



Filing ID #10022231

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Luis V. Gutierrez  
**Status:** Member  
**State/District:** IL04

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2017  
**Filing Date:** 07/27/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Book ⇒ Book [OT]  DESCRIPTION: Memoir		\$1,001 - \$15,000	royalties	\$5,001 - \$15,000	<input type="checkbox"/>
Charles Schwab #1-72 ⇒ Powershares QQQ Trust (QQQ) [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Charles Schwab #1-72 ⇒ Schwab Fund US Broad Mkt (FNDB) [EF]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Charles Schwab #1-72 ⇒ Schwab International Equity (SCHF) [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Charles Schwab #1-72 ⇒ Schwab US Broad Market (SCHB) [EF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab #1-72 ⇒ SCHWAB US LARGE CAP ETF (SCHX) [EF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab #1-72 ⇒ Schwab US Large Cap Growth (SCHG) [EF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Charles Schwab #1-72 ⇒ Schwab US SML Cap (SCHA) [EF]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Charles Schwab #1-72 ⇒ SPDR Dow Jones Exch Avrg (DIA) [EF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Charles Schwab #4-11 ⇒ AGNC Investment (AGNC) [RE]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Charles Schwab #4-11 ⇒ Chatham Lodging Trust (CLDT) [RE]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Charles Schwab #4-11 ⇒ WP Carey Inc (WPC) [RE]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Charles Schwab #5-14 ⇒ Matthews Asia Dividend Fund (MAPIX) [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Charles Schwab #5-14 ⇒ T ROWE PRICE HEALTH (PRHSX) [MF]	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
COMMENTS: .					
Pacific Life Annuity ⇒ AFIS Global Gr Inc [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Pacific Life Annuity ⇒ AFIS Global Growth [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Pacific Life Annuity ⇒ AFIS High Income Bond [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Pacific Life Annuity ⇒ AFIS International [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Pacific Life Annuity ⇒ AFIS New World [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Pacific Life Annuity ⇒ Fidelity VIP Strat Inc [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Pacific Life Annuity ⇒ Franklin Rising Dividend [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Pacific Life Annuity ⇒ FTA Div & Inc Allocation [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Pacific Life Annuity ⇒ Invesco V.I. Eqty & Inc [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Pacific Life Annuity ⇒ Lord Abbett Bond Debent [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Pacific Life Annuity ⇒ MS Real Estate [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Pacific Life Annuity ⇒ Oppenheimer Main Street [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Pacific Life Annuity ⇒ PAM Floating Rate Income [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Pacific Life Annuity ⇒ PAM High Yield Bond [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Pacific Life Annuity ⇒ Port Opt Moderate [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Pacific Life Annuity ⇒ T Rowe Dividend Growth [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Pacific Life Annuity ⇒ WAMCO Diversified Bond [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
PNC Checking Account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Prudential Annuity ⇒ BlackRock/Loomis Sayles Bond [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Prudential Annuity ⇒ Cohen & Steers Realty [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Prudential Annuity ⇒ Goldman Sachs Multi-Asset [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Prudential Annuity ⇒ GS Large-Cap Value Port [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Prudential Annuity ⇒ Hotchkis & Wiley Large-Cap Value [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Prudential Annuity ⇒ Investment Grade Bond [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Prudential Annuity ⇒ JP Morgan Intl Equity [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Prudential Annuity ⇒ Lord Abbett Core Fixed Income Port [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Prudential Annuity ⇒ MFS Global Equity [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Prudential Annuity ⇒ MFS Large-Cap Value [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Prudential Annuity ⇒ Neuberger Berman/LSV Mid-Cap Value [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Prudential