

# UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT

For New Members, Candidates, and New Employees

FORM B

Name: Keith Thurgood Daytime Telephone: \_\_\_\_\_

FILER STATUS	<input checked="" type="checkbox"/> New Member of or Candidate for U.S. House of Representatives	State: <u>TX</u> District: <u>3</u>	<input type="checkbox"/> Check if Amendment
	<input type="checkbox"/> Candidates - Date of Election: _____		
<input type="checkbox"/> New Officer or Employee		Period Covered: January 1, _____ to _____	
<input type="checkbox"/> Employing Office: _____			

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

LEGISLATIVE RESOURCE CENTER  
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U.S. HOUSE OF REPRESENTATIVES  
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## PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Make more than \$200 in unearned income from any reportable asset during the reporting period?		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreements or arrangements with an outside entity during the reporting period or in the current calendar year up through the date of filing?	
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?	
		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"  
THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or your dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

## Page 2 of 8

Use additional sheets if more space is required.

Page 3 of 9

**Use additional sheets if more space is required.**

## Page 4 of 9

**Use additional sheets if more space is required.**

# SCHEDULE C - EARNED INCOME

Name: KEITH THOMPSON

Page 5 of 9

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding \$1,000. See examples below.  
**EXCLUDE:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.  
**INCOME LIMITS and PROHIBITED INCOME:** Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2014 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,955. The 2015 limit is \$27,225. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

Source (include date of receipt for honoraria)	Type	Amount	
		Current Year to Filing	Preceding Year
<b>Examples:</b>	Honorarium	\$0	\$500
ABC Trade Association, Baltimore, MD (July 15)	Salary	\$20,000	\$75,000
State of Maryland	Spouse Speech	\$0	\$1,000
Civil War Roundtable (Oct. 2)	Spouse Salary	N/A	N/A
Ontario County Board of Education			
Carol, Mom Insurance	Spouse Salary	\$7,000	\$7,000
MEASURES	Salary	(Bon + Bonus) \$575,000	(Bon + Bonus) \$761,000
Executive in Action	Honorarium	\$1,500	\$0
American Leadership Academy	Consulting Fee	\$20,000	\$0
TOG	Consulting Fee	\$10,000	\$0
New Insulation	Consulting Fee	\$35,000	\$0
Alpha Insights	Consulting Fee	\$1,000	\$0
BYLT (all services)	Teaching Salary	\$4,800	\$4,800
Kaplan University	Teaching Salary	\$9,200	\$9,200
Thayer Leadership Development Corp	Consulting Fee	\$12,500	\$0
Thayer Corp, Rager, Klein	Ed. m. Sales	\$1,000	\$1,621
Rental Income (Gross) Midway, Utah	Rental Income	\$7,200	\$7,200

# SCHEDULE D - LIABILITIES

Name: Keith Thurgood

Page 6 of 9

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **New Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
	Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
JT	Nelder Valley Bank	06/2011	Mortgage on Rental Property, Dover, DE					X						
			Monthly Building Credit	X										

# SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

Position	Name of Organization
Board member	Boy Scouts of America, Dallas, TX
Board member	Aliso Legends USA, Atlanta, GA
Board member	America's Future Series, Dallas, TX
Board member (former)	Blue Star Veterans Network
Chairman, Board	American Leadership Academy, TX
Member, Advisory	Pitt Form manufacturing study, Melrose, TX

# SCHEDULE F - AGREEMENTS

Name:

*Kevin Thompson*

Page

*7 of 9*

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
<i>1</i>	<i>NONE FOR MEASURES</i>	
<i>01/2010</i>	<i>Reserve, Inc</i>	<i>Payment of Retirement at age 62, vesting of stock options</i>

## SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

Source (Name and City/State)	Brief Description of Duties
<i>Example:</i> Doe Jones & Smith, Hometown, Homestate	Accounting Services
<i>ALL SECURED</i>	

FILER NOTES  
(Optional)

Name: Keith Thompson Page 8 of 9

NOTE NUMBER	NOTES
1	MEDASSETS, Public stock managed by Vanguard, no transactions in 2005
2	Pepsico stock options, cashless exercise in 2014, (various strike prices) (no transactions in 2017-2019)
3	Pepsico Brokerage account, Pepsico stock, 1616 shares, Merrill Lynch, No transactions (Substantiated)
4	Pepsico Shareowner options, Pepsicoarrants, cashless exercise in 2014 (Substantiated)
5	Pepsico Shareowner options, (no transactions 2017-2019) various strike price (no transactions in 2019)
6	Merrill Lynch Brokerage; Communications sales; Dow Chemical; Wisconsin Holdings
7	American Express Brokerage, Bancor B30, Inc, no value
8	Asset management solutions, Mutual Funds, Various
9	Templeton Foreign Fund & Templeton High Growth (Transferred to First Commonwealth Advisors) (no transactions in 2019)
10	MEDASSETS 401k, Vanguard Institutional Target 200 Fund
11	TRANSAMERICA TRADITIONAL & ROTH IRA TRANSAMERICA VARIABLE ANNUITY FUNDS B (Transferred to ROTH Mechanism 200 to)
12	Morgan Stanley, Asset Cash (First Commonwealth Inc in many markets 12A) (this is an interest bearing account)
13	Morgan Stanley, MEDASSETS, Stock shares
14	Priority Brokerage Account, Priority Government, Raytheon Corporation, Yell



**FILER NOTES**  
(Optional)

Name: Kevin Morgan

Page 9 of 9

NOTE NUMBER	NOTES
14	Continued, Fidelity, cash, Amoco LTD, General Electric,
15	During FY 2015 we completed a complete estate review and update with a CFP firm First Command. Transactions include recharacterizing our 401K's, selling life insurance policies (whole life) and purchasing annuities (scheduled IA)
16	AVIM (now Accordia) Universal life Policy, scheduled to exp 2012
17	Guilford Universal life, life cycle solutions, National life group company of Southeast. issued June 2015
18	Blue Star Veterans' Stock Options, vested 2015 - end of January 2016



PEPSICO

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NOTE #3

[Grant Information](#) | [My Activity](#) | [Individual/Plan Information](#) | [Document Library](#) | [Model/Exercise](#) | [Brokerage Account](#)

 Plan Selector: [PepsiCo Executives](#) | Select Language: [English](#)
QuickLinks: [--Select Quick Links--](#) (Edit)[Equity Plans](#) > [Brokerage Account](#) > [Holdings](#)

## Holdings

[Print](#)

W-9 on File

[Sell Shares](#)

PEP - \$96.240000 as of 10:14:23 AM | Available Quantity: 1,616.000000 | Value: \$155,523.84 | Brokerage Account: 2DK11790

Acquisition Date	Quantity	Unit Cost	Cost Basis	Market Value	Unrealized Gain/Loss	Source	Status	Available Quantity
10/16/2009	410.000000	\$0.000000	\$0.00	\$39,456.40	\$0.00	Other	Open	410.000000
12/16/2009	302.000000	\$0.000000	\$0.00	\$29,064.48	\$0.00	Other	Open	302.000000
02/02/2010	311.000000	\$0.000000	\$0.00	\$29,930.64	\$0.00	Other	Open	311.000000
02/07/2011	302.000000	\$0.000000	\$0.00	\$29,064.48	\$0.00	Other	Open	302.000000
03/26/2011	291.000000	Adjusted Unit Cost: \$64.400000	\$18,740.40	\$26,005.84	\$9,265.44	Other	Open	291.000000
<b>Total</b>	<b>1,616.000000</b>		<b>\$18,740.40</b>	<b>\$155,523.84</b>	<b>\$9,265.44</b>			<b>1,616.000000</b>

 Cash Balance (Settled And Unsettled) \$206,890.75

 Grand Total Value \$362,414.59

All amounts shown are displayed in \$US dollars.

