



Filing ID #10009366

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Lisa Blunt Rochester  
**Status:** Congressional Candidate  
**State/District:** DE00

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2015  
**Filing Date:** 12/23/2015

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
ALPS ETF TRUST ALERIAN AMLP  DESCRIPTION: Sold 2015. Individual Closed Acct.		\$50,001 - \$100,000	None		
LISA BLUNT ROCHESTER IRA ⇒ 3M Company (MMM)  DESCRIPTION: Sold 2015		\$1,001 - \$15,000	Tax-Deferred		
LISA BLUNT ROCHESTER IRA ⇒ American Express Company (AXP)  DESCRIPTION: Sold 2015		\$1 - \$1,000	Tax-Deferred		
LISA BLUNT ROCHESTER IRA ⇒ Becton, Dickinson and Company (BDX)  DESCRIPTION: Sold 2015		\$1 - \$1,000	Tax-Deferred		
LISA BLUNT ROCHESTER IRA ⇒ Coca-Cola Company (KO)  DESCRIPTION: Sold 2015		\$1 - \$1,000	Tax-Deferred		
LISA BLUNT ROCHESTER IRA ⇒ DOUBLELINE TOTAL RETURN BOND I		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LISA BLUNT ROCHESTER IRA ⇒ ISHARES 1-3 YEAR CREDIT BND ETF		\$15,001 - \$50,000	Tax-Deferred		
LISA BLUNT ROCHESTER IRA ⇒ ISHARES CORE S&P MID-CAP ETF		\$15,001 - \$50,000	Tax-Deferred		
LISA BLUNT ROCHESTER IRA ⇒ iShares Core S&P Mid-Cap ETF (IJH)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Sold 2015					
LISA BLUNT ROCHESTER IRA ⇒ ISHARES CORE S&P SMALL-CAP ETF		\$1,001 - \$15,000	Tax-Deferred		
LISA BLUNT ROCHESTER IRA ⇒ ISHARES CORE US AGGREGATE BOND ETF		\$50,001 - \$100,000	Tax-Deferred		
LISA BLUNT ROCHESTER IRA ⇒ ISHARES EUROPE ETF		\$1,001 - \$15,000	Tax-Deferred		
LISA BLUNT ROCHESTER IRA ⇒ ishares Gold Trust (IAU)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Sold 2015					
LISA BLUNT ROCHESTER IRA ⇒ ISHARES INTERMEDIATE CREDIT BND ETF		\$15,001 - \$50,000	Tax-Deferred		
LISA BLUNT ROCHESTER IRA ⇒ ISHARES MBS ETF		\$15,001 - \$50,000	Tax-Deferred		
LISA BLUNT ROCHESTER IRA ⇒ ISHARES US PREFERRED STOCK ETF		\$1,001 - \$15,000	Tax-Deferred		
LISA BLUNT ROCHESTER IRA ⇒ JPMORGAN STATEGIC INCOME OP (JSOSX)		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Sold 2015					
LISA BLUNT ROCHESTER IRA ⇒ Money Market Cash		\$15,001 - \$50,000	Tax-Deferred		
LISA BLUNT ROCHESTER IRA ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY)		\$1 - \$1,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Sold 2015					
LISA BLUNT ROCHESTER IRA ⇒ PowerShares Emerging Markets Sovereign Debt Portfolio (PCY)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Sold 2015					
LISA BLUNT ROCHESTER IRA ⇒ SELECT SECTOR SPDR TRUST CONSUMER STAPLES INDEX		\$1,001 - \$15,000	Tax-Deferred		
LISA BLUNT ROCHESTER IRA ⇒ SELECT SECTOR SPDR TRUST HEALTH CARE SELECT INDEX		\$1,001 - \$15,000	Tax-Deferred		
LISA BLUNT ROCHESTER IRA ⇒ SPDR BARCLAYS HIGH YIELD BOND ETF		\$15,001 - \$50,000	Tax-Deferred		
LISA BLUNT ROCHESTER IRA ⇒ SPDR TR S&P 500 ETF TR		\$50,001 - \$100,000	Tax-Deferred		
LISA BLUNT ROCHESTER IRA ⇒ VANGUARD FTSE DEVELOPED MARKETS ETF		\$15,001 - \$50,000	Tax-Deferred		
LISA BLUNT ROCHESTER IRA ⇒ VANGUARD FTSE EMERGING MARK (VWO)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Sold 2015					
LISA BLUNT ROCHESTER IRA ⇒ Wells Fargo & Company (WFC)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Sold 2015					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ APPLE INC SENIOR NOTE M/W, RATE: 3.45		\$50,001 - \$100,000	None		
DESCRIPTION: APPLE INC SENIOR NOTE M/W, RATE: 3.45 037833BA7					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ BANK OF AMERICA CORP SENIOR MEDIUM TERM NOTE, RATE: 4.125		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	None
DESCRIPTION: BANK OF AMERICA CORP SENIOR MEDIUM TERM NOTE, RATE: 4.125 06051GFBo					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ BLOCK FINANCIAL CORP SR NOTE CLBL M/W CR		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
SENS, RATE: 5.5					
DESCRIPTION: BLOCK FINANCIAL CORP SR NOTE CLBL M/W CR SENS, RATE: 5.5 093662AE4					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ CELGENE CORP SENIOR NOTE M/W, RATE: 3.95		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
DESCRIPTION: CELGENE CORP SENIOR NOTE M/W, RATE: 3.95 151020AE4					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ CITIGROUP INC SENIOR NOTE, RATE: 4.45		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
