

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A  
For use by Members, officers, and employees

**HAND  
DELIVERED**

Page 1 of 8

Nick Joe Rahall, II

(Full Name)

(Daytime Telephone)

2013 AUG -9 PM 12:12

(Office Use Only)

Filer Status ☒ Member of the U.S. House of Representatives State: WV District: 3rd

☐ Officer Or Employee Employing Office:

Report Type ☒ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nick Joe Rahall, II

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BLOCK A Asset and/or Income Source <small>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</small>	BLOCK B Year-End Value of Asset <small>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None." * This column is for assets held solely by your spouse or dependent child.</small>	BLOCK C Type of Income <small>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</small>	BLOCK D Amount of Income <small>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. * This column is for income generated by assets held solely by your spouse or dependent child.</small>	BLOCK E Transaction <small>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</small>
SP Wells Fargo Advisors IRA - Attachment #11.	\$15,001 - \$50,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$201 - \$1,000	Sales/purchases under \$1,000
76.57, .82 & 2.2 Acre Tracts - Sampson Co., Honeycuts Township, Salemburg, NC	\$250,001 - \$500,000	Other: Investment	NONE	
Nick Rahall Charitable Remainder Unit Trust (5% Unitrust Interest) - See Attachment #6.	\$250,001 - \$500,000	Other: Trust	\$15,001 - \$50,000	Attachment #13 and #14
10 Acres Real Estate - 3533 Marathon Avenue, Castle Hayne, NC	\$250,001 - \$500,000	None	NONE	
Wachovia Bank NA Checking Account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nick Joe Rahall, II

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Morgan Stanley Account (***916) (Major holdings of the account were 3 Morgan Stanley Spectrum Limited Partnerships)	None - Acct closed June 2012.	INTEREST/CAPIT AL GAINS/Partnershi p	\$201 - \$1,000	Attachment #12
Wells Fargo Advisors SEP IRA - See Attachment #5.	\$100,001 - \$250,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$5,001 - \$15,000	Attachment #10
.006449% Interest SB Partners Limited Partnership - Real Estate Rental FL, MO, SC MN & PA	\$1 - \$1,000	Other: Partnership	NONE	
2.80 % Interest Cambridge Heights Apartments Partnership - Real Estate Rental, MS	\$1 - \$1,000	Other: Partnership	NONE	
5.38% Interest SNI Development Company Partnership - Real Estate Rental, NY	\$1 - \$1,000	Other: Partnership	\$5,001 - \$15,000	
Morgan Stanley IRA Account. See Attachment #4.	\$15,001 - \$50,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$201 - \$1,000	Attachment #9
Morgan Stanley Access #2 Account (**487). See Attachment #3.	None - Account closed May 15, 2012	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1 - \$200	Attachment #8
Wells Fargo Advisors Account - See Attachment #1 and #2.	\$500,001 - \$1,000,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$15,001 - \$50,000	Attachment #7
Hilton Head SC Timeshare Property - 2 week peak season	\$1,001 - \$15,000	RENT	NONE	

# **SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

		Name Nick Joe Rahall, II		Page 4 of 8	
	EGR Industries, Inc. - Investment Bldg & Land , Beckley, WV - 1/2 with brother (building tenants are Co-Mac and AT&T)	\$250,001 - \$500,000	RENT	\$5,001 - \$15,000	

# SCHEDULE IV - TRANSACTIONS

Name Nick Joe Rahall, II

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.  
Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.  
\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Wells Fargo Advisors NJR Charitable Remainder Unit Trust - See Attachment #14	P	N/A	See Attached	\$500,001 - \$1,000,000
	Wells Fargo Advisors NJR Charitable Remainder Unit Trust - See Attachment #13	S	Yes	See Attached	\$500,001 - \$1,000,000
	Morgan Stanley Account ***916 - See Attachment #12	S	Yes	See Attached	\$50,001 - \$100,000
	Wells Fargo Advisors SEP IRA - See Attachment #10	S	Yes	See Attached	\$50,001 - \$100,000
	Wells Fargo Advisors SEP IRA - See Attachment #10	P	N/A	See Attached	\$15,001 - \$50,000
	Morgan Stanley IRA - See Attachment #9	P	N/A	See Attached	\$1,001 - \$15,000
	Morgan Stanley Account ***487 - See Attachment #8	S	Yes	See Attached	\$50,001 - \$100,000
	Wells Fargo Advisors Account - See Attachment #7	P	N/A	See Attached	\$1,001 - \$15,000
	Wells Fargo Advisors Account - See Attachment #7	S	Yes	See Attached	\$50,001 - \$100,000

# SCHEDULE V - LIABILITIES

Name Nick Joe Rahall, II

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. \*This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	Citi Cards	December 2012	Credit Charges	\$15,001 - \$50,000
	Chase Home Finance LLC, Columbus, OH	June 2001	Mortgage on 3533 Marathon Avenue, Castle Hayne, NC	\$100,001 - \$250,000
	American Express	December 2012	Credit Charges	\$15,001 - \$50,000
	Chase #2130	December 2012	Credit Charges	\$10,001 - \$15,000
	Discover	December 2012	Credit Charges	\$10,001 - \$15,000
	Morgan Stanley, Ft. Worth, TX	January 2007	Home Equity Loan on personal residence at Beckley, WV	\$250,001 - \$500,000
	GMAC Mortgage, Waterloo, IA	October 2006	Mortgage on Washington, DC personal residence	\$500,001 - \$1,000,000

# **SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name Nick Joe Rahall, II

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Maryland Transportation Builders and materials Association; Maryland Ready Mix Concrete Association; Maryland Asphalt Association	06/24 - 06/25	DC - Cambridge, MD - DC	Y	Y	N	None
Aspen Institute Congressional Program	03/30 - 04/05	DC - Sao Paulo, Brazil - DC	Y	Y	Y	None

## SCHEDULE VIII - POSITIONS

Name Nick Joe Rahall, II

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Vice President & Secretary (Uncompensated)	EGR Industries, Inc.
Member (Uncompensated)	Professional Advisory Board of ALSAC/St. Jude's Children's Research Hospital
Member (Uncompensated)	American Task Force for Lebanon Board of Directors
Partner (Uncompensated)	Atlantic Equine Services, LLC (Family Partnership)

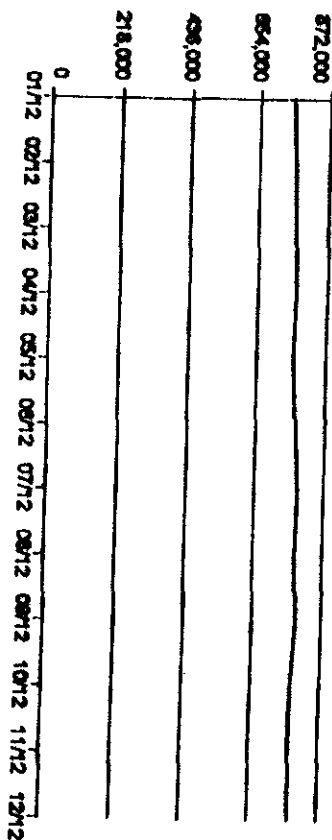




DECEMBER 1 - DECEMBER 31, 2012

### Value over time

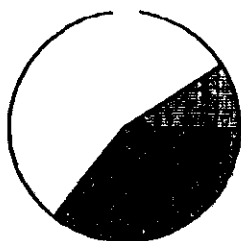
	THIS PERIOD	THIS YEAR
Operating value	\$777,140.80	\$742,359.34
Cash deposited	33,000.00	51,455.00
Touristas deposited	0.00	0.00
ash withdrawn	-20,000.00	-85,236.00
Securities withdrawn	0.00	0.00
Income earned	2,486.03	11,253.17
Change in value	-13,602.50	59,131.52
Closing value	\$778,964.05	\$778,964.03



## Portfolio summary

## CURRENT

## ASSETS



ASSET TYPE	PREVIOUS VALUE ON NOV 30	%	CURRENT VALUE ON DEC 31	%	ESTIMATED ANNUAL INCOME
Cash and sweep balances	250,420.00	33.36	274,906.03	35.29	27
Stocks, options & ETFs	444,690.00	57.22	431,185.00	55.35	10,815
Fixed income securities	73,090.50	9.40	72,673.00	9.36	0
Mutual funds	0.00	0.00	0.00	0.00	0
Asset value	\$777,140.50	100%	\$778,964.03	100%	\$10,842

DECEMBER 1 - DECEMBER 31, 2012

### Additional Information

Exempt accrued interest on sales	THIS PERIOD 0.00	THIS YEAR 308.67	Gross proceeds	THIS PERIOD 0.00	THIS YEAR 63,787.29
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### Portfolio detail

#### Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are insured eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	0.13	0.00	983.75	0.00
BANK DEPOSIT SWEEP	35.16	0.01	273,912.28	27.39
Interest Period 12/01/12 - 12/31/12				
Total Cash and Sweep Balances	35.29		\$274,896.03	\$27.39

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

#### Stocks, options & ETFs

##### Bonds and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ CDS PRICE	ADJ COST/ CDS COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
AMGEN INC									
AMGN									
Acquired 01/01/01 L ne	22.13	2,000	63.94	127,880.00	86.2000	172,400.00	44,520.00	3,780.00	2.16
MCDONALDS CORP									
MCD									
Acquired 08/22/04 L ne	11.32	1,000	13.18	13,352.58	88.2100	88,210.00	74,857.42	3,080.00	3.49
WAL-MART STORES INC									
WMT									
Acquired 01/15/05 L ne		2,050	1.27	2,602.05		139,871.50	137,219.44		
Acquired 10/23/08 L ne		150	50.45	7,567.50		10,234.50	2,567.00		
Acquired 11/03/08 L ne		200	48.74	10,054.60		13,646.00	3,591.40		
Acquired 09/12/10 L ne		100	52.73	5,313.60		6,823.00	1,509.40		



DECEMBER 1 - DECEMBER 31, 2012

## Stocks and ETFs continued

NO COST INFORMATION ON THIS FORM IS REQUIRED BY IRS REPORTING REQUIREMENTS. UNLESS INDICATED, COST FOR ALL OTHER ITEMS WILL BE REPORTED TO THE IRS.

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

## Municipal Bonds

For OGE information for this form is not covered by ERS reporting requirements. Unless indicated, data for all other items will be reported in the ERS.

NICK JOE RAHALL II

## Realized Gain/Loss

This information is provided for courtesy purposes only. Each individual taxpayer should consult with a Tax Advisor as to any additional reporting requirements or adjustments. THIS INFORMATION IS NOT VERIFIED OR GUARANTEED BY FIRST CLEARING, LLC TO BE ACCURATE FOR EACH TAXPAYER'S UNIQUE REPORTING REQUIREMENTS. THEREFORE, YOU SHOULD NEVER ASSUME THIS STATEMENT IS ACCURATE IN LIEU OF COMPLETING YOUR TAX RETURN AND CONSULTING WITH YOUR TAX ADVISOR.

Federal tax reporting requirements will create differences between the information presented here and what appears on your Form 1099-B, including but not limited to the following situations:

- Options activity is not reportable on the Form 1099-B, but is included in a separate section of the Realized Gain/Loss Statement. Since options activity may, in some circumstances, be reportable on some clients' tax returns, we have included that information below.
- Cost basis for many fixed income tax lots has been amortized (for securities purchased at a premium) or accreted (for securities purchased at a discount), when possible, for applicable securities. For securities that were purchased at an Original Issue Discount (OID), only those positions whose cost basis has been adjusted will reflect the impacts of the OID accruals on the original cost basis. The original issue discount amount reported on your Form 1099-OID is not adjusted for market discount, acquisition premium or bond premium. Therefore, the amortization and accretion adjustments used on this statement may not be consistent with the Form 1099-OID amount because the reporting requirements on the Form 1099-OID are different.
- The Original Price represents the unadjusted price of the security. The Original Price can be used to calculate the original unadjusted cost of the security.
- Long-term capital gains reported by a RIC or REIT appear on Form 1099-DIV only, as noted in the instructions for that form.

\* Losses closed due to transfers or journals will not be reflected in the Realized Gain/Loss Statement, or on the Form 1099-B.

REALIZED GAIN/LOSS SUMMARY		BASIS REPORTED TO THE IRS		NONCOVERED SECURITIES		TOTAL	
Short term	\$0.00			\$1,178.71		\$1,178.71	
Long term	\$0.00			\$31,804.72		\$31,804.72	
Unknown term		Not applicable	\$0.00	\$0.00		\$0.00	
Index options		Not applicable	\$0.00	\$0.00		\$0.00	
Total - Realized Gain/Loss		\$0.00		\$32,783.43		\$32,783.43	

NICK JOE RAHALL II

41,444

# Realized Gain/Loss Detail

## Realized Gain/Loss

### LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES

Check box B on Form 8949

Disposition Description	Share Quantity	Adjusted Original Price	Open Date/ Acquisition Date	Date of Sale or Exchange	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
RALEIGH COUNTY WVA BLDG COLUMB UNIV FACS REV MOUNTAIN ST BQ BRE CIO CPN 4.400% DUE 12/01/14 DTD 04/15/04 FC 06/01/04 CALL 12/01/12 @ 102.500 CUSIP 731082AL4	20,000.00000	99.0000	01/04/05	05/07/12	19,987.00	19,800.00	87.00
3M CO CUSIP 88278Y101	200.00000	24.7800	11/25/92	06/25/12	17,070.58	4,953.03	12,117.55
	100.00000	24.7800	11/25/92	07/13/12	8,851.90	2,476.52	6,175.08
	200.00000	24.7800	11/25/92	08/01/12	18,178.11	4,953.02	13,225.09
Subtotal	500.00000				43,900.29	12,382.57	31,517.72
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					363,787.29	332,182.57	\$31,604.72



2012 ENHANCED 1099

NICK JOE RAHALL II

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# Option Activity Gain/Loss Detail for Year

## Realized Gain/Loss

### SHORT TERM OPTIONS Check Box C on Form 8949

Description	Share Quantity	Adjusted Price/ Original Price	Open Date/ Acquisition Date	Date of Sale or Expiration	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
P AAPL 050912 540	1.00000	9.8900	09/07/12	09/07/12	1,204.88	1,011.00	193.88
P AAPL 041312 830	1.00000	7.8000	04/11/12	04/13/12	1,089.97	798.00	291.97
P AAPL 041312 835	1.00000	7.8000	04/11/12	04/11/12	1,134.97	828.00	306.97
P AAPL 040112 805	1.00000	10.5000	04/17/12	04/18/12	1,019.88	1,098.00	-78.02
P AAPL 040112 830	1.00000	9.4700	04/18/12	04/18/12	1,179.97	982.00	187.97
P AAPL 051812 830	1.00000	28.3800	04/26/12	04/27/12	3,265.84	2,998.00	266.84
TOTAL SHORT TERM OPTIONS					\$8,885.71	\$7,717.00	\$1,178.71

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

\*\*\*\*\* REPORT PREPARED BY \*\*\*\*\*

001 / BK / BK08

# Morgan Stanley

1099 Consolidated Tax Statement  
Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL  
109 MAIN STREET  
BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC  
Hartonside Financial Center  
Plaza 2 2nd Floor  
Jersey City, NJ 07311  
Identification Number: 26-4310632

Account Number: 487

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

IRS 2012 FORM 1099-DIV - DIVIDENDS AND DISTRIBUTIONS BOX ONE NO. 1545-0110		1a. TOTAL ORDINARY DIVIDENDS	\$11.78
		1b. QUALIFIED DIVIDENDS	\$0.00
		2a. TOTAL CAPITAL GAIN DISTRIBUTIONS	\$0.00
		2b. UNRECAP. SEC. 1250 GAIN	\$0.00
		2c. COLLECTIBLES (28%) GAIN	\$0.00
		3. NON-DIVIDEND DISTRIBUTIONS	\$0.00
		4. FEDERAL INCOME TAX WITHHELD	\$0.00
		5. INVESTMENT EXPENSES	\$0.00
		6. FOREIGN TAX PAID	\$0.00
		8. CASH LIQUIDATION DISTRIBUTIONS	\$0.00
		9. NON-CASH LIQUIDATION DISTRIBUTIONS	\$0.00
		10. EXEMPT-INTEREST DIVIDENDS	\$0.00
		11. SPECIFIED PRIVATE ACTIVITY BOND INTEREST DIVIDENDS	\$0.00
IRS 2012 FORM 1099-ORD - ORIGINAL ISSUE DISCOUNT BOX ONE NO. 1545-0117		1. ORIGINAL ISSUE DISCOUNT FOR 2012	\$0.00
		2. OTHER PERIODIC INTEREST	\$0.00
		4. FEDERAL INCOME TAX WITHHELD	\$0.00
		5. OID ON U.S. TREASURY OBLIGATIONS	\$0.00
		7. INVESTMENT EXPENSES	\$0.00
IRS 2012 FORM 1099-INT - INTEREST INCOME BOX ONE NO. 1545-0112		1. INTEREST INCOME	\$0.00
		2. EARLY WITHDRAWAL PENALTY	\$0.00
		3. INTEREST ON U.S. SAVINGS BONDS AND TREASURY OBLIGATIONS	\$0.00
		4. FEDERAL INCOME TAX WITHHELD	\$0.00
		5. INVESTMENT EXPENSES	\$0.00
		6. FOREIGN TAX PAID	\$0.00
		8. TAX-EXEMPT INTEREST	\$0.00
		9. SPECIFIED PRIVATE ACTIVITY BOND INTEREST	\$0.00
		10. TAX-EXEMPT BOND CUSIP NO.	\$0.00
IRS 2012 FORM 1099-MISC - MISCELLANEOUS INCOME BOX ONE NO. 1545-0115		1. FEES	\$0.00
		2. ROYALTIES	\$0.00
		3. OTHER INCOME	\$0.00
		4. FEDERAL INCOME TAX WITHHELD	\$0.00
		5. SUBSTITUTE PAYMENTS IN LIEU OF DIVIDENDS OR INTEREST	\$0.00
IRS 2012 FORM 1099-B - PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS BOX ONE NO. 1545-0115		2. SALES PRICE OF STOCKS, BONDS, ETC	\$72,130.01
		NONCOVERED SECURITIES	\$72,130.01
		COVERED SECURITIES	\$0.00
		COST AND OTHER BASIS	\$0.00
		4. FEDERAL INCOME TAX WITHHELD	\$0.00
		5. WASH SALE LOSS DISALLOWED	\$0.00

This may not be the correct figure to report on your income tax return. See instructions on the back.

# Morgan Stanley

1099 Consolidated Tax Statement  
Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL  
109 MAIN STREET  
BECKLEY WV 26001-4610

Morgan Stanley Smith Barney Holdings LLC  
Horseshoe Financial Center  
Plaza 2 2nd Floor  
Jersey City, NJ 07311  
Identification Number: 26-4310632

Account Number: 497

Customer Service: 866-324-6088

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities \* (Consider Item 6a (Noncovered Security) as being checked for the section. These transactions should be reported on Form 8949 Part I with box 8 checked.)

