∑ S	Yes	pendent child	"unearned" income, transactions, or liabilities of a spouse or dependent child unless you have first consulted with the Committee on Ethics.	arned" income, ss you have fire	ny other assets, "une not answer "yes" unle	<b>EXEMPTION</b> —Have you excluded from this report any other assets, "unearned" income, transactions, or lis because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the	ON-Have you exc ey meet all three te	<b>EXEMPTI</b> because th
<b>%</b> ⊠	Yes 🗌	ot be	and certain other "excepted trusts" need not be pouse, or a dependent child?	nittee on Ethics ing you, your s	pproved by the Comr of such a trust benefi	TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a depen	Details regarding "dave you excluded	TRUSTS— disclosed. I
ζ.	QUESTION	OF THESE	IATION ANSWER EACH OF THESE QUESTIONS	TINFORM	ENT, OR TRUS	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION	ION OF SPO	EXCLUS
	esponse.	each "Yes" re	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	and the ap	st be answered	tion in this part m	Each quest	
	Yes  X	\$5,000 from	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	γος. Υος	III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	your spouse, or a der more than \$10,000) of hete and attach Schu	III. Did you, able liability ( If yes, comp
<u>\$</u>	¥es ⊠	rangement	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	§ .	arned" y eriod? Yes X	II. Did you, your spouse, or a dependent child receive "unearmed" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	our spouse, or a dep ore than \$200 in the r set worth more than a wete and attach Sch	II. Did you, y income of mu reportable as if yes, comp
<b>№</b>	<b>6</b> 8 □	vefore the date or two years?	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	8	d? Yes X	<ol> <li>Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?</li> <li>Yes, complete and attach Schedule I.</li> </ol>	your spouse have "e) or more from any so lete and attach Sch	I. Did you or fees) of \$200 if yes, comp
	!		QUESTIONS	OF THES	ISWER EACH	In all sections, please type or print clearly in blue or black ink.  PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTION	NARY INFO	PRELIM
assessed who files		A \$200 penalty shall be against any individual more than 30 days late.	20-(2 Check if Amendment	Date of 3 Election: 3	State:	of for the Representatives or or	Candidate for the House of Representation of Rep	Filer Status
E CENTER 2: 07 ERK NTATIVES	2012 JAN 10 PM 2: 07  OFFICE OF THE CLERK U.S. HOUSE OF BERGERESENTATIVES	2012 J U.S. HOUS	Daytime Telephone:	Daytime 1	Lynn BHalvorson		Deborah	Name:
BEA.	POSTMARK ILLEGIBLE	FO	FORM B For use by candidates and new employees		ENTATIVES	UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT Period covered: January 1,2010- 2011	UNITED STATES HOUSE OF REFINANCIAL DISCLOSURE STAT	UNITED FINANC Period oc

# SCHEDULE I — EARNED INCOME (INCLUDING HONORARIA)

Name Debovah Halvorson Page 2 or 18

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	1	Amount	únt
Source (include date of receipt for individuals)	1900	Current Year to Filing	Preceding Year
XYZ Corporation, Houston, TX	Salary	\$6,300	\$28,450
First Bank & Trust, Houston, TX	Director's Fee	\$400	\$3,200
XYZ Trade Association, Chicago, IL (Rec'd December 2)	Honorarium	0	\$1,000
Harris County, Texas Public Schools	Spouse Salary	NA	NA
Solutions Unlimited	Salarus	<b>50</b> 0,000	
12.5 Congress	Salanti		170,000
	Š		•

Sp American Funds Sp American Funds (Sab.)	Sp See attached	First United Bank	JT 1st Bank of Paducah, KY accounts	Examples:	SP, SP Mega Corp. Stock	For an ownership interest in a privately-held business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling of the reporting period); any deposits totaling secounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left.  For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	For rental or other real property held for investment, provide a complete address.	Asset and/or Income Source Identity (a) each asset held to investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use ticker symbols).  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.
×	*	*	×	Indefinite	×	None \$1 - \$1,000 \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$250,000 \$250,001 - \$500,000 \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 \$5,000,001 - \$5,000,000 \$25,000,001 - \$50,000,000 \$25,000,001 - \$50,000,000 \$25,000,001 - \$50,000,000 Over \$50,000,000	2 C	BLOCK B  Value of Asset  reporting year. If you use a freporting year. If you use a faluation method other than fair market value, please specify the method used.  If an asset was sold during the eporting year and is included only because it generated recome, the value should be None."
X	Savuigo Stucks	K checking	×	Royalties	×	NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST  Other Type of Income (Specify: e.g., Partnership Income or Farm Income	ne)	Type of Income  Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.
>×,	*	X		×	× × ×	None  \$1 - \$200 =  \$201 - \$1,000 =  \$1,001 - \$2,500	Current Year Prece	Amount of Income  For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

