

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

FORM A

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For use by Members, officers, and employees

JUN 15 2012

LEGISLATIVE RESOURCE CENTER

James H.S. "Jim" Cooper

(Full Name)

202-225-4311

(Daytime Telephone)

JUN 25 AM 10:10

(Office Use Only)

MC

Filer Status

Member of the U.S. House of Representatives

State: TN District: 5

Officer Or Employee

Employing Office:

Report Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Vanderbilt University	Approved Teaching Fee	\$20,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source	Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p>	<p>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
<p>2319 Woodmont Blvd, Nashville, TN (vacant land)</p>	<p>\$500,001 - \$1,000,000</p>	<p>None</p>	<p>NONE</p>	
<p>Adams Properties (owns property on Beach Dr., Gulfport, MS)</p>	<p>\$100,001 - \$250,000</p>	<p>Partnership income</p>	<p>\$201 - \$1,000</p>	
<p>Assistive Technology Company stock, wheelchair distribution, Naperville, IL</p>	<p>None</p>	<p>CAPITAL GAINS</p>	<p>\$100,001 - \$1,000,000</p>	<p>S</p>
<p>AT&T (T)</p>	<p>\$1 - \$1,000</p>	<p>DIVIDENDS</p>	<p>\$1 - \$200</p>	
<p>Berkshire Hathaway (BRK/A)(IRA)</p>	<p>\$100,001 - \$250,000</p>	<p>Tax deferred</p>	<p>NONE</p>	
<p>City Holding Company (CHCO)</p>	<p>\$1,001 - \$15,000</p>	<p>DIVIDENDS</p>	<p>\$201 - \$1,000</p>	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Coca-Cola Co. (KO)(IRA and brokerage account)	\$1,001 - \$15,000	Tax deferred//DIVIDENDS	NONE/\$1 - \$200	
Comcast Corp. Class A (CMCSA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Congressional Federal Credit Union Accounts	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Cooper Bros. Land Co. Partnership, farm, land and lots, Shelbyville TN, Smith Mills KY, Nashville TN (see attached)	\$1,000,001 - \$5,000,000	Partnership income	\$100,001 - \$1,000,000	

DNP Select Income FD Inc. (DNP)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Fauquier Bankshares Inc. (FBSS)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
Federated GNMA Inst. Fund (FGMAX)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Fidelity Contrafund (FCNTX)	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
Fidelity Growth and Income Fund (FGRIX)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Fidelity Municipal Money Market Fund (FTEXX)	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
General Electric Co. (GE)(IRA and brokerage acct)	\$15,001 - \$50,000	Tax deferred//DIVIDENDS	None/\$201 - \$1,000	
Hamilton Fairfax, LLC (apt. bldg on Fairfax, Nashville TN)	\$100,001 - \$250,000	Partnership income	\$2,501 - \$5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Harpeth Green Properties LLC,
Brentwood TN (interest in
Heritage Retirement Facilities
LLC and Heritage Health
Center LLC)

