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U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT		FORM A Page 1 of 19 For use by Members, officers, and employees	
Richard A. (Rick) Berg (Full Name)		202-225-9575 (Daytime Telephone)	
Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives State: ND District: 1	<input type="checkbox"/> Officer Or Employee	Employing Office:
Report Type	<input checked="" type="checkbox"/> Annual (May 15) <input type="checkbox"/> Amendment <input type="checkbox"/> Termination	Termination Date:	
A \$200 penalty shall be assessed against anyone who files more than 30 days late.			

MC

(Office Use Only)

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$360 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name Richard A. (Rick) Berg

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
U.S. House of Representatives	House of Rep. Salary	\$156,662
Real Estate Sales - Sole Proprietor	Commission-For services rendered prior to becoming a Member of the House	\$15,151
Independent Family Doctors, Ltd.	Spouse Salary	N/A
Cattle Ranch - Oliver County, ND	Spouse Cattle Ranch	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and deposits totaling \$5,000 or less in a personal checking or	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
Weekare Bldg. (30%) 2302 30 1/2 Ave. So. Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Farmland-Benson County, ND	\$500,001 - \$1,000,000	RENT	\$5,001 - \$15,000	
SP Farmland-Oliver County, ND	\$500,001 - \$1,000,000	RENT	\$15,001 - \$50,000	
Residential Land- Washburn, ND	\$15,001 - \$50,000	None	NONE	S(part)
INREIT Real Estate Investment Trust-Minot, ND	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	
SP Independent Family Doctors, Ltd - Physician-Fargo, ND	\$15,001 - \$50,000	S Corp Income	\$15,001 - \$50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	Goldmark Schlossman VC Partners-Venture Capital-Fargo, ND	\$1,001 - \$15,000	Partnership Income	NONE	
	Intelligent InSites, LLC Software-Fargo, ND	\$15,001 - \$50,000	LLC Income	NONE	
	Loan to Campaign	\$50,001 - \$100,000	None	NONE	
	Ntractive, LLC-Software-Grand Forks, ND	\$15,001 - \$50,000	LLC Income	NONE	
	Dacotah Cattle Growers LLC (Cow Share LLP)-Bismarck, ND	\$100,001 - \$250,000	LLC Income	\$15,001 - \$50,000	
	College Save Section 529 Plan-Growth Portfolio	\$50,001 - \$100,000	None	NONE	
	Starion Financial accounts	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
	Loan to Kelly Zander-Fargo, ND	\$100,001 - \$250,000	INTEREST	\$2,501 - \$5,000	
	Ramsey National Bank account	\$100,001 - \$250,000	INTEREST	\$1 - \$200	
	Loan to Amber Holding,LLC-Fargo, ND	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	
	Loans to Jesse Craig-Fargo, ND	\$500,001 - \$1,000,000	INTEREST	\$15,001 - \$50,000	
	Loans to Jay Braaten-Fargo, ND	None	INTEREST	\$2,501 - \$5,000	
	Loans to Westwind Properties-Fargo, ND	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	
	Loans to David Gille-Moorhead, MN	\$100,001 - \$250,000	INTEREST	\$15,001 - \$50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	Choice Financial Bank account-Fargo, ND	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	Bremer Bank account-Fargo, ND	\$1 - \$1,000	INTEREST	NONE	
	Dakota Real Estate Investment Trust-Fargo, ND	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	State Bank & Trust accounts-Fargo, ND	\$250,001 - \$500,000	INTEREST	\$201 - \$1,000	
SP	401(k) Plan account-SBT Income With Growth	\$50,001 - \$100,000	None	NONE	
SP	Cattle-Oliver County, ND	\$500,001 - \$1,000,000	Spouse Earned Income-Schedule I	NONE	
SP	Ranch Equipment-Oliver County, ND-	\$500,001 - \$1,000,000	Spouse Earned Income-Schedule I	NONE	
	State Bankshares, Inc. Stock	\$250,001 - \$500,000	DIVIDENDS	\$5,001 - \$15,000	
JT	Irrevocable Life Insurance Trust-State Bank & Trust	\$15,001 - \$50,000	None	NONE	
	UBS Sep account-See Attached Statement	\$100,001 - \$250,000	None	NONE	P
SP	UBS SEP account-See Attached Statement	\$250,001 - \$500,000	None	NONE	PS(part)
	INREIT Properties LLLP-Minot, ND- Real Estate	\$1,000,001 - \$5,000,000	Partnership Income	\$15,001 - \$50,000	
	SBT Investments account	None	DIVIDENDS	NONE	
	Marelius Apartments-Apt. Building-Horace, ND	\$50,001 - \$100,000	RENT	\$15,001 - \$50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	Northern Plains Capital stock Bismarck, ND	\$50,001 - \$100,000	None	NONE	
	Summit Partners, LLP- Apt. Building-Fargo, ND	\$15,001 - \$50,000	RENT	\$5,001 - \$15,000	
	Renaissance Ventures, LLC- Fargo, ND-Business Investments	\$1,001 - \$15,000	LLC Income	NONE	
	Braaten Apartments, LLP- Apt. Building-West Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	SG Five, LLP Sign-Fargo, ND	\$15,001 - \$50,000	RENT	\$2,501 - \$5,000	
	Jimberg Partners, LLP Comm. Bldg. #1-Fargo, ND	None	CAPITAL GAINS	\$50,001 - \$100,000	S
	Jimberg Partners, LLP Comm. Bldg. #2-Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Jimberg Partners, LLP Net Value Real Estate Mortgages	\$1,000,001 - \$5,000,000	Partnership Income	\$100,001 - \$1,000,000	
	Westwind Properties, LLP Apt. Bldg. #1-West Fargo, ND	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
	Westwind Properties, LLP Apt. Bldg. #2-Fargo, ND	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
	Westwind Properties, LLP Apt. Bldg. #3-Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Westwind Properties, LLP Apt. Bldg. #4-Fargo, ND	None	RENT/CAPITAL GAINS	\$100,001 - \$1,000,000	S
	Westwind Properties, LLP Apt. Bldg. #5-Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Westwind Properties, LLP Apt. Bldg. #6-Fargo, ND	\$50,001 - \$100,000	RENT	\$15,001 - \$50,000	

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	Westwind Properties, LLP Apt. Bldg. #7-Fargo, ND	\$50,001 - \$100,000	RENT	\$5,001 - \$15,000	
	Westwind Properties II, LLP- Apt. Bldg. #1-Moorhead, MN	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Westwind Properties II, LLP- Apt. Bldg. #2-Moorhead, MN	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Westwind Properties II, LLP- Apt. Bldg. #3-Moorhead, MN	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Wheelberg Partners, LLP- Airplane-Fargo, ND	\$100,001 - \$250,000	Partnership Income	NONE	
	Fay Land Partners, LLP- Land-Fargo, ND	\$500,001 - \$1,000,000	Partnership Income	\$50,001 - \$100,000	
	Farm House Partners, LLP Apt. Bldg.-Fargo, ND	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
	High Point Partners, LLP Apt. Bldg.-Fargo, ND	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
	Red Gate Apartments, LLP Apt. Bldg. #1-West Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Red Gate Apartments, LLP Apt. Bldg. #2-West Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	GF Corporation-Comm. Bldg. #1-Fargo, ND	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
	GF Corporation-Comm. Bldg. #2-Fargo, ND	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
	Goldmark Schlossman Commercial Real Estate Services, Inc.-Fargo, ND	None	S Corp Income	\$201 - \$1,000	S
	TAG, LLC-Comm. Bldg. #1- Fargo, ND	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	

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	Tag, LLC-Comm. Bldg. #2-Fargo, ND	\$500,001 - \$1,000,000	RENT	\$15,001 - \$50,000	
SP	ND Natural Beef, LLC-Beef Processor-Fargo, ND	\$1,001 - \$15,000	LLC Income	NONE	
SP	Wells Fargo Bank account-Bismarck, ND	\$100,001 - \$250,000	INTEREST	NONE	
	Kenwood-Rosewood Apartments, LLC-Apt. Bldg. #1-Des Moines, IA	\$250,001 - \$500,000	RENT	\$100,001 - \$1,000,000	
	Kenwood-Rosewood Apartments, LLC-Apt. Bldg. #2-Des Moines, IA	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
	1520 Partners, LLP-Comm. Bldg.-West Fargo, ND	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
	Ag Foundation Building, LLP-Comm. Bldg.-Bismarck, ND	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
	Case Plaza, LLC-Comm. Bldg.-Fargo, ND	\$1,000,001 - \$5,000,000	RENT	\$100,001 - \$1,000,000	
	Northwestern Mutual Life-Life Insurance Cash Value Whole Life	\$1,001 - \$15,000	None	NONE	
	Midland Insurance-Life Insurance Cash Value Universal Life	\$15,001 - \$50,000	None	NONE	
	Waddell & Reed-Section 529 Plan-InvestEd Balanced Portfolio A	\$1,001 - \$15,000	None	NONE	
	Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #1-Anthon, IA	\$50,001 - \$100,000	RENT	\$5,001 - \$15,000	

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	Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #2-Bismarck, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #3-Blairtown, IA	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #4-Britton, SD	\$15,001 - \$50,000	RENT	\$2,501 - \$5,000	
	Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #5-Casselton, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #6-Des Moines, IA	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
	Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #7-Elbow Lake, MN	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #8-Fergus Falls, MN	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
	Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #9-Graceville, MN	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #10-Grand Forks, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #11-Grundy Center, IA	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	

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Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #12-Highmore, SD	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. # 13-Huron, SD	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #14-Kulm, ND	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #15-Lamoure, ND	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #16- Lidgerwood, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #17- Lincoln, NE	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #18-Lisbon, ND	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #19-Mandan, ND	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #20-Marshall, MN	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #21-Miller, SD	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	

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Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #22-Moorhead, MN	\$500,001 - \$1,000,000	RENT	\$100,001 - \$1,000,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #23-Pipestone, MN	\$50,001 - \$100,000	RENT	\$5,001 - \$15,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #24-Richland, SD	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #25-Roseau, MN	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #26-Sioux City, IA	\$50,001 - \$100,000	RENT	\$5,001 - \$15,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #27-Sioux Falls, SD	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #28-Wahpeton, ND	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #29-Waverly, IA	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #30-Winger, MN	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	

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Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #31- Wishek, ND	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #32-Crookston, MN	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #33- Pella, IA	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #34- Centerpoint, IA	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #35- Oskaloosa, IA	\$50,001 - \$100,000	RENT	\$5,001 - \$15,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #36- Iowa Falls, IA	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #37- West Fargo, ND	\$1,000,001 - \$5,000,000	RENT	\$100,001 - \$1,000,000	
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #38 Aberdeen, SD	\$500,001 - \$1,000,000	RENT	\$15,001 - \$50,000	P

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Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #39 Aberdeen, SD	\$250,001 - \$500,000	RENT	\$5,001 - \$15,000	P
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #40 Dickinson, ND	\$250,001 - \$500,000	RENT	\$5,001 - \$15,000	P
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. # 41 Duluth, MN	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	P
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. # 42 Highmore, SD	\$250,001 - \$500,000	RENT	NONE	P
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. # 43 Shakopee, MN	\$500,001 - \$1,000,000	RENT	\$15,001 - \$50,000	P
Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. # 44 Williston, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	P
Skyview Partners, LLP Apt. Bldgs. #1 & #2- Fargo, ND	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
Skyview Partners, LLP Apt. Bldgs #3 & #4-Fargo, ND	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
Skyview Partners, LLP Apt. Bldgs. #5 & #6-Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Amber Holdings, LLP Comm. Bldg. #1 Fargo, ND	\$1,000,001 - \$5,000,000	RENT	\$100,001 - \$1,000,000	

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Amber Holdings, LLP Comm. Bldg. #2 Fargo, ND	\$100,001 - \$250,000	RENT	\$2,501 - \$5,000	P
RJ O'Brien account	None	Commodities Account	NONE	S
JJR Properties, LLP Apt. Bldg.-Fargo, ND	\$1,000,001 - \$5,000,000	RENT	\$100,001 - \$1,000,000	
714 Partners, LLP Airplane-Fargo, ND	\$50,001 - \$100,000	Partnership Income	NONE	
TFRE, LLC Real Estate-Grand Forks, ND	\$15,001 - \$50,000	LLC Income	\$201 - \$1,000	
Toasted Frog West, LLC Restaurant-Grand Forks, ND	\$1,001 - \$15,000	LLC Income	\$201 - \$1,000	
Big Bend Development, LLP Twin Homes-Washburn, ND	\$50,001 - \$100,000	Partnership Income	\$5,001 - \$15,000	
Bauer Private Equity Fund III	\$1,001 - \$15,000	LLC Income	NONE	
Bauer Private Equity Fund IV	\$1,001 - \$15,000	LLC Income	NONE	
Loan to Camping Adventures, LLP-Fargo, ND	\$15,001 - \$50,000	INTEREST	\$2,501 - \$5,000	
Loan to Lori Ibach-Fargo, ND	\$50,001 - \$100,000	INTEREST	\$5,001 - \$15,000	
Loan to Ag Foundation Building, LLP-Bismarck, ND	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
Choice Financial Bank account- Fargo, ND	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Congressional Credit Union account-Washington, DC	\$1,001 - \$15,000	INTEREST	NONE	

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Amber Holdings, LLP Comm. Bldg. #2 Fargo, ND	P	N/A	1-1-11	\$100,001 - \$250,000
	Goldmark Schlossman Commercial Real Estate stock-Fargo, ND	S	No	8-1-11	\$15,001 - \$50,000
	Jimberg Partners, LLP Comm. Bldg. #1-Fargo, ND	S	Yes	1-1-11	\$250,001 - \$500,000
	Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. # 42 Highmore, SD	P	N/A	11-18-11	\$250,001 - \$500,000
	Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. # 43 Shakopee, MN	P	N/A	8-1-11	\$500,001 - \$1,000,000
	Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. # 44 Williston, ND	P	N/A	1-1-11	\$100,001 - \$250,000
	Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #38 Aberdeen, SD	P	N/A	5-16-11	\$500,001 - \$1,000,000
	Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #39 Aberdeen, SD	P	N/A	8-17-11	\$250,001 - \$500,000
	Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. #40 Dickinson, ND	P	N/A	11-1-11	\$250,001 - \$500,000

