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LEGISLATIVE RESOURCE CENTER

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OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES  
FINANCIAL DISCLOSURE STATEMENT

FORM B

For use by candidates and new employees

Period covered: January 1, 2013, 12/31/2013

Name: Drew Leaven

Daytime Telephone:

Filer Status	<input checked="" type="checkbox"/> Candidate for the House of Representatives	State: CA	District: 45	Date of Election: June + November 2014	<input type="checkbox"/> Check if Amendment	A \$200 penalty shall be assessed against any individual who files more than 30 days late.
	<input type="checkbox"/> New officer or employee	Employing Office:				

In all sections, please type or print clearly in blue or black ink.

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Name <u>Diana Lemos</u>	Page <u>    </u> of <u>    </u>
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**Exclude:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

**This page may be copied if more space is required.**

Name <u>David Levens</u>	Page <u>    </u> of <u>    </u>
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# **SCHEDULE III — LIABILITIES**

Name

*Dee LeVas*

Page — of —

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. **Exclude:** Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred mo/year	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001 — \$15,000	\$15,001 — \$50,000	\$50,001 — \$100,000	\$100,001 — \$250,000	\$250,001 — \$500,000	\$500,001 — \$1,000,000	\$1,000,001 — \$5,000,000	\$5,000,001 — \$25,000,000	\$25,000,001 — \$50,000,000	Over \$50,000,000	Spouse/DC Liability over \$1,000,000
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main Street, Dover, DE				X							
	CHASE	11/12	1st mort (17573 st, Andrews)					<del>X</del>						
	Wells Fargo	2006	HELOC (Drove 900 W 44th, 4920th)			✓								

## **SCHEDULE IV — POSITIONS**

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

Position	Name of Organization
None	

None

News letters

Page — of

None[illegible]

None

None

[illegible]

<b>ID Ameritrade</b> Member FINRA/SIPC/NFA PO BOX 2209 OMAHA, NE 68103-2209 Customer Service: 800-669-3900	<b>Tax Information</b> DREW E LEAVENS 1525 S 10TH AVE POWAY, CA 92064-1234	<b>Statement Date: 02/11/2014</b> <b>Document ID:</b> [REDACTED]
PAYER'S Federal ID No. [REDACTED]	RECIPIENT'S ID No. [REDACTED]	<b>2013</b>

**Dividends and Distributions**2013 1099-DIV\*  
OMB No. 1545-0119

1a- Total ordinary dividends (includes line 1b) 10,403.42  
 1b- Qualified dividends 10,403.42  
 2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00  
 2b- Unrecaptured Section 1250 gain 0.00  
 2c- Section 1202 gain 0.00  
 2d- Collectibles (28%) gain 0.00  
 3- Nondividend distributions 720.00  
**4- Federal income tax withheld 0.00**  
 5- Investment expenses 0.00  
 7- Foreign country or US possession: Various 6- Foreign tax paid: 186.16  
 8- Cash liquidation distributions 0.00  
 9- Noncash liquidation distributions 0.00  
 10- Exempt-interest dividends (includes line 11) 0.00  
 11- Specified private activity bond interest dividends (AMT) 0.00  
 12- State: 13- State ID number: 0.00  
 14- State tax withheld 0.00

**Regulated Futures Contracts**2013 1099-B\*  
OMB No. 1545-0715

9- Profit (loss) realized in 2013-closed contracts 0.00  
 10- Unrealized profit (loss)-open contracts 12/31/2012 0.00  
 11- Unrealized profit (loss)-open contracts 12/31/2013 0.00  
 12- Aggregate profit (loss) on contracts 0.00

If applicable, summaries and details of proceeds from sale transactions appear in subsequent sections of this document.

**Interest Income**2013 1099-INT\*  
OMB No. 1545-0112

1- Interest income (not included in line 3) 0.00  
 2- Early withdrawal penalty 0.00  
 3- Interest on US Savings Bonds & Treasury obligations 0.00  
**4- Federal income tax withheld 0.00**  
 5- Investment expenses 0.00  
 7- Foreign country or US possession: 6- Foreign tax paid: 0.00  
 8- Tax-exempt interest (includes line 9) 0.00  
 9- Specified private activity bond interest (AMT) 0.00  
 10- Tax-exempt bond CUSIP number (see instructions)  
 11- State: 12- State ID number:  
 13- State tax withheld 0.00

Lines 8 & 9 include tax-exempt interest and original issue discount.

**Miscellaneous Income**2013 1099-MISC\*  
OMB No. 1545-0115

2- Royalties 0.00  
 3- Other income 363.43  
**4- Federal income tax withheld 0.00**  
 8- Substitute payments in lieu of dividends or interest 1,600.00  
 12- Foreign country or US possession: 11- Foreign tax paid: 0.00  
 16- State tax withheld 0.00  
 17- State: Payer's state ID number:  
 18- State income 0.00

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**ORIGINAL ISSUE DISCOUNT SUMMARY**

Use bond-by-bond details from the 1099-OID pages to determine reportable amounts of Original Issue Discount income for your tax return(s). The informational totals shown below appear for reference only.

