

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
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For use by Members, officers, and employees

HAND DELIVERED

Shelley Moore Caprio

(Full Name)

(Daytime Telephone)

(Office Use Only)

2013 MAY 14 PM 2:20

Filer Status

☒ Member of the U.S. House of Representatives

State: WV District: 2

☐ Officer Or Employee

Employing Office:

Report Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule I.		If yes, complete and attach Schedule VI.	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule II.		If yes, complete and attach Schedule VII.	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule III.		If yes, complete and attach Schedule VIII.	
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule IV.		If yes, complete and attach Schedule IX.	
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	
If yes, complete and attach Schedule V.			

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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SCHEDULE I - EARNED INCOME

Name Shelley Moore Capito

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Citigroup Global Mkts	Spouse Deferred Compensation	N/A
Wells Fargo Advisors	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Shelley Moore Capito

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>		<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP	401(k) Blackrock Fed Funds (rolled into Wells Fargo IRA)	None	TAX-DEFERRED	NONE	
SP	401(k) Blackrock T Fund	\$100,001 - \$250,000	TAX-DEFERRED	NONE	
SP	401(k) Citigroup Common Stock Fund	\$50,001 - \$100,000	TAX-DEFERRED	NONE	
SP	401(k) Emerging Market Equity	\$50,001 - \$100,000	TAX-DEFERRED	NONE	
SP	401(k) Large Cap US Equity Fund (formerly Wellington Large Cap)	\$50,001 - \$100,000	TAX-DEFERRED	NONE	
SP	401(k) Morgan Stanley (rolled into Wells Fargo IRA)	None	TAX-DEFERRED	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	401(k) MSCI EAFE Index	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	401(k) Pimco All Asset	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
SP	401(k) Russell 3000 Fund	\$100,001 - \$250,000	TAX-DEFERRED	NONE	
SP	401(k) S&P 500 Index Fund	\$100,001 - \$250,000	TAX-DEFERRED	NONE	
SP	401(k) United Bankshares (rolled into Wells Fargo IFA)	None	TAX-DEFERRED	NONE	
SP	401(k) Wells Fargo ESOP Fund	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
SP	Alliance Petroleum	\$1,001 - \$15,000	Royalties	NONE	
	BB&T	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Bristol Meyers Squibb	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S(part)
	Cisco Systems	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Cisco Systems	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	S(part)
SP	Citigroup Capital Partners I LP (EIF)	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1,001 - \$2,500	
SP	Citigroup Capital Partners II LP (EIF)	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Shelley Moore Capito

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SP	Citigroup Employee Fund of Funds I LP (EIF)	\$15,001 - \$50,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$5,001 - \$15,000	
SP	Citigroup Inc.	\$50,001 - \$100,000	DIVIDENDS	\$1 - \$200	
	Citigroup Inc.	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
SP	Citigroup Venture Capital International Growth Partnership II LP (EIF)	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	NONE	
SP	Coca-Cola Co.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Duke Energy	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Edgewood Country Club	\$1,001 - \$15,000	None	NONE	
SP	Exxon Corp	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	S(part)
SP	General Electric Co	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	S(part)
SP	Huntington Bancshares Inc	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
JT	Huntington Bancshares Inc	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	IBM Corp	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	S(part)
SP	Intel Corp	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Shelley Moore Capito

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SP	IRA - Amgen Inc	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	IRA - Bank of America	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	IRA - Chesapeake Energy	\$15,001 - \$50,000	TAX-DEFERRED	NONE	P
SP	IRA - Chubb	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
SP	IRA - CME Group	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	IRA - Coca-Cola Co	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
SP	IRA - Duke Energy	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	IRA - Exxon	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
SP	IRA - Gen-Probe, Inc	None	TAX-DEFERRED	NONE	S
SP	IRA - Intel	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	IRA - Johnson & Johnson	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	IRA - Kraft	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
	IRA - Legg Mason Partners Large Cap Value Fund	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
SP	IRA - Microsoft (SP omitted on 2011 Report)	\$1,001 - \$15,000	TAX-DEFERRED	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Shelley Moore Capito

