



Filing ID #10020452

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. K. Michael Conaway
Status: Member
State/District: TX11

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2017
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SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Conabain Corporation [OL] LOCATION: Midland, TX, US DESCRIPTION: Royalty income Net loss		\$1,001 - \$15,000	Royalty	None	<input type="checkbox"/>
Conawell Corporation [OL] LOCATION: Midland, TX, US DESCRIPTION: Passive Investment entity Capital contributed for real estate purchase	JT	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Congressional Federal Credit Union [BA]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
HKN, Inc. (HKNI) [ST]		\$1 - \$1,000	None		<input type="checkbox"/>
Insurance Policies ⇒ American General 48 [WU]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Life 07 [WU]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 03 [WU]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Insurance Policies ⇒ Northwestern Mutual 17 [WU]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 38 [WU]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 73 [WU]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 75 [WU]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 96 [WU]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ Bank of America Bank RASP [BA] DESCRIPTION: Exchange from FIA Card Services RASP	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ Eaton Vance Balanced Fund Cl A [MF] DESCRIPTION: Transferred from W91	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ FIA Card Svcs RASP [BA] DESCRIPTION: Exchange to Bank of America RASP	JT	None	None		<input checked="" type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ International Business Machines Corporation (IBM) [ST]	JT	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ Invesco American Constellation Fd [MF]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ JP Morgan Chase & Co. (JPM) [ST]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ Putnam Global Healthcare Fd [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ Valley National Bancorp (VLY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JT M39 (Merrill Lynch) ⇒ Clayton Williams Energy, Inc. (CWEI) [ST]	JT	None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Transferred from JT W58 (Wells Fargo) Exchanged to Noble Energy JT M39					
JT M39 (Merrill Lynch) ⇒ INNATE IMMUNOTHERAPEUTIC AUD PAR ORDINARY [OT]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: INNATE IMMUNOTHERAPEUTIC AUD PAR ORDINARY Purchase and sale same year					
JT M39 (Merrill Lynch) ⇒ ML Bank Deposit Program [BA]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JT M39 (Merrill Lynch) ⇒ Noble Energy Inc. (NBL) [ST]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Exchange from CWEI					
Kidwell Exploration [OL]	SP	\$1,001 - \$15,000	Royalty	None	<input type="checkbox"/>
LOCATION: Midland, TX, US DESCRIPTION: Royalty					
KMC Inc [OL]		None	None		<input type="checkbox"/>
LOCATION: Midland, TX, US DESCRIPTION: Entity terminated					
Lario Oil & Gas [OT]	JT	\$100,001 - \$250,000	Oil & Gas Royalties	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Position not reported on 2015 Financial Disclosure					
Met Life Insurance [OT]	SP	None	Structured Settlement	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Structured Settlement					
Prudential SSA [OT]	SP	None	Structured Settlement	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Structured Settlement					
Royalties ⇒ Beulah Coleman [OT]	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
DESCRIPTION: Beulah Coleman					
SP E48 (Edward Jones) ⇒ Dreyfus Bond Market Index Fund [MF]	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ Edward Jones Money Market Fund [BA]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒	SP	\$15,001 - \$50,000	Dividends	\$201 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
iShares MSCI EAFE ETF [EF]				\$1,000	
SP E48 (Edward Jones) ⇒ iShares MSCI EAFE Value ETF [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Core Total U.S. Bond Market ETF (AGG) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares MSCI EAFE Growth ETF (EFG) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares MSCI EAFE Small-Cap ETF (SCZ) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell 1000 Growth ETF (IWF) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell 1000 Value ETF (IWD) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell 2000 ETF (IWM) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell Midcap Growth ETF (IWP) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell Mid-cap Value ETF (IWS) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares S&P 500 Growth ETF (IVW) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ JP Morgan Federal Money Market Fund [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ JP Morgan High Yield Fund [MF]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ Legg Mason Gbl Opp Bond Fund [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP E48 (Edward Jones) ⇒ Vanguard FTSE All World Ex US ETF (VEU) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ Vanguard High Dividend Yield ETF - DNQ (VYM) [EF]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ VANGUARD INTERMEDIATE TERM: BOND ETF [EF] DESCRIPTION: VANGUARD INTERMEDIATE TERM: BOND ETF	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ VANGUARD LARGE-CAP ETF [HE] DESCRIPTION: VANGUARD LARGE-CAP ETF	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ Vanguard Total Bond Market ETF (BND) [ST]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Amcap Fund CL A [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Balanced Fund CL A [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Funds Dev World Growth [MF]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Funds Global Balanced Fund CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American International Growth & Income Fund CL A [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Mutual Fund CL A [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ AT&T Inc. (T) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Bank of America Corporation (BAC) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Campbell Soup Company (CPB) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP E62 (Edward Jones) ⇒ Capital Income Builders Fund CL A [MF]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Capital World Growth & Income Fund CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Coca-Cola Company (KO) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Columbia Tax Exempt Fund CL A [MF]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Deere & Company (DE) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Edward Jones Money Market [BA]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Emerson Electric Company (EMR) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Europacific Growth Fund CL A [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Founding Fund Allocation CL A [MF]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Mutual Global Discovery FD [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Mutual Shares Fund [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Rising Dividend Fund [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Fundamental Investors Fund CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Growth Fund of America CL A [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Hartford Dividend & Growth Fund [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP E62 (Edward Jones) ⇒ Hartford Healthcare Fund CL A [MF]	SP	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ INVESCO HIGH YIELD MUNICIPAL: FUND CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Invesco Ltd (IVZ) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Investment Company of America Fund CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ New Perspective Fund CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ New World Fund CL A [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Nuveen AMT-Free Municipal Value [MF]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Putnam Global Healthcare Fund CL A [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Tax Exempt Bond Fund CL A [MF]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: American Funds - The Tax Exempt Fund of America					
SP E62 (Edward Jones) ⇒ Templeton Growth Fund CL A [MF]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Trimble Inc. (TRMB) [ST]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED: INC & GRWTH PRT FALL 2015 SER [OT]	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST DIVERSIFIED: INC & GRWTH PRT FALL 2015 SER:					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED: INCME & GRWTH PRTFLIO WINTER: 2015 SER [OT]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: UIT FIRST TRUST DIVERSIFIED: INCME & GRWTH PRFTLIO WINTER: 2015 SER					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED: INCOME & GROWTH PORT SER 2015: SUMMER [OT]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST DIVERSIFIED: INCOME & GROWTH PORT SER 2015: SUMMER					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST GLOBAL DIVID: PORT SER 42 [OT]	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST GLOBAL DIVID: PORT SER 42					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST: SER 24 INTL CAP STRENGTH PORT: [OT]	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST: SER 24 INTL CAP STRENGTH PORT:					
SP E62 (Edward Jones) ⇒ UIT FRIST TRUST EQUITY INCOME PORTFOLIO SER 54 [OT]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: UIT FRIST TRUST EQUITY INCOME PORTFOLIO SER 54					
SP E62 (Edward Jones) ⇒ UIT INVESCO UNIT TRS CENT: EQUITY TR DIVERSFED SER 2016-2: [OT]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: UIT INVESCO UNIT TRS CENT: EQUITY TR DIVERSFED SER 2016-2:					
SP E62 (Edward Jones) ⇒ Washington Mutual Investors Fund CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Wimberly Texas Ind Sch Dist 0.00% 08/15/38 [OT]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Municipal Bond					
SP E76 (Edward Jones) ⇒ BOND FUND OF AMERICA CL A [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Exchange from Washington Mutual Investors Fund					
SP E76 (Edward Jones) ⇒ CAPITAL INCOME BUILDER CL A [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Exchange from WASHINGTON MUTUAL INVESTORS FUND CL A					
SP E76 (Edward Jones) ⇒ Washington Mutual Investors Fund CL A [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M36 (Merrill Lynch) ⇒ GOLDMAN SACHS CAPITAL II - VAR% PERPETUAL CALLABLE [CS]	SP	None	Capital Gains, Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: GOLDMAN SACHS CAPITAL II - VAR% PERPETUAL CALLABLE					
SP M36 (Merrill Lynch) ⇒ R2000 ARN ISSUER SEK CAP 13.83% [OT]	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase and sale in 2017					
SP M36 (Merrill Lynch) ⇒ SX5E ARN ISSUER BOFA CAP 19.23% SV 3,579.32 DUE 01/25/19 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E ARN ISSUER BOFA CAP 19.23% SV 3,579.32 DUE 01/25/19					
SP M36 (Merrill Lynch) ⇒ SX5E LIRN ISSUER HSBC PART 118.00% [OT]	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E LIRN ISSUER HSBC PART 118.00%					
SP M36 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER CS STEP 30.00% [OT]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER CS STEP 30.00%					
SP M36 (Merrill Lynch) ⇒ WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL CALLABLE [CS]	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL CALLABLE					
SP M37 (Merrill Lynch) ⇒ Bank of America NA RASP [BA]	SP	\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ Eagle Growth & Income Fd [MF]	SP	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Position liquidated in 2015					
SP M37 (Merrill Lynch) ⇒ SP500 ARN ISSUER BAC MLTUK CAP 15.42% [OT]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: SP500 ARN ISSUER BAC MLTUK CAP 15.42%					
SP M37 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER CAP 14.54% [OT]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: SP500 CLIRN ISSUER CAP 14.54%					
SP M37 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER CAP 16.60% [OT]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: SP500 CLIRN ISSUER CAP 16.60%					
SP M37 (Merrill Lynch) ⇒ SPX/SX5E STEPUP ISS HSBC STEP 22.80% [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: SPX/SX5E STEPUP ISS HSBC STEP 22.80%					
SP M37 (Merrill Lynch) ⇒ SX5E LIRN ISSUER HSBC PART 118.00% [OT]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: SX5E LIRN ISSUER HSBC PART 118.00%					
SP M37 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER HSBC STEP 30.00% [OT]	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER HSBC STEP 30.00% Purchase and sale in 2017					
SP M37 (Merrill Lynch) ⇒ US BANCORP JR SUBORDNTD SER I GLB VAR% PERPETUAL [CS]	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: US BANCORP JR SUBORDNTD SER I GLB VAR% PERPETUAL					
SP M37 (Merrill Lynch) ⇒ WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL [CS]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL					
SP M40 (Merrill Lynch) ⇒ Arlington Tex Higher Ed Fin Corp 16.05% 12 01 51 [CS]	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Arlington Tex Higher Ed Fin Corp 16.05% 12 01 51					
SP M40 (Merrill Lynch) ⇒ Bank of America Bank Deposit Program [BA]	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Reported as Bank of America in 2016					
SP M40 (Merrill Lynch) ⇒ Blackrock Global Allocation FD [MF]	SP	None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Davis NY Venture FD [MF]	SP	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ DJIA LIRN Issuer RBC PART 111.30% [OT]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: DJIA LIRN Issuer RBC PART 111.30%					
SP M40 (Merrill Lynch) ⇒ Endologix, Inc. (ELGX) [ST]	SP	None	None		<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ First Eagle Global FD [MF]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ GENERATION PK MGMT DIST TEX ROAD 3.75% 09 01	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
2036 [OT]					
DESCRIPTION: GENERATION PK MGMT DIST TEX ROAD 3.75% 09 01 2036					
SP M40 (Merrill Lynch) ⇒ Hartford Growth Opportunities FD [MF]	SP	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ INDU BUF CAP PART NTS CAP 66.45% SV.. [OT]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: INDU BUF CAP PART NTS CAP 66.45% SV..					
