



Filing ID #10019164

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Michael Allman  
**Status:** Congressional Candidate  
**State/District:** CA52

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2017  
**Filing Date:** 03/11/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Angeleno Global Equities		\$250,001 - \$500,000	None		
AngelList Funds ⇒ ChangeCoin Inc.  LOCATION: San Francisco, CA, US DESCRIPTION: Online micropayment infrastructure.		None	None		
AngelList Funds ⇒ Clubhouse Software  LOCATION: New York, NY, US DESCRIPTION: Project management tools.		None	None		
AngelList Funds ⇒ MD Insider  LOCATION: Monica, CA, US DESCRIPTION: Software company.		None	None		
AngelList Funds ⇒ Sapho, Inc.  LOCATION: San Francisco, CA, US DESCRIPTION: Enterprise application infrastructure company.		None	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
AngelList Funds ⇒ TF Stealth Fund I		None	None		
AngelList Funds ⇒ ZipZap Inc.		None	Interest	None	\$201 - \$1,000
LOCATION: Vancouver, British Columbia, CA DESCRIPTION: Money transfer service.					
AntriaBio		\$50,001 - \$100,000	None		
LOCATION: Louisville, CO, US DESCRIPTION: Pharmaceutical company.					
Baker Capital		\$1,001 - \$15,000	None		
Celedon Technology 1 ⇒ Palantir Technologies		\$100,001 - \$250,000	None		
LOCATION: Palo Alto, CA, US DESCRIPTION: Software and services company specializing in data analysis.					
Croydon, LLC		\$50,001 - \$100,000	None		
LOCATION: Rancho Santa Fe, CA, US DESCRIPTION: Computer software.					
Delta Energy & Communications, Inc.		\$100,001 - \$250,000	None		
LOCATION: Murrieta, CA, US DESCRIPTION: Network, smart grid, and big data company.					
Diomics		\$100,001 - \$250,000	None		
LOCATION: San Diego, CA, US DESCRIPTION: Creates hydrophilic materials.					
Energy Innovation Capital		\$50,001 - \$100,000	None		
Enmotus		\$50,001 - \$100,000	None		
LOCATION: Aliso Viejo, CA, US DESCRIPTION: Software developer.					
Fidelity Account 2 ⇒		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity Government Money Market (SPAXX)					
Fidelity Account 2 ⇒ SPDR S&P 500 (SPY)		\$100,001 - \$250,000	Tax-Deferred		
Fidelity Government Money Market (SPAXX)		\$1 - \$1,000	Tax-Deferred		
Fidelity Municipal Money Market (FTEXX)		\$1 - \$1,000	Tax-Deferred		
Frost Data Capital		\$100,001 - \$250,000	None		
H2scan		\$500,001 - \$1,000,000	None		
LOCATION: Valencia, CA, US DESCRIPTION: Hydrogen measurements solutions.					
Iteros		\$100,001 - \$250,000	None		
LOCATION: La Jolla, CA, US DESCRIPTION: Energy management software.					
Lending Club		\$1,001 - \$15,000	Interest	\$201 - \$1,000	None
DESCRIPTION: Account 1					
Lending Club		\$1,001 - \$15,000	Interest	\$1 - \$200	None
DESCRIPTION: Account 2					
Linden		None	None		
LOCATION: Scranton, PA, US DESCRIPTION: Natural gas transportation.					
Morgan Stanley Family Trust ⇒ Allergan plc Ordinary Shares (AGN)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Alphabet Inc. - Class A (GOOGL)		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Amazon.com, Inc. (AMZN)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ American International Group, Inc. New (AIG)		\$1,001 - \$15,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Morgan Stanley Family Trust ⇒ Amgen Inc. (AMGN)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Analog Devices, Inc. (ADI)		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Appell Pete Corp (APPL)		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ BLACKROCK CA MUNI OPP I		\$100,001 - \$250,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ BLACKROCK GLOBAL L/S CREDIT I		\$100,001 - \$250,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ BLACKROCK STRAT MUNI OPPORT I		\$100,001 - \$250,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ CBS Corporation Class B (CBS)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Charles Schwab Corporation (SCHW)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Chevron Corporation (CVX)		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Chubb Limited (CB)		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Citigroup, Inc. (C)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Danaher Corporation (DHR)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ DBX ETF TR XTRACK MSCI EAFE		\$100,001 - \$250,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒		\$1,001 - \$15,000	Tax-Deferred		