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Investment products offered through MLPF&amp;S:

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NOTE #4

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[Grant Information](#) | [My Activity](#) | [Individual/Plan Information](#) | [Document Library](#) | [Model/Exercise](#) | [Brokerage Account](#)

 Plan Selector:  Select Language: 

 QuickLinks:  (Edit)

[Equity Plans](#) > [Grant Information](#) > [Grant Summary](#) - [Grant Detail](#)

## Grant Summary

[Print](#)

The amounts below show the total vested and unvested potential income and are based on a share price of Stock Symbol PEP \$96.32 As of COB 01/26/2016

All Grants

Stock Options

\$17,665

\$17,665

☒ Show Outstanding Grants

All dates are displayed in MM/DD/YYYY format

### All Grants

#### Stock Options

Grant Date	Grant Type/Code	Grant Price	Option Balance	Options Vested	Potential Income Vested	Options Unvested	Potential Income Unvested	Expiration/Last Date to Exercise	Actions
02/06/2008	PEP - SPANNUAL	\$53.00	196	196	\$8,491	0	\$0	01/31/2019	<a href="#">Actions</a>
02/01/2008	PEP - SPANNUAL	\$68.75	151	151	\$4,163	0	\$0	01/31/2018	<a href="#">Actions</a>
02/02/2007	PEP - SPANNUAL	\$65.00	160	160	\$5,011	0	\$0	01/31/2017	<a href="#">Actions</a>
<b>Totals</b>			<b>507</b>		<b>\$17,665</b>		<b>\$0</b>		

\*Potential income is based on the closing price from the previous business day.  
 Unvested Potential Income includes any performance share/unit balance that is pending achievement.  
 All amounts shown are displayed in \$US dollars.



Your last login: Tuesday, Jan 26, 2016 1:44 PM ET

NOTE #5

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[Grant Information](#)   [My Activity](#)   [Individual/Plan Information](#)   [Document Library](#)   [Model/Exercise](#)   [Brokerage Account](#)

 Plan Selector:    Select Language: 

 QuickLinks:  (Edit)

Equity Plans &gt; Brokerage Account &gt; Holdings

## Holdings

[Print](#)[W-9 on File](#)[Sell Shares](#)

PEP - \$96,430,000 as of 10:22:29 AM | Available Quantity: 0.000000 | Value: \$0.00 | Brokerage Account: 5PM63511

Acquisition Date	Quantity	Unit Cost	Cost Basis	Market Value	Unrealized Gain/Loss	Source	Status	Available Quantity
There are no lots to display								

PBG - \$0.000000 as of 10:22:32 AM | Available Quantity: 0.000000 | Value: \$0.00 | Brokerage Account: 5PM63511

Cash Balance (Settled And Unsettled)	\$17,438.96
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Grand Total Value	\$17,438.96
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All amounts shown are displayed in \$US dollars.

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Contact  
Merrill Edge

Account Holdings for CMA-Edge 25Z-47929 ☐

CMA-Edge 25Z-47929

\$373,309.16

-\$1,658.16 -0.44%

NOTE #6

Dow 16,015.88 -151.35 -0.94% NASDAQ 4,508.98 -58.89 -1.28% S&P 500 1,890.73 -12.90 -0.68% 10 Year T-Note 2.02 +0.03 +1.60%  
Real-time quotes

Your 2015 tax information will be available online for download beginning Feb. 1, 2016. For additional tax information, visit the Tax Center.

Close X

Group by ☒ Account ☐ Product Class

As of 01/27/2016 10:33 AM ET

## CMA-Edge 25Z-47929

Value Day's Value Change Unrealized Gain/Loss  
\$373,309.16 -\$1,658.16 -0.44% -\$50,235.34 -24.74%

Select view

Standard

Customize view

View Tax Lot Details

Symbol	Description	Quantity	Price	\$ Chg	Day's Price % Chg	Value	Day's Value Change \$	Unrealized Gain/Loss \$ Chg	% Chg
CSAL	COMMUNICATIONS SALES AN	2,000	\$18.9801	-\$0.3999	-2.06%	\$37,960.18	-\$799.80	-\$28,372.09	-42.78%
DOW	DOW CHEMICAL CO	2,500	\$42.09	-\$0.37	-0.87%	\$105,225.00	-\$925.00	-\$10,056.95	-8.72%
WIN	WINDSTREAM HOLDINGS INC	1,666	\$5.77	+\$0.04	+0.70%	\$9,612.82	+\$66.64	-\$11,806.30	-55.12%
<b>Balances</b>									
Money accounts	ML DIRECT DEPOSIT PROGRM	220,511	\$1.00	\$0.00	0.00%	\$220,511.00	\$0.00	-	-
Cash balance						\$0.16			
Pending activity						\$0.00			
<b>Total</b>						<b>\$373,309.16</b>	<b>-\$1,658.16</b>	<b>-\$50,235.34</b>	<b>-24.74%</b>

## Holdings by Product Class Report

The data displayed is for informational purposes only. Your account statement is the official record of your holdings and balances.

To review a description of the third-party ratings methodology click here.

Please note, None of Bank of America, Merrill Lynch or any affiliates, bears any responsibility or liability with respect to third-party research made available. Clients should understand that they assume full responsibility for any trading decisions they make based upon third-party ratings or reports.

Money accounts are not adjusted for pending trades.

Real-time market data on this page are for informational purposes only. The accuracy of this data is not warranted.

Information will not appear if a cost basis is not known, a security price is not currently available, or the Gain/Loss calculations are not appropriate for the type of holding.

Positions are net and cost basis excludes fractional shares.

Total unit cost represents weighted average acquisition price. It does not imply that any shares were actually purchased at that price.

BofA Merrill Lynch Global Research Volatility Risk Ratings indicators of potential price fluctuation, are:

A - Low  
B - Medium  
C - High

BofA Merrill Lynch Global Research Investment Ratings reflect the analyst's assessment of a stock's absolute total return potential and attractiveness for investment relative to other stocks within its Coverage Cluster (defined below). There are three investment ratings:

- 1 - Buy (stocks are expected to have a total return of at least 10% and are the most attractive stocks in a Coverage Cluster)
- 2 - Neutral (stocks are expected to remain flat or increase in value and are less attractive than Buy rated stocks)
- 3 - Underperform (stocks are the least attractive stocks in a Coverage Cluster)

BofA Merrill Lynch Global Research Income Ratings indicators of potential cash dividends, are:

- 7 - Same/higher (dividend considered to be secure);
- 8 - Same/lower (dividend not considered to be secure);
- 9 - Pays no cash dividend

Coverage Cluster is comprised of stocks covered by a single analyst or two or more analysts sharing a common industry, sector, region or other classification(s). A stock's coverage cluster is included in the most recent BofA Merrill Lynch Global Research comment referencing the stock.

