

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2008 FINANCIAL DISCLOSURE STATEMENT

**FORM A**  
For use by Members, officers, and employees

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Gary C. Peters

(Full Name)

202-225-5802

(Daytime Telephone)

**Filer Status**  
☒ Member of the U.S. House of Representative

State: MI  
District 09

☐ Officer Or Employee  
Employing Office

**Report Type**  
☐ Annual (May 15)

☒ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

|  |   |  |   |
|--|---|--|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?<br>If yes, complete and attach Schedule I.   | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?<br>If yes, complete and attach Schedule VI. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?<br>If yes, complete and attach Schedule II.  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VII. Did you, your spouse, or a dependent child receive any reportable travel from one source?<br>If yes, complete and attach Schedule VII.  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?<br>If yes, complete and attach Schedule III. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?<br>If yes, complete and attach Schedule VIII.  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?<br>If yes, complete and attach Schedule IV.                                      | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | IX. Did you have any reportable agreement or arrangement with an outside entity?<br>If yes, complete and attach Schedule IX.   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?<br>If yes, complete and attach Schedule V.   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.   |   |

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

|  |   |
|--|---|
| <b>Trusts--</b><br>Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?                      | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| <b>Exemptions--</b><br>Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

**HAND DELIVERED**

2011 JUL -8 PM 2:09

(Office Use Only)

**SCHEDULE I - EARNED INCOME**

Name Gary C. Peters

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source                                     | Type          | Amount      |
|--|---------------|-------------|
| State of Michigan                          | Salary        | \$75,315.72 |
| Community Foundation of Southeast Michigan | Spouse Salary | N/A         |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gary C. Peters

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| BLOCK A<br>Asset and/or Income Source<br><small>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.<br/><br/>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.<br/><br/>If you so choose, you may indicate that an asset or income source is that of your spouse (Sp) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</small> | BLOCK B<br>Year-End Value of Asset<br><small>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</small> | BLOCK C<br>Type of Income<br><small>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</small> | BLOCK D<br>Amount of Income<br><small>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</small> | BLOCK E<br>Transaction<br><small>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</small> |
|--|--|--|---|--|
| 3M Co  | \$1,001 - \$15,000   | DIVIDENDS  | \$201 - \$1,000   |  |
| Abbot Labs   | \$1,001 - \$15,000   | DIVIDENDS  | \$201 - \$1,000   |  |
| Air Product & Chemical Inc   | \$1,001 - \$15,000   | DIVIDENDS  | \$1 - \$200   |  |
| Allegan Pub Schools MI Go 5/1/15   | \$1,001 - \$15,000   | INTEREST   | \$201 - \$1,000   |  |
| Allstate Corp  | \$1,001 - \$15,000   | DIVIDENDS  | \$1 - \$200   |  |
| AT&T Inc   | \$1,001 - \$15,000   | DIVIDENDS  | \$201 - \$1,000   |  |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|   |                     |           |                   |  |
|---|---------------------|-----------|-------------------|--|
| Bank of Amer Corp                                 | \$15,001 - \$50,000 | DIVIDENDS | \$1,001 - \$2,500 |  |
| Bank of New York                                  | \$1,001 - \$15,000  | DIVIDENDS | \$1 - \$200       |  |
| Barry Cnty MI Ult Tax 4/1/23                      | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |  |
| BB&T Corp   | \$1,001 - \$15,000  | DIVIDENDS | \$201 - \$1,000   |  |
| Brandon Mi Sch Dist Ult 5/1/25                    | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |  |
| Bristol Myers Squibb Co                           | \$1,001 - \$15,000  | DIVIDENDS | \$201 - \$1,000   |  |
| Carnival Corp                                     | \$1,001 - \$15,000  | DIVIDENDS | \$1 - \$200       |  |
| Central MI University 403B: Fidelity Freedom 2030 | \$1,001 - \$15,000  | DIVIDENDS | \$1 - \$200       |  |
| Chippewa Valley MI 5/1/19                         | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |  |
| Chippewa Valley MI Sch 5/1/24                     | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |  |
| Citigroup Inc                                     | \$1,001 - \$15,000  | DIVIDENDS | \$1 - \$200       |  |
| Clinton Charter Twp 4/1/24                        | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |  |
| CMS Energy Corp                                   | \$1,001 - \$15,000  | DIVIDENDS | \$1 - \$200       |  |
| Coca Cola Co                                      | \$1,001 - \$15,000  | DIVIDENDS | \$201 - \$1,000   |  |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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|    |   |                    |           |                 |  |
|----|---|--------------------|-----------|-----------------|--|
|    | Colgate Palmolive Co  | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 |  |
| SP | Community Foundation SE MI<br>403B Plan:<br>American Funds EuroPacific Growth | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 |  |

