



Filing ID #10001349

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Mr. Sean Patrick Maloney
Status: Member
State/District: NY18

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2013
Filing Date: 05/15/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1441 Lincoln Road <small>LOCATION: Miami Beach, FL, US</small>		None	None		<input checked="" type="checkbox"/>
283 E. Hill Road / East Hill Road Land <small>LOCATION: Jeffersonville, NY, US</small>		None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Bridge loan to Mr. S. Foster, purchaser of personal residence <small>DESCRIPTION: Loan repaid in full in 2013.</small>		None	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Capital Account, Orrick, Herrington & Sutcliffe		None	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Citibank Accounts		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
James F. and Joan D. Maloney Trust <small>DESCRIPTION: Trust established by parents for benefit of me and four siblings. Waived receipt of income for 2013.</small>		\$500,001 - \$1,000,000	Excepted/Blind Trust	None	<input type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ Doubleline Emerging Markets Fixed Income		None	Tax-Deferred	None	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ Doubleline Total Return Fund		None	Tax-Deferred	None	<input checked="" type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ Invesco Equal Weight S&P 500 Index		None	Tax-Deferred	None	<input checked="" type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ Metropolitan West High Yield		None	Tax-Deferred	None	<input checked="" type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ PIMCO Emerging Local Bonds		None	Tax-Deferred	None	<input checked="" type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ PIMCO Total Return Fund		None	Tax-Deferred	None	<input checked="" type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ Schwab S&P 500 Select Fund		None	Tax-Deferred	None	<input checked="" type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ Vanguard Emerging Market Stock Index		None	Tax-Deferred	None	<input checked="" type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ Vanguard Midcap Index		None	Tax-Deferred	None	<input checked="" type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ Vanguard Total Bond Index		None	Tax-Deferred	None	<input checked="" type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ Western Asset Core Plus Bond Fund		None	Tax-Deferred	None	<input checked="" type="checkbox"/>
Orrick, Herrington & Sutcliffe Partners' Defined Benefit Pension Plan		None	Tax-Deferred	None	<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
1441 Lincoln Road		04/19/2013	S	\$100,001 - \$250,000	<input type="checkbox"/>
LOCATION: Miami Beach, FL, US					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
283 E. Hill Road		11/25/2013	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
LOCATION: Jeffersonville, NY, US COMMENTS: A portion of this property had been subdivided and was sold in a separate transaction on May 14, 2013. See entry for "East Hill Road (land)".					
Capital Account, Orrick, Herrington & Sutcliffe		01/25/2013	S	\$100,001 - \$250,000	<input type="checkbox"/>
East Hill Road (Land)		05/14/2013	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: Jeffersonville, NY, US COMMENTS: Subdivided property. Main property sold on November 25, 2013. See entry for "283 E. Hill Road"					
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ Doubleline Emerging Markets Fixed Income		01/13/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ Doubleline Total Return Fund		01/13/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ Invesco Equal Weight S&P 500 Index		01/13/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ Metropolitan West High Yield		01/13/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ PIMCO Emerging Local Bonds		01/13/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ PIMCO Total Return Fund		01/13/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ Schwab S&P 500 Select Fund		01/13/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ Vanguard Emerging Market Stock Index		01/13/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ Vanguard Midcap Index		01/13/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ Vanguard Total Bond Index		01/13/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Orrick, Herrington & Sutcliffe 401(k) Plan ⇒ Western Asset Core Plus Bond Fund		01/13/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Orrick, Herrington & Sutcliffe Partners' Defined Benefit Pension Plan		01/13/2013	S	\$50,001 - \$100,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Citibank	August 2009	Line of Credit (secured by personal residence)	\$50,001 - \$100,000
	Credit Union Mortgage Association	June 2013	Mortgage on Personal Residence, Cold Spring, NY	\$250,001 - \$500,000
	Citibank	November 2010	Line of Credit (secured by personal residence)	\$100,001 - \$250,000
	COMMENTS: Zero balance as of June 2013.			
	Citibank	June 2011	Law Firm Capital Loan	\$100,001 - \$250,000
	COMMENTS: Loan repaid in full in January 2013.			
	Sun Trust Bank	March 2005	Mortgage on 1441 Lincoln Road Property	\$100,001 - \$250,000
	COMMENTS: Mortgage paid in full in 2013 with sale of Lincoln Road property.			
	Sun Trust Bank	July 2006	Mortgage on E. Hill Road Property	\$15,001 - \$50,000
	COMMENTS: Mortgage paid in full in 2013 with sale of E. Hill Road Properties.			
	CitiMortgage, Inc.	September 2003	Mortgage on personal residence, New York, NY	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2013	Myself and the Orrick, Herrington & Sutcliffe Partners' Defined Benefit Pension Plan	Participation in plan terminated in January 2013.
January 2013	Myself and the Orrick, Herrington & Sutcliffe 401(k) Plan	Participation in plan terminated in January 2013.

SCHEDULE G: GIFTS

Source	Description	Value
Charles O'Byrne (New York, NY, US)	Arline tickets	\$1,500
COMMENTS: Gift of personal friendship / anniversary gift. Pre-approval granted by the House Ethics Committee.		

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Orrick, Herrington & Sutcliffe 401(k) Plan

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Sean Patrick Maloney , 05/15/2014