HEATH SHULER
11th DISTRICT
NORTH CAROLINA





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2999 FEB -9 PH 2: 56

United States Congress

House of Representatives and so of the MESENTATIVES

MC

February 9, 2009

Stan Simpson
Counsel
Committee on Standards of Official Conduct
U.S. House of Representatives
B106 Cannon House Office Building
Washington, DC 20515

Dear Mr. Simpson:

The attached is an amendment to my 2007 financial disclosure report. It provides documentation of my securities in all of my investment accounts.

As always, I am appreciative of your efforts and your assistance with this matter. Please be in touch with Julie Fishman in my office with any questions or concerns. She can be reached at <u>Julie fishman@mail.house.gov</u> or 202-225-6401.

Sincerely,

Heath Shuler

Member of Congress

| Description of Asset | Year-End Value of Asset | Type of Income | Amount of Income | Type of Transaction | Date | Amon at of Transaction |
|--|--|---|--------------------------------|--|----------------------|--|
| AEGON N V ORD AMER REG | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | | | |
| ABN AMRO HOLDING N V SPONSORED ADR | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 04/03/07 | \$1,001 - \$15,000 |
| | | | | PS | 08/06/07 | \$1,001 - \$15,000 |
| | | 1 | 1 | Merger Redemption | 10/18/07 | \$1 - \$1,000 \$1,000 - \$15,000 |
| ALCATEL LUCENT ADR | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | Redemption | 10/19/07 | \$ \$1,001-313,000 |
| AMGEN INC. | \$1,001 - \$15,000 | None | None. | P I | 05/18/07 | \$1 - \$1,000 |
| | | | | P | 06/08/07 | \$1,001 - \$15,000 |
| ASTRAZENECA PLC | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | P | 6/4//2007 | \$1 - \$1,000 |
| | } | 1 | | P ' | 06/18/07 | \$1 001 - \$15,000 |
| | | | | P | 08/29/07 | \$1 001 - \$15,000 |
| AZKO NOBEL N V ADR | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 03/19/07 | \$1 - \$1,000 |
| AL TRIA CROUP BIG | _ | | | PS PS | 07/17/07 | \$1,001 - \$15,000 |
| ALTRIA GROUP INC. AT&T INC. | \$1,001 - \$15,000 | Dividends | \$1-\$1,000 | Cash in Lieu | 11/19/07 | \$1 001 - \$15,000 \$1 - \$1,000 |
| AIRI INC. | \$1,001 - \$15,000 | Dividends | \$1.400, | PS PS | 01/03/07 02/05/07 | \$1 001 - \$15,000 |
| | | | | PS PS | 09/14/07 | \$1 001 - \$15,000 |
| BOSTON SCIENTIFIC | \$1,001 - \$15,000 | None. | None. | PS | 01/12/07 | \$1 - \$1,000 |
| BRISTOL MYERS SQUIBB CO. | \$1,001 - \$15,000 | Dividends | \$1-\$1,000 | 1 | 03/14/07 | \$1-\$1,000 |
| |] ''' | | 41 42,000 | PS | 02/02/07 | \$1 001 - \$15,000 |
| | | | | PS | 07/19/07 | \$1 - \$1,000 |
| BT GROUP PLC ADR | | | | PS | 02/07/07 | \$1 001 - \$15,000 |
| | ļ | | | s | 06/01/07 | \$1 001 - \$15,000 |
| CITIGROUP INC. | \$1,001 - \$15,000 | None | None. | P | 11/05/07 | \$1 001 - \$15,000 |
| | | | | P | 11/20/07 | \$1 001 - \$15,000 |
| COUNTRYWIDE FINANCIAL CORP. | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | P | 08/03/07 | \$1 001 - \$15,000 |
| | 1 | | 1 | P | 09/26/07 | \$1,701 - \$15,000 |
| | | | | P | 11/20/07 | s1 - \$1,000 |
| DAIMLER CHRYSLER AG OR | | 1 | 1 | S | 04/03/07 | \$1,001 - \$15,000 |
| | | | 1 | S . | 05/15/07 | H - \$1,000 |
| | | | 1 | S | 05/31/07 | \$1,001 - \$15,000 |
| DEL DIC | \$1.001 #15.000 | Mana | N | S | 06/11/07 | :1 - \$1,000 |
| DELL, INC. | \$1,001 - \$15,000 \$1,001 - \$15,000 | None. Dividends | None. | P\$ | 06/29/07 | \$1,101 - \$15,000 |
| DEUTSCHE TELECOM AG SPON ADR DOW CHEMICAL COMPANY | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 \$1 - \$1,000 | P | 03/09/07 | :1 - \$1,000 :1 - \$1,000 |
| EASTMAN KODAK COMPANY | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | | 01/03/07 | 11 - \$1,000 |
| ELECTRONIC DATA SYSTEM CORP | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | - | | |
| FANNIE MAE ASSN | \$1,001 - \$15,000 | None. | None. | P | 11/20/07 | \$1,001 - \$15,000 |
| FEDERAL HOME LOAN MTG | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | P | 11/19/07 | \$1,001 - \$15,000 |
| FIFTH THIRD BANK | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PP | 02/09/07 | \$1,001 - \$15,000 |
| FORD MTR CO DEL | \$1,001 - \$15,000 | 2717,000,000 | 41 41,000 | '' | 02.05.07 | VI, 101 VISINO |
| FRANCE TELECOM SPON ADR | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 09/14/07 | \$1, 101 - \$15,000 |
| FUJIFILM HLDGS CORP ADR 2 ORD | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 02/27/07 | \$1,001 - \$15,000 |
| GANNETT CO INC. DEL | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PP | 07/18/07 | \$1,001 - \$15,000 |
| GENERAL ELECTRIC | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | | | |
| GENERAL MOTORS CORP. | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 09/28/07 | \$1, 01 - \$15,000 |
| GLAXOSMITHKLINE PLC-ADR | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PP | 02/28/07 | \$1, 01 - \$15,000 |
| HITACHI LTD ADR 10 COM | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | | | |
| HOME DEPOT INC. | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PP | 10/26/07 | \$1,001 - \$15,000 |
| | | | | PP | 12/11/07 | \$1,001 - \$15,000 |
| HSBC HOLDINGS PLC SPON | \$1.001 - \$15,000 | Dividends | \$1 - \$1,000 | P | 06/18/07 | \$1,001 - \$15,000 |
| Inc. Inc. Dic. | | | | | 05 (00) (07 | #1 \$1.000 |
| IDEARC, INC | | | | S PS | 05/09/07 01/05/07 | \$1 - \$1,000 \$1 - \$1.000 |
| INTEL CORP | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | <u> </u> | 2/26/2007 | \$1,001 - \$15,000 |
| INTED COID | \$1,501 - \$15,500 | 2 Midelius | #1 - #1,000 | s | 07/16/07 | \$1,101 - \$15,000 |
| | 1 | ì | | Š | 09/18/07 | \$1,101 - \$15,000 |
| | | | | s | 11/01/07 | \$1,101 - \$15,000 |
| INTERNATIONAL BUSINESS MACHINES CORP | | T | | PS | 08/03/07 | \$1,101 - \$15,000 |
| | | | 1 | P\$ | 08/17/07 | \$1,101 - \$15,000 |
| | | | | s | 09/18/07 | \$1,101 - \$15,000 |
| J SAINSBURY PLC ADR (NEW) | | | | S | 06/15/07 | \$1,001 - \$15,000 |
| NOSAHOL & NOZAHOL | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | P | 04/11/07 | \$1,001 - \$15,000 |
| | | | | P | 12/04/07 | \$1,001 - \$15,000 |
| KONINKLIJKE AHOLD ADR | \$1,001 - \$15,000 | Dividends/Return of | \$1 - \$1,000 | 1 | Į | Į. |
| WROCER COLINIST CO. CO. | | Capital | | | AA in a | |
| KROGER COMPANY COMMON | 1 | | | P\$ | 03/29/07 | \$1,001 - \$15,000 |
| | | | | PS | 05/01/07 | \$1,001 - \$15,000 |
| KT CORP SP ADR | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | | 06/07/07 | \$1,001 - \$15,000 |
| LOEWS CORPORATION | #1,001 - #10,000 | Prividends | a1 - \$1,000 | s | 02/14/07 | \$1,001 - \$15,000 |
| MARKS & SPENCER PLC ADR | \$1 - \$1,000 | Dividends | \$1 - \$1,000 | PS | 02/14/07 01/04/07 | \$1,031 - \$15,000 \$1,031 - \$15,000 |
| MARSH AND MC LENNAN COMPANIES INC | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | P | 04/11/07 | \$1,001 - \$15,000 |
| The same of the sa | 1,,5 \$15,555 | | \$1 · \$2,000 | P | 08/10/07 | \$1,001 - \$15,000 |
| MCCLATCHY COMPANY CL A | \$1,001 - \$15,000 | Dividends | \$1 -\$1,000 | l 'p | 05/09/07 | \$1,031 - \$15,000 |
| MERCK & CO INC. | | 1 | | PS | 05/03/07 | \$1,011 - \$15,000 |
| | | | 1 | PS | 08/03/07 | \$1,0)1 - \$15,000 |
| | | | 1 | PS | 10/24/07 | \$1,011 - \$15,000 |
| | | | | S. | 11/09/07 | \$1,0)1-\$15,000 |
| | | 1 | · | 1 | | |
| | | 1 | | 1 | 1 | |
| | | | | | | <u> </u> |
| MICRON TECHNOLOGY INC | \$1,001 - \$15,000 | None | None | Р | 02/23/07 | \$ -\$1,000 |
| | 1 | 1 | \ | \ P | 03/02/07 | \$ -\$1,000 |
| <u> </u> | | | _ | P | 04/20/07 | \$1,011 - \$15,000 |
| | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | <u>s</u> | 01/31/07 | \$1,0)1 - \$15,000 |
| MICROSOFT CORP | 01.05 | | | | | |
| MILLEA HOLDINGS INC ADR | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | | | # 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 |
| | \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends Dividends | \$1 - \$1,000 \$1 - \$1,000 | P | 02/07/07 | \$1,0)1 - \$15,000 \$1,011 - \$15,000 |

