No ×	Yes 🔲	pendent child	<b>EXEMPTION</b> —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	<b>EXEMPTION</b> —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilitie because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Con	arned" income ss you have fir	other assets, "une answer "yes" unle	this report any nption? Do not	e you excluded from all three tests for exer	TION—Have they meet a	<b>EXEMP</b> because
No ×	Yes 🔲	ot be	cepted trusts" need not be	TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted t disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	nittee on Ethicating you, your	oved by the Comr	ind Trusts" appr	excluded from this re	\$—Details re d. Have you	TRUST:
S	QUESTION	F THESE	ANSWER EACH OF THESE QUESTIONS		T INFORI	IT, OR TRUS	EPENDEN	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION —	JSION O	EXCL
	esponse.	each "Yes" r	lule attached for each "Yes" response	ppropriate schedul	and the a	t be answered	is part mus	Each question in this part must be answered and the appropriate	Eac	
No ×	Yes	\$5,000 from	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	VI. Did you receive compensation of r a single source in the two prior years? If yes, complete and attach Schedul	No ×	Yes	I have any report- porting period?	III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	ou, your spousity (more than mplete and a	III. Did y able liabii If yes, cc
S □	Yes X	angement	<ul> <li>V. Did you have any reportable agreement or arrangement with an outside entity?</li> <li>If yes, complete and attach Schedule V.</li> </ul>	V. Did you have any reportable agreement with an outside entity? If yes, complete and attach Schedule V	<b>™</b>	d? Yes X	receive "unearne iod or hold any e end of the perio	It. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	u, your spous f more than \$3 e asset worth mplete and a	II. Did yo income o reportable If yes, co
S	Yes X	efore the date r two years?	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	IV. Did you hold any rep of filing in the current ca If yes, complete and at	N <sub>S</sub>	Yes X	ne (e.g., salaries o	I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?  If yes, complete and attach Schedule I.	u or your spou 200 or more f mplete and a	I. Did yo fees) of \$
				EACH OF THESE QUESTIONS	OF THES	ER		PRELIMINARY INFORMATION — ANSW	MINARY	PRELI
		-				Ť	s blue or black in	tung av print gloorkr in	tions places	
Wild	more than 30 days late.	more than (				Employing Office:	Employ	New officer or employee		Status
assessed		A \$200 pen	Check if Amendment	11/4/2014	Date of Election:	Iowa 3	State: <u>Iowa</u> ives District:	Candidate for the House of Representatives	×	Filer
	(Office Use Only)							:		
		2		Daytime Telephone:	Daytime			Appel	Name: Staci	Name
VES	U.S. HOUSE OF REPRESENTATIVES	OFFICE OF								
ω	2013 OCT 24 PM 1: 03	2013 OCT 2		For use by candidates and new employees	013F	30, 2	ATEMENT September	FINANCIAL DISCLOSURE STATEMENT Period covered: January 1, 2013 - Septer	covered:	<b>FINA</b>
<b>Fight 1 of</b> 25	LEGISLATIVE RESOURCE CENTAGE 1 of 25	LEGISLATIVE				TATIVES	REPRESEN	UNITED STATES HOUSE OF REPRESENTATIVES	D STATE	ITINU

## SCHEDULE I — EARNED INCOME (INCLUDING HONORARIA)

Name STACI APPEL

Page 2 of 25

exceeding \$1.000. See examples below.			more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income	List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or	
--	--	--	---	--	--

exceeding \$1,000. See examples below.  Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.	ement programs, and benefits re	ceived under the Social S	Security Act.
Circles of the children of the	Timo	Amount	unt
יייים אים היים אים ה	, <del>, , , , , , , , , , , , , , , , , , </del>	Current Year to Filing	Preceding Year
XYZ Corporation, Houston, TX	Salary	\$6,300	\$28,450
-		\$400	\$3,200
XYZ Trade Association, Chicago, IL (Rec'd December 2)	Honorarium	0	\$1,000
Harris County, Texas Public Schools	Spouse Salary	NA	NA
State of Iowa	Spouse Salary	NA	NA
Brent Appel (IRA) FCC as Custodian	Spouse IRA Distribution	NA	NA

