



Filing ID #10005648

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Peter A. DeFazio  
**Status:** Member  
**State/District:** OR04

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2014  
**Filing Date:** 05/5/2015

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1/2 ownership share 53880 Pine Grove rd Lapine oregon  LOCATION: deschutes, OR, US	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
1/4 share rental 36 Hempleman Drive Akaroa New Zealand  LOCATION: Akaroa, NZ DESCRIPTION: the program wants state and city/county this is as disclosed an overseas asset COMMENTS: This asset was sold on 7 April 2015 and a periodic 30 day disclosure was filed per direction of ethics staff	JT	\$50,001 - \$100,000	Rent	\$2,501 - \$5,000	<input type="checkbox"/>
ameritrade ⇒ Antigenics Inc (ANTI)		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
ameritrade ⇒ Marrone Bio Innovations, Inc. (MBII)		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
ameritrade ⇒ oakmark equity income	SP	\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
bank of new zealand		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
bank of new zealand	SP	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
ING/VOYA ⇒ American funds euro pacific growth	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: Manager of plan ING changed name to VOYA					
ING/VOYA ⇒ Dodge and cox international stock fund	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
ING/VOYA ⇒ fidelity contra fund	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: Plan manager ING changed name to Voya					
ING/VOYA ⇒ fixed	SP	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: Manager of plan ING changed name to voya					
ING/VOYA ⇒ jp morgan mid cap value	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
ING/VOYA ⇒ Parnassus equity income	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
COMMENTS: Sold by inter fund transfer					
ING/VOYA ⇒ Pimco total return	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
COMMENTS: Fund dropped by plan administrator value distributed to other funds see sched b					
ING/VOYA ⇒ Templeton global	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
COMMENTS: Sold by inter fund transfer reported on sched b					
ING/VOYA ⇒ Vanguard Institutional index fund	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
COMMENTS: This fund was previously held but holdings were increased when another fund within the plan was sold					
ING/VOYA ⇒ Vanguard mid cap index	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
COMMENTS: Plan manager ING changed name to Voya					
ING/VOYA ⇒ Vanguard small cap index	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
ING/VOYA ⇒	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard target retirement					
COMMENTS: Sold by inter fund transfer reported on sched b					
oakmark select		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
selco credit union		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
selco credit union	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
sentinel balanced fund	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
wright patman federal credit union		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ING/VOYA ⇒ Dodge and cox international stock fund	SP	12/10/2014	P	\$1,001 - \$15,000	
COMMENTS: Purchased by inter fund transfer					
ING/VOYA ⇒ Parnassus equity income	SP	12/10/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
COMMENTS: Sold by inter fund transfer					
ING/VOYA ⇒ Pimco	SP	04/7/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
COMMENTS: Fund dropped by fund administrator ING/voya balance transferred to other holdings distributed according to the monthly contribution schedule as of date of termination					
ING/VOYA ⇒ Templeton Global bond	SP	12/10/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
COMMENTS: Sold by inter fund transfer					
ING/VOYA ⇒ Vanguard institutional index	SP	10/4/2014	P	\$50,001 - \$100,000	
COMMENTS: Purchased by inter fund transfer this was a partial purchase as this fund was already in portfolio					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ING/VOYA ⇒ Vanguard mid cap index  COMMENTS: Purchased by inter fund transfer	SP	12/10/2014	P	\$1,001 - \$15,000	
ING/VOYA ⇒ Vanguard small cap index  COMMENTS: Purchased by inter fund transfer	SP	12/10/2014	P	\$1,001 - \$15,000	
ING/VOYA ⇒ Vanguard target retirement  COMMENTS: Sold by inter fund transfer	SP	10/4/2014	S	\$50,001 - \$100,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
City of Eugene Oregon	Spouse salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Sterns lending inc	1/2/13	Principal residence mortgage	\$100,001 - \$250,000
JT	Bank Of New Zealand	3/15/01	1/4 share mortgage on rental property 36 Hempleman	\$15,001 - \$50,000
	COMMENTS: This mortgage was paid off upon sale of property 4/07/15 which was reported under the 30 day rule per direction of ethics staff.			
JT	Wells Fargo	4/01/2010	Mortgage 1/2 share 53880 pine grov alpine oregon	\$10,000 - \$15,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Idaho Conservation League	05/16/2014	05/19/2014	Driving fm Boise airport - Stanley idaho - Boise Idaho airport	2	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
COMMENTS: One nights lodging, dinner and breakfast were provided. I delivered the keynote speech for their annual conference. Post travel disclosure was filed.							

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- o ameritrade
- o ameritrade (Owner: SP)
- o ING/VOYA (Owner: SP)  
DESCRIPTION: 457 plan ING changed its name to voya

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Peter A. DeFazio , 05/5/2015