

UNITED STATES HOUSE OF REPRESENTATIVES

FORM A For use by Members, officers, and employees

Page 1 of 19

LEGISLATIVE RESOURCE CENTER
2012 MAY 14 PM 4:43
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Louis J. Barletta

570-751-0050

(Full Name)

(Daytime Telephone)

Filer Status ☒ Member of the U.S. House of Representatives State: PA District: 11

☐ Officer Or Employee Employing Office:

Report Type ☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "unearned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Louis J. Barletta

Page 2 of 19

BLOCK A					
Asset and/or Income Source					
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any financial institution; or any other asset held in a retirement plan.</p>					
BLOCK B		BLOCK C			
Year-End Value of Asset		Type of Income			
<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>		<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>			
BLOCK D		BLOCK E			
Amount of Income		Transaction			
<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>		<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>			
JT	322 Rocky Road Hazleton PA	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
JT	Cash- Morgan Stanley Bank N.A.	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
JT	Cash- Goldman Sachs Bank USA	\$50,001 - \$100,000	INTEREST	\$1 - \$200	
JT	American Electric Power Company Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Clean Energy Fuels Corp	\$1,001 - \$15,000	DIVIDENDS	NONE	S(part)
JT	Google Inc	\$15,001 - \$50,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Louis J. Bartetta

Page 3 of 19

JT	Leucadia National Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Linn Energy LLC	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	PPL Corp	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Schlumberger	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Alps ETF Trust Alienian MLP ETF	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	Ishares Gold Trust	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Market Vectors Retail ETF	\$15,001 - \$50,000	DIVIDENDS	NONE	
JT	Powershares Trust II S&P 500 Low Volatility ETF	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Select Sector SPDR Trust SBI Utilities	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	SPDR Series Trust S&P Dividend ETF	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Copano Energy LLC	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Kinder Morgan Energy Partners	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Cash- Goldman Sachs Bank USA	\$100,001 - \$250,000	INTEREST	\$1 - \$200	
JT	Altria Group Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Louis J. Barletta

Page 4 of 19

JT	Apple Inc	\$15,001 - \$50,000	DIVIDENDS	NONE	
JT	Cabot Oil & Gas Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Caterpillar Inc	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Cincinnati Financial Corp	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Du Pont E.I. DE Nemours & Company	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Google Inc CL A	\$1,001 - \$15,000	DIVIDENDS	NONE	
JT	International Business Machines Corp	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Linn Energy LLC	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Nucor Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Polaris Industries Inc	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Realty Income Corp	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Seadrill Limited	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Tractor Supply Company	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Unilever	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Louis J. Barletta

Page 5 of 19

JT	Alps ETF Trust Alerian MLP ETF	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Ishares Gold Trust	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Powershares Exchange Traded Fund Financial Preferred Stock Portfolio	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	SPDR Series Trust S&P Dividend ETF	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	S(part)
JT	Markwest Energy Partners	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
	IRA- Lazard Emerging Markets	\$1,001 - \$15,000	None	NONE	
	IRA- Lazard Mid Cap Equity	\$1,001 - \$15,000	None	NONE	
	IRA- MCM Nasdaq 25	\$1,001 - \$15,000	None	NONE	
	IRA- PAM China-India	\$1,001 - \$15,000	None	NONE	
	IRA- MCM JNL 5	\$1,001 - \$15,000	None	NONE	
	IRA- PPM American High Yield Bond	\$1,001 - \$15,000	None	NONE	
	IRA- T. Rowe Price S-T Bond	\$15,001 - \$50,000	None	NONE	
	IRA- MCM Small Cap Index	\$1,001 - \$15,000	None	NONE	
	IRA- BlackRock Comm Securities	\$1,001 - \$15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Louis J. Bartetta

Page 6 of 19

IRA- MCM Oil & Gas Sector	\$1,001 - \$15,000	None	NONE	
IRA- Red Rocks Listed Pvt Eqt	\$1,001 - \$15,000	None	NONE	
IRA- Jackson S&P 4	\$1,001 - \$15,000	None	NONE	
IRA- Jackson Dividend Income	\$1,001 - \$15,000	None	NONE	
IRA- Lazard Emerging Markets	\$15,001 - \$50,000	None	NONE	
IRA- Lazard Mid Cap Equity	\$1,001 - \$15,000	None	NONE	
IRA- MCM Nasdaq 25	\$15,001 - \$50,000	None	NONE	
IRA- PAM China-India	\$1,001 - \$15,000	None	NONE	
IRA- MCM JNL 5	\$15,001 - \$50,000	None	NONE	
IRA- PPM America Float Income	\$1,001 - \$15,000	None	NONE	P
IRA- T. Rowe Price S-T Bond	\$15,001 - \$50,000	None	NONE	
IRA- MCM Small Cap Index	\$1,001 - \$15,000	None	NONE	
IRA- BlackRock Comm Securities	\$1,001 - \$15,000	None	NONE	
IRA- MCM Oil & Gas	\$1,001 - \$15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Louis J. Barletta

Page 7 of 19

	IRA- Red Rocks Listed Pvt Eqt	\$1,001 - \$15,000	None	NONE	
	IRA- Jackson S&P 4	\$1,001 - \$15,000	None	NONE	
	IRA- Jackson Dividend Income	\$1,001 - \$15,000	None	NONE	
SP	IRA- Cash- Goldman Sachs Bank USA	\$1 - \$1,000	None	NONE	
SP	IRA- Altria Group Inc	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	IRA- Linn Energy LLC	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
SP	IRA- PPL Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	IRA- Unilever	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	IRA- Alps ETF Trust	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
SP	IRA- Oppenheimer Main Str Cap Fund	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	IRA- Powershares ETF Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
SP	IRA- SPDR Series Trust	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	IRA- Wells Fargo Advantage Utilities	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	IRA- Western Asset High Yield Defined Opportunity Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Louis J. Barletta

Page 8 of 19

SP	IRA- Lazard Emerging Markets	\$1,001 - \$15,000	None	NONE	
SP	IRA- Lazard Mid Cap Equity	\$1,001 - \$15,000	None	NONE	
SP	IRA- MCM Nasdaq 25	\$1,001 - \$15,000	None	NONE	
SP	IRA- Pam China- India	\$1,001 - \$15,000	None	NONE	
SP	IRA- MCM JNL 5	\$1,001 - \$15,000	None	NONE	
SP	IRA- PPM America High Yield Bond	\$1,001 - \$15,000	None	NONE	
SP	IRA- T. Rowe Price S-T Bond	\$15,001 - \$50,000	None	NONE	
SP	IRA- MCM Sm Cap Index	\$1,001 - \$15,000	None	NONE	
SP	IRA- BlackRock Comm Securities	\$1,001 - \$15,000	None	NONE	
SP	IRA- MCM Financial Sector	\$1,001 - \$15,000	None	NONE	
SP	IRA- Red Rocks Listed Pvt Eqt	\$1,001 - \$15,000	None	NONE	
SP	IRA- Jackson S&P 4	\$1,001 - \$15,000	None	NONE	
SP	IRA- Jackson Dividend Income	\$1,001 - \$15,000	None	NONE	
	IRA- Cash- LPL Financial	\$15,001 - \$50,000	INTEREST	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Louis J. Barletta

