<u>₹</u>	Yes	ependent child	ilities of a spouse or de Committee on Ethics.	"unearned" income, transactions, or liabil unless you have first consulted with the C	arned" income ss you have fir	er assets, "une wer "yes" unle	is report any othe tion? Do not ans	<b>EXEMPTION</b> —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	they mee	becaus
<b>№</b>	es	not be	ent child?	s and certain other "ex spouse, or a depender	ittee on Ethicing you, your	by the Comn a trust benefi	d Trusts" approved ort details of such	TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	S—Details d. Have yo	TRUST disclose
Ø	EACH OF THESE QUESTIONS	OF THESE	ISWER EACH (	 	TINFORI	OR TRUS	DEPENDENT, OR TRUST INFORMATION	EXCLUSION OF SPOUSE, DE	NOISC	EXCL
	response.	each "Yes"	lule attached for	ppropriate sched	and the a	) answered	part must be	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response	Ĕ	
No	Yes	\$5,000 from	mpensation of more than \$5,000 from two prior years?	VI. Did you receive com a single source in the tw If yes, complete and at	N <sub>o</sub>	Yes	ave any report- rting period?	III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	ou, your spo lity (more th emplete and	III. Did ) able liab If yes, c
<del>₹</del>	Yes	rrangement	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	V. Did you have any rep with an outside entity? If yes, complete and at	S O	% ∑	ceive "unearned" l or hold any ind of the period?	II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	ou, your spo f more than e asset wor implete and	II. Did y income o reportab
<u>\$</u>	es N	before the date or two years?	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	IV. Did you hold any rep of filing in the current ca If yes, complete and at	ě	Yes	(e.g., salaries or orting period?	<ol> <li>Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?</li> <li>If yes, complete and attach Schedule I.</li> </ol>	u or your sp 200 or mon	i. Did yo fees) of a if yes, o
			<u> </u>	E QUESTIONS	EACH OF THESE		I — ANSWER	In all sections, please type or print clearly in blue or black ink PRELIMINARY INFORMATION — ANSW	tions, pleas MINAR	PREL
1110	more than 30 days late.	more than				Office:	Employing Office	New officer or employee		Status
assessed		A \$200 per	Check if Amendment	Election: NOV 2012	Date of Election	I'A	State:s District:	Candidate for the House of Representatives	×	Filer
(	(Office Use Only)									
Z	OFFICE OF THE CULTA S. HOUSE OF REPRESENTATIVES	OFFICE UP		Daytime Telephone:	Daytime	3	. PERKINS	MRISTOPHER S.		Name:
	PM 3: 47	DRIP OCT 17 PM 3: 47			-					
	HOISI ATIVE RESOURCE CLATES	GIS! ATIVE RE		For use by candidates and new employees		DET 30, 2012	SEPTE MER	Period covered: January 1, 2011 - SEPT	covered	Perioc
Page 1 of 6		DELIVERED	<b>X</b>	FORM		TIVES	EPRESENTA	UNITED STATES HOUSE OF REPRESENTATIVES	ATS G	TINU
	S	HA							-	•

# SCHEDULE I — EARNED INCOME (INCLUDING HONORARIA)

Name CHRISTOPHER S. PERKINS

Page 2 of 6

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Exclude: Williamy pay (such as induction during or reserve pay), redetal remember programs, and periodic received discernic occial security Act.  Amount	Tenient programs, and beneals re	Amount the Social Sec	pecurity Act.
Source (include date of receipt for honoraria)	Type	Current Year to Filing	Preceding Year
XYZ Corporation, Houston, TX	Salary	\$6,300	\$28,450
Examples: First Bank & Trust, Houston, TX	Director's Fee	\$400	\$3,200
XYZ Trade Association, Chicago, IL (Rec'd December 2)	Honorarium	0	\$1,000
Harris County, Texas Public Schools	Spouse Salary	NA	NA
LEGG, PERKINS & ASSOCIATES, LLC (WASH OC)	SALACY	p/m	\$ 19,500
VETTEM, LLC (CAMISLE, M)	RETAINER		\$ 55,849
BLACKBIRD TECHNOLOGIES, INC (HERNOW, VA)	RETAINER	ø/w	000/5/5
NEOSTEM, INC (NEW YORK, NY)	REIMBURSABLE FLES	Ø	6 1,660
EXPONENT, INC (ALEXANDRIA, VA)	RETAINER	do sacht	g 12,500
BUT STRATEGIES, LLC (ALEXANDRIA, VA)	SALAKY		ACA
	2.2.2		
	200		

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•	-				3	Н		-	account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or its jointly held with your spouse (JT), in the optional column on the far left.  For a detailed discussion of Schedule II requirements, please refer to the instruction booklet.	Asset and/or income Source ldentify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use ticker symbols).  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the
Problem	2 2	STABTSPARKASSE CHPCKUNG AC	34.3 AND		HOUSE :		Examples:		not the not th	BLOCK A  Asset and/or Income Source tity (a) each asset held for investment or produce some with a fair market value exceeding \$1,00 end of the reporting period, and (b) any or table asset or sources of income which gener table asset or sources of income during the y than \$200 in "unearned" income during the y than \$200 in "unearned" income during the y to complete names of stocks and mutual fi tide complete names
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~>	<u>_</u> '	£ \$	ADOUN CKING A	68	. X	並	ត	<u>₹</u>	rread added the added and added adde	B set the firm to the country with the c
125. 186. 184	TIEN.	£ 2	7 2	ΞÈ	SOUTH	Ē	ğ	ga	ll professiones in the ed act in ed act i	BLOCK A for Inco or Inco or Inco theid for inv market value rting period purces of inc rearned" inc rearned" inc rearned inc rearned (i.e. retirement lirected (i.e. il not exercia il not exercia vide the val wide the value of the i
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Ar Ins Brick	NATIONAL IFE POHCY		L CARBLE ACCOUNT	<b>6</b>	4	1st Bank of Paducah, KY accounts	Simon & Schuster	SP Mega Corp. Stock	prive sent lication with year of the sent lication with the sent lication with year of the sent lication with the sent lication with year of the sent lication with t	Omnode A
4.	(c)	Spatter.	1	MANTS	20217	ğ	Ster	욵	He course of the	me de with the same de
CY 1005-HR/AMER	•	146	33	8	E3	Ę			required to sport	ton hich walks and hick walks and hi
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	, ,		_		3	8	╄-			
						┨			None > \$1 - \$1,000	Value of Asset Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."
	X	$\sim$	-			▮	Ī		\$1,001 – \$15,000 O	cattle hoc
$\mathbf{x}$		<u> </u>					Indefinite	П	\$15,001 - \$50,000	The section of the se
			$\times$				計	×	\$50,001 - \$100,000 m	Value of Asset value of asset at ting year. If you u method other the value, please speused.  Set was sold during year and is included and is included the value should the value should
						×			\$100,001 - \$250,000 TI	Je of ass Je of ass Je of ass Year. If y Sthod oth Sthod oth Otherse J. Please d. Value sh
				$\ge$					\$250,001 – \$500,000 ຄ	as as as as
									\$500,001 - \$1,000,000 I	hou sin
					<u> </u>	L			\$1,000,001 - \$5,000,000 -	lited lining per set
						L	<u> </u>		\$5,000,001 - \$25,000,000	— de sity an i
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		ļ				L	<u> </u>		Over \$50,000,000	<u> </u>
				X		┖	▙	×	NONE	
						_	<u>i                                    </u>	<u> </u>	DIVIDENDS	Type of Income  Check all columns that applieretirement accounts that diallow you to choose specific in ments or that generate deferred income (such as plans or IRAs), you may cheritax-Deferred column. Divide interest, and capitat gains, if retrovested, must be discast income. Check "None" asset generated no income of the reporting period.
						×	∔_	-	RENT	porter before all porter befor
		$\sim$	$\sim$		<u> </u>	▙	⊢		INTEREST	BLOCK C  Type of Income all columns that appoint accounts that in to choose specific or that generate income (such as r IRAs), you may chistered column. Divit, sind capitat gainst, t, and capitat gainst, t, and capitat gainst me. Check "None' enerated no income orting period.
	ļ <u>.</u>					┣	<del> </del>		CAPITAL GAINS	BLOCK C  BLO
						╀	-	$\vdash$	EXCEPTED/BLIND TRUST	ind ecker
						▙	┼		TAX-DEFERRED	ncome that apply that apply the specific is generate such as a may che although that gains, at be disc to income of d.
3	2					ı	공		Other Time of Issems	ap ap a
1	N/A				ĺ	ı	Royalties		Other Type of Income	deck of he
						l	es		(Specify: e.g., Partnership Income or Farm Income)	Type of Income  Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the plans or IRAs), you may check the plans or IRAs), you may check the plans or IRAs, you may check the fax-Deferred column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.
				X		┢			None –	0020001127
		><	X		<u> </u>	t	1		\$1 - \$200 =	
					<b> </b>	T		П	\$201 - \$1,000 =	1
		<u> </u>	<u> </u>			Г		×	\$1,001 - \$2,500 <	<b>₹<b>₽</b>₩₩ # ≛□∏</b>
		l				×	×		\$2,501 - \$5,000	of Barbara Service
		1							\$5,001 − \$15,000 ≤	me cat
						Γ			\$1,001 - \$2,500	Amount of income  For assets for which you checked "Tax- Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, Interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.
									\$15,001 - \$50,000 \(\leq\) \(\	
									\$100,001 – \$1,000,000 ×	namin Dec
						Ĺ			\$1,000,001 - \$5,000,000 ×	l sed
						L			Over \$5,000,000	Amount of income for which you che Block C, you may mn. For all other assury of income by check box below. Dividend gains, even if releved as income. Check earned or generated.
				X					None –	
		$\geq$	$\succeq$						\$1 – \$200 =	
						L		X	\$201 – \$1,000 <b>=</b>	ch ma ass
		<b>.</b>			<u> </u>	L	<u> </u>	_	\$1,001 – \$2,500 < 0	Section of
						Ľ	×		\$2,501 – \$5,000 <	ked lings in the lines in the l
	<u> </u>				ļ	L	<u> </u>	Ш	\$5,001 - \$15,000 \(\leq\)	<b>a. H. B. A.</b> A.
		ļ					<u> </u>		\$1,001 - \$2,500 \\ \$2,501 - \$5,000 \\ \$2,501 - \$5,000 \\ \$5,001 - \$15,000 \\ \$15,001 - \$50,000 \\ \$50,001 - \$100,000 \\ \$100,001 - \$1,000 \\ \$100,001 - \$1,000,000 \\ \$100,001 - \$1,000,000 \\ \$100,001 - \$1,000,000 \\ \$100,001 - \$1,000,000 \\ \$100,001 - \$1,000,000 \\ \$100,001 - \$1,000,000 \\ \$100,001 - \$1,000,000 \\ \$100,001 - \$1,000,000 \\ \$100,001 - \$1,000,000 \\ \$100,001 - \$1,000,000 \\ \$100,001 - \$1,000,000 \\ \$100,001 - \$1,000,000 \\ \$100,001 - \$1,000,000 \\ \$100,001 - \$1,000,000 \\ \$100,001 - \$1,000,000 \\ \$100,001 - \$1,000 \\ \$100,001 - \$1,000,000 \\ \$100,001 - \$1,0	you checked "Tax- you checked the you may check the you may checking the other assets, indicate ne by checking the Dividends, interest, n if reinvested, must e. Check "None" if no generated.
					ļ	L		$\sqcup$	\$50,001 - \$100,000	
						-	:	4	\$100,001 - \$1,000,000 😾 🚆	-
						-	┼	$\vdash$	7.	l
						_	-		\$1,000,001 - \$5,000,000 ×  Over \$5,000,000 ×	

# SCHEDULE II — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed) 77 ধ S SP 8 Ħ Asset and/or Income Source ROTH 401K ACCT: XXXX-2312 ACCT: XXXX-5785 ACT: XXX - 6985 ATTACHMENT C ATTACHMENT A ATTACHMENT D Acct : xxx - 2722 ROTH IRA Par: XXX - 5432 ATTACHMENT E Attachment 8 ATTACHMENT F HECT: XXXX-0886 BLOCK A None œ \$1 - \$1,000 C \$1,001 - \$15,000 0 \$15,001 - \$50,000 Value of Asset m \$50,001 - \$100,000 BLOCK B Ti \$100,001 - \$250,000 \$250,001 - \$500,000 ດ I \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 ے \$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000 ㅈ Over \$50,000,000 NONE DIVIDENDS RENT Type of Income INTEREST **CAPITAL GAINS** BLOCK C **EXCEPTED/BLIND TRUST** TAX-DEFERRED Other Type of Income (Specify: e.g., Partnership Income or Farm Income) None \$1 - \$200 CHRISTOPHER S. PERKINS \$201 - \$1,000 **Current Year** \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 XI VIII VIII IX \$15,001 - \$50,000 \$50,001 - \$100,000 Amount of Income \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 × BLOCK D Over \$5,000,000  $\succeq$ None \$1 - \$200 = \$201 - \$1,000 Ξ Preceding Year \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000  $\overline{\mathsf{x}}$ \$1,000,001 - \$5,000,000 Over \$5,000,000

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ATTACHMENT G

## SCHEDULE III — LIABILITIES

Name Page 5 of 6

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

				J D S	
	2000		Example: First Bank of Wilmington, DE	Creditor	
			May 1998	Date Liability Incurred mo/year	
	NONE		Mortgage on 123 Main Street, Dover, DE	Type of Liability	
				\$10,001— \$15,000	
				\$15,001— <b>w</b>	
	,			\$50,001— \$100,000	
			×	\$100,001— \$250,000	}
				\$250,001— m	
				\$500,001— \$1,000,000	Amount of Liability
					₹
				\$5,000,001— \$25,000,000 <b>T</b>	
				\$25,000,001—_ \$50,000,000	
1 1 1			1	Over	

## **SCHEDULE IV — POSITIONS**

any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise,

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization);

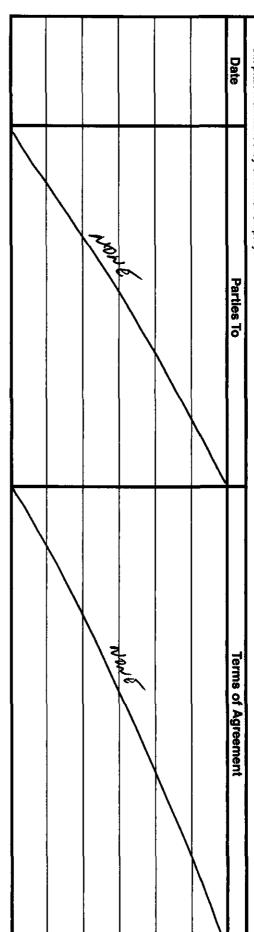
and positions solely of an nonorary flature.	awie.
Position	Name of Organization
PRINCIPLE	BWT STRATEGIES, LLC
BOAKD MEMBER	NATIONAL DEFENSE INDUSTRIAL ASSOCIATION, SO/LIC DIVISION

# SCHEDULE V — AGREEMENTS

Name CHRISTOPHER S. PERKINS

Page 6 of 6

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.



# SCHEDULE VI — COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule I.

Source (Name and Address)	Brief Description of Dutles
Example: Doe Jones & Smith, Hometown, Homestate	Accounting services
EXPONENT (ALEXANDRIA, VA)	CONSULTING SERVICES
VETTEM, LLC (CANUSIE, PA)	CONSULTING SERVICES

GPO: 2012 72-584 (mac)



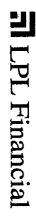
# Consolidated Portfolio Summary Prepared for Christopher and Petra Perkins

Statement Period
September 1 to September 30, 2012

Value of Your Total Portfolio as of September 30, 2012

\$309,168.02

\$267,554.17				ortfolio as of December 31, 2011	Previous Year-End Value of Your Total Portfolio as of December 31, 2011
\$309,168.02	\$302,705,78	*****	\$309,168.02		VALUE OF YOUR TOTAL PORTFOLIO
31,271.48	30,686.39		31,271.48	6783-4340	Christopher Perkins's 401K
25,979.99	25,394.07	****	25,979.99	6320-6985	Petra's IRA
23,809.22	23,538.29		23,809.22	5684-5432	Petra's Roth IRA
6,280.03	6,188.72		6,280.03	4624-2722	Chris' IRA
32,631.02	31,660,68		32,631.02	4310-5785	Joint Account
132,967.20	130,212.61		132,967.20	4246-0886	Chris' ROTH IRA
\$56,229.08	\$55,025,02	A CONTRACTOR OF THE CONTRACTOR	\$56,229.08	1932-2312	Christopher Perkins Rath 401k
Value on September 30, 2012	Value on August 31, 2012	Investments Heid Outside LPL Financial	Investments Heid At LPL Financial	Account No.	





### WEALTH MANAGEMENT

ROTH INDIVIDUAL(K) QRP CHRISTOPHER S. PERKINS TTEE LEGG, PERKINS & ASSOC.RET PLAN FRO CHRISTOPHER S PERKINS

LORTON VA 22079

Bob Tucker • (703)481-2280 Reston Wealth Management Reston, VA 20190-5038 Your Account Manager: 1984 Isaac Newton Sq.W #107

Securities Offered Through
LPL Financial
Member FINRA/SIPC
9785 Towne Centre Drive, San Diego, CA 92121-1968
75 State Street, 24th Floor, Boston, MA 02109-1827

ILPL Financial

### Christopher Perkins Roth 401k

#### Statement Period

September 1 to September 30, 2012

Investment Objective	Retirement Account Strategic Wealth Management II 1932-2312
 Growth	1932-2312

as of December 31, 2011 Previous Year-End Value Dividends, Interest and Capital Gains Withdrawals Additions Beginning Value as of September 1, 2012 INVESTMENTS HELD AT LPL FINANCIAL Total Value of Your Account as of September 30, 2012 Increase/decrease in Market Value1 \$55,025.02 \$51,701.15 1,033.97 170.09

\$56,229.08

Year-End Value Value on Value on Value on December 31, 2011 August 31, 2012 September 30, 2012	\$56,229.08	\$55,025.02	\$51,701.15	TOTAL VALUE OF YOUR ACCOUNT
	Value on September 30, 2012	Value on August 31, 2012	Year-End Value December 31, 2011	-

Ending Value as of September 30, 2012

\$56,229.08

the impact of any transfers of securities into or out of your account during the statement period increase/decrease in Market Value reflects the impact of changes in the value of securities held in your LPL Financial account, as well as

00000 D-na 1 of 18

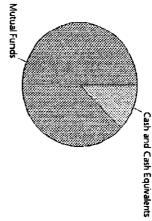


### al LPL Financial

### **Christopher Perkins Roth 401k**

Statement Period
September 1 to September 30, 2012

100.00%	\$56,229.08	Total
87.94%	49,450.49	Mutual Funds, ETFs and Closed-End Funds
1	Ī	Fixed Income
1	_	Equities and Options
12.06%	\$6,778.59	Cash and Cash Equivalents
Percent	Amount	Investment Type
	Y as of September 30, 2012	ACCOUNT INVESTMENT SUMMARY as of September 30, 2012



Estimated Annual Income

\$1,497.00

# Account Holdings as of September 30, 2012

#### CASH AND CASH EQUIVALENTS

6,778.59	0.016%	0.05	Total Insured Cash Account
\$6,778.59			Goldman Sachs Bank USA \$6,778.59
			Insured Cash Account?
Baiance	hterest Rate	in September <sup>1</sup>	Interest Paid Blended Current  Description in September 1 Interest Rate 1  Balance

# MUTUAL FUNDS, ETFS AND CLOSED-END FUNDS

Data Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost <sup>3</sup>	Unrealized Gain or Loss	Estimated Annual Income <sup>3</sup>	Estimated 30-Day Yield <sup>a</sup>
06/07/12 Purchases	ARTISAN INTL R VALUE INVESTOR CL ARTICX	86.288	\$28.72	\$2,478.19	\$25,46	\$2,196.88 2,196.88	\$281.31	<b>\$1</b>	0.05%
01/21/10* Purchases	ARTISAN MID R CAP INVESTOR CL ARTMX	122.64	38.81	4,759.65	29.57	3,626.64 3,626.64	1,133.01	4	1
06/28/12* Purchases	DOUBLELINE TOTAL R RETURN BOND CL N DLTNX	446.152	11.39	5,081.67	11.29	5,036.84 5,000.00	44.83	337	6,70%
03/11/11 * Purchases	MAINSTAY LARGE R CAP GROWTH CL I MLAIX	906.974	8.13	7,373.69	7.50	6,806.09 6,664.32	567.60	1	
03/11/11* Purchases	MORGAN STANLEY R INSTL GLOBAL REAL ESTATE CL 1 MRLAX	405.316	9.46	3,834.28	8.90	3,606.18 3,541.85	228.10	56	1.49%
03/11/11* Purchases	OPPENHEIMER DEV R MARKETS CL Y ODVYX	72.266	33.59	2,427.41	34.30	2,478.85 2,431.16	-51.44	48	2.01%

# MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS continue on page 4



Questions? Contact Bob Tucker (703)481-2280



See message section for further information. Interest is paid on daily balances at a blended rate reflecting the weighted average rate during the statement month. Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes.

is calculated. Purchase Cost equals Cost Basis less any reinvested dividends and interest.
Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure

# MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS (continued)

	\$1,497	\$2,171.45	\$54,057.63 \$52,706.50		\$56,229.08				
	Estimated Annual Income	Unrealized Gain or Loss	Cost Basis/ Purchase Cost		Market Value			Value of Your LPL Financial Account	Value of
							viil be reinvested.	* Date of Earliest Acquisition R Dividends andfor capital gains distributed by this security will be reinvested	
	\$1,497	\$2,171.45	\$47,279.04 \$45.927.91		\$49,450.49			TOTAL MUTUAL FUNDS, ETFS AND CLOSED-END FUNDS	TOTAL MUT
0.10%	4	-728.10	4,748.27 4,722.46	53.00	4,020.17	44.87	89.596	VAN ECK GLOBAL HARD R ASSETS CL Y GHAYX	03/11/11* Purchases
6.50%	387	327.38	5,671.90 5,500.00	17.98	5,999.28	19.02	315.42	THORNBURG INVESTMENT R INCOME BUILDER CL I TIBIX	12/02/11* Purchases
4.73%	129	-118.53	3,030.15 2,780.32	13.89	2,911.62	13.35	218.099	TEMPLETON GLOBAL R BOND ADVISOR CL TGBAX	07/29/11* Purchases
0.42%	14	235.94	3,100.48 3,100.48	20.50	3,336.42	22.06	151.243	ROYCE SPECIAL R EQUITY INVESTMENT CL RYSEX	06/06/12 Purchases
7.29%	521	251.35	6,976.76 6,363.80	10.78	7,228.11	11.17	647.101	PIMCO ALL <sup>®</sup> ASSET ALL AUTHORITY CL P PAUPX	03/11/11* Purchases
Estimated 30-Day Yield	Estimated Annual Income <sup>a</sup>	Unrealized Gain or Loss	Cost Basis/ Purchase Cost <sup>3</sup>	Unit Cost	Market Value	Price	Quantity	Description/Security ID	Date Acquired

<sup>3</sup> Purchase Cost equals Cost Basis less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure

is calculated.

4 Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments

Account Activity / Christopher Perkins Roth 401k 1932-2312

# al LPL Financial

Account Activity as of September 30, 2012

#### **ACCOUNT ACTIVITY SUMMARY**

	Period Ending August 31, 2012	Period Ending September 30, 2012	Year-to-Date
Securities Purchased	*****	-\$2,500.00	-\$13,297.36
Securities Sold	-	5,105.63	17,654.08
Additions to Your Account	-	_	1
Withdrawals from Your Account		1	****
Dividends, Interest and Capital Gains	57.33	170.09	706.33
Reinvestments	-57.26	-170.04	-705.84
Net Change in Bank Deposit Sweep Balance <sup>1</sup>	0.07	2,605.68	3,812.33
Net Change in Money Market Fund Balance	1	1	·

#### SECURITIES PURCHASED

Fees and Expenses?

1

-544.88

Description/Security ID	ity Price	Quantity	ion/Security ID	1	Activity Type	Date
09/04/12 Purchase DOUBLELINE TOTAL 220.459	\$11.34	220.459	LINE TOTAL	DOUBLE	Purchase	09/04/12

#### SECURITIES SOLD

**TOTAL SECURITIES PURCHASED** 

-\$2,500.00

Date	Activity Type	Description/Security ID	Quantity	Price	Amount
09/19/12 Sale	Sale	ARTIO GLOBAL	-515.2	\$9.91	\$5,105.63
		JHYIX			
TOTAL SE	TOTAL SECURITIES SOLD		•		\$5,105.63

<sup>1</sup> Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes.
See the message section for further information.



