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Financial Disclosure Report

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Filer Information

Name: Hon. John C. Carney Jr.
Status: Member
State/District: DE00

Filing Information

Filing Type: Annual Report
Filing Year: 2014
Filing Date: 06/15/2015

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Delaware College Savings Plan ⇒ Delaware College Savings Plan (DC1) Description: Sam's account Monthly contributions of $1,000	DC	$50,001 - $100,000	Tax-Deferred		✓
Delaware College Savings Plan ⇒ Delaware College Savings Plan (DC2) Description: Jim's account Monthly deposits of $1,000	DC	$50,001 - $100,000	Tax-Deferred		
Delaware State Employees Pension Fund		Undetermined	None		
Fidelity 457 Retirement Plan ⇒ Fidelity Balanced		$1,001 - $15,000	Tax-Deferred		✓
Fidelity 457 Retirement Plan ⇒ Fidelity Convertible Securities		$15,001 - $50,000	Tax-Deferred		✓
Fidelity 457 Retirement Plan ⇒ Fidelity Diversified International		$15,001 - $50,000	Tax-Deferred	None	
Fidelity 457 Retirement Plan ⇒ Fidelity Freedom 2025		$15,001 - $50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Fidelity 457 Retirement Plan ⇒ Fidelity Growth Company		$1,001 - $15,000	Tax-Deferred		☐
Fidelity 457 Retirement Plan ⇒ Fidelity Low Priced Stock		$15,001 - $50,000	Tax-Deferred		☐
Fidelity 457 Retirement Plan ⇒ Fidelity Mid Cap Stock		$15,001 - $50,000	Tax-Deferred	None	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Mid Cap Value		$15,001 - $50,000	Tax-Deferred	None	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Real Estate Inc.		$15,001 - $50,000	Tax-Deferred		☑
Fidelity 457 Retirement Plan ⇒ Fidelity Select Biotech		$1,001 - $15,000	Tax-Deferred	None	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Strategic Dividend and Income		$15,001 - $50,000	Tax-Deferred		☑
Fidelity 457 Retirement Plan ⇒ Invesco Small Cap Growth		$15,001 - $50,000	Tax-Deferred	None	☐
Fidelity 457 Retirement Plan ⇒ PIMCO Total Return Inst.		$1,001 - $15,000	Tax-Deferred		☐
Fidelity 457 Retirement Plan ⇒ Templeton Global Bond A		$15,001 - $50,000	Tax-Deferred	None	☐
Fidelity 457 Retirement Plan ⇒ Vanguard Institutional Index		$15,001 - $50,000	Tax-Deferred		☐
M&T Bank CD ⇒ M&T Bank CD	DC	$1,001 - $15,000	Interest	$1 - $200	☐
M&T Savings Account ⇒ M&T Savings Account	JT	$1,001 - $15,000	Interest	$1 - $200	☐
TIAA-CREF ⇒ CREF Money Market	SP	$1,001 - $15,000	Tax-Deferred		☑
TIAA-CREF ⇒ CREF Social Choice	SP	None	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
TIAA-CREF ⇒ CREF Stock	SP	None	Tax-Deferred		☑
TIAA-CREF ⇒ PIMCO Foreign Bond (UNH) Inst	SP	$1,001 - $15,000	Tax-Deferred		☑
TIAA-CREF ⇒ T. Rowe Price Int'l Growth and Income	SP	$1,001 - $15,000	Tax-Deferred		☑
TIAA-CREF ⇒ Target Small Cap Value T	SP	$1,001 - $15,000	Tax-Deferred		☑
TIAA-CREF ⇒ TIAA Real Estate	SP	None	Tax-Deferred		☑
TIAA-CREF ⇒ TIAA-CREF Lg Cap Val Index Institutional	SP	$1,001 - $15,000	Tax-Deferred		☑
TIAA-CREF ⇒ TIAA-CREF Traditional	SP	$15,001 - $50,000	Tax-Deferred	None	☐
TIAA-CREF ⇒ TIAA-CREF Traditional RC 1 Description: Exchange into this new fund	SP	$1,001 - $15,000	Tax-Deferred		☑
TIAA-CREF ⇒ Van Equity Income Admiral	SP	$1,001 - $15,000	Tax-Deferred		☑
TIAA-CREF ⇒ Vanguard Equity Income Admiral Description: Exchange into new funds	SP	$1,001 - $15,000	Tax-Deferred		☑
TIAA-CREF ⇒ Vanguard Explorer Fund Admiral	SP	$1 - $1,000	Tax-Deferred		☑
TIAA-CREF ⇒ Vanguard Total Bond Market Index	SP	$1,001 - $15,000	Tax-Deferred		☑
TIAA-CREF ⇒ Wells Fargo Adv Core Bond I	SP	$1,001 - $15,000	Tax-Deferred		☑
Vanguard IRA ⇒ Vanguard Balanced Index Fund	SP	$1,001 - $15,000	Tax-Deferred	None	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Vanguard IRA ⇒ Vanguard Explorer Fund Investor	SP	$1,001 - $15,000	Tax-Deferred	None	
Vanguard IRA ⇒ Vanguard Primecap Fund Investor	SP	$1,001 - $15,000	Tax-Deferred	None	
Vanguard IRA ⇒ Vanguard Windsor II Investor	SP	$1,001 - $15,000	Tax-Deferred	None	
Vanguard Roth IRA ⇒ Vanguard Explorer Fund Investor		$1,001 - $15,000	Tax-Deferred	None	
Vanguard Roth IRA ⇒ Vanguard Strategic Equity	SP	$1,001 - $15,000	Tax-Deferred	None	
Vanguard Taxable Cash Accts ⇒ Vanguard Balanced Index Fund	JT	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	
Vanguard Taxable Cash Accts ⇒ Vanguard Money Market Fund	JT	$1,001 - $15,000	Dividends, Interest	$201 - $1,000	
Vanguard Taxable Cash Accts ⇒ Vanguard Total Market Bond Fund	JT	$1,001 - $15,000	Dividends	$1 - $200	

