

**UNITED STATES HOUSE OF REPRESENTATIVES**

Form A

**2013 FINANCIAL DISCLOSURE STATEMENT**

For Use by Members, Officers, and Employees

**HAND  
DELIVERED<sup>®</sup> or  
mailed to:**

**OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES  
LEGISLATIVE RESOURCE CENTER**  
**2014 MAY 14 PM 1:31**

**Name:** Michael K. Simpson      **Daytime Telephone:** 202 225 5531

(Office Use Only)

FILER STATUS	<input checked="" type="checkbox"/> Member of or Candidate for U.S. House of Representatives	State: <u>Idaho</u>	Officer or Employee
REPORT TYPE	<input checked="" type="checkbox"/> 2013 Annual (Due: May 15, 2014)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination Date: _____

**PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS**

- A. Did you, your spouse, or your dependent child:  
 a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period?  Yes  No   
 b. Make more than \$200 in unearned income from any reportable asset during the reporting period?
- B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?  Yes  No
- C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?  Yes  No
- D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?  Yes  No
- E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?  Yes  No
- F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?  Yes  No
- G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?  Yes  No
- H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?  Yes  No
- I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?  Yes  No

**ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"**

**IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

**IPO** – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.  Yes  No

**TRUSTS** – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?  Yes  No

**EXEMPTION** – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.  Yes  No

# SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Michael K. Simpson

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BLOCK A														
<b>Asset and/or Income Source</b>														
<p>Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period and (b) any other reportable asset or source of income that generated more than \$2,000 in “unearned” income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use only ticker symbols).</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest bearing accounts.</p> <p>For rental and other real property held for investment, provide a complete address or description, e.g., “rental property,” and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p><b>Exclude:</b> Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you have a privately-traded fund that is an Exempted Investment Fund, please check the “EIF” box.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.</p>														
BLOCK B														
<b>Value of Asset</b>														
<p>Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value please specify the method used.</p> <p>If an asset was sold during the reporting period and is included only because it generated income, the value should be “None.”</p> <p>*Column M is for assets held by your spouse or dependent child in which you have no interest.</p>														
BLOCK C														
<b>Type of Income</b>														
<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k) IRA, or 529 accounts), you may check the “Tax-Deferred” category of income by checking the appropriate box below.</p> <p><b>Dividends, Interest, and Capital Gains:</b> Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check “None” if no income was earned or if the asset generated no income during the reporting period.</p> <p>*Column XII is for assets held by your spouse or dependent child in which you have no interest.</p>														
BLOCK D														
<b>Amount of Income</b>														
<p>Check all columns that apply. For assets for which you checked “Tax-Deferred” in Block C, you may check the “None” column. For all other assets indicate the asset held purchases (P), sales (S), or exchanges (E) in the reporting period.</p> <p>If only a portion of an asset was sold, please indicate as follows: (S part). Leave this column blank if there are no transactions that exceeded \$1,000.</p> <p>*Column XII is for assets held by your spouse or dependent child in which you have no interest.</p>														
BLOCK E														
<b>Transaction</b>														
<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E) in the reporting period.</p> <p>P, S, S(part), or E (Spec)</p>														
SP DC JT Examples:	SP DC JT ABC Hedge Fund	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII
\$1-\$1,000														
<p>\$1,001-\$15,000</p> <p>\$15,001-\$50,000</p> <p>\$50,001-\$100,000</p> <p>\$100,001-\$250,000</p> <p>\$250,001-\$500,000</p> <p>\$500,001-\$1,000,000</p> <p>\$1,000,001-\$5,000,000</p> <p>\$5,000,001-\$25,000,000</p> <p>\$25,000,001-\$50,000,000</p> <p>Over \$50,000,000</p> <p>Spouse/DC Asset over \$1,000,000*</p>														
NONE														
<p>DIVIDENDS</p> <p>RENT</p> <p>INTEREST</p> <p>CAPITAL GAINS</p> <p>EXCEPTED/BLIND TRUST</p> <p>TAX-DEFERRED</p> <p>Other Type of Income (Specify: e.g. Partnership Income or Farm Income)</p>														
\$1-\$200														
<p>\$201-\$1,000</p> <p>\$1,001-\$2,500</p> <p>\$2,501-\$5,000</p> <p>\$5,001-\$15,000</p> <p>\$15,001-\$50,000</p> <p>\$50,001-\$100,000</p> <p>\$100,001-\$1,000,000</p> <p>\$1,000,001-\$5,000,000</p> <p>Over \$5,000,000</p> <p>Spouse/DC Asset with Income over \$1,000,000*</p>														
Royalties														
<p>Partnership Income</p>														
S														

**SCHEDULE A – ASSETS & “UNEARNED INCOME”**

Name: Michael K. Simpson | Page 2 of 2

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset												BLOCK C Type of Income												BLOCK D Amount of Income		BLOCK E Transaction					
SP D.C. #	ASSET NAME EF	A	B	C	D	E	F	G	H	I	J	K	L	M	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII							
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000
SP Westmark C.U. (SAV)	X																																
SP Westmark C.U. (IRAS)	X																																
SP AE&T Accuplan	X																																
SP Farm Ground	X																																
SP PHP (Building lots)	X																																
SP School Contract	X																																

Use additional sheets if more space is required.

## SCHEDULE B – TRANSACTIONS

Name: Michael K. Simpson

Page \_\_\_\_\_ of \_\_\_\_\_

**SCHEDULE C – EARNED INCOME**

Name: Michael K. Simpson

Page \_\_\_\_\_ of \_\_\_\_\_

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

**EXCLUDED:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act

**EXCLUDE:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

**INCOME LIMITS and PROHIBITED INCOME:** The 2013 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,955. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

Source (include date or receipt for honoraria)	Type	Amount
Kenne State	Approved Teaching Fee	\$16,000
State of Maryland	Legislative Pension	\$1,000
Civil War Roundtable (Oct. 2)	Spouse Speech	N/A
Onondaga County Board of Education	Spouse Salary	N/A
<b>State of Idaho</b>		
<b>Spouse Salary</b>	<b>N/A</b>	

**Use additional sheets if more space is required.**

## SCHEDULE D – LIABILITIES

Name: Michael K. Simpson

Page 1 of 1

**Report liabilities** of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. **Mark the highest amount owed during the reporting period.** **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child parent, or sibling of you or your spouse. Report a **revolving charge account** (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

**SCHEDULE E – POSITIONS**

**Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.**

**Use additional sheets if more space is required.**

## SCHEDULE F – AGREEMENTS

Name: Michael K. Simpson  
Page 1 of 1

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

## SCHEDULE G - GIFTS

**Report** the source (including name, city, and state) a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. **Gifts** with a value of \$140 or less need not be added towards the \$350 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example:	Mr. Joseph Smith, Arlington, VA	\$400
	Silver Platter (determination of personal friendship received from the Ethics Committee)	
<i>N/A</i>		

**Use additional sheets if more space is required.**

## **SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS**

Name: Michael K. Simpson | Page 1 of 1

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

**EXCLUBE:** Privately-sponsored travel approved by the Ethics Committee, if post-travel disclosure was filed with the Clerk; travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

<b>EXCLUDE:</b> Privately-sponsored travel approved by the Ethics Committee, if post-travel disclosure was filed with the Clerk; travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.					
Examples:					
Source	Dates)	City of Departure - Destination — City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Government of China (NECEA)	Aug 6-11	DeBeijing, China - DC	Y	Y	N
Habitat for Humanity (charity fundraising)	Mar. 3-4	DC-Boston-DC	Y	Y	Y
<i>N/A</i>					

**Use additional sheets if more space is required.**

**SCHEDULE I – PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

Name: Michael K. Simpson Page 1 of 1

List the source, activity (*i.e.*, speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

Use additional sheets if more space is required.

**FILER NOTES  
(Optional)**

Name: Michael K. Simpson

Page 1 of 1

NOTE NUMBER	NOTES
	<i>Simpson Properties 1180 Parkway Dr. Blackfoot, ID</i>
	<i>Farm Ground Blackfoot, ID. Parcel No. RPO310905</i>
	<i>Pheasant Hills Properties LLC 365 W 300 N Blackfoot, ID</i>
	<i>Blackfoot School District (Idaho)</i>
	<i>PERSI Public Employee Retirement System of Idaho</i>

**Use additional sheets if more space is required.**

Notice: See Reverse Side for Mail Transaction - Instructions And Other Important Information.

Effective	Transaction Description	Mo. Day Yr.
	SUFFIX: 00 PRIMARY SAVINGS ACCT	

01-01-14 DIVIDEND	BEGINNING BALANCE	ENDING BALANCE
	35,051.35	35,064.60

12-31-13 DIVIDEND	BEGINNING BALANCE	ENDING BALANCE
	160.26	160.27

01-01-14 DIVIDEND  
BEGINNING BALANCE  
ENDING BALANCE  
YTD DIVIDENDS: 13.25  
JOINT OWNERS: KATHERINE SIMPSON  
ANNUAL PERCENTAGE YIELD EARNED: 61.76  
FOR: 2013 YTD DIVIDENDS: 61.76  
0.1501%  
SUFFIX: 50 IRA SAVINGS ACCOUNT

12-31-13 DIVIDEND	BEGINNING BALANCE	ENDING BALANCE
	.01	.00

01-01-14 DIVIDEND  
BENEFICIARIES: KATHERINE SIMPSON  
YTD DIVIDENDS: .00  
FOR: 2013 YTD DIVIDENDS: 12  
ANNUAL PERCENTAGE YIELD EARNED: 0.0735%

## STATEMENT

CREDIT UNION

**WESTMARK**

From 12-06-13	Through 01-06-14	Page 1 of 5
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## MyPERSI Account Information

About You	
Name	Michael Simpson
Birth Date	September 8, 1950
Social Security Number	XXXX-XX-XXXX
Gender	Male
Mailing Address	5265 Shadow Creek Dr Idaho Falls, Idaho 83401-6352
Current Status	Inactive Vested

My Secure Directory (Your Private and Secure Directory For Transferring Confidential Files)	
Your Personal Secured Directory	<a href="#">My Secure Directory</a>

Your PERSI Retirement Plans											
<b>Base Plan</b>											
	<table border="1"><thead><tr><th colspan="2">Current Base Plan Balance</th></tr></thead><tbody><tr><td>Taxed</td><td>\$218.31</td></tr><tr><td>Tax Deferred</td><td>\$10,346.33</td></tr><tr><td>Interest</td><td>\$43,651.15</td></tr><tr><td><b>Current Base Plan Balance</b></td><td><b>\$54,215.79</b></td></tr></tbody></table>	Current Base Plan Balance		Taxed	\$218.31	Tax Deferred	\$10,346.33	Interest	\$43,651.15	<b>Current Base Plan Balance</b>	<b>\$54,215.79</b>
Current Base Plan Balance											
Taxed	\$218.31										
Tax Deferred	\$10,346.33										
Interest	\$43,651.15										
<b>Current Base Plan Balance</b>	<b>\$54,215.79</b>										
<b>Choice 401(k) Plan</b>											
Our records show you have not started saving in a choice plan account .											
<b>Beneficiary Information</b>											
Primary Beneficiary	<a href="#">Wife, Mary K Simpson</a>										

Annual Statements
<a href="#">2013 annual statement</a>
<a href="#">2012 annual statement</a>
<a href="#">2011 annual statement</a>
<a href="#">2010 annual statement</a>
<a href="#">2009 annual statement</a>
<a href="#">2008 annual statement</a>

Account Information	
Password	<a href="#">Change My Password</a>
Email Address (Login/User Name)	<a href="#">Change My Email Address</a>

[Purchase of Service Calculator](#) - Estimate for yourself how much a purchase may cost you.



Accuplan  
515 E. 4500 S., G-200  
Salt Lake City, UT 84107  
PH: 1-800-454-2649  
FX: 877-890-0929  
Email: info@accuplan.net

Account Custodied With American Estate & Trust

## Account Statement

For Period: 12-2013

Statement Date: 1/2/2014

Account Status Active

PAY YOUR FEES ONLINE BY LOGGING INTO YOUR ACCOUNT

Acct [REDACTED] Traditional  
Michael Simpson  
5265 Shadow Creek Drive  
Idaho Falls, Idaho 83401

	Current Interest Rate	APR	0.25%
Interest	Last Yr	Curr Yr	\$20.89
Fees From Account			\$72.00
Fees Paid Direct			\$433.00

### Account Summary

Asset ID	Type	Description	Status	Qty/Units	Current Value
5391	Gold Bar-10 OZ	10 Oz Gold Bar	Received	13.00	156,845.00
Cash					13,895.82
			Total Assets:		170,740.82



From 12-06-13	Through 01-06-14	Page 1 of 3
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## STATEMENT

|||||  
\*AUTO\*\*SCH 5-DIGIT 83401 1253 2 AV 0.360  
KATHERINE SIMPSON BR 2  
5265 SHADOW CREEK DR  
IDAHO FALLS ID 83401-6352

*Notice: See Reverse Side for Mail Transaction - Instructions And Other Important Information.*

Effective Mo. Day Yr.	Transaction Description	Amount	Balance
	<b>SUFFIX: 00 PRIMARY SAVINGS ACCT</b>		
01-01-14	<b>BEGINNING BALANCE</b>		<b>62.09</b>
	DIVIDEND	.12	
	<b>ENDING BALANCE</b>		<b>62.21</b>
	JOINT OWNERS: MICHAEL K SIMPSON		
	YTD DIVIDENDS: .12		
	FOR: 2013 YTD DIVIDENDS: 2.85		
	ANNUAL PERCENTAGE YIELD EARNED: 0.1043%		
	<b>SUFFIX: 50 IRA SAVINGS ACCOUNT</b>		
12-31-13	<b>BEGINNING BALANCE</b>		<b>84.00</b>
	DIVIDEND	.01	
	<b>ENDING BALANCE</b>		<b>84.01</b>
	BENEFICIARIES: MICHAEL K SIMPSON		
	YTD DIVIDENDS: .00		
	FOR: 2013 YTD DIVIDENDS: 3.22		
	ANNUAL PERCENTAGE YIELD EARNED: 0.1403%		



Accuplan  
515 E. 4500 S.; G-200  
Salt Lake City, UT 84107  
PH: 1-800-454-2649  
FX: 877-890-0929  
Email: info@accuplan.net

Account Custodied With American Estate & Trust

# Account Statement

For Period: 12-2013

Statement Date: 1/2/2014

Account Status Active

PAY YOUR FEES ONLINE BY LOGGING INTO YOUR ACCOUNT

Acct [REDACTED] Traditional  
Katherine Simpson  
5265 Shadow Creek Drive  
Idaho Falls, Idaho 83401

Current Interest Rate APR 0.25%

	Last Yr	Curr Yr
Interest	\$30.36	\$47.11
Fees From Account	\$274.00	\$416.00
Fees Paid Direct		

## Account Summary

Asset ID	Type	Description	Status	Qty/Units	Current Value
3940	Silver Bar-100 OZ	100 Oz Silver Bar	Received	70.00	135,590.00
3941	Gold Coins - US Eagle	US Gold Eagles	Received	7.00	8,614.48
3942	Gold Bar-10 OZ	10 Oz Gold Bar	Received	1.00	12,065.00
Cash					20,322.67
Total Assets:					176,592.15

CORRECTED (if checked)

## Farm Ground Rent

PAYER'S name, street address, city, state, ZIP code, and telephone no.		1 Rents  \$ 20793.00	OMB No. 1545-0115  <b>2013</b>	<b>Miscellaneous Income</b>	
<b>LEAVITT FARMS GENERAL PARTNERSHIP 220 NORTH 740 WEST BLACKFOOT ID 83221</b>		2 Royalties  \$	Form <b>1099-MISC</b>		
<b>Telephone: 208-684-4842</b>		3 Other income  \$	4 Federal income tax withheld  \$	<b>Copy B For Recipient</b>  <b>This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b>	
PAYER'S Federal identification number A15450115		5 Fishing boat proceeds  \$	6 Medical and health care payments  \$		
STATEMENT OF PAYMENT INFORMATION Name of payee, address, city, state, ZIP code		7 Nonemployee compensation  \$	8 Substitute payments in lieu of dividends or interest  \$		
<b>KATHY SIMPSON 5265 SHADOW CREEK DRIVE IDAHO FALLS ID 83402</b>		9 Payer made direct sales of \$5,000 or more of consumer products to a buyer (recipient) for resale ► <input type="checkbox"/>	10 Crop insurance proceeds  \$		
		11 Foreign tax paid  \$	12 Foreign country or U.S. possession  \$		
		13 Excess golden parachute payments  \$	14 Gross proceeds paid to an attorney  \$		
Account number (see instructions)		15a Section 409A deferrals  \$	15b Section 409A income  \$		16 State tax withheld  \$
					17 State/Payer's state no.  \$
					18 State income  \$

Form 1099-MISC

**(Keep for your records)**

**Department of the Treasury - Internal Revenue Service**

**Title Financial Specialty Services**  
**PO Box 339**  
**Blackfoot, ID 83221**  
**(208)785-2515**

## **2013 Annual Statement**

**2013 Payment / Interest Statement**  
FORM 1099 OMB No. 1545-0112 (Interest Income)

# School Contract

Federal Tax ID: 82-0444585

23/5267

MARY K. SIMPSON

**5265 SHADOW CREEK DR  
IDAHO FALLS ID 83401-6352**

**Payor's Name:** BLACKFOOT SCHOOL DIST # 55  
**Account No:** [REDACTED]

**Recipient's Federal ID No.**

**Box 1. RECIPIENT'S Interest Income\***

**Box 4. Federal Income Tax Withheld**

**Seller's interest income is calculated based on an ownership**

## **IMPORTANT TAX INFORMATION**

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Date	Amount	Principal	Interest	Late Fee Assessed	Late Fee Paid	Buyer Fees	Reserve Amount	Accrued Interest	Other	Balance
1/15/2013	\$1,394.83	\$1,353.11	\$37.72	\$0.00	\$0.00	\$4.00	\$0.00	\$0.00	\$0.00	\$2,819.12
2/13/2013	\$1,394.83	\$1,368.43	\$22.40	\$0.00	\$0.00	\$4.00	\$0.00	\$0.00	\$0.00	\$1,450.69
3/13/2013	\$1,394.83	\$1,379.70	\$11.13	\$0.00	\$0.00	\$4.00	\$0.00	\$0.00	\$0.00	\$70.99
4/15/2013	\$1,394.83	\$70.99	\$0.66	\$0.00	\$0.00	\$4.00	\$0.00	\$0.00	\$1,229.18	\$0.00
<b>Totals:</b>	<b>\$5,579.32</b>	<b>\$4,172.23</b>	<b>\$71.91</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$16.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$1,229.18</b>	<b>\$0.00</b>

**Next Print Due:** 5/13/2013

The interest and tax figures on this statement include only payments and disbursements made or reported to this company. Please review carefully and notify us of any irregularities by Feb. 20th, 2014 in order to report correct information to the IRS. We will NOT be responsible for any changes beyond that date.

*Simpson Properties  
(Sold Building)*

CORRECTED (if checked)

FILER'S name, street address, city or town, province or state, country, ZIP or foreign postal code, and telephone number

Baker & Harris  
266 W Bridge  
Blackfoot, ID 83221

FILER'S federal identification number or Social Security number

Michael Keith Simpson  
Street address (including apt. no.)

5265 Shadow Creek Drive

City or town, province or state, country, and ZIP or foreign postal code

Idaho Falls, ID 83401

Account or escrow number (see instructions)

Simpson

1 Date of closing

10/01/2013

OMB No. 1545-0997

2 Gross proceeds

\$ 213,500

2013

Form 1099-S

Proceeds From Real Estate Transactions

**Copy B**

**For Transferor**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this item is required to be reported and the IRS determines that it has not been reported.

3 Address or legal description

A portion of Lot 5, Section 33,  
Township 2 South, Range 35  
E.B.M., Bingham County,  
Blackfoot, Idaho, 83221

4 Transferor received or will receive property or services as part of the consideration (if checked) ►

5 Buyer's part of real estate tax

\$

Form 1099-S

(keep for your records)

[www.irs.gov/form1099s](http://www.irs.gov/form1099s)

Department of the Treasury - Internal Revenue Service

**IMPORTANT TAX INFORMATION ENCLOSED**

WESTMARK CREDIT UNION  
815 FIRST ST  
IDAHO FALLS, ID 83401

\*AUTO\*\*SCH 5-DIGIT 83401 1411 1 AV 0.360  
KATHERINE SIMPSON  
5265 SHADOW CREEK DR  
IDAHO FALLS ID 83401-6352

*taxable distribution*

<input type="checkbox"/> CORRECTED (If checked)			
PAYER'S name, street address, city or town, province or state, country, and ZIP or foreign postal code		1 Gross distribution  \$ 17,500.00 2a Taxable amount  \$ 17,500.00	OMB No. 1545-0119  2013 Form 1099-R
		2b Taxable amount not determined <input type="checkbox"/>	Total distribution <input type="checkbox"/>
PAYER'S federal identification number		3 Capital gain (included in box 2a)  \$ 0.00	4 Federal income tax withheld  \$ 0.00
RECIPIENT'S name  KATHERINE SIMPSON 5265 SHADOW CREEK DR IDAHO FALLS ID 83401-6352		5 Employee contributions /Designated Roth contributions or insurance premiums  \$ 0.00	6 Net unrealized appreciation in employer's securities  \$ 0.00
and ZIP or foreign postal code		7 Distribution code(s) 7 <input type="checkbox"/> IRA/SEP/SIMPLE <input checked="" type="checkbox"/>	8 Other  \$ 0.00 %
10 Amount allocable to IRR within 5 years  \$		9a Your percentage of total distribution  %	9b Total employee contributions  \$ 0.00
Account number  XXXXXX		15 Local tax withheld  \$ 0.00	16 Name of locality  \$
		12 State tax withheld  \$ 0.00	13 State/Payer's state no. 00000211 14 State distribution  \$
		17 Local distribution  \$	

Form 1099-R

www.irs.gov/form1099r

Department of the Treasury - Internal Revenue Service

Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.

**Copy B Report this income on your federal tax return. If this form shows federal income tax withheld in box 4, attach this copy to your return.**

This information is being furnished to the Internal Revenue Service.

# Lot Sale: Pheasant Hills Properties, LLC

Alliance Title & Escrow Corp. - 246 W Pacific St., Ste. A, PO Box 1007, Blackfoot, ID 83221 - (208) 785-4573 16045  
DATE: 8/9/2013 FILE: 202405 CHECK AMOUNT: \$29,991.31  
BUYER/SELLER: Shay Higley Construction Inc./Pheasant Hills Properties, LLC SETTLEMENT DATE: 8/9/2013  
PROPERTY ADDRESS: NNA Land T2S R35E SEC 22 T-16114, Blackfoot, ID 83221  
PAYEE: Pheasant Hills Properties, LLC

---

8/9/2013 \$29,991.31

$\frac{1}{2}$  interest Kathy Simpson  
 $\frac{1}{2}$  interest Richard Johnson

ENV# CEBBGCSBBBBNLKZ-BBBBBB  
EURO PACIFIC CAPITAL, INC.  
88 POST ROAD WEST  
3RD FLOOR  
WESTPORT CT 06880

01000053

NFS/FMTC IRA  
FBO MICHAEL K SIMPSON  
5265 SHADOW CREEK DR  
IDAHO FALLS ID 83401

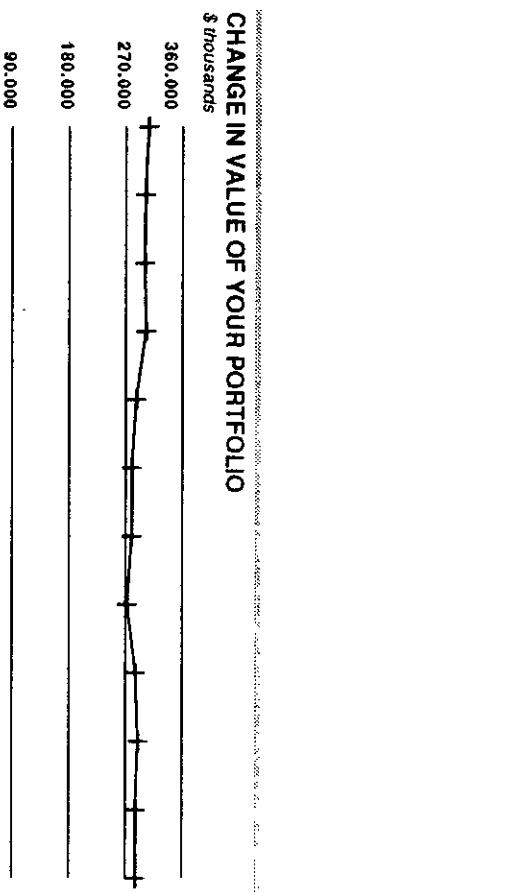
YEAR END SUMMARY 2013

**YOUR INVESTMENT PROFESSIONAL:**  
**HEMANT KATHURIA**  
RR#: E80

**For questions about your accounts:**  
Local: 800 727 7922  
National: 800 727 7922

**STATEMENT FOR THE PERIOD JANUARY 1, 2013 TO DECEMBER 31, 2013**

**TOTAL VALUE OF YOUR PORTFOLIO AS OF 12/31/13      \$287,092.80**



FOR YOUR INFORMATION

Euro Pacific Capital wishes you and your family a happy and healthy holiday season and a prosperous 2014.

This statement is not a replacement for your tax forms and may not reflect all adjustments necessary for tax reporting purposes. Refer to your IRS tax forms including your Form(s) 1099 and Form(s) 5498, which will be mailed to you under separate cover.

**Member**  
**FINRA, SIPC**

*Change In Value Of Your Portfolio information can be found in Miscellaneous Features at the end of this section.*

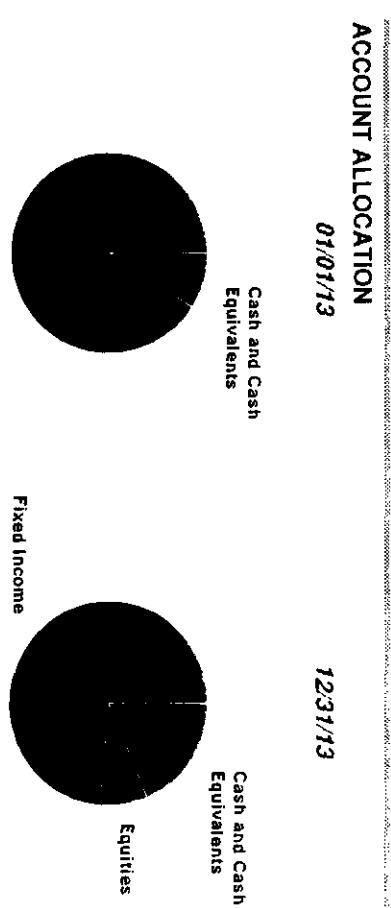
Euro Pacific Capital

YN\_CEBGCSBBBBNLKZ\_BBBBB 20140124

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMPSON - Premiere Select IRA  
Account Number: E10000000000000000000000000000000

## Account Overview



CHANGE IN ACCOUNT VALUE		ACCOUNT ALLOCATION	
BEGINNING VALUE (AS OF 01/01/13)		01/01/13	
Additions and Withdrawals	\$0.00	Cash and Cash Equivalents	\$306,944.47
Taxes, Fees and Expenses	(\$35.00)	Cash and Cash Equivalents	\$306,944.47
Change in Investment Value	(\$35,866.05)	Cash and Cash Equivalents	\$287,092.80
<b>ENDING VALUE (AS OF 12/31/13)</b>	<b>\$287,092.80</b>		
<i>Refer to <i>Miscellaneous Footnotes</i> for more information on Change in Investment Value.</i>			
RETIREMENT CONTRIBUTIONS/DISTRIBUTIONS		12/31/13	
CONTRIBUTIONS		12/31/13	
For Current Year 2013	\$0.00	Fixed Income	
For Prior Year 2012	\$0.00	Fixed Income	
DISTRIBUTIONS		12/31/13	
For Current Year 2013	\$0.00	Fixed Income	
Retirement account maintenance fee paid on 12/16/13.	\$0.00	Fixed Income	
<b>TOTAL</b>	<b>\$306,944.47</b>	<b>100.0</b>	<b>\$287,092.80</b>
<i>Account Allocation shows the percentage that each asset class represents of your total account value as of 12/31/13. Account Allocation for equities, fixed income, and other categories may include mutual funds and may be net of short positions. NFS has made assumptions concerning how certain mutual funds are allocated. Closed-end mutual funds and Exchange Traded Funds (ETF) listed on an exchange may be included in the equity allocation. This chart may not reflect your actual portfolio allocation. Consult your broker/dealer prior to making investment decisions.</i>			
<b>INCOME</b>			
Dividends	\$1,620.68	01/01/13 %	12/31/13 %
Interest	\$14,401.57	\$27,974.88 9.1	\$54,097.52 18.8
Capital Gain	\$27.13	\$0.00 0.0	\$221,60.40 7.8
<b>TOTAL INCOME</b>	<b>\$16,049.38</b>	<b>\$278,969.59 90.9</b>	<b>\$210,834.88 73.4</b>

**Account Allocation** shows the percentage that each asset class represents of your total investment value. Account Allocation for equities, fixed income and other categories may include mutual funds and may not reflect your positions. NFS has made assumptions concerning how certain mutual funds are allocated. Closed-end mutual funds and Exchange Traded Funds (ETFs) based on an exchange may be included in the equity allocation. The chart may not reflect your actual portfolio allocation. Consider your broker/dealer prior to making investment decisions.

All income is tax deferred until it is distributed from the account.

Euro Pacific Capital

YJL\_CEBBCSCHBBN1KZ\_BBBBB\_20140124

Account carried with National Financial Services LLC Member  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMPSON, Premiere Select IRA  
Account Number: ER000000000000000000000000000000



## Account Overview

*Continued*

**TAXES, FEES AND EXPENSES**  
Account Fees  
**TOTAL TAXES, FEES AND EXPENSES**  
**12/31/13**  
(\$35.00)  
**(\$35.00)**

### REALIZED GAIN (LOSS)

FROM TRADING

### Realized Gain (Lc)

### TOTAL GAIN (LOSS)

The account cost and associated gain (loss) is provided for informational purposes only and should not be used for tax-reporting purposes. Such information is provided to help you examine and track the change in market value of each position relative to your investment interests, security (not including requirements). Please refer to *Footnotes and Cost Information at the end of this statement* for more information.

#### **REALIZED GAIN (LOSS)** Estimated realized

**DISTRIBUTION OF NEW AND SHARES** is used to indicate the change in value of shares distributed compared to their cost and is provided for information purposes only.

## MESSAGES AND ALERTS

Euro Pacific Capital, Inc. may receive remuneration in the form of rebates for providing liquidity in certain orders routed to a market center e.g. registered securities exchanges, electronic communications network, etc. the rebates are credited against fees charged by the market centers to execute orders. Any additional information concerning order flow payment will be disclosed upon written request.



member  
FINRA, SIPC

Euro Pacific Capital

YN\_CEBBGCSBBNLKZ\_BBBBB 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

## **Statement for the Period January 1, 2013 to December 31, 2013**

## Holdings

The account cost and associated gain (loss) is provided for informational purposes only and should not be used for tax-reporting purposes. Such information is provided to help you estimate and track the change in market value of each position relative to your investment into this security (not including reinvestments). Please refer to Footnotes and Cost Information at the end of this statement for more information.

