

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

#### FILER INFORMATION

Name: Hon. Scott R. Tipton

Status: Member State/District: COo3

#### FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2018

**Filing Date:** 05/15/2019

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset   | Owner | Value of Asset               | Income<br>Type(s) | Income                | Tx. > \$1,000? |
|---|-------|------------------------------|-------------------|-----------------------|----------------|
| 110 Whistlepig Way Site 87 [RP]               |       | \$250,001 -<br>\$500,000     | None              |                       |                |
| LOCATION: Ophir/ San Miguel, CO, US           |       |                              |                   |                       |                |
| 701 3rd Street [RP]                           |       | \$100,001 -<br>\$250,000     | Interest          | \$5,001 -<br>\$15,000 |                |
| Location: Cortez/ Montezuma, CO, US           |       |                              |                   |                       |                |
| 702 Arbor Street [RP]                         |       | \$100,001 -<br>\$250,000     | Interest          | \$5,001 -<br>\$15,000 |                |
| Location: Cortez/ Montezuma, CO, US           |       |                              |                   |                       |                |
| Alliant Energy Corporation (LNT) [ST]         | JT    | \$15,001 - \$50,000          | Dividends         | \$1 - \$200           | П              |
| Bank of America Corporation (BAC) [ST]        | JT    | \$15,001 - \$50,000          | Dividends         | \$1 - \$200           | П              |
| CenturyLink, Inc. (CTL) [ST]                  | SP    | \$1,001 - \$15,000           | Dividends         | \$1 - \$200           |                |
| Hidden Valley CRM 1 sec NE 1/4 Sec Rd 26 [RP] |       | \$1,000,001 -<br>\$5,000,000 | None              |                       |                |
| LOCATION: Cortez/ Montezuma, CO, US           |       |                              |                   |                       |                |

| Asset  | Owner | Value of Asset           | Income<br>Type(s) | Income               | Tx. ><br>\$1,000? |
|--|-------|--------------------------|-------------------|----------------------|-------------------|
| Intel Corporation (INTC) [ST]  | JT    | \$15,001 - \$50,000      | Dividends         | \$201 -<br>\$1,000   |                   |
| John Hancock Premium Dividend Fund (PDT) [ST]  | JT    | \$15,001 - \$50,000      | Dividends         | \$1,001 -<br>\$2,500 | П                 |
| New York Life [WU]   |       | \$100,001 -<br>\$250,000 | None              |                      | П                 |
| Pelty Building Highway 160 East [RP]  LOCATION: Cortez/ Montezuma, CO, US  |       | \$50,001 -<br>\$100,000  | Interest          | \$2,501 -<br>\$5,000 |                   |
| Pepsico, Inc. (PEP) [ST]   | JT    | \$15,001 - \$50,000      | Dividends         | \$201 -<br>\$1,000   |                   |
| Royal Dutch Shell PLC Ordinary Shares A (RYDAF) [ST]   | JT    | \$15,001 - \$50,000      | Dividends         | \$201 -<br>\$1,000   | П                 |
| Scott R. Tipton Trust ⇒ Alliant Energy Corporation (LNT) [ST]  |       | \$1,001 - \$15,000       | Dividends         | \$1 - \$200          |                   |
| Scott R. Tipton Trust ⇒ Ameritrade C.E.A. [MF]   |       | \$1,001 - \$15,000       | Dividends         | \$1 - \$200          |                   |
| Scott R. Tipton Trust ⇒ Apple Inc. (AAPL) [ST]   |       | \$15,001 - \$50,000      | Dividends         | \$201 -<br>\$1,000   |                   |
| Scott R. Tipton Trust ⇒ Ashland Inc. (ASH) [ST]  |       | \$15,001 - \$50,000      | Dividends         | \$1 - \$200          |                   |
| Scott R. Tipton Trust ⇒ AT&T Inc. (T) [ST]   |       | \$1,001 - \$15,000       | Dividends         | \$201 -<br>\$1,000   |                   |
| Scott R. Tipton Trust ⇒ AT&T Inc. (T) [ST]   |       | \$15,001 - \$50,000      | Dividends         | \$1,001 -<br>\$2,500 |                   |
| Scott R. Tipton Trust ⇒ Bank of America Corporation Depositary Share representing 1/25th Preferred Series U (BCXQL) [ST] |       | \$15,001 - \$50,000      | Dividends         | \$1,001 -<br>\$2,500 | П                 |
| Scott R. Tipton Trust ⇒ Broomfield Revenue Bond [GS]   |       | \$15,001 - \$50,000      | Interest          | \$201 -<br>\$1,000   | П                 |

