



Filing ID #10015353

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. C. A. Dutch Ruppersberger
Status: Member
State/District: MD02

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 04/24/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3325 Mannheim Ct. LOCATION: Rockingham Co., VA, US	JT	\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
Bank of America	JT	\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Cash Deposits ⇒ Nationwide Fixed Account (IRA) DESCRIPTION: RMD = required minimum distribution		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Cash Deposits ⇒ Summit Community Bank		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Deposits ⇒ TDAM FDIC Insured Deposit DESCRIPTION: RMD = Required minimum distribution		\$50,001 - \$100,000	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Compass 2 ⇒ Compass 2 Variable Annuity- (MFS) (IRA) DESCRIPTION: General Account (No specific holdings) SP RMD = Required Min. Distribution	SP	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Compass 2 Variable Annuity ⇒ Compass 2 - Variable Annuity (MFS) (IRA)		\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: General Account (No specific holdings) RMD = required minimum distribution					
Exchange Traded Funds ⇒ ISHARES 7-10 YR. Treasury Bnd		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Sale					
Exchange Traded Funds ⇒ ISHARES Core S&P 500		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchased & sold shares					
Exchange Traded Funds ⇒ ISHARES High-Yield Corp Bond (IRA)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES MSCI EAFE		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Bought & sold shares					
Exchange Traded Funds ⇒ ISHARES US Preferred Stock		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Exchange Traded Funds ⇒ Market Vectors GDX		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Exchange Traded Funds ⇒ Powershares Emerging Mkts		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Exchange Traded Funds ⇒ POWERSHARES QQQ		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Exchange Traded Funds ⇒ SPDR Financial Select Sector		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Exchange Traded Funds ⇒ SPDR Global Real Estate ETF		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchased & sold shares					
Exchange Traded Funds ⇒ Vanguard Emerging Markets		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchased shares					
Exchange Traded Funds ⇒		\$50,001 -	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard High Div Yd ETF		\$100,000			
DESCRIPTION: Purchase & sold shares					
Exchange Traded Funds ⇒ Vanguard MSCI Europe ETF		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard Short Term Corp Bond		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard US Mid Cap		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchased & sold shares					
Exchange Traded Funds ⇒ Vanguard US Small Cap		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchased & sold shares					
Rupp & Assoc. Inc. Timonium, Md. Collections	SP	\$100,001 - \$250,000	Dividends	\$50,001 - \$100,000	<input type="checkbox"/>
Sandy Shores Equity Group, LLC ⇒ House #11 50% interest, 50% Interest	JT	None	None		<input checked="" type="checkbox"/>
LOCATION: Baltimore, MD, US					
DESCRIPTION: Full sale					
Sandy Shores Equity Group, LLC ⇒ House #12, 50% Interest	JT	None	None		<input checked="" type="checkbox"/>
LOCATION: Baltimore, MD, US					
DESCRIPTION: Full sale					
Sandy Shores Equity Group, LLC ⇒ House #2, 50% interest	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: Baltimore, MD, US					
DESCRIPTION: Construction in progress					
Sandy Shores Equity Group, LLC ⇒ House #3, 50% interest	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: Baltimore, MD, US					
DESCRIPTION: Construction in progress					
Sandy Shores Equity Group, LLC ⇒ House #7, 50% Interest	JT	None	None		<input checked="" type="checkbox"/>
LOCATION: Baltimore, MD, US					
DESCRIPTION: Full sale					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Sandy Shores Equity Group, LLC ⇒ Land	JT	\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: Baltimore, MD, US					
Stocks (IRA) ⇒ BlackRock Energy and Resources Trust (BGR)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Stocks (IRA) ⇒ Eaton Vance Tax Advantaged Dividend Income Fund Common Shares of Beneficial Interest (EVT)		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Full surrender					
Stocks (IRA) ⇒ Nuveen Quality Preferred Income Fund 2 Shares of Beneficial Interest (JPS)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Cash Deposits ⇒ Nationwide Fixed Account		07/25/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: RMD = required minimum distribution					
Cash Deposits ⇒ TDAM FDIC Insured Deposits		05/2/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Required minimum distribution					
Compass 2 Variable Annuity ⇒ Compass 2 Variable Annuity		09/25/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Required minimum distribution					
Exchange Traded Funds ⇒ ISHARES 7-10 YR Treasury Bnd		12/9/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES Core S&P 500		03/1/2016	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ ISHARES Core S&P 500		01/13/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES MSCI EAFE		01/8/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Exchange Traded Funds ⇒ ISHARES MSCI EAFE		03/11/2016	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ POWERSHARES QQQ		03/1/2016	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Financial Select Sector		03/2/2016	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Global Real Estate ETF		03/11/2016	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Global Real Estate ETF DESCRIPTION: Partial sale		02/5/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard Emerging Markets		03/2/2016	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ Vanguard High Div Yd ETF		03/11/2016	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ Vanguard High Div Yd ETF		01/17/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard US Mid Cap		01/7/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard US Mid Cap		01/8/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard US Mid Cap		03/1/2016	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ Vanguard US Mid Cap		03/11/2016	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ Vanguard US Small Cap		03/1/2016	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ Vanguard US Small Cap		01/7/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Sandy Shores Equity Group, LLC ⇒ House #11, 50% Interest LOCATION: Baltimore, MD, US	JT	01/22/2016	S	\$250,001 - \$500,000	<input type="checkbox"/>
Sandy Shores Equity Group, LLC ⇒ House #12, 50% Interest LOCATION: Baltimore, MD, US	JT	04/15/2016	S	\$250,001 - \$500,000	<input type="checkbox"/>
Sandy Shores Equity Group, LLC ⇒ House #7, 50% Interest LOCATION: Baltimore, MD, US	JT	09/16/2016	S	\$250,001 - \$500,000	<input type="checkbox"/>
Stocks (IRA) ⇒ Eaton Vance Tax Advantaged Dividend Income Fund Common Shares of Beneficial Interest (EVT)		01/8/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Baltimore County, Maryland	Retirement Pension	\$91,074.00
Maryland State Teachers	Retirement Pension	\$5,702.00
COMMENTS: Spouse		

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Sun Trust Consumer Loans	July, 2012	Automobile Loan	\$10,000 - \$15,000
JT	Provident Funding	July, 2012	Mortgage Re-finance on Primary Residence (Highfield Ct.)	\$250,001 - \$500,000
JT	U.S. Bank National Association	April, 2014	Mortgage on 2nd Home	\$100,001 - \$250,000
JT	PeoplesBank York, Pa.	January 14, 2015	Construction Loans	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	U. of Baltimore Law School Advisory Council
Baord Member	U. of Maryland Medical Systems Shock Trauma
Board Member	United States Navel Academy

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
August 1975	Baltimore County Employment Retirement System	Baltimore County Pension Plan & Deferred Compensation Plan
August 1988	Maryland State Retirement Agency	State Retirement & Pension System of Maryland

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none">◦ Cash Deposits◦ Compass 2 (Owner: SP)◦ Compass 2 Variable Annuity◦ Exchange Traded Funds◦ Sandy Shores Equity Group, LLC (50% Interest) (Owner: JT) LOCATION: MD, US◦ Stocks (IRA)
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. C. A. Dutch Ruppertsberger , 04/24/2017