## SCHEDULE III - LIABILITIES

Name Deborah L. Halvoran Page to of /

owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furni-Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount ture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000

				SP		SP, DC, JT	
				First Nat'l Banky IL 2011	Example: First Bank of Wilmington, DE	Creditor	
				2011	May 1998	Date Liability Incurred mo/year	
			Eagle Steel	Line of Credit for Amor.	Mortgage on 123 Main Street, Dover, DE	Type of Liability	
						\$10,001 \$15,000	
<u> </u>						\$15,001— <b>w</b> \$50,001—	
					×	\$100,000 C	A
						\$250,000 m	Amount of Liability
	<del>-</del>			×		\$500,001— \$1,000,000	of Lial
		·				\$1,000,001— <b>ភ</b> \$5,000,000 <b>ភ</b>	bility
						\$5,000,001— <b>エ</b>	
						\$25,000,001— \$50,000,000	
				,		Over \$50,000,000	

## SCHEDULE IV — POSITIONS

prise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. cer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enter-Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an offi-

**Exclude:** Positions listed on Schedule 1; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature

and positions solely of an nonorary nature.	nature.
Position	Name of Organization

## SCHEDULE V - AGREEMENTS

Name Deborat - ACTUALCON

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or ben-Page 5 of /

 	 			_	_
			3/1 /2014	Date	efit plan mainta
			lavy myself + State of Illinois	Parties To	efit plan maintained by a former employer.
	0	monthly benefit when I turn 55	legislative pension that will provide	Terms of Agreement	

# SCHEDULE VI - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the *two* prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. **Exclude:** Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. **Do not repeat information listed on Schedule I.** 

 _	_	 	 	_		_
				Example:		
				Doe Jones & Smith, Hometown, Homestate	Source (Name and Address)	
				Accounting services		
					Brief Description of Duties	
				!		

### MorganStanley SmithBarney

6918

CLIENT STATEMENT | For the Period November 1-30, 2011

Consolidated Summary

JIM BUSH C/O AMERICAN EAGLE STEEL

Begins on Page

OVERVIEW OF YOUR ACCOUNTS	Beginning Value (incl. accr. int.)	Net Contributions/ Withdrawals (11/1/11-11/30/11)	Change in Value (11/1/11 - 11/30/11)	Ending Value (incl. accr. int.) (11/30/11) o
TOTAL ACCOUNTS	\$59,269,34	1	\$(1,128.70)	\$58,140.64
Personal Accounts	\$1,716.28	•	\$(7.51)	\$1,708.77
JIM BUSH C/O AMERICAN EAGLE STEEL	1,716.28	1	(7.51)	1,708.77
Retirement Accounts	\$57,553.06	ţ	\$(1,121.19)	\$56,431.87
JIM BUSH C/O AMÉRICAN EAGLE STEEL  Retirement TRADITIONAL / ROLLOVER IRA	57,553.06	l	(1,121.19)	56,431.87

at quarter end. This summary may include assets held in either brokerage and/or advisory (i.e., managed) accounts. For additional information about the differences between brokerage and advisory accounts, please visit http://www.morganstanley.com/ourcommitment \*\*There was no activity for this account this period, so a statement has not been included. A statement for this account will be included

## Consolidated Summary

### MorganStanley **SmithBarney**

70718

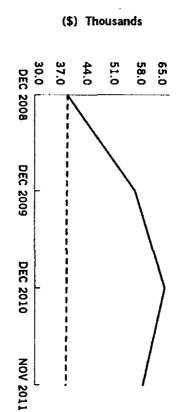
### JIM BUSH C/O AMERICAN EAGLE STEEL

## **CHANGE IN VALUE OF YOUR ACCOUNTS**

\$58,140.64	\$58,140.64	Total Ending Value(includes accrued interest)
(1,128.70)	(5,449.44)	Change in Value
ı	\$(226.25)	Net Contributions/Withdrawals
	1	Security Transfers
1	(226.25)	Withdrawals
ì	1	Contributions
\$59,269.34	\$63,816.33	Total Beginning Value(includes accrued interest)
This Period (11/1/11-11/30/11)	This Year (1/1/11-11/30/11)	