DESCRIPTION (Box 6)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/LOSS AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 5)
<b>AMERIDEEN INTL EO A</b>								
		CUSIP: 000019445 Symbol (Box 1d): GIGAX						
0.215	09/18/11	01/08/12		\$2.75	\$2.83	\$0.00	(\$0.10)	\$0.00
0.750	09/17/11	01/08/12		\$0.85	\$10.28	\$0.00	(\$0.85)	\$0.00
0.200	09/18/11	01/08/12		\$2.84	\$2.49	\$0.00	\$0.05	\$0.00
0.105	12/20/11	01/08/12		\$1.25	\$1.82	\$0.00	\$0.04	\$0.00
1.280				\$18.58	\$18.82	\$0.00	(\$0.60)	\$0.00
<b>AMER CENT INFLATION ADJ EO A</b>								
		CUSIP: 002081229 Symbol (Box 1d): ALAVX						
113.777	10/11/11	01/08/12		\$1,454.07	\$1,450.85	\$0.00	\$3.42	\$0.00
0.585	12/08/11	01/08/12		\$7.48	\$7.52	\$0.00	(\$0.04)	\$0.00
0.882	12/08/11	01/08/12		\$0.35	\$0.35	\$0.00	(\$0.05)	\$0.00
2.200	12/27/11	01/08/12		\$28.52	\$28.25	\$0.00	\$0.25	\$0.00
117.264				\$1,488.58	\$1,484.80	\$0.00	\$3.88	\$0.00
<b>AMER GLOBAL HIGH INCOME A</b>								
		CUSIP: 04315878 Symbol (Box 1d): RUMIX						
220.918	09/18/11	01/08/12		\$2,365.31	\$2,308.18	\$0.00	(\$4.87)	\$0.00
2.534	09/28/11	01/08/12		\$94.86	\$94.55	\$0.00	\$0.31	\$0.00
1.284	10/28/11	01/08/12		\$13.58	\$13.87	\$0.00	(\$0.49)	\$0.00
1.891	11/28/11	01/08/12		\$18.85	\$18.42	\$0.00	\$0.13	\$0.00
3.908	12/28/11	01/08/12		\$38.35	\$38.00	\$0.00	\$0.35	\$0.00
1.254	12/28/11	01/08/12		\$13.28	\$13.15	\$0.00	\$0.12	\$0.00
261.870				\$2,573.72	\$2,472.18	\$0.00	(\$88.45)	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS



# Morgan Stanley

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Jersey City, NJ 07311  
Identification Number: 26-4310632

Account Number: 487

Customer Service: 866-324-6088

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 999-B Part I with box 8 checked.)  
(Continued)

DESCRIPTION (Box 1)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 2)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
<b>BLACKROCK SMALL CAP GRW II A</b>								
		CUSIP: 062331J03 Symbol (Box 1d): MDSVX						
	28.688	01/18/11	01/08/12	\$391.02	\$398.91	\$0.00	(\$8.89)	\$0.00
	1.285	07/21/11	01/08/12	\$17.24	\$18.36	\$0.00	(\$1.14)	\$0.00
	8.585	10/11/11	01/08/12	\$89.80	\$78.23	\$0.00	\$11.57	\$0.00
	35.548			\$288.18	\$285.52	\$0.00	\$2.64	\$0.00
<b>CAMERON SMALL CAP INV</b>								
		CUSIP: 0075W0817 Symbol (Box 1d): CAMSX						
	0.548	10/11/11	01/08/12	\$8.43	\$8.59	\$0.00	\$0.16	\$0.00
	0.542	12/15/11	01/08/12	\$8.31	\$8.66	\$0.00	\$0.35	\$0.00
	0.253	12/15/11	01/08/12	\$4.34	\$4.04	\$0.00	\$0.30	\$0.00
	1.244			\$20.88	\$21.29	\$0.00	\$1.79	\$0.00
<b>FIDELITY ADV CANADA A</b>								
		CUSIP: 315816471 Symbol (Box 1d): FADNXX						
	3.885	10/11/11	01/08/12	\$185.81	\$182.79	\$0.00	\$2.82	\$0.00
	0.191	12/02/11	01/08/12	\$9.61	\$9.60	\$0.00	\$0.01	\$0.00
	0.217	12/02/11	01/08/12	\$10.83	\$10.83	\$0.00	\$0.00	\$0.00
	4.283			\$218.15	\$213.32	\$0.00	\$2.83	\$0.00
<b>GABELLI EQUITY INCOME I</b>								
		CUSIP: 362878448 Symbol (Box 1d): GCEIX						
	68.323	09/03/11	01/08/12	\$1,430.00	\$1,477.10	\$0.00	(\$47.10)	\$0.00
	0.144	09/28/11	01/08/12	\$3.01	\$3.11	\$0.00	(\$0.10)	\$0.00
	40.980	04/20/11	01/08/12	\$857.82	\$804.91	\$0.00	(\$46.99)	\$0.00
	0.194	04/27/11	01/08/12	\$4.06	\$4.36	\$0.00	(\$0.30)	\$0.00
	0.198	05/28/11	01/08/12	\$4.14	\$4.36	\$0.00	(\$0.22)	\$0.00
	0.202	06/28/11	01/08/12	\$4.23	\$4.37	\$0.00	(\$0.14)	\$0.00
	0.204	07/27/11	01/08/12	\$4.27	\$4.38	\$0.00	(\$0.11)	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

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Tax Year 2012 Copy B For Recipient

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## 1099-B PROCEEDS FROM BROKER AND BAITER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities \* (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 5)	WASH SALE DISALLOWED (Box 5)	GAING/LOSS AMOUNT (Box 6)	FEDERAL INCOME TAX WITHHELD (Box 7)
<b>GABELLI EQUITY INCOME 1</b>								
0.2714	0.2714	09/29/11	01/09/12	\$4.46	\$4.32	\$0.00	\$0.16	\$0.00
0.228	0.228	09/29/11	01/09/12	\$4.77	\$4.53	\$0.00	\$0.44	\$0.00
0.180	0.180	10/27/11	01/09/12	\$3.77	\$3.79	\$0.00	(\$0.02)	\$0.00
0.183	0.183	11/28/11	01/09/12	\$4.04	\$3.78	\$0.00	\$0.25	\$0.00
0.182	0.182	12/28/11	01/09/12	\$3.40	\$3.33	\$0.00	\$0.07	\$0.00
111.282	111.282			\$2,298.88	\$2,422.15	\$0.00	(\$124.00)	\$0.00
<b>GATEWAY FUND A</b>								
0.218	0.218	09/24/11	01/09/12	\$5.79	\$5.77	\$0.00	\$0.02	\$0.00
0.230	0.230	09/23/11	01/09/12	\$6.11	\$6.04	\$0.00	\$0.07	\$0.00
0.243	0.243	09/22/11	01/09/12	\$8.46	\$8.08	\$0.00	\$0.38	\$0.00
0.227	0.227	12/20/11	01/09/12	\$6.01	\$5.95	\$0.00	\$0.05	\$0.00
0.518	0.518			\$24.57	\$23.85	\$0.00	\$0.52	\$0.00
<b>GOLDMAN SACHS ABSLT NET TRICK 1</b>								
0.424	0.424	12/07/11	01/09/12	\$3.79	\$3.82	\$0.00	(\$0.02)	\$0.00
1.588	1.588	12/07/11	01/09/12	\$14.31	\$14.30	\$0.00	(\$0.00)	\$0.00
2.013	2.013			\$18.80	\$18.12	\$0.00	(\$0.12)	\$0.00
<b>GOLDMAN SACHS GRW OPFORT 1</b>								
17.283	17.283	01/09/11	01/09/12	\$391.46	\$427.78	\$0.00	(\$36.32)	\$0.00
2.208	2.208	10/11/11	01/09/12	\$82.43	\$50.57	\$0.00	\$1.46	\$0.00
0.110	0.110	12/07/11	01/09/12	\$2.46	\$2.46	\$0.00	\$0.00	\$0.00
2.223	2.223	12/07/11	01/09/12	\$50.29	\$50.14	\$0.00	\$0.15	\$0.00
23.064	23.064			\$495.88	\$531.27	\$0.00	(\$34.71)	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

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Tax Year 2012 Copy B For Recipient

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities \* (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 9846 Part 1 with Box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/LOSS AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
<b>GOLDMAN SACHS STRATEGIC INC I</b>								
		CUSIP: 36140C46	Symbol (Box 1d): GSZIX					
	316,745	03/03/11	01/08/12	\$3,021.74	\$3,208.43	\$0.00	(\$186.69)	\$0.00
	0.916	03/03/11	01/08/12	\$8.74	\$9.19	\$0.00	(\$0.45)	\$0.00
	1,055	04/29/11	01/08/12	\$10.05	\$10.65	\$0.00	(\$0.59)	\$0.00
	1,161	06/01/11	01/08/12	\$11.08	\$11.72	\$0.00	(\$0.64)	\$0.00
	1,262	06/03/11	01/08/12	\$12.84	\$12.56	\$0.00	(\$0.52)	\$0.00
	1,473	07/29/11	01/08/12	\$14.05	\$14.74	\$0.00	(\$0.69)	\$0.00
	1,312	08/31/11	01/08/12	\$12.52	\$12.53	\$0.00	(\$0.31)	\$0.00
	1,578	08/09/11	01/08/12	\$13.15	\$13.15	\$0.00	\$0.00	\$0.00
	0.859	10/01/11	01/08/12	\$8.19	\$8.29	\$0.00	(\$0.10)	\$0.00
	0.141	11/09/11	01/08/12	\$1.55	\$1.34	\$0.00	\$0.01	\$0.00
	2,065	12/03/11	01/08/12	\$19.29	\$19.80	\$0.00	\$0.10	\$0.00
	328,367			\$3,192.82	\$3,372.80	\$0.00	(\$179.98)	\$0.00
<b>MATTERAS ALPHA HEDGED STRAT</b>								
		CUSIP: 41982V461	Symbol (Box 1d): ALPHX					
	0.104	12/28/11	01/08/12	\$1.10	\$1.09	\$0.00	\$0.01	\$0.00
<b>ING GLOBAL REAL ESTATE FDI I</b>								
		CUSIP: 44880C362	Symbol (Box 1d): IGLX					
	0.286	12/30/11	01/08/12	\$4.29	\$4.29	\$0.00	\$0.00	\$0.00
<b>IO ALPHA HEDGE STRAT INST</b>								
		CUSIP: 454078208	Symbol (Box 1d): ICHIX					
	13,130	04/20/11	01/08/12	\$152.22	\$137.87	\$0.00	(\$15.65)	\$0.00
	1,467	12/03/11	01/08/12	\$14.87	\$14.87	\$0.00	\$0.10	\$0.00
	3,467	12/03/11	01/08/12	\$34.82	\$34.87	\$0.00	\$0.25	\$0.00
	18,084			\$182.11	\$187.61	\$0.00	(\$5.30)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8849 Part I with box B checked.)

(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 6)
<b>IShares BARCLAYS AGG 80 PD</b>								
	CUSIP: 464287225	01/08/12	01/08/12	\$2,638.94	\$2,638.78	\$0.00	\$0.16	\$0.00
		10/11/11	01/08/12	\$1,646.57	\$1,636.72	\$0.00	\$16.25	\$0.00
					\$4,275.51	\$0.00	\$20.63	\$0.00
<b>IShares NASDAQ ASIA EX-JAPAN</b>								
	CUSIP: 464287182	01/08/12	01/08/12	\$303.71	\$303.52	\$0.00	(\$0.81)	\$0.00
		10/11/11	01/08/12	\$20.42	\$46.47	\$0.00	\$1.15	\$0.00
					\$572.84	\$0.00	(\$73.85)	\$0.00
<b>IShares NASDAQ JUST INDEX FUND</b>								
	CUSIP: 464287103	01/08/12	01/08/12	\$152.31	\$176.11	\$0.00	(\$23.80)	\$0.00
<b>IShares RUSSELL MIDCAP INDX PD</b>								
	CUSIP: 464287409	01/08/12	01/08/12	\$380.77	\$310.82	\$0.00	(\$10.15)	\$0.00
<b>IShares RUSSELL 2000 INDEX PD</b>								
	CUSIP: 464287865	01/08/12	01/08/12	\$300.35	\$318.31	\$0.00	(\$18.16)	\$0.00
<b>IShares S&amp;P 500 GROWTH INDEX</b>								
	CUSIP: 464287308	01/08/12	01/08/12	\$273.27	\$267.75	\$0.00	\$5.52	\$0.00
		04/20/11	01/08/12	\$201.43	\$201.25	\$0.00	(\$10.45)	\$0.00
					\$1,082.19	\$0.00	(\$4.93)	\$0.00
<b>IShares S&amp;P 500 VALUE INDEX</b>								
	CUSIP: 464287408	01/08/12	01/08/12	\$208.44	\$205.45	\$0.00	(\$9.01)	\$0.00
		04/20/11	01/08/12	\$203.04	\$208.29	\$0.00	(\$26.53)	\$0.00
					\$1,122.04	\$0.00	(\$56.53)	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Short Term - Noncovered Securities \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 5)	WASH SALE LOSS DEBIT/OWED (Box 5)	GAIN/LOSS AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
NY LIMITED TERM BOND I								
	151.553	08/15/11	01/08/12	\$1,898.78	\$1,892.85	\$0.00	(\$6.07)	\$0.00
	0.061	08/27/11	01/08/12	\$0.88	\$0.88	\$0.00	\$0.00	\$0.00
	308.052	10/11/11	01/08/12	\$3,438.75	\$3,427.38	\$0.00	\$12.37	\$0.00
	0.402	12/30/11	01/08/12	\$4.48	\$4.47	\$0.00	\$0.01	\$0.00
	481.098			\$5,131.88	\$5,125.38	\$0.00	\$6.51	\$0.00
JP MORGAN HIRSH DYN COMA STR A								
	3.757	10/11/11	01/08/12	\$64.40	\$69.92	\$0.00	(\$5.52)	\$0.00
	0.238	12/15/11	01/08/12	\$4.08	\$4.21	\$0.00	(\$0.13)	\$0.00
	3.116	12/22/11	01/08/12	\$52.41	\$53.28	\$0.00	\$0.13	\$0.00
	0.185	12/22/11	01/08/12	\$2.83	\$2.83	\$0.00	\$0.00	\$0.00
	7.478			\$134.72	\$130.34	\$0.00	(\$4.37)	\$0.00
JP MORGAN MID CAP VALUE A								
	16.802	10/11/11	01/08/12	\$263.86	\$261.82	\$0.00	\$2.04	\$0.00
	0.114	12/20/11	01/08/12	\$2.21	\$2.82	\$0.00	\$0.09	\$0.00
	16.718			\$266.07	\$264.64	\$0.00	\$1.43	\$0.00
JP MORGAN STRAT INC OPTN 1 A								
	268.567	08/03/11	01/08/12	\$3,057.50	\$3,220.12	\$0.00	(\$162.62)	\$0.00
	0.710	08/03/11	01/08/12	\$8.03	\$8.49	\$0.00	(\$0.46)	\$0.00
	0.822	04/29/11	01/08/12	\$7.45	\$7.45	\$0.00	\$0.00	\$0.00
	0.855	08/31/11	01/08/12	\$7.41	\$7.83	\$0.00	(\$0.42)	\$0.00
	0.588	08/30/11	01/08/12	\$8.44	\$8.77	\$0.00	(\$0.33)	\$0.00
	0.895	07/29/11	01/08/12	\$7.86	\$8.21	\$0.00	(\$0.35)	\$0.00

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OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
<b>JP MORGAN STRAT INC OPPORT A</b>								
	0.618	08/31/11	01/09/12	\$6.99	\$7.15	\$0.00	(\$0.16)	\$0.00
	0.603	09/30/11	01/09/12	\$6.82	\$6.81	\$0.00	\$0.01	\$0.00
	0.726	10/31/11	01/09/12	\$8.21	\$8.40	\$0.00	(\$0.19)	\$0.00
	0.946	11/30/11	01/09/12	\$9.57	\$9.67	\$0.00	(\$0.10)	\$0.00
	3.781	12/15/11	01/09/12	\$42.76	\$42.73	\$0.00	\$0.03	\$0.00
	0.325	12/15/11	01/09/12	\$3.68	\$3.67	\$0.00	\$0.01	\$0.00
	1.158	12/30/11	01/09/12	\$13.09	\$13.10	\$0.00	(\$0.01)	\$0.00
<b>Security Subtotal</b>	<b>279.875</b>			<b>\$3,165.39</b>	<b>\$3,350.41</b>	<b>\$0.00</b>	<b>(\$185.02)</b>	<b>\$0.00</b>
<b>LEGG MASON WA CORE PLUS BD I</b>								
	204.728	09/15/11	01/09/12	\$2,274.53	\$2,266.35	\$0.00	\$6.18	\$0.00
	0.286	09/30/11	01/09/12	\$3.18	\$3.15	\$0.00	\$0.03	\$0.00
	108.704	10/11/11	01/09/12	\$1,207.70	\$1,192.47	\$0.00	\$15.23	\$0.00
	0.805	10/31/11	01/09/12	\$6.94	\$6.96	\$0.00	(\$0.02)	\$0.00
	0.882	11/30/11	01/09/12	\$9.80	\$9.71	\$0.00	\$0.09	\$0.00
	0.621	12/30/11	01/09/12	\$6.90	\$6.90	\$0.00	\$0.00	\$0.00
<b>Security Subtotal</b>	<b>316.026</b>			<b>\$3,511.05</b>	<b>\$3,487.54</b>	<b>\$0.00</b>	<b>\$23.51</b>	<b>\$0.00</b>
<b>MATTHEWS ASIA GROWTH INV</b>								
	0.299	12/08/11	01/09/12	\$4.59	\$4.62	\$0.00	(\$0.03)	\$0.00
<b>Security Subtotal</b>	<b>0.299</b>			<b>\$4.59</b>	<b>\$4.62</b>	<b>\$0.00</b>	<b>(\$0.03)</b>	<b>\$0.00</b>
<b>METROPOLITAN WST TOT RET BD M</b>								
	215.625	09/15/11	01/09/12	\$2,240.34	\$2,264.06	\$0.00	(\$23.72)	\$0.00
	0.320	09/30/11	01/09/12	\$3.32	\$3.35	\$0.00	(\$0.03)	\$0.00
	115.606	10/11/11	01/09/12	\$1,201.15	\$1,198.83	\$0.00	\$2.32	\$0.00
	1.191	10/31/11	01/09/12	\$12.37	\$12.47	\$0.00	(\$0.10)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

1099 Consolidated Tax Statement  
Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL  
109 MAIN STREET  
BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC  
HARBORSIDE Financial Center  
Plaza 2 2nd Floor  
Jersey City, NJ 07311  
Identification Number: 26-4310632

Account Number: 1487  
Customer Service: 866-324-6088

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities \* (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
<b>METROPOLITAN WST TOT RET BD</b>								
	1,338	11/30/11	01/09/12	\$13.90	\$13.89	\$0.00	\$0.01	\$0.00
	1,321	12/12/11	01/09/12	\$13.73	\$13.66	\$0.00	\$0.07	\$0.00
	1,744	12/12/11	01/09/12	\$18.12	\$18.03	\$0.00	\$0.09	\$0.00
	1,271	12/30/11	01/09/12	\$13.21	\$13.18	\$0.00	\$0.03	\$0.00
Security Subtotal	338,416			\$3,516.14	\$3,537.47	\$0.00	(\$21.33)	\$0.00
<b>OPPENHEIMER DEVELOPING MKTS A</b>								
	2,299	12/09/11	01/09/12	\$68.35	\$68.80	\$0.00	(\$0.45)	\$0.00
CUSIP: 683974109								
Symbol (Box 1d): ODMAX								
<b>TEMPLETON GLOBAL BD FD ADV</b>								
	192,063	09/15/11	01/09/12	\$2,387.34	\$2,550.27	\$0.00	(\$162.93)	\$0.00
	0,740	10/17/11	01/09/12	\$9.20	\$9.58	\$0.00	(\$0.38)	\$0.00
	0,751	11/15/11	01/09/12	\$9.33	\$9.62	\$0.00	(\$0.29)	\$0.00
	1,247	12/15/11	01/09/12	\$15.50	\$15.29	\$0.00	\$0.21	\$0.00
	3,945	12/15/11	01/09/12	\$49.04	\$48.37	\$0.00	\$0.67	\$0.00
Security Subtotal	198,746			\$2,470.41	\$2,633.13	\$0.00	(\$162.72)	\$0.00
CUSIP: 885215566								
Symbol (Box 1d): TGVIX								
<b>THORNBERG INTL VALUE I</b>								
	0,051	03/25/11	01/09/12	\$1.27	\$1.49	\$0.00	(\$0.22)	\$0.00
	0,195	06/24/11	01/09/12	\$4.85	\$5.65	\$0.00	(\$0.80)	\$0.00
	0,135	09/26/11	01/09/12	\$3.35	\$3.19	\$0.00	\$0.16	\$0.00
	0,026	12/23/11	01/09/12	\$0.64	\$0.64	\$0.00	\$0.00	\$0.00
Security Subtotal	0,407			\$10.11	\$10.97	\$0.00	(\$0.86)	\$0.00

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# Morgan Stanley

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BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC  
Harborside Financial Center  
Plaza 2 2nd Floor  
Jersey City, NJ 07311  
Identification Number: 26-4310632

