



Filing ID #20009620

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

| ID | Owner Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|--|------------------|------------|-------------------|--------------------|--------------------------|
| SP | Apergy Corporation (APY) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA | S | 05/14/2018 | 05/21/2018 | \$155.99 | <input type="checkbox"/> |
| SP | Crown Castle International Corp. (CCI) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA | S | 04/30/2018 | 05/07/2018 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| SP | Dover Corporation (DOV) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA | P | 05/08/2018 | 05/14/2018 | \$745.79 | <input type="checkbox"/> |
| SP | Gates Industrial Corporation plc Ordinary Shares (GTES) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA | S | 05/15/2018 | 05/22/2018 | \$615.75 | <input type="checkbox"/> |
| SP | Sempra Energy (SRE) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA DESCRIPTION: I also bought some on 4/27/18. | P | 04/30/2018 | 05/07/2018 | \$112.15 | <input type="checkbox"/> |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 05/22/2018