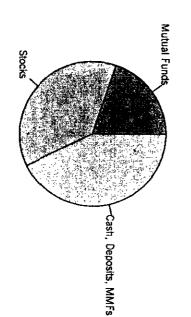
## CHANGE IN VALUE OVER TIME

Net investment is the total amount invested minus the total amount withdrawn. This graph compares the total value of your account to the net amount invested.



delay in the reporting of your Market Value. Please speak to your Financial Advisor if you have any questions. include transactions for investments in Annuities or where Morgan Stanley Smith Barney is not the custodian. This may affect the reported Net Invested Capital. If we are not the custodian there may also be a Net Contributions | Withdrawals include investment advisory fees to the extent applicable. Please see the activity section of your statement for more specific details on any advisory fees. Total Market Value Net Invested Capital Since 12/31/08 any advisory fees. This exhibit may not

## **ALLOCATION OF HOLDINGS**



TOTAL VALUE	Mutual Funds	Stocks	Cash, Deposits, MMFs*	
\$58,140.64	11,493.06	21,700.12	\$24,947.46	Market Value Percentage
100.0%	19.8	37.3	42.9	Percentage %

structure, or exposure to certain market sectors. As many assets contain characteristics of more than one asset class, this asset classification may differ from others you may receive. These classifications are not intended to serve as a suitability analysis. \* Bank Deposits are eligible for FDIC insurance; FDIC rules apply and deposits are not SIPC insured. Cash and securities (including Money Market Funds) are eligible for This allocation represents holdings on a trade date basis, and projected settled Cash/Deposits/MMF balances. We classify assets based on general characteristics such as: income generation, underlying capital SIPC coverage. Please see disclosures at end of the statement(s).

	SUMMARY	CONSOLIDATED
ı		

Consolidated Summary

### MorganStanley SmithBarney

JIM BUSH C/O AMERICAN EAGLE STEEL

### **BALANCE SHEET**

	Last Period (as of 10/31/11)	This Period (as of 11/30/11)
TOTAL VALUE (incl. accr. int.)	\$59,269.34	\$58,140.64
ASSETS	\$59,269.34	\$58,140.64
Cash, Deposits, Money Market Funds	24,933.27	24,947.46
Stocks	22,650.21	21,700.12
Mutual Funds	11,685.86	11,493.06
LIABILITIES (Outstanding Balance)		
There are no liabilities for these accounts.		

### **CASH FLOW**

\$24,947.46	\$24,947.46	CLOSING CASH, DEPOSITS, MMFs
1	(226.25)	Other Debits
i	\$(226.25)	CASH RELATED ACTIVITY
47.58	601.33	Income
I	4,127.51	Sales and Redemptions
(33.39)	(46.06)	Dividend Reinvestments
1	(12,855.66)	Purchases
\$14.19	\$(8,172.88)	INVESTMENT RELATED ACTIVITY
\$24,933.27	\$33,346.59	OPENING CASH, DEPOSITS, MMFs
This Period (11/1/11-11/30/11)	This Year (1/1/11-11/30/11)	The state of the s

**Education Accounts** Retirement Accounts Personal Accounts

005117 MSGDD301 044079

Trust Accounts

**Business Accounts** 

## MorganStanley SmithBarney

Consolidated Summary

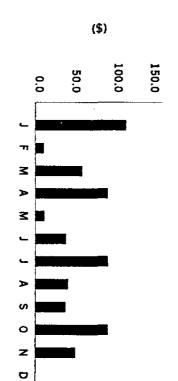
**CLIENT STATEMENT** | For the Period November 1-30, 2011

JIM BUSH C/O AMERICAN EAGLE STEEL

## INCOME SUMMARY, THIS PERIOD

	Taxable Income	Tax-Exempt Income	Total Income
	(11/1/11-11/30/11)	(11/1/11-11/30/11)	(11/1/11-11/30/11)
Accounts			\$47.58
Personal Accounts	0.01		0.01
Retirement Accounts	ļ	1	47.57
<b>Education Accounts</b>	ł	I	1
Trust Accounts	1	ł	l
<b>Business Accounts</b>	İ	*****	ŀ

