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U.S. HOUSE OF REPRESENTATIVES



UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT		FORM A For use by Members, officers, and employees		Page 1 of 24
ROBERT RANDOLPH NEUGEBAUER (Full Name)		202-225-4005 (Daytime Telephone)		MC (Office Use Only)
Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives State: TX District: 19	<input type="checkbox"/> Officer Or Employee	Employing Office:	
Report Type	<input checked="" type="checkbox"/> Annual (May 15) <input type="checkbox"/> Amendment <input type="checkbox"/> Termination	Termination Date:		A \$200 penalty shall be assessed against anyone who files more than 30 days late.
PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS				
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule I.		VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI.		
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II.		VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VII.		
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III.		VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VIII.		
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IV.		IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX.		
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule V.		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.		
EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS				
Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?			Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.			Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>	<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
JT (1) COMPASS BANK, LUBBOCK, TEXAS, ACCOUNTS	\$1,001 - \$15,000	INTEREST	\$1,001 - \$2,500	Other, FUNDS WITH-DRAWN
JT (2) CONGRESSIONAL FEDERAL CREDIT UNION, WASHINGTON, DC, ACCOUNTS	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	Other, DEPOSIT OF FUNDS
JT (3) FIRST BANK & TRUST CO, LUBBOCK, TEXAS, ACCOUNTS	\$1,001 - \$15,000	INTEREST	\$1 - \$200	Other, OPENED ACCOUNT & DEPOSIT OF FUNDS

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JT	(4) HSBC BANK USA, ACCOUNTS	\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500	Other, OPENED ACCOUNT & DEPOSIT OF FUNDS
SP	(5) RETIREMENT ACCOUNT-- ING LIFE AND ANNUITY COMPANY HOLDINGS LISTED BELOW:				
	(a) ING INTERMEDIATEBOND FUND - A	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	(b) ING VAN KAMPEN GROWTH & INCOME-CIS	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
	(c) ING PIONEER MID CAP VALUE PORT-SVC	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	(6) OLD TOWN, INC., LUBBOCK, TEXAS, PROPERTY MANAGEMENT COMPANY - SEE FOOTNOTE 1 HOLDINGS:				
	(a) REAL ESTATE 2716 85TH, LUBBOCK, TEXAS, VALUED AT LUBBOCK CENTRAL APPRAISAL DISTRICT VALUE	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
	(b) PARTNERSHIP INTEREST - SKYTOP HOLDINGS, LLC, HOUSTON, TEXAS, PRIVATE EQUITY FUND	\$250,001 - \$500,000	ORDINARY INCOME/RENT/ INTEREST/ DIVIDENDS/ CAPITAL GAINS/ ROYALTIES	\$15,001 - \$50,000	

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	(c) PARTNERSHIP INTEREST - LUBBOCK LAND COMPANY EIGHT, LTD, LUBBOCK, TEXAS	\$15,001 - \$50,000	ORDINARY INCOME/ INTEREST	\$5,001 - \$15,000	
	(d) HCSB, PLAINVIEW, TEXAS, ACCOUNT	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
JT	(7) OLD TOWN PROPERTIES, LTD, LUBBOCK, TEXAS - PARTNERSHIP HOLDS RENTAL PROPERTIES: HOLDINGS:				
	(a) 5717 88TH STREET, LUBBOCK, TEXAS, VALUED AT LUBBOCK CENTRAL APPRAISAL DISTRICT VALUE	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
	(b) 5731 90TH STREET, LUBBOCK, TEXAS, VALUED AT LUBBOCK CENTRAL APPRAISAL DISTRICT VALUE	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
	(c) 2013-2019 S. LOOP 289, LUBBOCK, TEXAS, VALUED AT LUBBOCK CENTRAL APPRAISAL DISTRICT VALUE	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	(d) HCSB, PLAINVIEW, TEXAS, ACCOUNTS	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
	(e) FIRST BANK AND TRUST, LUBBOCK, TEXAS, ACCOUNTS	\$15,001 - \$50,000	None	NONE	Other, DEPOSIT OF FUNDS
JT	(8) KINGDOM ENTERPRISES, LTD, LUBBOCK, TEXAS - INVESTMENT PARTNERSHIP HOLDINGS:				

