| <u> </u> | niid Yes □ No 🗹 | tions Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? | Exemptions- | |
|-----------------|--|--|-------------------------------|---------|
| | ited Yes No V | Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Trusts- | |
| | STIONS | EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS | EXCLUSI | |
| | | schedule attacl | If yes, co | |
| | and the appropriate | Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes 📋 No 🗹 Each question in this part must be answered and the appropriate | V. than \$10,0 | |
| , | | If yes, complete and attach Schedule IV. | If yes, co | |
| | outside Yes No | Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting Yes V No V No V entity? | IV. reportable | |
| | | If yes, complete and attach Schedule III. | If yes, co | ! |
| | ing in the Yes 🗸 No 🗌 | Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth Yes VIII. current calendar year? When \$1 000 at the period? | III. more than | |
| | | : | If yes, co | |
| | le travel or an \$305 Yes No 🗸 | Did you, your spouse, or a dependent child receive any reportable travel or you for a speech, appearance, or article in the reporting period? Yes No VII. reimbursements for travel in the reporting period (worth more than \$305 from one source)? | II. you for a s | |
| | | omplete an | If yes, co | |
| | le gift in herwise Yes ☐ No ✔ | Did you or your spouse, have "earned" income (e.g., salaries or fees) of \$200 Yes No WI. the reporting period (i.e., aggregating more than \$305 and not otherwise exempt)? | b. Did you or it. or more fro | |
| | | PRELIMINARY INFORMATION ANSWER EACH OF THESE QUESTIONS | PRELIMIN | ا ــــا |
| | more than 30 days late. | ☐ Annual (May 15) ☐ Amendment ☐ Termination Date: nr. 1/3/2009 la | Report Type | _ |
| ,,,, | A \$200 penalty shall be assessed against anyone who files | Member of the U.S. State: FL House of Representative District: 16 District: 16 | Filer Status | |
| , ד י | (Office Use Only) | (Full Name) (Daytime Telephone) | | |
| 1 | 230 5 12 231: 15 | TIMOTHY E MAHONEY 202-225-5792 | | |
| | 3 | | | T |
| | | UNITED STATES HOUSE OF REPRESENTATIVES FORM A Page 1 of 6 FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2008 For use by Members, officers, and employees | UNITED | |
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that of your spouse (SP) or dependent child (DC) or is jointly held (JT) plans that are not self-directed, name the institution holding the account a fair market value exceeding \$1,000 at the end of the reporting period, If you so choose, you may indicate that an asset or income source is Government retirement programs. savings accounts; any financial interest in or income derived from U.S. parent or sibling; any deposits totaling \$5,000 or less in personal debt owed to you by your spouse, or by your or your spouse's child, Exclude: Your personal residence(s) (unless there is rental income); any its activities, and its geographic location in Block A. For additional that is not publicly traded, state the name of the business, the nature of and its value at the end of the reporting period. For an active business in the account that exceeds the reporting threshold. For retirement in which you have the power, even if not exercised, to select the specific retirement plans (such as 401(k) plans) that are self directed (i.e., plans mutual funds (do not use ticker symbols). For all IRAs and other land, provide a complete address. Provide full names of stocks and than \$200 in "ungarried" income during the year. For rental property or and (b) any other assets or sources of income which generated more information, see the instruction booklet. investments), provide the value and income information on each asset Identify (a) each asset held for investment or production of income with Asset and/or Income Source M the value should be asset was sold and is please specify the valuation method other at close of reporting it is generated income, method used. If an than fair market value, year. If you use a included only because Value of Asset Year-End **BLOCK B** Name TIMOTHY E MAHONEY a brief description in this Farm Income) Partnership income or block. (For example: type of income by writing than one of the listed calendar year. If other apply. Check "None" if Check all columns that categories, specify the asset did n*o*t generate any all filting amount Type of Income BLOCK C checking the appropriate you to choose specific accounts that do not allow box below. Dividends, even category of income by other assets, indicate the "NA" for income. For all For retirement plans or if reinvested, should be mvesiments, you may write 'None" if no income was listed as income. Check Amount of Income BLOCK D reporting year. \$1,000 in exceeding excilaliges (⊏) (P), sales (S), or Transaction had purchases Indicate if asset BLOCK E Page 2 of 6

| ונו מופ סלונו | in the optional column on the far left. | | | | |
|---------------|---|------------------------------|-----------|-------------------|-------|
| | COMMON STOCK - NATIONAL HOLDINGS CORP. | \$1,000,001 - \$5,000,000 | None | NONE | Other |
| | COURTESY CIRCLE FARMS, WEST PALM BEACH, FL | \$500,001 - \$1,000,000 | None | NONE | į |
| į | COURTESY CIRCLE OK, LLLP, STILLWATER, OK | \$500,001 - \$1,000,000 | None | NONE | |
| - | TAMARACK VALUE FUND | \$50,001 - \$100,000 | DIVIDENDS | \$1,001 - \$2,500 | |
| <u> </u> | FIDELITY GOV'T MONEY MARKET | \$1,001 - \$15,000 DIVID | DIVIDENDS | \$1 - \$200 | |
| ! !] | WASATCH NORTHERN US GOV'T MONEY MARKET | \$100,001 - \$250,000 | INTEREST | \$1,001 - \$2,500 | |

