



Filing ID #10023384

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Garret Graves  
**Status:** Member  
**State/District:** LA06

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2017  
**Filing Date:** 05/16/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
3D Systems Corporation (DDD) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
checking/savings [BA]		\$15,001 - \$50,000	Dividends	\$1 - \$200 <input type="checkbox"/>
checking/savings account [BA]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
Child 1 [5P]	DC	\$15,001 - \$50,000	None	<input type="checkbox"/>
LOCATION: LA DESCRIPTION: We did not set these up or do we maintain/invest in them. They were established by my parents for the benefit of all of their grandchildren (nine in total). My parents usually report the respective balances each year.				
Child 2 [5P]	DC	\$15,001 - \$50,000	None	<input type="checkbox"/>
LOCATION: LA DESCRIPTION: We did not set these up or do we maintain/invest in them. They were established by my parents for the benefit of all of their grandchildren (nine in total). My parents usually report the respective balances each year.				

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Child 3 [5P]	DC	\$15,001 - \$50,000	None	<input type="checkbox"/>
LOCATION: LA DESCRIPTION: We did not set these up or do we maintain/invest in them. They were established by my parents for the benefit of all of their grandchildren (nine in total). My parents usually report the respective balances each year.				
Citigroup, Inc. (C) [ST]		\$1 - \$1,000	Dividends	\$1 - \$200 <input type="checkbox"/>
JAG-Greenscape [OT]		\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: Small ownership interest for member and each dependent child in company with real estate holding.				
Janus ⇒ Emerging Markets [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
Janus ⇒ Global Research [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
Janus ⇒ Global Research [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
Janus ⇒ Intech U.S. Core [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
Janus ⇒ Janus Fund [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
Janus ⇒ Triton [MF]		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000 <input type="checkbox"/>
Janus Henderson Small Cap Growth Alpha ETF (JSML) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
LASERS ⇒ LASERS [DB]		\$15,001 - \$50,000	None	<input type="checkbox"/>
DESCRIPTION: State of Louisiana employee retirement program.				
Money Market [BA]		\$15,001 - \$50,000	Dividends	\$1 - \$200 <input type="checkbox"/>
Nuance Communications, Inc. (NUAN) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
Parents' Life Insurance Trust [OT]		\$1 - \$1,000	None	<input type="checkbox"/>
DESCRIPTION: Life Insurance Trust				

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Parents' Life Insurance Trust #2 [OT]		\$1 - \$1,000	None	<input type="checkbox"/>
DESCRIPTION: Life Insurance Trust				
Stein Mart, Inc. (SMRT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
TIAA-CREF [OT]	SP	\$15,001 - \$50,000	None	<input type="checkbox"/>
DESCRIPTION: Retirement account/program from various school/teaching positions.				
Undeveloped property [RP]		\$1,001 - \$15,000	None	<input type="checkbox"/>
LOCATION: Gulf Shores, AL, US DESCRIPTION: Less than 1% interest in undeveloped property.				
Verizon Communications Inc. (VZ) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

None disclosed.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Cristo Rey - Baton Rouge	spouse salary	\$45,000.00

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Citizens Bank and Trust	July 2015	primary mortgage on home	\$250,001 - \$500,000
JT	Citizens Bank and Trust	November 2015	HELOC	\$100,001 - \$250,000
JT	Visa	December 2015	Revolving store credit account	\$15,001 - \$50,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2008	Louisiana State Employee's Retirement System	Same terms for all current/former state employees. Retirement program based upon years served and salary.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Janus
- LASERS  
DESCRIPTION: State employee retirement program

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Garret Graves , 05/16/2018