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(a) COMPASS BANK, LUBBOCK, TEXAS, ACCOUNTS	\$250,001 - \$500,000	INTEREST	\$2,501 - \$5,000	Other, DEPOSITS OF FUNDS
(b) CITY BANK TEXAS, LUBBOCK, TEXAS, ACCOUNTS	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	Other, DEPOSIT OF FUNDS
(c) FIRST BANK & TRUST CO, LUBBOCK, TEXAS, ACCOUNTS	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	
(d) INVESTMENT ACCOUNT - GOLDMAN SACHS HOLDINGS LISTED BELOW:				
(1) GOLDMAN SACHS INSTITUTIONAL MONEY MARKET FUND	\$50,001 - \$100,000	INTEREST	\$1 - \$200	Other, DEPOSIT OF FUNDS
(2) PENNSYLVANIA INTERGOVNMNTAL REV BOND	None	INTEREST	\$2,501 - \$5,000	S
(3) STATE OF TEXAS GO BOND	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	
(4) CHICAGO ILL MET WTR RECLAMATN BOND	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	
(5) WINNEBAGO CNTY ILL REV BOND	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	
(6) VANCOUVER WASHINGTON REV BOND	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	
(7) NORTH EAST TEXAS IND SCH DIST BOND	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	
(8) CLARK COUNTY WASHINGTON SCHL BOND	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	

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(9) ORANGE BEACH ALA REV BOND	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	
(10) CLARK CNTY NEVADA SCHOOL DIST BOND	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	
(11) BOULDER CITY NEV REV BOND	\$50,001 - \$100,000	INTEREST	\$2,501 - \$5,000	
(12) CHICAGO ILLINOIS BRD OF EDU GO BOND	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	
(13) CLEVELAND OHIO WATERWORKS REV BOND	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
(14) NEW RICHMOND WIS GO BOND	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
(15) TEX TRANSN COMMN ST HWY FD REV BOND	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	
(16) MASS (COMMON WEALTH OF) GO BOND	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	P
(e) INVESTMENT ACCOUNT - GOLDMAN SACHS HOLDINGS LISTED BELOW:				
(1) GOLDMAN SACHS BANK DEPOSIT	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	Other, FUNDS WITH- DRAWN
(2) GOLDMAN SACHS HIGH YIELD MUNICIPAL FUND CLASS A	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	P

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(3) LIMITED LIABILITY COMPANY INVESTMENT IN GS HEDGE FUND OPPORTUNITIES - THE COMPANY IS A PUBLICLY TRADED ENTITY, OWNERSHIP IS LESS THAN .09%	\$500,001 - \$1,000,000	ORDINARY INCOME/ INTEREST/ DIVIDENDS/ CAPITAL GAINS	\$15,001 - \$50,000	
(4) GOLDMAN SACHS GROUP, INC BOND	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	
(5) THE GOLDMAN SACHS GROUP INC LINKED TO S&P 500	None	None	NONE	S
(6) THE GOLDMAN SACHS GROUP INC LINKED TO MSCI EAFE	None	None	NONE	S
(7) GOLDMAN SACHS ULTRA-SHORT DURATION GOVT FUND CL A	\$250,001 - \$500,000	DIVIDENDS	\$1,001 - \$2,500	P
(8) SPDR GOLD TRUST ETF CALL OPTION	None	CAPITAL GAINS	\$2,501 - \$5,000	PS
(9) CALL/GLD(GCZEX)	None	CAPITAL GAINS	\$201 - \$1,000	PS, SALES PRICE & PURCHASE PRICE < \$1,000
(10) CALL/GLD(GLDGU)	None	CAPITAL GAINS	\$201 - \$1,000	PS, SALES PRICE & PURCHASE PRICE < \$1,000