SP M40 (Merrill Lynch) ⇒ Insurance Contract - JAX Nst'l Perspect [OT]	SP	\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
DESCRIPTION: Additional contribution					
SP M40 (Merrill Lynch) ⇒ R2000 ARN ISSUER HSBC CAP 12.75% SV 1,518.89 DUE 01/24/19 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: R2000 ARN ISSUER HSBC CAP 12.75% SV 1,518.89 DUE 01/24/19					
SP M40 (Merrill Lynch) ⇒ R2000 ARN ISSUER SEK CAP 14.28% SV 1,217.325 DUE 09/29/17 [OT]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: R2000 ARN ISSUER SEK CAP 14.28% SV 1,217.325 DUE 09/29/17					
SP M40 (Merrill Lynch) ⇒ R2000 ARN ISSUER SEK CAP 14.37% [OT]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: R2000 ARN ISSUER SEK CAP 14.37%					
SP M40 (Merrill Lynch) ⇒ R2000 CLIRN ISSUER BAC CAP 17.92% SV 1,334.341 DUE 11/21/18 [OT]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
DESCRIPTION: R2000 CLIRN ISSUER BAC CAP 17.92% SV 1,334.341 DUE 11/21/18					
SP M40 (Merrill Lynch) ⇒ R2000 CLIRN ISSUER BAC CAP 17.92% SV 1,334.341 DUE 11/21/18 [OT]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
DESCRIPTION: R2000 CLIRN ISSUER BAC CAP 17.92% SV 1,334.341 DUE 11/21/18					
SP M40 (Merrill Lynch) ⇒ R2000 CLIRN ISSUER CIBC CAP 18.18% [OT]	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: R2000 CLIRN ISSUER CIBC CAP 18.18%					
SP M40 (Merrill Lynch) ⇒ R2000 CLIRNS ISSUER CIBC CAP 20.40 [OT]	SP	None	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: R2000 CLIRNS ISSUER CIBC CAP 20.40					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M40 (Merrill Lynch) ⇒ R2000 STEPUP ISSUER BARC STEP 35.00% SV 1,375.595 DUE 01/28/22 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: R2000 STEPUP ISSUER BARC STEP 35.00% SV 1,375.595 DUE 01/28/22					
SP M40 (Merrill Lynch) ⇒ RIO GRANDE CITY TEX CONS ISD SER B RF PSF GTD OID OCT15 03.500%AUG15 2037 [GS]	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: RIO GRANDE CITY TEX CONS ISD SER B RF PSF GTD OID OCT15 03.500%AUG15 2037 Tax Exempt					
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER BOFA CAP 10.00% SV 2,388.77 DUE 06/29/18 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.00% SV 2,388.77 DUE 06/29/18					
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER BOFA CAP 10.00% SV 2,415.07 DUE 07/27/18 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.00% SV 2,415.07 DUE 07/27/18					
SP M40 (Merrill Lynch) ⇒ SP500 BEARARN ISS 14.54% [OT]	SP	None	None		<input type="checkbox"/>
DESCRIPTION: SP500 BEARARN ISS 14.54% Duplicate reporting SP500 CLIRN 14.54%					
SP M40 (Merrill Lynch) ⇒ SP500 C LIRN Issuer bac 14.54% [OT]	SP	None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: SP500 C LIRN Issuer bac 14.54% Fund position transferred from SP M36 and purchase on 06/08/16					
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN Issuer bac 14.04% [OT]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: SP500 CLIRN Issuer bac 14.04%					
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN Issuer bac 14.80% [OT]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: SP500 CLIRN Issuer bac 14.80%					
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN Issuer bac 15.36% [OT]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
DESCRIPTION: SP500 CLIRN Issuer bac 15.36%					
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN Issuer bac 19.85% [OT]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: SP500 CLIRN Issuer bac 19.85%					
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 16.60% SV 2,036.71 DUE 03/28/18 [OT]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 16.60% SV 2,036.71 DUE 03/28/18 Transfer from SP M36					
SP M40 (Merrill Lynch) ⇒ SP500 STEPUP ISSUER HSBC STEP 35.00% SV 2,296.68 DUE 01/27/23 [OT]	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 STEPUP ISSUER HSBC STEP 35.00% SV 2,296.68 DUE 01/27/23					
SP M40 (Merrill Lynch) ⇒ SP500 Suns Issurer 16.30% [OT]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: SP500 Suns Issurer 16.30%					
SP M40 (Merrill Lynch) ⇒ SX5E ARN ISSUER BOFA CAP 19.80% SV 3,333.96 DUE 04/27/18 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E ARN ISSUER BOFA CAP 19.80% SV 3,333.96 DUE 04/27/18					
SP M40 (Merrill Lynch) ⇒ SX5E CLIRN ISSUER BOFA CAP 25.90% SV 3,333.96 DUE 02/22/19 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E CLIRN ISSUER BOFA CAP 25.90% SV 3,333.96 DUE 02/22/19					
SP M40 (Merrill Lynch) ⇒ SX5E CLIRN ISSUER CS CAP 25.65% SV 3,444.73 DUE 08/30/19 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E CLIRN ISSUER CS CAP 25.65% SV 3,444.73 DUE 08/30/19					
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BAC STEP 27.90% SV 3,044.33 DUE 11/26/18 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER BAC STEP 27.90% SV 3,044.33 DUE 11/26/18					
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BNS STEP 30.00% SV 3,563.64 DUE 09/24/20 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER BNS STEP 30.00% SV 3,563.64 DUE 09/24/20					
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,221.14 DUE 09/28/18 [OT]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,221.14 DUE 09/28/18					
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER HSBC STEP 40.00% SV 3,444.73 DUE 08/25/22 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER HSBC STEP 40.00% SV 3,444.73 DUE 08/25/22					
SP M81 (Merrill Lynch) ⇒	SP	None	Capital Gains,	\$201 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3M Company (MMM) [ST]			Dividends	\$1,000	
SP M81 (Merrill Lynch) ⇒ Abbott Laboratories (ABT) [ST]	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ American Electric Power Company, Inc. (AEP) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ American Express Company (AXP) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Apple Inc. (AAPL) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Bank Deposit Program [BA]	SP	None	None		<input type="checkbox"/>
DESCRIPTION: SP M81 reported Bank Deposit Program and ML Bank Deposit Program Duplicate reporting					
SP M81 (Merrill Lynch) ⇒ Bank Nova Scotia Halifax Pfd 3 Ordinary Shares (BNS) [ST]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Brighthouse Financial, Inc. (BHF) [ST]	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Three purchases in September 2016 - liquidated in August 2017. Accumulation of three transaction = \$2,200					
SP M81 (Merrill Lynch) ⇒ Coca-Cola Company (KO) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CVS Caremark Corporation (CVS) [ST]	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Diageo plc (DEO) [ST]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Dominion Resources, Inc. (D) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Dunkin' Brands Group, Inc. (DNKN) [ST]	SP	None	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ E.I. du Pont de Nemours and Company (DD) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒	SP	\$1,001 - \$15,000	Dividends	\$201 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Exxon Mobil Corporation (XOM) [ST]				\$1,000	
SP M81 (Merrill Lynch) ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	SP	None	None		<input type="checkbox"/>
DESCRIPTION: Position liquidated 09/12/16 Transaction reported but value not reduced to -0-					
