

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2008 FINANCIAL DISCLOSURE STATEMENT

**FORM A**  
For use by Members, officers, and employees

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**HAND DELIVERED**

Michael N. Castle  
(Full Name)

202-225-4165  
(Daytime Telephone)

APR 14 PM 12:53  
(Office Use Only)

**Filer Status**  
☒ Member of the U.S. House of Representatives

State: DE  
District: 01

☐ Officer Or Employee

Employing Office:

**Report Type**

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<b>Trusts--</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
State of Delaware	Pension	\$39,049.68

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source  Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.  Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset  at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income  Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.	BLOCK D Amount of Income  For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.	BLOCK E Transaction  Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
14 George Street, Dewey Beach, DE (Note: for the above, gross rental only and does not reflect expenses)	\$500,001 - \$1,000,000	RENT	\$15,001 - \$50,000	N/A
224A Justice Court, Washington, DC (Note: for the above, gross rental only and does not reflect expenses)	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	N/A
4566 Parkside Drive Kiawah Island, SC	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	N/A
Legg, Mason Capital Opportunity Fund	None		NONE	S
Dodge & Cox Balanced Fund	None	DIVIDENDS	\$2,501 - \$5,000	S

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Fidelity Tax Free Money Market	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
Cohen & Steers Realty	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S (Partial)
Boston Partners Small Cap Value II (Now Robeco Boston Partner Small Cap Value II)	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
FPA Crescent Inst. Shares	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
Harbor International Investor Shares	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
Hennessy Focus 30 Fund	\$15,001 - \$50,000	NONE		
Marsico Focus Fund	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
Oakmark Global Fund	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
Weitz Partner Value Fund	None	CAPITAL GAINS	\$1,001 - \$2,500	S
Wm. Blair Small Cap Growth Fund	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
Vanguard Prime Money Market	None	DIVIDENDS	\$1,001 - \$2,500	S
Vanguard Tax Exempt Money Market Fund	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	P
Vanguard Inter-Term Tax Exempt Fund	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
Vanguard Capital Value Fund	None	NONE		S

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Vanguard Health Care Fund	\$50,001 - \$100,000	DIVIDENDS/INTE REST	\$5,001 - \$15,000	
Vanguard Emerging Markets Vipers	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Vanguard PRIMECAP Core Fund	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
Evergreen Money Market Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Apache Corp.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Caci Intl Inc.	\$1,001 - \$15,000		NONE	
CRA International Inc. Formerly Charles River Associates	\$1,001 - \$15,000		NONE	
Ebay, Inc.	\$1,001 - \$15,000		NONE	
FiServ, Inc.	\$15,001 - \$50,000		NONE	
Freeport McMoran Copper & Gold, Inc	\$1,001 - \$15,000		NONE	P
Grant Prideco	None	CAPITAL GAINS	\$5,001 - \$15,000	S (as part of merger)
National Oilwell Varco (acquired as part of merger with Grant Prideco, Inc. 4/22/08)	\$15,001 - \$50,000		NONE	Other
Ingersoll Rand Co. CLA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
iShares MSCI Canada	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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International Game Technology	None	DIVIDENDS	\$1 - \$200	S
Macrovision Solutions Corp (merged with Gemstar TV Guide on 5/7/08)	\$1,001 - \$15,000		NONE	
IL-VI Inc.	\$1,001 - \$15,000		NONE	
Delaware State HSG Auth. Rev. Bond (due 7/1/17)	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
Amgen, Inc.	\$15,001 - \$50,000		NONE	
Nucor Corp.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
L3 Communications	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
IPath MSCI India IDXET	None		NONE	S
Powershares Zacks Micro Cap Portfolio	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Symantec Corp	\$1,001 - \$15,000		NONE	
PR Electric Power due 7-1-17 Zero Coupon	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Puerto Rico Hwy Bond due 7/1/19	\$15,001 - \$50,000	INTEREST	\$1 - \$200	P
Puerto Rico Mun Fin Agy due 8/1/12	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
BCE Inc. (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	

