

Note: 2013 form was used since at the time of termination, 2014 forms were not yet available.

JUN 24 2015

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**UNITED STATES HOUSE OF REPRESENTATIVES**  
**2013 FINANCIAL DISCLOSURE STATEMENT**

Form A

For Use by Members, Officers, and Employees

LEGISLATIVE RESOURCE CENTER

2015 JUL -1 PM 12:37

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

Name: John Heddens Kingston

Daytime Telephone: (222) 225-5831

FH

FILER STATUS

☒

Member of or Candidate for U.S. House of Representatives

State: GA  
District: 01

☐

Officer or Employee

Employing Office:

REPORT TYPE

☐

2013 Annual (Due: May 15, 2014)

☒

Amendment

☒

Termination Date: January 2, 2015

**PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS**

<b>A.</b> Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <b>or</b> b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>F.</b> Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<b>B.</b> Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>G.</b> Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>C.</b> Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<b>H.</b> Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>D.</b> Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>I.</b> Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<b>E.</b> Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<b>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</b>	

**IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

<b>IPO</b> - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>TRUSTS</b> - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>EXEMPTION</b> - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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Use additional sheets if more space is required.

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Use additional sheets if more space is required.

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**Use additional sheets if more space is required.**

# **SCHEDULE D - LIABILITIES**

Name: John Heddens Kingston

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
	Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
JT	Bank of America, NA	08/03	Mortgage on additional residence: Alexandria, VA					X						
JT	SunTrust	02/14	Mortgage on additional residence: Atlanta, GA				X							

# **SCHEDULE E - POSITIONS**

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization

**SCHEDULE F – AGREEMENTS**

Name: John Heddens Kingston

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
1/15/1993	State of Georgia and John H. Kingston	Health Plan

**SCHEDULE G – GIFTS**

Report the source (including name, city, and state), a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives; gifts of personal hospitality from an individual; local meals; and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example	Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	\$400

Name: John Heddens Kingston

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**EXCLUDE:** Privately-sponsored travel approved by the Ethics Committee, if post-travel disclosure was filed with the Clerk; travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (F.G.D.A., 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]

Name: John Heddens Kingston Page 8 of 8

[illegible]



**Stmnt #1 - JT SunTrust Brokerage Acct #1**  
**Missing months not required**

**STATEMENT FOR THE PERIOD DECEMBER 1, 2014 TO DECEMBER 31, 2014**

**TOTAL VALUE OF YOUR PORTFOLIO** **\$168,212.58**

CHANGE IN VALUE OF YOUR PORTFOLIO				
\$ thousands				
200,000				
150,000				
100,000				
50,000				
0,000				
2011	2012	2013	2014	

*Change In Value Of Your Portfolio information can be found in Miscellaneous Footnotes at the end of this statement.*

Stmnt #1 - JT SunTrust Brokerage Acct #1  
Missing months not required  
Statement for the Period December 1, 2014 to December 31, 2014

## Account Overview

CHANGE IN ACCOUNT VALUE	Current Period	Year-to-Date
BEGINNING VALUE	\$169,913.90	\$163,996.28
Securities Transfers	\$0.00	(\$0.03)
Additions and Withdrawals	\$0.00	\$0.00
Income	\$2,052.05	\$3,732.74
Taxes, Fees and Expenses	\$0.00	(\$2,202.25)
Change in Investment Value	(\$3,753.37)	\$2,685.84
ENDING VALUE (AS OF 12/31/14)	\$168,212.58	\$168,212.58

Refer to Miscellaneous Footnotes for more information on Change in Investment Value.

INCOME	Current Period	Year-to-Date
TAXABLE		
Taxable Dividends	\$1,198.38	\$2,878.29
Taxable Interest	\$0.13	\$0.91
Long-Term Capital Gain	\$732.89	\$732.89
Short-Term Capital Gain	\$120.65	\$120.65
TOTAL TAXABLE	\$2,052.05	\$3,732.74
TOTAL INCOME	\$2,052.05	\$3,732.74

Taxable income is determined based on information available to NTS at the time the statement was prepared, and is subject to change. Final information on taxation of interest and dividends is available on Form 1099-Div, which is mailed in February of the subsequent year.

TAXES, FEES AND EXPENSES	Current Period	Year-to-Date
Account Fees	\$0.00	(\$2,202.25)
TOTAL TAXES, FEES AND EXPENSES	\$0.00	(\$2,202.25)

## ACCOUNT ALLOCATION



	Percent	Prior Period	Current Period
Bank Deposits	2.8 %	\$2,704.35	\$4,756.40
Equity	97.2	\$167,209.55	\$163,456.18
TOTAL	100.0 %	\$169,913.90	\$168,212.58

Account Allocation shows the percentage that each asset class represents of your total account value. Account Allocation for equities, fixed income, and other categories may include mutual funds and may be net of short positions. NTS has made assumptions concerning how certain mutual funds are allocated. Closed-end mutual funds and Exchange Traded Funds (ETFs) listed on an exchange may be included in the equity allocation. The chart may not reflect your actual portfolio allocation. Consult your broker dealer prior to making investment decisions.

# Stmnt #1 - JT SunTrust Brokerage Acct #1

Missing months not required

Statement for the Period December 1, 2014 to December 31, 2014

## Account Overview *continued*

REALIZED GAIN (LOSS)	Current Period	Year-to-Date
Short Term Gain	\$0.00	\$0.00
Short Term Loss	\$0.00	\$0.00
Disallowed Short Term Loss	\$0.00	\$0.00
<b>TOTAL SHORT TERM GAIN (LOSS)</b>	<b>\$0.00</b>	<b>\$0.00</b>
Long Term Gain	\$0.00	\$1,372.07
Long Term Loss	\$0.00	\$0.00
Disallowed Long Term Loss	\$0.00	\$0.00
<b>TOTAL LONG TERM GAIN (LOSS)</b>	<b>\$0.00</b>	<b>\$1,372.07</b>

*NI'S-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for your tax reporting purposes. Please refer to Formulas and Cost Basis information at the end of this statement for more information*

### MESSAGES AND ALERTS

Investment and Insurance Products: \* ARE NOT FDIC OR ANY OTHER GOVERNMENT AGENCY INSURED \* ARE NOT BANK GUARANTEED \* MAY LOSE VALUE. Securities, insurance -including annuities- and other investment products and services are offered by Sun Trust Investment Services, Inc., an SEC registered investment adviser and broker-dealer, member FINRA, SIPC, and a licensed insurance agency.

Please see the enclosed privacy notice that details SunTrusts commitment to safeguarding your personal information.

Please consult your financial adviser prior to making investment decisions. This includes informing your financial adviser of changes regarding your financial situation, investment objectives, or management restrictions on the account. If you have an investment advisory agreement with STIS, the annuity or annuities referenced under the Assets Held Away section of this statement are not considered part of your investment advisory assets, and as such STIS does not provide ongoing investment advice nor are the annuity or annuities charged an investment management fee.

# Stmnt #1 - JT SunTrust Brokerage Acct #1 Missing months not required

Statement for the Period December 1, 2014 to December 31, 2014

## Holdings

NF-S-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for tax purposes. Please refer to Footnotes and Cost Basis Information at the end of this statement for more information.

### CASH AND CASH EQUIVALENTS - 2.83% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/14	Current Market Value	Estimated Annual Income
<b>Bank Deposits</b>					

Bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). Funds used to purchase or sweep to a bank deposit are SIPC protected until deposited to a Program Bank at which time funds may be eligible for FDIC Insurance. Customers are responsible for monitoring their total deposits at each Program Bank to determine the extent of available FDIC insurance. Refer to the Bank Deposit Detail section which appears later in this statement for information on the banks holding your deposits. If your account was established on the last business day of this month, your statement will not include a Bank Deposit Detail section.

<b>BANK DEPOSIT SWEEP PROGRAM</b>	QPERQ	4,756.4	\$1.00	\$4,756.40	
Estimated Annual Yield 0.05%	CASH				

**Total Cash and Cash Equivalents** **\$4,756.40**

### HOLDINGS > EQUITIES - 69.21% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/14	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
<b>Equity</b>							
ISHARES MSCI EAFE SMALL-CAP ETF	SCZ	502	\$46.71	\$23,448.42	\$612.98	\$21,385.58	\$2,062.84
Estimated Yield 2.61%	CASH						
Dividend Option Cash							
Capital Gain Option Cash							
ISHARES RUSSELL 1000 GROWTH ETF	IWF	264	\$95.61	\$25,241.04	\$334.60	\$15,959.11	\$9,281.93
Estimated Yield 1.32%	CASH						
Dividend Option Cash							
Capital Gain Option Cash							

# Stmnt #1 - JT SunTrust Brokerage Acct #1 Missing months not required

Statement for the Period December 1, 2014 to December 31, 2014

## HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/14	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
<b>ISHARES RUSSELL 1000 VALUE ETF</b>							
Estimated Yield 2.00%	IVWD	232	\$104.40	\$24,220.80	\$484.59	\$15,797.55	\$8,423.25
Dividend Option Cash	CASH						
Capital Gain Option Cash							
<b>SPDR S&amp;P 500 ETF TRUST UNIT SER 1 S&amp;P</b>							
Estimated Yield 1.86%	SPY	130	\$205.54	\$26,720.20	\$498.60	\$17,214.54	\$9,505.66
Dividend Option Cash	CASH						
Capital Gain Option Cash							
Net Dividend Payable: 01/30/15							
<b>VANGUARD INDEX FDS MID-CAP VALUE INDEX</b>							
VIPER SHS	VOE	110	\$89.43	\$9,837.30	\$161.92	\$6,111.87	\$3,725.43
Estimated Yield 1.64%	CASH						
Dividend Option Cash							
Capital Gain Option Cash							
<b>VANGUARD INTL EQUITY INDEX FDS FTSE</b>							
EMERGING MKTS ETF	VWO	174	\$40.02	\$6,963.48	\$198.88	\$7,957.75	(\$994.27)
Estimated Yield 2.85%	CASH						
Dividend Option Cash							
Capital Gain Option Cash							
<b>Total Equity</b>				<b>\$116,431.24</b>	<b>\$2,291.57</b>	<b>\$84,426.40</b>	<b>\$32,004.84</b>
<b>Total Equities</b>				<b>\$116,431.24</b>	<b>\$2,291.57</b>	<b>\$84,426.40</b>	<b>\$32,004.84</b>
<b>HOLDINGS &gt; MUTUAL FUNDS - 27.96% of Total Account Value</b>							
<b>Equity</b>							
Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/14	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
<b>BAIRD MID CAP INSTL CLASS SHS</b>							
Dividend Option Cash	BMDIX	453,249	\$15.57	\$7,057.09		\$6,486.00	\$571.09
Capital Gain Option Cash	CASH						
<b>FRANKLIN CONVERTIBLE SECURITIES ADVISOR</b>							
Estimated Yield 2.15%	FCSTX	724,634	\$17.97	\$13,021.67	\$280.36	\$11,466.78	\$1,554.89
Dividend Option Cash	CASH						
Capital Gain Option Cash							

**Stmnt #1 - JT SunTrust Brokerage Acct #1**  
**Missing months not required**  
Statement for the Period December 1, 2014 to December 31, 2014

**HOLDINGS > MUTUAL FUNDS** *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/14	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
<b>OPPENHEIMER GOLD &amp; MINERALS FUND CL Y</b>	OGMTX CASH	704.775	\$13.51	\$9,521.51	\$247.90	\$18,684.66	(\$9,163.15)
Estimated Yield 2.60%							
Dividend Option Cash							
Capital Gain Option Cash							
<b>T ROWE PRICE NEW ASIA</b>	PRASX CASH	444.347	\$16.29	\$7,238.41	\$71.10	\$7,808.22	(\$569.81)
Estimated Yield 0.98%							
Dividend Option Cash							
Capital Gain Option Cash							
<b>VAN ECK GLOBAL HARD ASSETS CLASS A</b>	GHAAX CASH	261.925	\$38.89	\$10,186.26	\$11.00	\$12,844.82	(\$2,658.56)
Estimated Yield 0.10%							
Dividend Option Cash							
Capital Gain Option Cash							
<b>Total Equity</b>				<b>\$47,024.94</b>	<b>\$610.36</b>	<b>\$57,290.48</b>	<b>(\$10,265.54)</b>
<b>Total Mutual Funds</b>				<b>\$47,024.94</b>	<b>\$610.36</b>	<b>\$57,290.48</b>	<b>(\$10,265.54)</b>
<b>Total Securities</b>				<b>\$163,456.18</b>	<b>\$2,901.93</b>	<b>\$141,716.88</b>	<b>\$21,739.30</b>
<b>TOTAL PORTFOLIO VALUE</b>				<b>\$168,212.58</b>	<b>\$2,901.93</b>	<b>\$141,716.88</b>	<b>\$21,739.30</b>

## Activity

**CORE FUND ACTIVITY**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/17/14	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1	1,215.22	(\$1,215.22)
12/24/14	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1	320.79	(\$320.79)
12/26/14	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1	11	(\$11.00)

**Stmnt #1 - JT SunTrust Brokerage Acct #1**  
**Missing months not required**  
**Statement for the Period December 1, 2014 to December 31, 2014**

**CORE FUND ACTIVITY** *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/29/14	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1	264.66	(\$264.66)
12/31/14	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1	240.25	(\$240.25)
12/31/14	CASH	REINVESTMENT	BANK DEPOSIT SWEEP PROGRAM NET INT REINVEST	0.13	(\$0.13)

**TOTAL CORE FUND ACTIVITY**

**(\$2,052.05)**

**ACTIVITY > INCOME > TAXABLE INCOME**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
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**Taxable Dividends**

12/16/14	CASH	DIVIDEND RECEIVED	OPPENHEIMER GOLD & MINERALS FUND CL Y		\$247.90
12/16/14	CASH	DIVIDEND RECEIVED	T ROWE PRICE NEW ASIA		\$71.10
12/17/14	CASH	DIVIDEND RECEIVED	FRANKLIN CONVERTIBLE SECURITIES ADVISOR		\$115.14
12/23/14	CASH	DIVIDEND RECEIVED	VAN ECK GLOBAL HARD ASSETS CLASS A		\$11.00
12/24/14	CASH	DIVIDEND RECEIVED	ISHARES MSCI EAFE SMALL-CAP ETF		\$320.79
12/29/14	CASH	DIVIDEND RECEIVED	VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF		\$30.28
12/29/14	CASH	DIVIDEND RECEIVED	VANGUARD INDEX FDS MID-CAP VALUE INDEX VIPER SHS		\$161.92

**Stmnt #1 - JT SunTrust Brokerage Acct #1**  
**Missing months not required**

Statement for the Period December 1, 2014 to December 31, 2014

**ACTIVITY > INCOME > TAXABLE INCOME** *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/31/14	CASH	DIVIDEND RECEIVED	ISHARES RUSSELL 1000 VALUE ETF		\$143.41
12/31/14	CASH	DIVIDEND RECEIVED	ISHARES RUSSELL 1000 GROWTH ETF		\$96.84
<b>Total Taxable Dividends</b>					<b>\$1,198.38</b>
12/31/14	CASH		BANK DEPOSIT SWEEP PROGRAM INTEREST RECEIVED		\$0.13
<b>Total Taxable Interest</b>					<b>\$0.13</b>
12/16/14	CASH		T ROWE PRICE NEW ASIA		\$288.83
12/17/14	CASH		FRANKLIN CONVERTIBLE SECURITIES ADVISOR		\$377.90
12/26/14	CASH		BAIRD MID CAP INSTL CLASS SHS		\$66.16
<b>Total Long-Term Capital Gain</b>					<b>\$732.89</b>
12/17/14	CASH		FRANKLIN CONVERTIBLE SECURITIES ADVISOR		\$114.35
12/26/14	CASH		BAIRD MID CAP INSTL CLASS SHS		\$6.30
<b>Total Short-Term Capital Gain</b>					<b>\$120.65</b>
<b>Total Taxable Income</b>					<b>\$2,052.05</b>
<b>TOTAL INCOME</b>					<b>\$2,052.05</b>



**Stmnt #1 - JT SunTrust Brokerage Acct #1**  
**Missing months not required**  
**Statement for the Period December 1, 2014 to December 31, 2014**

**ACTIVITY > BANK DEPOSIT DETAIL**

Bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). Customers are responsible for monitoring their total deposits at each Program Bank to determine the extent of available FDIC insurance. Your Ending Balance at each Program Bank as of the end of this statement period is shown below. Funds pending settlement reflect deposits to (credit) or withdrawals from (debit) a Program Bank. Subject to the terms of the applicable bank deposit disclosure, customers are reminded that funds are deposited to a Program Bank on the business day following the date that funds are credited to your account and until swept to a Program Bank, funds are covered by SIPC.

Bank	Beginning Balance	Ending Balance
SunTrust	\$2,704.35	\$4,516.15
Current Month's Pending Settlement		\$240.25
Total Bank Deposits	\$2,704.35	\$4,756.40

## Footnotes and Cost Basis Information

Amortization, accretion and similar adjustments to cost basis have been provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain types, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs). Where current year premium or acquisition premium amortization is provided, the prior years' cumulative amortization is reflected in the adjusted cost basis, but we cannot provide a breakdown or the total of such prior amortization amounts.

