



Filing ID #10016774

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Katherine M. Clark
Status: Member
State/District: MA05

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 08/7/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise SEP IRA ⇒ Amgen Inc. (AMGN)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Apple Inc. (AAPL)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ BlackRock, Inc. (BLK)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ China Mobile Limited (CHL)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCE)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ D/B/A Chubb Limited New (CB)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise SEP IRA ⇒ Discover Financial Services (DFS)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Dominion Energy, Inc. (D)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ General Electric Company (GE)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ GlaxoSmithKline PLC (GSK)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Home Depot, Inc. (HD)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Ishares 1-3yr Treasury Bond ETF	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Ishares 7-10yr Treasury Bond ETF	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Ishares Iboxx Investment Grade Corp Bond ETF	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ iShares MBS ETF (MBB)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ JP Morgan Chase & Co. (JPM)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ MetLife, Inc. (MET)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Microsoft Corporation (MSFT)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Nike, Inc. (NKE)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Novo Nordisk A/S (NVO)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Oracle Corporation (ORCL)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise SEP IRA ⇒ Pioneer Natural Resources Company (PXD)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Powershares Emerging Markets Sovereign Debt ETF	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ SPDR Barclays Cap Short Term High Yield Bond ETF	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Starbucks Corporation (SBUX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Short Term Corp Bond ETF	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Small-Cap ETF - DNQ (VB)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Visa Inc. (V)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Waste Management, Inc. (WM)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Amgen Inc. (AMGN)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Apple Inc. (AAPL)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ BlackRock, Inc. (BLK)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ China Mobile Limited (CHL)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒	SP	\$1 - \$1,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Coca-Cola European Partners plc Ordinary Shares (CCE)					
Ameriprise Traditional IRA ⇒ D/B/A Chubb Limited New (CB)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Discover Financial Services (DFS)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Dominion Energy, Inc. (D)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ General Electric Company (GE)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ GlaxoSmithKline PLC (GSK)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Home Depot, Inc. (HD)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Ishares 1-3yr Treasury Bond ETF	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Ishares 7-10yr Treasury Bond ETF	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Ishares Iboxx Investment Grade Corp Bond ETF	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Ishares MBS ETF	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ JP Morgan Chase & Co. (JPM)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ MetLife, Inc. (MET)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Microsoft Corporation (MSFT)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Nike, Inc. (NKE)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise Traditional IRA ⇒ Novo Nordisk A/S (NVO)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Oracle Corporation (ORCL)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Pioneer Natural Resources Company (PXD)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Powershares Emerging Markets Sovereign Debt ETF	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ SPDR Barclays Cap Short Term High Yield Bond ETF	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Starbucks Corporation (SBUX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Short Term Corp Bond ETF	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Small-Cap ETF - DNQ (VB)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Visa Inc. (V)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Waste Management, Inc. (WM)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Bank of America Account		\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bank of America Account		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Capital One Savings Account	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
COPERA 401(K) Plan ⇒ PERAdvantage 2030 Fund		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
COPERA 401(K) Plan ⇒ PERAdvantage US Large Cap Stock		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
D1 Irrevocable Trust ⇒ John Hancock 529 College Portfolio C2	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					
D1 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio C2	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					
D1 Irrevocable Trust ⇒ John Hancock Fixed Income Portfolio C2	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					
D1 Irrevocable Trust ⇒ Sequoia Fund	DC	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 College Portfolio C2	DC	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					
D2 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio C2	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					
D2 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio C2	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					
D2 Irrevocable Trust ⇒ Sequoia Fund	DC	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 College Portfolio C2	DC	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					
D3 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio 2	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: MA					
D3 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio 2	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: MA					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
D3 Irrevocable Trust ⇒ Sequoia Fund	DC	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Amsouth Bancorporation Sub Deb		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 6.75%, 11/1/25					
