FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Mick Mulvaney

Status: Member State/District: SC05

FILING INFORMATION

Filing Type: Amendment Report

Filing Year: 2014

Filing Date: 07/29/2015

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------------|----------------------------|-----------------------------|------------------------|-------------------|
| 1513 QRW LLC, 33% Interest | SP | None | Capital Gains | \$15,001 - \$50,000 | |
| Location: Charlotte/Mecklenburg, NC, US DESCRIPTION: This LLC was wound up year-end 2014. | | | | | |
| 519 Marvin Road Property | SP | \$100,001 - \$250,000 | Rent | \$2,501 - \$5,000 | ✓ |
| LOCATION: Indian Land/Lancaster, SC, US DESCRIPTION: Real estate and rental property in Indian Lan | d, SC | | | | |
| Agnico Eagle Mines Limited (AEM) | JT | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 | |
| Alerian MLP ETF (AMLP) | JT | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | ∀ |
| Button River LLC, 33% Interest | | \$500,001 - \$1,000,000 | None | | |
| LOCATION: Lancaster/Lancaster, SC, US DESCRIPTION: This is my ownership interest in a land holding | ng and tree | farming company | | | |
| First Trust ISE-Revere Natural Gas (FCG) | JT | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | ▽ |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|--------------|---------------------|-------------------|-------------------|----------------|
| Founders Federal Credit Union | | \$1 - \$1,000 | Interest | \$1 - \$200 | |
| Global X Uranium ETF (URA) | JT | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | |
| Goldcorp Inc. (GG) | JT | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | |
| Hucks Road, LLC, 25% Interest | | None | None | | |
| LOCATION: Charlotte/Mecklenburg, NC, US DESCRIPTION: This LLC was wound up year-end 2014. | | | | | |
| IRA ⇒ Adams Natural Resources Fund, Inc. (PEO) | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | |
| Description: FKA Petroleum Resources Corporation; stoc | ek symbol di | d not change | | | |
| IRA ⇒ Agnico Eagle Mines Limited (AEM) | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| IRA ⇒ Alerian MLP ETF (AMLP) | | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <u> </u> |
| IRA ⇒ Barrick Gold Corporation (ABX) | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| IRA ⇒ Central Fund of Canada Limited (CEF) | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| $IRA \Rightarrow$ Eldorado Gold Corporation Ordinary Shares (EGO) | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| IRA ⇒ First Trust ISE-Revere Natural Gas (FCG) | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| IRA ⇒ Global X Uranium ETF (URA) | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| IRA ⇒ Goldcorp Inc. (GG) | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| IRA ⇒ Kinross Gold Corporation (KGC) | | \$1,001 - \$15,000 | None | | |
| IRA⇒ | | \$1,001 - \$15,000 | None | | |

