



Filing ID #10015668

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Thomas Suozzi
Status: Member
State/District: NY03

FILING INFORMATION

Filing Type: New Filer Report
Filing Year: 2016
Filing Date: 08/8/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Allianz- Qualified IRA ⇒ AZL DFA Multi Strategy Fund		\$50,001 - \$100,000	Tax-Deferred		
Allianz- Qualified IRA ⇒ Black Rock Global Allocation		\$100,001 - \$250,000	Tax-Deferred		
AXA Investments- IRA Account ⇒ Sector Consumer Staples SPDR ETF XLP	SP	\$1,001 - \$15,000	Tax-Deferred		
AXA Investments- IRA Account ⇒ Sector Financial Select Sector ETF XLF	SP	\$1 - \$1,000	Tax-Deferred		
AXA Investments- IRA Account ⇒ Sector Healthcare Select Sector ETF XLV	SP	\$1,001 - \$15,000	Tax-Deferred		
AXA Investments- IRA Account ⇒ Sector Technology Select Sector SPDR XLKV	SP	\$1,001 - \$15,000	Tax-Deferred		
AXA Investments- IRA Account ⇒ Ventas	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
AXA Life Insurance	SP	\$50,001 - \$100,000	Tax-Deferred		
AXA_ Suozzi Family Trust Life Ins Trust		\$50,001 - \$100,000	Tax-Deferred		
BP Real Estate Opportunity Group LLC		\$15,001 - \$50,000	None		
LOCATION: Sarasota, FL, US					
DESCRIPTION: Real estate Development Project with sole asset being located in Sarasota, Florida					
Charles Schwab Retirement Account ⇒ Alphabet Inc. - Class A (GOOGL)		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ AmerisourceBergen Corporation (ABC)		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU)		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ BlackRock, Inc. (BLK)		\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ CarMax Inc (KMX)		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Cerner Corporation (CERN)		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ CME Group Inc. - Class A (CME)		\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Compass Minerals Intl Inc (CMP)		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Cooper Companies, Inc. (COO)		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Discover Financial Services (DFS)		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Charles Schwab Retirement Account ⇒ eBay Inc. (EBAY)		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Express Scripts Holding Company (ESRX)		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Facebook, Inc. - Class A (FB)		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Lowe's Companies, Inc. (LOW)		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Mastercard Incorporated (MA)		\$50,001 - \$100,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ PayPal Holdings, Inc. (PYPL)		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Schwab Money Market Fund		\$50,001 - \$100,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ The Priceline Group Inc. (PCLN)		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Time Warner Inc. New (TWX)		\$50,001 - \$100,000	Tax-Deferred		
Clarapath Corp		\$15,001 - \$50,000	None		
DESCRIPTION: Investment is a start up company relating to biotech for Pathology. Offices are in Manhattan, New York.					
Damen Warehouse Owners, LLC, 6% Interest		\$100,001 - \$250,000	Rent	None	None
LOCATION: Chicago, IL, US					
DESCRIPTION: Business is a refrigerated warehouse space located in Chicago, Illinois.					
Empirical Risk Management, Inc, 100% Interest	SP	\$1,001 - \$15,000	Ordinary Business Income	\$15,001 - \$50,000	\$15,001 - \$50,000
LOCATION: Glen Cove/Nassau, NY, US					
DESCRIPTION: Entity is an Insurance Brokerage business located in Glen Cove, New York.					
HCDC Holdings LLC		\$50,001 - \$100,000	Ordinary Business Income	\$50,001 - \$100,000	\$50,001 - \$100,000
LOCATION: Glen Cove/Nassau, NY, US					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Minority interest in this entity which operates a day camp located in East Hampton, New York					
HCDC Realty Corp		\$100,001 - \$250,000	None		
LOCATION: Glen Cove/Nassau, NY, US DESCRIPTION: Rental property located in East Hampton, New York as the sole asset					
KEPRS Inv, LLC		\$15,001 - \$50,000	None		
LOCATION: Williamsburgh, NY, US DESCRIPTION: Sole asset is rental property located in Williamsburgh, New York					
Naples Vanderbilt C&M Investors LLC		\$1,001 - \$15,000	Rent	\$1 - \$200	\$1 - \$200
LOCATION: Naples, FL, US					
SGC Commercial II, LLC		\$15,001 - \$50,000	None		
LOCATION: Sarasota, FL, US DESCRIPTION: Real estate development project. Sole asset is in Sarasota, Florida.					
Southampton Country Day Camp LLC		\$1,001 - \$15,000	Ordinary Business Income	None	None
LOCATION: Southampton/Suffolk, NY, US DESCRIPTION: Minority interest in this entity which operates a day camp located in South Hampton, New York					
Southhampton Day Camp Realty LLC		\$50,001 - \$100,000	Rent	\$5,001 - \$15,000	\$5,001 - \$15,000
LOCATION: Southhampton/Suffolk, NY, US DESCRIPTION: Rental property located in South Hampton, New York as the sole asset					
Suozzi Solutions, Inc, 100% Interest		\$15,001 - \$50,000	Ordinary Business Income	\$100,001 - \$1,000,000	\$50,001 - \$100,000
LOCATION: Glen Cove/Nassau, NY, US DESCRIPTION: Entity is a management Advisory Services, consulting business located in Glen Cove, New York. The business ceased operations as of December 31, 2016.					
TIAA-CREF ⇒ CREF Equity Index R2	SP	\$1,001 - \$15,000	Tax-Deferred		
TIAA-CREF ⇒ CREF Inflation-Linked Bond R2	SP	\$15,001 - \$50,000	Tax-Deferred		
TIAA-CREF ⇒ CREF Social Choice R2	SP	\$1,001 - \$15,000	Tax-Deferred		

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Harriss Beach PLLC	Salary	N/A	\$454,467.00
Empirical Risk Management Inc	Insurance Commissions	\$28,800.00	\$28,800.00
Ginsburg & Misk	Consulting Fee-Services rendered		\$50,000.00
Suozzi Solutions	Net of Purchase, renovation and sale of investment property	\$.00	\$45,000.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Chairman	Morgan Park Summer Music Festival

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Harris Beach PLLC (Pittsford, NY, US)	Consulting and Legal Services

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none">o Allianz- Qualified IRAo AXA Investments- IRA Account (Owner: SP)o Charles Schwab Retirement Accounto TIAA-CREF (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Thomas Suozzi , 08/8/2017