

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A

Page 1 of 10-LEGISLATIVE RESOURCE CENTER

For use by Members, officers, and employees 2011 MAY 16 PM 1:45

Katherine Anne Castor

(Full Name)

2022253376

(Daytime Telephone)

U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED
(Office Use Only)

Filer Status ☒ Member of the U.S. House of Representatives State: FL District: 11

☐ Officer Or Employee Employing Office:

Report Type ☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name Katherine Anne Castor

Page 2 of 10

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Butler, Pappas, Weihmuller, Katz and Craig	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Katherine Anne Castor

Page 3 of 10

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deductible trading of non-excess in a personal trading account.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
Alliance Bernstein Int Val fd A		\$1,001 - \$15,000	None	NONE	PS(part)
JT American Century Cap Growth		\$100,001 - \$250,000	None	NONE	P
JT American International Group		\$1 - \$1,000	None	NONE	
JT Bank of America Checking		\$100,001 - \$250,000	INTEREST	\$1 - \$200	
JT Bank of America Savings		\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT Bank of America Stock		\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Katherine Anne Castor

Page 4 of 10

JT	Bank of Florida Checking	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	Bank of Florida Savings	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	Bank of Florida Savings	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	Butler, Pappas, Wehmuller, Katz & Craig	\$15,001 - \$50,000	None	NONE	
JT	Dow Chemical Stock	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
JT	E*Trade Financial Stock	\$1,001 - \$15,000	None	NONE	
JT	E*Trade Savings	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	FairPoint Communications	\$1 - \$1,000	None	NONE	
SP	Fidelity International I Sep	\$1,001 - \$15,000	None	NONE	PS(part)
JT	Franklin Mutual Discovery Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	PS(part)
JT	Franklin Rising Divid	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	PS(part)
JT	Franklin Small Cap	\$1,001 - \$15,000	None	NONE	PS(part)
JT	General Electric	\$1,001 - \$15,000	None	NONE	P
JT	ING Savings	\$15,001 - \$50,000	INTEREST	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Katherine Anne Castor

Page 5 of 10

JT	Intel	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Prin Global Lg Cap St Indx	\$1,001 - \$15,000	None	NONE	PS(part)
SP	Principal Mid Cap Value	\$100,001 - \$250,000	None	NONE	P
SP	T Rowe Price Large Cap Growth	\$1,001 - \$15,000	None	NONE	PS(part)
	T Rowe Price Small Cap Stock	\$15,001 - \$50,000	None	NONE	PS(part)
	T. Rowe Price Equity Index	\$100,001 - \$250,000	None	NONE	PS(part)
JT	Templeton Growth	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	PS(part)
JT	Texas Instruments Stock	\$1,001 - \$15,000	None	\$1 - \$200	
DC	Vanguard 500 Index 529	\$1 - \$1,000	None	NONE	P
DC	Vanguard Age Based Growth	\$1,001 - \$15,000	None	NONE	P
JT	Vanguard Index 500	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	PS(part)
DC	Vanguard Small Cap Index	\$15,001 - \$50,000	None	NONE	P
DC	Vanguard Total Inter Stock	\$15,001 - \$50,000	None	NONE	P
DC	Vanguard Windsor Fund	\$15,001 - \$50,000	None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Katherine Anne Castor					Page 6 of 10
JT		\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
	Verizon Stock				

SCHEDULE IV - TRANSACTIONS

Name Katherine Anne Castor

Page 7 of 10

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Alliance Bernstein Int Val fd A	S(part)	No	6/30/10	\$50,001 - \$100,000
	Alliance Bernstein Int Val fd A	P	N/A	12/31/10	\$1,001 - \$15,000
JT	American Century Cap Growth	P	N/A	6/30/10; 12/31/10	\$100,001 - \$250,000
JT	Bank of America Stock	P	N/A	3/25; 6/25; 7/16; 9/8; 9/24; 12/27	\$1,001 - \$15,000
SP	Fidelity International I Sep	S(part)	No	6/30/10	\$50,001 - \$100,000
SP	Fidelity International I Sep	P	N/A	12/31/10	\$1,001 - \$15,000
JT	Franklin Mutual Discovery Fund	S(part)	No	5/21/10	\$1,001 - \$15,000
JT	Franklin Mutual Discovery Fund	P	N/A	17 Purchases throughout year	\$1,001 - \$15,000
JT	Franklin Rising Divid	S(part)	No	5/21/10	\$1,001 - \$15,000
JT	Franklin Rising Divid	P	N/A	23 purchases throughout year	\$1,001 - \$15,000
JT	Franklin Small Cap	S(part)	No	5/21/10	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Katherine Anne Castor

Page 8 of 10

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	Franklin Small Cap	P	N/A	11 Purchases throughout year	\$1,001 - \$15,000
JT	General Electric	P	N/A	12/14/2010	\$1,001 - \$15,000
SP	Prin Global Lg Cap St Indx	S(part)	No	6/30/10	\$50,001 - \$100,000
SP	Prin Global Lg Cap St Indx	P	N/A	12/31/10	\$1,001 - \$15,000
SP	Principal Mid Cap Value	P	N/A	6/30/2010; 12/31/2010	\$100,001 - \$250,000
	T Rowe Price Equity Index	S(part)	No	5/19/10	\$15,001 - \$50,000
	T Rowe Price Equity Index	P	N/A	12 Purchases throughout year	\$100,001 - \$250,000
SP	T Rowe Price Large Cap Growth	P	N/A	12/31/2010	\$1,001 - \$15,000
SP	T Rowe Price Large Cap Growth	S(part)	No	6/30/2010	\$50,001 - \$100,000
	T Rowe Price Small Cap Stock	P	N/A	10 Purchases Throughout year	\$15,001 - \$50,000
	T Rowe Price Small Cap Stock	S(part)	No	5/19/10	\$15,001 - \$50,000

SCHEDULE IV - TRANSACTIONS

Name Katherine Anne Castor

Page 9 of 10

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC	Templeton Growth	P	N/A	20 Purchases throughout year	\$1,001 - \$15,000
JT	Templeton Growth	S(part)	No	5/21/10	\$1,001 - \$15,000
JT	Vanguad Index 500	P	N/A	27 Purchases Throughout year	\$1,001 - \$15,000
JT	Vanguad Index 500	S(part)	No	5/21/10	\$1,001 - \$15,000
DC	Vanguard 500 Index 529	P	N/A	Various throughout year	\$1,001 - \$15,000
DC	Vanguard Age Based Growth	P	N/A	Various Throughout year	\$1,001 - \$15,000
DC	Vanguard Small Cap Index	P	N/A	1/5/2010	\$1,001 - \$15,000
DC	Vanguard Total Inter Stock	P	N/A	Various Purchases Throughout year	\$1,001 - \$15,000
DC	Vanguard Windsor Fund	P	N/A	1/5/2010	\$1,001 - \$15,000
JT	Verizon Stock	P	N/A	2/1; 5/3; 8/2; 11/1	\$1,001 - \$15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Katherine Anne Castor

Page 10 of 10

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Butler, Pappas, Weihmuller, Katz and Craig (in connection with spouse's employment)	April 29- May 1	DC-San Francisco-DC	Y	Y	Y	None