

UNITED STATES HOUSE OF REPRESENTATIVES

CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 7
For use by Members, officers, and employees

John Howard Coble
(Full Name)

202.225.3065
(Daytime Telephone)

Filer Status ☒ Member of the U.S. House of Representative State: NC District: 6

☐ Officer Or Employee

Report Type ☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

HAND DELIVERED
(Office Use Only)

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule I.		If yes, complete and attach Schedule VI.	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule II.		If yes, complete and attach Schedule VII.	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule III.		If yes, complete and attach Schedule VIII.	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule IV.		If yes, complete and attach Schedule IX.	
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	
If yes, complete and attach Schedule V.			

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

LEGISLATIVE RESOURCE CENTER
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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Howard Coble

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BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>	<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
BB&T Select Equity Mutual Fund (previously named Large Company Value A Mutual Fund)	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
BB&T Mid Cap Growth Mutual Fund (merged Mid Cap Growth A & B Funds)	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
BB&T Mid Cap Value Mutual Fund (merged Mid Cap Value A & B Funds)	\$50,001 - \$100,000	DIVIDENDS	NONE	
BB&T NC Intermediate Tax Free Investment Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
BB&T Bank Savings Account	\$15,001 - \$50,000	INTEREST	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Howard Coble

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Wright Patman Federal Credit Union Savings Account	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000
Wachovia Cap Asset Management Account	\$15,001 - \$50,000	INTEREST	\$1 - \$200
Federated Bond Value Fund (previously named Federated Bond Mutual Fund)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
Federated Bond MDT Large Cap Mutual Fund (previously named Federated Bond Large Cap Mutual Fund)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000
Federated Bond Clover Value Fund (previously named Federated Bond American Leaders Mutual Fund)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
Bank of America Checking Account	\$15,001 - \$50,000	INTEREST	\$1 - \$200
Bank of North Carolina Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000
Hartford Insurance Variable Annuity	\$50,001 - \$100,000	DIVIDENDS	NONE
MassMutual Insurance Flex Extra Annuity	\$50,001 - \$100,000	DIVIDENDS	NONE
MassMutual Insurance Transitions Annuity	\$100,001 - \$250,000	DIVIDENDS	NONE
Phoenix Life Insurance Annuity	\$15,001 - \$50,000	DIVIDENDS	NONE
State Employees Credit Union Savings Account	\$1,001 - \$15,000	INTEREST	\$1 - \$200

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Howard Coble

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Merrill Lynch Cash Management Account	\$250,001 - \$500,000	DIVIDENDS	\$1,001 - \$2,500	PS&E
Merrill Lynch IRA	\$15,001 - \$50,000	DIVIDENDS	NONE	PS&E
78 acre farm, Henry County, Virginia	\$50,001 - \$100,000	None	NONE	
Condo, Watauga County, North Carolina	\$250,001 - \$500,000	None	NONE	
NewBridge Bank Stock	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Vankampen Equity and Income Mutual Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Vankampen Comstock Mutual Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
New World Mutual Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Capital World Growth and Income Mutual Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Yadkin Valley Financial Corporation Stock (previously named Yadkin Valley Bank and Trust)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
American Equity Insurance Annuity (previously named OM Financial Life)	\$1,001 - \$15,000	DIVIDENDS	NONE	
Allianz Life Insurance Annuity	\$15,001 - \$50,000	DIVIDENDS	NONE	
Dreyfus Premier Worldwide Growth Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Howard Coble

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MassMutal Insurance Variable Annuity	\$1,001 - \$15,000	DIVIDENDS	NONE	
MassMutal Insurance Transitions Select Variable Annuity	\$50,001 - \$100,000	DIVIDENDS	NONE	
Morgan Stanley Smith Barney Investment Account (previously named Smith Barney Investment Account)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	PS&E
North Carolina Medicare Community Bond	\$1,001 - \$15,000	DIVIDENDS	NONE	
Western Carolina University R&D Bond	\$1,001 - \$15,000	DIVIDENDS	NONE	
BJ's Wholesale Club (in the Merrill Lynch CMA & IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	S(part)
Micron Tech (in the Merrill Lynch CMA & IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	S(part)
RF Micro (in the Merrill Lynch CMA & IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	S(part)

SCHEDULE IV - TRANSACTIONS

Name John Howard Coble

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	BJ's Wholesale Club (in the Merrill Lynch CMA & IRA)	S(part)	Yes	5-19-09	\$1,001 - \$15,000
	Merrill Lynch Cash Management Account	S(part)	Yes	various	see attached
	Merrill Lynch IRA	S(part)	Yes	various	see attached
	Micron Tech (in the Merrill Lynch CMA & IRA)	S(part)	Yes	12-07-09	\$1,001 - \$15,000
	Morgan Stanley Smith Barney Investment Account (previously named Smith Barney)	S(part)	Yes	various	see attached
	RF Micro (in the Merrill Lynch CMA & IRA)	S(part)	Yes	12-07-09	\$1,001 - \$15,000

SCHEDULE VIII - POSITIONS

Name John Howard Coble

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Board of Visitors	Guilford College, Greensboro, North Carolina
Member, Congressional Advisory Board	Greensboro College, Greensboro, North Carolina
Member, Congressional Advisory Board	DECA: An Association of Marketing Students, Reston, Virginia
Member, Congressional Advisory Board	American Conservative Union, Alexandria, Virginia
Member, Congressional Council	Faith & Politics Institute, Washington, D.C.
Member, Congressional Advisory Board	ThanksUSA, McLean, Virginia



TOTAL MERRILL

24-Hour Assistance: (800) MERRILL

\$251,973.36**Net Portfolio Value:**

Your Financial Advisor:

ROBERT C KETNER
800 GREEN VALLEY RD. SUITE 400
GREENSBORO NC 27408
robert.ketner@ml.com
(336) 333-7423

Online at: www.ml.com

MIR J HOWARD COBLE
2102 N ELM ST
STE B
GREENSBORO NC 27408

CMA® ACCOUNT

December 01, 2009 - December 31, 2009

ASSETS

	December 31	November 30
Cash/Money Accounts	618.54	2,082.05
Fixed Income		
Equities	151,542.75	134,100.30
Mutual Funds	99,812.07	96,453.67
Options		
Other		
Subtotal (Long Portfolio)	251,973.36	232,636.02
TOTAL ASSETS	\$251,973.36	\$232,636.02

LIABILITIES

Debit Balance		
Short Market Value		
TOTAL LIABILITIES		
NET PORTFOLIO VALUE	\$251,973.36	\$232,636.02
MARGIN AVAILABLE CREDIT	169,204.00	

CASH FLOW

	This Statement	Year to Date
Opening Cash/Money Accounts	\$2,082.05	
CREDITS		
Funds Received	10,000.00	20,000.00
Electronic Transfers		
Other Credits		3.70
Subtotal	10,000.00	20,003.70
DEBITS		
Electronic Transfers		
Margin Interest Charged		
Other Debits		(125.00)
Visa Purchases (debits)		
ATM/Cash Advances		
Checks Written/Bill Payment		
Subtotal		(125.00)
Net Cash Flow	\$10,000.00	\$19,878.70
Dividends/Interest Income	843.92	1,757.59
Dividend Reinvestments	(802.82)	(502.62)
Security Purchases/Debits	(27,008.16)	(41,751.54)
Security Sales/Credits	15,403.35	19,837.38
Closing Cash/Money Accounts	\$818.54	
Securities You Transferred In/Out		

MR J HOWARD COBLE

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2009 - December 31, 2009

GROWTH: Objective is to accumulate wealth over time through price appreciation rather than current income. The investor should be willing to accept the risk of price volatility and principal loss in seeking to achieve growth.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR CMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A.	2,081	2,564	.05	0.10	618
TOTAL ML Bank Deposit Program	2,081			0.10	618

ITEMS FOR ATTENTION

Security	Message	Date	Security	Message	Date
AMER EXPRESS COMPANY	Opinion Upgraded				

YOUR CMA ASSETS

CASH/MONEY ACCOUNTS					
Description	Quantity	Cost Basis	Estimated Market Price	Estimated Market Value	Est. Annual Yield%
CASH	0.54	0.54		.54	
ML BANK DEPOSIT PROGRAM	618.00	618.00	1.0000	618.00	.05
TOTAL		618.54		618.54	.05

EQUITIES					
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Estimated Market Price
ALCOA INC	AA	05/19/09	485	10.1273	16.1200
ALLIANCEBERNSTEIN HLDG	AB	09/19/08	120	42.3570	28.1000
LP		12/08/09	190	27.1997	28.1000
Subtotal			310	10,250.79	

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TOTAL MERRILL

MR J HOWARD COBLE

Account Number: [REDACTED]

YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Yield%
T AMER EXPRESS COMPANY	AXP	07/24/01	125	34.0570	4,257.13	40.5200	5,065.00	807.87	90 1.77
AMERICAN INTERNATIONAL GROUP INC	AIG	03/26/08	5	965.1720	4,825.86	29.9800	149.90	(4,675.96)	
AMGEN INC COM PV \$0.0001	AMGN	04/12/01	63	32.1701	2,026.72	56.5700	3,563.91	1,537.19	
		04/18/01	44	32.1700	1,415.48	56.5700	2,489.08	1,073.60	
Subtotal			107		3,442.20		6,052.99	2,610.79	
APPLIED MATERIAL INC	AMAT	11/27/01	200	22.0581	4,411.63	13.9400	2,788.00	(1,623.63)	48 1.72
ATMEL CORP COM	ATML	08/20/02	330	3.0224	997.42	4.6100	1,521.30	523.88	
		01/16/08	100	3.6189	361.89	4.6100	461.00	99.11	
		01/16/08	300	3.5654	1,069.63	4.6100	1,383.00	313.37	
		01/16/08	100	3.5654	356.54	4.6100	461.00	104.46	
		01/16/08	42	3.5654	149.75	4.6100	193.62	43.87	
		01/16/08	500	3.5654	1,782.72	4.6100	2,305.00	522.28	
		01/16/08	108	3.5654	385.07	4.6100	497.88	112.81	
Subtotal			1,480		5,103.02		6,822.80	1,719.78	
BANK OF AMERICA CORP	BAC	08/10/07	75	50.1309	3,759.82	15.0600	1,129.50	(2,630.32)	3 26
		12/08/09	250	16.2998	4,074.97	15.0600	3,765.00	(309.97)	10 26
Subtotal			325		7,834.79		4,894.50	(2,940.29)	13 26
BIS WHOLESALE CLUB INC	BJ	04/22/03	138	14.4203	1,990.01	32.7100	4,513.98	2,523.97	
		12/19/08	62	35.2100	2,183.02	32.7100	2,028.02	(155.00)	
Subtotal			200		4,173.03		6,542.00	2,368.97	
BOSTON SCIENTIFIC CORP	BSX	01/16/08	280	12.6026	3,528.75	9.0000	2,520.00	(1,008.75)	
		12/14/09	380	9.1774	3,487.42	9.0000	3,420.00	(67.42)	
Subtotal			660		7,016.17		5,940.00	(1,076.17)	
CEPHALON INC COM	CEPH	04/27/06	75	67.4304	5,057.28	62.4200	4,681.50	(375.78)	
CITIGROUP INC	C	05/19/09	1,230	3.9820	4,897.92	3.3100	4,071.30	(826.62)	
CORNING INC	GLW	11/02/06	245	20.8228	5,101.61	19.3100	4,730.95	(370.66)	49 1.03
E M C CORPORATION MASS	EMC	11/02/06	300	12.6072	3,782.16	17.4700	5,241.00	1,458.84	
FAIRPOINT COMMUNICATIONS INC	FRQM	02/19/08	2	11.4350	22.87	0.0330	.06	(22.81)	

MR J HOWARD COBLE

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
FLEXTRONICS INTL LTD	FLEX	12/04/06	340	11.9824	4,074.04	7.3100	2,485.40	(1,588.64)		
		12/14/09	550	7.3372	4,035.48	7.3100	4,020.50	(14.98)		
Subtotal			890		8,109.52		6,505.90	(1,603.62)		
FOREST LABS INC	FRX	10/22/08	15	23.4886	352.33	32.1100	481.65	129.32		
		10/22/08	200	23.1309	4,626.18	32.1100	6,422.00	1,795.82		
Subtotal			215		4,978.51		6,903.65	1,925.14		
GOODYEAR TIRE RUBBER	GT	09/23/05	320	16.1003	5,152.10	14.1000	4,512.00	(640.10)		140 2.74
INTEL CORP	INTC	04/21/06	250	20.7168	5,179.20	20.4000	5,100.00	(79.20)		4 .72
KEYCORP NEW COM	KEY	09/19/08	100	14.7489	1,474.89	5.5500	555.00	(919.89)		10 .72
		09/19/08	250	14.7054	3,676.35	5.5500	1,387.50	(2,288.85)		33 .72
Subtotal		12/14/09	820	6.3168	5,179.84	5.5500	4,551.00	(628.84)		47 .72
KING PHARMACEUTICALS INC	KG	10/31/07	475	11.0013	5,225.63	12.2700	5,828.25	602.62		
KNIGHT CAPITAL GROUP INC	NITE	07/24/01	500	10.1357	5,067.89	15.4000	7,700.00	2,632.11		3 .16
LINCOLN INTL CORP IND NPV	LNC	05/19/09	65	18.6816	1,214.31	24.8800	1,617.20	402.89		8 .16
		05/19/09	200	18.5969	3,719.39	24.8800	4,976.00	1,256.61		11 .16
Subtotal			265		4,933.70		6,693.20	1,659.50		13 .67
MORGAN STANLEY	MS	11/02/06	65	63.6561	4,137.65	29.6000	1,924.00	(2,213.65)		134 3.95
PFIZER INC	PFE	02/01/07	185	27.3954	5,068.15	18.1900	3,365.15	(1,703.00)		193 4.67
R R DONNELLEY SONS	RRD	09/19/08	185	27.3142	5,053.13	22.2700	4,119.95	(933.18)		234 4.67
		12/14/09	225	22.5000	5,062.50	22.2700	5,010.75	(51.75)		427 4.67
Subtotal			410		10,115.63		9,130.70	(984.93)		
SAVIENT PHARMACEUTICALS INC	SVNT	04/18/01	325	7.7846	2,530.02	13.6100	4,423.25	1,893.23		
SCHWAB CHARLES CORP NEW	SCHW	11/27/01	270	15.8931	4,291.14	18.8200	5,081.40	790.26		65 1.27
VERIZON COMMUNICATIONS COM	VZ	02/19/08	135	36.4202	4,916.74	33.1300	4,472.55	(444.19)		257 5.73
TOTAL					155,125.18		151,542.75	(3,582.43)	2,185	1.44

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TOTAL MERRILL

MR J HOWARD COBLE

Account Number: [REDACTED]

YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

RESEARCH RATINGS

Security	Symbol	BASML Research Rating	IPR Rating*	IPR Rating*	Jaywalk Consensus Rating SELL HOLD BUY
ALCOA INC	AA	C-3.8	Hold Morningstar	Buy - Standard & Poor's	2.00
AMERICAN INTERNATIONAL	AKG	N/A	Under Review - Morningstar	Hold - Standard & Poor's	2.11
AMER EXPRESS COMPANY	AXP	B-2.9	Buy Morningstar	Hold - Standard & Poor's	1.77
AMGEN INC COM PV \$0.0001	AMGN	B-2.9	Buy Morningstar	Buy - Standard & Poor's	1.41
APPLIED MATERIAL INC.	AMAT	C-2.7	Buy Morningstar	Buy - Standard & Poor's	2.14
BJS WHOLESALE CLUB INC	BJ	B-1.9	Hold Morningstar	Buy - Standard & Poor's	1.55
BOSTON SCIENTIFIC CORP	BSX	B-2.9	Hold Morningstar	Buy - Standard & Poor's	2.05
CITIGROUP INC	C	C-1.8	Hold Morningstar	Hold - Standard & Poor's	2.29
CEPHALON INC COM	CEPH	C-1.9	Hold Morningstar	Buy - Standard & Poor's	1.64
CORNING INC	GLW	C-1.7	Hold Morningstar	Buy - Standard & Poor's	1.59
E M C CORPORATION MASS	EMC	C-2.9	Hold Morningstar	Hold - Standard & Poor's	1.65
FLEXTRONICS INTL LTD	FLEX	C-2.9	Sell Morningstar	Buy - Standard & Poor's	1.73
FAIRPOINT COMMUNICATIONS	FRQM	N/A	Sell Morningstar	No Coverage	2.42
FOREST LABS INC	FRX	B-1.9	Hold Morningstar	Hold - Standard & Poor's	1.70
GOODYEAR TIRE RUBBER	GT	C-3.9	Hold Morningstar	Hold - Standard & Poor's	2.25
INTEL CORP	INTC	C-2.7	Hold Morningstar	Buy - Standard & Poor's	1.42
KEYCORP NEW COM	KEY	C-1.8	Buy Morningstar	Hold - Standard & Poor's	2.26
KING PHARMACEUTICALS INC	KG	C-1.9	Hold Morningstar	Hold - Standard & Poor's	2.00
KNIGHT CAPITAL GROUP INC	NITE	N/A	Hold Morningstar	Hold - Standard & Poor's	1.67
LINCOLN INTL CORP NID NPV	LNC	C-2.7	Hold Morningstar	Buy - Standard & Poor's	1.79
MORGAN STANLEY	MS	C-2.8	Hold Morningstar	Hold - Standard & Poor's	1.94
PFIZER INC	PFE	A-1.7	Buy Morningstar	Buy - Standard & Poor's	1.58
SAVIENT PHARMACEUTICALS	SVNT	N/A	Hold Morningstar	No Coverage	2.33
SCHWAB CHARLES CORP NEW	SCHW	B-2.7	Hold Morningstar	Sell - Standard & Poor's	2.25
VERIZON COMMUNICATIONS COM	VZ	A-2.7	Buy Morningstar	Buy - Standard & Poor's	1.64

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MR J HOWARD COBLE

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

RESEARCH RATINGS (continued)

Security	Symbol	BAS-ML Research Rating		IPR Rating*	Jawalk Consensus Rating		
		Symbol	Rating		SELL	HOLD	BUY
ALLANCEBERNSTEIN HLDG	AB		8-1-7 Hold Morningstar	Buy Standard & Poor's			1.67

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO YOUR BAS-ML RESEARCH AND IPR RATINGS.

MUTUAL FUNDS/CLOSED END FUNDS/UIT		Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
DAVIS NY VENTURE FD A		2,065	44,046	19,926	50,549.95	30.9800	63,973.70	13,423.75	434	.67
		43			N/A	30.9800	1,332.14	N/A	10	.67
SYMBOL: NYVTX Initial Purchase: 02/09/96 .8790 Fractional Share					N/A	30.9800	27.23	N/A	1	.67
EQUITY 100%										
FEDERATED KAUFMANN FUND		7,398	28,663	5,811	40,406.23	4.6800	34,474.68	(5,931.55)		
					N/A	4.6500	4.32	N/A		
SYMBOL: KAUAJ Initial Purchase: 01/14/04 .9290 Fractional Share										
EQUITY 100%										
Subtotal (Equities)				25,737	90,956.18		99,812.07	7,492.20	445	.45
TOTAL										

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment purchased, including shares purchased through reinvestment.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.



