

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

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HAND DELIVERED

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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

PAUL W. HODES

(Full Name)

202-225-5206

(Daytime Telephone)

Filer Status

☒ Member of the U.S. House of Representatives

State: NH District: 02

Officer Or Employee

Employing Office:

Report Type

☐ Annual (May 15)

☒ Amendment

Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule I.		If yes, complete and attach Schedule VI.	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule II.		If yes, complete and attach Schedule VII.	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule III.		If yes, complete and attach Schedule VIII.	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule IV.		If yes, complete and attach Schedule IX.	
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	
If yes, complete and attach Schedule V.			

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name PAUL W. HODES

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Concord Community Music School	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL W. HODES

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BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.	BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
UBS Financial as follows:				
Citigroup, Inc. Stock	None	DIVIDENDS/CAP ITAL GAINS	\$201 - \$1,000	S
Apple Stock	None	CAPITAL GAINS	\$1,001 - \$2,500	PS
IBM Stock	None	DIVIDENDS/CAP ITAL GAINS	\$201 - \$1,000	PS
General Electric Stock	None	DIVIDENDS/CAP ITAL GAINS	\$201 - \$1,000	PS
Citizens Bank Account	\$1 - \$1,000	INTEREST	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL W. HODES

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JT	Merrimack County Savings Bank account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	Merrimack County Savings Bank account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	Approx. 50 acres - Lyme, NH	\$500,001 - \$1,000,000	RENT	\$201 - \$1,000	
Schwab Harvest Capital Management - transferred from Fidelity Investments as follows:					
	London Stock Exchange Group PLC Stock	None	DIVIDENDS/CAP ITAL GAINS	\$2,501 - \$5,000	S
	Hong Kong Exchanges and Clearing Ltd. Shares	None	DIVIDENDS/CAP ITAL GAINS	\$5,001 - \$15,000	S
	Berkshire Hathaway Inc CL B	None	CAPITAL GAINS	\$1,001 - \$2,500	S
	Canadian Oil Shares Tr	None	DIVIDENDS/CAP ITAL GAINS	\$2,501 - \$5,000	S
	El Paso Corp Com	None	DIVIDENDS/CAP ITAL GAINS	\$201 - \$1,000	S
	Leucadia Natl Corp	None	DIVIDENDS/CAP ITAL GAINS	\$1,001 - \$2,500	S
	Henderson Land Development Co. Ltd	None	CAPITAL GAINS	\$1,001 - \$2,500	S

SCHEDULE IV - TRANSACTIONS

Name PAUL W. HODES

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Citigroup, Inc. Stock	S	Yes	4-1-09	\$1,001 - \$15,000
	Mueller Inds, Inc. Stock	S	No	9-25-09	\$1,001 - \$15,000
	Travelers Cos Inc. Stock	S	No	8-21-09	\$1,001 - \$15,000
	Apple Stock	P	N/A	3-4-09, 3-10-09	\$1,001 - \$15,000
	Apple Stock	S	Yes	6-18-09	\$1,001 - \$15,000
	IBM Stock	P	N/A	3-4-09	\$1,001 - \$15,000
	IBM Stock	S	Yes	6-25-09	\$1,001 - \$15,000
	General Electric Stock	P	N/A	3-4-09	\$1,001 - \$15,000
	General Electric Stock	S	Yes	6-25-09	\$1,001 - \$15,000
	Allegheny Energy Inc.	S	No	5-27-09, 9-3-09	\$1,001 - \$15,000
	Berkshire Hathaway Inc CL B	S	Yes	2-19-09, 8-21-09	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name PAUL W. HODES

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Brookfield Asset Mgmt Inc.	S	No	1-13-09, 8-26-09	\$1,001 - \$15,000
	Canadian Oil Sands Tr	S	Yes	3-10-09	\$1,001 - \$15,000
	Gazprom O A O Sponsored ADR	S	No	1-5-09, 1-8-09, 8-31-09	\$1,001 - \$15,000
	Gazprom O A O Sponsored ADR	P	N/A	1-13-09	\$1,001 - \$15,000
	Legg Mason	S	No	1-5-09, 8-26-09	\$1,001 - \$15,000
	Reliant Energy Inc.	S	No	1-13-09	\$1,001 - \$15,000
	Deutsche Boerse AG Frankfurt Am Main	S	No	2-19-09	\$1,001 - \$15,000
	Burlington Northern Santa Fe Corp	S	No	2-9-09	\$1,001 - \$15,000
	CNOOC Ltd Sponsored ADR	S	No	1-13-09, 5-27-09, 8-31-09	\$1,001 - \$15,000
	Carnival Corp Paired CTF 1 Com	S	No	5-27-09, 8-26-09	\$1,001 - \$15,000
	Huaneng Power Intl Inc.	S	No	1-13-09	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name PAUL W. HODES

