

HAND
DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES
2017 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

LEGISLATIVE RESOURCE CENTER

18 MAY 14 PM 4:27

(Office Use Only)

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Name: Diane Lynn Black Daytime Telephone: 202-225-4231

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>Tennessee</u> District: <u>Sixth</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
REPORT TYPE	<input checked="" type="checkbox"/> 2017 Annual (Due: May 15, 2018)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Diane Lynn Black**

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BLOCK A			BLOCK B													BLOCK C								BLOCK D												BLOCK E
Assets and/or Income Sources			Value of Asset													Type of Income								Amount of Income												Transaction
<p>Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use only ticker symbols).</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.</p> <p>For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.</p>			<p>Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."</p> <p>*Column M is for assets held by your spouse or dependent child in which you have no interest.</p>													<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.</p>								<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.</p> <p>*Column XII is for assets held by your spouse or dependent child in which you have no interest.</p>												<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period.</p> <p>If only a portion of an asset was sold, please indicate as follows: (S (part)).</p> <p>Leave this column blank if there are no transactions that exceeded \$1,000.</p>
SP, DC, JT	SP	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income over \$1,000,000*	
	Simon & Schuster															X						Royalties														
	ABC Hedge Fund	X							X													Partnership Income														
JT	Ebon Falcon LLC – assets below																																			
	100 Athens Way, Nashville, TN 37228										X						X																			
	341 Hill Avenue, Nashville, TN 37210																																			
	345 Hill Avenue, Nashville, TN 37210*											X																								
	365 Great Circle Road, Nashville, TN 37228												X																							
	501 Great Circle Road, Nashville, TN 37228													X																						

*336 Hill Avenue was consolidated with 345 Hill Avenue. 336 Hill Avenue no long exists as a separate parcel.

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Diane Lynn Black**

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction			
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
JT	Ebon Falcon LLC – assets continued																																			
	515 Great Circle Road, Nashville, TN 37226																																			
	521 Great Circle Road, Nashville, TN 37226																																			
	545 Great Circle Road, Nashville, TN 37226																																			
	555 Church St. #210, Nashville, TN 37219																																			
	540 Mainstream, Nashville, TN 37228																																			
	10515 Front Beach Drive #3-1106 Panama City, FL 34207																																			
JT	Money Market Fund – Pinnacle Financial																																			
JT	Empirical Capital Partners LP*																																			
SP	Aegle Sciences Corporation Stock																																			

* Fund manager letter on file with House Ethics Committee

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Diane Lynn Black**

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BLOCK A Assets and/or Income Sources			BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
SP	Edward Jones IRA Guided Solutions Flex Account—assets below:																																					
	First Tr ETF Fd II Technology Alphaex Fund X	X			X																	X															P	
	First Tr Exchange-Traded Fd II Financial Alphaex	X			X																	X															P	
	First Tr Exchange-Traded Fd II Health Care Alphaex	X			X																	X															P	
	Alphaex—First Tr Capital Strength Exchange Traded Fund	X				X																X															P	
	First Tr Rising Dividend Achievers Exchange Traded Fund	X				X																X															P	
	First Tr Value Line Dividend Index Fd Sng	X				X																X															P	
	Shares Core S&P Mid Cap ETF	X			X																	X															P	
	Shares Core S&P Small Cap ETF	X			X																	X															P	
	Spdr Ser Tr S&P Dividend ETF	X				X																X															P	
	Vanguard S&P 500 ETF	X			X																	X															P	
	American Growth Fund of America CI F3	X					X															X															P	
	Blackrock Total Return Fund CI I	X				X																X															P	
	MFS Corporate Bond RS	X				X																X															P	
	MFS International Diversification Fund CI I	X					X															X															P	
	Retirement Money Market	X			X																	X															P	

