



Filing ID #10007103

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Niki Tsongas  
**Status:** Member  
**State/District:** MA03

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2013  
**Filing Date:** 05/15/2015

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
26 AUBURN ST		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: CHARLESTOWN, MA, US					
455 SHORE ROAD		\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: CHATHAM, MA, US					
ASTON MONTAG & CALDWELL GROWTH FUND		\$50,001 - \$100,000	None		<input type="checkbox"/>
AUBURN MASS GO MUN PRUP LN BDS		\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Bank of America		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
BOFA TAX-EXEMPT RESERVES		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
COLUMBIA ACORN FUND		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
COLUMBIA ACORN INTERNAITONAL FUND		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
COLUMBIA BOND FUND		None	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
COLUMBIA DIVIDEND INCOME FUND		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
COLUMBIA HIGH YIELD MUNICIPAL FD		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
COLUMBIA INCOME OPPORTUNITIES		None	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
COLUMBIA LARGE CAP EQUITY FUND		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
COLUMBIA LARGE CAP GROWTH FUND		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
COLUMBIA MA INTER MUNI BD FUND		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
COLUMBIA MID CAP GROWTH FUND		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
COLUMBIA REAL ESTATE EQUITY FUND		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
COLUMBIA SMALL CAP CORE FUND		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
COLUMBIA US GOVT MTG FUND		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DELAWARE EMERGING MKTS FUND		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
FALMOOOUTH MASS GO MUN PURP LN BDS		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
FOXBOROUGH MASS		None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
HARBOR INTERNATIONAL FUND		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ISHARES CORE S&P 500 ETF		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
ISHARES EMERGING MKTS INFRA ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
ISHARES INTERMEDIATE CR BD ETF		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
ISHARES INTL SELECT DIVIDEND ETD		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
ISHARES MSCI HONG KONG ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
IVY ASSET STRATEGY FUND		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
JP MORGAN US LARGE CAP CORE PLUS FUND		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
LAZARD EMERGING MKTS EQUITY PORTFOLIO		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
MASSACHUSETTS ST GO BDS		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MASSACHUSETTS ST WTR POLLUNTN A WTR POLL BDS		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MFS INTERNATIONAL NEW DISCOVERY FUND		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NATIXIS FDS TR II ASG GLOBAL ALTERNATIVES FUND		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Northeast Utilities (NU)		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
PERMANENT PORTFOLIO		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
PIMCO COMMODITIESPLUS STRATEGY FUND		\$15,001 - \$50,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
PIMCO COMMODITY REALRETURN STRATEGY FUND		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
PIMCO FOREIGN BOND FUND		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
PIMCO GLOBAL MULTI-ASSET FUND		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
PIMCO TOTAL RETURN FUND		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
POWERSHARES BD COMMODITY INDEX TRACKING FUND		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
ROBECO BOSTON PARTNERS FONG/SHORT RES FUND		\$15,001 - \$50,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
SPDR BARCLAYS SHORT TERM HIGH YIELD BD ETF		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SPDR DJ WILSHIRE INTL REAL ESTATE ETF		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
SPDR GOLD TR GOLD SHS		\$1,001 - \$15,000	None		<input type="checkbox"/>
TEMPLETON GLOBAL BD FUND		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
THORNBURG INTL VALUE FUND		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