| MITSUI SUMITOMO INS | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | Р | 02/16/07 | \$1,001 - \$15,000 |
|--|--|---------------------------------|---|--|---|--|
| MIZUHO FINANCIL GRP ADR | \$1,001 - \$15,000 | 1 | None | P | 05/02/07 | \$1,001 - \$15,000 |
| | | | 1 | P | 05/22/07 | \$1,001 - \$15,000 |
| LIGHTON OL A DISCORDON LITTER | 1 | | 4 | P | 09/19/07 | \$1,001 - \$15,000 |
| MOTOROLA INCORPORATED | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | P | 06/08/07 | \$1,001 - \$15,000 |
| THE COURT OF THE C | | | | P | 07/30/07 | \$1,001 - \$15,000 |
| NATIONAL CITY CORP | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | P | 09/26/07 | \$1,001 - \$15,000 |
| A POR LOND | | | _ | P | 11/05/07 | \$1,001 - \$15,000 |
| NESTLE S A REG ADR | \$1 - \$1,000 | Dividends | \$1 - \$1,000 | PS | 08/17/07 | \$1,001 - \$15,000 |
| | | | - 1 | PS | 08/28/07 | \$1,001 - \$15,000 |
| | | | ľ | PS | 09/18/07 | \$1,001 - \$15,000 |
| | | | | P\$ | 12/06/07 | \$1,001 - \$15,000 |
| NIPPON TELEGRAPH & TELEPHONE CORP | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | P | 05/08/07 | \$14001 - \$15,000 |
| | | | i | P | 06/06/97 | \$1 - \$1,000 |
| | | <u> </u> | | P | 10/05/07 | \$1,001 - \$15,000 |
| NORTEL NETWORKS CORP | \$1,001 - \$15,000 | | None | <u> </u> | L | |
| PFIZER INCORPORATED | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | ĺ | | |
| PORTUGAL TELECOM | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | | | |
| SAFEWAY INC | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | _ PS | 01/26/07 | \$1,001 - \$15,000 |
| SANOFI AVENTIS ADR | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | P | 02/14/07 | \$1 - \$1,000 |
| | <u> </u> | | | P | 07/06/07 | \$1,001 - \$15,000 |
| SARA LEE CORP | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | P | 02/09/07 | \$1,001 - \$15,000 |
| SCHERING PLOUGH CORP | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 01/31/07 | \$1,001 - \$15,000 |
| |) | | | PS | 04/16/07 | \$1,001 - \$15,000 |
| | | | | PS | 04/24/07 | \$1,001 - \$15,000 |
| | | | | PS | 05/08/07 | \$1,001 - \$15,000 |
| | | | | PS | 07/11/07 | \$1,001 - \$15,000 |
| STMICROELECTRONICS N V | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | | | |
| SUMITOMO MITSUI FINL | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | P | 09/20/07 | \$1,001 - \$15,000 |
| TELECOM ITALIA SPA | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | - P | 02/07/07 | \$1 - \$1,000 |
| TELEFONICA S A | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 09/14/07 | \$1,001 - \$15,000 |
| | 1 | 1 | 1. 42,000 | PS | 11/16/07 | \$1,001 - \$15,000 |
| TELEFONOS DE MEXICO SA SPON ADR REPSTG SH | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | | 27.15/07 | |
| TENET HEALTHCARE CORP | \$1 - \$1,000 | 211401043 | None | | | |
| UNILEVER N V ADR | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 09/18/07 | \$1,001 - \$15,000 |
| CHILLY DRIVE ADR | 37,001-315,000 | Dividucings | \$1.500 | PS | 11/20/07 | \$1,001 - \$15,000 |
| VERIZON COMMUNICATIONS | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 12/06/07 | \$1,001 - \$15,000 |
| VERIZON COMMUNICATIONS | 31,001 - 313,000 | Dividends | 31 - \$1,000 | | 01/12/07 | |
| WASHINGTON MUT INC | #1 001 #15 000 | | 17. | PS P | | \$1,101 - \$15,000 |
| WASHINGTON MUTING | \$1,001 - \$15,000 | ì | None | | 08/13/07 | \$1,401 - \$15,000 |
| | | | | P | 10/26/07 | \$1,301 - \$15,000 |
| | 71 col 414 con | | | P | 12/11/07 | \$1 - \$1,000 |
| WYETH | \$1,001 - \$15,000 | 1 | None | _ P | 08/24/07 | \$1,001 - \$15,000 |
| | ì | ነ |) | P | 09/24/07 | \$1,001 - \$15,000 |
| | | | | PS | 01/12/07 | \$1 - \$1,000 |
| | | | | PS | 02/26/07 | \$1,001 - \$15,000 |
| | | <u> </u> | | PS | 04/26/07 | \$1 - \$1,000 |
| XEROX | \$1,001 - \$15,000 | 1 | None | | | |
| ABBOT LABORATORIES | | | į. | PS | 02/26/07 | \$1,001 - \$15,000 |
| | - | | | S | 04/26/07 | \$1 - \$1,000 |
| ABERCROMBIE & FITCH CO CL A | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 04/26/07 | \$1,001 - \$15,000 |
| ACE LTD ORD | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | | | |
| AFFILIATED COMPUTER SVCS INC CL A | | .l | | 5 | 01/12/07 | \$1,001 - \$15,000 |
| AFFILIATED MANAGERS GROUP | | Τ | | \$ | 01/31/07 | \$1,001 - \$15,000 |
| ALLSTATE CORP | | T | | PS | 01/12/07 | \$1,401 - \$15,000 |
| | ì | ì | 1 | PS | 02/26/07 | \$1,001 - \$15,000 |
| | ł | i | | S | 04/26/07 | \$1 - \$1,000 |
| AMERICAN EAGLE OUTFITTERS | | T | | S | 10/04/07 | \$1,001 - \$15,000 |
| AMERICAN EQUITY INVT LIFE HLDG CO | | | | S | 04/26/07 | \$1,001 - \$15,000 |
| AMERICAN HOME MTG INVESTMENTS REIT | | 1 — — | | PS | 02/26/07 | \$1.51,000 |
| | | | | PS | 04/10/07 | \$} - \$1,000 |
| | | | | s | 08/03/07 | \$1,000 |
| AMER INTL GROUP INC | | T | | P\$ | 01/12/07 | \$: -\$1,000 |
| | ì | ì | Ì | PS | 02/26/07 | \$1,001 - \$15,000 |
| | 1 | 1_ | | s | 04/26/07 | \$ -\$1,000 |
| AMERICAN REPROGRAPHICS CO | | | | | 13/07/07 | \$1,001 - \$15,000 |
| | \$1,001 - \$15,000 | | | <u> </u> | 12/06/07 | |
| ANSYS INC | \$1,001 - \$15,000 \$1,001 - \$15,000 | <u> </u> | | P | 11/07/07 | \$ - \$1,000 |
| | | | | | | \$1,000 \$1,001 - \$15,000 |
| ANSYS INC | | | | PS | 11/07/07 | |
| ANSYS INC AON CORP | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 11/07/07 | |
| ANSYS INC AON CORP APPLE INC | \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 11/07/07 | |
| ANSYS INC AON CORP APPLE INC ASTA FUNDING INC | \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends Dividends | \$1 - \$1,000 | PS S | 11/07/07 07/18/07 | \$1,001 - \$15,000 |
| ANSYS INC AON CORP APPLE INC ASTA FUNDING INC ATP OIL & GAS CORP | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | | | PS S | 11/07/07 07/18/07 | \$1,001 - \$15,000 |
| ANSYS INC AON CORP APPLE INC ASTA FUNDING INC ATP OIL & GAS CORP BAKER HUGHES INC | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | | | PS S | 11/07/07 07/18/07 07/30/07 | \$1,001 - \$15,000 |
| ANSYS INC AON CORP APPLE INC ASTA FUNDING INC ATP OIL & GAS CORP BAKER HUGHES INC BANK UNITED FINL CRP | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS S | 11/07/07 07/18/07 07/30/07 | \$1,001 - \$15,000 |
| ANSYS INC AON CORP APPLE INC ASTA FUNDING INC ATP OIL & GAS CORP BAKER HUGHES INC BANK UNITED FINL CRP BAXTER NTL INC | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS S S | 07/18/07 07/18/07 07/30/07 03/20/07 | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 |
| ANSYS INC AON CORP APPLE INC ASTA FUNDING INC ATP OIL & GAS CORP BAKER HUGHES INC BANK UNITED FINL CRP BAXTER NTL INC | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS S S S PS | 07/18/07 07/18/07 07/30/07 03/20/07 01/12/07 | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 |
| ANSYS INC AON CORP APPLE INC ASTA FUNDING INC ATP OIL & GAS CORP BAKER HUGHES INC BANK UNITED FINL CRP BAXTER INTL INC BEAZER HOMES USA INC | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | S S PS PS | 07/30/07 07/18/07 07/30/07 03/20/07 01/12/07 01/31/07 01/12/07 | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 |
| ANSYS INC AON CORP APPLE INC ASTA FUNDING INC ASTA FUNDING INC AST AFUNDING INC BAKER HUGHES INC BANK UNITED FINL CRP BAXTER INTL INC BEAZER HOMES USA INC BED BATH & BEYOND INC | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends Dividends | \$1 - \$1,000 | S S PS PS PS | 07/30/07 07/18/07 07/30/07 03/20/07 01/12/07 01/31/07 | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 |
