



Filing ID #10015412

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. K. Michael Conaway
Status: Member
State/District: TX11

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 08/13/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Conabain Corporation LOCATION: Midland, TX, US DESCRIPTION: Royalty income Net loss		\$1 - \$1,000	Royalty	None	<input type="checkbox"/>
Conawell Corporation LOCATION: Midland, TX, US DESCRIPTION: Passive Investment entity	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
HKN, Inc. (HKNI)		\$1 - \$1,000	None		<input type="checkbox"/>
Insurance Policies ⇒ American General 48		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Life 07		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 03		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 17		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Insurance Policies ⇒ Northwestern Mutual 38		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 73		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 75		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 96		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ Eaton Vance Balanced Fund Cl A DESCRIPTION: Transferred from W91	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ FIA Card Svcs RASP	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ International Business Machines Corporation (IBM)	JT	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ Invesco American Constellation Fd	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ JP Morgan Chase & Co. (JPM)	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ Putnam Global Healthcare Fd	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ Valley National Bancorp (VLY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JT M39 (Merrill Lynch) ⇒ Clayton Williams Energy, Inc. (CWEI) DESCRIPTION: Transferred from JT W58 (Wells Fargo)	JT	\$50,001 - \$100,000	Dividends	None	<input type="checkbox"/>
JT M39 (Merrill Lynch) ⇒ ML Bank Deposit Program	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JT W58 (Wells Fargo) ⇒ Bank Deposit Sweep	JT	None	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Transferred to JT M39					
JT W91 (Wells Fargo) ⇒ Bank Deposit Sweep	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to Mo6					
JT W91 (Wells Fargo) ⇒ Eaton Vance Balanced Fund CL A	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Transfer to Mo6					
JT W91 (Wells Fargo) ⇒ Putnam Global Health Care FD CL A	JT	None	Dividends	None	<input type="checkbox"/>
DESCRIPTION: Transfer to Mo6					
Kidwell Exploration	SP	\$1,001 - \$15,000	Royalty	None	<input type="checkbox"/>
LOCATION: Midland, TX, US DESCRIPTION: Royalty					
KMC Inc		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: Midland, TX, US DESCRIPTION: Dividends from investments					
Lario Oil & Gas	JT	\$100,001 - \$250,000	Oil & Gas Royalties	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Position not reported on 2015 Financial Disclosure					
Met Life Insurance	SP	None	Structured Settlement	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Structured Settlement					
Prudential SSA	SP	None	Structured Settlement	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Structured Settlement					
SP E48 (Edward Jones) ⇒ Capital World Bond Fund	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ Dreyfus Bond Market Index Fund	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ Edward Jones Money Market Fund	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒	SP	\$15,001 - \$50,000	Dividends	\$201 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
iShares MSCI EAFE ETF				\$1,000	
SP E48 (Edward Jones) ⇒ iShares MSCI EAFE Value ETF	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Core Total U.S. Bond Market ETF (AGG)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares MSCI EAFE Growth ETF (EFG)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares MSCI EAFE Small-Cap ETF (SCZ)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell 1000 Growth ETF (IWF)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell 1000 Value ETF (IWD)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell 2000 ETF (IWM)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell Midcap Growth ETF (IWP)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell Mid-cap Value ETF (IWS)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares S&P 500 Growth ETF (IVW)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ JP Morgan Federal Money Market Fund	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ JP Morgan High Yield Fund	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ Legg Mason Gbl Opp Bond Fund	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ SPDR Dow Jones Industrial Average ETF (DIA)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP E48 (Edward Jones) ⇒ SPDR S&P Dividend ETF (SDY)	SP	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ Vanguard FTSE All World Ex US ETF (VEU)	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ Vanguard High Dividend Yield ETF - DNQ (VYM)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ VANGUARD INTERMEDIATE TERM: BOND ETF DESCRIPTION: VANGUARD INTERMEDIATE TERM: BOND ETF	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ VANGUARD LARGE-CAP ETF DESCRIPTION: VANGUARD LARGE-CAP ETF	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ Vanguard Total Bond Market ETF (BND)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ **AMERICAN FDS MONEY MKT FD: CL A: 12/08/15 AT \$1.00/SHARE	SP	None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Amcap Fund CL A	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Airlines Group, Inc. (AAL)	SP	None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Balanced Fund CL A	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Funds Dev World Growth	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Funds Global Balanced Fund CL A	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American International Growth & Income Fund CL A	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Mutual Fund CL A	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP E62 (Edward Jones) ⇒ AT&T Inc. (T)	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Bank of America Corporation (BAC)	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ BHP Billiton plc Sponsored ADR (BBL)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase and sale in 2016					
SP E62 (Edward Jones) ⇒ Campbell Soup Company (CPB)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Capital Income Builders Fund CL A	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Capital World Growth & Income Fund CL A	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Coca-Cola Company (KO)	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Columbia Tax Exempt Fund CL A	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Deere & Company (DE)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Edward Jones Money Market	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Emerson Electric Company (EMR)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Europacific Growth Fund CL A	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Federated Equity Income Fund CL A	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Federated Kaufmann Fund CL A	SP	None	None		<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Founding Fund Allocation CL A	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP E62 (Edward Jones) ⇒ Franklin Mutual Global Discovery FD	SP	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Mutual Shares Fund	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Rising Dividend Fund	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Fundamental Investors Fund CL A	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Growth Fund of America CL A	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Hartford Dividend & Growth Fund	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Hartford Healthcare Fund CL A	SP	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ INVESCO HIGH YIELD MUNICIPAL: FUND CL A	SP	\$50,001 - \$100,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Invesco Ltd (IVZ)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Investment Company of America Fund CL A	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Microsoft Corporation (MSFT)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ New Perspective Fund CL A	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ New World Fund CL A	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Nuveen AMT-Free Municipal Value	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Putnam Global Healthcare Fund CL A	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP E62 (Edward Jones) ⇒ Tax Exempt Bond Fund CL A	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Templeton Growth Fund CL A	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Trimble Inc. (TRMB)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED: INC & GRWTH PRT FALL 2015 SER: MONTHLY REINVESTMENT: SOLICITED: PROSPEC	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST DIVERSIFIED: INC & GRWTH PRT FALL 2015 SER: MONTHLY REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED: INCME & GRWTH PRTFLIO WINTER: 2015 SER MONTHLY REINVESTMENT: SOLICITED:	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST DIVERSIFIED: INCME & GRWTH PRTFLIO WINTER: 2015 SER MONTHLY REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED: AS OF 11/23/15					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED: INCOME & GROWTH PORT SER 2015: SUMMER MONTHLY REINVESTMENT: SOLICITED:	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST DIVERSIFIED: INCOME & GROWTH PORT SER 2015: SUMMER MONTHLY REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST GLOBAL DIVID: PORT SER 42 MONTHLY REINVEST: SOLICITED: PROSPECTUS ENCLOSED	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST GLOBAL DIVID: PORT SER 42 MONTHLY REINVEST: SOLICITED: PROSPECTUS ENCLOSED					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST MKT STRENGTH: ALLOCATION SELECT SER 13: PORT SEMI ANNUAL REINVEST: CASH IN LIEU OF	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST MKT STRENGTH: ALLOCATION SELECT SER 13: PORT SEMI ANNUAL REINVEST: CASH IN LIEU OF .07826: SHARES AT \$10.0535 PER UNIT					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST: SER 24 INTL CAP STRENGTH PORT: SEMI ANNUAL REINVESTMENT: SOLICITED: PROSPECTUS ENCL	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: UIT FIRST TRUST: SER 24 INTL CAP STRENGTH PORT: SEMI ANNUAL REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED					
SP E62 (Edward Jones) ⇒ UIT INVESCO UNIT TRS CENT: EQUITY TR DIVERSFED SER 2016-2: QUARTERLY REINVEST: SOLICITED: PROSPECTUS	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: UIT INVESCO UNIT TRS CENT: EQUITY TR DIVERSFED SER 2016-2: QUARTERLY REINVEST: SOLICITED: PROSPECTUS					
SP E62 (Edward Jones) ⇒ Unit Trust First Trust Diversified Equity Series # 1Q13	SP	None	None		<input type="checkbox"/>
DESCRIPTION: Unit Trust Reported in error in 2015					
SP E62 (Edward Jones) ⇒ Washington Mutual Investors Fund CL A	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Wimberly Texas Ind Sch Dist 0.00% 08/15/38	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Municipal Bond					
SP E76 (Edward Jones) ⇒ Washington Mutual Investors Fund CL A	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M36 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	None	None		<input checked="" type="checkbox"/>
SP M36 (Merrill Lynch) ⇒ Eagle Growth & Income Fund	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Position liquidated in 2015					
