# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Hon. David E. Price

Status: Member State/District: NC04

#### FILING INFORMATION

**Filing Type:** Amendment Report

Filing Year: 2014

**Filing Date:** 10/7/2015

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
*******Westview Press		None	Royalties	\$1 - \$200	П
******Merrill Lynch Bank Deposit Program	JT	\$100,001 - \$250,000	Interest	\$1 - \$200	П
******Sun Trust Bank Account	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	П
*****Metropolitan Life Insurance Policy  Description: Whole Life Insurance Policy		\$1,001 - \$15,000	Dividends	\$1 - \$200	П
*****Northwest Mutual Life Insurance Policy  Description: Whole Life Insurance Policy		\$15,001 - \$50,000	Distribution	\$201 - \$1,000	П
****BB&T IRA	SP	\$1,001 - \$15,000	Tax-Deferred		
****North Carolina Local Government Employees 401(K) plan ⇒ ****North Carolina Stable Value Fund	SP	\$1,001 - \$15,000	Tax-Deferred		П

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
*****North Carolina Local Government Employees 401(K) Plan	SP	\$1,001 - \$15,000	Tax-Deferred		П
***TIAA/CREF Retirement Plan ⇒ ***CREF Bond Market Fund		\$100,001 - \$250,000	Tax-Deferred		
***TIAA/CREF Retirement Plan ⇒ ***CREF Stock Fund		\$500,001 - \$1,000,000	Tax-Deferred		П
***TIAA/CREF Retirement Plan ⇒ ***TIAA Real EstateFund		\$50,001 - \$100,000	Tax-Deferred		П
***TIAA/CREF Retirement Plan ⇒ ***TIAA Traditional Fund		\$100,001 - \$250,000	Tax-Deferred		П
***TIAA/CREF Retirement Plan		\$1,000,001 - \$5,000,000	Tax-Deferred		П
**Appalachian State University Bonds	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
Description: Bonds					
**Duke University Capital Facilities Bonds	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	
Description: Bonds					
**University of North Carolina Chapel Hill Bonds	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
Description: Bonds					
*Cultural Arts Bonds - Charlotte NC	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
Description: Bonds					
*Municipal Bonds - High Point, NC	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
Description: Bonds					
*Municipal Bonds - Mooresville, NC	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
Description: Bonds					
*Municipal Bonds - Raleigh, NC	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
Description: Bonds					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
*NC Community Health System Bonds	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
Description: Bonds					
*NC Health Care Facilities Bonds  Description: Bonds	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	
			_		
*NC Health Care Facilities Bonds - Novant	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
Description: Bonds					
*NC Health Care Facilities Bonds - Vidant	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	
Description: Bonds					
*NC Hospital Bonds - Baptist Hospital	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
Description: Bonds					
*NC Hospital Bonds - Johnston County	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
Description: Bonds					
*NC Hospital Bonds - Wake County	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
Description: Bonds					
*Stormwater Bonds - Charlotte, NC	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
Description: Bonds					
3M Company (MMM)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
ABB Ltd (ABB)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Air Products and Chemicals, Inc. (APD)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Alcoa Inc. (AA)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Amgen Inc. (AMGN)	JT	\$15,001 - \$50,000	Dividends	\$201 -	

Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
			\$1,000	
JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	
JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	
JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	
JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	
JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	
JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	
JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<u>~</u>
JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
	JT J	JT \$1,001 - \$15,000  JT \$15,001 - \$50,000  JT \$50,001 - \$15,000  JT \$1,001 - \$15,000  JT \$15,001 - \$50,000  JT \$15,001 - \$50,000  JT \$15,001 - \$50,000  JT \$1,001 - \$15,000  JT \$1,001 - \$15,000  JT \$1,001 - \$15,000  JT \$15,001 - \$50,000  JT \$15,001 - \$50,000  JT \$15,001 - \$50,000  JT \$15,001 - \$50,000	Type(s)         JT       \$1,001 - \$15,000       Dividends         JT       \$15,001 - \$50,000       Dividends         JT       \$50,001 - \$15,000       Dividends         JT       \$1,001 - \$15,000       Dividends         JT       \$15,001 - \$50,000       Dividends         JT       \$15,001 - \$50,000       Dividends         JT       \$50,001 - \$50,000       Dividends         JT       \$1,001 - \$15,000       Dividends         JT       \$1,001 - \$15,000       Dividends         JT       \$15,001 - \$50,000       Dividends	Type(s)   \$1,000   \$1,000

