



Filing ID #10019894

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Mr. Mark Joaquin Williams
Status: Congressional Candidate
State/District: CO02

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2017
Filing Date: 02/21/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
401K Retirement Account ⇒ Am Fds EuroPacific Grth R4 Fd		\$1,001 - \$15,000	Tax-Deferred		
401K Retirement Account ⇒ Core Plus Bond Inst Fund		\$1,001 - \$15,000	Tax-Deferred		
401K Retirement Account ⇒ LargeCap Growth I R6 Fund		\$1,001 - \$15,000	Tax-Deferred		
401K Retirement Account ⇒ LargeCap S&P 500 Idx Inst Fund		\$1,001 - \$15,000	Tax-Deferred		
401K Retirement Account ⇒ MFS Value R3 Fund		\$1,001 - \$15,000	Tax-Deferred		
401K Retirement Account ⇒ MidCap S&P 400 Index R6 Fund		\$1 - \$1,000	Tax-Deferred		
401K Retirement Account ⇒ Principal Stable Value Inst Fund		\$1,001 - \$15,000	Tax-Deferred		
401K Retirement Account ⇒		\$1 - \$1,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
SmallCap S&P 600 Index R6 Fund					
401K Retirement Account ⇒ Templeton Glbl Bond A Fd					
		\$1,001 - \$15,000	Tax-Deferred		
Two Wings Counseling, 100% Interest	SP	\$1 - \$1,000	Therapy fees	\$15,001 - \$50,000	\$15,001 - \$50,000
LOCATION: Boulder, CO, US DESCRIPTION: Therapy practice					
USAA Checking Account	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: USAA Checking Account					

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Gaia	Salary	\$12,267.00	\$101,779.00
COMMENTS: Terminated employment at Gaia in January 2017			
Two Wings Counseling	Spouse's Business	\$26,654.00	\$20,804.00
COMMENTS: Income from spouse's therapy practice			
State of Colorado	Unemployment Income	\$14,768.00	N/A
COMMENTS: Unemployment after termination from Gaia			

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Elevations Credit Union	April 2017	Line of credit on personal residence	\$15,001 - \$50,000
JT	USAA	December 2016	Credit Card	\$15,001 - \$50,000
JT	Charles Schwab VISA	Feb 2014	Credit Card	\$15,001 - \$50,000
SP	Navient	1997	Student Loan	\$50,001 - \$100,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Proprietor	Mark J. Williams
COMMENTS: Consulting Trade Name in the State of Colorado	
CEO	Dynamic Human Solutions

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
October 2015	Mark J. Williams and Gaia, Inc	401(K) Plan
COMMENTS: Participant in the Gaia 401(K) plan though no longer an employee		

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Gaiam TV (Boulder, CO, US)	Consulting around Business Intelligence and Business Dev
COMMENTS: Consulting project with duties ranging from development of Business Intelligence processes to business plan development for a streaming wellness offering.	

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none"> 401K Retirement Account
DESCRIPTION: Gaia Inc employee 401(K) plan

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Mark Joaquin Williams , 02/21/2018