



Filing ID #10008283

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Mary Lawrence
Status: Congressional Candidate
State/District: MN02

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2015
Filing Date: 08/13/2015
Period Covered: 01/01/2014– 07/31/2015

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
AEGON 587		\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: Unilever retirement plan, Edinburgh, UK.					
AEGON 607		\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: Unilever retirement plan, Edinburgh, UK.					
Aristar Ventures I, LLC		\$15,001 - \$50,000	None		
DESCRIPTION: EIF, formerly known as Brightline Ventures.					
Aristar Ventures I-B, LLC		\$15,001 - \$50,000	None		
DESCRIPTION: EIF, formerly known as Brightline Ventures.					
Aristar Ventures I-C, LLC		\$1,001 - \$15,000	None		
DESCRIPTION: EIF, formerly known as Brightline Ventures.					
Brassica Protection Products LLC		\$500,001 - \$1,000,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LOCATION: Baltimore / Baltimore, MD, US					
DESCRIPTION: Nutritional ingredients from vegetables research and development company					
City National Bank		\$250,001 - \$500,000	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
CNB Brokerage Acct ⇒ Affiliated Managers Group, Inc. (AMG)		\$15,001 - \$50,000	None		
CNB Brokerage Acct ⇒ American Express Company (AXP)		None	Capital Gains, Dividends	\$15,001 - \$50,000	None
CNB Brokerage Acct ⇒ American International Group, Inc. New (AIG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Brokerage Acct ⇒ American Water Works Company, Inc. (AWK)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Brokerage Acct ⇒ Apple Inc. (AAPL)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1 - \$200
CNB Brokerage Acct ⇒ Biogen Inc. (BIIB)		\$15,001 - \$50,000	None		
CNB Brokerage Acct ⇒ Boeing Company (BA)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1 - \$200
CNB Brokerage Acct ⇒ Cabot Oil & Gas Corporation (COG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Brokerage Acct ⇒ Celgene Corporation (CELG)		\$15,001 - \$50,000	None		
CNB Brokerage Acct ⇒ Cerner Corporation (CERN)		\$1,001 - \$15,000	None		
CNB Brokerage Acct ⇒ Charles Schwab Corporation (SCHW)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Brokerage Acct ⇒ Citigroup, Inc. (C)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Brokerage Acct ⇒		\$50,001 -	Dividends	None	\$201 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
City National Rochdale Emerging Markets Fund Class N 1225		\$100,000			\$1,000
CNB Brokerage Acct ⇒ Comcast Corporation - Class A (CMCSA)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
CNB Brokerage Acct ⇒ Costco Wholesale Corporation (COST)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1 - \$200
CNB Brokerage Acct ⇒ Cummins Inc. (CMI)		None	Capital Gains, Dividends	\$15,001 - \$50,000	\$1 - \$200
CNB Brokerage Acct ⇒ CVS Health Corporation (CVS)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
CNB Brokerage Acct ⇒ Eli Lilly and Company (LLY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
CNB Brokerage Acct ⇒ Exxon Mobil Corporation (XOM)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
CNB Brokerage Acct ⇒ Facebook, Inc. - Class A (FB)		\$15,001 - \$50,000	None		
CNB Brokerage Acct ⇒ Gilead Sciences, Inc. (GILD)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
CNB Brokerage Acct ⇒ Google Inc. - Class A (GOOGL)		\$15,001 - \$50,000	None		
CNB Brokerage Acct ⇒ HCA Holdings, Inc. (HCA)		\$15,001 - \$50,000	None		
CNB Brokerage Acct ⇒ Hexcel Corporation (HXL)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
CNB Brokerage Acct ⇒ Home Depot, Inc. (HD)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1 - \$200
CNB Brokerage Acct ⇒ Host Hotels & Resorts, Inc. (HST)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$1 - \$200
CNB Brokerage Acct ⇒ Intuitive Surgical, Inc. (ISRG)		None	Capital Gains	\$15,001 - \$50,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CNB Brokerage Acct ⇒ JP Morgan Chase & Co. (JPM)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
CNB Brokerage Acct ⇒ Magna International, Inc. (MGA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Brokerage Acct ⇒ Mastercard Incorporated (MA)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
CNB Brokerage Acct ⇒ NXP Semiconductors N.V. (NXPI)		\$15,001 - \$50,000	None		
CNB Brokerage Acct ⇒ Pacific Gas & Electric Co. (PCG)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
CNB Brokerage Acct ⇒ Prudential Financial, Inc. (PRU)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1 - \$200
CNB Brokerage Acct ⇒ PulteGroup, Inc. (PHM)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
CNB Brokerage Acct ⇒ QUALCOMM Incorporated (QCOM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Brokerage Acct ⇒ Schlumberger N.V. (SLB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
CNB Brokerage Acct ⇒ Starbucks Corporation (SBUX)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Brokerage Acct ⇒ Textron Inc. (TXT)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
CNB Brokerage Acct ⇒ The Priceline Group Inc. (PCLN)		None	Capital Gains	\$15,001 - \$50,000	\$1 - \$200
CNB Brokerage Acct ⇒ Thermo Fisher Scientific Inc (TMO)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
CNB Brokerage Acct ⇒ Touchstone Small Cap Core Fund-Y		None	Dividends	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CNB Brokerage Acct ⇒ Tyson Foods, Inc. (TSN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Brokerage Acct ⇒ Union Pacific Corporation (UNP)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
CNB Brokerage Acct ⇒ Vanguard Small-Cap ETF (VB)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
