

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2008 FINANCIAL DISCLOSURE STATEMENT

**FORM A** Page 1 of 14  
For use by Members, officers, and employees

**HAND DELIVERED**

Katherine Anne Castor  
(Full Name)

2022253376 2009 MAY 15 PM 3:08  
(Daytime Telephone)

LEGISLATIVE RESOURCE CENTER

*MC*

Filer ☒ Member of the U.S. House of Representative  
State: FL District: 11

Officer Or Employee  
Employing Office:

U.S. HOUSE OF REPRESENTATIVES  
OFFICE OF THE CLERK (Office Use Only)

Report Type ☒ Annual (May 15)

Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule I.		If yes, complete and attach Schedule VI.	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule II.		If yes, complete and attach Schedule VII.	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule III.		If yes, complete and attach Schedule VIII.	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule IV.		If yes, complete and attach Schedule IX.	
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	
If yes, complete and attach Schedule V.			

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<b>Trusts--</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Butler Pappas Wehnmuller Katz & Craig	Spouse Salary	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A		BLOCK B		BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset		Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>		<p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
	Alliance Bernstein Int Val fd A	None	None	None	NONE	S
SP	Am Cent Lg Cap Val II	None	None	None	NONE	PS
	Ambcn Lg Cap Val SVC	None	None	None	NONE	S
SP	American Century Lg Cap Growth II	None	None	None	NONE	PS
JT	American International Group	\$1 - \$1,000	DIVIDENDS		\$1 - \$200	
JT	Bank of America Checking / Savings	\$15,001 - \$50,000	INTEREST		\$201 - \$1,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	Bank of America Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
JT	Bank of Florida Checking / Savings	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
JT	Baron Asset	None	None	NONE	S
SP	Butler Pappas LLP	\$100,001 - \$250,000	None	NONE	
JT	Coca Cola Stock	None	DIVIDENDS	\$1 - \$200	PS
JT	Dodge and Cox Stock Fund	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	PS
JT	Dow Chemical Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
JT	E*Trade Financial Stock	\$1 - \$1,000	None	NONE	
JT	E*Trade Savings	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
JT	FairPoint Communications	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
JT	Franklin Adjustable US Sec	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
JT	Franklin Mutual Discovery Fund	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	PS(part)
JT	Franklin Resources Stock	None	DIVIDENDS	\$1 - \$200	PS
JT	Franklin Rising Divid	\$1 - \$1,000	None	NONE	PS(part)

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	Franklin Small Cap	\$1 - \$1,000	None	NONE	PS(part)
DC	Gift 529 Aggressive Age Based (note: Aggressive Growth Portfolio automatically changed names to Aggressive Age Based)	\$1 - \$1,000	None	NONE	PS(part)
	Hillsborough Fixed Account	\$15,001 - \$50,000	None	NONE	P
JT	Home Depot Stock	None	DIVIDENDS	\$1 - \$200	PS
JT	Intel	\$1 - \$1,000	DIVIDENDS	\$201 - \$1,000	
SP	Jacobs Levy (name change from Fidelity MD Cap Growth II)	None	None	NONE	PS
JT	Janus Mercury	None	None	NONE	PS
JT	Janus Overseas	None	None	NONE	PS
SP	Mellon Equity Mid CAP	None	None	NONE	PS
	Pimco Total Return Fund AS	None	None	NONE	S
SP	Prin Global Lg Cap St Indx	\$15,001 - \$50,000	None	NONE	PS(part)
SP	Principal Fin Group Inc. Stock	None	None	NONE	PS
SP	Principal Global Barrow Int	None	None	NONE	PS

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Principal Global Diversified	None	None	NONE	PS
SP	Principal Money Market	\$250,001 - \$500,000	None	NONE	P
	State St Equity 500	None	None	NONE	S
	T. Rowe Price Blue Chip (IRA)	None	None	NONE	PS
	T. Rowe Price Equity Index	\$1 - \$1,000	None	NONE	PS(part)
	T. Rowe Price Money Market	\$50,001 - \$100,000	None	NONE	PS(part)
JT	Templeton Growth	\$1 - \$1,000	None	NONE	PS(part)
JT	Texas Instruments Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DC	Vanguard 500 Index 529	\$1 - \$1,000	None	NONE	PS(part)
JT	Vanguard Admiral MM	None	DIVIDENDS	\$1 - \$200	PS
JT	Vanguard Index 500	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	PS(part)
DC	Vanguard Infl. Protected	\$15,001 - \$50,000	None	NONE	P
DC	Vanguard Interest Accumulated	\$15,001 - \$50,000	None	NONE	P
DC	Vanguard Total Inter Stock	\$1 - \$1,000	None	NONE	P

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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JT		\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
	Verizon Stock				
	WFAF Small Cap Value Fund	None	None	NONE	S

