



Filing ID #10029065

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Ted Lieu  
**Status:** Member  
**State/District:** CA33

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2016  
**Filing Date:** 08/13/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3148/Large Cap Growth Fund - A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
3159 / Equity Index Fund - A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Allianz NFJ Dividend Value Fund [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
American High-Income Trust-529A [5P]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: CA					
Capital World Growth & Income [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Capital World Growth and Income-529A [5P]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: CA					
Condominium for Rent [RP]		\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: Los Angeles, CA, US					
Condominium for Rent [RP]		\$100,001 - \$250,000	Rent	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: Fort Myers, FL, US					
Kinecta Account for Austin Lieu [BA]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Kinecta Account for Brenna Lieu [BA]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Nationwide High Yield Bond Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
PACE International Fixed Income Fund [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
PIMCO Convertible Fund Institutional [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
PIMCO Diversified Income Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
PIMCO Investment Grade Corporate [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Rothschild SMID Cap Value [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SD Window [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SSGA Large Cap Growth [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SSGA S&P 500 Index Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
The Growth Fund of America [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
The Growth Fund of America-529A [5P]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
LOCATION: CA					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
The Income Fund of America-529A [5P]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: CA					
Times Square SMID Cap Growth [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
U.S. Government Securities Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
UBS All Asset [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Core Plus Bond Fund [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
UBS Global Sustainable Equity [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
UBS International Growth [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
UBS International Value [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Large Cap Growth [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Large Cap Value [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Stable Value [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Target Retirement 2030 [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Target Retirement 2040 [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Total Return [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
US Bank Account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Prime Money Mkt [MF]		\$1 - \$1,000	None		<input type="checkbox"/>
Vanguard Target Retirement 2030 [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Washington Mutual Investors Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Account for Austin Lieu [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Account for Brennan Lieu [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo PMA Bank Accounts [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

**SCHEDULE B: TRANSACTIONS**

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Eagle Large Cap Value Equity [MF]		12/30/2016	P	\$1,001 - \$15,000	
Imperial House Condo, Unit 405 [RP]		03/3/2017	P	\$250,001 - \$500,000	
LOCATION: Washington, DC, US DESCRIPTION: Residence in DC					
Invesco Stable Value Fund [MF]		12/30/2016	P	\$1,001 - \$15,000	

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

**SCHEDULE C: EARNED INCOME**

Source	Type	Amount
Harriton Investments Inc.	Spouse salary	\$5,000.00

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo	March 2017	Loan for Condo in DC	\$100,001 - \$250,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Source	Activity	Date	Amount	Charity Name
HBO Bill Maher Show	Appearance	04/7/2017	\$800.00	ACLU

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Ted Lieu , 08/13/2019