SP M36 (Merrill Lynch) ⇒ Ft Unit 5601 Sabrient Bakers Dozen	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Ft Unit 5601 Sabrient Bakers Dozen					
SP M36 (Merrill Lynch) ⇒ Ft Unit 5935 Sabrient Bakers Dozen Port 2016	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Ft Unit 5935 Sabrient Bakers Dozen Port 2016					
SP M36 (Merrill Lynch) ⇒ GOLDMAN SACHS CAPITAL II - VAR% PERPETUAL CALLABLE	SP	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: GOLDMAN SACHS CAPITAL II - VAR% PERPETUAL CALLABLE					
SP M36 (Merrill Lynch) ⇒ Ivy Asset Strategy Fd	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Asset purchased in 2014 . Sold in 2015 Not reported on 2015 FD					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M36 (Merrill Lynch) ⇒ JP Morgan US Equity Fd	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M36 (Merrill Lynch) ⇒ SX5E LIRN ISSUER HSBC PART 118.00%	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: SX5E LIRN ISSUER HSBC PART 118.00%					
SP M36 (Merrill Lynch) ⇒ WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL CALLABLE	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL CALLABLE					
SP M37 (Merrill Lynch) ⇒ Bank of America NA RASP	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	None	None		<input checked="" type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ Davis NY Venture FD Cl A	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Purchases and position not reported in 2015					
SP M37 (Merrill Lynch) ⇒ Eagle Growth & Income Fd	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ FT Unit 3935 Sabrient Bakers Dozen 2016	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: FT Unit 3935 Sabrient Bakers Dozen 2016					
SP M37 (Merrill Lynch) ⇒ Ft Unit 5601 Sabrient Bakers Dozen 2015	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Purchases and position not reported in 2015 No gain on transaction					
SP M37 (Merrill Lynch) ⇒ Ivy Asset Strategy Fd	SP	None	None		<input checked="" type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ JP Morgan US Equity Fd	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ SP500 ARN ISSUER BAC MLTUK CAP 15.42%	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 ARN ISSUER BAC MLTUK CAP 15.42%					
SP M37 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER CAP 14.54%	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: SP500 CLIRN ISSUER CAP 14.54%					
SP M37 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER CAP 16.60%	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 CLIRN ISSUER CAP 16.60%					
SP M37 (Merrill Lynch) ⇒ SX5E LIRN ISSUER HSBC PART 118.00%	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: SX5E LIRN ISSUER HSBC PART 118.00%					
SP M37 (Merrill Lynch) ⇒ US BANCORP JR SUBORDNTD SER I GLB VAR% PERPETUAL	SP	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: US BANCORP JR SUBORDNTD SER I GLB VAR% PERPETUAL					
SP M37 (Merrill Lynch) ⇒ WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL					
SP M40 (Merrill Lynch) ⇒ Arlington Tex Higher Ed Fin Corp 16.05% 12 01 51	SP	\$50,001 - \$100,000	Interest	None	<input checked="" type="checkbox"/>
DESCRIPTION: Arlington Tex Higher Ed Fin Corp 16.05% 12 01 51					
SP M40 (Merrill Lynch) ⇒ Bank of America	SP	\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	None	None		<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Davis NY Venture FD	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ DJIA LIRN Issurer RBC PART 111.30%	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: DJIA LIRN Issurer RBC PART 111.30%					
SP M40 (Merrill Lynch) ⇒ Endologix, Inc. (ELGX)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ First Eagle Global FD	SP	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Ft Unit 5463 Sabrient Forward Looking	SP	None	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Ft Unit 5463 Sabrient Forward Looking Liquidated final fractional share for \$396					
SP M40 (Merrill Lynch) ⇒ Ft Unit 5601 Sabrient Bakers Dozen 2015	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Ft Unit 5601 Sabrient Bakers Dozen5					
SP M40 (Merrill Lynch) ⇒ FT Unit 5935 Sabrient Bakers Dozen 2016	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: FT Unit 5935 Sabrient Bakers Dozen 2016					
SP M40 (Merrill Lynch) ⇒ GENERATION PK MGMT DIST TEX ROAD 3.75% 09 01 2036	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: GENERATION PK MGMT DIST TEX ROAD 3.75% 09 01 2036					
SP M40 (Merrill Lynch) ⇒ Hartford Growth Opportunities FD	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ INDU BUF CAP PART NTS CAP 66.45% SV..	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
DESCRIPTION: INDU BUF CAP PART NTS CAP 66.45% SV..					
SP M40 (Merrill Lynch) ⇒ Insurance Contract - JAX Nst'l Perspect	SP	\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
DESCRIPTION: Additional contribution					
SP M40 (Merrill Lynch) ⇒ JP Morgan US Equity Fd	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Lord Abbett Short Duration Income FD	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Position liquidated in prior year					
SP M40 (Merrill Lynch) ⇒ ML Bank Deposit Program	SP	None	None		<input type="checkbox"/>
DESCRIPTION: See Bank of America and Merrill Lynch cash accounts combined					
SP M40 (Merrill Lynch) ⇒ Nuveen Large Cap Core Fd	SP	None	None		<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Prudential Short Term Corporate Bond FD	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Position liquidated in prior year					
SP M40 (Merrill Lynch) ⇒ R2000 ARN ISSUER SEK CAP 14.37%	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: R2000 ARN ISSUER SEK CAP 14.37%					
SP M40 (Merrill Lynch) ⇒ R2000 ARN ISSUER SEK CAP 18.51	SP	None	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: R2000 ARN ISSUER SEK CAP 18.51					
SP M40 (Merrill Lynch) ⇒ R2000 C LIRN Issuer CAP 14.10%	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: R2000 C LIRN Issuer CAP 14.10%					
SP M40 (Merrill Lynch) ⇒ R2000 CLIRN ISSUER CIBC CAP 18.18%	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: R2000 CLIRN ISSUER CIBC CAP 18.18%					
SP M40 (Merrill Lynch) ⇒ R2000 CLIRNS ISSUER CIBC CAP 20.40	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: R2000 CLIRNS ISSUER CIBC CAP 20.40					
SP M40 (Merrill Lynch) ⇒ R2000 STEPUP ISSUER DB 30%	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: R2000 STEPUP ISSUER DB 30%					
SP M40 (Merrill Lynch) ⇒ R2000 Stepup Issuer 25.00	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: R2000 Stepup Issuer 25.00					
SP M40 (Merrill Lynch) ⇒ SP500 ARN Issuer Bac Cap 11.16%	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 ARN Issuer Bac Cap 11.16%					
SP M40 (Merrill Lynch) ⇒ SP500 BEARARN ISS 14.54%	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 BEARARN ISS 14.54%					
SP M40 (Merrill Lynch) ⇒ SP500 C LIRN Issuer bac 12.02%	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: SP500 C LIRN Issuer bac 12.02%					
SP M40 (Merrill Lynch) ⇒ SP500 C LIRN Issuer bac 14.54%	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
DESCRIPTION: SP500 C LIRN Issuer bac 14.54% Fund position transferred from SP M36 and purchase on 06/08/16					
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN Issuer bac 14.04%	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 CLIRN Issuer bac 14.04%					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN Issuer bac 14.80%	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 CLIRN Issuer bac 14.80%					
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN Issuer bac 15.36%	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 CLIRN Issuer bac 15.36%					
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN Issuer bac 19.85%	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 CLIRN Issuer bac 19.85%					
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 16.60% SV 2,036.71 DUE 03/28/18	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 16.60% SV 2,036.71 DUE 03/28/18 Transfer from SP M36					
SP M40 (Merrill Lynch) ⇒ SP500 Suns Issurer 16.30%	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
DESCRIPTION: SP500 Suns Issurer 16.30%					
SP M81 (Merrill Lynch) ⇒ 3M Company (MMM)	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Abbott Laboratories (ABT)	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ AbbVie Inc. (ABBV)	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Adobe Systems Incorporated (ADBE)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ AEGON N.V. (AEG)	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Several sales of less than \$1,000					
SP M81 (Merrill Lynch) ⇒ Alexion Pharmaceuticals, Inc. (ALXN)	SP	None	None		<input type="checkbox"/>
DESCRIPTION: Several sales less that \$1,000					
SP M81 (Merrill Lynch) ⇒ Allergan plc Ordinary Shares (AGN)	SP	None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒	SP	None	Capital Gains	\$2,501 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Amazon.com, Inc. (AMZN)				\$5,000	
SP M81 (Merrill Lynch) ⇒ AMC Networks Inc. - Class A (AMCX)	SP	None	None		<input type="checkbox"/>
DESCRIPTION: Several sales less than \$1,000					
SP M81 (Merrill Lynch) ⇒ Amdocs Limited - Ordinary Shares (DOX)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ America Movil, S.A.B. de C.V. American Depositary Receipt Series L (AMX)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ American Electric Power Company, Inc. (AEP)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ameriprise Financial, Inc. (AMP)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ AMETEK, Inc. (AME)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Amphenol Corporation (APH)	SP	None	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Anhui Conch Cement Un-sponsored ADR representing Shares -H- (AHCHY)	SP	None	None		<input type="checkbox"/>
DESCRIPTION: Several sales of less than \$1,000					
SP M81 (Merrill Lynch) ⇒ Aon plc Class A Ordinary Shares (AON)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Apple Inc. (AAPL)	SP	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Archer-Daniels-Midland Company (ADM)	SP	None	None		<input type="checkbox"/>
DESCRIPTION: Two sales less than \$1,000					
SP M81 (Merrill Lynch) ⇒ Astrazeneca PLC (AZN)	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ AT&T Inc. (T)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M81 (Merrill Lynch) ⇒ athenahealth, Inc. (ATHN)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Atmel Corporation (ATML)	SP	None	None		<input type="checkbox"/>
DESCRIPTION: Exchange for Microchip 04/05/2016					
SP M81 (Merrill Lynch) ⇒ Atos Origin SA Unsponsored ADR (AEXAY)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Australia and New Zealand Banking Group Limited (ANZBY)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ AXA SA Sponsored ADR (AXAHY)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Axis Capital Holdings Limited (AXS)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ BAE SYSTEMS plc Sponsored ADR (BAESY)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Bank Deposit Program	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Bank Rakyat Indonesia Unsponsored ADR Rep Shs B (BKRKY)	SP	None	None		<input type="checkbox"/>
DESCRIPTION: PT Bank Rakyat Sale less than \$1,000					
SP M81 (Merrill Lynch) ⇒ Bank Yokohama Ltd Japan American Depositary Shares (BKJAY)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Baxter International Inc. (BAX)	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Position liquidated in 2015					
SP M81 (Merrill Lynch) ⇒ Beijing Enterprises Holdings Limited American Depositary Shares (BJINY)	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Belle International Holdings Limited Limited Unsponsored	SP	None	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ADR (BELLY)					
