

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

Page 0 of 0

K. Jacqueline Speier

(Full Name)

202-225-3531

(Daytime Telephone)

2012 JUL -9 PM 4:43

(Office Use Only)

LEGISLATIVE RESOURCE CENTER

HAND DELIVERED

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representative	State: CA District: 12	<input type="checkbox"/> Officer Or Employee	Employing Office:
	Report Type	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. If yes, complete and attach Schedule I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VII. If yes, complete and attach Schedule VI. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. If yes, complete and attach Schedule II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. If yes, complete and attach Schedule VII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. If yes, complete and attach Schedule III. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. If yes, complete and attach Schedule VIII. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. If yes, complete and attach Schedule IV. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

FOOTNOTES

Name K. Jacqueline Speier

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule V	American River Bank - Paid off	Mortgage on 730 Alhambra, Sacramento, CA
2	Schedule III	One Dependent Child aged out of reporting period	

SCHEDULE I - EARNED INCOME

Name K. Jacqueline Speier

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Strategic Investment Solutions, Inc., San Francisco, CA	Spouse Salary	N/A
State of California	Legislative Pension	\$6,000

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name K. Jacqueline Speier

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
Speak to Me Events	Speech	October 2012	\$2,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name K. Jacqueline Speier

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
33 Park Road, #7 Burlingame, CA 94010 (DC owns a percentage of rental property)	\$500,001 - \$1,000,000	RENT	\$15,001 - \$50,000	
4305 Auburn Blvd. Sacramento, CA 95814	\$1,000,001 - \$5,000,000	RENT	\$100,001 - \$1,000,000	
620 Stonegate Drive S. San Francisco, CA 94080	\$250,001 - \$500,000	RENT	\$5,001 - \$15,000	
SP American Century (IRA) Vista	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP Ameriprise (IRA) International Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP Aries Capitol - Closed-end Mutual Fund	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name K. Jacqueline Speier

SP	Bank of America (Assets)	\$15,001 - \$50,000	INTEREST/INTE REST	\$201 - \$1,000	
SP	Charles Schwab - Ascent Stock	\$1 - \$1,000	DIVIDENDS	NONE	
SP	Charles Schwab - Black Diamond, formerly known as Clarus Corp Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

SP	Charles Schwab - Harbinye	\$1 - \$1,000	DIVIDENDS	NONE	
SP	Charles Schwab AngloGold Ashanti LT Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Charles Schwab Daytonabrand Stock	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
	Charles Schwab Genentech, Inc. Stock	\$15,001 - \$50,000	None	NONE	
	Charles Schwab (Assets)	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
	Charles Schwab (IRA) Cisco Systems, Inc. Stock	\$1,001 - \$15,000	None	NONE	
	Charles Schwab (IRA) Hewlett-Packard Company Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	

	Charles Schwab (IRA) Hewlett-Packard Company Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Charles Schwab (IRA) Schwab Money Market Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Charles Schwab (Strategic) Schwab Money Market Fund	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name K. Jacqueline Speier

SP	Charles Schwab (Strategic) Ares Capital Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Charles Schwab (Strategic) Danos Corp Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Charles Schwab (Strategic) Dell Inc. Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Charles Schwab (Strategic) Money Market	\$100,001 - \$250,000	DIVIDENDS	\$201 - \$1,000	
DC	Charles Schwab (Trust) Advanced Micro Devices Stock	\$1,001 - \$15,000	None	NONE	
DC	Charles Schwab (Trust) Alcatel Lucent ADR Stock	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
DC	Charles Schwab (Trust) Comcast Corp. Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Charles Schwab (Trust) McKesson Corp. Stock	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
DC	Charles Schwab (Trust) Meadwestvao Corp. Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
DC	Charles Schwab (Trust) Microsoft Corp. Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Charles Schwab (Trust) Pac-West Telecomm, Inc. Stock	\$1 - \$1,000	None	NONE	
DC	Charles Schwab (Trust) Schwab Money Market Fund	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
DC	Charles Schwab (Trust) The Charles Schwab Corp. Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Charles Schwab (Trust) Walt Disney Co. Stock	\$15,001 - \$50,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name K. Jacqueline Speier

SP	Charles Schwab Community Property Money Market	\$100,001 - \$250,000	DIVIDENDS	\$201 - \$1,000	
SP	Charles Schwab Money Market (SARSEP)	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
	Charles Schwab Savings Plus (401k) Short-term Investment Fund	\$1,001 - \$15,000	DIVIDENDS	\$5,001 - \$15,000	
	Charles Schwab Savings Plus 401 (k) International Fund	\$15,001 - \$50,000	DIVIDENDS	\$5,001 - \$15,000	
	Charles Schwab Savings Plus 401 (k)	\$50,001 - \$100,000	DIVIDENDS	\$5,001 - \$15,000	
SP	Charles Schwab Amereco Stock	\$1 - \$1,000	None	NONE	
SP	Charles Schwab Discovery Holding Co. Stock	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	Charles Schwab DWS Emerging Market Mutual	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	
SP	Charles Schwab First Medical Group	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	Charles Schwab Graham-Field Health, PR Stock	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	Charles Schwab Healthsport Inc. Stock	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	Charles Schwab Liberty Media Interactive Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Charles Schwab Mphase Technologies, Inc. Stock	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name K. Jacqueline Speier