Page 9 of 19

	IRA- CVS Caremark Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	IRA- Google Inc Class A	\$1,001 - \$15,000	DIVIDENDS	NONE	
	IRA- International Business Machines Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	IRA- Merck & Company Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	IRA- Seadrill Limited	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
	IRA- Blackrock Funds II Strategic Income Opportunities Port	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	

	IRA- Powershares ETF Fund Senior Loan Port	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
	IRA- Sector SPDR Trust SBI Industrial	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	IRA- SPDR Series Trust S&P Oil & Gas Exploration & Production ETF	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P

	IRA- SPDR Series Trust S&P Dividend ETF	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	IRA- Western Asset High Yield Defined Opportunity Fund Inc	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	P
JT	Apache Corp	None	CAPITAL GAINS	\$1 - \$200	S
JT	BRCLYS S&P 500 VIX	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Cabot Oil & Gas Corp	None	CAPITAL GAINS	\$1,001 - \$2,500	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Louis J. Barletta

Page 10 of 19

JT	Carbo Ceramics Inc	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	Prosh ULTST 500	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	Deere & Company	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Eaton Tax Managed Div 1	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	Eaton Tax Managed Div 1	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	SPDR S&P Metals & Mining	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Wisdomtree Int Mid Div ETF	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	Prudential 20/20 Focus	None	CAPITAL GAINS	\$5,001 - \$15,000	S
JT	Prudential 20/20 Focus	None	CAPITAL GAINS	\$5,001 - \$15,000	S
JT	SPDR LEH High Yield Bond ETF	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	Sector SPDR SBI Energy	None	CAPITAL GAINS	\$2,501 - \$5,000	S
JT	Sector SPDR SBI Energy	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Sector SPDR SBI Energy	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Sector SPDR SBI Technology	None	CAPITAL GAINS	\$1,001 - \$2,500	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Louis J. Barletta

Page 11 of 19

JT	Sector SPDR SBI Technology	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	Sector SPDR SBI Technology	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	Vanguard Dividend Appreciation	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Vanguard Small Cap Growth	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	Wisdomtree Emerging Small Cap Dividend	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Wisdomtree International Mid Cap Dividend	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	Ishares Multimedia	None	CAPITAL GAINS	\$1 - \$200	S
JT	Kodiak Oil & Gas Corp	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Kodiak Oil & Gas Corp	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	Salesforce.com	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	WABCO Holdings Inc	None	CAPITAL GAINS	\$2,501 - \$5,000	S
JT	Allergan Inc	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	Altria Group	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	ConocoPhillips	None	CAPITAL GAINS	\$1,001 - \$2,500	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Louis J. Barletta

Page 12 of 19

JT	EMC Corp Mass	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	EMC Corp Mass	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Insignia Systems Inc	None	CAPITAL GAINS	\$1 - \$200	S
JT	Ishares Gold Trust	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	Ishares BRCLYS TIPS BD	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Ishares Silver Trust	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Ishares Silver Trust	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	Sanridge Energy Inc	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Sanridge Energy Inc	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Wisdomtree International Large Cap Dividend	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	Altria Group Inc	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Coca Cola Company	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Ishares S&P Mid Cap 400	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	Ishares S&P Mid Cap 400	None	CAPITAL GAINS	\$201 - \$1,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Louis J. Bartetta

Page 13 of 19

JT	Ishares S&P Mid Cap 400	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	Ishares S&P US PFD	None	CAPITAL GAINS	\$5,001 - \$15,000	S
JT	Microsoft Corp	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Norfolk Southern Corp	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Norfolk Southern Corp	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Powershares Financial Preferred Port	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Powershares Financial Preferred Port	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	SPDR Gold Trust	None	CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Vanguard Small Cap Growth	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	Vanguard Small Cap Growth	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	Vanguard Small Cap Value	None	CAPITAL GAINS	\$201 - \$1,000	S
JT	11110 Ballweg Lane, Fort Myers, FL	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	S(part)

SCHEDULE IV - TRANSACTIONS

Name Louis J. Barletta

Page 14 of 19

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	LPL Financial 1224-0930 (see attached Schedule 1)	P	N/A	Various	\$500,001 - \$1,000,000
JT	LPL Financial 1224-0930 (see attached Schedule 1)	S	No	Various	\$500,001 - \$1,000,000
JT	LPL Financial 6259-1107 (see attached Schedule 2)	P	N/A	Various	\$250,001 - \$500,000
JT	LPL Financial 6259-1107 (see attached Schedule 2)	S	No	Various	\$500,001 - \$1,000,000
SP	IRA- Altria Group Inc	P	N/A	12-5-11	\$1,001 - \$15,000
SP	IRA- Linn Energy LLC	P	N/A	12-5-11	\$1,001 - \$15,000
	IRA- America Float Income	P	N/A	6-1-11	\$1,001 - \$15,000
SP	IRA- PPL Corp	P	N/A	9-13-11	\$1,001 - \$15,000
SP	IRA- Unilever	P	N/A	9-13-11	\$1,001 - \$15,000
SP	IRA- Alps ETF Trust	P	N/A	10-26-11	\$1,001 - \$15,000
SP	IRA- Powershares ETF Fund	P	N/A	6-1-11	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Louis J. Barletta

Page 15 of 19

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	IRA- SPDR Series Trust S&P Dividend	P	N/A	3-23-11	\$1,001 - \$15,000
SP	IRA- Western Asset High Yield Defined Opportunity Fund	P	N/A	6-1-11	\$1,001 - \$15,000
SP	IRA- Western Asset High Yield Defined Opportunity Fund	P	N/A	9-13-11	\$1,001 - \$15,000
SP	IRA- Blackrock Global Allocation Fund	S	No	9-13-11	\$1,001 - \$15,000
SP	IRA- Blackrock Global Allocation Fund	S	No	10-26-11	\$1,001 - \$15,000
SP	IRA- Eaton Vance Mutual Funds Global Dividend Income Fund	S	No	6-1-11	\$1,001 - \$15,000
SP	IRA- Ishares Trust Barclays Tips Bond Fund	S	No	2-8-11	\$1,001 - \$15,000
SP	IRA- Prudential S-T Corp Bond Fund	S	No	3-1-11	\$1,001 - \$15,000
SP	IRA- Sector SPDR Trust Technology	S	No	3-23-11	\$1,001 - \$15,000
SP	IRA- Wisdomtree Trust International Large Cap Dividend Fund	S	No	1-26-11	\$1,001 - \$15,000
SP	IRA- Sector SPDR Trust Industrial	P	N/A	1-26-11	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Louis J. Barietta

