## BOB GOODLATTE 6TH DISTRICT, VIRGINIA

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CHAIRMAN, HOUSE REPUBLICAN TECHNOLOGY WORKING GROUP

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## Congress of the United States House of Representatives

COMMITTEE ON THE JUDICIARY CHAIRMAN

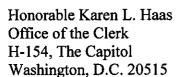
COMMITTEE ON AGRICULTURE

SUBCOMMITTEE ON LIVESTOCK, RURAL DEVELOPMENT, AND CREDIT

SUBCOMMITTEE ON DEPARTMENT OPERATIONS, OVERSIGHT, AND NUTRITION

**DEPUTY WHIP** 

July 8, 2013



Dear Madam Clerk,

As requested, I am writing to provide you with an amendment to my 2013 Financial Disclosure Statement. The required information is listed below as well as reflected in the attached amended report.

Schedule III: Hartford Cap Appreciation stocks have been listed as sold. (p. 4)

"P" and "S" have been added to the purchases and sales reflected on

Schedule IV.

Schedule IV: RGC Resources Stock reported on the Periodic Transaction Report have

been listed as purchased (11-01-2012 and 12-17-2012). (p. 7)

If you need additional information, please let me know.

Sincerely

Bob Goodlatte

Member of Congress

Yes No V	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	sets, "unearned" in Do not answer "y	Exemptions Have you excluded from this report any other as because they meet all three tests for exemption?	
Yes No 🗸	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	ved by the Commit etails of such a tru	Trusts— Details regarding "Qualified Blind Trusts" approdisclosed. Have you excluded from this report of	
Yes 🗌 No 🗸	ial Public Offering?	as a part of an Init	IPO.— Did you purchase any shares that were allocated as a part of an initial Public Offering?	
QUESTIONS	NFORMATION ANSWER EACH OF THESE	OR TRUST I	IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION ANSWER EACH OF THESE QUESTIONS	IPO
the appropriate	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	Yes No		Υ.
	If yes, complete and attach Schedule IX.		If yes, complete and attach Schedule IV.	
Yes No C	Did you have any reportable agreement or arrangement with an outside IX. entity?	Yes V No	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	₹.
Yes No	Did you hold any reportable positions on or before the date of filing in the VIII. current calendar year?  If yes, complete and attach Schedule VIII.	Yes No	Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	<b>=</b>
		3 3 3		
<b>∀</b>	Did you, your spouse, or a dependent child receive any reportable travel or VII. reimbursements for travel in the reporting period (worth more than \$350		Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	=
	f yes, complete and attach Schedule VI.		If yes, complete and attach Schedule I.	
In See Yes □ No ☑	Did you, your spouse, or a dependent child receive any reportable gift in VI. the reporting period (i.e., aggregating more than \$350 and not otherwise	Yes 🗸 No 🗌	Did you or your spouse have "earned" Income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	.1
	QUESTIONS		PRELIMINARY INFORMATION ANSWER EACH OF THESE	PR
more than 30 days late.	Termination Date:	☐ Termination	Report ☐ Annual (May 15) ☑ Amendment	ري
A \$200 penalty shall be assessed against anyone who files	Officer Or Employing Office:  Employee  be		Filer  Member of the U.S.  State: VA  House of Representatives District: 06	<b>%</b> –
(Office Use Only)			(Full Name)	
2 13 115 -9 ANIO: Sa	2		Robert William Goodlatte	,
			•	
HAND	FORM A Page 1 of 8 For use by Members, officers, and employees	TATIVES MENT	UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT	ડ્ર ⊑
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## SCHEDULE I - EARNED INCOME

Name Robert William Goodlatte

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding

\$1,000.		
Source	Туре	Amount
Glenn, Feldmann, Darby and Goodlatte, P.C., Roanoke, VA	Spouse Salary	N/A
RGC Resources	Spouse Directors Comp	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME	BLOCK A	Asset and/or income Source ldentity (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.	Provide complete names of stocks and mutual funds (do not use ticker symbols.)  For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.	For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.	For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.	Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.	If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.	For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	SP American Cap Inc BLDR IRA	JT American Fund Tax Exempt Bonds	JT Bank of America, Roanoke, VA-	Selinar	SP BIF Money Fund 401(k), formerly Bankers Trust Market	
NEARNED" INCOM		@ n of income with a fair market id, and (b) any other more than \$200 in	lo not use ticker symbols.) plans) provide the value for 1g thresholds.	ovide a complete address or e.	hat is not publicly traded, es, and its geographic	omes and vacation homes priod); any deposits totaling ts; and any financial interest in, including the Thrift	ome source is that of your with your spouse (JT), in the	please refer to the	R IRA	empt	oke, VA-	), Market		
Name	вгоск в	Year-End Value of Asset Indicate value of asset at close of reporting year. If you use a valuation	method other than fair market value, please specify the method used.	If an asset was sold during the reporting year and is included only because it	value should be "None."  * This column is for assets held solely by your snouse	or dependent child.			\$15,001 - \$50,000	\$50,001 - \$100,000	\$1,001 - \$15,000	\$50,001 - \$100,000	\$15,001 - \$50,000	
Robert William Goodlatte	BLOCK C	Type of income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income	(such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if	as income. Check "None" if the asset generated no income during the reporting period	0				DIVIDENDS	DIVIDENDS	INTEREST	DIVIDENDS	DIVIDENDS	
	BLOCK D	Amount of Income For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking	the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check	or generated.  This column is for income	generated by assets held solely by your spouse or dependent child.				\$1,001 - \$2,500	\$1,001 - \$2,500	\$1 - \$200	\$1 - \$200	\$1 - \$200	
Page 3 of 8	BLOCK E	Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in	reporting year.											