		\$100,001 - \$250,000	None	NONE	
Helios Strategic Mortgage Fund (HSM)		\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
Hewlett Packard Co. (HPQ)(IRA)		\$1,001 - \$15,000	Tax deferred	NONE	
Intel Corp. (INTC)		\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
International Business Machines (IBM)		\$100,001 - \$250,000	DIVIDENDS	\$1,001 - \$2,500	
Lennox Intl. Inc. (IRA)		\$15,001 - \$50,000	Tax deferred	NONE	
Microsoft Corp. (MSFT)(IRA)		\$1,001 - \$15,000	Tax deferred	NONE	
Nortel Networks (NRTLQ)(IRA)		\$1 - \$1,000	Tax deferred	NONE	
Northern Trust Inst. Gov't Portfolio		\$250,001 - \$500,000	Tax deferred	NONE	
Northwestern Mutual "Adjustable Comp Life" policy (fn)		\$250,001 - \$500,000	DIVIDENDS	\$5,001 - \$15,000	
Phoenix Companies Inc. (PNX)(IRA)		\$1 - \$1,000	Tax deferred	NONE	
PLC Properties, Brentwood TN, Virginia Way, undeveloped land and warehouse on Hwy. 31, Orinda TN		\$500,001 - \$1,000,000	Partnership Income	\$15,001 - \$50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Proctor and Gamble Co. (PG)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Qualcomm Inc. (QCOM)(IRA)	\$15,001 - \$50,000	Tax deferred	NONE	
State Bank Financial Corp (STBZ)	\$1,001 - \$15,000	None	NONE	P
Sun Trust Accounts	\$100,001 - \$250,000	INTEREST	\$201 - \$1,000	
Sun Trust Banks Inc. (STI)(IRA)	\$15,001 - \$50,000	Tax deferred	NONE	
Thoma Cressey Friends Fund VI LP (not self-directed)	\$15,001 - \$50,000	Partnership Income	\$1,001 - \$2,500	
Thoma Cressey Friends Fund VII LP(not self-directed) (IRA)	\$100,001 - \$250,000	Tax deferred	NONE	
TIAA CREF Stock (annuity)	\$15,001 - \$50,000	None	NONE	
TIAA Traditional (annuity)	\$1,001 - \$15,000	None	NONE	
Virtus Investment Partners Inc. (VRTS)(IRA)	\$1,001 - \$15,000	Tax deferred	NONE	
Wells Fargo Co. (WFC)(IRA)	\$1,001 - \$15,000	Tax deferred	NONE	
Wells Fargo Money Market and cash deposits (IRA)	\$250,001 - \$500,000	Tax deferred	NONE	
Wells Fargo Money Market and cash deposits (taxable)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Assistive Technology Company stock, wheelchair distribution, Naperville, IL	S	Yes	1-13	\$100,001 - \$250,000
	Fauquier Bankshares Inc. (FBSS)(fn)	P	N/A	1-26	\$1,001 - \$15,000
	State Bank Financial Corp (STBZ)(fn)	P	N/A	10-21	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	Suntrust Mortgage	August 1997	Mortgage on personal residence	\$250,001 - \$500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Alliance for Health Reform, The Commonwealth Fund	Jan. 15-17	Nashville TN-Ft. Lauderdale FL-Nashville TN	Y	Y	Y	None
Edmond J. Saffra Center for Ethics at Harvard Univ.	Jan. 26-30	DC-Cambridge MA-Nashville TN	Y	Y	N	Jan. 28-30
Aspen Institute	Feb. 22-27	Nashville TN-San Juan PR-Nashville TN	Y	Y	Y	None
Aspen Institute, Carnegie Corp.	Aug. 15-20	Nashville TN-Banff Alberta-Nashville TN	Y	Y	Y	None
German Marshall Fund, Robt Bosch Stiftung	Aug. 29-Sep. 4	Nashville TN-New Delhi and Mumbai, India-Nashville TN	Y	Y	N	None
Aspen Institute	Sep. 24-30	DC-Barcelona Spain-DC	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Adjunct professor	Owen School of Management, Vanderbilt University
General Partner	PLC Properties, LLC
Member	Hamilton Fairfax, LLC
Partner	Cooper Bros. Land Company
Member	Harpeth Green Properties, LLC

FOOTNOTES

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule IV	Gift to dependent child from relative	Fauquier Bankshares Inc. (FBSS)(fn)
2	Schedule IV	Gift to dependent child from relative	State Bank Financial Corp (STBZ)(fn)
3	Schedule III	Dividend is applied towards the cash value of the policy	Northwestern Mutual "Adjustable Comp Life" Policy

James H.S. ("Jim") Cooper

**2011 Financial Disclosure Statement
Supplementary Schedule**

Schedule III

Cooper Brothers Partnership

Assets:

Located in or near Shelbyville, TN

- Lots at 756 Madison Street
 - Purchased 12/31/65, 7/29/77, and 2/16/88; tax appraisal value \$665,400
- Lot on Holland Street
 - Purchased 7/29/77, 12/27/72, and 1/31/70; tax appraisal \$43,300
- Lot on Depot Street
 - Purchased 12/31/85; tax appraisal \$35,500
- Lots at 319, 401, 409, and 414 East Lane Street, and 410 Deery Street
 - Purchased 7/1/54, tax appraisal value \$21,000 – Sold on Dec.13, 2006
 - Purchased 11/25/03, tax appraisal value \$111,700
 - Purchased 12/30/89, tax appraisal value \$26,700
 - Purchased 12/28/92, tax appraisal value \$59,500
 - Purchased 410 Deery St. on 10/19/06 for \$34,000
- Farm at 497 Shofner Bridge Road, 463 acres
 - Purchased 7/29/77; tax appraised value \$447,200
- Field on Thompson Creek Road, 80 acres
 - Purchased 12/31/87, tax appraisal \$30,300

Located in or near Smith Mills, KY (near Henderson, KY)

- Bank appraised value of all KY farms in 1999 at \$1.6 million
 - Tweedy and Floyd Farms, Hwy. 266, 575 acres
 - Purchased 5/18/69 and 3/27/74
 - Utleigh Farms, Burbank Road, 75 acres
 - Purchased 1979
 - Mueth and Brahm Farms, Alzey-Uniontown Road, 270 acres
 - Purchased 1/28/87
 - Klondike Farm, Klondike Road, 453 acres
 - Purchased 5/18/69, 1988

Located in Brentwood, TN

- **Park Center Partnership I, owns 21% of Gambro Bldg.**
 - **Purchased 9/30/00; tax appraised value \$9,352,300**
- **Park Center Partnership II, owns 21% of LBMC Bldg.**
 - **Purchased 9/30/00; tax appraised value \$8,853,200**

These partnerships were formed to develop the Gambro and LBMC Financial Center office buildings on Virginia Way in Maryland Farms

Located in Nashville, TN

- **Lot 6A at 648 Grassmere Office Park, Trousdale Pike, Nashville, TN**
 - **This lot was contributed to a development entity, Grassmere Five LLC in return for a 58% ownership interest; tax appraised value \$656,900**