Fees and expenses include account, custodial and advisory fees assessed during the statement period.

### **al** LPL Financial

# Account Activity as of September 30, 2012

### DIVIDENDS, INTEREST AND CAPITAL GAINS

\$170.09	AND CAPITAL GAINS	TOTAL DIVIDENDS, INTEREST AND CAPITAL GAINS	TOTAL DIV
0.05	INSURED CASH ACCOUNT 092812 6,778	Interest	09/28/12 Interest
88.63	THORNBURG INVESTMENT INCOME BUILDER CL1 092412 310.81300 TIBIX AS OF 09/24/12	Cash Dividend	09/25/12
59.70	PIMCO ALL ASSET ALL AUTHORITY CL P 092012 641.74700 PAUPX AS OF 09/20/12	09/21/12 Cash Dividend	09/21/12
9.28	TEMPLETON GLOBAL BOND ADVISOR CL 091912 217.40300 TGBAX	Cash Dividend	09/19/12
\$12.43	DOUBLELINE TOTAL RETURN BOND CL N 083112 224.59600 DLTNX AS OF 08/31/12	Cash Dividend	09/05/12
Amount	Description/Security ID	Activity Type	Date
	ITIAL GAINS	DIVIDENUS, INTEREST AND CAPITAL GAINS	DIVIDEIND

Account Activity / Christopher Perkins Roth 401k 1932-2312

#### REINVESTMENTS

-\$170.04			TOTAL REINVESTMENTS	TOTAL REI
-88,63	4.607	THORNBURG INVESTMENT INCOME BUILDER CL I REINVEST AT 19.240 TIBIX	Dividend Reinvest	09/25/12
-59.70	5.354	PIMCO ALL ASSET ALL AUTHORITY CL P REINVEST AT 11.150 PAUPX	Dividend Reinvest	09/21/12
-9.28	0.696	TEMPLETON GLOBAL BOND ADVISOR CL REINVEST AT 13.330 TGBAX	Dividend Reinvest	09/19/12
-\$12,43	1.097	DOUBLELINE TOTAL RETURN BOND CL N REINVEST AT 11.330 DLTNX	09/05/12 Dividend Reinvest	09/05/12
Amount	Quantity	Description/Security ID	Activity Type	Date
			MENIS	KEINVES I MENTS

	\$2,605.68	T SWEEP BALANCE	NET CHANGE IN BANK DEPOSIT SWEEP BALANCE	<b>NET CHAN</b>
6,778.59			Closing Balance	09/30/12
6,778.59	0.05	Insured Cash Account	Interest Deposit	09/28/12
6,778.54	5,105.63	Insured Cash Account	Deposit	09/20/12
1,672.91	-2,500.00	Insured Cash Account	Withdrawal	09/05/12
\$4,172.91			Opening Balance	09/01/12
Balance	Amount	Description	Activity Type	Date
		TIVITY3	NET BANK DEPOSIT SWEEP ACTIVITYS	NET BANK



<sup>3</sup> Bank Deposit Sweep transactions reflect the net of all transfers of free cash balances to and from your bank deposit sweep account on the date referenced. See the message section for further information.

#### DIVIDEND AND INTEREST SUMMARY

Description	August 31, 2012	August 31, 2012 September 30, 2012 Year-to-Dat	Year-to-Dat
Bank Deposit Sweep	\$0.07	\$0.05	\$0.4
Mutual Funds	57.26	170.04	705.8
TOTAL DIVIDENDS AND INTEREST	\$57.33	\$170.09	\$706.33

Closing Date	Transaction Type	Description/Security ID	Date Acquired	Quantity	Acquisition Price	Cost Basis	Sale Price	Proceeds	Gain or Loss
09/19/12	Sell	ARTIO GLOBAL GLOBAL HIGH INCOME CL I JHYIX	17/17/50	-451.047	\$10.47	\$4,722.46	\$9.91	\$4,469.87	-\$252.59
09/19/12	Sell		03/31/11	-3.29	10.42	34.28	9.91	32.60	-1.68
09/19/12	Sell		04/29/11	-2.539	10.56	26.81	9.91	25.16	-1.65
09/19/12	Sell		05/31/11	-2.779	10.52	29.24	9.91	27.54	-1.70
09/19/12	Sell		06/30/11	-2.68	10.29	27.58	9.91	26.55	-1.03
09/19/12	Se#		07/29/11	-2.457	10.35	25.43	9.91	24.34	-1.09
09/19/12	Sell		08/31/11	-3.173	9.67	30.68	9.91	31,44	0.76
	Total		***************************************	-467.965	***************************************	4,896.48		4,637.50	-258.98
06/07/12	Sell	ARTISAN FDS INC SMALL CAP VALUE FD ARTVX	01/21/10	-134,274	14.42	1,936.23	14.94	2,006.05	69.82

YEAR-TO-DATE LONG-TERM REALIZED GAIN/LOSS continue on page 9



# YEAR-TO-DATE LONG-TERM REALIZED GAIN/LOSS (continued)

	2010	THE TAXABLE AND CONTINUES.							
Closing	Transaction Type	Description/Security ID	Date Acquired	Quantity	Acquisition Price	Cost Basis	Sale Price	Proceeds	Gain or Loss
06/07/12	Sell	ARTISAN FDS INC (continued)	12/17/10	-0.193	16.81	3.25	14.94	2.88	-0.37
	Total			-134.467		1,939.48		2,008.93	69.45
05/30/12	Se/l	NUVEEN TRADEWINDS VALUE OPPORTUNITIES CL I NVORX	1710/50	-6.945	36.11	250.79	27.63	191.90	-58.89
06/05/12	Se//		03/01/11	-48.441	36,11	1,749.21	27.51	1,332.61	-416.60
06/05/12	Sell		03/11/11	-27.4	35,80	980.91	27.51	753.77	-227.14
	Total			-82,786	407444444444444444444444444444444444444	2,980.91	7711.	2,278.28	-702.63
06/06/12	Sell	ROYCE GLOBAL VALUE INVESTMENT CL RGVIX	05/24/11	-261.577	15.05	3,936.73	11.74	3,070.91	-865.82
06/06/12	Sell	THORNBURG INTLVAL CL I TGVIX	01/21/10	-77,84	25.13	1,956.12	24.37	1,896.95	-59.17
06/06/12	Sell		03/29/10	-0.247	25.62	6.32	24.37	6.02	-0.30
06/06/12	Sell		06/28/10	-0.567	24.07	13.64	24.37	13.81	0.17
06/06/12	Sell		09/27/10	-0.392	26.70	10.46	24.37	9.55	-0.91
06/06/12	Sell		12/28/10	-0.127	28.44	3.62	24.37	3.09	-0.53
06/06/12	Sell		03/28/11	-0.202	29.47	5.96	24.37	4.92	-1.04
	Total			-79.375		1,996.12		1,934.34	-61.78
TOTAL YE	AR-TO-DATE LOS	TOTAL YEAR-TO-DATE LONG-TERM REALIZED GAIN/LOSS				\$15,749.72		\$13,929.96	-\$1,819.76



Questions? Contact Bob Tucker (703)481-2280

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		*********	***************************************	240	2	20 03
	08/31/12	-3.481		9.70	9.70 33.77	
	07/31/12	-3,438	:	9.61	9.61 33.04	
	06/29/12	-3.14		9.49	9.49 29.80	
	05/31/12	-3.722		9.43	9.43 35.10	
	04/30/12	-3.406		9.65	9.65 32.87	
	03/30/12	-2.966		9.68	9.68 28.71	
	02/29/12	-3,046		9.69	9.69 29.52	
	01/31/12	-2.718	:	9.54		9.54
	12/29/11	-4.349		9.28		9.28
	12/29/11	-8.247	1	9.28	9.28 76.53	4 + 1 + 2 + 2 + 2 + 2 + 2 + 2 + 2 + 2 + 2
	11/30/11	-3.294	1	9.34	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	9.34
	10/31/11	-2,607	_	9.75		9.75
ARTIO GLOBAL GLOBAL HIGH INCOME CL I JHYIX	09/30/11 ECLI	-2.821	•	\$9.29		\$9.29
Transaction Description/Security ID	Date Acquired	Quantity	1	Acc		Acquisition Price

YEAR-TO-DATE SHORT-TERM REALIZED GAIN/LOSS continue on page 11



# LPL Financial Questions? Contact Bob Tucker (703)481-2280

# Account Detail as of September 30, 2012

# YEAR-TO-DATE SHORT-TERM REALIZED GAIN/LOSS (continued)

Closing Date	Transaction Type	Description/Security ID	Date Acquired	Quantity	Acquisition Price	Cost Basis	Sale Price	Proceeds	Gain or Loss
06/07/12	Sell	ARTISAN FDS INC SMALL CAP VALUE FD ARTVX	12/16/11	-9.843	14.48	142.52	14.94	147.05	4.53
06/07/12	Sell		12/16/11	-2.68	14.48	38.81	14.94	40.04	1.23
06/07/12	Sell		12/16/11	-0.057	14,48	0.82	14.94	0,86	0.04
	Total			-12.58		182.15		187,95	5,80
06/05/12	Sell	NUVEEN TRADEWINDS VALUE OPPORTUNITIES CL I NVORX	08/10/11	-92.421	32.46	3,000.00	27.51	2,542.50	-457.50
06/05/12	Se//		12/16/11	-8.322	30.69	255.40	27.51	228.94	-26.46
06/05/12	Sell		12/16/11	-3.187	30.69	97.82	27.51	87.67	-10.15
06/05/12	Sell	***************************************	12/30/11	-5.186	30.35	157,40	27.51	142.68	-14.72
	Total	an san sepira 4 444 6 6 6 4 644 bertesanya supa ancepun Fittes i Angeli in Styrum un union jerise		-109.116	***************************************	3,510.62	***************************************	3,001.79	-508.83
06/06/12	Sell	ROYCE GLOBAL VALUE INVESTMENT CL RGVIX	12/09/11	-2.518	12.03	30.29	11.74	29.57	-0.72
06/06/12	Sell	THORNBURG INTL VAL CL I TGVIX	06/27/11	-0.783	28.91	22.64	24.37	19.09	-3.55
06/06/12	Se#		09/27/11	-0.356	23.61	8.40	24.37	8.68	0.28
06/06/12	Sell		17/77/1	-0.141	24.51	3.45	24.37	3.44	-0.01

YEAR-TO-DATE SHORT-TERM REALIZED GAIN/LOSS continue on page 12



Dane 11 of 18

# YEAR-TO-DATE SHORT-TERM REALIZED GAIN/LOSS (continued)

Closing	Transaction		Date		Acrusicition				
Date	Туре	Description/Security ID	Acquired	Quantity	Price	Cost Basis	Sale Price	Proceeds	Gain or Loss
06/06/12	Sell	THORNBURG INTL VAL (continued)	03/27/12	-0.224	27.55	6,17	24.37	5.47	-0.70
	T)+5	T_+=	***************************************	4 504					
									-
TOTAL YEA	\R-TO-DATE SHOF	TOTAL YEAR-TO-DATE SHORT-TERM REALIZED GAIN/LOSS				\$4,211.75		\$3,724.12	-\$487.63
						Cost Basis		Proceeds	Gain or Loss
TOTAL YEA	TOTAL YEAR-TO-DATE REALIZED GAIN/LOSS	IZED GAIN/LOSS				\$19,961.47		\$17,654.08	-\$2,307.39

#### Questions? Contact Bob Tucker (703)481-2280

#### ILPL Financial

Account Messages as of September 30, 2012

#### MESSAGES FROM LPL FINANCIAL

### FINRA BROKERCHECK PROGRAM HOTLINE INFORMATION

BrokerCheck Program may be obtained from the FINRA. The Financial Industry Regulatory Authority (FINRA) requires that we provide the following information concerning the FINRA's BrokerCheck Program. The FINRA Regulation BrokerCheck Program Hotline Number is (800) 289-9999. The FINRA Regulation Web Site Address is www.finra.org/brokercheck. An investor Brochure that Includes the information describing the

Any complaints regarding the handling of your account should be directed to your financial advisor and to LPL's Legal Department at (800) 775-4575 extension 4497

# WITHHOLDING NOTICE FOR LPL FINANCIAL RETIREMENT ACCOUNTS:

If you are currently receiving periodic distributions, Distributions on Demand, or a Required Minimum Distribution Service from your LPL Financial retirement account and are having taxes withheld, you have the option to change the withholding election. Please note, if you elect not to have taxes withheld from your LPL Financial retirement account distribution payments, or if you do not have enough income tax withheld, you may be responsible for payment of estimated tax, and you may incur penalties under the estimated tax rules. If you are currently taking IRA distributions via IRA Premier Checking, then taxes are not being withheld pursuant to the terms of the elected feature. It is your responsibility to satisfy all tax obligations on distributions from your accounts). If you wish to change your withholding election, please contact your financial advisor to obtain a Distribution Request form.

### VON-TRANSFERABLE SECURITIES THAT ARE WORTHLESS

account may or may not be affected. Should you have any questions or concerns, please contact your financial advisor. As part of our continuing effort to provide exceptional service, please be advised that LPL Financial will remove any non-transferable securities that are worthless from customer accounts. You

# ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY)

EAI is calculated by taking the indicated annualized dividend and multiplying by the number of shares owned. EY is calculated by taking the EAI and dividing by the aggregate value of the shares owned. If no dividend information is available, no EAI or EY numbers will be generated. EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. Additionally the actual dividend or yield may vary depending on the security issuer's approval of paying the dividends. EY reflects only the income generated by an investment. It does not reflect changes in its price,

#### ICA INFORMATION

which may fluctuate.

The insured Cash Account (ICA) is a bank deposit sweep program with an extra level of protection, Your balances in the ICA program are allocated to each depository institution on the Priority Bank List in increments of \$246,500 for individual and trust accounts and \$493,000 for joint accounts. As always, you should review your cash positions with various depository institutions to determine whether your cash is within the FDIC insurance coverage limits. For more information about FDIC insurance limits, please contact your financial advisor or go to determine whether your cash is within the FDIC insurance coverage limits. http://www.idic.gov/deposit/deposits/changes.html.

Please be advised that the Priority Bank List for the ICA program has some important additions and/or deletions to the participating banks or the sequence of participating banks in the ICA current interest rates. The current rates are available at http://jp/financial.jp/.com/x68.xml. You can find information on the fees associated with the ICA program at program. Please consult your financial advisor or our website periodically throughout the month for recent updates and information regarding how these changes may impact your assigned http://lplfinanclal.lpl.com/bocuments/PDF/LPL-ICA-Disclosure-Booklet.pdf riority Bank List rotation. The interest rates paid by ICA program banks on ICA program accounts are based on the prevailing interest rate environment and typically change with changes in



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### **Current Account Information**

Important Account Information Verification. Please review the information below. No action is required on your part unless you have updates to current or missing information, in which case please modify and return this form to LPL Financial, New Accounts, PO Box 509027, San Diego, CA 92150 or fax this form to (858) 546-0874. If you have questions, please contact your LPL Financial Advisor.

\*\*note - please do not include other instructions, as they will not be honored on this form \*\*

BRR

#### Account Level Information

ALCOURT CIESS	SVVVI II - Ketirement		
	Current Information	Updated Information	
Annual income	Between \$100,000 and \$249,999		
Net Worth (exclusive of residence)	Between \$250,000 and \$499,999		
Liquid Net Worth	Between \$250,000 and \$499,999		
Account Investment Objective (definitions on next page)	Growth		
Primary Owner	CHRISTOPHER S. PERKINS		
	Current Information	Updated Information	Please check here to also update your mailing address.
Residence Address	LORTON VA 22079		
Occupation/Former Occupation	CONSULTING SERVICES		
Affiliated with a financial institution	No		
Home Phone Number	703 4939458		
Work Phone Number			
Owner #2	CHRISTOPHER S. PERKINS	1	
	Current Information	Updated Information	Please check here to also update your mailing address.
Residence Address	8834 ROMMEL DRIVE LORTON VA 22079		
Occupation/Former Occupation	CONSULTING SERVICES		
Affiliated with a Financial Institution	No		
Home Phone Number	703 4939458		
Work Phone Number			



Questions? Contact Bob Tucker (703)481-2280



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# Definition of Investment Objectives

that achievement of the stated investment objective is a long-term goal for the account. Following is a list of available investment objectives. The investment objectives are overall objectives for the entire account and may be inconsistent with a particular holding at any time. Please note

Emphasis is placed on generation of current income and prevention of capital loss. A. Income with Capital Preservation. Designed as a longer-term accumulation account, this is the most conservative investment objective.

B. Income with Moderate Growth. Emphasis is placed on generation of current income with a secondary focus on moderate capital growth.

C. Growth with Income. Emphasis is placed on modest capital growth with some focus on generation of current income

D. Growth. Emphasis is placed on achieving high long-term growth and capital appreciation. There is little focus on generation of current

E. Aggressive Growth. Emphasis is placed on aggressive growth and maximum capital appreciation. There is no focus on generation of current income. This objective has a very high level of risk and is for investors with a longer time horizon.

allowed in advisory accounts). F. Trading. Emphasis is placed on speculative transaction activity. This objective represents acceptance of an extremely high level of risk (not



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Account Protection LPL Financial is a member of the Securities Investor Protection Corporation (SIPC). SIPC provides protection for your account up to \$500,000, including \$100,000 in free each balances, in the unlikely event that LPL fails financially. SIPC protection limits apply to all accounts that you hold in a particular capacity, for example, if you hold two accounts at PL as a sole account holder and a third as a joint account holder, the two individual accounts are protected under SIPC up to a combined limit of \$500,000, and the joint account is protected under SIPC up to a combined limit of \$500,000 insured Cash Accounts are not protected under SIPC separately up to \$500,000. Insured Cash Accounts are not protected by SIPC. LPL accounts have excess SIPC-like coverage to cover the net equity of customer accounts subject to a \$750 million aggregate firm limit. More information on SIPC, including an SIPC Brochure, may be obtained by calling SIPC directly at (202) 371-8300 or by

visiting www.sipc.org.

Adjusted Cost The cost basis of securities sold, matured, redeemed or exercised is adjusted for return of principal, original issue discount, accrual and partnership distributions for CMO, CDO, REMIC and MLP transactions. Eligible securities on the Realized Gairs and Losses Statement have been adjusted for bond amortization, return of capital, liquidating distributions, wash salet or similar items. MA displays when the information is incomplete or missing and is treated as zero when calculating totals.

Agency if IPL financial acts as your agent, or as agent for both you and another person in a transaction, the transaction details, including the identity of the seller or buyer and the source and amount of any fees or payments will be supplied upon written request.

Asset-backed Securities The actual yield from transactions in asset-backed securities (e.g., CMO, FNMA, FHLMC OR GMNA transactions) may vary according to the rate at which the underlying assets or receivables are repaid, information about yield factors is available from your financial advisor on

Called Securities in the event of a partial call of corporate or municipal bonds, or preferred stock held in bulk segregation, the securities to be called will automatically be selected on a random basis, as is customary in the securities industry. The probability that your securities will be selected is proportional to the amount of your holdings relative to the bulk holdings. A detailed description of the random selection procedure is available upon

request.

Change of Address Please notify your financial advisor/representative or LPL Financial gromptly in writing of any change of address.

Cost Basis (Transactions are automatically paired against holdings on a "First-tar/First-Qut" basis (unless manually adjusted). Designating liquidations as "versus purchase" on a trade will cause the trade confirmation or other closed tax lot notification to reflect the selected closed tax lots, For assets not purchased in the LPL account, you or the previous broker / dealer upon transfer may have provided the Date Acquired and Purchase Cost of the position. If no such data was submitted, N/A is listed as the Purchase Cost and is treated as zero when calculating Gain or Loss totals. Since the cost basis information on your statement may not reflect accurate data or correspond to data on your trade confirmations. This information should not be relied upon for tax reporting purposes. Please refer to your tax reporting statement; if applicable, For accounts electing average cost, the total cost may be computed using a combination of averaged and non-averaged unit prices for eligible securities.

## isclosures and Other Information

contact your financial advisor for the broker/dealer's contact information. Your financial advisor's address and telephone number can be found on the bottom of each page of this statement. LPL Financial's telephone number is (800) 558-7567 and address can be found on the first page of this statement. Additionally, any verbal communications should be re-confirmed in writing to each of the above parties to further protect your rights, including rights under Securities investor Protection Act (SIPA).

Free Credit Balances LPL Financial may use your free credit balances subject to the limitations of 17 CFR Section 240. 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive from us, upon demand in the course of normal business, the delivery of any free credit balances to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled. Discrepancies Please notify your financial advisor and LPL Financial immediately of any discrepancies on your statement. If your financial advisor is affiliated with another bioker/dealer, you must notify them as well. Please

Insured Cash Accounts (ICA) Cash in the ICA program is protected by the Federal Deposit Insurance Corporation (FDIC). LPI, financial allocates your money in the ICA program to banks listed in the Priority Bank List in increments up to \$250,000 per depositor per depository institution (\$500,000 for joint accounts) until your balance in the ICA program is allocated up to a total of \$1,500,000 (\$3,000,000 for joint accounts). Recent legislation permanently increased FDIC coverage to \$250,000 per depositor per bank \$5500,000 for joint account holders). More information on FDIC insurance is available on request, or by visiting the FDIC website at <a href="https://www.fdic.gov">www.fdic.gov</a>. IPI financial RE NOT FDIC INSURED, ARE NOT BANK (GLURANTEED AND MAY LOSE VALUE.

Investment Risk LPL Financial is not a bank, savings and loan, or credit union. Securities and insurance offered through LPL and its affiliates are not FDIC, NCUA or government institution, are not a deposit, and involve investment risk including possible loss of principal. Investments Held Outside LPL is provided for informational purposes only. Values for annuities reflect a pricing date approximately three business days prior to the statement date, depending on the availability of the data. Investments not held in your LPL account are based on the market value of priced securities at the end of the statement period, Values for annuities reflect a pricing date approximately three business days prior to the statement date, depending on the availability of the data. The account registration for investments held outside LPL may not be the same as the registration for lovestments held outside LPL may not be the same as the registration for the LPL account with which it is affiliated. For example, an outside investment with a joint registration may be reflected on an LPL account with which it is affiliated. For example, an outside investment with a joint registration may be reflected on Separate account in the statement combines information of the s

Money Market Funds Money market fund transactions, if any, are displayed chronologically. The 30-day yield for the fund is also reflected as of the

Municipal Material Disclosures Copies of any material disclosures for municipal bonds are available at www.emma.msrb.org. To obtain specific municipal bond information, enter the nine-digit CUSIP number in the Search field within the EMMA web site. If you do not have access to the Internet or I prefer a physical copy of the material disclosure, please contact your

financial advisor. Additional municipal bond information that may be available on <a href="https://www.emma.mstb.org">www.emma.mstb.org</a>, includes, but is not limited to: advance refunding documents, continuing disclosures, including annual financial statements and notices of material eyents, real-time and historical trade data, daily market

statistics and educational material.

N/A or "-" Data Information that displays as N/A or "-" is unavailable, missing or incomplete and is treated as zero when calculating account totals, market values and performance.

Open Orders Open Orders are transactions pending execution, i.e., purchase and/or sale orders that you have piaced, but that have not been executed by the end of the statement period.

Option Clients Information on commissions and other charges incurred in connection with the execution of option transactions has been included in the confirmations of these transactions furnished to you. A summary of this Information will be made available upon request. In accordance with the Option Agreement and Approval Form you signed, you must promptly advise the firm of any material change in your investment objectives or financial situation.

Payment for Order Flow LPL Financial acts as your agent and receives payment for Order flow, the source and nature of which will be turnished to you upon written request.

Pricing Securities prices shown on this statement may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices shown should only be used as a general guide to portfolio value. Prices shown should only be used as a general guide to portfolio value. Prices shown should only be used as a general guide to portfolio value. Prices shown should only be used as a general guide to portfolio value. Where prices from various services, which are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt instruments including, but not limited to bills, notes, bonds, banker's acceptances, certificates of deposit, or commercial paper, estimated prices may be generated by a matrix system or market driven pricing model, taking various factors into consideration. These prices may not be the actual price you would receive if you sold before the maturity of a certificate of deposit. The pricing of listed options takes into account the last dosing price, as well as the current bid and offer prices. Where securities have not been priced, their values have not been included in the Portfolio Summary information at the beginning of this statement.

