

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS <u>.</u> **CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT** UNITED STATES HOUSE OF REPRESENTATIVES Report Status Type Filer PO If yes, complete and attach Schedule V. Exemptions-Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting If yes, complete and attach Schedule III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule IV. If yes, complete and attach Schedule II. If yes, complete and attach Schedule I. more than \$1,000 at the end of the period? < House of Representatives Member of the U.S. Annual (May 15) Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Did you purchase any shares that were allocated as a part of an initial Public Offering? Scott H. Peters Amendment State District: 52 S Yes ¥98 ¥98 ¥es § **≤** < < Ş S O Termination Š ᇂ Š ᇹ < Employee Officer Or ≤ × ≨ For use by Members, officers, and employees schedule attached for each "Yes" response. Each question in this part must be answered and the appropriate If yes, complete and attach Schedule IX. current calendar year? Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$360 exempt)?
If yes, complete and attach Schedule VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise Did you have any reportable agreement or arrangement with an outside If yes, complete and attach Schedule VIII. Did you hold any reportable positions on or before the date of filing in the If yes, complete and attach Schedule VII. from one source) FORM A ermination Date: **Employing Office** (Daytime Telephone) Page 1 of 16 anyone who files U.S(Office: Usel Only) (SHIMING) more than 30 days be assessed against A \$200 penalty shall LEGISLATIVE RESCURCE CENTE QUESTIONS 2013 AUG -6 PM 1: 19 ĕ ĕs Yes. 8 **¥**88 **89** Yes □ 8 < 8 (8 **√** 8 ≪ Ķ Ķ

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SCHEDULE I - EARNED INCOME

Name Scott H. Peters Page 2 of 16

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

4 1,000.		
Source	Туре	Amount
Cameron Holding Corp., La Jolla, CA	Spouse Salary	N/A
Sinclair & Rush, Inc. Arnold, MO	Spouse Salary	N/A
San Diego City Retirement System	Retirement Payments	\$20,298

SCHEDULE III - ASSETS AND "UNEARNED" INCOME SP SP SP Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JΠ), in the For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state. For a detailed discussion of Schedule III requirements, please refer to the optional column on the far left. Savings Plan. in, or income derived from, a federal retirement program, including the Thrift For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. Provide complete names of stocks and mutual funds (do not use ticker symbols.) Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in instruction booklet. location in Block A. "unearned" income during the year. Asset and/or Income Source Argan APCO Argentina Astronics APCO Argentina Alabama St Bonds Astronics BLOCK A None \$1,000,001 -\$15,000 \$1,001 -\$100,001 -\$1,000,000 \$5,000,000 \$5,000,000 \$1,000,001 -\$250,000 \$500,001 held solely by your spouse or dependent child. value should be "None." generated income, the the reporting year and is included only because it lf an asset was sold during market value, please specify the method used. method other than fair you use a valuation close of reporting year. If indicate value of asset at Value of Asset This column is for assets Year-End Name Scott H. Peters **BLOCK B** CAPITAL GAINS **DIVIDENDS/CAPI** CAPITAL GAINS **DIVIDENDS TAL GAINS** DIVIDENDS/CAPI the asset generated no income during the reporting period. reinvested, must be disclosed as income. Check "None" if (such as 401(k) plans or IRAs) you may check the "None" TAL GAINS INTEREST specific investments or that do not allow you to choose Check all columns that apply. For retirement accounts that and capital gains, even if column. Dividends, interest, generate tax-deferred income Type of Income BLOCK C generated by assets held solely by your spouse or dependent child. \$100,001 -\$100,001 -\$50,001 - \$100,000 \$5,000,000 \$1,000,001 -\$1,000,000 \$15,001 - \$50,000 \$1,000,000 \$15,001 - \$50,000 or generated. "None" if no income was earned disclosed as income. Check gains, even if reinvested, must be Dividends, interest, and capital the appropriate box below. category of Income by checking For all other assets, indicate the "Tax-Deferred" in Block C, you may check the "None" column. For assets for which you checked Amount of Income BLOCK D Z N N Z exchanges (E) exceeding \$1,000 in reporting year. sales (S), or Transaction had purchases (P), indicate if asset Page 3 of 16 BLOCK E