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Time Warner Inc.	S	No	5-27-09, 8-31-09	\$1,001 - \$15,000
	Union Pacific Corp	S	No	1-13-09, 9-21-09	\$1,001 - \$15,000
	VISA Inc Com Cl A	S	No	2-9-09	\$1,001 - \$15,000
	London Stock Exchange Group PLC Stock	S	Yes	8-27-09	\$1,001 - \$15,000
	Beijing Capital International Airport Ltd Shares	S	No	8-27-09	\$1,001 - \$15,000
	Hong Kong Exchanges and Clearing Ltd. Shares	S	Yes	8-27-09	\$1,001 - \$15,000
	El Paso Corp Com	S	Yes	9-25-09	\$1,001 - \$15,000
	ICICI Bk Ltd ADR	S	No	9-25-09	\$1,001 - \$15,000
	Luecadia Natl Corp	S	Yes	9-11-09	\$1,001 - \$15,000
	NASDAQ OMX Group, Inc.	S	No	9-14-09	\$1,001 - \$15,000
	Henderson Land Development Co. Ltd	S	Yes	8-27-09	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name PAUL W. HODES

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Anglo American PLC ADR New York	S	No	9-21-09	\$1,001 - \$15,000
	BHP Billiton Ltd Spon ADR	S	No	9-25-09	\$1,001 - \$15,000
	Disney Walt Co. Del	S	No	9-25-09	\$1,001 - \$15,000
	Encana Corp	S	No	8-21-09	\$1,001 - \$15,000
	Mastercard Inc Cl A	S	No	8-21-09	\$1,001 - \$15,000
	CME Group Inc.A	S	No	8-21-09	\$1,001 - \$15,000

SCHEDULE VIII - POSITIONS

Name PAUL W. HODES

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Big Round Records, Inc. (Inactive Corporation)
Member	Big Round Music, LLC

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Harvest Capital Management, Inc.
PORTFOLIO APPRAISAL BY ASSET CLASS
Paul W. Hodes Personal Account
December 31, 2009

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Cur. Yield
Domestic Equities							
325.000	JPMorgan Alerian MLP Index (AMJ)	23.72	7,708	28.58	9,288	2.7	6.2
1,127.119	DFA US Core Equity 1 Port (DFEOX)	8.87	10,000	9.30	10,482	3.0	1.3
200.000	WisdomTree Equity Income Fd(DHS)	35.03	7,007	34.57	6,914	2.0	3.8
100.000	Pharmaceutical HOLDERS Trust (PPH)	64.62	6,462	66.00	6,600	1.9	3.6
350.000	Vanguard Total Stock Mkt ETF (VTI)	53.61	18,763	56.37	19,729	5.7	2.5
125.000	iPath S&P 500 VIX Short-Term Futures (VXX)	56.97	7,121	34.07	4,259	1.2	0.0
			57,062		57,273	16.6	3.0
International Equities							
125.000	I-Shares MSCI Asia Ex-Japan (AAXJ)	54.65	6,831	55.71	6,964	2.0	0.0
375.000	Vanguard Emerging Markets ETF (VWO)	39.73	14,898	41.00	15,375	4.5	0.5
556.5250	DFA Intl Small Co (DFISX)	14.37	8,000	14.23	7,919	2.3	2.5
175.0000	I-Shares MSCI Pacific Ex Jpn (EPP)	38.63	6,761	41.37	7,240	2.1	3.9
175.0000	I-Shares S&P Europe 350 Index Fd (IEV)	40.57	7,099	38.96	6,818	2.0	2.6
275.0000	Vanguard Europe Pacific (VEA)	32.87	9,039	34.20	9,405	2.7	2.3
			52,628		53,721	15.6	1.8
Real Assets							
225	Powershares DB Agriculture Fund (DBA)	24.13	5,429	26.44	5,949	1.7	0.0
150	Powershares DB Oil Fund (DBO)	24.58	3,687	27.57	4,135	1.2	0.0
125	SPDR Gold Trust(GLD)	98.62	12,327	107.31	13,414	3.9	0.0
500	US Natural Gas Fund (UNG)	11.28	5,639	10.08	5,040	1.5	0.0
			27,082		28,538	8.3	0.0

Harvest Capital Management, Inc.
PORTFOLIO APPRAISAL BY ASSET CLASS
Paul W. Hodes Personal Account
December 31, 2009

