

## Periodic Transaction Report

# HAND DELIVER

Name: Rohit Khanna Page 1 of 6

LEGISLATIVE RESOURCE CENTER

17 OCT 10 AM 11:54

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

|   |  |   |
|---|--|---|
| <b>NAME:</b> Rohit Khanna   |  | <b>17 OCT 10 AM 11:54</b><br><b>OFFICE OF THE CLERK</b><br><b>U.S. HOUSE OF REPRESENTATIVES</b> |
| <b>OFFICE TELEPHONE:</b> (202) 225-2631   |  |   |
| <input checked="checked" type="checkbox"/> Member of the U.S. House of Representatives<br>State: CA District: 17<br>File an original and 2 copies   | <input type="checkbox"/> Officer or Employee<br>Employing Office: _____<br>File an original and 1 copy   | <b>A \$200 penalty shall be assessed against anyone who files more than 30 days late.</b>       |
| Did you purchase any shares that were allocated as a part of an Initial Public Offering? <input type="checkbox"/> Yes <input checked="checked" type="checkbox"/> No<br>If you answered "yes" to this question, please contact the Committee on Ethics for further guidance. | Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending.<br><input checked="checked" type="checkbox"/> Initial Report <input type="checkbox"/> Amendment<br>Date of Report Being Amended: _____ |   |

[illegible]

