



Filing ID #10008678

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Richard E. Neal
Status: Member
State/District: MA01

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2014
Filing Date: 09/30/2015

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank North		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cambridge Investors / Bank Deposit Sweep		\$1,001 - \$15,000	None		<input type="checkbox"/>
Cambridge Investors / Fidelity Balanced Fund		\$1,001 - \$15,000	None		<input type="checkbox"/>
Cambridge Investors / Fidelity Leveraged Company Stock		\$1 - \$1,000	None		<input type="checkbox"/>
Cambridge Investors / T Rowe Price Cap Appreciation Fd		\$1,001 - \$15,000	None		<input type="checkbox"/>
Cambridge Investors / T Rowe Price Global Stock Fund		\$1 - \$1,000	None		<input type="checkbox"/>
City of Springfield, MA Retirement Fund ⇒ City of Springfield, MA Retirement Fund		\$15,001 - \$50,000	Retirement funds	\$15,001 - \$50,000	<input type="checkbox"/>
Congressional Federal Credit Union		\$1 - \$1,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
First Niagara		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: These exact funds were listed as Alliance Bank in 2013 disclosure. First Niagara acquired Alliance Bank.					
Springfield, MA Credit Union		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

None disclosed.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Commonwealth of Massachusetts	Approved Teaching Fee	\$25,116
City of Springfield, MA Retirement Fund	Annual Retirement Allowance	\$21,063.6
City of Springfield, MA Park Department	Spouse salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Suntrust Bank	February 2008	Mortgage on Atwater Terrace, Springfield, MA	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 1989	Congressman Neal and the City of Springfield, MA	Continued participation in the City of Springfield, MA retirement plan.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- City of Springfield, MA Retirement Fund

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Richard E. Neal , 09/30/2015