SCHEDULE III - ASSETS AND "UNEARNED" INCOME SP Blue Water Pandero Investors LLC - Quarry Mining & Manufacturing; Bowling Green, KY Bank of America Account Austin Tx Water Bonds \$500,001 -\$1,000,000 \$100,001 -\$250,000 \$100,001 -\$250,000 Name Scott H. Peters None INTEREST INTEREST NONE \$1 - \$200 \$15,001 - \$50,000 N N NA Page 4 of 16

							:		
Call Russell 2000 Index Option Exp 11/17/12 @535	Call Russell 2000 Index Option Exp 10/20/12 @825	Call Russell 2000 Index Option Exp 10/20/12 @610	Call Russell 2000 Index Option Exp 10/20/12 @550	Call Russell 2000 Index Option Exp 10/20/12 @550	Call Russell 2000 Index Option Exp 10/20/12 @535	CA State GO Bonds	CA State Dept. of Water Bonds	CA State Dept of Trans Bonds	Butte-Glenn Muni Bonds
None	None	None	None	None	None	\$1,000,001 - \$5,000,000	\$1,000,001 - \$5,000,000	\$1,000,001 - \$5,000,000	\$500,001 - \$1,000,000
CAPITAL GAINS	DIVIDENDS	DIVIDENDS	DIVIDENDS	DIVIDENDS					
\$100,001 - \$1,000,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$2,501 - \$5,000	\$100,001 - \$1,000,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$15,001 - \$50,000	\$5,001 - \$15,000
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME SP Call Russell 2000 Index Option Exp 6/16/12 @550 Call Russell 2000 Index Option Exp 6/16/12 @535 (fn 1) Exp 6/16/12 @ 610 Call Russell 2000 Index Option Call Russell 2000 Index Option Exp 4/21/12 @755 Call Russell 2000 Index Option Exp 4/21/12 @610 Exp 12/22/12 @825 Call Russell 2000 Index Option None None None None None None Name Scott H. Peters CAPITAL GAINS CAPITAL GAINS CAPITAL GAINS **CAPITAL GAINS CAPITAL GAINS** CAPITAL GAINS \$100,001 -\$1,000,000 \$100,001 -\$1,000,000 \$15,001 - \$50,000 \$201 - \$1,000 \$50,001 - \$100,000 \$1,001 - \$2,500 K NA Z X NA Page 5 of 16

	(111 1)				
	Call S&P 500 SPX Index Option Exp 10/20/12 @950	None	CAPITAL GAINS	\$201 - \$1,000	N/A
	Call S&P 500 SPX index Option Exp 10/20/12 @950	None	CAPITAL GAINS	\$201 - \$1,000	N/A
SP	Call S&P 500 SPX Index Option Exp 11-17-12 @1050 (fn 1)	None	CAPITAL GAINS	\$1,000,001 - \$5,000,000	N/A