| Asset  | Owner | Value of Asset           | Income<br>Type(s) | Income               | Tx. > \$1,000? |
|--|-------|--------------------------|-------------------|----------------------|----------------|
| Scott R. Tipton Trust ⇒ CenturyLink, Inc. (CTL) [ST]   |       | \$1,001 - \$15,000       | Dividends         | \$201 -<br>\$1,000   |                |
| Scott R. Tipton Trust ⇒ CenturyLink, Inc. (CTL) [ST]   |       | \$1,001 - \$15,000       | Dividends         | \$1 - \$200          |                |
| Scott R. Tipton Trust ⇒ Denver Airport Revenue Bond [GS]   |       | \$1,001 - \$15,000       | Interest          | \$1,001 -<br>\$2,500 |                |
| Scott R. Tipton Trust ⇒ Exxon Mobil Corporation (XOM) [ST]   |       | \$1,001 - \$15,000       | Dividends         | \$201 -<br>\$1,000   |                |
| Scott R. Tipton Trust ⇒ Hewlett-Packard Company (HPQ) [ST]   |       | \$1,001 - \$15,000       | Dividends         | \$1 - \$200          |                |
| Scott R. Tipton Trust ⇒ JP Morgan Chase & Co. (JPM) [ST]   |       | \$15,001 - \$50,000      | Dividends         | \$1,001 -<br>\$2,500 |                |
| Scott R. Tipton Trust ⇒ Las Cruces Revenue Bond [GS]   |       | \$15,001 - \$50,000      | Interest          | \$1,001 -<br>\$2,500 |                |
| Scott R. Tipton Trust ⇒ Marathon Oil Corporation (MRO) [ST]  |       | \$1,001 - \$15,000       | Dividends         | \$1 - \$200          |                |
| Scott R. Tipton Trust ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]  |       | \$1,001 - \$15,000       | Dividends         | \$1 - \$200          |                |
| Scott R. Tipton Trust ⇒ Morgan Stanley Capital Trust VII Cap Secs (MSZ) [ST]                                     |       | \$15,001 - \$50,000      | Dividends         | \$1,001 -<br>\$2,500 |                |
| Scott R. Tipton Trust ⇒  National Semiconductor Corporation [PS]  DESCRIPTION: This stock is not publicly traded |       | \$15,001 - \$50,000      | Dividends         | \$1,001 -<br>\$2,500 |                |
| Scott R. Tipton Trust ⇒ PacWest Bancorp (PACW) [ST]  |       | \$100,001 -<br>\$250,000 | Dividends         | \$2,501 -<br>\$5,000 | П              |
| Scott R. Tipton Trust ⇒ ProMedCo Management Company (PMCOQ) [ST]   |       | \$1,001 - \$15,000       | None              |                      |                |
| Scott R. Tipton Trust ⇒ Questar Corporation (STR) [ST]   |       | \$50,001 -<br>\$100,000  | Dividends         | \$1,001 -<br>\$2,500 |                |
| Scott R. Tipton Trust ⇒ Questar Corporation (STR) [ST]   |       | \$50,001 -<br>\$100,000  | Dividends         | \$1,001 -<br>\$2,500 |                |