		SP	<u></u>	Т	ທີ	<u>_</u>		æ			E 3 # T	FT3 73	<b>% T.</b> T.	<u> </u>	33805		
UBS	IPERS	P Iowa	JT JT W	Wells	SP Hay Fa	7	DC, Examples:	SP,	nomes and vacation nomes ( <i>uniess</i> mere was reintal income during the reporting period); any deposits totaling 55,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left.  For a detailed discussion of Schedule II requirements, please refer to the instruction booklet.	Exclude: Your personal residence, including second	For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.	For rental or other real property held for investment, provide a complete address or a description, e.g. "rental property," and the city and state.	For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.	Provide complete names of stocks and mutual funds (do not use ticker symbols).	Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.	Asset and/or Income Source	
(Pension)			Wells	1	Farm,	┢	(S)	SP	y the r y the r yr tes yr tes yr tes ha any ha any ha ha any ha any ha ha any ha any ha ha any ha ha any ha ha any ha ha any ha ha any ha ha any ha ha ha ha ha ha ha ha ha ha ha ha ha	<u> </u>	nership int publicly transture of Block A.	other mplet my," an	and other exceed	plete r	tha fai the re set or 90 in	t and	
lsic	(Pension)	Judicial		Fargo	War	1st B	Simo	Mega Corp. Stock	auton nomes (unless mere was rental the reporting period); any deposits total-less in personal checking or savings any financial interest in, or income it ederal retirement program, including as Plan.  Se you may indicate that an asset or is that of your spouse (SP) or depenor is jointly held with your spouse (JT), column on the far left.  Siscussion of Schedule II requirements, the instruction booklet.	sonal	nteresi traded	real per add	ner ret value ds the	names ymboli	asset held for investment or fair market value exceedin reporting period, and (b) or sources of income which in "unearned" income durin	₩or	BL(
)n)	sio	ial	Fargo	1	Warren	lank o	Simon & Schuster	a Cor	nes (Leerson Researches) en erson Researches en erson Researches et erson Researches e	reside	erest in a privately-held business aded, state the name of the busi- its activities, and its geographic	proper fress city ar	ireme for e	s) of st	d for it	声	BLOCK A
	n)			Acct	٥٠,	Pad	chus	p. Sto	inness inod); a interes intere	ence.	privat e the l	e state	nt plan each a ting #	ocks.	nvestriue ex lod, a ncome	ğ	>
		Pensi	Acct		, IA		ē	욧	there where the control of the contr		rely-he name nd its	ld for desci	ns (su asset iresho	and n	nent c ceedir nd (b whice e duri	So	
		o H	''			<b>?</b>			was remail posits total- or savings or income  n, including  an asset or  ) or depen- pouse (JT),  quirements,	ing s	geog geog	held for investment, a description, e.g., state.	ch as held	utual	r prod ng \$1, any h gen ng the	Č	
					(16AC)	1st Bank of Paducah, KY accounts			rental virings avings luding luding set or epen- (JT), anents,	econd	siness busi- raphic	ment,	401(k) in the	funds	production g \$1,000 at any other generated g the year.	æ	
Un	Und	Ą							None		>	₹≟		<u>≅</u> ≅			
Undetern	ıdet	er er	<u> </u>	-			Ļ	ļ	\$1 - \$1,000		В	*This column is for assets solely held by your spouse or dependent child.	it generated income, the value should be "None."	If an asset was sold during the report- ing year and is included only because	Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.		
H H	ter.	ermi	1	-		┢	Indefinite		\$1,001 - \$15,000 <b>\$15,001 - \$50,000</b>		<u>ი</u>	rspir	ne."	sset ar ar	ngy otto		
n ir	miı	e	1	×		t	nite	×	\$50,001 - \$100,000			ouse na		nd is	ear. Cify	Val	
1ed	nec	-	×		×	×			\$100,001 - \$250,000		'n	악호	SOM	s so	than	듵	BE
		1		1	<u> </u>	1	-		\$250,001 - \$500,000		6	dep	ē, ⇒		Sset Ou u fair met	Value of Asset	вгоск в
$\vdash$		Ret	<b></b>	╁	-	┞		H	<b>\$500,001 - \$1,000,000</b> \$1,000,001 - \$5,000,000		<u>_</u>	end	€		ma hod	SS	Β.
						t	H		\$5,000,001 - \$25,000,000			ent sole	alue	돌	clos rket	e	
		rement							\$25,000,001 - \$50,000,000			▍⋛⋛	sho	<u>= 3</u>	e of value		
		n							Over \$50,000,000			] " <u>@</u>		port	,न <u>ड</u> िन्		:
<u> </u>			1	ļ		Ļ	<u>.                                    </u>		Spouse/DC Asset over \$1,000,000*			_					
×	X	×	<b> </b>	╂──	1	┞	_	×	NONE DIVIDENDS			탈		·xeL Big	Chec retire allow inves		
				<del>                                     </del>	×	┞	H		RENT		<del>.</del>	g E	ent, ed as	S or I	med when	₹	
			×	×		İ×			INTEREST			<b>1</b>	et ac	RAS)	incor	9	<u>B</u>
									CAPITAL GAINS			l ş	ome ome	<u> </u>	coun coun cha tha	띀	вгоск с
				<u> </u>		L	_	_	EXCEPTED/BLIND TRUST			during the reporting period		may	Check all columns that a retirement accounts the allow you to choose investments or that gene deferred income (such a	S	ô
$\vdash$			1	<u> </u>		┡	20	L	TAX-DEFERRED Other Type of Income			ğ	로 X 등 등	¥ 6	Check all columns that apply, retirement accounts that do allow you to choose sperinvestments or that generate investments or that generate deterred income (such as 40)	Type of Income	
							Royattes		(Specify: e.g., Partnership Income or Farm Inc	ome			interest, and capital gams, even if reinvested, must be dis- closed as income. Check "None" if the asset generated no income	plans or IRAs), you may check the "Tax-Deferred" column. Dividends,	Check all columns that apply. For retirement accounts that do not allow you to choose specific investments <u>or</u> that generate taxdelerred income (such as 401(k))		
×	×	×	<u> </u>		1	t	-		None				w <u> </u>				
			×	×					\$1 - \$200	=				inc	nco chec		
			ļ		×	L			\$201 - \$1,000	Ξ			is c	Ĭ	ass oktr ca		
			ļ	<u> </u>	<u> </u>	L	_	×	\$1,001 - \$2,500	_{			악	Ω.	pite "		
-			<u> </u>	-		ľ	×		<b>\$2,501 – \$5,000</b> \$5,001 – \$15,000	<u> </u>	1 5		eg 3	nec j	in de la companya de		
				<del>                                     </del>		t			\$15,001 - \$50,000				This column is for incompouse or dependent child.	ź	whice e o		
									\$50,001 - \$100,000	VIIVIII	∃ ≩		3 Y	one	\$ Q C Y		
									\$100,001 - \$1,000,000	×	ja ja		돌	-~ 	ma. Tea	≥	
			}	├	-	₽	-	-	\$1,000,001 - \$5,000,000	×		1	7. 79	j E	hec For if	μo	
<b></b>			1	$\vdash$		Ͱ			Over \$5,000,000 Spouse/DC Income over \$1,000,000*	 			der	nco	xec all c ppri	ă	B
×	×	×	×			t			None	_=	_	1	This column is for income derived from assets solely held by your souse or dependent child.	come. Check "None" if no income was earned or generated	For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as	Amount of Income	BLOCK D
				×	<u> </u>	T			\$1 - \$200	_	_	I	fror	was	ir as box	inc.	D
					×			×	\$201 - \$1,000	=	_ ₽		e ⊒	ea	efe sett	9	
			<del> </del>	<del> </del>	ļ	Ŀ	_	<u>,                                    </u>	\$1,001 - \$2,500	_ <	<u>0</u>	•	SSet	mec	med ow.	Ø	
$\vdash\vdash$			<del> </del>	<del> </del>	<del> </del>	厃	×	H	<b>\$2,501 - \$5,000</b> \$5,001 - \$15,000	< ≤		I	<u>δ</u>	Q.	# Dica in		
$\square$		······	<del> </del>	t		t			\$15,001 - \$50,000		<b>-</b> 3		ole)	ger	He t		
									\$50,001 - \$100,000	<u> </u>	Year	I	<u>ک</u> کر	era	he c		
									\$100,001 - \$1,000,000	×	<sup>22</sup>	I	풉	ted	yate yate		
				<u> </u>		┡	<del> </del>	<u> </u>	\$1,000,001 - \$5,000,000	×			<u>ک</u> کو	•	ied gor		
$\vdash$			-	-	<del> </del>	Ͱ	<u> </u>		Over \$5,000,000 Spouse/DC Income over \$1,000,000*	×		I	ĵour Tu		nay y of est,		
ldot		L	<u></u>			<u> </u>	<u>.                                    </u>	<u> </u>	opousers of income over \$1,000,000"	===		<u> </u>	,				

Name

STACI APPEL

Page.

