

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A

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For use by Members, officers, and employees

John Michael Mulvaney

(Full Name)

803-246-1001

(Daytime Telephone)

2011 MAY 16 PM 3:26  
(Office Use Only)

LEGISLATIVE PERSONNEL UNIT

**HAND DELIVERED**

Filer Status: ☒ Member of the U.S. House of Representatives State: SC District: 05

☐ Officer Or Employee Employing Office:

Report Type: ☒ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE I - EARNED INCOME

Name John Michael Mulvaney

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
The State of South Carolina, SC Senate (Columbia, SC)	Salary and In-District Reimbursement Expense	\$22,400
Mulvaney Properties/Lancaster, LLC (Indian Land, SC)	Real Estate Consulting Services	\$60,000

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Michael Mulvaney

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## BLOCK A

### Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any domestic relations trust; and any other non-reportable asset.

## BLOCK B

### Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

## BLOCK C

### Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

## BLOCK D

### Amount of Income

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

## BLOCK E

### Transaction

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

JT	RBC Money Market	\$1001-\$15000	Interest	\$1-\$200	S(partial)
JT	CIT Group - stock	\$1001-\$15000	None	NONE	
JT	Coeur D'Alene Mines - stock	\$1001-\$15000	None	NONE	
JT	Con Edison - stock	\$15001-\$50000	DIVIDENDS	\$1001-\$2500	
JT	General Electric - stock	\$1001-\$15000	DIVIDENDS	\$201-\$1000	
JT	Johnson & Johnson - stock	\$15001-\$50000	DIVIDENDS	\$201 - \$1,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	Medtronic - stock	\$1001-\$15000	DIVIDENDS	\$201 - \$1,000	
JT	Microsoft - stock	\$1001-\$15000	DIVIDENDS	\$201 - \$1,000	
JT	Newmont Mining - stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Piedmont Natural Gas - stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Trustdynamic Oil Fund - stock	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
JT	Spectra Energy - stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Xcel Energy - stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	Agnico Eagle Mines - stock	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
JT	Gold Corp - stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Kinross Gold Corp - stock	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
JT	PanAmerican Silver - stock	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
JT	Silver Wheaton Corp - stock	\$15,001 - \$50,000	None	NONE	
JT	Silver Corp Metals - stock	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
JT	Prudential Financial - bonds	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	CIT Group - bonds	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
JT	John Hancock - bonds	\$15,001 - \$50,000	INTEREST	\$2,501 - \$5,000	S(part)
	Money Market RBC - IRA	\$50,001 - \$100,000	None	NONE	
	Ariba Stock - IRA	\$1,001 - \$15,000	None	NONE	
	Biogen Stock - IRA	\$15,001 - \$50,000	None	NONE	
	Duke Energy Stock - IRA	\$15,001 - \$50,000	None	NONE	
	Newmont Mining Steel - IRA	\$1,001 - \$15,000	None	NONE	
	Trustdynamic Oil Fund - IRA	\$1,001 - \$15,000	None	NONE	
	Water Resources Fund - IRA	\$1,001 - \$15,000	None	NONE	
	Putnam Voyager Fund - IRA	\$1,001 - \$15,000	None	NONE	
