



Filing ID #10032295

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Kathy Manning  
**Status:** Congressional Candidate  
**State/District:** NCo6

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2019  
**Filing Date:** 02/2/2020  
**Period Covered:** 01/01/2018– 12/31/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
BB&T Accounts [BA] DESCRIPTION: Checking & Savings	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Bedford Properties, LLC ⇒ Investment in Industrial Rental Property [RP] LOCATION: Greensboro, NC, US	SP	Spouse/DC Over \$1,000,000	Partnership Income	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
Bell Fund I ⇒ Investment in Residential Rental Properties [RP] LOCATION: Greensboro, NC, US	SP	None	Partnership Income	\$15,001 - \$50,000	None
Capsule Group ⇒ GT-TWAS, LLC ⇒ Investment in Tidal Wave Car Washes [OL] LOCATION: Greensboro, NC, US DESCRIPTION: Car wash operation	SP	Spouse/DC Over \$1,000,000	Business Income	\$100,001 - \$1,000,000	None
DVS Limited Partnership ⇒ Investment in Residential Properties [RP] LOCATION: Greensboro, NC, US	SP	\$15,001 - \$50,000	None		
Ehrenkranz Partners, LP ⇒	SP	\$50,001 -	Partnership	\$5,001 -	\$5,001 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Acquisition Fund 2006 L.P. [HE]		\$100,000	Income	\$15,000	\$15,000
Ehrenkranz Partners, LP ⇒ Acquisition Fund 2007 L.P. [HE]	SP	\$50,001 - \$100,000	Partnership Income	\$15,001 - \$50,000	\$15,001 - \$50,000
Ehrenkranz Partners, LP ⇒ Acquisition Fund III L.P. [HE]	SP	\$15,001 - \$50,000	None		
Ehrenkranz Partners, LP ⇒ Acquisition Fund IV L.P. [HE]	SP	\$1,001 - \$15,000	Partnership Income	\$1 - \$200	\$1 - \$200
Ehrenkranz Partners, LP ⇒ Acquisition Fund V L.P. [HE]	SP	\$15,001 - \$50,000	Partnership Income	\$5,001 - \$15,000	\$5,001 - \$15,000
Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund I, L.P. [HE]	SP	\$1,001 - \$15,000	Partnership Income	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Appreciated over \$1,000					
Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund II L.P. [HE]	SP	\$1,001 - \$15,000	None		
Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund III L.P. [HE]	SP	\$1,001 - \$15,000	None		
Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund IV L.P. [HE]	SP	\$15,001 - \$50,000	None		
Ehrenkranz Partners, LP ⇒ Real Estate Acquisition Fund L.P. [HE]	SP	\$15,001 - \$50,000	None		
Ehrenkranz Partners, LP ⇒ Venture Fund L.P. [HE]	SP	\$1,001 - \$15,000	None		
Elm Street Hotel II ⇒ Elm Street Hotel, LLC [RP]	SP	\$1,001 - \$15,000	None		
LOCATION: Greensboro, NC, US DESCRIPTION: Commercial Real Estate Investment- plans to build a hotel in downtown Greensboro.					
Elm Street Technology LLC [OL]	SP	Spouse/DC Over \$1,000,000	None		
LOCATION: Greensboro, NC, US DESCRIPTION: Internet Software Company					
GT-TWAS, LLC [OL]	SP	Spouse/DC Over	Business Income	\$100,001 -	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
		\$1,000,000		\$1,000,000	
LOCATION: Thomaston, GA, US DESCRIPTION: Owns and operates 3 Tidal Wave Car washes located in Greensboro, High Point and Kernersville.					
Little Santee-Sandy Ridge, LLC ⇒ Investment in Commercial Real Estate [RP]	SP	\$250,001 - \$500,000	None		
LOCATION: Greensboro, NC, US DESCRIPTION: Sandy Ridge Road					
Manning Family LP ⇒ Investment Property [RP]		\$50,001 - \$100,000	Rent	None	\$15,001 - \$50,000
LOCATION: San Antonio, TX, US					
MV Capital - GST Exempt Trust #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$2,501 - \$5,000
MV Capital - GST Exempt Trust #1 ⇒ Delaware Value Fund Institutional (DDVIX) [MF]	SP	None	Capital Gains, Dividends	None	\$5,001 - \$15,000
MV Capital - GST Exempt Trust #1 ⇒ Ecolab Inc. (ECL) [ST]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	\$2,501 - \$5,000
MV Capital - GST Exempt Trust #1 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ Fidelity Low Priced Stock (FLPSX) [MF]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ First Eagle Overseas Class I (SGOIX) [MF]	SP	None	Dividends	None	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ Invesco Preferred ETF (PGX) [ST]	SP	None	Dividends	None	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares Core High Dividend ETF (HDV) [ST]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
MV Capital - GST Exempt Trust #1 ⇒ iShares Core MSCI EAFE ETF (IEFA) [ST]	SP	None	Dividends	None	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [ST]	SP	None	Capital Gains, Dividends	None	\$2,501 - \$5,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - GST Exempt Trust #1 ⇒ iShares Core MSCI International Developed Markets ETF (IDEV) [ST]	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P 500 ETF (IVV) [ST]	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P Mid-Cap ETF (IJH) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	None
MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P Small-Cap ETF (IJR) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
MV Capital - GST Exempt Trust #1 ⇒ iShares Core U.S. REIT ETF (USRT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	None
MV Capital - GST Exempt Trust #1 ⇒ iShares Edge MSCI Min Vol EAFE ETF (EFAV) [ST]	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
MV Capital - GST Exempt Trust #1 ⇒ iShares Edge MSCI Min Vol Emerging Markets ETF (EEMV) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV) [ST]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
MV Capital - GST Exempt Trust #1 ⇒ iShares Floating Rate Bond ETF (FLOT) [ST]	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2018 Term Corporate ETF (IBDH) [ST]	SP	None	Dividends	None	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2019 Term Corporate ETF (IBDK) [ST]	SP	None	Dividends	None	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2020 Term Corporate ETF (IBDL) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2021 Term Corporate ETF (IBDM) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2022 Term Corporate ETF (IBDN) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	None
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2023 Term Corporate ETF (IBDO) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	None
MV Capital - GST Exempt Trust #1 ⇒ iShares Russell 1000 Value ETF (IWD) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P 500 Growth ETF (IVW) [ST]	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P 500 Value ETF (IVE) [ST]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P Mid-Cap 400 Growth ETF (LJK) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [ST]	SP	None	Dividends	None	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P Small-Cap 600 Growth ETF (IJT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [ST]	SP	None	Dividends	None	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [ST]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares U.S. Preferred Stock ETF (PFF) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1 - \$200
MV Capital - GST Exempt Trust #1 ⇒ iShares Ultra Short-Term Bond ETF (ICSH) [ST]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	None
MV Capital - GST Exempt Trust #1 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ Vanguard Value ETF (VTV) [ST]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - GST Exempt Trust #2 ⇒ Abbott Laboratories (ABT) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Allergan plc Ordinary Shares (AGN) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Amgen Inc. (AMGN) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
MV Capital - GST Exempt Trust #2 ⇒ Aon plc Class A Ordinary Shares (AON) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Apple Inc. (AAPL) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Caterpillar, Inc. (CAT) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	None
