

# UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT

**FORM B**  
For New Members, Candidates, and New Employees

Name: Vicente Gonzalez Daytime Telephone: (202) 225-2531

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES  
17 AUG 11 AM 10:29  
(Office Use Only)

FILER STATUS	<input checked="" type="checkbox"/> New Member of or Candidate for U.S. House of Representatives	State: <u>TEXAS</u> District: <u>15</u>	<input type="checkbox"/> Check if Amendment
	<input type="checkbox"/> Candidates - Date of Election: _____		
	<input type="checkbox"/> New Officer or Employee	Staff Filer Type (If Applicable): <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant	Period Covered: January 1, _____ to _____
	<input type="checkbox"/> Employing Office: _____		

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

## PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"  
THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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Use additional sheets if more space is required

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

*Nicente Gonzalez*

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BLOCK A		BLOCK B													BLOCK C								BLOCK D																							
Assets and/or Income Sources		Value of Asset													Type of Income								Amount of Income																							
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year											
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII
	Goldman Sachs (C)		X															X																												
	Wells Fargo					X												X																												
	FBC Bank								X									X																												
	Inter Natl Bank								X									X																												
	Bank of America		X															X																												
	Frost Bank								X									X																												
	Long Star National								X									X																												
	HSBC								X									X																												
	HSBC								X									X																												
	JP Morgan + McMoran								X									X																												
	TD Bank								X									X																												
	650 HSBC Shares								X									X																												
	Leixia Bank								X									X																												
	JP Morgan (3)								X									X																												
	Amert Trade (cash)								X									X																												

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**Use additional sheets if more space is required**

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# SCHEDULE D - LIABILITIES

Name: Vicente Gonzalez

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **New Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
	Master Card	12/2006	Revolving Charge		X									

## SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

Position	Name of Organization
Owner + Director	V. Gonzalez & Assoc. P.C.
Owner + Director	Tenant Services LLC
Owner + Director	United Colonial LLC
Owner + Director	10th Street Suites LLC
Owner + Director	Rio Grande Colonial LLC
Owner + Director	Reed + Squawke Farm LLC



Name: Wendy Gonzalez Page 8 of     

**Use additional sheets if more space is required**



ACCOUNT VALUE: \$227,843.41

ASSETS ADDED: \$52,000.00

ASSETS WITHDRAWN: \$0.00

## Changes to the Asset Details Section of Statements

The Asset Details section of your statement may now include cost basis information or the rate of return for each investment you own. This section can be tailored based on your preferences. To learn more, visit [www.edwardjones.com/shortstatementguide](http://www.edwardjones.com/shortstatementguide) or talk with your branch team.

### Account Value

**\$227,843.41**

1 Month Ago	\$174,243.49
1 Year Ago	\$164,786.29
3 Years Ago	\$60,047.03
5 Years Ago	\$0.00

### Value Summary

	This Period	This Year
Beginning value	\$177,153.61	\$164,786.29
Assets added to account	52,000.00	52,000.00
Assets withdrawn from account	0.00	0.00
Fees and charges	0.00	-40.00
Change in value	-1,310.20	11,097.00
<b>Ending Value</b>	<b>\$227,843.41</b>	

For more information regarding the Value Summary section, please visit [www.edwardjones.com/mystatementguide](http://www.edwardjones.com/mystatementguide).

This account entered the Guided Solutions program on December 24, 2016 and the Account Value and Value Summary sections of this statement combine activity from before and after that date.

## Rate of Return

Your Personal Rate of Return for Assets Held at Edward Jones	This Quarter	Year to Date	Last 12 Months	3 Years Annualized	5 Years Annualized
	0.72%	6.67%	6.21%	3.21%	---

Your Personal Rate of Return is as of December 31, 2013. Performance is calculated as of December 31, 2013.

## Performance Benchmarks

Large US Cap Equities (S & P 500)	3.82%	11.96%	10.91%	9.01%	14.64%
International Equities (S & P 700)	-0.30%	4.79%	4.11%	-0.98%	6.34%
Taxable Fixed Income (BarCap Aggregate)	-2.98%	2.65%	2.83%	2.99%	2.23%

**Your Personal Rate of Return:** Your Personal Rate of Return measures the investment performance of your account. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing Your Personal Rate of Return is important to help ensure you're on track to achieving your financial goals.

**Performance Benchmarks:** Your Personal Rate of Return should be compared to the return necessary to achieve your financial goals. However, we understand many investors would like to compare their Personal Rate of Return to market indexes. Keep in mind this may not be an accurate comparison, as your Personal Rate of Return incorporates the timing of your specific additions and withdrawals and your specific investment mix, while published returns of market indexes do not.

These market indexes are used as a general measure of market performance for several major asset classes. Market indexes assume reinvestment of all distributions and do not take into account brokerage fees, taxes or investment management fees.

For additional information, contact your financial advisor or please visit [www.edwardjones.com/rateofreturn](http://www.edwardjones.com/rateofreturn).

## Rate of Return Indexes Disclosure

**S&P 500 Index:** A broad-based measurement of changes in stock market conditions based on the average performance of 500 widely held common stocks. While many of the stocks are among the largest, this index also includes many relatively small companies. It is a float adjusted capitalization-weighted index (stock price times number of publicly available shares outstanding), calculated on a total return basis with dividends reinvested.

**S&P 700 Index:** The S&P 700 index measures the non-United States component of global equity markets. The index covers all regions included in the S&P Global 1200 (Europe, Japan, Canada, Australia, Asia, and Latin America) except for the United States which is represented by the S&P 500. The index is market-cap weighted and based in U.S. dollars.

**BarCap Aggregate Bond Index:** Measures the performance of government, mortgage-backed, asset-backed and corporate securities with at least one year to maturity.

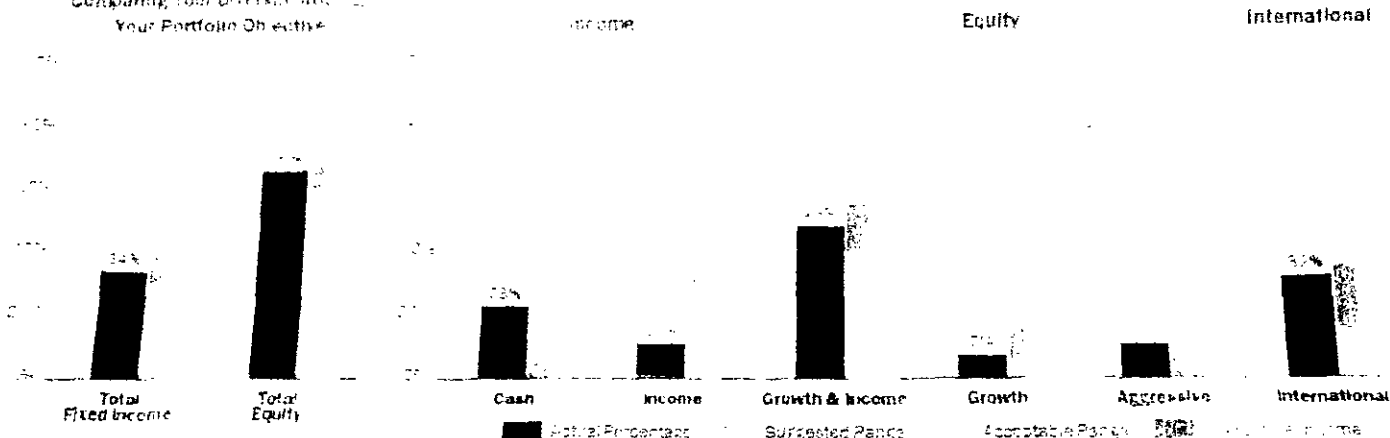
For additional information, contact your financial advisor or please visit [www.edwardjones.com/rateofreturn](http://www.edwardjones.com/rateofreturn).



## Edward Jones Guided Solutions\*

Portfolio Objective: Balanced Toward Growth

Comparing Your Diversification to  
Your Portfolio Objective



**Notice:** The actual percentage of each asset class in your portfolio may differ from the suggested range due to rounding or other factors.

## Asset Details as of Dec 31, 2016

**Notice:** You are holding securities in your Guided Solutions account that are not allowed under the program guidelines. Those securities are identified with a footnote in the following section. Contact your financial advisor to address this situation.

### Assets Held At Edward Jones

	Current Yield/Rate	Beginning Balance	Deposits	Withdrawals	Ending Balance
Retirement Money Market	0.01%*	\$0.00	\$52,002.01	—	\$52,002.01

\* The average yield on the money market fund for the past seven days.

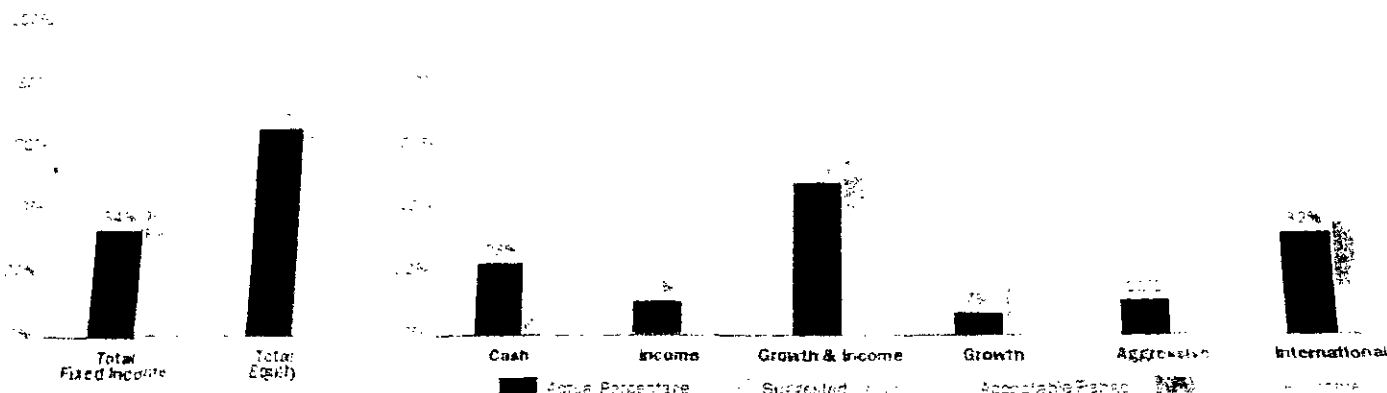
Mutual Funds	Price	Quantity	Cost Basis	Unrealized Gain/Loss	Value
American Balanced Fund CI A <sup>†</sup> Symbol: ABALX Asset Category: Growth & Income	24.81	347.339	7,751.02	866.46	8,617.48
American Fds Developing World <sup>†</sup> Growth & Income Fund CI A Symbol: DWGAX Asset Category: Aggressive	9.01	695.998	7,129.82	-858.88	6,270.94
American Fds Portfolio Ser <sup>†</sup> Global Growth Fund CI A Symbol: PGGAX Asset Category: Growth & Income	13.81	2,255.237	30,446.79	698.03	31,144.82



## Edward Jones Guided Solutions®

Portfolio Objective: Balanced Toward Growth

Comparing Your Diversification to  
Your Portfolio Objective



Notice: The actual percentage shown in this chart is based on the current portfolio holdings. The suggested percentage and acceptable range are based on the portfolio objective. The acceptable range is a guideline only and does not represent a target.

## Asset Details as of Dec 31, 2014

Notice: You are holding securities in your Guided Solutions account that are not allowed under the program guidelines. Those securities are identified with a footnote in the following section. Contact your financial advisor to address this situation.

### Assets Held At Edward Jones

	Current Yield/Rate	Beginning Balance	Deposits	Withdrawals	Ending Balance
Retirement Money Market	0.01%*	\$0.00	\$52,002.01	—	\$52,002.01

\* The average yield on the money market fund for the past seven days.

