Filing ID #10002395

Financial Disclosure Report

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Filer Information

Name: Mr. Daniel Lipinski
Status: Member
State/District: IL03

Filing Information

Filing Type: Annual Report
Filing Year: 2013
Filing Date: 05/15/2014

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
401K AETNA INC, COMMON STOCK	SP	$15,001 - $50,000	Tax-Deferred	None	
401K AMERICAN GROWTH FUND	SP	None	Tax-Deferred	None	
401K CONVENTRY STOCK	SP	None	Tax-Deferred	None	
401K FIDELITY CONTRAFUND		$15,001 - $50,000	Tax-Deferred	None	
401K FIDELITY LOW PRICE STOCK FUND	SP	$15,001 - $50,000	Tax-Deferred	None	
401K FIDELITY PURITAN FUND		$50,001 - $100,000	Tax-Deferred	None	
401K HARBOR INTERNATIONAL FUND	SP	$15,001 - $50,000	Tax-Deferred	None	
401K MARSH & MCLENNAN STOCK FUND	SP	$15,001 - $50,000	Tax-Deferred	None	
401K NEUBERGER GENESIS FUND	SP	$15,001 - $50,000	Tax-Deferred	None	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
401K PIMCO TOTAL RETURN INST'L	SP	$15,001 - $50,000	Tax-Deferred	None	☐
401K PUTNAM S&P 500 INDEX FUND	SP	$1,001 - $15,000	Tax-Deferred	None	☐
401K SUMMIT CASH RESERVE	SP	$15,001 - $50,000	Tax-Deferred	None	☐
401K T ROWE PRICE DIVIDEND GROWTH	SP	$15,001 - $50,000	Tax-Deferred	None	☐
401K T ROWE PRICE RETIREMENT FUND 2030	SP	$15,001 - $50,000	Tax-Deferred	None	☐
401K T ROWE PRICE RETIREMENT FUND 2035	SP	$15,001 - $50,000	Tax-Deferred	None	☑
401K TRP BLUE CHIP GROWTH	SP	$15,001 - $50,000	Tax-Deferred	None	☑
401K TRP MIDCAP	SP	$50,001 - $100,000	Tax-Deferred	None	☐
401K VANGUARD INSTITUTIONAL INDEX	SP	$15,001 - $50,000	Tax-Deferred	None	☐
401K VANGUARD MIDCAP STOCK INDEX	SP	$50,001 - $100,000	Tax-Deferred	None	☐
401K VANGUARD PRIME CAP	SP	$15,001 - $50,000	Tax-Deferred	None	☐
Aetna Inc. (AET)	SP	$1,001 - $15,000	None		☑
AQR MANAGED FUTURES - INST'L DESCRIPTION: PURCHASE	SP	$15,001 - $50,000	Capital Gains	$201 - $1,000	☑
ASG MANAGED FUTURES - A	SP	None	CAPITAL LOSS	None	☐
BBH CORE SELECT	SP	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☑
CALDWELL & ORKIN MARKETT OPP FUND	SP	$15,001 - $50,000	Capital Gains	$201 - $1,000	☐
COHEN & STEERS PREF SEC INC.-1	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
COVENTRY HEALTH CARE STOCK	SP	None	Capital Gains, Dividends	$201 - $1,000	☐
DESCRIPTION: EXCHANGED FOR 401K AETNA + CASH					
E-TRADE BANK DEPOSIT ACCOUNT (CASH)	SP	$1,001 - $15,000	None		☐
FIRST EAGLE GLOBAL FUND CLI	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☐
FIRST NATIONAL BANK OF LAGRANGE	JT	$50,001 - $100,000	None		☐
GUGGENHEIM MACRO OPP	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☐
INVESCO BALANCED - RISK ALLOCATION - Y	SP	$15,001 - $50,000	Capital Gains	$1,001 - $2,500	☑
IRA ANGEL OAK MULTI STRATT INCOME	SP	$15,001 - $50,000	Tax-Deferred	None	☑
IRA COHEN & STEERS PREF	SP	$1,001 - $15,000	Tax-Deferred	None	☑
IRA DOUBLELINE TOTAL RETURN - N	SP	$15,001 - $50,000	Tax-Deferred	None	☐
IRA EASTON VANCE FLOATING RATE INST'L	SP	$15,001 - $50,000	Tax-Deferred	None	☐
IRA IRONCLAD MANAGED RISK	SP	$1,001 - $15,000	Tax-Deferred	None	☑
IRA PIMCO ALL ASSET ALL AUTHORITY	SP	$1,001 - $15,000	Tax-Deferred	None	☐
IRA SPDR GOLD SHARES	SP	None	Tax-Deferred	None	☑
IRA TD BANK MONEY MARKET	SP	$1,001 - $15,000	Tax-Deferred	None	☐
IRA TEMPLETON GLOBAL BOND ADV	SP	$15,001 - $50,000	Tax-Deferred	None	☐
IRA VANGUARD 500 INDEX FUND		$1,001 - $15,000	Tax-Deferred	None	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
IRONCLAD MANAGED RISK	SP	$1,001 - $15,000	Capital Gains	$201 - $1,000	☐
iSHARES BARCLAYS 1-3 YEAR CREDIT	SP	None	Dividends, CAPITAL LOSS	None	☑
IVY ASSET STRATEGIC INSTL	SP	None	Capital Gains	$1,001 - $2,500	☑
LEUTHOLD CORE	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☑
MAINSTAY MARKET FIELD	SP	$15,001 - $50,000	Dividends	$1 - $200	☐
Marsh & McLennan Companies, Inc. (MMC)	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
MB FINANCIAL		$250,001 - $500,000	Interest	$201 - $1,000	☐
METLIFE TCA MONEY MARKET ACCOUNT	SP	$50,001 - $100,000	Interest	$1,001 - $2,500	☐
MetLife, Inc. (MET)	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
METROPOLITAN WEST TOTAL RETURN	SP	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☐
OSTERWEIS STRATEGIC INCOME	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☐
PIMCO ALL ASSET ALL AUTHORITY INSTL	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
PIMCO SR. FLOATING	SP	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☐
PRINCETON FUTURES STRATGEY A	SP	None	CAPITAL LOSS	None	☑
RETIREMENT CREF BOND MARKET		$1,001 - $15,000	Tax-Deferred	None	☐
RETIREMENT CREF EQUITY INDEX		$1,001 - $15,000	Tax-Deferred	None	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
RETIREMENT CREF GROWTH		$1,001 - $15,000	Tax-Deferred	None	☐
RETIREMENT CREF STOCK		$1,001 - $15,000	Tax-Deferred	None	☐
RETIREMENT TIAA TRADITIONAL		$1,001 - $15,000	Tax-Deferred	None	☐
SPDR GOLD SHARES	SP	None	CAPITAL LOSS	None	☑
TD BANK USA	SP	$1,001 - $15,000	Interest	$1 - $200	☐
VANGUARD 500		$250,001 - $500,000	Dividends	$5,001 - $15,000	☐
WELLS FARGO	SP	$250,001 - $500,000	Interest	$1 - $200	☐