\* 00003367 02 AV 0.388 02 TR 00014 X108PD01 000000

**KEITH L THURGOOD**  
**CAROL S THURGOOD JT TEN**  
**PL**  
[REDACTED]

**Your Financial Advisor is:**  
**Bill Johnston**  
(972) 361-8350

NOTE #8

# Asset Management Solutions

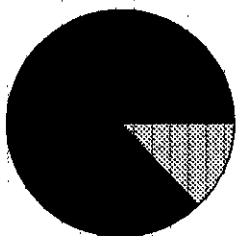
Account Number: [REDACTED]  
Statement Period: 07/01/2015 - 07/31/2015

## Valuation at a Glance

	This Period	Year-to-Date
<b>Beginning Account Value</b>	<b>\$92,492.41</b>	<b>\$17,582.45</b>
Cash Deposits	0.00	305,259.14
Cash Withdrawals	-10,000.00	-246,000.00
Dividends/Interest	102.82	871.09
Fees	-289.04	-1,294.87
Change in Account Value	-135.55	5,752.83
<b>Ending Account Value</b>	<b>\$82,170.64</b>	<b>\$82,170.64</b>
Estimated Annual Income	\$978.45	

## Asset Allocation

	Last Period	This Period % Allocation
Cash, Money Funds, and Bank Deposits	16,440.79	13%
Mutual Funds	76,051.62	87%
<b>Account Total (Pile Client)</b>	<b>\$92,492.41</b>	<b>\$82,170.64 100%</b>



Please review your allocation periodically with your Financial Advisor.



# Summary of Gains and Losses

	This Period	Realized	Year-to-Date	Unrealized
Short-Term Gain/Loss	33.98		6,403.49	-195.78
Long-Term Gain/Loss	0.00		583.84	0.00
<b>Net Gain/Loss</b>	<b>33.98</b>		<b>6,987.33</b>	<b>-195.78</b>

This summary excludes transactions where cost basis information is not available.

## Portfolio Holdings

Opening Date	Quantity	Account Number	Activity	Opening Balance	Closing Balance	Unrealized Gain/Loss	Income	30-Day Yield	Current Yield
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### Cash, Money Funds, and Bank Deposits 13.00% of Portfolio

#### Money Market

##### AIM 5H TERM GOV & AGENCY RESRV

07/01/15	10,954.620	0000050682	07/31/15	16,440.79	10,954.62	0.02	2.64	0.049%	0.049%
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<b>Total Money Market</b>				<b>\$16,440.79</b>	<b>\$10,954.62</b>	<b>\$0.02</b>	<b>\$2.64</b>		
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### Total Cash, Money Funds, and Bank Deposits

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
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#### Mutual Funds 87.00% of Portfolio

##### INVESTCO GLOBAL REAL ESTATE FUND CLASS Y

Open End Fund  
Dividend Option: Reinvest; Capital Gains Option: Reinvest  
Security Identifier: ARGYX  
CUSIP: 00142C219

Average	111,543	13.4980	1,505.58	12.9800	1,447.83	-57.75	40.33	2.78%
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##### AMG YACHTMAN FUND SERVICE CLASS

Open End Fund  
Dividend Option: Reinvest; Capital Gains Option: Reinvest  
Security Identifier: YACIX  
CUSIP: 00170K588

Average	111,543	13.4980	1,505.58	12.9800	1,447.83	-57.75	40.33	2.78%
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##### JOHCM INTERNATIONAL CLASS I

Open End Fund  
Dividend Option: Reinvest; Capital Gains Option: Reinvest  
Security Identifier: JOHIX  
CUSIP: 00770G847

Average	111,543	13.4980	1,505.58	12.9800	1,447.83	-57.75	40.33	2.78%
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##### INVESTCO INTERNATIONAL GROWTH FUND CLASS Y

Open End Fund  
Dividend Option: Reinvest; Capital Gains Option: Reinvest  
Security Identifier: AIYX  
CUSIP: 008882532

Average	178,220	20.7200	3,692.63	20.2100	3,601.83	-90.80	47.87	1.10%
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##### INVESTCO INTERNATIONAL GROWTH FUND CLASS Y

Open End Fund  
Dividend Option: Reinvest; Capital Gains Option: Reinvest  
Security Identifier: AIYX  
CUSIP: 008882532

Average	64,908	32.0590	2,090.86	33.3800	2,166.63	85.77		
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# Summary of Gains and Losses

	This Period	Realized	Year-to-Date	Unrealized
Short-Term Gain/Loss	33.98		6,403.49	-195.78
Long-Term Gain/Loss	0.00		583.84	0.00
<b>Net Gain/Loss</b>	<b>33.98</b>		<b>6,987.33</b>	<b>-195.78</b>

This summary excludes transactions where cost basis information is not available.

## Portfolio Holdings

Opening Date	Quantity	Account Number	Accty Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
<b>Cash, Money Funds, and Bank Deposits 13.00% of Portfolio</b>									
<b>Money Market</b>									
AIM 5% TERM GOV & AGENCY RESRV									
07/01/15	10,954.620	0000050682	07/31/15	16,440.79	10,954.62	0.02	2.64	0.04%	0.04%
<b>Total Money Market:</b>				<b>\$16,440.79</b>	<b>\$10,954.62</b>	<b>\$0.02</b>	<b>\$2.64</b>		
<b>Total Cash, Money Funds, and Bank Deposits</b>				<b>\$16,440.79</b>	<b>\$10,954.62</b>	<b>\$0.02</b>	<b>\$2.64</b>		

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Income This Year	30-Day Yield	Current Yield
<b>Mutual Funds 87.00% of Portfolio</b>									
<b>INVESCO GLOBAL REAL ESTATE FUND CLASS Y</b>									
<b>Security Identifier: ARGTX CUSIP: 00142C219</b>									
Open End Fund									
Dividend Option: Reinvest; Capital Gains Option: Reinvest									
Average	111.543	13.4980	1,505.58	12.9800	1,447.83	-57.75		40.33	2.78%
<b>AMG VACKMAN FUND SERVICE CLASS</b>									
<b>Security Identifier: YACGX CUSIP: 00170K588</b>									
Open End Fund									
Dividend Option: Reinvest; Capital Gains Option: Reinvest									
Average	179.570	24.3100	4,365.35	24.1300	4,333.02	-32.33		47.87	1.10%
<b>JOHN INTERNATIONAL SELECT FUND CLASS I</b>									
<b>Security Identifier: JOHIX CUSIP: 00770C847</b>									
Open End Fund									
Dividend Option: Reinvest; Capital Gains Option: Reinvest									
Average	178.220	20.7200	3,692.63	20.2100	3,601.83	-90.80			
<b>INVESCO INTERNATIONAL GROWTH FUND CLASS Y</b>									
<b>Security Identifier: AIYX CUSIP: 00882532</b>									
Open End Fund									
Dividend Option: Reinvest; Capital Gains Option: Reinvest									
Average	64.908	32.0590	2,080.86	33.3800	2,166.63	85.77			



