



Filing ID #20010313

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Carolyn B. Maloney**Status:** Member**State/District:** NY12

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		GARDEN CITY NY PUB IMPT BDS 2018 A G/O LTD B/E [GS]	P	08/08/2018	09/14/2018	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New DESCRIPTION: GARDEN CITY NY PUB IMPT BDS 2018 A G/O LTD, B/E, COUPON 3.00%, DUE 08-01-33, CUSIP 365154RH8					
		GARDEN CITY NY PUB IMPT BDS 2018 SER A G/O LTD B/E OID [GS]	P	08/08/2018	09/14/2018	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New DESCRIPTION: GARDEN CITY NY PUB IMPT BDS 2018 SER A G/O LTD B/E OID,, COUPON 3.00%, DUE 08-01-34, CUSIP 365154RJ4					
		NEW YORK NY CITY MUN WTR FIN ATH WTR SWR SYS SEC GEN RESOL SER FF REV B\E [GS]	P	08/29/2018	09/14/2018	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New DESCRIPTION: NEW YORK NY CITY MUN WTR FIN ATH WTR SWR SYS SEC GEN RESOL SER FF REV B\E, COUPON 5.00%, DUE 06-15-39, CUSIP 64972GFU3					
		NEW YORK NY CITY TRANSITIONAL FIN AUTH REV SUBSER B-1 [GS]	P	08/23/2018	09/14/2018	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New DESCRIPTION: NEW YORK NY CITY TRANSITIONAL FIN AUTH REV SUBSER B-1, COUPON 5.00%, DUE 08-01-42, CUSIP 64971WNM8					
		NEW YORK NY FISCAL 2009 SUBSER A 1 G/O UNLTD B\E OID [GS]	E	08/27/2018	09/14/2018	\$50,001 - \$100,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New DESCRIPTION: EXCHANGE OUT - NEW YORK NY FISCAL 2009 SUB SER A-1 G/O UNLTD B\ E OID, COUPON 4.75%, DUE 08-15-25, CUSIP 64966G7J5					
	NEW YORK NY FISCAL 20XXX PARTIAL CALL 64966G-7J-5 OID [GS]	E	08/27/2018	09/14/2018	\$100,001 - \$250,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: EXCHANGE IN - NEW YORK NY FISCAL 20XXX PARTIAL CALL 64966G-7J-5 OID, COUPON 4.75%, DUE 09-21-18, CUSIP 649661915					
	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV SER A B/E [GS]	P	08/06/2018	09/14/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: NEW YORK ST DORM AUTH ST PERS INCOME TAX REV SER A B/E, COUPON 5.00%, DUE 02-15-43, CUSIP 64990EKW6					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Carolyn B. Maloney , 09/18/2018