

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A

Page 1 of 14

For use by Members, officers, and employees

**HAND DELIVERED**

Peter J. Roskam

202-225-4561

(Full Name)

(Daytime Telephone)

Filer Status ☒ Member of the U.S. House of Representatives

State: IL District: 06

☐ Officer Or Employee

Employing Office:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

Report Type ☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

## PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "unearned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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(Office Use Only)

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# **SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Peter J. Roskam

Page 2 of 14

BLOCK A Asset and/or Income Source  Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use ticker symbols.)  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.  For rental or other real property held for investment, provide a complete address.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any derivative security or other financial instrument; or any asset that is not reportable under the above instructions.	BLOCK B Year-End Value of Asset  at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and its value is generated income, the value should be "None."	BLOCK C Type of Income  Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D Amount of Income  For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	BLOCK E Transaction  Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
529-American Balanced Fund	None	None	NONE	S
529-American Mutual Fund	None	None	NONE	S
529-Capital World Bond Fund	\$50,001 - \$100,000	None	NONE	P, S
529-Capital World Growth and Income	None	None	NONE	S
529-EuroPacific Growth Fund	\$1,001 - \$15,000	None	NONE	S(part)
529-New World Fund	\$1,001 - \$15,000	None	NONE	P

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter J. Roskam

Page 3 of 14

529-Short Term Bond Fund of America	\$250,001 - \$500,000	None	NONE	P
529-Smallcap World Fund	\$50,001 - \$100,000	None	NONE	P
529-The Bond Fund of America	None	None	NONE	S
529-The Growth Fund of America	None	None	NONE	S
529-Washington Mutual Investors Fund	\$15,001 - \$50,000	None	NONE	P
Blackrock Inflation Protected Bond (BPRAX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Blackrock International Opportunities Fund Class A (BREAX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

Blackrock U.S. Opportunities Fund (BMEAX)	\$1,001 - \$15,000	None	NONE	
Columbia Mid Cap Value Class A (CMUAX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Community Bank Checking Account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Eaton Vance Large Cap Value Class A (EHSTX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Federated Capital Reserves (money market)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Fidelity International Discovery (FIGRX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Fidelity Real Estate Income (FRIFX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter J. Roskam

Page 4 of 14

Franklin Natural Resources Class A (FRNRX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Hartford Growth Allocation Fund (HRACX)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
IRA-Blackrock Inflation Protected Bond Portfolio Class A (BPRAX)	None	None	NONE	S
IRA-Blackrock International Opportunities Fund Class A (BREAX)	None	None	NONE	S
IRA-Blackrock U.S. Opportunities (BMEAX)	None	None	NONE	S
IRA-Central FD CDA Ltd Class A (CEF)	\$15,001 - \$50,000	None	NONE	P
IRA-Columbia Mid Cap Value Fund Class A (CMUAX)	None	None	NONE	S
IRA-Eaton Vance Large Cap Value Class A (EHSTX)	None	None	NONE	S
IRA-Fidelity International Discovery Fund (FIGRX)	None	None	NONE	S
IRA-Fidelity Real Estate Income Fund (FRIFX)	None	None	NONE	S
IRA-Franklin Natural Resources Fund Class A (FRNRX)	None	None	NONE	S
IRA-iShares Inc MSCI CDA Index (EWC)	\$1,001 - \$15,000	None	NONE	P
IRA-iShares Inc. MSCI Germany Index (EWG)	\$1,001 - \$15,000	None	NONE	P

# **SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Peter J. Roskam

Page 5 of 14

IRA-iShares TR MSCI Emerging Mkts Index (EEM)	\$15,001 - \$50,000	None	NONE	P
IRA-Ivy Asset Strategy Fund Class A (WASAX)	None	None	NONE	S
IRA-John Hancock Large Cap Equity Fund Class A (TAGRX)	None	None	NONE	S
IRA-John Hancock Strategic Income (JHFIX)	\$15,001 - \$50,000	None	NONE	P
IRA-JP Morgan High Yield Fund Class A (OHYAX)	None	None	NONE	S
IRA-Munder Mid Cap Core Growth Class A (MGOAX)	None	None	NONE	S
IRA-Openheimer Intl Bond Class A (OIBAX)	None	None	NONE	S
IRA-Pershing Government Account (money fund)	\$1,001 - \$15,000	None	NONE	
IRA-Sector SPDR Tr SHS Ben Intl Technology (XLK)	\$1,001 - \$15,000	None	NONE	P
IRA-Sector SPDR Tr SHS Intl Utilities (XLU)	\$1,001 - \$15,000	None	NONE	P
IRA-Select Sector SPDR Tr Consumer (XLY)	\$1,001 - \$15,000	None	NONE	P
IRA-Select Sector SPDR Tr Energy (XLE)	\$1,001 - \$15,000	None	NONE	P
IRA-Sentinel Mid Cap Value Fund Class A (SYVAX)	None	None	NONE	S
IRA-Sentinel Short Maturity Government Fund Class A (SSIGX)	\$15,001 - \$50,000	None	NONE	P

