

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A  
For use by Members, officers, and employees

Page 1 of 11

**HAND DELIVERED**

LEGISLATIVE RESOURCE CENTER

Eleanor Holmes Norton

202-225-8050

2013 MAY -8 PM 3:18

(Full Name)

(Daytime Telephone)

(Office Use Only)

<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: DC District: N/A	<input type="checkbox"/> Officer Or Employee	Employing Office:	U.S. House of Representatives
<b>Report Type</b>	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date:	
					A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name Eleanor Holmes Norton

Page 2 of 11

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Georgetown University Law Center	Approved Teaching Fee	\$10,200
Pitney Bowes	Retirement Fee	\$12,000
Allianz IRA	Required Minimum Distribution	\$25,000
MetLife Insurance Co.	Required Minimum Distribution	\$668

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eleanor Holmes Norton

Page 3 of 11

BLOCK A Asset and/or Income Source  Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use ticker symbols.)  For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.  For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.  For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	BLOCK B Year-End Value of Asset  Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.  If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."  * This column is for assets held solely by your spouse or dependent child.	BLOCK C Type of Income  Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D Amount of Income  For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.  * This column is for income generated by assets held solely by your spouse or dependent child.	BLOCK E Transaction  Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
Allianz IRA Fixed Period Account	\$100,001 - \$250,000	TAX-DEFERRED	NONE	S(part)
Boston Cap Private Tax	\$1 - \$1,000	INTEREST	\$1 - \$200	
Congr Federal Credit Union	\$50,001 - \$100,000	INTEREST	\$1 - \$200	
ING IRA: DFA World Equity	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
ING IRA: Amer Funds Intl	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
ING IRA: American Funds Growth	None	TAX-DEFERRED	NONE	S

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eleanor Holmes Norton

Page 4 of 11

ING IRA: Baron Small Cap Growth	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
ING IRA: ING Bond Port (firmly Amer Funds Bond )	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
ING IRA: ING Global Resources	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
ING IRA: ING Growth & Income	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
ING IRA: ING Large Cap Growth	\$15,001 - \$50,000	TAX-DEFERRED	NONE	P
ING IRA: ING Marsico Growth	\$50,001 - \$100,000	TAX-DEFERRED	NONE	
ING IRA: ING Retirement Growth	\$100,001 - \$250,000	TAX-DEFERRED	NONE	P
ING IRA: PIMCO Total Return Bond	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
ING IRA: Russell Mid Cap Growth	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
ING IRA: T.Rowe Price Intl Stock	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
MetLife Bank NA - CD	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
MetLife Common Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
MetLife IRA	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
ML - General Electric	None	DIVIDENDS	\$1 - \$200	S

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eleanor Holmes Norton

Page 5 of 11

ML - Plum Creek Timber Co	None	CAPITAL GAINS	\$1 - \$200	S
ML: Cash	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
ML: Davis NY Venture Fund	\$100,001 - \$250,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	P
ML: DC Income Bond	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
ML: Du Page Cnty, IL 1st	\$50,001 - \$100,000	OID	\$2,501 - \$5,000	
ML: FPA Perennial Fund	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
ML: Hartford Capital Appreciation Fund	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
ML: John Hancock Variable Annuity Davis Fndmntl Value	\$1,001 - \$15,000	None	NONE	
ML: John Hancock Variable Annuity Deutsche Real Estate Securities	\$15,001 - \$50,000	None	NONE	
ML: John Hancock Variable Annuity MFC Global Lifestyle Moderate	\$15,001 - \$50,000	None	NONE	
ML: John Hancock Variable Annuity MFC Global Total Stock Market Index	\$1,001 - \$15,000	None	NONE	
ML: John Hancock Variable Annuity PIMCO Total Return	\$1,001 - \$15,000	None	NONE	
ML: Leander Tex Indpt Sch	\$50,001 - \$100,000	OID	\$1,001 - \$2,500	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eleanor Holmes Norton

Page 6 of 11

	ML: Miami Beach FL	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	ML: Palatine IL Corp Purp	\$15,001 - \$50,000	OID	\$1,001 - \$2,500	
	ML: Powershares Exchange	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
	ML: Seminole Cty FL Sch Bd	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
	ML: Victor Vy CA Jt Un High	\$50,001 - \$100,000	OID	\$2,501 - \$5,000	
	ML: Wash DC CCA Dedicated	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
DC	ML2: Cash	\$1,001 - \$15,000	None	NONE	
DC	ML2: JP Morgan Large Cap Growth	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	P
DC	ML2: Powershares Exchange	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
DC	ML2: WGL Holdings	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
	MLIRA: Cash	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
	TIAA CREF Retirement TIAA Traditional	\$50,001 - \$100,000	TAX-DEFERRED	NONE	S(part)