|    |  |                    |           |                 |  |
|----|--|--------------------|-----------|-----------------|--|
| SP | Community Foundation SE MI<br>403B Plan:<br>Fidelity Advisor Free 2020 | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 |  |
|----|--|--------------------|-----------|-----------------|--|

|    |  |                    |           |                 |  |
|----|--|--------------------|-----------|-----------------|--|
| SP | Community Foundation SE MI<br>403B Plan:<br>Fidelity Advisor Free 2030 | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 |  |
|----|--|--------------------|-----------|-----------------|--|

|    |  |                    |           |                 |  |
|----|--|--------------------|-----------|-----------------|--|
| SP | Community Foundation SE MI<br>403B Plan:<br>Franklin Balance Sheet | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 |  |
|----|--|--------------------|-----------|-----------------|--|

|    |   |                     |           |      |  |
|----|---|---------------------|-----------|------|--|
| SP | Community Foundation SE MI<br>403B Plan:<br>Goldman Sachs FS Govt | \$15,001 - \$50,000 | DIVIDENDS | NONE |  |
|----|---|---------------------|-----------|------|--|

|    |  |                    |           |                 |  |
|----|--|--------------------|-----------|-----------------|--|
| SP | Community Foundation SE MI<br>403B Plan:<br>Vanguard 500 Index | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 |  |
|----|--|--------------------|-----------|-----------------|--|

|    |  |                     |           |                 |  |
|----|--|---------------------|-----------|-----------------|--|
| SP | Community Foundation SE MI<br>MPP:<br>Fidelity Advisor Free 2030 | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 |  |
|----|--|---------------------|-----------|-----------------|--|

|    |   |                    |           |      |  |
|----|---|--------------------|-----------|------|--|
| SP | Community Foundation SE MI<br>MPP:<br>Goldman Sachs FS Govt FST | \$1,001 - \$15,000 | DIVIDENDS | NONE |  |
|----|---|--------------------|-----------|------|--|

|  |                                       |                     |          |      |  |
|--|---------------------------------------|---------------------|----------|------|--|
|  | Congressional Federal Credit Union CD | \$15,001 - \$50,000 | INTEREST | NONE |  |
|--|---------------------------------------|---------------------|----------|------|--|

|  |                                       |                     |          |      |  |
|--|---------------------------------------|---------------------|----------|------|--|
|  | Congressional Federal Credit Union CD | \$15,001 - \$50,000 | INTEREST | NONE |  |
|--|---------------------------------------|---------------------|----------|------|--|

