



Filing ID #10004816

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Henry A. Waxman
Status: Former Member
State/District: CA33

FILING INFORMATION

Filing Type: Terminated Filer Report
Filing Year: 2015
Filing Date: 01/28/2015

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
529 Savings Plans ⇒ 529 Savings Plan -- Noa Abramson		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
529 Savings Plans ⇒ 529 Savings Plan -- Ari Abramson		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
529 Savings Plans ⇒ 529 Savings Plan -- Maya Abramson		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Henry A & Janet F Waxman Trust ⇒ Vanguard Emerging Markets Index Fund		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Henry A & Janet F Waxman Trust ⇒ Vanguard European Index Fund		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Henry A & Janet F Waxman Trust ⇒ Vanguard Extended Market Index Fund		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Henry A & Janet F Waxman Trust ⇒ Vanguard Pacific Market Index Fund		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Henry A & Janet F Waxman Trust ⇒		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Prime Money Market Index Fund					
Henry A & Janet F Waxman Trust ⇒ Vanuard 500 Index Fund		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Henry A & Janet F Waxman Trust ⇒ Vaunguard Developed Markets		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Henry A Waxman Traditional IRA ⇒ Vanguard Global ex-US Real Estate Index Fund		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Henry A Waxman Traditional IRA ⇒ Vanguard Mid-Cap Index Fund		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Henry A Waxman Traditional IRA ⇒ Vanguard Real Estate Investment Index Fund		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Israeli Bonds	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Janet F Waxman Roth IRA ⇒ Vanguard 500 Index fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Janet F Waxman Traditional IRA ⇒ Vanguard Small Cap Index Fund		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Janet F Waxman Traditional IRA ⇒ Vanguard Total Stock Index Fund		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Henry A & Janet F Waxman Trust ⇒ Vanguard 500 Index fund		03/10/2014	P	\$1,001 - \$15,000	
Henry A & Janet F Waxman Trust ⇒ Vanguard 500 Index fund		03/21/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Henry A Waxman Traditional IRA ⇒ Vanguard Mid-Cap Index Fund		03/10/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Henry A Waxman Traditional IRA ⇒ Vanguard Mid-Cap Index Fund		06/9/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Henry A Waxman Traditional IRA ⇒ Vanguard Mid-Cap Index Fund		07/1/2014	P	\$1,001 - \$15,000	
Henry A Waxman Traditional IRA ⇒ Vanguard Mid-Cap Index Fund		09/10/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Henry A Waxman Traditional IRA ⇒ Vanguard Mid-Cap Index Fund		10/23/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details				Inclusions		
Source	Start Date	End Date	Itinerary	Lodging?	Food?	Family?
Aspen Institute Congressional Program	02/18/2014	02/23/2014	Washington DC - Cartagena, Colombia - Washington DC	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Aspen Institute Congressional Program	08/14/2014	08/20/2014	Washington DC - Istanbul, Turkey - Washington DC	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Source	Activity	Date	Amount	Charity Name
National Cable & Telecommunicaions Association Annual Cable Industry Fly-in	Speech	03/5/2014	\$2,000	
European World Generic Medicines Conference	Speech	04/2/2014	\$2,000	
American Academy of Family Physicians - Family Medicine Congressional Conference	Speech	04/7/2014	\$1,000	
American Academy of Family Physicians - Family Medicine Congressional Conference	Speech	04/7/2014	\$1,000	
340B program Lifetime Achievement Award	Speech	07/14/2014	\$500	
340B program Lifetime Achievement Award	Speech	07/14/2014	\$500	Heifetz Music International
340B program Lifetime Achievement Award	Speech	07/14/2014	\$500	
340B program Lifetime Achievement Award	Speech	07/14/2014	\$500	
Annual Government Health Care Congress	Speech	07/16/2014	\$2,000	
The Capitol Forum	Speech	12/16/2014	\$2,000	

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none">529 Savings Plans LOCATION: NVHenry A & Janet F Waxman TrustHenry A Waxman Traditional IRAJanet F Waxman Roth IRAJanet F Waxman Traditional IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Henry A. Waxman , 01/28/2015