Purchase Cost Original cost including fees, commissions and less accrued interest of the quantity sold or redemed. For transferred securities, this could be the purchase Cost may be adjusted to reflect corporate actions, such as stock splits, mergers, spin-offs or other events. WA is displayed when the information is incomplete or missing and is treated as zero when calculating totals. "Transferred securities may not be included in Purchase Cost.

Rejurchase Cost may be adjusted to reflect corporate actions, such as stock splits, mergers, spin-offs or other request for the exchange or market—and its

Sweep Option Your account may provide for a daily sweep into an insured

Cash Account. If you have any questions about your sweep option, including rates or the depository institutions currently participating in the sweep option or you would like to change your sweep option, please contact your financial

LPL Financial LLC is an affiliate of LPL Financial Holdings Inc.

SILPINON No 09/12





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PTC CUST ROTH IRA FBO

LORTON VA 22079-3272

Reston Wealth Management 1984 Isaac Newton Sq.W #107 Your Account Manager: Bob Tucker • (703)481-2280 Reston, VA 20190-5038

LPL Financial
Member FINRA/SIPC
Member FINRA/SIPC
9785 Towne Centre Drive, San Diego, CA 92121-1968
75 State Street, 24th Floor, Boston, MA 02109-1827 Securities Offered Through

The Induction I LPL Financial

Chris' ROTH IRA

Statement Period

September 1 to September 30, 2012

Retirement Account Strategic Wealth Management II

4246-0886

Growth

Investment Objective

Total Value of Your Account as of September 30, 2012

\$132,967.20

Additions as of December 31, 2011 Withdrawals Previous Year-End Value INVESTMENTS HELD AT LPL FINANCIAL Dividends, Interest and Capital Gains Beginning Value as of September 1, 2012

> \$130,212.61 \$120,408.95

Ending Value as of September 30, 2012

\$132,967.20

2,382.85 371.74

Increase/decrease in Market Value<sup>1</sup>

\$132,967.20	\$130,212.61	\$120,408.95	TOTAL VALUE OF YOUR ACCOUNT
Value on September 30, 2012	Value on August 31, 2012	Year-End Value December 31, 2011	

the impact of any transfers of securities into or out of your account during the statement period. increase/decrease in Market Value reflects the impact of changes in the value of securities held in your LPL Financial account, as well as

Chris' ROTH IRA / Retirement Account Strategic Wealth Management II 4246-0886

Page 1 of 22

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#### **TI** LPL Financial

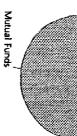
#### Chris' ROTH IRA

Statement Period September 1 to September 30, 2012

# ACCOUNT INVESTMENT SUMMARY as of September 30, 2012

Cash and Cash Equivalents

Investment Type	Amount	Percent
Cash and Cash Equivalents	\$6,986.53	5.25%
Equities and Options	1,100.97	0.83%
Fixed Income	1	
Mutual Funds, ETFs and Closed-End Funds	124,879.70	93.92%
Total	\$132,967.20	100.00%



**EARNINGS SUMMARY** as of September 30, 2012 Estimated Annual Income \$3,144.00

investment types of less than 1% are too small to show on the pie chart

# Account Holdings as of September 30, 2012

#### **CASH AND CASH EQUIVALENTS**

\$6,986.53		Š	TOTAL CASH AND CASH EQUIVALENTS
6,941.63	0.019%	0.09	Total Insured Cash Account
6,941.63			Goldman Sachs Bank USA 6,941.63
			Insured Cash Account?
\$44.90			Cash
Balance	Interest Rate	in September	Description in September Interest Rate Balance
Current	Blended	Interest Paid	

#### **EQUITIES AND OPTIONS**

	\$82	\$15.60	\$1,085.37 \$1,085.37		\$1,100.97			TOTAL EQUITIES AND OPTIONS	TOTAL EQI
7.52%	\$82	\$15.60	\$1,085.37 1,085.37	\$27.8300	\$1,100.97	\$28.23	39	09/20/12 PIMCO DYNAMIC INCM FUND C	09/20/12
Estimated 30-Day Yield	Estimated Annual Income <sup>3</sup>	Unrealized Gain or Loss	Cost Basis/ Purchase Cost <sup>3</sup>	Unit Cost	Market Value	Price	Quantity	Description/Security ID	Date Acquired

C Dividends and/or capital gains distributed by this security will be

# **MUTUAL FUNDS, ETFS AND CLOSED-END FUNDS**

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost <sup>3</sup>	Unrealized Gain or Loss	Estimated Annual Income	Estimated 30-Day Yield <sup>3</sup>
06/07/12 Purchases	ARTISAN INTL R VALUE INVESTOR CL ARTICX	208.81	\$28.72	\$5,997.02	\$25,46	\$5,316.29 5,316.29	\$680.73	£\$	0.05%
10/12/09* Purchases	ARTISAN MID R CAP INVESTOR CL ARTIMX	282.446	38.81	10,961.72	28.21	7,967.24 7,967.24	2,994.48		

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS continue on page 4



Questions? Contact Bob Tucker (703)481-2280



Account Holdings / Chris' ROTH IRA 4246-0886

See message section for further information. interest is paid on daily balances at a blended rate reflecting the weighted average rate during the statement month. Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes.

is calculated. Purchase Cost equals Cost Basis less any reinvested dividends and interest.
Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure

# MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS (continued)

Dete Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost <sup>3</sup>	Unrealized Gain or Loss	Estimated Annual Income <sup>a</sup>	Estimated 30-Day Yield
03/11/11* Purchases	ARTISAN MID R CAP VALUE INVESTOR CL ARTQX	343.726	21.12	7,259.49	21.32	7,327.16 6,815.74	-67.67	41	0.61%
09/20/12 Purchases	CENTRAL FUND OF CANADA LIMITED CLASS A CEF	170	23.81	4,047.70	23.54	4,001.78 4,001.78	45.92	<u>→</u> :	0.04%
03/29/12* Purchases	DOUBLELINE TOTAL R RETURN BOND CL N DLTNX	317.583	11.39	3,617.27	11.24	3,569.62 3,500.00	47.65	241	6.70%
09/20/12* Purchases	LOOMIS SAYLES BOND <sup>R</sup> RETAIL CL LSBRX	73.441	14.93	1,096.47	15.03	1,103.81 1,100.00	-7.34	59	5.46%
03/11/11* Purchases	MAINSTAY LARGE R CAP GROWTH CL I MLAIX	2,186.952	8.13	17,779.91	7.48	16,350.12 16,008.28	1,429.79	1	   
10/07/10* Purchases	MORGAN STANLEY <sup>R</sup> INSTL GLOBAL REAL ESTATE CL I MRLAX	870.335	9.46	8,233.36	8.79	7,652.62 7,377.22	580.74	121	1.49%
03/11/11* Purchases	OPPENHEIMER DEV R MARKETS CL Y ODVYX	159.303	33.59	5,350.98	34.30	5,464.38 5,359.25	-113.40	107	2.01%
09/28/10* Purchases	PIMCO ALL <sup>R</sup> ASSET ALL AUTHORITY CL P PAUPX	1,666.67	11.17	18,616.70	10.88	18,138.52 16,281.48	478.18	1,351	7.29%
12/13/11* Purchases	PIMCO TOTAL <sup>R</sup> RETURN IV CL P PTUPX	701.737	11.20	7,859.45	10.47	7,344.39 7,207.95	515.06	149	1.94%
3 IV: ILI 194	MILITILAL SI INDS. ETTE AND STOSSD-FAD FILINDS continue on page 5	7 2552 5							

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS continue on page 5

<sup>3</sup> Purchase Cost equals Cost Basis less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

# Account Holdings as of September 30, 2012

# MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS (continued)

	\$3,144	\$7,927.59	\$125,039.61 \$120.686.12		\$132,967.20				
	Estimated Annual Income	Unrealized Gain or Loss	Cost Basis/ Purchase Cost <sup>4</sup>		Market Value			Value of Your LPL Financial Account	Value of
			\$112.614.22				y will be reinvested.	* Date of Earliest Acquisition R Dividends and/or capital gains distributed by this security will be reinvested.	
	\$3,062	\$7,911.99	\$116,967.71		\$124,879.70			TOTAL MUTUAL FUNDS, ETH AND CLOSED-END FUNDS	TOTAL MU
2.08%	172	246.17	8,049.35 8,049.35	57.91	8,295.52	59.68	139	VANGUARD DIVIDEND APPRECIATION ETF VIG	07/31/12 Purchases
0.10%	5	982.04	4,450.28 4,341.72	36.76	5,432.32	44.87	121.068	VAN ECK GLOBAL HARD R ASSETS CL Y GHAYX	06/01/10* Purchases
6.50%	492	306.04	7,292.14 7,000.00	18.25	7,598.18	19.02	399.484	THORNBURG INVESTMENT R INCOME BUILDER CL I TIBIX	1 2/02/1 1 * Purchases
4.73%	306	-231.07	6,940.01 6,287.92	13.81	6,708.94	13.35	502.543	TEMPLETON GLOBAL R BOND ADVISOR CL TGBAX	03/11/11* Purchases
0.24%	14	24.67	6,000.00	21.89	6,024.67	21.98	274.098	ROYCE SPECIAL R EQUITY SERVICE CL RSEFX	09/04/12 Purchases
Estimated 30-Day Yielda	Estimated Annual Income <sup>a</sup>	Unrealized Gain or Loss	Cost Basis/ Purchase Cost <sup>3</sup>	Unit Cost	Market Value	Price	Quantity	Description/Security ID	Date Acquired



Questions? Contact Bob Tucker (703)481-2280



Account Holdings / Chris' ROTH IRA 4246-0886

Purchase Cost equals Cost Basis less any reinvested dividends and interest.

Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure

Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments

### The LPL Financial

# Account Activity as of September 30, 2012

#### **ACCOUNT ACTIVITY SUMMARY**

	Period Ending August 31, 2012	Period Ending September 30, 2012	Year-to-Date
Securities Purchased	-	-\$12,187.15	-\$32,052.79
Securities Sold	•	11,095.20	40,048.23
Additions to Your Account	1		
Withdrawals from Your Account			
Dividends, interest and Capital Gains	128.10	371.74	1,743.14
Reinvestments	-127.97	-326.75	-1,662.52
Net Change in Bank Deposit Sweep Balance <sup>1</sup>	257.32	-1,091.86	6,750.05
Net Change in Money Market Fund Balance	**	_	
Fees and Expenses <sup>2</sup>	بسنيس		-1,281.11

SECURITIES	SECURITIES PURCHASED				
Date	Activity Type	Description/Security ID	Quantity	Price	Amount
09/04/12 Purchase	Purchase	ROYCE SPECIAL EQUITY SERVICE CL RSEFX	274.098	\$21.89	-\$6,000.00
09/20/12 Purchase	Purchase	CENTRAL FUND OF CANADA LIMITED CLASS A CEF	170	23.5399	-4,001.78
09/20/12 Purchase	Purchase	LOOMIS SAYLES BOND RETAIL CL LSBRX	73.187	15.03	-1,100.00
09/20/12 Purchase	Purchase	PIMCO DYNAMIC INCM FUND PDI	39	27.83	-1,085.37
TOTAL SEC	TOTAL SECURITIES PURCHASED				-\$12,187.15

<sup>1</sup> Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes.
See the message section for further information.
2 Fees and expenses include account, custodial and advisory fees assessed during the statement period.

#### Questions? Contact Bob Tucker (703)481-2280

Account Activity / Chris' ROTH IRA 4246-0886

### **T**LPL Financial

# Account Activity as of September 30, 2012

Date Activity Type	Description/Security ID	Quantity	Price	Amount
09/19/12 Sale	ARTIO GLOBAL	-1,119.596	\$9.91	\$11,095.20
	GLOBAL HIGH INCOME CL !			
	XIYHL			

TOTAL SECURITIES SOLD

\$11,095.20

Amount

\$11.89

SECURITIES SOLD

**DIVIDENDS, INTEREST AND CAPITAL GAINS** 

09/04/12

Cash Dividend

Activity Type

Description/Security ID PIMCO TOTAL

RETURN IV CL P 083112 700.56700 PTUPX AS OF 08/31/12

Cash Dividend

09/05/12

DOUBLELINE TOTAL
RETURN BOND CL N
083112 316.03900
DLTNX
AS OF 08/31/12

TEMPLETON GLOBAL BOND ADVISOR CL 091912 500.93800 TGBAX

09/19/12

Cash Dividend

09/21/12

Cash Dividend

PIMCO ALL ASSET ALL AUTHORITY CL P

153,76

21.39

17.49

092012 1,652.88000 PAUPX AS OF 09/20/12

DIVIDENDS, INTEREST AND CAPITAL GAINS continue on page 8

### ILPL Financial

# Account Activity as of September 30, 2012

\$371.74	TOTAL DIVIDENDS, INTEREST AND CAPITAL GAINS	IDENDS, INTEREST	TOTAL DIV
0.09	INSURED CASH ACCOUNT 092812 6,941	Interest	09/28/12 Interest
44.90	VANGUARD DIVIDEND APPRECIATION ETF 092812 139 VIG	09/28/12 Cash Dividend	09/28/12
118.41	THORNBURG INVESTMENT INCOME BUILDER CL I 092412 393.33000 TIBIX AS OF 09/24/12	Cash Dividend	09/25/12
3.81	LOOMIS SAYLES BOND RETAIL CL 092412 73.18700 LSBRX	Cash Dividend	09/24/12
Amount	Description/Security ID	Activity Type	Date

REINVESTMENTS	MENTS			
Date	Activity Type	Description/Security ID	Quantity	Amount
09/04/12	09/04/12 Dividend Reinvest	PIMCO TOTAL RETURN IV CL P REINVEST AT 11.110 PTUPX	1.07	-\$11.89
09/05/12	09/05/12 Dividend Reinvest	DOUBLELINE TOTAL RETURN BOND CL N REINVEST AT 11.330 DLTNX	1.544	-17.49

REINVESTMENTS continue on page 9

# Account Activity as of September 30, 2012

-\$326.75			TOTAL REINVESTMENTS	TOTAL REI
-118.41	6.154	THORNBURG INVESTMENT INCOME BUILDER CL I REINVEST AT 19.240 TIBIX	Dividend Reinvest	09/25/12
-3.81	0.254	LOOMIS SAYLES BOND RETAIL CL REINVEST AT 14.990 LSBRX	Dividend Reinvest	09/24/12
-153.76	13.79	PIMCO ALL ASSET ALL AUTHORITY CL P REINVEST AT 11.150 PAUPX	Dividend Reinvest	09/21/12
-21.39	1.605	TEMPLETON GLOBAL BOND ADVISOR CL REINVEST AT 13.330 TGBAX	Dividend Reinvest	09/19/12
Amount	Quantity	Description/Security ID	Activity Type	Date
			REINVESTMENTS (continued)	REINVEST

NET BANK	NET BANK DEPOSIT SWEEP ACTIVITYS	TIVITY		
Date	Activity Type	Description	Amount	Balance
09/01/12	Opening Balance		140-7-10 m	\$8,033.49
09/07/12	Withdrawal	Insured Cash Account	-6,000.00	2,033.49
09/20/12 Deposit	Deposit	Insured Cash Account	11,095.20	13,128.69
09/21/12	09/21/12 Withdrawal	Insured Cash Account	-1,100.00	12,028.69
09/25/12	09/25/12 Withdrawal	Insured Cash Account	-5,087.15	6,941.54
NET BANK	DEPOSIT SWEED AC	NET RANK DEPOSIT SWEEP ACTIVITY continue on page 10		

3 Bank Deposit Sweep transactions reflect the net of all transfers of free cash balances to and from your bank deposit sweep account on the date referenced. See the message section for further information.





Questions? Contact Bob Tucker (703)481-2280

I LPL Financial

### ILPL Financial

# Account Activity as of September 30, 2012

# NET BANK DEPOSIT SWEEP ACTIVITY (continued)

	SWEEP BALANCE -\$1,091,86	NET CHANGE IN BANK DEPOSIT SWEEP BALANC	NET CHA
6,941.63		Closing Balance	09/30/12
6,941.63	Insured Cash Account 0.09	Interest Deposit	09/28/12
Balance	Description	Activity Type	Date

#### FEES AND EXPENSES

	#4238 PFRKINS	;	!
40.00	RETIREMENT ACCT FEE PAID	Fee	09/20/12 Fee
-\$40.00	RETIREMENT ACCT FEE	Fee	09/20/12 Fee
Amount	Description/Security ID	Activity Type	Date

#### **TOTAL FEES AND EXPENSES**

#### DIVIDEND AND INTEREST SUMMARY

Description	lugust 31, 2012	August 31, 2012 September 30, 2012 Year-to-Date	Year-to-Date
Bank Deposit Sweep	\$0.13	\$0.09	\$0.50
Mutual Funds	127.97	371.65	1,742.64
TOTAL DIVIDENDS AND INTEREST	\$128.10		\$371.74 \$1,743.14

# YEAR-TO-DATE LONG-TERM REALIZED GAIN/LOSS

Closing Date	Transaction Type	Description/Security ID	Date Acquired	Quantity	Acquisition Price	Cost Basis	Sale Price	Proceeds	Gain or Loss
09/19/12	Sell	ARTIO GLOBAL GLOBAL HIGH INCOME CL I JHYIX	01/21/10	-678.295	\$10.32	\$7,000.00	\$9.91	\$6,721.90	-\$278.10
09/19/12	Sell		01/29/10	-3.786	10.21	38.66	9.91	37.51	-1.15
09/19/12	Sell		02/26/10	-4.112	10.12	41.61	9.91	40.75	-0.86
09/19/12	Sell		07/17/20	-4.623	10.39	48.03	9.91	45.81	-2.22
09/19/12	Sell		04/07/10	-191.755	10.43	2,000.00	9.91	1,900.30	-99.70
09/19/12	Sell		04/30/10	-5.438	10.55	57.37	9.91	53.89	-3.48
09/19/12	Sell		05/28/10	-5.694	9.98	56.83	9.91	56.42	-0.41
09/19/12	Sell		06/30/10	-7.522	86′6	75.07	9.91	74.54	-0.53
09/19/12	Sell		07/30/10	-6 143	10.27	63.09	9,91	60.87	-2.22
09/19/12	Sell		08/31/10	-6.399	10.21	65.33	9.91	63.41	-1.92

YEAR-TO-DATE LONG-TERM REALIZED GAIN/LOSS continue on page 12







Page 11 of 22

YEAR-TO-DATE LONG-TERM REALIZED GAIN/LOSS (continued)

1									
Closing Date	Transaction Type	Description/Security ID	Date Acquired	Quantity	Acquisition Price	Cost Basis	Sale Price	Proceeds	Gain or Loss
09/19/12	Sell	ARTIO GLOBAL (continued)	09/30/10	-6.226	10.42	64.88	9.91	61.70	-3.18
09/19/12	Sell		10/29/10	-5.718	10.62	60.72	9.91	56,66	4.06
09/19/12	Sell		11/30/10	-5.847	10.45	61.10	9.91	57.94	-3.16
09/19/12	Sell		12/30/10	-15.175	10.18	154.48	9.91	150,38	4.10
09/19/12	Sell		12/30/10	-12.548	10.18	127.74	9.91	124.35	-3.39
09/19/12	Sell		12/30/10	-10.271	10.18	104.56	9.91	101.78	-2.78
09/19/12	Sell		01/31/11	-5.5	10.40	57.20	9.91	54.50	-2.70
09/19/12	Sell		02/28/11	-5.127	10.46	53.63	9.91	50.81	-2.82
09/19/12	Sell		03/31/11	-7.149	10.42	74.49	9.91	70.84	-3.65
09/19/12	Sell		04/29/11	-5.516	10.56	58.25	9.91	54.66	-3,59
09/19/12	Sell		05/31/11	-6.04	10.52	63.54	9.91	59.85	-3.69
09/19/12	Sell		06/30/11	-5.824	10.29	59.93	9.91	57.71	-2.22
09/19/12	Sell		07/29/11	-5.339	10.35	55.26	9.91	52.91	-2.35
09/19/12	Sell		08/31/11	-6.893	9.67	66.66	9.91	68.31	1.65
	Total			-1,016.94		10,508.43		10,077.80	-430.63

YEAR-TO-DATE LONG-TERM REALIZED GAIN/LOSS continue on page 13



# YEAR-TO-DATE LONG-TERM REALIZED GAIN/LOSS (continued)

TEAR-TO-	ALE LONG-LERM F	EAR-10-DATE LONG-TERM REALIZED GAIN/LOSS (continued)							
Closing Date	Transaction Type	Description/Security ID	Date Acquired	Quantity	Acquisition Price	Cost Basis	Sale Price	Proceeds	Gain or Loss
06/07/12	Sell	ARTISAN FDS INC SMALL CAP VALUE FD ARTVX	09/25/07	-103.185	18.14	1,871.77	14.94	1,541.58	-330.19
06/07/12	Se <i>ll</i>		12/20/07	-69.871	13.66	954.44	14.94	1,043.87	89,43
06/07/12	Sell		12/20/07	-29.986	13.66	409.61	14.94	447.99	38,38
06/07/12	Sell		12/18/08	-17.331	9.94	172.27	14.94	258.92	86.65
06/07/12	Sell		12/18/09	-0.63	13.99	8.82	14.94	9.41	0.59
06/07/12	Sell		01/21/10	-104.022	14.42	1,500.00	14.94	1,554.09	54.09
06/07/12	Sell		12/17/10	-0.378	16.81	6.35	14.94	5.64	-0.71
	Total	***************************************		-325.403		4,923.26	***************************************	4,861.50	-61.76
03/05/12	Sell	PIMCO FUNDS ALL ASSET ALL AUTHORITY FUND CLP PAUPX	09/28/10	-139.276	31. 13. 13. 13.	1,550.06	10.77	1,500.00	-50.06
03/29/12	Sell	PRUDENTIAL JENNISON SMALL COMPANY FUND INC CL Z PSCZX	09/25/07	-153.513	23.27	3,572.24	23.11	3,547.68	-24.56
03/29/12	Sell		11/21/07	-1.824	21.50	39.21	23.11	42.15	2.94
03/29/12	Sell		11/21/07	-8.237	21.50	177.10	23.11	190.35	13.25
03/29/12	Sell		11/21/07	-7.191	21.50	154.60	23.11	166.18	11.58
03/29/12	Sell		11/26/08	-3.962	10.60	42.00	23.11	91.56	49.56

YEAR-TO-DATE LONG-TERM REALIZED GAIN/LOSS continue on page 14



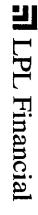
Questions? Contact Bob Tucker (703)481-2280

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# YEAR-TO-DATE LONG-TERM REALIZED GAIN/LOSS (continued)

1 4									
Closing Date	Transaction Type	Description/Security ID	Date Acquired	Quantity	Acquisition Price	Cost Basis	Sale Price	Proceeds	Gain or Loss
03/29/12	Sell	PRUDENTIAL JENNISON (continued)	11/26/08	-38,477	10.60	407.85	23.11	889.21	481.36
03/29/12	Sell		11/26/08	-0.698	10.60	7.40	23.11	16.13	8.73
03/29/12	Sell		10/12/09	-61.996	16.13	1,000.00	23.11	1,432.74	432.74
03/29/12	Sell		11/20/09	-1.796	15.90	28.55	23.11	41.50	12.95
03/29/12	Sell		11/19/10	-0.279	19.64	5.47	23.11	6.45	0.98
	Total			-277.973		5,434.42		6,423.95	989.53
06/06/12	Sell	THORNBURG INTL VAL CL I TGVIX	03/11/11	-185.624	29.07	5,396.09	24.37	4,523.65	-872.44
06/06/12	Sell		03/28/11	-0.311	29.47	9.16	24.37	7.57	-1.59
	Total			-185.935		5,405.25		4,531.22	-874.03
07/31/12	Sell	THORNBURG VALUE CL1 TVIFX	05/07/09	-180,45	24.57	4,434.26	29.77	5,371.99	937.73
07/31/12	Sell		06/29/09	-0.827	26.10	21.60	29.77	24.61	3.01
07/31/12	Sell		09/28/09	-0.964	29.98	28.90	29.77	28.69	-0.21
07/31/12	Sell		12/28/09	-0.91	31.88	29.02	29.77	27.09	-1.93
07/31/12	Se#		03/29/10	-0.176	32.95	5.83	29.77	5.23	-0.60
07/31/12	Sell		06/28/10	-0.081	29.95	2.43	29.77	2.41	-0.02

