

# UNITED STATES HOUSE OF REPRESENTATIVES 2016 FINANCIAL DISCLOSURE STATEMENT

Form A  
For Use by Members, Officers, and Employees

**HAND DELIVERED** Page 1 of 12  
LEGISLATIVE COUNCIL  
(OFFICE USE ONLY) PM 2:30

Name: Richard M. Nolan Daytime Telephone: \_\_\_\_\_

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

|              |   |  |                                      |                            |   |
|--------------|---|--|--------------------------------------|----------------------------|---|
| FILER STATUS | <input checked="" type="checkbox"/> Member of the U.S. House of Representatives | State: <u>MN</u><br>District: <u>8</u> | Officer or Employee                  | Employing Office: _____    | Staff Filer Type: (If Applicable)<br>Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/> |
| REPORT TYPE  | <input type="checkbox"/> 2016 Annual (Due: May 15, 2017)                        | <input type="checkbox"/> Amendment     | <input type="checkbox"/> Termination | Date of Termination: _____ |   |

## PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

|   |   |   |   |
|---|---|---|---|
| A. Did you, your spouse, or your dependent child:<br>a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u><br>b. Receive more than \$200 in unearned income from any reportable asset during the reporting period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?                       | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period?                             | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"   |   |

## IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

|   |   |
|---|---|
| IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?                       | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

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**Use additional sheets if more space is required.**

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Richard M. Nolan

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| BLOCK A<br>Assets and/or Income Sources |   | BLOCK B<br>Value of Asset |   |   |   |   |   |   |   |   |   |   |   |   | BLOCK C<br>Type of Income |           |      |          |               |                      |              | BLOCK D<br>Amount of Income  |   |    |     |    |   |    |     |      |    |   | BLOCK E<br>Transaction |                     |
|---|---|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|---|----|-----|----|---|----|-----|------|----|---|------------------------|---------------------|
| SP, DC, JT                              | ASSET NAME                                    | A                         | B | C | D | E | F | G | H | I | J | K | L | M | NONE                      | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI                     | P, S, S(part), or E |
|   | None  |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$1-\$1,000                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$1,001-\$15,000                              |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$15,001-\$50,000                             |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$50,001-\$100,000                            |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$100,001-\$250,000                           |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$250,001-\$500,000                           |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$500,001-\$1,000,000                         |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$1,000,001-\$5,000,000                       |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$5,000,001-\$25,000,000                      |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$25,000,001-\$50,000,000                     |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | Over \$50,000,000                             |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | Spouse/DC Asset over \$1,000,000*             |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | None  |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$1-\$200                                     |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$201-\$1,000                                 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$1,001-\$2,500                               |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$2,501-\$5,000                               |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$5,001-\$15,000                              |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$15,001-\$50,000                             |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$50,001-\$100,000                            |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$100,001-\$1,000,000                         |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | \$1,000,001-\$5,000,000                       |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | Over \$5,000,000                              |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |
|   | Spouse/DC Asset with Income over \$1,000,000* |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |

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# SCHEDULE D - LIABILITIES

Name: Richard M. Nolan

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

| SP,<br>DC, JT | Creditor                     | Date<br>Liability<br>Incurred<br>MO/YR | Type of Liability                      | Amount of Liability        |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |
|---------------|------------------------------|--|--|----------------------------|----------------------------|-----------------------------|------------------------------|------------------------------|--------------------------------|----------------------------------|-----------------------------------|------------------------------------|------------------------|---|
|               |                              |  |  | A<br>\$10,001-<br>\$15,000 | B<br>\$15,001-<br>\$50,000 | C<br>\$50,001-<br>\$100,000 | D<br>\$100,001-<br>\$250,000 | E<br>\$250,001-<br>\$500,000 | F<br>\$500,001-<br>\$1,000,000 | G<br>\$1,000,001-<br>\$5,000,000 | H<br>\$5,000,001-<br>\$25,000,000 | I<br>\$25,000,001-<br>\$50,000,000 | J<br>Over \$50,000,000 | K<br>Over \$1,000,000*<br>(Spouse/DC Liability) |
| Example       | First Bank of Wilmington, DE | 5/98                                   | Mortgage on Rental Property, Dover, DE |                            |                            |                             | X                            |                              |                                |                                  |                                   |                                    |                        |   |
|               | <u>None</u>                  |  |  |                            |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |
|               |                              |  |  |                            |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |
|               |                              |  |  |                            |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |
|               |                              |  |  |                            |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |
|               |                              |  |  |                            |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |
|               |                              |  |  |                            |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |
|               |                              |  |  |                            |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |
|               |                              |  |  |                            |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |

# SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations) and positions solely of an honorary nature.

| Position    | Name of Organization |
|-------------|----------------------|
| <u>None</u> |                      |
|             |                      |
|             |                      |
|             |                      |
|             |                      |
|             |                      |
|             |                      |
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# SCHEDULE F - AGREEMENTS

Name: Richard M. Nolan

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date      | Parties to Agreement              | Terms of Agreement                      |
|-----------|-----------------------------------|---|
| 11/1/2000 | myself and the State of Minnesota | Continued participation in Pension Plan |
|           |                                   |   |
|           |                                   |   |
|           |                                   |   |
|           |                                   |   |
|           |                                   |   |
|           |                                   |   |
|           |                                   |   |

# SCHEDULE G - GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$375 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$150 or less need not be added towards the \$375 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

| Source                                   | Description  | Value |
|--|--|-------|
| Example: Mr. Joseph Smith, Arlington, VA | Silver Platter (determination of personal friendship received from the Ethics Committee) | \$400 |
| none                                     |  |       |
|  |  |       |
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|  |  |       |
|  |  |       |
|  |  |       |
|  |  |       |

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**EXCLUDE.** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

**Use additional sheets if more space is required.**



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**Use additional sheets if more space is required.**