



Filing ID #10016661

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Daniel Lipinski
Status: Member
State/District: IL03

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 05/15/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
361 Managed Futures Strat	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: S					
401K AETNA INC, COMMON STOCK	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
401K FIDELITY CONTRAFUND		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
401K FIDELITY PURITAN FUND		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
401K FIXED INCOME BALANCED RISK Fd	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: P (TRANSFERRED FROM 401K US BOND INDEX FUND)					
401K Intl Developed Mkt Index Fund	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
401K MARSH & MCLENNAN STOCK FUND	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
401K S&P 500 Index Fund	SP	\$100,001 -	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$250,000			
401K S&P Midcap Index Fund	SP	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
401K Stable Value Option	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
401K Target Retirement 2035 Fund	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: P					
401K US Bond Index fund	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred/S					
Aetna Inc. (AET)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
AQR MANAGED FUTURES-INST'L	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: P					
BBH CORE SELECT	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: P					
CALDWELL & ORKIN MARKET OPP FUND	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: S					
COHEN & STEERS PREF SEC INC.-1	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: S					
Columbia Balanced Fund-R5	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: S					
Delaware Healthcare Instl	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: P S (partial)					
E-TRADE BANK DEPOSIT ACCOUNT (CASH)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
FIRST EAGLE GLOBAL	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
FNBC Bank & Trust	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Forward Credit Analysis Long/Short	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: S					
Guggenheim Eq. Wgt. Cons. Staple	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: P					
Guggenheim Floating Rate Strategy	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Guggenheim Macro Opp.	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
IRA ANGEL OAK MULTI STRAT INCOME	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: S					
IRA COHEN & STEERS PREF	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
IRA Columbia Balanced Fund-R5	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: S(PARTIAL)					
IRA DOUBLE LINE TOTAL RETURN - INST'L	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: P					
IRA DOUBLELINE TOTAL RETURN - N	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA GUGGENHEIM EQUAL WEIGHT UTILITIES	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: P					
IRA GUGGENHEIM MACRO OPP	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA Guggenheim Floating Rate Strategy	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: S(PARTIAL)					
IRA IRONCLAD MANAGED RISK	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: S					
IRA Loomis Sayles Sen Floating Rate Y	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: P, S(PARTIAL)					
IRA POWERSHARES S&P500 LO.VOL	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: P					
IRA PRINCIPAL REAL ESTATE SECURITIES	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: P					
IRA TD BANK USA	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA VANGUARD 500 INDEX FUND		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRONCLAD MANAGED RISK	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: S					
J. Hancock Disc. Val. Mid Cap	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: S					
JOHCM INTERNATIONAL SELECT	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: P					
LEUTHOLD CORE	SP	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: S(PARTIAL)					
LOCORR LONG/SHORT COMM STRAT-I	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: P					
Loomis Sayels Sen. Floating Rate-Y	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Marsh & McLennan Companies, Inc. (MMC)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
MB FINANCIAL		\$250,001 - \$500,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
METLIFE TCA MONEY MARKET ACCOUNT	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MetLife, Inc. (MET)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
NATIXIS ASG MANAGED FUTURES-Y	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: P					
PIMCO INCOME - INST'L	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: P					
POWERSHARES S&P500 LO.VOL. FUND	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: P					