Original issue discount for 2013	0.00	Original issue discount on US Treasury obligations	0.00
Other periodic interest	0.00	Investment expenses	0.00
Early withdrawal penalty	0.00	State ID number:	
Federal income tax withheld	0.00	State tax withheld	0.00
Foreign country or US possession:		Foreign tax paid:	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2013 may require an amended form 1099.

2013

Account

**SUMMARY OF PROCEEDS, GAINS & LOSSES AND TAX WITHHELD**

Refer to the 1099-B and PROCEEDS NOT REPORTED to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term*	Category	Detail Schedule	Gross Proceeds*	Cost Basis*	Wash Sale Loss Disallowed	Net Gain/Loss
Short	A (basis reported to the IRS)	Form 1099-B	817,474.32	786,194.68	18,925.38	31,279.64
Short	B (basis not reported to the IRS)	Form 1099-B	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	Proceeds not reported to IRS	0.00	0.00	0.00	0.00
Total Short-term			817,474.32	786,194.68	18,925.38	31,279.64
Long	D (basis reported to the IRS)	Form 1099-B	54,680.93	41,710.82	0.00	12,970.11
Long	E (basis not reported to the IRS)	Form 1099-B	44,953.10	0.00	0.00	0.00
Long	F (Form 1099-B not received)	Proceeds not reported to IRS	0.00	0.00	0.00	0.00
Total Long-term			99,634.03	41,710.82	0.00	12,970.11
Undetermined	B or E (basis not reported to the IRS)	Form 1099-B	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	Proceeds not reported to IRS	0.00	0.00	0.00	0.00
Total Undetermined-term			0.00	0.00	0.00	0.00
		Total Categories A, B, D, E	917,108.35	827,905.50	18,925.38	44,249.75
		Total Category C, F	0.00	0.00	0.00	0.00
		Grand total	917,108.35	827,905.50	18,925.38	44,249.75

**Withholding from Proceeds**

Federal income tax withheld	0.00
State tax withheld	0.00

**SUMMARY OF NON-REPORTED INCOME, FEES, EXPENSES AND EXPENDITURES**

The items in this section are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

**Interest Payments and Other Adjustments**

Taxable accrued interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid AMT	0.00
Taxable accrued interest paid on OID bonds	0.00
Non-qualified interest	0.00
Taxable non-qualified interest paid	0.00
Projected interest shortfall on contingent payment debt	0.00

**Other Receipts**

Partnership distributions	0.00
Foreign tax paid - partnership distributions	0.00
Return of principal distributions	0.00
Deferred income payment	0.00

**Expenses**

Margin interest	13,694.40
Dividends paid - short position	0.00
Interest paid - short position	0.00
Non-reportable distribution expense	0.00
Other expenses	0.00
Severance tax	0.00

**Opening Transactions**

Securities purchased	1,166,594.83
Installment payments	0.00
Short sales	0.00

**Fees and Payments Received**

Deemed premium	0.00
Organizational expense	0.00
Income accrual - UIT	0.00
Miscellaneous fees	12.19
Basis adjustments	0.00
Tax-exempt investment expense	0.00
Foreign tax withholding paid in excess of treaty rate	0.00

**Options Transactions**

Sales	0.00
Short sales	0.00
Purchases	0.00
Purchases to close	0.00

\*Amounts of undetermined-term must be reviewed to establish the correct acquisition date and whether the gains/losses are short-term or long-term.

# Less commissions.

+Cost basis totals include only amounts that were available to us.



TD AMERITRADE CLEARING INC  
2013 1099-9\*

Proceeds from Broker and Barter Exchange Transactions

Account [REDACTED]  
OMB No. 1545-0715

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS\*\*

Report on Form 999, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange 1e - Quantity 2a - Proceeds of \$  
stocks, bonds, etc.

1b - Date of acquisition 3 - Cost or other basis 5 - Wash sale loss disallowed

Gain or (loss) Additional information  
These columns are not reported to the IRS

AK STL HDG CORP COM / CUSIP: 001547108 / Symbol: AKS	01/28/13	2,500.000	10,266.76	01/07/13	12,283.00	...	-2,016.24	Sale
BANCO SANTANDER BRASIL ADR / CUSIP: 05967A107 / Symbol: BSBR	03/08/13	3,000.000	24,411.45	VARIOUS	21,835.95	...	2,575.50	Sale
	03/27/13	3,000.000	21,531.51	03/25/13	21,488.00	...	43.51	Sale
	05/16/13	3,000.000	21,594.51	05/14/13	21,398.00	...	196.51	Sale
	06/26/13	1,500.000	9,396.98	06/04/13	10,658.00	1,261.02	-1,261.02	Sale
	09/12/13	4,000.000	24,991.56	VARIOUS	24,416.36	...	575.20	Sale
Security total:			101,926.01		99,796.31	1,261.02	2,129.70	
BANK OF AMERICA CORP COM / CUSIP: 060505104 / Symbol: BAC	03/01/13	1,000.000	11,411.74	11/07/12	9,303.20	...	2,108.54	Sale
	04/19/13	2,500.000	29,191.34	VARIOUS	23,812.70	...	5,378.64	Sale
	09/12/13	2,000.000	28,983.49	VARIOUS	21,815.00	...	7,178.49	Sale
	10/16/13	2,000.000	28,771.49	VARIOUS	22,693.80	...	6,077.69	Sale
Security total:			98,368.06		77,624.70	0.00	20,743.36	
CHESAPEAKE ENERGY CORP COM / CUSIP: 165167107 / Symbol: CHK	01/31/13	2,000.000	40,591.09	01/07/13	35,127.80	...	5,463.29	Sale
	05/09/13	2,000.000	38,583.13	05/01/13	38,267.80	...	325.33	Sale
Security total:			79,184.22		73,395.60	0.00	5,788.62	
CLIFFS NATURAL RESOURCES INC COM / CUSIP: 19683K101 / Symbol: CLF	06/07/13	500.000	16,164.71	05/06/13	18,944.00	...	-2,779.29	Sale
HUNTINGTON BANCSHARES INC COM / CUSIP: 446150104 / Symbol: HBAN	04/19/13	1,000.000	6,971.84	07/30/12	6,342.67	...	629.17	Sale
	10/14/13	1,000.000	8,485.85	10/19/12	6,497.90	...	1,987.95	Sale
Security total:			15,457.69		12,840.57	0.00	2,617.12	
KEYCORP NON COM / CUSIP: 463267108 / Symbol: KEY	09/12/13	2,000.000	23,931.78	11/08/12	16,564.05	...	7,367.73	Sale
SUNEDISON INC COM / CUSIP: 86732Y109 / Symbol: SUNE	09/19/13	3,000.000	23,661.88	05/03/13	16,568.00	...	7,093.88	Sale