MV Capital - GST Exempt Trust #2 ⇒ Centene Corporation (CNC) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	None
MV Capital - GST Exempt Trust #2 ⇒ Chevron Corporation (CVX) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Chubb Limited (CB) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒	SP	\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CME Group Inc. - Class A (CME) [ST]					
MV Capital - GST Exempt Trust #2 ⇒ Exxon Mobil Corporation (XOM) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Fidelity Treasury Money Market Fund (FZFX) [MF]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ General Mills, Inc. (GIS) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Intel Corporation (INTC) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	None
MV Capital - GST Exempt Trust #2 ⇒ Intuit Inc. (INTU) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	None
MV Capital - GST Exempt Trust #2 ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Lockheed Martin Corporation (LMT) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Micron Technology, Inc. (MU) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Nike, Inc. (NKE) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	None
MV Capital - GST Exempt Trust #2 ⇒ Occidental Petroleum Corporation (OXY) [ST]	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	\$1 - \$200

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - GST Exempt Trust #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Pfizer, Inc. (PFE) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Procter & Gamble Company (PG) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Schlumberger N.V. (SLB) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ SPDR S&P 500 (SPY) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
MV Capital - GST Exempt Trust #2 ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Sysco Corporation (SYY) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ TJX Companies, Inc. (TJX) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Visa Inc. (V) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Walmart Inc. (WMT) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Walt Disney Company (DIS) [ST]	SP	\$1,001 - \$15,000	None		
MV Capital - Joint Account #1 ⇒ Bank of America Corporation (BAC) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$15,001 - \$50,000
MV Capital - Joint Account #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]	JT	None	Capital Gains, Dividends	None	\$15,001 - \$50,000
MV Capital - Joint Account #1 ⇒ Delaware Value Fund Institutional (DDVIX) [MF]	JT	None	Capital Gains, Dividends	None	\$15,001 - \$50,000



Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - Joint Account #1 ⇒ Fidelity Government Money Market (SPAXX) [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
MV Capital - Joint Account #1 ⇒ Fidelity Low Priced Stock (FLPSX) [MF]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #1 ⇒ iShares Core MSCI EAFE ETF (IEFA) [ST]	JT	None	Capital Gains, Dividends	None	\$15,001 - \$50,000
MV Capital - Joint Account #1 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [ST]	JT	None	Capital Gains, Dividends	None	\$5,001 - \$15,000
MV Capital - Joint Account #1 ⇒ iShares Core S&P 500 ETF (IVV) [ST]	JT	None	Capital Gains, Dividends	None	\$5,001 - \$15,000
MV Capital - Joint Account #1 ⇒ iShares Floating Rate Bond ETF (FLOT) [ST]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #1 ⇒ iShares iBonds Dec 2018 Term Corporate ETF (IBDH) [ST]	JT	None	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #1 ⇒ iShares Russell 1000 Value ETF (IWD) [ST]	JT	None	Capital Gains, Dividends	None	\$5,001 - \$15,000
MV Capital - Joint Account #1 ⇒ iShares S&P 500 Growth ETF (IVW) [ST]	JT	None	Capital Gains, Dividends	None	\$15,001 - \$50,000
MV Capital - Joint Account #1 ⇒ iShares S&P 500 Value ETF (IVE) [ST]	JT	None	Capital Gains, Dividends	None	\$5,001 - \$15,000
MV Capital - Joint Account #1 ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK) [ST]	JT	None	Capital Gains, Dividends	None	\$5,001 - \$15,000
MV Capital - Joint Account #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [ST]	JT	None	Capital Gains, Dividends	None	\$5,001 - \$15,000
MV Capital - Joint Account #1 ⇒ iShares S&P Small-Cap 600 Growth ETF (IJT) [ST]	JT	None	Capital Gains, Dividends	None	\$5,001 - \$15,000
MV Capital - Joint Account #1 ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [ST]	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - Joint Account #1 ⇒ iShares Ultra Short-Term Bond ETF (ICSH) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
MV Capital - Joint Account #2 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
MV Capital - Joint Account #2 ⇒ Delaware Value Fund Institutional (DDVIX) [MF]	JT	None	Capital Gains, Dividends	None	\$5,001 - \$15,000
MV Capital - Joint Account #2 ⇒ Fidelity Low Priced Stock (FLPSX) [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	\$5,001 - \$15,000
MV Capital - Joint Account #2 ⇒ Goldman Sachs Group Inc MTN [CS] DESCRIPTION: Corporate Bond - Call Date 2/27/20	JT	None	Interest	None	\$1,001 - \$2,500
MV Capital - Joint Account #2 ⇒ iShares Core High Dividend ETF (HDV) [ST]	JT	None	Capital Gains, Dividends	None	\$5,001 - \$15,000
MV Capital - Joint Account #2 ⇒ iShares Core MSCI EAFE ETF (IEFA) [ST]	JT	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
MV Capital - Joint Account #2 ⇒ iShares Core S&P 500 ETF (IVV) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ iShares Edge MSCI Min Vol EAFE ETF (EFAV) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV) [ST]	JT	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
MV Capital - Joint Account #2 ⇒ iShares Floating Rate Bond ETF (FLOT) [ST]	JT	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	\$5,001 - \$15,000
MV Capital - Joint Account #2 ⇒ iShares iBonds Dec 2018 Term Corporate ETF (IBDH) [ST]	JT	None	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ iShares iBonds Dec 2019 Term Corporate ETF (IBDK) [ST]	JT	None	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒	JT	\$100,001 -	Dividends	\$2,501 -	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
iShares iBonds Dec 2020 Term Corporate ETF (IBDL) [ST]		\$250,000		\$5,000	
MV Capital - Joint Account #2 ⇒ iShares iBonds Dec 2021 Term Corporate ETF (IBDM) [ST]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ iShares iBonds Dec 2022 Term Corporate ETF (IBDN) [ST]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	None
MV Capital - Joint Account #2 ⇒ iShares iBonds Dec 2023 Term Corporate ETF (IBDO) [ST]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	None
MV Capital - Joint Account #2 ⇒ iShares Russell 1000 ETF (IWB) [ST]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
MV Capital - Joint Account #2 ⇒ iShares Russell 1000 Value ETF (IWD) [ST]	JT	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
MV Capital - Joint Account #2 ⇒ iShares Russell 2000 Growth ETF (IWO) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
MV Capital - Joint Account #2 ⇒ iShares S&P 500 Growth ETF (IVW) [ST]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$1,001 - \$2,500
MV Capital - Joint Account #2 ⇒ iShares S&P 500 Value ETF (IVE) [ST]	JT	None	Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #2 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [ST]	JT	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	None
MV Capital - Joint Account #2 ⇒ iShares U.S. Preferred Stock ETF (PFF) [ST]	JT	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$2,501 - \$5,000
MV Capital - Joint Account #2 ⇒ iShares Ultra Short-Term Bond ETF (ICSH) [ST]	JT	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	None
MV Capital - Joint Account #2 ⇒ MV Cash [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