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DowDuPont Inc. (DWDP)					
Morgan Stanley Family Trust ⇒ DREYFUS TBC SM/MD/CAP GRW I		\$50,001 - \$100,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ EOG Resources, Inc. (EOG)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Exelon Corporation (EXC)		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ FIRST TRUST JAPAN		\$50,001 - \$100,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Gilead Sciences, Inc. (GILD)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ GOLDMAN SACHS ABSLT RET TRCK I		\$50,001 - \$100,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Goldman Sachs Group, Inc. (GS)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ HARTFORD LONG/SHORT GLB EQ I		\$100,001 - \$250,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Honeywell International Inc. (HON)		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Ingersoll-Rand plc (IR)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ International Business Machines Corporation (IBM)		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ ISHARES CORE MSCI EMERGING		\$100,001 - \$250,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ ISHARES MSCI EAFE SM CAP ETF		\$100,001 - \$250,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ ISHARES TIPS BOND ETF		\$50,001 - \$100,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Morgan Stanley Family Trust ⇒ IVY INTL CORE EQUITY I		\$50,001 - \$100,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Johnson & Johnson (JNJ)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ JP Morgan Chase & Co. (JPM)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Lockheed Martin Corporation (LMT)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ LOCORR MACRO STRATEGIES I		\$50,001 - \$100,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Lowe's Companies, Inc. (LOW)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Mondelez International, Inc. - Class A (MDLZ)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Occidental Petroleum Corporation (OXY)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Oracle Corporation (ORCL)		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Pepsico, Inc. (PEP)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Pfizer, Inc. (PFE)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ PIMCO ENHANCED SHRT MTRT EXC		\$50,001 - \$100,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Sherwin-Williams Company (SHW)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ SPDR EURO STOXX 50 ETF		\$50,001 - \$100,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ SPDR NUVEEN BBG BAR SHRT TERM		\$100,001 - \$250,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Morgan Stanley Family Trust ⇒ Stanley Black & Decker, Inc. (SWK)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ The Kraft Heinz Company (KHC)		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Thermo Fisher Scientific Inc (TMO)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ T-Mobile US, Inc. (TMUS)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ VANGUARD MID-CAP ETF INDEX (		\$100,001 - \$250,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ VANGUARD SMALL CAP ETF		\$100,001 - \$250,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Walt Disney Company (DIS)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Wells Fargo & Company (WFC)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ WELLS FARGO EMERG MRK EQ INC I		\$50,001 - \$100,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ Xilinx, Inc. (XLNX)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Family Trust ⇒ XTRACKERS MSCI JAPAN HEDGED EQ		\$50,001 - \$100,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Allergan plc Ordinary Shares (AGN)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ American International Group, Inc. New (AIG)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Amgen Inc. (AMGN)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Analog Devices, Inc. (ADI)	SP	\$1 - \$1,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Morgan Stanley LAA Roth IRA ⇒ Apple Inc. (AAPL)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ BLACKROCK GLOBAL L/S CREDIT I	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ CBS Corporation Class B (CBS)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Charles Schwab Corporation (SCHW)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Chevron Corporation (CVX)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Chubb Limited (CB)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Citigroup, Inc. (C)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Danaher Corporation (DHR)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ DBX ETF TR XTRACK MSCI EAFE	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ DowDuPont Inc. (DWDP)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ DREYFUS TBC SM/MD/CAP GRW I	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ EOG Resources, Inc. (EOG)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Exelon Corporation (EXC)	SP	\$1 - \$1,000	Tax-Deferred		



