



Filing ID #10029790

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Chris Putnam  
**Status:** Congressional Candidate  
**State/District:** TX12

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2019  
**Filing Date:** 01/26/2020  
**Period Covered:** 01/01/2019– 01/1/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ineterest in Securities ⇒ 052396M62 [GS] DESCRIPTION: 052396M62 Austin TX Pub Int.		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ 052405CP0 [GS] DESCRIPTION: 052405CP0 Austin TX Cmnty		\$1,001 - \$15,000	Interest	\$2,501 - \$5,000	None
Ineterest in Securities ⇒ 09259K203 [RE] DESCRIPTION: 09259K203 Blackstone Real Estate		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ 106205RB5 [GS] DESCRIPTION: 106205RB5 BRAZOS CNTY TX		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ 136542LL9 [GS] DESCRIPTION: 136542LL9 CANADIAN RVR MUN WTR		\$1 - \$1,000	Interest	\$201 - \$1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ineterest in Securities ⇒ 414005RC4 [GS] DESCRIPTION: 414005RC4 HARRIS CNTY TX RFDG PERM		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ 414005RC4 [GS] DESCRIPTION: 414005RC4 HARRIS CNTY TX RFDG PERM		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ 430686PQ2 [GS] DESCRIPTION: 430686PQ2 HIGHLAND PARK TX		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ 442403JZ5 [GS] DESCRIPTION: 442403JZ5 Houston, TX		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ 4941344Q9 [GS] DESCRIPTION: 4941344Q9 Killeen TX		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ 498531BE1 [GS] DESCRIPTION: 498531BE1 Klein TX		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ 542264HE9 [GS] DESCRIPTION: 542264HE9 Lone Star College Sys		\$1,001 - \$15,000	Interest	\$2,501 - \$5,000	None
Ineterest in Securities ⇒ 549188M49 [GS] DESCRIPTION: 549188M49 Lubbock TX		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ 56682HBU2 [GS] DESCRIPTION: 56682HBU2 MARICOPA CNTY AZ		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ 5917454G6 [GS] DESCRIPTION: 5917454G6		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ 6174467Y9 [CS] DESCRIPTION: 6174467Y9 MORGAN STANLEY		\$1 - \$1,000	Interest	\$201 - \$1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ineterest in Securities ⇒ <b>684517PJ8</b> [GS]  DESCRIPTION: 684517PJ8 ORANGE CNTY FL SCH BRD		\$1,001 - \$15,000	Interest	\$2,501 - \$5,000	None
Ineterest in Securities ⇒ <b>763261M92</b> [GS]  DESCRIPTION: 763261M92 RICHARDSON TX		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ <b>798055SS9</b> [GS]  DESCRIPTION: 798055SS9 SAN JACINTO TX RIV AUTH		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ <b>845437BR2</b> [CS]  DESCRIPTION: 845437BR2 SOUTHWESTERN ELEC PWR CO		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ <b>876443LJ9</b> [GS]  DESCRIPTION: 876443LJ9 TARRANT REGL WTR DIST TX		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ <b>882806BS0</b> [GS]  DESCRIPTION: 882806BS0 TEXAS TECH UNIV REV		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ <b>89453PYA1</b> [GS]  DESCRIPTION: 89453PYA1 TRAVIS CNTY TX		\$1,001 - \$15,000	Interest	\$2,501 - \$5,000	None
Ineterest in Securities ⇒ <b>89453PYFo</b> [GS]  DESCRIPTION: 89453PYFo TRAVIS CNTY TX		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ <b>912810FJ2</b> [GS]  DESCRIPTION: 912810FJ2 U S TREASURY BOND		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ <b>912810QW1</b> [GS]  DESCRIPTION: 912810QW1 U S TREASURY BOND		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ <b>9128282A7</b> [GS]  DESCRIPTION: 9128282A7 U S TREASURY NOTE		\$1 - \$1,000	Interest	\$201 - \$1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ineterest in Securities ⇒ <b>9128282Ro</b> [GS]  DESCRIPTION: 9128282Ro U S TREASURY NOTE		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ <b>9128283U2</b> [GS]  DESCRIPTION: 9128283U2 US TREASURY NOTE		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ <b>9128286B1</b> [GS]  DESCRIPTION: 9128286B1 US TREASURY NOTE		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ <b>9128286R6</b> [GS]  DESCRIPTION: 9128286R6 US TREASURY NOTE		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ <b>9128286T2</b> [GS]  DESCRIPTION: 9128286T2 US TREASURY NOTE		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ <b>912828B66</b> [GS]  DESCRIPTION: 912828B66 US TREASURY NOTE		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ <b>912828C65</b> [GS]  DESCRIPTION: 912828C65 US TREASURY NOTE		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ <b>912828F62</b> [GS]  DESCRIPTION: 912828F62 US TREASURY NOTE		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ <b>912828J27</b> [GS]  DESCRIPTION: 912828J27 US TREASURY NOTE		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ <b>912828K74</b> [GS]  DESCRIPTION: 912828K74 