



Filing ID #10002360

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Mr. Frank Pallone Jr.
Status: Member
State/District: NJ06

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2013
Filing Date: 06/4/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chase Bank Account, Long Branch, NJ		\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DC UTMA #1 ⇒ American Century Mutual Funds Growth Fund Instl (TWGIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DC UTMA #1 ⇒ Artisan Funds Inc. Small Cap Value Fund (ARTVX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #1 ⇒ Dodge & Cox Funds International Stock Fund (DODFX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #1 ⇒ Dreyfus Emerging Markets Fund CL I (DRPEX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #1 ⇒ Eaton Vance Large Cap Value Fund CL I (EILVX)	DC	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DC UTMA #1 ⇒ Eaton Vance Ser II Income Fund of Boston CL I (EIBIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DC UTMA #1 ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #1 ⇒ Goldman Sachs Trust Strategic Income Fund Instl (GSZIX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DC UTMA #1 ⇒ Harbor Fund Capital Appreciation Fund Instl (HACAX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DC UTMA #1 ⇒ Harris Assoc. Investment Trust Oakmark Equity & Income Fund CL I (OAKBX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DC UTMA #1 ⇒ Janus Investment Fund Flexible Bond Fund CL I (JFLEX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #1 ⇒ JP Morgan Core Bond Fund Select (WOBDX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DC UTMA #1 ⇒ Munder Ser Trust Midcap Core Growth Fund CL Y (MGOYX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DC UTMA #1 ⇒ Nuveen Investment Funds Inc. Real Estate Sec Fund CL I (FARCX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #1 ⇒ PIMCO Funds Pac Investment Management Ser Commodity Real Return Strat FD Instl (PCRIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #1 ⇒ PIMCO Funds Pac Investment Management Ser Total Return Fund Instl (PTTRX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #1 ⇒ Pioneer Ser Trust I Pioneer Oak Ridge Small Cap Growth Fund CL Y (ORIYX)	DC	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DC UTMA #1 ⇒ Rose Marie Hospodor IRA Trust	DC	\$250,001 - \$500,000	Excepted/Blind Trust	\$15,001 - \$50,000	<input type="checkbox"/>
DC UTMA #1 ⇒ Royce Funds Value Plus Fund Investment (RVPHX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DC UTMA #1 ⇒ Verizon Communications Inc. (VZ)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #1 ⇒ Virtus Emerging Markets Opportunities Fund CL I (HIEMX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #1 ⇒ WT Mutual Fund Crm Mid Cap Value Fund Instl (CRIMX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #2 ⇒ American Century Mutual Funds Growth Fund Instl (TWGIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DC UTMA #2 ⇒ Artisan Funds Inc. Small Cap Value Fund (ARTVX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #2 ⇒ Dodge & Cox Funds International Stock Fund (DODFX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #2 ⇒ Dreyfus Emerging Markets Fund CL I (DRPEX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #2 ⇒ Eaton Vance Large Cap Value Fund CL I (EILVX)	DC	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DC UTMA #2 ⇒ Eaton Vance Ser II Income Fund of Boston CL I (EIBIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #2 ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #2 ⇒ Goldman Sachs Trust Strategic Income Fund Instl (GSZIX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DC UTMA #2 ⇒ Harbor Fund Capital Appreciation Fund Instl (HACAX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DC UTMA #2 ⇒ Harris Assoc. Investment Trust Oakmark Equity & Income Fund CL I (OAKBX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #2 ⇒ Janus Investment Fund Flexible Bond Fund CL I (JFLEX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DC UTMA #2 ⇒ JP Morgan Core Bond Fund Select (WOBDX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #2 ⇒ Munder Ser Trust Midcap Core Growth Fund CL Y (MGOYX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #2 ⇒ Nuveen Investment Funds Inc. Real Estate Sec Fund CL I (FARCX)	DC	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #2 ⇒ PIMCO Funds Pac Investment Management Ser Commodity Real Return Strat FD Instl (PCRIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #2 ⇒ PIMCO Funds Pac Investment Management Ser Total Return Fund Instl (PTTRX)	DC	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
