	late.		Termination	(Amendment	☐ Annual (May 15) (☑)Amendment	Туре
	anyone who mes	Termination Date:	Tel	>		
	be assessed against		Employee	atives District: 26	House of Representatives	Status
Q _Z	A \$200 penalty shall	Employing Office:	Officer Or	State: NY	✓ Member of the U.S.	Filer
•	(Office Use Only)	(Daytime Telephone)		(Full Name)	(F.	
ズ		716-634-2324		Christopher John Lee	Christop	
:	2010 JAN -4 ANTH: 33					
	Sign Marie al Solice State of the	For use by Members, officers, and employees		DISCLOSURE STATE	CALENDAR YEAR 2008 FINANCIAL DISCLOSURE STATEMENT	CALENDAR
2	TABLE BELLEVI	FORM A Page 1 of 60		OF REPRESENT	UNITED STATES HOUSE OF REPRESENTATIVES	UNITED
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Exemptions Have you excluded from this report any or because they meet all three tests for exercised Conduct	Trusts Details regarding "Qualified Blind Trusts trusts" need not be disclosed. Have you child?	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS	If yes, complete and attach Schedule V.	Did you, your spouse, or a dependent child have any reportable liability V. (more than \$10,000) during the reporting period?	If yes, complete and attach Schedule IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any IV. reportable asset in a transaction exceeding \$1,000 during the reporting	If yes, complete and attach Schedule III.	Did you, your spouse, or a dependent child receive "unearned" income of III. more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the neriod?	If yes, complete and attach Schedule II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	If yes, complete and attach Schedule I.	Did you or your spouse have "earned" Income (e.g., salaries or fees) of \$200 i. or more from any source in the reporting period?	PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS
Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conditrusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting child?	R TRUST INFORMATION ANSWER		bility Yes No ✓ Each question in this	If yes, complete and attach	Yes V No IX.	If yes, complete and attach	Yes 🗸 No 📑 VIII.	if yes, complete and attach	Yes No V VII.	exempt)? If yes, complete and attach Schedule VI	Yes No 🗸 VI.	EACH OF THESE QUESTIONS
ilities of a spouse or dependent child on Yes No 🗸	Conduct and certain other "excepted Yes No	EACH OF THESE QUESTIONS	_	ithis part must be answered and the appropriate	Schedule IX.	Did you have any reportable agreement or arrangement with an outside Yes	attach Schedule VIII.	Did you hold any reportable positions on or before the date of filing in the Yes	attach Schedule VII.	pendent child receive any reportable travel in the reporting period (worth more than		endent child receive any reportable gift in egating more than \$335 and not otherwise	
8 ▼	8		 	priate		Yes No		€		Yes No		Yes No 🗸	

Name Christopher John Lee

Page 2 of 60

DAVIS NEW YORK VENTURE	THORNBURG INTERMED MUNI	FIDELITY SHORT INTERM MUNI	FIDELITY INTERMEDIATE MUNI	FIDELITY MONEY MARKET	Individual Account (1):	If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.	Asset and/or investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.
None	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$1,000,001 - \$5,000,000				Year-End Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."
DIVIDENDS	INTEREST	INTEREST	INTEREST/CAPI TAL GAINS	INTEREST/CAPI TAL GAINS				Type of Income Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.
\$1 - \$200	\$201 - \$1,000	\$201 - \$1,000	\$201 - \$1,000	\$15,001 - \$50,000				Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.
Ø	<u>י</u>	ס	יסי					Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

SCHEDULE III - ASSETS AND "UNEARNED" INCOME Name Christopher John Lee Page 3 of 60

OPPENHEIMER STRATEGIC	MORGAN STANLEY INS US	MASTERS SELECT INTERNATL	LAZARD EMERGING MKTS	LOOMIS SAYLES BOND FUND	DWS DREMAN S/C VALUE	JANUS PERKINS MID CAP VL	ISHARES COHEN & STEERS RLTY	FIDELITY SPART US EQTY	FIDELITY REAL ESTATE	FIDELITY LEVERAGED CO STOCK	FIDELITY ADV NEW INSIGHTS	EVERGREEN INTRINSIC VALUE	COLUMBIA ACORN INTL	
None	None	None	None	None	None	None	None	None	None	None	None	None	None	
DIVIDENDS	DIVIDENDS/CAPI TAL GAINS	DIVIDENDS/CAPI TAL GAINS	DIVIDENDS/CAPI TAL GAINS	DIVIDENDS	DIVIDENDS/CAPI TAL GAINS	DIVIDENDS/CAPI TAL GAINS	DIVIDENDS/CAPI TAL GAINS	DIVIDENDS/CAPI TAL GAINS	DIVIDENDS/CAPI TAL GAINS	DIVIDENDS/CAPI TAL GAINS	DIVIDENDS/CAPI TAL GAINS	DIVIDENDS/CAPI TAL GAINS	DIVIDENDS/CAPI \$201 - \$1,000 TAL GAINS	
\$201 - \$1,000	DIVIDENDS/CAPI \$5,001 - \$15,000 TAL GAINS	\$2,501 - \$5,000	\$1,001 - \$2,500	\$5,001 - \$15,000	\$201 - \$1,000	\$201 - \$1,000	\$1,001 - \$2,500	\$1,001 - \$2,500	\$201 - \$1,000	\$201 - \$1,000	\$201 - \$1,000	\$1,001 - \$2,500	\$201 - \$1,000	
Ø	Ø	Ø	တ	S	S	S	S	S	S	S	S	တ	တ	

Solitoott III - Assels And Sincalined Income		Name Christopher John Lee		Page 4 of 60
PIMCO COMMODITY RR STRAT	None	DIVIDENDS/CAPI TAL GAINS	CAPI \$5,001 - \$15,000	S
T ROWE PRICE GROWTH STK	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
T ROWE PRICE HIGH YIELD	None	DIVIDENDS	\$2,501 - \$5,000	တ
RAINIER MID CAP EQUITY	None	CAPITAL GAINS	\$1 - \$200	S
ROYCE VALUE PLUS	None	DIVIDENDS	\$201 - \$1,000	S
FIDELITY HIGH INCOME	None	DIVIDENDS	\$2,501 - \$5,000	S
THORNBURG INTL VALUE	None	DIVIDENDS	\$1,001 - \$2,500	S
THORNBURG VALUE	None	DIVIDENDS	\$201 - \$1,000	S
COLUMBIA VALUE & RESTRUCT	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	Ø
IRA:				
FIDELITY MONEY MARKET	\$100,001 - \$250,000	DIVIDENDS	\$1 - \$200	
DODGE & COX INCOME	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	ס
FIDELITY US BOND INDEX	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	י סד
PIMCO TOTAL RETURN	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	ס

SCHEDULE III - ASSETS AND "UNEARNED" INCOME MORGAN STANLEY INS US FIDELITY EMERGING MARKET PIMCO COMMODITY RR STRAT MASTERS SELECT CORE+ MORGAN STANLEY INS LOOMIS SAYLES BOND **DWS DREMAN S/C VALUE** FIDELITY REAL ESTATE INVEST FIDELITY LEVERAGED CO STOCK FIDELITY SHORT TERM BOND DAVIS NEW YORK VENTURE FIDELITY ADV NEW INSIGHTS **COLUMBIA ACORN INTL** LAZARD EMERGING MKTS None Name Christopher John Lee DIVIDENDS DIVIDENDS DIVIDENDS DIVIDENDS DIVIDENDS DIVIDENDS DIVIDENDS DIVIDENDS DIVIDENDS/CAPI TAL GAINS DIVIDENDS DIVIDENDS DIVIDENDS DIVIDENDS DIVIDENDS \$1 - \$200 \$1 - \$200 \$1,001 - \$2,500 \$201 - \$1,000 \$1 - \$200 \$201 - \$1,000 \$1 - \$200 \$1 - \$200 \$1 - \$200 \$1 - \$200 \$1 - \$200 \$201 - \$1,000 \$1,001 - \$2,500 \$1 - \$200 ഗ ഗ S ഗ ഗ S S ഗ S S ഗ ഗ S ഗ Page 5 of 60

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name	Christopher John Lee		Page 6 of 60
	T ROWE PRICE HIGH YIELD	None	DIVIDENDS	\$1 - \$200	ຶ
:	PIMCO HIGH YIELD FUND	None	DIVIDENDS	\$201 - \$1,000	S
	ROYCE VALUE PLUS	None	DIVIDENDS	\$1 - \$200	S
	FIDELITY HIGH INCOME	None	DIVIDENDS	\$201 - \$1,000	S
	THORNBURG INTL VALUE	None	DIVIDENDS	\$1 - \$200	Ø
	THORNBURG VALUE	None	DIVIDENDS	\$1 - \$200	S
	COLUMBIA VALUE & RESTRUCT	None	DIVIDENDS	\$1 - \$200	S
	VANGUARD S/T INVEST GR	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
	IRA:				
S _P	FIDELITY MONEY MARKET	\$50,001 - \$100,000	DIVIDENDS	\$1 - \$200	
o P	DODGE & COX INCOME	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	סי
SP	FIDELITY US BOND INDEX	\$1,001 - \$15,000	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	PS(part)
SP	FIDELITY INTERMEDIATE BOND	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	PS(part)
SP	COLUMBIA ACORN INTL	None	DIVIDENDS	\$1 - \$200	S

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Johnathan Lee)

8 PROCEEDS FROM 2007
INSTALLMENT SALE
(INTERNATIONAL MOTION
CONTROL INC) (Trust FBO None Name Christopher John Lee DIVIDENDS/INTE | \$5,001 - \$15,000 REST/CAPITAL GAINS Page 8 of 60