# Portfolio Holdings (continued)

Due Maturity	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
<b>Mutual Funds (continued)</b>								
<b>IVY ASSET STRATEGY FUND CLASS I (continued)</b>								
Average	141,061	-26,0610	3,676.13	25.6400	3,616.80	-59.33	27.30	0.75%
<b>LONGLEAF PARTNERS FUND</b>								
Open End Fund			<b>Security Identifier: LLPFX</b>					
Dividend Option: Reinvest; Capital Gains Option: Reinvest			CUSIP: 543069108					
Average	102,051	30,7470	3,137.80	29.0300	2,962.54	-175.26	8.16	0.27%
<b>PIMCO COMMODITY REAL RETURN STRATEGY FUND CL P</b>								
Open End Fund			<b>Security Identifier: PCRPX</b>					
Dividend Option: Reinvest; Capital Gains Option: Reinvest			CUSIP: 72201M842					
Average	734,820	4,4820	3,293.35	3.9100	2,873.15	-420.20	58.74	2.04%
<b>PIONEER STRATEGIC INCOME FUND CLASS Y</b>								
Open End Fund			<b>Security Identifier: STRYX</b>					
Dividend Option: Reinvest; Capital Gains Option: Reinvest			CUSIP: 723884409					
Average	611,685	10,8130	6,614.11	10.5900	6,477.74	-136.37	265.11	4.09%
<b>PRIMECAP ODYSSEY GROWTH FUND</b>								
Open End Fund			<b>Security Identifier: POCRX</b>					
Dividend Option: Reinvest; Capital Gains Option: Reinvest			CUSIP: 74160Q103					
Average	131,267	26,7540	3,511.94	27.8100	3,650.54	138.60	21.52	0.58%
<b>RS PARTNERS FUND CLASS Y</b>								
Open End Fund			<b>Security Identifier: RSPYX</b>					
Dividend Option: Reinvest; Capital Gains Option: Reinvest			CUSIP: 74972H622					
Average	46,526	31,2660	1,454.69	31.1000	1,446.96	-7.73		
<b>SCHRODER EMERGING MARKET EQUITY FUND INVESTOR CLASS</b>								
Open End Fund			<b>Security Identifier: SEMAX</b>					
Dividend Option: Reinvest; Capital Gains Option: Reinvest			CUSIP: 808090757					
Average	176,595	13,0930	2,312.16	12.1400	2,143.86	-168.30	22.05	1.02%
<b>TEMPLETON GLOBAL BOND FUND ADVISOR CLASS</b>								
Open End Fund			<b>Security Identifier: TGBAX</b>					
Dividend Option: Reinvest; Capital Gains Option: Reinvest			CUSIP: 880208400					
Average	298,072	12,4650	3,715.36	12.0800	3,600.71	-114.65	116.75	3.24%
<b>THIRD AVENUE FOCUS CREDIT FUND INSTITUTIONAL CLASS</b>								
Open End Fund			<b>Security Identifier: TFCIX</b>					
Dividend Option: Reinvest; Capital Gains Option: Reinvest			CUSIP: 884116708					
Average	243,914	9,4900	2,314.71	8.9200	2,175.71	-139.00	202.93	9.32%

81 day(s) added to your holding period as a result of a wash sale.

Statement Period: 07/01/2015 - 07/31/2015

# Transactions in Date Sequence

Process/ Statement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
07/01/15		ELECTRONIC TRANSACTION USD9999997	PRINCIPAL DISTRIBTN ACH 314074269 127512012				-10,000.00	USD
07/01/15		CASH DIVIDEND RECEIVED STRX	PIONEER STRATEGIC INCOME FUND CLASS Y FOR ACCRUAL PERIOD ENDING 06/30/15				-41.71	USD
07/01/15		REINVEST CASH INCOME STRX	PIONEER STRATEGIC INCOME FUND CLASS Y SHRS PURCH. AT \$10.62000 FOR ACCRUAL PERIOD ENDING 06/30/15	3.927			-41.71	USD
07/02/15		CASH DIVIDEND RECEIVED FUSEX	FIDELITY SPARTAN 500 INDEX FUND INVESTOR CLASS -115.9130- SHRS RD 07/01 PD 07/02/15				50.54	USD
07/02/15		REINVEST CASH INCOME FUSEX	FIDELITY SPARTAN 500 INDEX FUND INVESTOR CLASS -115.9130- SHRS SHRS PURCH. AT \$73.23000 RD 07/01 PD 07/02/15	0.690			-50.54	USD
07/09/15		PURCHASED JOHIX	JOHICM INTERNATIONAL SELECT FUND CLASS I 351900055224 *145043379 HOLD PERS REV \$ UNSOLICITED ORDER	18.424	19.8000		-364.79	USD
07/09/15		PURCHASED AINX	INVESCO INTERNATIONAL GROWTH FUND CLASS Y E45043379 UNSOLICITED ORDER HOLD PERS REV \$	6.440	32.7300		-210.77	USD
07/09/15		PURCHASED APDQX	ARTISAN MID CAP VALUE FUND ADVISOR SHARES E45043379 UNSOLICITED ORDER HOLD PERS REV \$	4.984	24.4000		-121.80	USD
07/09/15		PURCHASED APDQX	ARTISAN INTERNATIONAL VALUE FUND ADVISOR SHARES E45043379 UNSOLICITED ORDER HOLD PERS REV \$	7.608	35.1600		-267.51	USD
07/09/15		PURCHASED FUSEX	FIDELITY SPARTAN 500 INDEX FUND INVESTOR CLASS 0346164 *145043379 UNSOLICITED ORDER HOLD PERS REV \$	6.949	72.3300		-502.60	USD
07/09/15		PURCHASED OAKCX	THE OAKMARK GLOBAL FUND CLASS I E45043379 UNSOLICITED ORDER HOLD PERS REV \$	20.105	29.0300		-583.66	USD
07/09/15		PURCHASED INMX	IVY ASSET STRATEGY FUND CLASS I 202475455 *145043379 UNSOLICITED ORDER HOLD PERS REV \$	13.070	25.4300		-332.36	USD
07/09/15		PURCHASED POGRX	PRIMECAP ODYSSEY GROWTH FUND E45043379 UNSOLICITED ORDER HOLD PERS REV \$	10.583	26.8100		-283.72	USD



