CHARLES B. RANGEL 15TH CONGRESSIONAL DISTRICT

> COMMITTEE: WAYS AND MEANS JOINT COMMITTEE ON TAXATION



LEGISI ATIVE RESOURCE CENTE.

2012 OCT -2 PM 5: 58

Congress of the United States u.s. House of Representatives

October 2, 2012

HAND DELIVERED

The Honorable Karen L. Haas Clerk of the U.S. House of Representatives B106 Cannon House Office Building Washington, DC 20515

RE: Amendment to 2002-2011 Financial Disclosure Statements

Dear Ms. Haas:

I am submitting this letter to highlight changes in my previous Financial Disclosure Statements. It has been discovered that inadvertent omissions were made. My accountant discovered that my spouse had invested in a Fixed Annuity Contract (Fixed Annuity) in 2002. The Fixed Annuity was not disclosed in the 2002 through 2010 Financial Disclosure Statements. From 2002 to 2010, the value of that asset was between \$15,001 and \$50,000, and income earned ranged from \$1,000 to \$2,500. IRA distributions in the amount of \$5,107 were made in 2011. The 1099's reflecting the distributions have not been provided and therefore the distributions were not included on the Financial Disclosure Schedule I, Earned Income.

It should also be noted that I inadvertently did not disclose my board memberships in two organizations. While I was not an active member, I did serve as an ex officio member in 2004 and 2008 on the Board of the NYC Empowerment Zone. I also served as a Board member in the Ann S. Kheel Charitable Trust in 2002, 2003, 2008, 2010 and 2011. The Kheel Trust has been successful in fully distributing funds for educational, civil rights and other organizations that serve disadvantaged New York neighborhoods and will soon close out the account. These positions involved no monetary compensation. Per my belief that the Clerk of the U.S. House of Representatives prefers amendments to the originally filed schedules, we have prepared the attached amendments.

Thank you for your understanding in this matter

MEMBER OF CONGRESS

HAND DELIVERED

CLOIS! ATIVE RESOURCE CLATE:

UNITED STATES HOUSE OF REPRESENTATIVES	For use by Members, officers, and employees	V OFFICE OF THE GLEEK
Charles B Daniel	proper you	V U.S. HOUSE OF R
Charles B. Rangel	(202)25-4365 (Daytime Telephone)	(Office Use Only)
Filer X Member of the U.S. State: NY Status House of Representative District: 15	Officer Or Employing Office: Employee	A \$200 penalty shall be assessed against
Type Annual (May 15) (X) Amendment To	Termination Date:	more than 30 days
MINARY INFORMATION ANSWER EACH OF	THESE QUESTIONS	
Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? No Pyees, correpteds and attach Schwabde L.	Did you, your spouse, or a dependent child receive any reportable gift in VI. the reporting period (i.e., aggregating more than \$335 and not otherwise tryes, complete and attach Schedule VI.	otherwise Yes No X
nation to charity in lieu of icle in the reporting period? Yes	period (worth i	more than was X No
Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth yes X No more than \$1,000 at the end of the period? If yes, complete and attach \$chedule	Did you hold any reportable positions on or before the date VIII. current calendar year? If was complete and attach Schadule VIII.	of filing in the Yes X No
Did you, your spouse, or dependent child purchase, sell, or exchange any responsible asset in a transaction exceeding \$1,000 during the reporting Yea X No Period?	Did you have any reportable agreement or arrangement with IX. entity?	an outside Yes No X
Did you, your spouse, or a dependent child have any reportable liability Did you, your spouse, or a dependent child have any reportable liability V. (more than \$10,000) during the reporting period?	Each question in this part must be answered and the appropriate	and the appropriate
ff yes, complete and attach Schedule V.	schedule attached for each "Yes" response.	
EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION ANSWER EACH OF THESE QU Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "exce		ESTIONS X
Exemptions - Have you excluded from this report any other assets, "uneau because they meet all three tests for exemption? Do not any Standards of Official Conduct.	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	* × × ×

SCHEDULE I - EARNED INCOME	DINCOME	Name Charles B. Rangel	Page 2 of 9
List the source, type, and an more during the preceeding	List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Governmen more during the preceeding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spo	List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceeding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned incommon the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned incommon the preceding calendar year.	t) totaling \$200 or use earned income
Exclude: Military pay (such as National)	nples below. as National Guard or Reserve pay), federal retirement	exceeding \$1,000. See examples below. Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act	Act.
	Source	Туре	Amount
Keene State		Approved Teaching Fee	\$6,000
State of Maryland		Legistlative Pension	\$9,000
Civil War Roundtable (Oct. 2nd)		Spouse Speech	\$ 1,000
Ontario County Board of Education		Spouse Salary	NΑ
Congressional FCU-IRA		Retirement Distribution	\$ 5,509

For payments to charity in lieu of honoraria, use Schedule II.