| Full Asset Name     |   | Type of Transaction                   |      |          | Date of Transaction | Date Modified of Transaction | Amount of Transaction |   |   |   |   |   |   |   |   |   |   | Transaction in a Spouse or Dependent Child Asset over |
|---------------------|---|---------------------------------------|------|----------|---------------------|------------------------------|-----------------------|---|---|---|---|---|---|---|---|---|---|---|
|                     |   | Purchase                              | Sale | Exchange | MM/DD/YY            | MM/DD/YY                     | A                     | B | C | D | E | F | G | H | I | J | K |   |
| SP/DC/IT            |   | Provide full name, not ticker symbol. |      |          |                     |                              |                       |   |   |   |   |   |   |   |   |   |   |   |
| MA 94 Trust         |   |                                       |      |          |                     |                              |                       |   |   |   |   |   |   |   |   |   |   |   |
| SP                  | WALT DISNEY COMPANY (THE) CMN               |                                       | X    |          |                     | 09/07/17                     | 10/04/17              |   |   |   |   |   |   |   |   |   |   |   |
| SP                  | PERINELMER INC CMN                          |                                       |      | X        |                     | 09/08/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | MERCK & CO., INC. CMN                       |                                       |      |          | X                   | 09/08/17                     | 10/04/17              |   | X |   |   |   |   |   |   |   |   |   |
| SP                  | BAXTER INTERNATIONAL INC CMN                |                                       | X    |          |                     | 09/08/17                     | 10/04/17              |   |   |   |   |   |   |   |   |   |   |   |
| Grondalshen's Trust |   |                                       |      |          |                     |                              |                       |   |   |   |   |   |   |   |   |   |   |   |
| DC                  | BAXTER INTERNATIONAL INC CMN                |                                       |      | X        |                     | 09/08/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| MA 94 Trust         |   |                                       |      |          |                     |                              |                       |   |   |   |   |   |   |   |   |   |   |   |
| SP                  | PERINELMER INC CMN                          |                                       | X    |          |                     | 09/11/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | VERSUM MATERIALS, INC. CMN                  |                                       |      | X        |                     | 09/11/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | MERCK & CO., INC. CMN                       |                                       | X    |          |                     | 09/11/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| Grondalshen's Trust |   |                                       |      |          |                     |                              |                       |   |   |   |   |   |   |   |   |   |   |   |
| DC                  | MERCK & CO., INC. CMN                       |                                       | X    |          |                     | 09/11/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| MA 94 Trust         |   |                                       |      |          |                     |                              |                       |   |   |   |   |   |   |   |   |   |   |   |
| SP                  | MERCK & CO., INC. CMN                       |                                       | X    |          |                     | 09/12/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | PERINELMER INC CMN                          |                                       |      | X        |                     | 09/12/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | FIRST DATA CORPORATION CMN CLASS A          |                                       | X    |          |                     | 09/14/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | PERINELMER INC CMN                          |                                       |      | X        |                     | 09/14/17                     | 10/04/17              |   | X |   |   |   |   |   |   |   |   |   |
| SP                  | HANESBRAUNDS INC. CMN                       |                                       | X    |          |                     | 09/14/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| Grondalshen's Trust |   |                                       |      |          |                     |                              |                       |   |   |   |   |   |   |   |   |   |   |   |
| DC                  | PERINELMER INC CMN                          |                                       |      | X        |                     | 09/14/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| MA 94 Trust         |   |                                       |      |          |                     |                              |                       |   |   |   |   |   |   |   |   |   |   |   |
| SP                  | ENTEGIS, INC. CMN                           |                                       | X    |          |                     | 09/15/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | FIRST DATA CORPORATION CMN CLASS A          |                                       | X    |          |                     | 09/15/17                     | 10/04/17              |   | X |   |   |   |   |   |   |   |   |   |
| MA Dec of Trust     |   |                                       |      |          |                     |                              |                       |   |   |   |   |   |   |   |   |   |   |   |
| SP                  | THE CITY OF NEW YORK GO 5.0000% 09/01/24 FA |                                       | X    |          |                     | 09/15/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| MA 94 Trust         |   |                                       |      |          |                     |                              |                       |   |   |   |   |   |   |   |   |   |   |   |
| SP                  | ENTEGIS, INC. CMN                           |                                       |      | X        |                     | 09/18/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| MA 95 Trust         |   |                                       |      |          |                     |                              |                       |   |   |   |   |   |   |   |   |   |   |   |
| SP                  | PUT/5P @ 245 EXP 11/03/2017                 |                                       | X    |          |                     | 09/21/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| MA 94 Trust         |   |                                       |      |          |                     |                              |                       |   |   |   |   |   |   |   |   |   |   |   |
| SP                  | MERCK & CO., INC. CMN                       |                                       | X    |          |                     | 09/21/17                     | 10/04/17              |   | X |   |   |   |   |   |   |   |   |   |
| Grondalshen's Trust |   |                                       |      |          |                     |                              |                       |   |   |   |   |   |   |   |   |   |   |   |
| DC                  | MERCK & CO., INC. CMN                       |                                       | X    |          |                     | 09/21/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| MA 94 Trust         |   |                                       |      |          |                     |                              |                       |   |   |   |   |   |   |   |   |   |   |   |
| SP                  | APACHE CORP. CMN                            |                                       | X    |          |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | KINDER MORGAN INC CMN CLASS P               |                                       | X    |          |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | PUBLIC STORAGE CMN                          |                                       | X    |          |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | BAKER HUGHES A GE CO CMN                    |                                       |      | X        |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | ALTIMA GROUP, INC. CMN                      |                                       | X    |          |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | INVESCO LTD. CMN                            |                                       | X    |          |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | FRANKLIN RESOURCES INC CMN                  |                                       | X    |          |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | KIMBERLY CLARK CORP CMN                     |                                       | X    |          |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | HORNEEL FOODS CORP CMN                      |                                       |      | X        |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | THE KRAFT HEINZ CO CMN                      |                                       |      | X        |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | OTRELLY AUTOMOTIVE INC CMN                  |                                       | X    |          |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | EXXON MOBIL CORPORATION CMN                 |                                       | X    |          |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | CONAGRA BRANDS INC CMN                      |                                       | X    |          |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | THE WILLIAMS COMPANIES, INC. CMN            |                                       | X    |          |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | GENERAL MILLS INC CMN                       |                                       | X    |          |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | UNION PACIFIC CORP. CMN                     |                                       | X    |          |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | ALLERGAN PLC CMN                            |                                       | X    |          |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | IGUA CORPORATION CMN                        |                                       | X    |          |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |
| SP                  | AT&T INC CMN                                |                                       | X    |          |                     | 09/22/17                     | 10/04/17              | X |   |   |   |   |   |   |   |   |   |   |