Mutual Funds	Price	Quantity	Cost Basis	Unrealized Gain/Loss	Value
American Balanced Fund Cl A <sup>7</sup> Symbol: ABALX Asset Category: Growth & Income	24.81	347.339	7,751.02	866.46	8,617.48
American Fds Developing World <sup>7</sup> Growth & Income Fund Cl A Symbol: DWGAX Asset Category: Aggressive	9.01	695.998	7,129.82	-858.88	6,270.94
American Fds Portfolio Ser <sup>7</sup> Global Growth Fund Cl A Symbol: PGGAX Asset Category: Growth & Income	13.81	2,255.237	30,446.79	698.03	31,144.82

## Asset Details (continued)

	Price	Quantity	Cost Basis	Unrealized Gain/Loss	Value
<b>Mutual Funds</b>					
American Funds Balanced Portfolio Class A					
Symbol: BLFAX					
Asset Category: Growth & Income	15.14	2,977.67	57,210.87	1,754.39	39,125.36
American Funds Portfolio Series Growth & Income Fund Class A					
Symbol: GAIOX					
Asset Category: Growth & Income	13.16	1,972.118	25,498.88	454.19	25,953.07
American Funds Portfolio Series Growth Fund Class A					
Symbol: GWPAX					
Asset Category: Growth & Income	14.94	2,280.337	31,594.38	2,473.85	34,068.23
Capital Income Builder Class A					
Symbol: CIBRX					
Asset Category: Growth & Income	57.64	121.407	6,758.89	239.01	6,997.90
Income Fund of America Class A					
Symbol: AMECX					
Asset Category: Growth & Income	21.67	354.032	6,873.50	798.37	7,671.87
New Perspective Fund Class A					
Symbol: ANWPX					
Asset Category: Growth & Income	35.33	215.529	7,164.77	449.87	7,614.64
New World Fund Class A					
Symbol: NEWFX					
Asset Category: Aggressive	51.45	162.82	8,564.98	-187.89	8,377.09
<b>Total Account Value</b>					<b>\$227,843.41</b>

\* The security is not eligible for a Guided Solutions account. Contact your financial advisor.

**Ratings**— Ratings from Standard & Poor's (S&P), Moody's and Fitch may be shown for certain securities. S&P requires we inform you: (1) Ratings are NOT recommendations to buy, hold, sell or make any investment decisions and DO NOT address suitability or future performance; (2) S&P DOES NOT guarantee the accuracy, completeness, or availability of any ratings and is NOT responsible for results obtained from the use of any ratings. Certain disclaimers related to its ratings as are more specifically stated at <http://www.standardandpoors.com/disclaimers>.

**Cost basis** is the amount of your investment for tax purposes and is used to calculate gain or loss incurred on the sale or other disposition of a security. Cost basis is not a measure of performance. The cost basis amounts in your statement should not be relied upon for tax preparation purposes. Please refer to your official tax documents for more information about reporting cost basis to the IRS. You should consult your attorney or qualified tax advisor regarding your situation. If you believe any of this cost basis information is inaccurate, please call our Client Relations department.

## Retirement Summary

	This Period	Cumulative
2016 Contributions	\$0.00	\$0.00
2015 Contributions	0.00	0.00

## Retirement Summary (continued)

	This Period	Cumulative
2016 SEP Contributions	51,300.00	52,100.00
2016 SEP Contributions	0.00	0.00

## Retirement Fees Paid

	This Period	This Year
Fee paid by this account	\$0.00	\$40.00

## Investment and Other Activity by Date

Date	Description	Quantity	Amount
12/21	Long Term Capital Gain on American Balanced Fund Cl A on 339.185 Shares @ 0.46		\$156.03
12/21	Dividend on American Balanced Fund Cl A on 339.185 Shares @ 0.14		47.49
12/21	Reinvestment into American Balanced Fund Cl A @ 24.96	1.903	47.49
12/21	Reinvestment into American Balanced Fund Cl A @ 24.96	6.251	156.03
12/21	Dividend on Income Fund of America Cl A on 351.208 Shares @ 0.175		61.46
12/21	Reinvestment into Income Fund of America Cl A @ 21.76	2.824	-61.46
12/23	2016 SEP Contribution Personal Ck#1687		52,000.00
12/23	Dividend on New World Fund Cl A on 161.315 Shares @ 0.475		76.62
12/23	Reinvestment into New World Fund Cl A @ 50.92	1.505	-76.62
12/23	Long Term Capital Gain on New Perspective Fund Cl A on 207.534 Shares @ 1.075		223.18
12/23	Dividend on New Perspective Fund Cl A on 207.534 Shares @ 0.29		60.18
12/23	Reinvestment into New Perspective Fund Cl A @ 35.44	1.698	-60.18
12/23	Reinvestment into New Perspective Fund Cl A @ 35.44	6.297	-223.18
12/27	Dividend on American Fds Dev World Grw on 693.257 Shares @ 0.035		24.26
12/27	Reinvestment into American Fds Dev World Grw @ 8.85	2.741	-24.26
12/27	Dividend on Capital Income Builder Cl A on 120.3 Shares @ 0.53		63.76
12/27	Reinvestment into Capital Income Builder Cl A @ 57.62	1.107	-63.76
12/29	Long Term Capital Gain on American Funds Bal Port Cl A on 2,896.544 Shares @ 0.263		762.66
12/29	Dividend on American Funds Bal Port Cl A on 2,896.544 Shares @ 0.104		302.11
12/29	Reinvestment into American Funds Bal Port Cl A @ 13.14	22.992	-302.11
12/29	Reinvestment into American Funds Bal Port Cl A @ 13.14	58.041	-762.66
12/29	Long Term Capital Gain on Amer Fds Global Growth Fd Cl A on 2,174.709 Shares @ 0.365		794.42
12/29	Dividend on Amer Fds Global Growth Fd Cl A on 2,174.709 Shares @ 0.145		316.86
12/29	Reinvestment into Amer Fds Global Growth Fd Cl A @ 13.80	22.961	-316.86
12/29	Reinvestment into Amer Fds Global Growth Fd Cl A @ 13.80	57.567	-794.42
12/29	Long Term Capital Gain on Amer Fds Ptf Grw & Inc Cl A on 1,910.538 Shares @ 0.321		613.28
12/29	Dividend on Amer Fds Ptf Grw & Inc Cl A on 1,910.538 Shares @ 0.103		197.74

**Investment and Other Activity by Date (continued)**

Date	Description	Quantity	Amount
12/29	Reinvestment into Amer Fds Ptf Growth Fund CIA @ 14.96		
12/29	Reinvestment into Amer Fds Ptf Growth Fund CIA @ 14.96		
12/29	Long Term Capital Gain on Amer Fds Ptf Growth Fund CIA @ 14.96		1,141.73
12/29	Dividend on Amer Fds Ptf Growth Fund CIA on 11,351.09 Shares @ 0.15		330.17
12/29	Reinvestment into Amer Fds Ptf Growth Fund CIA @ 14.96	22.07	330.17
12/29	Reinvestment into Amer Fds Ptf Growth Fund CIA @ 14.96	73.177	1,094.73

**Retirement Money Market Detail by Date**

**Beginning Balance on Oct 1**

**\$0.00**

Date	Transaction	Description	Deposits	Withdrawals	Balance
12/27	Deposit		52,001.96		\$52,001.96
12/30	Income	Dividend on Retirement Money Market for 40 Days @ 0.01%	0.05		\$52,002.01
<b>Total</b>			<b>\$52,002.01</b>		

**Ending Balance on Dec 31**

**\$52,002.01**

If there have been changes in your financial situation or investment objectives, or if you wish to restrict certain mutual funds or ETFs, or modify existing restrictions, in your Guided Solutions account, please notify your Edward Jones financial advisor. You may obtain information about the Guided Solutions program by viewing the applicable program brochure at [www.edwardjones.com/advisorybrochures](http://www.edwardjones.com/advisorybrochures).

## Outlook 2017: Better Growth and Higher Uncertainty

While Trump's election and the new Congress take office, pronounced changes in government policies dominate the outlook for 2017. Many investors are concerned that the U.S. economy has entered the election due to both structural and cyclical factors. The new administration's policies on trade, immigration, and other issues aren't clear. Don't panic. For investors, the key is to stay focused on your long-term goals and position your investments with five strategies to help achieve them:

1. Rebalance to an appropriate mix of stocks, bonds, and other investments based on your comfort with market volatility and your goals. Rebalancing can help you stay invested during market downturns.
  2. Pay attention to the effects of the new tax laws on your investments. The possibility of lower tax rates and changes in capital gains tax rates.
  3. Stick with international stocks which have lagged recently. A rising dollar could hurt their performance short-term, but better growth policies could improve international performance.
  4. Adjust your fixed-income portfolio to increase short-term and cash investments and reduce long-term fixed income if appropriate.
  5. Stay patient and disciplined. The expected changes aren't certain and will take time. Patience and discipline can help you adjust appropriately when needed, without overreacting.
- Don't let short-term predictions or political uncertainty determine your long-term investment success. Instead, stay flexible and keep a long-term perspective by working with your financial advisor to stick to an investment strategy designed for your circumstances.

Investing in equities involves risks. The value of your shares will fluctuate, and you may lose principal. Small- and mid-cap stocks tend to be more volatile than large company stocks. Special risks are inherent to international investing, including those related to currency fluctuations and foreign political and economic events. Before investing in bonds, you should understand the risks involved, including credit risk and market risk. Bond investments are also subject to interest rate risk such that when interest rates rise, the prices of bonds can decrease, and the investor can lose principal value if the investment is sold prior to maturity. High-yield bonds are subject to a greater risk of loss of principal and interest than higher-rated bonds.



**About Edward Jones**  
Edward Jones is a member firm of the Financial Industry Regulatory Authority (FINRA), the Securities and Exchange Commission (SEC) and the National Automated Clearing House Association (NACHA).  
Edward Jones is a member of FINRA.  
**Statement of Financial Condition**  
Statements of financial condition are available on the Edward Jones website.

- at your local branch office
- at [www.edwardjones.com](http://www.edwardjones.com)
- by mail upon written request

## About Your Account

**Account Information** — Your account agreement(s) contain the conditions that govern your account. Please contact your financial advisor if you have any changes to your financial situation, contact information or investment objectives.

**Account Safety** — Please review your statement carefully. If you believe there are errors on your account, you must notify us promptly of your concerns. You may either contact our Client Relations department or your financial advisor. You should re-confirm any oral communication by sending us a letter within 30 days to protect your rights, including your rights under the Securities Investor Protection Act (SIPA).

**Errors or Questions about your Electronic Transfers** — Contact Client Relations at (800) 441-2357.

**Complaints about Your Account** — If you have a complaint please call (800) 441-2357 or send a letter to Edward Jones, Attn: Complaints Dept., 12555 Manchester Rd. St. Louis, MO 63131.

**Withholding on Distributions** — If you are a U.S. resident, we will withhold tax on distributions of dividends and capital gains. If you are a non-U.S. resident, we will withhold tax on distributions of dividends and capital gains. If you are a non-U.S. resident, we will withhold tax on distributions of dividends and capital gains.

appropriate form and return it to us. If you do not, we will withhold tax on distributions of dividends and capital gains. If you are a non-U.S. resident, we will withhold tax on distributions of dividends and capital gains. If you are a non-U.S. resident, we will withhold tax on distributions of dividends and capital gains.

**Fees and Charges** — The "Fees and charges" amount shown in your Value Summary includes all activity fees and margin loan interest, except the dividend reinvestment fee and trade transaction fee. The dividend reinvestment fee is reflected in the detailed activity section of this statement. The "Fees and charges" amount does not include commissions or mark-ups from the purchase of specific investments.

**Fair Market Value for Individual Retirement Accounts** — Your fair market value as of December 31st will be reported to the IRS as required by law.

