47	258.90	32	3.10	
		<b>Subtotal</b>	<b>76</b>		<b>3,993.76</b>		<b>4,113.58</b>	<b>120.12</b>	<b>130</b>	<b>3.10</b>	
CALPINE CORP	CPN	06/01/09	57	14,4645	824.48	11,0000	627.00	(197.48)	13	1.72	
CANADIAN PACIFIC RAILWAY LTD	CP	07/22/08	13	64,6907	840.98	54,0000	<b>702.00</b>	<b>(138.98)</b>			
CENOVUS ENERGY INC	CVE	08/29/08	15	36,2713	544.07	25,2000	378.00	(166.07)	3	.79	
		09/08/08	20	32,7930	655.86	25,2000	504.00	(151.86)	4	.79	
		<b>Subtotal</b>	<b>35</b>		<b>1,199.93</b>		<b>882.00</b>	<b>(317.93)</b>	<b>7</b>	<b>.79</b>	
CISCO SYSTEMS INC COM	CSCO	03/15/07	27	25,7900	696.33	23,9400	646.38	(49.95)			
		04/23/07	55	26,5758	1,461.67	23,9400	1,316.70	(144.97)			
		04/09/09	27	17,8692	482.47	23,9400	646.38	163.91			
		<b>Subtotal</b>	<b>109</b>		<b>2,640.47</b>		<b>2,609.46</b>	<b>(31.01)</b>			
COCA COLA COM	KO	10/22/07	18	58,9322	1,060.78	57,0000	1,026.00	(34.78)	30	2.87	
		04/07/08	15	60,4493	906.74	57,0000	855.00	(51.74)	25	2.87	
		09/11/08	5	53,7860	268.93	57,0000	285.00	16.07	9	2.87	
		<b>Subtotal</b>	<b>38</b>		<b>2,236.45</b>		<b>2,166.00</b>	<b>(70.45)</b>	<b>64</b>	<b>2.87</b>	
CONOCOPHILLIPS	COP	03/24/06	22	61,8304	1,360.27	51,0700	1,123.54	(236.73)	44	3.91	
		03/15/07	16	67,0400	1,072.64	51,0700	817.12	(255.52)	32	3.91	
		<b>Subtotal</b>	<b>38</b>		<b>2,432.91</b>		<b>1,940.66</b>	<b>(492.25)</b>	<b>76</b>	<b>3.91</b>	

**TOTAL MERRILL****YOUR CMA ASSETS**

December 01, 2009 - December 31, 2009

<b>EQUITIES (continued)</b> <i>Description</i>	<b>Symbol</b>	<b>Acquired</b>	<b>Quantity</b>	<b>Unit</b> Cost Basis	<b>Total</b> Cost Basis	<b>Estimated</b> Market Price	<b>Estimated</b> Market Value	<b>Unrealized</b> Gain/(Loss) Annual Income	<b>Estimated Current</b> Yield%
DIAGEO PLC PSD ADR NEW	DEO	07/21/08 11/06/08	7 2	72.7842 58.6600	509.49 117.32	69.4100 69.4100	485.87 138.82	(23.62) 21.50	16 5
<i>Subtotal</i>									3.26
DIAMOND OFFSHORE DRLNG	DO	12/26/07 12/31/07 01/12/09	10 10 7	145.8420 142.9140 58.6085	1,458.42 1,429.14 3,297.82	98.4200 98.4200 577.72	984.20 984.20 32,3900	(474.22) (444.94) 2,657.34	21 5 5
<i>Subtotal</i>									.50
ENCANA CORP	ECA	08/29/08 09/08/08	15 20	38.5146 34.8215	577.72 696.43	485.85 32.3900	(91.87) 647.80	4 16	.50 2.46
<i>Subtotal</i>									
FRANCE TELECOM ADR	FTE	09/18/08 10/02/08 01/22/09 01/27/09	12 11 6 7	29.0200 28.0581 24.8983 24.3042	348.24 308.64 149.39 170.13	25.2400 25.2400 25.2400 25.2400	302.88 277.64 151.44 176.68	(45.36) (31.00) 2.05 6.55	20 19 10 12
<i>Subtotal</i>									6.59
GEN-PROBE INC DELAWARE	GPRO	03/16/09	16	45.1156	721.85	42.9200	686.72	(35.13)	
GILEAD SCIENCES INC COM	GILD	04/18/08 11/18/08 04/07/09	24 8 10	51.5150 45.2525 47.5150	1,236.36 362.02 43.2700	1,038.48 346.16 432.70	(197.88) (15.86) (42.45)		
<i>Subtotal</i>									
GLAXOSMITHKLINE PLC ADR	GSK	03/24/06 03/15/07 06/05/07 06/11/07 07/21/08 05/14/09	7 22 35 30 20 14	53.6014 54.7900 51.7982 52.2656 48.8045 32.2607	375.21 1,205.38 1,812.94 1,567.97 976.09 451.65	42.2500 42.2500 42.2500 42.2500 42.2500 42.2500	295.75 929.50 1,478.75 1,267.50 845.00 591.50	(79.46) (275.88) (334.19) (300.47) (131.09) 139.85	13 41 65 56 38 26
<i>Subtotal</i>									4.38
GOOGLE INC CLA	GOOG	06/19/08 06/24/08	3 1	555.7100 546.28	1,667.13 546.28	619.9800 619.9800	1,859.94 619.98	192.81 73.70	239 266.51
<i>Subtotal</i>									

MGR

24-Hour Assistance: (800) MERRILL

## YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

<b>EQUITIES (continued)</b>	<b>Description</b>	<b>Symbol</b>	<b>Acquired</b>	<b>Quantity</b>	<b>Unit</b>	<b>Total</b>	<b>Estimated</b>	<b>Estimated</b>	<b>Unrealized</b>	<b>Estimated Current</b>	
					Cost Basis	Cost Basis	Market Price	Market Value	Gain/(Loss)	Annual Income	Yield%
HALLIBURTON COMPANY		HAL	01/11/08	20	36,0105	720.21	30.0900	601.80	(118.41)	8	1.19
			01/14/08	15	36,5133	547.70	30.0900	451.35	(96.35)	6	1.19
			01/22/08	15	31,9373	479.06	30.0900	451.35	(27.71)	6	1.19
			01/23/08	20	31,0430	620.86	30.0900	601.80	(19.06)	8	1.19
			11/05/08	22	19,4777	428.51	30.0900	661.98	233.47	8	1.19
	<i>Subtotal</i>			92		2,796.34		2,768.28	(28.06)	36	1.19
HEINZ H J CO PV 25CT		HNZ	04/02/08	22	47,7836	1,051.24	42.7600	940.72	(110.52)	37	3.92
HOME DEPOT INC		HD	11/30/07	7	28,7928	201.55	28.9300	202.51	.96	7	3.11
			12/12/07	10	28,8300	288.30	28.9300	289.30	1.00	9	3.11
			01/18/08	30	27,2046	816.14	28.9300	867.90	51.76	27	3.11
			01/23/08	30	29,1983	875.95	28.9300	867.90	(8.05)	27	3.11
	<i>Subtotal</i>			77		2,181.94		2,227.61	45.67	70	3.11
HUDSON CITY BANCORP INC		HCBK	04/30/08	43	19,4388	835.87	13.7300	590.39	(245.48)	26	4.37
			11/06/08	13	17,6161	229.01	13.7300	178.49	(50.52)	8	4.37
KIMBERLY CLARK		KMB	03/24/06	56		1,064.88		768.88	(296.00)	34	4.37
			03/15/07	17	59,1905	1,006.24	63,7100	1,083.07	76.83	41	3.76
	<i>Subtotal</i>			12	67,0100	804.12	63,7100	764.52	(39.60)	29	3.76
KRAFT FOODS INC VA CLA		KFT	05/01/07	29		1,810.36		1,847.59	37.23	70	3.76
			05/09/07	20	33,4860	1,105.04	27.1800	896.94	(208.10)	39	4.26
			05/10/07	10	32,7420	654.84	27.1800	543.60	(11.24)	24	4.26
	<i>Subtotal</i>			10	32,7910	327.91	27.1800	271.80	(56.11)	12	4.26
LOCKHEED MARTIN CORP		LMT	08/27/07	63	101,1300	2,087.79		1,712.34	(375.45)	75	4.26
			10/09/07	5	505,65	75,3500		376.75	(128.90)	13	3.34
			09/05/08	10	111,4680	1,114.68	75,3500	753.50	(361.18)	26	3.34
			09/17/08	5	114,9980	574.99	75,3500	376.75	(198.24)	13	3.34
				5	110,8720	554.36	75,3500	376.75	(177.61)	13	3.34
	<i>Subtotal</i>			25		2,749.68		1,883.75	(865.93)	65	3.34
MARATHON OIL CORP		MRO	03/24/06	16	38,7256	619.61	31.2200	499.52	(120.09)	16	3.07
			03/15/07	32	47,0700	1,506.24	31.2200	999.04	(507.20)	31	3.07
			11/04/08	14	29,8185	417.46	31.2200	437.08	19.62	14	3.07
	<i>Subtotal</i>			62		2,543.31		1,935.64	(607.67)	61	3.07



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24-Hour Assistance: (800) MERRILL

## YOUR CMA ASSETS

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<b>EQUITIES (continued)</b>		December 01, 2009 - December 31, 2009									
Description	Symbol	Acquired	Quantity	Unit	Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Estimated Current Yield%
PFIZER INC	PFE	03/24/06	8	26.0212	208.17	18,1900	145.52	(62.65)	6	3.95	
		04/25/06	5	24,7480	123.74	90.95	(32.79)	4	3.95		
		04/26/06	40	24,9690	998.76	18,1900	727.60	(271.16)	29	3.95	
		04/28/06	35	25,1485	880.20	18,1900	636.65	(243.55)	26	3.95	
		03/15/07	117	24,8800	2,910.96	18,1900	2,128.23	(782.73)	85	3.95	
		<i>Subtotal</i>	205	5,121.83	<b>3,728.95</b>	(1,392.88)	<b>150</b>	3.95			
PHILIP MORRIS INTL INC	PM	07/14/08	6	53,5050	321.03	48,1900	289.14	(31.89)	14	4.81	
		09/11/08	20	54,4900	1,089.80	48,1900	963.80	(126.00)	47	4.81	
		01/14/09	11	41,4072	455.48	48,1900	530.09	74.61	26	4.81	
		<i>Subtotal</i>	37	1,866.31	<b>1,783.03</b>	(83.28)	<b>87</b>	4.81			
QUALCOMM INC	QCOM	02/19/08	5	42,8140	214.07	46,2600	231.30	17.23	4	1.47	
		06/12/08	20	49,1645	983.29	46,2600	925.20	(58.09)	14	1.47	
		07/31/08	10	55,6080	556.08	46,2600	462.60	(93.48)	7	1.47	
		<i>Subtotal</i>	35	1,753.44	<b>1,619.10</b>	(134.34)	<b>25</b>	1.47			
REYNOLDS AMERICAN INC	RAI	03/24/06	14	53,4400	748.16	52,9700	741.58	(6.58)	51	6.79	
		03/15/07	22	60,0500	1,321.10	52,9700	1,165.34	(155.76)	80	6.79	
		<i>Subtotal</i>	36	2,069.26	<b>1,906.92</b>	(162.34)	<b>131</b>	6.79			
ROYAL DUTCH SHELL PLC SPONS ADR A	RDSA	09/25/07	5	83,0360	415.18	60,1100	300.55	(114.63)	15	4.75	
		09/26/07	10	83,0260	830.26	60,1100	601.10	(229.16)	29	4.75	
		10/02/07	20	81,8770	1,637.54	60,1100	1,202.20	(435.34)	58	4.75	
		05/14/09	22	49,3736	1,086.22	60,1100	1,322.42	236.20	63	4.75	
		<i>Subtotal</i>	57	3,969.20	<b>3,426.27</b>	(542.93)	<b>165</b>	4.75			
SASOL LTD SPONSORED ADR	SSL	08/08/08	10	52,3910	523.91	39,9400	399.40	(124.51)	11	2.71	
		08/11/08	12	52,4733	629.68	39,9400	479.28	(150.40)	14	2.71	
		11/10/08	17	27,6511	470.07	39,9400	678.98	208.91	19	2.71	
		<i>Subtotal</i>	39	1,623.66	<b>1,557.66</b>	(66.00)	<b>44</b>	2.71			
SK TELECOM ADR	SKM	07/24/08	20	20,4230	408.46	16,2600	325.20	(83.26)	12	3.62	
		07/25/08	19	20,4310	388.19	16,2600	308.94	(79.25)	12	3.62	
		<i>Subtotal</i>	39	796.65	<b>634.14</b>	(162.51)	<b>24</b>	3.62			



## TOTAL MERRILL

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<b>EQUITIES (continued)</b>		<b>Symbol</b>	<b>Acquired</b>	<b>Quantity</b>	<b>Unit</b>	<b>Total Cost Basis</b>	<b>Estimated Market Price</b>	<b>Estimated Market Value</b>	<b>Unrealized Gain/(Loss)</b>	<b>Estimated Current Annual Income</b>	<b>Estimated Current Yield%</b>
<b>Description</b>											
<b>STAPLES INC</b>		SPLS	06/25/08	52	25.1088	1,305.66	24.5900	1,278.68	(26.98)	18	1.34
09/11/08				20	24.5850	491.70	24.5900	491.80	.10	7	1.34
04/09/09				18	21.0744	379.34	24.5900	442.62	63.28	6	1.34
<b>Subtotal'</b>				90		2,176.70		2,213.10	36.40	31	1.34
<b>STATOIL ASA SHS</b>		STO	07/24/08	33	30.4718	1,005.57	24.9100	822.03	(183.54)	20	2.34
11/11/08				15	18.1060	271.59	24.9100	373.65	102.06	9	2.34
48						1,277.16		1,195.68	(81.48)	29	2.34
<b>SUPERVALU INC DEL COM</b>		SVU	02/18/09	44	17.6625	777.15	12.7100	559.24	(217.91)	31	5.50
02/25/09				36	18.1791	654.45	12.7100	457.56	(196.89)	26	5.50
<b>Subtotal'</b>				80		1,431.60		1,016.80	(414.80)	57	5.50
<b>TOTAL S.A. SP ADR</b>		TOT	12/27/07	15	82.3686	1,235.53	64.0400	960.60	(274.93)	42	4.33
01/03/08				15	84.7386	1,271.08	64.0400	960.60	(310.48)	42	4.33
<b>Subtotal'</b>				30		2,506.61		1,921.20	(585.41)	84	4.33
<b>TRANSCANADA CORP</b>		TRP	07/29/08	5	37.5460	187.73	34.3700	171.85	(15.88)	8	4.15
07/30/08				5	37.6820	188.41	34.3700	171.85	(16.56)	8	4.15
07/31/08				11	38.5881	424.47	34.3700	378.07	(46.40)	16	4.15
<b>Subtotal'</b>				21		800.61		721.77	(78.84)	32	4.15
<b>UNILEVER PLC NEW ADR</b>		UL	06/27/07	10	32.1840	321.84	31.9000	319.00	(2.84)	11	3.14
10/10/07				5	32.3260	161.63	31.9000	159.50	(2.13)	6	3.14
10/11/07				5	33.0740	165.37	31.9000	159.50	(5.87)	6	3.14
11/07/07				6	35.7366	214.42	31.9000	191.40	(23.02)	7	3.14
01/30/08				4	32.5475	130.19	31.9000	127.60	(2.59)	5	3.14
<b>Subtotal'</b>				30		993.45		957.00	(36.45)	35	3.14
<b>VALERO ENERGY CORP NEW</b>		VLO	04/16/09	37	21.3170	788.73	16.7500	619.75	(168.98)	23	3.58
04/20/09				35	20.1171	704.10	16.7500	586.25	(117.85)	21	3.58
<b>Subtotal'</b>				72		1,492.83		1,206.00	(286.83)	44	3.58
<b>WAL-MART STORES INC</b>		WMT	06/24/08	9	57.6466	518.82	53.4500	481.05	(37.77)	10	2.03
06/27/08				20	56.9735	1,139.47	53.4500	1,069.00	(70.47)	22	2.03
07/09/08				10	58.4360	584.36	53.4500	534.50	(49.86)	11	2.03
09/11/08				5	62.4580	312.29	53.4500	267.25	(45.04)	6	2.03
<b>Subtotal'</b>				44		2,554.94		2,351.60	(203.14)	49	2.03

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<b>EQUITIES (continued)</b>		<b>Symbol</b>	<b>Acquired</b>	<b>Quantity</b>	<b>Unit</b>	<b>Total Cost Basis</b>	<b>Estimated Market Price</b>	<b>Estimated Market Value</b>	<b>Unrealized Gain/(Loss)</b>	<b>Estimated Current Annual Income</b>	<b>Estimated Current Yield%</b>
<b>Description</b>	<b>Cost Basis</b>										
WASTE MANAGEMENT INC NEW	WM	01/24/08		18	30.3988	547.18	33.8100	608.58	61.40	21	3.42
		02/01/08		10	32.9520	329.52	33.8100	338.10	8.58	12	3.42
		02/04/08		30	33.2630	997.89	33.8100	1,014.30	16.41	35	3.42
			<b>Subtotal</b>	<b>58</b>		<b>1,874.59</b>		<b>1,960.98</b>	<b>86.39</b>	<b>68</b>	<b>3.42</b>
WINDSTREAM CORP	WIN	08/23/06		10	12.7710	127.71	10.9900	109.90	(17.81)	10	9.09
		10/16/06		45	13.7753	619.89	10.9900	494.55	(125.34)	45	9.09
		10/17/06		20	13.6735	273.47	10.9900	219.80	(53.67)	20	9.09
		03/15/07		130	14.3500	1,865.50	10.9900	1,428.70	(436.80)	130	9.09
		11/10/08		36	8.9433	321.96	10.9900	395.64	73.68	36	9.09
			<b>Subtotal</b>	<b>241</b>		<b>3,208.53</b>		<b>2,648.59</b>	<b>(559.94)</b>	<b>241</b>	<b>9.09</b>
			<b>TOTAL</b>			<b>116,712.08</b>		<b>105,286.65</b>	<b>(11,425.43)</b>	<b>3,368</b>	<b>3.20</b>
<b>MUTUAL FUNDS/CLOSED END FUNDS/JIT</b>		<b>Total Client Investment</b>		<b>Cumulative Investment Return</b>		<b>Total Cost Basis</b>		<b>Estimated Market Price</b>		<b>Estimated Market Value</b>	
ISHARES MSCI ITALY INDEX	FUND	100	1.996	(45)	1,996.64	19.5100	1,951.00	(45.64)	(45.64)	44	2.23
			<b>SYMBOL: EWI</b>	<i>Initial Purchase: 09/14/09</i>							
			<i>Equity 100%</i>								
ISHARES MSCI SPAIN INDEX	FUND	50	2,019	382	2,019.50	48.0400	2,402.00	382.50	103	4.26	
			<b>SYMBOL: EWI.P</b>	<i>Initial Purchase: 06/29/09</i>							
			<i>Equity 100%</i>								
ISHARES MSCI FRANCE INDEX	FUND	100	2,077	507	2,077.70	25.8500	2,585.00	507.30	25	.93	
			<b>SYMBOL: EWQ</b>	<i>Initial Purchase: 06/29/09</i>							
			<i>Equity 100%</i>								
ISHARES S&P 500 VALUE INDEX FUND		195	8,579	1,757	8,579.83	53.0100	10,336.95	1,757.12	265	2.55	

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**YOUR CMA ASSETS**

December 01, 2009 - December 31, 2009

<b>MUTUAL FUNDS/CLOSED END FUNDS/JIT</b> (continued) <b>Description</b>	<b>Quantity</b>	<b>Total Client Investment</b>	<b>Cumulative Investment Return</b>	<b>Total Cost Basis</b>	<b>Estimated Market Price</b>	<b>Estimated Market Value</b>	<b>Unrealized Gain/(Loss)</b>	<b>Estimated Current Annual Income</b>	<b>Current Yield%</b>
ISHARES IBOXX \$ <i>INIT GRADE CORP BD FUND</i> SYMBOL: IVE Initial Purchase: 06/29/09 Equity 100%	105	10,944	(8)	10,944.60	104.1500	<b>10,935.75</b>	(8.85)	602	5.49
ISHARES MSCI SOUTH AFRICA <i>INDEX FUND</i> SYMBOL: EZA Initial Purchase: 06/29/09 Equity 100%	100	4,760	836	4,760.55	55.9700	<b>5,597.00</b>	836.45	218	3.88
ISHARES BARCLAYS AGGRGT AGGREGATE BD FUND SYMBOL: AGG Initial Purchase: 11/17/09 Fixed Income 100%	100	10,502	(183)	10,502.91	103.1900	<b>10,319.00</b>	(183.91)	401	3.88
ISHARES BARCLAYS TIPS BO PROTECTED SEC'S FD SYMBOL: TIP Initial Purchase: 06/29/09 Fixed Income 100%	120	12,548	(80)	12,548.31	103.9000	<b>12,468.00</b>	(80.31)	486	3.89
ISHARES FTSE XINHUA HK CHINA 25 INDEX FUND SYMBOL: FXI Initial Purchase: 06/29/09 Equity 100%	100	3,906	319	3,906.90	42.2600	<b>4,226.00</b>	319.10	45	1.05
ISHARES IBOXX\$ HIGH YIEL CORPORATE BOND FUND SYMBOL: HYG Initial Purchase: 11/23/09	115	9,925	176	9,925.05	87.8400	<b>10,101.60</b>	176.55	958	9.48

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24-Hour Assistance: (800) MERRILL

## YOUR CMA ASSETS

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<b>MUTUAL FUNDS/CLOSED END FUNDS/UIT</b>		<i>(continued)</i>	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Current Yield%
<b>Description</b>		<i>Fixed Income 100%</i>								
ISHARES S&P NATIONAL AMT-FREE MUNICIPAL BOND	98	10,056	12	10,056.76	102.7500	<b>10,069.50</b>	12.74	<b>364</b>	3.60	
SYMBOL: MUB				Initial Purchase: 11/23/09						
Fixed Income 100%										
ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDEX F	100	4,597	1,156	4,597.75	57.5400	<b>5,754.00</b>	1,156.25	<b>27</b>	.45	
SYMBOL: IYW				Initial Purchase: 06/29/09						
Equity 100%										
ISHARES D JONES US BASIC MATERIALS SECTOR INDEX F	145	7,032	1,654	7,032.95	59.9100	<b>8,686.95</b>	1,654.00	<b>207</b>	2.38	
SYMBOL: IYM				Initial Purchase: 06/29/09						
Equity 100%										
ISHARES MSCI BRAZIL FREE INDEX FUND	100	5,394	2,066	5,394.80	74.6100	<b>7,461.00</b>	2,066.20	<b>23</b>	.29	
SYMBOL: EWZ				Initial Purchase: 06/29/09						
Equity 100%										
ISHARES S&P MIDCAP 400/ BARRA VALUE INDEX FUND	160	8,379	2,171	8,379.15	65.9400	<b>10,550.40</b>	2,171.25	<b>279</b>	2.63	
SYMBOL: IJU				Initial Purchase: 06/29/09						
Equity 100%										
ISHARES S&P SMALLCAP 600 VALUE INDEX FUND	185	8,742	2,057	8,742.92	58.3800	<b>10,800.30</b>	2,057.38	<b>206</b>	1.90	
SYMBOL: IJS				Initial Purchase: 06/29/09						
Equity 100%										



## TOTAL MERRILL

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## YOUR CMA ASSETS

<b>MUTUAL FUNDS/CLOSED END FUNDS/UIT</b>		Total Client Investment						Cumulative Investment Return			Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income Yield%
(continued) Description		Quantity	Investment												
MARKET VECTORS RUSSIA	100 SYMBOL: RSX <i>Initial Purchase: 06/29/09</i> Equity 100%	100	2,092				1,027			2,092.00	31.1900	3,119.00	1,027.00	8 .25	
MARKET VECTORS GLOBAL	100 ALT SYMBOL: GEX <i>Initial Purchase: 07/17/09</i> Equity 100%	100	2,372				127			2,372.14	25.0000	2,500.00	127.86	2 .04	
POWERSHARES WATER RESOURCES PORTFOLIO	150 SYMBOL: PHO <i>Initial Purchase: 11/05/09</i> Equity 100%	150	2,432				96			2,432.22	16.8600	2,529.00	96.78	44 1.72	
POWERSHARES GLOBAL EXCHANGE TRADED FD TR	215 SYMBOL: PZT <i>Initial Purchase: 11/23/09</i> Fixed Income 100%	215	4,929				61			4,929.95	23.2150	4,991.22	61.27	244 4.87	
REVENUESHARES MID CAP ET	260 SYMBOL: RWK <i>Initial Purchase: 06/29/09</i> Equity 100%	260	4,940				1,326			4,940.00	24.1000	6,266.00	1,326.00	58 .92	
REVENUESHARES SMALL CAP	240 SYMBOL: RMJ <i>Initial Purchase: 06/29/09</i> Equity 100%	240	4,833				1,382			4,833.60	25.9000	6,216.00	1,382.40	26 .41	
REVENUESHARES LARGE CAP	330 SYMBOL: RML <i>Initial Purchase: 06/29/09</i> Equity 100%	330	5,539				1,235			5,539.60	20.5300	6,774.90	1,235.30	93 1.35	

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<b>MUTUAL FUNDS/CLOSED END FUNDS/UIT</b>		<b>Quantity</b>	<b>Total Client Investment</b>	<b>Cumulative Investment Return</b>	<b>Total Cost Basis</b>	<b>Estimated Market Price</b>	<b>Estimated Market Value</b>	<b>Unrealized Gain/(Loss)</b>	<b>Estimated Current Annual Income</b>	<b>Current Yield%</b>
<b>(continued)</b>										
<b>Description</b>										
SECTOR SPDR CONSMRS STPL	SYMBOL: XLP	90	2,087	295	2,087.10	26.4700	2,382.30	295.20	90	3.75
Equity 100%										
SECTOR SPDR ENERGY	SYMBOL: XLE	100	5,081	619	5,081.74	57.0100	5,701.00	619.26	122	2.13
Equity 100%										
VANGUARD SMALL CAP VALUE	ETF SYMBOL: VBR	100	4,958	490	4,958.00	54.4800	5,448.00	490.00	106	1.92
Equity 100%										
VANGUARD TOTAL BOND MKT	SYMBOL: BND	150	11,952	(164)	11,952.70	78.5900	11,788.50	(164.20)	460	3.89
Fixed Income 100%										
VANGUARD MEGA CAP 300	VALUE SYMBOL: MGV	150	4,898	366	4,898.22	35.1000	5,265.00	366.78	194	3.68
Equity 100%										
	Subtotal (Fixed Income)				70,673.57					
	Subtotal (Equities)				716,551.80					

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**MUTUAL FUNDS/CLOSED END FUNDS/UIT**(continued)  
Description

	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Estimated Current Yield%
<b>TOTAL</b>									
	19,637	167,583.59		187,225.37	19,641.78	5,700	3.04		

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment.

**Cumulative Investment Return** is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

**Initial Purchase:** Date of your initial investment in this fund.

**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
<b>TOTAL</b>						
	296,146.69	304,363.04	8,216.35		9,111	2.99



12/1/09



HON CAROLYN MCCARTHY

Payer:  
Merrill Lynch, Pierce, Fenner & Smith Inc  
1800 Merrill Lynch Drive MSC 0803  
Pennington, NJ 08534-4129  
Federal Identification Number 13-5674085

## 2009 TAX REPORTING STATEMENT

1099-DIV	2009 Dividends and Distributions	(OMB NO. 1545-0110)
		Amount
1a	Total Ordinary Dividends.....	3,780.28
1b	Qualified Dividends.....	2,107.66
2a	Total Capital Gain Distributions.....	400.00
2b	Unrecap. Sec. 1250 Gain.....	0.00
2c	Section 1202 Gain.....	0.00
2d	Collectibles (28%) Gain .....	0.00
3	Nondividend Distributions .....	1,454.34
4	Federal Income Tax Withheld.....	0.00
5	Investment Expenses.....	0.00
6	Foreign Tax Paid.....	86.02
7	Foreign Country or U.S. Possession .....	0.00
8	Liquidation Distributions Cash.....	Various
9	Liquidation Distributions Non-Cash (Fair Market Value)	0.00

1099-INT	2009 Interest Income	(OMB NO. 1545-0112)
		Amount
1	Interest Income.....	13.96
2	Early Withdrawal Penalty .....	0.00
3	Interest On U.S. Savings Bonds and Treasury Obligations.....	3,448.18
4	Federal Income Tax Withheld.....	0.00
5	Investment Expenses .....	0.00
6	Foreign Tax Paid .....	0.00
7	Foreign Country or U.S. Possession .....	7,252.19
8	Tax Exempt Interest .....	1,119.69
9	Specified Private Activity Bond Interest.....	Various

Summary of 2009 Original Issue Discount Items	
	Amount
1	Original Issue Discount.....
6	Original Issue Discount on US Treasury Obligations

Summary of 2009 Proceeds from Broker & Barter Exchange Transactions	
	Amount
2	Gross Proceeds Less Commissions .....
4	Federal Income Tax Withheld.....

Gross Proceeds from each of Your 2009 securities trades are individually reported to the IRS. Refer to the 2009 Gross Proceeds detail section of this statement.	
	Amount
5	Original Issue Discount .....

Amounts of Original Issue Discount are individually reported to the IRS.  
Refer to the 2009 Original Issue Discount detail section of this statement.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty

or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Please refer to Page 5 of this statement highlighting important information that should be brought to the attention of your tax advisor.

You may reach a Tax Representative at 1-800-637-6326



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## 2009 TAX REPORTING STATEMENT

Your Tax Reporting Statement information that follows is a personal summary of your account activity and is not reported to the IRS.

The Capital Gain and Loss Transaction section is provided to facilitate your review and the preparation of your tax return. In calculating gain (loss), unless otherwise noted it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The Cost Basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

Data from Forms 1099 (reportable Dividend and Interest) is also repeated in this summary for your convenience. Additionally, we have included items such as margin interest and select account fees if applicable. Please discuss the deductibility of these items with your tax advisor. For select clients, we have also included a summary of Visa charges and checking activity.

Merrill Lynch is neither a tax return preparer or tax advisor. Please see your tax advisor for more information.

### 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Date Acquired	Date Liquidated	Amortization/Accretion Cover of Short	Short Sale	Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
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### OTHER TRANSACTIONS

NEW YORK NYC TRAN FIN	55000.000	01/29/02			09/28/09		55,275.00	55,005.25	270.00
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### Other Transactions Subtotal

TOTAL CAPITAL GAINS AND LOSSES	55,275.00	55,005.25	270.00
TOTAL REPORTABLE GROSS PROCEEDS	55,275.00		
DIFFERENCE	0.00 *		

### TOTAL CAPITAL GAINS AND LOSSES

### TOTAL REPORTABLE GROSS PROCEEDS

### DIFFERENCE

Note: Capital gains and losses in this statement are not reported to the IRS.  
\* Difference reflects items that are included in the reportable gross proceeds section of your statement but are not included in the gain/loss section (e.g., liquidation of fractional shares and the proceeds from certain tender offers). It also reflects items that are included in the gain/loss section of your statement but not in the reportable gross proceeds section (e.g., option premium received).  
N/C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interest, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero coupon issues.

