



Filing ID #10015821

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Eleanor Holmes Norton  
**Status:** Member  
**State/District:** DC00

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2016  
**Filing Date:** 05/9/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Boston Capital Private Tax Credit Fund		\$1 - \$1,000	Capital Gains, Interest, Rent	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Partnership K-1. No cash received.					
Congressional Federal Credit Union		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
MERRILL LYNCH #182 ⇒ Bank Deposit Program		\$1,001 - \$15,000	None		<input type="checkbox"/>
MERRILL LYNCH #182 ⇒ Guggenheim S&P 500 Equal Weight ETF (EIF)		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap (EIF)		\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
MERRILL LYNCH #182 ⇒ Powershares Exchange Traded Fd TR II S&P500 (EIF)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Bank Deposit Program		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MERRILL LYNCH #806 ⇒ Berkshire Hathaway Inc. New (BRK.B)		\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
MERRILL LYNCH #806 ⇒ Davis NY Venture Fund (EIF)		None	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
MERRILL LYNCH #806 ⇒ DC Income Bond 5.0% Dec01 24		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ DC Rev NPR MAY13 4.0% APR01 2037		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ DC Ser A DEC13 5.0% JUN01 2030		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ FPA US Value Fund (EIF)		None	None		<input type="checkbox"/>
DESCRIPTION: Sale was reported in 2015. The mutual fund was removed when cash was received on 1/4/16.					
MERRILL LYNCH #806 ⇒ Guggenheim S&P 500 Equal Weight ETF (EIF)		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
MERRILL LYNCH #806 ⇒ Hartford Capital Appreciation Fund (EIF)		None	None		<input checked="" type="checkbox"/>
MERRILL LYNCH #806 ⇒ Leander Tex Indpt Sch Bond 3.35%CI 0.0%AUG15 18		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Maryland St CDA Dept Hsg-Cmnty 4.0%SEP01 29		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Miami Beach FL Bond 4.375%SEP01 28		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Powershares Exchange Traded Fd TR II S&P 500(EIF)		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
MERRILL LYNCH #806 ⇒ Seminole Cnty FL Sch Brd Bond 4.0%JUL01 16		None	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ Bank of America, NA RASP		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Baron Growth Portfolio Service Class (EIF)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Global Equity Portfolio (Formerly Global Value Advantage Portfolio) (EIF)		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Growth and Income Portfolio (EIF)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Intermediate Bond Portfolio (EIF)		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ International Index Portfolio (EIF)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Large Cap Growth Portfolio (A) (EIF)		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Large Cap Growth Portfolio (S) (EIF)		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Retirement Growth (EIF)		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Russell Mid Cap Growth (EIF)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ T.Rowe Price International Stock (EIF)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Met Life Insurance Co Deferrred Annuities		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
DESCRIPTION: IRA-Flexible Purchase Pension Annuity					
MetLife, Inc. (MET)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ Deutsche Real Estate Securities (EIF)		\$15,001 - \$50,000	None		<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ JHAM Fundamental Large Cap Value (EIF)		\$1,001 - \$15,000	None		<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ JHAM Lifestyle Moderate MVP (EIF)		\$15,001 - \$50,000	None		<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒		\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
JHAM Total Stock Market Index (EIF)					
ML JOHN HANCOCK ANNUITY ⇒ Wells Capital Management Core Bond (EIF)		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Exchanged for PIMCO Total Return (EIF).					
Synchrony Bank		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
TIAA-CREF Retirement-TIAA Traditional (EIF)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MERRILL LYNCH #182 ⇒ Guggenheim S&P 500 Equal Weight ETF (EIF)		09/6/2016	P	\$15,001 - \$50,000	
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap (EIF)		12/16/2016	P	\$1,001 - \$15,000	
MERRILL LYNCH #806 ⇒ Berkshire Hathaway Inc. New (BRK.B)		08/31/2016	P	\$100,001 - \$250,000	
MERRILL LYNCH #806 ⇒ Davis NY Venture Fund (EIF)		07/6/2016	P	\$15,001 - \$50,000	
MERRILL LYNCH #806 ⇒ Davis NY Venture Fund (EIF)		08/31/2016	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
MERRILL LYNCH #806 ⇒ Davis NY Venture Fund (EIF)		11/16/2016	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Guggenheim S&P 500 Equal Weight ETF (EIF)		08/31/2016	P	\$100,001 - \$250,000	
MERRILL LYNCH #806 ⇒ Guggenheim S&P 500 Equal Weight ETF (EIF)		11/16/2016	P	\$100,001 - \$250,000	
MERRILL LYNCH #806 ⇒ Hartford Capital Appreciation Fund (EIF)		11/16/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MERRILL LYNCH #806 ⇒ Power Shares Exchange Traded Fd TR II S&P 500 (EIF)		01/4/2016	P	\$15,001 - \$50,000	
MERRILL LYNCH #806 ⇒ Power Shares Exchange Traded Fd TR II S&P 500 (EIF)		11/16/2016	P	\$100,001 - \$250,000	
MERRILL LYNCH #806 ⇒ Seminole Cnty FL Sch Brd Bond 4.0%JUL01 16		07/1/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Bond Matured					

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Merrill Lynch IRA	Required Minimum Distribution	\$32,000.00
MetLife	Required Minimum Distribution	\$742.00
Georgetown University	Approved Teaching Fee	\$10,200.00
Pitney Bowes Inc.	Retirement Fee	\$12,000.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	PHH Mortgage Services (Formerly Mortgage Service Center)	12/30/2002	Mortgage on Personal Residence	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Professor of Law	Georgetown University
Board of Trustees	Lawyers Committee for Civil Rights Under Law
Advisory Board Member	Sewall-Belmont House
Advisory Board Member	Women & Politics Institute, American University
Board of Trustees - Honorary Member	Antioch College
Advisory Board Member	Women's Law & Public Policy Fellowship Program, Georgetown University

Position	Name of Organization
Executive Committee - Honorary Member	Yale Law School Association

**SCHEDULE F: AGREEMENTS**

Date	Parties To	Terms of Agreement
September 2016	Georgetown Law and Congresswoman Norton	Agreement to teach "Lawmaking and Statutory Interpretation Seminar" during the 2016-2017 academic year. Compensation reported on Schedule C.

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Progressive Congress	02/4/2016	02/6/2016	Washington, DC - Baltimore, MD - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Ass'n of Black Women Attorneys & National Action Network	04/14/2016	04/15/2016	Washington, DC - New York City, NY - Washington, DC	0	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
WETA	10/16/2016	10/17/2016	Washington, DC - New York City, NY - Washington, DC	0	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sony Pictures	10/20/2016	10/21/2016	Washington, DC - New York City, NY - Washington, DC	0	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Birmingham Civil Rights Institute	11/18/2016	11/20/2016	Washington, DC - Birmingham, AL - Washington, DC	0	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

**SCHEDULE A AND B ASSET CLASS DETAILS**

<ul style="list-style-type: none"> <li>o MERRILL LYNCH #182 LOCATION: US</li> <li>o MERRILL LYNCH #806 LOCATION: US</li> </ul>
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- MERRILL LYNCH IRA
- MERRILL LYNCH IRA ⇒ VOYA/VY
- ML JOHN HANCOCK ANNUITY  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Eleanor Holmes Norton , 05/9/2017