Judith S. Clark Irrevocable Trust ⇒ Apple Inc. (AAPL)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ ARAMARK CORP GTD SR NT		\$1,001 - \$15,000	Interest	None	<input checked="" type="checkbox"/>
DESCRIPTION: 5.75% 03/15/20					
Judith S. Clark Irrevocable Trust ⇒ Avondale AZ Projs of 1998 2007 Build Amer		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 7.8%, 7/1/29					
Judith S. Clark Irrevocable Trust ⇒ BANK AMER CORP FXD RT SUB NT SER L		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 4.200% 08/26/24					
Judith S. Clark Irrevocable Trust ⇒ Berkshire Hathaway Inc. New (BRK.B)		\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Camden County NJ Impt Auth Lease Rev Taxable-C		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 6.72%, 7/1/23					
Judith S. Clark Irrevocable Trust ⇒ CHS / CMNTY HEALTH SYS INC SR SECD NT		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 5.125% 08/15/18					
Judith S. Clark Irrevocable Trust ⇒ Cisco Systems, Inc. (CSCO)		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Clean Harbors Inc GTD FXD NT		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 5.125%, 12/1/18					
Judith S. Clark Irrevocable Trust ⇒ Corporate Capital Trust		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ DOLLAR GEN CORP NEW SR FXD RT		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: 3.250% 04/15/23					
Judith S. Clark Irrevocable Trust ⇒ Eaton Vance Muni National Munis Cl I		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Fidelity Government Money Market		\$250,001 - \$500,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: Cash holdings					
Judith S. Clark Irrevocable Trust ⇒ Flextronics Intl Ltd SR NT		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 4.625%, 12/15/20					
Judith S. Clark Irrevocable Trust ⇒ Ford Motor Company (F)		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ FS Energy and Power Fund		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ HEALTHSOUTH CORP SR NT		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: 5.75% 11/01/24					
Judith S. Clark Irrevocable Trust ⇒ Home Depot, Inc. (HD)		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ ICAHN ENTERPRISES L P / ICAHN ENTERPRISES FIN CO RP GTD FIXED RT SR 6.000%		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: 6.00% 08/01/20					
Judith S. Clark Irrevocable Trust ⇒ Intel Corporation (INTC)		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ JP Morgan Chase & Co. (JPM)		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ JP Morgan Chase & Co. SR NT		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.25%; 9/23					
Judith S. Clark Irrevocable Trust ⇒ KB HOME SR NT 7.500%		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 7.500% 9/15/22					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Judith S. Clark Irrevocable Trust ⇒ Kraft Foods Group, Inc. (KRFT)		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ MICROSOFT CORP FIXEDRT NT DESCRIPTION: 3.0%, 10/1/20		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Microsoft Corporation (MSFT)		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Nebraska Coop Republican Platte Enhancement DESCRIPTION: 4.6%, 12/15/22		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ NETAPP INC SR NT DESCRIPTION: 3.250% 12/15/22		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ New Orleans LA RFDG-Taxable-Ltd Tax DESCRIPTION: 3.142%, 9/1/20		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Norfolk Southern Corporation (NSC)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ PNC Financial Services Group, Inc. (PNC)		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Puerto Rico Commonwealth Govt Dev BK RFDG SR NTS DESCRIPTION: 5.2%, 8/1/26		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ RADIAN GROUP INC SR NT DESCRIPTION: 5.500% 06/01/19		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ RENT A CTR INC NEW GTD FIXED RT SR DESCRIPTION: 4.750% 05/01/21		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ ROYAL BK SCOTLAND GROUP PLC SUB NT TIER 2 ISIN#US780097AZ42 DESCRIPTION: 6.000% 12/19/23		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Judith S. Clark Irrevocable Trust ⇒ Ryland Group Inc SR NT DESCRIPTION: 5.375% 10/1/22		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ SPDR S&P 500 ETF		\$100,001 - \$250,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ STATE STR CORP SR SUB NT DESCRIPTION: 3.100% 05/15/23		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ SYNOVUS FINL CORP SUB NT DESCRIPTION: 5.125% 06/15/17		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Tenet Healthcare Corp SR SECD NT DESCRIPTION: 4.75%, 6/1/20		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ TENNECO INC SR NT DESCRIPTION: 6.875% 12/15/20 Redeemed 7/13/16		None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ TEVA PHARMACEUTICAL FIN IV B V SR NT DESCRIPTION: 3.650% 11/10/21		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ T-Mobile USA Inc GTD SR FXD RT DESCRIPTION: 6.633%, 4/28/21		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ TOLL BROS FIN CORP GTD FXD RT DESCRIPTION: 4.0%, 12/31/18		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Twitter, Inc. (TWTR)		\$15,001 - \$50,000	Capital Gains	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ United Parcel Service, Inc. (UPS)		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ United States Treas NTS DESCRIPTION: 4.25%; 11/15/17		\$50,001 - \$100,000	Interest	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Judith S. Clark Irrevocable Trust ⇒ Verizon Communications Inc. (VZ)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Walt Disney Company (DIS)		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ WELLCARE GROUP INC FXD RT SR DESCRIPTION: 5.750% 11/15/20		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ WELLS FARGO & CO NEWMEDIUM TERM SR NTS FXD RT SER M DESCRIPTION: 4.125%; 08/15/23		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Wells Fargo & Company (WFC)		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Zions Bancorporation Medium Term SR NTS SR NT DESCRIPTION: 5.65%, 11/15/23		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Massachusetts state pension		Undetermined	None		<input type="checkbox"/>
