

MAY 15 2014

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT

FORM B

For use by candidates and new employees

Period covered: January 1, 2014 - MAY 14, 2014

LEGISLATIVE RESOURCE CENTER
Page 1 of 2

2014 MAY 27 PM 1:14

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Name: JOSEPH G BOCK Daytime Telephone: _____

(Office Use Only)

| | | | | | |
|--------------|--|--|---------------------------------|---|--|
| Filer Status | <input checked="" type="checkbox"/> Candidate for the House of Representatives | State: <u>IN</u> District: <u>2</u> | Date of Election: <u>NOV. 4</u> | Check if Amendment <input type="checkbox"/> | A \$200 penalty shall be assessed against any individual who files more than 30 days late. |
| | <input type="checkbox"/> New officer or employee | Employing Office: _____ | | | |

In all sections, please type or print clearly in blue or black ink.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

| | | | |
|--|---|---|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

| | |
|--|---|
| TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

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Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

[illegible]

| | | | | | |
|------|----------------|------|---|----|----|
| Name | JOSEPH G. BOCK | Page | 3 | of | 28 |
|------|----------------|------|---|----|----|

For additional assets and unearned income, use next page



Investment Report

March 1, 2014 - March 31, 2014

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Envelope 021532798



JOSEPH G BOCK
1749 W NORTH SHORE DR
SOUTH BEND IN 46617-1065

Your Advisor
NORTHCOST ASSET MGMT LLC
6 GLENVILLE STREET
GREENWICH CT 06831
Phone: (203)532-7000

Brokerage [REDACTED] JOSEPH G BOCK - ROTH INDIVIDUAL RETIREMENT ACCOUNT - FMTC CUSTODIAN

Account Summary

Beginning value as of Mar 1 \$185,221.10
Transaction costs, loads and fees -54.71
Change in investment value -494.75
Ending value as of Mar 31 \$184,671.64

Accrued interest (AI) \$0.00
Change in AI from last statement \$0.00

Income Summary

This Period Year to Date
Tax-free \$170.95 \$456.20

Your Advisor is an independent organization and is not affiliated with Fidelity Investments. Brokerage services provided by Fidelity Brokerage Services LLC, Member NYSE, SIPC.
800-544-6666. Brokerage Accounts carried with National Financial Services LLC, Member NYSE, SIPC

Holdings (Symbol) as of March 31, 2014

Stocks 74% of holdings

| | Quantity March 31, 2014 | Price per Unit March 31, 2014 | Cost | Total Value March 1, 2014 | Total Value March 31, 2014 | Unrealized Gain (Loss) March 31, 2014 |
|---------------------------------------|----------------------------|----------------------------------|------------|------------------------------|-------------------------------|--|
| TE CONNECTIVITY LTD COM CHF0.57 (TEL) | 55.502 | \$60.210 | \$2,852.23 | \$3,237.30 | \$3,341.77 | \$ 489.54 |
| EAI: \$55.50, EY: 1.66% | | | | | | |
| ABBOTT LABORATORIES (ABT) | 152.016 | 38.510 | 5,853.91 | 6,047.19 | 5,854.13 | 200.22 |
| EAI: \$133.77, EY: 2.29% | | | | | | |
| AFFILIATED MANAGERS GROUP (AMG) | 17.000 | 200.050 | 3,222.75 | | 3,400.85 | 178.10 |
| BANK OF AMERICA CORP (BAC) | 373.215 | 17.200 | 6,199.36 | | 6,419.29 | 219.93 |
| EAI: \$14.93, EY: 0.23% | | | | | | |



Investment Report

March 1, 2014 - March 31, 2014

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Brokerage [REDACTED] JOSEPH G BOCK - ROTH INDIVIDUAL RETIREMENT ACCOUNT - FMTC CUSTODIAN

| Holdings (Symbol) as of March 31, 2014 | Quantity March 31, 2014 | Price per Unit March 31, 2014 | Cost | Total Value March 1, 2014 | Total Value March 31, 2014 | Unrealized Gain (Loss) March 31, 2014 |
|---|----------------------------|----------------------------------|----------|------------------------------|-------------------------------|--|
| CABOT OIL & GAS CP COM (COG) EAI: \$12.49, EY: 0.24% | 156,174 | 33.880 | 5,713.85 | 5,466.09 | 5,291.17 | -422.68 |
| CANADIAN SOLAR INC COM STK NPV (CSIQ) EAI: \$107.69, EY: 1.73% | 86,000 | 32.040 | 2,867.43 | 6,366.17 | 2,755.44 | -111.99 |
| CARDINAL HEALTH INC (CAH) EAI: \$107.69, EY: 1.73% | 89,000 | 69.980 | 5,944.24 | | 6,228.22 | 283.98 |
| CELGENE CORP (CELG) EAI: \$37.93, EY: 1.30% | 34,000 | 139.600 | 4,053.93 | 5,465.50 | 4,746.40 | 692.47 |
| CITIGROUP INC COM NEW (C) EAI: \$39.21, EY: 0.69% | 111,068 | 47.600 | 5,372.92 | 5,401.23 | 5,286.83 | -86.09 |
| DELTA AIR LINES INC DEL COM NEW (DAL) EAI: \$39.21, EY: 0.69% | 163,370 | 34.650 | 4,522.91 | 7,206.57 | 5,660.77 | 1,137.86 |
| EOG RESOURCES INC (EOG) EAI: \$36.04, EY: 0.51% | 36,041 | 196.170 | 5,679.49 | 6,826.88 | 7,070.16 | 1,390.67 |
| FACTSET RESEARCH SYS INC (FDS) EAI: \$37.93, EY: 1.30% | 27,090 | 107.810 | 2,934.47 | 2,842.83 | 2,920.57 | -13.90 |
| GILEAD SCIENCES INC (GILD) EAI: \$139.44, EY: 2.38% | 80,000 | 70.860 | 6,076.14 | 6,048.64 | 5,668.80 | -407.34 |
| HOME DEPOT INC (HD) EAI: \$31.18, EY: 0.95% | 74,172 | 79.130 | 5,477.26 | | 5,869.23 | 391.97 |
| KEURIG GREEN MTN INC (GMCR) EAI: \$44.80, EY: 1.25% | 31,180 | 105.590 | 2,028.08 | 3,422.94 | 3,292.29 | 1,264.21 |
| METHANEX CORP COM NPV EAI: \$31.18, EY: 0.95% | 56,000 | 63.940 | 3,724.57 | | 3,580.64 | -143.93 |
| ISIN #CA59151K1084 SEDOL #2654416 (MEOH) EAI: \$44.80, EY: 1.25% | | | | | | |
| MICRON TECHNOLOGY (MU) EAI: \$98.40, EY: 1.63% | 254,000 | 23.660 | 5,953.58 | 6,144.26 | 6,009.64 | 56.06 |
| NETAPP INC COM (NTAP) EAI: \$71.85, EY: 1.26% | 164,000 | 36.900 | 6,130.92 | | 6,051.60 | -79.32 |
| PPG INDUSTRIES (PPG) EAI: \$156.48, EY: 2.72% | 29,448 | 193.460 | 3,890.10 | 5,807.79 | 5,697.01 | 1,806.91 |
| PEPSICO INC (PEP) EAI: \$39.33, EY: 1.33% | 68,933 | 83.500 | 5,353.54 | 5,482.07 | 5,755.90 | 402.36 |
| ROCK-TENN CO CL A (RKT) EAI: \$119.53, EY: 2.45% | 28,090 | 105.570 | 2,815.07 | 3,135.40 | 2,965.46 | 150.39 |
| SLM CORP COM (SLM) EAI: \$108.80, EY: 1.64% | 199,223 | 24.480 | 3,863.95 | 4,740.95 | 4,876.97 | 1,013.02 |
| SCHLUMBERGER LIMITED COM USD0.01 (SLB) EAI: \$108.80, EY: 1.64% | 68,000 | 97.500 | 6,085.04 | | 6,630.00 | 544.96 |