NFS is required to report certain cost basis and related information to the IRS on the Form 1099-B. Your official 1099-B forms for certain transactions will reflect which lots have been sold for tax purposes. To apply a specific identification cost basis method to 1099-B reporting, appropriate instructions must be on file with NFS or be received by NFS before the trade has settled. Absent such instructions, NFS determines cost basis at the time of sale based on its default methods of average cost for open-end mutual funds and first-in, first-out (FIFO) for all other securities (including ETFs) unless your broker dealer has elected to use another default method. NFS applies FIFO (or other disposal method, if applicable) based on its records, which may be different from yours. For transactions that are not subject to 1099-B cost basis reporting, you should refer to your trade confirmations and other applicable records to determine which lots were considered sold for tax purposes.

While NFS must meet IRS requirements with respect to certain information required to be reported to the IRS, NFS-provided cost basis, realized gain and loss, and holding period information may not reflect all adjustments necessary for your tax reporting purposes. NFS makes no warranties with respect to and specifically disclaims any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information.

For investments in partnerships, NFS does not make any adjustments to cost basis information as the calculation of basis in such investments requires supplemental information from the partnership on its income and distributions during the period you held your investment. Partnerships usually provide this additional information on a Form K-1 issued by April 15th of the following year.

Consult your tax advisor for further information.

**Stmt #1**  
**JT Sun Trust Brokerage Acct #1**

Trade Date	Transaction Type	Security ID	Security Description	Quantity	Price	Net Amount
16-Oct-14	Buy	OGMYX	OPENHEIMER GOLD & MINERALS FUND CL Y	216.742	\$15.47	(\$3,353.00)
14-Oct-14	Buy	BMDIX	BAIRD MID CAP INSTL CLASS SHS	453.249	\$14.31	(\$6,486.00)

**Stmt #1**  
**JT Sun Trust Brokerage Acct #1**

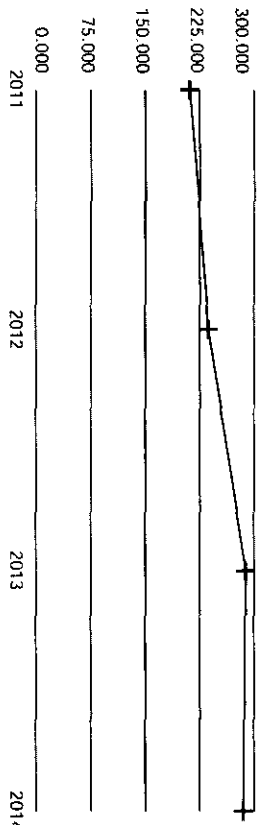
Trade Date	Transaction Type	Security ID	Security Description	Quantity	Price	Net Amount
16-Oct-14	Sell	GGOIX	GOLDMAN SACHS GROWTH OPPOR CL I	-275.731	\$30.88	\$8,514.57

**Stmnt #2 - JT SunTrust Brokerage Acct #2**  
**Missing months not required**

STATEMENT FOR THE PERIOD DECEMBER 1, 2014 TO DECEMBER 31, 2014

TOTAL VALUE OF YOUR PORTFOLIO **\$285,261.15**

**CHANGE IN VALUE OF YOUR PORTFOLIO**  
 \$ thousands



*Change In Value Of Your Portfolio information can be found in Miscellaneous footnotes at the end of this statement.*

# Stmnt #2 - JT SunTrust Brokerage Acct #2 Missing months not required

Statement for the Period December 1, 2014 to December 31, 2014

## Account Overview

CHANGE IN ACCOUNT VALUE	Current Period	Year-to-Date
BEGINNING VALUE	\$302,242.34	\$288,406.62
Additions and Withdrawals	(\$15,361.70)	(\$19,383.67)
Income	\$769.13	\$4,420.16
Taxes, Fees and Expenses	\$0.00	(\$80.75)
Change in Investment Value	(\$2,388.62)	\$11,898.79
ENDING VALUE (AS OF 12/31/14)	\$285,261.15	\$285,261.15

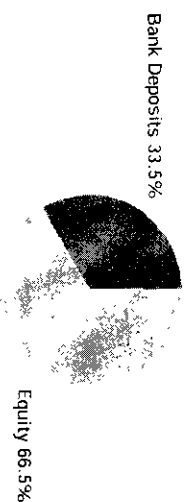
Refer to Miscellaneous Footnotes for more information on Change in Investment Value.

INCOME	Current Period	Year-to-Date
TAXABLE		
Taxable Dividends	\$404.03	\$3,982.14
Taxable Interest	\$4.39	\$27.98
Long-Term Capital Gain	\$360.71	\$410.04
TOTAL TAXABLE	\$769.13	\$4,420.16
TOTAL INCOME	\$769.13	\$4,420.16

Taxable income is determined based on information available to NTS at the time the statement was prepared, and is subject to change. Final information on taxation of interest and dividends is available on Form 1099-Div, which is mailed in February of the subsequent year.

TAXES, FEES AND EXPENSES	Current Period	Year-to-Date
Account Fees	\$0.00	(\$5.00)
Foreign Tax Paid	\$0.00	(\$75.75)
TOTAL TAXES, FEES AND EXPENSES	\$0.00	(\$80.75)

## ACCOUNT ALLOCATION



	Percent	Prior Period	Current Period
Bank Deposits	33.5 %	\$110,067.80	\$95,475.23
Equity	66.5	\$192,174.54	\$189,785.92
TOTAL	100.0 %	\$302,242.34	\$285,261.15

Account Allocation shows the percentage that each asset class represents of your total account value. Account Allocation for equities, fixed income, and other categories may include mutual funds and may be net of short positions. NTS has made assumptions concerning how certain mutual funds are allocated. Closed-end mutual funds and Exchange Traded Funds (ETFs) listed on an exchange may be included in the equity allocation. The chart may not reflect your actual portfolio allocation. Consult your broker dealer prior to making investment decisions.

# Stmnt #2 - JT SunTrust Brokerage Acct #2 Missing months not required

Statement for the Period December 1, 2014 to December 31, 2014

## Account Overview *continued*

REALIZED GAIN (LOSS)	Current Period	Year-to-Date
Short Term Gain	\$0.00	\$8.72
Short Term Loss	\$0.00	\$0.00
Disallowed Short Term Loss	\$0.00	\$0.00
<b>TOTAL SHORT TERM GAIN (LOSS)</b>	<b>\$0.00</b>	<b>\$8.72</b>
Long Term Gain	\$0.00	\$0.00
Long Term Loss	\$0.00	\$0.00
Disallowed Long Term Loss	\$0.00	\$0.00
<b>TOTAL LONG TERM GAIN (LOSS)</b>	<b>\$0.00</b>	<b>\$0.00</b>

*NTS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for your tax reporting purposes. Please refer to footnotes and Cost Basis Information at the end of this statement for more information*

### MESSAGES AND ALERTS

Investment and Insurance Products: \* ARE NOT FDIC OR ANY OTHER GOVERNMENT AGENCY INSURED \* ARE NOT BANK GUARANTEED \* MAY LOSE VALUE. Securities, insurance -including annuities- and other investment products and services are offered by Sun Trust Investment Services, Inc., an SEC registered investment adviser and broker-dealer, member FINRA, SIPC, and a licensed insurance agency.

Please see the enclosed privacy notice that details SunTrust's commitment to safeguarding your personal information.

Please consult your financial adviser prior to making investment decisions. This includes informing your financial adviser of changes regarding your financial situation, investment objectives, or management restrictions on the account. If you have an investment advisory agreement with STIS, the annuity or annuities referenced under the Assets Held Away section of this statement are not considered part of your investment advisory assets, and as such STIS does not provide ongoing investment advice nor are the annuity or annuities charged an investment management fee.

**Stmnt #2 - JT SunTrust Brokerage Acct #2**  
**Missing months not required**  
Statement for the Period December 1, 2014 to December 31, 2014

## Holdings

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for tax purposes. Please refer to Footnotes and Cost Basis Information at the end of this statement for more information.

### CASH AND CASH EQUIVALENTS - 33.47% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/14	Current Market Value	Estimated Annual Income
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#### Bank Deposits

Bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). Funds used to purchase or sweep to a bank deposit are SIPC protected until deposited to a Program Bank at which time funds may be eligible for FDIC insurance. Customers are responsible for monitoring their total deposits at each Program Bank to determine the extent of available FDIC insurance. Refer to the Bank Deposit Detail section which appears later in this statement for information on the banks holding your deposits. If your account was established on the last business day of this month, your statement will not include a Bank Deposit Detail section.

<b>BANK DEPOSIT SWEEP PROGRAM</b>	<b>OPERQ</b>				
Estimated Annual Yield 0.05%	CASH	95,475.23	\$1.00	\$95,475.23	

**Total Cash and Cash Equivalents** **\$95,475.23**

### HOLDINGS > EQUITIES - 63.14% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/14	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
<b>Equity</b>							
<b>ANTHEM INC COM ISIN #US0367521038 SEDOL #BSPHGL4</b>	<b>ANTM</b>						
Estimated Yield 1.39%	CASH	102	\$125.67	\$12,818.34	\$178.50	\$3,456.78	\$9,361.56
Dividend Option Cash							
Capital Gain Option Cash							
<b>AOL INC COM USDD.01</b>	<b>AOL</b>						
Dividend Option Cash	CASH	12	\$46.17	\$554.04			
Capital Gain Option Cash							

**Stmnt #2 - JT SunTrust Brokerage Acct #2**  
**Missing months not required**  
Statement for the Period December 1, 2014 to December 31, 2014

**HOLDINGS > EQUITIES continued**

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/14	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
<b>AT&amp;T INC COM ISIN #US00206R1023 SEDOL #2831811</b>	CASH T	225	\$33.59	\$7,557.75	\$423.00	\$7,353.50 T	\$204.25
Estimated Yield 5.59%							
Dividend Option Cash							
Capital Gain Option Cash							
Next Dividend Payable: 02/02/15							
<b>BOEING CO</b>							
Estimated Yield 2.80%	BA CASH	70	\$129.98	\$9,098.60	\$254.80	\$1,964.90 C	\$7,133.70
Dividend Option Cash							
Capital Gain Option Cash							
Next Dividend Payable: 03/09/15							
<b>CISCO SYS INC COM ISIN #US17275R1023 SEDOL #2198163</b>	CSCO CASH	411	\$27.815	\$11,431.97	\$312.36	\$6,169.11 T	\$5,262.86
Estimated Yield 2.73%							
Dividend Option Cash							
Capital Gain Option Cash							
Next Dividend Payable: 01/21/15							
<b>GENERAL ELECTRIC CO</b>							
Estimated Yield 3.64%	GE CASH	150	\$25.27	\$3,790.50	\$138.00	\$2,842.50 C	\$948.00
Dividend Option Cash							
Capital Gain Option Cash							
Next Dividend Payable: 01/26/15							
<b>GOLDMAN SACHS GROUP INC</b>							
Estimated Yield 1.23%	GS CASH	49	\$193.83	\$9,497.67	\$117.60	\$5,145.49 T	\$4,352.18
Dividend Option Cash							
Capital Gain Option Cash							
<b>GOOGLE INC CL A</b>							
Dividend Option Cash	GOOGL CASH	10	\$530.66	\$5,306.60			
Capital Gain Option Cash							
<b>GOOGLE INC CL C</b>							
Dividend Option Cash	GOOG CASH	10	\$526.40	\$5,264.00			
Capital Gain Option Cash							
<b>JOHNSON &amp; JOHNSON</b>							
Estimated Yield 2.67%	JNJ CASH	104	\$104.57	\$10,875.28	\$291.20	\$4,594.72 C	\$6,280.56
Dividend Option Cash							
Capital Gain Option Cash							



# Stmt #2 - JT SunTrust Brokerage Acct #2 Missing months not required

Statement for the Period December 1, 2014 to December 31, 2014

## HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/14	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
NOKIA OYJ ADR EACH REPR 1 GRD NPV	NOK CASH	1,000	\$7.86	\$7,860.00	\$150.14	\$14,280.00 T	(\$6,420.00)
Estimated Yield 1.91%							
Dividend Option Cash							
Capital Gain Option Cash							
PROCTER & GAMBLE CO	PG CASH	393	\$91.09	\$35,798.37	\$1,011.74	\$17,489.41 T	\$18,308.96
Estimated Yield 2.82%							
Dividend Option Cash							
Capital Gain Option Cash							
PROSHARES TR SHORT 20+ YR TREASURY	TBF CASH	650	\$25.15	\$16,347.50		\$19,247.15	(\$2,899.65)
Dividend Option Cash							
Capital Gain Option Cash							
RAYTHEON CO COM NEW	RTN CASH	145	\$108.17	\$15,684.65	\$350.90	\$7,486.35 T	\$8,198.30
Estimated Yield 2.23%							
Dividend Option Cash							
Capital Gain Option Cash							
Next Dividend Payable: 02/05/15							
TIME INC NEW COM ISIN #US8872281048	TIME CASH	16	\$24.61	\$393.76	\$12.16	\$135.51 C	\$258.25
SEDOL #BMM2870							
Estimated Yield 3.08%							
Dividend Option Cash							
Capital Gain Option Cash							
TIME WARNER CABLE INC COM	TWC CASH	33	\$152.06	\$5,017.98	\$99.00		
Estimated Yield 1.97%							
Dividend Option Cash							
Capital Gain Option Cash							
TIME WARNER INC NEW COM NEW	TWX CASH	133	\$85.42	\$11,360.86	\$168.91	\$3,335.82 C	\$8,025.04
Estimated Yield 1.48%							
Dividend Option Cash							
Capital Gain Option Cash							
ZIMMER HLDGS INC	ZMH CASH	101	\$113.42	\$11,455.42	\$88.88	\$7,350.05 T	\$4,105.37
Estimated Yield 0.77%							
Dividend Option Cash							
Capital Gain Option Cash							
Next Dividend Payable: 01/30/15							

**Stmnt #2 - JT SunTrust Brokerage Acct #2**  
**Missing months not required**  
Statement for the Period December 1, 2014 to December 31, 2014

**HOLDINGS > EQUITIES** *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/14	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
<b>Total Equity</b>				<b>\$180,113.29</b>	<b>\$3,597.19</b>	<b>\$100,851.29</b>	<b>\$63,119.38</b>
<b>Total Equities</b>				<b>\$180,113.29</b>	<b>\$3,597.19</b>	<b>\$100,851.29</b>	<b>\$63,119.38</b>

**HOLDINGS > MUTUAL FUNDS - 3.39% of Total Account Value**

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/14	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
<b>Equity</b>							
FRANKLIN MUTUAL GLBL DISCOVERY CLASS C	TEDSX	297.711	\$32.49	\$9,672.63	\$145.46	\$10,000.00	(\$327.37)
Estimated Yield 1.50%							
Dividend Option Cash	CASH						
Capital Gain Option Cash							
<b>Total Mutual Funds</b>				<b>\$9,672.63</b>	<b>\$145.46</b>	<b>\$10,000.00</b>	<b>(\$327.37)</b>
<b>Total Securities</b>				<b>\$189,785.92</b>	<b>\$3,742.65</b>	<b>\$110,851.29</b>	<b>\$62,792.01</b>
<b>TOTAL PORTFOLIO VALUE</b>				<b>\$285,261.15</b>	<b>\$3,742.65</b>	<b>\$110,851.29</b>	<b>\$62,792.01</b>

## Activity

**CORE FUND ACTIVITY**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/03/14	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1	51.1	(\$51.10)
12/08/14	CASH	YOU SOLD	BANK DEPOSIT SWEEP PROGRAM @ 1	(361.7)	\$361.70

# **Stmnt #2 - JT SunTrust Brokerage Acct #2** **Missing months not required**

Statement for the Period December 1, 2014 to December 31, 2014

## **CORE FUND ACTIVITY** *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/09/14	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1	72.8	(\$72.80)
12/15/14	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1	70.02	(\$70.02)
12/18/14	CASH	YOU SOLD	BANK DEPOSIT SWEEP PROGRAM @ 1	(15.000)	\$15,000.00
12/22/14	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1	44.53	(\$44.53)
12/23/14	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1	496.79	(\$496.79)
12/30/14	CASH	YOU BOUGHT	BANK DEPOSIT SWEEP PROGRAM @ 1	29.4	(\$29.40)
12/31/14	CASH	REINVESTMENT	BANK DEPOSIT SWEEP PROGRAM NET INT REINVEST	4.39	(\$4.39)
<b>TOTAL CORE FUND ACTIVITY</b>					<b>\$14,592.57</b>

## **ACTIVITY > ADDITIONS AND WITHDRAWALS > CHECKING ACTIVITY**

Date	Check Number	Payee Detail	Expense Code	Amount	Cost Basis	Gain (Loss)
12/18/14		CHECK PAID	202986533	(\$15,000.00)		
<b>Total Checking Activity</b>				<b>(\$15,000.00)</b>		