| ANSYS INC AON CORP APPLE INC ASTA FUNDING INC ASTA FUNDING INC AST AFUNDING INC BAKER HUGHES INC BANK UNITED FINL CRP BAXTER INTL INC BEAZER HOMES USA INC BED BATH & BEYOND INC | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends Dividends | \$1 - \$1,000 | PS S S S S S S S S S S S S S S S S S S | 07/18/07 07/18/07 07/18/07 07/30/07 03/20/07 01/12/07 01/12/07 01/12/07 | \$1,001 - \$15,000 \$1,001 - \$15,000 |
| ANSYS INC AON CORP APPLE INC ASTA FUNDING INC ATA FUNDING INC ATA FUNDING INC ATA FUNDING INC BAKER HUGHES INC BAKER HUGHES INC BAKER HOMES USA INC BEAZER HOMES USA INC BED BATH & BEYOND INC BERKLEY W R CORP | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends Dividends | \$1 - \$1,000 | PS S S S S PS PS PS PS PS PS PS | 11/07/07 07/18/07 07/18/07 07/30/07 01/20/07 01/12/07 01/12/07 01/12/07 02/26/07 02/27/07 | \$1,001 - \$15,000 \$1,001 - \$15,000 |
| ANSYS INC AON CORP APPLE INC ASTA FUNDING INC ASTA FUNDING INC ATP OIL & GAS CORP BAKER HUGHES INC BANK UNITED FINL CRP BAXTER IN'TL INC BEAZER HOMES USA INC BED BATH & BEYOND INC BERKLEY W R CORP BÖRGWARNER INC | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends Dividends | \$1 - \$1,000 | PS | 07/50/07 07/18/07 07/50/07 03/20/07 01/12/07 01/12/07 01/12/07 02/27/07 02/27/07 09/25/07 | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,01 - \$15,000 \$1,01 - \$15,000 \$1,01 - \$15,000 \$1,01 - \$15,000 \$1,01 - \$15,000 \$1,01 - \$15,000 |
| ANSYS INC AON CORP APPLE INC ASTA FUNDING INC ATA FUNDING INC ATA FUNDING INC ATA FUNDING INC BAKER HUGHES INC BAKER HUGHES INC BAKER HOMES USA INC BEAZER HOMES USA INC BED BATH & BEYOND INC BERKLEY W R CORP | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends Dividends | \$1 - \$1,000 | PS | 11/07/07 07/18/07 07/30/07 07/30/07 03/20/07 01/12/07 01/12/07 01/12/07 02/26/07 02/27/07 02/25/07 02/25/07 | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,011 - \$15,000 \$1,011 - \$15,000 |
| ANSYS INC AON CORP APPLE INC ASTA FUNDING INC ASTA FUNDING INC ATP OIL & GAS CORP BAKER HUGHES INC BANK UNITED FINL CRP BAXTER IN'TL INC BEAZER HOMES USA INC BED BATH & BEYOND INC BERKLEY W R CORP BÖRGWARNER INC | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends Dividends | \$1 - \$1,000 | PS S S S PS PS PS S S PS S S S S S S S | 11/07/07 07/18/07 07/30/07 07/30/07 03/20/07 01/12/07 01/12/07 01/12/07 01/12/07 02/26/07 02/26/07 04/26/07 | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,011 - \$15,000 |
| ANSYS INC AON CORP AOP LE MORE ASTA FUNDING INC ASTA FUNDING INC ASTA FUNDING INC ASTA FUNDING INC BAKER HUGHES INC BANK UNITED FINL CRP BAXTER INTL INC BEAZER HOMES USA INC BED BATH & BEYOND INC BERKLEY W R CORF BORGWARNER INC BROADCOM CORP CL A | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends Dividends | \$1 - \$1,000 | PS S S PS | 11/07/07 07/18/07 07/18/07 07/30/07 03/20/07 01/12/07 01/12/07 01/12/07 02/26/07 02/26/07 02/26/07 04/26/07 01/12/07 | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,011 - \$15,000 |
| ANSYS INC AON CORP AOP LE MORE ASTA FUNDING INC ASTA FUNDING INC ASTA FUNDING INC ASTA FUNDING INC BAKER HUGHES INC BANK UNITED FINL CRP BAXTER INTL INC BEAZER HOMES USA INC BED BATH & BEYOND INC BERKLEY W R CORF BORGWARNER INC BROADCOM CORP CL A | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends Dividends | \$1 - \$1,000 | PS | 11/07/07 07/18/07 07/18/07 07/30/07 03/20/07 01/12/07 01/12/07 01/12/07 02/26/07 02/26/07 02/26/07 04/26/07 04/26/07 04/26/07 04/26/07 | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,041 - \$15,000 |
| ANSYS INC AON CORP AOP LE MORE ASTA FUNDING INC ASTA FUNDING INC ASTA FUNDING INC ASTA FUNDING INC BAKER HUGHES INC BANK UNITED FINL CRP BAXTER INTL INC BEAZER HOMES USA INC BED BATH & BEYOND INC BERKLEY W R CORF BORGWARNER INC BROADCOM CORP CL A | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends Dividends | \$1 - \$1,000 | PS S S S PS P | 11/07/07 07/18/07 07/18/07 07/30/07 03/20/07 01/12/07 01/12/07 01/12/07 02/26/07 02/25/07 02/26/07 04/26/07 01/12/07 04/26/07 | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,011 - \$15,000 |
| ANSYS INC AON CORP APPLE INC ASTA FUNDING INC ASTA FUNDING INC ATP OIL & GAS CORP BAKER HUGHES INC BANK UNITED FINL CRP BAXTER INTL INC BEAZER HOMES USA INC BED BATH & BEYOND INC BERKLEY W R CORP BÖRGWARNER INC BROADCOM CORP CL A CAPITAL ONE FINANCIAL CORP | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends Dividends Dividends | \$1 - \$1,000 \$1 - \$1,000 \$1 - \$1,000 | PS | 11/07/07 07/18/07 07/18/07 07/30/07 03/20/07 01/12/07 01/12/07 01/12/07 02/26/07 02/26/07 02/26/07 04/26/07 04/26/07 04/26/07 04/26/07 | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,011 - \$15,000 |
| ANSYS INC AON CORP APPLE INC ASTA FUNDING INC ATA FUNDING INC ATA FUNDING INC ATA FUNDING INC BAKER HUGHES INC BANK UNITED FINL CRP BAXTER INTL INC BEAZER HOMES USA INC BED BATH & BEYOND INC BERKLEY W R CORP BORGWARNER INC BROADCOM CORP CL A CAPITAL ONE FINANCIAL CORP | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends Dividends | \$1 - \$1,000 | PS | 11/07/07 07/18/07 07/18/07 07/30/07 03/20/07 01/12/07 01/12/07 01/12/07 02/26/07 02/26/07 04/26/07 04/26/07 05/03/07 | \$1,001 - \$15,000 \$1,001 - \$15,000 |
| ANSYS INC AON CORP APPLE INC ASTA FUNDING INC ASTA FUNDING INC ATP OIL & GAS CORP BAKER HUGHES INC BANK UNITED FINL CRP BAXTER TITL INC BEAZER HOMES USA INC BED BATH & BEYOND INC BERKLEY W R CORP BÖRGWARNER INC BROADCOM CORP CL A CAPITAL ONE FINANCIAL CORP CABOT OIL & GAS CP COM CENTRAL EUROPEAN DISTR CORP | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends Dividends Dividends | \$1 - \$1,000 \$1 - \$1,000 \$1 - \$1,000 | PS S S S PS P | 11/07/07 07/18/07 07/18/07 07/30/07 03/20/07 01/12/07 01/12/07 01/12/07 02/26/07 02/25/07 02/26/07 04/26/07 01/12/07 04/26/07 | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,011 - \$15,000 |
| ANSYS INC AON CORP APPLE INC ASTA FUNDING INC ASTA FUNDING INC ATP OIL & GAS CORP BAKER HUGHES INC BANK UNITED FINL CRP BAXTER INTL INC BEAZER HOMES USA INC BED BATH & BEYOND INC BERKLEY W R CORP BÖRGWARNER INC BROADCOM CORP CL A CAPITAL ONE FINANCIAL CORP CABOT OIL & GAS CP COM CCENTRAL EUROPEAN DISTR CORP CENTRAL EUROPEAN DISTR CORP | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends Dividends Dividends | \$1 - \$1,000 \$1 - \$1,000 \$1 - \$1,000 | PS | 11/07/07 07/18/07 07/18/07 07/30/07 01/2/07 01/12/07 01/12/07 01/12/07 02/26/07 02/26/07 02/26/07 02/26/07 02/26/07 02/26/07 04/26/07 04/26/07 05/03/07 | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,011 - \$15,000 |
| ANSYS INC AON CORP APPLE INC ASTA FUNDING INC ASTA FUNDING INC ATP OIL & GAS CORP BAKER HUGHES INC BANK UNITED FINL CRP BAXTER TITL INC BEAZER HOMES USA INC BED BATH & BEYOND INC BERKLEY W R CORP BÖRGWARNER INC BROADCOM CORP CL A CAPITAL ONE FINANCIAL CORP CABOT OIL & GAS CP COM CENTRAL EUROPEAN DISTR CORP | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 | Dividends Dividends Dividends | \$1 - \$1,000 \$1 - \$1,000 \$1 - \$1,000 | PS | 11/07/07 07/18/07 07/18/07 07/30/07 03/20/07 01/12/07 01/12/07 01/12/07 02/26/07 02/26/07 04/26/07 04/26/07 05/03/07 | \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,011 - \$15,000 \$1,011 - \$15,000 \$1,011 - \$15,000 \$1,011 - \$15,000 \$1,001 - \$15,000 |