SP

Option Exp 10/20/12 @1050 Call S&P 500 SPX Index

None

CAPITAL GAINS

\$1,000,001 -\$5,000,000

\$500,000

\$250,001 -

CAPITAL GAINS

\$5,001 - \$15,000

Option Exp 1/19/13 @1400 Call S&P 500 SPX index

SP

Call Russell 2000 Index Option Exp Exp 5/19/12 610

None

CAPITAL GAINS

\$2,501 - \$5,000

N N

None

CAPITAL GAINS

\$100,001 -\$1,000,000

X A

Call Russell 2000 Index Option Exp 9/22/12 @825

Exp 6/16/12 @560

Call Russell 2000 Index Option

None

CAPITAL GAINS

\$100,001 -\$1,000,000

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Scott H. Peters	Peters		Page 6 of 16
	Call S&P 500 SPX Index Option Exp 11-17-12 @900	None	CAPITAL GAINS	\$100,001 - \$1,000,000	N/A
	Call S&P 500 SPX Index Option Exp 5/19/12 @950	None	CAPITAL GAINS	\$100,001 - \$1,000,000	N/A
	Call S&P 500 SPX Index Option Exp 5/19/12 @950	None	CAPITAL GAINS	\$15,001 - \$50,000	N/A
SP	Call S&P 500 SPX Index Option Exp 5/19/12 @950(fn 1)	None	CAPITAL GAINS	\$1,000,001 - \$5,000,000	N/A
	Call S&P 500 SPX Index Option Exp 8/18/12 @1400	None	CAPITAL GAINS	\$100,001 - \$1,000,000	N/A
	Call S&P 500 SPX Index Option Exp 8/18/12 @1400	None	CAPITAL GAINS	\$100,001 - \$1,000,000	N/A
	Call S&P 500 SPX Index Option Exp 9/22/12 @1425	None	CAPITAL GAINS	\$100,001 - \$1,000,000	N/A
SP	Call S&P 500 SPXQ Index Option Exp 6/29/12 @ 950 (fn 1)	None	CAPITAL GAINS	\$1,000,001 - \$5,000,000	N/A
P	Cameron Investment Corp Pvt Equity Investments, San Diego Calif. (fn 1)	\$1,000,001 - \$5,000,000	DIVIDENDS/INTE REST/ S-Corp Income	\$100,001 - \$1,000,000	N/A
	Charles Schwab Cash Act	\$1,000,001 - \$5,000,000	INTEREST	\$201 - \$1,000	N/A
SP	Charles Schwab Cash Act	\$1,000,001 - \$5,000,000	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	N/A
	CL King Cash Act	None	DIVIDENDS/INTE REST	\$1 - \$200	N/A
	Columbia County Wis. Bonds	\$1,000,001 - \$5,000,000	DIVIDENDS	\$15,001 - \$50,000	N/A
	East Bay Calif Regl Bonds	\$500,001 - \$1,000,000	INTEREST	\$15,001 - \$50,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME SP SP Ş Emancipation Capital - Non self directed Investments in Ecology & Environment, Inc. publicly traded companies NY, Ecology & Environment, Inc. Eastern Company (fn 1) Eastern Company \$50,001 -\$100,000 \$15,001 -\$50,000 \$1,000,001 -\$5,000,000 \$100,001 -\$250,000 None Name Scott H. Peters GAPITAL GAINS/DIVIDEN DS DIVIDENDS/CAPI TAL Income GAINS/Prtnrshp **DIVIDENDS DIVIDENDS DIVIDENDS** \$100,001 -\$1,000,000 \$1,000,001 -\$5,000,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$2,501 - \$5,000 N N N/ N N N N N N Page 7 of 16

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	Essex County Bonds	\$1,000,001 - \$5,000,000	DIVIDENDS	\$50,001 - \$100,000	N/A
	Global Power Equipment	None	DIVIDENDS/CAPI TAL GAINS	\$2,501 - \$5,000	N/A
SP	Global Power Equipment	None	DIVIDENDS	\$50,001 - \$100,000	N/A
	Globecomm Systems	\$500,001 - \$1,000,000	None	NONE	N/A
SP	Globecomm Systems	\$1,000,001 - \$5,000,000	CAPITAL GAINS	\$100,001 - \$1,000,000	N/A
	Graham Corp	\$1,001 - \$15,000	None	NONE	N/A
SP	Graham Corp	\$500,001 - \$1,000,000	DIVIDENDS	\$2,501 - \$5,000	N/A
SP	GSE Systems	\$100,001 - \$250,000	None	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME SP Bonds **Huntington Beach Bonds** Houston Tx Bonds **Board Bonds** Hillsborough County School (fn 1) Manufacturing; Manchester, IA Henderson Enterprises Inc -Harris County Texas Flood \$500,001 -\$1,000,000 \$1,000,001 -\$5,000,000 \$250,001 -\$500,000 \$1,000,000 \$1,000,000 \$500,001 -\$500,001 -Name Scott H. Peters None INTEREST INTEREST **DIVIDENDS** DIVIDENDS NONE \$15,001 - \$50,000 \$15,001 - \$50,000 \$15,001 - \$50,000 \$5,001 - \$15,000 N N/A N N N N N Page 8 of 16

