



Filing ID #10006999

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Emily Cain
Status: Congressional Candidate
State/District: ME02

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2015
Filing Date: 05/14/2015

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Allianz	SP	\$50,001 - \$100,000	None		
Growth Fund of America A-IRA ⇒ Growth Fund of America A-IRA		\$1,001 - \$15,000	None		
Maine Public Employee Retirement System		\$1,001 - \$15,000	None		
Means Investments ⇒ American International Group, Inc. New (AIG)	SP	\$1 - \$1,000	None		
Means Investments ⇒ American International Group, Inc. Warrant expiring January 19, 2021 (AIG.W)	SP	\$1 - \$1,000	None		
Means Investments ⇒ Citigroup, Inc. (C)	SP	\$1 - \$1,000	None		
Means Investments ⇒ Ford Motor Company (F)	SP	\$1 - \$1,000	None		
Means Investments ⇒	SP	\$1 - \$1,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
General Electric Company (GE)					
Single Family Rental	JT	\$100,001 - \$250,000	Rent	\$2,501 - \$5,000	\$5,001 - \$15,000
LOCATION: Orono/Penobscot, ME, US					
TIAA-CREF ⇒ CREF GLOBAL EQUITIES		\$1,001 - \$15,000	None		
TIAA-CREF ⇒ CREF GROWTH		\$1,001 - \$15,000	None		
TIAA-CREF ⇒ CREF STOCK		\$1,001 - \$15,000	None		
TIAA-CREF ⇒ HARBOR CAPITAL APPR INST		\$1 - \$1,000	None		
TIAA-CREF ⇒ MFS INTERNATIONAL VAL R5		\$1 - \$1,000	None		
TIAA-CREF ⇒ TIAA REAL ESTATE		\$1 - \$1,000	None		
TIAA-CREF ⇒ TIAA Traditional		\$1 - \$1,000	None		
TIAA-CREF ⇒ TIAA TRADITIONAL	SP	\$1,001 - \$15,000	None		
TIAA-CREF ⇒ VANG TARGET RET 2030 INV	SP	\$1,001 - \$15,000	None		
Two Unit Rental	JT	\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	\$15,001 - \$50,000
LOCATION: Orono / Penobscot, ME, US					
UBS Retirement Account ⇒ FIRST EAGLE FUND OF AMERICA CLASS I (FEAIX)	SP	\$1,001 - \$15,000	None		
UBS Retirement Account ⇒ JP MORGAN LARGE CAP GROWTH FUND SELECT (SEEGX)	SP	\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Retirement Account ⇒ OAKMARK INTL FUND CLASS I (OAKIX)	SP	\$1,001 - \$15,000	None		
UBS Retirement Account ⇒ SUN AMERICA FOCUSED DIVIDEND STRATEGY CLASS W (FDSWX)	SP	\$15,001 - \$50,000	None		
UBS Retirement Account ⇒ T. ROWE PRICE GLOBAL TECH FUND (PRGTX)	SP	\$1,001 - \$15,000	None		
Valic 403B Pension ⇒ Fixed Income	SP	\$15,001 - \$50,000	None		
Valic 403B Pension ⇒ Global & Intl Equity	SP	\$15,001 - \$50,000	None		
Valic 403B Pension ⇒ Large Cap	SP	\$15,001 - \$50,000	None		
Valic 403B Pension ⇒ Mid Cap	SP	\$1,001 - \$15,000	None		
Valic 403B Pension ⇒ Small Cap	SP	\$1,001 - \$15,000	None		
Valic 403B Pension ⇒ Specialty	SP	\$1,001 - \$15,000	None		
Valic 457 Def Comp ⇒ Fixed Income	SP	\$1 - \$1,000	None		
Valic 457 Def Comp ⇒ Global & Intl Equity	SP	\$1 - \$1,000	None		
Valic 457 Def Comp ⇒ Large Cap	SP	\$1,001 - \$15,000	None		
Valic 457 Def Comp ⇒ Mid Cap	SP	\$1 - \$1,000	None		
Valic 457 Def Comp ⇒ Small Cap	SP	\$1 - \$1,000	None		
Valic 457 Def Comp ⇒	SP	\$1 - \$1,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Specialty					

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
University of Maine	Spouse Salary	\$37,092	\$82,824
University of Maine Foundation	Spouse Salary	\$	\$42,264
State of Maine Legislature	Salary	\$	\$9,149
University of Maine	Salary/Benefits Owed	\$3,833	N/A
University Credit Union	Interest Return	N/A	\$353
Jobs for Maine's Graduates	Consulting Contract	\$5,000	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Chase	March 2013	Mortgage on Two-Unit in Orono, ME	\$100,001 - \$250,000
JT	University Credit Union	November 2010	Mortgage on Single Family in Orono, ME	\$100,001 - \$250,000
JT	University Credit Union	October 2012	Home Equity Line on Personal Residence	\$15,001 - \$50,000
JT	University Credit Union	December 2008	Mortgage on Single Family in Orono, ME	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Vice Chair/Chair	New England Board of Higher Education
Vice President	Univ. of Maine, Class of 2002
Member	Maine Commission for the Deaf, Hard of Hearing, & Late-Deafened
Member	Maine National Education for Women (NEW) Leadership Program, UMaine

Position	Name of Organization
Member	Univ. of Maine LGBT Allies Council
Member	National Education Association, UMaine Professional Staff Assoc.
Co-Chair	Legislative Memorial Scholarship Auction

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
September 2013	Myself, University of Maine	I was on a leave of absence that began in September 2013 and was extended until December 2014. I ended my employment at UMaine and the leave of absence in December 2014.
January 2009	Myself, State of Maine	Legislative Pension Plan Participation, Vested 2009

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none">◦ Growth Fund of America A-IRA◦ Means Investments (Owner: SP) LOCATION: US◦ TIAA-CREF (Owner: SP)◦ TIAA-CREF◦ UBS Retirement Account (Owner: SP)◦ Valic 403B Pension (Owner: SP)◦ Valic 457 Def Comp (Owner: SP)
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

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☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Emily Cain , 05/14/2015