| ### CHARGO CORP ### 1, 201 - 11 1000 ### 1, 201 - 1 | CHATTEM INC | | L | 1 | PS | 04/26/07 | \$1 001 - \$15,000 |
|---|---------------------------------|----------------------------|--------------|---------------------------------------|----------|------------|-----------------------------|
| ### CHERKYSTÉRS ENC. ### 1,001-135,000 PS 0,02502 31 (01.51) COMMERCE, METALS CO 51,001-155,000 Notes 17.33,000 PS 10.02202 11.011-10.000 COMMERCE, METALS CO 51,001-155,000 Notes 17.33,000 PS 10.02202 11.011-11.000 REAL REAL REAL REAL REAL REAL REAL REAL | | | | | S | 04/26/07 | \$1 001 - \$15,000 |
| COCKER (CA) MITALS CC 13,001 - 13,000 Privines | | | Dividends | \$1 - \$1,000 | | | |
| COMPUTED TEAL AMERIAN COAP COMPUTED TEAL COMMUNICATIONS CORP ST. 00,000 11,001 - 513,000 ST. 00,000 | | | | | | | \$1 001 - \$15,000 |
| CONFOCREDIT CORP CONTINUED ITERACOMMUNICATIONS CORP 11,091-513,000 PS 10,007 PS 10,0 | | | <u> </u> | | | | \$1 001 - \$15,000 |
| COMPLETED TORS | COMMERCIAL METALS CO | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 04/26/07 | \$1 001 - \$15,000 |
| CONSTREIT PLECOMMUNICATIONS CORP \$1,001-\$15,000 \$1, | <u></u> | | | | | | <u> 51 - \$1,000</u> |
| COMPACT TELECOMMUNICATIONS CORP \$1,001-\$15,000 P. \$0,10307 \$1.91-\$15 \$1.91-\$ | COMPUCREDIT CORP | ļ | | | | | \$1 001 - \$15,000 |
| COMPTENT FER COMMUNICATIONS CORP \$ 1,001-515,000 CONSIGNATIONS CORP \$ 1,001-515,00 | | | | | | | \$1 ~\$1,000 |
| PS 022/0007 1-151 1-15 | | | <u></u> . | | <u>S</u> | 10/04/07 | \$1 <u>001</u> ~ \$15,000 |
| CONGLOAD FORDS NC S1001-S15200 PS 0073097 1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1 | COMTECH TELECOMMUNICATIONS CORP | \$1,001 - \$15,000 | | | P\$ | 01/12/07 | \$1 001 - \$15,000 |
| CONCATE APOPER NC CONSTRUCT AS 51,001 - 515,000 PS 04,0077 S1 071-51 PS 04,0077 S1 071-51 PS 04,0077 S1 071-51 PS 04,0077 S1 071-51 S1 071-51 S1 071-51 S1 071-51 S1 04,0007 S1 071-51 S1 071-51 S1 04,0007 S1 071-51 S1 071-5 | | 1 | ì | 1 | PS | 02/26/07 | 31 - \$1,000 |
| CONCATE APOPER NC CONSTRUCT AS 51,001 - 515,000 PS 04,0077 S1 071-51 PS 04,0077 S1 071-51 PS 04,0077 S1 071-51 PS 04,0077 S1 071-51 S1 071-51 S1 071-51 S1 071-51 S1 04,0007 S1 071-51 S1 071-51 S1 04,0007 S1 071-51 S1 071-5 | | | ł | | PS | 07/30/07 | 31 - \$1,000 |
| CONDENTELATION BRANDS INC CLA 51001-515/000 COVERTBY FEATURE CARE INC COVERTBY FEATURE CARE INC COVERTBY FEATURE CARE INC COVERTBY FEATURE CARE INC 51001-515/000 COVERTBY FEATURE CARE COVERTBY FEATURE CARE 51001-515/000 COVERTBY FEATURE CARE 51001-515/000 COVERTBY FEATURE CARE COVERTBY FEATURE CARE 51001-515/000 COVERTBY FEATURE CARE FEATURE CARE FEATURE CARE 51001-515/000 COVERTBY FEATURE CARE FEATURE CARE FEATURE CARE 51001-515/000 COVERTBY FEATURE CARE FEATURE CARE FEATURE COVERTBY FEATURE CARE FEATURE CARE FEATURE CA | CONAGRA FOODS INC | | | | | | \$1.)01 - \$15,000 |
| CONSPETIALIZATION BRANDES (NCC L.A. S1,001 - 515,000 PS 0,12,007 S1, 917 - 51 COVENTRY FEALTH CASE INC PS 0,12,007 S1, 917 - 51 COVENTRY FEALTH CASE INC S1,001 - 515,000 PS 0,12,007 S1, 917 - 51 COVENTRY FEALTH CASE INC S1,001 - 515,000 PS 0,1000 PS 0,1000 COVENTRY FEALTH CASE INC S1,001 - 515,000 PS 0,1000 PS 0,1000 PS 0,1000 CONNELTEY RE A SONS S1,001 - 515,000 PS 0,1000 PS 0,1000 PS 0,1000 CONNELTEY RE A SONS S1,001 - 515,000 PS 0,1000 PS 0,1000 PS 0,1000 CONNELTEY RE A SONS S1,001 - 515,000 PS 0,1000 PS 0,1000 PS 0,1000 CONNELTEY RE A SONS S1,001 - 515,000 PS 0,1000 PS 0,1000 PS 0,1000 CONNELTEY RE A SONS S1,001 - 515,000 PS 0,1000 PS | | \$1.001 - \$15.000 | Dividends | \$1 - \$1,000 | | | |
| PS 0,27667 51,91-151,000 | | | | | PS | 01/31/07 | \$1, 101 - \$15,000 |
| PS 041007 1-151, 000 1- | | 4., | | | | | \$1,)01 - \$15,000 |
| COVERTY FIGALTY IN CARE INC DESCRIVE PRICE NOT S 1 (001-115,000 DE | } | 1 | 1 | 1 | | | |
| DERECTY GROUP INC. \$1,901-\$15,000 PS 10,000 PS 10,000 \$1,901-\$15,000 PS 10,000 PS | COVENED V MEALTH CARE INC | | - | | | | |
| DISCOVER FINES NCS \$1-31,000 PS 100407 \$1,001.515.000 PS 100407 \$1,001.515.00 | | \$1.001 \$15.000 | | | | | |
| GRONNELLEY R.R. & SONS | | | Dividonds | #1 COO | | | \$1, 101 \$15,000 |
| DORAL PRINACIAL CORP | | | | | | 10/04/07 | 31, 101 - 313,000 |
| S | | \$1,007 - \$13,000 | Dividends | \$1 - \$1,000 | - 50 | 0.1 (10/02 | 4 61 000 |
| DOWNEY FAL CORP | IDORAL FINANCIAL CORP | 1 | \ | 1 | | | |
| DUPONT ET DE NEMOURS & CO \$1,001-\$15,000 \$1,001-\$15,000 \$5 \$4,26070 \$1,101-\$15,000 \$5 \$4,26070 \$1,101-\$15,000 \$5 \$4,26070 \$1,101-\$15,000 \$5 \$4,26070 \$1,101-\$15,000 \$5 \$4,26070 \$1,101-\$15,000 \$5 \$4,26070 \$1,101-\$15,000 \$5 \$4,26070 \$1,101-\$15,000 \$5 \$6 \$1,001-\$15,000 \$5 \$6 \$1,001-\$15,000 \$5 \$6 \$1,001-\$15,000 \$5 \$1,001-\$15,000 \$5 \$6 \$1,001-\$15,000 \$5 \$6 \$1,001-\$15,000 \$5 \$6 \$1,001-\$15,000 \$5 \$6 \$1,001-\$15,000 \$5 \$6 \$1,001-\$15,000 \$5 \$6 \$1,001-\$15,000 \$5 \$6 \$1,001-\$15,000 \$5 \$6 \$1,001-\$15,000 \$5 \$6 \$1,001-\$15,000 \$5 \$6 \$1,001-\$15,000 \$5 \$6 \$1,001-\$15,000 \$5 \$6 \$6 \$6 \$6 \$6 \$6 \$6 | | | | | | | |
| DUPONT ET PENEMOURS & CO \$1,001 - \$13,000 \$1,015 \$1,000 \$1,000 | DOWNEY FINL CORP | | 1 | | | | \$1,001 - \$15,000 |
| P8 42,6000 11-11 15-11 | | | | | | | : <u>1 - \$1,000</u> |
| EPAY INC | DU PONT E I DE NEMOURS & CO | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | | | \$1,001 - \$15,000 |
| ENDO PHARMACEUTICALS HLDGS INC PS 01/2007 51, 01.51 ENERGEN CORP \$1,001 - \$15,000 Dixidends \$1 - \$1,000 P \$5 1,004 P \$1,001 P | | | | | | | 1 - \$1,000 |
| PS 0,26607 1,1-31,500 1 | | \$1,001 - \$15,000 | | | | | 1 - \$1,000 |
| ENERGEN CORP \$1,001 - \$15,000 Dividends \$1,-\$1,000 - \$5 0,20007 PS 0,20007 1 - 1-1,100 PS 0,20007 1 - 1-1,100 PS 0,20007 1 - 1-1,100 PS 0,10007 1 - 1-1,100 PS 0,10007 1 - 1-1,100 PS 0,10007 1 - 1-1,100 PS 0,20007 1 - 1-1,100 PS 0,10007 1 - 1-1,100 EATON CORP EATON CORP EATON CORP EQUITAR INC S 1,200007 S 1,1000 S 1,1000000 S 1,100000000000000000000000000000000000 | ENDO PHARMACEUTICALS HLDGS INC | 1 | | | | | : 1 - \$1,000 |
| ENERGEN CORP \$1,001 - \$15,000 Dividends \$1,-\$1,000 - \$5 0,20007 PS 0,20007 1 - 1-1,100 PS 0,20007 1 - 1-1,100 PS 0,20007 1 - 1-1,100 PS 0,10007 1 - 1-1,100 PS 0,10007 1 - 1-1,100 PS 0,10007 1 - 1-1,100 PS 0,20007 1 - 1-1,100 PS 0,10007 1 - 1-1,100 EATON CORP EATON CORP EATON CORP EQUITAR INC S 1,200007 S 1,1000 S 1,1000000 S 1,100000000000000000000000000000000000 | | | 1 | | PS | 02/26/07 | \$1,101 - \$15,000 |
