SEP 1 3 2017.

| STATUS | FILER | Name: 2 | FINANCIAL I | UNITED S | |
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| | \times | ter | DISCLO | TATES | |
| New Officer or Employee Staff F Employing Office: Sharee | New Member of or Candidate for State: ACU.S. House of Representatives District: 5 Candidates – Date of Election: November 2018 | Name: Peter Sheffey Joffin | FINANCIAL DISCLOSURE STATEMENT | UNITED STATES HOUSE OF REPRESENTATIVES | |
| Staff Filer Type (If Applicable): Shared Principal Assistant | 2018 | Daytime Telephone: | For New Members, Can | | |
| Period Covered: January 1, | Check if Amendment | | For New Members, Candidates, and New Employees | FORM B | |
| A \$200 penalty shall be assessed against any individual who files more than 30 days late. | (Office Use Only) | 17 SEP 21 PM 1: 08 | LEGISLATIVE RESOURCE CENTER | Page 1 of 1 | |

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

| | | S THAT YOU ARE REQUIRED TO COMPLETE | ALY THE SCHEDULE | THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE |
|----------|-----|---|------------------|---|
| | | _ | ORRESPONDING S | ATTACH THE C |
| Yes No | Yes | J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years? | Yes No | D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? |
| No X | Yes | F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? | Yes No | C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? |
| Yes X No | Yes | E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? | Yes No | A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Receive more than \$200 in unearned income from any reportable asset during the reporting period? |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

| Yes No X | EXEMPTION – Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. |
|----------|---|
| Yes No X | TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? |

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Peter Sheftey Toffrion

Page 2

| BLOCK A Assets and/or Income Sources | BLOCK B Value of Asset | BLOCK C Type of Income | Amount of Income | соте |
|---|--|---|--|---|
| Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in 'unearmed' income during the year. | Indicate value of asset at dose of the reporting period. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting period and is included only because it generated income, the value should be "None." | Check all columns that apply. For accounts that generate tax-deferred income (such as 401(tk), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for accept high in taxable accounts. | For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital galins, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated. "Column XII is for assets held by your spouse or dependent child in which you have no interest. | , you may check the "None" column. Fropropriate box below. Dividends, into income for assets held in taxable at this in which you have no interest. |
| Provide complete names of stocks and mutual funds (do not use only ticker symbols). | *Column M is for assets held by your spouse or dependent child in which you have no interest. | "None" if the asset generated no income during the reporting period. | | |
| For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reportion thresholds | | | | |
| For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is the \$1.00 in these theaten accounts. | | | Current Year Current Year V V V VI VII VX X X XI I | Preceding Year |
| For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state. | | me) | | |
| For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. | | ne or Farm Inco | | |
| Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. | | , Partnership Incor | 0* | |
| If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box. | | | \$1,000,00 | |
| If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left. | 15,000 | ST _ GAINS ED/BLIND TRUS FERRED | \$2,500 \$5,000 \$15,000 \$50,000 \$100,000 1-\$1,000,000 01-\$5,000,000 | ,000 52,500 65,000 515,000 |
| For a detailed discussion of Schedule A requirements, please refer to the instruction booklet. | \$100,00 \$250,00 \$500,00 \$1,000,0 \$5,000,0 \$25,000, | TAX-DE | \$100,00 \$1,000,0 Over \$5, | \$100,00 |
| SP, Mega Corp Stock EFF | × | × | X | * |
| Examples: | Indefinite | Royalties | × | X |
| ABC Hedge Fund | × | Partnership Income | × | × |
| - | | | , | |
| SP Kerry Holder Trust | | | X | X |
| Thorne Mar Stock & | * | * | × × | |
| Studio Salmob distinguished | * | * | ~ | × |
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SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Peter Shelfey Joffrion

Page 3

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| | | | | | | | | | | | | | | | Spouse/DC income over \$1,000,000* | ¥ | ┸ | | |
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SCHEDULE C - EARNED INCOME

Name: Peter Sheffey Joffish Page 4 of 7

INCOME LIMITS and PROHIBITED INCOME: Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2016 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,495. The 2017 limit is \$27,765. In addition, certain types of income (notably honoraria, director's fees, and payments for EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act. List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding \$1,000. See examples below. professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

Source (include date of receipt for honoraria)

Current Year to Filing

Amount

Preceding Year

| ABC Trade Association, Baltimore, MD (July 15) | Honorarium | \$0 | \$500 |
|--|------------------------|-----------|-------------|
| Examples: State of Maryland Cot. 2) | Spouse Speech | \$20,000 | \$1,000 |
| Ontario County Board of Education | Spouse Salary | N/A | N/A |
| | Defined Beneft | (3) 5 6 | 84 000 00 |
| Contract of september of the second | | 40, | |
| Alabama Space Science Eshibit Commission | Alberta Fixes | 3, 500.00 | 8,560.00 |
| | sice leave/amail | , , , , | |
| City of Itantsville Alabane | loave payout - returne | NA | 113, 358.47 |
| | distribution | ~/A | 178 007.09 |
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SCHEDULE D - LIABILITIES

| | Name: Peter |
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| • | Pater Sheffey |
| | Joffmon |
| | Page 5 of 1 |

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. New Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

| 7 | 77 | 77 | 7 | 7 | | SP, DC, JT | | |
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| | fare? | Capita | American | Relian | Example | | | |
| chose Bank Visc | City Fed, Cr. Union Visc | spital One Visa | ~ Ewres | Perions Bank Visc | First Bank of Wilmington, DE | Creditor | | |
| <i>÷/17</i> | 4/17 | 4/17 | 4/11 | 4/17 | 5/98 | Date Liability Incurred MO/YR | | |
| Coasif cord | Credit Dard | cast and | craft and | Credit Cord | Mortgage on Rental Property, Dover, DE | Type of Liability | | |
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| | | | | | | \$25,000,001- \$50,000,000 | _ | |
| | | | | | | Over \$50,000,000 | | |
| | | | | | | Over \$1,000,000* (Spouse/DC Liability) | ~ | |

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report positions held in the reporting period and the current calendar year. First-year candidates and new employees report positions held in the current calendar year and two previous years.

| | | | | _ |
|--|---|----------------------------|--------------------------------|----------------------|
| | 1 | City Attorney | Administrator | Position |
| | | city of Huntsville Alabama | Turning Point Consultants, LLA | Name of Organization |

SCH

| y the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment, a leave of absence during the period of government service; | EDULE F - AGREEMENTS | Name: Peter Sheffey Joffrion | | Page L of 1 |
|---|---|-------------------------------|-----------------------------|-----------------------|
| estion or deferred of neumants have former or current amplyour other than the LLS provenment; or continuing nationality as amplyone welfare or henefit plan maintained by a former | y the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future | ture employment; a leave of a | absence during the period o | f government service; |

| Identify the da continuation of employer. | late, parties to, and general terms of any agreement or arrangement that you had or deferral of payments by a former or current employer other than the U.S. go | Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer. |
|---|---|---|
| Date | Parties to Agreement | Terms of Agreement |
| | M/A | |
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SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

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| | Source (Name and City/State) | Brief Description of Duties |
| Example: | Doe Jones & Smith, Hometown, Homestate | Accounting Services |
| / | N/A | |
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Need help reading this statement?