Investment Report

March 1, 2014 - March 31, 2014

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Brokerage

JOSEPH G BOCK - ROTH INDIVIDUAL RETIREMENT ACCOUNT - FMTC CUSTODIAN

| Holdings (Symbol) as of March 31, 2014 | Quantity | Price per Unit | Cost | Total Value | Total Value | Unrealized Gain (Loss) |
|--|----------------|----------------|------|---------------|----------------|------------------------|
| | March 31, 2014 | March 31, 2014 | | March 1, 2014 | March 31, 2014 | March 31, 2014 |

| | | | | | | |
|-----------------------|--------|--------|----------|----------|----------|----------|
| STARBUCKS CORP (SBUX) | 72.252 | 73.380 | 5,876.54 | 5,127.00 | 5,301.85 | - 574.69 |
|-----------------------|--------|--------|----------|----------|----------|----------|

EAI: \$75.14, EY: 1.42%

| | | | | | | |
|--------------------------|--------|---------|----------|----------|----------|----------|
| UNION PACIFIC CORP (UNP) | 28.176 | 187.660 | 4,280.83 | 6,705.80 | 5,287.50 | 1,006.67 |
|--------------------------|--------|---------|----------|----------|----------|----------|

EAI: \$102.56, EY: 1.94%

| | | | | | | |
|---------------------------|--------|--------|----------|----------|----------|-------|
| VIACOM INC NEW CL B (VIA) | 70.000 | 84.990 | 5,919.85 | 6,141.10 | 5,949.30 | 29.45 |
|---------------------------|--------|--------|----------|----------|----------|-------|

EAI: \$84.00, EY: 1.41%

| | | | | | | |
|-----------------------|--------|---------|----------|----------|----------|--------|
| VISA INC COM CL A (V) | 23.160 | 215.860 | 4,145.53 | 5,223.50 | 4,999.31 | 853.78 |
|-----------------------|--------|---------|----------|----------|----------|--------|

EAI: \$37.06, EY: 0.74%

| | | | | | | |
|--------------------|--|--|------------|--|------------|-----------|
| Subtotal of Stocks | | | 126,638.49 | | 136,911.10 | 10,272.61 |
|--------------------|--|--|------------|--|------------|-----------|

Core Account 26% of holdings

| | | | | | | |
|--------------------------------|------------|-------|----------------|-----------|-----------|----------------|
| FIDELITY CASH RESERVES (FDRXX) | 47,760.540 | 1.000 | not applicable | 68,608.43 | 47,760.54 | not applicable |
|--------------------------------|------------|-------|----------------|-----------|-----------|----------------|

7-day Yield: 0.01%

| | | | | | | |
|--------------------------|--|--|--|--|-----------|--|
| Subtotal of Core Account | | | | | 47,760.54 | |
|--------------------------|--|--|--|--|-----------|--|

| | | | | | | |
|-------|--|--|---------------|--|--------------|--------------|
| Total | | | \$ 126,638.49 | | \$184,671.64 | \$ 10,272.61 |
|-------|--|--|---------------|--|--------------|--------------|

All positions held in cash account unless indicated otherwise.

Total Cost does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.

Estimated Annual Income (EAI) & Estimated Yield (EY) - EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate.

For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be lower or higher. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security. For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.



