



Filing ID #10004053

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Mrs. Lois Capps
Status: Member
State/District: CA24

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2013
Filing Date: 08/11/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Capital One		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
CAPITAL WORLD BOND FUND-F2		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Congressional Federal Credit Union		\$1 - \$1,000	None		<input type="checkbox"/>
DODGE & COX INCOME FUNDS		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
FIDELITY ADV NEW INSIGHTS I		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Finacial Network Money Market		\$1 - \$1,000	Tax-Deferred	None	<input type="checkbox"/>
FIRST EAGLE OVERSEAS FUND I		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Harpers Collins		Undetermined	Royalties	\$201 - \$1,000	<input type="checkbox"/>
MetLife, Inc. (MET)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
POWERSHARES ETF TRUST WILDER HILL CLEAN ENERGY		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Rabobank		\$1,001 - \$15,000	None		<input type="checkbox"/>
Studio Apartment		\$1,000,001 - \$5,000,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Santa Barbara, CA, US					
T. ROWE PRICE CAP APPRECIATION		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Union Bank		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
FIDELITY ADV NEW INSIGHTS I		01/16/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

SCHEDULE C: EARNED INCOME

Source	Type	Amount
CA State Teachers Retirement System	Pension	\$20,615
University of CA Retirement System	Pension	\$49,434
Pershing LLC	IRA Distribution	\$2,227

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Union Bank	September 2013	Mortgage on home and rental apartment in Santa Barbara	\$1,000,001 - \$5,000,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
June 1996	Myself and CA State Teachers Retirement System	pension for services

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details				Inclusions		
Source	Start Date	End Date	Itinerary	Lodging?	Food?	Family?
Aspen Institute Congressional Program	04/1/2013	04/7/2013	Santa Barbara, CA - Istanbul, Turkey - Washington, DC	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
CARE	08/18/2013	08/23/2013	Santa Barbara, CA - Amman, Jordan - Santa Barbara, CA	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mrs. Lois Capps , 08/11/2014