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Morgan Stanley LAA Roth IRA ⇒ FIRST TR HIGH YL LG/SHT ETF	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ FIRST TRUST JAPAN	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Gilead Sciences, Inc. (GILD)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ GOLDMAN SACHS ABSLT RET TRCK I	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Goldman Sachs Group, Inc. (GS)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ HARTFORD LONG/SHORT GLB EQ I	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Honeywell International Inc. (HON)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Ingersoll-Rand plc (IR)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ International Business Machines Corporation (IBM)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ ISHARES 1-3 YEAR CREDIT BD ETF	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ ISHARES CORE MSCI EMERGING	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ ISHARES INTERM CREDIT BD ETF	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ ISHARES MBS ETF	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ ISHARES MSCI EAFE SM CAP ETF	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ ISHARES TIPS BOND ETF	SP	\$1,001 - \$15,000	Tax-Deferred		

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Morgan Stanley LAA Roth IRA ⇒ IVY INTL CORE EQUITY I	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Johnson & Johnson (JNJ)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ JP Morgan Chase & Co. (JPM)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ LOCORR MACRO STRATEGIES I	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Lowe's Companies, Inc. (LOW)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Mondelez International, Inc. - Class A (MDLZ)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Occidental Petroleum Corporation (OXY)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Oracle Corporation (ORCL)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Pepsico, Inc. (PEP)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Pfizer, Inc. (PFE)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ PIMCO ENHANCED SHRT MTRT EXC	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ SPDR EURO STOXX 50 ETF	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Stanley Black & Decker, Inc. (SWK)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ The Kraft Heinz Company (KHC)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Thermo Fisher Scientific Inc (TMO)	SP	\$1 - \$1,000	Tax-Deferred		

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Morgan Stanley LAA Roth IRA ⇒ T-Mobile US, Inc. (TMUS)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ VANGUARD MID-CAP ETF INDEX	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ VANGUARD SMALL CAP ETF	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Walt Disney Company (DIS)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Wells Fargo & Company (WFC)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ WELLS FARGO EMERG MRK EQ INC I	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ Xilinx, Inc. (XLNX)	SP	\$1 - \$1,000	Tax-Deferred		
Morgan Stanley LAA Roth IRA ⇒ XTRACKERS MSCI JAPAN HEDGED EQ	SP	\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Allergan plc Ordinary Shares (AGN)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Alphabet Inc. - Class A (GOOGL)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Amazon.com, Inc. (AMZN)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ American International Group, Inc. New (AIG)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Amgen Inc. (AMGN)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Analog Devices, Inc. (ADI)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒		\$1,001 - \$15,000	Tax-Deferred		

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Apple Inc. (AAPL)					
Morgan Stanley Roth IRA ⇒ BLACKROCK GLOBAL L/S CREDIT I		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ CBS Corporation Class B (CBS)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Charles Schwab Corporation (SCHW)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Chevron Corporation (CVX)		\$1,001 - \$15,000	Tax-Deferred		
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Morgan Stanley Roth IRA ⇒ Citigroup, Inc. (C)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Danaher Corporation (DHR)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ DBX ETF TR XTRACK MSCI EAFE		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ DowDuPont Inc. (DWDP)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ DREYFUS TBC SM/MD/CAP GRW I		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ EOG Resources, Inc. (EOG)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Exelon Corporation (EXC)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ FIRST TR HIGH YL LG/SHT ETF		\$1,001 - \$15,000	Tax-Deferred		