MV Capital - Joint Account #2 ⇒ Pinnacle Financial Partners, Inc. (PNFP) [ST]	JT	\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$50,001 - \$100,000	\$15,001 - \$50,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - Joint Account #2 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
MV Capital - Joint Account #2 ⇒ Vanguard REIT ETF (VNQ) [ST]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ 3M Company (MMM) [ST]	JT	None	Capital Gains, Dividends	\$2,501 - \$5,000	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Abbott Laboratories (ABT) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Allergan plc Ordinary Shares (AGN) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
MV Capital - Joint Account #3 ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	JT	\$50,001 - \$100,000	None		
MV Capital - Joint Account #3 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	\$15,001 - \$50,000	None		
MV Capital - Joint Account #3 ⇒ Amgen Inc. (AMGN) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Aon plc Class A Ordinary Shares (AON) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Apple Inc. (AAPL) [ST]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Caterpillar, Inc. (CAT) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Centene Corporation (CNC) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	None
MV Capital - Joint Account #3 ⇒	JT	\$1,001 - \$15,000	Capital Gains,	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Chevron Corporation (CVX) [ST]			Dividends		
MV Capital - Joint Account #3 ⇒ Chubb Limited (CB) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Citizens Financial Group, Inc. (CFG) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ CME Group Inc. - Class A (CME) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
MV Capital - Joint Account #3 ⇒ Delta Air Lines, Inc. (DAL) [ST]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Duke Energy Corporation (DUK) [ST]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Exxon Mobil Corporation (XOM) [ST]	JT	\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Fidelity Treasury Money Market Fund (FZFX) [MF]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ General Mills, Inc. (GIS) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	None
MV Capital - Joint Account #3 ⇒ Intel Corporation (INTC) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Intuit Inc. (INTU) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
MV Capital - Joint Account #3 ⇒ iShares Core S&P 500 ETF (IVV) [ST]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ iShares S&P 500 Growth ETF (IVW) [ST]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Johnson & Johnson (JNJ) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ JP Morgan Chase & Co. (JPM) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - Joint Account #3 ⇒ Lockheed Martin Corporation (LMT) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Micron Technology, Inc. (MU) [ST]	JT	\$15,001 - \$50,000	None		
MV Capital - Joint Account #3 ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
MV Capital - Joint Account #3 ⇒ MV Cash [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
MV Capital - Joint Account #3 ⇒ Nike, Inc. (NKE) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Occidental Petroleum Corporation (OXY) [ST]	JT	None	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	None
MV Capital - Joint Account #3 ⇒ Pfizer, Inc. (PFE) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Procter & Gamble Company (PG) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ QUALCOMM Incorporated (QCOM) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Schlumberger N.V. (SLB) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
MV Capital - Joint Account #3 ⇒ SPDR S&P 500 (SPY) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
MV Capital - Joint Account #3 ⇒ Starbucks Corporation (SBUX) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Sysco Corporation (SYYS) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - Joint Account #3 ⇒ TJX Companies, Inc. (TJX) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Visa Inc. (V) [ST]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Walmart Inc. (WMT) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
MV Capital - Joint Account #3 ⇒ Walt Disney Company (DIS) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Wells Fargo & Company (WFC) [ST]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ AbbVie Inc. (ABBV) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Activision Blizzard, Inc (ATVI) [ST]	JT	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Adobe Systems Incorporated (ADBE) [ST]	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Alexander & Baldwin, Inc. Common Stock REIT Holding Company (ALEX) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Altria Group, Inc. (MO) [ST]	JT	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ American Express Company (AXP) [ST]	JT	None	Capital Gains, Dividends	None	\$2,501 - \$5,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Ameriprise Financial, Inc. (AMP) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	None

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Asset Management LLC ⇒ AmerisourceBergen Corporation (ABC) [ST]					
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Amgen Inc. (AMGN) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Analog Devices, Inc. (ADI) [ST]	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Apple Inc. (AAPL) [ST]	JT	None	Capital Gains, Dividends	None	\$2,501 - \$5,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Applied Materials, Inc. (AMAT) [ST]	JT	None	Capital Gains, Dividends	None	\$2,501 - \$5,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ ArcBest Corporation (ARCB) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$1 - \$200
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Arch Coal, Inc. Class A (ARCH) [ST]	JT	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Armstrong World Industries Inc (AWI) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ AT&T Inc. (T) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Automatic Data Processing, Inc. (ADP) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ AutoZone, Inc. (AZO) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Ball Corporation (BLL) [ST]	JT	None	Capital Gains	\$201 - \$1,000	None



<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ <b>Bank of America Corporation (BAC) [ST]</b>	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1,001 - \$2,500
DESCRIPTION: Appreciated over \$1,000 threshold					
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ <b>Baxter International Inc. (BAX) [ST]</b>	JT	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ <b>Best Buy Co., Inc. (BBY) [ST]</b>	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ <b>Biogen Inc. (BIIB) [ST]</b>	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ <b>Boeing Company (BA) [ST]</b>	JT	None	Capital Gains, Dividends	None	\$15,001 - \$50,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ <b>Booking Holdings Inc. (BKNG) [ST]</b>	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ <b>BorgWarner Inc. (BWA) [ST]</b>	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ <b>Boston Properties, Inc. (BXP) [ST]</b>	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ <b>Brinker International, Inc. (EAT) [ST]</b>	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ <b>Cabot Microelectronics Corporation (CCMP) [ST]</b>	JT	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ <b>Cadence Design Systems, Inc. (CDNS) [ST]</b>	JT	\$1,001 - \$15,000	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Cardinal Health, Inc. (CAH) [ST]					
