



Filing ID #10014909

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Suzan K. DelBene
Status: Member
State/District: WA01

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 05/15/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
8point3 Energy Partners LP - Class A Shares (CAFD)	JT	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
AAlberts (B1WSP14)	JT	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Foreign Exchange Position					
Acuity Brands Inc (AYI)	JT	None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Aflac Inc 8.5% Due 05/15/19	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Aflac Inc Note 2.4% Due 03/16/20	JT	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
AIG 5.85% DUE 01/16/18	JT	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: NA					
American Tower Corp 4.5% Due 01/15/2018	JT	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Badger Meter, Inc. (BMI)	JT	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
BALFOUR PLACE INVESTORS, LLC	JT	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Co-investment in multi-family housing building					
BAY AREA TOLL RV 1.5% DUE 04/01/47	JT	\$500,001 - \$1,000,000	Capital Gains, Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Callable 10/01/17 at 100.00					
BEAR STEARNS CO 5.55% DUE 01/22/17	JT	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: NA					
CENTRAL TEX REGL MOBILITY AUTH REV 5% DUE 01/01/27	JT	\$250,001 - \$500,000	Interest	None	<input checked="" type="checkbox"/>
City of Seattle 5.0% Due 05/01/22	JT	None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Cognex Corporation (CGNX)	JT	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Columbia Pacific Income Fund II	JT	\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
DESCRIPTION: Commercial real estate lending fund					
Cornerstone Multifamily Income Fund	JT	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Multifamily real estate income fund					
CT ST HSG FA HSG 2.75% DUE 05/15/26	JT	\$250,001 - \$500,000	Capital Gains, Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Partial Sale					
DENVER CO CITY AND COUNTY AIRPORT REV 5.000% DUE 11/15/18	JT	None	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
DIGNITY HEALTH 3.812% DUE 11/01/24	JT	\$250,001 - \$500,000	Capital Gains, Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Corporate security					
EJF Specialty Finance (EIF)	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: European focused specialty finance fund					
Electro Rent Corporation (ELRC)		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Fanuc Corp	JT	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Foreign Exchange Position (6954JP)					
FHLB .375% DUE 06/24/16	JT	None	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Fidelity Growth Co Pool	SP	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Fidelity Muni Money Markets	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Fidelity Muni Money Markets	JT	\$250,001 - \$500,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Fidelity Muni Money Markets	JT	\$500,001 - \$1,000,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Fidelity Muni Money Markets	JT	\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Change in custodians. Formerly classified as Schwab Government money fund SWGXX					
Fidelity Muni Money Markets	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Change in custodians. Formerly classified as Schwab Government money fund SWGXX					
Fidelity Muni Money Markets		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Change in custodians. Formerly classified as Schwab Government money fund SWGXX					
Fidelity Muni Money Markets		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Change in custodians. Formerly classified as Schwab Government money fund SWGXX					
FIDELITY SPARTAN 500 INDEX FUND ADVANTAGE CLASS		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Fidelity Spartan Intl Index Fd Advtg		\$1,001 - \$15,000	None		<input type="checkbox"/>
Fleetmatics Group PLC Ordinary Shares (FLTX)	JT	None	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Georgia St 5.0% Due 02/01/21	JT	\$500,001 - \$1,000,000	Capital Gains, Interest	\$15,001 - \$50,000	<input type="checkbox"/>
GLOBAL PARTNERSHIPS SIF 5.0 (3% 3/25/19)	JT	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
GLOBAL PARTNERSHIPS SIF 5.0 (5% 3/25/23)	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