CASH AND CASH EQUIVALENTS - 18.84% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/13	12/31/13 Market Value	Estimated Annual Income

**PRIME FUND DAILY**  
7 DAY YIELD .01%  
Dividend Option Reinv.  
Capital Gain Option Reinv.

HOLDINGS > EQUITIES - 7

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/13	12/31/13 Market Value	Estimated Annual Income	Cost	Change	Net Change
<b>Equity</b>								
<b>CALLON PETE CO DEL PFD SER A 10% 10.00%</b> 12/31/2049 CONTINUOUSLY CALLABLE FROM 05/30/2018 CALLABLE ON 05/30/2018 @ 50.0000 Estimated Yield 10.65%	CPEPRA CASH	472	\$46.95	\$22,160.40	\$2,360.00	\$22,426.95	(\$565.55)	(\$565.55)
<b>Total Equities</b>								
			<b>\$22,160.40</b>	<b>\$2,360.00</b>	<b>\$22,426.95</b>			<b>[\$266.55]</b>

Equity	Description
--------	-------------

Account Type

Quan

100

MAIER v.

AHUA  
INCE

40

- - - -

The logo for Euro Pacific Capital Inc. consists of four solid black circles arranged in a vertical column at the top. Below them, a horizontal line extends across the page. To the left of this line, the word "Capital" is written vertically in a bold, italicized black font. To the right of the line, the words "Euro Pacific" are written in a large, bold, black sans-serif font.

Member  
FINRA, SIPC

Euro Pacific Capital

VN\_CEBGCCBBNIKZ\_BBBBB 201  
VNR  
"AMAT" 1995 00

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**



**Euro Pacific**

member  
FINRA, SIPC

HOLDINGS > FIXED INCOME - 69.56% of Total Account Value

For an explanation of fixed income pricing, please see the last page. Redemption schedules; bond ratings; and other information are provided where available. If information does not appear regarding a particular investment, it is not available. "Ratings information from Standard & Poor's ("S&P") may not be reproduced. S&P credit ratings are statements of opinion and are not statements of fact or recommendations to purchase, hold, or sell securities, nor do they address the suitability of securities for investment purposes, and should not be relied on as investment advice. S&P does not guarantee the accuracy, completeness, timeliness or availability of any information, including ratings, and is not responsible for errors or omissions (negligent or otherwise). S&P gives no express or implied warranties, including but not limited to any warranties of merchantability or fitness for a particular purpose or use. S&P shall not be liable for any direct, indirect, incidental, exemplary, compensatory, punitive, special or consequential damages, costs, expenses, legal fees, or losses (including lost income or profits and opportunity costs) in connection with any use of ratings."

**Accrued Interest** - Represents interest accumulated since the last coupon date on certain fixed income securities which may not yet have been paid by the issuer or received by NRS. There is no guarantee that the accrued interest will be paid by the issuer.

	Symbol/Cusip Account Type	Quantity	Estimated Price on 12/31/13	Estimated Market Value	Estimated Annual Income	Cost	Change
<b>Corporate Bonds</b>							

Computer Monit.

*B3BXVY9	AUSTRALIA (COMMUNWEALTH OF 6.25000% 06/15/2014 BOND ISIN #AU3TB0000028 SEDOL CPN PMT SEMI-ANNUAL	CASH	\$91,01181	\$41,885.43	\$19,555.43	\$7,130.50
MOODY'S Aaa	ON DEC 15, JUN 15					
Next InterestPayable: 06/15/14						
BANK NEDERLANDSE GEMEENTEN MTN REG S	N65210DA6					
3.50000% 09/05/2014 ISIN #XS0492221147	CASH					
SECOL #B617239						
MOODY'S Aaa /S&P AA+						
CPN PMT ANNUAL						
Next InterestPayable: 09/05/14						
EUROPEAN INVESTMENT BANK MTN 8.50000%	L0594VP53					
11/04/2014 ISIN #XS0203909485	CASH					
MOODY'S Aaa /S&P AAA						
CPN PMT ANNUAL						
Next InterestPayable: 11/04/14						
CANADA GOVT DEB 2.00000% 12/01/2014 ISIN	135087YU2					
*CA135087YU24 SEC01 #B3XQ1210	CASH					
MOODY'S Aaa /S&P AAA						
CPN PMT SEMI-ANNUAL						
ON JUN 01, DEC 01						
Next InterestPayable: 06/01/14						

Euro Pacific Capital

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMPSON - Premiere Select IRA  
 Account Number: #B1W6W39  
 SEDOL #B1W6W39  
 MOODY'S A1 /S&P AAA+  
 CPN PMT SEMI-ANNUAL  
 ON JUN 10, DEC 10  
 Next interest Payable: 06/10/14  
 Adjusted Cost Basis  
 Unrealized Market Discount Income

NORMAWORKINGDOM OF BOND 5.00000%  
 05/15/2015 ISIN #XS00010226962 SEDOL  
 #B01C190  
 S&P AAA  
 CPN PMT ANNUAL  
 Next interest Payable: 05/15/14



**HOLDINGS > FIXED INCOME *continued***

Description	Symbol/Cusip Account Type	Quantity	Estimated Price on 12/31/13	Estimated Market Value	Estimated Annual Income	Cost	Net Change
<b>GENERAL ELECTRIC CAPITAL CORP MTN</b>	U36964F0 CASH	40,000	\$94,93892	\$33,975.57	\$3,050.00	\$33,153.39	
7.62500% 12/10/2014 ISIN #XS0297663477 SEDL #B1W6W39 MOODY'S A1 /S&P AA+							
CPN PMT SEMI-ANNUAL ON JUN 10, DEC 10							
Next interest Payable: 06/10/14 Adjusted Cost Basis Unrealized Market Discount Income		\$1,846.28 Q					
<b>KREDITANSTALT FUR WIEDERAUFBAU MTN</b>	ZZG62C094 CASH	44,000	\$45,58505	\$20,057.42	\$28,827.34	\$23,453.76	\$2,737.44
8.00000% 10/08/2015 ISIN #XS0547493410 SEDL #B151BP89 MOODY'S Aaa /S&P AAA CPN PMT ANNUAL Next interest Payable: 10/08/14							
<b>Total Corporate Bonds</b>		<b>\$688,000</b>		<b>\$199,710.19</b>	<b>\$3,050.00</b>	<b>\$235,680.58</b>	<b>(\$35,910.39)</b>
<b>Total Fixed Income</b>		<b>\$688,000</b>		<b>\$199,710.19</b>	<b>\$3,050.00</b>	<b>\$235,680.58</b>	<b>(\$35,910.39)</b>

**HOLDINGS > MUTUAL FUNDS - 3.37% of Total Account Value**

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/13	Market Value	Estimated Annual Income	Cost	Net Change
<b>Fixed Income</b>							

Euro Pacific Capital

VN CEBBK;CSCEBBBN1KZ BBBIB3 20140124

Account carried with National Financial Services LLC, Member  
 NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMPSON - Premiere Select IRA  
Account Number: EP140124



**HOLDINGS > MUTUAL FUNDS *(continued)***

Description	Symbol/Cusip	Quantity	Price on 12/31/13	Market Value	Estimated Annual Income	Cost	Net Change
<b>EUROPACIFIC INTERNATIONAL BOND</b>	EPIBX	1,150,433	\$9.67	\$11,124.69	\$237.91	\$11,785.95	\$661.26
Dividend Option Reinvest	CASH						
Capital Gain Option Reinvest							
<b>Total Mutual Funds</b>			<b>\$11,124.69</b>	<b>\$237.91</b>	<b>\$11,785.95</b>		<b>(\$661.26)</b>
<b>Total Securities</b>			<b>\$232,995.28</b>	<b>\$5,647.91</b>	<b>\$269,893.48</b>		<b>(\$36,898.20)</b>
<b>TOTAL PORTFOLIO VALUE</b>			<b>\$287,092.80</b>	<b>\$5,647.91</b>	<b>\$269,893.48</b>		<b>(\$36,898.20)</b>

**Activity**

The account cost and associated gain (loss) is provided for informational purposes only and should not be used for tax-reporting purposes. Such information is provided to help you estimate and track the change in market value of each position relative to your investment into this security (not including reinvestments). Please refer to Footnotes and Cost Information at the end of this statement for more information.

**TRADING**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain (Loss)
01/30/13	CASH	REINVESTMENT	EUROPACIFIC INTERNATIONAL BOND REINVEST @ \$10.400	1,081	(\$11,24)		
02/27/13	CASH	REINVESTMENT	EUROPACIFIC INTERNATIONAL BOND REINVEST @ \$10.300	0.63	(\$8.55)		
03/27/13	CASH	REINVESTMENT	EUROPACIFIC INTERNATIONAL BOND REINVEST @ \$10.250	2,438	(\$24.99)		

**Euro Pacific Capital**

VN\_CEBBCiCSBBBBN1KZ\_BBBBBB 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC



**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMPSON  
Account Number: EPX-XXXXXX-XXXXXX-XXXXXX



**Euro Pacific**  
member  
FINRA, SIPC

*TRADING* *(continued)*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain (Loss)
12/19/13	CASH	REINVESTMENT	EURO PACIFIC INTERNATIONAL BOND REINVEST @ \$9.590	3.127	(\$25.99)	\$29.99	
			<b>Total Securities Purchased</b>		<b>(\$22,688.66)</b>		

Redemptions
09/16/13 CASH REDEEMED INTL FIN CORP SER GMTN 09 25000% 09/16/2013 ISIN #AS0451046725 SEDOL #B41LG07 REDEMPTION PAYOUT #REFUR R0041084900000

Total Redemptions
<b>\$32,796.92</b>

**ACTIVITY > CORE FUND ACTIVITY**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/31/13	CASH	REINVESTMENT	PRIME FUND DAILY MONEY CLASS REINVEST @ \$1.000	0.24	(\$0.24)
02/28/13	CASH	REINVESTMENT	PRIME FUND DAILY MONEY CLASS REINVEST @ \$1.000	0.21	(\$0.21)
03/28/13	CASH	REINVESTMENT	PRIME FUND DAILY MONEY CLASS REINVEST @ \$1.000	0.24	(\$0.24)
04/30/13	CASH	REINVESTMENT	PRIME FUND DAILY MONEY CLASS REINVEST @ \$1.000	0.23	(\$0.23)
05/16/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	1.017.85	(\$1,017.85)
05/30/13	CASH	YOU SOLD	PRIME FUND DAILY MONEY CLASS @ 1	(22,426.95)	\$22,426.95
05/31/13	CASH	REINVESTMENT	PRIME FUND DAILY MONEY CLASS REINVEST @ \$1.000	0.24	(\$0.24)

**Euro Pacific Capital**

VN\_CEBGCSCBBNLKZ\_BBBBB 20140124

Account carried with National Financial Services LLC, Member:

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMPSON  
Account Number: EF  
INVESTMENT FUND  
PRIME FUND DAILY MONEY CLASS  
HOLDING STATEMENT



**Euro Pacific**  
Capital Inc. FINRA, SIPC member

**ACTIVITY > CORE FUND ACTIVITY *continued***

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/29/13	CASH	REINVESTMENT	PRIME FUND DAILY MONEY CLASS REINVEST @ \$1.000		
12/02/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	307	(S307.00)
12/10/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	1,254.94	(\$1,254.94)
12/16/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	1,244.02	(\$1,244.02)
12/31/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	590	(\$590.00)
12/31/13	CASH	REINVESTMENT	PRIME FUND DAILY MONEY CLASS REINVEST @ \$1.000	0.45	(S0.45)
<b>TOTAL CORE FUND ACTIVITY</b>					<b>(\$26,122.64)</b>

**ACTIVITY > INCOME**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
<b>Dividends</b>					
01/30/13	CASH	DIVIDEND RECEIVED	EUROPACIFIC INTERNATIONAL BOND		
01/31/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS DIVIDEND RECEIVED	\$11.24	\$0.24
02/27/13	CASH	DIVIDEND RECEIVED	EUROPACIFIC INTERNATIONAL BOND		\$8.55
02/28/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS DIVIDEND RECEIVED	\$0.21	

**Euro Pacific Capital**

VN\_CEBBGCSCBBBN1KZ\_BBBBB 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMPSON  
Account Number: E  
XXXXXX-XXXX-XXXX-XXXX-XXXX  
XXXXXX-XXXX-XXXX-XXXX-XXXX  
XXXXXX-XXXX-XXXX-XXXX-XXXX  
XXXXXX-XXXX-XXXX-XXXX-XXXX

SHOKER-HOXES-PRAELESHOK



**ACTIVITY > INCOME *continued***

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
03/27/13	CASH	DIVIDEND RECEIVED	EUROPACIFIC INTERNATIONAL BOND		\$24.99
03/28/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS		\$5.24
04/29/13	CASH	DIVIDEND RECEIVED	EUROPACIFIC INTERNATIONAL BOND		\$25.95
04/30/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS		\$0.23
05/30/13	CASH	DIVIDEND RECEIVED	EUROPACIFIC INTERNATIONAL BOND		\$22.61
05/31/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS		\$0.24
06/27/13	CASH	DIVIDEND RECEIVED	EUROPACIFIC INTERNATIONAL BOND		\$21.64
06/28/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS		\$0.07
07/01/13	CASH	DIVIDEND RECEIVED	CALON PETE CO DEL PFD SER A 10% 1000% 12/31/2049		\$203.22
07/30/13	CASH	DIVIDEND RECEIVED	EUROPACIFIC INTERNATIONAL BOND		\$25.54
07/31/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS		\$0.08
08/29/13	CASH	DIVIDEND RECEIVED	EUROPACIFIC INTERNATIONAL BOND		\$32.66
08/30/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS		\$0.08

**Euro Pacific Capital**

VN CEBB/CSCBBBNKZ BBBB 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMPSON  
Account Number: EBNKPAWVXHOKRKY  
~~EBNKPAWVXHOKRKY~~  
~~EBNKPAWVXHOKRKY~~  
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**ACTIVITY > INCOME *continued***

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
09/27/13	CASH	DIVIDEND RECEIVED	EUROPACIFIC INTERNATIONAL BOND		\$11.41
09/30/13	CASH	DIVIDEND RECEIVED	CALLOON PETE CO DEL PFD SER A 10%		\$590.00
09/30/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS		\$0.22
10/31/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS		\$0.41
11/01/13	CASH	DIVIDEND RECEIVED	EUROPACIFIC INTERNATIONAL BOND		\$11.31
11/26/13	CASH	DIVIDEND RECEIVED	EUROPACIFIC INTERNATIONAL BOND		\$8.69
11/29/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS		\$0.41
12/19/13	CASH	DIVIDEND RECEIVED	EUROPACIFIC INTERNATIONAL BOND		\$29.99
12/31/13	CASH	DIVIDEND RECEIVED	CALLOON PETE CO DEL PFD SER A 10%		\$590.00
12/31/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS		\$0.45
<b>Total Dividends</b>					<b>\$1,620.68</b>
<b>Interest</b>					
05/15/13	CASH	INTEREST	NORWAY KINGDOM OF BOND 5.00000%		\$1,017.85
			05/15/2015 ISIN #NN0010226962		
			SEDOL #B01C190		

**Euro Pacific Capital**

VN\_CEBBGCSCBBBN1KZ\_BBBBB 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

## **Statement for the Period January 1, 2013 to December 31, 2013**

ISKVÄDE VÄLKÖVÄDE KÖ  
HÖKEDÖ VÄLKÖVÄDE KÖ  
ISKVÄDE VÄLKÖVÄDE KÖ  
HÖKEDÖ VÄLKÖVÄDE KÖ

The logo for Euro Pacific Capital Inc. consists of four solid black circles arranged in a vertical column at the top. Below them, the company name "Euro Pacific Capital Inc." is written in a bold, black, sans-serif font. The word "Inc." is in a smaller size and italicized. A thin horizontal line extends from the right side of the "P" in "Pacific" across to the left side of the "C" in "Capital".

ACTIVITY > INCOME *continued*

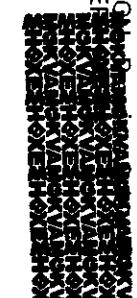
Settlement Date	Account Type	Transaction	Description	Quantity	Amount
06/01/13	CASH	INTEREST	CANADA GOVT DEB 2.00000% 12/01/2014 ISIN #CA135087YU24 SEDOL #B3XQ210		\$319.32
06/10/13	CASH	INTEREST	GENERAL ELECTRIC CAPITAL CORP MTN 7.62500% 12/10/2014 ISIN #XS0297663477 SEDOL #B1W6W39		\$1,192.67
06/17/13	CASH	INTEREST	AUSTRALIA COMMONWEALTH OF BANK NEDERLANDSEGEMEENTEN MTN REG S 3.50000% 09/05/2014 ISIN #XS049221147 SEDOL #B617Z39		\$1,359.36
09/05/13	CASH	INTEREST	INTL FIN CORP SER GM MTN 09.25000% 09/16/2013 ISIN #XS0451046725 SEDOL #B41LG07		\$1,017.69
10/08/13	CASH	INTEREST	KREDITANSTALT FUR WIEDERAUFBAU MTN 8.00000% 10/08/2015 ISIN #XS0547493410 SEDOL #B51BP89		\$3,033.75
11/04/13	CASH	INTEREST	EUROPEAN INVESTMENT BANK MTN 8.50000% 11/04/2014 ISIN #XS0203909485		\$1,751.33
12/01/13	CASH	INTEREST	CANADA GOVT DEB 2.00000% 12/01/2014 ISIN #CA135087YU24 SEDOL #B3XQ210		\$1,868.64
12/10/13	CASH	INTEREST	GENERAL ELECTRIC CAPITAL CORP MTN 7.62500% 12/10/2014 ISIN #XS0297663477 SEDOL #B1W6W39		\$1,254.94

Euro Pacific Capital

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL SIMPSOM  
Account Number: ER  
Euro Pacific Capital Inc.  
1000 K Street NW, Suite 1000  
Washington, DC 20004-1102  
Telephone: 202-293-1000



**Euro Pacific**  
Capital Inc. member  
FINRA, SIPC

**ACTIVITY > INCOME *continued***

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/16/13	CASH	INTEREST	AUSTRALIA COMMONWEALTH OF 6.25000% 06/15/2014 BOND ISIN #AU3TB0000029 SEDOL #83BVVY9	\$1,279.02	
					<b>\$14,401.57</b>

**Total Interest**

**Capital Gain**

12/19/13	CASH	LONG CAP GAIN	EUROPACIFIC INTERNATIONAL BOND	\$1814	
		SHORT CAP GAIN	EUROPACIFIC INTERNATIONAL BOND	\$10.99	
				<b>\$27.13</b>	

**Total Capital Gain**

**TOTAL INCOME**

**\$16,049.38**

**ACTIVITY > TAXES, FEES AND EXPENSES**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Account Fees					
12/16/13	CASH	RETIREMENT FEE CHGD	IRA - FEE CHARGED	(\$35.00)	<b>(\$35.00)</b>

**Total Account Fees**

**(\$35.00)**

**TOTAL TAXES, FEES AND EXPENSES**

**(\$35.00)**

**Euro Pacific Capital**

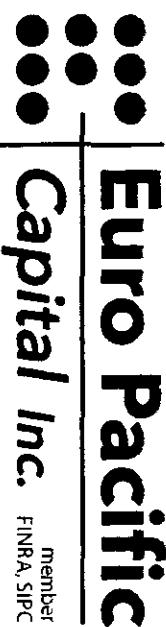
YN \_CEBBGCCSCBBBN1KZ\_BBBBBB 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SIMPSON  
Account Number: EP  
INTL FINANCIAL CORP SER GMTN 09.25000%  
09/16/2013 ISIN #US051046725  
SEDOL #B41LG07

SHOKVADHOKERHOLDERS  
SHOKVADHOKERHOLDERS



## Realized Gain (Loss) Lot Detail - Year Ending 2013

The account cost and associated gain (loss) information is provided for informational purposes only and should not be used for tax-reporting purposes. Such information is provided to help you estimate and track the change in market value of each position relative to your investments into this security (not including reinvestments). Refer to the Footnotes and Cost Information at the end of this statement for more information.

### FIXED INCOME

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost	Gain (Loss)
INTL FINANCIAL CORP SER GMTN 09.25000% 09/16/2013 ISIN #US051046725 SEDOL #B41LG07	09/16/13	03/22/11	U45942X8	Unavailable	75,000	\$32,796.92	\$46,009.02	(\$13,212.10)
Total Fixed Income					75,000	\$32,796.92	\$46,009.02	(\$13,212.10)

## Unrealized Gain (Loss) Lot Detail - Year Ending 2013

The account cost and associated gain (loss) information is provided for informational purposes only and should not be used for tax-reporting purposes. Such information is provided to help you estimate and track the change in market value of each position relative to your investments into this security (not including reinvestments). Refer to the Footnotes and Cost Information at the end of this statement for more information.

### EQUITIES

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Net Change
CALLONPETE CO DEL PFD SER A 10% 10.00% 12/31/2049	CASH	05/22/13	CPPERA	\$46.95	472	\$22,160.40	\$22,426.95	(\$266.55)
Total Equities					472	\$22,160.40	\$22,426.95	(\$266.55)

Euro Pacific Capital

VN CEBGCCSDBBNIKZ BBBB 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

## **Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMPSON, Premium Member  
Account Number: E-12345678901234567890



The logo for Euro Pacific Capital Inc. consists of four solid black circles arranged in a vertical column at the top. Below them, the company name "Euro Pacific Capital Inc." is written in a large, bold, black serif font. The word "Inc." is smaller and positioned to the right of "Capital". A thin horizontal line extends from the right side of the "C" in "Capital" down to the bottom of the page.

## UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > FIXED INCOME

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Nat Change
EUROPEAN INVESTMENT BANK MTN 8.50000% 11/04/2014 ISIN #XS0203909485	CASH	03/22/11	L0594VFS3	\$9,744.66	225,000	\$21,925.49	\$31,049.48	\$12,124.00
BANK NEDERLANDSE GEMEENTEN MTN REG S 3.50000% 09/05/2014 ISIN #XS0492221147 SEDOL #5617239	CASH	03/22/11	N65210DA6	\$16.56708	180,000	\$29,820.74	\$33,099.79	(\$3,279.05)
AUSTRALIA COMMONWEALTH OF 6.25000% 06/15/2014 BOND ISIN #AU3TB000028 SEDOL #B8BXVY9	CASH	03/22/11	00819ACX6	\$91,011.81	46,000	\$41,865.43	\$49,055.99	(\$7,190.56)
NORWAY KINGDOM OF BOND 5.00000% 05/15/2015 ISIN #ND0010226962 SEDOL #B01C190	CASH	03/22/11	R63339FS0	\$17.26777	120,000	\$20,721.32	\$23,458.76	(\$2,737.44)
GENERAL ELECTRIC CAPITAL CORP MTN 7.62500% 12/10/2014 ISIN #XS029663477 SEDOL #BIW6W39	CASH	03/22/11	U36964FLO	\$84,93893	40,000	\$33,975.57	\$33,153.39	
Adjusted Cost Basis								
Unrealized Market Discount Income	\$1,846.28	0						
KREDITANSTALT FUR WIEDERAUFBAU MTN 8.00000% 10/08/2015 ISIN #XS0547493410 SEDOL #B51BP89	CASH	03/24/11	ZZC6ZCQ94	\$45,58506	44,000	\$20,057.43	\$28,827.84	\$8,770.41
CANADA GOVT DEB 2.00000% 12/01/2014 ISIN #CA135087YU24 SEDOL #B3X0D10	CASH	03/22/11	135087YU2	\$94,98259	33,000	\$31,342.25	\$34,035.33	(\$2,691.08)
<b>Total Fixed Income</b>					<b>\$688,000</b>	<b>\$199,710.24</b>	<b>\$235,680.58</b>	<b>\$35,970.34</b>

**UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > MUTUAL FUNDS**

Description	Type	Acquired	Cusip	12/31/13	Quantity	Value	Cost	Change
EUROPAACIFIC INTERNATIONAL BOND	CASH	03/24/11	EPBX	\$9.67	600.185	\$5,803.79	\$6,500.00	(\$696.21)

Account carried with National Financial Services LLC, Member FDIC.

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMPSON  
Account Number: EP...  
Euro Pacific Capital Inc.



**UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > MUTUAL FUNDS *continued***

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Net Change
EUROPACIFIC INTERNATIONAL BOND	CASH	03/31/11	EPBX	\$9.67	0.79	\$7.64	\$0.00	\$7.64
EUROPACIFIC INTERNATIONAL BOND	CASH	04/29/11	EPBX	\$9.67	1.215	\$11.75	\$0.00	\$11.75
EUROPACIFIC INTERNATIONAL BOND	CASH	05/31/11	EPBX	\$9.67	2.231	\$21.57	\$0.00	\$21.57
EUROPACIFIC INTERNATIONAL BOND	CASH	06/30/11	EPBX	\$9.67	2.796	\$27.04	\$0.00	\$27.04
EUROPACIFIC INTERNATIONAL BOND	CASH	07/29/11	EPBX	\$9.67	1.13	\$10.93	\$0.00	\$10.93
EUROPACIFIC INTERNATIONAL BOND	CASH	08/22/11	EPBX	\$9.67	472.605	\$4,570.09	\$5,205.95	(\$715.86)
EUROPACIFIC INTERNATIONAL BOND	CASH	08/31/11	EPBX	\$9.67	2.343	\$22.66	\$0.00	\$22.66
EUROPACIFIC INTERNATIONAL BOND	CASH	09/30/11	EPBX	\$9.67	1.328	\$12.84	\$0.00	\$12.84
EUROPACIFIC INTERNATIONAL BOND	CASH	11/01/11	EPBX	\$9.67	0.703	\$6.80	\$0.00	\$6.80
EUROPACIFIC INTERNATIONAL BOND	CASH	11/30/11	EPBX	\$9.67	2.468	\$23.87	\$0.00	\$23.87
EUROPACIFIC INTERNATIONAL BOND	CASH	12/20/11	EPBX	\$9.67	2.359	\$22.81	\$0.00	\$22.81
EUROPACIFIC INTERNATIONAL BOND	CASH	01/30/12	EPBX	\$9.67	1.214	\$11.74	\$0.00	\$11.74
EUROPACIFIC INTERNATIONAL BOND	CASH	02/28/12	EPBX	\$9.67	3.118	\$30.15	\$0.00	\$30.15
EUROPACIFIC INTERNATIONAL BOND	CASH	03/29/12	EPBX	\$9.67	4.567	\$44.16	\$0.00	\$44.16
EUROPACIFIC INTERNATIONAL BOND	CASH	04/27/12	EPBX	\$9.67	2.989	\$28.90	\$0.00	\$28.90
EUROPACIFIC INTERNATIONAL BOND	CASH	05/30/12	EPBX	\$9.67	1.142	\$11.04	\$0.00	\$11.04
EUROPACIFIC INTERNATIONAL BOND	CASH	06/28/12	EPBX	\$9.67	1.12	\$10.83	\$0.00	\$10.83
EUROPACIFIC INTERNATIONAL BOND	CASH	07/30/12	EPBX	\$9.67	1.094	\$10.58	\$0.00	\$10.58
EUROPACIFIC INTERNATIONAL BOND	CASH	08/30/12	EPBX	\$9.67	1.084	\$10.48	\$0.00	\$10.48
EUROPACIFIC INTERNATIONAL BOND	CASH	11/01/12	EPBX	\$9.67	5.892	\$56.98	\$0.00	\$56.98
EUROPACIFIC INTERNATIONAL BOND	CASH	11/29/12	EPBX	\$9.67	2.428	\$23.48	\$0.00	\$23.48
EUROPACIFIC INTERNATIONAL BOND	CASH	12/20/12	EPBX	\$9.67	0.645	\$6.24	\$0.00	\$6.24
EUROPACIFIC INTERNATIONAL BOND	CASH	12/20/12	EPBX	\$9.67	8.379	\$81.02	\$0.00	\$81.02
EUROPACIFIC INTERNATIONAL BOND	CASH	01/30/13	EPBX	\$9.67	1.081	\$10.45	\$0.00	\$10.45
EUROPACIFIC INTERNATIONAL BOND	CASH	02/21/13	EPBX	\$9.67	0.83	\$8.03	\$0.00	\$8.03
EUROPACIFIC INTERNATIONAL BOND	CASH	03/27/13	EPBX	\$9.67	2.438	\$23.58	\$0.00	\$23.58
EUROPACIFIC INTERNATIONAL BOND	CASH	04/29/13	EPBX	\$9.67	2.495	\$24.13	\$0.00	\$24.13
EUROPACIFIC INTERNATIONAL BOND	CASH	05/30/13	EPBX	\$9.67	2.259	\$21.84	\$0.00	\$21.84
EUROPACIFIC INTERNATIONAL BOND	CASH	06/27/13	EPBX	\$9.67	2.252	\$21.78	\$0.00	\$21.78

**Euro Pacific Capital**

Account carried with National Financial Services LLC. Member  
NYSE, SIPC

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Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SIMPSON  
Account Number: EPBXXXXX  
Euro Pacific Capital Inc.  
Brokerage Division



**UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > MUTUAL FUNDS *continued***

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Net Change
EUROPACIFIC INTERNATIONAL BOND	CASH	07/30/13	EPIBX	\$9.67	2,638	\$25,51	\$0.00	\$25,51
EUROPACIFIC INTERNATIONAL BOND	CASH	08/29/13	EPIBX	\$9.67	3,452	\$33,38	\$0.00	\$33,38
EUROPACIFIC INTERNATIONAL BOND	CASH	09/27/13	EPIBX	\$9.67	1,164	\$11.26	\$0.00	\$11.26
EUROPACIFIC INTERNATIONAL BOND	CASH	11/01/13	EPIBX	\$9.67	1,149	\$11.11	\$0.00	\$11.11
EUROPACIFIC INTERNATIONAL BOND	CASH	11/26/13	EPIBX	\$9.67	0,894	\$8.64	\$0.00	\$8.64
EUROPACIFIC INTERNATIONAL BOND	CASH	12/19/13	EPIBX	\$9.67	1,146	\$11.08	\$0.00	\$11.08
EUROPACIFIC INTERNATIONAL BOND	CASH	12/19/13	EPIBX	\$9.67	1,683	\$16.27	\$0.00	\$16.27
EUROPACIFIC INTERNATIONAL BOND	CASH	12/9/13	EPIBX	\$9.67	3,127	\$30.24	\$0.00	\$30.24
Position Total			EPIBX	1,150.433	\$11,124.69	\$11,785.95	(\$661.26)	

## Account Profile

**ALERT:** The information provided is a summary of your Account Profile based on information provided by your broker/dealer. This information verifies your account features as of the date the statement was prepared. If you have any questions, please contact your representative.

**Account Profile > Proceeds**

Proceeds from the sale(s) in your account will be invested in your core money market

**Account Profile > Dividends**

All or some of the dividends from your account are reinvested.

Note: If you have position-level dividend elections, please review those with your representative.

**Account Profile > Trade Authorization**

KATHERINE SIMPSON has full trading authorization on your account.

Euro Pacific Capital

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Account carried with National Financial Services LLC Member  
NYSE, SIPC

## **Statement for the Period January 1, 2013 to December 31, 2013**



## Account Profile > Retirement Information

If you do not have an accepted beneficiary designated on your account, your beneficiaries will be determined pursuant to the default terms of your Custodial Agreement, Disclosure Statement or Trust Agreement, as applicable.

Please be advised, when you reach age 70 1/2, the Internal Revenue Service may require you to begin taking Required Minimum Distributions (RMD) from your retirement account(s) each year.

Please consult your representative or tax advisor with questions regarding the calculation of the RMD amount and the deadlines associated with taking the RMD.

