

UNITED STATES HOUSE OF REPRESENTATIVES 2016 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

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2017 MAR 02 AM 10:44
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Name: Rodney P. Frelinghuysen Daytime Telephone: 202-225-5034

U.S. HOUSE OF REPRESENTATIVES
A \$200 penalty shall be assessed against any individual who files more than 30 days late.

| | | | | | |
|--------------|---|---|--|----------------------------|---|
| FILER STATUS | <input checked="" type="checkbox"/> Member of the U.S. House of Representatives | State: <u>New Jersey</u> District: <u>11</u> | <input type="checkbox"/> Officer or Employee | Employing Office: _____ | Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant |
| REPORT TYPE | <input checked="" type="checkbox"/> 2016 Annual (Due: May 15, 2017) | <input type="checkbox"/> Amendment | <input type="checkbox"/> Termination | Date of Termination: _____ | |

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

| | | | |
|---|---|---|---|
| A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES" | |

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

| | |
|---|---|
| IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

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Use additional sheets if more space is required.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END</u> | | <u>2016</u> | | <u>2016</u> |
|------------------------------------|-----------------|-------------|---------------|---------------|--------------------|
| | <u>VALUE</u> | <u>TYPE</u> | <u>INCOME</u> | <u>INCOME</u> | <u>TRANSACTION</u> |
| Johnson & Johnson | H | DIV | VII | | |
| Peapack Gladstone Financial Corp. | F | DIV | IV | | |
| Procter & Gamble Co. | I | DIV | IX | | |
| International Business Machines | I | DIV | VII | | |
| Eli Lilly & Co. | H | DIV | VII | | |
| Peapack Gladstone Bank (CKG) | H | INT | III | | N/A |
| JP Morgan Chase Bank (SVGS) | E | INT | II | | N/A |
| Congressional Federal Credit Union | D | NONE | I | | N/A |
| Merck & Co, Inc. | F | DIV | V | | |
| JP Morgan Funds | C | DIV | II | | |
| Abbott Labs | F | DIV | V | | |
| Emerson Electric Co. | F | DIV | V | | |
| Exxon Mobil Corp. | G | DIV | VI | | |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUE</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|---------------------------------|---------------------------|------------------------|------------------------|-----------------------------|
| Schlumberger LTD | F | DIV | V | |
| Wells Fargo & Co. (NEW) | F | DIV | V | |
| Nestle SA Sponsored ADR | F | DIV | V | |
| Schwab U.S. Treasury Money Fund | F | DIV | II | N/A |
| Varian Medical Systems, Inc. | F | NONE | I | |
| Union Pacific Corp. | F | DIV | V | |
| Laboratory Corp. of America | F | NONE | I | P |
| Berkshire Hathaway CL'B | G | NONE | I | |
| Alphabet, Inc. Class A | F | NONE | I | |
| Coca Cola Company | F | DIV | VI | |
| Royal Dutch Shell | F | DIV | VI | |
| John Wiley & Son CL'A | F | DIV | V | |
| Chevron Corp. | F | DIV | V | |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUE</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|---------------------------------|---------------------------|------------------------|------------------------|-----------------------------|
| TJX Co. Inc. | G | DIV | V | |
| Celgene Corp. | G | NONE | I | |
| Illinois Tool Works | F | DIV | V | |
| FedEx Corp. | F | DIV/GAIN | VI | S (partial) |
| Schwab Gov't Money Market (IRA) | B | DIV | I | N/A |
| Abbvie, Inc. | G | DIV | VI | |
| Apple, Inc. | F | DIV | V | |
| Carmax, Inc. | G | NONE | I | |
| CBS Corp. Class B | G | DIV | V | |
| Alphabet, Inc. Class C | F | NONE | I | |
| Cognizant Technology Class A | F | NONE | I | |
| XPO Logistics, Inc. | A | NONE | I | S |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELLINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUE</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|--------------------------------|---------------------------|------------------------|------------------------|-----------------------------|
| Prime Cap Odyssey AGGR (IRA) | C | DIV | III | |
| Alleghany Corp. DEL | F | NONE | I | |
| Danaher Corp. | F | DIV | IV | |
| Hain Celestial Group | F | NONE | I | |
| HCA Holdings, Inc. | F | NONE | I | |
| HID Supply Hldgs, Inc. | G | NONE | I | |
| Meridian Bancorp, Inc. | F | DIV | IV | |
| Sangamo Biosciences | A | NONE | I | P/S |
| Welltower, Inc. | F | DIV | VI | |
| Kraft Heinz Company | F | DIV | VI | |
| U.S. T-Notes .375% due 3/31/16 | A | INT | III | S |
| Chubb LTD | F | DIV | V | P |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END</u> | | <u>2016</u> | |
|--|-----------------|-------------|---------------|--------------------|
| | <u>VALUE</u> | <u>TYPE</u> | <u>INCOME</u> | <u>TRANSACTION</u> |
| Fortive Corp. | F | DIV | III | P/E |
| Walt Disney Co. | F | DIV | IV | P |
| U.S. T-Note .75 Due 1/15/17 | D | INT/GAIN | III | P/S(partial) |
| 17.923 Acres unimproved land, Frelinghuysen Twp. NJ (Commercial Value 2005) | G | NONE | I | |
| 1/5th undivided interest in 235.8 acres of unimproved land, Stockbridge, Ma. (Est Value) | F | NONE | I | |
| 1/5 interest in Battlesnake Mountain, LLC Rental Home, Stockbridge, MA | G | RENT | I | |
| Pacific Life - Whole Life Insurance | G | N/A | | |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

Identity : Procter Trust For Frelinghuysen,
Peter H. B. Frelinghuysen, Trustee (1/10th income
interest) *

* All Assets are held in trust, and all
transactions are initiated by the Trustee

The trust was not created directly by Rodney,
his spouse or any dependents.

They are neither consulted nor give advice
regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly,
quarterly, yearly reports of any type regarding this trust, excepting
the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

This Trust replaces the Marital Trust u/w Rodney Procter and
the Non-Marital Trust u/w Rodney Procter.

2016 Income Received

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ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

Identity : A.H. Frelinghuysen 12/07/50 Trust
F/B/O Rodney P. Frelinghuysen

| <u>HOLDINGS</u> | <u>YEAR-END</u> <u>VALUATION</u> | <u>INCOME</u> <u>TYPE</u> | <u>2016</u> <u>INCOME</u> | <u>2016</u> <u>TRANSACTION</u> |
|--|-------------------------------------|------------------------------|------------------------------|-----------------------------------|
| Vanguard Bond Index Fund, Inc. | F | DIV | V | |
| Vanguard Fixed Income - GNMA Portfolio | F | DIV | VI | |
| Templeton Global Bond Fund | F | DIV | V | |
| Vanguard Short-Term Bond Index Fund | E | DIV | IV | |
| Abbott Labs | E | DIV | IV | |
| Abbvie, Inc. | E | DIV | V | |
| Altria Group, Inc. | F | DIV | V | |
| Anadarko Petroleum Corp | E | DIV | III | |
| Bank of America Corp. | E | DIV | III | |
| Campbell Soup Co. | F | DIV | V | |
| Chevron Corporation | E | DIV | IV | |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|--------------------------------------|-------------------------------|------------------------|------------------------|-----------------------------|
| Coca Cola Co. | E | DIV | V | |
| Conoco Phillips | C | DIV | III | |
| Dominion Res, Inc. VA new | E | DIV | V | |
| Freeport McMoran Copper & Gold, Inc. | D | DIV | I | |
| Glaxo Smithkline PLC ADR | E | DIV | V | |
| Eli Lilly & Co. | E | DIV | V | |
| Martin Marietta Matls, Inc. | G | DIV | IV | |
| McDonald's Corporation | D | DIV | IV | |
| <i>The Mosaic Company</i> | D | DIV | III | |
| Nucor Corp. | F | DIV | V | |
| Philip Morris Int'l Inc. | D | DIV | IV | |
| Phillips 66 | C | DIV | III | |
| Yum Brands | C | DIV | III | |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|------------------------------|-------------------------------|------------------------|------------------------|-----------------------------|
| Cenovus Energy, Inc. | C | DIV | II | |
| Dreyfus Inst'l TR Prime Cash | I | DIV | II | N/A |
| Yum China Hldgs, Inc. | C | NONE | I | E |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

Identity : Peter H.B. Frelinghuysen Trust U/D dated
1/17/57, Peter H.B. Frelinghuysen and George L. K.
Frelinghuysen, Trustees (1/8th income interest) *

* All Assets are held in trust, and all
transactions are initiated by the Trustee

The trust was not created directly by Rodney,
his spouse or any dependents.