Account Number: 487

Customer Service: 866-324-6088

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VAN ECK INTL INV GOLD FD A								
		CUSIP: 921075503		Symbol (Box 1d): INIVX				
	8.382	10/11/11	01/09/12	\$167.30	\$183.23	\$0.00	(\$15.93)	\$0.00
	0.934	12/21/11	01/09/12	\$18.66	\$18.19	\$0.00	\$0.47	\$0.00
	9.316			\$185.96	\$201.42	\$0.00	(\$15.46)	\$0.00
Security Subtotal								
	4.000	10/11/11	01/09/12	\$155.80	\$152.91	\$0.00	\$2.89	\$0.00
VAN GUARD MSCI EMERGING MARKETS								
		CUSIP: 922042858		Symbol (Box 1d): VWO				
Security Subtotal								
	73.409	03/03/11	01/09/12	\$2,546.56	\$2,578.86	\$0.00	(\$32.30)	\$0.00
	23.370	04/20/11	01/09/12	\$810.70	\$860.72	\$0.00	(\$50.02)	\$0.00
	1.475	12/09/11	01/09/12	\$51.17	\$51.85	\$0.00	(\$0.68)	\$0.00
	98.254			\$3,408.43	\$3,491.43	\$0.00	(\$83.00)	\$0.00
Security Subtotal								
	93.000	12/15/11	01/09/12	\$4,205.37	\$4,342.90	\$0.00	(\$137.53)	\$0.00
WISDOMTREE MGD FUTURES STRAT								
		CUSIP: 97717W125		Symbol (Box 1d): WDTI				
Security Subtotal								
	93.000	12/15/11	01/09/12	\$4,205.37	\$4,342.90	\$0.00	(\$137.53)	\$0.00
Total Short Term Noncovered Securities								
				\$44,784.75	\$45,868.65	\$0.00	(\$1,083.90)	\$0.00
Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)								
DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ABERDEEN INTL EQ A								
		CUSIP: 003019445		Symbol (Box 1d): GIGAX				
	22.890	10/14/09	01/09/12	\$290.93	\$273.99	\$0.00	\$16.94	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS



# Morgan Stanley

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Tax Year 2012 Copy B For Recipient

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Identification Number: 26-4310632

Account Number: 487

Customer Service: 866-324-6088

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities \* (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ABERDEEN INTL EQ A	0.326	12/18/09	01/09/12	\$4.14	\$3.89	\$0.00	\$0.25	\$0.00
	0.141	03/19/10	01/09/12	\$1.79	\$1.71	\$0.00	\$0.08	\$0.00
	1.217	06/18/10	01/09/12	\$15.47	\$13.89	\$0.00	\$1.58	\$0.00
	1.828	08/03/10	01/09/12	\$23.23	\$22.25	\$0.00	\$0.98	\$0.00
	0.266	09/17/10	01/09/12	\$3.88	\$3.23	\$0.00	\$0.65	\$0.00
	0.620	11/30/10	01/09/12	\$7.88	\$7.76	\$0.00	\$0.12	\$0.00
	0.270	12/17/10	01/09/12	\$3.43	\$3.59	\$0.00	(\$0.16)	\$0.00
Security Subtotal	27.558			\$350.25	\$330.31	\$0.00	\$19.94	\$0.00
BLACKROCK SMALL CAP GRW II A								
	24.789	10/14/09	01/09/12	\$337.88	\$271.19	\$0.00	\$66.69	\$0.00
CAMBIAR SMALL CAP INV								
	22.591	01/13/10	01/09/12	\$387.93	\$307.24	\$0.00	\$80.69	\$0.00
FIDELITY ADV CANADA A								
	7.341	10/14/09	01/09/12	\$369.62	\$363.67	\$0.00	\$5.95	\$0.00
	0.254	12/04/09	01/09/12	\$12.79	\$12.08	\$0.00	\$0.71	\$0.00
	16.918	01/13/10	01/09/12	\$851.82	\$841.01	\$0.00	\$10.81	\$0.00
	0.276	12/03/10	01/09/12	\$13.90	\$15.51	\$0.00	(\$1.71)	\$0.00
	0.360	12/03/10	01/09/12	\$18.13	\$20.37	\$0.00	(\$2.24)	\$0.00
	0.008	12/30/10	01/09/12	\$0.40	\$0.47	\$0.00	(\$0.07)	\$0.00
Security Subtotal	25.157			\$1,266.66	\$1,253.21	\$0.00	\$13.45	\$0.00
GATEWAY FUND A								
	10.222	07/23/08	01/09/12	\$271.60	\$286.93	\$0.00	(\$15.33)	\$0.00
	0.365	09/26/08	01/09/12	\$9.70	\$10.16	\$0.00	(\$0.46)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

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THE HONORABLE NICK JOE RAHALL  
109 MAIN STREET  
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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
GATEWAY FUND A	0.176	12/31/08	01/09/12	\$4.68	\$4.26	\$0.00	\$0.42	\$0.00
	0.195	03/27/09	01/09/12	\$5.18	\$4.41	\$0.00	\$0.77	\$0.00
	31.977	06/04/09	01/09/12	\$849.63	\$758.81	\$0.00	\$90.82	\$0.00
	0.304	06/26/09	01/09/12	\$8.08	\$7.19	\$0.00	\$0.89	\$0.00
	0.256	09/25/09	01/09/12	\$6.80	\$6.28	\$0.00	\$0.52	\$0.00
	2.240	10/14/09	01/09/12	\$59.52	\$55.41	\$0.00	\$4.11	\$0.00
	0.217	12/22/09	01/09/12	\$5.77	\$5.48	\$0.00	\$0.29	\$0.00
	0.182	03/25/10	01/09/12	\$4.84	\$4.63	\$0.00	\$0.21	\$0.00
	0.212	06/24/10	01/09/12	\$5.63	\$5.20	\$0.00	\$0.43	\$0.00
	1.026	08/03/10	01/09/12	\$27.26	\$25.67	\$0.00	\$1.59	\$0.00
	0.215	09/23/10	01/09/12	\$5.71	\$5.44	\$0.00	\$0.27	\$0.00
	0.945	11/30/10	01/09/12	\$25.11	\$24.28	\$0.00	\$0.83	\$0.00
	0.263	12/21/10	01/09/12	\$6.99	\$6.85	\$0.00	\$0.14	\$0.00
Security Subtotal	48.795			\$1,296.50	\$1,211.00	\$0.00	\$85.50	\$0.00
GOLDMAN SACHS ABSLT RET TRCK I	63.126	10/14/09	01/09/12	\$564.34	\$579.66	\$0.00	(\$15.32)	\$0.00
	0.075	12/08/09	01/09/12	\$0.67	\$0.69	\$0.00	(\$0.02)	\$0.00
	0.050	12/30/09	01/09/12	\$0.45	\$0.46	\$0.00	(\$0.01)	\$0.00
	66.467	01/13/10	01/09/12	\$594.21	\$613.70	\$0.00	(\$19.49)	\$0.00
	4.476	08/03/10	01/09/12	\$40.02	\$40.61	\$0.00	(\$0.59)	\$0.00
	5.310	11/30/10	01/09/12	\$47.47	\$48.87	\$0.00	(\$1.40)	\$0.00
	0.755	12/08/10	01/09/12	\$6.75	\$7.00	\$0.00	(\$0.25)	\$0.00
	0.243	12/08/10	01/09/12	\$2.17	\$2.26	\$0.00	(\$0.09)	\$0.00
Security Subtotal	140.502			\$1,256.08	\$1,293.25	\$0.00	(\$37.17)	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL  
109 MAIN STREET  
BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC  
Harborside Financial Center  
Plaza 2 2nd Floor  
Jersey City, NJ 07311  
Identification Number: 26-4310632

Account Number: 487

Customer Service: 866-324-6088

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)  
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DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
<b>GOLDMAN SACHS GRW OPORT I</b>								
	12.974	01/13/10	01/09/12	\$292.17	\$274.54	\$0.00	\$17.63	\$0.00
	0.172	12/08/10	01/09/12	\$3.87	\$4.15	\$0.00	(\$0.28)	\$0.00
<b>Security Subtotal</b>	<b>13.146</b>			<b>\$296.04</b>	<b>\$278.69</b>	<b>\$0.00</b>	<b>\$17.35</b>	<b>\$0.00</b>
<b>HATTERAS ALPHA HEDGED STRAT</b>								
	121.433	09/14/10	01/09/12	\$1,279.90	\$1,208.26	\$0.00	\$71.64	\$0.00
	1.495	11/30/10	01/09/12	\$15.76	\$15.22	\$0.00	\$0.54	\$0.00
	0.338	12/30/10	01/09/12	\$3.56	\$3.53	\$0.00	\$0.03	\$0.00
<b>Security Subtotal</b>	<b>123.266</b>			<b>\$1,299.22</b>	<b>\$1,227.01</b>	<b>\$0.00</b>	<b>\$72.21</b>	<b>\$0.00</b>
<b>ING GLOBAL REAL ESTATE FDI</b>								
	47.922	01/13/10	01/09/12	\$719.79	\$707.31	\$0.00	\$12.48	\$0.00
	0.936	04/01/10	01/09/12	\$14.06	\$13.97	\$0.00	\$0.09	\$0.00
<b>Security Subtotal</b>	<b>48.858</b>			<b>\$733.85</b>	<b>\$721.28</b>	<b>\$0.00</b>	<b>\$12.57</b>	<b>\$0.00</b>
<b>IQ ALPHA HEDGE STRAT INST</b>								
	187.879	08/03/10	01/09/12	\$1,891.94	\$1,959.58	\$0.00	(\$67.64)	\$0.00
	9.127	11/30/10	01/09/12	\$91.91	\$96.02	\$0.00	(\$4.11)	\$0.00
	0.041	12/29/10	01/09/12	\$0.41	\$0.42	\$0.00	(\$0.01)	\$0.00
	0.189	12/29/10	01/09/12	\$1.90	\$1.96	\$0.00	(\$0.06)	\$0.00
	4.255	12/29/10	01/09/12	\$42.85	\$44.00	\$0.00	(\$1.15)	\$0.00
<b>Security Subtotal</b>	<b>201.491</b>			<b>\$2,029.01</b>	<b>\$2,101.98</b>	<b>\$0.00</b>	<b>(\$72.97)</b>	<b>\$0.00</b>
<b>ISHARES RUSSELL MIDCAP INDX FD</b>								
	1.000	10/14/09	01/09/12	\$100.26	\$80.20	\$0.00	\$20.06	\$0.00

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# Morgan Stanley

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Customer Service: 866-324-6088

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)  
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ISHARES RUSSELL 2000 INDEX FD								
	2,000	01/13/10	01/09/12	\$150.18	\$128.70	\$0.00	\$21.48	\$0.00
		CUSIP: 464287655		Symbol (Box 1d): IWM				
ISHARES S&P 500 GRWTH INDEX								
	13,000	06/04/09	01/09/12	\$888.14	\$635.57	\$0.00	\$252.57	\$0.00
	21,000	08/03/10	01/09/12	\$1,434.69	\$1,208.11	\$0.00	\$226.58	\$0.00
	34,000			\$2,322.83	\$1,843.68	\$0.00	\$479.15	\$0.00
Security Subtotal								
	20,000	06/04/09	01/09/12	\$1,185.78	\$894.60	\$0.00	\$291.18	\$0.00
	1,000	11/30/10	01/09/12	\$59.29	\$55.42	\$0.00	\$3.87	\$0.00
	21,000			\$1,245.07	\$950.02	\$0.00	\$295.05	\$0.00
Security Subtotal								
JP MORGAN HIBRG DYN COMM STR A								
	62,658	08/03/10	01/09/12	\$1,073.96	\$872.83	\$0.00	\$201.13	\$0.00
	0,551	12/14/10	01/09/12	\$9.44	\$10.23	\$0.00	(\$0.79)	\$0.00
	1,135	12/14/10	01/09/12	\$19.45	\$21.02	\$0.00	(\$1.62)	\$0.00
	64,344			\$1,102.85	\$904.13	\$0.00	\$198.72	\$0.00
Security Subtotal								
JP MORGAN HIBRG STAT MKT NEU A								
	77,317	06/04/09	01/09/12	\$1,143.52	\$1,238.62	\$0.00	(\$95.10)	\$0.00
	2,381	08/03/10	01/09/12	\$35.21	\$36.43	\$0.00	(\$1.22)	\$0.00
	6,544	11/30/10	01/09/12	\$96.79	\$98.23	\$0.00	(\$1.44)	\$0.00
	86,242			\$1,275.52	\$1,373.28	\$0.00	(\$97.76)	\$0.00
Security Subtotal								

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

**1099 Consolidated Tax Statement**  
**Tax Year 2012 Copy B For Recipient**

THE HONORABLE NICK JOE RAHALL  
 109 MAIN STREET  
 BECKLEY WV 26801-4610

Morgan Stanley Smith Barney Holdings LLC  
 Harborside Financial Center  
 Plaza 2 2nd Floor  
 Jersey City, NJ 07311  
 Identification Number: 26-4310632

Account Number: 487

Customer Service: 866-324-6088

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities #** (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)  
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
<b>MATTHEWS ASIA GROWTH INV</b>								
		CUSIP: 577130867		Symbol (Box 1d): MPACX				
	10.478	01/13/10	01/09/12	\$160.62	\$154.76	\$0.00	\$5.86	\$0.00
	1.053	12/09/10	01/09/12	\$16.14	\$18.61	\$0.00	(\$2.47)	\$0.00
	11.531			\$176.76	\$173.37	\$0.00	\$3.39	\$0.00
	Security Subtotal							
<b>OPENHEIMER DEVELOPING MKTS A</b>								
		CUSIP: 683974109		Symbol (Box 1d): ODMAX				
	107.127	08/03/10	01/09/12	\$3,184.89	\$3,315.58	\$0.00	(\$130.69)	\$0.00
	0.171	12/21/10	01/09/12	\$5.08	\$6.05	\$0.00	(\$0.97)	\$0.00
	107.298			\$3,189.97	\$3,321.63	\$0.00	(\$131.66)	\$0.00
	Security Subtotal							
<b>PRUDENTIAL JENNISON MKT NEUT Z</b>								
		CUSIP: 74440K827		Symbol (Box 1d): PJNZX				
	72.215	08/03/10	01/09/12	\$697.60	\$703.30	\$0.00	(\$5.70)	\$0.00
	1.643	11/30/10	01/09/12	\$15.87	\$16.48	\$0.00	(\$0.61)	\$0.00
	73.858			\$713.47	\$719.78	\$0.00	(\$6.31)	\$0.00
	Security Subtotal							
<b>SPDR GOLD TR GOLD SHS</b>								
		CUSIP: 78463V107		Symbol (Box 1d): GLD				
	7.000	01/13/10	01/09/12	\$1,093.86	\$780.69	\$0.00	\$313.17	\$0.00
	Security Subtotal							
<b>SPDR S&amp;P INTL SMALL C</b>								
		CUSIP: 78463X871		Symbol (Box 1d): GWX				
	26.000	01/13/10	01/09/12	\$657.78	\$696.49	\$0.00	(\$38.71)	\$0.00
	2.000	08/03/10	01/09/12	\$50.60	\$52.56	\$0.00	(\$1.96)	\$0.00
	28.000			\$708.38	\$749.05	\$0.00	(\$40.67)	\$0.00
	Security Subtotal							
<b>THORNBURG INTL VALUE I</b>								
		CUSIP: 885215566		Symbol (Box 1d): TGVIX				
	14.335	09/14/10	01/09/12	\$356.22	\$375.86	\$0.00	(\$19.64)	\$0.00
	0.152	09/24/10	01/09/12	\$3.78	\$4.06	\$0.00	(\$0.28)	\$0.00
	0.049	12/27/10	01/09/12	\$1.22	\$1.40	\$0.00	(\$0.18)	\$0.00
	14.536			\$361.22	\$381.32	\$0.00	(\$20.10)	\$0.00
	Security Subtotal							

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

1099 Consolidated Tax Statement  
Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL  
109 MAIN STREET  
BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC  
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Plaza 2 2nd Floor  
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Identification Number: 26-4310632

Account Number: 487

Customer Service: 866-324-6088

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VAN ECK INTL INV GOLD FD A								
		CUSIP: 921075503	Symbol (Box 1d): INVX					
	29.919	10/21/10	01/09/12	\$597.18	\$734.81	\$0.00	(\$137.63)	\$0.00
	11.462	11/30/10	01/09/12	\$228.78	\$315.08	\$0.00	(\$86.30)	\$0.00
	0.403	12/21/10	01/09/12	\$8.04	\$9.72	\$0.00	(\$1.68)	\$0.00
	3.415	12/21/10	01/09/12	\$68.16	\$82.33	\$0.00	(\$14.17)	\$0.00
	4.735	12/21/10	01/09/12	\$94.51	\$114.17	\$0.00	(\$19.66)	\$0.00
						\$0.00	(\$259.44)	\$0.00
	49.934			\$996.67	\$1,256.11	\$0.00		\$0.00
Security Subtotal								
VANGUARD EUROPEAN MSCI ETF								
		CUSIP: 922042874	Symbol (Box 1d): VGK					
	10.000	06/04/09	01/09/12	\$411.09	\$412.57	\$0.00	(\$1.48)	\$0.00
	1.000	01/13/10	01/09/12	\$41.11	\$50.64	\$0.00	(\$9.53)	\$0.00
	3.000	08/03/10	01/09/12	\$123.33	\$142.35	\$0.00	(\$19.02)	\$0.00
	3.000	11/30/10	01/09/12	\$123.32	\$141.92	\$0.00	(\$18.60)	\$0.00
	17.000			\$698.85	\$747.48	\$0.00	(\$48.63)	\$0.00
Security Subtotal								
VANGUARD MSCI EMERGING MARKETS								
		CUSIP: 922042858	Symbol (Box 1d): VWO					
	38.000	06/04/09	01/09/12	\$1,478.17	\$1,241.84	\$0.00	\$236.33	\$0.00
	24.000	10/14/09	01/09/12	\$933.58	\$981.55	\$0.00	(\$47.97)	\$0.00
	19.000	08/03/10	01/09/12	\$739.08	\$809.38	\$0.00	(\$70.30)	\$0.00
	81.000			\$3,150.83	\$3,032.77	\$0.00	\$118.06	\$0.00
Security Subtotal								

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# Morgan Stanley

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities \*** (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)  
 (Continued)

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VANGUARD REIT ETF	14.000	09/14/10	01/09/12	\$805.12	\$735.11	\$0.00	\$70.01	\$0.00
<b>Total Long Term Noncovered Securities</b>				<b>\$27,345.26</b>	<b>\$26,172.48</b>	<b>\$0.00</b>	<b>\$1,172.78</b>	<b>\$0.00</b>
<b>Total Short and Long Term, Covered and Noncovered Securities</b>				<b>\$72,130.01</b>	<b>\$72,041.13</b>	<b>\$0.00</b>	<b>\$88.88</b>	<b>\$0.00</b>
<b>Form 1099-B Total Reportable Amounts</b>								
<b>Total Sales Price (Box 2)</b>				<b>\$72,130.01</b>				
<b>Total Cost or Other Basis (Box 3)</b>					<b>\$0.00</b>			
<b>Total Wash Sale Loss Disallowed (Box 5)</b>						<b>\$0.00</b>		
<b>Total Fed Tax Withheld (Box 4)</b>								<b>\$0.00</b>

\* Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.



CLIENT STATEMENT | For the Period December 1-31, 2012

## Account Summary

Portfolio Management Retirement Account

NICK JOE RAHALL  
109 MAIN STREET

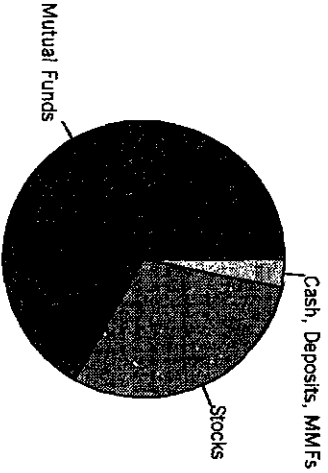
Investment Advisory Account  
ROLLOVER IRA  
Householding Anniversary Date: 5/29/03  
Investment Objectives†: Capital Appreciation, Income, Aggressive Income, Speculation

### CHANGE IN VALUE OF YOUR ACCOUNT

	This Period (12/1/12-12/31/12)	This Year (1/1/12-12/31/12)
Total Beginning Value(Includes accrued interest)	\$44,402.42	\$41,541.40
Contributions	1.00	17.44
Withdrawals	—	(535.00)
Security Transfers	—	—
Net Contributions/Withdrawals	\$1.00	\$(517.56)
Change in Value	441.12	3,820.70
Total Ending Value(Includes accrued interest)	\$44,844.54	\$44,844.54

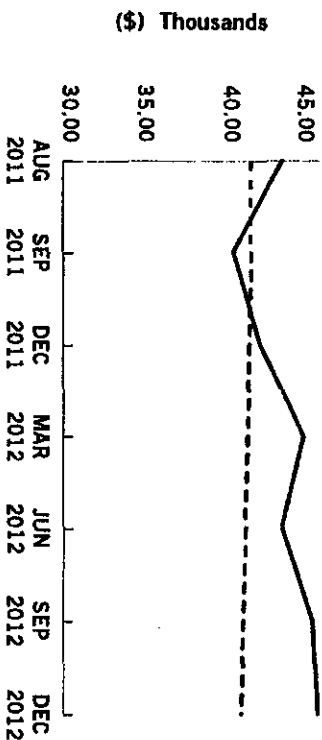
Net Contributions / Withdrawals include investment advisory fees to the extent applicable. Please see the activity section of your statement for more specific details on any advisory fees. This exhibit may not include transactions for investments in Annuities or where Morgan Stanley Smith Barney LLC is not the custodian. This may affect the reported Net Invested Capital. If we are not the custodian there may also be a delay in the reporting of your Market Value. Please speak to your Financial Advisor if you have any questions. † See the Expanded Disclosures for more information about investment objectives. Please review for accuracy and inform us if your investment objectives change.