4

of 25

Continuation Sheet (if needed)

ďS ďS Ę 8 SP ING Wells Fargo ING -COHEN & STEERS RLTY -ING Russell -ARTIO GLOBAL INVT FDS ABERDEE -GOLDMAN SACHS TR FINL SQUARE -EUROPACIFIC GROWTH -DREYFUS APPRECIATION FD -DODGE & COX STK FD -AMERICAN CENTY MUT -ING Russell -ING Russell -GOLDMAN SACHS TRUST STRATEGIC TREAS INSTRS FD INSTL CL INVS SHS FUND - INSTL Port S Asset and/or Income Source TOTAL RETURN BD FD CL INCOME FD CL INSTITUTIONAL 401K 401K BLOCK A Frg Lrg Lrg IRA Cap Cap Cap 3 SQA SHARES Index Index Index B GROWTH INC 된-1 None 8 \$1 -- \$1,000 × × × × X X × × \$1,001 - \$15,000 C × g \$15,001 ~ \$50,000 Value of Asset ſΠ × \$50,001 - \$100,000 **BLOCK B** × \$100,001 - \$250,000 \$250,001 - \$500,000 G Ξ \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 Ç. \$25,000,001 - \$50,000,000 ㅈ Over \$50,000,000 Z Spouse/DC Asset over \$1,000,000\* NONE DIVIDENDS Type of Income RENT BLOCK C INTEREST **CAPITAL GAINS EXCEPTED/BLIND TRUST** × × × × TAX-DEFERRED × > × × × ×  $\succ$ Other Type of Income--(Specify: e.g., Partnership Income or Farm Income) × × × × × × × × × × None \$1 - \$200 \$201 - \$1,000 XI III V V VI VII VIII IX \$1,001 - \$2,500 **Current Year** \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Amount of Income × \$1,000,001 - \$5,000,000 × Over \$5,000,000 BLOCK D × Spouse/DC income over \$1,000,000\* × × × × × × × × × None × \$1 - \$200 XI | III | IV | V | VI | III | II \$201 - \$1,000 **Preceding Year** \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000 × Spouse/DC Income over \$1,000,000\*

Name

STACI APPEL

Page.

[ဟ

of 25

Continuation Sheet (if needed)

SP. Ę 8 -VIRTUS EMERGING MARKETS -PIMCO FDS PAC INVT MGMT SER -PIMCO FDA PAC INVT MGMT SER GROW CL I -OPPENHEIMER INTL BD FD CLASS -HEARTLAND VALUE PLUS FD MARKETS EQUITY FUND INSTL CLAS WELLS FARGO FDS TRUST EMERGING -MFS SER TR X EMERGING MKTS -MANAGERS -PIONEER SER TR I PIONEER -ALGER SMALLCAP AND MIDCAP -OPPENHEIMER SENIOR -JPMORGAN TR I INTREPID VALUE DEBT FD CLASS I -METROPOLITAN WEST FDS Ð TOTAL RETURN FD INSTL CL OPPORTUNITIES FND CL I INSTITUTIONAL RATE FD CL Y Asset and/or Income Source COMMODITY REAL RETURN STRAT OAK RIDGE SMALL FD SELECT CL RETURN BD FD CL I INSTL CL FDS BLOCK A BOND FUND FD FLOATING CAP INSTL TOTAL ð В None ₿ \$1 - \$1,000 × × × × × × X × × O \$1,001 - \$15,000 O \$15,001 - \$50,000 Value of Asset \$50,001 - \$100,000 BLOCK B \$100,001 - \$250,000 T \$250,001 - \$500,000 Ð \$500,001 - \$1,000,000 I \$1,000,001 - \$5,000,000 ſ \$5,000,001 - \$25,000,000 ᄌ \$25,000,001 - \$50,000,000 Over \$50,000,000 Spouse/DC Asset over \$1,000,000\* NONE **DIVIDENDS** Type of Income RENT BLOCK C INTEREST **CAPITAL GAINS EXCEPTED/BLIND TRUST** X X X X X × × X Х X TAX-DEFERRED × × × Other Type of Income--(Specify: e.g. Partnership Income or Farm Income) × × × × × × × × ×  $\times$ × × None \$1 - \$200 \$201 - \$1,000 KI IV V VI VIIVIII IX X \$1,001 - \$2,500 **Current Year** \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Amount of Income \$1,000,001 - \$5,000,000 × Over \$5,000,000 BLOCK D × Spouse/DC Income over \$1,000,000\* × × × × × × × × × × × None × \$1 - \$200 \$201 - \$1,000 XI III V V VI VIII IX Preceding Year \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 -- \$50,000 \$50,001 -- \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 × Over \$5,000,000 Spouse/DC Income over \$1,000,000\*

GROWTH FUND CL Y

Name STACI APPEL

Page 6 of 25

Continuation Sheet (if needed)

Another   Anot	SP	SP	gp.	дS	SP	đS	<b>GP</b>	SP	дS	SP	đS				sp, DC		
N	AMERICAN AXLE & MFG HLDGS			DATA SYSTEMS	-AGRIUM INC		TECHNOLOGY	CORP-CL		-CASH	FARGO	FDS TR	TR INVT	INVT TR VALUE FD CL		Asset and/or Income Source	ВLОСК А
DIVIDENDS   RENT   INTEREST   CAPITAL GAINS   EXCEPTED/BLIND TRUST   TAX-DEFERRED   Other Type of Income-(Specify: e.g., Partnership Income or Farm Income)	×	X	X	x	×	×	×	X	X	X		×	х	х	\$1 - \$1,000	Value of Asset	вгоск в
X   X   X   X   X   X   X   X   X   X	×	×	×	x	×	×	×	×	×	×		×	×	×	DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income(Specify: e.g.,	Type of Income	вгоск с
\$5,001 - \$15,000 \leq \frac{\text{\tint{\text{\tint{\text{\tin\text{\texict{\text{\texicl{\text{\text{\text{\text{\texit{\text{\text{\tin}\tint{\text{\tinct{\texit{\text{\text{\text{\texict{\te\tinte\tint{\ti}\til\ti															None	Amount of Income	BLOCK D

Name

STACI APPEL

Page 7

**e** 25

Continuation Sheet (if needed)

qs ЧS SP ďS SP ďS дS ďS Ξ 8 ŞP, -B/E -AVERY DENNISON -ASML HOLDING -APPLE INC -AMERICAN -AT&T INC -AON PLC CLASS AMERICAN INTL GROUP INC AUTOMATIC DATA PROCESSING ATWOOD OCEANICS **SHS NEW 2012** SPONSORED ADR ANHEUSER BUSCH AMTRUST FINANCIAL SERVICES -AMGEN AMERIPRISE FINANCIAL Asset and/or Income Source AEROSPACE INC INC ELECTRIC POWER BLOCK A ā Þ INBEV CORP Ϋ́ REGISTRY SA/NV-INC None ₩ \$1 - \$1,000 × × × × × X × \$1,001 - \$15,000 × × × × S G \$15,001 - \$50,000 Value of Asset Ш \$50,001 - \$100,000 **BLOCK B** \$100,001 - \$250,000 П \$250,001 - \$500,000 G \$500,001 - \$1,000,000 I \$1,000,001 - \$5,000,000 ٦ \$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000 ㅈ Over \$50,000,000 Spouse/DC Asset over \$1,000,000\* NONE DIVIDENDS Type of Income RENT BLOCK C INTEREST **CAPITAL GAINS EXCEPTED/BLIND TRUST** × TAX-DEFERRED X X × × × × X X × X X × × Other Type of Income--(Specify: e.g. Partnership Income or Farm Income) × × X × × × × × × × × × None \$1 - \$200 \$201 -- \$1,000 III V V VI VII VIII IX \$1,001 - \$2,500 **Current Year** \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Amount of Income \$1,000,001 - \$5,000,000 Over \$5,000,000 BLOCK D × Spouse/DC Income over \$1,000,000\* X × × × × × × × × × × × None \$1 - \$200 \$201 - \$1,000 XI IIIV IIV V VI VIIIVIX Preceding Year \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000 Spouse/DC Income over \$1,000,000\*