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Morgan Stanley Roth IRA ⇒ FIRST TRUST JAPAN		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Gilead Sciences, Inc. (GILD)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ GOLDMAN SACHS ABSLT RET TRCK I		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Goldman Sachs Group, Inc. (GS)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ HARTFORD LONG/SHORT GLB EQ I		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Honeywell International Inc. (HON)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Ingersoll-Rand plc (IR)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ International Business Machines Corporation (IBM)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ ISHARES 1-3 YEAR CREDIT BD ETF		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ ISHARES CORE MSCI EMERGING		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ ISHARES INTERM CREDIT BD ETF		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ ISHARES MBS ETF		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ ISHARES MSCI EAFE SM CAP ETF		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ ISHARES TIPS BOND ETF		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ IVY INTL CORE EQUITY I		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Morgan Stanley Roth IRA ⇒ Johnson & Johnson (JNJ)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ JP Morgan Chase & Co. (JPM)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Lockheed Martin Corporation (LMT)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ LOCORR MACRO STRATEGIES I		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Lowe's Companies, Inc. (LOW)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Mondelez International, Inc. - Class A (MDLZ)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Occidental Petroleum Corporation (OXY)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Oracle Corporation (ORCL)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Pepsico, Inc. (PEP)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Pfizer, Inc. (PFE)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ PIMCO ENHANCED SHRT MTRT EXC		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Sherwin-Williams Company (SHW)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ SPDR EURO STOXX 50 ETF		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Stanley Black & Decker, Inc. (SWK)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒		\$1,001 - \$15,000	Tax-Deferred		

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The Kraft Heinz Company (KHC)					
Morgan Stanley Roth IRA ⇒ Thermo Fisher Scientific Inc (TMO)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ T-Mobile US, Inc. (TMUS)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ VANGUARD MID-CAP ETF INDEX		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ VANGUARD SMALL CAP ETF		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Walt Disney Company (DIS)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Wells Fargo & Company (WFC)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ WELLS FARGO EMERG MRK EQ INC I		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ Xilinx, Inc. (XLNX)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Roth IRA ⇒ XTRACKERS MSCI JAPAN HEDGED EQ		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Allergan plc Ordinary Shares (AGN)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Alphabet Inc. - Class A (GOOGL)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Amazon.com, Inc. (AMZN)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ American International Group, Inc. New (AIG)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Amgen Inc. (AMGN)		\$1,001 - \$15,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Morgan Stanley Traditional IRA ⇒ Analog Devices, Inc. (ADI)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Apple Inc. (AAPL)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Blackrock Global L/S Credit I		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ CBS Corporation Class B (CBS)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Charles Schwab Corporation (SCHW)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Chevron Corporation (CVX)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Chubb Limited (CB)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Citigroup, Inc. (C)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Danaher Corporation (DHR)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ DBXETF TRXTRACK MSCI EAFE		\$50,001 - \$100,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ DowDuPont Inc. (DWDP)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ DREYFUS TBC SM/MD CAP GRWI		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ EOG Resources, Inc. (EOG)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Exelon Corporation (EXC)		\$1,001 - \$15,000	Tax-Deferred		



Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Morgan Stanley Traditional IRA ⇒ FIRST TR HIGH YL LGSHT ETF		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ FIRST TRUST JAPAN		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Gilead Sciences, Inc. (GILD)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ GOLDMAN SACHS ABSLT RET TRCKI		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Goldman Sachs Group, Inc. (GS)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ HARTFORD LONG/SHORT GLB EQI		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Honeywell International Inc. (HON)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Ingersoll-Rand plc (IR)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ International Business Machines Corporation (IBM)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ ISHARES 1-3 YEAR CREDIT BD ETF		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ ISHARES CORE MSCI EMERGING		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ ISHARES INTERM CREDIT ED ETF		\$50,001 - \$100,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ ISHARES MBS ETF		\$50,001 - \$100,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ ISHARES MSCI EAFE SM CAP ETF		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
ISHARES TIPS BOND ETF					
Morgan Stanley Traditional IRA ⇒ IVYINTL CORE EQUITY		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Johnson & Johnson (JNJ)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ JP Morgan Chase & Co. (JPM)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Lockheed Martin Corporation (LMT)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ LOCOOR MACRO STRATEGIES I		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Lowe's Companies, Inc. (LOW)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Mondelez International, Inc. - Class A (MDLZ)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Occidental Petroleum Corporation (OXY)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Oracle Corporation (ORCL)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Pepsico, Inc. (PEP)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Pfizer, Inc. (PFE)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ PIMCO ENHANCED SHRT MTRT EXC		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Sherwin-Williams Company (SHW)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ SPDR EUROSTOXX ETF		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Morgan Stanley Traditional IRA ⇒ Stanley Black & Decker, Inc. (SWK)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ The Kraft Heinz Company (KHC)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Thermo Fisher Scientific Inc (TMO)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ T-Mobile US, Inc. (TMUS)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ VANGUARD MID-CAP ETF INDEX		\$50,001 - \$100,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ VANGUARD SMALL CAP ETF		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Walt Disney Company (DIS)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Wells Fargo & Company (WFC)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ WELLS FARGO EMERG MRK EQINCI		\$15,001 - \$50,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ Xilinx, Inc. (XLNX)		\$1,001 - \$15,000	Tax-Deferred		
Morgan Stanley Traditional IRA ⇒ XTRACKERS MSCI JAPAN HEDGED EQ		\$15,001 - \$50,000	Tax-Deferred		
Narrative Wave		\$500,001 - \$1,000,000	None		
LOCATION: Irvine, CA, US DESCRIPTION: Intelligence software.					
NewSun Energy Holdings I LLC		\$100,001 - \$250,000	None		
DESCRIPTION: NESH-I A-1					
NewSun Energy Holdings I LLC		\$100,001 - \$250,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: NESH-I A-2					
NewSun Energy Holdings Oregon LLC		\$500,001 - \$1,000,000	None		
LOCATION: Tuscon, AZ, US DESCRIPTION: Renewable energy.					
Nex3		\$100,001 - \$250,000	None		
Pacific Capital Annuity		\$50,001 - \$100,000	None		
Piper Networks, Inc.		\$100,001 - \$250,000	None		
DESCRIPTION: Innovative IoT and proximity solutions.					
Precision Capital ⇒ Bain SOF V		\$250,001 - \$500,000	None		
Precision Capital ⇒ Bain SOF VI		None	None		
Precision Capital ⇒ Pico Venture Partners		\$15,001 - \$50,000	None		
Precision Capital ⇒ SOF Asia		\$250,001 - \$500,000	None		
Precision Capital ⇒ SOF Asia III		\$100,001 - \$250,000	None		
Precision Capital ⇒ SOF Europe		\$100,001 - \$250,000	None		
Residential property		\$1,000,001 - \$5,000,000	None		
LOCATION: San Digo, CA, US					
Sandton Technologies		None	None		
LOCATION: Rancho Santa Fe, CA, US DESCRIPTION: Educational programs.					
Schwab Brokerage Account ⇒ adidas AG Sponsored ADR (ADDYY)		\$50,001 - \$100,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Schwab Brokerage Account ⇒ Carnival Corporation (CCL)		\$15,001 - \$50,000	Tax-Deferred		
Schwab Brokerage Account ⇒ Darden Restaurants, Inc. (DRI)		\$50,001 - \$100,000	Tax-Deferred		
Schwab Brokerage Account ⇒ Dunkin' Brands Group, Inc. (DNKN)		\$50,001 - \$100,000	Tax-Deferred		
Schwab Brokerage Account ⇒ ISHARES MSCI EMERGING		\$100,001 - \$250,000	Tax-Deferred		
Schwab Brokerage Account ⇒ ISHARES S&P MID CAP 400		\$100,001 - \$250,000	Tax-Deferred		
Schwab Brokerage Account ⇒ ISHARES S&P SMALL CAP		\$50,001 - \$100,000	Tax-Deferred		
Schwab Brokerage Account ⇒ ISHARES SHORT MATURITY		\$50,001 - \$100,000	Tax-Deferred		
Schwab Brokerage Account ⇒ Kellogg Company (K)		\$15,001 - \$50,000	Tax-Deferred		
Schwab Brokerage Account ⇒ LVMH Moet Hennessy Louis Vuitton Un-sponsored American Depositary Receipt (LVMUY)		\$15,001 - \$50,000	Tax-Deferred		
Schwab Brokerage Account ⇒ McDonald's Corporation (MCD)		\$100,001 - \$250,000	Tax-Deferred		
Schwab Brokerage Account ⇒ Microsoft Corporation (MSFT)		\$50,001 - \$100,000	Tax-Deferred		
Schwab Brokerage Account ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY)		\$50,001 - \$100,000	Tax-Deferred		
Schwab Brokerage Account ⇒ PIMCO ENHANCED SHRT		\$50,001 - \$100,000	Tax-Deferred		
Schwab Brokerage Account ⇒ The Hershey Company (HSY)		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Schwab Brokerage Account ⇒ Ulta Beauty, Inc. (ULTA)		\$50,001 - \$100,000	Tax-Deferred		
Schwab Brokerage Account ⇒ Walmart Inc. (WMT)		\$50,001 - \$100,000	Tax-Deferred		
Schwab Brokerage Account ⇒ WISDOMTREE JAPAN HEDGED		\$100,001 - \$250,000	Tax-Deferred		
Schwab Brokerage Account ⇒ WISDOMTREE U S SMALLCAP		\$50,001 - \$100,000	Tax-Deferred		
Schwab Brokerage Account ⇒ WISDOMTREE US QLT DIV		\$15,001 - \$50,000	Tax-Deferred		
STEM, Inc.  DESCRIPTION: Energy company.		\$250,001 - \$500,000	None		
Thrio  LOCATION: Atlanta, GA, US DESCRIPTION: Computer software development.		\$100,001 - \$250,000	None		
Tocagen Inc. (TOCA)		\$500,001 - \$1,000,000	None		
Trials.AI  LOCATION: San Diego, CA, US DESCRIPTION: Biotechnology.		\$250,001 - \$500,000	None		
Unbooked Appointments Inc.  LOCATION: Newport Beach, CA, US DESCRIPTION: Online platform for appointment-based services.		\$50,001 - \$100,000	None		
Vanguard 500 Index FD Investor SHS (VFINX)		\$50,001 - \$100,000	Tax-Deferred		
Wells Fargo		\$250,001 - \$500,000	Interest	\$1 - \$200	\$1 - \$200
WeR.AI Inc.		\$100,001 -	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LOCATION: San Francisco, CA, US DESCRIPTION: Computer software.		\$250,000			
YouSolar Inc. LOCATION: Emeryville, CA, US DESCRIPTION: Solar battery systems.		None	None		

