	CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT For use by Members, officers, and employees	2013 MAY	Sam Farr	(Full Name) (Daytime Telephone)	State: CA	House of Representatives District: 20 Employee	Termination Date:	🗹 )Annual (May 15)   Amendment   Termination
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#### ? PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule V. (more than \$10,000) during the reporting period? Did you, your spouse, or a dependent child have any reportable liability Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth Did any individual or organization make a donation to charity in ileu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule I. . 700 If yes, complete and attach Schedule IV. If yes, complete and attach Schedule II. If yes, complete and attach Schedule III. more than \$1,000 at the end of the period? 8 8 ĕ 8 š S ◩ K ₹ 몽 S 증 중 Š **S** × ≤ Did you hold any reportable positions on or before the date of filing in the VIII. current calendar year? ≦ Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise schedule attached for each "Yes" response. Each question in this part must be answered and the appropriate If yes, complete and attach Schedule IX. Did you, your spouse, or a dependent child receive any reportable travel or reumbursements for travel in the reporting period (worth more than \$350 Did you have any reportable agreement or arrangement with an outside If yes, complete and attach Schedule VIII. If yes, complete and attach Schedule VII. If yes, complete and attach Schedule VI. from one source) 8 8 8 ž HAND ELIVERED M 5 PH 1: 33 o files d against ulty shall se Only) 0 days **₹** 2 중 Š 중 **S** ₹ **S**

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and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes

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Exemptions-

Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child

because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics

Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

### SCHEDULE I - EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. Name Sam Farr Page 2 of 10

Source	Туре	Amount
Partners for Transition LLC	Spouse Salary	N/A
California Public Employees Retirement System/Legislative Retirement	Pension Income	\$19,280

Caterpillar Stock	Boeing Company Stock	Amgen Stock	Airgas Stock	AFLAC Stock	Abbott Labs Stock	ASSet and/or income Source ldentify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use ticker symbols.)  For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.  For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.  For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	SCHEDULE III - ASSETS AND "UNEARNED" INCOME
\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$15,001 - \$50,000	BLOCK B  Year-End  Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."  *This column is for assets held solely by your spouse or dependent child.	R Name Sam Farr
DIVIDENDS	DIVIDENDS/CAP ITAL GAINS	DIVIDENDS	DIVIDENDS	DIVIDENDS	DIVIDENDS	ELOCK C  Type of Income Check all columns that apply. For reffrement accounts that do not allow you to choose specific investments or that generate tax-defeared income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if relavested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	
\$201 - \$1,000	\$2,501 - \$5,000	\$201 - \$1,000	\$201 - \$1,000	\$201 - \$1,000	\$1 - \$200	BLOCK D  Amount of Income For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was samed or generated.  * This column is for income generated by assets held solely by your spouse or dependent child.	
ס	S(part)	P	P			BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.	Page 3 of 10

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SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME Celgene Stock	Name Sam Fam	None	NONE	Page 4 of 10
	Charles Schwab Money Charles Schwab Money Market	\$1,001 -	None	NONE	
	Fund (held in IRA)				-
	Charles Schwab Money Market Account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	Chevy Chase Land Company	\$15,001 - \$50,000	DIVIDENDS	\$15,001 - \$50,000	
	Colgate Palmolive Stock	\$50,001 - \$100,000	DIVIDENDS/CAP ITAL GAINS	\$2,501 - \$5,000	S(part)
	Congressional Federal Credit Union (IRA account)	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	Congressional Federal Credit Union (Savings and Checking Accounts)	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	Devil's Flat Association	\$15,001 - \$50,000	None	NONE	
	Du Pont Stock	None	DIVIDENDS/CAP ITAL GAINS	\$5,001 - \$15,000	S
	Ecolab Stock	\$1,001 - \$15,000	SGNADIAIG	\$1 - \$200	Р
	General Electric Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Genuine Parts Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Hewlett-Packard Stock	None	DIVIDENDS/CAP	\$5,001 - \$15,000	S
	Honeywell Internat'l Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	,