## TOTAL INCOME YEAR TO DATE



### GAIN/(LOSS) SUMMARY

**UNREALIZED GAIN** 

2,298.44

(23,066.96) (3,717.10) UNREALIZED (LOSS)

NET REALIZED GAIN/(LOSS)
This Year (1/1/11-11/30/11)
Th This Period (11/1/11-11/30/11)

504.18

tax exempt income classifications are based on the characteristics of the underlying securities and not the taxable status of the account.
Please refer to the Gain/(Loss) section of the disclosures for additional information. Gain/(Loss) and income information are provided for informational purposes only and should not be used for tax preparation. Gain/(Loss) summary information may change due to basis adjustments. Taxable and

JIM BUSH C/O AMERICAN EAGLE STEEL

**SmithBarney** 



MorganStanley

Account Summary

Brokerage Account TRADITIONAL / ROLLOVER IRA

Householding Anniversary Date: 11/5/02

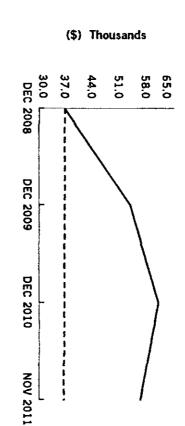
Investment Objectives 1: Speculation, Capital Appreciation

## CHANGE IN VALUE OF YOUR ACCOUNTS

	This Year (1/1/11-11/30/11)	This Period (11/1/11-11/30/11)
Total Beginning Value(includes accrued interest)	\$61,019.14	\$57,553.06
Contributions	i	1
Withdrawals	(131.25)	l
Security Transfers	_	ł
Net Contributions/Withdrawals	\$(131.25)	1
Change in Value	(4,456.02)	(1,121.19)
Total Ending Value(includes accrued interest)	\$56,431.87	\$56,431.87

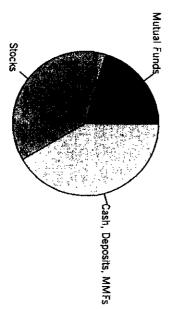
## CHANGE IN VALUE OVER TIME

Net investment is the total amount invested minus the total amount withdrawn. This graph compares the total value of your account to the net amount invested.



investment objectives. Please review for accuracy and inform us if your investment objectives change. there may also be a delay in the reporting of your Market Value. Please speak to your Financial Advisor if you have any questions. Total Market Value - Net invested Capital Since 12/31/08
This exhibit may not include transactions for investments in Annuities or where Morgan Stanley Smith Barney is not the custodian. This may affect the reported Net Invested Capital. If we are not the custodian † See the Disclosures section of your statement for more information about

## ALLOCATION OF HOLDINGS



TOTAL	Mutual	Stocks	Cash, I	
TOTAL VALUE	Funds		Cash, Deposits, MMFs*	
\$56,431.87	11,493.06	21,411.22	\$23,527.59	Market value
100.0%	20.4	37.9	41.7	rercentage %

for SIPC coverage. Please see disclosures at end of the statement(s) intended to serve as a suitability analysis. structure, or exposure to certain market sectors. As many assets contain characteristics of more than one asset class, this asset classification may differ from others you may receive. These classifications are not This allocation represents holdings on a trade date basis, and projected settled Cash/Deposits/MMF balances. We classify assets based on general characteristics such as: income generation, underlying capital \* Bank Deposits are eligible for FDIC insurance; FDIC rules apply and deposits are not SIPC insured. Cash and securities (including Money Market Funds) are eligible

MorganStanley SmithBarney

**Account Summary** 

Retirement Account

JIM BUSH C/O AMERICAN EAGLE STEEL

### **BALANCE SHEET**

Stocks Mutual Funds	Cash, Deposits, Money Market Funds	ASSETS	TOTAL VALUE (incl. accr. int.)	
22,353.79 11,685.86	23,513.41	\$57,553.06	\$57,553.06	Last Period (as of 10/31/11)
21,411.22 11,493.06	23,527.59	\$56,431.87	\$56,431.87	This Period (as of 11/30/11)