SCHEDULE IV - TRANSACTIONS

Name Richard A. (Rick) Berg

Page 18 of 19

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Old Abe Capital, LLP/Old Abe Two Capital Comm. Bldg. # 41 Duluth, MN	P	N/A	8-4-11	\$100,001 - \$250,000
	Residential Land-Washburn, ND	S(part)	No	4-21-11	\$15,001 - \$50,000
	RJ O'Brien - Cash Value distributed (only cash value was in this account at 12-31-10)	S	Yes	3-1-11	\$1,001 - \$15,000
SP	UBS SEP account-See Attached Statement				
	UBS Sep account-See Attached Statement				
	Westwind Properties-Apt. Bldg. #4-Fargo, ND	S	Yes	5-1-11	\$250,001 - \$500,000

SCHEDULE V - LIABILITIES

Name Richard A. (Rick) Berg

Page 17 of 19

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
SP	Wells Fargo Equipment Finance	June 2009	Farm Equipment Loan	\$15,001 - \$50,000
	Starion Financial	February 2009	Business Line of Credit	\$250,001 - \$500,000
SP	Wells Fargo Bank	August 2010	Farm Line of Credit	\$15,001 - \$50,000
	Ramsey National Bank	February 2005	Business and Real Estate Loans	\$500,001 - \$1,000,000
	State Bank & Trust	October 2005	Business Loans	\$250,001 - \$500,000
	Bremer Bank	June 2007	Business Loan	\$50,001 - \$100,000

SCHEDULE VIII - POSITIONS

Name Richard A. (Rick) Berg

Page 18 of 18

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	See Attachment Listing Partnerships
Member in Limited Liability Company	See Attachment Listing LLCs
Treasurer/Director	Goldmark Schlossman Commercial Real Estate Services, Inc-Fargo, ND
Director	GF Corporation-Fargo, ND

FOOTNOTES

Name Richard A. (Rick) Berg

Page 19 of 19

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule V	Liabilities of business entities and mortgages/loans on rental or investment property held in a partnership, LLC or S Corporation are not included pursuant to specific reporting instructions-page 26.	
2	Schedule III	Assets values from partnerships, LLCs and S Corporations reflect the filer's percentage of ownership interest.	
3	Schedule III	Loan from Jay Braaten was paid in full on 12-19-11.	Loans to Jay Braaten-Fargo, ND
4	Schedule III	Amount of income is not required to be reported, since it is spouse's earned income from a cattle ranch-see Schedule I.	Cattle-Oliver County, ND
5	Schedule III	Amount of income is not required to be reported, since it is spouse's earned income from a cattle ranch-see Schedule I.	Ranch Equipment-Oliver County, ND
6	Schedule III	Cash balance was distributed in January, 2011.	SBT Investments account
7	Schedule III	Quality Dairy Growers LLC changed its name to Dacotah Cattle Growers LLC.	Dacotah Cattle Growers LLC
8	Schedule III	Beginning 1-1-11, Skyview Partners LLP accounted for its apartment buildings as three separate units : buildings #1 and #2, buildings #3 and #4, and buildings #5 and #6.	Skyview Partners LLP



UBS Financial Services Inc.
51 Broadway
Fargo ND 58102-4933

APZ2004797027 1211 X24 8D 0

* Retirement Account

UBS Strategic Advisor

December 2011

Your Financial Advisor:

[REDACTED]

Questions about your statement?

Call your Financial Advisor or the
RMA ResourceLine at 800-RMA-1000,
account: 211004770.

Visit our website:

www.ubs.com/financialservices

Your investment objectives:

You have identified the following
investment objectives for this account. If
you have questions about these
objectives, disagree with them, or wish to
change them, please contact your
Financial Advisor or Branch Manager. You
can find a full description of the
alternative investment objectives in
*important information about your
statement* at the end of this document.

Your return objective:

Capital appreciation

Your risk profile:

Primary - Aggressive

Secondary - None selected

Account name: RICHARD A BERG

SEP

Account type: Simplified Employee Pension

Account number: [REDACTED]

Value of your account

	on November 30 (\$)	on December 30 (\$)
Your assets	197,534.65	196,022.67
Your liabilities	0.00	0.00
Value of your account	\$197,534.65	\$196,022.67

Your account instructions

- UBS Financial Services Inc. is your
custodian.

Change in the value of your account

	December 2011 (\$)	Year to date (\$)
Opening account value	\$197,534.65	\$209,435.16
Withdrawals and fees, including investments transferred out	0.00	-2,792.84
Dividend and interest income	3,983.75	6,208.47
Change in market value	-5,495.73	-16,828.12
Closing account value	\$196,022.67	\$196,022.67



Account name:
Account number:

RICHARD A. BERG

Cash activity summary

See the section *Account activity this month* for details. UBS Bank USA deposit account balances are included in the opening and closing balances value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See *Important information about your statement* at the end of this document for details about those balances.

	December 2011 (\$)	Year to date (\$)
Opening balances	\$25,954.94	\$28,706.16
Additions		
Dividend and interest income	3,983.75	6,208.47
Total additions	\$3,983.75	\$6,208.47
Subtractions		
Professional management fees and related services	0.00	-2,792.84
Funds withdrawn for investments bought	-3,980.62	-6,163.72
Total subtractions	-\$3,980.62	-\$8,956.56
Net cash flow	\$3.13	-\$2,748.09
Closing balances	\$25,958.07	\$25,958.07

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2011 (\$)	Year to date (\$)
Dividends	3,983.75	6,053.81
Total current year	\$3,983.75	\$6,053.81
Prior year adjustment	0.00	154.66
Total dividend & interest	\$3,983.75	\$6,208.47

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	December 2011 (\$)	Year to date (\$)	
Short term	0.00	0.00	-14.28
Long term	0.00	0.00	-1,141.19
Total	\$0.00	\$0.00	-\$1,155.47



UBS Strategic Advisor
December 2011

Account name: RICHARD A. BERG
Account type: Simplified Employee Pension
Account number: [REDACTED]

Your Financial Advisor:
[REDACTED]

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 30 (\$)	Price per share on Dec 30 (\$)	Average rate	Dividend/Interest period	Days in period
UBS LIQUID ASSETS FUND	25,954.94	25,958.07	1.00	0.14%	Nov 23 to Dec 22	30

Equities

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ALLIANZ NFJ DIVIDEND VALUE FUND CLASS A									
Symbol: PNEAX									
Trade date: Dec 30, 05	1,674.129	14.230	23,822.86	23,822.86	11.370	19,034.84	-4,788.02		LT
Total reinvested	600.352	12.389		7,438.19	11.370	6,826.00	-612.19		
EAI: \$730 Current yield: 2.82%									
Security total	2,274.481	13.744	23,822.86	31,261.05		25,860.84	-5,400.21	2,037.98	
AMERICAN FUNDS SMALLCAP WORLD FUND CLASS F									
Symbol: SCWFX									
Trade date: Aug 21, 03	351.339	22.770	8,000.00	8,000.00	32.890	11,555.54	3,555.54		LT
Trade date: May 24, 04	97.269	26.730	2,600.00	2,600.00	32.890	3,199.18	599.18		LT
Trade date: Nov 9, 04	137.979	28.990	4,000.01	4,000.01	32.890	4,538.12	538.12		LT

continued next page



Account name: RICHARD A BERG
Account number: [REDACTED]

Your assets, Equities, Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Jan 26, 06	213.618	37.450	8,000.00	8,000.00	32.890	7,025.90	-974.10		LT
Total reinvested	255.754	38.692		9,895.70	32.890	8,411.75	-1,483.95		
EAI: \$115 Current yield: 0.33%									
Security total	1,055.959	30.774	22,600.01	32,495.71		34,730.49	2,234.79	12,130.49	
AMERICAN FUNDS GROWTH FUND OF AMERICA CLASS F									
Symbol: GFAFX									
Trade date: Aug 21, 03	407.424	22.090	9,000.00	9,000.00	28.560	11,636.02	2,636.02		LT
Trade date: May 24, 04	121.803	24.630	3,000.00	3,000.00	28.560	3,478.69	478.69		LT
Total reinvested	95.753	30.970		2,965.53	28.560	2,734.70	-230.83		
EAI: \$135 Current yield: 0.76%									
Security total	624.980	23.946	12,000.00	14,965.53		17,849.42	2,883.88	5,849.41	
FIRST EAGLE OVERSEAS FUND CLASS A									
Symbol: SGOVX									
Trade date: Oct 1, 03	413.223	16.940	7,000.00	7,000.00	20.360	8,413.22	1,413.22		LT
Trade date: Mar 12, 04	79.915	18.770	1,500.00	1,500.00	20.360	1,627.07	127.07		LT
Trade date: May 24, 04	161.551	18.570	3,000.00	3,000.00	20.360	3,289.18	289.18		LT
Trade date: Nov 9, 04	193.611	20.660	4,000.00	4,000.00	20.360	3,941.92	-58.08		LT
Trade date: Jan 26, 06	415.455	24.070	10,000.00	10,000.00	20.360	8,458.66	-1,541.34		LT
Total reinvested	965.081	21.478		20,728.63	20.360	19,649.05	-1,079.58		
EAI: \$860 Current yield: 1.90%									
Security total	2,228.835	20.741	25,500.00	46,228.63		45,379.10	-849.53	19,879.10	
ROYCE MICRO-CAP FUND									
Symbol: RYOTX									
Trade date: Sep 25, 03	728.863	13.720	10,000.00	10,000.00	14.540	10,597.67	597.67		LT
Total reinvested	652.384	15.141		9,878.02	14.540	9,485.66	-392.36		
EAI: \$279 Current yield: 1.39%									
Security total	1,381.247	14.391	10,000.00	19,878.02		20,083.33	205.31	10,083.33	
ROYCE OPPORTUNITY FUND									
Symbol: RYPNX									

continued next page



UBS Strategic Advisor
December 2011

Account name: RICHARD A BERG
Account type: Simplified Employee Pension
Account number: [REDACTED]

Your Financial Advisor:
[REDACTED]
[REDACTED]

Your assets • Equities • Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Mar 12, 04	117.832	12.730	1,500.00	1,500.00	10.320	1,216.02	-283.98		LT
Total reinvested	85.686	11.037		945.73	10.320	884.28	-61.45		
Security total	203.518	12.017	1,500.00	2,445.73		2,100.30	-345.43	600.30	
Total			\$95,422.87	\$147,274.67		\$146,003.48	-\$1,271.19	\$50,580.61	

Total estimated annual income: \$2,119

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
EATON VANCE INCOME FUND OF BOSTON CLASS A Symbol: EVIBX									
Trade date: Nov 21, 02	1,113.173	5.390	6,000.00	6,000.00	5.660	6,300.56	300.56		LT
Trade date: Mar 18, 03	892.857	5.600	5,000.00	5,000.00	5.660	5,053.57	53.57		LT
Total reinvested	2,245.052	5.766		12,945.40	5.660	12,706.99	-238.41		
EAI: \$1,892 Current yield: 7.86%									
Security total	4,251.082	5.633	11,000.00	23,945.40		24,061.12	115.72	13,061.12	

Rick Berg SEP-UBS

Generated On: 06/13/2012

Account # In View ██████████

UBS Financial Services Inc.

Filtered by: Entry Date 01/01/2011-12/01/2011, All Securities Transactions, All Cash Transactions, Money Market Excluded, Exclude Debit Card