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(11) CALL/GLD(GCZJZ)	None	CAPITAL GAINS	\$201 - \$1,000	PS, SALES PRICE & PURCHASE PRICE < \$1,000
(12) GOLDMAN SACHS INSTITUTIONAL LI ASSETS TREASURY INSTRUMENTS	None	CAPITAL GAINS	\$1 - \$200	PS
(13) GOLDMAN SACHS FINANCIAL SQUARE TREASURY INSTRUMENTS FUND	None	DIVIDENDS/ CAPITAL GAINS	\$1 - \$200	PS
(f) LIMITED PARTNER INVESTMENT IN WINDROCK PRIVATE EQUITY, LTD, A LIMITED PARTNERSHIP LOCATED IN HOUSTON, TEXAS - THE LIMITED PARTNERSHIP IS A PRIVATE EQUITY FUND	\$50,001 - \$100,000	None	NONE	
(g) LAND BLK E2 SEC 25 AB 269 TR B SW/R 18.13 ACRES, LUBBOCK, TEXAS - VALUED AT PURCHASE PRICE 04/2002	\$100,001 - \$250,000	None	NONE	
(h) INVESTMENT IN LUBBOCK LAND COMPANY EIGHT, LTD, LUBBOCK, TEXAS HOLDINGS:		Other: LAND DEVELOPMENT	\$50,001 - \$100,000	

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(1) VARIOUS LOTS BETWEEN 624-659 REGAL PARK, 89TH AND 90TH STREETS B/N HOMESTEAD AND IOLA, LUBBOCK, TEXAS, PREVIOUSLY DESCRIBED AS BRYANT TRACT - VALUED AT PURCHASE PRICE IN 2004 AND DEVELOPMENT COSTS 2008	\$100,001 - \$250,000	ORDINARY INCOME	REPORTED IN TOTAL ABOVE	S(part)
(2) VARIOUS LOTS BETWEEN 572-623 REGAL PARK, SOUTH SIDE OF 88TH PLACE TO 92ND ST, B/N HOMESTEAD AND IOLA, LUBBOCK, TEXAS	None	ORDINARY INCOME	REPORTED IN TOTAL ABOVE	S
(3) AMERICAN BANK OF COMMERCE, LUBBOCK, TEXAS, ACCOUNTS	\$50,001 - \$100,000	INTEREST	\$5,001 - \$15,000	
(i) INVESTMENT IN LUBBOCK LAND INVESTMENT I, LP, LUBBOCK, TEXAS HOLDINGS:				
(1) LAND - 92.738 ACRES, BLK AK SEC 28 AB 750 TR ASA NW/3, LUBBOCK COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007	\$50,001 - \$100,000	Other: LAND - FARM AND INVESTMENT	\$1 - \$200	
(2) LAND - 3.747 ACRES, BLK E2 AK SEC 25 AB 269 TR B2 SW/4, LUBBOCK COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007	\$1,001 - \$15,000	None	NONE	

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(3) AMERICAN BANK OF COMMERCE, LUBBOCK, TEXAS, ACCOUNTS	\$1 - \$1,000	INTEREST	\$1 - \$200	
(j) INVESTMENT IN PANHANDLE LAND INVESTMENTS I, LP, LUBBOCK, TEXAS HOLDINGS:				
(1) LAND - 1288.64 ACRES, SEC 37 B S & F, LOT BLOCK 0009, RANDALL COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007 AND DEVELOPMENT COSTS 2008	\$250,001 - \$500,000	Other: LAND - FARM AND INVESTMENT	\$1 - \$200	
(2) AMERICAN BANK OF COMMERCE, LUBBOCK, TEXAS, ACCOUNTS	\$1 - \$1,000	INTEREST	\$201 - \$1,000	Other, FUNDS WITH- DRAWN
(k) INVESTMENT IN LUBBOCK LAND INVESTMENTS II, LP, LUBBOCK, TEXAS HOLDINGS:		Other: LAND DEVELOPMENT	\$5,001 - \$15,000	
(1) LAND - BLK E2 SEC 19 AB 104 & BLK E2 SEC 20 AB 752 TR A2 OF S/2 OF 19 & 20, LUBBOCK COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007 AND DEVELOPMENT COSTS 2008	\$100,001 - \$250,000	CAPITAL GAINS	\$15,001 - \$50,000	S(part)
(2) LOTS IN COOPER STONE, NORTH SIDE OF 108TH STREET, LUBBOCK, TEXAS	None	ORDINARY INCOME	REPORTED IN TOTAL ABOVE	S