SP M81 (Merrill Lynch) ⇒ General Electric Company (GE) [ST]	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Home Depot, Inc. (HD) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Intel Corporation (INTC) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ International Business Machines Corporation (IBM) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ McDonald's Corporation (MCD) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ MetLife, Inc. (MET) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ML Bank Deposit Program [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ NextEra Energy, Inc. (NEE) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Northrop Grumman Corporation (NOC) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Occidental Petroleum Corporation (OXY) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M81 (Merrill Lynch) ⇒ Pfizer, Inc. (PFE) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Philip Morris International Inc (PM) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ PNC Financial Services Group, Inc. (PNC) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Procter & Gamble Company (PG) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Raytheon Company (RTN) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A) [ST]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Schlumberger N.V. (SLB) [ST]	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Simon Property Group, Inc. (SPG) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ The Kraft Heinz Company (KHC) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ U.S. Bancorp (USB) [ST]	SP	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ United Technologies Corporation (UTX) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Verizon Communications Inc. (VZ) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Visa Inc. (V) [ST]					
SP M81 (Merrill Lynch) ⇒ Wal-Mart Stores, Inc. (WMT) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Walt Disney Company (DIS) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ World Fuel Services Corporation (INT) [ST]	SP	None	Capital Gains	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Position liquidated 09/16/16 - Sale less than \$1,000, capital gain \$168					
SP M92 (Merrill Lynch) ⇒ Bank of America NA RASP [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
SP M92 (Merrill Lynch) ⇒ POWERSHARES QQQ TRUST SE 1 [OT]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: POWERSHARES QQQ TRUST SE 1					
SP M92 (Merrill Lynch) ⇒ POWERSHARES QQQ TRUST SE 1 [OT]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: POWERSHARES QQQ TRUST SE 1					
SP M92 (Merrill Lynch) ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase and sale in 2017					
SP M92 (Merrill Lynch) ⇒ VANGUARD 500 INDEX FUND SHS ETF [MF]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M92 (Merrill Lynch) ⇒ VANGUARD FTSE DEVELOPED MARKETS ETF [MF]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Cisco Systems, Inc. (CSCO) [ST]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Trust W66 (wells Fargo)					
Trust M69 (Merrill Lynch) ⇒ Clayton Williams Energy, Inc. (CWEI) [ST]	SP	None	None		<input type="checkbox"/>
DESCRIPTION: CWEI exchanged for Noble Energy on 04/27/17					
Trust M69 (Merrill Lynch) ⇒ Goldman Sachs Cap II var % [CS]	SP	None	Capital Gains, Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Goldman Sachs Cap II var %					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Trust M69 (Merrill Lynch) ⇒ Micron Technology, Inc. (MU) [ST] DESCRIPTION: Transferred from Trust W64 (Wells Fargo)	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Microsoft Corporation (MSFT) [ST] DESCRIPTION: Transferred from Trust W66 (wells Fargo)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ ML Bank Deposit Program [BA]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Noble Energy Inc. (NBL) [ST] DESCRIPTION: CWEI shares exchanged for Noble Energy	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ R2000 ARN ISSUER SEK CAP 11.55% SV 1,547.107 DUE 02/22/19 [OT] DESCRIPTION: R2000 ARN ISSUER SEK CAP 11.55% SV 1,547.107 DUE 02/22/19	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ R2000 CLIRN 18.04 [OT] DESCRIPTION: R2000 CLIRN 18.04	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Southwest Airlines Company (LUV) [ST] DESCRIPTION: Transferred from Trust W64 (Wells Fargo)	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ SP500 ARN ISSUER BOFA CAP 10.00% SV 2,560.40 DUE 12/21/18 [OT] DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.00% SV 2,560.40 DUE 12/21/18	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN 14.04 [OT] DESCRIPTION: SP500 CLIRN 14.04	SP	None	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN 14.54 [OT] DESCRIPTION: SP500 CLIRN 14.54	SP	None	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN 19.85 [OT] DESCRIPTION: SP500 CLIRN 19.85	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒	SP	None	Capital Gains	\$5,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP500 CLIRN Issuer BNS CAP 16.40% [OT]				\$15,000	
DESCRIPTION: SP500 CLIRN Issuer BNS CAP 16.40%					
Trust M69 (Merrill Lynch) ⇒ SP500 STEPUP ISSUER HSBC STEP 35.00% SV 2,296.68 DUE 01/27/23 BUF15% CALL [OT]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 STEPUP ISSUER HSBC STEP 35.00% SV 2,296.68 DUE 01/27/23 BUF15% CALL					
Trust M69 (Merrill Lynch) ⇒ SP500 STEPUP ISSUER HSBC STEP 35.00% SV 2,296.68 DUE 01/27/23 BUF15% CALL [OT]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 STEPUP ISSUER HSBC STEP 35.00% SV 2,296.68 DUE 01/27/23 BUF15% CALL					
Trust M69 (Merrill Lynch) ⇒ SP500 STEPUP ISSUER HSBC STEP 35.00% SV 2,296.68 DUE 01/27/23 BUF15% CALL [OT]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 STEPUP ISSUER HSBC STEP 35.00% SV 2,296.68 DUE 01/27/23 BUF15% CALL					
Trust M69 (Merrill Lynch) ⇒ SX5E ARN ISSUER BOFA CAP 17.70% SV 3,637.20 DUE 12/21/18 [OT]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E ARN ISSUER BOFA CAP 17.70% SV 3,637.20 DUE 12/21/18					
Trust M69 (Merrill Lynch) ⇒ SX5E CLIRN ISSUER CS CAP 25.65% SV 3,444.73 DUE 08/30/19 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E CLIRN ISSUER CS CAP 25.65% SV 3,444.73 DUE 08/30/19					
Trust M69 (Merrill Lynch) ⇒ SX5E STEPUP 21.70% [OT]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP 21.70%					
Trust M69 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BNS STEP 30.00% SV 3,563.64 DUE 09/24/20 [OT]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER BNS STEP 30.00% SV 3,563.64 DUE 09/24/20					
Trust M69 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER HSBC STEP 40.00% SV 3,444.73 DUE 08/25/22 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER HSBC STEP 40.00% SV 3,444.73 DUE 08/25/22					
Trust M69 (Merrill Lynch) ⇒ Teradyne, Inc. (TER) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Transferred from Trust W66 (wells Fargo)					
Trust M69 (Merrill Lynch) ⇒	SP	None	Dividends	\$1,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Wells Fargo Jr Sub Series K var % 03 15 18 [CS] DESCRIPTION: Wells Fargo Jr Sub Series K var % 03 15 18				\$2,500	
Trust S ⇒ Wells Fargo Checking [BA]	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Trust W64 (Wells Fargo) ⇒ Argentine Peso / US Dollar (ARSUSD) [ST]	SP	None	None		<input type="checkbox"/>