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
12/01/11	12/01/11	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 11/30/11	MFEVIF-543H53	I	0.000	DIV	\$150.95
12/01/11	12/01/11	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 11/30/11	MFEVIF-543H53	I	27.052	REINVEST DIV	-\$150.95
11/25/11	11/25/11	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 11/23/11	MMLIRA-9194A1	I	0.000	DIVD INCOME	\$3.01
11/01/11	11/01/11	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 10/31/11	MFEVIF-543H53	I	0.000	DIV	\$154.98
11/01/11	11/01/11	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 10/31/11	MFEVIF-543H53	I	27.094	REINVEST DIV	-\$154.98
10/26/11	10/26/11	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 10/25/11	MMLIRA-9194A1	I	0.000	DIVD INCOME	\$3.06
10/24/11	10/24/11	FEE CHARGED	QUARTERLY FEE		I	0.000	Fee Charged	-\$634.69
10/03/11	10/03/11	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 09/30/11	MFEVIF-543H53	I	0.000	DIV	\$149.10
10/03/11	10/03/11	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 09/30/11	MFEVIF-543H53	I	27.358	REINVEST DIV	-\$149.10
09/27/11	09/27/11	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 09/26/11	MMLIRA-9194A1	I	0.000	DIVD INCOME	\$3.35
09/23/11	09/23/11	DIVIDEND	ALLIANZ NFJ DIVIDEND VALUE FUND CLASS A AS OF 09/22/11	MFPINQ-54QJ78	I	0.000	DIV	\$166.59
09/23/11	09/23/11	REINVESTMENT	ALLIANZ NFJ DIVIDEND VALUE FUND CLASS A AS OF 09/22/11	MFPINQ-54QJ78	I	16.560	REINVEST DIV	-\$166.59
09/01/11	09/01/11	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 08/31/11	MFEVIF-543H53	I	0.000	DIV	\$153.10
09/01/11	09/01/11	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 08/31/11	MFEVIF-543H53	I	27.145	REINVEST DIV	-\$153.10
08/26/11	08/26/11	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 08/25/11	MMLIRA-9194A1	I	0.000	DIVD INCOME	\$2.88
08/01/11	08/01/11	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 07/31/11	MFEVIF-543H53	I	0.000	DIV	\$155.57
08/01/11	08/01/11	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 07/31/11	MFEVIF-543H53	I	26.323	REINVEST DIV	-\$155.57
07/26/11	07/26/11	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 07/25/11	MMLIRA-9194A1	I	0.000	DIVD INCOME	\$2.94
07/22/11	07/22/11	BYPASS	Quarterly Fee		F	0.000	Fee Charged	\$733.36
07/22/11	07/22/11	BYPASS	Quarterly Fee		F	0.000	Fee Charged	-\$733.36
07/22/11	07/22/11	FEE CHARGED	QUARTERLY FEE		I	0.000	Fee Charged	-\$733.36
07/01/11	07/01/11	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 06/30/11	MFEVIF-543H53	I	0.000	DIV	\$149.66
07/01/11	07/01/11	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 06/30/11	MFEVIF-543H53	I	25.409	REINVEST DIV	-\$149.66
06/27/11	06/27/11	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 06/24/11	MMLIRA-9194A1	I	0.000	DIVD INCOME	\$3.48
06/17/11	06/17/11	DIVIDEND	ALLIANZ NFJ DIVIDEND VALUE FUND CLASS A AS OF 06/16/11	MFPINQ-54QJ78	I	0.000	DIV	\$206.85
06/17/11	06/17/11	REINVESTMENT	ALLIANZ NFJ DIVIDEND VALUE FUND CLASS A AS OF 06/16/11	MFPINQ-54QJ78	I	17.832	REINVEST DIV	-\$206.85
06/01/11	06/01/11	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 05/31/11	MFEVIF-543H53	I	0.000	DIV	\$153.68
06/01/11	06/01/11	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 05/31/11	MFEVIF-543H53	I	25.742	REINVEST DIV	-\$153.68
05/25/11	05/25/11	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 05/24/11	MMLIRA-9194A1	I	0.000	DIVD INCOME	\$3.70
05/02/11	05/02/11	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 04/29/11	MFEVIF-543H53	I	0.000	DIV	\$147.84
05/02/11	05/02/11	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 04/29/11	MFEVIF-543H53	I	24.722	REINVEST DIV	-\$147.84
04/26/11	04/26/11	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 04/25/11	MMLIRA-9194A1	I	0.000	DIVD INCOME	\$4.69
04/21/11	04/21/11	BYPASS	Quarterly Fee		F	0.000	Fee Charged	\$727.63
04/21/11	04/21/11	BYPASS	Quarterly Fee		F	0.000	Fee Charged	-\$727.63
04/21/11	04/21/11	FEE CHARGED	QUARTERLY FEE		I	0.000	Fee Charged	-\$727.63
04/01/11	04/01/11	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 03/31/11	MFEVIF-543H53	I	0.000	DIV	\$151.63
			EATON VANCE INCOME FUND OF					

Entry Date	Settle Date	Activity	Symbol / Security#	Type	Quantity	Price/Detail	Amount
04/01/11	04/01/11	REINVESTMENT	BOSTON CLASS A AS OF 03/31/11	MFEVIF-543H53	1	25.570 REINVEST DIV	-\$151.63
03/28/11	03/28/11	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 03/25/11	MMLIRA-9194A1	1	DIVD INCOME	\$4.95
03/18/11	03/18/11	DIVIDEND	ALLIANZ NFJ DIVIDEND VALUE FUND CLASS A AS OF 03/17/11	MFPINQ-54QJ78	1	DIV	\$144.57
03/18/11	03/18/11	REINVESTMENT	ALLIANZ NFJ DIVIDEND VALUE FUND CLASS A AS OF 03/17/11	MFPINQ-54QJ78	1	REINVEST DIV	-\$144.57
03/01/11	03/01/11	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 02/28/11	MFEVIF-543H53	1	DIV	\$140.84
03/01/11	03/01/11	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 02/28/11	MFEVIF-543H53	1	REINVEST DIV	-\$140.84
02/23/11	02/23/11	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 02/22/11	MMLIRA-9194A1	1	DIVD INCOME	\$4.58
02/01/11	02/01/11	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 01/31/11	MFEVIF-543H53	1	DIV	\$154.89
02/01/11	02/01/11	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 01/31/11	MFEVIF-543H53	1	REINVEST DIV	-\$154.89
01/26/11	01/26/11	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 01/25/11	MMLIRA-9194A1	1	DIVD INCOME	\$4.12
01/24/11	01/24/11	BYPASS	Quarterly Fee	F	0.000	Fee Charged	\$697.16
01/24/11	01/24/11	BYPASS	Quarterly Fee	F	0.000	Fee Charged	-\$697.16
01/24/11	01/24/11	FEE CHARGED	QUARTERLY FEE	1	0.000	Fee Charged	-\$697.16
01/03/11	01/03/11	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 12/31/10	MFEVIF-543H53	1	DIV	\$153.80
01/03/11	01/03/11	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 12/31/10	MMLIRA-9194A1	1	DIVD INCOME	\$0.86
01/03/11	01/03/11	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 12/31/10	MFEVIF-543H53	1	REINVEST DIV	-\$153.80

² Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. Commission and fees for Equity trades are estimates, and may not match what is charged to the account. Fixed Income agency trades may not include commission and fees. Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some fees are not included intraday.

This report is for informational purposes only and may or may not include all holdings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The Firm's periodic account statements and official tax documents are the only official record of client accounts and are not superseded, replaced, or amended by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, for tax purposes or otherwise.



UBS Financial Services Inc.
51 Broadway
Fargo ND 58102-4933

CP22004797407 1211 X24 8D 0

Retirement Account

December 2011

Your Financial Advisor:

Visit our website:

www.ubs.com/financialservices

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate
Secondary - None selected

Your PACE investment objectives:

Your PACE return objective:

Income and capital appreciation

Your PACE risk profile:

Moderately aggressive

Account name: TRACY J MARTIN

SEP

Account type: Simplified Employee Pension

Account number: [REDACTED]

Value of your account

	on November 30 (\$)	on December 30 (\$)
Your assets	271,305.07	271,341.65
Your liabilities	0.00	0.00
Value of your account	\$271,305.07	\$271,341.65

Your account instructions

- UBS Financial Services Inc. is your custodian.

Change in the value of your account

	December 2011 (\$)	Year to date (\$)
Opening account value	\$271,305.07	\$311,227.76
Deposits, including investments transferred in	0.00	2.54
Withdrawals and fees, including investments transferred out	0.00	-5,346.75
Dividend and interest income	4,096.47	8,419.82
Change in market value	-4,059.89	-42,961.72
Closing account value	\$271,341.65	\$271,341.65

Member SIPC

CP220007004797407 PZ2000254115 00002 1211X24 005634759 0 8D 14

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Account name:
Account number:

TRACY J MARTIN

Cash activity summary

See the section *Account activity this month* for details. UBS Bank USA deposit account balances are included in the *opening and closing balances* value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See *Important information about your statement* at the end of this document for details about those balances.

	December 2011 (\$)	Year to date (\$)
Opening balances	\$0.00	\$8,765.36
<i>Additions</i>		
Deposits and other funds credited	0.00	2.54
Dividend and interest income	4,096.47	8,419.82
Proceeds from investment transactions	0.00	304,442.62
Total additions	\$4,096.47	\$312,864.98
<i>Subtractions</i>		
Professional management fees and related services	0.00	-5,202.08
Other funds debited	0.00	-144.67
Funds withdrawn for investments bought	-4,096.47	-316,283.59
Total subtractions	-\$4,096.47	-\$321,630.34
Net cash flow	\$0.00	-\$8,765.36
Closing balances	\$0.00	\$0.00

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2011 (\$)	Year to date (\$)
Dividends	4,096.47	8,419.82
Total current year	\$4,096.47	\$8,419.82
Total dividend & interest	\$4,096.47	\$8,419.82

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	December 2011 (\$)	Year to date (\$)	
Short term	0.00	-10,352.99	6,938.03
Long term	0.00	-4,799.68	0.00
Total	\$0.00	-\$15,152.67	\$6,938.03

Withholdings and tax summary

	December 2011 (\$)	Year to date (\$)
Foreign taxes paid	0.00	-142.13



Retirement Account
December 2011

Account name: TRACY J MARTIN
Account type: Simplified Employee Pension
Account number: [REDACTED]

Your Financial Advisor:
[REDACTED]

Your PACE assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. Gains and losses for zero-coupon investments are not shown. See important information about your statement at the end of this document for more information.

Investment return is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested.

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss is the difference between the current value and the cost basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Cash alternatives

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
PACE MONEY MARKET INVESTMENTS FUND CLASS P									
Trade date: Sep 26, 11	4,596.810	1.000	4,596.81	4,596.81	1.000	4,596.81			ST
Total reinvested	0.020	1.000		0.02	1.000	0.02			
Security total	4,596.830	1.000	4,596.81	4,596.83		4,596.83		0.02	

Equities

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
PACE GLOBAL REAL ESTATE SECURITIES INVESTMENTS CLASS P									
Trade date: Sep 26, 11	1,506.024	4.980	7,500.00	7,500.00	5.140	7,740.96	240.96		ST
Total reinvested	44.480	4.939		219.73	5.140	228.63	8.90		
EAI: \$623 Current yield: 7.82%									
Security total	1,550.504	4.979	7,500.00	7,719.73		7,969.59	249.86	469.59	
PACE INTERNATIONAL EMERGING MARKETS EQUITY INVESTMENTS CLASS P									

continued next page



Account name: TRACY J MARTIN
Account number: [REDACTED]

Your PACE assets • Equities (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Sep 26, 11	945.250	11.020	10,416.66	10,416.66	11.410	10,785.30	368.64		ST
Total reinvested	21.427	11.019		236.12	11.410	244.48	8.36		
Security total	966.677	11.020	10,416.66	10,652.78		11,029.78	377.00	613.12	
PACE INTERNATIONAL EQUITY INVESTMENTS CLASS P									
Trade date: Sep 26, 11	2,616.057	10.950	28,645.82	28,645.82	11.140	29,142.87	497.05		ST
Total reinvested	89.907	10.670		959.31	11.140	1,001.56	42.25		
EAI: \$671 Current yield: 2.23%									
Security total	2,705.964	10.941	28,645.82	29,605.13		30,144.43	539.30	1,498.61	
PACE LARGE CO GROWTH EQUITY INVESTMENTS CLASS P									
Trade date: Sep 26, 11	1,715.793	17.090	29,322.90	29,322.90	17.860	30,644.05	1,321.15		ST
Total reinvested	4.394	17.259		75.84	17.860	78.48	2.64		
EAI: \$50 Current yield: 0.16%									
Security total	1,720.187	17.090	29,322.90	29,398.74		30,722.53	1,323.79	1,399.63	
PACE LARGE CO VALUE EQUITY INVESTMENTS CLASS P									
Trade date: Sep 26, 11	2,648.304	14.750	39,062.48	39,062.48	16.090	42,611.20	3,548.72		ST
Total reinvested	44.673	15.360		686.18	16.090	718.79	32.61		
EAI: \$468 Current yield: 1.08%									
Security total	2,692.977	14.760	39,062.48	39,748.66		43,329.99	3,581.33	4,267.51	
PACE SMALL / MEDIUM CO GROWTH EQUITY INVESTMENTS CLASS P									
Trade date: Sep 26, 11	707.654	14.720	10,416.66	10,416.66	15.650	11,074.78	658.12	658.12	SI
PACE SMALL / MEDIUM CO VALUE EQUITY INVESTMENTS CLASS P									
Trade date: Sep 26, 11	918.901	14.170	13,020.83	13,020.83	15.860	14,573.76	1,552.93		ST
Total reinvested	0.201	15.074		3.03	15.860	3.19	0.16		

continued next page



Retirement Account
December 2011

Account name: TRACY J MARTIN
Account type: Simplified Employee Pension
Account number: [REDACTED]

Your Financial Advisor:
[REDACTED]

Your PACE assets • **Equities** (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
EAI: \$6 Current yield: 0.04%									
Security total	919.102	14.170	13,020.83	13,023.86		14,576.95	1,553.09	1,556.12	
PACE portfolio total			\$138,385.35	\$140,565.56		\$148,848.05	\$8,282.49	\$10,462.70	
Total estimated annual income: \$1,818									

Fixed Income

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
PACE GOVERNMENT SECURITIES FIXED INCOME INVESTMENTS CLASS P									
Trade date: Sep 26, 11	1,337.955	13.430	17,968.74	17,968.74	13.320	17,821.56	-147.18		ST
Total reinvested	17.462	13.288		232.05	13.320	232.59	0.54		
EAI: \$577 Current yield: 3.20%									
Security total	1,355.417	13.428	17,968.74	18,200.79		18,054.15	-146.64	85.41	
PACE HIGH YIELD INVESTMENTS CLASS P									
Trade date: Sep 26, 11	1,564.676	9.570	14,973.95	14,973.95	9.640	15,083.47	109.52		ST
Total reinvested	47.306	9.615		454.89	9.640	456.03	1.14		
EAI: \$1,243 Current yield: 8.00%									
Security total	1,611.982	9.571	14,973.95	15,428.84		15,539.50	110.66	565.55	
PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS P									
Trade date: Sep 26, 11	1,726.041	12.070	20,833.32	20,833.32	11.250	19,417.96	-1,415.36		ST
Total reinvested	93.624	11.290		1,057.07	11.250	1,053.27	-3.80		
EAI: \$1,558 Current yield: 7.61%									
Security total	1,819.665	12.030	20,833.32	21,890.39		20,471.23	-1,419.16	-362.09	
PACE STRATEGIC FIXED INCOME INVESTMENTS CLASS P									

continued next page



Account name: TRACY J MARTIN
Account number: [REDACTED]

Your PACE assets • Fixed income (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Sep 26, 11	1,440.714	14.840	21,380.19	21,380.19	14.560	20,976.79	-403.40		ST
Total reinvested	46.414	14.529		674.38	14.560	675.79	1.41		
EAI: \$870 Current yield: 4.02%									
Security total	1,487.128	14.830	21,380.19	22,054.57		21,652.58	-401.99	272.39	
PACE portfolio total			\$75,156.20	\$77,374.59		\$75,717.46	-\$1,857.13	\$561.26	
Total estimated annual income: \$4,248									

