

POSTMARK ILLEGIBLE

UNITED STATES HOUSE OF REPRESENTATIVES
2015 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

LEGISLATIVE RESOURCE CENTER Page 1 of 12

2017 FEB -8 PM 1:59

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

PH

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

Name: ROBERT J. DOLD, JR.

Daytime Telephone: _____

FILER STATUS

☐

Member of or Candidate for U.S. House of Representatives

State: _____ District: _____

☐

Amendment

Officer or Employee

☒

Employing Office: _____

REPORT TYPE

☐

2015 Annual (Due: May 16, 2016)

☐

Termination

☒

Date of Termination: 01/3/2017

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "Yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **ROBERT J. DOLD, JR.**

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use only ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting threshold. For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts. For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left. For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.		Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting period and is included only because it generated income, the value should be "None." *Column M is for assets held by your spouse or dependent child in which you have no interest.													Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.							For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated. *Column XII is for assets held by your spouse or dependent child in which you have no interest.												Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period. If only a portion of an asset was sold, please indicate as follows: (S (part)). Leave this column blank if there are no transactions that exceeded \$1,000.	
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with income over \$1,000,000*	
SP, DC, JT	Examples: Mega Corp. Stock																																		
	Simon & Schuster																																		
	ABC Hedge Fund																																		
	SEE ATTACHED																																		

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Use additional sheets if more space is required.

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Use additional sheets if more space is required.

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Amount

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: ROBERT J. DOLD, JR.

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
	Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
JT	NORTHSHORE COMMUNITY BANK	12/2013	MORTGAGE ON 414 FRONTAGE RD.					X						
	SALLIE MAE	1999	STUDENT LOAN	X										
SP	TSP	2/2012	LOAN		X									
JT	NORTHSHORE COMMUNITY BANK	12/2013	MORTGAGE ON 500 PARK DR						X					
SP	CHASE MASTERCARD	4/2015	CREDIT CARD	X										

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations), and positions solely of an honorary nature.

Position	Name of Organization
MANAGING MEMBER	ROSE PEST SOLUTIONS, LLC D/B/A IMPERIAL PEST MANAGEMENT
PRESIDENT	ROSE EXTERMINATOR COMPANY
BOARD MEMBER	BOY SCOUTS OF AMERICA, NE ILLINOIS COUNCIL
BOARD MEMBER	BOYS AND GIRLS CLUB OF LAKE COUNTY

SCHEDULE F – AGREEMENTS

Name: **ROBERT J. DOLD, JR.**

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
1/15	ROSE EXTERMINATOR COMPANY INC	CONTINUED PARTICIPATION IN ROSE EXTERMINATOR COMPANY 401(K)

SCHEDULE G – GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$375 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$150 or less need not be added towards the \$375 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	\$400
N/A		

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EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

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List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

[illegible]

**FILER NOTES
(Optional)**

Name: ROBERT J. DOLD, JR.

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Use additional sheets if more space is required.

Owner	Asset Name	Value of Asset	Income Type	Income	T > \$1000
	20% of the outstanding units of Fountainhead Partners, LLC - rental property	\$15,001-\$50,000	Rent	\$2,501 - \$5,000	
	2711 Douglas Ave Racine, WI	\$50,001-\$100,000	Rent	\$1-\$200	
	30% of all outstanding stock of Rose Exterminator Co., Inc - Pest Management Company located in Northfield, IL	\$1,000,001-\$5,000,000	Dividends	None	
	414 Frontage Road Northfield, IL	\$500,001-\$1,000,000	Rent	\$50,001-\$100,000	
	All outstanding units of Rose Pest Solutions, LLC D/B/A Imperial Pest Management - a pest management company located in the Racine, WI area	\$100,001-\$250,000	Net income from the activity	None	
	American International Group Inc (AIG)	\$1001-\$15,000	Dividends	\$1-\$200	
	Ameritrade IRA Rollover - Insured Deposit Account	\$1001-\$15,000	Interest	\$1-\$200	
	BP p.l.c (BP)	\$1001-\$15,000	Dividends	\$201-\$1000	
	Charter Communications Inc	\$15,001-\$50,000	Dividends	\$201-\$1000	
	Cisco Systems, Inc. (CSCO)	\$1001-\$15,000	Dividends	\$1-\$200	
	IRA - Entergy Arkansas, INC \$4.72 Preferred Stock (EGRKI)	\$1001-\$15,000	Dividends	\$201-\$1000	
	J.M. Smucker Company (SJM)	\$1001-\$15,000	Dividends	\$1-\$200	
	Kellogg Company (K)	\$1001-\$15,000	Dividends	\$1-\$200	
	McDonald's (MCD)	\$1001-\$15,000	Dividends	\$201-\$1000	
	Merrill Lynch IRA Rollover - Dodge & Cox International Fund (Nasdaq DODFX)	\$1,001-\$15,000	Dividends	\$201-\$1000	
	Merrill Lynch IRA Rollover - Fundamental Investors Class A (Nasdaq ANCFX)	\$1,001-\$15,000	Dividends	\$201-\$1000	
	Merrill Lynch IRA Rollover - Growth Fund of America Class C (Nasdaq GFACX)	\$1,001-\$15,000	Dividends	\$201-\$1000	
	Merrill Lynch IRA Rollover - Growth Fund of America Class F1 (Nasdaq GFAFX)	\$15,001-\$50,000	Dividends	\$1001-\$2500	
	Merrill Lynch IRA Rollover - Keeley Small Cap Value Fund Class A (Nasdaq KSCVX)	\$0	Dividends	\$1001-\$2500	
	Merrill Lynch IRA Rollover - Money Market	\$1-\$1000	Dividends	\$1-\$200	

Owner	Asset Name	Value of Asset	Income Type	Income	T > \$1000
	Merrill Lynch IRA Rollover - Ishares Russell 2000 Index ETF (IWM)	\$15,001-\$50,000	Dividends	\$1001-\$2500	
	Procter & Gable Company (PG)	\$100,001-\$250,000	Dividends	\$2501-\$5000	
	Rose Extreminator Company, Inc 401(k) plan - T Rowe Price Strategy Fund (TRSGX)	\$15,001-\$50,000	Dividends	\$1001-\$2500	
	SunAmerica Focused Dividend Strategy A (FDSAX)	\$1001-\$15,000	Dividends	\$1-\$200	
	TD Ameritrade Holding Corporation (AMTD)	\$1001-\$15,000	Dividends	\$1-\$200	
	Time Inc. (TIME)	\$1001-\$15,000	Dividends	\$1-\$200	
	Time Warner Cable Inc (TWC)	\$0	Dividends	\$1-\$200	
	Time Warner Inc (TWX)	\$50,001-\$100,000	Dividends	\$1001-\$2500	
S	Traditional IRA - Janus Global Select Fund D	\$1001-\$15,000	Dividends	\$1-\$200	
DC	Walt Disney Company (DIS)	\$1001-\$15,000	Dividends	\$1-\$200	
	Winttrust Financial Corporation (WTFc)	\$1001-\$15,000	Dividends	\$1-\$200	