

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

Page 1 of 6

LEONARD LANCE

(Full Name)

(Daytime Telephone)

(Office Use Only)

2013 AUG 14 PM 1:37

HAND
DELIVERED

MC

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: NJ	<input type="checkbox"/> Officer Or Employee	Employing Office:	A \$200 penalty shall be assessed against anyone who files more than 30 days late.
District:	07				
Report Type	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date:	

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. If yes, complete and attach Schedule I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$50 from one source)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. If yes, complete and attach Schedule II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name LEONARD LANCE

Page 2 of 6

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
State of NJ	Pension- Member waived all NJ Pension pmts in 2012. This amt is from 2011 paid Jan 2012.	\$1,963.56
John Hancock Life Insurance	Spouse Retirement Payment	N/A
American Funds Retirement Funds	Spouse Retirement Payment	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name LEONARD LANCE

Page 3 of 6

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	BLOCK B Year-End Value of Asset Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."	BLOCK C Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D Amount of Income For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. * This column is for income generated by assets held solely by your spouse or dependent child.	BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
SP American Funds Retirement Funds	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
JT Bank of America	\$1 - \$1,000	INTEREST	\$1 - \$200	
	\$1,001 - \$15,000	None	NONE	
Bank of America Checking	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
Bank of America Common Stock (BAC)	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
Bank of America MMA	\$1,001 - \$15,000	None	NONE	
SP Estate of Adele Rohrbach	\$50,001 - \$100,000	None	NONE	S(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name LEONARD LANCE

Page 4 of 6

SP	Estate of Mae Anderson	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	
	Estate of Wesley Lance	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
SP	General Mills Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	E
SP	John Hancock Venture Annuity	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
SP	JP Morgan Chase 401k/Retirement Plan	\$500,001 - \$1,000,000	DIVIDENDS/Capital Gains	\$1,001 - \$2,500	
SP	JP Morgan Retirement Plan	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	
	Office Building- 36 Center St Clinton NJ - 50% ownership	\$100,001 - \$250,000	None	NONE	
	Scotttrade MMA	\$15,001 - \$50,000	DIVIDENDS/INTE REST	\$1 - \$200	
	UBS Financial Services- See detail	\$500,001 - \$1,000,000	DIVIDENDS	\$5,001 - \$15,000	PS(part)
SP	Vanguard IRA-see detail	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	
	Vanguard ROTH IRA-see detail	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	

SCHEDULE IV - TRANSACTIONS

Name LEONARD LANCE

Page 5 of 6

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.
* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Estate of Adele Rohrbach- Gen Mills Stock transferred	S(part)	No	12/31/12	\$15,001 - \$50,000
SP	Estate of Adele Rohrbach- John Hancock Annuity transferred	S(part)	No	12/31/12	\$15,001 - \$50,000
	UBS- see attachment for purchases 2012	P	N/A	04/02/12	\$100,001 - \$250,000
	UBS- see attachment for sales 2012	S(part)	Yes	01/05/12	\$100,001 - \$250,000

SCHEDULE IX - AGREEMENTS

Name LEONARD LANCE

Page 6 of 6

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
12/31/09	State of NJ	Pension Annuity (in 2012 Member waived all pmts to himself).

Hon. Leonard Lance - NJ-07
Statement of Underlying Assets
Calendar Year 2012 Financial Disclosure Statement