### **CASH FLOW**

CASH FLOW	This Year (1/1/11·11/30/11)	This Period (11/1/11-11/30/11)
OPENING CASH, DEPOSITS, MMFs	\$31,831.85	\$23,513.41
INVESTMENT RELATED ACTIVITY	\$(8,173.01)	\$14.18
Purchases	(12,855.66)	-
Dividend Reinvestments	(46.06)	(33.39)
Sales and Redemptions	4,127.51	ļ
Income	601.20	47.57
CASH RELATED ACTIVITY	\$(131.25)	ı
Other Debits	(131.25)	1
CLOSING CASH, DEPOSITS, MMFs	\$23,527.59	\$23,527.59

### UUD I 17 MGGDD3U1 U44U6 I

# CLIENT STATEMENT | For the Period November 1-30, 2011

**Account Summary** 

Retirement Account

JIM BUSH C/O AMERICAN EAGLE STEEL

MorganStanley

SmithBarney

### **INCOME SUMMARY**

Other Income	Interest	Long Term Capital Gains Distributions	Dividends	INCOME	TOTAL INCOME		
2.97	2.35	26.58	569.30	601.20	\$601.20	(1/1/11-11/30/11)	This Year
2.97	0.21	26.58	17.81	47.57	\$47.57	(11/1/11-11/30/11)	This Period

## GAIN/(LOSS) SUMMARY

(23,066.96)	(Loss)
2,298.44	Gain
\$(20,768.52)	NET UNREALIZED (11/30/11)
ı	(Loss)
***	Gain
1	NET REALIZED
Gain/(Loss)	This Period (11/1/11-11/30/11)
(2.17)	(Loss)
506.35	Gain
\$504.18	NET REALIZED
Gain/(Loss)	This Year (1/1/11-11/30/11)

change due to basis adjustments. and should not be used for tax preparation. Gain/(Loss) summary information may Gain/(Loss) and income information are provided for informational purposes only

Please refer to the Gain/(Loss) section of the disclosures for additional

### RETIREMENT RECAP

2010 Fair Market Value (includes accrued interest) \$61,019.14

LITTER TO THE CONTRACT CONTRAC	7.4.7	
Contributions	2010	2011
No Contributions For This Account		-
Max. Individual Contributions Allowed (by SSN)	6,000.00	6,000.00
The Fair Market Value reflected above includes accrued but unpaid interest in the value of your IRA. For IRAs, also see	interest in the value of your IRA.	For IRAs, also see
the "Additional IRA Information" in the Disclosure section at the end of this statement.	f of this statement.	

### Total Individual Contributions

TOTAL ALLOWABLE

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0.00		0.00	
		4	\$5 000 00 \$8 000 00.
			\$5,000,00

2010

CONTRIBUTIONS TO DATE

Page 9 of 22

PERSONAL ACCOUNTS

CONSOLIDATED SUMMARY

RETIREMENT ACCOUNTS

EDUCATION ACCOUNTS

TRUST ACCOUNTS

BUSINESS ACCOUNTS

Holdings

**CLIENT STATEMENT** | For the Period November 1-30, 2011

Retirement Account JIM BUSH C/O AMERICAN EAGLE STEEL

The "Market Value" and "Unrealized Gain/(Loss)" figures shown are representative values as of the last business day of the statement period indicated, which may not reflect the value that could actually be obtained Activity" section for more information. This section reflects the impact of positions purchased/sold on a trade date basis, and will include positions purchased and omit positions sold in the current month. Please see the "Unsettled Purchases/Sales

and the actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate. Structured products appear in various statement product categories and are identified as "Structured Products" in the Security Description column. If you hold structured products, please see "Special Estimated annual income and estimated yield for certain securities can include return of principal or capital gains which could overstate such estimates. Estimated yield and estimated annual income are estimates in the market. See "Pricing of Securities" in the Disclosures section at the end of this statement. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date

acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your statement for additional information Form 1099-B. Cost basis is reflected on monthly statements for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Disclosures section of this New Treasury regulations require that we report on Form 1099-B after the close of the tax year your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities. Considerations Regarding Structured Products\* in the Disclosure section.

## CASH, DEPOSITS AND MONEY MARKET FUNDS

Cash, Deposits and Money Market Funds are generally displayed on a settlement date basis. However, Global Currency Deposits may include both settled and unsettled positions. Estimated Annual Income, Accrued Interest and APY% will only be displayed for fully settled positions. In the event, all or a portion of a Global Currency Deposit position is unsettled as of month end, this data will not be available.

