

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

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Keith Rothfus

(Full Name)

(Daytime Telephone)

Filer ☒ Member of the U.S. House of Representatives State: PA District: 12

☐ Officer Or Employee Employing Office:

Report Type ☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

(Office Use Only)

2013 MAY 15 AM 11:51

HAND
DELIVERED

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name Keith Rothfus

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Yukevich Marchetti Liekar & Zangrilli, PC	Salary	\$10,730

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Keith Rothfus

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BLOCK A Asset and/or Income Source <small>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</small>	BLOCK B Year-End Value of Asset <small>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</small>	BLOCK C Type of Income <small>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</small>	BLOCK D Amount of Income <small>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</small>	BLOCK E Transaction <small>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</small>
HJ Heinz Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Procter & Gamble Stock	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
Intel Corporation Stock	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	
Microsoft Stock	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
BNY Mellon International Fund	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
BNY Mellon Emerging Market Fund	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Keith Rothfus

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BNY Mellon Mid Cap Fund	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
		CAPITAL GAINS	\$2,501 - \$5,000	
BNY Mellon Small Cap Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Ishares S&P Small Cap Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SPDR Trust Series 1 Fund	\$250,001 - \$500,000	DIVIDENDS	\$5,001 - \$15,000	
Vanguard Small Cap Vipers Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Dollar Bank Account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Citizens Bank Account	\$1 - \$1,000	INTEREST	\$1 - \$200	
Washington Mutual Investment Fund	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
Citibank Accounts	\$250,001 - \$500,000	INTEREST	\$1 - \$200	
Charles Schwab Money Market Account	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
Northwestern Mutual - Whole Life Insurance Policy	\$1,001 - \$15,000	None		
MetLife - Whole Life Insurance Policy	\$15,001 - \$50,000	None		
SP Citizens Bank Account	\$100,001 - \$250,000	INTEREST	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Dollar Bank Account	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
SP	Citibank Account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	United States Treasury Notes	None	INTEREST	\$1,001 - \$2,500	S
SP	Dow Chemical Company Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	3M Company Stock	\$250,001 - \$500,000	DIVIDENDS	\$5,001 - \$15,000	
SP	Campbell Soup Company Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Coca-Cola Company Stock	\$500,001 - \$1,000,000	DIVIDENDS	\$15,001 - \$50,000	
SP	HJ Heinz Stock	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
SP	Procter & Gamble Stock	\$250,001 - \$500,000	DIVIDENDS	\$5,001 - \$15,000	
SP	Medco Health Solutions, Inc. Stock	None	CAPITAL GAINS	\$100,001 - \$1,000,000	S
SP	Express Scripts, Inc. Stock	\$100,001 - \$250,000	None		
SP	Merck & Co. Stock	\$500,001 - \$1,000,000	DIVIDENDS	\$15,001 - \$50,000	
SP	Cisco Systems Inc. Stock	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Intel Corporation Stock	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Keith Rotthus

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SP	Texas Instruments Stock	\$250,001 - \$500,000	DIVIDENDS	\$5,001 - \$15,000	
SP	AT&T Stock	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	
SP	Verizon Stock	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	
SP	AGL Resources, Inc. Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Chevron Corporation Stock	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Frontier Communications Corporation Stock	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	PPL Corporation	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	
SP	Royal Dutch Shell Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Southern Company Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	BNY Mellon Bond Fund	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	
SP	Dreyfus Disc Stock Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Lewis Farms Minnesota, LLP Marshall, MN Corn, Soy Bean & Sugar- Beet Beet 1/3 Interest	Spouse/DC Asset over \$1,000,000*	INTEREST	\$201 - \$1,000	
			FARM INCOME	\$100,001 - \$1,000,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name **Keith Rothfus**

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The following assets are held in a trust of which my Spouse is a 1/3 beneficiary:

SP	BNYM Money Market	\$1,001 - \$15,000	None		
SP	BNYM Natl Intmt Muni Bond Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Chevron Corporation Stock	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
SP	Exxon Mobil Corporation Stock	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
SP	General Electric Company Stock	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
SP	3M Company Stock	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Procter & Gamble Stock	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Eli Lilly & Company Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	JP Morgan Chase & Company Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	IBM Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Microsoft Corporation Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE IV - TRANSACTIONS

Name Keith Rothfus

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Dreyfus Disciplined Stock Fund	S(part)	No	12-26-12	\$1,001 - \$15,000
SP	Medco Health Solutions, Inc. Stock	S	Yes	04-03-12	\$100,001 - \$250,000
SP	US Treasury Notes	S	No	02-15-12	\$100,001 - \$250,000

SCHEDULE V - LIABILITIES

Name **Keith Rothfus**

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
SP	Morgan Stanley	June 2012	Personal Loan	\$250,001 - \$500,000

SCHEDULE VIII - POSITIONS

Name Keith Rotnfs

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Veterans Leadership Program of Western Pennsylvania