**Rights to Your Money Market Fund, Bank Deposit and Free Credit Balances** — Your free credit balances are payable on demand. You may require us to liquidate your bank deposit or money market fund balance. We will then disburse the proceeds to you or place them in your securities account. Your instructions must be made during normal business hours and are subject to terms and conditions of the account agreement(s).

**Important disclosures and other information relating to your account(s)** are available at [www.edwardjones.com/disclosures](http://www.edwardjones.com/disclosures).



**Go Green!** Did you know you can receive your statements and other documents online instead of on paper? Visit [www.edwardjones.com/edelivery](http://www.edwardjones.com/edelivery) for more information.

## Contact Information

### Client Relations



**Toll Free Phone** Monday-Friday  
800-441-2357 7am-7pm CST



**201 Progress Parkway**  
**Maryland Heights, MO 63043**

### Online Access



**Online Account Access**  
[www.edwardjones.com/access](http://www.edwardjones.com/access)



**Edward Jones Online Support**  
800-441-5203

### Other Contacts



**Edward Jones Personal MasterCard®**  
866-874-6711



**Edward Jones Business MasterCard®**  
866-874-6712



**Edward Jones Visa Debit Card**  
888-289-6635



PO Box 219711  
Kansas City, MO 64121

# Quarterly Shareholder Statement

Period: 2015 - December 31, 2016

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2

03 01 064038 72508  
STATE STREET BANK & TRUST  
16A A.C. VINCENT, GENERAL

Investor Services: 1-800-526-7384  
Monday - Friday  
8:00AM - 6:00PM ET

Website: GSAMFUNDS.COM

Rep Name: CORPORATE CLIENT

Rep Number: 387T

Relationship: AXA ADVISORS, LLC

Address: 1 MONY PLZ  
PO BOX 4850  
SYRACUSE NY 13221-4850

## Investor Highlights

Sign up Today for Online Access & eDelivery GO TO: [www.gsamfunds.com/accountaccess](http://www.gsamfunds.com/accountaccess)  
Enrollment is simple and you'll have 24/7 access to your account details; plus, subscribe to GSAM's eDelivery service to receive automated notifications when your statement and tax forms are available

## Portfolio Overview

Total Portfolio Value on December 31, 2016

**\$4,799.08**

Deliver dividend and distribution payments directly to your bank of choice. Contact Investor Services and ask about Automated Clearing House (ACH) dividends to setup this service.

## Summary of Income and Activity

Activity	For the Period	Year-to-Date
Beginning Dollar Value <sup>1</sup>	\$4,829.15	\$4,762.83
+ Purchases/Transfers In	\$2,361.23	\$2,361.23
+ Reinvested Divs/ST Cap Gains	\$1.79	\$1.80
+ Reinvested LT Capital Gains	\$33.42	\$33.42
- Redemptions/Transfers Out	-\$2,371.23	-\$2,371.23
+/- Change in Value <sup>2</sup>	-\$55.28	\$10.98
Ending Dollar Value	<b>\$4,799.08</b>	<b>\$4,799.08</b>

## Personal Performance<sup>3</sup>

For the Period	Year-to-Date	One Year
0.97%	-0.42%	0.97%

<sup>1</sup> The Beginning Dollar Value represents the dollar value of all open and closed accounts displayed on this statement.

<sup>2</sup> Change in Value reflects the impact of appreciation or depreciation of your shares over the specified time period.

<sup>3</sup> Personal Performance is calculated using the Modified Dietz method, a broadly accepted method used to generate estimated personal performance.



October 1, 2016 - December 31, 2016

## Portfolio Summary

Fund Type	Market Value 12/31/2016	Percentage of Portfolio	Key
Fund Name / Fund Number			
Commercial Paper Money Market Funds			
GS Fund for Short-Term Growth A 4023	\$2,341.57	59.31%	
Total	\$2,341.57	59.31%	
Equity Funds			
GS Capital Growth Fund A 807	\$2,437.49	60.69%	
Total	\$2,437.49	60.69%	
Total Portfolio Value	\$4,779.06	100%	

(Please note that percentages are rounded.)

&gt; For more information on the performance of the Funds and their holdings, visit our website at GSAMFUND.com

## Retirement Contribution Summary

Contribution Description	Current Year	Prior Year
Your Contributions	\$0.00	\$0.00
Employer Contributions	\$0.00	\$0.00
Rollover	\$0.00	N/A
Total Contributions for Your Retirement Accounts	\$0.00	\$0.00

\* For Prior Year Rollover Contributions, please contact Investor Services at the phone number located on page one.

## Activity Summary

## GS Capital Growth Fund A

GSCGX

Account Registration Fund Number Account Number  
 STATE STREET BANK & TRUST CUST 807  
 IRA A/C VINCENT GONZALEZ

Confirm Date	Trade Date	Transaction Description	Shares This Transaction	x	Share Price	=	Dollar Amount	Share Balance
		Beginning Balance			\$24.21		\$2,467.92	101.938
12/07/2016	12/07/2016	2016 Fiduciary Admin Fee	-0.416		\$24.04		-\$10.00	101.522
12/12/2016	12/09/2016	Cap Gain Reinvest 0.3292	1.396		\$23.94		\$33.42	102.918
12/21/2016	12/20/2016	Income Reinvest 0.0139	0.060		\$24.00		\$1.43	102.978
		Ending Balance			\$23.67		\$2,437.49	102.978

Year-to-Date Summary	Current Period	Year-to-Date
Purchase/Transfers In	\$0.00	\$0.00
Reinvested Dividends/ST Capital Gains	\$1.43	\$1.43
Reinvested LT Capital Gains	\$33.42	\$33.42
Redemptions/Transfers Out	\$10.00	\$10.00



PO Box 219711  
Kansas City, MO 64121-9711

# Activity Summary Shareholder Statement

Period: 12/31/2015 - December 31, 2016

Page 3 of 4

## Activity Summary (continued)

### GS Financial Sq Government Fund A

FBSXX

#### Account Registration

STATE STREET BANK & TRUST CUST  
IRA A/C VINCENT GONZALEZ

Fund Number

2136

Account Number

Confirm Date	Trade Date	Transaction Description	Shares This Transaction	Share Price	=	Dollar Amount	Share Balance
		Beginning Balance		\$1.00		\$0.00	0.000
10/11/2016	10/11/2016	Txfee Reorg To	-2,361.230	\$1.00		\$2,361.23	2,361.230
10/31/2016	10/31/2016	Income Reinvest		\$1.00		\$0.03	2,361.260
11/30/2016	11/30/2016	Income Reinvest		\$1.00		\$0.05	2,361.310
12/30/2016	12/30/2016	Income Reinvest		\$1.00		\$0.28	2,361.590
		Ending Balance		\$1.00		\$2,361.59	2,361.590

#### Year-to-Date Summary

#### Current Period

#### Year-to-Date

Purchase/Transfers In	\$2,361.23	\$2,361.23
Redeemed Dividends/ST Capital Gains	\$0.00	\$0.00
Redeemed Dividends/ST Capital Gains	\$0.00	\$0.00
Redeemed Dividends/ST Capital Gains	\$0.00	\$0.00

### GS Financial Sq Prime Ob FD Serv A

FBSXX

Outstanding Class C Shares and Retail Service Shares of the Goldman Sachs Financial Square Institutional Money Market Fund are managed in accordance with the Fund's designation as an institutional money market fund under the Investment Company Act of 1940.

Fund Number

2136

Account Number

#### Transaction Description

Shares This Transaction

Share Price

=

Dollar Amount

Beginning Balance

\$1.00

Txfee Reorg To Fund

-2,361.230

\$1.00

Ending Balance

\$0.00

#### Current Period

#### Year-to-Date

\$0.00

\$0.00

\$0.00

\$0.01

\$0.00

\$0.00

\$2,361.23

\$2,361.23



October 1, 2016 - December 31, 2016

## Additional Information

Financial errors or inaccuracies must be reported to us within 6 months of the transaction trade date by contacting Client Services. Errors reported after that time may not be corrected.

Oral communications about account transactions should be reconfirmed in writing including rights under SIPA. Information regarding the person to whom the security was purchased and/or transaction time will be furnished on written request. A financial statement of Goldman Sachs & Co. is available for your personal inspection at its offices, or a copy of it will be mailed upon your written request. GSAM Asset Management ("GSAM"), and Goldman Sachs & Co., and other affiliated entities are under the common control of the Sachs Group, Inc.

GSAM leverages the resources of Goldman Sachs & Co. subject to legal, internal and regulatory restrictions. Goldman Sachs & Co. is the distributor for the GS Funds and member FINRA, SIPC and NYSE. Please retain this information for your records.

If any of the holdings listed on this statement are retirement accounts, please provide the ending dollar value displayed as of 12/31/2016 fair market value. This information will be reported to the IRS along with a copy of your Form 990-B. If applicable, as required by law, information regarding your distributions will also be provided to your state of residency, where required.

Ask your investment advisor about privileges that may help you reduce taxes. For more information regarding Rights of Accumulation and Statements of Intent, or visit GSAMFUNDS.com for a current prospectus. For more information about the Funds including investment policies, risk considerations, charges and expenses, visit GSAMFUNDS.com.



## Goldman Sachs Funds Notification of Distribution of Securities

As noted in the table below, certain Goldman Sachs Financial Square and Investor Funds made distributions in the months listed below. These distributions include gains which may be in excess of the Fund's current and accumulated net investment income as calculated in accordance with good accounting practices.

As of December 31, 2016, the sources of these distributions for the fourth quarter of 2016 were as follows:

Fund Name	Fund Number	October Distributions	Net Investment Income	Net Gains
Financial Square Prime Obligations Fund - Serv A	2136	0.000014317	0.000011369	0.000002948
Financial Square Prime Obligations Fund - C	3507	0.000002898	0.000001983	0.000000914
Financial Square Money Market Fund - Admin	2578	0.000125085	0.000123846	0.000001240
Financial Square Government Fund - Admin	2577	0.000029003	0.000027204	0.000001799
Financial Square Government Fund - A	4023	0.000028974	0.000027175	0.000001799
Financial Square Government Fund - C	4024	0.000003521	0.000001724	0.000001797
Investor Tax-Exempt Money Market Fund - Admin	2576	0.000187573	0.000187573	0.000000000
Investor Tax-Exempt Money Market Fund - Serv A	2137	0.000046517	0.000046517	0.000000000
Investor Tax-Exempt Money Market Fund - A	4025	0.000199651	0.000199651	0.000000000
Investor Tax-Exempt Money Market Fund - C	4026	0.000023357	0.000023357	0.000000000
Investor Money Market Fund - A	4696	0.000270613	0.000270613	0.000000000
Investor Money Market Fund - C	4697	0.000004197	0.000004197	0.000000000

Fund Name	Fund Number	November Distributions	Net Investment Income	Net Gains
Financial Square Prime Obligations Fund - Serv A	2136	0.000021396	0.000020866	0.000000530
Financial Square Prime Obligations Fund - C	3507	Fund closed 11/11		
Financial Square Money Market Fund - Admin	2578	0.000147918	0.000146946	0.000000972
Financial Square Government Fund - Admin	2577	0.000032624	0.000030290	0.000002034
Financial Square Government Fund - A	4023	0.000032628	0.000030582	0.000002046
Financial Square Government Fund - C	4024	0.000003518	0.000001724	0.000001794
Investor Tax-Exempt Money Market Fund - Admin	2576	0.000032615	0.000032615	0.000000000
Investor Tax-Exempt Money Market Fund - Serv A	2137	0.000032617	0.000032617	0.000000000
Investor Tax-Exempt Money Market Fund - A	4025	0.000032612	0.000032612	0.000000000
Investor Tax-Exempt Money Market Fund - C	4026	0.000032612	0.000032612	0.000000000
Investor Money Market Fund - A	4696	0.000032612	0.000032612	0.000000000
Investor Money Market Fund - C	4697	0.000032612	0.000032612	0.000000000

Fund Name				Net Gains
Financial Square Prime Obligations Fund - Serv A			0.000073674	0.0000000000
Financial Square Money Market Fund - Admin			0.000209025	0.0000038025
Financial Square Government Fund - Admin			0.000066314	0.0000019586
Financial Square Government Fund - A			0.000066314	0.0000019585
Financial Square Government Fund - C			0.000001558	0.0000019588
Investor Fund Money Market Fund - Admin			0.000105099	0.0006100965
Investor Fund Money Market Fund - Serv A			0.000004052	0.0006100982
Investor Fund Money Market Fund - A	4023	0.000710831	0.000100735	0.0006100983
Investor Fund Money Market Fund - C	4026	0.000632865	0.000023352	0.0006100984
Investor Fund Money Market Fund - A	4696	0.000274187	0.000254767	0.0006100985
Investor Fund Money Market Fund - C	4697	0.000023780	0.000003197	0.0006100986

This information is being provided to satisfy certain notice requirements under the Securities Exchange Act of 1934 and the Securities Exchange Act of 1940. Tax reporting information for shareholders of the Funds is not available until January 1, 2017. As a result, shareholders should not use the information provided in this notice for tax reporting purposes.