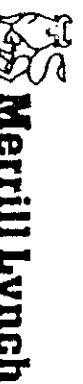


HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
NUVEEN EQTY PREM OPP INDEX	500	04/01/09	Dividend	27.60	27.60	
	500	07/01/09	Dividend	27.60	27.60	
	500	10/01/09	Dividend	27.60	27.60	
	500	12/31/09	Dividend	27.60	27.60	
<b>PIONEER MU HI INC ADVT ADVANTAGE TR</b>	<b>900</b>	<b>12/18/09</b>	<b>Dividend</b>	<b>2.95</b>	<b>0.00</b>	
BLDRS EMER MKTS 50 ADR INDEX	140	04/30/09	Dividend	14.38	14.38	
	140	07/31/09	Dividend	38.46	38.46	
	140	10/30/09	Dividend	41.68	41.68	
	140	12/31/09	Dividend	35.97	35.97	
<b>Security Subtotal</b>			<b>Security Subtotal</b>	<b>130.49</b>	<b>130.49</b>	
PIMCO CORPORATE OPPY FD	500	02/02/09	Dividend	57.50	0.76	
	500	03/24/09	Dividend	57.50	0.76	
	500	04/03/09	Dividend	57.50	0.76	
	500	05/01/09	Dividend	57.50	0.76	
	500	06/01/09	Dividend	57.50	0.76	
	500	07/01/09	Dividend	57.50	0.76	
	500	08/03/09	Dividend	57.50	0.76	
	500	09/01/09	Dividend	57.50	0.76	
	500	09/29/09	Dividend	57.50	0.76	
	500	11/02/09	Dividend	57.50	0.76	
	500	12/01/09	Dividend	57.50	0.76	
	500	12/30/09	Dividend	57.50	0.76	
	500	01/22/10	Dividend	290.00	3.83	PAY IN 2010/REP IN 2009
<b>Security Subtotal</b>			<b>Security Subtotal</b>	<b>980.00</b>	<b>12.95</b>	
EATON VANCE TAX MAN GLB BUY WRT OPP FD	500	03/31/09	Dividend	24.99	24.99	
	500	06/30/09	Dividend	24.99	24.99	
	500	09/30/09	Dividend	24.99	24.99	
	500	12/31/09	Dividend	24.99	24.99	
<b>Security Subtotal</b>			<b>Security Subtotal</b>	<b>99.96</b>	<b>99.96</b>	



HON CAROLYN McCARTHY

2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
J HANCOCK PREF INCOME 3 INCOME FUND III	500	01/30/09	Dividend	62.20	48.13	
	500	02/27/09	Dividend	62.20	48.13	
	500	03/31/09	Dividend	52.87	40.91	
	500	04/30/09	Dividend	52.87	40.91	
	500	05/29/09	Dividend	52.87	40.91	
	500	06/30/09	Dividend	52.87	40.91	
	500	07/31/09	Dividend	52.87	40.91	
	500	08/31/09	Dividend	56.10	43.41	
	500	09/30/09	Dividend	56.10	43.41	
	500	10/30/09	Dividend	56.10	43.41	
	500	11/30/09	Dividend	56.10	43.41	
	500	12/31/09	Dividend	56.10	43.41	
<b>Security Subtotal</b>				<b>669.25</b>	<b>517.86</b>	
NEUBERGER RE SEC INC ESTATE SEC'S INCOME FD	422	01/30/09	Dividend	14.85	0.00	
	422	02/27/09	Dividend	14.85	0.00	
	422	03/31/09	Dividend	14.85	0.00	
	422	04/30/09	Dividend	14.85	0.00	
	422	05/29/09	Dividend	14.85	0.00	
	422	06/30/09	Dividend	7.81	0.00	
	422	07/31/09	Dividend	7.81	0.00	
	422	08/31/09	Dividend	7.81	0.00	
	422	09/30/09	Dividend	7.81	0.00	
	422	10/30/09	Dividend	7.81	0.00	
	422	11/30/09	Dividend	7.81	0.00	
	422	12/31/09	Dividend	7.81	0.00	
<b>Security Subtotal</b>				<b>128.92</b>	<b>0.00</b>	
COHEN & STEERS REIT & UTILITIES INC FD	300	03/31/09	Dividend	39.90	21.91	
	300	06/30/09	Dividend	36.67	20.13	
	300	09/30/09	Dividend	36.67	20.13	
	300	12/31/09	Dividend	36.67	20.13	
<b>Security Subtotal</b>				<b>149.91</b>	<b>82.30</b>	



HON CAROLYN McCARTHY

## 2009 TAX REPORTING STATEMENT

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## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
NFJ DIV INT PREM STRAT AND PREMIUM STRATEGY FD	500	03/27/09	Dividend	75.00	75.00	
	500	06/26/09	Dividend	75.00	75.00	
	500	09/25/09	Dividend	75.00	75.00	
	500	01/04/10	Dividend	75.00	75.00	PAY IN 2010/REP IN 2009
<b>POWERSHARES GLOBAL EXCHANGE TRADED FD TR</b>	<b>80</b>	<b>10/30/09</b>	<b>Security Subtotal</b>	<b>300.00</b>	<b>300.00</b>	
	80	11/30/09	Dividend	0.10	0.00	
	80	12/31/09	Dividend	0.09	0.00	
			<b>Security Subtotal</b>	<b>0.29</b>	<b>0.00</b>	
<b>WISDOMTREE TR INTL BASIC MATERIALS SECTO</b>	<b>175</b>	<b>03/27/09</b>	<b>Dividend</b>	<b>33.14</b>	<b>33.14</b>	
	175	06/26/09	Dividend	39.35	39.35	
	175	09/25/09	Dividend	17.78	17.78	
	175	12/28/09	Foreign Dividend	17.99	17.99	
	175	12/28/09	Foreign Tax	(17.63)	0.00	
			<b>Security Subtotal</b>	<b>90.63</b>	<b>108.26</b>	
<b>WISDOMTREE INTL COMM SECTO</b>	<b>195</b>	<b>03/27/09</b>	<b>Dividend</b>	<b>6.19</b>	<b>5.87</b>	
	195	06/26/09	Dividend	139.58	132.31	
	195	09/25/09	Dividend	27.85	26.40	
	195	12/28/09	Foreign Dividend	48.27	45.76	
	195	12/28/09	Foreign Tax	(20.67)	0.00	
			<b>Security Subtotal</b>	<b>201.22</b>	<b>210.34</b>	
<b>WISDOMTREE INTL IND SEC</b>	<b>180</b>	<b>03/27/09</b>	<b>Dividend</b>	<b>7.31</b>	<b>7.31</b>	
	180	06/26/09	Dividend	65.03	65.03	
	180	09/25/09	Dividend	9.20	9.20	
	180	12/28/09	Foreign Dividend	32.94	32.94	
	180	12/28/09	Foreign Tax	(27.07)	0.00	
			<b>Security Subtotal</b>	<b>87.41</b>	<b>114.48</b>	
<b>MARKET VECTORS ETF TR ENVIRONMENTAL SVCS</b>	<b>125</b>	<b>12/30/09</b>	<b>Dividend</b>	<b>47.00</b>	<b>42.65</b>	



HON CAROLYN MCCARTHY

**2009 TAX REPORTING STATEMENT**

**2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS**

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
MARKET VECTORS RUSSIA	160	12/30/09	Foreign Dividend	19.62	5.85	
	160	12/30/09	Foreign Tax	(6.98)	0.00	PAY DATE 12/30/2009
			<b>Security Subtotal</b>	<b>12.64</b>	<b>5.85</b>	
MARKET VECTORS GLOBAL ALT	150	12/30/09	Dividend	1.80	1.80	
ING GROEP NV	237	01/15/09	Foreign Dividend	90.73	90.73	
ING PERPETUAL DEBT SEC	237	04/16/09	Foreign Dividend	90.73	90.73	
6.125%	237	07/15/09	Foreign Dividend	90.73	90.73	
	237	10/15/09	Foreign Dividend	90.73	90.73	
			<b>Security Subtotal</b>	<b>362.92</b>	<b>362.92</b>	
ISHARES MSCI BRAZIL FREE INDEX FUND	105	06/29/09	Foreign Dividend	45.65	1.13	
	105	06/29/09	Foreign Tax	(2.08)	0.00	PAY DATE 06/29/2009
	105	12/31/09	Foreign Dividend	241.67	5.97	
	105	12/31/09	Foreign Tax	(11.03)	0.00	PAY DATE 12/31/2009
	105	01/06/10	Foreign Dividend	12.23	0.30	PAY IN 2010/REP IN 2009
	105	01/06/10	Foreign Tax	(0.56)	0.00	PAY IN 2010/REP IN 2009
			<b>Security Subtotal</b>	<b>285.88</b>	<b>7.40</b>	
ROCHESTER MUNICIPAL FDS CL B						
	01/28/09	Dividend		2.52	0.00	
	02/25/09	Dividend		2.48	0.00	
	03/25/09	Dividend		2.52	0.00	
	04/22/09	Dividend		2.52	0.00	
	05/27/09	Dividend		2.41	0.00	
	06/24/09	Dividend		0.63	0.00	
	07/29/09	Dividend		0.12	0.00	
	08/26/09	Dividend		0.12	0.00	
	09/23/09	Dividend		0.12	0.00	
	10/28/09	Dividend		0.11	0.00	
	11/25/09	Dividend		0.12	0.00	
	12/31/09	Dividend		0.12	0.00	
			<b>Security Subtotal</b>	<b>13.79</b>	<b>0.00</b>	

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HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
ROCHESTER MUNICPALS FD CL A						
		06/24/09	Dividend	2.15	0.00	
		07/29/09	Dividend	2.73	0.00	
		08/26/09	Dividend	2.73	0.00	
		09/23/09	Dividend	2.77	0.00	
		10/28/09	Dividend	2.77	0.00	
		11/25/09	Dividend	2.77	0.00	
		12/31/09	Dividend	2.88	0.00	
<b>Security Subtotal</b>				<b>18.80</b>	<b>0.00</b>	

## TOTAL DIVIDEND ACTIVITY

TOTAL FOREIGN TAX PAID (LINE 6 1099-DIV)

TOTAL ORDINARY DIVIDENDS (LINE 1A 1099-DIV)

TOTAL QUALIFIED DIVIDENDS (LINE 1B 1099-DIV)

3,694.26

(86.02)  
3,780.28

2,107.66



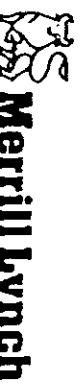


HON CAROLYN MCCARTHY

**2009 TAX REPORTING STATEMENT**

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Security Description	Quantity	Date	Transaction Description	Amount	Remarks		
<b>CAPITAL GAIN DISTRIBUTIONS</b>							
<b>LONG-TERM CAPITAL GAINS DISTRIBUTIONS</b>							
SOURCE CAP INC							
	200	03/16/09	Long Term Capital Gain	100.00			
	200	06/15/09	Long Term Capital Gain	100.00			
	200	09/15/09	Long Term Capital Gain	100.00			
	200	12/15/09	Long Term Capital Gain	100.00			
	<i>Security Subtotal</i>			400.00			
<b>TOTAL LONG-TERM CAPITAL GAINS DISTRIBUTIONS</b>							
<b>TOTAL CAPITAL GAIN DISTRIBUTIONS (LINE 2A 1099-DIV)</b>							
<b>NONDIVIDEND DISTRIBUTIONS</b>							
NUVEEN EQTY PREM OPP							
	500	04/01/09	Prin Payment	140.40			
	500	07/01/09	Prin Payment	140.40			
	500	10/01/09	Prin Payment	140.40			
	500	12/31/09	Prin Payment	140.40			
	<i>Security Subtotal</i>			561.60			
EATON VANCE TAX MAN GLB							
BUY WRT OPP FD							
	500	03/31/09	Prin Payment	200.01			
	500	06/30/09	Prin Payment	200.01			
	500	09/30/09	Prin Payment	200.01			
	500	12/31/09	Prin Payment	200.01			
	<i>Security Subtotal</i>			800.04			
J HANCOCK PREF INCOME 3							
INCOME FUND III							
	500	01/30/09	Prin Payment	3.80			
	500	02/27/09	Prin Payment	3.80			
	500	03/31/09	Prin Payment	3.23			
	500	04/30/09	Prin Payment	3.23			
	500	05/29/09	Prin Payment	3.23			
	500	06/30/09	Prin Payment	3.23			
	500	07/31/09	Prin Payment	3.23			
	<i>Security Subtotal</i>			23.75			



HON CAROLYN MCCARTHY

**2009 TAX REPORTING STATEMENT**

<u>Security Description</u>	<u>Quantity</u>	<u>Date</u>	<u>2009 DIVIDENDS AND DISTRIBUTIONS Transaction Description</u>	<u>Amount</u>	<u>Remarks</u>
NEUBERGER RE SEC INC ESTATE SEC'S INCOME FD	422	01/30/09	Prin Payment	1.19	
	422	02/27/09	Prin Payment	1.19	
	422	03/31/09	Prin Payment	1.19	
	422	04/30/09	Prin Payment	1.19	
	422	05/29/09	Prin Payment	1.19	
	422	06/30/09	Prin Payment	0.63	
	422	07/31/09	Prin Payment	0.63	
	422	08/31/09	Prin Payment	0.63	
	422	09/30/09	Prin Payment	0.63	
	422	10/30/09	Prin Payment	0.63	
	422	11/30/09	Prin Payment	0.63	
	422	12/31/09	Prin Payment	0.63	
<b>Security Subtotal</b>				<b>10.36</b>	
COHEN & STEERS REIT & UTILITIES INC FD	300	03/31/09	Prin Payment	15.60	
	300	06/30/09	Prin Payment	14.33	
	300	09/30/09	Prin Payment	14.33	
	300	12/31/09	Prin Payment	14.33	
<b>Security Subtotal</b>				<b>58.59</b>	
<b>TOTAL NONDIVIDEND DISTRIBUTIONS (LINE 3 1099-DIV)</b>				<b>1,454.34</b>	

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## 2009 TAX REPORTING STATEMENT

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Security Description	Quantity	Date	2009 INTEREST INCOME Transaction Description	Amount	Remarks
<b>INTEREST</b>					
ML BANK DEPOSIT PROGRAM		01/30/09	Bank Interest	2.70	
		02/27/09	Bank Interest	1.89	
		03/31/09	Bank Interest	1.81	
		04/30/09	Bank Interest	1.33	
		05/29/09	Bank Interest	1.20	
		06/30/09	Bank Interest	0.82	
		07/31/09	Bank Interest	0.87	
		08/31/09	Bank Interest	0.93	
		09/30/09	Bank Interest	0.99	
		10/30/09	Bank Interest	0.59	
		11/30/09	Bank Interest	0.40	
		12/31/09	Bank Interest	0.43	
			<b>Security Subtotal</b>	<b>13.96</b>	
<b>TOTAL INTEREST ACTIVITY</b>					
<b>TOTAL INTEREST (LINE 1 1099-INT)</b>					
<b>INTEREST ON TREASURY NOTES</b>					
U.S. TREASURY BOND		02/17/09	Interest	956.25	
10.625% AUG 15 2015		08/17/09	Interest	956.25	
			<b>Security Subtotal</b>	<b>1,912.50</b>	
<b>U.S. TRSY INFLATION NOTE</b>					
0.875% APR 15 2010		04/22/09	Interest	112.41	
		10/15/09	Interest	114.50	
			<b>Security Subtotal</b>	<b>226.91</b>	
<b>U.S. TRSY INFLATION NOTE</b>					
3.00% JUL 15 2012		01/15/09	Interest	340.32	
		07/15/09	Interest	338.45	
			<b>Security Subtotal</b>	<b>678.77</b>	



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

Security Description	Quantity	Date	2009 INTEREST INCOME Transaction Description	Amount	Remarks
U.S. TREASURY BOND 09.000% NOV 15 2018		05/15/09 11/16/09	Interest Interest	315.00 315.00	
			Security Subtotal	630.00	
TOTAL INTEREST ON TREASURY NOTES				3,448.18	
TOTAL INTEREST ON US SAVINGS BONDS AND TREASURY OBLIGATIONS (LINE 3 1099-INT)				3,448.18	

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### 2009 TAX REPORTING STATEMENT

Security Description	Quantity	Date	2009 REPORTABLE TAX EXEMPT INCOME		Total Amount	AMT Amount	Remarks
			Transaction Description				
<b>TAX EXEMPT DIVIDENDS</b>							
PIONEER MU HI INC ADVT	900	01/30/09	Dividend	67.50	16.52		
ADVANTAGE TR	900	02/27/09	Dividend	67.50	16.52		
	900	03/31/09	Dividend	67.50	16.52		
	900	04/30/09	Dividend	67.50	16.52		
	900	05/29/09	Dividend	67.50	16.52		
	900	06/30/09	Dividend	67.50	16.52		
	900	07/31/09	Dividend	67.50	16.52		
	900	08/31/09	Dividend	67.50	16.52		
	900	09/30/09	Dividend	72.00	17.63		
	900	10/30/09	Dividend	72.00	17.63		
	900	11/30/09	Dividend	76.50	18.73		
	900	12/18/09	Dividend	73.55	18.00		
	<b>Security Subtotal</b>			<b>834.05</b>	<b>204.15</b>		
BLACKROCK NY MUNI INC	900	02/02/09	Dividend	67.81	12.23		
	900	03/02/09	Dividend	67.81	12.23		
	900	04/01/09	Dividend	67.81	12.23		
	900	05/01/09	Dividend	67.81	12.23		
	900	06/01/09	Dividend	67.81	12.23		
	900	07/01/09	Dividend	72.00	12.98		
	900	08/03/09	Dividend	72.00	12.98		
	900	09/01/09	Dividend	72.00	12.98		
	900	10/01/09	Dividend	74.25	13.39		
	900	11/02/09	Dividend	74.25	13.39		
	900	12/01/09	Dividend	74.25	13.39		
	900	12/31/09	Dividend	74.25	13.39		
	<b>Security Subtotal</b>			<b>852.05</b>	<b>153.65</b>		
POWERSHARES GLOBAL EXCHANGE TRADED FD TR	80	10/30/09	Dividend	7.18	0.00		
	80	11/30/09	Dividend	6.54	0.00		
	80	12/31/09	Dividend	7.44	0.00		
	<b>Security Subtotal</b>			<b>21.16</b>	<b>0.00</b>		



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HON CAROLYN McCARTHY

## 2009 TAX REPORTING STATEMENT

Security Description	Quantity	Date	Transaction Description	Total Amount	AMT Amount	Remarks
<b>ROCHESTER MUNICPALS FDS CL A</b>						
		01/28/09	Dividend	214.07	58.81	
		02/25/09	Dividend	210.94	57.94	
		03/25/09	Dividend	214.49	58.92	
		04/22/09	Dividend	214.49	58.92	
		05/27/09	Dividend	205.14	56.35	
		06/24/09	Dividend	53.55	14.71	
		07/29/09	Dividend	9.88	2.72	
		08/26/09	Dividend	10.18	2.80	
		09/23/09	Dividend	10.19	2.80	
		10/28/09	Dividend	9.69	2.66	
		11/25/09	Dividend	10.19	2.80	
		12/31/09	Dividend	10.03	2.76	
<b>Security Subtotal</b>				<b>1,172.84</b>	<b>322.19</b>	
<b>ROCHESTER MUNICPALS FD CL A</b>						
		06/24/09	Dividend	182.82	50.22	
		07/29/09	Dividend	232.69	63.92	
		08/26/09	Dividend	232.69	63.92	
		09/23/09	Dividend	235.79	64.77	
		10/28/09	Dividend	235.79	64.77	
		11/25/09	Dividend	235.79	64.77	
		12/31/09	Dividend	245.10	67.33	
<b>Security Subtotal</b>				<b>1,600.67</b>	<b>439.70</b>	
<b>TOTAL TAX EXEMPT DIVIDENDS</b>						
<b>TAX EXEMPT INTEREST ON MUNICIPAL SECURITIES</b>						
NEW YORK NY SUBSERIES	03/02/09	Interest		250.00	0.00	
SER F-1 AMBAC	09/01/09	Interest		250.00	0.00	
SEP05 05.000% SEP01 21						
<b>Security Subtotal</b>				<b>500.00</b>	<b>0.00</b>	



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

Security Description	Quantity	Date	2009 REPORTABLE TAX EXEMPT INCOME Transaction Description	Total Amount	AMT Amount	Remarks
NEW YORK NYC TRAN FIN AUTH REV FUTURE B OID JAN98 04.750%NOV15 17		05/15/09 09/28/09	Interest Interest	1,306.25 965.17	0.00 0.00	
			<b>Security Subtotal</b>	<b>2,271.42</b>		<b>0.00</b>
TOTAL TAX EXEMPT INTEREST ON MUNICIPAL SECURITIES				2,771.42	0.00	
TOTAL TAX EXEMPT INCOME (LINE 8 1099-INT)				7,252.19		
TOTAL SPECIFIED PRIVATE ACTIVITY BOND INTEREST (LINE 9 1099-INT)				1,119.69		



HON CAROLYN MCCARTHY

Payer:  
Merrill Lynch, Pierce, Fenner & Smith Inc  
1800 Merrill Lynch Drive, MSC 0803  
Princeton, NJ 08524-4129  
Federal Identification Number 13-5674085

## 2009 TAX REPORTING STATEMENT

1099-DIV	2009 Dividends and Distributions (OMB NO. 1545-0110)	Amount
1a	Total Ordinary Dividends.....	8,270.66
1b	Qualified Dividends.....	7,111.81
2a	Total Capital Gain Distributions.....	0.00
2b	Unrecap Sec. 1250 Gain.....	0.00
2c	Section 1202 Gain.....	0.00
2d	Collectibles (28%) Gain.....	0.00
3	Nondividend Distributions.....	30.33
4	Federal Income Tax Withheld.....	0.00
5	Investment Expenses.....	0.00
6	Foreign Tax Paid.....	178.73
7	Foreign Country or U.S. Possession	Various
8	Liquidation Distributions Cash.....	0.00
9	Liquidation Distributions Non-Cash (Fair Market Value)	0.00

1099-INT	2009 Interest Income (OMB NO. 1545-0112)	Amount
1	Interest Income.....	70.19
2	Early Withdrawal Penalty.....	0.00
3	Interest On U.S. Savings Bonds and Treasury Obligations.....	0.00
4	Federal Income Tax Withheld.....	0.00
5	Investment Expenses.....	0.00
6	Foreign Tax Paid.....	0.00
7	Foreign Country or U.S. Possession	78.34
8	Tax Exempt Interest.....	0.00
9	Specified Private Activity Bond Interest.....	0.00

Summary of 2009 Original Issue Discount Items	
1	Amount
Original Issue Discount.....	0.00

Amounts of Original Issue Discount are individually reported to the IRS.  
Refer to the 2009 Original Issue Discount detail section of this statement.

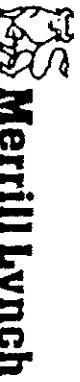
Summary of 2009 Proceeds from Broker & Barter Exchange Transactions	
2	Amount
Gross Proceeds Less Commissions .....	298,244.41

Gross Proceeds from each of your 2009 securities trades are individually reported to the IRS. Refer to the 2009 Gross Proceeds detail section of this statement.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Please refer to Page 5 of this statement highlighting important information that should be brought to the attention of your tax advisor.

You may reach a Tax Representative at 1-800-637-6326



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

Your Tax Reporting Statement information that follows is a personal summary of your account activity and is not reported to the IRS.

The Capital Gain and Loss Transaction section is provided to facilitate your review and the preparation of your tax return. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The Cost Basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

Data from Forms 1099 (Reportable Dividend and Interest) is also repeated in this summary for your convenience. Additionally, we have included items such as margin interest and select account fees if applicable. Please discuss the deductibility of these items with your tax advisor. For select clients, we have also included a summary of Visa charges and checking activity.

Merrill Lynch is neither a tax return preparer or tax advisor. Please see your tax advisor for more information.

### 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired	Date Liquidated	Amortization/Accretion	Year-to-Date		
					Life-to-Date	Sales Price	Cost Basis
<b>SHORT TERM CAPITAL GAINS</b>							
ADOBE SYS DEL PV\$ 0.001	10.0000	04/30/09	06/29/09		286.09	273.39	12.70
	35.0000	05/01/09	06/29/09		1,001.32	953.00	48.32
					<b>1,287.41</b>	<b>1,226.39</b>	<b>61.02</b>
AFFILIATED MANAGERS GRP	14.0000	05/18/09	06/29/09		814.91	790.83	24.08
AEGON N.V. NY REG SHS	9.0000	11/07/08	06/18/09		52.88	47.27	5.61
	15.0000	11/07/08	06/19/09		88.06	78.79	9.27
	10.0000	11/07/08	06/22/09		54.82	52.53	2.29
	29.0000	11/07/08	06/29/09		176.60	152.34	24.26
					<b>372.36</b>	<b>330.93</b>	<b>41.43</b>
ACCENTURE LTD CL A	5.0000	03/24/09	06/29/09		168.29	156.22	12.07
	7.0000	04/03/09	06/29/09		235.61	197.60	38.01
	40.0000	05/06/09	06/29/09		1,346.37	1,208.91	137.46
					<b>1,750.27</b>	<b>1,562.73</b>	<b>187.54</b>
AXA -SPONS ADR	60.0000	12/04/09	12/04/09		34.78	34.78	0.00

HON CAROLYN McCARTHY

**2009 TAX REPORTING STATEMENT**
**2009 CAPITAL GAIN AND LOSS TRANSACTIONS**

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
AMAZON COM INC COM	10.0000	12/04/08	03/27/09		712.97	497.75	215.22
	17.0000	12/04/08	06/29/09		<u>1,418.27</u>	<u>846.19</u>	<u>572.08</u>
					<b>2,131.24</b>	<b>1,343.94</b>	<b>787.30</b>
AMPHENOL CORP CL A NEW	15.0000	03/11/09	06/29/09		474.58	388.92	85.66
	12.0000	03/12/09	06/29/09		<u>379.67</u>	<u>312.45</u>	<u>67.22</u>
					<b>854.25</b>	<b>701.37</b>	<b>152.88</b>
ANNALY CAP MGMT INC	75.0000	07/15/08	06/29/09		1,125.04	1,080.43	44.61
	12.0000	03/18/09	06/29/09		180.00	175.09	4.91
	62.0000	03/24/09	06/29/09		<u>930.04</u>	<u>906.29</u>	<u>23.75</u>
					<b>2,235.08</b>	<b>2,161.81</b>	<b>73.27</b>
AMERICAN TOWER CORP CL A	25.0000	03/05/09	10/16/09		984.23	691.04	293.19
AXIS CAPITAL HOLDINGS	11.0000	02/19/09	06/29/09		284.01	278.64	5.37
	12.0000	02/24/09	06/29/09		<u>309.83</u>	<u>278.37</u>	<u>31.46</u>
					<b>583.84</b>	<b>557.01</b>	<b>36.83</b>
ASTRAZENECA PLC SPND ADR	3.0000	09/16/08	06/29/09		134.24	132.08	2.16
	3.0000	09/30/08	06/29/09		134.24	131.61	2.63
	4.0000	11/11/08	06/29/09		179.00	169.46	9.54
	4.0000	11/12/08	06/29/09		<u>179.01</u>	<u>166.93</u>	<u>12.08</u>
					<b>626.49</b>	<b>600.08</b>	<b>26.41</b>
ALTERA CORP COM	48.0000	02/17/09	05/26/09		780.60	717.94	62.66
AECOM TECH CORP	30.0000	01/29/09	06/29/09		946.47	782.10	164.37
APPLE INC	10.0000	10/22/08	06/29/09		<u>1,424.76</u>	<u>981.52</u>	<u>443.24</u>
	5.0000	11/24/08	06/29/09		<u>712.38</u>	<u>451.08</u>	<u>261.30</u>
					<b>2,137.14</b>	<b>1,432.60</b>	<b>704.54</b>
AUST NW Z B G ADR	15.0000	06/17/09	07/17/09		201.75	198.56	3.19



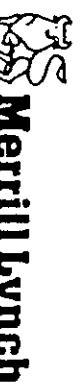
# Merrill Lynch

HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

### 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired	Date Liquidated	Amortization/Accretion Cover of Short	Short Sale	Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
BANCO B VIZ ARGT SA ADR	32.0000	04/21/09	06/29/09			407.02	322.28	84.74	
	15.0000	04/23/09	06/29/09	190.79		155.11	35.68		
	17.0000	04/24/09	06/29/09			216.24	182.13		34.11
						814.05	659.52		154.53
BANCOLOMBIA S.A SPDS ADR	16.0000	11/12/08	06/29/09			491.03	306.76		184.27
	4.0000	02/20/09	05/05/09	136.91		115.24	21.67		
BMC SOFTWARE INC	10.0000	02/20/09	06/29/09	343.29		288.12	55.17		
	11.0000	02/23/09	06/29/09			377.62	321.17		56.45
						857.82	724.53		133.29
BROADCOM CORP CALIF CL A	13.0000	11/07/08	03/31/09	261.49		214.52	46.97		
	15.0000	01/29/09	03/31/09	301.72		262.16	39.56		
	50.0000	05/29/09	07/17/09			1,366.46	1,293.50		72.96
						1,929.67	1,770.18		159.49
BASF SE SPONSORED ADR	9.0000	10/21/08	07/17/09	403.63		302.94	10.69		
	8.0000	11/07/08	07/17/09			358.80	264.45		94.35
						762.43	567.39		195.04
BHP BILLITON LTD ADR	25.0000	02/09/09	04/09/09			1,169.21	1,147.66		21.55
	5.0000	05/08/09	07/17/09	269.70		253.22			16.48
BAXTER INTERNTL INC									
BECTON DICKINSON CO	20.0000	05/27/09	06/29/09	1,425.16		1,344.44	80.72		
	4.0000	05/28/09	06/29/09			285.04	268.53		16.51
						1,710.20	1,612.97		97.23
COCA COLA FEMSA SP ADR	10.0000	11/11/08	10/16/09	528.49		311.10	217.39		
	2.0000	11/19/08	10/16/09			105.70	66.64		39.06
						634.19	377.74		256.45



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
CARDINAL HEALTH INC OHIO	15.0000	02/26/09	08/20/09	519.88	516.56	3.32	
	5.0000	03/03/09	08/20/09	173.29	154.08	19.21	
	16.0000	03/04/09	08/20/09	554.56	495.25	59.31	
				<b>1,247.73</b>	<b>1,165.89</b>	<b>81.84</b>	
CROWN HLDGS INC	45.0000	01/29/09	06/29/09				
COPEL PARANA ADR PREF B	29.0000	11/12/08	10/16/09	1,075.02	853.20	221.82	
CEMEX SAB DE CV SPND ADR	26.0000	01/14/09	06/29/09	545.49	311.32	234.17	
	41.0000	01/15/09	06/29/09	251.41	234.61	16.80	
				<b>396.46</b>	<b>355.95</b>	<b>40.51</b>	
				<b>647.87</b>	<b>590.56</b>	<b>57.31</b>	
COMPASS GROUP PLC ADR	5.0000	04/09/09	06/29/09	28.04	24.26	3.78	
	64.0000	04/14/09	06/29/09	359.03	323.05	35.98	
	25.0000	04/16/09	06/29/09	140.25	130.25	10.00	
	39.0000	04/17/09	06/29/09	<b>218.79</b>	<b>196.47</b>	<b>22.32</b>	
				<b>746.11</b>	<b>674.03</b>	<b>72.08</b>	
COMPANHIA D SNMNTO BSCO	28.0000	11/10/08	09/14/09	1,039.08	647.09	391.99	
C.H. ROBINSON WORLDWIDE, CA INC	16.0000	03/23/09	06/29/09	842.37	727.94	114.43	
CME GROUP INC	63.0000	03/02/09	05/28/09	1,076.75	1,068.91	7.84	
COVIDIEN PLC SHS	4.0000	05/29/09	06/29/09	1,281.60	1,256.99	24.61	
	13.0000	03/30/09	08/20/09	521.28	435.40	85.88	
	20.0000	05/13/09	08/20/09	801.98	706.27	95.71	
	15.0000	05/14/09	08/20/09	<b>601.49</b>	<b>539.27</b>	<b>62.22</b>	
				<b>1,924.75</b>	<b>1,680.94</b>	<b>243.81</b>	
CHURCH&DWIGHT CO INC	3.0000	03/27/09	08/20/09	174.31	154.98	19.33	
CUMMINS INC COM	35.0000	04/30/09	06/29/09	1,272.21	1,195.79	76.42	


**Merrill Lynch**

HON CAROLYN MCCARTHY

**2009 TAX REPORTING STATEMENT****2009 CAPITAL GAIN AND LOSS TRANSACTIONS**

<u>Security Description</u>	<u>Quantity</u>	<u>Date Acquired</u>	<u>Date Liquidated</u>	<u>Amortization/Accretion</u>	<u>Short Sale</u>	<u>Year-to-Date</u>	<u>Sales Price</u>	<u>Cost Basis</u>	<u>Gain or (Loss)</u>
DUN & BRADSTREET COR-NEW	11.0000	01/30/09	06/29/09				893.06	842.38	50.68
DIGITAL RLTY TR INC	22.0000	01/29/09	07/17/09				820.14	763.40	56.74
DELHAIZE GROUP SPONS ADR	8.0000	08/18/08	06/29/09				558.62	504.91	53.71
	5.0000	08/18/08	06/29/09				349.14	312.97	36.17
	1.0000	01/23/09	06/29/09				69.83	62.23	7.60
							977.59	880.11	97.48
DEUTSCHE BK AG REG SHS									
	7.0000	11/10/08	04/21/09				333.06	270.77	62.29
	9.0000	11/10/08	04/23/09				462.82	348.15	114.67
							795.88	618.92	176.96
DOLBY LABORATORIES INC	20.0000	05/12/09	07/17/09				776.98	774.27	2.71
DRESSER RAND GROUP INC	29.0000	02/23/09	06/29/09				756.88	547.81	209.07
DISH NETWORK CORPORATION	31.0000	05/20/09	10/16/09				567.59	550.83	16.76
	15.0000	05/22/09	10/16/09				274.65	255.46	19.19
							842.24	806.29	35.95
DOLLAR TREE INC	8.0000	11/07/08	06/29/09				341.27	304.47	36.80
R R DONNELLEY SONS	44.0000	01/29/09	12/17/09				967.98	458.48	509.50
	7.0000	01/29/09	12/17/09				153.99	72.94	81.05
							1,121.97	531.42	590.55
E M C CORPORATION MASS	95.0000	04/13/09	06/29/09				1,283.41	1,235.23	48.18
EDISON INTL CALIF	10.0000	03/10/09	06/29/09				315.89	249.81	66.08
	15.0000	03/11/09	06/29/09				473.83	376.56	97.27
	22.0000	03/17/09	06/29/09				694.97	619.72	75.25
							1,484.69	1,246.09	238.60