DESCRIPTION: Several sales under \$1,000					
SP M81 (Merrill Lynch) ⇒ Biogen Idec Inc. (BIIB)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Bio-Techne Corp (TECH)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ BlackRock, Inc. (BLK)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ BOC Hong Kong (BHKLY)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Boston Scientific Corporation (BSX)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Bristol-Myers Squibb Company (BMY)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Broadcom Limited - Ordinary Shares (AVGO)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Broadridge Financial Solutions, Inc. (BR)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CA Inc. (CA)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cadence Design Systems, Inc. (CDNS)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Canadian Pacific Railway Limited (CP)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Capital One Financial Corporation (COF)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cardinal Health, Inc. (CAH)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Carter's, Inc. (CRI)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M81 (Merrill Lynch) ⇒ CBS Corporation Class B (CBS)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Celanese Corporation Celanese Corporation Series A (CE)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Celgene Corporation (CELG)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Centene Corporation (CNC)	SP	None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Centrica plc Sponsored American Depositary Shares (CPYYY)	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cerner Corporation (CERN)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CF Industries Holdings, Inc. (CF)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Charter Communications, Inc. - Class A (CHTR)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Chevron Corporation (CVX)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ China Construction Bank Corporation Un-sponsored ADR representing H shares (CICHY)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ China Mobile Limited (CHL)	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cimpress N.V - Ordinary Shares (CMPR)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cisco Systems, Inc. (CSCO)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Citigroup, Inc. (C)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒	SP	None	Capital Gains	\$201 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Citrix Systems, Inc. (CTXS)				\$1,000	
SP M81 (Merrill Lynch) ⇒ CK HUTCHISON HOLDINGS LTD	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Multiple purchases less than \$1,000 in 2015 and 2016					
SP M81 (Merrill Lynch) ⇒ Coca-Cola Company (KO)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Comcast Corporation - Class A (CMCSA)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Companhia Paranaense de Energia (ELP)	SP	None	None		<input type="checkbox"/>
DESCRIPTION: Multiple sales less than \$1,000					
SP M81 (Merrill Lynch) ⇒ ConAgra Foods, Inc. (CAG)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CoStar Group, Inc. (CSGP)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Costco Wholesale Corporation (COST)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Crown Castle International Corporation (CCI)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CVS Caremark Corporation (CVS)	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Danaher Corporation (DHR)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Delphi Automotive plc Ordinary Shares (DLPH)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Deutsche Lufthansa A G Sponsored ADR (DLAKY)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DNB ASA SPONSORED ADR Representing 10 (DNHBY)					
SP M81 (Merrill Lynch) ⇒ Dominion Resources, Inc. (D)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Dow Chemical Company (DOW)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Dunkin' Brands Group, Inc. (DNKN)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ E.I. du Pont de Nemours and Company (DD)	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Eaton Corporation, PLC Ordinary Shares (ETN)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Enel Societa Per Azioni Unsponsored ADR (ENLAY)	SP	None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ENN Energy Holdings Ltd Unsponsored ADR (XNGSY)	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Sale less than \$1,000 in 2016					
SP M81 (Merrill Lynch) ⇒ Estee Lauder Companies, Inc. (EL)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Expeditors International of Washington, Inc. (EXPD)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Express Scripts Holding Company (ESRX)	SP	None	None		<input type="checkbox"/>
DESCRIPTION: Multiple sales less than \$1,000					
SP M81 (Merrill Lynch) ⇒ Exxon Mobil Corporation (XOM)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Facebook, Inc. - Class A (FB)	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Federated Investors, Inc. (FII)	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ FedEx Corporation (FDX)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M81 (Merrill Lynch) ⇒ Fidelity National Information Services, Inc. (FIS)	SP	\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Fifth Third Bancorp (FITB)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Flextronics International Ltd. - Ordinary Shares (FLEX)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ford Motor Company (F)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Fortinet, Inc. (FTNT)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Gartner, Inc. (IT)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ General Dynamics Corporation (GD)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ General Electric Company (GE)	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ General Motors Company (GM)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Gildan Activewear, Inc. Class A Sub. Vot. (GIL)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Gilead Sciences, Inc. (GILD)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Google Inc. - Class C Capital Stock (GOOG)	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Google = Alphabet					
SP M81 (Merrill Lynch) ⇒ Hanesbrands Inc. (HBI)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Hartford Financial Services Group, Inc. (HIG)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M81 (Merrill Lynch) ⇒ Henry Schein, Inc. (HSIC)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Home Depot, Inc. (HD)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Honeywell International Inc. (HON)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ICICI Bank Limited (IBN)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Illumina, Inc. (ILMN)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Imperial Tobacco Group plc Sponsored ADR (ITYBY) DESCRIPTION: ITYBY exchange for IMBBY on 02/05/16	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Intel Corporation (INTC)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Intercontinental Exchange Inc. (ICE)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ International Business Machines Corporation (IBM)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ International Paper Company (IP)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Interpublic Group of Companies, Inc. (IPG)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Intuit Inc. (INTU)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Israel Chemicals Limited Unsponsored ADR (ISCHY)	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Isuzu Motors Ltd Ord American Depositary Shares (ISUZY)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ITV plc Unsponsored ADR (ITVPY)	SP	None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M81 (Merrill Lynch) ⇒ Jack Henry & Associates, Inc. (JKHY)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Johnson & Johnson (JNJ)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ JP Morgan Chase & Co. (JPM)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ KDDI Corporation Unsponsored ADR (KDDIY)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Keppel Corp Ltd Spons ADR (KPELY) DESCRIPTION: Multiple sales less than \$1,000	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ KLA-Tencor Corporation (KLAC)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Koc Holdings AS Unsponsored ADR (KHOLY) DESCRIPTION: Multiple sales less than \$1,000	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Korea Electric Power Corporation (KEP)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Lamar Advertising Company - Class A (LAMR)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ LPL Financial Holdings Inc. (LPLA)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Lukoil Co Sponsored ADR (LUKOY)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Macy's Inc (M)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Magna International, Inc. (MGA)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Man Group PLC Unsponsored ADR (MNGPY)	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M81 (Merrill Lynch) ⇒ Manulife Financial Corporation (MFC)	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Marks and Spencer Group plc American Depositary Shares (MAKSY)	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Masimo Corporation (MASI)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Mattel, Inc. (MAT)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ McDonald's Corporation (MCD)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Mead Johnson Nutrition Company (MJN)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Medivation, Inc. (MDVN)	SP	\$1 - \$1,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Medtronic Inc. (MDT)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase and sale in 2016					
SP M81 (Merrill Lynch) ⇒ MetLife, Inc. (MET)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Microsoft Corporation (MSFT)	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Mitsubishi Electric Corp ADR (MIELY)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Mitsui & Company, Ltd. American Depositary Shares (MITSY)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ML Bank Deposit Program	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Molson Coors Brewing Company Class B (TAP)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M81 (Merrill Lynch) ⇒ Mondelez International, Inc. - Class A (MDLZ)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ MSCI Inc (MSCI)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ N S K Ltd Ord American Depositary Shares (NPSKY)	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ NextEra Energy, Inc. (NEE)	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ NICE Ltd - American Depositary Shares each representing one Ordinary Share (NICE)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Nike, Inc. (NKE)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Nordea Bank AB Sponsored ADR (NRBAY)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Occidental Petroleum Corporation (OXY)	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Omnicom Group Inc. (OMC)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ON Semiconductor Corporation (ONNN)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Open Text Corporation - Common Shares (OTEX)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Oracle Corporation (ORCL)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Pepsico, Inc. (PEP)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Persimmon Plc Un-sponsored ADR (PSMMY)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Pfizer, Inc. (PFE)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M81 (Merrill Lynch) ⇒ Philip Morris International Inc (PM)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Pioneer Natural Resources Company (PXD)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ PNC Financial Services Group, Inc. (PNC)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Potash Corporation of Saskatchewan Inc. (POT)	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Precision Castparts Corporation (PCP)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Procter & Gamble Company (PG)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Public Service Enterprise Group Incorporated (PEG)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Quest Diagnostics Incorporated (DGX)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Raytheon Company (RTN)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Regeneron Pharmaceuticals, Inc. (REGN)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Rexnord Corporation (RXN)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Rio Tinto Plc (RIO)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ritchie Bros. Auctioneers Incorporated (RBA)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Roper Industries, Inc. (ROP)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Royal Dutch Shell PLC Royal Dutch Shell American	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Depository Shares (RDS.A)					
