

**William L. Owens**

Plattsburgh, New York 12901

**HAND  
DELIVERED**

LEGISLATIVE RESOURCE CENTER

2015 JAN 28 PM 3:13

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

January 27, 2015

FM

Office of the Clerk  
U.S. House of Representatives  
135 Cannon House Office Building  
Washington, DC 20515-6612

Dear Sir or Madam:

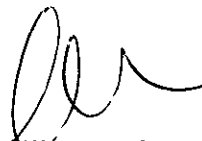
I am enclosing herewith my United States House of Representatives Ethics in Government Act, 2014, Financial Disclosure Statement – Form A.

I believe the Form is properly completed and signed as appropriate.

I would add, however, that I have attached five (5) pages related to Schedule A, due to the fact that my handwriting is not the best, and I wanted to assist whoever was inputting the information by providing a typed list.

If you have any questions, please do not hesitate to the undersigned. I can be reached during the day at

Very truly yours,



William L. Owens, Esq.  
Former Member of Congress

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U.S. HOUSE OF REPRESENTATIVES

FM  
(Office Use Only)

<b>UNITED STATES HOUSE OF REPRESENTATIVES</b>		<b>Form A</b>	
<b>2013 FINANCIAL DISCLOSURE STATEMENT</b>		For Use by Members, Officers, and Employees	
<b>Name:</b> <u>William L. Owens</u> <b>Daytime Telephone:</b> _____			
<b>FILER STATUS</b>	<input type="checkbox"/> Member of or Candidate for U.S. House of Representatives <input checked="" type="checkbox"/> 2013 Annual (Due: May 15, 2014)	State: <u>NY</u> District: <u>21</u>	<input type="checkbox"/> Officer or Employee <input type="checkbox"/> Employing Office: _____ <input type="checkbox"/> Termination Date: _____
<b>REPORT TYPE</b>	<input checked="" type="checkbox"/> 2013 Annual (Due: May 15, 2014)	<input type="checkbox"/> Amendment	

**PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS**

<b>A. Did you, your spouse, or your dependent child:</b> a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<b>B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</b>	

**IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

<b>IPO</b> - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? (If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>TRUSTS</b> - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>EXEMPTION</b> - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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**Use additional sheets if more space is required.**

## Page 1 of 2

**Use additional sheets if more space is required.**

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**Use additional sheets if more space is required.**

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction					
SP, DC, JT	ASSET NAME	EF	A	B	C	D	E	F	G	H	I	J	K	L	M	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	AA	
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*																						
	Hudson Bay Co	2																																			
	Common Stock	2																																			
	Helsigad Health	2																																			
	Health Common Stk	2																																			
	Health Corp	2																																			
	Common Stock	2																																			
	Int Texas Corp	2																																			
	Common Stock	2																																			
	Seaboard Common	2																																			
	Common Stock	2																																			
	Texas Longhairs	2																																			
	Int Common Stk	2																																			
	Amberly Clark	2																																			
	Cell Common Stk	2																																			
	Allylix Inc	2																																			
	Common Stock	2																																			

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**Use additional sheets if more space is required.**



# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: \_\_\_\_\_

Page 7 of 12

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction			
SP, DC, JT	ASSET NAME	EIF	A None	B \$1-\$1,000	C \$1,001-\$15,000	D \$15,001-\$50,000	E \$50,001-\$100,000	F \$100,001-\$250,000	G \$250,001-\$500,000	H \$500,001-\$1,000,000	I \$1,000,001-\$5,000,000	J \$5,000,001-\$25,000,000	K \$25,000,001-\$50,000,000	L Over \$50,000,000	M Spouse/DC Asset over \$1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TRUSTS/OTHER	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	II None	III \$1-\$200	IV \$201-\$1,000	V \$1,001-\$2,500	VI \$2,501-\$5,000	VII \$5,001-\$15,000	VIII \$15,001-\$50,000	IX \$50,001-\$100,000	X \$100,001-\$1,000,000	XI \$1,000,001-\$5,000,000	XII Over \$5,000,000	XIII Spouse/DC Asset with Income over \$1,000,000*	P, S, Split, or E
	Verizon Comm	2			X																															
	Common Stock	2			X																															
	Windsor Asset	2			X																															
	The Common ST	2			X																															
	Dodge Cox Int'l	2					X																													
	Stetson Fund - V	2																																		
	Fidelity Dividend	2			X																															
	Global Fund - V	2																																		
	VeriHouse et Ven	2					X																													
	Buena - No Fees	2																																		
	Winthrop Realty	2			X																															
	First - Units	2																																		
	HSBC Holdings	2					X																													
	Bo-d	2																																		
	St. Paul, Paulus	2																																		
	Carlin Mustang	2																																		



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**Use additional sheets if more space is required.**