Trust W64 (Wells Fargo) ⇒ Micron Technology, Inc. (MU) [ST] DESCRIPTION: Position transferred to M69	SP	None	None		<input type="checkbox"/>
Trust W64 (Wells Fargo) ⇒ Southwest Airlines Company (LUV) [ST] DESCRIPTION: Position transferred to M69	SP	None	None		<input type="checkbox"/>
Wells Fargo ⇒ Wells Fargo Checking [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo ⇒ Wells Fargo Savings [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo ⇒ Wells Fargo Savings [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
JT Mo6 (Merrill Lynch) ⇒ Bank of America Bank RASP [BA] DESCRIPTION: Exchange from FIA Card Services RASP	JT	10/6/2017	E	\$1,001 - \$15,000	
JT Mo6 (Merrill Lynch) ⇒ FIA Card Services RASP [BA] DESCRIPTION: Exchange to Bank of America RASP	JT	10/6/2017	E	\$1,001 - \$15,000	
JT M39 (Merrill Lynch) ⇒ Clayton Williams Energy, Inc. (CWEI) [ST] DESCRIPTION: Exchange to NBL	JT	05/3/2017	E	\$50,001 - \$100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
JT M39 (Merrill Lynch) ⇒ INNATE IMMUNOTHERAPEUTIC AUD PAR ORDINARY [OT] LOCATION: US DESCRIPTION: INNATE IMMUNOTHERAPEUTIC AUD PAR ORDINARY	JT	01/26/2017	P	\$1,001 - \$15,000	
JT M39 (Merrill Lynch) ⇒ INNATE IMMUNOTHERAPEUTIC AUD PAR ORDINARY [OT] LOCATION: US DESCRIPTION: INNATE IMMUNOTHERAPEUTIC AUD PAR ORDINARY	JT	02/3/2017	P	\$1,001 - \$15,000	
JT M39 (Merrill Lynch) ⇒ INNATE IMMUNOTHERAPEUTIC AUD PAR ORDINARY [OT] LOCATION: US DESCRIPTION: INNATE IMMUNOTHERAPEUTIC AUD PAR ORDINARY Sale less than \$1,000 Security description wrong	JT	11/27/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
JT M39 (Merrill Lynch) ⇒ Noble Energy Inc. (NBL) [ST] DESCRIPTION: Exchange from CWEI	JT	05/3/2017	E	\$50,001 - \$100,000	
SP E48 (Edward Jones) ⇒ Dreyfus Bond Market Index Fund [MF]	SP	03/10/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Core Total US Bond Market EFT AGG [EF]	SP	03/10/2017	P	\$15,001 - \$50,000	
SP E48 (Edward Jones) ⇒ iShares MSCI EAFE Value ETF [EF]	SP	03/10/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell 1000 Value ETF (IWD ST) [EF]	SP	03/10/2017	P	\$15,001 - \$50,000	
SP E48 (Edward Jones) ⇒ iShares Russell 2000 ETF (IWM st) [EF]	SP	03/10/2017	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ iShares Russell Midcap Growth ETF (IWP) [EF]	SP	03/10/2017	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ JP Morgan Federal Money Market Fund [BA]	SP	03/10/2017	P	\$15,001 - \$50,000	
SP E48 (Edward Jones) ⇒ JP Morgan Federal Money Market Fund [BA]	SP	06/28/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP E48 (Edward Jones) ⇒ JP Morgan Federal Money Market Fund [BA]	SP	03/10/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ JP Morgan High Yield Fund [MF]	SP	03/10/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ Vanguard FTSE All World Ex US ETF (VEU) [MF]	SP	03/10/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ Vanguard High Dividend Yield ETF - DNQ [MF]	SP	03/10/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ Vanguard Total Bond Market ETF [MF]	SP	03/10/2017	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ American Funds Dev World Growth [MF]	SP	05/15/2017	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ American Funds Tax Exempt Bond Fd [OT]	SP	07/12/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: American Funds Tax Exempt Bond Fd					
SP E62 (Edward Jones) ⇒ AT&T Inc. (T) [ST]	SP	11/30/2017	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ AT&T Inc. (T) [ST]	SP	11/30/2017	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ Deere & Company (DE) [ST]	SP	05/15/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Deere & Company (DE) [ST]	SP	05/15/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Hartford Healthcare Fund CL A [MF]	SP	04/17/2017	P	\$50,001 - \$100,000	
SP E62 (Edward Jones) ⇒ New Perspective Fund CL A [MF]	SP	04/27/2017	P	\$50,001 - \$100,000	
SP E62 (Edward Jones) ⇒ Trimble Inc. (TRMB) [ST]	SP	05/15/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP E62 (Edward Jones) ⇒ Trimble Inc. (TRMB) [ST]	SP	11/30/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST SER 24 INTL CAP STRENGTH PORT [OT]	SP	03/7/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: UIT FIRST TRUST SER 24 INTL CAP STRENGTH PORT Redemption					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST GLOBAL DIVID PORT SER 42 MONTHLY REINVEST [OT]	SP	04/17/2017	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: UIT FIRST TRUST GLOBAL DIVID PORT SER 42 MONTHLY REINVEST Redemption					
SP E62 (Edward Jones) ⇒ UIT FRIST TRUST EQUITY INCOME PORTFOLIO SER 54 MONTHLY [OT]	SP	03/21/2017	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: UIT FRIST TRUST EQUITY INCOME PORTFOLIO SER 54 MONTHLY					
SP E62 (Edward Jones) ⇒ Wimberley Tex ISD School Bonds [GS]	SP	07/12/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Wimberley Tex ISD School Bonds					
SP E76 (Edward Jones) ⇒ Bond Fund of America Cl A [OT]	SP	07/12/2017	E	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: Exchange from Washington Mutual Fd Cl A SP E76					
SP E76 (Edward Jones) ⇒ BOND FUND OF AMERICA CL A [MF]	SP	07/12/2017	E	\$1,001 - \$15,000	
DESCRIPTION: Exchange from WASHINGTON MUTUAL INVESTORS FUND CL A					
SP E76 (Edward Jones) ⇒ Capital Income Builder Cl A [OT]	SP	07/12/2017	E	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: Exchange from Washington Mutual Cl A SP E76					
SP E76 (Edward Jones) ⇒ CAPITAL INCOME BUILDER CL A [MF]	SP	07/12/2017	E	\$1,001 - \$15,000	
DESCRIPTION: Exchange from WASHINGTON MUTUAL INVESTORS FUND CL A					
SP E76 (Edward Jones) ⇒	SP	07/12/2017	E	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Washington Mutual Investors Fund CL A [OT]					
LOCATION: US					
DESCRIPTION: Exchange to Bond Fund of America SP E76					
SP E76 (Edward Jones) ⇒ Washington Mutual Investors Fund CL A [OT]	SP	07/12/2017	E	\$1,001 - \$15,000	
LOCATION: US					
DESCRIPTION: Exchange to Capital Income Builder Cl A SP E76					
SP M36 (Merrill Lynch) ⇒ GOLDMAN SACHS CAPITAL II - VAR% PERPETUAL [CS]	SP	04/20/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: GOLDMAN SACHS CAPITAL II - VAR% PERPETUAL					
SP M36 (Merrill Lynch) ⇒ R2000 ARN ISSUER SEK CAP 13.83% [OT]	SP	11/22/2017	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US					
DESCRIPTION: R2000 ARN ISSUER SEK CAP 13.83% Redemption					
SP M36 (Merrill Lynch) ⇒ R2000 ARN ISSUER SEK CAP 13.83% SV 1,237.751 DUE 11/22/17 [OT]	SP	04/20/2017	P	\$50,001 - \$100,000	
LOCATION: US					
DESCRIPTION: R2000 ARN ISSUER SEK CAP 13.83% SV 1,237.751 DUE 11/22/17					
SP M36 (Merrill Lynch) ⇒ SX5E ARN ISSUER BOFA CAP 19.23% SV 3,579.32 DUE 01/25/19 [OT]	SP	11/21/2017	P	\$50,001 - \$100,000	
LOCATION: US					
DESCRIPTION: SX5E ARN ISSUER BOFA CAP 19.23% SV 3,579.32 DUE 01/25/19					
SP M36 (Merrill Lynch) ⇒ SX5E LIRN ISSUER HSBC PART 118.00% SV 3,669.79 DUE 03/27/20 [OT]	SP	11/20/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: US					
DESCRIPTION: SX5E LIRN ISSUER HSBC PART 118.00% SV 3,669.79 DUE 03/27/20					
SP M36 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER CS STEP 30.00% SV 3,579.32 DUE 11/27/20 [OT]	SP	11/21/2017	P	\$15,001 - \$50,000	
LOCATION: US					
DESCRIPTION: SX5E STEPUP ISSUER CS STEP 30.00% SV 3,579.32 DUE 11/27/20					