| Asset | Owner Valu | ie of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-----------------|----------------|--|-------------------|----------------|
| Market Vectors Gold Miners ETF (GDX) | | | | | |
| $\begin{array}{l} \text{IRA} \Rightarrow \\ \text{Market Vectors Junior Gold Miners ETF (GDXJ)} \end{array}$ | \$1,00 | 01 - \$15,000 | None | | |
| $\begin{array}{l} {\rm IRA} \Rightarrow \\ {\rm Market\ Vectors\ Rare\ Earth\ Strategic\ Metals\ ETF} \\ {\rm (REMX)} \end{array}$ | \$1,00 | 01 - \$15,000 | Dividends | \$1 - \$200 | П |
| $IRA \Rightarrow$ Newmont Mining Corporation (NEM) | \$1,00 | 01 - \$15,000 | Dividends | \$201 - \$1,000 | |
| $IRA \Rightarrow$ North American Palladium, Ltd. (PAL) | \$1 - \$ | 61,000 | None | | |
| IRA \Rightarrow Pan American Silver Corp. (PAAS) | \$1,00 | 01 - \$15,000 | Dividends | \$1 - \$200 | П |
| $IRA \Rightarrow$ Pilot Gold, Inc Ordinary Shares (PLGTF) | \$1 - \$ | 61,000 | None | | |
| $IRA \Rightarrow$ Pinetree Capital Ltd Ordinary Shares (PNPFF) | \$1 - \$ | 61,000 | None | | |
| $\begin{array}{l} \text{IRA} \Rightarrow \\ \text{Polymet Mining Corporation Ordinary Shares (PLM)} \end{array}$ | \$1,00 | 01 - \$15,000 | None | | |
| $IRA \Rightarrow$ PowerShares DB Agriculture Fund (DBA) | \$1,00 | 01 - \$15,000 | None | | |
| $IRA \Rightarrow$ PowerShares Dynamic Oil Services (PXJ) | \$1,00 | 01 - \$15,000 | Dividends | \$1 - \$200 | |
| $IRA \Rightarrow$ PowerShares Water Resource Port (PHO) | \$1,00 | 01 - \$15,000 | Dividends | \$1 - \$200 | |
| $\begin{array}{l} IRA \Rightarrow \\ Putnam \ Voyager \ Fund \end{array}$ | \$15,0 | 001 - \$50,000 | Capital Gains, Dividends, Interest | \$1 - \$200 | П |
| $\begin{array}{l} \text{IRA} \Rightarrow \\ \text{Rare Element Resources Ltd. Ordinary Shares (REE)} \end{array}$ | \$1 - \$ | 81,000 | None | | П |
| $IRA \Rightarrow$ RBC Money Market (TMRXX) | \$50,0 \$100 | | Interest | \$1,001 - \$2,500 | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------------------|--------------------------|--|-----------------------|----------------|
| $\begin{array}{l} \text{IRA} \Rightarrow \\ \text{Silver Wheaton Corp Common Shares (SLW)} \end{array}$ | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | П |
| $IRA \Rightarrow$ Yamana Gold Inc. Ordinary Shares (AUY) | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| IRA-Pam ⇒ Capital World Growth Fund (CWGIX) | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | |
| $\begin{array}{l} \text{IRA-Pam} \Rightarrow \\ \text{Polymet Mining Corporation Ordinary Shares (PLM)} \end{array}$ | SP | \$1,001 - \$15,000 | None | | |
| IRA-Pam ⇒ Putnam International Growth Fund (PINOX) | SP | \$1,001 - \$15,000 | Capital Gains, Dividends, Interest | \$1 - \$200 | П |
| $\begin{array}{l} \text{IRA-Pam} \Rightarrow \\ \text{RBC Federated Money Market} \end{array}$ | SP | \$1 - \$1,000 | Interest | \$1 - \$200 | |
| $IRA-Pam \Rightarrow$ Van Eck Global Fund (GHAAX) | SP | \$1,001 - \$15,000 | None | | |
| $IRA-Pam \Rightarrow$ Van Eck International Gold Invs Fund (INIVX) | SP | \$1,001 - \$15,000 | None | | |
| iShares U.S. Medical Devices ETF (IHI) | JT | \$15,001 - \$50,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <u> </u> |
| iShares U.S. Pharmaceutical ETF (IHE) | JT | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | |
| iShares U.S. Utilities ETF (IDU) | JT | \$15,001 - \$50,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | V |
| Kids College Plans ⇒ Growth Funds America (AGTHX) DESCRIPTION: This fund is part of my children's 529 College | DC Savings Pla | \$1,001 - \$15,000 an | Dividends | \$201 - \$1,000 | |
| Kids College Plans ⇒ ING Int'l Small Cap Fund (NTKLX) DESCRIPTION: This fund is part of my children's 529 College | DC Savings Pla | \$1,001 - \$15,000 an | Dividends | \$201 - \$1,000 | П |
| Kids College Plans ⇒ John Hancock Freedom 529 (2017-2020) | DC | \$250,001 - \$500,000 | Capital Gains, Dividends, Interest | \$5,001 - \$15,000 | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|--------------|--------------------------|--|-------------------|----------------|
| Description: This fund is part of my children's 529 College | e Savings Pl | an | | | |
| Kids College Plans ⇒ Putnam Vista Fund | DC | \$1 - \$1,000 | Capital Gains, Dividends, Interest | \$201 - \$1,000 | П |
| Description: This fund is part of my children's 529 College | e Savings Pl | an | | | |
| Kids College Plans ⇒ Van Eck Global Fund | DC | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | |
| DESCRIPTION: This fund is part of my children's 529 College | e Savings Pl | an | | | |
| Kinross Gold Corporation (KGC) | JT | \$1,001 - \$15,000 | None | | |
| Market Vectors Gold Miners ETF (GDX) | JT | \$1,001 - \$15,000 | None | | |
| Market Vectors Junior Gold Miners ETF (GDXJ) | JT | \$1,001 - \$15,000 | None | | П |
| Market Vectors Rare Earth Strategic Metals ETF (REMX) | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | V |
| Mountainview-Woodside LLC, 25% Interest | | \$1 - \$1,000 | None | | |
| LOCATION: Ranlo/Gaston, NC, US DESCRIPTION: This is 25% of undeveloped lots in Ranlo, NC | ! | | | | |
| Mulvaney Properties/Collins Road, LLC, 100% Interest | | None | None | | |
| LOCATION: Indian Land/Lancaster, SC, US DESCRIPTION: This entity owns 25% of a piece of undevelop | ed land in I | ndian Land, SC. | | | |
| Mulvaney Properties/Old Hickory Manor LLC, 100% Interest | | \$15,001 - \$50,000 | None | | |
| LOCATION: Van Wyck/Lancaster, SC, US DESCRIPTION: 3 lots in Van Wyck, SC | | | | | |
| Mulvaney Properties/Tyvola LLC, 5% Interest | | \$1,001 - \$15,000 | Rent | \$1,001 - \$2,500 | |
| LOCATION: Charlotte/Mecklenburg, NC, US DESCRIPTION: 5% ownership of a shopping center | | | | | |
| Newmont Mining Corporation (NEM) | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <u> </u> |
| Northwestern Mutual Life (Irrev. Life Insur. Trust) | | \$100,001 - \$250,000 | None | | |
| | | | | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000 |
|---|---------------|--------------------------|-----------------------------|-----------------------|---------------|
| Pan American Silver Corp. (PAAS) | JT | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | П |
| PowerShares Dynamic Oil Services (PXJ) | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| Precious Metals, 100% Interest | | \$50,001 - \$100,000 | None | | |
| Description: Gold and Silver | | | | | |
| RBC Money Market (TMRXX) | JT | \$100,001 - \$250,000 | Interest | \$2,501 - \$5,000 | |
| SC General Assembly Retirement | | \$1,001 - \$15,000 | None | | |
| Description: I continue to maintain a small account with t legislature | he SC Gene | ral Assembly Retiremo | ent Service related to | my service in the SC | Cstate |
| SCB&T Checking | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | |
| Silver Wheaton Corp Common Shares (SLW) | JT | \$1,001 - \$15,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | ~ |
| Silvercorp Metals Inc Ordinary Shares (SVM) | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| Southern First Bank CDs | | \$50,001 - \$100,000 | Interest | \$201 - \$1,000 | ~ |
| SPDR Select Sector Fund - Technology (XLK) | JT | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | |
| The Mulvaney Company, Inc., 100% Interest | | None | None | | |
| LOCATION: Indian Land/Lancaster, SC, US DESCRIPTION: This is holding company that owns the LLC in | nterest in th | ne other entities disclo | sed here | | |
| The Mulvaney Group, Ltd., 3% Interest | | \$250,001 - \$500,000 | None | | |
| LOCATION: Charlotte/Mecklenburg, NC, US DESCRIPTION: This is my ownership interest in a real estate | developme | nt/land holding comp | any | | |
| Wren Development LLC, 100% Interest | JT | \$250,001 - \$500,000 | None | | |
| LOCATION: Indian Land/Lancaster, SC, US DESCRIPTION: This entity owns rental property in Indian La | and, SC | | | | |
| | | | | | |