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	(3) LOTS IN COOPER RIDGE, VARIOUS LOTS BETWEEN #7 AND #95, ON 108TH STREET, 109TH STREET, 110TH STREET, AND 111TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2007 AND DEVELOPMENT COSTS 2008	\$1,001 - \$15,000	ORDINARY INCOME	REPORTED IN TOTAL ABOVE	S(part)
	(4) LOTS IN COOPER CREEK, VARIOUS LOTS BETWEEN #67 AND #135, ON 111TH STREET, 112TH STREET, CANTON AVENUE, AND DETROIT AVENUE, LUBBOCK, TEXAS	None	ORDINARY INCOME	REPORTED IN TOTAL ABOVE	S
	(5) AMERICAN BANK OF COMMERCE, LUBBOCK, TEXAS, ACCOUNTS	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	(I) LIMITED PARTNER INVESTMENT IN FREESTONE SAWMILL PARTNERS II, LP, HOUSTON, TEXAS	\$1,001 - \$15,000	None	NONE	
SP	(9) OIL AND GAS ROYALTY INTERESTS, VARIOUS HOLDINGS	\$1,001 - \$15,000	Other: OIL & GAS ROYALTIES	\$1,001 - \$2,500	
SP	(10) RETIREMENT ACCOUNT - GOLDMAN SACHS AND COMPANY HOLDINGS:				
	(a) CASH ON DEPOSIT	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	Other, INVESTED IN 10(b)

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	(b) GOLDMAN SACHS ULTRA-SHORT DURATION GOVT FUND CL A	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	P
	(11) 224 9TH STREET SE, WASHINGTON, DC - VALUED AT ESTIMATED FAIR MARKET VALUE	\$1,000,001 - \$5,000,000	RENT	\$15,001 - \$50,000	
	(12) RETIREMENT ACCOUNT - GOLDMAN SACH HOLDINGS:				
	(a) GOLDMAN SACHS BANK DEPOSIT	\$1 - \$1,000	INTEREST	\$201 - \$1,000	Other, INVESTED IN 12(b)
	(b) GOLDMAN SACHS ULTRA-SHORT DURATION GOVT FUND CL A	\$100,001 - \$250,000	DIVIDENDS	\$1,001 - \$2,500	P
	(13) INVESTMENT ACCOUNT - GOLDMANS SACH HOLDINGS:				
	(a) CASH ON DEPOSIT	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	Other, FUNDS WITH- DRAWN
	(b) GOLDMAN SACHS ULTRA-SHORT DURATION GOVT FUND CL A	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	PS(part)
	(c) GOLDMAN SACHS GROUP, INC.	\$100,001 \$250,000	INTEREST	\$2,501 - \$5,000	P
JT	(14) EMPOWERMENT ENTERPRISES, LLC, LUBBOCK, TEXAS - PARTNERSHIP HOLDS RENTAL EQUIPMENT: HOLDINGS:				