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Intel Corp (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
Kimco Realty Corp (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
Plum Creek Timber (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
Valero Energy (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Fidelity Floating Rate High Income (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Artisan Mid Cap Value (IRA)	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	
Bridgeway Aggressive Investor 2 Fund (IRA)	\$15,001 - \$50,000	CAPITAL GAINS	\$1,001 - \$2,500	
Columbia Value & Restructuring (changed from Excelsior Value & Restructuring) (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Janus Mid-Cap Value (IRA)	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
Columbia High Yield (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Columbia Acorn Select Class Z (IRA)	\$15,001 - \$50,000	CAPITAL GAINS	\$201 - \$1,000	
iShares Inc. MSCI S. Korea Index Fund (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
FBR Focus Fund (formerly FBR Small Cap Fund) (IRA)	\$1,001 - \$15,000	NONE		

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	Fidelity Equity Income Fund (IRA)	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	
	Oakmark International (IRA)	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	
	Oakmark Equity & Income (IRA)	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	
	Dodge & Cox International Stock Fund (IRA)	\$50,001 - \$100,000	DIVIDENDS	\$5,001 - \$15,000	
	Pimco Commodity Real Return CLA Strategy (IRA)	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
	Pimco Total Return Class D (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
	Pimco Emerging Markets Bond (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
	Muhlenkamp Fund (IRA)	None	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	S
	Wintergreen Fund (IRA)	\$1,001 - \$15,000		NONE	
	Fidelity Cash Reserves (IRA)	\$50,001 - \$100,000	DIVIDENDS	\$1 - \$200	
	Valeant Pharmaceuticals Int.	\$1,001 - \$15,000		NONE	
	RMA Tax-Free Fund Inc.	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	Morgan Stanley Liquid Asset (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Morgan Stanley Pacific Growth Fund (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	



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SP	Morgan Stanley European Equity Fund (IRA)	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	
SP	Morgan Stanley Focus Growth Fund Fd A (formerly Morgan Stanley American Oppor.) (IRA)	\$1,001 - \$15,000		NONE	
SP	Morgan Stanley Dividend Growth Securities (IRA)	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	
SP	Alger Mid Cap Growth	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Fidelity Diver Intl.	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Fidelity Equity Inc. II	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	MSIF Sm Co. Growth B	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Fid. Mgd. Inc. Port	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Vanguard Money Market Reserve Prime Portfolio	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Janney Montgomery Scott Money Market	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Altria Group, Inc.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Phillip Morris International (acquired as spin off from Altria Group 3/31/08)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	Other
SP	Apple Inc	\$1,001 - \$15,000		NONE	P
SP	Blackrock Income Trust	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

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SP	Citigroup, Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	General Electric Company	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Kraft Foods Class A	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Morgan Stanley Insured Mun. Secur.	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
SP	Nuveen Municipal Value Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Putnam Premier Income Trust SBI	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Wilmington Trust Corp	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Franklin Income Fund	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
SP	Puerto Rico Mun. Financial Author. 4.75% CD due 8/1/22	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
SP	General Motors Accept. Corp. 5.125% CD due 5/9/08	None	INTEREST	\$1 - \$200	S
SP	Ford Motor Credit Co. 5.19% CD due 6/20/08	None	INTEREST	\$1 - \$200	S
SP	Westernbank PR CD due 2/4/08	None	INTEREST	\$1 - \$200	S
SP	Discover Bank Greenwood CD due 5/5/08	None	INTEREST	\$1 - \$200	S
SP	BMW Bank North America CD due 10/6/08	None	INTEREST	\$1 - \$200	S