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax returns.

\*\*For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.

# Less commissions.

## TD AMERITRADE CLEARING INC

Account: [REDACTED]

## Detail for Dividends and Distributions

2013

(continued)

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Country	Notes
HUNTINGTON BANCSHARES INC COM	446150104 HBAN		01/02/13	200.00	Qualified dividend		
			04/01/13	200.00	Qualified dividend		
			07/01/13	250.00	Qualified dividend		
			10/01/13	150.00	Qualified dividend		
				800.00			
					Dividends and Distributions:		
KEYCORP NON COM	493267108 KEY		03/15/13	250.00	Qualified dividend		
			06/14/13	275.00	Qualified dividend		
			09/13/13	275.00	Qualified dividend		
			12/13/13	165.00	Qualified dividend		
				965.00			
					Dividends and Distributions:		
VALE S.A. ADR	91912E105 VALE		11/08/13	163.14	Qualified dividend		
			11/08/13	1,061.31	Qualified dividend		
			11/08/13	-159.20	Foreign tax withheld	BR	
				1,224.45			
				-159.20			
					Dividends and Distributions:		
					Foreign tax withheld:		
NORDIC AMERICAN TANKERS LTD ORD	G6573106 NAT		08/13/13	720.00	Nondividend distribution		03
				11,123.42			
				-186.16			
					Total Dividends and Distributions:		
					Total Foreign tax withheld:		

### Detail for Miscellaneous Income

### Security description

**CUSIP and/or symbol**

**Date**

**Amount**

Transaction type

Country

## Notes

Substitute Payment Gross Up

02/13/13  
12/11/13

196.92  
155.76

Other income  
Other income

**Miscellaneous Income:**

### Substitute Payment Gross-up

02/13/13

### 10.75

Other income

**NORDIC AMERICAN TANKERS LTD**  
**ORD**

G65773106 NAT

02/13/13  
12/11/13

640.00  
960.00

**Substitute payment**  
**Substitute payment**

**Miscellaneous Income:**

**Total Miscellaneous Income:**

**1,963.43**

## TD AMERITRADE CLEARING INC

2013

## Expenses

Account

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Charged		01/31/13	-1,230.97	Margin interest paid	
Interest Charged		02/28/13	-983.39	Margin interest paid	
Interest Charged		03/28/13	-967.54	Margin interest paid	
Interest Charged		04/30/13	-857.54	Margin interest paid	
Interest Charged		05/31/13	-1,191.33	Margin interest paid	
Interest Charged		06/28/13	-1,213.47	Margin interest paid	
Interest Charged		07/31/13	-1,152.34	Margin interest paid	
Interest Charged		08/30/13	-1,249.98	Margin interest paid	
Interest Charged		09/30/13	-1,129.97	Margin interest paid	
Interest Charged		10/31/13	-1,055.41	Margin interest paid	
Interest Charged		11/29/13	-1,000.07	Margin interest paid	
Interest Charged		12/31/13	-1,562.39	Margin interest paid	
<b>Security Total:</b>			<b>-13,594.40</b>		
<b>Total Expenses:</b>			<b>-13,594.40</b>		