They are neither consulted nor give advice
regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly,
quarterly, yearly reports of any type regarding this trust, excepting
the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

2016 Income Received

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ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

**Identity : B. S. Procter Trust U/A
5/31/60 F/B/O Rodney P. Frelinghuysen
Peter Frelinghuysen & Rodney P. Frelinghuysen, Trustees**

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|--------------------------|-------------------------------|------------------------|------------------------|-----------------------------|
| Abbott Laboratories | F | DIV | V | |
| Bristol Myers Squibb Co. | F | DIV | VI | |
| Moody's Corp. | E | DIV | III | |
| Gannett Co., Inc. | C | DIV | III | |
| Pepsico, Inc. | G | DIV | VI | |
| Procter & Gamble Co. | J | DIV | IX | |
| Loews Corp. | G | DIV | IV | |
| Motorola Solutions, Inc. | D | DIV | III | |
| CSX Corporation | G | DIV | VI | |
| A T & T, Inc. new | D | DIV | IV | |
| Alcatel-Lucent ADR | A | NONE | I | E |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|-----------------------------|-------------------------------|------------------------|------------------------|-----------------------------|
| American Express Co. | F | DIV | IV | |
| Sherwin Williams Co. | H | DIV | VI | |
| Dun & Bradstreet Corp., NEW | D | DIV | III | |
| Zimmer Holdings, Inc. | D | DIV | III | |
| Smucker, J.M. Co. | F | DIV | V | |
| Comcast Corp. New CL 'A' | D | DIV | III | |
| Ameriprise Financial, Inc. | D | DIV | III | |
| Abbvie, Inc. | F | DIV | VI | |
| Dreyfus Inst'l TR Prime | I | DIV | II | N/A |
| Tegna, Inc. | D | DIV | III | |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

Identity : V. T. Robinson Trust U/A dated
4/10/80, Fiduciary Trust Company, Boston, Ma.
Trustee (spouse discretionary income interest) *

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|----------------------|-------------------------------|------------------------|------------------------|-----------------------------|
| Home Depot, Inc. | E | DIV | IV | |
| Coca Cola Co. | E | DIV | IV | |
| Pepsico, Inc. | F | DIV | V | |
| Altria Group, Inc. | E | DIV | V | |
| Procter & Gamble Co. | F | DIV | IV | |
| General Electric Co. | F | DIV | V | |

* All Assets listed are held in trust, and all transactions are initiated by the Trustee

Rodney P. Frelinghuysen is neither consulted nor gives advice regarding these investments.

Spouse does receive quarterly statements regarding this trust.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|--------------------------|-------------------------------|------------------------|------------------------|-----------------------------|
| Emerson Electric Co. | E | DIV | V | |
| Parker - Hannifin Corp. | F | DIV | IV | |
| Microsoft Corp. | F | DIV | V | |
| Exxon Mobil Corp | F | DIV | V | |
| Union Pacific Corp. | F | DIV | V | |
| J P Morgan Chase & Co. | D | DIV | III | |
| Oakmark Int'l Fund CL YF | E | DIV | III | |
| Yum Brands, Inc. | E | DIV | IV | |
| Public Storage, Inc. | E | DIV | IV | |
| Johnson & Johnson | G | DIV | III | |
| Stericycle, Inc. | E | NONE | I | |
| Colgate-Palmolive Co. | E | DIV | IV | |
| VIMAC IT Fund III LP | A | NONE | I | Written Off |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|---------------------------------------|-------------------------------|------------------------|------------------------|-----------------------------|
| Ishares Barclays 1-3 Yr Credit Bond | F | INT | V | |
| Templeton Global Bond Fund Advisor CL | F | DIV | VI | S(partial) |
| Philip Morris Int'l | F | DIV | VI | |
| Allianz NFJ Int'l Value Fund | A | DIV/GAIN | VII | S |
| VIMAC IT III Annex Fund | A | NONE | I | Written Off |
| Dreyfus Gov't Cash Mgmt Inst'l SHS | G | DIV | IV | N/A |
| U.S. Bancorp(new) | D | DIV | III | |
| Thomas White Int'l Fund | E | DIV | III | |
| Wisdomtree Global EX US Real Estate | E | DIV | V | |
| Chubb Corp. | A | GAIN | IV | E |
| Analog Devices | D | DIV | III | |
| Franklin Int'l Small Cap Growth ADV | A | GAIN | VII | S |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHOYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|--|-------------------------------|------------------------|------------------------|-----------------------------|
| Tortoise Energy Infrastructure Corp. | F | DIV | VI | |
| Aspen Managed Futures Strategy Fund I | A | DIV | III | S |
| Pimco Enhanced Short Term Maturity ETF | F | INT | IV | |
| Alphabet, Inc. Class A | D | NONE | I | |
| Vanguard RBLT Index ETF | F | DIV | V | |
| Merger CL I | A | DIV | I | S |
| Highland Long/Short Equity Fund | A | GAIN | IV | S |
| VIMAC NKS7 LP | C | NONE | I | |
| Dollar Tree, Inc. | D | NONE | I | |
| Apple, Inc. | D | DIV | III | |
| Guggenheim S&P Global Water Index ETF | D | DIV | III | |
| Buffalo Int'l | F | DIV | IV | |
| Matthews Pacific Tiger Fund Inst'l | F | DIV | IV | P |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|--|-------------------------------|------------------------|------------------------|-----------------------------|
| Alphabet, Inc. Class C | D | NONE | I | |
| Acadian Emerging Mkts Port Int'l | E | DIV | IV | |
| Driehaus Emerging Mkts Small Cap. | D | DIV | II | |
| Comcast Corp. Class A | D | DIV | III | |
| Cameron Int'l Corp. | A | GAIN | V | E |
| Valero Energy Corp. | D | DIV | IV | |
| Voya Financial, Inc. | C | DIV | II | |
| Symantec Corp. | D | DIV | V | |
| Lyondellbasell Industries CLVA | D | DIV | IV | |
| DTE Energy Co. | D | DIV | III | |
| Johem Int'l Select I | E | DIV | III | |
| Pimco Income Fund Inst'l | E | DIV | I | P |
| Vanguard Intermediate Tax Exempt Admiral | G | DIV | I | P |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELLINGHOYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|--|-------------------------------|------------------------|------------------------|-----------------------------|
| Vanguard Emerging Mkts Gov't Bond ETF | F | DIV | I | P |
| Yum China Hldgs, Inc. | D | NONE | I | P |
| Schlumberger LTD | C | DIV | II | E |
| Sangamo Biosciences, Inc. | C | NONE | I | P |
| Brandes Int'l Small Cap Equity | G | DIV | III | P |
| Ishares MSCI Eurozone ETF | D | DIV | VI | P |
| WCM Focused Int'l Growth ETF | E | DIV | III | P |
| Vanguard Global EX - U.S. Real Estate ETF | F | DIV | I | P |
| Chubb LTD | D | DIV | III | E |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

Identity : H. S. Robinson Trust U/A dated
12/16/82, Fiduciary Trust Company, Boston, Ma.
Trustee (spouse discretionary income beneficiary) *

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|------------------------|-------------------------------|------------------------|------------------------|-----------------------------|
| J P Morgan Chase & Co. | D | DIV | III | |
| Altria Group, Inc. | E | DIV | IV | |
| Abbott Labs | D | DIV | III | |
| Johnson & Johnson | D | DIV | II | |
| General Electric Co. | E | DIV | III | |
| Exxon Mobil Corp. | E | DIV | III | |

* All Assets listed are held in trust, and all transactions are initiated by the Trustee

Rodney P. Frelinghuysen is neither consulted nor gives advice regarding these investments.

Spouse does receive quarterly statements regarding this trust.