SP

Inrad Optics

Inrad Ser. B

\$100,001 -\$250,000

None

NONE

N

\$100,001 -\$250,000

None

NONE

N

	ISI Managed Municipal Fund	\$100,001 - \$250,000	DIVIDENDS/CAPI TAL GAINS DISTRIBUTION	\$5,001 - \$15,000	N/A
	Kenosha Wis Unified Sch Dist	\$1,000,001 - \$5,000,000	DIVIDENDS	\$15,001 - \$50,000	N/A
	Lazard Emerg Markets Inst Fund	\$100,001 - \$250,000	DIVIDENDS/CAP GAIN DISTR	\$5,001 - \$15,000	N/A
	Los Angeles CA GO UTX Bonds	\$1,000,001 - \$5,000,000	DIVIDENDS	\$50,001 - \$100,000	N/A
	Los Angeles CA Sewer Revenue Bonds	None	DIVIDENDS	\$15,001 - \$50,000	N/A
	Los Angeles County Met Trans Bonds	\$500,001 - \$1,000,000	DIVIDENDS	\$15,001 - \$50,000	N/A
i	Los Angeles County Sanitation Dist Bonds	\$1,000,001 - \$5,000,000	DIVIDENDS	\$15,001 - \$50,000	N/A

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	E Name Scott H. Peters	eters		Page 9 of 16
	Los Angeles Unified Sch. Dist. Bonds	\$1,000,001 - \$5,000,000	SUNIDENDS	\$5,001 - \$15,000	N/A
	Los Angeles, CA Sanitation Equip. Bonds	\$1,000,001 - \$5,000,000	DIVIDENDS	\$15,001 - \$50,000	N/A
	Los Angeles, CA Waste Water Bonds	\$1,000,001 - \$5,000,000	DIVIDENDS	\$50,001 - \$100,000	N/A
SP	Major Building LLC - Com. Real Estate; Ballwin, MO	None	Rental Prtnrshp Income, Final Distribution	\$15,001 - \$50,000	N/A
	Massachusetts State Bonds	\$1,000,001 - \$5,000,000	DIVIDENDS	\$15,001 - \$50,000	N/A
	Mathews Pacific Tiger Fund	\$50,001 - \$100,000	DIVIDENDS/CAPI TAL GAINS DISTR	\$201 - \$1,000	N/A
	Metropolitan Water District of Southern CA Bonds	\$500,001 - \$1,000,000	INTEREST	\$15,001 - \$50,000	N/A
	Minnesota Public Facilities Bonds	\$500,001 - \$1,000,000	INTEREST	\$15,001 - \$50,000	N/A
SP	Multi-Pack Solutions LLC - Packaging Company; Mt. Prospect IL (fn 1)	\$1,000,001 - \$5,000,000	DIVIDENDS/ Prtnrshp Income	\$1,000,001 - \$5,000,000	N/A
SP	NAP Holdings LLC - Manufacturing; Jasper, IA	None	None	NONE	N/A
SP	NAP/Gladu Finance LLCFinancing for NAP; Ballwin, MO	None	None	NONE	N/A
	Navigator Holdings, PLC	None	DIVIDENDS/CAPI TAL GAINS	\$100,001 - \$1,000,000	N/A
	Nevada Irrigation District Bonds	\$1,000,001 - \$5,000,000	DIVIDENDS	\$15,001 - \$50,000	N/A