CNB Brokerage Acct ⇒ Walt Disney Company (DIS)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
CNB Brokerage Acct ⇒ Wells Fargo & Company (WFC)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
CNB Brokerage Acct ⇒ Whitewave Foods Company (WWAV)		\$15,001 - \$50,000	None		
CNB Brokerage Acct AA ⇒ General Mills NQ Options, Strike Price \$23.49, exp. 01/13/15 DESCRIPTION: Non-qualified stock options exercised		None	Option exercise	None	Over \$5,000,000
CNB Brokerage Acct AA ⇒ General Mills, Inc. (GIS)		\$1,000,001 - \$5,000,000	Dividends	\$50,001 - \$100,000	None
CNB Brokerage Acct AA ⇒ Unilever NV Unilever NV Cert of Shs (UNLNF)		\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
CNB Issue Trust ⇒ Accenture plc. Class A Ordinary Shares (ACN)		None	Capital Gains	None	\$201 - \$1,000
CNB Issue Trust ⇒ Ace Limited (ACE)		None	Capital Gains, Dividends	None	\$201 - \$1,000
CNB Issue Trust ⇒ Adobe Systems Incorporated (ADBE)		\$1,001 - \$15,000	None		
CNB Issue Trust ⇒ Affiliated Managers Group, Inc. (AMG)		\$1,001 - \$15,000	None		
CNB Issue Trust ⇒ Allergan plc Ordinary Shares (AGN)		\$1 - \$1,000	Capital Gains, Dividends	None	\$2,501 - \$5,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CNB Issue Trust ⇒ American Express Company (AXP)		None	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ American International Group, Inc. New (AIG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ American Water Works Company, Inc. (AWK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ Apple Inc. (AAPL)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
CNB Issue Trust ⇒ Applied Materials, Inc. (AMAT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ Biogen Inc. (BIIB)		\$1,001 - \$15,000	None		
CNB Issue Trust ⇒ Boeing Company (BA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ Cabot Oil & Gas Corporation (COG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ Caseys General Stores, Inc. (CASY)		None	Capital Gains, Dividends	None	\$201 - \$1,000
CNB Issue Trust ⇒ Celgene Corporation (CELG)		\$15,001 - \$50,000	None		
CNB Issue Trust ⇒ Cerner Corporation (CERN)		\$1,001 - \$15,000	None		
CNB Issue Trust ⇒ Charles Schwab Corporation (SCHW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
CNB Issue Trust ⇒ Chicago Bridge & Iron Company N.V. (CBI)		None	Capital Gains, Dividends	None	\$201 - \$1,000
CNB Issue Trust ⇒ Citigroup, Inc. (C)		None	Dividends	\$5,001 - \$15,000	\$1 - \$200
CNB Issue Trust ⇒ City National Rochdale Emerging Markets Fund		\$50,001 - \$100,000	Dividends	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Class N 1225					
CNB Issue Trust ⇒ City National Rochdale Fixed Income Fund		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	None
CNB Issue Trust ⇒ City National Rochdale High Yield Bond Fund Servicing Class		None	Dividends	\$1 - \$200	\$1,001 - \$2,500
CNB Issue Trust ⇒ City National Rochdale Municipal High Income Fund-Class Servicing		\$50,001 - \$100,000	Dividends, Interest	\$1,001 - \$2,500	\$1 - \$200
CNB Issue Trust ⇒ Comcast Corporation - Class A (CMCSA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ Costco Wholesale Corporation (COST)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
CNB Issue Trust ⇒ Covidien plc. Ordinary Shares (COV)		None	Capital Gains, Dividends	None	\$201 - \$1,000
CNB Issue Trust ⇒ Cummins Inc. (CMI)		None	Capital Gains, Dividends	\$5,001 - \$15,000	\$1 - \$200
CNB Issue Trust ⇒ CVS Health Corporation (CVS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ DIRECTV (DTV)		None	Capital Gains	None	\$1,001 - \$2,500
CNB Issue Trust ⇒ Eaton Vance Floating Rate Fund Class 1		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
CNB Issue Trust ⇒ Edwards Lifesciences Corporation (EW)		\$1,001 - \$15,000	None		
CNB Issue Trust ⇒ Eli Lilly and Company (LLY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
CNB Issue Trust ⇒ Exxon Mobil Corporation (XOM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Facebook, Inc. - Class A (FB)					
CNB Issue Trust ⇒ Gilead Sciences, Inc. (GILD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
CNB Issue Trust ⇒ Google Inc. - Class A (GOOGL)		\$1,001 - \$15,000	None		
CNB Issue Trust ⇒ Google Inc. - Class C Capital Stock (GOOG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
CNB Issue Trust ⇒ HCA Holdings, Inc. (HCA)		\$1,001 - \$15,000	None		
CNB Issue Trust ⇒ Hertz Global Holdings, Inc (HTZ)		None	Capital Gains	None	\$201 - \$1,000
CNB Issue Trust ⇒ Hexcel Corporation (HXL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
CNB Issue Trust ⇒ Home Depot, Inc. (HD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ Host Hotels & Resorts, Inc. (HST)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$1 - \$200
CNB Issue Trust ⇒ International Paper Company (IP)		None	Capital Gains, Dividends	None	\$201 - \$1,000
CNB Issue Trust ⇒ Intuitive Surgical, Inc. (ISRG)		None	Capital Gains	\$5,001 - \$15,000	None
CNB Issue Trust ⇒ iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)		None	Capital Gains, Dividends	\$15,001 - \$50,000	None
CNB Issue Trust ⇒ IVY High Income Fund Class-1		None	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
CNB Issue Trust ⇒ JP Morgan Chase & Co. (JPM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
CNB Issue Trust ⇒ Lions Gate Entertainment Corporation (LGF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CNB Issue Trust ⇒ Lowe's Companies, Inc. (LOW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ M&T Bank Corporation (MTB)		None	Capital Gains	None	\$201 - \$1,000
CNB Issue Trust ⇒ Magna International, Inc. (MGA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ Mastercard Incorporated (MA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ Mylan N.V. (MYL)		None	Capital Gains	None	\$2,501 - \$5,000