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Washington Mutual Bank CD due 11/12/08	None	INTEREST	\$1 - \$200	S
SP	First Bank Puerto Rico CD due 3/23/09	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
SP	Discover Bank Greenwood CD due 8/11/09	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
SP	Lehman Bros. Bank CD due 6/30/08	None	INTEREST	\$1 - \$200	S
SP	Lehman Bros. Coml Bank CD due 10/26/09	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
SP	Countywide Bank Alexandria VA CD due 2/10/10	None		NONE	S
SP	Lehman Bros. Bank CD due 3/22/10	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
SP	Capital Income BLD R FD C	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
SP	Income FD AMER INC CL C	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
SP	Janney Montgomery Scott Money Market (Arthur DiSabatino DECD IRA)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
SP	Providian Nat'l Bank CD due 8/11/08 (Arthur DiSabatino DECD IRA)	None	INTEREST	\$1 - \$200	S
SP	Capital World Growth & Income FD Class C (Arthur DiSabatino DECD IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	T. Rowe Price Variable Annuity	\$100,001 - \$250,000		NONE	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Trust U/A 8-25-41 James M.  
Castle Trust #1, 16.66%  
Allocable share of trust income  
per K-1  
Acct #6519006588

	N/A	DIVIDENDS	\$1,001 - \$2,500	
JMC Trust #1 Wachovia PT Money Market	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #1 Evergreen Core Bond Fund	None	As noted above	As noted above	S
JMC Trust #1 Evergreen Enhanced S&P 500 FD CL1	\$100,001 - \$250,000	As noted above	As noted above	S (Partial)
JMC Trust #1 Harbor International Fund	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #1 Julius Baer International Equity (Artio International Equity)	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #1 JP Morgan High Yield Bond Select	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #1 Pimco Foreign Bond FD US Dollar - Hedged Inst CL	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #1 Pimco Total Return Fund	\$50,001 - \$100,000	As noted above	As noted above	P
JMC Trust #1 iShares Russell 2000 Index FD	\$15,001 - \$50,000	As noted above	As noted above	P
Trust U/A 1-21-53 James M. Castle Trust #3, 16.66% interest Allocable share of trust income per K-1 Account #6519006640	N/A	DIVIDENDS	\$5,001 - \$15,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JMC Trust #3 iShares MSCI EAFE Index Fund	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #3 iShares Russell Midcap Index Fund	None	As noted above	As noted above	S
JMC Trust #3 Wachovia PT Money Market	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 Evergreen Core Bond Fund	None	As noted above	As noted above	S
JMC Trust #3 DuPont	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 General Electric	\$15,001 - \$50,000	As noted above	As noted above	S (Partial)
JMC Trust #3 H.J. Heinz Co.	\$1,001 - \$15,000	As noted above	As noted above	S (Partial)
JMC Trust #3 Proctor & Gamble Co.	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 Exxon Mobil Corp Com	\$50,001 - \$100,000	As noted above	As noted above	S (Partial)
JMC Trust #3 Home Depot	None	As noted above	As noted above	S
JMC Trust #3 Intel Corp	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 Wyeth	\$1,001 - \$15,000	As noted above	As noted above	S (Partial)
JMC Trust #3 Bristol Myers Squibb Co	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 Johnson & Johnson	\$1,001 - \$15,000	As noted above	As noted above	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JMC Trust #3 BP PLC Sponsored ADR	\$1,001 - \$15,000	As noted above	As noted above	S (Partial)
JMC Trust #3 AT & T Inc	\$1,001 - \$15,000	As noted above	As noted above	S (Partial)
JMC Trust #3 Verizon Communications	\$1,001 - \$15,000	As noted above	As noted above	S (Partial)
JMC Trust #3 J.P. Morgan Chase	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 Bank of New York Mellon	\$1,001 - \$15,000	As noted above	As noted above	S (Partial)
JMC Trust #3 Bank of America Corp	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #3 Emerson Electric Co.	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #3 First Energy Corp	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #3 IBM	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #3 Ross Stores, Inc.	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #3 Dodge & Cox International Stock	\$15,001 - \$50,000	As noted above	As noted above	P
JMC Trust #3 JPMorgan High Yield Bond	\$15,001 - \$50,000	As noted above	As noted above	P
JMC Trust #3 Julius Baer International Equity II-I (Artio International)	\$15,001 - \$50,000	As noted above	As noted above	P
JMC Trust #3 Pimco Foreign Bond Fund	\$15,001 - \$50,000	As noted above	As noted above	P