| Asset   | Owner Value of Asset     | Income<br>Type(s) | Income               | Tx. ><br>\$1,000? |
|---|--------------------------|-------------------|----------------------|-------------------|
| Scott R. Tipton Trust ⇒ Ridges Metro District [GS]                                    | \$1,001 - \$15,000       | Interest          | \$201 -<br>\$1,000   |                   |
| DESCRIPTION: Data Entry Error- Previously checked dividend. Sh                        | ould have been interest. |                   |                      |                   |
| Scott R. Tipton Trust ⇒ RMA Money Market Fund [BA]                                    | \$15,001 - \$50,000      | Dividends         | \$1 - \$200          | П                 |
| Scott R. Tipton Trust ⇒ Royal Bank of Scotland Group PLC Ordinary Shares (RBSPF) [ST] | \$15,001 - \$50,000      | Dividends         | \$1,001 -<br>\$2,500 | П                 |
| Scott R. Tipton Trust ⇒ Summit County Revenue Bond [GS]                               | \$15,001 - \$50,000      | Interest          | \$201 -<br>\$1,000   |                   |
| Scott R. Tipton Trust $\Rightarrow$ The Travelers Companies, Inc. (TRV) [ST]          | \$15,001 - \$50,000      | Dividends         | \$1 - \$200          |                   |
| Scott R. Tipton Trust ⇒ Tim Hortons Inc. Common Shares (THI) [ST]                     | \$1,001 - \$15,000       | Dividends         | \$1 - \$200          |                   |
| Scott R. Tipton Trust ⇒ UBS AG Trust Preferred Securities (UBS\$D) [ST]               | \$1,001 - \$15,000       | Dividends         | \$201 -<br>\$1,000   | П                 |
| Scott R. Tipton Trust ⇒ UBS RMA Tax Free Fund Inc [MF]                                | \$15,001 - \$50,000      | Dividends         | \$1 - \$200          |                   |
| Scott R. Tipton Trust ⇒ Zions Bancorporation (ZION) [ST]                              | \$15,001 - \$50,000      | Dividends         | \$1 - \$200          |                   |
| Scott R. Tipton Trust $\Rightarrow$ Zweig Total Return Fund, Inc. (ZTR) [ST]          | \$1,001 - \$15,000       | Dividends         | \$201 -<br>\$1,000   |                   |
| Scott Tipton Exempt ⇒ Ameritrade C.E.A. [BA]  | \$1,001 - \$15,000       | Dividends         | \$1 - \$200          |                   |
| Scott Tipton Exempt ⇒ Ashland Inc. (ASH) [ST]   | \$15,001 - \$50,000      | Dividends         | \$1 - \$200          |                   |
| Scott Tipton Exempt ⇒ AT&T Inc. (T) [ST]  | \$15,001 - \$50,000      | Dividends         | \$1,001 -<br>\$2,500 |                   |
| Scott Tipton Exempt ⇒ Bank of America Corporation (BAC) [ST]                          | \$1,001 - \$15,000       | Dividends         | \$1 - \$200          | П                 |
|   |                          |                   |                      |                   |