Page 16 of 19

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	IRA- Sector SPDR Trust Industrial	S	No	10-14-11	\$1,001 - \$15,000
	IRA- Berkshire Hathaway Inc	S	No	6-1-11	\$1,001 - \$15,000
	IRA- Bristol Myers Squibb Company	S	No	6-1-11	\$1,001 - \$15,000
	IRA- Devon Energy Corp	S	No	4-12-11	\$1,001 - \$15,000
	IRA- Microsoft Corp	S	No	4-29-11	\$1,001 - \$15,000
	IRA- SPDR Gold Trust Shares	S	No	1-31-11	\$1,001 - \$15,000
	IRA- Weatherford International	S	No	3-2-11	\$1,001 - \$15,000
	IRA- Eaton Vance Mutual Funds Global Dividends Income Fund Class A	S	No	4-12-11	\$1,001 - \$15,000
	IRA- Seadrill Limited	P	N/A	3-18-11	\$1,001 - \$15,000
	IRA- Powershares Senior LN Port NYSE ETF Fund	P	N/A	6-1-11	\$1,001 - \$15,000
	IRA- SPDR Series Trust S&P Oil & Gas	P	N/A	2-3-11	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Louis J. Bartetta

Page 17 of 19

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	IRA- Western Asset High Yield	P	N/A	6-1-11	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

Name Louis J. Barfetta

Page 18 of 19

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	First National Bank	December 2003	Mortgage on 322 Rocky Road, Hazleton, PA	\$100,001 - \$250,000
JT	Wells Fargo	December 2003	Line of credit, secured by 322 Rocky Road, Hazleton, PA	\$100,001 - \$250,000
JT	Wells Fargo	May 2004	Line of credit, secured by Bethany Beach, DE vacation residence	\$250,001 - \$500,000
JT	Wells Fargo	March 2005	Line of credit, secured by Bethany Beach, DE vacation residence	\$50,001 - \$100,000
JT	Wells Fargo	November 2003	Mortgage on 1529 Terrace Blvd. Hazleton, PA	\$250,001 - \$500,000
JT	Wells Fargo	May 2007	Mortgage on Bethany Beach, DE vacation residence	\$1,000,001 - \$5,000,000
JT	Bank of America	September 2011	Mortgage on 461 Carleton Avenue Hazleton, PA	\$50,001 - \$100,000

SCHEDULE VIII - POSITIONS

Name Louis J. Barletta

Page 19 of 19

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	National Board of Advisors- Federation for American Immigration Reform

1099 , continued

Account Number: 1930

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 9)	CUSIP	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS) (BOX 4)	WASH SALE LOSS DISALLOWED ¹ (BOX 5)	NON- COVERED SECURITIES ² (BOX 6)	FEDERAL TAX WITHHELD (BOX 7)	STATE TAX WITHHELD (BOX 8)	COLLECTIBLES ALLOCATION FACTOR (CAF) ³
COVERED: FORM 8849 (BOX A) WITH BASIS REPORTED TO THE IRS, continued											
PROSH ULT ST 500 ETF QTY: 500.00000	74347R883	08/08/11	08/30/11	10,321.20	10,993.60	0.00	(672.40)				
PROSH ULT ST 500 ETF QTY: 500.00000	74347R883	09/17/11	09/05/11	12,298.76	11,842.35	457.41	0.00				
VANGUARD MID CAP GRW ETF QTY: 200.00000	922908638	04/01/11	10/21/11	11,978.13	13,641.89	(1,662.86)	0.00				
VANGUARD TTL STK MKT ETF QTY: 500.00000	922908769	03/15/11	09/30/11	29,242.23	32,935.00	(3,692.77)	0.00				
TOTAL SHORT-TERM COVERED				174,714.87	189,067.85	(13,700.39)					
NON-COVERED: FORM 8849 (BOX B) WITH BASIS NOT REPORTED TO THE IRS											
BLACKROCK STRT INC OP INSL QTY: 2522.70400	092568-286	02/24/11	07/19/11	25,000.00	25,227.04	(219.86)	(7.19)	X			
BLACKROCK STRT INC OP INSL QTY: 5144.03300	092568-286	02/24/11	08/10/11	50,000.00	51,440.33	(1,435.87)	(4.46)	X			
BLACKROCK STRT INC OP INSL QTY: 2530.53400	092568-286	VARIOUS	10/21/11	24,191.91	25,259.75	(1,107.84)	0.00	X			
DEERE & COMPANY QTY: 100.00000	244199105	12/07/10	05/05/11	9,213.92	8,045.00	1,168.92	0.00	X			
EATON TAX MANAGED DIV I QTY: 961.35400	277923886	10/08/10	04/05/11	10,000.00	9,371.94	628.06	0.00	X			
EATON TAX MANAGED DIV I QTY: 1726.26900	277923886	VARIOUS	09/09/11	17,297.18	16,508.45	787.74	0.00	X			
HESS CORP QTY: 100.00000	42809H107	12/03/10	09/30/11	5,337.09	7,450.90	(2,113.81)	0.00	X			
PRUDENTIAL CORP BOND Z QTY: 9050.26700	74441R508	VARIOUS	02/23/11	104,078.07	104,096.98	(18.91)	0.00	X			
SPDR S&P INTL DIVND ETF QTY: 150.00000	794630X72	11/09/10	08/04/11	7,879.85	8,598.50	(698.65)	0.00	X			
SPDR S&P MTL SAMINING ETF QTY: 100.00000	78464A755	02/05/10	01/04/11	6,871.92	4,769.80	2,102.12	0.00	X			
WESTERN HIGH YLD DEFINED QTY: 3400.00000	95789B107	VARIOUS	12/30/11	58,282.92	61,454.75	(5,171.83)	0.00	X			

¹ The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return. The basis of the acquired securities has been adjusted by the amount of disallowed loss reported.