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Diane Lynn Black**

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BLOCK A Assets and/or Income Sources			BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	EF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	Morgan Stanley account – assets below		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with income over \$1,000,000*		
SP	Bank deposits									X								X																			
	Cash								X							X								X													
	Hedgepremier/Akemon Growth Partners	X													X	X								X													
	Hedgepremier/QZ DP II Fund II	X													X	X								X													
	GS Plus on RTY 5/3/18	X				X									X									X												P	
	GS Plus on the S&P Index 0% 20 Jan 2017	X																					matured													S	
	GS CI 12.15% WO RTY SX5E 9/8/17	X									X					X								X												P	
	BCS CI 10.3% WO RTY SPX SX5E 3/13/18	X										X				X							matured													P	
	JPM Plus on S&P 500 Index 0% 23 Jan 2017	X																																			S
	Morgan Stanley CI Autocall Under Armour 8.2% 10-Aug-2018	X																				X															
	Doublaire Total Return Bond 1	X																																			S
	Pimco Income CI P MP	X									X																										P
	GS Finance Corp Ser E MTN SR	X																																			S
	JP Morgan Chase Financial Co	X																																			S
	iShares Inc MSCI Japan ETF	X													X																						P

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Diane Lynn Black**

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	Morgan Stanley account assets continued																																			
	IShares S&P 500 Growth ETF Index Fund																																			
	IShares S&P 500 Val ETF																																			
	IShares TR S&P Midcap 400 Index Fund																																			
	IShares TR S&P Small Cap 600 Index Fund																																			
	Vanguard FTSE Europe ETF																																			
	Energy MLP Income Fund LP*																																			
	Barclays Plus on SWX 03/05/2018																																			

* Fund manager letter on file with House Ethics Committee

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Diane Lynn Black**

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BLOCK A		BLOCK B													BLOCK C								BLOCK D												BLOCK E		
Assets and/or Income Sources		Value of Asset													Type of Income								Amount of Income												Transaction		
SP, DC, JT	ASSET NAME	EF	A	B	C	D	E	F	G	H	I	J	K	L	M									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII		
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income over \$1,000,000*	P, S, S(part), or E	
SP	Brown Brothers Harriman account -- assets below																																				
	Cash					X										X																					
	BBH US Govt Money Market Fund	X						X									X											X									P, S (part)
	BBH Limited Duration Fund	X						X									X			X																	
	BBH Wealth Strategies LLC																																				
	Altracore Partners Series	X														X					X																
	Bares MidLarge Cap Series	X														X					X																
	BBH Capital Partner V Series	X																			X																P
	Burgundy Emerging Markets Series	X																			X																P
	Clarkston Capital Partners SmMidcap	X																			X																P
	Select Equity Series	X																			X																P, S (part)
	Bairings Loan Series	X																			X																P
	Longleaf Partners Small Cap Fund	X																		X																	P, S
	Jacksonville FL Spr Rev Ser B-1 10/1/18 NE4 *																				X																E
	Jacksonville FL Spr Rev Ser B-1 10/1/18 NID *																				X																E
	Connecticut St Spl Tax Oblig rev 2/1/19																				X																

These fixed income assets were the result of an exchange with Jacksonville FL Spr Rev Ser B-1 10/1/18 CV2

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Diane Lynn Black

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
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	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
SP	Brown Brothers Harriman assets continued																																			
DC	Massachusetts Bay Transn Rev Ser A 7/1/21																																			
JT	Alphabet Class C																																			
	Bed, Bath & Beyond Inc																																			
	Berkshire Hathaway Inc																																			
	Celanese Corp Series A																																			
	Comcast Corp Class A																																			
	Diageo PLC Sponsored ADR																																			
	Discovery Communications																																			
	Fleetcor Technologies Inc																																			
	Kroger Co																																			
	Liberty Interactive A																																			
	Liberty Global PLC Series C																																			
	Microsoft Corp																																			
	Nielson Holdings PLC Foreign Stock																																			
	Novartis AG ADR																																			

