

# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Hon. Eleanor Holmes Norton

Status:MemberState/District:DCoo

#### FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2015

**Filing Date:** 05/11/2016

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Boston Capital Private Tax Credit Fund		\$1 - \$1,000	Interest	\$1 - \$200	
Description: Partnership K-1					
Congressional Federal Credit Union		\$50,001 - \$100,000	Interest	\$1 - \$200	П
MERRILL LYNCH #182 ⇒ Bank Deposit Program		\$1,001 - \$15,000	None		
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap (EIF)		\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	<u></u>
MERRILL LYNCH #182 $\Rightarrow$ Powershares Exchange Traded Fd TR II S&P500 (EIF)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<u> </u>
MERRILL LYNCH #182 ⇒ WGL Holdings Inc (WGL)		None	Capital Gains, Dividends	\$5,001 - \$15,000	<b>▽</b>
MERRILL LYNCH #806 ⇒ Bank Deposit Program		\$1,001 - \$15,000	Interest	\$1 - \$200	П
MERRILL LYNCH #806 ⇒		\$250,001 -	Capital Gains,	\$50,001 -	<b>✓</b>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Davis NY Venture Fund (EIF)		\$500,000	Dividends	\$100,000	
MERRILL LYNCH #806 ⇒ DC Income Bond 5.0% Deco1 24		\$15,001 - \$50,000	Interest	\$201 - \$1,000	
MERRILL LYNCH #806 ⇒ DC Rev NPR MAY13 4.0% APR01 2037		\$50,001 - \$100,000	Interest	None	<u>~</u>
MERRILL LYNCH #806 ⇒ DC Ser A DEC13 5.0% JUN01 2030		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<u>~</u>
MERRILL LYNCH #806 ⇒ Du Page Cnty, IL FST Bond 6.25%CI 0.0%NOV01 15 DESCRIPTION: Bond Redeemed.		None	Interest	\$2,501 - \$5,000	<u></u>
MERRILL LYNCH #806 ⇒ FPA US Value Fund (EIF) (Formerly FPA Perennial Fund (EIF))		\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000	~
MERRILL LYNCH #806 ⇒ Hartford Capital Appreciation Fund (EIF)		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<u></u>
MERRILL LYNCH #806 ⇒ Leander Tex Indpt Sch Bond 3.35%CI o.o%AUG15 18		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	
MERRILL LYNCH #806 ⇒ Maryland St CDA Dept Hsg-Cmnty 4.0%SEP01 29		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	
MERRILL LYNCH #806 ⇒ Miami Beach FL Bond 4.375%SEP01 28		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	П
MERRILL LYNCH #806 ⇒ Palatine IL Corp Purpose Bond 7.1%CI 0.0%JAN01 15 DESCRIPTION: Bond Redeemed		None	Interest	None	<b>▽</b>
MERRILL LYNCH #806 ⇒ Powershares Exchange Traded Fd TR II S&P 500(EIF)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
MERRILL LYNCH #806 ⇒ Seminole Cnty FL Sch Brd Bond 4.0%JUL01 16		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	
MERRILL LYNCH #806 ⇒ Victor Vy CA UN HSD Bond 6.4%CI 0.0%SEP01 15 DESCRIPTION: Bond Redeemed		None	Interest	\$1,001 - \$2,500	<b>▽</b>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MERRILL LYNCH IRA ⇒ Bank of America, NA RASP		\$1,001 - \$15,000	Tax-Deferred		
MERRILL LYNCH IRA ⇒ ALLIANZ ⇒ Fixed Period Account (MMF)		None	Tax-Deferred		V
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Baron Growth Portfolio Service Class (EIF)		\$15,001 - \$50,000	Tax-Deferred		
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ DFA World Equity Portfolio (EIF)		None	Tax-Deferred		V
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Global Resources (EIF)		None	Tax-Deferred		V
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Global Value Advantage Portfolio (EIF)		\$50,001 - \$100,000	Tax-Deferred		V
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Growth and Income Portfolio (EIF)		\$15,001 - \$50,000	Tax-Deferred		
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Intermediate Bond Portfolio (EIF)		\$50,001 - \$100,000	Tax-Deferred		
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ International Index Portfolio (EIF)		\$15,001 - \$50,000	Tax-Deferred		
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Large Cap Growth Portfolio (A) (EIF)		\$15,001 - \$50,000	Tax-Deferred		
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Large Cap Growth Portfolio (S) (EIF)		\$50,001 - \$100,000	Tax-Deferred		П
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Retirement Growth (EIF)		\$100,001 - \$250,000	Tax-Deferred		
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING)		\$15,001 - \$50,000	Tax-Deferred		

