Š N	eg	ependent child	<b>EXEMPTION</b> —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	<b>EXEMPTION</b> —Have you excluded f because they meet all three tests for
\$	√ <sub>8</sub> g	ot be	TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	TRUSTS—Details regarding "Qualifie disclosed. Have you excluded from the
S	QUESTION	OF THESE	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS	EXCLUSION OF SPOUSE
	esponse.	each "Yes" r	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response	Each question ir
₹  X	Yes	\$5,000 from	Child have any reporting period?  Yes No VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years?  It yes, complete and attach Schedule VI.	III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.
₹  X	Yes	rrangement	child receive "unearmed" y period or hold any at the end of the period?  Yes  No  No  No  H yes, complete and attach Schedule V.  If yes, complete and attach Schedule V.	II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.
<u>\$</u>	Yes M	before the date or two years?	income (e.g., salaries or the reporting period?  Yes No II V. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years?  If yes, complete and attach Schedule IV.	i. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.
			PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS	PRELIMINARY INFORMA
			arly in blue or black ink.	in all sections, please type or print clearly in blue or black ink
assessed who files	A <i>\$200 penalty</i> shall be assessed against any individual who files more than 30 days late.	A <i>\$200 penalty</i> shall be against any individual more than 30 days late	State: New York Date of 1)/6/2012 Check if Election: 1)/6/2012 Amendment	Filer Candidate for the House of Representatives  Status New officer or employee
CALINATION OF THE STRUKTINES	Office Ase Gund	(C		
LEGISLATIVE RESOURCE CENTER 2012 MAY 23 PH 12: 56	LEGISLATIVE 2012 HAY	3	B. MAFFEI Daytime Telephone	Name: DANIEL E
Page 1 of 4	MAN 15 2012 Pa	=======================================	FORM B  STATES HOUSE OF REPRESENTATIVES  FORM B  Sovered: January 1, 2011 - MAY 15, 2.612  For use by candidates and new employees	Period covered: January 1, 2011.

## SCHEDULÉ I — EARNED INCOME (INCLUDING HONORARIA)

Name DANIEL B. MAFFEI

Page 2 of 4

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income

exceeding \$1,000. See examples below. **Exclude:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Exclude: Military pay (such as National Guard of Heserve pay), lederal relief left programs, and	ment programs, and benefits to	benefits received under the Social Security Act.	becamy Act.
Source (include date of receipt for honoraria)	Type	Current Year to Filing	Preceding Year
XYZ Corporation, Houston, TX	Salary	\$6,300	\$28,450
ļ	Director's Fee	\$400	\$3,200
XYZ Trade Association, Chicago, IL (Rec'd December 2)	Honorarium	0	\$1,000
Harris County, Texas Public Schools	Spouse Salary	NA	NA
CARE ATLANTA GA	SPOUSE SALARY	NA	NA
	CONSULTANT FEES		\$ 25,000
MANIATT PHEIPS PHILLIPS I PLOS ANGELES, CA	SALARY	\$62,500	\$ 87,506
SUNY COLLEGE OF ENVIRONMENTAL SC. & TORBSTRY SALARY	SALARY	\$ 3,500	\$ 5,000
	-		
	·		