YEAR-TO-DATE LONG-TERM REALIZED GAIN/LOSS continue on page 15



# YEAR-TO-DATE LONG-TERM REALIZED GAIN/LOSS (continued)

		\$3 010 KZ	₹3// 910 E7
-69.989	.989 36.56		36.56
-183.668	83.668	3.668 4,530.51	
-0.077	-0.077 37.45		37.45
-0.183	-0.183 31.14		31.14
Quantity	Acquisition Price	Acq	Acquisition Price

TEAR-10-	DAIL SHOKI-IEKN	CAR-IO-DAIC SHOKI-IEKWI KEALIZEU GARVICOSS							
Closing Date	Transaction Type	Description/Security ID	Date Acquired	Quantity	Acquisition Price	Cost Basis	Sale Price	Proceeds	Gain or Loss
09/19/12	Sell	ARTIO GLOBAL GLOBAL HIGH INCOME CL I JHYIX	09/30/11	6.13	\$9.29	<b>\$</b> 56.95	\$9.91	\$60.75	\$3.80
09/19/12	Se/l		10/31/11	-5.667	9.75	55.25	9.91	56,1 <b>6</b>	0.91
09/19/12	Se#	Sell	11/30/11	-7.16	9.34	66.87	9.91	70.96	4.09
09/19/12	Se//		12/29/11	-17.921	9.28	166.31	9.91	177.61	11.30
09/19/12	Se#	Sell	12/29/11	-9.452	9.28	87.71	9.91	93.67	5.96
09/19/12	Se#		01/31/12	-5.907	9.54	56.35	9.91	58.54	2.19
09/19/12	Se#		02/29/12	-6.62	9.69	64.15	9.91	65.61	1.46
09/19/12	Sell	Sell	03/30/12	-6,446	9.68	62.40	9.91	63.88	1.48

YEAR-TO-DATE SHORT-TERM REALIZED GAIN/LOSS continue on page 16



Questions? Contact Bob Tucker (703)481-2280



Account Detail / Chris' ROTH IRA 4246-0886

YEAR-TO-DATE SHORT-TERM REALIZED GAIN/LOSS (continued)

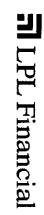
Closing	Transaction Type	Description/Security ID	Date Acquired	Quantity	Acquisition Price	Cost Basis	Sale Price	Proceeds	Gain or Loss
09/19/12	Sell	ARTIO GLOBAL (continued)	04/30/12	-7.402	9.65	71.43	9.91	73.36	1.93
09/19/12	Sell		05/31/12	-8.089	9.43	76.28	9.91	80.17	3.89
09/19/12	Sell		06/29/12	-6.825	9.49	64.77	9.91	67.64	2.87
09/19/12	Sell		07/31/12	-7.471	9.61	71.80	9.91	74.05	2.25
09/19/12	Sell		08/31/12	-7.566	9.70	73.39	9.91	75.00	1.61
	Total			-102.656		973.66		1,017.40	43.74
06/07/12	Sell	ARTISAN FDS INC SMALL CAP VALUE FD ARTVX	12/16/11	-23.818	14.48	344.89	14.94	355.85	10.96
06/07/12	Sell		12/16/11	-6,485	14.48	93.91	14.94	96.89	2.98
06/07/12	Sell		12/16/11	-0.137	14.48	1.98	14.94	2.05	0.07
	Total	***************************************		-30,44		440.78		454.79	14.01
03/29/12	Sell	PRUDENTIAL JENNISON SMALL COMPANY FUND INC CL Z PSCZX	11/21/1	-0.705	20.41	14.39	23.11	16.30	1.91
06/06/12	Sell	THORNBURG INTL VAL CLI TGVIX	06/27/11	-1.204	28.91	34.81	24.37	29.34	-5.47
06/06/12	Sell		09/27/11	-0.831	23.61	19.62	24.37	20.26	0.64
06/06/12	Sell		12/27/11	-0.328	24.51	8 05	24.37	7.99	-0.06

YEAR-TO-DATE SHORT-TERM REALIZED GAIN/LOSS continue on page 17



# YEAR-TO-DATE SHORT-TERM REALIZED GAIN/LOSS (continued)

Closing Date	Transaction Type	Description/Security ID	Date Acquired	Quantity	Acquisition Price	Cost Basis	Sale Price	Proceeds	Gain or Loss
06/06/12	Sell .	THORNBURG INTL VAI. (continued)	03/27/12	-0.523	27.55	14.41	24.37	12.76	-1.65
	Total		*** * * *** ** ** ** ** ** ** ** ** **	-2.886		76.89	\$ 4 H T P P P P P P P P P P P P P P P P P P	70.35	-6.54
07/31/12	Se#	THORNBURG VALUE	12/02/11	-95.113	30,49	2,900.00	29.77	2,831.55	-68.45
07/31/12	Æ		12/27/11	-0.243	30.00	7.28	29.77	7.24	-0.04
	Total			-95,356		2,907.28		2,838.79	-68.49
TOTAL YEA	R-TO-DATE SHOP	TOTAL YEAR-TO-DATE SHORT-TERM REALIZED GAIN/LOSS				\$4,413.00		\$4,397.63	-\$15.37
						Cost Basis		Proceeds	Gain or Loss
TOTAL YEA	R-TO-DATE REAL	TOTAL YEAR-TO-DATE REALIZED GAIN/LOSS			:	\$39,323.57		\$40,048.23	\$724.66



Questions? Contact Bob Tucker (703)481-2280



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Account Detail / Chris' ROTH IRA 4246-0886

# Account Messages as of September 30, 2012

#### MESSAGES FROM LPL FINANCIAL

## FINRA BROKERCHECK PROGRAM HOTLINE INFORMATION

BrokerCheck Program may be obtained from the FINRA. The Financial Industry Regulatory Authority (FINRA) requires that we provide the following information concerning the FINRA's BrokerCheck Program. The FINRA Regulation BrokerCheck Program Hotline Number is (800) 289-9999. The FINRA Regulation Web Site Address is www.finra.org/brokercheck. An Investor Brochure that includes the information describing the

Any complaints regarding the handling of your account should be directed to your financial advisor and to LFL's Legal Department at (800) 775-4575 extension 4497

## WITHHOLDING NOTICE FOR LPL FINANCIAL RETIREMENT ACCOUNTS:

withheld, you have the option to change the withholding election. Please note, if you elect not to have taxes withheld from your LPL Financial retirement account distribution payments, or if you do not have enough income tax withheld, you may be responsible for payment of estimated tax, and you may incur penalties under the estimated tax rules. If you are currently taking IRA distributions via IRA Premier Checking, then taxes are not being withheld pursuant to the terms of the elected feature. It is your responsibility to satisfy all tax obligations on distributions from your account(s). If you wish to change your withholding election, please contact your financial advisor to obtain a Distribution Request form. if you are currently receiving periodic distributions, Distributions on Demand, or a Required Minimum Distribution Service from your LPL Financial retirement account and are having taxes

## NON-TRANSFERABLE SECURITIES THAT ARE WORTHLESS

account may or may not be affected. Should you have any questions or concerns, please contact your financial advisor. As part of our continuing effort to provide exceptional service, please be advised that LPL Financial will remove any non-transferable securities that are worthless from customer accounts, your

## ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY)

EAI is calculated by taking the indicated annualized dividend and multiplying by the number of shares owned. EY is calculated by taking the EAI and dividing by the aggregate value of the shares owned. If no dividend information is available, no EAI or EY numbers will be generated. EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. Additionally the actual dividend or yield may vary depending on the security issuer's approval of paying the dividends. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

#### ICA INFORMATION

The Insured Cash Account (ICA) is a bank deposit sweep program with an extra level of protection. Your balances in the ICA program are allocated to each depository institution on the Priority Bank List in increments of \$246,500 for individual and trust accounts and \$493,000 for joint accounts. As always, you should review your cash positions with various depository institutions to determine whether your cash is within the FDIC insurance coverage limits. For more information about FDIC insurance limits, please contact your financial advisor or go to http://www.fdic.gov/deposit/deposits/changes.html

Please be advised that the Priority Bank List for the ICA program has some important additions and/or deletions to the participating banks or the sequence of participating banks in the ICA program. Please consult your financial advisor or our website periodically throughout the month for recent updates and information regarding how these changes may impact your assigned http://lplfinancial.lpl.com/Documents/PDF/LPL-ICA-Disclosure-Booklet.pdf current interest rates. The current rates are available at http://lplfinancial.lpl.com/x68.xml. You can find information on the fees associated with the ICA program at Priority Bank List rotation. The interest rates paid by ICA program banks on ICA program accounts are based on the prevailing interest rate environment and typically change with changes in



### **Current Account Information**

Important Account Information Verification. Please review the information below. No action is required on your part unless you have updates to current or missing information, in which case please modify and return this form to LPL Financial, New Accounts, PO Box 509027, San Diego, CA 92150 or fax this form to (858) 546-0874. If you have questions, please contact your LPL Financial Advisor.

\*\*note - please do not include other instructions, as they will not be honored on this form\*\*

**Account Level Information** 

BRR

#### ה LPL Financial

Questions? Contact Bob Tucker (703)481-2280

Work Phone Number Home Phone Number Affiliated with a Financial Institution Occupation/Former Occupation Residence Address Account Owner Account investment Objective (definitions on next page) Liquid Net Worth Net Worth (exclusive of residence) Annual Income Account Class 202 7583530 중 CONSULTING SERVICES CHRISTOPHER S. PERKINS Growth A Between \$250,000 and \$499,999 SWM II - Retirement 703 4939458 LORTON VA 22079 Current Information Between \$250,000 and \$499,999 Between \$250,000 and \$499,999 **Current Information Updated Information** Updated Information Please check here to also update your mailing address.

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## **Definition of Investment Objectives**

that achievement of the stated investment objective is a long-term goal for the account. Following is a list of available investment objectives. The investment objectives are overall objectives for the entire account and may be inconsistent with a particular holding at any time. Please note

Emphasis is placed on generation of current income and prevention of capital loss A. Income with Capital Preservation. Designed as a longer-term accumulation account, this is the most conservative investment objective.

B. Income with Moderate Growth. Emphasis is placed on generation of current income with a secondary focus on moderate capital growth.

C. Growth with Income. Emphasis is placed on modest capital growth with some focus on generation of current income

D. Growth. Emphasis is placed on achieving high long-term growth and capital appreciation. There is little focus on generation of current

E. Aggressive Growth. Emphasis is placed on aggressive growth and maximum capital appreciation. There is no focus on generation of current income. This objective has a very high level of risk and is for investors with a longer time horizon.

allowed in advisory accounts). F. Trading. Emphasis is placed on speculative transaction activity. This objective represents acceptance of an extremely high level of risk (not



Account Protection (PL Financial is a member of the Securities Investor Protection Corporation (SIPC). SIPC provides protection for your account up to \$500,000, including \$100,000 in free cash balances, in the unlikely event that LPL fails financially. SIPC protection limits apply to all accounts that you hold in a particular capacity. For example, if you hold two accounts at IPL as a sole account holder and a third as a joint account holder, the two individual accounts are protected under SIPC up to a combined limit of \$500,000, and the joint account is protected under SIPC up to a combined limit of \$500,000 insured Cash Accounts are not protected by SIPC. LPL accounts have excess SIPC-like coverage to cover the net equity of customer accounts subject to a \$750 million aggregate firm limit. More information on SIPC, including an SIPC Brochure, may be obtained by calling SIPC directly at (202) 371-8300 or by

visiting <a href="https://www.sipc.org">www.sipc.org</a>.

Adjusted Cost The cost basis of securities sold, matured, redeemed or Adjusted foost The cost basis of securities sold, matured, redeemed or exercised is adjusted for return of principal, original issue discount, accrual and partnership distributions for CMO, CDO, REMIC and MIP transactions. Eligible securities on the Realized Gains and Losses Statement have been adjusted for bond amortization, return of capital, liquidating distributions, wash sales or similar items. N/A displays when the information is incomplete or missing and is treated as zero when calculating totals.

Agency If LPL Financial acts as your agent, or as agent for both you and another person in a transaction, the transaction details, including the identity of the seller or buyer and the source and amount of any fees or payments will be supplied upon written request.

Asset-backed Securities The actual yield from transactions in asset-backed securities (e.g., CMO, FNMA, FHLMC OR GMNA transactions) may vary according to the rate at which the underlying assets or receivables are repaid. Information about yield factors is available from your financial advisor on repurse.

request.

Calted Securities in the event of a partial call of corporate or municipal Calted Securities to be called bonds, or preferred stock held in bulk segregation, the securities to be called will automatically be selected on a random basis, as is customary in the securities inclustry. The probability that your securities will be selected is proportional to the amount of your holdings relative to the bulk holdings. A detailed description of the random selection procedure is available upon

Change of Address Please notify your financial advisor/representative or LPL Change of Address.

Cost Basis Transactions are automatically paired against holdings on a "First-In/First-Out" basis (unless manually adjusted). Designating liquidations as "versus purchase" on a trade will cause the trade continuation or other closed tax lot notification to reflect the selected closed tax lots, for assets not purchased in the LPL account, you or the previous broker / dealer upon transfer may have provided the Date Acquired and Purchase Cost of the position. If no such data was submitted, NJA is listed as the Purchase Cost, and is treated as zero when calculating Gain or Loss totals. Since the cost basis on certain securities may have been provided by another source, the cost basis information on your statement may not reflect accurate data or correspond to data on your trade confirmations. This information should not be relied upon for tax reporting purposes. Please refer to your tax reporting statement, if applicable, for accounts electing average cost, the total cost may be computed using a combination of averaged and non-averaged unit prices for alkinha. ever infine.

## Disclosures and Other Information

contact your financial advisor for the broker/dealer's contact information. Your financial advisor's address and telephone number can be found on the bottom of each page of this statement. LPI. Financial is telephone number is (800) 558-7567 and address can be found on the flist page of this statement. Additionally, any verbal communications should be re-confirmed in writing to each of the above parties to further protect your rights, including rights under Securities havestor Protection Act (SIPA).

Free Credit Balances LPI. Financial may use your free credit balances subject to the limitations of 17 CFR Section 240, 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive from us, upon demand in the course of normal business, the delivery of any free credit balances to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are notitled, any fully paid securities to which you are notitled, any fully paid securities of the parties of the latest to the limitation of the course of normal business, the delivery of any free credit balances to Which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled. immediately of any discrepancies on your statement. If your financial advisuable of any discrepancies on your statement. If your financial advisuable of the mass well. Please Discrepancies Please notify your financial advisor and LPL Financial

Insured Cash Accounts (ICA) Cash in the ICA program is protected by the Federal Deposit Insurance Corporation (FDIC). LPI Financial allocates your money in the ICA program to banks listed in the Priority Bank List in increments up to \$250,000 per depositor per depository institution \$500,000 for joint accounts) until your balance in the ICA program is allocated up to a total of \$1,500,000 (\$3,000,000 for joint accounts). Recent legislation permanently increased FDIC coverage to \$250,000 per depositor per bank \$500,000 for joint account holders). More information on FDIC insurance is available on request, or by visiting the FDIC website at www.fdic.gov. LPL Financial is not a bank. Unless otherwise disclosed, securities and other investments obtained through LPL financial ARE NOT FDIC INSURED, ARE NOT BANK (GLURARITEED AND MAY LOSE VALUE.

Investment Risk LPL Financial is not a bank, savings and loan, or credit union. Securities and insurance offered through LPL and its affiliates are not FDIC, NCUA or government insured, not endossed or guaranteed by LPL, its affiliates or any other financial institution, are not a deposit, and involve investment risk including possible loss of principal.

Investments held Outside LPL Financial Information on investments Held Outside LPL is provided for informational purposes only. Values for investments not held in your LPL account are based on the market value of priced securities at the end of the statement period. Values for annuities reflect a pricing date approximately three business days prior to the statement date, depending on the availability of the data. The account registration for the LPL account with which it is affiliated. For example, an outside investment with a joint registration may be reflected on an LPL account with an individual registration.

Margin Account if you use margin, this statement combines information about your investment accounts; and a process of the Board of Governors of the federal Reserve System. The permanent record of the separate accoun

upon request.

Money Market Funds Money market fund transactions, if any, are displayed chronologically. The 30-day yield for the fund is also reflected as of the

Municipal Material Disclosures Copies of any material disclosures for municipal bonds are available at www.emma.msrb.org. To obtain specific municipal bond information, enter the nine-digit CUSIP number in the Search field within the EMMA web site. If you do not have access to the internet or would prefer a physical copy of the material disclosure, please contact your

financial advisor. Additional municipal bond information that may be available on www.emma.mstb.org, includes, but is not limited to: advance refunding documents, continuing disclosures, including annual financial statements and notices of material events, real-time and historical trade data, daily market statistics and educational material.

N/A or "— Data information that displays as N/A or "—" is unavailable, missing or incomplete and is treated as zero when calculating account totals, market values and performance.

Open Orders Open Orders are transactions pending execution, i.e., purchase and/or sale orders that you have placed, but that have not been executed by the end of the statement period.

Option Citents information on commissions and other charges incurred in connection with the execution of option transactions has been included in the confirmations of these transactions furnished to you. A summary of this information will be made available upon request. In accordance with the Option Agreement and Approval Form you signed, you must promptly advise the firm of any material change in your investment objectives or financial the summary of the control of

Payment for Order Flow LPL Financial acts as your agent and receives payment for order flow, the source and nature of which will be furnished to you upon written request.

Pricing Securities prices shown on this statement may vary from actual liquidation value. We receive prices from various services, which are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt instruments including, but not limited to portfolio value. We receive prices from various services, which are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt instruments including, but not limited to portfolio value. We receive prices may be generated by a matrix system or market driven pricing model, taking various factors into consideration. These prices may not be the actual price you would receive if you sold before the maturity of a certificate of deposit. The pricing of listed options takes into account the last dosing price, as well as the current bid and offer prices. Where securities have not been priced, their values have not been included in the Portfolio Summary information at the beginning of this statement. Purchase Cost Original cost including fees, commissions and less accrued interest of the quantity sold or redeemed. For transferred securities, this could be the purchase amount you or the former institution provided to us. Purchase Cost of adjusted to reflect corporate actions, such as stock splits, mergers, spin-offs or other events. N/A is displayed when the information at incomplete or missing and is treated as zero when calculating totals. "Transferred securities may not be included in Purchase Cost. Regulation All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market—and its clearing house, if any—where the transactions are executed, and of the Financial for the purchase of the person from whom your

from whom your security was purchased.

Statement of Financial Condition LPL Financial's financial statement is available for inspection at our office. We will mail a copy to you upon written

Sweep Option Your account may provide for a daily sweep into an insured Cash Account. If you have any questions about your sweep option, including rates or the depository institutions currently participating in the sweep option, or you would like to change your sweep option, please contact your financial advisor. LPL Financial LLC is an affiliate of LPL Financial Holdings Inc.

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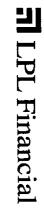
Questions? Contact Bob Tucke

(703)481-2280

LPL Financial



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CHRISTOPHER S. PERKINS AND

LORTON VA 22079-3272

Bob Tucker • (703)481-2280 Your Account Manager:

Reston, VA 20190-5038 Reston Wealth Management 1984 Isaac Newton Sq.W #107

Securities Offered Through
LPL Financial
Member FINRA/SIPC
9785 Towne Centre Drive, San Diego, CA 92121-1968
75 State Street, 24th Floor, Boston, MA 02109-1827



#### Joint Account

ATTACHMENT C

Statement Period

September 1 to September 30, 2012

Investment Account Strategic Wealth Management II investment Objective

4310-5785

Growth

Total Value of Your Account as of September 30, 2012

\$32,631.02

INVESTMENTS HELD AT LPL FINANCIAL

Ending Value as of September 30, 2012 as of December 31, 2011 Previous Year-End Value ncrease/decrease in Market Value **Vithdrawals** Additions **Beginning Value** as of September 1, 2012 Dividends, Interest and Capital Gains \$28,734,21 \$31,660.68 \$32,631.02 860.54 109.70

\$32,631.02	\$31,660.68	\$28,734.21	TOTAL VALUE OF YOUR ACCOUNT
Value on September 30, 2012	Value on August 31, 2012	Year-End Value December 31, 2011	

1 Increase/decrease in Market Value reflects the impact of changes in the value of securities held in your LPL Financial account, as well as the impact of any transfers of securities into or out of your account during the statement period.

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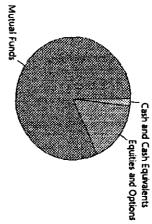
### ILPL Financial

#### Joint Account

Statement Period
September 1 to September 30, 2012

## ACCOUNT INVESTMENT SUMMARY as of September 30, 2012

Investment Type	Amount	Percent
Cash and Cash Equivalents	\$643.76	1.97%
Equities and Options	5,313.00	16,28%
Fixed Income		1
Mutual Funds, ETFs and Closed-End Funds	26,674.26	81.75%
Total	\$32,631.02	100.00%



Estimated Annual Income

\$963.00

## Account Holdings as of September 30, 2012

#### CASH AND CASH EQUIVALENTS

\$643.76		NTS	TOTAL CASH AND CASH EQUIVALENTS
627.93	\$	1	Total Insured Cash Account
627.93			Goldman Sachs Bank USA 627.93
			Insured Cash Account?
\$15.83			Cash
Current Balanca	Blendd Interest Rie	Interest Paid in Septamber	Description Interest Paid Blendd Current Interest Ree! Balance

#### EQUITIES AND OPTIONS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost <sup>3</sup>	Unrealized	Estimated Annual Income <sup>a</sup>	Estimated 30-Day Yield
04/30/10	NEOSTEM INC NEW <sup>C</sup>	4,500	\$0.69	\$3,105.00	\$2.0500	\$9,225.00 9,225.00	-\$6,120.00		ì
04/30/10	500 345.00	500	# P P P P P P P P P P P P P P P P P P P	345.00	2.0495	1,024.75 1,024.75	-679.7!	Arrand	- Andrew
10/08/10	100	1		69.00	1.8600	186.00 186.00	-117.00	1	
10/12/10	2,600 1,794.00	2,600		1,794.00	1.8600	4,836.00 4,836.00	-3,042.00	_	
Total		7,700		5,313.00	1.9833	15,271.75 15,271.75	-9,958.7!		
TOTAL EQU	TOTAL EQUITIES AND OPTIONS			\$5,313.00		\$15,271.75 \$15,271.75	-\$9,958.7!	ı	

C Dividends and/or capital gains distributed by this security will be distributed as cash.



Account Holdings / Joint Account 4310-5785



See message section for further information. Interest is paid on daily balances at a blended rate reflecting the weighted average rate during thistatement month.

Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and ae not available for margin purposes.

is calculated. Purchase Cost equals Cost Basis less any reinvested dividends and interest.

Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD(EY) for information on how this figure

## Account Holdings as of September 30, 2012

## MUTUAL FUNDS, ETHS AND CLOSED-END FUNDS

	\$963	-\$8,291.66	\$40,922,68 \$39,809.80		\$32,631.02				
	Estimated Annual Income	Unraelized Gain or Loss	Cost Basis/ Purchase Cost <sup>4</sup>		Market Value			Value of Your LPL Financial Account	Value of
			\$23,894.29				ill be reinvested.	* Date of Earliest Acquisition R Dividends and/or capital gains distributed by this security will be reinvested.	
	\$963	\$1,667.09	\$25,007.17		\$26,674.26			TOTAL MUTUAL FUNDS, ETF3 AND CLOSED-END FUNDS	TOTAL ML
2.08%	60	86.73	2,837.59 2,837.59	57.91	2,924.32	59.68	49	VANGUARD DIVIDEND APPRECIATION ETF VIG	07/31/12 Purchases
1.94%	107	370.91	5,288,83 5,190.56	10.47	5,659.74	11.20	505.334	PIMCO TOTAL R RETURN IV CL P PTUPX	12/13/11* Purchases
7.29%	585	124.40	7,989.33 7,048.43	11.00	8,113.73	11.17	726.386	PIMCO ALL R ASSET ALL AUTHORITY CL P PAUPX	09/28/10* Purchases
5.05%	211	525.63	4,091.42 4,017.71	14.25	4,617.05	16.08	287.13	MFS EMERGING R MARKETS DEBT CL I MEDIX	01/21/10* Purchases
1	1	\$559.42	\$4,800.00 4,800.00	\$34.76	\$5,359.42	\$38.81	138.094	ARTISAN MID R CAP INVESTOR CL ARTIMX	12/02/11* Purchases
Estimated 30-Day Yield	Estimated Annual income	Unrealized Gain or Loss	Cost Basis/ Purchase Cost	Unit Cost	Market Value	Price	Quantity	Description/Security ID	Date Acquired

<sup>3</sup> Purchase Cost equals Cost Basis less any reinvested dividends and interest.

a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

4 Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments

#### Questions? Contact Bob Tucker (703)481-2280

### ILPL Financial

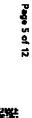
## Account Activity as of September 30, 2012

#### **ACCOUNT ACTIVITY SUMMARY**

Period Ending August 31, 2012	Period Ending September 30, 2012	Year-to-Date
-\$250.00	-	-\$4,587.59
1		4,075.14
1	-	-
1		
26.37	109.70	444.25
-26.36	-93.87	-428.28
-405.86	-	-810.24
1	i	
and the second s		-297.93
	Period Ending August 31, 2012 -\$250.00	September.

