



Filing ID #10004802

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Jim Matheson
Status: Former Member
State/District: UT04

FILING INFORMATION

Filing Type: Terminated Filer Report
Filing Year: 2015
Filing Date: 01/26/2015

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|-----------------------------------------------------------------------|-------|-----------------------|--------------------------|--------------------|-------------------------------------|
| Alpine Dynamic Dividend Fund | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Baron Partners Mutual Fund | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Coin Collection | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Equitable Variable Life Insurance ⇒ AXA Moderate Allocation Fund | | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Equitable Variable Life Insurance ⇒ AXA Moderate Plus Allocation Fund | | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Fidelity Asset Manager 50% | | \$50,001 - \$100,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Fidelity Balanced Fund | | \$50,001 - \$100,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Fidelity Cash Reserves | | \$250,001 - \$500,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---------------------------------------------|-------|-----------------------|--------------------------|--------------------|--------------------------|
| Fidelity Export and Multinational Fund | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Fidelity Low Priced Stock Fund | | \$50,001 - \$100,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| Fidelity Spartan US Equity Fund | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Fidelity Strategic Dividend and Income Fund | | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Fidelity Unique 2015 Portfolio | | \$100,001 - \$250,000 | None | | <input type="checkbox"/> |
| LOCATION: NH | | | | | |
| Garmin Ltd. (GRMN) | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Northeast Investors Trust | | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| QUALCOMM Incorporated (QCOM) | | \$100,001 - \$250,000 | Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| TIAA CREF Lifecycle 2035 | | \$50,001 - \$100,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| TIAA CREF Real Estate | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Utah Educational Savings Plan | | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| LOCATION: UT | | | | | |
| Vanguard Emerging Markets Index | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Vanguard Short Term Treasury Fund | | \$100,001 - \$250,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Vanguard Small Cap Stock Index | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Wasatch Micro Cap fund | | \$50,001 - \$100,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| Zions Bank Checking Account | | \$50,001 - | Interest | \$1 - \$200 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|-------|-------|----------------|----------------|--------|----------------|
| | | \$100,000 | | | |