² These securities are not covered under the 2011 phase of the Cost Basis accounting legislation passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to your 2011 1099 Tax Guide for more information on covered securities.

³ Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2011 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to your 2011 WHFT Guide for details.

1099 continued

Account Number: 9930

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION	CUSIP	DATE OF ACQUISITION	DATE OF SALE OR EXCHANGE	GROSS PROCEEDS		COST OR OTHER BASIS	GAIN OR (LOSS)	WASH SALE LOSS	NON-COVERED SECURITY ²	FEDERAL TAX WITHHELD	STATE TAX WITHHELD	COLLECTIBLES ALLOCATION FACTOR (CAF) ³
				(LESS COMMISSIONS AND OPTION PREMIUMS)	(BOX 2)							
(BOX 9)		(BOX 1B)	(BOX 1A)	(BOX 2)	(BOX 3)	(BOX 5)	(BOX 6)	(BOX 4)				
NON-COVERED: FORM 8849 (BOX B) WITH BASIS NOT REPORTED TO THE IRS, continued												
WESTERN HIGH YLD DEFINED QTY: 100.00000	867889107	11/30/11	12/30/11	1,656.96	1,734.00	(77.04)	0.00	X				
WISDMTRE INT MID DIV ETF QTY: 100.00000	87717W778	04/27/10	02/23/11	5,305.80	4,890.00	415.80	0.00	X				
TOTAL SHORT-TERM NON-COVERED				322,915.73	328,888.44	(5,972.67)						

LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 8)

NON-COVERED: FORM 8849 (BOX B) WITH BASIS NOT REPORTED TO THE IRS												
PRUDENTIAL 2020 FOCUS A QTY: 1528.11700	74440G107	07/27/09	02/23/11	25,000.00	19,814.30	5,185.70	0.00	X				
PRUDENTIAL 2020 FOCUS A QTY: 1551.22400	74440G107	VARIOUS	05/13/11	26,107.10	20,206.70	5,901.40	0.00	X				
SPDR LEH H YLD BOND ETF QTY: 750.00000	78464A417	08/22/09	08/13/11	29,782.67	29,145.75	636.92	0.00	X				
SPDR LEH H YLD BOND ETF QTY: 750.00000	78464A417	VARIOUS	11/30/11	28,359.05	29,501.37	(1,142.32)	0.00	X				
SECTR SPDR SBI ENER ETF QTY: 150.00000	813897506	02/05/10	03/10/11	11,083.78	8,254.51	2,829.27	0.00	X				
SECTR SPDR SBI ENER ETF QTY: 100.00000	813897506	02/05/10	03/10/11	7,398.85	5,520.16	1,878.69	0.00	X				
SECTR SPDR SBI ENER ETF QTY: 100.00000	813897506	02/05/10	04/18/11	7,529.13	5,506.67	2,022.46	0.00	X				
SECTR SPDR SBI TECH ETF QTY: 500.00000	813897803	12/10/09	03/23/11	12,659.75	11,468.40	1,191.35	0.00	X				
SECTR SPDR SBI TECH ETF QTY: 250.00000	813897803	VARIOUS	04/18/11	6,319.88	5,754.00	565.88	0.00	X				
SECTR SPDR SBI TECH ETF QTY: 250.00000	813897803	VARIOUS	08/17/11	6,117.41	5,557.40	560.01	0.00	X				
VANGUARD DIV APPREC ETF QTY: 250.00000	821908844	03/11/10	08/17/11	13,807.73	12,039.80	1,767.93	0.00	X				
VGRD SMALL CAP GRWTH ETF QTY: 200.00000	922306395	VARIOUS	08/10/11	13,854.88	13,078.78	776.10	0.00	X				

¹ The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return. The basis of the acquired securities has been adjusted by the amount of disallowed loss reported.

² These securities are not covered under the 2011 phase of the Cost Basis accounting regulations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to your 2011 1099 Tax Guide for more information on covered securities.

³ Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UTR grantor trusts you sold in 2011 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to your 2011 WHTT Guide for details.

1099, continued

Account Number: 1930

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 9)	CUSIP (BOX 1B)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2)		COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS) (BOX 5)	WASH SALE LOSS DISALLOWED ¹ (BOX 5)	NON- COVERED SECURITY ² (BOX 6)	FEDERAL TAX WITHHELD (BOX 4)	STATE TAX WITHHELD	COLLECTIBLES ALLOCATION FACTOR (CAF) ³
NON-COVERED: FORM 8849 (BOX B) WITH BASIS NOT REPORTED TO THE IRS, continued												
VANGUARD SML CAP VAL ETF QTY: 200.00000	922808611	VARICUS	09/10/11	11,517.13		11,956.60	(439.47)	0.00	X			
WISDMTRE EMERG SMCOP D ETF QTY: 226.00000	97717W281	11/11/09	02/10/11	11,522.09		9,573.95	1,948.14	0.00	X			
WISDMTRE INT MID DIV ETF QTY: 226.00000	97717W778	11/11/09	02/23/11	11,338.26		11,349.86	598.40	0.00	X			
TOTAL LONG-TERM NON-COVERED				222,905.53		199,756.33	24,049.20					
TOTAL SALE TRADES OR EXCHANGES (BOX 2)				720,436.13								

¹ The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return. The basis of the acquired securities has been adjusted by the amount of disallowed loss reported.

² These securities are not covered under the 2011 phase of the Cost Basis accounting legislation passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to your 2011 1099 Tax Guide for more information on covered securities.

³ Collectibles Allocation Factor (CAF). Represents the percentage of proceeds associated with UBT grantor trusts you sold in 2011 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to your 2011 WFITF Guide for details.

NON FEDERALLY REPORTED ITEMS SECTION

DETAILS - NON-FEDERALLY REPORTED ITEMS

Account Number: 3930

A Form Schedule K-1 should be mailed to you directly from the General Partner, reporting your pro rata share of the partnership's taxable income. Although the partnership generally is not subject to income tax, you are liable for tax on your share of partnership income, whether or not distributed. Please use the Schedule K-1 to include your share of income on your tax return. The information on this statement only represents cash distributions you received from the partnership, but is not classified as taxable or dividend income, and is instead considered a return of capital (adjustment to your basis).

This section lists non-federally reported items, which may be useful in helping you prepare your tax return. These items may or may not be taxable at the state or local level. Please review this information with your tax advisor.