Investment Report

April 1, 2014 - April 30, 2014

Envelope 021534507

JOSEPH G BOCK
1749 W NORTH SHORE DR
SOUTH BEND IN 46617-1065

Your Advisor
NORTHCOST ASSET MGMT LLC
6 GLENVILLE STREET
GREENWICH CT 06831
Phone: (203)532-7000

Brokerage JOSEPH G BOCK - ROTH INDIVIDUAL RETIREMENT ACCOUNT - FMTC CUSTODIAN

| Account Summary | | Income Summary | |
|-----------------------------------|--------------|----------------|--------------|
| Beginning value as of Apr 1 | \$184,671.64 | This Period | Year to Date |
| Transaction costs, loads and fees | -775.23 | Tax-free | \$569.67 |
| Change in investment value | 472.28 | | |
| Ending value as of Apr 30 | \$184,368.69 | | |
| Accrued Interest (AI) | \$0.00 | | |
| Change in AI from last statement | \$0.00 | | |

Your Advisor is an independent organization and is not affiliated with Fidelity Investments. Brokerage services provided by Fidelity Brokerage Services LLC, Member NYSE, SIPC.
800-544-6666. Brokerage Accounts carried with National Financial Services LLC, Member NYSE, SIPC

| Holdings (Symbol) as of April 30, 2014 | Quantity April 30, 2014 | Price per Unit April 30, 2014 | Cost | Total Value April 1, 2014 | Total Value April 30, 2014 | Unrealized Gain (Loss) April 30, 2014 |
|--|----------------------------|----------------------------------|------------|------------------------------|-------------------------------|--|
| Stocks 77% of holdings | | | | | | |
| TE CONNECTIVITY LTD COM CHF0.57 (TEL) | 55,502 | \$58.980 | \$2,852.23 | \$3,341.77 | \$3,273.50 | \$ 421.27 |
| EAI: \$55.50, EY: 1.70% | | | | | | |
| ACUTY BRANDS INC (AYI) | 23,000 | 124.570 | 2,926.50 | | 2,865.11 | - 61.39 |
| EAI: \$11.96, EY: 0.42% | | | | | | |
| AFFILIATED MANAGERS GROUP (AMG) | 17,000 | 198.200 | 3,222.75 | 3,400.85 | 3,369.40 | 146.65 |
| BANK OF AMERICA CORP (BAC) | 373,215 | 15.140 | 6,199.36 | 6,419.29 | 5,650.47 | - 548.89 |
| EAI: \$14.93, EY: 0.26% | | | | | | |



Investment Report

April 1, 2014 - April 30, 2014

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Brokerage [REDACTED] JOSEPH G BOCK - ROTH INDIVIDUAL RETIREMENT ACCOUNT - FMTC CUSTODIAN

| Holdings (Symbol) as of April 30, 2014 | Quantity April 30, 2014 | Price per Unit April 30, 2014 | Cost | Total Value April 1, 2014 | Total Value April 30, 2014 | Unrealized Gain (Loss) April 30, 2014 |
|---|------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|--|
| CABOT OIL & GAS CP COM (COG) EAI: \$12.49, EY: 0.20% | 156.174 | 39.280 | 5,713.85 | 5,291.17 | 6,134.51 | 420.66 |
| CARDINAL HEALTH INC (CAH) EAI: \$108.16, EY: 1.74% | 89.391 | 69.510 | 5,944.24 | 6,228.22 | 6,213.56 | 269.32 |
| CELGENE CORP (CELG) CITIGROUP INC COM NEW (C) EAI: \$39.21, EY: 0.65% | 34.000 111.068 163.370 | 147.010 47.910 36.830 | 4,053.93 5,372.92 4,522.91 | 4,746.40 5,286.83 5,660.77 | 4,998.34 5,321.26 6,016.91 | 944.41 - 51.66 1,494.00 |
| EOG RESOURCES INC (EOG) EAI: \$27.08, EY: 0.51% | 54.150 | 98.000 | 4,259.62 | 7,070.16 | 5,306.70 | 1,047.08 |
| FACTSET RESEARCH SYS INC (FDS) EAI: \$37.93, EY: 1.31% | 27.090 | 106.500 | 2,934.47 | 2,920.57 | 2,885.08 | - 49.39 |
| GILEAD SCIENCES INC (GILD) HOME DEPOT INC (HD) EAI: \$139.44, EY: 2.36% | 80.000 74.172 31.180 | 78.490 79.510 93.680 | 6,076.14 5,477.26 2,028.08 | 5,668.80 5,869.23 3,292.29 | 6,279.20 5,897.41 2,920.94 | 203.06 420.15 892.86 |
| KEURIG GREEN MTN INC (GMCR) KIRBY CORP FORMERLY KIRBY EXPL INC (KEX) EAI: \$31.18, EY: 1.07% | 29.000 | 100.620 | 2,960.81 | | 2,917.98 | - 42.83 |
| METHANEX CORP COM NPV ISIN #CA59151K1084 SEDOL #2654416 (MECH) EAI: \$44.80, EY: 1.29% | 56.000 | 62.000 | 3,724.57 | 3,580.64 | 3,472.00 | - 252.57 |
| MICRON TECHNOLOGY (MU) NETAPP INC COM (NTAP) EAI: \$98.81, EY: 1.68% | 254.000 164.683 68.933 | 26.120 35.610 85.890 | 5,953.58 6,130.92 5,353.54 | 6,009.64 6,051.60 5,755.90 | 6,634.48 5,864.36 5,920.65 | 680.90 - 266.56 567.11 |
| PEPSICO INC (PEP) EAI: \$156.48, EY: 2.64% | 74.000 | 80.680 | 5,984.53 | | 5,970.32 | - 14.21 |
| PRUDENTIAL FINL INC (PRU) EAI: \$156.88, EY: 2.63% | 28.090 | 95.610 | 2,815.07 | 2,965.46 | 2,685.68 | - 129.39 |
| ROCK-TENN CO CL A (RKT) EAI: \$39.33, EY: 1.46% | 199.223 | 25.750 | 3,863.95 | 4,876.97 | 5,129.99 | 1,266.04 |
| SLM CORP COM (SLM) EAI: \$119.53, EY: 2.33% | | | | | | |