Alternative strategies

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
PACE ALTERNATIVE STRATEGIES INVESTMENTS CLASS P									
Trade date: Sep 26, 11	4,660.698	8.940	41,666.64	41,666.64	9.050	42,179.31	512.67	512.67	ST
EAI: \$513 Current yield: 1.22%									

Your total assets

		Value on Dec 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash alternatives	PACE	4,596.83	1.69%	4,596.83		
Equities	PACE	146,848.05	54.86%	140,565.56	1,818.00	8,282.49
Fixed income	PACE	75,717.46	27.91%	77,574.59	4,248.00	-1,857.13
Alternative strategies	PACE	42,179.31	15.54%	41,666.64	513.00	512.67
Total		\$271,341.65	100.00%	\$264,403.62	\$6,579.00	\$6,938.03



Retirement Account
December 2011

Account name: TRACY J MARTIN
Account type: Simplified Employee Pension
Account number: [REDACTED]

Your Financial Advisor:
[REDACTED]

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 27	Reinvestment	PACE STRATEGIC FIXED INCOME INVESTMENTS CLASS P LT CAP GAINS REINVESTED AT 14.49 NAV ON 12/22/11 AS OF 12/22/11		17.258		-250.07	
Dec 27	St Cap Gain	PACE HIGH YIELD INVESTMENTS CLASS P SHORT TERM CAPITAL GAIN AS OF 12/22/11				21.71	
Dec 27	Dividend	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 12/22/11				91.62	
Dec 27	Lt Cap Gain	PACE HIGH YIELD INVESTMENTS CLASS P LONG TERM CAPITAL GAIN AS OF 12/22/11				144.87	
Dec 27	Reinvestment	PACE HIGH YIELD INVESTMENTS CLASS P ST CAP GAINS REINVESTED AT 9.59 NAV ON 12/22/11 AS OF 12/22/11		2.264		-21.71	
Dec 27	Reinvestment	PACE HIGH YIELD INVESTMENTS CLASS P DIVIDEND REINVESTED AT 9.59 NAV ON 12/22/11 AS OF 12/22/11		9.554		-91.62	
Dec 27	Reinvestment	PACE HIGH YIELD INVESTMENTS CLASS P LT CAP GAINS REINVESTED AT 9.59 NAV ON 12/22/11 AS OF 12/22/11		15.106		-144.87	
Dec 30		Closing cash and money balance					\$0.00
		Funds used for investment transactions					-\$4,096.47



Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more

Prior month or year activity adjustments

Gain and loss details presented here reflect changes to previously reported gains and losses, resulting from a trade cancellation, wash sale transaction or an update to cost basis. If the adjustment is a result of a wash

information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

sale transaction, the amount of the disallowed loss has been added to the cost basis of the securities of the same issuer that you held subsequent to the wash sale.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CITIGROUP INC	Adjustment	0.600	Mar 31, 11	May 09, 11	26.73	25.29			1.44

Generated On: 06/13/2012

Account # in View: [REDACTED]

UBS Financial Services Inc.

Tracy Martin - SEP-UBS

Filtered by: Entry Date 01/01/2011-12/31/2011, All Securities Transactions, All Cash Transactions, Money Market Excluded, Exclude Debit Card

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
12/27/11	12/27/11	DIVIDEND	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 12/22/11	MFPCGU-3A0M92	1	0.000	DIV	\$44.80
12/27/11	12/27/11	DIVIDEND	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 12/22/11	MFPCGJ-3A0M76	1	0.000	DIV	\$957.19
12/27/11	12/27/11	DIVIDEND	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 12/22/11	MFPCHQ-3A0N14	1	0.000	DIV	\$91.62
12/27/11	12/27/11	DIVIDEND	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 12/22/11	MFPCSJ-3A0NX3	1	0.000	DIV	\$174.77
12/27/11	12/27/11	LT CAP GAIN	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 12/22/11	MFPCGU-3A0M92	1	0.000	LTG	\$25.02
12/27/11	12/27/11	LT CAP GAIN	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 12/22/11	MFPCSJ-3A0NX3	1	0.000	LTG	\$250.07
12/27/11	12/27/11	LT CAP GAIN	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 12/22/11	MFPCHQ-3A0N14	1	0.000	LTG	\$144.87
12/27/11	12/27/11	REINVESTMENT	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 12/22/11	MFPCHQ-3A0N14	1	2.264	REINVEST SCG	-\$21.71
12/27/11	12/27/11	REINVESTMENT	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 12/22/11	MFPCGJ-3A0M76	1	85.311	REINVEST DIV	-\$957.19
12/27/11	12/27/11	REINVESTMENT	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 12/22/11	MFPCHQ-3A0N14	1	15.106	REINVEST RIV	-\$144.87
12/27/11	12/27/11	REINVESTMENT	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 12/22/11	MFPCHQ-3A0N14	1	9.554	REINVEST DIV	-\$91.62
12/27/11	12/27/11	REINVESTMENT	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 12/22/11	MFPCSJ-3A0NX3	1	17.258	REINVEST RIV	-\$250.07
12/27/11	12/27/11	REINVESTMENT	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 12/22/11	MFPCSJ-3A0NX3	1	9.793	REINVEST SCG	-\$141.91
12/27/11	12/27/11	REINVESTMENT	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 12/22/11	MFPCSJ-3A0NX3	1	12.062	REINVEST DIV	-\$174.77
12/27/11	12/27/11	REINVESTMENT	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 12/22/11	MFPCGU-3A0M92	1	1.885	REINVEST RIV	-\$25.02
12/27/11	12/27/11	REINVESTMENT	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 12/22/11	MFPCGU-3A0M92	1	4.846	REINVEST SCG	-\$64.30
12/27/11	12/27/11	REINVESTMENT	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 12/22/11	MFPCGU-3A0M92	1	3.376	REINVEST DIV	-\$44.80
12/27/11	12/27/11	ST CAP GAIN	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 12/22/11	MFPCHQ-3A0N14	1	0.000	SCG	\$21.71
12/27/11	12/27/11	ST CAP GAIN	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 12/22/11	MFPCSJ-3A0NX3	1	0.000	SCG	\$141.91
12/27/11	12/27/11	ST CAP GAIN	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 12/22/11	MFPCGU-3A0M92	1	0.000	SCG	\$64.30
12/21/11	12/21/11	DIVIDEND	PACE INTERNATIONAL EQUITY INVESTMENTS AS OF 12/19/11	MFPCIH-3A0NH1	1	0.000	DIV	\$959.31
12/21/11	12/21/11	DIVIDEND	PACE GLOBAL REAL ESTATE SECURITIES INVESTMENTS AS OF 12/19/11	MFPCRQ-3A0N31	1	0.000	DIV	\$219.73
12/21/11	12/21/11	DIVIDEND	PACE INTERNATIONAL EMERGING MARKETS EQUITY AS OF 12/19/11	MFPCEN-3A0NF5	1	0.000	DIV	\$114.09
12/21/11	12/21/11	DIVIDEND	PACE SMALL / MEDIUM CO VALUE EQUITY AS OF 12/19/11	MFPCSW-3A0NV7	1	0.000	DIV	\$3.03
12/21/11	12/21/11	DIVIDEND	PACE LARGE CO VALUE EQUITY INVESTMENTS AS OF 12/19/11	MFPCLV-3A0NM9	1	0.000	DIV	\$686.18
12/21/11	12/21/11	DIVIDEND	PACE LARGE CO GROWTH EQUITY INVESTMENTS AS OF 12/19/11	MFPCLD-3A0NK2	1	0.000	DIV	\$75.84
12/21/11	12/21/11	LT CAP GAIN	PACE INTERNATIONAL EMERGING MARKETS EQUITY AS OF 12/19/11	MFPCEN-3A0NF5	1	0.000	LTG	\$122.03
12/21/11	12/21/11	REINVESTMENT	PACE INTERNATIONAL EMERGING MARKETS EQUITY AS OF 12/19/11	MFPCEN-3A0NF5	1	10.353	REINVEST DIV	-\$114.09
12/21/11	12/21/11	REINVESTMENT	PACE INTERNATIONAL EQUITY INVESTMENTS AS OF 12/19/11	MFPCIH-3A0NH1	1	89.907	REINVEST DIV	-\$959.31
12/21/11	12/21/11	REINVESTMENT	PACE INTERNATIONAL EMERGING MARKETS EQUITY AS OF 12/19/11	MFPCEN-3A0NF5	1	11.074	REINVEST RIV	-\$122.03
12/21/11	12/21/11	REINVESTMENT	PACE GLOBAL REAL ESTATE SECURITIES INVESTMENTS AS OF 12/19/11	MFPCRQ-3A0N31	1	44.480	REINVEST DIV	-\$219.73
12/21/11	12/21/11	REINVESTMENT	PACE SMALL / MEDIUM CO VALUE EQUITY AS OF 12/19/11	3A0NV5	1	0.201	REINVEST DIV	-\$3.03
12/21/11	12/21/11	REINVESTMENT	PACE LARGE CO VALUE EQUITY INVESTMENTS AS OF 12/19/11	MFPCLV-3A0NM9	1	44.673	REINVEST DIV	-\$686.18
			PACE LARGE CO GROWTH EQUITY	MFPCLD-				

Entry Date	Settle Date	Activity	[REDACTED]	Symbol / Security#	Type	Quantity	Price/Detail	Amount
12/21/11	12/21/11	REINVESTMENT	INVESTMENTS AS OF 12/19/11	3AONK2	1	4.394	REINVEST DIV	\$75.84
11/25/11	11/25/11	DIVIDEND	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 11/22/11	MFPCGU-3AOM92	1	0.000	DIV	\$48.97
11/25/11	11/25/11	DIVIDEND	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 11/22/11	MFPCGJ-3AOM76	1	0.000	DIV	\$49.14
11/25/11	11/25/11	DIVIDEND	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 11/22/11	MFPCHQ-3AON14	1	0.000	DIV	\$96.24
11/25/11	11/25/11	DIVIDEND	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 11/22/11	MFPCSJ-3AONX3	1	0.000	DIV	\$53.88
11/25/11	11/25/11	REINVESTMENT	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 11/22/11	MFPCHQ-3AON14	1	9.994	REINVEST DIV	-\$96.24
11/25/11	11/25/11	REINVESTMENT	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 11/22/11	MFPCSJ-3AONX3	1	3.633	REINVEST DIV	-\$53.88
11/25/11	11/25/11	REINVESTMENT	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 11/22/11	MFPCGU-3AOM92	1	3.679	REINVEST DIV	-\$48.97
11/25/11	11/25/11	REINVESTMENT	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 11/22/11	MFPCGJ-3AOM76	1	4.122	REINVEST DIV	-\$49.14
11/16/11	11/16/11	DIVIDEND	PACE MONEY MARKET INVESTMENTS FUND AS OF 11/15/11	MFPCMN-3AONP3	1	0.000	DIV	\$0.01
11/16/11	11/16/11	REINVESTMENT	PACE MONEY MARKET INVESTMENTS FUND CLASS P AS OF 11/15/11	3AONQ1	1	0.010	REINVEST DIV	-\$0.01
10/24/11	10/24/11	DIVIDEND	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 10/20/11	MFPCGU-3AOM92	1	0.000	DIV	\$48.97
10/24/11	10/24/11	DIVIDEND	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 10/20/11	MFPCHQ-3AON14	1	0.000	DIV	\$100.45
10/24/11	10/24/11	DIVIDEND	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 10/20/11	MFPCGJ-3AOM76	1	0.000	DIV	\$50.75
10/24/11	10/24/11	DIVIDEND	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 10/20/11	MFPCSJ-3AONX3	1	0.000	DIV	\$53.74
10/24/11	10/24/11	FEE CHARGED	QUARTERLY FEE		1	0.000	Fee Charged	-\$970.18
10/24/11	10/24/11	REFUND	PACE REBATE		1	0.000	Refund	\$6.90
10/24/11	10/24/11	REINVESTMENT	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 10/20/11	MFPCGU-3AOM92	1	3.676	REINVEST DIV	-\$48.97
10/24/11	10/24/11	REINVESTMENT	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 10/20/11	MFPCGJ-3AOM76	1	4.191	REINVEST DIV	-\$50.75
10/24/11	10/24/11	REINVESTMENT	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 10/20/11	MFPCHQ-3AON14	1	10.388	REINVEST DIV	-\$100.45
10/24/11	10/24/11	REINVESTMENT	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 10/20/11	MFPCSJ-3AONX3	1	3.668	REINVEST DIV	-\$53.74
10/24/11	10/25/11	SOLD	PACE MONEY MARKET INVESTMENTS FUND Trade#:55110 Blot:37	MFPCMN-3AONP3	1	-511.520	\$1.000	\$511.52
10/18/11	10/18/11	DIVIDEND	PACE MONEY MARKET INVESTMENTS FUND AS OF 10/17/11	MFPCMN-3AONP3	1	0.000	DIV	\$0.01
10/18/11	10/18/11	REINVESTMENT	PACE MONEY MARKET INVESTMENTS FUND CLASS P AS OF 10/17/11	3AONQ1	1	0.010	REINVEST DIV	-\$0.01
10/17/11	10/17/11	DIVIDEND	OCCIDENTAL PETROLEUM CORP PAID ON 46 AS OF 10/15/11	OCY-346497	1	0.000	DIV 46	\$21.16
10/14/11	10/14/11	DIVIDEND	MOTOROLA SOLUTIONS INC PAID ON 207	MSI-038MU0	1	0.000	DIV 207	\$45.54
10/07/11	10/07/11	DIVIDEND	MERCK & CO INC NEW COM PAID ON 126	MRK-283727	1	0.000	DIV 126	\$47.08
10/03/11	10/03/11	DIVIDEND	UNION PACIFIC CORP PAID ON 54	UNP-459106	1	0.000	DIV 54	\$25.65
10/03/11	10/03/11	DIVIDEND	HARTFORD FINCL SERVICES GROUP INC	HIG-1988E4	1	0.000	DIV 238	\$23.80
10/03/11	10/03/11	DIVIDEND	VIACOM INC NEW CL B PAID ON 281	VIAB-492604	1	0.000	DIV 281	\$70.25
10/03/11	10/03/11	FOREIGN DIVIDEND	CANADIAN NAT RESOURCES LTD CAD AS OF 10/01/11	CNQ-074331	1	0.000	DVF 206	\$17.64
09/30/11	09/30/11	BALANCE FORWARD	BALANCE FORWARD		1	0.000		\$15.80
09/30/11	09/30/11	FOREIGN DIVIDEND	INGERSOLL-RAND PLC PAID ON 140	IR-215E80	1	0.000	DVF 140	\$15.80
09/29/11	09/30/11	DIVIDEND	HESS CORP PAID ON 63	HES-208BR9	1	0.000	DIV 63	\$6.30
09/29/11	09/29/11	DIVIDEND	GOLDMAN SACHS GROUP INC PAID ON 59	GS-1864H1	1	0.000	DIV 59	\$20.65
09/28/11	09/29/11	FEE CHARGED	INITIAL FEE		1	0.000	Fee Charged	-\$53.51
09/27/11	09/27/11	DIVIDEND	UBS CASH RESERVES FUND AS OF 09/26/11	MMLIRC-9194B0	1	0.000	DIVD INCOME	\$0.06
09/26/11	09/27/11	BOUGHT	PACE INTERNATIONAL EQUITY INVESTMENTS Trade#:69608 Blot:37	MFPCIH-3AONH1	1	2,616.057	\$10.950	-\$28,645.82
09/26/11	09/27/11	BOUGHT	PACE INTERNATIONAL EMERGING MARKETS EQUITY Trade#:69602 Blot:37	MFPCEN-3AONF5	1	945.250	\$11.020	-\$10,416.66
09/26/11	09/27/11	BOUGHT	PACE GOVERNMENT SECURITIES FIXED INCOME	MFPCGU-3AOM92	1	1,337.955	\$13.430	-\$17,968.74