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CHEDOLC	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	*\$50,001 - \$100,000	DIVIDENDS/CAP	\$2,501 - \$5,000	Page 5 of 10 S(part)
=	Intel Corp. Stock	None	DIVIDENDS/CAP ITAL GAINS	\$15,001 - \$50,000	S
- (c) =	Interest - CA State Retirement System (includes CA Legislative Retirement)	\$15,001 - \$50,000	INTEREST	\$2,501 - \$5,000	
085	Lots 7,9, 10 (SE corner of Santa Lucia & Camino Real), Carmel, CA	\$500,001 - \$1,000,000	None	NONE	
()	MS Global Strategist Fund (held in Schwab IRA)	\$1,001 - \$15,000	DIVIDENDS/CAP	\$201 - \$1,000	S(part)
<b>2</b> Ti	Point 16, Big Sur, CA LLC Membership Interest	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
(0	Sharlands Investment Company	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
= 0	Sorensen's Resort Partnership Interest	\$15,001 - \$50,000	Other: Partnership distribution	\$5,001 - \$15,000	
	Tahoe Cabin	\$15,001 - \$50,000	RENT	\$1,001 - \$2,500	
	Union Pacific Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
<b>-</b>	Vanguard Index 500 Fund (held in Schwab IRA)	\$15,001 - \$50,000	DIVIDENDS (reinvested)	\$201 - \$1,000	
0 = <	Vanguard Intermediate Term Invest Grade Fund (held in Schwab IRA)	\$1,001 - \$15,000	DIVIDENDS (reinvested)	\$201 - \$1,000	
~	Vanguard Total Stk Mkt Fund (held in Schwab IRA)	\$1,001 - \$15,000	DIVIDENDS (reinvested)	\$1 - \$200	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

	Name sam ram			Page of 10
Vista Lobos Apartments (Interest held throught the Farr	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
Children's Trust and the Delores Farr Trust. Filer is 50% beneficiary.)				
Walgreens Stock	None	DIVIDENDS/CAP ITAL GAINS	\$5,001 - \$15,000	တ
Wal-Mart Stores Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Wells Fargo Bank Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

#### SCHEDULE IV - TRANSACTIONS

Name Sam Farr Page 7 of 10

is sold, please so indicate (i.e., "partial sale"). See example below. between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
,	Airgas Stock	þ	N/A	2-7-12	\$1,001 - \$15,000
	Amgen Stock	ים-	N/A	12-27-12	\$1,001 - \$15,000
	Boeing Stock	S(part)	Yes	2-7-12	\$1,001 - \$15,000
	Caterpillar Stock	ס	N/A	2-7-12	\$1,001 - \$15,000
	Celgene Stock	ק	N/A	2-7-12	\$1,001 - \$15,000
	Colgate-Palmolive Stock	S(part)	Yes	2-7-12	\$1,001 - \$15,000
	DuPont Stock	S	Yes	12-27-12	\$1,001 - \$15,000
	Ecolab Stock	ק	NA	12-27-12	\$1,001 - \$15,000
	Hewlett-Packard Stock	S	Yes	2-7-12	\$1,001 - \$15,000
	Honeywell Internat'l Stock	P	NA	12-27-12	\$1,001 - \$15,000
	IBM Stock	S(part)	Yes	12-27-12	\$1,001 - \$15,000

#### **SCHEDULE IV - TRANSACTIONS**

Name Sam Farr Page 8 of 10

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions is sold, please so indicate (i.e., "partial sale"). See example below. between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset

Capital Gains — if a sales transaction resulted in a capital galn in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.
\* This column is for assets solely held by your spouse or dependent child.

SP,	Asset	Type of	Capital Gain in Excess of \$2007	Date	
	Intel Stock	S	Yes		12-27-12
	MS Global Strategist Fund (held in Schwab IRA)	S(part)	Yes		8-8-12
	Walgreens Stock	S	Yes	,	2-10-12

#### SCHEDULE V - LIABILITIES

for liabilities held solely by your spouse or dependent child.

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: liabilities of a business in which you own an interest (unless you are personally liable); and liabilities cwed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. \*This column is Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances;

Name Sam Farr

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\$250,001 - \$500,000	Mortgage on Residence, Carmel, CA	Dec. 2012	Wells Fargo Bank	JΤ
Amount of Liability	Type of Liability	Date Liability Incurred	Creditor	SP, DC, JT

## SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Sam Farr Page 10 of 10

sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foderal Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the spouse or dependent child that is totally independent of his or her relationship to you. Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you,

Source	Date(s)	Point of Departure– Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Lodging? Food? Member Included? (Y/N) (Y/N) (Y/N)	Days not at sponsor's expense
The Aspen Institute Congressional Program	Feb. 18-21	Feb. 18-21   Monterey-San Diego- Monterey	Υ	<b>~</b>	Υ	None
The Aspen Institute Congressional Program	Mar. 30- Apr. 5	Monterey-Sao Paulo, Brazil- Monterey	⊀.	<b>.</b>	Υ	None