Sequoia Fund		\$250,001 - \$500,000	Capital Gains	\$50,001 - \$100,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ American Beacon London Income Equity CL A	SP	10/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Amgen Inc. (AMGN)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Apple Inc. (AAPL)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ BlackRock, Inc. (BLK)	SP	10/11/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ China Mobile Limited (CHL)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCE)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ D/B/A Chubb Limited New (CB)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Discover Financial Services (DFS)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Dominion Energy, Inc. (D)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Fidelity Advisor Mid Cap II CL I	SP	10/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Fidelity Advisor New Insights CL I	SP	10/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ General Electric Company (GE)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ GlaxoSmithKline PLC (GSK)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Home Depot, Inc. (HD)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Ishares 1-3 Yr Treasury Bond ETF	SP	02/2/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Ishares IBOXX Investment Grade Corp Bond ETF	SP	10/11/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Ishares Intl Select Dividend ETF	SP	10/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ JP Morgan Chase & Co. (JPM)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ MetLife, Inc. (MET)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ MFS Utilities CL I	SP	10/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Microsoft Corporation (MSFT)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Mirae Asset Global Emerging Markets Great Consumer CL A	SP	10/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Nike, Inc. (NKE)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Novo Nordisk A/S (NVO)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Oracle Corporation (ORCL)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Pioneer Natural Resources Company (PXD)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Putnam Capital Spectrum CL Y	SP	10/11/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ SPDR Series Trust S&P Dividend ETF	SP	10/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Starbucks Corporation (SBUX)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Vanguard Short Term Corp Bond ETF	SP	02/2/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒	SP	10/11/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Visa Inc. (V)					
Ameriprise SEP IRA ⇒ Waste Management, Inc. (WM)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ American Beacon London Income Equity CL A	SP	10/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Amgen Inc. (AMGN)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Apple Inc. (AAPL)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ BlackRock, Inc. (BLK)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ China Mobile Limited (CHL)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCE)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ D/B/A Chubb Limited New (CB)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Discover Financial Services (DFS)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Dominion Energy, Inc. (D)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Fidelity Advisor Mid Cap II CL I	SP	10/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Fidelity Advisor New Insights CL I	SP	10/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ General Electric Company (GE)	SP	10/11/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise Traditional IRA ⇒ GlaxoSmithKline PLC (GSK)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Home Depot, Inc. (HD)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Ishares 1-3 Yr Treasury Bond ETF	SP	02/2/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Ishares Iboxx Investment Grade Corp Bond ETF	SP	10/11/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Ishares Intl Select Dividend ETF	SP	10/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Ishares MBS ETF	SP	02/2/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ JP Morgan Chase & Co. (JPM)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ MetLife, Inc. (MET)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ MFS Utilities CL I	SP	10/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Microsoft Corporation (MSFT)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ MIRAE Asset Global Emerging Markets Great Consumer CL A	SP	10/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Nike, Inc. (NKE)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Novo Nordisk A/S (NVO)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Oracle Corporation (ORCL)	SP	10/11/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise Traditional IRA ⇒ Pioneer Natural Resources Company (PXD)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Putnam Capital Spectrum CL Y	SP	10/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ SPDR Series Trust S&P Dividend ETF	SP	10/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Starbucks Corporation (SBUX)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Vanguard Short Term Corp Bond ETF	SP	02/2/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Visa Inc. (V)	SP	10/11/2016	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Waste Management, Inc. (WM)	SP	10/11/2016	P	\$1,001 - \$15,000	
Judith S. Clark Irrevocable Trust ⇒ AbbVie Inc. (ABBV)		12/16/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Advanced Micro Devices Inc SR NT DESCRIPTION: 7.75%, 8/1/20 redeemed full call payout		09/28/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ ARAMARK CORP GTD SR NT DESCRIPTION: 5.75%; 3/15/2020 Redeemed Partial Call Payout		06/15/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Ford Motor Company (F)		01/14/2016	P	\$1,001 - \$15,000	
Judith S. Clark Irrevocable Trust ⇒ MANITOWOC INC GTD SRNT DESCRIPTION: 5.87500% 10/15/2022 Redeemed full call payout		03/3/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ TENNECO INC SR NT		07/13/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: 6.875%; 12/15/2020 Redeemed full call payout					

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Lawyers Concerned for Lawyers	spouse salary	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	UMass Boston Center for Women in Politics and Public Policy

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
July 1997	Katherine M. Clark and the Commonwealth of Massachusetts	future pension
September 1991	Katherine M. Clark and the state of Colorado (COPERA)	future pension

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Aspen Institute Congressional Program	03/28/2016	04/4/2016	Boston - Beijing and Nanjing, China - Boston	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Ameriprise SEP IRA (Owner: SP)
- Ameriprise Traditional IRA (Owner: SP)
- COPERA 401(K) Plan
- D1 Irrevocable Trust (Owner: DC)
- D2 Irrevocable Trust (Owner: DC)
- D3 Irrevocable Trust (Owner: DC)
- Judith S. Clark Irrevocable Trust

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Katherine M. Clark , 08/7/2017