

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

Form A
For use by Members, officers, and employees

HAND DELIVERED

2011 JUN 14 AM 11:27

U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Name: Richard A. Berg

Daytime Telephone: 202-225-9575

Filer Status <input checked="" type="checkbox"/> Member of the U.S. House of Representatives <input type="checkbox"/> Officer or Employee <input type="checkbox"/> Termination	State: <u>MD</u> District: <u>At Large</u> Amendment: <input type="checkbox"/>	Employing Office: <input type="checkbox"/> Termination Date: <input type="checkbox"/>	A \$200 penalty shall be assessed against anyone who files more than 30 days late.
Report Type <input checked="" type="checkbox"/> Annual (May 16, 2011)			

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Name Richard A. Berg Page 1 of 1

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

[illegible]

SCHEDULE III—ASSETS AND “UNEARNED” INCOME

Name Richard H. Berg

Page 1 of 12

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in “unearned” income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.

BLOCK B

Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be “None.”

BLOCK C

Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the “None” column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check “None” if the asset generated no income during the reporting period.

BLOCK D

Amount of Income

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the “None” column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check “None” if no income was earned or generated.

BLOCK E

Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

			ment accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								
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			For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								
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*Property values reflect the filer's percentage interest. For additional assets and unearned income, use next page.

BLOCK A		BLOCK B											BLOCK C				BLOCK D											BLOCK E
Asset and/or Income Source		Year-End Value of Asset											Type of Income				Amount of Income											Transaction
SP	DC	A	C	E	G	I	K	NONE	RENT	CAPITAL GAINS	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	III	V	VII	IX	X	XI										
JT		None	\$1,001 – \$15,000	\$50,001 – \$100,000	\$250,001 – \$500,000	\$1,000,001 – \$5,000,000	\$25,000,001 – \$50,000,000					None	\$1 – \$200	\$201 – \$1,000	\$1,001 – \$2,500	\$2,501 – \$5,000	\$5,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$1,000,000	\$1,000,001 – \$5,000,000	Over \$5,000,000						
SP											S Corp Net Income																	
											S Corp Net Income																	
											Partnership Net Income																	
											LLC Net Income																	
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*Property values reflect the filer's percentage interest

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Richard A. Berg

Page 3 of 10

BLOCK A		BLOCK B											BLOCK C				BLOCK D											BLOCK E
Asset and/or Income Source		Year-End Value of Asset											Type of Income				Amount of Income											Transaction
SP	DC	A	C	E	G	I	K	NONE	RENT	CAPITAL GAINS	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	III	V	VII	IX	X	XI										
		None	\$1,001 - \$15,000	\$50,001 - \$100,000	\$250,001 - \$500,000	\$1,000,001 - \$5,000,000	\$25,000,001 - \$50,000,000	NONE																				

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*Property values reflect the filer's percentage interest

SCHEDULE III—ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name Richard A. Berg

Page 4 of 10

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset							BLOCK C Type of Income				BLOCK D Amount of Income										BLOCK E Transaction
	A	C	E	G	I	K						I	III	V	VII	IX	XI					
	None	\$1,001 – \$15,000	\$50,001 – \$100,000	\$250,001 – \$500,000	\$1,000,001 – \$5,000,000	\$25,000,001 – \$50,000,000	NONE	RENT	CAPITAL GAINS	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	\$1 – \$200	\$201 – \$1,000	\$1,001 – \$2,500	\$2,501 – \$5,000	\$5,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$1,000,000	\$1,000,001 – \$5,000,000	Over \$5,000,000	
INAEIT Properties LLC					X					Partnership Net Income						X						
Minot, ND - Real Estate																						
Northern Plains Capital				X									X									
SBT Investments, LLC																						
Mercurius Apartments -				X																		
Apt. Building - Horace ND																						
Summit Partners LLP					X																	
Apt. Building - Fargo, ND																						
Remittance Ventures LLC													X									
Fargo ND - Investments in businesses																						
Braaten Apartments, LLC																						
Apt. Building - West Fargo ND																						
SG Five LLP - Fargo ND - sign																						
Timberg Partners LLP																						
Comm Bldg #1 - Fargo, ND					X																	
Comm. Bldg. #2 - Fargo, ND																						
Westwind Properties, LLP																						
Apt. Bldg. #1 - West Fargo, ND																						
Apt. Bldg. #2 - Fargo, ND				X																		
Apt. Bldg. #3 - Fargo, ND																						

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*Property values reflect the Filer's percentage interest

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Richard A. Berg

Page 5 of 10

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income				BLOCK D Amount of Income											BLOCK E Transaction
	A	C	E	G	I	K	NONE	RENT	CAPITAL GAINS	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	III	V	VII	IX	XI											
	None	\$1,001 – \$15,000	\$50,001 – \$100,000	\$250,001 – \$500,000	\$1,000,001 – \$5,000,000	\$25,000,001 – \$50,000,000					None	\$1 – \$200	\$201 – \$1,000	\$1,001 – \$5,000	\$5,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$1,000,000	\$1,000,001 – \$5,000,000	Over \$5,000,000							
Westwind Properties, LLP: (co-op)																											
Apt. Bldg. #4 - Fargo, ND																											
Apt. Bldg. #5 - Fargo, ND																											
Apt. Bldg. #6 - Fargo, ND																											
Apt. Bldg. #7 - Fargo, ND																											
Westwind Properties II, LLP:																											
Apt. Bldg. #1 - Moorhead, MN																											
Apt. Bldg. #2 - Moorhead, MN																											
Apt. Bldg. #3 - Moorhead, MN																											
Wheelerberg Partners, LLP:																											
Fargo, ND - Airplane																											
Fargo, ND - Land																											
Farm House Partners, LLP:																											
Apt. Bldg. - Fargo, ND																											
High Point Partners LLP:																											
Apt. Bldg. - Fargo, ND																											
Red Gate Apartments, LLP:																											
Apt. Bldg. #1 - West Fargo, ND																											
Apt. Bldg. #2 - West Fargo, ND																											

P, S, E

P

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*Property values reflect the filer's percentage interest

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Richard A. Berg

Page 6 of 10

BLOCK A		BLOCK B							BLOCK C				BLOCK D											BLOCK E
Asset and/or Income Source		Year-End Value of Asset							Type of Income				Amount of Income											Transaction
SP	DC, JT	A	C	E	G	I	K	NONE	RENT	CAPITAL GAINS	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	P, S, W	
		None	\$1,001 – \$15,000	\$50,001 – \$100,000	\$250,001 – \$500,000	\$1,000,001 – \$5,000,000	\$25,000,001 – \$50,000,000																	
GF Corporation:																								
Comm. Bldg. #1 - Fargo, ND					X				X															
Comm. Bldg. #2 - Fargo ND									X															
Goldmark Schlossman Commercial Real Estate Services, Inc. - Fargo ND											SC Partnership Net Income													
TAG, LLC:																								
Comm. Bldg. #1 - Fargo, ND									X															
Comm. Bldg. #2 - Fargo, ND									X															
SP ND Natural Beef, LLC											LLC Net Income													
Fargo, ND - Beef Processor									X															
SP Wells Fargo Bank Acct									X															
Kennwood Reservoir Apartments, LLC																								
Apt. Bldg. #1 - Des Moines, IA									X															
Apt. Bldg. #2 - Des Moines, IA									X															
1530 Partners LLP:																								
Comm. Bldg. - West Fargo, ND									X															
Ag Foundation Building LLP:									X															
Rental Comm. Bldg. - Bismarck, ND									X															
Case Plaza, LLC:									X															
Comm. Bldg. - Fargo, ND									X															
Northwestern Mutual, L.P.									X															
Cash Value - Life Ins. (Whole Life)																								

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*Property values reflect the filer's percentage interest

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Richard A. Berg

Page 2 of 10

Continuation Sheet (if needed)

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset						BLOCK C Type of Income	BLOCK D Amount of Income						BLOCK E Transaction
		A None	C \$1,001 – \$15,000	E \$50,001 – \$100,000	G \$250,001 – \$500,000	I \$1,000,001 – \$5,000,000	K \$25,000,001 – \$50,000,000		I None	III \$201 – \$1,000	V \$2,501 – \$5,000	VII \$15,001 – \$50,000	IX \$100,001 – \$1,000,000	XI Over \$5,000,000	
	<i>Midland Insurance</i>														
	<i>Cashblue - Life Ins. (Unvested)</i>														
	<i>Waddell + Reed - Sec 529 Plan</i>		X												
	<i>Old Abe Capital, LLP:</i>														
	<i>Comm. Bldg. #1 - Arthon, IA</i>			X											
	<i>" #3 - Bismarck, ND</i>														
	<i>" #3 - Blairtown, IA</i>														
	<i>" #4 - Britton, SD</i>														
	<i>" #5 - Casselton, ND</i>														
	<i>" #6 - Des Moines, IA</i>														
	<i>" #7 - Elbow Lake, MN</i>														
	<i>" #8 - Fergus Falls, MN</i>														
	<i>" #9 - Graceville, MN</i>														
	<i>" #10 - Grand Forks, ND</i>														
	<i>" #11 - Grand Center, SD</i>														
	<i>" #12 - Highmore, SD</i>														
	<i>" #13 - Huron, SD</i>														
	<i>" #14 - Kulm, ND</i>														
	<i>" #15 - Lamoure, ND</i>														
	<i>" #16 - Lidgerwood, ND</i>														
	<i>" #17 - Lincoln, NE</i>														

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*Property values reflect the filer's percentage interest

BLOCK A		BLOCK B						BLOCK C				BLOCK D											BLOCK E
Asset and/or Income Source		Year-End Value of Asset						Type of Income				Amount of Income											Transaction
SP, DC, JT		A None	C \$1,001 - \$15,000	E \$50,001 - \$100,000	G \$250,001 - \$500,000	I \$1,000,001 - \$5,000,000	K \$25,000,001 - \$50,000,000	NONE	RENT	CAPITAL GAINS	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	III \$201 - \$1,000	V \$2,501 - \$5,000	VII \$15,001 - \$50,000	IX \$100,001 - \$1,000,000	XI Over \$5,000,000						
	Old Abe Capital, LLP (cont)																						
	Comm. Bldg. #18 - Lisbon, NH								X														
	" #19 - Mauden, VT								X														
	" #20 - Marshfield, VT								X														
	" #21 - Miller, SD								X														
	" #22 - Moorhead, MN								X														
	" #23 - Pipestone, MN								X														
	" #24 - Richland, SD								X														
	" #25 - Roseau, MN								X														
	" #26 - Sioux City, IA								X														
	" #27 - Sioux Falls, SD								X														
	" #28 - Wahpeton, ND								X														
	" #29 - Wausau, WI								X														
	" #30 - Winger, MN								X														
	" #31 - Wishek, ND								X														
	" #32 - Crookston, MN								X														
	" #33 - Pella, IA								X														
	" #34 - Leavenworth, KS								X														
	" #35 - Okaloosa, FL								X														
	" #36 - Iowa Falls, IA								X														
	" #37 - West Fargo, ND								X														

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*Property values reflect the filer's percentage interest

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name *Richard A. Berg*

Page *9* of *10*

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset						BLOCK C Type of Income				BLOCK D Amount of Income						BLOCK E Transaction
	A	C	E	G	I	K	NONE	RENT	CAPITAL GAINS	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	III	V	VII	IX	XI	
SP, DC, JT	None	\$1,001 – \$15,000	\$50,001 – \$100,000	\$250,001 – \$500,000	\$1,000,001 – \$5,000,000	\$25,000,001 – \$50,000,000					None	\$201 – \$1,000	\$2,501 – \$5,000	\$15,001 – \$50,000	\$100,001 – \$1,000,000	Over \$5,000,000	P, S, E
<i>Skyview Partners, LLP:</i>																	
<i>Apt. Bldg. #1 – Fargo, ND</i>				X				X									
<i>" #2 – Fargo, ND</i>				X				X									
<i>" #3 – Fargo, ND</i>								X									
<i>" #4 – Fargo, ND</i>								X									
<i>" #5 – Fargo, ND</i>								X									
<i>" #6 – Fargo, ND</i>								X									
<i>Amber Holdings LLP:</i>																	
<i>Comm. Bldg. – Fargo, ND</i>					X			X									
<i>R J O'Brien Account</i>																	
<i>JJR Properties LLP:</i>																	
<i>Apt. Bldg. – Fargo, ND</i>					X			X									
<i>714 Partners LLP</i>																	
<i>Fargo, ND – Airplane</i>																	
<i>TRE, LLC:</i>																	
<i>Grand Forks, ND Real Estate</i>																	

*Property values reflect the filer's percentage interest
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Page 10 of 10

Page 10 of 10

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
	A None	I None	I None	
	C \$1,001 – \$15,000	III \$201 – \$1,000	III \$201 – \$1,000	
	E \$50,001 – \$100,000	V \$2,501 – \$5,000	V \$2,501 – \$5,000	
	G \$250,001 – \$500,000	VII \$15,001 – \$50,000	VII \$15,001 – \$50,000	
	I \$1,000,001 – \$5,000,000	IX \$100,001 – \$1,000,000	IX \$100,001 – \$1,000,000	
	K \$25,000,001 – \$50,000,000	XI Over \$5,000,000	XI Over \$5,000,000	
	NONE	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)		
	RENT			
	CAPITAL GAINS			
Toasted Frog Pub & Restaurant LLC		LLC Net Income		E
Sand Forks, ND - Restaurant		LLC Net Income		E
Bauer Private Equity Fund I		LLC Net Income		P
Big Bend Development LLP		Partnership Net Income		P
Twin Homes - Washburn, ND		Partnership Net Income		E
Kilbourne Design Group, LLP		Partnership Net Income		E
Fargo, ND - Real Estate		Partnership Net Income		E
Bauer Private Equity Fund III		LLC Net Income		E
Bauer Private Equity Fund IV		LLC Net Income		E
Camping Adventures, LLP		LLC Net Income		E
Loan to Lori Thach Fargo, ND				
Loan to Jim Frisk Fargo, ND				
Loan to Ag Foundation Building, SD				
Bismarck, ND				

2

SCHEDULE IV—TRANSACTIONS

Name Richard A. Berg Page 1 of 2

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

SP, DC, JT	Asset	Type of Transaction	Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction									
SP	Example: Mega Corporation Common Stock (partial sale)	SALE		10-12-10										
	Dakota Growers Pasta Co., Inc.	X	X	05-03-10										
SP	Tracy Martin Enterprises, Ltd.			2010 Corp. Liquidation										
	Morgan Stanley Smith Barney	X	X											
	2 Accounts - See Attached Stmt.													
	UBS Financial Services Account	X	X											
	UBS - SEP Account	X												
SP	UBS - SEP Account	X	X											
	Bauer Private Equity Fund II			2010 LLC Liquidation										
	(Assets transferred to Bauer Private Equity Fund III, LLC)													
	Kilbourne Design Group, LLP			10-21-10 Liquidation										
	O.99414% interest - Bauer Private			2810 Purchase										
	Equity Fund III			05-03-10										
	4.244% interest - Old Abe Capital LLC			4-12-15-10										
	Farmhouse Partners LLP			9-1-10										
	Apt. Bldg. Fargo, ND													

SCHEDULE IV—TRANSACTIONS

Name Richard A. Berg

Page 2 of 2

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

SP, DC, JT SP	Asset	Type of Transaction	Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction									
					A \$0-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000
Example:	Mega Corporation Common Stock (partial sale)	SALE		10-12-10		X								
SP	401(k) Plan Account - 3,187.961 uni													
	SBT Income with Growth			Various 2010		X								
	SBT Investments Account	X	X		See Attached Statements									
	R.J. O'Brien Account	X			See Attached Statements									
	Big Bend Development LLP			6-1-10			X							
	Twin Home, Wahburn ND			8-1-10				X						
	Twin Home, Wahburn ND													
	Old Abe Capital, LLP													
	Comm. Bldg. #6 - Des Moines, IA			4-29-10					X					
	Comm. Bldg. #33 - Pell, IA			6-9-10						X				
	Comm. Bldg. #34 - Centerville, IA			8-2-10					X					
	Comm. Bldg. #35 - Osaka, IA			10-15-10			X							
	Comm. Bldg. #36 - Iowa Falls, IA			12-1-10				X						
	Comm Bldg #37 - West Fargo, ND			6-1-10							X			

SCHEDULE V— LIABILITIES

Name Richard A. Berg

Page 1 of 2

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability									
				B	C	D	E	F	G	H	I	J	
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000	
SP	Wells Fargo Equip. Finance	June 2009	Farm Equipment Loan	X									
	Starion Financial	Feb. 2009	Business Line of Credit				X						
SP	Wells Fargo Bank	Aug. 2000	Business Line of Credit	X									
	Ramsey Bank	Feb. 2005	Business & Real Estate Loans						X				
	State Bank & Trust	At 2005	Business Loans					X					

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totaling more than \$335 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	\$345
	N/A - New Member	

SCHEDULE V— LIABILITIES

Name Richard A. Berg

Page 2 of 2

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability					
				B \$15,001-\$50,000	D \$100,001-\$250,000	F \$500,001-\$1,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000
Example:	First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE		X				
	Bremer Bank	June 2007	Business Loan		X				
	Liabilities of business entities and mortgages/loans on real estate are not included pursuant to specific reporting instructions - page 25.								
	investment property held in a partnership, LLC or corporation								

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	\$345
	N/A	

Page 1 of 1

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

19

SCHEDULE VIII—POSITIONS

Name Richard A. Berg

Page 1 of —

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Partner	See Attachment Listing Partnerships
Member in Limited Liability Company	See Attachment Listing LLCs
Treasurer/Director	Goldmark Schlossman Commercial Real Estate Services, Inc.
Director	GF Corporation
Director	Northern Plains Capital Corp.

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

Use additional sheets if more space is required.

Member in LLCs (Limited Liability Companies)

Attachment	Partner in Partnerships	Member in LLCs (Limited Liability Companies)
3		
4		
5	Partner in Partnerships	Member in LLCs (Limited Liability Companies)
6		
7	Goldmark Schlossman VC Partners	Intelligent Insites, LLC
8	INARIT Properties, LLP	Ntractive, LLC
9	Marcellus Apartments	Quality Dairy Growers, LLC
10	Summit Partners LLP	Renaissance Ventures, LLC
11	Braaten Apartments, LLP	TAG, LLC
12	5G Five LLP	ND Natural Beef, LLC
13	Jimberg Partners LLP	Case Plaza LLC
14	Westwind Properties, LLP	TFRE, LLC
15	Westwind Properties II, LLP	Toasted Frog West LLC
16	Wheelberg Partners LLP	
17	Fay Land Partners, LLP	
18	Farm House Partners	
19	High Point Partners LLP	
20	Red Gate Apartments LLP	
21	Kenwood - Rosewood Apartments LLP	
22	1520 Partners LLP	
23	Ag Foundation Building LLP	
24	Old Abe Capital, LLP	
25	Skyview Partners LLP	
26	Amber Holdings LLP	
27	JJR Properties LLP	
28	714 Partners LLP	
29	Bauer Private Equity Fund I	
30	" " " " " "	
31	" " " " " "	
32	Big Bend Development, LLP	
33	Kilbourne Design Group, LLP	
34	Cow Share LLP	
35	Camping Adventures, LLP	
36		
37		
38		
39		
40		

MorganStanley SmithBarney

Client Statement 2010 Year End Summary

RICHARD A BERG
Account Number

We are pleased to provide you with this overview of activity in your account this year. This information will help you understand the performance of your investments and manage your account with the assistance of your Financial Advisor. This information is not provided to the IRS.

Value of your portfolio

Description	Amount
Value of your account on 12/31/10	\$ 0.00
Value of your account on 12/31/09	\$ 11,000.00

Earnings summary 2010

Due to IRS reporting requirements, these figures may not be the same as totals reported on your monthly statement.

Description	1099 Reported	1099 Exempt	Total
Other dividends **	\$ 28.11		\$ 28.11
Qualified dividends	1,618.28		1,618.28
Totals	\$ 1,646.39		\$ 1,646.39

**If you received money funds earnings, capital gain distributions or non-taxable distributions, they are included in this amount.

Summary of miscellaneous tax withheld 2010

The following taxes have been withheld in compliance with U.S. federal, state or foreign regulations.

Description	Amount
Foreign tax paid	\$ 17.11
Total	\$ 17.11

2010 YEAR END SUMMARY



MorganStanley
SmithBarney

Client Statement
2010 Year End Summary

RICHARD A BERG
Account Number

Details of 1099 Reported Dividends and Distributions 2010, Boxes 1a - 2d

The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

Reference Number	CUSIP Description	Total Ordinary Dividends Dividends* (Box 1a)	Short term capital gain* (Box 1a)	Qualified dividends (Box 1b)	Total capital gain distributions (Box 2a)	Unrecaptured capital gain (Box 2b)	Section 1202 gain (Box 2c)	Collectibles (28%) gain (Box 2d)
150000100	*** ALLIED WORLD ASSURANCE CO HLDGS LTD	\$ 4.00		\$ 4.00				
150000200	G2554F105001 *** COVIDIEN PLC DUBLIN-USD	5.40		5.40				
150000300	H6812P108001 *** TYCO ELECTRONICS LTD A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	6.00		6.00				
150000400	H68128104001 *** TYCO INTL LTD CHF	4.39		4.39				
150000500	00206R102000 AT&T INC	37.80		37.80				
150000600	032511107000 ANADARKO PETROLEUM CORP	7.20		7.20				
150000700	036222105000 APPLIED MATERIALS INC DELAWARE	11.70		11.70				
150000800	057224107000 BAKER HUGHES INC	9.00		9.00				
150000900	090505104000 BANK OF AMERICA CORP	1.30		1.30				
150001000	088606108001 *** BHP BILLITON LTD SPONS ADR	5.88		5.88				
150001100	09247X101000 BLACKROCK INC	6.00		6.00				
150001200	087023105000 BOEING CO	12.80		12.80				
150001400	126850100000 CVS CAREMARK CORP	7.35		7.35				

2010 YEAR END SUMMARY



Morgan Stanley Smith Barney

Client Statement 2010 Year End Summary

RICHARD A BERG
Account Number

Details of 1099 Reported Dividends and Distributions 2010, Boxes 1a - 2d, continued

Reference Number	CUSIP Description	Total Ordinary Dividends Dividend* (Box 1a)	Short Term Capital Gain* (Box 1b)	Qualified Dividends (Box 1c)	Total Capital Gain Distributions (Box 2a)	Unrecaptured Sec. 1250 Gain (Box 2b)	Section 1202 Gain (Box 2c)	Collectibles (28% gain) (Box 2d)
150001500	12886C108000 CABLEVISION SYSTEMS CORP CABLEVISION NY GROUP CL A	\$ 9.00		\$ 9.00				
150001800	143658300001 *** CARNIVAL CORP (PAIRED STOCK)	3.00		3.00				
150001700	171232101000 CHUBB CORP	27.25		27.25				
150001800	181216100000 Coca-Cola CO	26.40		26.40				
150001800	20030N200000 COMCAST CORP CL A - SPL	26.76		26.76				
150002000	20625C104000 CONOCOPHILLIPS	32.00		32.00				
150002100	244189105000 DEERE & CO	17.20		17.20				
150002200	25178M103000 DEVON ENERGY CORP NEW	5.12		5.12				
150002300	254687106000 WALT DISNEY CO	26.00		26.00				
150002400	260003108000 DOVER CORP	7.80		7.80				
150002500	281011104000 EMERSON ELECTRIC CO	18.43		18.43				
150002600	294821608001 *** ERICSSON L M TEL CO CL B ADR NEW -USD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	30.54		30.54				
150002700	30212P105000 EXPEDIA INC	6.16		6.16				
150002800	343412102000 FLUOR CORP NEW	9.39		9.39				
150002900	354613101000 FRANKLIN RESOURCES INC	3.30		3.30				

2010 YEAR END SUMMARY



MorganStanley
SmithBarney

Ref: 00000811 00016558

Client Statement
2010 Year End Summary

Page 12 of 37

RICHARD A BERG
Account Number

Details of 1099 Reported Dividends and Distributions 2010 Boxes 1a - 2d (continued)