January to June 30th, 2016

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END</u> <u>VALUATION</u> | <u>INCOME</u> <u>TYPE</u> | <u>2016</u> <u>INCOME</u> | <u>2016</u> <u>TRANSACTION</u> |
|-------------------------------------|-------------------------------------|------------------------------|------------------------------|-----------------------------------|
| Oakmark Int'l Fund CL 'Y' | D | DIV | I | |
| Templeton Global Bond Fund | G | INT | VI | |
| Novartis AG ADR | D | DIV | III | |
| Ishares Barclays 1-3yr. Credit Bond | F | INT | IV | |
| Philip Morris Int'l | E | DIV | IV | |
| Schlumberger L/TD | D | DIV | III | E |
| SPDR Gold Trust | E | NONE | I | |
| Allianz NFJ Int'l Value Fund | A | DIV/GAIN | VII | S |
| Dreyfus Govt Cash Mgmt Instl SHS | H | DIV | III | N/A |
| Vanguard Short-Term Tax Exempt Fund | E | DIV | III | |
| Express Scripts Holding Co. | E | NONE | I | |
| Apple, Inc. | E | DIV | IV | |
| Alphabet, Inc. CL 'A' | E | NONE | I | |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|--|-------------------------------|------------------------|------------------------|-----------------------------|
| Wisdomtree Global EX US REIT | E | DIV | III | |
| U.S. Bancorp | D | DIV | III | |
| Chubb Corp. | A | GAIN | IV | E |
| Analog Devices | D | DIV | III | |
| Exelon Corp. | C | DIV | II | |
| Frontier Netols Small Cap Value Inst'l | C | DIV | I | |
| Walthausen Small Cap Value Fund | D | DIV | I | |
| Franklin Int'l Small Cap Growth ADV | F | DIV | I | |
| Tortoise Energy Infrastructure Corp. | E | DIV | IV | |
| Union Pacific Corp. | D | DIV | III | |
| Aspen Managed Futures Strategy Fund I | E | DIV | I | |
| Matthews Asian Growth & Income | C | DIV | II | |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END</u> <u>VALUATION</u> | <u>INCOME</u> <u>TYPE</u> | <u>2016</u> <u>INCOME</u> | <u>2016</u> <u>TRANSACTION</u> |
|------------------------------------|-------------------------------------|------------------------------|------------------------------|-----------------------------------|
| Matthews Pacific Tiger Fund Inst'l | E | DIV | I | |
| Vanguard REIT Index ETF | F | DIV | IV | |
| Highland Long/Short Equity Fund | E | DIV | I | |
| Tortoise MLP Fund | D | DIV | IV | |
| CVS/Caremark, Inc. | D | DIV | III | |
| Abbvie, Inc. | D | DIV | III | |
| Diamond Hill Large Cap Fund CL | D | DIV | I | |
| LATTEEF Fund CL I | D | DIV | I | |
| Mt. Lucas US Focused Equity Fund I | D | DIV | I | |
| Vulcan Value Partners Small Cap | E | DIV | I | |
| Buffalo Int'l | F | DIV | I | |
| Alphabet, Inc. CLIC | D | NONE | I | |
| Acadian Emerging MKTS Part Intn'l | E | DIV | I | |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|---|-------------------------------|------------------------|------------------------|-----------------------------|
| <i>Driehaus Emerging MKTS</i> | E | DIV | I | |
| Comcast Corp. | D | DIV | III | |
| Pepsico, Inc. | C | DIV | II | |
| Cameron Int'l Corp. (Exchange for shs in Schlumberger) | A | GAIN | III | E |
| Valero Energy Corp. | D | DIV | III | |
| Voya Financial, Inc. | C | DIV | II | |
| Symantec Corp. | D | DIV | V | |
| Lyondellbasell Ind CTA' | D | DIV | III | |
| <i>DTF Energy Co.</i> | D | DIV | III | |
| Ishares MSCI Eurozone ETF | F | DIV | V | P |
| Chubb LTD (Rec'd in exchange with Chubb Corp) | D | DIV | II | E |
| Brandes Int'l Small Cap Equity | E | DIV | I | P |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END</u> | <u>INCOME</u> | <u>2016</u> | <u>2016</u> |
|---------------------------------|------------------|---------------|---------------|--------------------|
| | <u>VALUATION</u> | <u>TYPE</u> | <u>INCOME</u> | <u>TRANSACTION</u> |
| WCM Focused Int'l Growth Inst'l | E | DIV | I | P |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

**Identity : H. S. Robinson Trust U/A dated
12/16/82, Fiduciary Trust Company, Boston, Ma.
Trustee (spouse discretionary income beneficiary) ***

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|------------------------|-------------------------------|------------------------|------------------------|-----------------------------|
| J P Morgan Chase & Co. | A | DIV | | Delivered |
| Altria Group, Inc. | A | DIV | | Delivered |
| Abbott Labs | A | DIV | | Delivered |
| Johnson & Johnson | A | DIV | | Delivered |
| General Electric Co. | A | DIV | | Delivered |
| Exxon Mobil Corp. | A | DIV | | Delivered |

* All Assets listed are held in trust, and all transactions are initiated by the Trustee

Rodney P. Frelinghuysen is neither consulted nor gives advice regarding these investments.

Spouse does receive quarterly statements regarding this trust.

July 1st this trust re-organized into H.S. Robinson GST EX TR - Virginia

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|-------------------------------------|-------------------------------|------------------------|------------------------|-----------------------------|
| Oakmark Int'l Fund CL 'I' | A | DIV | | Delivered |
| Templeton Global Bond Fund | A | INT | | Delivered |
| Novartis AG ADR | A | DIV | | Delivered |
| Ishares Barclays 1-3yr. Credit Bond | A | INT | | Delivered |
| Phillip Morris Int'l | A | DIV | | Delivered |
| Schlumberger LTD | A | DIV | | Delivered |
| SPDR Gold Trust | A | NONE | | Delivered |
| Dreyfus Govt Cash Mgmt Instl SHS | A | DIV | | Delivered |
| Vanguard Short-Term Tax Exempt Fund | A | DIV | | Delivered |
| Express Scripts Holding Co. | A | NONE | | Delivered |
| Apple, Inc. | A | DIV | | Delivered |
| Alphabet, Inc. CL 'A' | A | NONE | | Delivered |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|--|-------------------------------|------------------------|------------------------|-----------------------------|
| Wisdomtree Global EX US REIT | A | DIV | | Delivered |
| U.S. Bancorp | A | DIV | | Delivered |
| Analog Devices | A | DIV | | Delivered |
| Exelon Corp. | A | DIV | | Delivered |
| Frontier Netols Small Cap Value Inst'l | A | DIV | | Delivered |
| Walhausen Small Cap Value Fund | A | DIV | | Delivered |
| Franklin Int'l Small Cap Growth ADV | A | DIV | | Delivered |
| Tortoise Energy Infrastructure Corp. | A | DIV | | Delivered |
| Union Pacific Corp. | A | DIV | | Delivered |
| Aspen Managed Futures Strategy Fund I | A | DIV | | Delivered |
| Matthews Asian Growth & Income | A | DIV | | Delivered |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END</u> <u>VALUATION</u> | <u>INCOME</u> <u>TYPE</u> | <u>2016</u> <u>INCOME</u> | <u>2016</u> <u>TRANSACTION</u> |
|------------------------------------|-------------------------------------|------------------------------|------------------------------|-----------------------------------|
| Matthews Pacific Tiger Fund Inst'l | A | DIV | | Delivered |
| Vanguard REIT Index ETF | A | DIV | | Delivered |
| Highland Long/Short Equity Fund | A | DIV | | Delivered |
| Tortoise MLP Fund | A | DIV | | Delivered |
| CVS/Caremark, Inc. | A | DIV | | Delivered |
| Abbvie, Inc. | A | DIV | | Delivered |
| Diamond Hill Large Cap Fund CL | A | DIV | | Delivered |
| LATHEF Fund CL I | A | DIV | | Delivered |
| Mt. Lucas US Focused Equity Fund I | A | DIV | | Delivered |
| Vulcan Value Partners Small Cap | A | DIV | | Delivered |
| Buffalo Int'l | A | DIV | | Delivered |
| Alphabet, Inc. CLIC | A | NONE | | Delivered |
| Acadian Emerging MKTS Part Intnl | A | DIV | | Delivered |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|--|-------------------------------|------------------------|------------------------|-----------------------------|
| Driehaus Emerging MKTS | A | DIV | | Delivered |
| Comcast Corp. | A | DIV | | Delivered |
| Pepsico, Inc. | A | DIV | | Delivered |
| Valero Energy Corp. | A | DIV | | Delivered |
| Voya Financial, Inc. | A | DIV | | Delivered |
| Symantec Corp. | A | DIV | | Delivered |
| Lyondellbasell Ind CL/A' | A | DIV | | Delivered |
| DTT Energy Co. | A | DIV | | Delivered |
| Ishares MSCI Eurozone ETF | A | DIV | | Delivered |
| Chubb LTD (Rec'd in exchange with Chubb Corp) | A | DIV | | Delivered |
| Brandes Int'l Small Cap Equity | A | DIV | | Delivered |
| WCM Focused Int'l Growth Inst'l | A | DIV | | Delivered |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FREELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