# Transactions In Date Sequence (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
07/10/15	07/09/15	PURCHASED	RS PARTNERS FUND CLASS Y E45043379 UNSOLICITED ORDER HOLD PERS REV \$	3.910	31.1000		-121.60	USD
07/10/15	07/09/15	PURCHASED	SCHRODER EMERGING MARKET EQUITY FUND INVESTOR CLASS E45043379 HOLD PERS REV \$ UNSOLICITED ORDER	20.988	12.3600		-259.41	USD
07/10/15	07/09/15	PURCHASED	TOUCHSTONE SANDS CAPITAL SELECT GROWTH FD CLASS Y E45043379 UNSOLICITED ORDER HOLD PERS REV \$	17.652	18.3700		-324.26	USD
07/10/15	07/09/15	PURCHASED	WASATCH INTL GROWTH FD E45043379 UNSOLICITED ORDER HOLD PERS REV \$ Quarterly Advisory Fee Q2-2015 J45043379	7.784	29.1600		-226.98	USD
07/10/15	07/09/15	PURCHASED	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 319.6320 SHRS RD 07/14 PD 07/17/15				-289.04	USD
07/16/15		CASH DIVIDEND RECEIVED	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 319.6320 SHRS PURCH. AT \$1225000 RD 07/14 PD 07/17/15	0.851			-10.42	USD
07/16/15		REINVEST CASH INCOME	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS 319.6320 SHRS PURCH. AT \$1225000 RD 07/14 PD 07/17/15				-10.42	USD
07/28/15	07/27/15	SOLD	INVESTCO GLOBAL REAL ESTATE FUND CLASS Y UNSOLICITED ORDER	-10.305	12.8300		132.21	USD
07/28/15	07/27/15	SOLD	AMC YACKTMAN FUND SERVICE CLASS UNSOLICITED ORDER	-14.435	23.9000		344.99	USD
07/28/15	07/27/15	SOLD	JOHCM INTERNATIONAL SELECT FUND CLASS I 352080107728 UNSOLICITED ORDER	-29.353	19.9500		585.60	USD
07/28/15	07/27/15	SOLD	INVESTCO INTERNATIONAL GROWTH FUND CLASS Y UNSOLICITED ORDER	-10.116	32.9800		333.61	USD
07/28/15	07/27/15	SOLD	ARTISAN MID CAP VALUE FUND ADVISOR SHARES UNSOLICITED ORDER	-7.753	23.7300		183.99	USD
07/28/15	07/27/15	SOLD	ARTISAN INTERNATIONAL VALUE FUND ADVISOR SHARES UNSOLICITED ORDER	-13.109	35.5300		465.76	USD
07/28/15	07/27/15	SOLD	WILLIAM BLAIR MID CAP GROWTH FUND CLASS I UNSOLICITED ORDER	-7.445	15.2300		113.58	USD
07/28/15	07/27/15	SOLD	FIDELITY ADVISOR GROWTH OPPORTUNITIES FUND CLASS I UNSOLICITED ORDER	-4.282	70.0800		300.11	USD
07/28/15	07/27/15	SOLD	FIDELITY SPARTAN 500 INDEX FUND INVESTOR CLASS 0678298 UNSOLICITED ORDER	-15.711	72.9300		1,145.81	USD
07/28/15	07/27/15	SOLD	THE OAKMARK GLOBAL FUND CLASS I UNSOLICITED ORDER	-34.753	29.2400		1,016.19	USD
07/28/15	07/27/15	SOLD	IVY ASSET STRATEGY FUND CLASS I 007376178 UNSOLICITED ORDER	-20.106	25.2400		507.47	USD
07/28/15	07/27/15	SOLD	PIONEER STRATEGIC INCOME FUND CLASS Y UNSOLICITED ORDER	-58.494	10.5800		618.87	USD

# Asset Management Solutions

Statement Period: 07/01/2015 - 07/31/2015

## Transactions in Date Sequence (continued)

Trans/ Submittal	Date	Trade/ Transaction	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
07/28/15	07/27/15	SOLD	POGRX	PRIMECAP ODYSSEY GROWTH FUND UNSOLICITED ORDER	-20.345	27.2100		553.58	USD
07/28/15	07/27/15	SOLD	RSPYX	RS PARTNERS FUND CLASS Y UNSOLICITED ORDER	-5.662	30.3700		171.94	USD
07/28/15	07/27/15	SOLD	SEANX	SCHRODER EMERGING MARKET EQUITY FUND INVESTOR CLASS UNSOLICITED ORDER	-23.712	11.9900		284.31	USD
07/28/15	07/27/15	SOLD	TGBAX	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS UNSOLICITED ORDER	-22.411	12.0100		269.16	USD
07/28/15	07/27/15	SOLD	TFCX	THIRD AVENUE FOCUS CREDIT FUND INSTITUTIONAL CLASS UNSOLICITED ORDER	-13.423	8.7900		117.99	USD
07/28/15	07/27/15	SOLD	CFSIX	TOUCHSTONE SANDS CAPITAL SELECT GROWTH FD CLASS Y UNSOLICITED ORDER	-41.816	18.9200		791.16	USD
07/28/15	07/27/15	SOLD	WAICX	WASATCH INTL GROWTH FD UNSOLICITED ORDER	-15.633	29.8000		465.85	USD
07/31/15		MONEY MARKET FUND INCOME RECEIVED		AIM SH TERM GOV'T &				0.15	USD
Total Value of Transactions						\$0.00		-\$5,496.17	USD

The price and quantity displayed may have been rounded.

## Money Market Fund Detail

Date	Activity Type	Description	Amount	Balance
<b>Sweep Money Market Fund</b>				
<b>AIM SH TERM GOV &amp; AGENCY RESRV</b>				
Account Number: 0000050682 Current Yield: 0.04% Activity Ending: 07/31/15				
07/01/15	Opening Balance		16,440.79	16,440.79
07/01/15	Withdrawal	MONEY FUND REDEMPTION	-10,000.00	6,440.79
07/10/15	Withdrawal	MONEY FUND REDEMPTION	-3,888.30	2,552.49
07/29/15	Deposit	MONEY FUND PURCHASE	284.31	2,836.80
07/29/15	Deposit	MONEY FUND PURCHASE	8,117.67	10,954.47
07/31/15	Deposit	INCOME REINVEST	0.15	10,954.62
07/31/15	Closing Balance			\$10,954.62



# Money Market Fund Detail (continued)

Date	Activity Type	Description	Amount	Balance
		<b>Total All Money Market Funds</b>		<b>\$10,954.62</b>

## Income and Expense Summary

	Current Period		Year-to-Date	
	Total	Net Total	Total	Net Total
<b>Dividend Income</b>				
Money Market	0.15	0.00	2.64	0.00
Other Dividends	102.67	0.00	767.78	0.00
<b>Total Dividends, Interest, Income and Expenses</b>	<b>\$102.82</b>	<b>\$0.00</b>	<b>\$770.42</b>	<b>\$0.00</b>
<b>Distributions</b>				
Long - Term Capital Gain Distributions	0.00	0.00	100.67	0.00
<b>Total Distributions</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$100.67</b>	<b>\$0.00</b>

## Client Service Information

Your Financial Advisor is: 3GA		Contact Information		Client Service Information	
Bill Johnston		Telephone Number: (972) 361-8350		Service Hours: Monday - Thursday 07:00 a.m. - 06:00 p.m. (CST)	
15950 Dallas Parkway, Ste 400		E-Mail Address: clientservices@firstcommand.com		Friday - Friday 07:30 a.m. - 04:30 p.m. (CST)	
Dallas TX 75248				Client Service Telephone Number: (800) 443-2104	
				Web Site: WWW.FIRSTCOMMAND.COM	

## Your Account Information

### Tax Lot Default Disposition Method

Default Method for Mutual Funds: AVERAGE COST (USING FIRST IN FIRST OUT)  
 Default Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT  
 Default Method for all Other Securities: FIRST IN FIRST OUT

### Bond Amortization Elections:

Treat all interest as original issue discount (OID): No  
 Amortize premium on taxable bonds based on Constant Yield Method: Yes  
 Accrual market discount method for all other bond types: Ratable Method  
 Include market discount in income annually: No

### Electronic Delivery

You have not selected any account communications for electronic delivery. To register and turn off paper communications, log in to your account or contact your Financial Advisor for more information.

