

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

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Morris J. Brooks, Jr.

256-656-2752

(Full Name)

(Daytime Telephone)

Filer Status
☒ Member of the U.S. House of Representatives
State: AL District: 05

☐ Officer Or Employee
Employing Office:

Report Type
☒ Annual (May 15) ☐ Amendment ☐ Termination
Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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SCHEDULE I - EARNED INCOME

Name Morris J. Brooks, Jr.

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Madison County Commission	Salary (prior to Congressional swearing-in)	\$3,083

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Morris J. Brooks, Jr.

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BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.

BLOCK B

Year-End Value of Asset

At close of reporting year.

If you use a valuation method other than fair market value, please

specify the method used.

If an asset was sold and is included only because it is generated income, the value should be "None."

BLOCK C

Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

BLOCK D

Amount of Income

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

BLOCK E

Transaction

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

JT	Charles Schwab stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	CitiGroup Capital x 6.10% preferred stock	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT	Morgan Stanley Cap Tr V 5.75% preferred stock	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT	Eaton Vance Tax Managed Growth Mutual Fund	None	INTEREST	\$201 - \$1,000	S
JT	Baldwin County, AL Board of Ed Bond 11/6/08	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
JT	Tobacco Settlement Finance Corp of Louisiana Bonds	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	Huntsville-Redstone Village Bonds	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT	Baldwin County, AL Board of Ed Bond 2/9/10	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT	Royce Global Value Fund Consultant Class	\$15,001 - \$50,000	INTEREST	\$1 - \$200	P
JT	Madison Alabama RFDG WTS - Series B	\$15,001 - \$50,000	INTEREST	NONE	P
JT	TD Bank USA FDIC Insured Deposit Account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	Alliance Resource Partners LP Partnership Interest	\$50,001 - \$100,000	Other: Partnership distribution	\$2,501 - \$5,000	
JT	Arlington Asset Investment Corp Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Blackrock Income Trust Stock	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
JT	Consolidated Edison Co. NY, Inc. Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	DNP Select Income Fund, Inc.	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
JT	Duke Energy Holdings Corp. Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Frontier Communications Corp.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
JT	Hospitality Properties Trust Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Hugoton Royalty Trust Stock	\$1,001 - \$15,000	Other: Royalties	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	Plum Creek Timber Co. Stock	None	CAPITAL GAINS	\$5,001 - \$15,000	S
JT	Progress Energy Inc. Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Redwood Trust Company Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Spectra Energy Corp Stock	None	CAPITAL GAINS	\$5,001 - \$15,000	S
JT	Sunoco Logistics Partners Partnership Interest	\$50,001 - \$100,000	Other: Partnership Income	\$1,001 - \$2,500	
JT	Universal Health Realty Income Trust Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	Verizon Communications Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	San Joaquin Hills CA Transportation - Highway Revenue Tolls	\$1,001 - \$15,000	INTEREST	NONE	
JT	California EDS GACS Auth College & Univ. Revenue	\$15,001 - \$50,000	INTEREST	NONE	
SP	Legg Mason Opportunity Trust Mutual Fund	\$1,001 - \$15,000	Other: Tax Deferred	NONE	
SP	Legg Mason Special Investment Trust Mutual Fund	\$1,001 - \$15,000	Other: Tax Deferred	NONE	
SP	Royce Fund Mutual Fund	\$1,001 - \$15,000	Other: Tax Deferred	NONE	
SP	RS Large Cap Alpha Fund Class A	\$1,001 - \$15,000	Other: Tax Deferred	NONE	P
SP	TD Bank USA FDIC Insured Deposit Account	\$1,001 - \$15,000	Other: Tax Deferred	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Anworth Mortgage Asset Stock	\$1,001 - \$15,000	Other: Tax Deferred	NONE
SP	Dreyfus Strategic Municipal Bond Fund Stock	\$1,001 - \$15,000	Other: Tax Deferred	NONE
SP	Duke Energy Holdings Corporation Stock	\$1,001 - \$15,000	Other: Tax Deferred	NONE
SP	Retirement System of Alabama (not self-directed)	\$1,001 - \$15,000	Other: Tax Deferred	NONE
JT	Redstone Federal Credit Union Savings Account	\$15,001 - \$50,000	INTEREST	\$1 - \$200
	TD Bank USA FDIC Insured Deposit Account	\$1,001 - \$15,000	Other: Tax Deferred	NONE
	Duke Energy Holdings Corporation Stock	\$1,001 - \$15,000	Other: Tax Deferred	NONE
	New York Community Bancorp Stock	\$1,001 - \$15,000	Other: Tax Deferred	NONE
	Newcastle Investment Corp Stock	\$1,001 - \$15,000	Other: Tax Deferred	NONE
	WP Carey & Co., LLC Partnership Interest	\$15,001 - \$50,000	Other: Tax Deferred	NONE
	Duke Capital Corp. Bonds	\$1,001 - \$15,000	Other: Tax Deferred	NONE
	Retirement System of Alabama (not self-directed)	\$15,001 - \$50,000	Other: Tax Deferred	NONE

SCHEDULE IV - TRANSACTIONS

Name Morris J. Brooks, Jr.

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	Eaton Vance Tax Managed Growth Mutual Fund	S	No	6-27-11	\$15,001 - \$50,000
JT	H & Q Healthcare Inv. Benefits Stock	S	No	1-15-11	\$15,001 - \$50,000
SP	Legg Mason Value Trust Mutual Fund	S	No	4-18-11	\$1,001 - \$15,000
JT	Madison Alabama RFDG WTS - Series B	P	N/A	11-23-11	\$15,001 - \$50,000
JT	Plum Creek Timber Co. Stock	S	Yes	3-21-11	\$15,001 - \$50,000
JT	Royce Global Value Fund Consultant Class	P	N/A	6-13-11 & 6-27-11	\$15,001 - \$50,000
SP	RS Large Cap Alpha Fund Class A	P	N/A	4-19-11	\$1,001 - \$15,000
JT	Spectra Energy Corp Stock	S	Yes	1-19-11	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

Name Morris J. Brooks, Jr.

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	Capitol Hill Tower Housing Coop, Inc.	December 2010	Mortgage on personal residence	\$250,001 - \$500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Morris J. Brooks, Jr.

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American Israel Education Foundation	August 20- 28	Huntsville, AL - Tel Aviv - Huntsville, AL	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Morris J. Brooks, Jr.

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner (prior to January 3, 2011)	Leo & Brooks, LLC