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name	Robert William Goodlatte		Page 4 of 8
SP	Bond Fund of America 401k	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
Sp	Calamos Convertible FD 401k	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
JT	Davenport Prime Cash Trust	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
	Davenport Prime Cash Trust IRA	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	Davis NY Venture A 401k	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
SP	Delaware Value Fund Class A 401k	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	סד
SP	DNP Select Income - 401k	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	
SP	Eaton Vance Ltd Duration 401k	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
TĽ	First Citizens Bank, Roanoke, VA-Savings/Checking	\$100,001 - \$250,000	INTEREST	\$1 - \$200	
SP	First Eagle Global Fund 401k	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
SP	Glenn Feldmann Darby and Goodlatte	\$15,001 - \$50,000	None	NONE	
JT	Greenwood Trust Co. DE	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	Hartford Cap Appreciation C	None	None	NONE	S
SP	Hartford Cap. Apprec. Fd 401k	None	None	NONE	Ø

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SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	E Name Robert William Goodlatte	illiam Goodlatte		Page 5 of 8
	Investment Co of America IRA	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Janus Fund IRA	\$50,001 - \$100,000	None	NONE	
SP	Loomis Sayles Investment Grade Bond C 401k	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	
SP	Loomis Sayles Strategic Income Fd 401k	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	
	Mass Mutual Life - Life Insurance	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Merrill Lynch Retr Res IRA	\$1 - \$1,000	INTEREST	\$1 - \$200	
JT	MFS Virginia Muni Bond Fund	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
SP	New Persp Fund 401k	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
SP	Nicholas Fund IRA	\$1,001 - \$15,000	None	NONE	
SP	Pioneer Global High Yld 401k	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	
SP	RGC Resources	\$100,001 - \$250,000	DIVIDENDS	\$15,001 - \$50,000	סי
SP	Seligman Communications 401k	\$50,001 - \$100,000	INTEREST	NONE	
	SunTrust Bank, IRA, Roanoke, VA	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
SP	SunTrust Bank, IRA, Roanoke, VA	\$1,001 - \$15,000	INTEREST	\$1 - \$200	

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Robert William Goodlatte	Villiam Goodlatte		Page 6 of 8
JT .	T Rowe Price Int Stock Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	T Rowe Price S&P Fund	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
	Templeton Foreign Fund IRA	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Templeton Global Bond FDC A 401k	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	ס
	Valley Bank Roanoke CD	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
	Valley Bank Roanoke, VA - CD	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
JT	Valley Bank, Roanoke, VA - Checking	\$50,001 - \$100,000	INTEREST	\$1 - \$200	
JT	Virginia CMWLTH Univ HLTH SYS AUTH REV GENL B/E PTC	\$50,001 - \$100,000	None	\$1,001 - \$2,500	v
SP	Wells Fargo Adv Emerging Markets Equity Fd Cl A 401k	\$50,001 - \$100,000	DIVIDENDS	\$1 - \$200	v

## SCHEDULE IV - TRANSACTIONS

Name Robert William Goodlatte Page 7 of 8

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions is sold, please so indicate (i.e., "partial sale"). See example below. between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Delaware Value Fund Class A 401k	Р	N/A	08-01-2012	\$50,001 - \$100,000
SP	Hartford Cap Apprec. Fd 401k	S	Yes	08-01-2012	\$50,001 - \$100,000
SP	Hartford Cap Appreciation C	S	Yes	08-01-2012	\$201-\$1,000
SP	Pimco Total Return FD 401k	S	Yes	08-01-2012	\$50,001 - \$100,000
JT	RGC Resources Stock	ס	N/A	11-01-2012	\$1,001 - \$15,000
SP	RGC Resources Stock	P	N/A	12-17-2012	\$1,001 - \$15,000
SP	Templeton Global Bond FDC A 401k	P	N/A	08-01-2012	\$50,001 - \$100,000
T	Virginia CMWLTH Univ HLTH SYS AUTH REV GENL B/E PTC	ס	N/A	01-03-2012	\$50,001 - \$100,000
SР	Wells Fargo Adv Emerging Markets Equity Fd CI A 401k	Ρ	N/A	08-01-2012	\$50,001 - \$100,000

# **SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

spouse or dependent child that is totally independent of his or her relationship to you.

the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a

sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the Name Robert William Goodlatte Page 8 of 8

None	2	Υ	~	Jan. 25-27 DC-Philadelphia-DC	Jan. 25-27	The Heritage Foundation
Days not at sponsor's expense	Was a Family 1g? Food? Member Included? sponsor's (Y/N) (Y/N) expense	Food? (Y/N)	Lodging? (Y/N)	Point of Departure DestinationPoint of Return	Date(s)	Source