



Filing ID #10012620

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Susan A. Davis
Status: Member
State/District: CA53

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2015
Filing Date: 05/27/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-----------------------|----------------|--------------------|-------------------------------------|
| 4113-15 Arbor Vitae San Diego, CA | JT | \$250,001 - \$500,000 | Rent | \$5,001 - \$15,000 | <input type="checkbox"/> |
| LOCATION: San Diego, CA, US | | | | | |
| AEGON N.V. (AEG) | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| CAL-Amer INC Prop IV | JT | \$1,001 - \$15,000 | Capital Gains | \$1,001 - \$2,500 | <input type="checkbox"/> |
| DESCRIPTION: Private Investment | | | | | |
| CalAtlantic Group, Inc. (CAA) | SP | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Charles Schwab Corporation (SCHW) | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) | SP | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| Cohen & Steers Realty Shares Fund | SP | None | Capital Gains | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Cohen & Steers Realty Shares Fund | SP | \$15,001 - \$50,000 | Capital Gains, | \$2,501 - | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|--------------------------|--------------------|-------------------------------------|
| | | | Dividends | \$5,000 | |
| Costco Wholesale Corporation (COST) | JT | \$100,001 - \$250,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| EmersonEquity Money Market | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| EmersonEquity Money Market | JT | \$15,001 - \$50,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| EmersonEquity Money Market | SP | \$15,001 - \$50,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Fidelity Contrafund | SP | \$50,001 - \$100,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Franklin K2 Alternative | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| General Electric Company (GE) | JT | \$15,001 - \$50,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Harding Loevner Emergina Markets Portfolio Advisor | SP | \$50,001 - \$100,000 | None | | <input type="checkbox"/> |
| Icon Energy Fund | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Indexiq ETF TR IQ Hedge Multi-Strategy | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Intercontinental Hotels Group American Depositary Shares (IHG) | JT | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| IShares TR iShares Currency Hedged MSCI EAFE | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| iShares TR iShares Currency Hedged MSCI EAFE ETF | SP | \$50,001 - \$100,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| iShares TR Nasdaq Biotechnology Index | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| iShares TR US Broker-Dealers ETF | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|--------------------------|--------------------|-------------------------------------|
| iShares TR US Oil Equip & SVCS ETF | JT | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Loomis Sayles Bond Fund Retail | SP | \$50,001 - \$100,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Lord Abbett Floating Rate Fund | SP | \$50,001 - \$100,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| Lord Abbett Short Duration Inc Fd | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Lord Abbett Short Duration Income Fd | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Lord Abbett Short Duration Income FD | SP | \$50,001 - \$100,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| Nuveen High Yield Muni Bond Fund | JT | \$50,001 - \$100,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| Nuveen Tradewinds Emerging Markets | | None | None | | <input type="checkbox"/> |
| Oppenheimer Developing Markets | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Oppenheimer Developing Markets | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Pimco Low Duration Fd | SP | \$100,001 - \$250,000 | Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| Powershares Exchange Traded FD | SP | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Powershares Exchange- Traded Fd - TR Dynamic Bldg & Constr Portfolio | SP | \$50,001 - \$100,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Proshares TR RAFI Long/Short FD | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| QUALCOMM Incorporated (QCOM) | SP | \$1,001 - \$15,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Royal Caribbean Cruises Ltd. (RCL) | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-----------------------|--------------------------|---------------------|-------------------------------------|
