

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

#### FILER INFORMATION

Name: Hon. Mick Mulvaney

Status: Member State/District: SC05

## FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2015

**Filing Date:** 05/15/2016

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
519 Marvin Road Property	SP	\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	
LOCATION: Indian Land/Lancaster, SC, US  DESCRIPTION: Real estate and rental property in Indian Land	l, SC				
Agnico Eagle Mines Limited (AEM)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Alerian MLP ETF (AMLP)	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
Button River LLC, 33% Interest		\$500,001 - \$1,000,000	None		
LOCATION: Lancaster/Lancaster, SC, US  DESCRIPTION: This is my ownership interest in a land holding	g and tree fa	arming company			
Duff & Phelps Global Utility Income Fund Inc. (DPG)	JT	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<b>▽</b>
First Trust ISE-Revere Natural Gas (FCG)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	
Founders Federal Credit Union		\$1 - \$1,000	Interest	\$1 - \$200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Global X Uranium ETF (URA)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Goldcorp Inc. (GG)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
IRA ⇒ Adams Natural Resources Fund, Inc. (PEO)  DESCRIPTION: FKA Petroleum Resources Corporation; stock	symbol did	\$1,001 - \$15,000 not change	Dividends	\$201 - \$1,000	
IRA ⇒ Agnico Eagle Mines Limited (AEM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
$IRA \Rightarrow$ Alerian MLP ETF (AMLP)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
IRA ⇒ Barrick Gold Corporation (ABX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
$IRA \Rightarrow$ Central Fund of Canada Limited (CEF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
$IRA \Rightarrow$ Duff & Phelps Global Utility Income Fund Inc. (DPG)		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<u> </u>
$IRA \Rightarrow$ Eldorado Gold Corporation Ordinary Shares (EGO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
$IRA \Rightarrow$ First Trust ISE-Revere Natural Gas (FCG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
$IRA \Rightarrow$ Global X Uranium ETF (URA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
$IRA \Rightarrow$ Goldcorp Inc. (GG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
IRA ⇒ Kinross Gold Corporation (KGC)		\$1 - \$1,000	None		
$IRA \Rightarrow$ Market Vectors Gold Miners ETF (GDX)		\$1,001 - \$15,000	None		
IRA ⇒		\$1,001 - \$15,000	None		

Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
				,
	\$1,001 - \$15,000	None		П
	\$1,001 - \$15,000	Dividends	\$1 - \$200	П
	\$1 - \$1,000	None		
	\$1,001 - \$15,000	Dividends	\$1 - \$200	
	\$1 - \$1,000	None		
	\$1 - \$1,000	None		
	\$1,001 - \$15,000	None		
	\$1,001 - \$15,000	None		
	\$1,001 - \$15,000	Dividends	\$1 - \$200	
	\$1,001 - \$15,000	Dividends	\$1 - \$200	
	\$15,001 - \$50,000	Capital Gains, Dividends, Interest	\$201 - \$1,000	
	\$1 - \$1,000	None		
	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	
	\$1,001 - \$15,000	Dividends	\$1 - \$200	
	Owner	\$1,001 - \$15,000 \$1,001 - \$15,000 \$1 - \$1,000 \$1 - \$1,000 \$1 - \$1,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$50,000 \$15,001 - \$50,000 \$100,000	\$1,001 - \$15,000 None  \$1,001 - \$15,000 Dividends  \$1 - \$1,000 None  \$1,001 - \$15,000 Dividends  \$1 - \$1,000 None  \$1 - \$1,000 None  \$1,001 - \$15,000 None  \$1,001 - \$15,000 Dividends  \$15,001 - \$50,000 Capital Gains, Dividends, Interest  \$1 - \$1,000 None  \$50,001 - \$100,000 Interest	\$1,001 - \$15,000 None  \$1,001 - \$15,000 Dividends \$1 - \$200  \$1 - \$1,000 None  \$1,001 - \$15,000 Dividends \$1 - \$200  \$1 - \$1,000 None  \$1 - \$1,000 None  \$1,001 - \$15,000 None  \$1,001 - \$15,000 Dividends \$1 - \$200  \$1,001 - \$15,000 Dividends \$1 - \$200  \$1,001 - \$50,000 Dividends \$1 - \$200  \$15,001 - \$50,000 Capital Gains, Dividends, Interest  \$1 - \$1,000 None  \$1 - \$1,000 None