* Asset class details available at the bottom of this form.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Delaware College Savings Plan ⇒ Delaware 529 College Savings Plan (DC1) Location: US Description: Use of proceeds for Clemson tuition	DC	02/19/2014	S	$1,001 - $15,000	
Delaware College Savings Plan ⇒ Delaware 529 College Savings Plan (DC1) Location: US Description: Use of proceeds for Clemson tuition	DC	05/20/2014	S	$1,001 - $15,000	
Delaware College Savings Plan ⇒ Delaware 529 College Savings Plan (DC1) Location: US Description: Use of proceeds for Clemson tuition	DC	09/15/2014	S	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Fidelity 457 Retirement Plan ⇒ Fidelity Balanced Fund DESCRIPTION: Exchange in $10K		01/6/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Convertible SEC DESCRIPTION: Reinvest Dividends		12/12/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Convertible Securities DESCRIPTION: Exchange with Fid Growth Co.		01/7/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Convertible Securities DESCRIPTION: Exchange $10K		01/6/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Convertible Securities DESCRIPTION: Exchange from Fidelity Freedom 2025		07/7/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Freedom 2005 DESCRIPTION: Exchange $5k with Freedom 2025		01/6/2014	S	$1,001 - $15,000	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Freedom 2025 DESCRIPTION: Exchange in $10k from Fidelity Freedom 2005		01/6/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Freedom 2025 DESCRIPTION: Exchange $10K from PIMCO Total Return		01/2/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Freedom 2025 DESCRIPTION: Reinvest Dividends		05/2/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Freedom 2025 DESCRIPTION: Reinvest Dividends		07/7/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Freedom 2025 DESCRIPTION: Exchange out		07/7/2014	S (partial)	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Fidelity 457 Retirement Plan ⇒ Fidelity Growth Company DESCRIPTION: Exchange with Fidelity Conv Securities		01/7/2014	S	$1,001 - $15,000	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Growth Company DESCRIPTION: Exchange out $5k		01/6/2014	S (partial)	$1,001 - $15,000	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Growth Company DESCRIPTION: Exchange to Fid Strategic Dividend and Income		01/9/2014	S	$1,001 - $15,000	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Growth Company DESCRIPTION: Reinvest Dividends		01/6/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Low Priced Stock DESCRIPTION: Exchange from Freedom 2025		07/7/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Mid Cap Stock DESCRIPTION: Exchange $5,000		01/7/2014	S (partial)	$1,001 - $15,000	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Mid Cap Stock DESCRIPTION: Exchange to Fidelity Strategic Dividend and Income		02/3/2014	S (partial)	$1,001 - $15,000	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Mid Cap Stock DESCRIPTION: Reinvest of Dividends		02/3/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Mid Cap Stock DESCRIPTION: Exchange to Fed Strat Div and Inc		02/18/2014	S	$1,001 - $15,000	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Mid Cap Value DESCRIPTION: Reinvest Dividend		12/12/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Real Estate Inc. DESCRIPTION: Exchange in $5k		01/6/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒		01/7/2014	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Fidelity Real Estate Inc. DESCRIPTION: Exchange from Fid Mid Cap St					
