

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 11
For use by Members, officers, and employees

**HAND
DELIVERED**

Eleanor Holmes Norton

(Full Name)

202-225-8050

(Daytime Telephone)

(Office Use Only)

Filer Status

☒ Member of the U.S. House of Representatives

State: DC District: N/A

☐ Officer Or Employee

Employing Office:

Report Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | | |
|---|---|---|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| If yes, complete and attach Schedule I. | | If yes, complete and attach Schedule VI. | |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| If yes, complete and attach Schedule II. | | If yes, complete and attach Schedule VII. | |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| If yes, complete and attach Schedule III. | | If yes, complete and attach Schedule VIII. | |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | IX. Did you have any reportable agreement or arrangement with an outside entity? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| If yes, complete and attach Schedule IV. | | If yes, complete and attach Schedule IX. | |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response. | |
| If yes, complete and attach Schedule V. | | | |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | |
|---|---|
| Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE I - EARNED INCOME

Name Eleanor Holmes Norton

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source | Type | Amount |
|----------------------------------|-----------------------|----------|
| GEORGETOWN UNIVERSITY LAW CENTER | APPROVED TEACHING FEE | \$10,200 |
| PITNEY BOWES | RETIREMENT FEE | \$12,000 |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eleanor Holmes Norton

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| BLOCK A Asset and/or Income Source | BLOCK B Year-End Value of Asset | BLOCK C Type of Income | BLOCK D Amount of Income | BLOCK E Transaction |
|---|------------------------------------|---------------------------|-----------------------------|------------------------|
| <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p> | | | | |
| Allianz Annuity IRA | \$1,001 - \$15,000 | CAPITAL GAINS | \$201 - \$1,000 | PS(part) |
| AZL AIM Intl Equity | | | | |
| Allianz Annuity IRA | \$1,001 - \$15,000 | None | NONE | P |
| AZL BlackRock Cap Apprec | | | | |
| Allianz Annuity IRA | None | CAPITAL GAINS | \$201 - \$1,000 | PS |
| AZL BlackRock Growth - Formerly | | | | |
| Legg Mason Growth | | | | |
| Allianz Annuity IRA | \$1,001 - \$15,000 | CAPITAL GAINS | \$201 - \$1,000 | PS(part) |
| AZL Fusion Growth | | | | |
| Allianz Annuity IRA | \$1,001 - \$15,000 | CAPITAL GAINS | \$201 - \$1,000 | PS(part) |
| AZL VK Mid Cap Growth | | | | |
| Allianz Annuity IRA | \$100,001 - \$250,000 | INTEREST | \$2,501 - \$5,000 | PS(part) |
| Fixed Period Account | | | | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eleanor Holmes Norton