TOTAL MERRILL

MR J HOWARD COBLE

Account Number: [REDACTED]

YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	246,699.90	251,973.36	3,909.77		2,830	1.04

Total values exclude N/A items

YOUR CMA TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Transaction Type	Quantity	Description	Reinvestment	Income Year To Date	Income Year To Date
12/31	Bank Interest		BANK DEPOSIT INTEREST		.10	.86
12/01	Subtotal (Taxable Interest)				.10	
	* Dividend		R R DONNELLEY SONS HOLDING 185.0000 PAY DATE 12/01/2009		48.10	
12/01	* Dividend		INTEL CORP HOLDING 250.0000 PAY DATE 12/01/2009		35.00	
12/01	* Dividend		PRIZER INC HOLDING 185.0000 PAY DATE 12/01/2009		29.60	
12/03	* Dividend		APPLIED MATERIAL INC HOLDING 200.0000 PAY DATE 12/03/2009		12.00	
12/03	* Dividend		DAVIS NY VENTURE FD A PAY DATE 12/02/2009		439.84	
12/03	Reinvestment	14	DAVIS NY VENTURE FD A	(439.84)		
12/03	Divd Reinv		DAVIS NY VENTURE FD A REINV AMOUNT \$439.84 REINV PRICE \$30.52000 QUANTITY BOT 14.4120 AS OF 12/01			

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TOTAL MERRILL

MR J HOWARD COBLE

Account Number: [REDACTED]

YOUR CMA TRANSACTIONS

December 01, 2009 - December 31, 2009

SECURITY TRANSACTIONS							Accrued Interest	
Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Earned	(Paid)
12/11	BANK OF AMERICA CORP CUS NO 060505104	Purchase	250	15.7675	4,074.97			
12/11	ALLIANCEBERNSTEIN HLDG LP CUS NO 01881G106	Purchase	190	26.4273	5,167.95			
12/17	BOSTON SCIENTIFIC CORP CUS NO 101137107	Purchase	380	8.7973	3,487.42			
12/17	R R DONNELLEY SONS CUS NO 257867101	Purchase	225	21.8599	5,062.50			
12/17	FLEXTRONICS INTL LTD CUS NO Y2573F102	Purchase	550	7.0399	4,035.48			
12/17	KEYCORP NEW COM CUS NO 493267108	Purchase	820	6.0795	5,179.84			
	Subtotal (Purchases)				27,008.16			
12/10	MICRON TECHNOLOGY INC CUS NO 595112103	Sale	650	8.5219		5,336.69		
12/10	RF MICRO DEVICES INC CUS NO 749941100	Sale	-1,300	5.0301		6,286.94		
12/17	AMGEN INC COM PV \$0.0001 CUS NO 031162100	Sale	-40	56.9400		2,195.30		
12/17	E M C CORPORATION MASS CUS NO 268648102	Sale	100	16.5605		1,584.42		
	Subtotal (Sales)					15,403.35		
	TOTAL				27,008.16	15,403.35		

MR J HOWARD COBLE

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

YOUR CMA TRANSACTIONS

December 01, 2009 - December 31, 2009

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sales Price	Cost Basis	This Statement	Gains/(Losses) * Year to Date
AMGEN INC COM PV \$0.0001	40.0000	04/12/01	12/14/09	2,195.30	1,286.79	908.51	
E M C CORPORATION MASS	100.0000	11/02/06	12/14/09	1,584.42	1,260.72	323.70	
MICRON TECHNOLOGY INC	50.0000	01/16/08	12/07/09	410.51	308.32	102.19	
MICRON TECHNOLOGY INC	600.0000	01/16/08	12/07/09	4,926.18	3,641.29	1,284.89	
RF MICRO DEVICES INC	1300.0000	01/16/08	12/07/09	6,286.94	4,894.54	1,392.40	
Subtotal (Long-Term)						4,011.69	(2,480.15)
TOTAL				15,403.35	11,391.66	4,011.69	(2,480.15)

* - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2009 tax return. These reportable transactions will appear on your January statement.

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
12/14	Funds Received		CHECK DEPOSIT		10,000.00
	Subtotal (Funds Received)				10,000.00
	NET TOTAL				10,000.00

YOUR CMA MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
12/07	ML BANK DEPOSIT PROGRAM		125.00	12/17	ML BANK DEPOSIT PROGRAM	13,982.00	
12/11	ML BANK DEPOSIT PROGRAM		2,381.00	12/21	ML BANK DEPOSIT PROGRAM		12.00
12/15	ML BANK DEPOSIT PROGRAM		10,000.00	12/28	ML BANK DEPOSIT PROGRAM		1.00
	NET TOTAL					1,463.00	

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TOTAL MERRILL

Online at: www.mfml.com

Account Number: [REDACTED]

MLPF & S CUST FPO
J HOWARD COBLE IRA
FBO J HOWARD COBLE
2102 N ELM ST STE B
STE B
GREENSBORO NC 27408-5100

24-Hour Assistance: (800) MERRILL

Net Portfolio Value:**\$33,625.20**

Your Financial Advisor:

ROBERT C KETNER
800 GREEN VALLEY RD, SUITE 400
GREENSBORO NC 27408
robert_ketner@ml.com
(336) 333-7423

RETIREMENT ACCOUNT

December 01, 2009 - December 31, 2009

ASSETS

	December 31	November 30
Cash/Money Accounts	841.94	793.04
Fixed Income		
Equities	30,020.27	28,135.43
Mutual Funds	2,782.99	2,649.61
Options		
Other		
Subtotal (Long Portfolio)	33,625.20	31,578.08
TOTAL ASSETS	\$33,625.20	\$31,578.08

LIABILITIES

Debit Balance	
TOTAL LIABILITIES	
NET PORTFOLIO VALUE	\$33,625.20

CASH FLOW

	This Statement	Year to Date
Opening Cash/Money Accounts	\$793.04	
CREDITS		
Funds Received		
Electronic Transfers		
Other Credits		
Subtotal		
DEBITS		
Electronic Transfers		
Other Debits		
Subtotal		
Net Cash Flow	57.83	(\$58.44)
Dividends/Interest Income	57.83	386.54
Dividend Reinvestments	(8.93)	(8.93)
Security Purchases/Debits		
Security Sales/Credits		
Closing Cash/Money Accounts	\$841.94	
Securities You Transferred In/Out		

FBO J HOWARD COBLE

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2009 - December 31, 2009

TOTAL RETURN: Objective is to strike a balance between fixed-income instruments for current income and equities for growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%			
CASH	0.75	841.19	1.0000	.75					
FIA CARD SERVICES NA RASP	841.19	841.19		841.19		.05			
TOTAL		841.94		841.94		.05			
EQUITIES									
Description	Quantity	Unit Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Estimated Current Yield%		
ALLIANCEBERNSTEIN HLDG LP	80	42.4172	28.1000	2,248.00	(1,145.36)	215	9.53		
AMERICAN INTERNATIONAL GROUP INC	3	926.3133	29.9800	89.94	(2,689.00)				
APPLIED MATERIAL INC	150	22.1371	13.9400	2,091.00	(1,229.57)	36	1.72		
ATMEL CORP COM	225	19.0620	4.6100	1,037.25	(3,251.71)				
BJS WHOLESALE CLUB INC	68	14.5086	32.7100	2,224.28	1,237.69				
E M C CORPORATION MASS	260	13.5764	17.4700	4,542.20	1,012.33				
FLEXTRONICS INTL LTD	210	12.1453	7.3100	1,535.10	(1,015.42)				
KING PHARMACEUTICALS INC	300	11.0413	12.2700	3,681.00	368.59				
MICRON TECHNOLOGY INC	250	9.8157	10.5600	2,640.00	186.07				
PFIZER INC	95	27.5746	18.1900	1,728.05	(891.54)	69	3.95		
R R DONNELLEY SONS	95	30.4578	22.2700	2,115.65	(777.95)	99	4.67		
SAVIENT PHARMACEUTICALS INC	150	5.4113	13.6100	2,041.50	1,229.80				
SCHWAB CHARLES CORP NEW	215	15.9237	18.8200	4,045.30	622.69	52	1.27		
TOTAL		36,363.57	18,8200	30,020.27	(6,343.30)	471	1.57		

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TOTAL MERRILL

FBO J HOWARD COBLE

Account Number: [REDACTED]

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2009 - December 31, 2009

RESEARCH RATINGS

Security	Symbol	BAS-ML Research Rating	IPR Rating*	IPR Rating*	Jaywalk Consensus Rating SELL HOLD BUY
AMERICAN INTERNATIONAL	AIG	N/A	Under Review	Morningstar	Hold Standard & Poor's 2.11
APPLIED MATERIAL INC	AMAT	C-2.7	Buy	Morningstar	Buy Standard & Poor's 2.14
BUS WHOLESALE CLUB INC	BJ	B-1.9	Hold	Morningstar	Buy Standard & Poor's 1.55
E M C CORPORATION MASS	EMC	C-2.9	Hold	Morningstar	Hold Standard & Poor's 1.65
FLEXTRONICS INTL LTD	FLEX	C-2.9	Sell	Morningstar	Buy Standard & Poor's 1.73
KING PHARMACEUTICALS INC	KG	C-1.9	Hold	Morningstar	Hold Standard & Poor's 2.00
PFIZER INC	PFE	A-1.7	Buy	Morningstar	Buy Standard & Poor's 1.58
SAVIENT PHARMACEUTICALS	SVNT	N/A	Hold	Morningstar	No Coverage 2.33
SCHWAB CHARLES CORP NEW	SCHW	B-2.7	Hold	Morningstar	Sell Standard & Poor's 2.25
ALLIANCEBERNSTEIN HLDG	AB	B-1.7	Hold	Morningstar	Buy Standard & Poor's 1.67

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO YOUR BAS-ML RESEARCH AND IPR RATINGS.

