

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A  
For use by Members, officers, and employees

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**HAND  
DELIVERED**

Joseph A. Sestak, Jr.

(Full Name)

610-892-8623

(Daytime Telephone)

2010 JUN 30 PM 4:25

U.S. (Office Use Only)

Filer Status ☒ Member of the U.S. House of Representatives

State: PA District: 07

☐ Officer Or Employee

Employing Office:

Report Type

☐ Annual (May 15)

☒ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule I.		If yes, complete and attach Schedule VI.	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule II.		If yes, complete and attach Schedule VII.	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule III.		If yes, complete and attach Schedule VIII.	
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule IV.		If yes, complete and attach Schedule IX.	
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	
If yes, complete and attach Schedule V.			

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE I - EARNED INCOME

Name Joseph A. Sestak, Jr.

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Institute For Defense Analyses, Alexandria, VA	Spouse Salary	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>	<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
<p>Adamis Pharmaceuticals Corp, Common Stock</p>	<p>\$1 - \$1,000</p>	<p>None</p>	<p>NONE</p>	<p>E</p>
<p>Celegy Common Stock (acquired by Adamis Pharmaceuticals Corp April 2009)</p>	<p>None</p>	<p>None</p>	<p>NONE</p>	<p>E</p>
<p>Time Warner Inc, Common (spun off TWCable and AOL)</p>	<p>\$1,001 - \$15,000</p>	<p>DIVIDENDS</p>	<p>\$1 - \$200</p>	<p>E</p>
<p>AOL Inc, Common (Spun off from Time Warner Inc)</p>	<p>\$1 - \$1,000</p>	<p>None</p>	<p>NONE</p>	<p>E</p>
<p>Time Warner Cable, Common (Spun off from Time Warner Inc.)</p>	<p>\$1 - \$1,000</p>	<p>None</p>	<p>NONE</p>	<p>E</p>

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Airtran Holdings, Inc, Common Stock	\$1,001 - \$15,000	None	NONE	
Amazon.Com, Common Stock	\$15,001 - \$50,000	None	NONE	
American Airlines, Common Stock	\$1 - \$1,000	None	NONE	
Ameritrade Money Market	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Amgen Inc., Common Stock	\$1,001 - \$15,000	None	NONE	
Ariba Inc., Common Stock	\$1 - \$1,000	None	NONE	
Bank of America Common Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Bank of America Checking Account	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
Celera Corp (Formerly Applera Corp-Celera Genomic)	\$1,001 - \$15,000	None	NONE	
Checkpoint Systems, Inc., Common Stock	\$15,001 - \$50,000	None	NONE	
Cisco Systems, Common Stock	\$1,001 - \$15,000	None	NONE	
Citigroup Inc. Common Stock	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
Corning, Common Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
CuraGen Corporation (Merged with Celldex Therapeutics in stock exchange)	None	None	NONE	E

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Celldex Therapeutics, Inc (Shares received thru merger with CuraGen Corporation)	\$1 - \$1,000	None	NONE	E	
Davita Inc., Common Stock	\$15,001 - \$50,000	None	NONE		
E-Trade Bank, Russell 2000 Index Fund	None	DIVIDENDS	\$1 - \$200	S	
E-Trade Bank, International Index Fund	None	DIVIDENDS	\$1 - \$200	S	
E-Trade Bank, S&P 500 Index Fund	None	DIVIDENDS	\$1 - \$200	S	
E-Trade Bank, Complete Savings Account	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000		
E-Trade Securities, Cash Account, Trust Money Market	\$15,001 - \$50,000	INTEREST	\$1 - \$200		
EMC Corp, Common Stock	\$1,001 - \$15,000	None	NONE		
Exxon Corp., Common Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000		
F5Networks, Inc. Common Stock	\$15,001 - \$50,000	None	NONE		
Home Depot, Common Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200		
Honda Motor Co., Common Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200		
Hovnanian Enterprise Inc., Common Stock	\$1,001 - \$15,000	None	NONE		
Internet Capital Group, Inc., Common Stock	\$1 - \$1,000	None	NONE		

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JDS Uniphase, Common Stock	\$1,001 - \$15,000	None	NONE
Kimberly Clark, Common Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
Kodak, Common Stock	\$1 - \$1,000	None	NONE
NASDAQ, 100 Trust	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200
Navy Federal Credit Union, IRA Share Certificate/ Transitional IRA	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000
Navy Federal Credit Union Money Market and Savings Account	\$100,001 - \$250,000	DIVIDENDS	\$1,001 - \$2,500
Nokia Corporation, Common Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000
Nuance Communications (acquired ScanSoft and Speechworks Intl)	\$1 - \$1,000	None	NONE
Pentagon Federal Credit Union, Savings Account, and CD's	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000
Pfizer, Common Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000
Phillips Petroleum, Common Stock	\$1,001 - \$15,000	None	NONE
Putnam Growth and Income Mutual Funds	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000
Putnam Growth And Income Funds, IRA Mutual Funds	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Red Hat, Common Stock	\$1,001 - \$15,000	None	NONE	
Sun Microsystems Inc., Common Stock (JAVA)	\$1 - \$1,000	None	NONE	
Sunrise Assisted Living, Inc. Common Stock	\$1,001 - \$15,000	None	NONE	
Talk Visual Corp	\$1 - \$1,000	None	NONE	
Thomas & Betts Corporation, Common Stock	\$1,001 - \$15,000	None	NONE	
TIAA-CREF Equity Fund - CREF Global Equity Variable Annuity	\$50,001 - \$100,000	None	NONE	
TIAA-CREF - CREF Stock Variable Annuity	\$250,001 - \$500,000	None	NONE	
TIAA-CREF - TIAA Traditional Fixed Income Annuity	\$100,001 - \$250,000	None	NONE	
TIAA-CREF - CREF Growth Variable Annuity	\$50,001 - \$100,000	None	NONE	
Toyota Motor Corp, Common Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Turnstone Systems, Inc., Common Stock (final liquidation)	None	Other: Return of Capital	\$1 - \$200	S
U.S. Savings Bond, CRZ	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
USAA Tax Exempt, Money Market Funds	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Walmart, Common Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

# **SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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Xerox Corp., Common Stock	\$1,001 - \$15,000	None	NONE	



# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Adamis Pharmaceuticals Corp, Common Stock (acquired in exchange for Celegy Common Stock as part of Merger)	E	N/A	April 2009	Below \$1,000
	AOL Inc, Common (Spun off from Time Warner Inc)	E	N/A	Dec 2009	Below \$1,000
	Celegy Common Stock (acquired by Adamis Pharmaceuticals Corp April 2009)	E	N/A	April 2009	Below \$1,000
	Celldex Therapeutics, Inc (shares received thru merger with CuraGen Corporation)	E	N/A	Oct 2009	Below \$1,000
	CuraGen Corporation (Merged with Celldex Therapeutics in stock exchange)	E	N/A	Oct 2009	Below \$1,000
	E-Trade International Index Fund	S	No	Mar 2009	\$1,001 - \$15,000
	E-Trade Russell 2000 Index Fund	S	No	Mar 2009	\$1,001 - \$15,000
	E-Trade S&P 500 Index Fund	S	No	Mar 2009	\$1,001 - \$15,000
	Time Warner Cable, Common ( Spun off from Time Warner Inc.)	E	N/A	Mar 2009	Below \$1,000
	Turnstone Systems, Inc., Common Stock (completed liquidation)	S	No	Oct 2009	Below \$1,000

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Shorenstein Properties, LLC	April 30	DC-NYC-Philadelphia- Newtown Square, PA	N	Y	N	None