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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|  |                     |                         |                   |  |
|--|---------------------|-------------------------|-------------------|--|
| Congressional Federal Credit Union CD      | \$1,001 - \$15,000  | INTEREST                | \$1 - \$200       |  |
| Congressional Federal Credit Union Savings | \$1,001 - \$15,000  | INTEREST                | \$1 - \$200       |  |
| Dearborn Mich Ser B 4/1/19                 | \$1,001 - \$15,000  | INTEREST                | \$201 - \$1,000   |  |
| Detroit MI Dwntr Dev Rev 7/1/18            | \$1,001 - \$15,000  | INTEREST                | \$201 - \$1,000   |  |
| Detroit MI Sew Disp Syst 7/1/24            | \$1,001 - \$15,000  | INTEREST                | \$201 - \$1,000   |  |
| Detroit Mich CSD Ser B 5/1/13              | \$1,001 - \$15,000  | INTEREST                | \$201 - \$1,000   |  |
| Detroit Mich Wtr Supply 7/1/29             | \$15,001 - \$50,000 | INTEREST                | \$1,001 - \$2,500 |  |
| Dow Chemical                               | \$1,001 - \$15,000  | DIVIDENDS               | \$201 - \$1,000   |  |
| Duke Realty Corp New REITS                 | \$1,001 - \$15,000  | DIVIDENDS/CAPITAL GAINS | \$201 - \$1,000   |  |
| DuPont de Nemours                          | \$1,001 - \$15,000  | DIVIDENDS               | \$201 - \$1,000   |  |
| Durand Mich Area Schls 12/1/20             | \$1,001 - \$15,000  | INTEREST                | \$201 - \$1,000   |  |
| East Jackson Mich Cmnty 5/1/15             | \$1,001 - \$15,000  | INTEREST                | \$201 - \$1,000   |  |
| Eastgroup Properties Inc                   | \$1,001 - \$15,000  | DIVIDENDS               | \$201 - \$1,000   |  |
| Eaton Vance Tax Advantage Global           | \$1,001 - \$15,000  | DIVIDENDS               | \$1,001 - \$2,500 |  |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

| Name Gary C. Peters            |                     |           |                   |  | Page 7 of 16 |
|--------------------------------|---------------------|-----------|-------------------|--|--------------|
| Ecotab Inc                     | \$1,001 - \$15,000  | DIVIDENDS | \$1 - \$200       |  |              |
| El Paso Corp                   | \$1,001 - \$15,000  | DIVIDENDS | \$1 - \$200       |  |              |
| Fairpoint Communications Inc   | \$1 - \$1,000       | DIVIDENDS | \$1 - \$200       |  |              |
| General Electric Co            | \$1,001 - \$15,000  | DIVIDENDS | \$1,001 - \$2,500 |  |              |
| Genesee Cnty MI SWG Sys 5/1/27 | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |  |              |
| Grand Traverse Co MI 5/1/23    | \$15,001 - \$50,000 | INTEREST  | \$1,001 - \$2,500 |  |              |
| Harper Crk Mi Com Sch 5/1/21   | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |  |              |
| Healthcare Realty Tr Inc       | \$1,001 - \$15,000  | DIVIDENDS | \$201 - \$1,000   |  |              |
| Ishares MSCI EAFE Index Fund   | \$1,001 - \$15,000  | DIVIDENDS | \$1 - \$200       |  |              |
| JP Morgan Chase & Co           | \$1,001 - \$15,000  | DIVIDENDS | \$201 - \$1,000   |  |              |
| Kalamazoo MI Pub Schs 5/1/26   | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |  |              |
| Kimberly Clark                 | \$1,001 - \$15,000  | DIVIDENDS | \$201 - \$1,000   |  |              |
| Leggett & Platt Inc            | \$1,001 - \$15,000  | DIVIDENDS | \$201 - \$1,000   |  |              |
| Livonia Mich Mun Bldg 5/1/21   | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |  |              |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|------------------------------------|---------------------|-----------|-------------------|
| Lowell Mich Area Schs 5/1/25       | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |
| Lowes Companies Inc                | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000   |
| Mattel Inc                         | \$1,001 - \$15,000  | DIVIDENDS | \$1 - \$200       |
| McDonalds Corp                     | \$1,001 - \$15,000  | DIVIDENDS | \$201 - \$1,000   |
| Medtronic Inc                      | \$1,001 - \$15,000  | DIVIDENDS | \$1 - \$200       |
| Merck & Co                         | \$1,001 - \$15,000  | DIVIDENDS | \$201 - \$1,000   |
| Metlife Annuity                    | \$15,001 - \$50,000 | INTEREST  | \$1,001 - \$2,500 |
| Metlife Inc                        | \$1,001 - \$15,000  | DIVIDENDS | \$1 - \$200       |
| MI Mun Bd Au Clean Water 10/1/23   | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |
| Michigan Mun Bd Auth Rev 11/1/32   | \$15,001 - \$50,000 | INTEREST  | \$1,001 - \$2,500 |
| Michigan St Hops Fin Auth 11/15/32 | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |
| Michigan St Hsg Dev Auth 4/1/24    | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |
| Michigan State Bldg Au 10/15/15    | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |
| Microsoft Corp                     | \$1,001 - \$15,000  | DIVIDENDS | \$1 - \$200       |