S	\$1,001 - \$2,500	INTEREST	None	FIDELITY MONEY MARKET	DC
				Trust FBO Johnathan Lee (2)	<u></u>
S	\$1 - \$200	DIVIDENDS/CAPI TAL GAINS	None	COLUMBIA VALUE & RESTRUCT	DC
S	\$201 - \$1,000	DIVIDENDS/CAPI TAL GAINS	None	LOOMIS SAYLES BOND FUND	DC
S	\$1 - \$200	DIVIDENDS/CAPI TAL GAINS	None	DWS DREMAN S/C VALUE	DC
S	\$1 - \$200	DIVIDENDS/CAPI TAL GAINS	None	FIDELITY SPART US EQTY	DC
Ø	\$1 - \$200	DIVIDENDS/CAPI TAL GAINS	None	FIDELITY REAL ESTATE INVEST	DC
S	\$1 - \$200	DIVIDENDS/CAPI TAL GAINS	None	FIDELITY LEVERAGED CO STOCK	DC
တ	\$1 - \$200	DIVIDENDS/CAPI TAL GAINS	None	FIDELITY ADV NEW INSIGHTS	DC
σ	\$1 - \$200	DIVIDENDS/CAPI TAL GAINS	None	COLUMBIA ACORN INTL-Z	0
σ	\$1 - \$200	INTEREST	None	FIDELITY MONEY MARKET	DC
				Trust FBO Johnathan Lee (1)	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME g SP ş SP SP Sp ЗS SP SP SP Ş SP PROCEEDS FROM 2007 INSTALLMENT SALE RESTRUCT COLUMBIA VALUE & FIDELITY HIGH INCOME FIDELITY EMERGING MARKET CONTROL INC) (Christopher THORNBURG INTL VALUE ROYCE VALUE PLUS PIMCO LOW DURATION PIMCO COMMODITY RR STRAT MORGAN STANLEY INS US FIDELITY LEVERAGED CO (INTERNATIONAL MOTION LOOMIS SAYLES BOND DWS DREMAN S/C VALUE STOCK DAVIS NEW YORK VENTURE None Name Christopher John Lee DIVIDENDS REST/CAPITAL **DIVIDENDS/INTE** DIVIDENDS \$100,001 -\$1,000,000 \$1 - \$200 \$1 - \$200 \$1 - \$200 \$201 - \$1,000 \$1 - \$200 \$1 - \$200 \$201 - \$1,000 \$1 - \$200 \$1 - \$200 \$1 - \$200 \$201 - \$1,000 \$201 - \$1,000 S S S S ഗ S S Ġ S S S Ś Page 7 of 60

SCHEDULE III - ASSETS AND "UNEARNED" INCOME DC D_C 000 D_C 8 8 <u>В</u> DC 8 DC 8 00 ISHARES COHEN & STEERS RLTY FIDELITY LEVERAGED CO STOCK MUNI LOOMIS SAYLES SMALL CAP DWS DREMAN S/C VALUE FIDELITY SHORT INTERM **GROWTH** THORNBURG LTD TERM LOOMIS SAYLES BOND FUND FIDELITY SPART US EQTY FIDELITY REAL ESTATE INVEST FIDELITY INTERMEDIATE FIDELITY ADV NEW INSIGHTS COLUMBIA ACORN INTL None Name Christopher John Lee DIVIDENDS DIVIDENDS DIVIDENDS/CAPI
TAL GAINS DIVIDENDS/CAPI \$201 - \$1,000 TAL GAINS DIVIDENDS/CAPI TAL GAINS DIVIDENDS/CAPI \$1 - \$200 DIVIDENDS/CAPI | \$1 - \$200 TAL GAINS CAPITAL TAL GAINS DIVIDENDS/CAPI DIVIDENDS/CAPI \$201 - \$1,000 TAL GAINS INTEREST TAL GAINS **GAINS/INTERES** INTEREST \$1 - \$200 \$1 - \$200 \$201 - \$1,000 \$201 - \$1,000 \$1 - \$200 \$201 - \$1,000 \$201 - \$1,000 \$2,501 - \$5,000 ഗ ഗ ഗ S ഗ ഗ S ഗ S ഗ S S Page 9 of 60

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MORGAN STANLEY INS US

None

DIVIDENDS/CAPI TAL GAINS

\$1,001 - \$2,500

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TAL GAINS

DIVIDENDS/CAPI

\$201 - \$1,000

S

None

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LAZARD EMERGING MKTS PORT

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SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	IE Name Christopher John Lee	her John Lee		Page 10 of 60
3	PIMCO COMMODITY RR	None	DIVIDENDS	\$1 001 <u>\$2 500</u>	'n
C	STRAT	a d		#1,001 - #2,000	
DC	T ROWE PRICE HIGH YIELD	None	DIVIDENDS	\$201 - \$1,000	S
DC	FIDELITY HIGH INCOME	None	DIVIDENDS	\$201 - \$1,000	Ø
DC	FIDELITY HIGH INCOME	None	DIVIDENDS	\$201 - \$1,000	S
DC	THORNBURG INTL VALUE	None	DIVIDENDS	\$201 - \$1,000	S
DC	THORNBURG INTERMED MUNI	None	INTEREST	\$1,001 - \$2,500	S
DC	THORNBURG VALUE FUND	None	DIVIDENDS	\$201 - \$1,000	S
DC	COLUMBIA VALUE & RESTRUCT	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
	CHARITABLE TRUST FBO CHRISTOPHER J LEE				
	FIDELITY MONEY MARKET	None	DIVIDENDS/INTE REST	\$5,001 - \$15,000	S
	COLUMBIA ACORN INTL	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	S
	DODGE & COX INCOME	None	DIVIDENDS	\$5,001 - \$15,000	V
	FIDELITY ADV NEW INSIGHTS	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	Ø
1	FIDELITY LEVERAGED CO STOCK	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	<u></u>

SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Christopher John Lee	her John Lee		Page 11 of 60
FIDELITY REAL ESTATE INVEST	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
DWS DREMAN S/C VALUE FND	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
LOOMIS SAYLES BOND FUND	None	DIVIDENDS/CAPI TAL GAINS	\$15,001 - \$50,000	S
LAZARD EMERGING MKTS	None	DIVIDENDS/CAPI TAL GAINS	\$2,501 - \$5,000	S
MASTERS SELECT INTERNATL	None	DIVIDENDS/CAPI TAL GAINS	\$2,501 - \$5,000	S
MORGAN STANLEY INS US REAL	None	DIVIDENDS/CAPI TAL GAINS	\$5,001 - \$15,000	S
MORGAN STANLEY CORE PLUS FIXED INC	None	DIVIDENDS	\$2,501 - \$5,000	S
PIMCO COMMODITY RR STRAT	None	DIVIDENDS	\$5,001 - \$15,000	S
PIMCO LOW DURATION	None	DIVIDENDS	\$5,001 - \$15,000	S
PIMCO TOTAL RETURN	None	DIVIDENDS	\$5,001 - \$15,000	Ø
T ROWE PRICE HIGH YIELD	None	DIVIDENDS	\$2,501 - \$5,000	Ø
FIDELITY HIGH INCOME FUND	None	DIVIDENDS	\$2,501 - \$5,000	S
THORNBURG INTL VALUE	None	DIVIDENDS	\$1,001 - \$2,500	o
THORNBURG VALUE FUND	None	DIVIDENDS	\$1,001 - \$2,500	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME		Name Christopher John Lee		Page 12 of 60
COLUMBIA VALUE & RESTRUCT	None	DIVIDENDS	\$1,001 - \$2,500	S
VANGUARD SHORT TERM INVESTMENT GRADE	None	DIVIDENDS	\$5,001 - \$15,000	
TRUST FBO CHRISTOPHER J. LEE				
FIDELITY MONEY MARKET	None	INTEREST	\$5,001 - \$15,000	S
COLUMBIA ACORN INTL	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	Ø
COLUMBIA ACORN	None	DIVIDENDS/CAPI TAL GAINS	\$2,501 - \$5,000	S
FIDELITY ADV NEW INSIGHTS	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	တ
FIDELITY INTERMEDIATE MUNI	None	INTEREST/CAPI TAL GAINS	\$2,501 - \$5,000	S
FIDELITY LEVERAGED CO STOCK	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	တ
FIDELITY REAL ESTATE INVEST	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	S
FIDELITY SPART US EQTY	None	DIVIDENDS	\$1,001 - \$2,500	S
ISHARES COHEN & STEERS RLTY	None	DIVIDENDS/CAPI TAL GAINS	CAPI \$5,001 - \$15,000	S
DWS DREMAN S/C VALUE	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	S
LOOMIS SAYLES BOND FUND	None	DIVIDENDS	\$15,001 - \$50,000	S

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Christopher John Lee	er John Lee		Page 13 of 60
	LAZARD EMERGING MKTS PORT	None	DIVIDENDS/CAPI TAL GAINS	\$5,001 - \$15,000	တ
 	MASTERS SELECT INTERNATL	None	DIVIDENDS/CAPI TAL GAINS	\$5,001 - \$15,000	S
	MORGAN STANLEY INS US REAL	None	DIVIDENDS/CAPI TAL GAINS	\$5,001 - \$15,000	တ
	PIMCO COMMODITY RR STRAT	None	DIVIDENDS	\$5,001 - \$15,000	တ
	T ROWE PRICE HIGH YIELD	None	DIVIDENDS	\$5,001 - \$15,000	S
	FIDELITY HIGH INCOME FUND	None	DIVIDENDS	\$5,001 - \$15,000	S
	THORNBURG INTL VALUE FD	None	DIVIDENDS	\$2,501 - \$5,000	S
	THORNBURG INTERMED MUNI FD	None	INTEREST	\$2,501 - \$5,000	S
	THORNBURG VALUE FUND	None	DIVIDENDS	\$1,001 - \$2,500	ဟ
	COLUMBIA VALUE & RESTRUCT	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	S
	Trust FBO Johnathan Lee (1)- INTEREST IN LEE FAMILY CAPITAL LLC				
DC	FIDELITY MONEY MARKET	\$1,001 - \$15,000	CAPITAL GAINS/INTERES T	\$1 - \$200	
DC	IPATH DOW JONES AIG COMMODITY	\$1 - \$1,000		NONE	ָּ ֭֭֓֞֞֜֜֞
DC	ISHARES COHEN & STEERS RLTY	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	U