SP M36 (Merrill Lynch) ⇒ WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL CALLABLE [CS]	SP	04/20/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL CALLABLE					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M37 (Merrill Lynch) ⇒ Eagle Growth & Income [MF]	SP	05/18/2015	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ SP500 ARN ISSUER BAC CAP 15.42% SV 1,951.70 DUE 04/28/17 [OT] LOCATION: US DESCRIPTION: SP500 ARN ISSUER BAC CAP 15.42% SV 1,951.70 DUE 04/28/17 Redemption	SP	04/28/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 14.54% SV 1,987.66 DUE 08/25/17 [OT] LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 14.54% SV 1,987.66 DUE 08/25/17 Redemption	SP	08/25/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ SPX/SX5E STEPUP ISS HSBC STEP 22.80% [OT] LOCATION: US DESCRIPTION: SPX/SX5E STEPUP ISS HSBC STEP 22.80%	SP	01/19/2017	P	\$50,001 - \$100,000	
SP M37 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER HSBC STEP 30.00% [OT] LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER HSBC STEP 30.00%	SP	05/3/2017	P	\$15,001 - \$50,000	
SP M37 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,280.78 DUE 08/31/18 [OT] LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,280.78 DUE 08/31/18 Redemption	SP	08/25/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ US BANCORP JR SUBORDNTD [CS] DESCRIPTION: US BANCORP JR SUBORDNTD	SP	01/19/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ WELLS FARGO & COMPANY JR SUBORDNTD SER [CS] DESCRIPTION: WELLS FARGO & COMPANY JR SUBORDNTD SER	SP	01/19/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Blackrock Global Allocation Fd [MF]	SP	04/3/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ DJIA LIRN ISSUER RBC PART 111.30% SV 16,846.1 DUE 06/28/19 [OT]	SP	03/15/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US DESCRIPTION: DJIA LIRN ISSUER RBC PART 111.30% SV 16,846.1 DUE 06/28/19					
SP M40 (Merrill Lynch) ⇒ Endologix, Inc. (ELGX) [ST]	SP	11/20/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ First Eagle Global [MF]	SP	04/25/2017	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ INDU BUF CAP PART NTS CAP 66.45% SV 17,900.10 DUE 12/27/19 BUF 30.00% [OT]	SP	03/15/2017	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: INDU BUF CAP PART NTS CAP 66.45% SV 17,900.10 DUE 12/27/19 BUF 30.00%					
SP M40 (Merrill Lynch) ⇒ R2000 ARN ISSUER HSBC CAP 12.75% SV 1,518.89 DUE 01/24/19 [OT]	SP	11/21/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: R2000 ARN ISSUER HSBC CAP 12.75% SV 1,518.89 DUE 01/24/19					
SP M40 (Merrill Lynch) ⇒ R2000 ARN ISSUER SEK CAP 13.83% [OT]	SP	11/22/2017	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: R2000 ARN ISSUER SEK CAP 13.83% Redemption					
SP M40 (Merrill Lynch) ⇒ R2000 ARN ISSUER SEK CAP 13.83% SV 1,237.751 DUE 11/22/17 [OT]	SP	03/15/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: R2000 ARN ISSUER SEK CAP 13.83% SV 1,237.751 DUE 11/22/17					
SP M40 (Merrill Lynch) ⇒ R2000 ARN ISSUER SEK CAP 14.28% SV 1,217.325 DUE 09/29/17 [OT]	SP	03/15/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: R2000 ARN ISSUER SEK CAP 14.28% SV 1,217.325 DUE 09/29/17					
SP M40 (Merrill Lynch) ⇒ R2000 ARN ISSUER SEK CAP 14.28% SV 1,217.325 DUE 09/29/17 [OT]	SP	09/29/2017	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: R2000 ARN ISSUER SEK CAP 14.28% SV 1,217.325 DUE 09/29/17 Redemption					
SP M40 (Merrill Lynch) ⇒ R2000 ARN ISSUER SEK CAP 14.37% [OT]	SP	05/2/2017	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US DESCRIPTION: R2000 ARN ISSUER SEK CAP 14.37%					
SP M40 (Merrill Lynch) ⇒ R2000 ARN ISSUER SEK CAP 14.37% [OT]	SP	05/2/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: R2000 ARN ISSUER SEK CAP 14.37%					
SP M40 (Merrill Lynch) ⇒ R2000 CLIRN ISSUER BAC CAP 17.92% SV 1,334.341 DUE 11/21/18 [OT]	SP	12/1/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: R2000 CLIRN ISSUER BAC CAP 17.92% SV 1,334.341 DUE 11/21/18					
SP M40 (Merrill Lynch) ⇒ R2000 CLIRN ISSUER CIBC CAP 18.18% [OT]	SP	05/8/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: R2000 CLIRN ISSUER CIBC CAP 18.18%					
SP M40 (Merrill Lynch) ⇒ R2000 CLIRNS ISSUER CIBC CAP 20.40% [OT]	SP	05/12/2017	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: R2000 CLIRNS ISSUER CIBC CAP 20.40%					
SP M40 (Merrill Lynch) ⇒ R2000 CLIRNS ISSUER CIBC CAP 20.40% SV 1,075.699 DUE 03/28/18 [OT]	SP	02/6/2017	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: R2000 CLIRNS ISSUER CIBC CAP 20.40% SV 1,075.699 DUE 03/28/18					
SP M40 (Merrill Lynch) ⇒ R2000 CLIRNS ISSUER CIBC CAP 20.40% SV 1,075.699 DUE 03/28/18 [OT]	SP	02/17/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: R2000 CLIRNS ISSUER CIBC CAP 20.40% SV 1,075.699 DUE 03/28/18					
SP M40 (Merrill Lynch) ⇒ R2000 STEPUP ISSUER BARC STEP 35.00% [OT]	SP	01/26/2017	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: R2000 STEPUP ISSUER BARC STEP 35.00%					
SP M40 (Merrill Lynch) ⇒ R2000 STEPUP ISSUER BARC STEP 35.00% SV 1,488.786 DUE 09/30/22 [OT]	SP	09/28/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: R2000 STEPUP ISSUER BARC STEP 35.00% SV 1,488.786 DUE 09/30/22					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M40 (Merrill Lynch) ⇒ RIO GRANDE CITY TEX CONS ISD SER B RF PSF GTD OID OCT15 03.500%AUG15 2037 [GS]	SP	08/28/2017	P	\$50,001 - \$100,000	
DESCRIPTION: RIO GRANDE CITY TEX CONS ISD SER B RF PSF GTD OID OCT15 03.500%AUG15 2037					
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER BOFA CAP 10.00% SV 2,388.77 DUE 06/29/18 [OT]	SP	09/19/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.00% SV 2,388.77 DUE 06/29/18					
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER BOFA CAP 10.00% SV 2,415.07 DUE 07/27/18 [OT]	SP	09/21/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.00% SV 2,415.07 DUE 07/27/18					
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 14.04% SV 2,089.41 DUE 10/27/17 [OT]	SP	08/25/2017	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 14.04% SV 2,089.41 DUE 10/27/17					
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 14.54% SV 1,987.66 DUE 08/25/17 [OT]	SP	08/25/2017	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 14.54% SV 1,987.66 DUE 08/25/17 Redemption					
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 14.80% SV 2,075.81 DUE 04/27/18 [OT]	SP	12/1/2017	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 14.80% SV 2,075.81 DUE 04/27/18					
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 16.60% SV 2,036.71 DUE 03/28/18 [OT]	SP	11/28/2017	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 16.60% SV 2,036.71 DUE 03/28/18					
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER CS CAP 19.85% [OT]	SP	01/17/2017	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER CS CAP 19.85%					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M40 (Merrill Lynch) ⇒ SP500 STEPUP ISSUER BNS STEP 16.30% [OT]	SP	01/17/2017	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: SP500 STEPUP ISSUER BNS STEP 16.30%					
SP M40 (Merrill Lynch) ⇒ SP500 STEPUP ISSUER HSBC STEP 35.00% [OT]	SP	01/26/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SP500 STEPUP ISSUER HSBC STEP 35.00% Attributed to wrong account on Periodic Transaction Report					
SP M40 (Merrill Lynch) ⇒ SX5E ARN ISSUER BOFA CAP 19.80% SV 3,333.96 DUE 04/27/18 [OT]	SP	04/3/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SX5E ARN ISSUER BOFA CAP 19.80% SV 3,333.96 DUE 04/27/18					
