

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

**FORM A**  
For use by Members, officers, and employees

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**HAND  
DELIVERED**

LEGISLATIVE RESOURCE CENTER

VICKY JO HARTZLER

2013 MAY 22 PM 3:37

MC

(Full Name)

(Daytime Telephone)

(Office Use Only)

<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: MO District: 04	<input type="checkbox"/> Officer Or Employee	Employing Office:
<b>Report Type</b>	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Hartzler Equipment Company	Spouse Salary	N/A
Lowell J. Hartzler	Spouse Sales Commission	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name VICKY JO HARTZLER

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BLOCK A Asset and/or Income Source					BLOCK B Year-End Value of Asset		BLOCK C Type of Income		BLOCK D Amount of Income		BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>					<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p>		<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>		<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>		<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
State of Missouri Deferred Compensation Plan - ING Stable Income					\$15,001 - \$50,000		None		NONE		
One America (formerly American United Life Insurance Company) - AUL Fixed Amount					\$1,001 - \$15,000		None		NONE		
529 American Funds Plan as follows:											
DC New Perspective Fund - CL - C					\$1,001 - \$15,000		None		NONE		
DC The Investment Company of America - CL - C					\$1,001 - \$15,000		None		NONE		
DC Capital Income Builder Fund - CL - A					\$1,001 - \$15,000		None		NONE		

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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DC	American Balanced Fund - CL - A	\$1,001 - \$15,000	None	NONE	
DC	American Balanced Fund - CL - B	\$1,001 - \$15,000	None	NONE	
JT	Community Bank of Harrisonville, MO	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
JT	Hartzler Equipment Company, Harrisonville, MO	\$1,000,001 - \$5,000,000	DIVIDENDS	\$100,001 - \$1,000,000	
JT	Hartzler Farms Inc., Harrisonville, MO	\$1,000,001 - \$5,000,000	None	NONE	
SP	Heartland Aviation, LLC, Harrisonville, MO	\$15,001 - \$50,000	CAPITAL GAINS	\$15,001 - \$50,000	S(part)
SP	Union Central 401(k) Plan as follows:				
SP	Deposit account	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	Calvert Cash Reserves	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
SP	PIMCO Total Return	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
	Farm Real Estate located in Harrisonville, MO, as follows				
JT	26005 E. 283rd St.	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
JT	South Pleasant Ridge Road (formerly 299th St.)	\$100,001 - \$250,000	RENT	\$2,501 - \$5,000	
JT	S. East Outer Rd.	\$500,001 - \$1,000,000	RENT	\$5,001 - \$15,000	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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JT	E 291st St.	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
JT	S. State Rt. TT	\$15,001 - \$50,000	RENT	\$201 - \$1,000	
	S. State Rt. TT	\$100,001 - \$250,000	RENT	\$201 - \$1,000	
JT	29700 S. Hartzler Road	\$250,001 - \$500,000	None	NONE	P
JT	29615 S. Hartzler Rd.	\$500,001 - \$1,000,000	RENT	\$15,001 - \$50,000	
	E. 299th St.	\$100,001 - \$250,000	RENT	\$2,501 - \$5,000	
JT	30218 S. Pleasant Rdg Rd.	\$100,001 - \$250,000	None	NONE	
JT	S. State Rt. TT	\$100,001 - \$250,000	RENT	\$201 - \$1,000	
SP	KLD Farms, 28004 S. West Outer Rd., Harrisonville, MO	\$100,001 - \$250,000	RENT	\$201 - \$1,000	
	Public School Retirement System of Missouri	\$50,001 - \$100,000	None	NONE	

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	29700 S. Hartzler Road	P	N/A	11-20-12	\$250,001 - \$500,000
SP	Heartland Aviation, LLC - sale of airplane	S	Yes	6-15-12	\$15,001 - \$50,000

# SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. \*This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	FCS Financial, Jefferson City, MO	March 2001	Farm Real Estate Mortgage (S. State TT)	\$50,001 - \$100,000
JT	FCS Financial, Jefferson City, MO	Dec 2008	Farm Real Estate Mortgage (29615 Hartzler Rd.)	\$500,001 - \$1,000,000
JT	FCS Financial, Jefferson City, MO	Aug 2002	Farm Real Estate Mortgage (S. East Outer Rd.)	\$15,001 - \$50,000
JT	FCS Financial, Jefferson City, MO	Mar 2011	Personal Residence/Farm Real Estate Mortgage (South Pleasant Ridge Road)	\$250,001 - \$500,000
JT	FCS Financial, Jefferson City, MO	Nov 2012	Farm Real Estate Mortgage (29700 S. Hartzler Rd.)	\$250,001 - \$500,000

# SCHEDULE IX - AGREEMENTS

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
10-4-2007	Pleasant Word/Winepress Publishing Agreement	Royalties on sales of book
12-31-2000	MO State Employee Retirement System	Continued participation in a retirement account
6-1994	Public School Retirement System of Missouri (pension)	Continued participation in a retirement account