## **ACTIVITY > ADDITIONS AND WITHDRAWALS > OTHER ADDITIONS AND WITHDRAWALS**

Date	Account Type	Transaction	Description	Quantity	Amount	Cost Basis	Gain (Loss)
12/08/14	CASH	INTRABANK DEBIT	INTRABANK DEBIT 1073508943		(\$361.70)		
<b>Total Other Additions and Withdrawals</b>					<b>(\$361.70)</b>		

**Stmnt #2 - JT SunTrust Brokerage Acct #2**  
**Missing months not required**  
Statement for the Period December 1, 2014 to December 31, 2014

**ACTIVITY** *continued*

TOTAL ADDITIONS AND WITHDRAWALS				Amount	Cost Basis	Gain (Loss)
				<b>(\$15,361.70)</b>		

**ACTIVITY > INCOME > TAXABLE INCOME**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount		
<b>Taxable Dividends</b>							
12/05/14	CASH	DIVIDEND RECEIVED	BOEING CO		\$51.10		
12/09/14	CASH	DIVIDEND RECEIVED	JOHNSON & JOHNSON		\$72.80		
12/15/14	CASH	DIVIDEND RECEIVED	TIME INC NEW COM ISIN #US887281048 SEDOL #BMM2870		\$3.04		
12/15/14	CASH	DIVIDEND RECEIVED	TIME WARNER INC NEW COM NEW		\$42.23		
12/15/14	CASH	DIVIDEND RECEIVED	TIME WARNER CABLE INC COM		\$24.75		
12/22/14	CASH	DIVIDEND RECEIVED	ANTHEM INC COM ISIN #US0367521038 SEDOL #BSPHQL4		\$44.63		
12/23/14	CASH	DIVIDEND RECEIVED	FRANKLIN MUTUAL GLBL DISCOVERY CLASS C		\$136.08		
12/30/14	CASH	DIVIDEND RECEIVED	GOLDMAN SACHS GROUP INC		\$29.40		
<b>Total Taxable Dividends</b>					<b>\$404.03</b>		

**Taxable Interest**

12/31/14	CASH		BANK DEPOSIT SWEEP PROGRAM INTEREST RECEIVED		\$4.39		
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# Stmnt #2 - JT SunTrust Brokerage Acct #2 Missing months not required

Statement for the Period December 1, 2014 to December 31, 2014

## ACTIVITY > INCOME > TAXABLE INCOME: *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
<b>Total Taxable Interest</b>					
					<b>\$4.39</b>

## Long-Term Capital Gain

12/23/14	CASH	LONG CAP GAIN	FRANKLIN MUTUAL GBL DISCOVERY CLASS C		\$360.71
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<b>Total Long-Term Capital Gain</b>					<b>\$360.71</b>
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<b>Total Taxable Income</b>					<b>\$769.13</b>
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<b>TOTAL INCOME</b>					<b>\$769.13</b>
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## ACTIVITY > OTHER ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/03/14	CASH	NAME CHANGED	ANTHEM INC COM ISIN #US0367521038 SEDOL #BSPHGL4 NIC FROM 94673V107 #REOR M0050885490001	102	\$0.00

12/03/14	CASH	NAME CHANGED	WELLPONT INC NIC TO 036752103 #REOR M0050885490000	(102)	\$0.00
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<b>TOTAL OTHER ACTIVITY</b>					<b>\$0.00</b>
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## Stmnt #2 - JT SunTrust Brokerage Acct #2

### Missing months not required

Statement for the Period December 1, 2014 to December 31, 2014

#### ACTIVITY > BANK DEPOSIT DETAIL

Bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). Customers are responsible for monitoring their total deposits at each Program Bank to determine the extent of available FDIC insurance. Your Ending Balance at each Program Bank as of the end of this statement period is shown below. Funds pending settlement reflect deposits to (credit) or withdrawals from (debit) a Program Bank. Subject to the terms of the applicable bank deposit disclosure, customers are reminded that funds are deposited to a Program Bank on the business day following the date that funds are credited to your account and until swept to a Program Bank, funds are covered by SIPC.

Bank	Beginning Balance	Ending Balance
SunTrust	\$110,067.80	\$95,475.23
Total Bank Deposits	\$110,067.80	\$95,475.23

## Footnotes and Cost Basis Information

Amortization, accretion and similar adjustments to cost basis have been provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain types, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs). Where current year premium or acquisition premium amortization is provided, the prior years' cumulative amortization is reflected in the adjusted cost basis, but we cannot provide a breakdown or the total of such prior amortization amounts.

NFS is required to report certain cost basis and related information to the IRS on the Form 1099-B. Your official 1099-B forms for certain transactions will reflect which lots have been sold for tax purposes. To apply a specific identification cost basis method to 1099-B reporting, appropriate instructions must be on file with NFS or be received by NFS before the trade has settled. Absent such instructions, NFS determines cost basis at the time of sale based on its default methods of average cost for open-end mutual funds and first-in, first-out (FIFO) for all other securities (including ETFs) unless your broker dealer has elected to use another default method. NFS applies FIFO (or other disposal method, if applicable) based on its records, which may be different from yours. For transactions that are not subject to 1099-B cost basis reporting, you should refer to your trade confirmations and other applicable records to determine which lots were considered sold for tax purposes.

While NFS must meet IRS requirements with respect to certain information required to be reported to the IRS, NFS provided cost basis, realized gain and loss, and holding period information may not reflect all adjustments necessary for your tax reporting purposes. NFS makes no warranties with respect to and specifically disclaims any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information.

For investments in partnerships, NFS does not make any adjustments to cost basis information as the calculation of basis in such investments requires supplemental information from the partnership on its income and distributions during the period you held your investment. Partnerships usually provide this additional information on a Form K-1 issued by April 15th of the following year.

Consult your tax advisor for further information.

C - Cost basis information (or proceeds from short sales) was provided by you. We treat it as original cost basis. For equities, we will adjust the cost basis for any corporate actions which our system supports from the date the security was transferred to the account. For asset-backed fixed income securities, we will adjust the cost basis for principal pay downs from the date the security was transferred to the account. We do not apply any wash sale rules to tax lots with customer-provided cost basis. In certain cases, when positions are transferred between accounts the cost basis information may be automatically transferred and deemed to be customer-provided. T - Cost basis information was provided by a third party. We treat it as original cost basis, as of the date it is provided, and we assume that for equities, it reflects any prior corporate actions, and for asset-backed fixed income securities, it reflects any prior principal pay downs.

Stmc #3 SP Merrill Lynch IRA  
Missing months not required.

YOUR RETIREMENT ACCOUNT TRANSACTIONS

April 01, 2014 - April 30, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)				Income	
Date	Transaction Type	Quantity	Description	Runwvestment	Year To Date
			QUANTITY BOT 2.7970		
Subtotal (Tax-Exempt Dividends)				26.04	77.58
NET TOTAL				(26.04)	26.04

SECURITY TRANSACTIONS									
Settlement Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)		
04/03	IVY ASSET STRATEGY	Purchase	488	30.6900	14,999.99				
	FUND CREDIT BALANCE SHARED AMOUNT 1.305								
	FUND BALANCE OF 10.310111 CUS NO 40600734								
Subtotal (Purchases)					14,999.99				
04/03	INVESTCO BALANCED RISK	Sale	-1.305	11.5600		15,088.02			
	ALLOCATION FIDELITY RISK SHR QUANTITY .665								
	CUS NO 901419721								
Subtotal (Sales)						15,088.02			
TOTAL					14,999.99	15,088.02			

REALIZED GAINS/(LOSSES)									
Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) *		
INVESTCO BLD RK ALL CL C	1151.0000	10/15/12	03/31/14	13,300.84	14,588.52	(1,287.68)			
INVESTCO BLD RK ALL CL C	1.0000	12/07/12	03/31/14	11.55	12.63	(1.08)			
INVESTCO BLD RK ALL CL C	22.0000	12/07/12	03/31/14	254.23	268.18	(13.95)			
INVESTCO BLD RK ALL CL C	13.0000	12/07/12	03/31/14	150.22	158.46	(8.24)			
INVESTCO BLD RK ALL CL C	1.0000	12/07/12	03/31/14	11.55	12.19	(.64)			
INVESTCO BLD RK ALL CL C	19.0000	12/07/12	03/31/14	219.56	231.60	(12.04)			
Subtotal (Long-Term)						(1,323.63)			(1,323.63)
INVESTCO BLD RK ALL CL C	1.0000	12/13/13	03/31/14	11.55	11.86	(.31)			
INVESTCO BLD RK ALL CL C	42.0000	12/13/13	03/31/14	485.35	472.92	12.43			
INVESTCO BLD RK ALL CL C	.6550	12/13/13	03/31/14	7.57	7.38	.19			

## YOUR RETIREMENT ACCOUNT TRANSACTIONS

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
INVESCO BLD RK ALL CL C	55.0000	12/13/13	03/31/14	635.60	619.30	16.30	
Subtotal (Short-Term)						28.67	28.67

SECURITIES YOU TRANSFERRED IN/OUT				
Date	Description	Transaction Type	Quantity	Value of Securities
04/03	IVV ASSET STRATEGY	Journal Entry	1	31.28
	FUND CL C			
	FULL SHARE ACQUISITION			
	SHARE VALUE			31.28
	<b>NET TOTAL</b>			<b>31.28</b>

Date	Transaction Type	Quantity	Description	Debit	Credit
04/04	Fund Delivery	-88	FIA CARD SVS NA RASP		
04/04	Subscription	88	FIA CARD SVS NA RASP	88.00	
<b>NET TOTAL</b>				<b>88.00</b>	

## Year-End Plan Value as of December 31, 2013: \$45,848.84

Contributions after December 31, 2013 for 2013: \$.00



**Stmnt #3 SP Merrill Lynch IRA**  
**Missing months not required**

Net Portfolio Value:

**\$44,438.00**

**IRA**

November 29, 2014 - December 31, 2014

<b>ASSETS</b>		<b>December 31</b>	<b>November 28</b>
Cash/Money Accounts		7,230.74	668.70
Fixed Income		-	-
Equities		-	-
Mutual Funds		37,207.26	44,881.71
Options		-	-
Other		-	-
Subtotal (Long Portfolio)		<b>44,438.00</b>	<b>45,550.41</b>
<b>TOTAL ASSETS</b>		<b>\$44,438.00</b>	<b>\$45,550.41</b>

<b>LIABILITIES</b>			
Debit Balance		-	-
<b>TOTAL LIABILITIES</b>		<b>-</b>	<b>-</b>
<b>NET PORTFOLIO VALUE</b>		<b>\$44,438.00</b>	<b>\$45,550.41</b>

<b>CASH FLOW</b>		<b>This Statement</b>	<b>Year to Date</b>
<b>Opening Cash/Money Accounts</b>		<b>\$668.70</b>	
<b>CREDITS</b>			
Funds Received		-	-
Electronic Transfers		-	-
Other Credits		-	-
Subtotal		-	-
<b>DEBITS</b>			
Electronic Transfers		-	(100.00)
Other Debits		-	(100.00)
Subtotal		-	(200.00)
<b>Net Cash Flow</b>		<b>-</b>	<b>(\$100.00)</b>
Dividends/Interest Income		6,617.15	6,881.92
Dividend Reinvestments		(55.11)	(319.88)
Security Purchases/Debits		-	(14,999.99)
Security Sales/Credits		-	15,088.02
<b>Closing Cash/Money Accounts</b>		<b>\$7,230.74</b>	
Securities You Transferred In/Out		-	31.28

# Stmnt #3 SP Merrill Lynch IRA Missing months not required

## ACCOUNT INVESTMENT OBJECTIVE

November 29, 2014 - December 31, 2014

**TOTAL RETURN:** Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

## YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS									
Description	Quantity	Cost Basis	Total	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%		
+BANK OF AMERICA NA RASP	7,230.00	7,230.00		1.0000	7,230.00	2	.03		
+FIDIC INSURED NOT SIPC COVERED (.7400 FRACTIONAL SHARE)			.74	1.0000	.74		.03		
<b>TOTAL</b>			7,230.74		7,230.74	2	.03		

MUTUAL FUNDS/CLOSED END FUNDS/UIT									
Description	Quantity	Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%	
<b>IVY ASSET STRATEGY</b>	1,345	34,990.54	24.5500	33,019.75	(1,970.79)	34,990	(1,970)	38	.11
FUND C C STRATEGY Alternative Investments Fund 4821 Fractional Share									
		14.80	24.5500	11.83	(2,977)			1	.11
<b>MUVEEN PREFERRERD INCOME</b>	436	6,335.62	9.5600	4,168.16	(2,167.46)	6,000	(1,831)	331	7.93
OPPOSITE NORTH STREET SYMBIONESE LIBERATION ARMY Fixed Income Fund 1370 Fractional Share									
		1.43	9.5600	7.52	(0.9)			1	7.93

Subtotal (Fixed Income) 4,175.68  
Subtotal (Alternative Investments) 33,031.58

# Stmnt #3 SP Merrill Lynch IRA Missing months not required

## YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT	(continued)								
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Current Yield%
<b>TOTAL</b>		41,348.39		37,207.26	(4,141.13)		(3,801)	371	1.00

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment.

Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

**Unrealized Gain or (Loss):** Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

**Initial Purchase:** Date of your initial investment in this fund.

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
<b>TOTAL</b>	48,579.13	44,438.00	(4,141.13)		373	.84

## YOUR RETIREMENT ACCOUNT TRANSACTIONS

### DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Year To Date
12/31	Interest		BANK OF AMERICA, NA RASP 0.13000 DIV/INT REINVEST PAY DATE 12/31/2014 FROM 11-28 THRU 12-31 CUSIP NUM: 55499U915 BANK OF AMERICA, NA RASP		.13	13
			Income Total		.13	
			Subtotal (Tax-Exempt Interest)		.13	
12/01	* Dividend		NUVEEN PREFERRED INCOME OPPORTUNITIES FUND HOLDING 433.8781		27.46	

**Stmnt #3 SP Merrill Lynch IRA**  
**Missing months not required**

November 29, 2014 - December 31, 2014

**YOUR RETIREMENT ACCOUNT TRANSACTIONS**


DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)					
Date	Transaction Type	Quantity	Description	Reinvestment	Income
12/01	Reinvestment		PAY DATE 12/01/2014 NUVEEN PREFERRED INCOME OPPORTUNITIES FUND	(27.46)	
12/08	Divd Reinv	3	NUVEEN PREFERRED INCOME OPPORTUNITIES FUND REINV AMOUNT \$27.46 REINV PRICE \$9.44000 QUANTITY BOT 2.9089 IVY ASSET STRATEGY FUND CL C		37.94
12/12	* Dividend		PAY DATE 12/11/2014 IVY ASSET STRATEGY FUND CL C		6,523.97
12/12	* Lg ltm Cap Gain				27.65
12/31	- Dividend		PAY DATE 12/31/2014 NUVEEN PREFERRED INCOME HOLDING 436.7870 PAY DATE 12/31/2014 NUVEEN PREFERRED INCOME OPPORTUNITIES FUND	(27.65)	
12/31	Reinvestment				
Subtotal (Tax-Exempt Dividends)					6,617.02
NET TOTAL				(55.11)	6,617.15
					6,881.79


# **Stmt #3 SP Merrill Lynch IRA** **Missing months not required**

## **YOUR RETIREMENT ACCOUNT TRANSACTIONS**

November 29 2014 December 31 2014

### **REALIZED GAINS/(LOSSES)**

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) 	Year to Date
Subtotal (Long-Term)							(1,323.63)
Subtotal (Short-Term)							28.61
<b>TOTAL</b>							<b>(1,295.02)</b>

 Excludes transactions for which we have insufficient data  
 The capital gains and losses shown above may not reflect all transactions which must be reported on your 2014 tax return. These reportable transactions will appear on your January statement.