SP M40 (Merrill Lynch) ⇒ SX5E CLIRN ISSUER BOFA CAP 25.90% SV 3,333.96 DUE 02/22/19 [OT]	SP	11/28/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SX5E CLIRN ISSUER BOFA CAP 25.90% SV 3,333.96 DUE 02/22/19					
SP M40 (Merrill Lynch) ⇒ SX5E CLIRN ISSUER CS CAP 25.65% SV 3,444.73 DUE 08/30/19 [OT]	SP	08/24/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SX5E CLIRN ISSUER CS CAP 25.65% SV 3,444.73 DUE 08/30/19					
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BAC STEP 27.90% SV 3,044.33 DUE 11/26/18 [OT]	SP	09/19/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER BAC STEP 27.90% SV 3,044.33 DUE 11/26/18					
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BNS STEP 30.00% SV 3,563.64 DUE 09/24/20 [OT]	SP	09/28/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER BNS STEP 30.00% SV 3,563.64 DUE 09/24/20					
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,221.14 DUE 09/28/18 [OT]	SP	04/25/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,221.14 DUE 09/28/18					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,221.14 DUE 09/28/18 [OT]	SP	09/29/2017	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,221.14 DUE 09/28/18 Redemption					
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER HSBC STEP 40.00% SV 3,444.73 DUE 08/25/22 [OT]	SP	08/24/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER HSBC STEP 40.00% SV 3,444.73 DUE 08/25/22					
SP M81 (Merrill Lynch) ⇒ 3M Company (MMM) [ST]	SP	07/18/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Abbott Laboratories (ABT) [ST]	SP	04/19/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ American Express Company (AXP) [ST]	SP	03/24/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ American Express Company (AXP) [ST]	SP	03/24/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ American Express Company (AXP) [ST]	SP	10/17/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Apple Inc. (AAPL) [ST]	SP	06/27/2017	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ Bank Nova Scotia Halifax Pfd 3 Ordinary Shares (BNS) [ST]	SP	09/26/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Bank Nova Scotia Halifax Pfd 3 Ordinary Shares (BNS) [ST]	SP	09/25/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Bank Nova Scotia Halifax Pfd 3 Ordinary Shares (BNS) [ST]	SP	09/22/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Brighthouse Financial, Inc. (BHF) [ST]	SP	08/9/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Brighthouse Financial, Inc. (BHF) [ST]	SP	08/8/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Brighthouse Financial, Inc. (BHF) [ST]	SP	09/12/2016	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Brighthouse Financial, Inc. (BHF) [ST]	SP	08/9/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Coca-Cola Company (KO) [ST]	SP	08/25/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Coca-Cola Company (KO) [ST]	SP	08/24/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Coca-Cola Company (KO) [ST]	SP	12/8/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CVS Health Corporation (CVS) [ST]	SP	08/25/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CVS Health Corporation (CVS) [ST]	SP	08/24/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Diageo plc (DEO) [ST]	SP	12/12/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Diageo plc (DEO) [ST]	SP	12/11/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Diageo plc (DEO) [ST]	SP	12/8/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ DOWDUPONT INC COM PAY DATE 09/01/2017 [OT]	SP	09/1/2017	E	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: DOWDUPONT INC COM PAY DATE 09/01/2017					
SP M81 (Merrill Lynch) ⇒ DU PONT E I DE NEMOURS PAY DATE 09/01/2017 [OT]	SP	09/1/2017	E	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: DU PONT E I DE NEMOURS PAY DATE 09/01/2017					
SP M81 (Merrill Lynch) ⇒	SP	07/18/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
E.I. du Pont de Nemours and Company (DD) [ST]			(partial)		
SP M81 (Merrill Lynch) ⇒ Exxon Mobil Corporation (XOM) [ST]	SP	09/22/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ General Electric Company (GE) [ST]	SP	07/18/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ General Electric Company (GE) [ST]	SP	11/15/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Intel Corporation (INTC) [ST]	SP	08/9/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ International Business Machines Corporation (IBM) [ST]	SP	04/19/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ International Business Machines Corporation (IBM) [ST]	SP	04/19/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ International Business Machines Corporation (IBM) [ST]	SP	08/9/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	01/18/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	08/7/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	10/17/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ McDonald's Corporation (MCD) [ST]	SP	07/18/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ MetLife, Inc. (MET) [ST]	SP	08/9/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ MetLife, Inc. (MET) [ST]	SP	09/22/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Microsoft Corporation (MSFT) [ST]	SP	07/18/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Northrop Grumman Corporation (NOC) [ST]	SP	11/15/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Occidental Petroleum Corporation (OXY) [ST]	SP	09/22/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Philip Morris International Inc (PM) [ST]	SP	01/18/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Philip Morris International Inc (PM) [ST]	SP	03/24/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Philip Morris International Inc (PM) [ST]	SP	03/24/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Philip Morris International Inc (PM) [ST]	SP	08/25/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Philip Morris International Inc (PM) [ST]	SP	08/24/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Philip Morris International Inc (PM) [ST]	SP	12/8/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ PNC Financial Services Group, Inc. (PNC) [ST]	SP	10/4/2017	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ Raytheon Company (RTN) [ST]	SP	07/18/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Raytheon Company (RTN) [ST]	SP	07/19/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Raytheon Company (RTN) [ST]	SP	11/3/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B) [ST]	SP	09/25/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B) [ST]	SP	09/22/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Schlumberger N.V. (SLB) [ST]	SP	06/27/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Simon Property Group, Inc. (SPG) [ST]	SP	01/18/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Simon Property Group, Inc. (SPG) [ST]	SP	01/18/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Simon Property Group, Inc. (SPG) [ST]	SP	07/18/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Simon Property Group, Inc. (SPG) [ST]	SP	12/8/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ The Kraft Heinz Company (KHC) [ST]	SP	03/24/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ The Kraft Heinz Company (KHC) [ST]	SP	03/24/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ The Kraft Heinz Company (KHC) [ST]	SP	11/3/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	SP	03/24/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ U.S. Bancorp (USB) [ST]	SP	09/22/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ U.S. Bancorp (USB) [ST]	SP	10/2/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ United Technologies Corporation (UTX) [ST]	SP	07/18/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	03/24/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	03/24/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	10/17/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	12/8/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Verizon Communications Inc. (VZ) [ST]	SP	07/18/2017	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Visa Inc. (V) [ST]	SP	08/9/2017	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ Wal-Mart Stores, Inc. (WMT) [ST]	SP	12/8/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Walt Disney Company (DIS) [ST]	SP	10/4/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M92 (Merrill Lynch) ⇒ POWERSHARES QQQ TRUST SE 1 [OT] LOCATION: US DESCRIPTION: POWERSHARES QQQ TRUST SE 1	JT	10/19/2017	P	\$1,001 - \$15,000	
SP M92 (Merrill Lynch) ⇒ SPDR DOW JONES INDUST AV ETF TRUST [OT] LOCATION: US DESCRIPTION: SPDR DOW JONES INDUST AV ETF TRUST	JT	10/19/2017	P	\$15,001 - \$50,000	
SP M92 (Merrill Lynch) ⇒ SPDR DOW JONES INDUST AV ETF TRUST [OT] LOCATION: US DESCRIPTION: SPDR DOW JONES INDUST AV ETF TRUST	SP	11/21/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M92 (Merrill Lynch) ⇒ VANGUARD 500 INDEX FUND SHS ETF [OT] LOCATION: US DESCRIPTION: VANGUARD 500 INDEX FUND SHS ETF	JT	10/19/2017	P	\$15,001 - \$50,000	
SP M92 (Merrill Lynch) ⇒ VANGUARD FTSE DEVELOPED MARKETS ETF [OT] LOCATION: US DESCRIPTION: VANGUARD FTSE DEVELOPED MARKETS ETF	JT	10/19/2017	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Trust M69 (Merrill Lynch) ⇒ Cisco Systems, Inc. (CSCO) [ST]	SP	11/20/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Clayton Williams Energy, Inc. (CWEI) [ST] DESCRIPTION: Exchange CWEI for NBL	SP	05/3/2017	E	\$50,001 - \$100,000	
Trust M69 (Merrill Lynch) ⇒ GOLDMAN SACHS CAPITAL II - VAR% PERPETUAL [CS] DESCRIPTION: GOLDMAN SACHS CAPITAL II - VAR% PERPETUAL	SP	04/20/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ MSCI EM ARN ISSUER SEK CAP 17.65% SV 857.45 DUE 01/26/18 [OT] LOCATION: US DESCRIPTION: MSCI EM ARN ISSUER SEK CAP 17.65% SV 857.45 DUE 01/26/18	SP	04/20/2017	P	\$50,001 - \$100,000	
Trust M69 (Merrill Lynch) ⇒ Noble Energy Inc. (NBL) [ST] DESCRIPTION: Exchange CWEI to NBL	SP	05/3/2017	E	\$50,001 - \$100,000	
Trust M69 (Merrill Lynch) ⇒ R2000 ARN ISSUER SEK CAP 11.55% SV 1,547.107 DUE 02/22/19 [OT] LOCATION: US DESCRIPTION: R2000 ARN ISSUER SEK CAP 11.55% SV 1,547.107 DUE 02/22/19	SP	12/21/2017	P	\$15,001 - \$50,000	
Trust M69 (Merrill Lynch) ⇒ SP500 ARN ISSUER BOFA CAP 10.00% SV 2,560.40 DUE 12/21/18 [OT] LOCATION: US DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.00% SV 2,560.40 DUE 12/21/18	SP	10/26/2017	P	\$50,001 - \$100,000	
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 14.04% SV 2,089.41 DUE 10/27/17 [OT] LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 14.04% SV 2,089.41 DUE 10/27/17 Redemption	SP	10/27/2017	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 14.54% SV 1,987.66 DUE 08/25/17 [OT] LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 14.54% SV 1,987.66 DUE 08/25/17 Exchange	SP	08/25/2017	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒	SP	01/17/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP500 CLIRN ISSUER CS CAP 19.85% [OT] LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER CS CAP 19.85%					
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRNS ISSUER BNS CAP 16.40% SV 2,038.97 DUE 12/22/17 [OT]	SP	12/22/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: SP500 CLIRNS ISSUER BNS CAP 16.40% SV 2,038.97 DUE 12/22/17 Redemption					
Trust M69 (Merrill Lynch) ⇒ SP500 STEPUP ISSUER HSBC STEP 35.00% SV 2,296.68 DUE 01/27/23 BUF15% CALL [OT]	SP	01/26/2017	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: SP500 STEPUP ISSUER HSBC STEP 35.00% SV 2,296.68 DUE 01/27/23 BUF15% CALL Transaction reported in					
Trust M69 (Merrill Lynch) ⇒ SX5E ARN ISSUER BOFA CAP 17.70% SV 3,637.20 DUE 12/21/18 [OT]	SP	10/26/2017	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: SX5E ARN ISSUER BOFA CAP 17.70% SV 3,637.20 DUE 12/21/18					
Trust M69 (Merrill Lynch) ⇒ SX5E CLIRN ISSUER CS CAP 25.65% SV 3,444.73 DUE 08/30/19 [OT]	SP	08/24/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SX5E CLIRN ISSUER CS CAP 25.65% SV 3,444.73 DUE 08/30/19					
Trust M69 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BAC STEP 21.70% SV 3,019.34 DUE 09/29/17 [OT]	SP	09/29/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER BAC STEP 21.70% SV 3,019.34 DUE 09/29/17 Redemption					
Trust M69 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BNS STEP 30.00% SV 3,563.64 DUE 09/24/20 [OT]	SP	09/28/2017	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER BNS STEP 30.00% SV 3,563.64 DUE 09/24/20					
Trust M69 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER HSBC STEP 40.00% SV 3,444.73 DUE 08/25/22 [OT]	SP	08/24/2017	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER HSBC STEP 40.00% SV 3,444.73 DUE 08/25/22					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Trust M69 (Merrill Lynch) ⇒ WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL CALLABLE [CS]	SP	04/20/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL CALLABLE					
Trust W64 (Wells Fargo) ⇒ Argentine Peso / US Dollar (ARSUSD) [ST]	SP	11/8/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Complete liquidation of position. Proceeds less than \$1,000					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member - Lifetime	United Way of Midland, Texas
School of Business Advisory Council	University of Texas Permian Basin
Board of Directors and Rodeo Committee	Sandhills Hereford & Quarterhorse Show, Inc.
Sole Proprietor	K. Michael Conaway, CPA
Board of Directors - President	Conawell Corporation
Board of Directors - Vice President	Kidwell Exploration
President	Conabain Corporation

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
National Associations of State Boards of Accountancy	10/27/2017	10/29/2017	Washington, DC - New York, NY - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Insurance Policies
LOCATION: US
- JT Mo6 (Merrill Lynch) (Owner: JT)
- JT M39 (Merrill Lynch) (Owner: JT)
LOCATION: US
- Royalties (Owner: JT)
LOCATION: US
- SP E48 (Edward Jones) (Owner: SP)
LOCATION: US
DESCRIPTION: Managed Account – Not self-directed
- SP E62 (Edward Jones) (Owner: SP)
LOCATION: US
- SP E76 (Edward Jones) (Owner: SP)
- SP M36 (Merrill Lynch) (Owner: SP)
LOCATION: US
- SP M37 (Merrill Lynch) (Owner: SP)
LOCATION: US
- SP M40 (Merrill Lynch) (Owner: SP)
LOCATION: US
- SP M81 (Merrill Lynch) (Owner: SP)
DESCRIPTION: Managed Account – Not self-directed
- SP M92 (Merrill Lynch) (Owner: SP)
- Trust M69 (Merrill Lynch) (Owner: SP)
- Trust S (Owner: SP)
- Trust W64 (Wells Fargo) (Owner: SP)
- Wells Fargo
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. K. Michael Conaway , 08/10/2018