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter J. Roskarni

Page 6 of 14

IRA-SPDR Ser Tr S&P Biotech (XBI)	\$1,001 - \$15,000	None	NONE	P
IRA-SPDR Ser TR S&P Pharmaceuticals (XPH)	\$1,001 - \$15,000	None	NONE	P
IRA-T Rowe Price Emerging Markets Bond (PREMX)	\$1,001 - \$15,000	None	NONE	P
IRA-Templeton Global Bond Fund Advisor Class (TGBAX)	\$15,001 - \$50,000	None	NONE	P
IRA-The Growth Fund of America Class F-1 (GFAFX)	None	None	NONE	S
IRA-Vanguard Bd Index Fd Intermediate (BIV)	None	None	NONE	S
IRA-Vanguard Bd Index Fd Total Bd Market (BNC)	None	None	NONE	S
IRA-Vanguard World FDS Vanguard Mats (VAW)	\$1,001 - \$15,000	None	NONE	P
IShares TR MSCI Emerging Markets Index (EEM)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Ivy Asset Strategy Class A (WASAX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
John Hancock Large Cap Equity (TAGRX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JP Morgan High Yield Fund Class A (OHYAX)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Munder Mid Cap Core Growth Class A (MGOAX)	\$1,001 - \$15,000	None	NONE	
Nuveen Limited Term Muni Bond Class A (FLTDX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter J. Roskam

Page 7 of 14

Oppenheimer Intl Bond Class A (OIBAX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Sentinel Mid Cap Value Class A (SYVAX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
T Rowe Price Emerging Markets Bond (PREMX)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
The Growth Fund of America Class F-1 (GFAFX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Vanguard Bd Index Fd Inc Intermediate Term (BIV)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Vanguard Bd Index Fd Inc Total Bd Market (BND)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Wheaton Bank and Trust Savings Acct.	\$1,001 - \$15,000	INTEREST	\$1 - \$200	

# SCHEDULE IV - TRANSACTIONS

Name Peter J. Roskam

Page 8 of 14

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	529-American Balanced Fund	S	No	8-13-10	\$50,001 - \$100,000
	529-American Mutual Fund	S	No	8-13-10	\$15,001 - \$50,000
	529-Capital World Bond Fund	P	N/A	8-13-10	\$15,001 - \$50,000
	529-Capital World Bond Fund	S	No	8-13-10	\$15,001 - \$50,000
	529-Capital World Growth and Income	S	No	8-13-10	\$15,001 - \$50,000
	529-EuroPacific Growth Fund	S(part)	No	8-13-10	\$1,001 - \$15,000
	529-New World Fund	P	N/A	8-13-10	\$1,001 - \$15,000
	529-Short Term Bond Fund of America	P	N/A	8-13-10	\$250,001 - \$500,000
	529-Smallcap World Fund	P	N/A	8-13-10	\$15,001 - \$50,000
	529-The Bond Fund of America	S	Yes	8-13-10	\$100,001 - \$250,000
	529-The Growth Fund of America	S	No	8-13-10	\$15,001 - \$50,000



# SCHEDULE IV - TRANSACTIONS

Name Peter J. Roskam

Page 9 of 14

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	529-Washington Mutual Investors Fund	P	N/A	8-13-10	\$15,001 - \$50,000
	IRA-Blackrock Inflation Protected Bond Portfolio Class A (BPRAX)	S	No	4-30-10; 6-2-10	\$1,001 - \$15,000
	IRA-Blackrock International Opportunities Fund Class A (BREAX)	S	No	4-30-10; 6-2-10	\$1,001 - \$15,000
	IRA-Blackrock U.S. Opportunities (BMEAX)	S	No	4-30-10; 6-2-10	\$1,001 - \$15,000
	IRA-Central FD CDA Ltd Class A (CEF)	P	N/A	6-2-10	\$1,001 - \$15,000
	IRA-Columbia Mid Cap Value Fund Class A (CMUAX)	S	No	4-30-10; 6-2-10	\$1,001 - \$15,000
	IRA-Eaton Vance Large Cap Value Class A (EHSTX)	S	No	4-30-10; 6-2-10	\$1,001 - \$15,000
	IRA-Fidelity International Discovery Fund (FIGRX)	S	No	4-30-10; 6-2-10	\$1,001 - \$15,000
	IRA-Fidelity Real Estate Income Fund (FRIFX)	S	No	4-30-10; 6-2-10	\$1,001 - \$15,000
	IRA-Franklin Natural Resources Fund Class A (FRNRX)	S	No	4-30-10; 6-2-10	\$1,001 - \$15,000
	IRA-iShares Inc MSCI CDA Index (EWC)	P	N/A	6-2-10	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name Peter J. Roskam