Investment Report

April 1, 2014 - April 30, 2014

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Brokerage

JOSEPH G BOCK - ROTH INDIVIDUAL RETIREMENT ACCOUNT - FMTC CUSTODIAN

Holdings (Symbol) as of April 30, 2014

| | Quantity April 30, 2014 | Price per Unit April 30, 2014 | Cost | Total Value April 1, 2014 | Total Value April 30, 2014 | Unrealized Gain (Loss) April 30, 2014 |
|---|----------------------------|----------------------------------|-------------------|------------------------------|-------------------------------|--|
| SCHLUMBERGER LIMITED COM USD0.01 (SLB) | 68.000 | 101.550 | 6,085.04 | 6,630.00 | 6,905.40 | 820.36 |
| EAI: \$108.80, EY: 1.58% | | | | | | |
| STARBUCKS CORP (SBUX) | 72.252 | 70.620 | 5,876.54 | 5,301.85 | 5,102.43 | -774.11 |
| EAI: \$75.14, EY: 1.47% | | | | | | |
| THERMO FISHER SCIENTIFIC INC (TMO) | 50.000 | 114.000 | 5,894.33 | | 5,700.00 | -194.33 |
| EAI: \$30.00, EY: 0.53% | | | | | | |
| UBIQUITI NETWORKS INC COM USD0.001 (UBNT) | 77.000 | 38.730 | 3,085.78 | | 2,982.21 | -103.57 |
| UNION PACIFIC CORP (UNP) | 28.360 | 190.430 | 4,280.83 | 5,287.50 | 5,400.59 | 1,119.76 |
| EAI: \$103.23, EY: 1.91% | | | | | | |
| VIACOM INC NEW CL B (VIAB) | 70.250 | 84.980 | 5,919.85 | 5,949.30 | 5,969.84 | 49.99 |
| EAI: \$84.30, EY: 1.41% | | | | | | |
| VISA INC COM CL A (V) | 23.160 | 202.610 | 4,145.53 | 4,999.31 | 4,692.44 | 546.91 |
| EAI: \$37.06, EY: 0.79% | | | | | | |
| Subtotal of Stocks | | | 133,659.13 | | 142,480.76 | 8,821.63 |

Core Account 23% of holdings

| | | | | | | |
|---------------------------------|------------|-------|----------------|-----------|------------------|----------------|
| FIDELITY CASH RESERVES (FDRXX) | 41,887.930 | 1.000 | not applicable | 47,760.54 | 41,887.93 | not applicable |
| 7-day Yield: 0.01% | | | | | | |
| Subtotal of Core Account | | | | | 41,887.93 | |

Total

\$ 133,659.13

\$ 184,368.69

\$ 8,821.63

All positions held in cash account unless indicated otherwise.

Total Cost does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.

Estimated Annual Income (EAI) & Estimated Yield (EY) - EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be lower or higher. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security. For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.



NOTRE DAME DC PLAN

JOSEPH BOCK
1749 WEST NORTH SHORE DRIVE
SOUTHBEND, IN 46617

Retirement Savings Statement

Customer Service: (800) 343-0860
Fidelity Investments Institutional Operations
Company, Inc.
82 Devonshire Street
Boston, MA 02109

Your Account Summary

Statement Period: 04/01/2014 to 04/30/2014

| | |
|--------------------------|-------------------|
| Beginning Balance | \$1,101.17 |
| Fees/Credits | \$1.02 |
| Change in Account Value | -\$5.31 |
| Ending Balance | \$1,095.88 |

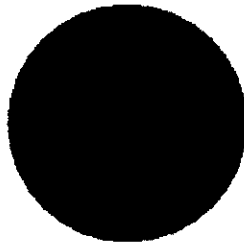
Your Personal Rate of Return

| | |
|--------------------|--------------|
| This Period | -0.6% |
|--------------------|--------------|

Your Personal Rate of Return is calculated with a time-weighted formula, widely used by financial analysts to calculate investment earnings. It reflects the results of your investment selections as well as any activity in the plan account(s) shown. There are other Personal Rate of Return formulas used that may yield different results. Remember that past performance is no guarantee of future results.

Your Asset Allocation

Statement Period: 04/01/2014 to 04/30/2014



■ 100.00% Stock Investments: \$1,095.88

Your account is allocated among the asset classes specified above as of 04/30/2014. Percentages and totals may not be exact due to rounding.

Account Value

Statement Period: 04/01/2014 to 04/30/2014

Displayed in this section is the value of your account for the statement period, in both shares and dollars.

Univ. of Notre Dame

| <i>Investment</i> | Shares as of 03/31/2014 | Shares as of 04/30/2014 | Price as of 03/31/2014 | Price as of 04/30/2014 | Account Value as of 03/31/2014 | Account Value as of 04/30/2014 |
|--------------------------|-------------------------------|-------------------------------|------------------------------|------------------------------|--------------------------------------|--------------------------------------|
| Stock Investments | | | | | \$1,101.17 | \$1,095.88 |
| International | | | | | | |
| FID Intl Discovery K | 27.619 | 27.646 | \$39.87 | \$39.84 | \$1,101.17 | \$1,095.88 |
| Account Totals | | | | | \$1,101.17 | \$1,095.88 |

Remember that a dividend payment to fund shareholders reduces the share price of the fund, so a decrease in the share price for the statement period does not necessarily reflect lower fund performance.

Please refer to NetBenefits and other Plan information, such as your SPD, for a description of your right to direct investments under the Plan. For information on any plan restrictions or limitations on those rights visit NetBenefits and click on "Plan Information".

To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly. If you invest more than 20% of your retirement

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FINANCIAL SERVICES

730 Third Avenue New York, NY 10017-3206

DR JOSEPH G BOCK
1749 W NORTH SHORE DR
SOUTH BEND IN 46617-1065

Quarterly Retirement Portfolio Statement

January 01, 2014 - March 31, 2014

For
DR JOSEPH G BOCK

Customer Service

Website: ttaa-cref.org
Automated 24-hour Information and
Personal Assistance (Español disponible) **800 842-2252**
Hearing Impaired (TTY phone users only) 800 842-2755
Monday through Friday, 8:00 a.m. - 10:00 p.m. (ET)
Saturday, 9:00 a.m. - 6:00 p.m. (ET)

To view your most current account information, go to our
website at ttaa-cref.org and log-in with your user ID and
password or call our 24-hour automated system.