| Asset   | Owner | Value of Asset           | Income<br>Type(s) | Income               | Tx. > \$1,000? |
|---|-------|--------------------------|-------------------|----------------------|----------------|
| Scott Tipton Exempt ⇒ Broomfield Bond Co [GS]   |       | \$1,001 - \$15,000       | Interest          | \$201 -<br>\$1,000   |                |
| Scott Tipton Exempt ⇒ Denver Co Revenue Bond [GS]   |       | \$1,001 - \$15,000       | Interest          | \$201 -<br>\$1,000   |                |
| Scott Tipton Exempt ⇒ Exxon Mobil Corporation (XOM) [ST]  |       | \$1,001 - \$15,000       | Dividends         | \$201 -<br>\$1,000   |                |
| Scott Tipton Exempt ⇒ Exxon Mobil Corporation (XOM) [ST]  |       | \$50,001 -<br>\$100,000  | Dividends         | \$1,001 -<br>\$2,500 |                |
| Scott Tipton Exempt ⇒ GlaxoSmithKline PLC (GSK) [ST]  |       | \$15,001 - \$50,000      | Dividends         | \$201 -<br>\$1,000   |                |
| Scott Tipton Exempt $\Rightarrow$ Helios Advantage Income Fund, Inc. New Common Stock. (HAV) [ST] |       | \$1,001 - \$15,000       | Dividends         | \$201 -<br>\$1,000   |                |
| Scott Tipton Exempt ⇒ Kinder Morgan Energy Partners, L.P. (KMP) [ST]                              |       | \$15,001 - \$50,000      | Dividends         | \$1,001 -<br>\$2,500 |                |
| Scott Tipton Exempt ⇒ Occidental Petroleum Corporation (OXY) [ST]                                 |       | \$15,001 - \$50,000      | Dividends         | \$201 -<br>\$1,000   |                |
| Scott Tipton Exempt ⇒ PowerShares QQQ Trust, Series 1 (QQQ) [ST]                                  |       | \$15,001 - \$50,000      | Dividends         | \$1 - \$200          |                |
| Scott Tipton Exempt ⇒ RMA Money Market Fund [BA]  |       | \$15,001 - \$50,000      | Dividends         | \$1 - \$200          | П              |
| Scott Tipton Exempt $\Rightarrow$ The Travelers Companies, Inc. (TRV) [ST]                        |       | \$1,001 - \$15,000       | Dividends         | \$1 - \$200          |                |
| Scott Tipton Exempt ⇒ UBS AG (UBS) [ST]   |       | \$50,001 -<br>\$100,000  | Dividends         | \$1 - \$200          |                |
| Scott Tipton Exempt ⇒ UBS AG Trust Preferred Securities (UBS\$D) [ST]                             |       | \$1,001 - \$15,000       | Dividends         | \$201 -<br>\$1,000   |                |
| Scott Tipton Exempt ⇒ United Parcel Service, Inc. (UPS) [ST]                                      |       | \$1,001 - \$15,000       | Dividends         | \$1 - \$200          |                |
| Scott Tipton Exempt ⇒ Vectra Bank CCA [BA]  |       | \$100,001 -<br>\$250,000 | Dividends         | \$1 - \$200          |                |

| Asset   | Owner | Value of Asset             | Income<br>Type(s) | Income             | Tx. > \$1,000? |
|---|-------|----------------------------|-------------------|--------------------|----------------|
| Scott Tipton Exempt ⇒ Zweig Total Return Fund, Inc. (ZTR) [ST]  |       | \$15,001 - \$50,000        | Dividends         | \$201 -<br>\$1,000 | П              |
| State of Colorado Vanguard Index Fund [IH]  |       | \$1,001 - \$15,000         | None              |                    | П              |
| Tipton Properties ⇒ 27601 Highway 160 [RP]  Location: Cortez/ Montezuma, CO, US  Description: Sale of Building. |       | \$500,001 -<br>\$1,000,000 | None              |                    | П              |
| UBS AG (UBS) [ST]   | SP    | \$1,001 - \$15,000         | Dividends         | \$1 - \$200        |                |
| Walt Disney Company (DIS) [ST]  | SP    | \$1,001 - \$15,000         | Dividends         | \$1 - \$200        |                |
| Zions Bancorporation (ZION) [ST]  | JT    | \$15,001 - \$50,000        | Dividends         | \$1 - \$200        | П              |

<sup>\*</sup> Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit  $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$ 

### SCHEDULE B: TRANSACTIONS

None disclosed.

#### SCHEDULE C: EARNED INCOME

None disclosed.

#### SCHEDULE D: LIABILITIES

None disclosed.

#### SCHEDULE E: Positions

None disclosed.

#### SCHEDULE F: AGREEMENTS

None disclosed.

#### SCHEDULE G: GIFTS

None disclosed.

#### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details                                |               |            | Inclusions                        |                     |          |          |          |
|---|---------------|------------|-----------------------------------|---------------------|----------|----------|----------|
| Source                                      | Start<br>Date | End Date   | Itinerary                         | Days at<br>Own Exp. | Lodging? | Food?    | Family?  |
| PJTN- Proclaiming<br>Justice to the Nations | 02/18/2018    | 02/26/2018 | New York - Tel Aviv -<br>New York | 0                   | <b>✓</b> | <b>▽</b> | <u> </u> |

#### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### SCHEDULE A AND B ASSET CLASS DETAILS

- Scott R. Tipton Trust (100% Interest)
- Scott Tipton Exempt (100% Interest)
- Tipton Properties (50% Interest) Location: Cortez/ Montezuma, CO, US

## Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

C Yes No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Scott R. Tipton, 05/15/2019