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
			Trade#:69604 Blot:37					
09/26/11	09/27/11	BOUGHT	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS Trade#:69598 Blot:37	MFPCGJ-3A0N76	1	1,726.041	\$12.070	-\$20,833.32
09/26/11	09/27/11	BOUGHT	PACE HIGH YIELD INVESTMENTS CLASS P Trade#:69603 Blot:37	MFPCHQ-3A0N14	1	1,564.676	\$9.570	-\$14,973.95
09/26/11	09/27/11	BOUGHT	PACE ALTERNATIVE STRATEGIES INVESTMENTS Trade#:69599 Blot:37	MFPCAQ-3A0NZ0	1	4,660.698	\$8.940	-\$41,666.64
09/26/11	09/27/11	BOUGHT	PACE STRATEGIC FIXED INCOME INVESTMENTS Trade#:69601 Blot:37	MFPCSJ-3A0NX3	1	1,440.714	\$14.840	-\$21,380.19
09/26/11	09/27/11	BOUGHT	PACE SMALL / MEDIUM CO VALUE EQUITY Trade#:69606 Blot:37	MFPSCW-3A0NV7	1	918.901	\$14.170	-\$13,020.83
09/26/11	09/27/11	BOUGHT	PACE SMALL / MEDIUM CO GROWTH EQUITY Trade#:69597 Blot:37	MFPSCS-3A0NT1	1	707.654	\$14.720	-\$10,416.66
09/26/11	09/27/11	BOUGHT	PACE MONEY MARKET INVESTMENTS FUND Trade#:69605 Blot:37	MFPCMN-3A0NP3	1	5,208.330	\$1.000	-\$5,208.33
09/26/11	09/27/11	BOUGHT	PACE LARGE CO VALUE EQUITY INVESTMENTS Trade#:69607 Blot:37	MFPCLW-3A0NM9	1	2,648.304	\$14.750	-\$39,062.48
09/26/11	09/27/11	BOUGHT	PACE LARGE CO GROWTH EQUITY INVESTMENTS Trade#:69596 Blot:37	MFPCLD-3A0NK2	1	1,715.793	\$17.090	-\$29,322.90
09/26/11	09/27/11	BOUGHT	PACE GLOBAL REAL ESTATE SECURITIES INVESTMENTS Trade#:69600 Blot:37	MFPGRQ-3A0N31	1	1,506.024	\$4.980	-\$7,500.00
09/26/11	09/27/11	REFUND	PRORATED ADVISORY FEE		1	0.000	Refund	\$109.56
09/22/11	09/22/11	DIVIDEND	HALLIBURTON CO (HOLDING COMPANY)	HAL-198463	1	0.000	DIV 74	\$6.66
09/22/11	09/27/11	SOLD	WELLS FARGO & CO NEW UNSOLICITED Trade#:67121 Blot:85	WFC-4971H5	1	-289.000	\$23.100	\$6,675.77
09/22/11	09/27/11	SOLD	CA INC UNSOLICITED Trade#:64089 Blot:85	CA-068BS5	1	-659.000	\$20.110	\$13,252.23
09/22/11	09/27/11	SOLD	BARRICK GOLD CORP CAD UNSOLICITED Trade#:67894 Blot:85	ABX-0439G4	1	-232.000	\$49.350	\$11,448.98
09/22/11	09/27/11	SOLD	VIACOM INC NEW CL B UNSOLICITED Trade#:67372 Blot:85	VIAB-492604	1	-281.000	\$43.860	\$12,324.42
09/22/11	09/27/11	SOLD	UNUM GROUP UNSOLICITED Trade#:67365 Blot:85	UNM-486345	1	-312.000	\$20.310	\$6,336.59
09/22/11	09/27/11	SOLD	HARTFORD FINCL SERVICES GROUP INC Trade#:67463 Blot:85	HIG-1988E4	1	-238.000	\$16.000	\$3,807.92
09/22/11	09/27/11	SOLD	HALLIBURTON CO (HOLDING COMPANY) Trade#:67231 Blot:85	HAL-198463	1	-74.000	\$32.950	\$2,438.25
09/22/11	09/27/11	SOLD	GOLDMAN SACHS GROUP INC UNSOLICITED Trade#:65591 Blot:85	GS-1864H1	1	-59.000	\$95.710	\$5,646.78
09/22/11	09/27/11	SOLD	GENWORTH FINANCIAL INC CL A Trade#:64372 Blot:85	GNW-1779R7	1	-603.000	\$5.040	\$3,039.06
09/22/11	09/27/11	SOLD	CISCO SYSTEMS INC UNSOLICITED Trade#:65390 Blot:85	CSCO-091430	1	-444.000	\$15.470	\$6,868.54
09/22/11	09/27/11	SOLD	GENERAL MOTORS CO UNSOLICITED Trade#:65579 Blot:85	GM-086123	1	-179.000	\$20.500	\$3,669.42
09/22/11	09/27/11	SOLD	CANADIAN NAT RESOURCES LTD CAD Trade#:72642 Blot:85	CNQ-074331	1	-206.000	\$29.750	\$6,128.38
09/22/11	09/27/11	SOLD	CVS CAREMARK CORP UNSOLICITED Trade#:65400 Blot:85	CVS-0731H1	1	-172.000	\$34.310	\$5,901.20
09/22/11	09/27/11	SOLD	RAYTHEON CO NEW UNSOLICITED Trade#:66971 Blot:85	RTN-3917Z4	1	-121.000	\$38.970	\$4,715.27
09/22/11	09/27/11	SOLD	PITNEY BOWES INC UNSOLICITED Trade#:64646 Blot:85	PBI-375004	1	-142.000	\$18.910	\$2,685.16
09/22/11	09/27/11	SOLD	PFIZER INC UNSOLICITED Trade#:66065 Blot:85	PFE-370011	1	-710.000	\$17.530	\$12,446.06
09/22/11	09/27/11	SOLD	PHILIP MORRIS INTL INC UNSOLICITED Trade#:67313 Blot:85	PM-364PM6	1	-77.000	\$65.500	\$5,043.40
09/22/11	09/27/11	SOLD	PACCAR INC UNSOLICITED Trade#:66048 Blot:85	PCAR-354643	1	-78.000	\$34.270	\$2,673.00
09/22/11	09/27/11	SOLD	OCCIDENTAL PETROLEUM CRP UNSOLICITED Trade#:66020 Blot:85	OXY-346497	1	-46.000	\$73.150	\$3,364.83
			NOBLE ENERGY INC UNSOLICITED					

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
09/22/11	09/27/11	SOLD	Trade#:66864 Blot:85	NBL-291FB5	1	-74.000	\$73.570	\$5,444.07
09/22/11	09/27/11	SOLD	METLIFE INC UNSOLICITED Trade#:66836 Blot:85	MET-2854U7	1	-246.000	\$27.960	\$6,878.02
09/22/11	09/27/11	SOLD	MERCK & CO INC NEW COM UNSOLICITED Trade#:64546 Blot:85	MRK-2837Z7	1	-126.000	\$31.150	\$3,924.82
09/22/11	09/27/11	SOLD	MICROSOFT CORP UNSOLICITED Trade#:64558 Blot:85	MSFT-270890	1	-244.000	\$25.300	\$6,173.08
09/22/11	09/27/11	SOLD	LOEWS CORP UNSOLICITED Trade#:65792 Blot:85	L-264130	1	-188.000	\$34.420	\$6,470.83
09/22/11	09/27/11	SOLD	LINCOLN NATL CORP IND UNSOLICITED Trade#:66814 Blot:85	LNC-261521	1	-151.000	\$15.550	\$2,348.00
09/22/11	09/27/11	SOLD	JPMORGAN CHASE & CO UNSOLICITED Trade#:65724 Blot:85	JPM-241JP2	1	-129.000	\$29.290	\$3,778.33
09/22/11	09/27/11	SOLD	INGERSOLL-RAND PLC UNSOLICITED Trade#:67726 Blot:85	IR-215EB0	1	-140.000	\$30.390	\$4,254.51
09/22/11	09/27/11	SOLD	HESS CORP UNSOLICITED Trade#:65628 Blot:85	HES-2088R9	1	-63.000	\$53.930	\$3,397.52
09/22/11	09/27/11	SOLD	CITIGROUP INC UNSOLICITED Trade#:65283 Blot:85	C-2049Z4	1	-263.000	\$24.550	\$6,456.52
09/22/11	09/27/11	SOLD	MOTOROLA SOLUTIONS INC UNSOLICITED Trade#:67601 Blot:85	MSI-038MU0	1	-207.000	\$41.930	\$8,679.34
09/22/11	09/27/11	SOLD	APACHE CORP UNSOLICITED Trade#:65119 Blot:85	APA-029131	1	-114.000	\$87.000	\$9,917.80
09/22/11	09/27/11	SOLD	ANGLOGOLD ASHANTI LTD NEW SPON ADR Trade#:65143 Blot:85	AU-0287B0	1	-284.000	\$44.950	\$12,765.55
09/22/11	09/27/11	SOLD	AMGEN INC UNSOLICITED Trade#:65081 Blot:85	AMGN-023825	1	-220.000	\$54.940	\$12,086.56
09/22/11	09/27/11	SOLD	ACN CORP UNSOLICITED Trade#:66563 Blot:85	AON-023701	1	-161.000	\$40.300	\$6,488.17
09/22/11	09/27/11	SOLD	TALISMAN ENERGY INC CAD UNSOLICITED Trade#:67879 Blot:85	TLM-4765A0	1	-416.000	\$12.880	\$5,357.97
09/22/11	09/27/11	SOLD	UNION PACIFIC CORP UNSOLICITED Trade#:66383 Blot:85	UNP-469106	1	-54.000	\$80.230	\$4,332.33
09/22/11	09/27/11	SOLD	TIME WARNER INC NEW UNSOLICITED Trade#:67075 Blot:85	TWX-4587M1	1	-193.000	\$28.790	\$5,556.36
09/22/11	09/27/11	SOLD	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR Trade#:66290 Blot:85	TEVA-456748	1	-152.000	\$36.000	\$5,471.89
09/22/11	09/27/11	SOLD	NRG ENERGY INC NEW UNSOLICITED Trade#:66885 Blot:85	NRG-445HB7	1	-160.000	\$21.740	\$3,478.33
09/22/11	09/27/11	SOLD	SANOI SPON ADR UNSOLICITED Trade#:66997 Blot:85	SNY-4082L9	1	-320.000	\$31.130	\$9,961.40
09/20/11	09/23/11	BOUGHT	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR Trade#:79060 Blot:85	TEVA-456748	1	48.000	\$36.864	-\$1,769.51
09/19/11	09/19/11	FOREIGN DIVIDEND	ANGLOGOLD ASHANTI LTD NEW SPON ADR	AU-0287B0	1	0.000	DVF 284	\$34.31
09/15/11	09/15/11	DIVIDEND	TIME WARNER INC NEW PAID ON 193	TWX-4587M1	1	0.000	DIV 193	\$45.36
09/15/11	09/15/11	FOREIGN DIVIDEND	BARRICK GOLD CORP CAD PAID ON 232	ABX-0439G4	1	0.000	DVF 232	\$27.84
09/14/11	09/14/11	DIVIDEND	LOEWS CORP PAID ON 188	L-264130	1	0.000	DIV 188	\$11.75
09/14/11	09/14/11	DIVIDEND	CA INC PAID ON 659	CA-068BS5	1	0.000	DIV 659	\$32.95
09/12/11	09/15/11	BOUGHT	PACCAR INC UNSOLICITED Trade#:64308 Blot:85	PCAR-354643	1	16.000	\$34.993	-\$559.90
09/12/11	09/12/11	DIVIDEND	PITNEY BOWES INC PAID ON 142	PBI-375004	1	0.000	DIV 142	\$52.54
09/09/11	09/14/11	BOUGHT	PACCAR INC UNSOLICITED Trade#:11402 Blot:85	PCAR-354643	1	46.000	\$35.490	-\$1,632.57
09/09/11	09/13/11	BOUGHT	CISCO SYSTEMS INC UNSOLICITED TRADE DATE: 09/08/2011 Trade#:62249 Blot:85	CSCO-091430	1	158.000	\$16.195	-\$2,558.87
09/08/11	09/13/11	BOUGHT	PACCAR INC UNSOLICITED Trade#:39358 Blot:85	PCAR-354643	1	16.000	\$36.576	-\$585.23
09/08/11	09/08/11	DIVIDEND	MICROSOFT CORP PAID ON 244	MSFT-270890	1	0.000	DIV 244	\$39.04
09/08/11	09/08/11	DIVIDEND	AMGEN INC PAID ON 220	AMGN-023825	1	0.000	DIV 220	\$61.60
09/08/11	09/08/11	FOREIGN TAX RECLAIM	SANOI SPON ADR AS OF 06/24/11	SNY-4082L9	1	0.000	REFRC2515942	\$2.54
09/06/11	09/06/11	DIVIDEND	PFIZER INC PAID ON 710	PFE-370011	1	0.000	DIV 710	\$142.00
09/01/11	09/01/11	DIVIDEND	WELLS FARGO & CO NEW PAID ON 289	WFC-4971H5	1	0.000	DIV 289	\$34.68
08/26/11	08/26/11	DIVIDEND	UBS CASH RESERVES FUND AS OF 08/25/11	MMLIRC- 919480	1	0.000	DIVD INCOME	\$0.02
08/26/11	08/26/11	DIVIDEND	CITIGROUP INC PAID ON 263	C-2049Z4	1	0.000	DIV 263	\$2.63

