

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

**FORM A** Page 1 of 15  
For use by Members, officers, and employees

Thomas Jeffery Cole

202-225-6165

(Full Name)

(Daytime Telephone)

**Filer Status** ☒ Member of the U.S. House of Representatives

State: OK District: 04

☐ Officer Or Employee

Employing Office:

**Report Type** ☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<b>Trusts--</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**HAND DELIVERED**

LEGISLATIVE RESOURCE CENTER

2012 MAY 10 AM 9:30

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

# SCHEDULE I - EARNED INCOME

Name Thomas Jeffrey Cole

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Cole, Hargrave, Snodgrass and Associates	Management Fee	\$20,000

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Jeffery Cole

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p>		<p>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
JT	Cole, Hargrave, Snodgrass and Associates, Oklahoma City (stock)	\$250,001 - \$500,000	Dividends/Capital Gains	\$15,001 - \$50,000	
	Midfirst Bank Money Market Fund	\$100,001 - \$250,000	Interest	\$201 - \$1,000	P
	Personal Residence	\$100,001 - \$250,000	None	NONE	
JT	10 acres of land in Johnson County, OK (owned jointly with John D. Cole)	\$1,001 - \$15,000	None	NONE	
	Strategic Communications Moore, OK (stock)	\$250,001 - \$500,000	Dividends/Capital Gains	\$15,001 - \$50,000	
	Burke and Herbert Bank Money Market Fund	\$15,001 - \$50,000	Interest	\$1 - \$200	P

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	Fidelity Spartan Money Market Fund	\$50,001 - \$100,000	Interest	\$1 - \$200	S
	MUTUAL FUNDS				
JT	American Century Tax Free Bond Fund	\$100,001 - \$250,000	Dividends/Capital Gains	\$5,001 - \$15,000	P
JT	Fidelity Municipal Income Fund	\$100,001 - \$250,000	Dividends/Capital Gains	\$5,001 - \$15,000	P
JT	Fidelity Contrafund	\$1,001 - \$15,000	Dividends/Capital Gains	\$201 - \$1,000	
JT	Fidelity Dividend Growth Fund	\$15,001 - \$50,000	Dividends/Capital Gains	\$201 - \$1,000	
JT	Fidelity Spartan 500 Index Fund	\$50,001 - \$100,000	Dividends/Capital Gains	\$1,001 - \$2,500	P
JT	Vanguard High Yield Tax Exempt Funds	\$100,001 - \$250,000	Dividends/Capital Gains	\$5,001 - \$15,000	P
JT	Vanguard Total International Stock Fund	\$50,001 - \$100,000	Dividends/Capital Gains	\$1,001 - \$2,500	P
JT	Vanguard Total Stock Market Index Fund	\$100,001 - \$250,000	Dividends/Capital Gains	\$1,001 - \$2,500	P
	Vanguard Inflation Protected Securities Fund	\$15,001 - \$50,000	Dividends/Capital Gains	\$1,001 - \$2,500	P
JT	Baron Asset Fund	\$15,001 - \$50,000	Dividends/Capital Gains	\$5,001 - \$15,000	P
JT	T Rowe Price Equity Income Fund	\$100,001 - \$250,000	Dividends/Capital Gains	\$1,001 - \$2,500	P
	STOCKS				

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	Spectra Energy	\$1,001 - \$15,000	Dividends/Capital Gains	\$201 - \$1,000	
JT	IBM, Inc.	\$15,001 - \$50,000	Dividends/Capital Gains	\$201 - \$1,000	
JT	Wells Fargo and Company	\$15,001 - \$50,000	Dividends/Capital Gains	\$201 - \$1,000	
	Duke Energy	\$15,001 - \$50,000	Dividends/Capital Gains	\$1,001 - \$2,500	P
	Exxon Mobil Corporation	\$15,001 - \$50,000	Dividends/Capital Gains	\$1,001 - \$2,500	P
SP	Alliant Corporation	\$15,001 - \$50,000	Dividends/Capital Gains	\$201 - \$1,000	
JT	Regions Financial	\$1,001 - \$15,000	Dividends/Capital Gains	\$1 - \$200	S
JT	Pfizer, Inc.	\$1,001 - \$15,000	Dividends/Capital Gains	\$1 - \$200	S
JT	Tronox, Inc	\$1 - \$1,000	Dividends/Capital Gains	\$1 - \$200	
JT	Walgreen Corp	\$15,001 - \$50,000	Dividends/Capital Gains	\$201 - \$1,000	
JT	Walmart Stores, Inc	\$1,001 - \$15,000	Dividends/Capital Gains	\$201 - \$1,000	
JT	Johnson Controls	\$50,001 - \$100,000	Dividends/Capital Gains	\$1,001 - \$2,500	P
JT	AT&T, Inc	\$15,001 - \$50,000	Dividends/Capital Gains	\$1,001 - \$2,500	P
JT	Microsoft, Inc	\$1,001 - \$15,000	Dividends/Capital Gains	\$201 - \$1,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	Becton Dickinson & Company	\$1,001 - \$15,000	Dividends/Capital Gains	\$201 - \$1,000	
	TOM COLE'S FIDELITY IRA				
	Fidelity Total Bond Fund	\$50,001 - \$100,000	Dividends/Capital Gains	\$5,001 - \$15,000	P
	Fidelity Spartan 500 Index Fund	\$15,001 - \$50,000	Dividends/Capital Gains	\$2,501 - \$5,000	P
	Fidelity Contrafund	\$15,001 - \$50,000	Dividends/Capital Gains	\$2,501 - \$5,000	P
	Fidelity Diversified International Fund	\$15,001 - \$50,000	Dividends/Capital Gains	\$2,501 - \$5,000	P
	ELLEN COLE'S FIDELITY IRA				
SP	Fidelity Total Bond Fund	\$15,001 - \$50,000	Dividends/Capital Gains	\$2,501 - \$5,000	P
SP	Fidelity Contrafund	\$1,001 - \$15,000	Dividends/Capital Gains	\$1,001 - \$2,500	P
SP	Fidelity Spartan 500 Index Fund	\$15,001 - \$50,000	Dividends/Capital Gains	\$1,001 - \$2,500	P
SP	Fidelity Diversified International Fund	\$1,001 - \$15,000	Dividends/Capital Gains	\$201 - \$1,000	P
	ANNUITY				
JT	Fidelity VIP Individual Freedom 2020 Fund (Not self-directed)	\$50,001 - \$100,000	Dividends/Capital Gains	\$1,001 - \$2,500	P
	TOM COLE'S FEDERAL THRIFT SAVINGS PLAN				