GREAT LAKES WTR AUTH MICH 5% DUE 07/01/28	JT	\$250,001 - \$500,000	Interest	None	<input checked="" type="checkbox"/>
GRRTS LTD ⇒ Medina Residence LOCATION: Medina, WA, US DESCRIPTION: Personal Residence in Medina Washington	JT	\$5,000,001 - \$25,000,000	None		<input type="checkbox"/>
GRRTS LTD ⇒ Washington D.C. Residence LOCATION: Washington, DC, US DESCRIPTION: Personal Residence in Washington D.C.	JT	\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
Gryphon Partners IV	JT	\$250,001 - \$500,000	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Hannon Armstrong Sustainable Infrastructure Capital, Inc. (HASI)	JT	None	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
HARBOR INTERNATIONAL	JT	\$500,001 - \$1,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
HUNTINGTON CAPITAL FUND III	JT	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
IDAHO STATE BLDG AUTH REF REV 5.000% DUE 09/01/23	JT	\$500,001 - \$1,000,000	Capital Gains, Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Itron, Inc. (ITRI)	JT	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Johnson Controls International plc Ordinary Share (JCI)	JT	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Kimpact Evergreen Real Estate	JT	\$250,001 -	Interest	None	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
\$500,000					
Kingspan Grp PLC (4491235)	JT	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Foreign Exchange Position					
LIGHTHOUSE GLOBAL LONG SHORT FUND	JT	\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
LOWER CO RIV TX AUTH REF REV 5.000% DUE 05/15/22	JT	\$500,001 - \$1,000,000	Capital Gains, Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MATTHEWS ASIA DIVIDEND	JT	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Microsoft Corporation (MSFT)	SP	\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
MORGAN STANLEY 4.75% DUE 03/22/17	JT	None	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: NA					
NASDAQ OMX GRP 5.25% DUE 01/16/18	JT	\$100,001 - \$250,000	Capital Gains, Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: NA					
NEW JERSEY ST TRANS FD AUTH 5.25% Due 12/15/19	JT	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
North Dakota St Hsg 1.3% Due 07/01/18	JT	None	Capital Gains, Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
North East Indpt Sch Dist Tex 5.0% Due 08/01/23	JT	\$500,001 - \$1,000,000	Capital Gains, Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
ORL & ORG CO XPWY F 5% DUE 07/01/25	JT	\$250,001 - \$500,000	Capital Gains, Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Osram Licht AG Namens - Aktien ON (OSAGF)	JT	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
PACCAR Inc. (PCAR)	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Pacific Co. B1 Bond - Pittsburg	JT	\$250,001 -	Interest	\$5,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$500,000		\$15,000	
PACIFIC WEST LAND REAL ESTATE INCOME	JT	\$500,001 - \$1,000,000	Interest	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Opportunistic real-estate strategy with income focus					
PARAMETRIC TAX MGD EMRG	JT	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Pattern Energy Group Inc. - Class A (PEGI)	JT	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Pennsylvania Economic Dev Financing 1.75% Due 12/01/33	JT	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
PIMCO Total Return Bond		\$1,001 - \$15,000	None		<input type="checkbox"/>
PIMCO Unconstrained Bond Inst	SP	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
PORTLAND OREGON URBAN RENEWAL & REDEV TAX ALLOC 5.000% DUE 06/15/20	JT	\$500,001 - \$1,000,000	Capital Gains, Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Potash Corporation of Saskatchewan Inc. (POT)	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Rainier Intl Discovery (RAIIX)	SP	\$100,001 - \$250,000	Dividends	None	<input checked="" type="checkbox"/>
Raven Industries, Inc. (RAVN)	JT	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Real Industry, Inc. (RELY)	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
REDWOOD-KAIROS REAL ESTATE VALUE FUND IV (EIF)	JT	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Diversified real estate private investment fund					
Roper Ind 2.05% Due 10/01/18	JT	None	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SEATTLE WASHINGTON REF GO 5.000% DUE 05/01/22	JT	None	Capital Gains, Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
COMMENTS: Bond was titled SEATTLE WASH LTD TAX GO IMPT REF BDS SER 5.0000% 05/01/22 2009 on the 2012 report.					