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	NFR BOX
COPANO ENERGY LLC	217222100	11/10/11	PARTNERSHIP DIST	250.000	0.00	143.75	8
KINDER MORGAN ENERGY	494650106	11/14/11	PARTNERSHIP DIST	200.000	0.00	232.00	8
ADVISORY FEE	MANGT FEES	03/09/11	MGT ACCT FEES	0.000	0.00	829.32	7
ADVISORY FEE	MANGT FEES	08/09/11	MGT ACCT FEES	0.000	0.00	791.88	7
ADVISORY FEE	MANGT FEES	09/09/11	MGT ACCT FEES	0.000	0.00	806.35	7
ADVISORY FEE	MANGT FEES	12/09/11	MGT ACCT FEES	0.000	0.00	566.03	7
SUBTOTAL						2,893.58	7

PURCHASES							
ALPS ALERIAN MLP ETF	001620866	08/10/11	PURCHASE	500.000	15.42	7,710.00	11
ALPS ALERIAN MLP ETF	001620866	11/18/11	PURCHASE	800.000	15.89	12,712.00	11
ALPS ALERIAN MLP ETF	001620866	11/19/11	PURCHASE	200.000	15.89	3,177.54	11
SUBTOTAL						23,599.54	
AMER ELEC PMR CO INC	025537101	08/16/11	PURCHASE	200.000	37.09	7,417.04	11
APACHE CORP	037411105	02/23/11	PURCHASE	100.000	120.34	12,033.94	11
BRCLYS S&P 500 VIX ETN	06740C261	02/23/11	PURCHASE	500.000	33.09	16,542.97	11
BLACKROCK STRT INC CP INSL	082581266	02/24/11	PURCHASE	10,000.000	10.00	100,000.00	11
CABOT OIL & GAS CORP	127097103	07/19/11	PURCHASE	100.000	68.14	6,814.00	11
CARBO CERAMICS INC	140781105	04/05/11	PURCHASE	100.000	137.74	13,773.81	11

NO. 1 FEDERAL REPORTED ITEM SECTION, continued

DETAILS - NON-FEDERALLY REPORTED ITEMS

Account Number

4-0930

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	NFR BOX
CARBO CERAMICS INC	140781105	08/29/11	PURCHASE	50.000	153.58	7,678.00	11
SUBTOTAL						21,452.81	
CLEAN ENERGY FUELS CORP	184499101	07/14/11	PURCHASE	500.000	15.65	7,824.85	11
CLEAN ENERGY FUELS CORP	184499101	10/28/11	PURCHASE	250.000	11.31	2,827.40	11
SUBTOTAL						10,652.25	
COPANO ENERGY LLC	217202100	08/16/11	PURCHASE	250.000	33.15	8,286.83	11
DEERE & COMPANY	244189105	01/09/11	PURCHASE	100.000	84.22	8,421.98	11
GENERAL ELECTRIC COMPANY	368604103	03/10/11	PURCHASE	500.000	20.49	10,245.00	11
GLBL XFD CONSUMER ETF	37860E408	08/29/11	PURCHASE	500.000	18.15	9,074.35	11
GOOGLE INC CL A	38258P808	08/16/11	PURCHASE	25.000	549.07	13,728.75	11
HESS CORP	42809H107	08/16/11	PURCHASE	100.000	59.71	5,970.84	11
ISHRS RSL 1000 GRW ETF	464287614	03/03/11	PURCHASE	350.000	60.84	21,293.97	11
KINDER MORGAN ENERGY	484550106	08/17/11	PURCHASE	200.000	70.53	14,106.50	11
LINN ENERGY LLC UNIT	538020100	12/02/11	PURCHASE	200.000	37.15	7,430.44	11
PPL CORP	683511106	08/16/11	PURCHASE	500.000	28.71	13,354.00	11
PARSHS S&P 500 LOW ETF	738378779	11/09/11	PURCHASE	1,000.000	24.75	24,750.00	11
PROSH ULTST 500 ETF	74347R883	08/09/11	PURCHASE	500.000	21.99	10,993.80	11
PROSH ULTST 500 ETF	74347R883	08/17/11	PURCHASE	500.000	22.34	11,169.95	11
SUBTOTAL						22,163.95	
RETAIL HOLDERS DEP ETF	76127U101	10/25/11	PURCHASE	200.000	111.16	22,231.82	11
SCHLUMBERGER LTD	808957108	08/30/11	PURCHASE	100.000	60.36	6,036.70	11

NOI FEDERALLY REPORTED ITEM: SECTION, continued

Account Number **1-0930**

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	NFR BOX
SELECT SECT SBI UTIL ETF	81369Y866	09/06/11	PURCHASE	500.000	32.90	16,449.00	11
VANGUARD MID CAP GRW ETF	922906338	04/01/11	PURCHASE	200.000	68.21	13,641.99	11
VANGUARD TTL STK MKT ETF	922908769	03/15/11	PURCHASE	500.000	65.87	32,935.00	11
WESTERN HIGH YLD DEFINED	95769B107	05/17/11	PURCHASE	1,000.000	19.58	19,584.85	11
WESTERN HIGH YLD DEFINED	95769B107	10/28/11	PURCHASE	1,000.000	17.60	17,601.90	11
WESTERN HIGH YLD DEFINED	95769B107	11/30/11	PURCHASE	1,200.000	17.35	20,820.00	11
WESTERN HIGH YLD DEFINED	95769B107	11/30/11	PURCHASE	300.000	17.34	5,202.00	11
SUBTOTAL						63,168.75	

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

The proceeds stated on this page are net of option premiums. If you have securities sold because of the exercise of an option granted or acquired before 2013, your option premiums can be found separately in the Non-Federally Reported section.