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| | | | | | PS(part) |
|---|-----------------------|------------------------|-------------------|---|----------|
| Allianz Annuity IRA Mutual Global Discovery | \$1,001 - \$15,000 | CAPITAL GAINS | \$201 - \$1,000 | | |
| Boston Cap Private Tax | \$1 - \$1,000 | INTEREST/CAPITAL GAINS | \$1,001 - \$2,500 | | |
| Congr Federal Credit Un | \$100,001 - \$250,000 | INTEREST | \$201 - \$1,000 | | |
| ING Annuity IRA AllianceBernstein Mid Cap Growth | None | CAPITAL GAINS | \$2,501 - \$5,000 | S | |
| ING Annuity IRA Amer Fds Bonds | \$15,001 - \$50,000 | None | NONE | | |
| ING Annuity IRA Amer Fds Growth | \$15,001 - \$50,000 | None | NONE | | |
| ING Annuity IRA Amer Fds Intl | \$15,001 - \$50,000 | None | NONE | | |
| ING Annuity IRA Baron Small Cap Growth | \$15,001 - \$50,000 | None | NONE | | |
| ING Annuity IRA Focus 5 | \$15,001 - \$50,000 | None | NONE | | |
| ING Annuity IRA Global Res | \$15,001 - \$50,000 | None | NONE | | |
| ING Annuity IRA Janus Contrarian | \$15,001 - \$50,000 | None | NONE | | |
| ING Annuity IRA Marsico Growth | \$15,001 - \$50,000 | None | NONE | | |
| ING Annuity IRA Marsico Intl | \$15,001 - \$50,000 | None | NONE | | |
| ING Annuity IRA PIMCO Core Bond | \$15,001 - \$50,000 | None | NONE | | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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| | | | | |
|--|-----------------------|---------------|-------------------|----|
| ING Annuity IRA Lifestyle Growth | None | CAPITAL GAINS | \$1,001 - \$2,500 | PS |
| ING Annuity IRA Retirement Growth | \$15,001 - \$50,000 | None | NONE | P |
| ING Annuity IRA Russell Mid Cap Growth | \$15,001 - \$50,000 | None | NONE | P |
| MetLife Annuity | \$15,001 - \$50,000 | RMD | \$201 - \$1,000 | |
| MetLife Bank NA-CD | \$15,001 - \$50,000 | INTEREST | \$201 - \$1,000 | |
| MetLife Common Stock | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| ML - Cohen & Steers Intl Realty Fd | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| ML - Davis NY Venture Fd | \$100,001 - \$250,000 | DIVIDENDS | \$1,001 - \$2,500 | P |
| ML - Du Page Cty, IL 1st | \$50,001 - \$100,000 | OID | \$2,501 - \$5,000 | |
| ML - FPA Perennial Fund | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 | |
| ML - General Electric | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| ML - John Hancock Venture III Annuity | \$50,001 - \$100,000 | None | NONE | |
| ML - Miami Beach FL | \$15,001 - \$50,000 | INTEREST | \$1,001 - \$2,500 | |
| ML - Palatine IL Corp Purp | \$15,001 - \$50,000 | OID | \$1,001 - \$2,500 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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| | | | | |
|---------------------------------------|-----------------------|----------|--------------------|---------|
| ML - San Diego Cty CA CTFS | \$1,001 - \$15,000 | INTEREST | \$201 - \$1,000 | |
| ML - Seminole Cty FL Sch Brd | \$50,001 - \$100,000 | INTEREST | \$1,001 - \$2,500 | |
| ML - So IL Univ RVS Hsg | \$15,001 - \$50,000 | OID | \$1,001 - \$2,500 | |
| ML - Tax Exempt Fund | \$50,001 - \$100,000 | INTEREST | \$1 - \$200 | |
| ML - Victor Vy CA Jt Un High | \$15,001 - \$50,000 | OID | \$1,001 - \$2,500 | |
| ML-WASH DC CCA DEDICATED | \$15,001 - \$50,000 | INTEREST | \$1,001 - \$2,500 | |
| TIAA CREF Retirement TIAA TRADITIONAL | \$100,001 - \$250,000 | INTEREST | \$5,001 - \$15,000 | S(part) |

SCHEDULE IV - TRANSACTIONS

Name Eleanor Holmes Norton

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--|------------------------|---|----------|-----------------------|
| | Allianz Annuity IRA AZL Blackrock Growth(formerly Legg Mason) | P | N/A | VAR | \$1,001 - \$15,000 |
| | Allianz Annuity IRA AZL Fusion Growth | P | N/A | VAR | \$100,001 - \$250,000 |
| | Allianz Annuity IRA AZL Aim Intl Equity | P | N/A | VAR | \$1,001 - \$15,000 |
| | Allianz Annuity IRA AZL Aim Intl Equity | S(part) | Yes | VAR | \$1,001 - \$15,000 |
| | Allianz Annuity IRA AZL Blackrock Cap Appreciation | P | N/A | 10/23/09 | \$1,001 - \$15,000 |
| | Allianz Annuity IRA AZL Blackrock Growth(formerly Legg Mason) | S | Yes | VAR | \$1,001 - \$15,000 |
| | Allianz Annuity IRA AZL Fusion Growth | S(part) | Yes | VAR | \$100,001 - \$250,000 |
| | Allianz Annuity IRA AZL VK Mid Cap Growth | P | N/A | VAR | \$1,001 - \$15,000 |
| | Allianz Annuity IRA AZL VK Mid Cap Growth | S(part) | Yes | VAR | \$1,001 - \$15,000 |
| | Allianz Annuity IRA Fixed Period Account | P | N/A | VAR | \$100,001 - \$250,000 |

