Financial Disclosure Report

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Filer Information

Name: Mr. Michael E. Capuano
Status: Member
State/District: MA07

Filing Information

Filing Type: Annual Report
Filing Year: 2013
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Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Central Street, Somerville, MA - though rent 27% of home, this amt is 100% of asset value Location: Somerville, MA, US	JT	$500,001 - $1,000,000	Rent	$5,001 - $15,000	
Charles Schwab 401K ⇒ American Century Equity	SP	$15,001 - $50,000	Tax-Deferred	None	
Charles Schwab 401K ⇒ Baird Core Plus Bd Inv	SP	$1,001 - $15,000	Tax-Deferred	None	
Charles Schwab 401K ⇒ Baron Small Cap	SP	$1,001 - $15,000	Tax-Deferred	None	
Charles Schwab 401K ⇒ BMO Intermediate Tax Free	SP	$1,001 - $15,000	Tax-Deferred	None	
Charles Schwab 401K ⇒ Cash & MM Fund	SP	$15,001 - $50,000	Tax-Deferred	None	
Charles Schwab 401K ⇒ Fidelity Low Priced St Fd	SP	$1,001 - $15,000	Tax-Deferred	None	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Charles Schwab 401K ⇒ First Eagle Fund of America	SP	$1,001 - $15,000	Tax-Deferred	None	☐
Charles Schwab 401K ⇒ Metropolitan West Total	SP	$15,001 - $50,000	Tax-Deferred	None	☐
Charles Schwab 401K ⇒ PIMCO Total Return	SP	$1,001 - $15,000	Tax-Deferred	None	☐
Charles Schwab 401K ⇒ Principal Mid Cap Blend A	SP	$15,001 - $50,000	Tax-Deferred	None	☐
Charles Schwab 401K ⇒ Schwab Health Care Fund	SP	$1,001 - $15,000	Tax-Deferred	None	☑
Charles Schwab 401K ⇒ Schwab S&P 500 Index Fund	SP	$15,001 - $50,000	Tax-Deferred	None	☑
Charles Schwab 401K ⇒ Schwab Total Stock Market Fund	SP	$1,001 - $15,000	Tax-Deferred	None	☐
Congressional FCU	JT	$1,001 - $15,000	Interest	$1 - $200	☐
CT&M, LLC LOCATION: Somerville, MA, US DESCRIPTION: Partnership income	SP	$100,001 - $250,000	Interest, Partnership income	$2,501 - $5,000	☐
East Cambridge Savings Bank	JT	$1,001 - $15,000	Interest	$1 - $200	☐
Fidelity - IRA Rollover ⇒ Ariel Appreciation	SP	$1,001 - $15,000	Tax-Deferred	None	☐
Fidelity - IRA Rollover ⇒ Balanced Fund	SP	$1,001 - $15,000	Tax-Deferred	None	☐
Fidelity - IRA Rollover ⇒ Cash Reserves	SP	$1 - $1,000	Tax-Deferred	None	☐
Fidelity - IRA Rollover ⇒ Contra Fund	SP	$15,001 - $50,000	Tax-Deferred	None	☐
Fidelity - IRA Rollover ⇒	SP	$15,001 - $50,000	Tax-Deferred	None	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Export & Multinational					
Fidelity - IRA Rollover ⇒ Family Value	SP	$1,001 - $15,000	Tax-Deferred	None	
Fidelity - IRA Rollover ⇒ FPA Crescent Inst'l	SP	$1,001 - $15,000	Tax-Deferred	None	
Fidelity - IRA Rollover ⇒ Int'l Discovery	SP	$15,001 - $50,000	Tax-Deferred	None	
Fidelity - IRA Rollover ⇒ Invesco Growth & Income Fund	SP	$1,001 - $15,000	Tax-Deferred	None	
Fidelity - IRA Rollover ⇒ Royce Total Return FD Investment CL	SP	$1,001 - $15,000	Tax-Deferred	None	
Fidelity - IRA Rollover ⇒ Select Nat'l Gas	SP	$15,001 - $50,000	Tax-Deferred	None	
Fidelity - IRA Rollover ⇒ T Rowe Price Cap Appreciation	SP	$15,001 - $50,000	Tax-Deferred	None	
Fidelity - IRA Traditional ⇒ Cash Reserves	SP	$1 - $1,000	Tax-Deferred	None	
Fidelity - IRA Traditional ⇒ Export & Multinational	SP	$1,001 - $15,000	Tax-Deferred	None	
High Street Realty Trust DESCRIPTION: Realty Trust	JT	$250,001 - $500,000	Rent	$15,001 - $50,000	
Nationwide Retirement ⇒ Fidelity Contra		$1,001 - $15,000	Tax-Deferred	None	
Nationwide Retirement ⇒ NW Intl Val Instr Svc		$1,001 - $15,000	Tax-Deferred	None	
Nationwide Retirement ⇒ NW Lg Cap Growth		$1,001 - $15,000	Tax-Deferred	None	
Nesteggs - IRA Rollover ⇒ Fidelity Cash Reserve	SP	$1,001 - $15,000	Tax-Deferred	None	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Nesteggs - IRA Rollover ⇒ Windward Aggressive Fund	SP	$50,001 - $100,000	Tax-Deferred	None	
Savings Bank Life Insur (SBLI) DESCRIPTION: Term Life Insurance		$250,001 - $500,000	Dividends	$1 - $200	
Somerville School Employees Federal Credit Union	JT	$50,001 - $100,000	Interest	$1 - $200	
Winter Hill Bank	JT	$1,001 - $15,000	Interest	$1 - $200	

* Asset class details available at the bottom of this form.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Charles Schwab 401K ⇒ Schwab Health Care Fund	SP	03/20/2013	P	$1,001 - $15,000	
Charles Schwab 401K ⇒ Schwab S&P 500 Index Fund	SP	07/31/2013	P	$15,001 - $50,000	

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

Source	Type	Amount
Raphael and Raphael, LLP	Spouse Salary	N/A
YNZ, LLC	Spouse Salary	N/A
CT & M, LLC	Spouse Salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Century Bank	Sept 2010	Mortgage on High Street, Somerville, MA	$250,001 - $500,000
JT	Winter Hill Bank	January 1980	Mortgage on Central Street, Somerville,	$100,001 -

Owner	Creditor	Date Incurred	Type	Amount of Liability
			MA	$250,000
SP	Winter Hill Bank	June 1999	Mortgage on CT&M, LLC, Someville, MA	$15,001 - $50,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Charles Schwab 401K (Owner: SP)
- Fidelity - IRA Rollover (Owner: SP)
- Fidelity - IRA Traditional (Owner: SP)
- Nationwide Retirement
- Nesteggs - IRA Rollover (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Comments

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Michael E. Capuano , 05/5/2014