



Filing ID #10005955

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Mark Takai
Status: Member
State/District: HI01

FILING INFORMATION

Filing Type: New Filer Report
Filing Year: 2014
Filing Date: 05/15/2015

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
First Hawaiian Bank SEP - General Money Mkt Fund		\$15,001 - \$50,000	Tax-Deferred		
First Hawaiian Bank SEP - The Income Fd of America CL A	SP	\$50,001 - \$100,000	Tax-Deferred		
Hawaii College Savings Program LOCATION: HI		\$15,001 - \$50,000	Tax-Deferred		
Hawaii Deferred Compensation ⇒ Balanced		\$1,001 - \$15,000	Tax-Deferred		
Hawaii Deferred Compensation ⇒ Fixed Income		\$15,001 - \$50,000	Tax-Deferred		
Hawaii Deferred Compensation ⇒ Global/International Stock		\$15,001 - \$50,000	Tax-Deferred		
Hawaii Deferred Compensation ⇒ Large Cap Stock		\$50,001 - \$100,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Hawaii Deferred Compensation ⇒ Mid Cap Stock		\$15,001 - \$50,000	Tax-Deferred		
Hawaii Deferred Compensation ⇒ Small Cap Stock		\$15,001 - \$50,000	Tax-Deferred		
Hawaii Deferred Compensation ⇒ Stable Fund		\$15,001 - \$50,000	Tax-Deferred		
Hawaii State FCU		\$100,001 - \$250,000	Interest	\$1 - \$200	\$1 - \$200
Hawaii State FCU - Acct. 8436201		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Hawaii State FCU - IRA - Cash	SP	\$1,001 - \$15,000	Tax-Deferred		
Hawaii State FCU - Roth IRA - Cash	SP	\$1,001 - \$15,000	Tax-Deferred		
Hawaii State FCU - Roth IRA - Cash		\$1,001 - \$15,000	Tax-Deferred		
John Hancock - Whole Life Ins DESCRIPTION: John Hancock - Whole Life Ins	SP	\$15,001 - \$50,000	None		
Kinetics Mutual Funds	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Lending Club ⇒ Lending Club		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	\$201 - \$1,000
Lending Club - SEP IRA DESCRIPTION: The Lending Club is a corporation headquartered in San Francisco, California that offers micro loans to customers. It is not publically traded. The Lending Club is an online credit marketplace. Investors create and transfer money to an online investment account. Those monies are used to fund loans made to customers/borrowers. Investors receive monthly payments of principal and taxable interest income as borrowers repay their loans. Investors may reinvest the payments or withdraw. Investors may		\$15,001 - \$50,000	Tax-Deferred		
Manulife Financial Corporation (MFC)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1 - \$200
Mass Mutual - Whole Life Ins DESCRIPTION: Mass Mutal - Whole Life Insurance	SP	\$15,001 - \$50,000	None		
Pacific First Enterprises LLC DESCRIPTION: Interest in partnership LLC.	SP	\$250,001 - \$500,000	Dividends, LLC	\$50,001 - \$100,000	\$5,001 - \$15,000

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Pacific First Health Solutions LLC	SP	\$1,001 - \$15,000	Dividends, Miscellaneous	\$1 - \$200	None
DESCRIPTION: Interest in partnership LLC.					
Rental Condominium - Pearl City	JT	\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	\$15,001 - \$50,000
LOCATION: Pearl City, HI, US					
State of Hawaii Employees' Retirement System		None	Pension	\$50,001 - \$100,000	Not Applicable
TD Ameritrade ⇒ Ants Software Inc.		None	Dividends	None	Not Applicable
DESCRIPTION: Ants Software Inc. (CUSIP 'ANTS')					
TD Ameritrade ⇒ Cyanotech Corporation (CYAN)		\$1 - \$1,000	Dividends	None	Not Applicable
TD Ameritrade ⇒ Insured Deposit		\$1,001 - \$15,000	Interest	None	None
TD Ameritrade ⇒ Mera Pharmaceuticals, Inc. (MRPI)		None	Dividends	None	Not Applicable
TD Ameritrade ⇒ OmniVision Technologies, Inc. (OVTI)		\$1,001 - \$15,000	Dividends	None	None
TD Ameritrade ⇒ Oracle Corporation (ORCL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
TD Ameritrade ⇒ Poly Shield Technologies Inc. (SHPR)		None	Dividends	None	None
TD Ameritrade ⇒ Triton Emission Solutions Inc. (DSOX)		\$1,001 - \$15,000	Dividends	None	Not Applicable
TD Ameritrade ⇒ Walt Disney Company (DIS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Thrift Savings Plan - Civilian		\$1,001 - \$15,000	Tax-Deferred		
Thrift Savings Plan - Military		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
TIAA CREF ⇒ CREF Equity	SP	\$1,001 - \$15,000	Tax-Deferred		
TIAA CREF ⇒ CREF Inflation Linked Bond	SP	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: The CREF Inflation-Linked Bond Account seeks a long-term rate of return that outpaces inflation, primarily through investment in inflation-indexed bonds—fixed-income securities whose returns are designed to track a specified inflation index over the life of the bond.					
TIAA CREF ⇒ TIAA Real Estate	SP	\$1,001 - \$15,000	Tax-Deferred		
Walt Disney Company (DIS)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
State of Hawaii Central Payroll	wages	N/A	\$28,572.55
Defense Finance and Accounting Services (DFAS)	Wages	\$6,052.26	\$10,979.72

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo Bank	April 2005	Mortgage on rental property	\$100,001 - \$250,000
JT	Wells Fargo Bank	February 1998	Primary residence - mortgage	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2014	Employees' Retirement System of the State of Hawaii	Employees' Retirement System of the State of Hawaii (government defined benefit pension plan.) Filer retired from the State of Hawaii on December 1, 2014. Pension is based on prior employment.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
AB & Associates Insurance Services, Inc. (Honolulu, HI, US)	Insurance Sales
SOAR (Honolulu, HI, US)	Consulting Services

SCHEDULE A ASSET CLASS DETAILS

- Hawaii Deferred Compensation
 DESCRIPTION: Employee's Retirement System of the State of Hawaii is a government defined benefit pension plan.
- Lending Club
 DESCRIPTION: The Lending Club is a corporation headquartered in San Francisco, California that offers micro loans to customers. It is not publically traded. The Lending Club is an online credit marketplace. Investors create and transfer money to an online investment account. Those monies are used to fund loans made to customers/borrowers. Investors receive monthly payments of principal and taxable interest income as borrowers repay their loans. Investors may reinvest the payments or withdraw.
- TD Ameritrade
 LOCATION: US
- TIAA CREF (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Mark Takai , 05/15/2015