

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

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HAND DELIVERED

Wayne Edward Whitfield

(Full Name)

(Daytime Telephone)

2013 JUN 11 PM 4:39
(Office Use Only)

Filer Status
☒ Member of the U.S. House of Representatives
State: KY District: 01

☐ Officer Or Employee
Employing Office:

Report Type
☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
CSX Corporation	Pension Benefit	\$43,000
The Humane Society of the United States	Spouse Salary	N/A

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

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List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
American Council for Capital Formation	Speech	July 10, 2012	\$2,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>		<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
	Abbott Lab	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	AK Bank	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
SP	Anglogold Ashanti	\$1,001 - \$15,000	None	NONE	P
	AT&T	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
	Bank of America	\$1,001 - \$15,000	Dividends	\$1 - \$200	
	California St 4% 16	\$15,001 - \$50,000	Interest	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

SCHEDULE III - ASSETS AND "UNEARNED" INCOME					Name Wayne Edward Whitfield		Page 5 of 12
	California St 4.5% 18	\$15,001 - \$50,000	Interest	\$201 - \$1,000			
	Campbell and Kenton County Bond	\$1,001 - \$15,000	Interest	\$201 - \$1,000			
	Chevron Stock	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000			
	Coca-Cola	\$15,001 - \$50,000	Dividends	\$201 - \$1,000			
	Congressional Credit Union	\$1,001 - \$15,000	Interest	\$1 - \$200			
	CSX Corp. Bond	\$1,001 - \$15,000	Interest	\$1 - \$200			
SP	Dominion Warrior Royalty Trust	\$1,001 - \$15,000	None	NONE	P		
	Exxon	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000			
	Fidelity Blue Chip Fund	\$15,001 - \$50,000	Dividends/Interest	\$1,001 - \$2,500			
	Hampton Roads Revenue Bond	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500			
	Houston Tax Revenue Bond	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500			
SP	Incyte Corp	\$15,001 - \$50,000	None	NONE	P		
	Incyte Corp	\$15,001 - \$50,000	None	NONE			
	Intel	\$15,001 - \$50,000	Dividends	\$201 - \$1,000			

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	JP Morgan	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	
	Louisville and Jefferson County Bond	\$15,001 - \$50,000	Interest	\$201 - \$1,000	
	Mairs and Powers	\$15,001 - \$50,000	CAPITAL GAINS	\$201 - \$1,000	
SP	Manulife Financial	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	McDonalds	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Medtronic	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Metropolitan Water 4% 12	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
	Newmont Mining Corp	\$1,001 - \$15,000	DIVIDENDS	NONE	
SP	Newmont Mining Corp	\$1,001 - \$15,000	None	NONE	P
	Northwestern Annuity	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Old National Bank Building 1027 Indianapolis Road Green Castle, IN 46135	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
	PepsiCo	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Sacramento County Municipal Bond	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
SP	San Juan Basin Royalty Trust	\$15,001 - \$50,000	None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Scheering-Plough	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Schwab Account Cash	\$250,001 - \$500,000	INTEREST	\$2,501 - \$5,000	
SPDR Gold TR	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Tidewater	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Travelers Corp	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
United Bank	\$50,001 - \$100,000	INTEREST	\$1 - \$200	
United Bank DC	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
Vacant Lot-Greenbrier-Sporting Club, Travelers Hill, White Sulfr Springs, WV, Lot 26	\$100,001 - \$250,000	None	NONE	

Vanguard Prime Money Market Fund	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
Vanguard S&P 500 Fund	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
Vanguard Short Term Tax	None	INTEREST/CAPITAL GAINS	\$1,001 - \$2,500	S
Vanguard Tax Exempt	None	None	NONE	S
Verizon	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Virginia ST 5% Bond	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

SCHEDULE III - ASSETS AND "UNEARNED" INCOME			Name Wayne Edward Whitfield		Page 8 of 12
	Virginia ST Res 5.125 Bond	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	Wal-Mart	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Walt Disney Co	None	DIVIDENDS	\$201 - \$1,000	S
	Washington First Bank	\$15,001 - \$50,000	None	NONE	
SP	Washington First Bank	\$15,001 - \$50,000	None	NONE	P
	Wells Fargo	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
SP	Yamana Gold Inc	\$1,001 - \$15,000	None	NONE	P

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Anglogold Ashanti	P	N/A	05-29-12	\$1,001 - \$15,000
SP	Dominion Warrior Royalty Trust	P	N/A	05-29-12	\$1,001 - \$15,000
SP	Dominion Warrior Royalty Trust	P	N/A	05-02-12	\$1,001 - \$15,000
SP	Dominion Warrior Royalty Trust	P	N/A	05-02-12	\$1,001 - \$15,000
SP	Incyte Corp	P	N/A	02-06-12	\$15,001 - \$50,000
SP	Newmont Mining Corp	P	N/A	05-02-12	\$1,001 - \$15,000
SP	San Juan Basin Royalty Trust	P	N/A	06-05-12	\$1,001 - \$15,000
SP	San Juan Basin Royalty Trust	P	N/A	05-02-12	\$1,001 - \$15,000
	Vanguard Short Term Tax	S	Yes	09-06-12	\$250,001 - \$500,000
	Vanguard Tax Exempt	S	No	09-06-12	\$50,001 - \$100,000
	Walt Disney Co	S	No	09-07-12	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Washington First Bank	P	N/A	02-29-12	\$15,001 - \$50,000
SP	Yamana Gold Inc	P	N/A	05-29-12	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	BB&T	January 2003	Mortgage--Lot 26 Greenbrier, White Sulphur Springs, WV	\$100,001 - \$250,000
	Columbo Bank	February 2011	Mortgage--Real Estate in Washington, DC	\$100,001 - \$250,000

SCHEDULE VIII - POSITIONS

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Governors	Wesley Theological Seminary