DIVIDEND	DIVIDENDS, INTEREST AND CAPITAL GAINS	APIALGAINS	
Date	Activity Type	Description/Security ID	Amount
09/04/12	09/04/12	MFS EMERGING  MARKETS DEBT CL    090412 285.97700  MEDIX	\$18.29
09/04/12	09/04/12 Cash Dividend	PIMCO TOTAL RETURN IV CL P 083112 504.56300 PTUPX AS OF 08/31/12	8.57

DIVIDENDS, INTEREST AND CAPITAL GAINS continue on page 6



<sup>1</sup> Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes.
See the message section for further information.
Fees and expenses include account, custodial and advisory fees assessed during the statement period.

### ILPL Financial

## Account Activity as of September 30, 2012

+ 1 : : :			TOTAL DOWNERS TO STREET	
		REINVEST AT 11.150 PAUPX		
-67.01	6.01	PIMCO ALL ASSET ALL AUTHORITY	Dividend Reinvest	09/21/12
-8.57	0.771	PIMCO TOTAL RETURN IV CL P REINVEST AT 11.110 PTUPX	Dividend Reinvest	09/04/12
-\$18.29	1.153	MFS EMERGING MARKETS DEBT CL   REINVEST AT 15.860 MEDIX	Dividend Reinvest	09/04/12
Amount	Quantity	Description/Security ID	Activity Type	Date
04.0017				
15.83		VANGUARD DIVIDEND APPRECIATION ETF 092812 49 VIG	Cash Dividend	09/28/12
		CL P 092012 720.37600 PAUPX AS OF 09/20/12		,
67.01		PIMCO ALL ASSET ALL AUTHORITY	Cash Dividend	09/21/12
Amount		Description/Security ID	Activity Type	Date
A				ĺ

\$444.25	\$109.70	5	TOTAL DIVIDENDS AND INTEREST
	100 70	\$0.01	Bank Deposit Sweep
Yes	August 31, 2012 September 30, 2012 Year-to-Dat	August 31, 2012	Description
		ARY	DIVIDEND AND INTEREST SUMMARY

## YEAR-TO-DATE SHORT-TERM REALIZED GAIN/LOSS.

Closing Date	Transaction Type	Description/Security ID	Date Acquired	Quantity	Acquisition Price	Cost Basis	Sale Price	Proceeds	Gain or Loss
05/31/12	Sell	FRANKLIN TEMPLETON HARD CURRENCY ADVSR CL ICHHX	08/10/11	-120.965	\$10.20	\$1,233.84	\$9.02	\$1,091.10	-\$142.74
05/31/12	Sell		12/19/11	ю	9.11	55.45	9.02	52.32	ù :i
	Total	na persona de dem des tradi es es desters es destes qua pandi spinipto de desse de destes den en esta me		-126.765		1,289.29		1,143,42	-145.87
07/31/12	Sell ·	THORNBURG VALUE CL I TVIFX	12/02/11	-98.393	30,49	3,000.00	29.77	2,929.15	-70.85
07/31/12	Se/I		12/27/11	-0.086	30.00	2.57	29.77	2,57	
	Total			-98,479		3,002.57		2,931.72	-70.85
TOTAL YEA	NR-TO-DATE SHO	TOTAL YEAR-TO-DATE SHORT-TERM REALIZED GAIN/LOSS				\$4,291.86		\$4,075,14	-\$216.72
						Cost Basis		Proceeds	Gain or Loss
TOTAL YEA	NR-TO-DATE REA	TOTAL YEAR-TO-DATE REALIZED GAIN/LOSS				\$4,291.86		\$4,075,14	-\$216.72

Questions? Contact Bob Tucker (703)481-2280

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Account Detail / Joint Account 4310-5785

## ILPL Financial

# Account Messages as of September 30, 2012

#### MESSAGES FROM LPL FINANCIAL

## FINRA BROKERCHECK PROGRAM HOTLINE INFORMATION

The Financial Industry Regulatory Authority (FINRA) requires that we provide the following information concerning the FINRA's BrokerCheck Program. The FINRA Regulation BrokerCheck BrokerCheck Program may be obtained from the FINRA. Program Hotline Number is (800) 289-9999. The FINRA Regulation Web Site Address is www.finra.org/brokercheck. An Investor Brochure that includes the information describing the

Any complaints regarding the handling of your account should be directed to your financial advisor and to LPL's Legal Department at (800) 775-4575 extension 4497

## NON-TRANSFERABLE SECURITIES THAT ARE WORTHLESS

As part of our continuing effort to provide exceptional service, please be advised that LPL Financial will remove any non-transferable securities that are worthless from customer accounts. Your account may or may not be affected. Should you have any questions or concerns, please contact your financial advisor.

## ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY)

EAI is calculated by taking the Indicated annualized dividenci and multiplying by the number of shares owned. EY is calculated by taking the EAI and dividing by the aggregate value of the shares owned. If no dividend information is available, no EAI or EY numbers will be generated. EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. Additionally the actual dividence or yield may vary depending on the security issuer's approval of paying the dividences. EY reflects only the income generated by an investment. It does not reflect changes in its price,

#### ICA INFORMATION

The insured Cash Account (ICA) is a bank deposit sweep program with an extra level of protection. Your balances in the ICA program are allocated to each depository institution on the Priority Bank List in increments of \$246,500 for individual and trust accounts and \$493,000 for joint accounts. As always, you should review your cash positions with various depository institutions to determine whether your cash is within the FDIC insurance coverage limits. For more information about FDIC insurance limits, please contact your financial advisor or go to http://www.fdic.gow/deposit/deposits/changes.html

Please be advised that the Priority Bank List for the ICA program has some important additions and/or dejetions to the participating banks or the sequence of participating banks in the ICA program. Please consult your financial advisor or our website perjodically throughout the month for recent updates and information regarding how these changes may impact your assigned Priority Bank List rotation. The interest rates paid by ICA program banks on ICA program accounts are based on the prevailing interest rate environment and typically change with changes in current interest rates. The current rates are available at http://iplimancial.jpl.com/x68.xmi. You can find information on the fees associated with the ICA program at

### Current Account Information

Important Account Information Verification. Please review the information below. No action is required on your part unless you have updates to current or missing information, in which case please modify and return this form to LPL Financial, New Accounts, PO Box 509027, San Diego, CA 92150 or fax this form to (858) 546-0874. If you have questions, please contact your LPL Financial Advisor.

\*\*note - please do not include other instructions, as they will not be honored on this form\*\*

Annual income

Account Class

SWM II - Non-Retirement

**Account Level Information** 

器

Questions? Contact Bob Tucker (703)481-2280 LPL Financial Current Account Information / Joint Account 4310-5785

Liquid Net Worth Work Phone Number Home Phone Number Affiliated with a Financial Institution Work Phone Number Home Phone Number Affiliated with a Financial Institution Occupation/Former Occupation Residence Address Primary Owner Account Investment Objective (definitions on next page) Net Worth (exclusive of residence) Occupation/Former Occupation Residence Address Growth Between \$250,000 and \$499,999 **Current Information** Between \$250,000 and \$499,999 **ADMINISTRATIVE OFFICE SUPPORT Current information** 202 7583530 CONSULTING SERVICES Current Information CHRISTOPHER S. PERKINS Between \$250,000 and \$499,999 202 7583530 703 4939458 8834 ROMMEL DRIVE LORTON VA 22079 PETRA R. PERKINS 703 4939458 LORTON VA 22079 **Updated Information** Updated Information Updated information Please check here to also update your mailing address. Please check here to also update your mailing address,

Owner #2

Page 9 of 12

## **Definition of Investment Objectives**

that achievement of the stated investment objective is a long-term goal for the account. Following is a list of available investment objectives. The investment objectives are overall objectives for the entire account and may be inconsistent with a particular holding at any time. Please note

Emphasis is placed on generation of current income and prevention of capital loss A. Income with Capital Preservation. Designed as a longer-term accumulation account, this is the most conservative investment objective

B. Income with Moderate Growth. Emphasis is placed on generation of current income with a secondary focus on moderate capital growth

C. Growth with Income. Emphasis is placed on modest capital growth with some focus on generation of current income

D. Growth. Emphasis is placed on achieving high long-term growth and capital appreciation. There is little focus on generation of current

E. Aggressive Growth. Emphasis is placed on aggressive growth and maximum capital appreciation. There is no focus on generation of current income. This objective has a very high level of risk and is for investors with a longer time horizon.

allowed in advisory accounts). F. Trading. Emphasis is placed on speculative transaction activity. This objective represents acceptance of an extremely high level of risk (not

#### ILPL Financial

Account Protection LPL Financial is a member of the Securities Investor Account Protection (SIPC). SIPC provides protection for your account up to \$500,000, including \$100,000 in free cash balances, in the unlikely event that \$500,000, including \$100,000 in free cash balances, in the unlikely event that \$100,000, including a particular capacity. For example, if you not two accounts that you hold in a particular capacity. For example, if you hold two accounts at IPL as a sole account holder and a third as a joint account holder, the two individual accounts are protected under SIPC up to a combined limit of \$500,000, and the joint account is protected under SIPC separately up to \$500,000. Insured the joint accounts are not protected by SIPC. IPL accounts have excess SIPC-like Cash Accounts are not protected by SIPC. IPL accounts have excess SIPC-like coverage to cover the net equity of customer accounts subject to a \$750 coverage to cover the net equity of customer accounts subject to a \$750 million aggregate firm limit. More information on SIPC, including an SIPC million aggregate firm limit. More information on SIPC, including an SIPC million aggregate firm limit. More information on SIPC, including an SIPC million aggregate firm limit accounts are not protected to sIPC. Including an SIPC million aggregate firm limit.

wisting www.sipc.org.

Adjusted Cost The cost basis of securities sold, matured, redeemed or Adjusted Cost The cost basis of securities on discount, accrual exercised is adjusted for return of principal, original issue discount, accrual and partnership distributions for CMO, CDO, REMIC and MILP transactions. Bigible securities on the Realized Gains and Losses Statement have been eligible securities on the Realized Gains and Losses Statement have been adjusted for bond amortization, return of agiral, liquidating distributions, adjusted for bond amortization, return of agiral, liquidating distributions, wash sales or similar items. WIA displays when the information is incomplete or missing and is treated as zero when calculating totals.

Or missing and is treated as zero when calculating totals, including the identity another person in a transaction, the transaction defails, including the identity of the seller or buyer and the source and amount of any fees or payments will be supplied upon written request.

Asset-backed Securities in the actual yield from transactions in asset-backed Asset-backed upon written request.

Asset-backed Securities in the actual yield from transactions in asset-backed asset-backed asset backed the underlying assets or receivables are repaid. Information about yield factors is available from your financial advisor on information about yield factors is available from your financial advisor on

request.

Called Securities in the event of a partial call of corporate or municipal Called Securities to be called bonds, or preferred stock held in bulk segregation, the securities to be called bonds, or preferred stock held in a random basis, as is customary in the will be selected on a random basis, as is customary in the securities inclustry. The probability that your securities will be selected is proportional to the amount of your holdings relative to the bulk holdings. A proportional to the amount of your holdings relative to the bulk holdings. A detailed description of the random selection procedure is available upon

Change of Address Please notify your financial advisorirepresentative or LPL Change of Address Please notify your financial advisorirepresentative or LPL Change of Address Please withing of any change of address. Financial promptly in writing of any change of address. Cost Basis Tansactions are automatically paired against holdings on a Cost Basis Tansactions are automatically paired adjusted). Designating liquidations "First-IntFirst-Out" basis (unless manually adjusted). Designating liquidations as "versus purchase" on a trade will cause the trade contimation or other as "versus purchase" on a reade will cause the trade contimation of the provided the Date Acquired and Purchase Cost of the transfer may have provided the Date Acquired and Purchase Cost of the transfer may have period and purchase the cost and is treated as zero when calculating Gain or Loss totals. Since the cost basis on certain securities may have been provided by another source, the cost basis information on your statement may not reflect accurate data or boards information should not correspond to data on your trade confirmations. This information should not be reflect upon for fax reporting purposes. Please refer to your tax reporting be refled upon for fax reporting purposes. Please refer to your tax reporting statement, if applicable, For accounts electing average cost, the total cost may statement, if applicable, for accounts electing average cost, the total cost may be computed using a combination of averaged and non-averaged unit prices for eligible securities.

## Disclosures and Other Information

immediately of any discrepancies on your statement. If your financial advisor is affiliated with another broker/dealer, you must notify them as well. Please affiliated with another broker/dealer, you must notify them as well. Please contact your financial advisor for the broker/dealer's contact information. Your financial advisor's address and telephone number can be found on the bottom of each page of this statement. LP. Financial's telephone number is (800) 558.756.7 and address can be found on the first page of this statement. Additionally, any verbal communications should be re-confirmed in writing to each of the above parties to further protect your rights, including rights under securities investor Protection Act (\$IPA).

Securities investor Protection Act (\$IPA) and use your free credit balances. Free Credit Balances LPI. Financial may use your free credit balances to be course of normal business; the delivery of any free credit balances to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled. By the collection of the financial.

LPI Financial. Discrepancies Please notify your financial advisor and LPL Financial

IPL Financial.

IPL Financial Cash Accounts (ICA) Cash in the ICA program is protected by the Insurac Cash Accounts (ICA) Cash in the Priority Bank List in money in the ICA program to banks listed in the Priority Bank List in money in the ICA program to banks listed in the Priority Bank List in money in the ICA program to allocates your reduced by the first accounts) until your balance in the ICA program is allocated up to a fool of \$1,500,000 (\$3,000,000 for joint accounts). Recent legislation per bank permanently increased FDIC coverage to \$250,000 per depositor per bank \$1500,000 for joint account holders). More information on FDIC insurance is [3500,000 for joint account holders]. More information on FDIC insurance is [3500,000 for joint account holders]. More information on FDIC insurance is [3500,000 for joint account holders]. More information on FDIC insurance is [3500,000 for joint account holders]. More information on FDIC insurance is investment to balance in the ICA program and other investment & State (INC) in the state insurance offered through LPI and its affiliates are not EDIC, NCUA or government institution, are not a deposit, and involve investment risk including possible loss of principal.

Investment six including possible loss of principal.

Investment in the end of the statement period, values for annutities priced securities at the end of the statement period, values for investments not held in your LPI account are based on the market value of investments not held in your LPI account are based on the market value of investment soles investments such as Managed Futures and REII's date, values for alternative investments such as Managed Futures and REII's date, values for alternative investments such as Managed Futures and REII's date, lead investment the lead outside LPI may not be the same as the registration for the LPI account with which it is affiliated. For same as the registration for the LPI account with which it is affiliated. For same as the registration for the late of the perio

Noney Market Funds Money market fund transactions, if any, are displayed chronologically. The 30-day yield for the fund is also reflected as of the

Municipal Material Disclosures Copies of any material disclosures for municipal bonds are available at www.emma.msrb.org. To obtain specific municipal bond information, enter the nine-digit CUSIP number in the Search field within the EMMA web site. If you do not have access to the Internet or copy of the material disclosure, please contact your

financial advisor. Additional municipal bond information that may be available on <a href="https://www.emma.mstb.org">www.emma.mstb.org</a>, includes, but is not limited to: advance refunding documents, continuing disclosures, including annual financial statements and notices of material events, real-time and historical trade data, daily market statistics and educational material.

NUA or "—" Data information that displays as N/A or "—" is unavailable, missing or incomplete and is treated as zero when calculating account totals, market values and performance.

Open Orders Open Orders are transactions pending execution, i.e., purchase and/or sale orders that you have placed, but that have not been executed by the product of the cristorians reactions.

the end of the statement period.

Option Client's information on commissions and other charges incurred in Option Client's information on commissions has been included in the connection with the execution of option transactions has been included in the confirmations of these transactions furnished to you. A summary of this information will be made available upon request. In accordance with the option Agreement and Approval Form you signed, you must promptly advise the firm of any material change in your investment objectives or financial

pricing sequinces pinces shown should only be used as a general guide to liquidation value. Prices shown should only be used as a general guide to portfolio value. We receive pinces from various services, which are sometimes portfolio value. We receive pinces from various services, which are sometimes portfolio value. We receive pinces from various services are not readily unable to provide timely information. Where pricing sources are not imited to, bills, notes, bonds, banker's acceptances, certificates of deposit, or ollis, notes, bonds, banker's acceptances, certificates of deposit, or ollis, notes, bonds, banker's acceptances, certificates of deposit, or ollis, notes, bonds, banker's acceptances, certificates of deposit, or ollis, or consideration. These market driven pricing model, taking various factors into consideration. These market driven pricing model, taking various factors into consideration. These market driven pricing of itsed options takes into account the last closing price, as well as the current bid and offer prices. Constitution of a certificate of deposit. The pricing of listed options takes into maturity of a certificate of deposit. The pricing of listed options takes into maturity of a certificate of the pricing of the certificate of the deality sold or redeemed, their values have not been included in the purchase Cost of the quantity sold or redeemed, for transferred securities, this could interest of the quantity sold or redeemed, for transferred securities, this could interest of the quantity sold or other events. Wha is displayed when the sold totals. "Transferred securities may not be included in Purchase Cost totals." Transferred securities may not be included in Purchase Cost totals. "Transferred securities may not be included in Purchase Cost totals." Transferred securities may not be included in Purchase Cost totals. "Transferred securities may not be included in Purchase Cost totals." Transferred securities may not be included in Purchase Cost totals. Transferred securities may Payment for Order Flow LPL Financial acts as your agent and receives payment for order flow, the source and nature of which will be furnished to you upon written request.

Pricing Securities prices shown on this statement may vary from actual Pricing.

from whom your security was purchased. Statement of Financial Condition (PI Financial's financial statement is available for inspection at our office. We will mail a copy to you upon written

Swipe Digition Your account may provide for a daily sweep into an insured Cash Account. If you have any questions about your sweep option, including rates or the depository institutions currently participating in the sweep option, you would like to change your sweep option, please contact your financial or you would like to change your sweep option, please contact your financial

LPL Financial LLC is an affiliate of LPL Financial Holdings Inc.

SUPPLY OF OSTIZ SI





42460886 Page 11 of 12

Questions? Contact Bob Tucker (703)481-2280

LPL Financial

Page 12 of 12 42460886

AMACHMENT D

Investment Objective

Growth

Total Value of Your Account as of September 30, 2012

**LORTON VA 22079** 

PTC CUST IRA FBO

\$6,280.03

Year-End Value December 31, 2011	
Value on August 31, 2012	
Value on September 30, 2012	

Ending Value as of September 30, 2012

\$6,280.03

TOTAL VALUE OF YOUR ACCOUNT

80.08

\$6,188.72

\$6,280.03

Your Account Manager:
Bob Tucker • (703)481-2280
Reston Wealth Management
1984 Isaac Newton Sq.W #107
Reston, VA 20190-5038

Securities Offered Through
LPL Financial
Member FINRA/SIPC
9785 Towne Centre Drive, San Diego, CA 92121-1968
75 State Street, 24th Floor, Boston, MA 02109-1827

ILPL Financial

Chris' IRA / Retirament Account Strategic Wealth Management II 4624-2722

the impact of any transfers of securities into or out of your account during the statement period.

Increase/decrease in Market Value reflects the impact of changes in the value of securities held in your LPL Financial account, as well as

Page 1 of 8

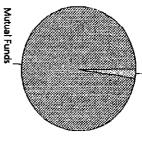


### ILPL Financial

#### Chris' IRA

Statement Period
September 1 to September 30, 2012

100.00%	\$6,280.03 1	Total
97.42%	6,118.21	Mutual Funds, ETFs and Closed-End Funds
		Fixed Income
1	1	Equities and Options
2.58%	\$161.82	Cash and Cash Equivalents
Percent	Amount	Investment Type
	f September 30, 2012	ACCOUNT INVESTMENT SUMMARY as of September 30, 2012



Cash and Cash Equivalents

EARNINGS SUMMARY as of September 30, 2012 Estimated Annual Income

\$254.00

## Account Holdings as of September 30, 2012

#### CASH AND CASH EQUIVALENTS

\$161.82		ALENTS	TOTAL CASH AND CASH EQUIVALENTS
161.82	N/A		Total Insured Cash Account
\$161.82		Goldman Sachs Bank USA \$161.82	Goldman Sachs Bank USA
· · · · · · · · · · · · · · · · · · ·		Insured Cash Account?	Insured Cash Account?
Bajance	Interest Rate	in September	Description
Current	Blended	Interest Paid	

## **MUTUAL FUNDS, ETFS AND CLOSED-END FUNDS**

			!						
Data Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost <sup>3</sup>	Unrealized Gain or Loss	Estimated Annual income <sup>3</sup>	Estimated 30-Day Yield <sup>a</sup>
06/13/12* Purchases	BLACKROCK GLOBAL R ALLOCATION INVESTOR CL A MDLOX	153.431	\$19.57	\$3,002.64	\$18.37	\$2,819.01 2,800.00	\$183.63	<b>\$</b> 48	1.62%
04/25/12* Purchases	DOUBLELINE TOTAL R RETURN BOND CL N DLTNX	273.536	11.39	3,115.57	11.24	3,074,49 3,000.00	41.08	206	6.70%
TOTAL MU	TOTAL MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS			\$6,118.21		\$5,893.50 \$5,800,00	\$224.71	\$254	
	<ul> <li>Date of Earliest Acquisition</li> <li>Dividends and/or capital gains distributed by this security will be reinvested</li> </ul>	be reinvested.							
Value of	Value of Your LPL Financial Account		,	Market Value		Cost Basis/ Purchase Cost <sup>4</sup>	Unrealized Gain or Loss	Estimated Annual Income	
				\$6,280.03		\$6,055.3 <b>2</b> \$5,961.82	\$224.71	\$254	-



ILPL Financial

Questions? Contact Bob Tucker (703)481-2280

interest is paid on daily balances at a blended rate reflecting the weighted average rate during the statement month.

Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes.

See message section for further information.

is calculated. Purchase Cost equals Cost Basis less any reinvested dividends and interest.

Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure

Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments

### ILPL Financial

## Account Activity as of September 30, 2012

#### **ACCOUNT ACTIVITY SUMMARY**

	Period Ending August 31, 2012	Period Ending September 30, 2012	Year-to-Date
Securities Purchased	1	**************************************	-\$5,800.00
Securities Sold	1	_	
Additions to Your Account			6,000.00
Withdrawals from Your Account	_		
Dividends, Interest and Capital Gains	14.61	15,06	93.61
Reinvestments	-14,61	-15,06	-93.50
Net Change in Bank Deposit Sweep Balance <sup>1</sup>	-		161.82
Net Change in Money Market Fund Balance			_
Fees and Expenses <sup>2</sup>	}		-38.29

CANIDEIAR	DIVIDENDS, INTEREST AND CAPITAL GAINS	AFIIAL GAINS	
Date	Activity Type	Description/Security ID	Amount
09/05/12	09/05/12 Cash Dividend	DOUBLELINE TOTAL	\$15.06
		RETURN BOND CL N	1
		083112 272,20700	
		AS OF 08/31/12	
TOTAL DIV	VIDENDS, INTEREST	TOTAL DIVIDENDS, INTEREST AND CAPITAL GAINS	\$15.06

<sup>1</sup> Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes. See the message section for further information. 2 Fees and expenses include account, custodial and advisory fees assessed during the statement period.

