2013 FINANCIAL DISCLOSURE STATEMENT UNITED STATES HOUSE OF REPRESENTATIVES JOAQUIN (ASTRO **\** 7 U.S. House of Representatives 2013 Annual (Due: May 15, 2014) Member of or Candidate for State: \_\_\_\_\_ District: \_\_\_ 20 Daytime Telephone: (202) 225-3234 M/V Amendment For Use by Members, Officers, and Employees Form A Officer or Employee Employing Office: Termination Date: U.S. HOUSE OF REPRESENTATIVES LEGISLATIVE RESOURCE CENTER HAND DELIVERED 2014 MAY 15 PM 2: 32 (Office Use Only)

Name:

# PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

REPORT TYPE

FILER STATUS

YOU ANSWER "YES"	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	Yes No	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?
Yes No	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes No	D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?
Yes No	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	Yes V No	C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?
Yes No	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?	Yes No	B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?
Yes No	Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes No	A. Did you, your spouse, or your dependent child:     a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or     b. Make more than \$200 in unearned income from any reportable asset during the reporting period?

# IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

š S	<b>§</b>	EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or habilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.
₹ <b>\_</b>	š	TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?
8 <b>Z</b>	¥ <b>8</b>	IPO - Did you purchase any shares that were allocated as a part of an Imitial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

## SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: JOHOWIN CASTED Page	ALCOMO INCOMO
Page 2 of /0	

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	Chedit Wason	Generations	Credit Uzial	Some ( Treduce)	ABC Hedge Fund	Simon & Schueler	Mega Corp, Stock	For bank and other cash accounts, total the amount in all interest-bening accounts, the buble is over \$5,000, list every financial institution where firere is impression \$1,000 in interest-bening accounts. The provide a complete address or description, e.g., rettlal property, and a cry and state, provide a complete address or description, e.g., rettlal property, and a cry and state. For an ownership interest in a privately-hald business that not publicly inside a scientes and its gabgraphic location in Block A.  For an ownership interest in a privately-hald business the neare of the business, the next red at a scientess, and its gabgraphic location in Block A.  Exclude: Your personal residence, including second homes and vecation homes (unless there was rental second dump the reporting period); and any steerost inferest in, or income domain and any therefore the large and that it an Ecoapsed Investment Fund, places check the "Epi" box.  If you to choose, you may indicate that an eased or motine source is that of your spouse (SP) or dependent chief (DC), or jointly hald with anyone (JT), in the oppound column on the far left.  For a detailed discussion of Schoulde A requirements, please refer to the instruction boddied.	For all IRAs and other retrement plans (such as 401(it) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.	Provide complete names of stocks and mutual funds (do not use only ticker symbols).	ortable nore than	identify (a) each asset held for investment or	Asset allower income source
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+-	┼-				┞—	ndelevie		244 000 400 000	4	you have no menest	used. If an asset was sold during the reporting period and is included only because it generated income, the relue should be "None." "Column M is for assets held by your spouse or dependent child in which	Indicate value of asset at close of the reporting period. If you use a	
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		_						Over \$6,000,000	-1	your spouse or dependent	may creat, the notice column, nor as orner assets indicate may category of income by checking the appropriate box below. Dividende, interest, and capital gains, even if reinvested, must be displayed as income for assets hald in taxable ecounts. Oheck "hone" if no income was earned or converted.	Ç.	
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						Ì	S(part)	Cleave this column blank if there are no transactions what succeeded \$1,000.	an asset was sold, please indicate as follows: (5 (pan)).	period. If only a portion of	purchases (P), sales (S), or exchanges (E) exceeding \$1,000	Indicate if the	Transaction
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SCHEDULE A - ASSETS & "UNEARNED INCOME"
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#### SCHEDULE

sale, or exchange transactions that exceeded \$1,000 in the Type of Transaction	B-TRANSACTIONS
Type of Transaction	
Date	Name:
Amount of Team	JOANNIN CASTAN
	Page 4 of 10

												Nonc	gp Exemple Mega Corp. Stock	SP.DC, JT Asset	Capital Gains: It a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.  "Column K is for assets solely held by your spouse or dependent child.	dependent child for investment or the production of monne. Include transactions that resulted in a capital loss. Provide a timef description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or safe of your personal residence, unless it generated rental income. If only a pontion of an asset is sold, please choose 'partial safe' as the type of transaction.	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real properly held by you, your spouse, or your
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#### SCHEDULE C - EARNED INCOME

Name: JOAQUIN (ASTILL

Page 5 of 10

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding \$1,000. See examples below.

INCOME LIMITS and PROHIBITED INCOME: The 2013 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,955. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited. EXCLUDE. Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Source (include date of receipt for honoraria)  Keens State Sue of Maryland  A	Type Approved Teaching Fee	Amount
ı	Spouse Speech Spouse Salary	\$1,000 N/A
State of Toxas	Legislative Salary	48.525
Newtak	Spouse Salary	N/A

#### SCHEDULE D - LIABILITIES

Name: JARQUIN (ASTRO P

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent if out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liabile), and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. "Column K is for liabilities held solely by your spouse or dependent child.

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#### **SCHEDULE E - POSITIONS**

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions

Position	Position Name of Organization
Director	National College Advising Corp.
Member	2006 Maspolita LLC (Partnership)
Director	Congressional Hispanic Caucus Institute (CHCI)

#### SCHEDULE F - AGREEMENTS

Name: JAROWN (
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7 of 12
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	exitinuation or deferral of payments by a former or current employer other than the U.S. government, or continuing participation in an employee with	dentify the date, parties to, and general terms of any agreement or arrangement that you have with respect to future employment; a leave of abse	
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	welfare or benefit plan maintained by a former employer.	sence during the period of government service	
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Date	Parties to Agreement	Agreement	Terms of Agreement
01/13	JOHOUN ONTHO / STATE OF TEXAS	STATE OF FEMIS	STATE LEGISLATIVE DYTHEMENT/ANSIDA
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			UNTIL AGE 60.

#### SCHEDULE G - GIFTS

Report the source (including name, city, and state), a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

	Source	Description	Value
Example	Mr. Joseph Smith, Arlington, VA	Säver Pletter (determination of personal mendahip received from the Efrics Committee)	\$400
7	None		

## SCHEDULE H - TRAVEL PAYMENTS and REIMBURSEMENTS

JAAQUIN MSTRO
Page C

Name:

Identify the source and list travel itnerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor

EXCLUDE: Privately-sponsored travel approved by the Ethics Committee, if post-travel disclosure was filed with the Clerk; travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act, travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

	Source	Date(s)	City of Departure — Dealination — City of Returp	Lodgung? (Y/N)	Food? (YM)	Family Member included? (Y/N)
,	Government of China (MECEA)		DC-Bajing China - DC	٧ :	٧ .	2
	Habiter to Humanely (charity fundrasser)	Mar. 3-4	DC-Bouton-DC	*	4	*
	None					
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### SCHEDULE I -- PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: JOAQUIN ASTRO Page 7 of 12

List the source, ashirty (i.e., speech, appearance, or satistic), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate conditional list of charities incining such payments must be filled directly with the Committee on Ethics.    Separate   Activity   Date   Amount	Activity Speech Article	Date Feb. 2, 2013 Aug. 13, 2013	Amount \$2,000 \$500
Source  Association of American Associations, Washington, DC  XYZ Magazine	Activity Speech Article	<b>Date</b> Feb. 2, 2013 Aug. 13, 2013	Amount \$2,000 \$500
None			

						•	NOTE NUMBER
						Married Anna E. Flores on November 2, 2013.	NOTES