

ELIJAH E. CUMMINGS  
7TH DISTRICT, MARYLAND

RANKING MEMBER, COMMITTEE ON  
OVERSIGHT AND GOVERNMENT REFORM

COMMITTEE ON  
TRANSPORTATION AND INFRASTRUCTURE

SUBCOMMITTEE ON COAST  
GUARD AND MARITIME TRANSPORTATION

SUBCOMMITTEE ON  
HIGHWAYS AND TRANSIT

JOINT ECONOMIC COMMITTEE

**Congress of the United States**  
**House of Representatives**  
**Washington, DC 20515**

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[www.house.gov/cummings](http://www.house.gov/cummings)

June 21, 2012

The Honorable Karen Haas  
Clerk, U.S. House of Representatives  
Legislative Resource Center  
Room B-106  
Cannon House Office Building  
Washington, D.C.

**HAND DELIVERED**

RE: Amendment to 2011 Financial Disclosure Filing

Dear Madam Clerk:

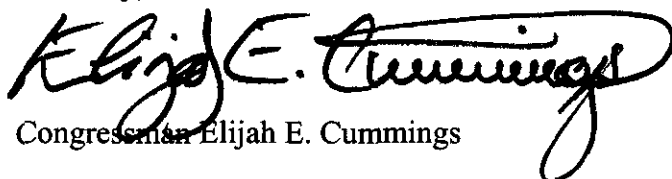
Attached to the original and two photocopies of this letter are amendments to Schedules III, IV and IX of my Calendar Year 2011 Financial Disclosure Statement.

The remainder of my original Financial Disclosure Statement is unchanged and incorporated herein.

Thank you for your assistance.

I certify that the statements I have made on the attached amendments to my Financial Disclosure Statement and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Sincerely,

  
Congressman Elijah E. Cummings

Encl.

LEGISLATIVE RESOURCE CENTER  
2012 JUN 26 AM 11:04  
U.S. HOUSE OF REPRESENTATIVES

## UNITED STATES HOUSE OF REPRESENTATIVES

FORM A

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**HAND DELIVERED**

## 2011 CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

For use by: Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

Elijah E. Cummings

(Full Name)

(410) 685-9199

(Daytime Telephone)

2012 JUN 26 AM 11:04

OFFICE USE ONLY

Filer ☒ Member of the U.S. House of Representative State: MD District: 07

Officer Or Employee Employing Office:

Report Type ☐ Annual (May 15) ☒ Amendment ☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule I.			
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule II.			
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule III.			
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule IV.			
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	
If yes, complete and attach Schedule V.			

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☒

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. Yes ☐ No ☒

# SCHEDULE I - EARNED INCOME

Name Elijah E. Cummings

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Global Policy Solutions, LLC - Spouse	Spouse Salary	N/A
Maryland State Employees Pension Plan	Defined Benefit pension payments from 14 years' service in Maryland State Government	\$15,950

# SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Elijah E. Cummings

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List the source, activity, date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
Greater Lansing (MI) Area Dr. Martin Luther King Holiday Commission	Speech	Jan. 17, 2011	\$2,000
New Pleasant Grove Missionary Baptist Church	Speech	Feb. 13, 2011	\$250
Speakers Society of Maryland House of Delegates	Speech	Feb. 23, 2011	\$1,000
Amalgamated Transit Union	Speech	Mar. 11, 2011	\$2,000
Gamma Omicron Boule	Speech	Mar. 19, 2011	\$1,000
Howard University Rankin Chapel	Speech	Mar. 20, 2011	\$1,000
Mt. Carmel Baptist Church	Speech	Mar. 27, 2011	\$500
NOAA BIG	Speech	Apr. 26, 2011	\$250
Ebenezer AME Church	Speech	May 29, 2011	\$405
Set the Captives Free Outreach Center	Speech	June 12, 2011	\$1,000
PG County Afro-American Historical and Genealogical Society	Speech	June 18, 2011	\$135
Empowerment Temple	Speech	June 19, 2011	\$1,500

# **SCHEDULE E - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

Name **Elijah E. Cuthrings**

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List the source, activity (i.e., speech, appearance, etc.), date, and amount of any payment made by the speaker of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
First Baptist Church of Highland Park	Speech	June 26, 2011	\$2,000
National Dental Association	Speech	July 22, 2011	\$2,000
Ark Church of Baltimore	Speech	Aug. 7, 2011	\$500
Oklahoma Institute of Minority Affairs	Speech	Aug. 24, 2011	\$1,500
Airport Minority Advisory Council	Speech	Sept. 21, 2011	None to Date
Howard University Rankin Chapel	Speech	Sept. 25, 2011	\$1,000
COLA	Speech	Sept. 30, 2011	\$1,000
Community Brainstorming Conference	Speech	Oct. 28, 2011	\$2,000

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Elijah E. Cummings

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BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source	Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p>	<p>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
<p>SP 1830 11th St. NW, Ste 1, Washington, DC 20001</p>	<p>\$500,001 - \$1,000,000</p>	<p>RENT</p>	<p>\$15,001 - \$50,000</p>	
<p>2014 Madison Ave. Baltimore, MD 21217</p>	<p>\$250,001 - \$500,000</p>	<p>RENT</p>	<p>\$5,001 - \$15,000</p>	
<p>SP 2221 St. Paul St., Baltimore, MD 21218 (Rental Property)</p>	<p>\$250,001 - \$500,000</p>	<p>RENT</p>	<p>\$15,001 - \$50,000</p>	
<p>SP BlackRock Equity Dividend Fund-INV A</p>	<p>\$1,001 - \$15,000</p>	<p>Tax Deferred</p>	<p>NONE</p>	
<p>Elijah Cummings, PA Baltimore, MD 2</p>	<p>None</p>	<p>None</p>	<p>NONE</p>	
<p>SP Global Policy Solutions, LLC Washington, DC</p>	<p>\$250,001 - \$500,000</p>	<p>N/A</p>	<p>N/A See Schedule 1</p>	

