



Filing ID #10022661

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Betty L. McCollum
Status: Member
State/District: MNO4

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2017
Filing Date: 05/14/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|---------------------|----------------|--------|-------------------------------------|
| Minnesota Deferred Compensation Plan ⇒ Fidelity Diversified International Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | None | <input type="checkbox"/> |
| Minnesota Deferred Compensation Plan ⇒ Janus Twenty [MF] | | \$1,001 - \$15,000 | Tax-Deferred | None | <input type="checkbox"/> |
| Minnesota Deferred Compensation Plan ⇒ T. Rowe Price Small Cap Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | None | <input type="checkbox"/> |
| Minnesota Deferred Compensation Plan ⇒ Vanguard Index Funds Plus [MF] | | \$1,001 - \$15,000 | Tax-Deferred | None | <input type="checkbox"/> |
| Oppenheimer IRA ⇒ Alger Small & Midcap Growth A Open End Mutual Fund [MF] DESCRIPTION: Roll-Over to TSP | | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| Oppenheimer IRA ⇒ Davis NY Venture Mutual Fund [MF] DESCRIPTION: Roll-Over to TSP | | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| Oppenheimer IRA ⇒ Goldman Sachs Capital Growth Mutual Fund [MF] | | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income Tx. > \$1,000? |
|--|-------|--------------------|----------------|-------------------------------------|
| DESCRIPTION: Roll-Over to TSP | | | | |
| Oppenheimer IRA ⇒ Goldman Sachs Growth & Income Fund [MF] | | \$1,001 - \$15,000 | None | <input checked="" type="checkbox"/> |
| DESCRIPTION: Roll-Over to TSP | | | | |
| Oppenheimer IRA ⇒ Hartford Capital Apprec FD A Open End [MF] | | \$1,001 - \$15,000 | None | <input checked="" type="checkbox"/> |
| DESCRIPTION: Roll-Over to TSP | | | | |
| Oppenheimer IRA ⇒ Oppenheimer Flexible Strategies DF CL A Open End [MF] | | \$1,001 - \$15,000 | None | <input checked="" type="checkbox"/> |
| DESCRIPTION: Roll-Over to TSP | | | | |
| Oppenheimer IRA ⇒ Oppenheimer Global Allocation FD [MF] | | \$1,001 - \$15,000 | None | <input checked="" type="checkbox"/> |
| DESCRIPTION: Roll-Over to TSP | | | | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|-----------|----------|---------------------|--------------------------|
| Oppenheimer IRA ⇒ Alger Small & Midcap Growth A Open End Mutual Fund [MF] | | 01/3/2017 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| DESCRIPTION: Roll-Over to TSP | | | | | |
| Oppenheimer IRA ⇒ Davis NY Venture Mutual Fund [MF] | | 01/3/2017 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| DESCRIPTION: Roll-Over to TSP | | | | | |
| Oppenheimer IRA ⇒ Goldman Sachs Capital Growth Mutual Fund [MF] | | 01/3/2017 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| DESCRIPTION: Roll-Over to TSP | | | | | |
| Oppenheimer IRA ⇒ Goldman Sachs Growth & Income Fund [MF] | | 01/3/2017 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| DESCRIPTION: Roll-Over to TSP | | | | | |
| Oppenheimer IRA ⇒ Hartford Capital Apprec FD A Open End [MF] | | 01/3/2017 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| DESCRIPTION: Roll-Over to TSP | | | | | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|-----------|----------|--------------------|--------------------------|
| Oppenheimer IRA ⇒ Oppenheimer Flexible Strategies DF CL A Open End [MF] | | 01/3/2017 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| DESCRIPTION: Roll-Over to TSP | | | | | |
| Oppenheimer IRA ⇒ Oppenheimer Global Allocation FD [MF] | | 01/3/2017 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| DESCRIPTION: Roll-Over to TSP | | | | | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|---------------------------|---------------|---|-----------------------|
| | CitiMortgage | May 2011 | Mortgage on St. Paul, MN residence | \$100,001 - \$250,000 |
| | Wells Fargo Home Mortgage | December 2012 | Mortgage on Washington, DC "C Street" residence | \$250,001 - \$500,000 |

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Minnesota Deferred Compensation Plan
- Oppenheimer IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Betty L. McCollum , 05/14/2018