SP M81 (Merrill Lynch) ⇒ Ryanair Holdings plc - American Depositary Shares, each representing five Ordinary Shares (RYAAY)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ryder System, Inc. (R)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Salesforce.com Inc (CRM)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Sanofi American Depositary Shares (SNY)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Sasol Ltd. American Depositary Shares (SSL)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Schlumberger N.V. (SLB)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Sensata Technologies Holding N.V. Ordinary Shares (ST)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Sherwin-Williams Company (SHW)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Simon Property Group, Inc. (SPG)	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Skyworks Solutions, Inc. (SWKS)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Smurfit Kappa Group Plc American Depositary Shares (SMFKY)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Splunk Inc. (SPLK)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ SS&C Technologies Holdings, Inc. (SSNC)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Sumitomo Chemical Co Ltd Unsponsored ADR (SOMMY)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M81 (Merrill Lynch) ⇒ Sumitomo Metal Mining Co Ltd Unsponsored ADR (SMMYY)	SP	None	None		<input type="checkbox"/>
DESCRIPTION: Multiple purchase and sales less than \$1,000					
SP M81 (Merrill Lynch) ⇒ Svenska Cellulosa B Shs American Depositary Shares (SVCBY)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Synchrony Financial (SYF)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ T&D Holdings Inc Tokyo Unsponsored ADR (TDHOY)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ TD Ameritrade Holding Corporation (AMTD)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ TE Connectivity Ltd. New Switzerland Registered Shares (TEL)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Teleflex Incorporated (TFX)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Telenor ASA American Depositary Shares (TELNY)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ TeliaSonera AB Unsponsored ADR (TLSNY)	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Tesla Motors, Inc. (TSLA)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Teva Pharmaceutical Industries Limited American Depositary Shares (TEVA)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ The Kraft Heinz Company (KHC)	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M81 (Merrill Lynch) ⇒ The Priceline Group Inc. (PCLN)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ The Travelers Companies, Inc. (TRV)	SP	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Thermo Fisher Scientific Inc (TMO)	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Time Warner Inc. New (TWX)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ TJX Companies, Inc. (TJX)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Toronto Dominion Bank (TD)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Total S.A. (TOT)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Transdigm Group Incorporated Transdigm Group Inc. (TDG)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ U.S. Bancorp (USB)	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ulta Salon, Cosmetics & Fragrance, Inc. (ULTA)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Union Pacific Corporation (UNP)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Purchases and sale in 2016					
SP M81 (Merrill Lynch) ⇒ United Overseas Bk Singapore American Depositary Shares (UOVEY)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ United Technologies Corporation (UTX)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ UnitedHealth Group Incorporated Common Stock (UNH)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M81 (Merrill Lynch) ⇒ Valero Energy Corporation (VLO)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Varian Medical Systems, Inc. (VAR)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Verisk Analytics, Inc. - Class A (VRSK)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Verizon Communications Inc. (VZ)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Vertex Pharmaceuticals Incorporated (VRTX)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Visa Inc. (V)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Wal-Mart Stores, Inc. (WMT)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Walt Disney Company (DIS)	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Waters Corporation (WAT)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Wells Fargo & Company (WFC)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ West Japan Railway Co Unsponsored ADR (WJRY)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ WEX Inc. common stock (WEX)	SP	None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Wolverine World Wide, Inc. (WWW)	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Workday, Inc. (WDAY)	SP	None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ World Fuel Services Corporation (INT)	SP	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M81 (Merrill Lynch) ⇒ WPP plc - American Depositary Shares each representing five Ordinary Shares (WPPGY)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Xilinx, Inc. (XLNX)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Zurich Insurance Group Limited Sponsored American Depositary Shares (ZURVY)	SP	None	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SV Investments I, LP	SP	None	Passive investment	None	<input type="checkbox"/>
DESCRIPTION: Passive Investment entity Entity terminated Remaining assets distributed					
Trust M69 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Cisco Systems, Inc. (CSCO)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Transferred from Trust W66 (wells Fargo)					
Trust M69 (Merrill Lynch) ⇒ Clayton Williams Energy, Inc. (CWEI)	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Trust W66 (wells Fargo)					
Trust M69 (Merrill Lynch) ⇒ Davis NY Venture	SP	None	None		<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ First Eagle Global FD	SP	None	None		<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ FT Unit 5601 Sabrient Bakers Dozen	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: FT Unit 5601 Sabrient Bakers Dozen					
Trust M69 (Merrill Lynch) ⇒ FT Unit 5935 Sabrient Bakers Dozen 2016	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: FT Unit 5935 Sabrient Bakers Dozen 2016					
Trust M69 (Merrill Lynch) ⇒ Goldman Sachs Cap II var %	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Goldman Sachs Cap II var %					
Trust M69 (Merrill Lynch) ⇒	SP	None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Hartford Growth Opportunities					
Trust M69 (Merrill Lynch) ⇒ JP Morgan Equity FD	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Micron Technology, Inc. (MU)	SP	\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
DESCRIPTION: Transferred from Trust W66 (wells Fargo)					
Trust M69 (Merrill Lynch) ⇒ Microsoft Corporation (MSFT)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Trust W66 (wells Fargo)					
Trust M69 (Merrill Lynch) ⇒ ML Bank Deposit Program	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Nuveen Large Cap Core Fd	SP	None	None		<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ R2000 CLIRN 18.04	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: R2000 CLIRN 18.04					
Trust M69 (Merrill Lynch) ⇒ R2000 STEPUP ISSUER DB STEP 30.00%	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: R2000 STEPUP ISSUER DB STEP 30.00%					
Trust M69 (Merrill Lynch) ⇒ R2000 Stepup Issuer STEP 25.00%	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: R2000 Stepup Issuer STEP 25.00%					
Trust M69 (Merrill Lynch) ⇒ Southwest Airlines Company (LUV)	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Trust W66 (wells Fargo)					
Trust M69 (Merrill Lynch) ⇒ SP500 C LIRN Issuer BARC Cap 12.16%	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 C LIRN Issuer BARC Cap 12.16%					
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN 14.04	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 CLIRN 14.04					
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN 14.54	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: SP500 CLIRN 14.54					
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN 19.85	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 CLIRN 19.85					
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN Issuer BNS CAP 16.40%	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: SP500 CLIRN Issuer BNS CAP 16.40%					
Trust M69 (Merrill Lynch) ⇒ Sunamerica Focused Dividend Strategy	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ SX5E STEPUP 21.70%	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP 21.70%					
Trust M69 (Merrill Lynch) ⇒ Teradyne, Inc. (TER)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Transferred from Trust W66 (wells Fargo)					
Trust M69 (Merrill Lynch) ⇒ Wells Fargo Jr Sub Series K var % 03 15 18	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Wells Fargo Jr Sub Series K var % 03 15 18					
Trust S ⇒ Wells Fargo Checking	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Trust W64 (Wells Fargo) ⇒ Argentine Peso / US Dollar (ARSUSD)	SP	\$1 - \$1,000	None		<input type="checkbox"/>
Trust W64 (Wells Fargo) ⇒ Bank Deposit Sweep	SP	None	None		<input type="checkbox"/>
DESCRIPTION: Funds transferred to M66					
Trust W64 (Wells Fargo) ⇒ Micron Technology, Inc. (MU)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Trust W64 (Wells Fargo) ⇒ Southwest Airlines Company (LUV)	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Trust W66 (Wells Fargo) ⇒ Bank Deposit Sweep	SP	None	None		<input type="checkbox"/>
DESCRIPTION: Funds transferred to ML69					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Wells Fargo ⇒ Wells Fargo Checking		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo ⇒ Wells Fargo Savings		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo ⇒ Wells Fargo Savings		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP E48 (Edward Jones) ⇒ CAPITAL WORLD BD FD DESCRIPTION: CAPITAL WORLD BD FD	SP	06/3/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ DREYFUS/LAUREL FUNDS INC: BOND MARKET INDEX FUND DESCRIPTION: DREYFUS/LAUREL FUNDS INC: BOND MARKET INDEX FUND	SP	06/6/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ ISHARES MSCI EAFE ETF DESCRIPTION: ISHARES MSCI EAFE ETF	SP	06/3/2016	P	\$15,001 - \$50,000	