CASH, DEPOSITS AND MONEY MARKET FUNDS		MORGAN STANLEY PRIVATE BANK NA #	Description CASH
41.7%	Percentage of Assets %	23,516.59	Value \$11.00
\$23,527.59	Market Value	2,00 —	Estimated Annual Income 7-Day Current Yield %
\$2.00 \$0.00	Estimated Annual Income Accrued Interest	0.010	Annual Percentage Yield %

Cash holdings shown exclude cash holdings in custody at another firm for which you receive a separate statement # Bank deposits are at Morgan Stanley Bank, N.A. and Morgan Stanley Private Bank, National Association (Members FDIC), affiliates of Morgan Stanley Smith Barney.

ACCOUNTS

Page 11 of 22

MorganStanley SmithBarney

816 11

Holdings

Retirement Account

JIM BUSH C/O AMERICAN EAGLE STEEL

### STOCKS

### **COMMON STOCKS**

research ratings are (1) Buy, (2) Neutral and (3) Sell. For ease of comparison, Morgan Stanley and Standard & Poor's equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell). Please refer to the end of this statement for a summary guide describing Morgan Stanley, CIRA and Standard & Poor's ratings. Morgan Stanley Smith Barney LLC does not take responsibility for, and does not guarantee the accuracy, should read the entire research report and not infer its contents from the rating. Where a particular company is covered by both Morgan Stanley and CIRA, you can and should view both research reports. CIRA's equity of the research provider and are not representations or guarantees of performance. Because the applicable research report contains more complete information regarding the analyst's opinions, analysis, and rating, you Morgan Stanley & Co. LLC (Morgan Stanley), Citi Investment Research & Analysis (CIRA), and Standard & Poor's equity research ratings may be shown for certain securities. All research ratings represent the "opinions"

2.04%	\$436.00 \$0.00	\$(20,197.01)	\$21,411.22	\$41,608.23	37.9%	STOCKS
Yield %	Estimated Annual Income Accrued Interest	Unrealized Gain/(Loss)	Market Value	Total Cost	Percentage of Assets %	
					idend Payable 12/09/11	Share Price: \$32,440; Rating: Citigroup: 3, S&P: 3; Next Dividend Payable 12/09/11
0.12	4.00	(1,275.42)	3,244.00	4,519.42	100.000	VULCAN MATERIALS CO (VMC)
						Share Price: \$1.800; Rating: Morgan Stanley: 1, S&P: 1
ı	ŧ	934.53	5,371.20	4,436.67	2,984.000	SIRIUS XM RADIO INC COM (SIRI)
						Share Price: \$0,002
1	1	(699.50)	10.50	710.00	5,000,000	NATIONWIDE SAFE T PROPANE INC (NSFE)
						Share Price: \$14,790; Next Dividend Payable 01/12
						(EDD)
8.11	300.00	(1,302.50)	3,697.50	5,000.00	250.000	MS EMERGING MKTS DOMESTIC DEBT
						Share Price: \$1.150
ì	1	(3,437.45)	195.50	3,632.95	170.000	INUVO INC COM NEW (INUV)
					1H, S&P: 1	Share Price: \$10.600; Rating: Morgan Stanley: 1, Citigroup: 1H, S&P: 1
1		(2,395.34)	7,526.00	9,921.34	710.000	FORD MOTOR CO NEW (F)
			***************************************			Share Price: \$13.620; Next Dividend Payable 12/11
9.69	132.00	(2,638.00)	1,362.00	4,000.00	100.000	DWS HIGH INCOME OPPORTUNITIES (DHG)
						Share Price: \$0,000
ļ	ı	\$(9,383,33)	\$4.52	\$9,387.85	11,300.000	CONS AMER INDS CORP NEW (CMDJ)
Dividend Yield %	Annual Income	Unrealized Gain/(Loss)	Market Value	Total Cost	Quantity	Security Description
	<b>1</b>	:			& Poor's.	completeness, or timeliness of research prepared for Standard & Poor's

### 005117 MSGDD301 044083

### MorganStanley SmithBarney

15 03 18

Holdings

**CLIENT STATEMENT** | For the Period November 1-30, 2011

Retirement Account

JIM BUSH C/O AMERICAN EAGLE STEEL

### MUTUAL FUNDS

OTHER MUTUAL FUNDS
"Total Purchases vs Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash; due to but not limited to the following: investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account.