Name

STACI APPEL

Page

00

**e** 25

Continuation Sheet (if needed)

g P SP SP SP SP R Ę SP -COMCAST CORP -COCA-COLA COMPANY -CITIGROUP -CHECK POINT SOFTWARE TECH -BRISTOL -BIO-REFERENCE LABS -BANK OF AMERICA CORP -COLFAX CORP -BIOGEN IDEC BOEING BOSTON BEER INC BANK OF CHEVRON BALCHEM Asset and/or Income Source ဗ CORPORATION MYERS SQUIBB CORP THE OZARKS INC NEW **BLOCK A** INC NEW CLASS В G INC INC × G.F. None 8 \$1 - \$1,000 × × × X X × × × × × × C  $\times$ \$1,001 - \$15,000 O \$15,001 - \$50,000 Value of Asset ш \$50,001 - \$100,000 BLOCK B Ŧì \$100,001 - \$250,000 Ð \$250,001 - \$500,000 I \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 \_ \$25,000,001 - \$50,000,000 ᄌ Over \$50,000,000 Spouse/DC Asset over \$1,000,000\* Z NONE **DIVIDENDS** Type of Income RENT BLOCK C INTEREST **CAPITAL GAINS** EXCEPTED/BLIND TRUST TAX-DEFERRED × × × × X X × × × × × Other Type of Income -- (Specify: e.g., Partnership Income or Farm Income) × × × × × × × × × × × × × × None \$1 - \$200 \$201 - \$1,000 \$1,001 - \$2,500 **Current Year** \$2,501 - \$5,000 XI III V II V IX \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Amount of Income \$1,000,001 - \$5,000,000 × Over \$5,000,000 BLOCK D × Spouse/DC Income over \$1,000,000\* × × × × × × × × × X None × × × \$1 - \$200 XI III V V VI VI VI VII VI \$201 - \$1,000 Preceding Year \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000 Spouse/DC Income over \$1,000,000\*

Name

STACI APPEL

Page 9

of 25

Continuation Sheet (if needed)

q<sub>S</sub> ďS ďS SP q2 ЧS SP SP ďS ξĐ ďS ďS SP Ę æ В -CVS -DOMINION -DISCOVERY COMMUNICATIONS -CREDIT ACCEPTANCE -COMPUTER SCIENCES -DISCOVER FINANCIAL CROWN CASTLE INTL MICHIGAN EATON EAGLE BANCORP INC DORMAN DANAHER CORP CRANE COMPANY CONOCOPHILLIPS EBAY Asset and/or Income Source CAREMARK CORP INC CORP PRODUCTS RES **BLOCK A** PLC INC VA NEW INC CORP CORP CORP ð INC None ₿ \$1 - \$1,000 × × × × × × × X X X × × C \$1,001 - \$15,000 g \$15,001 - \$50,000 Value of Asset \$50,001 - \$100,000 Ш BLOCK B П \$100,001 - \$250,000 \$250,001 - \$500,000 G \$500,001 - \$1,000,000 I \$1,000,001 - \$5,000,000 c\_ \$5,000,001 - \$25,000,000 ㅈ \$25,000,001 - \$50,000,000 Over \$50,000,000 Ζ Spouse/DC Asset over \$1,000,000\* NONE **DIVIDENDS** Type of Income RENT BLOCK C INTEREST **CAPITAL GAINS EXCEPTED/BLIND TRUST** TAX-DEFERRED × × × X × × × × ×  $\times$ × × × × Other Type of Income--(Specify: e.g., Partnership Income or Farm Income) X × X X × × × × ×  $\times$ × × None \$1 - \$200 \$201 - \$1,000 \$1,001 - \$2,500 **Current Year** \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 × \$100,001 - \$1,000,000 Amount of Income \$1,000,001 - \$5,000,000 Over \$5,000,000 ≚ BLOCK D × Spouse/DC Income over \$1,000,000\* × × × × × × × × × × × × × × None \$1 - \$200 \$201 - \$1,000 XI IIIV IV VI VII VIIIV **Preceding Year** \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000 Spouse/DC income over \$1,000,000\*

Name

STACI APPEL

Continuation Sheet (if needed) SP SP ďS SP ЧS SP ďS ЗP SP дS SP ďΒ SP. 8 Ξ -FORD -FIRST CASH FINANCIAL -FEDEX CORPORATION -FAMILY DOLLAR STORES -ENERSYS -GOOGLE -ENCORE CAPITAL GROUP -EDWARDS -GENL DYNAMICS CORP -GENESEE EXXON MOBIL -EXTRA SPACE STORAGE INC ESTERLINE TECHNOLOGIES GOLDMAN Asset and/or Income Source MOTOR COMPANY INC CL & WYOMING INC LIPESCIENCE SACHS **BLOCK A** CORP GROUP INC COM CORP INC SERVICES INC INC CORP None В \$1 - \$1,000 × × Х Х × × X X X × X × \$1,001 - \$15,000 0 \$15,001 - \$50,000 O Value of Asset \$50,001 - \$100,000 П BLOCK B \$100,001 - \$250,000 T G \$250,001 - \$500,000 I \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 \_\_\_\_ \$25,000,001 - \$50,000,000 Over \$50,000,000 Spouse/DC Asset over \$1,000,000\* ≤ NONE DIVIDENDS Type of Income RENT BLOCK C INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST × × X × × × X × × × X Х Х TAX-DEFERRED × Other Type of Income--(Specify: e.g., Partnership Income or Farm Income) × X × Х × × × X  $\times$ × × × × × \$1 - \$200 \$201 - \$1,000 XI IIIV IIV V V VI III \$1,001 - \$2,500 **Current Year** \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Amount of Income \$1,000,001 - \$5,000,000 × IX Over \$5,000,000 BLOCK D X × × × × × × × × × × × × None \$1 - \$200 XI III V V VI VII IX \$201 - \$1,000 Preceding Year \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 Page 10 of 25 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000 Spouse/DC Income over \$1,000,000\*