SECURITY DESCRIPTION (BOX 9)	CUSIP	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	WASH SALE	NON-	FEDERAL	STATE	COLLECTIBLES
				(LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2)			LOSS DISALLOWED ¹ (BOX 5)	COVERED SECURITY ² (BOX 6)	TAX WITHHELD (BOX 4)	TAX WITHHELD	ALLOCATION FACTOR (CAF) ³
SHORT-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD ONE YEAR OR LESS (BOX 9)											
COVERED: FORM 9949 (BOX A) WITH BASIS REPORTED TO THE IRS											
ARM HLDGS PLC SPONS ADR QTY: 200.00000	042068106	08/16/11	12/30/11	5,510.29	5,707.60	(197.31)	0.00				
EMERSON ELECTRIC COMPANY QTY: 300.00000	291011104	VARIOUS	07/29/11	15,050.71	17,098.88	(2,048.17)	0.00				
GASTRAC ENERGY SVCS INC QTY: 500.00000	367257201	VARIOUS	08/10/11	3,835.27	6,356.24	(2,522.97)	0.00				
ISHRS NAWMLT/MEDIA ETF QTY: 250.00000	464287531	01/29/11	03/23/11	8,595.08	8,592.50	32.58	0.00				
KODIAK OIL & GAS CORP QTY: 750.00000	500150100	VARIOUS	12/14/11	6,158.41	5,004.25	1,155.16	0.00				
KODIAK OIL & GAS CORP QTY: 500.00000	500150100	07/09/11	12/14/11	4,104.92	3,158.75	946.17	0.00				
NVIDIA CORP QTY: 500.00000	670663104	09/14/11	12/30/11	7,000.61	7,553.55	(552.94)	0.00				
PROSH ULTST 30 FD ETF QTY: 750.00000	743475867	08/19/11	08/14/11	14,994.31	15,655.80	(661.49)	0.00				
PROSH ULTST 500 ETF QTY: 250.00000	743475883	08/09/11	08/30/11	5,157.40	5,500.00	(342.60)	0.00				
PROSH ULTST 500 ETF QTY: 250.00000	743475883	08/09/11	07/20/11	5,088.10	5,500.00	(431.90)	0.00				
SPDR S&P OIL&GAS EXP ETF QTY: 150.00000	784644730	02/03/11	12/30/11	7,927.76	8,570.98	(643.23)	0.00				
SALESFORCE.COM INC QTY: 50.00000	784681302	03/04/11	08/08/11	7,180.38	6,475.50	694.88	0.00				
SALESFORCE.COM INC QTY: 50.00000	784681302	03/04/11	07/11/11	7,663.35	6,475.50	1,187.85	0.00				
SECTR SPDR SBI IND ETF QTY: 300.00000	813697704	01/29/11	10/03/11	8,573.86	10,934.16	(2,360.30)	0.00				

¹ The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return. The basis of the acquired securities has been adjusted by the amount of disallowed loss reported.

² These securities are not covered under the 2011 phase of the Cost Basis accounting legislation passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to your 2011 1099 Tax Guide for more information on covered securities.

³ Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UTR grantor trusts you sold in 2011 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to your 2011 WTRFT Guide for details.

1099. continued

Account Number: 60107

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 9)	CUSIP	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2)		COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS) (BOX 4)	WASH SALE LOSS DISALLOWED ¹ SECURITY ² (BOX 5)	NON- COVERED SECURITY ² (BOX 6)	FEDERAL TAX WITHHELD (BOX 4)	STATE TAX WITHHELD	COLLECTIBLES ALLOCATION FACTOR (CAF) ³
COVERED: FORM 8849 (BOX A) WITH BASIS REPORTED TO THE IRS, continued												
SECTR SPDR SBI IND ETF QTY: 200.00000	81369704	01/28/11	10/03/11	5,715.89		7,280.00	(1,574.11)	0.00				
WABCO HOLDINGS INC QTY: 300.00000	82827K102	VARIOUS	05/05/11	20,920.35		18,332.00	2,588.35	0.00				
TOTAL SHORT-TERM COVERED				133,427.87		139,168.72	(4,741.05)					
NON-COVERED: FORM 8849 (BOX B) WITH BASIS NOT REPORTED TO THE IRS												
ALGER SPECTRA FD CLASS 1 QTY: 1889.68600	015568300	07/11/11	10/03/11	20,440.73		25,000.00	(4,559.27)	0.00	X			
ALLERGAN INC QTY: 100.00000	018490102	08/05/10	03/02/11	7,187.87		6,371.85	816.02	0.00	X			
ALTRIA GROUP INC QTY: 150.00000	022098103	VARIOUS	08/08/11	4,093.78		3,458.84	634.94	0.00	X			
BLACKROCK STRT INC OP INSL QTY: 2555.42400	082568286	VARIOUS	07/25/11	25,324.25		26,081.32	(757.07)	0.00	X			
CONOCOPHILLIPS QTY: 100.00000	20825C104	05/17/10	05/05/11	7,257.09		5,519.00	1,738.09	0.00	X			
EMC CORP MASS QTY: 150.00000	288948102	04/14/10	03/10/11	3,831.69		2,883.00	1,048.69	0.00	X			
EMC CORP MASS QTY: 150.00000	288948102	04/14/10	04/04/11	3,903.27		2,883.00	1,020.27	0.00	X			
INSIGNIA SYSTEMS INC QTY: 500.00000	457657105	11/12/10	02/10/11	3,631.38		3,386.40	244.98	0.00	X			
ISHRS GOLD TRUST QTY: 1500.00000	464285105	07/27/11	08/05/11	24,287.88		23,835.00	452.88	0.00	X			
ISHRS BRCLYS TIPS BD ETF QTY: 300.00000	484287176	03/12/10	02/28/11	32,512.89		31,288.16	1,224.73	0.00	X			
ISHRS SILVER TR QTY: 100.00000	464280108	02/23/11	04/28/11	4,381.19		3,258.72	1,122.47	0.00	X			
ISHRS SILVER TR QTY: 150.00000	464280108	02/23/11	07/20/11	5,629.38		4,888.58	740.81	0.00	X			
ISHRS S&P GSCI QTY: 500.00000	464281107	03/01/11	07/11/11	17,356.86		18,185.00	(828.14)	0.00	X			

¹ The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return. The basis of the acquired securities has been adjusted by the amount of disallowed loss reported.

² These securities are not covered under the 2011 phase of the Cost Basis accounting legislation passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to your 2011 1099 Tax Guide for more information on covered securities.

³ Collectible Allocation Factor (CAF). Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2011 that contain assets considered "collectible," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to your 2011 WHEFT Guide for details.