CNB Issue Trust ⇒ National Oilwell Varco, Inc. (NOV)		None	Capital Gains, Dividends	None	\$1,001 - \$2,500
CNB Issue Trust ⇒ NXP Semiconductors N.V. (NXPI)		\$1,001 - \$15,000	None		
CNB Issue Trust ⇒ Occidental Petroleum Corporation (OXY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ Polaris Industries Inc. (PII)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ Prudential Financial, Inc. (PRU)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
CNB Issue Trust ⇒ PulteGroup, Inc. (PHM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ QUALCOMM Incorporated (QCOM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ Quanta Services, Inc. (PWR)		\$1,001 - \$15,000	None		
CNB Issue Trust ⇒ Schlumberger N.V. (SLB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CNB Issue Trust ⇒ Starbucks Corporation (SBUX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ State Street Corporation (STT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ Textron Inc. (TXT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ The Priceline Group Inc. (PCLN)		None	Capital Gains	\$5,001 - \$15,000	None
CNB Issue Trust ⇒ Thermo Fisher Scientific Inc (TMO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ Touchstone Small Cap Core Fund-Y		None	Capital Gains, Dividends	None	\$2,501 - \$5,000
CNB Issue Trust ⇒ Tweedy Browne Global Value Fund #001		\$15,001 - \$50,000	Capital Gains, Dividends	None	\$201 - \$1,000
CNB Issue Trust ⇒ Tyson Foods, Inc. (TSN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ Union Pacific Corporation (UNP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ United Technologies Corporation (UTX)		None	Capital Gains, Dividends	None	\$201 - \$1,000
CNB Issue Trust ⇒ Vanguard FTSE Developed Markets ETF (VEA)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
CNB Issue Trust ⇒ Vanguard High Yield Corporate Fund Admiral Shares		None	Capital Gains, Dividends	\$50,001 - \$100,000	\$1 - \$200
CNB Issue Trust ⇒ Vanguard Muni Bond Intermed Term Fund #42		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	None
CNB Issue Trust ⇒ Vanguard Short-Term Tax Exempt Fund #41		\$15,001 - \$50,000	Interest	\$201 - \$1,000	None
CNB Issue Trust ⇒		\$15,001 - \$50,000	Dividends	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Vanguard Small-Cap ETF (VB)					
CNB Issue Trust ⇒ Verizon Communications Inc. (VZ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ Visa Inc. (V)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ Voya Global Real Estate Fund		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
CNB Issue Trust ⇒ Walt Disney Company (DIS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
CNB Issue Trust ⇒ Wells Fargo & Company (WFC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
CNB Issue Trust ⇒ Westinghouse Air Brake Technologies Corporation (WAB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CNB Issue Trust ⇒ Whitewave Foods Company (WWAV)		\$1,001 - \$15,000	Capital Gains	None	\$2,501 - \$5,000
Fidelity 529 FBO DC-J ⇒ MA Aggressive Growth Portfolio		\$250,001 - \$500,000	Tax-Deferred		
Fidelity 529 FBO DC-K ⇒ MA Aggressive Growth Portfolio		\$250,001 - \$500,000	Tax-Deferred		
Fidelity 529 FBO DC-S ⇒ MA Aggressive Growth Portfolio		\$250,001 - \$500,000	Tax-Deferred		
Fidelity Brokerage Acct A ⇒ Avnet, Inc. (AVT)		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	None
Fidelity Brokerage Acct A ⇒ Fidelity Brokerage Services LLC		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Fidelity Brokerage Acct A ⇒ The Travelers Companies, Inc. (TRV)		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	None
Fidelity Massachusetts Eye & Ear Infirmary 403(b) ⇒		\$50,001 - \$100,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity Capital Appreciation					
Fidelity Massachusetts Eye & Ear Infirmary 403(b) ⇒ Fidelity Growth Company		\$50,001 - \$100,000	Tax-Deferred		
Fidelity Massachusetts Eye & Ear Infirmary 403(b) ⇒ Fidelity OTC Portfolio		\$50,001 - \$100,000	Tax-Deferred		
Fidelity Massachusetts Eye & Ear Infirmary 403(b) ⇒ Fidelity Overseas		\$15,001 - \$50,000	Tax-Deferred		
Five Arrows Co-Investments Feeder II FCPR DESCRIPTION: EIF		\$250,001 - \$500,000	Partnership Income	\$15,001 - \$50,000	\$15,001 - \$50,000
General Mills 401K ⇒ Diversified U.S Equity Fund DESCRIPTION: General Mills 401K investment fund.		\$250,001 - \$500,000	Tax-Deferred		
General Mills 401K ⇒ ESOP R Fund DESCRIPTION: General Mills 401K investment fund.		\$1,001 - \$15,000	Tax-Deferred		
General Mills 401K ⇒ ESOP U Fund DESCRIPTION: General Mill 401K investment fund.		\$100,001 - \$250,000	Tax-Deferred		
General Mills 401K ⇒ ESOP V Fund DESCRIPTION: General Mills 401K investment fund.		\$100,001 - \$250,000	Tax-Deferred		
General Mills 401K ⇒ General Mills, Inc. (GIS)		\$50,001 - \$100,000	Tax-Deferred		
General Mills Deferred Stock Plan DESCRIPTION: Deferred compensation plan, shares of General Mills stock, value of annual payments not yet known. COMMENTS: This is also reported in Schedule C as a source of earned income.		Undetermined	Deferred compensation	Not Applicable	Not Applicable
General Mills NQ Options, Strike Price \$25.63, exp. 07/26/16		\$5,000,001 - \$25,000,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Unexercised non-qualified stock options					
General Mills NQ Options, Strike Price \$29.40, exp. 07/25/17		\$5,000,001 - \$25,000,000	None		
DESCRIPTION: Unexercised non-qualified stock options					
General Mills NQ Pension		Undetermined	Defined benefit plan	Not Applicable	Not Applicable
COMMENTS: This is also reported in Schedule C as a source of earned income.					
General Mills Pension		Undetermined	Defined benefit plan	Not Applicable	Not Applicable
COMMENTS: This is also reported in Schedule C as a source of earned income.					