## TD AMERITRADE CLEARING INC

Account 

2013

## Opening Transactions

Security Description	CUSIP and/or symbol	Opening date	Quantity	Amount	Transaction type	Notes
AK STL HLDG CORP COM	001547108 AKS	01/07/13	2,500.000	-12,283.00	Purchase	
BANCO SANTANDER SA ADR	05964H105 SAN	02/08/13	75.112	-635.96	Purchase via reinvestment	
		02/25/13	1,000.000	-7,398.00	Purchase	
		05/10/13	92.790	-618.13	Purchase via reinvestment	
		08/09/13	98.413	-644.72	Purchase via reinvestment	
		11/13/13	81.611	-690.86	Purchase via reinvestment	
<b>Security Total:</b>				<b>-9,987.67</b>		
BANCO SANTANDER BRASIL ADR	05967A107 BSBR	03/25/13	3,000.000	-21,488.00	Purchase	
		05/14/13	3,000.000	-21,398.00	Purchase	
		06/04/13	1,500.000	-10,658.00	Purchase	
		07/12/13	3,000.000	-17,468.00	Purchase	
		08/21/13	1,000.000	-5,687.90	Purchase	
		12/06/13	3,000.000	-18,218.00	Purchase	
<b>Security Total:</b>				<b>-94,917.90</b>		
BANK OF AMERICA CORP COM	060505104 BAC	01/17/13	500.000	-5,643.00	Purchase	
		02/25/13	1,000.000	-11,268.00	Purchase	
		04/18/13	2,500.000	-28,433.00	Purchase	
		10/04/13	2,000.000	-27,987.80	Purchase	
<b>Security Total:</b>				<b>-73,331.80</b>		
BROCADE COMMUNICATION SYS INC COM	111621306 BRCD	12/06/13	3,000.000	-25,868.00	Purchase	
CHESAPEAKE ENERGY CORP COM	165167107 CHK	01/07/13	2,000.000	-35,127.80	Purchase	
		05/01/13	2,000.000	-38,267.80	Purchase	
		12/30/13	2,000.000	-54,328.00	Purchase	
<b>Security Total:</b>				<b>-127,723.60</b>		
CLIFFS NATURAL RESOURCES INC COM	18683K101 CLF	05/06/13	900.000	-18,944.00	Purchase	
COEUR MINING INC COM	192108504 CDE	02/13/13	1,000.000	-21,088.00	Purchase	
		10/04/13	400.000	-4,591.60	Purchase	

## TD AMERITRADE CLEARING INC

## Opening Transactions

Account 

2013

(continued)

Security Description	CUSIP and/or symbol	Opening date	Quantity	Amount	Transaction type	Notes
COEUR MINING INC COM (Cont'd)	192108504 CDE	10/04/13 11/26/13	1,600.000 2,000.000	-18,376.00 -21,168.00	Purchase Purchase	
	Security Total:			-65,223.60		
ERICKSON AIR-CRANE CORP COM	29482P100 EAC	08/08/13 08/09/13 08/20/13 08/21/13	2,000.000 1,000.000 1,000.000 1,000.000	-33,628.00 -15,728.00 -14,858.00 -14,178.00	Purchase Purchase Purchase Purchase	
	Security Total:			-78,392.00		
GENERAL ELECTRIC CO COM	369604103 GE	01/25/13 04/19/13 04/25/13 07/25/13 10/25/13	31.058 1,000.000 31.761 36.605 34.852	-697.01 -21,817.90 -702.91 -898.95 -905.90	Purchase via reinvestment Purchase Purchase via reinvestment Purchase via reinvestment Purchase via reinvestment	
	Security Total:			-25,022.67		
HUNTINGTON BANCSHARES INC COM	446150104 HBAN	04/18/13 04/18/13 10/09/13	400.000 600.000 2,000.000	-2,755.76 -4,121.40 -16,183.00	Purchase Purchase Purchase	
	Security Total:			-23,060.16		
JABIL CIRCUIT INC COM	466313103 JBL	12/06/13	3,000.000	-61,565.00	Purchase	
MEMC ELECTRONICS MATERIALS N/C 6/3/13 86732Y109	552715104	05/03/13	3,000.000	-16,568.00	Purchase	
REGIONS FINANCIAL CORP COM	7591EP100 RF	12/11/13	3,000.000	-28,388.00	Purchase	
TRINA SOLAR LTD-SPON ADR	88628E104 TSL	08/19/13	3,000.000	-20,408.00	Purchase	
VALE S.A. ADR	91912E105 VALE	03/25/13 04/19/13 05/01/13	3,000.000 1,000.000 3,000.000	-50,738.00 -15,987.90 -49,808.00	Purchase Purchase Purchase	

## TD AMERITRADE CLEARING INC

## Opening Transactions

(continued)

2013

Account 

Security Description	CUSIP and/or symbol	Opening date	Quantity	Amount	Transaction type	Notes
VALE S.A. ADR (Cont'd)	91912E105 VALE	07/08/13	3,000.000	-38,108.00	Purchase	
		08/06/13	3,000.000	-40,958.00	Purchase	
		08/21/13	2,000.000	-29,107.80	Purchase	
		10/09/13	3,000.000	-45,335.00	Purchase	
		10/17/13	300.000	-5,008.97	Purchase	
		10/17/13	2,700.000	-45,007.38	Purchase	
		11/25/13	2,000.000	-30,428.00	Purchase	
		12/20/13	2,000.000	-28,886.00	Purchase	
<b>Security Total:</b>				<b>-379,373.05</b>		
YINGLI GREEN ENERGY HOLDINGS ADR	985848103 YGE	12/06/13	3,000.000	-15,188.00	Purchase	
NORDIC AMERICAN TANKERS LTD ORD	G65773106 NAT	01/07/13	2,000.000	-18,268.00	Purchase	
		05/08/13	3,000.000	-25,985.00	Purchase	
		05/15/13	200.000	-1,791.88	Purchase	
		05/15/13	1,300.000	-11,589.50	Purchase	
		11/26/13	3,000.000	-23,978.00	Purchase	
<b>Security Total:</b>				<b>-81,612.38</b>		
CERAGON NETWORKS LTD COM	M22013102 CRNT	12/30/13	3,000.000	-8,738.00	Purchase	
<b>Total Opening Transactions:</b>				<b>-1,166,594.83</b>		