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JMC Trust #3 Pimco Total Return Fund	\$50,001 - \$100,000	As noted above	As noted above	P
JMC Trust #3 Westport Fund Select Cap Fund	\$15,001 - \$50,000	As noted above	As noted above	P
JMC Trust #3 iShares Russell 2000 Index Fund	\$15,001 - \$50,000	As noted above	As noted above	P
Trust U/A 1-4-46, James M. Castle Trust #2, 16.66% allocable shares of trust income per K-1 Acct. #6519006597	N/A	DIVIDENDS	\$1,001 - \$2,500	
JMC Trust #2 Wachovia PT Money Market	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #2 Evergreen Core Bond Fund	\$15,001 - \$50,000	As noted above	As noted above	
JMC Trust #2 General Electric Co.	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #2 Home Depot Inc.	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #2 Abbot Labs	\$15,001 - \$50,000	As noted above	As noted above	
JMC Trust #2 Bristol Myers Scuibb Co.	\$1 - \$1,000	As noted above	As noted above	
JMC Trust #2 Exxon Mobil Corp	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #2 Bank of New York Mellon	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #2 Cisco Systems	\$1 - \$1,000	As noted above	As noted above	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JMC Trust #2 Hewlett Packard Co.	\$1 - \$1,000	As noted above	As noted above	
JMC Trust #2 BP PLC Sponsored ADR	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #2 Hospira	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #2 Harbor International Fund INS CL	\$15,001 - \$50,000	As noted above	As noted above	
JMC Trust #2 Evergreen International Bond Fund	\$1,001 - \$15,000	As noted above	As noted above	
James M. Castle, Jr. 8/27/68 Allocable share trust income per K-1 Account #6519006347	N/A	DIVIDENDS	\$15,001 - \$50,000	
James M. Castle, Jr. Trust Wachovia PT Money Market	\$15,001 - \$50,000	As noted above	As noted above	
James M. Castle, Jr. Trust Delaware Trust Municipal Bond Fund	\$100,001 - \$250,000	As noted above	As noted above	S (Partial)
James M. Castle, Jr. Trust DuPont	\$1,001 - \$15,000	As noted above	As noted above	
James M. Castle, Jr. Trust General Electric	\$1,001 - \$15,000	As noted above	As noted above	
James M. Castle, Jr. Trust Abbot Labs	\$1,001 - \$15,000	As noted above	As noted above	
James M. Castle, Jr. Trust Glaxo Smith Kline	\$1,001 - \$15,000	As noted above	As noted above	
James M. Castle, Jr. Trust BP PLC	\$1,001 - \$15,000	As noted above	As noted above	



# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Michael N. Castle

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James M. Castle, Jr. Trust Chevron	\$1,001 - \$15,000	As noted above	As noted above	
James M. Castle, Jr. Trust Wellpoint, Inc.	\$1,001 - \$15,000	As noted above	As noted above	
James M. Castle, Jr. Trust Windstream Corp Com	\$1,001 - \$15,000	As noted above	As noted above	
James M. Castle, Jr. Trust Evergreen Enhanced S&P 500 FD CL1	\$100,001 - \$250,000	As noted above	As noted above	P
James M. Castle, Jr. Trust Evergreen International Equity Fund	\$1,001 - \$15,000	As noted above	As noted above	
James M. Castle, Jr. Trust iShares MSCI EAFE Index Fund	\$15,001 - \$50,000	As noted above	As noted above	
James M. Castle, Jr. Trust iShares Russell Mid Cap Index Fund	None	As noted above	As noted above	S
James M. Castle, Jr. Trust Delaware Pooled Trust International Equity	\$1,001 - \$15,000	As noted above	As noted above	
James M. Castle, Jr. Trust Pimco Foreign Bond Fd	\$15,001 - \$50,000	As noted above	As noted above	P
James M. Castle, Jr. Trust iShares Russell 2000 Index Fd	\$50,001 - \$100,000	As noted above	As noted above	P
James M. Castle, Jr. Trust JPMorgan High Yield Bond Fd	\$15,001 - \$50,000	As noted above	As noted above	P

