CHARLES B. RANGEL
15TH CONGRESSIONAL DISTRICT
NEW YORK

COMMITTEE:
WAYS AND MEANS
JOINT COMMITTEE
ON TAXATION

HAND DELIVERED

GEORGE H. HENRY
CHIEF OF STAFF

LEGISLATIVE RESOURCE CENTER

2012 OCT -2 PM 6:00

OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

Congress of the United States
House of Representatives

October 2, 2012

The Honorable Karen L. Haas
Clerk of the U.S. House of Representatives
B106 Cannon House Office Building
Washington, DC 20515

MC

RE: Amendment to 2002-2011 Financial Disclosure Statements

Dear Ms. Haas:

I am submitting this letter to highlight changes in my previous Financial Disclosure Statements. It has been discovered that inadvertent omissions were made. My accountant discovered that my spouse had invested in a Fixed Annuity Contract (Fixed Annuity) in 2002. The Fixed Annuity was not disclosed in the 2002 through 2010 Financial Disclosure Statements. From 2002 to 2010, the value of that asset was between $15,001 and $50,000, and income earned ranged from $1,000 to $2,500. IRA distributions in the amount of $5,107 were made in 2011. The 1099's reflecting the distributions have not been provided and therefore the distributions were not included on the Financial Disclosure Schedule I, Earned Income.

It should also be noted that I inadvertently did not disclose my board memberships in two organizations. While I was not an active member, I did serve as an *ex officio* member in 2004 and 2008 on the Board of the NYC Empowerment Zone. I also served as a Board member in the Ann S. Kheel Charitable Trust in 2002, 2003, 2008, 2010 and 2011. The Kheel Trust has been successful in fully distributing funds for educational, civil rights and other organizations that serve disadvantaged New York neighborhoods and will soon close out the account. These positions involved no monetary compensation. Per my belief that the Clerk of the U.S. House of Representatives prefers amendments to the originally filed schedules, we have prepared the attached amendments.

Thank you for your understanding in this matter.

Sincerely,

Charles B. Rangel
MEMBER OF CONGRESS

WASHINGTON OFFICE
2354 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-3215
TELEPHONE: (202) 225-4365
FAX: (202) 225-0816

PLEASE RESPOND TO OFFICE CHECKED

DISTRICT OFFICE
163 WEST 125TH STREET
NEW YORK, NY 10027
TELEPHONE: (212) 663-3900
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UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

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2012 OCT -2 PM 6:00
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Charles B. Rangel (Full Name)

(202)225-4365 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representative	State: NY District 15	☐ Officer Or Employee — Employing Office
Report Type	☐ Annual (May 15)	☑ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Charles B. Rangel

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Congressional Federal Credit Union	IRA Distribution	$5,957

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles B. Rangel

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D **Amount of Income** For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	74 West 132nd Street NY, NY 10037	None	RENT	$201 - $1,000	
	Alliance Municipal Inc. Fd. New York Port	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
	Blackrock Muni Enhanced	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
	Capital One Checking (former North Fork Bank)	$1,001 - $15,000	INTEREST	$1 - $200	
	Congressional Federal Credit Union	$100,001 - $250,000	INTEREST/DIVIDENDS	$2,501 - $5,000	
	Empty Lots, Glassboro, New Jersey (tax assessment $3,000)	$1,001 - $15,000	NONE	NONE	

	ING Index Plus Large Cap Class B (former Princ. Prot.)	None	DIVIDENDS	$201 - $1,000	S
	Ishares Barclays 1-3 Yr. Treasurey Index Fund	$15,001 - $50,000	None	NONE	P
	Ishares Barclays Tips BO Protected Secs FD	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S(part)
	Merrill Lynch Cash & Money Accts	$15,001 - $50,000	INTEREST	$5,001 - $15,000	
	Nuveen Muni Value	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Nuveen NY Investment Qual. Muni	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Nuveen NY Prefered PLS Muni	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Nuveen Select Qualified Muni	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Nuveen Select Tax Free CL P	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	PepsiCo, Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Pimco GNMA Fd. CL P (formerly A)	$100,001 - $250,000	DIVIDENDS/DISTRIBUTIONS/CAPITAL GAINS	$15,001 - $50,000	S(part)
	Pimco Total Return Fd. Cl P (formerly A)	$250,001 - $500,000	DIVIDENDS/DISTRIBUTIONS	$15,001 - $50,000	
	Protected Life Insurance Co. of New York (Fixed Annuity	$15,001 - $50,000	INTEREST	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles B. Rangel

	Punta Cana Beach Villa, Punta Cana, Dominican Republic (March 1987 - $82,750 + Improvements)	None	RENT/CAPITAL GAINS	$100,001 - $1,000,000	S
	Punta Cana Sale Proceeds	$100,001 - $250,000	None	NONE	
	Rochester Municipal Fund Class C	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Yum! Brands	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Charles B. Rangel

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
	Blackrock Muni Enhanced	P	N/A	11-5-10	$15,001 - $50,000
	ING Index Plus Large Cap Class B	S	No	11-4-10	$15,001 - $50,000
	Ishares Barclays 1-3 Yr. Treasurey Index Fund	P	N/A	11-5-10	$15,001 - $50,000
	Ishares Barclays Tips BO Protected Secs FD	S(part)	Yes	11-5-10	$15,001 - $50,000
	Nuveen Muni Value	P	N/A	11-5-10	$15,001 - $50,000
	Nuveen NY Investment Qual. Muni	P	N/A	11-5-10	$1,001 - $15,000
	Nuveen NY Prefered PLS Muni	P	N/A	11-5-10	$15,001 - $50,000
	Nuveen Select Qualified Muni	P	N/A	11-5-10	$15,001 - $50,000
	Nuveen Select Tax Free CL P	P	N/A	11-5-10	$1,001 - $15,000
	Pimco GNMA Fd. Cl P	S(part)	Yes	11-4-10	$15,001 - $50,000
	Punta Cana Beach Villa, Punta Cana, Dominican Republic	S	Yes	12-31-10	$250,001 - $500,000

SCHEDULE VIII - POSITIONS

Name Charles B. Rangel

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member of the Board	The Kheel Foundation
Ex Officio Member of the Board	New York City Empowerment Zone

FOOTNOTES

Name Charles B. Rangel

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III, IV	Punta Cana Beach Villa was sold on 12-29-10. $100,001 - $250,000 proceeds in transit.	Punta Cana Beach Villa
2	Schedule III	Collection of past due rent.	74 West 132nd Street NY, NY 10037