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Client Investment	Cumulative Investment Return	Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Current Yield%
DAVIS NY VENTURE FD A SYMBOL: NYVTX Initial Purchase: REAY Equity 100%	25	5880 Fractional Share	774	699.21	30.9800	774.50	75.29	6	.67
FEDERATED KAUFMANN FUND CL A SYMBOL: KAUAX Initial Purchase: 01/14/04 .9070 Fractional Share Equity 100%	422	1,369	596	2,316.44	4.6600	1,966.52	(349.92)	1	.67
Subtotal (Equities)							2,762.99		

FBO J HOWARD COBLE

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT

(continued) Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Estimated Current Yield%
TOTAL	1,370		1,370	3,015.65		2,782.99	(274.63)	7	25

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

LONG PORTFOLIO

Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Estimated Current Yield%
40,221.16	33,825.20	(6,617.93)		478	1.42
TOTAL					

Total values exclude N/A items

YOUR RETIREMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Year To Date Income
12/01	* Dividend		R R DONNELLEY SONS HOLDING 95.0000 PAY DATE 12/01/2009		24.70	
12/01	* Dividend		PRIZER INC HOLDING 95.0000 PAY DATE 12/01/2009		15.20	
12/03	* Dividend		APPLIED MATERIAL INC HOLDING 150.0000 PAY DATE 12/03/2009		9.00	

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TOTAL MERRILL

FBO J HOWARD COBLE

Account Number: [REDACTED]

YOUR RETIREMENT ACCOUNT TRANSACTIONS

December 01, 2009 - December 31, 2009

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)				Reinvestment		Income		Income	
Date	Transaction Type	Quantity	Description			Year To Date	Year To Date		
12/03	* Dividend		DAVIS NY VENTURE FD A			5.34			
			PAY DATE 12/02/2009						
12/03	Reinvestment		DAVIS NY VENTURE FD A	(5.34)					
12/03	Divd Reinv		DAVIS NY VENTURE FD A						
			REINV AMOUNT \$5.34						
			REINV PRICE \$30.52000						
			QUANTITY BOT .1750						
			AS OF 12/01						
12/31	* Dividend		FEDERATED KAUFMANN FUND			3.59			
			CL A						
12/31	Reinvestment		PAY DATE 12/30/2009						
			FEDERATED KAUFMANN FUND	(3.59)					
12/31	Divd Reinv		CL A						
			FEDERATED KAUFMANN FUND						
			CL A						
			REINV AMOUNT \$3.59						
			REINV PRICE \$4.69000						
			QUANTITY BOT .7650						
			AS OF 12/29						
Subtotal (Tax-Exempt Dividends)						57.83		386.54	
NET TOTAL				(8.93)		57.83		386.54	

FBO J HOWARD COBLE

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT TRANSACTIONS

December 01, 2009 - December 31, 2009

FUNDS RECEIVED, WITHDRAWALS, AND CHARGES

Date	Transaction Type	Quantity	Description	Debit	Credit
12/02	Fund Delivery	-40	FIA CARD SVS NA RASP		
12/02	Subscription	40	FIA CARD SVS NA RASP	40.00	
12/04	Fund Delivery	-9	FIA CARD SVS NA RASP		
12/04	Subscription	9	FIA CARD SVS NA RASP	9.00	
NET TOTAL				49.00	

YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

Year-End Plan Value as of December 31, 2009: \$33,625.20

Life-To-Date Nondeductible Contributions: \$2,000.00

Contributions after December 31, 2008 for 2008: \$0.00

Distributions	Tax Year 2009	Tax Year 2008
Normal	\$0.00	\$2,183.02

Our records indicate that for 2010 you may be required to take a Required Minimum Distribution (RMD) from this account. You are required to take annual RMDs from your IRA account (s) for the year you turn age 70 1/2 and each subsequent year. The deadline for taking your 2010 RMD is December 31, 2010 or April 1, 2011 if 2010 is your first Required Minimum Distribution year. Contact us after January 15th in order to obtain your RMD calculation (if applicable), otherwise the calculation will be provided to you on your next statement.

For purposes of calculating Required Minimum Distributions or completing IRS Form 8606, you are required to use the value of your account as of 12/31/09. This value should include your Priced Portfolio total and the value of certain limited partnerships and other direct investments as well as any securities that we are unable to price - marked "Unavailable". The "Year-End Plan Value" shown consists of your Priced Portfolio total plus the estimated value of limited partnerships or other direct investments reported on your year-end statement.

For IRA, (RRA, SEP/IRA, SIMPLE/IRA ROTH IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

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FWR PRC

FINANCIAL CONSULTANTS
SECURITY ACTIVITYCOB 05/13/10
FC:9972ACCT: ~~REDACTED~~ J HOWARD COBLE

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
01/14/10	SLD		280C	BOSTON SCIENTIFIC CORP	9.1000	2,431C
01/14/10	SLD		185C	R R DONNELLEY SONS	22.7400	4,079C
01/14/10	SLD		40C	FLEXTRONICS INTL LTD	7.2125	269C
01/14/10	SLD		300C	FLEXTRONICS INTL LTD	7.2125	2,058C
01/14/10	SLD		350C	KEYCORP NEW CO	6.5200	2,158C
01/14/10	BOT		75	RESEARCH IN MOTION LTD	66.5000	5,112
01/11/10	SLD	N	75C	BANK OF AMERICA CORP	16.9300	1,208C
01/08/10	SLD		75C	BANK OF AMERICA CORP	16.9300	1,208C
01/08/10	CXLS		75	BANK OF AMERICA CORP	16.9300	1,208
01/08/10	BOT		100	PROTECTIVE LIFE CORP CO	17.9700	1,855
01/08/10	BOT		170	PROTECTIVE LIFE CORP CO	17.9648	3,145
01/08/10	SLD		120C	ALLIANCEBERNSTEIN HLDG	28.6993	3,338C
12/31/09	AIDB		13	FEDERATED KAUFMANN FUND	4.6900	62
12/14/09	SLD	N	40C	AMGEN INC COM PV \$0.000	56.9400	2,195C
12/14/09	BOT	N	380	BOSTON SCIENTIFIC CORP	8.7973	3,487
12/14/09	BOT	N	225	R R DONNELLEY SONS	21.8599	5,062
12/14/09	SLD	N	100C	E M C CORPORATION MASS	16.5605	1,584C
12/14/09	BOT	N	550	FLEXTRONICS INTL LTD	7.0399	4,035
12/14/09	BOT	N	820	KEYCORP NEW CO	6.0795	5,179
12/08/09	BOT	N	250	BANK OF AMERICA CORP	15.7675	4,074
12/08/09	BOT	N	190	ALLIANCEBERNSTEIN HLDG	26.4273	5,167
12/07/09	SLD		650C	MICRON TECHNOLOGY INC	8.5219	5,336C
12/07/09	SLD		1,300C	RF MICRO DEVICES INC	5.0301	6,286C
12/03/09	AIDB		14	DAVIS NY VENTURE FD A	30.5200	439
07/01/09	XCH		5	AMERICAN INTERNATIONAL	.0000	0
07/01/09	XCH		105C	AMER INTL GROUP INC	.0000	0
05/19/09	BOT	N	485	ALCOA INC	9.7700	4,911
05/19/09	SLD	N	62C	BJS WHOLESALE CLUB INC	37.8600	2,263C
05/19/09	BOT	N	1,230	CITIGROUP INC	3.8100	4,897
05/19/09	BOT	N	65	LINCOLN NTL CORP IND NP	18.0600	1,214
05/19/09	BOT	N	200	LINCOLN NTL CORP IND NP	18.0575	3,719
05/19/09	SLD	N	30C	SUN MICROSYSTEMS INC	8.9700	256C
05/19/09	SLD	N	200C	SUN MICROSYSTEMS INC	8.9700	1,705C
01/30/09	XCHC		11C	TRANSMETA CORP DEL	.0000	209C

ef: 00000303 00007885

ayer

ITIGROUP GLOBAL MKTS INC.
38 GREENWICH STREET
NEW YORK, NEW YORK 10013
ttn: Tax Reporting Service Center
ax ID Number 11-2418191

Your Financial Advisor

RICHARD K POLSON
MORGANSTANLEY SMITHBARNEY LLC
WACHOVIA TOWER
300 N GREENE ST-17FL
GREENSBORO NC 27401
Branch Office : 336 271 4336
Email: richard.k.polson@smithbarney.com

J HOWARD COBLE
Account Number

J HOWARD COBLE
2102 N. ELM STREET, SUITE B
GREENSBORO NC
27408-5100

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B Proceeds from Broker and Barter Exchange Transactions 2009

(OMB#1545-0715)

Gross proceeds from each transaction are individually reported to the IRS after deducting commissions. For bond transactions, accrued income is also deducted. Taxable accrued interest is reported on Form 1099-INT and included in your "Details of 1099 Reported Interest Income".

Reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
00000500	03/23/09	01881E101000	5	ALLIANCEBERNSTEIN INCOME FUND INC	\$ 7.05	\$ 35.24	
00000600	03/26/09	01881E101000	13	ALLIANCEBERNSTEIN INCOME FUND INC	7.063	91.82	
00000700	03/26/09	01881E101000	15	ALLIANCEBERNSTEIN INCOME FUND INC	7.063	105.95	
00000800	03/26/09	01881E101000	10	ALLIANCEBERNSTEIN INCOME FUND INC	7.063	70.63	
00000900	03/27/09	01881E101000	16	ALLIANCEBERNSTEIN INCOME FUND INC	7.061	112.97	
00001100	04/01/09	01881E101000	3108	ALLIANCEBERNSTEIN INCOME FUND INC	7.14	2.21	
00001500	06/18/09	33733B100000	3	FIRST TR ISE WTR INDEX FD	15.93	47.78	
00001600	10/23/09	33733B100000	37	FIRST TR ISE WTR INDEX FD	17.571	650.11	
00001800	01/14/09	33733E203000	31	FIRST TR EXCH TRADED FD FIRST TR AMEX BIOTECHNOLOGY INDX FD	19.491	604.23	



MorganStanley
SmithBarney

Corrected Copy as of 03/11/10
Ref: 00007856 00115679

Client Statement Forms 1099 for 2009

J HOWARD COBLE

Account Number

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B Proceeds from Broker and Barter Exchange Transactions 2009 - continued

Reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120002100	06/06/09	33733E203000	62	FIRST TR EXCH TRADED FD FIRST TR AMEX BIOTECHNOLOGY INDX FD	\$ 18.661	\$ 1,156.95	(OMB) 1545-0715
120003000	06/17/09	33733E302000	1	FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD	18.48	18.47	
120003100	06/18/09	33733E302000	6	FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD	18.591	111.54	
120003300	01/14/08	33733E401000	51	FIRST TRUST ETF NASDAQ-100 EX-TECH SECTOR INDES FD	11.56	588.58	
120003400	01/23/09	33733E401000	5	FIRST TRUST ETF NASDAQ-100 EX-TECH SECTOR INDES FD	11.541	57.70	
120003700	06/18/09	33733E401000	9	FIRST TRUST ETF NASDAQ-100 EX-TECH SECTOR INDES FD	13.82	124.37	
120003900	01/23/09	33733E500000	1	FIRST TRUST ETF NASDAQ CLEAN EDGE GREEN ENERGY INDX FD	10.602	70.59	
120004300	04/29/09	33733E500000	100	FIRST TRUST ETF NASDAQ CLEAN EDGE GREEN ENERGY INDX FD	11.967	1,198.74	
120004500	01/14/09	33734G106000	30	FIRST TRUST S&P REIT INDEX FD	7.852	235.56	
120004800	02/18/09	33734G106000	73	FIRST TRUST S&P REIT INDEX FD	6.495	474.18	
120004900	06/18/09	33734J102000	4	FIRST TRISE REVERE NAT GAS INDEX FUND	13.768	55.06	
120004900	06/23/09	33734J102000	43	FIRST TRISE REVERE NAT GAS INDEX FUND	12.104	520.46	
120005200	06/18/09	33734X101000	4	FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FD	11.045	44.17	
120005400	01/14/09	33734X119000	40	FIRST TRUST CONSUMER STAPLES ALPHADEX FUND	13.521	540.84	

sf: 00000303 00007887

J HOWARD COBLE

Account Number [REDACTED]

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1099-B Proceeds from Broker and Barter Exchange Transactions 2009 - continued

(OMB#1545-0715)

reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
00005600	04/22/09	33734X119000	43	FIRST TRUST CONSUMER STAPLES ALPHADEX FUND	\$ 14.017	\$ 602.74	
00005700	04/29/09	33734X119000	1	FIRST TRUST CONSUMER STAPLES ALPHADEX FUND	14.614	14.60	
00005800	05/13/09	33734X119000	42	FIRST TRUST CONSUMER STAPLES ALPHADEX FUND	14.721	618.27	
00006000	01/23/09	33734X127000	10	FIRST TRUST ENERGY ALPHADEX FUND	11.029	110.29	
00006400	04/15/09	33734X127000	100	FIRST TRUST ENERGY ALPHADEX FUND	10.926	1,092.63	
00006700	06/17/09	33734X135000	3	FIRST TRUST FINANCIALS ALPHADEX FUND	9.40	28.19	
00006800	06/18/09	33734X135000	9	FIRST TRUST FINANCIALS ALPHADEX FUND	9.454	85.08	
00007000	01/14/09	33734X143000	43	FIRST TRUST HEALTH CARE ALPHADEX FUND	14.064	604.77	
00007300	06/18/09	33734X143000	7	FIRST TRUST HEALTH CARE ALPHADEX FUND	15.86	111.01	
00007500	01/23/09	33734X150000	7	FIRST TRUST INDUSTRIALS/ PRODUCER DURABLES ALPHADEX FD	9.968	69.77	
00007700	03/11/09	33734X150000	95	FIRST TRUST INDUSTRIALS/ PRODUCER DURABLES ALPHADEX FD	8.18	777.09	
00007900	01/14/09	33734X168000	40	FIRST TRUST MATERIALS ALPHADEX FUND	10.838	433.54	
00008200	04/29/09	33734X168000	4	FIRST TRUST MATERIALS ALPHADEX FUND	12.70	50.79	
00008300	06/17/09	33734X168000	4	FIRST TRUST MATERIALS ALPHADEX FUND	13.674	54.69	



Account Number 8

1099-B Proceeds from Broker and Barter Exchange Transactions 2009 - continued

Reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120008400	06/18/09	33734X168000	8	FIRST TRUST MATERIALS ALPHADEX FUND	\$ 14.032	\$ 112.25	
120008800	01/14/09	33734X184000	44	FIRST TRUST UTILS ALPHADEX FUND	12.22	537.68	
120009300	04/29/09	33734X184000	48	FIRST TRUST UTILS ALPHADEX FUND	12.004	576.18	
120009400	06/17/09	33734X184000	2	FIRST TRUST UTILS ALPHADEX FUND	13.21	26.41	
120009500	06/18/09	33734X184000	5	FIRST TRUST UTILS ALPHADEX FUND	13.24	66.19	
120009600	10/29/09	33734X184000	44	FIRST TRUST UTILS ALPHADEX FUND	14.045	617.97	
120010000	06/17/09	33734S102000	2	FIRST TRUST NASDAQ-100 TECH SECTOR INDEX FUND	15.567	31.12	
120010100	06/18/09	33734S102000	8	FIRST TRUST NASDAQ-100 TECH SECTOR INDEX FUND	15.628	125.00	
120010200	07/30/09	33734S102000	37	FIRST TRUST NASDAQ-100 TECH SECTOR INDEX FUND	17.611	651.62	
120010300	09/16/09	33734S102000	37	FIRST TRUST NASDAQ-100 TECH SECTOR INDEX FUND	19.09	706.31	
120010500	01/14/09	33736G106000	38	FIRST TRUST GLOBAL WIND ENERGY ETF	11.326	430.41	
120010600	01/23/09	33736G106000	9	FIRST TRUST GLOBAL WIND ENERGY ETF	11.371	102.33	
120010700	02/24/09	33736G106000	77	FIRST TRUST GLOBAL WIND ENERGY ETF	10.15	781.54	
120011000	12/23/09	33736G106000	23	FIRST TRUST GLOBAL WIND ENERGY ETF	14.941	343.63	



ref: 00000303 00007689

J HOWARD COBLE

Account Number [REDACTED]

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1099-B Proceeds from Broker and Barter Exchange Transactions 2009 - continued

(OMB#1545-0715)

Reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
20011200	02/24/09	33736M103000	34	FIRST TRUST ISE GBL ENGRNG AND CONSTRUCTION INDEX FD	\$ 24.30	\$ 826.19	
20011400	06/18/09	33736M103000	1	FIRST TRUST ISE GBL ENGRNG AND CONSTRUCTION INDEX FD	33.868	33.86	
20011500	07/08/09	33736M103000	18	FIRST TRUST ISE GBL ENGRNG AND CONSTRUCTION INDEX FD	31.45	566.08	
20011700	01/14/09	33736N101000	7	FIRST TRUST FTSE EPRA/NAREIT GLOBAL REAL ESTATE INDEX FUND	20.443	143.09	
20011800	01/23/09	33736N101000	2	FIRST TRUST FTSE EPRA/NAREIT GLOBAL REAL ESTATE INDEX FUND	20.861	41.71	
20011900	02/12/09	33736N101000	17	FIRST TRUST FTSE EPRA/NAREIT GLOBAL REAL ESTATE INDEX FUND	19.10	324.69	
20012800	07/08/09	395256106000	24	GREENHAVEN CONTINUOUS COMMODITY INDEX FD UNIT BEN INT	21.72	521.26	
20013200	04/01/09	45684E107000	5	ING GLOBAL EQUITY DIVIDEND& PREMIUM OPPORTUNITY FUND	9.20	45.99	
20013300	04/02/09	45684E107000	9	ING GLOBAL EQUITY DIVIDEND& PREMIUM OPPORTUNITY FUND	9.52	85.67	
20013400	04/14/09	45684E107000	7	ING GLOBAL EQUITY DIVIDEND& PREMIUM OPPORTUNITY FUND	9.64	67.47	
20013500	04/15/09	45684E107000	32	ING GLOBAL EQUITY DIVIDEND& PREMIUM OPPORTUNITY FUND	9.70	310.39	
20013600	04/16/09	45684E107000	.9355	ING GLOBAL EQUITY DIVIDEND& PREMIUM OPPORTUNITY FUND	9.68	9.05	
20013700	01/14/09	464287226000	5	ISHARES BARCLAYS US AGGR BOND FD	103.196	515.97	



