



Filing ID #10029552

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Michael Fq San Nicolas  
**Status:** Congressional Candidate  
**State/District:** GU00

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2018  
**Filing Date:** 10/9/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
3M Company (MMM) [ST]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
401a KKSNN ⇒ Retirement Accounts [MF]	SP	\$100,001 - \$250,000	None		
401a KKSNN ⇒ Vanguard Inflation-Protected Securities [MF]	SP	\$15,001 - \$50,000	None		
401a KKSNN ⇒ Wells Fargo Stable Return Fund [BA]	SP	\$50,001 - \$100,000	None		
401a MSNN ⇒ Retirement Accounts [MF]		\$50,001 - \$100,000	None		
401a MSNN ⇒ Vanguard Inflation Protected Securities [MF]		\$15,001 - \$50,000	None		
401a MSNN ⇒ Wells Fargo Stable Return Fund [BA]		\$15,001 - \$50,000	None		
403b (2) KKSNN ⇒		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Baron Growth Retail [MF]					
403b (2) KKSNN ⇒ Matthews China Investor [MF]		\$1,001 - \$15,000	None		
403b (2) KKSNN ⇒ Vanguard MidCap Index [MF]		\$1,001 - \$15,000	None		
403b (2) KKSNN ⇒ Vanguard Total International Stock Index [MF]		\$1,001 - \$15,000	None		
403b (2) KKSNN ⇒ Voya SmallCap Opportunities [MF]		\$1,001 - \$15,000	None		
403b KKSNN ⇒ Oppenheimer Gold and Special Minerals Fund A [MF]	SP	\$15,001 - \$50,000	None		
403b KKSNN ⇒ Oppenheimer Government Money Market Fund A [BA]	SP	\$1,001 - \$15,000	None		
403b KKSNN ⇒ Oppenheimer Senior Floating Rate Fund A [MF]	SP	\$1,001 - \$15,000	None		
403b KKSNN ⇒ Retirement Accounts [MF]	SP	\$50,001 - \$100,000	None		
457g KKSNN ⇒ DFA Emerging Markets Core Equity [MF]	SP	\$1,001 - \$15,000	None		
457g KKSNN ⇒ Principal Global Real Estate Sect Inst. [MF]	SP	\$1 - \$1,000	None		
457g KKSNN ⇒ Retirement Accounts [MF]	SP	\$15,001 - \$50,000	None		
457g KKSNN ⇒ Touchstone International Small Cap [MF]	SP	\$15,001 - \$50,000	None		
457g KKSNN ⇒ Wells Fargo Stable Return Fund [BA]	SP	\$1,001 - \$15,000	None		
BankGuam Holding Company Common Stock (BKGMF) [ST]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Campaign Loan Receivables [DO]		\$1,001 - \$15,000	None		
Cash [OT]		\$1,001 - \$15,000	None		
DESCRIPTION: Cash on hand					
Chalan Pago SFD [RP]	JT	\$100,001 - \$250,000	Rent	\$2,501 - \$5,000	\$5,001 - \$15,000
LOCATION: Chalan Pago, GU, US					
Dededo SFD [RP]	JT	\$250,001 - \$500,000	None		
LOCATION: Dededo, GU, US					
General Electric Company (GE) [ST]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
General Electric Company (GE) [ST]	JT	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	None
DESCRIPTION: Short Sale of General Electric Stock against 80k Cash Balance in Ameritrade Account					
Kalebs 529 [5P]	DC	\$1,001 - \$15,000	None		
LOCATION: GU					
Katelyn 529 [5P]	DC	\$15,001 - \$50,000	None		
LOCATION: GU					
Latte Heights SFD [RP]	JT	\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	\$15,001 - \$50,000
LOCATION: Mangilao, GU, US					
Retirement Accounts [MF]	SP	\$15,001 - \$50,000	None		
Seadrill Partners LLC Common Units Representing Limited Liability Company Interests (SDLP) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$5,001 - \$15,000
Talofofo SFD [RP]	JT	\$100,001 - \$250,000	Rent	\$2,501 - \$5,000	\$5,001 - \$15,000
LOCATION: Talofofo, GU, US					
Various Depository Accounts [BA]	JT	\$50,001 - \$100,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Walmart Inc. (WMT) [ST]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Government of Guam, Guam Department of Education	Spouse Salary	\$24,511.83	\$63,730.78
Government of Guam, Guam Legislature	Salary Self	\$21,153.84	\$66,538.45

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Bank of Guam	October 2010	Dededo Home Mortgage	\$100,001 - \$250,000
JT	Bank of Guam	February 2011	Chalan Pago SFD	\$100,001 - \$250,000
	Bank of Guam	January 2018	Signature Loan	\$15,001 - \$50,000
	Chase	Revolving	Revolving	\$15,001 - \$50,000
	PenFed	December 2016	Auto Loan	\$10,000 - \$15,000
JT	Bank of Hawaii	October 2016	Dededo HELOC	\$15,001 - \$50,000
JT	Bank of Hawaii	October 2016	Latte Heights HELOC	\$50,001 - \$100,000
JT	Bank of Hawaii	October 2016	Talofofo HELOC	\$10,000 - \$15,000
SP	Community First Federal Credit Union	March 2016	Signature Loan	\$15,001 - \$50,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- 401a KKS (Owner: SP)  
DESCRIPTION: Kathryn K. San Nicolas Government of Guam Retirement Fund 401a
- 401a MSN  
DESCRIPTION: Michael F.Q. San Nicolas Government of Guam Retirement Fund Account
- 403b (2) KKS  
DESCRIPTION: Kathryn K. San Nicolas ASC Trust Corporation 403b
- 403b KKS (Owner: SP)  
DESCRIPTION: Kathryn K. San Nicolas Oppenheimer Funds 403b
- 457g KKS (Owner: SP)  
DESCRIPTION: Kathryn K. San Nicolas Government of Guam Retirement Fund Deferred Compensation Plan 457g

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Michael Fq San Nicolas , 10/9/2019