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
RA ⇒ Vamana Gold Inc. Ordinary Shares (AUY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
RA-Pam ⇒ Capital World Growth Fund (CWGIX)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	П
RA-Pam ⇒ Polymet Mining Corporation Ordinary Shares (PLM)	SP	\$1 - \$1,000	None		П
RA-Pam ⇒ Putnam International Growth Fund (PINOX)	SP	\$1,001 - \$15,000	None		П
RA-Pam ⇒ RBC Federated Money Market	SP	\$1 - \$1,000	Interest	\$1 - \$200	П
RA-Pam ⇒ √an Eck Global Fund (GHAAX)	SP	\$1,001 - \$15,000	None		П
RA-Pam ⇒ √an Eck International Gold Invs Fund (INIVX)	SP	\$1,001 - \$15,000	None		П
Shares U.S. Medical Devices ETF (IHI)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	П
Shares U.S. Pharmaceutical ETF (IHE)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	П
Shares U.S. Utilities ETF (IDU)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	П
Kids College Plans ⇒  Growth Funds America (AGTHX)  DESCRIPTION: This fund is part of my children's 529 College	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	П
Cids College Plans ⇒ NG Int'l Small Cap Fund (NTKLX)	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	П
DESCRIPTION: This fund is part of my children's 529 College	Savings Pla	n			
Kids College Plans ⇒ John Hancock Freedom 529 (2017-2020)	DC	\$250,001 - \$500,000	Capital Gains, Dividends, Interest	\$5,001 - \$15,000	
DESCRIPTION: This fund is part of my children's 529 College	Savings Pla	n			
Kids College Plans ⇒	DC	\$1 - \$1,000	Capital Gains,	\$201 - \$1,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Putnam Vista Fund			Dividends, Interest		
DESCRIPTION: This fund is part of my children's 529 College S	Savings Pla	n			
Kids College Plans ⇒ Van Eck Global Fund	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	
DESCRIPTION: This fund is part of my children's 529 College S	Savings Pla	n			
Market Vectors Gold Miners ETF (GDX)	JT	\$1,001 - \$15,000	None		
Market Vectors Junior Gold Miners ETF (GDXJ)	JT	\$1,001 - \$15,000	None		
Market Vectors Rare Earth Strategic Metals ETF (REMX)	JT	\$1,001 - \$15,000	None		
Mountainview-Woodside LLC, 25% Interest		\$1 - \$1,000	None		
LOCATION: Ranlo/Gaston, NC, US DESCRIPTION: This is 25% of undeveloped lots in Ranlo, NC					
Mulvaney Properties/Collins Road, LLC, 100% Interest		None	None		
LOCATION: Indian Land/Lancaster, SC, US  DESCRIPTION: This entity owns 25% of a piece of undeveloped	d land in In	dian Land, SC.			
Mulvaney Properties/Old Hickory Manor LLC, 100% Interest		\$15,001 - \$50,000	None		
Location: Van Wyck/Lancaster, SC, US DESCRIPTION: 3 lots in Van Wyck, SC					
Mulvaney Properties/Tyvola LLC, 5% Interest		\$1,001 - \$15,000	Rent	\$2,501 - \$5,000	
LOCATION: Charlotte/Mecklenburg, NC, US DESCRIPTION: 5% ownership of a shopping center					
Newmont Mining Corporation (NEM)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Northwestern Mutual Life (Irrev. Life Insur. Trust)		\$100,001 - \$250,000	None		
PowerShares Dynamic Oil Services (PXJ)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Precious Metals, 100% Interest		\$50,001 - \$100,000	None		П