Identity : H. S. Robinson GST EX TR - Virginia
Fiduciary Trust Company, Boston, Ma.
Trustee (spouse discretionary income beneficiary) *

| | <u>HOLDINGS</u> | <u>YEAR-END</u> <u>VALUATION</u> | <u>INCOME</u> <u>TYPE</u> | <u>2016</u> <u>INCOME</u> | <u>2016</u> <u>TRANSACTION</u> |
|------------------------|-----------------|-------------------------------------|------------------------------|------------------------------|-----------------------------------|
| J P Morgan Chase & Co. | | C | DIV | III | |
| Altria Group, Inc. | | D | DIV | III | |
| Abbott Labs | | C | DIV | III | |
| Johnson & Johnson | | C | DIV | II | |
| General Electric Co. | | D | DIV | III | |

* All Assets listed are held in trust, and all transactions are initiated by the Trustee

Rodney P. Frelinghuysen is neither consulted nor gives advice regarding these investments.

Spouse does receive quarterly statements regarding this trust.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|-------------------------------------|-------------------------------|------------------------|------------------------|-----------------------------|
| Exxon Mobil Corp. | D | DIV | III | |
| Oakmark Int'l Fund CL 'P' | D | DIV | III | |
| Templeton Global Bond Fund | E | INT | IV | S(partial) |
| Novartis AG ADR | C | DIV | I | |
| Ishares Barclays 1-3yr. Credit Bond | E | INT | III | |
| Philip Morris Int'l | D | DIV | III | |
| Schlumberger LTD | D | DIV | III | |
| SPDR Gold Trust | D | NONE | I | |
| Dreyfus Govt Cash Mgmt Instl SIFS | F | DIV | III | N/A |
| Vanguard Short-Term Tax Exempt Fund | D | DIV | II | |
| Express Scripts Holding Co. | D | NONE | I | |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELLINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|--|-------------------------------|------------------------|------------------------|-----------------------------|
| Apple, Inc. | D | DIV | III | |
| Alphabet, Inc. CL 'A' | D | NONE | I | |
| Wisdomtree Global EX US REIT | D | DIV | III | |
| U.S. Bancorp | C | DIV | II | |
| Analog Devices | C | DIV | II | |
| Exelon Corp. | C | DIV | II | |
| Frontier Netols Small Cap Value Inst'l | C | DIV | II | |
| Walthausen Small Cap Value Fund | D | DIV | III | |
| Franklin Int'l Small Cap Growth ADV | D | DIV/GAIN | VI | P/S (partial) |
| Tortoise Energy Infrastructure Corp. | D | DIV | IV | |
| Union Pacific Corp. | C | DIV | II | |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|---------------------------------------|-------------------------------|------------------------|------------------------|-----------------------------|
| Aspen Managed Futures Strategy Fund I | D | DIV | III | |
| Mathews Asian Growth & Income | A | DIV | I | S |
| Mathews Pacific Tiger Fund Inst'l | D | DIV | III | |
| Vanguard REIT Index ETF | D | DIV | III | |
| Highland Long/Short Equity Fund | A | GAIN | III | S |
| Tortoise MLP Fund | D | DIV | IV | |
| CVS/Caremark, Inc. | C | DIV | II | |
| Abbvie, Inc. | D | DIV | III | |
| Diamond Hill Large Cap Fund CL | D | DIV | IV | |
| LATEEF Fund CL I | A | DIV | I | S |
| Mt. Lucas US Focused Equity Fund I | A | DIV | I | S |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|-----------------------------------|-------------------------------|------------------------|------------------------|-----------------------------|
| Vulcan Value Partners Small Cap | D | DIV | II | |
| Buffalo Int'l | D | DIV | III | |
| Alphabet, Inc. CLIC | D | NONE | I | |
| Acadian Emerging MKTS Part Intn'l | A | DIV | I | S |
| Driehaus Emerging MKTS | D | DIV | II | |
| Comcast Corp. | D | DIV | III | |
| Pepsico, Inc. | C | DIV | II | |
| Valero Energy Corp. | C | DIV | III | |
| Voya Financial, Inc. | C | DIV | II | |
| Symantec Corp. | C | DIV | II | |
| Lyondellbasell Ind CLIA | C | DIV | III | |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELLINGHOUSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|--|-------------------------------|------------------------|------------------------|-----------------------------|
| PTE Energy Co. | C | DIV | II | |
| Chubb LTD | C | DIV | II | |
| Ishares MSCI Eurozone ETF | D | DIV/GAIN | V | S(partial) |
| Brandes Int'l Small Cap Equity | D | DIV | III | |
| WCM Focused Int'l Growth Inst'l | D | DIV | III | |
| Pimco Income Fund - Inst'l | E | DIV | III | P |
| Vanguard Intermediate Tax Exempt Admiral | E | INT | I | P |
| Ishares Core S&P 500 ETF | D | DIV | II | P |
| Vanguard S&P 500 ETF | D | DIV | II | P |
| Vanguard MSCI Emerging Market Index ETF | C | DIV | II | P |
| American Beacon AHL Managed Futures Strategy | D | DIV | I | P |
| AQR Managed Futures Strategy | D | DIV | II | P |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

Identity : H. S. Robinson GST NON-EX TR - Virginia
Fiduciary Trust Company, Boston, Ma.
Trustee (spouse discretionary income beneficiary) *

| <u>HOLDINGS</u> | <u>YEAR-END</u> | <u>INCOME</u> | <u>2016</u> | <u>2016</u> |
|------------------------|------------------|---------------|---------------|--------------------|
| | <u>VALUATION</u> | <u>TYPE</u> | <u>INCOME</u> | <u>TRANSACTION</u> |
| J P Morgan Chase & Co. | D | DIV | II | |
| Altria Group, Inc. | D | DIV/GAIN | VII | S (partial) |
| Abbott Labs | D | DIV | II | |
| Johnson & Johnson | C | DIV | II | |
| General Electric Co. | D | DIV | III | |

* All Assets listed are held in trust, and all transactions are initiated by the Trustee

Rodney P. Frelinghuysen is neither consulted nor gives advice regarding these investments.