<u>Candidate - UBS Financial Services - Underlying Assets</u>	Asset Range	Income 2012
American Funds Euro Pacific Growth	\$15,001-\$50,000	\$201-\$1,000
American Funds Fundamental Investors	\$15,001-\$50,000	\$1,001-\$2500
American Funds Growth Fund of America	\$15,001-\$50,000	\$201-\$1,000
American Funds Washington Mutual Investors	\$15,001-\$50,000	\$201-\$1,000
Eaton Vance Large Cap Value Fund Class A	\$15,001-\$50,000	\$201-\$1,000
FT Templeton Foreign Sm Co A	\$15,001-\$50,000	\$201-\$1,000
FT Templeton Global Bond	\$50,001-\$100,000	\$2,501-\$5,000
Invesco Van Kampen Mid Growth Fund Class A	\$50,001-\$100,000	\$0
Ivy Global Natural Resources Fund	\$15,001-\$50,000	\$1-\$200
JP Morgan Mid Cap Value	\$50,001-\$100,000	\$201-\$1,000
Keeley Small Cap Value Fund	\$15,001-\$50,000	\$1-\$200
Oppenheimer Developing Markets	\$15,001-\$50,000	\$1-\$200
First Eagle Global Fund Class A	\$15,001-\$50,000	\$201-\$1,000
MFS Bond Fund A	\$15,001-\$50,000	\$1,001-\$2500
Oppenheimer Senior Floating rate Fund Class A	\$1,001-\$15,000	\$201-\$1,000
Pace Money Market Investments fund Class P	\$1,001-\$15,000	\$0
Principal Investors Preferred Securities Fund A	\$1,001-\$15,000	\$201-\$1,000
Principal High Yield Fund Class A	\$15,001-\$50,000	\$1,001-\$2500
Teton Westwood Mighty Mites Fund Class AAA	\$15,001-\$50,000	\$1-\$200
Wells Fargo Advantage Growth Fund Class A	\$50,001-\$100,000	\$0
Total	\$500,001-\$1,000,000	\$5,001-\$15,000

<u>Candidate - Vanguard ROTH IRA</u>	Asset Range	Income 2012
Vanguard Capital Opportunity Investment	\$15,001-\$50,000	\$201-\$1,000
Vanguard Emerging Markets Stock Index	\$1,001-\$15,000	\$201-\$1,000
Vanguard Energy Fund Admiral	\$50,001-\$100,000	\$1,001-\$2500
Vanguard Precious Metals and Mining	\$15,001-\$50,000	\$201-\$1,000
Vanguard Primecap Fund Investor	\$1,001-\$15,000	\$1-\$200
Vanguard Windsor II Fund Investment	\$1,001-\$15,000	\$1-\$200
Total	\$100,001-250,000	\$2,501-\$5,000

<u>Spouse - Vanguard IRA</u>	Asset Range	Income 2012
Admiral Energy Fund	\$50,001-\$100,000	\$2,501-\$5,000

<u>Spouse - John Hancock Venture Annuity</u>	Asset Range	Income 2012
MFC Global Investment Mgmt Lifestyle Balance	\$50,001-\$100,000	\$5,001-\$15,000

<u>Spouse - JP MorganChase 401(k)</u>	Asset Range	Income 2012
JP Morgan Chase Common Stock	\$100,001-\$250,000	\$0
JPMC ST Fixed Income Fund	\$100,001-\$250,000	\$201-\$1,000
JPMC Stable Value Fund	\$50,001-\$100,000	\$1,001-\$2500
JPMC Growth and Income Fund	\$50,001-\$100,000	0
JPMC S&P 500 Index Fund	\$50,001-\$100,000	\$1,001-\$2500
JPMC Small Cap Core Fund	\$50,001-\$100,000	0
JPMC Intl Large Cap Core Fund	\$15,001-\$50,000	0
Total	\$500,001-\$1,000,000	\$1,001-\$2500

Hon. Leonard Lance - NJ-07
Statement of Underlying Assets - Page 2
Calendar Year 2012 Financial Disclosure Statement

Spouse - American Funds Retirement Funds

	Asset Range	Income 2012
Fund Investment A	\$1,001-\$15,000	\$201-\$1,000
Cap World Growth & Income Fund A	\$1,001-\$15,000	\$201-\$1,000
Cap Income Builder A	\$1,001-\$15,000	\$201-\$1,000
Income Fund of America A	\$15,001-\$50,000	\$201-\$1,000
Bond Fund of America-Class A	\$50,001-\$100,000	\$1,001-\$2500
Total	\$100,001-250,000	\$2,501-\$5,000

