



Filing ID #10024980

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Donald W. Norcross  
**Status:** Member  
**State/District:** NJ01

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2017  
**Filing Date:** 12/13/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ACC Market Strategy Certificate [BA]	JT	\$15,001 - \$50,000	Interest	Not Applicable	<input type="checkbox"/>
Am Cent VP Intl Cl II [MF]		\$1,001 - \$15,000	Dividends	Not Applicable	<input type="checkbox"/>
AM FDS American Balanced Fund [PE]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
AM FDS Washington Mutual [PE]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
American Express Bank [BA]	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Account closed					
Ameriprise Cert. Co. [BA]	JT	\$50,001 - \$100,000	Interest	Not Applicable	<input type="checkbox"/>
BB&T Bank [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Calvert High Yield Bond CL A [MF]	JT	\$15,001 - \$50,000	Dividends	Not	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Applicable					
COL VP Disc Core Cl3 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: This asset was continuously held from 2014 going forward and was inadvertently omitted from prior disclosures.					
COL VP Intmd Bnd Cl3 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
COL VP Midcap Gr Cl3 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
COL VP SellntlEq Cl3 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: This asset was continuously held from 2014 going forward and was inadvertently omitted from prior disclosures.					
Columbia Convertible Securities CL A [MF]		\$1,001 - \$15,000	Dividends	Not Applicable	<input type="checkbox"/>
Columbia Diversified Equity Income CL A [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Columbia Dividend Oppty Instl Cl [MF]	SP	\$15,001 - \$50,000	Dividends	Not Applicable	<input type="checkbox"/>
Columbia Global Infrastructure CL A [MF]	JT	\$1,001 - \$15,000	Dividends	Not Applicable	<input type="checkbox"/>
Columbia Hgh Yld Bd Cl3 [MF]		\$1,001 - \$15,000	Dividends	Not Applicable	<input type="checkbox"/>
Columbia High Yield Bond CL A [MF]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	<input type="checkbox"/>
Columbia Seligman Communications & Information CL A [MF]	JT	\$1,001 - \$15,000	Dividends	Not Applicable	<input type="checkbox"/>
Columbia Strategic Income Instl CL [MF]	SP	\$15,001 - \$50,000	Dividends	Not Applicable	<input checked="" type="checkbox"/>
Columbia VP Divnd Opp Cl3 [MF]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	<input type="checkbox"/>
Columbia VP Global Bnd Cl3 [MF]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	<input type="checkbox"/>
Columbia VP Lg C Quan Cl3 [MF]	SP	\$15,001 - \$50,000	Dividends	Not	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
				Applicable	
Congressional Federal Credit Union [BA]		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
Eaton Vance Global Macro Absolute Return CL A [MF]	JT	\$1 - \$1,000	Dividends	Not Applicable	<input type="checkbox"/>
Emerald Growth [MF]	SP	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Express Scripts Holding Company (ESRX) [ST]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	<input type="checkbox"/>
Fid VIP Midcap Cl 2 [MF]		\$1,001 - \$15,000	Dividends	Not Applicable	<input type="checkbox"/>
Fidelity Advisor Floating Rate High Income CL A [MF]	JT	\$1,001 - \$15,000	Dividends	Not Applicable	<input type="checkbox"/>
Fidelity Advisor New Insights CL A [MF]		\$15,001 - \$50,000	Dividends	Not Applicable	<input type="checkbox"/>
Fidelity Advisor Strategic Dividend & Income CL A [MF]		\$1,001 - \$15,000	Dividends	Not Applicable	<input type="checkbox"/>
Fidelity Contrafund [PE]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
First TN [BA]		None	None		<input type="checkbox"/>
Franklin Income CL A [MF]	SP	\$1 - \$1,000	Dividends	Not Applicable	<input type="checkbox"/>
Franklin Rising Dividends [MF]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	<input type="checkbox"/>
Franklin Small Cap Growth CL A [MF]		\$1,001 - \$15,000	Dividends	Not Applicable	<input type="checkbox"/>
Guaranteed Deposit Fund [PE]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Healthcare Employees Federal Credit Union [BA]	SP	\$1,001 - \$15,000	Interest	Not Applicable	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IBEW Pension Benefit Fund [DB]		Undetermined	None		<input type="checkbox"/>
International Blend/Lazard [PE]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
IShares Core S&P 500 [EF]	JT	\$15,001 - \$50,000	Dividends	Not Applicable	<input type="checkbox"/>
IShares Core S&P Small Cap ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