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SCHEDULE III
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"UNEARNED
" INCOME

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	SCHEDULE III - ASSETS AND "UNEARNED" INCOME
Name	_
Christopher	

8 8 8 DC 8 8 00 DC DC 8 8 DC 8 NEW YORK NY TRNSL FIN AUTH BOND CHICAGO IL TRNSP AUTH FED GAN BOND Summit Rock Balanced Reserves LP (Cash) Summit Rock Diversified Strategies LP Summit Rock Select Equity LP Summit Rock Strategic Fixed Income LP OHIO AIR QUALITY DEV AUTH BOND TRIBOROUGH BRDG & TUNL AUTH NY BOND Trust FBO Johnathan Lee (2)-INTEREST IN LEE FAMILY Summit Rock Private EquityLP Summit Rock Real Assets LP Summit Rock Hedged Equity LP MUNI ELECTRIC AUTH OF GA BOND NEW YORK N Y G/O BOND CAPITAL LLC \$1 - \$1,000 \$1,001 - \$15,000 \$1,001 - \$15,000 None \$1,001 - \$15,000 \$1 - \$1,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1 - \$1,000 \$1 - \$1,000 \$1 - \$1,000 \$1 - \$1,000 \$1 - \$1,000 None None None None None None er John Lee None None None None None None ט T τ v ס J ס U T T U T Page 15 of 60

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000 00 DC 000 000 DC 00 g DC 00 g 8 00 8 OREGON HLTH SCIENCES UNIV BOND ISHARES MSCI ACWI EX US INDEX NEW YORK ST TWY AUTH SPL TAX BOND CALIFORNIA STATEWIDE CDA BOND MUNI WTR BOND WEST VIRGINIA ECON DEV NEW YORK NY HLTH & HOSP CORP BOND ISHARES COHEN & STEERS RLTY NY ENVIRONMENTAL NYC NEW YORK ST EVNRMNTL FACS CORP BOND FEDERATED MONEY MARKET ISHARES RUSSELL 3000 INDEX CASH BALANCE BERSTEIN BROKERAGE COMMODITY **IPATH DOW JONES AIG** FIDELITY MONEY MARKET \$50,001 -\$100,000 \$50,001 -\$100,000 \$15,001 -\$50,000 \$50,001 -\$100,000 \$1,001 - \$15,000 None \$1,001 - \$15,000 \$1 - \$1,000 \$1 - \$1,000 \$1,001 - \$15,000 \$1 - \$1,000 \$1,001 - \$15,000 \$1 - \$1,000 \$100,001 -\$1,001 - \$15,000 None \$250,000 Name Christopher John Lee None None None None None None INTEREST/CAPI TAL GAINS DIVIDENDS DIVIDENDS DIVIDENDS DIVIDENDS INTEREST \$1 - \$200 \$201 - \$1,000 \$1 - \$200 \$1 - \$200 \$201 - \$1,000 \$201 - \$1,000 70 υ U T U U U T υ T ס Page 16 of 60

SCHEDULE III - ASSETS AND "UNEARNED" INCOME	ICOME Name Christopher John Lee	Page 17 of 60
DC PUERTO RICO COMWLTH BOND	\$1 - \$1,000 None	D
DC PUERTO RICO COMWLTH BOND	\$1,001 - \$15,000 None	P
DC LONG ISLAND PWR AUTH N Y ELEC BOND	\$1,001 - \$15,000 None	ט
DC NEW YORK N Y G/O BOND	\$1,001 - \$15,000 None	ָּט
DC NEW YORK NY TRNSL FIN AUTH BOND	\$1,001 - \$15,000 None	P
DC TRIBOROUGH BRDG & TUNL AUTH NY BOND	\$1,001 - \$15,000 None	P
DC CHICAGO IL TRNSP AUTH FED GAN BOND	\$1,001 - \$15,000 None	70
DC MUNI ELECTRIC AUTH OF GA BOND	\$1,001 - \$15,000 None	<u></u>
DC OHIO AIR QUALITY DEV AUTH BOND	\$1 - \$1,000 None	ס
DC Summit Rock Strategic Fixed Income LP	\$1,001 - \$15,000 None	ד
DC Summit Rock Select Equity LP	\$15,001 - None \$50,000	ס
DC Summit Rock Hedged Equity LP	\$50,001 - None \$100,000	
DC Summit Rock Diversified Strategies LP	\$100,001 - None \$250,000	<u>ס</u>
DC Summit Rock Real Assets LP	\$1,001 - \$15,000 None	ס

SCHEDULE III - ASSETS AND "UNEARNED" INCOME DC 8 FIDELITY MONEY MARKET TRUST FBO CHRISTOPHER J LEE -INTEREST IN LEE FAMILY CAPITAL LLC Reserves LP (Cash) Summit Rock Balanced Summit Rock Private Equity LP \$500,001 -\$1,000,000 \$15,001 -\$50,000 \$15,001 -\$50,000 Name Christopher John Lee CAPITAL GAINS/INTERES None None \$1,001 - \$2,500 T Page 18 of 60

Р		None	\$1,001 - \$15,000	CALIFORNIA STATEWIDE CDA BOND
P		None	\$15,001 - \$50,000	NEW YORK NY HLTH & HOSP CORP BOND
ס		None	\$1,001 - \$15,000	OREGON HLTH SCIENCES UNIV BOND
ס		None	\$15,001 - \$50,000	NEW YORK ST EVNRMNTL FACS CORP BOND
	\$201 - \$1,000	DIVIDENDS	\$250,001 - \$500,000	FEDERATED MONEY MARKET
	\$1 - \$200	INTEREST	\$1,001 - \$15,000	BERSTEIN BROKERAGE CASH BALANCE
ס	\$2,501 - \$5,000	DIVIDENDS	\$500,001 - \$1,000,000	ISHARES MSCI ACWI EX US INDEX
ים	\$5,001 - \$15,000	DIVIDENDS	\$500,001 - \$1,000,000	ISHARES RUSSELL 3000 INDEX
P	\$2,501 - \$5,000	DIVIDENDS	\$100,001 - \$250,000	ISHARES COHEN & STEERS RLTY
ם .		None	\$50,001 - \$100,000	IPATH DOW JONES AIG COMMODITY
		-		

ND "UNEARNED" INCOM	Name Christopher John Lee	Page 19 of 60
WEST VIRGINIA ECON DEV AUTH SO BOND	1,001 - \$15,000 None	ס

	Name Christopher John Lee	er John Lee	Page 19 of 60
WEST VIRGINIA ECON DEV AUTH SO BOND	\$1,001 - \$15,000	None	ס
NEW YORK ST TWY AUTH SPL TAX BOND	\$15,001 - \$50,000	None	ס
NY ENVIRONMENTAL NYC MUNI WTR BOND	\$15,001 - \$50,000	None	ם
PUERTO RICO COMWLTH BOND	\$1,001 - \$15,000	None	Р
PUERTO RICO COMWLTH BOND	\$1,001 - \$15,000	None	ס
LONG ISLAND PWR AUTH N Y ELEC BOND	\$15,001 - \$50,000	None	ס
NEW YORK N Y G/O BOND	\$15,001 - \$50,000	None	ס
NEW YORK NY TRNSL FIN AUTH BOND	\$15,001 - \$50,000	None	יד
TRIBOROUGH BRDG & TUNL AUTH NY BOND	\$15,001 - \$50,000	None	ס
CHICAGO IL TRNSP AUTH FED GAN BOND	\$15,001 - \$50,000	None	ש
MUNI ELECTRIC AUTH OF GA BOND	\$15,001 - \$50,000	None	ס
OHIO AIR QUALITY DEV AUTH BOND	\$1,001 - \$15,000	None	ּ
Summit Rock Strategic Fixed Income LP	\$50,001 - \$100,000	None	יד
 Summit Rock Select Equity LP	\$100,001 - \$250,000	None	σ

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME Summit Rock Diversified Strategies LP Summit Rock Balanced Reserves LP (Cash) INTEREST IN LEE CHARITABLE CAPITAL LLC CHARITABLE TRUST FBO CHRISTOPHER J LEE -Summit Rock Private Equity LP Summit Rock Real Assets LP Summit Rock Hedged Equity LP \$100,001 -\$250,000 \$50,001 -\$100,000 \$500,001 -\$1,000,000 \$250,001 -\$500,000 \$500,000 \$250,001 -Name Christopher John Lee None None None None None ס U T U Page 20 of 60

