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**HAND  
DELIVERED**  
HOUSE COMMITTEE ON  
WAYS AND MEANS  
SUBCOMMITTEE ON HUMAN RESOURCES  
SUBCOMMITTEE ON SOCIAL SECURITY

**Congress of the United States**  
**House of Representatives**

2016 JUL 29 AM 10:26

July 29, 2016

Ms. Destinae Demery  
Clerk of the U.S. House Committee on Ethics  
1015 Longworth House Office Building  
Washington, DC 20515

**RE: Amendments to Personal Financial Disclosures for Rep. Robert Dold**

Dear Ms. Demery –

This letter is intended to serve as a reply to the request for additional information or amendment I received from Chairman Dent and Ranking Member Sánchez regarding my CY 2015 personal financial disclosure. Upon receipt of your letter, I thoroughly reviewed my personal records and believe that the following additional information and amendments as noted will satisfy your inquiry and the requirements under statute and this Committee's guidance:

- Filing Year 2015, Schedule B: White Whale Consulting, LLC was dissolved on September 11, 2015, and its assets transferred to me. Capital gains from the transaction were under \$200. I understand this holding does not qualify as a "security" for the purposes of the STOCK Act.
- Filing Year 2015, Schedule A: American International Group, Inc. (AIG) should be added to Schedule A with a value of \$1,001-\$15,000, and with dividend income of \$1-\$200 for the preceding year. Note that while transactions involving shares of this stock occurred during 2014, all were below the \$1,000 threshold.
- Filing Year 2015, Schedule A: Ameritrade IRA Rollover – Insured Deposit Account should be added to Schedule A with a value of \$1,001-\$15,000 and with interest income of \$1-\$200 for the preceding year.
- Filing Year 2014, Schedule A: Intel Corporation (INTC) should be removed from that filing as it was inadvertently listed as a current holding. Additionally, Filing Year 2011, Schedule IV should be amended to indicate the sale of Intel Corporation stock valued at \$1,001-\$15,000 with dividend and capital gains income of \$1-\$200, with corresponding edits on its listing as a Schedule III asset no longer held at the end of that calendar year. That holding was also inadvertently included in Schedule III of the termination filing, submitted in February 2013, and in the candidate filing submitted in May 2014, and should be deleted from both of those filings. In all cases, Congressman Dold's spouse should be indicated as the owner.
- Filing Year 2015, Schedule A: Entergy Arkansas \$4.72 Preferred Stock (EGRKI) was inadvertently omitted and should be added to the IRA account, with a value of \$1,001-\$15,000 and with dividend income of \$201-\$1,000.

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- Filing Year 2014, Schedule A: Janus Orion J Fund is incorrectly named, and should be listed instead as Janus Global Select Fund D. The Orion J Fund shares converted to the Global Select Fund D shares in 2010, which I noted in 2015. However, my previous filings did not indicate this change. As a result, all other Filing Years on which this fund is listed should also be modified to Janus Global Select Fund D, including from CY 2010 through CY 2014.
- Filing Year 2014, Schedules A, B: Disney (DIS) shares were purchased with a transaction date of December 31, 2014, with a total value between \$1,001-\$15,000, and with dividend income from \$1-\$200. This purchase will also cause a periodic transaction report to be filed.
- Filing year 2015, Schedule A: AOL, Inc. (AOL) shares should also be indicated with a total valuation of \$0 (due to conversion transaction when the company was removed from the market on June 30, 2015), and with dividend income of \$1-\$200.
- Filing Year 2015, Schedules A, B: SunAmerica Focused Dividend Strategy A (FDSAX) shares should be reported with a purchase date of May 13, 2015, with a total value of \$1,001-\$15,000 and dividend income of \$1-\$200. Note that no periodic transaction report is required for this purchase as this is a publicly traded fund.
- Note that holdings of JM Smucker Co. (SJM) are properly indicated for the first time on Filing Year 2015, Schedule A, without any reportable transaction, as the value of those previously-held shares only crossed the valuation reportability threshold for the first time during Calendar Year 2015.

Thank you for bringing this matter to my attention. Please feel free to contact my office with any additional questions you may have regarding these amendments.

Sincerely,



Robert J. Dold  
Member of Congress