



Filing ID #10019477

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Sameena Mustafa  
**Status:** Congressional Candidate  
**State/District:** IL05

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2017  
**Filing Date:** 11/30/2017  
**Period Covered:** 01/01/2016– 10/31/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ameriprise IRA ⇒ Blackrock High Yield Bond Instl CL		\$1,001 - \$15,000	Tax-Deferred		
Ameriprise IRA ⇒ Blackrock Strategic Income Opptys Instl CL		\$1,001 - \$15,000	Tax-Deferred		
Ameriprise IRA ⇒ Columbia CAP Alloc Moderate Aggressive		\$1,001 - \$15,000	Tax-Deferred		
Ameriprise IRA ⇒ Columbia CAP Alloc Moderate Aggressive CL A		\$1,001 - \$15,000	Tax-Deferred		
Ameriprise IRA ⇒ Columbia Global Opptys CL A		\$15,001 - \$50,000	Tax-Deferred		
Ameriprise IRA ⇒ Columbia Global Opptys CL A		\$1,001 - \$15,000	Tax-Deferred		
Ameriprise IRA ⇒ Columbia Tax Exempt CL Z		\$1,001 - \$15,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Ameriprise IRA ⇒ iShares MSCI EAFE Value		\$1,001 - \$15,000	Tax-Deferred		
Ameriprise IRA ⇒ JPMorgan Large Cap Growth CL I		\$1,001 - \$15,000	Tax-Deferred		
Ameriprise IRA ⇒ MFS Intl Value CL I		\$1,001 - \$15,000	Tax-Deferred		
Ameriprise IRA ⇒ MFS Value CL I		\$1,001 - \$15,000	Tax-Deferred		
Ameriprise IRA ⇒ Money Market		\$1,001 - \$15,000	Tax-Deferred		
Ameriprise IRA ⇒ Multi Manager Directional Alternative Strategies CL Z		\$1,001 - \$15,000	Tax-Deferred		
Ameriprise IRA ⇒ Multi Manager Growth Strategies CL Z		\$1,001 - \$15,000	Tax-Deferred		
Ameriprise IRA ⇒ Multi Manager Small Cap Equity Strategies CL Z		\$1,001 - \$15,000	Tax-Deferred		
Ameriprise IRA ⇒ Multi Manager Total Return Bond Strategies CL Z		\$15,001 - \$50,000	Tax-Deferred		
Ameriprise IRA ⇒ Multi Manager Value Strategies CL Z		\$1,001 - \$15,000	Tax-Deferred		
Ameriprise IRA ⇒ Nuveen Symphony Floating Rate Income CL		\$1,001 - \$15,000	Tax-Deferred		
Ameriprise IRA ⇒ Oppenheimer Intel Growth CL Y		\$1,001 - \$15,000	Tax-Deferred		
Ameriprise IRA ⇒ Prudential Jennison Mid Cap Growth CL Z		\$1,001 - \$15,000	Tax-Deferred		
Ameriprise IRA ⇒ Wells Fargo Emerging Markets Equity Instl		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CL					
Ameriprise IRA ⇒ Wells Fargo Special Mid Cap Value Instl CL		\$1,001 - \$15,000	Tax-Deferred		
Ameriprise Personal ⇒ Active Portfolio Multi Manager Alternative CL A	JT	None	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Active Portfolio Multi Manager Growth CL A	JT	None	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Active Portfolio Multi Manager Small Cap Equity A	JT	None	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Active Portfolio Multi Manager Value CL A	JT	None	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Active Portfolio Multi Manager Value Directional CL A	JT	None	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Ameriprise Money Market	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Blackrock High Yield Bond Investor CL A	JT	None	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Blackstone Alternative Multi Strategy CL D	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Columbia Alternative Beta CL Z	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Ishares MSCI EAFE Value ETF	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ JPMorgan Chase Large Cap Growth CL A	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ameriprise Personal ⇒ MFS Intl Value CL I	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ MFS Value CL I	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Multi Manager Alternative Strategies CL Z	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Multi Manager Directional Alternative Strategies CL Z	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Multi Manager Growth Strategies CL Z	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Multi Manager Small Cap Equity Strategies CL Z	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Multi Manager Value Strategies CL	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Oppenheimer Intl Growth CL Y	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Prudential Global Real Estate CL Z	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Prudential Global Real Estate CL Z	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Prudential Jennison Mid Cap Growth CL Z	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Wells Fargo Emerging Markets Equity CL A	JT	\$1,001 - \$15,000	Capital Gains, Dividends,	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
			Interest		
Ameriprise Personal ⇒ Wells Fargo Special Mid Cap Value CL A	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Ameriprise Personal ⇒ Western Asset Intermediate Term Mun CL A	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	\$1 - \$200
Capital One Savings	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Chicago Rental Property		\$100,001 - \$250,000	Rent	None	None
LOCATION: Chicago, IL, US					
Vanguard 401K ⇒ Vanguard Equity Income Fund Inv		\$15,001 - \$50,000	Tax-Deferred		
Vanguard 401K ⇒ Vanguard Explorer Fund		\$15,001 - \$50,000	Tax-Deferred		
Vanguard 401K ⇒ Vanguard International Growth Inv		\$1,001 - \$15,000	Tax-Deferred		
Vanguard 401K ⇒ Vanguard PrimeCAP		\$15,001 - \$50,000	Tax-Deferred		
Vanguard 401K ⇒ Vanguard Retirement Savings Trust		\$1 - \$1,000	Tax-Deferred		
Vanguard 401K ⇒ Vanguard Total Bond Mkt Index		\$1,001 - \$15,000	Tax-Deferred		
Vanguard 401K ⇒ Vanguard Wellington Fund Inv		\$15,001 - \$50,000	Tax-Deferred		

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Bradford Allen Realty Services	Commission	\$17,249.00	\$73,576.00
Bughouse Theater	Net Revenue	N/A	\$3,105.00
Eventbrite	Gross Revenue	\$305.00	N/A
Dose Media	Spouse Salary	N/A	N/A
divvyDose	Spouse Salary	N/A	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Chase Mortgage	June 2006	Mortgage	\$100,001 - \$250,000
SP	Provident Funding	March 2012	Mortgage	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Sounding Board Member	Chicago Public Media
Advisory Board Member	Chicago Women's Health Center

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>Ameriprise IRA DESCRIPTION: Ameriprise IRA Account</li><li>Ameriprise Personal (Owner: JT) LOCATION: US</li><li>Vanguard 401K</li></ul>
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## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Sameena Mustafa , 11/30/2017