^{*} Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|--------------|------------|----------------|--------------------------|---------------------|
| 519 Marvin Road Property Location: Indian Land/Lancaster, SC, US DESCRIPTION: Purchase of real estate and rental property | SP | 07/7/2014 | P | \$100,001 - \$250,000 | |
| Alerian MLP ETF (AMLP) | JT | 03/28/2014 | P | \$15,001 - \$50,000 | |
| Central Fund of Canada Limited (CEF) | JT | 07/1/2014 | S | \$1,001 - \$15,000 | |
| Coeur Mining, Inc. (CDE) | JT | 07/1/2014 | S | \$1,001 - \$15,000 | |
| First Trust ISE-Revere Natural Gas (FCG) | JT | 07/1/2014 | S (partial) | \$15,001 - \$50,000 | ▽ |
| $IRA \Rightarrow$ Alerian MLP ETF (AMLP) | | 03/28/2014 | P | \$15,001 - \$50,000 | |
| iShares U.S. Medical Devices ETF (IHI) | JT | 07/1/2014 | S (partial) | \$15,001 - \$50,000 | ▽ |
| iShares U.S. Utilities ETF (IDU) | JT | 07/1/2014 | S (partial) | \$15,001 - \$50,000 | ▽ |
| John Hancock Bond DESCRIPTION: This was a John Hancock Bond due 9/15/2014 | JT | 07/1/2014 | S | \$15,001 - \$50,000 | |
| Market Vectors Rare Earth Strategic Metals ETF (REMX) | JT | 07/1/2014 | S (partial) | \$1,001 - \$15,000 | П |
| Newmont Mining Corporation (NEM) | JT | 07/1/2014 | S (partial) | \$1,001 - \$15,000 | |
| SCB&T Certificates of Deposit | | 12/31/2014 | E | \$50,001 - \$100,000 | |
| Description: These were converted to CDs in like amount at South | hern First I | Bank | | | |
| Silver Wheaton Corp Common Shares (SLW) | JT | 07/1/2014 | S (partial) | \$1,001 - \$15,000 | ✓ |