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(a) FIRST BANK & TRUST CO, LUBBOCK, TEXAS, ACCOUNTS	\$1,001 - \$15,000	None	NONE	
(b) WATERCRAFT	\$500,001 - \$1,000,000	RENT	\$2,501 - \$5,000	
(15) INVESTMENT IN PANHANDLE LAND DEVELOPMENT, INC., S CORPORATION - FORMED TO PURCHASE AND DEVELOP REAL ESTATE. NO REAL ESTATE HELD DURING REPORT PERIOD	\$1 - \$1,000	None	NONE	
(16) CLAUDE B HURLBUT TRUST - KATHLEENE - HOLDINGS LISTED BELOW:				Other, DISTRIB- UTED TO INDIVID- UALS
(a) FEDERATED SECURITIES #15	None	DIVIDENDS/ CAPITAL GAINS	\$201 - \$1,000	Other, FUNDS WITH- DRAWN
(b) FARM LAND - CROSBY COUNTY, TEXAS, SEC 7 SVY 8 BLK 11 #479 - SEE FOOTNOTE 2	None	Other: AG PROGRAM PAYMENT/ COOP DIVIDENDS	\$2,501 - \$5,000	Other, DISTRIB- UTED TO INDIVID- UALS
(c) OIL AND GAS ROYALTY INTERESTS, VARIOUS HOLDINGS - SEE FOOTNOTE 3	None	Other: OIL AND GAS ROYALTIES	\$1 - \$200	Other, DISTRIB- UTED TO INDIVID- UALS

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(17) THE KATHLEENE HURLBUT 1964 TRUST - HOLDINGS LISTED BELOW				Other, DISTRIBUTED TO INDIVIDUALS
(a) G/S FINANCIAL SQUARE TREASURY OBLIGATION FUND	None	None	NONE	Other, FUNDS WITHDRAWN
(18) FARM LAND - CROSBY COUNTY, TEXAS, SEC 7 SVY 8 BLK 11 #479 - SEE FOOTNOTE 2	\$50,001 - \$100,000	Other: AG PROGRAM PAYMENTS/ COOP DIVIDENDS	\$201 - \$1,000	P; Other, DISTRIBUTION FROM TRUSTS
(19) OIL AND GAS ROYALTY INTERESTS, VARIOUS HOLDINGS - SEE FOOTNOTE 3	\$1,001 - \$15,000	Other: OIL AND GAS ROYALTIES	\$1,001 - \$2,500	Other, DISTRIBUTION FROM TRUSTS
(20) INVESTMENT IN LLI-II DEVELOPMENT, INC., S CORPORATION, LUBBOCK, TEXAS - FORMED TO PURCHASE AND DEVELOP REAL ESTATE, HOLDINGS:		Other: LAND DEVELOPMENT	\$1,001 - \$2,500	P, < \$1,000
(a) LOTS 2-8 COOPER STONE, NORTH SIDE OF 108TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009	\$1,001 - \$15,000	None	NONE	P

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(b) 6 LOTS IN COOPER RIDGE, VARIOUS LOTS BETWEEN #7 AND #95, ON 108TH STREET, 109TH STREET, 110TH STREET, AND 111TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009	\$1,001 - \$15,000	None	NONE	P
(c) 18 LOTS IN COOPER CREEK, VARIOUS LOTS BETWEEN #67 AND #135, ON 111TH STREET, 112TH STREET, CANTON AVENUE, AND DETROIT AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009	\$50,001 - \$100,000	Other: LAND DEVELOPMENT	REPORTED IN ABOVE TOTAL	PS(part)
(d) LUBBOCK NATIONAL BANK, LUBBOCK, TX, ACCOUNTS	\$1 - \$1,000	INTEREST	\$201 - \$1,000	Other, FUNDS DEPOSITED
(21) GOLD, SILVER & BULLION	\$50,001 - \$100,000	None	NONE	P