DESCRIPTION: CITIGROUP INC SENIOR NOTE, RATE: 4.45 172967FW6					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ DIRECTV HLDGS LLC / DIRECTV SENIOR NOTE CALLABLE M/W, RATE: 4.45		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
DESCRIPTION: DIRECTV HLDGS LLC / DIRECTV SENIOR NOTE CALLABLE M/W, RATE: 4.45 25459HBL8					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ DISCOVERY COMMUNICATIONS LLC SENIOR NOTE M/W, RATE: 5.05		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
DESCRIPTION: DISCOVERY COMMUNICATIONS LLC SENIOR NOTE M/W, RATE: 5.05 25470DAC3					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ DOLLAR GENERAL CORP 3.25% 4/15/2023 (256677AC9)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
DESCRIPTION: Sold 9/2/15 DOLLAR GENERAL CORP 3.25% 4/15/2023 (256677AC9)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ DOUBLELINE TOTAL RETURN BOND I		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$201 - \$1,000
DESCRIPTION: DBLTX					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ FREEPORT-MCMORAN COPP 3.55% 3/1/2022 (35671DAU9)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
DESCRIPTION: Sold 10/23/2015 FREEPORT-MCMORAN COPP 3.55% 3/1/2022 (35671DAU9)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ GOLDMAN SACHS MEDIUM TERM NOTE CALLABLE M/W, RATE: 3.85		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
DESCRIPTION: GOLDMAN SACHS MEDIUM TERM NOTE CALLABLE M/W, RATE: 3.85 38141EC23					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ HCP INC SENIOR NOTE CALLABLE M/W, RATE: 4.0		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
DESCRIPTION: HCP INC SENIOR NOTE CALLABLE M/W 4% 40414LAN9					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ HESS CORPORATION SENIOR NOTE M/W, RATE: 8.125		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	None
DESCRIPTION: HESS CORPORATION SENIOR NOTE M/W 8.125% 42809HAB3					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ HEWLETT PACKARD CO SENIOR NOTE M/W, RATE: 3.75		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
DESCRIPTION: HEWLETT PACKARD CO SENIOR NOTE M/W, RATE: 3.75 428236BF9					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ HUMANA INC SENIOR NOTE M/W, RATE: 6.3		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
DESCRIPTION: HUMANA INC SENIOR NOTE M/W, RATE: 6.3 444859AU6					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE S&P MID-CAP ETF		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$201 - \$1,000
DESCRIPTION: IJH					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE S&P SMALL-CAP ETF		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$1 - \$200
DESCRIPTION: IJR					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE US AGGREGATE BOND ETF		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	\$201 - \$1,000
DESCRIPTION: AGG					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES EUROPE ETF		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: IEV					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES GOLD TRUST (IAU)		\$50,001 - \$100,000	None		
DESCRIPTION: Sold 12/17/15					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES MBS ETF  DESCRIPTION: MBB		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	\$201 - \$1,000
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES US PREFERRED STOCK ETF  DESCRIPTION: PFF		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	\$201 - \$1,000
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ JP MORGAN CHASE & CO SENIOR MEDIUM TERM NOTE, RATE: 4.25  DESCRIPTION: JP MORGAN CHASE & CO SENIOR MEDIUM TERM NOTE 4.25%		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	None
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ JP MORGAN CHASE & CO SENIOR NOTE, RATE: 6.3  DESCRIPTION: 46625HHL7 JP MORGAN CHASE & CO SENIOR NOTE, RATE: 6.3 65000		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	None
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ JPMORGAN STATEGIC INCOME OP (JSOSX)  DESCRIPTION: Sold 12/14/15		\$250,001 - \$500,000	Dividends	\$201 - \$1,000	None