IShares MSCI EAFE [EF]	JT	\$15,001 - \$50,000	Dividends	Not Applicable	<input type="checkbox"/>
IShares Russell Mid Cap [EF]	JT	\$15,001 - \$50,000	Dividends	Not Applicable	<input type="checkbox"/>
IShares Russell S&P Small Cap ETF [EF]	JT	\$15,001 - \$50,000	Dividends	Not Applicable	<input checked="" type="checkbox"/>
Jennison Growth [PE]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
KBS Real Estate Investment Trust Inc [RE]	SP	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
MAINSTY Large Cap Growth Fund Class I [PE]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Manning & Napier Target income [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
MFS Diversified Income CL A [MF]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	<input type="checkbox"/>
MFS INTL VALUE CL 1 [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
COMMENTS: This asset was continuously held from 2014 going forward and was inadvertently omitted from prior disclosures.					
MFS Research Intl CL A [MF]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	<input type="checkbox"/>
National Electrical Benefit Fund [DB]		Undetermined	None		<input type="checkbox"/>
COMMENTS: NEBF is a Taft-Hartley, multiemployer, defined benefit pension plan in which Congressman Norcross is vested. This asset was					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
continuously held from 2014 going forward and was inadvertently omitted from prior disclosures.					
New Jersey Defined Contribution Retirement plan [DB]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Oppenheimer Steelpath MLP ALPHA CL A [MF]		\$15,001 - \$50,000	Dividends	Not Applicable	<input type="checkbox"/>
PIMCO Total Return Inst [PE]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Prudential Retirement Real Estate Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Putn VT Mult Cap Gr IB [MF]		None	None		<input type="checkbox"/>
T Rowe Price Mid Cap Value [PE]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TD Bank [BA]	JT	\$15,001 - \$50,000	Interest	Not Applicable	<input type="checkbox"/>
Templeton Foreign Adv [PE]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Templeton Global Bond Advisor CL [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Thornburg International [PE]		None	Tax-Deferred		<input type="checkbox"/>
Toronto Dominion Bank (TD) [ST]		\$15,001 - \$50,000	Dividends	Not Applicable	<input type="checkbox"/>
Toronto Dominion Bank (TD) [ST]	SP	\$50,001 - \$100,000	Dividends	Not Applicable	<input type="checkbox"/>
Union Building Trades Federal Credit Union [BA]	JT	\$1,001 - \$15,000	Interest	Not Applicable	<input type="checkbox"/>
Vanguard Mid Cap Index Signal fund [PE]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Vanguard Small Cap Index [PE]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
VP LoomisSayles Gr II [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Wanger USA [MF]		\$15,001 - \$50,000	Dividends	Not Applicable	<input type="checkbox"/>
Weaver Barksdale Fixed Income Fund [PE]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo Advantage Wealthbuilder Growth Balanced [MF]	SP	\$15,001 - \$50,000	Dividends	Not Applicable	<input type="checkbox"/>
Wells Fargo Bank NA [BA]		\$1 - \$1,000	None		<input type="checkbox"/>
WFADV VT Sm Cap Grow [MF]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
American Express Bank [BA] DESCRIPTION: Closed bank account	JT	10/30/2017	E	\$216.00	
COL VP Intmd Bnd Cl3 [MF]	SP	09/21/2017	P	\$1,001 - \$15,000	
Columbia High Yield Bond Cl3 [MF]	SP	09/21/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
Columbia Strategic Income Instl CL [MF]	SP	09/21/2017	P	\$15,001 - \$50,000	
iShare Russell 2000 [EF]	JT	03/7/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
iShares Core S&P Small Cap ETF [EF]	SP	03/16/2017	P	\$1,001 - \$15,000	
iShares Russell S&P Small Cap ETF [EF]	JT	03/16/2017	P	\$15,001 - \$50,000	
KBS Real Estate Investment Trust Inc [RE] DESCRIPTION: Liquidating distribution to shareholders	SP	12/27/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Templeton Global Bond Advisor CL [MF]		09/21/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
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\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Virtua West Jersey Health Systems	Spouse salary	N/A
IBEW Pension	Pension	\$93,683.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Suntrust	June, 2012	Mortgage	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The Aspen Institute, Inc. (Congressional Program Division)	05/29/2017	06/4/2017	Philadelphia, PA - Berlin, Germany - Philadelphia, PA	6	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Donald W. Norcross , 12/13/2018