

# FINANCIAL DISCLOSURE REPORT

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### FILER INFORMATION

Name: Hon. Aumua Amata Coleman Radewagen

Status: Member State/District: AQoo

## FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2015

**Filing Date:** 07/28/2016

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
37455 3rd Street	JT	\$1,001 - \$15,000	Rent	\$5,001 - \$15,000	
LOCATION: Bay Vista, DE, US					
Charles Schwab ⇒ Charles Schwab US MC ETF	SP	\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab ⇒ Guggenheim S&P 500 Equal Weig Hc ETF	SP	\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab ⇒ JPMorgan Diversified Rtn GBL EQT ETF	SP	\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab ⇒ Pimco Invest Grade Corp BND IDX EFT	SP	\$1,001 - \$15,000	Tax-Deferred		П
Charles Schwab ⇒ Powershares Emrg Mkts Sovrgn Dbt ETF	SP	\$1,001 - \$15,000	Tax-Deferred		П
Charles Schwab ⇒ Powershares Fundame H Yield Corp ETF	SP	\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Powershares S&P 500 Low Volat ETF					
Charles Schwab ⇒ Schwab Short Term US Treasury ETF	SP	\$1,001 - \$15,000	Tax-Deferred		П
Charles Schwab ⇒ SChwab US Dividend Equity ETF	SP	\$1,001 - \$15,000	Tax-Deferred		П
Charles Schwab ⇒ Schwab US Large Cap Growth ETF	SP	\$1,001 - \$15,000	Tax-Deferred		П
Charles Schwab ⇒ Schwab US Small Cap ETF	SP	\$1,001 - \$15,000	Tax-Deferred		П
Charles Schwab ⇒ SPDR Blackstone GSO Senior Loan ETF	SP	\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab ⇒ Spdr Dow Jones Global Real Estate ETF	SP	\$1,001 - \$15,000	Tax-Deferred		П
Charles Schwab ⇒ Spdr Msci Acwi Imi ETF	SP	\$1,001 - \$15,000	Tax-Deferred		П
Charles Schwab ⇒ Wsdmtr Wsdmtr Emr Mkt Qlt Div ETF IV	SP	\$1,001 - \$15,000	Tax-Deferred		П
DTE Energy  Description: no transaction over \$1,000.	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	П
Merrill Lynch CD	SP	\$1,001 - \$15,000	None		П
Merrill Lynch Retirement Account ⇒ Am Yaoktman	SP	None	Tax-Deferred		П
Merrill Lynch Retirement Account ⇒ Merrill Lynch Am. New Persp	SP	None	Tax-Deferred		
Merrill Lynch Retirement Account ⇒ Merrill Lynch AM. World Growth	SP	None	Tax-Deferred		
Merrill Lynch Retirement Account ⇒ Merrill Lynch American Wash	SP	None	Tax-Deferred		П

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Merrill Lynch Retirement Account ⇒ Merrill Lynch Bif tax exempt FO	SP	None	Tax-Deferred		
Merrill Lynch Retirement Account ⇒ Merrill Lynch Black Rock Global FO	SP	None	Tax-Deferred		П
Merrill Lynch Retirement Account ⇒ Merrill Lynch Black Rock Multi	SP	None	Tax-Deferred		
Merrill Lynch Retirement Account ⇒ Merrill Lynch Double Unetvt	SP	None	Tax-Deferred		
Merrill Lynch Retirement Account ⇒ Merrill Lynch DTE Energy Co.	SP	None	Tax-Deferred		
Merrill Lynch Retirement Account ⇒ Merrill Lynch First Eagle	SP	None	Tax-Deferred		
Merrill Lynch Retirement Account ⇒ Merrill Lynch Lo Abbott Short	SP	None	Tax-Deferred		
Merrill Lynch Retirement Account ⇒ Merrill Lynch MF9 Muni Ctd	SP	None	Tax-Deferred		
Merrill Lynch Retirement Account ⇒ Merrill Lynch Pimco Income Fd	SP	None	Tax-Deferred		
Merrill Lynch Retirement Account ⇒ Merrill Lynch Thornburg Minotd	SP	None	Tax-Deferred		
Merrill Lynch Retirement Account ⇒ Merrilly Lynch TCW total Return	SP	None	Tax-Deferred		
Wells Fargo CD	SP	\$1,001 - \$15,000	Dividends	None	

 $<sup>\</sup>sp{*}$  Asset class details available at the bottom of this form.