Spouse - JP Morgan Chase Retirement Fund

	Asset Range	Income 2012
Money Market Account	\$250,001-\$500,000	\$5,001-\$15,000

Candidate - Estate of Wesley L. Lance

	Candidate Share Asset Range	Income 2012
Candidate has 50% share in all underlying assets still undistributed at this time		
MetLife Inc Stock	\$1-\$1,000	\$1-\$200
Bank of America Stock	\$15,001-\$50,000	\$1-\$200
Total	\$15,001-\$50,000	\$1-\$200

Candidate - Estate of Mae Anderson

	Candidate Share Asset Range	Income 2012
Candidate has 50% share in all underlying assets still undistributed at this time, less fees dues Executor. Candidate is not the executor nor administrator.		
DWS Mutual Fund	\$15,001-\$50,000	\$201-\$1,000
Dreyfus Mutual Fund	\$15,001-\$50,000	\$201-\$1,000
Putnam Mutual Fund	\$15,001-\$50,000	\$201-\$1,000
Nuveen Mutual Fund	\$1,001-\$15,000	\$201-\$1,000
ATT Common Stock	\$15,001-\$50,000	\$1,001-\$2500
Comcast Common Stock	\$1,001-\$15,000	\$1-\$200
Verizon Common Stock	\$15,001-\$50,000	\$201-\$1,000
Vodafone Common Stock	\$1,001-\$15,000	\$201-\$1,000
Xcel Energy Common Stock	\$1,001-\$15,000	\$1-\$200
Frontier Communications Common Stock	\$1-\$1,000	\$1-\$200
Total	\$100,001-\$250,000	\$5,001-\$15,000

Spouse - Estate of Adele Rohrbach

	Spouse Share Asset Range	Income 2012
Spouse has 50% share in all underlying assets still undistributed at this time, less fees dues Executor. Candidate is not the executor nor administrator.		
Property at 905 Mill Rd East Aurora, NY	\$50,001-\$100,000	\$0

**Spouse- Stock Owned in her name transferred from
Estate of Adele Rohrbach**

General Mills Stock	\$15,001-\$50,000	\$201-\$1,000
John Hancock Annuity	\$15,001-\$50,000	\$201-\$1,000

Hon. Leonard Lance - NJ-07
Statement of Asset Purchases and Sales
Calendar Year 2012 Financial Disclosure Statement

<u>UBS Stock/Purchases - Member</u>		<u>Asset Range</u>
4/2/2012	Teton Westwood 731 sh	\$1,001-\$15,000
4/2/2012	NFJ Intl Value Fund 914 sh	\$15,001-\$50,000
4/2/2012	Oppenheimer Senior Floating Rate Fund 1785 sh	\$1,001-\$15,000
4/2/2012	Wells Fargo Advantage Growth 237 sh	\$1,001-\$15,000
4/2/2012	MFS Intl Growth Fund Class A 1536 sh	\$15,001-\$50,000
4/2/2012	PACE MMA Class P 11,413 sh	\$1,001-\$15,000
Total		\$100,001-\$250,000

<u>UBS Stock/Sales - Member</u>		<u>Asset Range</u>	<u>Gain</u>	<u>(Loss)</u>
1/5/2012	Alliance Bernstein Intl growth Fund	\$50,001-\$100,000		X
1/5/2012	American Funds Fundamental Investors Fund	\$50,001-\$100,000	X	
1/5/2012	American Funds Euro Pacific Growth Fund	\$15,001-\$50,000	X	
1/5/2012	FT Templeton Foreign Sm Co A	\$1,001-\$15,000		X
1/5/2012	Pace International Emerging Markets Fund	\$1,001-\$15,000		X
Total		\$100,001-\$250,000		