### ALLOCATION OF HOLDINGS



### CHANGE IN VALUE OVER TIME

This graph compares the total value of your account to the net amount invested. Net investment is the total amount invested minus the total amount withdrawn.



This allocation represents holdings on a trade date basis, and projected settled Cash/Deposits/MMF balances, and may differ from Asset Allocation reports you might receive. These classifications are not intended to serve as a suitability analysis. \* Bank Deposits are eligible for FDIC insurance, FDIC rules apply and deposits are not SIPC insured. Cash and securities (including Money Market Funds) are eligible for SIPC coverage. Please see Expanded Disclosures.



# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2012

## Holdings

Portfolio Management Retirement Account  
NICK JOE RAHALL  
109 MAIN STREET

This section reflects the impact of positions purchased/sold on a trade date basis, and will include positions purchased and omit positions sold in the current month. Please see the "Unsettled Purchases/Sales Activity" section for more information.

The "Market Value" and "Unrealized Gain/(Loss)" figures shown are representative values as of the last business day of the statement period indicated, which may not reflect the value that could actually be obtained in the market. See "Pricing of Securities" in the Expanded Disclosures. Fixed income securities are sorted by maturity or pre-refunding date, and alphabetically within date.

Estimated annual income and estimated yield for certain securities can include return of principal or capital gains which could overstate such estimates. Estimated yield and estimated annual income are estimates and the actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Structured products appear in various statement product categories and are identified as "Structured Products" in the Security Description column. Estimated accrued interest, estimated annual income and estimated yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) assume specified accrual conditions are met during the relevant observation period and payment in full of all contingent interest. If you hold structured products, please see "Special Considerations Regarding Structured Products" in the Disclosures.

New Treasury regulations require that we report on Form 1099-B after the close of the tax year your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. Cost basis is reflected on monthly statements for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Expanded Disclosures for additional information.

## CASH, DEPOSITS AND MONEY MARKET FUNDS

Cash, Deposits and Money Market Funds are generally displayed on a settlement date basis. However, Global Currency Deposits may include both settled and unsettled positions. Estimated Annual Income, Accrued Interest and APY% will only be displayed for fully settled positions. In the event, all or a portion of a Global Currency Deposit position is unsettled as of month end, this data will not be available.

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
CASH	\$6.38			
MORGAN STANLEY BANK N.A. #	1,493.11	1.00	—	0.050

CASH, DEPOSITS AND MONEY MARKET FUNDS	Percentage of Assets %	Market Value	Estimated Annual Income	Dividend Yield %
	3.3%	\$1,493.49	\$1.00	2.54

# Bank Deposits are at either: (1) Morgan Stanley Bank, N.A., and Morgan Stanley Private Bank, National Association, or (2) Citibank, N.A., each a national bank, FDIC member and an affiliate of Morgan Stanley Smith Barney LLC.

## STOCKS

### COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ISHARES BARCLAYS AGG. BD FD (AGG)	9/15/11	15,000	\$109.740	\$1,646.10	\$1,666.20	\$20.10 LT		
	10/11/11	7,000	109.049	763.34	777.56	14.22 LT		
	9/21/12	1,000	111.880	111.88	111.08	(0.80) ST		
<b>Total</b>		<b>23,000</b>		<b>2,521.32</b>	<b>2,554.84</b>	<b>34.32 LT</b>	<b>65.04</b>	<b>2.54</b>

Share Price: \$111.080; Next Dividend Payable 01/02/13

CONTINUED

# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2012

## Holdings

Portfolio Management Retirement Account  
NICK JOE RAHALL  
109 MAIN STREET

### STOCKS

#### COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ISHARES S&P 500 GRWTH INDEX (IVW)	8/12/11	29,000	63.820	1,850.78	2,196.46	345.68 LT	39.96	1.81
Share Price: \$75.740; Next Dividend Payable 03/2013								
ISHARES S&P 500 VALUE INDEX (IVE)	8/12/11	23,000	54.160	1,245.68	1,526.97	281.29 LT	35.70	2.33
Share Price: \$66.390; Next Dividend Payable 03/2013								
SPDR GOLD TR GOLD SHS (GLD)	8/12/11	4,000	167.910	671.64	648.08	(23.56) LT	—	—
9/21/12	5,000	171.908	859.54	810.10	(49.44) ST	—	—	—
Total	9,000	1,531.18	1,458.18	(23.56) LT	(49.44) ST	—	—	—
Share Price: \$162.020								
VANGUARD EUROPEAN MSCI ETF (VGK)	8/12/11	10,000	45.480	454.80	488.40	33.60 LT	—	—
9/21/12	8,000	48.059	384.47	390.72	6.25 ST	—	—	—
Total	18,000	839.27	879.12	33.60 LT	6.25 ST	—	—	—
Share Price: \$48.840; Next Dividend Payable 03/2013								
VANGUARD MSCI EMERGING MARKETS (VWO)	8/12/11	49,000	42.220	2,068.78	2,181.97	113.19 LT	47.78	2.18
Share Price: \$44.530; Next Dividend Payable 03/2013								
VANGUARD REIT ETF (VNQ)	8/12/11	8,000	54.790	438.32	526.40	88.08 LT	18.74	3.56
Share Price: \$65.800; Next Dividend Payable 03/2013								
WISDOMTREE MGD FUTURES STRAT (WDTI)	12/15/11	52,000	46.698	2,428.29	2,095.73	(332.56) LT	—	—
Share Price: \$40.303; Next Dividend Payable 12/2013								
STOCKS		Percentage of Assets %		Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
	29.9%			\$12,923.62	\$13,419.67	\$540.04 LT	\$233.66	1.74%
						\$(43.99) ST	\$0.00	

# Morgan Stanley

**CLIENT STATEMENT** | For the Period December 1-31, 2012

Portfolio Management Retirement Account  
NICK JOE RAHALL  
109 MAIN STREET

## Holdings

### MUTUAL FUNDS

#### OTHER MUTUAL FUNDS

"Total Purchases vs Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash, due to but not limited to the following: investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account.

"Net Value Increase/(Decrease)" reflects the difference between your total purchases, plus the cash distributions shown, and the current value of the fund's shares. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes.

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<b>ABERDEEN INTL EQ A (GIGAX)</b>	8/12/11	16.178	\$12.690	\$205.30	\$231.02	\$25.72 LT		
Purchases		16.178		205.30	231.02	25.72 LT		
Long Term Reinvestments		0.163		2.03	2.33	0.30 LT		
Short Term Reinvestments		0.353		4.64	5.04	0.40 ST		
<b>Total</b>		16.694		211.97	238.39	26.02 LT 0.40 ST	5.00	2.09
Total Purchases vs Market Value				205.30	238.39			
Net Value Increase/(Decrease)					33.09			
Share Price: \$14.280; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
<b>ALLIANCEBER SMALL CAP GRW ADV (QUAVX)</b>	9/21/12	10.623	42.119	447.43	417.91	(29.52) ST		
Purchases		10.623		447.43	417.91	(29.52) ST		
Long Term Reinvestments		0.350		13.54	13.77	0.23 ST		
Short Term Reinvestments		10.973		460.97	431.68	(29.29) ST		
<b>Total</b>				447.43	431.68	(15.75)		
Total Purchases vs Market Value								
Net Value Increase/(Decrease)								
Share Price: \$39.340; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
<b>AMER CENT INFLAT ADJ BD A (AIAVX)</b>	10/11/11	64.380	12.750	820.85	845.31	24.46 LT		
Purchases		64.380		820.85	845.31	24.46 LT		
Long Term Reinvestments		1.876		23.87	24.63	0.76 LT		
Short Term Reinvestments		1.902		25.21	24.97	(0.24) ST		
<b>Total</b>				869.93	894.91	25.22 LT (0.24) ST	18.00	2.01

CONTINUED



Holdings

Portfolio Management Retirement Account

NICK JOE RAHALL  
109 MAIN STREET

MUTUAL FUNDS

OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<b>ARTIO GLB HI INC I (JHYIX)</b>								
Share Price: \$13.130; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
Total Purchases vs Market Value				820.85	894.91			
Net Value Increase/(Decrease)					74.06			
9/15/11		134.751	9.563	1,288.63	1,339.42	50.79 LT		
Purchases		134.751		1,288.63	1,339.42	50.79 LT		
Long Term Reinvestments		6.067		56.69	60.31	3.62 LT		
Short Term Reinvestments		11.315		109.58	112.47	2.89 ST		
Total		152.133		1,454.90	1,512.20	54.41 LT	114.00	7.53
<b>CAMBIAR SMALL CAP INST (CAMZX)</b>								
Share Price: \$9.940; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
Total Purchases vs Market Value				210.39	248.10	37.71 LT		
Net Value Increase/(Decrease)					223.57			
8/12/11		13.183	15.959	210.39	248.10	37.71 LT		
Purchases		13.183		210.39	248.10	37.71 LT		
Long Term Reinvestments		0.453		7.29	8.53	1.24 LT		
Short Term Reinvestments		0.209		3.85	3.93	0.08 ST		
Total		13.845		221.53	260.56	38.95 LT		
<b>DIAMOND HILL LONG-SHORT I (DHLX)</b>								
Share Price: \$18.820; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
Total Purchases vs Market Value				210.39	260.56			
Net Value Increase/(Decrease)					50.17			
9/21/12		66.511	18.500	1,230.45	1,220.48	(9.97) ST		
Purchases		66.511		1,230.45	1,220.48	(9.97) ST		
Long Term Reinvestments		0.234		4.26	4.29	0.03 ST		
Short Term Reinvestments		66.745		1,234.71	1,224.77	(9.94) ST		
Total				1,230.45	1,224.77		4.00	0.32
<b>FIRST EAGLE OVERSEAS I (SGOIX)</b>								
Share Price: \$18.350; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
Total Purchases vs Market Value				894.86	871.10	(23.76) ST		
Net Value Increase/(Decrease)					48.03			
9/21/12		38.958	22.970	894.86	871.10	(23.76) ST		
Purchases		38.958		894.86	871.10	(23.76) ST		
Long Term Reinvestments		2.148		47.26	48.03	0.77 ST		
Short Term Reinvestments		41.106		942.12	919.13	(22.99) ST		
Total				942.12	919.13		13.00	1.41

CONTINUED

# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2012

## Holdings

Portfolio Management Retirement Account

NICK JOE RAHALL  
109 MAIN STREET

### MUTUAL FUNDS

#### OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
GABELL EQUITY INCOME I (GCIEY)								
Total Purchases vs Market Value				894.86	919.13			
Net Value Increase/(Decrease)					24.27			
Share Price: \$22.360; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
8/12/11		62.552	19.620	1,227.27	1,443.70	216.43 LT		
Purchases		62.552		1,227.27	1,443.70	216.43 LT		
Long Term Reinvestments		0.508		10.17	11.72	1.55 LT		
Short Term Reinvestments		1.031		22.87	23.80	0.93 ST		
Total		64.091		1,260.31	1,479.22	217.98 LT	23.00	1.55
Total Purchases vs Market Value				1,227.27	1,479.22			
Net Value Increase/(Decrease)					251.95			
Share Price: \$23.080; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
8/12/11		27.914	25.330	707.06	756.75	49.69 LT		
9/21/12		16.307	27.610	450.24	442.08	(8.16) ST		
Purchases		44.221		1,157.30	1,198.83	49.69 LT		
Long Term Reinvestments		0.251		6.43	6.80	(8.16) ST		
Short Term Reinvestments		0.541		14.69	14.67	(0.02) ST		
Total		45.013		1,178.42	1,220.30	50.06 LT	24.00	1.96
Total Purchases vs Market Value				1,157.30	1,220.30			
Net Value Increase/(Decrease)					63.00			
Share Price: \$27.110; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
8/12/11		18.800	22.129	416.02	464.55	48.53 LT		
Purchases		18.800		416.02	464.55	48.53 LT		
Long Term Reinvestments		1.165		26.17	28.79	2.62 LT		
Short Term Reinvestments		1.368		32.91	33.80	0.89 ST		
Total		21.333		475.10	527.14	51.15 LT		
Total Purchases vs Market Value				475.10	527.14			
Net Value Increase/(Decrease)					52.04			

CONTINUED

# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2012

## Holdings

Portfolio Management Retirement Account

NICK JOE RAHALL  
109 MAIN STREET

### MUTUAL FUNDS

#### OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
GOLDMAN SACHS STRATEGIC INC 1 (GSZIX)								
Share Price: \$24.710; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
Total Purchases vs Market Value	8/12/11	182.683	9.790	1,788.41	1,888.94	100.53 LT		
Net Value Increase/(Decrease)	9/15/11	0.721	9.695	6.99	7.46	0.47 LT		
Purchases								
	9/15/11	183.404		1,795.40	1,896.40	101.00 LT		
Long Term Reinvestments		2.463		23.56	25.47	1.91 LT		
Short Term Reinvestments		6.091		60.68	62.98	2.30 ST		
Total		191.958		1,879.64	1,984.85	102.91 LT 2.30 ST	73.00	3.67
ING GLOBAL REAL ESTATE FD 1 (IGLIX)								
Share Price: \$10.340; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
Total Purchases vs Market Value	8/12/11	27.561	15.501	427.21	497.48	70.27 LT		
Net Value Increase/(Decrease)								
Purchases								
	8/12/11	27.561		427.21	497.48	70.27 LT		
Long Term Reinvestments		0.454		6.41	8.19	1.78 LT		
Short Term Reinvestments		0.523		8.88	9.44	0.56 ST		
Total		28.538		442.50	515.11	72.05 LT 0.56 ST	12.00	2.32
ING ALPHA HEDGE STRAT INST 1 (IGHIX)								
Share Price: \$18.050; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
Total Purchases vs Market Value	8/12/11	121.563	10.150	1,233.87	1,249.66	15.79 LT		
Net Value Increase/(Decrease)								
Purchases								
	8/12/11	121.563		1,233.87	1,249.66	15.79 LT		
Long Term Reinvestments		2.806		28.06	28.84	0.78 LT		
Total		124.369		1,261.93	1,278.51	16.57 LT		
IVY LIMITED TERM BOND 1 (ILTIIX)								
Share Price: \$10.280; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
Total Purchases vs Market Value	9/15/11	75.052	11.170	838.33	840.58	2.25 LT		
Net Value Increase/(Decrease)	10/11/11	183.913	11.090	2,039.59	2,059.83	20.24 LT		

CONTINUED

# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2012

## Holdings

Portfolio Management Retirement Account  
NICK JOE RAHALL  
109 MAIN STREET

### MUTUAL FUNDS

#### OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Long Term Reinvestments	Purchases	258.965		2,877.92	2,900.41	22.49 LT		
Short Term Reinvestments		2.092		23.29	23.43	0.14 LT		
		5.491		61.53	61.50	(0.03) ST		
Total		266.548		2,962.74	2,985.34	22.63 LT (0.03) ST	61.00	2.04
Total Purchases vs Market Value								
Net Value Increase/(Decrease)								
Share Price: \$11.200; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest								
JP MORGAN HIBRG DYN COMM STRT (HDCSX)	10/11/11	38.468	18.671	718.24	537.40	(180.84) LT		
Long Term Reinvestments								
	Purchases	38.468		718.24	537.40	(180.84) LT		
		1.988		34.19	27.77	(6.42) LT		
Total		40.456		752.43	565.17	(187.26) LT		
Total Purchases vs Market Value								
Net Value Increase/(Decrease)								
Share Price: \$13.970; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest								
JP MORGAN MID CAP VALUE S (JMVSX)	10/11/11	9.349	21.950	205.21	259.53	54.32 LT		
Purchases								
		9.349		205.21	259.53	54.32 LT		
		0.065		1.49	1.80	0.31 LT		
Long Term Reinvestments		0.193		5.38	5.36	(0.02) ST		
Short Term Reinvestments								
Total		9.607		212.08	266.69	54.63 LT (0.02) ST	3.00	1.12
Total Purchases vs Market Value								
Net Value Increase/(Decrease)								
Share Price: \$27.760; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest								
JP MORGAN STRAT INC OPP SEL (JSOSX)	8/12/11	153.712	11.540	1,773.83	1,818.41	44.58 LT		

CONTINUED

# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2012

## Holdings

Portfolio Management Retirement Account

NICK JOE RAHALL  
109 MAIN STREET

### MUTUAL FUNDS

#### OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
Long Term Reinvestments	Purchases	153.712		1,773.83	1,818.41	44.58 LT		
Short Term Reinvestments		4.280		48.73	50.63	1.90 LT		
		5.092		59.21	60.24	1.03 ST		
Total		163.084		1,881.77	1,929.28	46.48 LT 1.03 ST	68.00	3.52
Total Purchases vs Market Value								
Net Value Increase/(Decrease)								
Share Price: \$11.830; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
MERGER FUND (MERFX)	9/21/12	77.048	15.970	1,230.45	1,219.67	(10.78) ST		
Purchases		77.048		1,230.45	1,219.67	(10.78) ST		
		1.575		24.84	24.93	0.09 ST		
Short Term Reinvestments	Total	78.623		1,255.29	1,244.60	(10.69) ST	20.00	1.60
Total Purchases vs Market Value								
Net Value Increase/(Decrease)								
Share Price: \$15.830; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
METROPOLITAN WEST TOT RET BD1 (MMWTX)	9/15/11	129.743	10.500	1,362.30	1,412.90	50.60 LT		
	10/11/11	57.971	10.370	601.16	631.30	30.14 LT		
Purchases		187.714		1,963.46	2,044.20	80.74 LT		
		3.604		37.40	39.24	1.84 LT		
Long Term Reinvestments		10.881		117.74	118.49	0.75 ST		
Short Term Reinvestments	Total	202.199		2,118.60	2,201.95	82.58 LT 0.75 ST	89.00	4.04
Total Purchases vs Market Value								
Net Value Increase/(Decrease)								
Share Price: \$10.890; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
OPENHEIMER DEVELOPING MKTS Y (ODVYX)	8/12/11	61.712	31.550	1,947.00	2,152.51	205.51 LT		
Purchases		61.712		1,947.00	2,152.51	205.51 LT		
		1.157		34.20	40.35	6.15 LT		
Long Term Reinvestments		0.464		15.68	16.18	0.50 ST		
Short Term Reinvestments	Total	63.333		1,996.88	2,209.06	211.66 LT 0.50 ST	16.00	0.72

CONTINUED



CLIENT STATEMENT | For the Period December 1-31, 2012

## Holdings

Portfolio Management Retirement Account

NICK JOE RAHALL  
109 MAIN STREET

### MUTUAL FUNDS

#### OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
<b>TEMPLETON GLOBAL BD FD ADV (TGBAX)</b>								
Share Price: \$34.880; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
Total Purchases vs Market Value				1,947.00	2,209.06			
Net Value Increase/(Decrease)					262.06			
9/15/11		95.480	13.278	1,267.81	1,273.70	5.89 LT		
Purchases		95.480		1,267.81	1,273.70	5.89 LT		
Long Term Reinvestments		3.323		41.19	44.33	3.14 LT		
Short Term Reinvestments		7.616		99.59	101.60	2.01 ST		
Total		106.419		1,408.59	1,419.63	9.03 LT 2.01 ST	63.00	4.43
<b>THORNBURG INTL VALUE I (TGVIX)</b>								
Share Price: \$13.340; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
Total Purchases vs Market Value				1,267.81	1,419.63			
Net Value Increase/(Decrease)					151.82			
8/12/11		8.392	26.140	219.37	235.73	16.36 LT		
Purchases		8.392		219.37	235.73	16.36 LT		
Long Term Reinvestments		0.087		2.05	2.44	0.39 LT		
Short Term Reinvestments		0.116		3.00	3.26	0.26 ST		
Total		8.595		224.42	241.43	16.75 LT 0.26 ST	3.00	1.24
<b>WELLS FARGO ADV GW ADMIN (SGRXX)</b>								
Share Price: \$28.090; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
Total Purchases vs Market Value				219.37	241.43			
Net Value Increase/(Decrease)					22.06			
8/12/11		52.473	34.534	1,812.10	2,196.52	384.42 LT		
Purchases		52.473		1,812.10	2,196.52	384.42 LT		
Long Term Reinvestments		0.699		25.78	29.26	3.48 LT		
Total		53.172		1,837.88	2,225.78	387.90 LT		
<b>WESTERN ASSET CORE PLUS BD I (WACFX)</b>								
Share Price: \$41.860; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								
Total Purchases vs Market Value				1,362.30	1,436.13			
Net Value Increase/(Decrease)					636.18			
9/15/11		123.062	11.070	1,362.30	1,436.13	73.83 LT		
10/11/11		54.514	10.970	598.01	636.18	38.17 LT		

CONTINUED

# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2012

## Holdings

Portfolio Management Retirement Account  
NICK JOE RAHALL  
109 MAIN STREET

### MUTUAL FUNDS

#### OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Purchases		177.576		1,960.31	2,072.31	112.00 LT		
Long Term Reinvestments		1.328		14.71	15.50	0.79 LT		
Short Term Reinvestments		5.302		60.87	61.87	1.00 ST		
<b>Total</b>		<b>184.206</b>		<b>2,035.89</b>	<b>2,149.68</b>	<b>112.79 LT</b> 1.00 ST	<b>68.00</b>	<b>3.16</b>
Total Purchases vs Market Value								
Net Value Increase/(Decrease)				1,960.31	2,149.68	189.37		
Share Price: \$11.670; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest								

### MUTUAL FUNDS

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
	66.7%	\$28,580.60	\$29,925.38	\$1,412.51 LT \$(67.78) ST	\$677.00 \$0.00	2.26%

Transactions in mutual fund positions held directly at the Fund Company are not included in the total above and are not reflected on the Summary Page.  
For more information about the pricing of Money Market Funds, please see the Expanded Disclosures.