Great North Star LLC ⇒ Man Stuff, Inc.		\$15,001 - \$50,000	None		
DESCRIPTION: Personal care products company located in Shafer, MN.					
Great North Star LLC ⇒ U.S. Bank		\$100,001 - \$250,000	Interest	\$1 - \$200	None
J.P. Morgan Chase Bank N.A.		\$500,001 - \$1,000,000	Interest	\$1 - \$200	\$1 - \$200
Liders LLC		\$50,001 - \$100,000	None		
LOCATION: Chicago/Cook, IL, US					
DESCRIPTION: Executive Search Services Firm					
Lincoln Financial Group - Whole/Universal Life Insurance		\$50,001 - \$100,000	None		
DESCRIPTION: Formerly known as Lincoln National Life Insurance Co.					
MetLife - Whole/Universal Life Insurance		\$1,001 - \$15,000	None		
Morgan Stanley Smith Barney		\$250,001 - \$500,000	Interest	\$1 - \$200	\$201 - \$1,000
MOST 529 FBO DC-J ⇒ Vanguard Aggressive Growth Portfolio		\$250,001 - \$500,000	Tax-Deferred		
LOCATION: MO					
MOST 529 FBO DC-K ⇒ Vanguard Aggressive Growth Portfolio		\$250,001 - \$500,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LOCATION: MO					
MOST 529 FBO DC-S ⇒ Vanguard Aggressive Growth Portfolio		\$250,001 - \$500,000	Tax-Deferred		
LOCATION: MO					
MSSB Brokerage Acct A ⇒ 3M Company (MMM)		None	Dividends	None	\$2,501 - \$5,000
MSSB Brokerage Acct A ⇒ AT&T Inc. (T)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
MSSB Brokerage Acct A ⇒ Barrick Gold Corporation (ABX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
MSSB Brokerage Acct A ⇒ Baytex Energy Corp (BTE)		None	Capital Gains, Dividends	None	\$5,001 - \$15,000
MSSB Brokerage Acct A ⇒ Chevron Corporation (CVX)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
MSSB Brokerage Acct A ⇒ ConAgra Foods, Inc. (CAG)		\$5,000,001 - \$25,000,000	None		
MSSB Brokerage Acct A ⇒ E.I. du Pont de Nemours and Company (DD)		None	Dividends	None	\$5,001 - \$15,000
MSSB Brokerage Acct A ⇒ Exxon Mobil Corporation (XOM)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
MSSB Brokerage Acct A ⇒ Intel Corporation (INTC)		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
MSSB Brokerage Acct A ⇒ International Business Machines Corporation (IBM)		None	Capital Gains, Dividends	None	\$50,001 - \$100,000
MSSB Brokerage Acct A ⇒ International Consolidated Airlines Group SA Sponsored American Depositary Receipt (ICAGY)		\$1,000,001 - \$5,000,000	None		
MSSB Brokerage Acct A ⇒ Ion Geophysical Corporation (IO)		\$1,001 - \$15,000	None		
MSSB Brokerage Acct A ⇒		None	Dividends	None	\$5,001 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Merck & Company, Inc. Common Stock (MRK)					\$15,000
MSSB Brokerage Acct A ⇒ Microsoft Corporation (MSFT)		None	Dividends	None	\$5,001 - \$15,000
MSSB Brokerage Acct A ⇒ NextEra Energy, Inc. (NEE)		None	Capital Gains, Dividends	None	\$100,001 - \$1,000,000
MSSB Brokerage Acct A ⇒ People's United Financial, Inc. (PBCT)		None	Capital Gains, Dividends	None	\$15,001 - \$50,000
MSSB Brokerage Acct A ⇒ PP&L Corporation (PPL)		None	Capital Gains, Dividends	None	\$50,001 - \$100,000
MSSB Brokerage Acct A ⇒ Senior Housing Properties Trust (SNH)		None	Capital Gains, Dividends	None	\$15,001 - \$50,000
MSSB Brokerage Acct A ⇒ SPDR Dow Jones Industrial Average Trust (DIA)		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
MSSB Brokerage Acct A ⇒ SPDR Trust Series 1 (SPY)		\$5,000,001 - \$25,000,000	Capital Gains, Dividends	\$100,001 - \$1,000,000	\$15,001 - \$50,000
MSSB Brokerage Acct A ⇒ Suburban Propane Partners, L.P. (SPH)		None	Capital Gains	None	\$5,001 - \$15,000
MSSB Brokerage Acct A ⇒ Unilever NV Unilever NV Cert of Shs (UNLNF)		None	Capital Gains, Dividends	\$1,000,001 - \$5,000,000	\$100,001 - \$1,000,000
MSSB Brokerage Acct A ⇒ Unilever Plc Ordinary Shares (UNLYF)		None	Capital Gains, Dividends	\$1,000,001 - \$5,000,000	\$100,001 - \$1,000,000
MSSB Brokerage Acct A ⇒ Wal-Mart Stores, Inc. (WMT)		None	Dividends	None	\$1,001 - \$2,500
MSSB Brokerage Acct A ⇒ Waste Management, Inc. (WM)		None	Capital Gains, Dividends	None	\$50,001 - \$100,000
MSSB Brokerage Acct AA ⇒ Avnet, Inc. (AVT)		\$5,000,001 - \$25,000,000	Dividends	\$50,001 - \$100,000	\$100,001 - \$1,000,000
MSSB Brokerage Acct AA ⇒ General Mills, Inc. (GIS)		\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MSSB Brokerage Acct AA ⇒ SPDR S&P 500 (SPY)		None	Dividends	None	\$100,001 - \$1,000,000
MSSB Brokerage Acct G ⇒ Franklin Gold & Prec Metals A (FKRCX)		\$1 - \$1,000	Dividends	None	\$1 - \$200
MSSB Brokerage Acct G ⇒ iShares Russell 1000 Growth ETF (IWF)		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
MSSB Brokerage Acct G ⇒ Kadant Inc (KAI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MSSB Brokerage Acct G ⇒ SPDR S&P MidCap 400 ETF (MDY)		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
MSSB Brokerage Acct G ⇒ Templeton Gbl Smaller CO A (TEMGX)		\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MSSB Brokerage Acct G ⇒ Woodward, Inc. (WWD)		\$500,001 - \$1,000,000	Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
MSSB Brokerage Acct J ⇒ SPDR Dow Jones Industrial Average ETF (DIA)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MSSB Brokerage Acct K ⇒ Goldman Sachs Group, Inc. (GS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MSSB Brokerage Acct K ⇒ Google Inc. - Class A (GOOGL)		\$1,001 - \$15,000	None		