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Carlisle Companies Incorporated (CSL) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ CDW Corporation (CDW) [ST]	JT	None	Capital Gains	\$1,001 - \$2,500	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Celanese Corporation Celanese Corporation Series A (CE) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Centene Corporation (CNC) [ST]	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ CenturyLink, Inc. (CTL) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ CF Industries Holdings, Inc. (CF) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Charles River Laboratories International, Inc. (CRL) [ST]	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Chemed Corp (CHE) [ST]	JT	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Church & Dwight Company, Inc. (CHD) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Cisco Systems, Inc. (CSCO) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Citigroup, Inc. (C) [ST]	JT	\$1,001 - \$15,000	None		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Citrix Systems, Inc. (CTXS) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH) [ST]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Collectors Universe, Inc. (CLCT) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Comerica Incorporated (CMA) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ ConocoPhillips (COP) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Corning Incorporated (GLW) [ST]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Cummins Inc. (CMI) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ CVR Energy Inc. (CVI) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Deckers Outdoor Corporation (DECK) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Delta Air Lines, Inc. (DAL) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Devon Energy Corporation (DVN) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy	JT	None	Capital Gains,	None	\$201 - \$1,000

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Asset Management LLC ⇒ Dillard's, Inc. (DDS) [ST]			Dividends		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Discovery, Inc. - Series A (DISCA) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Dover Corporation (DOV) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ E*TRADE Financial Corporation (ETFC) [ST]	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ eBay Inc. (EBAY) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ ePlus inc. (PLUS) [ST]	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Express Scripts Holding Company (ESRX) [ST]	JT	None	Dividends	None	\$2,501 - \$5,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Fifth Third Bancorp (FITB) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Foot Locker, Inc. (FL) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Foundation Building Materials, Inc. (FBM) [ST]	JT	\$1,001 - \$15,000	None		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ General Dynamics Corporation (GD) [ST]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ General Mills, Inc. (GIS) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ GMS Inc. (GMS) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Great Lakes Dredge & Dock Corporation (GLDD) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ H&R Block, Inc. (HRB) [ST]	JT	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Hartford Financial Services Group, Inc. (HIG) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Hewlett Packard Enterprise Company (HPE) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Huntington Ingalls Industries, Inc. (HII) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ IDEXX Laboratories, Inc. (IDXX) [ST]	JT	None	Capital Gains	None	\$2,501 - \$5,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Ingersoll-Rand plc (IR) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy	JT	\$1,001 - \$15,000	None		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Asset Management LLC ⇒ International Paper Company (IP) [ST]					
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Jabil Inc. (JBL) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ John B. Sanfilippo & Son, Inc. (JBSS) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Jones Lang LaSalle Incorporated (JLL) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ JP Morgan Chase & Co. (JPM) [ST]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Kforce, Inc. (KFRC) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Kimberly-Clark Corporation (KMB) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Kohl's Corporation (KSS) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Kroger Company (KR) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Leidos Holdings, Inc. (LDOS) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Louisiana-Pacific Corporation (LPX) [ST]	JT	None	Capital Gains, Dividends	None	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ LyondellBasell Industries NV Ordinary Shares Class A (LYB) [ST]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Marathon Petroleum Corporation (MPC) [ST]	JT	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Mastercard Incorporated (MA) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ McDonald's Corporation (MCD) [ST]	JT	None	Capital Gains, Dividends	None	\$5,001 - \$15,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ MEDIFAST INC (MED) [ST]	JT	None	Capital Gains, Dividends	None	\$5,001 - \$15,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ MetLife, Inc. (MET) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Michael Kors Holdings Limited Ordinary Shares (KORS) [ST]	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Motorola Solutions, Inc. (MSI) [ST]	JT	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ MV Cash [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Nexstar Media Group, Inc. - Class A (NXST) [ST]	JT	\$1,001 - \$15,000	None		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Novanta Inc. - Common Shares (NOVT) [ST]	JT	None	Capital Gains	None	\$2,501 - \$5,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ NXP Semiconductors N.V. (NXPI) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Omnicom Group Inc. (OMC) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Oracle Corporation (ORCL) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Pfizer, Inc. (PFE) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$1 - \$200
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Phillips 66 (PSX) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ PNC Financial Services Group, Inc. (PNC) [ST]	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Procter & Gamble Company (PG) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Progressive Corporation (PGR) [ST]	JT	None	Capital Gains, Dividends	\$2,501 - \$5,000	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ PulteGroup, Inc. (PHM) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ QUALCOMM Incorporated (QCOM) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Regions Financial Corporation (RF) [ST]	JT	\$1,001 - \$15,000	None		