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
401K AETNA + CASH Location: US Description: EXCHANGED FROM CONVENTRY STOCK	SP	05/8/2013	E	$50,001 - $100,000	
401K AMERICAN GROWTH FUND Location: US Description: SOLD		03/11/2013	S	$15,001 - $50,000	☐
401K T ROWE PRICE RETIREMENT FUND 2035 Location: US Description: PURCHASE	SP	05/8/2013	P	$15,001 - $50,000	
401K TRP BLUE CHIPS GROWTH Location: US Description: PURCHASE	SP	03/11/2013	P	$15,001 - $50,000	
AETNA + CASH Location: US	SP	05/8/2013	E	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Description: EXCHANGED - CONVENTRY STOCK					
ANGEL OAK MULTI STRAT INCOME	SP	04/16/2013	P	$1,001 - $15,000	
AQR MANAGED FUTURES - INST'L Location: US Description: PURCHASE	SP	06/11/2013	P	$15,001 - $50,000	
ASG MANAGED FUTURES - A Location: US Description: SOLD	SP	06/7/2014	S	$15,001 - $50,000	☐
BBA CORE SELECT - N Location: US Description: PURCHASE	SP	04/16/2013	P	$15,001 - $50,000	
i SHARES BARCLAYS 1-3 YEAR CREDIT Location: US Description: PURCHASED/SOLD		06/7/2013	S	$15,001 - $50,000	☐
i SHARES BARCLAYS 1-3 YEAR CREDIT Location: US Description: PURCHASED/SOLD		04/18/2013	P	$1,001 - $15,000	
INVESCO BALANCED - RISK ALLOCATION - Y Location: US Description: PURCHASE	SP	06/11/2013	P	$15,001 - $50,000	
IRA COHEN & STEERS PREF	SP	06/11/2013	P	$1,001 - $15,000	
IRONCLAD MANAGED RISK	SP	04/16/2013	P	$1,001 - $15,000	
IVY ASSET STRATEGIC INSTL Location: US Description: SOLD	SP	04/12/2013	S	$1,001 - $15,000	☑
LEUTHOLD CORE	SP	06/11/2013	P	$1,001 - $15,000	
PRINCETON FUTURES STRATGEY A Location: US Description: SOLD	SP	06/11/2013	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
SPDR GOLD SHARES	SP	04/12/2013	S	$1,001 - $15,000	☐
SPDR GOLD SHARES Location: US Description: SOLD	SP	04/12/2013	S	$1,001 - $15,000	☐
SPDR GOLD SHARES Location: US Description: SOLD	SP	04/16/2013	S	$15,001 - $50,000	☐

Schedule C: Earned Income

Source	Type	Amount
Coventry Health Care	spouse salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	SOUTH DIVISION CREDIT UNION	NOVEMBER 2007	MORTGAGE ON PERSONAL RESIDENCE	$250,001 - $500,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Comments

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Daniel Lipinski , 05/15/2014