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1	ıp - Vanguard Treasury	ıp - T Rowe	ıp — Stable	Def Comp - Federated Gov't Securities	- Amer	1st Benk of Peducah, KY Accounts	Simon & Schuster	Mega Corp. Stock	property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business that is not publicly traded, state the name of the business. The nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal refrement program, including the Thrift Savings Plan.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the fair left.  For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	For rental or other real property held for investment, provide a complete address or a description, e.g., "rental	For all IRAs and other retrement plans (such as 401(t) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.	Provide complete names of stocks and mutual funds (do not use ticker symbols.)	reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.	Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the resocriting period, and (b) any other	Asset and/or Income Source	BLOCK A
	rd	Price	Value	ted US	Fund	KY Accounts				n, e.g., rental						
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							Royalties		Other Type of Income (Specify: e.g., Parinership Income or Farm Income)	1	the asset tring the	irks), you may check the har- beiemed column. Dividends, inter- est, and capital gains, even if rein-	ments or that generate tax-deferred income (such as 401(k) plans or	retirement accounts that do not allow you to choose specific invest-	}	ı
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	<u> </u>	× -	×	×	<del>  ^</del>	╁	+	-	None	dependent child.	* This column is for assets held solely		g g	Deferred in	<b>!</b>	
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	<del> </del>		<del>                                     </del>	1	†	t	$\vdash$	T	Spouse/DC Income over \$1,000,000*	$\dashv$	악	φ. ξ.	<b>克克</b>		¥	,
								S (partial)		If only a		\$1,000 in reporting year.	or exchanges (E) exceeding	purchases (P), sales (S)	indicate if the	BLOCKE

## SCHEDULE IV— TRANSACTIONS

Name	
Sean Patrick Duffy	
Page	

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															Sold House in As	Example:		tial sale"). See example below.  Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.  This column is for assets solely held by your spouse or dependent child.	in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so Indicate (i.e., "par-	Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted	
	·														in Ashland	Mega Corporation Common Stock (partial sale)	set	ted in a capital gain in excess of ose this income on Schedule III. If spouse or dependent child.	n of any exchange transaction. see or dependent children, or the lose, unless it generates rental t, please so indicate (i.e., "par-	sactions by you, your spouse, or of any security or real property clude transactions that resulted	
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## SCHEDULE V— LIABILITIES

Name Sean Patrick Duffy Page 4

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residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. This column is for liabilities held solely by your spouse or dependent child. Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal during the year.

							Amount of Liability	of L	ability		'	
ትይሄ	Creditor	Date Liability Incurred Mo/Year	Type of Liability	\$10,001- \$15,000 >	\$50,000 W \$50,001- \$100,000 O	\$100,001- \$250,000	\$250,001- \$500,000 m	\$500,001- \$1,000,000	\$1,000,001 \$5,000,000	\$5,000,001- \$25,000,000 <b>=</b> \$25,000,001-	\$50,000,000 T	\$50,000,000 <b>Spouse/DC Liability</b>
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE			×				$\vdash$	$\vdash$	lacksquare
1	Student Loan Corp	1990	Student Loan	ļ	×	1		<u> </u>		+	<del> </del>	-
TL	Wells Fargo	2003	Line of Credit	  ×		<del>                                     </del>				<del> </del>	+-	
JT	US Bank	2009	Personal Residence Mortgage	-	-	×		_	_	-	+	_
	Northern State Bank	2012	Personal Loan	×		_			-	-	+	_
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## SCHEDULE VI— GIFTS

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. Report the source, a brief description, and the value of all gifts totalling more than \$350 received by you, your spouse, or a dependent child from any source during the year.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	\$375