



Filing ID #10026936

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Katherine M. Clark
Status: Member
State/District: MA05

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2018
Filing Date: 07/31/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise SEP IRA ⇒ ABB Ltd (ABB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ AMC Networks Inc. - Class A (AMCX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Anadarko Petroleum Corporation (APC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Centene Corporation (CNC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Dreyfus Global Real Return CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Emerson Electric Company (EMR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise SEP IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Hasbro, Inc. (HAS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Honeywell International Inc. (HON) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ HSBC Holdings, plc. (HSBC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Intel Corporation (INTC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Invesco Emerging Markets Sovereign Debt ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Invesco Ltd (IVZ) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ JP Morgan Hedged Equity CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Oracle Corporation (ORCL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Pioneer Natural Resources Company (PXD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Putnam Short Duration Income CL Y [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Sony Corporation (SNE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise SEP IRA ⇒ Southern Copper Corporation (SCCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ SPDR Barclays Cap Short Term High Yield Bond ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Mid Cap ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Short Term Corp Bond ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Small-Cap ETF - DNQ (VB) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Visa Inc. (V) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ ABB Ltd (ABB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ AMC Networks Inc. - Class A (AMCX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Anadarko Petroleum Corporation (APC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Centene Corporation (CNC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCE) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Dreyfus Global Real Return CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise Traditional IRA ⇒ Emerson Electric Company (EMR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Guggenheim Total Return Bond Instl Cl [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Hasbro, Inc. (HAS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Honeywell International Inc. (HON) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ HSBC Holdings, plc. (HSBC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Intel Corporation (INTC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Invesco Emerging Markets Sovereign Debt ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Invesco Ltd (IVZ) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: acquired through transactions of less than \$1,000.					
Ameriprise Traditional IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ JP Morgan Hedged Equity CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Oracle Corporation (ORCL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Pioneer Natural Resources Company (PXD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Putnam Short Duration Income CL Y [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise Traditional IRA ⇒ Sony Corporation (SNE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Southern Copper Corporation (SCCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ SPDR Barclays Cap Short Term High Yield Bond ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Mid Cap ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Short Term Corp Bond ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Small-Cap ETF - DNQ (VB) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Visa Inc. (V) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Bank of America Account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bank of America Account [BA]	JT	\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Capital One Savings Account [BA]	JT	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
COPERA 401(K) Plan ⇒ PERAdvantage 2030 Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
COPERA 401(K) Plan ⇒ PERAdvantage US Large Cap Stock [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
D1 Irrevocable Trust ⇒	DC	\$100,001 -	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
John Hancock 529 College Portfolio C2 [5P] LOCATION: AK		\$250,000			
D1 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio C2 [5P] LOCATION: AK	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
