



Filing ID #10000647

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Michael Harry Frese
Status: Congressional Candidate
State/District: NM01

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2014
Filing Date: 05/15/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|-----------------------|----------------|-------------------------------|-----------------------|
| Campaign Loan to Mike Frese for Congress | JT | \$15,001 - \$50,000 | None | | |
| Northrop-Grumman 401K ⇒ NG US Equity | SP | \$50,001 - \$100,000 | Tax-Deferred | None | None |
| Northrop-Grumman 401K ⇒ NGSP Balanced Fund | SP | \$50,001 - \$100,000 | Tax-Deferred | None | None |
| Northrop-Grumman 401K ⇒ NGSP Small Cap | SP | \$1,001 - \$15,000 | Tax-Deferred | None | None |
| NumerEx ⇒ NumerEx, 100% Interest | JT | \$250,001 - \$500,000 | S Corp | \$100,001 - \$1,000,000 | None |
| LOCATION: Albuquerque, NM, US DESCRIPTION: Small family-owned S-Corp doing scientific R&D | | | | | |
| Optum Health Bank HSA | SP | \$1,001 - \$15,000 | Tax-Deferred | None | None |
| Optum Health Bank HSA Wellington Fund | | \$15,001 - \$50,000 | Tax-Deferred | None | None |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|-------------------------|----------------|-------------------------------|-----------------------|
| Paul's 529 ⇒ Oppenheimer - The Education Plan | JT | \$1,001 - \$15,000 | Tax-Deferred | None | None |
| Plain IRA- SP ⇒ Vanguard 500 Index Fund | SP | \$15,001 - \$50,000 | Tax-Deferred | None | None |
| Plain IRA- SP ⇒ Vanguard 500 Index Fund | SP | \$15,001 - \$50,000 | Tax-Deferred | None | None |
| Rental Property ⇒ Rental Property | | \$500,001 - \$1,000,000 | Rent | \$5,001 - \$15,000 | \$15,001 - \$50,000 |
| LOCATION: Washington , DC, US | | | | | |
| Savings Bonds | SP | \$1,001 - \$15,000 | Interest | \$1 - \$200 | \$1 - \$200 |
| SEPIRA-Mike ⇒ Vanguard Adm Treasury Money Market | | \$50,001 - \$100,000 | Tax-Deferred | None | None |
| SEPIRA-Mike ⇒ Vanguard Energy Index Fund | | \$100,001 - \$250,000 | Tax-Deferred | None | None |
| SEPIRA-Mike ⇒ Vanguard Health Care Fund Adm | | \$100,001 - \$250,000 | Tax-Deferred | None | None |
| SEPIRA-Mike ⇒ Vanguard REIT Index Fund Adm | | \$250,001 - \$500,000 | Tax-Deferred | None | None |
| SEPIRA-Mike ⇒ Vanguard Short-Term Treas Adm | | \$50,001 - \$100,000 | Tax-Deferred | None | None |
| SEPIRA-SP ⇒ Vanguard 500 Index Adm | | \$500,001 - \$1,000,000 | Tax-Deferred | None | None |
| Vanguard Funds ⇒ Vanguard 500 Index Adm | JT | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 | \$1,001 - \$2,500 |
| Vanguard Funds ⇒ Vanguard Adm Treasury Money Market | JT | \$1 - \$1,000 | None | | |
| Vanguard Funds ⇒ Vanguard Consumer Discretionary Fund | JT | \$100,001 - \$250,000 | Dividends | None | \$1,001 - \$2,500 |
| Vanguard Funds ⇒ | JT | \$100,001 - | Dividends | None | \$2,501 - \$5,000 |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|-----------------------|--------------------------|-------------------------------|-----------------------|
| Vanguard Energy Index Fund | | \$250,000 | | | |
| Vanguard Funds ⇒ Vanguard Inter-Term Invest Grd Adm | JT | \$100,001 - \$250,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | \$5,001 - \$15,000 |
| Vanguard Funds ⇒ Vanguard Inter-Term Tax Exempt Adm | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | \$1 - \$200 |
| Vanguard Funds ⇒ Vanguard Precious Metals & Mining | JT | None | Dividends | \$1 - \$200 | \$1 - \$200 |
| DESCRIPTION: No longer held as of early 2013 | | | | | |
| Vanguard Funds ⇒ Vanguard REIT Index Fund Adm | JT | \$100,001 - \$250,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | \$5,001 - \$15,000 |
| Wells Fargo Personal Accts | JT | \$15,001 - \$50,000 | Interest | \$1 - \$200 | \$1 - \$200 |

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount Current Year to Filing | Amount Preceding Year |
|-------------|---------------|-------------------------------|-----------------------|
| NumerEx LLC | Salary | | \$114,014 |
| NumerEx LLC | Spouse salary | | \$83,287 |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|---------------------------|---------------|--------------------------------|-----------------------|
| JT | Wells Fargo Home Mortgage | 4/09 | Mortgage on DC Rental Property | \$250,001 - \$500,000 |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|----------|----------------------|
| Manager | NumerEx LLC |

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

| Source (Name and Address) | Brief Description of Duties |
|----------------------------|-----------------------------|
| SAIC (Albuquerque, NM, US) | R&D Services |

SCHEDULE A ASSET CLASS DETAILS

- Northrop-Grumman 401K (Owner: SP)
- NumerEx (100% Interest) (Owner: JT)

LOCATION: Albuquerque, NM, US

DESCRIPTION: Scientific R&D
- Paul's 529 (Owner: JT)

LOCATION: NM
- Plain IRA- SP (Owner: SP)

DESCRIPTION: Sherry's old IRA
- Rental Property (100% Interest)

LOCATION: Washington, DC, US

DESCRIPTION: Rental home
- SEPIRA-Mike
- SEPIRA-SP (Owner: SP)
- Vanguard Funds (100% Interest) (Owner: JT)

LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Michael Harry Frese , 05/15/2014