MSSB Brokerage Acct K ⇒ Google Inc. - Class C Capital Stock (GOOG)		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	None
MSSB Brokerage Acct K ⇒ SPDR Dow Jones Industrial Average ETF (DIA)		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000
MSSB Brokerage Acct K ⇒ SPDR Trust Series 1 (SPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MSSB Brokerage Acct S ⇒ Advaxis, Inc. (ADXN)		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	None
MSSB Brokerage Acct S ⇒		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Caladrius Biosciences, Inc. (CLBS)					
MSSB Brokerage Acct S ⇒ EMC Corporation (EMC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MSSB Brokerage Acct S ⇒ Ford Motor Company (F)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MSSB Brokerage Acct S ⇒ Freeport-McMoRan, Inc. (FCX)		None	Dividends	\$1 - \$200	\$1 - \$200
MSSB Brokerage Acct S ⇒ iShares S&P India Nifty 50 Index Fund (INDY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB Brokerage Acct S ⇒ Jack In The Box Inc. (JACK)		None	Capital Gains, Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
MSSB Brokerage Acct S ⇒ Market Vectors India Small-Cap Index ETF (SCIF)		\$1,001 - \$15,000	None		
MSSB Brokerage Acct S ⇒ Ship Finance International Limited (SFL)		None	Capital Gains, Dividends	None	\$201 - \$1,000
MSSB Brokerage Acct S ⇒ SPDR Trust Series 1 (SPY)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MSSB Brokerage Acct S ⇒ Twitter, Inc. (TWTR)		\$1,001 - \$15,000	None		
MSSB Brokerage Acct S ⇒ Windstream Holdings, Inc. (WIN)		None	Dividends	None	\$1 - \$200
MSSB IRA G ⇒ American EuroPacific		\$15,001 - \$50,000	Tax-Deferred		
MSSB IRA G ⇒ Blackrock Health		\$15,001 - \$50,000	Tax-Deferred		
MSSB IRA G ⇒ Morgan Stanley Bank N.A.		\$1,001 - \$15,000	Tax-Deferred		
MSSB IRA G ⇒ SPDR S&P MidCap 400 ETF (MDY)		\$50,001 - \$100,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MSSB IRA G ⇒ SPDR Trust Series I (SPY)		\$15,001 - \$50,000	Tax-Deferred		
MSSB IRA G ⇒ T Rowe Price Intl Stock (PRITX)		\$15,001 - \$50,000	Tax-Deferred		
MSSB MSL Rev Trust ⇒ AT&T Inc. (T)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
MSSB MSL Rev Trust ⇒ B&G Foods, Inc. B&G Foods, Inc. (BGS)		None	Capital Gains, Dividends	None	\$201 - \$1,000
MSSB MSL Rev Trust ⇒ Constellat Energy 4550 DESCRIPTION: Corporate bond		None	Capital Gains, Interest	None	\$201 - \$1,000
MSSB MSL Rev Trust ⇒ Enbridge Energy, L.P. Class A Common Units (EEP)		None	Capital Gains	None	\$2,501 - \$5,000
MSSB MSL Rev Trust ⇒ Escrow Lehman Brothers Holdings CPI SER G/Floater DESCRIPTION: Corporate bond		\$1,001 - \$15,000	Capital Gains	None	\$1,001 - \$2,500
MSSB MSL Rev Trust ⇒ General Electric Company (GE)		None	Dividends	None	\$201 - \$1,000
MSSB MSL Rev Trust ⇒ Hartford Life Insurance Co. DESCRIPTION: Corporate bond		None	Capital Gains, Interest	None	\$201 - \$1,000
MSSB MSL Rev Trust ⇒ John Hancock Life DESCRIPTION: Corporate bond		None	Interest	None	\$201 - \$1,000
MSSB MSL Rev Trust ⇒ JPMMT 2005-S3 1A5 DESCRIPTION: Corporate bond		\$1,001 - \$15,000	Capital Gains, Interest	\$1 - \$200	\$1 - \$200
MSSB MSL Rev Trust ⇒ MS Active Assets Tax FR Trust		\$15,001 - \$50,000	Capital Gains	None	\$1 - \$200
MSSB MSL Trust FBO DC-J ⇒ Alcoa Inc.		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Corporate Bond					
MSSB MSL Trust FBO DC-J ⇒ Archer-Daniels-Midland Company (ADM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-J ⇒ AT&T Inc. (T)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-J ⇒ B&G Foods, Inc. B&G Foods, Inc. (BGS)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-J ⇒ Barrick Gold Corporation (ABX)		\$1 - \$1,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-J ⇒ Boralex Inc.		\$1,001 - \$15,000	Interest	\$1 - \$200	None
DESCRIPTION: Corporate Bond					
MSSB MSL Trust FBO DC-J ⇒ Bristol-Myers Squibb Company (BMY)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-J ⇒ CenturyLink, Inc.		\$1,001 - \$15,000	Interest	\$201 - \$1,000	None
DESCRIPTION: Corporate Bond					
MSSB MSL Trust FBO DC-J ⇒ Communications Sales & Leasing, Inc. (CSAL)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-J ⇒ CONSOL Energy Inc.		\$1,001 - \$15,000	None		
DESCRIPTION: Corporate Bond					
MSSB MSL Trust FBO DC-J ⇒ Exelon Corporation (EXC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-J ⇒ General Electric Company (GE)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-J ⇒ Hartford Life Insurance Co.		\$1,001 - \$15,000	Interest	\$1 - \$200	None
DESCRIPTION: Corporate Bond					
MSSB MSL Trust FBO DC-J ⇒ Medtronic plc. Ordinary Shares (MDT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MSSB MSL Trust FBO DC-J ⇒ Morgan Stanley China A Share Fund Inc. (CAF)		\$1,001 - \$15,000	None		
MSSB MSL Trust FBO DC-J ⇒ Newmont Mining Corporation (NEM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-J ⇒ News Corporation - Class B (NWS)		\$1 - \$1,000	None		
