

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A

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For use by Members, officers, and employees

**HAND  
DELIVERED**

Rush D. Holt

(Full Name)

(Daytime Telephone)

☒ Member of the U.S. House of Representatives  
State: NJ District: 12

☐ Officer Or Employee  
Employing Office:

Report Type: ☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of this period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "Yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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(Office Use Only)

*ME*

**SCHEDULE I - EARNED INCOME**

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
University Medical Center of Princeton at Plainsboro	Spouse salary	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>		<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP	Bristol Myers Squibb (com)	\$15,001 - \$50,000	None	NONE	inherited 2012
JT	Community Bank	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	Congressional Credit Union	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	Edna and William Froelich Trust, Minonk, IL	see footnote	Farm Income	\$2,501 - \$5,000	inherited 2012
SP	Fidelity 457(b) 2025 Freedom Fund	\$50,001 - \$100,000	TAX-DEFERRED	NONE	E
SP	Fidelity Government Money Market Inherited Roth IRA	\$15,001 - \$50,000	None	NONE	inherited 2012

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Fidelity IRA 2025 Freedom Fund	\$250,001 - \$500,000	TAX-DEFERRED	NONE	E
	Froelich Land Trust, Sect. 28, Township 27, Range 3 East, Livingston County, IL	\$100,001 - \$250,000	Farm Income	\$2,501 - \$5,000	
SP	Lancefield Farm Income, Lancefield Rd., Amity, OR	\$100,001 - \$250,000	Farm Income	\$5,001 - \$15,000	
SP	Prudential 403(b) Medley Flex Management Acct. VCA 24	\$15,001 - \$50,000	TAX-DEFERRED	NONE	E
	Rental Cabins, 91 Old Hawkeye Rd., AuSable Forks, NY	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
	Rental House 273A Pennington Rocky Hill Rd., Pennington, NJ	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
SP	TIAA IRA TC Equity Index Retirement Fund	\$15,001 - \$50,000	TAX-DEFERRED	NONE	E
SP	TIAA/CREF 403(b) Traditional 30-70	\$250,001 - \$500,000	TAX-DEFERRED	NONE	E
SP	TIAA/CREF 403(b) Traditional 30-70	\$100,001 - \$250,000	TAX-DEFERRED	NONE	
	TIAA/CREF 403(b) Traditional 45-55	\$250,001 - \$500,000	TAX-DEFERRED	NONE	
SP	Transamerica Retirement 403(b)	\$500,001 - \$1,000,000	TAX-DEFERRED	NONE	PS&E
SP	US Bancorp (com)	\$50,001 - \$100,000	None	NONE	inherited 2012
	Vanguard Windsor Retirement Fund 401(k)	\$100,001 - \$250,000	TAX-DEFERRED	NONE	
JT	Wells Fargo Bank	\$100,001 - \$250,000	INTEREST	\$201 - \$1,000	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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SP	Zimmer Holdings	\$1,001 - \$15,000	None	NONE	Inherited 2012
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# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.  
Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.  
\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Fidelity 457(b) 2025 Freedom Fund	E	N/A	12/1/12	\$50,001 - \$100,000
12/1/	Fidelity IRA 2025 Freedom Fund	E	N/A	12/1/12	\$250,001 - \$500,000
	Prudential 403(b) Medley Flex Management Acct. VCA 24	E	N/A	12/1/12	\$15,001 - \$50,000
	TIAA IRA TC Equity Index Retirement Fund	E	N/A	12/1/12	\$15,001 - \$50,000
	TIAA/CREF 403(b) Traditional 30-70	E	N/A	12/1/12	\$250,001 - \$500,000
	Transamerica Retirement 403(b)	E	N/A	12/1/12	\$500,001 - \$1,000,000

# SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. \*This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	Well Fargo	Oct. 2009 (discharged Aug. 2012)	Mortgage on property AuSable Forks, NY	\$250,001 - \$500,000
JT	Wells Fargo	March 2007	Home equity loan Pennington, NJ	\$250,001 - \$500,000

# **SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Alliance for Healthcare	Jan. 20- 23, 2012	Newark, NJ --Ft. Lauderdale, FL-- Newark	Y	Y	Y	1 day
Aspen Institute Congressional Program	March 30- April 5, 2012	Newark--Sao Paolo, Brazil-- Newark	Y	Y	Y	none
Carnegie Institution for Science (For Board Meeting)	Nov 14- 16, 2012	Newark-- San Francisco-- Newark	Y	Y	N	none



**SCHEDULE VIII - POSITIONS**

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Trustees	Planned Parenthood of MercerArea
Board of Trustees	Carnegie Institution for Science
Board of Trustees	Family Guidance Center, Family and Children's Services

**FOOTNOTES**

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule IV	items listed on 2011 Financial Disclosure Statement: Fidelity 457(b), belonging to spouse Prudential 403(b), belonging to spouse TIAA IRA, belonging to spouse TIAA 403(b), belonging to spouse all rolled over to Transamerica (Diversity)403(b) Dec. 31, 2011 (no separate purchases or sales)	
2	Schedule III	Edna and Wm Froelich Trust is income interest only, not ownership interest	Edna and William Froelich Trust, Mironk, IL