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Cur. Yield
Absolute Return							
965.634	Absolute Strategies Fund-I (ASFIX)	10.36	10,000	10.45	10,091	2.9	1.4
15,000	US Treasury Inflation Indexed Bond 1.375% Due 07-15-18	97.15	14,572	100.48	15,072	4.4	1.4
			24,572		25,163	7.3	1.4
Fixed Income							
225,000	Mass Mutual Corp Investors (MCI)	23.78	5,350	25.10	5,647	1.6	8.6
20,000	Ally Bank FDIC 0.250% Due 01-04-10	100.00	20,000	99.90	19,980	5.8	0.3
5,000	Bow NH Sch District N/C 4.300% Due 07-01-10	102.48	5,124	101.75	5,088	1.5	4.2
5,000	Los Angeles CA GO N/C 4.000% Due 09-01-10	103.36	5,168	102.04	5,102	1.5	3.9
10,000	Salem NH GO N/C 2.000% Due 09-15-10	101.62	10,162	100.98	10,098	2.9	2.0
10,000	FHLB c 4/10 0.500% Due 10-05-10	100.07	10,007	99.97	9,997	2.9	0.5
25,000	FINOVA Grp SR NT (in default Orig Mat 11/15/09)	0.00	0	5.00	1,250	0.4	0.0
5,000	HCP INC N/C 5.950% Due 09-15-11	102.20	5,110	105.00	5,250	1.5	5.7
5,000	Express Scripts Inc 5.250% Due 06-15-12	106.18	5,309	106.14	5,307	1.5	4.9
10,000	Stratford Cnty NH GO N/C 4.000% Due 07-01-12	105.46	10,546	100.54	10,054	2.9	4.0
5,000	HSBC Finance Corp 2.800% Due 09-15-12	100.00	5,000	101.34	5,067	1.5	2.8
5,000	Rio Tinto Fin USA mwc 5.875% Due 07-15-13	105.70	5,285	108.25	5,413	1.6	5.4
10,000	Microsoft Corp N/C 2.950% Due 06-01-14	100.95	10,095	101.60	10,160	2.9	2.9
5,000	GE Capital N/C 5.500% Due 06-04-14	105.81	5,291	106.70	5,335	1.5	5.2
5,000	Oracle Corp 3.750% Due 07-08-14	103.69	5,185	103.87	5,193	1.5	3.6

Harvest Capital Management, Inc.
PORTFOLIO APPRAISAL BY ASSET CLASS
Paul W. Hodes Personal Account
December 31, 2009

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Cur. Yield
15,000	FNMA c 8/11 3.430% Due 08-26-14	100.00	15,000	101.18	15,177	4.4	3.4
5,000	Kinder Morgan Energy Ptrns MWC 5.125% Due 11-15-14	103.41	5,170	106.50	5,325	1.5	4.8
10,000	Manchester NH GO c 8/13 4.250% Due 12-01-14	109.89	10,989	107.43	10,743	3.1	4.0
5,000	Cox Communications Inc mwc 5.450% Due 12-15-14	105.82	5,291	107.98	5,399	1.6	5.0
10,000	NH Health & Higher Ed 3.800% Due 10-01-15	103.61	10,361	101.24	10,124	2.9	3.8
10,000	Verizon Communications MWC 5.550% Due 02-15-16	106.16	10,616	106.32	10,632	3.1	5.2
			165,060		166,341	48.2	3.5
Cash and Equivalents							
	Money Market Fund		13,769		13,769	4.0	0.0
TOTAL PORTFOLIO			340,173		344,805	100.0	2.5

Harvest Capital Management, Inc.
PERFORMANCE REPORT
NET OF FEES
Paul W. Hodes Personal Account
From 09-30-09 to 12-31-09

Portfolio Value on 09-30-09	358,400
Accrued Interest	1,218
Contributions	3
Withdrawals	-15,000
Realized Gains	-129
Unrealized Gains	-879
Interest	1,637
Dividends	1,893
Change in Accrued Interest	302
Management Fees	-1,120
Portfolio Value on 12-31-09	344,805
Accrued Interest	1,520
Average Capital	353,314
Total Fees	-1,120
Total Gain after Fees	1,704
IRR for 0.25 Years	0.48%

Harvest Capital Management, Inc.
PURCHASE AND SALE
Paul W. Hodes Personal Account
From 07-01-09 To 12-31-09

<u>Trade Date</u>	<u>Settle Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Unit Price</u>	<u>Amount</u>
Purchases					
09-18-09	09-21-09	965.634	Absolute Strategies Fund-I (ASFIX)	10.36	10,000
09-29-09	10-02-09	20,000	Ally Bank FDIC	100.00	20,000
			0.250% Due 01-04-10		
10-06-09	10-09-09	5,000	Bow NH Sch District N/C	102.48	5,124
			4.300% Due 07-01-10		
08-21-09	08-26-09	5,000	Cox Communications Inc mwc	105.82	5,291
			5.450% Due 12-15-14		
11-24-09	11-25-09	556.5250	DFA Intl Small Co (DFISX)	14.37	8,000
09-15-09	09-16-09	1,127.119	DFA US Core Equity 1 Port (DFEOX)	8.87	10,000
08-25-09	08-28-09	5,000	Express Scripts Inc	106.18	5,309
			5.250% Due 06-15-12		
09-25-09	10-05-09	10,000	FHLB c 4/10	100.07	10,007
			0.500% Due 10-05-10		
08-21-09	08-24-09	10,000	FHLB c 8/10	99.90	9,990
			1.750% Due 02-24-12		
08-14-09	08-24-09	15,000	FHLMC c 8/10	100.27	15,040
			2.250% Due 08-24-12		
08-14-09	08-26-09	15,000	FNMA c 8/11	100.00	15,000
			3.430% Due 08-26-14		
08-25-09	08-28-09	5,000	GE Capital N/C	105.61	5,291
			5.500% Due 06-04-14		
08-21-09	08-26-09	5,000	HCP INC N/C	102.20	5,110
			5.950% Due 09-15-11		
09-03-09	09-11-09	5,000	HSBC Finance Corp	100.00	5,000
			2.800% Due 09-15-12		
11-10-09	11-16-09	125,000	I-Shares MSCI Asia Ex-Japan (AAXJ)	54.66	6,831
09-10-09	09-15-09	175,000	I-Shares MSCI Pacific Ex Jpn (EPP)	38.63	6,761
11-18-09	11-23-09	175,000	I-Shares S&P Europe 350 Index Fd (IEV)	40.57	7,099
08-21-09	08-26-09	125,000	Ipath S&P 500 VIX Short-Term Futures (VXX)	56.97	7,121
09-04-09	09-10-09	325,000	JPMorgan Alerian MLP Index (AMJ)	23.72	7,708
09-10-09	09-15-09	5,000	Kinder Morgan Energy Plnrs MWC	103.41	5,170
			5.125% Due 11-15-14		
10-01-09	10-06-09	5,000	Los Angeles CA GO N/C	103.36	5,168
			4.000% Due 09-01-10		
09-18-09	09-23-09	10,000	Manchester NH GO c 6/13	109.89	10,989
			4.250% Due 12-01-14		
09-10-09	09-15-09	225,000	Mass Mutual Corp Investors (MCI)	23.78	5,350
08-26-09	08-31-09	10,000	Microsoft Corp N/C	100.95	10,095
			2.950% Due 06-01-14		
09-18-09	09-23-09	10,000	NH Health & Higher Ed	103.61	10,361
			3.800% Due 10-01-15		
08-25-09	08-28-09	5,000	Oracle Corp	103.69	5,185
			3.750% Due 07-08-14		
09-11-09	09-16-09	100,000	Pharmaceutical HOLDERS Trust (PPH)	64.62	6,462