Key:  
RA-Ritu Ahuja

| SP/DC/IT                              | Full Asset Name                            | Type of Transaction |      |          | Date of Transaction | Date Notified of Transaction | Amount of Transaction |          |                       |                        |                         |                          |                          |                            |                              |                               | K<br>Transaction in a Spouse or Dependent Child Asset over \$1,000,000 |
|---------------------------------------|--|---------------------|------|----------|---------------------|------------------------------|-----------------------|----------|-----------------------|------------------------|-------------------------|--------------------------|--------------------------|----------------------------|------------------------------|-------------------------------|--|
|                                       |  | Purchase            | Sale | Exchange |                     |                              | MM/DD/YY              | MM/DD/YY | A<br>\$1,001-\$15,000 | B<br>\$15,001-\$50,000 | C<br>\$50,001-\$100,000 | D<br>\$100,001-\$250,000 | E<br>\$250,001-\$500,000 | F<br>\$500,001-\$1,000,000 | G<br>\$1,000,001-\$5,000,000 | H<br>\$5,000,001-\$25,000,000 |  |
| Provide full name, not ticker symbol. |  |                     |      |          |                     |                              |                       |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | QUALCOMM INC CMN                           | x                   |      |          | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | MEDTRONIC PUBLIC LIMITED COMPA CMN         |                     | x    |          | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | SIMON PROPERTY GROUP INC CMN               | x                   |      |          | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | ANTHELM, INC. CMN                          |                     |      | x        | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | BANK OF AMERICA CORP CMN                   | x                   |      |          | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | GENERAL ELECTRIC CO CMN                    | x                   |      |          | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | AMERICAN INTL GROUP, INC. CMN              | x                   |      |          | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | WALGREENS BOOTS ALLIANCE, INC. CMN         | x                   |      |          | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | MONDELEZ INTERNATIONAL, INC. CMN           | x                   |      |          | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | PRIZER INC. CMN                            | x                   |      |          | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | ZIMMER BIOMET HOLDINGS, INC.               | x                   |      |          | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | WALT DISNEY COMPANY (THE) CMN              |                     | x    |          | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | HCA HEALTHCARE, INC. CMN                   | x                   |      |          | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | J.M. SMUCKER CO. CMN                       | x                   |      |          | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | BAXTER INTERNATIONAL, INC. CMN             |                     | x    |          | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | BAXTER INTERNATIONAL, INC. CMN             |                     | x    |          | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | CAESARS ENTERTAINMENT CORP CMN             | x                   |      |          | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | MERCK & CO., INC. CMN                      | x                   |      |          | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| Grandchildren's Trust                 |  |                     |      |          |                     |                              |                       |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| DC                                    | BAXTER INTERNATIONAL, INC. CMN             |                     | x    |          | 09/22/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| MA 95 Trust                           |  |                     |      |          |                     |                              |                       |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | TWENTY-FIRST CENTURY FOX, INC. CMN CLASS B | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | GENERAL ELECTRIC CO CMN                    | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | QUALCOMM INC CMN                           | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | PKG INDUSTRIES, INC. CMN                   | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | GENERAL DYNAMICS CORP CMN                  | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | SCANA CORP CMN                             | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | ALPHABET INC. CMN CLASS A                  | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | EXPRESS SCRIPTS HOLDINGS CMN               | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | INTERPUBLIC GROUP COS CMN                  | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | HALIBURTON COMPANY CMN                     | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | CONAGRA BRANDS INC CMN                     | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | REGENCY CTRS CORP CMN                      | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | VIACOM INC CMN CLASS B                     | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | COLGATE PALMOLIVE CO CMN                   | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | THE SOUTHERN CO. CMN                       | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | SCHLUMBERGER LTD CMN                       | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | GENERAL MILLS INC CMN                      | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | AVANGARD COMMUNITIES INC CMN               | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | ALLERGAN PLC CMN                           | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | AMADARCO PETROLEUM CORP CMN                | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | JOHNSON CONTROLS INTERNATIONAL CMN         | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | HCA HEALTHCARE, INC. CMN                   | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | INCOMM & CO NON VTD SHRS CMN               | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | CONCAST CORPORATION CMN CLASS A VOTING     | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | CARDINAL HEALTH INC CMN                    | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | VERIZON COMMUNICATIONS INC. CMN            | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | ULTA BEAUTY INC CMN                        | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | THE KRAFT HEINZ CO CMN                     | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | PHILIP MORRIS INTL INC CMN                 | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | MEDTRONIC PUBLIC LIMITED COMPA CMN         | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | BANK OF AMERICA CORP CMN                   | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | JOHNSON & JOHNSON CMN                      | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | ORACLE CORPORATION CMN                     | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |
| SP                                    | WALGREENS BOOTS ALLIANCE, INC. CMN         | x                   |      |          | 09/25/17            | 10/04/17                     | x                     |          |                       |                        |                         |                          |                          |                            |                              |                               |  |