Portfolio Summary

| | This Period | Year-to-Date |
|--------------------------|---------------------|---------------------|
| Beginning Balance | \$424,257.40 | \$424,257.40 |
| Additions | 5,432.64 | 5,432.64 |
| Reductions | -35.01 | -35.01 |
| Gain/Loss | 7,955.97 | 7,955.97 |
| Ending Balance | \$437,611.00 | \$437,611.00 |

Retirement Income Projection

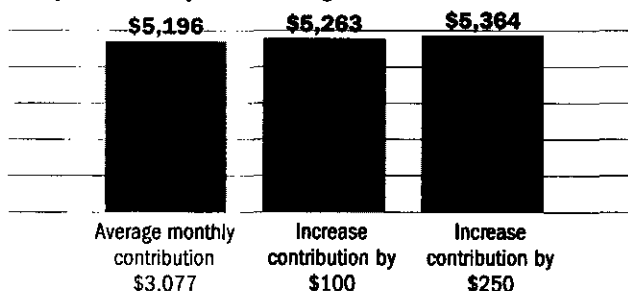
As part of your retirement savings planning, have you considered how much you need to retire? Saving a little more now can add up by the time you retire. **These charts are purely hypothetical and do not illustrate past or projected performance.**

What can you expect from Retirement Income?

| Average Monthly Contribution | Monthly Contribution Increased by | Sample Lifetime Retirement Monthly Income at Age 65 |
|------------------------------------|---|---|
| \$3,077 | \$0 | \$5,196 |
| \$3,177 | \$100 | \$5,263 |
| \$3,327 | \$250 | \$5,364 |

To raise your savings rate or further personalize the retirement income projection, visit us online at ttaa-cref.org or call TIAA-CREF at 800-842-2252. Recent changes to your contribution amounts may not be reflected on this statement.

Example of Monthly Income at Age 65



Message Board

If you are invested in mutual funds in your retirement plans or IRAs, please review the frequent trading policy at www.ttaa-cref.org/tradingpolicy.

Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among the investment choices within your account are also shown as Additions and Reductions.

Please refer to the back of this statement for Glossary Terms.

11 of 28

Quarterly Retirement Portfolio Statement

January 01, 2014 - March 31, 2014

DR JOSEPH G BOCK

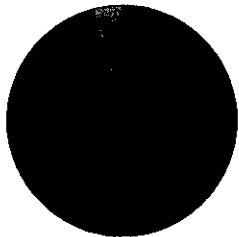
Personalized Rate of Return

This Period
Year-to-Date

1.9%
1.9%

This figure is an estimate of the performance of the assets in your retirement portfolio, as reflected on this statement but excluding your retirement healthcare program assets that are maintained at TIAA-CREF during the period(s) specified. Past performance is not a guarantee of future results. Please refer to the Disclosures Section for more information.

Asset Allocation Summary



If you are invested in more than one asset class, the Asset Allocation percentages may not be exact due to rounding.

| Current Percent | Asset Class | Current Value |
|-----------------|--------------|---------------------|
| 80% | Equities | \$349,454.40 |
| 13% | Real Estate | 56,082.27 |
| 3% | Fixed Income | 13,282.08 |
| 4% | Multi-Asset | 15,333.23 |
| 100% | Total | \$434,151.98 |

Note: Retirement healthcare program assets are not included in the asset allocation pie chart.

Activity Summary by Asset Class

| Asset Class/ Investment | Beginning Balance as of 01/01/2014 | Additions | Reductions | Gain/Loss | Ending Balance as of 03/31/2014 |
|----------------------------|---------------------------------------|-------------------|-----------------|-------------------|------------------------------------|
| Equities | | | | | |
| T-C Intl Eq Idx-Rtmt | \$22,590.19 | \$0.00 | \$0.00 | \$138.31 | \$22,728.50 |
| T-C Intl Eq-Inst | 138,565.87 | 1,599.30 | 0.00 | 4,101.40 | 144,266.57 |
| T-C Social Ch Eq-Inst | 35,126.10 | 0.00 | 0.00 | 635.35 | 35,761.45 |
| T-C Intl Eq Idx-Inst | 141,734.58 | 3,833.34 | 0.00 | 1,129.96 | 146,697.88 |
| Total Equities | \$338,016.74 | \$5,432.64 | \$0.00 | \$6,005.02 | \$349,454.40 |
| Real Estate | | | | | |
| TIAA Real Estate | \$54,804.79 | \$0.00 | \$0.00 | \$1,277.48 | \$56,082.27 |
| Fixed Income | | | | | |
| T-C High-Yield-Inst | \$12,892.76 | \$0.00 | \$0.00 | \$389.32 | \$13,282.08 |
| Multi-Asset | | | | | |
| CREF Social Choice | \$15,074.34 | \$0.00 | \$0.00 | \$258.89 | \$15,333.23 |
| T-C Lifecycle 2050-Rtmt | 3,468.77 | 0.00 | -35.01 | 25.26 | 3,459.02 |
| Total Multi-Asset | \$18,543.11 | \$0.00 | -\$35.01 | \$284.15 | \$18,792.25 |
| Total Account Value | \$424,257.40 | \$5,432.64 | -\$35.01 | \$7,955.97 | \$437,611.00 |

Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among your investment choices are also shown as Additions and Reductions. For example, if you transferred money from one investment choice to another, the money going into an account is an Addition and the money leaving an account is a Reduction. Other adjustments to your portfolio are also included in the Additions and Reductions total.

To view current performance for your specific investments, log in to your account at tiaa-cref.org or you can visit www.tiaa-cref.org/performance for general performance information.