SGS SA-REG (4824778)	JT	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Foreign Exchange Position Symbol SGSN VX					
Silver Spring Networks, Inc. (SSNI)	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SolarEdge Technologies, Inc. (SEDG)	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP FountainGlen Venture, LLC (EIF)	JT	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Affordable multi-family senior housing property					
SP MultiFamily Fund II (EIF)	JT	\$250,001 - \$500,000	Interest	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
DESCRIPTION: Multi-family real estate fund					
SP MultiFamily Fund III	JT	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SP Nashville	JT	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SPDR S&P 500 (SPY)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SPDR S&P 500 (SPY)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SPDR S&P 500 (SPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SPDR S&P 500 (SPY)	JT	\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
TCG PRIVATE SELECT PARTNERS (EIF)	JT	\$1,000,001 - \$5,000,000	Interest	None	<input checked="" type="checkbox"/>
DESCRIPTION: Diversified private investment fund of funds					
Toray Ind Inc (6897143)	JT	None	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Foreign Exchange Position					
TRILINC GLOBAL IMPACT FUND (EIF)	JT	\$1,000,001 -	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$5,000,000			
Trimble Inc. (TRMB)	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
UNIV ID 5.25% EDUC PUB DUE 04/01/41	JT	\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
US Treasury Nt 1.375% Due 06/30/18	JT	\$250,001 - \$500,000	Capital Gains, Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard Europe Pacific (VEA)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard FTSE All-WLd ex-US Idx Instl	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Vanguard FTSE Emerging Markets ETF (VWO)	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard S&P 500 Index Trust	SP	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Vanguard S&P 500 Index Trust		\$15,001 - \$50,000	None		<input type="checkbox"/>
Vanguard Short-Term Bond Idx InstlPls	SP	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
VERIZON COMMUNICATIONS INC 5.150 Due 09/15/23	JT	\$100,001 - \$250,000	Capital Gains, Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Wasion Group (BOT4J94)	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Position liquidated					
Watts Water Technologies, Inc. Class A (WTS)	JT	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
WELLS FARGO & CO 4.6% DUE 04/01/21	JT	None	Capital Gains, Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Partial sale on 10/24/2016					
WISDOMTREE EUROPE ETF	JT	\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WSTN WASH UNIV 3.00% Due 10/01/19	JT	\$250,001 - \$500,000	Interest	None	<input type="checkbox"/>
Xylem Inc. Common Stock New (XYL)	JT	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
8point3 Energy Partners LP - Class A Shares (CAFD)	JT	06/9/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Aalberts (B1W8P14)	JT	06/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Foreign Exchange Position (ticker AALB NA)					
Acuity Brands Inc (AYI)	JT	03/17/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Acuity Brands Inc (AYI)	JT	06/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Advanced Drainage Systems, Inc. (WMS)	JT	02/2/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Aflac Inc Sr Nt 2.4% due 3/16/20	JT	10/21/2016	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
DESCRIPTION: Corporate Security (cusip 001055AN2)					
American Intl Group Inc 5.85% due 1/16/18	JT	10/25/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Corporate Security (cusip 02687QDGo)					
Amern Tower Corp 4.5% due 1/15/18	JT	10/24/2016	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Corporate Security (cusip 029912BD3)					
