



Filing ID #10020325

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Peter A. DeFazio
Status: Member
State/District: OR04

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2017
Filing Date: 04/25/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1/2 ownership share 53880 Pine Grove rd Lapine oregon [RP] LOCATION: deschutes, OR, US	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
ameritrade ⇒ Antigenics Inc (ANTI) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
ameritrade ⇒ Marrone Bio Innovations, Inc. (MBII) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
ameritrade ⇒ oakmark equity income [MF]	SP	\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
bank of new zealand [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
bank of new zealand [BA]	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
ING/VOYA ⇒ American funds euro pacific growth [MF] COMMENTS: Manager of plan ING changed name to VOYA	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ING/VOYA ⇒ Dodge Cox international stock fund [MF]	SP	None	None		<input checked="" type="checkbox"/>
ING/VOYA ⇒ fidelity contra [MF]	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: sold					
ING/VOYA ⇒ fixed [MF]	SP	\$500,001 - \$1,000,000	Tax-Deferred		<input checked="" type="checkbox"/>
ING/VOYA ⇒ Hartford Schrdrs Intl Mid Cap Val F [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Exchanged "purchased"					
ING/VOYA ⇒ JP Morgan Mid Cap Value [MF]	SP	None	None		<input checked="" type="checkbox"/>
ING/VOYA ⇒ MFS Value Fund R5 [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: sold					
ING/VOYA ⇒ parnassus core equity [MF]	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: sold					
ING/VOYA ⇒ Vanguard Institutional index fund [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: This fund was previously held but holdings were increased when another fund within the plan was sold					
ING/VOYA ⇒ Vanguard mid cap index [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: Plan manager ING changed name to Voya					
ING/VOYA ⇒ Vanguard Russ 1000 Growth Ind fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: exchanged "purchased"					
ING/VOYA ⇒ Vanguard small cap index [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
ING/VOYA ⇒ Vanguard Target Retirement 2025 Fnd Inv [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: exchanged - you call it a purchase					
ING/VOYA ⇒	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Victory Sycmr Established value fund [MF]					
DESCRIPTION: exchanged "purchased"					
oakmark select [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Pentagon Federal Credit Union [BA]		\$1 - \$1,000	None		<input type="checkbox"/>
selco credit union [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
selco credit union [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Touchstone investments [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: Sentinel was acquired by Touchstone- no changes were made in the underlying assets MERELY A NAME CHANGE					
wright patman federal credit union [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ING/VOYA ⇒ Dodge Cox international stock fund [MF]	SP	04/11/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
ING/VOYA ⇒ Fidelity Contra [MF]	SP	04/11/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
ING/VOYA ⇒ Hartford Schdrs Intl Mltcap Val F SDR [MF]	SP	04/11/2017	P	\$15,001 - \$50,000	
ING/VOYA ⇒ JP Morgan Mid Cap Value [MF]	SP	04/11/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
ING/VOYA ⇒ MFS Value fund R5 [MF]	SP	04/11/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
ING/VOYA ⇒ Parnassus Core Equity [MF]	SP	04/11/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ING/VOYA ⇒ Vanguard Russ 1000 Growth Fund Inst [MF]	SP	04/11/2017	P	\$15,001 - \$50,000	
ING/VOYA ⇒ Vanguard Target Retirement 2025 Fnd Inv [MF]	SP	04/11/2017	P	\$100,001 - \$250,000	
ING/VOYA ⇒ Victory Sycmr Established Value FR6 [MF]	SP	04/11/2017	P	\$15,001 - \$50,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
City of Eugene Oregon	salary spouse	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	ArcHome	1/2/13	Principal residence mortgage	\$100,001 - \$250,000
	Penn Fed Credit Union	5/15/15	Loan secured by boat which is my DC residence	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- o ameritrade
- o ameritrade (Owner: SP)
- o ING/VOYA (Owner: SP)
DESCRIPTION: 457 plan ING changed its name to voya

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Peter A. DeFazio , 04/25/2018