### **SWEEP PROGRAM TRANSACTIONS**

Date	Transaction Type	Quantity	Description	Debit	Credit
12/15	Deposit	6,561	BANK OF AMERICA, NA RASP FRAC BUY .98 @ 1.00	6,561.98	
<b>NET TOTAL</b>				<b>6,561.98</b>	

## **YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS**

Year-End Plan Value as of December 31, 2014: \$44,438.00

Contributions after December 31, 2013 for 2013: \$ .00

For IRA, IRRA, SEP/IRA, SIMPLE/IRA ROTH IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

**Stmnt #4 JHK's Merrill Lynch IRA**  
**Missing months not required**

**YOUR RETIREMENT ACCOUNT TRANSACTIONS**

February 01 2014 - January 31 2014

**SECURITY TRANSACTIONS**

**TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT**

Settlement Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
01/06	THE OAKMARK EQUITY & BOND FUND ERG SHR QUANTITY 523	Purchase	616	32.4400	20,000.01		
01/06	MAINSTAY MARKETFIELD FUND SHR QUANTITY 540	Purchase	540	18.4900	10,000.00		
01/06	UAM FPA CRESCENT PORT FUND SHR QUANTITY 305	Purchase	305	32.7500	10,000.02		
01/06	EATON VANCE SHI DURATION STRATEGIC INCOME FUND ERG SHR QUANTITY 4.112	Sale	-4.112	7.8300		32.203.33	
<b>Subtotal (Purchases)</b>					40,000.03		
<b>Subtotal (Sales)</b>						32,203.33	
<b>TOTAL</b>					<b>40,000.03</b>	<b>32,203.33</b>	

**REALIZED GAINS/(LOSSES)**

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
EV SHI DUR STR INC FDI	3663.0000	11/19/10	01/03/14	28,681.28	29,591.34	(910.06)	
EV SHI DUR STR INC FDI	15.0000	08/31/11	01/03/14	117.45	119.68	(2.23)	
EV SHI DUR STR INC FDI	15.0000	09/30/11	01/03/14	117.45	115.93	1.52	
EV SHI DUR STR INC FDI	1.0000	10/31/11	01/03/14	7.83	7.88	(.05)	
EV SHI DUR STR INC FDI	15.0000	10/31/11	01/03/14	117.45	118.64	(1.19)	
EV SHI DUR STR INC FDI	15.0000	11/30/11	01/03/14	117.45	117.28	.17	
EV SHI DUR STR INC FDI	16.0000	12/30/11	01/03/14	125.28	124.48	.80	
EV SHI DUR STR INC FDI	1.0000	01/31/12	01/03/14	7.83	7.92	(.09)	
EV SHI DUR STR INC FDI	15.0000	01/31/12	01/03/14	117.45	119.54	(2.09)	

**Stmnt #4 JHK's Merrill Lynch IRA**  
**Missing months not required**

January 01, 2014 January 31, 2014

**YOUR RETIREMENT ACCOUNT TRANSACTIONS**

**REALIZED GAINS/(LOSSES) (continued)**

Description	Quantity	Acquired Liquidation		Sale Amount	Cost Basis	Gains/(Losses)	
		Date	Date			This Statement	Year to Date
EV SHT DUR STR INC FDI	1.0000	02/29/12	01/03/14	7.83	8.01	(.18)	
EV SHT DUR STR INC FDI	14.0000	02/29/12	01/03/14	109.62	112.01	(2.39)	
EV SHT DUR STR INC FDI	15.0000	03/30/12	01/03/14	117.45	119.23	(1.78)	
EV SHT DUR STR INC FDI	1.0000	04/30/12	01/03/14	7.83	7.97	(.14)	
EV SHT DUR STR INC FDI	15.0000	04/30/12	01/03/14	117.45	119.38	(1.93)	
EV SHT DUR STR INC FDI	16.0000	05/31/12	01/03/14	125.28	124.64	.64	
EV SHT DUR STR INC FDI	1.0000	06/29/12	01/03/14	7.83	7.89	(.06)	
EV SHT DUR STR INC FDI	15.0000	06/29/12	01/03/14	117.45	117.89	(.44)	
EV SHT DUR STR INC FDI	16.0000	07/31/12	01/03/14	125.28	126.72	(1.44)	
EV SHT DUR STR INC FDI	16.0000	08/31/12	01/03/14	125.28	127.37	(2.09)	
EV SHT DUR STR INC FDI	15.0000	09/28/12	01/03/14	117.45	120.89	(3.44)	
EV SHT DUR STR INC FDI	1.0000	11/01/12	01/03/14	7.83	8.01	(.18)	
EV SHT DUR STR INC FDI	16.0000	11/01/12	01/03/14	125.28	128.48	(3.20)	
EV SHT DUR STR INC FDI	15.0000	11/30/12	01/03/14	117.45	120.48	(3.03)	
EV SHT DUR STR INC FDI	16.0000	12/31/12	01/03/14	125.28	129.34	(4.06)	
<i>Subtotal (1 org Term)</i>						(936.94)	(936.94)
EV SHT DUR STR INC FDI	1.0000	01/31/13	01/03/14	7.83	8.07	(.24)	
EV SHT DUR STR INC FDI	16.0000	01/31/13	01/03/14	125.28	129.99	(4.71)	
EV SHT DUR STR INC FDI	14.0000	02/28/13	01/03/14	109.62	113.47	(3.85)	
EV SHT DUR STR INC FDI	1.0000	03/28/13	01/03/14	7.83	8.13	(.30)	
EV SHT DUR STR INC FDI	16.0000	03/28/13	01/03/14	125.28	130.35	(5.07)	
EV SHT DUR STR INC FDI	1.0000	04/30/13	01/03/14	7.83	8.19	(.36)	
EV SHT DUR STR INC FDI	15.0000	04/30/13	01/03/14	117.45	122.66	(5.21)	
EV SHT DUR STR INC FDI	16.0000	05/31/13	01/03/14	125.28	130.10	(4.82)	
EV SHT DUR STR INC FDI	1.0000	06/28/13	01/03/14	7.83	8.05	(.22)	
EV SHT DUR STR INC FDI	16.0000	06/28/13	01/03/14	125.28	125.96	(.68)	
EV SHT DUR STR INC FDI	17.0000	07/31/13	01/03/14	133.11	134.57	(1.46)	
EV SHT DUR STR INC FDI	1.0000	08/30/13	01/03/14	7.83	7.85	(.02)	
EV SHT DUR STR INC FDI	17.0000	08/30/13	01/03/14	133.11	132.40	.71	
EV SHT DUR STR INC FDI	17.0000	09/30/13	01/03/14	133.11	132.94	.17	

**Stmnt #4 JHK's Merrill Lynch IRA**  
**Missing months not required**

January 01, 2014 - January 31, 2014

**YOUR RETIREMENT ACCOUNT TRANSACTIONS**

**REALIZED GAINS/(LOSSES) (continued)**

Description	Quantity	Acquired Liquidation		Sale Amount	Cost Basis	Gains/(Losses) *	
		Date	Date			This Statement	Year to Date
EV SHT DUR STR INC FDI	17.0000	10/31/13	01/03/14	133.11	133.79	(.68)	
EV SHT DUR STR INC FDI	17.0000	11/29/13	01/03/14	133.12	132.77	.35	
EATON VANCE SHT DURATION	1.490	12/31/13	01/03/14	1.17	1.16	.01	
EV SHT DUR STR INC FDI	.6640	12/31/13	01/03/14	5.20	5.19	.01	
Subtotal (Short-Term)						(26.37)	(26.37)
<b>TOTAL</b>				<b>32,203.33</b>	<b>33,166.64</b>	<b>(963.31)</b>	<b>(963.31)</b>

\* Excludes transactions for which we have insufficient data

**SECURITIES YOU TRANSFERRED IN/OUT**

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
01/02	EATON VANCE SHT DURATION STRATEGIC INCOME FDI FULL SHARE ACCOUNT SHARE VALUE \$7.82	Journal Entry	1	7.82	
01/06	THE OAKMARK EQUITY & INCOME FUND FULL SHARE ACCOUNT SHARE VALUE \$32.37	Journal Entry	1	32.37	
01/06	EATON VANCE SHT DURATION STRATEGIC INCOME FDI FULL SHARE ACCOUNT SHARE VALUE \$7.82	Journal Entry	-1	(7.82)	
01/06	MAINSTAY MARKETFIELD FUND C1 FULL SHARE ACCOUNT SHARE VALUE \$18.45	Journal Entry	1	18.45	



**Stmnt #4 JHK's Merrill Lynch IRA**  
**Missing months not required**

**YOUR RETIREMENT ACCOUNT TRANSACTIONS**

February 01, 2014 - February 22, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)						
Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
02/28	Reinvestment		EATON VANCE TXAD GL DIV	(453.92)		
			GLOBAL DIVIDEND INC FD			
			FIA CARD SERVICES NA RASP		.01	
	Income Total				1,288.45	2,453.44
	Subtotal (Tax-Exempt Dividends)					
	<b>NET TOTAL</b>			(1,287.83)	1,288.45	2,453.44

**SECURITY TRANSACTIONS**  
**TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT**

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
02/13	02/12	DOUBLELINE TOTAL RETURN FUND AND CATERPILLAR SHIR QUANTITY .247	Purchase	913	10.9500	10,000.00		
		FUND SUBJECT TO REDUCE PROSPECTUS ENCLOSURE UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS.						
		CUSIP NO 258627H103 SEC AD SKUNK PRINCIPAL 100000.00						
		MA ACTED AS YOUR AGENT						
02/13	02/12	BLACKROCK GLOBAL ALLOCATION FUND INVESTMENT IN RAS SHIR QUANTITY 1.70	Purchase	1,406	21.3300	30,000.01		
		FUND SUBJECT TO REDUCE PROSPECTUS ENCLOSURE UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS.						
		CUSIP NO 092511509 SEC NO 975B3 PRINCIPAL 20000.01						
		MA ACTED AS YOUR AGENT						
		<b>Subtotal (Purchases)</b>						40,000.01

# Stmnt #4 JHK's Merrill Lynch IRA Missing months not required

Statement for 01/2014 - February 28, 2014

## YOUR RETIREMENT ACCOUNT TRANSACTIONS

### SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
02/13	02/12	FATON VANCE SHT DURATION	Sale	-17	7.7800		138.88	
STRAIGHT INCOME 100% EFFAC SHT DURATION								
THIS SALE CONSISTS OF 17 SHARES OF FV SHT DURATION								
PER ADVISOR'S ADVICE, THIS SALE WAS MADE AS YOUR AGENT								
PROCEEDS OF \$133.89 WERE DEPOSITED TO YOUR ACCOUNT								
02/13	02/12	INVESTCO BALANCED RISK	Sale	-3,446	11.9000		41,009.98	
ALL OF THE PROCEEDS FROM THIS SALE WERE DEPOSITED TO YOUR ACCOUNT								
THIS SALE CONSISTS OF 3,446 SHARES OF INVESTCO BALANCED RISK								
PER ADVISOR'S ADVICE, THIS SALE WAS MADE AS YOUR AGENT								
PROCEEDS OF \$40,995.86 WERE DEPOSITED TO YOUR ACCOUNT								
Subtotal (Sales)						40,000.01	41,148.86	
TOTAL						40,000.01	41,148.86	

### REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
INVESTCO BLD RK ALL CL Y	3037.0000	10/02/12	02/12/14	36,140.30	39,997.29	(3,856.99)	
INVESTCO BLD RK ALL CL Y	74.0000	12/07/12	02/12/14	880.60	926.47	(45.87)	
INVESTCO BLD RK ALL CL Y	1.0000	12/07/12	02/12/14	11.89	12.66	(.77)	
INVESTCO BLD RK ALL CL Y	33.0000	12/07/12	02/12/14	392.70	413.15	(20.45)	
INVESTCO BLD RK ALL CL Y	51.0000	12/07/12	02/12/14	606.90	638.51	(31.61)	
Subtotal (Long-Term)						(3,995.69)	(4,892.63)
FV SHT DUR STR INC FDI	17.0000	12/31/13	02/12/14	132.26	132.77	(.51)	
FV SHT DUR STR INC FDI	.8510	12/31/13	02/12/14	6.62	6.68	(.06)	
INVESTCO BLD RK ALL CL Y	1.0000	12/13/13	02/12/14	11.90	12.48	(.58)	
INVESTCO BLD RK ALL CL Y	108.0000	12/13/13	02/12/14	1,285.20	1,265.75	19.45	
INVESTCO BLD RK ALL CL Y	1.0000	12/13/13	02/12/14	11.90	11.73	.17	

**Stmt #4 JHK's Merrill Lynch IRA**  
**Missing months not required**

February 01, 2014 - February 28, 2014

**YOUR RETIREMENT ACCOUNT TRANSACTIONS**

**REALIZED GAINS/(LOSSES) (continued)**

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) *	Year To Date
INVESCO BLD RK ALL CL Y	.2170	12/13/13	02/12/14	2.58	2.54	.04		
INVESCO BLD RK ALL CL Y	140.0000	12/13/13	02/12/14	1,666.01	1,640.80	25.21		
Subtotal (Short-Term)						43.72		17.35
<b>TOTAL</b>				<b>41,148.86</b>	<b>45,060.83</b>	<b>(3,911.97)</b>		<b>(4,875.28)</b>

\* Excludes transactions for which we have insufficient data

**SECURITIES YOU TRANSFERRED IN/OUT**

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
02/03	DOUBLELINE TOTAL RETURN BOND FUND CL 1	Journal Entry	1	11.01	
	FULL SHARE ANNOUM				
	START VALUE				
	<b>NET TOTAL</b>			<b>11.01</b>	<b>64.22</b>

**CASH/OTHER TRANSACTIONS**

Date	Transaction Type	Quantity	Description	Debit	Credit
02/10	Journal Entry		MUTUAL FUND ADJUSTMENT		34.84
02/11	Journal Entry		Initial Program Fee	421.88	
	Subtotal (Other Debits/Credits)			421.88	34.84
	<b>NET TOTAL</b>			<b>387.04</b>	

**FUNDS RECEIVED, WITHDRAWALS, AND CHARGES**

Date	Transaction Type	Quantity	Description	Debit	Credit
02/11	Fund Delivery	.35	FIA CARD SVS NA RASP		
02/11	Subscription	35	FIA CARD SVS NA RASP		35.00
02/12	Received	422	FIA CARD SVS NA RASP		
02/12	Redeemed	-422	FIA CARD SVS NA RASP	422.00	

**Stmnt #4 JHK's Merrill Lynch IRA**  
**Missing months not required**

May 01 2014 - May 31, 2014

**YOUR RETIREMENT ACCOUNT TRANSACTIONS**

**SECURITY TRANSACTIONS**  
**TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT**

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
05/21	05/20	BLACKROCK GLOBAL ALLOCATION FUND INC. DISCLOSED S&P QUANTITY 400	Purchase	695	21.5700	14,999.99		
		YOUR SEPARATE 2013 RPLR ADVISORY AGREEMENT UNDER SEPARATE 2013 RPLR ADVISORY AGREEMENT ON SELLING YOUR SHARES. VISITS YOUR RPLR PAYA SELLING CHARGE AND/OR OTHER FEES FOR INFORMATION, SET FOR PROSPECTUS						
		CUS NO 0002511009 SIC NO 9/583 PRINCIPAL 7,599.99						
		EAT ACCTD AS YOUR AGENT						
		<b>Subtotal (Purchases)</b>						
05/21	05/20	FIRST FABLE GLOBAL CLASS 1 THIS SALE CONSTITUTES A REDEMPTION PER ADVISORY AGREEMENT CUS NO 330089666 SIC NO 9/204 PRINCIPAL 7,519.44	Sale	-136	55.2900		7,519.44	
		EAT ACCTD AS YOUR AGENT						
05/21	05/20	IVY ASSET STRATEGY FUND CO. 1 THIS SALE CONSTITUTES A REDEMPTION PER ADVISORY AGREEMENT CUS NO 466001664 SIC NO 9/204 PRINCIPAL 7,502.33	Sale	-241	31.1300		7,502.33	
		EAT ACCTD AS YOUR AGENT						
05/30	05/29	IVY ASSET STRATEGY FUND CO. 1 THIS SALE CONSTITUTES A REDEMPTION PER ADVISORY AGREEMENT CUS NO 466001664 SIC NO 9/204 PRINCIPAL 20,932.86	Sale	-661	31.6600		20,932.86	
		EAT ACCTD AS YOUR AGENT						
		<b>Subtotal (Sales)</b>						
		<b>TOTAL</b>						
							14,999.99	35,954.63

**Stmt #4 JHK's Merrill Lynch IRA**  
**Missing months not required**

May 01, 2011 - May 30, 2014

**YOUR RETIREMENT ACCOUNT TRANSACTIONS**

**REALIZED GAINS/(LOSSES)**

Description	Quantity	Acquired Liquidation		Sale Amount	Cost Basis	Gains/(Losses) *	
		Date	Date			This Statement	Year to Date
FIRST EAG GLOBAL CL I	133.0000	01/14/11	05/20/14	7,353.57	6,232.38	1,121.19	
FIRST EAG GLOBAL CL I	1.0000	02/04/11	05/20/14	55.28	46.95	8.33	
FIRST EAG GLOBAL CL I	2.0000	02/04/11	05/20/14	110.59	94.56	16.03	
IVY ASSET STRATEGY FDI	241.0000	01/14/11	05/20/14	7,502.33	6,078.02	1,424.31	
IVY ASSET STRATEGY FDI	16.0000	01/14/11	05/29/14	506.56	403.52	103.04	
IVY ASSET STRATEGY FDI	601.0000	02/04/11	05/29/14	19,027.66	14,982.93	4,044.73	
IVY ASSET STRATEGY FDI	12.0000	12/08/11	05/29/14	379.91	275.03	104.88	
IVY ASSET STRATEGY FDI	26.0000	12/13/12	05/29/14	823.16	666.37	156.79	
Subtotal (Long-Term)						6,979.30	2,086.67
IVY ASSET STRATEGY FDI	1.0000	12/12/13	05/29/14	31.66	26.59	5.07	
IVY ASSET STRATEGY FDI	.1770	12/12/13	05/29/14	5.60	5.52	.08	
IVY ASSET STRATEGY FDI	5.0000	12/12/13	05/29/14	158.31	155.89	2.42	
Subtotal (Short-Term)						7.57	24.92
<b>TOTAL</b>				<b>35,954.63</b>	<b>28,967.76</b>	<b>6,986.87</b>	<b>2,111.59</b>

