

# UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

**FORM A**  
For use by Members, officers, and employees

**HAND DELIVERED**

Bob Etheridge

(Full Name)

202-225-4531

(Daytime Telephone)

2009 MAY 14 AM 9:44  
My Office Use Only

<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representative	State: NC District: 02	<input type="checkbox"/> Officer Or Employee	Employing Office:
<b>Report Type</b>	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$305 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<b>Trusts--</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE I - EARNED INCOME

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
State of North Carolina	State/Legislative Pension	\$11,000
Harnett Board Of Education	Spouse Retirement	NA

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
JT	1108 Marners-Summerville Rd., Lillington, NC	\$15,001 - \$50,000	Rent	\$2,501 - \$5,000	
JT	Cameron Intn Corp	\$1,001 - \$15,000	None	NONE	
JT	Coca Cola	\$1,001 - \$15,000	None	NONE	
JT	Cornerstone Bank	\$1,001 - \$15,000	None	NONE	
JT	Dean Witter Money Market Trust	\$1,001 - \$15,000	None	NONE	
JT	Farm, McArthur Road, Broadway, NC	\$250,001 - \$500,000	Rent	\$2,501 - \$5,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	General Electric	\$1,001 - \$15,000	Dividends	\$1 - \$200	
JT	Intel Corporation	\$1,001 - \$15,000	Dividends	\$1 - \$200	
	IRA (B.B.&T)	\$1,001 - \$15,000	None	NONE	P
	IRA (B.B.&T)	\$1,001 - \$15,000	None	NONE	P
	IRA (Bank of America)	\$1,001 - \$15,000	None	NONE	P
	IRA (Bank of New York)	\$1,001 - \$15,000	None	NONE	
	IRA (Citigroup Inc)	\$1,001 - \$15,000	None	NONE	
SP	IRA (Citigroup Inc)	\$1,001 - \$15,000	None	NONE	
SP	IRA (Citizens Commonwealth)	\$1,001 - \$15,000	None	NONE	
	IRA (Coho Energy Stock)	\$1 - \$1,000	None	NONE	
SP	IRA (Dow Chemical)	\$1,001 - \$15,000	None	NONE	
SP	IRA (Duke Energy)	\$1,001 - \$15,000	None	NONE	
	IRA (Honeywell International)	\$1,001 - \$15,000	None	NONE	
	IRA (Ishares D&P Europe Fund)	\$1,001 - \$15,000	None	NONE	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	IRA (Ishares MSCIEAFE Fund)	\$1,001 - \$15,000	None	NONE	
SP	IRA (Ishares MSCIEAPE Fund)	\$1,001 - \$15,000	None	NONE	
	IRA (Ishares S&P Europe)	\$1,001 - \$15,000	None	NONE	
	IRA (Ishares Trust Lehman)	\$1,001 - \$15,000	None	NONE	
SP	IRA (Ishares Trust Lehman)	\$1,001 - \$15,000	None	NONE	P
	IRA (Morgan Stanley Dean Witter)	None	None	NONE	S
	IRA (NYSE Euronext)	\$1,001 - \$15,000	None	NONE	
	IRA (Progress Energy)	\$1,001 - \$15,000	None	NONE	
	IRA (RBC Centura)	\$1,001 - \$15,000	None	NONE	
SP	IRA (Schlumberger Ltd)	None	None	NONE	S
	IRA (Scotiabank DECD)	\$1,001 - \$15,000	None	NONE	
SP	IRA (Scotiabank DECD)	\$1,001 - \$15,000	None	NONE	
	IRA (Scotiabank DEPR)	\$1,001 - \$15,000	None	NONE	
SP	IRA (Tendris)	\$1,001 - \$15,000	None	NONE	P

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	Ishares MSCIEAPE Fund	\$1,001 - \$15,000	None	NONE	
JT	Microsoft	\$1,001 - \$15,000	None	NONE	
	NC State deferred compensation (401K)	\$100,001 - \$250,000	None	NONE	
SP	NC State deferred compensation (401K)	None	None	NONE	
JT	New Century Bank	\$15,001 - \$50,000	None	NONE	
	New Century Bank	\$15,001 - \$50,000	None	NONE	
	Oppenheimer Equity Income fund	\$1,001 - \$15,000	Dividends	\$1 - \$200	
	Pfizer, Inc.	\$1,001 - \$15,000	None	None	
JT	RBC Centura Bank	\$1,001 - \$15,000	Dividends	\$1 - \$200	

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	IRA (Morgan Stanley Dean Witter)	S	9-24-07	\$1,001 - \$15,000
SP	IRA (Schulumberger Ltd)	S	9-19-07	\$1,001 - \$15,000
	IRA (Bank of America)	P	9-24-07	\$1,001 - \$15,000
	IRA (B.B.&T)	P	12-12-07	\$1,001 - \$15,000
	IRA (B.B.&T)	P	12-12-07	\$1,001 - \$15,000
SP	IRA (Tendris)	P	9-19-07	\$1,001 - \$15,000
SP	IRA (Ishares Trust Lehman)	P	12-12-07	\$1,001 - \$15,000
SP	IRA (Schulumberger Ltd)	S	9-19-07	\$1,001 - \$15,000

# SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT		Type of Liability	Amount of Liability
JT	Farm Credit	Mortgage on McArthur Road, Broadway, NC	\$100,001 - \$140,000



**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute	Feb 20-25	Raleigh, NC - San Juan, PR - Raleigh	Y	Y	Y	None
Crop Life America	Sept 22-23	Lillington, NC - White Sulphur Springs, WV - Lillington, NC	Y	N	N	None
Center for Democracy in the Americas	May 27 - June 1	Raleigh, NC - Miami, FL - Havana, Cuba - Miami, FL - Raleigh, NC	Y	Y	N	None

**SCHEDULE VIII - POSITIONS**

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Advisory Board Member	Ochoneeche Boy Scout Council
Honorary Board Member	General Hugh Shelton Scholarship Endowment
Advisory Board Member	North Carolina Heroes Fund