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2012 OCT -2 PM 6: 01

Congress of the United States of the Let is House of Representatives House of Representatives

October 2, 2012

The Honorable Karen L. Haas Clerk of the U.S. House of Representatives B106 Cannon House Office Building Washington, DC 20515

RE: Amendment to 2002-2011 Financial Disclosure Statements

Dear Ms. Haas:

I am submitting this letter to highlight changes in my previous Financial Disclosure Statements. It has been discovered that inadvertent omissions were made. My accountant discovered that my spouse had invested in a Fixed Annuity Contract (Fixed Annuity) in 2002. The Fixed Annuity was not disclosed in the 2002 through 2010 Financial Disclosure Statements. From 2002 to 2010, the value of that asset was between \$15,001 and \$50,000, and income earned ranged from \$1,000 to \$2,500. IRA distributions in the amount of \$5,107 were made in 2011. The 1099's reflecting the distributions have not been provided and therefore the distributions were not included on the Financial Disclosure Schedule I, Earned Income.

It should also be noted that I inadvertently did not disclose my board memberships in two organizations. While I was not an active member, I did serve as an *ex officio* member in 2004 and 2008 on the Board of the NYC Empowerment Zone. I also served as a Board member in the Ann S. Kheel Charitable Trust in 2002, 2003, 2008, 2010 and 2011. The Kheel Trust has been successful in fully distributing funds for educational, civil rights and other organizations that serve disadvantaged New York neighborhoods and will soon close out the account. These positions involved no monetary compensation. Per my belief that the Clerk of the U.S. House of Representatives prefers amendments to the originally filed schedules, we have prepared the attached amendments.

Thank you for your understanding in this matter

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MEMBER OF CONGRESS

	s. Yes No	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	ets, "unearned" inc	Exemptions Have you excluded from this report any other ass because they meet all three tests for exemption?	
	Yes No V	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	ed by the Committee	Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse,	
ı	STIONS	ATION ANSWER EACH OF THESE QUE	JST INFORMA	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION ANSWER EACH OF THESE QUESTIONS	ا ا
L	" response.	appropriate schedule attached for each "Yes" response		If yes, complete and attach Schedule V.	
	and the	Each question in this part must be answered and the	Yes No 🗸	Did you, your spouse, or a dependent child have any reportable liability V. (more than \$10,000) during the reporting period?	
I		If yes, complete and attach Schedule IX.		If yes, complete and attach Schedule IV.	
	outside Yes No	Did you have any reportable agreement or arrangement with an outside IX. entity?	Yes V No	Did you, your spouse, or dependent child purchase, sell, or exchange any IV. reportable asset in a transaction exceeding \$1,000 during the reporting	
1		If yes, complete and attach Schedule VIII.		If yes, complete and attach Schedule III.	
	ling in the Yes ✓ No 🗌	Did you hold any reportable positions on or before the date of filing in the VIII. current calendar year?	Yes V No	Did you, your spouse, or a dependent child receive "unearmed" income of III. more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the and of the period?	
1		If yes, complete and attach Schedule VII.		If yes, complete and attach Schedule II.	
	e than \$350 Yes 🔲 No 🗹	Did you, your spouse, or a dependent child receive any reportable travel VII. or reimbursements for travel in the reporting period (worth more than \$350 Yes from one source)?	Yes No	Did any individual or organization make a donation to charity in lieu of II. paying you for a speech, appearance, or article in the reporting period?	
<u> </u>		If yes, complete and attach Schedule VI.		If yes, complete and attach Schedule I.	
	ble gift in therwise Yes ☑ No 🗌	Did you, your spouse, or a dependent child receive any reportable gift in VI. the reporting period (i.e., aggregating more than \$150 and not otherwise exempt)?	Yes 🗸 No 🗌	Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 l. or more from any source in the reporting period?	
1		DUESTIONS	OF THESE QUESTIONS	PRELIMINARY INFORMATION ANSWER EACH	<u></u>
I	late.		Termination	Type	
	more than 30 days	Termination Date:		Person	۱
	be assessed against	Employee		<i>ა</i>	
	A \$200 penalty shall	Employing Office	0	Filer Member of the U.S. State: NY	_
	U.S. HOUSE BEAUSE CONTY	(Daytime Telephone) U.		(Full Name)	Ī
30 N	2012 OCT -2 PM 6: 00 NO	(202)225-4365		Charles B. Rangel	
	MIST MILYE KESOURCE CLAIL:				T
VERED	HAND DELIVERED	FORM A Page 1 of 13 For use by Members, officers, and employees	TATIVES	UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT	

