

HAND
DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
2013 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

LEGISLATIVE RESOURCE CENTER
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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Name: Jared S. Polis Daytime Telephone: 202-225-2161

MC (Office Use Only)

FILER STATUS	<input checked="" type="checkbox"/> Member of or Candidate for U.S. House of Representatives	State: <u>Colorado</u> District: <u>2</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____
REPORT TYPE	<input type="checkbox"/> 2013 Annual (Due: May 15, 2014)	<input checked="" type="checkbox"/> Amendment	<input type="checkbox"/> Termination Date: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Detail regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from the report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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SCHEDULE B - TRANSACTIONS

Name: Jared S. Polis

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Assets	Type of Transaction		Check Box If Capital Gain Exceeded \$200	Date	Amount of Transaction									
	Sale	Exchange			B	D	F	H	J	O	V	e	r	
Talavera-Ralston Ranch LLC				04/29/13										
				06/24/13										
				07/26/13										
				08/28/13										
				09/25/13										
				10/31/13										
PHHC Investor LLC (Owns 68% of Pediatric Home Health Care Holdings Inc and controlling int in Lifecare Innovations, Inc)				06/30/13	X									
Greenmont Capital Partners LLP - Limited Partner				12/30/13										
Invest in companies primarily in healthy living & wellness market				03/15/13										
Not self directed, Boulder, CO														
One Riot Inc Escrow Distribution (Sold in 2011)	X			12/31/13										
Jordan Capital Holdings LLC - Assets Listed below														
Holding Company, Denver, Co														
Jove Equity Fund I LP - Assets listed below				03/07/13	X									
Invests in start-up and private companies				03/21/13		X								
Denver, CO														
AIP Japan Fund IV LP														
Invest in Japanese Real Estate														
Denver, CO														
J-IV-1 (Katsura) Secured NPL Loans, Japan	X		X	03/15/13		X								
Quattro Real Estate Retail, Japan	X		X	02/07/13										
AIP Japan Fund V (Senior Housing) LP				01/11/13										
Invest in Japanese Senior Housing				06/15/13										
Denver, CO														
Licensed Retirement Housing Yokosuka city, Kanagawa														
Super, Real estate, Japan				02/08/13										
Court-1, Real estate, Japan				06/30/13			X							
Court-2, Real estate, Japan				09/27/13										
Bow River Capital 2011 - OP Fund LP				01/28/13										
Invest in early stage, expansion, later stage & Turnaround				03/18/13										
Companies, Denver, Colorado				07/17/13										
EIV Investor LLC/EIV & Associates Corp.				05/02/13										
Kobei Manufacturing Co. Ltd				02/05/13	X									
	X			06/14/13		X								

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SCHEDULE B - TRANSACTIONS

Name: Jared S. Polis

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Assets	Type of Transaction		Check Box If Capital Gain Exceeded \$200	Date	Amount of Transaction									
	Sale	Exchange			B	D	F	H	J	O	V	e	r	
Unit 707	X		X	4/15/2013	1	1	5	5						
Unit 708	X		X	3/26/2013	5	0	0	0						
Unit 801	X		X	7/15/2013	0	0	0	0						
Unit 802	X		X	3/28/2013	0	0	0	0						
Unit 803	X		X	3/19/2013	0	0	0	0						
Unit 804	X		X	3/21/2013	0	0	0	0						
Unit 805	X		X	3/26/2013	0	0	0	0						
Unit 806	X		X	3/20/2013	0	0	0	0						
Unit 807	X		X	4/1/2013	0	0	0	0						
Unit 808	X		X	4/16/2013	0	0	0	0						
Unit 901	X		X	4/5/2013	0	0	0	0						
Unit 902	X		X	3/14/2013	0	0	0	0						
Unit 903	X		X	4/30/2013	0	0	0	0						
Unit 904	X		X	3/26/2013	0	0	0	0						
Unit 905	X		X	3/21/2013	0	0	0	0						
Unit 906	X		X	4/12/2013	0	0	0	0						
Unit 907	X		X	4/5/2013	0	0	0	0						
Unit 908	X		X	4/8/2013	0	0	0	0						
Unit 1001	X		X	4/5/2013	0	0	0	0						
Unit 1002	X		X	3/14/2013	0	0	0	0						
Unit 1003	X		X	4/10/2013	0	0	0	0						
Unit 1004	X		X	3/20/2013	0	0	0	0						
Unit 1005	X		X	4/12/2013	0	0	0	0						
Unit 1006	X		X	3/20/2013	0	0	0	0						
Unit 1007	X		X	4/1/2013	0	0	0	0						
Unit 1008	X		X	5/17/2013	0	0	0	0						
Unit 1101	X		X	3/18/2013	0	0	0	0						
Unit 1102	X		X	3/14/2013	0	0	0	0						
Unit 1103	X		X	3/20/2013	0	0	0	0						
Unit 1104	X		X	3/14/2013	0	0	0	0						
Unit 1105	X		X	3/22/2013	0	0	0	0						
Unit 1106	X		X	3/14/2013	0	0	0	0						
Unit 1107	X		X	4/8/2013	0	0	0	0						
Unit 1108	X		X	5/2/2013	0	0	0	0						
Unit 1201	X		X	3/21/2013	0	0	0	0						
Unit 1202	X		X	3/14/2013	0	0	0	0						
Unit 1203	X		X	4/1/2013	0	0	0	0						