Spouse does receive quarterly statements regarding this trust.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|-------------------------------------|-------------------------------|------------------------|------------------------|-----------------------------|
| Exxon Mobil Corp. | D | DIV | III | |
| Oakmark Int'l Fund CL, T | D | DIV | III | |
| Templeton Global Bond Fund | E | INT | IV | S(partial) |
| Novartis AG ADR | A | DIV/GAIN | V | S |
| Ishares Barclays 1-3yr. Credit Bond | F | INT | III | |
| Philip Morris Int'l | D | DIV | III | |
| Schlumberger LTD | D | DIV | II | |
| SPDR Gold Trust | D | NONE | I | |
| Dreyfus Govt Cash Mgmt Instl SHS | F | DIV | II | N/A |
| Vanguard Short-Term Tax Exempt Fund | D | DIV | II | |
| Express Scripts Holding Co. | D | NONE | I | |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|--|-------------------------------|------------------------|------------------------|-----------------------------|
| Apple, Inc. | E | DIV/GAIN | VI | S(partial) |
| Alphabet, Inc. CL 'A' | D | NONE | I | |
| Wisdomtree Global EX US REIT | D | DIV | IV | |
| U.S. Bancorp | D | DIV | II | |
| Analog Devices | D | DIV | II | |
| Exelon Corp. | A | DIV | II | S |
| Frontier Netols Small Cap Value Inst'l | A | DIV | I | S |
| Walthausen Small Cap Value Fund | D | DIV | III | |
| Franklin Int'l Small Cap Growth ADV | D | DIV | V | P |
| Tortoise Energy Infrastructure Corp. | E | DIV | IV | |
| Union Pacific Corp. | D | DIV | III | |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|---------------------------------------|-------------------------------|------------------------|------------------------|-----------------------------|
| Aspen Managed Futures Strategy Fund I | A | DIV | I | S |
| Matthews Asian Growth & Income | A | DIV | I | S |
| Matthews Pacific Tiger Fund Inst'l | E | DIV | IV | P |
| Vanguard REIT Index ETF | E | DIV | IV | |
| Highland Long/Short Equity Fund | A | GAIN | II | S |
| Tortoise MLP Fund | A | DIV | III | S |
| CVS/Caremark, Inc. | C | DIV | II | |
| Abbvie, Inc. | D | DIV | III | |
| Diamond Hill Large Cap Fund CL | D | DIV | IV | P |
| LATEEF Fund CL I | A | DIV | I | S |
| Vulcan Value Partners Small Cap | D | DIV | II | |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|-------------------------|-------------------------------|------------------------|------------------------|-----------------------------|
| Buffalo Int'l | E | DIV | III | |
| Alphabet, Inc. CL/C | D | NONE | I | |
| Driehaus Emerging MKTS | C | DIV | II | S(partial) |
| Comcast Corp. | D | DIV | II | |
| Pepsico, Inc. | C | DIV | II | |
| Valero Energy Corp. | A | DIV/GAIN | III | S |
| Voya Financial, Inc. | A | DIV | II | S |
| Symantec Corp. | D | DIV | II | |
| Lyondellbasell Ind CL/A | A | DIV/GAIN | IV | S |
| DTE Energy Co. | D | DIV | II | |
| Chubb LTD | C | DIV | II | |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END</u> <u>VALUATION</u> | <u>INCOME</u> <u>TYPE</u> | <u>2016</u> <u>INCOME</u> | <u>2016</u> <u>TRANSACTION</u> |
|--|-------------------------------------|------------------------------|------------------------------|-----------------------------------|
| Ishares MSCI Eurozone ETF | D | DIV | III | |
| Brandes Int'l Small Cap Equity | D | DIV | III | |
| WCM Focused Int'l Growth Inst'l | D | DIV | III | |
| Pimco Income Fund - Inst'l | E | DIV | III | P |
| Vanguard S&P 500 ETF | D | DIV | III | |
| American Beacon AHL Managed Futures Strategy | D | DIV | I | |
| AQR Managed Futures Strategy | E | DIV | II | |
| Powershares Senior Loan Portfolio ETF | D | DIV | I | P |
| Vanguard Intermediate Tax Exempt Admiral | F | INT | I | P |
| Vanguard Emerging MKTS Gov't Bond ETF | D | INT | I | P |
| Home Depot, Inc. | D | DIV | I | P |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHOYSEN

CONTINUATION SHEET - SCHEDULE A

| <u>HOLDINGS</u> | <u>YEAR-END VALUATION</u> | <u>INCOME TYPE</u> | <u>2016 INCOME</u> | <u>2016 TRANSACTION</u> |
|---------------------------------------|-------------------------------|------------------------|------------------------|-----------------------------|
| Nike, Inc. Class B | C | DIV | I | P |
| Key Corp (new) | D | DIV | I | P |
| Prudential Financial, Inc. | D | DIV | I | P |
| AT&T, Inc. | D | DIV | I | P |
| Ishares Core S&P 500 ETF | E | DIV | III | P |
| JOHCM Int'l Select I | E | DIV | III | P |
| Vanguard MSCI Emerging MKT Index ETF | C | DIV | II | P |
| Vanguard Global EX-US Real Estate ETF | D | DIV | I | P |
| Tortoise MLP & Pipeline - Inst'l | D | DIV | I | P |

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* Column K is for assets solely held by your spouse or dependent child

Use additional sheets if more space is required

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>DATE</u> | <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|-------------|---|----------------------------|--------------------------------|------------------------------|
| 01/14/16 | XPO Logistics, Inc. | S | | D |
| 01/25/16 | Saugano Biosciences | P | | B |
| 02/10/16 | Chubb LTD | P | | D |
| 03/02/16 | Chubb LTD | P | | C |
| 03/02/16 | U.S. T-Note .375% due 3/31/16 | S(partial) | | D |
| 03/02/16 | Saugano Biosciences | P | | B |
| 03/02/16 | Walt Disney Co. | P | | D |
| 03/30/16 | U.S. T-Note .75% due 1/15/17 | P | | D |
| 03/31/16 | U.S. T-Note .375% due 3/31/16 | S | | D |
| 07/02/16 | Fortive Corp. (Spinoff from Danaher Corp.) | E | | B |
| 07/07/16 | Fortive Corp. | P | | C |
| 07/15/16 | U.S. T-Note .75% due 1/15/17 | S(partial) | | B |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. PRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>DATE</u> | <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|-------------|------------------------------|----------------------------|--------------------------------|------------------------------|
| 11/02/16 | U.S. T-Note .75% Due 1/15/17 | S(partial) | | C |
| 11/30/16 | Fedex Corp. | S(partial) | X | B |
| 11/30/16 | Lab Corp. of America Hldgs. | P | | B |
| 11/30/16 | Sangamo Biosciences | S | | C |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

Identity : A. H. Frelinghuysen 12/07/50 Trust
F/B/O Rodney P. Frelinghuysen

| <u>DATE</u> | <u>ASSET</u> | TYPE OF <u>TRANSACTION</u> | CAPITAL GAIN <u>OVER \$200</u> | AMOUNT OF <u>TRANSACTION</u> |
|-------------|--|-------------------------------|-----------------------------------|---------------------------------|
| 11/01/16 | Yum China Hldgs, Inc. (Spinoff from Yum Brands, Inc.) | F | | A |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

Identity : B. S. Procter Trust U/A
5/31/60 F/B/O Rodney P. Frelinghuysen
Peter Frelinghuysen & Rodney P. Frelinghuysen, Trustees

| <u>DATE</u> | <u>ASSET</u> | TYPE OF <u>TRANSACTION</u> | CAPITAL GAIN <u>OVER \$200</u> | AMOUNT OF <u>TRANSACTION</u> |
|-------------|----------------|-------------------------------|-----------------------------------|---------------------------------|
| 06/06/16 | Alcatel Lucent | E | | A |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

Identity : V. T. Robinson Trust U/A dated
4/10/80, Fiduciary Trust Company, Boston, Ma.
Trustee (spouse discretionary income interest)

| <u>DATE</u> | <u>ASSET</u> | TYPE OF <u>TRANSACTION</u> | CAPITAL GAIN <u>OVER \$200</u> | AMOUNT OF <u>TRANSACTION</u> |
|-------------|---|-------------------------------|-----------------------------------|---------------------------------|
| 01/19/16 | Ishares MSCI Eurozone ETP | P | | D |
| 01/19/16 | Chubb Corp. | E | X | B |
| 01/19/16 | Chubb LTD (Rec'd in Exchange/Merger with Chubb Corp.) | E | | B |
| 01/25/16 | Sangamo Biosciences, Inc. | P | | A |
| 03/14/16 | VTMAC IT Fund III LP | written off | | NONE |
| 03/14/16 | VTMAC IT III Annex Fund | written off | | NONE |
| 04/04/16 | Cameron Int'l Corp. | E | X | B |
| 04/04/16 | Schlumberger LTD (Rec'd in Exchange/Merger with Cameron) | E | | A |
| 05/24/16 | Brandes Int'l Small Cap Equity | P | | C |
| 05/24/16 | WCM Focused Int'l Growth Inst'l | P | | C |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELLINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>DATE</u> | <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|-------------|--|----------------------------|--------------------------------|------------------------------|
| 05/25/16 | Allianz NFJ Int'l Value Fund | S | X | D |
| 11/02/16 | Yum China Hldgs, Inc. (Rec'd in Spin-off from Yum Brands, Inc.) | E | | under \$300 |
| 12/05/16 | Brandes Int'l Small Cap Equity | P | | A |
| 12/06/16 | Brandes Int'l Small Cap Equity | P | | D |
| 12/06/16 | Pimco Income Fund - Inst'l | P | | C |
| 12/07/16 | Franklin Int'l Small Cap Growth ADV | S | X | E |
| 12/08/16 | Vanguard Intermediate Tax Exempt Admiral | P | | D |
| 12/09/16 | WCM Focused Int'l Growth Inst'l | P | | A |
| 12/09/16 | Highland Long/Short Equity Fund | S | X | C |
| 12/09/16 | Merger CL I | S | | D |
| 12/19/16 | Matthews Pacific Tiger Fund Inst'l | P | | D |
| 12/22/16 | Vanguard Global EX-U.S. Real Estate ETP | P | | D |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>DATE</u> | <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|-------------|--|----------------------------|--------------------------------|------------------------------|
| 12/22/16 | Vanguard Emerging MKTS Gov't Bond ETF | P | | D |
| 12/22/16 | Templeton Global Bond Fund Advisor CL | S(partial) | | E |
| 12/27/16 | Vanguard Intermediate Tax Exempt Admiral | P | | D |
| 12/28/16 | Aspen Managed Futures Strategy Fund I | S | | C |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

