



Filing ID #10017358

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Alexander Triantaphyllis
Status: Congressional Candidate
State/District: TX07

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2017
Filing Date: 06/2/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
8tracks, Inc. DESCRIPTION: 8Tracks develops internet platforms to create music playlists. San Francisco, CA		\$1,001 - \$15,000	None		
Capital One ING		\$100,001 - \$250,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Goldman Sachs 401(k) Plan ⇒ Genesis Emerging Markets Fund DESCRIPTION: EIF		\$1,001 - \$15,000	Tax-Deferred		
Goldman Sachs 401(k) Plan ⇒ Maverick Long/Short Equity Fund DESCRIPTION: EIF		\$1,001 - \$15,000	Tax-Deferred		
Goldman Sachs 401(k) Plan ⇒ PIMCO - Commodities DESCRIPTION: EIF		\$1 - \$1,000	Tax-Deferred		
Goldman Sachs 401(k) Plan ⇒ State Street Global Advisors Emerging Markets Index Fund DESCRIPTION: EIF		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Goldman Sachs 401(k) Plan ⇒ Treasury Money Market - GSAM		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: EIF					
J.P. Morgan Chase Checking		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$1 - \$200
Loan to Antony Platanias		\$15,001 - \$50,000	Interest	None	\$2,501 - \$5,000
DESCRIPTION: The loan was repaid with interest in 2016 by the Estate of Antony Platanias. Antony Platanias is deceased.					
The Bridgespan Group 401(k) ⇒ Fidelity Small Cap Index Fund - Premium Class	SP	\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: EIF					
The Bridgespan Group 401(k) ⇒ International Oppenheimer Developing Markets A	SP	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: EIF					
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ AQR Diversified Arbitrage Fund Class 1		\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
DESCRIPTION: EIF					
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ AQR Managed Futures Strategy Fund Class 1		\$1,001 - \$15,000	Dividends	None	None
DESCRIPTION: EIF					
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Arbitrage Fund Class 1		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: EIF					
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Baird MidCap Fund Institutional Class		\$1,001 - \$15,000	None		
DESCRIPTION: EIF					
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Blackrock Global Long/Short Equity Fund Institutional Class		\$1,001 - \$15,000	None		
DESCRIPTION: EIF					
U.S. Trust-Bank of America (Howard/Trice 2001		\$1,001 - \$15,000	Dividends,	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Descendants' Trust) ⇒ Blackrock Strategic Income Opportunities Portfolio Class 1 DESCRIPTION: EIF			Interest		
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Boston Partners Long/Short Research Fund Institutional Class DESCRIPTION: EIF		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	None
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Columbia Dividend Income Fund DESCRIPTION: EIF		None	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Credit Suisse Commodity Return Strategy Fund Common Class DESCRIPTION: EIF		\$1,001 - \$15,000	None		
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Eaton Vance National Limited Maturity Income Fund Class A DESCRIPTION: EIF		\$1,001 - \$15,000	Capital Gains, Interest	\$1 - \$200	\$201 - \$1,000
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Federated Government Obligations Fund (PRM) DESCRIPTION: EIF		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Invesco International Growth Fund Class Y DESCRIPTION: EIF		\$1,001 - \$15,000	Capital Gains, Dividends	None	\$1 - \$200
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ iShares 7-10 Year Treasury Bond ETF DESCRIPTION: EIF		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ iShares Code MSCI Emerging Markets ETF DESCRIPTION: EIF		None	Capital Gains, Dividends	\$1,001 - \$2,500	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ iShares Core MSCI EAFE ETF DESCRIPTION: EIF		None	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ iShares MBS ETF DESCRIPTION: EIF		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ iShares National Muni Bond ETF DESCRIPTION: EIF		\$15,001 - \$50,000	Dividends, Interest	\$1 - \$200	\$201 - \$1,000
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ iShares Russell 2000 ETF DESCRIPTION: EIF		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ iShares Russell Mid-Cap ETF DESCRIPTION: EIF		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ John Hancock FDS III Disciplined Value Mid Cap Fund Class I DESCRIPTION: EIF		None	Capital Gains	None	\$201 - \$1,000
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ JPMorgan International Value - Class L DESCRIPTION: EIF		\$1,001 - \$15,000	Capital Gains, Dividends	None	\$201 - \$1,000
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Legg Mason BW Absolute Return Opportunities Fund Class 1 DESCRIPTION: EIF		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ MFS Mid Cap Value Fund Class I DESCRIPTION: EIF		\$1,001 - \$15,000	Dividends	None	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ MFS Value Fund - Class I DESCRIPTION: EIF		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Natixis Loomis Sayles Growth Fund; Y DESCRIPTION: EIF		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Neuberger Berman Long Short Fund Institutional Class DESCRIPTION: EIF		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	None
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Nuveen High Yield Municipal Bond Fund DESCRIPTION: EIF		None	Dividends, Interest	\$1 - \$200	\$201 - \$1,000
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Oakmark Select Fund Investor Class DESCRIPTION: EIF		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ PIMCO Foreign Bond USD-Hedged DESCRIPTION: EIF		\$1,001 - \$15,000	Dividends, Interest	\$1 - \$200	None
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ The Hartford Mutual Funds II, Inc. Schroders Emerging Markets Equity Fund DESCRIPTION: EIF		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$1 - \$200
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Touchstone Small Cap Growth Fund Class Y DESCRIPTION: EIF		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	\$1 - \$200
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Vanguard FTSE Developed Markets ETF DESCRIPTION: EIF		\$15,001 - \$50,000	Dividends	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Vanguard FTSE Emerging Markets ETF DESCRIPTION: EIF		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Vanguard Intermediate-Term Bond ETF DESCRIPTION: EIF		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Vanguard Mid-Cap ETF DESCRIPTION: EIF		None	Capital Gains	None	\$201 - \$1,000
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Vanguard REIT DESCRIPTION: EIF		None	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Vanguard S&P 500 ETF DESCRIPTION: EIF		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Vanguard Total International Bond Index DESCRIPTION: EIF		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) ⇒ Wells Fargo Special Small Cap Value Fund Institutional Class DESCRIPTION: EIF		\$1,001 - \$15,000	Capital Gains, Dividends	None	\$1 - \$200