MSSB MSL Trust FBO DC-J ⇒ NRG Energy, Inc. DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-J ⇒ PENGROWTH ENERGY CORPORATION (PGH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-J ⇒ PIMCO EMERG MKTS CURRENCY C (PLMCX)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-J ⇒ PIMCO TOTAL RETURN C (PTTCX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-J ⇒ PP&L CORPORATION (PPL)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-J ⇒ ROYCE GLOBAL VALUE TRUST, INC. (RGT)		\$1 - \$1,000	None		
MSSB MSL Trust FBO DC-J ⇒ ROYCE VALUE TRUST, INC. (RVT)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-J ⇒ SENIOR HOUSING PROPERTIES TRUST (SNH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-J ⇒ SHIP FINANCE INTERNATIONAL LIMITED (SFL)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-J ⇒ SPDR BARCLAYS CAPITAL HIGH YIELD (JNK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-J ⇒ TALEN ENERGY CORPORATION (TLN)		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MSSB MSL Trust FBO DC-J ⇒ Twenty-First Century Fox, Inc. - Class B (FOX)		\$1,001 - \$15,000	None		
MSSB MSL Trust FBO DC-J ⇒ VANGUARD WELLESLEY INC INV (VWINX)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-J ⇒ Verizon Communications Inc. (VZ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-J ⇒ Walt Disney Company (DIS)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-J ⇒ Windstream Holdings, Inc. (WIN)		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-K ⇒ Alcoa Inc. DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	None		
MSSB MSL Trust FBO DC-K ⇒ Archer-Daniels-Midland Company (ADM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-K ⇒ AT&T Inc. (T)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-K ⇒ B&G Foods, Inc. B&G Foods, Inc. (BGS)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-K ⇒ Barrick Gold Corporation (ABX)		\$1 - \$1,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-K ⇒ Boralex Inc. DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	None
MSSB MSL Trust FBO DC-K ⇒ Bristol-Myers Squibb Company (BMJ)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-K ⇒ CenturyLink, Inc. DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-K ⇒		\$1,001 - \$15,000	Capital Gains,	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Communications Sales & Leasing, Inc. (CSAL)			Dividends		
MSSB MSL Trust FBO DC-K ⇒ CONSOL Energy Inc.		\$1,001 - \$15,000	None		
DESCRIPTION: Corporate Bond					
MSSB MSL Trust FBO DC-K ⇒ Exelon Corporation (EXC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-K ⇒ General Electric Company (GE)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-K ⇒ Hartford Life Insurance Co.		\$1,001 - \$15,000	Interest	\$1 - \$200	None
DESCRIPTION: Corporate Bond					
MSSB MSL Trust FBO DC-K ⇒ Medtronic plc. Ordinary Shares (MDT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-K ⇒ Morgan Stanley China A Share Fund Inc. (CAF)		\$1,001 - \$15,000	None		
MSSB MSL Trust FBO DC-K ⇒ Newmont Mining Corporation (NEM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-K ⇒ News Corporation - Class B (NWS)		\$1 - \$1,000	None		
MSSB MSL Trust FBO DC-K ⇒ NRG Energy, Inc.		\$1,001 - \$15,000	Interest	\$201 - \$1,000	None
DESCRIPTION: Corporate Bond					
MSSB MSL Trust FBO DC-K ⇒ PENGROWTH Energy Corporation (PGH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-K ⇒ PIMCO EMERG MKTS CURRENCY C (PLMCX)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-K ⇒ PIMCO TOTAL RETURN C (PTTCX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-K ⇒ PP&L Corporation (PPL)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MSSB MSL Trust FBO DC-K ⇒ Royce Global Value Trust, Inc. (RGT)		\$1 - \$1,000	None		
MSSB MSL Trust FBO DC-K ⇒ Royce Value Trust, Inc. (RVT)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-K ⇒ Senior Housing Properties Trust (SNH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-K ⇒ Ship Finance International Limited (SFL)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-K ⇒ SPDR Barclays Capital High Yield (JNK)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-K ⇒ Talen Energy Corporation (TLN)		\$1,001 - \$15,000	Interest	\$1 - \$200	None
MSSB MSL Trust FBO DC-K ⇒ Twenty-First Century Fox, Inc. - Class B (FOX)		\$1,001 - \$15,000	None		
MSSB MSL Trust FBO DC-K ⇒ VANGUARD WELLESLEY INC INV (VWINX)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-K ⇒ Verizon Communications Inc. (VZ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-K ⇒ Walt Disney Company (DIS)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-K ⇒ Windstream Holdings, Inc. (WIN)		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-S ⇒ Alcoa Inc.		\$1,001 - \$15,000	None		
DESCRIPTION: Corporate Bond					
MSSB MSL Trust FBO DC-S ⇒ Archer-Daniels-Midland Company (ADM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-S ⇒ AT&T Inc. (T)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MSSB MSL Trust FBO DC-S ⇒ B&G Foods, Inc. B&G Foods, Inc. (BGS)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-S ⇒ Barrick Gold Corporation (ABX)		\$1 - \$1,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-S ⇒ Boralex Inc. DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	None