For more information, please call a Goldman Sachs Funds client representative (1-800-424-6860) or your broker (shareholders).

Investment in the Funds is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although the Funds seeks to preserve capital, it is possible to lose money by investing in the Funds.

Goldman Sachs does not provide legal, tax or accounting advice. Goldman Sachs may provide general information based on their particular situation.

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J.P. Morgan

Investment Period

December 01 - December 30, 2016

Account Number

## Investment Statement

For Questions, Call Private Client Advisor

Gerardo Jr. Juarez

(956) 632 2254

Customer Service  
(800) 600 4557

Branch Address  
200 South Tenth Street  
McAllen, TX 78501

www.chase.com

More contact information on page 26

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For more information about your statement or to request a copy, please contact your account manager at the toll-free number provided above.

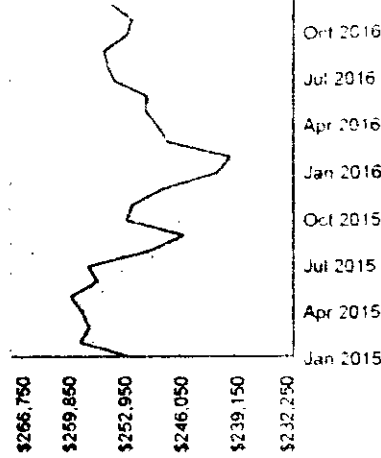
### Important Message

- Not insured by FDIC.
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For more messages see page 27

Account Value

(January 2015 to December 2016)





J.P.Morgan

Statement Period

December 01 - December 30, 2016

Last Statement: November 30, 2016

Account Number

Account Value **\$254,315.95**

VICENTE GONZALEZ JR TOO  
120 BLUEBIRD AVE  
MCALLEN TX 78504-2213

Account Activity Summary

**TFR ON DEATH IND**

Chase Strategic Portfolio (CSP)

Conservative - Tier 1

Description	This Period	Year-to-Date
Beginning Account Value	\$251,836.10	\$248,316.22
Deposits (Cash & Securities)	0.00	0.00
Withdrawals (Cash & Securities)	0.00	0.00
<b>Net Deposits / Withdrawals</b>	<b>\$0.00</b>	<b>\$0.00</b>
Income	2,023.31	6,549.72
Fees <sup>1</sup>	0.00	(4,000.59)
Change in Investment Value	456.54	3,450.60
<b>ENDING ACCOUNT VALUE</b>	<b>\$254,315.95</b>	<b>\$254,315.95</b>
Net Accrued Income	0.00	0.00
<b>Account Value With Accruals</b>	<b>\$254,315.95</b>	<b>\$254,315.95</b>

<sup>1</sup> Account fees, management fees, and debit interest are included. Trade related fees charged by brokers and commissions are not included here

Month End Closing Method: First In, First Out (FIFO)

Investment products are: -Not insured by FDIC

-Not a deposit or other obligation of, or guaranteed by, JPMorgan Chase Bank, N.A. or any of its affiliates  
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**J.P.Morgan**

TFR ON DEATH IND

VICENTE GONZALEZ JR TOD

Statement Period December 01 - December 30, 2015

**Asset Allocation Summary**

Chase Strategic Portfolio (CSP)

Description	Market Value Previous Period	Market Value This Period	Total Change (\$)	Total Change (%)
Cash & Sweep Funds	2,128.12	2,955.09	826.97	38.86%
Equities	91,018.35	91,309.95	291.60	0.32%
Fixed Income	158,659.63	150,990.91	(7,668.72)	(4.83%)
<b>TOTAL ACCOUNT VALUE</b>	<b>\$261,806.10</b>	<b>\$254,315.95</b>	<b>\$2,479.85</b>	<b>(0.94%)</b>

**Asset Allocation**

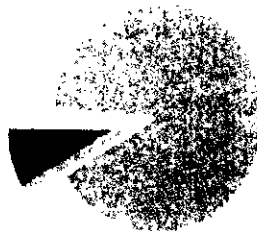
Cash & Sweep Funds  
1.2%

Equities  
35.9%

Fixed Income  
62.9%

**Manager Allocation**

J.P. Morgan Managed 8.4%  
J.P. Morgan Cash & Liquidity Funds 1.7%



Third Party Manager & Other  
90.4%

The allocation percentage is derived from net positive market values only

For additional information, please see the Manager Allocation message within Important Information at the end of the statement.

Cash & Sweep Funds

### Assets and Liabilities Summary

Description	Previous Period	This Period
Long Cash and Sweep Funds	2,128.12	2,955.09
Long Market Value	249,707.98	251,360.86
<b>Total Assets</b>	<b>\$251,836.10</b>	<b>\$254,315.95</b>
<b>Total Liabilities</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>TOTAL ACCOUNT VALUE</b>	<b>\$251,836.10</b>	<b>\$254,315.95</b>
<b>Total Account Value with Accruals</b>	<b>\$251,836.10</b>	<b>\$254,315.95</b>

### Income Summary

Description	This Period	Year-to-Date
Dividends	1,427.26	5,839.16
Interest	0.53	4.04
Distributions	595.52	706.52
<b>Total Income from Taxable Investments</b>	<b>\$2,023.31</b>	<b>\$6,549.72</b>
<b>Total Income from Non-Taxable Investments</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>TOTAL INCOME</b>	<b>\$2,023.31</b>	<b>\$6,549.72</b>

taxable and Non-taxable income classifications are based on the characteristics of the underlying securities and not the taxable status of the account

### Cash Flow Summary (continued)

Description	This Period	Year-to-Date
Fees <sup>1</sup>	0.00	(4,000.59)
<b>Total Debits</b>	<b>(\$26,810.89)</b>	<b>(\$137,382.69)</b>
<b>Net Cash Activity</b>	<b>\$826.87</b>	<b>(\$2,243.84)</b>
<b>CLOSING CASH BALANCE</b>	<b>\$2,955.09</b>	<b>\$2,955.09</b>

<sup>1</sup> "Opening Cash Balance" and "Closing Cash Balance" include Sweep Funds.

Account fees, management fees, and debit interest are included. Trade related fees charged by brokers and commissions impact the total cost or proceeds of your trades and are not included here.

### Realized Gain / Loss Summary

Description	This Period	Year-to-Date
Short-Term Loss	(58.69)	(713.28)
<b>Short-Term Net Gain / Loss</b>	<b>(\$58.69)</b>	<b>(\$713.28)</b>
Long-Term Gain	151.76	428.58
Long-Term Loss	(98.04)	(5,646.00)
<b>Long-Term Net Gain / Loss</b>	<b>\$53.72</b>	<b>(\$5,217.42)</b>
<b>TOTAL REALIZED GAIN / LOSS **</b>	<b>(\$5.17)</b>	<b>(\$5,930.70)</b>
Long Term Disallowed Loss	0.00	55.40

\*\* Wash sale adjustments (disallowed losses) are reflected in these totals.

Realized gain/loss information is provided for transactions in your account as of the trade date and excludes transactions where cost basis information has not been provided or is unavailable.

Gain/loss calculations do not include adjustments for wash sales that may have occurred on the last business day of this statement period. These wash sale adjustments, if any, will be reflected on your next statement.

Cost basis and realized gain/loss on statements are provided for informational purposes only and should not be used for tax purposes or otherwise relied upon without assistance of your tax advisor.

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10/1/2014 10:00 AM

### Unrealized Gain / Loss Summary

Description	This Period
Long-Term Gain	2,783.76
Short-Term Loss	(3,193.13)
<b>Short-Term Net Gain / Loss</b>	<b>\$384.79</b>
<b>Long-Term Gain</b>	<b>2,783.76</b>
<b>Long-Term Loss</b>	<b>(3,193.13)</b>
<b>Short-Term Net Gain / Loss</b>	<b>\$384.79</b>

...

CASH &amp; SWEEP FUNDS

**TOTAL CASH & SWEEP FUNDS**

**\$2,358.09**

\* A large number of tax lots exist for the securities denoted with an asterisk. Individual tax lots are available from your Advisor. See additional footnotes on the last page of the Holdings section.

Long Term  
Short Term

**DODGE & COX FUNDS**  
INTERNATIONAL STOCK FUND  
EST YIELD 2.23% DIV & CAP  
GAIN REINVEST  
Symbol DODFX

**ISHARES INC**  
ISHARES MSCI JAPAN ETF EST  
YIELD: 1.95%  
Symbol FWJ

**JPMORGAN TRI**  
U.S. EQUITY FUND CLASS I EST  
YIELD 1.10% DIV & CAP  
GAIN REINVEST  
Symbol JMUXX

**MASSACHUSETTS INVESTORS**  
TRUST CLASS YIELD 1.19%  
DIV & CAP GAIN REINVEST  
Symbol MITIX

**MFS RESEARCH**  
INTERNATIONAL FUND CLASS I DIV &  
CAP GAIN REINVEST  
Symbol MRSIX

**OAKMARK FUND**  
EST YIELD 1.06% DIV & CAP  
GAIN REINVEST  
Symbol OAKRX

*154,389	38.1	5,882.22	45.24	A	6,884.12	(1,101.80)	31.03	LT	131.36
4,083		5,674.58	45.24		6,117.58	(1,063.00)	8.84	ST	
		20.95	45.74		3.45	(38.50)			
53	48.86	2,589.58	47.52	A	2,518.73	70.85		ST	50.40
*480,895	14.6	6,729.07	14.3	A	6,591.48	137.59		LT	74.70
								ST	
*168,777	27.23	4,695.80	27.53	A	4,645.05	(51.06)		LT	54.68
								ST	
*367,367	15.74	5,782.36	18.01	A	6,615.20	(832.84)		LT	
								ST	
*87,197	72.48	4,870.44	64.49	A	4,333.48	536.96		LT	51.81
68,400		4,744.90	84.49		4,721.70	523.11		ST	
1,732		125.84	84.49		111.89	13.85			
100	27.70				27.70				

EQUITIES (continued)

Description	Acquisition Date	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss	Accrued Income Est. Accrued
SPDR S&P 500 ETF TRUST Symbol: SPY								
				2,235.30	186.63	1,866.34	368.96 LT	
				2,458.83	207.16	2,275.75	180.08 LT	
				5,364.72	207.48	4,984.22	380.50 LT	
				4,470.60	207.94	4,152.86	317.74 LT	
				3,352.95	188.05	2,820.83	532.12 ST	
				10,729.44	202.74	9,711.51	997.93 ST	
				7,152.96	215.87	6,507.79	245.17 ST	
VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRAL EST YIELD: 2.02% DIV & CAP GAIN REINVEST Symbol: VFIAX		17,296	206.57	3,572.83	198.18 A	3,427.65	145.18 ST	72.11
<b>TOTAL EQUITIES</b>				<b>\$91,399.85</b>		<b>\$88,973.94</b>	<b>\$2,426.01</b>	<b>\$1,887.43</b>

FIXED INCOME

Description	Acquisition Date	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss	Accrued Income Est. Accrued
DODGE & COX INCOME FUND EST YIELD: 3.22% DIV & CAP GAIN REINVEST Symbol: DODX		918,459	13.59	12,481.88	13.61 A	12,488.48	(6.62)	407.26
	Long Term	473,403		6,483.95	13.61	6,442.11	(41.84) LT	
	Short Term	445,056		6,048.31	13.61	6,096.37	(48.06) ST	
FEDERATED INSTITUTIONAL HIGH YIELD BOND FUND EST YIELD: 5.77% DIV & CAP GAIN REINVEST Symbol: FHGX		424,827	9.86	4,188.82	10.27 A	4,382.53	(193.71)	241.81
	Long Term	404,264		3,888.88	10.27	4,183.94	(295.06) LT	
	Short Term	20,563		299.94	10.27	208.59	(8.65) ST	
JOHN HANCOCK INCOME FUND CL1 EST YIELD: 3.20% DIV & CAP GAIN REINVEST Symbol: JSTX		1,185,824	9.58	11,340.34	9.58 A	11,373.53	(33.19)	237.98
	Long Term	781,916		8,082.28	9.58	8,143.10	(60.82) LT	
	Short Term	384,708		3,258.06	9.58	3,230.43	(27.63) ST	

\* A large number of tax lots exist for the securities denoted with an asterisk. Individual tax lots are maintained in your Advisor.

