

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A  
For use by Members, officers, and employees

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Kevin McCarthy

(Full Name)

202-225-2915

(Daytime Telephone)

2010 MAY 17 PM 3:05

(Office Use Only)

**HAND  
DELIVERED**  
LEGISLATIVE RESOURCE CENTER

|              |   |   |  |                                      |   |
|--------------|---|---|--|--------------------------------------|---|
| Filer Status | <input checked="" type="checkbox"/> Member of the U.S. House of Representatives | State: CA<br>District: 22                           | <input type="checkbox"/> Officer Or Employee | Employing Office:                    | U.S. HOUSE OF REPRESENTATIVES<br>A \$200 penalty shall be assessed against anyone who files more than 30 days late. |
|              | Report Type   | <input checked="" type="checkbox"/> Annual (May 15) | <input type="checkbox"/> Amendment           | <input type="checkbox"/> Termination |   |

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

|   |   |   |   |
|---|---|---|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| II. If yes, complete and attach Schedule I.<br>Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VII. If yes, complete and attach Schedule VI.<br>Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| III. If yes, complete and attach Schedule II.<br>Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VIII. If yes, complete and attach Schedule VII.<br>Did you hold any reportable positions on or before the date of filing in the current calendar year?  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| IV. If yes, complete and attach Schedule III.<br>Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?                                      | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | IX. If yes, complete and attach Schedule IX.<br>Did you have any reportable agreement or arrangement with an outside entity?  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| V. If yes, complete and attach Schedule IV.<br>Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.  |   |

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

|   |   |
|---|---|
| Trusts--<br>Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?                      | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions--<br>Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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| BLOCK A<br>Asset and/or Income Source   |                                    | BLOCK B<br>Year-End<br>Value of Asset  | BLOCK C<br>Type of Income   | BLOCK D<br>Amount of Income  | BLOCK E<br>Transaction   |
|---|------------------------------------|--|---|--|--|
| <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p> |                                    | <p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p> | <p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p> | <p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p> | <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p> |
|   | Alexander & Baldwin Inc. Stock     | \$1,001 - \$15,000   | DIVIDENDS   | \$201 - \$1,000  |  |
|   | Alliance Growth and Income Class C | \$15,001 - \$50,000  | DIVIDENDS   | \$1 - \$200  |  |
| DC  | American Funds - Growth Funds      | \$1,001 - \$15,000   | None  | NONE   |  |
| DC  | American Funds - Growth Funds      | \$1,001 - \$15,000   | None  | NONE   |  |
|   | Calamos Growth Fund Class A        | \$1,001 - \$15,000   | None  | NONE   |  |
|   | Diageo PLC Sponsored Adr New Stock | \$1,001 - \$15,000   | DIVIDENDS   | \$201 - \$1,000  |  |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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|                                     |                     |               |                 |   |
|-------------------------------------|---------------------|---------------|-----------------|---|
| Duke Energy Corp New Com Stock      | \$1,001 - \$15,000  | DIVIDENDS     | \$1 - \$200     |   |
| Golden One Credit Union             | \$1,001 - \$15,000  | INTEREST      | \$1 - \$200     |   |
| Growth Fund of America Class C      | \$15,001 - \$50,000 | DIVIDENDS     | \$1 - \$200     | P |
| International Game Technology Stock | \$1,001 - \$15,000  | DIVIDENDS     | \$1 - \$200     |   |
| Karpe Mortgage                      | \$1,001 - \$15,000  | INTEREST      | \$201 - \$1,000 |   |
| Kern Schools Federal Credit Union   | \$1,001 - \$15,000  | INTEREST      | \$1 - \$200     |   |
| New Economy Fund Class A            | \$15,001 - \$50,000 | DIVIDENDS     | \$1 - \$200     |   |
| New Perspective Fund Class A        | \$15,001 - \$50,000 | DIVIDENDS     | \$1 - \$200     |   |
| New World Fund Class A              | \$1,001 - \$15,000  | DIVIDENDS     | \$1 - \$200     |   |
| OCM Gold Fund                       | None                | CAPITAL GAINS | \$1 - \$200     | E |
| OCM Gold Fund 67087F101             | \$1,001 - \$15,000  | CAPITAL GAINS | \$201 - \$1,000 | E |

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP,<br>DC,<br>JT | Asset  | Type of<br>Transaction | Capital<br>Gain in<br>Excess<br>of \$200? | Date    | Amount of Transaction |
|------------------|--|------------------------|---|---------|-----------------------|
|                  | Growth Fund of America Class C                                   | P                      | No  | 9-4-09  | \$1,001 - \$15,000    |
|                  | OCM Gold Fund (old name) / OCM Gold Fund<br>67087F101 (new name) | E                      | No  | 11-5-09 | \$1,001 - \$15,000    |