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
08/25/11	08/25/11	FOREIGN DIVIDEND	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR	TEVA-456748	1	0.000	DVF 104	\$24.02
08/25/11	08/25/11	FOREIGN TAX WITHHELD	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR	TEVA-456748	1	0.000	TAX 104	-\$3.60
08/22/11	08/22/11	DIVIDEND	NOBLE ENERGY INC PAID ON 74	NBL-291FBS	1	0.000	DIV 74	\$16.28
08/22/11	08/22/11	DIVIDEND	APACHE CORP PAID ON 114	APA-029131	1	0.000	DIV 114	\$17.10
08/19/11	08/19/11	DIVIDEND	UNUM GROUP PAID ON 312	UNM-486345	1	0.000	DIV 312	\$32.76
08/19/11	08/24/11	SOLD	LOCKHEED MARTIN CORP UNSOLICITED Trade#:92828 Blot:85	LMT-2639C1	1	-3.000	\$69.646	\$208.93
08/18/11	08/23/11	BOUGHT	RAYTHEON CO NEW UNSOLICITED Trade#:92442 Blot:85	RTN-391724	1	12.000	\$40.105	-\$481.26
08/18/11	08/23/11	SOLD	LOCKHEED MARTIN CORP UNSOLICITED Trade#:77006 Blot:85	LMT-2639C1	1	-6.000	\$69.075	\$414.44
08/17/11	08/22/11	BOUGHT	RAYTHEON CO NEW UNSOLICITED Trade#:87385 Blot:85	RTN-391724	1	8.000	\$41.369	-\$330.96
08/17/11	08/22/11	SOLD	LOCKHEED MARTIN CORP UNSOLICITED Trade#:91840 Blot:85	LMT-2639C1	1	-9.000	\$71.218	\$640.95
08/16/11	08/19/11	BOUGHT	RAYTHEON CO NEW UNSOLICITED Trade#:14525 Blot:85	RTN-391724	1	24.000	\$41.067	-\$985.62
08/16/11	08/19/11	SOLD	LOCKHEED MARTIN CORP UNSOLICITED Trade#:18312 Blot:85	LMT-2639C1	1	-20.000	\$70.873	\$1,417.44
08/15/11	08/18/11	BOUGHT	MICROSOFT CORP UNSOLICITED Trade#:16082 Blot:85	MSFT-270890	1	108.000	\$25.457	-\$2,749.38
08/15/11	08/15/11	DIVIDEND	AON CORP PAID ON 161	AON-023701	1	0.000	DIV 161	\$24.15
08/15/11	08/18/11	SOLD	MOTOROLA MOBILITY HOLDINGS Trade#:13974 Blot:85	MMI-038TH5	1	-298.000	\$38.303	\$11,414.25
08/12/11	08/17/11	BOUGHT	MOTOROLA SOLUTIONS INC UNSOLICITED Trade#:00854 Blot:85	MSI-038MU0	1	8.000	\$40.021	-\$320.18
08/11/11	08/11/11	DIVIDEND	RAYTHEON CO NEW PAID ON 77	RTN-391724	1	0.000	DIV 77	\$33.11
08/02/11	08/02/11	DIVIDEND	CVS CAREMARK CORP PAID ON 172	CVS-0731H1	1	0.000	DIV 172	\$21.50
08/01/11	08/01/11	DIVIDEND	LINCOLN NATL CORP IND PAID ON 151	LNC-261521	1	0.000	DIV 151	\$7.55
08/01/11	08/01/11	DIVIDEND	JPMORGAN CHASE & CO PAID ON 129 AS OF 07/31/11	JPM-241JP2	1	0.000	DIV 129	\$32.25
07/29/11	08/03/11	BOUGHT	TALISMAN ENERGY INC CAD UNSOLICITED Trade#:03612 Blot:85	TLM-4765A0	1	90.000	\$18.485	-\$1,663.70
07/27/11	07/27/11	DIVIDEND	CISCO SYSTEMS INC PAID ON 286	CSCO-091430	1	0.000	DIV 286	\$17.16
07/26/11	07/26/11	DIVIDEND	UBS CASH RESERVES FUND AS OF 07/25/11	HMLIRC-9194B0	1	0.000	DIVID INCOME	\$0.04
07/22/11	07/22/11	BYPASS	Quarterly Fee		F	0.000	Fee Charged	\$1,440.17
07/22/11	07/22/11	BYPASS	Quarterly Fee		F	0.000	Fee Charged	-\$1,440.17
07/22/11	07/22/11	FEE CHARGED	QUARTERLY FEE		1	0.000	Fee Charged	-\$1,440.17
07/22/11	07/27/11	SOLD	PITNEY BOWES INC UNSOLICITED Trade#:74809 Blot:85	PBI-375004	1	-16.000	\$22.401	\$358.41
07/21/11	07/26/11	SOLD	PITNEY BOWES INC UNSOLICITED Trade#:82248 Blot:85	PBI-375004	1	-20.000	\$22.456	\$449.12
07/20/11	07/25/11	SOLD	PITNEY BOWES INC UNSOLICITED Trade#:17467 Blot:85	PBI-375004	1	-4.000	\$22.385	\$89.53
07/15/11	07/15/11	DIVIDEND	OCCIDENTAL PETROLEUM CRP PAID ON 69	OXY-346497	1	0.000	DIV 69	\$31.74
07/13/11	07/18/11	SOLD	LOCKHEED MARTIN CORP UNSOLICITED Trade#:52262 Blot:85	LMT-2639C1	1	-6.000	\$80.313	\$481.87
07/11/11	07/11/11	DIVIDEND	PHILIP MORRIS INTL INC PAID ON 77	PM-364PM6	1	0.000	DIV 77	\$49.28
07/08/11	07/08/11	DIVIDEND	MERCK & CO INC NEW COM PAID ON 126	MRK-283727	1	0.000	DIV 126	\$47.88
07/08/11	07/13/11	SOLD	LOCKHEED MARTIN CORP UNSOLICITED Trade#:11802 Blot:85	LMT-2639C1	1	-4.000	\$80.860	\$323.43
07/07/11	07/07/11	FOREIGN DIVIDEND	CANADIAN NAT RESOURCES LTD CAD AS OF 07/01/11	CNQ-074331	1	0.000	DVF 206	\$19.25
07/07/11	07/12/11	SOLD	LOCKHEED MARTIN CORP UNSOLICITED Trade#:29231 Blot:85	LMT-2639C1	1	-4.000	\$81.524	\$326.09
07/01/11	07/07/11	BOUGHT	GOLDMAN SACHS GROUP INC UNSOLICITED Trade#:55971 Blot:85	GS-1854H1	1	12.000	\$135.884	-\$1,630.62
07/01/11	07/01/11	DIVIDEND	VIACOM INC NEW CL B PAID ON 281	VIAB-492604	1	0.000	DIV 281	\$70.25
07/01/11	07/01/11	DIVIDEND	UNION PACIFIC CORP PAID ON 54	UNP-469106	1	0.000	DIV 54	\$25.65
07/01/11	07/01/11	DIVIDEND	HARTFORD FINCL SERVICES GROUP INC	HIG-1988E4	1	0.000	DIV 238	\$23.80
06/30/11	06/30/11	BALANCE FORWARD	BALANCE FORWARD		1	0.000		\$52.53
06/30/11	07/06/11	BOUGHT	TALISMAN ENERGY INC CAD UNSOLICITED Trade#:89369 Blot:85	TLM-4765A0	1	20.000	\$20.340	-\$406.81
06/30/11	06/30/11	FOREIGN DIVIDEND	INGERSOLL-RAND PLC PAID ON 140	IR-215E80	1	0.000	DVF 140	\$16.80
06/30/11	06/30/11	FOREIGN DIVIDEND	TALISMAN ENERGY INC CAD PAID ON 262	TLM-4765A0	1	0.000	DVF 262	\$35.73

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
06/30/11	07/06/11	SOLD	OCCIDENTAL PETROLEUM CRP UNSOLICITED Trade#:94195 Blot:85	OXY-346497	1	-23.000	\$103.999	\$2,391.93
06/29/11	07/05/11	BOUGHT	TALISMAN ENERGY INC CAD UNSOLICITED Trade#:11786 Blot:85	TLM-4765A0	1	44.000	\$19.811	-\$871.69
06/29/11	06/29/11	DIVIDEND	GOLDMAN SACHS GROUP INC PAID ON 47	GS-1864H1	1	0.000	DIV 47	\$16.45
06/29/11	06/30/11	DIVIDEND	HESS CORP PAID ON 63	HES-208BR9	1	0.000	DIV 63	\$6.30
06/29/11	06/29/11	FOREIGN DIVIDEND	SANOFI SPON ADR PAID ON 320 AS OF 06/24/11	SNY-4082L9	1	0.000	320	\$564.28
06/29/11	06/29/11	FOREIGN TAX WITHHELD	SANOFI SPON ADR AS OF 06/24/11	SNY-4082L9	1	0.000	.44084	-\$141.07
06/24/11	06/24/11	DIVIDEND	LOCKHEED MARTIN CORP PAID ON 66	LMT-2639C1	1	0.000	DIV 66	\$49.50
06/23/11	06/28/11	BOUGHT	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR Trade#:67119 Blot:85	TEVA-456748	1	8.000	\$47.246	-\$377.97
06/22/11	06/27/11	BOUGHT	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR Trade#:70836 Blot:85	TEVA-456748	1	12.000	\$47.640	-\$571.69
06/22/11	06/24/11	CANCEL SELL	KROGER COMPANY UNSOLICITED TRADE DATE: 06/21/2011 Trade#:82747 Blot:85	KR-252301 *	1	48.000	\$24.543	-\$1,178.06
06/22/11	06/22/11	DIVIDEND	HALLIBURTON CO (HOLDING COMPANY)	HAL-198463	1	0.000	DIV 74	\$6.66
06/22/11	06/27/11	SOLD	KROGER COMPANY UNSOLICITED Trade#:56471 Blot:85	KR-252301	1	-58.000	\$24.584	\$1,425.85
06/22/11	06/24/11	SOLD	KROGER COMPANY UNSOLICITED TRADE DATE: 06/21/2011 Trade#:83621 Blot:85	KR-252301	1	-48.000	\$24.536	\$1,177.72
06/21/11	06/24/11	BOUGHT	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR Trade#:80448 Blot:85	TEVA-456748	1	22.000	\$47.548	-\$1,046.07
06/21/11	06/24/11	SOLD	KROGER COMPANY UNSOLICITED Trade#:82747 Blot:85	KR-252301	1	-48.000	\$24.543	\$1,178.06
06/17/11	06/17/11	DIVIDEND	CITIGROUP INC PAID ON 231	C-2049Z4	1	0.000	DIV 231	\$2.31
06/16/11	06/16/11	DIVIDEND	CA INC PAID ON 659	CA-068BS5	1	0.000	DIV 659	\$32.95
06/15/11	06/15/11	DIVIDEND	TIME WARNER INC NEW PAID ON 193	TWX-4587M1	1	0.000	DIV 193	\$45.36
06/15/11	06/15/11	FOREIGN DIVIDEND	BARRICK GOLD CORP CAD PAID ON 232	ABX-0439G4	1	0.000	DVF 232	\$27.84
06/14/11	06/14/11	DIVIDEND	LOEWS CORP PAID ON 188	L-264130	1	0.000	DIV 188	\$11.75
06/14/11	06/17/11	SOLD	AON CORP UNSOLICITED Trade#:87901 Blot:85	AON-023701	1	-14.000	\$51.089	\$715.23
06/13/11	06/13/11	DIVIDEND	PITNEY BOWES INC PAID ON 182 AS OF 06/12/11	PBI-375004	1	0.000	DIV 182	\$67.34
06/09/11	06/09/11	DIVIDEND	MICROSOFT CORP PAID ON 136	MSFT-270890	1	0.000	DIV 136	\$21.76
06/09/11	06/14/11	SOLD	AON CORP Trade#:34784 Blot:85	AON-023701	1	-22.000	\$51.117	\$1,124.54
06/08/11	06/13/11	BOUGHT	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR Trade#:52922 Blot:85	TEVA-456748	1	42.000	\$49.727	-\$2,088.56
06/08/11	06/13/11	SOLD	LOCKHEED MARTIN CORP Trade#:55867 Blot:85	LMT-2639C1	1	-14.000	\$77.167	\$1,080.32
06/07/11	06/10/11	BOUGHT	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR Trade#:84293 Blot:85	TEVA-456748	1	20.000	\$49.667	-\$993.35
06/07/11	06/07/11	DIVIDEND	PFIZER INC PAID ON 750	PFE-370011	1	0.000	DIV 750	\$150.00
06/06/11	06/09/11	SOLD	PFIZER INC Trade#:69107 Blot:85	PFE-370011	1	-40.000	\$20.760	\$830.41
06/06/11	06/09/11	SOLD	INGERSOLL-RAND PLC Trade#:69103 Blot:85	IR-215EB0	1	-24.000	\$46.261	\$1,110.25
06/06/11	06/09/11	SOLD	APACHE CORP Trade#:68942 Blot:85	APA-029131	1	-2.000	\$117.362	\$234.71
06/01/11	06/06/11	BOUGHT	CITIGROUP INC Trade#:61626 Blot:85	C-2049Z4	1	32.000	\$40.182	-\$1,285.84
06/01/11	06/01/11	DIVIDEND	WELLS FARGO & CO NEW PAID ON 289	WFC-4971H5	1	0.000	DIV 289	\$34.68
06/01/11	06/01/11	DIVIDEND	KROGER COMPANY PAID ON 106	KR-252301	1	0.000	DIV 106	\$11.13
05/31/11	05/31/11	BALANCE FORWARD	BALANCE FORWARD		1	0.000		\$500.50
05/27/11	06/02/11	SOLD	VIACOM INC NEW CL B Trade#:89253 Blot:85	VIAB-492604	1	-6.000	\$50.059	\$300.34
05/26/11	06/01/11	SOLD	VIACOM INC NEW CL B Trade#:30761 Blot:85	VIAB-492604	1	-22.000	\$49.997	\$1,099.91
05/25/11	05/25/11	DIVIDEND	UBS CASH RESERVES FUND AS OF 05/24/11	MMLIRC- 919480	1	0.000	DIVD INCOME	\$0.03