Reference number	CUSIP Description	Total Ordinary Dividends Dividends* (Box 1a)	Short term capital gain* (Box 1b)	Qualified dividends (Box 1c)	Total capital gain distributions (Box 2a)	Unrecaptured Sec. 1220 gain (Box 2b)	Section 1202 gain (Box 2c)	Collectibles (28%) gain (Box 2d)
150003000	35671D657000 FREEPORT MCMORAN COPPER & GOLD	\$ 7.20		\$ 7.20				
CL B								
150003100	364780106000 GAP INC DELAWARE	9.96		9.96				
150003200	368604109000 GENERAL ELECTRIC CO	16.00		16.00				
150003300	37733W106001 *** GLAXOSMITHKLINE PLC SP ADR	22.37		22.37				
150003400	406216101000 HALLIBURTON CO HOLDINGS CO	3.90		3.90				
150003500	42608H107000 HESS CORP	6.00		6.00				
150003600	437076102000 HOME DEPOT INC	36.82		36.82				
150003700	438316106000 HONEYWELL INTL INC	15.12		15.12				
150003800	458140100000 INTEL CORP	16.53		16.53				
150003900	46625H100000 JPMORGAN CHASE & CO	6.00		6.00				
150004000	476160104000 JOHNSON & JOHNSON	48.35		48.35				
150004100	48000Q107000 JONES LANG LASALLE INC	1.50		1.50				
150004200	48826T108000 KEYCORP -NEW	1.90		1.90				
150004300	502424104000 L 3 COMMUNICATIONS HLDGS INC	16.00		16.00				
150004400	5179A2108000 LASALLE HOTEL PTYS SBI	.26						
150004500	571803202000 MARRIOTT INTL INC NEW CL A	.40		.40				
150004600	58633Y105000 MERCK & CO INC NEW	51.30		51.30				

150004600 58633Y105000 MERCK & CO INC NEW

MorganStanley SmithBarney

Client Statement 2010 Year End Summary

RICHARD A BERG
Account Number

Details of 1099 Reported Dividends and Distributions 2010, Boxes 1a - 2d continued

Reference number	CUSIP Description	Total Ordinary Dividends Dividends* (Box 1a)	Short term capital gain* (Box 1b)	Qualified dividends (Box 1b)	Total capital gain distributions (Box 2a)	Unrecaptured Sec. 1250 gain (Box 2b)	Section 1202 gain (Box 2c)	Collectibles (28%) gain (Box 2d)
150004700	384818104000 MICROSOFT CORP	\$ 19.50		\$ 19.50				
150004800	81186W107000 MONSANTO CO NEW	4.25		4.25				
150004900	817448448000 MORGAN STANLEY	6.00		6.00				
150005000	828717102000 MURPHY OIL CORP	1.00		1.00				
150005100	837071101000 NATIONAL OILWELL VARCO INC	3.00		3.00				
150005200	855044105000 NOBLE ENERGY INC	1.44		1.44				
150005300	868807102000 NORTHROP GRUMMAN CORP	9.00		9.00				
150005400	88887V108001 ** NOVARTIS AG ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	48.71		48.71				
150005500	870348105000 NUCOR CORP	27.00		27.00				
150005600	886428307000 PALL CORP	12.00		12.00				
150005700	701084104000 PARKER-HANNIFIN CORP	2.04		2.04				
150005800	713448109000 PEPSICO INC	27.80		27.80				
150005900	742718109000 PROCTER & GAMBLE CO	28.06		28.06				
150006000	747583103000 QUALCOMM INC	9.00		9.00				
150006100	770323103000 ROBERT HALF INTERNATIONAL INC	1.30		1.30				

2010 YEAR END SUMMARY

**MorganStanley
SmithBarney**

**Client Statement
2010 Year End Summary**

**RICHARD A BERG
Account Number**

Details of 1999 Reported Dividends and Distributions 2010 Boxes 1a-2d continued

Reference Number	CUSIP Description	Total Ordinary Dividends Dividends* (Box 1a)	Short term capital gain* (Box 1b)	Qualified dividends (Box 1b)	Total capital gain distributions (Box 2a)	Unrecaptured Sec. 1250 gain (Box 2b)	Section 1202 gain (Box 2c)	Collectibles (28%) gain (Box 2d)
150008200	77118104001 *** ROCHE HLDG LTD SPON ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	\$ 34.78		\$ 34.78				
150008300	78651428000 SAFEWAY INC NEW	20.80		20.80				
150008400	80865108001 *** SCHLUMBERGER LTD	5.25		5.25				
150008500	808513105000 SCHWAB CHARLES CORP	4.20		4.20				
150008600	867477103000 STATE STREET CORP	1.05		1.05				
150008700	862508104000 TEXAS INSTRUMENTS INC	37.80		37.80				
150008800	90478704001 *** UNILEVER PLC SPONS ADR NEW	24.67		24.67				
150008900	812808108000 UNITED STATES STEEL CORP NEW	1.75		1.75				
150007000	81324P102000 UNITEDHEALTH GROUP INC	8.30		8.30				
150007100	82828CA38000 VISA INC COM CL A	.25		.25				
150007200	82657VZ08001 *** VODAFONE GROUP PLC SPONS ADR NEW	53.27		53.27				

2010 YEAR END SUMMARY

**MorganStanley
SmithBarney**

**Client Statement
2010 Year End Summary**

RICHARD A BERG
Account Number

Details of 1099 Reported Dividends and Distributions 2010, Boxes 1a - 2d continued

Reference number	CUSIP Description	Total Ordinary Dividends Dividends* (Box 1a)	Short term capital gain* (Box 1a)	Qualified dividends (Box 1b)	Total capital gain distributions (Box 2a)	Unrecaptured Sec. 1250 gain (Box 2b)	Section 1202 gain (Box 2c)	Collectibles (28%) gain (Box 2d)
150007300	96218610A000 WEYERHAEUSER CO THE AMOUNT REPORTED INCLUDES THE VALUE OF THE FOLLOWING STOCK DISTRIBUTION 08/07/10 39 SHRS @ \$ 15.5400	\$ 587.26		\$ 587.26	\$ 12.89			
	A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED							
150007400	96385X10B000 XTO ENERGY INC	3.13		3.13				
Totals		\$ 1,618.56		\$ 1,618.28	\$ 12.89			

* The total of these two categories equals the amount reported in Form 1099-DIV, Box 1a.

Please note:

- "Dividends" includes both Qualified and non-qualified dividends received.
- "Total capital gain distributions" is a total of long term capital gain distributions and the amounts reported in Boxes 2b through 2d.

2010 YEAR END SUMMARY

MorganStanley
SmithBarney

Client Statement
2010 Year End Summary

RICHARD A BERG
Account Number

Details of 1099 Reported Dividends and Distributions 2010 Boxes 3 - 9

The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

Reference Number	CUSIP Description	Nondividend distributions (Box 3)	Federal income tax withheld (Box 4)	Investment expenses (Box 5)	Foreign tax paid (Box 6)	Cash liquidation distributions (Box 7)	Non cash liquidation distributions (Box 8)
150001300	111320107000 BROADCOM CORP CL A A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	\$ 4.00					
150002800	264621608001 *** ERICSSON L M TEL CO CL B ADR NEW -USD- THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT						
150005400	86987V109001 *** NOVARTIS AG ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT						
150006200	77195104001 *** ROCHE HLDG LTD SPON ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED						
150007300	862199104000 WEYERHAEUSER CO THE AMOUNT REPORTED INCLUDES THE VALUE OF THE FOLLOWING STOCK DISTRIBUTION 08/01/10 36 SHRS @ \$ 15.5400 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	10.84					
Totals		\$ 14.84					

\$ 17.11

2010 YEAR END SUMMARY



MorganStanley SmithBarney

Client Statement 2010 Year End Summary

Page 18 of 37

RICHARD A BERG
Account Number

Details of Short Term Gain (Loss) 2010

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000040	17	WEATHERFORD INTERNATIONAL LTD.-CHF MorganStanley SmithBarney LLC acted as your agent	01/26/10	08/05/10	\$ 277.95	\$ 272.81		\$ 5.14
125000070	1	AGCO CORP MorganStanley SmithBarney LLC acted as your agent	12/02/09	08/05/10	36.15	32.15		4.03
	4	MorganStanley SmithBarney LLC acted as your agent	12/03/09		144.72	128.99		15.73
	3	acted as your agent	12/04/09		108.54	96.98		11.56
	1	In this transaction.	12/05/09		36.17	30.94		5.23
125000090	5	AKAMAI TECHNOLOGIES INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/18/09	08/05/10	193.99	89.22		104.77
125000130	5	AMGEN INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	05/04/10	08/05/10	276.89	285.46	(6.57)	
125000170	4	APACHE CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	07/23/10	08/05/10	367.71	367.56		20.13
125000180	20	APPLIED MATERIALS INC DELAWARE MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/05/09	08/05/10	236.39	276.32	(39.93)	
125000220	15	BAKER HUGHES INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	12/31/09	08/05/10	636.86	610.44		26.44
125000260	5	BHP BILLITON LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent	12/23/09	08/05/10	375.49	376.93	(1.44)	

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Page 18 of 37

Ref: 00000611 00018565

RICHARD A BERG
Account Number

2010 YEAR END SUMMARY

MorganStanley SmithBarney

Ref: 00000811 00016596

Client Statement 2010 Year End Summary

Page 20 of 37

RICHARD A BERG
Account Number

Details of Short Term Gain (Loss) 2010

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000800	3	FIRST SOLAR INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	03/18/10	08/05/10	\$ 382.39	\$ 344.84		\$ 47.55
125000880	15	GLAXOSMITHKLINE PLC SP ADR MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/20/10	08/05/10	\$40.74	630.31	(68.57)	
125000700	20	HALLIBURTON CO HOLDINGS CO MorganStanley SmithBarney LLC acted as your agent In this transaction.	12/31/09	08/05/10	615.88	607.28		8.72
125000710	10	HESS CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/05/09	08/05/10	561.86	551.82		10.36
125000780	4	JACOBS ENGINEERING GROUP INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/17/09	08/05/10	146.19	170.10	(23.91)	
125000820	55	KEYCORP -NEW MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/28/09	08/05/10	480.34	306.88		153.46
125000820	2	MATTEL INC DE MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/15/09	08/05/10	43.44	38.00		4.44
	4		10/20/09		86.88	79.87		7.01
	3		10/21/09		65.16	58.24		5.92
	2		10/22/09		43.44	39.50		3.94
	4		10/23/09		86.88	78.86		8.02
	5		11/03/09		108.59	95.00		13.59
125000860	2	MONSANTO CO NEW MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/21/10	08/05/10	119.86	162.21	(42.35)	
	2		01/28/10		119.86	154.50	(34.64)	
	1		02/05/10		119.86	153.08	(33.22)	
	2		03/09/10		59.83	71.87	(11.74)	
	2		08/07/10		119.85	117.83		1.92
125000870	4	MORGAN STANLEY MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/01/09	08/05/10	110.24	122.51	(12.27)	
	12		11/04/09		110.24	127.75	(17.51)	
	20		01/13/10		330.71	376.88	(46.17)	
			07/21/10		551.19	583.42	(32.23)	

2010 YEAR END SUMMARY

MorganStanley SmithBarney

Client Statement 2010 Year End Summary

Page 21 of 37

Ref: 00000611 00016567

RICHARD A BERG
Account Number

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000860	5	NASDAQ OMX GROUP INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	12/02/09	08/05/10	\$ 99.94	\$ 97.37		\$ 2.57
125001010	2	NOBLE ENERGY INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/29/10	08/05/10	136.26	120.77		15.49
	2		06/30/10		136.26	121.66		14.58
	2		07/01/10		136.26	122.36		13.90
	2		07/02/10		136.25	123.65		12.60
125001040	2	NUCOR CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/10/09	08/05/10	80.06	83.57	(13.49)	
	5		12/24/09		200.20	235.19	(34.99)	
	3		12/26/09		120.11	143.37	(23.26)	
125001050	30	NVIDIA CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	05/04/10	08/05/10	290.79	442.23	(151.44)	
125001130	5	QUALCOMM INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/06/09	07/12/10	175.26	214.64	(39.38)	
	5		02/02/10		175.26	197.89	(22.63)	
125001160	10	ROCHE HLDG LTD SPON ADR MorganStanley SmithBarney LLC acted as your agent In this transaction.	02/02/10	08/05/10	336.88	421.28	(84.39)	
125001180	5	SAFARI INC NEW MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/28/09	08/05/10	103.70	97.50		6.20
	25		08/16/09		516.48	481.25		37.23
125001210	5	SCHLUMBERGER LTD MorganStanley SmithBarney LLC acted as your agent In this transaction.	12/30/09	08/05/10	312.74	327.40	(14.66)	
125001250	5	STATE STREET CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/21/09	08/05/10	189.85	233.70	(34.85)	
	5		11/27/09		189.84	202.53	(3.69)	

2010 YEAR END SUMMARY

MorganStanley SmithBarney

Client Statement 2010 Year End Summary

RICHARD A BERG
Account Number

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001280	1	THERMO FISHER SCIENTIFIC INC	06/10/10	06/05/10	\$ 45.14	\$ 51.29	(\$ 6.15)	
	3	MorganStanley SmithBarney LLC	06/11/10		135.41	154.85	(19.24)	
	2	acted as your agent	07/01/10		80.28	86.28	(5.86)	
in this transaction.								
125001310	2	UNITED STATES STEEL CORP NEW	12/22/09	06/05/10	85.48	105.32	(19.84)	
	3	MorganStanley SmithBarney LLC	12/23/09		143.22	162.85	(19.73)	
	4	acted as your agent	12/24/09		180.88	225.07	(34.11)	
	1	in this transaction.	12/28/09		47.74	52.97	(10.23)	
	5		01/28/10		238.70	255.58	(16.88)	
	5		02/17/10		239.88	253.51	(14.33)	
125001330	2	VARIAN SEMICONDUCTOR EQUIPMENT	04/08/09	02/16/10	82.28	43.87		18.42
		ASSOC'S INC						
MorganStanley SmithBarney LLC								
acted as your agent								
125001340	2	VARIAN SEMICONDUCTOR EQUIPMENT	04/08/09	02/16/10	82.29	43.87		18.42
		ASSOC'S INC						
MorganStanley SmithBarney LLC								
acted as your agent								
125001350	1	VARIAN SEMICONDUCTOR EQUIPMENT	04/08/09	02/16/10	31.15	21.83		9.22
		ASSOC'S INC						
MorganStanley SmithBarney LLC								
acted as your agent								
125001370	2	VISA INC COM CL A	05/08/10	08/05/10	144.80	165.82	(21.32)	
	2	MorganStanley SmithBarney LLC	05/13/10		144.80	173.82	(29.22)	
	5	acted as your agent	06/24/10		361.48	359.24		2.24
in this transaction.								
125001400	39	WEYERHAEUSER CO	07/22/10	08/10/10	827.73	808.05		21.68
		YAHOO INC	12/02/08	08/05/10	141.80	153.31	(11.71)	
MorganStanley SmithBarney LLC								
acted as your agent								
in this transaction.								
Total					\$ 19,188.04	\$ 19,026.24	(\$ 1,087.81)	\$ 1,220.81

2010 YEAR END SUMMARY

MorganStanley SmithBarney

Client Statement 2010 Year End Summary

Page 23 of 37

Ref: 00000811 00018586

RICHARD A BERG
Account Number

Details of Long Term Gain (Loss) 2010

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	10	ALLIED WORLD ASSURANCE CO HLDGS LTD MorganStanley SmithBarney LLC acted as your agent	08/09/07	08/05/10	\$ 512.28	\$ 443.81		\$ 68.48
125000020	7	COVIDIEN PLC DUBLIN-USD MorganStanley SmithBarney LLC acted as your agent	02/02/05	08/05/10	282.84	308.02	(45.18)	
	3	MorganStanley SmithBarney LLC acted as your agent	07/18/07		112.05	130.57	(17.82)	
125000030	5,1428	SEAGATE TECHNOLOGY IRELAND LTD.-CHF MorganStanley SmithBarney LLC acted as your agent	11/12/02	08/05/10	64.28	55.58	(7.45)	8.72
	8,8571	MorganStanley SmithBarney LLC acted as your agent	04/07/03		85.71	93.16	(375.76)	
	38	MorganStanley SmithBarney LLC acted as your agent	05/08/07		474.88	850.77		
125000040	80	WEATHERFORD INTERNATIONAL LTD.-CHF MorganStanley SmithBarney LLC acted as your agent	08/15/03	08/05/10	1,307.87	737.43		570.54
	23	MorganStanley SmithBarney LLC acted as your agent	04/01/08		378.04	263.45		112.59
125000050	7	TYCO ELECTRONICS LTD MorganStanley SmithBarney LLC acted as your agent	02/02/05	08/05/10	183.33	282.45	(89.12)	
	3	MorganStanley SmithBarney LLC acted as your agent	07/18/07		82.88	112.88	(30.13)	
	8	MorganStanley SmithBarney LLC acted as your agent	11/08/07		248.57	285.88	(47.32)	
	6	MorganStanley SmithBarney LLC acted as your agent	11/08/07		185.72	186.25	(30.53)	
125000060	7	TYCO INTL LTD CHF MorganStanley SmithBarney LLC acted as your agent	02/02/05	08/05/10	267.85	378.55	(110.60)	
		MorganStanley SmithBarney LLC acted as your agent						
125000080	5	AT&T INC MorganStanley SmithBarney LLC acted as your agent	08/12/09	08/05/10	132.80	124.43		8.37
	10	MorganStanley SmithBarney LLC acted as your agent	08/15/09		285.58	244.42		21.17
	10	MorganStanley SmithBarney LLC acted as your agent	08/16/09		265.58	243.71		21.88
	5	MorganStanley SmithBarney LLC acted as your agent	08/17/09		132.80	121.28		11.51
125000090	2	AKAMAI TECHNOLOGIES INC MorganStanley SmithBarney LLC acted as your agent	07/10/09	08/05/10	77.80	36.86		40.72
	3	MorganStanley SmithBarney LLC acted as your agent	07/13/09		116.40	58.11		60.29
	15	MorganStanley SmithBarney LLC acted as your agent	07/30/09		581.88	247.71		334.28

2010 YEAR END SUMMARY



MorganStanley SmithBarney

Client Statement 2010 Year End Summary

Page 24 of 37

RICHARD A BERG
Account Number

Details of Long Term Gain (Loss) 2010 continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	Loss	Gain
125000100	5	AMAZON COM INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	04/28/05	01/13/10	\$ 638.92	\$ 182.40		\$ 476.52
125000110	5	AMAZON COM INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	05/11/06	08/05/10	833.84	187.33		486.51
	10		09/28/06		1,287.67	324.06		943.61
125000120	5	AMGEN INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	11/10/03	08/10/10	289.52	296.88	(27.35)	
125000130	10	AMGEN INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	03/30/07	08/05/10	553.79	557.89	(4.20)	122.20
	10		04/15/08		553.79	431.59		
125000140	5	ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	05/16/02	08/30/10	180.32	132.35		47.97
125000150	5	ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	09/25/06	07/01/10	184.23	208.05	(23.82)	
125000180	10	ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	09/25/06	08/05/10	551.89	418.10		135.79
	20		12/28/06		1,103.78	879.54		225.24
125000180	10	APPLIED MATERIALS INC DELAWARE MorganStanley SmithBarney LLC acted as your agent In this transaction.	11/17/04	08/05/10	118.20	173.46	(55.26)	
	5		02/10/05		59.10	83.44	(24.34)	
	20		03/24/05		238.40	332.14	(85.74)	
	5		11/03/06		59.10	84.84	(25.74)	
	30		04/28/08		354.59	570.77	(216.16)	
125000180	10	AUTODESK INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	09/15/03	09/05/10	304.89	87.72		217.17
	30		09/15/03		914.65	263.16		651.52

2010 YEAR END SUMMARY



Morgan Stanley Smith Barney

Ref: 00000611 00016601

Client Statement 2010 Year End Summary

Page 26 of 37

RICHARD A BERG
Account Number

Details of Long Term Gain (Loss) 2010

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000200	5	THE BABCOCK & WILCOX COMPANY CASH IN LIEU OF .50000 RECORD 07/09/10 PAY 07/30/10	11/14/06	07/30/10	\$ 11.57	\$ 3.82		\$ 7.75
125000210	17	THE BABCOCK & WILCOX COMPANY Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	11/14/06	06/05/10	389.29	129.96		259.31
125000220	5	BAKER HUGHES INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	02/08/05	06/05/10	212.30	222.64	(10.34)	
125000230	65	BANK OF AMERICA CORP Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	05/20/06	06/05/10	915.16	769.49		125.69
125000240	15 5	BED BATH & BEYOND Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/12/05 05/03/05 03/06/06	06/05/10	576.86 182.30 182.26	605.26 185.36 140.47	(28.37)	6.94 51.82
125000250	30	BERKSHIRE HATHAWAY INC CL B Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	08/15/03	01/27/10	2,122.52	1,494.31		628.21
125000260	5	BERKSHIRE HATHAWAY INC CL B Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	08/15/03	05/21/10	361.22	248.05		112.17
125000270	15	BERKSHIRE HATHAWAY INC CL B Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	08/15/03	06/05/10	1,202.52	747.16		455.36
125000280	2	BHP BILLITON LTD SPONS ADR Morgan Stanley Smith Barney LLC acted as your agent	03/25/06	06/05/10	150.20	91.23		58.97

2010 YEAR END SUMMARY



MorganStanley SmithBarney

Client Statement 2010 Year End Summary

Page 28 of 37

RICHARD A BERG
Account Number

Details of Long Term Gain (Loss) 2010 continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000280	30	BIOGEN IDEC INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/15/03 01/08/04	08/05/10	\$ 1,722.27 861.13	\$ 1,067.50 611.90		\$ 654.77 249.23
125000300	1	BLACKROCK INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	03/20/09 03/30/09 04/08/09	08/05/10	159.50 159.50 159.50	114.34 128.04 128.52		45.16 33.46 30.98
125000310	10	BOEING CO MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/25/05	08/05/10	888.78	687.46		21.32
125000320	5	BROADCOM CORP CL A MorganStanley SmithBarney LLC acted as your agent In this transaction.	07/12/06 07/21/06 11/07/07	08/05/10	182.30 546.89 182.28	136.83 R 355.15 R 165.35 R		45.47 191.74 16.94
125000330	8	CVS CAREMARK CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/22/08 10/28/08 11/06/08 01/08/09 05/28/09	08/05/10	272.51 30.28 151.40 151.40 151.40	257.85 25.99 149.83 130.98 148.74		14.66 4.29 1.57 20.44 4.66
125000340	40	CABLEVISION SYSTEMS CORP CABLEVISION NY GROUP CL A MorganStanley SmithBarney LLC acted as your agent	02/24/04	08/05/10	1,101.98	484.20		637.78
125000350	5	CARNIVAL CORP (PAIRED STOCK) MorganStanley SmithBarney LLC acted as your agent	03/31/09 04/17/09 04/20/09	08/05/10	177.55 177.55 177.55	108.58 135.95 127.59		68.96 41.80 49.96
125000360	5	CELEGENE CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	12/05/08 01/30/09 05/28/09	08/05/10	281.44 281.44 281.45	255.16 288.17 208.07		26.28 15.27 73.38
125000370	15	CHUBB CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/11/02 02/26/03	08/05/10	799.48 532.98	545.70 239.95		253.78 293.04

2010 YEAR END SUMMARY

MorganStanley SmithBarney

Client Statement 2010 Year End Summary

RICHARD A BERG
Account Number

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000360	30	CISCO SYS INC	08/15/03	08/05/10	\$ 721.18	\$ 815.00		\$ 108.18
	20	MorganStanley SmithBarney LLC	08/11/04		480.79	368.02		112.77
	33	acted as your agent	10/04/05		783.30	563.87		209.33
	22	In this transaction.	10/05/05		528.87	388.54		140.33
125000390	5	CITRIX SYSTEMS INC	08/15/06	08/05/10	288.89	140.37		148.32
		MorganStanley SmithBarney LLC						
		acted as your agent						
		In this transaction.						
125000400	30	COCA-COLA CO	08/15/03	08/05/10	1,581.67	1,310.18		381.49
		MorganStanley SmithBarney LLC						
		acted as your agent						
		In this transaction.						
125000410	15	COMCAST CORP CL A - SPL	05/31/02	08/05/10	271.34	282.27	(10.83)	
	80	MorganStanley SmithBarney LLC	08/22/03		1,828.07	1,750.37	(122.30)	
		acted as your agent						
		In this transaction.						
125000430	3	CREE INC	12/12/03	03/05/10	207.35	53.29		154.08
		MorganStanley SmithBarney LLC						
		acted as your agent						
		In this transaction.						
125000440	1	CREE INC	12/12/03	04/12/10	78.73	17.78		81.87
		MorganStanley SmithBarney LLC						
		acted as your agent						
		In this transaction.						
125000460	21	CREE INC	12/12/03	08/05/10	1,480.87	373.05		1,117.82
		MorganStanley SmithBarney LLC						
		acted as your agent						
		In this transaction.						
125000480	5	WALT DISNEY CO	05/28/02	05/07/10	187.12	115.21		51.81
	5	MorganStanley SmithBarney LLC	08/10/02		187.11	108.13		57.88
		acted as your agent						
		In this transaction.						
125000490	5	WALT DISNEY CO	08/18/02	08/05/10	172.80	78.85		94.45
	55	MorganStanley SmithBarney LLC	08/18/03		1,800.78	1,110.33		790.43
	10	acted as your agent	01/05/08		345.58	241.84		103.85
		In this transaction.						