						JT	DC,	SP,	incomy spous less in income if you is that held (SCI Identification with a reportification which which which which plans) provided symbol plans) provided account accou
Onnenheime	ekes simoon	Van Eck Glot	Cohen & Ster	JP Morgan ∪	Federated Ma		Examples:		e); any debt ow e's child, parent personal savin e derived from I so choose, you of your spouse IT), in the option	ASSet a ASSet a ASSet a ASSet a ASSet a y (a) sech asse fair market valu ing period, and generated mon For rential propu is full names of a till names of a till names of a till names of a till the asset in that are set full that are set full that are set that are not set that a
Opposition of the Property of	comis Sayles Strategic Income Fund CL A	Van Eck Global Hard Assets Fund	Cohen & Steers Int'l Realty Fund CL. A	JP Morgan US Real Estate Fund CL A	Federated Market Opportunity Fund CL A	1st Bank of Paducah, KY Accounts	Simon & Schuster	SP Mega Corp. Stock	income); any debt owed to you by your spouse, or by you or your spouses, child, parent, or sabing, any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly heald (JT), in the optional column on the far left.	BLOCK A Asset and/or income Source With a fair market value exceeding \$1,000 at the end of the reporting period, and (t) any other asset or sources of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (t) any other asset or sources of income which generated more than \$200 in 'unexamed' income striker year. For rental property or land, provide a complete address. Provides that names of stocks and mutual funds (do not use ticker years). For rental property or land, provide a complete address. Provides that names of stocks and mutual funds (do not use ticker years). For rental property or land, provide the specific investment), power, even if not exercised, to select the specific investment), power, even if not exercised, to select the specific investment), power, even if not exercised, to select the specific investment), power, even if not exercised, to select the specific investment), power, even if not exercised, to select the specific investment), power, even if not exercised, to select the specific investment), power, even if not exercised, to select the specific investment), power, even if not exercised, to select the specific investment), power, even if not exercised, to select the specific investment), power, even if not exercised, to select the specific investment), power, even if not exercised, to select the specific investment), power, even if not exercised, to select the specific investment), power, even if not exercised in exercised in the exercised in interest in the account that exceeds the reporting threathold. For rain "None." "None." "None."
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		v	P, S (partial)	S (partial)	S (pertial)			S (partial)	If only a portion of an asset is sold, please indicate as follows: "(5) (partial)" See below for example.	BLOCK E Transaction Indicate if the asset had purchases (F), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles B. Rangel

Page 4 of 9

Continuation Sheet (if needed)

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Rangel B. Rangel

Page 5 of 9

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

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Protective Life Insurance Co. of New York (Fixed ML Allianz Global Investors Consults Diversified Port Rochester Municipal Fund Class C Alliance Municipal Incm Fd-New York Port CL B Asset and/or income Source None ➤ \$1,000 8 c \$1,001 - \$15,000 4 O 15,001 - \$50,000 ш \$50,001 - \$100,000 BLOCK B
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SCHEDI	ULE IV -	SCHEDULE IV - TRANSACTIONS	of Tra	Type of Transaction		Name Charles Date	17		, B.	≨ ⋌	5 1 <u>20</u>	ang(angel ount of Trans	angel	Rangel rage of Page of Page of Page of Page 1991
Report any dependent stocks, bon	purchase, child durin	Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the			\dashv		00	<u> </u>		. 0				m G	т О
stocks, bon amount of that resulted that resulted transaction. or your depresidence, u	nds, comm the transace ad in a loss b. Do not re bendent ch unless it is	stocks, bonds, commodities tutures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.	PURCHASE	SALE EXCHANGE		(MO/DAY/YR) or Quarterly, Monthly, or Bliweekly, if			\$50 DX 160 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1)		0
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SCHED	ULE IV -	SCHEDULE IV - TRANSACTIONS	1			Name Charles B	ırle	SB	•	Rangel	<u>e</u>		Page 7 of	9
•	;		어 	Type of Transaction	tion	Date			Am	ount o	f Tran	Amount of Transaction		ĺ
Report any dependent stocks, bon amount of that resulte	purchase, child durin ds, commo the transac d in a loss.	Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange that resulted in a loss. Provide a brief description of any exchange	SE		3	(MO/DAY/YR)	w	0			ດ		-	X
ansaction r your dep esidence, i old, pleas	Do not re bendent chi unless it is se so indic	transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.	PURGHASE	SALE	EXCHANGI	or Quarterly, Monthly, or Bi-weekly, if applicable	\$1,001- \$15,000	\$15,001- \$50,000	\$100,000 \$100,001-	\$250,000 \$250,001- \$600,000	\$500,001- \$1,000,000	\$5,000,001-	\$25,000,000 \$25,000,001-	Over
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SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS
Name Charles B. Rangel
Page 8 of 9

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure-Destination- Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time <u>not</u> at sponsor's expense
3	Chicago Chamber of Commerce	Mar, 2	Wash., D.CChicago-Wash., D.C.	N	Z	V	z
Examples	Roycroft Corporation	Aug. 6-11	Wash., D.CLos Angeles-Cleveland	Υ	Y	۲	2 days
New York C	New York Carib News Foundation	Nov 8-11	NY - Antiqua and Barbuda - NY	Υ	Y	Z	z

SCHEDULE VIII - POSITIONS		Name Charles B. Rangel	Page 9 of 9
Report all positions, compensated or uncompensated, held during representative, employee, or consultant of any corporation, firm any educational or other institution other than the United States.	Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit orga any educational or other institution other than the United States.	Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.	r, proprietor, inization, or
Exclude: Positions held in any religious	social, fraternal, or political entities; positions sole	Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.	<u>.</u>
Position		Name of Organization	
Member of the Board	New York Empowerment Zone		
Member of the Board	The Kheel Foundation		
		er Periodo de mario	