VANGUARD FTSE DEVELOPED MARKETS ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
VANGUARD REIT ETF		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
VANGUARD SHORT-TERM CORPORATE BOND ETF		\$100,001 - \$250,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
VANGUARD SMALL-CAP ETF		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLESLEY MASS		None	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WISDOMTREE EMERGING MKTS SMALLCAP DIV FUND		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AGR DIVERSIFIED ARBITRAGE FUND		11/12/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
COLUMBIA ACORN FUND		02/19/2013	P	\$1,001 - \$15,000	
COLUMBIA BOND FUND		11/12/2013	S	\$50,001 - \$100,000	<input type="checkbox"/>
COLUMBIA HIGH YIELD MUNICIPAL FD		02/19/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
COLUMBIA INCOME OPPORTUNITIES		11/12/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
COLUMBIA LARGE CAP GROWTH FUND		12/6/2013	P	\$1,001 - \$15,000	
COLUMBIA LARGE CAP GROWTH FUND		11/12/2013	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
COLUMBIA MA INTER MUNI BD FUND		11/12/2013	P	\$15,001 - \$50,000	
COLUMBIA MULTI-ADVISOR INTL EQUITY FUND		11/12/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
COLUMBIA US GOVT MTG FUND		11/12/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
DELAWARE EMERGING MKTS FUND		11/4/2013	P	\$15,001 - \$50,000	
FOXBOROUGH MASS		09/3/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
GOLDMAN SACHS ABSOLUTE RETURN TRACKER FUND		11/12/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
ISHARES INTERMEDIATE CR BD ETF		02/4/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ISHARES INTL SELECT DIVIDEND ETF		11/18/2013	P	\$1,001 - \$15,000	
ISHARES MSCI SOUTH KOREA CAPPED ETF		11/14/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
ISHARES MSCI TAIWAN ETF		11/14/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
ISHARES SILVER TR		11/14/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
IVY ASSET STRATEGY FUND		11/14/2013	P	\$15,001 - \$50,000	
JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND		11/14/2013	P	\$1,001 - \$15,000	
JP MORGAN US LARGE CAP CORE PLUS FUND		11/12/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
MFS INTERNATIONAL NEW DISCOVERY FUND		11/14/2013	P	\$15,001 - \$50,000	
NARIXIS FDS TR II		11/14/2013	P	\$1,001 - \$15,000	
Northeast Utilities (NU)		02/11/2013	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
PERMANENT PORTFOLIO		11/14/2013	P	\$1,001 - \$15,000	
PIMCO COMMODITIESPLUS STRATEGY FUND		11/14/2013	P	\$1,001 - \$15,000	
PIMCO COMMODITY REALRETURN STRATEGY FUND		11/12/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
PIMCO FOREIGN BOND FUND		11/12/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
PIMCO GLOBAL MULTI-ASSET FUND		01/31/2013	P	\$1,001 - \$15,000	
PIMCO GLOBAL MULTI-ASSET FUND		11/12/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
PIMCO TOTAL RETURN FUND		11/12/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
POWERSHARE DB COMODITY INDEX TRACKING		04/5/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
FUND					
ROBECO BOSTON PARTNERS LONG/SHORT RES FUND		11/14/2013	P	\$15,001 - \$50,000	
SPDR BARCLAYS SHORT TERM HIGH YIELD BD ETF		11/18/2013	P	\$15,001 - \$50,000	
SPDR DJ WILSHIRE INTL REAL ESTATE ETF		11/18/2013	P	\$1,001 - \$15,000	
TEMPLETON GLOBAL BD FUND		11/14/2013	P	\$1,001 - \$15,000	
VANGUARD FTSE DEVELOPED MKTS ETF		11/14/2013	P	\$1,001 - \$15,000	
VANGUARD FTSE EMERGING MKTS ETF		02/4/2013	P	\$1,001 - \$15,000	
VANGUARD FTSE EMERGING MKTS ETF		11/14/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
VANGUARD REIT ETF		02/4/2013	P	\$1,001 - \$15,000	
VANGUARD SHORT-TERM CORPORATE BOND ETF		11/18/2013	P	\$100,001 - \$250,000	
VANGUARD SMALL-CAP ETF		02/21/2013	P	\$1,001 - \$15,000	
WELLESLEY MASS		06/17/2013	S	\$50,001 - \$100,000	<input type="checkbox"/>
WISDOMTREE EMERGING MKTS SMALLCAP DIV FUND		11/18/2013	P	\$15,001 - \$50,000	

### SCHEDULE C: EARNED INCOME

None disclosed.

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	ENTERPRISE BANK & TRUST	AUGUST 2001	LINE OF CREDIT ON 455 SHORE ROAD, CHATHAM, MA	\$100,001 - \$250,000
	ENTERPRISE BANK & TRUST	JUNE, 2012	MORTGAGE ON PERSONAL	\$250,001 -

Owner	Creditor	Date Incurred	Type	Amount of Liability
			RESIDENCE	\$500,000
	TD BANK	JULY 2003	MORTGAGE ON 26 AUBURN ST, CHARLESTOWN, MA	\$500,001 - \$1,000,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Niki Tsongas , 05/15/2015