Visit www.schwab.com/StatementGuide for more information.

Market Monitor

Rates Deposit Accounts: Interest Rate

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as of 08/31 4

0.10%

AB 02 026518 36438 H 84 A KERRY HOLDER JOFFRION 4241 NOLEN AVE SE HUNTSVILLE AL

35801

Your Independent Investment Manager and/or Advisor

PARTICULAR PROPERTIES OF A SECURIOR SECTION OF THE PROPERTY OF 6833 STALTER DR STE 203 HERITAGE WAY ADVISORS LLC ROCKFORD IL (815) 397-0900 61108-2582

Manager and/or Advisor is independently owned and operated and not an affiliate with Schwab. For questions about this statement, or if there is a change in your financial situation, investment objectives, or risk profile, please contact your independent investment Manager and/or Advisor. This report is provided by Schwab. Except as noted in the terms and conditions, your investment The custodian of your brokerage account is: Charles Schwab & Co., Inc.

| Endnotes For Your Account | Charles Schwab Bank Deposit Accounts Activity | Fransaction Detail | Investment Detail | Wargin Loan Information5 | Income Summary | Gain or (Loss) Summary | Asset Composition4 | Change in Account Value | Terms and Conditions2 | Table of Contents |
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r is not efficiated with prign agent of rise or endorse your Advisor.

Page 1 of 14

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KERRY HOLDER JOFFRION Schwab One® Account of

Account Number

August 1-31, 2017 Statement Period

Terms and Conditions

Your Investment Advisors are independently owned and operated. Solvab neither endorses are recommends any particular Advisor or its investment decisions.

The strategy and has no responsibility to monitor trading by any Advisor or its investment decisions.

The strategy and has no responsibility to monitor trading by any Advisor or its investment accommends any particular Advisor or its investment accommendation or preparation and account account. Solvab has not verified any statement accommendation or requirements with a drivinory services for your Account. Solvab has not verified any statement accommendation or requirements with this segmentation of the clients essetts in the solvable of the statement account of the statement account of the statement account of the statement of the clients accounts of time. This commitment to place a certain amount of the clients essetts in brokerage accounts at Solvab in the prior Statement at Solvab in the second during the Solvab Done Interest feature, and the Bank Sweep issue, and products Not all of these products and services may benefit your Account, and Solvab in any provide solvab may provide them to Advisor's commitment to place a certain amount of the clients accounts that its contact interest counts at Solvab Solvab in the second during the Solvab Done Interest that may be accounted that its contact interest counts at Solvab and the solvab of the prior Statement Period. For the Solvab Done Interest that may be account at Solvab Period. For the Solvab Done Interest feature, interest dealy from the Investigation or requirement that its clients establish brokerage accounts at Solvab Done Interest feature, interest dealy from the Investigation or requirement that its clients establish brokerage accounts at Solvab Done Interest feature, interest dealy from the Investigation or requirement that its clients establish brokerage account is a Solvab Done Interest feature, interest feature, interest feature, interest feature, interest feature, interest feature, interest feat This Account statement is furnished solely by Charles Schwab & Seg Co., Inc. ("Schwab") for your Account at Schwab ("Account"). Schwab [astitutional is a division of Charles Schwab & Co., Inc., and provides back office brokerage and related services to investment advisors and relitement plan providers. Unless otherwise defined herein, capitalized we terms have the same meanings as in your Account Agreement. Schwab is a registered broker-dealer and, except in the case of an affiliated company that may act as the investment advisor for the Sweep Funds. Windhaven investment Management, Inc. ("Windhavene"), or Thomast Partners, Inc. ("TPI"), Charles Schwab Investment Advisory, Inc. ("CSIA") and Schwab Weelth Investment Advisory, Inc. ("CSIA") and section of the sec

If you receive any other communication from any source other than Schwath which purposts to represent your heddings at Schwab (including balances held at a Depository Institution) you should verify its content with this statement.

ALP (Automatic Investment Plan) Castomers: Schwab receives remuneration in connection with certain transactions effected through

Schweb. If you participate in a systematic investment program through Schweb, the additions information normally detailed on a trade confirmation will be provided most appearance of all cash behances that case bally Balance: Average daily composite of all cash behances that case balance: Average daily composite of all cash behances that case balance: Average daily composite of all cash behances that case balance: Average daily composite each designed integer. Bank Sweep Peature is chowed acts as your peat accounts as Schwab Cash feature for your brokenge account. Deposit accounts held through the Bank Sweep feature constitute direct obligations of Schwab. Bank deposit accounts are insured by the FDIC within applicable limits. The balance in the bank deposit accounts can be withdrawn on your order and the proceeds returned to your securities account or remitted to you as provided in your Account Agreement. For information on FDIC insurance and its limits, as well as other important disclosures about the Bank Sweep feature, please rater to the Cash Features Disclosure Satement.

Cash: Any Free Credit Balance owed by us to you payable upon demand which, although accounted for on our books of record, is not

segregated and may be used in the conduct of this firm's business. Carrent Yield: Annual dividend paid on an equity divided by the

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current market price.

Dividend Reinvestment Customers: Dividend reinvestment transactions were effected by Schwab acting as a principal for its own account, except for the reinvestment of Schwab dividends, for which an independent to the reinvestment of Schwab dividends, for which an independent transactions exited as the buying agent. Further information on these transactions will be furnished upon written request.

Bethrated Annual Income: Estimated annual income is derived from information provided by outside parties. Schwab cannot guarantee the accuracy of such information. Since the interest and dividends are

Bank Sweep feature, interest will accrue even if the amount is less than \$.005. the

Maryin Account Custoppers: This is a combined statement of your margin account and special mannormalum account maintained for you under Section 220.5 of Rogalation I issued by the Board of Governors of the Federal Rocerty System. The permanent record of the special count as required by Regulation I is available for your assession. Securities purchased on margin are Schwab's collateral for the loss to you. It is important that you fully understand the risks involved in triding securities on margin. These risks include:

• You can lose more funds than you deposit in the margin account.
• Schwab can force the sale of securities or other assets in any of

your account(s) to maintain the required account equity without

contacting you.