Harvest Capital Management, Inc.
PURCHASE AND SALE
Paul W. Hodes Personal Account
From 07-01-09 To 12-31-09

Trade Date	Settle Date	Quantity	Security	Unit Price	Amount
09-09-09	09-14-09	225	Powershares DB Agriculture Fund (DBA)	24.13	5,429
09-23-09	09-28-09	150	Powershares DB Oil Fund (DBO)	24.58	3,687
08-21-09	08-26-09	5,000	Rio Tinto Fin USA mwc 5.875% Due 07-15-13	105.70	5,285
08-26-09	08-31-09	75	SPDR Gold Trust (GLD)	92.76	6,957
12-23-09	12-29-09	50	SPDR Gold Trust (GLD)	107.40	5,370
09-30-09	10-05-09	10,000	Salem NH GO N/C 2.000% Due 09-15-10	101.62	10,162
09-18-09	09-23-09	10,000	Stratford Cnty NH GO N/C 4.000% Due 07-01-12	105.46	10,546
09-11-09	09-16-09	500	US Natural Gas Fund (UNG)	11.28	5,639
08-25-09	08-26-09	15,000	US Treasury Inflation Indexed Bond 1.375% Due 07-15-18	97.15	14,572
09-11-09	09-16-09	175,000	Vanguard Emerging Markets ETF (VWO)	37.74	6,604
12-02-09	12-07-09	200,000	Vanguard Emerging Markets ETF (VWO)	41.47	8,294
09-04-09	09-10-09	275,000	Vanguard Europe Pacific (VEA)	32.87	9,039
09-04-09	09-10-09	175,000	Vanguard Total Stock Mkt ETF (VTI)	51.33	8,983
12-02-09	12-07-09	175,000	Vanguard Total Stock Mkt ETF (VTI)	55.89	9,780
08-26-09	08-31-09	10,000	Verizon Communications MWC 5.550% Due 02-15-16	106.16	10,616
12-28-09	12-31-09	200,000	WisdomTree Equity Income Fd(DHS)	35.03	7,007
					351,434

Sales

08-31-09	09-03-09	86	Allegheny Energy (AYE)	26.66	2,293
09-21-09	09-24-09	43	Anglo Amern PLC ADR (AAUK)	16.60	714
09-21-09	09-24-09	126	Anglo Amern PLC ADR (AAUK)	16.60	2,092
09-25-09	09-30-09	32	BHP Billiton Ltd ADR (BHP)	64.64	2,068
08-27-09	09-01-09	6,000.0000	Beijing Capital Intl Arprt(BJCHF)	0.59	3,540
08-21-09	08-26-09	1	Berkshire Hathaway Cl B (BRK/B)	3,315.91	3,316
08-26-09	08-31-09	50	Brookfield Asset Mgmt (BAM)	20.78	1,042
08-26-09	08-31-09	90	Brookfield Asset Mgmt (BAM)	20.78	1,868
08-26-09	08-31-09	70	Brookfield Asset Mgmt (BAM)	20.78	1,455
08-26-09	08-31-09	50	Brookfield Asset Mgmt (BAM)	20.78	1,039
08-26-09	08-31-09	50	Brookfield Asset Mgmt (BAM)	20.78	1,039
08-21-09	08-26-09	13	CME Group Inc (CME)	277.09	3,602
08-26-09	08-31-09	100	Carnival Corp-Cor/SBI (CCL)	30.43	3,043
08-26-09	08-31-09	22	Carnival Corp-Cor/SBI (CCL)	30.43	669
09-25-09	09-30-09	220	China Unicom Ltd (CHU)	14.41	3,170
08-31-09	09-03-09	35	Choac Ltd ADR (CEO)	129.70	4,539
08-21-09	08-26-09	87,000	Eaton Vance Ltd Dur Inc (EVV)	13.75	1,196
09-25-09	09-30-09	289	El Paso Corp (EP)	10.22	2,953
08-21-09	08-26-09	64	EnCana Corp (ECA)	52.88	3,384
11-23-09	11-24-09	10,000	FHLB c 8/10 1.750% Due 02-24-12	100.14	10,014

