



Filing ID #10005665

# FINANCIAL DISCLOSURE REPORT

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Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

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## FILER INFORMATION

**Name:** Hon. David Cheston Mr Rouzer  
**Status:** Congressional Candidate  
**State/District:** NCo7

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2014  
**Filing Date:** 04/26/2015  
**Period Covered:** 01/01/2013– 04/30/2014

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
1ST GLOBAL RESEARCH & CONSULTING ⇒ OPPENHEIMER INTER DIVER FD CLAS A		\$1,001 - \$15,000	Tax-Deferred	None	None
1ST GLOBAL RESEARCH & CONSULTING ⇒ OPPENHEIMER INTER DIVER FD CLAS A		\$1,001 - \$15,000	Tax-Deferred	None	None
1ST GLOBAL RESEARCH & CONSULTING ⇒ PIMCO HIGH YIELD CLASS A		\$1,001 - \$15,000	Tax-Deferred	None	None
1ST GLOBAL RESEARCH & CONSULTING ⇒ PIMCO HIGH YIELD CLASS A		\$1,001 - \$15,000	Tax-Deferred	None	None
1ST GLOBAL RESEARCH & CONSULTING ⇒ PRIME FUND CAPITAL RESERVES CLASS		\$1 - \$1,000	Tax-Deferred	None	None
1ST GLOBAL RESEARCH & CONSULTING ⇒ PRIME FUND CAPITAL RESERVES CLASS		\$1 - \$1,000	Tax-Deferred	None	None
1ST GLOBAL RESEARCH & CONSULTING ⇒ T ROWE PRICE CAP APPRECIATION		\$1,001 - \$15,000	Tax-Deferred	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
1ST GLOBAL RESEARCH & CONSULTING ⇒ T ROWE PRICE CAP APPRECIATION		\$1,001 - \$15,000	Tax-Deferred	None	None
1ST GLOBAL RESEARCH & CONSULTING ⇒ VANGUARD SMALL CAP STOCK IND TRUST INV		\$1,001 - \$15,000	Tax-Deferred	None	None
1ST GLOBAL RESEARCH & CONSULTING ⇒ VANGUARD SMALL CAP STOCK IND TRUST INV		\$1,001 - \$15,000	Tax-Deferred	None	None
AMERICAN FUNDS ⇒ AMERICAN HIGH TRUST		\$1,001 - \$15,000	Tax-Deferred	None	None
AMERICAN FUNDS ⇒ AMERICAN HIGH TRUST		\$1,001 - \$15,000	Tax-Deferred	None	None
AMERICAN FUNDS ⇒ AMERICAN MUTUAL FUND		\$50,001 - \$100,000	Tax-Deferred	None	None
AMERICAN FUNDS ⇒ AMERICAN MUTUAL FUND		\$50,001 - \$100,000	Tax-Deferred	None	None
AMERICAN FUNDS ⇒ BOND FUND OF AMERICA		\$15,001 - \$50,000	Tax-Deferred	None	None
AMERICAN FUNDS ⇒ BOND FUND OF AMERICA		\$15,001 - \$50,000	Tax-Deferred	None	None
AMERICAN FUNDS ⇒ CAPITAL INCOME BUILDER		\$50,001 - \$100,000	Tax-Deferred	None	None
AMERICAN FUNDS ⇒ CAPITAL INCOME BUILDER		\$50,001 - \$100,000	Tax-Deferred	None	None
AMERICAN FUNDS ⇒ FUNDAMENTAL INVESTORS		\$15,001 - \$50,000	Tax-Deferred	None	None
AMERICAN FUNDS ⇒ FUNDAMENTAL INVESTORS		\$15,001 - \$50,000	Tax-Deferred	None	None
AMERICAN FUNDS ⇒ Growth Fund		\$15,001 - \$50,000	Tax-Deferred	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
AMERICAN FUNDS ⇒ Growth Fund		\$15,001 - \$50,000	Tax-Deferred	None	None
AMERICAN FUNDS ⇒ INT'L GROWTH & INCOME		\$15,001 - \$50,000	Tax-Deferred	None	None
AMERICAN FUNDS ⇒ INT'L GROWTH & INCOME		\$15,001 - \$50,000	Tax-Deferred	None	None
ING DIRECT ⇒ SAVINGS		\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
ING DIRECT ⇒ SAVINGS		\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
REAL ESTATE PROPERTY ⇒ CONDO  LOCATION: RALEIGH/WAKE, NC, US		\$100,001 - \$250,000	Capital Gains	\$5,001 - \$15,000	None
REAL ESTATE PROPERTY ⇒ CONDO  LOCATION: RALEIGH/WAKE, NC, US		\$100,001 - \$250,000	Capital Gains	\$5,001 - \$15,000	None
REAL ESTATE PROPERTY ⇒ CONDO RENTAL  DESCRIPTION: ANNUAL RENTAL INCOME OF CONDO		\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	\$5,001 - \$15,000
REAL ESTATE PROPERTY ⇒ CONDO RENTAL  LOCATION: Raleigh/WAKE, NC, US DESCRIPTION: ANNUAL RENTAL INCOME OF CONDO		\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	\$5,001 - \$15,000
State of NC Defined Benefit Retirement Plan ⇒ Teachers & State Employees Retirement System and Legislative Retirement System Benefits		Undetermined	Tax-Deferred		
TD WATERHOUSE CLEARING ⇒ Annaly Capital Management Inc (NLY)		\$1,001 - \$15,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒ Annaly Capital Management Inc (NLY)		\$1,001 - \$15,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒ AT&T Inc. (T)		\$1,001 - \$15,000	Tax-Deferred	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
TD WATERHOUSE CLEARING ⇒ AT&T Inc. (T)		\$1,001 - \$15,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒ BRK Inc (BRKK)		\$1,001 - \$15,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒ BRK Inc (BRKK)		\$1,001 - \$15,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒ CASH		\$1,001 - \$15,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒ CASH		\$1,001 - \$15,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒ Oncothyreon Inc. - Common Shares (ONTY)		\$1 - \$1,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒ Oncothyreon Inc. - Common Shares (ONTY)		\$1 - \$1,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒ SELECT SECTOR SPDR TRUST ENERGY SELECT INDEX		\$1,001 - \$15,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒ SELECT SECTOR SPDR TRUST ENERGY SELECT INDEX		\$1,001 - \$15,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒ SELECT SECTOR SPDR TRUST FINANCIAL SELECT SECTOR FUND		\$1,001 - \$15,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒ SELECT SECTOR SPDR TRUST FINANCIAL SELECT SECTOR FUND		\$1,001 - \$15,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒ SPDR GOLD TR GOLD SHS ETF		\$1,001 - \$15,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒ SPDR GOLD TR GOLD SHS ETF		\$1,001 - \$15,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒		\$1,001 - \$15,000	Tax-Deferred	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
US PREFERRED STOCK ETF					
TD WATERHOUSE CLEARING ⇒ US PREFERRED STOCK ETF		\$1,001 - \$15,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒ Visa Inc. (V)		\$1,001 - \$15,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒ Visa Inc. (V)		\$1,001 - \$15,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒ Whole Foods Market, Inc. (WFM)		\$1 - \$1,000	Tax-Deferred	None	None
TD WATERHOUSE CLEARING ⇒ Whole Foods Market, Inc. (WFM)		\$1 - \$1,000	Tax-Deferred	None	None
THE ROUZER COMPANY ⇒ R&C DISTRIBUTORS, LLC		\$1,001 - \$15,000	None		
LOCATION: BENSON/JOHNSTON, NC, US DESCRIPTION: WAREHOUSE DISTRIBUTORSHIP					
THE ROUZER COMPANY ⇒ R&C DISTRIBUTORS, LLC		\$1,001 - \$15,000	None		
LOCATION: BENSON/JOHNSTON, NC, US DESCRIPTION: WAREHOUSE DISTRIBUTORSHIP					
THE ROUZER COMPANY		\$1,001 - \$15,000	None		
LOCATION: BENSON/JOHNSTON, NC, US DESCRIPTION: MARKETING AND BUSINESS DEVELOPMENT					
THE ROUZER COMPANY		\$1,001 - \$15,000	None		
LOCATION: BENSON/JOHNSTON, NC, US DESCRIPTION: MARKETING AND BUSINESS DEVELOPMENT					