DC UTMA #2 ⇒ Pioneer Ser Trust I Pioneer Oak Ridge Small Cap Growth Fund CL Y (ORIYX)	DC	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DC UTMA #2 ⇒ Rose Marie Hospodor IRA Trust	DC	\$250,001 - \$500,000	Excepted/Blind Trust	\$5,001 - \$15,000	<input type="checkbox"/>
DC UTMA #2 ⇒ Royce Funds Value Plus Fund Investment (RVPHX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #2 ⇒ Verizon Communications Inc. (VZ)	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
DC UTMA #2 ⇒ Virtus Emerging Markets Opportunities Fund CL I (HIEMX)	DC	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #2 ⇒ Wells Fargo Bank Deposit Sweep	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DC UTMA #2 ⇒ WT Mutual Fund CRM Mid Cap Value Fund Instl (CRIMX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #3 ⇒ American Century Mutual Funds Growth Fund Instl (TWGIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DC UTMA #3 ⇒ Artisan Funds Inc. Small Cap Value Fund (ARTVX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #3 ⇒ Dodge & Cox Funds International Stock Fund (DODFX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #3 ⇒ Dreyfus Emerging Markets Fund CL I (DRPEX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #3 ⇒ Eaton Vance Large Cap Value Fund CL I (EILVX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #3 ⇒ Eaton Vance Ser II Income Fund Boston CL I (EIBIX)	DC	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #3 ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #3 ⇒ Goldman Sachs Trust Strategic Income Fund Instl (GSZIX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DC UTMA #3 ⇒ Harbor Fund Capital Appreciation Fund Instl (HACAX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DC UTMA #3 ⇒ Harris Assoc. Investment Trust Oakmark Equity & Income Fund CL I (OAKBX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #3 ⇒ Janus Investment Fund Flexible Bond Fund CL I (JFLEX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #3 ⇒ JP Morgan Core Bond Fund Select (WOBDX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DC UTMA #3 ⇒ Munder Ser Trust Midcap Core Growth Fund CL Y (MGOYX)	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
DC UTMA #3 ⇒ Nuveen Investment Funds Inc. Real Estate Sec Fund CL I (FARCX)	DC	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #3 ⇒	DC	\$1,001 - \$15,000	Capital Gains,	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
PIMCO Funds Pac Investment Management Ser Commodity Real Return Strat FD Instl (PCRIX)			Dividends		
DC UTMA #3 ⇒ PIMCO Funds Pac Investment Management Ser Total Return Fund Instl (PTTRX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #3 ⇒ Pioneer Ser Trust I Pioneer Oak Ridge Small Cap Growth Fund CL Y (ORIYX)	DC	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DC UTMA #3 ⇒ Rose Marie Hospodor IRA Trust	DC	\$250,001 - \$500,000	Excepted/Blind Trust	\$50,001 - \$100,000	<input type="checkbox"/>
DC UTMA #3 ⇒ Royce Funds Value Plus Fund Investments (RVPHX)	DC	\$1 - \$1,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
DC UTMA #3 ⇒ Verizon Communications Inc. (VZ)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC UTMA #3 ⇒ WT Mutual Fund CRM Mid Cap Value Fund Instl (CRIMX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Filer GST Trust ⇒ Federated Kaufmann Fund CL A (KAUAX)		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Filer GST Trust ⇒ Income Fund of America, Inc. CL A (AMECX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Filer GST Trust ⇒ Lord Abbett Investment Trust Short Duration Income CL F (LDLFX)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Filer GST Trust ⇒ Nuveen Municipal Trust High Yield Municipal Bond Fund CL A (NHMAX)		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Filer GST Trust ⇒ Vanguard Municipal Bond Fund Limited Term Tax-Exempt Portfolio (VMLTX)		\$15,001 - \$50,000	None		<input type="checkbox"/>
Filer GST Trust ⇒ Wells Fargo Bank Deposit Sweep		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Filer Roth IRA ⇒		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Franklin Dyna Tech CL A (FKDNA)					