Key:  
RA-Ritu Ahuja

| SP/DC/LT                              | Full Asset Name                             | Type of Transaction |      | Date of Transaction | Date Notified of Transaction | Amount of Transaction |          |          |   |   |   |   |   |   |   | Transaction in a Spouse or Dependent Child Asset |   |   |
|---------------------------------------|---|---------------------|------|---------------------|------------------------------|-----------------------|----------|----------|---|---|---|---|---|---|---|--|---|---|
|                                       |   | Purchase            | Sale |                     |                              | Exchange              | MM/DD/YY | MM/DD/YY | A | B | C | D | E | F | G |  | H | I |
| Provide full name, not ticker symbol. |   |                     |      |                     |                              |                       |          |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | INTL BUSINESS MACHINES CORP CMN             |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | CVS HEALTH CORP CMN                         |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | VENTAS, INC. CMN                            |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | NIKE CLASS-B CMN CLASS B                    |                     |      | X                   | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | MTN AN IV CMN                               |                     |      | X                   | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | DEVON ENERGY CORPORATION (NEW) CMN          |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | UNION PACIFIC CORP. CMN                     |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | PUBLIC STORAGE CORP. CMN                    |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | THERMO FISHER SCIENTIFIC INC CMN            |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | WESTERN DIGITAL CORPORATION CMN             |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | THE WILLIAMS COMPANIES, INC. CMN            |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | BB&T CORPORATION CMN                        |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | OCCIDENTAL PETROLEUM CORP CMN               |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | EQUIFAX INC CMN                             |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | HCP, INC. CMN                               |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | CHUBB LTD CMN                               |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | UNITED TECHNOLOGIES CORP CMN                |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | PRUDENTIAL FINANCIAL, INC CMN               |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | MOBILE ENERGY INC CMN                       |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | LIBERTY BROADBAND CORP CMN CLASS A          |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | 3M COMPANY CMN                              |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | KIMBERLY CLARK CORP CMN                     |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | MERCK & CO., INC. CMN                       |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | ALTRIA GROUP, INC. CMN                      |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | KROGER COMPANY CMN                          |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | NUCOR CORPORATION CMN                       |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | WALT DISNEY COMPANY (THE) CMN               |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | PRICELINE GROUP INC (THE) CMN               |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | AT&T INC CMN                                |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | THE TRAVELERS COMPANIES, INC CMN            |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | BOSTON SCIENTIFIC CORP. COMMON STOCK        |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | AMERICAN INTL GROUP, INC. CMN               |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | COMING INCORPORATED CMN                     |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | FREEDPORT-MCMORAN INC CMN                   |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | CAMPBELL SOUP CO CMN                        |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | DENTSPLY SIRONA INC CMN                     |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | DISCOVERY COMMUNICATIONS, INC. CMN SERIES A |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | GGP INC CMN                                 |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | BLACKROCK, INC. CMN                         |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | OSCO SYSTEMS, INC. CMN                      |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | DISCOVERY COMMUNICATIONS, INC. CMN          |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | EXXON MOBIL CORPORATION CMN                 |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | AMAZON.COM INC CMN                          |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | KELOLOG COMPANY CMN                         |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | CSX CORPORATION CMN                         |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | NORTHROP GRUMMAN CORP CMN                   |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | IDEX LABORATORIES CMN                       |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | THE HOME DEPOT, INC. CMN                    |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | MONDELIZ INTERNATIONAL, INC. CMN            |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| RA 94 TRST                            |   |                     |      |                     |                              |                       |          |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | YERSUM MATERIALS, INC. CMN                  |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | CASCADES ENTERTAINMENT CORP CMN             |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | GENERAL MOTORS COMPANY CMN                  |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| SP                                    | MERCK & CO., INC. CMN                       |                     | X    |                     | 09/25/17                     | 10/04/17              | X        |          |   |   |   |   |   |   |   |  |   |   |
| Graincochildren's Trust               |   |                     |      |                     |                              |                       |          |          |   |   |   |   |   |   |   |  |   |   |