Joseph Bock

From: GuideStone Email System <GuideStoneEmailSystem@Guidestone.org>
Sent: Friday, November 01, 2013 8:17 AM
To: Joseph Bock
Subject: GuideStone account balance as of October 31, 2013



INVESTMENT UPDATE

Your GuideStone account balance as of October 31, 2013 is: **\$11,428.11**.

| » 403(B): | | | | |
|-------------------------------|--------|---------|----------|--------------------|
| Investment Fund | Ticker | NAV | Shares | Market Value |
| Aggressive Allocation (GGBZX) | GGBZX | \$15.21 | 368.6550 | \$5,607.24 |
| International Equity (GIEZX) | GIEZX | \$14.91 | 390.4006 | \$5,820.87 |
| 403(B) SUBTOTAL | | | | \$11,428.11 |
| GRAND TOTAL | | | | \$11,428.11 |

[View Account](#)

[Manage Subscriptions](#)

[Questions? Contact Us](#)

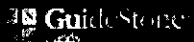
Personal investment accounts and individual retirement accounts (IRAs) are offered through GuideStone Funds.

The totals above do not include any defined benefit accumulations (such as Plan A or IMB's pre-82).

If you would like to change your account balance email options, go to your profile on www.MyGuideStone.org.

Please do not reply to this email. Our [customer relations](#) representatives would be happy to assist with any comments or questions you may have.

TOP INDUSTRY AWARDS.



2401 Cedar Springs Road, Dallas, TX 75201 1-888-984-8433
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[GuideStone Funds Pros](#) | [Site Map](#) | [Accessibility](#) | [Privacy Policy](#) | [Security](#)

My Accounts | Portfolio

This tab displays the current value of the securities held in the selected accounts. To view details of any position, click the link or arrow icon in the Quantity column. Additionally, you can filter by a specific security type using the View drop-down box and sort columns by clicking the column headings.

ACCOUNT LIST

IRA [REDACTED] | Joint account [REDACTED]

Current Value

| Security Description | Symbol / Ticker | Quantity | Delayed Price | Daily Price | Current Value | Daily Value Change | Estimated Annual Income | Estimated Yield |
|--|-----------------|-------------|---------------|-------------|---------------------|--------------------|-------------------------|-----------------|
| Cash | | (12,143.38) | \$1.00 | | \$12,143.38 | | \$0.00 | 0.00 % |
| RJ Bank Deposit Program | | 75,080.85 | \$1.00 | | \$75,080.85 | | \$7.51 | 0.01 % |
| APPLIED MICRO CIRCUITS CORPORATION COM NEW | AMCC | 1,000.000 | \$8.88 | \$8.88 | \$8,880.00 | \$1,050.00 | \$0.00 | 0.00 % |
| BPZ RESOURCES INCORPORATED | BPZ | 30,000.000 | \$2.58 | \$0.16 | \$77,400.00 | \$4,800.00 | \$0.00 | 0.00 % |
| SENIOR MEDIUM TERM NOTES SERIES B LINKED TO RJ ANALYST'S BEST PICKS FOR 2014 DUE JANUARY 28, 2015 DUE 01/28/2015 | US06368RSG10 | 20,000.000 | \$98.35 | | \$19,670.00 | | \$0.00 | 0.00 % |
| BANK OF NOVA SCOTIA LINKED NOTE RJ ANALYST CURRENT FAVORITE DUE 3/23/2017 ISIN US064159CZ77 | US064159CZ77 | 70,000.000 | \$94.54 | | \$66,178.00 | | \$0.00 | 0.00 % |
| HEALTH INS INNOVATIONS INCORPORATED COM CLASS A | HIHQ | 6,750.000 | \$9.52 | \$10.04 | \$64,280.00 | \$1,270.00 | \$0.00 | 0.00 % |
| LINNCO LLC COM SHS LTD INT | LNCO | 1,000.000 | \$27.40 | \$10.12 | \$27,400.00 | \$1,200.00 | \$2,899.00 | 10.53 % |
| MIX TELEMATICS LIMITED SPONSORED ADR | MIXT | 4,000.000 | \$10.26 | \$10.26 | \$41,040.00 | \$1,040.00 | \$0.00 | 0.00 % |
| NATIONAL RETAIL PROPERTIES, INC CUMULATIVE REIT PFD | NNNPRE | 1,000.000 | \$22.35 | \$0.17 | \$22,350.00 | \$170.00 | \$1,425.00 | 6.42 % |
| FLAHERTY & CRUMLINE DYN PREFERRED SHS | DFP | 1,000.000 | \$22.91 | \$0.07 | \$22,908.70 | \$86.70 | \$1,920.00 | 8.41 % |
| NHB HOLDINGS INCORPORATED PRIVATE PLACEMENT | NHBH | 10,000.000 | \$10.00 | | \$100,000.00 | | \$0.00 | 0.00 % |
| Total | | | | | \$513,027.17 | \$3,521.70 | \$8,251.51 | |

Continuation Sheet (if needed)

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CliftonLarsonAllen Wealth Advisors
PORTFOLIO APPRAISAL
Joseph Bock Roth Conversion IRA

Strategy: DFA Global Allocation 60/40
May 8, 2014

| Quantity | Security | Adjusted Unit Cost | Total Adjusted Cost | Price | Market Value | Pct. Assets |
|---------------------------------|--------------------------------|--------------------|---------------------|-------|------------------|--------------|
| EQUITY FUNDS | | | | | | |
| OPPORTUNISTIC EQUITY | | | | | | |
| 241.000 | Market Vectors Gold Miners | 54.03 | 13,020.30 | 23.77 | 5,728.57 | 14.3 |
| | | | 13,020.30 | | 5,728.57 | 14.3 |
| BOND FUNDS | | | | | | |
| ALTERNATIVE FIXED INCOME | | | | | | |
| 2,102.710 | Principal Global Div Inc Instl | 14.03 | 29,500.00 | 14.77 | 31,057.03 | 77.3 |
| | | | 29,500.00 | | 31,057.03 | 77.3 |
| CASH | | | | | | |
| CASH & EQUIVALENTS | | | | | | |
| | Money Market Fund | | 3,398.80 | | 3,398.80 | 8.5 |
| | | | 3,398.80 | | 3,398.80 | 8.5 |
| TOTAL PORTFOLIO | | | | | 40,184.40 | 100.0 |