You have the following as designated beneficiary(s) for the above-named account:

Name Allocation Relationship

KATHERINE SIMPSON  
100.00%  
Spouse Primary

Footnotes and Cost Information

NFS makes no warranties with respect to, and specifically disclaims any liability arising out of your use of, or any position taken in reliance upon, NFS-provided cost and net change information. NFS determines gain (loss) using the first-in, first-out (FIFO) method on all transactions in a retirement account unless an alternative disposal method has been selected by you, or your broker dealer has elected to use another disposal method in the event you do not elect a method. For retirement accounts, gain/loss information should not be used for tax purposes. Cost information for debt securities has not been adjusted for amortization or accretion.

D - Adjusted cost basis reflects any cumulative original issue discount, premium, or acquisition premium, and it assumes such amounts were amortized by the taxpayer over the life of the security from acquisition date through disposition date. For securities still held, maturity date was used instead of disposition date. Premium amortization was calculated using the yield-to-maturity method.

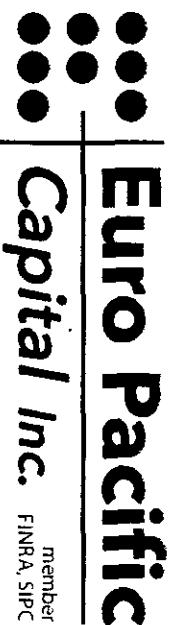
from acquisition date through disposition date. For securities still held, maturity date was used instead of disposition date. Premium amortization was calculated using the yield-to-maturity method. Acquisition premium was calculated using the ratable accrual method. If applicable, adjusted cost basis reflects market discount accretion which was calculated using the straight-line method and was recognized at disposition date. Gain/loss displayed for this transaction was based on cost basis as adjusted for premium and discount as stated above and does not reflect any losses swallowed because of wash sales (if applicable). The adjusted cost basis may not reflect all adjustments necessary for tax reporting purposes and may also not apply if you are using an alternative amortization calculation method. Refer to IRS Publication 550, Investment Income and Expenses, for additional information.

R - Market discount income was calculated using the straight-line method from acquisition date through disposition date. Our calculation assumed the taxpayer elected to defer recognizing the market discount until sale (disposition). Other elections available under tax laws may be more beneficial, depending on your individual tax situation. For Federal tax purposes, market discount income from both taxable and tax-exempt bonds is treated as taxable interest income.

If a sale, redemption or other disposition involved multiple tax lots, the transaction's totals may have been calculated using a combination of adjusted and unadjusted cost basis information. For lots w/ adjusted cost basis and its associated gain/loss are known, that was used, otherwise "regular" unadjusted cost basis and its associated gain/loss was used.

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SIMPSON - Premiere Select IRA  
Account Number: EP7-185574



## Miscellaneous Footnotes

**CHANGE IN VALUE OF YOUR PORTFOLIO** is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

**CHANGE IN INVESTMENT VALUE** is the difference between the prior period and current period values which includes the difference between securities that were bought, sold and redeemed during this time period as well as any activity that occurred such as additions and withdrawals, securities transferred, income, expenses, and other activity. This does not reflect activity related to assets in which National Financial is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

**CALLABLE SECURITIES LOTTERY** - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of called/redeemed securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redeemed securities, NFS utilizes an impartial lottery allocation system, in accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redeemed. NFS' allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargin condition.

**PRICING INFORMATION** - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

**FOREIGN EXCHANGE TRANSACTIONS** - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

**GLOSSARY** Short Account Balances - If you have sold securities under the short sale rule, we have, in accordance with regulations, segregated the proceeds from such transactions in your Short Account. Any market increases or decreases from the original sale price will be marked to the market and will be transferred to your Margin Account on a weekly basis. Market Value - The Total Market Value has been calculated out to 9 decimal places, however, the individual unit price is displayed in 5 decimal places. The Total Market Value reported prices obtained from various sources, may be impacted by the frequency in which such prices are reported and such prices are not guaranteed. Prices received from pricing vendors are generally based on current market quotes, but when such quotes are not available the pricing vendors use a variety of techniques to estimate value. These estimates, particularly for fixed income securities, may be based on certain minimum principal amounts (e.g. \$1 million) and may not reflect all of the factors that affect the value of the security, including liquidity risk. The prices provided are not firm bids or offers. Certain securities may reflect "N/A" or "unavailable" where the price for such security is generally not available from a pricing source.

Market Value of a security, including those priced at par value, may differ from its purchase price and may not reflect the value at which the security may be sold or purchased based on various market factors.

**CUSTOMER SERVICE:** Please review your statement and report any discrepancies immediately. Inquiries or concerns regarding your brokerage account or the activity therein should be directed to your broker-dealer at the telephone number and address reflected on the front of this statement and National Financial Services LLC ("NFS", who carries your brokerage account and acts as your custodian for funds and securities that are deposited with NFS by you or your broker-dealer, or as a result of transactions NFS processes for your account, may also be contacted for statement discrepancies. NFS may be called at (800) 601-9842. Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPA"). When contacting either NFS or your broker-dealer, remember to include your entire brokerage account number to ensure a prompt reply. Please notify the service center or your broker-dealer promptly in writing of any change of address.

**ADDITIONAL INFORMATION** Customer free credit balances are not segregated and may be used in NFS business in accordance with federal securities laws. You have the right to receive, subject to open commitments in any of your brokerage accounts, any free credit balances to which you are entitled. Interest on free credit balances awaiting reinvestment may be paid out at rates that may vary with current short-term money market rates and/or your brokerage account balances, set at the discretion of your broker-dealer and/or NFS. If your free credit balance is automatically transferred to a money market fund or to an FDIC insured bank account, you use as your brokerage account's core position, you can liquidate the shares of the money market fund or bank balances at any time and have the proceeds remitted to you or held in your brokerage account subject to the terms and conditions of your account agreement.

**Credit Adjustment Program.** Accountholders receiving payments in lieu of qualified dividends may not be eligible to receive credit adjustments intended to help cover additional associated federal tax burdens. NFS reserves the right to deny the adjustment to any accountholder and to amend or terminate the credit adjustment program.

**Options Customers.** Each transaction confirmation previously delivered to you contains full information about commissions and other charges. If you require further information, please contact your broker-dealer.

**Assignments of American and European-style options are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request.** Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise assignment only during the exercise period. You should advise your broker-dealer promptly of any material change in your investment objectives or financial situation.

**Splits, Dividends, and Interest.** Expected stock split, next dividend payable, and next interest payable information has been provided by third parties and may be subject to change. Information for certain securities may be missing if not received from third parties in time for printing. NFS is not responsible for inaccurate, incomplete, or missing information. Please consult your broker-dealer for more information about expected stock split, next dividend payable, and next interest payable for certain securities.

**Equity Dividend Reinvestment Customers.** Shares credited to your brokerage account resulted from transactions effected as agent by either: 1) Your broker-dealer for your investment account, or 2) through the Depository Trust Company (DTC) dividend reinvestment program. For broker-dealer effected transactions, the time of the transactions, the exchange upon which these transactions occurred and the name of the person from whom the security was purchased will be furnished upon written request. NFS may have acted as market maker in effecting trades in over-the-counter securities.

**Retirement Contributions/Distributions.** A summary of retirement contributions/distributions is displayed for you in the activity summary section of your statement.

**Income Reporting.** NFS reports earnings from investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings from Roth IRAs are reported as tax-free income, since distributions may be tax-free after meeting the 5 year aging requirement and certain other conditions. A financial statement of NFS is available for your personal inspection at its office or a copy of it will be mailed to you upon your written request.

**Statement Mailing.** NFS will deliver statements by mail or, if applicable, notify you by e-mail of your statement's availability. If you had transactions that affected your cash balances or security positions held in your account(s) during the last monthly reporting period, At a minimum, all brokerage customers will receive quarterly statements (at least four times per calendar year) as long as their accounts contain a cash or securities balance.

closely reflect the value at which the security may be sold or purchased based on various market factors. Investment decisions should be made only after consulting your broker-dealer. Estimated Yield ("EY") and Estimated Annual Income ("EAI") - When available, the coupon rate of some fixed income securities is divided by the current market value of the fixed income security to create the EY figure and/or the current interest rate or most recently declared dividends for certain securities are annualized to create the EAI figure. EAI and EY are estimates, and the income and yield might be lower or higher. Estimates may include return of principal or capital gains, which would render them overstated. In addition, EAI is calculated for positions or accounts where dividends are reinvested and not paid as income. EY reflects only the income generated by an investment, not changes in prices which fluctuate. These figures are based on mathematical calculations of available data, and have been obtained from information providers believed to be reliable, but no assurance can be made as to accuracy. Since the interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political and business conditions, they should not be relied on for making investment, trading decisions, or tax decisions.

**Loads and Fees.** In connection with access to, purchase of, and/or maintenance of positions in mutual funds and other investment products ("funds"), your introducing broker dealer and/or NFS may receive the sales loads and 12b-1 fees described in the prospectus as well as additional compensation, paid by the funds, their investment advisors or affiliates. Additional information about the source(s) and amount(s) of compensation as well as other remuneration received by your introducing broker dealer and/or NFS will be furnished to you upon written request. At time of purchase fund shares may be assigned a load, transaction fee or no transaction fee status. At time of sale, any fees applicable to your transaction will be assessed based on the status assigned to the shares at time of purchase. Margin. If you have applied for margin privileges and been approved, you may borrow money from NFS in exchange for pledging the assets in your account as collateral for any outstanding margin loan. The amount you may borrow is based on the value of securities in your margin account, which is identified on your statement. If you have a margin account, this is a combined statement of your margin account and special memorandum account other than your non-purpose margin accounts maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve Board. The permanent record of the separate account, as required by Regulation T, is available for your inspection upon request. NYSE and FINRA. All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange market and its clearing house, if any, where the transactions are executed, and of the New York Stock Exchange (NYSE) and of the Financial Industry Regulatory Authority ("FINRA"). The FINRA requires that we notify you in writing of the availability of an investor brochure that includes information describing FINRA's BrokerCheck Program ("Program"). To obtain a brochure or more information about the Program or FINRA Regulation, contact the FINRA Regulation BrokerCheck Program Hotline at (800) 289-9999 or access the FINRA's web site at [www.finra.org](http://www.finra.org). FINRA Rule 4311 requires that your broker-dealer and NFS allocate between them certain funds regarding the administration of your brokerage account. The following is a summary of the allocation services performed by your broker-dealer and NFS. A more complete description is available upon request. Your broker-dealer is responsible for: (1) obtaining and verifying brokerage account information and documentation, (2) opening, approving and monitoring your brokerage account, (3) transmitting timely and accurate orders and other instructions to NFS with respect to your brokerage account, (4) determining the suitability of investment recommendations and advice, (5) operating, and supervising your brokerage account and its own activities in compliance with applicable laws and regulations including compliance with margin rules pertaining to your margin account, if applicable, and (6) providing required books and records for the services that it performs. NFS shall, at the direction of your broker-dealer, execute, clear and settle transactions processed through NFS by your broker-dealer. (2) prepare and send transaction confirmations and periodic statements of your brokerage account (unless your broker-dealer has undertaken to do so). Certain securities pricing and descriptive information may be provided by your broker-dealer or obtained from third parties deemed to be reliable, however, this information has not been verified by NFS. (3) act as custodian for funds and securities received by NFS on your behalf, (4) follow the instructions of your broker-dealer with respect to transactions and the receipt and delivery of funds and securities for your brokerage account, and (5), extend margin credit for purchasing or carrying securities on margin. Your broker-dealer is responsible for ensuring that your brokerage account is in compliance with federal, industry and NFS margin rules, and for advising you of margin requirements. NFS shall maintain the required books and records for the services it performs. Securities in accounts carried by NFS are protected in accordance with the Securities Investor Protection Corporation ("SIPC") up to \$500,000. For claims filed on or after July 22, 2010, the \$500,000 total amount of SIPC protection is inclusive of up to \$250,000 protection for claims for cash, subject to periodic adjustments for inflation in accordance with terms of the SIPC statute and approval by SIPC's Board of Directors. NFS also has arranged for coverage above these limits. Neither coverage protects against a decline in the market value of securities, nor does either coverage extend to certain securities that are considered ineligible for coverage. For more details on SIPC, or to request a SIPC brochure, visit [www.sipc.org](http://www.sipc.org) or call 1-202-371-8300. Funds used to purchase or sweep to a bank deposit are SIPC protected until deposited to a Program Bank at which time funds may be eligible for FDIC insurance. Assets Held Away: commodities, unregistered investment contracts, futures accounts, loaned securities and other investments may not be covered. Mutual funds and/or other securities are not backed or guaranteed by any bank, nor are they insured by the FDIC and involve investment risk including possible loss of principal.

**End of Statement**

Account carried with National Financial Services, LLC, Member NYSE, SIPC

ENV# CEBBGCSCBBBNLKZ\_BBBBC  
EURO PACIFIC CAPITAL INC.  
88 POST ROAD WEST  
3RD FLOOR  
WESTPORT CT 06880



member  
FINRA, SIPC

NFS/FMTC IRA  
FBO MICHAEL K SIMPSON  
5265 SHADOW CREEK DR  
IDAHO FALLS ID 83401

## YEAR END SUMMARY 2013

YOUR INVESTMENT PROFESSIONAL: For questions about your accounts:  
JIEMANT KATHURIA  
Local: 800.727.7922  
National: 800.727.7922  
RR# E80

### STATEMENT FOR THE PERIOD JANUARY 1, 2013 TO DECEMBER 31, 2013

MICHAEL K SIMPSON - Premiere Select IRA  
Account Number: EP [REDACTED]



TOTAL VALUE OF YOUR PORTFOLIO AS OF 12/31/13 \$256,351.28

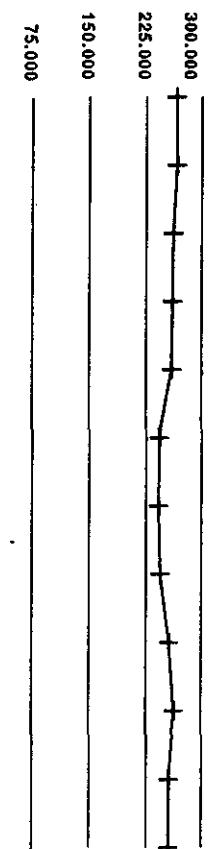
### FOR YOUR INFORMATION

Euro Pacific Capital wishes you and your family a happy and healthy holiday season and a prosperous 2014.

This statement is not a replacement for your tax forms and may not reflect all adjustments necessary for tax reporting purposes. Refer to your IRS tax forms including your Form(s) 1099 and Form(s) 5498, which will be mailed to you under separate cover.

### CHANGE IN VALUE OF YOUR PORTFOLIO

\$ thousands



Change In Value Of Your Portfolio information can be found in Miscellaneous Form, 1099, at the end of this statement.

Euro Pacific Capital

YN\_CEBBGCSCBBBNLKZ\_BBBBC 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

## **Statement for the Period January 1, 2013 to December 31, 2013**

WILHELM HÖHNER  
WILHELM HÖHNER  
WILHELM HÖHNER

## Account Overview

### CHANGE IN ACCOUNT VALUE

<b>BEGINNING VALUE (AS OF 01/01/13)</b>	
Securities Transfers	\$255,207.32
Additions and Withdrawals	(-\$49.72)
Income	\$94.60
Taxes, Fees and Expenses	\$10,779.77
Change in Investment Value	(-\$5,996.93)
<b>ENDING VALUE (AS OF 12/31/13)</b>	<b>\$256,351.28</b>

*Refer to Miscellaneous Footnotes for more information on Change in Investment Value.*

## RETIREMENT CONTRIBUTIONS/DISTRIBUTIONSS

## CONTRIBUTIONS

For Current Year 2013

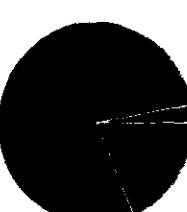
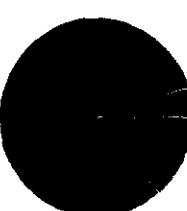
כינור יפהנדי

For Current Year 2013

Retirement account maintenance fee paid on 12/16/13

<b>INCOME</b>
Dividends
Return of Capital
<b>TOTAL INCOME</b>

All income is tax deferred until it is distributed from the investment.



*Other Securities* are infrequently traded instruments or other securities which are not closely monitored.

**Account Allocation** shows the percentage that each asset class represents of your total account value. Account Allocation for equities, fixed income, and other categories may include mutual funds and may be net of short positions. NFS has made assumptions concerning how certain mutual funds are allocated. Closed-end mutual funds and Exchange Traded Funds (ETFs), listed on an exchange may be included in the equity allocation. The chart may not reflect your actual portfolio allocation. Consult your broker/dealer prior to making investment decisions.

Euro Pacific Capital

YN\_CFBGCSCBBNIKZ\_BBBBC

Account carried with National Financial Services LLC, member  
NYSE, SIPC

Page 2 of B2

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SIMPSON  
Account Number: ER  




Account Overview *continued*

TAXES, FEES AND EXPENSES	
Account Fees	12/31/13 (\$5,164.25)
Foreign Tax Paid	(\$832.68)
TOTAL TAXES, FEES AND EXPENSES	

REALIZED GAIN (LOSS)	
FROM TRADING	
Realized Gain (Loss)	\$10,412.31
TOTAL GAIN (LOSS)	

*The account cost and associated gain (loss) is provided for informational purposes only and should not be used for tax-reporting purposes. Such information is provided to help you estimate and track the change in market value of each position relative to your investment in the security (not including reinvestments). Please refer to *Fairvalues and Cost Information at the end of this statement for more information.**

**REALIZED GAIN (LOSS)** Estimated realized gains (losses) for transactions in your account as of the trade date, excluding transactions where cost basis information is incomplete.

**GAIN (LOSS) FROM RETIREMENT DISTRIBUTION OF IN-KIND SHARES** is the change in value of shares distributed compared to their cost and is provided for informational purposes only.

**MESSAGES AND ALERTS**

Euro Pacific Capital, Inc. may receive remuneration in the form of rebates for providing liquidity in certain orders routed to a market center e.g. registered securities exchanges, electronic communications network, etc. the rebates are credited against fees charged by the market center to execute orders. Any additional information concerning order flow payment will be disclosed upon written request.

Euro Pacific Capital

YN \_CEBGCSCBBNLKZ\_BBBBC\_20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMPSON  
Account Number: ER  




## Holdings

The account cost and associated gain (loss) is provided for informational purposes only and should not be used for tax-reporting purposes. Such information is provided to help you estimate and track the change in market value of each position relative to your investment into this security (not including reinvestments). Please refer to Footnotes and Cost Information at the end of this statement for more information.

### CASH AND CASH EQUIVALENTS - 13.69% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/13	Market Value 12/31/13	Estimated Annual Income
<b>Money Markets</b>					
PRIME FUND DAILY MONEY CLASS	FDAXX				
7 DAY YIELD .01%	CASH				
Dividend Option Reinvest					
Capital Gain Option Reinvest					
<b>Total Cash and Cash Equivalents</b>			<b>\$47,910.19</b>		

### HOLDINGS > EQUITIES - 78.26% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/13	Market Value 12/31/13	Estimated Annual Income	Cost	Net Change
<b>Equity</b>							
ARC RESOURCES COM NPV ISIN #CAU0208D4084	AETUF	312,395	\$27,83058	\$8,694.13		\$7,250.07	\$1,441.06
SEDOL #B6463M8	CASH						
Dividend Option Reinvest							
Capital Gain Option Reinvest							
ASAHI GROUP Holdings NV ISIN #JP3116000005	ASBRF	271	\$28.02	\$7,593.42	\$4,819.71	\$2,773.71	
SEDOL #B054409	CASH						
Dividend Option Reinvest							
Capital Gain Option Reinvest							
ASTRAZENECA ADR EACH REP 1 ORD	AZN	180	\$59.37	\$10,686.60	\$504.00	\$9,424.11	\$1,262.49
USD0.25(MGT)	CASH						
Estimated Yield 4.71%							
Dividend Option Cash							
Capital Gain Option Cash							

Euro Pacific Capital

Y/N\_CIBGCCSCBBNIKZ\_BBBBC 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SIMPSON  
Account Number: E



HOLDINGS > EQUITIES *continued*

The logo for Euro Pacific Capital Inc. consists of three solid black circles arranged vertically above the company name "Euro Pacific Capital Inc.". The word "Capital" is italicized.

member  
FINRA, SIPC

Euro Pacific Capital

Account carried with National Financial Services LLC, Member,  
**NYSE, SIPC**

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SIM  
Account Number:



**HOLDINGS > EQUITIES continued**

Description	Symbol/Cusip	Quantity	Price on 12/31/13	Market Value	Estimated Annual Income	Cost	Net Change
	Account Type						
<b>GOLDEN AGRI RESOURCES USD0.025 ISIN #M0117U00026 SEDOL #164472</b>	GARPF	17,277.833	\$0.43164	\$7,457.80	\$8.951.83	\$1,330.05	
Dividend Option Reinvest	CASH						
Capital Gain Option Reinvest							
<b>ISUZU MOTORS NPV ISIN #JP313720006 SEDOL #4678C3</b>	ISUZF	1,057	\$0.22649	\$0.581.40	\$5.789.17	\$7.32.25	
Dividend Option Reinvest	CASH						
Capital Gain Option Reinvest							
<b>JGC CORP NPV ISIN #JP366760005 SEDOL #47346B</b>	JGCCF	409,412	\$12.30	\$5,035.77	\$3,913.28	\$1,120.39	
Dividend Option Reinvest	CASH						
Capital Gain Option Reinvest							
<b>KIWI INCOME PROPERTY TRUST UNITS ISIN #NZKIPERDUSS SEDOL #698572</b>	KWIPF	166,362	\$39.27262	\$6,533.47	\$3,907.47	\$2,626.00	
Dividend Option Reinvest	CASH						
Capital Gain Option Reinvest							
<b>LEROY SEAFOOD GROUP NOK1.00 ISIN #NOK000909208 SEDOL #4691916</b>	LYSFF	3,400	\$0.58889	\$3,022.23	\$2,607.85	\$414.36	
Dividend Option Reinvest	CASH						
Capital Gain Option Reinvest							
<b>MAJOR DRILLING INC COM NPV ISIN #CA560991031 SEDOL #2557515</b>	MIDL	177	\$29.12142	\$5,154.49	\$3,635.61	\$1,518.65	
Dividend Option Reinvest	CASH						
Capital Gain Option Reinvest							
<b>METRO AG ORD NPV ISIN #DE0007257503 SEDOL #504143</b>	MTAGF	249	\$7.23764	\$1,802.17	\$2,627.83	(\$225.66)	
Dividend Option Reinvest	CASH						
Capital Gain Option Reinvest							
<b>NESTLE SA SPON ADR EACH REPR 1 COM CHE010</b>	NSRGY	163	\$48.779	\$7,950.98	\$4,727.70	\$3,223.28	
Estimated Yield 2.94%	CASH						
Capital Gain Option Reinvest							
<b>NEWCREST MINING NPV ISIN #AU000000NCM7 SEDOL #6837101</b>	NCMGF	77	\$73.424	\$5,653.65	\$166.59	\$4,153.98	\$1,439.67
Dividend Option Reinvest	CASH						
Capital Gain Option Reinvest							

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMPSON  
Account Number: E  
INVESTMENT VEHICLE  
INVESTMENT VEHICLE  
INVESTMENT VEHICLE



**HOLDINGS > EQUITIES continued**

Description	Symbol/Cusip	Quantity	Price on 12/31/13	12/31/13	Estimated Annual Income	Cost	Net Change
	Account Type						
<b>ORIGIN ENERGY LTD NPV ISIN #AU000000ORG5</b>	OGGF	974	\$12.58772	\$12.26044	\$14,802.54	\$12,542.10	\$2,260.44
Dividend Option Reinvest	CASH						
Capital Gain Option Reinvest							
<b>PRECISION DRILLING CORPORATION COM NPV ISIN #CA7402203055 SEDOL #B5YPLH9</b>	PDS	819,099	\$9.37	\$7,674.96		\$7,436.56	\$7,284.50
Dividend Option Reinvest	CASH						
Capital Gain Option Reinvest							
<b>ROYAL DUTCH SHELL ADR EA REPR 2 CL A</b>	RDSA	35	\$71.27	\$2,494.45	\$26.00	\$2,381.11	\$115.34
Euro 07	CASH						
Estimated Yield 5.05%							
Dividend Option Reinvest							
Capital Gain Option Reinvest							
<b>SINGAPORE TELECOMMUNICATIONS NPV ISIN #SGIT5931496 SEDOL #B02PY22</b>	SNGNF	1,541,586	\$2,898.78	\$4,468.72		\$3,901.11	\$537.51
Dividend Option Reinvest	CASH						
Capital Gain Option Reinvest							
<b>STANOL ASA SPON ADR EACH REP 1 ORD NOK2.50LVL111</b>	STO	336,806	\$24.13	\$8,127.13	\$385.64	\$7,963.22	\$1,355.31
Estimated Yield 4.74%	CASH						
Dividend Option Reinvest							
Capital Gain Option Reinvest							
<b>TELECOM CORP OF NEW ZEALAND NPV ISIN #NZTELE0001SA SEDOL #6881436</b>	NZTCF	4,060	\$1,897.13	\$7,702.35		\$7,842.28	\$535.91
Dividend Option Cash	CASH						
Capital Gain Option Cash							
<b>TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH</b>	VIV	468,841	\$19.22	\$9,011.12	\$62.93	\$10,853.76	\$1,932.64
Estimated Yield 8.46%	CASH						
Dividend Option Reinvest							
Capital Gain Option Reinvest							
<b>TELENOR ASA ORD NOK6 ISIN #N00010063308 SEDOL #A732495</b>	TELNF	406,931	\$23.78	\$9,676.82		\$8,095.48	\$3,581.34
Dividend Option Reinvest	CASH						
Capital Gain Option Reinvest							

**Euro Pacific Capital**

VN\_CEBGCCBBBNLKZ\_BBBINC 20140124

Account carried with National Financial Services LLC, Atlanta

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K S  
Account Number

RA



**HOLDINGS > EQUITIES continued**

Description	Symbol/Cusip	Account Type	Quantity	Price on 12/31/13	Market Value	Estimated Annual Income	Cost	Net Change
TESCO ORD GBP 0.05 ISIN #GB0008847096 SEDL #0884709	TSCDF	CASH	889	\$5.53766	\$4,932.98		\$5,316.97	(\$355.98)
Dividend Option Cash								
Capital Gain Option Cash								
<b>THAI BEVERAGE PUBLIC COMPANY LTD THB1 SIN #TH0902010014 SEDOL #B15F664</b>	TBVPF	CASH	5,286	\$0.42768	\$2,260.72		\$1,225.46	\$1,035.26
Woolworths Limited NPV ISIN #AU000000WOW2 SEDOL #6981239	WOLWF	CASH	274	\$30.28389	\$8,297.79		\$7,470.54	\$827.25
Dividend Option Reinvest								
Capital Gain Option Reinvest								
<b>YAMANA GOLD INC COM NPV ISIN #CA98402Y1007 SEDOL #2219279</b>	AUY	CASH	428.251	\$8.62	\$3,691.52	\$111.35	\$4,691.16	(\$999.64)
Estimated Yield 3.01%								
Dividend Option Reinvest								
Capital Gain Option Reinvest								
<b>YARA INTERNATIONAL ASA NOK 1.7 ISIN #N0001028051 SEDOL #7751259</b>	YRAIF	CASH	51	\$42.85	\$2,185.35		\$2,462.47	(\$277.12)
Dividend Option Reinvest								
Capital Gain Option Reinvest								
<b>Total Equity</b>				<b>\$200,627.59</b>	<b>\$2,806.85</b>	<b>\$198,353.29</b>	<b>\$2,274.30</b>	
<b>Total Equities</b>				<b>\$200,627.59</b>	<b>\$2,806.85</b>	<b>\$198,353.29</b>	<b>\$2,274.30</b>	
<b>Total Securities</b>				<b>\$200,627.59</b>	<b>\$2,806.85</b>	<b>\$198,353.29</b>	<b>\$2,274.30</b>	
<b>HOLDINGS &gt; OTHER SECURITIES - 3.05% of Total Account Value</b>								
Description	Symbol/Cusip	Account Type	Quantity	Price on 12/31/13	Market Value	Estimated Annual Income	Cost	Net Change
CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6361370	021748118	CASH	4,490.287	\$1,740.09	\$7,813.50			
<b>Total Other Securities</b>								
					<b>\$7,813.50</b>			

Euro Pacific Capital

VN CFBCCSCBBN1KZ BBBBC 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period Valued as of December 31, 2013

MICHAEL K SIMPSON  
Account Number: ER



**Euro Pacific**  
member  
FINRA, SIPC

**HOLDINGS (continued)**

			Price on 12/31/13	Current	Estimated Annual Income	Cost	Net Charge
<b>TOTAL PORTFOLIO VALUE</b>			\$256,351.28	\$2,806.85	\$198,353.29		\$2,274.30

**Activity**

The account cost and associated gain (loss) is provided for informational purposes only and should not be used for tax-reporting purposes. Such information is provided to help you estimate and track the change in market value of each position relative to your investment into this security (not including reinvestments). Please refer to Footnotes and Cost Information at the end of this statement for more information.