## Account Activity as of September 30, 2012

#### REINVESTMENTS

TOTAL REINVESTMENTS

-\$15.06

		-	_	
Date	Activity Type	Description/Security ID	Quantity	Amount
21/50/60	ND5/12 Dividend Reinvest	DOUBLELINE TOTAL	1.329	-\$15.06
		RETURN BOND CL N		
		REINVEST AT 11.330		

### ILPL Financial

## Account Detail as of September 30, 2012

#### DIVIDEND AND INTEREST SUMMARY

\$93.61	\$15.06	TOTAL DIVIDENDS AND INTEREST \$14,61
93.50	15,06	Mutual Funds 14.61
\$0.11	1	lank Deposit Sweep —
Year-to-Date	August 31, 2012 September 30, 2012 Year-to-Date	August 31, 2012

LPL Financial

#### MESSAGES FROM LPL FINANCIAL

## finra brokercheck program hotline information

Program Hotline Number is (800) 289-9998. The FINRA Regulation Web Site Address is www.finra.org/brokercheck. An Investor Brochuse that includes the information describing the BiokerCheck Program may be obtained from the FINRA. The Financial Industry Regulatory Authority (FINRA) requires that we provide the following information concerning the FINRA's BrokerCheck Program. The FINRA Regulation BrokerCheck

Any complaints regarding the handling of your account should be directed to your financial advisor and to LPL's Legal Department at (800) 775-4575 extension 4497

## MITHHOLDING NOTICE FOR LPL FINANCIAL RETIREMENT ACCOUNTS:

If you are currently receiving periodic distributions, Distributions on Demand, or a Required Minimum Distribution Service from your LPL Financial retirement account and are having taxes withheld, you have the option to change the withholding election. Please note, if you elect not to have taxes withheld from your LPL Financial retirement account distribution payments, or if you do not have enough income tax withheld, you may be responsible for payment of estimated tax, and you may incur penalties under the estimated tax rules. If you are currently taking IRA distributions via IRA Premier Checking, then taxes are not being withheld pursuant to the terms of the elected feature. It is your responsibility to satisfy all tax obligations on distributions from your account(s). If you wish to change your withholding election, please contact your financial advisor to obtain a Distribution Request form.

## NON-TRANSFERABLE SECURITIES THAT ARE WORTHLESS

account may or may not be affected. Should you have any questions or concerns, please contact your financial achisor. As part of our continuing effort to provide exceptional service, please be advised that LPL Financial will remove any non-transferable securities that are worthless from customer accounts. You

## estimated annual income (EAI) and estimated yield (EY)

shares owned. If no dividend information is available, no EAI or EY numbers will be generated. EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. Additionally the actual dividend or yield may vary depending on the security issuer's approval of paying the dividends. EY reflects only the income generated by an investment. It does not reflect changes in its price. EAL is calculated by taking the indicated annualized dividend and multiplying by the number of shares owned. EY is calculated by taking the EAL and dividing by the aggregate value of the

#### ICA INFORMATION

which may fluctuate.

The Insured Cash Account (ICA) is a bank deposit sweep program with an extra level of protection, Your balances in the ICA program are allocated to each depository institution on the Priority Bank List in increments of \$246,500 for individual and trust accounts and \$493,000 for joint accounts. As always, you should review your cash positions with various depository institutions to Beark List in increments of \$246,500 for individual and trust accounts and \$493,000 for joint accounts. As always, you should review your cash is within the FDIC insurance coverage limits. For more information about FDIC insurance limits, please contact your financial advisor or go to http://www.fdic.gov/deposit/deposits/changes.html

Please be advised that the Priority Bank List for the ICA program has some important additions and/or deletions to the participating banks or the sequence of participating banks in the ICA program. Please consult your financial advisor or our website periodically throughout the month for recent updates and information regarding how these changes may impact your assigned Priority Bank List rotation. The interest rates paid by ICA program banks on ICA program accounts are based on the prevailing interest rate environment and typically changes with changes in current interest rates. The current rates are available at http://plfinancial.lpl.com/x68.xml. You can find information on the fees associated with the ICA program as



Account Protection LPL Financial is a member of the Securities Investor Account Protection (SIPC). SIPC provides protection for your account up to Protection Corporation (SIPC). SIPC provides protection for your account up to \$500,000, including \$100,000 in free cash balances, in the writkely event that \$500,000, including \$100,000 in free cash balances, in the writkely event that in a particular capacity. For example, if you hold two accounts that you hold account holder and a third as a joint account holder, the two individual accounts are protected under SIPC up to a combined limit of \$500,000, and accounts are protected under SIPC up to a combined limit of \$500,000. Insured the joint accounts are not protected by SIPC. IPL accounts have excess SIPC-like Cash Accounts are not protected by SIPC. IPL accounts also siPC million aggregate firm limit. More information on SIPC, including an SIPC Brochure, may be obtained by calling SIPC directly at (202) 371-8300 or by sitting accounts are size.

wisiting when sign of securities sold, matured, redeemed or Adjusted Cost. The cost basis of securities sold, matured, redeemed or Adjusted Cost. The cost basis of securities only original issue discount, accrual exercised is adjusted for return of principal, original issue discount, accrual exercised is adjusted for both Realized Gains and Losses Statement have been Eligible securities on the Realized Gains and Losses Statement have been Eligible securities from the Realized Gains and Losses Statement have been eligible securities from the Realized Gains and Losses Statement have been Eligible securities from the statement of the Information is incomplete wash sales or similar items. N/A displays when the information is incomplete wash sales or similar items. N/A displays when the indentity another person in a transaction, the transaction details, including the identity another person in a transaction, the transaction details, including the identity another person in a transaction, the transaction of any including the identity another person in a transaction, the transactions in asset-backed Asset-backed upon written request.

Securities (e.g., CMO, FNMA, FHLMC OR, GMNA transactions) may vary securities (e.g., CMO, FNMA, FHLMC OR, GMNA transactions) may vary securities (e.g., CMO, FNMA, FHLMC OR, GMNA transactions) may vary securities for the rate at which the underlying assets or receivables are repaid.

Called Securities in the event of a partial call of corporate or municipal Called Securities to be called bonds, or preferred stock held in bulk segregation, the securities to be called will automatically be selected on a random basis, as is customary in the will automatically be selected on a random securities will be selected is securities industry. The probability that your securities will be selected is proportional to the amount of your holdings relative to the bulk holdings. A personnel detailed description of the random selection procedure is available upon

Change of Address Please notify your financial advisor/representative or LPL Change of Address Please notify your financial advisor/representative or LPL Change of Address. Financial promptly in writing of any change of address. Financial promptly in writing of any change of address. Cost Basis Transactions are automatically palies adjusted. Designating liquidations "First-Infrist-Out" basis (unless manually adjusted). Designating liquidations "First-Infrist-Out" basis (unless manually adjusted). Designating liquidations as "versus purchase" on a trade will cause the trade confirmation or other closed tax for notification to reflect the selected closed tax for selection purchased in the LPI account, you or the previous broker / dealer upon purchased in the LPI account, you or the previous broker / dealer upon brasis information on your statement may not reflect accurate data or basis information on your statement may not reflect accurate data or basis information on your statement may not reflect accurate data or basis information on your statement may not reflect accurate data or basis information on your statement may not reflect accurate data or basis information or your tax reporting be relied upon for tax reporting purposes. Please refer to your tax reporting be relied upon for tax reporting purposes. Please refer to your tax reporting be computed using a combination of averaged and non-averaged unit prices for eligible securities.

## Disclosures and Other Information

Discrepancies Please notify your financial advisor and LPL financial immediately of any discrepancies on your statement. If your financial advisor is immediately of any discrepancies on your statement. If your financial advisor is affiliated with another broker/dealer, you must notify them as well. Please affiliated with another broker/dealer's contact information. Your contact your financial advisor's address and telephone number can be found on the finst page of this statement. LPL Financial's telephone number is (800) of each page of this statement. LPL Financial's telephone number is (800) of each page of this statement. Securities in address can be found on the first page of this statement. Securities investor Protection Act (3)PA). Securities investor protection Act (3)PA) is also according to the limitations of 17 CFR Section 240, 15c3-3 under the Securities subject to the limitations of 17 CFR Section 240, 15c3-3 under the Securities of the course of normal business, the delivery of any free credit balances to the course of normal business, the delivery of any free credit balances to the course of normal business, the delivery of any free credit balances to the course of normal business, the delivery of any free credit balances to the course of normal business, the delivery of any free credit balances to the course of normal business.

any securities purchased on margin upon full payment of any indebtedness to LPE Financial.

Federal Deposit Insurance Corporation (FDIC). LPL impancial allocates your Federal Deposit Insurance Corporation (FDIC). LPL impancial allocates your Federal Deposit Insurance Corporation (FDIC). LPL impancial allocates your Federal Deposit Insurance Corporation (FDIC). LPL impancial allocates your Federal Deposit on the ICA program is allocated up to a for joint account) until your balance in the ICA program is allocated up to a for joint account your balance in the ICA program is allocated up to a for joint account you (\$500,000 increments up to \$250,000 per deposition per bank permanently increased FDIC coverage to \$250,000 per deposition per bank permanently increased FDIC coverage to \$250,000 per deposition per bank permanently increased FDIC coverage to \$250,000 per deposition per bank permanently increased FDIC coverage to \$250,000 per deposition per bank permanently increased FDIC permanently. Polic mercance is \$500,000 for joint account holders). More information on FDIC insurance is \$500,000 for joint account per permanent in FDIC well and its affiliates are not union. Securities and insurance offered through LPI and its affiliates are not union. Securities and insurance offered through LPI and its affiliates are not union. Securities and insurance offered through LPI and its affiliates are not union. Securities and insurance offered through LPI and its affiliates are not union. Securities and insurance offered through LPI and its affiliates are not union. Securities and insurance offered through LPI and its affiliates are not union. Securities and insurance offered through LPI and its affiliates are not union. Securities and the end of the statement period. Values for annulties priced securities at the end of the statement period. Values for annulties are pricing date approximately three business days prior to the statement flows three statement and period. Values for annulties and a period value in the period value of three data. The account with an individual registration.

It is a permanent in the

upon request.

Money Market Funds Money market fund transactions, if any, are displayed Money Market Funds Money market fund is also reflected as of the chronologically. The 30-day yield for the fund is also reflected as of the

municipal bonds are available at www.emma.msfb.org. To obtain specific municipal bond information, enter the nine-digit CUSIP number in the Search field within the EMMA web site. If you do not have access to the Internet or would prefer a physical copy of the material disclosure, please contact your Municipal Material Disclosures Copies of any material disclosures for

financial advisor. Additional municipal bond information that may be available on <a href="https://www.emma.nistb.org">www.emma.nistb.org</a>, includes, but is not limited to: advance refunding on <a href="https://www.emma.nistb.org">www.emma.nistb.org</a>, including annual financial statements and documents, continuing disclosures, including annual financial statements and polices of material events, real-time and historical trade data, daily market notices of material events.

statistics and educational material.

NA or "-" Data Information that displays as N/A or "-" is unavailable. missing or incomplete and is treated as zero when calculating account totals.

market values and performance.

Open Orders Open Orders are transactions pending execution, i.e., purchase open Orders hat you have placed, but that have not been executed by the end of the statement period.

Option Clients information on commissions and other charges incurred in connection with the execution of option transactions has been included in the confilmations of these transactions furnished to you. A summary of this confilmation will be made available upon request. In accordance with the Information will be made available upon request, in accordance with the Option Agreement and Approval Form you signed, you must promptly advise Option are material change in your investment objectives or financial the film of any material change in your investment objectives or financial

Payment for Order Flow LPL Financial acts as your agent and receives Payment for order flow, the source and nature of which will be furnished to payment for order flow, the source and nature of which will be furnished to payment for order flow, the source and nature of which will be furnished to payment for order flow, the receive pices from various services, which are sometimes portfolic value, We receive pices from various services are not readily unable to provide timely information. Where pricing sources are not readily unable to provide timely information, where pricing sources are not readily unable to provide timely information. Where pricing, but not limited to, available, particularly on certain debt instruments including, but not limited to, so the prices may not be the actual price you would receive if you sold before the prices may not be the actual price you would receive if you sold before the prices may not be the actual price you would receive if you sold before the prices may not be the actual price you would receive if you sold before the prices may not be the actual price you would receive if you sold before the prices may not be the actual price you would receive if you sold before the prices may not be the order of deposit. The pricing of listed options takes into maturity of a certificate of deposit. The pricing of listed options takes into maturity of a certificate of deposit. The pricing of listed options takes into many the actual price you would receive it you sold before the prices. Securities have not been included in Where securities that you or the former its displayed when the splits, mergers, spin-offs or other events. NA is displayed when the patients on its incomplete or missing and is treated as zero when calculating information is incomplete or missing and is treated as zero when calculating the prices. Securities and the constitution, rules, regulations, seages, rulings and interpretations of the exchange or market-and customs, and the prices are provided to the following th

Sweep Option Your account may provide for a daily sweep into an insured Cash Account. If you have any questions about your sweep option, including Cash Account. If you have any questions about your sweep option rates or the depository institutions currently participating in the sweep option or you would like to change your sweep option, please contact your linancial or you would like to change your sweep option, please contact your linancial

LPL Financial LLC is an affiliate of LPL Financial Holdings Inc.

STUPUNOM rev 09/12 RJ



LORTON VA 22079-3272 PTC CUST ROTH IRA FBO

Your Financial Consultant: Bob Tucker • (703)481-2280 Reston Wealth Management robert.tucker@LPL.COM Reston, VA 20190-5038 1984 Isaac Newton Sq.W #107

Securities Offered Through
LPL Financial
Member FINRASIPC 9785 Towne Centre Drive, San Diego, CA 92121-1968 75 State Street, 24th Floor, Boston, MA 02109-1827

**TI** LPL Financial

#### Petra's Roth IRA

ATTACHMENT E

Statement Period

September 1 to September 30, 2012

Retirement Account Investment Objective 5684-5432 Growth

Total Value of Your Account as of September 30, 2012

INVESTMENTS HELD AT LPL FINANCIAL

\$23,809.22

as of December 31, 2011 Beginning Value as of September 1, 2012 Dividends, Interest and Capital Gains Withdrawals Previous Year-End Value Add tions \$23,538.29 \$21,479.35

Ending Value as of September 30, 2012

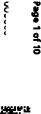
\$23,809.22

264.73

Increase/decrease in Market Value1

\$23,809.22	\$23,538.29	\$21,479.35	TOTAL VALUE OF YOUR ACCOUNT
Value on	Value on	Year-End Value	
September 30, 2012	August 31, 2012	December 31, 2011	

the impact of any transfers of securities into or out of your account during the statement period. Increase/decrease in Market Value reflects the impact of changes in the value of securities held in your LPL Financial account, as well as



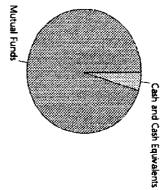
### ILPL Financial

#### Petra's Roth IRA

Statement Period
September 1 to September 30, 2012

## **ACCOUNT INVESTMENT SUMMARY** as of September 30, 2012

Investment Type	Amount	Percent
Cash and Cash Equivalents	\$1,191.16	5.00%
Equities and Options		1
Fixed Income	ţ	
Mutual Funds, ETFs and Closed-End Funds	22,618.06	95.00%
Total	\$23,809.22	100.00%



#### FINANCIAL MARKET INDICATORS

2.82%	2.67%	2.89%	30-Year Treasury Bond
0.09%	0.09%	0.01%	3-Month Treasury Bill
3,116.23	3,066.97	2,605.15	NASDAQ Composite Index
1,440.67	1,409.07	1,257.60	S&P 500 index
13,437.13	13,090.80	12,217.56	Dow Jones Industrial Average
Value on September 30, 2012	Value on August 31, 2012	Year-End Value December 2011	

## EARNINGS SUMMARY as of September 30, 2012

Estimated Annual Income	
come	
\$554.00	

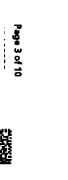
## Account Holdings as of September 30, 2012

#### CASH AND CASH EQUIVALENTS

\$1,191.16		TS	TOTAL CASH AND CASH EQUIVALENTS
1,184.97	0.010%	0.01	Total Insured Cash Account
0.02			Morgan Stanley Bank N.A. 0.02
1,184.95			Goldman Sachs Bank USA 1,184.95
			Insured Cash Account?
\$6.19			Cash \$6.19
Balance	Interest Rate	in September	Description in September   Interest Rate   Balance
Current	Blended	interest Paid	

## MUTUAL FUNDS, ETFS AND CLOSED-END FUNDS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost <sup>3</sup>	Unrealized Gain or Loss	Estimated Annual Income <sup>a</sup>	Estimated 30-Day Yield <sup>a</sup>
08/10/11* Purchases	JOHN HANCOCK II R LIFESTYLE MODERATE CL C JCLMX	1,601.65	\$13.34	\$21,366.01	<b>\$</b> 12.19	\$19,529.11 19,529.11	\$1,836.90	\$531	2.49%
03/1 1/1 1 Purchases	VANGUARD TOTAL STOCK MARKET ETF VTI	17	73.65	1,252.05	68.59	1,166.01 1,166.01	86.04	23	1.87%
TOTAL MU	TOTAL MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS			\$22,618.06		\$20,695.12 \$20,695.12	\$1,922.94	\$554	
	* Date of Earliest Acquisition R Dividends and/or capital gains distributed by this security will be reinvested	be reinvested.				,		:	
Value of	Value of Your LPL Financial Account			Market Value		Cost Basis/ Purchase Cost <sup>4</sup>	Unrealized Gain or Loss	Estimated Annual Income	
				\$23,809.22		\$21,886.28 \$21,886.28	\$1,922.94	\$554	



Account Holdings / Petra's Roth IRA 5684-5432

**T** LPL Financial

Questions? Contact Bob Tucker (703)481-2280 • robert.tucker@LPL.COM

See message section for further information. Interest is paid on daily balances at a blended rate reflecting the weighted average rate during the statement month.

Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes.

is calculated. Purchase Cost equals Cost Basis less any reinvested dividends and interest.

Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure

Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments

### **TI** LPL Financial

## Account Activity as of September 30, 2012

#### **ACCOUNT ACTIVITY SUMMARY**

	Period Ending August 31, 2012	Period Ending September 30, 2012	Year-to-Date
Securities Purchased	1		
Securities Sold	-	-	
Additions to Your Account	-	-	
Withdrawals from Your Account	-	_	
Dividends, Interest and Capital Gains	0.02	6.20	473.17
Reinvestments	1	1	
Net Change in Bank Deposit Sweep Balance <sup>1</sup>	0.02	0.01	466.98
Net Change in Money Market Fund Balance	-	I	

\$6.20	TOTAL DIVIDENDS, INTEREST AND CAPITAL GAINS	IDENDS, INTEREST	TOTAL DIV
0.01	INSURED CASH ACCOUNT 092812 1,184	Interest	09/28/12 Interest
	MARKET ETF 092812 17 VTI		
\$6.19	VANGUARD TOTAL STOCK	09/28/12 Cash Dividend	09/28/12
Amount	Description/Security ID	Activity Type	Date

<sup>1</sup> Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes. See the message section for further information.

**TILPL** Financial Questions? Contact Bob Tucker (703)481-2280 + robert.tucker@LPL.COM

Account Activity / Petra's Roth IRA 5684-5432

## Account Activity as of September 30, 2012

#### **NET BANK DEPOSIT SWEEP ACTIVITY<sup>2</sup>**

Date	Activity Type	Description	Amount	Balance
09/01/12	09/01/12 Opening Balance			\$1,184.96
09/28/12	09/28/12 Interest Deposit	Insured Cash Account	0.01	1,184.97
09/30/12	09/30/12 Closing Balance			1,184.97
NET CHAN	NET CHANGE IN BANK DEPOSIT SWEEP BALANCE	SWEED BALANCE	\$0.01	

2 Bank Deposit Sweep transactions reflect the net of all transfers of free cash balances to and from your bank deposit sweep account on the date referenced. See the message section for further information.



## **al** LPL Financial

# Account Detail as of September 30, 2012

#### Description AND INTEREST SUMMARY

	The state of the s	The state of the s	
Bank Deposit Sweep	\$0.02	\$0.01	\$0.10
Mutual Funds	1	6.19	473.07
TOTAL DIVIDENDS AND INTEREST	\$0.02	\$6.20	\$473.17

Account Protection LPL Financial is a member of the Securities Investor Protection Corporation (SIPC). SIPC provides protection for your account up to \$500,000, including \$100,000 in free cash balances, in the unlikely event that LPL fails financially. SIPC protection limits apply to all accounts that you hold in a particular capacity. For example, if you hold two accounts at LPL as a sole account holder and a third as a joint account holder, the two individual accounts are protected under SIPC up to a combined limit of \$500,000, and the joint account is protected under SIPC up to a combined limit of \$500,000, and the joint account are not protected under SIPC. PL accounts have excess SIPC-like coverage to cover the net equity of customer accounts subject to a \$750 million aggregate firm limit. More information on SIPC, including an SIPC Brochure, may be obtained by calling SIPC directly at (202) 371-8300 or by

visiting <a href="https://www.sibc.org">www.sibc.org</a>.

Adjusted Cost The cost basis of securities sold, matured, redeemed or Adjusted Cost The cost basis of securities sold, matured, redeemed or exercised is adjusted for return of principal, original issue discount, accrual and partnership distributions for CMO, CDO, REMIC and MLP transactions. Eligible securities on the Realized Gains and Losses Statement have been adjusted for bond amortization, return of capital, liquidating distributions, wash sales or similar items. N/A displays when the information is incomplete or missing and is treated as zero when calculating totals.

Agency If LPL financial acts as your agent, or as agent for both you and another person in a transaction, the transaction details, including the identity of the seller or buyer and the source and amount of any fees or payments will

be supplied upon written request.

Asser-backed Securities The actual yield from transactions in asser-backed securities for a formal actual yield from transactions) may vary according to the rate at which the underlying assets or receivables are repaid. Information about yield factors is available from your financial advisor on

Called Securities in the event of a partial call of corporate or municipal bonds, or preferred stock held in bulk segregation, the securities to be called will automatically be selected on a random basis, as the securities will be selected in the securities inclusity. The probability that your securities will be selected is proportional to the amount of your holdings relative to the bulk holdings. A detailed description of the random selection procedure is available upon

request.

Change of Address Please notify your financial advisor/representative or LPL Financial promptly in writing of any change of address.

Cost Basis Transactions are automatically paired against holdings on a "first-In/First-Out" basis (unless manually adjusted). Designating liquidations as "versus purchase" on a trade will cause the trade confirmation or other closed tax lot notification to reflect the selected closed tax lots. For assets not purchased in the LPL account, you or the previous broker / dealer upon transfer may have provided the Date Acquired and Purchase Cost of the position. If no such data was submitted, N/A is listed as the Purchase Cost, and is treated as zero when calculating Gain or Loss totals. Since the cost basis on certain securities may have been provided by another source, the cost basis information on your statement may not reflect accurate data or correspond to data on your trade confirmations. This information should not be relied upon for tax reporting purposes. Please refer to your tax reporting statement, if applicable, for accounts electing average cost, the total cost may be computed using a combination of averaged and non-averaged unit prices for accounts and non-averaged unit prices.

#### Disclosures and Other Information

Discrepancies Please notify your financial advisor and LPL Financial immediately of any discrepancies on your statement. If your financial advisor is affiliated with another broker/dealer you must notify them as well. Please contact your financial advisor of the broker/dealer you must notify them as well. Please contact your financial advisor of the broker/dealer's contact information. Your financial advisor's address and telephone number can be found on the first page of this statement. LPL Financial's telephone number is (800) 558-7567 and address can be found on the first page of this statement in Additionally, any verbal communications should be re-confirmed in writing to each of the above parties to further protect your rights, including rights under Securities (and any securities purchased on margin upon full payment of any indebtedness to LPL Financial).

Insured Cash Accounts (ICA) Cash in the ICA program is protected by the redeal beposit insurence Corporation (FDC). LPL Financial allocates your money in the ICA program to banks listed in the Phority Bank List in increments up to \$250,000 per depositor per depository institution (\$500,000 for joint accounts). Recent egistation permanents brained through LPL Financial is not a bank. Unless otherwise disclosed, securities and other investments obtained through LPL Financial is not a bank. Unless otherwise disclosed, securities and other investments but his provided for information are not adeposit, and other investments and insurance offered through LPL and its affiliates are not PDIC, NCUA or government insured, not endorsed or guaranteed by LPL, its affiliates or any other financial institution, are not a deposit, and involve investments and other investments provided for informational purposes only. Values for amunities reflect a prioring date proportionally three business adays prior to the statement date, deposit and involve investment account to the statement date, deposit and involve investment account to the statement date. The second of the fede

Money Market Funds Money market fund transactions, if any, are displayed chronologically. The 30-day yield for the fund is also reflected as of the statement date.

