



Filing ID #10018058

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Regina Bateson  
**Status:** Congressional Candidate  
**State/District:** CA04

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2017  
**Filing Date:** 07/10/2017  
**Period Covered:** 01/01/2016– 07/1/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Foley Hoag LLP Savings and Retirement Plan ⇒ Vanguard Inflation-Protected Securities Fund Investor Shares	SP	\$15,001 - \$50,000	Tax-Deferred		
Foley Hoag LLP Savings and Retirement Plan ⇒ Vanguard Total Stock Market Index Fund Investor Shares	SP	\$50,001 - \$100,000	Tax-Deferred		
Harvard University Retirement Plan ⇒ Vanguard Institutional Target Retirement 2045 Fund	SP	\$15,001 - \$50,000	Tax-Deferred		
MIT 401K ⇒ Vanguard Target Retirement 2050 Trust Plus		\$50,001 - \$100,000	Tax-Deferred		
Needham Bank Checking Account	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Tangerine Balanced Growth Portfolio	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: This is a Canadian-dollar denominated mutual fund held in an account with Tangerine Bank of Canada.					
Tangerine Tax Free Savings Account	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: This is a Canadian dollar-denominated tax-free savings account held by the candidate's spouse with Tangerine Bank of Canada. Tax-free savings accounts in Canada are similar to Roth IRAs in the US, in that contributions are made using post-tax dollars and generate returns tax-free.					
Wells Fargo Checking Account	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Wells Fargo Roth IRA ⇒ T. Rowe Price Mid-Cap Growth Fund		\$1 - \$1,000	Tax-Deferred		
Wells Fargo Roth IRA ⇒ T. Rowe Price Retirement 2040 Fund Advisor Class		\$1,001 - \$15,000	Tax-Deferred		
Wells Fargo Savings Account	JT	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Massachusetts Institute of Technology	Salary	\$162,655.00	\$114,305.00
Harvard University	Spouse Salary	\$139,140.00	\$77,140.00
Foley Hoag LLP	Spouse Salary	\$671.00	\$5,230.00
Real Colegio Complutense at Harvard	Spouse Honorarium	\$2,500.00	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	National Collegiate Trust	December 2008	Student Loans	\$10,000 - \$15,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Assistant Professor of Political Science	Massachusetts Institute of Technology

SCHEDULE F: AGREEMENTS

None disclosed.

## **SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

None disclosed.

## **SCHEDULE A ASSET CLASS DETAILS**

- Foley Hoag LLP Savings and Retirement Plan (Owner: SP)  
DESCRIPTION: 401K Plan offered by spouse's former employer.
- Harvard University Retirement Plan (Owner: SP)  
DESCRIPTION: Retirement Plan offered by Spouse's Current Employer.
- MIT 401K  
DESCRIPTION: 401K plan provided by current employer
- Wells Fargo Roth IRA  
DESCRIPTION: Roth IRA account managed through Wells Fargo Bank

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Regina Bateson , 07/10/2017