**Identity : H.S. Robinson Trust U/A dated
12/16/82, Fiduciary Trust Company, Boston, MA
Trustee (Spouse Discretionary Income Beneficiary**

| <u>DATE</u> | <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|-------------|---|--------------------------------|------------------------------------|----------------------------------|
| 01/19/16 | Ishares MSCI Eurozone ETF | P | | D |
| 01/19/16 | Chubb Corp. | E | X | A |
| 01/19/16 | Chubb Ltd. (Rec'd in Exchange/Merger with Chubb Corp.) | E | | A |
| 04/04/16 | Cameron Int'l Corp. | E | X | B |
| 04/04/16 | Schlumberger LTD (Rec'd in Exchange/Merger with Cameron) | E | | A |
| 05/24/16 | Brandes Int'l Small Cap Equity | P | | C |
| 05/24/16 | WCM Focused Int'l Growth | P | | C |
| 05/25/16 | Allianz NEJ Int'l | S | X | D |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>DATE</u> | <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|-------------|---|----------------------------|--------------------------------|------------------------------|
| 07/01/16 | All Assets from this trust re-organized into H.S. Robinson GST EX TR - Virginia | | | |
| | J. P. Morgan Chase & Co. | Delivered | | D |
| | Altria Group, Inc. | Delivered | | E |
| | Abbott Labs | Delivered | | D |
| | Johnson & Johnson | Delivered | | D |
| | General Electric Co. | Delivered | | E |
| | Exxon Mobil Corp. | Delivered | | E |
| | Oakmark Int'l Fund CL 'T | Delivered | | D |
| | Templeton Global Bond Fund | Delivered | | G |
| | Novartis AG ADR | Delivered | | D |
| | Ishares Barclays 1-3 yr Credit Bond | Delivered | | F |
| | Philip Morris Int'l | Delivered | | E |
| | Schlumberger LTD | Delivered | | D |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|--|----------------------------|--------------------------------|------------------------------|
| SPDR Golf Trust | Delivered | | E |
| Dreyfus Gov't Cash Inst'l SHS | Delivered | | H |
| Vanguard Short-Term Tax Exempt Fund | Delivered | | E |
| Express Scripts Holding Co. | Delivered | | E |
| Apple, Inc. | Delivered | | E |
| Alphabet, Inc. Class A | Delivered | | E |
| Wisdomtree Global EX US REIT | Delivered | | E |
| U.S. Bancorp. | Delivered | | D |
| Analog Devices | Delivered | | D |
| Exelon Corp. | Delivered | | C |
| Frontier Netals Small Cap Value Inst'l | Delivered | | C |
| Walthausen Small Cap. Value Fund | Delivered | | D |
| Franklin Int'l Small Cap Growth ADV | Delivered | | F |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|---------------------------------------|----------------------------|--------------------------------|------------------------------|
| Tortoise Energy Infrastructure Corp. | Delivered | | E |
| Union Pacific Corp. | Delivered | | D |
| Aspen Managed Futures Strategy Fund I | Delivered | | E |
| Matthews Asian Growth & Income | Delivered | | C |
| Matthews Pacific Tiger Fund Instl | Delivered | | E |
| Vanguard REIT Index ETF | Delivered | | F |
| Highland Long/Short Equity Fund | Delivered | | E |
| Tortoise MLP Fund | Delivered | | D |
| CVS/Caremark, Inc. | Delivered | | D |
| Abbvie, Inc. | Delivered | | D |
| Diamond Hill Large Cap Fund CL | Delivered | | D |
| LATTEF Fund CL I | Delivered | | D |
| Mt. Lucas US Focused Equity Fund I | Delivered | | D |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|----------------------------------|----------------------------|--------------------------------|------------------------------|
| Vulcan Value Partners Small Cap | Delivered | | E |
| Buffalo Int'l | Delivered | | F |
| Alphabet, Inc. CL "C" | Delivered | | D |
| Acadian Emerging MKTS Part Int'l | Delivered | | E |
| Driehaus Emerging Mkts | Delivered | | E |
| Comcast Corp. | Delivered | | D |
| Pepsico, Inc. | Delivered | | C |
| Valero Energy Corp. | Delivered | | D |
| Voya Financial, Inc. | Delivered | | C |
| Symantec Corp. | Delivered | | D |
| Lyondellbasell Ind Cl"A" | Delivered | | D |
| DTE Energy Co. | Delivered | | D |
| Ishares MSCI Eurozone ETF | Delivered | | F |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. PRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|---------------------------------|----------------------------|--------------------------------|------------------------------|
| Chubb LTD | Delivered | | D |
| Brandes Int'l Small Cap Equity | Delivered | | E |
| WCM Focused Int'l Growth Inst'l | Delivered | | E |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

**Identity : H.S. Robinson GST EX TR - Virginia
Fiduciary Trust Company, Boston, MA
Trustee (Spouse Discretionary Income Beneficiary**

| <u>DATE</u> | <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|-------------|---|----------------------------|--------------------------------|------------------------------|
| 07/01/16 | The following Assets transferred from H.S. Robinson U/A dated 12/16/82 | | | |
| | J. P. Morgan Chase & Co. | Received | | D |
| | Altria Group, Inc. | Received | | E |
| | Abbott Labs | Received | | D |
| | Johnson & Johnson | Received | | D |
| | General Electric Co. | Received | | E |
| | Exxon Mobil Corp. | Received | | E |
| | Oakmark Int'l Fund CL 'T' | Received | | D |
| | Templeton Global Bond Fund | Received | | G |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|-------------------------------------|--------------------------------|------------------------------------|----------------------------------|
| Novartis AG ADR | Received | | D |
| Ishares Barclays 1-3 yr Credit Bond | Received | | F |
| Philip Morris Int'l | Received | | E |
| Schlumberger LTD | Received | | D |
| SPDR Gold Trust | Received | | E |
| Dreyfus Gov't Cash Mgmt Inst'l SHS | Received | | H |
| Vanguard Short-Term Tax Exempt Fund | Received | | E |
| Express Scripts Holding Co. | Received | | E |
| Apple, Inc. | Received | | E |
| Alphabet, Inc. Class A | Received | | E |
| Wisdomtree Global EX US RETT | Received | | E |
| U.S. Bancorp. | Received | | D |
| Analog Devices | Received | | D |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|--|--------------------------------|------------------------------------|----------------------------------|
| Exelon Corp. | Received | | C |
| Frontier Natols Small Cap Value Inst'l | Received | | C |
| Walthausen Small Cap. Value Fund | Received | | D |
| Franklin Int'l Small Cap Growth ADV | Received | | F |
| Tortoise Energy Infrastructure Corp. | Received | | E |
| Union Pacific Corp. | Received | | D |
| Aspen Managed Futures Strategy Fund I | Received | | E |
| Matthews Asian Growth & Income | Received | | C |
| Matthews Pacific Tiger Fund Inst'l | Received | | E |
| Vanguard REIT Index ETF | Received | | F |
| Highland Long/Short Equity Fund | Received | | E |
| Tortoise MLP Fund | Received | | D |
| CVS/Caremark, Inc. | Received | | D |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|------------------------------------|----------------------------|--------------------------------|------------------------------|
| Abbvie, Inc. | Received | | D |
| Diamond Hill Large Cap Fund CL | Received | | D |
| LATEEF Fund CL I | Received | | D |
| Mt. Lucas US Focused Equity Fund I | Received | | D |
| Vulcan Value Partners Small Cap | Received | | E |
| Buffalo Int'l | Received | | F |
| Alphabet, Inc. CL "C" | Received | | D |
| Acadian Emerging MKTS Part Int'l | Received | | E |
| Driehaus Emerging Mkts | Received | | E |
| Comcast Corp. | Received | | D |
| Pepsico, Inc. | Received | | C |
| Valero Energy Corp. | Received | | D |
| Voya Financial, Inc. | Received | | C |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHOYSEN

