

# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

Name: Hon. Mikie Sherrill

Status: Member State/District: NJ11

#### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		American Express Company (AXP) [ST] FILING STATUS: New	S	12/23/2019	01/03/2020	\$497.08	<b>V</b>
		BlackRock, Inc. (BLK) [ST] FILING STATUS: New	S	12/18/2019	01/03/2020	\$1,001 - \$15,000	<b>▽</b>
		Bristol-Myers Squibb Company (BMY) [ST] FILING STATUS: New	S	12/18/2019	01/03/2020	\$1,001 - \$15,000	<b>V</b>
		Colgate-Palmolive Company (CL) [ST] FILING STATUS: New	S	12/12/2019	01/03/2020	\$1,001 - \$15,000	<b>▽</b>
		DuPont de Nemours, Inc. (DD) [ST] FILING STATUS: New	S	12/18/2019	01/03/2020	\$1,001 - \$15,000	<u>~</u>
		Exxon Mobil Corporation (XOM) [ST] FILING STATUS: New	S	12/18/2019	01/03/2020	\$1,001 - \$15,000	<b>~</b>
		General Dynamics Corporation (GD) [ST] FILING STATUS: New	S	12/18/2019	01/03/2020	\$1,001 - \$15,000	<b>~</b>

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		Gilead Sciences, Inc. (GILD) [ST] FILING STATUS: New	S	12/18/2019	01/03/2020	\$1,001 - \$15,000	<b>▽</b>
		M&T Bank Corporation (MTB) [ST] FILING STATUS: New	S	12/18/2019	01/03/2020	\$1,001 - \$15,000	<b>▽</b>
		Pfizer, Inc. (PFE) [ST] FILING STATUS: New	S	12/18/2019	01/03/2020	\$1,001 - \$15,000	<u></u>
		Philip Morris International Inc (PM) [ST] FILING STATUS: New	S	12/18/2019	01/03/2020	\$1,001 - \$15,000	<u>~</u>
		Prudential Financial, Inc. (PRU) [ST] FILING STATUS: New	S	12/18/2019	01/03/2020	\$1,001 - \$15,000	<u> </u>
		QUALCOMM Incorporated (QCOM) [ST] FILING STATUS: New	S	12/23/2019	01/03/2020	\$443.07	<u>~</u>
		QUALCOMM Incorporated (QCOM) [ST] FILING STATUS: New	S	12/27/2019	01/03/2020	\$442.93	<b>~</b>
		QUALCOMM Incorporated (QCOM) [ST] FILING STATUS: New	S	12/30/2019	01/03/2020	\$614.71	<u>~</u>
		Regeneron Pharmaceuticals, Inc. (REGN) [ST] FILING STATUS: New	S	12/12/2019	01/03/2020	\$1,001 - \$15,000	<b>~</b>
		Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST] FILING STATUS: New	P	01/02/2020	01/03/2020	\$1,001 - \$15,000	
		Schlumberger N.V. (SLB) [ST] FILING STATUS: New	S	12/12/2019	01/03/2020	\$1,001 - \$15,000	<u></u>
		SEI Investments Company (SEIC)	S	12/12/2019	01/03/2020	\$1,001 - \$15,000	$\overline{\checkmark}$

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		[ST]					
		FILING STATUS: New					
		Ventas, Inc. (VTR) [ST]	S	12/18/2019	01/03/2020	\$1,001 - \$15,000	$\overline{\checkmark}$
		FILING STATUS: New					
		Vornado Realty Trust (VNO) [ST]	S	12/18/2019	01/03/2020	\$1,001 - \$15,000	~
		FILING STATUS: New					

<sup>\*</sup> For the complete list of asset type abbreviations, please visit  $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$ 

# INITIAL PUBLIC OFFERINGS



## CERTIFICATION AND SIGNATURE

☑ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Mikie Sherrill, 01/10/2020