



Filing ID #10019554

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** James Patrick Littlefield  
**Status:** Congressional Candidate  
**State/District:** MA03

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2017  
**Filing Date:** 04/12/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
3M Company (MMM)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Apple Inc. (AAPL)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
AT&T Inc. (T)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Corporation (BAC)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$1 - \$200
BB&T Corporation (BBT)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
BHP Billiton Limited (BHP)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
BHP Billiton plc Sponsored ADR (BBL)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$5,001 - \$15,000
Caterpillar, Inc. (CAT)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Chevron Corporation (CVX)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Citigroup, Inc. (C)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Coca-Cola Company (KO)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Colgate-Palmolive Company (CL)	JT	\$15,001 - \$50,000	Dividends, Interest	\$201 - \$1,000	\$201 - \$1,000
Comcast Corporation - Class A (CMCSA)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Corning Incorporated (GLW)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$201 - \$1,000
CVS Health Corporation (CVS)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Dermatology Associates of Concord (DAC) ⇒ Dermatology Associates of Concord 401K		\$50,001 - \$100,000	Dividends, Interest	None	None
Dodge & Cox International Stock Fund DODFX	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Exxon Mobil Corporation (XOM)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$1,001 - \$2,500
Ford Motor Company (F)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$5,001 - \$15,000
General Electric Company (GE)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Goldman Sachs Group, Inc. (GS)	JT	\$1,001 - \$15,000	Interest	None	None
Intel Corporation (INTC)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$2,501 - \$5,000
International Business Machines Corporation (IBM)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Janus Henderson Global Life Sciences Fund Class T JAGLX	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Johnson & Johnson (JNJ)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
JP Morgan Chase & Co. (JPM)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$201 - \$1,000
Kellogg Company (K)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Manulife Financial Corporation (MFC)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	None
McCormick & Company, Incorporated (MKC)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Medtronic plc. Ordinary Shares (MDT)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Microsoft Corporation (MSFT)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Monsanto Company (MON)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
NextEra Energy, Inc. (NEE)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$201 - \$1,000
Norfolk Southern Corporation (NSC)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
Ormat Technologies, Inc. (ORA)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Pepsico, Inc. (PEP)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Procter & Gamble Company (PG)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000
Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A)	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Schlumberger N.V. (SLB)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Schwab Cash & Money Market	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200
Schwab Rollover IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)		\$1,001 - \$15,000	Capital Gains	None	None
Schwab Rollover IRA ⇒ American International Group, Inc. New (AIG)		\$1 - \$1,000	None		
Schwab Rollover IRA ⇒ Cash and Cash Investments		\$15,001 - \$50,000	Interest	None	None
Schwab Rollover IRA ⇒ Citigroup, Inc. (C)		\$1 - \$1,000	None		
Schwab Rollover IRA ⇒ Henry Schein, Inc. (HSIC)		\$1,001 - \$15,000	Capital Gains, Dividends	None	None
Schwab Rollover IRA ⇒ Home Depot, Inc. (HD)		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Schwab Rollover IRA ⇒ United Parcel Service, Inc. (UPS)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Southern Company (SO)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000
Stag Industrial, Inc. (STAG)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$1,001 - \$2,500
State Street Corporation (STT)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$201 - \$1,000
Team, Inc. (TISI)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	None
Teva Pharmaceutical Industries Limited American Depository Shares (TEVA)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Thrift Savings Plan ⇒ Thrift Savings Plan		\$50,001 - \$100,000	Capital Gains, Dividends	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
US Treasury 0.875% 10/15/2018	JT	\$15,001 - \$50,000	Interest	None	None
US Treasury BILL 06/21/2018	JT	\$15,001 - \$50,000	Interest	None	None
Verizon Communications Inc. (VZ)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Walt Disney Company (DIS)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
WaveMark/Cardinal Health	JT	None	Earnout Payment	\$5,001 - \$15,000	\$15,001 - \$50,000
DESCRIPTION: This is the final earn-out payment from the sale of WaveMark to Cardinal health in 2013					
Wells Fargo & Company (WFC)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
US Department of Veterans Affairs	Personal Salary	N/A	\$180,000.00
Dermatology Associates of Concord	Spouse Salary	\$8,000.00	\$25,725.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Bank of America	2017	Home Equity Loan	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- Dermatology Associates of Concord (DAC)  
DESCRIPTION: Amelia's 401K
- Schwab Rollover IRA
- Thrift Savings Plan  
DESCRIPTION: Savings from Patrick's time at Veterans Affairs

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** James Patrick Littlefield , 04/12/2018