

# UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT

FORM B

For use by candidates and new employees

Period covered: January 1, 2014 - MAY 15, 2014

**MAY 12 2014** Page 1 of 5  
LEGISLATIVE RESOURCE CENTER  
2014 MAY 21 PM 1:56

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

Name: DONNA MERCADO KIM Daytime Telephone: \_\_\_\_\_

  
(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Candidate for the House of Representatives	State: <u>HAWAII</u> District: <u>FIRST</u>	Date of Election: <u>8/9/2014</u>	Check if Amendment <input type="checkbox"/>	A \$200 penalty shall be assessed against any individual who files more than 30 days late.
	<input type="checkbox"/> New officer or employee	Employing Office: _____			

In all sections, please type or print clearly in blue or black ink.

## PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS — Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION — Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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**Exclude:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

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# SCHEDULE III - LIABILITIES

Name **DONNA MERCADO KIM**

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Report liabilities of over \$10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. **Exclude:** Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred mo/year	Type of Liability	Amount of Liability										
				A \$10,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Spouse/DC Liability over \$1,000,000
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main Street, Dover, DE				X							
	BANK OF HAWAII HONOLULU, HI	1979	MORTGAGE ON 1528 ONIWA ST. HONOLULU, HI		X									

# SCHEDULE IV - POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

Position	Name of Organization

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

[illegible]**SCHEDULE VI – COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. **Exclude:** Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. **Do not repeat information listed on Schedule I.**

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## Morgan Stanley

CLIENT STATEMENT | For the Period April 1-30, 2014

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## Account Detail

Choice Select Active Assets Account  
129-162917-097DONNA ANN KIM TTEE FOR THE  
DONNA ANN KIM TRUST DTD 03/21/90

## CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
CASH	\$30.40			
MORGAN STANLEY PRIVATE BANK NA #	10,493.04	1.00	—	0.010
CASH, BDP, AND MMFS	10.1%	Market Value \$10,523.44		Estimated Annual Income Accrued Interest \$1.00 \$0.00

# Bank Deposits are held at either: (1) Morgan Stanley Bank, N.A., and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC, or (2) Citibank, N.A., each a national bank and FDIC member.

## STOCKS

## COMMON STOCKS

Morgan Stanley & Co. LLC (Morgan Stanley) and Standard & Poor's equity research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the applicable research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. For ease of comparison, Morgan Stanley and Standard & Poor's equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell). Please refer to the June or December statement (or your first statement, if you have not yet received one in either of those months) for a summary guide describing Morgan Stanley and Standard & Poor's ratings. Morgan Stanley Smith Barney LLC does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of research prepared for Standard & Poor's.

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ABBOTT LABORATORIES (ABT)	1/24/14	115,000	\$37.396	\$4,300.56	\$4,455.10	\$154.54 ST	\$101.00	2.26
Share Price: \$38.740; Rating: Morgan Stanley: 1, S&P: 2; Next Dividend Payable 05/15/14								
ACE LTD (ACE)	2/5/13	40,000	87.166	3,486.62	4,092.80	606.18 LT	101.00	2.46
Share Price: \$102.320; Rating: Morgan Stanley: 1, S&P: 1; Next Dividend Payable 07/20/14								
AMERICAN TOWER REIT COM (AMT)	2/22/13	25,000	75.744	1,893.59	2,088.00	194.41 LT		
5/23/13	25,000	82.965	2,074.12	2,088.00	13.88 ST			
Total		50,000		3,967.71	4,176.00	194.41 LT 13.88 ST	64.00	1.53
Share Price: \$83.520; Rating: Morgan Stanley: 1, S&P: 1; Next Dividend Payable 07/20/14								
APPLE INC (AAPL)	4/24/07	15,000	93.670	1,405.05	8,851.35	7,446.30 LT	197.00	2.22
Share Price: \$590.090; Rating: Morgan Stanley: 1, S&P: 1; Next Dividend Payable 05/20/14								
BAXTER INTL INC (BAX)	11/1/05	60,000	36.580	2,194.80	4,367.40	2,172.60 LT	118.00	2.70
Share Price: \$72.790; Rating: Morgan Stanley: 2, S&P: 1; Next Dividend Payable 07/20/14								
CHEVRON CORP (CVX)	9/24/13	35,000	126.812	4,438.42	4,393.20	(45.22) ST	140.00	3.18
Share Price: \$125.520; Rating: Morgan Stanley: 1, S&P: 1; Next Dividend Payable 06/20/14								
GOOGLE INC CL C (GOOG)	7/24/13	3,000	460.757	1,382.27	1,579.98	197.71 ST	—	—
Share Price: \$526.660; Rating: Morgan Stanley: 1								

## Account Detail

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129-162917-097DONNA ANN KIM TTEE FOR THE  
DONNA ANN KIM TRUST DTD 03/21/90

## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<b>GOOGLE INC-CL A (GOOGL)</b>								
Share Price: \$534.880; Rating: S&P: 1	7/24/13	3.000	462.230	1,386.69	1,604.64	217.95 ST	---	---
<b>JOHNSON &amp; JOHNSON (JNJ)</b>								
Share Price: \$101.290; Rating: Morgan Stanley: 2, S&P: 1; Next Dividend Payable 06/20/14	7/21/98	50.000	39.104	1,955.21	5,064.50	3,109.29 LT	140.00	2.76
<b>JPMORGAN CHASE &amp; CO (JPM)</b>								
Share Price: \$55.980; Rating: Morgan Stanley: 1, S&P: 1; Next Dividend Payable 07/20/14	11/5/07	30.000	43.359	1,300.76	1,679.40	378.64 LT	---	---
	7/13/12	25.000	36.585	914.63	1,399.50	484.87 LT	---	---
	8/14/12	25.000	38.190	954.74	1,399.50	444.76 LT	---	---
<b>Total</b>		80.000		3,170.13	4,478.40	1,308.27 LT	128.00	2.85
<b>KANSAS CY SOUTH INDIAN NEW (KSU)</b>								
Share Price: \$100.880; Rating: Morgan Stanley: 1, S&P: 2; Next Dividend Payable 07/20/14	12/9/13	40.000	121.839	4,873.54	4,035.20	(838.34) ST	45.00	1.11
<b>L BRANDS INC COM (LUB)</b>								
Share Price: \$54.200; Rating: Morgan Stanley: 1, S&P: 2; Next Dividend Payable 06/20/14	11/5/13	70.000	63.976	4,478.29	3,794.00	(684.29) ST	95.00	2.50
<b>MARSH &amp; MCLENNAN COS INC (MMC)</b>								
Share Price: \$49.310; Rating: Morgan Stanley: 1, S&P: 2; Next Dividend Payable 05/15/14	8/14/12	95.000	34.683	3,294.90	4,684.45	1,389.55 LT	95.00	2.02
<b>MASTERCARD INC CL A (MA)</b>								
Share Price: \$73.550; Rating: Morgan Stanley: 1, S&P: 2; Next Dividend Payable 05/09/14	9/26/12	35.000	45.769	1,601.93	2,574.25	972.32 LT	---	---
	12/9/13	20.000	77.613	1,552.25	1,471.00	(81.25) ST	24.00	0.59
<b>Total</b>		55.000		3,154.18	4,045.25	972.32 LT	---	---
<b>MICROSOFT CORP (MSFT)</b>								
Share Price: \$40.400; Rating: Morgan Stanley: 2, S&P: 2; Next Dividend Payable 06/20/14	---	60.000	---	---	---	N/A	67.00	2.76
<b>PCW LTD HKD ORD (PCWLT)</b>								
Share Price: \$0.522; Rating: Morgan Stanley: 2	2/15/00	200.000	18.165	3,632.99	104.40	(3,528.59) LT	---	---
<b>PEPSICO INC NC (PEP)</b>								
Share Price: \$85.890; Rating: Morgan Stanley: 1, S&P: 2; Next Dividend Payable 06/20/14	11/1/05	35.000	58.810	2,058.35	3,006.15	947.80 LT	---	---
	9/27/11	14.000	64.106	897.48	1,202.46	304.98 LT	---	---
<b>Total</b>		49.000		2,955.83	4,208.61	1,252.78 LT	111.00	2.63
<b>PHILIP MORRIS INTL INC (PM)</b>								
Share Price: \$85.430; Rating: Morgan Stanley: 1, S&P: 2; Next Dividend Payable 07/20/14	11/1/05	15.000	39.691	595.37	1,281.45	686.08 LT	---	---
	4/24/07	10.000	48.576	485.76	854.30	368.54 LT	---	---
	4/1/08	15.000	52.258	783.87	1,281.45	497.58 LT	---	---
	3/19/14	15.000	80.910	1,213.65	1,281.45	67.80 ST	---	---
<b>Total</b>		55.000		3,078.65	4,698.65	1,552.20 LT	207.00	4.40

Share Price: \$85.430; Rating: Morgan Stanley: 1, S&amp;P: 2; Next Dividend Payable 07/20/14



# Morgan Stanley

CLIENT STATEMENT | For the Period April 1-30, 2014

## Account Detail

Choice Select Active Assets Account  
129-162917-097

DONNA ANN KIM TTEE FOR THE  
DONNA ANN KIM TRUST DTD 03/21/90

## STOCKS

### COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
SCHLUMBERGER LTD (SLB)	12/4/12	25,000	72.580	1,814.51	2,538.75	724.24 LT		
	8/15/13	25,000	83.240	2,080.99	2,538.75	457.76 ST		
	Total	50,000		3,895.50	5,077.50	724.24 LT 457.76 ST	80.00	1.57