# **SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|                                    |                     |           |                   |  |
|------------------------------------|---------------------|-----------|-------------------|--|
| Monroe Cnty Mich Bldg 4/1/24       | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |  |
| NISource Inc                       | \$1,001 - \$15,000  | DIVIDENDS | \$201 - \$1,000   |  |
| North Gratiot Interceptr 5/1/24    | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |  |
| Northwestern Mutual Life Insurance | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |  |
| Pall Corp                          | \$1,001 - \$15,000  | DIVIDENDS | \$1 - \$200       |  |
| Parchment MI Sch Dist 5/1/25       | \$15,001 - \$50,000 | INTEREST  | \$1,001 - \$2,500 |  |
| Pepsico Inc                        | \$1,001 - \$15,000  | DIVIDENDS | \$201 - \$1,000   |  |
| Pfizer Inc                         | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |  |
| Plymouth Canton MI CSD 5/1/13      | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |  |
| Portage Mich Trans Fd 12/1/20      | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |  |
| PPG Industries Inc                 | \$1,001 - \$15,000  | DIVIDENDS | \$201 - \$1,000   |  |
| Procter & Gamble Co                | \$15,001 - \$50,000 | INTEREST  | \$201 - \$1,000   |  |
| Rochester Mich Cmnty Sch 5/1/19    | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000   |  |
| Sherwin Williams Co                | \$1,001 - \$15,000  | DIVIDENDS | \$201 - \$1,000   |  |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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|    |  |                      |           |                   |  |
|----|--|----------------------|-----------|-------------------|--|
|    | Smucker J M Co                                     | \$1,001 - \$15,000   | DIVIDENDS | \$201 - \$1,000   |  |
|    | State of MI 401k: American Fund Europacific Growth | \$15,001 - \$50,000  | DIVIDENDS | \$1,001 - \$2,500 |  |
|    | State of MI 401k: Dodge & Cox                      | \$15,001 - \$50,000  | DIVIDENDS | \$2,501 - \$5,000 |  |
|    | State of MI 401k: SSgA Stable Value                | \$50,001 - \$100,000 | DIVIDENDS | \$1,001 - \$2,500 |  |
|    | State of MI 401k: SSgA Target Retirement 2025      | \$1,001 - \$15,000   | DIVIDENDS | \$201 - \$1,000   |  |
|    | State of MI 457: AllianceBernstein Int Val         | \$1,001 - \$15,000   | DIVIDENDS | \$1 - \$200       |  |
|    | State of MI 457: Rainier Large Cap Growth          | \$1,001 - \$15,000   | DIVIDENDS | \$201 - \$1,000   |  |
|    | State of MI 457: RidgeWorth Funds                  | \$1,001 - \$15,000   | DIVIDENDS | \$201 - \$1,000   |  |
|    | State of MI 457: SSgA S&P MidCap Index             | \$1,001 - \$15,000   | DIVIDENDS | \$1,001 - \$2,500 |  |
|    | State of MI 457: SSgA Stable Value                 | \$15,001 - \$50,000  | DIVIDENDS | \$1,001 - \$2,500 |  |
|    | State of MI 457: SSgA S&P 500 Index                | \$1,001 - \$15,000   | DIVIDENDS | \$2,501 - \$5,000 |  |
|    | State of MI 401k: Lord Abbett Midcap Value         | \$1,001 - \$15,000   | DIVIDENDS | \$2,501 - \$5,000 |  |
| SP | UBS 401k Plus Plan: UBS Balanced Fund              | \$1,001 - \$15,000   | DIVIDENDS | \$1,001 - \$2,500 |  |
| SP | UBS 401k Plus Plan: UBS Mid Cap Growth             | \$1,001 - \$15,000   | DIVIDENDS | \$2,501 - \$5,000 |  |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|    |   |                        |           |                   |  |
|----|---|------------------------|-----------|-------------------|--|
| SP | UBS 401k Plus Plan:<br>UBS PACE International               | \$1,001 -<br>\$15,000  | DIVIDENDS | \$201 - \$1,000   |  |
| SP | UBS 401k Plus Plan:<br>UBS Stable Value Fund                | \$15,001 -<br>\$50,000 | DIVIDENDS | \$201 - \$1,000   |  |
|    | UBS AG (USE) New 2006 Ord                                   | \$15,001 -<br>\$50,000 | DIVIDENDS | \$1,001 - \$2,500 |  |
| JT | UBS Bank USA  | \$1,001 -<br>\$15,000  | INTEREST  | \$1 - \$200       |  |
| SP | UBS IRA:<br>AIM Constellation FD Inc                        | \$1,001 -<br>\$15,000  | DIVIDENDS | \$1 - \$200       |  |
| SP | UBS IRA:<br>American Funds Investment<br>Company of America | \$1,001 -<br>\$15,000  | DIVIDENDS | \$1 - \$200       |  |
|    | UBS SEP:<br>Alabama Power Co 2/15/19                        | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000   |  |
|    | UBS SEP:<br>Amer Intl Group 1/16/18                         | \$1,001 -<br>\$15,000  | INTEREST  | \$1,001 - \$2,500 |  |
|    | UBS SEP:<br>Anheuser Busch Cos Nts 3/1/19                   | \$15,001 -<br>\$50,000 | INTEREST  | \$1,001 - \$2,500 |  |
|    | UBS SEP:<br>Bank of Amer Internotes<br>9/15/19              | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000   |  |
|    | UBS SEP:<br>Bank of America Internotes<br>11/15/17          | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000   |  |
|    | UBS SEP:<br>Bank of America Internotes<br>9/15/17           | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000   |  |
|    | UBS SEP:<br>BB&T Corp 11/1/19                               | \$15,001 -<br>\$50,000 | INTEREST  | \$1,001 - \$2,500 |  |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|  |                        |           |                   |  |
|--|------------------------|-----------|-------------------|--|
| UBS SEP:<br>Bony Corenotes 12/16/19            | \$1,001 -<br>\$15,000  | INTEREST  | \$1 - \$200       |  |
| UBS SEP:<br>Calamos Strategic Total Return     | \$1,001 -<br>\$15,000  | DIVIDENDS | \$201 - \$1,000   |  |
| UBS SEP:<br>Carolina First Bank CD<br>11/26/13 | \$15,001 -<br>\$50,000 | INTEREST  | \$1,001 - \$2,500 |  |

