

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

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Maxine Waters

(213) 489-4792

(Full Name)

(Daytime Telephone)

Filer Status ☒ Member of the U.S. House of Representatives

State: CA District: 35

☐ Officer Or Employee

Employing Office:

Report Type ☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. If yes, complete and attach Schedule I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. If yes, complete and attach Schedule II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. If yes, complete and attach Schedule III. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. If yes, complete and attach Schedule IV. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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SCHEDULE I - EARNED INCOME

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
STATE OF CALIFORNIA	LEGISLATIVE PENSION	\$5,720
PRIME AUTOMOTIVE GROUP, INC/SOUTH BAY AUTO	SPOUSE CONSULTING FEE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p>		<p>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP	2201 Kirk St., Houston, TX	\$1,001 - \$15,000	None	NONE	
JT	549 So. Lucerne Blvd., L.A.	\$1,000,001 - \$5,000,000	None	NONE	
SP	701 W. Barstow, Palm Springs, CA	\$1,001 - \$15,000	None	NONE	
SP	American Golf Jt. Venture	\$100,001 - \$250,000	INTEREST	NONE	
SP	American Golf Jt. Venture	\$100,001 - \$250,000	O/Other: Profit Sharing	\$15,001 - \$50,000	
	Congressional Federal Credit Union	\$1,001 - \$15,000	INTEREST	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	DWS CA Tax Free Fund	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
SP	ECI Holding, Inc	\$1,001 - \$15,000	INTEREST	NONE	
SP	FIA CARD SERVICES NA RASP (IRA)	\$1,001 - \$15,000	INTEREST	NONE	P
SP	Global Allocation Fund-Blackrock Balanced	None	DIVIDENDS	NONE	S
SP	Global Allocation Fund-Blackrock Global	None	DIVIDENDS	NONE	S
SP	Merril Lynch Money Market	None	INTEREST	NONE	S
SP	Merrill Lynch Cap Fund-American Small Cap World (IRA)	None	DIVIDENDS	NONE	S
SP	Merrill Lynch Cap Fund-Blackrock Balanced (IRA)	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
SP	Merrill Lynch Global Allocation Fund-Blackrock Global (IRA)	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
SP	Merrill Lynch Money Mkt. (IRA)	\$1 - \$1,000	DIVIDENDS	NONE	
SP	OneUnited Bank	\$100,001 - \$250,000	DIVIDENDS	NONE	
SP	OneUnited Bank	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
SP	Siebert Brandford	\$1,001 - \$15,000	Other: 401K	NONE	
SP	Tract Unit 3357, Lot 96, CA	\$1,001 - \$15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Wells Fargo Bank	\$1,001 - \$15,000	INTEREST \$1 - \$200	

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	FIA CARD SERVICES NA RASP (IRA)	P	N/A	02-18-11	\$1,001 - \$15,000
SP	Merrill Lynch Cap Fund-American Small Cap World (IRA)	S	No	05-13-11	\$1,001 - \$15,000
SP	Merrill Lynch Cap Fund-Blackrock Balanced (IRA)	S(part)	No	11-09-11	\$1,001 - \$15,000
SP	Merrill Lynch Cap Fund-Blackrock Balanced (IRA)	S(part)	No	08-11-11	\$1,001 - \$15,000
SP	Merrill Lynch Global Allocation Fund-Blackrock Global (IRA)	S(part)	No	11-09-11	\$1,001 - \$15,000
SP	Merrill Lynch Global Allocation Fund-Blackrock Global (IRA)	S(part)	No	08-11-11	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	Congressional Federal Credit Union		Revolving Charge Account	\$15,001 - \$50,000
	Chase		Mortgage on 549 S. Lucerne Bl., Los Angeles, CA	\$1,000,001 - \$5,000,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
HomeFree-USA	March 28	LAX-Las Vegas-LAX	N	Y	N	None
United Teachers Los Angeles	August 19	LAX-La Quinta-LAX	Y	Y	N	None
Chicago Crusader Newspaper Group	October 20	LAX-Chicago-St.Louis	Y	Y	Y	None
Eastern Washtenaw NAACP	November 19	DC-Detroit-LAX	Y	Y	N	None

SCHEDULE VIII - POSITIONS

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Black Women's Forum
Director	Gourmet Services
Director	Minority AIDS Project
Director	African American 2000 and Beyond

FOOTNOTES

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Rollover to IRA	Global Allocation Fund-Blackrock Global
2	Schedule III	Rollover to IRA	Global Allocation Fund-Blackrock Balanced
3	Schedule III	Less than reportable amount	Merrill Lynch Money Market