

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

**FORM A**  
For use by Members, officers, and employees

JAN 28 2011

John M. Spratt, Jr.

803/884-4554

(Full Name)

(Daytime Telephone)

**Filer Status**  
☒ Member of the U.S. House of Representatives  
State: SC District: 5

☐ Officer Or Employee  
Employing Office:

**Report Type**  
☐ Annual (May 15) ☐ Amendment ☒ Termination

Termination Date: 1/3/2011

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$336 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$336 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<b>Trusts--</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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OF THE HOUSE OF REPRESENTATIVES

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John M. Spratt, Jr.

Page 2 of 6

BLOCK A <b>Asset and/or Income Source</b> <small>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.  Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</small>	BLOCK B <b>Year-End Value of Asset</b> <small>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</small>	BLOCK C <b>Type of Income</b> <small>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</small>	BLOCK D <b>Amount of Income</b> <small>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</small>	BLOCK E <b>Transaction</b> <small>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</small>
Common Stock, York Bancshares, Inc.	\$1,000,001 - \$5,000,000	DIVIDENDS	\$50,001 - \$100,000	NA
Common Stock, Bank of America	\$500,001 - \$1,000,000	DIVIDENDS	\$1,001 - \$2,500	P
Common Stock, Sonoco Products, Inc.	<del>\$100,001 - \$250,000</del>	DIVIDENDS	\$5,001 - \$15,000	NA
Lake Wylie Plaza Associates	\$15,001 - \$50,000	DIVIDENDS	NONE	NA
800-acre farm, Fort Mill, SC, crops, pasture, trees:	\$5,000,001 - \$25,000,000	RENT/CAPITAL GAINS	\$15,001 - \$50,000	S(part)
(1) Lease, 50-100 acres of pasture and barn, T. Spratt	NA	RENT	\$1,001 - \$2,500	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John M. Spratt, Jr.

Page 3 of 8

	(2) Lease, Springs Farms, 25-acre strawberry field	NA	RENT	\$201 - \$1,000	
	(3) Rental to J.R. Kimbrell, 250 acres cattle pasture	NA	RENT	\$201 - \$1,000	
	(4) Timber lease, Willaims Forest Prods, 300 acres	NA	CAPITAL GAINS	\$15,001 - \$50,000	S(part)
SP	Bldg leased to C. Lawton, 19 N. Congress, York, SC	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Home at 233 Kings Mtn St, York, SC	\$250,001 - \$500,000	None	NONE	
JT	Home at 3701 Porter St, NW Washington, DC	\$1,000,001 - \$5,000,000	None	NONE	
JT	Bank of York, checking account	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
SP	Bank of York, rental income account	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	Bank of York, IRA	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
SP	Bank of York, IRA	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
SP	SunTrust Bank, checking account	\$1 - \$1,000	INTEREST	\$1 - \$200	
	Bank of America, checking account	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	Congressional Federal Credit Union, checking acct	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
	New York Life Insurance, cash value	\$100,001 - \$250,000	INTEREST	\$2,501 - \$5,000	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name John M. Spratt, Jr.

Page 4 of 6

	Life of Virginia, cash value	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	Phoenix Home Life, cash value	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	Equitable Life, cash value	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	

# SCHEDULE IV - TRANSACTIONS

Name John M. Spratt, Jr.

Page 5 of 6

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
	Bank of America, dividend reinvestment	E	N/A	Quarterly through 2010	\$1,001 - \$15,000
	Timber lease, Williams Forest Prods, 300 acres merchantable timber	S(part)	Yes	8/2009- 8/2010	\$15,001 - \$50,000

# SCHEDULE V - LIABILITIES

Name John M. Spratt, Jr.

Page 6 of 6

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Bank of York, York, SC	Mortgage on 233 Kings Mtn St, York SC	\$250,001 - \$500,000
	Congressional Federal Credit Union, Washington, DC	\$15,000 Line of Credit	\$10,001 - \$15,000
SP	Congressional Federal Credit Union, Washington, DC	Secured Loan for Purchase of Car, paid October 2010	\$15,001 - \$50,000
JT	Bank of America VISA	Credit card balances	\$10,001 - \$15,000
	Bank of America	\$100,000 Line of Credit	\$50,001 - \$100,000
	S. C. Bank of the Piedmont	Endorsement of Note executed by S. R. McCrae	\$100,001 - \$250,000
SP	Bank of York, York, SC	Mortgage on building at 19 North Congress St, York, SC	\$15,001 - \$50,000