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RA-Ritu Ahuja

| Full Asset Name       |                                       | Type of Transaction |      |          | Date of Transaction | Date Modified of Transaction | Amount of Transaction |                     |                      |                       |                       |                         |                           |                            |                             |                   |                  | Transaction in a Spouse or Dependent Child Asset |
|-----------------------|---------------------------------------|---------------------|------|----------|---------------------|------------------------------|-----------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|---------------------------|----------------------------|-----------------------------|-------------------|------------------|--|
|                       | Provide full name, not ticker symbol. | Purchase            | Sale | Exchange | MM/DD/YY            | MM/DD/YY                     | A                     | B                   | C                    | D                     | E                     | F                       | G                         | H                          | I                           | J                 | K                |  |
| SP/DC/LT              |                                       |                     |      |          |                     |                              | \$1,001 - \$15,000    | \$15,000 - \$50,000 | \$50,000 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,001 | \$500,001 - \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Over \$1,000,000 |  |
| DC                    | MERCK & CO., INC. CMN                 | x                   |      |          | 09/26/17            | 10/04/17                     | x                     |                     |                      |                       |                       |                         |                           |                            |                             |                   |                  |  |
| DC                    | GENERAL MOTORS COMPANY CMN            |                     | x    |          | 09/26/17            | 10/04/17                     |                       | x                   |                      |                       |                       |                         |                           |                            |                             |                   |                  |  |
| RA %4 Trust           |                                       |                     |      |          |                     |                              |                       |                     |                      |                       |                       |                         |                           |                            |                             |                   |                  |  |
| SP                    | MERCK & CO., INC. CMN                 | x                   |      |          | 09/27/17            | 10/04/17                     | x                     |                     |                      |                       |                       |                         |                           |                            |                             |                   |                  |  |
| SP                    | FIRST DATA CORPORATION CMN CLASS A    | x                   |      |          | 09/27/17            | 10/04/17                     | x                     |                     |                      |                       |                       |                         |                           |                            |                             |                   |                  |  |
| SP                    | ENTEGIS, INC. CMN                     |                     | x    |          | 09/27/17            | 10/04/17                     | x                     |                     |                      |                       |                       |                         |                           |                            |                             |                   |                  |  |
| Grandchildren's Trust |                                       |                     |      |          |                     |                              |                       |                     |                      |                       |                       |                         |                           |                            |                             |                   |                  |  |
| DC                    | FIRST DATA CORPORATION CMN CLASS A    | x                   |      |          | 09/27/17            | 10/04/17                     | x                     |                     |                      |                       |                       |                         |                           |                            |                             |                   |                  |  |
| RA %4 Trust           |                                       |                     |      |          |                     |                              |                       |                     |                      |                       |                       |                         |                           |                            |                             |                   |                  |  |
| SP                    | ENTEGIS, INC. CMN                     |                     | x    |          | 09/28/17            | 10/04/17                     | x                     |                     |                      |                       |                       |                         |                           |                            |                             |                   |                  |  |
| SP                    | MERCK & CO., INC. CMN                 | x                   |      |          | 09/29/17            | 10/04/17                     | x                     |                     |                      |                       |                       |                         |                           |                            |                             |                   |                  |  |
| Grandchildren's Trust |                                       |                     |      |          |                     |                              |                       |                     |                      |                       |                       |                         |                           |                            |                             |                   |                  |  |
| DC                    | MERCK & CO., INC. CMN                 | x                   |      |          | 09/29/17            | 10/04/17                     | x                     |                     |                      |                       |                       |                         |                           |                            |                             |                   |                  |  |

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