| Schwab Strategic TR US Tips ETF | SP | \$50,001 - \$100,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Select Sector SPDR TR Financial | JT | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Sharespost 100 Fund Class A | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Southwest Airlines Company (LUV) | JT | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| T Rowe Price Health Sciences | SP | \$100,001 - \$250,000 | Dividends, Interest | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| T Rowe Price Mid Cap Growth | SP | \$50,001 - \$100,000 | None | | <input type="checkbox"/> |
| T Rowe Price Mid Cap Growth | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Templeton Global Bond Fund | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Templeton Global Bond Fund | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Valero Energy Corporation (VLO) | JT | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Vanguard 500 Index Fd | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Vanguard Energy Fund | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Vanguard Short-Term Investment Grade | SP | \$50,001 - \$100,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Vanguard World FDS Vangaurd Information | SP | \$50,001 - \$100,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|----------------------|-------------------------------------|
| AEGON N.V. (AEG) | SP | 11/2/2015 | P | \$1,001 - \$15,000 | |
| Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) | | 03/31/2015 | P | \$15,001 - \$50,000 | |
| Cohen & Steers Realty Shares FD | SP | 10/29/2015 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Cohen & Steers Realty Shares FD | SP | 07/1/2015 | P | \$1,001 - \$15,000 | |
| Cohen & Steers Realty Shares FD | SP | 12/11/2015 | P | \$1,001 - \$15,000 | |
| Fidelity Contrafund | SP | 12/14/2015 | P | \$1,001 - \$15,000 | |
| Franklin K2 Alternative Strategies Fd | SP | 10/29/2015 | P | \$15,001 - \$50,000 | |
| Franklin K2 Alternative Strategies Fd | SP | 10/29/2015 | P | \$1,001 - \$15,000 | |
| General Electric Company (GE) | | 07/21/2015 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| General Electric Company (GE) | JT | 11/2/2015 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| ISHares TR IShares Currency Hedged MSCI EAFE ETF | | 10/28/2015 | P | \$1,001 - \$15,000 | |
| ISHares TR US Oil Equip & Svcs ETF | | 07/16/2015 | S (partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Loomis Sayles Bond Fund | SP | 12/18/2015 | P | \$1,001 - \$15,000 | |
| Pimco Real Return Fd | | 10/29/2015 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| ProShares TR RAFI Long/Short Fd | | 11/2/2015 | P | \$1,001 - \$15,000 | |
| QUALCOMM Incorporated (QCOM) | | 03/31/2015 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Ryland Group, Inc. (RYL) | | 03/31/2015 | P | \$15,001 - \$50,000 | |
| Select Sector SPDR TR Financial | | 07/21/2015 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|----------------------------------|-------|------------|-------------|---------------------|-------------------------------------|
| Select Sector SPDR TR Financial | | 11/2/2015 | P | \$1,001 - \$15,000 | |
| Southwest Airlines Company (LUV) | | 07/21/2015 | P | \$15,001 - \$50,000 | |
| T Rowe Price Health Sciences | SP | 12/16/2015 | P | \$1,001 - \$15,000 | |
| Templeton Global Bond Fd | SP | 10/29/2015 | S (partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Valero Energy Corporation (VLO) | | 07/21/2015 | P | \$15,001 - \$50,000 | |
| Vanguard 500 Index Fd | SP | 07/17/2015 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Vanguard Energy Fund | SP | 12/28/2015 | P | \$1,001 - \$15,000 | |

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|----------------|---------------|-------------------------------------|-----------------------|
| JT | JPMorgan Chase | Jan 2012 | Mortgage on San Diego Residence | \$250,001 - \$500,000 |
| JT | JPMorgan Chase | Jan 2012 | Mortgage on Washington DC Residence | \$250,001 - \$500,000 |

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details | | | | | Inclusions | | |
|---|------------|------------|---|------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| Source | Start Date | End Date | Itinerary | Days at Own Exp. | Lodging? | Food? | Family? |
| Aspen Institute Congressional Program | 05/25/2015 | 05/31/2015 | Washington DC - Montreal Quebec-Canada - Washington DC | 0 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| US Assoc. Former Mem. of Congress/Sasakawa Peace Found. | 02/14/2015 | 02/21/2015 | San Diego, CA - Tokyo, JAPAN - Hiroshima, JAPAN - San Diego, CA | 0 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| World Jewish Congress | 12/19/2015 | 12/24/2015 | Washington, DC - Tel Aviv, Israel - Jerusalem, Israel - San Diego, CA | 2 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Susan A. Davis , 05/27/2016