Badger Meter, Inc. (BMI)	JT	03/2/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Badger Meter, Inc. (BMI)	JT	06/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Bay Area Toll Auth Calif 1.5% due 4/1/47	JT	06/23/2016	S	\$100,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
			(partial)	\$250,000	
Bay Area Toll Auth Calif Toll Brdg 1.5% due 4/1/47	JT	08/10/2016	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Bear Stearns Co 5.55% due 01/22/17	JT	11/15/2016	S	\$100,001 - \$250,000	<input type="checkbox"/>
DESCRIPTION: Corporate Security (cusip 073902PN2)					
BioAmber Inc. (BIOA)	JT	06/9/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
BorgWarner Inc. (BWA)	JT	06/9/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Calgon Carbon Corporation (CCC)	JT	02/26/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Centarl Tex Regl Mobility Auth Rev 5% due 1/1/27	JT	08/8/2016	P	\$250,001 - \$500,000	
City of Seattle 5% Due 5/1/22	JT	03/31/2016	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Cognex Corporation (CGNX)	JT	06/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Columbia Pacific Income Fund II	JT	06/29/2016	P	\$250,001 - \$500,000	
DESCRIPTION: Commercial real estate lending fund					
Connecticut Hsg 2.75% due 5/15/26	JT	06/23/2016	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
De Osram Licht AG (B923935)	JT	01/20/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Foreign Exchange Position (ticker OSRN DE)					
Dialight (3305779)	JT	06/9/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Foreign Exchange Position (ticker DIA LN)					
Dialight (3305779)	JT	06/10/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Foreign Exchange Position (ticker DIA LN)					
Dignity Health 2014A BD 3.812% due 11/01/24	JT	03/9/2016	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Corporate Security (cusip 254010AD3)					
Dignity Health 2014A Bd 3.812% due 11/1/24	JT	10/25/2016	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
DESCRIPTION: Corporate Security (cusip 254010AD3)					
Electro Rent Corporation (ELRC)	JT	08/11/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
Fanuc Corp	JT	06/9/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Foreign Exchange Position (ticker 6954 JP)					
Federal Home Loan Banks 0.375% Due 06-24-16	JT	02/24/2016	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Federal Home Loan Banks 0.375% Due 06-24-16	JT	03/8/2016	S	\$100,001 - \$250,000	<input type="checkbox"/>
First Solar, Inc. (FSLR)	JT	02/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
First Solar, Inc. (FSLR)	JT	06/9/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Fleetmatics Group PLC Ordinary Shares (FLTXX)	JT	06/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Flowserve Corporation (FLS)	JT	06/9/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Great Lakes Wtr Auth Mich 5% due 7/1/28	JT	10/14/2016	P	\$250,001 - \$500,000	
Gryphon Partners IV	JT	08/10/2016	P	\$250,001 - \$500,000	
Hannon Armstrong Sustainable Infrastructure Capital, Inc. (HASI)	JT	06/9/2016	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Huntington Capital Fund III	JT	01/13/2016	P	\$50,001 - \$100,000	
Huntington Capital Fund III	JT	05/31/2016	P	\$1,001 - \$15,000	
Huntington Capital Fund III	JT	07/26/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Huntington Capital Fund III	JT	08/19/2016	P	\$15,001 - \$50,000	
Huntington Capital Fund III	JT	12/8/2016	P	\$50,001 - \$100,000	
Idaho St Bldg Auth 5% due 9/1/23	JT	05/20/2016	P	\$15,001 - \$50,000	
Idaho St Bldg Auth 5% due 9/1/23	JT	06/23/2016	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Itron, Inc. (ITRI)	JT	03/16/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Itron, Inc. (ITRI)	JT	06/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Johnson Controls International plc Ordinary Share (JCI)	JT	04/18/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Johnson Controls International plc Ordinary Share (JCI)	JT	06/9/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Kimpact Evergreen Real Estate	JT	07/20/2016	P	\$250,001 - \$500,000	