	Spectra Engery Stock - IRA	\$15,001 - \$50,000	None	NONE	
	Wynn Resorts Stock - IRA	\$15,001 - \$50,000	None	NONE	
	Agnico Mines Stock - IRA	\$15,001 - \$50,000	None	NONE	
	Barrick Gold Stock - IRA	\$1,001 - \$15,000	None	NONE	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BP ADR Stock - IRA	\$1,001 - \$15,000	None	NONE	
Eldorado Gold Stock - IRA	\$1,001 - \$15,000	None	NONE	
Fronteer Gold Stock - IRA	\$15,001 - \$50,000	None	NONE	
Gold Corp Stock IRA	\$15,001 - \$50,000	None	NONE	
Kinross Gold Stock - IRA	\$1,001 - \$15,000	None	NONE	S(part)
North American Palladium Stock - IRA	\$1,001 - \$15,000	None	NONE	P
Pan American Silver Stock - IRA	\$15,001 - \$50,000	None	NONE	
Pinetree Capital Stock - IRA	\$1,001 - \$15,000	None	NONE	P
Polymet Mining Stock - IRA	\$1,001 - \$15,000	None	NONE	
Rare Element Res. Stock - IRA	\$15,001 - \$50,000	None	NONE	P S(part)
Romarco Minerals Stock - IRA	\$1,001 - \$15,000	None	NONE	P
Silver Wheaton Stock - IRA	\$15,001 - \$50,000	None	NONE	S(part)
Yamana Gold Stock - IRA	\$1,001 - \$15,000	None	NONE	
(GDX) Gold Miners Fund - IRA	\$15,001 - \$50,000	None	NONE	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	Commodity Tr. Ag. Fund - IRA	\$1,001 - \$15,000	None	NONE	
SP	RBC Money Market	\$1 - \$1,000	INTEREST	\$1 - \$200	
SP	Capital World Growth Fund - IRA	\$1,001 - \$15,000	None	NONE	
SP	Putnam International Growth Fund - IRA	\$1,001 - \$15,000	None	NONE	
SP	Van Eck Global Fund - IRA	\$1,001 - \$15,000	None	NONE	
SP	Van Eck International Gold Fund - IRA	\$15,001 - \$50,000	None	NONE	P
SP	Polymet Mining Stock - IRA	\$1,001 - \$15,000	None	NONE	
DC	Growth Fund America Stock - Trust	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	ING Small Cap Fund - Trust	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
DC	Van Eck Global Fund - Trust	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
DC	John Hancock Freedom/529 (Portfolio 2017-2020)	\$250,001 - \$500,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$15,001 - \$50,000	
DC	Putnam Vista Fund/Coverdell	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1 - \$200	
	SC General Assembly Retirement	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	Checking Accounts - SCB&T	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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CDs SCB&T	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
Money Market - Founders FCU	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Health Savings Account - NBSC	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
The Mulvaney Co., Inc. Restaurant and Real Estate Holding Company Indian Land, SC	None	Other: Partnership	NONE (loss)	
Altura Road, LLC 25% ownership/real estate Fort Mill, SC	None	Other: Partnership	\$15,001 - \$50,000	winding down
Hamilton Road #2 LLC 25% ownership/real estate Charlotte, NC	\$15,001 - \$50,000	Other: Partnership	\$100,001 - \$1,000,000	
Mulvaney Properties/Tyvola, LLC 5% ownership, shopping center Charlotte, NC	\$15,001 - \$50,000	Other: Partnership	NONE (loss)	
Mulvaney Properties/Collins Road, LLC 25% ownership/real estate Lancaster, SC	\$1,001 - \$15,000	Other: Partnership	NONE (loss)	
Wren Development, LLC Rental & Investment Property Lancaster, SC	\$250,001 - \$500,000	RENT/INTEREST	NONE (loss)	
Mulvaney Properties/Old Hickory Manor, LLC 3 lots/real estate Van Wyck, SC	\$100,001 - \$250,000	Other: Partnership	NONE (loss)	



# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	52074 Longspur Lane rental property Indian Land, SC	\$250,001 - \$500,000	RENT	NONE (loss)	
SP	1513 QRW, LLC Rental Property Charlotte, NC	\$15,001 - \$50,000	Other: Partnership	NONE (loss)	P
	Salsarita's Inc. 6.5% ownership/restaurant franchisor Charlotte, NC	\$100,001 - \$250,000	Other: Partnership	\$15,001 - \$50,000	
	Salsarita's Fresh Cantina, Inc. 6.5% ownership/restaurants Charlotte, NC & Greenville, SC	\$1,001 - \$15,000	Other: Partnership	NONE (loss)	
	Wren Cantinas, LLC Restaurant operating company Indian Land, SC	\$100,001 - \$250,000	Other: Partnership	NONE (loss)	
	The Mulvaney Group, Ltd. 3.3% ownership, real estate Lancaster, SC	\$250,001 - \$500,000	Other: Partnership	NONE	
	Button River, LLC 33.3% ownership, tree farm Lancaster County, SC	\$500,001 - \$1,000,000	Other: Partnership	NONE	
	Altura Investments, LLC 25% investment account	\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500	P
	Mountainview-Woodside, LLC 25% ownership/real estate Gaston County, NC	\$1,001 - \$15,000	Other: Partnership	NONE (loss)	P
	Hucks Road, LLC 25% ownership/real estate Charlotte, NC	\$100,001 - \$250,000	Other: Partnership	NONE (loss)	P
	Northwest Mutual Life 1/3 Interest/Joint Complife Policy (non-self directed)	\$100,001 - \$250,000	None	NONE	

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	RBC Money Market	S(part)	Yes	06-28-10	\$15,001 - \$50,000
JT	John Hancock Life Ins. Co. - bonds	S(part)	Yes	06-15-10	\$100,001 - \$250,000
	Kinross Gold Stock - IRA	S(part)	Yes	11-22-10	\$1,001 - \$15,000
	North American Palladium Stock - IRA	P	No	12-10-10	\$1,001 - \$15,000
	Pinetree Capital Stock - IRA	P	No	01-29-10	\$1,001 - \$15,000
	Rare Element Res. Stock - IRA	P	No	08-20-10	\$1,001 - \$15,000
	Rare Element Res. Stock - IRA	S(part)	Yes	11-22-10	\$1,001 - \$15,000
	Romarco Minerals Stock - IRA	P	No	11-02-10	\$1,001 - \$15,000
	Silver Wheaton Stock - IRA	S(part)	Yes	11-22-10	\$1,001 - \$15,000
SP	Van Eck International Gold Fund - IRA	P	No	12-23-10	\$1,001 - \$15,000
	Sun Microsystems Stock - IRA	S	No	01-28-10	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	I-Shares Silver Fund - IRA	S	No	11-23-10	\$1,001 - \$15,000
	Fronteer Development Stock (name change to Fronteer Gold)	E	N/A	05-13-10	None
	Fronteer Gold Stock (from Fronteer Development Stock)	E	N/A	05-13-10	None
	Altura Road LLC (wound up)	E	N/A	2010	\$100,001 - \$250,000
	Hamilton Road LLC (wound up)	E	N/A	2010	\$100,001 - \$250,000
	Altura Investments LLC (assets distributed from other LLCs)	E	N/A	2010	\$100,001 - \$250,000
	Mountainview-Woodside, LLC (distributed from other LLCs)	E	No	2010	\$15,001 - \$50,000
	Hucks Road, LLC (distributed from other LLCs)	E	N/A	2010	\$15,001 - \$50,000
SP	1513 QRW, LLC Rental Property Charlotte, NC (funded LLC)	P	N/A	01-01-10	\$15,001 - \$50,000

# SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	SCB&T Fort Mill, SC		Mortgage, Longspur Lane, Indian Land, SC property	\$250,001 - \$500,000
	SCB&T Fort Mill, SC		Mortgage, Wren Development Property, Indian Land, SC	\$250,001 - \$500,000
	SCB&T Fort Mill, SC		SC Senate Campaign Debt	\$100,001 - \$250,000

**SCHEDULE VIII - POSITIONS**

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	The Mulvaney Company, Inc.
Board of Visitors	USC/Lancaster
Board of Directors	United Way of Lancaster County

# SCHEDULE IX - AGREEMENTS

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
Jan 2007 - present	State of South Carolina	I continue to maintain an account with the General Assembly Retirement Service, related to my service in the SC Legislature.