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ KEYBANK NATIONAL ASSOCIATION MEDIUM TERM NOTE, RATE: 3.3  DESCRIPTION: KEYBANK NATIONAL ASSOCIATION MEDIUM TERM NOTE 3.3% 06/01/2025 49327M2K9		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ KINDER MORGAN ENERGY PARTNERS SENIOR NOTE M/W, RATE: 2.65  DESCRIPTION: 494550BR6 KINDER MORGAN ENERGY PARTNERS SENIOR NOTE M/W, RATE: 2.65 25000		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ MARATHON OIL CORP SENIOR NOTE CALLABLE M/W, RATE: 3.85  DESCRIPTION: 565849ALo MARATHON OIL CORP SENIOR NOTE CALLABLE M/W, RATE: 3.85 50000		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒		\$250,001 - \$500,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Money Market Cash					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ MORGAN ST DEAN WITTER SENIOR MEDIUM TERM NOTE M/W, RATE: 3.875		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
DESCRIPTION: 61746BDQ6 MORGAN ST DEAN WITTER SENIOR MEDIUM TERM NOTE M/W, RATE: 3.875 65000					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ MORGAN STANLEY SENIOR MEDIUM TERM NOTE M/W, RATE: 5.5		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	None
DESCRIPTION: MORGAN STANLEY SENIOR MEDIUM TERM NOTE M/W, RATE: 5.5 61747WAL3					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ MURPHY OIL CORP SR NOTE M/W CREDIT SENSITIVE, RATE: 2.5		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
DESCRIPTION: MURPHY OIL CORP SR NOTE M/W CREDIT SENSITIVE 2.5% 626717AE2					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ NEW YORK ST DORM AUTH ST PERS REV BDS CALLABLE, RATE: 5.0		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ NEWMONT MINING CORP SENIOR NOTE M/W, RATE: 5.125		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
DESCRIPTION: NEWMONT MINING CORP SENIOR NOTE M/W 5.125% 10/01/2019 651639AL0					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ NORDSTROM INC SENIOR NOTE CALLABLE M/W, RATE: 5.0		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
DESCRIPTION: 655664AR1 NORDSTROM INC SENIOR NOTE CALLABLE M/W, RATE: 5.0 25000					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ NVR INC SENIOR NOTE CALLABLE M/W, RATE: 3.95		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: NVR INC SENIOR NOTE CALLABLE M/W 3.95% 09/15/2022 INTEREST INCOME - SECURITIES PAID 493.75 FOR 25 SHARES ON PAYABLE DATE 03/15/2015 AND RECORD DATE 03/14/2015 62944TAE5					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ PENNSYLVANIA STATE GO BDS CALLABLE, RATE: 5.0		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ PRIVATE EXPORT FUNDING NOTE, RATE: 2.3		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	None
DESCRIPTION: PRIVATE EXPORT FUNDING NOTE, RATE: 2.3 742651DV1					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ RIO TINTO FIN USA LTD NOTE M/W, RATE: 3.5		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	None
DESCRIPTION: RIO TINTO FIN USA LTD NOTE M/W 3.5% 11/02/2020 855030AL6					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SELECT SECTOR SPDR TRUST CONSUMER STAPLES INDEX		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: XLP					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SELECT SECTOR SPDR TRUST HEALTH CARE SELECT INDEX		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: XLV					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR BARCLAYS HIGH YIELD BOND ETF		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$201 - \$1,000
DESCRIPTION: JNK					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR TR S&P 500 ETF TR		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	None
DESCRIPTION: SPY					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ STAPLES INC 2.75% 1/12/2018 (855030AL6)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
DESCRIPTION: Sold 8/4/15 STAPLES INC 2.75% 1/12/2018 (855030AL6)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ STARWOOD HOTELS & RESORTS SENIOR NOTE CALLABLE M/W, RATE: 3.125		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
DESCRIPTION: 85590AAP9 STARWOOD HOTELS & RESORTS SENIOR NOTE CALLABLE M/W, RATE: 3.125 25000					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ TD AMERITRADE HOLDING CORP SENIOR NOTE		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None



Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CALLABLE M/W, RATE: 3.625					
DESCRIPTION: 87236YADo TD AMERITRADE HOLDING CORP SENIOR NOTE CALLABLE M/W, RATE: 3.625 25000					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ TEXTRON INC SENIOR NOTE M/W, RATE: 5.6		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	None
DESCRIPTION: 883203BL4 TEXTRON INC SENIOR NOTE M/W, RATE: 5.6 25000					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ THE MOSAIC CO SENIOR NOTE CALLABLE M/W, RATE: 3.75		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
DESCRIPTION: 61945CAA1 THE MOSAIC CO SENIOR NOTE CALLABLE M/W, RATE: 3.75 65000					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VALERO ENERGY CORP NOTE M/W, RATE: 6.625		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
DESCRIPTION: 91913YAL4 VALERO ENERGY CORP NOTE M/W, RATE: 6.625 60000					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD FTSE DEVELOPED MARKETS ETF		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	\$1 - \$200
DESCRIPTION: VEA					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD FTSE EMERGING MARK (VWO)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$1 - \$200
DESCRIPTION: Sold 11/11/15					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VERIZON COMMUNICATIONS SENIOR NOTE CALLABLE M/W, RATE: 4.15		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	None
DESCRIPTION: VERIZON COMMUNICATIONS SENIOR NOTE CALLABLE M/W 4.15% 03/15/2024 INTEREST INCOME - SECURITIES PAID 518.75 FOR 25 SHARES ON PAYABLE DATE 03/15/2015 AND RECORD DATE 03/14/2015 92343VBY9					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ WESTERN UNION CO 2.875% 12/10/2017 (959802ARo)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Sold 8/5/15 WESTERN UNION CO 2.875% 12/10/2017 (959802ARo)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ YORK CNTY PA GO BDS CALLABLE, RATE: 4.75		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LISA BLUNT ROCHESTER ROTH IRA ⇒ ISHARES COHEN & STEERS REIT ETF		\$1 - \$1,000	Tax-Deferred		
LISA BLUNT ROCHESTER ROTH IRA ⇒ ISHARES CORE S&P MID-CAP ETF		\$1,001 - \$15,000	Tax-Deferred		
LISA BLUNT ROCHESTER ROTH IRA ⇒ ISHARES CORE S&P SMALL-CAP ETF		\$1,001 - \$15,000	Tax-Deferred		
LISA BLUNT ROCHESTER ROTH IRA ⇒ ISHARES CORE US AGGREGATE BOND ETF		\$15,001 - \$50,000	Tax-Deferred		
LISA BLUNT ROCHESTER ROTH IRA ⇒ ISHARES GOLD TRUST COM		\$1 - \$1,000	Tax-Deferred		
LISA BLUNT ROCHESTER ROTH IRA ⇒ ISHARES MSCI ALL CNTRY WRD MIN VOL ETF		\$1,001 - \$15,000	Tax-Deferred		
LISA BLUNT ROCHESTER ROTH IRA ⇒ ISHARES MSCI USA MIN VOL ETF		\$1,001 - \$15,000	Tax-Deferred		
LISA BLUNT ROCHESTER ROTH IRA ⇒ Money Market Cash		\$1,001 - \$15,000	Tax-Deferred		
LISA BLUNT ROCHESTER ROTH IRA ⇒ SPDR BARCLAYS HIGH YIELD BOND ETF		\$1,001 - \$15,000	Tax-Deferred		
LISA BLUNT ROCHESTER TD AMERITRADE INDV		\$1,000,001 - \$5,000,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Zero balance as of 12/22/15. Account consolidated to trust account, closed 2015.					
M&T Bank Account		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
ND LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ Money Market Cash		\$250,001 - \$500,000	Interest	\$1 - \$200	None
PowerShares Emerging Markets Sovereign Debt Portfolio (PCY)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Sold 2015. Individual Closed Acct.					
Santander Bank Account		\$50,001 - \$100,000	Interest	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Wells Fargo Bank Account		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

\* Asset class details available at the bottom of this form.

**SCHEDULE C: EARNED INCOME**

Source	Type	Amount Current Year to Filing	Amount Preceding Year
University of Massachusetts	Salary	\$63,000	\$63,000

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
	BMW Financial Services	January 2009	Auto Loan	\$15,001 - \$50,000
	Navient	January 2009	Student Loan	\$15,001 - \$50,000

**SCHEDULE E: POSITIONS**

None disclosed.

**SCHEDULE F: AGREEMENTS**

None disclosed.

**SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

None disclosed.

**SCHEDULE A ASSET CLASS DETAILS**

- LISA BLUNT ROCHESTER IRA
- LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR
- LISA BLUNT ROCHESTER ROTH IRA
- ND LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR

**EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

Lisa's husband Charles R Rochester Jr. deceased September 25, 2014, his assets remain in his estate, with Reginald Reid as executor. Estate is in process of being distributed, and will be completed in 2016. Per phone call with Ethics Committee representative on 12/21/15, this financial disclosure does not need to contain assets in Charles' estate, only ones held joint name. In 2016, disclosure will reflect the assets that have converted into Lisa's name upon final execution of the estate.

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Lisa Blunt Rochester , 12/23/2015