### Portfolio Name

Portfolio Name : SELECT INVESTOR PROGRAM

Portfolio Model: SIP HIGH GROWTH

## Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the





FRANKLIN TEMPLETON  
INVESTMENTS

# Asset Summary Statement

January 1, 2015 - March 31, 2015

Page 1 of 1 \*

AB 01 078902 89074 B 284 A

KEITH LEE THURGOOD  
AND CAROL S THURGOOD  
JT TEN  
7420 AVALON DR  
PLANO TX 75025-2475



*NOTE  
#9*

**Financial Advisor:** JOHNSTON, WILLIAM J.  
FIRST COMMAND FINANCIAL PLANNING INC  
(972) 361-8350

**Customer Service:** franklintempleton.com  
Shareholder Services (800) 632-2301

**Mailing Address:** 3344 Quality Drive  
PO Box 2258  
Rancho Cordova, CA 95741-2258

## Templeton Foreign Fund - Class A

NASDAQ Symbol: TEMFX

<b>Fund-Account Number:</b>		<b>Year-to-Date Summary:</b>	
<b>Asset Summary Number:</b>		<b>Income Dividends:</b>	\$0.00
<b>Noncovered Available Shares:</b> 608.222	<b>Cost Basis:</b> \$5,100.94	<b>Long-Term Capital Gains:</b>	\$0.00
<b>Covered Available Shares:</b> 86.512	<b>Cost Basis:</b> \$637.80		

## Transaction Details

DATE	TRANSACTION	DOLLAR AMOUNT	SHARE PRICE	SHARES	TOTAL SHARES
01-01-15	BALANCE FORWARD	\$4,842.30	\$6.97		694.734

03-31-15 TOTAL ACCOUNT VALUE: \$5,064.61 AT \$7.29 PER SHARE

## Shareholder Information

- For information on cost basis, please refer to the back of this statement or visit [franklintempleton.com/costbasis](http://franklintempleton.com/costbasis).
- Help prevent identity theft.** Maintain computer security, review your financial statements promptly and store personal information in a secure location. For more tips on safeguarding your account, visit [franklintempleton.com/identitytheft](http://franklintempleton.com/identitytheft).

6

Purchases can also be made online at [franklintempleton.com](http://franklintempleton.com)

Please make your check payable to:

Templeton Foreign Fund - Class A  
Fund-Account Number:

Amount Enclosed:

\$

DEPOSIT SLIP

KEITH LEE THURGOOD  
AND CAROL S THURGOOD  
JT TEN  
7420 AVALON DR  
PLANO TX 75025-2475

FRANKLIN TEMPLETON INVESTMENTS  
PO BOX 997151  
SACRAMENTO CA 95899-7151



- ☐ Check here and complete reverse side if changes are necessary for:
- Address

001 000004950310388 104 100



**FRANKLIN TEMPLETON  
INVESTMENTS**

MB 01 000418 63300 B 2 C  
KEITH LEE THURGOOD  
AND CAROL S THURGOOD  
JT TEN  
7420 AVALON DR  
PLANO TX 75025-2475



# Transaction Confirmation

June 24, 2015

Page 1 of 1

**Financial Advisor:** JOHNSTON, WILLIAM J.  
FIRST COMMAND FINANCIAL PLANNING INC  
(972) 361-8350

**Customer Service:** franklintempleton.com  
Shareholder Services (800) 632-2301

**Mailing Address:** 3344 Quality Drive  
PO Box 2258  
Rancho Cordova, CA 95741-2258

NOTE #9

## Templeton Foreign Fund - Class A

NASDAQ Symbol: TEMFX

Fund-Account Number

Asset Summary Number:

### Transaction Details

DATE	TRANSACTION	DOLLAR AMOUNT	SHARE PRICE	SHARES	TOTAL SHARES
06-24-15	BEGINNING BALANCE	\$5,259.14			694.734
06-24-15	WIRE REDEMPTION	\$5,259.14	\$7.57	694.734-	0.000

Relief Order: First In, First Out

### Shareholder Information

- For information on cost basis, please refer to the back of this statement or visit [franklintempleton.com/costbasis](http://franklintempleton.com/costbasis).

Purchases can also be made online at [franklintempleton.com](http://franklintempleton.com).

Please make your check payable to:

Templeton Foreign Fund - Class A

Fund-Account Number:

Amount Enclosed:

\$

D E P O S I T S L I P

KEITH LEE THURGOOD  
AND CAROL S THURGOOD  
JT TEN  
7420 AVALON DR  
PLANO TX 75025-2475

FRANKLIN TEMPLETON INVESTMENTS  
PO BOX 997151  
SACRAMENTO CA 95899-7151

- ☐ Check here and complete reverse side if changes are necessary for:
- Address



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000418 1/1

6

6



## Investments

(as of 01/26/2016) [Change date](#)

MEDASSETS, INC. RETIREMENT SAVINGS PLAN

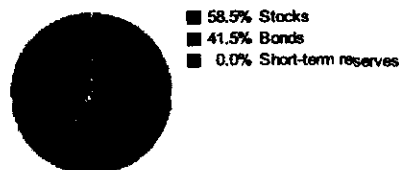
View by: [Fund](#) [Asset class](#) [Source of contribution](#)

NOTE #10

Fund number	Fund	Quantity	Price	Current balance	Current investment mix
1664	Vanguard Institutional Target Retirement 2020 Fund	3,388.289	\$18.42	\$62,412.29	100.00%
Total balance				\$62,412.29	100.00%

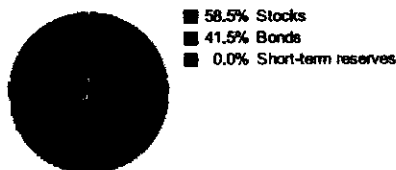
### Your current investment mix

How existing money in your account is invested



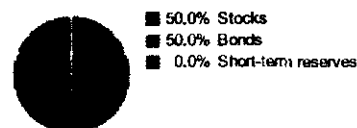
### Your paycheck investment mix

Where money from your paycheck goes



### Are you on track?

Here's your recommended investment mix:



The first pie chart shows your investment mix, which is how the money in your account is spread among different types of assets—stocks, bonds, and short-term reserves. The second pie chart shows your contribution allocation mix, which is how the money you contribute from your paycheck is invested when deposited in your account.

### CHANGE YOUR INVESTMENTS

[Learn more about how we determine your asset mix](#)

[See a more detailed asset mix analysis](#)

[View your plan's default investments](#)

### Find the right mix



#### Not sure where to start?

If you're looking for help planning, we also offer a range of advice services.

Fund prices are updated every business day after the market closes and are usually available by 7 p.m., Eastern time. Your account balance is calculated overnight, however, and is not available until 8 a.m. the following morning. Between 7 p.m. and 8 a.m., the account values displayed may not reflect the current fund price.

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## POLICY SEARCH

NOTE 11

Policy Search

Owner Last Name

Additional search options 

## BOOK OF BUSINESS

Total value: \$533,910.37\*

Results 1-4 of 4

Owner Name	D.O.B	Policy#	Policy Date	Policy Type	Policy Value*	Withdrawal Base Amount	Step Up Date	Living Benefit Name	Annuitant
THURGOOD, CAROL S	08/23/1959	138028LK8	02/27/2012	Q	\$13,524.72	\$14,001.18	02/27/2012	Retirement Income Choice 1.2	THURGOOD, CAROL S
THURGOOD, CAROL S	08/23/1959	138029LK8 <i>20TH</i>	02/27/2012	Q	\$47,028.13	\$47,440.12	02/27/2012	Retirement Income Choice 1.2	THURGOOD, CAROL S
THURGOOD, KEITH L	07/04/1957	140092LK8	06/13/2012	Q	\$431,663.31	\$430,592.58	06/13/2013	Retirement Income Max <sup>sm</sup>	THURGOOD, KEITH L
THURGOOD, KEITH L	07/04/1957	140093LK8 <i>20TH</i>	06/13/2012	Q	\$41,694.21	\$41,662.49	06/13/2013	Retirement Income Max <sup>sm</sup>	THURGOOD, KEITH L

Results 1-4 of 4

\*Variable Annuity values updated as of 01/27/2015

## **Life Insurance**

### **Feb and March 2015:**

*NOTE 11*  
*NOTE 15*

Terminated whole life insurance policies for the cash value on policies with North American Life and Liberty National. I don't have the exact amounts released to you since the values may have increased slightly between the time the request was made and the checks were cut; checks were mailed directly to you. The estimated totals that I have are: Keith (North American combined cash value \$21,701 and Liberty National combined cash value \$34,142). Carol (North American only \$7,182).