SP E48 (Edward Jones) ⇒ ISHARES MSCI EAFE VALUE ETF DESCRIPTION: ISHARES MSCI EAFE VALUE ETF	SP	06/3/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ ISHARES RUSSELL 2000 ETF DESCRIPTION: ISHARES RUSSELL 2000 ETF	SP	06/3/2016	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ JPMORGAN TR I: FED MONEY MKT FD INSTL CL DESCRIPTION: JPMORGAN TR I: FED MONEY MKT FD INSTL CL	SP	06/3/2016	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ JPMORGAN TR I: FED MONEY MKT FD INSTL CL DESCRIPTION: JPMORGAN TR I: FED MONEY MKT FD INSTL CL	SP	06/3/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP E48 (Edward Jones) ⇒ SPDR S&P DIVIDEND ETF	SP	06/3/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: SPDR S&P DIVIDEND ETF					
SP E48 (Edward Jones) ⇒ VANGUARD HIGH DIVIDEND YIELD: ETF	SP	06/3/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: VANGUARD HIGH DIVIDEND YIELD: ETF					
SP E48 (Edward Jones) ⇒ VANGUARD INTERMEDIATE TERM: BOND ETF	SP	06/3/2016	P	\$15,001 - \$50,000	
DESCRIPTION: VANGUARD INTERMEDIATE TERM: BOND ETF					
SP E48 (Edward Jones) ⇒ VANGUARD LARGE-CAP ETF	SP	06/3/2016	P	\$15,001 - \$50,000	
DESCRIPTION: VANGUARD LARGE-CAP ETF					
SP E62 (Edward Jones) ⇒ American Airlines Group, Inc. (AAL)	SP	04/22/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Funds Dev World Growth	SP	01/21/2016	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ AT&T Inc. (T)	SP	02/17/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ BHP Billiton plc Sponsored ADR (BBL)	SP	01/11/2016	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ BHP Billiton plc Sponsored ADR (BBL)	SP	06/15/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ BHP Billiton plc Sponsored ADR (BBL)	SP	06/15/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Federated Equity Income Fd Cl A	SP	06/20/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Federated Equity Kaufman Fd Cl A	SP	06/20/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ INVESCO HIGH YIELD MUNICIPAL: FUND CL A	SP	12/21/2016	P	\$50,001 - \$100,000	
SP E62 (Edward Jones) ⇒	SP	02/17/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Invesco Ltd (IVZ)					
SP E62 (Edward Jones) ⇒ Microsoft Corporation (MSFT)	SP	02/17/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Putnam Global Healthcare Fd Cl A	SP	12/21/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Tax Exempt Bond Fd Cl A	SP	06/21/2016	P	\$50,001 - \$100,000	
SP E62 (Edward Jones) ⇒ Tax Exempt Bond Fund Cl A	SP	06/15/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Tax Exempt Bond Fund Cl A					
SP E62 (Edward Jones) ⇒ Trimble Navigation Limited (TRMB)	SP	01/11/2016	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST MKT STRENGTH: ALLOCATION SELECT SER 13: PORT SEMI ANNUAL REINVEST: FINAL PRINCIPAL PAYMENT: ON 13467 UNITS	SP	04/21/2016	S	\$100,001 - \$250,000	<input type="checkbox"/>
LOCATION: US					
DESCRIPTION: UIT FIRST TRUST MKT STRENGTH: ALLOCATION SELECT SER 13: PORT SEMI ANNUAL REINVEST: FINAL PRINCIPAL PAYMENT: ON 13467 UNITS Redemption					
SP E62 (Edward Jones) ⇒ UIT INVESCO UNIT TRS CENT: EQUITY TR DIVERSFED SER 2016-2: QUARTERLY REINVEST: SOLICITED: PROSPECTUS ENCLOSED	SP	04/22/2016	P	\$100,001 - \$250,000	
LOCATION: US					
DESCRIPTION: UIT INVESCO UNIT TRS CENT: EQUITY TR DIVERSFED SER 2016-2: QUARTERLY REINVEST: SOLICITED: PROSPECTUS ENCLOSED					
SP M36 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	06/9/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP M36 (Merrill Lynch) ⇒ Eagle Growth & Income FD	SP	05/18/2015	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Position not reported on 2015 FD					
SP M36 (Merrill Lynch) ⇒ Ft Unit 5601 Sabrient Bakers Dozen	SP	09/2/2015	P	\$15,001 - \$50,000	
LOCATION: US					
DESCRIPTION: Ft Unit 5601 Sabrient Bakers Dozen					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M36 (Merrill Lynch) ⇒ Ft Unit 5601 Sabrient Bakers Dozen	SP	01/22/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: Ft Unit 5601 Sabrient Bakers Dozen					
SP M36 (Merrill Lynch) ⇒ Ft Unit 5601 Sabrient Bakers Dozen Port 2015	SP	01/27/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: Ft Unit 5601 Sabrient Bakers Dozen Port 2015 Position not reported on 2015 Financial Disclosure					
SP M36 (Merrill Lynch) ⇒ FT UNIT 5935 SABRIENT BAKERS DOZEN ...	SP	07/29/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: FT UNIT 5935 SABRIENT BAKERS DOZEN ...					
SP M36 (Merrill Lynch) ⇒ Ft Unit 5935 Sabrient Bakers Dozen Port 2016	SP	01/27/2016	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: Ft Unit 5935 Sabrient Bakers Dozen Port 2016					
SP M36 (Merrill Lynch) ⇒ GOLDMAN SACHS CAPITAL II - VAR% PERPETUAL CALLABLE-MAY AFFECT YIEL	SP	06/13/2016	P	\$15,001 - \$50,000	
DESCRIPTION: GOLDMAN SACHS CAPITAL II - VAR% PERPETUAL CALLABLE-MAY AFFECT YIEL					
SP M36 (Merrill Lynch) ⇒ Ivy Asset Strategy FD	SP	01/15/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M36 (Merrill Lynch) ⇒ JP MORGAN US EQUITY FD CL A	SP	06/9/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M36 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 14.54% SV 1,987.66 DUE 08/25/17 BUF 10%	SP	06/9/2016	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 14.54% SV 1,987.66 DUE 08/25/17 BUF 10% Transferred to SP M40					
SP M36 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 16.60% S...	SP	07/29/2016	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 16.60% Transferred to SP M40					
SP M36 (Merrill Lynch) ⇒ WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL CALLABLE-MAY AFFECT YIEL	SP	06/13/2016	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL CALLABLE-MAY AFFECT YIEL					
SP M37 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	06/14/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ Davis NY Venture FD Cl A	SP	06/14/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ Davis NY Venture FD Cl A DESCRIPTION: Not reported in 2015	SP	07/12/2015	P	\$1,001 - \$15,000	
SP M37 (Merrill Lynch) ⇒ Davis NY Venture FD Cl A	SP	12/11/2015	P	\$1,001 - \$15,000	
SP M37 (Merrill Lynch) ⇒ Ft Unit 5601 Sabrient Bakers Dozen 2015 LOCATION: US DESCRIPTION: Ft Unit 5601 Sabrient Bakers Dozen 2015	SP	09/12/2015	P	\$15,001 - \$50,000	
SP M37 (Merrill Lynch) ⇒ Ft Unit 5601 Sabrient Bakers Dozen Port 2015 LOCATION: US DESCRIPTION: Ft Unit 5601 Sabrient Bakers Dozen Port 2015	SP	01/27/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ FT UNIT 5935 SABRIENT BAKERS DOZEN ... LOCATION: US DESCRIPTION: FT UNIT 5935 SABRIENT BAKERS DOZEN ...	SP	07/29/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ Ft Unit 5935 Sabrient Bakers Dozen Port 2016 LOCATION: US DESCRIPTION: Ft Unit 5935 Sabrient Bakers Dozen Port 2016	SP	01/27/2016	P	\$15,001 - \$50,000	
SP M37 (Merrill Lynch) ⇒ Ivy Asset Strategy FD	SP	03/23/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ JP Morgan US Equity FD	SP	06/14/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ SP500 ARN ISSUER BAC CAP 15.42% SV ... LOCATION: US DESCRIPTION: SP500 ARN ISSUER BAC CAP 15.42% SV ...	SP	03/16/2016	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M37 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 14.54% SV 1,987.66 DUE 08/25/17 BUF 10% LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 14.54% SV 1,987.66 DUE 08/25/17 BUF 10%	SP	06/9/2016	P	\$15,001 - \$50,000	
SP M37 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 16.60% S... LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 16.60% S...	SP	07/29/2016	P	\$15,001 - \$50,000	
SP M37 (Merrill Lynch) ⇒ US BANCORP JR SUBORDNTD SER I GLB VAR% PERPETUAL CALLABLE-MAY AFFECT YIEL DESCRIPTION: US BANCORP JR SUBORDNTD SER I GLB VAR% PERPETUAL CALLABLE-MAY AFFECT YIEL	SP	06/10/2016	P	\$15,001 - \$50,000	
SP M37 (Merrill Lynch) ⇒ WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL CALLABLE-MAY AFFECT YIEL DESCRIPTION: WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL CALLABLE-MAY AFFECT YIEL	SP	06/13/2016	P	\$15,001 - \$50,000	
SP M40 (Merrill Lynch) ⇒ ARLINGTON TEX HIGHER ED FIN CORP ED REV SER A RF JUL16 05.000%DEC01 2051 DESCRIPTION: ARLINGTON TEX HIGHER ED FIN CORP ED REV SER A RF JUL16 05.000%DEC01 2051	SP	12/7/2016	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	06/13/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	08/11/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Buy SP500 BEARARN ISS BARC CAP 13.5% SV... LOCATION: US DESCRIPTION: Buy SP500 BEARARN ISS BARC CAP 13.5% SV... Buy SP500 Beararn same security as SP Beararn Purchase and sale in 2016 no capital gain	SP	05/26/2016	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ Davis NY Venture FD Cl A	SP	08/11/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Davis NY Venture FD Cl A	SP	08/26/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M40 (Merrill Lynch) ⇒ First Eagle Global FD	SP	08/26/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ FIRST TRUST GLOBAL FUND DESCRIPTION: Security is actually First Eagle Global Fd	SP	04/28/2016	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Ft Unit 5601 Sabrient Bakers Dozen Port 2015 LOCATION: US DESCRIPTION: Ft Unit 5601 Sabrient Bakers Dozen Port 2015	SP	01/27/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ FT UNIT 5935 SABRIENT BAKERS DOZEN ... LOCATION: US DESCRIPTION: FT UNIT 5935 SABRIENT BAKERS DOZEN ...	SP	07/29/2016	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Ft Unit 5935 Sabrient Bakers Dozen Port 2016 LOCATION: US DESCRIPTION: Ft Unit 5935 Sabrient Bakers Dozen Port 2016	SP	01/27/2016	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ GENERATION PK MGMT DIST TEX ROAD SE... LOCATION: US DESCRIPTION: GENERATION PK MGMT DIST TEX ROAD SE...	SP	04/27/2016	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ Hartford Growth Oppertunities FD	SP	04/28/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ JP Morgan US Equity FD	SP	05/10/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Lord Abbett Short Duration Income FD DESCRIPTION: Transaction not reported on prior year	SP	02/14/2014	S	\$100,001 - \$250,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Nuveen Large Cap Core FD	SP	03/9/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Prudential Short Term Corporate Bond FD DESCRIPTION: Transaction not reported in prior year	SP	02/14/2014	S	\$100,001 - \$250,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒	SP	02/23/2016	P	\$50,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
R2000 ARN ISSUER BARC CAP 18.51% SV 1,003.273 DUE 03/31/17 LOCATION: US DESCRIPTION: R2000 ARN ISSUER BARC CAP 18.51% SV 1,003.273 DUE 03/31/17				\$100,000	
SP M40 (Merrill Lynch) ⇒ R2000 ARN ISSUER BARC CAP 18.51% SV 1,003.273 DUE 03/31/17 LOCATION: US DESCRIPTION: R2000 ARN ISSUER BARC CAP 18.51% SV 1,003.273 DUE 03/31/17	SP	08/18/2016	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ R2000 ARN ISSUER SEK CAP 14.37% SV 1,140.398 DUE 06/30/17 LOCATION: US DESCRIPTION: R2000 ARN ISSUER SEK CAP 14.37% SV 1,140.398 DUE 06/30/17	SP	08/23/2016	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ R2000 CLIRN ISSUER CIBC CAP 18.18% SV 1,217.325 DUE 07/27/18 BUF 10% LOCATION: US DESCRIPTION: R2000 CLIRN ISSUER CIBC CAP 18.18% SV 1,217.325 DUE 07/27/18 BUF 10%	SP	12/27/2016	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ R2000 CLIRN ISSUER CS CAP 14.10% SV... LOCATION: US DESCRIPTION: R2000 CLIRN ISSUER CS CAP 14.10% SV... Redemption	SP	05/27/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ R2000 CLIRNS ISSUER CIBC CAP 20.40%... LOCATION: US DESCRIPTION: R2000 CLIRNS ISSUER CIBC CAP 20.40%...	SP	07/29/2016	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ R2000 STEPUP ISSUER DB STEP 30.00% SV 1,031.58 DUE 02/25/19 LOCATION: US DESCRIPTION: R2000 STEPUP ISSUER DB STEP 30.00% SV 1,031.58 DUE 02/25/19	SP	02/23/2016	P	\$15,001 - \$50,000	