D1 Irrevocable Trust ⇒ John Hancock Fixed Income Portfolio C2 [5P] LOCATION: AK	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
D1 Irrevocable Trust ⇒ Sequoia Fund [MF]	DC	\$50,001 - \$100,000	Capital Gains	None	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 College Portfolio A [5P] LOCATION: AK	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 College Portfolio C2 [5P] LOCATION: AK	DC	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A [5P] LOCATION: AK	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio C2 [5P] LOCATION: AK	DC	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio A [5P] LOCATION: AK	DC	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio C2 [5P] LOCATION: AK	DC	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
D2 Irrevocable Trust ⇒ Sequoia Fund [MF]	DC	\$50,001 - \$100,000	Capital Gains	None	<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 College Portfolio A [5P] LOCATION: AK	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
D3 Irrevocable Trust ⇒ John Hancock 529 College Portfolio C2 [5P] LOCATION: AK	DC	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A [5P] LOCATION: AK	DC	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio C2 [5P] LOCATION: AK	DC	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio A [5P] LOCATION: AK	DC	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio C2 [5P] LOCATION: AK	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
D3 Irrevocable Trust ⇒ Sequoia Fund [MF]	DC	\$15,001 - \$50,000	Capital Gains	None	<input type="checkbox"/>
Family Home (non-primary) [RP] LOCATION: Truro, MA, US	JT	\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Apple Inc. (AAPL) [ST]		\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Avondale AZ Projs of 1998 2007 Build Amer [GS] DESCRIPTION: 7.8%, 7/1/29		\$15,001 - \$50,000	Interest	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Camden County NJ Impt Auth Lease Rev Taxable-C [GS] DESCRIPTION: 6.72%, 7/1/23		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒		None	Capital Gains,	\$1,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Corporate Capital Trust [HE]			Dividends	\$2,500	
DESCRIPTION: Converted to FS KKR automatically on 12/27/18. There was no purchase or sale.					
Judith S. Clark Irrevocable Trust ⇒ Fidelity Government Money Market [BA]		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
DESCRIPTION: Cash holdings					
Judith S. Clark Irrevocable Trust ⇒ Flextronics Intl Ltd SR NT [CS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 4.625%, 12/15/20					
Judith S. Clark Irrevocable Trust ⇒ Ford Motor Company (F) [ST]		\$1,001 - \$15,000	Capital Gains	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ FS Energy and Power Fund [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ FS KKR Capital Corp. (FSK) [ST]		\$15,001 - \$50,000	Capital Gains	None	<input type="checkbox"/>
DESCRIPTION: Converted from Corporate Capital Trust automatically on 12/27/18. There was no purchase or sale.					
Judith S. Clark Irrevocable Trust ⇒ GDB Debt Recovery Auth of Commonwealth of Puerto Rico [GS]		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: 5.2%, 8/1/26					
Judith S. Clark Irrevocable Trust ⇒ HEALTHSOUTH CORP SR NT [AB]		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: 5.75% 11/01/24					
Judith S. Clark Irrevocable Trust ⇒ Home Depot, Inc. (HD) [ST]		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Intel Corporation (INTC) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ JP Morgan Chase & Co. SR NT [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.25%; 9/23					
Judith S. Clark Irrevocable Trust ⇒ KB HOME SR NT 7.500% [AB]		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: 7.500% 9/15/22					
Judith S. Clark Irrevocable Trust ⇒ MICROSOFT CORP FIXEDRT NT [CS]		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: 3.0%, 10/1/20					
Judith S. Clark Irrevocable Trust ⇒ Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ NETAPP INC SR NT [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.250% 12/15/22					
Judith S. Clark Irrevocable Trust ⇒ New Orleans LA RFDG-Taxable-Ltd Tax [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 3.142%, 9/1/20					
Judith S. Clark Irrevocable Trust ⇒ Norfolk Southern Corporation (NSC) [ST]		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ PNC Financial Services Group, Inc. (PNC) [ST]		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ RADIAN GROUP INC SR NT [AB]		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: 5.500% 06/01/19					
Judith S. Clark Irrevocable Trust ⇒ RENT A CTR INC NEW GTD FIXED RT SR [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 4.750% 05/01/21					
Judith S. Clark Irrevocable Trust ⇒ ROYAL BK SCOTLAND GROUP PLC SUB NT TIER 2 ISIN#US780097AZ42 [AB]		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: 6.000% 12/19/23					