See additional footnotes on the last page of the Holdings section.

Symbol	Acquisition Date	Quantity	Price	Market Value	Cost Basis	Unrealized Gain/Loss	Estimated Annual Inc.
JPMORGAN TR II COME BD FD SELECT CL EST YIELD: 2.47% DIV & CAP GAIN REINVEST Symbol: WOBX		*912,731	11.48	10,472.18	11.83 A	10,811.52	(133.37)
				10,171.07	11.63	10,242.87	12.80 LT
				307.28	11.63	310.7	13.42 ST
JPMORGAN TR II HIGH YIELD FD SELECT CL EST YIELD: 5.51% DIV & CAP GAIN REINVEST Symbol: OHVEX		*566,63	7.35	4,164.73	8 A	4,534.89 W	(370.16)
							LT
							ST
LORD ABBETT SHORT DURATION INCOME FUND CL I EST YIELD: 4.16% DIV & CAP GAIN REINVEST Symbol: LLDYA		*1,755,244	4.3	7,547.55	4.3 A	7,549.56	(2.01) ST
MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I EST YIELD: 6.46% DIV & CAP GAIN REINVEST Symbol: MHYIX		*1,453,065	5.74	8,340.59	5.8 A	8,427.74 W	(87.15)
							LT
							ST
MFS SER TR X EMERGING MARKET DEBT FUND CLASS I EST YIELD: 4.85% Symbol: MEDIX		*338,896	14.37	4,869.94	14.97 A	5,073.28	(203.34) ST
PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL EST YIELD: 3.96% DIV & CAP GAIN REINVEST Symbol: PQIX		*730,658	10.21	7,460.02	10.56 A	7,714.80	(254.78) ST
PIMCO TOTAL RETURN FUND SER INVT GRADE CORP BD FD INSTL CL EST YIELD: 3.96% DIV & CAP GAIN REINVEST Symbol: PRIX		*1,233,168	10.03	12,389.88	10.34 A	12,745.06	(355.18) ST



VICENTE GONZALEZ JR TOD

JPMORGAN  
CHASE & CO. BANK

Description	Acquisition Date	Quantity	Price	Market Value	Unit Cost	Cost Basis		Accrued Income	
						Unrealized Gain/Loss	Est. Annual Inc.	Unrealized Gain/Loss	Est. Annual Inc.
<b>FIXED INCOME (continued)</b>									
<b>PHILCO TOTAL RETURN FUND</b>									
DIV & CAP GAIN REINVEST									
Symbol: PTTX									
<b>T ROWE PRICE NEW INCOME</b>									
1.47% DIV & CAP REINVEST									
Symbol: PRICX									
<b>VANGUARD BOND INDEX FD</b>									
1.90% DIV & CAP GAIN REINVEST									
Symbol: VBTLX									
<b>VANGUARD CHARLOTTE FDS</b>									
<b>VANGUARD INTL BD INDEX FD</b>									
TOTAL INTL BD INDEX YIELD									
ADMRAL CL EST YIELD									
1.90% DIV & CAP GAIN REINVEST									
Symbol: VTABX									
<b>VANGUARD FIXED INCOME</b>									
<b>SECS INTER TERM INVT GRADE</b>									
SECS INTER CL EST YIELD									
FD ADMRAL CL EST YIELD									
3.02% DIV & CAP GAIN REINVEST									
Symbol: VFIOX									
<b>VANGUARD SHORT TERM BOND</b>									
VANGUARD ADMRAL SHARES									
NOEX FUND ADMRAL SHARES									
EST YIELD: 1.47% DIV & CAP GAIN REINVEST									
Symbol: VBORX									
<b>TOTAL FIXED INCOME</b>									

\* A large number of tax lots exist for the securities denoted with an asterisk. Individual tax lots are not shown.

See additional footnotes on the last page of the Holdings section.

For questions, please contact your advisor.

See additional disclosures at the end of the statement.

Please read the important disclosures at the end of the statement.

STATEMENT SUMMARY

Statement of Assets and Liabilities as of December 31, 1995

Class Number: 1000000000

Holdings (continued)

Total Account Value : \$254,315.95

Unless otherwise noted, all positions are held in your cash account. F - TEFRA Account G - Good Faith Account I - Income Account L - Non Purpose Loan Account  
M - Margin Account R - DVP/RVP Account S - Short Account  
All Pricing Method a - Net Investment b - Appraised Value c - The firm did not receive price information compliant with applicable reporting requirements  
A - Average Cost B - Adjusted for Amortization or Accretion D - Acquisition Date = Date of Death E - Adjusted for Option Exercise or Assignment K - Gifted Security LT - Long Term  
MT - Mixed Term N - Noncovered Provide - Please provide this information ST - Short Term T - Cost Basis provided by Third Party W - Adjusted for Wash Sale

**Activity**

**TRADE AND INVESTMENT ACTIVITY**

VICENTE GONZALEZ JR TOD

Statement Period: December 01 - December 30, 2016  
Chase Strategic Portfolio (CSP)

For transaction descriptions that direct you to "See Note on Back" the notes will be located in the "Messages" section within "Important Information".

Trade Date	Transaction	Closing Method	Description	Quantity	Price	Cost	Proceeds	Cost Basis	Unrealized Gain/Loss
01 Dec 2016	REINVEST		FEDERATED INSTITUTIONAL HIGH YIELD BOND FUND REINVEST PRICE \$ 9.74 Symbol: FIHGX	2.042		(19.89)			
01 Dec 2016	REINVEST		JOHN HANCOCK INCOME FUND CL REINVEST PRICE \$ 6.37 Symbol: JSTIX	3.069		(19.68)			
01 Dec 2016	REINVEST		LORD ABBETT SHORT DURATION INCOME FUND CL REINVEST PRICE \$ 4.31 Symbol: LLDYX	6.039		(26.03)			
01 Dec 2016	REINVEST		MAINSTAY HIGH YIELD CORPORATE BOND FUND CL REINVEST PRICE \$ 5.89 Symbol: MHYIX	7.807		(44.42)			
01 Dec 2016	REINVEST		PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL REINVEST PRICE \$ 10.15 Symbol: PKGIX	2.385		(24.21)			
01 Dec 2016	REINVEST		PIMCO TOTAL RETURN FUND INSTL CL REINVEST PRICE \$ 9.99 Symbol: PTRIX	3.329		(33.80)			
01 Dec 2016	REINVEST		T ROWE PRICE NEW INCOME FUND INC REINVEST PRICE \$ 9.38 Symbol: PRICX	4.483					
01 Dec 2016	REINVEST		VANGUARD BOND INDEX FD INC TOTAL BD MARKET IDX FOADMIRAL REINVEST PRICE \$	2.267					

See additional footnotes on the last page of this account.  
Please read the important disclosures at the end of the statement. For questions, please call (800) 800 4857.

**STATEMENT SUMMARY**

12/14/2016

FOR AN DEATH AND

VICENTE GONZALEZ JR 100

Statement Period (November 01 - December 31, 2016)

Chase Strategic Portfolio (CSP)

TRADE AND INVESTMENT ACTIVITY (continued)

Trade Date	Transaction	Description	Quantity	Price	Cost	Proceeds	Cost Basis	Realized Gain/Loss
12 Dec 2016	BUY	VANGUARD BOND INDEX FD 10.65 Symbol: VBTLX	0.575	21.8	(5.00)			
13 Dec 2016	REINVEST	VANGUARD FIXED INCOME ADDITIONAL INVESTMENT Symbol: VFIAX						
14 Dec 2016	REINVEST	VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADDITIONAL CL OFFERING MATERIALS ENCLOSED OR TO FOLLOW VIA E-MAIL FOR ONLINE DELIVERY OR VIA POSTAL SERVICE SOLICITED TAG NUMBER 65182 Symbol: VTABX CAPACITY C1	1,140.973	21.8	(54,659.41)			
14 Dec 2016	REINVEST	MFS RESEARCH INTERNATIONAL FD CL REINVEST PRICE \$ 15.93 Symbol: MRSIX	7.013		(111.72)			

See additional footnotes on the last page of this account

Please read the important disclosures at the end of the statement. For questions, please call (800) 890 4557

STATEMENT SUMMARY

IMPORTANT INFORMATION

## TRADE AND INVESTMENT ACTIVITY (continued)

Trade Date	Transaction	Description	Quantity	Price	Cost	Proceeds	Cost Basis	Realized Gain/Loss
15 Dec 2016	Closing Method	JPMORGAN TR I	16 053		(236.94)			
15 Dec 2016	REINVEST	U.S. EQUITY FD CLASS L REINVEST PRICE \$ 14.76 RECORD 12/13/16 PAY 12/15/16 Symbol: MJEX						
15 Dec 2016	REINVEST	JPMORGAN TR II	0 181		(2.07)			
15 Dec 2016	REINVEST	CORE BD FD SELECT CL REINVEST PRICE \$ 11.42 RECORD 12/13/16 PAY 12/15/16 Symbol: WOBDX						
15 Dec 2016	REINVEST	JPMORGAN TR II	3 257		(37.20)			
15 Dec 2016	REINVEST	CORE BD FD SELECT CL REINVEST PRICE \$ 11.42 RECORD 12/13/16 PAY 12/15/16 Symbol: WOBDX						
16 Dec 2016	REINVEST	BLACKROCK FDS	4 288		(44.84)			
16 Dec 2016	REINVEST	MIDCAP INDEX FD CL K REINVEST PRICE \$ 10.51 Symbol: BRMKX						
16 Dec 2016	REINVEST	BLACKROCK FDS	1 245		(13.06)			
16 Dec 2016	REINVEST	MIDCAP INDEX FD CL K REINVEST PRICE \$ 10.51 RECORD 12/14/16 PAY 12/16/16 Symbol: BRMKX						
16 Dec 2016	REINVEST	BLACKROCK FDS	1 008		(10.50)			
16 Dec 2016	REINVEST	MIDCAP INDEX FD CL K REINVEST PRICE \$ 10.51 RECORD 12/14/16 PAY 12/16/16 Symbol: BRMKX						
16 Dec 2016	REINVEST	BLACKROCK INDEX FDS INC	27 508		(27.50)			
16 Dec 2016	REINVEST	INTL INDEX FD CL K REINVEST						

See additional footnotes on the last page of this account.