**6/15/2015:** \$65K check for year 1 premium on the Indexed Universal Life policy issued June 2015; insurance company is National Life Group/Life Insurance Company of the Southwest. Product is LifeCycle Solutions 2<sup>nd</sup> to die Indexed Universal Life.

### **Annuities/Investments:**

**3/20/2015:** \$150K check for deposit to joint AMS account. Instructions also put in place for monthly withdrawal from this account directly to your bank.

**3/27/2015:** Recharacterizations from Transamerica Roth IRA annuities to First Command Bank Money Market Traditional IRAs:

Keith: \$550.99 for a \$541.66 2/17/2015 contribution + earnings

Carol: \$3,073.08 for 2014 contributions + earnings; and \$265.38 for a 1/20/2015 contribution + earnings

**6/15/15:** Transamerica annuity IRAs – both of you made changes to how the investment is allocated (more aggressive) – there was no movement of money – just an allocation change.

**6/25/2015:** \$5,259.14 were transferred from joint Templeton Fund (account now closed) to the joint AMS account.

# Account Summary

Basic Securities Account KEITH LEE THURGOOD

## BALANCE SHEET (\* includes accrued interest)

	Last Period (as of 10/31/14)	This Period (as of 11/30/14)
Stocks	—	\$(109,870.54)
Net Unsettled Purchases/Sales	—	110,744.41
<b>Total Assets</b>	—	<b>\$873.87</b>
Total Liabilities (outstanding balance)	—	—
<b>TOTAL VALUE</b>	—	<b>\$873.87</b>

## INCOME AND DISTRIBUTION SUMMARY

	This Period (11/1/14-11/30/14)	This Year (1/1/14-11/30/14)
Total Taxable Income And Distributions	—	—
Total Tax-Exempt Income	—	—
<b>TOTAL INCOME AND DISTRIBUTIONS</b>	—	—

Taxable and tax exempt income classifications are based on the characteristics of the underlying securities and not the taxable status of the account.

## CASH FLOW

NOTE 12

	This Period (11/1/14-11/30/14)	This Year (1/1/14-11/30/14)
<b>OPENING CASH, BDP, MMFs</b>	—	—
Sales and Redemptions	110,744.41	110,744.41
Net Unsettled Purch/Sales	(110,744.41)	(110,744.41)
<b>Total Investment Related Activity</b>	—	—
Total Cash Related Activity	—	—
<b>CLOSING CASH, BDP, MMFs</b>	—	—

## GAIN/(LOSS) SUMMARY

	Realized This Period (11/1/14-11/30/14)	Realized This Year (1/1/14-11/30/14)	Unrealized Inception to Date (as of 11/30/14)
Short-Term Gain	—	—	\$873.87

The Gain/(Loss) Summary, which may change due to basis adjustments, is provided for informational purposes and should not be used for tax preparation. Refer to Gain/(Loss) in the Expanded Disclosures.

**KEITH LEE THURGOOD**

Investment Objectives†: Not Provided

## HOLDINGS

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
CASH	\$0.00			

	Percentage of Assets %	Market Value	Estimated Annual Income Accrued Interest
CASH, BDP, AND MMFS		\$0.00	\$0.00
NET UNSETTLED PURCHASES/SALES		\$110,744.41	
CASH, BDP, AND MMFS (PROJECTED SETTLED BALANCE)	0.0%	\$110,744.41	

## STOCKS

## COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
MEDASSETS INC (MDAS)	11/26/14	(5,681.000)	\$19.494	\$(110,744.41)	\$(109,870.54)	\$873.87 ST	—	—

Share Price: \$19.340; Short Position

# Account Summary

Basic Securities Account KEITH LEE THURGOOD

## BALANCE SHEET <sup>(\*)</sup> includes accrued interest)

	Last Period (as of 10/31/14)	This Period (as of 11/30/14)
Stocks	—	\$(109,870.54)
Net Unsettled Purchases/Sales	—	110,744.41
<b>Total Assets</b>	—	<b>\$873.87</b>
Total Liabilities (outstanding balance)	—	—
<b>TOTAL VALUE</b>	—	<b>\$873.87</b>

## INCOME AND DISTRIBUTION SUMMARY

	This Period (11/1/14-11/30/14)	This Year (1/1/14-11/30/14)
Total Taxable Income And Distributions	—	—
Total Tax-Exempt Income	—	—
<b>TOTAL INCOME AND DISTRIBUTIONS</b>	—	—

Taxable and tax exempt income classifications are based on the characteristics of the underlying securities and not the taxable status of the account.

## CASH FLOW

NOTE 12

	This Period (11/1/14-11/30/14)	This Year (1/1/14-11/30/14)
<b>OPENING CASH, BDP, MMFs</b>	—	—
Sales and Redemptions	110,744.41	110,744.41
Net Unsettled Purch/Sales	(110,744.41)	(110,744.41)
Total Investment Related Activity	—	—
Total Cash Related Activity	—	—
<b>CLOSING CASH, BDP, MMFs</b>	—	—

## GAIN/(LOSS) SUMMARY

	Realized This Period (11/1/14-11/30/14)	Realized This Year (1/1/14-11/30/14)	Unrealized Inception to Date (as of 11/30/14)
Short-Term Gain	—	—	\$873.87

The Gain/(Loss) Summary, which may change due to basis adjustments, is provided for informational purposes and should not be used for tax preparation. Refer to Gain/(Loss) in the Expanded Disclosures.



# Morgan Stanley

## EMPLOYEE STOCK PLAN ACCOUNT ACCOUNT STATEMENT

For the Period April 01, 2015 - June 30, 2015

Page 1 of 2



KEITH THURGOOD

7420 AVALON DR  
PLANO, TX 75025-2475



MORGAN STANLEY  
GLOBAL STOCK PLAN SERVICES  
P.O. BOX 182616  
COLUMBUS, OH 43218-2616  
TEL. 1-800-367-4777

NOTE 13

### Account Summary

	Opening Balance	Closing Balance
	(as of 03/31/15)	(as of 06/30/15)
Number of Shares:	284,000	284,000
Share Price:	\$18.8200	\$22.0600
Share Value:	\$5,344.88	\$6,265.04
Cash Value:	-	-
Net Unsettled Sales:	-	-
Total Account Value:	\$5,344.88	\$6,265.04

Plan Number: 31J  
Account Number: XXX-XX-0611

Security Name: MEDASSETS

Transaction Date Activity Type

Quantity

Price

Gross  
Amount

Commission

Distr Fee

Other Costs

Net Amount

No transactions during this period

COMPANY MESSAGE: TO SEE TAX DETAILS LOGON TO STOCKPLANCONNECT.COM

Transaction information is provided as of the trade date. Information pertaining to share deposits is provided as of the date as set forth in your Company's Plan Document. The quarter-end market closing price is utilized to calculate the Share Value of your existing Share Balance at that time.