Filer Roth IRA ⇒ Wells Fargo Advantage Funds Traditional Small Cap Growth Fund CL A (EGWAX)		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Residential Real Estate, M. Pallone Estate (half interest)		\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: Long Branch, NJ, US					
Spouse GST Trust #1 ⇒ Advisors Asset Management High 50 Dividends Strategic Portfolio (AAMDQX)	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Advisors Asset Management High 50 Dividends Strategic Portfolio Ser 2013-1Q Cash IAAMDQX)					
Spouse GST Trust #1 ⇒ Altria Group, Inc. (MO)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse GST Trust #1 ⇒ Chevron Corporation (CVX)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse GST Trust #1 ⇒ Citigroup, Inc. (C)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #1 ⇒ Dominion Resources, Inc. (D)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse GST Trust #1 ⇒ Federated Kaufmann Fund CL A (KAUAX)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Spouse GST Trust #1 ⇒ Income Fund of America Inc. CL A (AMECX)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Spouse GST Trust #1 ⇒ JP Morgan Chase Corporate Bond	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: JP Morgan Chase 8.625% Non-Cum Perpetual Mat Callable Start 09/01/13 (JPMI)					
Spouse GST Trust #1 ⇒ Kraft Foods Group, Inc. (KRFT)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse GST Trust #1 ⇒ Lord Abbett Investment Trust Short Duration Income CL F (LDLFX)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Spouse GST Trust #1 ⇒	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Mondelez International, Inc. - Class A (MDLZ)					
Spouse GST Trust #1 ⇒ Nuveen Municipal Trust High Yield Municipal Bond Fund CL A (NHMAX)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse GST Trust #1 ⇒ Philip Morris International Inc (PM)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse GST Trust #1 ⇒ Vanguard Municipal Bond Fund Limited Term Tax-Exempt Portfolio (VMLTX)	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #1 ⇒ Verizon Communications Inc. (VZ)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse GST Trust #1 ⇒ Wells Fargo Bank Deposit Sweep	SP	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ Energy Select Sector SPDR (XLE)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ Financial Select Sector SPDR (XLF)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ Industrial Select Sector SPDR (XLI)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ iShares Barclays 1-3 Year Credit Bond Fund (CSJ)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Spouse GST Trust #2 ⇒ iShares Barclays 3-7 Year Treasury Bond Fund (IEI)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Spouse GST Trust #2 ⇒ iShares Barclays 7-10 Year Treasury Bond Fund (IEF)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ iShares Barclays Intermediate Credit Bond Fund (CIU)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ iShares Barclays MBS Bond Fund (MBB)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ iShares Core S&P Mid-Cap Fund (IJH)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Spouse GST Trust #2 ⇒ iShares Core S&P Small-Cap 600 Index Fund (IJR)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ iShares IBOXX Investment Grade Corporate Bond Fund (LQD)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ iShares JP Morgan Emerging Markets Bond Fund (EMB)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ iShares MSCI Germany (EWG)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ iShares MSCI Mexico Investable Market Index Fund (EWW)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ iShares MSCI South Korea WEBS Index Fund (EWY)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ iShares MSCI United Kingdom Index Fund (EWU)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Spouse GST Trust #2 ⇒ iShares S&P North American Technology Networking Index Fund (IGN)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ PowerShares Emerging Markets Sovereign Debt (PCY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ PowerShares Senior Loan Portfolio (BKLN)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Spouse GST Trust #2 ⇒ Select Sector SPDR Fund Consumer Discretionary (XLY)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Spouse GST Trust #2 ⇒ Select Sector SPDR Fund Health Care Fund (XLV)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ Select Sector SPDR Trust Consumer Staples Fund (XLP)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ SPDR Barclays Capital High Yield Bond Fund (JNK)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Spouse GST Trust #2 ⇒ SPDR Barclays Capital International Treasury Bond Fund (BWX)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ SPDR Euro STOXX 50 (FEZ)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Spouse GST Trust #2 ⇒ SPDR S&P Bank Fund (KBE)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ SPDR S&P Retail Fund (XRT)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ Vanguard FTSE Developed Markets (VEA) (Name correction)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Spouse GST Trust #2 ⇒ Vanguard Information Technology Fund (VGT)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ Vanguard REIT Fund (VNQ)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ Vanguard Total Bond Market (BND)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ Wells Fargo Bank Sweep Account	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Spouse GST Trust #3 ⇒ Russell Emerging Markets Fund CL S (REMSX)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Spouse GST Trust #3 ⇒ Russell Global Equity Fund CL S (RGESX)	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Spouse GST Trust #3 ⇒ Russell International Developed Markets Fund CL S (RINTX)	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Spouse GST Trust #3 ⇒ Russell Investment Co Commodity Strategies Fund CL S (RCCSX)	SP	\$15,001 - \$50,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
Spouse GST Trust #3 ⇒ Russell Investment Co Global Infrastructure Fund CL S	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
(RGISX)					
Spouse GST Trust #3 ⇒ Russell Investment Co Global Opportunistic Credit Fund CL S (RGCSX)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Spouse GST Trust #3 ⇒ Russell Investment Co Global Real Estate Sec Fund CL S (RRESX)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Spouse GST Trust #3 ⇒ Russell Investment Co Multi-Strategy Alternative Fund CL S (RMSSX)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Spouse GST Trust #3 ⇒ Russell Investment Co US Strategic Equity Fund CL S (RSESX)	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Spouse GST Trust #3 ⇒ Russell Strategic Bond Fund CL S (RFCTX)	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Spouse GST Trust #3 ⇒ Russell US Small Cap Equity Fund CL S (RLESX)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Spouse IRA ⇒ Advisors Inner Circle Fund Cambiar Opportunity Fund Instl (CAMWX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse IRA ⇒ AIM Investment Sec Funds Invesco Investment Secs Funds Global Real Estate Fund CLY (ARGYX)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Spouse IRA ⇒ Blair Williams Funds International Growth Fund CL I (BIGIX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse IRA ⇒ Delaware Group Equity Funds II - Value Fund Instl (DDVIX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse IRA ⇒ Dreyfus Appreciation Investor Fund, Inc. (DGAGX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse IRA ⇒ DWS Value Ser Inc Small Cap Value Fund CL S (KDSSX)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Spouse IRA ⇒ Eagle Small Cap Growth Fund CL I (HSIIX)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Spouse IRA ⇒ Estee Lauder Companies, Inc. (EL)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse IRA ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse IRA ⇒ General Electric Company (GE)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse IRA ⇒ Goldman Sachs Trust Financial Square Treasury Instruments Fund Instl (FTIXX)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Spouse IRA ⇒ Hancock John Capital Ser Classic Value Fund CL I (JCVIX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse IRA ⇒ Harbor Fund International Fund Instl (HAINX)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse IRA ⇒ Hotchkis & Wiley Funds Mid-Cap Value Fund CL I (HWMIX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse IRA ⇒ Keeley Funds Inc. Small Cap Value Fund CL I (KSCIX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse IRA ⇒ Lazard Funds Inc. Emerging Markets Portfolio Instl Shares Fund (LZEMX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Spouse IRA ⇒ Mainstay Large Cap Growth Cap Growth Fund CL I (MLAIX)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Spouse IRA ⇒ Managers AMG Funds Timesquare Mid-Cap Growth Fund Premier (TMDPX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse IRA ⇒ Morgan Stanley Instl Fund Mid-Cap Growth Portfolio Fund CL I (MPEGX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Spouse IRA ⇒ Oppenheimer Developing Markets CL Y (ODVYX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse IRA ⇒ PIMCO Funds Pac Investment Management Ser Commodity Real Return Strat FD Instl (PCRIX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse IRA ⇒ RS Investment Trust Emerging Markets Fund CL Y (RSENX)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Spouse IRA ⇒ Security Equity Fund Mid-Cap Value Ser CL A (SEVAX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse IRA ⇒ Texas Instruments Incorporated (TXN)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse IRA ⇒ Touchstone Strategic Large Cap Growth Fund CL Y (TIQIX)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse IRA ⇒ Unilever PLC (UL)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse IRA ⇒ Victory Portfolios Small Co Opportunities Fund CL I (VSOIX)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse IRA ⇒ Walt Disney Company (DIS)	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Spouse IRA ⇒ Wells Fargo Funds Trust Emerging Growth Fund Instl (WEMIX)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Spouse Roth IRA ⇒ Franklin Dyna Tech CL A (FKDNX)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Spouse Roth IRA ⇒ Wells Fargo Advantage Funds Traditional Small Cap Growth CL A (EGWAX)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DC UTMA #1 ⇒ American Century Investment Trust Diversified Bond Fund Instl (ACBPX)	DC	09/18/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
DC UTMA #1 ⇒ Goldman Sachs Trust Strategic Income Fund Instl Shares (GSZIX)	DC	09/17/2013	P	\$1,001 - \$15,000	
DC UTMA #1 ⇒ Harris Assoc. Investment Trust Oakmark Equity & Income Fund CL I (OAKBX)	DC	09/18/2013	P	\$1,001 - \$15,000	
DC UTMA #1 ⇒ JP Morgan Core Bond Fund Select (WOBDX)	DC	09/18/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
DC UTMA #2 ⇒ American Century Investment Trust Diversified Bond Fund Instl (ACBPX)	DC	09/18/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
DC UTMA #2 ⇒ Goldman Sachs Trust Strategic Income Fund Instl Shares (GSZIX)	DC	09/18/2013	P	\$1,001 - \$15,000	
DC UTMA #2 ⇒ JP Morgan Core Bond Fund Select (WOBDX)	DC	09/18/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DC UTMA #3 ⇒ American Century Investment Trust Diversified Bond Fund Instl (ACBPX)	DC	09/18/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
DC UTMA #3 ⇒ Goldman Sachs Trust Strategic Income Fund Instl Shares (GSZIX)	DC	09/19/2013	P	\$1,001 - \$15,000	
DC UTMA #3 ⇒ JP Morgan Core Bond Select Fund (WOBDX)	DC	09/18/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Spouse GST Trust #1 ⇒ Advisors Asset Management High 50 Dividends Strat Portfolio	SP	04/9/2013	P	\$100,001 - \$250,000	
DESCRIPTION: Advisors Asset Management High 50 Dividends Strat Portfolio Ser 2013-1Q Cash					
Spouse GST Trust #1 ⇒ Federated Kaufmann Fund CL A (KAUAX)	SP	04/9/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Spouse GST Trust #1 ⇒ Income Fund of America Inc. CL A (AMECX)	SP	04/9/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Spouse GST Trust #1 ⇒ JP Morgan Chase Corporate Bond	SP	09/3/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: JP Morgan Chase 8.625% Non-Cum Perpetual Mat Callable Start 09/01/13 (JMPL)					
Spouse GST Trust #1 ⇒ Lord Abbett Investment Trust Short Duration Income CL F (LDLFX)	SP	04/9/2013	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Spouse GST Trust #2 ⇒ iShares Barclays 1-3 Year Credit Bond Fund (CSJ)	SP	07/31/2013	P	\$1,001 - \$15,000	
Spouse GST Trust #2 ⇒ iShares Barclays 3-7 Year Treasury Bond Fund (IEL)	SP	04/3/2013	P	\$1,001 - \$15,000	
Spouse GST Trust #2 ⇒ iShares IBOXX \$ Yield Corporate Bond Fund (HYG)	SP	04/3/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ iShares MSCI United Kingdom Index Fund (EWU)	SP	03/12/2013	P	\$1,001 - \$15,000	