CliftonLarsonAllen Wealth Advisors
PORTFOLIO APPRAISAL
Joseph Bock Roth Contributory IRA

Strategy: DFA Global Allocation 60/40
May 8, 2014

| Quantity | Security | Adjusted Unit Cost | Total Adjusted Cost | Price | Market Value | Pct. Assets |
|----------------------|------------------------------------|--------------------|---------------------|-------|--------------|-------------|
| EQUITY FUNDS | | | | | | |
| INTERNATIONAL EQUITY | | | | | | |
| 259,000 | Vanguard FTSE Emerging Markets ETF | 42.44 | 10,992.88 | 41.37 | 10,714.83 | 34.7 |
| | | | 10,992.88 | | 10,714.83 | 34.7 |
| CASH | | | | | | |
| CASH & EQUIVALENTS | | | | | | |
| | Money Market Fund | | 20,207.57 | | 20,207.57 | 65.3 |
| | | | 20,207.57 | | 20,207.57 | 65.3 |
| TOTAL PORTFOLIO | | | 31,200.45 | | 30,922.40 | 100.0 |

CliftonLarsonAllen Wealth Advisors
PORTFOLIO APPRAISAL
Joseph Bock and Susan Lyke

Strategy: Advisor Designed
 May 8, 2014

| Quantity | Security | Adjusted Unit Cost | Total Adjusted Cost | Price | Market Value | Pct. Assets |
|-----------------------------------|---|--------------------|---------------------|-------|--------------|-------------|
| EQUITY FUNDS | | | | | | |
| US EQUITY | | | | | | |
| 1,986.657 | Neuberger Berman Socially Resp Inv | 26.98 | 53,600.00 | 34.90 | 69,334.33 | 12.7 |
| 2,693.700 | Parnassus Equity Income - Inst | 29.85 | 80,400.00 | 38.14 | 102,737.72 | 18.9 |
| 1,929.553 | Parnassus Small-Cap | 22.57 | 43,550.00 | 26.44 | 51,017.38 | 9.4 |
| | | | 177,550.00 | | 223,089.43 | 41.0 |
| INTERNATIONAL EQUITY | | | | | | |
| 8,457.071 | Pax World International Inst | 7.92 | 67,000.00 | 9.00 | 76,113.64 | 14.0 |
| OPPORTUNISTIC EQUITY | | | | | | |
| 1,033.926 | Ivy Asset Strategy A | 30.95 | 32,000.00 | 30.58 | 31,617.46 | 5.8 |
| | | | 276,550.00 | | 330,820.52 | 60.8 |
| BOND FUNDS | | | | | | |
| US FIXED INCOME | | | | | | |
| 3,407.155 | Avenue Credit Strategies Investor | 11.74 | 40,000.00 | 11.79 | 40,170.36 | 7.4 |
| 3,083.930 | Baird Short-Term Bond Institutional Class | 9.78 | 30,150.00 | 9.74 | 30,037.48 | 5.5 |
| 3,184.713 | DWS Floating Rate S | 9.42 | 30,000.00 | 9.43 | 30,031.84 | 5.5 |
| | | | 100,150.00 | | 100,239.68 | 18.4 |
| INTERNATIONAL FIXED INCOME | | | | | | |
| 2,372.479 | AllianceBernstein Global Gov Inc A | 8.43 | 20,000.00 | 8.46 | 20,071.17 | 3.7 |

CliftonLarsonAllen Wealth Advisors
PORTFOLIO APPRAISAL

Joseph Bock and Susan Lyke

Strategy: Advisor Designed
May 8, 2014

| Quantity | Security | Adjusted Unit Cost | Total Adjusted Cost | Price | Market Value | Pct. Assets |
|--------------------------|--|--------------------|---------------------|-------|--------------|-------------|
| ALTERNATIVE FIXED INCOME | | | | | | |
| 5,339.806 | BlackRock Strategic Income Opportunities | 10.30 | 55,000.00 | 10.33 | 55,160.20 | 10.1 |
| 1,260.000 | Guggenheim Multi Asset Income | 25.35 | 31,938.82 | 25.44 | 32,054.40 | 5.9 |
| | | | 86,938.82 | | 87,214.60 | 16.0 |
| | | | 207,088.82 | | 207,525.45 | 38.1 |
| CASH | | | | | | |
| CASH & EQUIVALENTS | | | | | | |
| | Money Market Fund | | 6,036.58 | | 6,036.58 | 1.1 |
| | | | 6,036.58 | | 6,036.58 | 1.1 |
| TOTAL PORTFOLIO | | | 489,675.40 | | 544,382.55 | 100.0 |

CliftonLarsonAllen Wealth Advisors
PORTFOLIO APPRAISAL
Susan Lyke Roth Conversion IRA

Strategy: DFA Base 80/20
 May 8, 2014

| Quantity | Security | Adjusted Unit Cost | Total Adjusted Cost | Price | Market Value | Pct. Assets |
|-------------------------------|------------------------------|--------------------|---------------------|-------|------------------|--------------|
| EQUITY FUNDS | | | | | | |
| INTERNATIONAL EQUITY | | | | | | |
| 926.837 | Portfolio 21 R | 32.53 | 30,150.00 | 40.04 | 37,110.55 | 50.9 |
| OPPORTUNISTIC EQUITY | | | | | | |
| 969.000 | Ishares Comex Gold Trust | 9.26 | 8,976.60 | 12.50 | 12,112.50 | 16.6 |
| | | | 39,126.60 | | 49,223.05 | 67.5 |
| BOND FUNDS | | | | | | |
| US FIXED INCOME | | | | | | |
| 2,002.941 | PIMCO Total Return III Instl | 10.21 | 20,450.00 | 9.56 | 19,148.12 | 26.3 |
| | | | 20,450.00 | | 19,148.12 | 26.3 |
| CASH | | | | | | |
| CASH & EQUIVALENTS | | | | | | |
| | Money Market Fund | | 4,564.17 | | 4,564.17 | 6.3 |
| | | | 4,564.17 | | 4,564.17 | 6.3 |
| TOTAL PORTFOLIO | | | | | 72,935.34 | 100.0 |