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
THE ROUZER COMPANY	CONSULTING		\$11,300

Source	Type	Amount Current Year to Filing	Amount Preceding Year
R&C DISTRIBUTORS, LLC	SALES	\$906.85	\$4,828.92

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
	PNC MORTGAGE	MARCH 2001	MORTGAGE ON RALEIGH CONDO	\$100,001 - \$250,000
	FIRST CITIZENS BANK	AUGUST 2008	LINE OF CREDIT ON RALEIGH CONDO	\$15,001 - \$50,000

**SCHEDULE E: POSITIONS**

Position	Name of Organization
CONSULTANT	JENKINS HILL CONSULTING
CONSULTANT	GREENTECH SOLUTIONS GROUP, LLC
CONSULTANT	I-SMART MOBILE MARKETING
CONSULTANT	COMPASS NC
CONTRACT GROWER	PHILIP MORRIS INTERNATIONAL

**SCHEDULE F: AGREEMENTS**

Date	Parties To	Terms of Agreement
January 2013	MYSELF AND THE STATE OF NC	RETIRED AND FORMER STATE LEGISLATORS HEALTH PLAN
January 2013	MYSELF AND THE STATE OF NC	TEACHERS AND STATE EMPLOYEES RETIREMENT SYSTEM AND LEGISLATIVE RETIREMENT SYSTEM BENEFITS BEGINNING AT AGE 60

**SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

Source (Name and Address)	Brief Description of Duties
SHERMAN'S 1400 BROADWAY, LTD (NEW YORK, NY, US)	CONSULTING SERVICES
JAPAN USA (DANVILLE, VA, US)	CONSULTING SERVICES
KINGMAKER MARKETING, INC. (PARAMUS, NJ, US)	CONSULTING SERVICES

## SCHEDULE A ASSET CLASS DETAILS

- 1ST GLOBAL RESEARCH & CONSULTING
- AMERICAN FUNDS
- ING DIRECT  
LOCATION: US
- REAL ESTATE PROPERTY  
LOCATION: US
- State of NC Defined Benefit Retirement Plan
- TD WATERHOUSE CLEARING
- THE ROUZER COMPANY  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. David Cheston Mr Rouzer , 04/26/2015