MorganStanley SmithBarney

Client Statement Forms 1099 for 2009

Ref: 00000303 00007890

J HOWARD COBLE

Account Number

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1099-B Proceeds from Broker and Barter Exchange Transactions 2009 - continued

(OMB#1545-0715)

Reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120013900	08/14/09	464287226000	2	ISHARES BARCLAYS US AGGR BOND FD	\$ 103.297	\$ 206.60	
120014000	08/17/09	464287226000	3	ISHARES BARCLAYS US AGGR BOND FD	103.492	310.48	
120014300	03/16/09	464287242000	1	ISHARES IBOX \$ INVESTOP INVESTMENT GRADE CORP FD FD	92.78	92.77	
120014400	03/27/09	464287242000	1	ISHARES IBOX \$ INVESTOP INVESTMENT GRADE CORP FD FD	93.55	93.54	
120014500	04/15/09	464287242000	5	ISHARES IBOX \$ INVESTOP INVESTMENT GRADE CORP FD FD	95.51	477.53	
120015000	03/27/09	464288513000	1	ISHARES TR IBOX \$ HIGH YIELD CORP BD FD	70.092	70.08	
120015100	04/15/09	464288513000	4	ISHARES TR IBOX \$ HIGH YIELD CORP BD FD	72.084	288.33	
120015200	06/11/09	464288513000	2	ISHARES TR IBOX \$ HIGH YIELD CORP BD FD	79.385	158.76	
120015300	06/18/09	464288513000	5	ISHARES TR IBOX \$ HIGH YIELD CORP BD FD	77.532	387.65	
120015600	04/09/09	50208C108000	4	LMP REAL ESTATE INCOME FD	4.116	16.46	
120015700	04/09/09	50208C108000	4	LMP REAL ESTATE INCOME FD	4.116	16.46	
120015800	04/13/09	50208C108000	7	LMP REAL ESTATE INCOME FD	4.40	30.79	
120015900	04/15/09	50208C108000	30	LMP REAL ESTATE INCOME FD	4.36	130.79	
120016300	10/29/09	65337H109000	16	NFJ DIVIDEND INTEREST & PREMIUM STRATEGY FD	13.45	215.19	
120016400	10/30/09	65337H109000	.1795	NFJ DIVIDEND INTEREST & PREMIUM STRATEGY FD	13.536	2.42	
120017100	01/08/09	72200W106000	47	PIMCO MUN INCOME FD II	7.80	366.59	



ef: 00000303 00007891

J HOWARD COBLE

Account Number

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1099-B Proceeds from Broker and Barter Exchange Transactions 2009 - continued

(OMB#1545-0715)

Reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
20017300	06/18/09	73935X153000	54	POWERSHARES EXCHANGE-TRADED FD TR DWA TECHNICAL LEADERS PORTFOLIO	\$ 14.462	\$ 780.94	
20017600	06/17/09	73936Q207000	3	POWERSHARES DWA EMERGING MKTS	10.998	32.98	
20017700	06/18/09	73936Q207000	29	POWERSHARES DWA EMERGING MKTS	10.968	318.07	
20018100	04/15/09	78484A516000	4	SPDR LEHMAN INTL TREASURY BOND FUND	51.408	205.62	

\$ 24,312.72

totals



Client Statement
2009 Year End Summary

J HOWARD COBLE
Account Number [REDACTED]

We are pleased to provide you with this overview of activity in your account this year.
This information will help you understand the performance of your investments and manage your account with the assistance of your Financial Advisor. This information is not provided to the IRS.

Value of your portfolio

Description	Amount
Combined account balance	\$ 70.79
Closed end funds	18,061.25
Bank deposit program - principal	2,003.29
Value of your account on 12/31/09	\$ 20,135.33
Value of your account on 12/31/08	\$ 15,902.20

Earnings summary 2009

Due to IRS reporting requirements, these figures may not be the same as totals reported on your monthly statement.

Description	1099 Reported	1099 Exempt	Total
Other dividends **	\$ 189.74		\$ 189.74
Qualified dividends	95.27		95.27
Totals	\$ 285.01		\$ 285.01

**If you received money funds earnings, capital gain distributions or non-taxable distributions, they are included in this amount.

Summary of miscellaneous tax withheld 2009

The following taxes have been withheld in compliance with U.S. federal or foreign regulations.

Description	Amount
Foreign tax paid	\$ 1.36
Total	\$ 1.36

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000303 00007893

J HOWARD COBLE

Account Number [REDACTED]

Details of 1099 Reported Dividends and Distributions 2009, Boxes 1a - 2d

The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

Reference Number	Description	Total Ordinary Dividends Dividends* (Box 1a)	Short term capital gain* (Box 1a)	Qualified dividends (Box 1b)	Total capital gain distributions (Box 2a)	Unrecaptured Sec. 1250 gain (Box 2b)	Section 1202 gain (Box 2c)	Collectibles (28%) gain (Box 2d)
30000100	ALLIANCEBERNSTEIN INCOME FUND INC.	\$ 2.20						
30000200	FIRST TRISE WTR INDEX FD A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	4.45		4.45				
30000300	FIRST TRUST ETF NASDAQ-100 EX-TECH SECTOR INDES FD A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	4.39		4.39				
30000400	FIRST TRISE REVERE NAT GAS INDEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	1.90		1.90				
30000500	FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FD A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	7.29		7.29				
30000600	FIRST TRUST FINANCIALS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	16.36		12.21				
30000700	FIRST TRUST MATERIALS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	20.92		20.92				
30000800	FIRST TRUST UTILS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	12.86		12.86				

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000303 00007894

J HOWARD COBLE

Account Number - [REDACTED]

Details of 1099 Reported Dividends and Distributions 2009, Boxes 1a - 2d - continued

Reference number	Description	Total Ordinary Dividends Dividends* (Box 1a)	Qualified dividends (Box 1b)	Total capital gain distributions (Box 2a)	Unrecaptured Sec. 1250 gain (Box 2b)	Section 1202 gain (Box 2c)	Collectibles (28%) gain (Box 2d)
150000900	FIRST TRUST GLOBAL WIND ENERGY ETF THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	\$ 6.28	\$ 2.68				
150001000	FIRST TRUST USE GBL ENGRNG AND CONSTRUCTION INDEX FD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	6.35		6.35			
150001100	FIRST TR EPR/NAREIT DEVEL MKTS R/E INDEX FD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	25.70			3.25		
150001200	ING GLOBAL EQUITY DIVIDEND& PREMIUM OPPORTUNITY FUND THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	3.13			3.13		
150001300	ISHARES BARCLAYS US AGGR BOND FD	10.37					
150001400	ISHARES IBOX \$ INVESTOP INVESTMENT GRADE CORP FD FD	68.31					
150001500	ISHARES IBOX HIGH YLD CORP BOND FD	45.59					
150001600	LMP REAL ESTATE INCOME FD	6.00					
150001700	WESTERN ASSET MONEY MARKET FUND CLASS A SHARES (EX)	.37					
150001800	NFJ DIVIDEND INTEREST & PREMIUM STRATEGY FD A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	2.40			2.40		



Ref: 00000303 00007895

J HOWARD COBLE

Account Number

Details of 1099 Reported Dividends and Distributions 2009, Boxes 1a - 2d - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Description	Total Ordinary Dividends Dividends* (Box 1a)	Short term capital gain* (Box 1b)	Qualified dividends (Box 1b)	Total capital gain distributions (Box 2a)	Unrecaptured Sec. 1250 gain (Box 2b)	Section 1202 gain (Box 2c)	Collectibles (28%) gain (Box 2d)
50001900	NUVEEN QUALITY PFD INCOME FD	\$ 2.88						
50002000	NUVEEN QUALITY PFD INCOME FD 2	3.08						
50002100	POWERSHARES DWA EMERGING MKTS A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	8.71		7.57				
50002200	REVENUESHARES ADR FUND THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	6.91	.44	5.87				
50002300	SPDR LEHMAN INTL TREASURY BOND FUND THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	4.40						
Totals		\$ 270.85	\$.44	\$ 95.27				

The total of these two categories equals the amount reported in Form 1099-DIV, Box 1a.

Please note:

- "Dividends" includes both Qualified and non-qualified dividends received.
- "Total capital gain distributions" is a total of long term capital gain distributions and the amounts reported in Boxes 2b through 2d.