Fidelity 457 Retirement Plan ⇒ Fidelity Real Estate Inc. DESCRIPTION: Exchange from Vang Inst. Index		03/3/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Strategic Dividend and Income DESCRIPTION: Exchange in $23k		01/6/2014	P	$15,001 - $50,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Strategic Dividend and Income DESCRIPTION: Exchange from Fidelity Growth Comp		01/9/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Strategic Dividend and Income DESCRIPTION: Exchange from Fid Strat Div and Inc		02/3/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Strategic Dividend and Income DESCRIPTION: Exchange from Fid Mid Cap Stock		02/18/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Strategic Dividend and Income DESCRIPTION: Reinvest Dividends		12/12/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Invesco Small Cap Growth DESCRIPTION: Reinvest Dividends		12/12/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ PIMCO Total Return Inst. DESCRIPTION: Exchange out $10k		01/6/2014	S	$1,001 - $15,000	☐
Fidelity 457 Retirement Plan ⇒ PIMCO Total Return Inst. DESCRIPTION: Exchange out $10K to Freedom 2025		01/2/2014	S	$1,001 - $15,000	☐
Fidelity 457 Retirement Plan ⇒ Templeton Global Bond A DESCRIPTION: Dividend reinvestment		12/15/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Templeton Global Bond A		01/6/2014	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Description: Exchange in $5k					
Fidelity 457 Retirement Plan ⇒ Templeton Global Bond A Description: Exchange from Freedom 2025		07/7/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Vanguard Institutional Index Description: Exchange out of $33K		01/6/2014	S	$15,001 - $50,000	☐
Fidelity 457 Retirement Plan ⇒ Vanguard Institutional Index Description: Reinvest Dividend		03/3/2014	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Vanguard Institutional Index Description: Exchange to Fidelity Real Estate		03/3/2014	S (partial)	$1,001 - $15,000	☐
TIAA-CREF ⇒ CREF Money Market Description: New Funds	SP	07/17/2014	P	$1,001 - $15,000	
TIAA-CREF ⇒ CREF Social Choice Description: Exchange to new Funds	SP	07/17/2014	S	$1,001 - $15,000	☐
TIAA-CREF ⇒ CREF Stock Description: Change to new funds	SP	07/17/2014	S	$15,001 - $50,000	☐
TIAA-CREF ⇒ PIMCO Foreign Bond (UNH) Inst Description: Purchase new funds	SP	07/17/2014	P	$1,001 - $15,000	
TIAA-CREF ⇒ T. Rowe Price International Gr Inc Description: Purchase new funds	SP	07/17/2014	P	$1,001 - $15,000	
TIAA-CREF ⇒ Target Small Cap Value T Description: Purchase new funds	SP	07/17/2014	P	$1,001 - $15,000	
TIAA-CREF ⇒ TIAA Real Estate	SP	07/17/2014	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Description: Change to new funds					
TIAA-CREF ⇒ TIAA-CREF Lg Cap Val Index Institutional Description: Purchase new Funds	SP	07/17/2014	P	$1,001 - $15,000	
TIAA-CREF ⇒ TIAA-CREF Traditional Description: Change to new funds	SP	07/17/2014	S	$1,001 - $15,000	☐
TIAA-CREF ⇒ TIAA-CREF Traditional RC 1 Description: Purchase New Funds	SP	07/17/2014	P	$1,001 - $15,000	
TIAA-CREF ⇒ Van Total Bond Mkt Idx Admiral Description: Purchase new Funds	SP	07/17/2014	P	$1,001 - $15,000	
TIAA-CREF ⇒ Vanguard Equity Income Admiral Description: purchase of new Funds	SP	07/17/2014	P	$1,001 - $15,000	
TIAA-CREF ⇒ Vanguard Explorer Fund Admiral Description: purchase of new Funds	SP	07/17/2014	P	$1,001 - $15,000	
TIAA-CREF ⇒ Wells Fargo Adv Core Bond I Description: Purchase of new funds	SP	07/17/2014	P	$1,001 - $15,000	

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

Source	Type	Amount
Wilmington Friends School	Spouse Salary	N/A

Schedule D: Liabilities

None disclosed.

Schedule E: Positions

Position	Name of Organization
Director	Delaware Cancer Consortium

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Delaware College Savings Plan (Owner: DC)
  Location: DE
  Description: Samuel's College Savings Plan
- Fidelity 457 Retirement Plan
  Description: State of Delaware 457 Plan
- M&T Bank CD (Owner: DC)
  Location: US
- M&T Savings Account (Owner: JT)
  Location: DE, US
  Description: Bank Savings Account
- TIAA-CREF (Owner: SP)
- Vanguard IRA (Owner: SP)
  Description: Tracey's R/O IRA
- Vanguard Roth IRA (Owner: SP)
  Description: Tracey's Roth IRA
- Vanguard Roth IRA
  Description: John's Roth IRA
- Vanguard Taxable Cash Accts (Owner: JT)
  Location: DE, US
  Description: Joint Cash Accounts

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

Certification and Signature

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. John C. Carney Jr., 06/15/2015