Please read the important disclosures at the end of the statement. For questions, please call (800) 850-4557.

## Chase Strategic Portfolio (CSP)

## TRADE AND INVESTMENT ACTIVITY (continued)

Trade Date	Transaction	Description	Quantity	Price	Cost	Proceeds	Cost Basis	Realized Gain/Loss
Trade Date	Closing Method							
		BLACKROCK INDEX FDS INC						
		PRICE \$ 11.56						
		REINVEST						
		27/55 RECORD REINVEST PAY						
		12/20/16						
		Symbol: MITIX						
22 Dec 2016	REINVEST	DODGE & COX FUNDS	3.325		(128.75)			
22 Dec 2016		INTERNATIONAL STOCK FUND						
		REINVEST PRICE \$ 38.12						
		Symbol: DODFX						
22 Dec 2016	REINVEST	DODGE & COX FUNDS	2.125		(81.02)			
22 Dec 2016		INTERNATIONAL STOCK FUND						
		REINVEST PRICE \$ 38.12						
		RECORD 12/19/16 PAY						
		12/21/16						
		Symbol: DODFX						
22 Dec 2016	REINVEST	DODGE & COX INCOME FUND	6.548		(88.46)			
22 Dec 2016		REINVEST PRICE \$ 13.51						
		Symbol: DODIX						
22 Dec 2016	REINVEST	VANGUARD BOND INDEX FD	0.163		(1.73)			
22 Dec 2016		INC. TOTAL BOND MARKET IDX						

See additional footnotes on the last page of this account.

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Please read the important disclosures at the end of the statement. For questions, please call (800) 690-4557.

STATEMENT SUMMARY

IMPORTANT INFORMATION

## Chase Strategic Portfolio (CSP)

TABLE VIII  
 INVESTMENT ACTIVITY (continued)

Trade Date	Transaction	Description	Quantity	Price	Cost	Proceeds	Cost Basis	Realized Gain/Loss
Settle Date	Closing Method							
22 Dec 2016	REINVEST	VANGUARD BOND INDEX FD 10 ADMIRAL REINVEST PRICE \$ 10.58 RECORD 12/20/16 PAY 12/22/16 Symbol: VBTLX	0.348		(3.69)			
22 Dec 2016	REINVEST	VANGUARD BOND INDEX FD INC TOTAL BDMARKET IDX FDADMIRAL REINVEST PRICE \$ 10.58 RECORD 12/20/16 PAY 12/22/16 Symbol: VBTLX	8.621		(186.30)			
22 Dec 2016	REINVEST	VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL REINVEST PRICE \$ 21.61 Symbol: VTABX	0.107		(22.43)			
22 Dec 2016	REINVEST	VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRAL REINVEST PRICE \$208.89 Symbol: VFIAX	0.156		(1.62)			
22 Dec 2016	REINVEST	VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES REINVEST PRICE \$ 10.40 RECORD 12/20/16 PAY 12/22/16 Symbol: VBIRX	0.175		(1.82)			
22 Dec 2016	REINVEST	VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES REINVEST PRICE \$ 10.40 RECORD 12/20/16 PAY 12/22/16 Symbol: VBIRX	0.966		(9.28)			
27 Dec 2016	REINVEST	VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD ADMIRAL CL REINVEST						

The additional coordinates on the last page of this account

**Please read the important disclosures at the end of the statement. For questions, please call (800) 690 4557.**

# ADAMIRAL

FOR INVESTMENT

VICENTE GONZALEZ JR TOD

Statement Period: 12/27/16 to 12/27/16

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## TRADE AND INVESTMENT ACTIVITY SUMMARY

Trade Date	Transaction	Description	Quantity	Price	Cost	Proceeds	Cost Basis	Realized Gain/Loss
27 Dec 2016	REINVEST	VANGUARD FIXED INCOME PRICE \$ 9.59 RECORD 12/22/16 PAY 12/27/16 Symbol VFIDX	1.486		(14.25)			
27 Dec 2016		SECS INTER TERM INVT GRADE FD ADMIRAL CL REINVEST PRICE \$ 9.59 RECORD 12/22/16 PAY 12/27/16 Symbol VFIDX						

Taxable and non-taxable income classifications are based on the characteristics of the underlying securities and not the taxable status of the account.

## Income from Taxable Investments

Date	Transaction	Description	Quantity	Rate	Debit Amount	Credit Amount	Net Amount
01 Dec 2016	DIVIDEND	FEDERATED INSTITUTIONAL HIGH YIELD BOND FUND RECORD			19.89	19.89	19.89

See additional footnotes on the last page of this account.

Please read the important disclosures at the end of the statement. For questions, please call (800) 690-4557.

STATEMENT SUMMARY

IMPORTANT INFORMATION



Income from Taxable Investments (continued)

Chase Strategic Portfolio (QST)

Date	Transaction	Description	Quantity	Rate	Debit Amount	Credit Amount	Net Amount
01 Dec 2016	DIVIDEND	FEDERATED INSTITUTIONAL 11/30/16 PAY 11/30/16 Symbol: FHBX				19.68	19.68
01 Dec 2016	DIVIDEND	JOHN HANCOCK INCOME FUND CL I RECORD 11/30/16 PAY 11/30/16 Symbol: JSTIX				26.03	26.03
01 Dec 2016	DIVIDEND	LORD ABBETT SHORT DURATION INCOME FUND CL I RECORD 11/30/16 PAY 11/30/16 Symbol: LLDYX				44.42	44.42
01 Dec 2016	DIVIDEND	MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I RECORD 11/29/16 PAY 11/30/16 Symbol: MHYIX				20.36	20.36
01 Dec 2016	DIVIDEND	MFS SER TR X EMERGING MARKET DEBT FUND CLASS I RECORD 11/30/16 PAY 12/01/16 Symbol: MEDIX				24.21	24.21
01 Dec 2016	DIVIDEND	PIMCO FDS PAC INT MGMT SER INTV GRADE CORP BD FD INSTL CL RECORD 11/30/16 PAY 11/30/16 Symbol: PGDX				0.21	0.21
01 Dec 2016	DIVIDEND	PIMCO SHORT TERM FUND INSTL CL RECORD 11/20/16 PAY 11/30/16 Symbol: PTSHX				33.26	33.26
01 Dec 2016	DIVIDEND	PIMCO TOTAL RETURN FUND INSTL CL RECORD 11/30/16 PAY 11/30/16 Symbol: PTTRX				41.57	41.57
01 Dec 2016	DIVIDEND	T ROWE PRICE NEW INCOME FUND INC RECORD 11/30/16					

## Chase Strategic Portfolio (CSP)

## Income from Taxable Investments (continued)

Date	Transaction	Description	Quantity	Rate	Debit Amount	Credit Amount	Net Amount
		T ROWE PRICE NEW INCOME PAY 11/20/16 Symbol: WOBXX				236.94	236.94
12/13/16	DIVIDEND	RESEARCH INTERNATIONAL FD CL I RECORD 12/13/16 PAY 12/13/16 Symbol: MRSIX			111.72		111.72
15 Dec 2016	DISTRIBUTION - LT CAP	JPMORGAN TR I U S EQUITY FD CLASS L LT CAP GAIN DIV RECORD 12/13/16 PAY 12/15/16 DIVIDEND RATE 0.53400 Symbol: JMUEY			236.94		236.94
15 Dec 2016	DISTRIBUTION - LT CAP	JPMORGAN TR II CORE BD FD SELECT CL LT CAP GAIN DIV RECORD 12/13/16 PAY 12/15/16 DIVIDEND RATE 0.04100 Symbol: WOBXX			37.20		37.20
15 Dec 2016	DIVIDEND - ST CAP GAIN	JPMORGAN TR II CORE BD FD SELECT CL ST CAP GAIN DIV RECORD			2.07		2.07

See additional footnotes on the last page of this account.

Please read the important disclosures at the end of the statement. For questions, please call (800) 690 4557.

STATEMENT SUMMARY

IMPORTANT INFORMATION

VICENTE GONZALEZ JR TOD

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8-7-78

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(continued)

Description

Transaction

Date \_\_\_\_\_

JP MORGAN TR II  
12/13/16 PAY 12/15/16  
DIVIDEND RATE 0.002  
Symbol WOBX.

BLACKROCK FDS  
MIDCAP INDEX FD CL KLT  
CAP-GAIN DIV RECORD  
12/14/16 PAY 12.18/16  
DIVIDEND RATE 0.01000  
Symbol BRMKX

BLACKROCK FDS  
MIDCAP INDEX F D C L X RECORD  
12/14/16 PAY 12/16/16  
Symbol: BRMKX

GAIN BLACKROCK FDS  
MIDCAP INDEX FDC LK ST  
CAP GAIN DIV RECORD  
12/14/18 PAY 12/18/18  
DIVIDEND RATE 0.01400  
DIVIDEND \$0.00000

SYMBOL: BROWX  
BLACKROCK INDEX FDS INC  
INTL INDEX FD CL K RECORD  
12/14/16 PAY 12/16/16  
SYMBOL: BTMXX

Symbol: B1HMC  
MAINSTAY HIGH YIELD  
CORPORATE BOND FUND CL  
RECORD 12/14/18 PAY  
12/15/18  
Symbol: MHYX

Symbol: JPMORGAN TR1  
JPMORGAN TR1  
U S EQUITY FD CLASS L  
RECORD 12/18/16 PAY  
12/21/16  
Symbol: JMUEX

12/2/17  
Symbol: MJUEX  
MASSACHUSETTS INVESTORS  
TRUST-CL LT CAP GAIN DIV  
NN - LT CAP  
RECORD 12/2015 PAY  
12/2016 DIVIDEND RATE

21 Dec 2016 DISTRIBUTION - LT CAP

21 Dec 2016

of the account.



JPMorgan  
CHASE STRATEGIC PORTFOLIO (CSP)

VICENTE GONZALEZ JR TOD

Statement Period: December 01 - December 30, 2016

Chase Strategic Portfolio (CSP)

Income from Taxable Investments (continued)

Date	Transaction	Description	Quantity	Rate	Debit Amount	Credit Amount	Net Amount
15 Dec 2016	DIVIDEND	JPMORGAN TR II 12/13/16 PAY 12/15/16 DIVIDEND RATE 0.00200 Symbol: WGBD				13.08	13.08
15 Dec 2016	DIVIDEND	BLACKROCK FDS MIDCAP INDEX FD CL K LT CAP-GAIN DIV RECORD 12/14/16 PAY 12/16/16 DIVIDEND RATE 0.01500 Symbol: BRMKX			44.84		44.84
15 Dec 2016	DIVIDEND	BLACKROCK FDS MIDCAP INDEX FD CL K RECORD 12/14/16 PAY 12/16/16 Symbol: BRMKX			10.57		10.57
15 Dec 2016	DIVIDEND	BLACKROCK INDEX FDS INC INTL INDEX FD CL K RECORD 12/14/16 PAY 12/16/16 Symbol: BTMKX			317.99		317.99
15 Dec 2016	DIVIDEND	MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I RECORD 12/14/16 PAY 12/15/16 Symbol: MHYIX			44.88		44.88
15 Dec 2016	DIVIDEND	JPMORGAN TR I U.S. EQUITY FD CLASS L RECORD 12/19/16 PAY 12/21/16 Symbol: MJUEX			22.78		22.78
15 Dec 2016	DIVIDEND	MASSACHUSETTS INVESTORS TRUST-CL I LT CAP-GAIN DIV RECORD 12/20/16 PAY 12/20/16 DIVIDEND RATE			21.47		21.47

See additional footnotes on the last page of this account.

Please read the important disclosures at the end of the statement. For questions, please call 1-800-440-4000.