You are not entitled to choose which assets are liquidated nor are you entitled to an extension of time on a margin call.

Schwab can increase its "house" maintenance margin requirements

at any time without advance written notice to you.

Market Price: The most recent price evaluation available to Schwab
on the last business day of the report period, normally the last trade

price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Pricing of assets not held at Schwab is for informational purposes only. Some securities, especially thinly traded equities in the OTC market or foreign markets, may not report the most current price and are indicated as Slate Priced. For Limited Partnerships and Real Estate Investment Tust (RHIT) **人所然的** 中の物質などのないの語の

securities, you may see that the value reflected on your monthly account shipment for this security is unpriced. NASD rules require that cartain Limited Partnerships (direct participation programs) and Real Baine investment Trust (RBIT) securities, that have not been priced within 18 months, must show as unpriced on customer statements. Note that these securities are generally illiquid, the value of the securities will be different than its partnerse price, and, if applicable, that socurate valuation information may not be available. What the first publicable in the different than its partnerse price, and, if applicable, that socurate valuation information may not be available. Market Value: The Market Value is computed by multiplying the Market Price by the Quantity of Shares. This is the dollar veite of your present holdings in your specified Schweb Account or a summary of the Market Price by the Quantity of Shares. This is the dollar veite of your present holdings in your specified Schweb Account or a summary of the Market Value sammed over multiple accounts.

Non-Publicly Traded Securities. All assets shown on this statement, other than certain direct investments which may be held by a third but your Account. Agreement. Schweb shall have no responsibility in the for the accuracy or timeliness of such voluntions. The Securities nationally partnership interests.

partnership interests.

Option Castemers: Be sware of the following: 1) Commissions and obtain charges related to the executive of option transactions have been included in confirmations of such transactions previously first sheet to you and will be made available promptly upon request. 2) You should advise us promptly of any material changes in your investment objectives or financial situation 3) Exercise seagunent notices for the objectives or financial situation 3) Exercise seagunent notices for the option contracts are allocated among customer short positions pursuant and a subcasted procedure which wandomly solved from among all materials of paids pastiants these management which are authorized to reflect the primitums of seaguned or exercise, including positions established on the day of seagunent at Repair and partnership to the primitums of seaguned or exercise options. Press countil your law advisor or IRS publications actualized potions. Schwab or as affiliate acts and receives compensation as the Investment Advisor, Transfer Agent, Sharcholder Service Agent and Distributor for the Schwab Sweep Money Funds. The amount of such compensation is disclosed in the prospectus. The yield information for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields very it on any given day, the accuract daily dividend for your selected as ween that as calculated for your second is less than 5 of 1

sweep money fund as calculated for your account is less than % of 1 cent (\$0.005), your account will not earn a dividend for that day. In addition, if you do not accrue at least 1 daily dividend of \$0.01 during

SPC has siden the position that it will not copy the balances held in your despoil appures maintained under programs like our Bank Sweep feature. Please see your Cash Feature Disclosure Sistement for more information on insurance coverage, a Copy of a Copy in a Copy of the Statement of the Statement of the Softward Copy of the Statement of the Softward Copy of the Statement of



KERRY HOLDER JOFFRION Schwab One® Account of

Account Number

August 1-31, 2017 Statement Period

Terms and Conditions (continued)

a pay period, you will not receive a money market dividend for that period. Add Schwab and the Schwab Sweep Money Funds investment advisor may be voluntarily reducing a portion of a Schwab Sweep Money Funds expenses. Without these reductions, yields would have been lower. The shares of the money market mutual find can be liquidated on your order and the proceeds returned to your securities account or remitted to you as provided in your Account Agreement and the applicable prospectus.

Securities Products and Sarvices: Securities products and services are offered by Charles Schwab & Co., Inc., Member SIPC. Securities products and services, including unswept intraday funds and net crodit behances held in brokenage accounts are not guaranteed deposits or obligations of Charles Schwab Bank, and are subject to investment risk, are not PDIC insured, may lose value, and are not bank guaranteed. SIPC foes not cover balances held at Charles Schwab Bank in the Bank Sweep Seature.

Sing Investment Detail. The market value of these securities will be expressed as a doth and be netted against any long positions in Total Yield to Maturity: This is the actual average annual roturn on a note if held to maturity.

on a note if held to maturity.

Gata (or Loss): Unrealized Gain or (Loss) and Resilized Gain or (Loss) sections ("Gain/Loss Scotions(s)") contain a gain or a loss summary of your Account. This information has been provided on this statement at the request of your Advisor. This information is not a solicitation or a recommendation to buy or sell. It may, however, be helpful for investment and any planning strategies. Schryab does not provide tax advise and snourrages you to consult with your tax professional. Please view the Cost Basis Disclosure Statement for additional information on how gain (or host) is calculated and how Schwab reports adjusted nost basis information to the IRS.

Accrused ligatine: Accuned liscone is the sum of the total accrued interest and/or dividends have not been received into your necessary, with a count, but the interest and/or dividends have not been received into your elected in the interest and/or dividends have not been received into your elected in the interest and/or dividends have not been received into your elected in the count, with a count with a count in the interest and/or dividends have not been received into your elected in the count with a count in the count of the

IN CASE OF ERRORS OR DISCREPANCIES: If you find an error or discrepancy relating to your brokerings activity (other than an electronic find transfer) you must notify as promptly, but no later than 10 days after this steement is sent or made available to you. If this statement shows that we have mailed or delivered security cartificated) that you have not received, notify Schwab immediately. You may call us at 800-515-2157. Any cart communications should be re-confirmed in writing to further protection Act including rights under the Securities investor Protection Act (SIPA). If you do not so notify us, you agree that the statement activity and Account beliance are correct for all purposes with respect to those brekerage transactions.

IN CASE OF COMPLAINTS: If you have a complaint regarding your Schwab statement, products or services, please write to the Client Advocacy Team at Charles Schwab & Co., Inc., Attention: Client Advocacy Team, 211 Main St., San Francisco, CA 94105, USA, or call Schwab Signature Alliance at 800-515-2157.

Address Changes: If you fail to notify Schwab in writing of any change of address or phone number, you may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account.

Additional Information:

We are required by lew to report to the Internal Revenue Service adjusted cost basis information (if applicable), certain payments to you and credits to your Account during the calendar year.

Retain this statement for income tax purposes, A financial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request. Any third party trademarks appearing barean are the property of their respective owners.

Schwab and Charles Schwab Bank are affiliates of each other and subsediaries of the Charles Schwab Corporation.

