UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2013 AUG 14 PM 4:43

MC

SPENCER T. BACHUS, III
(Full Name)

(Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representative — State: AL, District: 06	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	☐	☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	☐	☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	☑	☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	☑	☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	☑	☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	☑	☐
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $350 from one source)? If yes, complete and attach Schedule VII.	☑	☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	☐	☑
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	☐	☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
IPO--	Did you purchase any shares that were allocated as a part of an Initial Public Offering?	☐	☑
Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	☐	☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	☐	☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name SPENCER T. BACHUS, III

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	BLOCK B **Year-End Value of Asset** Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None." * This column is for assets held solely by your spouse or dependent child.	BLOCK C **Type of Income** Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D **Amount of Income** For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. * This column is for income generated by assets held solely by your spouse or dependent child.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	WESTERN ASSET MM	$1,001 - $15,000	INTEREST	$1 - $200	S(part)
SP	PACIFIC LIFE ANNUITY	$250,001 - $500,000	INTEREST/TAX-DEFERRED	$50,001 - $100,000	
SP	SOUTHWOOD PROPERTIES BHAM,AL	$100,001 - $250,000	RENT	$5,001 - $15,000	
SP	AM CENTURY GROWTH (IRA)	$50,001 - $100,000	TAX-DEFERRED	NONE	P
SP	AM CENTURY INT'L BOND (IRA)	$1,001 - $15,000	TAX-DEFERRED	NONE	
SP	ARTISIAN MIDCAP VALUE INV (IRA)	$1,001 - $15,000	TAX-DEFERRED	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name SPENCER T. BACHUS, III

SP	BARON GROWTH RETAIL FD (IRA)	$1,001 - $15,000	TAX-DEFERRED	NONE	P
SP	BLACKROCK INFLAT PROT BOND A (IRA)	$1,001 - $15,000	TAX-DEFERRED	NONE	P
SP	DREYFUS EMERGING MKTS (IRA)	$15,001 - $50,000	TAX-DEFERRED	NONE	
SP	E V INCOME FUND OF BOSTON A (IRA)	$15,001 - $50,000	TAX-DEFERRED	NONE	P
SP	GLENMEDE PHILADELPHIA INTL (IRA)	$15,001 - $50,000	TAX-DEFERRED	NONE	P
SP	IVY MID CAP GROWTH A (IRA)	$1,001 - $15,000	TAX-DEFERRED	NONE	P
SP	LOOMIS SAYLES SM CAP VAL INST (IRA)	$1,001 - $15,000	TAX-DEFERRED	NONE	
SP	PIMCO EMERGING MKTS BOND A (IRA)	$15,001 - $50,000	TAX-DEFERRED	NONE	P
SP	PIMCO TOTAL RETURN D (IRA)	$1,001 - $15,000	TAX-DEFERRED	NONE	P
SP	T ROWE PRICE EQI-INC ADV (IRA)	$15,001 - $50,000	TAX-DEFERRED	NONE	

SCHEDULE IV - TRANSACTIONS

Name SPENCER T. BACHUS, III

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.
*** This column is for assets solely held by your spouse or dependent child.**

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	REGIONS BANK	S(part)	No	12-01-12	$1,001 - $15,000
SP	AM CENTURY GROWTH (IRA)	P	N/A	11-09-12	$1,001 - $15,000
SP	ARTISIAN MIDCAP VALUE INV (IRA)	P	N/A	06-08-12	$1,001 - $15,000
SP	BLACKROCK INFLAT PROT BOND A (IRA)	P	N/A	08-24-12	$1,001 - $15,000
SP	E V INCOME FUND OF BOSTON A (IRA)	P	N/A	08-24-12	$15,001 - $50,000
SP	GLENMEDE PHILADELPHIA INTL (IRA)	P	N/A	08-24-12	$15,001 - $50,000
SP	IVY MID CAP GROWTH A (IRA)	P	N/A	06-08-12	$1,001 - $15,000
SP	PIMCO EMERGING MKTS BOND A (IRA)	P	N/A	06-08-12	$15,001 - $50,000
SP	PIMCO TOTAL RETURN D (IRA)	P	N/A	06-08-12	$1,001 - $15,000
SP	BARON GROWTH RETAIL FD (IRA)	P	N/A	06-08-12	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name SPENCER T. BACHUS, III

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	JP MORGAN CHASE BK	APR, 2012	MORTGAGE- 337 MARYLAND AVE. N.E. WASHINGTON, DC	$250,001 - $500,000
	COMPASS BANK , BIRMINGHAM,AL	MAY, 2008	PERSONAL LOAN	$100,001 - $250,000
	JP MORGAN CHASE BK	SEPT, 2002	MORTGAGE - 2110 MAGNOLIA WAY, BHAM,AL	$15,001 - $50,000
	WELLS FARGO BK	JAN, 2012	REVOLVING CHARGE ACCT	$15,001 - $50,000
	CAPITAL ONE FINANCIAL	JUL,2012	REVOLVING CHARGE ACCT	$10,001 - $15,000