Kingspan Grp PLC (4491235)	JT	04/15/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Foreign Exchange Position (ticker KSP ID)					
Kingspan Grp PLC (4491235)	JT	06/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Foreign Exchange Position (ticker KSP ID)					
Lower Col River Aut 5% due 5/15/22	JT	10/24/2016	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Lumenpulse Inc (BLM7FC2)	JT	06/9/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Foreign Exchange Position (ticker LMP CN)					
Maxwell Technologies, Inc. (MXWL)	JT	06/9/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Microsoft Corporation (MSFT)	SP	02/16/2016	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Microsoft Corporation (MSFT)	SP	03/31/2016	P	\$1,001 - \$15,000	
Microsoft Corporation (MSFT)	SP	06/30/2016	P	\$1,001 - \$15,000	
Microsoft Corporation (MSFT)	SP	08/29/2016	P	\$100,001 - \$250,000	
Microsoft Corporation (MSFT)	SP	08/31/2016	P	\$250,001 - \$500,000	
Microsoft Corporation (MSFT)	SP	09/1/2016	S	\$250,001 - \$500,000	<input type="checkbox"/>
Microsoft Corporation (MSFT)	SP	12/30/2016	P	\$1,001 - \$15,000	
Microsoft Corporation (MSFT)	SP	09/30/2016	P	\$1,001 - \$15,000	
Mobileye N.V. Ordinary Shares (MBLY)	JT	02/24/2016	P	\$1,001 - \$15,000	
Mobileye N.V. Ordinary Shares (MBLY)	JT	06/9/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley 4.75% due 03/22/17	JT	11/15/2016	S	\$100,001 - \$250,000	<input type="checkbox"/>
DESCRIPTION: Corporate Security (cusip 61747YDT9)					
Morgan Stanley 4.75% due 3/22/17	JT	06/27/2016	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Corporate Security (cusip 61747YDT9)					
MYR Group, Inc. (MYRG)	JT	05/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
MYR Group, Inc. (MYRG)	JT	05/13/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
N Dakota Hsg Fin A 1.3% due 7/1/18	JT	06/23/2016	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
N Dakota Hsg Fin A 1.3% due 7/1/18	JT	10/18/2016	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Nasdaq OMX Group Inc 5.25% due 1/16/18	JT	10/24/2016	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Corporate Security (cusip 631103AE8)					
New Jersey St Trans 5.25% due 12/15/19	JT	10/21/2016	P	\$250,001 - \$500,000	
New Mexico Mun Energy Acquisition 0.00% due 11/01/39	JT	11/15/2016	P	\$250,001 - \$500,000	
New Mexico Mun Energy Acquisition 0.00% due 11/01/39	JT	11/23/2016	S	\$250,001 - \$500,000	<input type="checkbox"/>
North East Indpt Sch Dist Tex 5% due 8/1/23	JT	04/18/2016	P	\$50,001 - \$100,000	
North East Indpt Sch Dist Tex 5% due 8/1/23	JT	06/1/2016	P	\$15,001 - \$50,000	
North East Indpt School Dist Tex 5% due 8/1/23	JT	03/9/2016	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Orlando & Orange Cnty Expwy Auth 5% due 7/1/25	JT	03/9/2016	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Osram Licht AG Namens - Aktien ON (OSAGF)	JT	01/20/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Pacific Co. B1 Bond - Pittsburg	JT	08/24/2016	P	\$250,001 - \$500,000	
Pattern Energy Group Inc. - Class A (PEGI)	JT	02/29/2016	P	\$15,001 - \$50,000	
Pattern Energy Group Inc. - Class A (PEGI)	JT	06/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
PIMCO Unconstrained Bond Inst	SP	09/15/2016	P	\$500,001 - \$1,000,000	
Potash Corporation of Saskatchewan Inc. (POT)	JT	05/12/2016	P	\$15,001 - \$50,000	
Potash Corporation of Saskatchewan Inc. (POT)	JT	06/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
PSI AG (5522714)	JT	06/9/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Foreign Exchange Position (ticker PSAN GR)					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Rainier International Discovery Instl	SP	02/16/2016	P	\$100,001 - \$250,000	
Raven Industries, Inc. (RAVN)	JT	05/19/2016	P	\$1,001 - \$15,000	
Raven Industries, Inc. (RAVN)	JT	06/9/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Real Industry, Inc. (RELY)	JT	03/17/2016	P	\$1,001 - \$15,000	
Real Industry, Inc. (RELY)	JT	03/18/2016	P	\$1,001 - \$15,000	