(0616-1204)

P. Carlotte P.

Account Value as of 08/31/2017:\$ 303,869.22

| Change in Account Value | This Period | Year to Date |
|--|----------------------|---------------|
| sussesses and any electric section of the section o | \$ 307,257.09 | \$ 304,028.26 |
| Cash Value of Purchases & Sales | 4.312.79 | 7,701,14 |
| Investments Purchased/Sold | (4,312.79) | (7,701,14) |
| Deposits & Withdrawals | 0.00 | (15,500,00) |
| Dividends & Interest Z | 308.69 | 5,286.91 |
| Fees & Charges | 0.00 | (1,159.84) |
| Transfers | 0.00 | 0,00 |
| Income Reinvested | 0.00 | 0.00 |
| Change in Value of Investments | (3,696,56) | 11,213.89 |
| Ending Value on 08/31/2017 | \$ 303,869.22 | \$ 303,869.22 |
| Accrued Incomed | 1,047.57 | |
| Ending Value with Accrued Income" | \$ 304,916.79 | |
| SALE TRANSPORTATION | | |
| | \$ 12.50 Mg | |

| | ι | Total Assets Long | Equities 29 | Deposit Accounts XZ | 큻 |
|--|--|-------------------|---------------------|---------------------------------|--------------|
| | 0,00 | \$ 303,869.22 | Equities 297,861.40 | On: | Market Value |
| | TOTAL THE PROPERTY OF THE PROP | | | CONT. SCHOOL TO THE CONT. STORY | |

Gain or (Loss) Summary Realized Gain or (Loss) This Period Shot Torr

Short Term \$(1,045.99)

Long Term \$3,088.71

Unrealized Gain or (Loss)

All Investments \$78,378.39

Values may not reflect all of your gaine/losses.

Account Notes

Accrued Dividend is \$1,047.57

HERITAGE WAY

A D V I S O R S

Your Independent Investment Advisor; is not affiliated with pr an agent of Schwab and Schwab does not supervise or endorse your Advisor.

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Account Numb

Statement Period August 1-31, 2017

| | This Period | To a communicate functional name can communicate action to the communicate contracts to the contract of the co | Year to Date | ate |
|--|------------------------|--|----------------------|-------------------|
| Income Summary | Federally Tax-Exempt | Federally Taxable | Federally Tax-Exempt | Federally Taxable |
| Deposit Accounts interest 0.00 0.21 0.00 | 0.00 | 0.21 | 0,00 | 1.36 |
| Cash Dividends | 0.00 | 308.48 | 0,00 | 5,267.82 |
| TOWN | 1100 | 308.80 | 6,000 | |
| Interest Paid on Margin Loan-This Period 2 | | 0,00 | | |
| interest Pald on Margin Loan-Year to Date 2 | | (2.95) | | |
| ² Certain margin loan interest may be deductible; consult your tax advisor. | sult your tax advisor. | | | |

The opening margin loan balance for the statement period was \$0.00. For more information about the margin feature, please visit schwab.com/margin. *Values include any cash plus the amount available using margin borrowing.

This Period

Margin Loan Information

Margin Loan
Balance
0.00

to Withdraw*
158,257.82

Buying Power*

316,515.64

Securities

Margin Loan Rates Vary
by Balance

6.00% - 8.57%

Investment Detail - Deposit Accounts

| Total Deposit Accounts |
|--|
| Total Paposit Associates |
| Beposit Accounts XZ 6,007.82 |
| 4101 1011 1011 1011 1011 1011 1011 1011 |
| THE SECTION OF THE PARTY OF THE |

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be knoomplate or unavailable for some of your holdings. Please see "Endhotes for Your Account" section for an explanation of the endhote codes and symbols on this statement.

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Account Numb

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Investment Detail - Equities

| | Quantity | Market Price | Market Value | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---------------------------------------|----------|---------------------------------------|--------------|--|--------------------|--|
| Equities | | | Cost Basis | | | |
| AT&TINC (M) | 150,0000 | 37.4600 | 5,619.00 | 286.20 | 5.23% | ************************************** |
| SYMBOL: T | | | 5,332.80 | | | |
| ABBOTT LABORATORIES (M) | 150.0000 | 50.9400 | 7,641.00 | 2,556,00 | 2.08% | 159.00 |
| SYMBOL: ABT | | | 5,085.00 | | | |
| ABBVIE INC (M) | 100.0000 | 75.3000 | 7,530.00 | 3,091.91 | 3.39% | 256.00 |
| SYMBOL: ABBV | | | 4,438.09 | | | |
| ACCENTURE PLC F (M) | 50.0000 | 130.7600 | 6,538.00 | 2,842.70 | 1.85% | 121.00 |
| CLASS A SYMBOL: ACN | | | 3,695.30 | | | |
| BANK OF NY MELLON CO (M) | 150.0000 | 52.2800 | 7,842.00 | 3,193.50 | 1,83% | 144.00 |
| SYMBOL: BK | | | 4,648.50 | | | |
| BRIT AMER TOBACCO F (M) | 150.0000 | 62,1200 | 9,318,00 | 765.32 | 4.90% | 457.18 |
| SPONSORED ADR 1 ADR REPS 1 ORD SHS | | · · · · · · · · · · · · · · · · · · · | 8,552.68 | The first the state of the stat | | |
| SYMBOL: BTI | | | | A CONTRACTOR OF THE CONTRACTOR | Асстав | Acorusa Dividena: 110.76 |
| CHEVRON CORPORATION (M) | 75.0000 | 107.8200 | B,071.50 | 2,826.17 | 4.01% | 324,00 |
| SYMBOL: CVX | | | 5,245,33 | | | |
| | | | | | Accru | Accrued Dividend: 81.00 |
| CISCO SYSTEMS INC (M) | 200,0000 | 32.2100 | 6,442.00 | 2,228.45 | 3.60% | 232.00 |
| SYMBOL: CSCO | | | 4,213.55 | | | |
| COCA COLA COMPANY (M) | 200.0000 | 45,5500 | 9,110.00 | 4,210.00 | 3.24% | 296.00 |
| SYMBOL: KO | | | 4,900.00* | | | |