# SCHEDULE B: TRANSACTIONS

Asset	Owner	<b>Date</b>	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwab ⇒ Charles Schwab US MC ETF	SP	04/1/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Description: IRA Rollover					
Charles Schwab ⇒ Guggenheim S&P 500 Equal Weig Hc Etf DESCRIPTION: IRA Rollover	SP	04/1/2015	P	\$1,001 - \$15,000	
Charles Schwab ⇒ JPMorgan Diversified Rtn GBL EQT ETF  DESCRIPTION: IRA Rollover	SP	04/1/2015	P	\$1,001 - \$15,000	
Charles Schwab ⇒ Pimco Invest Grade Corp BND IDX ETF  DESCRIPTION: IRA Rollover	SP	04/1/2015	P	\$1,001 - \$15,000	
Charles Schwab ⇒ Powershares Emrg Mkts Sovrgn Dbt Etf Description: IRA Rollover	SP	04/1/2015	P	\$1,001 - \$15,000	
Charles Schwab ⇒ Powershares Fundame H Yield Corp ETF  DESCRIPTION: IRA Rollover	SP	04/1/2015	P	\$1,001 - \$15,000	
Charles Schwab ⇒ Powershares S&P 500 Low Volat ETF  DESCRIPTION: IRA Rollover	SP	04/1/2015	P	\$1,001 - \$15,000	
Charles Schwab ⇒ Schwab Short Term US Treasury ETF  DESCRIPTION: IRA rollover	SP	04/1/2015	P	\$1,001 - \$15,000	
Charles Schwab ⇒ Schwab US Dividend Equity ETF  DESCRIPTION: IRA Rollover	SP	04/1/2015	P	\$1,001 - \$15,000	
Charles Schwab ⇒ Schwab US Large Cap Growth ETF  DESCRIPTION: IRA Rollover	SP	04/1/2015	P	\$1,001 - \$15,000	
Charles Schwab ⇒ Schwab US Small Cap ETF  DESCRIPTION: IRA Rollover	SP	04/1/2015	P	\$1,001 - \$15,000	
Charles Schwab ⇒ SPDR Blackstone GSO Senior Loan ETF  DESCRIPTION: IRA Rollover	SP	04/2/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwab ⇒ Spdr Dow Jone Global Real Estate ETF  DESCRIPTION: IRA Rollover	SP	04/2/2015	P	\$1,001 - \$15,000	
Charles Schwab ⇒ Spdr Msci Acwi Imi Etf  Description: IRA Rollover	SP	04/2/2015	P	\$1,001 - \$15,000	
Charles Schwab ⇒ Wsdmtr Wsdmtr Emr Mkt Qlt Div Etf IV DESCRIPTION: IRA Rollover	SP	04/2/2015	P	\$1,001 - \$15,000	
Merrill Lynch Retirement Account ⇒ Am Yaoktman  DESCRIPTION: IRA Rollover	SP	03/31/2015	S	\$1,001 - \$15,000	
Merrill Lynch Retirement Account ⇒ Marrill Lynch Black Rock Multi  DESCRIPTION: IRA Rollover	SP	03/31/2015	S	\$1,001 - \$15,000	
Merrill Lynch Retirement Account ⇒ Merrill Lynch Am. New Persp  DESCRIPTION: IRA Rollover	SP	03/31/2015	S	\$1,001 - \$15,000	
Merrill Lynch Retirement Account ⇒ Merrill Lynch Am. World Growth  DESCRIPTION: IRA Rollover	SP	03/31/2015	S	\$1,001 - \$15,000	
Merrill Lynch Retirement Account ⇒ Merrill Lynch American Wash  DESCRIPTION: IRA Rollover	SP	03/31/2015	S	\$1,001 - \$15,000	
Merrill Lynch Retirement Account ⇒ Merrill Lynch Bif tax exempt FO  DESCRIPTION: IRA Rollover	SP	03/31/2015	S	\$1,001 - \$15,000	П
Merrill Lynch Retirement Account ⇒ Merrill Lynch Black Rock Global FO  DESCRIPTION: IRA Rollover	SP	03/31/2015	S	\$1,001 - \$15,000	
Merrill Lynch Retirement Account ⇒ Merrill Lynch Double Unetvt  Description: IRA Rollover	SP	03/31/2015	S	\$1,001 - \$15,000	П

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Merrill Lynch Retirement Account ⇒  Merrill Lynch DTE Energy Co.  DESCRIPTION: IRA Rollover	SP	03/31/2015	S	\$1,001 - \$15,000	П
Merrill Lynch Retirement Account ⇒  Merrill Lynch First Eagle  Description: IRA Rollover	SP	03/31/2015	S	\$1,001 - \$15,000	П
Merrill Lynch Retirement Account ⇒ Merrill Lynch Lo Abbott Short  Description: IRA Rollover	SP	03/31/2015	S	\$1,001 - \$15,000	П
Merrill Lynch Retirement Account ⇒  Merrill Lynch MF9 Muni Ctd  Description: IRA Rollover	SP	03/31/2015	S	\$1,001 - \$15,000	П
Merrill Lynch Retirement Account ⇒  Merrill Lynch Pimco Income Fd  Description: IRA Rollover	SP	03/31/2015	S	\$1,001 - \$15,000	П
Merrill Lynch Retirement Account ⇒  Merrill Lynch TCW total Return  Description: IRA Rollover	SP	03/31/2015	S	\$1,001 - \$15,000	П
Merrill Lynch Retirement Account ⇒  Merrill Lynch Thornburg Minotd  Description: IRA Rollover	SP	03/31/2015	S	\$1,001 - \$15,000	П

<sup>\*</sup> Asset class details available at the bottom of this form.

# SCHEDULE C: EARNED INCOME

None disclosed.

# SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: Positions

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### SCHEDULE A AND B ASSET CLASS DETAILS

o Charles Schwab (Owner: SP)

o Merrill Lynch Retirement Account (Owner: SP)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

C Yes No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ○ No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes No

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Aumua Amata Coleman Radewagen, 07/28/2016