Asset	Owner	Value of Asset	Income Type(s)		Tx. > \$1,000?
Energy Transfer Partners, L.P. ENERGY TRANSFER PARNTERS (ETP)	JT	\$15,001 - \$50,000	Dividends, Interest, Distributions	\$2,501 - \$5,000	П
Enterprise Products Partners L.P. (EPD)	JT	\$15,001 - \$50,000	Interest, Distributions	\$1,001 - \$2,500	
Exxon Mobil Corporation (XOM)	JT	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	
Fifth Third Bancorp (FITB)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	
General Electric Company (GE)	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	П
Gilead Sciences, Inc. (GILD)	JT	\$15,001 - \$50,000	None		<b>✓</b>
Halyard Health, Inc. (HYH)	JT	\$1,001 - \$15,000	None		
DESCRIPTION: spinoff from Kimberly-Clark - value of asset did r B or via Periodic Transaction Report	ot exceed \$	81000 at the time of th	e spinoff and is thus n	ot reportable on	Schedule
Home Depot, Inc. (HD)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Honeywell International Inc. (HON)	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<b>▽</b>
Intel Corporation (INTC)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Jos. A. Bank Clothiers, Inc. (JOSB)	JT	None	Capital Gains	\$5,001 - \$15,000	<b>✓</b>
Description: Sold in 2014				ψ15,000	
Kimberly-Clark Corporation (KMB)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Lincoln National Corporation (LNC)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Lowe's Companies, Inc. (LOW)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Magellan Midstream Partners L.P. Limited Partnership (MMP)	JT	\$15,001 - \$50,000	Interest, Distributions	\$201 - \$1,000	$\overline{\checkmark}$

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Marriott International - Class A (MAR)	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	П
McDonald's Corporation (MCD)	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
MetLife, Inc. (MET)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<u>~</u>
Motorola Solutions, Inc. (MSI)  Description: sold in 2014	JT	None	Capital Gains, Dividends	\$201 - \$1,000	<b>▽</b>
New York Community Bancorp, Inc. (NYCB)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	П
Oracle Corporation (ORCL)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Pepsico, Inc. (PEP)	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	П
Plains All American Pipeline, L.P. (PAA)	JT	\$15,001 - \$50,000	Capital Gains, Dividends, Interest, Distributions	\$1,001 - \$2,500	П
Pool Corporation (POOL)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Procter & Gamble Company (PG)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Prudential Financial, Inc. (PRU)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<b>▽</b>
QUALCOMM Incorporated (QCOM)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
SPDR S&P Dividend ETF (SDY)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<b>∀</b>
Stanley Black & Decker, Inc. (SWK)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Staples, Inc. (SPLS)	JT	\$1,001 - \$15,000	Dividends	\$201 -	<u>~</u>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
				\$1,000	· ,
TCF Financial Corporation (TCB)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	
TECO Energy, Inc. (TE)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Teva Pharmaceutical Industries Limited American Depositary Shares (TEVA)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Tuesday Morning Corp. (TUES)	JT	\$1,001 - \$15,000	Dividends	None	
United Technologies Corporation (UTX)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
V.F. Corporation (VFC)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	П
Valeant Pharmaceuticals International, Inc. (VRX)  Description: sold (partial) in 2014	JT	\$1,001 - \$15,000	Capital Gains	\$5,001 - \$15,000	<b>▽</b>
Wells Fargo & Company (WFC)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	П
Western Union Company (WU)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Windstream Holdings, Inc. (WIN)	JT	\$1,001 - \$15,000	Dividends, Distributions	\$201 - \$1,000	
Xerox Corporation (XRX)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Yum! Brands, Inc. (YUM)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	П

<sup>\*</sup> Asset class details available at the bottom of this form.

# SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Costco Wholesale Corporation (COST)	JT	06/18/2014	P	\$1,001 - \$15,000	
Gilead Sciences, Inc. (GILD)  DESCRIPTION: partial	JT	08/12/2014	P	\$1,001 - \$15,000	
Honeywell International Inc. (HON)  DESCRIPTION: partial	JT	08/12/2014	P	\$1,001 - \$15,000	
Jos. A. Bank Clothiers, Inc. (JOSB)	JT	06/19/2014	S	\$1,001 - \$15,000	<b>▽</b>
Magellan Midstream Partners L.P. Limited Partnership (MMP)  DESCRIPTION: partial	JT	08/12/2014	P	\$1,001 - \$15,000	
MetLife, Inc. (MET)  DESCRIPTION: partial	JT	08/12/2014	P	\$1,001 - \$15,000	
Motorola Solutions, Inc. (MSI)	JT	08/12/2014	S	\$1,001 - \$15,000	<b>V</b>
Prudential Financial, Inc. (PRU)  DESCRIPTION: partial	JT	06/18/2014	P	\$1,001 - \$15,000	
SPDR S&P Dividend ETF (SDY)	JT	10/6/2014	P	\$1,001 - \$15,000	
Staples, Inc. (SPLS)  Description: partial	JT	08/12/2014	P	\$1,001 - \$15,000	
Valeant Pharmaceuticals International, Inc. (VRX)	JT	08/12/2014	S (partial)	\$1,001 - \$15,000	<u>~</u>

# SCHEDULE C: EARNED INCOME

Source	Туре	Amount
North Carolina Local Government Employees Retirement System	spouse pension	N/A
****BB&T IRA	distribution	N/A
****North Carolina Local Government Employees 401(K) Plan	distribution	N/A
***TIAA/CREF Retirement Plan	distribution	\$48,644.55

# SCHEDULE D: LIABILITIES

None disclosed.

## **S**CHEDULE **E**: **P**OSITIONS

Position	Name of Organization
Professor on Leave	Duke University
Advisory Committee Member	The Aspen Institute - Congressional Program
Congressional Advisory Panel	The Faith & Politics Institute
Advisory Board Member	NC Heroes Fund

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
2014	Duke University	Unpaid Leave of Absence

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details						Inclusions	
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
National Democratic Institute	11/20/2014	11/25/2014	Washington, DC - Tunis, Tunisia - Raleigh, NC	O	V	<b>▽</b>	
J Street Education Fund	05/9/2014	05/15/2014	Washington, DC - Tel Aviv, Israel - Washington, DC	0	<u>~</u>	<u>~</u>	<b>∀</b>
COMMENTS: Transportation v	was not provide	ed for spouse					
Aspen Institute Congressional Program	08/14/2014	08/20/2014	Raleigh, NC - Istanbul, Turkey - London, England	0	<u>~</u>	<b>V</b>	<b>~</b>
Aspen Institute Congressional Program	04/11/2014	04/22/2014	Raleigh - Tokyo, Japan - Raleigh, NC	4	<u> </u>	<u>~</u>	<b>✓</b>
Renaissance Weekend	12/28/2013	01/1/2014	Chapel Hill, NC -	0	~	<b>~</b>	<u>~</u>

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
			Charleston, SC - Chapel Hill, NC				
COMMENTS: Transpor	rtation was not provi	ded					

#### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### SCHEDULE A AND B ASSET CLASS DETAILS

- \*\*\*\*North Carolina Local Government Employees 401(K) plan (Owner: SP)
- \*\*\*TIAA/CREF Retirement Plan

#### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

C Yes No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes No

#### CERTIFICATION AND SIGNATURE

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. David E. Price, 10/7/2015