Name STACI APPEL

Page  $\frac{11}{}$  of  $\frac{25}{}$ 

Continuation Sheet (if needed)

gp ΩĐ ЗP SP đS ďS 4S ЗÞ SP SÞ ďS 8 SP, Ę, -HEICO -HSN -HOME -HEWLETT-PACKARD COMPANY -LINCOLN NATL CORP NOSNHOL & NOSNHOL-- INTERNATIONAL -INGERSOLL-RAND -HOME DEPOT KROGER COMPANY COMMON KRAFT KINDER MORGAN INC DEI HIBBETT LAM MACHINE CORP Asset and/or income Source INC RESEARCH BANCSHARES FOODS GROUP CORP SPORTS INC **BLOCK A** NEW CORP BUSINESS INC PLC INC ij CONWAY × None Φ \$1 - \$1,000  $\overline{\times}$ × × × X × C \$1,001 - \$15,000 \$15,001 - \$50,000  $\Box$ Value of Asset \$50,001 - \$100,000 **BLOCK B** \$100,001 - \$250,000 \$250,001 - \$500,000 ଭ \$500,001 - \$1,000,000 I \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 ے \$25,000,001 - \$50,000,000 大 Over \$50,000,000 Spouse/DC Asset over \$1,000,000\* ≤ NONE DIVIDENDS Type of Income RENT BLOCK C INTEREST **CAPITAL GAINS EXCEPTED/BLIND TRUST** × X X X × × × Х × × × × × TAX-DEFERRED × Other Type of Income--(Specify: e.g., Partnership Income or Farm Income) × × × × ×  $\times$ × × × × × × × None × \$1 - \$200 \$201 - \$1,000 III IV V VI VI VIII \$1,001 - \$2,500 **Current Year** \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 × \$100,001 - \$1,000,000 Amount of Income \$1,000,001 - \$5,000,000 × × Over \$5,000,000 BLOCK D × × × × × × × × × × × None \$1 - \$200 ≡ \$201 - \$1,000 **Preceding Year** \$1,001 - \$2,500 \$2,501 - \$5,000 XI VIII VIII IX \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000 Spouse/DC Income over \$1,000,000

Name

STACI APPEL

Page,

12

of 25

Continuation Sheet (if needed)

ЗP SP SP ЧS ЗÞ дS SP ďS SP SP Ë SP 8 -NORTHROP GRUMMAN CORP -NEOGEN CORP -MICHAEL KORS -MARATHON OIL CORP -MANHATTAN ASSOCIATES -LIQUIDITY -LULULEMON ATHLETICA -MWI VETERINARY -MICROSOFT CORP -MADISON SQUARE -LYONDELLBASELL MCKESSON CORPORATION NEXTERA MONSANTO CO SCA CLASS A Asset and/or Income Source ENERGY SERVICES **BLOCK A** MEM HOLDINGS GARDEN ATAdns INDUSTRIES INC INC INC NEW GLT. S Ŗ None ₿ \$1 - \$1,000 × X × × X × O \$1,001 - \$15,000 × 0 \$15,001 - \$50,000 Value of Asset \$50,001 - \$100,000 ш BLOCK B \$100,001 - \$250,000 71) \$250,001 - \$500,000 G \$500,001 - \$1,000,000 I \$1,000,001 - \$5,000,000 ٦ \$5,000,001 - \$25,000,000 7 \$25,000,001 - \$50,000,000 Over \$50,000,000 Spouse/DC Asset over \$1,000,000\* NONE DIVIDENDS Type of Income RENT BLOCK C INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST × × TAX-DEFERRED × X × X × × X × × × × × Other Type of Income -- (Specify: e.g., Partnership Income or Farm Income) × X X × X × × ×  $\times$ × × None \$1 - \$200 \$201 - \$1,000 \$1,001 - \$2,500 **Current Year** \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 × \$100,001 ~ \$1,000,000 Amount of Income \$1,000,001 - \$5,000,000 × Over \$5,000,000 BLOCK D ¥ Spouse/DC Income over \$1,000,000\* × × × × × × × × × × × X None × × \$1 - \$200 \$201 - \$1,000 XI IIIV IIV IV V VI KII **Preceding Year** \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000 Spouse/DC Income over \$1,000,000\*

Name

STACI APPEI

Page 13

of 25

Continuation Sheet (if needed)

**SP** 45 дS ЧS ЧS SP SP ЧS SP ďS SP d3 SP Ę 8 SP -OPEN ISO--PAREXEL INTL CORP -OIL -PILGRIMS PRIDE -O'REILLY AUTOMOTIVE INC PFIZER INCORPORATED à PHILIP PENN NATL OUTERWALL OSHKOSH CORP OMNICARE INC OCWEN FINL CORP Asset and/or Income Source SKIN SYS STATES TEXT CORP MORRIS INC ENTERPRISES INC GAMING INTL **BLOCK A** INTERNATIONAL INC INC INC None В \$1 - \$1,000 × X × X × × × O  $\succ$ \$1,001 - \$15,000 × × × D \$15,001 - \$50,000 Value of Asset Ш \$50,001 - \$100,000 BLOCK B স \$100,001 - \$250,000 G \$250,001 - \$500,000 \$500,001 - \$1,000,000 I \$1,000,001 - \$5,000,000 J \$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000 \* Over \$50,000,000 Z Spouse/DC Asset over \$1,000,000\* NONE DIVIDENDS Type of Income RENT BLOCK C INTEREST **CAPITAL GAINS EXCEPTED/BLIND TRUST** × × × TAX-DEFERRED × × X X × X X × × × × Other Type of Income--(Specify: e.g., Partnership Income or Farm Income) X X × × × × × × × × × × × × None \$1 - \$200 \$201 -- \$1,000 III V V VI VIII \$1,001 - \$2,500 **Current Year** \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 ₹ \$100,001 - \$1,000,000 Amount of Income \$1,000,001 - \$5,000,000 × × Over \$5,000,000 BLOCK D ¥ Spouse/DC Income over \$1,000,000\* × × × × × × × × × X × × × × None \$1 - \$200 \$201 - \$1,000 **Preceding Year** \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 × × \$1,000,001 - \$5,000,000 Over \$5,000,000 × Spouse/DC Income over \$1,000,000\*

Name

STACI APPEL

Page 14

of 25

Continuation Sheet (if needed)

SP ďS SP ďS SP ξÞ d S ЧS дS g SP ЧS Ę SP 8 -PRUDENTIAL FINANCIAL INC -PRECISION CASTPARTS CORP -TIME 'n -PORTFOLIO STARBUCKS -SHERWIN WILLIAMS -REGAL-BELOIT PROSPERITY -PROCTER & GAMBLE TORCHMARK CORP TEREX ROVI CORP RANGE Asset and/or Income Source r I WARNER CABLE CORPORATION RESOURCES CORP CORP RECOVERY BLOCK A BANCSHS CORPORATION CORP ဗ NEW INC ASSOCIATE None 0 \$1 - \$1,000 × X × × Х X  $\succ$ × × C \$1,001 - \$15,000 O \$15,001 - \$50,000 Value of Asset \$50,001 - \$100,000 Ш BLOCK B ъ \$100,001 - \$250,000 \$250,001 - \$500,000 ଦ \$500,001 - \$1,000,000 I \$1,000,001 - \$5,000,000 Ĵ \$5,000,001 - \$25,000,000 ス \$25,000,001 - \$50,000,000 Over \$50,000,000 Spouse/DC Asset over \$1,000,000\* NONE **DIVIDENDS** Type of Income RENT BLOCK C INTEREST **CAPITAL GAINS EXCEPTED/BLIND TRUST** × × TAX-DEFERRED × × × × × × × × × × × × Other Type of Income--(Specify: e.g., Partnership Income or Farm Income) × × × × ×  $\succ$ × × × × × × × × None \$1 - \$200 \$201 - \$1,000 \$1,001 - \$2,500 **Current Year** \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 × \$100,001 - \$1,000,000 Amount of Income × \$1,000,001 - \$5,000,000 × Over \$5,000,000 BLOCK D ¥ Spouse/DC Income over \$1,000,000\* × × × × × × × × × × × × None × × \$1 - \$200 \$201 - \$1,000 XI III V V VI VIII IX Preceding Year \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000 Spouse/DC Income over \$1,000,000\*