SCHEDULE IV - TRANSACTIONS

Name Eleanor Holmes Norton

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--|------------------------|---|----------|-----------------------|
| | Allianz Annuity IRA Mutual Global Discovery | S(part) | Yes | VAR | \$1,001 - \$15,000 |
| | Allianz Annuity IRA Mutual Global Discovery | P | N/A | VAR | \$1,001 - \$15,000 |
| | Allianz Annuity IRA Fixed Period Account | S(part) | No | VAR | \$100,001 - \$250,000 |
| | ING Annuity IRA Alliance Bernstein Mid Cap | S | Yes | 8/7/09 | \$15,001 - \$50,000 |
| | ING Annuity IRA Lifestyle Growth | P | N/A | 8/3/09 | \$15,001 - \$50,000 |
| | ING Annuity IRA Lifestyle Growth | S | Yes | 10/23/09 | \$15,001 - \$50,000 |
| | ING Annuity IRA Retirement Growth | P | N/A | 10/23/09 | \$15,001 - \$50,000 |
| | ING Annuity IRA Russell Mid Cap Growth | P | N/A | 8/7/09 | \$15,001 - \$50,000 |
| | ML Davis NY Venture Fd | P | N/A | 12/3/09 | \$1,001 - \$15,000 |
| | TIAA CREF Retirement TIAA Traditional | S(part) | No | 5/6/09 | \$15,001 - \$50,000 |

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Eleanor Holmes Norton

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

| Source | Date(s) | Point of Departure-- Destination--Point of Return | Lodging? (Y/N) | Food? (Y/N) | Was a Family Member Included? (Y/N) | Days not at sponsor's expense |
|--------------------------------|---------|--|-------------------|----------------|---|-------------------------------------|
| Concordia College- Selma,AL | May8-9 | DC-Selma,AL-DC | N | Y | N | None |

SCHEDULE VIII - POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position | Name of Organization |
|--------------|----------------------|
| See Attached | |

Congresswoman Eleanor Holmes Norton's 2009 Board Participation

| <u>Position</u> | <u>Name of Organization</u> |
|------------------------|---|
| Professor of Law* | Georgetown University |
| Member | Council on Foreign Relations |
| Member | Citizens Commission for Civil Rights |
| Board of Trustees | Lawyers Committee for Civil Rights Under Law |
| Member of the Board | Washington Symphony |
| Chair | Women's Legal Advocates for Women (formally the Women's Law and Public Policy Fellowship Program, Georgetown University) |

Advisory Boards

| | |
|-----------------------|--|
| Advisory Board Member | Sewall-Belmont House |
| Advisory Board Member | Women & Politics Institute, American University |
| Advisory Board Member | The National Council of the Shakespeare Theater Company |

SCHEDULE IX - AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date | Parties To | Terms of Agreement |
|------|----------------------------------|-------------------------------------|
| | Georgetown University Law Center | See attached approval and agreement |



GEORGETOWN LAW

July 21, 2009

Office of the Dean

The Honorable Eleanor Holmes Norton
U.S. House of Representatives
2136 Rayburn House Office Building
Washington, DC 20515

Dear Eleanor:

I am delighted that you have agreed to teach a seminar at the Law Center during the 2009-2010 academic year. This letter confirms the terms of your faculty appointment.