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Description: Gold and Silver					
RBC Money Market (TMRXX)	JT	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	
SC General Assembly Retirement		\$1 - \$1,000	None		
Description: I continue to maintain a small account with the legislature	e SC Gener	al Assembly Retireme	nt Service related to m	ny service in the SC	state
SCB&T Checking		\$1,001 - \$15,000	Interest	\$1 - \$200	
Silver Wheaton Corp Common Shares (SLW)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	П
Southern First Bank CDs		\$50,001 - \$100,000	Interest	\$201 - \$1,000	
SPDR Select Sector Fund - Technology (XLK)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	П
The Mulvaney Company, Inc., 100% Interest		None	None		
LOCATION: Indian Land/Lancaster, SC, US  DESCRIPTION: This is holding company that owns the LLC int	erest in the	e other entities disclos	ed here		
The Mulvaney Group, Ltd., 3% Interest		\$250,001 - \$500,000	None		
LOCATION: Charlotte/Mecklenburg, NC, US  DESCRIPTION: This is my ownership interest in a real estate d	evelopmen	t/land holding compa	ny		
Wren Development LLC, 100% Interest	JT	\$250,001 - \$500,000	None		
LOCATION: Indian Land/Lancaster, SC, US  DESCRIPTION: This entity owns rental property in Indian Lan	d, SC				

<sup>\*</sup> Asset class details available at the bottom of this form.

# SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Duff & Phelps Global Utility Income Fund Inc. (DPG)	JT	06/5/2015	P	\$15,001 - \$50,000	
IRA ⇒		06/5/2015	P	\$15,001 - \$50,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Duff & Phelps Global Utility Income Fund Inc. (DPG)				
iShares U.S. Medical Devices ETF (IHI)	02/4/2015	S (partial)	\$1,001 - \$15,000	<u>~</u>
iShares U.S. Pharmaceutical ETF (IHE)	02/4/2015	S (partial)	\$15,001 - \$50,000	<b>▽</b>
Kinross Gold Corporation (KGC)	02/4/2015	S	\$1,001 - \$15,000	
Market Vectors Junior Gold Miners ETF (GDXJ)	02/4/2015	P	\$1,001 - \$15,000	
Pan American Silver Corp. (PAAS)	02/4/2015	S	\$1,001 - \$15,000	
Silvercorp Metals Inc Ordinary Shares (SVM)	02/4/2015	S	\$1,001 - \$15,000	

<sup>\*</sup> Asset class details available at the bottom of this form.

# SCHEDULE C: EARNED INCOME

None disclosed.

# SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Туре	Amount of Liability		
JT	SCBT&T /SouthState Bank	July 2005	Commercial Mortgage	\$250,001 - \$500,000		
	COMMENTS: This is the mortgage on rental p SCB&T to South State Bank	property owned by Wr	en Development, LLC; the name of the lender w	as changed from		
	Southern First Bank	December 2014	SC State Senate Campaign Debt	\$100,001 - \$250,000		
	COMMENTS: This loan was used to refinance	the old campaign deb	ot with SCB&T/South State Bank			
JT	Wells Fargo	November 2011	Mortgage on Primary Residence	\$250,001 - \$500,000		
	Comments: This loan was refinanced in Ma	y 2015. The liability w	as zero at the end of the year.			
JT	Sun Trust Mortgage	May 2015	Mortgage on primary residence	\$100,001 - \$250,000		
	COMMENTS: This loan, along with the Southern First HELOC, was used to refinance the prior Wells Fargo mortgage on our primary					

Owner	Creditor	Date Incurred	Туре	Amount of Liability
	residence.			
JT	Southern First Bank	May 2015	Home Equity Line	\$15,001 - \$50,000
	COMMENTS: This loan was used, along with	the Sun Trust loan, to	refinance the prior Wells Fargo mortgage on ou	r primary residence.

#### SCHEDULE E: POSITIONS

Position	Name of Organization
President	The Mulvaney Company, Inc.

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2007	SC General Assembly Retirement Service	I continue to maintain a small account with the SC General Assembly Retirement Service related to my service in the SC state legislature.

#### SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### SCHEDULE A AND B ASSET CLASS DETAILS

- o IRA
- IRA-Pam (Owner: SP)
- Kids College Plans (Owner: DC)

LOCATION: SC

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

© Yes © No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Mick Mulvaney, 05/15/2016