| ENERGEN COAP \$1,001-\$15,000 PS 02,2607 51,01-\$15,000 PS 07,2007 51,01-\$15,000 PS 07,0007 51,01-\$15,000 | | | L | | | | ! I - \$1,000 |
| ### DESCO PS 077,007 51-51, 51- | ENERGEN CORP | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | | | \$1,001 - \$15,000 |
| ETRADE FINANCIAL CORP | | 1 , | ľ | 1 | | | 11-\$1,000 |
| ETRADE FINANCIAL CORP S 092507 \$11-51, | FNSCO | | | | | | 11 - \$1,000 |
| ETRADE FINANCIAL CORP PR 00/1207 31-31, PF 00/1207 31-3 | 1200 | 1 | | i i | | | ! I - \$1,000 |
| ETRADE FINÂNCIAL CORP PS 01/1207 \$1 - \$1 / \$1 / \$1 / \$1 / \$1 / \$1 / \$1 / | | | | | | | |
| PS 02/2607 \$1,01-5 | E TRADE EN ANCIAL CORR | | | | | | |
| PS 94/1007 31-31 | E TRADE FINANCIAL CORP | | | | | | |
| S. 426/2007 \$1,01-515/000 \$1,01-515/00 | | | 1 | | | | |
| EATON CORP EQUITABLE RES INC S 1/27/2007 \$1,01-5 EQUITABLE RES INC S 1/27/2007 \$1,01-5 EQUITABLE RES INC S 1/27/2007 \$1,01-5 EVEREST KEINSURANCE GROUP LTD S 1,001-515/000 Dividends S 1-51,000 PS 01/12/07 \$1,01-5 EXPRESS SCRIPTS INC S 1,001-515/000 PS 02/26/07 \$1,01-5 EXPRESS SCRIPTS INC S 1,001-515/000 PS 01/12/07 \$1,01-5 EXPRESS SCRIPTS INC S 1,001-515/000 PS 02/26/07 \$1,01-5 EXPRESS SCRIPT INC S 1,001-515/000 PS 01/12/07 \$1,01-5 EXPRESS SCRIPT INC S 1,001-515/000 PS 01/12/07 \$1,01-5 EXPRESS SCRIPT INC S 1,001-515/000 PS 01/12/07 \$1,01-5 EXPRESS SCRIPT INC S 1,001-515/000 Dividends S 1-51/000 PS 01/12/07 \$1,01-5 EXPRESS SCRIPT INC S 1,001-515/000 Dividends S 1-51/000 PS 04/26/07 \$1,01-5 EXPRESS SCRIPT INC S 1,001-515/000 Dividends S 1-51/000 PS 04/26/07 \$1,01-5 EXPRESS SCRIPT INC S 1,001-515/000 Dividends S 1-51/000 PS 04/26/07 \$1,01-5 EXPRESS SCRIPT INC S 1,001-515/000 Dividends S 1-51/000 PS 04/26/07 \$1,01-5 EXPRESS SCRIPT INC S 1,001-515/000 Dividends S 1-51/000 PS 04/26/07 \$1,01-5 EXPRESS SCRIPT INC S 1,001-515/000 Dividends S 1-51/000 PS 04/26/07 \$1,01-5 EXPRESS SCRIPT INC S 1,001-515/000 Dividends S 1-51/000 PS 04/26/07 \$1,01-5 EXPRESS SCRIPT INC S 1,001-515/000 Dividends S 1-51/000 PS 04/26/07 \$1,01-5 EXPRESS SCRIPT INC S 1,001-515/000 Dividends S 1-51/000 PS 04/26/07 \$5.5 EXPRESS SCRIPT I | \ | 1 | 1 | \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ | | | |
| EQUITAXINC S A/25/007 S1,01 S1,001 S1,000 S1,001 S1,000 S1,001 S1,000 S | | | | | | | |
| EQUITABLE RES INC EVEREST REINSURANCE GROUP LTD \$1,001 - \$15,000 Prodeeds \$1 - \$1,000 PS | | | | | | | \$1,101 - \$15,000 |
| EQUITABLE RES INC S 11/7/2007 S1 01-5 51,000 PS 01/12/07 S1 -51,000 PS | EQUIFAX INC | 1 | | | | | \$1,101 - \$15,000 |
| EVEREST REINSURANCE GROUP LTD \$1,001 - \$15,000 PS | | | | | | | 11 - \$1,000 |
| EXPRESS SCRIPTS INC | | | | | | | \$1,101 - \$15,000 |
| EXPERESS SCRIPTS INC \$1,001 - \$15,000 PS 022507 \$1,01 - \$15,001 \$1,01 - \$15,000 PS 0,01207 \$1,01 - \$ | EVEREST REINSURANCE GROUP LTD | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | | | 11 - \$1,000 |
| FEDEX CORP S 0/1/207 S 1/01-27 S 1 | <u> </u> | 1 | Ì | | | 08/28/07 | \$1,101 - \$15,000 |
| FOREST LABORATORIES INC S 10,040-07 \$1,01-5 FREMONT GEN CORP S 071,107 \$1,01-5 FREMONT GEN CORP S 071,007 \$1,01-5 S 071,007 \$1,01-5 S 072,007 \$1,01-5 GARDNER DENVER INC S 1,,001-\$15,000 PS 10,004-07 \$1,01-5 GENENTECH INC S 1,,001-\$15,000 PS 10,004-07 \$1,01-5 GENENTECH INC GENENTE GROUP INC S 1,,001-\$15,000 PS 072,007 \$1,01-5 GENENTE GROUP INC S 1,,001-\$15,000 PS 072,007 \$1,01-5 GENENTE GROUP INC S 1,,001-\$15,000 Dividends \$1-51,000 PS 072,007 \$1,01-5 HARMAN INTL INDS INC S 1,,001-\$15,000 Dividends \$1-51,000 PS 072,007 \$1,01-5 HARRIS CORP DEL S 1,,001-\$15,000 Dividends \$1-51,000 PS 072,007 \$1,01-5 HARRIS CORP DEL S 1,,001-\$15,000 Dividends \$1-51,000 PS 072,007 \$1,01-5 HARRIS CORP DEL S 1,,001-\$15,000 Dividends \$1-51,000 PS 072,007 \$1,01-5 HARRIS CORP DEL S 1,,001-\$15,000 Dividends \$1-51,000 PS 072,007 \$1,01-5 HARRIS CORP DEL S 1,,001-\$15,000 Dividends \$1-51,000 PS 072,007 \$1,01-5 HARRIS CORP DEL S 1,,001-\$15,000 Dividends \$1-51,000 PS 072,007 \$1,01-5 HEILEN OF TROY LTD SHS ISIN S 1,,001-\$15,000 Dividends \$1-51,000 PS 072,007 \$1,01-5 S 1,,01-\$1,000 PS 072,007 \$1,01-5 S 1,,01-\$15,000 Dividends \$1-51,000 PS 072,007 \$1,01-5 S 1,,01-\$1,000 PS 072,007 \$1,01-5 S 1,,01-\$15,000 Dividends \$1-51,000 PS 072,007 \$1,01-5 REMEMBER OF TROY LTD SHS ISIN S 1,,01-\$15,000 Dividends \$1-51,000 PS 072,007 \$1,01-5 REMEMBER OF TROY LTD SHS ISIN S 1,,01-\$15,000 Dividends \$1-51,000 PS 072,007 \$1,01-5 REMEMBER OF TROY LTD SHS ISIN S 1,,01-\$15,000 Dividends \$1-51,000 PS 072,007 \$1,01-5 REMEMBER OF TROY LTD SHS ISIN S 1,,01-\$15,000 Dividends \$1-51,000 PS 072,007 \$1,01-5 REMEMBER OF TROY LTD SHS ISIN S 1,,01-\$15,000 Dividends \$1-51,000 PS 072,007 \$1,01-5 REMEMBER OF TROY LTD SHS ISIN S 1,,01-\$15,000 Dividends \$1-51,000 PS 072,007 \$1,01-5 REMEMBER OF TROY LTD SHS ISIN S 1,,01-\$15,000 Dividends \$1-51,000 PS 072,007 \$1,01-5 REMEMBER OF TROY LTD SHS ISIN S 1,,01-\$15,000 PS 072,007 \$1,01-5 REMEMBER OF TROY LTD SHS ISIN | EXPRESS SCRIPTS INC | \$1,001 - \$15,000 | T | | PS | 02/26/07 | \$1,101 - \$15,000 |
| S 1004-07 \$1,01-5 FREMONT GEN CORP S 07/1007 \$1,61-5 FREMONT GEN CORP PS 01/1207 \$1,61-5 PS 01/207 \$1,61-5 S 04/2-407 \$1,61-5 S 04/2-407 \$1,61-5 S 04/2-407 \$1,61-5 GARDNER DENVER INC \$1,001-\$15,000 PS 00/2/607 GENENTECH INC PS 01/1207 \$1,61-5 | FEDEX CORP | | T | | S | 01/12/07 | \$1,001 - \$15,000 |
| FORTUNE BRANDS INC S 07/11/07 S1,61-5 | FOREST LABORATORIES INC | | | | PS | 01/12/07 | \$1 - \$1,000 |
| PS | i e | | | | s | 10/04/07 | \$1,101 - \$15,000 |
| PS | FORTUNE BRANDS INC | | | | s | 07/11/07 | \$1,(01 - \$15,000 |
| PS 0225607 \$1.51.500 \$1.001-\$15,000 PS 022207 \$1.01-\$15,000 PS 022207 \$1.01-\$15,000 PS 022207 \$1.001-\$15,000 PS 022207 \$1.001-\$15,000 PS 022207 \$1.001-\$15,001-\$15,000 PS 010,0007 \$1.001-\$15,001-\$15,000 PS 010,0007 \$1.001-\$15,001-\$15,000 PS 010,0007 \$1.001-\$15,000 PS 010 | | | - | | | | \$1,601 - \$15,000 |
| S 04/2407 \$1,01-\$15,000 PS 02/2207 \$1,01-\$1,01-\$15,000 PS 02/2207 \$1,01-\$1,01-\$15,000 PS 02/2207 \$1,01-\$15,000 PS 02/2207 \$1,01-\$15,000 PS 02/2207 \$1,01-\$15,000 PS 01/207 \$1,01-\$15,000 PS 02/2607 \$1,0 | | | | | | | \$1 - \$1,000 |
| GAPINC | | | 1 | | | | \$1,001 - \$15,000 |
| PS 02/2507 51,01-515,000 PS 1004/07 51-51, 01-515,000 PS 1004/07 51-51, 01-515,000 PS 01/12/07 51,01-515,000 PS 02/25/07 51,01-515,000 PS 01/12/07 51,01-515,001 PS 01/12/07 51,01-5 | GARING | \$1,001 - \$15,000 | + | | | | \$1,001 - \$15,000 |
