Yes No X	et all three tests for	spouse or dependent child because they meet all three tests for	earned" income, or liabilities of a succession committee on Ethics.	<b>EXEMPTION</b> – Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.
Yes No X	Have you excluded	er "excepted trusts" need not be disclosed. Have you excluded	nmittee on Ethics and certain othe ependent child?	TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" from this report details of such a trust that benefits you, your spouse, or dependent child?
3	E QUESTIONS	- ANSWER <u>BOTH</u> OF THESE QUESTIONS	UST INFORMATION -	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -
	COMPLETE	THAT YOU ARE REQUIRED TO COMPLETE	LY THE SCHEDULES T	THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU AR
	S	EDULE IF YOU ANSWER "YES"	CORRESPONDING SCHEDULE IF YOU	ATTACH THE C
Yes W No X	ın \$5,000 from a ır years?	J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?	Yes No J.	D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?
Yes No X	r arrangement with an	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes No F.	C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?
Yes No X	ng the reporting ugh the date of filing?	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes X No De.	A. Did you, your spouse, or your dependent child: <ul> <li>a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or</li> <li>b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?</li> </ul>
		IONS	<u>H</u> OF THESE QUESTIONS	PRELIMINARY INFORMATION - ANSWER EACH OF
A \$200 penalty shall be assessed against any individual who files more than 30 days late.	A \$200 penalty sha individual who files	Period Covered: January 1, 22/7	Staff Filer Type (If Applicable): Shared Principal Assistant	New Officer or Employee  Employing Office:
(Office Use Only)	M (Offi	Check if Amendment	H DIANA H	New Member of or Candidate for State:  U.S. House of Representatives District:  Candidates – Date of Election: 11/1/1/19
OFFICE OF THE CLERK	US HOUSE	)ne:	Daytime Telephone:	Name: THOMAS YAHK BALLOCK
LEGISLATIVE RESOURCE CENTE:	LEGISLAT	FORM B Candidates, and New Employees	/ES For New Members, Candidates, and	UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT
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## SCHEDULE A - ASSETS & "UNEARNED INCOME"

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٠ ۱	PBGA PENSION	SOCIAL SECURITY	WIKE'S SALVEY	HOME DESIXETCE	ABC Hedge Fund X	Examples: Simon & Schuster	Mega Corp Stock	EF	For a detailed discussion of Schedule A requirements please refer to the instruction booklet.	If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT) in the optional column on the far left.	If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.	Exclude: Your personal residence, including second fromes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.	For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.	For rental and other real property held for investment provide a complete address or description, e.g. rental property, and a city and state.	For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.	A01(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.	(do not use only ticker symbols). For <b>all IRAs</b> and other retirement plans (such as	Provide complete names of stocks and mutual funds	and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.	Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period.	Assets and/or Income Sources	BLOCK A	
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						╁	+-	_	\$1-\$1,000	0					0	,	Gind III willich you leave IIO metest.	Colored No. 1 to 1	If an asset was sold during the reporting period and is included only because it generated income, the value should be 'None'	Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.			
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<u>₹</u> _&			2AC	7. 7.	Partnership Income	Royalties			Other Typ	ne of Income (Sp	pecify: e.	g., Partnership Inco	ome or Farm Inco	ome)				for assets held in taxable accounts. Check "None" if the asset generated no income	the Tax-Deferred column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income	Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check			
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## SCHEDULE C - EARNED INCOME

Name:	
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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding \$1,000. See examples below. **EXCLUDE**: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2016 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,495. The 2017 limit is \$27,765. In addition, certain types of income (notably honoraria, director's fees, and payments for

professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

1			Am	Amount
co	<b>Source</b> (include date of receipt for honoraria)	Type	Current Year to Filing	Preceding Year
	ABC Trade Association, Baltimore, MD (July 15)	Honorarium	\$0	\$500
Examples:	Civil War Roundtable (Oct. 2) Ontario County Board of Education	Spouse Speech Spouse Salary	\$0 \$0	\$1,000 N/A
MIFE-EMPLOYEN	NPWYER	SA (AC)	\$80,000	\$ 75,000
			·	

#### SCHEDULE D - LIABILITIES

Name:	
Page of	

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. New Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

				SP, DC, JT	•	
	US, BANK	MELL	Example			
	apl K	WOLL FARGE MOLTEGES	First Bank of Wilmington, DE	Creditor		
	5/15	10/16	5/98	Date Liability Incurred MO/YR		
	CARLOGN	Home moetenbé	Mortgage on Rental Property, Dover, DE	Type of Liability		
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1				Over \$1,000,000* (Spouse/DC Liability)	_	1

#### SCHEDULE E - POSITIONS

political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report positions held in the reporting Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude**: Positions held in any religious, social, fraternal, or period and the current calendar year. First-year candidates and new employees report positions held in the current calendar year and two previous years.

	7	- マ	/	Position
				Name of Organization

### SCHEDULE F - AGREEMENTS

Name:	The second secon
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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former

Date	Parties to Agreement	Terms of Agreement
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# SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

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Source (Name and City/State)	Brief Description of Duties
Exemple: Doe Jones & Smith, Hometown, Homestate	Accounting Services
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#### BEL 05 2017

#### CAMPAIGN NOTICE REGARDING FINANCIAL DISCLOSURE REQUIREMENT

If you have not yet raised (either through contributions or loans from yourself or others) or spent in excess of \$5,000 for your campaign, or if you have withdrawn your candidacy, please indicate your status and sign and date below.

The Honorable Karen L. Haas, Clerk
Office of the Clerk, U.S. House of Representatives
Legislative Resource Center
135 Cannon House Office Building
Washington, DC 20515-6601

IT DEC 13 AM 11:37

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Indicate Your Status: (Select One)

Dear Madam Clerk:

Over \$5,000 Threshold Not Exceeded This is to notify you that I have not yet raised (either through contributions or loans from myself or others) or spent in excess of \$5,000 for my campaign for the U.S. House of Representatives.

I understand that when I do raise or spend in excess of \$5,000 for my campaign, I must file a Financial Disclosure Statement with the Clerk of the House of Representatives according to the deadlines set out on pages 2 and 3 of the Financial Disclosure Instruction booklet, a copy of which has been provided to me by the Clerk.

 _
Withdrawal
of Candidacy

This is to notify you that under the laws of the state of	. ,
I withdrew my candidacy for the U.S. House of Representatives on	.•

[Note: If your Financial Disclosure Statement was due **before** the date on which you withdrew from the race, you still must file a Financial Disclosure Statement with the House.]

Name (Please Print or Type): THOMAS YAWK	BALLOCK
State: /M DIONA	District: 4
Dani 11 /12/17	

(THIS PAGE WILL BE MADE PUBLICLY AVAILABLE)

RETURN COMPLETED STATEMENT TO: The Clerk, U.S. House of Representatives Legislative Resource Center 135 Cannon House Office Building Washington, DC 20515-6601