CHARTIABLE CAPITAL LLC	ſ	\$50 001	
FIDELITY MONEY MARKET	\$100,000	0 -	00 INTEREST
IPATH DOW JONES AIG COMMODITY	\$50,001 - \$100,000	00	1 - None
ISHARES COHEN & STEERS RLTY	\$100,001 \$250,000	01 - 00	01 - DIVIDENDS
ISHARES RUSSELL 3000 INDEX	\$500,001 - \$1,000,000	01 -	01 - DIVIDENDS
ISHARES MSCI ACWI EX US	\$500,001 - \$1,000,000)01 -),000	001 - DIVIDENDS/CAPI \$5,001 - \$15,000 TAL GAINS
BERSTEIN BROKERAGE CASH BALANCE	\$1,00	1 - \$15,000	\$1,001-\$15,000 INTEREST
FEDERATED MONEY MARKET	\$500 \$1,00	\$500,001 - \$1,000,000	001 - DIVIDENDS

U	None	\$15,001 - N \$50,000	CHICAGO IL TRNSP AUTH \$ FED GAN BOND \$
ס	None	\$15,001 - N \$50,000	TRIBOROUGH BRDG & TUNL \$ AUTH NY BOND \$
ס	None	\$15,001 - N \$50,000	NEW YORK NY TRNSL FIN \$ AUTH BOND \$
ד	None	\$15,001 - N \$50,000	NEW YORK N Y G/O BOND \$
י	None	\$15,001 - N \$50,000	LONG ISLAND PWR AUTH N \$ Y ELEC BOND
ּס	None	\$15,001 - N \$50,000	PUERTO RICO COMWLTH \$ BOND \$
יד	None	\$15,001 - N	NY ST TWY AUTH ST PERS BOND \$
יס	None	\$15,001 - N \$50,000	NY ENVIRONMENTAL NYC MUNI WTR BOND \$
יסר	None	\$15,001 - N \$50,000	NEW YORK ST TWY AUTH \$ SPL TAX BOND \$
ם	None	\$1,001 - \$15,000 N	WEST VIRGINIA ECON DEV AUTH SO BOND
ד	None	\$1,001 - \$15,000 N	CALIFORNIA STATEWIDE \$ CDA BOND
ס	None	\$15,001 - N \$50,000	NEW YORK NY HLTH & HOSP \$ CORP BOND \$
יס	None	\$1,001 - \$15,000 N	NEW YORK NY TRNSL FIN AUTH BOND
ס	None	\$15,001 - N \$50,000	NEW YORK ST EVNRMNTL \$ FACS CORP BOND \$
Page 21 of 60	John Lee	Name Christopher John Lee	SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	NE Name Christopher John Lee	er John Lee		Page 22 of 60
	MUNI ELECTRIC AUTH OF GA BOND	\$15,001 - \$50,000	None		ס
- ;	OHIO AIR QUALITY DEV AUTH BOND	\$1,001 - \$15,000	None	! 	ָ וֹ
	Summit Rock Strategic Fixed Income LP	\$15,001 - \$50,000	None		סי
	Summit Rock Select Equity LP	\$100,001 - \$250,000	None		יס
	Summit Rock Hedged Equity LP	\$100,001 - \$250,000	None		ס
	Summit Rock Diversified Strategies LP	\$100,001 - \$250,000	None		טר
	Summit Rock Balanced Reserves LP (Cash)	\$100,001 - \$250,000	None		
	Individual Account:				
SP	BERKSHIRE HATHAWAY INC	\$1,001 - \$15,000			
SP	DISNEY WALT COMPANY	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	MIDDLEBY CORP	\$1,001 - \$15,000	ļ		
SP	CITADEL BROADCASTING CORP	\$1 - \$1,000			
SP	MONEY MARKET	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	Individual Account (2):				

SCHEDULE III - ASSETS AND "UNEARNED" INCOME AMERICAN TECHNOLOCY SECTOR INDEX FD QUANTUM FUEL SYS **EMERGING MKTS INDEX FD** MONEY MARKET ISHARES S&P NORTH RESOURCES SECTOR INDEX AMERICAN NATURAL SECTOR INDEX FD **ISHARES TR S&P GLOBAL** ISHARES TR FTSE XINHUA HK CHINA 25 INDEX FD INFRASTRUCTURE HOLDRS Individual Account (3): ISHARES S&P NORTH TELECOMMUNICATIONS ISHARES TR MSCI INTERNET \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1 - \$1,000 \$1,001 - \$15,000 DIVIDENDS \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 Name Christopher John Lee DIVIDENDS INTEREST DIVIDENDS DIVIDENDS DIVIDENDS DIVIDENDS \$201 - \$1,000 \$1 - \$200 \$1 - \$200 \$1 - \$200 \$1 - \$200 \$1 - \$200 \$1 - \$200 PS(part) Page 23 of 60

SIERRA WIRELESS INC

None

None

CAPITAL GAINS

\$1,001 - \$2,500

PS

S

PS

None

None

PS

AUTONATION INC

BLOCKBUSTER INC

POWERSECURE INTL INC

SCHEDULE III - ASSETS AND "UNEARNED" INCOME	E Name Christopher John Lee	Page 24 of 60
MONEY MARKET	\$1 - \$1,000	
OTHER		
PRINCIPAL FINANCIAL GROUP	\$1,001 - \$15,000 DIVIDENDS	\$1 - \$200

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out. If one	out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.	artial sale"). See example below.		
SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Inidividual Account (1):			
	AMERICAN BEACON L/C VALU 3 PURCHASES, 2 SALES	PS	VARIOUS	\$50,001 - \$100,000
	ALLIANCEBERNSTEIN INT VAL 2 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	COLUMBIA ACORN INTL 6 PURCHASES, 2 SALES	PS	VARIOUS	\$15,001 - \$50,000
	AMERICAN EUROPACIFIC GRTH	PS	PURCHASE 10/3/08, SALE 12/16/08	\$50,001 - \$100,000
	RVS MID CAP VALUE	PS	PURCHASE 1/23/08, SALE 10/3/08	\$1,001 - \$15,000
	ARTISAN MID CAP VALUE 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
	WILLIAM BLAIR INTL GROWTH	S	9/23/08, 12/16/08	\$50,001 - \$100,000
 	DAVIS NEW YORK VENTURE 2 PURCHASES, 2 SALES	PS	VARIOUS	\$50,001 - \$100,000
	DODGE & COX INTL STOCK 2 PURCHASE, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
	EVERGREEN INTRINSIC VALUE 5 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000

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מני ני	out, it only a portion of an asset is soft, prease so moreate (i.e., partial sale). See example below.	ale). See example below.		
SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	FIDELITY VALUE	PS	PURCHASE 1/2/08, SALES 8/28/08	\$15,001 - \$50,000
	FIDELITY EMERGING MARKET 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
	FIDELITY ADV NEW INSIGHTS 4 PURCHASES, 2 SALES	PS	VARIOUS	\$100,001 - \$250,000
	FIDELITY INTL REAL ESTATE	PS	PURCAHSE 1/2/08, SALE 9/23/08	\$15,001 - \$50,000
-	FIDELITY INTERMEDIATE MUNI 16 PURCHASES	ם"	VARIOUS	\$15,001 - \$50,000
	FIDELITY LEVERAGED CO STOCK 4 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
	FIDELITY REAL ESTATE INVEST 4 PURCHASES, 1 SALES	PS	VARIOUS	\$15,001 - \$50,000
	FIDELITY INTL SMALL CAP OPP 2 PURCHASES, 1 SALES	PS	VARIOUS	\$15,001 - \$50,000
	FIDELITY SHORT INTERM MUNI 4 PURCHASES	PS	VARIOUS	\$15,001 - \$50,000
	FIDELITY SPART US EQTY 7 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	AMERICAN GRW FD OF AMER 2 PURCHASES, 2 SALES	PS	VARIOUS	\$50,001 - \$100,000

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out. If only	out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.	sale"). See example below.		
SP,		Type of	,	
	Noser.	Hallsaction	Date	Alliquit of Harlsaction
	HARBOR INTERNATIONAL GROTH	PS	PURCHASE 9/23/08, SALES 12/16/08	\$50,001 - \$100,000
	HARBOR INTERNATIONAL	PS	PURCHASE 9/23/08, SALE 12/16/08	\$50,001 - \$100,000
	ISHARES COHEN & STEERS RLTY 1 PURCHASE, 2 SALES	PS	VARIOUS	\$50,001 - \$100,000
	ARTIO INTERNATIONAL EQY II 2 PURCHASES, 1 SALES	PS	VARIOUS	\$15,001 - \$50,000
	JANUS PERKINS MID CAP VL 3 PURCHASES, 1 SALES	PS	VARIOUS	\$1,001 - \$15,000
!	DWS DREMAN S/C VALUE	PS	PURCHASE 1/3/08, SALES 12/17/08	\$15,001 - \$50,000
	KEELEY SMALL CAP VAL	PS	PURCHASE 1/3/08, SALE 12/17/08	\$15,001 - \$50,000
	LAUDUS ROSENB INTERN DISC 3 PURCHASES, 2 SALES	PS	VARIOUS	\$15,001 - \$50,000
	LOOMIS SAYLES BOND 10 PURCHASES, 3 SALES	PS	VARIOUS	\$100,001 - \$250,000
	LAZARD EMERGING MKTS PORT 4 PURCHASES, 1 SALES	PS	VARIOUS	\$50,001 - \$100,000

