



Filing ID #10010294

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Lloyd K. Smucker
Status: Congressional Candidate
State/District: PA16

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2016
Filing Date: 05/16/2016
Period Covered: 01/01/2015– 04/30/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Insurance ⇒ Prudential Life Insurance DESCRIPTION: Whole Life Insurance	SP	\$1,001 - \$15,000	Dividends, Interest	None	\$1 - \$200
Other Assets ⇒ Fulton Bank - Bank Accounts		\$100,001 - \$250,000	Interest	\$1 - \$200	\$1 - \$200
Other Assets ⇒ H2O To Go Inc., 50% Interest LOCATION: MAYTOWN, PA, US DESCRIPTION: Water Filtration Business		\$1,000,001 - \$5,000,000	Dividends, Partial Sale	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
Other Investments ⇒ appMobi DESCRIPTION: NON PUBLIC STOCK - MOBILE SECURITY BUSINESS IN LANCASTER, PA		\$15,001 - \$50,000	None		
Other Investments ⇒ INNOVENTURES FUND I, LLC LOCATION: LANCASTER, PA, US DESCRIPTION: Holding company for Wylei - Digital Direct Marketing Business		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Other Investments ⇒ INNOVENTURES FUND II, LLC		\$1,001 - \$15,000	None		
LOCATION: LANCASTER, PA, US					
DESCRIPTION: Holding Company for MedStatix - Patient Experience Platform Business					
Other Investments ⇒ INNOVENTURES FUND THREE, LLC		None	None		
LOCATION: LANCASTER, PA, US					
DESCRIPTION: INVESTMENT FUND - No Assets					
PA State Pension ⇒ PA State Pension		Undetermined	Tax-Deferred		
TDAMERITRADE IRA ⇒ Activision Blizzard, Inc (ATVI)		\$15,001 - \$50,000	Tax-Deferred		
TDAMERITRADE IRA ⇒ Apple Inc. (AAPL)		\$100,001 - \$250,000	Tax-Deferred		
TDAMERITRADE IRA ⇒ Canadian National Railway Company (CNI)		\$1,001 - \$15,000	Tax-Deferred		
TDAMERITRADE IRA ⇒ Markel Corporation (MKL)		\$15,001 - \$50,000	Tax-Deferred		
TDAMERITRADE IRA ⇒ National Oilwell Varco, Inc. (NOV)		\$1,001 - \$15,000	Tax-Deferred		
TDAMERITRADE IRA ⇒ Procter & Gamble Company (PG)		\$1,001 - \$15,000	Tax-Deferred		
TDAMERITRADE IRA ⇒ TDAMERITRADE CASH ACCOUNT		\$1,001 - \$15,000	Tax-Deferred		
TDAMERITRADE IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH)		\$15,001 - \$50,000	Tax-Deferred		

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
State of Pennsylvania	SALARY	\$35,558.00	\$84,584.52
H2O To Go Inc.	SALARY	\$7,500.00	\$18,000.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	BRIGHTMAN ENTERPRISES	SEPTEMBER 2009	BUSINESS LOAN - Personal Guarantee	\$100,001 - \$250,000
	FULTON BANK	AUGUST 2013	BUSINESS LOAN - Personal Guarantee	\$250,001 - \$500,000
	FULTON BANK	OCTOBER 2015	BUSINESS LINE OF CREDIT - Personal Guarantee	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Vice President COMMENTS: Uncompensated Position	PA Higher Educational Facilities Authority
Vice President COMMENTS: Uncompensated Position	State Public School Building Authority
MEMBER	Basic Education Funding Commission
MEMBER	State Board of Education
MEMBER	PHEAA - Pennsylvania Higher Education Assistance Agency
MEMBER	PennSERVE
MEMBER	Workforce Investment Board
MEMBER	Adult and Family Literacy Education Interagency Coordinating Council
MEMBER	Education Commission of the States

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2008	STATE OF PENNSYLVANIA AND ME	State Pension Plan payable to me upon retirement.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Insurance (Owner: SP)
- Other Assets
LOCATION: US
- Other Investments
LOCATION: US
- PA State Pension
- TDAMERITRADE IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Lloyd K. Smucker , 05/16/2016