Ref: 00000303 00007896

J HOWARD COBLE

Account Number [REDACTED]

Details of 1099 Reported Dividends and Distributions 2009, Boxes 3 - 9

The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

Reference number	Description	Nondividend distributions (Box 3)	Federal income tax withheld (Box 4)	Investment expenses (Box 5)	Foreign tax paid (Box 6)	Cash liquidation distributions (Box 8)	Non cash liquidation distributions (Box 9)
150000900	FIRST TRUST GLOBAL WIND ENERGY ETF THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED						\$.14
150001000	FIRST TRUST ISE GBL ENGRNG AND CONSTRUCTION INDEX FD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED						.51
150001100	FIRST TR EPR/NAREIT DEVEL MKTS R/E INDEX FD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED						.35
150001200	ING GLOBAL EQUITY DIVIDEND& PREMIUM OPPORTUNITY FUND THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	13.72					.17

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000303 00007897

J HOWARD COBLE

Account Number

Details of 1099 Reported Dividends and Distributions 2009, Boxes 3 - 9 - continued

Reference number	Description	Nondividend distributions (Box 3)	Federal income tax withheld (Box 4)	Investment expenses (Box 5)	Foreign tax paid (Box 6)	Cash liquidation distributions (Box 8)	Non cash liquidation distributions (Box 9)
50002200	REVENUESHARES ADR FUND THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED						\$.15
50002300	SPDR LEHMAN INTL TREASURY BOND FUND THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED						.04
Totals							\$ 1.36

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	5	ALLIANCEBERNSTEIN INCOME FUND INC	02/19/09	03/23/09	\$ 35.24	\$ 34.22		\$ 1.02
250000020	15	ALLIANCEBERNSTEIN INCOME FUND INC	02/20/09	03/26/09	105.95	96.98		8.97
125000030	13	ALLIANCEBERNSTEIN INCOME FUND INC	02/19/09	03/26/09	91.82	88.95		2.87
125000040	2	ALLIANCEBERNSTEIN INCOME FUND INC	02/19/09	03/26/09	14.13	13.69		.44
125000050	8	ALLIANCEBERNSTEIN INCOME FUND INC	02/20/09	03/27/09	56.50	51.72		4.78
125000060	15	ALLIANCEBERNSTEIN INCOME FUND INC	02/20/09	03/27/09	7.06	6.47		.59
125000070	3108	ALLIANCEBERNSTEIN INCOME FUND INC	03/04/09	04/01/09	105.91	98.67		7.24
		LIQUIDATION OF FRACTIONAL SHS			2.21	2.20		.01

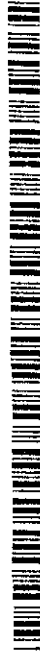


Ref: 00000303 00007898

J HOWARD COBLE
Account Number

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000070	3	FIRST TR ISE WTR INDEX FD Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/29/09	06/18/09	\$ 47.78	\$ 46.74		\$ 1.04
125000080	35	FIRST TR ISE WTR INDEX FD	04/29/09	10/29/09	614.97	545.27		69.70
	1	MorganStanley SmithBarney LLC	05/06/09		17.57	15.95		1.62
	1	acted as your agent in this transaction.	06/17/09		17.57	15.77		1.80
125000090	31	FIRST TR EXCH TRADED FD FIRST TR AMEX BIOTECHNOLOGY INDX FD	12/16/08	01/14/09	604.23	585.86		18.37
125000100	40	FIRST TR EXCH TRADED FD FIRST	12/16/08	05/06/09	746.42	755.94	(9.52)	
	9	TR AMEX BIOTECHNOLOGY INDX FD	01/02/09		167.94	179.13	(11.19)	
	1		03/16/09		18.66	18.08		.58
	12		04/15/09		223.93	226.73	(2.80)	
125000110	1	FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD Morgan Stanley Smi th Barney LLC acted as yo	02/26/09	06/17/09	18.47	14.07		4.40
125000120	6	FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD Morgan Stanley Smi th Barney LLC acted as yo	02/26/09	06/18/09	111.54	84.45		27.09
125000130	51	FIRST TRUST ETF NASDAQ-100 EX-TECH SECTOR INDES FD	12/16/08	01/14/09	589.58	610.17	(20.59)	
125000140	5	FIRST TRUST ETF NASDAQ-100 EX-TECH SECTOR INDES FD	12/16/08	01/23/09	57.70	59.82	(2.12)	
125000150	9	FIRST TRUST ETF NASDAQ-100 EX-TECH SECTOR INDES FD Morgan Stanley Smi th Barney LLC acted as yo	12/16/08	06/18/09	124.37	107.68		16.69
125000160	1	FIRST TRUST ETF NASDAQ CLEAN EDGE U.S. LIQUID SER INDX FD	01/14/09	01/23/09	10.59	10.50		.09
125000170	85	FIRST TRUST ETF NASDAQ CLEAN	01/14/09	04/29/09	1,017.23	892.49		124.74
	3	EDGE GREEN ENERGY INDX FD	02/26/09		35.90	28.79		7.11
	5		03/16/09		59.84	47.21		12.63
	7		04/15/09		83.77	81.89		1.88
125000180	30	FIRST TRUST S&P REIT INDEX FD	12/16/08	01/14/09	235.56	275.53	(39.97)	



Ref: 00000303 00007899

J HOWARD COBLE

Account Number [REDACTED]

Details of Short Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000190	61	FIRST TRUST S&P REIT INDEX	12/16/08	02/18/09	\$ 396.23	\$ 560.25	(\$ 164.02)	
	12	FD	01/02/09		77.95	112.63	(34.68)	
125000200	4	FIRST TR ISE REVERE NAT GAS INDEX FUND	05/13/09	06/18/09	55.06	52.56		2.50
		Morgan Stanley Sml th Barney LLC acted as yo						
125000210	43	FIRST TR ISE REVERE NAT GAS INDEX FUND	05/13/09	06/23/09	520.46	564.98	(44.52)	
		Morgan Stanley Sml th Barney LLC acted as yo						
125000220	4	FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FD	04/22/09	06/18/09	44.17	42.71		1.46
		Morgan Stanley Sml th Barney LLC acted as yo						
125000230	40	FIRST TRUST CONSUMER STAPLES ALPHADEX FUND	12/16/08	01/14/09	540.84	549.81	(8.97)	
125000240	43	FIRST TRUST CONSUMER STAPLES ALPHADEX FUND	12/16/08	04/22/09	602.74	591.05		11.69
125000250	1	FIRST TRUST CONSUMER STAPLES ALPHADEX FUND	12/16/08	04/29/09	14.60	13.75		.85
125000260	15	FIRST TRUST CONSUMER STAPLES ALPHADEX FUND	12/16/08	05/13/09	220.81	206.18		14.63
	12		01/02/09		176.65	172.29		4.36
	15		04/15/09		220.81	203.64		17.17
125000270	10	FIRST TRUST ENERGY ALPHADEX FUND	01/14/09	01/23/09	110.29	108.12		2.17
125000280	79	FIRST TRUST ENERGY	01/14/09	04/15/09	863.18	854.18		9.00
	16	ALPHADEX FUND	02/26/09		174.82	156.47		18.35
	1		03/16/09		10.93	9.37		1.56
	4		03/27/09		43.70	40.67		3.03
125000290	3	FIRST TRUST FINANCIALS ALPHADEX FUND	04/15/09	06/17/09	28.19	25.06		3.13
		Morgan Stanley Sml th Barney LLC acted as yo						
125000300	9	FIRST TRUST FINANCIALS ALPHADEX FUND	04/15/09	06/18/09	85.08	75.17		9.91
		Morgan Stanley Sml th Barney LLC acted as yo						



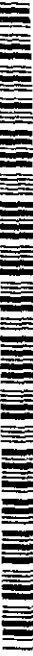
Ref: 00000303 00007900

J HOWARD COBLE

Account Number [REDACTED]

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000310	43	FIRST TRUST HEALTH CARE ALPHADEX FUND	12/16/08	01/14/09	\$ 604.77	\$ 587.33		\$ 17.44
125000320	7	FIRST TRUST HEALTH CARE ALPHADEX FUND Morgan Stanley Sml th Barney LLC acted as yo	12/16/08	06/18/09	111.01	95.61		15.40
125000330	7	FIRST TRUST INDUSTRIALS/ PRODUCER DURABLES ALPHADEX FD	01/14/09	01/23/09	69.77	70.25	(.48)	
125000340	89	FIRST TRUST INDUSTRIALS/ PRODUCER DURABLES ALPHADEX FD	01/14/09	03/11/09	728.01	893.18	(165.17)	
125000350	6	PRODUCER DURABLES ALPHADEX FD	02/26/09		49.08	52.62	(3.54)	
125000360	40	FIRST TRUST MATERIALS ALPHADEX FUND	12/24/08	01/14/09	433.54	450.82	(17.28)	
125000370	4	FIRST TRUST MATERIALS ALPHADEX FUND	12/24/08	04/29/09	50.79	45.08		5.71
125000380	4	FIRST TRUST MATERIALS ALPHADEX FUND Morgan Stanley Sml th Barney LLC acted as yo	12/24/08	06/17/09	54.69	45.08		9.61
125000390	8	FIRST TRUST MATERIALS ALPHADEX FUND Morgan Stanley Sml th Barney LLC acted as yo	12/24/08	06/18/09	112.25	90.16		22.09
125000400	44	FIRST TRUST UTILS ALPHADEX FUND	12/16/08	01/14/09	537.68	571.74	(34.06)	
125000410	48	FIRST TRUST UTILS ALPHADEX FUND	12/16/08	04/29/09	576.18	623.72	(47.54)	
125000420	2	FIRST TRUST UTILS ALPHADEX FUND Morgan Stanley Sml th Barney LLC acted as yo	12/16/08	06/17/09	26.41	25.99		.42
125000430	5	FIRST TRUST UTILS ALPHADEX FUND Morgan Stanley Sml th Barney LLC acted as yo	12/16/08	06/18/09	66.19	64.97		1.22
125000440	10	FIRST TRUST UTILS ALPHADEX FUND	12/16/08	10/29/09	140.45	129.94		10.51
125000450	13	ALPHADEX FUND	01/02/09		182.58	171.85		10.73
125000460	3	Morgan Stanley Smith Barney LLC acted as your agent	02/26/09		42.13	33.91		8.22
125000470	1		03/16/09		14.04	10.86		3.18