2010 YEAR END SUMMARY

MorganStanley SmithBarney

Client Statement 2010 Year End Summary

Page 28 of 37

RICHARD A BERG
Account Number

Details of Long Term Gain (Loss) 2010 continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000500	2.2263	DISCOVERY COMMUNICATIONS INC	05/13/02	06/05/10	\$ 84.60	\$ 27.48		\$ 57.11
	.9474	SER A	05/15/02		36.00	12.75		23.25
	.2368	MorganStanley SmithBarney LLC	06/12/02		9.00	2.82		6.18
	5.5895	acted as your agent	06/19/03		212.39	71.22		141.17
125000510	8.8578	DIRECTV CL A	05/13/02	06/05/10	328.12	103.99		224.13
	3.6843	MorganStanley SmithBarney LLC	05/15/02		139.63	46.25		91.38
	.9209	acted as your agent	06/12/02		34.90	10.86		24.24
	21.737	In this transaction.	06/19/03		623.82	269.44		554.36
125000520	1	DOLBY LABORATORIES INC	10/23/06	06/05/10	63.56	29.41		34.15
	2	CLASS A	10/24/06		63.56	29.98		33.58
	2	MorganStanley SmithBarney LLC	10/27/06		127.12	60.91		66.21
	1	acted as your agent	10/26/06		127.12	58.45		68.67
	2		01/09/09		63.56	31.58		31.97
	1		01/12/09		127.12	63.90		63.22
	1		01/13/09		63.54	32.00		31.54
125000530	15	DOVER CORP	10/10/07	06/05/10	736.48	748.42	(11.94)	
		MorganStanley SmithBarney LLC						
		acted as your agent						
		In this transaction.						
125000540	10	EBAY INC	05/05/06	06/05/10	210.00	324.88	(114.88)	
	30	MorganStanley SmithBarney LLC	03/14/07		628.89	919.08	(289.10)	
	15	acted as your agent	04/17/06		314.99	480.85	(165.86)	
	20	In this transaction.	07/25/06		419.99	504.31	(84.32)	
125000550	10	ELECTRONIC ARTS	02/08/06	06/05/10	173.10	541.35	(368.25)	
	15	MorganStanley SmithBarney LLC	05/27/06		259.64	738.84	(479.20)	
	8	acted as your agent	11/21/06		136.48	141.32	(2.84)	
	12	In this transaction.	02/11/09		207.72	203.74		3.98
125000560	5	EMERSON ELECTRIC CO	07/11/02	04/16/10	280.07	128.27		151.80
		MorganStanley SmithBarney LLC						
		acted as your agent						
		In this transaction.						
125000570	20	EMERSON ELECTRIC CO	05/07/03	06/05/10	1,002.36	488.89		513.47
	5	MorganStanley SmithBarney LLC	05/21/09		250.59	156.45		94.14
		acted as your agent						
		In this transaction.						
125000580	30	ERICSSON L M TEL CO CL B	09/17/06	06/05/10	334.19	284.64		49.55
		ADR NEW -USD-						
		MorganStanley SmithBarney LLC						
		acted as your agent						

2010 YEAR END SUMMARY

MorganStanley
SmithBarneyClient Statement
2010 Year End SummaryRICHARD A BERG
Account Number

Details of Long Term Gain (Loss) 2010 - continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000580	8,1818 4,8081 16 10	EXPEDIA INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/18/03 02/23/04 12/15/04 08/13/06 08/25/06	08/05/10	\$ 148.24 117.72 117.72 431.83 239.79	\$ 198.96 136.20 111.92 275.06 200.74	(\$ 50.74) (18.48) 5.80 39.05	
125000600	2 1	FIRST SOLAR INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	12/03/06 02/25/09	08/05/10	281.59 130.80	247.49 108.77		14.10 21.03
125000610	6 4 15	FLUOR CORP NEW MorganStanley SmithBarney LLC acted as your agent In this transaction.	02/08/09 02/10/09 04/07/09	08/05/10	281.83 184.56 729.58	249.83 170.72 581.55		42.00 23.84 188.03
125000620	15 5 10 10	FOREST LABORATORIES INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/15/03 08/14/04 08/08/07 12/27/07	08/05/10	423.59 141.20 282.40 282.39	747.15 224.05 410.13 388.77	(323.56) (82.85) (127.73) (87.38)	
125000630	5	FRANKLIN RESOURCES INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/30/08	08/05/10	510.34	435.92		74.42
125000640	10 1 1	FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent	04/01/09 08/17/09 07/09/09	08/05/10	745.28 74.53 74.53	392.48 49.97 46.82		352.80 24.56 27.91
125000650	10 10 15	GAP INC DELAWARE MorganStanley SmithBarney LLC acted as your agent In this transaction.	11/10/08 01/05/07 07/25/08	08/05/10	183.29 183.29 274.85	201.28 183.29 247.48	(17.97) (10.00)	27.47
125000660	25 20 15	GENERAL ELECTRIC CO MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/15/03 01/08/07 10/23/07	08/05/10	408.74 328.89 245.25	783.50 758.53 604.14	(374.76) (428.54) (358.89)	
125000670	25	GENZYME CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/25/03	08/05/10	1,729.87	1,183.82		546.35

2010 YEAR END SUMMARY



MorganStanley
SmithBarneyClient Statement
2010 Year End SummaryRICHARD A BERG
Account Number

Details of Long Term Gain (Loss) 2010 continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000690	1	GOOGLE INC CLASS A MorganStanley SmithBarney LLC acted as your agent	08/23/08	08/05/10	\$ 505.88	\$ 436.13		\$ 69.75
125000710	3	HESS CORP MorganStanley SmithBarney LLC acted as your agent	08/24/09	08/05/10	168.80	158.81		9.79
	2		08/25/09		112.40	106.13		4.27
	5		07/20/09		281.00	258.26		22.74
		In this transaction.						
125000720	15	HOME DEPOT INC MorganStanley SmithBarney LLC acted as your agent	08/15/03	04/16/10	524.98	489.90		35.09
		In this transaction.						
125000730	25	HOME DEPOT INC MorganStanley SmithBarney LLC acted as your agent	05/11/08	08/05/10	712.74	1,026.25	(313.51)	
	15		04/25/08		427.84	437.94	(10.30)	
	10		04/28/08		265.08	288.28	(14.20)	
	10		05/27/08		285.08	268.24		15.85
		In this transaction.						
	10		08/07/08		285.10	246.08		39.01
125000740	15	HONEYWELL INTL INC MorganStanley SmithBarney LLC acted as your agent	08/16/02	08/05/10	655.84	362.40		293.24
	5		01/30/03		218.55	117.33		101.22
		In this transaction.						
	5		04/11/03		218.54	107.69		110.85
125000750	10	INTEL CORP MorganStanley SmithBarney LLC acted as your agent	08/14/02	01/15/10	208.82	211.55	(1.63)	
	5		08/14/02		104.96	85.90		19.06
	10		10/07/02		208.83	142.50		67.43
		In this transaction.						
125000760	35	INTEL CORP MorganStanley SmithBarney LLC acted as your agent	03/12/04	08/05/10	722.03	966.92	(244.89)	
		In this transaction.						
125000770	11	JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent	05/17/02	08/05/10	450.86	354.52		96.14
	9		01/20/05		368.72	335.95		32.77
	10		08/31/07		408.69	444.72	(35.03)	
	10		05/21/08		408.70	429.32	(19.62)	
		In this transaction.						
125000780	2	JACOBS ENGINEERING GROUP INC MorganStanley SmithBarney LLC acted as your agent	01/02/09	08/05/10	73.10	88.22	(25.12)	
		In this transaction.						

2010 YEAR END SUMMARY

MorganStanley SmithBarney

Ref: 00000611 00016807

Client Statement 2010 Year End Summary

Page 31 of 37

RICHARD A BERG
Account Number

Details of Long Term Gain (Loss) 2010 continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000780	25	JOHNSON & JOHNSON	08/18/03	08/05/10	\$ 1,481.22	\$ 1,265.45		\$ 225.77
	20	MorganStanley SmithBarney LLC	04/15/04		1,182.86	1,089.94		103.04
		acted as your agent						
		In this transaction.						
125000800	5	JONES LANG LASALLE INC	06/11/09	08/05/10	368.79	175.56		221.21
	10	MorganStanley SmithBarney LLC	07/01/09		783.56	392.04		401.54
		acted as your agent						
		In this transaction.						
125000810	15	JUNIPER NETWORKS INC	10/22/06	08/05/10	423.44	277.76		145.68
	5	MorganStanley SmithBarney LLC	11/06/06		141.15	83.91		57.24
	5	acted as your agent	02/02/09		141.15	72.13		69.02
	15	In this transaction.	02/19/09		423.44	223.76		199.68
125000820	40	KEYCORP -NEW	06/04/09	08/05/10	334.79	218.32		115.47
		MorganStanley SmithBarney LLC						
		acted as your agent						
		In this transaction.						
125000830	15	L 3 COMMUNICATIONS HLDGS INC	08/15/03	08/05/10	1,112.66	704.89		407.79
	5	MorganStanley SmithBarney LLC	08/25/03		370.89	214.27		156.62
		acted as your agent						
		In this transaction.						
125000840	6	LASALLE HOTEL PTYS SBI	11/17/06	08/05/10	141.90	53.26		88.62
	3	MorganStanley SmithBarney LLC	11/18/06		70.85	26.73		44.22
	1	acted as your agent	11/19/06		23.85	8.91		14.74
	3	In this transaction.	03/25/09		70.85	16.33		54.62
	1		04/01/09		23.84	5.49		18.15
125000850	20	LAWSON SOFTWARE INC NEW	05/09/06	08/05/10	180.80	159.63		.77
	20	MorganStanley SmithBarney LLC	01/07/09		180.59	102.13		58.46
		acted as your agent						
		In this transaction.						
125000860	.1737	LIBERTY GLOBAL INC CLASS A	05/15/02	08/05/10	5.11	3.19		1.92
	.2388	MorganStanley SmithBarney LLC	08/12/02		8.97	3.65		3.12
	5.5685	acted as your agent	08/18/03		184.55	97.13		67.42
		In this transaction.						
125000870	11.8263	LIBERTY MEDIA HLDG CORP	05/13/02	08/05/10	131.86	185.10	(63.14)	
	4.9474	INTERACTIVE SER A	05/15/02		56.15	80.52	(34.37)	
	1.2388	MorganStanley SmithBarney LLC	08/12/02		14.04	20.01	(5.97)	
	28.1885	acted as your agent	08/18/03		331.29	505.44	(174.15)	
	9		03/17/08		102.15	144.44	(42.29)	
	14		03/18/08		158.89	228.77	(69.86)	

2010 YEAR END SUMMARY



MorganStanley
SmithBarneyClient Statement
2010 Year End SummaryRICHARD A BERG
Account Number

Details of Long Term Gain (Loss) 2010 - continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000880	2,283 .9474 2388 5,5895	LIBERTY MEDIA HLDG CORP CAP SER A MorganStanley SmithBarney LLC acted as your agent	05/13/02 05/15/02 06/12/02 08/19/03	08/05/10	\$ 104.43 44.44 11.11 282.20	\$ 22.03 10.22 2.26 57.07		\$ 82.40 34.22 8.85 205.13
125000880	.7421 .3158 .0788 1,8632	LIBERTY MEDIA CORP LIBERTY STARZ SER A MorganStanley SmithBarney LLC acted as your agent	05/13/02 05/15/02 06/12/02 09/19/03	08/05/10	41.95 17.85 4.46 105.32	13.95 6.48 1.43 36.13		28.00 11.37 3.03 69.19
125000900	10	MADISON SQUARE GARDEN INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/24/04	08/05/10	194.18	95.48		98.71
125000910	5	MARRIOTT INTL INC NEW CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/31/09	08/25/10	160.94	82.44		78.50
125000930	35	MCDERMOTT INTERNATIONAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/14/08	08/05/10	453.59	148.06		307.53
125000940	5 30 10	MERCK & CO INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/17/08 04/29/09 05/13/09	08/05/10	174.55 1,047.28 349.08	209.55 719.01 257.48	(35.00)	328.27 91.80
125000950	5 25 20	MICROSOFT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/11/04 02/19/04 03/15/04 04/29/06	08/05/10	127.50 637.49 837.48 508.98	135.65 672.75 831.88 483.76	(8.15) (35.26)	5.61 26.22
125000980	2	MURPHY OIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/11/06	08/02/10	101.87	133.16	(31.29)	
125000990	20 5	NASDAQ OMX GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/09/06 06/11/08 07/09/08	08/05/10	398.79 98.95 98.95	774.17 159.42 124.31	(374.38) (59.47) (24.36)	

2010 YEAR END SUMMARY

Morgan Stanley Smith Barney

Ref: 00000611 00016806

Client Statement 2010 Year End Summary

Page 23 of 37

RICHARD A BERG
Account Number

Details of Long Term Gain (Loss) 2010

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001000	4	NATIONAL OILWELL VARCO INC Morgan Stanley Smith Barney LLC acted as your agent	01/11/07 10/24/08 10/27/08	08/05/10	\$ 185.87 289.53 185.88	\$ 284.44 188.39 101.80	(\$ 98.77)	121.54 83.86
125001020	4	NORTHROP GRUMMAN CORP Morgan Stanley Smith Barney LLC acted as your agent	03/25/08 07/02/08	08/05/10	238.40 384.58	172.00 288.75		64.40 84.84
125001030	10	NOVARTIS AG ADR Morgan Stanley Smith Barney LLC acted as your agent	08/05/05 04/28/08	08/05/10	501.18 751.76	483.20 567.88		7.88 183.88
125001040	15	NUCOR CORP Morgan Stanley Smith Barney LLC acted as your agent	02/20/08 08/05/10	08/05/10	800.59	584.24		18.35
125001050	23	VIDIA CORP Morgan Stanley Smith Barney LLC acted as your agent	05/30/08 08/02/08	08/05/10	215.28 65.52	582.55 172.17	(347.27) (108.59)	
125001060	25	PALL CORP Morgan Stanley Smith Barney LLC acted as your agent	07/26/08 08/05/10	08/05/10	848.48	848.82		301.86
125001070	4	PARKER-HANNIFIN CORP Morgan Stanley Smith Barney LLC acted as your agent	04/22/08 08/05/10	08/05/10	255.91	188.03		87.88
125001080	5	PEPSICO INC Morgan Stanley Smith Barney LLC acted as your agent	08/05/02 08/17/03	08/05/10	328.24 884.73	188.81 683.72		141.63 301.01
125001090	20	PROCTER & GAMBLE CO Morgan Stanley Smith Barney LLC acted as your agent	08/25/03 08/05/10	08/05/10	1,180.87	647.28		543.69

2010 YEAR END SUMMARY

MorganStanley SmithBarney

Client Statement 2010 Year End Summary

RICHARD A BERG
Account Number

Summary of Long Term Gain (Loss) 2010 continued									
Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain	
125001100	5	QUALCOMM INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/07/08	06/02/10	\$ 178.25	\$ 205.37	(\$ 27.12)		
125001110	5	QUALCOMM INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/28/08	06/10/10	173.46	181.37	(7.91)		
125001120	5	QUALCOMM INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/28/08	06/21/10	178.78	181.36	(2.58)		
125001140	4	ROBERT HALF INTERNATIONAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/24/08	06/25/10	100.83	103.80	(2.97)		
125001150	1	ROBERT HALF INTERNATIONAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/24/08	06/28/10	25.01	25.85	(.84)		
125001180	5	ROCHE HLDG LTD SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/18/08	08/11/10	171.02	204.68	(33.66)		
125001170	5	ROCHE HLDG LTD SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/18/08	07/23/10	182.38	204.65	(42.28)		
125001190	4	SAFEMAY INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/27/08	08/05/10	82.98	79.85	3.13		
	6	acted as your agent	05/28/08		20.74	19.98	.76		
	14	in this transaction.	05/29/08		124.44	118.89	5.55		
	3		08/17/09		280.35	279.96	10.39		
	7		08/24/08		62.22	61.42	.80		
					145.78	142.85	2.93		
125001200	18	SANDISK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/16/03	08/05/10	828.54	501.20	327.34		
	15		03/14/08		888.78	330.87	357.91		

2010 YEAR END SUMMARY

MorganStanley SmithBarney

Ref: 00000611 00016611

Client Statement 2010 Year End Summary

RICHARD A BERG
Account Number

Page 35 of 37

Details of Long Term Gain (Loss) 2010 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001210	5	SCHLUMBERGER LTD MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/16/07	08/05/10	\$ 312.74	\$ 288.37		\$ 24.37
125001220	15 5 15	SCHWAB CHARLES CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/28/08 01/30/09 02/19/08	08/05/10	228.65 78.55 228.84	208.68 88.38 195.00		19.96 8.19 34.84
125001230	1 2	SEARS HOLDINGS CORPORATION MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/11/08 05/22/08	08/10/10	77.59 155.18	98.28 178.79	(20.67) (24.60)	
125001240	3	SEARS HOLDINGS CORPORATION MorganStanley SmithBarney LLC acted as your agent In this transaction.	06/22/08	08/28/10	203.88	288.88	(85.70)	
125001250	10 10 5	STATE STREET CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/19/03 05/07/03 04/08/09	08/05/10	397.88 397.88 198.85	404.27 365.49 154.24	(6.58)	32.20 44.61
125001260	43,8248 18,1873 18,1878	TAIWAN SEMICONDUCTOR MFG CO LTD ADR MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/07/03 08/18/03 12/18/03	01/28/10	442.88 184.84 184.84	346.48 187.53 141.82		86.42 17.11 43.02
125001270	8 15 35 10 10 27	TEXAS INSTRUMENTS INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/15/03 04/08/04 11/12/04 02/13/07 04/08/08 07/23/08	08/05/10	188.78 374.54 873.83 249.70 249.70 874.17	180.70 435.88 850.16 302.58 283.89 874.85	(81.14) (52.86) (43.88) (4.68)	8.08 13.77
125001280	2 3 5	TUTOR PERINI CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/03/08 10/06/08 08/23/08	08/05/10	58.84 58.41 97.34	43.87 82.81 88.89	(4.93) (4.40)	7.35
125001300	4 36 5	UNILEVER PLC SPONS ADR NEW MorganStanley SmithBarney LLC acted as your agent In this transaction.	04/25/05 05/18/06 04/09/09	08/05/10	111.82 1,007.28 139.88	87.47 798.12 97.08		24.45 211.14 42.81

2010 YEAR END SUMMARY



MorganStanley SmithBarney

Client Statement 2010 Year End Summary

RICHARD A BERG
Account Number

Details of Long Term Gain (Loss) 2010 continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001320	60	UNITEDHEALTH GROUP INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	09/28/03	06/05/10	\$ 1,971.56	\$ 1,452.62		\$ 518.94
125001380	10	VERTEX PHARMACEUTICALS INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	11/07/08	06/05/10	360.69	271.46		89.23
125001380	26,1702 4,3617 ADR NEW 8,7234 MorganStanley SmithBarney LLC 1,7447 acted as your agent	VODAFONE GROUP PLC SPONS	06/16/02 10/20/05 11/16/05 01/20/06	06/05/10	826.24 104.37 208.75 41.75	414.78 124.47 216.17 41.50	(20.10) (9.42)	211.45
125001390	15 5 3	WEYERHAEUSER CO MorganStanley SmithBarney LLC acted as your agent	05/24/02 10/22/02 05/19/09	06/05/10	261.59 67.20 52.32	1,004.51 R 226.13 R 100.40 R	(742.82) (140.83) (46.06)	.25
125001410	15 20	YAHOO INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	03/20/09 03/27/09	06/05/10	212.38 263.19	204.98 268.45		7.40 16.74
Total					\$ 99,493.30	\$ 82,599.51	(\$ 8,317.31)	\$ 25,241.10

R The basis for this tax lot has been adjusted due to a reclassification of income

Details of Deposits and Withdrawals 2010

This section reflects any deposits or withdrawals made to your account during the year.

Withdrawals

Reference number	Date	Description	Referral number	Amount	Reference number	Date	Description	Referral number	Amount
220000100	02/05/10	VODAFONE GROUP PLC SPONS ADR NEW ADR FEE OF .01000 PER SHR RECORD 11/20/09 PAY 02/05/10		\$.41	220000200	02/19/10	INVESTMENT & ADVISORY SERVICES FROM 02/01/10 TO 04/30/10		\$ 522.55
220000300	04/13/10	NOVARTIS AG ADR ADR FEE OF .00350 PER SHR RECORD 03/04/10 PAY 04/13/10		.09	220000400	04/20/10	ROCHE HLDG LTD SPON ADR ADR FEE OF .02350 PER SHR RECORD 03/08/10 PAY 04/20/10		.59

2010 YEAR END SUMMARY

MorganStanley SmithBarney

Client Statement 2010 Year End Summary

RICHARD A BERG
Account Number

We are pleased to provide you with this overview of activity in your account this year. This information will help you understand the performance of your investments and manage your account with the assistance of your Financial Advisor. This information is not provided to the IRS.

Value of your portfolio

Description	Amount
Value of your account on 12/31/10	\$ 0.00
Value of your account on 12/31/09	\$ 104.87

Earnings summary 2010

Due to IRS reporting requirements, these figures may not be the same as totals reported on your monthly statement.

Description	1099 Reported	1099 Exempt	Total
Qualified dividends	\$ 104.87		\$ 104.87
Totals	\$ 104.87		\$ 104.87

**If you received money funds earnings, capital gain distributions or non-taxable distributions, they are included in this amount.

2010 YEAR END SUMMARY

**MorganStanley
SmithBarney**

**Client Statement
2010 Year End Summary**

**RICHARD A BERG
Account Number**

Details of 1099-Reported Dividends and Distributions 2010, Boxes 1a - 2d

The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

Reference Number	CUSIP Description	Total Ordinary Dividends Dividends* (Box 1a)	Short term capital gain* (Box 1b)	Qualified dividends (Box 1c)	Total capital gain distributions (Box 2a)	Unrecaptured Sec. 1250 gain (Box 2b)	Section 1202 gain (Box 2c)	Collectibles (28%) gain (Box 2d)
150000100	JPMORGAN CHASE & CO	\$.21		\$.21				
150000200	584816104000 MICROSOFT CORP	89.52		89.52				
150000300	848748101000 WELLS FARGO & CO NEW	35.14		35.14				
Totals		\$ 104.87		\$ 104.87				

*The total of these two categories equals the amount reported in Form 1099-DIV, Box 1a.