CliftonLarsonAllen Wealth Advisors
PORTFOLIO APPRAISAL
Susan Lyke Roth Contributory IRA

Strategy: DFA Global Allocation 60/40
 May 8, 2014

| Quantity | Security | Adjusted Unit Cost | Total Adjusted Cost | Price | Market Value | Pct. Assets |
|-------------------------------|------------------------------------|--------------------|---------------------|-------|------------------|--------------|
| EQUITY FUNDS | | | | | | |
| INTERNATIONAL EQUITY | | | | | | |
| 259,000 | Vanguard FTSE Emerging Markets ETF | 42.44 | 10,992.88 | 41.37 | 10,714.83 | 34.7 |
| | | | 10,992.88 | | 10,714.83 | 34.7 |
| CASH | | | | | | |
| CASH & EQUIVALENTS | | | | | | |
| | Money Market Fund | | 20,207.56 | | 20,207.56 | 65.3 |
| | | | 20,207.56 | | 20,207.56 | 65.3 |
| TOTAL PORTFOLIO | | | 31,200.44 | | 30,922.39 | 100.0 |

Continuation Sheet (if needed)

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Continuation Sheet (If needed)

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TRUST OF 1994

JOSEPH S. BOCK 24 OF 28

| Description | Quantity | Most Recent Price | Most Recent Value |
|---------------------------------|------------|-------------------|-------------------|
| FIDELITY TAX-FREE MONEY MARKET | 149,461.53 | 1 | 149,461.53 |
| ASTRAZENECA ADR | 100 | 53.24 | 5,324.00 |
| BANK OF MONTREAL COM | 500 | 70.55 | 35,275.00 |
| BERKSHIRE HATHAWAY INC DEL CL B | 100 | 115.72 | 11,572.00 |
| EXELON CORP | 800 | 28.05 | 22,436.40 |
| GENERAL ELECTRIC CO | 500 | 27.17 | 13,582.50 |
| ALTRIA GROUP INC | 700 | 37.73 | 26,410.30 |
| NATIONAL OILWELL VARCO INC | 500 | 82.94 | 41,470.00 |
| NOVARTIS AG ADR | 400 | 78.29 | 31,316.00 |
| QUAD / GRAPHICS INC COM | 1,000.00 | 24.41 | 24,410.00 |
| DONNELLEY R R & SONS | 1,500.00 | 17.2 | 25,800.00 |
| AT&T INC COM | 500 | 35.67 | 17,835.00 |
| | | | 404,892.73 |

Continuation Sheet (if needed)

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SCHEDULE III - LIABILITIES

Name **Joseph G. Beck**

Page **26** of **28**

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

| SP, DC, JT | Creditor | Date Liability Incurred mo/year | Type of Liability | Amount of Liability | | | | | | | | | | |
|------------|---------------------------------------|---------------------------------|--|---------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|---------------------------|----------------------------|-----------------------------|-------------------|--------------------------------------|
| | | | | A | B | C | D | E | F | G | H | I | J | K |
| | Example: First Bank of Wilmington, DE | May 1998 | Mortgage on 123 Main Street, Dover, DE | \$10,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Spouse/DC Liability over \$1,000,000 |
| | None. | | | | | | X | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |

SCHEDULE IV - POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

| Position | Name of Organization |
|-----------|--|
| MEMBER | ANTI-VIOLENCE COMMISSION, CITY OF SOUTH BEND |
| MEMBER | SAFETY AND SECURITY COMMITTEE, SOUTH BEND COMMUNITY SCHOOL CORP. |
| MEMBER | INTERNATIONAL ADVISORY COMMITTEE, AMERICAN RED CROSS, SAINT JOSEPH COUNTY IN |
| TREASURER | HOME OWNERS' ASSOCIATION, THE POINT AT NORTH SHORE WOODS, SOUTH BEND |
| MEMBER | ADVISORY COMMITTEE, CENTER FOR CONFLICT STUDIES, HENDERSON INSTITUTE |

(CONTINUED)
SCHEDULE III - LIABILITIES

Name **JOSEPH G. BOCK**

Page **27** of **28**

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. **Exclude:** Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

| SP, DC, JT | Creditor | Date Liability Incurred mo/year | Type of Liability | Amount of Liability | | | | | | | | | | |
|------------|---------------------------------------|---------------------------------|--|---------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|---------------------------|----------------------------|-----------------------------|-------------------|--------------------------------------|
| | | | | A | B | C | D | E | F | G | H | I | J | K |
| | | | | \$10,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Spouse/DC Liability over \$1,000,000 |
| | Example: First Bank of Wilmington, DE | May 1998 | Mortgage on 123 Main Street, Dover, DE | | | | X | | | | | | | |
| | None. | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |

SCHEDULE IV - POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

| Position | Name of Organization |
|--|---|
| EDITORIAL ADVISOR | DEVELOPMENT IN PRACTICE, OXFORD |
| MEMBER | EDITORIAL BOARD, SAGE |
| VISITING FELLOW MEMBER OF ADVISORY GROUP | BOUNZAGA UNIVERSITY, SPOKANE UNICEF AND GATES FOUNDATION |

Terms of Agreement 70 50%

parties to

REDUCTION OF
EFFECTIVE PENSION PLAN

Nov. 8, 2013

GRACE CRAWFORD, DEAN OF SCIENCE
UNIVERSITY OF NOTRE DAME
STATE OF INDIANA

Nov. 1, 2012

the two prior years. This includes the names and addresses of the persons who provided the services generating the relationship.

SCHEDULE VI - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

[illegible]

Report source: _____ (Name and Address)
 _____ (City and State)
 _____ (Zip)

Source (Name)
HOMEROWN, Homestead

| | |
|----------|-------------------------|
| Example: | Doe Jones & Smith, Inc. |
|----------|-------------------------|

(NONE TO ADD)