1099 continued

Account Number: 6250-1107

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX W... HELD

SECURITY DESCRIPTION	CUSIP	DATE OF ACQUISITION	DATE OF SALE OR EXCHANGE	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS)	COST OR OTHER BASIS	GAIN OR (LOSS)	WASH SALE LOSS DISALLOWED ¹	NON- COVERED SECURITY ²	FEDERAL TAX WITHHELD	STATE TAX WITHHELD	COLLECTIBLES ALLOCATION FACTOR (CAF) ³
(BOX 9)		(BOX 1B)	(BOX 1A)	(BOX 2)	(BOX 3)		(BOX 5)	(BOX 6)	(BOX 4)		
NON-COVERED: FORM 8949 (BOX B) WITH BASIS NOT REPORTED TO THE IRS, continued											
MICROSOFT CORP QTY: 1.00000	594918104	03/11/10	01/28/11	27.53	28.03	(1.50)	0.00	X			
NOVAGOLD RES INC NEW QTY: 750.00000	66867E208	VARIOUS	06/09/11	7,372.58	10,617.30	(3,244.72)	0.00	X			
SANDRIDGE ENERGY INC QTY: 500.00000	8000FP307	10/08/10	02/17/11	4,628.96	2,865.00	1,764.96	0.00	X			
SANDRIDGE ENERGY INC QTY: 500.00000	8000FP307	10/08/10	03/15/11	5,214.94	2,865.00	2,329.94	0.00	X			
WESTERN HIGH YLD DEFINED QTY: 2000.00000	957888107	VARIOUS	12/30/11	33,128.36	38,623.55	(5,495.19)	0.00	X			
WESTERN HIGH YLD DEFINED QTY: 1060.00000	957888107	11/30/11	12/30/11	17,377.16	18,230.94	(853.78)	0.00	X			
WESTERN HIGH YLD DEFINED QTY: 200.00000	957888107	11/30/11	12/30/11	3,317.93	3,470.00	(152.07)	0.00	X			
WINDMIRE INT LGCP DIV ETF QTY: 200.00000	9771TW794	03/10/10	02/01/11	9,572.71	9,078.00	494.71	0.00	X			
TOTAL SHORT-TERM NON-COVERED				240,478.34	242,867.49	(2,389.15)					

LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 9)

NON-COVERED: FORM 8949 (BOX B) WITH BASIS NOT REPORTED TO THE IRS											
ALTEA GROUP INC QTY: 100.00000	022068103	04/01/09	09/09/11	2,728.18	1,638.00	1,090.18	0.00	X			
COCA COLA COMPANY QTY: 100.00000	191216100	04/01/09	10/12/11	6,775.01	4,467.70	2,277.31	0.00	X			
ISHRS S&P MIDCP400 ETF QTY: 25.00000	464287608	03/10/10	07/29/11	2,663.19	2,064.21	598.98	0.00	X			
ISHRS S&P MIDCP400 ETF QTY: 125.00000	464287608	VARIOUS	08/08/11	11,241.03	10,512.13	728.90	0.00	X			
ISHRS S&P MIDCP400 ETF QTY: 25.00000	464287608	03/10/10	07/29/11	2,071.98	1,758.72	253.24	0.00	X			
ISHRS S&P MIDCP400 ETF QTY: 125.00000	464287608	VARIOUS	08/08/11	8,472.33	8,873.13	(400.80)	0.00	X			

¹ The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return. The basis of the acquired securities has been adjusted by the amount of disallowed loss reported.

² These securities are not covered under the 2011 phase of the Cost Basis accounting regulations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to your 2011 1099 Tax Guide for more information on covered securities.

³ Collectible Allocation Factor (CAF): Represents the percentage of proceeds associated with UG grantor trusts you sold in 2011 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to your 2011 WHFTT Guide for details.



02/03/20 6/17

1099 continued

Account Number: 107

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 9)	CUSIP	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2)		COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS) (BOX 5)	WASH SALE LOSS DISALLOWED ¹	NON- COVERED SECURITY ²	FEDERAL TAX WITHHELD (BOX 4)	STATE TAX WITHHELD	COLLECTIBLES ALLOCATION FACTOR (CAF) ³
								(BOX 6)	(BOX 6)	(BOX 4)		
NON-COVERED: FORM 8949 (BOX B) WITH BASIS NOT REPORTED TO THE IRS, continued												
ISHRS S&P US FPD ETF QTY: 530.00000	464288687	VARIOUS	09/14/11	19,335.50		13,998.47	5,337.03	0.00	X			
ISHRS S&P US FPD ETF QTY: 500.00000	464288687	VARIOUS	11/20/11	17,989.05		19,456.45	(1,467.40)	0.00	X			
MICROSOF CORP QTY: 200.00000	594918104	VARIOUS	01/28/11	5,504.46		3,889.84	1,614.62	0.00	X			
NORFOLK SOUTHERN CORP QTY: 50.00000	665644108	04/01/09	08/09/11	3,533.93		1,740.80	1,793.13	0.00	X			
NORFOLK SOUTHERN CORP QTY: 50.00000	665644108	04/01/09	07/29/11	3,775.92		1,740.80	2,035.12	0.00	X			
NICOR CORP QTY: 200.00000	670346105	04/05/10	12/30/11	7,536.04		9,469.98	(1,933.94)	0.00	X			
PWRSH FIN FPD PORT ETF QTY: 300.00000	73835X229	VARIOUS	08/14/11	4,949.58		3,521.62	1,428.96	0.00	X			
PWRSH FIN FPD PORT ETF QTY: 200.00000	73835X229	VARIOUS	09/14/11	3,297.93		1,483.44	1,814.49	0.00	X			
SPDR GOLD TRUST GOLD ETF QTY: 46.00000	78463V107	11/03/09	07/29/11	7,121.56		4,778.10	2,343.46	0.00	X			
SPDR LEH YLD BOND ETF QTY: 1400.00000	78464A117	VARIOUS	11/30/11	52,947.12		56,099.90	(2,152.78)	0.00	X			
VGRD SMALL CAP GRWTH ETF QTY: 26.00000	922806665	03/09/10	07/29/11	2,082.98		1,803.75	459.21	0.00	X			
VGRD SMALL CAP GRWTH ETF QTY: 125.00000	922806665	VARIOUS	08/09/11	8,604.83		8,083.75	521.08	0.00	X			
VANGUARD SML CAP VAL ETF QTY: 26.00000	922806611	03/10/10	07/29/11	1,880.21		1,473.28	216.92	0.00	X			
VANGUARD SML CAP VAL ETF QTY: 125.00000	922806611	VARIOUS	08/09/11	7,146.11		7,425.84	(279.73)	0.00	X			
TOTAL LONG-TERM NON-COVERED				179,763.90		163,130.02	16,653.88					
TOTAL SALE TRADES OR EXCHANGES (BOX 2)				553,690.91								

¹ The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return. The basis of the acquired securities has been adjusted by the amount of disallowed loss reported.

² These securities are not covered under the 2011 phase of the Cost Basis accounting legislation passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to your 2011 1099 Tax Guide for more information on covered securities.

³ Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UBT grantor trusts you sold in 2011 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to your 2011 WFTT Guide for details.

