UNITED STATES HOUSE OF REPRESENTATIVES For New Members, Can	<b>FORM B</b> For New Members, Candidates, and New Employees	AUG 2 6 2019 age 1 of 1
Name: Tames Andrew Caldwell Daytime Telephone		2019 SEP -4 AM 11: 02
New Member of or Candidate for State: California  U.S. House of Representatives District: 24  Candidates – Date of Election:	Check if Amendment	U.S. HOUSE OF REPRESENTATIVES (Office Use Only)
STATUS  New Officer or Employee  Staff Filer Type (If Applicable):  Employing Office:  Shared  Principal Assistant	Period Covered: January 1, to	A \$200 penalty shall be assessed against any individual who files more than 30 days late.
PRELIMINARY INFORMATION – ANSWER <u>EACH</u> OF THESE QUESTIONS	IS	
A. Did you, your spouse, or your dependent child:     a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? Or b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?  E. Did you, your spouse, or your dependent child:  E. Did you, your spouse, or your dependent child:  E. Did you, your spouse, or your dependent child:  A. Did you, your spouse, or your dependent child:  E. Did you, your spouse, or your dependent child:  A. Did you your spouse, or your dependent child:  A. Did you	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	g the reporting yes \times No \tag{ }
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the Yes No outside reporting period?	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	the current calendar Yes No
D. Did you, your spouse, or your dependent child have any reportable Yes No Single Ilability (more than \$10,000) at any point during the reporting period?	J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?	years? Yes No
ATTACH THE CORRESPONDING SCHEDULE IF YOU THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE	JLE IF YOU ANSWER "YES" T YOU ARE REQUIRED TO COMPLETE	COMPLETE
EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - AI	ANSWER BOTH OF THESE QUESTIONS	QUESTIONS
TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" new from this report details of such a trust that benefits you, your spouse, or dependent child?	cepted trusts" need not be disclosed. Have you excluded	fave you excluded Yes No X
<b>EXEMPTION</b> – Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent cexemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	e or dependent child because they meet all three tests for	t all three tests for Yes No X

## SCHEDULE A - ASSETS & "UNEARNED INCOM

Name:	EARNED INCOME"
Name: James Andrew Caldwell	H 0-1
Page A of I	` `

ALE Focused DIV Strat W	Gabelli Equity Income I	American GN FD of Amer F2	Invesco Premier Inst	ABC Hedge Fund X	Examples:	Mega Corp Stock	SP, EIF	If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.  For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.	If you report a privately-traded fund that is an Excepted investment Fund, please check the "EFF" box.	Exclude: Your personal residence, including second homes and vacation homes (urless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.	For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.	For rental and other real property held for investment, provide a complete address or description, e.g., 'rental property,' and a city and state.	For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.	In the account that exceeds the reporting thresholds.	For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held	Provide complete names of stocks and mutual funds (do not use only ticker symbols).	identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in unearned income during the year.	Assets and/or Income Sources	BLOCK A
							-	None					>	> D		*Column M is for assets held by your spouse or dependen child in which you have no interest.	Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.  If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."		
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### SCHEDULE C - EARNED INCOME

Name:	
Name: James Andrew Caldwell	
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Page 4 of 7	

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding \$1,000. See examples below.

INCOME LIMITS and PROHIBITED INCOME: Be advised that the outside earned income limit and prohibitions on types of income may apply to you after you are on House payroll. The 2018 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$28,050. The 2019 limit is \$28,440. In addition, certain types of income (notably honoraria, director's

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

		Am	Amount
Source (include date of receipt for honoraria)	Туре	Current Year to Filing	Preceding Year
Ц	Honorarium	000 UC\$	0003
Examples: Order or Interpretation  Ontario County Board of Education	Spouse Speech Spouse Salary	\$0 N/A	\$1,000 N/A
Coalition of Labor, Agriculture + Business	Salary	\$98,000-	\$161,000-
Coalition of Labor, Agriculture + Business	Rent	\$1,200-	\$1,800-
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#### SCHEDULE D - LIABILITIES

Name: James Andrew Caldwell Page 5 of 7

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. New Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period \*Column K is for liabilities held solely by your spouse or dependent child.

				sp. Dc. Jт		
			Example			
			First Bank of Wilmington, DE	Creditor		
			5/16	Date Liability Incurred MO/YR		
			Mortgage on Rental Property, Dover, DE	Type of Liability		
				\$10,001- \$15,000	>	
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	<u> </u>			Over \$1,000,000* (Spouse/DC Liability)	*	

#### SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report positions held in the reporting period and the current calendar year. First-year candidates and new employees report positions held in the current calendar year and two previous years.

period and the current calendar year. First year carididates	period and the current calendar year. First-year candidates and new employees report positions network care in a province years.
Position	Name of Organization
Membership	Rotary International
Chairman	COLAR Foundation
Magnetin — days rept and	
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#### SCHEDULE F - AGREEMENTS

ny agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service	Name: James Andrew Caldwell Page le of
nment service;	le of 7

continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer. Identify the date, parties to, and general terms of ar Date Parties to Agreement Terms of Agreement

# SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

9		
	Source (Name and City/State)	Brief Description of Duties
Example:	Doe Jones & Smith, Hometown, Homestate	Accounting Services

FILER NOTES (Optional)

Name: James Andrew Caldwell Page 7 of 7

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