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
05/25/11	05/31/11	SOLD	VIACOM INC NEW CL B Trade#:66937 Blot:85	VIAB-492604	1	-10.000	\$50.181	\$501.81
05/25/11	05/31/11	SOLD	NRG ENERGY INC NEW Trade#:64470 Blot:85	NRG-445HB7	1	-4.000	\$24.675	\$98.69
05/24/11	05/26/11	BOUGHT	GOLDMAN SACHS GROUP INC TRADE DATE: 05/23/2011 Trade#:35791 Blot:85	GS-1864H1	1	8.000	\$136.745	-\$1,093.96
05/23/11	05/23/11	DIVIDEND	NOBLE ENERGY INC PAID ON 74	NBL-291FB5	1	0.000	DIV 74	\$13.32
05/23/11	05/23/11	DIVIDEND	APACHE CORP PAID ON 116	APA-029131	1	0.000	DIV 116	\$17.40
05/20/11	05/20/11	DIVIDEND	UNUM GROUP PAID ON 312	UNM-486345	1	0.000	DIV 312	\$28.86
05/16/11	05/19/11	BOUGHT	CISCO SYSTEMS INC Trade#:51528 Blot:85	CSCO-091430	1	38.000	\$16.801	-\$638.47
05/16/11	05/16/11	DIVIDEND	AON CORP PAID ON 197	AON-023701	1	0.000	DIV 197	\$29.55
05/13/11	05/18/11	BOUGHT	CITIGROUP INC Trade#:72405 Blot:85	C-204924	1	8.000	\$41.888	-\$335.11
05/13/11	05/18/11	BOUGHT	CISCO SYSTEMS INC Trade#:98149 Blot:85	CSCO-091430	1	36.000	\$16.861	-\$607.02
05/12/11	05/17/11	BOUGHT	CITIGROUP INC Trade#:87027 Blot:85	C-204924	1	20.000	\$41.999	-\$839.99
05/12/11	05/17/11	BOUGHT	CISCO SYSTEMS INC Trade#:92633 Blot:85	CSCO-091430	1	212.000	\$16.862	-\$3,574.79
05/09/11	05/09/11	CASH IN LIEU	CITIGROUP INC AS OF 05/06/11	C-204924	1	0.000	CILRI1294721	\$26.73
05/06/11	05/11/11	BOUGHT	GOLDMAN SACHS GROUP INC Trade#:95373 Blot:85	GS-1864H1	1	4.000	\$149.985	-\$599.94
05/06/11	05/06/11	EXCHANGE	CITIGROUP INC	204924	1	203.000	EXCHANGE	\$0.00
05/06/11	05/06/11	EXCHANGE	CITIGROUP INC	C-204514	1	-2,036.000	EXCHANGE	\$0.00
05/03/11	05/03/11	DIVIDEND	CVS CAREMARK CORP PAID ON 172	CVS-0731H1	1	0.000	DIV 172	\$21.50
05/02/11	05/05/11	BOUGHT	WELLS FARGO & CO NEW Trade#:77975 Blot:85	WFC-4971H5	1	58.000	\$29.107	-\$1,688.21
05/02/11	05/02/11	DIVIDEND	JPMORGAN CHASE & CO PAID ON 129 AS OF 04/30/11	JPM-241JP2	1	0.000	DIV 129	\$32.25
05/02/11	05/02/11	DIVIDEND	LINCOLN NATL CORP IND PAID ON 151 AS OF 05/01/11	LNC-261521	1	0.000	DIV 151	\$7.55
04/29/11	04/29/11	BALANCE FORWARD	BALANCE FORWARD		1	0.000		\$2,432.19
04/29/11	05/04/11	BOUGHT	GOLDMAN SACHS GROUP INC Trade#:22830 Blot:85	GS-1864H1	1	4.000	\$149.833	-\$599.33
04/29/11	05/04/11	SOLD	BARRICK GOLD CORP CAD Trade#:04797 Blot:85	ABX-0439G4	1	-16.000	\$51.040	\$816.63
04/29/11	05/04/11	SOLD	PITNEY BOWES INC Trade#:16708 Blot:85	PBI-375004	1	-12.000	\$24.788	\$297.45
04/28/11	04/28/11	DIVIDEND	RAYTHEON CO NEW PAID ON 77	RTN-3917Z4	1	0.000	DIV 77	\$33.11
04/28/11	05/03/11	SOLD	PITNEY BOWES INC Trade#:25429 Blot:85	PBI-375004	1	-10.000	\$26.218	\$262.17
04/28/11	05/03/11	SOLD	BARRICK GOLD CORP CAD Trade#:30596 Blot:85	ABX-0439G4	1	-20.000	\$51.561	\$1,031.22
04/28/11	05/03/11	SOLD	MOTOROLA SOLUTIONS INC Trade#:34546 Blot:85	MSI-038MU0	1	-8.000	\$46.084	\$368.66
04/27/11	04/29/11	CANCEL SELL	MOTOROLA SOLUTIONS INC TRADE DATE: 04/26/2011 Trade#:44595 Blot:85	MSI-038MU0 *	1	18.000	\$44.294	-\$797.28
04/27/11	04/29/11	SOLD	MOTOROLA SOLUTIONS INC TRADE DATE: 04/26/2011 Trade#:13307 Blot:85	MSI-038MU0	1	-9.000	\$44.324	\$398.91
04/27/11	05/02/11	SOLD	PITNEY BOWES INC Trade#:36983 Blot:85	PBI-375004	1	-15.000	\$26.070	\$391.05
04/27/11	04/29/11	SOLD	MOTOROLA SOLUTIONS INC TRADE DATE: 04/26/2011 Trade#:42161 Blot:85	MSI-038MU0	1	-18.000	\$44.324	\$797.81
04/26/11	04/26/11	DIVIDEND	UBS CASH RESERVES FUND AS OF 04/25/11	MMLIRC- 9194B0	1	0.000	DIVD INCOME	\$0.03
04/26/11	04/28/11	SOLD	MOTOROLA SOLUTIONS INC TRADE DATE: 04/25/2011 Trade#:65976 Blot:85	MSI-038MU0	1	-4.000	\$44.173	\$176.68
04/26/11	04/29/11	SOLD	LOCKHEED MARTIN CORP Trade#:31729 Blot:85	LMT-2639C1	1	-8.000	\$78.740	\$629.90
04/26/11	04/29/11	SOLD	MOTOROLA SOLUTIONS INC Trade#:44595 Blot:85	MSI-038MU0	1	-18.000	\$44.294	\$797.28
04/26/11	04/29/11	SOLD	LOEWS CORP Trade#:40352 Blot:85	L-264130	1	-14.000	\$43.256	\$605.57
04/25/11	04/28/11	SOLD	LOCKHEED MARTIN CORP Trade#:64789 Blot:85	LMT-2639C1	1	-15.000	\$77.754	\$1,166.29

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
04/25/11	04/28/11	SOLD	LOEWS CORP Trade#:60313 Blot:85	L-264130	1	-4.000	\$43.173	\$172.68
04/21/11	04/21/11	BYPASS	Quarterly Fee		F	0.000	Fee Charged	\$1,473.34
04/21/11	04/21/11	BYPASS	Quarterly Fee		F	0.000	Fee Charged	-\$1,473.34
04/21/11	04/21/11	FEE CHARGED	QUARTERLY FEE		1	0.000	Fee Charged	-\$1,473.34
04/21/11	04/27/11	SOLD	LOEWS CORP Trade#:04206 Blot:85	L-264130	1	-12.000	\$43.240	\$518.87
04/21/11	04/27/11	SOLD	LOCKHEED MARTIN CORP Trade#:99252 Blot:85	LMT-2639C1	1	-8.000	\$77.707	\$621.64
04/15/11	04/20/11	BOUGHT	GOLDMAN SACHS GROUP INC Trade#:04554 Blot:85	GS-1864H1	1	4.000	\$154.986	-\$619.94
04/15/11	04/15/11	DIVIDEND	OCCIDENTAL PETROLEUM CRP PAID ON 69	OXY-346497	1	0.000	DIV 69	\$31.74
04/11/11	04/11/11	DIVIDEND	PHILIP MORRIS INTL INC PAID ON 77	PM-364PM6	1	0.000	DIV 77	\$49.28
04/07/11	04/07/11	DIVIDEND	MERCK & CO INC NEW COM PAID ON 126	MRK-2837Z7	1	0.000	DIV 126	\$47.88
04/06/11	04/11/11	SOLD	LOEWS CORP Trade#:05868 Blot:85	L-264130	1	-4.000	\$43.631	\$174.52
04/05/11	04/08/11	SOLD	LOEWS CORP Trade#:56734 Blot:85	L-264130	1	-22.000	\$43.630	\$959.84
04/01/11	04/01/11	DIVIDEND	VIACOM INC NEW CL 6 PAID ON 319	VIAB-492604	1	0.000	DIV 319	\$47.85
04/01/11	04/01/11	DIVIDEND	UNION PACIFIC CORP PAID ON 60	UNP-469106	1	0.000	DIV 60	\$22.80
04/01/11	04/01/11	DIVIDEND	HARTFORD FINCL SERVICES GROUP INC	HKG-1988E4	1	0.000	DIV 238	\$23.80
04/01/11	04/01/11	FOREIGN DIVIDEND	CANADIAN NAT RESOURCES LTD CAD	CNQ-074331	1	0.000	DVF 206	\$19.18
03/31/11	03/31/11	BALANCE FORWARD	BALANCE FORWARD		1	0.000		\$352.24
03/31/11	04/05/11	BOUGHT	CITIGROUP INC Trade#:97968 Blot:85	C-2045U4	1	435.000	\$4.420	-\$1,922.70
03/30/11	03/30/11	DIVIDEND	GOLDMAN SACHS GROUP INC PAID ON 18	GS-1864H1	1	0.000	DIV 18	\$6.30
03/30/11	03/31/11	DIVIDEND	HESS CORP PAID ON 63	HES-208BR9	1	0.000	DIV 63	\$6.30
03/30/11	03/31/11	DIVIDEND	WELLS FARGO & CO NEW PAID ON 231	WFC-4971H5	1	0.000	DIV 231	\$16.17
03/30/11	03/30/11	FOREIGN DIVIDEND	INGERSOLL-RAND PLC PAID ON 164	IR-215EB0	1	0.000	DVF 164	\$11.48
03/29/11	04/01/11	SOLD	PITNEY BOWES INC Trade#:03960 Blot:85	PBI-375004	1	-22.000	\$25.202	\$554.44
03/28/11	03/28/11	DIVIDEND	UBS CASH RESERVES FUND AS OF 03/25/11	MMLIRC- 9194B0	1	0.000	DVD INCOME	\$0.04
03/28/11	03/28/11	FOREIGN DIVIDEND	ANGLOGOLD ASHANTI LTD NEW SPON ADR	AU-0287B0	1	0.000	DVF 284	\$31.98
03/28/11	03/31/11	SOLD	PITNEY BOWES INC Trade#:26096 Blot:85	PBI-375004	1	-14.000	\$25.160	\$352.24
03/25/11	03/25/11	DIVIDEND	LOCKHEED MARTIN CORP PAID ON 97	LMT-2639C1	1	0.000	DIV 97	\$72.75
03/25/11	03/30/11	SOLD	PITNEY BOWES INC Trade#:44579 Blot:85	PBI-375004	1	-68.000	\$25.080	\$1,705.46
03/24/11	03/29/11	SOLD	UNION PACIFIC CORP Trade#:77992 Blot:85	UNP-469106	1	-6.000	\$95.351	\$572.09
03/23/11	03/23/11	DIVIDEND	HALLIBURTON CO (HOLDING COMPANY)	HAL-198463	1	0.000	DIV 74	\$6.66
03/22/11	03/25/11	BOUGHT	AMGEN INC Trade#:21568 Blot:85	AMGN-023825	1	18.000	\$52.809	-\$950.58
03/18/11	03/23/11	BOUGHT	AMGEN INC Trade#:86067 Blot:85	AMGN-023825	1	14.000	\$52.875	-\$740.26
03/17/11	03/22/11	BOUGHT	GOLDMAN SACHS GROUP INC Trade#:73105 Blot:85	GS-1864H1	1	9.000	\$154.621	-\$1,391.59
03/15/11	03/18/11	BOUGHT	MOTOROLA MOBILITY HOLDINGS Trade#:85566 Blot:85	MMI-038TH5	1	36.000	\$23.872	-\$859.41
03/15/11	03/15/11	DIVIDEND	TIME WARNER INC NEW PAID ON 193	TWX-4587M1	1	0.000	DIV 193	\$45.36
03/15/11	03/15/11	FOREIGN DIVIDEND	BARRICK GOLD CORP CAD PAID ON 268	ABX-0439G4	1	0.000	DVF 268	\$32.16
03/14/11	03/17/11	BOUGHT	MOTOROLA MOBILITY HOLDINGS Trade#:13674 Blot:85	MMI-038TH5	1	52.000	\$24.734	-\$1,286.19
03/14/11	03/14/11	DIVIDEND	LOEWS CORP PAID ON 244	L-264130	1	0.000	DIV 244	\$15.25
03/14/11	03/14/11	DIVIDEND	CA INC PAID ON 659	CA-0688S5	1	0.000	DIV 659	\$26.36
03/14/11	03/14/11	DIVIDEND	PITNEY BOWES INC PAID ON 323 AS OF 03/12/11	PBI-375004	1	0.000	DIV 323	\$119.51
03/11/11	03/16/11	BOUGHT	MOTOROLA MOBILITY HOLDINGS Trade#:09838 Blot:85	MMI-038TH5	1	28.000	\$24.332	-\$681.32
03/10/11	03/10/11	DIVIDEND	MICROSOFT CORP PAID ON 136	MSFT-270890	1	0.000	DIV 136	\$21.76
03/09/11	03/14/11	BOUGHT	MOTOROLA MOBILITY HOLDINGS Trade#:73557 Blot:85	MMI-038TH5	1	32.000	\$26.733	-\$855.46
03/08/11	03/11/11	BOUGHT	MOTOROLA MOBILITY HOLDINGS Trade#:08070 Blot:85	MMI-038TH5	1	16.000	\$26.790	-\$428.65
03/02/11	03/07/11	SOLD	AMGEN INC Trade#:66665 Blot:85	AMGN-023825	1	-26.000	\$51.575	\$1,340.93
03/01/11	03/01/11	DIVIDEND	WELLS FARGO & CO NEW PAID ON 231	WFC-4971H5	1	0.000	DIV 231	\$11.55