Harvest Capital Management, Inc.
PURCHASE AND SALE
Paul W. Hodes Personal Account
From 07-01-09 To 12-31-09

Trade Date	Settle Date	Quantity	Security	Unit Price	Amount
12-02-09	12-03-09	15,000	FHLMC c 8/10 2.250% Due 08-24-12	99.93	14,990
09-21-09	09-24-09	100	Ford Motor Co (F)	6.83	683
08-31-09	09-03-09	105	Gazprom OAO Spons ADR (OGZPY)	20.41	2,143
08-27-09	09-01-09	1,000.0000	Henderson Land Dev Ltd (HLDVF)	5.90	5,900
08-27-09	09-01-09	676.0000	Hong Kong Exch (HKXCF)	18.25	12,337
08-31-09	09-03-09	53	Huaneng Power Intl ADR (HNP)	27.76	1,471
09-11-09	09-16-09	20.000	I-Shares Treas. Inflation Protected (TIP)	102.28	2,046
09-25-09	09-30-09	100	ICICI Bank Ltd ADR (IBN)	35.14	3,514
08-26-09	08-31-09	28	Legg Mason, Inc. (LM)	28.69	809
08-26-09	08-31-09	44	Legg Mason, Inc. (LM)	28.69	1,271
09-11-09	09-16-09	171	Leucadia Natl Corp (LUK)	25.21	4,311
08-27-09	09-01-09	495.0000	London Stk Exch Grp (LDNXXF)	13.05	6,460
08-21-09	08-26-09	9	Mastercard Inc (MA)	207.41	1,867
08-14-09	08-19-09	12	Medco Health Solutions (MHS)	53.83	646
09-25-09	09-30-09	100	Mueller Inds Inc (MLI)	24.71	2,471
09-11-09	09-16-09	50	NASDAQ OMX Grp (NDAQ)	20.87	1,044
09-11-09	09-16-09	75	NASDAQ OMX Grp (NDAQ)	20.87	1,565
09-11-09	09-16-09	100	NASDAQ OMX Grp (NDAQ)	20.87	2,087
09-04-09	09-10-09	156.000	Nuveen Fiting Rate Op Fd (JRO)	8.49	1,325
09-04-09	09-10-09	92.000	Nuveen Ins Prem Inc (NPX)	12.05	1,109
09-04-09	09-10-09	86.000	Nuveen Muni Mkt Oppty Fd (NMO)	13.05	1,122
09-04-09	09-10-09	80.000	Nuveen Perf Plus Mun Fd (NPP)	13.54	1,083
09-04-09	09-10-09	86.000	Nuveen Prem Inc Mun Fd (NPM)	13.28	1,142
09-04-09	09-10-09	95.000	Nuveen Prem Inc Mun Fd (NPT)	11.89	1,130
09-25-09	09-30-09	48	Philip Morris Int'l (PM)	48.03	2,305
09-25-09	09-30-09	10	Phillip Morris Int'l (PM)	48.03	480
09-21-09	09-24-09	265	Reliant Resources (RRI)	6.72	1,781
09-21-09	09-24-09	206	Reliant Resources (RRI)	6.72	1,384
08-21-09	08-26-09	3	Rio Tinto PLC ADS (RTP)	159.76	479
08-21-09	08-26-09	28	The Travelers Cos Inc (TRV)	48.23	1,350
08-31-09	09-03-09	190	Time Warner (TWX)	27.77	5,277
09-21-09	09-24-09	61	Union Pacific (UNP)	61.69	3,763
09-25-09	09-30-09	100	Walt Disney Co (DIS)	27.95	2,795
					145,176