SP	Charles Schwab Schwab Value Money Market	\$500,001 - \$1,000,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Charles Schwab Trimedyn, Inc. Stock	\$1 - \$1,000	DIVIDENDS	\$201 - \$1,000	
	City Morgan Stanley Electronic Arts Stock	\$1,001 - \$15,000	None	NONE	
	City Morgan Stanley AOL Stock	\$1,001 - \$15,000	DIVIDENDS		
	City National Bank Account	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	City National Bank Accounts	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	Danos Shipping Co.	\$15,001 - \$50,000	DIVIDENDS	NONE	P
	Federal Thrift Savings Plan	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
DC	Fidelity - EDU CA Guaranteed Option Fund	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Gabelli Asset Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Gabelli Small Capital Growth	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
DC	Guardian (Whole Life Insurance Policy)	\$1,001 - \$15,000		NONE	P
	Med America 401 (k) - Retirement	\$250,001 - \$500,000	DIVIDENDS	\$5,001 - \$15,000	
	Nuveen California Ins Muni A Fund	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name K. Jacqueline Speier

DC	PG&E Stock (one share)	\$1 - \$1,000	DIVIDENDS	\$1 - \$200 (\$4.00)	
	Red Wheel/Weiser/Conari - Book Agreement	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Schwab - Microsoft Stock	\$15,001 - \$50,000	None	NONE	
SP	Schwab INTEL Stock	\$15,001 - \$50,000	None	NONE	
SP	Schwab Seraph Parturus II Stock	\$50,001 - \$100,000	DIVIDENDS	NONE	
	Sterling Bank	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
DC	Sterling Bank	\$100,001 - \$250,000	INTEREST	\$201 - \$1,000	
	Sterling Bank - Speier Family Partners (Assets)	\$15,001 - \$50,000	INTEREST	\$5,001 - \$15,000	
SP	Strategic Investment Solutions Stock (CA)	\$1,000,001 - \$5,000,000	None	NONE	
	U.S. Bank - Speier Family Partners	\$100,001 - \$250,000	INTEREST	\$1 - \$200	
	US Bank Accounts	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
SP	Vanguard (IRA) Explorer Fund Admiral	\$100,001 - \$250,000	DIVIDENDS	\$15,001 - \$50,000	
SP	Vanguard (IRA) Growth Equity Fund	\$100,001 - \$250,000	DIVIDENDS	\$15,001 - \$50,000	
SP	Vanguard (IRA) International Growth Adm Fund	\$100,001 - \$250,000	DIVIDENDS	\$15,001 - \$50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name K. Jacqueline Speier

SP	Vanguard (IRA) International Value Fund	\$100,001 - \$250,000	DIVIDENDS	\$15,001 - \$50,000	
SP	Vanguard (IRA) Windsor II Fund Adm	\$100,001 - \$250,000	DIVIDENDS	\$15,001 - \$50,000	
SP	Vanguard (IRA) Federal Money Market Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	VIRXSYS, Corp. - (Stock) (VA)	\$15,001 - \$50,000	DIVIDENDS	NONE	

SCHEDULE IV - TRANSACTIONS

Name K. Jacqueline Speier

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Aries Capitol Closed-end Mutual Fund	P	N/A	12-2011	\$50,001 - \$100,000
SP	Danos Shipping Co.	P	N/A	2011	\$15,001 - \$50,000
DC	Guardian (Whole Life Insurance Policy)				

SCHEDULE V - LIABILITIES

Name K. Jacqueline Speier

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	Wells Fargo, California	2011	Mortgage on 1955 Willow Road, Hillsborough, CA	\$500,001 - \$1,000,000

SCHEDULE VI - GIFTS

Name

Report the source, a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name K. Jacqueline Speier

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
ABC News - The View	February 2011	San Francisco - New York	Y	Y	N	None
The Aspen Institute	August 2011	San Francisco - Baniff, Canada	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name K. Jacqueline Speier

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Director	Philanthropic Ventures Foundation (PVF), 1222 Preservation Park Way, Oakland, CA 94612-1201

SCHEDULE IX - AGREEMENTS

Name K. Jacqueline Speier

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
2006	Red Wheel/Weiser/Conari, 65 Parker Street, Suite 7, Newburyport, MA 01950	Book
2006	CA State Legislative Pension Fund	Continued Participation in Pension Fund