Annuity ⇒ Prudential Core Bond Portfolio [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Prudential Annuity ⇒ QMA US Equity Alpha [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Prudential Annuity ⇒ Small Cap Value [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Prudential Annuity ⇒ WEDGE Capital Mid-Cap Value [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Prudential Annuity ⇒ Western Asset Core Plus Bond [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwab #1-72 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		03/6/2017	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwab #1-72 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		06/5/2017	S	\$100,001 - \$250,000	<input type="checkbox"/>
Charles Schwab #1-72 ⇒ Powershares QQQ Trust (QQQ) [EF]		06/2/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Powershares QQQ Trust (QQQ) [EF]		10/17/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab #1-72 ⇒ Schwab Fund US Broad Mkt (FNDB) [EF]		06/2/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab #1-72 ⇒ Schwab Fund US Small Com (FNDA) [EF]		06/2/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab Fund US Small Com (FNDA) [EF]		07/10/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab #1-72 ⇒ Schwab International Equity (SCHF) [EF]		06/2/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab International Equity (SCHF) [EF]		07/10/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab International Equity (SCHF) [EF]		07/20/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab International Equity (SCHF) [EF]		07/21/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab International Equity (SCHF) [EF]		11/8/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab International Equity (SCHF) [EF]		11/16/2017	P	\$15,001 - \$50,000	
Charles Schwab #1-72 ⇒ Schwab International Equity (SCHF) [EF]		12/13/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab US Broad Market (SCHB) [EF]		02/13/2017	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Charles Schwab #1-72 ⇒ Schwab US Broad Market (SCHB) [EF]		03/17/2017	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwab #1-72 ⇒ Schwab US Broad Market (SCHB) [EF]		07/14/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab US Broad Market (SCHB) [EF]		07/20/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab US Broad Market (SCHB) [EF]		07/21/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab US Broad Market (SCHB) [EF]		10/17/2017	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab #1-72 ⇒ Schwab US Broad Market (SCHB) [EF]		11/16/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ SCHWAB US LARGE CAP ETF (SCHX) [EF]		02/13/2017	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab #1-72 ⇒ SCHWAB US LARGE CAP ETF (SCHX) [EF]		03/17/2017	P	\$15,001 - \$50,000	
Charles Schwab #1-72 ⇒ SCHWAB US LARGE CAP ETF (SCHX) [EF]		06/2/2017	P	\$50,001 - \$100,000	
Charles Schwab #1-72 ⇒ SCHWAB US LARGE CAP ETF (SCHX) [EF]		07/10/2017	P	\$15,001 - \$50,000	
Charles Schwab #1-72 ⇒ SCHWAB US LARGE CAP ETF (SCHX) [EF]		07/10/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ SCHWAB US LARGE CAP ETF (SCHX) [EF]		07/11/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ SCHWAB US LARGE CAP ETF (SCHX) [EF]		07/12/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ SCHWAB US LARGE CAP ETF (SCHX) [EF]		07/13/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ SCHWAB US LARGE CAP ETF (SCHX) [EF]		07/18/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒		07/20/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Schwab US Large Cap ETF (SCHX) [EF]					
Charles Schwab #1-72 ⇒ Schwab US Large Cap ETF (SCHX) [EF]		07/21/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab US Large Cap ETF (SCHX) [EF]		11/8/2017	P	\$15,001 - \$50,000	
Charles Schwab #1-72 ⇒ Schwab US Large Cap ETF (SCHX) [EF]		12/13/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab US Large Cap Growth (SCHG) [EF]		07/10/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab US Large Cap Growth (SCHG) [EF]		07/11/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab US Large Cap Growth (SCHG) [EF]		07/12/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab US Large Cap Growth (SCHG) [EF]		07/13/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab US Large Cap Growth (SCHG) [EF]		07/14/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab US Large Cap Growth (SCHG) [EF]		07/18/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab US Large Cap Growth (SCHG) [EF]		07/21/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab US Large Cap Growth (SCHG) [EF]		12/18/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ Schwab US Small Cap (SCHA) [EF]		12/18/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ SPDR Dow Jones Exch Avrg (DIA) [EF]		11/8/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ SPDR Dow Jones Exch Avrg (DIA) [EF]		11/16/2017	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwab #1-72 ⇒ SPDR Dow Jones Exch Avrg (DIA) [EF]		12/13/2017	P	\$1,001 - \$15,000	
