

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

**FORM A**  
For use by Members, officers, and employees

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**HAND DELIVERED**

Timothy Vincent Johnson

(Full Name)

212-225-2371

(Daytime Telephone)

LEGISLATIVE RESOURCE CENTER

2012 MAY 15 PM 5:49

OFFICE OF THE CLERK

HOUSE OF REPRESENTATIVES

<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representative	State: IL District: 15	<input type="checkbox"/> Officer Or Employee	Employing Office:	U.S. HOUSE OF REPRESENTATIVES
<b>Report Type</b>	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date:	

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<b>Trusts-</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
State of Illinois	Legislative Pension	\$70,540

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source <small>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use ticker symbols.)  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.  For rental or other real property held for investment, provide a complete address.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</small>	BLOCK B Year-End Value of Asset <small>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</small>	BLOCK C Type of Income <small>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</small>	BLOCK D Amount of Income <small>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</small>	BLOCK E Transaction <small>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</small>
1st Mid-Illinois Bank & Trust Certificate of Deposit	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
AMCAP Fund	None	CAPITAL GAINS	\$2,501 - \$5,000	S
American Century Equity Income Fund	None	CAPITAL GAINS	\$15,001 - \$50,000	S
Bank of Rantoul Certificate of Deposit	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
Busey Bank of St. Joseph Certificate of Deposit	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
Central Illinois Bank of Sidney Certificate of Deposit	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Condominium (1/2 Interest)  
Rua Porto das Dunas 2734  
Acquiraz, CE  
Brazil

	None	CAPITAL GAINS	\$2,501 - \$5,000	S
DFA Emerging Markets Core Equity	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
DFA International Small-Company Fund	\$15,001 - \$50,000	CAPITAL GAINS	\$201 - \$1,000	P
DFA International Small-Company Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
DFA Real Estate Securities Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
DFA Tax-Managed International Value	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
DFA Tax-Managed US Marketwide	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
DFA Tax-Managed US Small-Cap Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DFA US Core Equity 1 Portfolio	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	P
Dreyfus Cash Management	\$15,001 - \$50,000	None	NONE	P
DWS High Income Fund	None	None	NONE	S
Eaton Vance Floating Rate Fund	None	DIVIDENDS	\$1 - \$200	S
Eaton Vance Floating Rate Fund	None	CAPITAL GAINS	\$5,001 - \$15,000	S

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Federated Capital Reserves	None	None	NONE	S
Fidelity Advisor Leveraged Company	None	CAPITAL GAINS	\$201 - \$1,000	S
Fidelity Advisor Mid Cap Stock	None	None	NONE	S
Fidelity Advisor Small Cap	None	CAPITAL GAINS	\$5,001 - \$15,000	S
Fidelity Advisor Small Cap Value	None	CAPITAL GAINS	\$5,001 - \$15,000	S
First National Bank in Paxton Certificate of Deposit	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
First National Bank of Ogden Certificate of Deposit	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
Franklin Strategic Income Fund	None	CAPITAL GAINS	\$5,001 - \$15,000	S
Hartford Capital Appreciation Fund	None	CAPITAL GAINS	\$201 - \$1,000	S
I Shares TRS Russell 2000 Index Fund	None	CAPITAL GAINS	\$5,001 - \$15,000	S
ISHARES TR S&P GSSI NAT RES INDEX	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
Janus Overseas	None	CAPITAL GAINS	\$50,001 - \$100,000	S
Longview State Bank Certificate of Deposit	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
Lord Abbott Short Duration Income Fund	None	CAPITAL GAINS	\$2,501 - \$5,000	S