| GARDNER DENVER INC S1,001 - \$15,000 PS 10,00407 S1,101 - \$1,011 - | OAF INC | \$1,001 - \$15,000 | i | | l | | |
| GENENTECH INC | CARDMEN BENVER DIC | E1 701 E15 000 | | · | | | |
| PS 02/26/07 \$1,01 - \$1 GENLYTE GROUP INC \$1,001 - \$15,000 GRANT PRIDECO INC PS 01/12/07 \$1,01 - \$1 PS 09/25/07 \$1,01 - \$1 PS 01/12/07 \$1,01 - \$1 PS 01/1 | | \$1,001-\$15,000 | + | | | | |
| PS 04/26/07 \$1,01 - \$15,000 PS 01/12/07 \$1,01 - \$15,000 | GENERIECH INC | 1 | | 1 | | | |
| GENLYTE GROUP INC S1,001 - S15,000 PS 01/12/07 S1,031 - S1 | | | | ľ | | | |
| GRANT PRIDECO INC | | | | | PS | 04/26/07 | \$1,C <u>01 - \$15,000</u> |
| PS 01/31/07 \$1.01 \$1.000 | | \$1,001 - \$15,000 | <u> </u> | | | | |
| S 12/06/07 \$1,01 - \$1 GULFPORT ENERGY CORP \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 01/31/07 \$1,01 - \$1 HARMAN INTL INDS INC \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 01/31/07 \$1,01 - \$1 HARRIS CORP DEL \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 01/31/07 \$1,01 - \$1 HARRIS CORP DEL \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 04/28/07 \$1,01 - \$1 HARTFORD FINL SVCS GROUP INC \$1,001 - \$15,000 Dividends \$1 - \$1,000 HEINZ H J CO \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 04/28/07 \$1,01 - \$1 HEINZ H J CO \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 04/28/07 \$1,01 - \$1 HEINZ H J CO \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 04/28/07 \$1,01 - \$1 HEINZ H J CO \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 04/28/07 \$1,01 - \$1 HEINZ H J CO \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 01/12/07 \$1,01 - \$1 HILB ROGAL & HOBBS CO \$ 01/12/07 \$1,01 - \$1 HUNITING TON BANCSHARES INC COM \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 01/12/07 \$1,01 - \$1 NOVENTIV HEALTH INC \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 01/12/07 \$1,01 - \$1 PS 02/26/07 \$ - \$1,01 - \$1 PS 04/26/07 \$ - \$1,01 - \$1 PS | GRANT PRIDECO INC | | | | | | \$1,001 - \$15,000 |
| GULPPORT ENERGY CORP | \ | \ | 1 | \ | | | \$ -\$1,000 |
| HARMAN INTL INDS INC | | | <u> </u> | | <u> </u> | 12/06/07 | \$1,6 <u>0</u> 1 - \$15,000 |
| PS 08/28/07 \$ - \$1, PS 09/25/07 \$ \$1,01 - \$15,000 Dividends \$1 - \$1,000 PS 01/31/07 \$1,01 - \$15,000 PS 01/31/07 \$1,01 - \$15,000 PS 08/28/07 \$ - \$1, PS 08/28/07 | | | <u> </u> | | | | |
| PS 09/25/07 \$1,01 - \$1,000 PS 01/31/07 \$1,031 - \$1,000 PS 09/25/07 \$1,031 - \$1,031 - \$1, | HARMAN INT'L INDS INC | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | | | \$1,0)1 - \$15,000 |
| HARRIS CORP DEL | | | 1 | | | | \$ -\$1,000 |
| PS 08/28/07 \$ - \$1, | | | l | | | | \$1,001 - \$15,000 |
| HARTFORD FINL SVCS GROUP INC \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 04/26/07 \$1,0)1 - \$1,0)1 - \$1,0)1 - \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 04/26/07 \$1,0)1 - \$1,0)1 - \$1,001 - \$ | HARRIS CORP DEL | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 01/31/07 | \$1,631 - \$15,000 |
| HARTFORD FINL SVCS GROUP INC \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 04/26/07 \$1,001 - \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 04/26/07 \$1,001 - \$15,000 PS 04/26/07 \$1,001 - \$1 | | | | | PS | 08/28/07 | \$ -\$1,000 |
| HARTFORD FINL SVCS GROUP INC \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 04/26/07 \$1,001 - \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 04/26/07 \$1,001 - \$15,000 PS 04/26/07 \$1,001 - \$1 | Ī | ı | | | | 09/25/07 | \$1,631 - \$15,000 |
| HEINZ H J CO | HARTFORD FINL SVCS GROUP INC | \$1,001 - \$15.000 | Dividends | \$1 - \$1.000 | | | |
| HELEN OF TROY LTD SHS ISIN S 93/20/07 \$1,0)1 - \$ HEWLETT-PACKARD CO S 11/23/07 \$1,0)1 - \$ HEWLETT-PACKARD CO S 11/23/07 \$1,0)1 - \$ HUNTINGTON BANCSHARES INC COM \$1,001 - \$15,000 Dividends \$1 - \$1,000 P\$ 01/2/07 \$1,0)1 - \$ HUNTINGTON BANCSHARES INC COM \$1,001 - \$15,000 Dividends \$1 - \$1,000 P\$ 01/2/07 \$1,0)1 - \$ INVENTIV HEALTH INC \$1,001 - \$15,000 Dividends \$1 - \$1,000 P 12/06/07 \$ - \$1, 0 \$ \$ \$ \$ \$ \$ \$ \$ \$ | | | | \$1 - \$1,000 | PS | 04/26/07 | \$1,0)1 - \$15,000 |
| HEWLETT-PACKARD CO | | | T | | | | \$1,0)1 - \$15,000 |
| HILB ROGAL & HOBBS CO | | | 1 | - | | | \$1,001 - \$15,000 |
| HUNTINGTON BANCSHARES INC COM \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 01/(2/07 \$1,0)1 - \$ | | | + | + | | | \$1,0)1 - \$15,000 |
| INDYMAC BANCORP INC S 01/31/07 S1,0)] - S INDYMAC BANCORP INC S 01/31/07 S1,0)] - S INVENTIV REALTH INC S1,001 - \$15,000 Dividends S1 - \$1,000 P 12/06/07 S - \$1, PS 01/2/07 S - \$1, PS 02/26/07 S - \$1, PS 04/10/07 S - \$1, PS 04/26/07 S - \$1, PS 04 | | \$1,001 - \$15,000 | Dividends | \$1.\$1.000 | | | \$1,0)1 - \$15,000 |
| INVENTIV HEALTH INC | | #1,001 - #13,000 | | #1 - #1,000 | | | \$1,0)1 - \$15,000 |
| IZ GLOBAL COMM INC COM | | \$1.001 \$15.000 | Dwidendo | £1 £1 000 | | | |
| PS 0276/07 \$ - \$1,001 - \$15,000 PS 0276/07 \$ \$ - \$1,001 - \$15,000 | | 91,001 - 913,000 | Dividends | 31 - 31,000 | | | |
| PS 02/27/07 \$1,01 - \$15,000 P 12/06/07 \$ - \$1,01 - \$ \$1,001 - \$15,000 P 12/06/07 \$ - \$1,01 - \$ \$1,001 - \$15,000 PS 02/26/07 \$ - \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 02/26/07 \$ | 12 GLOBAL COMM INC COM | l | Į | { | | | |
| RENEXA CORP \$1,001 - \$15,000 P 12/06/07 \$ - \$1, | | 1 | | İ | | | \$ -\$1,000 |
| PS 02/26/07 \$ -\$1, PS 04/10/07 \$ -\$1, PS 04/26/07 \$ -\$1, PS 04/26/07 \$ -\$1, KLA-TENCOR CORP \$1,001 - \$15,000 Dividends \$1 -\$1,000 PS 02/26/07 \$ -\$1, | | | | | | | \$1,0)1 - \$15,000 |
| PS 04/10/07 \$ -\$1, PS 04/26/07 \$ -\$1, KLA-TENCOR CORP \$1,001 - \$15,000 Dividends \$1 -\$1,000 PS 02/26/07 \$ -\$1, | KENEXA CORP | [\$1,00] - \$15,000 | | İ | | | \$ -\$1,000 |
| PS 04/26/07 \$ -\$1, KLA-TENCOR CORP \$1,001 - \$15,000 Dividends \$1 -\$1,000 PS 02/26/07 \$ -\$1, | | | 1 | | | | \$ -\$1,000 |
| KLA-TENCOR CORP \$1,001 - \$15,000 Dividends \$1 - \$1,000 PS 02/26/07 \$ - \$1. | | | | | | | \$ -\$1,000 |
| | | | _ _ | | | | \$ -\$1,000 |
| 9-1:01 TOPPED 24 | KLA-TENCOR CORP | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | | | \$ -\$1,000 |
| | | | | | PS PS | 09/25/07 | \$1,0)1 - \$15,000 |
| | KIMBERLY CLARK CORP | | | | | | \$1,0)1 - \$15,000 |
| | | \$1,001 - \$15,000 | | | | 07/[1/07 | \$1,001 - \$15,000 |
| KRAFT FOODS INC \$1,000 Dividends \$1 - \$1,000 | | | Dividends | \$1 - \$1,000 | 1 | | |

