HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2014 JUN 26 PM 2: 15

OFFICE OF THE CLERK U.S. HOUSE OF REPRESENTATIVES

June 26, 2014

The Honorable Karen L. Haas Office of the Clerk U.S. House of Representative U.S. Capitol, Room H154 Washington, DC 20515-6601

Re:

Amended Financial Disclosure Statement of Representative Katherine M. Clark

Dear Madam Clerk:

Enclosed please find my amended Financial Disclosure Statement. The enclosed represents an amendment to my prior Financial Disclosure Statement filed on April 30, 2014 ("April 30 Disclosure"). This letter is intended to aid your review of the attached and to respond to the House Committee on Ethics' letter of May 28, 2014 ("May 28 Letter") I received requesting certain additional details.

Since the May 28 Letter requested that I supplement specific information listed in Schedule A of the Financial Disclosure form, only Schedule A is attached to the enclosed Financial Disclosure Statement. For all other required financial disclosures made regarding other Schedules, please refer to my April 30 Disclosure.

The May 28 Letter requested supplemental information regarding the value of, and the type and amounts of income earned by, the following assets managed by LPL Financial: AQR Divrs Arbitrary, DoubleN TTL Re & Bol, EV FLT RT 1, EV National Municipal, Forward GLB, Hotchkiss High Yield, Pimco TTL, Western Asset Core, and Virtus Emerging Market. Please note that I have listed the requested details of these assets on page 3, lines 1-9 of the enclosed. Also note that "LPL Financial," which was listed on my April 30 Disclosure on page 3, line 5, is not an asset held, but the broker-dealer custodian of certain assets listed on the enclosed. Accordingly, LPL Financial is no longer listed on the enclosed.

The May 28 Letter also requested supplemental information regarding the value of, and the type and amounts of income earned by, assets held by SPS Advantage IRA accounts, including: Fidelity Advisor, Columbia Dividend, John Hancock Dividend, and MFS New Discovery. These assets fall under two separate IRA accounts along with other reportable assets, the details of which are listed on page 4, lines 9-16 and page 5, lines 1-16 of the attached. Please note that my April 30 Disclosure listed the SPS Advantage IRA accounts as "3PS Advantage IRA." The correct name of these accounts is "SPS Advantage IRA."

If you require additional information or clarification, please do not hesitate to contact me.

Very truly yours,

Katherine M. Clark

Enclosure

C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? FINANCIAL DISCLOSURE STATEMENT TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the Name: **UNITED STATES HOUSE OF REPRESENTATIVES** EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS **EXEMPTION** - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three lests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics FILER b. Make more than \$200 in unearned income from any reportable end of the reporting period? or asset during the reporting period? Katherine M. Clark × Candidates - Date of Election: December 10, 2013 U.S. House of Representatives Employing Office: New Officer or Employee New Member of or Candidate for THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES" State: District: MA إرب Yes X **Y98** Yes **Daytime Telephone:** (202) 225-2836 × For New Members, Candidates, and New Employees . Z š S O × J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years? F. Do you have any reportable agreements or arrangements with an outside entity? E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? FORM B Period Covered: January 1, to May 28, 2013 × Check if Amendment 2012 A \$200 penalty shall be assessed against any individual who files more than 30 days late. HAND DELIVERED U.S. HOUSE OF REPRESENTATIVES LEGISLATIVE RESOURCE CENTER 2014 JUN 26 PM 2: 15 (Office Use Only) Yes X ¥05 ¥98 ¥98 **89** × S ᇂ Š Z Š

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Name: Katherine M. Clark Page_ 9 9

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| Judith Clark Irrevocable Trust | CO PERA | -Vanguard Instit. Fund | -Dodge & Cox Fund | CO PERA 401(k) plan | ABC Hedge Fund X | Examples: Simon & Schuster | Mega Corp Stock | dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left. For a detailed discussion of Schedule A requirements, please refer to the instruction booklest. | If you have a privately-traded unior that is an Excepted investment Fund, please check the "EIF" box. If you so choose, you may indicate that an asset or | Exclude: Your personal residence, including second fromes and vecation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. | For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. | For rental and other real property held for investment, provide a complete address or description, e.g., rental property," and a city and state. | To thank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, last every financial institution where there is more than \$1,000 in interest bearing accounts. | For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset haid in the account that exceeds the reporting thresholds. | Provide complete names of stocks and mutual funds (do not use only ticker symbols). | (a) each asset held for investment or on of income and with a fair market value by \$1,000 at the end of the responsing period, any other rethered esset or source of which generated more than \$200 in est income during the year. | Assets and/or income Sources | BLOCK A |
| × | X | | | × | × | Indefinite | × | None \$1-\$1,000 \$1,001-\$15,000 \$15,001-\$50-000 \$50,001-\$100,000 \$100,001-\$250,000 \$250,001-\$500,000 \$1,000,001-\$5,000 \$5,000,001-\$5,000 \$25,000,001-\$5,000 | 0 | | | | © C C C C C C C C C C C C C C C C C C C | | *Column M is for essets held by your spouse or dependen child in which you have no interest. | indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting period and is included only because it generated income, the value should be "None." | Value of Asset | BLOCK B |
| x | X | | | × | Partnership | Royalies | × | Over \$50,000,000 Spouse/DC Asset of NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TAX-DEFERRED Other Type of incor | TRUST | p.g., Partnership inco | ome or Farm Inco | ome) | | | | eriod. If Check all columns that apply. For accounts t value, that generate tax-deferred income (such as 401(k), IRA, 529 accounts), you may check and is the "Tax-Deferred" column. Dividends, and is the "Tax-Deferred" column. Dividends, even if value interest, and capital gains, even if value from assets held in taxable accounts. | Type of Income | BLOCK C |
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Name: Katherine M. Clark

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| -Short term bond | Fixed income | College portfolio | -John Hancock Freedom 529 | Sequoia Fund, Inc. | D1 Irrevocable Trust | Sequoia Fund, Inc. | -Virtus Emerging Market | -Western Asset Core | Pimco TTL | -Hotchkiss High Yield | Forward GLB | EV National Municipal | -EV FLT RT I | DoubleN TTL Re & Bol | -AQR Divrs Arbitrary | ASSET NAME EK | | | Assets and/or Income Sources | BLOCK A |
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Name: Katherine M. Clark

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Name: Katherine M. Clark

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