DELIVERED DELIVERED

	Yes No 🗹	endent child because thics.	Have you excluded from this report any other assets, "uneamed" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	income, transact ess you have firs	ets, "unearned" inswer "yes" uni	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a si they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Co	Have you excluded from they meet all three tests	Exemptions	E
	Yes 🗌 No 🗹	ed not be disclosed.	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Ittee on Ethics ar	ed by the Comm tha trust benefit	Iffed Blind Trusts" approventhis report details of suc	Details regarding "Quali Have you excluded from	Trusts-	'nŢ
	Yes No V		ing?	nikial Public Offeri	as a part of an I	Did you purchase any shares that were allocated as a part of an initial Public Offering?	Did you purchase any s	Υ .	-Odl
•	STIONS	R EACH OF THESE QUESTIONS	ANSWE	INFORMA:	OR TRUST	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -	SION OF SPOUS	id EXCLU	IPO and
	the conse.	part must be answered and the attached for each "Yes" response	Each question in this part must appropriate schedule attached t	☐ Each	Yes ▼ No	17	(more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	re than \$10,000) : s, complete an	V. (mo
			it yes, complete and attach Schedule IX.	# yes,		e any reportable liability	If yes, complete and attach Scheduls IV. Did you, your spouse, or a dependent child have any reportable liability	you, your spouse	
	Yes No 🖸	angement with an outside	s have any reportable agi	IX. entity?	Yes 🕢 No	hase, sell, or exchange any 1900 during the reporting	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	you, your spous ortable asset in a od?	IV. reportation period?
			If yes, complete and attach Schedule VIII.	If yes,			If yes, complete and attach Schedule	s, complete an	# y
	Yes KN U	efore the date of filing in th	Did you hold any reportable positions on or before the date of filing in the current calendar year?	☐ VIII. curren	¥85 ▼No	eive "unearned" income of any reportable asset worth	Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	you, your spous e than \$200 in the han \$1,000 at t	IL mor
			If yes, complete and attach Schedule VII.	If yes,			if yes, complete and attach Schedule IL	s, complete an	N Y
	150 Yes   No K	ceive any reportable trave period (worth more than \$3	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 Yes from an action)	¥	Y98 € No □	tion to charity in lieu of in the reporting period?	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	any individual or ing you for a spe	IL Did
			# yes, complete and attach Schedule VI.	# yes			If yes, complete and attach Schedule L	es, complete an	lf y
	Yes 🗌 No 🔀	ndent child receive any reportable gift in ating more than \$350 and not otherwise	our spouse, or a depa ing period (i.e., aggreg	VI.	ON No Sea	Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Did you or your spouse have "earned" income (e. or more from any source in the reporting period?	you or your spot note from any so	r oru
			NS	QUESTIO	OF THESE	- ANSWER EACH OF THESE QUESTIONS	PRELIMINARY INFORMATION -	MINARY I	PREL
v.	more than 30 days late.	more late.	Termination Date:	Termination	□ Tem	☐ Amendment	Annual (May 15)		Report Type
	be assessed against	be a		Employee		atives District: 01	House of Representatives		Status
	A \$200 penalty shall	A \$2	Employing Office:	☐ Officer Or		State: ME	Member of the U.S.	<b>(</b> 3)	Filer
ŗ	(Office Use Qnly)		(Daytime Telephone)			(Full Name)	(F		
5 MC	013 AUG 13 PH 1: 06	0 2				Chellie Pingree	Chell		
<u></u> (	VO BONGBROOM BURNESS OF THE		<b>FORM A</b> Page 1 of 7 For use by Members, officers, and employees		ITATIVES	UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT	TES HOUSE (	TED STA	CALE

# SCHEDULE 1 - EARNED INCOME

SCIEDOFE :- FANNED INCOME	Name Chellie Pingree		Page 2 of 7
List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.	her than the filer's current employment mount of any honoraria; list only the so	by the U.S. Government) totaling \$200 or more urce for other spouse earned income exceedin	ng \$200 or more ncome exceeding
Source	Туре	Amount	
Trust Asset Management LLP Spouse Earned Income	ned Income	NA	
Cathay Investment Fund Ltd Spouse Director Fee	ctor Fee	NA	

# SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Chellie Pingree Page 3 of 7

\$500	Aug. 10, 2012	Speech	Northeast Organic Farming Assocation
>		Anthriba	0
o a Pialli on valvyo alak 19	semble nom næ casis og næ	havnager emproyees may request a green one	appropriately labeled.
the Clerk or use a risin envelope that is	relone from the Clerk or use	rackana. Employees may radileet a dreen env	transmitting the list is included in each Member's filling reckage. Employees may regulate a green envelope from
hics. A green envelope for	y with the Committee on Eti	receiving such payments must be filed directly	an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for
to a charitable organization in lieu of	by the sponsor of an event	ticle), date, and amount of any payment made b	List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of

SCHEDULE III - ASSETS AND "UNEARNED" INCOME Name Chellie Pingree Page 4 of 7

										•
see attached	Nebo Lodge, Inc. and inn and resturant, 11 Mullins Lane, North Haven, ME	JP Morgan Chase Bank	optional column on the far left.  For a detailed discussion of Schedule III requirements, pleasa refer to the instruction booklet.	(unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.  Savings Plan.  If you so choose, you may holicate that an asset or income source is that of your spouse (\$P) or dependent child (\$C\$), or is jointly held with your spouse (\$T\$), in the	For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes.	For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.	Provide complete names of stocks and mutual funds (do not use ticker symbols.)  For all IPAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.	Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.	Asset and/or Income Source	
	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000			value should be "None."  "This column is for assets held solely by your spouse or dependent child.	If an asset was sold during the reporting year and is included only because it movemented income the	method other than fair market value, please specify the method used.	Value of Asset Indicate value of asset at close of reporting year. If you use a valuation	BLOCK B	Name Chellie ringree
	None	INTEREST			period.	reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting	(such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if	Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income	BLOCK C	ingree
	NONE	\$201 - \$1,000			generated by assets held solely by your spause or dependent child.	"None" if no income was earned or generated.  * This column is for income	the appropriate box below.  Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check	For essets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking	Amount of Income	
							in reporting year.	indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000	BLOCK E	rage to: /

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

	Asset		Amount of income
Asset &/or income Source	1- 1,001- 15,001- 50,001- 100,001- 256,001- 501,001- 1mm 1	None Div Rent let Gain Trust one	1- 201- 1,001- 2,501- 5,001- 15,001- 50,001- None 200 1,000 2,500 5,000 15,000 50,000 100,000
EJS Investments LLC (via Caremi Investments LLC):Investments, Rye Brook, NY			
	×	×	× .
Franklin Realty Company-Investment Sp. Management Rive Brook, NY - S Corp	×	×	
GreenFutures Capital LLC:Investments,Rye Brook,	ĸ	×	×
Grey K Environmental Fund II LP - investment partnership - NY, NY not self directed	×	×	×
Sunray Power OPCO I LLC - solar power provider SP - NY, NY - Operating company	×	Partnership Income	
	×	×	X
	×	Partnership Income	×
SP China Aoyuan Property Group Limited - Stock	×	×	
Futures Fund LLC - investments - Greenwich, CT: SP Withdrawn in 2012	×	×	· ·
	×	×	× .
Quickpool LP - Fund - Santa Barbara, CA Not SPI Self Directed	×	×	×
	×	×	×
	×	×	×
Atlantic Street LLC - single property real estate - SP portland, ME	×	×	×
SP Catalyst LLC - database provder - DC	×	×	×
Sp Cathay Investment Fund Limited- stock	×	×	×
	×	· ×	×
SP Clinisure inc Acro - stock	×	*	×
Fish Creek LLC - single property real estate - Deer SP Isle, ME	×	×	×
SP Goose Cove LLC:Investments, Dover DE			
Goose Cove Lodge- real estate - Deer Isle, ME	×	×	×
Davids Folly Farm - real estate - Brooksville, ME SP	×	×	×
High Performance Districts inc software company - Sp San Francisco, CA Convertible Note	×	×	×
Real Estate Recovery Partners LLC Investments- SP Rye Brook, NY:	×	X	×
Southstar Communities, LLC - real estate Sp development co - Dallas, TX	×	×	×
हुं छ	×	×	×
Maine Values LLC investment in Maine Today Media Holdings, Inc - stock Newspaper company - Portland, Sp ME - Stock	×	×	×
Magic Carpet Enterprises LLC/Magic Carpet SP Management Ltdpersonal aircraft	×	×	×