CONTINUATION SHEET - SCHEDULE B

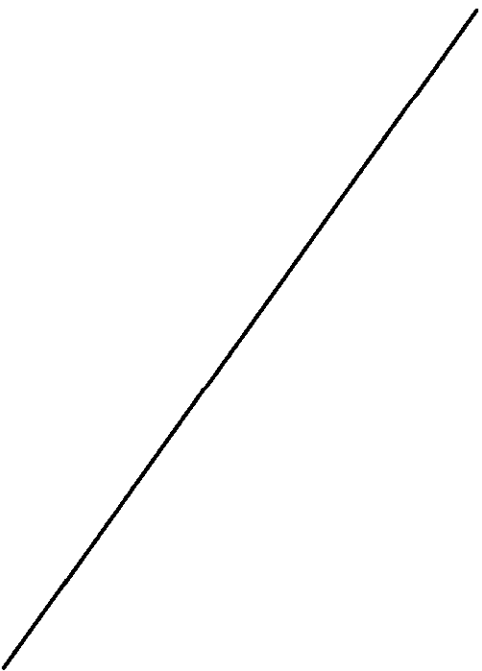
| <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|---|----------------------------|--------------------------------|------------------------------|
| Symantec Corp. | Received | | D |
| Lyondellbasell Ind Cl"A" | Received | | D |
| DTE Energy Co. | Received | | D |
| Ishares MSCI Eurozone ETF | Received | | F |
| Chubb LTD | Received | | D |
| Brandes Int'l Small Cap Equity | Received | | E |
| WCM Focused Int'l Growth Inst'l | Received | | E |
| 08/16/16 Vanguard S&P 500 ETF | P | | B |
| 08/16/16 AQR Managed Futures Strategy | P | | D |
| 08/16/16 American Beacon AHL Managed Futures Strategy | P | | C |
| 08/16/16 Pimco Income Fund - Inst'l | P | | C |
| 08/16/16 Ishares MSCI Eurozone ETF | S(partial) | X | C |
| 08/16/16 Franklin Int'l Small Cap Growth ADR | S(partial) | X | A |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| | <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|----------|------------------------------------|----------------------------|--------------------------------|------------------------------|
| 08/17/16 | Mt. Lucas US Focused Equity Fund I | S | | B |
| 08/17/16 | Acadian Emerging MKTS | S | | C |



CONTINUED ON PAGE 65

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

**Identity : H.S. Robinson GST EX TR - Virginia
Fiduciary Trust Company, Boston, MA
Trustee (Spouse Discretionary Income Beneficiary**

| <u>DATE</u> | <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|-------------|---|--------------------------------|------------------------------------|----------------------------------|
| 08/26/16 | 63.975% of this GST EX TR - Virginia transferred to H.S. Robinson GST Non-EX TR - Virginia | | | |
| | J. P. Morgan Chase & Co. | Delivered | | B |
| | Altria Group, Inc. | Delivered | | C |
| | Abbott Labs | Delivered | | B |
| | Johnson & Johnson | Delivered | | A |
| | General Electric Co. | Delivered | | B |
| | Exxon Mobil Corp. | Delivered | | B |
| | Oakmark Int'l Fund CL 'T' | Delivered | | B |
| | Templeton Global Bond Fund | Delivered | | D |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|-------------------------------------|--------------------------------|------------------------------------|----------------------------------|
| Novartis AG ADR | Delivered | | B |
| Ishares Barclays 1-3 yr Credit Bond | Delivered | | D |
| Philip Morris Int'l | Delivered | | B |
| Schlumberger LTD | Delivered | | B |
| SPDR Gold Trust | Delivered | | B |
| Dreyfus Gov't Cash Mgmt Inst'l SHS | Delivered | | E |
| Vanguard Short-Term Tax Exempt Fund | Delivered | | B |
| Express Scripts Holding Co. | Delivered | | B |
| Apple, Inc. | Delivered | | C |
| Alphabet, Inc. Class A | Delivered | | B |
| Wisdomtree Global EX US RETT | Delivered | | B |
| U.S. Bancorp. | Delivered | | A |
| Analog Devices | Delivered | | A |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|--|--------------------------------|------------------------------------|----------------------------------|
| Exelon Corp. | Delivered | | A |
| Frontier Netols Small Cap Value Inst'l | Delivered | | A |
| Walthausen Small Cap. Value Fund | Delivered | | B |
| Franklin Int'l Small Cap Growth ADV | Delivered | | C |
| Tortoise Energy Infrastructure Corp. | Delivered | | C |
| Union Pacific Corp. | Delivered | | B |
| Aspen Managed Futures Strategy Fund I | Delivered | | B |
| Matthews Asian Growth & Income | Delivered | | A |
| Matthews Pacific Tiger Fund Inst'l | Delivered | | C |
| Vanguard REIT Index ETF | Delivered | | C |
| Highland Long/Short Equity Fund | Delivered | | C |
| Tortoise MLP Fund | Delivered | | B |
| CVS/Caremark, Inc. | Delivered | | A |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|---------------------------------|----------------------------|--------------------------------|------------------------------|
| Abbvie, Inc. | Delivered | | B |
| Diamond Hill Large Cap Fund CL | Delivered | | B |
| LATTEEF Fund CL I | Delivered | | B |
| Vulcan Value Partners Small Cap | Delivered | | B |
| Buffalo Int'l | Delivered | | C |
| Alphabet, Inc. CL "C" | Delivered | | B |
| Driehaus Emerging Mkts | Delivered | | C |
| Comcast Corp. | Delivered | | B |
| Pepsico, Inc. | Delivered | | B |
| Valero Energy Corp. | Delivered | | B |
| Voya Financial, Inc. | Delivered | | A |
| Symantec Corp. | Delivered | | B |
| Lyondellbasell Ind Cl"A" | Delivered | | B |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHOUSEN

CONTINUATION SHEET - SCHEDULE B

| <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|---|----------------------------|--------------------------------|------------------------------|
| DTE Energy Co. | Delivered | | B |
| Ishares MSCI Eurozone ETF | Delivered | | B |
| Chubb LTD | Delivered | | A |
| Brandes Int'l Small Cap Equity | Delivered | | B |
| WCM Focused Int'l Growth Inst'l | Delivered | | B |
| Pimco Income Fund Inst'l | Delivered | | B |
| Vanguard S&P 500 ETF | Delivered | | B |
| American Beacon AHL Managed Futures Strategy | Delivered | | B |
| AQR Managed Futures Strategy | Delivered | | C |
| 12/05/16 Vanguard MSCI Emerging Markets Index ETF | P | | A |
| 12/05/16 Ishares Core S&P 500 ETF | P | | B |
| 12/05/16 LATEEF Fund CL I | S | | B |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| | <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|----------|--|--------------------------------|------------------------------------|----------------------------------|
| 12/05/16 | Matthews Asian Growth & Equity Fd | S | | A |
| 12/08/16 | Pimco Income Fund - Inst'l | P | | B |
| 12/08/16 | Vanguard Intermediate Tax Exempt Admiral | P | | A |
| 12/08/16 | Highland Long/Short Equity Fund | S | X | B |
| 12/20/16 | Franklin Int'l Small Cap Growth ADV | P | | A |
| 12/22/16 | Templeton Global Bond Fund | S(partial) | | C |
| 12/22/16 | Vanguard Intermediate Tax Exempt Admiral | P | | C |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FREILINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

**Identity : H.S. Robinson GST NON - EX TR - Virginia
Fiduciary Trust Company, Boston, MA
Trustee (Spouse Discretionary income Beneficiary**