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SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Scott H. Peters	² eters		Page 10 of 16
	Norwalk La Mirada Bonds	\$500,001 - \$1,000,000	DIVIDENDS	\$15,001 - \$50,000	N/A
	Pajaro Valley Calif Bonds	\$500,001 - \$1,000,000	INTEREST	\$5,001 - \$15,000	N/A
	Pershing Cash Act	\$1,000,001 - \$5,000,000	DIVIDENDS	\$1 - \$200	N/A
SP	Plaza Logistica LLC - Com. Real Estate; Buenos Aires, Argentina	\$250,001 - \$500,000	RENT/INTEREST /Prtnrshp Income	\$15,001 - \$50,000	N/A
	PMFG	\$100,001 - \$250,000	CAPITAL GAINS	\$5,001 - \$15,000	N/A
SP	PMFG Inc (fn 1)	\$1,000,001 - \$5,000,000	CAPITAL GAINS	\$100,001 - \$1,000,000	N/A
	Poway CA Unified Sch Bonds	\$500,001 - \$1,000,000	DIVIDENDS	\$15,001 - \$50,000	N/A
	Prudential High Yield Fund	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	N/A
	Puerto Rico Commonwealth Bonds	\$250,001 - \$500,000	DIVIDENDS	\$5,001 - \$15,000	N/A
	Puerto Rico Elec Bonds	None	DIVIDENDS	\$5,001 - \$15,000	N/A
	Rancho Corte Madera, Inc Working Cattle Ranch; Pine Valley, CA	\$1,000,001 - \$5,000,000	None	NONE	N/A
SP	Rand Cap Corp	\$500,001 - \$1,000,000	CAPITAL GAINS	\$15,001 - \$50,000	N/A
SP	Rapco Building LLC - Com. Real Estate; Ballwin, MO	None	Final Distribution	\$15,001 - \$50,000	N/A
	Retirement Advocate Moderate Fund	\$250,001 - \$500,000	None	NONE	N/A

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SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Scott H. Peters	oeters .		Page 11 of 16
	Ridgeworth Seix Float	\$250,001 - \$500,000	DIVIDENDS	\$5,001 - \$15,000	N/A
	Riverside Calif Bonds	\$500,001 - \$1,000,000	INTEREST	\$15,001 - \$50,000	N/A
	Sacramento County CA Bonds	\$250,001 - \$500,000	DIVIDENDS	\$15,001 - \$50,000	N/A
	San Diego County CA Bonds	\$500,001 - \$1,000,000	DIVIDENDS	\$15,001 - \$50,000	N/A
	San Francisco, CA Revenue Bonds	\$1,000,001 - \$5,000,000	DIVIDENDS	\$100,001 - \$1,000,000	N/A
	San Jose CA Bonds	\$500,001 - \$1,000,000	DIVIDENDS	\$15,001 - \$50,000	N/A
	San Ramon Valley Bonds	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	N/A
	Santa Clara Valley Var Bonds	\$1,000,001 - \$5,000,000	DIVIDENDS	\$15,001 - \$50,000	N/A
	Scholarshare Passive Age- Based Portfolio 18 & Over	\$250,001 - \$500,000	None	NONE	N/A
	Schwab CA Muni Money Fund	\$100,001 - \$250,000	DIVIDENDS	\$201 - \$1,000	N/A
	Sequoia CA Bonds	\$1,000,001 - \$5,000,000	DIVIDENDS	\$15,001 - \$50,000	N/A
မှု	Sinclair & Rush - Manufacturing; Arnold, MO	\$100,001 - \$250,000	DIVIDENDS	\$15,001 - \$50,000	N/A
	Stralem Eqty Fnd Inst Funds	\$250,001 - \$500,000	DIVIDENDS/CAPI TAL GAINS DISTR	\$15,001 - \$50,000	N/A
SP	TANK Holdings Inc - Manufacturing; Anderson, MO (fn 1)	\$1,000,001 - \$5,000,000	INTEREST	\$15,001 - \$50,000	N/A