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
<b>TOTAL MARKET VALUE</b>	<b>100.0%</b>	<b>\$41,504.22</b>	<b>\$44,844.54</b>	<b>\$1,952.55 LT</b> <b>\$(111.77) ST</b>	<b>\$911.66</b> <b>\$0.00</b>	<b>2.03%</b>

### TOTAL VALUE (includes accrued interest)

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

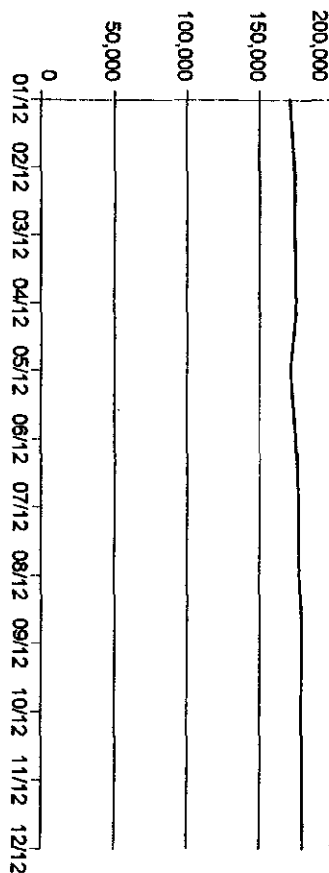
\$44,844.54



# Progress summary

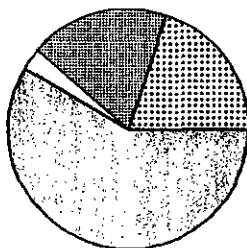
	THIS PERIOD	THIS YEAR
Opening value	\$179,350.83	\$167,739.34
Cash deposited	0.00	0.00
Securities deposited	0.00	0.00
Cash withdrawn	0.00	0.00
Securities withdrawn	0.00	0.00
Income earned	1,492.57	5,378.39
Change in value	-818.57	6,907.10
Closing value	\$180,024.83	\$180,024.83

# Value over time



# Portfolio summary

CURRENT



## ASSETS

ASSET TYPE	PREVIOUS VALUE ON NOV 30	%	CURRENT VALUE ON DEC 31	%	ESTIMATED ANN. INCOME
Cash and sweep balances	105,218.56	58.67	105,311.18	58.50	10
Stocks, options & ETFs	5,141.25	2.87	5,153.75	2.86	216
Fixed income securities	33,534.76	18.70	33,888.26	18.82	2,353
Mutual funds	35,456.26	19.77	35,671.64	19.81	298
Asset value	\$179,350.83	100%	\$180,024.83	100%	\$2,877

# SNAPSHOT

NICK JOE RAHALL (SEP IRA)  
FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2012



NICK JOE RAHALL (SEP IRA)  
FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2012

## Additional information

	THIS PERIOD	THIS YEAR		THIS PERIOD	THIS YEAR
Accrued interest on sales	0.00	58.00	Gross proceeds	0.00	61,089.07
Accrued interest on purchases	0.00	-243.64			

## Portfolio detail

### Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	58.50	0.01	\$105,311.18	\$10.53
Interest Period 12/01/12 - 12/31/12				
<b>Total Cash and Sweep Balances</b>	<b>58.50</b>		<b>\$105,311.18</b>	<b>\$10.53</b>

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

### Stocks, options & ETFs

#### Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED	
ISHARES S&P GLOBAL UTILITIES INDEX FUND	2.86	125	41.2300	5,153.75	ANNUAL INCOME	ANNUAL YIELD (%)
					216.12	4.19
<b>Total Stocks and ETFs</b>	<b>2.86</b>			<b>\$5,153.75</b>	<b>\$216.12</b>	<b>4.19</b>
<b>Total Stocks, options &amp; ETFs</b>	<b>2.86</b>			<b>\$5,153.75</b>	<b>\$216.12</b>	<b>4.19</b>

NICK JOE RAHALL (SEP IRA)  
FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2012

### Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

### Corporate Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED		
					ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
CHIOUITA BRANDS INTL SENIOR NOTES CALLABLE CPN 7.500% DUE 11/01/14 DTD 09/28/04 FC 05/01/05 Moody CAA1, S&P B- CUSIP 170032AC9	2.75	5,000	99.0000	4,950.00	62.50	375.00	7.57
FIRST DATA CORPORATION COMPANY GTD CALLABLE CPN 11.250% DUE 03/31/16 DTD 03/31/09 FC 09/30/09 CALL 03/30/13 @ 102.813 Moody CAA2, S&P CCC+ CUSIP 319963AY6	3.85	7,000	99.0000	6,930.00	196.88	787.50	11.36
EDISON MISSION ENERGY SR NOTES CALLABLE CPN 7.750% DUE 06/15/16 DTD 06/06/06 FC 12/15/06 Moody CA, S&P D CUSIP 281023AR2	1.46	5,000	52.5000	2,625.00	N/A	N/A	N/A
UNION CARBIDE GLOBAL NOTES NON CALL LIFE CPN 7.875% DUE 04/01/23 DTD 04/01/93 FC 10/01/93 Moody BAA2, S&P BBB CUSIP 905572AD5	3.51	5,000	126.4280	6,321.40	98.44	393.75	6.22
GOLDMAN SACHS GROUP INC SUBORDINATE NOTES CPN 6.750% DUE 10/01/37 DTD 10/03/07 FC 04/01/08 Moody BAA1, S&P BBB+ CUSIP 38141GFD1	4.40	7,000	113.2480	7,927.36	118.13	472.50	5.96
<b>Total Corporate Bonds</b>	<b>15.97</b>	<b>29,000</b>		<b>\$28,753.76</b>	<b>\$475.95</b>	<b>\$2,028.75</b>	<b>7.06</b>





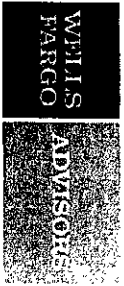
NICK JOE RAHALL (SEP IRA)  
FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2012

# Mutual Funds

## Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED	
					ANNUAL INCOME	ANNUAL YIELD (%)
JOHN HANCOCK II LIFESTYLE GROWTH FUND CL-C JCLGX On Reinvestment	3.59	477.07800	13.5600	6,469.17	75.85	1.17
<b>Total Open End Mutual Funds</b>	<b>19.81</b>			<b>\$35,671.64</b>	<b>\$298.77</b>	<b>0.84</b>
<b>Total Mutual Funds</b>	<b>19.81</b>			<b>\$35,671.64</b>	<b>\$298.77</b>	<b>0.84</b>



# SNAPSHOT

NICK RAHALL CHARITABLE  
REMAINDER UNIT TR  
NICK J RAHALL II TTEE  
U/A DTD 12/16/99  
DECEMBER 1 - DECEMBER 31, 2012

## Progress summary

	THIS PERIOD	THIS YEAR
Opening value	\$339,777.04	\$325,404.61
Income earned	743.03	6,806.22
Change in value	-13,480.71	-5,171.47
Closing value	\$327,039.36	\$327,039.36

As a Wells Fargo Advisors Client, you can easily simplify your finances by combining all your investing and banking into a single, easy-to-manage relationship. By upgrading to the Command Asset Program, you'll have access to many more features and benefits to help you manage your finances. You'll see all your investing and banking activity on one comprehensive monthly statement. It's as simple as talking with Your Financial Advisor. Ask them today about the Command Asset Program.

Attachment 6 - p. 1

## Portfolio summary

ASSET TYPE	PREVIOUS VALUE ON NOV 30	%	CURRENT VALUE ON DEC 31	%	ESTIMATED ANN. INCOME
<b>ASSETS</b>					
Cash and sweep balances	179,700.08	52.89	226,113.00	69.14	22
Stocks, options & ETFs	119,478.93	35.16	66,618.94	20.37	1,921
Fixed Income securities	40,598.03	11.95	34,307.42	10.49	1,865
Mutual funds	0.00	0.00	0.00	0.00	0
Asset value	\$339,777.04	100%	\$327,039.36	100%	\$3,808



NICK RAHALL CHARITABLE  
REMAINDER UNIT TR  
NICK J RAHALL II TTEE  
U/A DTD 12/16/99  
DECEMBER 1 - DECEMBER 31, 2012

### Additional information

	THIS PERIOD	THIS YEAR		THIS PERIOD	THIS YEAR
Accrued interest on sales	8.65	649.21	Gross proceeds	65,994.61	575,698.27
Accrued interest on purchases	0.00	-148.89	Foreign withholding	0.00	-23.65
Return of principal	406.13	4,817.46			

### Portfolio detail

#### Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIFC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	0.00	-3,298.53	0.00
BANK DEPOSIT SWEEP	0.01	229,411.53	22.94
Interest Period 12/01/12 - 12/31/12			

#### Total Cash and Sweep Balances

\$226,113.00

\$22.94

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

### Stocks, options & ETFs

#### Stocks and ETFs

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
BP PLC SPONS ADR								
BP								
Acquired 03/22/12	175	45.37	8,045.10	41.6400	7,287.00	-758.10	378.00	5.18
BRUNSWICK CORP								
BC								
Acquired 04/27/06 nc	100	38.44	3,927.00		2,909.00	-1,018.00		
Acquired 12/14/06 nc	100	32.22	3,314.00		2,909.00	-405.00		
Total	200		\$7,241.00	29.0900	\$5,818.00	-\$1,423.00	\$10.00	0.17





NICK RAHALL CHARITABLE  
REMAINDER UNIT TR  
NICK J RAHALL II TTEE  
U/A DTD 12/16/99  
DECEMBER 1 - DECEMBER 31, 2012

Stocks, options & ETFs  
Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
CANADIAN NATURAL SOURCES LTD								
UNQ								
Acquired 03/20/12	235	35.24	8,407.83		6,784.45	-1,623.38		
Acquired 04/20/12	65	32.35	2,162.75		1,876.55	-286.20		
Total	300		\$10,570.58	28.8700	\$8,661.00	\$-1,909.58	\$126.54	1.46
CITIGROUP INC NEW C								
Acquired 11/06/07 nc	6,421.05	351.80	2,313.87		254.02	-2,059.85		
Acquired 11/06/07 nc	7,421.05	351.90	2,669.36		293.58	-2,375.78		
Acquired 01/08/08 nc	12,368.42	279.33	3,562.38		489.29	-3,073.09		
Acquired 10/09/08 nc	19,789.48	148.56	3,047.50		782.87	-2,264.63		
Acquired 05/12/11	153	41.99	6,525.54		6,052.68	-472.86		
Total	199		\$18,118.65	39.5600	\$7,872.44	\$-10,246.21	\$7.96	0.10
GANNETT CO INC DEL GCI								
Acquired 09/29/04 nc	100	84.30	8,515.50		1,801.00	-6,714.50		
Acquired 04/20/05 nc	100	77.08	7,793.50		1,801.00	-5,992.50		
Acquired 03/27/06 nc	100	58.64	5,958.00		1,801.00	-4,157.00		
Acquired 09/26/07 nc	100	44.23	4,526.20		1,801.00	-2,725.20		
Total	400		\$26,793.20	18.0100	\$7,204.00	\$-19,589.20	\$320.00	4.44
GENERAL ELECTRIC COMPANY GE								
Acquired 04/11/08 nc	200	32.54	6,645.07	20.9900	4,198.00	-2,447.07	152.00	3.62
HEWLETT-PACKARD COMPANY HPQ								
Acquired 03/04/11	200	42.44	8,616.27		2,850.00	-5,766.27		
Acquired 08/17/11	175	31.59	5,629.14		2,493.75	-3,135.39		
Acquired 08/26/12	125	19.36	2,480.56		1,781.25	-699.31		
Total	500		\$16,725.97	14.2500	\$7,125.00	\$-9,600.97	\$264.00	3.71
KRONOS WORLDWIDE INC KRO								
Acquired 04/30/12	300	23.70	7,245.00	19.5000	5,850.00	-1,395.00	180.00	3.07
MEDIA GENERAL INC CL A MEG								
Acquired 10/23/07 nc	50	27.25	1,395.62		215.00	-1,180.62		
Acquired 12/18/07 nc	100	20.90	2,193.49		430.00	-1,763.49		

NICK RAHALL CHARITABLE  
 REMAINDER UNIT TR  
 NICK J RAHALL II TTEE  
 U/A DTD 12/16/99  
 DECEMBER 1 - DECEMBER 31, 2012

# **Stocks, options & ETFs** **Stocks and ETFs continued**

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
Uited 04/04/08 nc	150	15.03	2,359.53		645.00	-1,714.53		
<b>Total</b>	<b>300</b>		<b>\$5,948.64</b>	<b>4.3000</b>	<b>\$1,290.00</b>	<b>-\$4,658.64</b>	<b>N/A</b>	<b>N/A</b>
OTTER TAIL CORPORATION								
OTTR								
Acquired 09/18/08 nc	200	34.82	7,091.74		5,000.00	-2,091.74		
Acquired 12/28/12	150	24.53	3,739.50		3,750.00	10.50		
<b>Total</b>	<b>350</b>		<b>\$10,831.24</b>	<b>25.0000</b>	<b>\$8,750.00</b>	<b>-\$2,081.24</b>	<b>\$416.50</b>	<b>4.76</b>
WELLS FARGO COMPANY								
WFC								
Acquired 10/24/07 nc	25.62500	229.10	5,946.00		875.86	-5,070.14		
Acquired 01/04/08 nc	19.75000	180.84	3,644.69		675.06	-2,969.63		
Acquired 06/24/08 nc	29.62500	90.27	2,759.42		1,012.58	-1,746.84		
<b>Total</b>	<b>75</b>		<b>\$12,350.11</b>	<b>34.1800</b>	<b>\$2,563.50</b>	<b>-\$9,786.61</b>	<b>\$66.00</b>	<b>2.57</b>
<b>Total Stocks and ETFs</b>			<b>\$130,514.56</b>		<b>\$66,618.94</b>	<b>-\$63,895.62</b>	<b>\$1,921.00</b>	<b>2.88</b>
<b>Total Stocks, options &amp; ETFs</b>			<b>\$130,514.56</b>		<b>\$66,618.94</b>	<b>-\$63,895.62</b>	<b>\$1,921.00</b>	<b>2.88</b>

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.





NICK RAHALL CHARITABLE  
REMAINDER UNIT TR  
NICK J RAHALL II TTEE  
U/A DTD 12/16/99  
DECEMBER 1 - DECEMBER 31, 2012

## Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

### Corporate Bonds

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
							ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
AMERICAN GEN FIN CORP CPN 6.750% DUE 07/15/13 DTD 06/28/08 FC 01/15/09 Moody CAA1, S&P CCC CUSIP 02639EP99 Acquired 06/23/08 nc	10,000	100.00	10,000.00	99.0860	9,908.60	-91.40	311.25	675.00	6.81
<b>Total Corporate Bonds</b>	<b>10,000</b>		<b>\$10,000.00</b>		<b>\$9,908.60</b>	<b>-\$91.40</b>	<b>\$311.25</b>	<b>\$675.00</b>	<b>6.81</b>

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

### Government Asset Backed/CMO Securities

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
							ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
GNMA 04-102 MA REMIC MULTICLASS CMO CPN 5.500% DUE 04/20/34 D 11/01/04 FC 12/20/04 MAIN BAL 10,802.38 DEC FACTOR 0.43209533 CUSIP 38374JZ66 Acquired 12/02/04 nc	25,000	99.47 99.75	10,745.89 24,943.50	109.3450	11,811.86	1,065.97	49.51	594.13	5.02
GNMA 05-5 EA REMIC MULTICLASS CMO CPN 5.500% DUE 07/20/34 DTD 01/01/05 FC 02/20/05 REMAIN BAL 10,511.37 DEC FACTOR 0.42045505 CUSIP 38374KNM1 Acquired 06/18/05 nc	25,000	99.59 99.75	10,489.14 18,050.91	118.3090	12,225.67	1,756.53	48.18	578.12	4.72

NICK RAHALL CHARITABLE  
 REMAINDER UNIT TR  
 NICK J RAHALL II TTEE  
 U/A DTD 12/16/99  
 DECEMBER 1 - DECEMBER 31, 2012

## Fixed Income Securities

### Government Asset Backed/CMO Securities continued

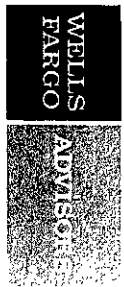
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
<b>Total Government Asset Backed/CMO Securities</b>			<b>\$21,215.03</b>		<b>\$24,037.53</b>	<b>\$2,822.50</b>	<b>\$97.69</b>	<b>\$1,172.25</b>	<b>4.88</b>
<b>Total Remaining Balance on all Government Asset Backed/CMO Securities:</b>			<b>\$42,994.41</b>						
			<b>\$21,313.75</b>						

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

### Corp. Mortgage/Asset Backed Securities

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
BANK OF AMER 04-1 1A4 MULTICLASS CMO CPN 5.500% DUE 02/25/34 DTD 01/01/04 FC 02/25/04 Moody NR, S&P A- REMAIN BAL 338.45 DEC FACTOR 0.02417513 CUSIP 05948XV32 Acquired 07/26/05 nc	14,000	70.75 99.25	239.46 13,901.00	106.7480	361.29	121.83	1.55	18.61	5.15
<b>Total Corp. Mortgage/Asset Backed Securities</b>			<b>\$239.46</b>		<b>\$361.29</b>	<b>\$121.83</b>	<b>\$1.55</b>	<b>\$18.61</b>	<b>5.15</b>
<b>Total Remaining Balance on all Corp. Mortgage/Asset Backed Securities:</b>			<b>\$13,901.00</b>						
<b>Total Fixed Income Securities</b>			<b>\$31,454.49</b>		<b>\$34,307.42</b>	<b>\$2,852.93</b>	<b>\$410.49</b>	<b>\$1,865.86</b>	<b>5.44</b>
			<b>\$66,895.41</b>						

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.