HON CAROLYN McCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
EMBARQ CORP	10.0000	02/26/09	06/29/09		436.08	347.02	89.06
	11.0000	02/27/09	06/29/09		479.69	389.77	89.92
	18.0000	03/05/09	06/29/09		784.97	603.09	181.88
					1,700.74	1,339.88	360.86
FMC CORP	16.0000	04/02/09	08/20/09		784.30	763.82	20.48
FREEPRT-MCMRAN CPR & GLD	15.0000	02/05/09	04/07/09		630.48	413.46	217.02
	33.0000	02/05/09	06/29/09		1,661.83	909.64	752.19
					2,292.31	1,323.10	969.21
FACTSET RESH SYS INC	4.0000	11/24/08	02/20/09		157.28	145.52	11.76
	5.0000	11/24/08	05/15/09		279.81	181.89	97.92
	5.0000	11/25/08	05/21/09		271.38	184.36	87.02
	6.0000	11/24/08	05/21/09		325.64	218.29	107.35
					1,034.11	730.06	304.05
FLOWSERVE CORP	4.0000	10/14/08	08/20/09		356.19	270.47	85.72
	4.0000	11/04/08	08/20/09		356.19	249.75	106.44
					712.38	520.22	192.16
FTI CONSULTING INC	14.0000	03/12/09	06/29/09		717.76	674.32	43.44
ISHARES S&P 500 VALUE	145.0000	06/29/09	11/23/09		7,689.41	6,379.86	1,309.55
POWERSHARES DYN OIL AND	150.0000	06/29/09	11/13/09		2,511.00	2,073.33	437.67
VANGUARD MID-CAP VAL IDX	125.0000	08/20/09	11/13/09		5,347.59	4,906.53	441.06
SPDR KBW BANK ETF	110.0000	06/29/09	11/13/09		2,337.54	2,009.60	327.94
	40.0000	07/17/09	11/13/09		850.02	735.60	114.42
					3,187.56	2,745.20	442.36
SPDR DJ WILSHRE REIT ETF	125.0000	06/29/09	11/13/09		5,735.19	4,184.78	1,550.41



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion	Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
GOLDMAN SACHS GROUP INC	11.0000	03/13/09	06/29/09			1,629.27	1,089.45	539.82
	4.0000	04/02/09	06/29/09			592.47	456.56	135.91
							2,221.74	1,546.01
GENENTECH INC NEW	16.0000	05/09/08	03/13/09			1,504.05	1,096.42	407.63
HSBC HLDG PLC SP ADR	9.0000	01/22/09	06/29/09			382.31	329.62	52.69
	9.0000	04/08/09	06/29/09			382.32	166.38	215.94
							764.63	496.00
HEWITT ASSOCIATES INC	8.0000	1/1/11/08	06/01/09			230.69	207.95	22.74
HOSPIRA INC	5.0000	05/11/09	06/29/09			193.34	168.93	24.41
	17.0000	05/12/09	06/29/09			657.37	575.82	81.55
							850.71	744.75
HARRIS STRATEX NETWORKS	9.0000	05/27/09	06/11/09			59.45	48.06	11.39
HILL ROM HLDGS	56.0000	01/29/09	05/20/09			892.29	821.51	70.78
HANSEN NATURAL CORP	22.0000	06/05/09	10/16/09			818.16	720.94	97.22
	3.0000	06/12/09	10/16/09			111.57	95.54	16.03
							929.73	816.48
HARRIS CORP DEL	18.0000	01/30/09	12/04/09			827.98	782.74	45.24
	19.0000	02/06/09	12/04/09			873.98	837.28	36.70
							1,701.96	1,620.02
ISHARES S&P MIDCAP 400/	125.0000	06/29/09	11/23/09			7,910.70	6,546.21	1,364.49
ISHARES S&P SMALLCAP 600	125.0000	06/29/09	11/23/09			7,006.07	5,907.50	1,098.57
INTEL CORP	142.0000	01/29/09	06/29/09			2,323.44	1,906.80	416.64



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## 2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
INGERSOLL RAND CO LTD A	16.0000	11/10/08	06/29/09		337.59	272.43	65.16
	10.0000	11/11/08	06/29/09		210.99	158.18	52.81
	12.0000	11/26/08	06/29/09		253.20	168.11	85.09
					801.78	598.72	203.06
<b>Security Subtotal</b>							
INTL BUSINESS MACHINES	7.0000	04/07/09	08/20/09		831.44	692.52	138.92
	5.0000	04/15/09	08/20/09		593.89	489.60	104.29
					1,425.33	1,182.12	243.21
<b>Security Subtotal</b>							
IHS INC	13.0000	01/12/09	06/29/09		648.03	605.33	42.70
JPMORGAN CHASE & CO	20.0000	01/29/09	11/13/09	848.18	523.55	324.63	
	40.0000	03/24/09	11/13/09	1,696.38	1,155.24	541.14	
	15.0000	06/10/09	11/13/09	636.15	531.81	104.34	
				3,180.71	2,210.50	970.11	
<b>Security Subtotal</b>							
JOHNSON AND JOHNSON COM	30.0000	05/04/09	06/29/09		1,700.65	1,607.00	93.65
KOHL'S CORP WMSC PV 1CT	11.0000	10/22/08	03/18/09	433.08	331.20	101.88	
	15.0000	10/22/08	05/01/09	647.80	451.66	196.14	
	15.0000	10/30/08	05/01/09	647.80	497.35	150.45	
				1,728.68	1,280.21	448.47	
<b>Security Subtotal</b>							
LAN AIRLINES S A	5.0000	06/17/09	11/13/09	74.74	60.60	14.14	
	5.0000	06/18/09	11/13/09	74.75	61.52	13.23	
	20.0000	06/19/09	11/13/09	299.00	250.03	48.97	
				448.49	372.15	76.34	
<b>Security Subtotal</b>							
LINCOLN NTL CORP IND NPY	115.0000	11/13/08	05/06/09		1,581.60	1,580.59	1.01
LOWE'S COMPANIES INC	57.0000	01/29/09	07/17/09		1,165.05	1,110.28	54.77
METLIFE INC COM	58.0000	05/04/09	06/29/09		1,742.85	1,600.96	141.89


**Merrill Lynch**

HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

### **2009 CAPITAL GAIN AND LOSS TRANSACTIONS**

<b>Security Description</b>		<b>Quantity</b>	<b>Date Acquired</b>	<b>Date Liquidated</b>	<b>Amortization/Accretion</b>	<b>Year-to-Date</b>	<b>Sales Price</b>	<b>Cost Basis</b>	<b>Gain or (Loss)</b>
MARVELL TECHNOLOGY GROUP		23.0000	04/13/09	06/29/09			268.86	233.91	34.95
MATTEL INC	COM	51.0000	04/14/09	06/29/09			596.17	540.57	55.60
MEDTRONIC INC	COM	17.0000	11/04/08	08/20/09			865.03	774.48	90.55
MILLIPORE CORP		19.0000	02/25/09	06/29/09			300.60	260.98	39.62
NATIONAL-OILWELL VARCO		8.0000	05/20/09	06/29/09			659.10	649.64	9.46
NEXEN INC CANADA	COM	4.0000	05/22/09	06/29/09			283.47	253.44	30.03
NOBLE CORP NAMEN-AKT							850.41	782.22	68.19
NORFOLK SOUTHERN CORP							378.29	339.93	38.36
ON SEMICONDUCTOR CRP COM		10.0000	04/13/09	08/20/09			945.73	870.57	75.16
occidental PETE CORP CAL		25.0000	04/14/09	08/20/09			1,324.02	1,210.50	113.52
							392.56	243.18	149.38
							392.57	216.64	175.93
							785.13	459.82	325.31
							1,030.23	908.82	121.41
							838.61	746.55	92.06
							762.38	698.13	64.25
							1,600.99	1,444.68	156.31
							856.55	801.18	55.37
							397.25	332.29	64.96



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description		Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
PEARSON	SPONSORED ADR	18.0000	05/20/09	08/20/09	215.83	199.83	16.00	
		35.0000	05/15/09	08/20/09	419.65	371.99	47.66	
		5.0000	05/18/09	08/20/09	59.95	53.46	6.49	
		10.0000	05/19/09	08/20/09	119.90	110.12	9.78	
		6.0000	05/20/09	08/20/09	71.95	66.61	5.34	
		12.0000	06/17/09	08/20/09	143.91	120.64	23.27	
					1,031.19	922.65	108.54	
					653.91	469.70	184.21	
		6.0000	03/12/09	05/12/09	343.52	234.85	108.67	
		3.0000	03/12/09	06/29/09	997.43	704.55	292.88	
					247.97	167.10	80.87	
					206.64	106.11	100.53	
		6.0000	10/21/08	06/29/09	413.31	290.24	123.07	
		5.0000	10/24/08	06/29/09				
		10.0000	11/04/08	06/29/09				
					867.92	563.45	304.47	
					333.55	256.20	77.35	
					416.94	334.17	82.77	
		4.0000	03/18/09	06/29/09	750.49	590.37	160.12	
		5.0000	03/31/09	06/29/09				
					671.48	451.13	220.35	
					1,421.96	899.09	522.87	
					2,093.44	1,350.22	743.22	
					428.11	278.35	149.76	
PETRO CANADA		11.0000	11/04/08	06/29/09				
PRAXAIR INC		17.0000	03/16/09	06/29/09				
PROCTER & GAMBLE CO		25.0000	05/19/09	07/17/09				
QUEST DIAGNOSTICS INC		15.0000	02/26/09	06/29/09				
					840.72	729.89	110.83	





HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
RENAISSANCE HLDGS LTD	3.0000	10/23/08	08/20/09		157.28	119.82	37.46
	6.0000	11/04/08	08/20/09		314.59	269.10	45.49
					471.87	388.92	82.95
RED HAT INC							
	10.0000	01/09/09	06/03/09		197.46	151.01	46.45
	10.0000	01/09/09	06/04/09		199.32	151.01	48.31
	23.0000	01/09/09	06/29/09		468.72	347.34	121.38
	18.0000	01/29/09	06/29/09		366.83	267.12	99.71
					1,232.33	916.48	315.85
ROPER INDUSTRIES INC COM							
	5.0000	03/17/09	06/29/09		226.99	211.49	15.50
	12.0000	03/18/09	06/29/09		544.79	520.75	24.04
					771.78	732.24	39.54
SAP AKGSLTT SPONSORD ADR							
	4.0000	12/31/08	06/29/09		161.39	144.30	17.09
	5.0000	01/02/09	06/29/09		201.75	179.75	22.00
					363.14	324.05	39.09
SCHLUMBERGER LTD							
	16.0000	11/24/08	06/29/09		872.30	739.81	132.49
SILICONWARE PRECSN SPADR							
	53.0000	01/29/09	06/29/09		324.36	227.89	96.47
SALESFORCE COM INC							
	20.0000	05/29/09	06/29/09		777.18	749.43	27.75
SONY CORP ADR NEW							
	3.0000	10/21/08	04/09/09		77.82	76.92	0.90
	5.0000	10/23/08	04/16/09		124.80	116.61	8.19
					202.62	193.53	9.09
SOUTHWESTERN ENERGY CO							
	4.0000	03/27/09	05/20/09		164.23	127.72	36.51
	20.0000	03/27/09	06/29/09		784.57	638.60	145.97
					948.80	766.32	182.48
TJX COS INC NEW							
	10.0000	11/11/08	06/29/09		313.79	226.03	87.76



HON CAROLYN MCCARTHY

**2009 TAX REPORTING STATEMENT****2009 CAPITAL GAIN AND LOSS TRANSACTIONS**

Security Description		Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
TENARIS S A	ADR	14.0000	11/04/08	06/29/09		384.16	328.97	55.19
		2.0000	11/06/08	06/29/09		54.88	39.66	15.22
						439.04	368.63	70.41
TERRA INDUSTRIES INC	COM	21.0000	10/14/08	03/23/09		573.71	514.21	59.50
		4.0000	11/10/08	03/23/09		109.28	83.76	25.52
		7.0000	11/10/08	05/08/09		178.75	146.59	32.16
		4.0000	12/10/08	05/08/09		102.15	60.25	41.90
		16.0000	01/29/09	05/08/09		408.60	331.36	77.24
						1,372.49	1,136.17	236.32
TELENORTE LES PART SPADR		22.0000	11/12/08	10/16/09		427.02	261.51	165.51
TARGET CORP	COM	10.0000	03/18/09	04/15/09		379.36	307.92	71.44
		10.0000	03/18/09	05/13/09		405.24	307.92	97.32
		19.0000	03/18/09	05/29/09		739.57	585.06	154.51
		10.0000	03/26/09	05/29/09		389.25	340.45	48.80
						1,913.42	1,541.35	372.07
TURKCELL ILETISIM	ADR	5.0000	04/20/09	06/29/09		69.49	60.18	9.31
		9.0000	04/21/09	06/29/09		125.10	109.00	16.10
		13.0000	04/23/09	06/29/09		180.70	156.48	24.22
						375.29	325.66	49.63
3M COMPANY		25.0000	10/23/08	07/17/09		1,570.96	1,532.72	38.24
TIME WARNER INC SHS		55.0000	05/01/09	06/29/09		1,396.96	1,238.46	158.50
TORONTO DOMINION BANK		9.0000	03/09/09	06/29/09		474.28	241.18	233.10
		8.0000	03/20/09	06/29/09		421.59	270.06	151.53
						895.87	511.24	384.63
UNITED PARCEL SVC CL B		24.0000	03/27/09	07/17/09		1,252.76	1,201.78	50.98
UNION PACIFIC CORP		25.0000	04/03/09	06/29/09		1,313.21	1,148.53	164.68

 Merrill Lynch

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
UNITED TECHS CORP COM	30.0000	10/13/08	07/17/09		1,613.96	1,566.52	47.44
VERIZON COMMUNICATNS COM	5.0000	10/27/08	06/01/09	146.77	140.53	6.24	
	45.0000	10/27/08	06/29/09	1,409.36	1,264.80	144.56	
	10.0000	02/27/09	06/29/09	313.19	287.24	25.95	
	5.0000	03/02/09	06/29/09	156.60	137.65	18.95	
<b>Security Subtotal</b>				<b>2,025.92</b>	<b>1,830.22</b>		<b>195.70</b>
VOLVO AKTIEBOLAGET ADR	17.0000	11/13/08	02/17/09	84.33	78.45	5.88	
	61.0000	11/13/08	02/19/09	283.13	281.50	1.63	
<b>Security Subtotal</b>				<b>367.46</b>	<b>359.95</b>		<b>7.51</b>
XTO ENERGY INC	30.0000	01/06/09	10/16/09	1,328.07	1,229.18	98.89	
WALGREEN CO	50.0000	04/20/09	08/20/09	1,537.45	1,499.17	38.28	
YAMANA GOLD INC	22.0000	04/17/09	06/29/09	201.73	165.00	36.73	
	24.0000	04/20/09	06/29/09	220.07	186.42	33.65	
<b>Security Subtotal</b>				<b>421.80</b>	<b>351.42</b>		<b>70.38</b>
WYETH	37.0000	11/18/08	02/25/09	1,537.92	1,270.52	267.40	
<b>Short Term Capital Gains Subtotal</b>				<b>163,253.50</b>	<b>136,799.48</b>		<b>26,454.02</b>
<b>SHORT TERM CAPITAL LOSSES</b>							
ADOBESYS DEL PV\$ 0.001	10.0000	09/18/08	01/14/09	212.98	382.59	(169.61)	
AIR FRANCE KLM SPON ADR	8.0000	09/30/08	03/31/09	69.66	181.60	(111.94)	
	8.0000	10/14/08	03/31/09	69.67	159.40	(89.73)	
<b>Security Subtotal</b>				<b>139.33</b>	<b>341.00</b>		<b>(201.67)</b>

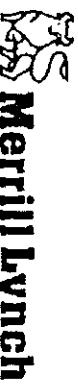


HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
AEGON N.V. NY REG SHS	5.0000	07/23/08	06/16/09	28.91	64.93	(36.02)	
	10.0000	07/23/08	06/17/09	55.03	129.87	(74.84)	
	16.0000	07/23/08	06/18/09	93.99	207.80	(113.81)	
				177.93	402.60	(224.67)	
APOLLO GROUP INC CL A	13.0000	01/16/09	03/26/09	1,007.66	1,142.42	(134.76)	
ANNALY CAP MGMT INC	55.0000	07/09/08	06/29/09	825.02	889.56	(64.54)	
ALCOA INC	38.0000	11/05/08	01/28/09	333.05	465.90	(132.85)	
	20.0000	11/05/08	02/06/09	169.04	245.21	(76.17)	
	25.0000	11/05/08	02/09/09	212.18	306.52	(94.34)	
				714.27	1,017.63	(303.36)	
ASTRAZENECA PLC SPND ADR	9.0000	07/23/08	06/29/09	402.73	416.47	(13.74)	
ALLIANT TECHSYSTEMS INC	7.0000	01/08/09	03/11/09	447.66	592.76	(145.10)	
ARCELORMITTAL SA,	8.0000	02/12/08	01/16/09	187.39	562.28	(374.89)	
	3.0000	04/15/08	01/16/09	70.28	254.18	(183.90)	
				257.67	816.46	(558.79)	
AUST NW Z B G ADR	5.0000	06/15/09	07/17/09	67.24	69.82	(2.58)	
	10.0000	06/16/09	07/17/09	134.50	134.66	(0.16)	
				201.74	204.48	(2.74)	
BANCOLOMBIA S.A SPDS ADR	10.0000	07/29/08	06/29/09	306.89	339.21	(32.32)	
	14.0000	07/30/08	06/29/09	429.64	490.27	(60.63)	
				736.53	829.48	(92.95)	
BANCO SANTANDER SA ADR	10.0000	02/14/08	01/16/09	79.52	173.23	(93.71)	
BRINKS CO	10.0000	09/09/08	02/11/09	280.61	381.64	(101.03)	
	6.0000	09/10/08	02/11/09	168.38	227.01	(58.63)	
				448.99	608.65	(159.66)	



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
BROADCOM CORP CALIF CL A	28.0000	07/11/08	01/09/09		469.93	783.61	(313.68)	
	17.0000	07/11/08	03/31/09		341.94	475.77	(133.83)	
	6.0000	07/25/08	03/31/09		120.68	139.31	(18.63)	
					<u>932.55</u>	<u>1,398.69</u>	<u>(466.14)</u>	
<b>BANK OF AMERICA CORP</b>	<b>11.0000</b>	<b>11/04/08</b>	<b>01/28/09</b>					
					80.06	268.11	(183.05)	
<b>BASF SE SPONSORED ADR</b>	<b>6.0000</b>	<b>08/01/08</b>	<b>07/17/09</b>					
					269.09	376.93	(107.84)	
<b>BNP PARIBAS SPONSORED ADR</b>	<b>2.0000</b>	<b>02/14/08</b>	<b>01/09/09</b>					
					46.85	90.33	(43.48)	
					<u>163.98</u>	<u>381.99</u>	<u>(218.01)</u>	
					<u>210.83</u>	<u>472.32</u>	<u>(261.49)</u>	
<b>BAXTER INTERNL INC</b>	<b>5.0000</b>	<b>09/16/08</b>	<b>07/17/09</b>					
					269.69	341.62	(71.93)	
<b>BECTON DICKINSON CO</b>	<b>4.0000</b>	<b>01/16/09</b>	<b>06/29/09</b>					
					285.03	289.14	(4.11)	
<b>BLACK AND DECKER CRP COM</b>	<b>6.0000</b>	<b>11/04/08</b>	<b>05/08/09</b>					
					212.73	309.71	(96.98)	
<b>BLOCK H&amp;R INC</b>	<b>40.0000</b>	<b>01/29/09</b>	<b>04/14/09</b>					
					632.44	849.09	(216.65)	
<b>BRISTOL-MYERS SQUIBB CO</b>	<b>71.0000</b>	<b>02/26/09</b>	<b>06/29/09</b>					
					1,452.62	1,463.05	(10.43)	
<b>CARDINAL HEALTH INC OHIO</b>	<b>5.0000</b>	<b>02/25/09</b>	<b>08/20/09</b>					
					173.29	176.88	(3.59)	
<b>CITRIX SYSTEMS INC COM</b>	<b>37.0000</b>	<b>01/29/09</b>	<b>01/30/09</b>					
					800.07	815.11	(15.04)	
<b>CANON INC ADR REP5SH</b>	<b>5.0000</b>	<b>07/22/08</b>	<b>05/15/09</b>					
					170.06	241.23	(71.17)	
					<u>442.17</u>	<u>634.12</u>	<u>(191.95)</u>	
					<u>612.23</u>	<u>875.35</u>	<u>(263.12)</u>	
<b>COSTCO WHOLESALE CRP DEL</b>	<b>25.0000</b>	<b>11/04/08</b>	<b>04/21/09</b>					
					1,117.54	1,386.95	(269.41)	
					<u>447.02</u>	<u>490.87</u>	<u>(43.85)</u>	
					<u>1,564.56</u>	<u>1,877.82</u>	<u>(313.26)</u>	
<b>Security Subtotal</b>	<b>10.0000</b>	<b>11/24/08</b>	<b>04/21/09</b>					



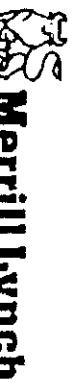
HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover or Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
CENTERPOINT ENERGY INC	70.0000	01/29/09	03/17/09		712.46	952.69	(240.23)
CATERPILLAR INC DEL	5.0000	11/04/08	05/26/09	176.13	205.01	(28.88)	
	7.0000	11/04/08	05/27/09	246.25	287.03	(40.78)	
	<b>Security Subtotal</b>			<b>422.38</b>	<b>492.04</b>	<b>(69.66)</b>	
CBS CORP NEW CL B	28.0000	11/06/08	02/26/09	130.60	244.40	(113.80)	
	64.0000	11/06/08	03/05/09	221.87	558.63	(336.76)	
	78.0000	01/29/09	03/05/09	270.42	472.62	(202.20)	
	<b>Security Subtotal</b>			<b>622.89</b>	<b>1,275.65</b>	<b>(652.76)</b>	
COVIDIEN LTD	5.0000	09/18/08	04/02/09	162.94	272.48	(109.54)	
	18.0000	09/18/08	04/03/09	569.77	980.96	(411.19)	
	<b>Security Subtotal</b>			<b>732.71</b>	<b>1,253.44</b>	<b>(520.73)</b>	
COVIDIEN PLC SHS	7.0000	09/18/08	08/20/09	280.69	381.49	(100.80)	
CHUBB CORP	20.0000	09/22/08	04/29/09	805.71	1,194.48	(388.77)	
	10.0000	09/22/08	04/30/09	396.54	597.25	(207.71)	
	<b>Security Subtotal</b>			<b>1,202.25</b>	<b>1,791.73</b>	<b>(589.48)</b>	
CHURCH&DWIGHT CO INC	4.0000	11/04/08	08/20/09	232.39	244.97	(12.58)	
DREAMWORKS ANIMATION INC	20.0000	10/14/08	06/11/09	570.74	580.60	(9.86)	
	8.0000	10/14/08	06/12/09	228.33	232.25	(3.92)	
	9.0000	11/05/08	06/12/09	256.89	260.42	(3.53)	
	<b>Security Subtotal</b>			<b>1,055.96</b>	<b>1,073.27</b>	<b>(17.31)</b>	
DIGITAL RLTY TR INC	10.0000	01/29/09	03/12/09	306.03	347.00	(40.97)	
DEUTSCHE BK AG REG SHS	5.0000	07/22/08	04/20/09	240.03	446.85	(206.82)	
	9.0000	07/22/08	04/21/09	428.21	804.35	(376.14)	
	<b>Security Subtotal</b>			<b>668.24</b>	<b>1,251.20</b>	<b>(582.96)</b>	
DEERE CO	30.0000	05/06/09	05/21/09	1,245.55	1,400.47	(154.92)	





HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

		Quantity	Date Acquired	Date Liquidated	Amortization/Accretion Cover of Short	Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
<b>Security Description</b>									
DEVRY INC DEL		10.0000	10/30/08		05/05/09		401.46	559.26	(157.80)
DOW CHEMICAL CO		33.0000	01/29/09		02/17/09		289.07	415.77	(126.70)
		82.0000	01/29/09		02/23/09		626.72	1,033.13	(406.41)
							915.79	1,448.90	(533.11)
EXXON MOBIL CORP	COM	21.0000	11/21/08		04/13/09		1,435.31	1,465.10	(29.79)
ENDO PHARMACEUTCLS HLDGS		10.0000	11/12/08		05/06/09		162.02	197.31	(35.29)
		25.0000	11/11/08		05/07/09		408.54	494.15	(85.61)
		5.0000	11/12/08		05/07/09		81.72	98.64	(16.92)
							652.28	790.10	(137.82)
F5 NETWORKS INC	COM	30.0000	11/24/08		03/16/09		610.17	705.75	(95.58)
FMC TECHS INC	COM	3.0000	09/12/08		01/23/09		82.59	147.51	(64.92)
		8.0000	11/07/08		01/23/09		220.26	263.37	(43.11)
							302.85	410.88	(108.03)
FOSTER WHEELER AG		10.0000	08/07/08		03/04/09		143.76	545.10	(401.34)
		11.0000	08/08/08		03/04/09		158.15	598.26	(440.11)
		5.0000	09/12/08		03/04/09		71.88	208.85	(136.97)
		10.0000	11/06/08		03/04/09		143.78	237.25	(93.47)
							517.57	1,589.46	(1,071.89)
GENERAL ELECTRIC		45.0000	09/18/08		04/16/09		531.93	1,042.51	(510.58)
		15.0000	09/22/08		04/16/09		177.32	403.46	(226.14)
		30.0000	09/22/08		04/20/09		342.04	806.94	(464.90)
		35.0000	01/29/09		04/20/09		399.06	449.33	(50.27)
							1,450.35	2,702.24	(1,251.89)
GAMESTOP CORP NEW	CLA	26.0000	03/11/08		03/06/09		582.32	1,192.04	(609.72)
		2.0000	09/26/08		03/06/09		44.79	70.71	(25.92)
		9.0000	11/04/08		03/06/09		201.59	249.42	(47.83)
							828.70	1,512.17	(683.47)



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired	Date Liquidated	Amortization/Accretion	Sales Price	Cost Basis	Gain or (Loss)
		Cover of Short	Short Sale	Year-to-Date			
GILEAD SCIENCES INC COM	16.0000	04/18/08	02/05/09		815.68	824.24	(8.56)
HARTFORD FINL SVCS GROUP	20.0000	05/22/08	01/12/09	307.08	1,413.12	(1,106.04)	
	5.0000	05/28/08	01/12/09	76.77	350.32	(273.55)	
	10.0000	05/30/08	01/12/09	153.54	712.47	(558.93)	
	2.0000	11/05/08	01/12/09	30.71	38.55	(7.84)	
	22.0000	11/05/08	02/17/09	220.29	424.12	(203.83)	
	71.0000	11/05/08	02/24/09	579.65	1,368.77	(789.12)	
				1,368.04	4,307.35	(2,939.31)	
HOST HOTELS & RESORTS							
	75.0000	02/19/08	01/13/09	494.28	1,262.98	(768.70)	
	10.0000	02/29/08	01/13/09	65.90	161.74	(95.84)	
	15.0000	03/03/08	01/13/09	98.87	251.12	(152.25)	
	20.0000	03/04/08	01/14/09	123.54	332.82	(209.28)	
	35.0000	03/03/08	01/14/09	216.17	585.95	(369.78)	
				998.76	2,594.61	(1,595.85)	
HSBC HLDG PLC SP ADR							
	4.0000	10/14/08	06/29/09	169.91	297.13	(127.22)	
	10.0000	01/09/09	06/29/09	424.78	482.16	(57.38)	
				594.69	779.29	(184.60)	
HEWITT ASSOCIATES INC							
	13.0000	02/12/08	01/09/09	374.32	503.91	(129.59)	
HESS CORP							
	20.0000	06/01/09	06/17/09	1,061.77	1,388.95	(327.18)	
HILL ROM HLDGS							
	10.0000	10/27/08	01/09/09	144.88	219.17	(74.29)	
	18.0000	10/28/08	01/09/09	260.79	395.58	(134.79)	
	7.0000	11/11/08	01/09/09	101.42	172.83	(71.41)	
	4.0000	11/11/08	01/12/09	56.96	98.76	(41.80)	
				564.05	886.34	(322.29)	
HEWLETT PACKARD CO DEL							
	7.0000	06/05/08	02/24/09	207.44	339.09	(131.65)	
	15.0000	06/05/08	05/08/09	509.37	726.62	(217.25)	
				716.81	1,065.71	(348.90)	





HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
INVESTM TECH GRP INC NEW	15.0000	04/01/09	05/15/09	302.15	389.65	(87.50)	
	5.0000	04/01/09	05/18/09	102.56	129.89	(27.33)	
	9.0000	04/02/09	05/18/09	<u>184.62</u>	<u>248.76</u>	<u>(64.14)</u>	<u>(178.97)</u>
<b>Security Subtotal</b>				<b>589.33</b>	<b>768.30</b>		
INGERSOLL RAND CO LTD A	9.0000	07/21/08	04/16/09	145.32	320.40	(175.08)	
	4.0000	07/21/08	06/29/09	<u>84.39</u>	<u>142.41</u>	<u>(58.02)</u>	<u>(233.10)</u>
<b>Security Subtotal</b>				<b>229.71</b>	<b>462.81</b>		
ING GP NV SPSPD ADR	15.0000	07/22/08	03/11/09	56.99	504.14	(447.15)	
	20.0000	07/23/08	03/12/09	82.19	692.35	(610.16)	
	3.0000	07/23/08	03/13/09	12.55	103.86	(91.31)	
	45.0000	1/11/08	03/13/09	<u>188.39</u>	<u>453.80</u>	<u>(265.41)</u>	<u>(1,414.03)</u>
<b>Security Subtotal</b>				<b>340.12</b>	<b>1,754.15</b>		
JUNIPER NETWORKS INC	36.0000	12/11/08	03/27/09	564.68	629.85	(65.17)	
	70.0000	01/21/09	04/07/09	<u>1,114.50</u>	<u>1,153.93</u>	<u>(39.43)</u>	<u>(104.60)</u>
<b>Security Subtotal</b>				<b>1,679.18</b>	<b>1,783.78</b>		
LINCOLN NTL CORP IND NPV	20.0000	09/18/08	05/06/09	275.05	881.86	(606.81)	
	15.0000	09/19/08	05/06/09	206.29	828.08	(621.79)	
	20.0000	09/26/08	05/06/09	<u>275.05</u>	<u>966.23</u>	<u>(691.18)</u>	<u>(1,919.78)</u>
<b>Security Subtotal</b>				<b>756.39</b>	<b>2,676.17</b>		
MONSANTO CO NEW DEL COM	5.0000	09/09/08	06/29/09	379.94	505.48	(125.54)	
MAGNA INTL INC CL A VTG	1.0000	07/24/08	05/15/09	31.42	60.83	(29.41)	
	11.0000	11/10/08	05/15/09	<u>345.73</u>	<u>364.61</u>	<u>(18.88)</u>	<u>(48.29)</u>
<b>Security Subtotal</b>				<b>377.15</b>	<b>425.44</b>		
MCDONALDS CORP COM	10.0000	07/09/08	02/04/09	581.51	593.32	(11.81)	
MEDTRONIC INC COM	21.0000	02/23/09	06/29/09	728.46	728.95	(0.49)	

HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

### **2009 CAPITAL GAIN AND LOSS TRANSACTIONS**

<b>Security Description</b>	<b>Quantity</b>	<b>Date Acquired</b>	<b>Date Liquidated</b>	<b>Amortization/Accretion</b>	<b>Sales Price</b>	<b>Cost Basis</b>	<b>Gain or (Loss)</b>
		Cover of Short	Short Sale	Year-to-Date	Life-to-Date		
NISSAN MTR LTD SPN ADR	39.0000	07/28/08	01/20/09	275.23	610.85	(335.62)	
	15.0000	07/28/08	01/28/09	95.56	234.95	(139.39)	
	27.0000	11/06/08	01/28/09	172.01	242.07	(70.06)	
				<b>542.80</b>	<b>1,087.87</b>	<b>(545.07)</b>	
ON SEMICONDUCTOR CRP COM	143.0000	09/16/08	02/26/09	519.25	1,017.37	(498.12)	
OMNICARE INC	10.0000	09/15/08	01/16/09	281.04	318.21	(37.17)	
	12.0000	09/16/08	01/16/09	337.25	379.19	(41.94)	
	11.0000	09/16/08	02/26/09	253.03	347.61	(94.58)	
	11.0000	11/06/08	02/26/09	253.04	285.86	(32.82)	
				<b>1,124.36</b>	<b>1,330.87</b>	<b>(206.51)</b>	
PLAINS EXPL AND PRODCTN	34.0000	02/18/09	03/31/09	594.72	693.55	(98.83)	
PNC FINCL SERVICES GROUP	20.0000	01/12/09	03/09/09	392.51	957.75	(565.24)	
	22.0000	01/20/09	03/11/09	549.38	597.68	(48.30)	
	24.0000	02/17/09	03/11/09	599.34	636.88	(37.54)	
				<b>1,541.23</b>	<b>2,192.31</b>	<b>(651.08)</b>	
PHILIP MORRIS INTL INC	20.0000	07/14/08	05/19/09	841.36	1,070.07	(228.71)	
PETRO CANADA	25.0000	07/23/08	05/14/09	914.89	1,169.79	(254.90)	
	9.0000	07/23/08	06/29/09	350.26	421.13	(70.87)	
				<b>1,265.15</b>	<b>1,590.92</b>	<b>(325.77)</b>	
PROCTER & GAMBLE CO	25.0000	09/18/08	03/24/09	1,183.48	1,804.25	(620.77)	
REPUBLIC SERVICES INC	28.0000	01/30/09	04/01/09	475.77	739.24	(263.47)	
RAYTHEON CO DELAWARE NEW	10.0000	03/24/08	02/09/09	480.65	635.09	(154.44)	
	2.0000	03/24/08	03/13/09	67.74	127.02	(59.28)	
	13.0000	03/25/08	03/13/09	440.37	837.56	(397.19)	
	12.0000	03/25/08	03/18/09	411.81	773.15	(361.34)	
				<b>1,400.57</b>	<b>2,372.82</b>	<b>(972.25)</b>	


**Merrill Lynch**

HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

### **2009 CAPITAL GAIN AND LOSS TRANSACTIONS**

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ST JUDE MEDICAL INC	18.0000	10/27/08	05/06/09	613.65	630.05	(16.40)	
	7.0000	11/04/08	05/06/09	238.64	269.67	(31.03)	
	5.0000	02/18/09	05/06/09	170.46	191.44	(20.98)	
	25.0000	02/18/09	05/28/09	937.01	957.25	(20.24)	
				<b>1,959.76</b>	<b>2,048.41</b>	<b>(88.65)</b>	
<b>SPX CORP COM</b>							
	13.0000	10/14/08	03/23/09				
				673.26	772.31	(99.05)	
<b>SUPERIOR ENERGY SVCS INC</b>							
	27.0000	05/19/08	03/26/09				
				452.24	1,479.84	(1,027.60)	
	12.0000	11/12/08	03/26/09	201.01	215.61	(14.60)	
				<b>653.25</b>	<b>1,695.45</b>	<b>(1,042.20)</b>	
<b>SUNOCO INC PV\$1 PA</b>							
	20.0000	11/18/08	02/17/09				
				715.27	754.13	(38.86)	
<b>SILICONWARE PRECSN SPADR</b>							
	39.0000	07/24/08	06/15/09				
				243.37	272.54	(29.17)	
	11.0000	07/25/08	06/15/09	68.65	76.60	(7.95)	
	24.0000	07/25/08	06/16/09	148.80	167.15	(18.35)	
	1.0000	07/28/08	06/16/09	6.20	6.92	(0.72)	
	34.0000	07/28/08	06/17/09	202.71	235.50	(32.79)	
	5.0000	07/28/08	06/29/09	30.59	34.64	(4.05)	
				<b>700.32</b>	<b>793.35</b>	<b>(93.03)</b>	
<b>SAIC INC</b>							
	22.0000	10/01/08	03/12/09				
				380.54	442.56	(62.02)	
	15.0000	11/12/08	03/12/09	259.47	277.72	(18.25)	
				<b>640.01</b>	<b>720.28</b>	<b>(80.27)</b>	
<b>SONY CORP ADR NEW</b>							
	2.0000	10/21/08	04/16/09				
				49.92	51.28	(1.36)	
<b>STAPLES INC</b>							
	25.0000	06/25/08	04/29/09				
				518.08	627.71	(109.63)	
<b>TENARIS SA ADR</b>							
	5.0000	10/02/08	06/29/09				
				137.19	170.06	(32.87)	
	5.0000	10/07/08	06/29/09	137.19	159.22	(22.03)	
				<b>274.38</b>	<b>329.28</b>	<b>(54.90)</b>	
<b>TERRA INDUSTRIES INC COM</b>							
	11.0000	10/14/08	01/16/09				
				223.75	269.34	(45.59)	

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HON CAROLYN McCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
3M COMPANY	5.0000	05/28/08	02/09/09	270.28	384.18	(113.90)	
	3.0000	05/28/08	02/10/09	159.87	230.52	(70.65)	
	17.0000	06/03/08	02/10/09	905.94	1,295.01	(389.07)	
				1,336.09	1,909.71	(573.62)	
URBAN OUTFITTERS INC							
	10.0000	02/12/08	01/07/09	139.90	300.42	(160.52)	
	18.0000	02/12/08	01/08/09	265.46	540.76	(275.30)	
				405.36	841.18	(435.82)	
UNITED PARCEL SVC CL B							
	10.0000	04/02/09	07/17/09	521.99	533.05	(11.06)	
VOTRNTM CEL PN SPSD ADR							
	10.0000	09/22/08	03/24/09	49.73	210.45	(160.72)	
	9.0000	09/26/08	03/25/09	45.19	149.71	(104.52)	
	8.0000	01/29/09	03/25/09	40.18	50.40	(10.22)	
	5.0000	01/29/09	04/03/09	28.22	31.50	(3.28)	
	25.0000	01/29/09	04/08/09	154.80	157.50	(2.70)	
				318.12	599.56	(281.44)	
WAL-MART STORES INC							
	9.0000	06/12/08	04/09/09	367.07	881.95	(514.88)	
	1.0000	06/24/08	04/09/09	453.87	535.52	(81.65)	
				50.44	57.64	(7.20)	
				504.31	593.16	(88.85)	
WALGREEN CO							
	10.0000	04/29/09	08/20/09	307.50	314.96	(7.46)	
WELLS FARGO & CO NEW DEL							
	13.0000	07/17/08	03/09/09	124.21	349.31	(225.10)	
	16.0000	07/17/08	03/09/09	152.89	442.34	(289.45)	
	24.0000	07/17/08	03/12/09	312.73	663.52	(350.79)	
	47.0000	01/29/09	03/12/09	612.44	935.68	(323.24)	
				1,202.27	2,390.85	(1,188.58)	
NET SHORT TERM CAPITAL GAIN (LOSS)				60,758.56	91,052.99	(30,294.43)	

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HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
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## LONG TERM CAPITAL GAINS

APPLE INC	2.0000	03/15/07	06/29/09	284.95	179.22	105.73	
	5.0000	03/24/08	06/29/09	712.38	697.08	15.30	
				997.33	876.30	121.03	
BAXTER INTERNL INC							
	1.0000	12/01/06	02/18/09	57.79	44.77	13.02	
	9.0000	03/15/07	02/18/09	520.13	461.61	58.52	
	16.0000	03/15/07	07/17/09	863.01	820.64	42.37	
				1,440.93	1,327.02	113.91	
BECTON DICKINSON CO							
	5.0000	03/24/06	06/29/09	356.29	315.26	41.03	
CANADIAN NATURAL RES LTD							
	37.0000	03/15/07	06/29/09	1,955.02	1,900.32	54.70	
CHEVRON CORP							
	14.0000	03/24/06	06/29/09	936.01	806.41	129.60	
CHURCH&DWIGHT CO INC							
	8.0000	01/10/08	08/20/09	464.78	448.04	16.74	
COLGATE PALMOLIVE							
	10.0000	08/02/07	06/29/09	721.78	672.16	49.62	
	10.0000	09/25/07	06/29/09	721.78	707.69	14.09	
				1,443.56	1,379.85	63.71	
DANAHER CORP DEL COM							
	1.0000	03/24/06	1/13/09	72.21	64.41	7.80	
	12.0000	03/15/07	1/13/09	866.62	850.92	15.70	
	5.0000	03/30/07	1/13/09	361.09	357.25	3.84	
	5.0000	04/02/07	1/13/09	361.09	356.56	4.53	
	5.0000	04/12/07	1/13/09	361.10	352.74	8.36	
				2,022.11	1,981.88	40.23	
DOLLAR TREE INC							
	8.0000	05/29/08	06/29/09	341.27	303.09	38.18	
R R DONNELLEY SONS							
	39.0000	11/07/08	12/17/09	857.98	605.59	252.39	



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
HEWLETT PACKARD CO DEL	23.0000	06/05/08	11/13/09		1,142.83	1,114.16	28.67
	15.0000	07/17/08	11/13/09		745.34	645.85	99.69
					1,888.17	1,759.81	128.36
MONSANTO CO NEW DEL COM	13.0000	03/15/07	06/29/09		987.84	683.16	304.68
MCDONALDS CORP COM	11.0000	03/15/07	02/04/09		639.66	478.18	161.48
OCCIDENTAL PETE CORP CAL	6.0000	03/15/07	03/24/09		353.93	276.00	77.93
	4.0000	09/19/07	06/29/09		264.83	261.54	3.29
	15.0000	01/25/08	06/29/09		993.12	872.18	20.94
					1,611.88	1,509.72	102.16
ORACLE CORP \$0.01 DEL	25.0000	08/24/07	06/29/09		541.80	497.52	44.28
	50.0000	10/19/07	06/29/09		1,083.60	1,056.68	26.92
	30.0000	04/01/08	06/29/09		650.17	606.17	44.00
					2,275.57	2,160.37	115.20
PETRILEO BRAS VLG SPD ADR	30.0000	07/05/07	06/29/09		1,239.86	971.82	268.04
	20.0000	07/12/07	06/29/09		826.58	669.50	157.08
					2,066.44	1,641.32	425.12
RENAISSANCE HLDGS LTD	5.0000	07/23/08	08/20/09		262.14	248.85	13.29
	11.0000	07/24/08	08/20/09		576.71	550.68	26.03
					838.85	799.53	39.32
TJX COS INC NEW	29.0000	03/15/07	06/29/09		909.99	756.62	153.37
TEVA PHARMACTCL IND\$ ADR	3.0000	03/23/07	06/29/09		147.05	112.13	34.92
	8.0000	04/10/07	06/29/09		392.15	303.48	88.67
					539.20	415.61	123.59
TRAVELERS COS INC	19.0000	03/24/06	08/20/09		906.27	788.69	117.58
V F CORPORATION	20.0000	03/24/06	08/20/09		1,326.36	1,117.60	208.76



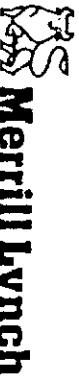
HON CAROLYN MCCARTHY

**2009 TAX REPORTING STATEMENT****2009 CAPITAL GAIN AND LOSS TRANSACTIONS**

Security Description	Quantity	Date Acquired	Date Liquidated	Amortization/Accretion	Sales Price	Cost Basis	Gain or (Loss)
		Cover of Short	Short Sale	Year-to-Date	Life-to-Date		
YUM BRANDS INC	25.0000	07/05/07	08/20/09		877.73	845.21	32.52
<b>Long Term Capital Gains Subtotal</b>					<b>25,683.24</b>	<b>22,899.58</b>	<b>2,783.66</b>

**LONG TERM CAPITAL LOSSES**

ALLSTATE CORP DEL COM	11.0000	03/24/06	01/12/09	329.81	585.09	(255.28)
ADOBE SYS DEL PV\$ 0.001	1.0000	03/22/07	01/14/09	21.29	42.96	(21.67)
	15.0000	03/30/07	01/14/09	319.45	624.44	(304.99)
	10.0000	04/02/07	01/14/09	212.97	414.11	(201.14)
	20.0000	04/18/07	01/14/09	425.94	859.36	(433.42)
<b>Security Subtotal</b>				<b>979.65</b>	<b>1,940.87</b>	<b>(961.22)</b>
AIRGAS INC COM	19.0000	03/15/07	02/11/09	705.75	784.32	(78.57)
	5.0000	06/05/07	02/11/09	185.73	212.25	(26.52)
<b>Security Subtotal</b>				<b>891.48</b>	<b>996.57</b>	<b>(105.09)</b>
AMERICAN TOWER CORP CL A	15.0000	07/19/07	04/30/09	493.00	669.09	(176.09)
	3.0000	07/19/07	10/16/09	118.10	133.83	(15.73)
	20.0000	09/25/07	10/16/09	787.38	845.81	(58.43)
	20.0000	09/08/08	10/16/09	787.38	793.11	(5.73)
<b>Security Subtotal</b>				<b>2,185.86</b>	<b>2,441.84</b>	<b>(255.98)</b>
ALCOA INC	35.0000	09/20/07	01/28/09	306.75	1,300.10	(993.35)
	40.0000	09/24/07	01/28/09	350.57	1,490.19	(1,139.62)
<b>Security Subtotal</b>				<b>657.32</b>	<b>2,790.29</b>	<b>(2,132.97)</b>
BANCO SANTANDER SA ADR	5.0000	10/29/07	01/16/09	39.75	105.06	(65.31)
	9.0000	01/09/08	01/16/09	71.56	180.37	(108.81)
<b>Security Subtotal</b>				<b>111.31</b>	<b>285.43</b>	<b>(174.12)</b>
BRITISH AMN TOBACO SPADR	11.0000	07/31/08	11/23/09	708.27	803.82	(95.55)



HON CAROLYN MCCARTHY

**2009 TAX REPORTING STATEMENT**

**2009 CAPITAL GAIN AND LOSS TRANSACTIONS**

Security Description	Quantity	Date Acquired Cover or Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
BARD C R INC	9.0000	10/06/08	11/23/09		728.17	801.84	(73.67)
	3.0000	11/04/08	11/23/09		242.73	263.82	(21.09)
					<b>970.90</b>	<b>1,065.66</b>	<b>(94.76)</b>
BP PLC	SPON ADR						
	1.0000	05/11/07	01/14/09		42.64	66.41	(23.77)
	3.0000	06/26/07	01/14/09		127.92	212.00	(84.08)
	4.0000	11/02/07	01/14/09		170.56	313.37	(142.81)
					<b>34.12</b>	<b>591.78</b>	<b>(250.66)</b>
BANK OF AMERICA CORP							
	15.0000	03/24/06	01/28/09		109.16	704.55	(595.39)
	25.0000	08/15/06	01/28/09		181.93	1,302.98	(1,121.05)
	22.0000	03/15/07	01/28/09		160.11	1,098.90	(933.79)
					<b>451.20</b>	<b>3,106.43</b>	<b>(2,655.23)</b>
BAXTER INTERNL INC							
	5.0000	03/15/07	06/03/09		240.95	256.45	(15.50)
BECTON DICKINSON CO							
	11.0000	03/15/07	06/29/09		783.84	819.94	(36.10)
	5.0000	08/31/07	06/29/09		356.29	385.35	(29.06)
					<b>1,140.13</b>	<b>1,205.29</b>	<b>(65.16)</b>
BLACK AND DECKER CRP COM							
	5.0000	07/30/07	05/06/09		194.08	429.65	(235.57)
	5.0000	07/30/07	05/06/09		188.92	429.66	(240.74)
	5.0000	07/31/07	05/06/09		188.92	437.26	(248.34)
	5.0000	08/02/07	05/07/09		184.76	444.78	(260.02)
	5.0000	08/02/07	05/08/09		177.26	444.79	(267.53)
	5.0000	08/03/07	05/08/09		177.27	445.07	(267.80)
					<b>1,111.21</b>	<b>2,631.21</b>	<b>(1,520.00)</b>
COCA COLA FEMSA SP ADR							
	5.0000	08/04/08	10/16/09		264.24	289.30	(25.06)
	9.0000	08/06/08	10/16/09		475.63	558.10	(82.47)
					<b>739.87</b>	<b>847.40</b>	<b>(107.53)</b>



HON CAROLYN MCCARTHY

**2009 TAX REPORTING STATEMENT**

**2009 CAPITAL GAIN AND LOSS TRANSACTIONS**

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
COPEL PARANA ADR PREF B	10.0000	07/29/08	10/16/09	188.09	202.73	(14.64)	
	20.0000	07/30/08	10/16/09	376.19	408.25	(32.06)	
	11.0000	07/31/08	10/16/09	<u>206.90</u>	<u>219.70</u>	<u>(12.80)</u>	
				<b>771.18</b>	<b>830.68</b>	<b>(59.50)</b>	
CHEVRON CORP	10.0000	03/15/07	06/29/09		668.58	690.10	(21.52)
COMPANHIA D SNMNTO BSCO	10.0000	08/04/08	09/14/09	371.09	498.56	(127.47)	
	13.0000	08/05/08	09/14/09	482.41	667.02	(184.61)	
	5.0000	09/08/08	09/14/09	185.54	201.60	(16.06)	
	5.0000	09/09/08	09/14/09	185.54	197.71	(12.17)	
	7.0000	09/11/08	09/14/09	<u>259.76</u>	<u>261.19</u>	<u>(1.43)</u>	
				<b>1,484.34</b>	<b>1,826.08</b>	<b>(341.74)</b>	
CATERPILLAR INC DEL	20.0000	12/03/07	05/26/09	704.49	1,449.21	(744.72)	
	5.0000	12/06/07	05/26/09	176.12	371.31	(195.19)	
	10.0000	12/07/07	05/26/09	<u>352.25</u>	<u>741.51</u>	<u>(389.26)</u>	
				<b>1,232.86</b>	<b>2,562.03</b>	<b>(1,329.17)</b>	
CBS CORP NEW CL B	24.0000	06/08/06	02/26/09	111.92	642.86	(530.94)	
	15.0000	06/27/06	02/26/09	69.95	397.06	(327.11)	
	25.0000	06/28/06	02/26/09	116.59	663.28	(546.69)	
	31.0000	03/15/07	02/26/09	<u>144.58</u>	<u>930.93</u>	<u>(786.35)</u>	
				<b>443.04</b>	<b>2,634.13</b>	<b>(2,191.09)</b>	
COLGATE PALMOLIVE	7.0000	03/15/07	03/18/09	404.80	456.26	(51.46)	
	5.0000	03/15/07	05/19/09	<u>321.54</u>	<u>325.91</u>	<u>(4.37)</u>	
				<b>726.34</b>	<b>782.17</b>	<b>(55.83)</b>	
R R DONNELLEY SONS	11.0000	03/24/06	12/17/09	241.99	351.02	(109.03)	
	15.0000	05/08/06	12/17/09	329.99	501.73	(171.74)	
	15.0000	05/19/06	12/17/09	329.99	503.85	(173.86)	
	19.0000	03/15/07	12/17/09	418.00	684.56	(266.56)	
	10.0000	03/15/07	12/17/09	<u>219.99</u>	<u>360.31</u>	<u>(140.32)</u>	
				<b>1,539.96</b>	<b>2,401.47</b>	<b>(861.51)</b>	



HON CAROLYN McCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Sales Price	Cost Basis	Gain or (Loss)
DOW CHEMICAL CO	38.0000	03/24/06	02/02/09		429.13	1,606.26	(1,177.13)
	30.0000	02/15/07	02/02/09		338.78	1,301.12	(962.34)
	39.0000	03/15/07	02/02/09		440.43	1,786.98	(1,346.55)
	25.0000	03/15/07	02/17/09		218.98	1,145.50	(926.52)
	<b>Security Subtotal</b>				<b>1,427.32</b>	<b>5,839.86</b>	<b>(4,412.54)</b>
EXELON CORPORATION	3.0000	03/24/06	04/30/09		137.85	164.83	(26.98)
	24.0000	03/15/07	04/30/09		1,102.82	1,582.32	(479.50)
	<b>Security Subtotal</b>				<b>1,240.67</b>	<b>1,747.15</b>	<b>(506.48)</b>
FPL GROUP INC	15.0000	10/16/07	01/06/09		768.07	950.90	(182.83)
	15.0000	10/17/07	01/06/09		768.07	951.47	(183.40)
	<b>Security Subtotal</b>				<b>1,536.14</b>	<b>1,902.37</b>	<b>(366.23)</b>
FLOWSERVE CORP	4.0000	12/14/07	06/02/09		314.35	402.31	(87.96)
	3.0000	12/14/07	08/20/09		267.14	301.75	(34.61)
	<b>Security Subtotal</b>				<b>581.49</b>	<b>704.06</b>	<b>(122.57)</b>
FMC TECHS INC COM	10.0000	11/05/07	01/23/09		275.31	570.10	(294.79)
	8.0000	11/16/07	01/23/09		220.25	418.31	(198.06)
	<b>Security Subtotal</b>				<b>495.56</b>	<b>988.41</b>	<b>(492.85)</b>
HEWITT ASSOCIATES INC	10.0000	02/12/08	05/29/09		288.54	387.62	(99.08)
	3.0000	02/12/08	06/01/09		86.50	116.29	(29.79)
	<b>Security Subtotal</b>				<b>375.04</b>	<b>503.91</b>	<b>(128.87)</b>
INTEL CORP	60.0000	01/31/08	02/20/09		766.16	1,246.33	(480.17)
	3.0000	02/04/08	02/20/09		38.31	64.20	(25.89)
	10.0000	02/04/08	02/24/09		126.67	214.02	(87.35)
	47.0000	02/04/08	02/25/09		600.76	1,005.92	(405.16)
	<b>Security Subtotal</b>				<b>1,531.90</b>	<b>2,530.47</b>	<b>(998.57)</b>
INTL BUSINESS MACHINES	15.0000	06/24/08	08/20/09		1,781.65	1,853.32	(71.67)
	5.0000	07/08/08	08/20/09		593.88	616.37	(22.49)
	<b>Security Subtotal</b>				<b>2,375.53</b>	<b>2,469.69</b>	<b>(94.16)</b>

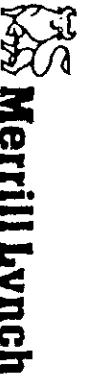


HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
JPMORGAN CHASE & CO	43.0000	09/19/08	11/13/09			1,823.60	1,956.68	(133.08)
JOHNSON AND JOHNSON COM	1.0000	06/20/06	02/27/09	50.55	61.62	(11.07)		
	22.0000	03/15/07	02/27/09	1,112.21	1,331.88	(219.67)		
				1,162.76	1,393.50	(230.74)		
<b>Security Subtotal</b>								
LOCKHEED MARTIN CORP	5.0000	08/27/07	02/06/09			395.63	505.64	(110.01)
LAFARGE ADR NEW	5.0000	01/24/07	01/15/09	67.63	190.58	(122.95)		
	20.0000	03/15/07	01/15/09	270.52	743.20	(472.68)		
				338.15	933.78	(595.63)		
<b>Security Subtotal</b>								
LINCOLN NTL CORP IND NPV	17.0000	03/15/07	03/04/09	111.46	1,124.21	(1,012.75)		
	23.0000	03/15/07	05/06/09	316.31	1,520.99	(1,204.68)		
				427.77	2,645.20	(2,217.43)		
<b>Security Subtotal</b>								
MATTEL INC COM	101.0000	03/24/06	08/20/09	1,785.91	1,819.02	(33.11)		
NORTHN TRUST CORP	5.0000	10/08/07	03/24/09	317.66	354.73	(37.07)		
	2.0000	10/08/07	05/06/09	107.53	141.90	(34.37)		
	20.0000	10/10/07	05/06/09	1,075.36	1,443.59	(368.23)		
				1,500.55	1,940.22	(439.67)		
<b>Security Subtotal</b>								
OCCIDENTAL PETE CORP CAL	10.0000	09/18/07	03/24/09	589.89	638.80	(48.91)		
	4.0000	09/19/07	03/24/09	235.97	261.53	(25.56)		
	12.0000	09/19/07	03/27/09	697.16	784.59	(87.43)		
	5.0000	09/19/07	06/17/09	313.44	326.92	(13.48)		
				1,836.46	2,011.84	(175.38)		
<b>Security Subtotal</b>								
PEARSON SPONSORED ADR	5.0000	07/30/08	08/20/09	59.94	63.74	(3.80)		
	5.0000	07/31/08	08/20/09	59.94	64.90	(4.96)		
	22.0000	08/01/08	08/20/09	263.77	287.16	(23.39)		
				383.65	415.80	(32.15)		
<b>Security Subtotal</b>								
PETROLEO BRAS VTB SPD ADR	4.0000	11/05/07	06/29/09	165.31	179.13	(13.82)		



HON CAROLYN McCARTHY

2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description		Quantity	Date Acquired	Date Liquidated	Amortization/Accretion	Sales Price	Cost Basis	Gain or (Loss)
		Cover of Short	Short Sale	Year-to-Date				
QUALCOMM INC		5.0000	02/19/08	05/08/09		207.63	214.07	(6.44)
SCHLUMBERGER LTD		5.0000	02/06/07	05/06/09	280.47	325.40	(44.93)	
		10.0000	03/15/07	05/06/09	560.97	642.30	(81.33)	
		13.0000	03/15/07	06/29/09	708.73	834.99	(126.26)	
	<b>Security Subtotal</b>				<b>1,550.17</b>	<b>1,802.69</b>		<b>(252.52)</b>
TELENORTE LES PART SPADR		20.0000	07/24/08	10/16/09	388.18	447.42	(59.24)	
		15.0000	07/25/08	10/16/09	291.14	331.76	(40.62)	
	<b>Security Subtotal</b>				<b>679.32</b>	<b>779.18</b>		<b>(99.86)</b>
TEEKAY CORP		10.0000	07/25/08	11/23/09	250.89	427.97	(177.08)	
3M COMPANY		3.0000	06/03/08	07/17/09	188.51	228.54	(40.03)	
TRAVELLERS COS INC		23.0000	03/15/07	08/20/09	1,097.08	1,143.79	(46.71)	
UNITED UTILS GROUP ADR		14.0000	07/30/08	11/23/09	221.47	387.44	(165.97)	
V F CORPORATION		13.0000	03/15/07	08/20/09	862.14	1,054.69	(192.55)	
VERIZON COMMUNICATNS COM		2.0000	03/24/06	06/01/09	58.70	66.74	(8.04)	
		31.0000	03/15/07	06/01/09	909.95	1,115.46	(205.51)	
	<b>Security Subtotal</b>				<b>968.65</b>	<b>1,182.20</b>		<b>(213.55)</b>
WHIRLPOOL CORP		13.0000	12/12/07	04/08/09	407.27	1,037.33	(680.06)	
		2.0000	12/12/07	04/17/09	73.56	167.29	(93.73)	
		5.0000	12/17/07	04/17/09	183.91	403.57	(219.66)	
		5.0000	12/19/07	04/17/09	183.91	404.18	(220.27)	
		10.0000	12/20/07	04/17/09	367.85	809.43	(441.58)	
	<b>Security Subtotal</b>				<b>1,216.50</b>	<b>2,871.80</b>		<b>(1,655.30)</b>



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Cover of Short	Short Sale	Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
XEROX CORP								
	30.0000	06/27/08	11/23/09	237.60	403.24	(165.64)		
	55.0000	06/30/08	11/23/09	435.61	749.21	(313.60)		
	10.0000	07/03/08	11/23/09	79.20	134.39	(55.19)		
	10.0000	07/07/08	11/23/09	79.20	134.67	(55.47)		
	65.0000	07/08/08	11/23/09	514.82	880.80	(365.98)		
	56.0000	11/04/08	11/23/09	443.55	458.66	(15.11)		
<b>Security Subtotal</b>				<b>1,789.98</b>	<b>2,760.97</b>			<b>(970.99)</b>

WYETH				
11.0000	11/09/07	01/12/09	407.33	503.03
19.0000	11/09/07	02/19/09	844.57	868.87
8.0000	11/13/07	02/19/09	342.98	373.58
17.0000	11/13/07	02/23/09	714.48	793.88
<b>Security Subtotal</b>			<b>2,279.36</b>	<b>2,539.36</b>
				(260.00)

NET LONG TERM CAPITAL GAIN (LOSSES)

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## OTHER TRANSACTIONS

<b>HSBC HOLDINGS PLC RTS</b>	23.0000	04/14/09	04/14/09	4.96	N/A	N/A
<b>CEMEX SAB DE CV SPND ADR</b>	65.0000	06/17/09	06/17/09	6.42	N/A	N/A
<b>HARRIS STRATEX NETWORKS</b>	37.0000	06/04/09	06/04/09	0.93	N/A	N/A
<b>Other Transactions Subtotal</b>				12.31		

(25,968.99)

TOTAL CAPITAL GAINS AND LOSSES  
TOTAL REPORTABLE GROSS PROCEEDS  
DIFFERENCE

298,244.41	328,041.50	(29,809.40)
298,244.41		
0.00 *		



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
ABBOTT LABS	44	02/17/09	Dividend	15.84	15.84	
	44	05/15/09	Dividend	17.60	17.60	
	44	08/17/09	Dividend	17.60	17.60	
	44	11/16/09	Dividend	17.60	17.60	
			<b>Security Subtotal</b>	<b>68.64</b>	<b>68.64</b>	
ALLSTATE CORP DEL COM	67	01/02/09	Dividend	27.47	27.47	
	56	04/01/09	Dividend	11.20	11.20	
	56	07/01/09	Dividend	11.20	11.20	
	56	10/01/09	Dividend	11.20	11.20	
			<b>Security Subtotal</b>	<b>61.07</b>	<b>61.07</b>	
AGRIUM INC	20	01/20/09	Foreign Dividend	1.10	1.10	
		01/20/09	Foreign Tax	(0.17)	0.00	NON-RECLAMABLE TAX PAY DATE 01/15/2009
	20	07/02/09	Foreign Dividend	1.10	1.10	
		07/09/09	Foreign Tax	(0.17)	0.00	ADJ PAY DATE 07/02/09
			<b>Security Subtotal</b>	<b>1.86</b>	<b>2.20</b>	
ALTRIA GROUP INC	94	01/09/09	Dividend	30.08	30.08	
	158	04/13/09	Dividend	50.56	50.56	
	191	07/10/09	Dividend	61.12	61.12	
	191	10/09/09	Dividend	64.94	64.94	
			<b>Security Subtotal</b>	<b>206.70</b>	<b>206.70</b>	
AXA -SPONS ADR	60	05/29/09	Foreign Dividend	32.57	32.57	
		05/29/09	Foreign Tax	(4.89)	0.00	NON-RECLAMABLE TAX PAY DATE 05/29/2009
			<b>Security Subtotal</b>	<b>27.68</b>	<b>32.57</b>	
AMPHENOL CORP CL A NEW	27	07/01/09	Dividend	0.41	0.41	
ANNALLY CAP MGMT INC	204	04/29/09	Dividend	102.00	0.00	
	204	07/29/09	Dividend	122.40	0.00	
			<b>Security Subtotal</b>	<b>224.40</b>	<b>0.00</b>	