**TRADING**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain (Loss)
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**Securities Purchased**

12/28/12	CASH	REINVESTMENT	PRECISION DRILLING CORPORATION COM NPV ISIN #CAT402203085 SEDOL #B5YPLH9 REINVEST @ \$8.0443 TRADE DATE 12-31-12	6421	(\$51.65)		
01/03/13	CASH	REINVESTMENT	CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 N-VTG PPF SHSLEVEL2) REINVEST @ \$10.9217 TRADE DATE 01-04-13	1743	(\$190.37)		
01/14/13	CASH	REINVESTMENT	YAMANA GOLD INC COM NPV ISIN #C98462Y1007 SEDOL #2219279 REINVEST @ \$17.5078 TRADE DATE 01-15-13	0732	(\$12.82)		

Euro Pacific Capital

VN\_CEBGCCSCBBBNIKZ\_BBWK 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SIMPSON  
Account Number: E



**TRADING *continued***

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain (Loss)
01/15/13	CASH	REINVESTMENT	ARC RESOURCES COM NPV ISIN #CA0020804084 SEDOL #B6463M8 REINVEST @ \$24.1416 TRADE DATE 01-16-13	1 253	(\$30.25)		
01/15/13	CASH	REINVESTMENT	CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22516C1014 SEDOL #B67CCW8 REINVEST @ \$39.1869 TRADE DATE 01-16-13	0.714	(-\$27.98)		
01/17/13	CASH	REINVESTMENT	SINGAPORE TELECOMMUNICATIONS NPV ISIN #SG1775931496 SEDOL #B02PY22 REINVEST @ \$2.8500 TRADE DATE 01-18-13				
01/23/13	CASH	REINVESTMENT	CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 N-VTG PRF SHS1REL21 REINVEST @ \$11.1507 TRADE DATE 01-24-13	28 407	(\$80.96)		
01/25/13	CASH	REINVESTMENT	GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302 REINVEST @ \$35.5029 TRADE DATE 01-28-13	0.073	(-\$2.60)		
02/07/13	CASH	REINVESTMENT	POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CA7375SL1076 SEDOL #2696980 REINVEST @ \$42.2503 TRADE DATE 02-08-13	1 252	(-\$52.91)		
02/15/13	CASH	REINVESTMENT	ARC RESOURCES COM NPV ISIN #CA0020804084 SEDOL #B6463M8 REINVEST @ \$25.3929 TRADE DATE 02-19-13	1.17	(-\$29.72)		

Euro Pacific Capital

VN\_CEBGCCBBN1KZ BBBBC 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SIM  
Account Number [REDACTED]



TRADING *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain /Loss
02/15/13	CASH	REINVESTMENT	CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8WB REINVEST @ \$37.9244 TRADE DATE 02-19-13	0.726	(S27.54)		
02/19/13	CASH	YOU BOUGHT	GOLDEN AGRI RESOURCES USD0025 ISIN #MU0117U00026 SEDOL #6164472 DISCRETION EXERCISED @ .509	10.421	(S5,533.72)	S5,533.72	
02/22/13	CASH	YOU BOUGHT	NEWCREST MINING NPV ISIN #AU000000NCM7 SEDOL #637101 DISCRETION EXERCISED @ 22.6802	219	(S4,969.66)	S4,969.66	
02/22/13	CASH	YOU BOUGHT	BARRICK GOLD CORP COM NPV ISIN #CA679011084 SEDOL #2024644 DISCRETION EXERCISED @ 31.5263	290	(S9,149.64)	S9,149.64	
02/22/13	CASH	REINVESTMENT	GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2673302 REINVEST @ \$32.9961 TRADE DATE 02-25-13	0.079	(S2.60)		
02/26/13	CASH	REINVESTMENT	TELEFONICA BRASIL SA SPON ADR EA REPR1 PFD SH REINVEST @ \$36.4517 TRADE DATE 02-27-13	12.153	(S321.46)		
03/05/13	CASH	YOU BOUGHT	GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2673302 DISCRETION EXERCISED @ 32.509	178	(S5,793.61)	S5,793.61	
03/12/13	CASH	REINVESTMENT	CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR1 N-VTG PRF SHS LEVEL 2 REINVEST @ \$12.6890 TRADE DATE 03-13-13	9.846	(S124.94)		

**Euro Pacific Capital**

YN \_CEBBGCSBBBBNIKZ\_B3BBC\_20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPA

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SIMPSON  
Account Number:



TRADING *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain (Loss)
03/12/13	CASH	REINVESTMENT	CIA ENERGETICA MINAS GERAIS-CEMIG SPO N ADR REPR 1 NAVIG PHF SHS LEVEL 2) REINVEST @ \$12.6890 TRADE DATE 03-13-13				
03/15/13	CASH	REINVESTMENT	ARC RESOURCES COM NPV ISIN #CA00208D4084 SEDOL #B6463M8 REINVEST @ \$26.1700 TRADE DATE 03-18-13	1.125	(\$29.44)		
03/15/13	CASH	REINVESTMENT	BARRICK GOLD CORP CDM NPV ISIN #CA0679011084 SEDOL #2034644 REINVEST @ \$29.0283 TRADE DATE 03-18-13	1.998	(\$58.00)		
03/15/13	CASH	REINVESTMENT	CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8 REINVEST @ \$38.4149 TRADE DATE 03-18-13	0.712	(\\$27.34)		
03/15/13	CASH	REINVESTMENT	PRECISION DRILLING CORPORATION COM NPV ISIN #CA74022D3085 SEDOL #BSYPLH9 REINVEST @ \$9.0508 TRADE DATE 03-18-13	5.6	(\\$50.68)		
03/22/13	CASH	YOU BOUGHT	METRO AG ORD NPV ISIN #DE0007257503 SEDOL #5041413 DISCRETION EXERCISED @ 28.9725	222	(\\$6,438.96)	\$6,436.96	
03/22/13	CASH	REINVESTMENT	GOLDcorp INC COM NPV ISIN #CA880564097 SEDOL #B763M2 REINVEST @ \\$33.1279 TRADE DATE 03-25-13	0.347	(\\$11.51)		

Euro Pacific Capital

YN\_CEBBCGSCBBBNKZ BBBBC 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**

**MICHAEL K SIMONE**  
Account Number:  




**TRADING *continued***

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Trade Action: Gain / (Loss)
03/28/13	CASH	REINVESTMENT	BHP BILLITON LIMITED ADR EACH REP 2 ORD NPV(MGT) REINVEST @ \$68.0380 TRADE DATE 04-01-13	0.475	(\$32.29)		
04/11/13	CASH	REINVESTMENT	YAMANA GOLD INC COM NPV ISIN #CA88462Y1007 SEDOL #2219279 REINVEST @ \$13.3648 TRADE DATE 04-12-13	0.903	(\$12.87)		
04/15/13	CASH	REINVESTMENT	ARC RESOURCES COM NPV ISIN #CA00208D4084 SEDOL #B6465M18 REINVEST @ \$26.3009 TRADE DATE 04-16-13	1.123	(\$29.53)		
04/15/13	CASH	REINVESTMENT	CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8 REINVEST @ \$35.0973 TRADE DATE 04-16-13	0.783	(\$27.48)		
04/26/13	CASH	REINVESTMENT	GOLDEN CORP INC COM NPV ISIN #CA800564097 SEDOL #2676302 REINVEST @ \$29.1520 TRADE DATE 04-29-13	0.396	(\$11.53)		
05/02/13	CASH	REINVESTMENT	POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CA7375L1076 SEDOL #2686980 REINVEST @ \$42.3026 TRADE DATE 05-03-13	1.676	(\$70.90)		
05/14/13	CASH	REINVESTMENT	GOLDEN AGRI-RESOURCES US USD 0.025 ISIN #MU0117000026 SEDOL #6164472 REINVEST @ \$.4684 TRADE DATE 05-15-13	145.687	(\$66.24)		

**Euro Pacific Capital**

VN\_CEBBGCSCLBBNLZ\_BJBBC\_20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIP

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SIMONSON  
Account Number  
100-000000000000000000  
Brokerage Firm  
BROKERAGE FIRM  
THEODORE H. HORNBERG



TRADING *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain (Loss)
05/15/13	CASH	REINVESTMENT	VENTURE CORPORATION LTD NPV ISIN #SGG531000230 SEDOL #6927374 REINVEST @ \$5.9132 TRADE DATE 05-11-13	25.161	(\$148.78)		
05/15/13	CASH	REINVESTMENT	ARC RESOURCES COM NPV ISIN #CA00208D4084 SEDOL #B6463M8 REINVEST @ \$26.5716 TRADE DATE 05-16-13	1.114	(\$23.71)		
05/15/13	CASH	REINVESTMENT	CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8WB REINVEST @ \$37.2599 TRADE DATE 05-16-13	0.744	(\$27.72)		
05/15/13	CASH	REINVESTMENT	PRECISION DRILLING CORPORATION COM NPV ISIN #CA7A40220305 SEDOL #B57PLH9 REINVEST @ \$81.1069 TRADE DATE 05-16-13	6.296	(\$51.04)		
05/24/13	CASH	REINVESTMENT	GOLDEN CORP INC COM NPV ISIN #CA3809564097 SEDOL #676302 REINVEST @ \$26.7668 TRADE DATE 05-28-13	0.431	(\$11.55)		
05/30/13	CASH	REINVESTMENT	TELEFON ASA ORD NOK6 ISIN #N00010063308 SEDOL #4732495 REINVEST @ \$21.0477 TRADE DATE 05-31-13	20.545	(\$432.42)		
06/05/13	CASH	REINVESTMENT	STATOIL ASA SPON ADR EACH REP 1 ORD NOK2.50 LVL111 REINVEST @ \$22.4516 TRADE DATE 06-07-13	4.333	(\$97.28)		

Euro Pacific Capital

VN\_CEBBGCSCBBBNKZ\_BBPC 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period January 1, 2013 - December 31, 2013

MICHAEL K SIMON  
Account Number  




TRADING *(continued)*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain / (Loss)
06/17/13	CASH	REINVESTMENT	ARC RESOURCES COM NPV ISIN #CA00208D4084 SEDOL #B6463M8 DTC DISCOUNT PLAN REINVEST @ \$25.1171 MKT PRICE= \$26.4390	1.189	(\$31.43)		
06/17/13	CASH	REINVESTMENT	BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #A2024644 REINVEST @ \$18.7609 TRADE DATE 06-19-13	3.113	(\$53.40)		
06/17/13	CASH	REINVESTMENT	CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22578C1014 SEDOL #B67C8W8 REINVEST @ \$35.8748 TRADE DATE 06-18-13	0.779	(\$27.93)		
06/17/13	CASH	REINVESTMENT	SYNGENTA ADR EACH REP 1/5TH CHF10 LVL III REINVEST @ \$79.5108 TRADE DATE 06-18-13	0.722	(\$57.42)		
06/24/13	CASH	REINVESTMENT	ITOCHU CORP NPV ISIN #JP3143600009 SEDOL #6467803 REINVEST @ \$12.4100 TRADE DATE 06-25-13	6.023	(\$74.75)		
06/28/13	CASH	REINVESTMENT	JGC CORP NPV ISIN #JP3667600005 SEDOL #6473468 REINVEST @ \$36.3000 TRADE DATE 07-01-13	1.91	(\$69.32)		
06/28/13	CASH	REINVESTMENT	GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #676302 REINVEST @ \$25.0510 TRADE DATE 07-01-13	0.462	(\$11.57)		

Euro Pacific Capital

VN\_CERBGCCBBBNLKZ\_BBRBC 20140124

Account carried with National Financial Services LLC, Atlanta,  
NYSE: SIPC

## **Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMP  
Account Number:

THE INSTITUTE OF  
EDUCATION,  
LONDON,  
1890.

**Euro Pacific**

TRADING *continued*

Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain (Loss)
07/05/13	CASH	REINVESTMENT	CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 N-VTG PPF SHSLEVEL 2) REINVEST @ \$8.9056 TRADE DATE 07-08-13	4,333	(\$38.59)		
07/12/13	CASH	REINVESTMENT	CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 N-VTG PPF SHSLEVEL 2) REINVEST @ \$8.9056 TRADE DATE 07-08-13	20.26	(\$180.43)		
07/15/13	CASH	REINVESTMENT	YAMANA GOLD INC COM NPV ISIN #CA98462Y007 SEDOL #2219279 REINVEST @ \$9.7446 TRADE DATE 07-15-13	1.327			
07/15/13	CASH	REINVESTMENT	ARC RESOURCES COM NPV ISIN #CA00208DA0B4 SEDOL #B6463M8; DTC DISCOUNT PLAN REINVEST @ \$25.4804 MKT PRICE= \$26.7899	1.15	(\$30.80)		
07/15/13	CASH	REINVESTMENT	CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22578C1014 SEDOL #B67C0V83 REINVEST @ \$36.5471 TRADE DATE 07-16-13	0.751	(\$27.43)		
07/25/13	CASH	REINVESTMENT	CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 N-VTG PPF SHSLEVEL 2) REINVEST @ \$9.720 TRADE DATE 07-26-13	8.067	(\$78.41)		
07/26/13	CASH	REINVESTMENT	GOLDCORP INC COM NPV ISIN #CA380056A097 SEDOL #2676302 REINVEST @ \$28.7657 TRADE DATE 07-29-13	0.403	(\$11.59)		

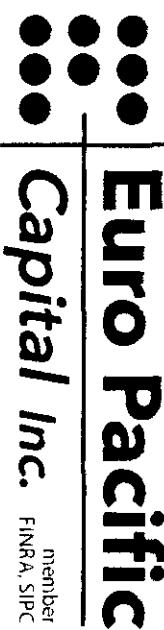
Euro Pacific Capital

YNN\_CFBG\_CSCBBBNIKZ\_BB4BC 20140124

RECORDED WITH NATIONAL FINANCIAL SERVICES LLC, NEW YORK  
NYSE, SIPC

Statement for the Period January 1, 2013 - December 31, 2013

MICHAEL K SMITH  
Account Number: [REDACTED]



TRADING *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain/Loss
08/02/13	CASH	REINVESTMENT	CHINA SHENHUA ENERGY COMPANY LTD HCNY1 ISIN #CNEY0000290 SEDOL #B09N7M0 REINVEST @ \$2.9395 TRADE DATE 08-05-13	23618	(\$55.42)	\$55.42	
08/02/13	CASH	REINVESTMENT	POLASH CORP OF SASKATCHEWAN COM NPV ISIN #CAT73755L1076 SEDOL #2696980 REINVEST @ \$29.4367 TRADE DATE 08-05-13	3031	(\$89.21)	\$89.21	
08/13/13	CASH	YOU BOUGHT	GOLDEN AGRI RESOURCES USD0.025 ISIN #MWU017U00026 SEDOL #61664472 DISCRETION EXERCISED @ 4146	2,411	(\$1,019.18)	\$1,019.18	
08/15/13	CASH	REINVESTMENT	ARC RESOURCES COM NPV ISIN #CA00208D4084 SEDOL #B6463M8 DTC DISCOUNT PLAN REINVEST @ \$23.3811 MKT PRICE= \$24.6117	1265	(\$31.14)	\$31.14	
08/15/13	CASH	REINVESTMENT	CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576G1014 SEDOL #B57C8W8 REINVEST @ \$37.5751 TRADE DATE 08-16-13	074	(\$27.80)	\$27.80	
08/15/13	CASH	REINVESTMENT	PRECISION DRILLING CORPORATION COM NPV ISIN #CA74022D3085 SEDOL #B5YPLH9 REINVEST @ \$10.2879 TRADE DATE 08-16-13	4915	(\$50.56)	\$50.56	
08/16/13	CASH	YOU BOUGHT	YAMANA GOLD INC COM NPV ISIN #CA98462Y1007 SEDOL #2219279 DISCRETION EXERCISED @ 10.4374	225	(\$2,355.45)	\$2,355.45	

Euro Pacific Capital

VN\_CEBRGCSBBBBNLKZ\_BBBC 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMPS  
Account Number:  




**TRADING *continued***

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain (Loss)
08/23/13	CASH	REINVESTMENT	SINGAPORE TELECOMMUNICATIONS NPV ISIN #SG1T75931496 SEDOL #B02Y22 REINVEST @ \$2.7599 TRADE DATE 08-30-13		42,179	(\$116,411)	
09/30/13	CASH	REINVESTMENT	GOLDcorp INC COM NPV ISIN #CA3809584097 SEDOL #B6463M8 REINVEST @ \$29.9266 TRADE DATE 09-03-13		0.388	(\\$11,611)	
09/16/13	CASH	REINVESTMENT	ARC RESOURCES COM NPV ISIN #CA0020804084 SEDOL #B6463M8; DTC DISCOUNT PLAN REINVEST @ \\$24.2739 MKT PRICE= \$25.5515	1,228	(\\$31,371)	\$31,37	
09/16/13	CASH	REINVESTMENT	BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #B024644 REINVEST @ \\$18.6544 TRADE DATE 09-17-13	0.813	(\\$14,76)	\$14,76	
09/16/13	CASH	REINVESTMENT	CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B87C8W8 REINVEST @ \\$36.7559 TRADE DATE 09-17-13	0.763	(\\$28,06)	\$28,06	
09/17/13	CASH	YOU BOUGHT	BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #B024644 DISCRETION EXERCISED @ 17.8558	85	(\$1,523.05)	\$1,523.05	
09/25/13	CASH	REINVESTMENT	BHP BILLITON LIMITED ADR EACH REP 2 ORD NPVINGT REINVEST @ \\$68.0527 TRADE DATE 09-26-13	0.499	(\\$33,98)	\$33,98	

**Euro Pacific Capital**

YNE\_CERBCC\_NCBBNLKZ BBBBC 20140124

Account carried w/ National Financial Services LLC, Member  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**

MEMORIAL

**Euro Pacific**

member  
FINRA/SIPC

TRADING *commitment*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain (Loss)
09/27/13	CASH	REINVESTMENT	GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2616302 REINVEST @ \$26.1300 TRADE DATE 09-30-13	0.445	(\$11.63)	\$27.64	
10/11/13	CASH	REINVESTMENT	YAMANA GOLD INC COM NPV ISIN #CA98462Y1007 SEDOL #2219279 REINVEST @ \$9.1855 TRADE DATE 10-14-13	3.009	(\$27.64)	\$27.64	
10/15/13	CASH	REINVESTMENT	ABC RESOURCES COM NPV/SIN #CA0020804084 SEDOL #B66463M8 DTC DISCOUNT PLAN: REINVEST @ \$24.0707 MKT PRICE= \$25.3376 TRADE DATE 10-16-13	1.235	(\$31.28)	\$31.28	
10/25/13	CASH	REINVESTMENT	CRESCENT POINT ENERGY CORP COM NPV/SIN #CA22576C1014 SEDOL #B67078W8 REINVEST @ \$37.4899 TRADE DATE 10-16-13	0.748	(\$26.03)	\$26.03	
10/29/13	CASH	YOU BOUGHT	GOLDcorp INC COM NPV/SIN #CA3809564097 SEDOL #2616302 REINVEST @ \$27.0042 TRADE DATE 10-28-13	0.431	(\$11.65)	\$11.65	
10/30/13	CASH	YOU BOUGHT	ASTRAZENECA ADR EACH REP 1 ORD USD0.25MGTDISCRETION EXERCISED @ 24.1377	180	(\$9.424.11)	\$9.424.11	
			STATOIL ASA SPON ADR EACH REP 1 ORD NOK2.50 IWI111DISCRETION EXERCISED @ 24.1377	232	(\$5.606.95)	\$5.606.95	

Account carried with National Financial Services LLC, Member FDIC.

Euro Pacific Capital

VN\_CEBBGCSBBBBN1KZ\_BBBBC 20140124



**Statement for the Period January 1, 2013 to December 31, 2013**

**MICHAEL K SIMPSON**  
Account Number:  
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**TRADING *continued***

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain (Loss)
12/02/13	CASH	REINVESTMENT	ITOUCH CORP NPV ISIN #JP3143600009 SEDOL #6467803 REINVEST @ \$12.5500 TRADE DATE 12-03-13	6 028	(\$75.05)	\$75.05	
12/04/13	CASH	REINVESTMENT	TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH REINVEST @ \$18.5476 TRADE DATE 12-05-13	1 772	(\$32.86)	\$32.86	
12/04/13	CASH	REINVESTMENT	TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH REINVEST @ \$18.5476 TRADE DATE 12-05-13	1 772	(\$32.86)	\$32.86	
12/04/13	CASH	REINVESTMENT	TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH REINVEST @ \$18.5476 TRADE DATE 12-05-13	4 333	(\$81.37)	\$81.37	
12/04/13	CASH	REINVESTMENT	TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH REINVEST @ \$18.5476 TRADE DATE 12-05-13	7 068	(\$131.10)	\$131.10	
12/04/13	CASH	REINVESTMENT	TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH REINVEST @ \$18.5476 TRADE DATE 12-05-13	13 726	(\$254.59)	\$254.59	
12/16/13	CASH	REINVESTMENT	ARC RESOURCES COM NPV ISIN #CA0020804084 SEDOL #B6463M8; DTC DISCOUNT PLAN REINVEST @ \$25.6719 MKT PRICE= \$27.0231	1 145	(\$50.94)	\$50.94	
12/16/13	CASH	REINVESTMENT	BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #2024644 REINVEST @ \$17.0366 TRADE DATE 12-17-13	1.118	(\$19.05)	\$19.05	

**Euro Pacific Capital**

YN\_CEBBGCSCBBNLKZ\_BBBBK\_20110124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SIMON  
Account Number: 1111111111111111  
INVESTMENT PORTFOLIO  
HOLDINGS  
INVESTMENT PORTFOLIO  
HOLDINGS



**Euro Pacific**  
Capital Inc. member  
FINRA, SIPC

TRADING *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain (Loss)
12/20/13	CASH	REINVESTMENT	GOLD CORP INC COM NPV ISIN #CA309564097 SEOUL #2676302 REINVEST @ \$20.8407 TRADE DATE 12-23-13	0.561	(\$1170)	\$1170	

12/27/13	CASH	REINVESTMENT	CIA ENERGETICA MINAS GERAIS-CEMIG SPOON ADR REPR 1 N-VTG PRF SHSLEVEL 2) REINVEST @ \$7.8485 TRADE DATE 12-30-13	13.461	(\$105.65)	\$105.65	
				18.415	(\$144.53)	\$144.53	

Total Securities Purchased

(\$70,319.80)

Securities Sold

02/19/13	CASH	YOU SOLD	SHOPPING CENTRES AUSTRALASIA PPTY GROUP STAPLED SECURITY ISIN #AU000000SCP9 SEDOL #68K7J65 DISCRETION EXERCISED @ 1.6859	(54)	\$64.00			
02/21/13	CASH	YOU SOLD	LEROY SEAFOOD GROUP NOK 1.00 ISIN #N00000056208 SEDOL #4691916 DISCRETION EXERCISED @ 28.0647	(113)	\$3,164.12	\$3,010.30	\$153.82	
02/22/13	CASH	YOU SOLD	SKYWORTH DIGITAL HDGS HKD0.10 ISIN #B8MG8181C101 SEDOL #6228828 DISCRETION EXERCISED @ 6.6017	(6,000)	\$3,883.84	\$3,466.14	\$317.70	
02/22/13	CASH	YOU SOLD	ASCENDA REAL ESTATE INV TRUST NPV REAL ESTATE INVESTMENT TRUST ISIN #SG1M7790915 SEDOL #6563875 DISCRETION EXERCISED @ 2.1386		(1,798)	\$3,837.79	\$2,323.72	\$1,514.07

Euro Pacific Capital

Y/N CEBGCCSCBBBNLKZ-BBBBC 20140124

Account carried with National Financial Services LLC Member  
NYSE, SIPC

## **Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMPSON, B.A.  
Account Number

MEMPHIS HOMESTEAD HOTEL  
MICKY VAN COTT'S HOTEL  
MOORE HOTEL HOTEL

The logo for Euro Pacific Capital Inc. consists of three solid black circles arranged vertically above the company name. The company name, "Euro Pacific Capital Inc.", is written in a bold, black, sans-serif font. Below the company name, the word "Member" is written in a smaller, black, sans-serif font, followed by "FINRA" and "SIPC" stacked vertically.

TRADING *continues*

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Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Gain (Loss)
02/22/13	CASH	YOU SOLD	NESTLE SA SPON ADR EACH REPR 1 COM CHF 10 REGD DISCRETION EXERCISED @ 69.525	{114}	\$7,918.65	\$6,150.00	\$1,768.65
03/06/13	CASH	YOU SOLD	STX OSV HLDGS LTD NAV ISIN #SG2047663963 SEDOL #BSVJDE5 DISCRETION EXERCISED @ 10184	(3,660)	\$3,743.22	\$3,372.39	\$367.83
06/25/13	CASH	YOU SOLD	CHINA BLUE CHEMICAL LIMITED H CNY1 ISIN #CNF100000200 SEDOL #B1DN3X6 DISCRETION EXERCISED @ 577	(2,990)	\$1,718.00	\$2,077.25	\$-359.25
06/26/13	CASH	YOU SOLD	SYNGENTA ADR EACH REP 1/5TH CHFO 10 LVL III DISCRETION EXERCISED @ 75.9781	(33)	\$2,560.22	\$1,953.49	\$547.73
07/16/13	CASH	YOU SOLD	ELECTRICITY GENERATING PUBLIC CO THB10(AIEN MARKET)ISIN #TH0465010013 SEDOL #6504643 DISCRETION EXERCISED @ 4.3764	(599)	\$2,570.47	\$1,744.45	\$826.02
07/23/13	CASH	YOU SOLD	THAI BEVERAGE PUBLIC COMPANY LTD THB1 ISIN #TH0502010014 SEDOL #B1566A DISCRETION EXERCISED @ 4.604	(4,714)	\$2,163.15	\$1,032.86	\$1,130.29
08/02/13	CASH	YOU SOLD	ELECTRICITY GENERATING PUBLIC CO THB10(AIEN MARKET)ISIN #TH0465010013 SEDOL #6504643 DISCRETION EXERCISED @ 4.3252	(2,321)	\$10,030.95	\$6,874.05	\$3,156.90

Euro Pacific Capital

YN\_CEBGCSBBNIKZ\_BBBC\_2010124

RECOMMENDED VOTING GUIDE FOR THE 2014 MEETING  
NYSE SIPC



## **Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SAVAGE, IRA  
Account Number



**Euro Pacific**

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**Capital Inc.**

member  
FINRA, SIPC

TRADING *winning*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain (Loss)
10/25/13	CASH	YOU SOLD	SYNGENTA ADR EACH REP 1/5TH CHFO 10 LVI III DISCRETION EXERCISED @ \$0.09	{1}	\$33.05	\$33.05	\$33.05

10/28/13	CASH	YOU SOLD				
10/28/13	CASH	YOU SOLD	KEPPEL REIT NPV (REIT) ISIN #SG168934629 SEDOL #B1WQ5C0 DISCRETION EXERCISED @ 8.7015	(260)	\$2.255.30	\$2.255.39
10/30/13	CASH	YOU SOLD	POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CA73755L1076 SEDOL #2666980 DISCRETION EXERCISED @ 30.89	(72)	\$22.07	\$22.65
11/01/13	CASH	YOU SOLD	VENTURE CORPORATION LTD NPV ISIN #SG0531000230 SENIOL #B927374 DISCRETION EXERCISED @ 6.2093	(399)	\$2,489.45	\$2,522.25

## ACTIVITY > CORE FUND ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/10/13	CASH	YOU SOLD	PRIME FUND DAILY MONEY CLASS @ 1 AS OF 01-10-13	(1.25535)	\$1.255 35

Euro Pacific Capital

W\_CEBBCGSCBBN1KZ\_BBBC\_20140124

Accredited with National Investor Services (NIS), NYSE, NYSE  
NYSE, SIPC

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SIMON  
Account Number  
XXXXXX-XXXX-XXXX-XXXX-XXXX  
XXXXXX-XXXX-XXXX-XXXX-XXXX  
XXXXXX-XXXX-XXXX-XXXX-XXXX  
XXXXXX-XXXX-XXXX-XXXX-XXXX



ACTIVITY > CORE FUND ACTIVITY *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
02/19/13	CASH	YOU SOLD	PRIME FUND DAILY MONEY CLASS @1	(5,455.72)	\$5,455.72
02/21/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	3,164.12	(\$3,164.12)
02/22/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	1,471.22	(\$1,471.22)
02/28/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	322.8	(\$322.80)
02/28/13	CASH	REINVESTMENT	PRIME FUND DAILY MONEY CLASS REINVEST @ \$1.000	0.26	(\$0.26)
03/05/13	CASH	YOU SOLD	PRIME FUND DAILY MONEY CLASS @1	[5,793.61]	\$5,793.61
03/06/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	3,740.22	(\$3,740.22)
03/22/13	CASH	YOU SOLD	PRIME FUND DAILY MONEY CLASS @1	(6,438.96)	\$6,438.96
03/27/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	36.96	(\$36.96)
03/28/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	25.58	(\$25.58)
03/28/13	CASH	REINVESTMENT	PRIME FUND DAILY MONEY CLASS REINVEST @ \$1.000	0.26	(\$0.26)
04/04/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	251.51	(\$251.51)
04/10/13	CASH	YOU SOLD	PRIME FUND DAILY MONEY CLASS @1 AS OF 04-10-13	(1,320.91)	\$1,320.91
04/19/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	663.83	(\$663.83)
04/22/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	34.94	(\$34.94)

Euro Pacific Capital

YN CFBGCSCBBN1KZ BBBBC 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K S  IRA  
Account Number 



**Euro Pacific**  
Capital Inc. member  
FINRA, SIPC

ACTIVITY > CORE FUND ACTIVITY *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
04/26/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	172.87	(\$172.87)
04/30/13	CASH	REINVESTMENT	PRIME FUND DAILY MONEY CLASS	0.2	(\$0.20)
05/02/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	24.72	(\$24.72)
05/07/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	286.59	(\$286.59)
05/08/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	56.61	(\$56.61)
05/09/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	210.88	(\$210.88)
05/14/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	202.79	(\$202.79)
05/22/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	81.73	(\$81.73)
05/24/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	139.79	(\$139.79)
05/28/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	94.78	(\$94.78)
05/31/13	CASH	REINVESTMENT	PRIME FUND DAILY MONEY CLASS	0.22	(\$0.22)
06/04/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	278.53	(\$278.53)
06/11/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	88.92	(\$88.92)
06/25/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	1,879.76	(\$1,879.76)
06/26/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	2,500.22	(\$2,500.22)
06/27/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @1	26.77	(\$26.77)

**Euro Pacific Capital**

YN\_CEBBGCCBBBNKZ\_BBBK 20140124

Account carried with National Financial Services LLC, Atlanta,  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SI  
Account Numb

## **ACTIVITY > CORE FUND ACTIVITY** *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
06/28/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	58.76	(\$58.76)
06/28/13	CASH	REINVESTMENT	PRIME FUND DAILY MONEY CLASS @ 1 REINVEST @ \$1.000	0.22	(\$0.22)
07/01/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	45.74	\$45.74
07/08/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	64.65	(\$64.65)
07/10/13	CASH	YOU SOLD	PRIME FUND DAILY MONEY CLASS @ 1 AS OF 07-10-13	1,276.69	\$1,226.69
07/16/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	2,570.47	(\$2,570.47)
07/23/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	2,163.15	(\$2,163.15)
07/31/13	CASH	REINVESTMENT	PRIME FUND DAILY MONEY CLASS REINVEST @ \$1.000	0.27	(\$0.27)
08/02/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	10,030.95	\$10,030.95
08/07/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	20.32	(\$20.32)
08/09/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	7,326.25	(\$7,326.25)
08/13/13	CASH	YOU SOLD	PRIME FUND DAILY MONEY CLASS @ 1	(1,019.18)	\$1,019.18
08/16/13	CASH	YOU SOLD	PRIME FUND DAILY MONEY CLASS @ 1	(2,355.45)	\$2,355.45
08/28/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	247.49	(\$247.49)
08/29/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	0.16	(\$0.16)

**Euro Pacific**

member  
FINRA, SIPC

Euro Pacific Capital

VN CERBGCS SCREEN KZ RRRBC 201402

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K. VANDYKANOVSKY IRA  
Account Num: 223-375-0000



#### **ACTIVITY > CORE FUND ACTIVITY *continued***

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
08/30/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	54.55	(\$54.55)
09/1/13	CASH	REINVESTMENT	PRIME FUND DAILY MONEY CLASS REINVEST @ \$1.000	0.4	(\$0.40)
09/1/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	20.63	(\$20.63)
09/1/13	CASH	YOU SOLD	PRIME FUND DAILY MONEY CLASS @ 1	(1.523.05)	\$1,523.05
09/20/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	1.05	(\$1.05)
09/26/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	74.82	(\$74.82)
09/27/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	190.95	(\$190.95)
09/30/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	43.65	(\$43.65)
09/30/13	CASH	REINVESTMENT	PRIME FUND DAILY MONEY CLASS REINVEST @ \$1.000	0.4	(\$0.40)
10/10/13	CASH	YOU SOLD	PRIME FUND DAILY MONEY CLASS @ 1 AS OF 10-10-13	(1,289.96)	\$1,289.96
10/11/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	182.38	(\$182.38)
10/24/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	2,756.7	(\$2,756.70)
10/25/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	21,407.86	(\$21,407.86)
10/28/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	2,317.37	(\$2,317.37)
10/29/13	CASH	YOU SOLD	PRIME FUND DAILY MONEY CLASS @ 1	(9,424.11)	\$9,424.11

member  
INRA-SIPC

Euro Pacific Capital

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

## **Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K. SAWYER  
Account Number: 1234567890  
IRA

#### **ACTIVITY > CORE FUND ACTIVITY *continued***

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
10/30/13	CASH	YOU SOLD	PRIME FUND DAILY MONEY CLASS @ 1	(5,493.13)	\$5,493.13
10/31/13	CASH	REINVESTMENT	PRIME FUND DAILY MONEY CLASS REINVEST @ \$1.000	0.42	(\$0.42)
11/01/13	CASH	YOU SOLD	PRIME FUND DAILY MONEY CLASS @ 1	(7,842.26)	\$7,842.26
11/04/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	2,497.94	(\$2,497.94)
11/06/13	CASH	YOU SOLD	PRIME FUND DAILY MONEY CLASS @ 1	(5,318.97)	\$5,318.97
11/22/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	137	(\$1.37)
11/29/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	143.62	(\$143.62)
11/29/13	CASH	REINVESTMENT	PRIME FUND DAILY MONEY CLASS REINVEST @ \$1.000	0.4	(\$.40)
12/03/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	0.27	(\$.27)
12/10/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	99.53	(\$99.53)
12/16/13	CASH	YOU SOLD	PRIME FUND DAILY MONEY CLASS @ 1	(35)	\$35.00
12/24/13	CASH	YOU BOUGHT	PRIME FUND DAILY MONEY CLASS @ 1	26.77	(\$26.77)
12/31/13	CASH	REINVESTMENT	PRIME FUND DAILY MONEY CLASS REINVEST @ \$1.000	0.41	(\$.41)