Consistent with Georgetown University's faculty leave policy, your leave of absence from the full-time faculty has been extended through June 30, 2010.


Your seminar will be offered as part of the regular J.D. program of instruction at the Law Center. Students will receive regular credit toward their law degrees for their attendance and participation in your seminar.

Your salary will be \$10,200, which will be paid out of Law Center operating funds. No federal funds will be devoted to your salary. The compensation you will receive does not exceed the salary the Law Center pays to those whose professional qualifications are similar to yours and who provide a comparable level of instruction and amount of work. Melvinia Towns, administrative officer in charge of Law Center payroll, will contact you regarding arrangements for payment.

If you have any questions about the scheduling of your seminar, please contact Sarah Hulsey, Director of J.D. Academic Services at (202) 662-9041.

You are eligible to hire a student research assistant to assist in preparation of the seminar materials. The student will be paid out of Law Center operating funds.

Sincerely,


T. Alexander Aleinikoff
Dean

Understood and accepted.


ELEANOR HOLMES NORTON


Date

ZOE LOFGREN, CALIFORNIA
CHAIR

BEN CHANDLER, KENTUCKY
G. K. BUTTERFIELD, NORTH CAROLINA
KATHY CASTOR, FLORIDA
PETER WELCH, VERMONT

DANIEL J. TAYLOR,
COUNSEL TO THE CHAIR

R. BRADFORD CHISAM,
CHIEF COUNSEL AND STAFF DIRECTOR

ONE HUNDRED ELEVENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515-6328

JO BONNER, ALABAMA
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J. GRESHAM BARRETT, SOUTH CAROLINA
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GREGG HARPER, MISSISSIPPI

TODD UNGERFCHT
COUNSEL TO THE RANKING
REPUBLICAN MEMBER

SUITE HT-2, THE CAPITOL
(202) 225-7103

September 22, 2009

The Honorable Eleanor Holmes Norton
U.S. House of Representatives
2136 Rayburn House Office Building
Washington, DC 20515

Dear Colleague:

This is to notify you of Committee approval of your request, dated July 22, 2009 (but not received by the Committee until August 5, 2009), to teach a course entitled "Lawmaking and Statutory Interpretation" for compensation in the amount of \$10,200 at the Georgetown University Law Center during the fall 2009 semester. You explain that no official resources will be used to meet your teaching obligation, nor do you believe that it will conflict with your congressional responsibilities. Enclosed with your letter is correspondence from T. Alexander Aleinikoff of the Georgetown University. The Committee also received additional information from Carol O'Neil, Associate Dean of Academic Administration at Georgetown University describing your compensation. She informs the Committee that you will be compensated similarly to others of similar stature and experience as yourself. She also advises the Committee that non-congressional personnel are eligible for compensation rates "at least as high, or higher" as those to be received by you.

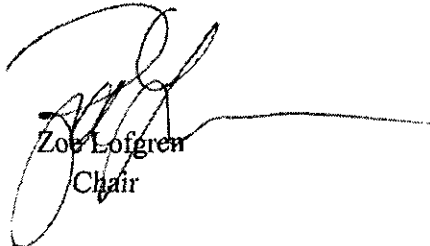
These arrangements appear to be similar to those that the Committee has approved in each of the past several years, pursuant to the guidelines issued by the Committee on teaching by Members and senior staff as set forth on page 223 of the *2008 House Ethics Manual*. As noted previously, because you are paid by the House at or above the senior staff rate, your outside compensation is subject to the 15% outside earned income limit described in House Rule 25, clauses 1-4. For 2009, that limit is \$26,550. You must report any outside income, including that earned for teaching, on Schedule I of your annual Financial Disclosure Statement.

The Honorable Eleanor Holmes Norton

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If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,



Zoe Lofgren
Chair

ZL/JB: krb



Jo Bonner
Ranking Republican Member