Judith S. Clark Irrevocable Trust ⇒ SPDR S&P 500 ETF [EF]		\$250,001 - \$500,000	Capital Gains	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ STATE STR CORP SR SUB NT [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.100% 05/15/23					
Judith S. Clark Irrevocable Trust ⇒ TEVA PHARMACEUTICAL FIN IV B V SR NT [AB]		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: 3.650% 11/10/21					
Judith S. Clark Irrevocable Trust ⇒ The Kraft Heinz Company (KHC) [ST]		\$15,001 - \$50,000	Capital Gains	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ United Parcel Service, Inc. (UPS) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Verizon Communications Inc. (VZ) [ST]		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Walt Disney Company (DIS) [ST]		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ WELLS FARGO & CO NEWMEDIUM TERM SR NTS FXD RT SER M [CS]		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: 4.125%; 08/15/23					
Massachusetts state pension [PE]		Undetermined	None		<input type="checkbox"/>
Sequoia Fund [MF]		\$250,001 - \$500,000	Capital Gains	None	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ ABB Ltd (ABB) [ST]	SP	01/3/2018	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ AMC Networks Inc. - Class A (AMCX) [ST]	SP	10/1/2018	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Anadarko Petroleum Corporation (APC) [ST]	SP	07/6/2018	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Apple Inc. (AAPL) [ST]	SP	10/1/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒	SP	10/1/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) [ST]					
Ameriprise SEP IRA ⇒ China Mobile Limited (CHL) [ST]	SP	04/9/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Chubb Limited (CB) [ST]	SP	07/6/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Clorox Company (CLX) [ST]	SP	01/3/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Clorox Company (CLX) [ST]	SP	10/1/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Dreyfus Global Real Return CL I [MF]	SP	01/3/2018	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Emerson Electric Company (EMR) [ST]	SP	01/3/2018	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ GlaxoSmithKline PLC (GSK) [ST]	SP	01/3/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Guggenheim Total Return Bond CL A [MF]	SP	01/3/2018	P	\$15,001 - \$50,000	
Ameriprise SEP IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	10/1/2018	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Hasbro, Inc. (HAS) [ST]	SP	01/3/2018	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ HSBC Holdings, plc. (HSBC) [ST]	SP	10/1/2018	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Intel Corporation (INTC) [ST]	SP	10/1/2018	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Ishares Core US Aggregate Bond ETF [EF]	SP	01/3/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ JP Morgan Hedged Equity L I [MF]	SP	01/3/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ Pioneer Natural Resources Company (PXD) [ST]	SP	01/3/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Powershares Emerging Markets Sovereign Debt ETF [EF]	SP	01/3/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Putnam Short Term Duration Income CL Y [MF]	SP	04/9/2018	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Sealed Air Corporation (SEE) [ST]	SP	10/1/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Sony Corporation (SNE) [ST]	SP	01/3/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Southern Copper Corporation (SCCO) [ST]	SP	01/3/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	10/1/2018	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Vanguard Mid Cap ETF [EF]	SP	01/3/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Small Cap ETF [EF]	SP	01/3/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Waste Management, Inc. (WM) [ST]	SP	01/3/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ ABB Ltd (ABB) [ST]	SP	01/3/2018	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ AMC Networks Inc. - Class A (AMCX) [ST]	SP	10/1/2018	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Anadarko Petroleum Corporation (APC) [ST]	SP	07/6/2018	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Apple Inc. (AAPL) [ST]	SP	10/1/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒	SP	10/1/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) [ST]					
Ameriprise Traditional IRA ⇒ China Mobile Limited (CHL) [ST]	SP	04/9/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Chubb Limited (CB) [ST]	SP	07/6/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Clorox Company (CLX) [ST]	SP	10/1/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Dreyfus Global Real Return CL I [MF]	SP	01/3/2018	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Emerson Electric Company (EMR) [ST]	SP	01/3/2018	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ GlaxoSmithKline PLC (GSK) [ST]	SP	01/3/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Guggenheim Total Return Bond CL A [MF]	SP	01/3/2018	P	\$15,001 - \$50,000	