Member  
FINRA, SIPC

Euro Pacific Capital

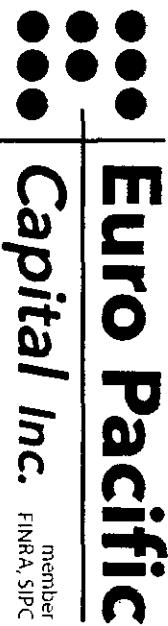
Account carried with National Financial Services LLC, Member  
NYSE, SIPC

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Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SIMON  
Account Number  
MICHAEL K SIMON

PHOEBE HOKK



ACTIVITY > ADDITIONS AND WITHDRAWALS > OTHER ADDITIONS AND WITHDRAWALS

Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain/(Loss)
<b>Other Additions and Withdrawals</b>							
02/22/13	CASH	IN LIEU OF FRX SHARE	ASCENDAS REAL ESTATE INV TRUST NPV/REAL ESTATE INVESTMENT TRUSTSISIN #SG11M77908915 SEDOL #6563875	(0 114)	\$0 24	\$0 00	\$0 00
04/03/13	CASH	MERGER	ATEA ASA SHSISIN #100004822503 SEDOL #B12TR11 MER FROM R44435106 #REOR M0050788630001	(259)	\$0 00	\$0 00	\$0 00
05/08/13	CASH	MERGER	ATEA ASA NOK10 ISIN N00004822503 SEDOL B12TR11 MER PAYOUT #REOR M0050788630000	52	\$0 00	\$0 00	\$0 00
05/14/13	CASH	DISTRIBUTION	KEPEL REIT NPV (REIT) ISIN #SGT2292874 SEDOL #B12RQH4 SPINOFF FROM(KPELF)	76 955	\$0 00	\$0 00	\$0 00
09/19/13	CASH	DISTRIBUTION	CIA ENERGETICA MINAS GERAS-CEMIG SPON ADR REPR 1 N-VTG PRF SHS(LEVEL 2)	20	\$0 00	\$0 00	\$0 00
09/20/13	CASH	IN LIEU OF FRX SHARE	KEPEL REIT NPV (REIT) ISIN #SGT2292874 SEDOL #B12RQH4 SPINOFF FROM(KPELF)	10 431	\$16 88	\$0 00	\$16 88
10/25/13	CASH	IN LIEU OF FRX SHARE	CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8				

Euro Pacific Capital

VN\_CIEBCCSCBBNLKZ\_BBBBC 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

## **Statement for the Period January 1, 2013 to December 31, 2013**

ACTIVITY > ABBLIOTNS ANB WIHBRKAVLS > OTHER ABBLIOTNS ANB WIHBRKAVLS *continuum*

Date	Account Type	Transaction	Description	Quantity	Amount	Cost	Transaction Gain (Loss)
10/25/13	CASH	IN LIEU OF FRX SHARE	SYNGENTA ADR EACH REP 1/5TH CHQ 10 LVL III	(0.547)	\$43.81	\$0.00	\$43.81

#### TOTAL ADDITIONS AND WITHDRAWALS

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
09/13/12	CASH	DIVIDEND ADJUSTMENT	SAKARI RESOURCES NPV ISIN #SGU11932563 SEDOL #B1FT4X9	1	\$22.95
09/13/12	CASH	DIVIDEND RECEIVED	SAKARI RESOURCES NPV ISIN #SGU11932563 SEDOL #B1FT4X9	1	\$28.66
12/28/12	CASH	DIVIDEND RECEIVED	PRECISION DRILLING CORPORATION COM NPV ISIN #CAT4022D3085 SEDOL #BYPH9	1	\$51.65
01/03/13	CASH	DIVIDEND RECEIVED	CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 N-VTG PFR SHS LEVEL 2]	1	\$190.37

member  
FINRA, SIPC

Euro Pacific Capital

VN\_CFBRGCSRBNIK/RBIBBC 20140124

Account carried with National Financial Services LLC Member  
NYSE SIPC

## **Statement for the Period January 1, 2013 to December 31, 2013**



## ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/14/13	CASH	DIVIDEND RECEIVED	YAMANA GOLD INC COM NPV ISIN #CA984621007 SEDOL #B2219279		\$12.82
01/15/13	CASH	DIVIDEND RECEIVED	ARC RESOURCES COM NPV ISIN #CA00208D4084 SEDOL #B6463M8		
01/17/13	CASH	DIVIDEND RECEIVED	SINGAPORE TELECOMMUNICATIONS NPV ISIN #SG1T5931496 SEDOL #802PV12		
01/23/13	CASH	DIVIDEND RECEIVED	CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 NVTG PRF SHSLEVEL 2]		
01/25/13	CASH	DIVIDEND RECEIVED	GOLDcorp INC COM NPV ISIN #CA3809564097 SEDOL #2676302		
01/31/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS DIVIDEND RECEIVED		
02/01/13	CASH	DIVIDEND RECEIVED	SKYWORTH DIGITAL Holdings HKD0.10 ISIN #BMEG8181C1001 SEDOL #6228828	\$2.29	\$2.60
02/07/13	CASH	DIVIDEND RECEIVED	POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CA7375SL0176 SEDOL #2696980	\$52.91	\$29.72
02/15/13	CASH	DIVIDEND RECEIVED	ARC RESOURCES COM NPV ISIN #CA00208D4084 SEDOL #B6463M8		

Account carried with National Financial Services LLC Member FDIC

## **Statement for the Period January 1, 2013 to December 31, 2013**



ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
02/15/13	CASH	DIVIDEND RECEIVED	CRESCENT POINT ENERGY CORP COM NPV ISIN #CAZ2576C1014 SEDOL #B67LC8W8		\$27.54
02/22/13	CASH	DIVIDEND RECEIVED	GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302		
02/26/13	CASH	DIVIDEND RECEIVED	TELEFONICA BRASIL SA SPON ADR EA RPR 1 PFD SH		
02/27/13	CASH	DIVIDEND RECEIVED	ASCENDAS REAL ESTATE INV TRUST NPV/REAL ESTATE INVESTMENT TRUST ISIN #SG1M77900915 SEDOL #6563875		
02/28/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS DIVIDEND RECEIVED	\$52.10	\$330.02
02/28/13	CASH	DIVIDEND RECEIVED	CES RETAIL PPTY TR STAPLED SECURITY ISIN #AU0000000CFX0 SEDOL #6561370	\$0.99	\$0.99
02/28/13	CASH	DIVIDEND RECEIVED	CES RETAIL PPTY TR STAPLED SECURITY ISIN #AU0000000CFX0 SEDOL #6561370	\$1.71	\$1.71
02/28/13	CASH	DIVIDEND RECEIVED	CES RETAIL PPTY TR STAPLED SECURITY ISIN #AU0000000CFX0 SEDOL #6561370	\$112.01	\$112.01
02/28/13	CASH	DIVIDEND RECEIVED	CES RETAIL PPTY TR STAPLED SECURITY ISIN #AU0000000CFX0 SEDOL #6561370	\$194.06	\$194.06

Euro Pacific Capital

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Account carried with National Financial Services LLC. Member NYSE, SIPC

## **Statement for the Period January 1, 2013 to December 31, 2013**



ACTIVITY > INCOME *continued*

<b>Settlement Date</b>	<b>Account Type</b>	<b>Transaction</b>	<b>Description</b>	<b>Quantity</b>	<b>Amount</b>
03/12/13	CASH	DIVIDEND RECEIVED	CIA ENERGETICA MINAS GERAIS-CEMG SPONADUR REPR 1 NAVTG PRF SHSLEVEL 2)		\$24.94
03/15/13	CASH	DIVIDEND RECEIVED	CIA ENERGETICA MINAS GERAIS-CEMG SPONADUR REPR 1 NAVTG PRF SHSLEVEL 2)		\$24.94
03/15/13	CASH	DIVIDEND RECEIVED	ARC RESOURCES COMM NPV ISIN #CA0020804084 SEDOL #B6463M8		\$25.44
03/15/13	CASH	DIVIDEND RECEIVED	BARRICK GOLD CORP COMM NPV ISIN #CA0679011084 SEDOL #2024644		\$58.00
03/15/13	CASH	DIVIDEND RECEIVED	CRESCENT POINT ENERGY CORP COMM NPV ISIN #CA22576C1014 SEDOL #B67CBW8		\$27.34
03/15/13	CASH	DIVIDEND RECEIVED	PRECISION DRILLING CORPORATION COMM NPV ISIN #CA7402203085 SEDOL #BSYPLH9		\$50.68
03/22/13	CASH	DIVIDEND RECEIVED	GOLDcorp INC COMM NPV ISIN #CA3809564097 SEDOL #2676302	\$11.51	
03/27/13	CASH	DIVIDEND RECEIVED	ASAHI GROUP HOLDINGS COMM NPV ISIN #JP311600005 SEDOL #6054409	\$39.80	
03/28/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS DIVIDEND RECEIVED	\$0.26	
03/28/13	CASH	DIVIDEND RECEIVED	BHP BILLION LIMITED ADR EACH REP 2 000 NPV(MGT)	\$32.29	

Euro Pacific Capital

VN\_CFBGCGSCBBN1KZ\_BBBC; 20140124

Account carried with National Financial Services LLC, Member,  
NYSE, SIPC

**Statement for the period January 1, 2013 to December 31, 2013**

MICHAEL K. [REDACTED] IRA  
MICHAEL K. [REDACTED] IRA  
Account Number [REDACTED]



ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
03/28/13	CASH	DIVIDEND RECEIVED	ROYAL DUTCH SHELL ADR EA REP 2 CL A EUR 0.07		\$30.10
04/04/13	CASH	DIVIDEND RECEIVED	ORIGIN ENERGY LTD NPY ISIN #AU000000ORG5 SEDOL #6214861		
04/11/13	CASH	DIVIDEND RECEIVED	YAMANA GOLD INC COM NPY ISIN #CA98462Y1007 SEDOL #2219279		
04/15/13	CASH	DIVIDEND RECEIVED	ARC RESOURCES COM NPY ISIN #CA00208D4084 SEDOL #B6483M8	S251.51	
04/15/13	CASH	DIVIDEND RECEIVED	CRESCENT POINT ENERGY CORP COM NPY ISIN #CA22576C1014 SEDOL #B67C8NW8		
04/16/13	CASH	DIVIDEND RECEIVED	NEWCRST MINING NPY ISIN #AU000000NCW7 SEDOL #6637101	S27.48	
04/19/13	CASH	DIVIDEND RECEIVED	FORTUM OYJ EUR 3.40 ISIN #F0009007132 SEDOL #5579550	S34.94	
04/26/13	CASH	DIVIDEND RECEIVED	WOOLWORTHS LIMITED NPY ISIN #AU000000WOW2 SEDOL #6981239	S760.98	
04/26/13	CASH	DIVIDEND RECEIVED	GOLDCORP INC COM NPY ISIN #CA3800564097 SEDOL #2576302	S172.87	
04/30/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS DIVIDEND RECEIVED	S0.20	
05/02/13	CASH	DIVIDEND RECEIVED	MAJOR DRILLING INC COM NPY ISIN #CA5600901031 SEDOL #2557515	S24.72	

Euro Pacific Capital

YN\_CFBRGSCBBN1KZ\_BBBC.20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

## **Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K. SANDOZ, JR., VISA CARDholder, IRA  
Account Number 12345678901234567890

MEMPHIS HOXE HEXP HEXP  
GOV. V. C. HOXE HEXP HEXP  
GOKVANGKVALUKV  
HOKLUX HOKLUX HOKLUX

ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
05/02/13	CASH	DIVIDEND RECEIVED	POTASH CORP OF SASKATCHEWAN COM NPV/ISIN #CA7375511076 SEDOL #B696980		
05/03/13	CASH	DIVIDEND RECEIVED	ELECTRICITY GENERATING PUBLIC CO THB10(ALLEN MARKET) ISIN #TH0465010013 SEDOL #B1V05C0	\$318.43	\$70.90
05/08/13	CASH	DIVIDEND RECEIVED	KEPPEL CORP NPV/ISIN #SG1U68934629 SEDOL #B1V05C0	\$56.61	
05/09/13	CASH	DIVIDEND RECEIVED	METRO AG ORD NPV/ISIN #DE0007257503 SEDOL #5041413		
05/14/13	CASH	DIVIDEND RECEIVED	ATEA ASA SHS/ISIN #N00004822503 SEDOL #B12IR11	\$288.42	
05/14/13	CASH	DIVIDEND RECEIVED	GOLDEN AGR RESOURCES USD0.025 ISIN #MU0117U00026 SEDOL #6164472	\$243.11	
05/15/13	CASH	DIVIDEND RECEIVED	VENTURE CORPORATION LTD NPV/ISIN #SG0531000230 SEDOL #6927374	\$68.24	
05/15/13	CASH	DIVIDEND RECEIVED	ARC RESOURCES COM NPV/ISIN #CA00020804084 SEDOL #B6663M8	\$148.78	
05/15/13	CASH	DIVIDEND RECEIVED	CRESCENT POINT ENERGY CORP COM NPV/ISIN #CA22576C1014 SEDOL #B67CAW8	\$29.71	
05/15/13	CASH	DIVIDEND RECEIVED	PRECISION DRILLING CORPORATION COM NPV/ISIN #CA7402203085 SEDOL #B5YPLH9	\$51.04	

**Euro Pacific**  
Capital Inc.

member  
FINRA, SIPC

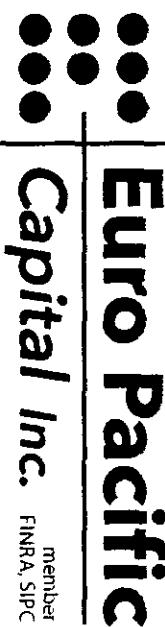
Euro Pacific Capital

W\_CBBG;CSBBBNLKZ\_BBBkC\_20140124

Account carried with National Financial Services LLC, New York,  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K S ~~REKAVAN VANDERVATEN~~ IRA  
Account Number ~~REKAVAN VANDERVATEN~~  
~~NOKO2REKKEHOKDINGA~~



**ACTIVITY > INCOME *continued***

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
05/22/13	CASH	DIVIDEND RECEIVED	THAI BEVERAGE PUBLIC COMPANY LTD THB1 ISIN #TH0902010014 SEDOL #B15664		\$50.81
05/24/13	CASH	DIVIDEND RECEIVED	GOLDCORP INC COM NNPV ISIN #CA3809564097 SEDOL #2676302		\$11.55
05/24/13	CASH	DIVIDEND RECEIVED	NESTLE SA SPON ADR EACH REP#1 COM CHF0.10 REGD		\$166.59
05/28/13	CASH	DIVIDEND RECEIVED	VARTA INTERNATIONAL ASA NOK1.7 ISIN #N00010208051 SEDOL #7751259		\$111.51
05/30/13	CASH	DIVIDEND RECEIVED	TELENR ASA ORD NOK6 ISIN #N00010063308 SEDOL #4732495		\$506.73
05/31/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS DIVIDEND RECEIVED		\$0.22
06/04/13	CASH	DIVIDEND RECEIVED	MARINE HARVEST ASA NOK1.75 ISIN #N000103054108 SEDOL #B021486		\$116.48
06/04/13	CASH	DIVIDEND RECEIVED	LEROI SEAFOOD GROUP NOK1.00 ISIN #N000103098208 SEDOL #4691916		\$211.20
06/05/13	CASH	DIVIDEND RECEIVED	STATOIL ASA SPON ADR EACH REP#1 ORD NOK2.50 IVL111		\$115.04
06/17/13	CASH	DIVIDEND RECEIVED	KIWI INCOME PROPERTY TRUST UNITS ISIN #NZKIPED001S5 SEDOL #649572		\$6.48

**Euro Pacific Capital**

VN CFBCCCSCBBBN1KZ BBBBC 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMONSON / AMY J VANDERKAM / RAY  
Account Number: 100-000000000000000000

MEOKVADUKVATUQKVK  
MEOKVADUKVATUQKVK  
MEOKVADUKVATUQKVK

The logo for Euro Pacific Capital Inc. consists of a vertical rectangle divided into two horizontal sections by a thin white line. The top section contains four solid black circles arranged vertically. The bottom section contains the company name "Euro Pacific Capital Inc." in a bold, black, sans-serif font. Below the company name, the word "FINRA" is written in a smaller, all-caps font, followed by "member" in a smaller, italicized font, and "SIPC" in a smaller, all-caps font.

## ACTIVITY > INCOME *without*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
06/17/13	CASH	DIVIDEND RECEIVED	KIWI INCOME PROPERTY TRUST UNITS ISIN #NZKPIE0001SS SEDOL #6495172	\$36.72	
06/17/13	CASH	DIVIDEND RECEIVED	KIWI INCOME PROPERTY TRUST UNITS ISIN #NZKPIE0001SS SEDOL #6495172	\$52.20	
06/17/13	CASH	DIVIDEND RECEIVED	ARC RESOURCES COM NPV ISIN #CA00208D4084 SEDOL #B6463M8; DIV AMT = \$29.86, REPORTABLE INCOME = MARKET VALUE OF REINVESTED SHARES	\$31.43	
06/17/13	CASH	DIVIDEND RECEIVED	BARRICK GOLD CORP COM NPV ISIN #CA0699011084 SEDOL #2024644	\$56.40	
06/17/13	CASH	DIVIDEND RECEIVED	CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67CSW8	\$27.93	
06/17/13	CASH	DIVIDEND RECEIVED	SYNGENTA ADR EACH REP 1/5TH CH010 LVL III	\$68.55	
06/20/13	CASH	DIVIDEND RECEIVED	FRED OLSEN ENERGY ASA NOK20 ISIN #N00003089005 SEDOL #5344101	\$95.15	
06/20/13	CASH	DIVIDEND RECEIVED	FRED OLSEN ENERGY ASA NOK20 ISIN #N00003089005 SEDOL #5344101	\$95.15	
06/24/13	CASH	DIVIDEND RECEIVED	ITOCHU CORP NPV ISIN #JP3143600009 SEDOL #6467803	\$80.50	

Account carried with National Financial Services LLC, Member FDIC

Euro Pacific Capital

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SCHAFFNER, CFP®  
Account Number: 10000000000000000000  
INVESTMENT ADVISOR  
INVESTMENT ADVISOR



**ACTIVITY > INCOME *united***

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
06/26/13	CASH	DIVIDEND RECEIVED	CHINA MOBILE LTD HK010 ISIN #HK0941009559 SEDOL #6073556		\$50.62
06/27/13	CASH	DIVIDEND RECEIVED	ROYAL DUTCH SHELL ADR EA REP 2 CLA/EURO007		\$31.50
06/28/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS DIVIDEND RECEIVED		\$0.22
06/28/13	CASH	DIVIDEND RECEIVED	ISUZU MOTORS NPY ISIN #JP3137200006 SEDOL #6467104		\$63.28
06/28/13	CASH	DIVIDEND RECEIVED	JGC CORP NPY ISIN #JP3667600005 SEDOL #6473468		\$74.66
06/28/13	CASH	DIVIDEND RECEIVED	CHINA BLUECHEMICAL LIMITED H CNY1 ISIN #CNE10000200 SEDOL #B1DNX6		\$71.83
06/28/13	CASH	DIVIDEND RECEIVED	GOLDCORP INC COM NPY ISIN #CA3809564097 SEDOL #2976302		\$11.57
07/05/13	CASH	DIVIDEND RECEIVED	CIA ENERGETICA MINAS GERAIS-CEMIG SPO/N ADR REPR 1 NAV/TG PRF SHS/LEVEL 2		\$45.40
07/05/13	CASH	DIVIDEND RECEIVED	CIA ENERGETICA MINAS GERAIS-CEMIG SPO/N ADR REPR 1 NAV/TG PRF SHS/LEVEL 2		\$192.40
07/12/13	CASH	DIVIDEND RECEIVED	YAMANA GOLD INC COM NPY ISIN #CA98462Y1007 SEDOL #2219279		\$12.93

**Euro Pacific Capital**

YNN\_CEBGCCSBBNKZ\_BBBC\_20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

**Statement for the Revised Alabama 1-Way  
January 1, 2013 to December 31, 2013**

MICHAEL K SIEGEL  
Account Number: 12345678901234567890

## ACTIVITY > INCOME *continued*

**Euro Pacific**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
07/15/13	CASH	DIVIDEND RECEIVED	ARC RESOURCES COM NPV ISIN #CA00208D4084 SEDOL #B646SM8 DIV AMT = \$29.26; REPORTABLE INCOME = MARKET VALUE OF REINVESTED SHARES	\$50.80	
07/25/13	CASH	DIVIDEND RECEIVED	CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	\$27.43	
07/26/13	CASH	DIVIDEND RECEIVED	CIA ENERGETICA MINAS GERAIS CEMIG SPOV ADR REPR 1 NVIG PRF SHS LEVEL 2)	\$78.41	
07/31/13	CASH	DIVIDEND RECEIVED	GOLDCORP INC COM NPV ISIN #CA3808564097 SEDOL #A676302	\$11.59	
08/02/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS DIVIDEND RECEIVED	\$0.27	
08/02/13	CASH	DIVIDEND RECEIVED	CHINA SHENHUA ENERGY COMPANY LTD HCHNY1 ISIN #CNET00000R0 SEDOL #B09N7M0	\$77.13	
08/02/13	CASH	DIVIDEND RECEIVED	POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CAT75510176 SEDOL #2936980	\$89.21	
08/07/13	CASH	DIVIDEND RECEIVED	KEPPEL CORP NPV ISIN #SGU68834629 SEDOL #B1VQ5C0	\$20.32	

Euro Pacific Capital

VN CERBGCSCLBBNLKZ BBBBC 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SMITH  
Account Number  
XXXXXX-XXXX-XXXX-XXXX  
XXXXXX-XXXX-XXXX-XXXX  
XXXXXX-XXXX-XXXX-XXXX  
XXXXXX-XXXX-XXXX-XXXX



**Euro Pacific**  
Capital Inc. member  
FINRA, SIPC

ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
08/15/13	CASH	DIVIDEND RECEIVED	ARC RESOURCES COM NPV ISIN #CA0020814084 SEDOL #B6463M8. DIV AMT = \$29.58 REPORTABLE INCOME = MARKET VALUE OF REINVESTED SHARES	\$31.14	
08/15/13	CASH	DIVIDEND RECEIVED	CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B671CW8		\$27.80
08/15/13	CASH	DIVIDEND RECEIVED	PRECISION DRILLING CORPORATION COM NPV ISIN #CA74022D3085 SEDOL #B5YPLH9		\$50.56
08/23/13	CASH	DIVIDEND RECEIVED	SINGAPORE TELECOMMUNICATIONS NPV ISIN #SG1175931496 SEDOL #B02PV22		\$116.41
08/28/13	CASH	DIVIDEND RECEIVED	CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6361370		\$0.70
08/28/13	CASH	DIVIDEND RECEIVED	CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6361370		\$112.41
08/28/13	CASH	DIVIDEND RECEIVED	CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6361370		\$155.45

**Euro Pacific Capital**

Account carried with National Financial Services LLC Member  
NYSE, SIPC

VN CFBK/CSCBBBN1NZ BBBBC 20140124

**Statement for the Period January 1, 2013 to December 31, 2013**

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## ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
08/28/13	CASH	DIVIDEND RECEIVED	KEPPEL REIT NPV (REIT) ISIN #SG1722929874 SEDOL #B12RQH4		\$0 22
08/30/13	CASH	DIVIDEND RECEIVED	KEPPEL REIT NPV (REIT) ISIN #SG1722929874 SEDOL #B12RQH4		\$0 41
08/30/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS DIVIDEND RECEIVED		\$0 40
08/30/13	CASH	DIVIDEND RECEIVED	ASAHI GROUP HOLDINGS NPV ISIN #JP3116000005 SEDOL #605409		\$58 75
08/30/13	CASH	DIVIDEND RECEIVED	GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302		\$11 6
09/11/13	CASH	DIVIDEND RECEIVED	THAI BEVERAGE PUBLIC COMPANY LTD TH01 ISIN #TH092010014 SEDOL #B15F604		\$22 92
09/16/13	CASH	DIVIDEND RECEIVED	ARC RESOURCES COM NPV ISIN #CA0020804084 SEDOL #B6463M8, DIV AMT = \$29.80; REPORTABLE INCOME = MARKET VALUE OF REINVESTED SHARES		\$31 31
09/16/13	CASH	DIVIDEND RECEIVED	BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #2024644		\$14 71
09/16/13	CASH	DIVIDEND RECEIVED	CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8		\$28 01
09/16/13	CASH	DIVIDEND RECEIVED	KEPPEL REIT NPV (REIT) ISIN #SG1722929874 SEDOL #B12RQH4		\$0 00

Account carried with National Financial Services, LLC, Member  
NYSE SIPC

Euro Pacific Capital

YN\_CEBBGCSCBBN1KZ\_BBBBC, 20140124

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMONE  
Account Number: 12345678901234567890

**Euro Pacific**

ACTIVITY > INCOME *continues*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
					\$0 16
09/25/13	CASH	DIVIDEND RECEIVED	BHP BILLITON LIMITED ADR EACH REP 2 ORD NPV(MGT)		\$33.98
09/26/13	CASH	DIVIDEND RECEIVED	MARINE HARVEST ASA NOKO 75 ISIN #N00003054108 SEDOL #B02L486		\$56.53
09/26/13	CASH	DIVIDEND RECEIVED	ROYAL DUTCH SHELL ADR EA REP 2 CLA EURO 07		\$31.50
09/27/13	CASH	DIVIDEND RECEIVED	ORIGIN ENERGY LTD NPV ISIN #AU000000ORG5 SEDOL #6214861		\$24.65
09/27/13	CASH	DIVIDEND RECEIVED	GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302		\$11.63
09/30/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS DIVIDEND RECEIVED	\$0.40	\$0.40
09/30/13	CASH	DIVIDEND RECEIVED	CHINA MOBILE LTD HKD0.10 ISIN #HK0941009539 SEDOL #6073556	\$48.50	\$27.64
10/11/13	CASH	DIVIDEND RECEIVED	WOOLWORTHS LIMITED NPV ISIN #AU000000WOW2 SEDOL #6981239	\$1.82	\$1.82
10/11/13	CASH	DIVIDEND RECEIVED	YAMANA GOLD INC COM NPV ISIN #CA98462Y1007 SEDOL #2219279		

Euro Pacific Capital

VN\_CFBGCSRRBNIKZ\_R3B3C'0140124

Account carried with National Financial Services LLC. Member  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**

## ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
10/15/13	CASH	DIVIDEND RECEIVED	ARC RESOURCES COM NPV ISIN #CA0020BD4084 SEDOL #B6463MB. DIV AMT = \$29.72. REPORTABLE INCOME = MARKET VALUE OF REINVESTED SHARES		\$31.28
10/25/13	CASH	DIVIDEND RECEIVED	CRESCENT POINT ENERGY CORP COM NPV ISIN #A22576C1014 SEDOL #B67C8WB		\$28.03
10/31/13	CASH	DIVIDEND RECEIVED	GOLDCORP INC COM NPV ISIN #CA3609564097 SEDOL #2676302		\$11.65
11/01/13	CASH	DIVIDEND RECEIVED	PRIME FUND DAILY MONEY CLASS DIVIDEND RECEIVED		\$0.42
11/05/13	CASH	DIVIDEND RECEIVED	MAJOR DRILLING INC COM NPV ISIN #CA5609091031 SEDOL #2557515		\$23.83
11/05/13	CASH	DIVIDEND RECEIVED	POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CA73755L1076 SEDOL #269698U		\$1.37
11/07/13	CASH	DIVIDEND RECEIVED	ARC ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 N-VTG PRF SHS LEVEL 2)		\$232.12
11/07/13	CASH	DIVIDEND RECEIVED	ARC RESOURCES COM NPV ISIN #CA0020BD4084 SEDOL #B6463MB. DIV AMT = \$29.62. REPORTABLE INCOME = MARKET VALUE OF REINVESTED SHARES		\$31.18

**Euro Pacific**  
**Capital Inc.**

Euro Pacific Capital

YX\_CEBBCGSCBBN1.K\_13BRC 20140126

Account carried with National Financial Services LLC, Member  
NYSE, SIPC



**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMONE  
Account Number: 1234567890

Избранные сочинения

**Euro Pacific**  
Capital Inc.

ACTIVITY > INCOME *commer*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/04/13	CASH	DIVIDEND RECEIVED	TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH		\$94.55
12/04/13	CASH	DIVIDEND RECEIVED	TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH		\$94.55
12/10/13	CASH	DIVIDEND RECEIVED	TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH		\$255.39
12/16/13	CASH	DIVIDEND RECEIVED	KIWI INCOME PROPERTY TRUST UNITS ISIN #NKZKIPED001 SS5 SEDOL #6495172		\$69.53
12/16/13	CASH	DIVIDEND RECEIVED	ARC RESOURCES COM NPV ISIN #CA00208D4084 SEDOL #B6465M8 DIV AMT = \$29.39, REPORTABLE INCOME = MARKET VALUE OF REINVESTED SHARES		\$30.94
12/16/13	CASH	DIVIDEND RECEIVED	BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #2024644		\$19.05
12/19/13	CASH	DIVIDEND RECEIVED	GOLDcorp INC COM NPV ISIN #CA3809564097 SEDOL #2676302		\$31.50
12/24/13	CASH	DIVIDEND RECEIVED	ROYAL DUTCH SHELL ADR EA REP 2 CLA EURO 07		\$105.65

Account carried with National Industrial Services LLC, Memphis.