MSSB MSL Trust FBO DC-S ⇒ Bristol-Myers Squibb Company (BMY)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-S ⇒ CenturyLink, Inc. DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-S ⇒ Communications Sales & Leasing, Inc. (CSAL)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-S ⇒ CONSOL Energy Inc. DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	None		
MSSB MSL Trust FBO DC-S ⇒ Exelon Corporation (EXC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-S ⇒ General Electric Company (GE)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-S ⇒ Hartford Life Insurance Co. DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	None
MSSB MSL Trust FBO DC-S ⇒ Medtronic plc. Ordinary Shares (MDT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-S ⇒ Morgan Stanley China A Share Fund Inc. (CAF)		\$1,001 - \$15,000	None		
MSSB MSL Trust FBO DC-S ⇒ Newmont Mining Corporation (NEM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-S ⇒		\$1 - \$1,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
News Corporation - Class B (NWS)					
MSSB MSL Trust FBO DC-S ⇒ NRG Energy, Inc.		\$1,001 - \$15,000	Interest	\$201 - \$1,000	None
DESCRIPTION: Corporate Bond					
MSSB MSL Trust FBO DC-S ⇒ PENGROWTH ENERGY CORPORATION (PGH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-S ⇒ PIMCO EMERG MKTS CURRENCY C (PLMCX)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-S ⇒ PIMCO TOTAL RETURN C (PTTCX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-S ⇒ PP&L CORPORATION (PPL)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-S ⇒ ROYCE GLOBAL VALUE TRUST, INC. (RGT)		\$1 - \$1,000	None		
MSSB MSL Trust FBO DC-S ⇒ ROYCE VALUE TRUST, INC. (RVT)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-S ⇒ SENIOR HOUSING PROPERTIES TRUST (SNH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-S ⇒ SHIP FINANCE INTERNATIONAL LIMITED (SFL)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-S ⇒ SPDR BARCLAYS CAPITAL HIGH YIELD (JNK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-S ⇒ TALEN ENERGY CORPORATION (TLN)		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	None
MSSB MSL Trust FBO DC-S ⇒ TWENTY-FIRST CENTURY FOX, INC. - CLASS B (FOX)		\$1,001 - \$15,000	None		
MSSB MSL Trust FBO DC-S ⇒ VANGUARD WELLESLEY INC INV (VWINX)		\$15,001 - \$50,000	Interest	\$201 - \$1,000	None
MSSB MSL Trust FBO DC-S ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Verizon Communications Inc. (VZ)					
MSSB MSL Trust FBO DC-S ⇒ Walt Disney Company (DIS)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
MSSB MSL Trust FBO DC-S ⇒ Windstream Holdings, Inc. (WIN)		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
MSSB MSL Trust FBO GC ⇒ Alcoa Inc.		None	Interest	\$201 - \$1,000	\$1,001 - \$2,500
DESCRIPTION: Corporate bond					
MSSB MSL Trust FBO GC ⇒ Archer-Daniels-Midland Company (ADM)		None	Dividends	\$1 - \$200	\$201 - \$1,000
MSSB MSL Trust FBO GC ⇒ AT&T Inc. (T)		None	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
MSSB MSL Trust FBO GC ⇒ B&G Foods, Inc. B&G Foods, Inc. (BGS)		None	Capital Gains, Dividends	\$201 - \$1,000	\$2,501 - \$5,000
MSSB MSL Trust FBO GC ⇒ Barrick Gold Corporation (ABX)		None	Dividends	\$1 - \$200	\$1 - \$200
MSSB MSL Trust FBO GC ⇒ Boralex Inc.		None	Interest	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Corporate bond					
MSSB MSL Trust FBO GC ⇒ Bristol-Myers Squibb Company (BMY)		None	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
MSSB MSL Trust FBO GC ⇒ CenturyLink, Inc.		None	Interest	None	\$1,001 - \$2,500
DESCRIPTION: Corporate bond					
MSSB MSL Trust FBO GC ⇒ Consol Energy Inc.		None	Interest	\$1,001 - \$2,500	\$2,501 - \$5,000
DESCRIPTION: Corporate bond					
MSSB MSL Trust FBO GC ⇒ Exelon Corporation (EXC)		None	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
MSSB MSL Trust FBO GC ⇒ General Electric Company (GE)		None	Dividends	\$201 - \$1,000	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MSSB MSL Trust FBO GC ⇒ GMAC LLC (General Motors Acceptance Corp)		None	Capital Gains, Interest	None	\$201 - \$1,000
DESCRIPTION: Corporate bond					
MSSB MSL Trust FBO GC ⇒ Hartford Life Insurance Co.		None	Dividends, Interest	\$1 - \$200	\$1,001 - \$2,500
DESCRIPTION: Corporate bond					
MSSB MSL Trust FBO GC ⇒ Health Care PPTY		None	Interest	\$201 - \$1,000	\$1,001 - \$2,500
DESCRIPTION: Corporate bond					
MSSB MSL Trust FBO GC ⇒ Medtronic plc. Ordinary Shares (MDT)		None	Capital Gains, Dividends	\$15,001 - \$50,000	None
MSSB MSL Trust FBO GC ⇒ Morgan Stanley China A Share Fund Inc. (CAF)		None	Capital Gains, Dividends, Interest	\$1 - \$200	\$1,001 - \$2,500
MSSB MSL Trust FBO GC ⇒ MS Active Assets Tax FR Trust		None	Capital Gains, Dividends	None	\$1 - \$200
MSSB MSL Trust FBO GC ⇒ Newmont Mining Corporation (NEM)		None	Dividends	\$1 - \$200	\$1 - \$200
MSSB MSL Trust FBO GC ⇒ NRG Energy, Inc. (NRG)		None	Interest	None	\$1,001 - \$2,500
MSSB MSL Trust FBO GC ⇒ PENGROWTH ENERGY CORPORATION (PGH)		None	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
