



Filing ID #20010692

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Aptiv PLC Ordinary Shares (APTV) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	10/29/2018	11/05/2018	\$15,001 - \$50,000	<input type="checkbox"/>
SP		BlackRock, Inc. (BLK) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	11/07/2018	11/14/2018	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Universal Display Corporation (OLED) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	11/02/2018	11/09/2018	\$1,001 - \$15,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the

best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 11/17/2018