Income from Taxable Investments (continued)

Date	Transaction	Description	Quantity	Rate	Debit Amount	Credit Amount	Net Amount
22 Dec 2016	DIVIDEND	VANGUARD CHARLOTTE FDS ADMIRAL CL RECORD 12/20/16 PAY 12/22/16 Symbol: VTAB				22.43	22.43
22 Dec 2016	DIVIDEND	VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRAL RECORD 12/20/16 PAY 12/22/16 Symbol: VFIAX			1.82		1.82
22 Dec 2016	DISTRIBUTION - LT CAP	VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES LT CAP-GAIN DIV RECORD 12/20/16 PAY 12/22/16 Symbol: VBIRX			1.82		1.82
22 Dec 2016	DIVIDEND - ST CAP GAIN	VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES ST CAP-GAIN DIV RECORD 12/20/16 PAY 12/22/16 Symbol: VBIRX			9.26		9.26
27 Dec 2016	DISTRIBUTION - LT CAP	VANGUARD FIXED INCOME SECS INTER TERM INT GRADE FD ADMIRAL CL LT CAP-GAIN DIV RECORD 12/22/16 PAY 12/27/16 DIVIDEND RATE 0.03600 Symbol: VFIDX			14.25		14.25
27 Dec 2016	DIVIDEND - ST CAP GAIN	VANGUARD FIXED INCOME SECS INTER TERM INT GRADE FD ADMIRAL CL ST CAP-GAIN DIV RECORD 12/22/16 PAY 12/27/16 DIVIDEND RATE 0.05600 Symbol: VFIDX			30.93		30.93
28 Dec 2016	DIVIDEND	ISHARES INC ISHARES MSCI JAPAN ETF CASH DIV ON 53 SHS REC 12/23/16 PAY 12/29/16 Symbol: EWJ					

**J.P.Morgan**

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VICENTE GONZALEZ JR TOD

Statement Period: December 01 - December 30, 2016

Chase Strategic Portfolio (CSP)

SPONSORED BY  
HCAI TRUST CO SELECT CO  
RECORDED 12/27/16  
Symbol: CHFFX

Total Dividends	\$1,427.26	\$1,427.26
Total Distributions	\$696.62	\$696.62
TOTAL INCOME FROM TAXABLE INVESTMENTS	\$2,022.78	\$2,022.78
Total Income	\$2,022.78	\$2,022.78

**SWEEP PROGRAM ACTIVITY**

J P MORGAN DEPOSIT SWEEP MGD.JPMORGAN CHASE BANK NA.Symbol QCPM

Date	Transaction	Description	Quantity	Price	Debit Amount	Credit Amount
01 Dec 2016	PURCHASE	INTRA-DAY DEPOSIT	2,128.12	1		
01 Dec 2016	INTEREST	MONTHLY INTEREST 11/01-11/30	20.57		(20.57)	0.53
01 Dec 2016	REINVEST	MONTHLY INTEREST REINVESTED	0.53		(0.53)	
13 Dec 2016	PURCHASE	INTRA-DAY DEPOSIT	774.94		(774.94)	
28 Dec 2016	PURCHASE	INTRA-DAY DEPOSIT	30.93		(30.93)	

See additional footnotes on the last page of this account.

J.P. MORGAN DEPOSIT SWEEP MGD, JPMORGAN CHASE BANK NA Symbol QCPOM (continued)

Date	Transaction	Description	Quantity	Price	Debit Amount	Credit Amount
			2,988.09	1		
	LOSING BALANCE					
	WEEP PROGRAM ACTIVITY				(\$28.97)	
	WEEP Program Dividend/Interest					\$0.53

ipault  
 C1 - C2 C3 C4 C5 C6 C9 JPMS as indicated on this statement acted as Agent for your account unless otherwise indicated  
 C6 - JPMS as indicated on this statement acted as Agent for both buyer and seller, charging a commission or commission equivalent to both parties. The source and any remuneration charged in the transaction will be furnished upon written request  
 C7 - JPMS as indicated on this statement, acted as Principal  
 C4 - This trade was executed by your broker with JPMS acting as your prime broker. Contact your executing broker for trade details.  
 Average Cost B - Adjusted for Amortization or Accretion D - Acquisition Date = Date of Death E - Adjusted for Option Exercise or Assignment K - Gifted Security LT - Long Term  
 - Mixed Term N - Noncovered Provide - Please provide this information ST - Short Term T - Cost Basis provided by Third Party W - Adjusted for Wash Sale  
 Sing Methods MLMG - Maximum Loss Minimum Gain LIFO - Last In, First Out FIFO - First In, First Out HC - High Cost LC - Low Cost  
 - LC - Long Term High Cost PRO - Pro Rate VSP - Specific Match (the closing transaction was specifically matched to this lot)



Statement Period: December 01 - December 30, 2018

TFR ON DEATH INT

Gerardo Jr. Juarez  
(Private Client Advisor)  
(956) 632 2254

Roseanna Loera  
(PC Investment Associate)  
(956) 632 2265

J.P. Morgan Securities LLC  
Member FINRA and SIPC  
131 South Dearborn Street, IL1-0291 4th FLOOR  
Chicago, IL, 60603-5506  
(800) 690 4557  
[www.chase.com](http://www.chase.com)

## Messages

## SECURITIES AND INVESTMENT ACTIVITY DESCRIPTION "NOTES"

S. The transaction described is subject to the U.S. Treasury or Agency Debt and Agency MBS falls charge trading practice published by TMPG and SIFMA at <http://www.sifma.org/capital-markets/docs/Falls-Charge-Trading-Practice.pdf> and <http://www.sifma.org/Services/Standard-Forms-and-Documents/Securitized-Products-Falls-Charge-Trading-Practice>

T. Your producing broker (JPMS) receives compensation for directing customer orders for equity securities. The source and nature of such compensation received on this transaction, if any, will be furnished to you upon written request.

U. You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sales charge you paid may differ slightly from the Prospectus disclosed rate due to rounding calculations. Please refer to the Prospectus, Statement of Additional Information or contact your financial advisor for further information.

V. A paper copy of the offering document relating to this transaction is available, upon request, by calling 1-866-803-9204 during normal business hours.

W. The securities have not been registered under the U.S. Securities Act of 1933, any may only be offered and sold pursuant to registration under the 1933 Act or an available exemption therefrom or in accordance with Regulation S.

X. These securities have not been registered under the U.S. Securities Act of 1933, and were issued pursuant to the exemption provided by Section 3(c)(7) of the Investment Company Act of 1940. The securities may only be offered and sold (i) pursuant to registration under the 1933 Act of an exemption there from and (ii) in compliance with the provisions of Section 3(c)(7).

Y. To obtain a paper copy of the official statement, please call 877-430-3544 for domestic accounts or 347-643-6283 for international accounts. Alternatively, you may contact your sales representative or broker-dealer.

Z. JPMS or an affiliate is a market maker in the security. As a market maker, JPMS or its affiliate may have acted as principal, may have held a long or short position in the security, and may have profited or lost in connection with the transaction.

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## MANAGER ALLOCATION

J.P. Morgan Managed includes mutual funds and other registered funds managed by J.P. Morgan.

J.P. Morgan Separately Managed Account includes fixed income and equity separately managed accounts managed by J.P. Morgan.

J.P. Morgan Cash & Liquidity Funds includes cash, J.P. Morgan deposit sweeps and J.P. Morgan money market mutual funds.

Third-Party Managed & Other includes mutual funds, exchange traded funds and separately managed accounts managed by parties other than J.P. Morgan, and other investments not managed or issued by J.P. Morgan.

Manager Allocation for certain advisory accounts in which J.P. Morgan has the discretion to employ J.P. Morgan and third party investment portfolios will reflect the expected targeted allocations for each investment portfolio, rather than the actual allocations. While these targets are generally indicative of actual holdings, differences may arise due to factors such as relative asset performance prior to portfolio rebalancing. J.P. Morgan Core Advisory Portfolio (JPMCAP) models that contain a Separately Managed Account (SMA) component utilize the expected target allocations for each investment portfolio.

## FRACTIONAL SHARES

Fractional Shares will not be adjusted for certain corporate actions. Any remaining position less than 1 share will be liquidated.

**Messages (continued)**

Waiver of the "Large Trader" Rule

Securities and other assets in your account are the firm's collateral for any margin loan made to you. If the securities and other assets in your account decline in value, so does the value of the collateral supporting your loan, and as a result, the firm can take action, such as issuing a margin call and/or sell securities or other assets in any of your accounts held with the member, in order to maintain the required equity in the account. It is important that you fully understand the risks involved in trading securities on margin. These risks include the following:

- You can lose more funds than you deposited in the margin account.
- The firm can force the sale of securities or other assets in your account(s).
- The firm can sell your securities in other accounts without notifying you.
- You are not entitled to charge your securities or other assets in your account(s) to meet a margin call.
- The firm can, at any time, use its discretion to require you to provide additional collateral.
- You are not entitled to the return of your securities at any time and is not required to provide you advance written notice.

Further, if you have a margin account with us, we may use certain securities in your account for, among other things, settling short sales and lending the securities for short sales, and as a result may receive compensation in connection with these activities.

Large Trader Rule

The U.S. Securities and Exchange Commission ("SEC") requires U.S. and non-U.S. market participants who meet the definition of "Large Trader" as of October 3, 2011, or at any point thereafter to:

- (i) File an electronic Form 1314 with the SEC (via EDDAR) to obtain a large trader identification number (LTID); and
- (ii) Promptly disclose to U.S. broker-dealers the exercise of investment discretion, effects transactions in NMS securities that equal or exceed either (i) 2 million shares or

\$20 million during any calendar day (or 20 million shares or \$200 million over any calendar month).

If you are a "Large Trader" and have completed a Form 1314, you will receive an LTID from the SEC. In order to ensure that LTID's are captured and reported as required under the Rule, you are required to promptly report your LTID to your broker and identify each account to which the LTID should be applied. If you have any questions, please contact your Advisor.



## Messages (continued)

### EXTENDED HOURS TRADING RISK DISCLOSURE

**Risk of Lower Liquidity.** Liquidity refers to the ability of market participants to buy and sell securities. Generally, the more orders that are available in a market, the greater the liquidity. Liquidity is important because with greater liquidity it is easier for investors to buy or sell securities, and as a result, investors are more likely to pay or receive a competitive price for securities purchased or sold. There may be lower liquidity in extended hours trading as compared to regular market hours. As a result, your order may only be partially executed, or not at all.

**Risk of Higher Volatility.** Volatility refers to the changes in price that securities undergo when trading. Generally, the higher the volatility of a security, the greater its price swings. There may be greater volatility in extended hours trading than in regular market hours. As a result, your order may only be partially executed, or not at all, or you may receive an inferior price in extended hours trading than you would during regular market hours.

**Risk of Changing Prices.** The prices of securities traded in extended hours trading may not reflect the prices either at the end of regular market hours or upon the opening the next morning. As a result, you may receive an inferior price in extended hours trading than you would during regular market hours.

**Risk of Unlinked Markets.** Depending on the extended hours trading system or the time of day, the prices displayed on a particular extended hours trading system may not reflect the prices in other concurrently operating extended hours trading systems dealing in the same securities. Accordingly, you may receive an inferior price in one extended hours trading system than you would in another extended hours trading system.

**Risk of News Announcements.** Periodically, issuers make news announcements that may affect the price of their securities after regular trading hours. Similarly, important financial information is frequently announced outside of regular trading hours. In extended hours trading, these announcements may occur during trading, and if combined with lower liquidity and higher volatility, may cause an exaggerated and unsustainable effect on the price of a security.

**Risk of Wider Spreads.** The spread refers to the difference in price between what you can buy a security for and what you can sell it for. Lower liquidity and higher volatility in extended hours trading may result in wider than normal spreads for a particular security.

IPS, PFMGR, FIC, PFMGR, FIC, FIC

The "Account Value" figure, located above the "Account Activity Summary" table on the first page of your retirement account detailed statement, represents the ascertainable fair market value ("FMV") of your account as of 12/31/18. Any unpriced positions (those lacking an ascertainable market value) are not included in this figure. If you are holding Alternative Investments in this account, additional information regarding the FMV calculation is provided in the "Specific Notice for Retirement Accounts" section at the end of this statement under the heading of "Alternative Investments."