|  |                        |           |                   |  |
|--|------------------------|-----------|-------------------|--|
| UBS SEP:<br>Carolina Pwr & LT Sr Nts 3/1/09  | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000   |  |
| UBS SEP:<br>Cit Group Inc Internotes 2/15/14 | \$1,001 -<br>\$15,000  | INTEREST  | \$1 - \$200       |  |
| UBS SEP:<br>Citigroup Global Mkts 2/15/16    | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000   |  |
| UBS SEP:<br>DWS RREEF Real Estate Fund       | \$1 - \$1,000          | DIVIDENDS | \$1 - \$200       |  |
| UBS SEP:<br>EI Paso Energy Corp 5/15/09      | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000   |  |
| UBS SEP:<br>FFCB 4/21/20                     | \$15,001 -<br>\$50,000 | INTEREST  | \$1,001 - \$2,500 |  |
| UBS SEP:<br>FHLB 11/19/19                    | \$15,001 -<br>\$50,000 | INTEREST  | \$201 - \$1,000   |  |
| UBS SEP:<br>FHLMC 3/27/20                    | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000   |  |
| UBS SEP:<br>FHLMC 3/30/17                    | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000   |  |
| UBS SEP:<br>FNMA 3/29/19                     | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000   |  |
| UBS SEP:<br>FNMA 4/8/19                      | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000   |  |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Gary C. Peters