NICK JOE RAHALL II  
109 MAIN STREET  
BECKLEY WV 25801-4610

Your Financial Advisor :  
EDWARD G RAHALL  
122 MAIN STREET  
POST OFFICE BOX 1328  
BECKLEY, WEST VIRGINIA 25802  
(304) 252-6221

As of Date: 1/25/13  
Payer:  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

## 2012 ENHANCED 1099

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41.437

### Reportable Tax Information

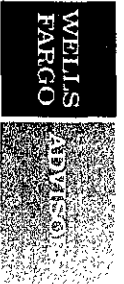
Starting with Tax Year 2011, the Form 1099-B ("Proceeds From Broker and Barter Exchange Transactions") reporting was modified to comply with Internal Revenue Service (IRS) requirements regarding substitute tax forms. Consistent with that IRS guidance, we are providing information in a format similar to what you are now required to provide with your individual tax return on IRS Form 8949 and Schedule D (Form 1040). Please Note: Cost basis information is being sent to the IRS only for stock acquired by you on or after January 1, 2011, if it was issued by a corporation and not eligible for the average cost basis election; and for stock acquired by you on or after January 1, 2012, that was issued by a regulated investment company (RIC) or as part of a dividend reinvestment plan (DRIP) and those shares are eligible for the average cost basis election. The reporting of the cost basis for a sale, maturity or expiration of debt instruments, rights, warrants, puts and calls is not currently required. In addition, no cost basis reporting is required on the Form 1099-B for REMICs, CMOs and WHFITS, because they are subject to reporting under different rules. When it is available, we are providing cost basis information for some of those securities as supplemental information to assist you with completing your tax return. When completing IRS Form 8949, you must check the correct box to indicate when we provided cost basis information to the IRS, and when we did not. In accordance with Federal tax regulations, the cost basis information will not reflect tax accounting elections you have made, positions that you hold elsewhere that can impact the information we have provided, or organizational actions (such as mergers) for which the issuer provided no information on IRS Form 8937. As a result, IRS Form 8949 now provides you with the format to notify the IRS of any cost basis adjustments that you deem necessary or as directed by your personal tax advisor or counsel. Please see the "Understanding your 2012 1099 statement" insert that was included in your 1099 package for a detail explanation of these changes.

### LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX B CHECKED\*\*

#### 1099-B Proceeds from Broker and Barter Exchange Transactions for 2012 OMB NO. 1545-0715 SUPPLEMENTAL INFORMATION

Description (Box 8) Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Unit Price (Box 1f)	Date of Acquisition or Exchange (Box 1b) (Box 1a)	Sales Price (Box 2a)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
RALEIGH COUNTY W VA BLDG COMMUN UNIV FACS REV MOUNTAIN ST BQ B/E OID CPN 4.400% DUE 12/01/14 CUSIP 751062AL4	20,000.0000	99.46000	01/04/06 05/07/12	19,887.00	19,800.00	87.00	SALE
3M CO CUSIP 88579Y101	200.0000	85.85480	11/25/92 06/25/12	17,070.58	4,953.03	12,117.55	SALE
	100.0000	87.51800	11/25/92 07/13/12	8,651.60	2,476.52	6,175.08	SALE
	200.0000	91.61760	11/25/92 08/01/12	18,178.11	4,953.02	13,225.09	SALE
Subtotal	500.0000			43,900.29	12,382.57	31,517.72	
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES				63,787.29	32,182.57	31,604.72	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES  
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.



2012 ENHANCED 1099

41,445

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## Option Activity Gain/Loss Detail for Year

### Realized Gain/Loss

SHORT TERM OPTIONS									
Check box C on Form 8949									
Description	Share Quantity	Adjusted Price/ Original Price	Open Date/ Acquisition Date	Date of Sale or Exchange	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount		
P AAPL 030912 540	1.00000	9.6600	03/07/12	03/07/12	1,204.98	1,011.00	193.98		
P AAPL 041312 630	1.00000	7.5000	04/11/12	04/13/12	1,089.97	795.00	294.97		
P AAPL 041312 635	1.00000	7.8000	04/11/12	04/11/12	1,134.97	825.00	309.97		
P AAPL 042112 605	1.00000	10.5000	04/17/12	04/19/12	1,019.98	1,095.00	-75.02		
P AAPL 042112 620	1.00000	9.4700	04/18/12	04/18/12	1,179.97	992.00	187.97		
P AAPL 051912 630	1.00000	29.3900	04/26/12	04/27/12	3,265.84	2,999.00	266.84		
TOTAL SHORT TERM OPTIONS					\$8,895.71	\$7,717.00	\$1,178.71		

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

001 / BK / BK08

## Wells Fargo Advisors Account

### 2012 Purchases

Date	Description	Amount
03/07	1 Put Apple Inc Exp 03/09/12	\$1,011.00
04/11	1 Put Apple Inc Exp 04/13/12	795.00
04/11	1 Put Apple Inc Exp 04/13/12	825.00
04/17	1 Put Apple Inc Exp 04/21/12	1,095.00
04/18	1 Put Apple Inc Exp 04/21/12	992.00
04/26	1 Put Apple Inc Exp 05/19/12	<u>2,999.00</u>
		<u>\$7,717.00</u>





# Morgan Stanley

1099 Consolidated Tax Statement  
Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL  
109 MAIN STREET  
BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC  
HARBORSIDE Financial Center  
Plaza 2 2nd Floor  
Jersey City, NJ 07311  
Identification Number: 26-4310632

Account Number: 487

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BLACKROCK SMALL CAP GRW II A								
		CUSIP: 09253J103		Symbol (Box 1d): MDSWX				
	28,688	01/19/11	01/09/12	\$391.02	\$399.91	\$0.00	(\$8.89)	\$0.00
	1,265	07/21/11	01/09/12	\$17.24	\$18.38	\$0.00	(\$1.14)	\$0.00
	6,596	10/11/11	01/09/12	\$89.80	\$78.23	\$0.00	\$11.62	\$0.00
Security Subtotal	36,549			\$498.16	\$496.52	\$0.00	\$1.64	\$0.00
CAMBIAR SMALL CAP INV								
		CUSIP: 0075W0817		Symbol (Box 1d): CAMSX				
	0,549	10/11/11	01/09/12	\$9.43	\$8.59	\$0.00	\$0.84	\$0.00
	0,542	12/15/11	01/09/12	\$9.31	\$8.66	\$0.00	\$0.65	\$0.00
	0,253	12/15/11	01/09/12	\$4.34	\$4.04	\$0.00	\$0.30	\$0.00
Security Subtotal	1,344			\$23.08	\$21.29	\$0.00	\$1.79	\$0.00
FIDELITY ADV CANADA A								
		CUSIP: 315910471		Symbol (Box 1d): FACNXX				
	3,885	10/11/11	01/09/12	\$195.61	\$192.79	\$0.00	\$2.82	\$0.00
	0,191	12/02/11	01/09/12	\$9.61	\$9.60	\$0.00	\$0.01	\$0.00
	0,217	12/02/11	01/09/12	\$10.93	\$10.93	\$0.00	\$0.00	\$0.00
Security Subtotal	4,293			\$216.15	\$213.32	\$0.00	\$2.83	\$0.00
GABELLI EQUITY INCOME I								
		CUSIP: 36239T848		Symbol (Box 1d): GCIEXX				
	68,323	03/03/11	01/09/12	\$1,430.00	\$1,477.10	\$0.00	(\$47.10)	\$0.00
	0,144	03/29/11	01/09/12	\$3.01	\$3.11	\$0.00	(\$0.10)	\$0.00
	40,990	04/20/11	01/09/12	\$857.92	\$904.91	\$0.00	(\$46.99)	\$0.00
	0,194	04/27/11	01/09/12	\$4.06	\$4.36	\$0.00	(\$0.30)	\$0.00
	0,198	05/26/11	01/09/12	\$4.14	\$4.36	\$0.00	(\$0.22)	\$0.00
	0,202	06/28/11	01/09/12	\$4.23	\$4.37	\$0.00	(\$0.14)	\$0.00
	0,204	07/27/11	01/09/12	\$4.27	\$4.38	\$0.00	(\$0.11)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

1099 Consolidated Tax Statement  
Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL  
109 MAIN STREET  
BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC  
HARBORSIDE Financial Center  
Plaza 2 2nd Floor  
Jersey City, NJ 07311  
Identification Number: 26-4310632

Account Number: 487

Customer Service: 866-324-6088

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
GABELL EQUITY INCOME I	0.214	08/29/11	01/09/12	\$4.48	\$4.32	\$0.00	\$0.16	\$0.00
	0.228	09/28/11	01/09/12	\$4.77	\$4.33	\$0.00	\$0.44	\$0.00
	0.180	10/27/11	01/09/12	\$3.77	\$3.79	\$0.00	(\$0.02)	\$0.00
	0.193	11/28/11	01/09/12	\$4.04	\$3.79	\$0.00	\$0.25	\$0.00
	0.162	12/28/11	01/09/12	\$3.40	\$3.33	\$0.00	\$0.07	\$0.00
Security Subtotal	111.232			\$2,328.09	\$2,422.15	\$0.00	(\$94.06)	\$0.00
GATEWAY FUND A								
		CUSIP: 367829207		Symbol (Box 1d): GATEX				
	0.218	03/24/11	01/09/12	\$5.79	\$5.77	\$0.00	\$0.02	\$0.00
	0.230	06/23/11	01/09/12	\$6.11	\$6.04	\$0.00	\$0.07	\$0.00
	0.243	09/22/11	01/09/12	\$6.48	\$6.08	\$0.00	\$0.38	\$0.00
	0.227	12/20/11	01/09/12	\$6.01	\$5.96	\$0.00	\$0.05	\$0.00
Security Subtotal	0.918			\$24.37	\$23.85	\$0.00	\$0.52	\$0.00
GOLDMAN SACHS ABSLT RET TRCK I								
		CUSIP: 38145N220		Symbol (Box 1d): GURTX				
	0.424	12/07/11	01/09/12	\$3.79	\$3.82	\$0.00	(\$0.03)	\$0.00
	1.589	12/07/11	01/09/12	\$14.21	\$14.30	\$0.00	(\$0.09)	\$0.00
Security Subtotal	2.013			\$18.00	\$18.12	\$0.00	(\$0.12)	\$0.00
GOLDMAN SACHS GRW OPORT I								
		CUSIP: 38142Y401		Symbol (Box 1d): GGOIX				
	17.383	01/19/11	01/09/12	\$391.46	\$427.78	\$0.00	(\$36.32)	\$0.00
	2.328	10/11/11	01/09/12	\$52.43	\$50.97	\$0.00	\$1.46	\$0.00
	0.110	12/07/11	01/09/12	\$2.48	\$2.48	\$0.00	\$0.00	\$0.00
	2.233	12/07/11	01/09/12	\$50.29	\$50.14	\$0.00	\$0.15	\$0.00
Security Subtotal	22.054			\$496.66	\$531.37	\$0.00	(\$34.71)	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

1099 Consolidated Tax Statement  
Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL  
109 MAIN STREET  
BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC  
HARBORSIDE Financial Center  
Plaza 2 2nd Floor  
Jersey City, NJ 07311  
Identification Number: 26-4310632

Account Number: 487

Customer Service: 866-324-6088

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
GOLDMAN SACHS STRATEGIC INC 1								
		CUSIP: 38145C646	Symbol (Box 1d): GSZIX					
	316.745	03/03/11	01/09/12	\$3,021.74	\$3,208.43	\$0.00	(\$186.69)	\$0.00
	0.916	03/31/11	01/09/12	\$8.74	\$9.19	\$0.00	(\$0.45)	\$0.00
	1.055	04/29/11	01/09/12	\$10.06	\$10.65	\$0.00	(\$0.59)	\$0.00
	1.161	05/31/11	01/09/12	\$11.08	\$11.72	\$0.00	(\$0.64)	\$0.00
	1.262	06/30/11	01/09/12	\$12.04	\$12.56	\$0.00	(\$0.52)	\$0.00
	1.473	07/29/11	01/09/12	\$14.05	\$14.74	\$0.00	(\$0.69)	\$0.00
	1.312	08/31/11	01/09/12	\$12.52	\$12.83	\$0.00	(\$0.31)	\$0.00
	1.378	09/30/11	01/09/12	\$13.15	\$13.15	\$0.00	\$0.00	\$0.00
	0.859	10/31/11	01/09/12	\$8.19	\$8.29	\$0.00	(\$0.10)	\$0.00
	0.141	11/30/11	01/09/12	\$1.35	\$1.34	\$0.00	\$0.01	\$0.00
	2.065	12/30/11	01/09/12	\$19.70	\$19.60	\$0.00	\$0.10	\$0.00
Security Subtotal	328.367			\$3,132.62	\$3,322.50	\$0.00	(\$189.88)	\$0.00
HATTERAS ALPHA HEDGED STRAT								
		CUSIP: 41902V401	Symbol (Box 1d): ALPHX					
	0.104	12/29/11	01/09/12	\$1.10	\$1.09	\$0.00	\$0.01	\$0.00
ING GLOBAL REAL ESTATE FD 1								
		CUSIP: 44980Q302	Symbol (Box 1d): IGLIX					
	0.286	12/30/11	01/09/12	\$4.29	\$4.29	\$0.00	\$0.00	\$0.00
IQ ALPHA HEDGE STRAT INST								
		CUSIP: 454076209	Symbol (Box 1d): IQHIX					
	13.130	04/20/11	01/09/12	\$132.22	\$137.87	\$0.00	(\$5.65)	\$0.00
	1.487	12/30/11	01/09/12	\$14.97	\$14.87	\$0.00	\$0.10	\$0.00
	3.467	12/30/11	01/09/12	\$34.92	\$34.67	\$0.00	\$0.25	\$0.00
Security Subtotal	18.084			\$182.11	\$187.41	\$0.00	(\$5.30)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

1099 Consolidated Tax Statement  
Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL  
109 MAIN STREET  
BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC  
Hartorside Financial Center  
Plaza 2 2nd Floor  
Jersey City, NJ 07311  
Identification Number: 26-4310632

Account Number: 487

Customer Service: 866-324-6088

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities \* (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
<b>ISHARES BARCLAYS AGG.BD FD</b>								
	24.000	09/15/11	01/09/12	\$2,639.94	\$2,633.76	\$0.00	\$6.18	\$0.00
	15.000	10/11/11	01/09/12	\$1,649.97	\$1,635.72	\$0.00	\$14.25	\$0.00
	39.000			\$4,289.91	\$4,269.48	\$0.00	\$20.43	\$0.00
<b>Security Subtotal</b>								
<b>ISHARES MSCI ASIA EX-JAPAN</b>								
	6.000	01/19/11	01/09/12	\$303.71	\$383.52	\$0.00	(\$79.81)	\$0.00
	1.000	10/11/11	01/09/12	\$50.62	\$49.47	\$0.00	\$1.15	\$0.00
	7.000			\$354.33	\$432.99	\$0.00	(\$78.66)	\$0.00
<b>Security Subtotal</b>								
<b>ISHARES MSCI AUST INDEX FUND</b>								
	7.000	01/19/11	01/09/12	\$152.31	\$176.11	\$0.00	(\$23.80)	\$0.00
<b>Security Subtotal</b>								
<b>ISHARES RUSSELL MIDCAP INDX FD</b>								
	3.000	01/19/11	01/09/12	\$300.77	\$310.92	\$0.00	(\$10.15)	\$0.00
<b>Security Subtotal</b>								
<b>ISHARES RUSSELL 2000 INDEX FD</b>								
	4.000	01/19/11	01/09/12	\$300.35	\$316.51	\$0.00	(\$16.16)	\$0.00
<b>Security Subtotal</b>								
<b>ISHARES S&amp;P 500 GRWTH INDEX</b>								
	4.000	01/19/11	01/09/12	\$273.27	\$267.75	\$0.00	\$5.52	\$0.00
	12.000	04/20/11	01/09/12	\$819.83	\$830.28	\$0.00	(\$10.45)	\$0.00
	16.000			\$1,093.10	\$1,098.03	\$0.00	(\$4.93)	\$0.00
<b>Security Subtotal</b>								
<b>ISHARES S&amp;P 500 VALUE INDEX</b>								
	5.000	01/19/11	01/09/12	\$296.44	\$305.45	\$0.00	(\$9.01)	\$0.00
	14.000	04/20/11	01/09/12	\$830.04	\$886.59	\$0.00	(\$56.55)	\$0.00
	19.000			\$1,126.48	\$1,192.04	\$0.00	(\$65.56)	\$0.00
<b>Security Subtotal</b>								

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

1099 Consolidated Tax Statement  
Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL  
109 MAIN STREET  
BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC  
Horseshoe Financial Center  
Plaza 2 2nd Floor  
Jersey City, NJ 07311  
Identification Number: 26-4310632

Account Number: 487  
Customer Service: 866-324-6088

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
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### IVY LIMITED TERM BOND I

CUSIP: 466001401 Symbol (Box 1d): ILTIX

151.553	09/15/11	01/09/12	\$1,686.78	\$1,692.85	\$0.00	(\$6.07)	\$0.00
0.061	09/27/11	01/09/12	\$0.68	\$0.68	\$0.00	\$0.00	\$0.00
309.052	10/11/11	01/09/12	\$3,439.75	\$3,427.38	\$0.00	\$12.37	\$0.00
0.402	12/30/11	01/09/12	\$4.48	\$4.47	\$0.00	\$0.01	\$0.00

### Security Subtotal

461.068			\$5,131.69	\$5,125.38	\$0.00	\$6.31	\$0.00
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### JP MORGAN HIBRG DYN COMM STR A

CUSIP: 48121A695 Symbol (Box 1d): HDSAX

3.757	10/11/11	01/09/12	\$64.40	\$69.92	\$0.00	(\$5.52)	\$0.00
0.238	12/15/11	01/09/12	\$4.08	\$4.21	\$0.00	(\$0.13)	\$0.00
3.116	12/22/11	01/09/12	\$53.41	\$53.28	\$0.00	\$0.13	\$0.00
0.165	12/22/11	01/09/12	\$2.83	\$2.83	\$0.00	\$0.00	\$0.00

### Security Subtotal

7.276			\$124.72	\$130.24	\$0.00	(\$5.52)	\$0.00
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### JP MORGAN MID CAP VALUE A

CUSIP: 339128308 Symbol (Box 1d): JAMCX

16.502	10/11/11	01/09/12	\$393.96	\$361.92	\$0.00	\$32.04	\$0.00
0.114	12/20/11	01/09/12	\$2.71	\$2.62	\$0.00	\$0.09	\$0.00
16.716			\$396.67	\$364.54	\$0.00	\$32.13	\$0.00

### Security Subtotal

CUSIP: 48122A385 Symbol (Box 1d): JSOAX

268.567	03/03/11	01/09/12	\$3,037.50	\$3,220.12	\$0.00	(\$182.62)	\$0.00
0.710	03/31/11	01/09/12	\$8.03	\$8.49	\$0.00	(\$0.46)	\$0.00
0.622	04/29/11	01/09/12	\$7.03	\$7.46	\$0.00	(\$0.43)	\$0.00
0.655	05/31/11	01/09/12	\$7.41	\$7.83	\$0.00	(\$0.42)	\$0.00
0.569	06/30/11	01/09/12	\$6.44	\$6.77	\$0.00	(\$0.33)	\$0.00
0.695	07/29/11	01/09/12	\$7.86	\$8.21	\$0.00	(\$0.35)	\$0.00

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

CONTINUED ON NEXT PAGE

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL  
109 MAIN STREET  
BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC  
Harborside Financial Center  
Plaza 2 2nd Floor  
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Identification Number: 26-4310632