Account Number

Statement Period August 1-31, 2017

Investment Detail - Equities (continued)

| (M) 100.0000 71.6400 7,164,00 5,957. (M) 125.0000 51.5600 6,445,57,144. 295,0000 39,9800 11,797,6,915. 125.0000 76.3300 9,541,797,6,915. 50,0000 149,8700 7,493,140,0,556. 390,0000 35,0700 10,521,400,0,556. 50,0000 136,8500 6,842,0,40,0,556. 75,0000 104,7800 7,857,1,957.0,9,20 50,0000 132,3700 8,818,18,18,18,18,18,18,18,18,18,18,18,1 | | | | 4.715.80 | | | SYMBOL: N. |
|--|--|--|--|--|--------------|--|--------------------------|
| Cost Basis 0 100,0000 71,6400 7,164,00 1,206,35 2. 5,957,65 125,0000 51,5800 6,445,00 1,300,20 2. 125,0000 39,900 11,797,05 4,881,82 4. 125,0000 76,3300 9,541,25 (1,015,05) 4. 50,0000 149,8700 7,493,50 3,453,20 2. 40,40,30 4,040,30 3,453,20 2. 50,0000 136,8500 6,842,50 4,887,78 3,155,00 75,0000 104,7600 7,857,00 (1,346,36) 2,8 75,0000 104,7600 7,857,00 (1,346,36) 2,8 | 168.00 | 2.53% | 1,902.70 | 6,618.50 | 132.3700 | 50,0000 | JOHNSON & JOHNSON (M) |
| 0 100.0000 71.6400 7,164, 5,957. 125.0000 51.5600 6,445, 295.0000 39.9800 11,797, 295.0000 76.3300 9,541, 125.0000 149.8700 7,493, 4,040, 350.0000 35.0700 10,521, 50.0000 136.8500 6,842, 75.0000 104.7600 7,857, | | r 1 1 1 1 1 1 1 1 1 | 1 | 9,203,36 | | | SYMBOL: SUM |
| 0 100.0000 71.6400 7,184,7 | 225.00 | 2.86% | (1,346.36) | 7,857.00 | 104.7600 | 75,0000 | J M SMUCKER CO (M) |
| 0 Cost Ba 100.0000 71.6400 7,184, 5,957 125.0000 51.5600 6,445, 295,0000 39.9900 11,797, 6,915 125.0000 76.3300 9,541, 10,556 4,040, 300.0000 35.0700 10,521, 50,0000 136.8500 6,842, | | | | 6,199.08 | | | SYMBOL: IFF |
| Cost Ba CO (M) 100.0000 71.6400 7,164,1 5,957, IP (M) 125.0000 39.9900 11,797,1 125.0000 76.3300 9,541,1 10,556. 300.0000 35.0700 10,521,1 5,033 | | | 643,42 | 6,842,50 | 136.8500 | 50,0000 | INTL FLAVORS& FRAGRA (M) |
| Cost Ba Cost Ba 7,184, 100,0000 71,6400 7,184, 125,0000 51,5600 6,445, 5,957, 125,0000 39,9900 11,797, 125,0000 76,3300 11,797, 10,556, 300,0000 35,0700 7,493, 4,040, 5,653, | ted Dividend: 81.75 | Acen | | The state of the s | | - A (2) - A (2) - A (3) - A (4) |
| Cost Ba CO (M) 100.0000 71.6400 7,164,0 5,957. IP (M) 125.0000 39.9800 11,797. 125.0000 76.3300 9,541. 125.0000 149.8700 7,493. 50.0000 35.0700 10,521. | A Section of the Control of the Cont | | | 5,653.24 | | | SYMBOL: INTO |
| Cost Ba CO (M) 100.0000 71.6400 7,164, 5,957. IP (M) 125.0000 39.9800 11,797.1 125.0000 76.3300 9,541. 50.0000 149.8700 7,493.1 4,040. | 327.00 | 3.10% | 4,867.76 | 10,521.00 | 35.0700 | 300.0000 | INTEL CORP (M) |
| Cost Ba CO (M) 100.0000 71.6400 7,164, 5,957. IP (M) 125.0000 51.5600 6,445, 5,144, 295.0000 39,9800 11,797, 6,915, 125.0000 76.3300 9,541, 10,556. | | | | 4,040.30 | | | SYMBOL: HD |
| Cost Ba CO (M) 100.0000 71.6400 7,164,0 5,957. IP (M) 125.0000 39.9800 11,797.1 6,915. 125.0000 76.3300 9,541.1 10,556. | | 2.37% | 3,453.20 | 7,493.50 | 149.8700 | 50,0000 | HOME DEPOT INC (M) |
| Cost Ba CO (M) 100.0000 71.6400 7,164, 5,957. IP (M) 125.0000 51.5600 6,445, 5,744. M) 295,0000 39.9900 11,797. 6,915. 125.0000 76.3300 9,541. 10,556. | red Dividend: 96.25 | | | and the same of the same of the same of the same of the same of the same of the same of the same of the same of | | | |
| Cost Ba CO (M) 100.0000 71.6400 7,164,0 5,957. IP (M) 125.0000 51.5600 6,445,1 5,144. M) 295.0000 39.9900 11,797,1 6,915. | | | | 10,556.30 | | | SYMBOL: XOM |
| Cost Ba 100.0000 71.6400 7,164. 5,957. 125.0000 51.5600 6,445. 5,144. 295.0000 39.9800 11,797. 6,915. | 385.00 | 4.03% | (1,015.05) | 9,541.25 | 76.3300 | 125.0000 | EXXON MOBIL CORP (M) |
| Cost Ba 100.0000 71.6400 7,164. 5,957. 125.0000 51.5600 6,445. 5,144. | | | THE TAX OF THE PROPERTY OF THE | 6,915,23 | | Ar dalahada Mirikadana dalahan daraha —————————————————————————————————— | SYMBOL: ENB |
| Cost Ba 100.0000 71.6400 7.164. 100.0000 51.5600 6,445. 5,144. | 533,08 | 4.51% | 4,881.82 | 11,797.05 | 39,9900 | 295,0000 | ENBRIDGE INC F (M) |
| Cost Ba 100.0000 71.6400 7,164. 100.0000 51.5600 6,445. | ued Dividend: 40.31 | Aocn | | | | | |
| Cost Ba 100.0000 71.6400 7,164,0 5,957 125.0000 51.5600 6,445. | | | | 5,144.80 | | | SYMBOL: DNKN |
| Cost Ba 100.0000 71.6400 7,164. 5,957 | 161.25 | 2.50% | 1,300.20 | 6,445,00 | 51.5600 | 125.0000 | DUNKIN BRANDS GROUP (M) |
| Cost Ba | | | | 5,957.65 | | | SYMBOL: CL |
| некуренция быльший стига, тепулиция почительной провения выправления менто или степи и ментим простиг. В В 1800 | 160.00 | 2.23% | 1,206.35 | 7,164.00 | | 100.0000 | |
| | | | A CONTRACTOR OF THE PROPERTY O | Cost Basis | ė | ender de sout e les sout les soutes des des des des des des des des des d | |
| Quantity Market Price Market Value Gain or (Loss) Yield Annual Income | Annual Income | Yield | | Market Value | Market Price | Quantity | |