<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Reliance Steel & Aluminum Co. Common Stock (RS) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Retail Value Inc. (RVI) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ RLJ Lodging Trust Common Shares of Beneficial Interest \$0.01 par value (RLJ) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Rockwell Automation, Inc. (ROK) [ST]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Seagate Technology PLC - Ordinary Shares (STX) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Shoe Carnival, Inc. (SCVL) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Southwest Airlines Company (LUV) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ SP Plus Corporation (SP) [ST] DESCRIPTION: sold for a loss - delete	JT	None	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Starbucks Corporation (SBUX) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Steel Dynamics, Inc. (STLD) [ST]	JT	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Sterling Construction Company Inc (STRL) [ST]	JT	\$1,001 - \$15,000	None		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Summit Hotel Properties, Inc. (INN) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Synchrony Financial (SYF) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Sysco Corporation (SYY) [ST]	JT	\$1 - \$1,000	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Target Corporation (TGT) [ST]	JT	\$1,001 - \$15,000	Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Teradata Corporation (TDC) [ST]	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Texas Instruments Incorporated (TXN) [ST]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Textron Inc. (TXT) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ The Cooper Companies, Inc. (COO) [ST]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ The Travelers Companies, Inc. (TRV) [ST]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ T-Mobile US, Inc. (TMUS) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Total System Services, Inc. (TSS) [ST]					
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Trinseo S.A. Ordinary Shares (TSE) [ST]	JT	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ United Continental Holdings (UAL) [ST]	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ United Technologies Corporation (UTX) [ST]	JT	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ V.F. Corporation (VFC) [ST]	JT	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Valero Energy Corporation (VLO) [ST]	JT	None	Capital Gains, Dividends	None	\$2,501 - \$5,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ ViacomCBS Inc. - Class B (VIAC) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Visa Inc. (V) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Vornado Realty Trust (VNO) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Voya Financial, Inc. (VOYA) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Walgreens Boots Alliance, Inc. (WBA) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Wal-Mart Stores, Inc. (WMT) [ST]	JT	None	Capital Gains, Dividends	None	\$1,001 - \$2,500

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Waters Corporation (WAT) [ST]	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Wells Fargo & Company (WFC) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Western Union Company (WU) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Xenia Hotels & Resorts, Inc. (XHR) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Xerox Holdings Corporation (XRX) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Yum! Brands, Inc. (YUM) [ST]	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Zions Bancorporation (ZION) [ST]	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - KEM Roth IRA #1 ⇒ AQR Managed Futures Fund CL I (AQMIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]		\$100,001 - \$250,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ Delaware Value Fund Institutional (DDVIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ First Eagle Overseas Class I (SGOIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ Franklin Convertible Securities Advisor (FCSZX) [MF]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - KEM Roth IRA #1 ⇒ iShares Core MSCI EAFE ETF (IEFA) [ST]		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [ST]		\$50,001 - \$100,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Core S&P 500 ETF (IVV) [ST]		\$100,001 - \$250,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Core U.S. REIT ETF (USRT) [ST]		\$50,001 - \$100,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Edge MSCI Min Vol EAFE ETF (EFAV) [ST]		\$100,001 - \$250,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV) [ST]		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Floating Rate Bond ETF (FLOT) [ST]		\$50,001 - \$100,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 1000 Growth ETF (IWF) [ST]		\$100,001 - \$250,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE) [ST]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [ST]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Ultra Short-Term Bond ETF (ICSH) [ST]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [ST]		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ Vanguard REIT ETF (VNQ) [ST]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity Government Cash Reserves (FDRXX) [MF]					
MV Capital - KEM Roth IRA #2 ⇒ iShares Core S&P 500 ETF (IVV) [ST]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ iShares S&P 500 Growth ETF (IVW) [ST]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ iShares S&P 500 Value ETF (IVE) [ST]		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK) [ST]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [ST]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ iShares S&P Small-Cap 600 Growth ETF (IJT) [ST]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [ST]		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ Materials Select Sector SPDR (XLB) [ST]		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ SPDR Select Sector Fund - Consumer Discretionary (XLY) [ST]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [ST]		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [ST]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ SPDR Select Sector Fund - Financial (XLF) [ST]		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ SPDR Select Sector Fund - Health Care (XLV) [ST]		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
SPDR Select Sector Fund - Technology (XLK) [ST]					
MV Capital - KEM Roth IRA #2 ⇒ SPDR Select Sector Fund - Utilities (XLU) [ST]		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ AQR Managed Futures Fund CL I (AQMIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Blue Ridge Bankshares, Inc. (BRBS) [ST]	SP	\$100,001 - \$250,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Delaware Value Fund Institutional (DDVIX) [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Fidelity Low Priced Stock (FLPSX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ First Eagle Overseas Class I (SGOIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Franklin Convertible Securities Advisor (FCSZX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ iShares Core MSCI EAFE ETF (IEFA) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ iShares Core S&P 500 ETF (IVV) [ST]	SP	\$100,001 - \$250,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ iShares Core U.S. REIT ETF (USRT) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ iShares Edge MSCI Min Vol EAFE ETF (EFAV)	SP	\$50,001 - \$100,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
[ST]					
MV Capital - RRR Roth IRA #1 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred		
MV Capital - RRR Roth IRA #1 ⇒ iShares Floating Rate Bond ETF (FLOT) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred		
MV Capital - RRR Roth IRA #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRR Roth IRA #1 ⇒ iShares Russell 2000 Value ETF (IWN) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred		
MV Capital - RRR Roth IRA #1 ⇒ iShares S&P 500 Growth ETF (IVW) [ST]	SP	\$100,001 - \$250,000	Tax-Deferred		
MV Capital - RRR Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE) [ST]	SP	\$100,001 - \$250,000	Tax-Deferred		
MV Capital - RRR Roth IRA #1 ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRR Roth IRA #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRR Roth IRA #1 ⇒ iShares S&P Small-Cap 600 Growth ETF (IJT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRR Roth IRA #1 ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRR Roth IRA #1 ⇒ iShares Ultra Short-Term Bond ETF (ICSH) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRR Roth IRA #1 ⇒ PowerShares Preferred Portfolio (PGX) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred		