All Accounts  
**\$105,306.52**

AS OF 01/26/2016 3:48 PM ET

Investment Accounts **\$79,870.66**

INDIVIDUAL **\$79,870.66**  
X50991414 **\$802.24 (+1.01%)**

INDIVIDUAL **\$0.00**  
X67546143

Retirement Accounts **\$25,435.86**

BROKERAGELINK **\$0.00**  
650203947

BROKERAGELINK **\$25,435.86**  
651886009 **\$721.29 (+2.92%)**

Stock Plans

PEPSICO STOCK **\$0.00**  
PURCHASE PR  
Employee Stock  
Purchase Plan

Watch List Edit

Recently Viewed Symbols

**All Accounts**  
**\$105,306.52**

*Accounts (5 of 5)*

**\$1,523.53 (+1.47%)**

*Today's Gain/Loss\*\**

**+6.62%**

*Rate of Return\* (1-Year)*

AS OF 12/31/2015

## Your 2015 Tax Forms

Many 2015 tax forms will be online starting in late January. See if yours are available now or check our form mailing schedule to find out when to expect them.



NOTE 14

## Your Balance Over Time

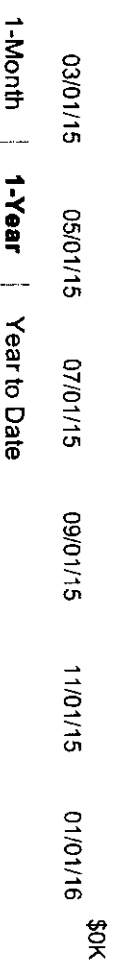
View your total balances over a specified time for the selected Fidelity accounts.



## Switch to a Fidelity IRA

And we'll match up to \$1,950.

[Get details](#)



1-Month | **1-Year** | Year to Date

## BROKERAGELINK

Fidelity

BrokerageLink: 651886009

## Positions

AS OF 01/27/2016 11:09 AM ET

Show Open Positions ▼

note 14

Symbol	Last Price	Today's Gain/Loss	Total Gain/Loss	Current Value	Quantity	Cost Basis	52-Week High/Low
FDRXX**	\$1.00	n/a	n/a	\$4,524.72	4,524.720	n/a	--
FIDELITY GOVERN...	\$0.00	n/a	n/a			n/a	
762430205	n/a	n/a	n/a	n/a	1,000.000	\$1.64/Share \$1,644.95	n/a
RHYTHMS NETCON...	n/a	n/a	n/a				
NXPIS	\$0.005	+\$0.09	-\$807.35	\$0.10	20.000	\$40.37/Share \$807.45	\$0.00 ●
NEXPRISE INC NEW ...	+\$0.005	+4,900.00%	-99.99%				\$0.02 ●
SUNE	\$3.430	+\$24.70	-\$851.28	\$222.95	65.000	\$16.53/Share \$1,074.24	\$2.02 ●
SUN EDISON INC CO ..	+\$0.38	+12.46%	-79.25%				\$33.45 ●
WFC	\$48.480	+\$5.28	-\$5,254.93	\$1,163.52	24.000	\$267.44/Share \$6,418.45	\$46.88 ●
WELLS FARGO & C...	+\$0.22	+0.46%	-81.87%				\$58.77 ●
YUM	\$69.950	+\$22.40	+\$9,999.05	\$19,586.00	280.000	\$34.24/Share \$9,586.95	\$66.35 ●
YUM BRANDS INC	+\$0.08	+0.11%	+104.30%				\$95.90 ●
<b>Total</b>		<b>+\$52.47</b>	<b>+\$3,085.49</b>	<b>\$25,497.29</b>			
		<b>+0.21%</b>	<b>+17.25%</b>				

Change in Securities Priced Today \$52.38

Change in Securities Not Priced Today \$0.09

Total +\$52.47

▼ Indicates that the security has not been priced today. Some securities, such as mutual funds, are not priced until after the market closes.

\*\* This is your core position. It is where your money is held until you invest it.

-- Indicates that this information is not available at this time.

<https://olbx.fidelity.com/figw/fnc/top/portfolio#positions/651886009>



# INDIVIDUAL



Brokerage: X50991414

## Positions

AS OF 1/12/2016 11:10 AM ET

NOTE 14

Show Open Positions

Symbol	Last Price	Today's Gain/Loss	Total Gain/Loss	Current Value	Quantity	Cost Basis	52-Week High/Low
FCASH**	\$1.00	n/a	n/a	\$26,035.90	26,035.900	n/a	n/a
CASH	\$0.00	n/a	n/a			n/a	
DOX	\$52.960	-\$75.46	+\$7,940.89	\$16,650.14	314.391	\$27.70/Share	\$46.58
AMDOCS LTD ORD	-\$0.24	-0.45%	+91.18%			\$8,709.26	
GE	\$28.110	-\$32.90	-\$653.00	\$13,056.78	464.489	\$29.52/Share	\$31.49
GENERAL ELECTRI...	-\$0.20	-0.71%	-4.76%			\$13,709.79	\$19.37
HMY	\$1.929	-\$3.28	-\$1,379.39	\$300.46	155.761	\$10.78/Share	\$0.53
HARMONY GOLD MI...	-\$0.021	-1.08%	-82.11%			\$1,679.85	\$3.18
KSS	\$48.710	+\$16.46	-\$1,462.87	\$6,683.30	137.206	\$59.37/Share	\$41.86
KOHL'S CORP COM I...	+\$0.12	+0.25%	-17.96%			\$8,146.17	\$79.60
PEP	\$96.340	+\$2.73	+\$4,568.47	\$13,197.23	136.986	\$62.99/Share	\$76.48
PEPSICO INC	+\$0.02	+0.02%	+52.94%			\$8,628.76	\$103.44
TGT	\$70.650	+\$11.23	+\$309.41	\$3,781.32	53.522	\$64.87/Share	\$66.46
TARGET CORP CO...	+\$0.21	+0.30%	+8.91%			\$3,471.92	\$85.81
Total		-\$141.22	+\$9,323.51	\$79,705.16			
		-0.18%	+21.02%				

Change in Securities Priced Today -\$141.22  
Change in Securities Not Priced Today \$0.00  
Total -\$141.22

# Thurgood Family Summary

Client since: February 01, 1983  
Print date: January 24, 2015

NOTE 15

## Financial Planning Service

Service Level Non Renewal  
Service Date 02/27/2013

## Investment Summary

First Command Brokered Investments	\$22,356.32
First Command Brokered Annuities	Yes
Other Brokered Investments	\$0.00
Other Mutual Funds	\$0.00
Other Bonds and CDs	\$0.00
<b>Total</b>	<b>\$22,356.32</b>

## Insurance Summary

<b>Life Insurance</b>	
<b>Primary Insured</b>	<b>First Command</b>
Keith L Thurgood	\$942,566.00
Carol S Thurgood	\$75,000.00
Trever L Thurgood	\$25,000.00
Lindsey K Thurgood	\$25,000.00
Ryan K Thurgood	\$25,000.00
Aaron L Thurgood	\$25,000.00
Heather M King	\$25,000.00
Lindsey Kaya Lloyd	\$100,000.00
<b>P&amp;C, LTC, and Disability Insurance (Issued Through First Command)</b>	
Property & Casualty	No
Long-Term Care	Yes
Disability	No

## Banking Summary

<b>Deposit Balance</b>	
First Command Account Balance	\$1,960.26
Other Banking Accounts	\$0.00
<b>Total</b>	<b>\$1,960.26</b>