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



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Statement Period August 1-31, 2017

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| | Quantity | Market Price | Market Value | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual income |
|-------------------------------------|----------|----------------|--------------|--|---|--|
| Equities (continued) | | | | | | |
| JPMORGAN CHASE & CO (M) | 175.0000 | 90.8900 | 15,905.75 | 11,125.64 2.20% | 2.20% | 350.00 |
| SYMBOL: JPM | | | 4,780.11ª | | | |
| MARATHON PETE CORP (M) | 175.0000 | 52.4500 | 9,178.75 | 1,877.08 | 2.74% | 252,00 |
| SYMBOL: MPC | | | 7,301.67 | And the state of t | 1 | |
| T. BORNELLE . | | | | | Accru | Accrued Dividend: 70.00 |
| MICROSOFT CORP (M) | 150,0000 | 74.7700 | 11,215.50 | 7,438.36 | 2.08% | 234.00 |
| SYMBOL: MSFT | | | 3,777.14 | | | |
| | | | | | Accru | Accrued Dividend: 58.50 |
| NIKE INC (S) | 100,0000 | 52.8100 | 5,281.00 | 32.15 | 1.36% | 72.00 |
| CLASS B SYMBOL: NKE | · | | 5,248.85 | | | |
| NORFOLK SOUTHERN GO (M) | 75,0000 | 120.5200 | 9,039.00 | 3,307.80 | 2.02% | 183,00 |
| SYMBOL: NSC | | and the second | 5,731.20 | | , , <u>, , , , , , , , , , , , , , , , , </u> | The constitution of the second contract of th |
| | | | | | Ассли | Accrued Dividend: 45.75 |
| NOVÁRTÍSAG F (M) | 175,0000 | <u>84,2900</u> | 14,750,75 | 6,250.18 | 3.22% | 475.61 |
| SPONSORED ADR | | | 8,500,59 | | | |
| 1 ADR REPS 1 ORD SHS SYMBOL: NVS | | | | | | |
| PFIZER INCORPORATED (M) | 200,0000 | 33,9200 | 6,784.00 | 1,216.65 | 3.77% | 256.00 |
| SYMBOL: PFE | | | 5,567.35 | | | |
| | | | - | | Acorue | Accrued Dividend: 64.00 |
| PROCTER & GAMBLE (M) | 50.0000 | 92,2700 | 4,613.50 | 616.70 | 2.98% | 137.92 |
| SYMBOL: PG | | | 3,996.80 | | | |

Investment Detail - Equities (continued)

| | | | 5,628.94 | | | SYMBOL: WFC |
|--|--|--|--------------|--|---|-------------------------|
| 152.00 | 2.97% | (521.94) | 5,107.00 | 51,0700 | 100.0000 | WELLS FARGO BK N A (M) |
| | | The same of the sa | 5,285,92 | | | SYMBOL: VFC |
| 168.00 | 2,67% | 1,001.08 | 6,287.00 | 62,8700 | 100.0000 | VF CORPORATION (M) |
| Accrued Dividend: 35.00 | Aogru | | | | | |
| | | | 4,586.70 | | | |
| 132,00 | 2.20% | 1,399.30 | 5,988.00 | 119.7200 | 50,0000 | UNITED TECHNOLOGIES (%) |
| | | | | A CANADA TANADA | | SYMBOL: UPS |
| - 71 | The state of the s | | 2,819.28 | A Charles State of Control State of Cont | THE TAX OF THE PARTY OF THE PARTY OF THE PARTY. | CLASS B |
| 83.00 | 2.90% | 39.72 | 2,859.00 | 114.3600 | 25,0000 | UNITED PARCEL SRVC (M) |
| | | | 5,346.15 | | | SYMBOL: USB |
| 168,00 | 2.18% | 2,341.35 | 7,687.50 | 51,2500 | 150.0000 | USBANCORP (M) |
| | | A DESCRIPTION OF THE PROPERTY | 5,487.44 | AND THE RESERVE OF THE PROPERTY OF THE PROPERT | | SYMBOL: TRV |
| 201.60 | 2.37% | 2,995.16 | 8,482.60 | 121.1800 | 70.0000 | TRAVELERS COMPANIES (M) |
| | | | 5,088.38 | | | SYMBOL: TROW |
| 171.00 | 2.70% | 1,238.62 | 6,327.00 | 84.3600 | 75.0000 | T ROWE PRICE GROUP (M) |
| Accrued Dividend: 116.00 | Accrue | | | | | |
| | | | 8,554.10 | | | SYMBOL: SO |
| 464.00 | 4,80% | 1,097.90 | 8,652,00 | 48.2600 | 200,0000 | SOUTHERN COMPANY (M) |
| Accrued Dividend: 71.25 | Accru | | | | | |
| | | | 6,894.95 | | | SYMBOL: QCOM |
| 285.00 | 4.36% | (161.20) | 6,533.75 | 52.2700 | 125.0000 | QUALCOMM INC (M) |
| okusi harekoarin kasharaka kokusis kasha sakerek | ALCEN TO LOND SET ON THE SET OF THE PARTY OF | Cost Basis | Cost Basis | s, a treata de la company de la company de la company de la company de la company de la company de la company | CONTRACTOR | Equities (continued) |
| Annual Income | Yleid | Gain or (Loss) | Market Value | Market Price | Quantity | |

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



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Statement Period
August 1-31, 2017

Investment Detail - Equities (continued)

| Equities (continued) | Quantity | Market Price | arket Value Cost Basis | Unrealized Estimated Gain or (Lose) Yield | <u> </u> |
|----------------------|----------|--------------|---------------------------|--|----------|
| 1 | 75.0000 | 162.5700 | 12,192.75 | (651.73) 3.14% 3.100 3.1 | |
| SYMBOL: GWW | | | | | |
| | | | | 2 | |
| 21ST CENT FOX (M) | 275,0000 | 27.5900 | 7,587.25 | (158.70) | |
| CLASS A SYMBOL: FOXA | | | 7,745.95 | | |
| Total Equition | 374U,000 | 4,770,0000 | 97.681.40 | BANKS. | |