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
03/01/11	03/01/11	DIVIDEND	PFIZER INC PAID ON 750	PFE-370011	1	0.000	DIV 750	\$150.00
03/01/11	03/01/11	DIVIDEND	KROGER COMPANY PAID ON 190	KR-252301	1	0.000	DIV 190	\$19.95
02/28/11	02/28/11	BALANCE FORWARD			1	0.000		\$273.83
02/28/11	03/03/11	SOLD	KROGER COMPANY Trade#:92696 Blot:85	KR-252301	1	-10.000	\$22.878	\$228.77
02/25/11	03/02/11	SOLD	PHILIP MORRIS INTL INC Trade#:89744 Blot:85	PM-364PM6	1	-24.000	\$62.238	\$1,493.70
02/25/11	03/02/11	SOLD	KROGER COMPANY Trade#:00353 Blot:85	KR-252301	1	-12.000	\$22.941	\$275.28
02/24/11	03/01/11	SOLD	KROGER COMPANY Trade#:76315 Blot:85	KR-252301	1	-12.000	\$23.194	\$278.32
02/23/11	02/28/11	BOUGHT	GENERAL MOTORS CO Trade#:92641 Blot:85	GM-086123	1	4.000	\$35.494	-\$141.98
02/23/11	02/23/11	DIVIDEND	UBS CASH RESERVES FUND AS OF 02/22/11	MMLIRC-9194B0	1	0.000	DIVD INCOME	\$0.04
02/23/11	02/28/11	SOLD	KROGER COMPANY Trade#:93717 Blot:85	KR-252301	1	-18.000	\$23.100	\$415.81
02/22/11	02/25/11	BOUGHT	GENERAL MOTORS CO Trade#:16744 Blot:85	GM-086123	1	18.000	\$35.662	-\$641.92
02/22/11	02/22/11	DIVIDEND	NOBLE ENERGY INC PAID ON 74	NBL-291FB5	1	0.000	DIV 74	\$13.32
02/22/11	02/22/11	DIVIDEND	APACHE CORP PAID ON 116	APA-029131	1	0.000	DIV 116	\$17.40
02/22/11	02/25/11	SOLD	KROGER COMPANY Trade#:13743 Blot:85	KR-252301	1	-32.000	\$23.241	\$743.70
02/18/11	02/18/11	DIVIDEND	UNUM GROUP PAID ON 312	UNM-486345	1	0.000	DIV 312	\$28.86
02/15/11	02/18/11	BOUGHT	GENERAL MOTORS CO Trade#:73386 Blot:85	GM-086123	1	14.000	\$36.013	-\$504.19
02/15/11	02/15/11	DIVIDEND	AON CORP PAID ON 197	AON-023701	1	0.000	DIV 197	\$29.55
02/10/11	02/10/11	DIVIDEND	RAYTHEON CO NEW PAID ON 77	RTN-391724	1	0.000	DIV 77	\$28.88
02/08/11	02/11/11	SOLD	KIMBERLY CLARK CORP Trade#:46904 Blot:85	KMB-247600	1	-11.000	\$65.012	\$715.12
02/07/11	02/08/11	CANCEL SELL	KIMBERLY CLARK CORP TRADE DATE: 02/03/2011 Trade#:73413 Blot:85	KMB-247600 *	1	9.000	\$64.980	-\$584.80
02/07/11	02/10/11	SOLD	KIMBERLY CLARK CORP Trade#:57513 Blot:85	KMB-247600	1	-11.000	\$64.945	\$714.38
02/07/11	02/08/11	SOLD	KIMBERLY CLARK CORP TRADE DATE: 02/03/2011 Trade#:59808 Blot:85	KMB-247600	1	-9.000	\$64.975	\$584.76
02/04/11	02/09/11	BOUGHT	CVS CAREMARK CORP Trade#:48233 Blot:85	CVS-0731H1	1	88.000	\$32.622	-\$2,870.77
02/04/11	02/08/11	BOUGHT	GENERAL MOTORS CO TRADE DATE: 02/03/2011 Trade#:08653 Blot:85	GM-086123	1	14.000	\$35.559	-\$497.84
02/04/11	02/09/11	SOLD	KIMBERLY CLARK CORP Trade#:56242 Blot:85	KMB-247600	1	-18.000	\$64.841	\$1,167.12
02/03/11	02/08/11	SOLD	KIMBERLY CLARK CORP Trade#:73413 Blot:85	KMB-247600	1	-9.000	\$64.980	\$584.80
02/02/11	02/02/11	DIVIDEND	CVS CAREMARK CORP PAID ON 84	CVS-0731H1	1	0.000	DIV 84	\$10.50
02/01/11	02/01/11	DIVIDEND	LINCOLN NATL CORP IND PAID ON 151	LNC-261521	1	0.000	DIV 151	\$7.55
01/28/11	01/31/11	DIVIDEND	JPMORGAN CHASE & CO PAID ON 129	JPM-241JP2	1	0.000	DIV 129	\$6.45
01/26/11	01/31/11	BOUGHT	CA INC Trade#:04141 Blot:85	CA-088BS5	1	36.000	\$23.337	-\$840.13
01/26/11	01/26/11	DIVIDEND	UBS CASH RESERVES FUND AS OF 01/25/11	MMLIRC-9194B0	1	0.000	DIVD INCOME	\$0.03
01/24/11	01/24/11	BYPASS	Quarterly Fee		F	0.000	Fee Charged	\$1,381.34
01/24/11	01/24/11	BYPASS	Quarterly Fee		F	0.000	Fee Charged	-\$1,381.34
01/24/11	01/24/11	FEE CHARGED	QUARTERLY FEE		F	0.000	Fee Charged	-\$1,381.34
01/21/11	01/26/11	SOLD	KIMBERLY CLARK CORP Trade#:70128 Blot:85	KMB-247600	1	-14.000	\$64.117	\$897.63
01/18/11	01/21/11	BOUGHT	ANGLOGOLD ASHANTI LTD NEW SPON ADR Trade#:41102 Blot:85	AU-028780	1	4.000	\$44.968	\$179.87
01/18/11	01/18/11	DIVIDEND	OCCIDENTAL PETROLEUM CRP PAID ON 69 AS OF 01/15/11	OXY-346497	1	0.000	DIV 69	\$26.22
01/14/11	01/20/11	BOUGHT	ANGLOGOLD ASHANTI LTD NEW SPON ADR Trade#:81538 Blot:85	AU-028780	1	12.000	\$44.106	-\$529.28
01/14/11	01/19/11	BOUGHT	ANGLOGOLD ASHANTI LTD NEW SPON ADR TRADE DATE: 01/13/2011 Trade#:08158 Blot:85	AU-028780	1	40.000	\$44.621	-\$1,784.87

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
01/14/11	01/19/11	SOLD	MOTOROLA MOBILITY HOLDINGS TRADE DATE: 01/13/2011 Trade#:06766 Blot:85	MMI-038TH5	1	-18.000	\$32.992	\$593.85
01/11/11	01/13/11	BOUGHT	ANGLOGOLD ASHANTI LTD NEW SPON ADR TRADE DATE: 01/10/2011 Trade#:71234 Blot:85	AU-0287B0	1	6.000	\$44.574	-\$267.45
01/11/11	01/13/11	SOLD	MOTOROLA MOBILITY HOLDINGS TRADE DATE: 01/10/2011 Trade#:70257 Blot:85	MMI-038TH5	1	-4.000	\$32.789	\$131.15
01/10/11	01/10/11	DIVIDEND	PHILIP MORRIS INTL INC PAID ON 101	PM-354PM6	1	0.000	DIV 101	\$64.64
01/07/11	01/07/11	CASH IN LIEU	MOTOROLA MOBILITY HOLDINGS AS OF 01/04/11 TRADE DATE: 01/04/2011	MMI-038TH5	1	0.000	CILRC0079388	\$16.57
01/07/11	01/07/11	CASH IN LIEU	MOTOROLA SOLUTIONS INC AS OF 01/04/11	MSI-038MU0	1	0.000	CILR10073438	\$11.12
01/07/11	01/07/11	DIVIDEND	MERCK & CO INC NEW COM PAID ON 208	MRK-2837Z7	1	0.000	DIV 208	\$79.04
01/07/11	01/12/11	SOLD	MOTOROLA MOBILITY HOLDINGS Trade#:84726 Blot:85	MMI-038TH5	1	-38.000	\$33.063	\$1,256.38
01/06/11	01/11/11	SOLD	MOTOROLA MOBILITY HOLDINGS Trade#:32792 Blot:85	MMI-038TH5	1	-14.000	\$33.060	\$462.83
01/04/11	01/04/11	DIVIDEND	KIMBERLY CLARK CORP PAID ON 63	KMB-247600	1	0.000	DIV 63	\$41.58
01/04/11	01/04/11	EXCHANGE	MOTOROLA INC **REVERSE SPLIT EFF: 01/2011**	304239	1	-1,668.000	EXCHANGE	\$0.00
01/04/11	01/04/11	EXCHANGE	MOTOROLA SOLUTIONS INC	MSI-038MU0	1	238.000	EXCHANGE	\$0.00
01/04/11	01/04/11	FOREIGN DIVIDEND	CANADIAN NAT RESOURCES LTD CAD AS OF 01/01/11	CNQ-074331	1	0.000	DVF 206	\$15.45
01/04/11	01/04/11	SPIN OFF	MOTOROLA MOBILITY HOLDINGS	MMI-038TH5	1	208.000	SPNRC0049238	\$0.00
01/03/11	01/03/11	DIVIDEND	UNION PACIFIC CORP PAID ON 60	UNP-469106	1	0.000	DIV 60	\$22.80
01/03/11	01/03/11	DIVIDEND	HARTFORD FINCL SERVICES GROUP INC	HIG-1988E4	1	0.000	DIV 238	\$11.90
01/03/11	01/03/11	DIVIDEND	CBS CORP NEW CL B PAID ON 185 AS OF 01/01/11	CBS-067VH0	1	0.000	DIV 185	\$9.25
01/03/11	01/03/11	DIVIDEND	HESS CORP PAID ON 63	HES-208BR9	1	0.000	DIV 63	\$6.30

² Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. Commission and fees for Equity trades are estimates, and may not match what is charged to the account. Fixed Income agency trades may not include commission and fees. Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some fees are not included intraday.

This report is for informational purposes only and may or may not include all holdings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The Firm's periodic account statements and official tax documents are the only official record of client accounts and are not superseded, replaced, or amended by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, for tax purposes or otherwise.

Richard A. Berg
Schedule VIII - Positions
Attachment

Partner in Partnerships

Goldmark Schlossman VC Partnership, LLP
INREIT Properties, LLP
Marelius Apartments
Summitt Partners LLP
Braaten Apartments, LLP
SG Five LLP
Jimberg Partners LLP
Westwind Properties LLP
Westwind Properties II, LLP
Wheelberg Partners LLP
Fay Land Partners, LLP
Farm House Partners
High Point Partners LLP
Red Gate Apartments LLP
Kenwood-Rosewood Apartments LLP
1520 Partners LLP
Ag Foundation Building LLP
Old Abe Capital, LLP
Skyview Partners LLP
Amber Holdings LLP
JJR Properties LLP
714 Partners LLP
Bauer Private Equity Fund III
Bauer Private Equity Fund IV
Big Bend Development, LLP
Cow Share LLP
Camping Adventures, LLP
Old Abe Two Capital

Member in LLCs (Limited Liability Companies)

Intelligent InSites, LLC
Ntractive, LLC
Dacotah Cattle Growers, LLC
Renaissance Ventures, LLC
TAG, LLC
Case Plaza LLC
TFRE, LLC
Toasted Frog West LLC