\* Excludes transactions for which we have insufficient data

**SECURITIES YOU TRANSFERRED IN/OUT**

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
05/06	FRANKLIN INCOME FD ADV CLASS	Journal Entry	1	2.49	
	FULL SHARE ACQUISITION				
	SHARE VALUE				
	<b>NET TOTAL</b>			<b>2.49</b>	<b>96.80</b>

**CASH/OTHER TRANSACTIONS**

Date	Transaction Type	Quantity	Description	Debit	Credit
05/02	Journal Entry		Monthly Program Fee	506.85	

**Stmnt #4 JHK's Merrill Lynch IRA**  
**Missing months not required**

**YOUR RETIREMENT ACCOUNT TRANSACTIONS**

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)					
Date	Transaction type	Quantity	Description	Reinvestment	Income
					Year To Date
12/09	Divd Reinv	28	DIVIDEND INCOME FUND REINV AMOUNT \$204.20 REINV PRICE \$20.58800 QUANTITY BOT 9.9184 LATON VANCE TX-AD GL DIV		
			GLOBAL DIVIDEND INC FD REINV AMOUNT \$478.93 REINV PRICE \$17.17400 QUANTITY BOT 27.8869 FIRST EAGLE		
12/18	* Dividend		GLOBAL CLASS I PAY DATE 12/17/2014 FIRST EAGLE		248.56
12/18	Reinvestment		GLOBAL CLASS I FIRST EAGLE	(248.56)	
12/18	* Lg Tm Cap Gain		GLOBAL CLASS I PAY DATE 12/17/2014 FIRST EAGLE		1,229.37
12/18	Reinvestment		GLOBAL CLASS I FIRST EAGLE	(1,229.37)	
12/18	* Sh Tm Cap Gain		GLOBAL CLASS I FIRST EAGLE		99.42
12/18	Reinvestment		GLOBAL CLASS I PAY DATE 12/17/2014 FIRST EAGLE	(99.42)	
12/18	Divd Reinv	4	GLOBAL CLASS I FIRST EAGLE		
			GLOBAL CLASS I REINV AMOUNT \$248.56 REINV PRICE \$51.30000 QUANTITY BOT 4.8450 AS OF 12/16 FIRST EAGLE		
12/18	Divd Reinv	23	FIRST EAGLE		

**Stmnt #4 JHK's Merrill Lynch IRA**  
**Missing months not required**

November 29, 2014 - December 31, 2014

**YOUR RETIREMENT ACCOUNT TRANSACTIONS**

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)					
Date	Transaction Type	Quantity	Description	Reinvestment	Income Year to Date
12/18	Divd Reinv	1	GLOBAL CLASS I REINV AMOUNT \$1229.37 REINV PRICE \$51.30000 QUANTITY BOT 23.9640 AS OF 12/16 FIRST EAGLE		
			GLOBAL CLASS I REINV AMOUNT \$99.42 REINV PRICE \$51.30000 QUANTITY BOT 1.9380 AS OF 12/16 LOOMIS SAYLES STRATEGIC		
12/18	Dividend		INC FD CL Y PAY DATE 12/17/2014 LOOMIS SAYLES STRATEGIC		437.22
12/18	Reinvestment		INC FD CL Y PAY DATE 12/17/2014 LOOMIS SAYLES STRATEGIC	(437.22)	
12/18	Lg Tm Cap Gain		INC FD CL Y PAY DATE 12/17/2014 LOOMIS SAYLES STRATEGIC		1,599.13
12/18	Reinvestment		INC FD CL Y PAY DATE 12/17/2014 LOOMIS SAYLES STRATEGIC	(1,599.13)	
12/18	Sh Tm Cap Gain		INC FD CL Y PAY DATE 12/17/2014 LOOMIS SAYLES STRATEGIC		156.28
12/18	Reinvestment		INC FD CL Y PAY DATE 12/17/2014 LOOMIS SAYLES STRATEGIC	(156.28)	
12/18	Divd Reinv	27	GLOBAL CLASS I REINV AMOUNT \$437.22 REINV PRICE \$15.96000 QUANTITY BOT 27.3950		

## YOUR RETIREMENT ACCOUNT TRANSACTIONS

**DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)**

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)					Income
Date	Transaction Type	Quantity	Description	Reinvestment	Income Year to Date
12/18	Divd Renvv	100	AS OF 12/16 LOOMIS SAYLES STRATEGIC INC FD CL Y REINV AMOUNT \$1599.13 REINV PRICE \$15.96000 QUANTITY BOT 100.1960 AS OF 12/16 LOOMIS SAYLES STRATEGIC INC FD CL Y		
12/18	Divd Renvv	9	REINV AMOUNT \$156.28 REINV PRICE \$15.96000 QUANTITY BOT 9.7920 AS OF 12/16 BLACKROCK GLOBAL ALLOCATION FD INC INSTL PAY DATE 12/18/2014		722.31
12/19	Divdend		BLACKROCK GLOBAL ALLOCATION FD INC INSTL PAY DATE 12/18/2014	(722.31)	
12/19	Reinvestment		BLACKROCK GLOBAL ALLOCATION FD INC INSTL PAY DATE 12/18/2014		2,677.81
12/19	* Lg Tm Cap Gain		BLACKROCK GLOBAL ALLOCATION FD INC INSTL PAY DATE 12/18/2014		
12/19	Reinvestment		BLACKROCK GLOBAL ALLOCATION FD INC INSTL PAY DATE 12/18/2014	(2,677.81)	
12/19	* Sh Tm Cap Gain		BLACKROCK GLOBAL ALLOCATION FD INC INSTL PAY DATE 12/18/2014		449.97
12/19	Reinvestment		BLACKROCK GLOBAL ALLOCATION FD INC INSTL PAY DATE 12/18/2014	(449.97)	
12/19	Divd Renvv	36	BLACKROCK GLOBAL ALLOCATION FD INC INSTL PAY DATE 12/18/2014		



## YOUR RETIREMENT ACCOUNT TRANSACTIONS

**DIVIDENDS/INTEREST INCOME TRANSACTIONS** (continued)

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)					Income	Income
Date	Transaction Type	Quantity	Description	Reinvestment	Year To Date	
12/19	Divd Reinv	136	BLACKROCK GLOBAL ALLOCATION FD INC INSTL REINV AMOUNT \$2677.81 REINV PRICE \$19.63000 QUANTITY BOT 136.4140 AS OF 12/17			
12/19	Divd Reinv	22	BLACKROCK GLOBAL ALLOCATION FD INC INSTL REINV AMOUNT \$449.97 REINV PRICE \$19.63000 QUANTITY BOT 22.9230 AS OF 12/17			
12/22	* Dividend		THE OAKMARK EQUITY & INCOME FUND PAY DATE 12/19/2014		511.17	
12/22	Reinvestment		THE OAKMARK EQUITY & INCOME FUND	(511.17)		
12/22	* Lg Tm Cap Gain		THE OAKMARK EQUITY & INCOME FUND PAY DATE 12/19/2014		5,094.81	
12/22	Reinvestment		THE OAKMARK EQUITY & INCOME FUND	(5,094.81)		
12/22	* Sh Tm Cap Gain		THE OAKMARK EQUITY & INCOME FUND PAY DATE 12/19/2014		64.09	
12/22	Reinvestment		THE OAKMARK EQUITY & INCOME FUND	(64.09)		
12/22	Divd Reinv	16	THE OAKMARK EQUITY &			

**Stmnt #4 JHK's Merrill Lynch IRA**  
**Missing months not required**

**YOUR RETIREMENT ACCOUNT TRANSACTIONS**

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)							
Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year to Date	
			INCOME FUND				
			REINV AMOUNT \$511.17				
			REINV PRICE \$31.79000				
			QUANTITY BOT 16.0800				
			AS OF 12/18				
12/22	Divd Reinv	160	THE OAKMARK EQUITY & INCOME FUND				
			REINV AMOUNT \$5094.81				
			REINV PRICE \$31.79000				
			QUANTITY BOT 160.2650				
			AS OF 12/18				
12/22	Divd Reinv	2	THE OAKMARK EQUITY & INCOME FUND				
			REINV AMOUNT \$64.09				
			REINV PRICE \$31.79000				
			QUANTITY BOT 2.0160				
			AS OF 12/18				
12/24	* Dividend		FPA CRESCENT FUND			247.47	
			INSTL CL				
12/24	Reinvestment		PAY DATE 12/23/2014				
			FPA CRESCENT FUND	(247.47)			
			INSTL CL				
12/24	* Lg Tm Cap Gain		FPA CRESCENT FUND			2,272.25	
			INSTL CL				
12/24	Reinvestment		PAY DATE 12/23/2014				
			FPA CRESCENT FUND	(2,272.25)			
			INSTL CL				
12/24	Divd Reinv	7	FPA CRESCENT FUND				
			INSTL CL				
			REINV AMOUNT \$247.47				
			REINV PRICE \$33.89000				

**Stmnt #4 JHK's Merrill Lynch IRA**  
**Missing months not required**

**IRA**

Net Portfolio Value:

**\$609,651.82**

November 29, 2014 - December 31, 2014

<b>ASSETS</b>		December 31	November 28
Cash/Money Accounts		19,263.89	19,742.80
Fixed Income		-	-
Equities	0.48	-	0.52
Mutual Funds	590,387.45	-	598,850.42
Options	-	-	-
Other	-	-	-
<i>Subtotal (Long Portfolio)</i>		<i>609,651.82</i>	<i>618,593.74</i>
<b>TOTAL ASSETS</b>		<b>\$609,651.82</b>	<b>\$618,593.74</b>

<b>LIABILITIES</b>			
Debit Balance	-	-	-
<b>TOTAL LIABILITIES</b>			
<b>NET PORTFOLIO VALUE</b>	<b>\$609,651.82</b>		<b>\$618,593.74</b>

<b>CASH FLOW</b>		This Statement	Year to Date
<b>Operating Cash/Money Accounts</b>		<b>\$19,742.80</b>	
<b>CREDITS</b>			
Funds Received	-	-	-
Electronic Transfers	-	-	-
Other Credits	35.60	-	993.91
<i>Subtotal</i>		<i>35.60</i>	<i>993.91</i>
<b>DEBITS</b>			
Electronic Transfers	-	-	-
Other Debits	(515.49)	-	(6,452.91)
<i>Subtotal</i>		<i>(515.49)</i>	<i>(6,452.91)</i>
<b>Net Cash Flow</b>		<b>(\$479.89)</b>	<b>(\$5,459.00)</b>
Dividends/Interest Income	17,114.30	-	32,989.19
Dividend Reinvestments	(17,113.32)	-	(32,982.15)
Security Purchases/Debits	-	-	(95,000.03)
Security Sales/Credits	-	-	109,306.82
<b>Closing Cash/Money Accounts</b>	<b>\$19,263.89</b>		
Securities You Transferred In/Out	259.56		531.48

# **Stmnt #4 JHK's Merrill Lynch IRA** **Missing months not required**

## **ACCOUNT INVESTMENT OBJECTIVE**

November 29, 2014 - December 31, 2014

**TOTAL RETURN:** Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

## **INVESTMENT ADVISORY PROGRAM**

We encourage you to contact your Financial Advisor(s) if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account(s) or reasonably modify existing restrictions.

Upon your request, and at no charge, we will provide to you more detailed information regarding the Program Fees that you pay to Merrill Lynch. Please contact your Financial Advisor(s) if you would like to request this detailed Account fee information.

If you would like to receive a free copy of the current Form ADV Brochure(s) for the Investment Advisory Program, please send a written request with your account number(s) to Managed Accounts Processing and Services, 4800 Deer Lake Drive West, Building 1, 3rd Fl., Jacksonville, FL 32246. You may also obtain a copy of the Investment Advisory Program Form ADV Brochure(s) by accessing the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

As part of our program, we provide you with periodic performance measurement reports to help you monitor and assess the performance of your account(s). Should you have any questions regarding these reports or would like performance measurement reports on a more frequent basis, please contact your Financial Advisor(s).

## **YOUR RETIREMENT ACCOUNT ASSETS**

CASH/MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Est. Annual
Description	Quantity	Cost Basis	Market Price	Market Value	Annual Income	Yield%
+BANK OF AMERICA, NA RASP	19,263.00	19,263.00	1.0000	19,263.00	10	.05
+FIDIC INSURED NOT SIPC COVERED (.8900 FRACTIONAL SHARE)		.89	1.0000	.89		.05
<b>TOTAL</b>		19,263.89		19,263.89	10	.05

**Stmnt #4 JHK's Merrill Lynch IRA**  
Missing months not required

## YOUR RETIREMENT ACCOUNT ASSETS

November 29 - December 31, 2014

EQUITIES		Unit		Total		Estimated		Unrealized		Estimated Current	
Description	Symbol	Acquired	Quantity	Cost Basis	Cost Basis	Market Price	Market Value	Gain/(Loss)	Annual Income	Yield%	
POWERWAVE TECHNOLOGIES											
	PWAVQ	05/28/04	100	39,8500	3,985.00	0.0012	.12	(3,984.88)			
WFO 5.75		06/30/04	300	37,9000	11,370.00	0.0012	.36	(11,369.64)			
	Subtotal		400		15,355.00		.48	(15,354.52)			
TOTAL					15,355.00		.48	(15,354.52)			

MUTUAL FUNDS/CLOSED END FUNDS/UIT									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Current Yield%
BLACKROCK GLOBAL	2,315	49,224.68	19,870.00	45,999.05	(3,225.63)	44,981	1,017	1,214	2.63
ALLOCATION: 12.10% (10/1/15)									
SYMBOL: BGLGX    Initial Purchase: 6/17/14									
Loaded Income: 40% Equity: 60%									
5270 Fractional Share									
11.72    19.1703    11.86    1.14									
DOUBLELINE TOTAL RETURN	3,390	38,158.06	10,970.00	37,188.30	(969.76)	34,996	2,191	1,777	4.77
BOND FUND: 0.1									
SYMBOL: DBR17    Initial Purchase: 10/02/12									
Loaded Income: 100%									
1830 Fractional Share									
7.02    10.9703    2.01    (0.01)									
EATON VANCE TAX DIV INC	1,773	32,923.74	20,800.00	36,878.40	3,954.66	20,144	16,733	2,463	6.67
DIVERSIFIED INC: 0.66 (1/1/17)									
SYMBOL: EVT    Initial Purchase: 09/25/03									
Loaded Income: 28% Equity: 72%									
2649 Fractional Share									
5.54    20.8000    5.59    0.5									
EATON VANCE TXAD CL DIV	4,700	81,543.62	16,170.00	75,999.00	(5,544.62)	50,009	25,989	5,782	7.60
GLOBAL DIVIDEND INC: 0									
SYMBOL: ETG    Initial Purchase: 01/27/04									
Loaded Income: 18% Equity: 82%									
3294 Fractional Share									
5.66    16.1700    5.33    (0.33)									
1    7.60									

**Stmt #4 JHK's Merrill Lynch IRA**  
**Missing months not required**

**YOUR RETIREMENT ACCOUNT ASSETS**

November 29 2014 - December 31 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
<b>FIRST EAGLE</b>	<b>589</b>	<b>28,549.80</b>	<b>52.6400</b>	<b>31,004.96</b>	<b>2,455.16</b>	<b>23,601</b>	<b>7,403</b>	
CLASS								
CLASS								
SYMBOL: SBLX	Initial Purchase 02/04/11							
Fund Income 3% Equity 97%								
2830 Fractional Share		10.34	52.6400	15.74	10			
<b>FPA CRESCENT FUND</b>	<b>2,324</b>	<b>70,577.54</b>	<b>33.7400</b>	<b>78,411.76</b>	<b>7,834.22</b>	<b>63,070</b>	<b>15,341</b>	<b>721 .91</b>
CLASS								
SYMBOL: FPAIX	Initial Purchase 07/14/11							
Alternative Investments 65.70%								
0960 Fractional Share		8.36	33.7400	3.34	10.621			1 .91
<b>FRANKLIN INCOME FID ADV</b>	<b>33,039</b>	<b>69,209.23</b>	<b>2.3800</b>	<b>78,632.82</b>	<b>9,423.59</b>	<b>49,795</b>	<b>28,836</b>	<b>4,064 5.16</b>
CLASS								
SYMBOL: FRJAX	Initial Purchase 01/10/03							
Fund Income 55.1 Equity 44%								
4790 Fractional Share		0.73	2.3800	.73				1 5.16
<b>LOOMIS SAYLES STRATEGIC</b>	<b>4,788</b>	<b>73,050.26</b>	<b>16.2700</b>	<b>77,900.76</b>	<b>4,850.50</b>	<b>55,967</b>	<b>21,933</b>	<b>3,094 3.97</b>
CLASS								
SYMBOL: WFTXX	Initial Purchase 06/10/10							
Fund Income 100%								
7110 Fractional Share		11.36	16.2700	11.57	22			1 3.97
<b>MAINSTAY MARKETFIELD</b>	<b>3,825</b>	<b>68,001.71</b>	<b>16.2400</b>	<b>62,118.00</b>	<b>(5,883.71)</b>	<b>67,983</b>	<b>(5,865)</b>	
FUND C1								
SYMBOL: MFLDX	Initial Purchase 07/10/13							
Alternative Investments 100%								
2830 Fractional Share		5.23	16.2400	4.60	(5.63)			

# Stmnt #4 JHK's Merrill Lynch IRA Missing months not required

## YOUR RETIREMENT ACCOUNT ASSETS

November 29, 2014 to December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT									
Description	(continued)	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
THE OAKMARK EQUITY & INCOME FUND		2.074	63,457.29	31.9100	66,181.34	2,724.05	53,708	12,472	
STANBURY OAKMARK FUND PURCHASE 10/29/12									
Fund Balance		176,490.33							
3530 Fractional Share			12.25	31.9100	12.29	0.04			

3-DRIVE II FUND	205,870.27
Small Cap FUND	243,979.48
3-DRIVE II FUND	140,537.70
TOTAL	574,769.13
	590,387.45
	15,618.32
	126,050
	19,122
	3.24

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment.

Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

**Unrealized Gain or (Loss):** Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

**Initial Purchase:** Date of your initial investment in this fund.

**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

LONG PORTFOLIO		Adjusted/Total	Estimated	Unrealized	Estimated	Estimated	Current
		Cost Basis	Market Value	Gain/(Loss)	Accrued Interest	Annual Income	Yield%
TOTAL		609,388.02	609,651.82	263.80		19,131	3.14

### Notes

♦ Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

**Stmnt #4 JHK's Merrill Lynch IRA**  
**Missing months not required**

**YOUR RETIREMENT ACCOUNT TRANSACTIONS**

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS					
Date	Transaction Type	Quantity	Description	Reinvestment	Income
12/31	Interest		BANK OF AMERICA, NA RASP 0.98000 DIV/INT REINVEST PAY DATE 12/31/2014 FROM 11-28 THRU 12-31 CUSIP NUM: 55499U915 BANK OF AMERICA, NA RASP		
	Income Total				.98
12/01	Subtotal (Tax-Exempt Interest)				
	Dividend				129.16
12/01	Reinvestment		DOUBLELINE TOTAL RETURN BOND FUND CL I PAY DATE 11/28/2014	(129.16)	
12/01	Divd Reinv	11	DOUBLELINE TOTAL RETURN BOND FUND CL I		
			REINV AMOUNT \$129.16 REINV PRICE \$11.01000 QUANTITY BOT 11.7310 AS OF 11/28		
12/04	Dividend		FRANKLIN INCOME FD ADV CLASS PAY DATE 12/03/2014		338.85
12/04	Reinvestment		FRANKLIN INCOME FD ADV CLASS	(338.85)	
12/04	Divd Reinv	141	FRANKLIN INCOME FD ADV CLASS REINV AMOUNT \$338.85 REINV PRICE \$2.40000 QUANTITY BOT 141.1880 AS OF 12/01		
12/09	Divd Reinv	10	EATON VANCE TAX DIV INC		



**Stmnt #4 JHK's Merrill Lynch IRA**  
**Missing months not required**

**YOUR RETIREMENT ACCOUNT TRANSACTIONS**

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)						
Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/09	Divd Reinv	28	DIVIDEND INCOME FUND REINV AMOUNT \$204.20 REINV PRICE \$20.58800 QUANTITY BOT 9.9184 EATON VANCE TX-AD GL DIV			
			GLOBAL DIVIDEND INC FD REINV AMOUNT \$478.93 REINV PRICE \$17.17400 QUANTITY BOT 27.8869 FIRST EAGLE			
12/18	* Dividend		GLOBAL CLASS I PAY DATE 12/17/2014 FIRST EAGLE			248.56
12/18	Reinvestment		GLOBAL CLASS I FIRST EAGLE	(248.56)		
12/18	* Lg Im Cap Gain		GLOBAL CLASS I PAY DATE 12/17/2014 FIRST EAGLE			1,229.37
12/18	Reinvestment		GLOBAL CLASS I FIRST EAGLE	(1,229.37)		
12/18	* Sh Im Cap Gain		GLOBAL CLASS I FIRST EAGLE			99.42
12/18	Reinvestment		GLOBAL CLASS I PAY DATE 12/17/2014 FIRST EAGLE			
12/18	Divd Reinv	4	GLOBAL CLASS I FIRST EAGLE	(99.42)		
12/18	Divd Reinv	23	GLOBAL CLASS I REINV AMOUNT \$248.56 REINV PRICE \$51.30000 QUANTITY BOT 4.8450 AS OF 12/16 FIRST EAGLE			

**Stmt #4 JHK's Merrill Lynch IRA**  
**Missing months not required**

**YOUR RETIREMENT ACCOUNT TRANSACTIONS**

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)							
Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income	Year To Date
12/18	Divd Reinv	1	GLOBAL CLASS 1 REINV AMOUNT \$1229.37 REINV PRICE \$51.30000 QUANTITY BOT 23.9640 AS OF 12/16 FIRST EAGLE				
			GLOBAL CLASS 1 REINV AMOUNT \$99.42 REINV PRICE \$51.30000 QUANTITY BOT 1.9380 AS OF 12/16 LOOMIS SAYLES STRATEGIC			437.22	
12/18	* Dividend		INC FD CL Y PAY DATE 12/17/2014 LOOMIS SAYLES STRATEGIC				
12/18	Reinvestment		INC FD CL Y LOOMIS SAYLES STRATEGIC	(437.22)			
12/18	* 1g Tm Cap Gain		INC FD CL Y PAY DATE 12/17/2014 LOOMIS SAYLES STRATEGIC			1,599.13	
12/18	Reinvestment		INC FD CL Y LOOMIS SAYLES STRATEGIC	(1,599.13)			
12/18	* Sh Tm Cap Gain		INC FD CL Y PAY DATE 12/17/2014 LOOMIS SAYLES STRATEGIC			156.28	
12/18	Reinvestment		INC FD CL Y LOOMIS SAYLES STRATEGIC	(156.28)			
12/18	Divd Reinv	27	LOOMIS SAYLES STRATEGIC INC FD CL Y REINV AMOUNT \$437.22 REINV PRICE \$15.96000 QUANTITY BOT 27.3950				

## YOUR RETIREMENT ACCOUNT TRANSACTIONS

**DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)**

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)					
Date	Transaction Type	Quantity	Description	Reinvestment	Income
					Year To Date
12/18	Divd Reinv	100	AS OF 12/16 LOOMIS SAYLES STRATEGIC INC FD CL Y REINV AMOUNT \$1599.13 REINV PRICE \$15.96000 QUANTITY BOT 100.1960 AS OF 12/16		
12/18	Divd Reinv	9	LOOMIS SAYLES STRATEGIC INC FD CL Y REINV AMOUNT \$156.28 REINV PRICE \$15.96000 QUANTITY BOT 9.7920 AS OF 12/16		
12/19	* Dividend		BLACKROCK GLOBAL ALLOCATION FD INC INSTL PAY DATE 12/18/2014		722.31
12/19	Reinvestment		BLACKROCK GLOBAL ALLOCATION FD INC INSTL	(722.31)	
12/19	* Lg Trm Cap Gain		BLACKROCK GLOBAL ALLOCATION FD INC INSTL PAY DATE 12/18/2014		2,677.81
12/19	Reinvestment		BLACKROCK GLOBAL ALLOCATION FD INC INSTL	(2,677.81)	
12/19	* Sh Trm Cap Gain		BLACKROCK GLOBAL ALLOCATION FD INC INSTL PAY DATE 12/18/2014		449.97
12/19	Reinvestment		BLACKROCK GLOBAL ALLOCATION FD INC INSTL	(449.97)	
12/19	Divd Reinv	36	BLACKROCK GLOBAL ALLOCATION FD INC INSTL REINV AMOUNT \$722.31		

**Stmnt #4 JHK's Merrill Lynch IRA**  
**Missing months not required**

**YOUR RETIREMENT ACCOUNT TRANSACTIONS**

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS		Description		Income	
Date	Transaction Type	Quantity		Reinvestment	Year To Date
12/19	Divd Reinv	136	REINV PRICE \$19.63000 QUANTITY BOT 36.7960 AS OF 12/17 BLACKROCK GLOBAL ALLOCATION FD INC INSTL REINV AMOUNT \$2677.81 REINV PRICE \$19.63000 QUANTITY BOT 136.4140 AS OF 12/17 BLACKROCK GLOBAL ALLOCATION FD INC INSTL REINV AMOUNT \$449.97 REINV PRICE \$19.63000 QUANTITY BOT 22.9230 AS OF 12/17 THE OAKMARK EQUITY & INCOME FUND PAY DATE 12/19/2014 THE OAKMARK EQUITY & INCOME FUND THE OAKMARK EQUITY & INCOME FUND PAY DATE 12/19/2014 THE OAKMARK EQUITY & INCOME FUND THE OAKMARK EQUITY & INCOME FUND		
12/19	Divd Reinv	22			
12/22	* Dividend				511.17
12/22	Reinvestment			(511.17)	
12/22	* Lg Tm Cap Gain				5,094.81
12/22	Reinvestment			(5,094.81)	
12/22	* Sh Tm Cap Gain				64.09
12/22	Reinvestment			(64.09)	
12/22	Divd Reinv	16			

**Stmt #4 JHK's Merrill Lynch IRA**  
**Missing months not required**

**YOUR RETIREMENT ACCOUNT TRANSACTIONS**

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)						
Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/22	Divd Reinv	160	INCOME FUND REINV AMOUNT \$511.17 REINV PRICE \$31.79000 QUANTITY BOT 16.0800 AS OF 12/18 THE OAKMARK EQUITY & INCOME FUND REINV AMOUNT \$5094.81 REINV PRICE \$31.79000 QUANTITY BOT 160.2650 AS OF 12/18 THE OAKMARK EQUITY & INCOME FUND REINV AMOUNT \$64.09 REINV PRICE \$31.79000 QUANTITY BOT 2.0160 AS OF 12/18 FPA CRESCENT FUND INSTL CL PAY DATE 12/23/2014 FPA CRESCENT FUND INSTL CL FPA CRESCENT FUND INSTL CL PAY DATE 12/23/2014 FPA CRESCENT FUND INSTL CL FPA CRESCENT FUND INSTL CL REINV AMOUNT \$247.47 REINV PRICE \$33.89000	(247.47)	247.47	
12/22	Divd Reinv	2	INCOME FUND REINV AMOUNT \$511.17 REINV PRICE \$31.79000 QUANTITY BOT 16.0800 AS OF 12/18 THE OAKMARK EQUITY & INCOME FUND REINV AMOUNT \$5094.81 REINV PRICE \$31.79000 QUANTITY BOT 160.2650 AS OF 12/18 THE OAKMARK EQUITY & INCOME FUND REINV AMOUNT \$64.09 REINV PRICE \$31.79000 QUANTITY BOT 2.0160 AS OF 12/18 FPA CRESCENT FUND INSTL CL PAY DATE 12/23/2014 FPA CRESCENT FUND INSTL CL FPA CRESCENT FUND INSTL CL PAY DATE 12/23/2014 FPA CRESCENT FUND INSTL CL FPA CRESCENT FUND INSTL CL REINV AMOUNT \$247.47 REINV PRICE \$33.89000	(247.47)	247.47	
12/24	Dividend					
12/24	Reinvestment			(247.47)		
12/24	Lg Trm Cap Gain				2,272.25	
12/24	Reinvestment			(2,272.25)		
12/24	Divd Reinv	7	INCOME FUND REINV AMOUNT \$511.17 REINV PRICE \$31.79000 QUANTITY BOT 16.0800 AS OF 12/18 THE OAKMARK EQUITY & INCOME FUND REINV AMOUNT \$5094.81 REINV PRICE \$31.79000 QUANTITY BOT 160.2650 AS OF 12/18 THE OAKMARK EQUITY & INCOME FUND REINV AMOUNT \$64.09 REINV PRICE \$31.79000 QUANTITY BOT 2.0160 AS OF 12/18 FPA CRESCENT FUND INSTL CL PAY DATE 12/23/2014 FPA CRESCENT FUND INSTL CL FPA CRESCENT FUND INSTL CL PAY DATE 12/23/2014 FPA CRESCENT FUND INSTL CL FPA CRESCENT FUND INSTL CL REINV AMOUNT \$247.47 REINV PRICE \$33.89000			

**Stmt #4 JHK's Merrill Lynch IRA**  
**Missing months not required**

**YOUR RETIREMENT ACCOUNT TRANSACTIONS**

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)						
Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/24	Divd Reinv	67	QUANTITY BOT 7.3020 AS OF 12/22 FPA CRESCENT FUND INSTL CL			
			REINV AMOUNT \$2272.25 REINV PRICE \$33.89000 QUANTITY BOT 67.0480 AS OF 12/22			
* 2/31	* Dividend		EATON VANCE TAX DIV INC		205.34	
12/31	Reinvestment		DIVIDEND INCOME FUND HOLDING 1773.2689 PAY DATE 12/31/2014	(205.34)		
12/31	* Dividend		EATON VANCE TX-AD GL DIV		481.78	
			GLOBAL DIVIDEND INC FD HOLDING 4700.3294 PAY DATE 12/31/2014			
12/31	Reinvestment		EATON VANCE TX-AD GL DIV	(481.78)		
12/31	* Dividend		GLOBAL DIVIDEND INC FD		148.33	
			DOUBLELINE TOTAL RETURN BOND FUND CL I			
12/31	Reinvestment		PAY DATE 12/31/2014 DOUBLELINE TOTAL RETURN BOND FUND CL I	(148.33)		
Subtotal (Tax-Exempt Dividends)					17,113.32	32,982.76
NET TOTAL				(17,113.32)	17,114.30	32,989.19

# **Stmnt #4 JHK's Merrill Lynch IRA** **Missing months not required**

November 29, 2014 December 31, 2014

## **YOUR RETIREMENT ACCOUNT TRANSACTIONS**

REALIZED GAINS/(LOSSES)				Gains/(Losses)	
Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis
Subtotal (Long-Term)					
Subtotal (Short-Term)					
<b>TOTAL</b>					

2,111.59

Excludes transactions for which we have insufficient data  
The capital gains and losses shown above may not reflect all transactions which must be reported on your 2014 tax return. These reportable transactions will appear on your January statement.

SECURITIES YOU TRANSFERRED IN/OUT					
Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
12/01	DOUBLELINE TOTAL RETURN BOND FUND CL 1	Journal Entry	1	11.01	
	• 101 SHARE ACQUISITION SHARE VALUE \$11.01				
12/18	FIRST EAGLE GLOBAL CLASS 1	Journal Entry	2	105.60	
	• 101 SHARE ACQUISITION SHARE VALUE \$52.80				
12/18	FIRST EAGLE GLOBAL CLASS 1	Journal Entry	1	52.80	
	• 101 SHARE ACQUISITION SHARE VALUE \$52.80				
12/18	LOOMIS SAYLES STRATEGIC FUND CL 1	Journal Entry	1	16.21	
	• 101 SHARE ACQUISITION SHARE VALUE \$16.21				
12/19	BLACKROCK GLOBAL ALLOCATION FUND INSTL	Journal Entry	2	39.94	
	• 101 SHARE ACQUISITION SHARE VALUE \$19.97				

## YOUR RETIREMENT ACCOUNT TRANSACTIONS

November 29, 2014 - January 31, 2016

## SECURITIES YOU TRANSFERRED IN/OUT (continued)

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
12/24	FPA CRESCENT FUND	Journal Entry	1	34.00	
	101.9600000000				
	101.9600000000				
	STOCK VALUE 334.00				
	<b>NET TOTAL</b>			<b>259.56</b>	<b>531.48</b>

**CASH/OTHER TRANSACTIONS**

Date	Transaction Type	Quantity	Description	Debit	Credit
12/02	Journal Entry		Monthly Program Fee	515.49	
12/08	Journal Entry		PROGRAM FUNDS REBATE		35.60
	Subtotal (Other Debits/Credits)			515.49	35.60
	NET TOTAL			479.89	

**SWEEP PROGRAM TRANSACTIONS**

Date	Transaction Type	Quantity	Description	Debit	Credit
12/03	Withdrawal	5.15	BANK OF AMERICA, NA RASP FRAC SELL .49 @ 1.00		515.49
12/09	Deposit	35	BANK OF AMERICA, NA RASP FRAC BUY .60 @ 1.00	35.60	
NET TOTAL					479.89

## YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

Year-End Plan Value as of December 31, 2014: \$609,651.82

Contributions after December 31, 2013 for 2013: \$.00

For IRA, IRRA, SEP/IRA, SIMPLE/IRA ROTH IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.