Name

STACI APPEL

Page

15

of 25

Continuation Sheet (if needed)

дs SP ďS ąs ЧS SP g. ďS ЧS Ę SP 8 -UGI -VIACOM INC -VERIZON -UNION -TYLER -TREEHOUSE -WAL-MART -VIEWPOINT -VERTEX PHARMACEUTICALS WELLPOINT VIRTUS INVESTMENT PARTNERS UNILEVER N V TWENTY-FIRST CENTY TRIUMPH GROUP INC Asset and/or Income Source CORP NEW PACIFIC TECHNOLOGIES COMMUNICATIONS STORES FINL INC FOODS BLOCK A Ð ADR CORP GROUP INC MEM INC Ð S None В \$1 - \$1,000 × ×  $\overline{\times}$ × × × × × × × X X × \$1,001 - \$15,000 ဂ O \$15,001 - \$50,000 Value of Asset m \$50,001 - \$100,000 BLOCK B \$100,001 - \$250,000 ଦ \$250,001 - \$500,000 I \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 ٦ \$5,000,001 - \$25,000,000 \$25,000,001 -- \$50,000,000 Over \$50,000,000 3 Spouse/DC Asset over \$1,000,000\* NONE DIVIDENDS Type of Income RENT BLOCK C INTEREST **CAPITAL GAINS** EXCEPTED/BLIND TRUST TAX-DEFERRED × X × × × × × × × X × X × × Other Type of Income -- (Specify: e.g., Partnership Income or Farm Income) × × X × × × × × × × × × None × × \$1 - \$200 \$201 -- \$1,000 \$1,001 ~ \$2,500 IIV IIV V VI **Current Year** \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 ~ \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 × Amount of Income \$1,000,001 - \$5,000,000 Over \$5,000,000 × BLOCK D ¥ Spouse/DC Income over \$1,000,000\* × × × × × × × × × × × × None \$1 - \$200 IN IV V VI VII VIII IX \$201 - \$1,000 Preceding Year \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000 Spouse/DC Income over \$1,000,000\*

Name

STACI APPEL

Page 16 of 25

Continuation Sheet (if needed)

SP SP g. ďS SP ςP ВÐ SP 8 Ξ SP -PNC -US -AT&T -PRINCIPAL LIFE INC FNDG -WHOLE XEW--WELLS -TARGET CORP NOTES -WALT DISNEY MEDIUM TERM NOTES TERM NOTES WORLD FUEL SERVICE WORLD ACCEP WOLVERINE WESTERN DIGITAL CORP Asset and/or income Source BANCORP INC FUNDING INC FOODS FARGO SENIOR NOTES WORLD WIDE INC SR BLOCK A CORP MARKET CORP COMPANY COMPANY MEDIUM UNSECURED COMPANY GID ξΩ CPN CALLABLE CORP O INC MEM None Ø \$1 - \$1,000 × × × × × × X X X × × 0 \$1,001 - \$15,000 O \$15,001 - \$50,000 Value of Asset ŗΠ \$50,001 - \$100,000 BLOCK B 71 \$100,001 -- \$250,000 G \$250,001 - \$500,000 \$500,001 - \$1,000,000 I \$1,000,001 - \$5,000,000 <u>\_</u> \$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000 木 Over \$50,000,000 Spouse/DC Asset over \$1,000,000\* NONE **DIVIDENDS** Type of Income RENT BLOCK C INTEREST **CAPITAL GAINS EXCEPTED/BLIND TRUST** × × × × TAX-DEFERRED X X × × × × X X × × Other Type of Income--(Specify: e.g., Partnership Income or Farm Income) × X × X × × × × × × None \$1 - \$200 \$201 - \$1,000 III IV V VI VIIVIII \$1,001 - \$2,500 **Current Year** \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 × \$100,001 ~ \$1,000,000 Amount of Income \$1,000,001 - \$5,000,000 Over \$5,000,000 × BLOCK D × Spouse/DC Income over \$1,000,000\* × × × × × × × ×  $\overline{\mathsf{x}}$ × × × × None \$1 - \$200 \$201 - \$1,000 XI III IV V VI VII VIII IX Preceding Year \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 × \$1,000,001 - \$5,000,000 Over \$5,000,000 Spouse/DC Income over \$1,000,000\*

Name STACI APPEI

Page 17 of 25

Continuation Sheet (if needed)

SP dS SP ďS SP SP gp ďS qs SP SP Ξ 8 SP, -AT&T INC SR UNSECURED -AMERICAN EXPRESS -PECO ENERGY -KIMBERLY-CLARK -FREEPORT-MCMORAN -PFIZER INC SR UNSECUREI -WELLS FARGO -VERIZON COMMUNICATIONS -CONS EDISON CO OF NY -JPMORGAN CHASE & CO -GOLDMAN SACHS US TREASURY NOTES GENERAL ELEC CONOCO INC SENIOR NOTES NOTES UNSECURED SR UNSECURED DEBENTURES CALLABLE CALLABLE Asset and/or Income Source @MAKE WHOLE =25BP (DUE 10/31/14) BLOCK A & COMPANY 8 CAP GRP ISI NOTES SENIOR CORP S C R G INC MTG BONDS UNSECURED CALLABLE SENIOR SENIOR NOTES NOTES NOTES CALL None \$1 - \$1,000 σ × × × × × X × X × × ဂ X × \$1,001 - \$15,000 O \$15,001 - \$50,000 Value of Asset \$50,001 - \$100,000 m BLOCK B \$100,001 - \$250,000 Ġ \$250,001 - \$500,000 \$500,001 - \$1,000,000 Ξ \$1,000,001 - \$5,000,000 ے \$5,000,001 - \$25,000,000 ㅈ \$25,000,001 - \$50,000,000 Over \$50,000,000 Spouse/DC Asset over \$1,000,000\* NONE DIVIDENDS Type of Income RENT BLOCK C INTEREST **CAPITAL GAINS** EXCEPTED/BLIND TRUST × TAX-DEFERRED Х X X × X × × × × × × × × Other Type of Income--(Specify: e.g., Partnership Income or Farm Income) X × × × × × × × × × × × >< None \$1 - \$200 \$201 - \$1,000 XI IIIA IIA A AI (III \$1,001 - \$2,500 **Current Year** \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Amount of Income \$1,000,001 - \$5,000,000 Over \$5,000,000 × BLOCK D IX Spouse/DC Income over \$1,000,000\* × × × × × × × × × × × × None × \$1 - \$200 \$201 - \$1,000 XI IIIV IIV IV V VI III Preceding Year \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000 × Spouse/DC Income over \$1,000,000\*

Continuation Sheet (if needed)

