

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

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Diana Louise DeGette

(Full Name)

(Daytime Telephone)

(Office Use Only)

2013 JUN 27 PM 2:59

Handwritten signature

Filer ☒ Member of the U.S. House of Representative
State: CO District 01

☐ Officer Or Employee
Employing Office

Report Type ☒ Annual (May 15) ☒ Amendment ☐ Termination
Termination Date

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. If yes, complete and attach Schedule II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. If yes, complete and attach Schedule III. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. If yes, complete and attach Schedule IV. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Diana Louise DeGette

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the</p>	<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below.</p> <p>Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP Baron Partners Fund (IRA)	\$15,001 - \$50,000	N/A	NONE	
SP Federated Kaufmann Fund (IRA)	\$1,001 - \$15,000	DIVIDENDS/CAP ITAL GAINS	\$1,001 - \$2,500	
SP Fidelity Cash Reserves (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
Fidelity Contrafund (IRA)	\$1,001 - \$15,000	DIVIDENDS/CAP ITAL GAINS	\$1 - \$200	
Fidelity Growth Discovery Fund (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP Fidelity Growth Discovery Fund (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Fidelity Growth Strategies Fund (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Fidelity Independence Fund (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
	Fidelity Leveraged Co. Stock Fund (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Fidelity Leveraged Co. Stock Fund (IRA)	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
SP	Fidelity New Millennium (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
SP	GS Small Cap Value I (McKenna Long & Aldridge 401(k))	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	P
SP	GS Small Cap Value I (McKenna Long & Aldridge Profit Sharing Plan)	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	P
SP	Hennessy Cornerstone Mid-Cap 30 Fund (IRA) (formerly known as Hennessy Focus 30 Fund)	\$15,001 - \$50,000	N/A	NONE	
SP	ING MidCap Opportunities Portfolio I (McKenna Long & Aldridge 401(k))	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	P
SP	ING MidCap Opportunities Portfolio I (McKenna Long & Aldridge Profit Sharing Plan)	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	P
SP	Invesco Small Cap Growth Fund (McKenna Long & Aldridge 401(k))	\$50,001 - \$100,000	DIVIDENDS	\$5,001 - \$15,000	P
SP	Invesco Small Cap Growth Fund (McKenna Long & Aldridge Profit Sharing Plan)	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Janus Enterprise Fund (IRA)	\$15,001 - \$50,000	CAPITAL GAINS	\$201 - \$1,000
JT	Janus Global Select Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
SP	Kinetics Paradigm Fund (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
SP	Marisco 21st Century Fund (IRA)	\$1,001 - \$15,000	N/A	NONE
SP	McKenna Long & Aldridge LLP Cash Balance Plan	\$250,001 - \$500,000	INTEREST	\$15,001 - \$50,000 P
	Public Employees Retirement Association	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000
	Washington DC Condo	\$500,001 - \$1,000,000	RENT	\$5,001 - \$15,000
JT	Wells Fargo Bank West, N.A.	\$1,001 - \$15,000	INTEREST	\$1 - \$200

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Diana Louise DeGette

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's
Alliance For Health Reform	Jan. 20-22	DC-Fort Lauderdale-DC	Y	Y	Y	1 Day
Aspen Institute Congressional Program	Feb. 18-21	Denver-San Diego-Denver	Y	Y	Y	None
Aspen Institute Congressional Program	Mar. 29- Apr.5	DC-Sao Paolo, Brazil-DC	Y	Y	N	1 Day
Aspen Institute Congressional Program	Aug. 11-21	Amsterdam, Holland; Brussels, Belgium; Paris, France-Denver	Y	Y	Y	4 Days
Colorado College	Sep. 22-23	Denver-Colorado Springs- Denver	Y	Y	Y	None