**Stmnt #5 Merrill Lynch DC-1#1 Trust**  
**Missing months not required**

**TRUST**

**Net Portfolio Value:**

**\$102,899.84**

November 29 2014 - December 31 2014			
		This Statement	Year to Date
<b>ASSETS</b>			
Cash/Money Accounts	December 31	November 28	
Fixed Income	3,676.10	3,676.00	
Equities	93,912.00	87,127.95	
Mutual Funds	5,311.74	5,480.26	
Options	-	-	
Other	-	-	
Subtotal (Long Portfolio)	102,899.84	96,284.21	
<b>TOTAL ASSETS</b>	<b>\$102,899.84</b>	<b>\$96,284.21</b>	
<b>LIABILITIES</b>			
Debit Balance	-	-	
Short Market Value	-	-	
<b>TOTAL LIABILITIES</b>	<b>-</b>	<b>-</b>	
<b>NET PORTFOLIO VALUE</b>	<b>\$102,899.84</b>	<b>\$96,284.21</b>	
<b>CASH FLOW</b>			
Cash/Money Accounts	Opening Cash	November 28	
	\$3,676.00	3,676.00	
<b>CREDITS</b>			
Funds Received	-	-	
Electronic Transfers	-	-	
Other Credits	-	-	
Subtotal	-	-	
<b>DEBITS</b>			
Electronic Transfers	-	-	
Margin Interest Charged	-	-	
Other Debits	-	-	
Visa Purchases (debits)	-	-	
ATM/Cash Advances	-	-	
Checks Written/Bill Payment	-	-	
Subtotal	-	-	
<b>Net Cash Flow</b>	<b>-</b>	<b>-</b>	
Dividends/Interest Income	881.90	2,002.00	
Dividend Reinvestments	(881.80)	(881.80)	
Security Purchases/Debits	-	-	
Security Sales/Credits	-	-	
<b>Closing Cash/Money Accounts</b>	<b>\$3,676.10</b>	<b>3,676.00</b>	
Securities You Transferred In/Out	24.55	24.55	

**Stmnt #5 Merrill Lynch DC-1#1 Trust**  
**Missing months not required**

**ACCOUNT INVESTMENT OBJECTIVE**

November 29, 2014 - December 31, 2014

**TOTAL RETURN:** Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

**YOUR CMA for TRUST-SUB ACCOUNT BANK DEPOSIT INTEREST SUMMARY**

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A.	3,675	3,675	.03	0.10	3,676
<b>TOTAL ML Bank Deposit Program</b>	<b>3,675</b>			<b>0.10</b>	<b>3,676</b>

**YOUR CMA for TRUST-SUB ACCOUNT ASSETS**

CASH/MONEY ACCOUNTS	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0.10	0.10		.10		
+ML BANK DEPOSIT PROGRAM	3,676.00	3,676.00	1.0000	<b>3,676.00</b>	<b>1</b>	<b>.03</b>
+FDIC INSURED NOT SIPC COVERED						
<b>TOTAL</b>		<b>3,676.10</b>		<b>3,676.10</b>	<b>1</b>	<b>.03</b>

EQUITIES	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
LOWE'S COMPANIES INC	LOW	09/23/82	465	0.5999	278.99	68.8000	31,992.00	31,713.01	428	1.33
		09/23/82	525	0.6000	315.00	68.8000	36,120.00	35,805.00	483	1.33
		09/23/82	375	0.6000	225.00	68.8000	25,800.00	25,575.00	345	1.33
Subtotal			1,365		818.99		<b>93,912.00</b>	<b>93,093.01</b>	<b>1,256</b>	<b>1.33</b>
<b>TOTAL</b>					<b>818.99</b>		<b>93,912.00</b>	<b>93,093.01</b>	<b>1,256</b>	<b>1.34</b>

# Stmnt #5 Merrill Lynch DC-1#1 Trust Missing months not required

## YOUR CMA for TRUST-SUB ACCOUNT ASSETS

November 29, 2014 December 31, 2014

### RESEARCH RATINGS

Security	Symbol	BoFA/ML Research	Morningstar	S&P
LOWE'S COMPANIES INC	LOW	Buy (B17)	Hold	Hold

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO BOFAML AND THIRD PARTY RESEARCH RATINGS.

MUTUAL FUNDS/CLOSED END FUNDS/UIT									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Current Yield%
IVY ASSET STRATEGY	216	5,260.83	24.5500	5,302.80	41.97	4,295	1,006	7	11
IVOBX CL C SYN 301 WASCX Initial Purchase 01/15/11 Account for Investments 100% 3000 Fractional Share 0.02 215.00 8.94 (0.03)									
Subtotal (Alternative Investments)		5,269.85		5,311.74	41.89		1,006	8	15
TOTAL				5,311.74					

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment.

Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

**Unrealized Gain or (Loss):** Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

**Initial Purchase:** Date of your initial investment in this fund.

**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and lower assets for investment due to the need to retain cash to satisfy redemptions.

LONG PORTFOLIO									
	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%			
TOTAL	9,764.94	102,899.84	93,134.90		1,265	1.23			

**Stmnt #5 Merrill Lynch DC-1#1 Trust**  
**Missing months not required**

**YOUR CMA for TRUST-SUB ACCOUNT TRANSACTIONS**

November 29, 2014 - December 31, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS					
Date	Transaction Type	Quantity	Description	Reinvestment	Income
12/31	* Bank Interest		BANK DEPOSIT INTEREST		.10
12/12	Subtotal (Taxable Interest)				.10
12/12	* Dividend		IVY ASSET STRATEGY		5.10
			FUND CL C		
12/12	Reinvestment		PAY DATE 12/11/2014	(5.10)	
			IVY ASSET STRATEGY		
			FUND CL C		
12/12	* Lg Tm Cap Gain		* IVY ASSET STRATEGY		876.70
			FUND CL C		
12/12	Reinvestment		PAY DATE 12/11/2014	(876.70)	
			IVY ASSET STRATEGY		
			FUND CL C		
12/12	Divd Reinv		IVY ASSET STRATEGY		
			FUND CL C		
			REINV AMOUNT \$5.10		
			REINV PRICE \$24.80000		
			QUANTITY BOT .2060		
			AS OF 12/11		
12/12	Divd Reinv	35	IVY ASSET STRATEGY		
			FUND CL C		
			REINV AMOUNT \$876.70		
			REINV PRICE \$24.80000		
			QUANTITY BOT 35.3510		
			AS OF 12/11		
Subtotal (Taxable Dividends)					881.90
NET TOTAL				(881.80)	2,002.00
* Long Term Capital Gain Distributions					876.70

The long-term capital gain distribution amounts may change due to income reclassification information provided by the issuer. In particular, distributions made by Mutual Funds, REITs and UITs often need to be reclassified as a different type of distribution (including long-term capital gain distributions) after the end of the year in which the distribution was originally paid.

**Stmnt #5 Merrill Lynch DC-1#1 Trust**  
**Missing months not required**

**YOUR CMA for TRUST-SUB ACCOUNT TRANSACTIONS**

November 23, 2014 December 31, 2014

SECURITIES YOU TRANSFERRED IN/OUT					
Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
12/12	IVY ASSET STRATEGY	Journal Entry	1	24.55	
	TRUST				
	TRUST SHARE ACCOUNT				
	SHARE BALANCE			24.55	
<b>NET TOTAL</b>				<b>24.55</b>	<b>24.55</b>

**YOUR CMA for TRUST-SUB ACCOUNT MONEY ACCOUNT TRANSACTIONS**

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
12/01	ML BANK DEPOSIT PROGRAM		1.00				
<b>NET TOTAL</b>							<b>1.00</b>

**Stmnt #6 Merrill Lynch - JT Broker Acct**  
**Missing months not required**

**Net Portfolio Value:**

**\$6,367.66**

November 29, 2014 - December 31, 2014

<b>ASSETS</b>		December 31	November 28
Cash/Money Accounts		3,634.16	3,549.70
Fixed Income		-	-
Equities		-	5,617.04
Mutual Funds		2,733.50	2,747.36
Options		-	-
Other		-	-
Subtotal (Long Portfolio)		6,367.66	11,914.10
<b>TOTAL ASSETS</b>		<b>\$6,367.66</b>	<b>\$11,914.10</b>

<b>LIABILITIES</b>			
Debit Balance		-	-
Short Market Value		-	-
<b>TOTAL LIABILITIES</b>		<b>-</b>	<b>-</b>
<b>NET PORTFOLIO VALUE</b>		<b>\$6,367.66</b>	<b>\$11,914.10</b>
<b>MARGIN AVAILABLE CREDIT</b>		<b>4,367.00</b>	

<b>CASH FLOW</b>		This Statement	Year to Date
<b>Operating Cash/Money Accounts</b>		<b>\$3,549.70</b>	
<b>CREDITS</b>			
Funds Received		-	-
Electronic Transfers		-	-
Other Credits		-	-
Subtotal		-	-
<b>DEBITS</b>			
Electronic Transfers		-	-
Margin Interest Charged		-	-
Other Debits		-	-
Visa Purchases (debit)		-	-
ATM/Cash Advances		-	-
Checks Written/Bill Payment		-	-
Subtotal		-	-
<b>Net Cash Flow</b>		<b>-</b>	<b>-</b>
Dividends/Interest Income		84.46	210.64
Security Purchases/Debits		-	-
Security Sales/Credits		-	-
<b>Closing Cash/Money Accounts</b>		<b>\$3,634.16</b>	
Securities You Transferred In/Out		(5,887.20)	(17,759.58)

Amounts transferred to charity.  
 Not transferred to other  
 investment accounts.

**Stmnt #6 Merrill Lynch - JT Broker Acct**  
**Missing months not required**

**ACCOUNT INVESTMENT OBJECTIVE**

November 29, 2014 - December 31, 2014

**TOTAL RETURN:** Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

**YOUR CMA BANK DEPOSIT INTEREST SUMMARY**

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A.	3,549	3,615	.03	0.10	3,634
<b>TOTAL ML Bank Deposit Program</b>	<b>3,549</b>			<b>0.10</b>	<b>3,634</b>

**YOUR CMA ASSETS**

CASH/MONEY ACCOUNTS	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0.16	0.16		.16		
+ML BANK DEPOSIT PROGRAM	3,634.00	3,634.00	1.0000	3,634.00	1	.03
+FDIC INSURED NOT SIPC COVERED						
<b>TOTAL</b>		<b>3,634.16</b>		<b>3,634.16</b>	<b>1</b>	<b>.03</b>

MUTUAL FUNDS/CLOSED END FUNDS/UIT	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
FRANKLIN UTILITIES FD	154	1,335.62	17.7500	2,733.50	1,397.88		2,733	71 2.59
CI A								
SYMBOL: FRUITX								
Equity 100%								
<b>Subtotal (Equity)</b>				<b>2,733.50</b>				

# Stmnt #6 Merrill Lynch - JT Broker Acct Missing months not required

## YOUR CMA ASSETS

November 29, 2014 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total		Estimated		Unrealized Gain/(Loss)	Total Client Investment	Cumulative		Estimated	
		Cost Basis	Market Price	Market Value	Gain/(Loss)			Investment	Return (\$)	Annual Current Income	Current Yield%
<b>TOTAL</b>		1,335.62		2,733.50	1,397.88			2,733		71	2.60

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

LONG PORTFOLIO	Adjusted/Total		Estimated		Unrealized Gain/(Loss)	Accrued Interest	Estimated		Current
	Cost Basis	Market Value	Market Value	Gain/(Loss)			Annual Income	Yield%	
<b>TOTAL</b>	4,969.78	6,367.66		1,397.88			72	1.13	

## YOUR CMA DAILY ACCOUNT TRANSACTIONS

Date	Transaction Type	Quantity	Description	Price	Amount	Money Account and Cash Balance
11/28	Opening Balance		FRANKLIN UTILITIES FD CL A		20.02	3,549.70
12/04	Dividend		PAY DATE 12/03/2014 * FRANKLIN UTILITIES FD CL A		54.36	
12/04	Lg Tm Cap Gain		PAY DATE 12/03/2014 FRANKLIN UTILITIES FD CL A		9.98	
12/04	Sh Tm Cap Gain		PAY DATE 12/03/2014			3,634.06



**Stmnt #6 Merrill Lynch - JT Broker Acct**  
**Missing months not required**

**YOUR CMA DAILY ACCOUNT TRANSACTIONS** (continued)

November 29, 2014 - December 31, 2014

Date	Transaction Type	Quantity	Description	Price	Amount	Money Account and Cash Balance
12/19	Delivered	.88	LOWE'S COMPANIES INC		(5,887.20)	3,634.06
12/31	:: Bank Interest		BANK DEPOSIT INTEREST		.10	
12/31	<b>Closing Balance</b>					<b>3,634.16</b>
<i>Dollar value of securities transferred in or out is for informational purposes only and is not included in the Net Total for the Daily Activity Section.</i>						
* Long Term Capital Gain Distributions					54.36	

The long-term capital gain distribution amounts may change due to income reclassification information provided by the issuer. In particular, distributions made by Mutual Funds, REITs and UITs often need to be reclassified as a different type of distribution (including long-term capital gain distributions) after the end of the year in which the distribution was originally paid.

**YOUR CMA DAILY MONEY ACCOUNT TRANSACTIONS**

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
12/05	ML BANK DEPOSIT PROGRAM		85.00				
<b>NET TOTAL</b>			<b>85.00</b>				

**Stmnt #7 Merrill Lynch DC-1 #2 Trust**  
**Missing months not required**

**Net Portfolio Value:**

**\$19,483.55**

November 29, 2014 - December 31, 2014

<b>ASSETS</b>		<b>December 31</b>	<b>November 28</b>
Cash/Money Accounts		<b>1,947.57</b>	1,509.28
Fixed Income		.	.
Equities		.	.
Mutual Funds		<b>17,535.98</b>	17,709.44
Options		.	.
Other		.	.
<i>Subtotal (Long Portfolio)</i>		<b>19,483.55</b>	19,218.72
<b>TOTAL ASSETS</b>		<b>\$19,483.55</b>	\$19,218.72
<b>LIABILITIES</b>			
Debit Balance		.	.
Short Market Value		.	.
<b>TOTAL LIABILITIES</b>		.	.
<b>NET PORTFOLIO VALUE</b>		<b>\$19,483.55</b>	\$19,218.72

<b>CASH FLOW</b>		<b>This Statement</b>	<b>Year to Date</b>
<b>Operating Cash/Money Accounts</b>		<b>\$1,509.28</b>	
<b>CREDITS</b>			
Funds Received		.	.
Electronic Transfers		.	.
Other Credits		.	.
<i>Subtotal</i>		.	.
<b>DEBITS</b>			
Electronic Transfers		.	(100.00)
Margin Interest Charged		.	.
Other Debits		.	.
Visa Purchases (Credits)		.	.
ATM/Cash Advances		.	.
Checks Written/Bill Payment		.	.
<i>Subtotal</i>		.	(100.00)
<b>Net Cash Flow</b>		.	(\$100.00)
Dividends/Interest Income		<b>533.34</b>	919.35
Dividend Reinvestments		(95.06)	(204.71)
Security Purchases/Debits		.	.
Security Sales/Credits		.	.
<b>Closing Cash/Money Accounts</b>		<b>\$1,947.57</b>	
Securities You Transferred In/Out		.	44.60

# **Stmnt #7 Merrill Lynch DC-1 #2 Trust** **Missing months not required**

## **ACCOUNT INVESTMENT OBJECTIVE**

November 29, 2014 - December 31, 2014

**TOTAL RETURN:** Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

## **YOUR CMA SUBACCOUNT BANK DEPOSIT INTEREST SUMMARY**

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A.	1,509	1,854	.03	0.05	1,947
<b>TOTAL ML Bank Deposit Program</b>	<b>1,509</b>			<b>0.05</b>	<b>1,947</b>

## **YOUR CMA SUBACCOUNT ASSETS**

CASH/MONEY ACCOUNTS	Quantity	Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0.57	0.57		.57		
+ML BANK DEPOSIT PROGRAM	1,947.00	1,947.00	1.0000	1,947.00	1	.03
+FIDIC INSURED NOT SIPC COVERED						
<b>TOTAL</b>		<b>1,947.57</b>		<b>1,947.57</b>	<b>1</b>	<b>.03</b>

MUTUAL FUNDS/CLOSED END FUNDS/UIT	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Current Yield%
EATON VANCE SHI DURATION	463	3,538.72	7.2000	3,333.60	(205.12)	2,410	923	133	3.97
STRAIT GIC INCOME FID CL C									
SYMBOL ECSI2 - Mutual Fundclass 0-211-11									
Fixed Income 100%									
3370 Fractional Share		2.47	7.2000	2.38	(0.09)			1	3.97
FRANKLIN UTILITIES FID	445	4,459.63	17.7500	7,898.75	3,439.12		7,898	205	2.59

# **Stmnt #7 Merrill Lynch DC-1 #2 Trust** **Missing months not required**

## **YOUR CMA SUBACCOUNT ASSETS**

November 29, 2013 - December 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT		(continued)		Total		Estimated		Estimated		Estimated	
Description	Quantity	Cost Basis	Market Price	Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Annual Current Income	Current Yield%		
134											
SYNTHETIC KUTX - Foreign Securities Fund		N/A	11.7000	5,301.25	N/A			164	2.56		
Quantity: 1000											
Subtotal of Asset Allocation				3,335.98							
Subtotal of Funds				14,200.00							
<b>TOTAL</b>		8,000.82		17,535.98	3,233.91		8,821	503	2.87		

