



Filing ID #10014682

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Todd Rokita
Status: Member
State/District: IN04

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2014
Filing Date: 06/14/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
401(k) ⇒ ARTISAN VALUE FUND (ARTLX)	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
401(k) ⇒ BARON SMALL CAP INST (BSFIX)	SP	\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
401(k) ⇒ CAMBIAR SMALL CAP FUND (CAMSX)	SP	\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
401(k) ⇒ MAINSTAY LARGE CAP GROWTH R1 (MLRRX)	SP	\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
401(k) ⇒ PIMCO Total Return Exchange-Traded Fund (BOND)	SP	\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
401(k) ⇒ VIRTUS REAL ESTATE SECURITIES (PHRAX)	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
529 Plan ⇒ COLLEGECHOICE529 - ACTIVE BOND PORTFOLIO DESCRIPTION: 529 DIRECT SAVINGS PLAN	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
529 Plan ⇒ COLLEGECHOICE529 - INFLATION PROTECTED PORTFOLIO DESCRIPTION: 529 DIRECT SAVINGS PLAN	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
529 Plan ⇒ COLLEGECHOICE529 - INTERNATIONAL PORTFOLIO DESCRIPTION: 529 DIRECT SAVINGS PLAN	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
529 Plan ⇒ COLLEGECHOICE529 - US EQUITY INDEX PORTFOLIO DESCRIPTION: 529 DIRECT SAVINGS PLAN	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Investment ⇒ DAVIS NEW YORK VENTURE FUND CL A (NYVTX)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Investment ⇒ FIRST EAGLE FDS INC GOLD FD CL C (FEGOX)		\$1,001 - \$15,000	None		<input type="checkbox"/>
Investment ⇒ Pembina Pipeline Corp. Ordinary Shares (PBA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Investment ⇒ Wells Fargo Deposit Account		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Investment SP ⇒ Apple Inc. (AAPL)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Investment SP ⇒ FIDELITY ADVISOR BIOTECHNOLOGY FUND CLASS C (FBTCX)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Investment SP ⇒ FIFTH THIRD BANK DESCRIPTION: STOCK	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Investment SP ⇒ FIFTH THIRD BANK SAVINGS	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
IRA ⇒ CALAMOS INTERNATIONAL GROWTH FUND CLASS I (CIGIX)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
IRA ⇒ DREYFUS ADVANTAGE FDS DREYFUS		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
OPPORTUNISTIC SMALL CAP FD (DSCVX)					
IRA ⇒ FIRST EAGLE FDS INC GLOBAL FD CL I (SGIIX)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
IRA ⇒ IVY FDS INC ASSET STRATEGY FD CL I (IVAEX)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
IRA ⇒ JANUS INVT FD PERKINS MID CAP VALUE FD CL I (JMVAX)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
IRA ⇒ MANAGERS AMG FUNDS YACTMAN FUND SERVICE CLASS (YACKX)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
IRA ⇒ NUVEEN INVT TRUST TRADEWINDS VALUE OPPTYS FUND CLASS I (NVORX)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
IRA ⇒ OPPENHEIMER INTL DIV CL Y SHS (OIDYX)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
IRA ⇒ PERMANENT PORTFOLIO FD (PRPFX)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
IRA SP ⇒ BAIRD MIDCAP FUND INSTITUTIONAL CLASS (BMDIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA SP ⇒ CHARTWELL SMALL CAP VALYUE I SHARE (CWSIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA SP ⇒ FMI LARGE CAP FUND (FMIHX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA SP ⇒ GABELLI SMALL CAP GROWTH FUND CLASS I (GACIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA SP ⇒ iShares 7-10 Year Treasury Bond ETF (IEF)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA SP ⇒ iShares Russell Mid-Cap ETF (IWR)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA SP ⇒ iShares Russell Midcap Growth ETF (IWP)	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
IRA SP ⇒ JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND CLASS I (JVMIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
COMMENTS: PLEASE NOTE: JOHN HANCOCK DISCIPLINED VALUE MIDCAP FUND CLASS I (JVMIX) WAS PREVIOUSLY LISTED AS JOHN HANCOCK DISCIPLINED VALUE MIDCAP FUND CLASS A (JVMAX) ON 2013 FD. THIS CHANGE WAS A BROKERAGE LEVEL CHANGE AND DID NOT INVOLVE THE SALE OR PURCHASE OF ANY STOCK.					