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities \* (Consider Box 5a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
<b>JP MORGAN STRAT INC OPORT A</b>								
	0.618	08/31/11	01/09/12	\$6.99	\$7.15	\$0.00	(\$0.16)	\$0.00
	0.603	09/30/11	01/09/12	\$6.82	\$6.81	\$0.00	\$0.01	\$0.00
	0.726	10/31/11	01/09/12	\$8.21	\$8.40	\$0.00	(\$0.19)	\$0.00
	0.846	11/30/11	01/09/12	\$9.57	\$9.67	\$0.00	(\$0.10)	\$0.00
	3.781	12/15/11	01/09/12	\$42.76	\$42.73	\$0.00	\$0.03	\$0.00
	0.325	12/15/11	01/09/12	\$3.68	\$3.67	\$0.00	\$0.01	\$0.00
	1.158	12/30/11	01/09/12	\$13.09	\$13.10	\$0.00	(\$0.01)	\$0.00
<b>Security Subtotal</b>	<b>279.875</b>			<b>\$3,165.39</b>	<b>\$3,350.41</b>	<b>\$0.00</b>	<b>(\$185.02)</b>	<b>\$0.00</b>
<b>LEGG MASON WA CORE PLUS BD I</b>								
	204.728	09/15/11	01/09/12	\$2,274.53	\$2,266.35	\$0.00	\$8.18	\$0.00
	0.286	09/30/11	01/09/12	\$3.18	\$3.15	\$0.00	\$0.03	\$0.00
	108.704	10/11/11	01/09/12	\$1,207.70	\$1,192.47	\$0.00	\$15.23	\$0.00
	0.805	10/31/11	01/09/12	\$8.94	\$8.96	\$0.00	(\$0.02)	\$0.00
	0.882	11/30/11	01/09/12	\$9.80	\$9.71	\$0.00	\$0.09	\$0.00
	0.821	12/30/11	01/09/12	\$6.90	\$6.90	\$0.00	\$0.00	\$0.00
<b>Security Subtotal</b>	<b>316.026</b>			<b>\$3,511.05</b>	<b>\$3,487.54</b>	<b>\$0.00</b>	<b>\$23.51</b>	<b>\$0.00</b>
<b>MATTHEWS ASIA GROWTH INV</b>								
	0.299	12/08/11	01/09/12	\$4.59	\$4.62	\$0.00	(\$0.03)	\$0.00
<b>Security Subtotal</b>	<b>0.299</b>			<b>\$4.59</b>	<b>\$4.62</b>	<b>\$0.00</b>	<b>(\$0.03)</b>	<b>\$0.00</b>
<b>METROPOLITAN WST TOT RET BD M</b>								
	215.625	09/15/11	01/09/12	\$2,240.34	\$2,264.06	\$0.00	(\$23.72)	\$0.00
	0.320	09/30/11	01/09/12	\$3.32	\$3.35	\$0.00	(\$0.03)	\$0.00
	115.606	10/11/11	01/09/12	\$1,201.15	\$1,198.83	\$0.00	\$2.32	\$0.00
	1.191	10/31/11	01/09/12	\$12.37	\$12.47	\$0.00	(\$0.10)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

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Identification Number: 26-4310632

Account Number: 1487  
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
METROPOLITAN WST TOT RET BD	1,338	1/30/11	01/09/12	\$13.90	\$13.89	\$0.00	\$0.01	\$0.00
	1,321	12/12/11	01/09/12	\$13.73	\$13.66	\$0.00	\$0.07	\$0.00
	1,744	12/12/11	01/09/12	\$18.12	\$18.03	\$0.00	\$0.09	\$0.00
	1,271	12/30/11	01/09/12	\$13.21	\$13.18	\$0.00	\$0.03	\$0.00
Security Subtotal	338,416			\$3,516.14	\$3,537.47	\$0.00	(\$21.33)	\$0.00
OPPENHEIMER DEVELOPING MKTS A								
	2,299	12/09/11	01/09/12	\$68.35	\$68.80	\$0.00	(\$0.45)	\$0.00
Security Subtotal								
TEMPLETON GLOBAL BD FD ADV								
	192,063	09/15/11	01/09/12	\$2,387.34	\$2,550.27	\$0.00	(\$162.93)	\$0.00
	0,740	10/17/11	01/09/12	\$9.20	\$9.58	\$0.00	(\$0.38)	\$0.00
	0,751	11/15/11	01/09/12	\$9.33	\$9.62	\$0.00	(\$0.29)	\$0.00
	1,247	12/15/11	01/09/12	\$15.50	\$15.29	\$0.00	\$0.21	\$0.00
	3,945	12/15/11	01/09/12	\$49.04	\$48.32	\$0.00	\$0.67	\$0.00
Security Subtotal	198,746			\$2,470.41	\$2,633.13	\$0.00	(\$162.72)	\$0.00
THORNBURG INTL VALUE I								
	0,051	03/25/11	01/09/12	\$1.27	\$1.49	\$0.00	(\$0.22)	\$0.00
	0,195	06/24/11	01/09/12	\$4.85	\$5.65	\$0.00	(\$0.80)	\$0.00
	0,135	09/26/11	01/09/12	\$3.35	\$3.19	\$0.00	\$0.16	\$0.00
	0,026	12/23/11	01/09/12	\$0.64	\$0.64	\$0.00	\$0.00	\$0.00
Security Subtotal	0,407			\$10.11	\$10.97	\$0.00	(\$0.86)	\$0.00

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# Morgan Stanley

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109 MAIN STREET  
BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC  
Hartorside Financial Center  
Plaza 2 2nd Floor  
Jersey City, NJ 07311  
Identification Number: 26-4310632

Account Number: 487

Customer Service: 866-324-6088

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Noncovered Securities \*** (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
<b>VAN ECK INTL INV GOLD FD A</b>								
		CUSIP: 921075503		Symbol (Box 1d): INIVX				
	8.382	10/11/11	01/09/12	\$167.30	\$183.23	\$0.00	(\$15.93)	\$0.00
	0.934	12/21/11	01/09/12	\$18.56	\$18.19	\$0.00	\$0.47	\$0.00
	9.316			\$185.96	\$201.42	\$0.00	(\$15.46)	\$0.00
<b>Security Subtotal</b>								
VANGUARD MSCI EMERGING MARKETS		CUSIP: 922042858		Symbol (Box 1d): VWO				
	4.000	10/11/11	01/09/12	\$155.60	\$152.91	\$0.00	\$2.69	\$0.00
<b>WELLS FARGO GROWTH A</b>								
		CUSIP: 948915672		Symbol (Box 1d): SGRAX				
	73.409	03/03/11	01/09/12	\$2,546.56	\$2,578.86	\$0.00	(\$32.30)	\$0.00
	23.370	04/20/11	01/09/12	\$810.70	\$860.72	\$0.00	(\$50.02)	\$0.00
	1.475	12/09/11	01/09/12	\$51.17	\$51.85	\$0.00	(\$0.68)	\$0.00
	98.254			\$3,408.43	\$3,491.43	\$0.00	(\$83.00)	\$0.00
<b>Security Subtotal</b>								
WISDOMTREE MGD FUTURES STRAT		CUSIP: 97717W125		Symbol (Box 1d): WDTI				
	93.000	12/15/11	01/09/12	\$4,205.37	\$4,342.90	\$0.00	(\$137.53)	\$0.00
<b>Total Short Term Noncovered Securities</b>								
				\$44,784.75	\$45,868.65	\$0.00	(\$1,083.90)	\$0.00

**Long Term - Noncovered Securities \*** (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
<b>ABERDEEN INTL EQ A</b>								
		CUSIP: 003019445		Symbol (Box 1d): GIGAX				
	22.890	10/14/09	01/09/12	\$290.93	\$273.99	\$0.00	\$16.94	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

1099 Consolidated Tax Statement  
Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL  
109 MAIN STREET  
BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC  
Horseshoe Financial Center  
Plaza 2 2nd Floor  
Jersey City, NJ 07311  
Identification Number: 26-4310632

Account Number: 487

Customer Service: 866-324-6088

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
<b>ABERDEEN INTL EQ A</b>								
	0.326	12/18/09	01/09/12	\$4.14	\$3.89	\$0.00	\$0.25	\$0.00
	0.141	03/19/10	01/09/12	\$1.79	\$1.71	\$0.00	\$0.08	\$0.00
	1.217	06/18/10	01/09/12	\$15.47	\$13.89	\$0.00	\$1.58	\$0.00
	1.828	08/03/10	01/09/12	\$23.23	\$22.25	\$0.00	\$0.98	\$0.00
	0.266	09/17/10	01/09/12	\$3.38	\$3.23	\$0.00	\$0.15	\$0.00
	0.620	11/30/10	01/09/12	\$7.88	\$7.76	\$0.00	\$0.12	\$0.00
	0.270	12/17/10	01/09/12	\$3.43	\$3.59	\$0.00	(\$0.16)	\$0.00
<b>Security Subtotal</b>	<b>27.558</b>			<b>\$350.25</b>	<b>\$330.31</b>	<b>\$0.00</b>	<b>\$19.94</b>	<b>\$0.00</b>
<b>BLACKROCK SMALL CAP GRW II A</b>								
	24.789	10/14/09	01/09/12	\$337.88	\$271.19	\$0.00	\$66.69	\$0.00
<b>Security Subtotal</b>	<b>24.789</b>			<b>\$337.88</b>	<b>\$271.19</b>	<b>\$0.00</b>	<b>\$66.69</b>	<b>\$0.00</b>
<b>CAMBIAR SMALL CAP INV</b>								
	22.591	01/13/10	01/09/12	\$387.93	\$307.24	\$0.00	\$80.69	\$0.00
<b>Security Subtotal</b>	<b>22.591</b>			<b>\$387.93</b>	<b>\$307.24</b>	<b>\$0.00</b>	<b>\$80.69</b>	<b>\$0.00</b>
<b>FIDELITY ADV CANADA A</b>								
	7.341	10/14/09	01/09/12	\$369.62	\$363.67	\$0.00	\$5.95	\$0.00
	0.254	12/04/09	01/09/12	\$12.79	\$12.08	\$0.00	\$0.71	\$0.00
	16.918	01/13/10	01/09/12	\$851.82	\$841.01	\$0.00	\$10.81	\$0.00
	0.276	12/03/10	01/09/12	\$13.90	\$15.61	\$0.00	(\$1.71)	\$0.00
	0.360	12/03/10	01/09/12	\$18.13	\$20.37	\$0.00	(\$2.24)	\$0.00
	0.008	12/30/10	01/09/12	\$0.40	\$0.47	\$0.00	(\$0.07)	\$0.00
<b>Security Subtotal</b>	<b>25.157</b>			<b>\$1,266.66</b>	<b>\$1,253.21</b>	<b>\$0.00</b>	<b>\$13.45</b>	<b>\$0.00</b>
<b>GATEWAY FUND A</b>								
	10.222	07/23/08	01/09/12	\$271.60	\$286.93	\$0.00	(\$15.33)	\$0.00
	0.365	09/26/08	01/09/12	\$9.70	\$10.16	\$0.00	(\$0.46)	\$0.00
<b>Security Subtotal</b>	<b>10.587</b>			<b>\$281.30</b>	<b>\$297.09</b>	<b>\$0.00</b>	<b>(\$15.79)</b>	<b>\$0.00</b>

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

1099 Consolidated Tax Statement  
Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL  
109 MAIN STREET  
BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC  
Harborside Financial Center  
Plaza 2 2nd Floor  
Jersey City, NJ 07311  
Identification Number: 26-4310632

Account Number: 487

Customer Service: 866-324-6088

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities \* (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
GATEWAY FUND A	0.176	12/31/08	01/09/12	\$4.68	\$4.26	\$0.00	\$0.42	\$0.00
	0.195	03/27/09	01/09/12	\$5.18	\$4.41	\$0.00	\$0.77	\$0.00
	31.977	08/04/09	01/09/12	\$849.63	\$758.81	\$0.00	\$90.82	\$0.00
	0.304	08/26/09	01/09/12	\$6.08	\$7.19	\$0.00	\$0.89	\$0.00
	0.256	09/25/09	01/09/12	\$6.80	\$6.28	\$0.00	\$0.52	\$0.00
	2.240	10/14/09	01/09/12	\$59.52	\$55.41	\$0.00	\$4.11	\$0.00
	0.217	12/22/09	01/09/12	\$5.77	\$5.48	\$0.00	\$0.29	\$0.00
	0.182	03/25/10	01/09/12	\$4.84	\$4.63	\$0.00	\$0.21	\$0.00
	0.212	08/24/10	01/09/12	\$5.63	\$5.20	\$0.00	\$0.43	\$0.00
	1.026	08/03/10	01/09/12	\$27.26	\$25.67	\$0.00	\$1.59	\$0.00
	0.215	08/23/10	01/09/12	\$5.71	\$5.44	\$0.00	\$0.27	\$0.00
	0.945	11/30/10	01/09/12	\$25.11	\$24.28	\$0.00	\$0.83	\$0.00
	0.263	12/21/10	01/09/12	\$6.99	\$6.85	\$0.00	\$0.14	\$0.00
Security Subtotal	48.795			\$1,296.50	\$1,211.00	\$0.00	\$85.50	\$0.00
GOLDMAN SACHS ABSLT RET TRCK I	63.126	10/14/09	01/09/12	\$564.34	\$579.66	\$0.00	(\$15.32)	\$0.00
	0.075	12/08/09	01/09/12	\$0.87	\$0.69	\$0.00	(\$0.02)	\$0.00
	0.050	12/30/09	01/09/12	\$0.45	\$0.46	\$0.00	(\$0.01)	\$0.00
	66.467	01/13/10	01/09/12	\$594.21	\$613.70	\$0.00	(\$19.49)	\$0.00
	4.476	08/03/10	01/09/12	\$40.02	\$40.61	\$0.00	(\$0.59)	\$0.00
	5.310	11/30/10	01/09/12	\$47.47	\$48.87	\$0.00	(\$1.40)	\$0.00
	0.755	12/08/10	01/09/12	\$6.75	\$7.00	\$0.00	(\$0.25)	\$0.00
	0.243	12/08/10	01/09/12	\$2.17	\$2.25	\$0.00	(\$0.09)	\$0.00
Security Subtotal	140.502			\$1,256.08	\$1,293.25	\$0.00	(\$37.17)	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

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THE HONORABLE NICK JOE RAHALL  
109 MAIN STREET  
BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC  
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Plaza 2 2nd Floor  
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
<b>GOLDMAN SACHS GRW OPORT I</b>								
	12.974	01/13/10	01/09/12	\$292.17	\$274.54	\$0.00	\$17.63	\$0.00
	0.172	12/08/10	01/09/12	\$3.87	\$4.15	\$0.00	(\$0.28)	\$0.00
<b>Security Subtotal</b>	<b>13.146</b>			<b>\$296.04</b>	<b>\$278.69</b>	<b>\$0.00</b>	<b>\$17.35</b>	<b>\$0.00</b>
<b>HATTERAS ALPHA HEDGED STRAT</b>								
	121.433	09/14/10	01/09/12	\$1,279.90	\$1,208.26	\$0.00	\$71.64	\$0.00
	1.495	11/30/10	01/09/12	\$15.76	\$15.22	\$0.00	\$0.54	\$0.00
	0.338	12/30/10	01/09/12	\$3.56	\$3.53	\$0.00	\$0.03	\$0.00
<b>Security Subtotal</b>	<b>123.266</b>			<b>\$1,299.22</b>	<b>\$1,227.01</b>	<b>\$0.00</b>	<b>\$72.21</b>	<b>\$0.00</b>
<b>ING GLOBAL REAL ESTATE FD I</b>								
	47.922	01/13/10	01/09/12	\$719.79	\$707.31	\$0.00	\$12.48	\$0.00
	0.938	04/01/10	01/09/12	\$14.06	\$13.97	\$0.00	\$0.09	\$0.00
<b>Security Subtotal</b>	<b>48.860</b>			<b>\$733.85</b>	<b>\$721.28</b>	<b>\$0.00</b>	<b>\$12.57</b>	<b>\$0.00</b>
<b>IQ ALPHA HEDGE STRAT INST</b>								
	187.879	08/09/10	01/09/12	\$1,891.94	\$1,959.58	\$0.00	(\$67.64)	\$0.00
	9.127	11/30/10	01/09/12	\$91.91	\$96.02	\$0.00	(\$4.11)	\$0.00
	0.041	12/29/10	01/09/12	\$0.41	\$0.42	\$0.00	(\$0.01)	\$0.00
	0.189	12/29/10	01/09/12	\$1.90	\$1.96	\$0.00	(\$0.06)	\$0.00
	4.255	12/29/10	01/09/12	\$42.85	\$44.00	\$0.00	(\$1.15)	\$0.00
<b>Security Subtotal</b>	<b>201.491</b>			<b>\$2,029.01</b>	<b>\$2,101.98</b>	<b>\$0.00</b>	<b>(\$72.97)</b>	<b>\$0.00</b>
<b>ISHARES RUSSELL MIDCAP INDX FD</b>								
	1.000	10/14/09	01/09/12	\$100.26	\$80.20	\$0.00	\$20.06	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

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Tax Year 2012 Copy B For Recipient

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ISHARES RUSSELL 2000 INDEX FD	2.000	01/13/10	01/09/12	\$150.18	\$128.70	\$0.00	\$21.48	\$0.00
CUSIP: 464287655 Symbol (Box 1d): IWM								
ISHARES S&P 500 GRWTH INDEX	13.000	06/04/09	01/09/12	\$888.14	\$635.57	\$0.00	\$252.57	\$0.00
	21.000	08/03/10	01/09/12	\$1,434.69	\$1,208.11	\$0.00	\$226.58	\$0.00
CUSIP: 464287309 Symbol (Box 1d): IVW								
Security Subtotal	34.000			\$2,322.83	\$1,843.68	\$0.00	\$479.15	\$0.00
CUSIP: 464287408 Symbol (Box 1d): IVE								
ISHARES S&P 500 VALUE INDEX	20.000	06/04/09	01/09/12	\$1,185.78	\$894.60	\$0.00	\$291.18	\$0.00
	1.000	11/30/10	01/09/12	\$59.29	\$55.42	\$0.00	\$3.87	\$0.00
CUSIP: 46121A696 Symbol (Box 1d): HDSAX								
Security Subtotal	21.000			\$1,245.07	\$950.02	\$0.00	\$295.05	\$0.00
CUSIP: 46121A696 Symbol (Box 1d): HDSAX								
JP MORGAN HIBRG DYN COMM STR A	62.658	08/03/10	01/09/12	\$1,073.96	\$872.83	\$0.00	\$201.13	\$0.00
	0.551	12/14/10	01/09/12	\$9.44	\$10.23	\$0.00	(\$0.79)	\$0.00
	1.135	12/14/10	01/09/12	\$19.45	\$21.07	\$0.00	(\$1.62)	\$0.00
CUSIP: 46121A696 Symbol (Box 1d): HSKAX								
Security Subtotal	64.344			\$1,102.85	\$904.13	\$0.00	\$198.72	\$0.00
CUSIP: 46121A696 Symbol (Box 1d): HSKAX								
JP MORGAN HIBRG STAT MKT NEU A	77.317	06/04/09	01/09/12	\$1,143.52	\$1,238.62	\$0.00	(\$95.10)	\$0.00
	2.381	08/03/10	01/09/12	\$35.21	\$36.43	\$0.00	(\$1.22)	\$0.00
	6.544	11/30/10	01/09/12	\$96.79	\$98.23	\$0.00	(\$1.44)	\$0.00
CUSIP: 46121A696 Symbol (Box 1d): HSKAX								
Security Subtotal	86.242			\$1,275.52	\$1,373.28	\$0.00	(\$97.76)	\$0.00

# Morgan Stanley

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OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 9949 Part II with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MATTHEWS ASIA GROWTH INV								
		CUSIP: 577130867		Symbol (Box 1d): MPACX				
	10.478	01/13/10	01/09/12	\$160.62	\$154.76	\$0.00	\$5.86	\$0.00
	1.053	12/09/10	01/09/12	\$18.14	\$18.61	\$0.00	(\$2.47)	\$0.00
	11.531			\$176.76	\$173.37	\$0.00	\$3.39	\$0.00
Security Subtotal								
	107.127	08/03/10	01/09/12	\$3,184.89	\$3,315.58	\$0.00	(\$130.69)	\$0.00
	0.171	12/21/10	01/09/12	\$5.08	\$6.05	\$0.00	(\$0.97)	\$0.00
	107.298			\$3,189.97	\$3,321.63	\$0.00	(\$131.66)	\$0.00
Security Subtotal								
	107.127	08/03/10	01/09/12	\$3,184.89	\$3,315.58	\$0.00	(\$130.69)	\$0.00
	0.171	12/21/10	01/09/12	\$5.08	\$6.05	\$0.00	(\$0.97)	\$0.00
	107.298			\$3,189.97	\$3,321.63	\$0.00	(\$131.66)	\$0.00
Security Subtotal								
	107.127	08/03/10	01/09/12	\$3,184.89	\$3,315.58	\$0.00	(\$130.69)	\$0.00
	0.171	12/21/10	01/09/12	\$5.08	\$6.05	\$0.00	(\$0.97)	\$0.00
	107.298			\$3,189.97	\$3,321.63	\$0.00	(\$131.66)	\$0.00
Security Subtotal								
	107.127	08/03/10	01/09/12	\$3,184.89	\$3,315.58	\$0.00	(\$130.69)	\$0.00
	0.171	12/21/10	01/09/12	\$5.08	\$6.05	\$0.00	(\$0.97)	\$0.00
	107.298			\$3,189.97	\$3,321.63	\$0.00	(\$131.66)	\$0.00
Security Subtotal								
	107.127	08/03/10	01/09/12	\$3,184.89	\$3,315.58	\$0.00	(\$130.69)	\$0.00
	0.171	12/21/10	01/09/12	\$5.08	\$6.05	\$0.00	(\$0.97)	\$0.00
	107.298			\$3,189.97	\$3,321.63	\$0.00	(\$131.66)	\$0.00
Security Subtotal								
	107.127	08/03/10	01/09/12	\$3,184.89	\$3,315.58	\$0.00	(\$130.69)	\$0.00
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	107.298			\$3,189.97	\$3,321.63	\$0.00	(\$131.66)	\$0.00
Security Subtotal								