MV Capital - RRR Roth IRA #1 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRR Roth IRA #1 ⇒ Vanguard REIT ETF (VNQ) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		



Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - RRK Roth IRA #2 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #2 ⇒ iShares Core S&P 500 ETF (IVV) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #2 ⇒ iShares S&P 500 Growth ETF (IVW) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #2 ⇒ iShares S&P 500 Value ETF (IVE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #2 ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #2 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #2 ⇒ iShares S&P Small-Cap 600 Growth ETF (IJT) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #2 ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #2 ⇒ Materials Select Sector SPDR (XLB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #2 ⇒ SPDR Select Sector Fund - Consumer Discretionary (XLY) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #2 ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #2 ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #2 ⇒ SPDR Select Sector Fund - Financial (XLF) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #2 ⇒ SPDR Select Sector Fund - Health Care (XLV) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - RRK Roth IRA #2 ⇒ SPDR Select Sector Fund - Technology (XLK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #2 ⇒ SPDR Select Sector Fund - Utilities (XLU) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ AbbVie Inc. (ABBV) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Ally Financial Inc. (ALLY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Altrice USA, Inc. Class A (ATUS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Altria Group, Inc. (MO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Ameriprise Financial, Inc. (AMP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Amgen Inc. (AMGN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Arconic Inc. (ARNC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ AutoZone, Inc. (AZO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Bank of America Corporation (BAC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Best Buy Co., Inc. (BBY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Cardinal Health, Inc. (CAH) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Caterpillar, Inc. (CAT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Celanese Corporation Celanese Corporation (CE)	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
[ST]					
MV Capital - RRK Roth IRA #3 ⇒ CF Industries Holdings, Inc. (CF) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Cisco Systems, Inc. (CSCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Citigroup, Inc. (C) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Citrix Systems, Inc. (CTXS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Comerica Incorporated (CMA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ CSX Corporation (CSX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ DaVita Inc. (DVA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Delta Air Lines, Inc. (DAL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Devon Energy Corporation (DVN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Discover Financial Services (DFS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Dover Corporation (DOV) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ eBay Inc. (EBAY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Eli Lilly and Company (LLY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - RRK Roth IRA #3 ⇒ Fifth Third Bancorp (FITB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Franklin Resources, Inc. (BEN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Hess Corporation (HES) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Huntington Ingalls Industries, Inc. (HII) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Johnson Controls International plc Ordinary Share (JCI) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Kroger Company (KR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Lam Research Corporation (LRCX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ LyondellBasell Industries NV Ordinary Shares Class A (LYB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ McKesson Corporation (MCK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ MetLife, Inc. (MET) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ NXP Semiconductors N.V. (NXPI) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Oracle Corporation (ORCL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Phillips 66 (PSX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Regency Centers Corporation (REG) [ST]					
MV Capital - RRK Roth IRA #3 ⇒ Regions Financial Corporation (RF) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Rockwell Automation, Inc. (ROK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Spirit Aerosystems Holdings, Inc. (SPR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Synchrony Financial (SYF) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Teradyne, Inc. (TER) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Textron Inc. (TXT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Union Pacific Corporation (UNP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Vornado Realty Trust (VNO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Voya Financial, Inc. (VOYA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Walgreens Boots Alliance, Inc. (WBA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Waters Corporation (WAT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Wells Fargo & Company (WFC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Western Union Company (WU) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - RRK Roth IRA #3 ⇒ Whirlpool Corporation (WHR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Yum! Brands, Inc. (YUM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Financial ⇒ First Bancorp (FBNC) [ST]	JT	None	Dividends	None	\$1,001 - \$2,500
Neuberger Berman Brokerage # 1 ⇒ Alaska St HSG Fin Corp Rev BDS ST Cap 5.0%; Due 12/1/20 [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Allegheny Valley PA Sch Dist Go BDS Ser 5.0%; Due 11/1/24 [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Arlington Tex Spl Tax Rev SR Lien Bds 3.133%; Due 2/15/22 [GS]	SP	\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Neuberger Berman Brokerage # 1 ⇒ Bank Amer Corp MTN 5.65%; Due 5/1/18 [CS]	SP	None	Interest	None	\$2,501 - \$5,000
DESCRIPTION: Corporate Bond					
Neuberger Berman Brokerage # 1 ⇒ Boone & Winnebago CNTYS ILL CM NTV 0%; Due 1/1/20 [GS]	SP	\$15,001 - \$50,000	None		
Neuberger Berman Brokerage # 1 ⇒ Colorado St BRD Governors Univ 4.9%; Due 3/1/21 [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Connecticut St Taxable BDS Ser 3.482%; Due 4/15/2029 [GS]	SP	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	None
Neuberger Berman Brokerage # 1 ⇒ Dare County N C Ltd Oblig Ltd Bonds [GS]	SP	None	Capital Gains, Interest	None	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Dignity Health Bond Call Make Whole 2.637%; Due 11/1/19 [CS]	SP	\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$1,001 - \$2,500
DESCRIPTION: Corporate Bond					
Neuberger Berman Brokerage # 1 ⇒	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Dreyfus Treasury Sec Cash Mgmt Admin (DARXX) [MF]					
Neuberger Berman Brokerage # 1 ⇒ Georgia Mun Elec Auth Power Rev BDS 3.78%; Due 1/1/20 [GS]	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
Neuberger Berman Brokerage # 1 ⇒ Illinois St GO BDS Ser 2017D 5.0%; Due 11/1/2023 [GS]	SP	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	None