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Jared S. Polis

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SCHEDULE D - LIABILITIES

Name: Jared S. Polis

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SP DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	OVER 1 mill Spouses K
				10,000- 15,000	15,001- 50,000	50,001- 100,000	100,000- 250,000	250,000- 500,000	500,000- 1,000,000	1 mill - 5 mill	5 mill - 25 mill	25 mill - 50 mill	50 mill - OVER	
	MileagePlus United Visa, Palatine IL	Dec-13	Personal Credit Card Paid Monthly		X									
	Bank of America, Dallas, TX	Dec-13	Personal Credit Card Paid Monthly	X										
	Merrill Lynch, Los Angeles, CA	Sep-10	Secured Line of Credit										X	
	Merrill Lynch Mortgage Service Center, Mt Laurel, NJ	Mar-03	Mortgage vacation home, Berthoud, CO (not rented)							X				
	Dovermuelie Mortgage Inc., Lake Zurich, IL	Jul-08	Mortgage personal residence, Boulder, CO(not rented)								X			

SCHEDULE E - POSITIONS

Position	Name of Organization
Managing Member	Aquacopia Ventures LLC
Managing Member	Internet Political Concepts LLC - company dissolved in 2013
Limited Partner	Jove Equity Fund I LP
Limited Partner	Jove Venture Fund I LP
Partner	Jove Equity Partners LLC
Partner	Jove Equity Associates LLC
Managing Member	Jovian Capital Holdings LLC
Managing Member	Jovian Management Company Holdings LLC
Managing Member	Jovian Holdings LLC
Director	Jovian Holdings Inc (Unpaid)
Managing Member	Jovian P4 LLC
Limited Partner	LI Emerging Technologies Fund I, LP
Managing Member	Mountain Property Improvement LLC
Managing Member	Mountain Property II LLC
Managing Member	Mountain Property III LLC
Domestic Beneficiary	Pine 3-J Trust
Board Member	Polis -Schutz Family Foundation (Unpaid)
Managing Member	Technology Stars of Colorado Holdings LLC
Donor-Advised Fund Donor	The Community Foundation: Jared Polis Foundation Fund, Venture Gift Fund (Unpaid)
Limited Partner	Deutsche Banc Special Opportunities Fund
Limited Partner	Centre Capital Investors III LP
Partner	Scael LLC
Limited Partner	GunparkProperty Limited PS LLP
Limited Partner	I-Hatch Ventures LP - sold in 2013
Limited Partner	William Blair New World Ventures LP
Limited Partner	Applan Ventures I LP
Limited Partner	Bow River Capital Fund II LP
Limited Partner	Bow River Capital Fund IV LP
Limited Partner	Greenmont Capital Partners I LP
Limited Partner	GS Mezzanine Partners 2006 LP
Limited Partner	Kettle Partners Limited Partnership II
Domestic Beneficiary	K-New York Trust
Limited Partner	Baird Capital Partners IV Limited Partnership
Member	PSI PHI Communications LLC
Partner	K-Colorado LLC