Total Accrued Dividend for Equities: 1,047.57

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a

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| 4 1 1 | 14 (SE |
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Realized Gain or (Loss)

| (807.66) | 175.0000 04/19/17 08/08/17 4,455.12 5,262.78 (807.66) | 4,455.12 | 04/19/17 08/08/17 | 04/19/17 | 175.0000 | GENERAL ELECTRIC CO: GE 175.0000 04/19/17 08/08/17 4,455.12 5,262.78 (807.66) |
|------------------------|---|---------------------------|-------------------|----------------|-----------------------|---|
| Gain or (Loss) | Cost Basis | Total Proceeds | Opened Closed | Opened | Quantity/Par | Short Term |
| Realized | | | Sold/ | Acquired/ | | |
| 京都為風明以來可以係就是我們都被不能聽聞一年 | TOMP 100 中央的海域的 1000 1000 1000 1000 1000 1000 1000 10 | 地名的湖南湖湖南西南部河北部 我相看明天次以前我们 | とし、南西、田田の田の田本 | はかれる過過過過八個問題機能 | 衛門結就以次員員此號問題不可謂以及衛門在司 | 去是最近的最大的,这一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个 |

Schweb has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Realized Gain or (Loss) (continued)

| Total Realized Calm or (Lose): 21,005.95 | Total Lo | OMNICOM GROUP INC: OMC | HOME DEPOT INC: HD | Long Term | | | WALGREENS BOOTS ALL: WBA | Short Term (continued) | File and otherwise of the constant the file of the Tourist |
|--|-----------------|------------------------|---|----------------|-----------|-----------|--|------------------------|--|
| | Joan Long Term | ROUP INC | OT INC: H | | | | S BOOTS A | l (continuec | 当本の計算器を表示を |
| | | OMC C | | | | | LLI: WBA | | Bartest Batter Co |
| | | | Carlo | | | | a De et et et per en et et en et en et en et en et en et en et en et en et en et en et en et en et en et en et | | THE REPORT OF THE PARTY OF THE |
| | | | and the contract of the second of the second | | - | | gila emangegya ez az il angili ke engilikisi | | |
| | | 100.0000 | 25,0000 | Quantity/Par | | | 100,0000 | Quantity/Par | ede Jesterales |
| | | 09/27/ | 06/26/ | | Acquired/ | | eriolization page | | Acquired/ |
| | | 09/27/13 08/10/17 | 06/26/14 08/04/17 | ed Closed | ed/ Sold/ | | 06/14/17 08/11/17 | ed Closed | bd/ Sold/ |
| | | | | | * | | *************************************** | | RUMARIX |
| | 11,541,26 | 7,787.83 | 3,781.43 | Total Proceeds | | 12.512.17 | 8,057.00 | Total Proceeds | |
| | | | | | | 3.0 | | | end in the second of a second contract of a second |
| | 3 180 25 | 6,439.17 | 2,041,38 | Cost Basis | | 10.00 | 8,295,33 | Cost Basis | AND THE PROPERTY OF THE PROPER |
| | | 7 | O | G | | | 9 | w | विद्यासीय विकास केल |
| 2008 | | 1,348.66 | 3,781.43 2,041.38 1,740.05 | Gain or (Loss) | Realized | | (238,33) | Gain or (Loss) | Realized |
| | | 8 | ይ | Š | 8. | | ගු | <u>5</u> | 8. |

Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis date may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here.

Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.

Transaction Detail - Purchases & Sales

| 7,787.83 | 78.0096 | (100.0000) 78.0096 | 08/15/17 08/10/17 Sold OMNICOM GROUP INC: OMC (100.0000) 78.0096 7,787.83 | Sold | 08/10/17 | 08/15/17 |
|--------------|-------------------------|-----------------------------|---|------------------------------------|------------------------|-------------------|
| | | | CLASS B: UPS | | | |
| (2,819,28) | 112,2531 | 25.0000 112.2531 (2,819.28) | UNITED PARCEL SRVC | Bought | 08/09/17 Bought | 08/14/17 |
| 4,455.12 | 25.5324 | (175.0000) | GENERAL ELECTRIC CO: GE | Sold | 08/08/17 | 08/11/17 |
| 3,781.43 | 151.7788 | | HOME DEPOT INC: HD | Sold | 08/09/17 08/04/17 Sold | 08/09/17 |
| Total Amount | Unit-Price Total Amount | - Cotal Amount | Settle Date Trade Date Transaction ———————————————————————————————————— | Settle Date Trade Date Transaction | Trade Date | Settle Date |
| | | | | | ctivity | Equities Activity |

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



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Account Numbe

Statement Period
August 1-31, 2017

Transaction Detail - Purchases & Sales (continued)

Equities Activity (continued)

| | 08/16/17 | | 08/15/17 | Settle Date |
|--|---------------------------|---------------------|-----------------------------|------------------------------------|
| | 08/11/17 Sold | 08/11/17 Bought | 08/15/17 08/10/17 Bought | Settle Date Trade Date Transaction |
| | Sold | Bought | Bought | Settle Date Trade Date Transaction |
| | WALGREENS BOOTS ALLI: WBA | J M SMUCKER CO: SJM | 21ST CENT FOX CLASS A: FOXA | Description |
| | (100.0000) | 75.0000 | 275,0000 | Quantity Unit Price |
| | 80.7014 | 122.5388 | 28.1200 | |
| | 8,057.00 | (9,203.36) | (7,745.95) | Total Amount |

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)

| 20.75 | UNITED PARCEL SRVC: UPS | 08/30/17 08/30/17 Qualified Dividend | 08/30/17 | 08/30/17 08/30/17 Qualified |
|--|---|---------------------------------------|----------|-----------------------------|
| | | 08/16/17 Bank Interest ^{X,Z} | 08/16/17 | 08/15/17 |
| 34.48 | | 08/15/17 08/15/17 Qualified Dividend | 08/15/17 | JB/15/17 |
| 40.00 | ; CL | 08/15/17 | 08/15/17 | 38/15/17 |
| 100 X | ABBVIE NO: ABBV | 08/15/17 | 08/15/17 | 38/15/17 |
| 39.75 | | 08/15/17 Qualified Dividend | 08/15/17 | 08/15/17 |
| 36.00 | BANK OF NY MELLON CO: BK | 08/11/17 Qualified Dividend | 08/11/17 | |
| 18 <mark>-20.</mark> Такты кактырын базысын базынын тырын кактырын кактырын кактырын кактырын байтын байтын байтын байтын байтын ба | 2 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 | 08/01/17 Qualfiled Dividend | 08/01/17 | 08/01/17 |
| Credit/(Debit) | Description | Activity | Date | |