# SCHEDULE IV - TRANSACTIONS

Name Eleanor Holmes Norton

Page 7 of 11

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Allianz IRA	S(part)	N/A	7/5/12 12/17/12	\$15,001 - \$50,000
	Fixed Period Account				
	ING IRA: American Funds Growth	S	N/A	7/20/12	\$15,001 - \$50,000
	ING IRA: ING Large Cap Growth	P	N/A	7/20/12	\$15,001 - \$50,000
	ING IRA: Retirement Growth	P	N/A	5/7/12	\$15,001 - \$50,000
	ML: Davis NY Venture Fund	P	N/A	12/20/12	\$1,001 - \$15,000
	ML: General Electric	S	No	3/6/12	\$1,001 - \$15,000
	ML: Plum Creek Timber Co.	S	No	3/6/12	\$1,001 - \$15,000
	ML: Powershares Exchange	P	N/A	3/6/12	\$1,001 - \$15,000
DC	ML2: JP Morgan Large Cap Growth	P	N/A	3/16/12	\$15,001 - \$50,000
DC	ML2: Powershares Exchange	P	N/A	3/16/12	\$15,001 - \$50,000

# SCHEDULE IV - TRANSACTIONS

Name Eleanor Holmes Norton

Page 8 of 11

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC	ML2: WGL Holdings	P	N/A	3/16/12	\$15,001 - \$50,000
	TIAA CREF Retirement TIAA Traditional	S(part)	N/A	5/1/12	\$15,001 - \$50,000



# SCHEDULE V - LIABILITIES

Name Eleanor Holmes Norton

Page 9 of 11

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. \*This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	Mortgage Service Center Mt Laurel, NJ	12/30/2002	Mortgage on Personal Residence Washington, DC	\$100,001 - \$250,000

**SCHEDULE VIII - POSITIONS**

Name Eleanor Holmes Norton

Page 10 of 11

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
SEE ATTACHED	SEE ATTACHED

# **Congresswoman Eleanor Holmes Norton's 2012 Board Participation**

<u>Position</u>	<u>Name of Organization</u>
Professor of Law*	Georgetown University
Member	Council on Foreign Relations
Member	Citizens Commission for Civil Rights
Board of Trustees	Lawyers Committee for Civil Rights Under Law
Member of the Board	Washington Symphony
Chair	Women's Legal Advocates for Women (formerly the Women's Law and Public Policy Fellowship Program, Georgetown University

## **Advisory Boards**

Advisory Board Member	Sewall-Beimont House
Advisory Board Member	Women & Politics Institute, American University
Advisory Board Member	The National Council of the Shakespeare Theater Company

\*Reported on SCHEDULE 1 - EARNED INCOME

# SCHEDULE IX - AGREEMENTS

Name Eleanor Holmes Norton

Page 11 of 11

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
7/15/2012	Georgetown University Law Center	See attached agreement

## GEORGETOWN LAW



Office of the Dean

July 15, 2012

The Honorable Eleanor Holmes Norton  
U.S. House of Representatives  
2136 Rayburn House Office Building  
Washington, DC 20515

Dear Congresswoman Norton,

I am delighted that you have agreed to teach a seminar at the Law Center during the 2012-2013 academic year. This letter confirms the terms of your faculty appointment.

The specifics of your appointment are as follows:

**Leave:** Consistent with Georgetown University's faculty leave policy, your leave of absence from the full-time faculty has been extended through June 30, 2013.

**Compensation:** Your compensation for the 2012-2013 will be \$10,200 and payable in twelve (12) monthly checks beginning in July, 2012. Your salary will be paid out of Law Center operating funds. No federal funds will be devoted to your salary. The compensation you will receive does not exceed the salary the Law Center pays to those whose professional qualifications are similar to yours and who provide a comparable level of instruction and amount of work.

### **Teaching Duties:**

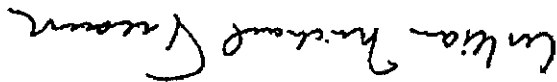
- Lawmaking and Statutory Interpretation Seminar (3 credits, year-long)  
Your seminar will be offered as part of the regular J.D. program of instruction at the Law Center. Students will receive regular credit toward their law degrees for their attendance and participation in your seminar. To assist you in identifying your schedule, dates and times, please contact Sally McCarthy, Assistant Dean, J.D. Academic Services, 202-662-9041, email [sem35@law.georgetown.edu](mailto:sem35@law.georgetown.edu).

**Faculty Support:** Faculty support will be assigned and available, please contact Monica Sterns, 202-662-9097, email [smithstn@law.georgetown.edu](mailto:smithstn@law.georgetown.edu).

**Research Assistance:** You have been approved for research assistance, one RA working up to 15 hours a week. To assist you in the process of hiring a research assistant, please contact, Gregg Klass, Associate Dean for Research, 202-662-9050, email [gmk9@law.georgetown.edu](mailto:gmk9@law.georgetown.edu).

We are delighted that you will be spending the next year at Georgetown Law. Please do not hesitate to contact Peter Byrne, Association Dean, J.D. Academic Services, 202-662-9580, email [byrne@law.georgetown.edu](mailto:byrne@law.georgetown.edu), if you require additional assistance or information.

Sincerely,



William M. Treanor  
Dean

WMT:as  
cc: Eve Dubrow, Associate Dean, Administration  
Thomas G. Clark, Chief Financial Officer  
Melvinia Towns, Manager, Payroll Services