Harvest Capital Management, Inc.
REALIZED GAINS AND LOSSES
Paul W. Hodges Personal Account
From 01-01-09 Through 12-31-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
05-22-01	08-14-09	12	Medco Health Solutions (MHS)	208	646		438
08-10-01	08-21-09	1	Berkshire Hathaway Cl B (BRK/B)	2,311	3,316		1,005
11-08-08	08-21-09	13	CME Group Inc (CME)	3,408	3,602	194	
02-13-09	08-21-09	87,000	Eaton Vance Ltd Dur Inc (EVV)	995	1,196	202	
06-11-08	08-21-09	64	EnCana Corp (ECA)	5,864	3,384		-2,479
07-23-08	08-21-09	9	Mastercard Inc (MA)	2,450	1,867		-583
08-04-08	08-21-09	3	Rio Tinto PLC ADS (RTP)	1,116	479		-637
04-17-90	08-21-09	28	The Travelers Cos Inc (TRV)	80	1,350		1,271
10-18-06	08-26-09	50	Brookfield Asset Mgmt (BAM)	1,446	1,042		-405
11-27-06	08-26-09	90	Brookfield Asset Mgmt (BAM)	2,833	1,868		-966
07-27-07	08-26-09	70	Brookfield Asset Mgmt (BAM)	2,515	1,455		-1,060
07-27-07	08-26-09	50	Brookfield Asset Mgmt (BAM)	1,796	1,039		-757
09-10-07	08-26-09	50	Brookfield Asset Mgmt (BAM)	1,645	1,039		-606
09-09-08	08-26-09	100	Carnival Corp-Corn/SBI (CCL)	4,034	3,043	-991	
09-09-08	08-26-09	22	Carnival Corp-Corn/SBI (CCL)	888	689	-218	
11-12-07	08-26-09	28	Legg Mason, Inc. (LM)	2,090	809		-1,281
02-14-08	08-26-09	44	Legg Mason, Inc. (LM)	3,084	1,271		-1,813
02-06-07	08-27-09	6,000,000	Beijing Capital Intl Appt(BJCHF)	5,743	3,540		-2,203
10-18-08	08-27-09	1,000,000	Henderson Land Dev Ltd (HLDF)	3,446	5,800	2,454	
11-20-06	08-27-09	676,000	Hong Kong Exch (HKXCF)	6,056	12,337		6,281
11-22-05	08-27-09	495,000	London S&K Exch Grp (LDNKF)	4,058	6,460		2,404

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Harvest Capital Management, Inc.
REALIZED GAINS AND LOSSES
Paul W. Hodes Personal Account
From 01-01-09 Through 12-31-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
10-13-08	08-31-09	86	Allagheny Energy (AYE)	2,692	2,293	-360	-1,904
05-13-08	08-31-09	35	Cnooc Ltd ADR (CEO)	6,444	4,539		-1,86
05-14-08	08-31-09	53	Huaneng Power Intl ADR (HNP)	1,657	1,471		
01-08-09	08-31-09	105	Gazprom OAO Spons ADR (GZPY)	4,584	2,143	-2,441	
08-25-08	08-31-09	190	Time Warner (TWX)	6,775	5,277		-1,499
02-04-09	09-04-09	80,000	Nuveen Perf Plus Mun Fd (NPP)	1,004	1,083	79	
02-06-09	09-04-09	86,000	Nuveen Muni Mkt Oppty Fd (NMO)	1,026	1,122	96	
02-04-09	09-04-09	95,000	Nuveen Prem Inc Mun Fd (NPT)	987	1,130	142	
02-06-09	09-04-09	86,000	Nuveen Prem Inc Mun Fd (NPM)	1,018	1,142	124	
02-04-09	09-04-09	92,000	Nuveen Ins Prem Inc (NPX)	997	1,109	112	
02-13-09	09-04-09	156,000	Nuveen Filing Rate Op Fd (JRO)	989	1,325	336	
02-13-09	09-11-09	20,000	1-Shares Treas. Inflation Protected (TIP)	2,005	2,046	40	
12-24-02	09-11-09	171	Leucadia Natl Corp (LUK)	2,190	4,311		2,121
11-27-06	09-11-09	50	NASDAQ OMX Grp (NDAQ)	2,063	1,044		-1,019
02-22-07	09-11-09	75	NASDAQ OMX Grp (NDAQ)	2,453	1,565		-888
02-22-07	09-11-09	100	NASDAQ OMX Grp (NDAQ)	3,271	2,087		-1,183
06-15-08	09-21-09	43	Anglo Amer PLC ADR (AAUK)	1,132	714		-418
10-29-08	09-21-09	128	Anglo Amer PLC ADR (AAUK)	1,417	2,092	674	
06-02-09	09-21-09	100	Ford Motor Co (F)	672	683	11	

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Harvest Capital Management, Inc.
REALIZED GAINS AND LOSSES
Paul W. Hodes Personal Account
From 01-01-09 Through 12-31-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
06-11-08	09-21-09	61	Union Pacific (UNP)	4,550	3,763		-787
03-04-04	09-21-09	265	Reliant Resources (RRI)	2,162	1,781		-381
05-21-09	09-21-09	206	Reliant Resources (RRI)	1,081	1,384	303	
07-31-08	09-25-09	32	BHP Billiton Ltd ADR (BHP)	2,407	2,068		-339
01-08-09	09-25-09	220	China Unicom Ltd (CHU)	2,463	3,170	707	
12-05-08	09-25-09	100	Walt Disney Co (DIS)	2,103	2,795	692	
12-24-03	09-25-09	289	El Paso Corp (EP)	2,269	2,953		685
09-10-07	09-25-09	100	ICI Bank Ltd ADR (IBN)	4,397	3,514		-883
01-21-09	09-25-09	48	Philip Morris Int'l (PM)	1,990	2,305	315	
05-21-09	09-25-09	10	Philip Morris Int'l (PM)	435	480	45	
12-29-92	09-25-09	100	Mueller Inds Inc (MLI)	583	2,471	24	1,888
08-21-08	11-23-09	10,000	FHLB c 8/10	9,990	10,014		
			1,750% Due 02-24-12				
08-14-08	12-02-09	15,000	FHLMC c 8/10	15,040	14,990	-50	
			2,250% Due 08-24-12				
TOTAL GAINS						6,550	16,093
TOTAL LOSSES						-4,061	-22,278
TOTAL REALIZED GAIN/LOSS						2,490	-6,185
				148,872	145,176		