IRA SP ⇒ JP MORGAN CORE BOND FUND (WOBDX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA SP ⇒ MAINSTAY ICAP INTERNATIONAL FUND I (ICEUX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
COMMENTS: PLEASE NOTE: MAINSTAY ICAP INTERNATIONAL FUND I (ICEUX) WAS PREVIOUSLY LISTED AS MAINSTAY ICAP INTERNATIONAL FUND A (ICEVX) ON 2013 FD. THIS CHANGE WAS A BROKERAGE LEVEL CHANGE AND DID NOT INVOLVE THE SALE OR PURCHASE OF ANY STOCK.					
IRA SP ⇒ MANNING & NAPIER FD INC WORLD OPPORTUNITIES (EXWAX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA SP ⇒ OPPENHEIMER SENIOR FLOATING RATE FUND CLASS Y (OOSYX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
COMMENTS: PLEASE NOTE: OPPENHEIMER SENIOR FLOATING RATE FUND CLASS Y (OOSYX) WAS PREVIOUSLY LISTED AS OPPENHEIMER SENIOR FLOATING RATE FUND CLASS A (OOSAX) ON 2013 FD. THIS CHANGE WAS A BROKERAGE LEVEL CHANGE AND DID NOT INVOLVE THE SALE, PURCHASE OR EXCHANGE OF ANY STOCK.					
IRA SP ⇒ RIVERPARK/WEDGEWOOD INSTL FUNDS (RWGIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
COMMENTS: PLEASE NOTE: RIVERPARK/WEDGEWOOD INSTL FUNDS (RWGIX) WAS PREVIOUSLY LISTED AS RIVERPARK/WEDGEWOOD RETAIL FUNDS (RWGFX) ON 2013 FD. THIS CHANGE WAS A BROKERAGE LEVEL CHANGE AND DID NOT INVOLVE THE SALE, PURCHASE OR EXCHANGE OF ANY STOCK.					
IRA SP ⇒ SPDR S&P 500 (SPY)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA SP ⇒ SPDR S&P Emerging Asia Pacific ETF (GMF)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA SP ⇒ UBS BANK DEPOSIT ACCOUNT	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
IRA SP ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Total Bond Market ETF (BND)					
IRA SP ⇒ Vanguard Total International Bond ETF (BNDX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA SP ⇒ Vanguard Total Stock Market ETF (VTI)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA SP ⇒ VIRTUS MULTI-SECTOR SHORT TERM BOND FUND CLASS A (PIMSX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
COMMENTS: PLEASE NOTE: VIRTUS MULTI-SECTOR SHORT TERM BOND FUND CLASS A (PIMSX) WAS PREVIOUSLY LISTED AS VIRTUS MULTI-SECTOR SHORT TERM BOND FUND CLASS A (NARAX) ON 2013 FD. THIS CHANGE WAS A BROKERAGE LEVEL CHANGE AND DID NOT INVOLVE THE SALE OR PURCHASE OF ANY STOCK.					