NON-FEDERALLY REPORTED ITEMS ACTION, continued

Account Number: 827-107

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	NFR BOX
ADVISORY FEE	MANGT FEES	12/08/11	MGT ACCT FEES	0.000	0.00	727.20	7
SUBTOTAL						3,239.49	
PURCHASES							
ALPS ALERIAN MLP ETF	001620889	07/20/11	PURCHASE	1,000.000	16.08	16,080.00	11
ALGER SPECTRA FD CLASS I	015568300	07/11/11	PURCHASE	1,889.898	13.16	25,000.00	11
AL TRIA GROUP INC	022088103	10/26/11	PURCHASE	150.000	26.94	4,040.84	11
AL TRIA GROUP INC	022088103	10/26/11	PURCHASE	100.000	26.83	2,683.50	11
SUBTOTAL						6,734.44	
APPLE INC	037833100	01/20/11	PURCHASE	25.000	331.85	8,296.25	11
APPLE INC	037833100	09/14/11	PURCHASE	50.000	388.47	19,423.50	11
SUBTOTAL						27,719.75	
ARM HLDGS PLC SPONS ADR	042068108	08/16/11	PURCHASE	200.000	28.54	5,707.80	11
CABOT OIL & GAS CORP	127087103	12/21/11	PURCHASE	150.000	77.11	11,566.43	11
CATERPILLAR INC	149123101	08/16/11	PURCHASE	200.000	91.20	18,238.84	11
CINCINNATI FINL CORP	172062101	08/10/11	PURCHASE	250.000	24.00	6,000.00	11
DU PONT E.L.DE NEMOURS CO	263534108	12/22/11	PURCHASE	200.000	45.56	9,111.52	11
EMERSON ELECTRIC COMPANY	291011104	01/28/11	PURCHASE	200.000	56.18	11,235.88	11
EMERSON ELECTRIC COMPANY	291011104	05/17/11	PURCHASE	100.000	52.84	5,283.90	11
SUBTOTAL						17,099.88	
GASFRAC ENERGY SVCS INC	387257201	03/03/11	PURCHASE	200.000	13.70	2,739.84	11
GASFRAC ENERGY SVCS INC	387257201	03/03/11	PURCHASE	50.000	13.62	680.80	11
GASFRAC ENERGY SVCS INC	387257201	03/10/11	PURCHASE	250.000	11.75	2,937.50	11
SUBTOTAL						6,358.24	



NON FEDERALLY REPORTED ITEMS **ACTION, continued** Account Number: 6 107 **DETAILS - NON-FEDERALLY REPORTED ITEMS**

DESCRIPTION	CUSIP	DATE	ACTION	QUANTITY	PRICE	AMOUNT	NFR BOX
GOOGLE INC CL A	38259F506	01/20/11	PURCHASE	20.000	625.47	12,509.39	11
INTL BUSINESS MACHS CORP	459200101	10/17/11	PURCHASE	100.000	166.04	16,604.26	11
ISHRS GOLD TRUST ETF	464265105	07/27/11	PURCHASE	1,500.000	15.89	23,835.00	11
ISHRS NANULTIMEA ETF	464267631	01/29/11	PURCHASE	250.000	34.21	8,592.50	11
ISHRS SILVER TR ETF	464260109	02/23/11	PURCHASE	250.000	32.80	8,199.30	11
ISHRS S&P 500 ETF	46426R107	03/01/11	PURCHASE	500.000	36.39	18,196.00	11
ISHARES HIGH DIV EQTY ETF	46426B663	07/08/11	PURCHASE	200.000	52.85	10,570.00	11
KODIAK OIL & GAS CORP	50016Q100	02/25/11	PURCHASE	300.000	7.08	2,123.25	11
KODIAK OIL & GAS CORP	50016Q100	02/25/11	PURCHASE	200.000	7.08	1,416.00	11
KODIAK OIL & GAS CORP	50016Q100	03/15/11	PURCHASE	250.000	5.86	1,465.00	11
KODIAK OIL & GAS CORP	50016Q100	07/08/11	PURCHASE	500.000	6.32	3,159.75	11
SUBTOTAL						8,163.00	
LINN ENERGY LLC UNIT	539020100	03/15/11	PURCHASE	200.000	37.63	7,526.96	11
MARKWEST ENERGY PRINTRS LP	570759100	12/19/11	PURCHASE	250.000	55.00	13,750.00	11
NVIDIA CORP	67086G104	08/14/11	PURCHASE	500.000	15.11	7,553.55	11
POLARIS INDUSTRIES INC	73106B102	07/29/11	PURCHASE	100.000	117.66	11,766.58	11
PROSH ULTST 30FD ETF	74347R267	08/19/11	PURCHASE	750.000	20.87	15,655.80	11
PROSH ULTST 500 ETF	74347R263	08/09/11	PURCHASE	500.000	22.00	11,000.00	11
REALTY INCOME CORP	756109104	10/29/11	PURCHASE	300.000	33.44	10,030.85	11
SPDR S&P OIL&GAS EXP ETF	7846AA730	02/03/11	PURCHASE	160.000	57.14	8,570.98	11

NON FEDERALLY REPORTED ITEMS

DETAILS - NON-FEDERALLY REPORTED ITEMS

Account Number: 107

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	NR BOX
SPDR S&P DIVIDEND ETF	78464A783	01/20/11	PURCHASE	200.000	52.07	10,413.96	11
SALESFORCE.COM INC	79468L302	03/04/11	PURCHASE	100.000	129.51	12,951.00	11
SECTR SPDR SBI IND ETF	81369Y704	01/28/11	PURCHASE	300.000	36.45	10,934.16	11
SECTR SPDR SBI IND ETF	81369Y704	01/28/11	PURCHASE	200.000	36.45	7,290.00	11
SUBTOTAL						18,224.16	
TRACTOR SUPPLY COMPANY	882366108	07/29/11	PURCHASE	100.000	65.32	6,531.70	11
UNILEVER N V NY SHS NEW	904784709	07/28/11	PURCHASE	500.000	32.82	16,409.85	11
WABCO HOLDINGS INC	92927K102	01/08/11	PURCHASE	200.000	62.25	12,450.00	11
WABCO HOLDINGS INC	92927K102	02/01/11	PURCHASE	100.000	58.82	5,882.00	11
SUBTOTAL						18,332.00	
WESTERN HIGH YLD DEFINED	95768B107	05/17/11	PURCHASE	1,750.000	19.59	34,281.05	11
WESTERN HIGH YLD DEFINED	95768B107	11/30/11	PURCHASE	822.000	17.37	16,015.14	11
WESTERN HIGH YLD DEFINED	95768B107	11/30/11	PURCHASE	578.000	17.35	10,028.30	11
SUBTOTAL						60,324.49	
SEADRILL LIMITED	G7945E106	08/16/11	PURCHASE	100.000	30.40	3,040.00	11
SEADRILL LIMITED	G7945E106	08/16/11	PURCHASE	100.000	30.41	3,041.00	11
SUBTOTAL						6,081.00	