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Tax Year 2009

Date Prepared: March 24, 2010

Taxpayer ID Number: XXXXXXXXXX
Account Number: XXXXXXXXXX

DETAIL INFORMATION OF DIVIDENDS AND DISTRIBUTIONS				
Description	Cusip Number	Paid in 2009	Paid/Adjusted in 2010 for 2009	Amount
Ordinary Dividends				
Non-Qualified Dividends				
ABSOLUTE STRATEGIES FUND	34984T600	\$ 0.00	\$ 38.93	\$ 38.93
DFA INTL SMALL COMPANY	233203629	0.00	20.08	20.08
DFA U.S. CORE EQUITY I	233203413	0.00	10.68	10.68
EATON VANCE LTD DURATION	27828H105	9.42	0.00	9.42
HONG KONG EXCH NEW ORD F	Y3506N139	160.47	0.00	160.47
ISHARES MSCI ALL COUNTRY	464288182	63.32	(36.38)	26.94
ISHARES MSCI PAC EX JPN	464286665	140.28	(83.30)	56.98
ISHARES S&P EURO 350 IDX	464287861	50.91	(50.91)	0.00
ISHARES TR BARCLAYS TIPS	464287176	18.41	(7.60)	15.81
JP MORGAN EXCH TRADED NT	46625H365	144.01	0.00	144.01
MASSMUTUAL CORPORATE INV	576292106	121.50	121.50	243.00
NUVEEN FLOATING RATE	6706EN100	7.80	0.00	7.80
SCHWAB GOVT MONEY FUND	808515209	3.33	0.00	3.33
VANGUARD EMERGING MARKET	922042858	204.38	(116.18)	88.20
VANGUARD EUROPE PAC ETP	921943858	219.18	(219.18)	0.00
VANGUARD TOTAL STOCK MKT	922908769	168.70	(168.70)	0.00
Total Non-Qualified Dividends (Included in Box 1a)		\$ 1,311.71	\$ (486.06)	\$ 825.65
Short Term Capital Gains				
ABSOLUTE STRATEGIES FUND	34984T600	\$ 3.47	\$ (1.57)	\$ 1.90
Total Short Term Capital Gains (Included in Box 1a)		\$ 3.47	\$ (1.57)	\$ 1.90
Qualified Dividends				
ABSOLUTE STRATEGIES FUND	34984T600	\$ 71.23	\$ (37.36)	\$ 33.87
BHP BILLITON LTD ADR F	088606108	26.24	0.00	26.24
DFA INTL SMALL COMPANY	233203629	48.42	(18.63)	29.79
DFA U.S. CORE EQUITY I	233203413	54.10	(10.68)	43.42
EL PASO CORPORATION	283361109	14.45	0.00	14.45
ISHARES MSCI ALL COUNTRY	464288182	0.00	44.09	44.09
ISHARES MSCI PAC EX JPN	464286665	0.00	84.48	84.48
ISHARES S&P EURO 350 IDX	464287861	0.00	57.48	57.48
MUELLER INDUSTRIES INC	624756102	10.00	0.00	10.00
PHARMACEUTICAL HOLDERS	71712A206	582.50	(543.00)	CORRECTED 39.50
PHILIP MORRIS INTL INC	718172109	33.64	0.00	33.64
TIME WARNER INC NEW	887317303	35.63	0.00	35.63
UNION PACIFIC CORP	907818108	16.47	0.00	16.47
VANGUARD EMERGING MARKET	922042858	0.00	140.17	140.17
VANGUARD EUROPE PAC ETP	921943858	0.00	239.37	239.37

Tax Year 2009

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Date Prepared: March 24, 2010

Taxpayer ID Number: [REDACTED]
Account Number: [REDACTED]

DETAIL INFORMATION OF DIVIDENDS AND DISTRIBUTIONS (continued)

Description	Cusip Number	Paid in 2009	Paid/Adjusted in 2010 for 2009	Amount
Ordinary Dividends (continued)				
Qualified Dividends (continued)				
VANGUARD TOTAL STOCK MKT	922908769	\$ 0.00	\$ 168.70	\$ 168.70
Total Qualified Dividends		\$ 892.68	\$ 124.62	\$ 1,017.30
(Box 1b and included in Box 1a)				
Total Ordinary Dividends (Box 1a)		\$ 2,207.86	\$ (363.01)	\$ 1,844.85
(Non-Qualified Dividends, Short Term Capital Gains and Qualified Dividends)				