SP M40 (Merrill Lynch) ⇒ R2000 STEPUP ISSUER DB STEP 30.00% SV 1,031.58 DUE 02/25/19 LOCATION: US DESCRIPTION: R2000 STEPUP ISSUER DB STEP 30.00% SV 1,031.58 DUE 02/25/19	SP	09/27/2016	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒	SP	02/24/2016	S	\$50,001 -	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
R2000 STEPUP ISSUER HSBC STEP 25.00% SV1,137.686 DUE 12/21/18 LOCATION: US DESCRIPTION: R2000 STEPUP ISSUER HSBC STEP 25.00% SV1,137.686 DUE 12/21/18				\$100,000	
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER BAC CAP 11.16% SV ... LOCATION: US DESCRIPTION: SP500 ARN ISSUER BAC CAP 11.16% SV ...	SP	03/4/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ SP500 BEARARN ISS BARC CAP 13.5% SV 2090.10 DUE 07/28/17 LOCATION: US DESCRIPTION: SP500 BEARARN ISS BARC CAP 13.5% SV 2090.10 DUE 07/28/17	SP	12/27/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 14.04% SV 2,089.41 DUE 10/27/17 BUF 10% LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 14.04% SV 2,089.41 DUE 10/27/17 BUF 10%	SP	08/8/2016	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 14.54% SV 1,987.66 DUE 08/25/17 BUF 10% LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 14.54% SV 1,987.66 DUE 08/25/17 BUF 10%	SP	06/8/2016	P	\$15,001 - \$50,000	
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 14.80% SV 2,075.81 DUE 04/27/18 LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 14.80% SV 2,075.81 DUE 04/27/18	SP	10/11/2016	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 15.36% SV 2,097.90 DUE 06/29/18 BUF 10% LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 15.36% SV 2,097.90 DUE 06/29/18 BUF 10%	SP	08/18/2016	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 16.60% SV 2,036.71 DUE 03/28/18 BUF 10% LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 16.60% SV 2,036.71 DUE 03/28/18 BUF 10%	SP	09/27/2016	P	\$50,001 - \$100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER CS CAP 19.85% SV 1,951.70 DUE 02/23/18 LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER CS CAP 19.85% SV 1,951.70 DUE 02/23/18	SP	02/25/2016	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER RBC CAP 12.02% SV 1,994.65 DUE 10/28/16 BUF 10.00% LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER RBC CAP 12.02% SV 1,994.65 DUE 10/28/16 BUF 10.00% Redemption	SP	10/28/2016	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ TEXAS ST TRANSN COMMN-HWY IMPT MAY16 05.000%APR01 2041	SP	05/5/2016	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ TEXAS ST TRANSN COMMN-HWY IMPT MAY16 05.000%APR01 2041 DESCRIPTION: TEXAS ST TRANSN COMMN-HWY IMPT MAY16 05.000%APR01 2041	SP	12/7/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ 3M Company (MMM)	SP	09/12/2016	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ Abbott Laboratories (ABT)	SP	09/12/2016	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ AbbVie Inc. (ABBV)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Allergan plc Ordinary Shares (AGN)	SP	01/22/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Allergan plc Ordinary Shares (AGN)	SP	08/17/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Allergan plc Ordinary Shares (AGN)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Alphabet Inc. - Class A (GOOGL)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Amazon.com, Inc. (AMZN)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Amdocs Limited - Ordinary Shares (DOX)	SP	01/14/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Reported in error - no transaction					
SP M81 (Merrill Lynch) ⇒ Amdocs Limited - Ordinary Shares (DOX)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ America Movil, S.A.B. de C.V. American Depositary Receipt Series L (AMX)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ American Electric Power Company, Inc. (AEP)	SP	09/12/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ American Express Company (AXP)	SP	07/20/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ American Express Company (AXP)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ameriprise Financial, Inc. (AMP)	SP	01/28/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ameriprise Financial, Inc. (AMP)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ AMETEK, Inc. (AME)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Amphenol Corporation (APH)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Aon plc Class A Ordinary Shares (AON)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Apple Inc. (AAPL)	SP	04/27/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Apple Inc. (AAPL)	SP	04/21/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Apple Inc. (AAPL)	SP	05/10/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Apple Inc. (AAPL)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ AT&T Inc. (T)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ AT&T Inc. (T)	SP	02/26/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ athenahealth, Inc. (ATHN)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Atos Origin SA Unsponsored ADR (AEXAY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Australia and New Zealand Banking Group Limited (ANZBY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ AXA SA Sponsored ADR (AXAHY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ BAE SYSTEMS plc Sponsored ADR (BAESY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Bank Yokohama Ltd Japan American Depositary Shares (BKJAY)	SP	04/26/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Baxter International Inc. (BAX)	SP	07/31/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Biogen Inc. (BIIB)	SP	04/1/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Biogen Inc. (BIIB)	SP	04/1/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ BioMarin Pharmaceutical Inc. (BMRN)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Purchase and sale in 2016 - several purchases less than \$1,000					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ BlackRock, Inc. (BLK)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ BOC Hong Kong (BHKLY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Boston Scientific Corporation (BSX)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Bristol-Myers Squibb Company (BMY)	SP	08/17/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Broadcom Limited - Ordinary Shares (AVGO)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Broadridge Financial Solutions, Inc. (BR)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CA Inc. (CA)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cadence Design Systems, Inc. (CDNS)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Capital One Financial Corporation (COF)	SP	08/3/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Capital One Financial Corporation (COF)	SP	08/17/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cardinal Health, Inc. (CAH)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Carter's, Inc. (CRI)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CBS Corporation Class B (CBS)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Celanese Corporation Celanese Corporation Series A (CE)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒	SP	08/4/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Celgene Corporation (CELG)					
SP M81 (Merrill Lynch) ⇒ Celgene Corporation (CELG)	SP	08/3/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Celgene Corporation (CELG)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Centene Corporation (CNC)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CF Industries Holdings, Inc. (CF)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Transaction reported in error - date of several sales under \$1,000 01/20/16					
SP M81 (Merrill Lynch) ⇒ Charter Communications, Inc. - Class A (CHTR)	SP	03/29/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Charter Communications, Inc. - Class A (CHTR)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase and sale in 2016					
SP M81 (Merrill Lynch) ⇒ Charter Communications, Inc. - Class A (CHTR)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Chevron Corporation (CVX)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ China Construction Bank Corporation Unsponsored ADR representing H shares (CICHY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ China Mobile Limited (CHL)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cimpress N.V - Ordinary Shares (CMPR)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cisco Systems, Inc. (CSCO)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Citigroup, Inc. (C)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Citrix Systems, Inc. (CTXS)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CK HUTCHISON HOLDINGS LTD	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: Multiple purchases less than \$1,000					
SP M81 (Merrill Lynch) ⇒ Coca-Cola Company (KO)	SP	03/29/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Coca-Cola Company (KO)	SP	04/28/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Coca-Cola Company (KO)	SP	09/12/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Comcast Corporation - Class A (CMCSA)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ConAgra Foods, Inc. (CAG)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CoStar Group, Inc. (CSGP)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Costco Wholesale Corporation (COST)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Multiple purchases less than \$1,000 in 2016					
SP M81 (Merrill Lynch) ⇒ Crown Castle International Corp. (CCI)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CVS Health Corporation (CVS)	SP	09/12/2016	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ Danaher Corporation (DHR)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Delphi Automotive plc Ordinary Shares (DLPH)					
SP M81 (Merrill Lynch) ⇒ DENTSPLY SIRONA Inc. (XRAY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Multiple purchases less than \$1,000 in 2016					
SP M81 (Merrill Lynch) ⇒ Deutsche Lufthansa A G Sponsored ADR (DLAKY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Direct Line Insurance Group PLC Un-sponsored ADR (DIISY)	SP	05/4/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Direct Line Insurance Group PLC Un-sponsored ADR (DIISY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Purchase and sale in 2016					
SP M81 (Merrill Lynch) ⇒ Dominion Resources, Inc. (D)	SP	09/12/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Dow Chemical Company (DOW)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Dunkin' Brands Group, Inc. (DNKN)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ E.I. du Pont de Nemours and Company (DD)	SP	09/12/2016	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ Eaton Corporation, PLC Ordinary Shares (ETN)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Eli Lilly and Company (LLY)	SP	01/22/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Eli Lilly and Company (LLY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Multiple purchase less than \$1,000 in 2016					
SP M81 (Merrill Lynch) ⇒ Enel Societa Per Azioni Un-sponsored ADR (ENLAY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Multiple purchases less than \$1,000					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Entergy Corporation (ETR)	SP	05/11/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Entergy Corporation (ETR)	SP	05/5/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Entergy Corporation (ETR)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Multiple purchases less than \$1,000 in 2016					