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SP, DC,		Type of		
JŢ	Asset	Transaction	Date	Amount of Transaction
	MASTERS SELECT INTERNATL 5 PURCHASES, 1 SALES	PS	VARIOUS	\$50,001 - \$100,000
	MORGAN STANLEY INS US REAL 7 PURCHASES, 1 SALES	PS	VARIOUS	\$100,001 - \$250,000
-	OPPENHEIMER STRATEGIC INC 5 PURCHASES, 1 SALES	PS	VARIOUS	\$50,001 - \$100,000
·	PIMCO COMMODITY RR STRAT 6 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	T ROWE PRICE GROWTH STK 3 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	T ROWE PRICE HIGH YIELD 1 PURCHASE, 2 SALES	PS	VARIOUS	\$15,001 - \$50,000
	FORWARD INTL SMALL COMPAN	PS	PURCHASE 10/3/08, SALE 12/16/08	\$15,001 - \$50,000
	RAINIER MID CAP EQUITY 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
	RS PARTNERS FUND	σ	12/17/08	\$15,001 - \$50,000
	RS VALUE FUND	S	12/17/08	\$15,001 - \$50,000
	ROYCE VALUE PLUS FUND 3 PURCHASES, 1 SALES	P	VARIOUS	\$15,001 - \$50,000

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y a point of all asset is sold, picase so mulcate (i.e., par	tias and). Ore example resort.		
	Type of		
Asset	Transaction	Date	Amount of Transaction
FIDELITY HIGH INCOME 17 PURCHASES, 1 SALES	PS	VARIOUS	\$15,001 - \$50,000
THORNBURG INTL VALUE 4 PURCHASES, 1 SALES	PS	VARIOUS	\$15,001 - \$50,000
THORNBURG INTERMED MUNI 2 PURCHASES, 1 SALES	PS	VARIOUS	\$15,001 - \$50,000
THORNBURG VALUE 3 PURCHASES, 1 SALES	PS	VARIOUS	\$50,001 - \$100,000
COLUMBIA VALUE & RESTRUCT 5 PURCHASES, 2 SALES	PS	VARIOUS	\$50,001 - \$100,000
IRA:		! !	
AMERICAN BEACON L/C VALU	S	12/16/08	\$1,001 - \$15,000
ALLIANCEBERNSTEIN INT VAL	S	12/16/08	\$1,001 - \$15,000
COLUMBIA ACORN INTL	PS	PURCHASE 6/12/08, SALE 12/16/08	\$1,001 - \$15,000
ARTISAN MID CAP VALUE	S	12/16/08	\$1,001 - \$15,000
WILLIAM BLAIR INTL GROWTH	S	12/16/08	\$1,001 - \$15,000
		PS P	Type of Transaction PS PS VAR PS VAR PS VAR PS 12/11 S 12/11

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out. If on!	out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.	sale"). See example below.		
SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	DAVIS NEW YORK VENTURE	PS	PURCHASE 12/2/08, SALE 12/16/08	\$1,001 - \$15,000
	DODGE & COX INCOME 5 PURCHASES	ס	VARIOUS	\$1,001 - \$15,000
į	DODGE & COX INTL STOCK	တ	12/16/08	\$1,001 - \$15,000
	FIDELITY US BOND INDEX 4 PURCHASES	ס	VARIOUS	\$15,001 - \$50,000
	FIDELITY CAPITAL APPREC	%	2/25/08	\$1,001 - \$15,000
	FIDELITY EMERGING MARKET	PS	PURCHASE 12/5/08, SALES 12/16/08	\$1,001 - \$15,000
	FIDELITY ADV NEW INSIGHTS 2 PURCHASES, 1 SALES	PS	VARIOUS	\$1,001 - \$15,000
	FIDELITY INTL REAL ESTATE	Ø	12/16/08	\$1,001 - \$15,000
	FIDELITY LEVERAGED CO STOCK 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
 	FIDELITY REAL ESTATE INVEST 3 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
	FIDELITY SHORT TERM BOND 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000

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out. If on	out. It only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below	sale"). See example below.		
SP, DC,	Asset	Type of Transaction	Date	Amount of Transaction
	AMERICAN GRW FD OF AMER	S	2/25/08, 12/16/08	\$1,001 - \$15,000
	ARTIO INTERNATIONAL EQY II	PS	PURCHASED 2/25/08, SALE 12/16/08	\$1,001 - \$15,000
	DWS DREMAN S/C VALUE 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
	KEELEY SMALL CAP VAL	S	2/25/08, 12/16/08	\$1,001 - \$15,000
; ; ; ;	LAUDUS ROSENB INTERN DISC	o :	12/16/08	\$1,001 - \$15,000
	LOOMIS SAYLES BOND 9 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
l 	LAZARD EMERGING MKTS 2 PURCHASES, 1 SALE	PS:	VARIOUS	\$1,001 - \$15,000
: : !	MASTERS SELECT INTERNATL	PS	PURCHASE 11/5/08, SALE 12/16/08	\$1,001 - \$15,000
:	MORGAN STANLEY INS CORE+	PS	PURCHASE 4/2/08, SALE 5/16/08	\$1,001 - \$15,000
	MORGAN STANLEY INS US REAL 3 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000

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SP, DC,		Type of		
دا	Asset	Iransaction	Date	Amount of Transaction
	PIMCO COMMODITY RR STRAT 5 PURCHASES, 1 SLAE	PS	VARIOUS	\$1,001 - \$15,000
	PIMCO TOTAL RETURN 14 PURCHASES	סי	VARIOUS	\$15,001 - \$50,000
	PIMCO HIGH YIELD 8 PURCHASES	PS	VARIOUS	\$1,001 - \$15,000
	T ROWE PRICE HIGH YIELD 4 PURCHASES, 2 SALES	PS	VARIOUS	\$1,001 - \$15,000
	RAINIER MID CAP EQUITY	S	12/16/08	\$1,001 - \$15,000
i !	RS PARTNERS	ω	12/16/08	\$1,001 - \$15,000
	RS VALUE	S	12/16/08	\$1,001 - \$15,000
	ROYCE VALUE PLUS	PS	PURCHASE 12/9/08, SALE 12/16/08	\$1,001 - \$15,000
	FIDELITY HIGH INCOME 12 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
	THORNBURG INTL VALUE 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
	THORNBURG VALUE 4 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000

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out. It on	out. It only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.	ale"). See example below.	ı	
SP, DC,	Asset	Type of Transaction	Date	Amount of Transaction
	COLUMBIA VALUE & RESTRUCT 3 PURCHASES, 2 SALES	PS	VARIOUS	\$1,001 - \$15,000
	VANGUARD S/T INVEST GR	PS	PURCHASE 1/31/08, SALE 2/25/08	\$1,001 - \$15,000
 	IRA:	,		
SP	AMERICAN BEACON L/C VALU	S	12/16/08	\$1,001 - \$15,000
SP	ALLIANCEBERNSTEIN INT VAL	8	12/16/08	\$1,001 - \$15,000
SP	COLUMBIA ACORN INTL	PS	PURCHASE 6/12/08, SALE 12/16/08	\$1,001 - \$15,000
SP	ARTISAN MID CAP VALUE	Ø	12/16/08	\$1,001 - \$15,000
ф	WILLIAM BLAIR INTL GROWTH	S	12/16/08	\$1,001 - \$15,000
gs.	DAVIS NEW YORK VENTURE	PS	PURCHASE 12/2/08, SALE 12/16/08	\$1,001 - \$15,000
SP	DODGE & COX INCOME 4 PURCHASES	70	VARIOUS	\$1,001 - \$15,000

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SP, DC,		Type of		
JŢ	Asset	Transaction	Date	Amount of Transaction
SP	FIDELITY US BOND INDEX 4 PURCHASES, 1 SALE	PS(part)	VARIOUS	\$1,001 - \$15,000
SP	FIDELITY EMERGING MARKET 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
SP	FIDELITY ADV NEW INSIGHTS	PS	PURCHASE 2/25/08, SALES 12/16/08	\$1,001 - \$15,000
SP	FIDELITY INTL REAL ESTATE	S	12/16/08	\$1,001 - \$15,000
SP	FIDELITY LEVERAGED CO STOCK 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
SP	FIDELITY INTERMEDIATE BOND 13 PURCHASES, 1 SALE	PS(part)	VARIOUS	\$1,001 - \$15,000
SP	AMERICAN GRW FD OF AMER	·	2/15/08, 12/16/08	\$1,001 - \$15,000
SP	ARTIO INTERNATIONAL EQY II	S	12/16/08	\$1,001 - \$15,000
SP	DWS DREMAN S/C VALUE 1 PURCHASE, 2 SALES	PS	VARIOUS	\$1,001 - \$15,000
SP	KEELEY SMALL CAP VAL	S	2/22/08, 12/16/08	\$1,001 - \$15,000
SP	LOOMIS SAYLES BOND 9 PURCHASE, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000

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SP, DC,		Type of		
5	Asset	Transaction	Date	Amount of Transaction
SP	MORGAN STANLEY INS US REAL 4 PURCHASE, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
SP	PIMCO COMMODITY RR STRAT 6 PURCHASE, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
SP	PIMCO LOW DURATION	PS	PURCHASE 1/31/08, SLAE 2/25/08	\$1,001 - \$15,000
SP	RAINIER MID CAP EQUITY	S	12/16/08	\$1,001 - \$15,000
SP	RS PARTNERS	σ	2/25/08, 12/16/08	\$1,001 - \$15,000
ЧS	RS VALUE	S	8/28/08, 12/16/08	\$1,001 - \$15,000
SP	ROYCE VALUE PLUS 1 PURCHASE, 2 SALES	PS	VARIOUS	\$1,001 - \$15,000
Sp	FIDELITY HIGH INCOME 12 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
SP	THORNBURG INTL VALUE 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
SP	COLUMBIA VALUE & RESTRUCT 5 PURCHASES, 2 SALES	PS	VARIOUS	\$1,001 - \$15,000
	Trust FBO Johnathan Lee (1)			