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

CONTROLL ACCES OF AND CHRANTED INCOME				
Asset &/or income Source	Asset Value 1- 1,001- 15,001- 50,001- 100,001- 250,001- 501,001- 1000 10000 100.000 250,000 500.000 1000 1000 100000 100000 10000 10000 10000 10000 10000 10000 10000 10000 10000 10000 10	Type of income  Cop exame  None Div Bent Int Geb Trust con-	Amount of income  1. 201. 1,001. 2,501. 5,001. 15,001. 50,001. 100,001. 1mm 1  None 200 1,000 2,000 5,000 1,	7
SP Mingo Management Ltd. VI S. Corp.	- 11			
New China Investment Management Inc - investment Sp manager - Stamford, CT S. Corp	×	×	×	
New China Management Corp - investment manager SP Stamford, CT S. Corp.	×	×	×	
No Small Potatoes Investment Club LLC - micro lender, - N. Yarmouth, ME	×	Partnership income		
Sp				
Patoma Strategic Advisors LLC - investment partnership invested in Capital Preservation Partners, coll C. Greenwich CT. Not aut france.		Partnership Income	ж	
Daloma Darbara Managament Co. imigations				
SP management co Greenwich, CT S, Corp	×	×	×	
Sundown Corporation - investment - Greenwich, CT SP S. Corp	×	×	×	
W				
New China Capital Management LP-Investment mgr-Stamford, CT Operating Business  SP	×	Partnership Income	×	
Trust Asset Management LLP & Capital Asset Management IncInvestment Advisor-Portland, ME SP Operating Business.	×	Partnership Income	×	
Loan: CMCC, LP (through Caremi Investments LLC)-SP Augusta, ME	×	×	×	
Loan: Maine Today Media Holdings, Inc - Portland, SPIME	×	×	×	
SP Loan: AMA, LLC - Portland, ME	×	×	×	
Loan: Island & Coastal Innovation Fund LLC - SP Rockport, ME	×	×	×	
SP Bar Harbor Bank & Trust	×	×	Х	
SPIJP Morgan Chase Bank	×	×	×	
SP JP Morgan Chase Bank - EJS Investments LC	× .	×	× .	
SP JP Morgan Chase Bank -commercial Street Capital LLC	×	×	×	
SP JP Morgan Chase Bank - Greenfutures Capital LLC	X	×	×	
SP Morgan Stanley Smith Barney - cash	×	×	×	
SP Merrill Lynch - cash	×	×	×	
SPICamden National Bank	×	×	×	-
SP Camden National Bank - Real Estate Recovery Partners LLC	×	×		
SP First Bank of Puerto Rico	X	K >	×	
SP Key Bank	×	×	×	

# **SCHEDULE IV - TRANSACTIONS**

Name Chellie Pingree Page 5 of 7

between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions

is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. This column is for assets solely held by your spouse or dependent child.

	1	i S, ş
	Asset see attached sheet	
	I ransaction	Type of
	of \$200?	Capital Gain in Excess
	Date	<b>!</b>
Y.	Amount of Transaction	

#### **SCHEDULE IV - TRANSACTIONS**

				Amount of Transaction	
Asset	Type of Transaction Purch. Sale Exch.	Cap Galto-4200	Date	501,001- 1mm	1,00,001 & Over
SP Maine's Own Organic Milk Co L3C LLC - C Preferred Unit	×		3/22/2012	×	
	×		4/18/2012	×	
	×		5/16/2012	×	
1	×		8/8/2012	×	
- 1	×		9/27/2012	×	
- 1	×		10/26/2012	X	
	×		11/16/2012	×	L
	×		4/30/2012		×
SP Maine Today Media Holdings Inc - Stock (via Maine Values LLC)	×		3/16/2012		×
	×		3/26/2012		×
Futures Fund LLC:					
- 1	×		10/16/2012	×	
	×		10/1/2012		×
	×		12/10/2012	×	
	×		11/1/2012	×	
ပ္တ	×		4/18/2012		×
SP					
SP					<u> </u>
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### SCHEDULE V - LIABILITIES

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities Name Chellie Pingree Page 6 of 7

of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. This column is for liabilities

heid sole	held solely by your spouse or dependent child.			
P, C,		Date Liability		
JT	Creditor	Incurred	Type of Liability	Amount of Liability
Sp	Jp Morgan Chase Bank	April 2008	Secured Loan	Spouse/DC Liability Over \$1,000,000*
Sp	JP Morgan Chase Bank	June 2012	Line of Credit	Spouse/DC Liability Over \$1,000,000*
SP	American Express	December 2012	Credit Card	\$15,001 - \$50,000

## SCHEDULE VIII - POSITIONS

Name Chellie Pingree

Page 7 of 7

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Officer Nebo Lodge, Inc	Position	
dge, Inc	Name of Organization	