Spouse GST Trust #2 ⇒ Powershares DB Commodity Index Tracking Fund (DBC)	SP	09/4/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ PowerShares Senior Loan Portfolio (BKLN)	SP	04/3/2013	P	\$1,001 - \$15,000	
Spouse GST Trust #2 ⇒ Select Sector SPDR Fund Consumer Discretionary (XLY)	SP	07/31/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Spouse GST Trust #2 ⇒ SPDR Euro STOXX 50	SP	07/15/2013	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Spouse GST Trust #2 ⇒ Vanguard FTSE Developed Markets (VEA)	SP	03/7/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Spouse GST Trust #2 ⇒ Vanguard MSCI Emerging Markets Fund (VWO)	SP	04/22/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Spouse GST Trust #3 ⇒ Russell Emerging Markets Fund CL S (REMSX)	SP	04/26/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Spouse GST Trust #3 ⇒ Russell Global Equity Fund CL S (RGESX)	SP	04/26/2013	P	\$1,001 - \$15,000	
Spouse GST Trust #3 ⇒ Russell International Developed Markets Fund CL S (RINTX)	SP	04/26/2013	P	\$1,001 - \$15,000	
Spouse GST Trust #3 ⇒ Russell International Developed Markets Fund CL S (RINTX)	SP	01/14/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Spouse GST Trust #3 ⇒ Russell International Developed Markets Fund CL S (RINTX)	SP	10/15/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Spouse GST Trust #3 ⇒ Russell Investment Co Commodity Strategies Fund CL S (RCCSX)	SP	04/26/2013	P	\$1,001 - \$15,000	
Spouse GST Trust #3 ⇒ Russell Investment Co Global Infrastructure Fund CL S (RGISX)	SP	04/26/2013	P	\$1,001 - \$15,000	
Spouse GST Trust #3 ⇒ Russell Investment Co GLobal Opportunistic Credit Fund CL S (RGCSX)	SP	04/26/2013	P	\$1,001 - \$15,000	
Spouse GST Trust #3 ⇒ Russell Investment Co Global Real Estate Securities Fund CL S (RRESX)	SP	04/26/2013	P	\$1,001 - \$15,000	
Spouse GST Trust #3 ⇒ Russell Investment Co Multi-Strategy Alternative Fund CL S (RMSSX)	SP	04/26/2013	P	\$1,001 - \$15,000	
Spouse GST Trust #3 ⇒ Russell Investment Co US Strategic Equity Fund CL S (RSESX)	SP	04/26/2013	P	\$15,001 - \$50,000	
Spouse GST Trust #3 ⇒ Russell Investment Co US Strategic Equity Fund CL S (RSESX)	SP	04/15/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Spouse GST Trust #3 ⇒ Russell Investment Co US Strategic Equity Fund CL S (RSESX)	SP	07/15/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Spouse GST Trust #3 ⇒ Russell Strategic Bond Fund CL S (RFCTX)	SP	04/26/2013	P	\$15,001 - \$50,000	
Spouse GST Trust #3 ⇒ Russell US Small Cap Equity Fund CL S (RLESX)	SP	04/26/2013	P	\$1,001 - \$15,000	
Spouse IRA ⇒ DWS Value Ser Inc Small Cap Value Fund CL S (KDSSX)	SP	09/4/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Spouse IRA ⇒ Lazard Funds Inc. Emerging Markets Portfolio Instl (LZEMX)	SP	02/22/2013	P	\$1,001 - \$15,000	
Spouse IRA ⇒ RS Investment Trust Emerging Markets Fund CL Y (RSENX)	SP	02/21/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Spouse IRA ⇒ Victory Portfolio Small Co Opportunity Fund CL I (VSOIX)	SP	09/5/2013	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
US EPA	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Congressional Federal Credit Union	September 2007	Personal Line of Credit	\$15,001 - \$50,000
JT	Citi Mortgage Inc., San Antonio, TX	February 2011	Mortgage on Real Estate, Washington, DC	\$100,001 - \$250,000
JT	CitiBank, St. Louis, MO	February 2008	Home Equity Loan on Real Estate, Washington, DC	\$250,001 - \$500,000
	Wells Fargo Bank, Long Branch, NJ	December 2006	M. Pallone Estate. Mortgage on Real Estate, Long Branch, NJ, held by life estate tenant	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- DC UTMA #1 (Owner: DC)
- DC UTMA #2 (Owner: DC)
- DC UTMA #3 (Owner: DC)
- Filer GST Trust
- Filer Roth IRA
- Spouse GST Trust #1 (Owner: SP)
- Spouse GST Trust #2 (Owner: SP)
- Spouse GST Trust #3 (Owner: SP)
- Spouse IRA (Owner: SP)
- Spouse Roth IRA (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☒ Yes ☐ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

Schedule A - Assets not previously shown on Schedule III, with no corresponding Transaction, were previously below reporting

thresholds. Schedule A - Assets shown last year that do not appear on this report either fell below the reporting threshold or were sold with no reportable income.

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Frank Pallone Jr., 06/4/2014