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SP, DC,		Type of		
Jī	Asset	Transaction	Date	Amount of Transaction
DC	ALLIANCEBERNSTEIN INT VAL	S	11/6/08	\$1,001 - \$15,000
DC	COLUMBIA ACORN INTL	PS	PURCHASE 6/12/08, SALE 11/6/08	\$1,001 - \$15,000
DC	ARTISAN MID CAP VALUE FD	PS	PURCHASE 1/22/08, SALE 11/6/08	\$1,001 - \$15,000
DC	DAVIS NEW YORK VENTURE FD	PS	PURCHASE 1/2/08, SALE 11/6/08	\$1,001 - \$15,000
DC	FIDELITY EMERGING MARKET FD 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC I	FIDELITY ADV NEW INSIGHTS 3 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	FIDELITY INTL REAL ESTATE	PS	PURCHASE 1/2/08, SALE 11/6/08	\$1,001 - \$15,000
DC	FIDELITY LEVERAGED CO STOCK 2 PURCHASES, 1 SALE	PS	VAROUS	\$1,001 - \$15,000
DC	FIDELITY REAL ESTATE INVEST 5 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	FIDELITY INTL SMALL CAP OPP 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000

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SP, DC,		Type of		
JŤ	Asset	Transaction	Date	Amount of Transaction
DC	FIDELITY SPART US EQTY IN 4 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	AMERICAN GRW FD OF AMER	S	11/6/08	\$1,001 - \$15,000
DC	ARTIO INTERNATIONAL EQY II 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	DWS DREMAN S/C VALUE FND 3 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	KEELEY SMALL CAP VAL FD 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	LOOMIS SAYLES BOND FUND 9 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	RAINIER MID CAP EQUITY	PS	PURCHASE 1/2/08, 11/6/08	\$1,001 - \$15,000
DC	RS PARTNERS FUND	Ø	11/6/08	\$1,001 - \$15,000
DC	RS VALUE FUND	S	11/6/08	\$1,001 - \$15,000
DC	ROYCE VALUE PLUS FUND 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	COLUMBIA VALUE & RESTRUCT 5 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000

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out. If on	out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.	artial sale"). See example below.		
SP, DC,		Type of		
ΤĽ	Asset	Transaction	Date	Amount of Transaction
	Trust FBO Johnathan Lee (2)			
DC	AMERICAN BEACON L/C VALUE- 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	ALLIANCEBERNSTEIN INT VAL 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	COLUMBIA ACORN INTL 3 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
8	RVS MID CAP VALUE FUND	PS	PURCHASE 1/22/08, SALE 11/6/08	\$1,001 - \$15,000
8	ARTISAN MID CAP VALUE FD	PS	PURCHASE 1/2/08, SALE 11/6/08	\$1,001 - \$15,000
DC	WILLIAM BLAIR INTL GROWTH 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC.	DAVIS NEW YORK VENTURE FD	PS	PURCHASE 1/2/08, SALE 11/6/08	\$15,001 - \$50,000
DC	DODGE & COX INTL STOCK FUND 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	FIDELITY CAPITAL APPREC	တ	4/24/08	\$1,001 - \$15,000

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SP, DC,		Type of		
JT	Asset	Transaction	Date	Amount of Transaction
DC	FIDELITY VALUE FUND	PS	PURCHASE 1/2/08, SALE 11/6/08	\$1,001 - \$15,000
DC	FIDELITY EMERGING MARKET FD 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	FIDELITY ADV NEW INSIGHTS 4 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	FIDELITY INTL REAL ESTATE	PS	PURCHASE 1/2/08, SALE 11/6/08	\$1,001 - \$15,000
DC	FIDELITY INTERMEDIATE MUNI 12 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	FIDELITY LEVERAGED CO STOCK 3 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	FIDELITY REAL ESTATE INVEST 4 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	FIDELITY INTL SMALL CAP OPP 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
C	FIDELITY SHORT INTERM MUNI 11 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	FIDELITY SPART US EQTY 4 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	AMERICAN GRW FD OF AMER 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	ISHARES COHEN & STEERS RLTY	ָ ק	1/22/08	\$15,001 - \$50,000
DC	ARTIO INTERNATIONAL EQY II 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	DWS DREMAN S/C VALUE FND 3 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	KEELEY SMALL CAP VAL FD-	PS	PURCHASE 1/2/08, SALE 11/6/08	\$15,001 - \$50,000
DC	LAUDUS ROSENB INTERN DISC 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	LOOMIS SAYLES BOND FUND 11 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC:	THORNBURG LTD TERM MUNICIPAL 10 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
 	LOOMIS SAYLES SMALL CAP GROWTH	PS	PURCHASE 1/22/08, SALE 11/6/08	\$1,001 - \$15,000
DC	LAZARD EMERGING MKTS PORT 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	MORGAN STANLEY INS US REAL 4 PURCHASE, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	PIMCO COMMODITY RR STRAT 4 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000

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	DC	DC	DC	DC	DC	DC	DC	DC	DC	DC	SP, DC,
CHARITABLE TRUST FBO CHRISTOPHER J	COLUMBIA VALUE & RESTRUCT 5 PURCHASES, 1 SALE	THORNBURG VALUE FUND 4 PURCHASES, 1 SALE	THORNBURG INTERMED MUNI FD 11 PURCHASES, 1 SALE	THORNBURG INTL VALUE FD 3 PURCHASES, 1 SALE	FIDELITY HIGH INCOME FUND 12 PURCHASES, 1 SALE	ROYCE VALUE PLUS FUND	RS VALUE FUND	RS PARTNERS FUND	RAINIER MID CAP EQUITY 2 PURCHASES, 1 SALE	T ROWE PRICE HIGH YIELD 10 PURCHASES, 1 SALE	Asset
	PS	PS	PS	PS	PS	PS	S	S	PS	PS	Type of Transaction
:	VARIOUS	VARIOUS	VARIOUS	VARIOUS	VARIOUS	PURCHASE 1/2/08, SALE 11/6/08	11/6/08	11/6/08	VARIOUS	VARIOUS	Date
	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$1,001 - \$15,000	Amount of Transaction

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:			 		,		<u> </u>				SP, DC,
FIDELITY VALUE FUND	FIDELITY CAPITAL APPREC	DODGE & COX INCOME 5 PURCHASES, 1 SALE	DODGE & COX INTL STOCK FUND 2 PURCHASES, 1 SALE	DAVIS NEW YORK VENTURE FD 2 PURCHASES, 1 SALE	WILLIAM BLAIR INTL GROWTH 2 PURCHASES, 1 SALE	ARTISAN MID CAP VALUE FD 2 PURCHASES, 1 SALE	RVS MID CAP VALUE FUND	COLUMBIA ACORN INTL 3 PURCHASES, 1 SALE	ALLIANCEBERNSTEIN INT VAL 2 PURCHASES, 1 SALE	AMERICAN BEACON L/C VALUE 2 PURCHASES, 1 SALE	Asset
PS	S	PS	PS	PS	PS	PS	PS .	PS	PS	PS	Type of Transaction
PURCHASE 1/2/08, SALE 11/6/08	4/24/08	VARIOUS	VARIOUS	VARIOUS	VARIOUS	VARIOUS	PURCHASE 1/22/08, SALE 11/6/08	VARIOUS	VARIOUS	VARIOUS	Date
\$15,001 - \$50,000	\$50,001 - \$100,000	\$250,001 - \$500,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$50,001 - \$100,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	Amount of Transaction

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		 									7 C %	
LAUDUS ROSENB INTERN DISC 2 PURCHASES, 1 SALE	KEELEY SMALL CAP VAL FD	DWS DREMAN S/C VALUE FND 2 PURCHASES, 1 SALE	ARTIO INTERNATIONAL EQY 2 PURCHASES, 1 SALE	AMERICAN GRW FD OF AMER 2 PURCHASES, 1 SALE	FIDELITY INTL SMALL CAP OPP 2 PURCHASES, 1 SALE	FIDELITY REAL ESTATE INVEST 5 PURCHASES, 1 SALE	FIDELITY LEVERAGED CO STOCK 3 PURCHASES, 1 SALE	FIDELITY INTL REAL ESTATE 2 PURCHASES, 1 SALE	FIDELITY ADV NEW INSIGHTS 4 PURCHASES, 2 SALES	FIDELITY EMERGING MARKET 2 PURCHASES, 1 SALE	Asset	
PS	PS	PS	PS	PS	PS	PS	PS	PS ·	PS	PS	Type of Transaction	
VARIOUS	PURCHASE 1/2/08, SALE 11/6/08	VARIOUS	VARIOUS	VARIOUS	VARIOUS	VARIOUS	VARIOUS	VARIOUS	VARIOUS	VARIOUS	Date	7
\$15,001 - \$50,000	\$50,001 - \$100,000	\$50,001 - \$100,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$50,001 - \$100,000	\$15,001 - \$50,000	\$100,001 - \$250,000	\$50,001 - \$100,000	Amount of Transaction	

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SP, DC,		Type of		
٦	Asset	Transaction	Date	Amount of Transaction
	LOOMIS SAYLES BOND FUND 11 PURCHASES, 2 SALES	PS	VARIOUS	\$250,001 - \$500,000
	LOOMIS SAYLES SMALL CAP GROWTH	PS	PURCHASE 1/22/08, SALE 11/6/08	\$1,001 - \$15,000
	LAZARD EMERGING MKTS PORT 2 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	MASTERS SELECT INTERNATL FD 3 PURCHASES, 2 SALES	PS	VARIOUS	\$15,001 - \$50,000
	MORGAN STANLEY INS US REAL 5 PURCHASES, 1 SALE	PS :	VARIOUS	\$50,001 - \$100,000
	MORGAN STANLEY CORE PLUS FIXED INC 3 PURCHASES, 1 SALE	PS	VARIOUS	\$250,001 - \$500,000
:	PIMCO COMMODITY RR STRAT 4 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	PIMCO LOW DURATION 10 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	PIMCO TOTAL RETURN 7 PURCHASES, 1 SALE	PS	VARIOUS	\$250,001 - \$500,000
:	T ROWE PRICE HIGH YIELD 11 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	RAINIER MID CAP EQUITY 2 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000