Charles Schwab #1-72 ⇒ SPDR Dow Jones Exch Avrg (DIA) [EF]		12/18/2017	P	\$1,001 - \$15,000	
Charles Schwab #4-11 ⇒ AGNC Investment (AGNC) [RE]	SP	07/24/2017	P	\$1,001 - \$15,000	
Charles Schwab #4-11 ⇒ AGNC Investment (AGNC) [RE]	SP	10/5/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab #4-11 ⇒ Apollo Coml Real Est (ARI) [RE]	SP	08/2/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab #4-11 ⇒ Apollo Coml Reat Est (ARI) [RE]	SP	07/24/2017	P	\$1,001 - \$15,000	
Charles Schwab #4-11 ⇒ Bluerock Residential (BRG) [RE]	SP	07/24/2017	P	\$1,001 - \$15,000	
Charles Schwab #4-11 ⇒ Bluerock Residential (BRG) [RE]	SP	09/13/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab #4-11 ⇒ Chatham Lodging Trust (CLDT) [RE]	SP	07/24/2017	P	\$1,001 - \$15,000	
Charles Schwab #4-11 ⇒ Chatham Lodging Trust (CLDT) [RE]	SP	10/5/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab #4-11 ⇒ EPR Properties (EPR) [RE]	SP	07/24/2017	P	\$1,001 - \$15,000	
Charles Schwab #4-11 ⇒ EPR Properties (EPR) [RE]	SP	10/17/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab #4-11 ⇒ LTC Properties (LTC) [RE]	SP	07/24/2017	P	\$1,001 - \$15,000	
Charles Schwab #4-11 ⇒ LTC Properties (LTC) [RE]	SP	09/13/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab #4-11 ⇒ Medical Properties (MPW) [RE]	SP	07/24/2017	P	\$1,001 - \$15,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwab #4-11 ⇒ Medical Properties (MPW) [RE]	SP	08/2/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab #4-11 ⇒ Realty Incm Corp (O) [RE]	SP	07/24/2017	P	\$1,001 - \$15,000	
Charles Schwab #4-11 ⇒ Realty Incm Corp (O) [RE]	SP	10/16/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab #4-11 ⇒ Simon Property (SPG) [RE]	SP	08/3/2017	P	\$1,001 - \$15,000	
Charles Schwab #4-11 ⇒ Simon Property (SPG) [RE]	SP	10/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab #4-11 ⇒ Stag Industrial Inc (STAG) [RE]	SP	07/24/2017	P	\$1,001 - \$15,000	
Charles Schwab #4-11 ⇒ Stag Industrial Inc (STAG) [RE]	SP	10/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab #4-11 ⇒ Vanguard Global Ex US Real Est (VNQI) [RE]	SP	07/24/2017	P	\$1,001 - \$15,000	
Charles Schwab #4-11 ⇒ Vanguard Global Ex US Real Est (VNQI) [RE]	SP	10/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab #4-11 ⇒ Vanguard Reit (VNQ) [RE]	SP	07/24/2017	P	\$1,001 - \$15,000	
Charles Schwab #4-11 ⇒ Vanguard Reit (VNQ) [RE]	SP	10/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab #4-11 ⇒ Ventas Inc (VTR) [RE]	SP	08/2/2017	P	\$1,001 - \$15,000	
Charles Schwab #4-11 ⇒ Ventas Inc (VTR) [RE]	SP	10/17/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab #4-11 ⇒ WP Carey Inc (WPC) [RE]	SP	07/24/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwab #4-11 ⇒ WP Carey Inc (WPC) [RE]	SP	11/8/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab #5-14 ⇒ Matthews Asia Dividend Fund (MAPIX) [MF]	SP	07/20/2017	P	\$1,001 - \$15,000	
Charles Schwab #5-14 ⇒ Matthews Asia Dividend Fund (MAPIX) [MF]	SP	07/21/2017	P	\$1,001 - \$15,000	
Charles Schwab #5-14 ⇒ Matthews Asia Dividend Fund (MAPIX) [MF]	SP	11/20/2017	P	\$1,001 - \$15,000	
Charles Schwab #5-14 ⇒ T ROWE PRICE HEALTH (PRHSX) [MF]	SP	07/10/2017	P	\$1,001 - \$15,000	
Charles Schwab #5-14 ⇒ T ROWE PRICE HEALTH (PRHSX) [MF]	SP	07/19/2017	P	\$1,001 - \$15,000	
Charles Schwab #5-14 ⇒ T ROWE PRICE HEALTH (PRHSX) [MF]	SP	07/20/2017	P	\$1,001 - \$15,000	
Charles Schwab #5-14 ⇒ T ROWE PRICE HEALTH (PRHSX) [MF]	SP	11/21/2017	P	\$1,001 - \$15,000	
Charles Schwab #5-14 ⇒ T ROWE PRICE HEALTH (PRHSX) [MF]	SP	12/13/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Pacific Life Annuity ⇒ AFIS Global Gr Inc [MF]		12/18/2017	P	\$15,001 - \$50,000	
Pacific Life Annuity ⇒ AFIS Global Growth [MF]		12/18/2017	P	\$15,001 - \$50,000	
Pacific Life Annuity ⇒ AFIS High Income Bond [MF]		12/18/2017	P	\$15,001 - \$50,000	
Pacific Life Annuity ⇒ AFIS International [MF]		12/18/2017	P	\$15,001 - \$50,000	
Pacific Life Annuity ⇒ AFIS New World [MF]		12/18/2017	P	\$15,001 - \$50,000	
Pacific Life Annuity ⇒ Fidelity VIP Strat Inc [MF]		12/18/2017	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Pacific Life Annuity ⇒ Franklin Rising Dividend [MF]		02/27/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