## Notes

Security description	CUSIP and/or symbol	Date	Amount	Transaction type
BANCO SANTANDER SA	05964H105	02/08/13	-2.63	Depository receipt fee
ADR	SAN	06/06/13	-3.26	Depository receipt fee
		08/09/13	-3.44	Depository receipt fee
		11/13/13	-2.86	Depository receipt fee
			0.00	
			-12.19	
			0.00	
			-12.19	



## TD AMERITRADE CLEARING INC

## Foreign Income and Taxes Summary

Account 

2013

This schedule lists all income and foreign tax by country. Foreign withholding from mutual funds is listed in a separate section with a country designation of "RIC".

Country	Security description	CUSIP	Dividends		Interest	Total Income	Tax
			Nonqualified	Qualified			
BR	BANCO SANTANDER BRASIL ADR	05967A107	0.00	279.53	0.00	279.53	-26.96
BR	VALE S.A. ADR	91912E105	0.00	1,224.45	0.00	1,224.45	-159.20
Total BRAZIL			0.00	1,503.98	0.00	1,503.98	-186.16
SP	BANCO SANTANDER SA ADR	05964H105	0.00	2,589.67	0.00	2,589.67	0.00
Total SPAIN			0.00	2,589.67	0.00	2,589.67	0.00
Grand Total				4,093.65			
				4,093.65			
				-186.16			

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

TD AMERITRADE CLEARING INC

2013 End Notes for Account [REDACTED]

03 Transaction has been adjusted for income reallocations.

**Recipient's identification number**

For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

**Account number**

May show an account or other unique number the payer assigned to distinguish your account.

**Nominees**

If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Forms 1099-DIV, 1099-B, 1099-INT and/or 1099-OID, as appropriate with the IRS for each of the other owners to show their share of the income, and you must furnish the appropriate Form 1099 to each owner. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File the new Form 1099 with Form 1096, Annual Summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2013 General Instructions for Certain Information Returns.

**Form 1099-OID.** If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

**1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (Form 1040 or 1040A), if required.

**Line 1b.** Shows the portion of the amount on line 1a that may be eligible for reduced capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a

regulated investment company or real estate investment trust.

Report the amounts shown on line 2a on Schedule D (Form 1040), line 13. But, if no amount is shown on lines 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown on line 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

**Line 2b.** Shows the portion of the amount on line 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet-Line 19 in the Schedule D instructions (Form 1040).

**Line 2c.** Shows the portion of the amount on line 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion and certain empowerment zone business stock that may be subject to a 60% exclusion.

See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the instructions for Schedule D (Form 1040).

**Line 3.** Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550, Investment Income and Expenses.

**Line 4.** See "Backup Withholding" section.

**Line 5.** Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included on line 1a.

**Line 6.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

**Line 7.** This line should be left blank if a regulated investment company reported the foreign tax shown on line 6. **Lines 8 and 9.** Shows cash and noncash liquidation distributions.

**Line 10.** Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest. This amount may be subject to backup withholding. See line 4.

**Line 11.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 10. See the instructions for Form 6251.

**Lines 12-14.** State income tax withheld reporting lines.

**1099-INT Instructions for Recipient**

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown on line 3. May also show the total amount of the credits from clean renewable energy bonds, qualified forestry conservation bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2013 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912, Credit to

Holders of Tax Credit Bonds.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included on line 1.

**Line 4.** See "Backup Withholding" section.

**Line 5.** Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included on line 1.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See line 4.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included on line 8. See the instructions for Form 6251.

**Line 10.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid to you during the calendar year and reported on line 8. If blank, no CUSIP number was issued for the bond(s).

**Lines 11-13.** State tax withheld reporting lines.

**1099-OID Instructions for Recipient**

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its

## 2013

issue price (acquisition price for a stripped bond or coupon). OID is taxable as interest over the life of the obligation. If you are the holder of an OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550, Investment Income and Expenses, for more information.

**Line 1.** Shows the OID on the obligation for the part of the year you owned it. Report the amount on line 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212, Guide to Original Issue Discount (OID) Instruments, for details on how to figure the correct OID.

**Line 2.** Shows other interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount on both lines 2 and 8, the amount on line 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes.

**Line 3.** Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

**Line 4.** See "Backup Withholding" section.

**Line 5.** Shows the foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

**Line 6.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 7.** Shows the identification number (CUSIP number) or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

**Line 8.** Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not

included on line 1.

**Line 9.** Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included on line 2.

**Lines 10-12.** State income tax withheld reporting.

### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in column 8.

**CUSIP Number.** For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number of the item reported.

**Column 1a.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting on line 9 through 12, no entry will be present.

**Column 1b.** This column may be blank if line 6 states noncovered or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Column 2a.** Shows the aggregate cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This column does not include proceeds from regulated futures contracts. The broker must indicate whether the sales price or the sales price less commissions (including transfer taxes) and option premiums was reported to the IRS. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

**Line 2b.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported on line 2a. Do not report this loss on Form 8949 or Schedule D. The broker should advise you of any losses on a separate statement.

