

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

FORM A

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For use by Members, officers, and employees

**HAND  
DELIVERED**

LEGISLATIVE RESOURCE CENTER

Ralph Bradley Miller

(Full Name)

202-225-3032

(Daytime Telephone)

2012 MAY 15 PM 2:35

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

Filer Status ☒ Member of the U.S. House of Representatives State: NC District: 13

☐ Officer Or Employee Employing Office:

Report Type ☒ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Mellife Insurance Co. Of Connecticut	Attorney Fee from structured settlement	\$1,650

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A <b>Asset and/or Income Source</b> <small>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use ticker symbols).  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.  For rental or other real property held for investment, provide a complete address.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</small>	BLOCK B <b>Year-End Value of Asset</b> <small>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</small>	BLOCK C <b>Type of Income</b> <small>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</small>	BLOCK D <b>Amount of Income</b> <small>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</small>	BLOCK E <b>Transaction</b> <small>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</small>
Morgan Stanley Smith Barney (SEP)	\$15,001 - \$50,000			
Dreyfus Liquid Assets Inc.	\$1,001 - \$15,000			
1. Accenture PLC	\$1,001 - \$15,000	None	NONE	
2. Covidien PLC	\$1 - \$1,000	None	NONE	
3. Weatherford Int. LTD	\$1 - \$1,000	None	NONE	
4. AT&T Inc.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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5. Air Products & Chemicals Inc.	\$1 - \$1,000	None	NONE	
6. American Electric Power Co. Inc.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
7. American Express Co.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
8. Apple Inc.	\$1 - \$1,000	DIVIDENDS	\$201 - \$1,000	
9. Baxter Intl. Inc.	\$1 - \$1,000	None	NONE	
10. Blackrock Inc.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
11. Bristol Myers Squibb Co.	\$1 - \$1,000	DIVIDENDS	\$201 - \$1,000	
12. Cardinal Health Inc.	\$1 - \$1,000	None	NONE	
13. Century Link Inc.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
14. Chevron Corp.	\$1 - \$1,000	None	NONE	
15. Colgate Palmolive Co.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
16. Crown Castle Intl.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
17. Walt Disney Co.	\$1 - \$1,000	None	NONE	
18. Dollar Tree Inc.	\$1 - \$1,000	DIVIDENDS	\$201 - \$1,000	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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19. Exxon Mobil Corp.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
20. General Electric Co.	\$1 - \$1,000	None	NONE	
21. Honeywell Intl. Inc.	\$1 - \$1,000	DIVIDENDS	\$201 - \$1,000	
22. JP Morgan Chase & Co.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
23. Johnson Controls Inc.	\$1 - \$1,000	None	NONE	
24. Microsoft Inc.	\$1,001 - \$15,000	None	NONE	
25. Norfolk Southern Corp.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
26. Oracle Corp.	\$1 - \$1,000	None	NONE	
27. PNC Financial Services Group	\$1 - \$1,000	None	NONE	
28. PepsiCo Inc.	\$1 - \$1,000	DIVIDENDS	\$201 - \$1,000	
29. Phillip Morris Intl. Inc.	\$1 - \$1,000	DIVIDENDS	\$201 - \$1,000	
30. Qualcomm Inc.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
31. Schlumberger LTD	\$1 - \$1,000	None	NONE	
32. Suncor Energy Inc. NEW	\$1 - \$1,000	None	NONE	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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33. Target Corp.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
34. Travelers Companies Inc.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
35. United Technologies Corp.	\$1 - \$1,000	None	NONE	
36. Visa Inc. Com	\$1 - \$1,000	DIVIDENDS	\$201 - \$1,000	
37. Walgreen Co. NEW	\$1,001 - \$15,000	None	NONE	
38. Wisconsin Energy Corp. Holding Co.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
39. Yum Brands Inc.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
40. Powershares QQQ	\$1 - \$1,000	None	NONE	
41. Macys Inc.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
42. Monsanto	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
43. Adobe Systems Inc.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
44. British American Tobacco	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
45. General Mills	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
46. Halliburton Co. Holdings Co.	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**Name **Ralph Bradley Miller**

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	<b>47. Kimberly Clark Corp.</b>	<b>\$1,001 - \$15,000</b>	<b>DIVIDENDS</b>	<b>\$201 - \$1,000</b>	
	<b>48. Western Union Company</b>	<b>\$1,001 - \$15,000</b>	<b>DIVIDENDS</b>	<b>\$201 - \$1,000</b>	
	<b>American Funds</b>				
	<b>1. Euro Pacific Growth Fund-A</b>	<b>\$1,001 - \$15,000</b>	<b>DIVIDENDS</b>	<b>\$1 - \$200</b>	
	<b>2. The Investment Company of America-A</b>	<b>\$1,001 - \$15,000</b>	<b>None</b>	<b>NONE</b>	
	<b>Legislative Retirement System (North Carolina)</b>	<b>\$1,001 - \$15,000</b>	<b>None</b>	<b>NONE</b>	

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	1. Carnival Corp.	S	No	04-08-11	\$1,001 - \$15,000
	2. General Dynamics Corp.	P	N/A	01-19-11	\$1,001 - \$15,000
	3. General Dynamics Corp.	S	No	05-11-11	\$1,001 - \$15,000
	4. Hewlett Packard Co.	S	No	01-19-11	\$1,001 - \$15,000
	5. Macys Inc.	S	Yes	04-08-11	\$1,001 - \$15,000
	6. Monsanto Co.	S	Yes	04-08-11	\$1,001 - \$15,000
	7. Merck & Co. Inc.	S	No	01-19-11	\$1,001 - \$15,000
	8. Newmont Mining Corp.	S	No	04-08-11	\$1,001 - \$15,000
	9. Prudential Financial Inc.	P	N/A	01-19-11	\$1,001 - \$15,000
	10. Prudential Financial Inc.	S	No	11-15-11	\$1,001 - \$15,000
	11. Tyco Intl. LTD	P	N/A	01-19-11	\$1,001 - \$15,000



# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	12. Tyco Intl. LTD	S	No	04-08-11	\$1,001 - \$15,000
	13. Adobe Systems Inc.	S	Yes	01-19-11	\$1,001 - \$15,000
	14. Automatic Data Processing Inc.	S	No	01-19-11	\$1,001 - \$15,000
	15. British American Tobacco	S	Yes	01-19-11	\$1,001 - \$15,000
	16. General Mills Inc.	S	Yes	01-19-11	\$1,001 - \$15,000
	17. Halliburton Co. Holdings Co.	S	Yes	01-19-11	\$1,001 - \$15,000
	18. Kimberly Clark Corp.	S	Yes	01-19-11	\$1,001 - \$15,000
	19. Raytheon Company	S	No	01-19-11	\$1,001 - \$15,000
	20. Western Union Company	S	Yes	04-08-11	\$1,001 - \$15,000

**SCHEDULE V - LIABILITIES**

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	Chase	December 1998	Mortgage on personal residence in Raleigh, NC	\$250,001 - \$500,000

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Turkish Coalition of America	May 26-31	DC-Ankara-Istanbul-DC	Y	Y	N	None
German Marshall Fund	February 23-28	Raleigh-Berlin-Frankfurt-DC	Y	Y	N	None

**FOOTNOTES**

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Became Mr. Miller's asset as a result of divorce settlement	American Funds