Ameriprise Traditional IRA ⇒ Hasbro, Inc. (HAS) [ST]	SP	01/3/2018	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ HSBC Holdings, plc. (HSBC) [ST]	SP	10/1/2018	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Intel Corporation (INTC) [ST]	SP	10/1/2018	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Ishares Core US Aggregate Bond ETF [EF]	SP	01/3/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ JP Morgan Hedged Equity CL I [MF]	SP	01/3/2018	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Pioneer Natural Resources Company (PXD) [ST]	SP	01/3/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Powershares Emerging Markets Sovereign Debt ETF [EF]	SP	01/3/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise Traditional IRA ⇒ Punam Short Duration Income CL Y [MF]	SP	04/9/2018	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Sealed Air Corporation (SEE) [ST]	SP	10/1/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	10/1/2018	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Vanguard Mid Cap ETF [EF]	SP	01/3/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Waste Management, Inc. (WM) [ST]	SP	01/3/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 College Portfolio A [5P] LOCATION: AK	DC	07/16/2018	P	\$15,001 - \$50,000	
D2 Irrevocable Trust ⇒ John Hancock 529 College Portfolio C2 [5P] LOCATION: AK	DC	07/16/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A [5P] LOCATION: AK	DC	07/16/2018	P	\$15,001 - \$50,000	
D2 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio C2 [5P] LOCATION: AK	DC	07/16/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio A [5P] LOCATION: AK	DC	07/16/2018	P	\$1,001 - \$15,000	
D2 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio C2 [5P] LOCATION: AK	DC	07/16/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 College Portfolio A [5P] LOCATION: AK	DC	07/16/2018	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
D3 Irrevocable Trust ⇒ John Hancock 529 College Portfolio C2 [5P] LOCATION: AK	DC	07/16/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A [5P] LOCATION: AK	DC	07/16/2018	P	\$1,001 - \$15,000	
D3 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio C2 [5P] LOCATION: AK	DC	07/16/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock Short Term Bond Portfolio A [5P] LOCATION: AK	DC	07/16/2018	P	\$1,001 - \$15,000	
D3 Irrevocable Trust ⇒ John Hancock Short Term Bond Portfolio C2 [5P] LOCATION: AK	DC	07/16/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Amsouth Bancorporation Bond [CS] DESCRIPTION: 6.75%; 11/01/2025		12/7/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Bank of America Corp MTN [CS] DESCRIPTION: 4.2%; 8/26/2024		12/7/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Clean Harbors Inc [CS] DESCRIPTION: 5.125%; 6/1/2021		12/7/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Dollar General Corp [CS] DESCRIPTION: 3.25%; 4/15/2023		12/7/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Eaton Vance Muni National Munis Cl I [MF]		12/7/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ GDB Commonwealth of Puerto Rico [GS] DESCRIPTION: Redeemed 7.5%; 8/20/2040 Converted to GDB Debt Recovery Auth of Commonwealth of Puerto Rico listed in Assets		12/4/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Icahn Enterprises LP/Corp Note [CS]		12/7/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: 6.0%; 08/01/2020					
Judith S. Clark Irrevocable Trust ⇒ Nebraska Coop Republican Platte [CS]		09/10/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Redeemed Full Call Payout 4.6% 12/15/22					
Judith S. Clark Irrevocable Trust ⇒ Ryland Group Inc [CS]		12/7/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: 5.375%; 10/01/2022					
Judith S. Clark Irrevocable Trust ⇒ Tenet Healthcare Corp [CS]		12/7/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: 4.75%; 06/01/2020					
Judith S. Clark Irrevocable Trust ⇒ Toll Bros Fin Corp Note [CS]		11/30/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Redeemed Full Call Payout 4.0% 12/31/2018					
Judith S. Clark Irrevocable Trust ⇒ Twitter, Inc. (TWTR) [ST]		03/27/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Zions Bancorporation [CS]		11/15/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Redeemed Full Call Payout 5.65% 11/15/2023					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo	October 2017	Mortgage	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	UMass Boston Center for Women in Politics and Public Policy

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
July 1997	Katherine M. Clark and the Commonwealth of Massachusetts	future pension
September 1991	Katherine M. Clark and the state of Colorado (COPERA)	future pension

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- o Ameriprise SEP IRA (Owner: SP)
- o Ameriprise Traditional IRA (Owner: SP)
- o COPERA 401(K) Plan
- o D1 Irrevocable Trust (Owner: DC)
- o D2 Irrevocable Trust (Owner: DC)
- o D3 Irrevocable Trust (Owner: DC)
- o Judith S. Clark Irrevocable Trust

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the

best of my knowledge and belief.

Digitally Signed: Hon. Katherine M. Clark , 07/31/2019