**Column 3.** Shows the cost or other basis of securities sold. If line 6 states noncovered, column 3 may be blank. See the Form 8949 instructions, Schedule D instructions, or Pub. 550 for details.

**Line 4.** See "Backup Withholding" section.

**Line 5.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions and Pub. 550.

**Line 6.** If noncovered, the securities sold were noncovered securities and columns 1b, 3, and lines 1c and 5 may be blank. Generally a noncovered security means: a security other than stock; stock purchased before 2011; stock in most mutual funds and other regulated investment companies purchased before 2012; and stock purchased in or transferred to a dividend reinvestment plan before 2012.

**Line 8.** Shows a brief description of the item or service for which the proceeds is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description must be shown. For a corporation that had a reportable change in control or capital structure, this may show the class of stock as C (common), P (preferred), or O (other).

**Regulated Futures Contracts (Lines 9 Through 12):**

**Line 9.** Shows the profit or (loss) realized on regulated futures or foreign currency contracts closed during 2013.

**Line 10.** Shows any year-end adjustment to the profit or (loss) shown on line 9 due to open contracts on December 31, 2012.

**Line 11.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2013. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2013 in 2014.

**Line 12.** Lines 9, 10 and 11 are all used to figure the aggregate profit or (loss) on regulated futures or foreign currency contracts for the year. Include this amount on your 2013 Form 6781.

**Lines 13-15.** Shows state income tax withheld.

**1099-MISC Instructions for Recipient**

**Form 1099-MISC incorrect?** If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your income correctly.

**Line 1.** Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business.

**Line 2.** Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the line 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line of Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Form 1040 (or Form 1040NR).

**Line 11.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

**Line 12.** Shows the country or U.S. possession to which the foreign tax was paid.

**Lines 16-18.** Shows state or local income tax withheld from the payments.

**Backup Withholding**

**Line/Column 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.



800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

Statement Reporting Period:  
07/07/14 - 07/31/14

Statement for Account  
DREW E LEAVENS  
12345678901234567890  
POWAY, CA 92064-1234

**Announcements:**  
REFER A FRIEND & GET REWARDED.  
CHOOSE \$50 CASH, 5 FREE TRADES,  
OR A \$50 GIFT CARD WHEN THEY  
OPEN AND FUND AN ACCOUNT.  
VISIT TDAMERITRADE.COM/REFER.  
RESTRICTIONS APPLY.

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Margin Loan	(\$593,012.23)	(\$514,222.59)	(\$78,789.64)	-	\$ -	-	Margin Loan 41.8%
Insrd Dep Acct	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	824,152.13	769,644.85	54,507.28	7.1%	13,107.06	1.6%	Stocks 58.2%
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	-	-	-	-	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$231,139.90</b>	<b>\$255,422.26</b>	<b>(\$24,282.36)</b>	<b>(9.5)%</b>	<b>\$13,107.06</b>	<b>5.7%</b>	
<b>Margin Equity</b>	<b>30.0%</b>						

Cash Activity Summary

Income & Expense Summary

Performance Summary

	Current	YTD	Reportable	Non Reportable	YTD	
<b>Opening Balance</b>	(\$514,222.59)	(\$514,222.59)				<b>Cost Basis As Of - 01/31/14</b>
Securities Purchased	(98,487.53)	(98,487.53)	Income Dividends	\$1,205.17	\$ -	Unrealized Gains
Securities Sold	20,497.95	20,497.95	Interest	-	-	Unrealized Losses
Funds Deposited	-	-	Other	-	-	Funds Deposited/(Disbursed) YTD
Funds Disbursed	-	-	Expense	(2,005.23)	-	Income/(Expense) YTD
Income	1,205.17	1,205.17	Interest	-	-	Securities Received/(Delivered) YTD
Expense	(2,005.23)	(2,005.23)	Fees	-	-	
Other	-	-	Other	-	-	
<b>Closing Balance</b>	<b>(\$593,012.23)</b>	<b>(\$593,012.23)</b>	<b>Net</b>	<b>(\$800.06)</b>	<b>\$0.00</b>	<b>(\$800.06)</b>

**Statement for Account**  
01/01/14 - 01/31/14

**Income Summary Detail\***

Description		Current		Year to Date	
Margin Interest Charged			\$ (2,005.23)		\$ (2,005.23)
Qualified Dividends			1,205.17		1,205.17