# SCHEDULE IV - TRANSACTIONS

Name Michael N. Castle

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Vanguard Capital Value Fund	S	12/12/08	\$15,001 - \$50,000
	Dodge & Cox Balanced Fund	S	12/11/08	\$50,001 - \$100,000
	Weitz Partners Value	S	1/7/08	\$50,001 - \$100,000
	Legg Mason Opportunity Trust	S	12/11/08	\$15,001 - \$50,000
	Grant Prideco, Inc (as part of a merger with National Oilwell Varco)	S	4/22/08	\$15,001 - \$50,000
	National Oilwell Varco (acquired as part of a merger with Grant Prideco)	Other	4/22/08	\$15,001 - \$50,000
	International Game Technology	S	9/15/08	\$1,001 - \$15,000
	I Path MSCI India Index	S	12/1/08	\$1,001 - \$15,000
SP	BMW Bank North America CD	S	10/6/08	\$15,001 - \$50,000
SP	Countrywide Bank Alexandria CD	S	2/11/08	\$1,001 - \$15,000
SP	Discover Bank Greenwood	S	5/5/08	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name Michael N. Castle

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Ford Motor Credit Co. CD	S	6/20/08	\$1,001 - \$15,000
SP	General Motors Accept. Corp. CD	S	5/9/08	\$1,001 - \$15,000
SP	Lehman Bros. 4.0	S	6/30/08	\$1,001 - \$15,000
SP	Washington Mutual Bank CD	S	2/4/08	\$1,001 - \$15,000
SP	Western Bank PR	S	2/4/08	\$1,001 - \$15,000
SP	Phillip Morris International (acquired as spin off from Altria Group 3/31/08)	Other	3/31/08	\$1,001 - \$15,000
SP	Apple Inc.	P	10/21/08	\$1,001 - \$15,000
SP	Providian National Bank CD	S	8/11/08	\$1,001 - \$15,000
	Cohen & Steers Realty	S partial	1/7/08	\$15,001 - \$50,000
	Harbor International Investor Shares	P	1/8/08	\$15,001 - \$50,000
	Vanguard Prime Money Market	S	12/11/08	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Vanguard Tax Exempt Money Market Fund	P	12/12/08	\$15,001 - \$50,000
	Freeport McMoran Copper & Gold, Inc.	P	12/1/08	\$1,001 - \$15,000
	Ingersoll Rand Co. CLA	P	12/1/08	\$1,001 - \$15,000
	Puerto Rico Hwy Bond due 7/1/19	P	7/1/08	\$15,001 - \$50,000
	Puerto Rico Mun Fin Agy due 8/1/12	P	11/28/08	\$15,001 - \$50,000
	Muhlenkamp Fund (IRA)	S	1/14/08	\$15,001 - \$50,000
	JMC Trust #1 Evergreen Core Bond Fund	S	8/8/08	\$50,001 - \$100,000
	JMC Trust #1 Evergreen Enhanced S&P 500 FD CL 1	S Partial	8/8/08	\$15,001 - \$50,000
	JMC Trust #1 Harbor International Fund	P	8/8/08	\$1,001 - \$15,000
	JMC Trust #1 Julius Baer International Equity (Artio International Equity)	P	8/8/08	\$1,001 - \$15,000
	JMC Trust #1 JP Morgan High Yield Bond Select	P	8/8/08	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	JMC Trust #1 Pimco Foreign Bond FD US Dollar hedged Inst. CL	P	8/8/08	\$1,001 - \$15,000
	JMC Trust #1 Pimco Total Return Fund	P	8/8/08	\$50,001 - \$100,000
	JMC Trust #1 iShares Russell 2000 Index Fund	P	8/8/08	\$15,001 - \$50,000
	JMC Trust #3 Bank of America Corp	P	8/12/08	\$1,001 - \$15,000
	JMC Trust #3 Emerson Electric Co.	P	8/12/08	\$1,001 - \$15,000
	JMC Trust #3 First Energy Corp.	P	8/12/08	\$1,001 - \$15,000
	JMC Trust #3 IBM	P	8/12/08	\$1,001 - \$15,000
	JMC Trust #3 Ross Stores, Inc	P	8/12/08	\$1,001 - \$15,000
	JMC Trust #3 Dodge & Cox Inter. Stock	P	8/13/08	\$15,001 - \$50,000
	JMC Trust #3 Dodge & Cox International Stock Fund	P	12/24/08	\$1,001 - \$15,000
	JMC Trust #3 JP Morgan High Yield Bond	P	8/13/08	\$15,001 - \$50,000

# SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	JMC Trust #3 Julius Baer International Ew. II-I (Artio International)	P	8/13/08	\$15,001 - \$50,000
	JMC Trust #3 Pimco Foreign Bond Fund	P	8/13/08	\$15,001 - \$50,000
	JMC Trust #3 Pimco Foreign Bond Fund	P	12/12/08	\$1,001 - \$15,000
	JMC Trust #3 Pimco Total Return Fund	P	8/13/08	\$100,001 - \$250,000
	JMC Trust #3 Westport Fund Select Cap	P	8/13/08	\$50,001 - \$100,000
	JMC Trust #3 iShares MSCI EAFE Index Fund	P	8/15/08	\$15,001 - \$50,000
	JMC Trust #3 iShares Russell 2000 Index Fund	P	8/15/08	\$50,001 - \$100,000
	JMC Trust #3 AT&T, Inc.	S partial	8/12/08	\$15,001 - \$50,000
	JMC Trust #3 Bank of NY Mellon	S partial	8/12/08	\$1,001 - \$15,000
	JMC Trust #3 BP PLC Sponsored ADR	S partial	8/12/08	\$50,001 - \$100,000
	JMC Trust #3 Exxon Mobile Corp	S partial	8/12/08	\$15,001 - \$50,000

# SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	JMC Trust #3 General Electric	S partial	8/12/08	\$15,001 - \$50,000
	JMC Trust #3 H.J. Heinz Co.	S partial	8/12/08	\$15,001 - \$50,000
	JMC Trust #3 Home Depot	S	8/12/98	\$1,001 - \$15,000
	JMC Trust #3 Verizon	S partial	8/12/08	\$1,001 - \$15,000
	JMC Trust #3 Wyeth Com	S partial	8/12/08	\$50,001 - \$100,000
	JMC Trust #3 Evergreen Core Bond Fund	S	8/13/08	\$250,001 - \$500,000
	JMC Trust #3 iShares Russell Mid Cap Index	S	8/15/08	\$15,001 - \$50,000
	James M. Castle, Jr. Trust Delaware Trust Municipal Bond Fund	S partial	9/2/08	\$50,001 - \$100,000
	James M. Castle, Jr. Trust Evergreen Enhanced S&P 500	P	8/8/08	\$15,001 - \$50,000
	James M. Castle, Jr. Trust iShares Russell Mid Cap Index Fund	S	8/7/08	\$15,001 - \$50,000
	James M. Castle, Jr. Trust Pimco Foreign Bond Fund	P	8/29/08	\$15,001 - \$50,000

**SCHEDULE IV - TRANSACTIONS**

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	James M. Castle, Jr. Trust iShares Russell 2000 Index Fund	P	9/3/08	\$50,001 - \$100,000
	James M. Castle, Jr. Trust JP Morgan High Yield Bond Fund	P	8/8/08	\$15,001 - \$50,000



# SCHEDULE VII - POSITIONS

Name Michael N. Castle

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	The Southern Institute on Children and Families
Board Member	James B. Hunt, Jr. Institute for Educational Leadership & Policy
Board Member	The Oquirrh Institute