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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Philo Exchange Bank Certificate of Deposit	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
PIMCO Real Return Fund Institutional	\$100,001 - \$250,000	CAPITAL GAINS	\$2,501 - \$5,000	P
PIMCO Real Return Fund Institutional	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	P
PIMCO Total Return	None	DIVIDENDS	\$1 - \$200	S
PIMCO Total Return	None	CAPITAL GAINS	\$201 - \$1,000	S
Sidell State Bank Certificate of Deposit	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
Tuscola National Bank Certificate of Deposit	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
Vanguard Intermediate-Term Tax Exempt Fund Investor Class	\$50,001 - \$100,000	INTEREST	\$2,501 - \$5,000	P
Vanguard Intl Equity Index	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
Vanguard Limited-Term	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	P
Vanguard World FDS	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
Villa Grove State Bank Certificate of Deposit	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	

**SCHEDULE IV - TRANSACTIONS**

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	AMCAP Fund	S	Yes	1-6-11	\$15,001 - \$50,000
	American Century Equity Income Fund	S	Yes	1-6-11	\$100,001 - \$250,000
	Condominium (1/2 Interest) Rua Porto das Dunas 2734 Acquirax, CE Brazil	S	Yes	11-1-11	\$15,001 - \$50,000
	DFA Emerging Markets Core Equity	P	N/A	1-10-11	\$1,001 - \$15,000
	DFA International Small-Company Fund	P	N/A	1-10-11	\$15,001 - \$50,000
	DFA Real Estate Securities Fund	P	N/A	1-10-11	\$15,001 - \$50,000
	DFA Tax-Managed International Value	P	N/A	1-10-11	\$15,001 - \$50,000
	DFA Tax-Managed International Value	P	N/A	9-2-11	\$1,001 - \$15,000
	DFA Tax-Managed US Marketwide	P	N/A	1-10-11	\$15,001 - \$50,000
	DFA Tax-Managed US Small-Cap Fund	P	N/A	1-10-11	\$1,001 - \$15,000

**SCHEDULE IV - TRANSACTIONS**

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	DFA US Core Equity 1 Portfolio	P	N/A	1-10-11	\$100,001 - \$250,000
	Dreyfus Cash Management	P	N/A	1-11-11	\$15,001 - \$50,000
	DWS High Income Fund	S	No	1-6-11	\$15,001 - \$50,000
	Eaton Vance Floating Rate Fund	S	Yes	1-6-11	\$50,001 - \$100,000
	Federated Capital Reserves	S	No	1-6-11	\$1,001 - \$15,000
	Fidelity Advisor Leveraged Company	S	Yes	1-6-11	\$1,001 - \$15,000
	Fidelity Advisor Mid Cap Stock	S	No	1-6-11	\$50,001 - \$100,000
	Fidelity Advisor Small Cap	S	Yes	1-6-11	\$50,001 - \$100,000
	Fidelity Advisor Small Cap Value	S	Yes	1-6-11	\$50,001 - \$100,000
	Franklin Strategic Income Fund	S	Yes	1-6-11	\$50,001 - \$100,000
	Hartford Capital Appreciation Fund	S	Yes	1-6-11	\$15,001 - \$50,000



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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	1 Shares TRS Russell 2000 Index Fund	S	Yes	1-6-11	\$15,001 - \$50,000
	ISHARES TR S&P GSSI NAT RES INDEX	P	N/A	1-6-11	\$15,001 - \$50,000
	Janus Overseas	S	Yes	1-6-11	\$100,001 - \$250,000
	Lord Abbott Short Duration Income Fnd	S	Yes	1-6-11	\$50,001 - \$100,000
	PIMCO Real Return Fund Institutional	P	N/A	1-10-11	\$100,001 - \$250,000
	PIMCO Total Return	S	Yes	1-6-11	\$50,001 - \$100,000
	Vanguard Intermediate-Term Tax Exempt	P	N/A	1-10-11	\$50,001 - \$100,000
	Vanguard Intl Equity Index	P	N/A	1-6-11	\$50,001 - \$100,000
	Vanguard Limited-Term	P	N/A	1-10-11	\$50,001 - \$100,000
	Vanguard World FDS	P	N/A	1-6-11	\$1,001 - \$15,000