



Filing ID #10008344

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Markwayne Mullin
Status: Member
State/District: OK02

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2014
Filing Date: 08/10/2015

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|---------------------------|-----------------------------|-------------------------|--------------------------|
| AVB Bank | | \$15,001 - \$50,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Citizens Bankshares, Inc | | \$1,000,001 - \$5,000,000 | Sub S Earnings | \$100,001 - \$1,000,000 | <input type="checkbox"/> |
| LOCATION: Broken Arrow, OK, US DESCRIPTION: Ownership in bank corporation | | | | | |
| LPL Investments ⇒ BlackRock | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| LPL Investments ⇒ CitiGroup | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| LPL Investments ⇒ Cohen & Steers | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| LPL Investments ⇒ Cohen & Steers | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| LPL Investments ⇒ Compass EMP | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|---------------------|----------------|-----------------|--------------------------|
| LPL Investments ⇒ Discover Bank | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| LPL Investments ⇒ Discover Bank | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| LPL Investments ⇒ First Tennessee Bank | | \$1 - \$1,000 | None | | <input type="checkbox"/> |
| LPL Investments ⇒ GeoGlobal Resources Inc. (GGLR) | | \$1 - \$1,000 | None | | <input type="checkbox"/> |
| LPL Investments ⇒ Goldman Sachs | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| LPL Investments ⇒ Goldman Sachs | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| LPL Investments ⇒ Morgan Stanley | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| LPL Investments ⇒ Morgan Stanley | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| LPL Investments ⇒ New York Bank | | \$1 - \$1,000 | None | | <input type="checkbox"/> |
| LPL Investments ⇒ SUNAMERICA Strategic Value | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| LPL Investments ⇒ SUNAMERICA Strategic Value | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Mullin 401(k) Plan ⇒ BlackRock | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Mullin 401(k) Plan ⇒ BlackRock | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Mullin 401(k) Plan ⇒ Invesco Energy | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Mullin 401(k) Plan ⇒ Invesco Energy | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|---------------------|----------------|-------------------------|--------------------------|
| Mullin 401(k) Plan ⇒ Invesco Real Estate | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Mullin 401(k) Plan ⇒ Invesco Real Estate | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Mullin 401(k) Plan ⇒ Invesco US Gov't | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Mullin 401(k) Plan ⇒ Invesco US Gov't | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Mullin 401(k) Plan ⇒ Ivy Asset | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Mullin 401(k) Plan ⇒ Ivy Assets | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Mullin 401(k) Plan ⇒ Ivy Limited | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Mullin 401(k) Plan ⇒ Ivy Limited | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Mullin 401(k) Plan ⇒ JP Morgan Large Cap | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Mullin 401(k) Plan ⇒ JP Morgan Large Cap | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Mullin 401(k) Plan ⇒ JP Morgan Market Neutral | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Mullin 401(k) Plan ⇒ JP Morgan Market Neutral | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Mullin Environmental Inc. | | None | Sub S | \$100,001 - \$1,000,000 | <input type="checkbox"/> |
| LOCATION: Broken Arrow, OK, US DESCRIPTION: restaurant grease removal | | | | | |
| Mullin Farms, LLC | | \$15,001 - \$50,000 | Sub S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| LOCATION: Westville, OK, US DESCRIPTION: Farm property | | | | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-------------------------|----------------|-------------------------|-------------------------------------|
| Mullin Plumbing New Construction | | \$50,001 - \$100,000 | Sub S | \$100,001 - \$1,000,000 | <input type="checkbox"/> |
| LOCATION: Broken Arrow, OK, US DESCRIPTION: remodeling | | | | | |
| Mullin Plumbing West Division | SP | \$250,001 - \$500,000 | Sub S | \$100,001 - \$1,000,000 | <input type="checkbox"/> |
| LOCATION: Oklahoma City, OK, US DESCRIPTION: Engaged in plumbing activities | | | | | |
| Mullin Plumbing, Inc. | | \$500,001 - \$1,000,000 | Sub S | \$100,001 - \$1,000,000 | <input type="checkbox"/> |
| LOCATION: Broken Arrow, OK, US DESCRIPTION: Plumbing company | | | | | |
| Mullin Properties West Division | | \$250,001 - \$500,000 | None | | <input type="checkbox"/> |
| LOCATION: Oklahoma City, OK, US | | | | | |
| Mullin Properties, LLC | | \$250,001 - \$500,000 | Interest, Rent | \$100,001 - \$1,000,000 | <input type="checkbox"/> |
| LOCATION: Various Locations, OK, US DESCRIPTION: Two purchases of land. | | | | | |
| Mullin Services, Inc. | | \$15,001 - \$50,000 | Sub S | None | <input type="checkbox"/> |
| LOCATION: Broken Arrow, OK, US DESCRIPTION: HVAC | | | | | |
| Note Receivable | SP | \$50,001 - \$100,000 | None | | <input checked="" type="checkbox"/> |
| DESCRIPTION: Note receivable from Dewayne Thompson | | | | | |
| Providence Trust | | \$250,001 - \$500,000 | None | | <input type="checkbox"/> |
| DESCRIPTION: Cash surrender value | | | | | |
| TMT LLC, 33% Interest | SP | \$50,001 - \$100,000 | None | | <input checked="" type="checkbox"/> |
| LOCATION: Sheridan/Sheridan, WY, US DESCRIPTION: Investment in restaurant business in Sheridan, WY. | | | | | |

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|-----------------------|---------------------|
| CD | SP | 11/10/2014 | P | \$50,001 - \$100,000 | |
| DESCRIPTION: Purchase of \$100,000 CD | | | | | |
| House - Rogers County | | 06/9/2014 | P | \$100,001 - \$250,000 | |
| LOCATION: Claremore/Rogers, OK, US | | | | | |
| Note Receivable | SP | 11/14/2014 | P | \$50,001 - \$100,000 | |
| DESCRIPTION: Note entered into with spouse in the amount of \$100,000 with Dewayne Thompson. | | | | | |
| Providence Trust Life Insurance Policy | | Monthly | P | \$15,001 - \$50,000 | |
| TMT LLC, 33% Interest | SP | 10/1/2014 | P | \$50,001 - \$100,000 | |
| DESCRIPTION: Purchase of share in TMT LLC (restaurant company) in Sheridan, Wyoming | | | | | |

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|---------------------|---------------|-----------|
| Mullin Plumbing Inc | Spouse Salary | \$107,600 |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|----------------|---------------|---------------------------------|-------------------------|
| | Mr. Jim Mullin | 1/1/2007 | Purchase of plumbing businesses | \$500,001 - \$1,000,000 |

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- LPL Investments
- Mullin 401(k) Plan
- Mullin 401(k) Plan (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Markwayne Mullin , 08/10/2015