



Filing ID #10019042

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Ron Estes
Status: Congressional Candidate
State/District: KS04

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2017
Filing Date: 10/5/2017
Period Covered: 01/01/2016– 03/12/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Hewitt/Koch 401K ⇒ Balanced Index Allocation - Vanguard Group Inc and Blackrock Institutional Trust Company NA DESCRIPTION: Balanced index fund		\$15,001 - \$50,000	Tax-Deferred		
Hewitt/Koch 401K ⇒ GIC Stable Value- Galliard Capital Management Inc DESCRIPTION: Stable value fund		\$250,001 - \$500,000	Tax-Deferred		
Hewitt/Koch 401K ⇒ Mid-Cap Index - Blackrock Institutional Trust Company NA		\$1,001 - \$15,000	Tax-Deferred		
Hewitt/Koch 401K ⇒ Target Retirement 2040 - Vanguard Goup Inc DESCRIPTION: Age based retirement account		\$15,001 - \$50,000	Tax-Deferred		
Hewitt/Koch 401K ⇒ Total US Aggregate Bond Market - Blackrock Institutional Trust Company NA DESCRIPTION: Bond market fund		\$50,001 - \$100,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Hewitt/Koch 401K ⇒ US Value Index - Vanguard Group Inc		\$1,001 - \$15,000	Tax-Deferred		
Intrust checking and savings	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Kansas Learning Quest ⇒ ACI - Aggressive Track Conservative Portfolio DESCRIPTION: DC2 account		\$15,001 - \$50,000	Tax-Deferred		
Kansas Learning Quest ⇒ ACI Aggressive Track Moderate Portfolio DESCRIPTION: DC3 account		\$15,001 - \$50,000	Tax-Deferred		
KPERS DESCRIPTION: Pension value is determined at retirement		Undetermined	None		
KPERS 457 ⇒ DFA US Large Cap Value 1		\$1,001 - \$15,000	Tax-Deferred		
KPERS 457 ⇒ Stable Value Fund - Great-West Life & Annuity Insurance Company DESCRIPTION: Stable value fund		\$50,001 - \$100,000	Tax-Deferred		
KPERS 457 ⇒ T Rowe Price Capital Appreciation DESCRIPTION: Balanced fund		\$1,001 - \$15,000	Tax-Deferred		
KPERS 457 ⇒ Vanguard Total Bond Market Index Inst DESCRIPTION: Bond fund		\$1,001 - \$15,000	Tax-Deferred		
Mid American Credit Union		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Mid American Credit Union	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Roth IRA ⇒ American Balanced Fund Cl F2 DESCRIPTION: Growth and income		\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ American Mutual Fund Cl F2		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Growth and income					
Roth IRA ⇒ Capital World Growth and Income fund Cl F2 - American Funds		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Growth and income					
Roth IRA ⇒ Investment Company of America - American Funds		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Growth and Income					
Roth IRA ⇒ JP Morgan Mid Cap Value Cl L		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Growth					
Roth IRA ⇒ JPMorgan Government Bond Fund Select Class		\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: Income					
Roth IRA ⇒ MFS Corporate Bond Fund Cl 1		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Income					
Roth IRA ⇒ MFS New Discovery Fund Cl 1		\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: Growth					
Roth IRA ⇒ New Perspective Fund Cl F2 - American Funds		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Growth and Income					
Spouse 401k - CUNA Mutual Holding Company ⇒ CUNA Mutual Lifestyle Agressive	SP	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: CUNA Mutual Group 401k					
Spouse 401k -Principal Financial Group ⇒ Nw Inv Dest Mod Aggr Svc	SP	\$50,001 - \$100,000	Tax-Deferred		
DESCRIPTION: Nationwide 401k					
Spouse 403b - Lincoln Investment ⇒ Oppenheimer Equity Income Fund Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Spouse 403b - Lincoln Investment					
Spouse 403b - Lincoln Investment ⇒ Oppenheimer International Equity Fund Class A	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Spouse 403b - Lincoln Investment					
Spouse 403b - Lincoln Investment ⇒ Oppenheimer Intl Bond Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Spouse 403b - Lincoln Investment					
Spouse 403b - Lincoln Investment ⇒ Oppenheimer Intl Growth Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Spouse 403b - Lincoln Investment					
Spouse 403b - Lincoln Investment ⇒ Oppenheimer Main Street Fund Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Lincoln Investment 403b					
Spouse 403b - Lincoln Investment ⇒ Oppenheimer Total Return Bond Fund Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Spouse 403b - Lincoln Investment					
Spouse Deferred Comp - ING ⇒ The Income Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: MA Deferred Comp - ING					
Spouse KPERS	SP	\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: Spouse KPERS					
Spouse Roth IRA - Putnam Investments ⇒ Putnam Growth & Income - A	SP	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Spouse Roth IRA					
Spouse Roth IRA - Putnam Investments ⇒ Putnam Growth Opportunities - A	SP	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Spouse Roth IRA					
Spouse Roth IRA - Putnam Investments ⇒ Putnam Intl Capital Opps - A	SP	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Spouse Roth IRA					

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
State of Kansas	salary	\$16,538.05	\$86,003.06
AFP	spouse salary	N/A	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
DC	Department of Education	August 2013; August 2016	Student loans	\$10,000 - \$15,000
	Citibank Mastercard	May 2016	Credit card	\$10,000 - \$15,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board of Trustees	Kansas Public Employee Retirement System
Board Member	Pooled Money Investment Board
Kansas State Treasurer	State of Kansas

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
April 2017	State of Kansas and myself	Participation in the pension system and the 457 plan

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none"> ◦ Hewitt/Koch 401K ◦ Kansas Learning Quest LOCATION: KS ◦ KPERS 457 ◦ Roth IRA ◦ Spouse 401k - CUNA Mutual Holding Company (Owner: SP) ◦ Spouse 401k -Principal Financial Group (Owner: SP) ◦ Spouse 403b - Lincoln Investment (Owner: SP)
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- Spouse Deferred Comp - ING (Owner: SP)
- Spouse Roth IRA - Putnam Investments (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Ron Estes , 10/5/2017