SP M81 (Merrill Lynch) ⇒ Ericsson - ADS each representing 1 underlying Class B share (ERIC)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Multiple purchases less than \$1,000 in 2016					
SP M81 (Merrill Lynch) ⇒ Estee Lauder Companies, Inc. (EL)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Expeditors International of Washington, Inc. (EXPD)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Exxon Mobil Corporation (XOM)	SP	09/12/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Facebook, Inc. - Class A (FB)	SP	04/28/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Facebook, Inc. - Class A (FB)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ FedEx Corporation (FDX)	SP	01/8/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ FedEx Corporation (FDX)	SP	01/7/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Fidelity National Information Services, Inc. (FIS)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Fifth Third Bancorp (FITB)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Flex Ltd. - Ordinary Shares (FLEX)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Fluor Corporation (FLR)	SP	05/25/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Fluor Corporation (FLR)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ford Motor Company (F)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Fortive Corporation (FTV)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Multiple purchases less than \$1,000					
SP M81 (Merrill Lynch) ⇒ Gartner, Inc. (IT)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ General Dynamics Corporation (GD)	SP	05/20/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ General Dynamics Corporation (GD)	SP	05/10/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ General Dynamics Corporation (GD)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ General Electric Company (GE)	SP	09/12/2016	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ General Motors Company (GM)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Gildan Activewear, Inc. Class A Sub. Vot. (GIL)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Gilead Sciences, Inc. (GILD)	SP	08/3/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Gilead Sciences, Inc. (GILD)	SP	08/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Hanesbrands Inc. (HBI)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Hartford Financial Services Group, Inc. (HIG)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Henry Schein, Inc. (HSIC)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Home Depot, Inc. (HD)	SP	06/14/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Home Depot, Inc. (HD)	SP	09/12/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Honeywell International Inc. (HON)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ICICI Bank Limited (IBN)	SP	08/17/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Imperial Tobacco Group plc Sponsored ADR (ITYBY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: ITYBY exchanged for IMBBY on 02/05/16 Exchanged position liquidated on 09/12/16					
SP M81 (Merrill Lynch) ⇒ Intel Corporation (INTC)	SP	09/12/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Intercontinental Exchange Inc. (ICE)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ International Business Machines Corporation (IBM)	SP	07/26/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ International Business Machines Corporation (IBM)	SP	08/10/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ International Business Machines Corporation (IBM)	SP	08/3/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ International Business Machines Corporation (IBM)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ International Paper Company (IP)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Interpublic Group of Companies, Inc. (IPG)					
SP M81 (Merrill Lynch) ⇒ Intuit Inc. (INTU)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Isuzu Motors Ltd Ord American Depositary Shares (ISUZY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ITV plc Unsponsored ADR (ITVPY)	SP	05/3/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ ITV plc Unsponsored ADR (ITVPY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Jack Henry & Associates, Inc. (JKHY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Johnson & Johnson (JNJ)	SP	09/12/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ JP Morgan Chase & Co. (JPM)	SP	09/12/2016	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ KDDI Corporation Unsponsored ADR (KDDIY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Kellogg Company (K)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Multiple purchases less that \$1,000					
SP M81 (Merrill Lynch) ⇒ KLA-Tencor Corporation (KLAC)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Korea Electric Power Corporation (KEP)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Lamar Advertising Company - Class A (LAMR)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ LPL Financial Holdings Inc. (LPLA)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Lukoil Co Sponsored ADR (LUKOY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Macy's Inc (M)	SP	05/20/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Macy's Inc (M)	SP	05/10/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Magna International, Inc. (MGA)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Mattel, Inc. (MAT)	SP	02/26/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Mattel, Inc. (MAT)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ McDonald's Corporation (MCD)	SP	08/19/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ McDonald's Corporation (MCD)	SP	09/12/2016	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ Medivation, Inc. (MDVN)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Medtronic plc. Ordinary Shares (MDT)	SP	01/28/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Medtronic plc. Ordinary Shares (MDT)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ MetLife, Inc. (MET)	SP	02/26/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ MetLife, Inc. (MET)	SP	07/20/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ MetLife, Inc. (MET)	SP	09/12/2016	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ MetLife, Inc. (MET)	SP	10/18/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒	SP	10/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MetLife, Inc. (MET)					
SP M81 (Merrill Lynch) ⇒ Microsoft Corporation (MSFT)	SP	09/12/2016	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ Molson Coors Brewing Company Class B (TAP)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ MSCI Inc (MSCI)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ NextEra Energy, Inc. (NEE)	SP	09/12/2016	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ NICE Ltd - American Depositary Shares each representing one Ordinary Share (NICE)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Nike, Inc. (NKE)	SP	04/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Nike, Inc. (NKE)	SP	04/11/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Nippon Telegraph and Telephone Corporation (NTT)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Multiple purchases less that \$1,000					
SP M81 (Merrill Lynch) ⇒ Nordea Bank AB Sponsored ADR (NRBAY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Occidental Petroleum Corporation (OXY)	SP	09/12/2016	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ Omnicom Group Inc. (OMC)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ON Semiconductor Corporation (ON)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Open Text Corporation - Common Shares (OTEX)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒	SP	08/10/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Oracle Corporation (ORCL)					
SP M81 (Merrill Lynch) ⇒ Oracle Corporation (ORCL)	SP	08/3/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Oracle Corporation (ORCL)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Paychex, Inc. (PAYX)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Multiple purchases less than \$1,000					
SP M81 (Merrill Lynch) ⇒ Pepsico, Inc. (PEP)	SP	04/28/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Pepsico, Inc. (PEP)	SP	05/18/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ PerkinElmer, Inc. (PKI)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Multiple purchases less than \$1,000					
SP M81 (Merrill Lynch) ⇒ Persimmon Plc Unsponsored ADR (PSMMY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Pfizer, Inc. (PFE)	SP	09/12/2016	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ Philip Morris International Inc (PM)	SP	09/12/2016	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ Pioneer Natural Resources Company (PXD)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Multiple purchases less than \$1,000					
SP M81 (Merrill Lynch) ⇒ PNC Financial Services Group, Inc. (PNC)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Precision Castparts Corporation (PCP)	SP	02/1/2016	E	\$1,001 - \$15,000	
DESCRIPTION: Broadcom - Avgo - Precision					
SP M81 (Merrill Lynch) ⇒ Procter & Gamble Company (PG)	SP	09/12/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Progressive Corporation (PGR)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Multiple purchases less than \$1,000					
SP M81 (Merrill Lynch) ⇒ Public Service Enterprise Group Incorporated (PEG)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Quest Diagnostics Incorporated (DGX)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Quest Diagnostics Incorporated (DGX)	SP	01/11/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Raytheon Company (RTN)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Regeneron Pharmaceuticals, Inc. (REGN)	SP	01/28/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Rexnord Corporation (RXN)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ritchie Bros. Auctioneers Incorporated (RBA)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Roper Technologies, Inc. (ROP)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ryanair Holdings plc - American Depositary Shares, each representing five Ordinary Shares (RYAAY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ryder System, Inc. (R)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Salesforce.com Inc (CRM)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Sanofi American Depositary Shares (SNY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Sasol Ltd. American Depositary Shares (SSL)	SP	09/13/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Schlumberger N.V. (SLB)	SP	09/12/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Sealed Air Corporation (SEE)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Multiple purchases less than \$1,000					
SP M81 (Merrill Lynch) ⇒ Sensata Technologies Holding N.V. Ordinary Shares (ST)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Sherwin-Williams Company (SHW)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Multiple purchases less than \$1,000					
SP M81 (Merrill Lynch) ⇒ Simon Property Group, Inc. (SPG)	SP	09/12/2016	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ Skyworks Solutions, Inc. (SWKS)	SP	07/6/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Multiple purchases less than \$1,000					
SP M81 (Merrill Lynch) ⇒ Smurfit Kappa Group Plc American Depositary Shares (SMFKY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Splunk Inc. (SPLK)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ SS&C Technologies Holdings, Inc. (SSNC)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ STERIS plc Ordinary Shares (STE)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Multiple purchases less than \$1,000					