| -3 COMMUNICATIONS HLDGS INC | E1 001 - #14 000 | | | PS | 01/12/07 | \$1 001 - \$15,000 |
|--|--------------------|--|-----------------|--------------|--------------|-------------------------------|
| ABORATORY CORP AMER HLDGS COM | \$1,001 - \$15,000 | | | PS | 03/20/07 | \$1 001 - \$15,000 |
| AM RESEARCH CORP | | | 1 - | ·—s | 12/04/07 | \$1 001 - \$15,000 |
| | | | | PS | 10/04/07 | \$1 - \$ 1,000 |
| AWSON & SESSIONS CO | | | | PS | 01/12/07 | \$1 001 - \$15,000 |
| | ı | | | PS | 03/20/07 | \$1 001 - \$15,000 |
| | | | | <u>s</u> | 04/24/07 | \$1 301 - \$15,000 |
| IMITED BRANDS INC | \$1,001 - \$15,000 | | | PS | 04/26/07 | \$1 301 - \$15,000 |
| UNDIN MNG CORP ISIN | \$1,001 - \$15,000 | <u> </u> | | PS | 02/26/07 | \$1,001 - \$15,000 |
| IANPOWER INC | | <u> </u> | - | S | 10/04/07 | \$1,001 - \$15,000 |
| IATRIX SVC CO | \$1,001 - \$15,000 | | <u> </u> | | | |
| NATTEL INC | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 04/26/07 | 11 - \$1,000 |
| IATTED INC | \$1,001 - \$10,000 | Dividida | \$1 - \$1,000 | PS | | :[- \$1,000 |
| (EDAREX INC | \$1,001 - \$15,000 | | | F5 | 10/04/07 | 11 - 31,000 |
| | | | _ | | 00.10 - 10.7 | |
| IEMC ELECTRONICS MATERIAL INC | \$1,001 - \$15,000 | | | PS | 02/26/07 | \$1,001 - \$15,000 |
| IETHANEX CORP | | | | <u> </u> | 01/31/07 | \$1, 101 - \$15,000 |
| IOHAWK INDS INC | | | | S | 02/22/07 | \$1,001 - \$15,000 |
| IORGAN STANLEY | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | | | |
| IOTOROLA INC | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 04/10/07 | \$1,001 - \$15,000 |
| | | _ | | PS | 04/26/07 | \$1,001 - \$15,000 |
| ASDAQ STK MKT INC | \$1,001 - \$15,000 | | | PS | 12/06/07 | 1 - \$1,000 |
| • | 1 | | | PS | 09/25/07 | (1-\$1,000 |
| IEKTAR THERAPEUTICS | | | | PS | 01/12/07 | \$1,101 - \$15,000 |
| 201100 | | ł | | s | 11/07/07 | :1-\$1,000 |
| EWS CORP CL B | \$1,001 - \$15,000 | Dividends | \$1.51.000 | PS | | \$1, 101 - \$15,000 |
| OBLE ENERGY INC | \$1,001 - \$13,000 | Dividends | \$1 - \$1,000 | PS | 05/03/07 | |
| NORE ENERGY INC | 1 |) | Ì | | 01/12/07 | \$1,101 - \$15,000 |
| TIME COMBRESS OF TO | 61.001 615.000 | | | PS PS | 03/20/07 | \$1,101 - \$15,000 |
| JUANCE COMMUNICATIONS INC | \$1,001 - \$15,000 | | | P | 12/06/07 | 1 - \$1,000 |
| UVEEN INVTS INC | | | İ | PS | 02/26/07 | \$1,001 - \$15,000 |
| | | | | 5 | 04/10/07 | \$1, 01 - \$15,000 |
| RACLE CORPORATION | \$1,001 - \$15,000 | | | | | |
| RTHOFIX INTL N V SEDOL | | | | S | 06/21/07 | \$1,001 - \$15,000 |
| DL BIOPHARMA INC | T - | | | PS | 04/24/07 | 11-\$1,000 |
| | | | İ | s | 06/21/07 | \$1,(01 - \$15,000 |
| MI GROUP INC | | | | PS | 01/12/07 | \$1,01 - \$15,000 |
| | | | Ī | PS | 04/26/07 | 11-\$1,000 |
| | l | l | l | s | 11/07/07 | 11 - \$1,900 |
| ARAMETRIC TECHNOLOGY CORP | | - | | PS | | \$1,4 01 - \$15,000 |
| ARAMETRIC TECHNOLOGII COM | | | 1 | | 04/10/07 | |
| |] | | | PS | 04/26/07 | 11-51,000 |
| | | | | 5 | 07/30/07 | \$1,101 - \$15,000 |
| ARLUX FRAGRANCES INC | | | | s | 04/26/07 | \$1 - \$1,000 |
| ENN NATIONAL GAMING INC | l | · (| l | PS | 01/31/07 | \$1,001 - \$15,000 |
| | | | | S | 07/30/07 | \$1,001 - \$15,000 |
| FIZER INC | | | | PS | 01/12/07 | \$1,(01 - \$15,000 |
| | | | l l | s | 04/26/07 | \$1 - \$1,000 |
| RECISION CASTPARTS CORP | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | P\$ | 02/26/07 | \$1,(01 - \$15,000 |
| | 1 , | , | | PS | 04/26/07 | \$1 - \$1,000 |
| ROCTER & GAMBLE CO | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 04/26/07 | \$1 - \$1,000 |
| ROGRESS ENERGY INC | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 01/12/07 | \$1,001 - \$15,000 |
| ROOKESS ENERGY INC | 31,001 - 315,000 | Dividends | \$1 - \$1,000 | PS | 02/26/07 | \$1 - \$1,000 |
| TIPPE DIA CNOPTICE INC | | | | 5 5 | | \$1,600 |
| UEST DIAGNOSTICS INC | | - | | | 07/25/07 | |
| UICK SILVER RESOURCES INC | | ĺ | 1 | PS | 04/26/07 | \$1-\$1,000 |
| | | | Į. | P5 | 05/24/07 | \$1,000 |
| | | | | S | 11/07/07 | \$1,000 - \$15,000 |
| OBERT HALF INTL INC | j | | | PS | 04/26/07 | \$1,000 - \$15,000 |
| | | | | l s | 05/25/07 | \$1,001 - \$15,000 |
| UTH CHRIS STEAK HSE INC | | | | PS | 09/25/07 | \$ -\$1,000 |
| | | | | s | 10/04/07 | s -\$1,000 |
| AYTHEON CO COM | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | | 1 | |
| ANDISK | \$1,001 - \$15,000 | | | P | 12/06/07 | \$ -\$1,000 |
| a no car acras | 4., #10,000 | 1 | i | PS | 01/12/07 | \$1.0)(-\$15,000 |
| | | 1 | | | 1 | \$1,01(-\$15,000 |
| | | 1 | | PS os | 04/24/07 | |
| CHARW INC | - - | | | PS PS | 08/28/07 | \$1.800 \$1.800 - \$15,000 |
| CHAWK INC | 1 | 1 | | PS C | 01/12/07 | |
| CUIT IN COUNTY OF THE COUNTY O | FL001 #15.000 | D. H. J | * ****** | S | 02/26/07 | <u>\$ -\$1,000</u> |
| CHLUMBERGER LTD | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | | 100000 | #1 0 to #1 0 0 0 0 |
| MITH INTL INC | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | P | 12/06/07 | \$1,011 - \$15,000 |
| ONIC CORP | \$1,001 - \$15,000 | | | ļ | | |
| TAPLES INC | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 04/26/07 | \$1,001 - \$15,000 |
| TEEL DYNAMICS INC | | | | \$ | 04/24/07 | \$ -\$1,000 |
| TEINER LEISURE LTD | \$1,001 - \$15,000 | | | PŜ | 01/12/07 | \$1,0)1 - \$15,000 |
| XU CORP | | | T | S | 01/12/07 | \$1,001 - \$15,000 |
| ECHNICAL OLYMPIC USA INC | | | | PS | 01/12/07 | \$1,0)1 - \$15,000 |
| - | 1 | İ | | s | 02/26/07 | \$ -\$1,000 |
| EREX CORP | \$1,001 - \$15,000 | - | - | PS | 4/26/2007 | \$ -\$1,000 |
| | 1 | | | P | 12/06/07 | \$ -\$1,000 |
| EXAS INSTRUMENTS INC | \$1,001 - \$15,000 | Dividends | \$1 - \$1,000 | PS | 01/12/07 | \$1,0 11 - \$15,000 |
| | 12,000 | 1 | φx - φ1,000 | PS | 10/04/07 | \$1,000 |
| HOR INDS INC | | | | PS PS | 01/12/07 | \$ -\$1,000 |
| HOREMAND UNC | I | 1 | | | | |
| | 1 | 1 | 1 | PS | 02/26/07 | \$: -\$1,000 |
| norty pa od Nic | | | | S | 04/24/07 | \$: -\$1,000 |
| OLL BROS INC | 1 | | ļ. | PS PS | 02/26/07 | \$1,0 1] - \$15,000 |
| | ì | | | PS PS | 04/24/07 | \$: -\$1,000 |
| | 1 | | | PS PS | 04/26/07 | \$1,001 - \$15,000 |
| | | | | S | 10/04/07 | \$1 - \$1,000 |
| ORO CO | | | | PS | 03/20/07 | \$1,0:1 - \$15,000 |
| | | 1 | | s | 04/24/07 | \$1,001 - \$15,000 |
| HERMO FISHER SCIENTIFIC INC | \$1,001 - \$15,000 | | | 1 | | |
| NISOURCE ENERGY CRP | | | | S | 07/30/07 | \$1,001 - \$15,000 |
| | \$1,001 - \$15,000 | _ | | PS | 01/12/07 | \$1 - \$1,000 |
| NUED REALTH GROUP | | | | 1 13 | 01/12/07 | |
| INITED HEALTH GROUP VALERO ENERGY CORP | \$1,001 - \$15,000 | | | PS | 0/04/07 | \$1 - \$1,000 |