Municipal Material Disclosures Copies of any material disclosures for municipal bonds are available at www.emma.msrb.org. To obtain specific municipal bond information, enter the nine-digit CUSIP number in the Search field within the EMMA web site. If you do not have access to the Internet or would prefer a physical copy of the material disclosure, please contact your

financial advisor. Additional municipal bond information that may be available on <a href="https://www.emma.mssb.org">www.emma.mssb.org</a>, includes, but is not limited to: advance erfunding documents, continuing disclosures, including annual financial statements and notices of material eyents, real-time and historical trade data, daily market statistics and educational material.

\*\*N/A or "-" Data information that displays as N/A or "-" is unavailable, missing or incomplete and is treated as zero when calculating account totals, missing or incomplete and is treated as zero when calculating account totals,

market values and performance.

Open Orders Open Orders are transactions pending execution, i.e., purchase ancion sale orders that you have placed, but that have not been executed by the end of the statement period.

Option Clients Information on commissions and other charges incurred in connection with the execution of option transactions has been included in the confirmations of these transactions furnished to you. A summary of this information will be made available upon request. In accordance with the Option Agreement and Approval Form you signed, you must promptly advise the firm of any material change in your investment objectives or financial situation.

Payment for Order Flow LPI Financial acts as your agent and receives payment for Order flow, the source and nature of which will be furnished to you upon written request.

Pricing Securities prices shown on this statement may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. We receive prices from various services, which are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt instruments including, but not limited to bills, notes, bonds, banker's acceptances, certificates of deposit, or commercial paper, estimated price you would receive if you sold before the maturity of a certificate of deposit. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, their values have not been included in the Portfolio Summary information at the beginning of this statement.

Purchase Cost Original cost including less, commissions and less accrued interest of the quantity sold or redeemed. For transferred securities, this could be the purchase amount you or the former institution provided to us.\*

Purchase Cost only be adjusted to reflect corporate extinns, such as stock splits, mergers, spin-offs or other events. NLA is displayed when the information is incomplete or missing and is treated as zero when calculating totals. "Fransferred securities may not be included in Purchase Cost.

Regulation All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or marker—and its clearing house, if any—where the transactions are executed, and of the Financial Industry Regulation Authority (FINRA).

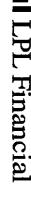
Reinvestment The dollar amount of mutual fund distributions, money market fund income, or dividends on other secunities shown on your statement may have been reinvested in additional shares. You will not receive confirmation these transaction

available for inspection at our office. We will mail a copy to you upon written

Sweep Option Your account may provide for a daily sweep into an insured Cash Account. If you have any questions about your sweep option, including rates or the depository institutions currently participating in the sweep option or you would like to change your sweep option, please contact your financial

advisor. LPL Financial LLC is an affiliate of LPL Financial Holdings Inc.

STUPUNON New DSM12



Questions? Contact Bob Tucker

(703)481-2280 • robert.tucker@LPL.COM





PTC CUST ROLLOVER IRA FBO

LORTON VA 22079-3272

Your Financial Consultant:
Bob Tucker • (703)481-2280
Reston Wealth Management
1984 Isaac Newton Sq.W #107
Reston, VA 20190-5038

robert.tucker@LPL.COM

Securities Offered Through
LPL Financial
Member FINRA/SIPC
9785 Towne Centre Drive, San Diego, CA 92121-1968
75 State Street, 24th Floor, Boston, MA 02109-1827

al LPL Financial

#### Petra's IRA

#### ATTACHMENT F

Statement Period

September 1 to September 30, 2012

Retirement Account

investment Objective

6320-6985 Growth

Total Value of Your Account as of September 30, 2012

\$25,979.99

INVESTMENTS HELD AT LPL FINANCIAL

Previous Year-End Value
as of December 31, 2011

Beginning Value as of September 1, 2012

Additions

--Withdrawals

Dividends, Interest and Capital Gains
Increase/decrease in Market Value

Ending Value as of September 30, 2012

\$17,448.94

\$25,394.07

Additions

--September 1, 2012

\$25,394.07

\$25,394.07

\$25,394.07

\$25,394.07

\$25,394.07

\$25,394.07

\$25,394.07

\$25,394.07

\$25,394.07

\$25,394.07

\$25,394.07

\$25,394.07

\$25,394.07

\$25,979.99

\$25,979,99	\$25,394,07	\$17,448.94	TOTAL VALUE OF YOUR ACCOUNT
Value on September 30, 2012	Value on August 31, 2012	Year-End Value December 31, 2011	

1 Increase/decrease in Market Value reflects the impact of changes in the value of securities held in your LPL Financial account, as well as the impact of any transfers of securities into or out of your account during the statement period.

Page 1 of 12

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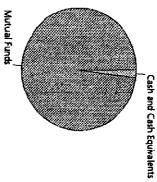
### **TI** LPL Financial

#### Petra's IRA

Statement Period
September 1 to September 30, 2012

# ACCOUNT INVESTMENT SUMMARY as of September 30, 2012

investment Type	Amount	Percent
Cash and Cash Equivalents	\$540.50	2.08%
Equities and Options		1
fixed income		
Mutual Funds, ETFs and Closed-End Funds	25,439.49	97.92%
Total	\$25,979.99	100.00%



#### FINANCIAL MARKET INDICATORS

5 0 6	6, 69.7	שליי הממי הופסטוץ בינות
August 31, 2012 Septemb 5 13,090.80 0 1,409.07 5 3,066.97 6 0.09%	7,000/	30-Vear Treasury Bond
August 31, 2012 Septemb 5 13,090.80 0 1,409.07 5 3,066.97	0.01%	3-Month Treasury Bill
August 31, 2012 Septemb 5 13,090.80 0 1,409.07	2,605.15	NASDAQ Composite Index
5 13,090.80 Septemb	1,257.60	5&P 500 Index
August 31, 2012	rage 12,217.56	Dow Jones Industrial Average
Value on	Year-End Value December 2011	

EARNINGS SUMMARY as of September 30, 2012
Estimated Annual Income \$373.00

# Account Holdings as of September 30, 2012

#### CASH AND CASH EQUIVALENTS

\$540.50		<b>.</b>	TOTAL CASH AND CASH EQUIVALENTS
483.72	0.014%	0.02	Total Insured Cash Account
483.72			Goldman Sachs Bank USA 483.72
			Insured Cash Account?
\$56.78			Cash
Balance	Interest Rate	in September*	Description in September in Interest Rate
Current	Blanded	In farmer Paird	

# MUTUAL FUNDS, ETFS AND CLOSED-END FUNDS

	Comment and Comme	2007 10 1000	LANCOUNT COST		POSSER AND AND A	:			
	Estimated	Unrealized	Cost Basis/		Adaptat Value			Value of Your IPI Financial Account	
	,						vill be reinvested.	" Date of Earliest Acquisition  R Dividends and/or capital gains distributed by this security will be reinvested	
	\$373	\$334.91	\$25,104.5 <b>8</b> \$24,050.19		\$25,439.49			TOTAL MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS	TOTAL MU
-; o. 7	ţ	464.21	11,025.19	/0.6/	11,485,40	\ 5.00 3.00	- U	MARKET ETF VII	Purchases
1 070/	,	16.43.				33.65	3 00		2000
			13,025.00					S LIFESTYLE GROWTH CL C	Purchases
1.18%	\$159	-\$129.30	\$14,079.39	\$13,55	\$13,950.09	\$13.43	1,038.726	* JOHN HANCOCK II R	09/25/07*
Estimated 30-Day Yield <sup>a</sup>	Estimated Annual Income <sup>a</sup>	Unrealized Gain or Loss	Cost Basis/ Purchase Cost <sup>3</sup>	Unit Cost	Market Value	Price	Quantity	Description/Security ID	Date Acquired
								MICHORE FUNDS, EITS AND CLUSED-END FUNDS	MICHAEL



ILPL Financial

Questions7 Contact 8ob Tucker (703)481-2280 + robert.tucker@LPL.COM

<sup>1</sup> Interest is paid on daily balances at a blended rate reflecting the weighted average rate during the statement month.
2 Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes.
See message section for further information.

Purchase Cost equals Cost Basis less any reinvested dividends and interest.

Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAL) AND ESTIMATED YIELD (EY) for information on how this figure

Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments

### **TI** LPL Financial

# Account Activity as of September 30, 2012

#### **ACCOUNT ACTIVITY SUMMARY**

	Period Ending August 31, 2012	Period Ending September 30, 2012	Year-to-Date
Securities Purchased		-\$6,155,38	-\$6,155.38
Securities Sold	1	-	
Additions to Your Account			00.000,8
Withdrawals from Your Account	1	******	
Dividends, Interest and Capital Gains	0.10	56.80	266.83
Reinvestments	*****		-163.15
Net Change in Bank Deposit Sweep Balance <sup>1</sup>	0.10	-6,155.36	-108.48
Net Change in Money Market Fund Balance	1		

Date	Activity Type	Description/Security ID	Quantity	Price	Атош
09/04/12 Purchase	Purchase	VANGUARD TOTAL STOCK	85	\$71.74	-\$6,155.
		MARKET ETF			

TOTAL SECURITIES PURCHASED

-\$6,155.38

<sup>1</sup> Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes. See the message section for further information.

Account Activity / Petra's IRA 6320-6985

ILPL Financial

## DIVIDENDS, INTEREST AND CAPITAL GAINS

Date	Activity Type	Description/Security ID	Amount
09/28/12	09/28/12 Cash Dividend	VANGUARD TOTAL STOCK	\$56.78
		MARKET ETF	
		092812 156	
		<b>\</b>	

#### **NET BANK DEPOSIT SWEEP ACTIVITY<sup>2</sup>**

09/28/12

Interest

INSURED CASH ACCOUNT 092812 483

TOTAL DIVIDENDS, INTEREST AND CAPITAL GAINS

\$56.80

0.02

	-\$6,155.36	T SWEEP BALANCE	NET CHANGE IN BANK DEPOSIT SWEEP BALANCE	<b>NET CHAN</b>
483.72			09/30/12 Closing Balance	09/30/12
483.72	0.02	Insured Cash Account	Interest Deposit	09/28/12
483.70	-6,155.38	Insured Cash Account	Withdrawal	09/07/12
\$6,639.08			09/01/12 Opening Balance	09/01/12
Balance	Amount	Description	Activity Type	Date
		(IVIC)	MET BANN DEFOSIT SWEET ACTIVITY	MEI DAINN

Date	Activity Type	Description/Security ID	Amount
09/20/12 Fee	Fee	RETIREMENT ACCT FEE	-\$40,00
09/20/12 Fee	fee	RETIREMENT ACCT FEE PAID #4238 PERKINS	40,00



<sup>2</sup> Bank Deposit Sweep transactions reflect the net of all transfers of free cash balances to and from your bank deposit sweep account on the date referenced. See the message section for further information.

### The LPL Financial

# Account Detail as of September 30, 2012

#### DIVIDEND AND INTEREST SUMMARY

Description	August 31, 2012	August 31, 2012 September 30, 2012 Year-to-Date	Year-to-Date
sank Deposit Sweep	\$0.10	\$0.02	\$0.46
Mutual Funds		56.78	266.37
TOTAL DIVIDENDS AND INTEREST	\$0.10	\$56.80	\$266.83

## ILPL Financial

Questions? Contact Bob Tucker (703)481-2280 • robert.tucker@LPL.COM

# Account Messages as of September 30, 2012

## MESSAGES FROM YOUR FINANCIAL ADVISOR

As your partner in the pursuit of your life's goals and aspirations, I am always available to meet with you to discuss how your investment portfolio can stay aligned with your goals. Please call our office today so we can set up a time to meet.

Call our office today to stop receiving paper statements!

Don't wait until the golden years to look into the benefits of a long-term care insurance policy for yourself or a loved one. The earlier you secure a policy, the more affordable your premiums are bound to be. Contact us to learn more.

#### MESSAGES FROM LPL FINANCIAL

#### PAPERLESS STATEMENTS

Go paperless and view your monthly statements and trade confirmations online. Monthly statements are available online within three business days, and trade confirmations are available the next business day after the trade is executed. To go paperless, click on the LPL Account View link accessible through your financial advisor or institution website. Paperless statements are convenient, secure, last and environmentally friendly. Enjoy the many benefits of free paperless statements and sign up today. FINRA BROKERCHECK PROGRAM HOTLINE INFORMATION

The Financial Industry Regulatory Authority (FINRA) requires that we provide the following information concerning the FINRA's BrokerCheck Program. The FINRA Regulation BrokerCheck Program Hotline Number is (800) 289-9999. The FINRA Regulation Web Site Address is www.finra.org/brokercheck. An Investor Brochure that Includes the information describing the BrokerCheck Program may be obtained from the FINRA.

Any complaints regarding the handing of your account should be directed to your financial advisor and to LPL's Legal Department at (800) 775-4575 extension 4497

# WITHHOLDING NOTICE FOR LPL FINANCIAL RETIREMENT ACCOUNTS:

withheld, you have the option to change the withholding election. Please note, if you elect not to have taxes withheld from your LPL Financial retirement account distribution payments, or if you do not have enough income tax withheld, you may be responsible for payment of estimated tax, and you may incur penalties under the estimated tax rules. If you are currently taking IRA distributions via IRA Premier Checking, then taxes are not being withheld pursuant to the terms of the elected feature. It is your responsibility to satisfy all tax obligations on distributions from If you are currently receiving periodic distributions, Distributions on Demand, or a Required Minimum Distribution Service from your LPL Financial retirement account and are having taxes your account(s). If you wish to change your withholding election, please contact your financial advisor to obtain a Distribution Request form.

## NON-TRANSFERABLE SECURITIES THAT ARE WORTHLESS

As part of our continuing effort to provide exceptional service, please be advised that LPL Financial will remove any non-transferable securities that are worthless from customer accounts. Your account may or may not be affected. Should you have any questions or concerns, please contact your financial advisor.

# ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY)

EAI is calculated by taking the indicated annualized dividend and multiplying by the number of shares owned. EY is calculated by taking the EAI and dividing by the aggregate value of the shares owned. If no dividend information is available, no EAI or EY numbers will be generated. EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. Additionally the actual dividend or yield may vary depending on the security issuer's approval of paying the dividends. EY reflects only the income generated by an investment. It does not reflect changes in its price which may fluctuate.



#### **al** LPL Financial

# Account Messages as of September 30, 2012

## MESSAGES FROM LPL FINANCIAL (continued)

#### ICA INFORMATION

The insured Cash Account (ICA) is a bank deposit sweep program with an extra level of protection Your balances in the ICA program are allocated to each depository institution on the Priority Bank List in increments of \$246,500 for Individual and trust accounts and \$493,000 for joint accounts. As always, you should review your cash positions with various depository institutions to determine whether your cash is within the FDIC insurance coverage limits. For more information about FDIC insurance limits, please contact your financial advisor or go to http://www.fdic.gov/deposits/changes.html.

Please be advised that the Priority Bank List for the ICA program has some important additions and/or deletions to the participating banks or the sequence of participating banks in the ICA program. Please consult your financial advisor or our website periodically throughout the month for recent updates and information regarding how these changes may impact your assigned Priority Bank List rotation. The interest rates paid by ICA program banks on ICA program accounts are based on the prevailing interest rate environment and typically change with changes in current interest rates. The current rates are available at http://tplifinancial.jpl.com/x68.xml. You can find information on the fees associated with the ICA program at http://lplfinancial.lpl.com/Documents/PDF/LPL-ICA-Disclosure-Booklet.pdf,

## **Current Account Information**

Important Account Information Verification. Please review the information below. No action is required on your part unless you have updates to current or missing information, in which case please modify and return this form to LPL Financial, New Accounts, PO Box 509027, San Diego, CA 92150 or fax this form to (858) 546-0874. If you have questions, please contact your LPL Financial Advisor.

\*\*note - please do not include other instructions, as they will not be honored on this form\*\*

Account Level Information

BRR

ILPL Financial Questions? Contact Bob Tucker
(703)481-2280 • robert.tucker@LPL.COM Work Phone Number Home Phone Number Affiliated with a Financial Institution Occupation/Former Occupation Residence Address Account Owner Account Investment Objective (definitions on next page) Liquid Net Worth Net Worth (exclusive of residence) Annual income Account Class Growth **Current Information** Brokerage - Retirement 202 7583530 703 4939458 ADMINISTRATIVE OFFICE SUPPORT LORTON VA 22079 **Current Information** PETRA R. PERKINS Between \$250,000 and \$499,999 Between \$250,000 and \$499,999 Less than \$25,000 Current Account Information / Petra's IRA 6320-6985 Updated Information **Updated Information** Please check here to also update your mailing address Page 9 of 12

# **Definition of Investment Objectives**

that achievement of the stated investment objective is a long-term goal for the account. Following is a list of available investment objectives. The investment objectives are overall objectives for the entire account and may be inconsistent with a particular holding at any time. Please note

A. Income with Capital Preservation. Designed as a longer-term accumulation account, this is the most conservative investment objective. Emphasis is placed on generation of current income and prevention of capital loss.

B. Income with Moderate Growth. Emphasis is placed on generation of current income with a secondary focus on moderate capital growth.

C. Growth with Income. Emphasis is placed on modest capital growth with some focus on generation of current income.

D. Growth. Emphasis is placed on achieving high long-term growth and capital appreciation. There is little focus on generation of current income

E. Aggressive Growth. Emphasis is placed on aggressive growth and maximum capital appreciation. There is no focus on generation of current income. This objective has a very high level of risk and is for investors with a longer time horizon.

allowed in advisory accounts). F. Trading. Emphasis is placed on speculative transaction activity. This objective represents acceptance of an extremely high level of risk (not



Account: Protection LPL Financial is a member of the Securities Investor Protection Corporation (SIPC). SIPC provides protection for your account up to \$500,000, including \$100,000 in free cash halances, in the unlikely event that LPL fails financially. SIPC protection limits apply to all accounts that you hold in a pairicular capacity. For example, if you hold two accounts at LPL as a sole account holder and a third as a joint account holder, the two individual accounts are protected under SIPC up to a combined limit of \$500,000, and the joint account is protected under SIPC up to a combined limit of \$500,000, Insured Cash Accounts are not protected under SIPC separately up to \$500,000, Insured Cash Accounts are not protected by SIPC. LPL accounts have excess SIPC-like coverage to cover the net equity of customer accounts subject to a \$750 million aggregate firm limit. More information on SIPC, including an SIPC Brochure, may be obtained by calling SIPC directly at (202) 371-8300 or by underlying an subject to a \$750 million aggregate firm limit. More information on SIPC, including an SIPC Brochure, may be obtained by calling SIPC directly at (202) 371-8300 or by

Adjusted Cost The cost basis of securities sold, matured, redeemed or exercised is adjusted for return of principal, original issue discount, accrual and pattnership distributions for CMO, CDO, REMIC and MLP transactions. Eligible securities on the Realized Gains and Losses Statement have been adjusted for bond amortization, return of capital, liquidating distributions, wash sales or similar items. NA displays when the information is incomplete or missing and is treated as zero when calculating totals.

Agency if LPL Financial acts as your agent, or as agent for both you and another person in a transaction, the transaction details, including the identity of the seller or buyer and the source and amount of any fees or payments will be supplied upon written request.

Asset-backed Securitles The actual yield from transactions in asset-backed securities (e.g., CMO, FNMA, FHLMC OR GMNA transactions) may vary according to the rate at which the underlying assets or receivables are repaid. Information about yield factors is available from your financial advisor on

Called Securities in the event of a partial call of corporate or municipal bonds, or preferred stock held in bulk segregation, the securities to be called will automatically be selected on a random basis, as is customary in the securities mustry. The probability that your securities will be selected is proportional to the amount of your holdings relative to the bulk holdings. A detailed description of the random selection procedure is available upon

Change of Address Please notify your financial advisor/representative or LPL Change of Address.

Cost Basis I Transactions are automatically paired against holdings on a "First-hyfirst-Out" basis (unless manually adjusted). Designating liquidations as "versus purchase" on a trade will cause the trade confirmation or other closed tax lot notification to reflect the selected closed tax lots. For assets not purchased in the LPL account, you or the previous broker I dealer upon transfer may have provided the Date Acquired and Purchase Cost of the position. If no such data was submitted, NA is listed as the Purchase Cost and is treated as zero when calculating Gain or Loss totals. Since the cost basis information on your statement may not reflect accurate data or correspond to data on your trade confirmations. This information should not be relied upon for tax reporting purposes. Please refer to your tax reporting statement, if applicable, for accounts electing average cost, the total cost may be computed using a combination of averaged and non-averaged unit prices for eligible securities.

# Disclosures and Other Information

Discrepancies Please notify your financial advisor and LPL Financial immediately of any discrepancies on your statement. If your financial advisor is affiliated with another blokenbealer, you must notify them as well. Please contact your financial advisor for the blokenbealer's contact information. Your financial advisor of the blokenbealer's contact information. Your financial advisor's address and telephone number can be found on the bottom of each page of this statement. LPL Financial's telephone number is (800) 558-7567 and address can be found on the first page of this statement. Additionally, any verbal communications should be re-confirmed in writing to each of the above parties to further protect your rights, including rights under Securities investor Protection Act (SIPA).

Free Credit Balances LPL Financial may use your free credit balances subject to the limitations of 17 CFR Section 240, 15-3-3 under the Securities Exchange Act of 1934, You have the right to receive from us, upon demand in the course of normal business, the delivery of any free credit balances to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled, and fully paid securities to which you are entitled. If Inancial.

[P. Financial of the course of margin upon full payment of any indebtedness to LPL Financial.]

Insured Cash Accounts (ICA) Cash in the ICA program is protected by the Federal Deposit Insurance Corporation (FDIC). LPL Financial silocates your money in the ICA program to banks listed in the Priority Bank List in increments up to \$250,000 per depositor per depository institution (\$500,000 for joint accounts) until your balance in the ICA program is allocated up to a total of \$1,500,000 (\$3,000,000 for joint accounts). Recent legislation permanently increased FDIC coverage to \$250,000 per depositor per bank (\$500,000 for joint accounts). Recent legislation permanently increased FDIC coverage to \$250,000 per depositor per bank (\$500,000 for joint accounts). Recent legislation permanently increased FDIC coverage to \$250,000 per depositor per bank (\$500,000 for joint accounts). Recent legislation permanently increased FDIC coverage to \$250,000 per depositor per bank (\$500,000 for joint accounts). Recent legislation permanently increased FDIC coverage to \$250,000 per depositor per bank (\$500,000 for joint accounts). Recent legislation permanently increased FDIC coverage to \$250,000 per depositor per bank (\$500,000 for joint accounts). Recent legislation permanently increased FDIC coverage to \$250,000 per depositor per bank (\$500,000 for joint accounts). Recent legislation for investments defend though LPI institution, are not a deposit, and involve investment risk including possible loss of principal. Investments Held Outside LPI Financial institution, are not a deposit, and involve investments Held Outside LPI inspiration on investments Held Outside LPI inspiration on investments Held Outside LPI inspiration on investment Held Outside LPI inspiration investments to the statement date, values for annuities frelect a pricing date approximately three business days prior to the statement facts, reflect a pricing date three to five business days prior to the statement date, depending on the availability of the data. The account registration for the LPI account with which it is affiliated. For example, an outside inv

Money Market Funds Money market fund transactions, if any, are displayed chronologically. The 30-day yield for the fund is also reflected as of the

Municipal Material Disclosures Copies of any material disclosures for municipal bonds are available at www.emma.msrb.org. To obtain specific municipal bond information, enter the nine-digit CUSIP number in the Search field within the EMMA web site. If you do not have access to the Internet or would prefer a physical copy of the material disclosure, please contact you

financial advisor. Additional municipal bond information that may be available on <a href="https://www.emma.msib.org">www.emma.msib.org</a>, includes, but is not limited to: advance refunding documents, continuing disclosures, including annual financial statements and notices of material events, real-time and historical trade data, daily market statistics and educational material.

N/A or "\_" Data Information that displays as N/A or "-" is unavailable, missing or incomplete and is treated as zero when calculating account totals,

market values and performance.

Open Orders Open Orders are transactions pending execution, i.e., purchase and/or sale orders that you have placed, but that have not been executed by the end of the statement period.

Option Clients information on commissions and other charges incurred in connection with the execution of option transactions has been included in the confirmations of these transactions furnished to you. A summary of this information will be made available upon request. In accordance with the Option Agreement and Approval Form you signed, you must promptly advise the firm of any material change in your investment objectives or financial

Payment for Order Flow LPt Financial acts as your agent and receives payment for order flow, the source and nature of which will be furnished to you upon written request.