	<del></del>					
	Sylacuse Cooperation Forest Capit Union	DC, Examples: Simon & Schuster	SP, SP Mega Corp. Stock	that is not publicly traded, state the name of the business, the natura of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second knomes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left.  For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	account and its value at the end of the reporting period.  For rental or other real property held for investment, provide a complete address.  For an ownership interest in a privately-held business	Asset-and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the eaporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols).  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the
				None	>	#393 = 33593
				\$1 <u></u> \$1,000	8	indicate of repoi valuatio market method fi an as reportin conly be income."
	XX	Lij≅		\$1,001 - \$15,000	<u> </u>	ate od vortifior od vec."
	_			\$15,001 – \$50,000	0	BLOCK B  Value of Asset Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.  If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."
<b> </b>			×	\$50,001 - \$100,000	ш	BL. We see the value of the val
<b>-</b>		×	+	\$100,001 - \$250,000	<u></u>	BLOCK B  Je of As  We of assured as the of assured at the other  Je of assured as please  Je of assured as ar and is are ar and is ar and is ar and is ar and is are ar and is are are all ar and is ar and is ar and is are arranged.
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<b>I</b>	<del>-                                    </del>	1	+-1	\$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000		spert sperification
<b>-</b>		<b>+</b> +	1	\$5,000,001 - \$25,000,000	د	That is a sciff
	<del></del>	1	+-1	\$25,000,001 - \$50,000,000		the ed
	<del>-   </del>	1	+	Over \$50,000,000		6 = 4
		1	×	NONE	<del></del>	### # # # # # # # # # # # # # # # # #
				DIVIDENDS		Type Check all corretinement a allow you investments deferred incoplans or IRA "None" columest, and careinvested, as income. asset generatine reporting
		×		RENT		Ted sorred
				INTEREST		inc only p
<b></b>				CAPITAL GAINS		Type of in  Type of in  Type of in  Check all columns treatirement accounts allow you to che investments of that deferred income (si plans or IRAs), you i "None" column. Div est, and capital g reinvested, must i as income. Check asset generated no the reporting period.
				EXCEPTED/BLIND TRUST		BLOCK C  or of inc  or
		rioyanies	Povelties	Other Type of Income (Specify: e.g., Partnership Income or Farm In	come)	Type of Income  Type of Income  Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.
				None	_	
				\$1 – \$200	=	1
				\$201 – \$1,000	<u>₹</u>	
		1	×	\$1,001 – \$2,500	Current Year	in <b>Ca</b> be in For a to Fo
<b>1</b>		××	<b>&lt;</b>	\$2,501 - \$5,000	<b>T</b>	Amount of Income  For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.
		1	+	\$5,001 - \$15,000	ont Year	e e e
		1		\$15,001 - \$50,000	⋛∣≱	eme se si defei by by by by was
┡╼┼╶┼	_	1	-	\$50,001 - \$100,000	<u> </u>	And
<b></b>			+	\$100,001 - \$1,000,000	×	acc acc affic acc acc acc acc acc acc acc acc acc a
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	<del></del>	╅	×	\$1 - \$200 \$201 - \$1,000	ַ ב	COLUMN AND THE COLUMN
<b>-</b>	<del></del>	╂┊	+^	\$1,001 - \$2,500	Prec	me sed.
<b>}</b>	<del></del>	l×:	×	\$2,501 - \$5,000	Preceding Year	Amount of Income  Amount of Income  rement accounts that do not allow you se specific investments or that generadeferred income (such as 401(k) plans), you may check the "None" column. other assets, indicate the category of by checking the appropriate box Dividends, interest, and capital even if reinvested, must be disseven the capital as income. Check "None" if no was earned or generated.
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F	<del>-    -</del>	1	+	\$15,001 - \$50,000	ding Yea	w you gener- plans plumn lory of e box apital dis-
	<del></del>	1	$\dashv$	\$50,001 - \$100,000	<u>₹</u>	중 <b>약 22</b> 옷 및 크 중 푸 은
		1	+	\$100,001 - \$1,000,000	×	1
<u> </u>	·   · ·   -		+	\$1,000,001 - \$5,000,000	×	
	<del>-                                    </del>	1	+-	Over \$5,000,000	×	· L

## SCHEDULE III - LIABILITIES

Name DANIEL B. MAFFET

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owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000. ture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sib-Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount

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		9	Ö		DC, DC,
		SP U.S. DEPT. OF EDUCATION MAYZOON STUDENT LOAN	SP SALLIE MAE	Example:   First Bank of Wilmington, DE	Creditor
		MAY 2009	MNY 2009	May 1998	Date Liability Incurred mo/year
		STUVENT LOAN	MAY 2009 STUDENT LOAN	Mortgage on 123 Main Street, Dover, DE	Type of Liability
					\$10,001— \$15,000
		X	X		\$15,001— <b>w</b>
					\$50,001— \$100,000
				×	\$100,001— \$250,000 D
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					\$25,000,000 <b>T</b> \$25,000,001—_
					\$50,000,000 Over
					\$50,000,000

## SCHEDULE IV — POSITIONS

cer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an offi-

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary patters.

and positions solely of an nonorary nature.	ture.
Position	Name of Organization
VISITING INSTRUCTOR	SUNY COLLEGEDF ENVIRONMENTAL SCIENCE AND FOR ESTRY
BOARS OF ADVISORS MEMBER	BOARDOF ADVISORS MEMBER GLOBAL PANEL AMERICA
SENIUR ADVISOR	MANATT, PHELPS, PHILLIPS LLP
DISTINGUISHED SE FELLOW THIRD WAY	THIRD WAY