



Filing ID #10008710

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Sean P. Duffy
Status: Member
State/District: WI07

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2014
Filing Date: 11/24/2015

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Checking account - Wells Fargo		\$1,001 - \$15,000	None		<input type="checkbox"/>
TSP L 2040 Fund		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Within the Federal Thrift Plan, Sean Sold G Fund shares and reinvested the proceeds into L 2040 Fund shares.					
Wisconsin Deferred Compensation Program ⇒ Vanguard Target Retirement 2035 Inv		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wisconsin Deferred Compensation Program ⇒ American Funds Europacific		06/30/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wisconsin Deferred Compensation Program ⇒ DFA US Micro Cap I		03/31/2014	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wisconsin Deferred Compensation Program ⇒ DFA US Micro Cap I		06/30/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wisconsin Deferred Compensation Program ⇒ Federated US Government Securities		03/31/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wisconsin Deferred Compensation Program ⇒ Fidelity Contrafund		06/30/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wisconsin Deferred Compensation Program ⇒ Fidelity Contrafund		03/31/2014	P	\$1,001 - \$15,000	
Wisconsin Deferred Compensation Program ⇒ Stable Value Fund		03/31/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sold a portion of the Stable Value Fund					
Wisconsin Deferred Compensation Program ⇒ Stable Value Fund		06/30/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wisconsin Deferred Compensation Program ⇒ T Rowe Price Midcap Growth Fund		03/31/2014	P	\$1,001 - \$15,000	
Wisconsin Deferred Compensation Program ⇒ T Rowe Price Midcap Growth Fund		06/30/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wisconsin Deferred Compensation Program ⇒ Vanguard Admiral Treasury Money Market Fund		03/31/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wisconsin Deferred Compensation Program ⇒ Vanguard Target Retirement 2035 Inv		06/30/2014	P	\$15,001 - \$50,000	
Wisconsin Deferred Compensation Program ⇒ Vanguard Target Retirement 2045 Inv		03/31/2014	P	\$1,001 - \$15,000	
Wisconsin Deferred Compensation Program ⇒ Vanguard Target Retirement 2045 Inv		06/30/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Libra Initiative Trust	Spouse - Self employed payments for appearances and speaking	\$109,212
Young America's Foundation	Spouse - Speaking and appearance fees	\$5,622
American Broadcasting Company	Spouse - Speaking and appearance fees	\$2,188
Fidelis	Spouse - Speaking and appearance fees	\$1,500

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	River Valley Bank	August 2013	Home mortgage	\$250,001 - \$500,000
	Connexus Credit Union	2014	2nd Mortgage on home	\$100,001 - \$250,000
	Johnson Bank	2014	Personal loan to cover expenses	\$50,001 - \$100,000
	Student Loan Corp	1990	Student loan	\$50,001 - \$100,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2002	State of Wisconsin & Sean Duffy	This is the Wisconsin State Retirement plan with the assets on Schedule A that Sean contributed during his employee status from 2002 until 2010.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
American Enterprise Institute	03/6/2014	03/8/2014	Washington DC - DCA - Sea Island - JAX - Wausau - CWA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Wisconsin Deferred Compensation Program

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Sean P. Duffy , 11/24/2015