Principal Real Estate Securities	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: S(PARTIAL)					
RETIREMENT CREF BOND MARKET		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
RETIREMENT CREF EQUITY INDEX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
RETIREMENT CREF GROWTH		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
RETIREMENT CREF STOCK		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
RETIREMENT TIAA TRADITIONAL		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
T. Rowe Price Health Sciences	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: S					
T. Rowe Price Real Estate	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
TD BANK USA	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
VAN ECK VECTORS FALLEN ANGEL ETF	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: P					
VAN ECK VECTORS GOLD MINERS	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: P, S					
VANGUARD 500		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
VANGUARD TELECOMMUNICATIONS	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: P					
WELLS FARGO	SP	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000	<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
361 MANAGED FUTURES STRAT	SP	03/22/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
361 MANAGED FUTURES STRAT	SP	07/13/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
401K FIXED INCOME BALANCED	SP	07/29/2016	P	\$15,001 - \$50,000	
401K TARGET RETIREMENT 2035 FUND	SP	Bi-weekly	P	\$15,001 - \$50,000	
401K US BOND INDEX FUND	SP	07/29/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
AQR MANAGED FUTURES-INST'L	SP	03/23/2016	P	\$15,001 - \$50,000	
BBH CORE SELECT	SP	03/23/2016	P	\$1,001 - \$15,000	
CALDWELL & ORKIN MARKET OPP FUND	SP	10/12/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
COHEN & STEERS PREF SEC INC-I	SP	03/22/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Columbia Balanced Fund-R5	SP	07/13/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DELAWARE HEALTHCARE INSTL	SP	03/23/2016	P	\$1,001 - \$15,000	
DELAWARE HEALTHCARE INSTL	SP	12/1/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
FORWARD CREDIT ANALYSIS LONG/SHORT	SP	07/13/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
GUGGENHEIM EQUAL WEIGHT CONST STAPLE	SP	03/23/2016	P	\$1,001 - \$15,000	
IRA ANGEL OAK MULTI STRAT INCOME	SP	07/11/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA COHEN & STEERS PREF	SP	03/22/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA Columbia Balanced Fund-R5	SP	07/13/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: S(PARTIAL)					
IRA DOUBLELINE TOTAL RETURN INST'L	SP	03/23/2016	P	\$1,001 - \$15,000	
IRA DOUBLELINE TOTAL RETURN INST'L	SP	07/14/2016	P	\$1,001 - \$15,000	
IRA GUGGENHEIM EQUAL WEIGHT UTILITIES	SP	03/23/2016	P	\$15,001 - \$50,000	
IRA GUGGENHEIM FLOATING RATE STRATEGY	SP	02/16/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA IRONCLAD MANAGED RISK	SP	03/22/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA Loomis Sayles Sen Floating Rate Y	SP	02/16/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA Loomis Sayles Sen Floating Rate Y	SP	07/14/2016	P	\$1,001 - \$15,000	
IRA POWERSHARES S&P500 LO.VOL.	SP	07/14/2016	P	\$1,001 - \$15,000	
IRA PRINCIPAL REAL ESTATE SECURITIES	SP	07/14/2016	P	\$1,001 - \$15,000	
IRONCLAD MANAGED RISK	SP	03/22/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
J.HANCOCK DISC. VAL. MIDCAP	SP	07/13/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
J.HANCOK DISC. VAL. MIDCAP	SP	02/16/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
JOHCM INTERNATIONAL SELECT-I	SP	03/23/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LEUTHOLD CORE	SP	07/13/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCORR LONG/SHORT COMM. STRAT.-I	SP	03/23/2016	P	\$15,001 - \$50,000	
NATIXIS ASG MANAGED FUTURES-Y	SP	03/23/2016	P	\$15,001 - \$50,000	
PIMCO INCOME INST'L	SP	07/14/2016	P	\$15,001 - \$50,000	
POWERSHARES S&P500 LO.VOL. FUND	SP	07/14/2016	P	\$15,001 - \$50,000	
PRINCIPAL REAL ESTATE SECURITIES	SP	02/16/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
T. ROWE PRICE HEALTH SCIENCE	SP	07/13/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
T. ROWE PRICE HEALTH SCIENCE	SP	11/30/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
VAN ECK VECTORS FALLEN ANGEL ETF	SP	07/14/2016	P	\$15,001 - \$50,000	
VAN ECK VECTORS GOLD MINERS	SP	07/14/2016	P	\$15,001 - \$50,000	
VAN ECK VECTORS GOLD MINERS	SP	12/12/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
VANGUARD TELECOMMUNICATIONS	SP	03/23/2016	P	\$1,001 - \$15,000	

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	South Division Credit Union	3/10/2012	mortgage	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Duke University - Department of Political Science	11/17/2016	11/20/2016	Washington, D.C. - Raleigh, N.C. - Chicago, IL	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Daniel Lipinski , 05/15/2017