



Filing ID #10019504

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Mr. Malcolm Edwin Whittaker  
**Status:** Congressional Candidate  
**State/District:** TX02

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2017  
**Filing Date:** 12/1/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity Funds		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Pear Tree Polaris Small Cap Fund		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Vanguard 529 College Saving Plan	DC	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
LOCATION: NV DESCRIPTION: Child's 529 Plan (College Saving) (Age based option)					
Vanguard 529 College Saving Plan	DC	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
LOCATION: NV DESCRIPTION: 529 Plan (College Savings) (Age based option)					
Vanguard S&P Admiral Shares		\$250,001 - \$500,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500

## SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Self-employment	My self-employment income	\$40,000.00	\$39,562.00
Department of Homeland Security/Transportation Security Administration	Spouse Salary	\$168,000.00	\$163,400.00
Financial Industry Regulatory Authority (FINRA)	Honorarium for Serving as FINRA Arbitrator - Form 1099 Income	\$2,500.00	\$4,300.00

#### SCHEDULE D: LIABILITIES

None disclosed.

#### SCHEDULE E: POSITIONS

None disclosed.

#### SCHEDULE F: AGREEMENTS

None disclosed.

#### SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
TDE Petroleum Data Solutions, Inc. (Houston, TX, US)	Attorney Representing TDE in federal patent infringement suit

#### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

#### CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Mr. Malcolm Edwin Whittaker , 12/1/2017