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Diane Lynn Black

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
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	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
SP	Brown Brothers Hartman assets continued																																			
	Oracle Corp						X									X																				
	Perigo Co PLC						X									X																				P
	Praxair Inc						X									X																				
	Progressive Corp Ohio															X																				S
	Quikcomm Inc						X									X																				
	Sabra Corp						X									X																				P
	Henry Schein Inc						X									X																				
	Paypal Holdings Inc						X									X																				
	Unilever NV/NY															X																				P
	US Bancorp						X									X																				
	Walmart Stores Inc						X									X																				S
	Wells Fargo & Co						X									X																				
	Zoetis Inc						X									X																				
	BH Cap Partners QP IV LP*															X																				P
	BCI Broadband															X																				

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Diane Lynn Black

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	EF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																				
	\$1-\$1,000																																				
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	\$5,000,001-\$25,000,000																																				
	\$25,000,001-\$50,000,000																																				
	Over \$50,000,000																																				
	Spouse/DC Asset over \$1,000,000*																																				
SP	American Legacy/Lincoln IRA																																				
	Growth	X																																			
	Global Small Cap	X																																			
	Global Growth	X																																			
	Asset Allocation	X																																			
	Bond	X																																			
	US Gov/AAA Rated Securities	X																																			
	International Growth & Income	X																																			
	High Income Bond	X																																			
	Growth Income	X																																			
	International	X																																			
	New World	X																																			

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* Fund manager letter on file with House Ethics Committee

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

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SP, DC, JT		Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YY) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
			Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example	Mege Corp. Stock			X		X	3/8/17		X									
SP		GS Plus on the S&P Index 0% 20 Jan 2017 (matured)		X			X	1/20/17							X				
SP		JPM Plus on the S&P Index 0% 23 Jan 2017 (matured)		X			X	1/23/17							X				
SP		iShares Inc MSCI Japan ETF	X					2/3/17		X									
SP		Vanguard FTSE Europe ETF	X					2/3/17		X									
SP		iShares TR S&P Small Cap 600 Index Fund	X					2/3/17		X									
SP		iShares S&P 500 Val ETF	X					2/3/17		X									
SP		GS Plus on RTY 03 May 2018	X					1/31/17						X					
SP		Barclays Plus on SPX	X					1/31/17					X						
SP		iShares TR S&P Midcap 400 Index Fund	X					2/3/17		X									
SP		Pimco Income CI P	X					2/3/17			X								
SP		GS CI 12.15% WO RTY SXSE DC Floater Callable 9/8/17 at 100.00	X					3/2/17						X					
SP		GS Finance Corp Ser E MTN SR 0.000% callable 6/5/17 @ 100.00 (matured—redemption of callable bond)		X				3/3/17					X						
SP		JPMorgan Chase Financial Co. Floater Callable 3/3/17 @ 100.00 (matured—redemption of callable bond)		X				3/3/17					X						
SP		iShares S&P 500 VAL ETF	X					4/20/17					X						
SP		Vanguard FTSE Europe ETF	X					4/20/17			X								
SP		iShares TR S&P Small Cap 600 Index Fund (three series)		X				6/1/17			X								
SP		iShares S&P 500 VAL ETF			X			6/1/17			X								
SP		Doukeline Total Return Bond I (six sales)			X			6/1/17				X							

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: **Diane Lynn Black**

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SP, DC, JT		Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YYYY) Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
			Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	DC, JT	Example Mega Corp. Stock			X			3/8/17		X									
SP		Doubleline Total Ret Bond I (three sales)		X				8/22/17	X										
SP		Barclays Plus on SPX 03 May 2018		X				12/7/17							X				
SP		BCS CI 10.3% WO RTY SPX SXSE DC Foeter Callable 3/13/18 @ 100.00	X					12/8/17						X					
SP		SC Strategic Opportunity Fund LLC	X					9/11/17						X					
SP		WTA Equity Fund LLC	X					9/11/17						X					
SP		Longleaf Partners Small Cap Fund	X					1/13/17			X								
SP		BBH Limited Duration Fund CI I	X					1/13/17				X							
SP		Perrigo Co PLC	X					3/21/7		X									
SP		Berkshire Hathway Inc CI B			X			3/21/7			X								
SP		BBH Limited Duration Fund CI I	X					4/5/17			X								
SP		Berkshire Hathway Inc CI B			X			4/25/17			X								
SP		Comcast Corp CL A	X					4/26/17		X									
SP		Unilever NV/NY	X					4/26/17			X								
SP		Sabra Corp	X					5/5/17		X									
SP		Berkshire Hathway Inc CI B		X				6/26/17			X								
SP		BBH Limited Duration Fund			X			8/29/17				X							
SP		BBH Limited Duration Fund		X				8/29/17					X						
SP		Longleaf Partners Small Cap Fund		X				9/8/17						X					
SP		BBH Limited Duration Fund			X			9/8/17	X						X				

