

LEGISLATIVE RESOURCE CENTER

UNITED STATES HOUSE OF REPRESENTATIVES

2013 FINANCIAL DISCLOSURE STATEMENT

2014 MAY 15 AM 11: 49

For Use by Members, Officers, and Employees

U.S. HOUSE OF REPRESENTATIVES

Name: Joseph P. Kennedy III U.S. House of Representatives 2013 Annual (Due: May 15, 2014) Member of or Candidate for District State Š Daytime Telephone: Amendment 202-225-5931 Employee Officer or **Employing Office** Termination Date: (Office Use Only)

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

REPORT TYPE

FILER STATUS

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YOU ANSWER "YES"	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	Yes X No	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?
Yes No X	 Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period? 	Yes X No	D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?
Yes No X	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	Yes X No	C. Did you or your spouse have "earned" income (e.g., salanes, honorana, or pension/IRA distributions) of \$200 or more during the reporting period?
Yes No X	Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?	Yes X No	B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?
Yes No X	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes X No	A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? Of b. Make more than \$200 in unearned income from any reportable asset during the reporting period?

IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer 'yes' unless you have first consulted with the Committee on Ethics. IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offening during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance. TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? **₹** Yes **406** 8 중 Š × × ×

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	Name Joseph P. Kennedy III		
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SCHEDULE A - ASSETS & "UNEARNED INCOME

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Name:Joseph P. Kennedy III	
Page 8 of 30	

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SCHEDULE A - ASSETS & "UNEARNED INC

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Joseph P. Kennedy III Page 10 of 30

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## SCHEDULE A - ASSETS

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SCHEDULE A – ASSET	ASSETS & "UNEARNED INCOME"	ARNED IT	ICOME"		Name:Joseph	seph P	~	ennec	Kennedy III	II	III	II
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#### SCHEDULE B - TRANSACTIONS

Name: Joseph P. Kennedy III	
Page 24 of 30	

Volkswagon AG ADR (Page 8 ,Item 13)	nc. (Page	Novartis AG ADR (Page 7, Item 16)	Morgan Stanley Com (Page7, Item 15)	Morgan Stanley Com (Page 7, Item 15 )	emi Conductor	Inc (Page	It	Waste Mgmt Inc. Del Com (Page 5, Item 15)	Vodaphone Group PLC ADR (Page 5, Item 14)		rging Markets	rp. Com (Page 5,Ite	AG ADR (Page	AG ADR (Page 1	. [tem	0	Biogen INC (Page 4, Item 1)	Abbyie INC (Page 3, Item 12)	SP Example Mega-Corp. Stock	se, bc, at Asset	Ecidade transacions between your por spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated ental income. It only a portion of an essel is sold, please choose 'panet sale" as the type of transaction. Capital Galier II a sales transaction resulted in a capital gain in excess of \$200, check the 'capital gains' box, unless it was an asset in a tandeferred account, and disclose the capital gain income on Schedule A.  *Column K is for assets solely hold by your spouse or dependent child	reporting period of any security or real property their by you, your shouse, or your dependent child for investment or the production of income, include the statebons that dependent child for investment or the production of income, include the statebons that	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the
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#### SCHEDULE B - TRANSACTIONS

Name: Joseph P. Kennedy III Page 25 of 30

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dependent child for anyestment or the production of ancome include transactions that insulfied in a capital loss. Provide a brief description of an exchange transaction.							>	<b>3</b> 2	°.	۵	n	``	6	I,	**	i.	*
cacume centenations between you, you appose, or committee concern, or an purchase or sale of your personal residence, whesis is generated establishment. If only a portion of an assot is sold, please choose "purish sale" as the type of transaction.			31		}`	WOOMFIRE Guarante		••••••••								00	
Capital Gains. If a sales transaction resulted in a capital data in excess of \$200, check the "capital gains" box unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.	<del></del>		sal Sale of		ax Box & Co ended \$200	application	-191 000,	501- 500	901- 0.000	0.001- 0.000	0,001- 0,000	0,001- 100,000	00.001	00.991- 906.500	000,001 000,000	* \$50 000 0	r \$1,000,00 NA DOMENIA
* Column K is for assets solely held by your spouse or dependent child.		\$# \$#	- 1		ÇA E×		\$1, \$1	\$15 3%	\$2.00°				\$5	\$2.	\$60	Qve	
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Cyprus Semi Conductor Corp (Page 12, Item 4)	×		C THE		L2	12/30/13	* /	×									
i 25		, , , , , ,				9/11/13	,	×								-	
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Morgan Stanley (Page 15, Item 15)	<b>X</b> .		¥.			12/30/13		×							· ·	<b></b>	
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18, Item 6)		×				9/11/13	×										
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Volkswagon AG ADR (Page 19, Item 4)	-><	7,1	\$ 3 3.8			9/11/13	×							_			
Cyprus Semi Conductor Corp (Page 21 .item 1)		×				12/30/13	×					<u></u>		_			
Microchip Technology INC (Page 22, Item 2 )	<b>×</b>					12/30/13		×	3		2.5				~~		Ì
Morgan Stanley (Page 22, Item 5)	×	37				9/11/13		×					13				
Morgan Stanley (Page 22, Item 5 ) X						12/30/13	×										
Novartis AG ADR (Page 22, Item 15)	-	×		×		9/11/13	·	×									
Volkswagon AG ADR (Page 23, Item 1)	×					9/11/13		×					Ĺ				L