Pacific Life Annuity ⇒ FTA Div & Inc Allocation [MF]		02/27/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
Pacific Life Annuity ⇒ Invesco V.I. Wqty & Inc [MF]		02/27/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
Pacific Life Annuity ⇒ Lord Abbett Bond Debent [MF]		12/18/2017	P	\$15,001 - \$50,000	
Pacific Life Annuity ⇒ MS Real Estate [MF]		02/27/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
Pacific Life Annuity ⇒ Oppenheimer Main Street [MF]		02/27/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
Pacific Life Annuity ⇒ Oppenheimer Main Street [MF]		12/18/2017	P	\$15,001 - \$50,000	
Pacific Life Annuity ⇒ PAM Floating Rate Income [MF]		02/27/2017	P	\$250,001 - \$500,000	
Pacific Life Annuity ⇒ PAM Floating Rate Income [MF]		12/18/2017	S	\$250,001 - \$500,000	<input type="checkbox"/>
Pacific Life Annuity ⇒ PAM High Yield Bond [MF]		12/18/2017	P	\$15,001 - \$50,000	
Pacific Life Annuity ⇒ Port Opt Moderate [MF]		12/18/2017	P	\$15,001 - \$50,000	
Pacific Life Annuity ⇒ T.Rowe Dividend Growth [MF]		02/27/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
Pacific Life Annuity ⇒ WAMCO Diversified Bond [MF]		12/18/2017	P	\$15,001 - \$50,000	
Prudential Annuity ⇒ Blackrock iShares ETF [EF]	SP	04/28/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Prudential Annuity ⇒	SP	01/12/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
BlackRock iShares ETF [EF]					
Prudential Annuity ⇒ Blackrock/Loomis Sayles Bond [MF]	SP	01/12/2017	P	\$1,001 - \$15,000	
Prudential Annuity ⇒ Blackrock/Loomis Sayles Bond [MF]	SP	12/4/2017	P	\$1,001 - \$15,000	
Prudential Annuity ⇒ Boston Partners [MF]	SP	04/28/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Prudential Annuity ⇒ Boston Partners Large-Cap Value [MF]	SP	01/12/2017	P	\$1,001 - \$15,000	
Prudential Annuity ⇒ Cohen & Steers Realty [MF]	SP	01/12/2017	P	\$1,001 - \$15,000	
Prudential Annuity ⇒ Goldman Sachs Multi-Asset [MF]	SP	04/28/2017	P	\$1,001 - \$15,000	
Prudential Annuity ⇒ GS Large-Cap Value Port [MF]	SP	01/12/2017	P	\$15,001 - \$50,000	
Prudential Annuity ⇒ GS Large-Cap Value Port [MF]	SP	04/28/2017	P	\$1,001 - \$15,000	
Prudential Annuity ⇒ Hotchkis & Wiley Large-Cap Value [MF]	SP	01/12/2017	P	\$15,001 - \$50,000	
Prudential Annuity ⇒ Investment Grade Bond [MF]	SP	01/3/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Prudential Annuity ⇒ Investment Grade Bond [MF]	SP	02/2/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Prudential Annuity ⇒ Investment Grade Bond [MF]	SP	03/2/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Prudential Annuity ⇒ JP Morgan Intl Equity [MF]	SP	01/12/2017	P	\$1,001 - \$15,000	
Prudential Annuity ⇒ MFS Global Equity [MF]	SP	01/12/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Prudential Annuity ⇒ MFS Large-Cap Value [MF]	SP	01/3/2017	P	\$1,001 - \$15,000	
Prudential Annuity ⇒ MFS Large-Cap Value [MF]	SP	01/12/2017	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Prudential Annuity ⇒ Neuberger Berman/LSV Mid-Cap Value [MF]	SP	01/12/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Prudential Annuity ⇒ QMA US Equity Alpha [MF]	SP	01/3/2017	P	\$1,001 - \$15,000	
Prudential Annuity ⇒ QMA US Equity Alpha [MF]	SP	01/12/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Prudential Annuity ⇒ QMA US Equity Alpha [MF]	SP	02/2/2017	P	\$1,001 - \$15,000	
Prudential Annuity ⇒ QMA US Equity Alpha [MF]	SP	12/4/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Prudential Annuity ⇒ Small Cap Value [MF]	SP	01/12/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Prudential Annuity ⇒ WEDGE Capital Mid-Cap Value [MF]	SP	01/12/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Prudential Annuity ⇒ Western Asset Core Plus Bond [MF]	SP	01/3/2017	P	\$1,001 - \$15,000	
Prudential Annuity ⇒ Western Asset Core Plus Bond [MF]	SP	01/12/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Gutierrez for Congress	Spouse salary	N/A

## SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Member of Advisory Council	Congressional Hispanic Caucus Institute

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Univision Network	03/5/2017	03/6/2017	Chicago - Miami - Chicago	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>Book LOCATION: US DESCRIPTION: Memoir</li><li>Charles Schwab #1-72 LOCATION: US DESCRIPTION: Brokerage Account</li><li>Charles Schwab #4-11 (Owner: SP) LOCATION: US DESCRIPTION: Brokerage Account</li><li>Charles Schwab #5-14 (Owner: SP) LOCATION: US DESCRIPTION: Brokerage Account</li><li>Pacific Life Annuity</li><li>Prudential Annuity (Owner: SP)</li></ul>
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Luis V. Gutierrez , 07/27/2018