	Owner Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
⇒ Russell Mid Cap Growth (EIF)				
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ T.Rowe Price International Stock (EIF)	\$15,001 - \$50,000	Tax-Deferred		
Met Life Insurance Co Deferrred Annuities  Description: IRA-Flexible Purchase Pension Annuity	\$1,001 - \$15,000	Tax-Deferred	Not Applicable	П
MetLife, Inc. (MET)	\$1,001 - \$15,000	Dividends	\$1 - \$200	
ML JOHN HANCOCK ANNUITY ⇒ Deutsche Real Estate Securities (EIF)	\$15,001 - \$50,000	None		
ML JOHN HANCOCK ANNUITY ⇒ JHAM (Formerly John Hancock Asset Management) Lifestyle Moderate (EIF)	\$15,001 - \$50,000	None		П
ML JOHN HANCOCK ANNUITY ⇒ JHAM (Formerly John Hancock Asset Mgmt) Total Stock Market Index (EIF)	\$1,001 - \$15,000	None		П
ML JOHN HANCOCK ANNUITY ⇒ JHAM Fundamental Large Cap Value (EIF)	\$1,001 - \$15,000	None		
ML JOHN HANCOCK ANNUITY ⇒ PIMCO Total Return (EIF)  DESCRIPTION: Exchanged for Wells Capital Management Core B	None ond (EIF).	None		<b>▽</b>
ML JOHN HANCOCK ANNUITY ⇒ Wells Capital Management Core Bond (EIF)  DESCRIPTION: Exchanged for PIMCO Total Return (EIF).	\$1,001 - \$15,000	None		<u>~</u>
Synchrony Bank (Formerly GE Capital Retail Bk)	\$15,001 - \$50,000	Interest	\$201 - \$1,000	
TIAA-CREF Retirement-TIAA Traditional (EIF)	\$1,001 - \$15,000	Tax-Deferred		

<sup>\*</sup> Asset class details available at the bottom of this form.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap (EIF)		03/26/2015	P	\$15,001 - \$50,000	
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap (EIF)		12/15/2015	P	\$1,001 - \$15,000	
MERRILL LYNCH #182 ⇒ Powershares Exchange Traded Fd TR II S&P500 (EIF)		03/26/2015	P	\$15,001 - \$50,000	
MERRILL LYNCH #182 ⇒ WGL Holdings Inc (WGL)		03/23/2015	S	\$15,001 - \$50,000	<b>▽</b>
MERRILL LYNCH #806 ⇒ Davis NY Ventrue Fund (EIF)		07/6/2015	P	\$15,001 - \$50,000	
MERRILL LYNCH #806 ⇒ Davis NY Venture Fund (EIF)		12/14/2015	P	\$15,001 - \$50,000	
MERRILL LYNCH #806 ⇒ DC Rev Issues Prior to 5/20/10 NPR DESCRIPTION: Bond Purchase		09/28/2015	P	\$50,001 - \$100,000	
MERRILL LYNCH #806 ⇒ District Columbia Ser A 5% 6/1/2030		01/7/2015	P	\$15,001 - \$50,000	
MERRILL LYNCH #806 ⇒ DuPage Cnty ILL FST Presv Dist 6.25%CI LT Mayoo 0.0% DESCRIPTION: Bond Redemption		11/1/2015	S	\$50,001 - \$100,000	П
MERRILL LYNCH #806 ⇒ FPA US Value Fund (EIF) (Formerly FPA Perennial Fund (EIF))		12/29/2015	S (partial)	\$15,001 - \$50,000	П
MERRILL LYNCH #806 ⇒ FPA US Value Fund (EIF) (Formerly FPA Perennial Fund (EIF))		10/5/2015	P	\$15,001 - \$50,000	
MERRILL LYNCH #806 ⇒ Hartford Capital Appreciation Fund (EIF)		12/15/2015	P	\$1,001 - \$15,000	
MERRILL LYNCH #806 ⇒ Palatine ILL 7/1% DEC89 0.0%Jano1 15  DESCRIPTION: Bond Redeemed		01/2/2015	S	\$15,001 - \$50,000	П

