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FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Niki Tsongas
Status: Member
State/District: MA03

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2017
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SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
455 SHORE ROAD [RP]		\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: CHATHAM, MA, US					
ABBY CAPITAL FUTURES STRATEGY FUND [MF]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
AQR STYLE PREMIA ALTERNATIE FUND [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
ASTON MONTAG & CALDWELL GROWTH FUND [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Bank of America [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
BLACKROCK SMALL CAP GROWTH EQUITY PORTFOLIO [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
CARILLON SCOUT MID CAP FUND [MF]		\$15,001 - \$50,000	Capital Gains,	\$1,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
			Dividends	\$2,500	
COHEN & STEERS PFD SECS & INCOME FUND [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
COLUMBIA ACORN INTERNATIONAL FUND [MF]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
COLUMBIA AMT-FREE MASSACHUSETTS INTERMEDIATE MUNI BOND FUND [MF]		\$100,001 - \$250,000	Capital Gains, Interest	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: Previously COLUMBIA MA INTER MUNI BOND FUND					
COLUMBIA DIVIDEND INCOME FUND [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
COLUMBIA HIGH YIELD MUNICIPAL FD [MF]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
COLUMBIA LARGE CAP GROWTH FUND [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
COLUMBIA MID CAP GROWTH FUND [MF]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
COLUMBIA PACIFIC/ASIA FUND [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
COLUMBIA SELECT LARGE CAP EQUITY FUND [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: FORMERLY COLUMBIA LARGE CAP EQUITY FUND					
CREDIT SUISSE COMMODITY RETURN STRATEGY FUND [MF]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DB X-TRACKERS MSCI EAFE HEDGED EQUITY FUND [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DOUBLELINE TOTAL RETURN BD FUND [MF]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
EATON ANCE FLTG RATE FUND [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Eversource Energy (ES) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
FALMOUTH MASS GO MUN PURP LN BDS [MF]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
FIDELITY ADISOR FLOATING RATE HIGH INCOME FUND [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
FIDELITY GOVERNMENT PORFOLIO MONEY MARKET [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Replaced BOFA account					
GOLDMAN SACHS STRATEGIC INCOME FUND [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
ISHARES CORE S&P 500 ETF [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
ISHARES EMERGING MKTS INFRA ETF [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
ISHARES INTERMEDIATE CR BD ETF [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
ISHARES INTL SELECT DIVIDEND EFT [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
JP MORGAN US LARGE CAP CORE PLUS FUND [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
LORD ABBETT INVESTMENT TR SHORT DURATION TAX FREE FUND [MF]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MFS INTERNATIONAL NEW DISCOVERY FUND [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
PRUDENTIAL SHORT-TERM CORPORATE BD FUND [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ROBECO BOSTON PARTNERS LONG/SHORT RES FUND [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
SPDR BARCLAYS SHORT TERM HIGH YIELD BD ETF [MF]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SPDR BLOOMBERG BARCLAYS SHORT TERM HIGH YIELD BD EFT [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SPDR DJ WILSHIRE INTL REAL ESTATE ETF [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
TEMPLETON GLOBAL BD FUND [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
UNDISCOVERED MANAGERS FDS BEHAVIORAL VALUE FD [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
VANGUARD FTSE DEVELOPED MARKETS EFT [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VANGUARD FTSE EMERGING MARKETS ETF [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
VANGUARD MID-CAP EFT [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
VANGUARD MID-CAP GROWTH INDEX FUND [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
VANGUARD REIT ETF [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
VANGUARD SHORT-TERM CORPORATE BOND ETF [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
VANGUARD SMALL-CAP ETF [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
VANGUARD TOTAL WORLD STOCK INDEX FUND [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO SPECIAL SMALL CAP VALUE FUND [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WISDOMTREE EMERGING MKTS SMALLCAP DIV FUND [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ABBY CAPITAL FUTURES STRATEGY FUND [MF]		07/27/2017	P	\$15,001 - \$50,000	
AQR MANAGED FUTURES STRATEGY FUND [MF]		05/10/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
AQR STYLE PREMIA ALTERNATIE FUND [MF]		02/17/2017	P	\$15,001 - \$50,000	
BLACKROCK SMALL CAP GROWTH EQUITY PORTFOLIO [MF]		11/28/2017	P	\$1,001 - \$15,000	
BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO [MF]		02/16/2017	P	\$15,001 - \$50,000	
CARILLON SCOUT MID CAP FUND [MF]		01/13/2017	P	\$15,001 - \$50,000	
CBRE CLARION LONG/SHORT FUND [MF]		01/13/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
COHEN & STEERS PFD SECS & INCOME FUND [MF]		01/13/2017	P	\$15,001 - \$50,000	
COLUMBIA ACORN INTERNATIONAL FUND [MF]		11/28/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
COLUMBIA DIVIDEND INCOME FUND [MF]		02/17/2017	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
COLUMBIA LARGE CAP GROWTH FUND [MF]		01/13/2017	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
CREDIT SUISSE COMMODITY RETURN STRATEGY FUND [MF]		02/17/2017	P	\$1,001 - \$15,000	
EATON ANCE FLTG RATE FUND [MF]		02/8/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
FIDELITY ADISOR FLOATING RATE HIGH INCOME FUND [MF]		05/10/2017	P	\$1,001 - \$15,000	
GOLDMAN SACHS STRATEGIC INCOME FUND [MF]		11/28/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
ISHARES GLOBAL TIMBER & FORESTRY [MF]		11/29/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
JP MORGAN US LARGE CAP CORE PLUS FUND [MF]		02/17/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MFS EMERGING MKTS DEBT FUND [MF]		01/13/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
PRUDENTIAL SHORT-TERM CORPORATE BD FUND [MF]		02/18/2017	P	\$1,001 - \$15,000	
SPDR BARCLAYS SHORT TERM HIGH YIELD BD ETF [MF]		02/10/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
SPDR BLOOMBERG BARCLAYS SHORT TERM HIGH YIELD BD EFT [MF]		01/18/2017	P	\$1,001 - \$15,000	
TEMPLETON GLOBAL BD FUND [MF]		01/13/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
VANGUARD REIT ETF [MF]		01/18/2017	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
WELLS FARGO SPECIAL SMALL CAP VALUE FUND [MF]		01/13/2017	P	\$15,001 - \$50,000	

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	ENTERPRISE BANK & TRUST	AUGUST 2001	LINE OF CREDIT ON 455 SHORE RD, CHATHAM	\$50,001 - \$100,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
	ENTERPRISE BANK & TRUST	JUNE 2012	MORTGAGE ON PERSONAL RESIDENCE	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
U.S. Association of FMC; Friedrich Naumann Foundation;ZEIT-S	05/30/2017	06/2/2017	Boston, MA - Berlin, Germany - Hamburg, Germany - Boston, MA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Niki Tsongas , 05/15/2018