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
AMEREN CORP	50	03/31/09	Dividend	19.25	19.25	
	50	06/30/09	Dividend	19.25	19.25	
	50	09/30/09	Dividend	19.25	19.25	
	50	12/31/09	Dividend	19.25	19.25	
			<b>Security Subtotal</b>	<b>77.00</b>		
AXIS CAPITAL HOLDINGS LTD	23	04/15/09 07/15/09	Foreign Dividend Foreign Dividend	4.60 4.60	4.60 4.60	
			<b>Security Subtotal</b>	<b>9.20</b>		
ALCOA INC	45	02/25/09	Dividend	7.65	7.65	
ASTRAZENECA PLC SPND ADR	23	03/16/09	Foreign Dividend	34.50	34.50	
ALTERA CORP	48	06/01/09	Dividend	2.40	2.40	
AETNA INC NEW	71	11/30/09	Dividend	2.84	2.84	
AT& T INC	74	02/02/09	Dividend	30.34	30.34	
	74	05/01/09	Dividend	30.34	30.34	
	74	08/03/09	Dividend	30.34	30.34	
	74	11/02/09	Dividend	30.34	30.34	
			<b>Security Subtotal</b>	<b>121.36</b>		
BANCOLOMBIA S.A SPDS ADR	40	01/14/09	Foreign Dividend	9.52	9.52	
	40	04/16/09	Foreign Dividend	9.79	9.79	
	40	07/14/09	Foreign Dividend	11.46	11.46	
			<b>Security Subtotal</b>	<b>30.77</b>		
BRITISH AMN TOBACO SPADR	11	05/11/09 10/02/09	Foreign Dividend Foreign Dividend	20.41 9.77	20.41 9.77	
			<b>Security Subtotal</b>	<b>30.18</b>		
BRINKS CO	16	03/02/09	Dividend	1.60	1.60	





HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
BARD C R INC	12	02/06/09	Dividend	1.92	1.92	
	12	05/08/09	Dividend	1.92	1.92	
	12	07/31/09	Dividend	2.04	2.04	
	12	11/06/09	Dividend	2.04	2.04	
			<b>Security Subtotal</b>	<b>7.92</b>	<b>7.92</b>	
BASF SE SPONSORED ADR	23	05/14/09 05/14/09	Foreign Dividend Foreign Tax	59.70 (15.75)	59.70 0.00	PAY DATE 05/14/2009
			<b>Security Subtotal</b>	<b>43.95</b>	<b>59.70</b>	
BHP BILLITON LTD ADR	25	03/17/09	Foreign Dividend	20.50	20.50	
BAXTER INTERNL INC	36	01/06/09	Dividend	9.36	9.36	
	26	04/01/09	Dividend	6.76	6.76	
	26	07/01/09	Dividend	6.76	6.76	
			<b>Security Subtotal</b>	<b>22.88</b>	<b>22.88</b>	
BECTON DICKINSON CO	21	01/02/09	Dividend	6.93	6.93	
	25	03/31/09	Dividend	8.25	8.25	
	49	06/30/09	Dividend	16.17	16.17	
			<b>Security Subtotal</b>	<b>31.35</b>	<b>31.35</b>	
BLACK AND DECKER CRP COM	36	03/27/09	Dividend	15.12	15.12	
BLOCK H&R INC	40	04/01/09	Dividend	6.00	6.00	
BOEING COMPANY	76	03/06/09	Dividend	31.92	31.92	
	76	06/05/09	Dividend	31.92	31.92	
	76	09/04/09	Dividend	31.92	31.92	
	76	12/04/09	Dividend	31.92	31.92	
			<b>Security Subtotal</b>	<b>127.68</b>	<b>127.68</b>	
BRISTOL-MYERS SQUIBB CO	71	05/01/09	Dividend	22.01	22.01	
COCA COLA FEMSA SP ADR	26	04/23/09	Foreign Dividend	14.26	14.26	



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
CARDINAL HEALTH INC OHIO LTD	41 41	04/15/09 07/15/09	Dividend Dividend	5.74 7.18	5.74 7.18	
CANADIAN PACIFIC RAILWAY	13	01/26/09 01/26/09	Foreign Dividend Foreign Tax	2.63 (0.39)	2.63 0.00	NON-RECLAMABLE TAX PAY DATE 01/26/2009
	13	04/27/09 04/27/09	Foreign Dividend Foreign Tax	2.65 (0.40)	2.65 0.00	NON-RECLAMABLE TAX PAY DATE 04/27/2009
	13	07/28/09 07/28/09	Foreign Dividend Foreign Tax	2.96 (0.44)	2.96 0.00	NON-RECLAMABLE TAX PAY DATE 07/27/2009
	13	10/26/09 10/26/09	Foreign Dividend Foreign Tax	3.04 (0.46)	3.04 0.00	NON-RECLAMABLE TAX PAY DATE 10/26/2009
			Security Subtotal	9.59	11.28	
COPEL PARANA ADR PREF B	70 70	06/08/09 06/09/09 06/09/09	Foreign Dividend Foreign Dividend Foreign Tax	4.55 30.69 (4.60)	4.55 30.69 0.00	NON-RECLAMABLE TAX PAY DATE 06/09/2009
			Security Subtotal	30.64	35.24	
CANADIAN NATURAL RES LTD	37	01/02/09 01/02/09	Foreign Dividend Foreign Tax	3.06 (0.46)	3.06 0.00	NON-RECLAMABLE TAX PAY DATE 01/01/2009
	37	04/01/09 04/01/09	Foreign Dividend Foreign Tax	3.06 (0.46)	3.06 0.00	NON-RECLAIMABLE TAX PAY DATE 04/01/2009
	37	07/02/09 07/02/09	Foreign Dividend Foreign Tax	3.34 (0.50)	3.34 0.00	NON-RECLAMABLE TAX PAY DATE 07/01/2009
			Security Subtotal	8.04	9.46	

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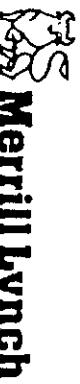


HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
CANON INC ADR REP5SH	18	04/06/09 04/06/09	Foreign Dividend Foreign Tax	10.16 (0.71)	10.16 0.00	NON-RECLAMABLE TAX PAY DATE 04/06/2009
COSTCO WHOLESALE CRP DEL	35	02/27/09	Dividend	5.60	5.60	
CHEVRON CORP	24	03/10/09	Dividend	15.60	15.60	
	24	06/10/09	Dividend	15.60	15.60	
			<b>Security Subtotal</b>	<b>31.20</b>		
CENTERPOINT ENERGY INC	70	03/10/09	Dividend	13.30	13.30	
COMPANHIA D SNMNTO BSCO D ESTDO SAO PAULO ADR	23	07/06/09 07/06/09	Foreign Dividend Foreign Tax	20.60 (3.09)	20.60 0.00	NON-RECLAMABLE TAX PAY DATE 07/06/2009
	68	07/07/09 07/07/09	Foreign Dividend Foreign Tax	29.07 (4.36)	29.07 0.00	NON-RECLAMABLE TAX PAY DATE 07/07/2009
			<b>Security Subtotal</b>	<b>42.22</b>		
CONOCOPHILLIPS	38	03/02/09	Dividend	17.86	17.86	
	38	06/01/09	Dividend	17.86	17.86	
	38	09/01/09	Dividend	17.86	17.86	
	38	12/01/09	Dividend	19.00	19.00	
			<b>Security Subtotal</b>	<b>72.58</b>		
CATERPILLAR INC DEL	47	02/20/09 05/20/09	Dividend Dividend	19.74 19.74	19.74 19.74	
			<b>Security Subtotal</b>	<b>39.48</b>		
C.H. ROBINSON WORLDWIDE, INC. NEW	16	07/01/09	Dividend	3.84	3.84	
CBS CORP NEW CL B	187	01/02/09	Dividend	50.49	50.49	
CA INC	63	06/16/09	Dividend	2.52	2.52	



HON CAROLYN McCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
COVIDIEN LTD	30	02/27/09	Foreign Dividend	4.80	4.80	
	30	05/04/09	Foreign Dividend	4.80	4.80	
			<b>Security Subtotal</b>	<b>9.60</b>	<b>9.60</b>	
CME GROUP INC	4	06/25/09	Dividend	4.60	4.60	
COVIDIEN PLC SHS	55	08/25/09	Foreign Dividend	8.80	8.80	
CENOVUS ENERGY INC	35	12/31/09	Foreign Dividend	7.00	7.00	
		12/31/09	Foreign Tax	(1.05)	0.00	NON-RECLAIMABLE TAX PAY DATE 12/31/2009
			<b>Security Subtotal</b>	<b>5.95</b>	<b>7.00</b>	
CHUBB CORP	30	01/13/09	Dividend	9.90	9.90	
	30	04/07/09	Dividend	10.50	10.50	
			<b>Security Subtotal</b>	<b>20.40</b>	<b>20.40</b>	
CHURCH&DWIGHT CO INC	12	03/02/09	Dividend	1.08	1.08	
	15	06/01/09	Dividend	1.35	1.35	
	15	09/01/09	Dividend	2.10	2.10	
			<b>Security Subtotal</b>	<b>4.53</b>	<b>4.53</b>	
COCA COLA COM	38	04/01/09	Dividend	15.58	15.58	
	38	07/01/09	Dividend	15.58	15.58	
	38	10/01/09	Dividend	15.58	15.58	
	38	12/15/09	Dividend	15.58	15.58	
			<b>Security Subtotal</b>	<b>62.32</b>	<b>62.32</b>	
COLGATE PALMOLIVE	32	02/13/09	Dividend	12.80	12.80	
	25	05/15/09	Dividend	11.00	11.00	
			<b>Security Subtotal</b>	<b>23.80</b>	<b>23.80</b>	
CUMMINS INC	35	06/01/09	Dividend	6.13	6.13	
DUN & BRADSTREET COR-NEW	11	03/20/09	Dividend	3.74	3.74	
	11	06/15/09	Dividend	3.74	3.74	
			<b>Security Subtotal</b>	<b>7.48</b>	<b>7.48</b>	



HON CAROLYN MCCARTHY

**2009 TAX REPORTING STATEMENT**

**2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS**

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
DIAMOND OFFSHORE DRLLNG	27	03/02/09	Dividend	3.38	3.38	
	27	03/02/09	Dividend	50.63	50.63	
	27	06/01/09	Dividend	3.38	3.38	
	27	06/01/09	Dividend	50.63	50.63	
	27	09/01/09	Dividend	3.38	3.38	
	27	09/01/09	Dividend	50.63	50.63	
	27	12/01/09	Dividend	3.38	3.38	
	27	12/01/09	Dividend	50.63	50.63	
			<b>Security Subtotal</b>	<b>216.04</b>	<b>216.04</b>	
DANAHER CORP DEL COM	28	01/30/09	Dividend	0.84	0.84	
	28	04/24/09	Dividend	0.84	0.84	
	28	07/31/09	Dividend	0.84	0.84	
	28	10/30/09	Dividend	0.84	0.84	
			<b>Security Subtotal</b>	<b>3.36</b>	<b>3.36</b>	
DIAGEO PLC SPSD ADR NEW	9	04/14/09	Foreign Dividend	7.37	7.37	
	9	10/23/09	Foreign Dividend	13.01	13.01	
			<b>Security Subtotal</b>	<b>20.38</b>	<b>20.38</b>	
DIGITAL RLTY TR INC	32	03/31/09	Dividend	10.56	0.00	
DELHAIZE GROUP SPONS ADR	14	06/09/09	Foreign Dividend	29.20	29.20	
		06/09/09	Foreign Tax	(7.30)	0.00	
			<b>Security Subtotal</b>	<b>21.90</b>	<b>29.20</b>	
DEVRY INC DEL	10	01/09/09	Dividend	0.80	0.80	
R R DONNELLEY SONS	109	03/02/09	Dividend	28.34	28.34	
	160	06/01/09	Dividend	41.60	41.60	
	160	09/01/09	Dividend	41.60	41.60	
	160	12/01/09	Dividend	41.60	41.60	
			<b>Security Subtotal</b>	<b>153.14</b>	<b>153.14</b>	
DOW CHEMICAL CO	132	01/30/09	Dividend	55.44	55.44	

PAY DATE 06/09/2009  
NON-RECLAIMABLE TAX


**Merrill Lynch**

HON CAROLYN MCCARTHY

**2009 TAX REPORTING STATEMENT****2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS**

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
EDISON INTL CALIF	47	04/30/09	Dividend	14.57	14.57	
	47	07/31/09	Dividend	14.57	14.57	
			<b>Security Subtotal</b>	<b>29.14</b>		<b>29.14</b>
EXXON MOBIL CORP COM	21	03/10/09	Dividend	8.40	8.40	
EXELON CORPORATION	27	03/10/09	Dividend	14.18	14.18	
ENCANA CORP	35	06/30/09 06/30/09	Foreign Dividend Foreign Tax	14.00 (2.10)	14.00 0.00	NON-RECLAMABLE TAX PAY DATE 06/30/2009
	35	07/01/09	Foreign Dividend	14.00	14.00	0.00
		07/01/09	Foreign Tax	(2.10)		NON-RECLAMABLE TAX PAY DATE 06/30/2009
	35	09/30/09 09/30/09	Foreign Dividend Foreign Tax	14.00 (2.10)	14.00 0.00	NON-RECLAMABLE TAX PAY DATE 09/30/2009
	35	12/31/09 12/31/09	Foreign Dividend Foreign Tax	7.00 (1.05)	7.00 0.00	NON-RECLAMABLE TAX PAY DATE 12/31/2009
			<b>Security Subtotal</b>	<b>41.65</b>		<b>49.00</b>
EMBARQ CORP	39	03/31/09 06/30/09	Dividend Dividend	26.81 26.81	26.81 26.81	
	39			<b>53.62</b>		<b>53.62</b>
FMC CORP COM NEW	16	07/16/09	Dividend	2.00	2.00	
	16	03/17/09	Dividend	2.88	2.88	
FACTSET RESH SYS INC	15	01/07/09	Dividend	3.75	3.75	
FLOWSERVE CORP	15	04/08/09	Dividend	4.05	4.05	
	11	07/08/09	Dividend	2.97	2.97	
			<b>Security Subtotal</b>	<b>10.77</b>		<b>10.77</b>

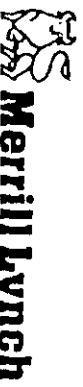


HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
FRANCE TELECOM ADR	36	07/22/09 07/22/09	Foreign Dividend Foreign Tax	20.17 (3.03)	20.17 0.00	NON-RECLAMABLE TAX PAY DATE 07/20/2009
ISHARES MSCI SPAIN INDEX FUND	36	07/29/09 07/29/09	Foreign Dividend Foreign Tax	20.17 (3.03)	20.17 0.00	NON-RECLAMABLE TAX PAY DATE 07/21/2009
ISHARES MSCI ITALY INDEX FUND	36	09/24/09 09/24/09	Foreign Dividend Foreign Tax	30.70 (4.61)	30.70 0.00	NON-RECLAMABLE TAX PAY DATE 09/24/2009
<b>Security Subtotal</b>				<b>60.37</b>	<b>71.04</b>	
ISHARES MSCI FRANCE INDX FUND	50	12/31/09 12/31/09	Foreign Dividend Foreign Tax	27.05 (5.18)	27.05 0.00	PAY DATE 12/31/2009
<b>Security Subtotal</b>				<b>21.87</b>	<b>27.05</b>	
ISHARES S&P 500 VALUE INDEX FUND	100	12/31/09 12/31/09	Foreign Dividend Foreign Tax	70.84 (9.74)	65.96 0.00	PAY DATE 12/31/2009
<b>Security Subtotal</b>				<b>61.10</b>	<b>65.96</b>	
POWERSHARES DYN OIL AND GAS SERVICES	150	09/30/09	Dividend	14.59 (2.45)	14.59 0.00	PAY DATE 12/31/2009
ISHARES IBOXX \$ INV'T GRADE CORP BD FUND	85 105	11/06/09 12/07/09 01/05/10	Dividend Dividend Dividend	41.12 47.92 45.70	0.00 0.00 0.00	PAY IN 2010/REP IN 2009
ISHARES MSCI SOUTH AFRICA INDEX FUND	100	12/31/09	Dividend	108.65	99.94	

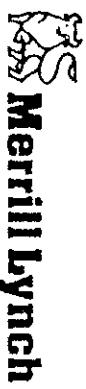


HON CAROLYN MCCARTHY

**2009 TAX REPORTING STATEMENT**

Security Description	Quantity	Date	Transaction Description	2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS		Remarks
				Amount	Qualified Dividend	
ISHARES BARCLAYS AGGREGATE BD FUND	100 100	12/07/09 01/05/10	Dividend Dividend	35.26 30.16	0.00 0.00	PAY IN 2010/REP IN 2009
ISHARES BARCLAYS TIPS BO PROTECTED SEC'S FD	20 20 20 20 120	07/08/09 08/07/09 09/08/09 10/07/09 11/06/09 12/07/09 01/05/10	Dividend Dividend Dividend Dividend Dividend Dividend Dividend	6.68 7.37 15.81 0.82 5.87 21.43 24.61	0.00 0.00 0.00 0.00 0.00 0.00 0.00	
VANGUARD SMALL CAP VALUE ETF	100	12/31/09	Dividend	105.10	77.67	
ISHARES FTSE XINHUA HK CHINA 25 INDEX FUND	100 100	12/31/09 12/31/09	Foreign Dividend Foreign Tax	25.33 (3.12)	23.53 0.00	PAY DATE 12/31/2009
POWERSHARES WATER RESOURCES PORTFOLIO	150	12/31/09	Dividend	10.89	10.89	
POWERSHARES GLOBAL EXCHANGE TRADED FD TR	215	12/31/09	Dividend	0.27	0.00	
VANGUARD TOTAL BOND MKT	150 150	12/07/09 12/31/09	Dividend Dividend	36.68 38.28	0.00 0.00	
ISHARES IBOXX\$ HIGH YIEL CORPORATE BOND FUND	115 115	12/07/09 01/05/10	Dividend Dividend	71.53 66.69	0.00 0.00	PAY IN 2010/REP IN 2009
MARKET VECTORS RUSSIA	100	12/30/09 12/30/09	Foreign Dividend Foreign Tax	12.26 (4.36)	3.66 0.00	PAY DATE 12/30/2009
			Security Subtotal	74.96	0.00	
			Security Subtotal	138.22	0.00	
			Security Subtotal	7.30	3.66	

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## 2009 TAX REPORTING STATEMENT

### 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

<u>Security Description</u>	<u>Quantity</u>	<u>Date</u>	<u>Transaction Description</u>	<u>Amount</u>	<u>Qualified Dividend</u>	<u>Remarks</u>
MARKET VECTORS GLOBAL ALT	100	12/30/09	Dividend	1.20	1.20	
SPDR KBW BANK ETF	150	09/30/09	Dividend	11.81	7.09	
SPDR DJ WILSHIRE REIT ETF	125	09/30/09	Dividend	56.56	0.00	
ISHARES S&P NATIONAL AMT-FREE MUNICIPAL BOND	98	12/07/09 01/05/10	Dividend Dividend	0.09 0.08	0.00 0.00	PAY IN 2010/REP IN 2009
VANGUARD MEGA CAP 300 VALUE	150	09/30/09 12/29/09	Dividend Dividend	33.90 48.45	33.90 48.45	
			<b>Security Subtotal</b>	<b>82.35</b>	<b>82.35</b>	
REVENUESHARES MID CAP ET	260	07/09/09	Dividend	6.65	6.65	
	260	10/08/09	Dividend	7.12	7.12	
	260	01/07/10	Dividend	14.45	14.45	PAY IN 2010/REP IN 2009
			<b>Security Subtotal</b>	<b>28.22</b>	<b>28.22</b>	
REVENUESHARES SMALL CAP	240	07/09/09	Dividend	3.34	3.34	
	240	10/08/09	Dividend	1.53	1.53	
	240	01/07/10	Dividend	6.45	6.45	PAY IN 2010/REP IN 2009
			<b>Security Subtotal</b>	<b>11.32</b>	<b>11.32</b>	
REVENUESHARES LARGE CAP	280	07/09/09	Dividend	16.20	16.20	
	330	10/08/09	Dividend	19.71	19.71	
	330	01/07/10	Dividend	23.03	23.03	
			<b>Security Subtotal</b>	<b>58.94</b>	<b>58.94</b>	
GLAXOSMITHKLINE PLC ADR	137	01/08/09	Foreign Dividend	65.05	65.05	
	114	04/09/09	Foreign Dividend	56.38	56.38	
	114	07/09/09	Foreign Dividend	46.66	46.66	
	128	10/08/09	Foreign Dividend	59.00	59.00	
			<b>Security Subtotal</b>	<b>227.09</b>	<b>227.09</b>	
GOLDMAN SACHS GROUP INC	15	06/25/09	Dividend	5.25	5.25	



HON CAROLYN McCARTHY

2009 TAX REPORTING STATEMENT

## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
OAO GAZPROM SPON ADR	28	01/15/09	Foreign Dividend Foreign Tax	9.57 (1.44)	0.00	
GENERAL ELECTRIC	90	01/26/09	<b>Security Subtotal</b>	<b>8.13</b>	<b>0.00</b>	
	125	04/27/09	Dividend Dividend	27.90 38.75	27.90 38.75	PAY DATE 01/15/2009
HALLIBURTON COMPANY	92	03/20/09	<b>Security Subtotal</b>	<b>66.65</b>	<b>66.65</b>	
	92	06/19/09	Dividend	8.28	8.28	
	92	09/22/09	Dividend	8.28	8.28	
	92	12/23/09	Dividend	8.28	8.28	
HARTFORD FINL SVCS GROUP	130	01/02/09	<b>Security Subtotal</b>	<b>33.12</b>	<b>33.12</b>	
HUDSON CITY BANCORP INC	56	03/02/09	Dividend	7.84	7.84	
	56	06/01/09	Dividend	8.40	8.40	
	56	08/31/09	Dividend	8.40	8.40	
	56	11/27/09	Dividend	8.40	8.40	
HSBC HLDG PLC SP ADR	4	01/14/09	<b>Security Subtotal</b>	<b>33.04</b>	<b>33.04</b>	
	23	05/07/09	Foreign Dividend	3.60	3.60	
	32	07/09/09	Foreign Dividend	11.50	11.50	
HESS CORP	20	06/30/09	<b>Foreign Dividend</b>	<b>12.80</b>	<b>12.80</b>	
HARRIS STRATEX NETWORKS	56	05/27/09	<b>Security Subtotal</b>	<b>27.90</b>	<b>27.90</b>	
HILL ROM HLDGS			Dividend	2.00	2.00	
			Dividend	48.06	48.06	1099 REPORTABLE
			Dividend	5.74	5.74	





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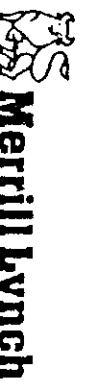
## 2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS					
Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend
HARRIS CORP DEL	37	03/18/09	Dividend	7.40	7.40
	37	06/15/09	Dividend	7.40	7.40
	37	09/18/09	Dividend	8.14	8.14
	37	12/04/09	Dividend	8.14	8.14
			<b>Security Subtotal</b>	<b>31.08</b>	<b>31.08</b>
HEINZ H J CO PV 25CT	22	01/12/09	Dividend	9.13	9.13
	22	04/13/09	Dividend	9.13	9.13
	22	07/10/09	Dividend	9.24	9.24
	22	10/12/09	Dividend	9.24	9.24
			<b>Security Subtotal</b>	<b>36.74</b>	<b>36.74</b>
HEWLETT PACKARD CO DEL	60	01/07/09	Dividend	4.80	4.80
	53	04/01/09	Dividend	4.24	4.24
	38	07/01/09	Dividend	3.04	3.04
	38	10/07/09	Dividend	3.04	3.04
			<b>Security Subtotal</b>	<b>15.12</b>	<b>15.12</b>
HOME DEPOT INC	77	03/26/09	Dividend	17.33	17.33
	77	06/25/09	Dividend	17.33	17.33
	77	09/17/09	Dividend	17.33	17.33
	77	12/17/09	Dividend	17.33	17.33
			<b>Security Subtotal</b>	<b>69.32</b>	<b>69.32</b>
ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDEX F	100	09/28/09	Dividend	6.13	6.13
	100	12/30/09	Dividend	5.16	5.16
			<b>Security Subtotal</b>	<b>11.29</b>	<b>11.29</b>
ISHARES D JONES US BASIC MATERIALS SECTOR INDEX F	145	09/28/09	Dividend	24.94	24.94
	145	12/30/09	Dividend	51.73	51.73
			<b>Security Subtotal</b>	<b>76.67</b>	<b>76.67</b>
ISHARES MSCI BRAZIL FREE INDEX FUND	100	12/31/09	Foreign Dividend	230.16	5.68
	100	12/31/09	Foreign Tax	(10.50)	0.00
	100	01/06/10	Foreign Dividend	11.65	0.29
	100	01/06/10	Foreign Tax	(0.53)	0.00
			<b>Security Subtotal</b>	<b>230.78</b>	<b>5.97</b>

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**2009 TAX REPORTING STATEMENT**
**2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS**

<b>Security Description</b>	<b>Quantity</b>	<b>Date</b>	<b>Transaction Description</b>	<b>Amount</b>	<b>Qualified Dividend</b>	<b>Remarks</b>
ISHARES S&P MIDCAP 400/BARRA VALUE INDEX FUND	285 160	09/29/09 12/31/09	Dividend Dividend <b>Security Subtotal</b>	89.27 69.54 <b>158.81</b>	71.26 55.51 <b>126.77</b>	
ISHARES S&P SMALLCAP 600 VALUE INDEX FUND	310 185	09/29/09 12/31/09	Dividend Dividend <b>Security Subtotal</b>	67.70 51.41 <b>119.11</b>	46.46 35.28 <b>81.74</b>	
INTEL CORP	262 142	03/02/09 06/01/09	Dividend Dividend <b>Security Subtotal</b>	36.68 19.88 <b>56.56</b>	36.68 19.88 <b>56.56</b>	
INTL BUSINESS MACHINES CORP IBM	20 32 32	03/10/09 06/10/09 09/10/09	Dividend Dividend Dividend <b>Security Subtotal</b>	10.00 17.60 17.60 <b>45.20</b>	10.00 17.60 17.60 <b>45.20</b>	
JPMORGAN CHASE & CO	43 103 118 118	02/02/09 04/30/09 07/31/09 11/02/09	Dividend Dividend Dividend Dividend <b>Security Subtotal</b>	16.34 5.15 5.90 5.90 <b>33.29</b>	16.34 5.15 5.90 5.90 <b>33.29</b>	
JOHNSON AND JOHNSON COM	23 30	03/10/09 06/09/09	Dividend Dividend <b>Security Subtotal</b>	10.58 14.70 <b>25.28</b>	10.58 14.70 <b>25.28</b>	
KRAFT FOODS INC VA CL A	63 63 63	01/13/09 04/08/09 07/14/09 10/14/09	Dividend Dividend Dividend Dividend <b>Security Subtotal</b>	18.27 18.27 18.27 18.27 <b>73.08</b>	18.27 18.27 18.27 18.27 <b>73.08</b>	



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## 2009 TAX REPORTING STATEMENT

## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
KIMBERLY CLARK	29	01/05/09	Dividend	16.82	16.82	
	29	04/02/09	Dividend	17.40	17.40	
	29	07/02/09	Dividend	17.40	17.40	
	29	10/02/09	Dividend	17.40	17.40	
LOCKHEED MARTIN CORP	25	03/27/09	Dividend	14.25	14.25	
	25	06/26/09	Dividend	14.25	14.25	
	25	09/25/09	Dividend	14.25	14.25	
	25	12/31/09	Dividend	15.75	15.75	
			<b>Security Subtotal</b>	<b>69.02</b>	<b>69.02</b>	
LAN AIRLINES S A SPNRD ADR	30	08/31/09 08/31/09	Foreign Dividend Foreign Tax	3.13 (1.10)	3.13 0.00	
			<b>Security Subtotal</b>	<b>2.03</b>	<b>3.13</b>	
LINCOLN NTL CORP IND NPV	210 193	02/02/09 05/01/09	Dividend Dividend	44.10 1.93	44.10 1.93	
			<b>Security Subtotal</b>	<b>46.03</b>	<b>46.03</b>	
LOWE'S COMPANIES INC	57 57	05/01/09 07/31/09	Dividend Dividend	4.85 5.13	4.85 5.13	
			<b>Security Subtotal</b>	<b>9.98</b>	<b>9.98</b>	
MONSANTO CO NEW DEL COM	18 18	01/30/09 04/24/09	Dividend Dividend	4.32 4.77	4.32 4.77	
			<b>Security Subtotal</b>	<b>9.09</b>	<b>9.09</b>	
MARATHON OIL CORP	62 62 62 62	03/10/09 06/10/09 09/10/09 12/10/09	Dividend Dividend Dividend Dividend	14.88 14.88 14.88 14.88	14.88 14.88 14.88 14.88	
			<b>Security Subtotal</b>	<b>59.52</b>	<b>59.52</b>	
						NON-RECLAMABLE TAX PAY DATE 08/31/2009



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
MAGNA INTL INC CL A VTG	12	03/23/09 03/23/09	Foreign Dividend Foreign Tax	2.16 (0.32)	2.16 0.00	NON-RECLAMABLE TAX PAY DATE 03/23/2009
MEDTRONIC INC	40	04/24/09	Dividend	7.50	7.50	
MICROSOFT CORP	220	03/12/09	Dividend	28.60	28.60	
	220	06/18/09	Dividend	28.60	28.60	
	240	09/10/09	Dividend	31.20	31.20	
	240	12/10/09	Dividend	31.20	31.20	
<b>Security Subtotal</b>				<b>119.60</b>	<b>119.60</b>	
MITSUI CO ADR	6	12/11/09 12/11/09	Foreign Dividend Foreign Tax	9.50 (0.67)	9.50 0.00	NON-RECLAMABLE TAX PAY DATE 12/10/2009
<b>Security Subtotal</b>				<b>8.83</b>	<b>8.83</b>	
NEXEN INC CANADA	36	01/02/09 01/02/09	Foreign Dividend Foreign Tax	1.49 (0.22)	1.49 0.00	NON-RECLAMABLE TAX PAY DATE 01/01/2009
	36	04/01/09 04/01/09	Foreign Dividend Foreign Tax	1.42 (0.21)	1.42 0.00	NON-RECLAMABLE TAX PAY DATE 04/01/2009
	36	07/02/09 07/02/09	Foreign Dividend Foreign Tax	1.55 (0.23)	1.55 0.00	NON-RECLAMABLE TAX PAY DATE 07/01/2009
<b>Security Subtotal</b>				<b>3.80</b>	<b>4.46</b>	
NEW YORK CMNTY BANCORP	131	02/17/09 05/19/09 08/18/09 11/17/09	Dividend Dividend Dividend Dividend	32.75 32.75 32.75 32.75	32.75 32.75 32.75 32.75	
NOBLE CORPORATION	33	03/03/09	Foreign Dividend	131.00	131.00	



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## 2009 TAX REPORTING STATEMENT

## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
NIKE INC CL B	32	01/05/09	Dividend	8.00	8.00	
NOKIA CORP SPON ADR	18	05/18/09 05/18/09	Foreign Dividend Foreign Tax	9.83 (1.47)	9.83 0.00	NON-RECLAIMABLE TAX PAY DATE 05/18/2009
			<b>Security Subtotal</b>	<b>8.36</b>	<b>9.83</b>	
NORFOLK SOUTHERN CORP	42	06/10/09	Dividend	14.28	14.28	
NORTHN TRUST CORP	27	01/02/09 04/01/09	Dividend Dividend	7.56 7.56	7.56 7.56	
			<b>Security Subtotal</b>	<b>15.12</b>	<b>15.12</b>	
OCCIDENTAL PETE CORP CAL	56	01/15/09	Dividend	17.92	17.92	
	62	04/15/09	Dividend	19.84	19.84	
	30	07/15/09	Dividend	9.90	9.90	
			<b>Security Subtotal</b>	<b>47.66</b>	<b>47.66</b>	
ORACLE CORP \$0.01 DEL	105	05/08/09	Dividend	5.25	5.25	
PEARSON SPONSORED ADR	32	05/18/09 09/28/09	Foreign Dividend Foreign Dividend	10.56 23.43	10.56 23.43	
	118		<b>Security Subtotal</b>	<b>33.99</b>	<b>33.99</b>	
PETROLEO BRAS VTC SPD ADR	75	05/06/09 05/06/09	Foreign Dividend Foreign Tax	27.04 (4.13)	27.04 0.00	NON-RECLAIMABLE TAX PAY DATE 05/06/2009
	75	07/01/09 07/01/09	Foreign Dividend Foreign Tax	30.24 (4.65)	30.24 0.00	NON-RECLAIMABLE TAX PAY DATE 07/01/2009
	75	08/21/09 08/21/09	Foreign Dividend Foreign Tax	3.45 (0.53)	3.45 0.00	NON-RECLAIMABLE TAX PAY DATE 08/21/2009
	75	08/24/09 08/24/09	Foreign Dividend Foreign Tax	28.45 (0.35)	28.45 0.00	NON-RECLAIMABLE TAX PAY DATE 08/24/2009
			<b>Security Subtotal</b>	<b>79.52</b>	<b>89.18</b>	



