



Filing ID #10010996

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Barbara Lee  
**Status:** Member  
**State/District:** CA13

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2015  
**Filing Date:** 05/13/2016

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ Sun America Secure Value Account		\$15,001 - \$50,000	None		<input type="checkbox"/>
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Dynamic Allocation Portfolio		\$50,001 - \$100,000	None		<input type="checkbox"/>
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Dynamic Strategy Portfolio		\$50,001 - \$100,000	None		<input type="checkbox"/>
ARC Healthcare Trust, Inc. (acquired by Ventas 1/2015)		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Book contract with Rowman & Littlefield Publishers, Inc. (Approved by Committee)  DESCRIPTION: Book contract		Undetermined	None		<input type="checkbox"/>
Charles Schwab Checking and Money Market Accounts		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
East West Bank Accounts, Oakland, CA		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity SEP IRA ⇒ Delaware SMID Cap Growth Class C Fund		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Fidelity SEP IRA ⇒ Fidelity Freedom 2020 Fund		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Fidelity SEP IRA ⇒ Mass Investors Growth Fund		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Franklin Templeton Franklin Money Fund Class A		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Funds rolled over to 403b account.					
Met Life Variable Annuity Fund ⇒ American Funds Growth Portfolio		\$1,001 - \$15,000	None		<input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Clearbridge Aggressive Growth Portfolio		\$1,001 - \$15,000	None		<input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Goldman Sachs Mid Cap Value Portfolio		\$1,001 - \$15,000	None		<input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Invesco Small Cap Growth Portfolio		\$1,001 - \$15,000	None		<input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Met Life Annuity Fund General Account		\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: General cash account					
Met Life Variable Annuity Fund ⇒ Metlife Asset Allocation 100 Portfolio		\$1,001 - \$15,000	None		<input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Pyramis Government Income Portfolio		None	None		<input checked="" type="checkbox"/>
Wright-Patman Congressional Credit Union Checking and Savings Accounts		\$1 - \$1,000	None		<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

**SCHEDULE B: TRANSACTIONS**

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ARC Healthcare Trust, Inc. (acquired by Ventas 1/2015)		08/12/2015	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Franklin Templeton Franklin Money Fund Class A		07/24/2015	E	\$1,001 - \$15,000	
DESCRIPTION: Funds rolled over to 403b account.					
Met Life Variable Annuity Fund ⇒ Pyramis Government Income Portfolio		01/15/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

**SCHEDULE C: EARNED INCOME**

None disclosed.

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Credit Union Mortgage Association, Fairfax, VA	January 2011	Mortgage on DC Condo Residence	\$250,001 - \$500,000
	Wright-Patman Congressional Federal Credit Union, DC	June 2012	Loan and line of credit	\$15,001 - \$50,000
	Wells Fargo Bank	July 2012	Mortgage on Palm Desert, CA Condo Residence	\$100,001 - \$250,000

**SCHEDULE E: POSITIONS**

Position	Name of Organization
Member, Congressional Advisory Council	The Faith and Politics Institute (Nonprofit Public Benefit Corporation)
Board Member	WILL/WAND (Nonprofit Public Benefit Corporation)
Board Member	Project Vote Smart (Nonprofit Public Benefit Corporation)
Trustee	Ghana Children's Fund (Nonprofit Public Benefit Corporation, a Project of the East Bay Community Fou
Board Member	ProgressiveCaucus.org (Nonprofit Public Benefit Corporation)
Member, Honorary Board of Advisors	National Student Leadership Conference (Nonprofit Public Benefit Corporation)
Member, Congressional Advisory Board	The Humpty Dumpty Institute (Nonprofit Public Benefit Corporation)
Member, Advisory Council	Dellums Institute (Nonprofit Public Benefit Corporation)

Position	Name of Organization
Member, Advisory Board	Grand Lake Gardens Senior Living Community
Member, Advisory Board	Swords to Plowshares (Nonprofit Public Benefit Corporation)
Member, Congressional Council	Women's Information Network (WIN) (Nonprofit Public Benefit Corporation)

**SCHEDULE F: AGREEMENTS**

None disclosed.

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Cooperative Assistance and Relief Everywhere, Inc.	05/22/2015	05/28/2015	Washington, DC - Addis Ababa, Ethiopia - Lilongwe, Malawi - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
American Baptist Home Mission Societies	06/27/2015	06/28/2015	Washington, DC - Kansas City, MO - Chicago, IL	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Congressional Black Caucus Institute	08/6/2015	08/9/2015	Oakland, CA - Tunica, MS - NA	0	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

Source	Activity	Date	Amount	Charity Name
American Baptist Home Mission Societies	Speech	06/27/2015	\$1,000.00	

**SCHEDULE A AND B ASSET CLASS DETAILS**

<ul style="list-style-type: none"> <li>o AIG Polaris Choice IV Variable Annuity (formerly SunAmerica)</li> <li>o Fidelity SEP IRA LOCATION: US</li> <li>o Met Life Variable Annuity Fund</li> </ul>
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**EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Barbara Lee , 05/13/2016