| Asset | Owner Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|------------|-------------|-------------------------|---------------------|
| Southern First Bank CDs | 12/31/2014 | E | \$50,001 - \$100,000 | |
| DESCRIPTION: This were transferred from SCB&T | | | | |

^{*} Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Туре | Amount of Liability |
|-------|--|-----------------------|---|--------------------------|
| JT | SCBT&T /SouthState Bank | July 2005 | Commercial Mortgage | \$250,001 - \$500,000 |
| | COMMENTS: This is the mortgage on rental property owned by Wre SCB&T to South State Bank | | en Development, LLC; the name of the lender w | vas changed from |
| | SCBT&T /SouthState Bank | October 2008 | SC State Senate Campaign Debt | \$100,001 - \$250,000 |
| | COMMENTS: This loan was paid off in Decen | nber 2014 and refinan | ced with a new loan with Southern First Bank | |
| | Southern First Bank | December 2014 | SC State Senate Campaign Debt | \$100,001 - \$250,000 |
| | COMMENTS: This loan was used to refinance | the old campaign deb | t with SCB&T/South State Bank | |
| JT | Wells Fargo | November 2011 | Mortgage on Primary Residence | \$250,001 - \$500,000 |

SCHEDULE E: Positions

| Position | Name of Organization |
|-----------|----------------------------|
| President | The Mulvaney Company, Inc. |

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|--------------|--|---|
| January 2007 | SC General Assembly Retirement Service | I continue to maintain a small account with the SC General Assembly Retirement Service related to my service in the SC state legislature. |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details | | | | | Inclusions | | |
|---------------------|---------------|-----------------|--|---------------------|------------|----------|---------|
| Source | Start Date | End Date | Itinerary | Days at Own Exp. | Lodging? | Food? | Family? |
| Heritage Foundation | 02/6/2014 | 02/8/2014 | Washington - Richmond - Washington | 0 | ▽ | ▽ | |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

| _ | IR A | ١ |
|---|------|---|
| | | |

o IRA-Pam (Owner: SP)

• Kids College Plans (Owner: DC)

LOCATION: SC

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

© Yes © No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

© Yes © No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

© Yes © No

COMMENTS

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Mick Mulvaney, 07/29/2015