"Net Value Increase] (Decrease)" reflects the difference between your total purchases, plus the cash distributions shown, and the current value of the fund's shares. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes.

J	\$0.00 \$0.00	\$(571.51)	\$11,493.06	\$12,064.57	20.4%		MUTUAL FUNDS
Yield %	Estimated Annual Income Accrued Interest	Unrealized Gain/(Loss)	Market Value	Total Cost	Percentage of Assets %		
					pital Gains Reinvest	end Reinvestment; Ca	Share Price: \$22.880; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest
			68.41				Net Value Increase/(Decrease)
			10,068.41	10,000.00			Total Purchases vs Market Value
1	J	(896.42)	10,068.41	10,964.83	440.053	Total	
		291,40	1,256.23	964.83	54.905		Reinvestments
į		(1,187.82)	8,812.18	10,000.00	385.148	Purchases	IVY ASSET STRATEGY C (WASCX)
					pital Gains Reinvest	end Reinvestment; Ca	Share Price: \$14,620; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest
			424.65				Net Value Increase/(Decrease)
			1,424.65	1,000.00			Total Purchases vs Market Value
ı	ľ	324.91	1,424.65	1,099.74	97.445	Total	
		13,53	113.27	99.74	7.747		Reinvestments
		\$311.38	\$1,311.38	\$1,000.00	89.698	Purchases	GAMCO WESTWOOD MGHTY MITES C (WMMCX)
Dividend Yield %	Estimated Annual Income	Unrealized Gain/(Loss)	Market Value	Total Cost	Quantity		Security Description

For more information about the pricing of Money Market Funds, please see the Disclosures section of the statement. Transactions in mutual fund positions held directly at the Fund Company are not included in the total above and are not reflected on the Summary Page.

TOTAL MARKET VALUE	
100.0%	Percentage of Assets %
\$53,672.80	Total Cost
\$56,431.87	Market Value
\$(20,768.52)	Unrealized Gain/(Loss)
\$438.00 \$0.00	Estimated Annual Income Accrued Interest
0.78%	% plet.

TOTAL VALUE (includes accrued interest)

CONSOLIDATED SUMMARY

PERSONAL ACCOUNTS

RETIREMENT ACCOUNTS

EDUCATION ACCOUNTS

TRUST ACCOUNTS

ACCOUNTS

\$56,431.87

Page 13

### MorganStanley SmithBarney

16 03 18

Retirement Account JIM BUSH C/O AMERICAN EAGLE STEEL

### Activity

## CASH FLOW ACTIVITY BY DATE

Transacti	Transaction Settlement	3.					
Date	Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
11/1	11/1	Miscellaneous Income	NATURAL GAS LITIGATION PROCEED				\$2.97
11/28	11/28	Long Term Capital Gain	GAMCO WESTWOOD MGHTY MITES C				26.58
11/28	11/28	Short Term Capital Gain	GAMCO WESTWOOD MGHTY MITES C				6.81
11/28	11/28	Dividend Reinvestment	GAMCO WESTWOOD MGHTY MITES C	REINVESTMENT	2.380	14.0300	(33.39)
11/29	11/29	Interest Income	MORGAN STANLEY PRIVATE BANK NA			!	0.21
11/30	11/30	Dividend	DWS HIGH INCOME OPPORTUNITIES				11.00
NET CR	NET CREDITS/(DEBITS)	ITS)					\$14.18

Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.

## MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY

NET AC	11/29	11/2	11/1	Date
NET ACTIVITY FOR PERIOD	11/29 Automatic Investment	11/2 Automatic Investment	11/1 Automatic Investment	Activity Type
	BANK DEPOSIT PROGRAM	BANK DEPOSIT PROGRAM	BANK DEPOSIT PROGRAM	Description
\$14.18	0.21	2.97	\$11.00	Credits/(Debits)



**Quarterly Statement** September 30, 2011

Page 2 of 2

Year-to-date dividends and			<u> </u>	Short-term	Long-terr
	Account #	Fund #	Dividends	capital gains	capital gains
Washington Mutual Investors Fund-A		01	\$1,918.58	\$0.00	\$0.00
Totals			\$1,918.58	\$0.00	\$0.00