Description	Cusip Number	Paid in 2009	Paid/Adjusted in 2010 for 2009	Amount
Nondividend Distributions (Return of Capital)				
ISHARES TR BARCLAYS TIPS	464287176	\$ 0.00	\$ 2.60	\$ 2.60
Total Nondividend Distributions (Box 3)		\$ 0.00	\$ 2.60	\$ 2.60
(Return of Capital)				

Description	Cusip Number	Paid in 2009	Paid/Adjusted in 2010 for 2009	Amount
Foreign Tax Paid				
DFA INTL SMALL COMPANY	233203629	\$ 0.00	\$ (1.45)	\$ (1.45)
ISHARES MSCI ALI. COUNTRY	464288182	0.00	(7.71)	(7.71)
ISHARES MSCI PAC EX JPN	464286665	0.00	(1.18)	(1.18)
ISHARES S&P EURO 350 IDX	464287861	0.00	(6.57)	(6.57)
VANGUARD EMERGING MARKET	922042858	0.00	(23.99)	(23.99)
VANGUARD EUROPE PAC ETF	921943858	0.00	(20.19)	(20.19)
Total Foreign Tax Paid (Box 6)		\$ 0.00	\$ (61.09)	\$ (61.09)

DETAIL INFORMATION OF INTEREST INCOME

Description	Cusip Number	Paid in 2009	Paid/Adjusted in 2010 for 2009	Amount
Interest Income				
COX COMMUNICATN 5.45%14	224044BM8	\$ 136.25	\$ 0.00	\$ 136.25
EXPRESS SCRIPTS 5.25%12	302182AC4	135.63	0.00	135.63
GEN BLEC CAP CORP 5.5%14	36962G2Z6	137.50	0.00	137.50
HEALTH CARE PROP 5.95%11	421915EF2	148.75	0.00	148.75
KINDER MORGAN 5.125%14	494550AS5	128.13	0.00	128.13
MICROSOFT CORP 2.95%14	594918AB0	158.15	0.00	158.15
Total Interest Income (Included in Box 1)		\$ 844.41	\$ 0.00	\$ 844.41

Tax Year 2009

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Date Prepared: March 24, 2010

Taxpayer ID Number: XXXXXXXXXX
Account Number: XXXXXXXXXX

DETAIL INFORMATION OF INTEREST INCOME (continued)

Description	Cusip Number	Paid in 2009	Paid/Adjusted in 2010 for 2009	Amount
Accrued Interest Income from Sale				
FED HM LN MTG 2.25%12	3128X9AM2	\$ 92.81	\$ 0.00	\$ 92.81
FED HOME LN BK 1.75%12	3133XUHA4	43.75	0.00	43.75
Total Accrued Interest Income from Sale (Included in Box 1)		\$ 136.56	\$ 0.00	\$ 136.56
Total Interest Income (Box 1)		\$ 980.97	\$ 0.00	\$ 980.97

Description	Cusip Number	Paid in 2009	Paid/Adjusted in 2010 for 2009	Amount
Tax-Exempt Interest				
MANCHESTER N H 4.25%14	562333BZ6	\$ 212.50	\$ 0.00	\$ 212.50
NEW HAMPSHIRE HIA 3.8%15	64461TBL7	190.00	0.00	190.00
Total Tax-Exempt Interest (Included in Box 8)		\$ 402.50	\$ 0.00	\$ 402.50

Tax-Exempt Dividends				
NUVEEN INSD PREM INCM II	6706D8104	\$ 5.47	\$ 0.00	\$ 5.47
NUVEEN MUNI MKT OPPTY FD	67062W103	5.93	0.00	5.93
NUVEEN PERFORMANCE PLUS	67062P108	5.44	0.00	5.44
NUVEEN PREM INC MUN FD 4	6706K4105	5.84	0.00	5.84
NUVEEN PREM INCM MUN II	67063W102	5.93	0.00	5.93
Total Tax-Exempt Dividends (Included in Box 8)		\$ 28.61	\$ 0.00	\$ 28.61

Total Tax-Exempt Interest (Box 8)		\$ 431.11	\$ 0.00	\$ 431.11
(Tax-Exempt Interest and Tax-Exempt Dividends)				

Description	Cusip Number	Paid in 2009	Paid/Adjusted in 2010 for 2009	Amount
Specified Private Activity Bond Interest				
Tax-Exempt Dividends				
NUVEEN INSD PREM INCM II	6706D8104	\$ 0.00	\$ 0.86	\$ 0.86
NUVEEN MUNI MKT OPPTY FD	67062W103	0.00	0.38	0.38
NUVEEN PERFORMANCE PLUS	67062P108	0.00	0.55	0.55
NUVEEN PREM INC MUN FD 4	6706K4105	0.00	0.42	0.42
NUVEEN PREM INCM MUN II	67063W102	0.00	0.52	0.52
Total Tax-Exempt Dividends (Box 9 and included in Box 8)		\$ 0.00	\$ 2.73	\$ 2.73
Total Specified Private Activity Bond Int (Box 9) (Tax-Exempt Dividends)		\$ 0.00	\$ 2.73	\$ 2.73