**Statement for the Period January 1, 2013 to December 31, 2013**



ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/27/13	CASH	DIVIDEND RECEIVED	CIA ENERGETICA MINAS GERAL-CEMIG SPON ADR REPR 1 N-VTG PFR SSISLEVEL 2)		\$170,03

09/28/13	CASH
11/28/13	CASH

ACTIVITY > TAXES, FEES AND EXPENSES					
Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/10/13	CASH	PORTFOLIO SRVCS. FEE	MANAGED ACCOUNT FEE		(\$1,255.35)
02/26/13	CASH	FEES PAID	TELEFONICA BRASIL SA SPON ADR EA REPR1 PFD SH		(\$8.56)

## ACTIVITY > TAXES, FEES AND EXPENSES

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
<b>Account Fees</b>					
01/10/13	CASH	PORTFOLIO SRVCS. FEE	MANAGED ACCOUNT FEE		(\$1,255.35)
02/26/13	CASH	FEES PAID	TELEFONICA BRASIL SA SPON ADR EA REPR1 PFD SH		(\$8.56)

Euro Pacific Capital

YNTCEBBGCSBBN1KZ BBC.20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

## **Statement for the period January 1, 2013 to December 31, 2013**

MICHAEL K S JRA  
MICHAEL K S JRA  
Account Number



## ACTIVITY > TAXES, FEES AND EXPENSES *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
04/10/13	CASH	PORTFOLIO SRVCS FEE	MANAGED ACCOUNT FEE		(\\$1,320.91)
05/14/13	CASH	FEE PAID			
05/24/13	CASH	Fee Paid	CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 N-VTG PRF SHS(LEVEL 2)		(\\$3.85)
06/05/13	CASH	Fee Paid	NESTLE SA SPON ADR EACH REPR 1 COM CHFO 10 REGD		(\\$1.81)
06/17/13	CASH	Fee Paid	STATOIL ASA SPON ADR EACH REPR 1 ORD NOK2.50 LVL11		(\\$0.50)
07/05/13	CASH	Fee Paid	SYNGENTA ADR EACH REPR 1 CHFO 10 LVL III		(\\$0.55)
07/10/13	CASH	Portfolio Svcs Fee	CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 N-VTG PRF SHS(LEVEL 2)		(\\$11.97)
10/10/13	CASH	Portfolio Svcs Fee	MANAGED ACCOUNT FEE		(\\$1,226.69)
12/04/13	CASH	Fee Paid	TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH		(\\$1,289.96)
12/16/13	CASH	Retirement Fee Chgd	IRA - FEE CHARGED		(\\$8.80)
<b>Total Account Fees</b>					<b>(\\$5,164.25)</b>
<b>Foreign Tax Paid</b>					
02/27/13	CASH	FOREIGN TAX PAID	ASCENDAS REAL ESTATE INV TRUST NPVREAL ESTATE INVESTMENT TRUST ISIN #SG1MW7906915 SEDOL #6563875		(\\$8.86)

Euro Pacific Capital

Account backed with National Financial Services LLC, Milwaukee.

#656387

02/27/13 CASH FOREIGN TAX PAID

[S888]

ASCENDAS REAL ESTATE INV TRUST  
NPV(REAL ESTATE INVESTMENT  
TRUSTS) ISIN #SG1M77906915 SEDOL

## **Statement for the Period January 1, 2013 to December 31, 2013**



## ACTIVITY > TAXES, FEES AND EXPENSES *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
02/28/13	CASH	FOREIGN TAX PAID	CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFO SEDOL #B361370	{SQ.10}	(\$29.11)
03/12/13	CASH	FOREIGN TAX PAID	CIA ENERGETICA MINAS GERAIS-GEMIG SPON ADR REPR 1 N-VTG PRF SHS(LEVEL 2)	(SS2.14)	(\$32.14)
03/27/13	CASH	FOREIGN TAX PAID	ASAHI GROUP HOLDGS NPV ISIN #JP3116000005 SEDOL #6054409	(S2.52)	(\$2.52)
03/28/13	CASH	FOREIGN TAX PAID	ROYAL DUTCH SHELL ADR EA REP2 CLA EUR0.07	(S17.15)	(\$17.15)
04/19/13	CASH	FOREIGN TAX PAID	FORTUM OYJ EUR3.40 ISIN #FI0009007132 SEDOL #579550	(S17.15)	(\$17.15)
05/03/13	CASH	FOREIGN TAX PAID	ELECTRICITY GENERATING PUBLIC CO THB10(ALIEN MARKET) ISIN #TH0465010013 SEDOL #6304643	(S31.84)	(\$31.84)
05/09/13	CASH	FOREIGN TAX PAID	METRO AG ORD NPV ISIN #DE0007257503 SEDOL #5041413	(S75.54)	(\$75.54)
05/14/13	CASH	FOREIGN TAX PAID	ATEA ASA SHS ISIN #N00004822503 SEDOL #B12TR1	(S36.47)	(\$36.47)

The logo for Euro Pacific Capital Inc. consists of three solid black circles arranged vertically above the company name "Euro Pacific Capital Inc.". The word "Inc." is in a smaller font size than "Euro Pacific". Below the company name, the text "FINRA, SIPC" is written in a small, sans-serif font.

Euro Pacific Capital

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

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Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K S AND YVONNE VAN VLIET, IRA  
Account Number: 10000000000000000000  
NOKO NOKO NOKO NOKO NOKO NOKO  
NOKO NOKO NOKO NOKO NOKO NOKO



**Euro Pacific**  
Capital Inc. member  
FINRA, SIPC

ACTIVITY > TAXES, FEES AND EXPENSES *(continued)*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
05/22/13	CASH	FOREIGN TAX PAID	THAI BEVERAGE PUBLIC COMPANY LTD THB1 ISIN #THB002010014 SEDOL #B15F664		(\$9.08)
05/24/13	CASH	FOREIGN TAX PAID	NESTLE SA SPON ADR EACH REPRI 1 COM CHF0.10 REGD		(\$34.99)
05/28/13	CASH	FOREIGN TAX PAID	YARA INTERNATIONAL ASA NOK1.7 ISIN #N00010020801 SEDOL #7511259		(\$16.73)
05/30/13	CASH	FOREIGN TAX PAID	TELENOR ASA ORD NOK6 ISIN #N00010063308 SEDOL #4732495		(\$76.31)
06/04/13	CASH	FOREIGN TAX PAID	MARINE HARVEST ASA NOK 75 ISIN #N0003054108 SEDOL #B021486		(\$17.47)
06/04/13	CASH	FOREIGN TAX PAID	LEROY SEAFOOD GROUP NOK1.00 ISIN #N0003096208 SEDOL #4691916		(\$31.68)
06/05/13	CASH	FOREIGN TAX PAID	STATOIL ASA SPON ADR EACH REP1 ORD NOK2.50 LVL111		(\$17.26)
06/17/13	CASH	FOREIGN TAX PAID	KIWI INCOME PROPERTY TRUST UNITS ISIN #NZKIPF0001SS SEDOL #6495172		(\$0.97)
06/17/13	CASH	FOREIGN TAX PAID	KIWI INCOME PROPERTY TRUST UNITS ISIN #NZKIPF0001SS SEDOL #6495172		(\$5.51)
06/17/13	CASH	FOREIGN TAX PAID	SYNGENTA ADR EACH REP 1/5TH CHF0.10 LVL III		(\$10.28)

**Euro Pacific Capital**

VN\_CEBBGCCBBBNKZ\_BBBBC 20140124

Account carried with National Financial Services LLC, Atlanta,  
NYSE, SIPC

## **Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL RASKIN/RYAN RASKIN Select IRA  
Account No. 1234567890



## ACTIVITY > TAXES, FEES AND EXPENSES *continued*

Settlement Date	Account Type	Description	Quantity	Amount
06/20/13	CASH	FOREIGN TAX PAID		(\$14.27)
06/24/13	CASH	FOREIGN TAX PAID	FRED OLSEN ENERGY ASA NOK20 ISIN #N00003089005 SEDOL #5344101	
06/26/13	CASH	FOREIGN TAX PAID	ITOCHU CORP NPV ISIN #JP3143600009 SEDOL #6467803	
06/27/13	CASH	FOREIGN TAX PAID	CHINA MOBILE LTD HKD0.10 ISIN #HK0941005539 SEDOL #6073556	(\$5.08)
06/28/13	CASH	FOREIGN TAX PAID	ROYAL DUTCH SHELL ADR EA REP 2 CL A EURO 07	
06/28/13	CASH	FOREIGN TAX PAID	ISUZU MOTORS NEW ISIN #JP3137200006 SEDOL #6467104	(\$4.52)
06/28/13	CASH	FOREIGN TAX PAID	JGC CORP NPV ISIN #JP3667600005 SEDOL #6473468	(\$5.34)
06/28/13	CASH	FOREIGN TAX PAID	CHINA BLUE CHEMICAL LIMITED H CNY1 ISIN #CNE1000002200 SEDOL #61043X6	(\$7.18)
07/05/13	CASH	FOREIGN TAX PAID	CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 N-VTG PRF SHS(LEVEL 2)	(\$6.81)
08/02/13	CASH	FOREIGN TAX PAID	CHINA SHENHUA ENERGY COMPANY LTD H CNY1 ISIN #CNE1000002200 SEDOL #B09N7M0	(\$7.71)

 **Euro Pacific**

member  
FINRA, SIPC

Euro Pacific Capital

Account carried with National Financial Services LLC, Member  
NYSE SIPC

YNTCEBGGCSBBNLKZBBC 20140134

## **Statement for the Period January 1, 2013 to December 31, 2013**

НОВАЯ ОКУАНГ КВАНГ КВА  
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ACTIVITY > TAXES, FEES AND EXPENSES *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
08/28/13	CASH	FOREIGN TAX PAID	CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6361370		(S07)
08/28/13	CASH	FOREIGN TAX PAID	KEPPEL RET NPV/REIT ISIN #SG1T22929874 SEDOL #B12RQH4		(S23.32)
08/30/13	CASH	FOREIGN TAX PAID	ASAHI GROUP HLDGS NPV ISIN #JP3116000005 SEDOL #6054409		(S07)
09/11/13	CASH	FOREIGN TAX PAID	THAI BEVERAGE PUBLIC COMPANY LTD THB ISIN #TH090201014 SEDOL #B15F664		(S4.20)
09/20/13	CASH	FOREIGN TAX PAID	KEPPEL RET NPV/REIT ISIN #SG1T22929874 SEDOL #B12RQH4		(S2.29)
09/26/13	CASH	FOREIGN TAX PAID	MARINE HARVEST ASA NOKO.75 ISIN #NU000054108 SEDOL #B021486		(S0.03)
09/26/13	CASH	FOREIGN TAX PAID	ROYAL DUTCH SHELL ADR EA REP 2 CL A EUR0.07		(S4.48)
09/27/13	CASH	FOREIGN TAX PAID	ORIGIN ENERGY LTD NPV ISIN #AU0000000RG5 SEDOL #6214861		(S3.70)
09/30/13	CASH	FOREIGN TAX PAID	CHINA MOBILE LTD HKD0.10 ISIN #HK0941009539 SEDOL #B072556		(S4.85)

Account carried with National Financial Services LLC, Member FDIC

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIM  
Account Number  
XXXXXX-XXXX-XXXX-XXXX-XXXX  
XXXX-XXXX-XXXX-XXXX-XXXX  
XXXX-XXXX-XXXX-XXXX-XXXX  
XXXX-XXXX-XXXX-XXXX-XXXX



**Euro Pacific**  
Capital Inc. member  
FINRA, SIPC

**ACTIVITY > TAXES, FEES AND EXPENSES *(united)***

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/07/13	CASH	FOREIGN TAX PAID	CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 NVTG PRF SHS(LEVEL 2)		(\$34.82)
11/22/13	CASH	FOREIGN TAX PAID	ATEA ASA NOK10 ISIN #N0004822503 SEOL #B12TR1		(\$25.35)
11/29/13	CASH	FOREIGN TAX PAID	KEPPEL REIT NPV (REIT) ISIN #SG1122929874 SEOL #B12RQH4		
12/02/13	CASH	FOREIGN TAX PAID	ISUZU MOTORS NPV ISIN #JP3137200006 SEOL #6467104		
12/04/13	CASH	FOREIGN TAX PAID	ITOCHU CORP NPV ISIN #JP3143800009 SEOL #6467803		
12/04/13	CASH	FOREIGN TAX PAID	TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH		
12/04/13	CASH	FOREIGN TAX PAID	TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH		
12/24/13	CASH	FOREIGN TAX PAID	ROYAL DUTCH SHELL ADR EA REPR 2 CLA EURO 07		
12/27/13	CASH	FOREIGN TAX PAID	CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 NVTG PRF SHS(LEVEL 2)		
<b>Total Foreign Tax Paid</b>					<b>(\$832.68)</b>

**Euro Pacific Capital**

VN CFBGCCSBBBNKZ BBBBC 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**

**MICHAEL K SIEGMUND-KVARNBERG, CFA  
Account Number: 100009007152**

**MAURICE VAN HOUTE, CFA  
Account Number: 100009007152**



member  
FINRA, SIPC

**REALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > EQUITIES *continued***

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost	Gain (Loss)
FORTUNE OYJ EUR340 ISIN #FI0009007152 SEDOL #5579550	10/22/13	1/10/12	FOUCF	\$22.9446	121	\$2,769.18	\$2,281.35	\$487.83
CHINA BLUECHEMICAL LIMITED H CNY1 ISIN ACNE100000200 SEDOL #B1D1N3X6	06/21/13	08/24/11	CBLUF	\$0.577	2,990	\$1,718.00	\$2,077.25	(\$359.25)
ELECTRICITY GENERATING PUBLIC CO THB10 ALLEN MARKET1 ISIN #TH0465010013 SEDOL #6304643	07/30/13	02/07/12	EYGPFF	\$4.3252	2,321	\$10,030.95	\$6,874.05	\$3,156.90
ELECTRICITY GENERATING PUBLIC CO THB10 ALLEN MARKET1 ISIN #TH0465010013 SEDOL #6304643	07/11/13	02/07/12	EYGPFF	\$4.3764	589	\$2,570.47	\$1,744.43	\$826.04
KEPPEL CORP NPV ISIN *SG1068934629 SEDOL #B1V05C0	10/23/13	07/15/11	KPELF	\$8.7015	260	\$2,255.30	\$2,299.99	(\$44.69)
STX OSV Holdings LTD NPV ISIN *SG2C479B39B3 SEDOL #B5V1015	03/01/13	05/06/11	SXDEF	\$1.0184	3,680	\$3,740.22	\$3,372.39	\$367.83
THAI BEVERAGE PUBLIC COMPANY LTD THB1 ISIN #TH09202010014 SEDOL #B15664	07/18/13	03/24/11	TBVPF	\$0.4604	4,714	\$2,163.15	\$1,092.86	\$1,070.29
VENTURE CORPORATION LTD NPV ISIN #SG0531000230 SEDOL #6927374	11/01/13	05/15/13	VEMLF	unavailable	0.75	\$4.66	\$0.00	\$4.66
VENTURE CORPORATION LTD NPV ISIN #SG0531000230 SEDOL #6927374	10/29/13	05/15/13	VEMLF	\$6.2093	24,411	\$151.09	\$0.00	\$151.09
VENTURE CORPORATION LTD NPV ISIN #SG0531000230 SEDOL #6927374	10/29/13	05/18/12	VEMLF	\$6.2093	24,559	\$152.18	\$0.00	\$152.18
VENTURE CORPORATION LTD NPV ISIN #SG0531000230 SEDOL #6927374	10/29/13	03/21/11	VEMLF	\$6.2093	350	\$2,166.18	\$2,522.25	(\$356.07)
			Position Total	VENMF		399,750	\$2,474.11	\$2,522.25
								(\$48.14)

**Euro Pacific Capital**

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Account carried with National Financial Services LLC, Member

NYSE, SIPC

## **Statement for the Period January 1, 2013 to December 31, 2013**

MÖGLICHKEITEN

**Euro Pacific**  
Capital Inc. FINRA, SIPC member

ACTIVITY *continues*

## **TOTAL TAXES, FEES AND EXPENSES**

Amount

## Realized Gain (Loss) Lot Detail - Year Ending 2013

The account cost and associated gain (loss), information is provided for informational purposes only and should not be used for tax-reporting purposes. Such information is provided to help you estimate and track the change in market value of each position relative to your investments into this security (not including reinvestments). Refer to the Footnotes and Cost Information at the end of this statement for more information.

EQUILIBRIUM

Description	Date Acquired	Date Sale	Symbol/Cusip	Sales Price/Share	Quantity	Proceeds	Cost	Gain (Loss)
METRO AG ORD NPV ISIN #DE0007257503 SEDOL #5041413	10/22/13	03/20/13	MTAGF	\$46.8438	59	\$2,756.70	\$1,711.26	\$1,345.44
SKYWORTH DIGITAL Holdings HKD010 ISIN #B8MG8181C1001 SEDOL #6228828	02/20/13	03/23/11	SWDHF	\$0.64017	6,000	\$3,833.84	\$3,486.14	\$347.70
TELENO ASA ORD NOK6 ISIN #NOK0100G3308 SEDOL #4732495	10/22/13	03/24/11	TELNF	\$25.3694	113	\$2,859.24	\$1,838.60	\$1,020.64
MARINE HARVEST ASA NOK0 75 ISIN #NOK0030541108 SEDOL #B021486	10/22/13	07/26/11	MNHVF	\$1,187.9	2,981	\$3,538.01	\$1,846.65	\$1,691.36
MARINE HARVEST ASA NOK0 75 ISIN #NOK0030541108 SEDOL #B021486	10/22/13	07/25/11	MNHVF	\$1,187.9	3,852	\$4,571.76	\$2,473.65	\$2,098.11
<b>Position Total</b>			<b>MNHVF</b>	<b>6,833</b>	<b>\$8,109.77</b>	<b>\$4,320.30</b>	<b>\$3,789.47</b>	
LEROY SEAFOOD GROUP NOK100 ISIN #NOK003059208 SEDOL #A691916	02/15/13	03/15/11	LYSFF	\$28.0647	113	\$3,164.12	\$3,010.30	\$153.82

Euro Pacific Capital

Account carried with National Financial Services LLC, Member,  
NYSE SIPC

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K. SPENCER  
Account Number  
XXXXXX-XXXX-XXXX-XXXX-XXXX



**REALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > EQUITIES *continued***

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost	Gain (Loss)
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/25/13	10/15/13	CSCIF	unavailable	0.431	\$16.88	\$0.00	\$16.88
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	10/15/13	CSCIF	\$39.1721	0.317	\$12.37	\$0.00	\$12.37
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	02/15/12	CSCIF	\$39.1721	0.559	\$21.79	\$0.00	\$21.79
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	01/16/12	CSCIF	\$39.1721	0.569	\$22.26	\$0.00	\$22.26
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	03/15/12	CSCIF	\$39.1721	0.57	\$22.30	\$0.00	\$22.30
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	04/15/11	CSCIF	\$39.1721	0.571	\$22.34	\$0.00	\$22.34
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	06/15/11	CSCIF	\$39.1721	0.579	\$22.65	\$0.00	\$22.65
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	08/15/11	CSCIF	\$39.1721	0.58	\$22.69	\$0.00	\$22.69
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	12/15/11	CSCIF	\$39.1721	0.582	\$23.16	\$0.00	\$23.16
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	07/15/11	CSCIF	\$39.1721	0.594	\$23.23	\$0.00	\$23.23
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	10/17/11	CSCIF	\$39.1721	0.596	\$23.31	\$0.00	\$23.31

Euro Pacific Capital

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Account carried with National Financial Services LLC, Member  
NYSE, SIP

**Statement for the Period January 1, 2013 to December 31, 2013**



**Euro Pacific**  
Capital Inc.

member  
FINRA, SIPC

REALIZED GAIN (LOSS) FOR THE YEAR ENDING 2013 > EQUITIES continued

Description	Date of Sale	Date Acquired	Symbol/Cusip	Sales Price/Share	Quantity	Proceeds	Cost	Gain/(Loss)
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	11/15/11	CSTCF	\$39.1721	0.599	\$23.43	\$0.00	\$23.43
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	09/15/11	CSTCF	\$39.1721	0.606	\$23.70	\$0.00	\$23.70
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	04/16/12	CSTCF	\$39.1721	0.612	\$23.94	\$0.00	\$23.94
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	09/17/12	CSTCF	\$39.1721	0.623	\$24.37	\$0.00	\$24.37
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	10/15/12	CSTCF	\$39.1721	0.643	\$25.15	\$0.00	\$25.15
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	08/15/12	CSTCF	\$39.1721	0.649	\$25.39	\$0.00	\$25.39
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	05/15/12	CSTCF	\$39.1721	0.665	\$26.01	\$0.00	\$26.01
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	06/15/12	CSTCF	\$39.1721	0.681	\$26.64	\$0.00	\$26.64
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	07/15/12	CSTCF	\$39.1721	0.687	\$26.87	\$0.00	\$26.87
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	11/15/12	CSTCF	\$39.1721	0.708	\$27.69	\$0.00	\$27.69
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	03/15/13	CSTCF	\$39.1721	0.712	\$27.85	\$0.00	\$27.85
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	01/15/13	CSTCF	\$39.1721	0.714	\$27.93	\$0.00	\$27.93

Euro Pacific Capital

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NYSE SIPC account called with National Financial Services LLC, member

**Statement for the Period January 1, 2013 to December 31, 2013**

## REALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > EQUITIES *continued*

Description	Date of Sale	Date Acquired	Symbol/Cusip	Sales Price/Share	Quantity	Proceeds	Cost	Gain (Loss)
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	02/15/13	CSCIF	\$39.1721	0.726	\$28.40	\$0.00	\$28.40
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	12/17/12	CSCIF	\$39.1721	0.731	\$28.59	\$0.63	\$28.59
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	08/15/13	CSCIF	\$39.1721	0.74	\$28.95	\$0.60	\$28.95
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	05/15/13	CSCIF	\$39.1721	0.744	\$29.10	\$0.65	\$29.10
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	07/15/13	CSCIF	\$39.1721	0.751	\$29.38	\$0.60	\$29.38
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	09/16/13	CSCIF	\$39.1721	0.763	\$29.85	\$0.00	\$29.85
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	06/17/13	CSCIF	\$39.1721	0.779	\$30.47	\$0.60	\$30.47
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	04/15/13	CSCIF	\$39.1721	0.783	\$30.63	\$0.00	\$30.63
CRESCENT POINT ENERGY CORP COM NPV ISIN #CA22576C1014 SEDOL #B67C8W8	10/22/13	03/15/11	CSCIF	\$39.1721	107	\$4,185.39	\$4,866.91	\$-681.52
<b>Position Total</b>		<b>CSCIF</b>		<b>127.431</b>	<b>\$4,984.58</b>	<b>\$4,866.91</b>	<b>\$117.67</b>	
NESTLE SA SPON ADR EACH REPR 1 COM CH010 REGD	02/19/13	03/16/11	NSRGY	\$39.525	114	\$7,918.65	\$6,150.06	\$1,768.59

The logo for Euro Pacific Capital Inc. consists of four solid black circles arranged in a vertical column at the top. Below them, a horizontal line extends across the page. To the left of this line, the word "Capital" is written vertically in a bold, italicized serif font. To the right of the line, the words "Euro Pacific" are written horizontally in a large, bold, sans-serif font.

member  
FINRA, SIPC

Euro Pacific Capital

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Account carried with National Financial Services LLC, Member  
NYSE, SIPC

## **Statement for the Period January 1, 2013 to December 31, 2013**

ЗАВЕРШЕНИЕ

REALIZING GAN LOSS FOR BERT-FINE-TUNING: A YEAR ENDING 2013 SURVEY

Description	Date of Sale	Date Acquired	Symbol/Cusip	Sales Price/Share	Quantity	Proceeds	Cost	Gain/(Loss)
POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CAJ3755L1076 SEDOL #2696980	10/30/13	08/02/13	POT	unavailable	0.914	\$28.23	\$0.00	\$28.23
POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CAJ3755L1076 SEDOL #2696980	10/25/13	05/02/13	POT	\$30.89	0.883	\$25.19	\$0.00	\$25.19
POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CAJ3755L1076 SEDOL #2696980	10/25/13	08/02/13	POT	\$30.89	2.117	\$60.40	\$0.00	\$60.40
POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CAJ3755L1076 SEDOL #2696980	08/06/13	08/05/11	POT	\$28.8716	0.252	\$7.27	\$0.00	\$7.27
POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CAJ3755L1076 SEDOL #2696980	08/06/13	11/07/11	POT	\$28.8716	0.26	\$7.50	\$0.00	\$7.50
POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CAJ3755L1076 SEDOL #2696980	08/06/13	02/09/12	POT	\$28.8716	0.285	\$8.22	\$0.00	\$8.22
POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CAJ3755L1076 SEDOL #2696980	08/06/13	08/03/12	POT	\$28.8716	0.598	\$17.25	\$0.00	\$17.25
POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CAJ3755L1076 SEDOL #2696980	08/06/13	05/03/12	POT	\$28.8716	0.605	\$17.45	\$0.00	\$17.45
POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CAJ3755L1076 SEDOL #2696980	08/06/13	05/02/13	POT	\$28.8716	0.793	\$22.87	\$0.00	\$22.87
POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CAJ3755L1076 SEDOL #2696980	08/06/13	11/05/12	POT	\$28.8716	0.955	\$27.55	\$0.00	\$27.55
POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CAJ3755L1076 SEDOL #2696980	08/06/13	02/07/13	POT	\$28.8716	1.252	\$36.11	\$0.00	\$36.11
POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CAJ3755L1076 SEDOL #2696980	08/06/13	11/19/12	POT	\$28.8716	67	\$1,932.51	\$2,545.55	\$-613.04

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SIMON  
Account Number  
NPV/ISIN #CA73755L1076 SEDOL  
#269680



**Euro Pacific**  
**Capital Inc.** FINRA, SIPC member

**REALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > EQUITIES *continued***

Description	Date of Sale	Date Acquired	Symbol/Cusip	Sales Price/Share	Quantity	Proceeds	Cost	Gain (Loss)
POTASH CORP OF SASKATCHEWAN.COM	08/06/13	05/11/11	POT	\$28.8716	182	\$5,249.52	\$9,653.94	(\$4,404.42)
<b>Position Total</b>			<b>POT</b>		<b>257.914</b>	<b>\$7,440.07</b>	<b>\$12,199.49</b>	<b>(\$4,759.42)</b>

PRECISION DRILLING CORPORATION COM NPV/ISIN #CA7402203085 SEDOL #BSYRPH9	10/22/13	06/14/11	PDS	\$10.821	238	\$2,568.23	\$5,246.80	\$578.57
SYNGENTA ADR EACH REP 1/5TH CHF0.10 LVL III	10/25/13	06/17/13	SYT	unavailable	0.547	\$43.81	\$0.00	\$43.81
SYNGENTA ADR EACH REP 1/5TH CHF0.10 LVL III	10/22/13	06/17/13	SYT	\$80.09	0.175	\$12.78	\$0.00	\$12.78
SYNGENTA ADR EACH REP 1/5TH CHF0.10 LVL III	10/22/13	06/14/12	SYT	\$80.09	0.825	\$60.27	\$0.00	\$60.27
SYNGENTA ADR EACH REP 1/5TH CHF0.10 LVL III	06/21/13	06/14/12	SYT	\$75.9781	3	\$227.29	\$0.00	\$227.29
SYNGENTA ADR EACH REP 1/5TH CHF0.10 LVL III	06/21/13	07/26/11	SYT	\$75.9781	30	\$2,272.93	\$1,938.49	\$334.44
<b>Position Total</b>			<b>SYT</b>		<b>34.547</b>	<b>\$2,617.08</b>	<b>\$1,958.49</b>	<b>\$658.59</b>
<b>Total Equities</b>					<b>28,964.642</b>	<b>\$73,973.66</b>	<b>\$65,052.92</b>	<b>\$8,920.74</b>

**REALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > OTHER SECURITIES**

Description	Date of Sale	Date Acquired	Symbol/Cusip	Sales Price/Share	Quantity	Proceeds	Cost	Gain (Loss)
SHOPPING CENTRES AUSTRALASIA PTY GROUP STAPLED SECURITY ISIN #AU000000SOP9 SEDOL #58K7365	02/13/13	12/11/12	08501105	\$1.6859	54	\$84.00	\$86.94	(\$2.94)
ASCENDAS REAL ESTATE INV TRUST NPV/REAL ESTATE INVESTMENT TRUST ISIN #SG1M77906915 SEDOL #6663875	02/22/13	11/27/12	ADDSF	unavailable	0.114	\$0.24	\$0.00	\$0.24

**Euro Pacific Capital**

VN\_CEBBGCNCBBBNK7\_BBIBC\_20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

**Statement for the Period Ended December 31, 2013**

## REALIZED GAIN (LOSS) LOT RETAIL - YEAR ENDING 2013 > OTHER SECURITIES *(continued)*

Description	Date of Sale	Date Acquired	Symbol/Cusip	Sales Price/Share	Quantity	Proceeds	Cost	Gain/(Loss)
ASCENDA'S REAL ESTATE INV TRUST NP'VREAL ESTATE INVESTMENT TRUST) ISIN #SG1M77906915 SEDOL #6563875	02/19/13	05/09/11	ACDSF	\$2.1386	0.146	\$0.31	\$0.00	\$0.31
ASCENDA'S REAL ESTATE INV TRUST NP'VREAL ESTATE INVESTMENT TRUST) ISIN #SG1M77906915 SEDOL #6563875	02/19/13	06/15/12	ACDSF	\$2.1386	0.302	\$0.64	\$0.00	\$0.64
ASCENDA'S REAL ESTATE INV TRUST NP'VREAL ESTATE INVESTMENT TRUST) ISIN #SG1M77906915 SEDOL #6563875	02/19/13	08/28/12	ACDSF	\$2.1386	0.395	\$0.84	\$0.00	\$0.84
ASCENDA'S REAL ESTATE INV TRUST NP'VREAL ESTATE INVESTMENT TRUST) ISIN #SG1M77906915 SEDOL #6563875	02/19/13	08/25/11	ACDSF	\$2.1386	0.559	\$1.19	\$0.00	\$1.19
ASCENDA'S REAL ESTATE INV TRUST NP'VREAL ESTATE INVESTMENT TRUST) ISIN #SG1M77906915 SEDOL #6563875	02/19/13	02/27/12	ACDSF	\$2.1386	0.75	\$1.60	\$0.00	\$1.60
ASCENDA'S REAL ESTATE INV TRUST NP'VREAL ESTATE INVESTMENT TRUST) ISIN #SG1M77906915 SEDOL #6563875	02/19/13	11/25/11	ACDSF	\$2.1386	0.765	\$1.63	\$0.00	\$1.63
ASCENDA'S REAL ESTATE INV TRUST NP'VREAL ESTATE INVESTMENT TRUST) ISIN #SG1M77906915 SEDOL #6563875	02/19/13	05/25/12	ACDSF	\$2.1386	0.769	\$1.64	\$0.00	\$1.64
ASCENDA'S REAL ESTATE INV TRUST NP'VREAL ESTATE INVESTMENT TRUST) ISIN #SG1M77906915 SEDOL #6563875	02/19/13	05/09/11	ACDSF	\$2.1386	3.817	\$8.15	\$0.00	\$8.15
ASCENDA'S REAL ESTATE INV TRUST NP'VREAL ESTATE INVESTMENT TRUST) ISIN #SG1M77906915 SEDOL #6563875	02/19/13	05/09/11	ACDSF	\$2.1386	4.6	\$9.82	\$0.00	\$9.82

The logo for Euro Pacific Capital Inc. consists of a vertical line with a horizontal line crossing it. Above the horizontal line are four solid black circles arranged in a 2x2 grid.

member  
FINRA, SIPC

Euro Pacific Capital

VN\_CFBBC, CSCBBNLKZ BBC 20140124

Account carried with National Financial Services LLC. Member  
NYSE, SIPC

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**Statement for the Period January 1, 2013 to December 31, 2013**

МЕДВЕДЬ ВОЛЫНСКИЙ

The logo consists of the company name "Euro Pacific Capital Inc." stacked vertically. The word "Inc." is smaller and positioned below "Euro Pacific". To the left of the text is a graphic element consisting of three vertical dots above a horizontal line, followed by three vertical bars of increasing height to the right of the line.

**REALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > OTHER SECURITIES *continued***

Description	Date of Sale	Date Acquired	Symbol/Cusip	Sales Price/Share	Quantity	Proceeds	Cost	Gain/(Loss)
ASCENDAS REAL ESTATE INV TRUST NPVREAL ESTATE INVESTMENT TRUSTS)ISIN #SGIM77906915 SEDOL #6563875	02/19/13	08/28/12	ACDSF	\$2.1386	19,236	\$41,06	\$0.00	\$41.06
ASCENDAS REAL ESTATE INV TRUST NPVREAL ESTATE INVESTMENT TRUSTS)ISIN #SGIM77906915 SEDOL #6563875	02/19/13	06/15/12	ACDSF	\$2.1386	20,952	\$44,72	\$0.00	\$44.72
ASCENDAS REAL ESTATE INV TRUST NPVREAL ESTATE INVESTMENT TRUSTS)ISIN #SGIM77906915 SEDOL #6563875	02/19/13	08/25/11	ACDSF	\$2.1386	32,163	\$68.65	\$0.00	\$68.65
ASCENDAS REAL ESTATE INV TRUST NPVREAL ESTATE INVESTMENT TRUSTS)ISIN #SGIM77906915 SEDOL #6563875	02/19/13	05/09/11	ACDSF	\$2.1386	36,467	\$77.84	\$0.00	\$77.84
ASCENDAS REAL ESTATE INV TRUST NPVREAL ESTATE INVESTMENT TRUSTS)ISIN #SGIM77906915 SEDOL #6563875	02/19/13	1/27/12	ACDSF	\$2.1386	39,242	\$83.77	\$0.00	\$83.77
ASCENDAS REAL ESTATE INV TRUST NPVREAL ESTATE INVESTMENT TRUSTS)ISIN #SGIM77906915 SEDOL #6563875	02/19/13	1/25/11	ACDSF	\$2.1386	41,882	\$89.40	\$0.00	\$89.40
ASCENDAS REAL ESTATE INV TRUST NPVREAL ESTATE INVESTMENT TRUSTS)ISIN #SGIM77906915 SEDOL #6563875	02/19/13	02/27/12	ACDSF	\$2.1386	43,794	\$93.48	\$0.00	\$93.48
ASCENDAS REAL ESTATE INV TRUST NPVREAL ESTATE INVESTMENT TRUSTS)ISIN #SGIM77906915 SEDOL #6563875	02/19/13	05/25/12	ACDSF	\$2.1386	44,161	\$94.26	\$0.00	\$94.26
ASCENDAS REAL ESTATE INV TRUST NPVREAL ESTATE INVESTMENT TRUSTS)ISIN #SGIM77906915 SEDOL #6563875	02/19/13	03/15/11	ACDSF	\$2.1386	1,508	\$3,218.79	\$2,323.72	\$895.07
<b>Position Total</b>	<b>ACDSF</b>					<b>\$3,938.03</b>	<b>\$2,323.72</b>	<b>\$1,514.31</b>

Account carried with National Financial Services LLC, Member NYSE SIPC

Euro Pacific Capital

YN\_CEBBCGSC.BBBNI.KZ\_BBBBC\_20140124

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SAWYER  
KARINA HOLLOWAY  
Account Number  
SINCE 1984  
KARINA HOLLOWAY  
KARINA HOLLOWAY



**REALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > OTHER SECURITIES *continued***

Description	Date of Sale	Date Acquired	Symbol/Cusip	Sales Price/ Share	Quantity	Proceeds	Cost	Gain (Loss)
KEPPEL REIT NPV (REIT) SIN #SG1122929874 SEDOL #B12R0H4	10/23/13	09/19/13	KREVF	\$0.9604	20	\$17.24	\$19.98	-\$2.74
KEPPEL REIT NPV (REIT) SIN #SG1122929874 SEDOL #B12R0H4	10/23/13	05/08/13	KREVF	\$0.9604	52	\$44.83	\$62.67	-\$17.84
KEPPEL REIT NPV (REIT) SIN #SG1122929874 SEDOL #B12R0H4	09/20/13	08/20/13	KREVF	unavailable	0	\$0.78	\$0.00	\$0.78
			Position Total	KREVF	72	\$62.85	\$82.65	(\$19.80)
Total Other Securities					1,924.114	\$3,984.88	\$2,493.31	\$1,491.57

## Unrealized Gain (Loss) Lot Detail - Year Ending 2013

The account cost and associated gain (loss) information is provided for informational purposes only and should not be used for tax-reporting purposes. Such information is provided to help you estimate and track the change in market value of each position relative to your investments into this security (not including reinvestments). Refer to the Footnotes and Cost Information at the end of this statement for more information.

**EQUITIES**

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Net Change
METRO AG ORD NPV ISIN #DE0007257503 SEDOL #5041413	CASH	03/20/13	MTAEG	\$48.77901	163	\$7,950.98	\$4,727.70	\$3,223.28
TESCO ORD GBP0 05 ISIN #GB0008847196 SEDOL #B884709	CASH	10/30/13	TSCDF	\$5.53767	889	\$4,922.99	\$5,318.97	(\$395.98)
ASAHI GROUP HOLDGS NPV ISIN #JP311600005 SEDOL #0554409	CASH	03/22/11	ASBRF	\$28.02	271	\$7,593.42	\$4,819.71	\$2,773.71
ISUZU MOTORS NPV ISIN #JP313720006 SEDOL #B467104	CASH	11/05/12	ISUZF	\$6.2265	1,057	\$6,581.41	\$5,789.17	\$792.24
TOCHU CORP NPV ISIN #JP3143600009 SEDOL #B467803	CASH	04/04/11	TOCF	\$12.30	370	\$4,551.00	\$3,913.28	\$637.72

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SAWYER, IRA  
Account Number: 1234567890

ИМЕНИА ВАЛЕНТИНА  
ИМЕНИА ВАЛЕНТИНА  
ИМЕНИА ВАЛЕНТИНА  
ИМЕНИА ВАЛЕНТИНА  
ИМЕНИА ВАЛЕНТИНА  
ИМЕНИА ВАЛЕНТИНА

UNREALIZED GAIN (LOSS) LOT BASIS - YEAR ENDING 2013 > EQUITIES *continued*



Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Net Change
ITOCHU CORP NPV ISIN #JP314360009 SEDOL #6467803	CASH	12/02/11	ITOCF	\$12.30	6,977	\$85,82	\$0.00	\$85.82
ITOCHU CORP NPV ISIN #JP314360009 SEDOL #6467803	CASH	06/25/12	ITOCF	\$12.30	11,825	\$145.45	\$0.00	\$145.45
ITOCHU CORP NPV ISIN #JP314360009 SEDOL #6467803	CASH	12/03/12	ITOCF	\$12.30	8,559	\$105.28	\$0.00	\$105.28
ITOCHU CORP NPV ISIN #JP314360009 SEDOL #6467803	CASH	05/24/13	ITOCF	\$12.30	6,023	\$74.08	\$0.00	\$74.08
ITOCHU CORP NPV ISIN #JP314360009 SEDOL #6467803	CASH	12/02/13	ITOCF	\$12.30	6,028	\$74.14	\$0.00	\$74.14
<b>Position Total</b>			<b>ITOCF</b>	<b>409.412</b>	<b>\$5,035.77</b>	<b>\$3,913.28</b>		<b>\$1,122.49</b>
JGC CORP NPV ISIN #JP366760005 SEDOL #6473468	CASH	03/30/11	JGCCF	\$39.27262	8	\$314.18	\$193.47	\$120.71
JGC CORP NPV ISIN #JP366760005 SEDOL #6473468	CASH	03/30/11	JGCCF	\$39.27262	154	\$6,047.98	\$3,714.00	\$2,333.98
JGC CORP NPV ISIN #JP366760005 SEDOL #6473468	CASH	06/29/12	JGCCF	\$39.27262	2,452	\$96.30	\$0.00	\$96.30
JGC CORP NPV ISIN #JP366760005 SEDOL #6473468	CASH	06/28/13	JGCCF	\$39.27262	1.91	\$75.01	\$0.00	\$75.01
<b>Position Total</b>			<b>JGCCF</b>	<b>166.362</b>	<b>\$6,533.47</b>	<b>\$3,907.47</b>		<b>\$2,626.00</b>
KIWI INCOME PROPERTY TRUST UNITS SIN #NZKIPPE001SS SEDOL #6495172	CASH	03/24/11	KWIPF	\$0.88889	3,400	\$3,022.23	\$2,607.85	\$414.38
NEWCREST MINING NPV ISIN #AU000000NCM7 SEDOL #6637101	CASH	03/16/11	NCM6F	\$6.97827	64	\$446.61	\$2,306.24	\$1,859.63
NEWCREST MINING NPV ISIN #AU000000NCM7 SEDOL #6637101	CASH	02/19/13	NCM6F	\$6.97827	219	\$1,528.24	\$4,969.66	(\$3,441.42)
<b>Position Total</b>			<b>NCM6F</b>	<b>283</b>	<b>\$1,974.85</b>	<b>\$7,275.90</b>		<b>(\$5,301.05)</b>
ORIGIN ENERGY LTD NPV ISIN #AU000000ORG5 SEDOL #6214861	CASH	03/23/11	OGFGF	\$12.58772	786	\$9,893.95	\$12,469.82	(\$2,575.87)

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K S  
MICHAEL K S  
Account Num  
PARK VANDKVALD  
SKOKEAHOEHN



**UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > EQUITIES *continued***

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Net Change
ORIGIN ENERGY LTD NPV ISIN #AU000000ORG5 SEDOL #6214861	CASH	09/17/12	0GFGF	\$12.58772	188	\$2,366.49	\$2,332.72	\$33.77
<b>Position Total</b>			<b>0GFGF</b>		<b>974</b>	<b>\$12,260.44</b>	<b>\$14,802.54</b>	<b>(\$2,542.10)</b>
TELECOM CORP OF NEW ZEALAND NPV ISIN #NZTELE001S4 SEDOL #6881436	CASH	10/25/13	NZTCF	\$1.89713	4,060	\$7,702.35	\$7,842.26	(\$139.91)
WOOLWORTHS LIMITED NPV ISIN #AU000000WOWZ SEDOL #6981239	CASH	03/30/11	WOLWF	\$30.28389	91	\$2,755.83	\$2,526.97	\$228.86
WOOLWORTHS LIMITED NPV ISIN #AU000000WOWZ SEDOL #6981239	CASH	05/24/12	WOLWF	\$30.28389	94	\$2,846.69	\$2,477.26	\$369.43
WOOLWORTHS LIMITED NPV ISIN #AU000000WOWZ SEDOL #6981239	CASH	07/16/12	WOLWF	\$30.28389	89	\$2,695.27	\$2,466.31	\$228.96
<b>Position Total</b>			<b>WOLWF</b>		<b>274</b>	<b>\$8,297.79</b>	<b>\$7,470.54</b>	<b>\$827.25</b>
ATEA ASA NOK10 ISIN #N00004822503 SEDOL #812TR11	CASH	12/05/11	ATAZF	\$9.83054	259	\$2,546.11	\$2,605.90	(\$59.79)
TELENOR ASA ORD NOK16 ISIN #N00010063308 SEDOL #4732495	CASH	03/24/11	TELNF	\$23.78	186	\$4,423.08	\$3,026.38	\$1,396.70
TELENOR ASA ORD NOK16 ISIN #N00010063308 SEDOL #4732495	CASH	06/03/11	TELNF	\$23.78	10,647	\$253.19	\$0.00	\$253.19
TELENOR ASA ORD NOK16 ISIN #N00010063308 SEDOL #4732495	CASH	03/16/12	TELNF	\$23.78	167	\$3,971.26	\$3,069.10	\$932.16
TELENOR ASA ORD NOK16 ISIN #N00010063308 SEDOL #4732495	CASH	05/31/12	TELNF	\$23.78	22,739	\$540.73	\$0.00	\$540.73
<b>Position Total</b>			<b>TELNF</b>		<b>20,545</b>	<b>\$488.56</b>	<b>\$0.00</b>	<b>\$488.56</b>
FRED OLSEN ENERGY ASA NOK20 ISIN #N00003086905 SEDOL #5344101	CASH	06/16/11	FOEAF	\$40.62192	59	\$2,396.69	\$2,012.93	\$383.76
LEROY SEAFOOD GROUP NOK100 ISIN #N00003096208 SEDOL #4691916	CASH	03/15/11	LYSFF	\$29.12142	67	\$1,951.14	\$1,784.87	\$166.27

Euro Pacific Capital

Y/N CERBGCSBBBBNLK7 RBBM/C 20140124

Account carried with National Financial Services LLC Member  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**

ЖИЗНЬ  
ДОКТОРА

# Euro Pacific

**UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 - EQUITIES *continued***

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Net Change
LEROY SEAFOOD GROUP NOK1.00 ISIN #N00003096208 SEDOL #4691916	CASH	03/28/12	LYSF	\$29.1242	110	\$3,203.36	\$1,856.74	\$1,352.62
<b>YARA INTERNATIONAL ASA NOK1.7 ISIN #N00010208051 SEDOL #7751259</b>	<b>CASH</b>	<b>03/18/11</b>	<b>YRAFF</b>	<b>\$42.85</b>	<b>51</b>	<b>\$2,185.35</b>	<b>\$2,462.47</b>	<b>(\$277.12)</b>
GOLDENAGRI RESOURCES USD0.025 ISIN #MU0117U00026 SEDOL #6164472	CASH	07/26/11	GARPF	\$0.43165	3,960	\$1,709.33	\$2,392.99	(\$683.66)
GOLDENAGRI RESOURCES USD0.025 ISIN #MU0117U00026 SEDOL #6164472	CASH	05/15/12	GARPF	\$0.43165	103.927	\$44.96	\$0.00	\$44.96
GOLDENAGRI RESOURCES USD0.025 ISIN #MU0117U00026 SEDOL #6164472	CASH	11/27/12	GARPF	\$0.43165	37.393	\$16.14	\$0.00	\$16.14
GOLDENAGRI RESOURCES USD0.025 ISIN #MU0117U00026 SEDOL #6164472	CASH	02/14/13	GARPF	\$0.43165	10,421	\$4,498.22	\$5,539.72	(\$1,041.50)
GOLDENAGRI RESOURCES USD0.025 ISIN #MU0117U00026 SEDOL #6164472	CASH	05/14/13	GARPF	\$0.43165	145.687	\$62.89	\$0.00	\$62.89
GOLDENAGRI RESOURCES USD0.025 ISIN #MU0117U00026 SEDOL #6164472	CASH	08/06/13	GARPF	\$0.43165	2,441	\$1,053.66	\$1,019.18	\$34.48
GOLDENAGRI RESOURCES USD0.025 ISIN #MU0117U00026 SEDOL #6164472	CASH	11/27/13	GARPF	\$0.43165	168.926	\$72.87	\$0.00	\$72.87
<b>Position Total</b>			<b>GARPF</b>	<b>17,277.633</b>	<b>\$7,457.98</b>	<b>\$8,951.89</b>	<b>(\$1,493.91)</b>	
FORTUM OYJ EUR3.40 ISIN #F00090007132 SEDOL #5579550	CASH	11/05/12	FOJCF	\$22.57552	480	\$11,027.29	\$9,049.97	\$1,977.32
CHINA MOBILE LTD HKD0.10 ISIN #HK0341009539 SEDOL #6073556	CASH	03/20/12	CHLKF	\$10.36918	224	\$2,322.70	\$2,370.08	-\$47.38

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K S  
IRA  
Account Number  
#09N7M0  
HOMESTEAD BANK & TRUST COMPANY  
HOMESTEAD, FLA.



**UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > EQUITIES *continued***

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Net Change
CHINA SHENHUA ENERGY COMPANY LTD HCNY1ISIN #CNE1000002R0 SEDOL #B09N7M0	CASH	03/16/11	CUAEF	\$3.15331	500	\$1,576.66	\$2,181.18	(\$504.53)
CHINA SHENHUA ENERGY COMPANY LTD HCNY1ISIN #CNE1000002R0 SEDOL #B09N7M0	CASH	08/02/13	CUAEF	\$3.15331	23616	\$74.47	\$0.00	\$74.47
<b>Position Total</b>			<b>CUAEF</b>	<b>523.616</b>	<b>\$1,651.12</b>	<b>\$2,181.18</b>		<b>(\$530.06)</b>
SINGAPORE TELECOMMUNICATIONS NPV ISIN #SG1775931496 SEDOL #B02PP22	CASH	11/01/12	SNGNF	\$2.89878	1,471	\$4,264.11	\$3,901.11	\$363.00
SINGAPORE TELECOMMUNICATIONS NPV ISIN #SG1775931496 SEDOL #B02PP22	CASH	01/17/13	SNGNF	\$2.89878	28407	\$82.35	\$0.00	\$82.35
SINGAPORE TELECOMMUNICATIONS NPV ISIN #SG1775931496 SEDOL #B02PP22	CASH	08/23/13	SNGNF	\$2.99878	42.179	\$122.27	\$0.00	\$122.27
<b>Position Total</b>			<b>SNGNF</b>	<b>1,541.586</b>	<b>\$4,468.72</b>	<b>\$3,901.11</b>		<b>\$567.61</b>
THAI BEVERAGE PUBLIC COMPANY LTD THBI ISIN #TH090201014 SEDOL #B15F64	CASH	03/24/11	TBVPF	\$0.42769	5,286	\$2,260.77	\$1,225.46	\$1,035.31
ARC RESOURCES COM NPY ISIN #CA00208D4084 SEDOL #B6463M8	CASH	03/15/11	AETUF	\$27.83059	276	\$7,881.24	\$7,250.07	\$631.17
ARC RESOURCES COM NPY ISIN #CA00208D4084 SEDOL #B6463M8	CASH	04/15/11	AETUF	\$27.83059	1,143	\$31.81	\$0.00	\$31.81
ARC RESOURCES COM NPY ISIN #CA00208D4084 SEDOL #B6463M8	CASH	05/16/11	AETUF	\$27.83059	1,157	\$32.20	\$0.00	\$32.20
ARC RESOURCES COM NPY ISIN #CA00208D4084 SEDOL #B6463M8	CASH	06/15/11	AETUF	\$27.83059	1,144	\$31.84	\$0.00	\$31.84
ARC RESOURCES COM NPY ISIN #CA00208D4084 SEDOL #B6463M8	CASH	07/15/11	AETUF	\$27.83059	1.11	\$30.89	\$0.00	\$30.89
ARC RESOURCES COM NPY ISIN #CA00208D4084 SEDOL #B6463M8	CASH	08/15/11	AETUF	\$27.83059	1.179	\$32.81	\$0.00	\$32.81
ARC RESOURCES COM NPY ISIN #CA00208D4084 SEDOL #B6463M8	CASH	09/15/11	AETUF	\$27.83059	1.226	\$34.12	\$0.00	\$34.12

Euro Pacific Capital

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

VIN\_CFBGCSCBBRNKZ\_RBBBC\_20140124

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Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SIMON  
Account Number  
#CA0020804084  
JUKVANHVALOKVANG  
ZHOUEBAAKXELHOKEA



**UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > EQUITIES (unrealized)**

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Net Change
ARC RESOURCES COM N/PV/ISIN #CA0020804084 SEDOL #B6463M8	CASH	10/17/11	AETUF	\$27.83059	1 152	\$32.36	\$0.00	\$32.36
ARC RESOURCES COM N/PV/ISIN #CA0020804084 SEDOL #B6463M8	CASH	11/15/11	AETUF	\$27.83059	1 114	\$31.00	\$0.00	\$31.00
ARC RESOURCES COM N/PV/ISIN #CA0020804084 SEDOL #B6463M8	CASH	03/15/12	AETUF	\$27.83059	1 192	\$33.17	\$0.00	\$33.17
ARC RESOURCES COM N/PV/ISIN #CA0020804084 SEDOL #B6463M8	CASH	04/16/12	AETUF	\$27.83059	1 451	\$40.38	\$0.00	\$40.38
ARC RESOURCES COM N/PV/ISIN #CA0020804084 SEDOL #B6463M8	CASH	05/15/12	AETUF	\$27.83059	1 481	\$41.22	\$0.00	\$41.22
ARC RESOURCES COM N/PV/ISIN #CA0020804084 SEDOL #B6463M8	CASH	06/15/12	AETUF	\$27.83059	1 435	\$39.94	\$0.00	\$39.94
ARC RESOURCES COM N/PV/ISIN #CA0020804084 SEDOL #B6463M8	CASH	07/16/12	AETUF	\$27.83059	1 243	\$34.59	\$0.00	\$34.59
ARC RESOURCES COM N/PV/ISIN #CA0020804084 SEDOL #B6463M8	CASH	08/15/12	AETUF	\$27.83059	1 254	\$34.90	\$0.00	\$34.90
ARC RESOURCES COM N/PV/ISIN #CA0020804084 SEDOL #B6463M8	CASH	09/17/12	AETUF	\$27.83059	1 25	\$34.79	\$0.00	\$34.79
ARC RESOURCES COM N/PV/ISIN #CA0020804084 SEDOL #B6463M8	CASH	10/15/12	AETUF	\$27.83059	1.224	\$34.06	\$0.00	\$34.06
ARC RESOURCES COM N/PV/ISIN #CA0020804084 SEDOL #B6463M8	CASH	11/15/12	AETUF	\$27.83059	1.278	\$35.57	\$0.00	\$35.57
ARC RESOURCES COM N/PV/ISIN #CA0020804084 SEDOL #B6463M8	CASH	12/17/12	AETUF	\$27.83059	1.255	\$34.93	\$0.00	\$34.93
ARC RESOURCES COM N/PV/ISIN #CA0020804084 SEDOL #B6463M8	CASH	01/15/13	AETUF	\$27.83059	1.253	\$34.87	\$0.00	\$34.87
ARC RESOURCES COM N/PV/ISIN #CA0020804084 SEDOL #B6463M8	CASH	02/15/13	AETUF	\$27.83059	1.17	\$32.56	\$0.00	\$32.56
ARC RESOURCES COM N/PV/ISIN #CA0020804084 SEDOL #B6463M8	CASH	03/15/13	AETUF	\$27.83059	1.125	\$31.31	\$0.00	\$31.31
ARC RESOURCES COM N/PV/ISIN #CA0020804084 SEDOL #B6463M8	CASH	04/15/13	AETUF	\$27.83059	1.123	\$31.25	\$0.00	\$31.25
ARC RESOURCES COM N/PV/ISIN #CA0020804084 SEDOL #B6463M8	CASH	05/15/13	AETUF	\$27.83059	1.114	\$31.00	\$0.00	\$31.00

Euro Pacific Capital

YN\_CEBBC1CSGBBN1KZ\_BBBBC 20140124

Account carried with National Financial Services LLC Member  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**



The logo for Euro Pacific Capital Inc. consists of three solid black circles arranged vertically at the top. Below them, the word "Euro" is written in a large, bold, black sans-serif font. To the left of "Euro", the words "Pacific Capital Inc." are stacked vertically in a smaller, bold, black sans-serif font. A thin horizontal line separates the top section from the company name.

**UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > EQUITIES *continued***

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/13	Market Value	Cost	Change	Net
				Quantity				
ARC RESOURCES COM NPV ISIN #CA00208D4084 SEDOL #BB463MB	CASH	06/17/13	AETUF	\$27.83059	1 189	\$33 09	\$0 00	\$33 09
ARC RESOURCES COM NPV ISIN #CA00208D4084 SEDOL #BB463MB	CASH	07/15/13	AETUF	\$27.83059	1.15	\$32.01	\$0 00	\$32.01
ARC RESOURCES COM NPV ISIN #CA00208D4084 SEDOL #BB463MB	CASH	08/15/13	AETUF	\$27.83059	1 265	\$35.21	\$0 00	\$35.21
ARC RESOURCES COM NPV ISIN #CA00208D4084 SEDOL #BB463MB	CASH	09/16/13	AETUF	\$27.83059	1 228	\$34.18	\$0 00	\$34.18
ARC RESOURCES COM NPV ISIN #CA00208D4084 SEDOL #BB463MB	CASH	10/15/13	AETUF	\$27.83059	1.235	\$34.37	\$0 00	\$34.37
ARC RESOURCES COM NPV ISIN #CA00208D4084 SEDOL #BB463MB	CASH	11/15/13	AETUF	\$27.83059	1.11	\$30.89	\$0 00	\$30.89
ARC RESOURCES COM NPV ISIN #CA00208D4084 SEDOL #BB463MB	CASH	12/16/13	AETUF	\$27.83059	1.145	\$31.87	\$0 00	\$31.87
<b>Position Total</b>			<b>AETUF</b>	<b>312.395</b>	<b>\$8,694.14</b>	<b>\$7,250.07</b>		<b>\$1,444.07</b>
ASTRAZENECA ADR EACH REP 1 ORD USD0 25(WMGT)	CASH	10/24/13	AZN	\$59.37	180	\$10,686.60	\$9,424.11	\$1,262.49
BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #2024644	CASH	02/19/13	ABX	\$17.63	290	\$5,112.70	\$9,149.64	\$4,036.94
BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #2024644	CASH	03/15/13	ABX	\$17.63	1,998	\$35.22	\$0.00	\$35.22
BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #2024644	CASH	06/17/13	ABX	\$17.63	3,113	\$54.88	\$0.00	\$54.88
BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #2024644	CASH	09/16/13	ABX	\$17.63	85	\$1,498.55	\$1,523.05	\$24.50
BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #2024644	CASH	09/16/13	ABX	\$17.63	813	\$14.33	\$0.00	\$14.33
BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #2024644	CASH	12/16/13	ABX	\$17.63	1 118	\$19.71	\$0.00	\$19.71
<b>Position Total</b>			<b>ABX</b>	<b>382.042</b>	<b>\$6,735.40</b>	<b>\$10,672.69</b>		<b>(\$3,937.29)</b>
BHP BILLITON LIMITED ADR EACH REP 2 ORD NPV(WMGT)	CASH	03/22/11	BHP	\$68.20	27	\$1,841.40	\$2,406.69	\$565.29

Account carried with National Financial Services LLC, Member SIPC

Euro Pacific Capital

VN\_CEBBGCNCBBNLKZ\_RIBBRC\_20140124

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SAWYER  
Account Number  
N-VTG PRF SHSLEVEL 2  
BHP BILLION LIMITED ADR REPR 1  
GERAIS-CEMIG SPON ADR REPR 1  
CIA ENERGETICA MINAS  
GERAIS-CEMIG SPON ADR REPR 1  
N-VTG PRF SHSLEVEL 2

WILSON H. HOKE  
Account Number  
N-VTG PRF SHSLEVEL 2  
BHP BILLION LIMITED ADR EACH  
REF 2/0/13 NPV(MGT)  
BHP BILLION LIMITED ADR EACH  
REF 2/0/13 NPV(MGT)  
BHP BILLION LIMITED ADR EACH  
REF 2/0/13 NPV(MGT)  
BHP BILLION LIMITED ADR EACH  
REF 2/0/13 NPV(MGT)



UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > EQUITIES *equities*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Net Change
BHP BILLION LIMITED ADR EACH REF 2/0/13 NPV(MGT)	CASH	09/29/11	BHP	\$68.20	0.442	\$30.14	\$0.00	\$30.14
BHP BILLION LIMITED ADR EACH REF 2/0/13 NPV(MGT)	CASH	03/22/12	BHP	\$68.20	0.423	\$28.85	\$0.00	\$28.85
BHP BILLION LIMITED ADR EACH REF 2/0/13 NPV(MGT)	CASH	09/28/12	BHP	\$68.20	0.456	\$31.10	\$0.00	\$31.10
BHP BILLION LIMITED ADR EACH REF 2/0/13 NPV(MGT)	CASH	03/28/13	BHP	\$68.20	0.475	\$32.40	\$0.00	\$32.40
BHP BILLION LIMITED ADR EACH REF 2/0/13 NPV(MGT)	CASH	09/25/13	BHP	\$68.20	0.499	\$34.03	\$0.00	\$34.03
<b>Position Total</b>			<b>BHP</b>	<b>29.295</b>		<b>\$1,997.92</b>	<b>\$2,406.69</b>	<b>(\$408.77)</b>
CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 N-VTG PRF SHSLEVEL 2	CASH	04/21/11	CIG	\$7.79	510.66869	\$3,938.11	\$7,310.02	(\$3,371.91)
CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 N-VTG PRF SHSLEVEL 2	CASH	07/07/11	CIG	\$7.79	20,88944	\$162.73	\$0.00	\$162.73
CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 N-VTG PRF SHSLEVEL 2	CASH	12/29/11	CIG	\$7.79	20,38723	\$158.82	\$0.00	\$158.82
CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 N-VTG PRF SHSLEVEL 2	CASH	01/05/12	CIG	\$7.79	29,35783	\$228.70	\$0.00	\$228.70
CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 N-VTG PRF SHSLEVEL 2	CASH	07/06/12	CIG	\$7.79	10,73814	\$93.65	\$0.00	\$93.65
CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 N-VTG PRF SHSLEVEL 2	CASH	01/03/13	CIG	\$7.79	19,67061	\$153.23	\$0.00	\$153.23
CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 N-VTG PRF SHSLEVEL 2	CASH	01/23/13	CIG	\$7.79	36,57627	\$284.93	\$0.00	\$284.93
CIA ENERGETICA MINAS GERAIS-CEMIG SPON ADR REPR 1 N-VTG PRF SHSLEVEL 2	CASH	03/12/13	CIG	\$7.79	11,1169	\$86.56	\$0.00	\$86.56

Euro Pacific Capital

VN\_CFBGCSBBNIKZ\_BBIBC 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period January 1, 2013 to December 31, 2013

**UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013, EQUITIES *continued***

Description	Account Type	Acquired Date	Symbol/ Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Net Change
CIA ENERGETICA MINAS GERAIS-CEMG SPON ADR REPR 1 N-VTG PRF SHS(LEVEL 2)	CASH	03/12/13	CG	\$7.79	16,1981	\$126.18	\$0.00	\$126.18
CIA ENERGETICA MINAS GERAIS-CEMG SPON ADR REPR 1 N-VTG PRF SHS(LEVEL 2)	CASH	07/05/13	CG	\$7.79	4,333	\$33.75	\$0.00	\$33.75
CIA ENERGETICA MINAS GERAIS-CEMG SPON ADR REPR 1 N-VTG PRF SHS(LEVEL 2)	CASH	07/05/13	CG	\$7.79	2026	\$157.83	\$0.00	\$157.83
CIA ENERGETICA MINAS GERAIS-CEMG SPON ADR REPR 1 N-VTG PRF SHS(LEVEL 2)	CASH	07/25/13	CG	\$7.79	8,067	\$62.84	\$0.00	\$62.84
CIA ENERGETICA MINAS GERAIS-CEMG SPON ADR REPR 1 N-VTG PRF SHS(LEVEL 2)	CASH	11/07/13	CG	\$7.79	23,973	\$186.75	\$0.00	\$186.75
CIA ENERGETICA MINAS GERAIS-CEMG SPON ADR REPR 1 N-VTG PRF SHS(LEVEL 2)	CASH	12/27/13	CG	\$7.79	18,415	\$143.45	\$0.00	\$143.45
CIA ENERGETICA MINAS GERAIS-CEMG SPON ADR REPR 1 N-VTG PRF SHS(LEVEL 2)	CASH	12/27/13	CG	\$7.79	13,461	\$104.86	\$0.00	\$104.86
<b>Position Total</b>			<b>CG</b>	<b>764.10700</b>	<b>\$5,952.39</b>	<b>\$7,310.02</b>	<b>(\$1,357.63)</b>	
GOLDCORP INC COM NPY ISIN #CA3809564097 SEDOL #676302	CASH	03/15/11	GG	\$21.67	51	\$1,105.17	\$2,361.01	(\$1,255.84)
GOLDCORP INC COM NPY ISIN #CA3809564097 SEDOL #676302	CASH	04/26/11	GG	\$21.67	0.032	\$0.69	\$0.00	\$0.69
GOLDCORP INC COM NPY ISIN #CA3809564097 SEDOL #676302	CASH	05/20/11	GG	\$21.67	0.036	\$0.78	\$0.00	\$0.78
GOLDCORP INC COM NPY ISIN #CA3809564097 SEDOL #676302	CASH	06/24/11	GG	\$21.67	0.037	\$0.80	\$0.00	\$0.80
GOLDCORP INC COM NPY ISIN #CA3809564097 SEDOL #676302	CASH	07/25/11	GG	\$21.67	0.033	\$0.72	\$0.00	\$0.72
GOLDCORP INC COM NPY ISIN #CA3809564097 SEDOL #676302	CASH	08/26/11	GG	\$21.67	0.034	\$0.74	\$0.00	\$0.74