Page 10 of 14

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	IRA-IShares Inc. MSCI Germany Index (EWG)	P	N/A	6-2-10	\$1,001 - \$15,000
	IRA-IShares TR MSCI Emerging Mkts Index (EEM)	P	N/A	6-2-10	\$1,001 - \$15,000
	IRA-Ivy Asset Strategy Fund Class A (WASAX)	S	No	6-2-10	\$1,001 - \$15,000
	IRA-John Hancock Large Cap Equity Fund Class A (TAGRX)	S	No	6-2-10	\$1,001 - \$15,000
	IRA-John Hancock Strategic Income (JHFIX)	P	N/A	6-2-10	\$15,001 - \$50,000
	IRA-JP Morgan High Yield Fund Class A (OHYAX)	S	No	4-30-10; 6-2-10	\$1,001 - \$15,000
	IRA-Munder Mid Cap Core Growth Class A (MGOAX)	S	No	4-3-10; 6-2-10	\$1,001 - \$15,000
	IRA-Oppenheimer Intl Bond Class A (OIBAX)	P	N/A	4-30-10	\$1,001 - \$15,000
	IRA-Oppenheimer Intl Bond Class A (OIBAX)	S	No	6-2-10	\$1,001 - \$15,000
	IRA-Sector SPDR Tr SHS Ben Int Technology (XLK)	P	N/A	6-2-10	\$1,001 - \$15,000
	IRA-Sector SPDR Tr SHS Int Utilities (XLU)	P	N/A	6-2-10	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name Peter J. Roskam

Page 11 of 14

Report any purchases, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	IRA-Select Sector SPDR Tr Consumer (XLY)	P	N/A	6-2-10	\$1,001 - \$15,000
	IRA-Select Sector SPDR Tr Energy (XLE)	P	N/A	6-2-10	\$1,001 - \$15,000
	IRA-Sentinel Mid Cap Value Fund Class A (SYVAX)	S	No	4-30-10; 6-2-10	\$1,001 - \$15,000
	IRA-Sentinel Short Maturity Government Fund Class A	P	N/A	6-2-10	\$15,001 - \$50,000
	IRA-SPDR Ser Tr S&P Biotech (XBI)	P	N/A	6-2-10	\$1,001 - \$15,000
	IRA-SPDR Ser TR S&P Pharmaceuticals (XPH)	P	N/A	6-2-10	\$1,001 - \$15,000
	IRA-T Rowe Price Emerging Markets Bond (PREMX)	P	N/A	4-30-10; 6-2-10	\$1,001 - \$15,000
	IRA-Templeton Global Bond Fund Advisor Class (TGBAX)	P	N/A	6-2-10	\$15,001 - \$50,000
	IRA-The Growth Fund of America Class F-1 (GFAFX)	S	No	4-30-10; 6-2-10	\$1,001 - \$15,000
	IRA-Vanguard Bd Index Fd Intermediate (BIV)	S	No	4-30-10; 6-2-10	\$1,001 - \$15,000
	IRA-Vanguard Bd Index Fd Total Bd Market (BNC)	S	No	4-30-10; 6-2-10	\$15,001 - \$50,000

# SCHEDULE IV - TRANSACTIONS

Name Peter J. Roskam

Page 12 of 14

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	IRA-Vanguard World FDS Vanguard Mats (NAW)	P	N/A	6-2-10	\$1,001 - \$15,000
	Nuveen Limited Term Muni Bond Class A (FLTDX)	P	N/A	7-16-10	\$1,001 - \$15,000

# **SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name Peter J. Roskam

Page 13 of 14

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American Israel Education Foundation	Jul. 7-12	Chicago-Tel Aviv-DC	Y	Y	Y	None
Government of S. Korea (MECEA)	Nov. 7-13	Chicago-Seoul, Korea- Chicago	Y	Y	N	None

# SCHEDULE IX - AGREEMENTS

Name Peter J. Roskam

Page 14 of 14

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
Indefinite	Me/Illinois General Assembly Retirement System	I am a participant in the General Assembly Retirement System (not yet receiving benefits)