



Filing ID #10010580

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Mr. David Gurfein
Status: Congressional Candidate
State/District: NY04

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2016
Filing Date: 05/10/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank of America Checking	SP	\$1,001 - \$15,000	None		
Bank of America Savings	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
E*Trade Brokerage ⇒ Insurance Sweep Deposit Account DESCRIPTION: Cash held in brokerage account	SP	\$1,001 - \$15,000	None		
E*Trade Brokerage ⇒ Robert Half International Inc. (RHI)	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
Fidelity 401k ⇒ Fidelity FID Diversifd Intl	SP	\$50,001 - \$100,000	Tax-Deferred		
Fidelity 401k ⇒ Fidelity FID Growth Co K	SP	\$100,001 - \$250,000	Tax-Deferred		
Fidelity 401k ⇒ Fidelity NB Genesis - TR CL	SP	\$50,001 - \$100,000	Tax-Deferred		
Fidelity 401k ⇒	SP	\$50,001 -	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity Sptn 500 Index Inst		\$100,000			
Fidelity Brokerage Self ⇒ Fidelity Growth & Income		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000
Fidelity IRA ⇒ Fidelity Government Cash Reserves		\$1,001 - \$15,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity Contrafund	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity Fifty	SP	None	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity Focused Stock Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity Freedom 2030	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity OTC Port	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity Spartan 500 Index FD Advantage Class	SP	\$1,001 - \$15,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity Value	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Templeton Instl Frgn Equity Primary	SP	\$1,001 - \$15,000	Tax-Deferred		
Fidelity Roth IRA ⇒ Fidelity Growth Company	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity SEP IRA ⇒ Fidelity Freedom 2030		\$1,001 - \$15,000	Tax-Deferred		
Health and Wholeness LLC		\$500,001 - \$1,000,000	None		
LOCATION: Arlington, VA, US					
DESCRIPTION: Ownership of equity in LLC; trade name "True Health and Wholeness"					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Merrill Lynch IRA ⇒ Dreyfus Appreciation FD		\$1 - \$1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ Eaton Vance Floating		\$1 - \$1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ Mainstay Large Cap		\$1 - \$1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ MFS Value FD CL 1		\$1 - \$1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ Oppenheimer Internatl		\$1 - \$1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ Pioneer Strategic		\$1 - \$1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ PNC Small Cap FD I		\$1 - \$1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ Prudential Short Term		\$1 - \$1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ TCW Total Return		\$1 - \$1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ The Oakmark Select Fund		\$1 - \$1,000	Tax-Deferred		
Merrill Lynch IRA ⇒ Western Asset Core Plus		\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade Brokerage ⇒ Adobe Systems Incorporated (ADBE)		\$1 - \$1,000	None		
TD Ameritrade Brokerage ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU)		\$1,001 - \$15,000	None		
TD Ameritrade Brokerage ⇒ Exxon Mobil Corporation (XOM)		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade Brokerage ⇒		\$1 - \$1,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
FDIC Insured Deposit Account					
TD Ameritrade Brokerage ⇒ Goldman Sachs Group, Inc. (GS)		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade Brokerage ⇒ Las Vegas Sands Corp. (LVS)		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
USAA Checking	SP	\$1 - \$1,000	None		
USAA Checking		\$1,001 - \$15,000	None		
USAA IRA ⇒ AOL Inc. AOL Inc. (AOL)		None	Tax-Deferred		
USAA IRA ⇒ General Electric Company (GE)		\$1,001 - \$15,000	Tax-Deferred		
USAA IRA ⇒ International Business Machines Corporation (IBM)		\$1 - \$1,000	Tax-Deferred		
USAA IRA ⇒ Microsoft Corporation (MSFT)		\$1 - \$1,000	Tax-Deferred		
USAA IRA ⇒ USAA Tax Exempt Money Market		\$15,001 - \$50,000	Tax-Deferred		
USAA Money Market		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
USAA Savings		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
USAA Savings 2		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
USAA Savings 3	SP	\$1 - \$1,000	None		

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Protiviti	Spouse salary	N/A	N/A
Booz Allen Hamilton	Training fees	\$7,000	\$10,500
InTitan	Salary	N/A	\$40,000
GEP Cenex, LLC	Fees	N/A	\$273

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Wells Fargo	2005-06	Home equity loan, prior residence	\$50,001 - \$100,000
SP	Wells Fargo	2005-06	Home equity loan 2, prior residence	\$100,001 - \$250,000
	Black & LoBello	2010-12	Legal fees	\$15,001 - \$50,000
COMMENTS: Loan satisfied during the reporting period.				

SCHEDULE E: POSITIONS

Position	Name of Organization
Director	SeaMax America, LLC
Officer/Proprietor	Health and Wholeness LLC

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none">◦ E*Trade Brokerage (Owner: SP) LOCATION: US◦ Fidelity 401k (Owner: SP)◦ Fidelity Brokerage Self LOCATION: US◦ Fidelity IRA
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- Fidelity Rollover IRA (Owner: SP)
- Fidelity Roth IRA (Owner: SP)
- Fidelity SEP IRA
- Merrill Lynch IRA
- TD Ameritrade Brokerage
LOCATION: US
- USAA IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. David Gurfein , 05/10/2016