HON CAROLYN MCCARTHY

**2009 TAX REPORTING STATEMENT**

<b>2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS</b>					
<b>Security Description</b>	<b>Quantity</b>	<b>Date</b>	<b>Transaction Description</b>	<b>Amount</b>	<b>Qualified Dividend</b>
POSCO SPN ADR	9	08/17/09 08/17/09	Foreign Dividend Foreign Tax	2.76 (0.46)	2.76 0.00
			<b>Security Subtotal</b>	<b>2.30</b>	<b>2.76</b>
PNC FINCL SERVICES GROUP	20 53	01/26/09 04/24/09	Dividend Dividend	13.20 5.30	13.20 5.30
			<b>Security Subtotal</b>	<b>18.50</b>	<b>18.50</b>
PHILIP MORRIS INTL INC	46 57 37 37	01/09/09 04/09/09 07/10/09 10/09/09	Dividend Dividend Dividend Dividend	24.84 30.78 19.98 21.46	24.84 30.78 19.98 21.46
			<b>Security Subtotal</b>	<b>97.06</b>	<b>97.06</b>
PEPSICO INC	49 37 37 37	01/02/09 03/31/09 06/30/09 09/30/09	Dividend Dividend Dividend Dividend	20.83 15.73 16.65 16.65	20.83 15.73 16.65 16.65
			<b>Security Subtotal</b>	<b>69.86</b>	<b>69.86</b>
PETRO CANADA	45	01/02/09 01/02/09	Foreign Dividend Foreign Tax	7.44 (1.12)	7.44 0.00
					NON-RECLAIMABLE TAX PAY DATE 01/01/2009
	45	04/02/09 04/02/09	Foreign Dividend Foreign Tax	7.10 (1.07)	7.10 0.00
					NON-RECLAIMABLE TAX PAY DATE 04/01/2009
	20	07/02/09 07/02/09	Foreign Dividend Foreign Tax	3.44 (0.52)	3.44 0.00
					NON-RECLAIMABLE TAX PAY DATE 07/01/2009
			<b>Security Subtotal</b>	<b>15.27</b>	<b>17.98</b>
PFIZER INC DEL PV\$0.05	205 205 205 205	03/03/09 06/02/09 09/02/09 12/01/09	Dividend Dividend Dividend Dividend	65.60 32.80 32.80 32.80	65.60 32.80 32.80 32.80
			<b>Security Subtotal</b>	<b>164.00</b>	<b>164.00</b>



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## 2009 TAX REPORTING STATEMENT

## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
PRAXAIR INC	17	06/15/09	Dividend	6.80	6.80	
PROCTER & GAMBLE CO	25	02/17/09	Dividend	10.00	10.00	
QUEST DIAGNOSTICS INC	15	04/20/09	Dividend	1.50	1.50	
QUALCOMM INC	40	01/07/09	Dividend	6.40	6.40	
	40	03/27/09	Dividend	6.40	6.40	
	35	06/26/09	Dividend	5.95	5.95	
	35	09/25/09	Dividend	5.95	5.95	
	35	12/23/09	Dividend	5.95	5.95	
			<b>Security Subtotal</b>	<b>30.65</b>	<b>30.65</b>	
RENAISSANCE HLDGS LTD	25	03/31/09	Foreign Dividend	6.00	6.00	
	25	06/30/09	Foreign Dividend	6.00	6.00	
			<b>Security Subtotal</b>	<b>12.00</b>	<b>12.00</b>	
REPUBLIC SERVICES INC	28	04/15/09	Dividend	5.32	5.32	
RAYTHEON CO DELAWARE NEW	37	01/30/09	Dividend	10.36	10.36	
REYNOLDS AMERICAN INC	36	01/02/09	Dividend	30.60	30.60	
	36	04/01/09	Dividend	30.60	30.60	
	36	07/01/09	Dividend	30.60	30.60	
	36	10/01/09	Dividend	30.60	30.60	
			<b>Security Subtotal</b>	<b>122.40</b>	<b>122.40</b>	
ROYAL DUTCH SHELL PLC SPONS ADR A	35	03/11/09	Foreign Dividend	28.00	28.00	
		03/11/09	Foreign Tax	(4.20)	0.00	NON-RECLAIMABLE TAX PAY DATE 03/11/2009
	35	06/10/09	Foreign Dividend	29.40	29.40	
		06/10/09	Foreign Tax	(4.41)	0.00	NON-RECLAIMABLE TAX PAY DATE 06/10/2009
	57	09/09/09	Foreign Dividend	47.88	47.88	



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## 2009 TAX REPORTING STATEMENT

## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
ROYAL DUTCH SHELL PLC		09/09/09	Foreign Tax	(7.18)	0.00	NON-RECLAMABLE TAX PAY DATE 09/09/2009
	57	12/09/09	Foreign Dividend	47.88	47.88	
		12/09/09	Foreign Tax	(7.18)	0.00	NON-RECLAMABLE TAX PAY DATE 12/09/2009
			<b>Security Subtotal</b>	<b>130.19</b>	<b>153.16</b>	
ROPER INDUSTRIES INC COM	17	04/24/09	Dividend	1.40	1.40	
SASOL LTD SPONSORED ADR	39	04/24/09	Foreign Dividend	10.57	10.57	
	39	10/29/09	Foreign Dividend	31.74	31.74	
			<b>Security Subtotal</b>	<b>42.31</b>	<b>42.31</b>	
SPX CORP COM	13	01/02/09	Dividend	3.25	3.25	
	13	04/02/09	Dividend	3.25	3.25	
			<b>Security Subtotal</b>	<b>6.50</b>	<b>6.50</b>	
SAP AKGSLTT SPONSORED ADR	9	05/27/09	Foreign Dividend	6.12	6.12	
		05/27/09	Foreign Tax	(1.61)	0.00	
			<b>Security Subtotal</b>	<b>4.51</b>	<b>6.12</b>	
SK TELECOM	ADR	39	04/13/09	Foreign Dividend	27.01	27.01
		39	04/13/09	Foreign Tax	(4.46)	0.00
						NON-RECLAIMABLE TAX PAY DATE 04/10/2009
		39	08/19/09	Foreign Dividend	3.46	3.46
		08/19/09	Foreign Tax	(0.57)	0.00	NON-RECLAIMABLE TAX PAY DATE 08/19/2009
			<b>Security Subtotal</b>	<b>25.44</b>	<b>30.47</b>	
SCHLUMBERGER LTD	44	01/09/09	Foreign Dividend	9.24	9.24	
	44	04/03/09	Foreign Dividend	9.24	9.24	
	29	07/10/09	Foreign Dividend	6.09	6.09	
			<b>Security Subtotal</b>	<b>24.57</b>	<b>24.57</b>	
SUNOCO INC PVS1 PA	20	03/10/09	Dividend	6.00	6.00	



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HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
SECTOR SPDR CONSMRS STPL	90	09/30/09	Dividend	16.08	16.08	
	90	12/30/09	Dividend	22.38	22.38	
			<b>Security Subtotal</b>	<b>38.46</b>	<b>38.46</b>	
SECTOR SPDR ENERGY	100	09/30/09	Dividend	24.63	24.63	
	100	12/30/09	Dividend	30.45	30.45	
			<b>Security Subtotal</b>	<b>55.08</b>	<b>55.08</b>	
STATOILHYDRO ASA	48	06/15/09	Foreign Dividend	33.22	33.22	
		06/15/09	Foreign Tax	(4.98)	0.00	NON-RECLAIMABLE TAX PAY DATE 06/15/2009
	48	06/15/09	Foreign Dividend	21.51	21.51	
		06/15/09	Foreign Tax	(3.23)	0.00	NON-RECLAIMABLE TAX PAY DATE 06/15/2009
			<b>Security Subtotal</b>	<b>46.52</b>	<b>54.73</b>	
SONY CORP ADR NEW	10	06/09/09	Foreign Dividend	1.30	1.30	
		06/09/09	Foreign Tax	(0.09)	0.00	NON-RECLAIMABLE TAX PAY DATE 06/09/2009
			<b>Security Subtotal</b>	<b>1.21</b>	<b>1.30</b>	
STAPLES INC	97	04/16/09	Dividend	8.00	8.00	
	90	07/16/09	Dividend	7.43	7.43	
	90	10/15/09	Dividend	7.43	7.43	
			<b>Security Subtotal</b>	<b>22.86</b>	<b>22.86</b>	
SUPERVALU INC DEL COM	80	03/16/09	Dividend	13.80	13.80	
	80	06/15/09	Dividend	13.80	13.80	
	80	09/15/09	Dividend	14.00	14.00	
	80	12/15/09	Dividend	14.00	14.00	
			<b>Security Subtotal</b>	<b>55.60</b>	<b>55.60</b>	
TJX COS INC NEW	39	03/05/09	Dividend	4.29	4.29	
	39	06/04/09	Dividend	4.68	4.68	
			<b>Security Subtotal</b>	<b>8.97</b>	<b>8.97</b>	
TENARIS SA	ADR	07/07/09	Foreign Dividend	15.60	15.60	


**Merrill Lynch**

HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
TOTAL S.A.	SP ADR	30	06/12/09 06/12/09	Foreign Dividend Foreign Tax	47.45 (7.12)	47.45 0.00 NON-RECLAMABLE TAX PAY DATE 06/12/2009
		30	12/08/09 12/08/09	Foreign Dividend Foreign Tax	50.86 (7.63)	50.86 0.00 NON-RECLAMABLE TAX PAY DATE 12/08/2009
			<b>Security Subtotal</b>	<b>83.56</b>	<b>98.31</b>	
TERRA INDUSTRIES INC COM		52	04/07/09	Dividend	5.20	5.20
TEVA PHARMACTCL INDS ADR		11	03/19/09 03/19/09	Foreign Dividend Foreign Tax	1.54 (0.31)	1.54 0.00 NON-RECLAMABLE TAX PAY DATE 03/19/2009
		11	06/03/09 06/03/09	Foreign Dividend Foreign Tax	1.65 (0.33)	1.65 0.00 NON-RECLAMABLE TAX PAY DATE 06/03/2009
			<b>Security Subtotal</b>	<b>2.55</b>	<b>3.19</b>	
TRANSCANADA CORP		21	01/30/09 01/30/09	Foreign Dividend Foreign Tax	6.09 (0.91)	6.09 0.00 NON-RECLAMABLE TAX PAY DATE 01/30/2009
		21	04/30/09 04/30/09	Foreign Dividend Foreign Tax	6.66 (1.00)	6.66 0.00 NON-RECLAMABLE TAX PAY DATE 04/30/2009
		21	07/31/09 07/31/09	Foreign Dividend Foreign Tax	7.37 (1.11)	7.37 0.00 NON-RECLAMABLE TAX PAY DATE 07/31/2009
		21	10/30/09 10/30/09	Foreign Dividend Foreign Tax	7.36 (1.10)	7.36 0.00 NON-RECLAMABLE TAX PAY DATE 10/30/2009
			<b>Security Subtotal</b>	<b>23.36</b>	<b>27.48</b>	



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

## 2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
TELENORTE LES PART SPADR	57	02/26/09	Foreign Dividend	75.61	75.61	
	35	11/10/09	Foreign Dividend	15.18	15.18	
		11/10/09	Foreign Tax	(2.28)	0.00	NON-RECLAIMABLE TAX PAY DATE 11/10/2009
	57	11/17/09	Foreign Dividend	66.49	66.49	
	57	11/18/09	Foreign Dividend	0.44	0.44	
		11/18/09	Foreign Tax	(0.07)	0.00	NON-RECLAIMABLE TAX PAY DATE 11/10/2009
			<b>Security Subtotal</b>	<b>155.37</b>	<b>157.72</b>	
TEEKAY CORP	10	02/02/09	Foreign Dividend	3.16	3.16	
	10	04/24/09	Foreign Dividend	3.16	3.16	
	10	07/24/09	Foreign Dividend	3.16	3.16	
	10	10/30/09	Foreign Dividend	3.16	3.16	
			<b>Security Subtotal</b>	<b>12.64</b>	<b>12.64</b>	
TARGET CORP	29	06/10/09	Dividend	4.64	4.64	
TURKCELL ILETISIM ADR	27	05/29/09	Foreign Dividend	21.30	21.30	
3M COMPANY	28	03/12/09	Dividend	14.28	14.28	
	28	06/12/09	Dividend	14.28	14.28	
			<b>Security Subtotal</b>	<b>28.56</b>	<b>28.56</b>	
TRAVELERS COS INC	42	03/31/09	Dividend	12.60	12.60	
	42	06/30/09	Dividend	12.60	12.60	
			<b>Security Subtotal</b>	<b>25.20</b>	<b>25.20</b>	
TIME WARNER INC SHS	55	06/15/09	Dividend	10.31	10.31	
TORONTO DOMINION BANK	17	04/30/09	Foreign Dividend	8.66	8.66	
		04/30/09	Foreign Tax	(1.30)	0.00	NON-RECLAIMABLE TAX PAY DATE 04/30/2009
			<b>Security Subtotal</b>	<b>7.36</b>	<b>8.66</b>	

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HON CAROLYN MCCARTHY

**2009 TAX REPORTING STATEMENT**
**2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS**

<u>Security Description</u>	<u>Quantity</u>	<u>Date</u>	<u>Transaction Description</u>	<u>Amount</u>	<u>Qualified Dividend</u>	<u>Remarks</u>
UNILEVER PLC NEW ADR	30	06/18/09 12/6/09	Foreign Dividend <u>Foreign Dividend</u>	18.29 11.85	18.29 11.85	
	30		<b>Security Subtotal</b>	<b>30.14</b>		<b>30.14</b>
UNITED PARCEL SVC CL B	34	06/03/09	Dividend	15.30	15.30	
UNITED UTILS GROUP ADR GBP PAR ORDINARY	14	08/10/09	Foreign Dividend	10.39	10.39	
UNION PACIFIC CORP	25	07/01/09	Dividend	6.75	6.75	
UNITED TECHS CORP COM	30	03/10/09 06/10/09	Dividend Dividend <b>Security Subtotal</b>	11.55 11.55 <b>23.10</b>	11.55 11.55 <b>23.10</b>	
V F CORPORATION	33	03/20/09 06/19/09	Dividend Dividend <b>Security Subtotal</b>	19.47 19.47 <b>38.94</b>	19.47 19.47 <b>38.94</b>	
VALERO ENERGY CORP NEW	72	06/17/09 09/16/09 12/09/09	Dividend Dividend Dividend <b>Security Subtotal</b>	10.80 10.80 10.80 <b>32.40</b>	10.80 10.80 10.80 <b>32.40</b>	
VERIZON COMMUNICATNS COM	83 98	02/02/09 05/01/09	Dividend Dividend <b>Security Subtotal</b>	38.18 45.08 <b>83.26</b>	38.18 45.08 <b>83.26</b>	
WINDSTREAM CORP	241 241 241 241	01/15/09 04/15/09 07/15/09 10/15/09	Dividend Dividend Dividend Dividend <b>Security Subtotal</b>	60.25 60.25 60.25 60.25 <b>241.00</b>	60.25 60.25 60.25 60.25 <b>241.00</b>	
UNITED UTILS GROUP ADR	14	02/20/09	Foreign Dividend	4.29	4.29	



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HON CAROLYN MCCARTHY

**2009 TAX REPORTING STATEMENT**
**2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS**

<u>Security Description</u>	<u>Quantity</u>	<u>Date</u>	<u>Transaction Description</u>	<u>Amount</u>	<u>Qualified Dividend</u>	<u>Remarks</u>
XTO ENERGY INC	30	04/15/09	Dividend	3.75	3.75	
	30	07/15/09	Dividend	3.75	3.75	
	30	10/15/09	Dividend	3.75	3.75	
			<b>Security Subtotal</b>	<b>11.25</b>	<b>11.25</b>	
WAL-MART STORES INC	54	01/02/09	Dividend	12.83	12.83	
	54	04/06/09	Dividend	14.72	14.72	
	44	06/01/09	Dividend	11.99	11.99	
	44	09/08/09	Dividend	11.99	11.99	
			<b>Security Subtotal</b>	<b>51.53</b>	<b>51.53</b>	
WALGREEN CO	60	06/12/09	Dividend	6.75	6.75	
	60	09/14/09	Dividend	8.25	8.25	
			<b>Security Subtotal</b>	<b>15.00</b>	<b>15.00</b>	
WHIRLPOOL CORP	35	03/16/09	Dividend	15.05	15.05	
XEROX CORP	226	02/02/09	Dividend	9.61	9.61	
	226	04/30/09	Dividend	9.61	9.61	
	226	07/31/09	Dividend	9.61	9.61	
	226	10/30/09	Dividend	9.61	9.61	
			<b>Security Subtotal</b>	<b>38.44</b>	<b>38.44</b>	
YUM BRANDS INC	29	02/06/09	Dividend	5.51	5.51	
	25	05/01/09	Dividend	4.75	4.75	
	25	08/07/09	Dividend	4.75	4.75	
			<b>Security Subtotal</b>	<b>15.01</b>	<b>15.01</b>	
YAMANA GOLD INC	46	07/14/09	Foreign Dividend	0.46	0.46	
		07/14/09	Foreign Tax	(0.07)	0.00	
			<b>Security Subtotal</b>	<b>0.39</b>	<b>0.46</b>	
						NON-RECLAIMABLE TAX PAY DATE 07/14/2009



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HON CAROLYN MCCARTHY

**2009 TAX REPORTING STATEMENT**

**2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS**

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
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WASTE MANAGEMENT INC NEW  
58 03/20/09 Dividend 16.82 16.82  
58 06/19/09 Dividend 16.82 16.82  
58 09/25/09 Dividend 16.82 16.82  
58 12/18/09 Dividend 16.82 16.82  
**Security Subtotal** 67.28

WELLS FARGO & CO NEW DEL  
100 03/02/09 Dividend 34.00 34.00  
WYETH  
81 03/02/09 Dividend 24.30 24.30

**TOTAL DIVIDEND ACTIVITY**

**8,091.93**

**TOTAL FOREIGN TAX PAID (LINE 6 1099-DIV)**  
**TOTAL ORDINARY DIVIDENDS (LINE 1A 1099-DIV)**  
**TOTAL QUALIFIED DIVIDENDS (LINE 1B 1099-DIV)**

(178.73)  
8,270.66

7,111.81



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HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

Security Description	Quantity	Date	2009 DIVIDENDS AND DISTRIBUTIONS Transaction Description	Amount	Remarks
<b>NONDIVIDEND DISTRIBUTIONS</b>					
ISHARES BARCLAYS TIPS BO	20	07/08/09	Prin Payment	1.10	
PROTECTED SEC'S FD	20	08/07/09	Prin Payment	1.21	
	20	09/08/09	Prin Payment	2.60	
	20	10/07/09	Prin Payment	0.13	
	20	11/06/09	Prin Payment	0.97	
	120	12/07/09	Prin Payment	3.53	
	120	01/05/10	Prin Payment	4.05	
			<i>Security Subtotal</i>	<i>13.59</i>	
INGERSOLL RAND CO LTD A	51	03/02/09	Prin Payment	9.18	
	42	06/01/09	Prin Payment	7.56	
			<i>Security Subtotal</i>	<i>16.74</i>	
<b>TOTAL NONDIVIDEND DISTRIBUTIONS (LINE 3 1099-DIV)</b>					
			<b>30.33</b>		



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HON CAROLYN McCARTHY

## 2009 TAX REPORTING STATEMENT

Security Description	Quantity	Date	2009 INTEREST INCOME Transaction Description	Amount	Remarks
<b>INTEREST</b>					
ML BANK DEPOSIT PROGRAM		01/30/09	Bank Interest	28.20	
		02/27/09	Bank Interest	6.58	
		03/31/09	Bank Interest	2.93	
		04/30/09	Bank Interest	1.75	
		05/29/09	Bank Interest	2.41	
		06/30/09	Bank Interest	3.51	
		07/31/09	Bank Interest	4.68	
		08/31/09	Bank Interest	4.72	
		09/30/09	Bank Interest	4.29	
		10/30/09	Bank Interest	4.47	
		11/30/09	Bank Interest	4.17	
		12/31/09	Bank Interest	2.48	
<i>Security Subtotal</i>				<b>70.19</b>	
<b>TOTAL INTEREST ACTIVITY</b>					
<b>TOTAL INTEREST (LINE 1 1099-INT)</b>					
<b>TOTAL INTEREST ON US SAVINGS BONDS AND TREASURY OBLIGATIONS (LINE 3 1099-INT)</b>					

TOTAL INTEREST ACTIVITY  
TOTAL INTEREST (LINE 1 1099-INT)  
TOTAL INTEREST ON US SAVINGS BONDS AND TREASURY OBLIGATIONS (LINE 3 1099-INT)





HON CAROLYN MCCARTHY

**2009 TAX REPORTING STATEMENT**

Security Description	Quantity	Date	Transaction Description	2009 REPORTABLE TAX EXEMPT INCOME	Total Amount	AMT Amount	Remarks
<b>TAX EXEMPT DIVIDENDS</b>							
POWERSHARES GLOBAL EXCHANGE TRADED FD TR	215	12/31/09	Dividend	20.00	0.00		
ISHARES S&P NATIONAL AMT-FREE MUNICIPAL BOND	98	12/07/09	Dividend	29.39	0.00		
	98	01/05/10	Dividend	28.95	0.00		PAY IN 2010/REP IN 2009
			<i>Security Subtotal</i>	58.34	0.00		
TOTAL TAX EXEMPT DIVIDENDS				78.34	0.00		
TOTAL TAX EXEMPT INCOME (LINE 8 1099-INT)				78.34	0.00		
TOTAL SPECIFIED PRIVATE ACTIVITY BOND INTEREST (LINE 9 1099-INT)				0.00			

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Carolyn McCarthy IRA Income from 01/01/2009 to 12/31/2009

Settle Date	Description	Symbol	Dividends
	EATON VANCE TAX MAN GLB BUY WRT OPP FD HOLDING 300.0000		
12/31/2009	PAY DATE 12/31/2009	ETW	135
	BLACKROCK INTL GROWTH & INCOME TR HOLDING 285.0000 PAY		
12/31/2009	DATE 12/31/2009	BGY	130
	ISHARES S&P GROW ALLOCAT HOLDING 150.0000 PAY DATE		
12/31/2009	12/31/2009	AOR	29
12/31/2009	FID ADV LATIN AMER B PAY DATE 12/31/2009	FLTBX	5
12/31/2009	PNR HI YD FD CL B PAY DATE 12/31/2009	TBHYX	33
12/31/2009	FIDELITY ADV REAL EST B PAY DATE 12/31/2009	FHEBX	1
12/31/2009	PIONEER BOND FD INC PAY DATE 12/31/2009	PIOBX	0
	MARKET VECTORS GLOBAL ALT HOLDING 90.0000 PAY DATE		
12/30/2009	12/30/2009	GEX	1
12/23/2009	PIONEER EQTY INC FD CL B PAY DATE 12/22/2009	PBEQX	19
12/22/2009	FIDELITY ADV REAL EST B PAY DATE 12/21/2009	FHEBX	6
12/22/2009	FID ADV STR DIV/INCM B PAY DATE 12/21/2009	FBSDX	11
12/22/2009	PIONEER CLASSIC BAL FD B PAY DATE 12/21/2009	ASBBX	45
	GREAT PLAINS ENERGY INC HOLDING 300.0000 PAY DATE		
12/21/2009	12/21/2009	GXP	62
12/8/2009	FID ADV LATIN AMER B PAY DATE 12/07/2009	FLTBX	8
12/8/2009	FID ADV LATIN AMER B PAY DATE 12/07/2009	FLTBX	21
12/8/2009	FIDLTY ADV EMERG ASIA B PAY DATE 12/07/2009	FERBX	21
	ISHARES IBOXX\$ HIGH YIEL CORPORATE BOND FUND HOLDING		
12/7/2009	50.0000 PAY DATE 12/07/2009	HYG	31
12/1/2009	PNR HI YD FD CL B PAY DATE 11/30/2009	TBHYX	31
12/1/2009	PIONEER BOND FD INC PAY DATE 11/30/2009	PIOBX	0
	EATON VANCE TAX-MANAGED GLOBAL DIVERSIFIED EQUITY		
11/30/2009	INCOME FUND HOLDING 280.0000 PAY DATE 11/30/2009	EXG	133
11/16/2009	PROCTER & GAMBLE CO HOLDING 336.0000 PAY DATE 11/16/2009	PG	148
11/2/2009	PNR HI YD FD CL B PAY DATE 10/30/2009	TBHYX	33
11/2/2009	PIONEER BOND FD INC PAY DATE 10/30/2009	PIOBX	0
10/26/2009	GENERAL ELECTRIC HOLDING 200.0000 PAY DATE 10/26/2009	GE	20
10/15/2009	US BANCORP (NEW) HOLDING 200.0000 PAY DATE 10/15/2009	USB	10
10/6/2009	FID ADV STR DIV/INCM B PAY DATE 10/05/2009	FBSDX	7
10/1/2009	PNR HI YD FD CL B PAY DATE 09/30/2009	TBHYX	32
10/1/2009	PIONEER BOND FD INC PAY DATE 09/30/2009	PIOBX	0
	BLACKROCK INTL GROWTH & INCOME TR HOLDING 285.0000 PAY		
9/30/2009	DATE 09/30/2009	BGY	130
9/30/2009	SPDR KBW BANK ETF HOLDING 110.0000 PAY DATE 09/30/2009	KBE	9

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## Carolyn McCarthy IRA Income from 01/01/2009 to 12/31/2009

Settle Date	Description	Symbol	Dividends
	NFJ DIV INT PREM STRAT AND PREMIUM STRATEGY FD HOLDING		
9/25/2009	505.0000 PAY DATE 09/25/2009	NFJ	76
9/25/2009	PIONEER CLASSIC BAL FD B PAY DATE 09/24/2009	ASBBX	58
9/25/2009	PIONEER EQTY INC FD CL B PAY DATE 09/24/2009	PBEQX	16
	GREAT PLAINS ENERGY INC HOLDING 300.0000 PAY DATE		
9/21/2009	09/21/2009	GXP	62
9/15/2009	FID ADV LEV CO STK CL B PAY DATE 09/14/2009	FLCBX	1
9/9/2009	FIDELITY ADV REAL EST B PAY DATE 09/08/2009	FHEBX	3
9/1/2009	PNR HI YD FD CL B PAY DATE 08/31/2009	TBHYX	33
9/1/2009	PIONEER BOND FD INC PAY DATE 08/31/2009	PIOBX	0
	EATON VANCE TAX-MANAGED GLOBAL DIVERSIFIED EQUITY		
8/31/2009	INCOME FUND HOLDING 280.0000 PAY DATE 08/31/2009	EXG	133
8/17/2009	PROCTER & GAMBLE CO HOLDING 336.0000 PAY DATE 08/17/2009	PG	148
8/3/2009	PNR HI YD FD CL B PAY DATE 07/31/2009	TBHYX	34
8/3/2009	PIONEER BOND FD INC PAY DATE 07/31/2009	PIOBX	0
7/27/2009	GENERAL ELECTRIC HOLDING 200.0000 PAY DATE 07/27/2009	GE	20
7/15/2009	US BANCORP (NEW) HOLDING 200.0000 PAY DATE 07/15/2009	USB	10
7/14/2009	FID ADV STR DIV/INCM B PAY DATE 07/13/2009	FBSDX	14
	SPDR KBW REGNL BKNG ETF HOLDING 110.0000 PAY DATE		
7/1/2009	07/01/2009	KBE	13
7/1/2009	PNR HI YD FD CL B PAY DATE 06/30/2009	TBHYX	37
7/1/2009	PIONEER BOND FD INC PAY DATE 06/30/2009	PIOBX	0
	BLACKROCK INTL GROWTH & INCOME TR HOLDING 285.0000 PAY		
6/30/2009	DATE 06/30/2009	BGY	130
	NFJ DIV INT PREM STRAT AND PREMIUM STRATEGY FD HOLDING		
6/26/2009	505.0000 PAY DATE 06/26/2009	NFJ	76
6/26/2009	PIONEER CLASSIC BAL FD B PAY DATE 06/25/2009	ASBBX	19
6/26/2009	PIONEER EQTY INC FD CL B PAY DATE 06/25/2009	PBEQX	25
	GREAT PLAINS ENERGY INC HOLDING 300.0000 PAY DATE		
6/19/2009	06/19/2009	GXP	62
6/9/2009	FIDELITY ADV REAL EST B PAY DATE 06/08/2009	FHEBX	9
6/1/2009	PNR HI YD FD CL B PAY DATE 05/29/2009	TBHYX	37
6/1/2009	PIONEER BOND FD INC PAY DATE 05/29/2009	PIOBX	0
	EATON VANCE TAX-MANAGED GLOBAL DIVERSIFIED EQUITY		
5/29/2009	INCOME FUND HOLDING 280.0000 PAY DATE 05/29/2009	EXG	133
5/15/2009	PROCTER & GAMBLE CO HOLDING 336.0000 PAY DATE 05/15/2009	PG	148
5/1/2009	PNR HI YD FD CL B PAY DATE 04/30/2009	TBHYX	37
5/1/2009	PIONEER BOND FD INC PAY DATE 04/30/2009	PIOBX	0
4/27/2009	GENERAL ELECTRIC HOLDING 200.0000 PAY DATE 04/27/2009	GE	62
4/15/2009	US BANCORP (NEW) HOLDING 200.0000 PAY DATE 04/15/2009	USB	10

Carolyn McCarthy IRA Income from 01/01/2009 to 12/31/2009

Settle Date	Description	Symbol	Dividends
4/7/2009	FID ADV STR DIV/INCM B PAY DATE 04/06/2009 SPDR KBW REGNL BKNG ETF HOLDING 110.0000 PAY DATE	FBSDX	22
4/1/2009	04/01/2009	KBE	16
4/1/2009	PNR HI YD FD CL B PAY DATE 03/31/2009	TBHYX	38
4/1/2009	PIONEER BOND FD INC PAY DATE 03/31/2009 BLACKROCK INTL GROWTH & INCOME TR HOLDING 285.0000 PAY	PIOBX	0
3/31/2009	DATE 03/31/2009 NFJ DIV INT PREM STRAT AND PREMIUM STRATEGY FD HOLDING	BGY	130
3/27/2009	505.0000 PAY DATE 03/27/2009	NFJ	76
3/27/2009	PIONEER CLASSIC BAL FD B PAY DATE 03/26/2009	ASBBX	48
3/27/2009	PIONEER EQTY INC FD CL B PAY DATE 03/26/2009 GREAT PLAINS ENERGY INC HOLDING 300.0000 PAY DATE	PBEQX	25
3/20/2009	03/20/2009	GXP	62
3/10/2009	FIDELITY ADV REAL EST B PAY DATE 03/09/2009	FHEBX	6
3/2/2009	PNR HI YD FD CL B PAY DATE 02/27/2009	TBHYX	36
3/2/2009	PIONEER BOND FD INC PAY DATE 02/27/2009 EATON VANCE TAX-MANAGED GLOBAL DIVERSIFIED EQUITY	PIOBX	0
2/27/2009	INCOME FUND HOLDING 280.0000 PAY DATE 02/27/2009	EXG	133
2/17/2009	PROCTER & GAMBLE CO HOLDING 336.0000 PAY DATE 02/17/2009	PG	134
2/2/2009	PNR HI YD FD CL B PAY DATE 01/30/2009	TBHYX	40
2/2/2009	PIONEER BOND FD INC PAY DATE 01/30/2009	PIOBX	0
1/26/2009	GENERAL ELECTRIC HOLDING 200.0000 PAY DATE 01/26/2009	GE	62
1/15/2009	MOTOROLA INC COM HOLDING 200.0000 PAY DATE 01/15/2009	MOT	10
1/15/2009	US BANCORP (NEW) HOLDING 200.0000 PAY DATE 01/15/2009	USB	85
	<b>TOTAL</b>		<b>3,441</b>

### **SCHEDULE III – ASSETS AND “UNEARNED” INCOME**

**Continuation Sheet (if needed)**

Name Carolyn McCarthy  
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## SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

III.