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

LONG PORTFOLIO		Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL		9,948.39	19,483.55	3,233.91		503	2.58

### **Notes**

Total values exclude N/A items

♦ Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

**Strnt #7 Merrill Lynch DC-1 #2 Trust**  
**Missing months not required**

November 29, 2014 - December 31, 2014

**YOUR CMA SUBACCOUNT TRANSACTIONS**

DIVIDENDS/INTEREST INCOME TRANSACTIONS				Income	
Date	Transaction Type	Quantity	Description	Reinvestment	Year To Date
12/31	Bank Interest		BANK DEPOSIT INTEREST		.05
12/01	Subtotal (Taxable Interest)				.05
12/01	Dividend		EATON VANCE SHI DURATION		9.75
			STRATEGIC INCOME FD CL C		
			PAY DATE 11/28/2014		
12/01	Reinvestment		EATON VANCE SHI DURATION	(9.75)	
			STRATEGIC INCOME FD CL C		
12/01	Divd Reinv	1	EATON VANCE SHI DURATION		
			STRATEGIC INCOME FD CL C		
			REINV AMOUNT \$9.75		
			REINV PRICE \$7.44000		
			QUANTITY BOT 1.3100		
			AS OF 11/26		
12/04	Dividend		FRANKLIN UTILITIES FD		104.00
			CL A		
			PAY DATE 12/03/2014		
12/04	Lg Tm Cap Gain		* FRANKLIN UTILITIES FD		282.40
			CL A		
			PAY DATE 12/03/2014		
12/04	Sh Tm Cap Gain		FRANKLIN UTILITIES FD		51.84
			CL A		
			PAY DATE 12/03/2014		
12/31	Dividend		EATON VANCE SHI DURATION		9.73
			STRATEGIC INCOME FD CL C		
			PAY DATE 12/31/2014		
12/31	Reinvestment		EATON VANCE SHI DURATION	(9.73)	
			STRATEGIC INCOME FD CL C		
12/31	Lg Tm Cap Gain		* EATON VANCE SHI DURATION		75.57
			STRATEGIC INCOME FD CL C		
			PAY DATE 12/31/2014		

**Stmnt #7 Merrill Lynch DC-1 #2 Trust**  
**Missing months not required**

November 20 2014 - December 31 2014

**YOUR CMA SUBACCOUNT TRANSACTIONS**

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)						
Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/31	Reinvestment		EATON VANCE SHT DURATION STRATEGIC INCOME FD CL C	(75.57)		
Subtotal (Taxable Dividends)					533.29	918.95
<b>NET TOTAL</b>				<b>(95.05)</b>	<b>533.34</b>	<b>919.35</b>
* Long Term Capital Gain Distributions					357.97	357.97

The long-term capital gain distribution amounts may change due to income reclassification information provided by the issuer. In particular, distributions made by Mutual Funds, REITs and UITs often need to be reclassified as a different type of distribution (including long-term capital gain distributions) after the end of the year in which the distribution was originally paid.

**YOUR CMA SUBACCOUNT MONEY ACCOUNT TRANSACTIONS**

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
12/05	MI BANK DEPOSIT PROGRAM		438.00				
<b>NET TOTAL</b>							<b>438.00</b>

# Stmnt #8 Merrill Lynch Brokerage Acct for JHK Missing months not required

## YOUR CMA ASSETS

April 01, 2014 - April 30, 2014

### Notes

1 Debt Instruments purchased at a premium show amortization      2 Debt Instruments purchased at a discount show accretion  
 \*\*\* Rating currently unavailable or not rated/unrated as provided by Rating Agency or recognized industry wide third party vendor source.  
 Total values exclude N/A items.  
 For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security,  
 Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on  
 the underlying security.

## YOUR CMA DAILY ACCOUNT TRANSACTIONS

Date	Transaction Type	Quantity	Description	Price	Amount	Money Account and Cash Balance
03/31	Opening Balance					145,513.03
04/01	Divd Reinv	6	POWERSHARES S&P 500 HIGH DIVIDEND PORTFOLIO			
			REINV AMOUNT \$190.66			
			REINV PRICE \$29,54000			
			QUANTITY BOT 6.4543			
04/03	Purchase	1,000	GLOBAL X SUPERDIVID ETF	24.5199	(24,784.39)	145,513.03
			CUS NO 37950E549			
04/03	Purchase	840	POWERSHARES S&P 500 HIGH DIVIDEND PORTFOLIO	29.4699	(25,016.91)	
			CUS NO 739378654			
04/10	Dividend		GLOBAL X SUPERDIVID ETF		248.78	95,711.73
			HOLDING 2064.5433			
			PAY DATE 04/10/2014			
04/10	Reinvestment		GLOBAL X SUPERDIVID ETF		(248.78)	95,711.73
04/11	Divd Reinv	10	GLOBAL X SUPERDIVID ETF			
			REINV AMOUNT \$248.78			
			REINV PRICE \$24.50000			
			QUANTITY BOT 10.1543			
04/30	Dividend		POWERSHARES S&P 500 HIGH DIVIDEND PORTFOLIO		260.44	95,711.73

**Stmnt #8 Merrill Lynch Brokerage Acct for JHK**  
**Missing months not required**

**YOUR CMA DAILY ACCOUNT TRANSACTIONS** (continued)

April 01, 2014 - April 30, 2014

Date	Transaction Type	Quantity	Description	Price	Amount	Money Account and Cash Balance
04/30	Reinvestment		HOLDING 2929.5336 PAY DATE 04/30/2014 POWERSHARES S&P 500 HIGH DIVIDEND PORTFOLIO		(260.44)	
04/30	Bank Interest		BANK DEPOSIT INTEREST		.05	
04/30	Bank Interest		BANK DEPOSIT INTEREST		11.88	
04/30	Closing Balance					95,723.66

Dollar value of securities transferred in or out is for informational purposes only and is not included in the Net Total for the Daily Activity Section.

**YOUR CMA DAILY MONEY ACCOUNT TRANSACTIONS**

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
04/01	ML BANK DEPOSIT PROGRAM		1.00	04/02	ML BANK DEPOSIT PROGRAM		43,000.00
04/01	PREFERRED DEPOSIT	43,000.00		04/03	ML BANK DEPOSIT PROGRAM	49,802.00	
<b>NET TOTAL</b>						<b>49,801.00</b>	



# **Stmnt #8 Merrill Lynch Brokerage Acct for JHK** **Missing months not required**

Net Portfolio Value:

**\$361,065.05**

		November 29, 2014 - December 31, 2014	
<b>ASSETS</b>		<b>December 31</b>	<b>November 28</b>
Cash/Money Accounts		<b>99,000.89</b>	98,362.94
Fixed Income		<b>112,209.25</b>	112,873.35
Equities		-	-
Mutual Funds		<b>148,183.03</b>	149,732.52
Options		-	-
Other		-	-
<i>Subtotal (Long Portfolio)</i>		<b>359,393.17</b>	360,968.81
Estimated Accrued Interest		<b>1,671.88</b>	2,103.23
<b>TOTAL ASSETS</b>		<b>\$361,065.05</b>	\$363,072.04
<b>LIABILITIES</b>			
Debit Balance		-	-
Short Market Value		-	-
<b>TOTAL LIABILITIES</b>		-	-
<b>NET PORTFOLIO VALUE</b>		<b>\$361,065.05</b>	\$363,072.04
<b>CASH FLOW</b>		<b>This Statement</b>	<b>Year to Date</b>
Opening Cash/Money Accounts		<b>\$98,362.94</b>	
<b>CREDITS</b>			
Funds Received		-	-
Electronic Transfers		-	-
Other Credits		-	-
<i>Subtotal</i>		-	-
<b>DEBITS</b>			
Electronic Transfers		-	-
Margin Interest Charged		-	-
Other Debits		-	-
Visa Purchases (09/01/14)		-	-
ATM/Cash Advances		-	-
Checks Written/Bill Payment		-	-
<i>Subtotal</i>		-	-
<b>Net Cash Flow</b>		-	-
Dividends/Interest Income		<b>1,175.69</b>	10,723.67
Dividend Reinvestments		<b>(537.74)</b>	(3,581.36)
Security Purchases/Debits		-	(49,801.30)
Security Sales/Credits		-	-
<b>Closing Cash/Money Accounts</b>		<b>\$99,000.89</b>	
Securities You Transferred In/Out		-	-

# **Stmnt #8 Merrill Lynch Brokerage Acct for JHK** **Missing months not required**

## **ACCOUNT INVESTMENT OBJECTIVE**

November 29, 2014 - December 31, 2014

**INCOME:** Objective is to obtain a continuing stream of income from investments. In order to satisfy current yield requirements, the investor should be willing to accept the risk of principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

## **YOUR CMA BANK DEPOSIT INTEREST SUMMARY**

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A.	3,348	3,898	.02	0.07	3,974
<b>TOTAL ML Bank Deposit Program</b>	<b>3,348</b>			<b>0.07</b>	<b>3,974</b>
Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A.	95,013	95,013	.15	12.88	95,025
<b>TOTAL Preferred Deposit</b>	<b>95,013</b>			<b>12.88</b>	<b>95,025</b>

## **YOUR CMA ASSETS**

CASH/MONEY ACCOUNTS	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est Annual Yield%
CASH	1.89	1.89		1.89		
+ML BANK DEPOSIT PROGRAM	3,974.00	3,974.00	1.0000	3,974.00	1	.02
+FDIC INSURED NOT SIPC COVERED						
+PREFERRED DEPOSIT	95,025.00	95,025.00	1.0000	95,025.00	143	.15
+FDIC INSURED NOT SIPC COVERED						
<b>TOTAL</b>		<b>99,000.89</b>		<b>99,000.89</b>	<b>143</b>	<b>.14</b>

# Stmnt #8 Merrill Lynch Brokerage Acct for JHK Missing months not required

## YOUR CMA ASSETS

November 29, 2014 - December 31, 2014

MUNICIPAL BONDS									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Current Yield%
A GEORGIA MUN FLEC AUTH	11/24/98	10,000	10,893.48	100.0000	10,000.00	(893.48)			
REV REF DIVIDEND 07/24/01 05/05/02 JAN01 01									
MOODYS A1 S&P A - Baa1 11/11/98									
ORIGINAL UNIT TOTAL COST 108,971.70 89/15									
LAST SALE PRICE AS OF 7/1/2014									
DOWNTOWN SAVANNAH AUTH	11/17/05	25,000	24,925.37	102.1540	25,538.50	613.13	442.71	1,063	4.16
GA REV SAVANNAH DIVIDEND 01/01/01 2/05/02/07/19									
MOODYS Aa2 S&P AA - Aaa1 05/11/2017									
PAR CALL DATE 08/01/13 PAR CALL PRICE 100.00									
ORIGINAL UNIT TOTAL COST 24,820.24 8/10/50									
A PEACH GA DEVAL STD HSG	07/24/06	25,000	25,245.88	102.5410	25,635.25	389.37	104.17	1,250	4.87
TOSR FORT VY STA VBA6 01/15/06 05/00/01/01/34									
MOODYS A2 S&P - Baa2 10/06/06/02									
PAR CALL DATE 06/01/13 PAR CALL PRICE 100.00									
ORIGINAL UNIT TOTAL COST 24,585.00 25/14/50									
CLARK CNTY GA HOSP AUTH	N/A	35,000	N/A	102.0710	35,724.85	N/A	787.50	1,575	4.40
REV ALIENS RT DIV VLG 01/02/04 02/01/01/01/35									
MOODYS Aa1 S&P AA - Aaa1 10/09/06/08									
PAR CALL DATE 01/01/17 PAR CALL PRICE 100.00									
CLARK CNTY GA HOSP AUTH	N/A	15,000	N/A	102.0710	15,310.65	N/A	337.50	675	4.40
Subtotal		50,000			51,035.50		1,125.00	2,250	4.40
TOTAL		110,000	61,064.73		112,209.25	109.02	1,671.88	4,563	4.46

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

MUTUAL FUNDS/CLOSED END FUNDS/UIT									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income	Current Yield%
GLOBAL X SUPERDIVD ETF	2,157	51,962.72	23.0700	49,761.99	(2,200.73)	48,205	1,556	3,111	6.25

**Stmt #8 Merrill Lynch Brokerage Acct for JHK**  
**Missing months not required**

## YOUR CMA ASSETS

2014-December 31 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT										
Description	(continued)	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (%)	Estimated Annual Income	Current Yield%
S&P 500 INDEX FUND	10/1/00	1,000.00	100.00	100.00	100.00	0.00	100.00	0.00	0.00	0.00
TECHNOLOGY FUND	10/1/00	1,000.00	100.00	100.00	100.00	0.00	100.00	0.00	0.00	0.00
POWERSHARES S&P 500 HIGH	10/1/00	2,996.00	79,608.59	32.8500	98,418.60	18,810.01	75,036.00	23.382	3,185	3.23
GLOBAL FUND	10/1/00	1,000.00	100.00	100.00	100.00	0.00	100.00	0.00	0.00	0.00
SYNTHETIC S&P 500	10/1/00	1,000.00	100.00	100.00	100.00	0.00	100.00	0.00	0.00	0.00
GLOBAL FUND	10/1/00	1,000.00	100.00	100.00	100.00	0.00	100.00	0.00	0.00	0.00
Subtotal (if any)					148,183.03	16,609.29		24,938	6,298	4.25
TOTAL			131,573.74		148,183.03	16,609.29		24,938	6,298	4.25

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation)

of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed

**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	291,639.36	359,393.17	16,718.31	1,671.88	11,004	3.15

## Notes

### A Debt Instruments purchased at a premium show amortization

③ Debt Instruments purchased at a discount show accretion

\*\*\* Rating currently unavailable or not rated/unrated as provided by Rating Agency or recognized industry wide third party vendor source.

- Excludes the market value of original issue discount holdings in the calculation of total current yield for debt securities.

# **Stmnt #8 Merrill Lynch Brokerage Acct for JHK** **Missing months not required**

## **YOUR CMA ASSETS**

November 29, 2014 - December 31, 2014

Total values exclude N/A items  
 For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

## **YOUR CMA DAILY ACCOUNT TRANSACTIONS**

Date	Transaction Type	Quantity	Description	Price	Amount	Money Account and Cash Balance
11/28	Opening Balance		PEACH GA DEV AT STD HSG FCSRV FORT VY ST AMBAC JUN06 05.000%JUN01 34 PAY DATE 12/01/2014 CUSIP NUM: 704646AU2 POWERSHARES S&P 500 HIGH DIVIDEND PORTFOLIO REINV AMOUNT \$277.19 REINV PRICE \$32.71000 QUANTITY BOT 8.4742 GLOBAL X SUPERDVID ETF HOLDING 2145.0000 PAY DATE 12/10/2014		625.00	98,362.94
12/01	Divd Reinv	9				
12/10	* Dividend				258.47	98,987.94
12/10	Reinvestment		GLOBAL X SUPERDVID ETF		(258.47)	98,987.94
12/11	Divd Reinv	12				
12/23	Exchange	10.000	GLOBAL X SUPERDVID ETF REINV AMOUNT \$258.47 REINV PRICE \$23.02000 QUANTITY BOT 11.2281 GEORGIA MUN ELEC AUTH REV Z RF OLD FGIC GLD MAR93 05.500%JAN01 20 GEORGIA MUN ELEC AUTH PWR REV Z RF OLD FGIC			98,987.94
12/23	Exchange	-10.000				

**Stmnt #8 Merrill Lynch Brokerage Acct for JHK**  
**Missing months not required**

**YOUR CMA DAILY ACCOUNT TRANSACTIONS** (continued)

November 29, 2014 - December 31, 2014

Date	Transaction Type	Quantity	Description	Price	Amount	Money Account and Cash Balance
12/26	Dividend		MAR93 05.500%JAN01 20 GLOBAL X SUPERDIVID ETF HOLDING 0.0612 PAY DATE 12/26/2014		.01	98,987.94
12/26	Reinvestment		GLOBAL X SUPERDIVID ETF		(.01)	98,987.94
12/29	Divd Reinv		GLOBAL X SUPERDIVID ETF REINV AMOUNT \$ .01 REINV PRICE \$23.33000 QUANTITY BOT .0004			
12/31	Dividend		POWERSHARES S&P 500 HIGH DIVIDEND PORTFOLIO HOLDING 2996.0000 PAY DATE 12/31/2014		279.26	98,987.94
12/31	Reinvestment		POWERSHARES S&P 500 HIGH DIVIDEND PORTFOLIO		(279.26)	
12/31	Bank Interest		BANK DEPOSIT INTEREST		.07	
12/31	Bank Interest		BANK DEPOSIT INTEREST		12.88	
12/31	Closing Balance					99,000.89

Dollar value of securities transferred in or out is for informational purposes only and is not included in the Net Total for the Daily Activity Section.

**YOUR CMA DAILY MONEY ACCOUNT TRANSACTIONS**

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
12/01	ML BANK DEPOSIT PROGRAM		1.00	12/02	ML BANK DEPOSIT PROGRAM		625.00
	<b>NET TOTAL</b>						<b>626.00</b>