



Filing ID #20012023

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Carolyn B. Maloney**Status:** Member**State/District:** NY12

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	EAST QUOGUE NY UN FREE S/D SUFFOLK CNTY G/O LTD B/E ST AID WITHHLDG [GS]	P	06/13/2019	07/16/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: EAST QUOGUE NY UN FREE S/D SUFFOLK CNTY G/O LTD B/E ST AID WITHHLDG, COUPON 3.00%, DUE 06-15-2035, CUSIP 274667CXo					
2000036174	NEW YORK NY BDS 09 SUBSR B1 G/O UNLTD PREREFUNDED B/E [GS]	P	01/24/2018	02/14/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: Amended DESCRIPTION: EXCHANGE IN - NEW YORK NY BDS 09 SUBSR B1 G/O UNLTD PREREFUNDED B/E, COUPON 5.25%. DUE 09-01-2024, CUSIP 64966MUN7					
2000036173	NEW YORK NY BDS 09 SUBSR B1 G/O UNLTD UNREFUNDED BAL B/E [GS]	P	01/24/2018	02/14/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: Amended DESCRIPTION: EXCHANGE IN - NEW YORK NY BDS 09 SUBSR B1 G/O UNLTD UNREFUNDED BAL B/E, COUPON 5.25%, DUE 09-01-2024, CUSIP 64966MUS6					
2000036172	NEW YORK NY BDS 09 SUBSR J1 G/O UNLTD PREREFUNDED B/E [GS]	P	01/24/2018	02/14/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: Amended DESCRIPTION: EXCHANGE IN - NEW YORK NY BDS 09 SUBSR J1 G/O UNLTD PREREFUNDED B/E, COUPON 5.00%. DUE 05-15-2029, CUSIP 64966MVLo					
2000037971	NEW YORK NY CITY MUN WTR FIN AUTH WTR & SWR	P	03/27/2018	04/12/2018	\$15,001 - \$50,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SYS REV PREF SER DD B/E OID [GS]  FILING STATUS: Amended DESCRIPTION: EXCHANGE IN - NEW YORK NY CITY MUN WTR FIN AUTH WTR & SWR SYS REV PREF SER DD B/E OID, COUPON 4.50%, DUE 06/15/2038, CUSIP 64972GQM9					
2000037972	NEW YORK NY CITY MUN WTR FIN AUTH WTR & SWR SYS REV SER DD B/E OID [GS]  FILING STATUS: Amended DESCRIPTION: EXCHANGE OUT - NEW YORK, NY CITY MUN WTR FIN AUTH WTR & SWR SYS REV SER DD B/E OID, COUPON 4.50%, DUE 06/15/2038, CUSIP 64972FUD6	S	03/27/2018	04/12/2018	\$50,001 - \$100,000	<input type="checkbox"/>
2000037973	NEW YORK NY CITY MUN WTR FIN AUTH WTR & SWR SYS REV SER DD B/E OID [GS]  FILING STATUS: Amended DESCRIPTION: EXCHANGE OUT - NEW YORK NY CITY MUN WTR FIN AUTH WTR & SWR SYS REV SER DD B/E OID, COUPON 4.50%, DUE 06/15/2038, CUSIP 64972FUD6	S	03/27/2018	04/12/2018	\$15,001 - \$50,000	<input type="checkbox"/>
2000037970	NEW YORK NY CITY MUN WTR FIN AUTH WTR & SWR SYS REV UNREF DD B/E OID [GS]  FILING STATUS: Amended DESCRIPTION: EXCHANGE IN - NEW YORK NY CITY MUN WTR FIN AUTH WTR & SWR SYS REV UNREF DD B/E OID, COUPON 4.50%, DUE 06/15/2038, CUSIP 64972GQQ0	P	03/27/2018	04/12/2018	\$50,001 - \$100,000	<input type="checkbox"/>
2000042387	NEW YORK NY FISCAL 2009 SUBSER A 1 G/O UNLTD B\E OID [GS]  FILING STATUS: Amended DESCRIPTION: EXCHANGE OUT - NEW YORK NY FISCAL 2009 SUB SER A-1 G/O UNLTD B\E OID, COUPON 4.75%, DUE 08-15-25, CUSIP 64966G7J5	S	08/27/2018	09/14/2018	\$50,001 - \$100,000	<input type="checkbox"/>
2000042386	NEW YORK NY FISCAL 20XXX PARTIAL CALL 64966G-7J-5 OID [GS]  FILING STATUS: Amended DESCRIPTION: EXCHANGE IN - NEW YORK NY FISCAL 20XXX PARTIAL CALL 64966G-7J-5 OID, COUPON 4.75%, DUE 09-21-18, CUSIP 649661915	P	08/27/2018	09/14/2018	\$100,001 - \$250,000	<input type="checkbox"/>
2000036178	NEW YORK NY FISCAL SER B SUB B-1 G/O UNLTD B/E [GS]  FILING STATUS: Amended	S	01/24/2018	02/14/2018	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	DESCRIPTION: EXCHANGE OUT - NEW YORK NY FISCAL SER B SUB B-1 G/O UNLTD B/E, COUPON 5.25%, DUE 09-01-2024, CUSIP 64966HBC3					
2000036177	NEW YORK NY FISCAL SER B SUB B-1 G/O UNLTD B\E [GS]	S	01/24/2018	02/14/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: Amended DESCRIPTION: EXCHANGE OUT - NEW YORK NY FISCAL SER B SUB B-1 G/O UNLTD B\E, COUPON 5.250%, DUE 09-01-2024, CUSIP 64966HBC3					
2000036171	NEW YORK NY PREREFUNDED FISCAL 2009 SUBSER F-1 G/O UNLTD OID [GS]	P	01/18/2018	02/14/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: Amended DESCRIPTION: EXCHANGE IN - NEW YORK NY PREREFUNDED FISCAL 2009 SUBSER F-1 G/O UNLTD OID, COUPON 5.375%, DUE 11-15-2025, CUSIP 64966MPV5					
2000036176	NEW YORK NY SUBSER J 1 G/O UNLTD B\E [GS]	S	01/24/2018	02/14/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: Amended DESCRIPTION: EXCHANGE OUT - NEW YORK NY SUBSER J 1 G/O UNLTD B\E, COUPON 5.00%, DUE 05-15-2029, CUSIP 64966HLE8					
2000036175	NEW YORK NY UNREF BAL FISCAL 2009 SUBSER F-1 G/O UNLTD OID [GS]	S	01/18/2018	02/14/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: Amended DESCRIPTION: EXCHANGE OUT - NEW YORK NY UNREF BAL FISCAL 2009 SUBSER F-1 G/O UNLTD OID, COUPON 5.375%, DUE 11-15-2025, CUSIP 64966MPW3					
	SARATOGA SPRINGS NY PUB IMPT SERIAL BDS 2019 G/O LTD B/E B/Q [GS]	P	06/06/2019	07/16/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: SARATOGA SPRINGS NY PUB IMPT SERIAL BDS 2019 G/O LTD B/E B/Q, COUPON 2.75%, DUE 06-15-2036, CUSIP 803531ZM7					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Carolyn B. Maloney , 08/14/2019