**Capital Gains** — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule

**Capital Gains** — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule C (see "partial sale"), see example below.

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Name Carolyn McCarthy

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HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

(OMB NO. 1545-0715

1099-B Security Description	Cusip Number	2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS Transaction Description	Trade Date	Amount	Remarks
NEW YORK NYC TRAN FIN AUTH REV SER B OID PRF09 JAN98 04.750%NOV15 17	649716CC9	55000.0000 Redemption	09/28/09	55,275.00	
<b>TOTAL GROSS PROCEEDS ACTIVITY</b>				<b>55,275.00</b>	
<b>TOTAL GROSS PROCEEDS (LINE 2 1099-B)</b> <b>TOTAL FEDERAL INCOME TAX WITHHELD (LINE 4 1099-B)</b>				<b>55,275.00</b> <b>(0.00)</b>	

\* Gross Proceeds and above transaction details from each of your 2009 securities trades are individually reported to the IRS (less commissions).

IMPORTANT TAX INFORMATION

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

1099-B  
Security

**1099-B**

(OMB NO. 1545-0715)

Description	Count Number	Quantity	Description	Date	Amount	Remarks
ALLSTATE CORP DEL COM	020002101	11.0000	Sale	01/12/09	329.81	
ADOBE SYS DEL PV\$ 0.001	00724F101	56.0000	Sale	01/14/09	1,192.63	
AEGON N.V. NY REG SHS		45.0000	Sale	06/29/09	1,287.41	
			<b>Security Subtotal</b>		<b>2,480.04</b>	
AIR FRANCE KLM SPON ADR	009119108	16.0000	Sale	03/31/09	139.33	
AFFILIATED MANAGERS GRP	008252108	14.0000	Sale	06/29/09	814.91	
AIRGAS INC COM	007924103	5.0000	Sale	06/16/09	28.91	
AXA -SPONS ADR		10.0000	Sale	06/17/09	55.03	
HSBC HOLDINGS PLC RTS PARENT ML# 35BN2	054536107	25.0000	Sale	06/18/09	146.87	
AMAZON COM INC COM	404280992	15.0000	Sale	06/19/09	88.06	
AMPHENOL CORP CL A NEW	023135106	10.0000	Sale	06/22/09	54.82	
ANNALY CAP MGMT INC	035710409	27.0000	Sale	06/29/09	712.97	
		17.0000	Sale	06/29/09	1,418.27	
			<b>Security Subtotal</b>		<b>2,131.24</b>	



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

1099-B  
Security  
Description

## 2009 PROCEEDS FROM BROKER &amp; BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

		Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
AMERICAN TOWER CORP CL A	029912201	15.0000	Sale	04/30/09	493.00		
		68.0000	Sale	10/16/09	2,677.09		
				<b>Security Subtotal</b>		<b>3,170.09</b>	
AXIS CAPITAL HOLDINGS LTD	G0692U109	23.0000	Sale	06/29/09		593.84	
ALCOA INC	013817101	113.0000	Sale	01/28/09	990.37		
		20.0000	Sale	02/06/09	169.04		
		25.0000	Sale	02/09/09	212.18		
				<b>Security Subtotal</b>		<b>1,371.59</b>	
ASTRAZENECA PLC SPND ADR	046353108	23.0000	Sale	06/29/09		1,029.22	
ALTERA CORP COM	021441100	48.0000	Sale	05/26/09		780.60	
ALLIANT TECHSYSTEMS INC	018804104	7.0000	Sale	03/11/09		447.66	
AECOM TECH CORP	00766T100	30.0000	Sale	06/29/09		946.47	
ARCELORMITTAL SA, LUXEMBOURG	03938L104	11.0000	Sale	01/16/09		257.67	
APPLE INC	037833100	22.0000	Sale	06/29/09		3,134.47	
AUST NW Z B G ADR	052528304	30.0000	Sale	07/17/09		403.49	
BANCO B VIZ ARGT SA ADR	05946K101	64.0000	Sale	06/29/09		814.05	
BANCOLOMBIA S.A SPDS ADR	05968L102	40.0000	Sale	06/29/09		1,227.56	
BANCO SANTANDER SA ADR	05964H105	24.0000	Sale	01/16/09		190.83	
BMC SOFTWARE INC	055921100	4.0000	Sale	05/05/09	136.91		
		21.0000	Sale	06/29/09	720.91		
				<b>Security Subtotal</b>		<b>857.82</b>	

MAY 10 2009



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

(OMB NO. 1545-0715)

1099-B

Security Description

BRITISH AMN TOBACO SPADR

110448107

Cusip Number

Quantity

Transaction Description

Trade Date

Amount

Remarks

BRINKS CO

109696104

Cusip Number

Quantity

Transaction Description

Trade Date

Amount

Remarks

BROADCOM CORP CALIF CL A

111320107

Cusip Number

Quantity

Transaction Description

Trade Date

Amount

Remarks

BARD C R INC

067383109

Cusip Number

Quantity

Transaction Description

Trade Date

Amount

Remarks

BP PLC

SPON ADR

Cusip Number

Quantity

Transaction Description

Trade Date

Amount

Remarks

BANK OF AMERICA CORP

060505104

Cusip Number

Quantity

Transaction Description

Trade Date

Amount

Remarks

BASF SE SPONSORED ADR

055262505

Cusip Number

Quantity

Transaction Description

Trade Date

Amount

Remarks

BNP PARIBAS SPONSORED ADR

05565A202

Cusip Number

Quantity

Transaction Description

Trade Date

Amount

Remarks

BHP BILLITON LTD ADR

088606108

Cusip Number

Quantity

Transaction Description

Trade Date

Amount

Remarks

BAXTER INTERNL INC

071813109

Cusip Number

Quantity

Transaction Description

Trade Date

Amount

Remarks

BECTON DICKINSON CO

075887109

Cusip Number

Quantity

Transaction Description

Trade Date

Amount

Remarks

BLACK AND DECKER CRP COM

091797100

Cusip Number

Quantity

Transaction Description

Trade Date

Amount

Remarks

BLOCK H&amp;R INC

093671105

Cusip Number

Quantity

Transaction Description

Trade Date

Amount

Remarks

BRISTOL-MYERS SQUIBB CO

110122108

Cusip Number

Quantity

Transaction Description

Trade Date

Amount

Remarks





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HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

(OMB NO. 1545-0715)

**1099-B  
Security  
Description****2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**

Transaction Description

Trade Date

Amount

Remarks

	Cusip Number	Quantity	Description	Trade Date	Amount	Remarks
COCA COLA FEMSA SP ADR	191241108	26.0000	Sale	10/16/09	1,374.06	
CARDINAL HEALTH INC OHIO	14149Y103	41.0000	Sale	08/20/09	1,421.02	
CITRIX SYSTEMS INC COM	177376100	37.0000	Sale	01/30/09	800.07	
CROWN HLDGS INC	228368106	45.0000	Sale	06/29/09	1,075.02	
COPEL PARANA ADR PREF B	20441B407	70.0000	Sale	10/16/09	1,316.67	
CANADIAN NATURAL RES LTD	136385101	37.0000	Sale	06/29/09	1,955.02	
CANON INC ADR REPSSH	138006309	18.0000	Sale	05/15/09	612.23	
COSTCO WHOLESALE CRP DEL	22160K105	35.0000	Sale	04/21/09	1,564.56	
CEMEX SAB DE CV SPND ADR	151290889	65.0000	Cash/Lieu	06/17/09	6.42	
		67.0000	Sale	06/29/09	647.87	
			<b>Security Subtotal</b>		<b>654.29</b>	
COMPASS GROUP PLC ADR	20449X203	133.0000	Sale	06/29/09	746.11	
CHEVRON CORP	166764100	24.0000	Sale	06/29/09	1,604.59	
CENTERPOINT ENERGY INC	15189T107	70.0000	Sale	03/17/09	712.46	
COMPANHIA D SNMNTO BSCO D ESTDO SAO PAULO ADR	20441A102	68.0000	Sale	09/14/09	2,523.42	
CATERPILLAR INC DEL	149123101	40.0000	Sale	05/26/09	1,408.99	
		7.0000	Sale	05/27/09	246.25	
			<b>Security Subtotal</b>		<b>1,655.24</b>	
C.H. ROBINSON WORLDWIDE, INC. NEW	12541W209	16.0000	Sale	06/29/09	842.37	



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

1099-B

Security  
Description

(OMB NO. 1545-0715)

## 2009 PROCEEDS FROM BROKER &amp; BARTER EXCHANGE TRANSACTIONS

Cusip  
NumberTransaction  
Date

Amount Remarks

CBS CORP NEW	CL B	124857202	123.0000	Sale	02/26/09	573.64	
CA INC			142.0000	Sale	03/05/09	492.29	
COVIDIEN LTD		G2552X108	5.0000	Sale	04/02/09	162.94	
			18.0000	Sale	04/03/09	569.77	
				<b>Security Subtotal</b>		<b>732.71</b>	
CME GROUP INC		12572Q105	4.0000	Sale	06/29/09	1,281.60	
COVIDIEN PLC SHS		G2554F105	55.0000	Sale	08/20/09	2,205.44	
CHUBB CORP		171232101	20.0000	Sale	04/29/09	805.71	
			10.0000	Sale	04/30/09	396.54	
				<b>Security Subtotal</b>		<b>1,202.25</b>	
CHURCH&DWIGHT CO INC		171340102	15.0000	Sale	08/20/09	871.48	
COLGATE PALMOLIVE		194162103	7.0000	Sale	03/18/09	404.80	
			5.0000	Sale	05/19/09	321.54	
			20.0000	Sale	06/29/09	1,443.56	
				<b>Security Subtotal</b>		<b>2,169.90</b>	
CUMMINS INC	COM	231021106	35.0000	Sale	06/29/09	1,272.21	
DUN & BRADSTREET COR-NEW		26483E100	11.0000	Sale	06/29/09	893.06	
DANAHER CORP DEL	COM	235851102	28.0000	Sale	11/13/09	2,022.11	
DREAMWORKS ANIMATION INC		26153C103	20.0000	Sale	06/11/09	570.74	
			17.0000	Sale	06/12/09	485.22	
				<b>Security Subtotal</b>		<b>1,055.96</b>	





HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

(OMB NO. 1545-0715)

1099-B  
Security  
DescriptionCusip  
Number

Quantity

Transaction  
DescriptionTrade  
Date

Amount

Remarks

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS					
DIGITAL RLTY TR INC	253868103	10.0000	Sale	03/12/09	306.03
		22.0000	Sale	07/17/09	820.14
			<b>Security Subtotal</b>		<b>1,126.17</b>
DELHAIZE GROUP SPONS ADR	29759W101	14.0000	Sale	06/29/09	977.59
DEUTSCHE BK AG REG SHS	D18190898	5.0000	Sale	04/20/09	240.03
		16.0000	Sale	04/21/09	761.27
		9.0000	Sale	04/23/09	462.82
			<b>Security Subtotal</b>		<b>1,464.12</b>
DOLBY LABORATORIES INC CLA	25659T107	20.0000	Sale	07/17/09	776.98
DRESSER RAND GROUP INC	261608103	29.0000	Sale	06/29/09	756.88
DISH NETWORK CORPORATION CLASS A	25470M109	46.0000	Sale	10/16/09	842.24
DOLLAR TREE INC	256746108	16.0000	Sale	06/29/09	682.54
DEERE CO	244199105	30.0000	Sale	05/21/09	1,245.55
DEVRY INC DEL	251893103	10.0000	Sale	05/05/09	401.46
R R DONNELLEY SONS	257867101	60.0000	Sale	12/17/09	1,319.97
		93.0000	Sale	12/17/09	2,045.95
		7.0000	Sale	12/17/09	153.99
			<b>Security Subtotal</b>		<b>3,519.91</b>
DOW CHEMICAL CO	260543103	107.0000	Sale	02/02/09	1,208.34
		58.0000	Sale	02/17/09	508.05
		82.0000	Sale	02/23/09	626.72
			<b>Security Subtotal</b>		<b>2,343.11</b>
E M C CORPORATION MASS	268648102	95.0000	Sale	06/28/09	1,283.41


**Merrill Lynch**

HON CAROLYN MCCARTHY

**2009 TAX REPORTING STATEMENT****1099-B**Security  
Description

(OMB NO. 1545-0715)

		Cusip Number	Quantity	2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS Transaction Description	Trade Date	Amount	Remarks
EDISON INTL CALIF		281020107	47.0000	Sale	06/29/09	1,484.69	
EXXON MOBIL CORP	COM	30231G102	21.0000	Sale	04/13/09	1,435.31	
ENDO PHARMACEUTCLS HLDGS		29264F205	30.0000	Sale	05/07/09	490.26	
			10.0000	Sale	05/06/09	162.02	
				<b>Security Subtotal</b>		<b>652.28</b>	
EXELON CORPORATION		30161N101	27.0000	Sale	04/30/09	1,240.67	
EMBARQ CORP		29078E105	39.0000	Sale	06/29/09	1,700.74	
FPL GROUP INC		302571104	30.0000	Sale	01/06/09	1,536.14	
FMC CORP	COM NEW	302491303	16.0000	Sale	08/20/09	784.30	
FREEPRT-MCMRAN CPR & GLD		35671D857	15.0000	Sale	04/07/09	630.48	
			33.0000	Sale	06/29/09	1,661.83	
				<b>Security Subtotal</b>		<b>2,292.31</b>	
FACTSET RESH SYS INC		303075105	4.0000	Sale	02/20/09	157.28	
			5.0000	Sale	05/15/09	279.81	
			11.0000	Sale	05/21/09	597.02	
				<b>Security Subtotal</b>		<b>1,034.11</b>	
FLOWSERVE CORP		34354P105	4.0000	Sale	06/02/09	314.35	
			11.0000	Sale	08/20/09	979.52	
				<b>Security Subtotal</b>		<b>1,293.87</b>	
FTI CONSULTING INC	COM	302941109	14.0000	Sale	06/29/09	717.76	
F5 NETWORKS INC	COM	315616102	30.0000	Sale	03/16/09	610.17	
FMC TECHS INC	COM	30249U101	29.0000	Sale	01/23/09	798.41	
FOSTER WHEELER AG		H27178104	36.0000	Sale	03/04/09	517.57	





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HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

(OMB NO. 1545-0715)

Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
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2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS						
ISHARES S&P 500 VALUE INDEX FUND						
Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
ISHARES S&P 500 VALUE INDEX FUND	464287408	145.0000	Sale	11/23/09	7,689.41	
POWERSHARES DYN OIL AND GAS SERVICES	73935X625	150.0000	Sale	11/13/09	2,511.00	
VANGUARD MIDD-CAP VAL IDX	922908512	125.0000	Sale	11/13/09	5,347.59	
SPDR KBW BANK ETF	78464A797	150.0000	Sale	11/13/09	3,187.56	
SPDR DJ WILSHIRE REIT ETF	78464A607	125.0000	Sale	11/13/09	5,735.19	
GOLDMAN SACHS GROUP INC	38141G104	15.0000	Sale	06/29/09	2,221.74	
GENENTECH INC NEW	368710406	16.0000	Sale	03/13/09	1,504.05	
GENERAL ELECTRIC	369604103	60.0000	Sale	04/16/09	709.25	
		65.0000	Sale	04/20/09	741.10	
<i>Security Subtotal</i>						
GAMESTOP CORP NEW CLA	36467W109	37.0000	Sale	03/06/09	828.70	
GILEAD SCIENCES INC COM	375558103	16.0000	Sale	02/05/09	815.68	
HARTFORD FINL SVCS GROUP	416515104	37.0000	Sale	01/12/09	568.10	
		22.0000	Sale	02/17/09	220.29	
		71.0000	Sale	02/24/09	579.65	
<i>Security Subtotal</i>						
HOST HOTELS & RESORTS REIT	44107P104	100.0000	Sale	01/13/09	659.05	
		55.0000	Sale	01/14/09	339.71	
<i>Security Subtotal</i>						
HSBC HLDG PLC SP ADR	404280406	32.0000	Sale	06/29/09	1,359.32	



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HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

1099-B

Security  
Description

(OMB NO. 1545-0715)

## 2009 PROCEEDS FROM BROKER &amp; BARTER EXCHANGE TRANSACTIONS

Trade  
Date

Amount

Remarks

	Cusip Number	Quantity	Transaction Description		
HEWITT ASSOCIATES INC	42822Q100	13.0000	Sale	01/09/09	374.32
		10.0000	Sale	05/29/09	288.54
		11.0000	Sale	06/01/09	317.19
			<b>Security Subtotal</b>		<b>980.05</b>
HOSPIRA INC	441060100	22.0000	Sale	06/29/09	850.71
HESS CORP	42809H107	20.0000	Sale	06/17/09	1,061.77
HARRIS STRATEX NETWORKS	41457P106	37.0000	Cash/Lieu	06/04/09	0.93
		9.0000	Sale	06/11/09	59.45
			<b>Security Subtotal</b>		<b>60.38</b>
HILL ROM HLDGS	431475102	35.0000	Sale	01/09/09	507.09
		4.0000	Sale	01/12/09	56.96
		56.0000	Sale	05/20/09	892.29
			<b>Security Subtotal</b>		<b>1,456.34</b>
HANSEN NATURAL CORP	411310105	25.0000	Sale	10/16/09	929.73
HARRIS CORP DEL	413875105	37.0000	Sale	12/04/09	1,701.96
HEWLETT PACKARD CO DEL	428236103	7.0000	Sale	02/24/09	207.44
		15.0000	Sale	05/08/09	509.37
		38.0000	Sale	11/13/09	1,888.17
			<b>Security Subtotal</b>		<b>2,604.98</b>
INVESTM TECH GRP INC NEW	46145F105	15.0000	Sale	05/15/09	302.15
		14.0000	Sale	05/18/09	287.18
			<b>Security Subtotal</b>		<b>589.33</b>
ISHARES S&P MIDCAP 400/BARRA VALUE INDEX FUND	464287705	125.0000	Sale	11/23/09	7,910.70
ISHARES S&P SMALLCAP 600 VALUE INDEX FUND	464287879	125.0000	Sale	11/23/09	7,006.07



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

1099-B

Security  
Description

(OMB NO. 1545-0715)

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS					Transaction Description		Amount	Remarks
	Cusip Number	Quantity	Trade Date	Description	Trade Date			
INTEL CORP	458140100	63.0000	Sale 02/20/09			804.47		
		10.0000	Sale 02/24/09			126.67		
		47.0000	Sale 02/25/09			600.76		
		142.0000	Sale 06/29/09			2,323.44		
				<b>Security Subtotal</b>		<b>3,855.34</b>		
INGERSOLL RAND CO LTD A	G4776G101	9.0000	Sale 04/16/09			145.32		
		42.0000	Sale 06/29/09			886.17		
				<b>Security Subtotal</b>		<b>1,031.49</b>		
INTL BUSINESS MACHINES CORP IBM	459200101	32.0000	Sale 08/20/09			3,800.86		
IHS INC	451734107	13.0000	Sale 06/29/09			648.03		
ING GP NV SPSD ADR	456837103	15.0000	Sale 03/11/09			56.99		
		20.0000	Sale 03/12/09			82.19		
		48.0000	Sale 03/13/09			200.94		
				<b>Security Subtotal</b>		<b>340.12</b>		
JUNIPER NETWORKS INC	48203R104	36.0000	Sale 03/27/09			564.68		
		70.0000	Sale 04/07/09			1,114.50		
				<b>Security Subtotal</b>		<b>1,679.18</b>		
JPMORGAN CHASE & CO	46625H100	118.0000	Sale 1/1/13/09			5,004.31		
JOHNSON AND JOHNSON COM	478160104	23.0000	Sale 02/27/09			1,162.76		
		30.0000	Sale 06/29/09			1,700.65		
				<b>Security Subtotal</b>		<b>2,863.41</b>		
KOHL'S CORP WISC PV 1CT	500255104	11.0000	Sale 03/18/09			433.08		
		30.0000	Sale 05/01/09			1,295.60		
				<b>Security Subtotal</b>		<b>1,728.68</b>		
LOCKHEED MARTIN CORP	539830109	5.0000	Sale 02/06/09			395.63		



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

1099-B

Security  
Description

(OMB NO. 1545-0715)

## 2009 PROCEEDS FROM BROKER &amp; BARTER EXCHANGE TRANSACTIONS

Cusip  
Number

Amount Remarks

LAN AIRLINES SA  
SPNRD ADR

501723100

30.0000 Sale

11/13/09 448.49

LAFARGE

ADR NEW

505861401

25.0000 Sale

01/15/09 338.15

LINCOLN NTL CORP IND NPV

534187109

17.0000 Sale

03/04/09 111.46  
05/06/09 2,654.30  
**2,765.76**

LOWE'S COMPANIES INC

548661107

57.0000 Sale

07/17/09 1,165.05

METLIFE INC

COM

59156R108

58.0000 Sale

06/29/09 1,742.85

MARVELL TECHNOLOGY GROUP

G5876H105

74.0000 Sale

06/29/09 865.03

MONSANTO CO NEW DEL COM

61166W101

18.0000 Sale

06/29/09 1,367.78

MAGNA INTL INC CL A VTG

559222401

12.0000 Sale

05/15/09 377.15

MATTEL INC

COM

577081102

118.0000 Sale

08/20/09 2,086.51

MCDONALDS CORP

COM

580135101

21.0000 Sale

02/04/09 1,221.17

MEDTRONIC INC

COM

585055106

40.0000 Sale

06/29/09 1,387.56

MILLIPORE CORP

601073109

12.0000 Sale

06/29/09 850.41

NISSAN MTR LTD SPN ADR

654744408

39.0000 Sale

01/20/09 275.23  
01/28/09 267.57  
**542.80**NATIONAL-OILWELL VARCO  
INC

637071101

35.0000 Sale

08/20/09 1,324.02

NEXEN INC CANADA

COM

65334H102

36.0000 Sale

06/29/09 785.13

NOBLE CORP NAMEN-AKT

H5833N103

33.0000 Sale

06/29/09 1,030.23


**Merrill Lynch**

HON CAROLYN MCCARTHY

**2009 TAX REPORTING STATEMENT**

(OMB NO. 1545-0715)

<b>2009 PROCEEDS FROM BROKER &amp; BARTER EXCHANGE TRANSACTIONS</b>					
<b>1099-B Security Description</b>	<b>Cusip Number</b>	<b>Transaction Quantity</b>	<b>Trade Date</b>	<b>Amount</b>	<b>Remarks</b>
NORFOLK SOUTHERN CORP	655844108	42,000	Sale 06/29/09	1,600.99	
NORTHN TRUST CORP	665859104	5,000	Sale 03/24/09	317.66	
		22,000	Sale 05/06/09	1,182.89	
			<b>Security Subtotal</b>	<b>1,500.55</b>	
ON SEMICONDUCTOR CRP COM	682189105	143,000	Sale 02/26/09	519.25	
		125,000	Sale 06/29/09	856.55	
			<b>Security Subtotal</b>	<b>1,375.80</b>	
OCCIDENTAL PETE CORP CAL	674599105	20,000	Sale 03/24/09	1,179.79	
		12,000	Sale 03/27/09	697.16	
		5,000	Sale 06/17/09	313.44	
		25,000	Sale 06/29/09	1,655.20	
			<b>Security Subtotal</b>	<b>3,845.59</b>	
OMNICARE INC	681904108	22,000	Sale 01/16/09	618.29	
		22,000	Sale 02/26/09	506.07	
			<b>Security Subtotal</b>	<b>1,124.36</b>	
ORACLE CORP \$0.01 DEL	68389X105	105,000	Sale 06/29/09	2,275.57	
PEARSON SPONSORED ADR	705015105	100,000	Sale 08/20/09	1,198.98	
		18,000	Sale 08/20/09	215.86	
			<b>Security Subtotal</b>	<b>1,414.84</b>	
PRICELINE COM INC	741503403	6,000	Sale 05/12/09	653.91	
		3,000	Sale 06/29/09	343.52	
			<b>Security Subtotal</b>	<b>997.43</b>	
PETRLEO BRAS VLG SPD ADR	71654V408	75,000	Sale 06/29/09	3,099.67	
POSCO SPN ADR	693483109	9,000	Sale 06/29/09	750.49	
PLAINS EXPL AND PRODCTN	726505100	34,000	Sale 03/31/09	594.72	



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS						(OMB NO. 1545-0715)	
1099-B Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks	
PNC FINCL SERVICES GROUP	693475105	20,000	Sale	03/09/09	392.51		
		46,000	Sale	03/11/09	1,148.72		
		53,000	Sale	06/29/09	2,093.44		
			<b>Security Subtotal</b>		<b>3,634.67</b>		
PHILIP MORRIS INTL INC	718172109	20,000	Sale	05/19/09	841.36		
PETRO CANADA	71644E102	25,000	Sale	05/14/09	914.89		
		20,000	Sale	06/29/09	778.37		
			<b>Security Subtotal</b>		<b>1,693.26</b>		
PRAXAIR INC	74005P104	17,000	Sale	06/29/09	1,229.91		
PROCTER & GAMBLE CO	742718109	25,000	Sale	03/24/09	1,183.48		
		25,000	Sale	07/17/09	1,389.71		
			<b>Security Subtotal</b>		<b>2,573.19</b>		
QUEST DIAGNOSTICS INC	74834L100	15,000	Sale	06/29/09	840.72		
QUALCOMM INC	747525103	5,000	Sale	05/08/09	207.63		
RENAISSANCE HLDGS LTD	G7496G103	25,000	Sale	08/20/09	1,310.72		
REPUBLIC SERVICES INC	760759100	28,000	Sale	04/01/09	475.77		
RAYTHEON CO DELAWARE NEW	755111507	10,000	Sale	02/09/09	480.65		
		15,000	Sale	03/13/09	508.11		
		12,000	Sale	03/18/09	411.81		
			<b>Security Subtotal</b>		<b>1,400.57</b>		
RED HAT INC	756577102	10,000	Sale	06/03/09	197.46		
		10,000	Sale	06/04/09	199.32		
		41,000	Sale	06/29/09	835.55		
			<b>Security Subtotal</b>		<b>1,232.33</b>		
ROPER INDUSTRIES INC COM	776696106	17,000	Sale	06/29/09	771.78		



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

(OMB NO. 1545-0715)

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS						
Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
ST JUDE MEDICAL INC	790849103	30.0000 25.0000	Sale <u>Sale</u>	05/06/09 05/28/09	1,022.75 937.01	<u>1,959.76</u>
SPX CORP COM	784635104	13.0000	Sale	03/23/09	673.26	
SAP AG SHS	803054204	9.0000	Sale	06/29/09	363.14	
SUPERIOR ENERGY SVCS INC	868157108	39.0000	Sale	03/26/09	653.25	
SCHLUMBERGER LTD	806857108	15.0000 29.0000	Sale <u>Sale</u>	05/06/09 06/29/09	841.44 1,581.03	<u>2,422.47</u>
SUNOCO INC PV\$1 PA	86764P109	20.0000	Sale	02/17/09	715.27	
SILICONWARE PRECSN SPADR	827084864	50.0000 25.0000 34.0000 58.0000	Sale <u>Sale</u> <u>Sale</u> <u>Sale</u>	06/15/09 06/16/09 06/17/09 06/29/09	312.02 155.00 202.71 354.95	<u>1,024.68</u>
SALESFORCE COM INC	79466L302	20.0000	Sale	06/29/09	777.18	
SAIC INC	78390X101	37.0000	Sale	03/12/09	640.01	
SONY CORP ADR NEW	835699307	3.0000 7.0000	Sale <u>Sale</u>	04/09/09 04/16/09	77.82 174.72	<u>252.54</u>
SOUTHWESTERN ENERGY CO	845467109	4.0000 20.0000	Sale <u>Sale</u>	05/20/09 06/29/09	164.23 784.57	<u>948.80</u>
STAPLES INC	855030102	25.0000	Sale	04/29/09	518.08	
TJX COS INC NEW	872540109	39.0000	Sale	06/29/09	1,223.78	



HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

1099-B

Security

Description

(OMB NO. 1545-0715)

TENARIS S A ADR

88031M109

26.0000

Sale

06/29/09

&lt;/


**Merrill Lynch**

HON CAROLYN MCCARTHY

### 2009 TAX REPORTING STATEMENT

(OMB NO. 1545-0715)

**1099-B  
Security  
Description**

**2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**

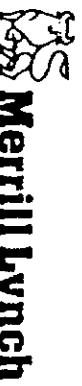
(OMB NO. 1545-0715)

**Transaction  
Date**

**Trade  
Date**

**Amount  
Remarks**

<b>Security Description</b>	<b>Cusip Number</b>	<b>Quantity</b>	<b>Description</b>	<b>Amount</b>	<b>Remarks</b>
UNITED UTILS GROUP ADR GBP PAR ORDINARY	91311E102	14.0000	Sale	11/23/09	221.47
UNION PACIFIC CORP	907818108	25.0000	Sale	06/29/09	1,313.21
UNITED TECHS CORP COM	913017109	30.0000	Sale	07/17/09	1,613.96
V F CORPORATION	918204108	33.0000	Sale	08/20/09	2,188.50
VOTRNTM CEL PN SPSD ADR	92906P106	10.0000	Sale	03/24/09	49.73
		17.0000	Sale	03/25/09	85.37
		5.0000	Sale	04/03/09	28.22
		25.0000	Sale	04/08/09	154.80
			<b>Security Subtotal</b>		<b>318.12</b>
VERIZON COMMUNICATNS COM	92343V104	38.0000	Sale	06/01/09	1,115.42
		60.0000	Sale	06/29/09	1,879.15
			<b>Security Subtotal</b>		<b>2,994.57</b>
VOLVO AKTIEBOLAGET ADR	929856400	91.0000	Sale	02/17/09	451.40
		61.0000	Sale	02/19/09	283.13
			<b>Security Subtotal</b>		<b>734.53</b>
XTO ENERGY INC	98395X106	30.0000	Sale	10/16/09	1,328.07
WAL-MART STORES INC	931142103	10.0000	Sale	04/09/09	504.31
WALGREEN CO	931422109	60.0000	Sale	08/20/09	1,844.95
WHIRLPOOL CORP	963320106	13.0000	Sale	04/08/09	407.27
		22.0000	Sale	04/17/09	809.23
			<b>Security Subtotal</b>		<b>1,216.50</b>
XEROX CORP	984121103	226.0000	Sale	11/23/09	1,789.98



May 11 2011

HON CAROLYN MCCARTHY

## 2009 TAX REPORTING STATEMENT

**1099-B**Security  
Description

(OMB NO. 1545-0715)

YUM BRANDS INC

988498101

Cusip  
Number4.0000  
25.0000Sale  
Sale02/19/09  
08/20/09116.28  
877.73

994.01

YAMANA GOLD INC

98462Y100

46.0000

Sale

06/29/09

421.80

WELLS FARGO &amp; CO NEW DEL

949746101

29.0000  
71.0000Sale  
Sale03/09/09  
03/12/09277.10  
925.17

WYETH

983024100

11.0000  
27.0000  
17.0000  
37.0000Sale  
Sale  
Sale  
Sale01/12/09  
02/19/09  
02/23/09  
02/25/09407.33  
1,157.55  
714.48  
1,537.92

3,817.28

WYETH

## TOTAL GROSS PROCEEDS ACTIVITY

298,244.41

## TOTAL GROSS PROCEEDS (LINE 2 1099-B)

(0.00)

## TOTAL FEDERAL INCOME TAX WITHHELD (LINE 4 1099-B)

\* Gross Proceeds and above transaction details from each of your 2009 securities trades are individually reported to the IRS (less commissions).