SP M81 (Merrill Lynch) ⇒ Sumitomo Chemical Co Ltd Unsponsored ADR (SOMMY)	SP	08/18/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Synchrony Financial (SYF)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ T&D Holdings Inc Tokyo Unsponsored ADR (TDHOY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ TD Ameritrade Holding Corporation (AMTD)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ TE Connectivity Ltd. New Switzerland Registered Shares (TEL)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Teleflex Incorporated (TFX)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Telenor ASA American Depositary Shares (TELNY) DESCRIPTION: Multiple purchases less than \$1,000	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Tesla Motors, Inc. (TSLA)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Teva Pharmaceutical Industries Limited American Depositary Shares (TEVA)	SP	05/11/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Teva Pharmaceutical Industries Limited American Depositary Shares (TEVA)	SP	05/4/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Teva Pharmaceutical Industries Limited American Depositary Shares (TEVA)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Texas Instruments Incorporated (TXN) DESCRIPTION: Multiple purchases less than \$1,000	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ The Kraft Heinz Company (KHC)	SP	09/12/2016	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒	SP	08/17/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
The Priceline Group Inc. (PCLN)					
SP M81 (Merrill Lynch) ⇒ The Priceline Group Inc. (PCLN)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ The Travelers Companies, Inc. (TRV)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Thermo Fisher Scientific Inc (TMO)	SP	09/12/2016	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ Time Warner Inc. New (TWX)	SP	08/3/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Time Warner Inc. New (TWX)	SP	08/12/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Time Warner Inc. New (TWX)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ TJX Companies, Inc. (TJX)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Toronto Dominion Bank (TD)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Total S.A. (TOT)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Transdigm Group Incorporated Transdigm Group Inc. (TDG)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ U.S. Bancorp (USB)	SP	08/17/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ U.S. Bancorp (USB)	SP	08/3/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ U.S. Bancorp (USB)	SP	09/12/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Ulta Salon, Cosmetics & Fragrance, Inc. (ULTA)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Union Pacific Corporation (UNP)	SP	01/8/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Union Pacific Corporation (UNP)	SP	01/7/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Union Pacific Corporation (UNP)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ United Overseas Bk Singapore American Depositary Shares (UOVEY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ United Technologies Corporation (UTX)	SP	09/12/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ UnitedHealth Group Incorporated Common Stock (UNH)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Valero Energy Corporation (VLO)	SP	05/24/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Varian Medical Systems, Inc. (VAR)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Verisk Analytics, Inc. (VRSK)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Verizon Communications Inc. (VZ)	SP	01/5/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Trade reported in 2015 - trade date was 12/30/15					
SP M81 (Merrill Lynch) ⇒ Verizon Communications Inc. (VZ)	SP	09/12/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Vertex Pharmaceuticals Incorporated (VRTX)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Visa Inc. (V)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Vulcan Materials Company (VMC)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Purchase and sale in 2016 Transactions less than \$1,000					
SP M81 (Merrill Lynch) ⇒ Wal-Mart Stores, Inc. (WMT)	SP	09/12/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Walt Disney Company (DIS)	SP	09/12/2016	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ Waters Corporation (WAT)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Wells Fargo & Company (WFC)	SP	03/30/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Error - transaction duplicated					
SP M81 (Merrill Lynch) ⇒ Wells Fargo & Company (WFC)	SP	03/30/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Wells Fargo & Company (WFC)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ West Japan Railway Co Unsponsored ADR (WJRY)	SP	09/12/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ WEX Inc. common stock (WEX)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Workday, Inc. (WDAY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ WPP plc - American Depositary Shares each representing five Ordinary Shares (WPPGY)	SP	09/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Xilinx, Inc. (XLNX)	SP	09/12/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	08/9/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Davis NY Venture FD Cl A	SP	06/9/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒	SP	09/12/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
First Eagle Global Fd					
Trust M69 (Merrill Lynch) ⇒ Ft Unit 5601 Sabrient Bakers Dozen Port 2015	SP	01/27/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: Ft Unit 5601 Sabrient Bakers Dozen Port 2015					
Trust M69 (Merrill Lynch) ⇒ FT UNIT 5935 SABRIENT BAKERS DOZEN ...	SP	07/29/2016	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: FT UNIT 5935 SABRIENT BAKERS DOZEN ...					
Trust M69 (Merrill Lynch) ⇒ Ft Unit 5935 Sabrient Bakers Dozen Port 2016	SP	01/27/2016	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: Ft Unit 5935 Sabrient Bakers Dozen Port 2016 Two purchases on same day					
Trust M69 (Merrill Lynch) ⇒ Ft Unit 5935 Sabrient Bakers Dozen Port 2016	SP	01/27/2016	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: Ft Unit 5935 Sabrient Bakers Dozen Port 2016					
Trust M69 (Merrill Lynch) ⇒ GOLDMAN SACHS CAPITAL II - VAR% PERPETUAL CALLABLE-MAY AFFECT YIEL	SP	06/10/2016	P	\$15,001 - \$50,000	
DESCRIPTION: GOLDMAN SACHS CAPITAL II - VAR% PERPETUAL CALLABLE-MAY AFFECT YIEL					
Trust M69 (Merrill Lynch) ⇒ Hartford Growth Opportunities FD	SP	06/9/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ JP Morgan Equity Fd	SP	06/9/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Nuveen Large Cap Core FD	SP	06/9/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ R2000 CLIRN ISSUER CIBC CAP 18.04%	SP	09/29/2016	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: R2000 CLIRN ISSUER CIBC CAP 18.04%					
Trust M69 (Merrill Lynch) ⇒ R2000 STEPUP ISSUER DB STEP 30.00% SV 1,031.58 DUE 02/25/19	SP	02/25/2016	P	\$15,001 - \$50,000	
LOCATION: US					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: R2000 STEPUP ISSUER DB STEP 30.00% SV 1,031.58 DUE 02/25/19					
Trust M69 (Merrill Lynch) ⇒ R2000 STEPUP ISSUER DB STEP 30.00% SV 1,031.58 DUE 02/25/19	SP	09/27/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: R2000 STEPUP ISSUER DB STEP 30.00% SV 1,031.58 DUE 02/25/19					
Trust M69 (Merrill Lynch) ⇒ R2000 STEPUP ISSUER HSBC STEP 25.00%	SP	02/24/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: R2000 STEPUP ISSUER HSBC STEP 25.00% Was reported as purchase in error					
Trust M69 (Merrill Lynch) ⇒ R2000 STEPUP ISSUER HSBC STEP 25.00% SV1,137.686 DUE 12/21/18	SP	02/24/2016	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: R2000 STEPUP ISSUER HSBC STEP 25.00% SV1,137.686 DUE 12/21/18 Should have been reported as sale/liquidation no gain					
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 14.04% SV 2,089.41 DUE 10/27/17	SP	08/9/2016	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 14.04% SV 2,089.41 DUE 10/27/17					
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 14.04% SV 2,089.41 DUE 10/27/17	SP	09/27/2016	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 14.04% SV 2,089.41 DUE 10/27/17					
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 14.04% SV 2,089.41 DUE 10/27/17 BUF 10%	SP	08/8/2016	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 14.04% SV 2,089.41 DUE 10/27/17 BUF 10%					
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 14.54% S...	SP	07/29/2016	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 14.54% S...					
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 14.54% SV 1,987.66 DUE 08/25/17 BUF 10%	SP	06/10/2016	P	\$100,001 - \$250,000	
LOCATION: US					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 14.54% SV 1,987.66 DUE 08/25/17 BUF 10%					
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BARC CAP 12.16% SV 1,965.99 DUE 09/30/16	SP	09/30/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BARC CAP 12.16% SV 1,965.99 DUE 09/30/16 Redemption					
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER CS CAP 19.85% SV 1,951.70 DUE 02/23/18	SP	02/25/2016	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER CS CAP 19.85% SV 1,951.70 DUE 02/23/18					
Trust M69 (Merrill Lynch) ⇒ Sunamerica Focused Div Strat Cl A	SP	06/9/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Sunamerica Focused Div Strat Cl A	SP	08/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BAC STEP 21.70% SV 3,019.34 DUE 09/29/17	SP	09/12/2016	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER BAC STEP 21.70% SV 3,019.34 DUE 09/29/17					
Trust M69 (Merrill Lynch) ⇒ WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL CALLABLE-MAY AFFECT YIEL	SP	06/13/2016	P	\$15,001 - \$50,000	
DESCRIPTION: WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL CALLABLE-MAY AFFECT YIEL					

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member - Lifetime	United Way of Midland, Texas

Position	Name of Organization
School of Business Advisory Council	University of Texas Permian Basin
Board of Directors and Rodeo Committee	Sandhills Hereford & Quarterhorse Show, Inc.
Sole Proprietor	K. Michael Conaway, CPA
Board of Directors - President	Conawell Corporation
Board of Directors - President	Conabain Corporation
Board of Directors - President	KMC, Inc.
Board of Directors - Vice President	Kidwell Exploration

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
National Associations of State Boards of Accountancy	10/30/2016	11/1/2016	Midland, TX - Austin, TX - Midland, TX	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"> Insurance Policies LOCATION: US JT Mo6 (Merrill Lynch) (Owner: JT) JT M39 (Merrill Lynch) (Owner: JT) LOCATION: US JT W58 (Wells Fargo) (Owner: JT) LOCATION: US JT W91 (Wells Fargo) (Owner: JT) SP E48 (Edward Jones) (Owner: SP) LOCATION: US

DESCRIPTION: Managed Account – Not self-directed

- SP E62 (Edward Jones) (Owner: SP)
LOCATION: US
- SP E76 (Edward Jones) (Owner: SP)
- SP M36 (Merrill Lynch) (Owner: SP)
LOCATION: US
- SP M37 (Merrill Lynch) (Owner: SP)
LOCATION: US
- SP M40 (Merrill Lynch) (Owner: SP)
LOCATION: US
- SP M81 (Merrill Lynch) (Owner: SP)
DESCRIPTION: Managed Account – Not self-directed
- Trust M69 (Merrill Lynch) (Owner: SP)
- Trust S (Owner: SP)
- Trust W64 (Wells Fargo) (Owner: SP)
- Trust W66 (Wells Fargo) (Owner: SP)
- Wells Fargo
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. K. Michael Conaway , 08/13/2017