Please note:

- "Dividends" includes both Qualified and non-qualified dividends received.
- "Total capital gain distributions" is a total of long term capital gain distributions and the amounts reported in Boxes 2a through 2d.

Details of Short-Term Gain (Loss) 2010

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000020	.0017	JPMORGAN CHASE & CO	11/03/09	08/06/10		\$.07	(\$.07)	
	.0016	LIQUIDATION OF FRACTIONAL SHS	02/02/10			.07	(.07)	
	.0016		05/03/10			.07	(.07)	
	.0017		08/03/10			.07	(.07)	
125000030	1.3065	MICROSOFT CORP	08/11/09	08/05/10	32.46	32.56	(.10)	
	1.0825	CSMI AND/OR ITS AFFILIATES	12/11/09		27.14	32.72	(\$5.58)	
	1.1204		03/12/10		27.85	32.85	(5.01)	
	1.0557		08/11/10		28.21	28.44	(.23)	

2010 YEAR-END SUMMARY

MorganStanley
SmithBarneyClient Statement
2010 Year End SummaryRICHARD A BERG
Account Number

Details of Short Term Gain (Loss) 2010 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000040	2609	MICROSOFT CORP LIQUIDATION OF FRACTIONAL SHS	06/11/10	06/08/10	\$ 6.35	\$ 6.54	(\$.19)	
125000050	4239	WELLS FARGO & CO NEW	06/02/09	06/05/10	11.41	11.05	(.23)	.36
	2734		12/02/09		7.40	7.83		
125000060	1234	WELLS FARGO & CO NEW	12/02/09	06/09/10	3.30	3.44	(.14)	
	4049	LIQUIDATION OF FRACTIONAL SHS	03/02/10		10.64	11.09	(.25)	
	2989		06/02/10		10.43	11.11	(.68)	
Total					\$ 163.28	\$ 178.71	(\$ 12.00)	\$.36

Details of Long Term Gain (Loss) 2010

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	2743	JPMORGAN CHASE & CO	11/01/01	08/05/10	\$ 8.52	\$ 9.80	(\$ 1.38)	
	4279		02/01/02		13.29	14.58	(1.27)	
	2878		06/01/02		9.26	10.55	(1.29)	
125000020	1175	JPMORGAN CHASE & CO	05/01/02	08/06/10	4.86	4.18	.50	.50
	0144	LIQUIDATION OF FRACTIONAL SHS	06/01/02		.57	.35	.22	.22
	0173		11/01/02		.69	.36	.34	.34
	0157		02/03/03		.82	.38	.26	.26
	0131		05/01/03		.52	.38	.14	.14
	0108		06/01/03		.43	.37	.06	.06
	0105		11/03/03		.42	.38	.04	.04
	.01		02/03/04		.40	.39	.01	.01
	.0104		05/03/04		.41	.38	.02	.02
	.0104		08/03/04		.41	.38	.03	.03
	.0103		11/02/04		.41	.39	.02	.02
	.0107		02/01/05		.42	.40	.02	.02
	.0115		05/03/05		.46	.40	.06	.06
	.0116		06/02/05		.46	.41	.05	.05
	.0111		11/01/05		.44	.40	.04	.04
	.0105		02/01/06		.42	.41	.01	.01
	.0093		05/02/06		.37	.41	(.04)	

2010 YEAR END SUMMARY

MorganStanley
SmithBarney

Client Statement
2010 Year End Summary

RICHARD A BERG
Account Number

Details of Long Term Gain (Loss) 2010 continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000030	220	MICROSOFT CORP	08/25/00	08/05/10	5,486.05	2,854.22		2,631.83
		CGMI AND/OR ITS AFFILIATES						
	7,139		03/10/03	11/10/03	17.74	16.66	(1.08)	1.08
	1,2793		08/15/04	12/03/04	31.78	33.44	(1.66)	
	.8142		03/11/05	08/10/05	15.26	16.81	(1.55)	
	24,5865		08/09/05	03/10/06	611.08	671.91	(60.82)	
	.736		08/10/05	08/09/05	18.28	18.73	(.44)	
	.7377		12/09/05	03/10/06	17.58	18.76	(1.18)	
	.6814		03/10/06	08/09/06	16.85	18.89	(1.96)	
	.7879		08/09/06	08/15/06	19.58	21.32	(1.74)	
	.8652		08/15/06	03/09/07	23.88	21.37	(1.45)	2.51
	.8053		12/15/06	08/15/07	20.01	23.91	(4.16)	
	.7948		03/09/07	08/15/07	19.75	24.00	(2.25)	
	.7788		08/15/07	12/14/07	19.37	24.08	(4.71)	
	.8534		12/14/07	03/14/08	20.71	26.73	(3.45)	
	.8314		03/14/08	08/13/08	16.50	26.83	(7.75)	
	.8381		08/13/08	12/12/08	23.31	31.85	(3.59)	
	.9801		12/12/08	03/13/09	24.90	32.15	(2.33)	
	1,6541		03/13/09	08/18/09	41.35	32.39		8.40
	1,8867		08/18/09		46.88			14.73
	1,3484				33.45			1.08
125000050	171,387	WELLS FARGO & CO NEW	08/13/86	08/05/10	4,614.85	2,868.19		1,626.66
	.8284		12/02/88		22.30	15.01		7.29
	.8073		03/02/89		21.74	15.09		6.65
	.8153		08/02/89		21.95	18.38		5.57

2010 YEAR END SUMMARY



MorganStanley SmithBarney

Client Statement 2010 Year End Summary

RICHARD A BERG
Account Number

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Process	Cost	(Loss)	Gain
.8237			08/02/99		\$ 22.18	\$ 16.47		\$ 5.71
.7085			12/02/99		19.10	16.53		2.57
1.0271			03/02/00		27.65	16.26		8.39
.7818			06/02/00		21.05	16.37		2.68
.8609			08/05/00		23.18	18.46		4.72
.8551			12/04/00		23.02	20.23		2.79
.8181			03/02/01		22.03	20.33		1.70
.8629			06/04/01		23.23	20.41		2.82
.9662			08/05/01		26.01	22.23		3.78
1.0469			12/04/01		26.19	22.35		3.84
.8373			03/04/02		25.24	22.48		2.76
.9632			06/04/02		25.93	24.33		1.60
.8556			08/04/02		25.73	24.46		1.27
1.0646			12/03/02		28.86	24.59		4.07
1.1653			03/04/03		31.36	26.50		4.88
1.0879			06/03/03		29.28	26.65		2.64
1.5726			08/03/03		42.34	40.22	(2.12)	2.12
1.4046			12/02/03		37.82	40.56	(2.74)	
1.4164			03/02/04		38.14	40.86	(2.72)	
1.4002			06/02/04		37.70	41.17	(3.47)	
1.5003			08/02/04		40.40	44.21	(3.81)	
1.4174			12/02/04		38.16	44.56	(6.40)	
1.5023			03/02/05		40.45	44.87	(4.42)	
1.4658			06/02/05		39.46	45.22	(5.76)	
1.8452			08/02/05		44.30	49.36	(5.06)	
1.5816			12/02/05		42.58	48.77	(6.19)	
1.5567			03/02/06		41.91	50.13	(8.22)	
1.4887			06/02/06		40.06	50.53	(10.45)	
1.5731			08/05/06		42.36	55.00	(12.64)	
1.5576			12/04/06		41.84	55.22	(13.38)	
1.8102			03/02/07		43.35	58.07	(14.72)	
1.5455			06/04/07		46.45	62.53	(16.08)	
1.725			08/05/07		41.81	63.04	(21.23)	
2.0013			12/04/07		46.45	63.04	(16.59)	
2.2394			03/04/08		60.13	63.62	(3.49)	
2.3529			06/03/08		63.35	64.26	(0.91)	
2.2864			08/03/08		61.61	71.26	(9.65)	
2.9752			12/02/08		60.11	72.00	(11.89)	

8.11

2010 YEAR END SUMMARY

**MorganStanley
SmithBarney**

**Client Statement
2010 Year End Summary**

RICHARD A BERG
Account Number

Details of Long Term Gain (Loss) 2010 continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
6,266			03/03/09		\$ 169.76	\$ 72.96		\$ 95.80
4457			08/02/09		12.00	11.02		.98
Total					\$ 12,899.22	\$ 8,704.22	(\$ 260.05)	\$ 4,455.83

Details of Deposits and Withdrawals 2010

This section reflects any deposits or withdrawals made to your account during the year.

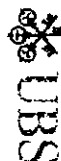
Withdrawals

Reference Number	Date	Description	Referral number	Amount
220000100	08/11/10	CHECK 8110432575 BY FARGO, ND		\$ 14,789.21
Total				\$ 14,810.31

Reference Number	Date	Description	Referral number	Amount
220000200	08/15/10	CHECK 8110456743 BY FARGO, ND		\$ 11.70

2010 YEAR END SUMMARY





UBS Financial Services Inc.
51 BROADWAY
FARRO RD 58102-4843

AP21004801525 12/10/02 MD 0

2010 Year End Summary

Account name: RICHARD A BERG

Account number:

Your Financial Advisor:

RICHARD A BERG

Summary of gains and losses

	Amount (\$)
Short term	74.67
Long term	7,090.89
Total	57,165.56

Realized gains and losses

Estimated 2010 gains and losses for transactions with 12/31/10 have been incorporated into the statement. To calculate gains and losses, we liquidate the oldest security first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specify which tax lot to use when you place your order. This is known as a series purchase or VPP order. See instructions for information about your statement on the last page of the statement for more information.

We may not adjust gains and losses for all capital changes. For basis for capital changes, including securities, include securities subject to AMT, has been adjusted for regulatory amortization of bond premium. Exemptions in the Unpublished section can not be classified as short term or long term because information is missing, or the product is not in which the gains/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
PACIFIC GROWTH FUND CL A	FIFO	4.062	Dec 28, 04	Aug 05, 10	154.64	156.06	-1.42		

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Page 1 of 6



Account name: RICHARD A BEAG
Account number:

Realized gains and losses (continued)

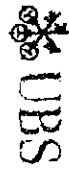
Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)
EATON VANCE INC OMV FUND								
OF BOSTON CLASS A								
FIFO		32,498	Aug 31, 09	Aug 05, 10	184.91	167.14		17.51
FIFO		30,554	Sep 30, 09	Aug 05, 10	173.85	163.16		10.65
FIFO		31,401	Oct 30, 09	Aug 05, 10	178.67	160.88		8.71
FIFO		30,193	Nov 30, 09	Aug 05, 10	171.80	164.75		7.51
FIFO		30,047	Dec 31, 09	Aug 05, 10	170.97	156.46		4.51
FIFO		209,145	Earnings	Aug 05, 10	1,190.03	1,172.09		17.94
FIDELITY ADVISOR								
LEVERAGED COMPANY STOCK								
FUND CLASS A								
FIFO		0.183	Sep 14, 09	Aug 05, 10	5.79	4.74		0.51
FIFO		2,534	Sep 14, 09	Aug 05, 10	73.31	65.51		7.71
FIFO		0.206	Dec 14, 09	Aug 05, 10	5.96	5.55		0.41
Total					\$2,309.43	\$2,234.76	-\$1.42	\$76.01

Long-term capital gains and losses

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)
AMERICAN FUNDS EURO								
PACIFIC GROWTH FUND CLA								
FIFO		5,634	Dec 31, 97	Aug 05, 10	214.49	143.05		71.44
FIFO		6,576	Dec 31, 98	Aug 05, 10	250.35	183.86		66.49
FIFO		5,609	Dec 31, 99	Aug 05, 10	213.53	213.15		0.38
FIFO		17,729	Dec 31, 00	Aug 05, 10	674.94	594.97		79.97
FIFO		2,533	Dec 27, 06	Aug 05, 10	96.43	116.41	-19.98	
FIFO		1,937	Dec 31, 97	Aug 05, 10	73.55	49.05		24.50
FIFO		1,847	Dec 31, 98	Aug 05, 10	70.32	57.28		13.04
FIFO		1,245	Dec 31, 99	Aug 05, 10	47.40	44.38		3.02
FIFO		1,045	Dec 31, 00	Aug 05, 10	39.78	33.59		6.19
FIFO		4,910	Dec 21, 01	Aug 05, 10	167.13	116.51		50.62
FIFO		1,932	Dec 23, 02	Aug 05, 10	73.55	64.11		9.44
FIFO		1,945	Dec 22, 03	Aug 05, 10	74.05	57.10		16.95
FIFO		2,757	Earnings	Aug 05, 10	104.96	94.74		10.22
FIFO		3,322	Earnings	Aug 05, 10	126.47	135.81	-9.34	
FIFO		3,328	Dec 27, 06	Aug 05, 10	126.70	157.92	-31.22	

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Investment Account

Account name:

RICHARD A BERG

Your Financial Advisor:

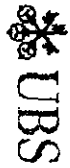
Realized gains and losses (continued) Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
EASTMAN KODAK PREFERRED STOCK									
PRD	FIFO	4.108	Dec 13, 07	Aug 05, 10	156.39	274.54	-58.75	73.90	
PRD	FIFO	6.582	Dec 24, 08	Aug 05, 10	254.36	140.48			
PRD	FIFO	6.117	Dec 29, 05	Aug 05, 10	232.87	250.07	-17.20		
PRD	FIFO	9.167	Dec 27, 06	Aug 05, 10	348.99	421.71	-72.74		
PRD	FIFO	14.830	Dec 13, 07	Aug 05, 10	564.58	774.88	-210.70		
PRD	FIFO	11.928	Dec 24, 08	Aug 05, 10	454.10	322.18		131.92	
PRD	FIFO	136.240	Jun 02, 97	Aug 05, 10	5,186.66	4,000.01		1,186.65	
EASTMAN KODAK PREFERRED STOCK									
PRD	FIFO	1.057	Dec 02, 02	Aug 05, 10	6.01	5.74		0.27	
PRD	FIFO	8.020	Jan 02, 03	Aug 05, 10	45.63	43.79		1.84	
PRD	FIFO	7.873	Feb 03, 03	Aug 05, 10	44.60	43.36		1.24	
PRD	FIFO	7.123	Mar 03, 03	Aug 05, 10	40.53	39.46		1.07	
PRD	FIFO	7.789	Apr 01, 03	Aug 05, 10	44.31	44.00		0.31	
PRD	FIFO	7.278	May 01, 03	Aug 05, 10	41.41	42.04		-0.63	
PRD	FIFO	7.575	Jun 02, 03	Aug 05, 10	43.10	44.69		-1.59	
PRD	FIFO	11.761	Jul 01, 03	Aug 05, 10	67.03	71.39		-4.36	
PRD	FIFO	17.936	Aug 01, 03	Aug 05, 10	102.06	107.26		-5.20	
PRD	FIFO	17.934	Sep 02, 03	Aug 05, 10	102.04	108.14		-6.10	
PRD	FIFO	17.153	Oct 01, 03	Aug 05, 10	97.60	105.32		-7.72	
PRD	FIFO	17.502	Nov 03, 03	Aug 05, 10	99.59	109.74		-10.15	
PRD	FIFO	16.979	Dec 01, 03	Aug 05, 10	96.33	106.94		-10.66	
PRD	FIFO	206.823	Earnings	Aug 05, 10	1,176.82	1,323.57	-146.75		
PRD	FIFO	205.555	Earnings	Aug 05, 10	1,170.18	1,317.99	-147.81		
PRD	FIFO	18.100	Jan 03, 06	Aug 05, 10	102.99	114.39		-11.40	
PRD	FIFO	18.034	Feb 01, 06	Aug 05, 10	102.61	115.06		-12.45	
PRD	FIFO	16.331	Mar 01, 06	Aug 05, 10	92.92	104.68		-11.76	
PRD	FIFO	18.178	Apr 03, 06	Aug 05, 10	103.43	116.52		-13.09	
PRD	FIFO	7.7671	May 01, 06	Aug 05, 10	100.55	113.45		-12.90	
PRD	FIFO	18.476	Jun 02, 06	Aug 05, 10	105.13	118.06		-12.93	
PRD	FIFO	18.094	Jul 03, 06	Aug 05, 10	102.95	114.90		-11.95	
PRD	FIFO	18.774	Aug 01, 06	Aug 05, 10	106.82	119.40		-12.58	

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Page 3 of 5

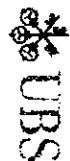


Account name: RICHARD A BERG
Account number:

Realized gains and losses (continued)
Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
FFO		18,817	Aug 31, 06	Aug 05, 10	107.07	120.24	-13.17		
FFO		18,259	Sep 29, 06	Aug 05, 10	103.89	117.04	-13.15		
FFO		18,848	Oct 31, 06	Aug 05, 10	107.25	121.76	-14.51		
FFO		18,703	Nov 30, 06	Aug 05, 10	108.58	118.50	-14.92		
FFO		18,856	Dec 29, 06	Aug 05, 10	107.29	122.13	-15.84		
FFO		18,924	Jan 31, 07	Aug 05, 10	97.68	123.95	-16.27		
FFO		17,068	Feb 28, 07	Aug 05, 10	97.23	112.61	-15.38		
FFO		19,073	Mar 30, 07	Aug 05, 10	108.53	125.59	-16.07		
FFO		18,443	Apr 30, 07	Aug 05, 10	104.94	122.09	-17.15		
FFO		19,163	May 31, 07	Aug 05, 10	109.04	127.05	-18.01		
FFO		18,928	Jun 29, 07	Aug 05, 10	107.70	123.60	-15.90		
FFO		20,440	Jul 31, 07	Aug 05, 10	116.30	128.57	-12.27		
FFO		21,067	Aug 31, 07	Aug 05, 10	119.87	132.30	-12.43		
FFO		20,380	Sep 28, 07	Aug 05, 10	115.96	129.82	-13.86		
FFO		21,218	Oct 31, 07	Aug 05, 10	120.73	135.16	-14.43		
FFO		21,167	Nov 30, 07	Aug 05, 10	120.44	131.66	-11.22		
FFO		24,207	Dec 31, 07	Aug 05, 10	137.74	149.84	-12.10		
FFO		22,968	Jan 31, 08	Aug 05, 10	130.69	137.58	-6.89		
FFO		22,114	Feb 29, 08	Aug 05, 10	125.63	129.52	-3.76		
FFO		24,103	Mar 31, 08	Aug 05, 10	137.15	140.52	-3.37		
FFO		22,855	Apr 30, 08	Aug 05, 10	130.04	137.36	-7.32		
FFO		23,692	May 30, 08	Aug 05, 10	134.81	142.86	-8.05		
FFO		23,709	Jun 30, 08	Aug 05, 10	134.80	139.17	-4.27		
FFO		25,546	Jul 31, 08	Aug 05, 10	145.36	145.87	-0.51		
FFO		26,197	Aug 29, 08	Aug 05, 10	149.06	148.80	0.26		
FFO		27,433	Sep 30, 08	Aug 05, 10	156.09	145.12	10.97		
FFO		34,928	Oct 31, 08	Aug 05, 10	198.74	151.24	47.50		
FFO		30,228	Nov 28, 08	Aug 05, 10	217.52	147.56	69.96		
FFO		39,370	Dec 31, 08	Aug 05, 10	224.02	154.33	69.69		
FFO		38,338	Jan 30, 09	Aug 05, 10	218.14	156.42	61.72		
FFO		35,685	Feb 27, 09	Aug 05, 10	203.05	142.74	60.31		

continued next page



Investment Account

Account name:
Account number:

RICHARD A BENG

Your Financial Advisor:

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value (\$)	Acquire date	Sale date	Sale amount (\$)	Acquire amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
FIDO	FIFO	39.692	Mar 31, 09	Aug 05, 10	225.85	158.36		66.23	
FIDO	FIFO	31.987	Apr 30, 09	Aug 05, 10	199.08	156.04		43.04	
FIDO	FIFO	34.786	May 29, 09	Aug 05, 10	197.03	162.80		35.13	
FIDO	FIFO	23.056	Jun 30, 09	Aug 05, 10	188.09	159.00		29.09	
FIDO	FIFO	32.640	Jul 31, 09	Aug 05, 10	185.72	165.81		19.91	
FIDO	FIFO	927.644	Nov 21, 02	Aug 05, 10	5,278.29	5,000.00		278.29	
FIDO	FIFO	1,331.115	Jun 11, 03	Aug 05, 10	7,574.04	8,000.00	-425.96		

FIDELITY ADVISOR
LEVERAGED COMPANY STOCK
FUND CLASS A

FIDO	FIFO	10.687	Dec 22, 03	Aug 05, 10	309.17	207.76		101.41	
FIDO	FIFO	2.267	Dec 17, 07	Aug 05, 10	65.58	85.78	-20.20		
FIDO	FIFO	4.652	Jan 14, 08	Aug 05, 10	134.58	163.20	-28.62		
FIDO	FIFO	0.309	Jan 02, 08	Aug 05, 10	8.94	2.80		0.19	
FIDO	FIFO	0.899	Dec 18, 06	Aug 05, 10	26.01	30.16	-4.15		
FIDO	FIFO	4.372	Dec 17, 07	Aug 05, 10	126.48	165.44	-38.96		
FIDO	FIFO	0.201	Dec 31, 07	Aug 05, 10	5.81	7.75	-1.94		
FIDO	FIFO	5.023	Dec 15, 08	Aug 05, 10	145.32	83.08		62.24	
FIDO	FIFO	0.701	Dec 31, 08	Aug 05, 10	20.28	11.83		8.45	
FIDO	FIFO	0.289	Dec 22, 03	Aug 05, 10	8.36	5.62		2.74	
FIDO	FIFO	6.173	Dec 20, 04	Aug 05, 10	178.58	149.15		29.43	
FIDO	FIFO	0.915	Dec 19, 05	Aug 05, 10	26.47	26.28		0.19	
FIDO	FIFO	0.201	Jan 09, 06	Aug 05, 10	5.81	5.88	-0.07		
FIDO	FIFO	3.821	Dec 18, 06	Aug 05, 10	110.54	128.21	-17.67		
FIDO	FIFO	11.430	Dec 17, 07	Aug 05, 10	330.67	432.53	-101.86		
FIDO	FIFO	1.994	Jan 14, 08	Aug 05, 10	57.69	69.94	-12.25		
FIDO	FIFO	561.482	Oct 23, 03	Aug 05, 10	16,243.67	9,994.93		6,248.74	
FIDO	FIFO	167.112	Jan 26, 06	Aug 05, 10	4,824.55	4,599.39	-165.44		
FIDO	FIFO	5.034	Earnings	Aug 05, 10	145.63	117.56		28.07	
FIDO	FIFO	1.954	Earnings	Aug 05, 10	56.53	55.46		1.07	

Total
Net capital gains/losses:

\$54,456.77	\$47,345.88	-51,933.33	\$9,044.22	\$7,050.89	\$7,165.56
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From: UBS

Fax: UBS

To:

UBS Account

JE10047 - Client Inquiry - Activity for - 05/16/11 11:04:35

Page 1 of 1

Acc#: 8D04769
Type: Basic **Status:** Closed **FA:** BD14 **Features:** HH,CLS
Address: RICHARD A BERG **Money Mgr:** N/A **Objective:**
Risk:

Total Value:	0.00	Equity:	0.00	Money Funds/Sweep:	0.00	Debit/Credit Balance:	0.00	Funds Available:	0.00	Buying Power:	0.00
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Generated On: 05/16/2011
 Account# In View: 8D04769
 UBS Financial Services

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
08/05/10	08/10/10	SOLD	AMERICAN FUNDS EURO PACIFIC GROWTH FUND CL	SAFEP- SAFEP	2	-254.958	\$58.070	\$9,708.25
08/05/10	08/10/10	SOLD	FIDELITY ADVISOR LEVERAGED COMPANY STOCK	MFDVA- SATQDS	2	-252.439	\$28.930	\$22,925.76
08/05/10	08/10/10	SOLD	EATON VANCE INCOME FUND OF BOSTON CLASS A	MREVF- SAH53	2	-4,238.950	\$5.690	\$24,114.73
08/02/10	08/02/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS	MREVF- SAH53				
07/01/10	07/01/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS	MREVF- SAH53	2	30.772		-\$168.26
06/01/10	06/01/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS	MREVF- SAH53	2	31.255		-\$172.53
05/03/10	05/03/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS	MREVF- SAH53	2	28.997		-\$155.86
04/01/10	04/01/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS	MREVF- SAH53	2	30.115		-\$170.15
03/01/10	03/01/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS	MREVF- SAH53	2	27.545		-\$152.67
02/01/10	02/01/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS	MREVF- SAH53	2	30.032		-\$167.50
01/04/10	01/04/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS	MREVF- SAH53	2	30.047		-\$165.46

Page: 1 of 1 Rows: 1 - 11 of 11

2 Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.
 Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. Commission and fees for Equity trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, commission and fees, Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Fixed income agency trades may not include Mutual Fund transfers, some trade corrections and some fees are not included intraday.
 This report is for informational purposes only and may or may not include all holdings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The Firm's periodic account statements and official tax documents are the only official record of client accounts and are not superseded, replaced, or amended by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, for tax purposes or otherwise.