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	(8)(d)(2) PENNSYLVANIA INTERGOVNMNTAL REV BOND	S	No	6-15-09	\$100,001 - \$250,000
JT	(8)(d)(16) MASS (COMMON WEALTH OF) GO BOND	P	N/A	6-18-09	\$100,001 - \$250,000
JT	(8)(e)(2) GOLDMAN SACHS HIGH YIELD MUNICIPAL FUND CLASS A	P	N/A	VARIOUS REINVESTED DIVIDENDS	\$1,001 - \$15,000
JT	(8)(e)(5) THE GOLDMAN SACHS GROUP INC LINKED TO S&P 500	S	No	6-26-09	\$50,001 - \$100,000
JT	(8)(e)(6) THE GOLDMAN SACHS GROUP INC LINKED TO MSCI EAFE	S	No	6-26-09	\$50,001 - \$100,000
JT	(8)(e)(7) GOLDMAN SACHS ULTRA-SHORT DURATION GOVT FUND CL A	P	N/A	6-10-09, 6-30- 09, 7-31-09, 8- 31-09, 9-16-09, 9-30-09, 10-30- 09, 11-30-09, 12-31-09	\$250,001 - \$500,000
JT	(8)(e)(8) SPDR GOLD TRUST ETF CALL OPTION	P	N/A	3-9-09, 3-17-09	\$15,001 - \$50,000
JT	(8)(e)(8) SPDR GOLD TRUST ETF CALL OPTION	S	Yes	6-19-09, 12-18- 09	\$15,001 - \$50,000
JT	(8)(e)(12) GOLDMAN SACHS INSTITUTIONAL LI ASSETS TREASURY INSTRUMENTS	P	N/A	03/10/09	\$15,001 - \$50,000

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
JT	(8)(e)(12) GOLDMAN SACHS INSTITUTIONAL LI ASSETS TREASURY INSTRUMENTS	S	No	04/15/09	\$15,001 - \$50,000
JT	(8)(e)(13) GOLDMAN SACHS FINANCIAL SQUARE TREASURY INSTRUMENTS FUND	P	N/A	04/15/09	\$15,001 - \$50,000
JT	(8)(e)(13) GOLDMAN SACHS FINANCIAL SQUARE TREASURY INSTRUMENTS FUND	S	No	6/15/09	\$15,001 - \$50,000
JT	(8)(h)(1) VARIOUS LOTS 624-659 REGAL PARK, 89TH AND 90TH STREETS B/N HOMESTEAD AND IOLA, LUBBOCK, TEXAS, PREVIOUSLY DESCRIBED AS BRYANT TRACT - VALUED AT PURCHASE PRICE IN 2004 AND DEVELOPMENT COSTS 2008	S(part)	No	1-26-09, 1-30-09, 2-4-09, 2-13-09, 8-27-09, 9-10-09, 10-09-09	\$100,001 - \$250,000
JT	(8)(h)(2) VARIOUS LOTS IN 572-623 REGAL PARK, SOUTH SIDE OF 88TH PLACE TO 92ND ST, B/N HOMESTEAD AND IOLA, LUBBOCK, TEXAS	S	No	1-6-09	\$1,001 - \$15,000
JT	(8)(k)(1) LAND - BLK E2 SEC 19 AB 104 & BLK E2 SEC 20 AB 752 TR A2 OF S/2 OF 19 & 20, LUBBOCK COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007 AND DEVELOPMENT COSTS 2008	S(part)	Yes	6-24-09	\$15,001 - \$50,000
JT	(8)(k)(2) LOTS 2-8 COOPER STONE, NORTH SIDE OF 108TH STREET, LUBBOCK, TEXAS	S	No	6-24-09	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	(8)(k)(3) LOTS IN COOPER RIDGE, VARIOUS LOTS BETWEEN #7 AND #95, ON 108TH STREET, 109TH STREET, 110TH STREET, AND 111TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2007 AND DEVELOPMENT COSTS 2008	S(part)	No	1-26-09, 3-31- 09, 4-23-09, 6- 24-09	\$15,001 - \$50,000
JT	(8)(k)(4) LOTS IN COOPER CREEK, VARIOUS LOTS BETWEEN #67 AND #135, ON 111TH STREET, 112TH STREET, CANTON AVENUE AND DETROIT AVENUE, LUBBOCK, TEXAS	S	No	1-21-09, 1-26- 09, 4-17-09, 4- 21-09, 5-6-09, 5-27-09, 6-24- 09	\$50,001 - \$100,000
SP	(10)(b) GOLDMAN SACHS ULTRA-SHORT DURATION GOVT FUND CL A	P	N/A	6-12-09, 6-30- 09, 7-31-09, 8- 31-09, 9-30-09, 10-30-09, 11- 30-09, 12-31-09	\$50,001 - \$100,000
	(12)(b) GOLDMAN SACHS ULTRA-SHORT DURATION GOVT FUND CL A	P	N/A	6-12-09, 6-30- 09, 7-31-09, 8- 31-09, 9-30-09, 10-30-09, 11- 30-09, 12-31-09	\$100,001 - \$250,000
	(13)(b) GOLDMAN SACHS ULTRA-SHORT DURATION GOVT FUND CL A	P	N/A	6-12-09, 6-30- 09, 7-15-09, 7- 31-09, 8-31-09, 9-30-09, 10-30- 09, 11-30-09, 12-31-09	\$50,001 - \$100,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	(13)(b) GOLDMAN SACHS ULTRA-SHORT DURATION GOVT FUND CL A	S(part)	No	7-15-09	\$1,001 - \$15,000
	(13)(c) GOLDMAN SACHS GROUP, INC.	P	N/A	3-9-09	\$100,001 - \$250,000
	(18) FARM LAND - CROSBY COUNTY, TEXAS, SEC 7 SVY 8 BLK 11 #479	P	N/A	7-15-09	\$15,001 - \$50,000
	(20)(a) LOTS 2-8 COOPER STONE, NORTH SIDE OF 108TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009	P	N/A	6-24-09	\$1,001 - \$15,000
	(20)(b) 6 LOTS IN COOPER RIDGE, VARIOUS LOTS BETWEEN #7 AND #95, ON 108TH STREET, 109TH STREET, 110TH STREET, AND 111TH STREET, LUBBOCK, TEXAS VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009	P	N/A	6-24-09	\$1,001 - \$15,000
	(20)(c) 18 LOTS IN COOPER CREEK, VARIOUS LOTS BETWEEN #67 AND #135, ON 111TH STREET, 112TH STREET, CANTON AVENUE, AND DETROIT AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009	P	N/A	6-24-09	\$15,001 - \$50,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	(20)(c) VARIOUS LOTS IN COOPER CREEK, VARIOUS LOTS BETWEEN #67 AND #135, ON 111TH STREET, 112TH STREET, CANTON AVENUE AND DETROIT AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009	S(part)	No	9-9-09, 9-16- 09, 12-10-09	\$15,001 - \$50,000
	(21) GOLD, SILVER & BULLION	P	N/A	3-20-09, 4-19- 09	\$50,001 - \$100,000