\* This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (splitover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Stocks - Margin</b>										
AK STL HLDG CORP COM	AKS	2,000	\$ 7.07	\$ 14,140.00	01/17/14	\$ 14,408.00	\$ 7.20	\$ (268.00)	\$ -	-
ALCOA INC COM	AA	7,000	11.51	80,570.00	08/17/11	76,677.70	10.95	3,892.30	840.00	1.0%
BANCO SANTANDER BRASIL ADR	BSBR	3,000	4.57	13,710.00	12/06/13	18,218.00	6.07	(4,508.00)	603.00	4.4%
BANCO SANTANDER BRASIL OPTIONAL ESCROW	059OPT990	3,000	NP	NP	-	-	-	-	-	-
BANCO SANTANDER SA ADR	SAN	3,427,643	8.64	29,614.84	01/11/12	25,643.91	7.48	3,970.93	2,155.99	7.3%
BANK OF AMERICA CORP COM	BAC	3,000	16.75	50,250.00	04/18/13	39,361.00	13.12	10,889.00	120.00	0.2%
BROCADE COMMUNICATION SYS INC COM	BRCD	3,000	9.34	28,020.00	12/06/13	25,868.00	8.62	2,152.00	-	-
CHESAPEAKE ENERGY CORP COM	CHK	2,000	26.91	53,820.00	12/30/13	54,328.00	27.16	(508.00)	700.00	1.3%
COEUR MINING INC COM	CDE	5,000	10.15	50,750.00	02/13/13	108,752.31	21.75	(58,002.31)	-	-
ERICKSON AIR-CRANE CORP COM	EAC	5,000	19.32	96,600.00	08/08/13	78,392.00	15.68	18,208.00	-	-

**Statement for Account # 1**  
01/01/14 - 01/31/14

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Stocks - Margin</b>										
GENERAL ELECTRIC CO COM	GE	4,035.308	25.13	101,407.29	07/26/11	80,789.51	20.02	20,617.78	3,551.07	3.5%
GLOBALSTAR TELECOMMUNICATIONS NON-TRANSFERABLE SECURITY	GSTRF	1,750	NP	NP	-	-	-	-	-	-
HUNTINGTON BANCSHARES INC COM	HBAN	4,000	9.07	36,280.00	04/18/13	32,528.16	8.13	3,751.84	800.00	2.2%
JABIL CIRCUIT INC COM	JBL	3,000	17.97	53,910.00	12/06/13	61,565.00	20.52	(7,655.00)	960.00	1.8%
KEYCORP NON COM	KEY	3,000	12.76	38,280.00	11/08/12	24,941.32	8.31	13,338.68	660.00	1.7%
NORDIC AMERICAN TANKERS LTD ORD	NAT	3,000	10.94	32,820.00	11/25/13	24,848.93	8.28	7,971.07	1,440.00	4.4%
REGIONS FINANCIAL CORP COM	RF	3,000	10.17	30,510.00	12/11/13	28,388.00	9.46	2,122.00	360.00	1.2%
VALE S.A. ADR	VALE	7,000	13.60	95,200.00	10/17/13	109,330.35	15.62	(14,130.35)	917.00	1.0%
YINGLI GREEN ENERGY HOLDINGS ADR	YGE	3,000	6.09	18,270.00	12/06/13	15,188.00	5.06	3,082.00	-	-
<b>Total Stocks</b>				<b>\$824,152.13</b>		<b>\$819,228.19</b>		<b>\$4,923.94</b>	<b>\$13,107.06</b>	<b>1.6%</b>
<b>Total Margin Account</b>				<b>\$824,152.13</b>		<b>\$819,228.19</b>		<b>\$4,923.94</b>	<b>\$13,107.06</b>	<b>1.6%</b>



Statement for Account # 1 [REDACTED]  
01/01/14 - 01/31/14

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									
01/02/14	01/02/14	Margin	Div/Int - Income	HUNTINGTON BANCSHARES INC COM Payable: 01/02/2014 QUALIFIED DIVIDENDS 150.00	HBAN	-	\$ 0.00	\$ 150.00	(\$514,222.59) (514,072.59)
12/30/13	01/03/14	Margin	Buy - Securities Purchased	CHESAPEAKE ENERGY CORP COM Commission 8.00	CHK	2,000	27.16	(54,328.00)	(568,400.59)
12/30/13	01/03/14	Margin	Buy - Securities Purchased	CERAGON NETWORKS LTD COM Commission 8.00	CRNT	3,000	2.91	(8,738.00)	(577,138.59)
01/03/14	01/08/14	Margin	Buy - Securities Purchased	EMULEX CORP COM Commission 8.00	ELX	1,450	7.3499	(10,665.36)	(587,803.95)
01/03/14	01/08/14	Margin	Sell - Securities Sold	CERAGON NETWORKS LTD COM Commission 8.00 Regulatory Fee 0.06	CRNT	1,100-	3.01	3,302.94	(584,501.01)
01/10/14	01/15/14	Margin	Sell - Securities Sold	CERAGON NETWORKS LTD COM Commission 8.00 Regulatory Fee 0.07	CRNT	1,225-	3.17	3,875.18	(580,625.83)
01/16/14	01/16/14	Margin	Received - Other	BANCO SANTANDER BRASIL OPTIONAL ESCROW Stock Div/Split on 3000 BANCO SANTANDER BRASIL ADR SPINOFF FROM BANCO SANTANDER (05967A107) Payable: 01/15/2014	059OPT990	3,000	0.00	-	(580,625.83)
01/16/14	01/22/14	Margin	Buy - Securities Purchased	HUNTINGTON BANCSHARES INC COM Commission 8.00	HBAN	1,000	9.46	(9,468.00)	(590,093.83)
01/17/14	01/23/14	Margin	Buy - Securities Purchased	AK STL HLDG CORP COM Commission 8.00	AKS	2,000	7.20	(14,408.00)	(604,501.83)