ЧS ďS ЧS ďS SP. ďS SP SP SP SP, Ξ 8 CLASS CMO CALLABLE -FNMA PASS THRU -US TREASURY BONDS -US TREASURY NOTES -FEDERAL -FEDERAL NATL MTG ASSN -US TREASURY BONDS -US TREASURY NOTES US TREASURY NOTES US TREASURY WI TRANS BOND CO II LLC MULTI CENTERPOINT 2005-A A4 ENE US TREASURY NOTES US TREASURY WI NOTES -US TREASURY NOTES FEDERAL NATL MTG ASSN NOTES FLOATING RT NOTES DTD 2/1/12 (DUE 5/15/23) (DUE 2/15/17) Asset and/or Income Source (DUE 8/15/23) (DUE 5/15/22) (DUE 8/15/21) (DUE 6/30/16) (DUE 2/15/40) (DUE 5/15/28) (DUE 5/15/20) HOME BLOCK A Ę TOOF NOTES MTG 8/28 AK3264 CORP None B \$1 - \$1,000 × × × × × × X × × Х S × \$1,001 - \$15,000 O \$15,001 - \$50,000 Value of Asset Ш \$50,001 - \$100,000 BLOCK B 71 \$100,001 - \$250,000 \$250,001 - \$500,000 G \$500,001 - \$1,000,000 I \$1,000,001 - \$5,000,000 J \$5,000,001 - \$25,000,000 ㅈ \$25,000,001 - \$50,000,000 Over \$50,000,000 Spouse/DC Asset over \$1,000,000\* NONE **DIVIDENDS** Type of Income RENT INTEREST BLOCK C **CAPITAL GAINS EXCEPTED/BLIND TRUST** × TAX-DEFERRED × × × × × × × × × X × × × Other Type of Income--(Specify: e.g., Partnership Income or Farm Income) X × × Х  $\times$ × × × × None \$1 - \$200 \$201 - \$1,000 III IV V VI VII VIII IX \$1,001 - \$2,500 **Current Year** \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Amount of Income \$1,000,001 - \$5,000,000 Over \$5,000,000 × BLOCK D × Spouse/DC Income over \$1,000,000\* × × × × × × × × × × × × None \$1 - \$200 \$201 - \$1,000 XI III IIV IV V VI III Preceding Year \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000 Spouse/DC Income over \$1,000,000\*

This page may be copied if more space is required.

Page. 18 of 25

Name

STACI APPEL

Name

STACI APPEL

Continuation Sheet (if needed)

ЗÞ gp SP SP SP ďS ξĐ ďS ЧS 8 Ę Ş FD INSTL CL INTERNATIONAL VALUE -PIMCO FDS PAC INVT MGMT SER -OPPENHEIMER INTL -MFS SER TR X EMERGING -JANUS INVT -HARBOR FD -ADVISORS TRUST FLOATING RATE MULTICLASS -SLC STUDENT 2008-1 A4A LOAN -CAPITAL ONE 07-A7 A7 -DREYFUS INTL FDS INC EMERGING COMMODITY REAL RETURN STRAT THORNBURG INTL VALUE MKTS FD CL I DEBT FD CLASS I COHEN & STEERS RLTY SHARES ASSET EXECUTION TR CLASS Y CLASS I INSTL CL Asset and/or Income Source SER HIGH YIELD 3 BLOCK A ĪR FLEXIBLE PIA B FUND BBB FD 8 MXTS BD BOND CLASS 3 None 8 \$1 - \$1,000 × \$1,001 - \$15,000 × C × × × X a \$15,001 - \$50,000 Value of Asset × × ľΠ × \$50,001 - \$100,000 BLOCK B 71 \$100,001 - \$250,000 G \$250,001 - \$500,000 Ξ \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 ٠ \$25,000,001 - \$50,000,000 大 \_ Over \$50,000,000 Spouse/DC Asset over \$1,000,000\* NONE **DIVIDENDS** Type of Income RENT BLOCK C INTEREST **CAPITAL GAINS EXCEPTED/BLIND TRUST** TAX-DEFERRED × × X × × × × × × X × Other Type of Income -- (Specify: e.g., Partnership Income or Farm Income) × × × × × × × × × None \$1 - \$200 \$201 - \$1,000 ≡ \$1,001 - \$2,500 X XI IIIV IIV X X **Current Year** \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Amount of Income \$1,000,001 - \$5,000,000 × Over \$5,000,000 BLOCK D IX Spouse/DC Income over \$1,000,000\* × × × × × × × × × × None \$1 - \$200 III III IV V VI VII VIII III \$201 - \$1,000 **Preceding Year** \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 Page 19 of 25 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000 Spouse/DC Income over \$1,000,000\*

Name

STACI APPEL

Page 20 of 25

Continuation Sheet (if needed)

DC2 DC2 DC2 DC2 DC1 DC1 DC1 VCSP/COLLEGEAMERICA DC1 DC1 DC2 DC2 DC2 WELLS FARGO DC1 DCI 8 Ξ SP VCSP/COLLEGEAMERICA STIEM -AMERICAN BALANCED FUND-529A -CASH -AMER BALANCED -SHORT TERM BOND -SHORT-TERM BOND -AMERICAN BALANCED FUND-529A -SHRT TERM BD FD -SHRT TERM BD -AMER BALANCED AMERICA-529A SHARES CLASS AMERICA-529A SHARES CLASS A Asset and/or Income Source FARGO BLOCK A Ð 8 UGMA B UGMA FUND FUND AMER AMER ß ρ Þ 닭 INC INC 얶 529 529 None 8 \$1 ~ \$1,000 ×  $\overline{\times}$ \$1,001 - \$15,000 C X X X × \$15,001 - \$50,000 Ö Value of Asset × \$50,001 - \$100,000 ш × **BLOCK B** X × \$100,001 - \$250,000 π \$250,001 - \$500,000 Ω I \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 C \$25,000,001 - \$50,000,000 ㅈ Over \$50,000,000 Σ Spouse/DC Asset over \$1,000,000\* NONE X X X × DIVIDENDS Type of Income RENT BLOCK C X × INTEREST **CAPITAL GAINS EXCEPTED/BLIND TRUST** × × × TAX-DEFERRED × Other Type of Income -- (Specify: e.g., Partnership Income or Farm Income) × × × × × None × \$1 - \$200 × × × × \$201 - \$1,000 \$1,001 - \$2,500 X V VI VII VIII IX **Current Year** \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Amount of Income \$1,000,001 - \$5,000,000 × Over \$5,000,000 BLOCK D ≚ Spouse/DC Income over \$1,000,000\* × × × None × × \$1 - \$200 × \$201 - \$1,000 × X **Preceding Year** \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 × \$1,000,001 - \$5,000,000 Over \$5,000,000 × Spouse/DC Income over \$1,000,000\*

Name STACI APPEL

Page 21 of 25

Continuation Sheet (if needed)