#### SCHEDULE C - EARNED INCOME

Name: Joseph P. Kennedy III Page 26 of 30

EXCLUDE: Miliary pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act. List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Source (include date of receipt for honoraria)	Туре	Amount
Keene Suria	Approved Yeaching Fee	36,000
Evannies: State of Mendand	Legislative Pension	000 618
Civil Way Round and County Board of Education	Spouse Select	7/W 000 15
		ı
National Partnership For Women And Families , Washington DC	Spouse Salary	N/ A
Boston Medical Center , Boston , Ma	Spouse Consulting	\$31,493

#### SCHEDULE D - LIABILITIES

Name: Joseph P. Kennedy III Page 27 of 30

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude. Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances, liabilities of a business in which you own an interest (unless you are personally liable), and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000, "Column X is for liabilities held solely by your spouse or dependent child.

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	<u> </u>	Sallie Mae , Wilkes Barre, PA	Mells Fargo Bank , N.A.	Wells Fargo Bank , N.A.	Example: First Bank of Wilmongton, DE	Creditor		
9/2006	9/2006	11/2006	07/2013	04/2013	5/98	Date Liability Incurred MO/YR		
Student Loan	Student Loan	Student Loan	Mortgage On Personal Residence .NA	Mortqage On Personal Residence, DC	Morigage on Rental Property, Dover, DE	Type of Liability		
						\$10,001- \$15,000	>	
×		×	,			\$15,001- \$50,000	æ	
	×					\$50,001- \$100,000	ů.	
					*	\$100,001- \$250,000	D	»
			×			\$250,001- \$500,000	स्ट	Amount of Liability
***************************************				×		\$500,001- \$1,000,000	*>	t of Li
	,					\$1,000,001- \$5,000,000	6	ability
- Allen Goldenson						\$5,000,001- \$25,000,000	I	
						\$25,000,001- \$50,000,000	**	
						Over \$50,000,000	š.,	
						Over \$1,000,000* (Spouse/DC Liability)	*	

#### SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, hold during the current or prior calendar year as an officer, director, trustee of an organization, partner, propretor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions

listed in Schedule C; positions held in any religious, social, fr.	listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.
Position	Name of Organization
Member Board Of Directors	Robert F. Kennedy Memorial
Director	Robert F. Kennedy Children's Action Corps.
Member Board Of Directors	Sooner Foundation

#### SCHEDULE F - AGREEMENTS

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continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee well	Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absen		
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Date	Parties to Agreement	Terms of Agreement
	NONE	

#### SCHEDULE G - GIFTS

Report the source (including name, city, and state), a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

	Source	Description	Value
Example: Nr. Jo	Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	\$400
NONE	Ħ		

# SCHEDULE H - TRAVEL PAYMENTS and REIMBURSEMENTS

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Privately-sponsored travel approved by the Ethics Committee, if post-travel disclosure was filed with the Clerk; travel-related expenses provided by tederal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Foderal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

*						
Source	nce	Date(8)	City of Departure — Destination —— City of Return	Lodging? (YAI)	Food? (YAI)	Family Member Included? (YW)
Generation of Chee (MECEA)		Aug. 6-11	DC-Belled Belleding	4	*	z
Coampea. Habita for Humancy (charty fordrate)	State (	W. 34	05-Bester-05	4	*	waa ah amin'ny dia amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fisiana amin'ny fi
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# SCHEDULE I – PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: Joseph P. Kennedy III Page 30 of 30

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honoranum to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.	or of an event to a charitable organi	zation in lieu of paying en	honorarium to you. A
Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, OC XYZ Magazine	Speech Article	Feb. 2, 2013 Aug. 13, 2013	\$2,000 \$500
NONE			