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: \_\_\_\_\_

Page 7 of 21

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	II	III	IV	V	VI	VII	VIII	IX	X	XI	P, S, S(part), or E
			\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*																				
	Hydroponic Tech - stock					X									X								X											
	SP Divd Equity stock			X																	X		X											
	SP Divd 2001 Comm stock			X																	X		X											
	SP Fidelity Govt Bdly Fund #5		X																		X		X											
	Units																				X		X											
	Security Mutual Life Ins - cash					X															X		X											
	Value																				X		X											
	SP Mon/Ag Land Public																				X		X											
	TZ4A/CREF																				X		X											
	Y03B - bonds																				X		X											

# SCHEDULE B - TRANSACTIONS

Name: \_\_\_\_\_

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period or any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.		Type of Transaction				Check Box If Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction									
SP, DC, JT	Asset	Purchase	Sale	Partial Sale	Exchange			A	B	C	D	E	F	G	H	I	J
SP	Example Mega Corp. Stock			X		X	3/5/13		X								
	VST Stock			X		X	12/9/14				X						
	<del>Bank of America Bond</del>																
2	Bank of America Bond	X					1/2/14		X								
2	Bank of America Bond	X					1/2/14		X								
2	Goldman Sachs CPMs	X					1/2/14		X								
2	Bond																
2	HSBC Banking Bond	X					1/2/14			X							
2	Fidelity Govt Oblig #5		X				1/2/14				X						
2	Greenbox Inc	X					1/2/14		X								
2	Fidelity Govt Oblig #5			X			1/2/14			X							
2	Fidelity Govt Oblig #5	X					1/2/14		X								
2	Fidelity Govt Oblig #5						1/2/14										
2	Fidelity Govt Oblig #5	X					1/2/14		X								
2	Fidelity Govt Oblig #5			X			1/2/14										
2	Fidelity Govt Oblig #5	X					1/2/14		X								

# SCHEDULE B - TRANSACTIONS

Name: \_\_\_\_\_

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.			Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
SP, DC, JT	SP	Asset	Purchase	Sale	Partial Sale	Exchange			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$10,000,000 (Spouse/JT Asset)
	Example	Mega Corp. Stock			X		X	3/5/13		X									
2		Fed Govt Oblig #5	X					1/27/14	X										
		units																	
2		Bank of America Corp Stock	X					1/27/14		X									
2		Fed Govt Oblig #5	X					5/14/14	X										
		units																	
2		Fed Govt Oblig #5	X					4/21/14	X										
		units																	
2		AT&T Inc Stock			X			5/21/14	X										
2		Verizon Comm Stock			X			5/13/14	X										
2		Twist Corp Stock			X			5/13/14	X										
2		Pure Energy Stock			X			5/13/14	X										
2		Southern Rand Stock			X			5/13/14	X										
2		Fed Govt Oblig #5	X					5/13/14			X								
		units																	
2		Bank of Montreal Stock			X			6/19/14	X										
2		Bank of America Stock			X			5/13/14	X										
2		Bank of Nova Scotia			X			5/13/14	X										
		units																	
2		Fortress Corp Stock			X			6/19/14	X										

# SCHEDULE B - TRANSACTIONS

Name:

Page 1 of 2

SP, DC, JT		Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date	Amount of Transaction										
SP	Example	Asset	Purchase	Sale	Partial Sale	Exchange		(MODIFY) or Quarterly, Monthly, or Bi-weekly, if applicable	A \$1,001-\$5,000	B \$5,001-\$15,000	C \$15,001-\$50,000	D \$50,001-\$100,000	E \$100,001-\$250,000	F \$250,001-\$500,000	G \$500,001-\$1,000,000	H \$1,000,001-\$5,000,000	I \$5,000,001-\$25,000,000	J \$25,000,001-\$50,000,000	K Over \$50,000,000
	Example	Mega Corp. Stock			X		X	3/5/13		X									
2		Johnson Johnson Stock			X			5/10/14	X										
2		Stallin Leach's Stock			X			6/10/14	X										
2		Clayton Inc Stock			X			6/10/14	X										
2		Futrel Corp Stock			X			6/10/14	X										
2		James Long Lassell Stock			X			5/10/14	X										
2		Altera Broad Inc Stock			X			5/10/14	X										
2		Kimberly Clark Corp Stock			X			5/10/14	X										
2		LePore Inc Stock			X			5/10/14	X										
2		Sping Corp Stock			X			5/10/14	X										
2		Fid Govt Oblig #5 units			X			7/10/14	X										
2		Novartis AG Stock			X			7/10/14	X										
2		Stock			X			7/10/14	X										
2		Fid Govt Oblig #5 units			X			7/10/14	X										
2		Netflix Inc Stock			X			7/10/14	X										
2		Bank of America Stock			X			7/10/14	X										
2		Bank of America Stock			X			7/10/14	X										
2		Bank of America Stock			X			7/10/14	X										