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|   |                        |           |                 |  |
|---|------------------------|-----------|-----------------|--|
| UBS SEP:<br>FNMA 9/26/17                                  | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000 |  |
| UBS SEP:<br>GE Capital Internotes 2/15/17                 | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000 |  |
| UBS SEP:<br>GE Capital Internotes 3/15/16                 | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000 |  |
| UBS SEP:<br>GE Capital Internotes 7/15/19                 | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000 |  |
| UBS SEP:<br>GE Capital Internotes 8/15/19                 | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000 |  |
| UBS SEP:<br>GMAC Smart Notes 3/15/16                      | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000 |  |
| UBS SEP:<br>Goldman Sachs Group 10/1/14                   | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000 |  |
| UBS SEP:<br>Heinz Co 3/15/12                              | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000 |  |
| UBS SEP:<br>Home Depot Nts 3/1/16                         | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000 |  |
| UBS SEP:<br>ING Clarion Global Real Estate<br>Income Fund | \$1,001 -<br>\$15,000  | DIVIDENDS | \$201 - \$1,000 |  |
| UBS SEP:<br>ING Prime Rate Trust                          | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000 |  |
| UBS SEP:<br>John Deere Capital Corp 4/7/10                | \$15,001 -<br>\$50,000 | INTEREST  | \$201 - \$1,000 |  |
| UBS SEP:<br>John Hancock 11/15/18                         | \$1,001 -<br>\$15,000  | INTEREST  | \$201 - \$1,000 |  |
| UBS SEP:<br>Medical Properties Trust Inc<br>REIT          | \$1,001 -<br>\$15,000  | DIVIDENDS | \$201 - \$1,000 |  |

# **SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|  |   |                       |           |                 |  |
|--|---|-----------------------|-----------|-----------------|--|
|  | UBS SEP:<br>Merrill Lynch NTS 5/5/14                  | \$1,001 -<br>\$15,000 | INTEREST  | \$201 - \$1,000 |  |
|  | UBS SEP:<br>Midcap Spdr Tr Unit Ser 1 S&P             | \$1,001 -<br>\$15,000 | DIVIDENDS | \$201 - \$1,000 |  |
|  | UBS SEP:<br>Natl City Corp Sub Notes 2/1/09           | \$1,001 -<br>\$15,000 | INTEREST  | \$1 - \$200     |  |
|  | UBS SEP:<br>New York Times Co 3/15/15                 | \$1,001 -<br>\$15,000 | INTEREST  | \$201 - \$1,000 |  |
|  | UBS SEP:<br>Prudential Fincl Inc 10/15/18             | \$1,001 -<br>\$15,000 | INTEREST  | \$1 - \$200     |  |
|  | UBS SEP:<br>Standards & Poors Dep Rcpts<br>Unit Ser 1 | \$1,001 -<br>\$15,000 | DIVIDENDS | \$201 - \$1,000 |  |