UBS Financial Services Inc.
51 BROADWAY
FARMINGDALE, NY 11737-4933

AFZ004851515 1210X2 8D 0

Retirement Account UBS Strategic Advisor December 2010

Your Financial Advisor:

Questions about your statement?
Call your Financial Advisor or the
RMA Resource Line at 800-RMA-1000,
account 211004770.

Visit our website:
www.ubs.com/financialservices

Your investment objectives:
You have identified the following
investment objectives for this account. If
you have questions about these
objectives, disagree with them, or wish to
change them, please contact your
Financial Advisor or Branch Manager. You
can find a full description of the
alternative investment objectives in
*Important information about your
statement* at the end of this document.

Your return objective:
Capital appreciation
Your risk profile:
Primary - Aggressive Speculative
Secondary - None selected

Account name: RICHARD A BERG

SEP

Account type: Simplified Employee Pension

Account number:

Value of your account

	on November 30 (S)	on December 31 (S)
Your assets	199,029.61	209,435.16
Your liabilities	0.00	0.00
Value of your account	\$199,029.61	\$209,435.16

Your account instructions
• UBS Financial Services Inc. is your
custodian.

Change in the value of your account

	December 2010 (S)	Year to date (S)
Opening account value	\$199,029.61	\$181,402.71
Withdrawals and fees, including investments transferred out	0.00	-2,503.54
Dividend and interest income	2,490.62	5,126.60
Change in market value	7,914.93	25,409.39
Closing account value	\$209,435.16	\$209,435.16

Member SLPC

AFZ000604851515 FZ2000276777 00002 1210X2 003919910 0 8D 14

Page 5 of 14



Account name:
Account number:

RICHARD A BERG

Cash activity summary

See the section *Account activity by month* for details. UBS Bank USA deposit account balances are included in the opening and closing balances value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See *Important information about your statement* at the end of this document for details about those balances.

	December 2010 (\$)	Year to date (\$)
Opening balances	\$28,700.33	\$25,805.19
Additions		
Dividend and interest income	2,490.62	5,126.60
Proceeds from investment transactions	0.00	5,000.00
Total additions	\$2,490.62	\$10,126.60
Subtractions		
Program fees and related services	0.00	-2,503.54
Funds withdrawn for investments bought	-2,484.79	-4,722.09
Total subtractions	-\$2,484.79	-\$7,225.63
Net cash flow	\$5.83	\$2,900.97
Closing balances	\$28,706.16	\$28,706.16

Dividend and interest income earned

For purposes of this statement, liability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2010 (\$)	Year to date (\$)
Dividends	2,490.62	4,975.21
Total current year	\$2,490.62	\$4,975.21
Prior year adjustment	0.00	151.39
Total dividend & interest	\$2,490.62	\$5,126.60

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)	
	December 2010 (\$)	Year to date (\$)	December 2010 (\$)	Year to date (\$)
Short term	0.00	0.00	0.00	160.46
Long term	0.00	0.00	0.00	15,512.19
Total	\$0.00	\$0.00	\$0.00	\$15,672.65



UBS Strategic Advisor
December 2010

Account name: RICHARD A BERG
Account type: Simplified Employee Pension
Account number:

Your Financial Advisor:

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important information about your statement at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
UBS LIQUID ASSETS FUND	28,700.33	28,706.16	1.00	0.21%	Nov 23 to Dec 26	34

Equities

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.
Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss is the difference between the current value and the cost basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.
Investment return is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested.

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
ALLIANZ NEI DIVIDEND VALUE FUND CLASS A									
Symbol: PNEAX									
Trade date: Dec 30, 05	1,674,129	14.230	23,822.86	23,822.86	11.350	19,001.36	-4,821.50		LT
Total reinvested	536,159	12.539		6,723.10	11.350	6,085.40	-637.70		
EAI: \$793 Current yield: 3.16%									
Security total	2,210,288		23,822.86	30,545.96		25,086.76	-5,459.20	1,263.90	
AMERICAN FUNDS SMALLCAP WORLD FUND CLASS F									
Symbol: SCWFX									
Trade date: Aug 21, 03	351,339	22.770	8,000.00	8,000.00	38.530	13,537.09	5,537.09		LT
Trade date: May 24, 04	97,269	26.730	2,600.00	2,600.00	38.530	3,747.77	1,147.77		LT
Trade date: Nov 9, 04	137,979	28.990	4,000.01	4,000.01	38.530	5,316.33	1,316.32		LT
Trade date: Jan 26, 06	213,618	37.450	8,000.00	8,000.00	38.530	8,230.70	230.70		LT

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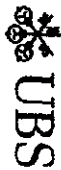


Account name: RICHARD A BERG
Account number:

Your assets • Equities • Mutual funds (continued)

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
Total reinvested	252,270	38.771		9,780.87	38.530	9,719.96	-60.91		
EAL: \$562 Current yield: 1.39%									
Security total	1,052,475		22,600.01	32,380.88		40,551.86	8,170.97	17,951.84	
AMERICAN FUNDS GROWTH									
FUND OF AMERICA CLASS F									
Symbol: GFAFX									
Trade date: Aug 21, 03	407,424	22.090	9,000.00	9,000.00	30.240	12,320.50	3,320.50		LT
Trade date: May 24, 04	121,803	24.630	3,000.00	3,000.00	30.240	3,683.32	683.32		LT
Total reinvested	91,017	31.110		2,831.55	30.240	2,752.35	-79.20		
EAL: \$153 Current yield: 0.82%									
Security total	620,244		12,000.00	14,831.55		18,756.17	3,924.62	6,756.17	
FIRST EAGLE OVERSEAS									
FUND CLASS A									
Symbol: SGOVX									
Trade date: Oct 1, 03	413,223	16.940	7,000.00	7,000.00	22.660	9,363.63	2,363.63		LT
Trade date: Mar 12, 04	79,915	18.770	1,500.00	1,500.00	22.660	1,810.87	310.87		LT
Trade date: May 24, 04	161,551	18.570	3,000.00	3,000.00	22.660	3,660.75	660.75		LT
Trade date: Nov 9, 04	193,611	20.660	4,000.00	4,000.00	22.660	4,387.22	387.22		LT
Trade date: Jan 26, 06	415,455	24.070	10,000.00	10,000.00	22.660	9,414.21	-585.79		LT
Total reinvested	857,699	21.629		16,552.01	22.660	19,435.46	883.45		
EAL: \$683 Current yield: 1.42%									
Security total	2,121,454		25,500.00	44,052.01		48,072.14	4,020.13	22,572.14	
ROYCE MACRO-CAP FUND									
Symbol: RYOTX									
Trade date: Sep 25, 03	728,863	13.720	10,000.00	10,000.00	17.570	12,806.12	2,806.12		LT
Total reinvested	571,563	15.236		8,708.54	17.570	10,042.36	1,333.82		
EAL: \$329 Current yield: 1.44%									
Security total	1,300,426		10,000.00	18,708.54		22,848.48	4,139.94	12,848.48	
ROYCE OPPORTUNITY FUND									
Symbol: RYPNX									
Trade date: Mar 12, 04	117,832	12.730	1,500.00	1,500.00	12.080	1,423.41	-76.59		LT
Total reinvested	81,902	11.086		908.04	12.080	989.37	81.33		

continued next page



UBS Strategic Advisor
December 2010

Account name: RICHARD A BERG
Account type: Simplified Employee Pension
Account number:

Your Financial Advisor:

Your assets, Equities, Mutual funds (continued)

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
Security total	199,734		1,500.00	2,408.04		2,412.78	4.74	912.78	
Total			\$95,422.87	\$142,926.98		\$157,728.19	\$14,801.20	\$62,305.32	
Total estimated annual income:		\$2,520							

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.
Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss is the difference between the current value and the cost basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.
Investment return is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested.

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
EATON VANCE INCOME FUND									
OF BOSTON CLASS A									
Symbol: EVIBX									
Trade date: Nov 21, 02	1,113.173	5,390	6,000.00	6,000.00	5,840	6,500.93	500.93		LT
Trade date: Mar 18, 03	892.857	5,600	5,000.00	5,000.00	5,840	5,214.28	214.28		LT
Total reinvested	1,932.466	5,759		11,129.36	5,840	11,285.60	156.24		
EAF: \$1,930 Current yield: 8.39%									
Security total	3,938.496		11,000.00	22,129.36		23,000.81	871.45	12,000.81	

Your total assets

	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash		28,706.16	13.71%	28,706.16		
Equities		157,728.19	75.31%	142,926.98	2,520.00	14,801.20
Fixed income	Mutual funds	23,000.81	10.98%	22,129.36	1,930.00	871.45
Total		\$209,435.16	100.00%	\$193,762.50	\$4,450.00	\$15,672.65

Richard A. Berg - SEP - UBS

JE10047 - Client Inquiry - Activity for 05/05/11 12:29:50

Page 1 of 3

Generated On: 05/05/2011 Account # In View: B004770 UBS Financial Services Inc.

Filtered By: Entry Date 01/01/2010-12/31/2010, All Securities Transactions, All Cash Transactions, Money Market Excluded, MEX Debts Excluded

Entry Date	Settle Date	Activity	Description	Symbol / Security #	Type	Quantity	Price/Detail	Amount
12/29/10	12/29/10	DIVIDEND	AMERICAN FUNDS SMALLCAP WORLD FUND CLASS F	MFCSSO-SMUC3		1	0.000	\$554.68
12/29/10	12/29/10	REINVESTMENT	AMERICAN FUNDS SMALLCAP WORLD FUND CLASS F	MFCSSO-SMUC3		1	14.528	\$554.50
12/28/10	12/28/10	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 12/27/10	MMLIRA-9194A1		1	0.000	\$5.73
12/22/10	12/22/10	DIVIDEND	AMERICAN FUNDS GROWTH FUND OF AMERICA CLASS F	MFMAGC-S4US6		1	0.000	\$151.41
12/22/10	12/22/10	REINVESTMENT	AMERICAN FUNDS GROWTH FUND OF AMERICA CLASS F	MFMAGC-S4US6		1	5.022	\$151.41
12/17/10	12/17/10	DIVIDEND	FIRST EAGLE OVERSEAS FUND CLASS A	MFRSSO-S4MVE8		1	0.000	\$667.11
12/17/10	12/17/10	DIVIDEND	ALLIANZ NFJ DIVIDEND VALUE FUND CLASS A AS OF 12/16/10	MFPING-S4OJ78		1	0.000	\$194.45
12/17/10	12/17/10	LT CAP GAIN	FIRST EAGLE OVERSEAS FUND CLASS A	MFRSSO-S4MVE8		1	0.000	\$435.07
12/17/10	12/17/10	REINVESTMENT	FIRST EAGLE OVERSEAS FUND CLASS A	MFRSSO-S4MVE8		1	19.607	\$435.07
12/17/10	12/17/10	REINVESTMENT	FIRST EAGLE OVERSEAS FUND CLASS A	MFRSSO-S4MVE8		1	30.064	\$567.11
12/17/10	12/17/10	REINVESTMENT	ALLIANZ NFJ DIVIDEND VALUE FUND CLASS A AS OF 12/16/10	MFPING-S4OJ78		1	17.377	\$136.45
12/13/10	12/13/10	DIVIDEND	ROYCE MICRO-CAP FUND AS OF 12/09/10	MFRMHC-S4MWS3		1	0.000	\$324.57
12/13/10	12/13/10	REINVESTMENT	ROYCE MICRO-CAP FUND DIVIDEND REINVESTED AS OF 12/09/10	MFRMHC-S4MWS3		1	19.000	\$324.57
12/13/10	12/13/10	REINVESTMENT	ROYCE MICRO-CAP FUND AS OF 12/09/10	MFRMHC-S4MWS3		1	0.059	\$1.00
12/01/10	12/01/10	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 11/30/10	MFEVIF-S4H53		1	0.000	\$157.50
12/01/10	12/01/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 11/30/10	MFEVIF-S4H53		1	27.249	\$157.50
11/24/10	11/24/10	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 11/23/10	MMLIRA-9194A1		1	0.000	\$5.15
11/01/10	11/01/10	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 10/29/10	MFEVIF-S4H53		1	0.000	\$161.56
11/01/10	11/01/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 10/29/10	MFEVIF-S4H53		1	27.617	\$161.56
10/26/10	10/26/10	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 10/25/10	MMLIRA-9194A1		1	0.000	\$5.76
10/22/10	10/22/10	FEE BILLED	QUARTERLY FEE Market Value \$194.166				0.000	\$560.70
10/22/10	10/22/10	FEE PAID	FEE				0.000	\$265.70
10/22/10	10/22/10	FEE PAID	QUARTERLY FEE				0.000	\$660.70
10/01/10	10/01/10	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 09/30/10	MFEVIF-S4H53		1	0.000	\$155.36
10/01/10	10/01/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 09/30/10	MFEVIF-S4H53		1	27.113	\$155.36
09/27/10	09/27/10	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 09/24/10	MMLIRA-9194A1		1	0.000	\$5.13
09/17/10	09/17/10	DIVIDEND	ALLIANZ NFJ DIVIDEND VALUE FUND CLASS A AS OF 09/16/10	MFPING-S4OJ78		1	0.000	\$151.36
09/17/10	09/17/10	REINVESTMENT	ALLIANZ NFJ DIVIDEND VALUE FUND CLASS A AS OF 09/16/10	MFPING-S4OJ78		1	14.532	\$151.36
09/10/10	09/10/10	CALL REDEMPTION	COLONIAL PROPERTIES TR SER D CLASS A AS OF 09/16/10	0964G3		1	200.000	\$5,000.00
09/10/10	09/10/10	INTEREST	COLONIAL PROPERTIES TR SER D 8.125% PREFERRED	0964G3		1	0.000	\$45.14
09/09/10	09/09/10	FROM SEG	COLONIAL PROPERTIES TR SER D 8.125% PREFERRED	0964G3		1	200.000	\$0.00
09/09/10	09/09/10	INTD RED	COLONIAL PROPERTIES TR SER D 8.125% PREFERRED	0964G3		1	200.000	\$0.00
09/01/10	09/01/10	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 08/31/10	MFEVIF-S4H53		1	0.000	\$159.30
09/01/10	09/01/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 08/31/10	MFEVIF-S4H53		1	28.295	\$159.30
08/26/10	08/26/10	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 08/23/10	MMLIRA-9194A1		1	0.000	\$4.89

JE10047 - Client Inquiry - Activity for 05/05/11 12:29:50 Page 2 of 3

Entry Date	Settle Date	Activity	Description	Symbol / Security	Type	Quantity	Price/Detail	Amount
08/02/10	08/02/10	DIVIDEND	COLONIAL PROPERTIES TR SER D 8.125% PREFERRED	CLPRD-0964K3	1	0.000	DIV 200	\$101.56
08/02/10	08/02/10	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 07/30/10	MEVIF-S43H53	1	0.000	DIV	\$158.34
08/02/10	08/02/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 07/30/10	MEVIF-S43H53	1	27.942	REINVEST DIV	\$158.34
07/27/10	07/27/10	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 07/26/10	MHLIRA-9194A1	1	0.000	DIVID INCOME	\$5.33
07/23/10	07/23/10	FEE BILLED	QUARTERLY FEE Market Value \$122.798		F	0.000	FEE CHARGED	\$914.81
07/23/10	07/23/10	FEE REMITTED	FEE QUARTERLY FEE		F	0.000	FEE PAID	\$914.81
07/23/10	07/23/10	FEE REMITTED	QUARTERLY FEE		F	0.000	FEE REMITTED	\$604.83
07/01/10	07/01/10	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 06/30/10	MEVIF-S43H53	1	0.000	DIV	\$152.06
07/01/10	07/01/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 06/30/10	MEVIF-S43H53	1	27.448	REINVEST DIV	\$152.06
06/25/10	06/25/10	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 06/24/10	MHLIRA-9194A1	1	0.000	DIVID INCOME	\$5.15
06/18/10	06/18/10	DIVIDEND	ALLIANZ NF DIVIDEND VALUE FUND CLASS A AS OF 06/17/10	MFPNG-S40J78	1	0.000	DIV	\$215.58
06/18/10	06/18/10	REINVESTMENT	ALLIANZ NF DIVIDEND VALUE FUND CLASS A AS OF 06/17/10	MFPNG-S40J78	1	21.429	REINVEST DIV	\$215.58
06/01/10	06/01/10	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 05/28/10	MEVIF-S43H53	1	0.000	DIV	\$155.81
06/01/10	06/01/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 05/28/10	MEVIF-S43H53	1	28.226	REINVEST DIV	\$155.81
05/24/10	05/24/10	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 05/21/10	MHLIRA-9194A1	1	0.000	DIVID INCOME	\$3.72
05/03/10	05/03/10	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 04/30/10	MEVIF-S43H53	1	0.000	DIV	\$149.74
05/03/10	05/03/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 04/30/10	MEVIF-S43H53	1	26.178	REINVEST DIV	\$149.74
04/29/10	04/30/10	DIVIDEND	COLONIAL PROPERTIES TR SER D 8.125% PREFERRED	CLPRD-0964K3	1	0.000	DIV 200	\$101.56
04/27/10	04/27/10	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 04/26/10	MHLIRA-9194A1	1	0.000	DIVID INCOME	\$3.64
04/23/10	04/23/10	FEE PAID	QUARTERLY FEE Market Value \$189.416		F	0.000	FEE CHARGED	\$124.16
04/23/10	04/23/10	FEE PAID	FEE QUARTERLY FEE		F	0.000	FEE PAID	\$124.16
04/23/10	04/23/10	FEE REMITTED	QUARTERLY FEE		F	0.000	FEE REMITTED	\$634.16
04/01/10	04/01/10	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 03/31/10	MEVIF-S43H53	1	0.000	DIV	\$153.72
04/01/10	04/01/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 03/31/10	MEVIF-S43H53	1	22.207	REINVEST DIV	\$153.72
03/26/10	03/26/10	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 03/25/10	MHLIRA-9194A1	1	0.000	DIVID INCOME	\$3.24
03/19/10	03/19/10	DIVIDEND	ALLIANZ NF DIVIDEND VALUE FUND CLASS A AS OF 03/18/10	MFPNG-S40J78	1	0.000	DIV	\$185.16
03/19/10	03/19/10	REINVESTMENT	ALLIANZ NF DIVIDEND VALUE FUND CLASS A AS OF 03/18/10	MFPNG-S40J78	1	17.468	REINVEST DIV	\$185.16
03/01/10	03/01/10	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 02/26/10	MEVIF-S43H53	1	0.000	DIV	\$137.90
03/01/10	03/01/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 02/26/10	MEVIF-S43H53	1	24.874	REINVEST DIV	\$137.90
02/23/10	02/23/10	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 02/22/10	MHLIRA-9194A1	1	0.000	DIVID INCOME	\$3.05
02/01/10	02/01/10	DIVIDEND	COLONIAL PROPERTIES TR SER D 8.125% PREFERRED	CLPRD-0964K3	1	0.000	DIV 200	\$101.56
02/01/10	02/01/10	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 01/29/10	MEVIF-S43H53	1	0.000	DIV	\$151.42
02/01/10	02/01/10	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 01/29/10	MEVIF-S43H53	1	22.136	REINVEST DIV	\$151.42
01/26/10	01/26/10	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 01/25/10	MHLIRA-9194A1	1	0.000	DIVID INCOME	\$2.96
01/23/10	01/23/10	FEE BILLED	QUARTERLY FEE Market Value \$181.403		F	0.000	FEE CHARGED	\$123.82
01/23/10	01/23/10	FEE PAID	FEE QUARTERLY FEE		F	0.000	FEE PAID	\$123.82
01/23/10	01/23/10	FEE REMITTED	QUARTERLY FEE		F	0.000	FEE REMITTED	\$633.85
01/04/10	01/04/10	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 12/31/09	MHLIRA-9194A1	1	0.000	DIVID INCOME	\$1.04
01/04/10	01/04/10	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 12/31/09	MEVIF-S43H53	1	0.000	DIV	\$150.35

JE10047 - Client Inquiry - Activity for - 05/05/11 12:29:50 Page 3 of 3

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
01/04/10	01/04/10	REINVESTMENT	BOSTON VANCE INCOME FUND OF EATON VANCE CLASS A AS OF 12/31/09	MEVIF-543453	I	27.139	REINVEST DIV	\$150.35

² Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.
 Intraday updates for Equity, Fixed Income and Mutual Fund trades are assumed, and may not match what is charged to the account. Fixed income agency trades may not include commission and fees. Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some fees are not included intraday.
 This report is for informational purposes only and may or may not include all holdings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The firm's periodic account statements and official tax documents are the only official record of client accounts and are not superseded, replaced, or amended by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, for tax purposes or otherwise.



UBS Financial Services Inc.
51 BROADWAY
FARGO ND 58102-4933

C72004851935 1210 X2 80 0

Retirement Account ACCESS December 2010

Your Financial Advisor:

Visit our website:
www.ubs.com/financialservices

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, discuss with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Secondary - None selected

Account name: TRACY J MARTIN

SEP

Account type: Simplified Employee Pension

Account number:

Value of your account

	on November 30 (\$)	on December 31 (\$)
Your assets	285,971.28	311,227.76
Your liabilities	0.00	0.00
Value of your account	\$285,971.28	\$311,227.76

Your account instructions
• Your ACCESS account is managed by NMO EQUITY.
• UBS Financial Services Inc. is your custodian.

Change in the value of your account

	December 2010 (\$)	Year to date (\$)
Opening account value	\$285,971.28	\$272,968.65
Withdrawals and fees, including investments transferred out	0.00	-5,023.35
Dividend and interest income	716.49	4,551.35
Change in market value	24,539.99	38,731.11
Closing account value	\$311,227.76	\$311,227.76

Member SIPC

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Page 5 of 22



Account name:
Account number:

TRACY J MARTIN

Cash activity summary

See the section *Account activity this month* for details. UBS Bank USA deposit account balances are included in the opening and closing balances. Values are insured by the FDIC within applicable limits but are not protected by SIPC. See *Important information about your statement* at the end of this document for details about these balances.