Ref: 00000303 00007901

J HOWARD COBLE

Account Number [REDACTED]

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y									
Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain	
4	13		03/27/09		\$ 56.18	\$ 47.41		\$ 8.77	
			04/15/09		182.59	154.02		28.57	
125000440	2	FIRST TRUST NASDAQ-100 TECH SECTOR INDEX FUND Morgan Stanley Sml th Barney LLC acted as yo	03/11/09	06/17/09	31.12	23.12		8.00	
125000450	8	FIRST TRUST NASDAQ-100 TECH SECTOR INDEX FUND Morgan Stanley Sml th Barney LLC acted as yo	03/11/09	06/18/09	125.00	92.48		32.52	
125000460	37	FIRST TRUST NASDAQ-100 TECH SECTOR INDEX FUND Morgan Stanley Sml th Barney LLC acted as yo	03/11/09	07/30/09	651.62	427.72		223.90	
125000470	20	FIRST TRUST NASDAQ-100 TECH SECTOR INDEX FUND Morgan Stanley Sml th Barney LLC acted as yo	03/11/09	09/16/09	381.79	231.20		150.59	
	8		03/16/09		152.72	94.00		58.72	
	9		04/15/09		171.80	124.64		47.16	
125000480	38	FIRST TRUST GLOBAL WIND ENERGY ETF	12/16/08	01/14/09	430.41	473.40	(42.99)		
125000490	9	FIRST TRUST GLOBAL WIND ENERGY ETF	12/16/08	01/23/09	102.33	112.12	(9.79)		
125000500	68	FIRST TRUST GLOBAL WIND ENERGY ETF	12/16/08	02/24/09	690.19	847.14	(156.95)		
	9		01/02/09		91.35	115.36	(24.01)		
125000510	11	FIRST TRUST GLOBAL WIND ENERGY ETF	07/30/09	12/23/09	164.34	163.15		1.19	
	12		08/05/09		179.29	184.17	(4.88)		
125000520	34	FIRST TRUST ISE GLBL ENGRNG AND CONSTRUCTION INDEX FD	01/14/09	02/24/09	826.19	960.49	(134.30)		
125000530	1	FIRST TRUST ISE GLBL ENGRNG AND CONSTRUCTION INDEX FD Morgan Stanley Sml th Barney LLC acted as yo	04/15/09	06/18/09	33.86	29.97		3.89	
125000540	18	FIRST TRUST ISE GLBL ENGRNG AND CONSTRUCTION INDEX FD Morgan Stanley Sml th Barney LLC acted as yo	04/15/09	07/08/09	566.08	539.42		26.66	



Morgan Stanley Smith Barney

Client Statement 2009 Year End Summary

Ref: 00000303 00007902

J HOWARD COBLE

Account Number

Details of Short Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000550	7	FIRST TRUST FTSE EPRA/NAREIT GLOBAL REAL ESTATE INDEX FUND	12/24/08	01/14/09	\$ 143.09	\$ 164.62	(\$ 21.53)	
125000580	2	FIRST TRUST FTSE EPRA/NAREIT GLOBAL REAL ESTATE INDEX FUND	12/24/08	01/23/09	41.71	47.03	(5.32)	
125000570	13	FIRST TRUST FTSE EPRA/NAREIT GLOBAL REAL ESTATE INDEX FUND	12/24/08	02/12/08	248.29	305.72	(57.43)	
	4	GLOBAL REAL ESTATE INDEX FUND	01/02/09		76.40	98.93	(22.53)	
125000580	4	GREENHAVEN CONTINUOUS	03/26/09	07/08/09	86.88	88.87	(1.99)	
	5	COMMODITY INDEX FD	03/27/09		108.60	109.76	(1.16)	
	15	UNIT BEN INT	03/27/09		325.78	329.28	(3.50)	
		Morgan Stanley Smi						
125000590	5	ING GLOBAL EQUITY DIVIDEND& PREMIUM OPPORTUNITY FUND	02/23/09	04/01/09	45.99	39.36 R		6.63
125000600	9	ING GLOBAL EQUITY DIVIDEND& PREMIUM OPPORTUNITY FUND	02/23/09	04/02/09	85.67	70.85 R		14.82
125000610	7	ING GLOBAL EQUITY DIVIDEND& PREMIUM OPPORTUNITY FUND	02/23/09	04/14/09	67.47	54.20 R		13.27
125000620	32	ING GLOBAL EQUITY DIVIDEND& PREMIUM OPPORTUNITY FUND	02/23/09	04/15/09	310.39	247.77 R		62.62
125000630	.9355	ING GLOBAL EQUITY DIVIDEND& PREMIUM OPPORTUNITY FUND	03/25/09	04/16/09	9.05	8.15		.90
		LIQUIDATION OF FRACTIONAL SHS						
125000640	5	ISHARES BARCLAYS US AGGR BOND FD	12/16/08	01/14/09	515.97	505.45		10.52
125000650	2	ISHARES BARCLAYS US AGGR BOND FD	06/18/09	08/14/09	206.60	202.47		4.13
		Morgan Stanley Smi						
125000660	3	ISHARES BARCLAYS US AGGR BOND FD	06/18/09	08/17/09	310.48	303.71		6.77
		Morgan Stanley Smi						
125000670	1	ISHARES IBOX \$ INVESTOP INVESTMENT GRADE CORP FD FD	12/16/08	03/16/09	92.77	94.47	(1.70)	
125000680	1	ISHARES IBOX \$ INVESTOP INVESTMENT GRADE CORP FD FD	12/16/08	03/27/09	93.54	94.47	(.93)	
125000690	5	ISHARES IBOX \$ INVESTOP INVESTMENT GRADE CORP FD FD	12/16/08	04/15/09	477.53	472.34		5.19



Ref: 00000303 00007903

J HOWARD COBLE

Account Number

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000700	1	ISHARES TR IBOXX \$ HIGH YIELD CORP BD FD	01/14/09	03/27/09	\$ 70.08	\$ 74.21	(\$ 4.13)	
125000710	4	ISHARES TR IBOXX \$ HIGH YIELD CORP BD FD	01/14/09	04/15/09	288.33	296.84	(8.51)	
125000720	2	ISHARES TR IBOXX \$ HIGH YIELD CORP BD FD Morgan Stanley Sml th Barney LLC acted as yo	01/14/09	06/11/09	158.76	148.42		10.34
125000730	3	ISHARES TR IBOXX \$ HIGH YIELD CORP BD FD Morgan Stanley Sml th Barney LLC acted as yo	01/14/09	06/18/09	232.59	222.64		9.95
125000750	2	ISHARES TR IBOXX \$ HIGH YIELD CORP BD FD Morgan Stanley Sml th Barney LLC acted as yo	01/23/09	06/18/09	155.06	147.15		7.91
125000740	4	LMP REAL ESTATE INCOME FD	03/05/09	04/09/09	16.46	11.31		5.15
125000750	4	LMP REAL ESTATE INCOME FD	03/05/09	04/09/09	16.46	11.31		5.15
125000760	7	LMP REAL ESTATE INCOME FD	03/05/09	04/13/09	30.79	19.80		10.99
125000770	30	LMP REAL ESTATE INCOME FD	03/05/09	04/15/09	130.79	84.84		45.95
125000780	16	NFJ DIVIDEND INTEREST & PREMIUM STRATEGY FD MorganStanley SmithBarney LLC acted as your agent	08/14/09	10/29/09	215.19	203.72		11.47
125000790	.1795	NFJ DIVIDEND INTEREST & PREMIUM STRATEGY FD LIQUIDATION OF FRACTIONAL SHS	10/07/09	10/30/09	2.42	2.40		.02
125000800	47	PIMCO MUN INCOME FD II	12/31/08	01/08/09	366.59	310.60		55.99
125000810	54	POWERSHARES EXCHANGE-TRADED FD TR DWA TECHNICAL LEADERS PORTFOLIO Morgan Stanley Sml	05/06/09	06/18/09	780.94	773.37		7.57
125000820	3	POWERSHARES DWA EMERGING MKTS Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/29/09	06/17/09	32.98	29.85		3.13
125000830	29	POWERSHARES DWA EMERGING MKTS Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/29/09	06/18/09	318.07	288.56		29.51



Ref: 00000303 00007904

J HOWARD COBLE

Account Number XXXXXXXXXX

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000840	4	SPDR LEHMAN INTL TREASURY BOND FUND	12/16/08	04/15/09	\$ 205.62	\$ 216.28	(\$ 10.66)	
Total					\$ 24,312.72	\$ 23,995.53	(\$ 1,118.76)	\$ 1,435.95

R The basis for this tax lot has been adjusted due to a reclassification of income

Details of Deposits and Withdrawals 2009

This section reflects any deposits or withdrawals made to your account during the year.

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	01/16/09	INVESTMENT AND MGMT SERVICES FROM 01/01/09 TO 03/31/09		\$ 69.28
220000300	07/17/09	INVESTMENT AND MGMT SERVICES FROM 07/01/09 TO 09/30/09		70.82
Total				\$ 286.90

Reference number	Date	Description	Referral number	Amount
220000200	04/17/09	INVESTMENT AND MGMT SERVICES FROM 04/01/09 TO 06/30/09		\$ 70.05
220000400	10/16/09	INVESTMENT AND MGMT SERVICES FROM 10/01/09 TO 12/31/09		76.75

As you requested, copies of this document have also been sent to:

ED McDONALD, CHIEF OF STAFF

2009 YEAR END SUMMARY