SCHEDULE V - LIABILITIES

Name ROBERT RANDOLPH NEUGEBAUER

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	NOTE PAYABLE - WELLS FARGO BANK, NA	MORTGAGE ON 224 9TH STREET SE, WASHINGTON, DC	\$500,001 - \$1,000,000
	AADVANTAGE CITI MASTERCARD	REVOLVING CHARGE ACCOUNT	\$15,001 - \$50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name ROBERT RANDOLPH NEUGEBAUER

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
THE HERITAGE FOUNDATION	FEB 5 - FEB 7	DC-BALTIMORE-DC	Y	Y	Y	NONE

SCHEDULE VIII - POSITIONS

Name ROBERT RANDOLPH NEUGEBAUER

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PARTNER	KINGDOM ENTERPRISES, LTD.
PARTNER	OLD TOWN PROPERTIES, LTD.
MEMBER	EMPOWERMENT ENTERPRISES, LLC

FOOTNOTES

Name ROBERT RANDOLPH NEUGEBAUER

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	The corporation is the general partner of Old Town Properties, Ltd., which is listed on Schedule III (Asset #7)	(6) OLD TOWN, INC.
2	Schedule III	1/3 interest in these assets were distributed from the trust and are now shown as owned outright in Asset #18; The other 2/3 interest in these assets were purchased.	(16)(b) FARM LAND - CROSBY, COUNTY, TEXAS
3	Schedule III	These assets were distributed from the trust and are now shown as owned outright in Asset #19	(16)(c) OIL AND GAS ROYALTY INTERESTS