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Alliance Bernstein Int Val fd A	S	9/24/08	\$1,001 - \$15,000
SP	Am Cent Lg Cap Val II	PS	Various purchases throughout the year; S: 12/31/08	\$50,001 - \$100,000
	Ambcn Lg Cap Val SVC	S	9/24/08	\$15,001 - \$50,000
SP	American Century Lg Cap Growth II	PS	Various Purchases throughout the year; S: 12/31/08	\$50,001 - \$100,000
JT	Bank of America Stock	P	1/17/08, 1/22/08, 3/28/08, 5/16/08, 6/27/08, 9/26/08, 12/26/08	\$1,001 - \$15,000
JT	Baron Asset	S	9/22/08	\$1,001 - \$15,000
JT	Coca Cola Stock	PS	4/1/08, 7/1/08, S: 9/24/08	\$1,001 - \$15,000
JT	Dodge and Cox Stock Fund	PS	18 purchases throughout the year; S: 9/29/08	\$15,001 - \$50,000
JT	Dow Chemical Stock	P	1/30/08, 4/30/08, 7/30/08, 10/30/08	\$1,001 - \$15,000



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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Franklin Adjustable US Sec	P	P: 10/9/08, 10/31/08, 11/28/08, 12/31/08; S: 12/2/08	\$15,001 - \$50,000
JT	Franklin Mutual Discovery Fund	PS(part)	15 purchases throughout the year S: 10/9/08	\$15,001 - \$50,000
JT	Franklin Resources Stock	PS	P: 1/11/08, 4/11/08, 7/11/08; S: 9/22/08	\$1,001 - \$15,000
JT	Franklin Rising Divid	PS(part)	8 purchases throughout the year; S: 10/9/09	\$1,001 - \$15,000
JT	Franklin Small Cap	PS(part)	9 purchases throughout year; S: 10/9/08	\$15,001 - \$50,000
DC	Gift 529 Aggressive Age Based (note: Aggressive Growth Portfolio automatically changed names to Aggressive Age Based)	PS(part)	Various Purchases throughout the year; S: 3/10/08, 9/24/08	\$50,001 - \$100,000
	Hillsborough Fixed Account	P	9/24/08	\$50,001 - \$100,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Home Depot Stock	PS	P: 1/22/08, 3/27/08, 6/19/08, 9/19/08; S: 9/24/08	\$1,001 - \$15,000
SP	Jacobs Levy (formerly Fidelity MD Cap Growth II)	PS	Various Purchases throughout the year; S: 12/31/08	\$15,001 - \$50,000
JT	Janus Mercury	PS	P: 1/3/08, 2/12/08, 3/3/08, 4/8/08, S: 9/24/08	\$15,001 - \$50,000
JT	Janus Overseas	PS	P: 1/3/08, 2/5/08, 3/10/08, 4/22/08, S: 9/24/08	\$15,001 - \$50,000
SP	Mellon Equity Mid CAP	PS	Various Purchases throughout the year; S: 12/31/08	\$1,001 - \$15,000
	Pimco Total Return Fund AS	S	9/24/08	\$1,001 - \$15,000
SP	Prin Global Lg Cap St Indx	PS(part)	Various Purchases throughout the year; S: 12/31/08	\$50,001 - \$100,000

# SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Principal Fin Group Inc. Stock	PS	Various Purchases throughout the year; S: 12/31/08	\$1,001 - \$15,000
SP	Principal Global Barrow Int	PS	Various Purchases throughout the year; S: 12/31/08	\$1,001 - \$15,000
SP	Principal Global Diversified	PS	Various Purchases throughout the year; S: 12/31/08	\$15,001 - \$50,000
SP	Principal Money Market	P	12/31/08	\$250,001 - \$500,000
	State St Equity 500	S	9/24/08	\$50,001 - \$100,000
	T. Rowe Price Blue Chip (IRA)	PS	S: 9/25/08	\$15,001 - \$50,000
	T. Rowe Price Equity Index	PS(part)	P: 3/31/08, 6/30/08, 12/18/08; S: 9/25/08	\$15,001 - \$50,000
	T. Rowe Price Money Market	PS(part)	Various Purchases throughout the year; S: 12/18/08	\$50,001 - \$100,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Templeton Growth	PS(part)	6 purchases throughout the year; S: 10/9/08	\$15,001 - \$50,000
DC	Vanguard 500 Index 529	PS(part)	Various Purchases throughout the year; S: 9/24/08	\$15,001 - \$50,000
JT	Vanguard Admiral MM	PS	P: 9/22/08; 11/1/08; S: 11/10/08	\$50,001 - \$100,000
JT	Vanguard Index 500	PS(part)	31 purchases throughout the year; S: 9/23/08	\$15,001 - \$50,000
DC	Vanguard Intl. Protected	P	Various Purchases throughout the year	\$15,001 - \$50,000
DC	Vanguard Interest Accumulated	P	Various Purchases throughout the year	\$15,001 - \$50,000
DC	Vanguard Total Inter Stock	P	Various Purchases throughout the year	\$15,001 - \$50,000

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Verizon Stock	P	P: 1/11/08, 1/22/08, 2/1/08, 5/1/08, 8/1/08, 11/3/08	\$1,001 - \$15,000
	WFAF Small Cap Value Fund	S	9/24/08	\$15,001 - \$50,000

# **SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Butler Pappas (with spouse's employer)	April 3-6	Tampa - San Diego - Tampa	Y	Y	Y	None
The Congressional Institute, The Democratic Leadership Council, and the Cincinnati Children's Hospital Medical Center	April 27-28	Atlanta - Cincinnati - Washington	Y	Y	N	None
Butler Pappas (spouse's employer)	Aug. 7-10	Tampa - New York - Tampa	Y	Y	Y	None