Real Industry, Inc. (RELY)	JT	03/21/2016	P	\$1,001 - \$15,000	
Real Industry, Inc. (RELY)	JT	03/22/2012	P	\$1,001 - \$15,000	
Real Industry, Inc. (RELY)	JT	03/23/2016	P	\$1,001 - \$15,000	
Real Industry, Inc. (RELY)	JT	06/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Redwood-Kairos Real Estate Value Fund IV	JT	08/26/2016	P	\$250,001 - \$500,000	
Redwood-Kairos Real Estate Value Fund IV	JT	10/28/2016	P	\$100,001 - \$250,000	
Regents Univ Ida 5.25% due 4/01/41	JT	04/1/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Roper Ind 2.05% due 10/01/18	JT	10/21/2016	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
DESCRIPTION: Corporate Security (cusip 776696AF3)					
SGS SA-REG (4824778)	JT	06/9/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Foreign Exchange Position (ticker SGSN VX)					
Silver Spring Networks, Inc. (SSNI)	JT	06/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SolarEdge Technologies, Inc. (SEDG)	JT	06/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP MultiFamily Fund II	JT	02/1/2016	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP MultiFamily Fund II	JT	12/21/2016	P	\$15,001 - \$50,000	
SP MultiFamily Fund III	JT	02/12/2016	P	\$250,001 - \$500,000	
SP Nashville	JT	04/13/2016	P	\$100,001 - \$250,000	
SPDR S&P 500 (SPY)	JT	09/2/2016	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
SunEdison, Inc. (SUNE)	JT	02/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
SunPower Corporation (SPWR)	JT	01/21/2016	P	\$1,001 - \$15,000	
SunPower Corporation (SPWR)	JT	03/2/2016	P	\$1,001 - \$15,000	
SunPower Corporation (SPWR)	JT	06/9/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
TCG Private Select Partners, L.P.	JT	03/15/2016	P	\$250,001 - \$500,000	
TCG Private Select Partners, L.P.	JT	08/29/2016	P	\$250,001 - \$500,000	
Toray Ind Inc (6897143)	JT	06/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Foreign Exchange Position (ticker 3402 JP)					
Trimble Inc. (TRMB)	JT	02/26/2016	P	\$15,001 - \$50,000	
Trimble Inc. (TRMB)	JT	06/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
United States Treas Nts 1.375% due 6/30/18	JT	06/24/2016	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Vanguard FTSE All-Wld ex-US Idx Instl	SP	Bi-weekly	P	\$1,001 - \$15,000	
Vanguard Short-Term Bond Idx InstlPls	SP	Bi-weekly	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Verizon Communications Inc 5.15% due 9/15/23	JT	10/24/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Corporate Security (cusip 92343VBR4)					
Verizon Communications Inc 5.15% Due 9-15-23	JT	02/24/2016	P	\$250,001 - \$500,000	
DESCRIPTION: Corporate Security (cusip 92343VBR4)					
Wasion Group	JT	01/20/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Foreign Exchange Position (ticker 3393 HK)					
Watts Water Technologies, Inc. Class A (WTS)	JT	06/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Wells Fargo & Co 4.6% due 04/01/2021	JT	10/24/2016	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
DESCRIPTION: Corporate Security (cusip 94974BEV8)					
Wells Fargo & Co 4.6% due 04/01/2021	JT	11/23/2016	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
DESCRIPTION: Corporate Security (cusip 94974BEV8)					
Wstn Wash Univ 3% Educ Univ due 10/01/19	JT	11/23/2016	P	\$250,001 - \$500,000	
Xylem Inc. Common Stock New (XYL)	JT	06/9/2016	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Zumtobel Group AG (B13WZ26)	JT	06/9/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Foreign Exchange Position (ticker ZAG AV)					

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
German Marshall Fund	02/26/2016	02/29/2016	Washington D.C. - White Plains, NY - Washington DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Aspen Institute	03/28/2016	04/4/2016	Seattle, WA - Beijing, China - Seattle, WA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- GRRTS LTD (Owner: JT)
DESCRIPTION: Formerly reported as DBR Real Property

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Suzan K. DelBene , 05/15/2017