**Statement for Account**  
01/01/14 - 01/31/14

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
01/23/14	01/28/14	Margin	Sell - Securities Sold	CERAGON NETWORKS LTD COM	CRNT	675-	3.431	2,307.88	(602,193.95)
				Commission 8.00					
				Regulatory Fee 0.05					
01/23/14	01/28/14	Margin	Sell - Securities Sold	EMULEX CORP COM	ELX	1,450-	7.6001	11,011.95	(591,182.00)
				Commission 8.00					
				Regulatory Fee 0.20					
01/28/14	01/28/14	Margin	Div/Int - Income	GENERAL ELECTRIC CO COM	GE	-	0.00	880.17	(590,301.83)
				Payable: 01/27/2014					
				QUALIFIED DIVIDENDS 880.17					
01/28/14	01/28/14	Margin	Buy - Securities Purchased	GENERAL ELECTRIC CO COM	GE	34,554	25.4725	(880.17)	(591,182.00)
01/31/14	01/31/14	Margin	Div/Int - Income	CHESAPEAKE ENERGY CORP COM	CHK	-	0.00	175.00	(591,007.00)
				Payable: 01/31/2014					
				QUALIFIED DIVIDENDS 175.00					
01/31/14	01/31/14	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 01/31/2014	-	-	0.00	(2,005.23)	(593,012.23)

**Closing Balance**

**(\$593,012.23)**

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense							
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited	
01/01/14	\$ (514,222.59)	\$ -	1	4.00	\$ 57.14		\$ -
01/02/14	(514,072.59)	-	1	4.00	57.12		-
01/03/14	(577,138.59)	-	5	4.00	320.63		-
01/08/14	(584,501.01)	-	7	4.00	454.61		-
01/15/14	(580,625.83)	-	7	4.00	451.60		-
01/22/14	(590,093.83)	-	1	4.00	65.57		-
01/23/14	(604,501.83)	-	5	4.00	335.83		-
01/28/14	(591,182.00)	-	3	4.00	197.06		-
01/31/14	(591,007.00)	-	1	4.00	65.67		-

**Total Interest Income/(Expense)**

**\$2,005.23**

**\$ 0.00**

Sat Mar 01 2014 5:33:00 PM EST

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## History &amp; Statements

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(separate symbols with commas)View last:    

View date/range

from:   to:    View or Download

## Account Balances

2/1/2014 Cash balance -\$545,403.62  
 2/28/2014 Cash balance -\$538,573.09  
 Net change \$6,830.53

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Go to the Statements tab, select a date range, and click "View" to access, download, and print previous account statements.

☒ Search results for 02/01/2014 to 02/28/2014

FEB statement not yet AVAILABLE

Date/Time	Description	Amount	Net Cash Balance
02/03/2014 15:54:09	Sold 2500 GE @ 24.3701	60,974.19	-484,529.43
02/03/2014 17:03:45	Sold 3000 @ 0.6598	1,979.52	-482,549.91
02/05/2014 13:21:56	Bought 561 CHK @ 25.9999	-14,593.94	-497,143.85
02/06/2014 15:03:32	Bought 1000 AKS @ 6.5599	-6,567.90	-503,711.75
02/10/2014 13:04:57	QUALIFIED DIVIDEND (059OPT990)	1,979.53	-501,732.22
02/10/2014 17:19:52	QUALIFIED DIVIDEND (059OPT990)	-1,979.53	-503,711.75
02/12/2014 13:52:49	Bought 3000 CRNT @ 3.3	-9,908.00	-513,619.75
02/12/2014 14:12:44	Bought 700 CHK @ 24.7	-17,298.00	-530,917.75
02/12/2014 14:44:42	QUALIFIED DIVIDEND (SAN)	702.98	-530,214.77
02/12/2014 14:47:57	Bought 79.712 SAN @ 8.819	-702.98	-530,917.75
02/19/2014 14:01:27	ADR FEES (YGE)	-60.00	-530,977.75
02/20/2014 14:44:28	ADR FEES (SAN)	-2.79	-530,980.54
02/25/2014 02:33:53	QUALIFIED DIVIDEND (AA)	210.00	-530,770.54
02/25/2014 14:04:20	Bought 1000 AKS @ 6.16	-6,168.00	-536,938.54
02/28/2014 23:14:46	MARGIN INTEREST ADJUSTMENT	-1,634.55	-538,573.09

An indication on this page that an interest payment is "taxable" or "non-taxable" refers to federal taxation only. Interest income may be subject to AMT or state and local taxes. TD Ameritrade does not provide tax advice. Please consult a qualified tax advisor to discuss your individual tax situation.

Non-deposit investment products NOT FDIC INSURED/NO BANK GUARANTEE/MAY LOSE VALUE

The "Savings Account balance" is the interest-earning cash you hold in a non-sweep savings account at TD Bank USA, N.A., TD Bank USA, N.A., and TD Ameritrade, Inc. are affiliated through their parent companies.

Statement for Account

01/01/14 - 01/31/14

Investment Description		Account Type	Symbol	Trades Pending Settlement		
SELL	CHESAPEAKE ENERGY CORP	Margin	CUSIP	Quantity	Price	Trade Date
COM			CHK			Settle Date
				1,761-	\$ 27.04	01/31/14
						02/05/14
						Amount
						\$ 47,608.61