OTHER RETIREMENT ⇒ INDIANA 401(a) PLAN - INDIANA FUND 2035		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
DESCRIPTION: RETIREMENT ACCOUNT					
OTHER RETIREMENT ⇒ STATE OF INDIANA 401(a) PLAN - INDIANA STABLE VALUE FUND		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
DESCRIPTION: RETIREMENT ACCOUNT					
OTHER RETIREMENT ⇒ STATE OF INDIANA 457 PLAN - INDIANA FUND 2035		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
DESCRIPTION: RETIREMENT ACCOUNT					
OTHER RETIREMENT ⇒ STATE OF INDIANA 457 PLAN - STABLE VALUE FUND		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
DESCRIPTION: RETIREMENT ACCOUNT					
REAL ESTATE ⇒ RENTAL CONDO - MASS AVE		\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: INDIANAPOLIS, IN, US					
REAL ESTATE ⇒ RENTAL HOUSE - 54TH STREET		\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: INDIANAPOLIS, IN, US					
REAL ESTATE ⇒ RENTAL TOWNHOUSE		\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: INDIANAPOLIS, IN, US					
RIRA ⇒ FIRST EAGLE GLOBAL INC GLOBAL FD CL A (SGENX)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Investment SP ⇒ FIDELITY ADVISOR BIOTECHNOLOGY FUND CLASS C (FBTCX)	SP	04/25/2014	P	\$1,001 - \$15,000	
IRA SP ⇒ BAIRD MIDCAP FUND INSTITUTIONAL CLASS (BMDIX)	SP	10/2/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA SP ⇒ BAIRD MIDCAP FUND INVESTOR CLASS (BMDIX)	SP	02/20/2014	P	\$1,001 - \$15,000	
IRA SP ⇒ CHARTWELL SMALL CAP VALUE FUND CLASS A (CWSIX)	SP	04/9/2014	P	\$1,001 - \$15,000	
IRA SP ⇒ CHARTWELL SMALL CAP VALUE I SHARE (CWSIX)	SP	10/2/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA SP ⇒ FMI LARGE CAP FUND (FMIHX)	SP	10/2/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA SP ⇒ GABELLI SMALL CAP GROWTH FUND (GACIX)	SP	04/9/2014	P	\$1,001 - \$15,000	
IRA SP ⇒ GABELLI SMALL CAP GROWTH FUND CLASS I (GACIX)	SP	10/2/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA SP ⇒ iShares 1-3 Year Credit Bond ETF (CSJ)	SP	04/9/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA SP ⇒ iShares 7-10 Year Treasury Bond ETF (IEF)	SP	12/22/2014	P	\$1,001 - \$15,000	
IRA SP ⇒ iShares Core MSCI Emerging Markets ETF (IEMG)	SP	08/26/2014	P	\$1,001 - \$15,000	
IRA SP ⇒	SP	10/2/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares Core MSCI Emerging Markets ETF (IEMG)					
IRA SP ⇒ iShares Core MSCI Emerging Markets ETF (IEMG)	SP	12/22/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA SP ⇒ iShares Core S&P Small-Cap ETF (IJR)	SP	04/9/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA SP ⇒ iShares Russell Mid-Cap ETF (IWR)	SP	10/2/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA SP ⇒ iShares Russell Midcap Growth ETF (IWP)	SP	02/20/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA SP ⇒ JOHN HANCOCK DISCIPLINED VALUE MIDCAP FUND CLASS I (JVMIX)	SP	10/2/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA SP ⇒ JP MORGAN CORE BOND FUND SELECT (WOBDX)	SP	10/2/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA SP ⇒ MAINSTAY ICAP INTERNATIONAL FUND CLASS I (ICEUX)	SP	08/26/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA SP ⇒ MAINSTAY ICAP INTERNATIONAL FUND CLASS I (ICEUX)	SP	10/2/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA SP ⇒ MANNING & NAPIER FD, INC. WORLD OPPORTUNITIES (EXWAX)	SP	08/26/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA SP ⇒ MANNING & NAPIER FD, INC. WORLD OPPORTUNITIES (EXWAX)	SP	10/2/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA SP ⇒ OPPENHEIMER SENIOR FLOATING RATE FUND CLASS A (OOSYX)	SP	04/9/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA SP ⇒ OPPENHEIMER SR FLOATING RATE FD CLASS Y (OOSYX)	SP	09/29/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA SP ⇒ OPPENHEIMER SR FLOATING RATE FD CLASS Y (OOSYX)	SP	10/2/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA SP ⇒ RIVERPARK/WEDGEWOOD INSTL FUNDS (RWGIX)	SP	10/2/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA SP ⇒ SPDR Barclays Intl Treasury Bd (BWV)	SP	04/9/2014	P	\$1,001 - \$15,000	
