



Filing ID #10012033

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: James Zumwalt
Status: Congressional Candidate
State/District: FL01

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2016
Filing Date: 06/15/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Adobe 401K ⇒ Vanguard Total Bond Market II Index fund	SP	\$1,001 - \$15,000	Tax-Deferred		
Adobe 401K ⇒ Vanguard Total International Bond Index Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
Adobe 401K ⇒ Vanguard Total International Stock Index Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
Adobe 401K ⇒ Vanguard Total Stock Market Fund	SP	\$15,001 - \$50,000	Tax-Deferred		
Adobe Systems Incorporated (ADBE)	SP	\$50,001 - \$100,000	None		
Alphabet Inc. - Class A (GOOGL)	SP	\$15,001 - \$50,000	None		
Alphabet Inc. - Class C Capital Stock (GOOG)	SP	\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Artisan International Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Artisan Small Cap Fund		\$1,001 - \$15,000	None		
ASG Global Alternatives Fund		\$1,001 - \$15,000	None		
Bank of America Checking	SP	\$1,001 - \$15,000	None		
Bank of America Savings account	SP	\$1,001 - \$15,000	None		
Blackrock Global Long/Short		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Boston Partners Long/Short Research		\$1,001 - \$15,000	None		
Capital Income Builder		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
CAS-INC 401(K) ⇒ Princepal Life Term 2045		\$1,001 - \$15,000	Tax-Deferred		
CAS-INC 401(K) ⇒ Principal Life Term 2040		\$1,001 - \$15,000	Tax-Deferred		
CAS-INC 401(K) ⇒ T. Rowe Price Cap Appr Fund		\$15,001 - \$50,000	Tax-Deferred		
Credit Suisse Commodity Return		\$1,001 - \$15,000	None		
Dodge & Cox International Stock Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Google 401K ⇒ Vanguard Total Bond Market II Index Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
Google 401K ⇒ Vanguard Total International Stock Index Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
Google 401K ⇒ Vanguard Total Stock Market Index Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
Growth Fund of America		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Harbor Capital Appr Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Meltwater Group 401K ⇒ MFS Lifetime 2050 Fund Class R2	SP	\$1,001 - \$15,000	Tax-Deferred		
MFS Value Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Morgan Stanley Institutional fund		\$1,001 - \$15,000	None		
MSIF Mid Cap Growth Port		\$1,001 - \$15,000	None		
New Perspective Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Oppenheimer Developing Markets Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
SPDR DJ Wilshire International Real Estate		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Sterling Capital Stratton Small Cap		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Stone Harbor Local Market Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Templeton Emerging Markets		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Touchstone Mid Cap Value Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
USAA Checking	SP	\$1,001 - \$15,000	None		
USAA checking account	JT	\$1,001 - \$15,000	None		
Vanguard REIT VIPER		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Washington Mutual Investors		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Wells Fargo CD		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Wells Fargo Checking account		\$1,001 - \$15,000	None		
Wells Fargo Crown Banking		\$1,001 - \$15,000	None		

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Adobe Systems Federal LLC	Spouse Salary	N/A	N/A
Adobe Systems Incorporated	Spouse Salary	N/A	N/A
U.S. House of Representatives	Salary	\$6,416.00	\$77,000.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	PNC Bank	June, 2016	Auto Loan	\$15,001 - \$50,000
JT	Wells Fargo Mortgage	March, 2016	Home Mortgage	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Adobe 401K (Owner: SP)
- CAS-INC 401(K)
- Google 401K (Owner: SP)
- Meltwater Group 401K (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: James Zumwalt , 06/15/2016