Share Price: \$101.550; Rating: Morgan Stanley: 1, S&P: 1; Next Dividend Payable 07/2014

SEMPRA ENERGY (SRE)	7/13/12	22,000	70.078	1,541.72	2,169.42	627.70 LT		
	12/4/12	25,000	69.698	1,742.46	2,465.25	722.79 LT		
	Total	47,000		3,284.18	4,634.67	1,350.49 LT	124.00	2.67

Share Price: \$98.610; Rating: Morgan Stanley: 1, S&P: 2; Next Dividend Payable 07/2014

THERMO FISHER SCIENTIFIC (TMO)	7/27/12	35,000	56.459	1,976.07	3,990.00	2,013.93 LT	21.00	0.52
	Share Price: \$114.000; Rating: S&P: 1; Next Dividend Payable 07/2014							
	3/19/14	65,000	68.074	4,424.84	4,319.90	(104.94) ST	83.00	1.92

Share Price: \$66.460; Rating: Morgan Stanley: 1, S&P: 1; Next Dividend Payable 06/2014

UNITED TECHNOLOGIES CORP (UTX)	6/26/07	35,000	71.090	2,488.15	4,141.55	1,653.40 LT	83.00	2.00
	Share Price: \$118.330; Rating: Morgan Stanley: 1, S&P: 2; Next Dividend Payable 06/2014							

STOCKS	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
	89.9%	\$69,224.58	\$93,221.55	\$22,217.37 LT \$(644.40) ST	\$2,024.00 \$0.00	2.17%

TOTAL MARKET VALUE	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
	100.0%	\$69,224.58	\$103,744.99	\$22,217.37 LT \$(644.40) ST	\$2,025.00 \$0.00	1.95%

TOTAL VALUE (includes accrued interest) \$103,744.99

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

## ACTIVITY

### CASH FLOW ACTIVITY BY DATE

Transaction Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/Debits
4/1	Qualified Dividend	BAXTER INTL INC				\$29.40
4/2	Qualified Dividend	KANSAS CT SOUTH IN IND NEW				11.20



## Morgan Stanley

CLIENT STATEMENT | For the Period April 1-30, 2014

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## Account Detail

Active Assets Account  
129-072495-097 MICAH P K AIU &  
DONNA ANN KIM JT TEN

## STOCKS

## COMMON STOCKS

Morgan Stanley & Co. LLC (Morgan Stanley) and Standard & Poor's equity research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the applicable research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. For ease of comparison, Morgan Stanley and Standard & Poor's equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell). Please refer to the June or December statement for your first statement, if you have not yet received one in either of those months, for a summary guide describing Morgan Stanley and Standard & Poor's ratings. Morgan Stanley Smith Barney LLC does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of research prepared for Standard & Poor's.

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
APPLE INC (AAPL)	12/6/05	18,000	\$74,000	\$1,332.00	\$10,621.61	\$9,289.61 LT		
	2/6/06	12,000	66,980	803.76	7,081.07	6,277.31 LT		
Purchases		30,000		2,135.76	17,702.68	15,566.92 LT		
		0.444		239.55	261.99	22.44 LT		
Long Term Reinvestments		0.759		375.06	447.87	72.81 ST		
Short Term Reinvestments								
Total		31,203		2,750.37	18,412.57	15,589.36 LT 72.81 ST	411.00	2.23

Share Price: \$590.090; Rating: Morgan Stanley: 1, S&amp;P: 1; Next Dividend Payable 05/2014

CENTURYLINK INC (CTL)

Share Price: \$34.910; Rating: Morgan Stanley: 1, S&amp;P: 1; Next Dividend Payable 06/2014

LIFEVANTAGE CORP (LFVN)

Share Price: \$1.380

MICROSOFT CORP (MSFT)

Long Term Reinvestments

Short Term Reinvestments

	11/8/99	50,000	43,583	2,179.13	2,019.99	(159.14) LT		
Purchases		50,000		2,179.13	2,019.99	(159.14) LT		
		2,244		64.17	90.65	26.48 LT		
		1,496		53.88	60.43	6.55 ST		
Total		53,740		2,297.18	2,171.09	(132.66) LT 6.55 ST	60.00	2.76

Share Price: \$40,400; Rating: Morgan Stanley: 2, S&amp;P: 2; Next Dividend Payable 06/2014

STOCKS		Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
		99.2%	\$8,909.47	\$21,657.67	\$12,668.79 LT \$79.36 ST	\$495.00 \$0.00	2.29%

TOTAL MARKET VALUE	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
	100.0%	\$8,909.47	\$21,841.06	\$12,668.79 LT \$79.36 ST	\$495.00 \$0.00	2.27%

## TOTAL VALUE (includes accrued interest)

\$21,841.06

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.