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	IRA - Middleburg Fin Corp	\$1 - \$1,000	TAX-DEFERRED	NONE	
SP	IRA - Mondelez Intl	\$15,001 - \$50,000	TAX-DEFERRED	NONE	E
SP	IRA - Morgan Stanley	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	IRA - Pfizer Inc.	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	IRA - United Bank	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
SP	IRA - United Parcel Service Inc.	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	IRA - Vanguard MSCI ETF	\$15,001 - \$50,000	TAX-DEFERRED	NONE	P
SP	IRA - Wells Fargo Bank Sweep (formerly Smith Barney Money Fund Retirement Portfolio)	\$50,001 - \$100,000	TAX-DEFERRED	NONE	

SP	Merck	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Microsoft	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
	Middleburg Fin. Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Norfolk Southern Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Procter & Gamble	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S(part)
SP	Res. Rental, Lexington, VA (*owned but not rented in 2011)	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	Converted to rental

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

SCHEDULE III - ASSETS AND "UNEARNED" INCOME				Name Shelley Moore Capito		Page 8 of 13
SP	Royal Dutch Shell	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	S(part)	
	Spectra Energy	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200		
	St Paul Travelers	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000		
	Verizon Communications	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000		
SP	Vista Resources	\$1,001 - \$15,000	Other: Royalties	\$201 - \$1,000		
	Walt Disney Co.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200		
	Wells Fargo Bank Sweep (formerly Bank Deposit Program)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200		
	WV Public Employees Retirement System	\$1,001 - \$15,000	TAX-DEFERRED	NONE		

SCHEDULE IV - TRANSACTIONS

Name Shelley Moore Capito

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	401(k) Wells Fargo ESOP Fund	P	N/A	quarterly	\$1,001 - \$15,000
SP	Bristol Meyers Squibb	S(part)	Yes	5-18-12	\$1,001 - \$15,000
SP	Cisco Systems	S(part)	No	5-18-12	\$1,001 - \$15,000
SP	Exxon Corp	S(part)	Yes	5-18-12	\$1,001 - \$15,000
SP	General Electric	S(part)	Yes	5-18-12	\$1,001 - \$15,000
SP	IBM	S(part)	Yes	5-18-12	\$1,001 - \$15,000
SP	IRA - Chesapeake Energy	P	N/A	6-19-12	\$15,001 - \$50,000
SP	IRA - Gan-Probe	S	No	8-1-12	\$15,001 - \$50,000
SP	IRA - Mondelez Intl (spin off from Kraft)	E	N/A	10-5-12	\$15,001 - \$50,000
SP	IRA - Vanguard MSCI ETF	P	N/A	8-9-12	\$15,001 - \$50,000
SP	Microsoft	S(part)	No	5-18-12	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Shelley Moore Capito

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
 Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.
 * This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Procter & Gamble	S(part)	Yes	5-18-12	\$1,001 - \$15,000
SP	Residential Rental, Lexington, VA (owned but not rented in 2011)	E	N/A	2012	converted to rental
SP	Royal Dutch Shell	S(part)	Yes	5-18-12	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

Name Shelley Moore Capito

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
SP	Wells Fargo	2011	Margin Loan	\$100,001 - \$250,000
SP	Citigroup Visa	monthly	Credit card	\$10,001 - \$15,000
JT	United Bank	Oct 2008	Line of credit	\$100,001 - \$250,000
SP	US Airways Mastercard	monthly	credit card	\$10,001 - \$15,000
	Wells Fargo	2010	Margin loan	\$10,001 - \$15,000
JT	Wells Fargo	Aug 2011	Mortgage on 2 Cornstock Place, Charleston, WV	\$250,001 - \$500,000
JT	Wells Fargo	Aug 2011	Home equity line	\$50,001 - \$100,000
JT	Wells Fargo	Sep 2011	Mortgage on 601 PA Ave, Washington, DC	\$250,001 - \$500,000
SP	CitiMortgage	Aug 2009	Mortgage on Lexington, VA property	\$100,001 - \$250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Shelley Moore Capito

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Franklin Center for Global Policy Exchange, Ripon Society	Nov. 9-13	Charleston, WV-Buenos Aires, Argentina-DC	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Shelley Moore Capito

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
National Advisory Board Member	University of Charleston