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Neighborhood Centers, Inc.	Salary	\$38,931.38	\$105,434.00
Collaborative for Children	Spouse Salary	N/A	N/A
The Bridgespan Group	Spouse Consulting Fees	N/A	N/A

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Ethos Venture Group, LLC	Consulting Fees		\$300.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Director (uncompensated)	Primary Care Intervention Center
Council Member (uncompensated)	Museum Park Super Neighborhood
Director (uncompensated)	Sankofa Research Institute
Managing Member (compensated)	Marka LLC (terminated 2015)
Chairman/Executive Committee Member (uncompensated)	Houston Immigration Legal Services Collaborative
Advisory Board Member (uncompensated)	Partnership for the Advancement & Immersion of Refugees
Member (uncompensated)	City of Houston Mayoral Task Force on Equity
Small Business Co-Chair (uncompensated)	Houston Mayor Sylvester Turner Transition Team, Economic Opportunity Committee
Member (uncompensated)	City of Houston Equitable Economic Development Council
Finance Co-Chair (uncompensated)	New Leaders Council (Houston Chapter)
Project Team Co-Lead (uncompensated)	Welcoming Houston Initiative

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
August 2010	Goldman Sachs and Alex Traintaphyllis	Continued participation in 401(k) after leaving firm in 2010.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

◦ Goldman Sachs 401(k) Plan

- The Bridgespan Group 401(k) (Owner: SP)
- U.S. Trust-Bank of America (Howard/Trice 2001 Descendants' Trust) (25% Interest)
DESCRIPTION: The candidate is the beneficiary of only 25% of the reported value of each asset and only 25% of the reported income from the assets.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Alexander Triantaphyllis , 06/2/2017