	December 2010 (\$)	Year to date (\$)
Opening balances	\$9,936.15	\$5,776.77
Additions		
Dividend and interest income	716.49	4,551.35
Proceeds from investment transactions	8,458.59	63,282.71
Total additions	\$9,175.08	\$67,834.06
Subtractions		
Professional management fees and related services	0.00	-4,934.48
Other funds debited	0.00	-88.87
Funds withdrawn for investments bought	-10,345.87	-59,822.12
Total subtractions	-\$10,345.87	-\$64,845.47
Net cash flow	-\$1,170.79	\$2,988.59
Closing balances	\$8,765.36	\$8,765.36

Dividend and interest income earned

For purposes of this statement, tangibility of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and the Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2010 (\$)	Year to date (\$)
Dividends	716.49	4,551.34
Total current year	\$716.49	\$4,551.34
Prior year adjustment	0.00	0.01
Total dividend & interest	\$716.49	\$4,551.35

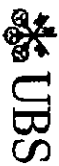
Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified

	Realized gains and losses	Unrealized gains and losses (\$)
	December 2010 (\$)	Year to date (\$)
Short term	0.00	2,803.46
Long term	120.81	9,789.54
Total	\$120.81	\$12,593.00

Withholdings and tax summary

	December 2010 (\$)	Year to date (\$)
Foreign taxes paid	0.00	-88.87



ACCESS
December 2010

Account name: TRACY J MARTIN
Account type: Simplified Employee Pension
Account number:

Your Financial Advisor:

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important information about your statement at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend interest period	Days in period
Cash	0.00	32.92				
UBS CASH RESERVES FUND	9,936.15	8,732.44	1.00	0.01%	Nov 23 to Dec 26	34
Total	\$9,936.15	\$8,765.36				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMGEN INC								
Symbol: AMGN Exchange: OTC								
	Jul 10, 07	67,000	54.452	3,648.31	54.900	3,678.30	29.99	LT
	Nov 15, 07	59,000	54.690	3,226.73	54.900	3,239.10	12.37	LT
	Apr 14, 09	20,000	47.652	953.05	54.900	1,098.00	144.95	LT
	Sep 23, 09	33,000	60.502	1,996.59	54.900	1,811.70	-184.89	LT
	May 25, 10	35,000	52.191	1,826.71	54.900	1,921.50	94.79	ST
Security total		214,000		11,651.39		11,748.60	97.21	

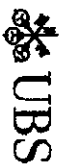
ANGLOGOLD ASHANTI LTD NEW SPON

ADR

Symbol: AU Exchange: NYSE
EAI: 341 Current yield: 0.38%

	Apr 9, 08	40,000	35.854	1,434.18	49.230	1,969.20	535.02	LT
	Jan 3, 08	82,000	34.652	2,841.54	49.230	4,036.86	1,195.32	LT
	Jun 23, 08	29,000	24.614	713.82	49.230	1,427.67	713.85	LT
	Jul 7, 08	60,000	32.721	1,963.29	49.230	2,953.80	990.51	LT
	Sep 1, 09	5,000	36.040	180.20	49.230	246.15	65.95	LT
	Feb 25, 10	6,000	34.853	209.12	49.230	295.38	86.26	ST
Security total		222,000		7,342.15		10,929.06	3,586.91	

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Account name: TRACY J MARTIN
Account number:

Your assets > Equities > Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ACON CORP								
Symbol: ACON Exchange: NYSE								
EAT: \$118 Current yield: 1.30%								
	Jul 14, 03	75,000	23.506	1,762.97	46.010	3,450.75	1,687.78	LT
	Sep 12, 06	8,000	34.160	273.28	46.010	368.08	94.80	LT
	Sep 14, 06	64,000	34.022	2,177.47	46.010	2,944.64	767.17	LT
	Oct 30, 09	10,000	37.942	379.43	46.010	460.10	80.67	LT
	Dec 2, 09	15,000	38.463	576.95	46.010	690.15	113.20	LT
	Dec 3, 09	20,000	38.388	767.77	46.010	920.20	152.43	LT
	Dec 4, 09	5,000	38.419	192.10	46.010	230.05	37.95	LT
Security total		197,000		6,129.97		9,063.97	2,934.00	
APACHE CORP								
Symbol: APA Exchange: NYSE								
EAT: \$70 Current yield: 0.51%								
	Sep 12, 06	38,000	62.921	2,391.00	119.230	4,530.74	2,139.74	LT
	Jan 8, 07	38,000	65.698	2,496.53	119.230	4,530.74	2,034.21	LT
	Apr 19, 07	11,000	74.007	814.09	119.230	1,311.53	497.44	LT
	Apr 20, 07	17,000	74.639	1,268.86	119.230	2,026.91	758.05	LT
	Apr 23, 07	9,000	75.176	676.59	119.230	1,073.07	396.48	LT
	Apr 24, 07	3,000	75.192	225.58	119.230	357.69	132.11	LT
Security total		116,000		7,872.65		13,830.68	5,958.03	
BARICK GOLD CORP CAD								
Symbol: ABX Exchange: NYSE								
EAT: \$129 Current yield: 0.91%								
	Jul 9, 02	20,000	18.880	377.60	53.180	1,063.60	686.00	LT
	Jul 10, 02	75,000	18.980	1,423.50	53.180	3,988.50	2,565.00	LT
	Dec 17, 02	130,000	15.973	2,076.59	53.180	6,913.40	4,836.81	LT
	Feb 4, 04	40,000	19.810	792.40	53.180	2,127.20	1,334.80	LT
	Sep 10, 09	3,000	37.202	111.61	53.180	159.54	47.93	LT
Security total		268,000		4,781.70		14,252.24	9,470.54	
CA INC								
Symbol: CA Exchange: OTC								
EAT: \$100 Current yield: 0.66%								
	Jul 9, 02	94,000	15.360	1,443.84	24.440	2,297.36	853.52	LT
	Jul 10, 02	145,000	14.350	2,080.75	24.440	3,543.80	1,463.05	LT
	Jul 24, 02	165,000	8.400	1,386.00	24.440	4,032.60	2,646.60	LT
	Feb 8, 05	7,000	27.854	194.98	24.440	171.08	-23.90	LT
Security total								

continued next page



ACCESS
December 2010

Account name: TRACY J MARTIN
Account type: Simplified Employee Pension
Account number:

Your Financial Advisor:

Your assets > Equities > Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
CANADIAN NAT RESOURCES LTD CAD								
Symbol: CNO Exchange: NYSE								
EAL: \$62 Current yield: 0.68%								
	Nov 16, 09	50.000	34.638	1,731.93	44.420	2,221.00	489.07	LT
	Nov 20, 09	72.000	32.916	2,370.02	44.420	3,198.24	828.22	LT
	Jan 25, 10	16.000	33.278	532.45	44.420	710.72	178.27	ST
	Jan 26, 10	68.000	32.930	2,239.30	44.420	3,020.56	781.26	ST
Security total		206.000		6,873.70		9,150.52	2,276.82	
CTMGROUP INC								
Symbol: C Exchange: NYSE								
	Dec 17, 09	1,031.000	3.182	3,281.16	4.730	4,876.63	1,595.47	LT
	Dec 18, 09	570.000	3.249	1,852.22	4.730	2,696.10	843.88	LT
Security total		1,601.000		5,133.38		7,572.73	2,439.35	
CVS CAREMARK CORP								
Symbol: CVS Exchange: NYSE								
EAL: \$29 Current yield: 0.99%								
	Nov 5, 09	84.000	28.788	2,418.25	34.770	2,920.68	502.43	LT
GENERAL MOTORS CO								
Symbol: GM Exchange: NYSE								
	Nov 22, 10	99.000	34.214	3,387.23	36.860	3,649.14	261.91	ST
	Nov 23, 10	30.000	33.697	1,010.93	36.860	1,105.80	94.87	ST
Security total		129.000		4,398.16		4,754.94	356.78	
GENWORTH FINANCIAL INC CL A								
Symbol: GNW Exchange: NYSE								
	May 25, 04	17.000	19.299	328.09	13.140	223.38	-104.71	LT
	May 26, 04	40.000	19.500	780.00	13.140	525.60	-254.40	LT
	May 27, 04	100.000	19.499	1,949.99	13.140	1,314.00	-635.99	LT
	Apr 28, 06	27.000	33.321	899.67	13.140	354.78	-544.89	LT
	Jan 9, 08	136.000	23.735	3,228.04	13.140	1,787.04	-1,441.00	LT
	Oct 29, 10	78.000	11.405	889.63	13.140	1,024.92	135.29	ST
	Nov 1, 10	22.000	11.510	253.22	13.140	289.08	35.86	ST
	Nov 3, 10	36.000	11.529	415.07	13.140	473.04	57.97	ST
	Dec 3, 10	28.000	12.480	349.45	13.140	367.92	18.47	ST
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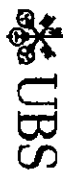


Account name: TRACY J MARTIN
Account number:

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
GOLDMAN SACHS GROUP INC								
Symbol: GS Exchange: NYSE								
EAI: \$25 Current yield: 0.83%								
	Dec 6, 10	28,000	12.420	347.78	13.140	367.92	20.14	ST
	Dec 7, 10	38,000	12.696	482.46	13.140	499.32	16.86	ST
	Dec 8, 10	38,000	13.026	494.99	13.140	499.32	4.33	ST
	Dec 9, 10	15,000	13.002	195.04	13.140	197.10	2.06	ST
Security total								
603,000 10,613.43 7,923.42 -2,690.01								
HALLIBURTON CO								
(HOLDING COMPANY)								
Symbol: HAL Exchange: NYSE								
EAI: \$27 Current yield: 0.89%								
	Aug 25, 10	7,000	144.304	1,010.13	168.160	1,177.12	166.99	ST
	Aug 26, 10	11,000	144.373	1,588.11	168.160	1,849.76	261.65	ST
Security total								
18,000 2,598.24 3,026.88 428.64								
HARTFORD FINCL SERVICES GROUP INC								
Symbol: HIG Exchange: NYSE								
EAI: \$48 Current yield: 0.76%								
	Jul 9, 02	44,000	58.120	2,557.28	26.490	1,165.56	-1,391.72	LT
	Jul 10, 02	35,000	54.820	1,918.70	26.490	927.15	-991.55	LT
	Aug 2, 06	48,000	83.648	4,015.12	26.490	1,271.52	-2,743.60	LT
	Jul 25, 07	36,000	96.333	3,467.99	26.490	953.64	-2,514.35	LT
	Dec 22, 09	75,000	23.324	1,789.32	26.490	1,986.75	237.43	LT
Security total								
238,000 13,708.41 6,304.62 -7,403.79								
HESS CORP								
Symbol: HES Exchange: NYSE								
EAI: \$25 Current yield: 0.52%								
	Apr 18, 07	63,000	56.419	3,554.43	76.540	4,822.02	1,267.59	LT
INGESCOLD-RAND PLC								
Symbol: IIR Exchange: NYSE								
EAI: \$46 Current yield: 0.60%								
	Jul 9, 02	94,000	23.035	2,165.29	47.090	4,426.46	2,261.17	LT
	Jul 10, 02	70,000	21.630	1,514.10	47.090	3,296.30	1,782.20	LT
Security total								
164,000 3,679.39 7,722.76 4,043.37								
JPMORGAN CHASE & CO								
Symbol: JPM Exchange: NYSE								
EAI: \$26 Current yield: 0.48%								
	Jul 9, 02	10,000	32.360	323.60	42.420	424.20	100.60	LT

continued next page



ACCESS
December 2010

Account name: TRACY J MARTIN
Account type: Simplified Employee Pension
Account number:

Your Financial Advisor

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
KIMBERLY CLARK CORP Symbol: KMB Exchange: NYSE EAI: \$166 Current yield: 4.18%	Jul 10, 02	65,000	30.710	1,996.15	42.420	2,757.30	761.15	LT
	Jun 29, 07	54,000	48.264	2,606.27	42.420	2,290.68	-315.59	LT
		129,000		4,926.02		5,472.18	546.16	
Security total								
KNOXEN COMPANY Symbol: KRI Exchange: NYSE EAI: \$80 Current yield: 1.88%	Jul 10, 02	13,000	59.440	774.34	63.040	819.52	45.18	LT
	Dec 9, 02	15,000	45.610	685.53	63.040	945.60	260.07	LT
	Dec 23, 02	35,000	46.250	1,621.97	63.040	2,206.40	584.43	LT
		63,000		3,081.84		3,971.52	889.68	
Security total								
LOCKHEED MARTIN CORP Symbol: LMT Exchange: NYSE EAI: \$291 Current yield: 4.29%	Sep 22, 09	31,000	20.426	633.22	22.360	693.16	59.94	LT
	Sep 23, 09	35,000	20.562	719.68	22.360	782.60	62.92	LT
	Sep 24, 09	55,000	20.548	1,130.14	22.360	1,229.80	99.66	LT
	Sep 25, 09	30,000	20.579	617.37	22.360	670.80	53.43	LT
Security total								
LINCOLN NATL CORP MD Symbol: LNC Exchange: NYSE EAI: \$30 Current yield: 0.71%	Sep 28, 09	39,000	20.564	802.02	22.360	872.04	70.02	LT
		190,000		3,902.43		4,248.40	345.97	
Security total								
LOCKHEED MARTIN CORP Symbol: LMT Exchange: NYSE EAI: \$291 Current yield: 4.29%	Jun 22, 10	151,000	27.474	4,148.60	27.810	4,199.31	50.71	ST
Security total								
LOEWS CORP Symbol: L Exchange: NYSE EAI: \$61 Current yield: 0.64%	Feb 9, 04	46,000	49.222	2,264.22	69.910	3,215.86	951.64	LT
	Oct 26, 05	30,000	60.735	1,822.05	69.910	2,097.30	275.25	LT
	Aug 4, 09	9,000	75.402	678.62	69.910	629.19	-49.43	LT
	Sep 1, 09	9,000	75.507	679.57	69.910	629.19	-50.38	LT
Security total								
LOEWS CORP Symbol: L Exchange: NYSE EAI: \$61 Current yield: 0.64%	Sep 2, 09	3,000	75.075	225.23	69.910	209.73	-15.50	LT
		97,000		5,669.69		6,781.27	1,111.58	
Security total								
LOEWS CORP Symbol: L Exchange: NYSE EAI: \$61 Current yield: 0.64%	Oct 25, 07	65,000	49.759	3,234.34	38.910	2,529.15	-705.19	LT
	Nov 9, 07	38,000	45.886	1,743.68	38.910	1,478.58	-265.10	LT
	Oct 7, 08	72,000	34.557	2,488.13	38.910	2,801.52	313.39	LT

continued next page



Account name: TRACY J MARTIN
Account number:

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
MERCK & CO INC NEW COM Symbol: MRK Exchange: NYSE EAI: \$192 Current yield: 4.23%	Mar 23, 09	69,000	23.228	1,602.77	38.910	2,684.79	1,082.02	LT
		244,000		9,068.92		9,494.04	425.12	
Security total								
METLIFE INC Symbol: MET Exchange: NYSE EAI: \$182 Current yield: 1.66%	Oct 8, 08	72,000	31.915	2,297.92	44.440	3,199.68	901.76	LT
	Oct 9, 08	96,000	28.228	2,709.91	44.440	4,266.24	1,556.33	LT
	Dec 3, 09	55,000	34.250	1,883.75	44.440	2,444.20	560.45	LT
	Dec 6, 10	23,000	40.831	939.11	44.440	1,022.12	83.01	ST
Security total		246,000		7,830.69		10,932.24	3,101.55	
MICROSOFT CORP Symbol: MSFT Exchange: OTC EAI: \$87 Current yield: 2.29%								
	Jun 27, 05	4,000	25.100	100.40	27.910	111.64	11.24	LT
	Jun 10, 08	132,000	27.872	3,679.18	27.910	3,684.12	4.94	LT
Security total		136,000		3,779.58		3,795.76	16.18	
MOTOROLA INC Symbol: MOT Exchange: NYSE								
	Sep 24, 03	53,000	11.018	584.00	9.070	480.71	-103.29	LT
	Dec 15, 03	85,000	11.524	979.56	9.070	770.95	-208.61	LT
	Nov 9, 04	102,000	14.967	1,526.64	9.070	925.14	-601.50	LT
	Jun 5, 06	118,000	21.457	2,531.93	9.070	1,070.26	-1,461.67	LT
	Nov 8, 06	87,000	21.600	1,879.20	9.070	789.09	-1,090.11	LT
	Jun 6, 07	168,000	18.184	3,054.96	9.070	1,523.76	-1,531.20	LT
	Jan 8, 08	291,000	15.042	4,377.22	9.070	2,639.37	-1,737.85	LT
	Mar 4, 08	455,000	9.830	4,472.88	9.070	4,126.85	-346.03	LT
	Feb 10, 09	115,000	3.957	455.08	9.070	1,043.05	587.97	LT
	Jan 29, 10	140,000	6.181	865.45	9.070	1,269.80	404.35	ST
	Feb 1, 10	54,000	6.322	341.40	9.070	489.78	148.38	ST
Security total		1,668,000		21,068.32		15,128.76	-5,939.56	



ACCESS
December 2010

Account name: TRACY J MARTIN
Account type: Simplified Employee Pension
Account number:

Your Financial Advisor:

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
NOBLE ENERGY INC								
Symbol: NBL Exchange: NYSE								
EAI: 553 Current yield: 0.83%								
	May 19, 05	35,000	34.353	1,202.36	86.080	3,012.80	1,810.44	LT
	Sep 15, 08	39,000	57.787	2,253.71	86.080	3,357.12	1,103.41	LT
Security total		74,000		3,456.07		6,369.92	2,913.85	
NRG ENERGY INC NEW								
Symbol: NRG Exchange: NYSE								
	Mar 7, 08	116,000	42.234	4,899.21	19.540	2,266.64	-2,632.57	LT
	May 12, 08	48,000	42.392	2,034.84	19.540	937.92	-1,096.92	LT
Security total		164,000		6,934.05		3,204.56	-3,729.49	
OCCIDENTAL PETROLEUM CORP								
Symbol: OXY Exchange: NYSE								
EAI: 3105 Current yield: 1.55%								
	Apr 12, 10	34,000	86.654	2,946.26	98.100	3,335.40	389.14	ST
	Apr 14, 10	26,000	85.770	2,220.03	98.100	2,550.60	320.57	ST
	Apr 15, 10	9,000	85.658	770.92	98.100	882.90	111.98	ST
Security total		69,000		5,947.21		6,768.90	821.69	
PRIZER INC								
Symbol: PFE Exchange: NYSE								
EAI: 5582 Current yield: 4.57%								
	Mar 29, 10	249,000	17.279	4,302.52	17.510	4,359.99	57.47	ST
	Apr 14, 10	183,000	17.050	3,120.19	17.510	3,204.33	84.14	ST
	Apr 26, 10	96,000	16.888	1,621.26	17.510	1,680.96	59.70	ST
	Dec 22, 10	78,000	17.468	1,362.57	17.510	1,365.78	3.21	ST
	Dec 27, 10	84,000	17.515	1,471.33	17.510	1,470.84	-0.49	ST
	Dec 28, 10	38,000	17.661	671.14	17.510	665.38	-5.76	ST
Security total		728,000		12,549.01		12,747.28	198.27	
PHILIP MORRIS INTL INC								
Symbol: PM Exchange: NYSE								
EAI: 3259 Current yield: 4.38%								
	Jul 9, 02	4,000	24.824	99.301	58.530	234.12	134.82	LT
	Jul 10, 02	60,000	24.089	1,445.34	58.530	3,511.80	2,066.46	LT
	Jun 21, 06	37,000	38.036	1,407.35	58.530	2,165.61	758.26	LT
Security total		101,000		2,951.99		5,911.53	2,959.54	
PTINEX BOWES INC								
Symbol: PBI Exchange: NYSE								
EAI: 5472 Current yield: 6.04%								
	Jul 9, 02	53,000	38.970	2,065.41	24.180	1,281.54	-783.87	LT

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Page 15 of 22



Account name: TRACY J MARTIN
Account number:

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding Period
RAYTHEON CO NEW Symbol: RTN Exchange: NYSE EAL \$116 Current yield: 3.25%	Jul 10, 02	40,000	38.230	1,529.20	24.180	967.20	-562.00	LT
	Feb 5, 03	35,000	32.470	1,136.45	24.180	846.30	-290.15	LT
	Dec 29, 05	78,000	42.500	3,315.00	24.180	1,886.04	-1,428.96	LT
	Nov 16, 07	19,000	37.963	721.30	24.180	459.42	-261.88	LT
	Jan 4, 08	70,000	36.773	2,574.15	24.180	1,692.60	-881.55	LT
	Jan 7, 08	28,000	36.960	1,034.89	24.180	677.04	-357.85	LT
Security total		323,000		12,376.40		7,810.14	-4,566.26	
SANOFI-AVENTIS SA SPON ADR Symbol: SNI Exchange: NYSE EAL \$353 Current yield: 3.42%	Sep 4, 03	47,000	32.366	1,521.21	46.340	2,177.98	656.77	LT
	Oct 14, 03	30,000	28.003	840.11	46.340	1,390.20	550.09	LT
		77,000		2,361.32		3,568.18	1,206.86	
Security total								
TAUSMAN ENERGY INC CAD Symbol: TLM Exchange: NYSE EAL \$66 Current yield: 1.14%	Aug 2, 07	172,000	41.244	7,094.02	32.230	5,543.56	-1,550.46	LT
	May 4, 10	39,000	33.208	1,295.14	32.230	1,256.97	-38.17	ST
	May 5, 10	34,000	32.788	1,114.81	32.230	1,095.82	-18.99	ST
	Nov 29, 10	21,000	30.955	650.07	32.230	676.83	26.76	ST
	Nov 30, 10	40,000	30.515	1,220.61	32.230	1,289.20	68.59	ST
	Dec 1, 10	14,000	31.354	438.96	32.230	451.22	12.26	ST
Security total		320,000		11,813.61		10,313.60	-1,500.01	
TIME WARNER INC NEW Symbol: TWX Exchange: NYSE EAL \$164 Current yield: 2.64%	Jun 24, 08	145,000	22.487	3,260.73	22.190	3,217.55	-43.18	LT
	Jul 22, 08	117,000	18.809	2,212.38	22.190	2,596.23	383.85	LT
		262,000		5,473.11		5,813.78	340.67	
Security total								
Security total	Oct 13, 10	85,000	31.542	2,681.09	32.170	2,734.45	53.36	ST
	Oct 14, 10	54,000	31.323	1,691.48	32.170	1,737.18	45.70	ST
	Dec 21, 10	26,000	31.817	827.27	32.170	836.42	9.15	ST
	Dec 22, 10	28,000	31.967	895.09	32.170	900.76	5.67	ST
Security total		193,000		6,094.93		6,208.81	113.88	

continued next page



ACCESS
December 2010

Account name: TRACY J MARTIN
Account type: Simplified Employee Pension
Account number:

Your Financial Advisor:

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
UNION PACIFIC CORP								
Symbol: UNP Exchange: NYSE								
EAI: \$91 Current yield: 1.64%	Mar 9, 04	60,000	30.796	1,847.79	92.660	5,559.60	3,711.81	LT
UNUM GROUP								
Symbol: UNM Exchange: NYSE								
EAI: \$115 Current yield: 1.52%	May 20, 10	183,000	21.368	3,910.36	24.220	4,432.26	521.90	ST
	Sep 17, 10	53,000	22.211	1,177.23	24.220	1,283.66	106.43	ST
	Sep 22, 10	76,000	22.266	1,692.28	24.220	1,840.72	148.44	ST
Security total		312,000		6,779.87		7,556.64	776.77	
VIACOM INC NEW CL 8								
Symbol: VIA.B Exchange: NYSE								
EAI: \$191 Current yield: 1.51%	Dec 3, 04	72,000	43.711	3,147.22	39.610	2,851.92	-295.30	LT
	Dec 22, 04	56,000	44.105	2,469.89	39.610	2,218.16	-251.73	LT
	Sep 12, 05	57,000	41.814	2,404.33	39.610	2,257.77	-146.56	LT
	Jan 4, 06	61,000	41.989	2,561.35	39.610	2,416.21	-145.14	LT
	Mar 6, 06	73,000	39.133	2,856.72	39.610	2,891.53	34.81	LT
Security total		319,000		13,439.51		12,635.59	-803.92	
WELLS FARGO & CO NEW								
Symbol: WFC Exchange: NYSE								
EAI: \$46 Current yield: 0.64%	Jul 9, 02	71,000	25.775	1,830.03	30.990	2,200.29	370.26	LT
	Jul 10, 02	100,000	24.565	2,456.50	30.990	3,099.00	642.50	LT
	Mar 23, 06	60,000	32.425	1,945.50	30.990	1,859.40	-86.10	LT
Security total		231,000		6,232.03		7,158.69	926.66	
Total				\$287,704.25		\$302,462.40	\$34,758.15	

Total estimated annual income: \$4,448

† Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.



Account name: TRACY J MARTIN
Account number:

Your assets (continued)

Your total assets

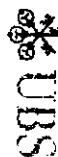
	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash		8,765.36	2.82%	8,765.36		
Equities	Common stock	302,462.40	97.18%	267,704.25	4,448.00	34,758.15
Total		\$311,227.76	100.00%	\$276,469.61	\$4,448.00	\$34,758.15

Account activity this month

For more information about the price/quote shown for restricted securities, see Important Information about your statement at the end of this document.