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Scott H. Peters	oeters		Page 12 of 16
Ì	Telos	\$1,000,001 - \$5,000,000	None	NONE	N/A
ļ	Transcat	\$1,000,001 - \$5,000,000	None	NONE	N/A
•	Unitil	None	DIVIDENDS/CAPI TAL GAINS	\$15,001 - \$50,000	N/A
	University Neb Facilities Bonds	\$1,000,001 - \$5,000,000	DIVIDENDS	\$50,001 - \$100,000	NA
	University of CA Revenue Bonds	\$1,000,001 - \$5,000,000	DIVIDENDS	\$100,001 - \$1,000,000	N/A
	University of Texas Bonds	\$1,000,001 - \$5,000,000	DIVIDENDS	\$15,001 - \$50,000	NA
	US Lime & Mineral	\$1,001 - \$15,000	CAPITAL GAINS	\$50,001 - \$100,000	N/A
dS	US Lime & Mineral (fn 1)	None	CAPITAL GAINS	\$1,000,001 - \$5,000,000	N/A
	Vanguard Life Strategy Growth Fund	\$100,001 - \$250,000	None	NONE	N/A
	Virginia State Bonds	\$500,001 - \$1,000,000	DIVIDENDS	\$15,001 - \$50,000	NA
	Virginia State Public Sch. Bonds	\$500,001 - \$1,000,000	DIVIDENDS	\$15,001 - \$50,000	NA
	Virginia State Res Bonds	\$500,001 - \$1,000,000	DIVIDENDS	\$15,001 - \$50,000	N/A
	Virtus Emerg Mkts Oppty Fund	\$100,001 - \$250,000	DIVIDENDS/CAPI TAL GAINS DISTR	\$1,001 - \$2,500	N/A
	Washington State Bonds	\$500,001 - \$1,000,000	DIVIDENDS	\$15,001 - \$50,000	N/A

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SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Scott H. Peters	Peters		Page 13 of 16
	Wells Fargo Managed Income Fund	\$1 - \$1,000	None	NONE	N/A
SP	WLR Recovery IV Investors LLC - Non self directed fund; M.ass	\$250,001 - \$500,000	DIVIDENDS/INTE REST/CAPITAL GAINS/ Prtnrshp	\$15,001 - \$50,000	N/A
	WPX Energy	None	CAPITAL GAINS	\$50,001 - \$100,000	N/A

SCHEDULE V - LIABILITIES

Name Scott H. Peters Page 14 of 16

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; for liabilities held solely by your spouse or dependent child. liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is

SP, DC,		Date Liability		
JT	Creditor	Incurred	Type of Liability	Amount of Liability
	Citibank	Revolving Credit	Revolving Credit Debt	\$15,001 - \$50,000
	Wells Fargo Card Services	Revolving Credit	Revolving Credit Debt	\$15,001 - \$50,000
SP	Call S&P 500 Index Option (fn 1)	2/2011	Stock Index Option	\$1,000,001 - \$5,000,000
SP	Call S&P SPXQ Intex Options (fn 1)	3/2011	Stock Index Option	\$1,000,001 - \$5,000,000
SP	Call Russell 2000 Index Option (fn 1)	9/2011	Stock Index Option	\$1,000,001 - \$5,000,000
	Call S&P 500 SPX Index Options	2/2011	Stock Index Option	\$500,001 - \$1,000,000
	Call S&P 500 SPXQ Options	3/2011	Stock Index Option	\$500,001 - \$1,000,000
	Call Russell 2000 Index Options	9/2011	Stock Index Option	\$100,001 - \$250,000
	CL King Associates	2/2012	Margin Account	\$1,000,001 - \$5,000,000

SCHEDULE VIII - POSITIONS

Name Scott H. Peters

Page 15 of 16

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	LEAD San Diego (Resigned 12/31/12)
Board Member	San Diego LGBT Community Center (Resigned 12/31/12)
Board Member	Voices for Children (Resigned 12/31/12)
Commissioner	Board of San Diego Port Commissioners (Resigned 12/31/12)
Member	San Diego Association of Governments - Bayshore Bikeway Working Group (Resigned 12/31/12)
Alternate	California Coastal Commission (Resigned 12/31/12)
Board Member	Move San Diego (Resigned 12/31/12)
Board Member	San Diego Contemporary Art Museum (Resigned 12/31/12)
Board Member	La Jolla Community Foundation (Resigned 12/31/12)

FOOTNOTES

Number Schedule V Schedule III Section / Schedule As separate property, this asset is reported as being in excess of \$1 Million. As separate property, this asset is reported as being in excess of \$1 Million Name Scott H. Peters Footnote All designated entries All designated entires This note refers to the following item Page 16 of 16