### Activity detail for account =63475191

Account value: \$107,063.23

Washington Material Insertions Found	Fund number (11 Symbol AVSHX Dejective Convett-and-income
Initial investment date: 01/01/2003	100 00% of the account's assets are in this fund

### transaction history

Newsland	dayldor.				
Trade date	Description	Dollar amount	Share price	Shares transacted	Share balance
01/01/11	Beginning balance	\$111,644.97	\$27.21		4,103.086
03/18/11	Income Dividend 0.155	\$635.98	\$27.70	22.960	4,126.046
06/17/11	Income Dividend 0.155	\$639.54	\$28.11	22.751	4,148.797
09/23/11	Income Dividend 0.155	\$643.06	\$25.51	25.208	4,174.005
09/30/11	Ending balance	\$107,063.23	\$25.65		4,174.005

### About your accounts

### **Beneficiary information**

	Account #	Primary	Contingent
CB&T CUST SIMPLE IRA JAMES W BUSH		BENE INFO ON FILE-SEE ACCOUNT NOTES	Not Provided

To update and read important legal information about your beneficiary designations, please go to americanfunds.com/beneficiary.

### Information about your investments

Your responsibilities. Please review this statement carefully to confirm that we have accurately acted on your instructions, if you identify any discrepancies, please immediately notify your financial adviser or call us at 800/421-0180. If you delay in reporting an error, we may be unable to adjust your account.

Estate planning information. If you are an executor or administrator of a recently deceased IRA owner's estate, we can provide a statement showing the value of the account as of the IRA owner's date of death. You may order this statement by calling Shareholder Services at 800/421-0180. We will provide the information within 90 days of the request.

Indianapolis IN 46206-6164

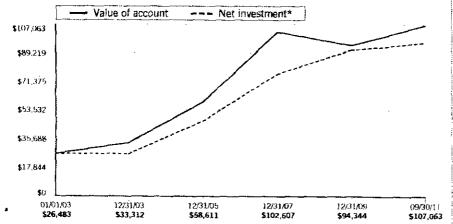
JAMES W BUSH 565 ABERDEEN DR CRETE IL 60417-1202

Portfolio value of all accounts	\$107,063.23

	Since initial investment on 01/01/2003*	Year-to-date since 01/01/2011
Beginning value	\$26,483.15	\$111,644.97
+ Additions	\$69,810.00	\$0.00
Withdrawals	-\$80.00	\$0.00
+/- Investment gain/decline	\$10,850.08	-\$4,581.74
Ending value as of 09/30/2011	\$107,063.23	\$107,063.23
Total change in value	+\$80,580.08	-\$4,581.74

\*For accounts opened prior to 2003, an initial investment date of January I, 2003 is used. More information is available at americanfunds.com/statement.

### Portfolio value history



\*Net investment is the total amount you invested minus the total amount you withdrew.

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### Quarterly Statement 184

September 30, 2011

Employer AMERICAN EAGLE STEEL LLC

Primary account numbers

### Annual fee due

A \$10 annual fee will be deducted in December from traditional IRA, Roth IRA, SIMPLE IRA, 403(b), 457, money-purchase plans. profit-sharing plans, Coverdell ESA and 529 accounts. If you prefer to pay by check, we must receive it by November 30.

### Making a new and improved statement

Welcome to your redesigned quarterly statement, based on valued input from you and financial advisers. By providing you with more detailed information, you can better monitor your American Funds investments, See the enclosed guide for details about the enhancements,

### Portfolio allocation



Growth-and-income

100.00% 100.00%

### How to contact us

Through your financial adviser MICHAEL MCCANN (708) 361-1229 AMERIPRISE FINANCIAL SERVICES, INC. 15255 S 94TH AVE STE 405 ORLAND PARK IL. 60462-3889

americanfunds.com

FundsLine® 24-hour automated service 800/325-3590

Shareholder Services Representative 800/421-0180 (M-F 8 a.m. to 8 p.m. Eastern time)

Capital Bank and Trust Company PO Box 6164 Indianapolis IN 46206-6164

### Overnight Courier

Capital Bank and Trust Company 12711 N. Meridian St. Carmel, IN 46032-9181

Questions about your statement americanfunds.com/statement