IRA SP ⇒ SPDR Barclays Intl Treasury Bd (BWV)	SP	09/23/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA SP ⇒ SPDR Barclays Intl Treasury Bd (BWV)	SP	10/2/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA SP ⇒ SPDR S&P 500 (SPY)	SP	02/20/2014	P	\$1,001 - \$15,000	
IRA SP ⇒ SPDR S&P 500 (SPY)	SP	10/2/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA SP ⇒ SPDR S&P Emerging Asia Pacific ETF (GMP)	SP	08/27/2014	P	\$1,001 - \$15,000	
IRA SP ⇒ SPDR S&P Emerging Asia Pacific ETF (GMP)	SP	08/26/2014	P	\$1,001 - \$15,000	
IRA SP ⇒ SPDR S&P Emerging Asia Pacific ETF (GMP)	SP	10/2/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA SP ⇒ TEMPLETON GLOBAL BOND ADV (TPINX)	SP	10/2/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA SP ⇒ TEMPLETON GLOBAL BOND ADV (TPINX)	SP	12/22/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA SP ⇒ Vanguard FTSEEuropean ETF (VGK)	SP	08/26/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA SP ⇒ Vanguard Total Bond Market ETF (BND)	SP	04/9/2014	P	\$1,001 - \$15,000	
IRA SP ⇒	SP	09/29/2014	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Total Bond Market ETF (BND)					
IRA SP ⇒ Vanguard Total Bond Market ETF (BND)	SP	10/2/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA SP ⇒ Vanguard Total International Bond ETF (BNDX)	SP	09/23/2014	P	\$1,001 - \$15,000	
IRA SP ⇒ Vanguard Total International Bond ETF (BNDX)	SP	10/2/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA SP ⇒ Vanguard Total Stock Market ETF (VTI)	SP	12/22/2014	P	\$1,001 - \$15,000	
IRA SP ⇒ VIRTUS MULTI-SECOR SHORT TERM BOND FUND CLASS I (NARAX)	SP	10/2/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
SOMERSET CPA'S P.C.	SPOUSE SALARY	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	CHASE BANK	JULY 2012	MORTGAGE ON PRINCIPAL RESIDENCE	\$250,001 - \$500,000
SP	OCWEN	APRIL 2011	MORTGAGE ON INDIANAPOLIS RENTAL TOWNHOUSE	\$100,001 - \$250,000
JT	OCWEN	FEB 2010	MORTGAGE ON 54TH ST RENTAL HOUSE	\$50,001 - \$100,000
	FIFTH THIRD BANK	2002	MORTGAGE ON MASS AVE RENTAL CONDO	\$15,001 - \$50,000
JT	PNC BANK	JUNE 2005	EQUITY LINE ON PERSONAL RESIDENCE	\$15,001 - \$50,000
SP	PNC BANK	NOV 2003	EQUITY LINE ON RENTAL TOWNHOUSE	\$10,000 - \$15,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
	FIFTH THIRD BANK	2002	EQUITY LINE ON MASS AVE RENTAL CONDO	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	St. Joseph College Board of Trustees
Director	Achieve International, Inc.
BOARD OF VISITORS	AOPA FOUNDATION

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none">◦ 401(k) (Owner: SP)◦ 529 Plan (Owner: SP) LOCATION: IN◦ Investment LOCATION: US◦ Investment SP (Owner: SP) LOCATION: US◦ IRA◦ IRA SP (Owner: SP)◦ OTHER RETIREMENT◦ REAL ESTATE LOCATION: US◦ RIRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

During the preparation of our current financial disclosure report, it was discovered that the positions on the original report for this year were inadvertently omitted. This amended report rectifies the omission.

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Todd Rokita , 06/14/2017