# SCHEDULE ME ASSETS AND "UNEARNED" INCOME

Name Elijah E. Cummings

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SP	Investco Stable Asset Fund	\$1,001 - \$15,000	Tax Deferred	NONE	
SP	Janus Overseas Fund-S	\$1,001 - \$15,000	Tax Deferred	NONE	
SP	Merrill Lynch (401k) Blackrock Global	None	Tax Deferred	\$2,501 - \$5,000	S
SP	Merrill Lynch (401k) Blackrock Large Cap	None	Tax Deferred	\$2,501 - \$5,000	S
SP	Merrill Lynch (401k) Blackrock Value	None	Tax Deferred	\$2,501 - \$5,000	S
SP	Merrill Lynch (401k) Evergreen Core Bond CLC	None	Tax Deferred	\$5,001 - \$15,000	S
SP	Merrill Lynch (401k) Davis NY Venture	None	Tax Deferred	\$2,501 - \$5,000	S
SP	Perkins Small Cap Value Fund-S	\$1,001 - \$15,000	Tax Deferred	NONE	
SP	PIMCO Total Return Fund-A	\$1,001 - \$15,000	Tax Deferred	NONE	
SP	SSGA S&P 500 Index Fund	\$1,001 - \$15,000	Tax Deferred	NONE	
SP	SSGA S&P Midcap Index Fund	\$1,001 - \$15,000	Tax Deferred	NONE	
SP	T. Rowe Price Retirement 2030 Fund-R	\$1,001 - \$15,000	Tax Deferred	NONE	
SP	Victory Established Value Fund A	\$1,001 - \$15,000	Tax Deferred	NONE	
SP	Wright Patman Roth Ira Congressional Federal Credit Union	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	

# SCHEDULE IV - TRANSACTIONS

Name Elijah E. Cummings

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities, futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Merill Lynch (401k) Blackrock Global	S	No	01-2011	\$1,001 - \$15,000
SP	Merill Lynch (401k) Blackrock Large Cap	S	No	01-2011	\$1,001 - \$15,000
SP	Merill Lynch (401k) Blackrock Value	S	No	01-2011	\$1,001 - \$15,000
SP	Merill Lynch (401k) Evergreen Core Bond CLC	S	No	01-2011	\$1,001 - \$15,000
SP	Merill Lynch (401k) Davis NY Venture	S	No	01-2011	\$1,001 - \$15,000



# SCHEDULE V - LIABILITIES

Name Elijah E. Cummings

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	CitiMortgage, Inc O'Fallon, Missouri	11/20/2004	Mortgage on 2014 Madison Ave., Baltimore	\$15,001 - \$50,000
	CitiBank Home Line of Credit San Antonio, TX	11/26/2004	Home Equity Mortgage	\$50,001 - \$100,000
SP	First Horizon, TN	3/27/2007	1st Mortgage on 1830 11th St., NW, Washington, DC	\$250,001 - \$500,000
SP	National City Bank, OH (Now PNC Bank)	2/27/2007	2nd Mortgage on 1830 11th St., NW, Washington, DC	\$100,001 - \$250,000
SP	America's Servicing Company, Iowa	3/13/2006	1st Mortgage on 2221 St. Paul St., Baltimore	\$250,001 - \$500,000
SP	EMC Mortgage Corp., TX	3/13/2006	2nd Mortgage on 2221 St. Paul St., Baltimore	\$50,001 - \$100,000
SP	PNC Bank	5/25/2005	1st Mortgage on 132 Randolph Place, NW Washington, DC 20001	\$100,001 - \$250,000
SP	PNC Bank	5/25/2005	Home Equity Line Credit 132 Randolph Place, NW Washington, DC	\$50,001 - \$100,000

# **SCHEDULE VII: TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name **Elijah E. Cummings**

**SCHEDULE VII**  
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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Community Brainstorming Conference, Milwaukee, WI	Oct. 28-29	BWI-Milwaukee-BWI	Y	Y	N	None

# SCHEDULE VIII - POSITIONS

Name Elijah E. Cummings

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Report all positions, compensated or uncompensated, held during the current calendar year, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	Morgan State University Board of Regents
Member	Baltimore Aquarium Board of Trustees
Member	Baltimore Area Council of Boy Scouts of America Board of Trustees
Chairman	Maritime for Primary and Secondary Education Coalition

# SCHEDULE IX - AGREEMENTS

Name Elijah E. Cummings

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
01-01-2011	Elijah Cummings agreement to continue participation in Maryland State Government Retirement Pension Plan	Standard terms - receiving monthly retirement pension payments earned during 14 years of service in Maryland House of Delegates

# FOOTNOTES

Name Elijah E. Cummings

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Rollover from Merrill Lynch Funds	Invesco, Janus, Perkins, PIMCO, SSgA, Price, Victory
2	Schedule IV		