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SP, DC,		Type of		
	RS PARTNERS FUND	S	11/6/08	\$15,001 - \$50,000
	RS VALUE FUND	တ	11/6/08	\$15,001 - \$50,000
	ROYCE VALUE PLUS FUND	PS	PURCHASE 1/2/08, SALE 11/6/08	\$15,001 - \$50,000
! !	FIDELITY HIGH INCOME FUND 12 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	THORNBURG INTL VALUE FD 4 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	THORNBURG VALUE FUND 5 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	COLUMBIA VALUE & RESTRUCT 5 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
 	VANGUARD SHORT TERM INVESTMENT GRADE 10 PURCHASES, 1 SALE	PS :	VARIOUS	\$100,001 - \$250,000
	TRUST FBO CHRISTOPHER J. LEE			
	AMERICAN BEACON L/C VALUE 2 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	ALLIANCEBERNSTEIN INT VAL 2 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000

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SP, DC,		Type of		
	COLUMBIA ACORN INTI	PS	VARIOUS	\$50.001 - \$100.000
	3 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	COLUMBIA ACORN 2 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	RVS MID CAP VALUE FUND	PS	PURCHASE 1/22/08, SALE 11/6/08	\$50,001 - \$100,000
	ARTISAN MID CAP VALUE FD	PS	PURCHASE 1/2/08, SALE 11/6/08	\$50,001 - \$100,000
	WILLIAM BLAIR INTL GROWTH 2 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
 	DAVIS NEW YORK VENTURE FD	PS	PURCHASE 1/2/08, SALE 10/16/08	\$100,001 - \$250,000
 	DODGE & COX INTL STOCK FUND 2 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
- 	FIDELITY CAPITAL APPREC	S	4/24/08	\$100,001 - \$250,000
	FIDELITY VALUE FUND	PS	PURCHASE 1/2/08, SALE 11/6/08	\$15,001 - \$50,000
	FIDELITY EMERGING MARKET FD 2 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000

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our it out	out. It only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.	ale"). See example below.		
다. 당, 당,	Asset	Type of Transaction	Date	Amount of Transaction
	FIDELITY ADV NEW INSIGHTS 4 PURCHASES, 1 SALE	PS	VARIOUS	\$250,001 - \$500,000
	FIDELITY INTL REAL ESTATE	PS	PURCHASE 1/2/08, SALE 11/6/08	\$15,001 - \$50,000
	FIDELITY INTERMEDIATE MUNI 11 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	FIDELITY LEVERAGED CO STOCK 3 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	FIDELITY REAL ESTATE INVEST 4 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	FIDELITY INTL SMALL CAP OPP 2 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	FIDELITY SPART US EQTY 4 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
i 1 1	AMERICAN GRW FD OF AMER 2 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	ISHARES COHEN & STEERS RLTY	ָר פּק 	1/22/08	\$100,001 - \$250,000
 - -	ARTIO INTERNATIONAL EQY II 2 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	DWS DREMAN S/C VALUE FND 2 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000

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סטור וו סוזו	out. If only a portion of an asset is sold, please so indicate (i.e., partial sale). See example below.	I sale"). See example below.		
SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	KEELEY SMALL CAP VAL FD	PS	PURCHASE 1/2/08, SALE 11/6/08	\$50,001 - \$100,000
	LAUDUS ROSENB INTERN DISC 2 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	LOOMIS SAYLES BOND FUND 10 PURCHASES, 1 SALE	PS	VARIOUS	\$250,001 - \$500,000
	LOOMIS SAYLES SMALL CAP GROWTH	PS	PURCHASE 1/22/08, SALE 11/6/08	\$15,001 - \$50,000
	LAZARD EMERGING MKTS PORT 2 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	MASTERS SELECT INTERNATL 3 PURCHASES, 2 SALES	PS	VARIOUS	\$50,001 - \$100,000
	MORGAN STANLEY INS US REAL 4 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	PIMCO COMMODITY RR STRAT 4 PURCHASES, 1 SALE	PS	VARIOUS	\$250,001 - \$500,000
	T ROWE PRICE HIGH YIELD 10 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	RAINIER MID CAP EQUITY 2 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	RS PARTNERS FUND	Ø	10/16/08	\$50,001 - \$100,000

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		are J. wood commission worder.		
SP, DC,		Type of		
JT	Asset	Transaction	Date	Amount of Transaction
	RS VALUE FUND	S	11/6/08	\$50,001 - \$100,000
	FIDELITY HIGH INCOME FUND 11 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	THORNBURG INTL VALUE FD 4 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	THORNBURG INTERMED MUNI FD 10 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	THORNBURG VALUE FUND 4 PURCHASES, 1 SALE	Sd	VARIOUS	\$100,001 - \$250,000
	COLUMBIA VALUE & RESTRUCT 5 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	Trust FBO Johnathan Lee (1)- INTEREST IN LEE FAMILY CAPITAL LLC			
DC	IPATH DOW JONES AIG COMMODITY	ס	11/6/08	\$1,001 - \$15,000
DC	ISHARES COHEN & STEERS RLTY	-0	11/6/08	\$1,001 - \$15,000
DC -	ISHARES RUSSELL 3000 INDEX	סי	11/6/08	\$1,001 - \$15,000
DC	ISHARES MSCI ACWI EX US INDEX	ן 	11/6/08	\$1,001 - \$15,000

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SP,		Type of		
J	Asset	Transaction	Date	Amount of Transaction
DC	NEW YORK ST EVNRMNTL FACS CORP BOND	P	12/29/2008	\$1,001 - \$15,000
рc	OREGON HLTH SCIENCES UNIV BOND	י	12/26/2008	\$1,001 - \$15,000
DC	NEW YORK NY TRNSL FIN AUTH BOND	PS	PURCHASED 12/24/2008, SOLD 12/31/08	\$1,001 - \$15,000
DC	NEW YORK NY HLTH & HOSP CORP BOND	ָ ס	12/23/2008	\$1,001 - \$15,000
DC	CALIFORNIA STATEWIDE CDA BOND	ַ ס 	12/18/2008	\$1,001 - \$15,000
DC	WEST VIRGINIA ECON DEV AUTH SO BOND	ס	12/18/2008	\$1,001 - \$15,000
DC	NEW YORK ST TWY AUTH SPL TAX BOND	P	12/16/2008	\$1,001 - \$15,000
DC	NY ENVIRONMENTAL NYC MUNI WTR BOND	ן 	12/16/2008	\$1,001 - \$15,000
DC :	PUERTO RICO COMWLTH BOND	ן ס 	12/12/2008	\$1,001 - \$15,000
DC	PUERTO RICO COMWLTH BOND	ס	12/11/2008	\$1,001 - \$15,000
DC	LONG ISLAND PWR AUTH N Y ELEC BOND	ָּטּ	12/4/2008	\$1,001 - \$15,000

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SP, DC,		Type of		
JŢ	Asset	Transaction	Date	Amount of Transaction
DC	NEW YORK N Y G/O BOND	P	12/3/2008	\$1,001 - \$15,000
DC	NEW YORK NY TRNSL FIN AUTH BOND	ס	12/2/2008	\$1,001 - \$15,000
DC	TRIBOROUGH BRDG & TUNL AUTH NY BOND	ס	12/1/2008	\$1,001 - \$15,000
R	CHICAGO IL TRNSP AUTH FED GAN BOND	סר	11/21/2008	\$1,001 - \$15,000
8	MUNI ELECTRIC AUTH OF GA BOND	ס	11/20/2008	\$1,001 - \$15,000
DC	OHIO AIR QUALITY DEV AUTH BOND	.	11/20/2008	\$1,001 - \$15,000
DC	Summit Rock Strategic Fixed Income LP	P	10/23/08	\$1,001 - \$15,000
DC	Summit Rock Select Equity LP	ס	10/23/08	\$1,001 - \$15,000
8	Summit Rock Hedged Equity LP	סר	10/23/08	\$1,001 - \$15,000
DC	Summit Rock Diversified Strategies LP	יס 	11/17/08	\$1,001 - \$15,000
DC	Summit Rock Real Assets LP	ָ 	11/10/08	\$1,001 - \$15,000

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SP, DC,		Type of		
٥	Asset	Iransaction	Date	Amount of Transaction
DC	Summit Rock Private Equity LP	ק	11/5/08	\$1,001 - \$15,000
	Trust FBO Johnathan Lee (2)- INTEREST IN LEE FAMILY CAPITAL LLC			
DC	IPATH DOW JONES AIG COMMODITY	ס י	11/6/2008	\$1,001 - \$15,000
DC	ISHARES COHEN & STEERS RLTY	סר	11/6/2008	\$15,001 - \$50,000
DC	ISHARES RUSSELL 3000 INDEX	סר	11/6/2008	\$100,001 - \$250,000
DC	ISHARES MSCI ACWI EX US INDEX	ס	11/6/2008	\$50,001 - \$100,000
DC	NEW YORK ST EVNRMNTL FACS CORP BOND	ס ד	12/29/2008	\$1,001 - \$15,000
DC	OREGON HLTH SCIENCES UNIV BOND	ס	12/26/2008	\$1,001 - \$15,000
DC	NEW YORK NY TRNSL FIN AUTH BOND	ס-	12/24/2008	\$1,001 - \$15,000
DC	NEW YORK NY HLTH & HOSP CORP BOND	ָ פ ּ	12/23/2008	\$1,001 - \$15,000
DC	CALIFORNIA STATEWIDE CDA BOND	ס	12/18/2008	\$1,001 - \$15,000

