



Filing ID #10010763

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Tammy Duckworth  
**Status:** Member  
**State/District:** IL08

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2015  
**Filing Date:** 05/11/2016

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Brokerage #1 - EDJ 2718 ⇒ Abbott Laboratories (ABT)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ BHP Billiton Limited (BHP)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ Bright Start College Svgs Advisor 0-6yr	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ Brokerage #1 Cash	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ Capital World Grw & Inc Fund A	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ Caterpillar, Inc. (CAT)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ Cisco Systems, Inc. (CSCO)	JT	None	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Asset was sold in full for less than \$1,000 and income was less than \$200.					

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Brokerage #1 - EDJ 2718 ⇒ E.I. du Pont de Nemours and Company (DD)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ EMC Corporation (EMC)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ Frontier Communications Corporation (FTR)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: This asset has fallen below the reporting threshold and will not be reported in future.					
Brokerage #1 - EDJ 2718 ⇒ General Electric Company (GE)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ Goldman Sachs Group, Inc. (GS)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ Illinois Tool Works Inc. (ITW)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ Income Fund of America Fund A	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ Intel Corporation (INTC)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ Investment Co of America Fd A	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ Johnson & Johnson (JNJ)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ Lockheed Martin Corporation (LMT)	JT	None	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Asset was sold in full for less than \$1,000 and income was less than \$200.					
Brokerage #1 - EDJ 2718 ⇒ Mondelez International, Inc. - Class A (MDLZ)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ New Perspective Fund Cl A	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ NextEra Energy, Inc. (NEE)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Tax Exempt Bond Fd Amer Cl A					
Brokerage #1 - EDJ 2718 ⇒ Verizon Communications Inc. (VZ)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ Walgreens Boots Alliance, Inc. (WBA)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ Walt Disney Company (DIS)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Brokerage #3-USAA 1187 ⇒ Caterpillar, Inc. (CAT)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Brokerage #3-USAA 1187 ⇒ Corning Incorporated (GLW)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Brokerage #3-USAA 1187 ⇒ Gamestop Corporation (GME)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Brokerage #3-USAA 1187 ⇒ Potash Corporation of Saskatchewan Inc. (POT)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
IRA#1-EDJ 6811 ⇒ Bond Fund of America Cl A		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA#1-EDJ 6811 ⇒ Capital World Grw & Inc Fund A		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA#1-EDJ 6811 ⇒ EMC Corporation (EMC)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA#1-EDJ 6811 ⇒ Income Fund of America Fund A		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA#1-EDJ 6811 ⇒ Investment Co of America Fd A		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA#1-EDJ 6811 ⇒ IRA # 1 Cash		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA#1-EDJ 6811 ⇒ McDonald's Corporation (MCD)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA#1-EDJ 6811 ⇒ New Perspective Fund Cl A		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA#1-EDJ 6811 ⇒ SMALLCAP World Fund Cl A		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA#2- EDJ 6910 ⇒ Bond Fund of America Cl A		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA#2- EDJ 6910 ⇒ Income Fund of America Fund A		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA#2- EDJ 6910 ⇒ Investment Co of America Fd A		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA#2- EDJ 6910 ⇒ New Perspective Fund Cl A		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA#3 - EDJ 2319 ⇒ Cash - IRA #3	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
IRA#3 - EDJ 2319 ⇒ Income Fund of America Fund A	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA#3 - EDJ 2319 ⇒ Investment Co of America Fd A	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA#3 - EDJ 2319 ⇒ New Perspective Fund Cl A	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA#3 - EDJ 2319 ⇒ SMALLCAP World Fund Cl A	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA#4 - EDJ 2418 ⇒ Income Fund of America Fund A	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA#4 - EDJ 2418 ⇒ Investment Co of America Fd A	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA#4 - EDJ 2418 ⇒ New Perspective Fund Cl A	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA#4 - EDJ 2418 ⇒ SMALLCAP World Fund Cl A	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Lincoln Shareholders Adv Old Contract ⇒ Lincoln Shareholdrs Adv Old Contract #953048073	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Pacific First Health Solutions LLC, 24% Interest		\$1 - \$1,000	None		<input type="checkbox"/>
LOCATION: Aiea, HI, US DESCRIPTION: 24.5% Interest purchased 1/1/2012					
USAA Checking 424-6		\$1,001 - \$15,000	None		<input type="checkbox"/>
USAA Checking 853-8	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
USAA Money Market Fund	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Brokerage #1 - EDJ 2718 ⇒ BHP Billiton plc Sponsored ADR (BBL)	JT	01/5/2015	P	\$1,001 - \$15,000	
Brokerage #1 - EDJ 2718 ⇒ Bright Start College Svgs Advisor 0-6yr	JT	Monthly	P	\$300	
Brokerage #1 - EDJ 2718 ⇒ EMC Corporation (EMC)	JT	05/20/2015	P	\$1,001 - \$15,000	
Brokerage #1 - EDJ 2718 ⇒ Illinois Tool Works Inc. (ITW)	JT	05/19/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Brokerage #1 - EDJ 2718 ⇒ Mondelez International, Inc. - Class A (MDLZ)	JT	01/5/2015	P	\$1,001 - \$15,000	
Brokerage #3-USAA 1187 ⇒ Caterpillar, Inc. (CAT)	JT	01/16/2015	P	\$1,001 - \$15,000	
Brokerage #3-USAA 1187 ⇒ Gamestop Corporation (GME)	JT	01/30/2015	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Cartesian Inc	Spouse Salary	N/A
DFAS US Army	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Sallie Mae	August 2001	Student Loans 10	\$50,001 - \$100,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Member	Pacific First Health Solutions, LLC

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>◦ Brokerage #1 - EDJ 2718 (100% Interest) (Owner: JT) LOCATION: US</li><li>◦ Brokerage #3-USAA 1187 (100% Interest) (Owner: JT) LOCATION: US DESCRIPTION: f/k/a Brokerage #2 USAA 8425</li><li>◦ IRA#1-EDJ 6811</li><li>◦ IRA#2- EDJ 6910</li><li>◦ IRA#3 - EDJ 2319 (Owner: SP)</li></ul>
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- IRA#4 - EDJ 2418 (Owner: SP)
- Lincoln Shareholders Adv Old Contract (Owner: JT)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Tammy Duckworth , 05/11/2016