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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G Fund	\$100,001 - \$250,000	Dividends/Capital Gains	\$5,001 - \$15,000	P
F Fund	\$15,001 - \$50,000	Dividends/Capital Gains	\$1,001 - \$2,500	P
C Fund	\$100,001 - \$250,000	Dividends/Capital Gains	\$5,001 - \$15,000	P
S Fund	\$15,001 - \$50,000	Dividends/Capital Gains	\$1,001 - \$2,500	S
I Fund	\$15,001 - \$50,000	Dividends/Capital Gains	\$1,001 - \$2,500	P
TOM COLE'S COLE, HARGRAVE, SNODGRASS 401K				
Franklin Income Fund A	\$1,001 - \$15,000	Dividends/Capital Gains	\$1 - \$200	
Credit Suisse Capital Appreciation Fund	\$1,001 - \$15,000	Dividends/Capital Gains	\$1 - \$200	
Franklin MSF Mutual Share Fund	\$1,001 - \$15,000	Dividends/Capital Gains	\$1 - \$200	
Franklin Small/Med Cap Fund	\$1,001 - \$15,000	Dividends/Capital Gains	\$1 - \$200	
Franklin Balance Stock Investment Fund	\$1,001 - \$15,000	Dividends/Capital Gains	\$1 - \$200	
Lord Abbott Core Fixed Income Fund	\$1,001 - \$15,000	Dividends/Capital Gains	\$1 - \$200	
Lord Abbott Mid Cap Value Fund	\$1,001 - \$15,000	Dividends/Capital Gains	\$1 - \$200	
Oppenheimer Main Street Fund Class A	\$1,001 - \$15,000	Dividends/Capital Gains	\$1 - \$200	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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Oppenheimer Global Fund Class A	\$1,001 - \$15,000	Dividends/Capital Gains	\$1 - \$200	
Pimco High Yield Fund	\$1,001 - \$15,000	Dividends/Capital Gains	\$1 - \$200	
Putnam International Equity Fund A	\$1,001 - \$15,000	Dividends/Capital Gains	\$1 - \$200	
Strong Government Securities Fund	\$1,001 - \$15,000	Dividends/Capital Gains	\$201 - \$1,000	
Templeton Foreign Fund A	\$1,001 - \$15,000	Dividends/Capital Gains	\$1 - \$200	
Vankamp Common Stock Fund A	\$1,001 - \$15,000	Dividends/Capital Gains	\$1 - \$200	
TOM COLE'S 457 SOONER SAVINGS				
T Rowe Price Balanced Fund	\$1,001 - \$15,000	Dividends/Capital Gains	\$201 - \$1,000	
Europacific A Growth Fund	\$1,001 - \$15,000	Dividends/Capital Gains	\$201 - \$1,000	
American Century Income and Growth Fund	\$1,001 - \$15,000	Dividends/Capital Gains	\$201 - \$1,000	
Columbia Acorn Fund	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	
Janus Small Cap Value Fund	\$1,001 - \$15,000	Dividends/Capital Gains	\$1 - \$200	
T Rowe Price Blue Chip Growth Fund	\$1,001 - \$15,000	Dividends/Capital Gains	\$201 - \$1,000	
Dreyfus Premium Leader A Fund	\$1,001 - \$15,000	Dividends/Capital Gains	\$1 - \$200	