DC4 DC4 DC4 DC4 DC3 DC3 DC3 DC3 DC3 DC3 DC3 DC5 DC3 VCSP/COLLEGEAMERICA Ę SP 8 VCSP/COLLEGEAMERICA VCSP/COLLEGEAMERICA WELLS -AMERICAN BALANCED FUND-529A -AMCAP FUND-529A -AMER BALANCED -AMCAP FUND INC CL A -AMCAP FUND-529A -AMERICAN BALANCED -AMCAP FUND-529A -SHORT-TERM BOND FUND -SHRT TERM BD FD AMERICA-529A SHORT-TERM BOND FUND AMERICA-529A Asset and/or Income Source SHARES CLASS A FARGO UGMA BLOCK A B AMER G FUND-529A Þ IA 읝 INC 529 529 529 None 8 \$1 - \$1,000 × × × ၁ \$1,001 - \$15,000 × ٥ \$15,001 - \$50,000 Value of Asset × \$50,001 - \$100,000 × × × × m X BLOCK B \$100,001 - \$250,000 П \$250,001 - \$500,000 G \$500,001 - \$1,000,000 I \$1,000,001 - \$5,000,000 ٦ \$5,000,001 - \$25,000,000 ㅈ \$25,000,001 - \$50,000,000 Over \$50,000,000 Spouse/DC Asset over \$1,000,000\* NONE × DIVIDENDS × × Type of Income RENT BLOCK C INTEREST **CAPITAL GAINS EXCEPTED/BLIND TRUST** TAX-DEFERRED X × × X × X × Other Type of Income--(Specify: e.g., Partnership Income or Farm Income) × × × × × × × None × × X \$1 - \$200 \$201 - \$1,000 XI III IV V V VI VIII X \$1,001 - \$2,500 **Current Year** \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Amount of Income \$1,000,001 - \$5,000,000 Over \$5,000,000 × BLOCK D × Spouse/DC Income over \$1,000,000\* × × × × × × × None × \$1 - \$200 \$201 - \$1,000 XI IIIV IIV V VI VI III Preceding Year \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 × Over \$5,000,000 Spouse/DC Income over \$1,000,000\*

Name STACI APPEL

Page 22 of 25

Continuation Sheet (if needed)

DC6 DC6 DC6 DC6 DC5 Ξ SP 8 VCSP/COLLEGEAMERICA -AMCAP FUND-529A -AMERICAN BALANCED -AMERICAN BALANCED FUND-529A -SHORT-TERM BOND -SHORT-TERM BOND FUND OF AMERICA-529A Asset and/or Income Source AMERICA-529A **BLOCK A** GND FUND-529A 529 None ₿ \$1 - \$1,000 C \$1,001 - \$15,000 × X × ٥ \$15,001 - \$50,000 Value of Asset × × \$50,001 - \$100,000 m BLOCK B \$100,001 - \$250,000 m \$250,001 - \$500,000 G \$500,001 - \$1,000,000 I \$1,000,001 - \$5,000,000 J \$5,000,001 - \$25,000,000 ㅈ \$25,000,001 - \$50,000,000 Over \$50,000,000 Spouse/DC Asset over \$1,000,000\* NONE **DIVIDENDS** Type of Income RENT BLOCK C INTEREST **CAPITAL GAINS EXCEPTED/BLIND TRUST** × × TAX-DEFERRED X × × Other Type of Income--(Specify: e.g., Partnership Income or Farm Income) × × Х X × None \$1 ~ \$200 \$201 - \$1,000 III V V VI VII VIII IX \$1,001 - \$2,500 **Current Year** \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Amount of Income \$1,000,001 - \$5,000,000 ١X Over \$5,000,000 BLOCK D ΪX Spouse/DC Income over \$1,000,000\* × × × × × None \$1 - \$200 \$201 -- \$1,000 X XI NIIVIII V X Preceding Year \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 × Over \$5,000,000 Spouse/DC Income over \$1,000,000\*

#### SCHEDULE III — LIABILITIES

Name STACI APPEL Page 23 of 25

owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sib-Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount ling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000

_		T				
					JT, DSP,	
				Example: First Bank of Wilmington, DE	Creditor	
				May 1998	Date Liability Incurred mo/year	l
				Mortgage on 123 Main Street, Dover, DE	Type of Liability	
					\$10,001— \$15,000	Γ
L					\$15,001— \$50,000	
				- 1	\$50,001 \$100,000 O \$100,001	
				×	\$100,001— \$250,000 • • • • • • • • • • • • • • • • •	A
	<del></del>				\$500,000 TI \$500,001— \$1,000,000 TI	Amount of Liability
F					\$1,000,001— \$5,000,000	of Lia
					\$5,000,001— \$25,000,000 <b>工</b>	bility
					\$25,000,001— \$50,000,000	
			 		Over \$50,000,000 - Spouse/DC	
	1.				Liability over ス \$1,000,000	

#### **SCHEDULE IV — POSITIONS**

any nonprofit organization, any labor organization, or any educational or other institution other than the United States. cer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an offi-

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature

and positions solely or an incriorary mature	ature.
Position	Name of Organization
BOARD OF DIRECTORS (UNCOMPENSATED) BLANK CHILDREN'S HOSPITAL	BLANK CHILDREN'S HOSPITAL
BOARD MEMBER (UNCOMPENSATED)	PREVENT CHILD ABUSE
BOARD MEMBER (UNCOMPENSATED)	IOWA ANNUAL CONFERENCE BOARD OF PENSIONS OF THE UNITED METHODIST CHURCH
BOARD MEMBER (UNCOMPENSATED)	WE LIFT
BOARD OF DIRECTORS (UNCOMPENSATED) IOWA INTERNATIONAL COUNCIL	IOWA INTERNATIONAL COUNCIL

#### SCHEDULE III - LIABILITIES

Name STACI APPEL Page 24 of 25

owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000. ture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sib-Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount

		,		
				SP, DC, JT
			Example: First Bank of Wilmington, DE	Creditor
			May 1998	Date Liability Incurred mo/year
			Mortgage on 123 Main Street, Dover, DE	Type of Liability
				\$10,001— \$15,000 >
				\$15,001— \$50,000 <b>TD</b> \$50,001—
 	··· ··· ·		L	\$100,000 \$100,001—
			<u> </u>	\$250,001—
 				\$500,000 "" \$ \$500,001— \$1,000,000 TI
·				\$500,000 m \$500,001— \$1,000,000 n \$5,000,000 G \$5,000,000 E
				V=0/000/000
			 _	\$25,000,001— \$50,000,000
			_	Over \$50,000,000 — Spouse/DC
				Liability over ス \$1,000,000

#### SCHEDULE IV — POSITIONS

cer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an offi-

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature

ally positions solely of all honorary hattire.	idiule:
Position	Name of Organization
PRESIDENT (UNCOMPENSATED)	WARREN COUNTY PHILANTHROPIC PARTNERSHIP
BOARD MEMBER (UNCOMPENSATED)	TALLGRASS GROCERY

#### **SCHEDULE V — AGREEMENTS**

Name STACI APPEL Page 25 of 25

Date	Parties To	Terms of Agreement
1994	STACI APPEL AND PAINE WEBBER (n/k/a UBS)	PENSION AGREEMENT WITH FORMER EMPLOYER
1/2007	STACI APPEL AND STATE OF IOWA	PENSION AGREEMENT WITH FORMER EMPLOYER

# SCHEDULE VI — COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule I.

Source (Name and Address)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting services