

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

Page 1 of 9

**HAND
DELIVERED**

Robert J. Wittman

(Full Name)

(Daytime Telephone)

2013 JUN 25 PM 1:55

(Office Use Only)

Filer Status
☒ Member of the U.S. House of Representatives
State: VA District: 01

☐ Officer Or Employee
Employing Office:

Report Type
☐ Annual (May 15) ☒ Amendment ☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name Robert J. Wittman

Page 2 of 9

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Westmoreland County Public Schools	Spouse Salary	N/A
Genworth IRA Death Withdrawal	IRA Distribution	\$1,042.92

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Robert J. Witman

Page 3 of 9

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
College of William and Mary- Andrews Fellowship	Speech, Fellowship	Apr. 19, 2011	\$2,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert J. Wittman

Page 4 of 9

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p>		<p>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP	1/2 Rental House, Montross, VA	\$50,001 - \$100,000	RENT	\$2,501 - \$5,000	
JT	Bank of Lancaster Account	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
	Bank of Lancaster Stock Fund	\$1,001 - \$15,000	None	NONE	
SP	Chevron (Inherited)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Commonwealth of VA 401(a)	\$1,001 - \$15,000	Investment Gain	\$1 - \$200	
	Cash Match Plan	\$15,000	Investment Gain	\$201 - \$1,000	
	Commonwealth of VA 457	\$15,001 - \$50,000	Investment Gain	\$201 - \$1,000	
	Deferred Compensation Plan				

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert J. Wittman

Page 5 of 9

SP	Eaton Vance Floating Rate A - EVBLX	\$1,001 - \$15,000	Tax Deferred	NONE	
SP	Eaton Vance Gbl Mac Absolute Return A - EAGMX	\$1,001 - \$15,000	Tax Deferred	NONE	
SP	Eaton Vance Income Fund Boston A - EVIBX	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	Eaton Vance Multi Strat Absolute Ret A - EADDX	\$1,001 - \$15,000	Tax Deferred	NONE	
SP	Eaton Vance Option Absolute Ret. - EOAX	\$1,001 - \$15,000	Tax Deferred	NONE	
SP	Frontier (Inherited)	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	Genworth IRA (<i>Cash Equivalents</i>)	\$15,001 - \$50,000	IRA Death Withdrawal	\$1,001 - \$2,500	
SP	Media General (Inherited)	\$1 - \$1,000	None	NONE	
	Met Life (Universal)	\$1,001 - \$15,000	None	NONE	
SP	Met Life (Universal)	\$1,001 - \$15,000	None	NONE	
SP	National Western Life Annuity 403B TSA	\$15,001 - \$50,000	None	NONE	
JT	Peoples Bank Accounts	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
SP	Peoples Bank Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Piedmont	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert J. Wittman

Page 6 of 9

SP	Trust of America	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
	VA Retirement System	\$50,001 - \$100,000	None	NONE	
SP	Verizon (Inherited)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Wellpoint (Inherited)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

SCHEDULE IV - TRANSACTIONS

Name Robert J. Wittman

Page 7 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Eaton Vance Income Fund Boston A - EVIBX	P	N/A	11-4-11	\$1,001 - \$15,000
SP	Eaton Vance Income Fund Boston A - EVIBX	P	N/A	10-6-11	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

Name Robert J. Wittman

Page 8 of 9

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	Peoples Community Bank, Virginia	Nov. 1984	Mortgage on 14877 King's Highway, Montross, VA	\$50,001 - \$100,000
JT	Bank of Lancaster, VA	July 2005	2nd Mortgage, 14877 King's Highway, Montross, VA	\$15,001 - \$50,000

SCHEDULE IX - AGREEMENTS

Name Robert J. Wittman

Page 9 of 9

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
8/1981	Virginia Retirement System	Defined Benefit determined at age 22.