| SCHEDULE III - ASSETS AND "UNEARNED" INCOME | |
|---|--|
| Name TIMOTHY E MAHONEY | |

| っつに「コニ | THE ACCUTO AND "INCADRED" INCOME | | | | |
|--------|---|------------------------------|------------------------|---------------------|-------------|
| | SCHEDOLE III - ASSETS AND ONEARNED INCOME | Name TIMOTHY E MAHONEY | 'E MAHONEY | | Page 3 of 6 |
| | WASATCH SMALL CAP GROWTH FD | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 | |
| · | COMMON STOCK - GENERAL ELECTRIC | \$15,001 - \$50,000 | DIVIDENDS | \$2,501 - \$5,000 | |
| | CASH - CITI SMITH BARNEY | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | |
| | COMMON STOCK - INSINGER SA | \$1,001 - \$15,000 DIVIDENDS | DIVIDENDS | \$1 - \$200 | |
| | SPECTRUM INCOME FUND | \$50,001 - \$100,000 | DIVIDENDS/INTE REST | \$2,501 - \$5,000 | |
| | SSGA GOV'T MONEY MARKET | \$50,001 - \$100,000 | DIVIDENDS | \$2,501 - \$5,000 | |
| | CASH - WACHOVIA SECURITIES | \$15,001 - \$50,000 | INTEREST | \$1,001 - \$2,500 | |
| : | COMMON STOCK - CONOCOPHILLIPS | \$15,001 - \$50,000 | DIVIDENDS | \$1,001 - \$2,500 | |
| DC | TAMARACK PRIME MONEY MARKET | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | · |
| | INTEREST BEARING ACCOUNT - WASHINGTON MUTUAL | \$250,001 - \$500,000 | INTEREST | \$15,001 - \$50,000 | |
| | OPTIONS - NATIONAL HOLDINGS CORP. | \$50,001 - \$100,000 | None | NONE | Other |
| | FIDELITY GOVERNMENT MONEY MARKET | \$50,001 - \$100,000 | DIVIDENDS | \$1,001 - \$2,500 | |
| : | MASSACHUSETTS ST HEALTH & EDL FACS AUTH REV BDS | \$50,001 - \$100,000 | | NONE | |
| | SPRINGHILL UTAH SALES TAX REV BDS SER 2006 | \$50,001 - \$100,000 | INTEREST | \$1,001 - \$2,500 | |

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| • | SCHEDULE III - ASSETS AND "UNEARNED" INCOME |
|---|---|
| | Name TIMOTHY E MAHONEY |

| \$50, \$100 \$100 \$50, \$50, \$50, | TEMPE ARIZ GO BDS SER 2005 CLACKAMAS CNTY ORE FULL FAITH AND CREDIT OBL BDS SER 2007 CLARK CNTY NEV HWY IMPT REV BDS SER 2007 HAVERSTRAW STONY POINT N Y CENT SCH DIST BDS SER 2007 |
|---|--|
| None NONE INTEREST \$1,001 - \$2,500 INTEREST \$1 - \$2,500 | Name TIMOTHY E MAHONEY 15,001 - None 50,001 - INTEREST 100,000 150,001 - INTEREST 50,001 - INTEREST 50,000 |
| | \$50, \$50, \$50, \$50, \$50, \$50, \$50, \$50, |

SCHEDULE IV - TRANSACTIONS

Name TIMOTHY E MAHONEY

Page 5 of 6

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

| g | SP | | | |
|-----|---|-------------|----------|---------------------------|
| DÇ. | | Type of | | |
| JT | Asset | Transaction | Date | Amount of Transaction |
| | MERGER OF VFINANCE, INC. AND NATIONAL HOLDINGS CORP. (COMMON STOCK) | Other | 7/1/08 | \$1,000,001 - \$5,000,000 |
| | MERGER OF VFINANCE, INC. AND NATIONAL HOLDINGS CORP. (OPTIONS) | Other | 7/1/08 | \$100,001 - \$250,000 |
| _ | MASSACHUSETTS ST HEALTH & EDL FACS AUTH REV BDS | ס | 12/26/08 | \$50,001 - \$100,000 |
| | SPRINGHILL UTAH SALES TAX REV BDS SER 2006 | ס | 8/25/08 | \$50,001 - \$100,000 |
| | TEMPE ARIZ GO BDS SER 2005 | ס | 8/28/08 | \$15,001 - \$50,000 |
| | CLACKAMAS CNTY ORE FULL FAITH AND CREDIT OBL BDS SER 2007 | ָ ק | 9/15/08 | \$50,001 - \$100,000 |
| | CLARK CNTY NEV HWY IMPT REV BDS SER 2007 | ס | 9/3/08 | \$50,001 - \$100,000 |
| | HAVERSTRAW STONY POINT N Y CENT SCH DIST BDS SER 2007 | ס | 10/14/08 | \$15,001 - \$50,000 |
| | CALIFORNIA ST GO AND GO REFUNDING BDS SERIES 2 | ט | 8/6/08 | \$100,001 - \$250,000 |

SCHEDULE VIII - POSITIONS

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Name TIMOTHY E MAHONEY

Page 6 of 6

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position | Name of Organization |
|-----------|--|
| PRESIDENT | CIE - CENTER FOR INNOVATIVE ENTREPRENEURSHIP |
| PRESIDENT | CARIBOU, LLC |