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



KERRY HOLDER JOFFRION

Account Number

Statement Period
August 1-31, 2017

Charles Schwab Bank Deposit Accounts Activity

| Iransaction Description Windrawal Deposit Finds Bulk CREDIT FROM BROKERAGE × 73.50 2/17 Auto Transfer BANK CREDIT FROM BROKERAGE × 73.50 3/17 Auto Transfer BANK CREDIT FROM BROKERAGE × 73.50 3/17 Auto Transfer BANK CREDIT FROM BROKERAGE × 3,781.43 1/17 Auto Transfer BANK CREDIT FROM BROKERAGE × 1,635.84 4/17 Auto Transfer BANK CREDIT FROM BROKERAGE × 36.00 5/17 Interest Paid×2 BANK INTEREST 36.00 5/17 Auto Transfer BANK TRANSFER TO BROKERAGE × 36.00 5/17 Auto Transfer BANK TRANSFER TO BROKERAGE × 36.00 | 6,007.82 | 20.75 | | BANK CREDIT FROM BROKERAGE X | Auto Transfer | 08/31/17 |
|--|------------|----------|--|------------------------------|------------------------------|-----------|
| Iransaction Description William Balance X.4 1/17 Auto Transfer BANK CREDIT FROM BROKERAGE X 2/17 Auto Transfer BANK CREDIT FROM BROKERAGE X 3/17 Auto Transfer BANK CREDIT FROM BROKERAGE X 1/17 Auto Transfer BANK CREDIT FROM BROKERAGE X 4/17 Auto Transfer BANK CREDIT FROM BROKERAGE X | 5,987.0 | | 926.25 | BANK TRANSFER TO BROKERAGE | Auto Transfer | 08/16/17 |
| Iransaction Description Philip Balance X.2 1/17 Auto Transfer BANK CREDIT FROM BROKERAGE × | 6,913. | 0.21 | | BANK INTEREST | Interest Paid ^{X,Z} | 08/15/17 |
| Iransaction Description Iransaction Description Iransaction Description In Automatic Bank CREDIT FROM BROKERAGE × In Automatic Bank CREDIT FROM BROKERAGE × Bank CREDIT FROM BROKERAGE × Bank CREDIT FROM BROKERAGE × In Automatic Bank CREDIT FROM BROKERAGE × | 6,913. | 36,00 | | BANK CREDIT FROM BROKERAGE X | Auto Transfer | 08/14/17 |
| Iransaction Description #BING Balance X.4 1/17 Auto Transfer BANK CREDIT FROM BROKERAGE X 1/17 Auto Transfer BANK CREDIT FROM BROKERAGE X 1/17 Auto Transfer BANK CREDIT FROM BROKERAGE X | 6,877. | 1,635.84 | | BANK CREDIT FROM BROKERAGE X | Auto Transfer | 08/11/17 |
| iransaction Description in the section Description Description Description in the section Description Description Description in the section Description Description Description in the section De | 5,241. | 3,781.43 | | BANK CREDIT FROM BROKERAGE X | Auto Transfer | 08/09/17 |
| Iransaction Description Iransaction Description Iransaction Description Iransaction Description Iransaction Description Iransaction Description Iransaction Description Iransaction Description | 1,459. | 73,50 | | BANK CREDIT FROM BROKERAGE X | Auto Transfer | 08/02/17 |
| iransaction Description Iransaction Iransa | 1,386. | 87.50 | | BANK CREDIT FROM BROKERAGE X | Auto Transfer | 08/01/17 |
| HONDENDER TO HELFER WASHINGTON OF INCREMENDATION OF THE SECTION OF | | | | | | Spaning . |
| | Balance ^* | Deposit | Muhatama mengangan tanyang angan pagangangan angangangan angan magangangan angangan angangan angangan angangan | Description | Transaction | Date |

Deposit Accounts: Interest Rate as of 08/31/17 was 0.10%. Your Interest period was 07/16/17 - 08/15/17.

Pending Corporate Actions

| | Transaction | Quantity | Payable Date | Rate per Share | Rate per Share Share Distribution Cash Distribution | Cash Distribution |
|---------------------|--------------------|----------|--------------|----------------|---|-------------------|
| INTEL CORP | Qualified Dividend | 300,0000 | | 0.2725 | | 81.75 |
| PFIZER INCORPORATED | Qualified Dividend | 200,0000 | 09/01/17 | 7 0.3200 | 64.00 | 64.00 |
| WELLS FARGO BK N A | Qualified Dividend | 100,0000 | 09/01/17 | 7 0.3900 | | 39.00 |
| WW GRAINGER INC | Qualified Dividend | 75.0000 | 09/01/17 | 1,2800 | 96,00 | 96.00 |
| DUNKIN BRANDS GROUP | Qualified Dividend | 125,0000 | 09/06/17 | 0.3225 | | 40.31 |
| SOUTHERN COMPANY | Qualified Dividend | 200.0000 | 09/06/17 | 0,5800 | 116.00 | 116.00 |
| UNITED TECHNOLOGIES | Qualified Dividend | 50,0000 | 09/10/17 | 7 0.7000 | | 35,00 |
| CHEVRON CORPORATION | | 1 | | | | 2 |

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

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Account Numb

Statement Period August 1-31, 2017

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| · Action and the second | Transaction | Quantity | i | Rate per Share | Share Distribution | Cash Distribution |
|--|--------------------|----------|----------|----------------|-----------------------|-------------------|
| EXXON MOBIL CORP | | 125.0000 | | 0.7700 | 09/11/17 0.7700 96,25 | 96,25 |
| MARATHON PETE CORP | Qualified Dividend | 175.0000 | 09/11/17 | 0,4000 | | |
| NORFOLK SOUTHERN CO | Qualified Dividend | 75,0000 | 09/11/17 | 0.6100 | | |
| JOHNSON & JOHNSON | Qualified Dividend | 50,0000 | 09/12/17 | 0,8400 | | |
| MICROSOFT CORP | Qualified Dividend | 150,0000 | 09/14/17 | 0.3900 | | |
| QUALCOMM INC | Qualfied Dividend | 125,0000 | 09/20/17 | 0.5700 | | 71.25 |
| BRIT AMER TOBACCO F | Qualified Dividend | 150.0000 | 10/03/17 | 7 0.7384 | | |
| Total Pending Corporate Actions | | | | | | |

Pending transactions are not included in account value.

Endnotes For Your Account

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| indicated on the Deposit Accounts Activity page. Bank balances do not include any interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. | |
|--|------------|
| Please note that the interest is paid for a period that differs from the Statement Period. Bank-balances include any interest that Charles Schwab Bank paid as | 7 |
| Balances held at Charles Schwab Bank, member FDIC. | × |
| received and her in the account | |
| been received into your account and Schwab makes no representation that they will. Accrued amounts are not covered by SIPC account protection until actually | |
| Accrued Income is the sum of the total accrued interest and/or accrued dividends on positions held in your brokerage account, but the income and/or dividends have not | Δ. |
| Data for this holding has been edited or provided by the advisor. | 100 |
| | <u>\$</u> |
| Symbol Endnote Legend | Symbo |