US TREASURY NOTE		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ <b>912828L99</b> [GS]  DESCRIPTION: 912828L99 US TREASURY NOTE		\$1 - \$1,000	Interest	\$201 - \$1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ineterest in Securities ⇒ 912828P46 [GS]  DESCRIPTION: 912828P46		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ 912828RR3 [GS]  DESCRIPTION: 912828RR3 US TREASURY NOTE		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ 912828W71 [GS]  DESCRIPTION: 912828W71 US TREASURY NOTE		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ 912828W89 [GS]  DESCRIPTION: 912828W89 US TREASURY NOTE		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ 912828XW5 [GS]  DESCRIPTION: 912828XW5 U S TREASURY NOTE		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ 914302CD4 [GS]  DESCRIPTION: 914302CD4 UNIVERSITY HOUSTON TX		\$1,001 - \$15,000	Interest	\$2,501 - \$5,000	None
Ineterest in Securities ⇒ 917542VS8 [GS]  DESCRIPTION: 917542VS8 UTAH ST		\$1 - \$1,000	Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ 929831KM6 [GS]  DESCRIPTION: 929831KM6 WACO TX		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ 940157U73 [GS]  DESCRIPTION: 940157U73 WASHINGTON SUBN SAN DIST		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Aaron's, Inc. (AAN) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Abbott Laboratories (ABT) [ST]		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Abbott Laboratories (ABT) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Ineterest in Securities ⇒ AbbVie Inc. (ABBV) [ST]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Advanced Energy Industries, Inc. (AEIS) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ AFLAC Incorporated (AFL) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Air Products and Chemicals, Inc. (APD) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Akamai Technologies, Inc. (AKAM) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Altria Group, Inc. (MO) [ST]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Amazon.com, Inc. (AMZN) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ American Electric Power Company, Inc. (AEP) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ American Water Works Company, Inc. (AWK) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Amgen Inc. (AMGN) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Anthem, Inc. (ANTM) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ineterest in Securities ⇒ Apple Inc. (AAPL) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	None
Ineterest in Securities ⇒ Aptiv PLC Ordinary Shares (APTIV) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Aqua America, Inc. (WTR) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Assured Guaranty Ltd. (AGO) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ AT&T Inc. (T) [ST]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Autodesk, Inc. (ADSK) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Bank of America Corporation (BAC) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Bank OZK (OZK) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ BCE, Inc. (BCE) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ BFMSX [CS] DESCRIPTION: BFMSX BLACKROCK		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Bio-Rad Laboratories, Inc. Class A (BIO) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ Black Hills Corporation (BKH) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ BlackLine, Inc. (BL) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ Booking Holdings Inc. (BKNG) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ineterest in Securities ⇒ BP p.l.c. (BP) [ST]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Bright Horizons Family Solutions Inc. (BFAM) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ British American Tobacco Industries, p.l.c. Common Stock ADR (BTI) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Bruker Corporation (BRKR) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	None
Ineterest in Securities ⇒ BSIIX [CS]  DESCRIPTION: BSIIX BLACKROCK		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ BWLYX [CS]  DESCRIPTION: BWLYX AMERICAN BEACON		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Cadence Bancorporation Class A (CADE) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Canadian Imperial Bank of Commerce (CM) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Charles Schwab Corporation (SCHW) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Chevron Corporation (CVX) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Chuy's Holdings, Inc. (CHUY) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Coca-Cola Company (KO) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Concho Resources Inc. (CXO) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None



Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ineterest in Securities ⇒ Credicorp Ltd. (BAP) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Crown Castle International Corp. (CCI) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$5,001 - \$15,000	None
Ineterest in Securities ⇒ CSX Corporation (CSX) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Danaher Corporation (DHR) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Dominion Energy, Inc. (D) [ST]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ DoubleLine Opportunistic Credit Fund Common Shares of Beneficial Interest (DBL) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Duke Energy Corporation (DUK) [ST]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Ecolab Inc. (ECL) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Edison International (EIX) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	None
Ineterest in Securities ⇒ EGFIX [CS] DESCRIPTION: EGFIX EDGEWOOD		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ EGOIX [CS] DESCRIPTION: EGOIX WELLS FARGO		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Enbridge Inc (ENB) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Envit Capital Group, Inc. Common Stock (ECGP) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒		\$1 - \$1,000	Capital Gains,	\$201 -	None

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EOG Resources, Inc. (EOG) [ST]			Dividends	\$1,000	
Ineterest in Securities ⇒ Essent Group Ltd. Common Shares (ESNT) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Evertec, Inc. (EVTC) [ST]		\$1 - \$1,000	Dividends, Interest	\$201 - \$1,000	None
Ineterest in Securities ⇒ Exxon Mobil Corporation (XOM) [ST]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Facebook, Inc. - Class A (FB) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Federal National Mortgage Association (FNMAM) [ST]		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ First Interstate BancSystem, Inc. - Class A (FIBK) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Fiserv, Inc. (FISV) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Foundation Building Materials, Inc. (FBM) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ General Mills, Inc. (GIS) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	None
Ineterest in Securities ⇒ Gilead Sciences, Inc. (GILD) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ GlaxoSmithKline PLC (GSK) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Global Payments Inc. (GPN) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Gray Television, Inc. (GTN) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ HealthEquity, Inc. (HQY) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None

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Ineterest in Securities ⇒ Hexcel Corporation (HXL) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ HLEMX [CS]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
DESCRIPTION: HLEMX HARDING LOEVNER					
Ineterest in Securities ⇒ Home Depot, Inc. (HD) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Huntington Bancshares Incorporated (HBAN) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ ICF International, Inc. (ICFI) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Illinois Tool Works Inc. (ITW) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Intel Corporation (INTC) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Inter Parfums, Inc. (IPAR) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Intercontinental Exchange Inc. (ICE) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Invesco Ltd (IVZ) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ iShares Russell 1000 ETF (IWB) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Itron, Inc. (ITRI) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Johnson & Johnson (JNJ) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Kimberly-Clark Corporation (KMB) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None