IMPORTANT TAX INFORMATION

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



Carolyn McCarthy Sales From 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Sale				
11/23/2009	11/27/2009	PROCTER & GAMBLE	PG	-136	62.7927	8,484.24
		Sale				
11/18/2009	11/23/2009	SPDR KBW BANK ETF	KBE	-110	22.1026	2,375.88

## Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase ISHARES IBOXX \$ INV				
11/23/2009	11/27/2009	GRADE CORP BD FUND	LQD	20	105.74	2,114.80
		POWERSHARES GLOBAL EXCHANGE				
11/23/2009	11/27/2009	TRADED FD TR	PZT	215	22.93	4,929.95
		Purchase VANGUARD TOTAL				
11/23/2009	11/27/2009	BOND MKT	BND	30	79.77	2,393.10
		Purchase VANGUARD TOTAL				
11/23/2009	11/27/2009	BOND MKT	BND	20	79.78	1,595.60
		ISHARES IBOXX\$ HIGH YIEL CORPORATE BOND				
11/23/2009	11/27/2009	FUND	HYG	115	86.3048	9,925.05
		ISHARES S&P NATIONAL AMT-FREE MUNICIPAL				
11/23/2009	11/27/2009	BOND	MUB	98	102.62	10,056.76
		ISHARES BARCLAYS AGGRGT AGGREGATE				
11/17/2009	11/20/2009	BD FUND	AGG	100	105.0291	10,502.91
		ISHARES BARCLAYS TIPS BO PROTECTED				
11/17/2009	11/20/2009	SECS FD	TIP	100	105.2391	10,523.91
		Purchase VANGUARD TOTAL				
11/17/2009	11/20/2009	BOND MKT	BND	100	79.64	7,964.00
		POWERSHARES WATER RESOURCES				
11/5/2009	11/10/2009	PORTFOLIO	PHO	150	16.2148	2,432.22
		Purchase ISHARES IBOXX \$ INV				
10/16/2009	10/21/2009	GRADE CORP BD FUND	LQD	85	103.88	8,829.80
		Purchase ISHARES MSCI ITALY				
9/14/2009	9/17/2009	INDEX FUND	EWI	100	19.9664	1,996.64
		Purchase VANGUARD SMALL CAP				
8/20/2009	8/25/2009	VALUE ETF	VBR	100	49.58	4,958.00
		Purchase VANGUARD MID-CAP				
8/20/2009	8/25/2009	VAL IDX	VOE	125	39.2522	4,906.53
		Purchase VANGUARD MEGA CAP				
8/20/2009	8/25/2009	300 VALUE	MGV	150	32.6548	4,898.22
		ISHARES D JONES US BASIC MATERIALS				
8/20/2009	8/25/2009	SECTOR INDEX F	IYM	100	50.48	5,048.00

## Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
8/20/2009	8/25/2009	SECTOR SPDR ENERGY	XLE	100	50.8174	5,081.74
		Purchase				
		ISHARES MSCI SOUTH				
7/17/2009	7/22/2009	AFRCA INDEX FUND	EZA	55	48.33	2,658.15
		ISHARES FTSE XINHUA				
		HK CHINA 25 INDEX				
7/17/2009	7/22/2009	FUND	FXI	45	39.41	1,773.45
		Purchase				
		MARKET VECTORS				
7/17/2009	7/22/2009	GLOBAL ALT	GEX	100	23.7214	2,372.14
		Purchase				
		SPDR KBW REGNL				
7/17/2009	7/22/2009	BKNG ETF	KBE	40	18.39	735.6
		Purchase				
		REVENUESHARES				
7/17/2009	7/22/2009	LARGE CAP	RWL	50	16.88	844
		ISHARES TR DOW				
		JONES US				
7/17/2009	7/22/2009	TECHNOLOGY SECTOR	IYW	55	46.99	2,584.45
		Purchase				
		ISHARES MSCI BRAZIL				
7/17/2009	7/22/2009	FREE INDEX FUND	EWZ	60	54.08	3,244.80
		Purchase				
		ISHARES MSCI SPAIN				
6/29/2009	7/2/2009	INDEX FUND	EWP	50	40.39	2,019.50
		Purchase				
		ISHARES MSCI FRANCE				
6/29/2009	7/2/2009	INDX FUND	EWQ	100	20.777	2,077.70
		Purchase				
		ISHARES S&P 500				
6/29/2009	7/2/2009	VALUE INDEX FUND	IVE	340	43.9991	14,959.69
		Purchase				
		POWERSHARES DYN				
6/29/2009	7/2/2009	OIL AND GAS SERVICES	PXJ	150	13.8222	2,073.33
		Purchase				
		ISHARES MSCI SOUTH				
6/29/2009	7/2/2009	AFRCA INDEX FUND	EZA	45	46.72	2,102.40
		ISHARES BARCLAYS				
		TIPS BO PROTECTED				
6/29/2009	7/2/2009	SECS FD	TIP	20	101.22	2,024.40
		ISHARES FTSE XINHUA				
		HK CHINA 25 INDEX				
6/29/2009	7/2/2009	FUND	FXI	55	38.79	2,133.45
		Purchase				
		MARKET VECTORS				
6/29/2009	7/2/2009	RUSSIA	RSX	100	20.92	2,092.00

## Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase SPDR KBW REGNL				
6/29/2009	7/2/2009	BKNG ETF Purchase SPDR DJ WILSHRE REIT	KBE	110	18.2691	2,009.60
6/29/2009	7/2/2009	ETF Purchase REVENUESHARES MID	RWR	125	33.4782	4,184.78
6/29/2009	7/2/2009	CAP ET Purchase REVENUESHARES	RWK	260	19	4,940.00
6/29/2009	7/2/2009	SMALL CAP Purchase REVENUESHARES	RWJ	240	20.14	4,833.60
6/29/2009	7/2/2009	LARGE CAP ISHARES TR DOW JONES US	RWL	280	16.77	4,695.60
6/29/2009	7/2/2009	TECHNOLOGY SECTOR ISHARES D JONES US BASIC MATERIALS	IYW	45	44.74	2,013.30
6/29/2009	7/2/2009	SECTOR INDEX F Purchase ISHARES MSCI BRAZIL	IYM	45	44.11	1,984.95
6/29/2009	7/2/2009	FREE INDEX FUND ISHARES S&P MIDCAP 400/ BARRA VALUE	EWZ	40	53.75	2,150.00
6/29/2009	7/2/2009	INDEX FUND ISHARES S&P SMALLCAP 600 VALUE	IJJ	285	52.3697	14,925.36
6/29/2009	7/2/2009	INDEX FUND ISHARES S&P SMALLCAP 600 VALUE	IJS	210	47.26	9,924.60
6/29/2009	7/2/2009	INDEX FUND Purchase SECTOR SPDR	IJS	100	47.2582	4,725.82
6/29/2009	7/2/2009	CONSMRS STPL Purchase LAN AIRLINES S A	XLP	90	23.19	2,087.10
6/19/2009	6/24/2009	SPNRD ADR Purchase LAN AIRLINES S A	LFL	20	12.5014	250.03
6/18/2009	6/23/2009	SPNRD ADR Purchase LAN AIRLINES S A	LFL	5	12.3034	61.52
6/17/2009	6/22/2009	AUST NW Z B G ADR Purchase LAN AIRLINES S A	ANZBY	15	13.237	198.56
6/17/2009	6/22/2009	SPNRD ADR	LFL	5	12.1196	60.6

## Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
6/17/2009	6/22/2009	MICROSOFT CORP	MSFT	20	23.4451	468.9
		Purchase				
		PEARSON SPONSORED				
6/17/2009	6/22/2009	ADR	PSO	12	10.0531	120.64
		Purchase				
6/16/2009	6/19/2009	AUST NW Z B G ADR	ANZBY	10	13.4662	134.66
		Purchase				
6/15/2009	6/18/2009	AUST NW Z B G ADR	ANZBY	5	13.9637	69.82
		Purchase				
		HANSEN NATURAL				
6/12/2009	6/17/2009	CORP	HANS	3	31.8453	95.54
		Purchase				
		JPMORGAN CHASE &				
6/10/2009	6/15/2009	CO	JPM	15	35.4543	531.81
		Purchase				
		HANSEN NATURAL				
6/5/2009	6/10/2009	CORP	HANS	22	32.7699	720.94
		Purchase				
6/1/2009	6/4/2009	CALPINE CORP	CPN	57	14.4645	824.48
		Purchase				
6/1/2009	6/4/2009	HESS CORP	HES	20	69.4476	1,388.95
		Purchase				
		BROADCOM CORP				
5/29/2009	6/3/2009	CALIF CL A	BRCM	50	25.87	1,293.50
		Purchase				
5/29/2009	6/3/2009	CME GROUP INC	CME	4	314.2464	1,256.99
		Purchase				
5/29/2009	6/3/2009	SALESFORCE COM INC	CRM	20	37.4717	749.43
		Purchase				
5/28/2009	6/2/2009	BECTON DICKINSON CO	BDX	4	67.1324	268.53
		Purchase				
5/27/2009	6/1/2009	BECTON DICKINSON CO	BDX	20	67.2221	1,344.44
		Purchase				
		ON SEMICONDUCTOR				
5/26/2009	5/29/2009	CRP COM	ONNN	125	6.4094	801.18
		Purchase				
		DISH NETWORK				
5/22/2009	5/28/2009	CORPATION CLASS A	DISH	15	17.0305	255.46
		Purchase				
5/22/2009	5/28/2009	MILLIPORE CORP	MIL	4	63.3606	253.44
		Purchase				
		DISH NETWORK				
5/20/2009	5/26/2009	CORPATION CLASS A	DISH	31	17.7688	550.83
		Purchase				
5/21/2009	5/26/2009	MILLIPORE CORP	MIL	8	66.098	528.78

## Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase PEARSON SPONSORED				
5/20/2009	5/26/2009	ADR	PSO	24	11.1017	266.44
		Purchase PEARSON SPONSORED				
5/20/2009	5/22/2009	ADR	PSO	10	11.012	110.12
		Purchase PROCTER & GAMBLE				
5/19/2009	5/22/2009	CO	PG	25	53.1179	1,327.95
		Purchase AFFILIATED MANAGERS				
5/18/2009	5/21/2009	GRP	AMG	14	56.4882	790.83
		Purchase PEARSON SPONSORED				
5/18/2009	5/21/2009	ADR	PSO	5	10.6923	53.46
		Purchase ALTRIA GROUP INC	MO	33	17.1219	565.02
		Purchase PEARSON SPONSORED				
5/15/2009	5/20/2009	ADR	PSO	35	10.6283	371.99
		Purchase COVIDIEN LTD	COV	15	35.9511	539.27
		Purchase GLAXOSMITHKLINE PLC				
5/14/2009	5/19/2009	ADR	GSK	14	32.2605	451.65
		Purchase ROYAL DUTCH SHELL				
5/14/2009	5/19/2009	PLC SPONS ADR A	RDSA	22	49.3736	1,086.22
		Purchase COVIDIEN LTD	COV	20	35.3133	706.27
		Purchase DOLBY LABORATORIES				
5/12/2009	5/15/2009	INC CL A	DLB	20	38.7135	774.27
		Purchase HOSPIRA INC	HSP	17	33.8719	575.82
		Purchase HOSPIRA INC	HSP	5	33.7861	168.93
5/11/2009	5/14/2009	BAXTER INTERNTL INC	BAX	5	50.6433	253.22
		Purchase ACCENTURE LTD CL A	ACN	40	30.2227	1,208.91
		Purchase DEERE CO	DE	30	46.6823	1,400.47
		Purchase JOHNSON AND				
5/4/2009	5/7/2009	JOHNSON COM	JNJ	30	53.5665	1,607.00

## Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
5/4/2009	5/7/2009	METLIFE INC COM	MET	58	27.6027	1,600.96
		Purchase				
		ADOBE SYS DEL PV\$				
5/1/2009	5/6/2009	0.001	ADBE	35	27.2287	953
		Purchase				
5/1/2009	5/6/2009	TIME WARNER INC SHS	TWX	55	22.5174	1,238.46
		Purchase				
		ADOBE SYS DEL PV\$				
4/30/2009	5/5/2009	0.001	ADBE	10	27.3389	273.39
		Purchase				
4/30/2009	5/5/2009	CUMMINS INC COM	CMI	35	34.1653	1,195.79
		Purchase				
4/29/2009	5/4/2009	WALGREEN CO	WAG	10	31.4957	314.96
		Purchase				
		BANCO B VIZ ARGT SA				
4/24/2009	4/29/2009	ADR	BBV	17	10.7133	182.13
		Purchase				
		BANCO B VIZ ARGT SA				
4/23/2009	4/28/2009	ADR	BBV	15	10.3409	155.11
		Purchase				
		TURKCELL ILETISIM				
4/23/2009	4/28/2009	ADR	TKC	13	12.037	156.48
		Purchase				
		BANCO B VIZ ARGT SA				
4/21/2009	4/24/2009	ADR	BBV	32	10.0711	322.28
		Purchase				
		TURKCELL ILETISIM				
4/21/2009	4/24/2009	ADR	TKC	9	12.1106	109
		Purchase				
		TURKCELL ILETISIM				
4/20/2009	4/23/2009	ADR	TKC	5	12.0351	60.18
		Purchase				
		VALERO ENERGY CORP				
4/20/2009	4/23/2009	NEW	VLO	35	20.117	704.1
		Purchase				
4/20/2009	4/23/2009	WALGREEN CO	WAG	50	29.9833	1,499.17
		Purchase				
4/20/2009	4/23/2009	YAMANA GOLD INC	AUY	24	7.7677	186.42
		Purchase				
		COMPASS GROUP PLC				
4/17/2009	4/22/2009	ADR	CMPGY	39	5.0377	196.47
		Purchase				
4/17/2009	4/22/2009	YAMANA GOLD INC	AUY	22	7.5001	165

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase COMPASS GROUP PLC				
4/16/2009	4/21/2009	ADR	CMPGY	25	5.21	130.25
		Purchase VALERO ENERGY CORP				
4/16/2009	4/21/2009	NEW	VLO	37	21.3171	788.73
		Purchase INTL BUSINESS				
4/15/2009	4/20/2009	MACHINES CORP IBM	IBM	5	97.9193	489.6
		Purchase COMPASS GROUP PLC				
4/14/2009	4/17/2009	ADR	CMPGY	64	5.0476	323.05
		Purchase MARVELL TECHNOLOGY				
4/14/2009	4/17/2009	GROUP	MRVL	51	10.5995	540.57
		Purchase NATIONAL-OILWELL				
4/14/2009	4/17/2009	VARCO INC	NOV	25	34.8227	870.57
		Purchase E M C CORPORATION				
4/13/2009	4/16/2009	MASS	EMC	95	13.0024	1,235.23
		Purchase MARVELL TECHNOLOGY				
4/13/2009	4/16/2009	GROUP	MRVL	23	10.1701	233.91
		Purchase NATIONAL-OILWELL				
4/13/2009	4/16/2009	VARCO INC	NOV	10	33.9927	339.93
		Purchase COMPASS GROUP PLC				
4/9/2009	4/15/2009	ADR	CMPGY	5	4.8515	24.26
		Purchase CISCO SYSTEMS INC				
4/9/2009	4/15/2009	COM	CSCO	27	17.8693	482.47
		Purchase STAPLES INC				
4/9/2009	4/15/2009	SPLS	SPLS	18	21.0743	379.34
		Purchase GILEAD SCIENCES INC				
4/7/2009	4/13/2009	COM	GILD	10	47.5146	475.15
		Purchase INTL BUSINESS				
4/7/2009	4/13/2009	MACHINES CORP IBM	IBM	7	98.9315	692.52
		Purchase ACCENTURE LTD CL A				
4/3/2009	4/8/2009	ACN	ACN	7	28.2283	197.6
		Purchase UNION PACIFIC CORP				
4/3/2009	4/8/2009	UNP	UNP	25	45.9412	1,148.53
		Subscription HSBC HLDG PLC SP ADR				
	4/8/2009	PAY DATE 04/08/2009	HBC	9		166.38

## Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
4/2/2009	4/7/2009	FMC CORP COM NEW	FMC	16	47.7388	763.82
		Purchase				
		GOLDMAN SACHS				
4/2/2009	4/7/2009	GROUP INC	GS	4	114.14	456.56
		Purchase				
		INVESTM TECH GRP INC				
4/2/2009	4/7/2009	NEW	ITG	9	27.6402	248.76
		Purchase				
		PANERA BREAD CO CL				
4/7/2009	4/7/2009	A	PNRA	13	55.4752	721.18
		Trade Correctn				
		PANERA BREAD CO CL				
4/7/2009	4/7/2009	A TRADE OF 4/01 IS CXL	PNRA	-13	55.4752	-721.18
		Trade Correctn				
		PANERA BREAD CO CL				
4/7/2009	4/7/2009	A TRADE OF 4/01 IS CXL	PNRA	-13	55.4752	-721.18
		Purchase				
		UNITED PARCEL SVC CL				
4/2/2009	4/7/2009	B	UPS	10	53.3052	533.05
		Purchase				
		INVESTM TECH GRP INC				
4/1/2009	4/6/2009	NEW	ITG	20	25.9772	519.54
		Purchase				
		PANERA BREAD CO CL				
4/1/2009	4/6/2009	A	PNRA	13	55.4752	721.18
		Purchase				
		PANERA BREAD CO CL				
4/1/2009	4/6/2009	A	PNRA	13	55.4752	721.18
		Purchase				
3/31/2009	4/3/2009	POSCO SPN ADR	PKX	5	66.8343	334.17
		Purchase				
3/30/2009	4/2/2009	COVIDIEN LTD	COV	13	33.4923	435.4
		Purchase				
		CHURCH&DWIGHT CO				
3/27/2009	4/1/2009	INC	CHD	3	51.6584	154.98
		Purchase				
		NORFOLK SOUTHERN				
3/27/2009	4/1/2009	CORP	NSC	20	34.9065	698.13
		Purchase				
		SOUTHWESTERN				
3/27/2009	4/1/2009	ENERGY CO	SWN	24	31.9301	766.32
		Purchase				
		UNITED PARCEL SVC CL				
3/27/2009	4/1/2009	B	UPS	24	50.0742	1,201.78
		Purchase				
		TARGET CORP COM				
3/26/2009	3/31/2009	PER	TGT	10	34.0453	340.45

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
3/24/2009	3/27/2009	ACCENTURE LTD CL A	ACN	5	31.2441	156.22
		Purchase				
3/24/2009	3/27/2009	ANNALY CAP MGMT INC	NLY	62	14.6175	906.29
		Purchase				
3/24/2009	3/27/2009	JPMORGAN CHASE &				
		CO	JPM	40	28.8811	1,155.24
		Purchase				
		NORFOLK SOUTHERN				
3/24/2009	3/27/2009	CORP	NSC	22	33.9339	746.55
		Purchase				
		C.H. ROBINSON				
3/23/2009	3/26/2009	WORLDWIDE, INC. NEW	CHRW	16	45.4961	727.94
		Purchase				
		TORONTO DOMINION				
3/20/2009	3/25/2009	BANK	TD	8	33.7578	270.06
		Purchase				
3/18/2009	3/23/2009	ANNALY CAP MGMT INC	NLY	12	14.5908	175.09
		Purchase				
3/18/2009	3/23/2009	POSCO SPN ADR	PKX	4	64.0504	256.2
		Purchase				
		ROPER INDUSTRIES INC				
3/18/2009	3/23/2009	COM	ROP	12	43.396	520.75
		Purchase				
3/18/2009	3/23/2009	TARGET CORP COM	TGT	39	30.7924	1,200.90
		Purchase				
3/17/2009	3/20/2009	EDISON INTL CALIF	EIX	22	28.1691	619.72
		Purchase				
		ROPER INDUSTRIES INC				
3/17/2009	3/20/2009	COM	ROP	5	42.2972	211.49
		Purchase				
		GEN-PROBE INC				
3/16/2009	3/19/2009	DELAWARE	GPRO	16	45.1154	721.85
		Purchase				
3/16/2009	3/19/2009	PRAXAIR INC	PX	17	64.2843	1,092.83
		Purchase				
		GOLDMAN SACHS				
3/13/2009	3/18/2009	GROUP INC	GS	11	99.0411	1,089.45
		Purchase				
		AMPHENOL CORP CL A				
3/12/2009	3/17/2009	NEW	APH	12	26.0378	312.45
		Purchase				
		FTI CONSULTING INC				
3/12/2009	3/17/2009	COM	FCN	14	48.1657	674.32
		Purchase				
3/12/2009	3/17/2009	PRICELINE COM INC	PCLN	9	78.2829	704.55

## Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
Purchase						
3/11/2009	3/16/2009	ALTRIA GROUP INC	MO	44	16.4393	723.33
Purchase						
3/11/2009	3/16/2009	AMPHENOL CORP CL A	APH	15	25.9278	388.92
Purchase						
3/11/2009	3/16/2009	EDISON INTL CALIF	EIX	15	25.1042	376.56
Purchase						
3/10/2009	3/13/2009	ALTRIA GROUP INC	MO	20	16.1873	323.75
Purchase						
3/10/2009	3/13/2009	EDISON INTL CALIF	EIX	10	24.9806	249.81
Purchase						
3/9/2009	3/12/2009	TORONTO DOMINION BANK	TD	9	26.7981	241.18
Purchase						
3/5/2009	3/10/2009	AMERICAN TOWER CORP CL A	AMT	25	27.6416	691.04
Purchase						
3/5/2009	3/10/2009	EMBARQ CORP	EQ	18	33.5048	603.09
Purchase						
3/4/2009	3/9/2009	CARDINAL HEALTH INC OHIO	CAH	16	30.9531	495.25
Purchase						
3/3/2009	3/6/2009	CARDINAL HEALTH INC OHIO	CAH	5	30.8163	154.08
Purchase						
3/2/2009	3/5/2009	CA INC	CA	63	16.9669	1,068.91
Purchase						
3/2/2009	3/5/2009	VERIZON				
Purchase						
2/27/2009	3/4/2009	COMMUNICATNS COM	VZ	5	27.5299	137.65
Purchase						
2/27/2009	3/4/2009	EMBARQ CORP	EQ	11	35.4332	389.77
Purchase						
2/27/2009	3/4/2009	VERIZON				
Purchase						
2/26/2009	3/3/2009	COMMUNICATNS COM	VZ	10	28.7236	287.24
Purchase						
2/26/2009	3/3/2009	BRISTOL-MYERS SQUIBB CO	BMY	71	20.6063	1,463.05
Purchase						
2/26/2009	3/3/2009	CARDINAL HEALTH INC				
Purchase						
2/26/2009	3/3/2009	OHIO	CAH	15	34.4371	516.56
Purchase						
2/26/2009	3/3/2009	EMBARQ CORP	EQ	10	34.7015	347.02
Purchase						
2/26/2009	3/3/2009	QUEST DIAGNOSTICS				
Purchase						
2/26/2009	3/3/2009	INC	DGX	15	48.659	729.89

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase CARDINAL HEALTH INC				
2/25/2009	3/2/2009	OHIO	CAH	5	35.3769	176.88
		Purchase MEDTRONIC INC COM	MDT	19	34.1918	649.64
		Purchase SUPERVALU INC DEL				
2/25/2009	3/2/2009	COM	SVU	36	18.1792	654.45
		Purchase AXIS CAPITAL				
2/24/2009	2/27/2009	HOLDINGS LTD	AXS	12	23.1979	278.37
		Purchase BMC SOFTWARE INC	BMC	11	29.1969	321.17
		Purchase DRESSER RAND GROUP				
2/23/2009	2/26/2009	INC	DRC	29	18.8901	547.81
		Purchase MEDTRONIC INC COM	MDT	21	34.7119	728.95
		Purchase PNC FINCL SERVICES				
2/23/2009	2/26/2009	GROUP	PNC	36	24.9746	899.09
		Purchase BMC SOFTWARE INC	BMC	14	28.8114	403.36
		Purchase AXIS CAPITAL				
2/19/2009	2/24/2009	HOLDINGS LTD	AXS	11	25.3305	278.64
		Purchase PLAINS EXPL AND				
2/18/2009	2/23/2009	PRODCTN	PXP	34	20.3984	693.55
		Purchase ST JUDE MEDICAL INC	STJ	30	38.2897	1,148.69
		Purchase SUPERVALU INC DEL				
2/18/2009	2/23/2009	COM	SVU	44	17.6625	777.15
		Purchase ALTERA CORP COM	ALTR	48	14.9571	717.94
		Purchase PNC FINCL SERVICES				
2/17/2009	2/20/2009	GROUP	PNC	41	26.5369	1,088.01
		Purchase BHP BILLITON LTD ADR	BHP	25	45.9065	1,147.66
		Purchase HARRIS CORP DEL	HRS	19	44.0672	837.28
		Purchase FREEPRT-MCMRAN CPR				
2/5/2009	2/10/2009	& GLD	FCX	48	27.5645	1,323.10

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase OCCIDENTAL PETE				
2/4/2009	2/9/2009	CORP CAL PER	OXY	6	55.3822	332.29
		Purchase				
2/2/2009	2/5/2009	BOEING COMPANY	BA	19	40.5038	769.57
		Purchase				
1/30/2009	2/4/2009	BOEING COMPANY	BA	7	41.3181	289.23
		Purchase				
1/30/2009	2/4/2009	DUN & BRADSTREET	DNB	11	76.5799	842.38
		Purchase				
1/30/2009	2/4/2009	HARRIS CORP DEL	HRS	18	43.4856	782.74
		Purchase				
1/30/2009	2/4/2009	NEW YORK CMNTY				
1/30/2009	2/4/2009	BANCORP	NYB	47	13.5796	638.24
		Purchase				
1/30/2009	2/4/2009	REPUBLIC SERVICES				
1/29/2009	2/4/2009	INC	RSG	28	26.4014	739.24
		Purchase				
1/29/2009	2/3/2009	AECOM TECH CORP	ACM	30	26.07	782.1
		Purchase				
1/29/2009	2/3/2009	BROADCOM CORP				
1/29/2009	2/3/2009	CALIF CL A	BRCM	15	17.4773	262.16
		Purchase				
1/29/2009	2/3/2009	BLOCK H&R INC	HRB	40	21.2273	849.09
		Purchase				
2/2/2009	2/3/2009	BOEING COMPANY	BA	15	40.7002	610.5
		Purchase				
1/29/2009	2/3/2009	CITRIX SYSTEMS INC				
1/29/2009	2/3/2009	COM	CTXS	37	22.0299	815.11
		Purchase				
1/29/2009	2/3/2009	CROWN HLDGS INC	CCK	45	18.96	853.2
		Purchase				
1/29/2009	2/3/2009	CENTERPOINT ENERGY				
1/29/2009	2/3/2009	INC	CNP	70	13.6099	952.69
		Purchase				
1/29/2009	2/3/2009	CBS CORP NEW CL B	CBS	78	6.0592	472.62
		Purchase				
1/29/2009	2/3/2009	DIGITAL RLTY TR INC	DLR	32	34.7	1,110.40
		Purchase				
1/29/2009	2/3/2009	R R DONNELLEY SONS	RRD	51	10.42	531.42
		Purchase				
1/29/2009	2/3/2009	DOW CHEMICAL CO	DOW	115	12.5991	1,448.90
		Purchase				
1/29/2009	2/3/2009	GENERAL ELECTRIC	GE	35	12.838	449.33

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
1/29/2009	2/3/2009	HILL ROM HLDGS	HRC	56	14.6698	821.51
		Purchase				
1/29/2009	2/3/2009	INTEL CORP	INTC	142	13.4282	1,906.80
		Purchase				
1/29/2009	2/3/2009	JPMORGAN CHASE & CO	JPM	20	26.1773	523.55
		Purchase				
1/29/2009	2/3/2009	LOWE'S COMPANIES INC	LOW	57	19.4786	1,110.28
		Purchase				
2/2/2009	2/3/2009	NEW YORK CMNTY BANCORP	NYB	20	13.2841	265.68
		Purchase				
1/29/2009	2/3/2009	NOBLE CORPORATION	NE	33	27.54	908.82
		Purchase				
1/29/2009	2/3/2009	RED HAT INC	RHT	18	14.8399	267.12
		Purchase				
1/29/2009	2/3/2009	SILICONWARE PRECSN SPADR	SPIL	53	4.2999	227.89
		Purchase				
1/29/2009	2/3/2009	TERRA INDUSTRIES INC COM	TRA	16	20.71	331.36
		Purchase				
1/29/2009	2/3/2009	VOTRNTM CEL PN SPSD ADR	VCP	38	6.3	239.4
		Purchase				
1/29/2009	2/3/2009	WELLS FARGO & CO NEW DEL	WFC	47	19.908	935.68
		Purchase				
1/28/2009	2/2/2009	AETNA INC NEW	AET	19	33.3587	633.82
		Purchase				
1/27/2009	1/30/2009	FRANCE TELECOM ADR PER	FTE	7	24.3037	170.13
		Purchase				
1/23/2009	1/28/2009	DELHAIZE GROUP SPONS ADR	DEG	1	62.2349	62.23
		Purchase				
1/23/2009	1/28/2009	NEW YORK CMNTY BANCORP	NYB	64	12.0907	773.8
		Purchase				
1/22/2009	1/27/2009	FRANCE TELECOM ADR HSBC HLDG PLC SP ADR	FTE HBC	6	24.8986	149.39
		Purchase				
1/22/2009	1/27/2009	HSBC HLDG PLC SP ADR HBC		9	36.6249	329.62

## Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase JUNIPER NETWORKS INC	JNPR	70	16.4847	1,153.93
1/21/2009	1/26/2009	Purchase PNC FINCL SERVICES GROUP	PNC	22	27.1673	597.68
1/20/2009	1/23/2009	Purchase APOLLO GROUP INC CL A	APOL	13	87.8784	1,142.42
		Purchase BECTON DICKINSON CO BDX		4	72.284	289.14
1/16/2009	1/22/2009	Purchase CEMEX SAB DE CV SPND ADR	CX	40	8.8988	355.95
1/14/2009	1/20/2009	Purchase CEMEX SAB DE CV AETNA INC NEW	AET	12	26.0203	312.24
1/14/2009	1/20/2009	Purchase SPND ADR PHILIP MORRIS INTL INC PM		25	9.3843	234.61
1/14/2009	1/20/2009	Purchase DIAMOND OFFSHORE DRLNG	DO	11	41.4073	455.48
1/12/2009	1/15/2009	Purchase IHS INC IHS		7	58.6084	410.26
1/12/2009	1/15/2009	Purchase PNC FINCL SERVICES GROUP	PNC	13	46.5636	605.33
1/12/2009	1/15/2009	Purchase HSBC HLDG PLC SP ADR HBC		20	47.8873	957.75
1/9/2009	1/14/2009	Purchase RED HAT INC	RHT	10	48.2155	482.16
1/9/2009	1/14/2009	Purchase AETNA INC NEW	AET	43	15.1013	649.36
1/8/2009	1/13/2009	Purchase ALLIANT TECHSYSTEMS INC	ATK	10	29.0346	290.35
1/8/2009	1/13/2009	Purchase AETNA INC NEW PER	AET	7	84.6802	592.76
1/7/2009	1/12/2009	Purchase XTO ENERGY INC	XTO	30	29.1505	874.52
1/6/2009	1/9/2009	Purchase SAP AKGSLTT SPONSORED ADR	SAP	30	40.9727	1,229.18
1/2/2009	1/7/2009			5	35.9504	179.75

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase SAP AKGSLTT				
12/31/2008	1/6/2009	SPONSORED ADR PER	SAP	4	36.0747	144.3

## Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
11/24/2009	11/30/2009	ISHARES S&P GROW ALLOCAT AOR		150	28.8273	4,379.45
		Purchase				
		EATON VANCE TAX MAN GLB				
11/23/2009	11/27/2009	BUY WRT OPP FD	ETW	300	14.0248	4,262.79
		Purchase				
		ISHARES IBOXX\$ HIGH YIEL				
11/18/2009	11/23/2009	CORPORATE BOND FUND	HYG	50	86.21	4,365.85
		Purchase				
		MARKET VECTORS GLOBAL				
3/17/2009	3/20/2009	ALT	GEX	90	16.6	1,561.37
		Purchase				
3/17/2009	3/20/2009	SPDR KBW REGNL BKNG ETF	KBE	110	12.83	1,478.56

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol/CUSI	Quantity	Price	Amount
		Purchase NEW YORK ST TWY AT GEN RV SER G FSA SEP05 04.750%JAN01 30 CALLABLE-MAY AFFECT YIEL BOOK				
9/24/2009	9/29/2009	ENTRY ONLY	650009QN6	50,000	105	53,085.91
		Purchase POWERSHARES GLOBAL				
9/24/2009	9/29/2009	EXCHANGE TRADED FD TR ACTUAL PZT		80	23.55	1,939.35

## SCHEDULE V – LIABILITIES

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

## SCHEDULE VI – GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year.

**Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold.

**Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example:    Mr. Joseph H. Smith, Annuityman, Annuityman	Silver Platter (determination on personal friendship received from Committee on Standards)	None

**Example:** Mr. Joseph H. Smith, Anytown, Anystate

None

**Use additional sheets if more space is required.**

Name Carolyn McCarthy

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## SCHEDULE VII – TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Carolyn McCarthy

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

**Exclude:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor.

or were paid by you and reimbursed by the sponsor.

**Exclude:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

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## SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

		zations); and positions solely of an honorary nature.
Position	Name of Organization	
Honorary Board Member	New Yorkers Against Gun Violence	
Honorary Board Member	Long Island Blood Service	

## SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; continuing participation in an employee welfare or benefit plan maintained by a former employer; or publication of a book.

Date	Parties To	Terms of Agreement

**Use additional sheets if more space is required.**

Name Carolyn McCarthy

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