|    |  |                       |           |                 |  |
|----|--|-----------------------|-----------|-----------------|--|
|    | UBS SEP:<br>Verizon Global Nts 11/15/11                    | \$1,001 -<br>\$15,000 | INTEREST  | \$201 - \$1,000 |  |
| DC | UBS UGTMA 1: American<br>Funds Investment Co of<br>America | \$1,001 -<br>\$15,000 | DIVIDENDS | \$1 - \$200     |  |

|    |   |                       |           |             |  |
|----|---|-----------------------|-----------|-------------|--|
| DC | UBS UGTMA 1: Bear Stearns<br>Companies 01/10/14                 | \$1,001 -<br>\$15,000 | INTEREST  | \$1 - \$200 |  |
| DC | UBS UGTMA 1: Invesco<br>Constellation                           | \$1,001 -<br>\$15,000 | DIVIDENDS | \$1 - \$200 |  |
| DC | UBS UGTMA 1: Pace Large<br>Co Growth Equity                     | \$1,001 -<br>\$15,000 | DIVIDENDS | \$1 - \$200 |  |
| DC | UBS UGTMA 2: American<br>Funds Investment Company of<br>America | \$1,001 -<br>\$15,000 | DIVIDENDS | \$1 - \$200 |  |

|    |   |                       |          |             |  |
|----|---|-----------------------|----------|-------------|--|
| DC | UBS UGTMA 2: Bear Stearns<br>Companies 01/10/14 | \$1,001 -<br>\$15,000 | INTEREST | \$1 - \$200 |  |
|----|---|-----------------------|----------|-------------|--|

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|    |  |                     |           |                 |  |
|----|--|---------------------|-----------|-----------------|--|
| DC | UBS UGTMA 2: Invesco Constellation       | \$1,001 - \$15,000  | DIVIDENDS | \$1 - \$200     |  |
| DC | UBS UGTMA 2: Pace Large Co Growth Equity | \$1,001 - \$15,000  | DIVIDENDS | \$1 - \$200     |  |
|    | Verizon Communications Inc               | \$1,001 - \$15,000  | DIVIDENDS | \$201 - \$1,000 |  |
|    | Wal Mart Stores Inc                      | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 |  |
|    | Warren Woods MI 5/1/22                   | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000 |  |
|    | Wayne St Univ MI Brd Gov 11/15/29        | \$1,001 - \$15,000  | INTEREST  | \$201 - \$1,000 |  |

# SCHEDULE IV - TRANSACTIONS

Name Gary C. Peters

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP,<br>DC,<br>JT | Asset                                | Type of<br>Transaction | Date     | Amount of Transaction |
|------------------|--------------------------------------|------------------------|----------|-----------------------|
|                  | Brandon Sch Dist Mich                | S                      | 05-01-08 | \$1,001 - \$15,000    |
|                  | Clawson Mich City 5/1/24             | S                      | 05-01-08 | \$1,001 - \$15,000    |
|                  | Clawson Mich City Pref 5/1/24        | S                      | 05-01-08 | \$1,001 - \$15,000    |
|                  | Genesee Co Mich Sew Disp 04/01/18    | S                      | 04-01-08 | \$1,001 - \$15,000    |
|                  | Ingham Cnty Mich Bldg 7/1/16         | S                      | 07-01-08 | \$1,001 - \$15,000    |
|                  | Kalamazoo Mich Hosp Fin Pref 5/15/18 | S                      | 5-15-08  | \$1,001 - \$15,000    |
|                  | Marysville MI Public Schools         | S                      | 05-01-08 | \$1,001 - \$15,000    |
|                  | Michigan St Hse Reps 8/15/15         | S                      | 8-15-08  | \$1,001 - \$15,000    |
|                  | Michigan State Univ Revs 2/15/33     | S                      | 1-4-08   | \$50,001 - \$100,000  |
|                  | RTS UBS AG                           | S                      | 06-09-08 | \$1,001 - \$15,000    |