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Ineterest in Securities ⇒ Kinsale Capital Group, Inc. (KNSL) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ KLA Corporation (KLAC) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$5,001 - \$15,000	None
Ineterest in Securities ⇒ L3Harris Technologies, Inc. (LHX) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ LegacyTexas Financial Group, Inc. (LTXB) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Lexington Realty Trust (LXP) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Lithia Motors, Inc. (LAD) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ LSGRX [CS] DESCRIPTION: LSGRX LOOMIS SAYLES		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Main Street Capital Corporation (MAIN) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ MAMTX [CS] DESCRIPTION: MAMTX BLACKROCK		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	\$201 - \$1,000
Ineterest in Securities ⇒ Marriott International - Class A (MAR) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Marten Transport, Ltd. (MRTN) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Medidata Solutions, Inc. (MDSO) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$15,001 - \$50,000	None
Ineterest in Securities ⇒ MEIIX [CS] DESCRIPTION: MEIIX MFS VALUE		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ MEMTX [CS]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: MEMTX BLACKROCK					
Ineterest in Securities ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Methode Electronics, Inc. (MEI) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Microsoft Corporation (MSFT) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	None
Ineterest in Securities ⇒ Mondelez International, Inc. - Class A (MDLZ) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Monolithic Power Systems, Inc. (MPWR) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	None
Ineterest in Securities ⇒ Moody's Corporation (MCO) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	None
Ineterest in Securities ⇒ Morgan Stanley (MS) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ MSCI Inc (MSCI) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	None
Ineterest in Securities ⇒ MTBIX [CS]		\$1 - \$1,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: MTBIX MAINSTAY MACKAY					
Ineterest in Securities ⇒ Murphy USA Inc. (MUSA) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ National Grid Transco, PLC National Grid PLC (NGG) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ New Relic, Inc. (NEWR) [ST]		\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ineterest in Securities ⇒ NextEra Energy Partners, LP Common Units representing limited partner interests (NEP) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ NextEra Energy, Inc. (NEE) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ NVIDIA Corporation (NVDA) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Occidental Petroleum Corporation (OXY) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ OneMain Holdings, Inc. (OMF) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ OUTFRONT Media Inc. (OUT) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Palomar Holdings, Inc. - Common stock (PLMR) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ PBDPX [CS]  DESCRIPTION: PBDPX PIMCO		\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	None
Ineterest in Securities ⇒ PDBXZ [CS]  DESCRIPTION: PDBZX PGIM		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ PennantPark Investment Corporation - common stock (PNNT) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Pepsico, Inc. (PEP) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Pepsico, Inc. (PEP) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Pfizer, Inc. (PFE) [ST]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒		\$1,001 - \$15,000	Capital Gains,	\$2,501 -	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Philip Morris International Inc (PM) [ST]			Dividends	\$5,000	
Ineterest in Securities ⇒ PNC Financial Services Group, Inc. (PNC) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ PNM Resources, Inc. (PNM) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ PPL Corporation (PPL) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ PRGDX [CS] DESCRIPTION: PRGDX T ROWE PRICE		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Procter & Gamble Company (PG) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$5,001 - \$15,000	None
Ineterest in Securities ⇒ Proofpoint, Inc. (PFPT) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ PSIGX [CS] DESCRIPTION: PSGIX BLACKROCK		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Q2 Holdings, Inc. (QTwo) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Quotient Limited - Ordinary Shares (QTNT) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ Radian Group Inc. (RDN) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ Rapid7, Inc. (RPD) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Realty Income Corporation (O) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Ringcentral, Inc. Class A (RNG) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ineterest in Securities ⇒ Rockwell Automation, Inc. (ROK) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Rush Enterprises, Inc. - Class A (RUSHA) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ S&P Global Inc. (SPGI) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ SailPoint Technologies Holdings, Inc. (SAIL) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Salesforce.com Inc (CRM) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Sanderson Farms, Inc. (SAFM) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ SBA Communications Corporation - Class A (SBAC) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Sealed Air Corporation (SEE) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Service Corporation International (SCI) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Silgan Holdings Inc. (SLGN) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Southern Company (SO) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ STORE Capital Corporation (STOR) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Stryker Corporation (SYK) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Summit Hotel Properties, Inc. (INN) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒		\$1,001 - \$15,000	Capital Gains,	\$1,001 -	None



Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
SunTrust Banks, Inc. (STI) [ST]			Dividends	\$2,500	
Ineterest in Securities ⇒ Synchronoss Technologies, Inc. (SNCR) [ST]		None	Capital Gains	None	\$100,001 - \$1,000,000
Ineterest in Securities ⇒ Syneos Health, Inc. - Class A (SYNH) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ Tapestry, Inc. (TPR) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Thermo Fisher Scientific Inc (TMO) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Total S.A. (TOT) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Tower Semiconductor Ltd. - Ordinary Shares (TSEM) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ Triton International Limited Common Shares (TRTN) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ U S Concrete, Inc. (USCR) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ Ulta Beauty, Inc. (ULTA) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ Unilever PLC (UL) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ United Parcel Service, Inc. (UPS) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ V.F. Corporation (VFC) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ineterest in Securities ⇒ Valero Energy Corporation (VLO) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Ventas, Inc. (VTR) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Verizon Communications Inc. (VZ) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Ineterest in Securities ⇒ Vestas Wind Systems AS American Depositary Shares (VWDRY) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ VFIUX [CS]  DESCRIPTION: VFIUX Vanguard intermediate term		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Visa Inc. (V) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Walt Disney Company (DIS) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ Washington Federal, Inc. (WAFD) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ Welltower Inc. (WELL) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ West Pharmaceutical Services, Inc. (WST) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒ WNS (WNS) [ST]		\$1 - \$1,000	Capital Gains	\$201 - \$1,000	None
Ineterest in Securities ⇒ WOBDX [CS]  DESCRIPTION: WOBDX JP MORGAN CORE BOND FUND		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	None
Ineterest in Securities ⇒		\$1,001 - \$15,000	Capital Gains,	\$1,001 -	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Xcel Energy Inc. (XEL) [ST]			Dividends	\$2,500	
Ineterest in Securities ⇒ Xylem Inc. Common Stock New (XYL) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Tristate Capital Bank	December 2016	Consumer Loan	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Synchronoss Technologies Inc. (Bridgewater, NJ, US)	Vice President of sales

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>Ineterest in Securities</li></ul> LOCATION: US
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Chris Putnam , 01/26/2020