# SCHEDULE B - TRANSACTIONS

Name: \_\_\_\_\_

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.			Type of Transaction				Check Box If Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction									
SP, DC, JT	SP	Asset	Purchase	Sale	Partial Sale	Exchange			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000
		Example Mega Corp. Stock			X			3/5/13		X								
2		Whitcap Associates Inc	X					7/14/14	X									
		Stock																
2		Time Warner Inc	X					7/15/14		X								
		Stock																
2		Fed Govt Bdl, #5 units			X			7/15/14										
		Stock																
2		Wittich Inc	X					7/15/14		X								
		Stock																
2		Fed Govt Bdl, #5-units	X					7/15/14										
		Stock																
2		Fed Govt Bdl, #5-units	X					7/15/14										
		Stock																
2		Verizon Comm			X			9/14/14										
		Stock																
2		Verizon Comm			X			9/14/14										
		Stock																
2		Verizon Comm			X			9/14/14										
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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you are personally liable); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000.

\*Column K is for liabilities held solely by your spouse or dependent child.

[illegible]

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions listed in Schedule C; positions held in any religious, social, fraternal or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Member	Quebec - New York Goodwilling Council
Member	Lehigh Valley State University of NY-Schenectady
Member	Engineer Advisory Council
Member	American Legion Post 1619

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
12/20/05	Stepford, Kelly, Marianne Plump Day Kellihue & Janice PLLC	buy out in Holston Hwy commenced 1/10/06 for 60 months at \$2500 per month
March	Stepford, Phillip Marianne, Kellihue & Janice PLLC	Agreed to Holston Hwy purchase
2014	Stepford, Kelly, PLLC	1/5/10
December	McDonald, Tony & Kellihue	McDonald employment ended 10/1/15
2014		

Report the source (including name, city, and state), a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

[illegible]

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**EXCLUDE:** Privately-sponsored travel approved by the Ethics Committee, if post-travel disclosure was filed with the Clerk, travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (F.G.D.A., 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]

Name: \_\_\_\_\_

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[illegible]

Name: William Owens Page 21 of 21

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**Use additional sheets if more space is required.**



Schedule A  
Page #

Bank of America – Bond (2)	1
Bank of America – Bond (2)	1
Champlain National Bank – Stock	1
Goldman Sachs Group, Inc. – Bond (2)	2
Goldman Sachs Group, Inc. – Bond (2)	2
HSBC Financial Corp. – Bond (2)	2
1 Shares Barclays TIPS – Bond (2)	2
Morgan Stanley – Bond (2)	2
Federated Government Obligation Fund No. 5 – Cash/Units (2)	2
Altria Group, Inc. – Stock (2)	2
AT&T Inc. – Stock (2)	3
Avista Corp. – Stock (2)	3

Bank of Montreal – Stock (2)	3
Bank of America –Stock (2)	3
Bank of Nova Scotia, Halifax –Stock (2)	3
Bonterra Energy Corp. –Stock (2)	3
Duke Energy –Stock (2)	3
Groupon, Inc. –Stock (2)	3
Hudson Bay Company –Stock (2)	4
Halyard Health, Inc. –Stock (2)	4
Intel Corp. –Stock (2)	4
Intrexon Corp. –Stock (2)	4
Johnson & Johnson –Stock (2)	4
Jones, Lang, Lasalle, Inc. –Stock (2)	4
Kimberly Clark Corp. –Stock (2)	4

Security Mutual Life Insurance – Cash value (2)	8
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Mountain Lake Public Broadcasting Service TIAA/CREF 403 (B) (1)	8
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Netflix, Inc. –Stock (2)	4
Novartis AG-Spons ADR –Stock (2)	5
Organovo Holding –Stock (2)	5
Pepsico, Inc. –Stock (2)	5
Seattle Genetics, Inc. –Stock (2)	5
Southern Company –Stock (2)	5
Sysco Corporation –Stock (2)	5
Time Warner, Inc. – Stock (2)	5
Unifi, Inc. – Stock (2)	5
Verizon Communications – Stock (2)	6
Whitecap Resources, Inc. –Stock (2)	6
Dodge & Cox International Stock Fund – Units (2)	6
Fidelity Dividend Growth Fund – Units (2)	6

Warehouse at Van Buren – Mortgage (2)	6	
Winthrop Realty Trust - Units (2)	6	
HSBC Holdings – Bond (2)	6	
Stafford, Owens, Curtain & Murnane Building Partnership - Plattsburgh, New York	6/7	
Stafford, Piller, Murnane, Kelleher & Trombley – Profit Sharing 401(k)	7	
American Growth Funds	*	
Federated Capital Preservation	*	
T. Rowe Price Capital Appreciation	*	
T. Rowe Price Equity Income Fund	*	
Hydroionic Tech – Stock	8	
Verizon Communications - Stock (1)	8	
Duke Energy - Stock (1)	8	
Federated Government Obligations Fund, No. 5 – Cash Units (1)	8	