Neuberger Berman Brokerage # 1 ⇒ Lake Zurich ILL Taxable Go Ref BDS 2.85%; Due 2/1/27 [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ New Jersey St Transn TR FD Auth Rev NTS 5.0%; Due 6/15/19 [GS]	SP	\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$1,001 - \$2,500
Neuberger Berman Brokerage # 1 ⇒ New York NY City Transitional Fin 4.137%; Due 8/1/20 [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Oregon St Dept Administrative Services 2.78%; Due 4/1/24 [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Pennsylvania St TPK Common Rev BDS 5.0%; Due 12/1/2031 [GS]	SP	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	None
Neuberger Berman Brokerage # 1 ⇒ Port Seattle Wash Rev Intermediate 2.836%; Due 5/1/24 [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Portland Oregon Community College Dist Taxable 4.16%; Due 6/1/2029 [GS]	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	None
Neuberger Berman Brokerage # 1 ⇒ Regional Transn Auth ILL Go Taxable 3.013%; Due 5/29/20 [GS]	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
Neuberger Berman Brokerage # 1 ⇒ Rhode Island St TPK 2.124%; Due 12/1/2021 [GS]	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Neuberger Berman Brokerage # 1 ⇒ Riverside California Pension Oblig 3.0%; Due 6/1/25 [GS]	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
Neuberger Berman Brokerage # 1 ⇒ San Jose California Redev AGY Successor AGY 2.958%; Due 8/1/24 [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Southwest Higher Ed Auth Inc. Tex Rev 2.262%; Due 10/1/23 [GS]	SP	\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Neuberger Berman Brokerage # 1 ⇒ Texas A& Univ Rev Fin Svs BDS 2.986%; Due 5/15/28 [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ University MD System Auxiliary FAC 2.0%; Due 4/1/19 [GS]	SP	\$50,001 - \$100,000	Interest	\$201 - \$1,000	\$1,001 - \$2,500
Neuberger Berman Brokerage # 1 ⇒ Vanguard Bond Index Fund Inc Short Term Bond ETF (BSV) [EF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
Neuberger Berman Brokerage # 1 ⇒ Vineland NJ Electric Utility Ref BDS 2.497%; Due 4/15/2020 [GS]	SP	\$15,001 - \$50,000	None		
Neuberger Berman Brokerage # 2 ⇒ Vanguard Short Term Invmt Grade Investor (VFSTX) [MF]		\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
Northern Trust Company [DB]	SP	Undetermined	Tax-Deferred		
OurCrowd ⇒ Consumer Physics [OL]  LOCATION: Herzliya, IL DESCRIPTION: Advanced optical spectrometer	SP	\$1,001 - \$15,000	None		
Piedmont Angel Networks ⇒ AvidXchange [OL]  LOCATION: Charlotte, NC, US DESCRIPTION: Accounting Solutions	SP	\$100,001 - \$250,000	None		
Piedmont Angel Networks ⇒ OptiVia Medical, LLC [OL]	SP	\$1,001 - \$15,000	None		



Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LOCATION: Winston-Salem, NC, US DESCRIPTION: Medical Supply Store					
Piedmont Angel Networks ⇒ Piedmont Pharmaceuticals [OL]	SP	\$15,001 - \$50,000	None		
LOCATION: Greensboro, NC, US DESCRIPTION: Pharmaceutical company					
Piedmont Angel Networks ⇒ Sensory Analytics [OL]	SP	\$1,001 - \$15,000	None		
LOCATION: Greensboro, NC, US DESCRIPTION: Development of Sensory Solutions					
Piedmont Angel Networks ⇒ SpermCheck f/k/a ContraVac [OL]	SP	\$1,001 - \$15,000	None		
LOCATION: Charlottesville, VA, US DESCRIPTION: Medical Research					
Piedmont Angel Networks II ⇒ Pique Therapeutics, Inc. [OL]	SP	\$15,001 - \$50,000	None		
LOCATION: Durham, NC, US DESCRIPTION: Biopharmaceutical Company					
Piedmont Angel Networks II ⇒ Protochips [OL]	SP	\$50,001 - \$100,000	None		
LOCATION: Morrisville, NC, US DESCRIPTION: Electronics Research Company					
Pinnacle Bank Accounts [BA]	JT	\$250,001 - \$500,000	Interest	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Cash & Equivalents- Checking & Savings					
Randall Robert Kaplan Alaska LLC ⇒ Hartford Life Private Placement Series 7A [WU]	SP	Spouse/DC Over \$1,000,000	None		
DESCRIPTION: Universal Life Insurance					
Real Estate Software Solutions, LLC ⇒ Elm Street Technology LLC [OL]	SP	Spouse/DC Over \$1,000,000	None		
LOCATION: Greensboro, NC, US DESCRIPTION: Internet Software Company and investment in Real Estate Software Patent					
Regent Holdings, LLC ⇒ Investment in Commercial Property [RP]	SP	\$500,001 - \$1,000,000	Partnership Income	\$5,001 - \$15,000	\$5,001 - \$15,000
LOCATION: Greensboro, NC, US					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Reservoir Capital Partners, LP [OL]	SP	Spouse/DC Over \$1,000,000	Partnership Income	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
LOCATION: New York, NY, US DESCRIPTION: Private Equity Fund. Fund manager letter on file with the Committee on Ethics.					
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$2,501 - \$5,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Delaware Value Fund Institutional (DDVIX) [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Fidelity Low Priced Stock (FLPSX) [MF]	SP	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
RRK Charitable Trust U/A 11/28/00 #1 ⇒ First Eagle Overseas Class I (SGOIX) [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$1,001 - \$2,500
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Franklin Convertible Securities Advisor (FCSZX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Invesco Preferred ETF (PGX) [ST]	SP	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
DESCRIPTION: f/k/a PowerShares Preferred Portfolio (PGX)					
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Core High Dividend ETF (HDV) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Core MSCI EAFE ETF (IEFA) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Edge MSCI Min Vol EAFE ETF (EFAV) [ST]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV) [ST]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Floating Rate Bond ETF (FLOT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares iBonds Dec 2018 Term Corporate ETF (IBDH) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares iBonds Dec 2019 Term Corporate ETF (IBDK) [ST]	SP	None	Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares iBonds Dec 2020 Term Corporate ETF (IBDL) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares iBonds Dec 2021 Term Corporate ETF (IBDM) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares iBonds Dec 2022 Term Corporate ETF (IBDN) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares iBonds Dec 2023 Term Corporate ETF (IBDO) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Preferred and Income Securities ETF (PFF) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Russell 1000 Growth ETF (IWF) [ST]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Russell 1000 Value ETF (IWD) [ST]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Russell 2000 Value ETF (IWN) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Russell Midcap Growth ETF (IWP) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares S&P 500 Growth ETF (IVW) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$2,501 - \$5,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares S&P Small-Cap 600 Growth ETF (IJT) [ST]	SP	None	Capital Gains	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Ultra Short-Term Bond ETF (ICSH) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Materials Select Sector SPDR (XLB) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Seven Bridges Long/Short Equity Fund Ltd. [OL]	SP	\$500,001 - \$1,000,000	Partnership Income	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
LOCATION: New York, NY, US DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.					
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Seven Bridges Multi-Strategy Fund Ltd. [OL]	SP	\$1,001 - \$15,000	None		
LOCATION: New York, NY, US DESCRIPTION: Investment Company. Fund manager letter on file with the Committee on Ethics.					
