



Filing ID #10024687

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Rick Larsen  
**Status:** Member  
**State/District:** WA02

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2017  
**Filing Date:** 09/25/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
AFI Cash Reserve Certificate [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200 <input type="checkbox"/>
Ameriprise Financial One Account/CNL Healthcare Inc (widely diversified property investment fund) [RE]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
Ameriprise One Financial Account/Corporate Property Associates 18 Global Inc Cl A (widely diversifie [RE]	JT	\$1,001 - \$15,000	None	<input type="checkbox"/>
Ameriprise One Financial Account/Wells Fargo Wealthbuilder Growth Balanced [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
Ameriprise One Financial Account/Western Asset Intermediate Term Municipal Cl C [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
DC1/AK Freedom ADV 529 [5P]	DC	\$1,001 - \$15,000	None	<input checked="" type="checkbox"/>
LOCATION: AK DESCRIPTION: withdrawals to pay for tuition and other qualified education expenses				
DC2/AK Freedom ADV 529 [5P]	DC	\$1,001 - \$15,000	None	<input checked="" type="checkbox"/>
LOCATION: AK				

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
DESCRIPTION: withdrawal to pay for tuition and other qualified expenses				
Federal Congressional Credit Union/Checking [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200 <input type="checkbox"/>
Richard R Larsen IRA/Franklin Square Investment Corporation II [IH]		\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: FSIC is a non-traded Business Development Corporation fund				
Richard R Larsen IRA/Industrial Property Trust [RE]		\$15,001 - \$50,000	None	<input type="checkbox"/>
Richard R Larsen IRA/Wells Fargo WealthBuilder Growth Allocation Class C [MF]		\$1,001 - \$15,000	None	<input checked="" type="checkbox"/>
Richard R Larsen Roth IRA/Corporate Property Associates 17 Global Inc (widely diversified property i [RE]		\$1,001 - \$15,000	None	<input type="checkbox"/>
Richard R Larsen Roth IRA/Wells Fargo Wealthbuilder Equity Class C [MF]		\$1,001 - \$15,000	None	<input checked="" type="checkbox"/>
Riversource indexed universal life insurance/S&P 500 Indexed Account [WU]		\$50,001 - \$100,000	None	<input checked="" type="checkbox"/>
Riversource RAVA 5 Advantage Variable Annuity/VP Moderate Portfolio Class 2 [OT]		\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: Annuity that holds mutual fund. Retirement asset				
Tiia Ingrid Karlen Roth IRA/Ameriprise Insured Money Market Account [MF]	SP	\$1,001 - \$15,000	None	<input type="checkbox"/>
Tiia Ingrid Karlen Roth IRA/Franklin Square Investment Corporation II [IH]	SP	\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: FSIC is a non-traded Business Development Corporation fund				
Tiia Ingrid Karlen Roth IRA/Wells Fargo Wealth Builder Growth Allocation [MF]	SP	\$1,001 - \$15,000	None	<input checked="" type="checkbox"/>
Tiia Ingrid Karlen TSCA/Columbia Contrarian Core Class A [MF]	SP	\$1,001 - \$15,000	None	<input checked="" type="checkbox"/>
DESCRIPTION: Ameriprise changed fund name to Columbia Contrarian Core Class A from Columbia Contrarian Core Fund In June 2017 and transferred shares from one to the other				

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DC1/AK Freedom ADV 529 [5P]	DC	01/18/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: WA DESCRIPTION: withdrawal to pay for tuition and other qualified expenses					
DC1/AK Freedom ADV 529 [5P]	DC	07/24/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: WA DESCRIPTION: Withdrawal to pay for tuition and other qualified expenses					
Richard R Larsen IRA/Wells Fargo WealthBuilder Growth Allocation [IR]		02/10/2017	E	\$1,001 - \$15,000	
DESCRIPTION: Ameriprise exchanged Richard R Larsen IRA/Wells Fargo WealthBuilder Growth Allocation shares to Richard R Larsen IRA/Wells Fargo WealthBuilder Growth Allocation Class C shares					
Richard R Larsen IRA/Wells Fargo WealthBuilder Growth Allocation Class C [IR]		02/10/2017	E	\$1,001 - \$15,000	
DESCRIPTION: Ameriprise exchanged shares in the Growth Allocation fund to Class C shares prom previous shares.					
Richard R Larsen Roth IRA/Wells Fargo Wealthbuilder Equity [MF]		02/10/2017	E	\$1,001 - \$15,000	
DESCRIPTION: Ameriprise exchanged shares to Richard R Larsen Roth IRA/Wells Fargo Wealthbuilder Equity Class C from shares in Richard R Larsen Roth IRA/Wells Fargo Wealthbuilder Equity					
Richard R Larsen Roth IRA/Wells Fargo Wealthbuilder Equity Class C [IR]		02/10/2017	E	\$1,001 - \$15,000	
DESCRIPTION: Ameriprise exchnged shares to Richard R Larsen Roth IRA/Wells Fargo Wealthbuilder Equity Class C from the shares in Richard R Larsen Roth IRA/Wells Fargo Wealthbuilder Equity					
Riversource indexed universal life insurance/S&P 500 Indexed Account [WU]		Monthly	P	\$350.00	
DESCRIPTION: Monthly purchase of premium					
Tiia Ingrid Karlen IRA/Wells Fargo WealthBuilder Growth Allocation [MF]	SP	02/10/2017	E	\$1,001 - \$15,000	
DESCRIPTION: Ameriprise exchanged Tiia Ingrid Karlen IRA/Wells Fargo WealthBuilder Growth Allocation shares to Tiia Ingrid Karlen IRA/Wells Fargo WealthBuilder Growth Allocation Class C shares					
Tiia Ingrid Karlen Roth IRA/Wells Fargo Wealth Builder Growth Allocation Class C [MF]	SP	02/10/2017	E	\$1,001 - \$15,000	
DESCRIPTION: Ameriprise exchanged Tiia Ingrid Karlen IRA/Wells Fargo WealthBuilder Growth Allocation shares to Tiia Ingrid Karlen IRA/Wells Fargo WealthBuilder Growth Allocation Class C shares					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Tiia Ingrid Karlen TSCA/Columbia Contrarian Core Class A [MF]	SP	06/23/2017	E	\$15,001 - \$50,000	
DESCRIPTION: Transfer of account amount from Tiia Ingrid Karlen TSCA/Columbia Contrarian Core Fund A to Tiia Ingrid Karlen TSCA/Columbia Contrarian Core Class A					
Tiia Ingrid Karlen TSCA/Columbia Contrarian Core Fund A [MF]	SP	06/23/2017	E	\$15,001 - \$50,000	
DESCRIPTION: Transfer of account amount from Tiia Ingrid Karlen TSCA/Columbia Contrarian Core Fund A to Tiia Ingrid Karlen TSCA/Columbia Contrarian Core Class A					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	BAC Loans	December 2011	Mortgage for secondary residence	\$250,001 - \$500,000
JT	Everbank	March 2012	Mortgage for primary residence	\$7,300.00

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The Aspen Institute	04/8/2017	04/15/2017	Washington, DC - Seoul, ROK - Tokyo, Japan - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
National Committee on US-China Relations	09/15/2017	09/24/2017	Washington DC - Beijing, China - Tianjin, China - Jinan, China - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
The Aspen Institute	08/9/2017	08/15/2017	Washington, DC - Oslo, NR - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Rick Larsen , 09/25/2018