

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

**FORM A**  
For use by Members, officers, and employees

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LEGISLATIVE RESOURCE CENTER  
2010 MAY 14 PM 2:14

Robert William Goodlatte  
(Full Name)

202-225-5431  
(Daytime Telephone)

U.S. HOUSE OF REPRESENTATIVES  
**HAND DELIVERED**  
(Office)  
Mc

**Filer** ☒ Member of the U.S. House of Representatives  
**Status** State: VA District: 06

**Officer Or** ☐ Officer ☐ Employee  
**Employing Office:**

**Report Type** ☒ Annual (May 15) ☐ Amendment ☐ Termination  
**Termination Date:**

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. If yes, complete and attach Schedule I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. If yes, complete and attach Schedule VI. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. If yes, complete and attach Schedule II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. If yes, complete and attach Schedule VII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. If yes, complete and attach Schedule III. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. If yes, complete and attach Schedule VIII. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. If yes, complete and attach Schedule IV. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	X. If yes, complete and attach Schedule IX.	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<b>Trusts--</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name Robert William Goodlatte

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Glenn, Feldman, Darby & Goodlatte, P.C., Roanoke, VA	Spouse Salary	N/A
RGC Resources	Spouse Directors Comp	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert William Goodlatte

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BLOCK A				
Asset and/or Income Source				
Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.				
Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.				
If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.				
	BLOCK B	BLOCK C	BLOCK D	BLOCK E
	Year-End Value of Asset	Type of Income	Amount of Income	Transaction
	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.	Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
SP American Cap Inc BLDR IRA	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT American Fund Tax Exempt Bonds	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
JT Bank of America, Roanoke, VA - Savings	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP Bankers Trust Market Lnk 401k	\$15,001 - \$50,000	None	NONE	
JT BlackRock Equity TR	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
SP BlackRock Global IRA	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Bond Fund of America 401k	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000
SP	Calamos Convertible FD 401K	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500
JT	Davenport Prime Cash Trust (formerly Centrl MM TR AG Edwards)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000
	Davenport Prime Cash Trust IRA (formerly Centrl MM AG Edwards IRA)	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000
SP	Davis NY Venture A	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
SP	DNP Select Income	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000
SP	Eaton Vance Ltd Duration 401k	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500
JT	First Citizens Bank, Roanoke, VA - Savings/Checking	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500
SP	First Eagle Global Fund 401k	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500
SP	Glenn Feldman Darby and Goodlatte	\$15,001 - \$50,000	None	NONE
SP	GNMA Government Security IRA	\$1 - \$1,000	DIVIDENDS	\$1 - \$200
JT	Greenwood Trust Co. DE	\$1,001 - \$15,000	INTEREST	\$1 - \$200
SP	Hartford Cap Apprec. Fd 401k	\$50,001 - \$100,000	None	NONE
SP	Hartford Capital Appreciation C	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	Independent Natl Mortgage Corp CT	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000
	Investment Co of America IRA	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000
	Janus Fund IRA	\$50,001 - \$100,000	None	NONE
SP	Loomis Sayles Investment Grade Bond C	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000
SP	Loomis Sayles Strategic Income Fd 401k	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000
	Mass Mutual Life - Life Insurance	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
SP	Merrill Lynch Retr Res IRA	\$1 - \$1,000	INTEREST	\$1 - \$200
JT	MFS Virginia Muni Bond Fund	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500
SP	New Persp Fund 401k	\$50,001 - \$100,000	DIVIDENDS	\$1 - \$200
SP	New York Venture Fund 401k	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000
SP	Nicholas Fund IRA	\$1,001 - \$15,000	None	NONE
SP	Pimco Total Return FD 401K	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000
SP	Pioneer Global High Yld 401K	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500
SP	RGC Resources	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Seligman Communications 401k	\$50,001 - \$100,000	None	NONE
JT	SunTrust Bank Savings	\$1,001 - \$15,000	INTEREST	\$1 - \$200
SP	SunTrust Bank, IRA, Roanoke, VA	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000
	SunTrust Bank, Roanoke, VA IRA	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000
JT	T Rowe Price Int Stock Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000
JT	T Rowe Price S&P Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000
	Templeton Foreign Fund IRA	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000
	UTS Government Secs Inc IRA	\$15,001 - \$50,000	INTEREST	\$1 - \$200
	Valley Bank Roanoke CD	\$50,001 - \$100,000	INTEREST	\$2,501 - \$5,000
JT	Valley Bank Roanoke, VA - Checking	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000
	Valley Bank, Roanoke CD	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000

# SCHEDULE IV - TRANSACTIONS

Name Robert William Goodlatte

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Calamos Convertible FD 401K	P	N/A	02-06-09	\$50,001 - \$100,000
SP	Franklin Invs Secs TR 401K	S	No	02-06-09	\$50,001 - \$100,000
SP	Loomis Sayles Bond	P	N/A	02-06-09	\$1,001 - \$15,000
SP	Pimco Total Return FD 401K	P	N/A	10-19-09	\$50,001 - \$100,000

# **SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name Robert William Goodlatte

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Heritage Foundation	Feb. 5-7	DC-Baltimore-DC	Y	Y	N	None