



Filing ID #10009933

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Peter A. DeFazio
Status: Member
State/District: OR04

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2015
Filing Date: 05/10/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1/2 ownership share 53880 Pine Grove rd Lapine oregon LOCATION: deschutes, OR, US	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
1/4 share rental 36 Hempleman Drive Akaroa New Zealand LOCATION: Akaroa, NZ DESCRIPTION: the program wants state and city/county this is as disclosed an overseas asset COMMENTS: This asset was sold on 7 April 2015 and a periodic 30 day disclosure was filed per direction of ethics staff	JT	None	Rent	\$1,001 - \$2,500	<input type="checkbox"/>
36 Hempleman Drive, Akaroa NZ LOCATION: Akaroa, NZ		None	Capital Gains	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
ameritrade ⇒ Antigenics Inc (ANTI)		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
ameritrade ⇒ Marrone Bio Innovations, Inc. (MBII)		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
ameritrade ⇒ oakmark equity income	SP	\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
bank of new zealand		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
bank of new zealand	SP	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
ING/VOYA ⇒ American funds euro pacific growth	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: Manager of plan ING changed name to VOYA					
ING/VOYA ⇒ Dodge and cox international stock fund	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
ING/VOYA ⇒ fixed	SP	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: Manager of plan ING changed name to voya					
ING/VOYA ⇒ GNMA	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
ING/VOYA ⇒ jp morgan mid cap value	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
ING/VOYA ⇒ Metropolitan West Total REturn	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
ING/VOYA ⇒ MFS Value Fund R5	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
ING/VOYA ⇒ Parnassus Core Equity Fund Inst	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
ING/VOYA ⇒ Vanguard Institutional index fund	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: This fund was previously held but holdings were increased when another fund within the plan was sold					
ING/VOYA ⇒ Vanguard mid cap index	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: Plan manager ING changed name to Voya					
ING/VOYA ⇒ Vanguard NMNA	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
ING/VOYA ⇒ Vanguard small cap index	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
oakmark select		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Pentagon Federal Credit Union		\$1 - \$1,000	None		<input type="checkbox"/>
selco credit union		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
selco credit union	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
sentinel balanced fund	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
wright patman federal credit union		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
36 Hempleman Drive, Akaroa NZ		04/7/2015	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: Akaroa, NZ					
ING/VOYA ⇒ Contra Fund	SP	02/2/2015	S	\$50,001 - \$100,000	<input type="checkbox"/>
ING/VOYA ⇒ metropolitan west total return	SP	02/2/2015	P	\$1,001 - \$15,000	
ING/VOYA ⇒ MFS Value Fund R5	SP	02/2/2015	P	\$1,001 - \$15,000	
ING/VOYA ⇒ NMNA	SP	02/2/2015	P	\$1,001 - \$15,000	
ING/VOYA ⇒ Parnassus Core Equity	SP	02/2/2015	P	\$15,001 - \$50,000	
ING/VOYA ⇒ Vanguard GNMA fund Admin	SP	02/2/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Oakmark Select		01/26/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Oakmark Select		07/20/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
City of Eugene Oregon	spouse salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Loancare	1/2/13	Principal residence mortgage	\$100,001 - \$250,000
JT	Bank Of New Zealand	3/15/01	1/4 share mortgage on rental property 36 Hempleman	\$15,001 - \$50,000
	COMMENTS: This mortgage was paid off upon sale of property 4/07/15 which was reported under the 30 day rule per direction of ethics staff.			
JT	Wells Fargo	4/01/2010	Mortgage 1/2 share 5388o pine grove lapine oregon	\$10,000 - \$15,000
	Penn Fed Credit Union	5/15/15	Loan secured by boat which is my DC residence	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- ameritrade
- ameritrade (Owner: SP)
- ING/VOYA (Owner: SP)
DESCRIPTION: 457 plan ING changed its name to voya

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Peter A. DeFazio , 05/10/2016