# Morgan Stanley

1099 Consolidated Tax Statement  
Tax Year 2012 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
Horseshoe Financial Center  
Plaza 2 2nd Floor  
Jersey City, NJ 07311  
Identification Number: 26-4310632

THE HONORABLE NICK JOE RAHALL  
109 MAIN STREET  
BECKLEY WV 25801-4610

Account Number: 487

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VAN ECK INTL INV GOLD FD A								
	29.919	10/21/10	01/09/12	\$597.18	\$734.81	\$0.00	(\$137.63)	\$0.00
	11.462	11/30/10	01/09/12	\$228.78	\$315.08	\$0.00	(\$86.30)	\$0.00
	0.403	12/21/10	01/09/12	\$8.04	\$9.72	\$0.00	(\$1.68)	\$0.00
	3.415	12/21/10	01/09/12	\$68.16	\$82.33	\$0.00	(\$14.17)	\$0.00
	4.735	12/21/10	01/09/12	\$94.51	\$114.17	\$0.00	(\$19.66)	\$0.00
Security Subtotal	49.534			\$996.67	\$1,256.11	\$0.00	(\$259.44)	\$0.00
VANGUARD EUROPEAN MSCI ETF								
	10.000	06/04/09	01/09/12	\$411.09	\$412.57	\$0.00	(\$1.48)	\$0.00
	1.000	01/13/10	01/09/12	\$41.11	\$50.64	\$0.00	(\$9.53)	\$0.00
	3.000	08/03/10	01/09/12	\$123.33	\$142.35	\$0.00	(\$19.02)	\$0.00
	3.000	11/30/10	01/09/12	\$123.32	\$141.92	\$0.00	(\$18.60)	\$0.00
Security Subtotal	17.000			\$698.85	\$747.48	\$0.00	(\$48.63)	\$0.00
VANGUARD MSCI EMERGING MARKETS								
	38.000	06/04/09	01/09/12	\$1,478.17	\$1,241.84	\$0.00	\$236.33	\$0.00
	24.000	10/14/09	01/09/12	\$933.58	\$981.55	\$0.00	(\$47.97)	\$0.00
	19.000	08/03/10	01/09/12	\$739.08	\$809.38	\$0.00	(\$70.30)	\$0.00
Security Subtotal	81.000			\$3,150.83	\$3,032.77	\$0.00	\$118.06	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

**1099 Consolidated Tax Statement**  
**Tax Year 2012 Copy B For Recipient**

THE HONORABLE NICK JOE RAHALL  
 109 MAIN STREET  
 BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC  
 Harborside Financial Center  
 Plaza 2 2nd Floor  
 Jersey City, NJ 07311  
 Identification Number: 26-4310632

Account Number: 487  
 Customer Service: 866-324-6088

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities \*** (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)  
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VANGUARD REIT ETF	14.000	09/14/10	01/09/12	\$805.12	\$735.11	\$0.00	\$70.01	\$0.00
Total Long Term Noncovered Securities								
				\$27,345.26	\$26,172.48	\$0.00	\$1,172.78	\$0.00
Total Short and Long Term, Covered and Noncovered Securities								
				\$72,130.01	\$72,041.13	\$0.00	\$88.88	\$0.00
<b>Form 1099-B Total Reportable Amounts</b>								
Total Sales Price (Box 2)					\$72,130.01			
Total Cost or Other Basis (Box 3)					\$0.00			
Total Wash Sale Loss Disallowed (Box 5)					\$0.00			
Total Fed Tax Withheld (Box 4)					\$0.00			

\* Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.



## Morgan Stanely IRA

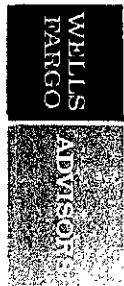
### 2012 Transactions

Date	Description	Amount
<b><u>Purchases</u></b>		
09/21	66.511 Diamond Hill Long-Short I	\$1,230.45
09/21	77.048 Merger Fund	<u>1,230.45</u>
		<u>\$2,460.90</u>

## Wells Fargo SEP IRA

### 2012 Transactions

Date	Description	Amount
<b><u>Purchases</u></b>		
03/05	151 CVR Partners LP	\$3,919.68
03/05	49 CVR Partners LP	1,268.90
03/21	34 Enterprise Products Partners	1,762.80
03/22	76 Enterprise Products Partners	3,925.12
03/22	7,000 Goldman Sachs Group Inc CPN 6.750% Due 10/01/3	6,944.10
06/26	125 United States Gasoline Fund LP	5,912.50
10/24	5,000 Anglogold Holdings PLC Senior Notes Due 04/15/40	<u>4,970.00</u>
		<u>\$28,703.10</u>
<b><u>Sales</u></b>		
03/26	200 CVR Partners LP	\$5,533.92
05/01	300 Triangle Capital Corp	5,992.86
05/01	5,000 MGM Mirage Inc	5,150.60
05/01	5,000 Supervalu Inc	5,180.80
05/02	110 Enterprise Products Partners	5,665.37
06/27	400 Getty Realty Corp New	7,167.84
07/13	125 United States Gasoline Fund LP	6,387.35
07/17	250 Marine Pete Trust	6,044.86
07/18	667.28 Franklin Balanced Fd Class C	6,852.97
09/27	7,000 Tesoro Corp Contra Senior Notes Due 11/01/15	<u>7,122.50</u>
		<u>\$61,099.07</u>



# SNAPSHOT

MELINDA ROSS (IRA)  
FCC AS CUSTODIAN

OCTOBER 1 - DECEMBER 31, 2012

## Progress summary

	THIS PERIOD	THIS YEAR
Opening value	\$15,299.00	\$13,531.67
Income earned	95.58	183.91
Change in value	318.50	1,997.50
Closing value	\$15,713.08	\$15,713.08

As a Wells Fargo Advisors Client, you can easily simplify your finances by combining all your investing and banking into a single, easy-to-manage relationship. By upgrading to the Command Asset Program, you'll have access to many more features and benefits to help you manage your finances. You'll see all your investing and banking activity on one comprehensive monthly statement. It's as simple as talking with Your Financial Advisor. Ask them today about the Command Asset Program.

## Portfolio summary

ASSETS	ASSET TYPE	PREVIOUS VALUE ON SEP 30	%	CURRENT VALUE ON DEC 31	%	ESTIMATED ANN. INCOME
Cash and sweep balances		108.52	0.71	162.78	1.04	0
Stocks, options & ETFs		7,365.42	48.14	7,579.83	48.24	217
Fixed Income securities		0.00	0.00	0.00	0.00	0
Mutual funds		7,825.06	51.15	7,970.47	50.73	57
Asset value		\$15,299.00	100%	\$15,713.08	100%	\$274



MELINDA ROSS (IRA)  
FCC AS CUSTODIAN

OCTOBER 1 - DECEMBER 31, 2012

Page 5 of 7

## Portfolio detail

### Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are insured eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	0.00	162.78	0.00
Interest Period 12/01/12 - 12/31/12			

### Total Cash and Sweep Balances

\$162.78

\$0.00

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

### Stocks, options & ETFs

#### Stocks and ETFs

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
BEAM INC								
Acquired 11/09/11	75	49.19	3,714.25	61.0900	4,581.75	867.50	61.50	1.34
PLC SPONS ADR								
BP								
Acquired 06/31/12	72	36.71	2,678.12	41.6400	2,998.08	319.96	155.52	5.18
Total Stocks and ETFs			\$6,392.37		\$7,579.83	\$1,187.46	\$217.02	2.86
Total Stocks, options & ETFs			\$6,392.37		\$7,579.83	\$1,187.46	\$217.02	2.86

MELINDA ROSS (IRA)  
FCC AS CUSTODIAN

OCTOBER 1 - DECEMBER 31, 2012

## Mutual Funds

### Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME	ANNUAL YIELD (%)
FRANKLIN TEMPLETON FD ALLOCATOR SER COREFOLIO ALLOCN FD CL C FTCLX Acquired 12/17/10 nc Reinvestments m	273.56900 1.87000	12.24 12.45	3,348.48 23.30		3,679.50 25.15	331.02 1.85		
<b>Total</b>	<b>275.43900</b>		<b>\$3,371.78</b>	<b>13.4500</b>	<b>\$3,704.65</b>	<b>\$332.87</b>	<b>\$7.43</b>	<b>0.20</b>
JOHN HANCOCK II LIFESTYLE GROWTH FUND CL-C JCLGX Acquired 03/27/07 nc Reinvestments m	266.13400 48.45500	15.03 11.17	4,007.50 541.46		3,808.77 657.05	-398.73 115.59		
<b>Total</b>	<b>314.58900</b>		<b>\$4,548.96</b>	<b>13.5600</b>	<b>\$4,265.82</b>	<b>-\$283.14</b>	<b>\$50.01</b>	<b>1.17</b>
<b>Total Open End Mutual Funds</b>			<b>\$7,920.74</b>		<b>\$7,970.47</b>	<b>\$49.73</b>	<b>\$57.44</b>	<b>0.72</b>
<b>Total Mutual Funds</b>			<b>\$7,920.74</b>		<b>\$7,970.47</b>	<b>\$49.73</b>	<b>\$57.44</b>	<b>0.72</b>

<sup>m</sup> This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS.  
<sup>n</sup> Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.



# Morgan Stanley

## CLIENT STATEMENT

## 2012 Annual Review

Active Assets Account  
916.  
NICK JOE RAHALL  
109 MAIN STREET

We are pleased to enclose your 2012 Annual Review, which is provided for informational purposes only.

Additionally, please note that this Annual Review contains a recap of 2012 Income and Distributions. Those that are reportable for 2012, but not payable until 2013, are not included in this Annual Review. Clients with accounts subject to IRS reporting should note that the year-to-date amounts in this Review may differ from the amounts that will be reported on IRS Form(s) 1099, which will be mailed to you no later than February 15, 2013. **We recommend that you wait for your IRS Form(s) 1099 before completing your tax returns.**

Please remember that this 2012 Annual Review is not a substitute for the official account statements that you have received from us throughout the year, and certain information is subject to adjustment and correction.

To the extent there are any discrepancies between your monthly account statement(s) and the information in this Annual Review, you should rely on the account statement(s) you have previously received. For more information please contact your Financial Advisor.

## INVESTMENT RELATED ACTIVITY

### SALES/REDEMPTIONS

Transaction Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Inflows/(Outflows)
2/14	2/14	Sold	MSSB SPCTRM STRATEGC		1,796.192	\$16.3900	\$29,439.59
2/14	2/14	Sold	MSSB SPCTRM TECH		1,462.357	19.2200	28,106.50
2/14	2/14	Sold	MSSB SPCTRM SELECT		381.985	31.1400	11,895.01
6/1	6/1	Redemption	WV ST GO BE 5000 12JN01	REDEMPTION OF MATURED BOND	15,000.000		15,000.00
TOTAL SALES/REDEMPTIONS							\$84,441.10

## CRUT Account

### 2012 Sales

Date	Description	Amount
03/23	115 Pepsico Incorporated	7,459.28
04/05	200 World Fuel Service Corp	8,388.21
04/12	110 Cytec Ind Inc	6,941.14
04/13	150 Reynolds American Inc	6,188.61
04/19	235 Carnival Corp	7,503.02
04/19	200 Ebay Inc	7,760.42
04/25	14,000 Bank of Amer Multiclass CPN 5.500% Due 02/25/34	1,249.59
04/30	235 Amcol Intl Corp	7,751.07
04/30	100 Costco Whsl Corp New Com	8,854.91
04/30	100 Sigma Aldrich Corp	7,040.44
05/03	125 Beam Inc	7,386.52
05/03	150 Disney Walt Company	6,478.10
05/09	235 Consol Energy Inc Com	8,164.49
05/24	100 Advance Auto Parts	7,145.28
05/25	200 Dow Chemical Company	6,230.86
06/13	100 United Parcel Service - B	7,597.83
06/15	250 Phillips 66	8,350.94
06/15	200 World Fuel Service Corp	7,629.73
06/20	150 Agrium Inc	12,916.71
06/26	200 NYSE Euronext	4,892.86
06/26	200 NYSE Euronext	4,892.84
06/26	20,000 Union Carbide Global Notes CPN 7.875% Due 04/01/17	24,121.60
07/02	350 Conagra Foods Inc	8,947.30
07/03	200 Advance Auto Parts	13,536.49
07/09	235 Consol Energy Inc Com	7,157.58
07/09	75 Wright Express Corp	4,578.65
07/13	375 Amcol Intl Corp	11,239.75
07/16	110 Royal Dutch Shell PLC Adr CI A	7,550.84
07/18	100 M & T Bank Corp	8,612.69
07/19	200 Canadian Natural Resources LTD	5,607.77
07/19	100 Wright Express Corp	6,464.85
07/23	10,000 Consol Energy Inc CPN 8.000% Due 04/01/17	10,565.10
08/01	200 Kronos Worldwide Inc	3,504.66
08/02	75 Ryder System Inc	2,857.43
08/03	50 Murphy Oil Corp	2,699.94
08/03	375 Waste Mgmt Inc Del	12,962.84
08/08	125 BP PLC Spons Adr	5,223.97
08/09	150 Omnicom Group	7,688.73
08/17	105 Amerco	10,016.52
09/04	100 Amerco	9,619.30

## CRUT Account

### 2012 Sales

Date	Description	Amount
09/06	100 Deere & Co	7,628.55
09/06	150 Energizer Holdings Inc	10,541.77
09/07	150 CME Group Inc	8,650.80
09/10	100 Factset Research Systems Inc	9,487.24
09/10	200 Procter & Gamble Co	13,579.69
09/13	150 ConocoPhillips	8,549.52
09/14	105 3M Co	9,783.95
09/14	300 Universal Health Svcs Inc CI B	12,626.06
10/05	110 Allegiant Travel Co	7,407.33
10/16	100 Lorillard Inc	11,627.14
10/16	200 Murphy Oil Corp	12,738.23
10/16	175 Ryder System Inc	7,591.08
10/17	300 Archer-Daniels-Midland Co	8,560.81
11/02	250 Brunswick Corp	6,085.81
11/02	110 3M Co	9,763.84
11/06	300 Otter Tail Corp	7,339.83
11/07	200 Universal Health Svcs Inc CI B	9,063.79
11/21	200 Universal Health Svcs Inc CI B	8,614.60
11/23	100 Nike Class B	9,602.96
11/23	200 Reynolds American Inc	8,455.81
11/30	175 Omnicom Group	8,612.14
12/04	100 Gannett Co Inc Del	1,714.31
12/04	125 Regis Corp Minn	2,004.16
12/04	90 United Parcel Service - B	6,503.55
12/04	110 United Parcel Service - B	7,995.15
12/05	150 Duke Energy Corp Com New	9,583.00
12/06	125 Regis Corp Minn	2,061.33
12/06	25,000 GNMA 04-69 GB Remic CPN 5.500% Due 04/20/34	5,666.37
12/11	200 Coca-Cola Company	7,458.34
12/12	75 BP PLC Spons ADR	3,063.68
12/12	65 Sensient Technologies	2,316.35
12/14	500 Hewlett-Packard Company	7,177.34
12/19	50 Brunswick Corp	1,320.47
12/26	235 Sensient Technologies	8,418.56
		<u>\$575,372.42</u>



## CRUT Account

### 2012 Purchases

Date	Description	Amount
02/10	115 Pepsico Incorporated	7,426.65
02/10	150 Reynolds American Inc	6,058.00
02/21	235 Sensient Technologies	8,971.25
03/06	175 Universal Health Svcs Inc Cl B	7,606.25
03/07	135 World Fuel Service Corp	5,653.78
03/09	65 World Fuel Service Corp	2,806.90
03/20	235 Canadian Natural Resources LTD	8,407.83
03/20	150 Omnicom Group	7,586.50
03/22	175 BP PLC Spons Adr	8,045.10
03/26	100 Wright Express Corp	6,625.50
04/03	50 Murphy Oil Corp	2,824.00
04/03	125 Procter & Gamble Co	8,524.44
04/04	235 Carnival Corp	7,352.43
04/04	200 Ebay Inc	7,227.00
04/09	150 Disney Walt Company	6,411.25
04/10	110 Cytec Ind Inc	6,426.10
04/10	125 Beam Inc	7,036.25
04/10	50 Murphy Oil Corp	2,637.50
04/13	100 M & T Bank Corp	8,511.00
04/13	100 Sigma Aldrich Corp	7,230.00
04/17	235 Amcol Intl Corp	7,208.75
04/19	100 Agrium Inc	8,620.00
04/19	100 Costco Whsl Corp New Com	8,800.00
04/20	75 BP PLC Spons Adr	3,227.25
04/20	65 Canadian Natural Resources LTD	2,162.75
04/27	235 Consol Energy Inc Com	7,764.85
04/27	235 Waste Mgmt Inc Del	8,126.75
04/30	300 Kronos Worldwide Inc	7,245.00
04/30	75 Procter & Gamble Co	4,840.50
05/01	200 NYSE Euronext	5,202.00
05/02	250 Phillips 66	8,110.00
05/04	75 Wright Express Corp	4,382.25
05/07	235 Amcol Intl Corp	7,213.75
05/08	110 Royal Dutch Shell PLC Adr Cl A	7,492.00
05/08	200 World Fuel Service Corp	7,545.00
05/16	200 Dow Chemical Company	6,200.00
05/17	50 Agrium Inc	3,942.50
05/18	100 Advance Auto Parts	6,813.90
05/18	200 Kronos Worldwide Inc	3,414.79
05/18	100 United Parcel Service - B	7,535.00

## CRUT Account

### 2012 Purchases

Date	Description	Amount
05/22	235 Consol Energy Inc Com	7,002.25
05/31	50 BP PLC Spons Adr	1,882.50
06/05	10,000 Consol Energy Inc CPN 8.000% Due 04/01/17	9,992.50
06/11	175 Ryder System Inc	7,498.75
06/13	100 Canadian Natural Resources LTD	2,715.58
06/20	200 Archer-Daniels-Midlnd Co	5,991.98
06/20	235 Conagra Foods Inc	5,916.83
06/21	105 Advance Auto Parts	7,313.50
06/22	75 Ryder System Inc	2,711.24
06/25	140 Amco Intl Corp	3,848.97
06/26	95 Advance Auto Parts	6,120.15
06/26	50 Brunswick Corp	1,056.00
06/26	100 Canadian Natural Resources LTD	2,651.00
06/26	100 Gannett Co Inc Del	1,409.23
06/26	125 Hewlett-Packard Company	2,480.56
06/26	125 Otter Tail Corporation	2,841.25
06/26	125 Universal Health Svcs Inc Cl B	4,922.50
06/28	100 Conagra Foods Inc	2,575.83
06/28	140 Waste Mgmt Inc Del	4,594.91
07/31	65 Sensient Technologies	2,370.10
08/01	110 Energizer Holdings Inc	7,827.02
08/02	40 Energizer Holdings Inc	2,711.80
08/03	100 Archer-Daniels-Midlnd Co	2,604.50
08/10	105 Amerco	9,859.75
08/28	105 Deere & Co	7,875.25
08/28	100 Factset Research Systems Inc	9,325.00
08/28	110 United Parcel Service - B	8,306.00
08/29	200 Coca-Cola Company	7,649.00
08/31	105 Amerco	9,917.50
08/31	150 Duke Energy Corp Com New	9,800.46
09/05	150 CME Group Inc	8,290.00
09/05	150 ConocoPhillips	8,351.75
09/10	105 3M Co	9,670.29
09/14	110 Allegian Travel Co	7,200.10
09/21	90 United Parcel Service - B	6,558.00
10/04	500 Hewlett-Packard Company	7,298.00
10/11	100 Lorillard Inc	11,510.00
10/23	75 BP PLC Spons Adr	3,176.18
10/23	200 Reynolds American Inc	8,245.20
10/25	110 3M Co	9,719.00

## CRUT Account

### 2012 Purchases

Date	Description	Amount
10/26	175 Omnicom Group	8,491.58
10/26	200 Universal Health Svcs Inc Cl B	8,588.20
11/08	100 Nike Inc Class B	9,452.00
11/15	200 Universal Health Svcs Inc Cl B	8,387.00
12/28	150 Otter Tail Corporation	<u>3,739.50</u>
		<u>\$539,663.48</u>