MSSB MSL Trust FBO GC ⇒ PIMCO EMERG MKTS CURRENCY C (PLMCX)		None	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
MSSB MSL Trust FBO GC ⇒ PIMCO TOTAL RETURN C (PTTCX)		None	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
MSSB MSL Trust FBO GC ⇒ PP&L CORPORATION (PPL)		None	Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
MSSB MSL Trust FBO GC ⇒ ROYCE GLOBAL VALUE TRUST, INC. (RGT)		None	Capital Gains, Dividends	None	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MSSB MSL Trust FBO GC ⇒ Royce Value Trust, Inc. (RVT)		None	Capital Gains, Dividends	\$201 - \$1,000	\$2,501 - \$5,000
MSSB MSL Trust FBO GC ⇒ Senior Housing Properties Trust (SNH)		None	Capital Gains, Dividends	\$201 - \$1,000	\$1,001 - \$2,500
MSSB MSL Trust FBO GC ⇒ Ship Finance International Limited (SFL)		None	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
MSSB MSL Trust FBO GC ⇒ SPDR Barclays High Yield Bond ETF (JNK)		None	Capital Gains, Dividends	\$201 - \$1,000	\$1,001 - \$2,500
MSSB MSL Trust FBO GC ⇒ Twenty-First Century Fox, Inc. - Class B (FOX)		None	Dividends	\$1 - \$200	\$1 - \$200
MSSB MSL Trust FBO GC ⇒ VANGUARD WELLESLEY INC INV (VWINX)		None	Capital Gains, Dividends	None	\$2,501 - \$5,000
MSSB MSL Trust FBO GC ⇒ Verizon Communications Inc. (VZ)		None	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
MSSB MSL Trust FBO GC ⇒ Walt Disney Company (DIS)		None	Dividends	\$201 - \$1,000	\$201 - \$1,000
MSSB MSL Trust FBO GC ⇒ Windstream Holdings, Inc. (WIN)		None	Capital Gains, Dividends	\$201 - \$1,000	\$1,001 - \$2,500
New York Life Insurance Company - Whole/Universal Life Insurance		\$1,001 - \$15,000	None		
New York Life Insurance Company - Whole/Universal Life Insurance		\$1,001 - \$15,000	None		
Northwestern Mutual - Whole/Universal Life Insurance		\$100,001 - \$250,000	None		
ONCE Innovations Inc. Preferred Shares		\$100,001 - \$250,000	None		
DESCRIPTION: Agricultural lighting company located in Plymouth, MN.					
Real Property - Minneapolis, MN		\$1,000,001 - \$5,000,000	None		
LOCATION: Minneapolis/ Hennepin, MN, US					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Rental Property - Washington, DC		\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	None
LOCATION: Washington, DC, US					
The D3 Family Bulldog Fund LP		\$1,000,001 - \$5,000,000	Partnership Income	\$5,001 - \$15,000	\$15,001 - \$50,000
DESCRIPTION: EIF located in Camas, WA.					
U.S. Bank		\$50,001 - \$100,000	Interest	\$1 - \$200	None
Univest III - Global Equity Fund USD		\$500,001 - \$1,000,000	Tax-Deferred		
DESCRIPTION: Unilever Pension Plan					
Vestiage, Inc. (VEST)		\$50,001 - \$100,000	None		
Vestiage, Inc.		\$100,001 - \$250,000	None		
DESCRIPTION: Convertible senior note					
Wells Fargo Brokerage Acct ⇒ General Mills, Inc. (GIS)		\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
Z Trim Preferred Stock		\$50,001 - \$100,000	None		
DESCRIPTION: Agritech business					

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
General Mills Inc.	Spouse -- Deferred stock plan and health care benefits	N/A	N/A
General Mills Inc.	Spouse -- Qualified and Non-qualified Pension Plans	N/A	N/A
Rothschild Inc.	Spouse -- Salary and Deferred Compensation	N/A	N/A

Source	Type	Amount Current Year to Filing	Amount Preceding Year
International Airlines Group	Spouse -- Board Fees	N/A	N/A
Avnet Inc.	Spouse -- Board Fees	N/A	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	JP Morgan Chase Bank N.A.	September 2010	Mortgage on Rental Property, Washington, DC	\$500,001 - \$1,000,000
	Morgan Stanley Smith Barney	June 2002	Margin Debt	\$5,000,001 - \$25,000,000
	Morgan Stanley Smith Barney	August 2010	Portfolio Loan	\$1,000,001 - \$5,000,000
	City National Bank	April 2012	Portfolio debt	\$1,000,001 - \$5,000,000
	City National Bank	March 2015	Portfolio debt	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Director	Minnesota Academy of Ophthalmology
Director	Association of Veterans Affairs Ophthalmologists
COMMENTS: Position no longer held	
Director	The Lawrence Family Foundation

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none"> CNB Brokerage Acct LOCATION: US

- CNB Brokerage Acct AA
LOCATION: US
- CNB Issue Trust
- Fidelity 529 FBO DC-J
LOCATION: MA
- Fidelity 529 FBO DC-K
LOCATION: MA
- Fidelity 529 FBO DC-S
LOCATION: MA
- Fidelity Brokerage Acct A
LOCATION: US
- Fidelity Massachusetts Eye & Ear Infirmary 403(b)
- General Mills 401K
- Great North Star LLC
LOCATION: Prior Lake/Scott, MN, US
- MOST 529 FBO DC-J
LOCATION: MO
- MOST 529 FBO DC-K
LOCATION: MO
- MOST 529 FBO DC-S
LOCATION: MO
- MSSB Brokerage Acct A
LOCATION: US
- MSSB Brokerage Acct AA
LOCATION: US
- MSSB Brokerage Acct G
LOCATION: US
- MSSB Brokerage Acct J
LOCATION: US
- MSSB Brokerage Acct K
LOCATION: US
- MSSB Brokerage Acct S
LOCATION: US
- MSSB IRA G
- MSSB MSL Rev Trust
- MSSB MSL Trust FBO DC-J
- MSSB MSL Trust FBO DC-K
- MSSB MSL Trust FBO DC-S
- MSSB MSL Trust FBO GC
- Wells Fargo Brokerage Acct
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mary Lawrence , 08/13/2015