RRK Charitable Trust U/A 11/28/00 #1 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [ST]	SP	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
RRK Charitable Trust U/A 11/28/00 #1 ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]	SP	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
RRK Charitable Trust U/A 11/28/00 #1 ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒	SP	None	Capital Gains	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
SPDR Select Sector Fund - Energy Select Sector (XLE) [ST]					
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Vanguard Real Estate ETF (VNQ) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ Fidelity Treasury Money Market Fund (FZFX) [MF]	SP	\$1,001 - \$15,000	None		
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ iShares Core S&P 500 ETF (IVV) [ST]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	\$2,501 - \$5,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ iShares S&P 500 Value ETF (IVE) [ST]	SP	\$1,001 - \$15,000	None		
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK) [ST]	SP	\$1,001 - \$15,000	Capital Gains	None	\$201 - \$1,000
DESCRIPTION: Repurchased in March 2018					
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ iShares S&P Small-Cap 600 Growth ETF (IJT) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ iShares Select Dividend ETF (DIV) [ST]	SP	None	Capital Gains	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ SPDR Select Sector Fund - Consumer Discretionary (XLY) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
SPDR Select Sector Fund - Energy Select Sector (XLE) [ST]					
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ SPDR Select Sector Fund - Financial (XLF) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	None
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ SPDR Select Sector Fund - Health Care (XLV) [ST]	SP	\$1,001 - \$15,000	None		
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ SPDR Select Sector Fund - Technology (XLK) [ST]	SP	\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #2 ⇒ Seven Bridges Long/Short Equity Fund Ltd. [OL]	SP	Spouse/DC Over \$1,000,000	Partnership Income	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
LOCATION: New York, NY, US DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.					
RRK Charitable Trust U/A 11/28/00 #2 ⇒ Seven Bridges Multi-Strategy Fund Ltd. [OL]	SP	Spouse/DC Over \$1,000,000	None		
LOCATION: New York, NY, US DESCRIPTION: Investment Company. Fund manager letter on file with the Committee on Ethics.					
Scientific Associates, LLC ⇒ Patents [IP]	SP	\$15,001 - \$50,000	None		
DESCRIPTION: Water Purification & Oxygenation Patents					
Stonefield Cellars Winery [OL]	SP	\$15,001 - \$50,000	None		
LOCATION: Stokesdale, NC, US DESCRIPTION: Winery					
Tarco Realty, LLC ⇒ Elm Street Center, LLC [RP]	SP	\$500,001 - \$1,000,000	None		
LOCATION: Greensboro, NC, US DESCRIPTION: Owns and operates Commercial Real Estate.					
TIC Fincastle Building ⇒ Investment in Commercial Building [RP]	SP	\$50,001 - \$100,000	Rent	\$2,501 - \$5,000	\$2,501 - \$5,000
LOCATION: Greensboro, NC, US					
Wells Fargo Brokerage ⇒ Ecolab Inc. (ECL) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
WLC, LLC (Learning Together) ⇒ Notes Receivable [DO]	SP	\$250,001 - \$500,000	None		
DESCRIPTION: Greensboro, NC					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Elm Street Technology	Spouse Health Care Premiums	N/A	N/A
Northern Trust Company	Spouse Pension Payout	N/A	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Pinnacle Financial Partners	August 2006	Line of Credit	Spouse/DC Over \$1,000,000
SP	BB&T	October 2012	Line of Credit	Spouse/DC Over \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board of Trustees	University of North Carolina at Greesboro
Board of Directors	Greensboro Symphony Orchestra
Board of Directors	Greensboro Chamber of Commerce
Director & Officer	Kaplan Manning Family Foundation

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- Bedford Properties, LLC (Owner: SP)  
LOCATION: US
- Bell Fund I (Owner: SP)  
LOCATION: US
- Capsule Group (Owner: SP)  
LOCATION: US
- Capsule Group ⇒ GT-TWAS, LLC (Owner: SP)  
LOCATION: US
- DVS Limited Partnership (Owner: SP)  
LOCATION: US
- Ehrenkranz Partners, LP (Owner: SP)  
LOCATION: New York, NY, US
- Elm Street Hotel II (Owner: SP)  
LOCATION: US
- Little Santee-Sandy Ridge, LLC (Owner: SP)  
LOCATION: US
- Manning Family LP  
LOCATION: US
- MV Capital - GST Exempt Trust #1 (Owner: SP)
- MV Capital - GST Exempt Trust #2 (Owner: SP)
- MV Capital - Joint Account #1 (Owner: JT)  
LOCATION: US
- MV Capital - Joint Account #2 (Owner: JT)  
LOCATION: US
- MV Capital - Joint Account #3 (Owner: JT)  
LOCATION: US
- MV Capital - Joint Account #4 (Owner: JT)  
LOCATION: US
- MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC (Owner: JT)  
LOCATION: US
- MV Capital - KEM Roth IRA #1
- MV Capital - KEM Roth IRA #2
- MV Capital - RRK Roth IRA #1 (Owner: SP)
- MV Capital - RRK Roth IRA #2 (Owner: SP)
- MV Capital - RRK Roth IRA #3 (Owner: SP)
- MV Financial (Owner: JT)  
LOCATION: US
- Neuberger Berman Brokerage # 1 (Owner: SP)  
LOCATION: US
- Neuberger Berman Brokerage # 2  
LOCATION: US
- OurCrowd (Owner: SP)



- Piedmont Angel Networks (Owner: SP)
- Piedmont Angel Networks II (Owner: SP)
- Randall Robert Kaplan Alaska LLC (Owner: SP)  
LOCATION: US
- Real Estate Software Solutions, LLC (Owner: SP)  
LOCATION: Greensboro, NC, US
- Regent Holdings, LLC (Owner: SP)  
LOCATION: US
- RRK Charitable Trust U/A 11/28/00 #1 (Owner: SP)
- RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 (Owner: SP)  
LOCATION: US
- RRK Charitable Trust U/A 11/28/00 #2 (Owner: SP)
- Scientific Associates, LLC (Owner: SP)  
LOCATION: US
- Tarco Realty, LLC (Owner: SP)  
LOCATION: US
- TIC Fincastle Building (Owner: SP)  
LOCATION: US
- Wells Fargo Brokerage (Owner: SP)  
LOCATION: US
- WLC, LLC (Learning Together) (Owner: SP)  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Kathy Manning , 02/2/2020