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

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SP, DC, JT		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YY) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/8/17		X									
SP	BBH Limited Duration Fund			X			9/27/17		X									
SP	Kroger Co	X					10/25/17		X									
SP	Bed Bath & Beyond		X				10/25/17		X									
SP	BBH Limited Duration Fund			X			10/26/17			X								
SP	Progressive Corp Ohio		X				10/26/17			X								
SP	Wal-Mart Inc		X				10/26/17			X								
SP	Jacksonville Fla SPL Rev Ser B-1 C/VZ (exchanged for two assets below)				X		9/29/17				X							
SP	Jacksonville Fla SPL Rev Ser B-1 NB4				X		9/29/17				X							
SP	Jacksonville Fla SPL Rev Ser B-1 NDO				X		9/29/17				X							
SP	Charleston Capital Partners SmMidcap	X					5/1/17		X									
SP	Charleston Capital Partners SmMidcap	X					6/1/17		X									
SP	Select Equity Series	X					5/1/17		X									
SP	Select Equity Series	X					6/1/17		X									
SP	Select Equity Series	X					7/3/17		X									
SP	Select Equity Series	X					8/1/17			X								
SP	Select Equity Series	X					9/1/17				X							
SP	Burgundy Emerging Markets Series	X					5/1/17		X									
SP	Burgundy Emerging Markets Series	X					6/1/17		X									
SP	Burgundy Emerging Markets Series	X					7/3/17		X									

Use additional sheets if more space is required.

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Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: **Diane Lynn Black**

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period for any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.										Type of Transaction				Date		Amount of Transaction										
Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.										Purchase	Sale	Partial Sale	Exchange	Check Box if Capital Gain Exceeded \$200	(M/D/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP, DC, JT	Asset																									
SP	Example	Mega Corp. Stock				X				X	3/8/17		X													
SP	American Funds (AF) New Perspective			X							12/15/17			X												
SP	American Funds Small Cap World			X							12/15/17				X											
SP	American Funds American Balanced			X							12/15/17			X												
SP	American Funds AMCAP Fund			X							12/15/17				X											
SP	Invesco Equally Weighted S&P 500 Fund			X							12/15/17			X												
SP	NY Life Guaranteed Interest Account				X						12/15/17				X											
SP	Blackrock Total Return Fund I		X								12/19/17			X												
SP	FT Rising Div Achievers ETF		X								12/19/17			X												
SP	First Tr Capital Strength ETF		X								12/19/17			X												
SP	First Tr Value Line Dividend		X								12/19/17			X												
SP	FT ETF Technology/Alphabet		X								12/19/17			X												
SP	First Tr Exchange-Traded Fund II Financial Alphabet		X								12/19/17			X												
SP	American Growth Fund of America F3		X								12/19/17				X											
SP	iShares Core S&P Small Cap ETF		X								12/19/17			X												
SP	iShares Core S&P Mid Cap ETF		X								12/19/17			X												
SP	MFS International Diversification Fund		X								12/19/17				X											
SP	MFS Corporate Bond R6		X								12/19/17			X												
SP	Spd Ser Tr S&P Dividend ETF		X								12/19/17			X												
SP	Vanguard S&P 500 ETF		X								12/19/17			X												

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: **Diane Lynn Black**

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/15	Mortgage on Rental Property, Dover, DE				X							
	None													

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Member	Ebon Falcon LLC
Limited Partner & Member	Empirical Capital Partners, LP