## Important Information about Your Account Statement(s)

JP Morgan Chase Bank, N.A. is a member of the Federal Deposit Insurance Corporation (FDIC). JP Morgan Chase Bank, N.A. is a member of the Federal Reserve System.

**NON-DISCRETIONARY:** JPMS coverage accounts are non-discretionary and all investment decisions are made by the client. Except in limited circumstances, individual JPMS financial advisors do not have discretion over client accounts, regardless of whether they are brokerage or advisory accounts. For managed accounts, discretionary services are provided by JPMS, an affiliate or an authorized third party.

**ACCOUNT PROTECTION:** As a member of the Securities Investor Protection Corporation (SIPC), JPMS provides account protection for the net equity of a customer's funds and securities positions. SIPC provides \$500,000 of primary net equity protection, including \$250,000 for claims for cash (SIPC Coverage). Account protection applies when a SIPC member firm fails financially and is unable to meet its obligations to its securities customers, but does not apply to losses from the rise or fall in the market value of investments or to SIPC ineligible assets such as futures, options on futures, foreign exchange transactions, or any investment contracts that are not registered as securities or deposit account balances. For more information about SIPC Coverage, including the SIPC Brochure, visit [www.sipc.org](http://www.sipc.org) (follow the link to How SIPC Protects Investors) or call SIPC at (202) 371-8300.

**CUSTODY:** JPMS carries your account and acts as your custodian for funds and securities received, which have been deposited directly with us, or received as a result of transactions we process for your account. Inquiries regarding your Statement may be directed to JPMS at (347) 643-9983.

As used in the course of these statements, "J.P. Morgan" is the global brand name for JPMorgan Chase & Co. and its subsidiaries and affiliates worldwide.

**MARKET PRICES:** The market value of your holdings is as of the last business day of the statement period or the last available price. Prices for determining market values represent estimates. These estimates are obtained from multiple sources deemed to be reliable; this information is not guaranteed for accuracy and is furnished for the exclusive use of the client.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated. Such values are only indicative.

**ESTIMATED PRICING AND COST BASIS:** Certain assets, including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid; the value of such assets may have been provided to us by third parties who may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

Pricing estimates may be based on bids, prices within the bid offer spread, closing prices or matrix methodology that uses data relating to other securities whose prices are more ascertainable to produce a hypothetical price based on the estimated yield spread relationship between the securities. Pricing estimates do not constitute bids for any securities. Actual prices realized at sale may be more or less than those shown on your statement.

**Unpriced Direct Participation Program (DPP) and Real Estate Investment (REIT) Securities:** DPP and REIT securities are generally illiquid and the value of the security will, generally, be different from its purchase price. Accurate valuation information is not available. The total cost basis for each security position and the unrealized gain/loss are provided solely as a general indication of performance and should not be used for tax purposes or otherwise relied upon without the assistance of your tax advisor. With respect to security positions received into your account, cost basis information, if any, has been provided by you. Further information is available from your advisor.

You may hold positions where the original cost basis has been adjusted to reflect amortization or accretion.

For Regulated Investment Companies or Dividend Reinvestment Plan sales, for which the average price method has been chosen, positions are closed out on a First-In-First-Out (FIFO) basis.

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss. Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor.

**DIVIDEND INCOME:** Dividends credited to your account may include capital gains, non-taxable dividends and/or dividends on foreign stock. You may wish to consult your tax advisor with regard to your tax liability on these dividends.

**ESTIMATED ANNUAL INCOME AND ESTIMATED YIELD:** Estimated Annual Income (EAI) is calculated by multiplying either the current coupon rate or an estimated annual dividend (generally calculated by annualizing the most recent regular cash dividend) by the quantity of the security held. For balances other than sweep program balances, Estimated Yield (EY) is calculated by dividing EAI by the market value of the security. These figures are estimates based on mathematical calculations using data obtained from outside sources. Because prices of securities, coupon and dividend rates are subject to change at any time, these estimates should not be relied upon exclusively for making investment, trading, or tax decisions. Because different asset types (e.g. equities versus fixed income securities) tend to have different investment characteristics, these estimates should not be compared across asset types. These calculations are for informational purposes only and are not a projection or guarantee of future returns. Additionally, please note that EAI and EY for certain types of Securities might include Return of Principal or Capital Gains in which case the EAI and EY would be overstated. There is no guarantee that your investments will actually generate EAI or EY presented. Actual income and yield might be higher or lower. Please consult your advisor for additional information.

**IMPORTANT INFORMATION REGARDING AUCTION RATE SECURITIES (ARS):** ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online. Accordingly, investors should not rely on pricing information appearing in their statements or online with respect to ARS. When J.P. Morgan is unable to obtain a price from an internal or outside source for a particular ARS, the price shown on your statement will indicate "unpriced".

IMPORTANT INFORMATION ABOUT YOUR INVESTMENTS AND POTENTIAL CONFLICTS OF INTEREST APPLICABLE TO YOUR MANAGED INVESTMENT ACCOUNT(S): Conflicts of interest arise when JP Morgan affiliates have an actual or perceived economic or other incentive in its management of your clients' portfolios to act in a way that benefits JP Morgan. Conflicts will result, for example, to the extent the following activities are permitted in your account: (1) when JP Morgan invests in an investment product, such as a mutual fund, structured product, separately managed account or hedge fund issued or managed by JPMorgan Chase Bank N.A. or an affiliate, such as JP Morgan Investment Management Inc. (2) when a JP Morgan entity obtains services, including trade execution and trade clearing, from an affiliate (3) when JP Morgan receives payment for purchasing an investment product for a client's account or (4) when JP Morgan receives payment for providing services, including shareholder servicing, recordkeeping or custody, with respect to investment products purchased for a client's portfolio. Other conflicts will result because of relationships that JP Morgan has with other clients or when JP Morgan acts for its own account.

Investment strategies are selected from both JP Morgan and third-party asset managers and are subject to a review process by our manager research teams. From this pool of strategies, our portfolio construction teams select those strategies we believe fit our asset allocation goals and forward looking views in order to meet the portfolio's investment objective.

As a general matter, we prefer JP Morgan managed strategies. We expect the proportion of JP Morgan managed strategies will be high (in fact, up to 100 percent) in strategies such as, for example, cash and high-quality fixed income, subject to applicable law and any account-specific considerations.

While our internally managed strategies generally align well with our forward looking views, and we are familiar with the investment processes as well as the risk and compliance philosophy of the firm, it is important to note that JP Morgan receives more overall fees when internally managed strategies are included. We offer the option of choosing to exclude JP Morgan managed strategies (other than cash and liquidity products) in certain portfolios.

**FINRA PUBLIC DISCLOSURE PROGRAM:** As part of the Financial Industry Regulatory Authority ("FINRA") Investor Education Program, you may obtain information on FINRA's Public Disclosure program by contacting FINRA at telephone number (800) 289-9999 or via the Internet address [www.finra.org](http://www.finra.org). In addition, a brochure explaining the Public Disclosure Program is available from FINRA upon request.

**BUSINESS RECOVERY AND CONTINUITY:** JPMS maintains a business recovery and continuity plan, including alternate processing and data centers, which will allow us to resume normal business operations including relocating technology and personnel to alternate facilities within 24 hours in the event of an extended business disruption. The recovery time objective is 24 hours. The plan is viewed annually, tested throughout the year, and updated as necessary. Investors will be able to obtain information about their accounts at their local Chase branch, or by contacting your Advisor at the phone number listed on the front of this statement, or by visiting [www.Chase.com](http://www.Chase.com). Every effort will be made to provide investors with timely and accurate information.

**CASE OF ERRORS OR QUESTIONS ABOUT YOUR ACCOUNT STATEMENTS:** Please review your account statement closely. You are advised to promptly report any inaccuracy or discrepancy in your account (including things like possible unauthorized trading activity, recorded dividend payments, unaccounted cash positions, improper payments or transfers,

differences or non-receipt of checks or stocks indicated as delivered to you) to your Advisor and JPMS at the telephone numbers listed on this statement. In order to protect your rights, including any rights under the Securities Investor Protection Act (SIPA), any such communications should be reconfirmed in writing to your advisor at JPMS at the addresses which appear on the front of this statement. If you have any questions, please contact your Advisor.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

**MATERIAL CHANGES IN YOUR INVESTMENT OBJECTIVES OR FINANCIAL SITUATION:** You must promptly notify your Advisor of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your Advisor will consider the information currently in its files to be complete and accurate. Please include your account number(s) on all correspondence.

**CHANGE OF ADDRESS:** Please promptly notify the office servicing your account ("branch address") in writing of any change of address. The branch address can be found on page 1. Please include your account number(s) on all correspondence.

**USA PATRIOT ACT:** The USA PATRIOT Act requires that all financial institutions obtain certain identification documents or other information in order to comply with their customer identification procedures. Until you provide the required information or documents, we may not be able to open or maintain an account or effect any transactions for you.

**ASSETS:** Subject to regulatory or other pre-agreed limitations, all or any part of the securities in your account may be held by us in securities financing transactions.

**INFORMATION REQUESTS:** Upon request, the date and time of the transaction and the name of the person from whom the security was purchased, or to whom it was sold, will be furnished upon request.





5) Licette Gonzalez

Schedule A - Assets "Unearned Income"

	Assets and/or Income Source	Address	City	Value of Asset	Type of Income	Current Year	Preceding Year
Raw Land	Cibola Rd.		Edinburg, Texas	\$50,001-\$100,000	Capital Gains		None
Raw Land	Up Mountain Rd.		San Antonio, Texas	\$50,001-\$100,000	Capital Gains		None
APT	4 Plex	721 Sand Piper Rd.	South Padre Island, Texas	\$100,000-\$250,000	Rent		\$15,001-\$50,000
APT	6-Plex	2311 Iris St.	McAllen, Texas	\$100,000-\$250,000	Rent		\$5,001-\$15,000
APT	604 BlueBird	604 BlueBird	McAllen, Texas	\$100,000-\$250,000	Rent		\$5,001-\$15,000
APT	Monte Capitolio	233 Monte Capitolio Rd.	Monterrey, Nuevo Leon,	\$100,000-\$250,000	Rent		\$5,001-\$15,000
APT	Villa Marlin	K 13.5	Cancun, Quintana Roo, M	\$100,000-\$250,000	None		None
Real Estate	458 West Clam	458 West Clam	Port Isabel, Texas	\$100,000-\$250,000	None		None
Real Estate	1548 Dove	1548 Dove	McAllen, Texas	\$100,000-\$250,000	Rent		\$50,001-\$100,000
Real Estate	121 N. 10th Street	121 N. 10th Street	McAllen, Texas	\$500,000-\$1,000,000	Capital Gains		None
Real Estate	3711 N. 10th Street	3711 N. 10th Street	McAllen, Texas	\$500,000-\$1,000,000	Capital Gains		\$15,001-\$50,000
Real Estate	33 Carrera San Jeronimo	33 Carrera San Jeronimo	Madrid, Spain	\$250,000-\$500,000	Rent		\$15,001-\$50,000
Real Estate	Ranch - Rechtsanwalte Farm LLC	476 Weldge-Lewis Road	Fredericksburg, Texas	\$250,000-\$500,000	Capital Gains		None

5 Spouse

Schedule A- Assets & "UNEARNED INCOME"					
Assets and/or Income Source	City	Value of Assets	Type of Income	Current Year	Preceding Year
5409 N 8th St	McAllen, Texas	\$100,001-\$250,000	Capital Gains		None
2005 Gurmwood	McAllen, Texas	\$50,001-\$100,000	Capital Gains		None
721 N 21st	McAllen, Texas	\$50,001-\$100,000	Capital Gains		None