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
H2scan	Income	N/A	\$94,669.20
Croydon	Income	N/A	\$24,000.00
GLG	Consulting	N/A	\$2,860.00
Sempra	Deferred compensation	N/A	\$706,013.65

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Morgan Stanley	June 2015	Personal loan agreement	\$1,000,001 - \$5,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Director	mCloud
Director	NarrativeWave
Director	Linden Energy Services
Director	Delta Energy
Director	Sandton Technologies LLC
Director	Plndr LLC
Director	OneRoof Energy

Position	Name of Organization
COMMENTS: (no longer held)	
Director	BlueEarth Inc.
COMMENTS: (no longer held)	
Director	Endurance Wind Power
COMMENTS: (no longer held)	
Advisory Board	Century Park Capital Partners
Advisory Board	Stem, Inc.
CEO	H2Scan
COMMENTS: (no longer)	
President, CEO, CFO	Bit Stew System
Trustee	Allman Family Trust
Director	Croydon LLC
Director	H2scan
Director	YouSolar

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
July 1998	Michael Allman and Semptra	Deferred compensation agreement.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none"> <li>◦ AngelList Funds LOCATION: US</li> <li>◦ Celedon Technology 1 LOCATION: US</li> <li>◦ Fidelity Account 2</li> <li>◦ Morgan Stanley Family Trust</li> <li>◦ Morgan Stanley LAA Roth IRA (Owner: SP)</li> <li>◦ Morgan Stanley Roth IRA</li> </ul>
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- Morgan Stanley Traditional IRA
- Precision Capital
- Schwab Brokerage Account

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Michael Allman , 03/11/2018