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MERRILL LYNCH #806 ⇒ Victor VY CA UN HSD 1994 6.4%CI 9/1/15  DESCRIPTION: Bond Redemption		09/1/2015	S	\$50,001 - \$100,000	П
MERRILL LYNCH IRA $\Rightarrow$ ALLIANZ $\Rightarrow$ Fixed Period Account (MMF)		03/23/2015	S	\$15,001 - \$50,000	П
MERRILL LYNCH IRA $\Rightarrow$ VOYA/VY (Formerly ING) $\Rightarrow$ DFA World Equity Portfolio (EIF)		08/14/2015	S	\$15,001 - \$50,000	<u> </u>
MERRILL LYNCH IRA $\Rightarrow$ VOYA/VY (Formerly ING) $\Rightarrow$ Global Resources (EIF)		03/6/2015	S	\$15,001 - \$50,000	<b>▽</b>
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Global Value Advantage Portfolio (EIF)		03/6/2015	P	\$15,001 - \$50,000	
MERRILL LYNCH IRA $\Rightarrow$ VOYA/VY (Formerly ING) $\Rightarrow$ Global Value Advantage Portfolio (EIF)		08/14/2015	P	\$15,001 - \$50,000	
ML JOHN HANCOCK ANNUITY ⇒ PIMCO Total Return (EIF)		04/24/2015	E	\$1,001 - \$15,000	
DESCRIPTION: PIMCO Total Return (EIF) was exchanged for Wells Capital Management Core Bond (EIF).					
ML JOHN HANCOCK ANNUITY ⇒ Wells Capital Management Core Bond (EIF)		04/24/2015	E	\$1,001 - \$15,000	
DESCRIPTION: Wells Capital Management Core Bond (EIF) was rec	ceived in ex	change for PIMCO To	tal Return	(EIF).	

 $<sup>\</sup>sp{*}$  Asset class details available at the bottom of this form.

## SCHEDULE C: EARNED INCOME

Source	Туре	Amount
Georgetown University Law Center	Approved Teaching Fee	\$10,200
Pitney Bowes	Retirement Fee	\$12,000
Merrill Lynch IRA	Required Minimum Distribution	\$34,500
Metropolitan Life Insurance Co	Required Minimum Distribution	\$725

## SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Туре	Amount of Liability
Mortgage Service Center	12/30/2002	Mortgage on Personal Residence	\$15,001 - \$50,000

#### **S**CHEDULE **E**: **P**OSITIONS

Position	Name of Organization
Professor of Law	Georgetown University
Member	Council on Foreign Relations
Member	Citizens Commission for Civil Rights
Board of Trustees	Lawyers Committee for Civil Rights Under Law
Member of the Board	Washington Symphony
Chair	Women's Legal Advocates for Women
Advisory Board Member	Sewall-Belmont House
Advisory Board Member	Women & Politics Institute, American University
Advisory Board Member	The National Council of the Shakespeare Theatre Company

#### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
March 2015	Georgetown Law and Congresswoman Norton	Agreement to teach "Lawmaking and Statutory Interpretation Seminar" during the 2015-2016 academic year - pending. Compensation reported on Schedule C.

#### SCHEDULE G: GIFTS

None disclosed.

# SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

# SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### SCHEDULE A AND B ASSET CLASS DETAILS

• MERRILL LYNCH #182

LOCATION: US

• MERRILL LYNCH #806

LOCATION: US

- MERRILL LYNCH IRA
- MERRILL LYNCH IRA ⇒ ALLIANZ
- MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING)

• ML JOHN HANCOCK ANNUITY

LOCATION: US

#### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ○ No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

∇es No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes No

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Eleanor Holmes Norton, 05/11/2016