| <u>DATE</u> | <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|-------------|---|--------------------------------|------------------------------------|----------------------------------|
| 08/26/16 | 63.975% of this GST EX TR - Virginia transferred to H.S. Robinson GST Non-EX TR - Virginia | | | |
| | J. P. Morgan Chase & Co. | Received | | B |
| | Altria Group, Inc. | Received | | C |
| | Abbott Labs | Received | | B |
| | Johnson & Johnson | Received | | A |
| | General Electric Co. | Received | | B |
| | Exxon Mobil Corp. | Received | | B |
| | Oakmark Int'l Fund CL 'T' | Received | | B |
| | Templeton Global Bond Fund | Received | | D |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>DATE</u> | <u>ASSET</u> | TYPE OF <u>TRANSACTION</u> | CAPITAL GAIN <u>OVER \$200</u> | AMOUNT OF <u>TRANSACTION</u> |
|-------------|-------------------------------------|-------------------------------|-----------------------------------|---------------------------------|
| | Novartis AG ADR | Received | | B |
| | Ishares Barclays 1-3 yr Credit Bond | Received | | D |
| | Phillip Morris Int'l | Received | | B |
| | Schlumberger LTD | Received | | B |
| | SPDR Gold Trust | Received | | B |
| | Dreyfus Gov't Cash Mgmt Inst'l SHS | Received | | E |
| | Vanguard Short-Term Tax Exempt Fund | Received | | B |
| | Express Scripts Holding Co. | Received | | B |
| | Apple, Inc. | Received | | C |
| | Alphabet, Inc. Class A | Received | | B |
| | Wisdomtree Global EX US RETT | Received | | B |
| | U.S. Bancorp. | Received | | A |
| | Analog Devices | Received | | A |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>DATE</u> | <u>ASSET</u> | TYPE OF <u>TRANSACTION</u> | CAPITAL GAIN <u>OVER \$200</u> | AMOUNT OF <u>TRANSACTION</u> |
|-------------|--|-------------------------------|-----------------------------------|---------------------------------|
| | Exelon Corp. | Received | | A |
| | Frontier Netols Small Cap Value Inst'l | Received | | A |
| | Walthausen Small Cap. Value Fund | Received | | B |
| | Franklin Int'l Small Cap Growth ADV | Received | | C |
| | Tortoise Energy Infrastructure Corp. | Received | | C |
| | Union Pacific Corp. | Received | | B |
| | Aspen Managed Futures Strategy Fund I | Received | | B |
| | Matthews Asian Growth & Income | Received | | A |
| | Matthews Pacific Tiger Fund Inst'l | Received | | C |
| | Vanguard REIT Index ETF | Received | | C |
| | Highland Long/Short Equity Fund | Received | | C |
| | Tortoise MLP Fund | Received | | B |
| | CVS/Caremark, Inc. | Received | | A |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>DATE</u> | <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|-------------|---------------------------------|----------------------------|--------------------------------|------------------------------|
| | Abbvie, Inc. | Received | | B |
| | Diamond Hill Large Cap Fund CL | Received | | B |
| | LATEEF Fund CL I | Received | | B |
| | Vulcan Value Partners Small Cap | Received | | B |
| | Buffalo Int'l | Received | | C |
| | Alphabet, Inc. CL "C" | Received | | B |
| | Driehaus Emerging Mkts | Received | | C |
| | Comcast Corp. | Received | | B |
| | Pepsico, Inc. | Received | | B |
| | Valero Energy Corp. | Received | | B |
| | Voya Financial, Inc. | Received | | A |
| | Symantec Corp. | Received | | B |
| | Lyondellbasell Ind Cl" A" | Received | | B |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHOYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>DATE</u> | <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|-------------|--|----------------------------|--------------------------------|------------------------------|
| | DTE Energy Co. | Received | | B |
| | Chubb LTD | Received | | A |
| | Ishares MSCI Eurozone ETF | Received | | B |
| | Brandes Int'l Small Cap Equity | Received | | B |
| | WCM Focused Int'l Growth Inst'l | Received | | B |
| | Pimco Income Fund Inst'l | Received | | B |
| | Vanguard S&P 500 ETF | Received | | B |
| | American Beacon AHL Managed Futures Strategy | Received | | B |
| | AQR Managed Futures Strategy | Received | | C |
| 12/05/16 | Vanguard MSCI Emerging Mkts Index ETF | P | | A |
| 12/05/16 | Ishares Core S&P 500 ETF | P | | B |
| 12/05/16 | LATITEEF Fund CL I | S | | B |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>DATE</u> | <u>ASSET</u> | TYPE OF <u>TRANSACTION</u> | CAPITAL GAIN <u>OVER \$200</u> | AMOUNT OF <u>TRANSACTION</u> |
|-------------|--|-------------------------------|-----------------------------------|---------------------------------|
| 12/05/16 | Matthews Asian Growth & Income | S | | A |
| 12/07/16 | Matthews Pacific Tiger Fund Inst'l | P | | A |
| 12/12/16 | Home Depot, Inc. | P | | B |
| 12/12/16 | Nike, Inc. Class B | P | | A |
| 12/12/16 | Prudential Financial, Inc. | P | | B |
| 12/12/16 | AT&T, Inc. | P | | B |
| 12/12/16 | Key Corp (new) | P | | B |
| 12/12/16 | JOHCM Int'l Select I | P | | C |
| 12/12/16 | Tortoise MLP & Pipeline - Inst'l | P | | B |
| 12/12/16 | Pimco Income Fund - Inst'l | P | | B |
| 12/12/16 | Vanguard Intermediate Tax Exempt Admiral | P | | D |
| 12/12/16 | Altria Group, Inc. | S(partial) | X | B |
| 12/12/16 | Apple, Inc. | S(partial) | X | B |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELLINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

| <u>DATE</u> | <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|-------------|--|----------------------------|--------------------------------|------------------------------|
| 12/12/16 | Novartis AG ADR | S | X | B |
| 12/12/16 | Voya Financial, Inc. | S | | A |
| 12/12/16 | Lyondellbasell Ind CL A | S | X | B |
| 12/12/16 | Exelon Corp. | S | | A |
| 12/12/16 | Tortoise MLP Fund | S | | B |
| 12/12/16 | Valero Energy Corp. | S | | B |
| 12/12/16 | Highland Long/Short Equity Fund | S | | C |
| 12/12/16 | Aspen Managed Futures Strategy Fund | S | | B |
| 12/12/16 | Frontier Netols Small Cap Value Inst'l | S | | A |
| 12/15/16 | Diamond Hill Large Cap Fund CL | P | | A |
| 12/15/16 | Franklin Int'l Small Cap Growth ADV | P | | A |
| 12/19/16 | Ishares Core S&P 500 ETF | P | | B |
| 12/19/16 | Driehaus Emerging MKTS | S(partial) | | B |

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FREILINGHUYSEN

| <u>DATE</u> | <u>ASSET</u> | <u>TYPE OF TRANSACTION</u> | <u>CAPITAL GAIN OVER \$200</u> | <u>AMOUNT OF TRANSACTION</u> |
|-------------|---|--------------------------------|------------------------------------|----------------------------------|
| 12/22/16 | Powershares Senior Loan Portfolio ETF | P | | B |
| 12/22/16 | Vanguard Global EX-U.S. Real Estate ETF | P | | B |
| 12/22/16 | Vanguard Emerging Mkts Gov't Bond ETF | P | | B |
| 12/22/16 | Templeton Global Bond Fund | S(partial) | | D |

SCHEDULE D - LIABILITIES

Name: Rodney P. Frelinghuysen

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Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

| SP, DC, JT | Creditor | Date Liability Incurred MO/YR | Type of Liability | Amount of Liability | | | | | | | | | | |
|---------------|---|--|--|-----------------------|-----------------------|------------------------|-------------------------|-------------------------|---------------------------|-----------------------------|------------------------------|-------------------------------|-------------------|--|
| | | | | A | B | C | D | E | F | G | H | I | J | K |
| | | | | \$10,001- \$15,000 | \$15,001- \$50,000 | \$50,001- \$100,000 | \$100,001- \$250,000 | \$250,001- \$500,000 | \$500,001- \$1,000,000 | \$1,000,001- \$5,000,000 | \$5,000,001- \$25,000,000 | \$25,000,001- \$50,000,000 | Over \$50,000,000 | Over \$1,000,000* (Spouse/DC Liability) |
| | Example First Bank of Wilmington, DE | 5/98 | Mortgage on Rental Property, Dover, DE | | | | X | | | | | | | |
| | N O N E | | | | | | | | | | | | | |
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SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

| Position | Name of Organization |
|----------|----------------------|
| N O N E | |
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SCHEDULE F – AGREEMENTS

Name: Rodney P. Frelinghuysen

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date | Parties to Agreement | Terms of Agreement |
|------|----------------------|--------------------|
| | N O N E | |
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SCHEDULE G – GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$375 received by you, your spouse, or your dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$150 or less need not be added towards the \$375 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

| Source | | Description | Value |
|-----------------|---------------------------------|--|-------|
| <i>Example:</i> | Mr. Joseph Smith, Arlington, VA | Silver Platter (determination of personal friendship received from the Ethics Committee) | \$400 |
| N O N E | | | |
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|-------------------------------|-----------------------------|
| Name: Rodney P. Frelinghuysen | Page <u>82</u> of <u>83</u> |
|-------------------------------|-----------------------------|

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Use additional sheets if more space is required.

SCHEDULE I – PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: Rodney P. Frelinghuysen

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List the source, activity (*i.e.*, speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

[illegible]