SCHEDULE I - EARNED INCOME

Name Charles B. Rangel

Page 2 of 13

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Congressional Federal Credit Union IRA Distribution	Source	
oution	Туре	
\$5,996	Amount	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME optional column on the far left. (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the state the name of the business, the nature of its activities, and its geographic reporting thresholds. For retirement accounts which are not self-directed, provide If you so choose, you may indicate that an asset or income source is that of your Exclude: Your personal residence, including second homes and vacation homes For rental or other real property held for investment, provide a complete address. reporting period. only the name of the institution holding the account and Its value at the end of the (i.e., plans in which you have the power, even if not exercised, to select the specific ocation in Block A. For an ownership interest in a privately-held business that is not publically traded investments), provide the value for each asset held in the account that exceeds the For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed Provide complete names of stocks and mutual funds (do not use ticker symbols.) uneamed" income during the year. Asset and/or Income Source Union Empty Lots, Glassboro, New Capital One Checking (former Alliance Municipal Inc. Fd. New Jersey (tax assessment \$3,000) Congressional Federal Credit North Fork Bank) Blackrock Muni Enhanced York Port 74 West 132nd Street NY, NY **BLOCK A** \$15,000 \$1,001 -None \$500,000 \$500,000 \$50,000 \$15,001 -None \$250,001 -\$250,001 value should be "None." generated income, the included only because it is specify the method used. If you use a valuation f an asset was sold and is market value, please method other than fair At close of reporting year. Value of Asset Year-End Name Charles B. Rangel **BLOCK B** NONE ENDS Other: Past due (such as 401(k) plans or IRAs) you may check the "None" DIVIDENDS DIVIDENDS/CAPI income during the reporting do not allow you to choose Check all columns that apply INTEREST rent collection the asset generated no as income. Check "None" if reinvested, must be disclosed and capital gains, even if column. Dividends, interest, generate tax-deferred income specific investments or that For retirement accounts that INTEREST/DIVID TAL GAINS Type of Income BLOCK C \$1,001 - \$2,500 \$2,501 - \$5,000 \$1,001 - \$2,500 \$1,001 - \$2,500 \$201 - \$1,000 NONE or generated "None" if no income was earned disclosed as income. Check gains, even if reinvested, must be Dividends, interest, and capital appropriate box below. income by checking the assets, indicate the category of deferred income (such as 401(k) investments or that generate taxnot allow you to choose specific None" column. For all other plans or IRAs), you may check the For retirement accounts that do **Amount of Income** PLOCK D S m reporting year. exceeding \$1,000 Transaction exchanges (E) sales (S), or had purchases (P), Indicate if asset Page 3 of 13 **BLOCK E**

SCHEDL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Charles B. Rangel	B. Rangel		Page 4 of 13
	Ishares Barclays 1-3 Yr. Treasurey Index Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Ishares Barclays Tips BO Protected Secs FD	\$50,001 - \$100,000	DIVIDENDS/CAPI TAL GAINS	\$2,501 - \$5,000	
	Merrill Lynch Cash & Money Accts	\$50,001 - \$100,000	INTEREST	NONE	
	New York New York City Municipal Water Financial Auth Bonds	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
	New York State Dorm Auth Bonds	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	ס
	New York State Power Auth Bonds	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	ס
	Nuveen Muni Value	\$1,001 - \$15,000	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	
·	Nuveen NY Investment Qual. Muni	\$15,001 - \$50,000	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	
	Nuveen NY Prefered PLS Muni	\$15,001 - \$50,000	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	
	Nuveen Select Qualified Muni	\$15,001 - \$50,000	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	
	Nuveen Select Tax Free CL P	\$1,001 - \$15,000	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	
	PepsiCo, Inc.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
<u> </u>	Pimco GNMA Fd. CL P (formerly A)	None	DIVIDENDS/DIST RIBUTIONS/CAPI TAL GAINS	\$1,001 - \$2,500	<i>σ</i>

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	E Name Charles B. Rangel	B. Rangel		Page 5 of 13
	Pimco Total Return Fd. CI P (formerly A)	\$250,001 - \$500,000	DIVIDENDS/DIST \$5,001 - \$15,000 RIBUTIONS	\$5,001 - \$15,000	
	Protective Life Insurance Co. of New York (Fixed Annuity)	\$100,001 - \$250,000	None	NONE	ס
	Rochester Municipal Fund Class C	None	DIVIDENDS/CAPI \$201 - \$1,000 TAL GAINS	\$201 - \$1,000	S
	Triborough Bridge & Tunnel Auth Bonds	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	P
	Yum! Brands	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