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|-------|------------|-------------|--------------------|--------------------------|
| AXA Moderate Plus Allocation Fund DESCRIPTION: Dividend Reinvestment - annual total in the \$1,001 - \$15,000 category | | Quarterly | P | \$1,001 - \$15,000 | |
| Equitable Variable Life Insurance ⇒ AXA Moderate Allocation Fund DESCRIPTION: Dividend Reinvestment - annual total in the \$1,001 - \$15,000 category | | Quarterly | P | \$1,001 - \$15,000 | |
| Equitable Variable Life Insurance ⇒ AXA Moderate Allocation Fund | | 12/29/2014 | P | \$1,001 - \$15,000 | |
| Equitable Variable Life Insurance ⇒ AXA Moderate Plus Allocation Fund | | 12/29/2014 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Fidelity Asset Manager 50% DESCRIPTION: Dividend Reinvestment - annual total in the \$1,001 - \$15,000 category | | Quarterly | P | \$1,001 - \$15,000 | |
| Fidelity Asset Manager 50% DESCRIPTION: Semi-monthly purchases - annual total in the \$1,001 - \$15,000 category | | Bi-weekly | P | \$1,001 - \$15,000 | |
| Fidelity Balanced Fund DESCRIPTION: Dividend Reinvestment - annual total in the \$1,001 - \$15,000 category | | Quarterly | P | \$1,001 - \$15,000 | |
| Fidelity Export and Multinational Fund DESCRIPTION: Semi-Monthly purchases annual total in the \$1,001 - \$15,000 category | | Bi-weekly | P | \$1,001 - \$15,000 | |
| Fidelity Export and Multinational Fund DESCRIPTION: Dividend Reinvestment - annual total in the \$1,001 - \$15,000 category | | Quarterly | P | \$1,001 - \$15,000 | |
| Fidelity Low Priced Stock Fund DESCRIPTION: Dividend Reinvestment - annual total in the \$1,001 - \$15,000 category | | Quarterly | P | \$1,001 - \$15,000 | |
| Fidelity Spartan US Equity Fund DESCRIPTION: Semi-monthly purchases annual total in the \$1,001 - \$15,000 category | | Bi-weekly | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|------------------------------------------------------------------------------------------------------|-------|------------|----------|--------------------|---------------------|
| Fidelity Strategic Dividend and Income Fund | | Quarterly | P | \$1,001 - \$15,000 | |
| DESCRIPTION: Dividend Reinvestment - annual total in the \$1,001 - \$15,000 category | | | | | |
| Fidelity Unique 2015 Portfolio | | Quarterly | P | \$1,001 - \$15,000 | |
| LOCATION: NH DESCRIPTION: Periodic purchases - annual total in the \$1,001 - \$15,000 category | | | | | |
| Northeast Investors Trust | | Quarterly | P | \$1,001 - \$15,000 | |
| DESCRIPTION: Dividend Reinvestment - annual total in the \$1,001 - \$15,000 category | | | | | |
| QUALCOMM Incorporated (QCOM) | | Quarterly | P | \$1,001 - \$15,000 | |
| DESCRIPTION: Dividend Reinvestment - annual total in the \$1,001 - \$15,000 category | | | | | |
| TIAA CREF Lifecycle 2035 | | Bi-weekly | P | \$1,001 - \$15,000 | |
| DESCRIPTION: Semi-monthly purchases annual total in the \$1,001 - \$15,000 category | | | | | |
| TIAA CREF Lifecycle 2035 | | Quarterly | P | \$1,001 - \$15,000 | |
| DESCRIPTION: Dividend Reinvestment - annual total in the \$1,001 - \$15,000 category | | | | | |
| Utah Educational Savings Plan | | Monthly | P | \$1,001 - \$15,000 | |
| LOCATION: UT DESCRIPTION: Dividend Reinvestment - annual total in the \$1,001 - \$15,000 category | | | | | |
| Utah Educational Savings Plan | | Quarterly | P | \$1,001 - \$15,000 | |
| LOCATION: UT DESCRIPTION: Periodic purchases - annual total in the \$1,001 - \$15,000 category | | | | | |
| Vanguard Short Term Treasury Fund | | Quarterly | P | \$1,001 - \$15,000 | |
| DESCRIPTION: Dividend Reinvestment - annual total in the \$1,001 - \$15,000 category | | | | | |
| Vanguard Short Term Treasury Fund | | Bi-weekly | P | \$1,001 - \$15,000 | |
| DESCRIPTION: Semi-monthly purchases annual total in the \$1,001 - \$15,000 category | | | | | |
| Wasatch Micro Cap fund | | Monthly | P | \$1,001 - \$15,000 | |
| DESCRIPTION: Monthly purchases - annual total in the \$1,001 - \$15,000 category | | | | | |
| Wasatch Micro Cap fund | | 12/29/2014 | P | \$1,001 - \$15,000 | |
| DESCRIPTION: Dividend and Capital Gain Reinvestment | | | | | |

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|--------------------|---------------|--------|
| University of Utah | Spouse Salary | N/A |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|---------------------------|---------------|----------------------------------------------------|-----------------------|
| | Provident Funding | May 2013 | Mortgage on personal residence, Salt Lake City, UT | \$100,001 - \$250,000 |
| | Wells Fargo Home Mortgage | July 2013 | Mortgage on personal residence, Washington, DC | \$100,001 - \$250,000 |

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details | | | | Inclusions | | |
|----------------------|------------|------------|-----------------------------------------------------------------|-------------------------------------|-------------------------------------|--------------------------|
| Source | Start Date | End Date | Itinerary | Lodging? | Food? | Family? |
| U.S. Asia Foundation | 04/18/2014 | 04/26/2014 | Salt Lake City - Beijing - Chengdu - Hong Kong - Salt Lake City | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| COMMENTS: MECA Trip | | | | | | |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

| |
|-------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> Equitable Variable Life Insurance |
|-------------------------------------------------------------------------------------|

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Jim Matheson , 01/26/2015