Date	Activity	Description	Your expense code	Quantity/face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Nov 30		Cash and money balance					\$9,936.15
Dec 1	Dividend	KROGER COMPANY PAID ON	190			19.95	
Dec 1	Dividend	PRIZER INC PAID ON	528			95.04	
Dec 1	Dividend	WELLS FARGO & CO NEW PAID ON	231			11.55	10,062.69
Dec 2	Bought	SANOFI-AVENTIS SA SPON ADR		21.000	30.955700	-650.07	9,412.62
		Average Priced Trade ▶ SYMBOL SNV ▶ CUSIP NO. 80105N105 ▶ Location of Execution: 09 ▶ Capacity: Agent					
Dec 3	Bought	SANOFI-AVENTIS SA SPON ADR		40.000	30.515300	-1,220.61	8,192.01
		Average Priced Trade ▶ SYMBOL SNV ▶ CUSIP NO. 80105N105 ▶ Location of Execution: 09 ▶ Capacity: Agent					
Dec 6	Bought	SANOFI-AVENTIS SA SPON ADR		14.000	31.354000	-438.96	7,753.05
		Average Priced Trade ▶ SYMBOL SNV ▶ CUSIP NO. 80105N105 ▶ Location of Execution: 09 ▶ Capacity: Agent					
Dec 8	Bought	GENWORTH FINANCIAL INC CL A		28.000	12.480400	-349.45	7,403.60
		Average Priced Trade ▶ SYMBOL GNV ▶ CUSIP NO. 37247D106 ▶ Location of Execution: 09 ▶ Capacity: Agent					
Dec 9	Bought	GENWORTH FINANCIAL INC CL A		28.000	12.420700	-347.98	
		Average Priced Trade ▶ SYMBOL GNV ▶ CUSIP NO. 37247D106 ▶ Location of Execution: 01 ▶ Capacity: Agent					
Dec 9	Dividend	MICROSOFT CORP PAID ON	136			21.76	
Dec 9	Bought	METLIFE INC		23.000	40.831000	-939.11	6,138.47
		Average Priced Trade ▶ SYMBOL MET ▶ CUSIP NO. 59156R108 ▶ Location of Execution: 09 ▶ Capacity: Agent					
Dec 10	Bought	GENWORTH FINANCIAL INC CL A		38.000	12.696400	-482.46	
		Average Priced Trade ▶ SYMBOL GNV ▶ CUSIP NO. 37247D106 ▶ Location of Execution: 09 ▶ Capacity: Agent					
Dec 10	St Cap Gain	UBS CASH RESERVES FUND AS OF 12/09/10				71	
Dec 10	Dividend	LOBS CORP PAID ON	244			15.25	5,671.97

continued next page



UBS Financial Services Inc
51 BRIDGEMAN
FARM RD BRIDGE PLAZA

CP22000851953 1710 K2 RD 0

2010 Year End Summary

Account name: TRACY J MARTIN

SEP

Account type: Simplified Employee Pension

Account number:

Your financial advisor:

TRACY J MARTIN
SEP

Summary of gains and losses

	Amount (\$)
Short term	2,803.46
Long term	9,789.54
Total	\$12,593.00

Realized gains and losses

Estimated 2010 gains and losses for transactions with trade dates through 12/31/10 have been incorporated into this statement. To calculate gains and losses, we require the oldest security lot first. This is known as the first-in, first-out (FIFO) accounting method. We use the method unless you specified which lot to use when you placed your order. This is known as a FIFO purchase or LIFO order. See appropriate information about your statement on the last page of this statement for more information.

We may not adjust gains and losses for all capital changes & will have for capital changes (unrealized securities) include securities subject to AMT, has been adjusted for a non-ordinary dividend of long term. Estimate in the Underlying section can call for a dividend to short term or long term because information is missing, or the product is one in which the valuation calculation is not provided.

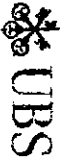
Short-term capital gains and losses

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Gain (\$)	Net gain or loss (\$)
CM GROUP INC	FIFO	145,000	Dec 17, 09	Apr 16, 10	666.78	461.46	205.32	
	FIFO	321,000	Dec 17, 09	Apr 14, 10	1,578.98	1,031.13	547.85	
	FIFO	400,000	Dec 17, 09	Mar 17, 10	1,630.49	1,273.03	357.46	
								Contributed net gains

Member SPC

CP220007000851953 CP22000276794 00003 12100 000024759 0 8C 14

Page 1 of 4



Account name: RACY J MARTIN
Account number:

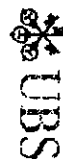
Realized gains and losses (continued) Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
COMCAST CORP NEW SPECIAL (L.A.)	FIFO	8,000	Aug 21, 09	Mar 18, 10	134.54	114.53		20.01	
	FIFO	27,000	Aug 21, 09	Mar 17, 10	453.07	396.55		56.52	
	FIFO	113,000	Aug 20, 09	Mar 16, 10	1,883.65	1,582.61		301.04	
	FIFO	15,000	Aug 21, 09	Mar 16, 10	250.04	214.75		35.29	
CONOCOPHILLIPS	FIFO	13,000	Nov 25, 09	Jun 16, 10	703.40	685.44		17.96	
	FIFO	15,000	Nov 27, 09	Jun 16, 10	811.61	778.90		34.71	
	FIFO	2,000	Nov 20, 09	Jun 15, 10	108.36	101.94		4.42	
	FIFO	20,000	Nov 24, 09	Jun 15, 10	1,053.64	1,045.79		37.85	
	FIFO	7,000	Nov 25, 09	Jun 15, 10	379.27	369.09		10.16	
	FIFO	8,000	Nov 20, 09	Mar 26, 10	408.22	415.76	-7.54		
CVS CAREMARK CORP	FIFO	33,000	Nov 05, 09	Jun 15, 10	1,057.38	950.03		107.35	
	FIFO	18,000	Nov 05, 09	Jun 14, 10	577.72	518.20		59.52	
MOSAIC CO	FIFO	21,000	Jun 21, 10	Oct 08, 10	1,391.18	911.88		479.30	
	FIFO	2,000	Jun 21, 10	Oct 11, 10	133.18	86.85		46.33	
	FIFO	21,000	Jun 22, 10	Oct 11, 10	1,398.33	918.53		479.80	
Total					\$14,549.90	\$11,846.44	-27.54	\$2,811.00	\$2,803.46

Long-term capital gains and losses

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
AETNA INC	FIFO	75,000	May 06, 09	Nov 19, 10	2,278.96	1,457.79		821.17	
	FIFO	5,000	Oct 31, 03	Nov 18, 10	151.70	70.20		81.50	
	FIFO	15,000	May 06, 05	Nov 18, 10	455.10	291.56		163.54	
	FIFO	32,000	Oct 31, 03	Nov 17, 10	1,001.73	463.32		538.41	
	FIFO	13,000	Oct 31, 04	Nov 16, 10	396.68	182.52		214.16	
	FIFO	27,000	Oct 31, 03	Nov 15, 10	844.10	379.08		465.02	
ACN CORP	FIFO	11,000	Nov 11, 02	Apr 25, 10	484.52	186.32		298.19	
	FIFO	5,000	Jul 14, 03	Apr 20, 10	220.24	117.53		102.71	
AT&T INC	FIFO	49,000	Dec 29, 05	May 06, 10	1,749.64	1,207.65		41.79	
	FIFO	80,000	Oct 28, 05	May 05, 10	2,361.06	1,896.16		164.90	
	FIFO	18,000	Dec 29, 05	May 05, 10	463.74	443.70		20.04	

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ACCESS

Account name: TRACY L MARTIN
 Account type: Simplified Employee Pension
 Account number:

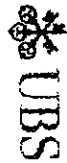
Your Financial Advisor:

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity	Purchase date	Sale date	Cost	Proceeds	Gain/Loss	Unrealized Gain/Loss
UBS CORP NEW CL B	FIFO	41.000	Sep 12, 05	Dec 17, 10	1,615.51	1,135.25	-480.26	
	FIFO	37.000	Dec 22, 04	Dec 16, 10	1,071.26	1,071.26	-487.92	
	FIFO	16.000	Sep 12, 05	Dec 16, 10	286.89	443.03	-116.14	
	FIFO	14.000	Dec 22, 04	Dec 14, 10	251.93	405.34	-153.41	
	FIFO	3.000	Dec 03, 04	Dec 13, 10	90.10	143.47	-53.37	
	FIFO	5.000	Dec 22, 04	Dec 13, 10	90.10	144.77	-54.67	
	FIFO	46.000	Dec 03, 04	Dec 10, 10	827.98	1,319.54	-491.56	
	FIFO	21.000	Dec 03, 04	Dec 08, 10	377.80	602.58	-224.78	
CONOCOR HILLS	FIFO	6.000	Jul 10, 02	Mar 26, 10	306.16	171.46	-134.70	
	FIFO	36.000	Apr 15, 05	Mar 26, 10	1,836.97	2,284.38	-447.41	
MONSTER COMMUNICATIONS CORP	FIFO	13.000	Jul 09, 02	Sep 14, 10	99.77	125.61	-25.84	
	FIFO	10.000	Jul 10, 02	Sep 14, 10	76.74	92.23	-15.59	
	FIFO	6.000	Sep 30, 03	Sep 14, 10	46.05	49.68	-3.03	
	Adjustment	0.524	Jul 09, 02	Jul 02, 10	3.80	4.96	-1.16	
GENWORTH FINANCIAL INC	FIFO	23.000	May 25, 04	Apr 14, 10	388.21	405.29	-17.08	
	FIFO	22.000	May 25, 04	Apr 08, 10	1,323.11	1,389.56	-66.45	
HALIBURTON CO	FIFO	24.000	Nov 12, 08	Dec 13, 10	983.21	422.15	561.06	
	FIFO	12.000	Nov 12, 08	Dec 10, 10	484.02	271.07	212.95	
	FIFO	16.000	Nov 12, 08	Dec 09, 10	646.62	281.43	365.19	
JPMORGAN CHASE & CO	FIFO	24.000	Jul 09, 02	Apr 16, 10	1,105.48	776.64	328.84	
	FIFO	17.000	Jul 10, 02	Sep 22, 10	1,133.96	1,012.59	121.37	
	FIFO	5.000	Jul 10, 02	Aug 27, 10	321.69	297.82	23.87	
	FIFO	8.000	Jul 09, 02	Aug 26, 10	513.57	489.29	24.28	
	FIFO	16.000	Jul 09, 02	Aug 25, 10	1,032.20	978.59	53.61	
	FIFO	9.000	Jul 09, 02	Aug 24, 10	581.43	558.45	22.98	
MERCK & CO INC NEW COM	FIFO	79.000	Dec 99, 08	Dec 20, 10	2,885.15	2,082.41	802.74	
	FIFO	3.000	Feb 27, 09	Dec 20, 10	109.56	75.06	34.50	
MOSAIC CO	FIFO	14.000	Jun 30, 09	Aug 23, 10	824.74	631.92	192.82	

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Account name: TRACY I MARTIN
Account number:

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity acquired (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Long-term gain (\$)	Short-term gain (\$)	Net gain or loss (\$)
NORIE ENERGY INC	FFO	12,000	Jun 30, 09	Aug 20, 10	678.47	541.64	136.83		
	FFO	1,000	Jun 30, 09	Aug 18, 10	57.16	45.14	12.02		
	FFO	24,000	Jun 30, 09	Aug 17, 10	1,338.62	1,083.29	255.33		
	FFO	1,000	May 19, 05	Oct 15, 10	78.14	34.35	43.79		
PHILIP MORRIS INTL INC	FFO	34,000	May 19, 05	Oct 12, 10	2,609.64	1,168.01	1,441.63		
	FFO	4,000	May 19, 05	Oct 11, 10	310.30	137.41	172.89		
	FFO	12,000	Feb 08, 05	Mar 02, 10	887.93	359.11	528.82		
	FFO	6,000	Feb 08, 05	Feb 17, 10	456.28	179.55	276.73		
RAYTHEON CO NEW	FFO	6,000	Feb 08, 05	Jan 26, 10	466.60	179.55	287.05		
	FFO	15,000	Feb 08, 05	Jan 25, 10	1,175.44	448.89	726.55		
	FFO	36,000	Jul 09, 02	Sep 27, 10	2,003.64	893.69	1,109.95		
	FFO	10,000	Sep 04, 03	Jun 15, 10	528.33	323.66	204.67		
UNION PAC INC COM	FFO	12,000	Sep 04, 03	Jun 09, 10	636.93	388.39	248.54		
	FFO	24,000	Dec 09, 02	Feb 19, 10	1,326.48	676.28	650.20		
	FFO	6,000	Sep 04, 03	Feb 19, 10	331.62	194.20	137.42		
	FFO	10,000	Mar 09, 04	Mar 01, 10	675.63	307.96	367.67		
VERIZON COMMUNICATIONS INC	FFO	16,000	Mar 09, 04	Feb 26, 10	1,081.44	492.74	588.70		
	FFO	53,000	Jul 09, 02	Jul 20, 10	1,411.45	1,827.17	-415.72		
	FFO	45,000	Jul 10, 02	Jul 20, 10	1,198.40	1,479.50	-281.10		
	FFO	25,000	Sep 30, 03	Jul 20, 10	665.78	728.34	-62.56		
Total					\$45,582.21	\$35,792.67	-\$3,251.57	\$13,041.11	\$9,789.54
Net capital gains/losses:									\$12,593.00

Tracy J Martin (spouse) SEP - UBS

- 05/12/11 11:57:30

JE10047 - Client Inquiry - Activity for

UBS Financial Services Inc.

Account # in View: 8005242

Generated On: 05/12/2011

Filtered By: Entry Date 01/01/2010-12/31/2010, Trades, Money Market Excluded, AMEX Debts Excluded

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
12/29/10	01/03/11	BOUGHT	PFEZER INC	PFE-370011	1	22.000	\$17.610	\$387.44
12/28/10	12/31/10	BOUGHT	PFEZER INC	PFE-370011	1	38.000	\$17.661	\$671.14
12/28/10	12/30/10	BOUGHT	PFEZER INC TRADE DATE: 12/27/2010	PFE-370011	1	84.000	\$17.515	\$1,471.53
12/29/10	12/28/10	BOUGHT	PFEZER INC TRADE DATE: 12/22/2010	PFE-370011	1	78.000	\$17.468	\$1,362.57
12/22/10	12/28/10	BOUGHT	TIME WARNER INC NEW	TWK-4587M1	1	28.000	\$31.967	\$895.08
12/21/10	12/27/10	BOUGHT	TIME WARNER INC NEW	TWK-4587M1	1	26.000	\$31.817	\$827.27
12/20/10	12/23/10	SOLD	MERCK & CO INC NEW COM	MRK-283277	1	82.600	\$36.521	\$2,994.71
12/17/10	12/22/10	SOLD	CBS CORP NEW CL B	CBS-067VH0	1	41.000	\$18.581	\$761.81
12/16/10	12/21/10	SOLD	CBS CORP NEW CL B	CBS-067VH0	1	53.000	\$17.930	\$950.32
12/16/10	12/16/10	SOLD	CBS CORP NEW CL B TRADE DATE: 12/13/2010	CBS-067VH0	1	13.000	\$18.020	\$180.19
12/14/10	12/17/10	SOLD	CBS CORP NEW CL B	CBS-067VH0	1	14.000	\$17.996	\$251.93
12/13/10	12/16/10	SOLD	HALLIBURTON CO (HOLDING COMPANY)	HAL-198463	1	24.000	\$40.567	\$983.21
12/10/10	12/15/10	SOLD	HALLIBURTON CO (HOLDING COMPANY)	HAL-198463	1	17.000	\$40.335	\$685.62
12/10/10	12/14/10	SOLD	HALLIBURTON CO (HOLDING COMPANY) TRADE DATE: 12/09/2010	HAL-198463	1	10.000	\$40.415	\$404.15
12/10/10	12/15/10	SOLD	CBS CORP NEW CL B	CBS-067VH0	1	45.000	\$18.000	\$810.00
12/09/10	12/14/10	BOUGHT	GENWORTH FINANCIAL INC CL A	GNW-177987	1	15.000	\$13.002	\$195.04
12/09/10	12/13/10	BOUGHT	GENWORTH FINANCIAL INC CL A	GNW-177987	1	38.000	\$13.026	\$494.99
12/08/10	12/13/10	SOLD	CBS CORP NEW CL B	CBS-067VH0	1	21.000	\$17.991	\$377.80
12/07/10	12/10/10	BOUGHT	GENWORTH FINANCIAL INC CL A	GNW-177987	1	38.000	\$12.696	\$482.66
12/06/10	12/09/10	BOUGHT	GENWORTH FINANCIAL INC CL A	GNW-177987	1	28.000	\$12.420	\$347.78
12/06/10	12/09/10	BOUGHT	METLIFE INC	MET-2854U7	1	23.000	\$40.831	\$939.11
12/06/10	12/06/10	BOUGHT	GENWORTH FINANCIAL INC CL A TRADE DATE: 12/03/2010	GNW-177987	1	28.000	\$12.480	\$349.45
12/01/10	12/06/10	BOUGHT	SANOFI-AVENTIS SA SPON ADR	SNY-4082L9	1	14.000	\$31.354	\$438.96
12/01/10	12/03/10	BOUGHT	SANOFI-AVENTIS SA SPON ADR TRADE DATE: 11/30/2010	SNY-4082L9	1	40.000	\$30.515	\$1,220.61
12/01/10	12/03/10	CANCEL BUY	SANOFI-AVENTIS SA SPON ADR TRADE DATE: 11/30/2010	SNY-4082L9	1	40.000	\$30.513	\$1,220.53
11/30/10	12/03/10	BOUGHT	SANOFI-AVENTIS SA SPON ADR	SNY-4082L9	1	40.000	\$30.513	\$1,220.53
11/29/10	12/02/10	BOUGHT	SANOFI-AVENTIS SA SPON ADR	SNY-4082L9	1	21.000	\$30.955	\$650.07
11/23/10	11/26/10	BOUGHT	GENERAL MOTORS CO	GM-0861Z3	1	99.000	\$34.214	\$3,387.23
11/23/10	11/29/10	BOUGHT	GENERAL MOTORS CO	GM-0861Z3	1	30.000	\$33.697	\$1,010.93
11/22/10	11/24/10	SOLD	ACTIA INC TRADE DATE: 11/19/2010	ACT-0040C2	1	75.000	\$30.366	\$2,278.96
11/23/10	11/23/10	SOLD	ACTIA INC TRADE DATE: 11/18/2010	ACT-0040C2	1	24.000	\$30.341	\$728.18
11/18/10	11/18/10	SOLD	ACTIA INC TRADE DATE: 11/15/2010	ACT-0040C2	1	27.000	\$31.253	\$844.10

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
11/17/10	11/22/10	SOLD	AETNA INC Trade#: 57017 Bkt:85	AET-0040G2	1	30.356	\$30.356	\$1,001.73
11/17/10	11/19/10	SOLD	AETNA INC TRADE DATE: 11/16/2010 Trade#: 55315 Bkt:85	AET-0040G2	1	30.514	\$30.514	\$396.68
11/03/10	11/08/10	BOUGHT	GENWORTH FINANCIAL INC CL A Trade#: 69185 Bkt:85	GNW-177987	2	36.000	\$11.525	\$471.07
11/01/10	11/04/10	BOUGHT	GENWORTH FINANCIAL INC CL A Trade#: 37541 Bkt:85	GNW-177987	1	22.000	\$11.510	\$253.22
10/29/10	11/03/10	BOUGHT	GENWORTH FINANCIAL INC CL A Trade#: 84784 Bkt:85	GNW-177987	1	78.000	\$11.405	\$890.60
10/15/10	10/20/10	SOLD	NOBLE ENERGY INC Trade#: 05874 Bkt:85	NBL-291F85	1	1.000	\$78.148	\$78.14
10/14/10	10/19/10	BOUGHT	TIME WARNER INC NEW Trade#: 04288 Bkt:85	TWX-4587M1	1	54.000	\$31.323	\$1,691.46
10/13/10	10/18/10	BOUGHT	TIME WARNER INC NEW Trade#: 56409 Bkt:85	TWX-4587M1	1	85.000	\$31.542	\$2,687.89
10/12/10	10/15/10	SOLD	NOBLE ENERGY INC Trade#: 09185 Bkt:85	NBL-291F85	1	34.000	\$76.755	\$2,609.64
10/11/10	10/14/10	SOLD	NOBLE ENERGY INC Trade#: 21532 Bkt:85	NBL-291F85	1	4.500	\$77.577	\$310.30
10/11/10	10/14/10	SOLD	MOSAC CO Trade#: 14336 Bkt:85	MOS-305AH9	1	-73.000	\$66.591	\$1,531.57
10/08/10	10/14/10	SOLD	MOSAC CO Trade#: 58853 Bkt:85	MOS-305AH9	1	1.1 Bkt	\$66.247	\$1,391.18
09/27/10	09/30/10	SOLD	PHILIP MORRIS INTL INC Trade#: 56378 Bkt:85	PM-364PM6	1	-6.000	\$55.657	\$2,003.64
09/27/10	09/22/2010	SOLD	KIMBERLY CLARK CORP TRADE DATE: Trade#: 51053 Bkt:85	KMB-247600	1	17.500	\$66.704	\$1,133.96
09/22/10	09/22/10	BOUGHT	UNUM GROUP Trade#: 25503 Bkt:85	UNM-486345	1	76.000	\$22.266	\$1,697.76
09/22/10	09/22/10	BOUGHT	UNUM GROUP Trade#: 44062 Bkt:85	UNM-486345	1	53.000	\$22.211	\$1,172.37
09/14/10	09/17/10	SOLD	FRONTIER COMMUNICATIONS CORP Trade#: 80963 Bkt:85	FTR-1593Z5	1	29.000	\$7.674	\$222.56
09/01/10	09/01/10	SOLD	KIMBERLY CLARK CORP Trade#: 41295 Bkt:85	KMB-247600	1	5.000	\$64.339	\$321.69
08/26/10	08/31/10	BOUGHT	GOLDMAN SACHS GROUP INC Trade#: 58320 Bkt:85	GS-1864H1	1	11.000	\$144.373	\$1,588.11
08/26/10	08/31/10	SOLD	KIMBERLY CLARK CORP Trade#: 87230 Bkt:85	KMB-247600	1	5.000	\$64.197	\$313.57
08/25/10	08/30/10	BOUGHT	GOLDMAN SACHS GROUP INC Trade#: 92030 Bkt:85	GS-1864H1	1	7.000	\$144.304	\$1,010.13
08/25/10	08/30/10	SOLD	KIMBERLY CLARK CORP Trade#: 00284 Bkt:85	KMB-247600	1	16.000	\$64.513	\$1,032.20
08/24/10	08/27/10	SOLD	KIMBERLY CLARK CORP Trade#: 16669 Bkt:85	KMB-247600	1	9.000	\$64.806	\$583.43
08/23/10	08/26/10	SOLD	MOSAC CO Trade#: 27491 Bkt:85	MOS-305AH9	1	-14.000	\$58.911	\$824.74
08/20/10	08/23/10	SOLD	MOSAC CO Trade#: 30325 Bkt:85	MOS-305AH9	1	17.000	\$56.540	\$678.47
08/18/10	08/23/10	SOLD	MOSAC CO Trade#: 80668 Bkt:85	MOS-305AH9	1	1.000	\$57.167	\$57.16
08/17/10	08/20/10	SOLD	MOSAC CO Trade#: 07863 Bkt:85	MOS-305AH9	1	24.000	\$55.777	\$1,338.62
07/20/10	07/23/10	SOLD	VERIZON COMMUNICATIONS INC Trade#: 52585 Bkt:85	VZ-4928A3	1	123.000	\$26.631	\$3,275.64
07/02/10	07/02/10	CASH IN LIEU	FRONTIER COMMUNICATIONS CORP Trade#: 52585 Bkt:85	FTR-1593Z5	1	0.000	SELL 5248	\$3.80
06/23/10	06/25/10	BOUGHT	LINCOLN NATL CORP IND TRADE DATE: Trade#: 29956 Bkt:85	LNC-2615Z1	1	151.000	\$27.474	\$4,158.60
06/22/10	06/25/10	BOUGHT	MOSAC CO Trade#: 33053 Bkt:85	MOS-305AH9	1	21.000	\$43.739	\$1,118.73
06/21/10	06/24/10	BOUGHT	MOSAC CO Trade#: 69348 Bkt:85	MOS-305AH9	1	23.000	\$43.422	\$998.77
06/16/10	06/21/10	SOLD	CONOCOPHILIPS Trade#: 18174 Bkt:85	COP-1024W6	1	28.000	\$54.108	\$1,515.01
06/15/10	06/18/10	SOLD	RAYTHEON CO NEW Trade#: 45662 Bkt:85	RTH-351Z24	1	13.000	\$52.833	\$686.33
06/15/10	06/18/10	SOLD	CVS CAREMARK CORP Trade#: 0731H1	CVS-0731H1	1	12.000	\$32.042	\$1,057.38

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
06/15/10	06/18/10	SOLD	CONOCOPHILLIPS	COP-1024W6	1	-45.000	\$4,571.28	\$4,571.28
06/14/10	06/17/10	SAID	CVS CAREMARK CORP	CVS-0731H1	1	-32.000	\$32,095	\$577.22
06/03/10	06/08/10	SOLD	RAYTHEON CO NEW	RTN-3912Z4	1	-12.000	\$636.93	\$636.93
05/25/10	05/28/10	BOUGHT	AMGEN INC	AMGN-023825	1	35.000	\$52.191	\$1,826.71
05/20/10	05/25/10	BOUGHT	UNUM GROUP	UNM-406345	1	183.000	\$21.368	\$3,916.36
05/17/10	05/20/10	BOUGHT	CA INC	CA-060855	1	14.000	\$20.577	\$286.66
05/06/10	05/11/10	SOLD	AT&T INC	T-008318	1	-69.000	\$25.503	\$1,749.54
05/05/10	05/10/10	BOUGHT	SANOFI-AVENTIS SA SPON ADR	SNY-408219	1	34.000	\$32.788	\$1,114.61
05/05/10	05/10/10	SOLD	AT&T INC	T-008318	1	-98.000	\$25.763	\$2,524.80
05/04/10	05/07/10	BOUGHT	SANOFI-AVENTIS SA SPON ADR	SNY-408219	1	39.000	\$33.208	\$1,295.14
04/29/10	05/04/10	SOLD	AON CORP	AON-023701	1	-16.000	\$44.048	\$704.76
04/29/10	04/29/10	BOUGHT	Pfizer Inc	PFE-370011	1	96.000	\$16.868	\$1,617.12
04/16/10	04/21/10	SOLD	JP MORGAN CHASE & CO	JPM-241342	1	-24.000	\$46.062	\$1,105.48
04/16/10	04/21/10	SOLD	CITIGROUP INC	C-2045U4	1	745.000	\$4.598	\$3,420.78
04/15/10	04/20/10	BOUGHT	OCCIDENTAL PETROLEUM CORP	OXY-346497	1	9.000	\$85.658	\$770.92
04/14/10	04/19/10	BOUGHT	OCCIDENTAL PETROLEUM CORP	OXY-346497	1	26.000	\$85.770	\$2,230.03
04/14/10	04/19/10	BOUGHT	Pfizer Inc	PFE-370011	1	183.000	\$17.050	\$3,120.15
04/14/10	04/19/10	SOLD	GENWORTH FINANCIAL INC CL A	GNW-177987	1	-21.500	\$18.486	\$398.21
04/14/10	04/19/10	SOLD	CITIGROUP INC	C-2045U4	1	324.000	\$4.873	\$1,578.98
04/12/10	04/15/10	BOUGHT	OCCIDENTAL PETROLEUM CORP	OXY-346497	1	34.000	\$85.654	\$2,945.26
04/08/10	04/13/10	SOLD	GENWORTH FINANCIAL INC CL A	GNW-177987	1	-27.000	\$18.378	\$493.23
03/30/10	03/31/10	CANCEL SELL	CONOCOPHILLIPS TRADE DATE: 03/26/2010	COP-1024W6 *	1	50.000	\$51.030	\$2,551.46
03/30/10	03/31/10	SOLD	CONOCOPHILLIPS TRADE DATE: 03/26/2010	COP-1024W6	1	50.000	\$51.027	\$2,551.35
03/29/10	04/01/10	BOUGHT	Pfizer Inc	PFE-370011	1	249.000	\$17.279	\$4,302.52
03/26/10	03/31/10	SOLD	CONOCOPHILLIPS	COP-1024W6	1	-50.000	\$2,551.46	
03/19/10	03/23/10	SOLD	COMCAST CORP NEW SPECIAL CL A	CMCSK-026271	1	-8.000	\$18.819	\$154.54
03/17/10	03/22/10	SOLD	COMCAST CORP NEW SPECIAL CL A	CMCSK-026271	1	-27.000	\$16.780	\$453.07
03/17/10	03/22/10	SOLD	CITIGROUP INC	C-2045U4	1	-400.000	\$4.076	\$1,630.49
03/16/10	03/19/10	SOLD	COMCAST CORP NEW SPECIAL CL A	CMCSK-026271	1	128.000	\$16.669	\$2,133.69
03/02/10	03/05/10	SOLD	NOBLE ENERGY INC	NEL-291485	1	-12.000	\$73.996	\$887.93
03/01/10	03/03/10	SOLD	UNION PACIFIC CORP TRADE DATE: 02/26/2010	UNP-469106	1	16.000	\$67.591	\$1,081.44
03/01/10	03/03/10	SOLD	UNION PACIFIC CORP	UNP-469106	1	10.000	\$67.562	\$675.61
02/25/10	03/02/10	BOUGHT	ANGLO-AMERICAN LTD NEW SPON ADR	AU-028780	1	6.000	\$34.853	\$209.12
02/19/10	02/24/10	SOLD	RAYTHEON CO NEW	RTN-3912Z4	1	-30.000	\$55.270	\$1,658.10
02/17/10	02/22/10	SOLD	NOBLE ENERGY INC	NEL-291485	1	-6.000	\$76.381	\$458.28

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
02/01/10	02/04/10	BOUGHT	MOTOROLA INC Trade#:72220 Bkt:85	MOT-304239	1	54.000	\$5.322	\$311.50
02/01/10	02/03/10	BOUGHT	MOTOROLA INC TRADE DATE: 01/29/2010 Trade#:96692 Bkt:85	MOT-304239	1	140.000	\$6.181	\$945.45
01/26/10	01/29/10	BOUGHT	CANADIAN NATURAL RESOURCES LTD Trade#:13780 Bkt:85	CNQ-074331	1	34.000	\$65.861	\$2,259.30
01/26/10	01/29/10	SOLD	MOBILE ENERGY INC Trade#:87417 Bkt:85	NR-291F85	1	6.000	\$77.267	\$466.60
01/25/10	01/28/10	BOUGHT	CANADIAN NATURAL RESOURCES LTD Trade#:18003 Bkt:85	CNQ-074331	1	8.000	\$66.556	\$532.55
01/25/10	01/28/10	SOLD	MOBILE ENERGY INC Trade#:13020 Bkt:85	NR-291F85	1	15.000	\$78.363	\$1,175.44

2 Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.
Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. Commission and fees for Equity trades are estimates, and may not match what is charged to the account. Fixed Income agency trades may not include commission and fees. Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some fees are not included intraday.
This report is for informational purposes only and may or may not include all holdings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The Firm's periodic account statements and official tax documents are the only official record of client accounts and are not superseded, replaced, or amended by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, for tax purposes or otherwise.

Richard A. Berg SBT Investments Account

Account: RICHARD A I
Account Type: Indl
Portfolio Date:

Realized Gain and Loss View

Start Date 01/01/2010 End Date 12/31/2010

Symbol	Description	Purchase Date	Sell Date	Quantity	Cost Per Share	Total Cost	Sell Price	Sell Proceeds	Realized Gain/Loss	Realized Gain/Loss Percentage	ST
BRK B	BERKSHIRE HATHAWAY INC CL	02/26/2009	08/05/2010	150,000	\$52.690	\$7,903.50	\$80.602	\$12,023.09	\$4,119.59	52.1%	LT
BRK B	BERKSHIRE HATHAWAY INC CL	02/26/2009	08/05/2010	150,000				\$12,023.09	\$4,119.59	52.1%	LT
GE	GENERAL ELECTRIC COMPANY	02/26/2009	08/05/2010	100,000	\$9.763	\$976.33	\$16.444	\$1,631.21	\$654.88	67.1%	LT
GE	GENERAL ELECTRIC COMPANY	02/26/2009	08/05/2010	200,000	\$9.763	\$1,952.65	\$16.444	\$3,222.93	\$1,270.28	65.1%	LT
GE	GENERAL ELECTRIC COMPANY	02/26/2009	08/05/2010	360,000	\$9.763	\$3,514.78	\$16.441	\$5,893.01	\$2,378.23	67.7%	LT
GE	GENERAL ELECTRIC COMPANY	02/26/2009	08/05/2010	400,000	\$9.763	\$3,905.31	\$16.441	\$6,554.25	\$2,648.94	67.8%	LT
GE Totals				1,050,000		\$17,301.40		\$6,952.33	\$6,952.33	67.2%	
USB	US BANCORP	02/26/2009	08/05/2010	200,000	\$14.822	\$2,964.50	\$23.510	\$4,624.80	\$1,660.30	56.0%	LT
USB	US BANCORP	02/26/2009	08/05/2010	500,000	\$14.822	\$7,411.25	\$23.512	\$11,711.13	\$4,299.88	58.0%	LT
USB Totals				700,000		\$10,375.75		\$16,335.93	\$5,960.18	57.4%	
WFC	WELLS FARGO COMPANY	02/26/2009	08/05/2010	665,000	\$15.147	\$10,073.01	\$27.652	\$18,256.82	\$8,183.81	81.2%	LT
WFC Totals				665,000		\$10,073.01		\$18,256.82	\$8,183.81	81.2%	
Total SBT Account Gain/Loss									\$0.00		
Total SBT Account Gain/Loss									\$25,215.91		
Total SBT Account Gain/Loss									\$0.00		
Total Account Gain/Loss									\$25,215.91		

This report is provided for informational purposes only. Official transaction, account, and tax information is provided separately in your trade confirmations, account statements and tax reports. Do not rely on this information for tax reporting. Consult with your tax advisor. Information in this report is obtained from sources believed to be reliable. However PRIMEVEST does not warrant the accuracy, completeness or currency of any third party information.

[illegible]

RICHARD A. BERG

MONTHLY COMMANDER'S TELEGRAM

R.J.O'Brien
ESTABLISHED IN 1914

222 South Riverside Plaza #900
Chicago, IL 60686
312.373.5000
info@rpbvibes.com

ACCOUNT NUMBER:
FIRM / BALANCE:
STATEMENT DATE: APR 30, 2010

PRODUCED BY
PROGRESSIVE AD MARKETING, INC.

[illegible]

RICHARD A. BIRD

NORTH'S COMMODITY SECTION

INTRODUCED BY
PROGRESSIVE AG MARKETING, INC.

R.J.O'Brien
ESTABLISHED IN 1914

222 South Riverside Plaza #900
Chicago, IL 60606
312.573.5000
info@trjobern.com

STATEMENT DATE: MAY 31, 2010

DATE: 11/11/78

ACCOUNT NUMBER:

[illegible]

RICHARD A. BIRK

MONTHLY COMMODITY STATEMENT

222 South Riverside Plaza #900
Chicago, IL 60606
312.373.5000
info@johndem.com

R.J. O'Brien
ESTABLISHED IN 1917

ACCOUNT NUMBER:
FIRM / DATESMAN:
STATEMENT DATE: JUN 30, 2010

FOR FURTHER INFORMATION REGARDING THIS STATEMENT OR R. J. O'BRIEN BROKERAGE SERVICES, PLEASE VISIT www.rjobrien.com

You must examine all information transmitted to you herein and report any errors or irregularities to your R.J.O'Brien immediately or the R.J.O'Brien Compliance Department (1-800-621-0787) immediately. Failure to immediately advise of any such errors or irregularities before the opening of trading on the trading day subsequent to the execution of the transaction in dispute will be deemed your agreement that the transmitted information is correct and has been ratified by you.

***** POSITIONS IN YOUR ACCOUNT *****

DATE AT	LONG/SHORT	SECT/SELL	DESCRIPTION	EX	PRICE/LEND	CC	DEBIT	CREDIT
4/21/01			1 OCT 10 RDR CATTLE	02	114.65	08	212.50	
			1- OPEN TRADE EQUITY		115.07 1/2			212.50
US\$-REGULATED(21) BEGINNING BALANCE 3,977.80 THIS MONTH'S ACTIVITY 0.00 ENDING BALANCE 3,977.80 FUTURE OPEN TRADE EQUITY 212.50- ACCOUNT VALUE AT MARKET 3,765.30 COMPLETED MARKET VALUE 3,765.30								

R.J.O'Brien
 ESTABLISHED IN 1914
 222 South Riverside Plaza #900
 Chicago, IL 60606
 312.773.5008
info@rjobrien.com

MONTHLY COMMODITY STATEMENT
 INTRODUCED BY
 PROGRESSIVE AG MARKETING, INC.
 PIERCE FENNER & SMITH

ACCOUNT NUMBER:
 FIRM / SALESMAN:
 STATEMENT DATE: JUL 30, 2010

R.J.O'Brien

ESTABLISHED IN 1914

222 South Riverside Plaza #900

Chicago, IL 60606

312.373.5000

info@rjobrien.com

STATEMENT DATE: AUG 19, 2010

FIRM / BALANCE

ACCOUNT NUMBER

INTRODUCED BY
PROGRESSIVE AG MARKETING, INC.

RECEIVED A BOND

THE FOLLOWING TRADES HAVE BEEN MADE THIS DAY FOR YOUR ACCOUNT AND RISK.
C O N T R A C T S

TRADE	SETTL	AT	BUY	SELL	DESCRIPTION	EX	TRADE PRICE	CC	DEBIT(DR)/CREDIT
8/19/0	21	21	1		OCT 10 PDR CATTLE	02	116.07 1/2	US	
					ELECTRONIC TRADE				
	21	21			COMMISSION	US			20.00DR
	21	21			EXCHANGE & CLEARING FEE	US			1.89DR
	21	21			TRANSACTION FEE	US			.30DR
	21	21			NFA FEE	US			.01DR
	21	21			TOTAL COMMISSION & FEES	US			22.20DR
8/19/0	21	21	1		JAN 11 PDR CATTLE	02	115.15	US	
					ELECTRONIC TRADE				
	21	21			COMMISSION	US			40.00DR
	21	21			EXCHANGE & CLEARING FEE	US			3.78DR
	21	21			TRANSACTION FEE	US			.60DR
	21	21			NFA FEE	US			.02DR
	21	21			TOTAL COMMISSION & FEES	US			44.40DR

TRADE	SETTL	AT	BUY	SELL	DESCRIPTION	EX	TRADE PRICE	CC	DEBIT(DR)/CREDIT
4/21/0	21	21	1		OCT 10 PDR CATTLE	02	116.65	US	
					GROSS PROFIT/LOSS FROM TRADES	US			712.50DR
8/19/0	21	21	1		OCT 10 PDR CATTLE	02	116.07 1/2	US	
					GROSS PROFIT/LOSS FROM TRADES	US			712.50DR
8/19/0	21	21	1		JAN 11 PDR CATTLE	02	115.15	US	
					GROSS PROFIT/LOSS FROM TRADES	US			413.50DR
8/19/0	21	21	1		JAN 11 PDR CATTLE	02	115.20	US	
					GROSS PROFIT/LOSS FROM TRADES	US			387.50DR
					TOTAL				800.00DR

US\$-FORWARDED(21)* * CONVERTED TO USD *

8/19/0	21	21	1		JAN 11 PDR CATTLE	02	115.15	US	
					INITIAL MARGIN REQUIREMENT				2,000.00
					EXCESS EQUITY				2,000.00
8/19/0	21	21	1		JAN 11 PDR CATTLE	02	115.20	US	
					INITIAL MARGIN REQUIREMENT				2,000.00
					EXCESS EQUITY				2,000.00

YOU MUST EXAMINE ALL INFORMATION TRANSMITTED TO YOU HEREIN AND REPORT ANY ERRORS OR INREGULARITIES TO YOUR R.J.O'Brien
INTERMEDIARY OR THE R.J.O'Brien Compliance Department (1-800-631-0757) IMMEDIATELY. FAILURE TO IMMEDIATELY ADVISE OF ANY SUCH
ERRORS OR INREGULARITIES BEFORE THE OPENING OF TRADING ON THE TRADING DAY SUBSEQUENT TO THE EXECUTION OF THE TRANSACTION IN
DISPUTE WILL BE DEEMED YOUR AGREEMENT THAT THE TRANSMITTED INFORMATION IS CORRECT AND HAS BEEN RATIFIED BY YOU.
FOR FURTHER INFORMATION REGARDING THIS STATEMENT OR R.J.O'Brien BROKERAGE SERVICES, PLEASE VISIT WWW.RJOBRIEN.COM

[illegible]

RICHARD A BERG

INTRODUCED BY
PROGRESSIVE AG MARKETING, INC.

ACCOUNT NUMBER:
FIRM / SALESMAN:
STATEMENT DATE: AUG 20, 2010

222 South Riverside Plaza #900
Chicago, IL 60606
312.373.5000
info@jordan.com

R.J.O'Brien
ESTABLISHED IN 1914

DATE AT	LONG/SHORT	1	DESCRIPTION	EX	PRICE/LOAD	CC	DEBIT	CREDIT
8/19/01		1	OCT 10 MDR CATTLE	02	SET	US	712.50	
8/19/01		1	MICRONOMIC TRADE					
8/19/01		1	OCT 10 MDR CATTLE	02	PER/COMM	US	22.20	
8/19/01		1	MICRONOMIC TRADE					
8/19/01		1	JAN 11 MDR CATTLE	02	PER/COMM	US	44.40	
8/20/01			WELLS BRYAN CHECK 18821			US		4,000.00
8/19/01			BRANCH BANK DEPOSIT					
8/19/01		1	POSITIVE					
8/19/01		1	JAN 11 MDR CATTLE	02		US	150.00	
8/19/01		1	JAN 11 MDR CATTLE	02		US	175.00	
8/19/01							325.00	

BEGINNING BALANCE								
THIS MONTH'S ACTIVITY								
ENDING BALANCE								
NET FUTURE LOSS OF LOSS								
FUTURES OPEN TRADE EQUITY								
7,523.70								
7,523.70								
ACCOUNT VALUE AT MARKET								
CONTRACTED MARKET VALUE								

RICHARD A BERG

MONTHLY COMMODITY STATISTICS

R.J.O'Brien
ESTABLISHED IN 1914

222 South Riverside Plaza #900
Chicago, IL 60606
312.373.5000
info@ajobvibes.com

FIRM / SYMBIAN
ACCOUNT NUMBER:

STATEMENT DATE: AUG 31, 2010

R.J.O'Brien

ESTABLISHED IN 1914

222 South Riverside Plaza #900
Chicago, IL 60606
312.573.6000
info@rjobrien.com

STATEMENT DATE: SEP. 30, 2010

ACCOUNT NUMBER:
FIRM / BALANCE:

INTRODUCED BY
PROGRESSIVE AG MARKETING, INC.

RICHARD A. HEND

MONTHLY COMMODITY STATEMENT

DATE AT	LONG/BUY	SHORT/SELL	DESCRIPTION	EX	PRICE/LEGND	CC	DEBIT	CREDIT
8/19/0	1	1	1 JAN 11 RDR CATTLE	02	115.15	05	1,100.00	
8/19/0	1	1	1 JAN 11 RDR CATTLE	02	115.30	05	2,125.00	
			OPEN TRADE EQUITY		112.95			2,225.00*
ENDING BALANCE								7,198.70
THIS MONTH'S ACTIVITY								.00
ENDING BALANCE								7,198.70
								US\$-SEGMENTED (PL)
ACCOUNT VALUE AT MARKET								9,423.70
COMMODITY MARKET VALUE								9,423.70

You must examine all information transmitted to you herein and report any errors or irregularities to your R.J.O'Brien immediately or the R.J.O'Brien Compliance Department (1-800-621-0757) immediately. Failure to immediately advise of any such errors or irregularities before the opening of trading on the trading day subsequent to the execution of the transaction in dispute will be deemed your agreement that the transmitted information is correct and has been ratified by you.

FOR FURTHER INFORMATION REGARDING THIS STATEMENT OR R. J. O'BRIEN BROKERAGE SERVICES, PLEASE VISIT [WWW.RJOBRIEN.COM](http://www.rjobrien.com)

FOR FURTHER INFORMATION REGARDING THIS STATEMENT OR R. J. O'BRIEN BROKERAGE SERVICES, PLEASE VISIT WWW.RJOBRIEN.COM
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 intermediary or the R.J.O'Brien Compliance Department (1-800-621-0757) immediately. Failure to immediately advise of any such
 errors or irregularities before the opening of trading on the trading day subsequent to the execution of the transaction in
 dispute will be deemed your agreement that the transmitted information is correct and has been verified by you.

***** POSITIONS IN YOUR ACCOUNT *****
 DATE AT LONG/BUY SHORT/SELL DESCRIPTION EX PRICE/LEND CC DEBIT CREDIT
 8/19/0 FI 1 JAN 11 RDR CATTLE 02 118.15 US 2,175.00
 8/19/0 FI 1 JAN 11 RDR CATTLE 02 118.20 US 2,200.00
 2* OPEN TRADE EQUITY 118.80 4,375.00*
 BEGINNING BALANCE 7,198.70
 THIS MONTH'S ACTIVITY .00
 ENDING BALANCE 7,198.70
 FUTURE OPEN TRADE EQUITY 4,375.00
 ACCOUNT VALUE AT MARKET 11,573.70
 CONVERTED MARKET VALUE 11,573.70
 USD-BENCHMARKED(P1)

R.J.O'Brien
 ESTABLISHED IN 1974
 222 South Riverside Plaza #900
 Chicago, IL 60606
 312.373.5000
 info@rjobrien.com
 INTRODUCED BY
 PROGRESSIVE AG MARKETING, INC.
 RICHARD A BERG

ACCOUNT NUMBER:
 TYPE / SALESMAN:
 STATEMENT DATE: OCT 29, 2010

INTRODUCED BY
PROGRESSIVE AG MARKETING, INC.

ACCOUNT NUMBER:
FIRM / SALESMAN:
STATEMENT DATE: NOV 8, 2010

232 South Riverside Plaza #900
Chicago, IL 60606
312.375.5000
info@ryobien.com

R.J. O'Brien
ESTABLISHED IN 1914

FOR FURTHER INFORMATION REGARDING THIS STATEMENT OR R. J. O'BRIEN BROKERAGE SERVICES, PLEASE VISIT [WWW.RJOBRIEN.COM](http://www.rjobrien.com)
You must examine all information transmitted to you herein and report any errors or irregularities to your R.J.O'Brien
intermediary or the R.J.O'Brien Compliance Department (1-800-621-0757) immediately. Failure to immediately advise of any such
errors or irregularities before the opening of trading on the trading day subsequent to the execution of the transaction in
dispute will be deemed your agreement that the transmitted information is correct and has been ratified by you.

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R.J.O'Brien

ESTABLISHED IN 1914

222 South Riverside Plaza #900
Chicago, IL 60606
312.573.5800
info@rjobrien.com

STATEMENT DATE: DEC 31, 2010

ACCOUNT NUMBER:
ITEM / SALESMAN:

MONTHLY COMMODITY STATEMENT

INTRODUCED BY
PROGRESSIVE AG MARKETING, INC.

RICHARD A BIRRO

BEGINNING BALANCE 11,579.30
THIS MONTH'S ACTIVITY .00
ENDING BALANCE 11,579.30
ACCOUNT VALUE AT MARKET 11,579.30
CONVERTED MARKET VALUE 11,579.30
US\$-BROUGHT (P.L.)

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