SCHEDULE IV - TRANSACTIONS

Name Charles B. Rangel

Page 6 of 13

exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any

;]			Canital		
DC,	Asset	Type of Transaction	Gain in Excess of \$200?	Date	Amount of Transaction
ΤĽ	Alliance Municipal Inc. Fd. New York Port	S	No	10-18-11	\$50,001 - \$100,000
JT	NY NYC Mun Wtr Fin Auth Bonds	Ū	N/A	03-03-11	\$15,001 - \$50,000
JŢ	NY St Dorm Auth Bonds	ס	N/A	03-03-11	\$15,001 - \$50,000
Ţ	NY St Power Auth Bonds	ď	A/N	03-03-11	\$15,001 - \$50,000
JĽ	Pimco GNMA Fd. CL P (formerly A)	S	Yes	03-03-11	\$100,001 - \$250,000
JT	Protective Life Insurance Co. of New York (Fixed Annuity)	q	N/A	10-18-11	\$50,001 - \$100,000
JŢ	Rochester Municipal Fund Class C	Ø	No	10-18-11	\$15,001 - \$50,000
JT	Triborough Brdg & Tunl Auth Bonds	q	N/A	03-03-11	\$50,001 - \$100,000

Name Charles B. Rangel

Page 7 of 13

independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule. Report the source, a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally

Source	Description	Value
Victor Kovner (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Frank Calamari (Pehlam Manor, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500
George Kaufman (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$2,000
Michael Brescia (Yorktown Heights, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Loida Lewis (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Frank Guarini (Jersey City, NJ)	Donation to Charles B. Rangel Legal Expense Trust	\$2,500
IBE Trade Corp (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Mel Watt for Congress (Charlotte, NC)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Larry Silverstein (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$2,500
David Isenberg (Palm Beach, FL)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Rosamond Isenberg (Palm Beach, FL)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Herbert Pardes (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,500

Name Charles B. Rangel

Page 8 of 13

Report the source, a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Leonard Riggio (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Robert Ginsberg (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Sy Sternberg (Purchase, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$4,000
H. Patrick Swygert (Wahington, DC)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Robert Green (Austin, TX)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Anthony L. Watson (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Daniel Rose (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$2,500
Clarence Avant (Beverly Hills, CA)	Donation to Charles B. Rangel Legal Expense Trust	\$2,000
Maureen W. Rover (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
James C. Bretton (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Maurice Tempelsman (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Kirk August Radke (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000

Name Charles B. Rangel

Page 9 of 13

Report the source, a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Howard J. Rubenstein (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500
David R. Jones (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$750
Lloyd Williams (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Basil A. Paterson (Stewart Manor, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$3,000
David Dinkins (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Lynette K. Gill (Brooklyn, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
New York County Democratic Cmtee (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$2,000
Full Spectrum of NY, LLC (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Robert J. S. Haris (Valley Forge, PA)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
John Marmo (Bellmore, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Victor G. Alicea (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Deleres Richards (Mount Vernon, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,250

Name Charles B. Rangel

Page 10 of 13

Report the source, a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
The Connell Company (Berkeley Heights, NJ)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Robert Dunham (Watchung, NJ)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Roscoe C. Brown, Jr. (Riverdale, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Jacob J. Worenklein (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Eugene Denegall (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$700
Friends of Weiner (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$2,000
Maurice Sonnenberg (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Mike Stoller (Los Angeles, CA)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Bernard Schwartz (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Edward Gersh (Huntington, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$2,000
West Hills Day Camp, Inc. (Huntington, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Earl Keller (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500

Name Charles B. Rangel

Page 11 of 13

Report the source, a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Ruben Hinojosa for Congress (McAllen, TX)	Donation to Charles B. Rangel Legal Expense Trust	\$2,000
Robert L. Johnson (Monroe, CT)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
E.M. Eikerenkoetter (Rye, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Oceanshipholdings Inc PAC (Houston, TX)	Donation to Charles B. Rangel Legal Expense Trust	\$2,500
Mannkraft (Newark, NJ)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
District No. 1 - MEBA (Washington, DC)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
U.S. Corragated Inc. (Newark, NJ)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Seafarers Political Activity Donation (Camp Springs, MD)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Master, Mates & Pilots (Linthicum Heights, MD)	Donation to Charles B. Rangel Legal Expense Trust	\$2,500
Center Development Corporation (Port Chester, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Overseas Shipping Group Inc PAC (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Arthur Barnes (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000

Name Charles B. Rangel

Page 12 of 13

Report the source, a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Corky Hale Stoller (Los Angeles, CA)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Henry Greenup (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500

SCHEDULE VIII - POSITIONS

Name Charles B. Rangel

Page 13 of 13

educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I. Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any

Position	Name of Organization
Ex Officio Member of the Board	New York City Empowerment Zone
Member of the Board	The Kheel Foundation