**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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	BGI SDP Stock Fund	\$1,001 - \$15,000	Dividends/Capital Gains	\$201 - \$1,000	
	ELLEN COLE'S 401K Fund				
SP	Fidelity Total Bond Fund	\$15,001 - \$50,000	Dividends/Capital Gains	\$1,001 - \$2,500	P
SP	Fidelity Spartan 500 Index Fund	\$1,001 - \$15,000	Dividends/Capital Gains	\$201 - \$1,000	
SP	Fidelity Diversified International Fund	\$1,001 - \$15,000	Dividends/Capital Gains	\$201 - \$1,000	
	TOM COLE'S ANNUITY				
	State Farm Insurance Annuity (Not self-directed)	\$1,001 - \$15,000	None		
	ELLEN COLE'S ANNUITY				
	State Farm Insurance Annuity (Not self-directed)	\$1,001 - \$15,000	None		

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	American Century Tax Free Bond Fund	P	N/A	Monthly	\$1,001 - \$15,000
JT	AT&T, Inc	P	N/A	Quarterly	\$1,001 - \$15,000
	C Fund (Federal Thrift Savings Plan)	P	N/A	Monthly	\$1,001 - \$15,000
JT	Duke Energy	P	N/A	Quarterly	\$1,001 - \$15,000
JT	Exxon Mobil Corporation	P	N/A	Quarterly	\$1,001 - \$15,000
	F Fund (Federal Thrift Savings Plan)	P	N/A	Quarterly	\$1,001 - \$15,000
SP	Fidelity Diversified International Fund (IRA)	P	N/A	1/10/11	\$1,001 - \$15,000
	Fidelity Diversified International Fund (IRA)	P	N/A	1/11/11	\$1,001 - \$15,000
JT	Fidelity Money Market Fund	S	N/A	10/24/11	\$50,001 - \$100,000
JT	Fidelity Municipal Income Fund	P	N/A	Monthly	\$15,001 - \$50,000
SP	Fidelity Spartan Index Fund (IRA)	P	N/A	1/10/11	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Fidelity Spartan Index Fund (IRA)	P	N/A	1/11/11	\$1,001 - \$15,000
SP	Fidelity Total Bond Fund (401K)	P	N/A	Monthly	\$1,001 - \$15,000
SP	Fidelity Total Bond Fund (IRA)	P	N/A	1/10/11	\$1,001 - \$15,000
	Fidelity Total Bond Fund (IRA)	P	N/A	1/11/11	\$1,001 - \$15,000
	G Fund (Federal Thrift Savings Plan)	P	N/A	Monthly	\$1,001 - \$15,000
	I Fund (Federal Thrift Savings Plan)	P	N/A	Monthly	\$1,001 - \$15,000
JT	Johnson Controls, Inc. Stock	P	N/A	Quarterly	\$1,001 - \$15,000
JT	Pfizer, Inc. Stock	S	No	8/18/11	\$1,001 - \$15,000
JT	Regions Financial, Inc. Stock	S	No	8/18/11	\$1,001 - \$15,000
JT	Midfirst Money Market	P	N/A	11/7/11	\$50,001 - \$100,000
	S Fund (Federal Thrift Savings Plan)	S	N/A	11/14/11	\$15,001 - \$50,000

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	T. Rowe Price Equity Income Fund	P	N/A	Quarterly	\$1,001 - \$15,000
JT	Vanguard High Yield Tax Exempt Fund	P	N/A	Monthly	\$15,001 - \$50,000
JT	Vanguard Inflation Protected Securities	P	N/A	9/8/11	\$1,001 - \$15,000
JT	Vanguard Total International Stock Fund	P	N/A	Quarterly	\$1,001 - \$15,000
JT	Vanguard Total Stock Market Index Fund	P	N/A	Quarterly	\$1,001 - \$15,000
JT	Fidelity Spartan 500 Index Fund	P	N/A	Quarterly	\$1,001 - \$15,000
JT	Barron Asset Fund	P	N/A	11/29/11	\$1,001 - \$15,000
SP	Fidelity Contrafund (IRA)	P	N/A	1/10/11	\$1,001 - \$15,000
	Fidelity Contrafund (IRA)	P	N/A	1/11/11	\$1,001 - \$15,000
JT	Fidelity VIP Individual Freedom 2020 Fund (Not Self Directed)	P	N/A	Monthly	\$1,001 - \$15,000

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Aspen Institute	Feb. 22-27	OKC - San Juan, Puerto Rico- DC	Y	Y	Y	None
The Aspen Institute	Sep. 24-30	DC - Barcelona, Spain - DC	Y	Y	Y	None

# SCHEDULE VIII - POSITIONS

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Fulbright Association (Uncompensated)
Partner	Strategic Communications (Unearned Income, dividends, and capital gains)
Partner	Cole, Hargrave, Snodgrass, and Associates (Unearned Income, dividends, capital gains, and management fees)
Board of Directors for the Congressional Program	Aspen Institute (Uncompensated)

**SCHEDULE IX - AGREEMENTS**

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
04-20-03	Cole, Hargrave, Snodgrass, and Associates	Quarterly management fee of \$5,000 from personal business (Approved by Committee on Standards)