



**SCHEDULE I - EARNED INCOME**

Name J. Heath Shuler

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source               | Type             | Amount   |
|----------------------|------------------|----------|
| Wells Fargo Advisors | IRA Distribution | \$50,000 |

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| BLOCK A   |   | BLOCK B  | BLOCK C   | BLOCK D  | BLOCK E  |
|---|---|--|---|--|--|
| Asset and/or Income Source  |   | Year-End Value of Asset  | Type of Income  | Amount of Income   | Transaction  |
| <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving account; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> |   | <p>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p> | <p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p> | <p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> | <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p> |
| SP  | General Electric Stock  | \$1,001 - \$15,000   | DIVIDENDS   | \$1 - \$200  |  |
|   | The Highlands Property Group, LLC (management company that manages investments) | \$1,000,001 - \$5,000,000  | Partnership   | NONE   |  |
|   | Shuler Properties, LLC - See Footnotes 1 and 2                                  | \$1,000,001 - \$5,000,000  | Partnership   | NONE   |  |
| JT  | United Community Bank (Personal Checking Account)                               | \$15,001 - \$50,000  | None  | NONE   |  |
|   | Mountain Commerce Bank  | \$1,001 - \$15,000   | INTEREST  | \$1 - \$200  |  |
|   | United Community Bank (Personal Checking Account)                               | \$1,001 - \$15,000   | None  | NONE   |  |

# **SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|   |                      |              |      |  |
|---|----------------------|--------------|------|--|
| NFL Player Annuity & Ins                        | \$15,001 - \$50,000  | None         | NONE |  |
| Wells Fargo Advisors (Personal IRA):            | \$1,001 - \$15,000   | None         | NONE |  |
| 1. Advantage Oil & Gas Ltd                      |                      |              |      |  |
| 2. Apollo Investment Corp                       | \$1,001 - \$15,000   | Tax Deferred | NONE |  |
| 3. Cel-Sci Com                                  | \$1 - \$1,000        | None         | NONE |  |
| 4. Covidien PLC                                 | \$1,001 - \$15,000   | Tax Deferred | NONE |  |
| 5. Enterprise Products Partners                 | \$15,001 - \$50,000  | Tax Deferred | NONE |  |
| 6. Merge Healthcare Inc                         | \$1,001 - \$15,000   | None         | NONE |  |
| 7. TE Connectivity LTD                          | \$1,001 - \$15,000   | Tax Deferred | NONE |  |
| 8. Tyco International Ltd                       | \$1,001 - \$15,000   | Tax Deferred | NONE |  |
| 9. Cash Balance                                 | \$50,001 - \$100,000 | None         | NONE |  |
| NFL Player Second Career Savings Plan - 401(f): | \$1 - \$1,000        | Tax Deferred | NONE |  |
| 1. Bond Fund                                    |                      |              |      |  |
| 2. Value Fund                                   | \$50,001 - \$100,000 | Tax Deferred | NONE |  |
| 3. Growth Fund                                  | \$15,001 - \$50,000  | Tax Deferred | NONE |  |
| 4. Small Cap Value Fund                         | \$15,001 - \$50,000  | Tax Deferred | NONE |  |

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|----|---|----------------------|--------------|-------------|
| DC | 5. Small Cap Core Fund                                | \$15,001 - \$50,000  | Tax Deferred | NONE        |
| DC | 6. International Fund                                 | \$1,001 - \$15,000   | Tax Deferred | NONE        |
| DC | American Capital Ltd                                  | \$1 - \$1,000        | None         | NONE        |
| DC | Wells Fargo Advisors Cash Balance                     | \$1 - \$1,000        | None         | NONE        |
| DC | Wells Fargo Minor Roth IRA Cash Balance (Son)         | \$1,001 - \$15,000   | Tax Deferred | NONE        |
| DC | Wells Frago Minor Roth IRA Cash Balance (Daughter)    | \$1,001 - \$15,000   | Tax Deferred | NONE        |
| DC | FA 529 PORT 2019-OLD CL A (529 Savings Plan for Son)  | \$15,001 - \$50,000  | None         | NONE        |
| DC | FA 529 PORT 2022-CL A (529 Savings Plan for Daughter) | \$1,001 - \$15,000   | None         | NONE        |
| JT | Forest Commercial Bank                                | \$1,001 - \$15,000   | INTEREST     | \$1 - \$200 |

# SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

| SP, DC, JT | Creditor                                | Date Liability Incurred | Type of Liability  | Amount of Liability   |
|------------|---|-------------------------|--|-----------------------|
|            | Bank of America                         | 2008                    | Commercial Line of Credit                                | \$100,001 - \$250,000 |
|            | SunTrust Bank                           | April 2008              | Loan   | \$50,001 - \$100,000  |
|            | Central Loan Administration & Reporting | 2008                    | Mortgage on 51 Plott Valley Rd., Waynesville, NC         | \$250,001 - \$500,000 |
|            | Mountain Commerce Bank                  | 2008                    | Home Equity Loan on 51 Plott Valley Rd., Waynesville, NC | \$100,001 - \$250,000 |
|            | Forest Commercial Bank                  | October 2011            | Line of Credit   | \$50,001 - \$100,000  |

# **SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

| Source                                     | Date(s)    | Point of Departure--<br>Destination--Point of Return | Lodging?<br>(Y/N) | Food?<br>(Y/N) | Was a Family<br>Member Included?<br>(Y/N) | Days not at<br>sponsor's<br>expense |
|--|------------|--|-------------------|----------------|---|-------------------------------------|
| Sri Lanka - US Exchange<br>Program (MECEA) | Oct. 16-24 | DC - Katunayake, Sri Lanka -<br>DC                   | Y                 | Y              | N   | None                                |

# FOOTNOTES

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| Number | Section / Schedule | Footnote   | This note refers to the following item       |
|--------|--------------------|--|--|
| 1      | Schedule III       | As of 12/31/11, Shuler Properties, LLC owns two pieces of residential real property. Also as of 12/31/11, Shuler Properties, LLC owns a 50% interest in Qualifeather Holdings, LLC which owns real property which is leased for use in a hunting operation.  | Shuler Properties, LLC - See Footnote 1      |
| 2      | Schedule III       | Shuler Properties, LLC owned a 50% interest in QuailSouth, LLC which was a company that operated the property for Qualifeather holdings, LLC. During 2011 QuailSouth operated the property and those operations ceased during the summer of 2011. It had losses and no profits and no profits were distributed from this operating entity before it was closed down. QuailSouth, LLC was a management or operating entity and once it was shut down had a zero value and all of the assets that had been in it were transferred or were already owned by Qualifeather Holdings, LLC of which Shuler Properties, LLC is also a 50% owner. | Shuler Properties, LLC - See Footnote 2      |
| 3      | Schedule III       | Wells Fargo Advisors (Personal IRA) consists of Security and a cash account which are listed as items 1 - 9 immediately following the entry for Wells Fargo (Personal IRA) on Schedule III.  | Wells Fargo Advisors (Personal IRA)          |
| 4      | Schedule III       | NFL Player Second Career Savings Plan - 401(k) consists of 6 mutual funds which are listed as items 1 - 6 immediately following the entry for NFL Player Savings Plan on Schedule III.   | NFL Player Second Chance Career Savings Plan |