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SP,		Type of		
BC	WEST VIRGINIA ECON DEV AUTH SO BOND	ָ פ י	12/18/2008	\$1,001 - \$15,000
DC	NEW YORK ST TWY AUTH SPL TAX BOND	סר	12/16/2008	\$1,001 - \$15,000
DC	NY ENVIRONMENTAL NYC MUNI WTR BOND	מי	12/16/2008	\$1,001 - \$15,000
DC	PUERTO RICO COMWLTH BOND	ים	12/12/2008	\$1,001 - \$15,000
DC	PUERTO RICO COMWLTH BOND	פ	12/11/2008	\$1,001 - \$15,000
DC	LONG ISLAND PWR AUTH N Y ELEC BOND	 -	12/4/2008	\$1,001 - \$15,000
DC	NEW YORK N Y G/O BOND	ים"	12/3/2008	\$1,001 - \$15,000
DC	NEW YORK NY TRNSL FIN AUTH BOND	י	12/2/2008	\$1,001 - \$15,000
DC	TRIBOROUGH BRDG & TUNL AUTH NY BOND	<i>ס</i> ד	12/1/2008	\$1,001 - \$15,000
DC	CHICAGO IL TRNSP AUTH FED GAN BOND	ס	11/21/2008	\$1,001 - \$15,000
DC	MUNI ELECTRIC AUTH OF GA BOND	ד	11/20/2008	\$1,001 - \$15,000

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J C K	Asset	Type of Transaction	Date	Amount of Transaction
C	OHIO AIR QUALITY DEV AUTH BOND	יסי ו	11/20/2008	\$1,001 - \$15,000
DC	Summit Rock Strategic Fixed Income LP	סר	10/23/08	\$1,001 - \$15,000
DC	Summit Rock Select Equity LP	ס־	10/23/08	\$15,001 - \$50,000
PC	Summit Rock Hedged Equity LP	ס	10/23/08	\$50,001 - \$100,000
DC	Summit Rock Diversified Strategies LP	ס	11/17/08	\$100,001 - \$250,000
DC !	Summit Rock Real Assets LP	ָ פ ּ	11/10/08	\$1,001 - \$15,000
DC	Summit Rock Private Equity LP	סי	11/5/08	\$15,001 - \$50,000
	TRUST FBO CHRISTOPHER J LEE - INTEREST IN LEE FAMILY CAPITAL LLC			
	IPATH DOW JONES AIG COMMODITY	ס	11/6/2008	\$50,001 - \$100,000
:	ISHARES COHEN & STEERS RLTY		11/6/2008	\$100,001 - \$250,000
	ISHARES RUSSELL 3000 INDEX		11/6/2008	\$500,001 - \$1,000,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	ISHARES MSCI ACWI EX US INDEX	ָּ ס	11/6/2008	\$500,001 - \$1,000,000
	NEW YORK ST EVNRMNTL FACS CORP BOND	ס	12/29/2008	\$15,001 - \$50,000
	OREGON HLTH SCIENCES UNIV BOND	Р	12/26/2008	\$1,001 - \$15,000
	NEW YORK NY TRNSL FIN AUTH BOND	PS	Purchase 12/24/2008, Sale 12/31/08	\$1,001 - \$15,000
	NEW YORK NY HLTH & HOSP CORP BOND	טד	12/23/2008	\$15,001 - \$50,000
	CALIFORNIA STATEWIDE CDA BOND	ס"	12/18/2008	\$1,001 - \$15,000
	WEST VIRGINIA ECON DEV AUTH SO BOND	ס	12/18/2008	\$1,001 - \$15,000
·	NEW YORK ST TWY AUTH SPL TAX BOND	P	12/16/2008	\$15,001 - \$50,000
	NY ENVIRONMENTAL NYC MUNI WTR BOND	ָּטר . 	12/16/2008	\$15,001 - \$50,000
	PUERTO RICO COMWLTH BOND	סד	12/12/2008	\$1,001 - \$15,000
	PUERTO RICO COMWLTH BOND	סד	12/11/2008	\$1,001 - \$15,000

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	 										J C, SP	
Summit Rock Diversified Strategies LP	Summit Rock Hedged Equity LP	Summit Rock Select Equity LP	Summit Rock Strategic Fixed Income LP	OHIO AIR QUALITY DEV AUTH BOND	MUNI ELECTRIC AUTH OF GA BOND	CHICAGO IL TRNSP AUTH FED GAN BOND	TRIBOROUGH BRDG & TUNL AUTH NY BOND	NEW YORK NY TRNSL FIN AUTH BOND	NEW YORK N Y G/O BOND	LONG ISLAND PWR AUTH N Y ELEC BOND	Asset	
ס	ָ 	ס	ס	ס	סר	ס	ס	ס	ס	ס	I Tre	
						;					Type of Transaction	
11/17/08	10/23/08	10/23/08	10/23/08	11/20/2008	11/20/2008	11/21/2008	12/1/2008	12/2/2008	12/3/2008	12/4/2008	Date	
\$500,001 - \$1,000,000	\$250,001 - \$500,000	\$100,001 - \$250,000	\$50,001 - \$100,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	Amount of Transaction	

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Summit Rock Real Assets LP	ָּ קּ	11/10/08	\$50,001 - \$100,000
	Summit Rock Private Equity LP	P	11/5/08	\$100,001 - \$250,000
	CHARITABLE TRUST FBO CHRISTOPHER J LEE -INTEREST IN LEE CHARITABLE CAPITAL LLC			
	IPATH DOW JONES AIG COMMODITY 1 PURCHASE, 2 SALES	PS(part)	VARIOUS	\$50,001 - \$100,000
	ISHARES COHEN & STEERS RLTY 1 PURCHASE, 2 SALES	PS(part)	VARIOUS	\$100,001 - \$250,000
	ISHARES RUSSELL 3000 INDEX 1 PURCHASE, 2 SALES	PS(part)	VARIOUS	\$1,000,001 - \$5,000,000
	ISHARES MSCI ACWI EX US INDEX 1 PURCHASE, 2 SALES	PS(part)	VARIOUS	\$500,001 - \$1,000,000
	NEW YORK ST EVNRMNTL FACS CORP	ָ ס ר	12/29/2008	\$15,001 - \$50,000
į	NEW YORK NY TRNSL FIN AUTH	ר פר -	12/24/2008	\$15,001 - \$50,000
	NEW YORK NY HLTH & HOSP CORP	ס	12/23/2008	\$15,001 - \$50,000
-	CALIFORNIA STATEWIDE CDA	סי	12/18/2008	\$1,001 - \$15,000

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC,	Asset	Type of Transaction	Date	Amount of Transaction
į	WEST VIRGINIA ECON DEV AUTH SO	P	12/18/2008	\$1,001 - \$15,000
	NEW YORK ST TWY AUTH SPL TAX	ס ־	12/16/2008	\$15,001 - \$50,000
	NY ENVIRONMENTAL NYC MUNI WTR	סד	12/16/2008	\$15,001 - \$50,000
	NY ST TWY AUTH ST PERS	ס	12/16/2008	\$15,001 - \$50,000
	PUERTO RICO COMWLTH	"סר	12/11/2008	\$15,001 - \$50,000
i	LONG ISLAND PWR AUTH N Y ELEC	סר	12/4/2008	\$15,001 - \$50,000
	NEW YORK N Y G/O	סר	12/3/2008	\$15,001 - \$50,000
	NEW YORK NY TRNSL FIN AUTH	ס ־	12/2/2008	\$15,001 - \$50,000
	TRIBOROUGH BRDG & TUNL AUTH NY	י סדי	12/1/2008	\$15,001 - \$50,000
	CHICAGO IL TRNSP AUTH FED GAN	ָ י י	11/21/2008	\$15,001 - \$50,000
	MUNI ELECTRIC AUTH OF GA	סד	11/20/2008	\$15,001 - \$50,000

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										SP, DC,	
AUTONATION INC	BLOCKBUSTER INC	POWERSECURE INTL INC COM 7 PURCHASES, 10 SALES	QUANTUM FUEL SYS TECHNOLOGIES 3 PURCHASES, 5 SALES	Individual Account (3):	Summit Rock Diversified Strategies LP	Summit Rock Hedged Equity LP	Summit Rock Select Equity LP	Summit Rock Strategic Fixed Income LP	OHIO AIR QUALITY DEV AUTH	Asset	
PS	PS	PS	PS(part)		ס	ס	ס	ס	סר	Type of Transaction	
PURCAHSE 7/25/08, SALE 7/29/08	PURCAHSE 7/17/08, SALE 7/18/08	VARIOUS	VARIOUS		12/22/08	12/22/08	12/22/08	12/22/08	11/20/2008	Date	
\$1,001 - \$15,000	\$1,001 - \$15,000	\$250,001 - \$500,000	\$15,001 - \$50,000		\$100,001 - \$250,000	\$100,001 - \$250,000	\$100,001 - \$250,000	\$15,001 - \$50,000	\$1,001 - \$15,000	Amount of Transaction	

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		SP, DC,
	SIERRA WIRELESS INC	Asset
	PS	Type of Transaction
7/31/08, SALE 8/7/08	PURCHASE	Date
	\$15,001 - \$50,000	Amount of Transaction