Pricing Securities prices shown on this statement may vary from actual inquidation value. Prices shown should only be used as a general guide to portfolio value. We receive prices from various services, which are sometimes unable to provibe timely information. Where pricing sources are not readily available, particularly on certain debt instruments including, but not limited to bills, notes, bonds, banker's acceptances, certificates of deposit, or commercial paper, estimated prices may be generated by a matrix system or market driven pricing model, laking various factors into consideration. These prices may not be the actual price you would receive if you sold before the maturity of a certificate of deposit. The pricing of listed options takes into account the last closing price, as well as the current bild and offer prices. Where securities have not been included in the Portfolio Summary information at the beginning of this statement.

Purchase Cost Original cost including fees, commissions and less accrued interest of the quantity sold or redeemed. For transferred securities, this could be the purchase Cost may be adjusted to reflect corporate actions, such as stock splits, mergers, spin-offs or other events. NIA is displayed when the information is incomplete or missing and is treated as zero when calculating totals. "Transferred securities may not be included in Purchase Cost.

Regulation All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market—and its clearing house, if any—where the transactions are executed, and of the Financial Industry Regulation Authority (FINRA).

Reinvestrement The dollar amount of mutual fund distributions, money market fund income, or dividends on other securities shown on your statement may have been reinvested in additional sha

Sweep Option Your account may provide for a daily sweep into an Insured Cash Account. If you have any questions about your sweep option, including rates or the depository institutions currently participating in the sweep option, or you would like to change your sweep option, please contact your financial

LPL Financial LLC is an affiliate of LPL Financial Holdings Inc.

STUPLYON NW 09/12





LEGG, PERKINS & ASSOCIATES INDIVIDUAL(K) QRP
CHRISTOPHER S. PERKINS TTEE
FBO CUBICATE RKINS

LUKTON VA 22079

Your Account Manager:
Bob Tucker • (703)481-2280
Reston Wealth Management
1984 Isaac Newton Sq.W #107
Reston, VA 20190-5038

Securities Offered Through
LPL Financial
Member FINRASIPC
9785 Towne Centre Drive, San Diego, CA 92121-1968
75 State Street, 24th Floor, Boston, MA 02109-1827

ILPL Financial

### **Christopher Perkins's 401K**

#### ATTACHMENT G

Statement Period

September 1 to September 30, 2012

***************************************	Investment Objective	Retirement Account Strategic Wealth Management II	
And the second s	Growth	6783-4340	

Total Value of Your Account as of September 30, 2012

\$31,271.48

# INVESTMENTS HELD AT LPL FINANCIAL Previous Year-End Value as of December 31, 2011 Beginning Value as of September 1, 2012 Additions Withdrawals Dividends, Interest and Capital Gains 58.49 Increase/decrease in Market Value<sup>1</sup> 526.60

Ending Value as of September 30, 2012

\$31,271.48

\$31,271.48	\$30,686.39	\$27,781.57	TOTAL VALUE OF YOUR ACCOUNT
Value on	Value on	Year-End Value	-
September 30, 2012	August 31, 2012	December 31, 2011	

the impact of any transfers of securities into or out of your account during the statement period. Increase/decrease in Market Value reflects the impact of changes in the value of securities held in your LPL Financial account, as well as

Page 1 of 12 UUUUUU



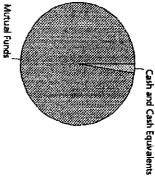
### ILPL Financial

## Christopher Perkins's 401K

Statement Period
September 1 to September 30, 2012

Cash and Cash Equivalents	Investment Type	<b>ACCOUNT INVESTMENT SUMMARY</b> as of September 30, 2012
\$747.67	Amount	of September 30, 201
2.39%	Percent	12

Equities and Options	1	1
Fixed Income	***	1
Mutual Funds,	i	
ETFs and Closed-End Funds	30,523,81	97.61%
Total	\$31,271.48	100.00%



EARNINGS SUMMARY as of September 30, 2012
Estimated Annual Income \$518.00

# Account Holdings as of September 30, 2012

#### CASH AND CASH EQUIVALENTS

\$747.67		73	TOTAL CASH AND CASH EQUIVALENTS
696,12	0.017%	0.01	Total Insured Cash Account
696.12			Goldman Sachs Bank USA 696.12
			Insured Cash Account?
<b>\$</b> 51.55			Cash \$51.55
Balance	Interest Rate	in September <sup>1</sup>	Description
Current	Blended	Interest Paid	

# MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS

Data Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost <sup>3</sup>	Unrealized Gain or Loss	Estimated Annual Income <sup>2</sup>	Estimated 30-Day Yield <sup>a</sup>
03/31/08	ISHARES TR	200	\$66.70	\$13,340.00	\$54,44	\$10,888.00	\$2,452.00	\$164	1.23%
Purchases	RUSSELL 1000 GROWTH INDX FD IWF				د	10,888.00			
01/21/10* Purchases	JOHN HANCOCK II R LIFESTYLE GROWTH CL A JALGX	1,041.106	13.47	14,023.69	11.58	12,058.50 11,500.00	1,965.19	256	1.83%
06/06/12 Purchases	ROYCE SPECIAL R EQUITY INVESTMENT CL RYSEX	44.769	22.06	987.60	20.50	917.77 917.77	69.83	4	0.42%
	The second secon							***************************************	

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS continue on page 4



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Questions? Contact Bob Tucker (703)481-2280

See message section for further information. Interest is paid on daily balances at a blended rate reflecting the weighted average rate during the statement month.

Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes.

Purchase Cost equals Cost Basis less any reinvested dividends and interest.

Refer to the statement message titled ESTIMATED ANNUAL INCOME (EA) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

# MUTUAL FUNDS, ETT'S AND CLOSED-END FUNDS (continued)

	\$518	\$4,463.88	\$26,807.60 \$26,053.44		\$31,271.48	-			
	Estimated Annual Income	Unrealized Gain or Loss	Cost Basis/ Purchase Cost		Market Value			Value of Your LPL Financial Account	Value of
							y will be reinvested.	* Date of Earliest Acquisition  R. Dividends and/or capital gains distributed by this security will be reinvested.	
	\$518	\$4,463.88	\$26,059.93 \$25,305.77		\$30,523,81			TOTAL MUTUAL FUNDS, ETF3 AND CLOSED-END FUNDS	TOTAL MU
4.73%	94	-23.14	2,195.66 2,000.00	13,49	2,172.52	13.35	162.736	TEMPLETON GLOBAL R BOND ADVISOR CL TGBAX	03/10/11* Purchases
Estimated 30-Day Yield	Estimated Annual Income <sup>a</sup>	Unraelized Gain or Loss	Cost Basis/ Purchase Cost <sup>3</sup>	Unit Cost	Market Value	Price	Quantity	Description/Security ID	Date Acquired

is calculated. Purchase Cost equals Cost Basis less any reinvested dividends and interest.

Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure

Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments

#### Questions? Contact Bob Tucker (703)481-2280

Account Activity / Christopher Perkins's 401K 6783-4340

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# Account Activity as of September 30, 2012

#### **ACCOUNT ACTIVITY SUMMARY**

	Period Ending August 31, 2012	Period Ending September 30, 2012	Year-to-Date
Securities Purchased	-		-\$917.77
Securities Sold	1	e and	917.77
Additions to Your Account			
Withdrawals from Your Account	j		
Dividends, Interest and Capital Gains	8.54	58.49	208.41
Reinvestments	-8,53	-6.93	-74,07
Net Change in Bank Deposit Sweep Balance <sup>1</sup>	0.01	0.01	-216.01
Net Change in Money Market Fund Balance	-		
Fees and Expenses <sup>2</sup>	1		-298,80
		The state of the s	

Description/Security ID  d TEMPLETON GLOBAL BOND ADVISOR CL 091912 162.21600 TGBAX  d ISHARES TR RUSSELL 1000 GROWTH INDX FD 092812 200 INSURED CASH ACCOUNT 092812 696				
vidend TEMPLETON GLOBAL BOND ADVISOR CL 091912 162.21600 TGBAX vidend ISHARES TR RUSSELL 1000 GROWTH INDX FD 092812 200 INKLIRED CASH ACCOUNT		092812 696		9
TEMPLETON GLOBAL BOND ADVISOR CL 091912 162.21600 TGBAX ISHARES TR RUSSELL 1000 GROWTH INDX FD 092812 200 WF		INSTURED CASH ACCOUNT	Interest	09/28/12
TEMPLETON GLOBAL BOND ADVISOR CL 091912 162.21600 TGBAX ISHARES TR RUSSELL 1000 GROWTH INDX FD				
TEMPLETON GLOBAL BOND ADVISOR CL 091912 162,21600 TGBAX ISHARES TR	HINDX	RUSSELL 1000 GROWTH INDX FD		
TEMPLETON GLOBAL BOND ADVISOR CL 091912 162,21600 TGBAX		ISHARES TR	Cash Dividend	09/28/12
TEMPLETON GLOBAL BOND ADVISOR CL				
TEMPLETON GLOBAL		2		
neschoods at Management and Manageme	\$6.93	TEMPLETON GLOBAL	Cash Dividend	09/19/12
	Amount	Description/Security ID	Activity Type	Date



<sup>1</sup> Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes. See the message section for further information. 2 Fees and expenses include account, custodial and advisory fees assessed during the statement period.

## **TILPL** Financial

# Account Activity as of September 30, 2012

Date	Activity Type	Description/Security ID	Quantity	Amount
09/19/12	Dividend Reinvest	TEMPLETON GLOBAL BOND ADVISOR CL REINVEST AT 13.330 TGBAX	0.52	-\$6.93
				50.53
	TOTAL REINVESTMENTS			
NET BANK	TOTAL REINVESTMENTS NET BANK DEPOSIT SWEEP ACTIVITY <sup>3</sup>	TWITY <sup>3</sup>		
NET BANK	NVESTMENTS  DEPOSIT SWEEP ACTIVITY Type	TWITY <sup>3</sup>	Amount	nt Balance
NET BANK Date 09/01/12	NVESTMENTS  DEPOSIT SWEEP ACACTURY Type  Opening Balance	TWITY <sup>3</sup> Description	Amou	<b>S</b>
NET BANK Date 09/01/12 09/28/12	NVESTMENTS  DEPOSIT SWEEP ACACTIVITY Type  Opening Balance Interest Deposit	Description Insured Cash Account	Amount	

<sup>3</sup> Bank Deposit Sweep transactions reflect the net of all transfers of free cash balances to and from your bank deposit sweep account on the date referenced. See the message section for further information.

# Account Detail as of September 30, 2012

#### DIVIDEND AND INTEREST SUMMARY

Description	August 31, 2012	August 31, 2012 September 30, 2012 Year-to-Date	Year-to-Date
Bank Deposit Sweep	\$0.01	\$0.01	\$0.06
Mutual Funds	E5'8	58.48	208.35
TOTAL DIVIDENDS AND INTEREST	\$8.54	\$58,49	\$208.41

# YEAR-TO-DATE LONG-TERM REALIZED GAIN/LOSS

Closing	Transaction		Date		Acquisition				;
Date	Type	Description/Security ID	Acquired	Quantity	Price	Cost Basis	Sale Price	Proceeds	Proceeds Gain or Loss
06/06/12	Sell	ROYCE GLOBAL VALUE	05/24/11	-77.429	\$15.05	\$1,165.30	\$11.74	\$909.01	-\$256.29
		INVESTMENT CL							
		RGVIX							

-\$256.29

TOTAL YEAR-TO-DATE LONG-TERM REALIZED GAIN/LOSS

YEAR-TO-	DATE SHORT-TERI	YEAR-TO-DATE SHORT-TERM REALIZED GAIN/LOSS							
Closing Date	Transaction Type	Description/Security ID	Date Acquired	Quantity	Acquisition Price	Cost Basis	Sale Price	Proceeds	Gain or Loss
06/06/12	Sell	ROYCE GLOBAL VALUE INVESTMENT CL RGVIX	12/09/11	-0.746	\$12.03	\$8.97	\$11.74	\$8.76	-\$0.21
TOTAL YE	AR-TO-DATE SHO	TOTAL YEAR-TO-DATE SHORT-TERM REALIZED GAIN/LOSS				\$8.97		\$8.76	-\$0.21
						Cost Basis		Proceeds	Gain or Loss
TOTAL YE	TOTAL YEAR-TO-DATE REALIZED GAIN/LOSS	JZED GAIN/LOSS				\$1,174.27		\$917.77	-\$256.50

## al LPL Financial





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# Account Messages as of September 30, 2012

#### MESSAGES FROM LPL FINANCIAL

## finra brokercheck program hotline information

BrokerCheck Program may be obtained from the FINRA. Program Hotine Number is (800) 289-9999. The FINRA Regulation Web Site Address is www.finra.org/brokercheck. An Investor Brochure that includes the information describing the The Financial Industry Regulatory Authority (FINRA) requires that we provide the following Information concerning the FINRA's BrokerCheck Program. The FINRA Regulation BrokerCheck

Any complaints regarding the handling of your account should be directed to your financial advisor and to LPL's Legal Department at (800) 775-4575 extension 4497

# WITHHOLDING NOTICE FOR LPL FINANCIAL RETIREMENT ACCOUNTS:

you do not have enough income tax withheld, you may be responsible for payment of estimated tax, and you may incur penalties under the estimated tax rules. If you are currently taking IRA distributions via IRA Premier Checking, then taxes are not being withheld pursuant to the terms of the elected feature. It is your responsibility to satisfy all tax obligations on distributions from your account(s). If you wish to change your withholding election, please contact your financial advisor to obtain a Distribution Request form. If you are currently receiving periodic distributions, Distributions on Demand, or a Required Minimum Distribution Service from your LPL. Financial retirement account and are having taxes withheld, you have the option to change the withholding election. Please note, if you elect not to have taxes withheld from your LPL Financial retirement account distribution payments, or if

## NON-TRANSFERABLE SECURITIES THAT ARE WORTHLESS

account may or may not be affected. Should you have any questions or concerns, please contact your financial achison As part of our continuing effort to provide exceptional service, please be advised that LPL Financial will remove any non-transferable securities that are worthless from customer accounts. Your

# ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY)

EAI is calculated by taking the indicated annualized dividend and multiplying by the number of shares owned. EY is calculated by taking the EAI and dividing by the aggregate value of the shares owned. If no dividend information is available, no EAI or EY numbers will be generated. EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. Additionally the actual dividends or yield may vary depending on the security issuer's approval of paying the dividends. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate

#### ICA INFORMATION

The Insured Cash Account (ICA) is a bank deposit sweep program with an extra level of protection. Your balances in the ICA program are allocated to each depository institution on the Priority

Bank List in increments of \$246,500 for individual and trust accounts and \$493,000 for joint accounts. As always, you should review your cash positions with various depository institutions to
determine whether your cash is within the FDK insurance coverage limits. For more information about FDIC insurance limits, please contact your thrancial advisor or go to http://www.idic.gov/deposit/deposits/changes.html

Please be advised that the Priority Bank List for the ICA program has some important additions and/or deletions to the participating banks or the sequence of participating banks in the ICA program. Please consult your financial advisor or our website periodically throughout the month for recent updates and information regarding how these changes may impact your assigned Priority Bank List rotation. The interest rates paid by ICA program banks on ICA program accounts are based on the prevailing interest rate environment and typically change with changes in current interest rates. The current rates are available at http://pifinancial.jpi.com/x68.xml. You can find information on the fees associated with the ICA program at

# **Definition of Investment Objectives**

that achievement of the stated investment objective is a long-term goal for the account. Following is a list of available investment objectives. The investment objectives are overall objectives for the entire account and may be inconsistent with a particular holding at any time. Please note

A. Income with Capital Preservation. Designed as a longer-term accumulation account, this is the most conservative investment objective. Emphasis is placed on generation of current income and prevention of capital loss.

B. Income with Moderate Growth. Emphasis is placed on generation of current income with a secondary focus on moderate capital growth.

C. Growth with Income. Emphasis is placed on modest capital growth with some focus on generation of current income

D. Growth. Emphasis is placed on achieving high long-term growth and capital appreciation. There is little focus on generation of current

E. Aggressive Growth. Emphasis is placed on aggressive growth and maximum capital appreciation. There is no focus on generation of current income. This objective has a very high level of risk and is for investors with a longer time horizon.

allowed in advisory accounts) F. Trading. Emphasis is placed on speculative transaction activity. This objective represents acceptance of an extremely high level of risk (not

#### **T** LPL Financial

Account Protection LPL Financial Is a member of the Securities Investor Protection Corporation (SIPC). SIPC provides protection for your account up to \$500,000, including \$100,000 in free cash balances, in the unlikely event that LPL falls financially. SIPC protection limits apply to all accounts that you hold in a particular capacity. For example, if you hold two accounts that you hold the joint account solventhed under SIPC up to a comblined limit of \$500,000, and the joint account is protected under SIPC separately up to \$500,000. Insured Cash Accounts are not protected by SIPC. LPL accounts have excess SIPC-like coverage to cover the net equity of customer accounts thave excess SIPC-like coverage to cover the net equity of customer accounts subject to a \$750 million aggregate firm limit. More information on SIPC, including an SIPC million aggregate firm limit, More information on SIPC, including an SIPC million aggregate firm limit. More information on SIPC, including an SIPC Brochure, may be obtained by calling SIPC directly at (202) 371-8300 or by visiting <a href="https://www.sipc.org.gov.net/">https://www.sipc.org.gov.net/</a>.

Adjusted Cost The cost basis of securities sold, matured, redeemed or exercised is adjusted for return of principal, original issue discount, accrual and partnership distributions for CAO, CDO, REMIC and MLP transactions. Eligible securities on the Realized Gairs and Losses Statement have been adjusted for bond amortization, return of capital, liquidating distributions, wash sales or similar items. N/A displays when the information is incomplete or missing and is treated as zero when calculating totals.

Agency if LPL financial acts as your agent, or as agent for both you and another person in a transaction, the transaction details, including the identity of the seller or buyer and the source and amount of any fees or payments will be sunniled unon written request.

be supplied upon written request.

Asset-backed Securities The actual yield from transactions in asset-backed securities The actual yield from transactions) may vary securities (e.g., CMO, FMMA, FHLMC OR GMNA transactions) may vary according to the rate at which the underlying assets or receivables are repaid. Information about yield factors is available from your financial advisor on

request.

Called Securities in the event of a partial call of corporate or municipal Called Securities to be called bonds, or preferred stock held in bulk segregation, the securities to be called will automatically be selected on a random basis, as is customary in the securities industry. The probability that your securities will be selected is proportional to the amount of your holdings relative to the bulk holdings. A detailed description of the random selection procedure is available upon

request.
Charge of Address Please notify your financial advisor/representative or LPL Charge of Address Please notify your financial advisor/representative or LPL Financial promptly in writing of any change of address.
Cost Basis i ransactions are automatically paired against holdings on a "First-In/First-Out" basis (unless manually adjusted). Designating liquidations as "versus purchase" on a trade will cause the trade confirmation or other closed tax lots not purchase will cause the trade confirmation or other closed tax lots not purchased in the LPL account, you or the previous broker / dealer upon transfer may have provided the Date Acquired and Purchase Cost of the position. If no such data was submitted, N/A is listed as the Purchase Cost, and is treated as zero when calculating Galain or Loss totals. Since the cost basis information on your statement may not reflect accurate data or correspond to data on your statement may not reflect accurate data or correspond to data on your statement may not reflect accurate data or correspond to data on your statement may not reflect accurate data or correspond to data on your statement may not reflect accurate data or correspond to data on your statement may not reflect accurate data or correspond to data on your statement may not reflect accurate data or correspond to data on your statement may not reflect accurate data or correspond to data on your statement may not reflect accurate data or correspond to data on your statement may not reflect accurate data or correspond to data on your statement may not reflect accurate data or correspond to data on your statement may not reflect accurate data or correspond to data on your statement may not reflect accurate data or correspond to data on your statement may not reflect accurate data or correspond to data on your statement may not reflect accurate data or correspond to data on your statement may not reflect accurate data or correspond to data on your statement may not reflect accurate data or correspond to data on y

# Disclosures and Other Information

Discrepancies Please notify your financial advisor and LPL Financial immediately of any discrepancies on your statement. If your financial advisor is affiliated with another broker/dealer, you must notify them as well. Please contact your financial advisor for the broker/dealer's contact information. Your financial advisors of the broker/dealer's contact information. Your financial advisor's address and delephone number can be found on the bottom of each page of this statement. LPL Financial's telephone number is (800) 558-7567 and address can be found on the first page of this statement. Additionally, any verbal communications should be re-confirmed in writing to each of the above parties to further protect your rights, including rights under Securities investor Protection Act (SIPA).

Free Credit Balances LPL Financial may use your free credit balances subject to the limitations of 17 CFR Section 240.15c3-3 under the Securities Exchange Act of 1934. You have the right to receive from us, upon demand in the course of normal business, the delivery of any free credit balances to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled, any fully paid securities to which you are entitled.

Insured Cash Accounts (ICA) Cash in the ICA program is protected by the Federal Deposit insurance Corporation (FDIC). LPL Financial allocates your money in the ICA program to banks listed in the Priority Bank List in increments up to \$250,000 per depositor per depository institution (\$500,000 for joint accounts) until your balance in the ICA program is allocated up to a total of \$1,500,000 (\$3,000,000 for joint accounts). Recent legislation permanently increased FDIC coverage to \$250,000 per depositor per bank (\$500,000 for joint accounts). Recent legislation permanently increased FDIC coverage to \$250,000 per depositor per bank (\$500,000 for joint accounts). Recent legislation permanently increased FDIC coverage to \$250,000 per depositor per bank (\$500,000 for joint accounts). Recent legislation permanently increased FDIC coverage to \$250,000 per depositor per bank (\$500,000 for joint accounts). Recent legislation permanently increased FDIC coverage to \$250,000 per depositor per bank (\$500,000 for joint accounts). Recent legislation permanently increased for joint accounts. Recent legislation permanently increased for joint account its filliates are not FDIC insurance offered through IPI and its affiliates are not FDIC, NCUA or government insured, not endorsed or guaranteed by IPI, its affiliates and insurance offered through IPI and its affiliates are not FDIC, NCUA or government insured, not endorsed or guaranteed by IPI, its affiliates are not insurance of or any other financial institution, are not a deposit, and involve investments for information on investments Held Outside IPI, Ifinancial information on investments Held Outside IPI, insurance of purposes only. Values for annutites frefect a pricing date approximately three business days prior to the statement date, depending on the availability of the data. The account registration for he IPI account with which it is affiliated. For example, an outside investments such as provided for may be reflected on a IPI account with a individual registration. The

Municipal Material Disclosures Copies of any material disclosures for municipal bonds are available at www.emma.msrb.org. To obtain specific municipal bond information, enter the nine-digit CUSIP number in the Search field within the EMMA web site. If you do not have access to the Internet or would prefer a physical copy of the material disdosure, please contact your

financial advisor. Additional municipal bond information that may be available on www.emma.msb.org, includes, but is not limited to: advance refunding documents, continuing disclosures, including annual financial statements and notices of material events, real-time and historical trade data, daily market statistics and educational material.

NAA or "—" is unavailable, missing or incomplete and is treated as zero when calculating account totals, market values and performance.

Open Orders open Orders are transactions pending securiton, i.e., purchase and/or sale orders that you have placed, but that have not been executed by the end of the statement period.

Option Clients information on commissions and other charges incurred in connection with the execution of oppion transactions has been included in the confirmations of these transactions furnished to you. A summary of this information will be made available upon request, in accordance with the Option Agreement and Approval Form you signed, you must promptly advise the firm of any material change in your investment objectives or financial situation.

Payment for order Flow the source and nature of which will be furnished to you upon written request.

Payment for order Flow the source and nature of which will be furnished to provide involve, the source and nature of which will be furnished to you upon written request.

Payment for order Flow the source and nature of which will be furnished to the constitution of the constitution of the constitution and the provided time of the source and nature of which will be furnished to the constitution of the constitution and the provided to use the introduction of the provided time price you would receive if you sold before the maturity of a certificate of deposit. In the pricing of these of the price is the maturity of a certificate of deposit. The pricing of the provided to us. The pricing price as well as the current bid and offer prices. Where secrities have not been included in the pricing of the price and the pretai

request.

Sweep Option Your account may provide for a daily sweep into an insured Cash Account. If you have any questions about your sweep option, including rates or the depository institutions currently participating in the sweep option, or you would like to change your sweep option, please contact your financial

LPL financial LLC is an affiliate of LPL financial Holdings Inc

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