**Euro Pacific**  
Capital Inc.

member  
FINRA, SIPC

Euro Pacific Capital

CEBGCSCBBN1.KZ\_RJPRHG 20140124

Account settled with National Financial Services Ltd., Member  
NYSE, SIPC

Statement for the Period Ended December 31, 2013

MICHAEL K SIMON  
Account Number  
~~XXXXXXXXXXXXXX~~



**UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > EQUITIES *continued***

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Net Change
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	09/23/11	GG	\$21.67	0.039	\$0.85	\$0.00	\$0.85
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	10/21/11	GG	\$21.67	0.038	\$0.82	\$0.00	\$0.82
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	11/25/11	GG	\$21.67	0.035	\$0.76	\$0.00	\$0.76
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	12/23/11	GG	\$21.67	0.052	\$1.13	\$0.00	\$1.13
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	01/27/12	GG	\$21.67	0.048	\$1.04	\$0.00	\$1.04
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	02/24/12	GG	\$21.67	0.048	\$1.04	\$0.00	\$1.04
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	03/23/12	GG	\$21.67	0.051	\$1.11	\$0.00	\$1.11
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	04/20/12	GG	\$21.67	0.058	\$1.26	\$0.00	\$1.26
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	05/25/12	GG	\$21.67	0.063	\$1.37	\$0.00	\$1.37
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	06/22/12	GG	\$21.67	0.062	\$1.34	\$0.00	\$1.34
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	07/27/12	GG	\$21.67	0.064	\$1.39	\$0.00	\$1.39
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	08/27/12	GG	\$21.67	0.058	\$1.26	\$0.00	\$1.26
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	09/21/12	GG	\$21.67	0.051	\$1.11	\$0.00	\$1.11
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	10/22/12	GG	\$21.67	0.054	\$1.17	\$0.00	\$1.17
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	11/23/12	GG	\$21.67	0.058	\$1.26	\$0.00	\$1.26
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	12/21/12	GG	\$21.67	0.066	\$1.43	\$0.00	\$1.43
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	01/25/13	GG	\$21.67	0.073	\$1.58	\$0.00	\$1.58

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SCHAFFNER  
Account Number: 20140124  
WORKS WITH VALUATION  
SHOCKER-HOKEH-BERK



**UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > EQUITIES continued**

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Net Change
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	02/22/13	GG	\$21.67	0.079	\$1.71	\$0.60	\$1.11
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	02/28/13	GG	\$21.67	178	\$3,857.26	\$5,793.61	(\$1,936.35)
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	03/22/13	GG	\$21.67	0.347	\$7.52	\$0.00	\$7.52
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	04/26/13	GG	\$21.67	0.396	\$8.58	\$0.00	\$8.58
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	05/24/13	GG	\$21.67	0.431	\$9.34	\$0.00	\$9.34
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	06/28/13	GG	\$21.67	0.462	\$10.01	\$0.00	\$10.01
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	07/26/13	GG	\$21.67	0.403	\$8.73	\$0.00	\$8.73
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	08/30/13	GG	\$21.67	0.388	\$8.41	\$0.00	\$8.41
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	09/27/13	GG	\$21.67	0.445	\$9.54	\$0.00	\$9.54
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	10/25/13	GG	\$21.67	0.431	\$9.34	\$0.00	\$9.34
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	11/22/13	GG	\$21.67	0.516	\$11.18	\$0.00	\$11.18
GOLDCORP INC COM NPV ISIN #CA3809564097 SEDOL #2676302	CASH	12/20/13	GG	\$21.67	0.561	\$12.16	\$0.00	\$12.16
<b>Position Total</b>			<b>GG</b>	<b>234.549</b>	<b>\$5,082.68</b>	<b>\$8,154.62</b>	<b>(\$3,071.94)</b>	
MAJOR DRILLING INC COM NPV ISIN #CA5609091031 SEDOL #2557515	CASH	11/02/12	MJDF	\$7,237.65	249	\$1,802.17	\$2,627.83	(\$25.66)
NESTLE SA SPON ADR EACH REPR 1 COM 1HF.10	CASH	03/16/11	NSRGY	\$73,424	77	\$5,633.65	\$4,153.98	\$1,485.67
PRECISION DRILLING CORPORATION COM NPV ISIN #CA7402ZD3085 SEDOL #B5VPLH9	CASH	06/14/11	PDS	\$9.37	260	\$2,436.20	\$3,546.92	(\$1,110.72)

Euro Pacific Capital

VN CEBBCGSCBBNJKZ RBBBC 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPA

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIN  
Account Number



## UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > EQUITIES with mark

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Net Change
PRECISION DRILLING CORPORATION COM NPV ISIN #CA74022D3085 SEDOL #B5YPLH9	CASH	04/17/12	PDS	\$9.37	170	\$1,592.90	\$1,554.57	\$38.33
PRECISION DRILLING CORPORATION COM NPV ISIN #CA74022D3085 SEDOL #B5YPLH9	CASH	07/17/12	PDS	\$9.37	361	\$3,382.57	\$2,395.07	\$987.50
PRECISION DRILLING CORPORATION COM NPV ISIN #CA74022D3085 SEDOL #B5YPLH9	CASH	12/28/12	PDS	\$9.37	6,421	\$60.16	\$0.00	\$60.16
PRECISION DRILLING CORPORATION COM NPV ISIN #CA74022D3085 SEDOL #B5YPLH9	CASH	03/15/13	PDS	\$9.37	56	\$52.47	\$0.00	\$52.47
PRECISION DRILLING CORPORATION COM NPV ISIN #CA74022D3085 SEDOL #B5YPLH9	CASH	05/15/13	PDS	\$9.37	6,296	\$58.99	\$0.00	\$58.99
PRECISION DRILLING CORPORATION COM NPV ISIN #CA74022D3085 SEDOL #B5YPLH9	CASH	08/15/13	PDS	\$9.37	4,915	\$46.05	\$0.00	\$46.05
PRECISION DRILLING CORPORATION COM NPV ISIN #CA74022D3085 SEDOL #B5YPLH9	CASH	11/15/13	PDS	\$9.37	4,867	\$45.60	\$0.00	\$45.60
<b>Position Total</b>			<b>PDS</b>	<b>\$19,099</b>	<b>\$7,674.96</b>	<b>\$7,496.56</b>		<b>\$178.40</b>
ROYAL DUTCH SHELL ADR EA REP 2 CL A EURO 0.07	CASH	03/15/11	RDSA	\$71.27	35	\$2,494.45	\$2,381.11	\$113.34
STATOIL ASA SPON ADR EACH REP 1 ORD NOK250LVL111	CASH	03/15/11	STO	\$24.13	93	\$2,244.09	\$2,356.27	(\$112.18)
STATOIL ASA SPON ADR EACH REP 1 ORD NOK250LVL111	CASH	06/13/11	STO	\$24.13	3,626	\$87.50	\$0.00	\$87.50
STATOIL ASA SPON ADR EACH REP 1 ORD NOK250LVL111	CASH	06/11/12	STO	\$24.13	3,847	\$92.83	\$0.00	\$92.83
STATOIL ASA SPON ADR EACH REP 1 ORD NOK250LVL111	CASH	06/05/13	STO	\$24.13	4,333	\$104.56	\$0.00	\$104.56

Account carried with National Financial Services || C. Morris

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K S  
Account Number  
ORD N6K2501VU111  
MICHAEL K S  
Account Number  
ORD N6K2501VU111



UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > EQUITIES *continued*

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Net Change
STATOL ASA SPON ADR EACH REP1	CASH	10/25/13	STO	\$24.13	232	\$5,598.16	\$5,606.95	(\$8.79)
TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH	CASH	08/08/11	VIV	\$19.22	310	\$5,958.20	\$8,717.72	(\$2,759.52)
TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH	CASH	05/09/12	VIV	\$19.22	5,801	\$111.50	\$0.00	\$111.50
TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH	CASH	05/10/12	VIV	\$19.22	2,598	\$49.93	\$0.00	\$49.93
TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH	CASH	07/25/12	VIV	\$19.22	96	\$1,845.12	\$2,136.04	(\$290.92)
TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH	CASH	12/19/12	VIV	\$19.22	8,491	\$163.20	\$0.00	\$163.20
TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH	CASH	12/20/12	VIV	\$19.22	5,127	\$98.54	\$0.00	\$98.54
TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH	CASH	02/26/13	VIV	\$19.22	12,153	\$233.58	\$0.00	\$233.58
TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH	CASH	12/04/13	VIV	\$19.22	13,726	\$263.81	\$0.00	\$263.81
TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH	CASH	12/04/13	VIV	\$19.22	1,772	\$34.06	\$0.00	\$34.06
TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH	CASH	12/04/13	VIV	\$19.22	7,068	\$139.85	\$0.00	\$139.85
TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH	CASH	12/04/13	VIV	\$19.22	4,333	\$89.28	\$0.00	\$89.28
TELEFONICA BRASIL SA SPON ADR EA REPR 1 PFD SH	CASH	12/04/13	VIV	\$19.22	1,772	\$34.06	\$0.00	\$34.06
YAMANA GOLD INC COM NPV ISIN #CA98462Y1007 SEDOL #2219279	CASH	03/15/11	AUY	\$8.62	193	\$1,663.66	\$2,335.71	(\$672.05)
YAMANA GOLD INC COM NPV ISIN #CA98462Y1007 SEDOL #2219279	CASH	04/14/11	AUY	\$8.62	0.453	\$3.90	\$0.00	\$3.90

Euro Pacific Capital

VN\_CFBGGSCTBBNKZ\_BBBBC\_20140121

Account carried with National Financial Services LLC, Atlanta

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K S  
Account Number  
#CA98462Y1007  
YAMANA GOLD INC COM NPY ISIN  
#CA98462Y1007 SEDOL #2219279

YAMANA GOLD INC COM NPY ISIN  
#CA98462Y1007 SEDOL #2219279



**UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > EQUITIES *continued***

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Net Change
YAMANA GOLD INC COM NPY ISIN #CA98462Y1007 SEDOL #2219279	CASH	07/14/11	AUY	\$8.62	0.445	\$3.84	\$0.50	\$3.34
YAMANA GOLD INC COM NPY ISIN #CA98462Y1007 SEDOL #2219279	CASH	10/14/11	AUY	\$8.62	0.582	\$5.02	\$0.00	\$5.02
YAMANA GOLD INC COM NPY ISIN #CA98462Y1007 SEDOL #2219279	CASH	01/13/12	AUY	\$8.62	0.62	\$5.34	\$0.00	\$5.34
YAMANA GOLD INC COM NPY ISIN #CA98462Y1007 SEDOL #2219279	CASH	04/13/12	AUY	\$8.62	0.715	\$6.16	\$0.00	\$6.16
YAMANA GOLD INC COM NPY ISIN #CA98462Y1007 SEDOL #2219279	CASH	07/13/12	AUY	\$8.62	0.719	\$6.20	\$0.00	\$6.20
YAMANA GOLD INC COM NPY ISIN #CA98462Y1007 SEDOL #2219279	CASH	10/12/12	AUY	\$8.62	0.686	\$5.91	\$0.00	\$5.91
YAMANA GOLD INC COM NPY ISIN #CA98462Y1007 SEDOL #2219279	CASH	01/14/13	AUY	\$8.62	0.732	\$6.31	\$0.00	\$6.31
YAMANA GOLD INC COM NPY ISIN #CA98462Y1007 SEDOL #2219279	CASH	04/11/13	AUY	\$8.62	0.963	\$8.30	\$0.00	\$8.30
YAMANA GOLD INC COM NPY ISIN #CA98462Y1007 SEDOL #2219279	CASH	07/12/13	AUY	\$8.62	1.327	\$11.44	\$0.00	\$11.44
YAMANA GOLD INC COM NPY ISIN #CA98462Y1007 SEDOL #2219279	CASH	08/13/13	AUY	\$8.62	225	\$1,939.50	\$2,355.45	(\$415.95)
YAMANA GOLD INC COM NPY ISIN #CA98462Y1007 SEDOL #2219279	CASH	10/11/13	AUY	\$8.62	3,009	\$25.94	\$0.00	\$25.94
			Position Total	AUY	428.251	\$3,691.52	\$4,691.16	(\$999.64)
					42,549.12500	\$200,627.86	\$198,353.29	\$2,274.57
			Total Equities					

Euro Pacific Capital

YN\_CEBBGCSBBBBNIKZ\_BBIBKC 20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

## **Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMONSEN  
Account Number: 12345678901234567890

HUKVAN UKVAN OKVAN UKV

**Euro Pacific**

**UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013, OTHER SECURITIES**

Description	Account Type	Date Acquired	Symbol/Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Net Change
CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6561370	CASH	03/21/11	Q21748118	\$1.74009	3,968.74632	\$6,905.98	\$7,320.15	(\$414.17)
CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6561370	CASH	08/25/11	Q21748118	\$1.74009	0.98894	\$1.72	\$0.00	\$1.72
CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6561370	CASH	08/25/11	Q21748118	\$1.74009	1.47091	\$2.56	\$0.00	\$2.56
CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6561370	CASH	08/25/11	Q21748118	\$1.74009	1.81988	\$3.17	\$0.00	\$3.17
CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6561370	CASH	08/25/11	Q21748118	\$1.74009	5.99662	\$10.43	\$0.00	\$10.43
CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6561370	CASH	08/25/11	Q21748118	\$1.74009	7.084947	\$123.28	\$0.00	\$123.28
CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6561370	CASH	08/25/11	Q21748118	\$1.74009	89.33729	\$155.45	\$0.00	\$155.45
CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6561370	CASH	02/28/12	Q21748118	\$1.74009	0.65196	\$1.13	\$0.00	\$1.13
CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6561370	CASH	02/28/12	Q21748118	\$1.74009	89.40629	\$155.57	\$0.00	\$155.57
CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6561370	CASH	02/28/12	Q21748118	\$1.74009	96.52683	\$167.97	\$0.00	\$167.97
CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6561370	CASH	08/28/12	Q21748118	\$1.74009	1,740.09	\$2,952.95	\$1,212.86	\$1,740.09
CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6561370	CASH	08/28/12	Q21748118	\$1.74009	1,740.09	\$2,952.95	\$1,212.86	\$1,740.09

Account carried with National Financial Services Ltd., Ahmedabad.

Euro Pacific Capital

YNTCEBBGCSCKZ\_BBBC 20140127

Statement for the Period January 1, 2013 to December 31, 2013

MICHAEL K SIMONEVSKY & VANCE, JR., IRA  
Account Number: 10000000000000000000  
Phone: 800-222-1234



UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2013 > OTHER SECURITIES *continued*

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/13	Quantity	Market Value	Cost	Net Change
CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6361370	CASH	08/28/12	021748118	\$1.74009	18,868.79	\$32,83	\$0.00	\$32,83
CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6361370	CASH	08/28/12	021748118	\$1.74009	85,085.56	\$148.06	\$0.00	\$148.06
CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6361370	CASH	08/28/12	021748118	\$1.74009	58,812.24	\$102.34	\$0.00	\$102.34
CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6361370	CASH	08/28/12	021748118	\$1.74009	0.68595	\$1.19	\$0.00	\$1.19
CFS RETAIL PPTY TR STAPLED SECURITY ISIN #AU000000CFX0 SEDOL #6361370	CASH	02/17/48118	\$1.74009	0.287	\$0.50	Unknown		
Position Total		021748118		4,490.28700	\$7,813.50	Unknown		

## Account Profile

**ALERT:** The information provided is a summary of your Account Profile based on information provided by your broker/dealer. This information verifies your account features as of the date the statement was prepared. If you have any questions, please contact your representative.

**Account Profile > Proceeds**

Proceeds from the sale(s) in your account will be invested in your core money market.

**Account Profile > Dividends**

Dividends from your account are invested in your core money market.

Note: If you have position-level dividend elections, please review those with your representative.

**Euro Pacific Capital**

VN\_CEBGCCSCBBNIKZ\_BBHKC\_20140124

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

## **Statement for the Period January 1, 2013 to December 31, 2013**



## Account Profile > Retirement Information

If you do not have an accepted beneficiary designated on your account, your beneficiaries will be determined pursuant to the default terms of your Custodial Agreement, Disclosure Statement or Trust Agreement, as applicable.

Please be advised, when you reach age 70 1/2, the Internal Revenue Service may require you to begin taking Required Minimum Distributions (RMD) from your retirement account(s), each year. Please consult your representative or tax advisor with questions regarding the calculation of the RMD amount and the deadlines associated with taking the RMD.

You have the following as designated beneficiary(s) for the above-named account:

KATHERINE SIMPSON  
Relationship: Spouse  
Primary  
Residence: 100.00%  
Name:

## Footnotes and Cost Information

NFS makes no warranties with respect to, and specifically disclaims any liability arising out of your use of, or any position taken in reliance upon, NFS-provided cost and net change information. NFS determines gain (loss) using the first-in, first-out (FIFO) method on all transactions in a retirement account unless an alternative disposal method has been selected by you, or your broker dealer has elected to use another disposal method in the event you do not elect a method. For retirement accounts, gain/loss information should not be used for tax purposes. Cost information for debt securities has not been adjusted for amortization or accretion.

Miscellaneous Footnotes

**CHANGE IN VALUE OF YOUR PORTFOLIO** is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

Euro Pacific Capital

CEBGCSCBBNIKZ\_BBBBC 20140124

Account listed with National Financial Services LLC, Member  
NYSE, SIPC

**Statement for the Period January 1, 2013 to December 31, 2013**

MICHAEL K SIMONE  
Account Number: 10000000000000000000

## Miscellaneous Footnotes

CONFIDENTIAL

**CALLABLE SECURITIES LOTTERY** - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of applicable securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redeemed securities, NFS utilizes an impartial lottery allocation system. In accordance with the applicable rules, that randomly selects the securities within customer accounts that will be called/redeemed. NFS allocations are not made on a pro rata basis and it is possible for you to receive a partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargin.

**FOREIGN EXCHANGE TRANSACTIONS** - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

**CALLABLE SECURITIES LOTTERY** - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of applicable securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redeemed securities, NFS utilizes an impartial lottery allocation system. In accordance with the applicable rules, that randomly selects the securities within customer accounts that will be called/redeemed. NFS allocations are not made on a pro rata basis and it is possible for you to receive a partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargin.

**CALLABLE SECURITIES LOTTERY** - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of applicable securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redeemed securities, NFS utilizes an impartial lottery allocation system. In accordance with the applicable rules, that randomly selects the securities within customer accounts that will be called/redeemed. NFS allocations are not made on a pro rata basis and it is possible for you to receive a partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargin.

member  
FINRA, SIPC

Euro Pacific Capital

W\_GFBGCSBBNIK\_BBBSC\_201\_01\_2

Account carried with National Financial Services LLC, Member  
NYSE, SIPC.

## GLOSSARY Short Account Balances - If you have sold securities under the short sale rule, we have, in accordance with regulations, segregated the proceeds from such transactions in your Short Account.

Market Increases or decreases from the original sale price will be marked to the market and will be transferred to your Margin Account on a weekly basis. Market Value - The Total Market Value has been calculated out to 9 decimal places, however, the individual unit price is displayed in 5 decimal places. The Total Market Value represents prices obtained from various sources, may be impacted by the frequency in which such prices are reported and such prices are not guaranteed. Prices received from pricing vendors are generally based on current market quotes, but when such quotes are not available the pricing vendors use a variety of techniques to estimate value. These estimates, particularly for fixed income securities, may be based on certain minimum principal amounts (e.g. \$1 million) and may not reflect all of the factors that affect the value of the security, including liquidity risk. The prices provided are not firm bids or offers. Certain securities may reflect "N/A" or "unavailable" where the price for such security is generally not available from a pricing source. The Market Value of a security, including those priced at par value, may differ from its purchase price and may not reflect concerns regarding your brokerage account or the activity therein should be directed to your broker-dealer at the telephone number and address reflected on the front of this statement and National Financial Services LLC ("NFS") who carries your brokerage account and acts as your custodian for funds and securities that are deposited with NFS by you or your broker-dealer, or as a result of transactions NFS processes for your account. You may also be contacted for statement discrepancies. NFS may be called at (800) 801-9942. Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPA"). When contacting either NFS or your broker-dealer, remember to include your entire brokerage account number to ensure a prompt reply. Please notify the service center or your broker-dealer promptly in writing of any change of address.

**ADDITIONAL INFORMATION** Customer free credit balances are not segregated and may be used in communications, including those under the Securities Investor Protection Act ("SIPA"). When contacting either NFS or your broker-dealer, remember to include your entire brokerage account number to ensure a prompt reply. NFS business in accordance with securities laws, you have the right to receive, subject to open commitments, in any of your brokerage accounts, any free credit balances to which you are entitled. Interest on free credit balances awaiting reinvestment may be paid out at rates that may vary with current short-term money market rates and/or your brokerage account balances, set at the discretion of your broker-dealer and/or NFS. If your free credit balance is automatically transferred to a money market fund or to an FDIC insured bank account you use as your brokerage account's core position, you can liquidate the shares of the money market fund or bank balances at any time and have the proceeds remitted to you or held in your brokerage account subject to the terms and conditions of your account agreement.

**Credit Adjustment Program.** Accountholders eligible to receive credit adjustments intended to help cover additional associated federal tax burdens. NFS reserves the right to deny the adjustment to any accountholder and to amend or terminate the credit adjustment program.

**Options Customers.** Each transaction confirmation previously delivered to you contains full information about commissions and other charges. If you require further information, please contact your broker-dealer.

**Assignments of American and European-style options.** are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise assignment only during the exercise period. You should advise your broker-dealer promptly of any material change in your investment objectives or financial situation.

**Splits, Dividends, and Interest.** Splits, dividends, and next interest payable information has been provided by third parties and may be subject to change. Information for certain securities may be missing if not received from third parties in time for printing. NFS is not responsible for inaccurate, incomplete, or missing information. Please consult your broker-dealer for more information about expected stock split, next dividend payable, and next interest payable for certain securities.

**Equity Dividend Reinvestment Customers.** Shares credited to your brokerage account resulted from transactions effected as agent by either: 1) Your broker-dealer for your investment account; or 2) through the Depository Trust Company (DTC) dividend reinvestment program. For broker-dealer effected transactions, the time of the transactions, the exchange upon which these transactions occurred and the name of the person from whom the security was purchased will be furnished upon written request. NFS may have acted as market maker in effecting trades in over-the-counter securities.

**Retirement Contributions/Distributions.** A summary of retirement contributions/distributions is displayed for you in the activity summary section of your statement. Income Reporting. NFS reports earnings from investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and, Keoghs as tax deferred income. Earnings from Roth IRAs are reported as tax-free income, since distributions may be tax-free after meeting the 5 year aging requirement and certain other conditions. A financial statement of NFS is available for your personal inspection at its office or a copy of it will be mailed to you upon your written request.

**Statement Mailing.** NFS will deliver statements by mail or, if applicable, notify you by e-mail of your statement's availability, if you had transactions that affected your cash balances or security positions held in your account(s), during the last monthly reporting period. At a minimum, all brokerage customers will receive quarterly statements (at least four times per calendar year) as long as their accounts contain a cash or securities balance.

**CUSTOMER SERVICE:** Please review your statement and report any discrepancies immediately. Inquiries or concerns regarding your brokerage account or the activity therein should be directed to your broker-dealer at the telephone number and address reflected on the front of this statement and National Financial Services LLC ("NFS") who carries your brokerage account and acts as your custodian for funds and securities that are deposited with NFS by you or your broker-dealer, or as a result of transactions NFS processes for your account. You may also be contacted for statement discrepancies. NFS may be called at (800) 801-9942. Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPA"). When contacting either NFS or your broker-dealer, remember to include your entire brokerage account number to ensure a prompt reply. Please notify the service center or your broker-dealer promptly in writing of any change of address.

**ADDITIONAL INFORMATION** Customer free credit balances are not segregated and may be used in communications, including those under the Securities Investor Protection Act ("SIPA"). When contacting either NFS or your broker-dealer, remember to include your entire brokerage account number to ensure a prompt reply. NFS business in accordance with securities laws, you have the right to receive, subject to open commitments, in any of your brokerage accounts, any free credit balances to which you are entitled. Interest on free credit balances awaiting reinvestment may be paid out at rates that may vary with current short-term money market rates and/or your brokerage account balances, set at the discretion of your broker-dealer and/or NFS. If your free credit balance is automatically transferred to a money market fund or to an FDIC insured bank account you use as your brokerage account's core position, you can liquidate the shares of the money market fund or bank balances at any time and have the proceeds remitted to you or held in your brokerage account subject to the terms and conditions of your account agreement.

**Credit Adjustment Program.** Accountholders eligible to receive credit adjustments intended to help cover additional associated federal tax burdens. NFS reserves the right to deny the adjustment to any accountholder and to amend or terminate the credit adjustment program.

**Options Customers.** Each transaction confirmation previously delivered to you contains full information about commissions and other charges. If you require further information, please contact your broker-dealer.

**Assignments of American and European-style options.** are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise assignment only during the exercise period. You should advise your broker-dealer promptly of any material change in your investment objectives or financial situation.

**Splits, Dividends, and Interest.** Splits, dividends, and next interest payable information has been provided by third parties and may be subject to change. Information for certain securities may be missing if not received from third parties in time for printing. NFS is not responsible for inaccurate, incomplete, or missing information. Please consult your broker-dealer for more information about expected stock split, next dividend payable, and next interest payable for certain securities.

**Equity Dividend Reinvestment Customers.** Shares credited to your brokerage account resulted from transactions effected as agent by either: 1) Your broker-dealer for your investment account; or 2) through the Depository Trust Company (DTC) dividend reinvestment program. For broker-dealer effected transactions, the time of the transactions, the exchange upon which these transactions occurred and the name of the person from whom the security was purchased will be furnished upon written request. NFS may have acted as market maker in effecting trades in over-the-counter securities.

**Retirement Contributions/Distributions.** A summary of retirement contributions/distributions is displayed for you in the activity summary section of your statement. Income Reporting. NFS reports earnings from investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and, Keoghs as tax deferred income. Earnings from Roth IRAs are reported as tax-free income, since distributions may be tax-free after meeting the 5 year aging requirement and certain other conditions. A financial statement of NFS is available for your personal inspection at its office or a copy of it will be mailed to you upon your written request.

**Statement Mailing.** NFS will deliver statements by mail or, if applicable, notify you by e-mail of your statement's availability, if you had transactions that affected your cash balances or security positions held in your account(s), during the last monthly reporting period. At a minimum, all brokerage customers will receive quarterly statements (at least four times per calendar year) as long as their accounts contain a cash or securities balance.

closely reflect the value at which the security may be sold or purchased based on various market factors. Investment decisions should be made only after consulting your broker-dealer. Estimated Yield ("EY") and Estimated Annual Income ("EA") - When available, the coupon rate of some fixed income securities is divided by the current market value of the fixed income security to create the EY figure and/or the current interest rate or most recently declared dividends for certain securities are annualized to create the EA figure. EA and EY are estimates, and the income and yield might be lower or higher. Estimates may include return of principal or capital gains, which would render them overstated. In addition, EA is calculated for positions of accounts where dividends are reinvested and not paid as income. EY reflects only the income generated by an investment, not changes in prices which fluctuate. These figures are based on mathematical calculations of available data, and have been obtained from information providers believed to be reliable, but no assurance can be made as to accuracy. Since the interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political and business conditions, they should not be relied on for making investment, trading decisions, or tax decisions.

**Loads and Fees.** In connection with access to, purchase of, and/or maintenance of positions in mutual funds and other investment products ("funds"), your introducing broker dealer and/or NFS may receive its sales loads and 12b-1 fees described in the prospectus as well as additional compensation, paid by the funds, their investment advisors or affiliates. Additional information about the source(s) and amount(s) of compensation as well as other remuneration payable by your introducing broker dealer and/or NFS will be furnished to you upon written request. At time of purchase fund shares may be assigned a load, transaction fee or no transaction fee status. At time of sale, any fees applicable to your transaction will be assessed based on the status assigned to the shares at time of purchase. Margin. If you have applied for margin privileges and been approved, you may borrow money from NFS in exchange for pledging the assets in your account as collateral for any outstanding margin loan. The amount you may borrow is based on the value of securities in your margin account, which is identified on your statement. If you have a margin account, this is a combined statement of your margin account and special memorandum account other than your non-purpose margin accounts maintained by you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve Board. The permanent record of the separate account, as required by Regulation T, is available for your inspection upon request. NYSE and FINRA. All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange market and its clearing house. If any where the transactions are executed, and of the New York Stock Exchange (NYSE) and of the Financial Industry Regulatory Authority ("FINRA"). The FINRA requires that we notify you in writing of the availability of an investor brochure that includes information describing FINRA Regulation's BrokerCheck Program ("Program"). To obtain a brochure or more information about the Program or FINRA Regulation, contact the FINRA Regulation BrokerCheck Program Hotline at (800) 239-9990 or access the FINRA's web site at www.finra.org. FINRA Rule 4311 requires that your broker-dealer and NFS allocate between them certain functions regarding the administration of your brokerage account. The following is a summary of the allocation services performed by your broker-dealer and NFS. A more complete description is available upon request. Your broker-dealer is responsible for: (1) obtaining and verifying brokerage account information and documentation, (2) opening, approving and monitoring your brokerage account, (3) transmitting timely and accurate orders and other instructions to NFS with respect to your brokerage account, (4) determining the suitability of investment recommendations and advice, (5) operating, and supervising your brokerage account and its own activities in compliance with applicable laws and regulations, including compliance with margin rules pertaining to your margin account, if applicable, and (6) maintaining required books and records for the services that it performs. NFS shall, at the direction of your broker-dealer, (1) execute, clear and settle transactions processed through NFS by your broker-dealer, (2) prepare and send transaction confirmations and periodic statements of your brokerage account (unless your broker-dealer has undertaken to do so). Certain securities pricing and descriptive information may be provided by your broker-dealer or obtained from third parties deemed to be reliable, however, this information has not been verified by NFS. (3) act as custodian for funds and securities received by NFS on your behalf, (4) follow the instructions of your broker-dealer with respect to transactions and the receipt and delivery of funds and securities for your brokerage account, and (5) extend margin credit for purchasing or carrying securities on margin. Your broker-dealer is responsible for ensuring that your brokerage account is in compliance with federal, industry and NFS margin rules, and for advising you of margin requirements. NFS shall maintain the required books and records for the services it performs. Securities in accounts carried by NFS are protected in accordance with the Securities Investor Protection Corporation ("SIPC") up to \$500,000. For claims filed on or after July 22, 2010, the \$500,000 total amount of SIPC protection is inclusive of up to \$250,000 protection for claims for cash, subject to periodic adjustments for inflation in accordance with terms of the SIPC statute and approval by SIPC's Board of Directors. NFS also has arranged for coverage above these limits. Neither coverage protects against a decline in the market value of securities, nor does either coverage extend to certain securities that are considered ineligible for coverage. For more details on SIPC, or to request a SIPC brochure, visit [www.sipc.org](http://www.sipc.org) or call 1-202-371-8300. Funds used to purchase or sweep to a bank deposit are SIPC protected until deposited to a Program Bank at which time funds may be eligible for FDIC insurance. Assets Held Away, commodities, unregistered investment contracts, futures accounts, loaned securities and other investments may not be covered. Mutual funds and/or other securities are not backed or guaranteed by any bank, nor are they insured by the FDIC and involve investment risk including possible loss of principal.

**End of Statement**