



Filing ID #10003512

# FINANCIAL DISCLOSURE REPORT

---

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

---

## FILER INFORMATION

**Name:** Mr. Sam Farr  
**Status:** Member  
**State/District:** CA20

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2013  
**Filing Date:** 07/9/2014

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Abbott Laboratories (ABT)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Actavis plc Ordinary Shares (ACT)		\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
AFLAC Incorporated (AFL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Airgas, Inc. (ARG)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Amgen Inc. (AMGN)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Boeing Company (BA)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Caterpillar, Inc. (CAT)		None	None		<input checked="" type="checkbox"/>
Celgene Corporation (CELG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Charles Schwab Money Market Account		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Chevy Chase Land Company		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: Land holding company					
Colgate-Palmolive Company (CL)		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Congressional Federal Credit Union (Savings and Checking Accounts)		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Congressional Federal Credit Union IRA Account		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Devils Flat Association - Member in landholding LLC		\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: Big Sur, Monterey County, CA, US					
DESCRIPTION: Interest in land holding LLC.					
Ecolab Inc. (ECL)		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Farr Children's Trust and Vista Lobos Property Trust Partnership ⇒ Vista Lobos Apartments		\$500,001 - \$1,000,000	Rent	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: Carmel, Monterey County, CA, US					
DESCRIPTION: Asset is owned in part by Farr Children's Trust of which filer is an income-only beneficiary and owned in remaining part by Vista Lobos Property Trust Partnership in which filer is a limited partner.					
General Electric Company (GE)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Genuine Parts Company (GPC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Honeywell International Inc. (HON)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Interest - California State Retirement System (includes California Legislative Retirement)		\$15,001 - \$50,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
International Business Machines Corporation (IBM)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Lots 7, 9, 10 (SE Corner of Santa Lucia and Camino Real)  LOCATION: Carmel, CA, US		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Membership interest, Point 16  LOCATION: Big Sur, CA, US		\$500,001 - \$1,000,000	Rent	\$50,001 - \$100,000	<input type="checkbox"/>
Partnership interest - Sorensen's Resort  LOCATION: Hope Valley, CA, US		\$15,001 - \$50,000	Partnership distribution	\$1,001 - \$2,500	<input type="checkbox"/>
QUALCOMM Incorporated (QCOM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Schwab IRA Account ⇒ Charles Schwab Money Market Fund		\$1 - \$1,000	None		<input type="checkbox"/>
Schwab IRA Account ⇒ MS Global Strategist Fund		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Schwab IRA Account ⇒ Vanguard Index 500 Fund		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Schwab IRA Account ⇒ Vanguard Intermediate Term Investment Grade Fund		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Schwab IRA Account ⇒ Vanguard S-T Corp Bond ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Schwab IRA Account ⇒ Vanguard Total Stock Market Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Sharlands Investment Company  DESCRIPTION: Investment company		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Tahoe Cabin  LOCATION: El Dorado County, CA, US		\$15,001 - \$50,000	Rent	\$1,001 - \$2,500	<input type="checkbox"/>
Union Pacific Corporation (UNP)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
United Technologies Corporation (UTX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Wal-Mart Stores, Inc. (WMT)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Wells Fargo & Company (WFC)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Actavis plc Ordinary Shares (ACT)		03/13/2013	P	\$1,001 - \$15,000	
Actavis plc Ordinary Shares (ACT)		10/1/2013	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Actavis plc Ordinary Shares (ACT)		10/1/2013	P	\$15,001 - \$50,000	
AFLAC Incorporated (AFL)		03/13/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Caterpillar, Inc. (CAT)		03/13/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Colgate-Palmolive Company (CL)		03/13/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
QUALCOMM Incorporated (QCOM)		03/13/2013	P	\$1,001 - \$15,000	
Schwab IRA Account ⇒ Vanguard Intermediate Term Investment Grade Fund		10/9/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Schwab IRA Account ⇒ Vanguard S-T Corp Bond ETF		10/10/2013	P	\$1,001 - \$15,000	
United Technologies Corporation (UTX)		03/13/2013	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Partners for Transition LLC	Spouse Salary	N/A
California Public Employees Retirement System/Legislative Retirement	Pension Income	\$19,280

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Wells Fargo Bank	December 2012	Mortgage on Residence, Carmel, CA	\$250,001 - \$500,000
	Charles Schwab	March 2013	Margin account loan	\$50,001 - \$100,000
	Wells Fargo Bank	2011	Line of credit secured by residence	\$500,001 - \$1,000,000

**SCHEDULE E: POSITIONS**

Position	Name of Organization
Partner	Sorensen's Resort
LLC member	Devils Flat Association
Limited partner	Vista Lobos Property Trust Partnership

**SCHEDULE F: AGREEMENTS**

Date	Parties To	Terms of Agreement
2014	Continued participation in California State Legislative Pension system.	Pension system based on state constitutional and statutory provisions.

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

Trip Details				Inclusions		
Source	Start Date	End Date	Itinerary	Lodging?	Food?	Family?
Progressive Congress	01/23/2013	01/25/2013	Washington, DC - Pikesville, MD - Washington DC	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Trip Details				Inclusions		
Source	Start Date	End Date	Itinerary	Lodging?	Food?	Family?
Aspen Institute Congressional Program	04/1/2013	04/8/2013	Monterey, CA - Istanbul, Turkey - Washington DC	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Aspen Institute Congressional Program	08/9/2013	08/20/2013	Washington DC - Addis Ababa - San Francisco, CA	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
COMMENTS: Dates at personal expense: 8/11/2013 to 8/14/2013						

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- o Farr Children's Trust and Vista Lobos Property Trust Partnership
- o Schwab IRA Account

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Mr. Sam Farr , 07/9/2014