SCHEDULE VI - GIFTS

Name SPENCER T. BACHUS, III

Report the source, a brief description, and the value of all gifts totaling more than $350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $134 or less need not be added towards the $350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
SEE ATTACHED SCHEDULE	SEE ATTACHED SCHEDULE	

Spencer Bachus Legal Expense Trust-Contributions over $350 Received in 2012

Spencer Bachus Legal Expense Trust Receipts, Quarter 2, 2012, as amended and filed with US House Committee on Ethics and the Legislative Resource Center on 12/20/12:

Organization Name	Amount
Alabama Hospital Association	$5,000.00
Alabama Retailers Association	$5,000.00
Community Bankers Association of Alabama	$5,000.00
Poarch Band of Creek Indians	$5,000.00
Alfa Mutual Insurance Company	$5,000.00
Blue Cross Blue Shield of Alabama	$5,000.00
Bryant Bank	$2,500.00
Citizens Bancorp of Winfield, Inc.	$2,500.00
Drummond Company, Inc.	$5,000.00
Ellis-Trick Multifamily, Inc.	$1,000.00
First Southern Services, Inc.	$5,000.00
McWane, Inc.	$5,000.00
Mortgage America, Inc.	$1,500.00
National Cement Co. of Alabama, Inc.	$5,000.00
National Commerce Corporation	$5,000.00
Protective Life Corporation	$5,000.00
Tameron Automotive Group, Inc.	$5,000.00
Thompson Tractor Co., Inc.	$5,000.00
United States Steel Corporation	$2,000.00
Vulcan Materials Company	$5,000.00
Alabama Power Co. Employees Fed PAC	$5,000.00
Automobile Dealers Assoc. of Alabama PAC	$2,500.00
Colonial Properties Trust PAC	$5,000.00
Committee to Elect Cam Ward	$1,000.00
COMPASS BancPAC	$5,000.00
Energen Corporation PAC	$2,500.00
Every Republican is Crucial PAC	$5,000.00
GOOD PAC	$5,000.00
Growth & Prosperity PAC	$5,000.00
Jack Williams Campaign	$500.00
Majority Committee PAC	$5,000.00
Marsh for Senate	$2,500.00
National Apartment Association PAC	$5,000.00
NETPAC	$2,000.00
Realtors Political Action Committee	$5,000.00
Regions Financial Corp PAC	$5,000.00
Bradley Arant Boult Cummings, LLP	$5,000.00

Spencer Bachus Legal Expense Trust-Contributions over $350 Received in 2012

Last Name	First Name	Amount
Crane	Philip	$5,000.00
Donald	Watson	$1,500.00
Drummond	E.	$1,000.00
Dunbar, Jr.	Bruce	$1,000.00
Engel	Alan	$500.00
French	James	$1,000.00
Ghareeb	Donald	$1,000.00
Goodrich	T. Michael	$1,000.00
Gorrie	M. James	$2,500.00
Gorrie	M.M.	$2,500.00
Hegyi	Albert	$5,000.00
Hegyi	Celia	$5,000.00
Hess	Donald	$500.00
Hubbard	James	$500.00
Johns	Michael	$1,500.00
Jones, Jr.	D. Paul	$1,000.00
Lee, III	James	$1,000.00
Magnus, III	Milton	$1,000.00
McMahon	John	$5,000.00
Moore, Jr.	John	$5,000.00
Morton	Bill	$5,000.00
O'Brien	Jeannene	$5,000.00
Pearce	J. Wray	$500.00
Riley, Jr.	Robert	$1,000.00
Saiia	Joseph	$5,000.00
Secrist, III	John	$2,000.00
St. John Hamilton	Amy	$500.00
Swaid	Swaid	$2,000.00
Tickle	Charlie	$1,500.00
Waggoner	James	$500.00

Spencer Bachus Legal Expense Trust-Contributions over $350 Received in 2012

Spencer Bachus Legal Expense Trust Receipts, Quarter 3, 2012, as filed with US House Committee on Ethics and the Legislative Resource Center on 10/31/12:

Last Name	First Name	Amount
Grimmer	Park	$500.00
Hill	Mike	$1,000.00

Organization Name	Amount
American Cast Iron Pipe Company	$5,000.00
The Bright Star Restaurant, Inc.	$1,000.00
Capital Growth Buchalter, Inc.	$1,000.00
Mississippi Export Railroad Company	$2,000.00
Friends of Gerald Allen	$1,000.00

Spencer Bachus Legal Expense Trust Receipts, Quarter 4, 2012, as filed with US House Committee on Ethics and the Legislative Resource Center on 1/29/13:

Organization Name	Amount
Friends of Jay Love	$1,000.00
Friends of John H. Merrill	$1,000.00
Mary Sue McClurkin Campaign	$1,000.00
Alpha Lambda Club	$500.00

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name SPENCER T. BACHUS, III

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
FRANKLIN CENTER FOR GLOBAL POLICY EXCHANGE/THE RIPON SOCIETY	NOV 7-13	BIRMINGHAM, AL-BUENOS AIRES,ARGENTINA-BIRMINGHAM,AL	Y	Y	Y	NONE