| VALUECLICK INC | t t | I . | l PS | 06/21/07 | 1 - \$1,000 |
|---------------------------|--------------------|-----|----------|----------|---------------------|
| VALUECLICK INC | ! | | S | 10/04/07 | : 1 - \$1,000 |
| VERIFONE HLDGS INC | | | S | 08/28/07 | \$1, 301 - \$15,000 |
| WACHOVIA CORP | | | PS | 01/12/07 | \$1, 101 - \$15,000 |
| | | | PS | 04/26/07 | 1 - \$1,000 |
| WADDELL & REED | \$1,001 - \$15,000 | | | | |
| WASTE MANAGEMENT INC | \$1,001 - \$15,000 | | PS | 01/12/07 | 11-51,000 |
| | | | PS | 02/26/07 | ! 1 - \$1,000 |
| WELLCARE HEALTH PLANS INC | | | PS | 01/12/07 | ! t - \$1,000 |
| | | | PS | 01/31/07 | \$1,001 - \$15,000 |
| l | | | <u>s</u> | 02/27/07 | \$1,±01 - \$15,000 |
| WESCO INTERNATIONAL INC | \$1,001 - \$15,000 | | P | 12/06/07 | \$1, 01 - \$15,000 |
| | | ŀ | P\$ | 01/12/07 | \$1,001 - \$15,000 |
| | 1 | | PS | 04/26/07 | \$1 - \$1,000 |
| | | | PS | 10/04/07 | \$1,001 - \$15,000 |
| WHIRPOOL CORP | | | S | 04/10/07 | \$1,101 - \$15,000 |
| XILINX INC | \$1,001 - \$15,000 | | PS | 01/12/07 | \$1,101 - \$15,000 |
| ZIMMER HLDGS INC | \$1,001 - \$15,000 | | _PS | 08/23/07 | \$1,001 - \$15,000 |
| ZORAN CORP | | | , s | 01/12/07 | \$1,01 - \$15,000 |