



Filing ID #10025066

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Carlos Curbelo
Status: Member
State/District: FL26

FILING INFORMATION

Filing Type: Terminated Filer Report
Filing Year: 2019
Filing Date: 05/6/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|----------------------|----------------|-------------|--------------------------|
| 529 and College Prepaid Plans DC1 ⇒ Florida Prepaid College Plan: 4 Year University Plan [5P] LOCATION: FL | DC | \$50,001 - \$100,000 | None | | <input type="checkbox"/> |
| 529 and College Prepaid Plans DC1 ⇒ NH Portfolio 2027 [5P] LOCATION: NH | DC | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| 529 College Savings Plan DC2 ⇒ NH Portfolio 2030 [5P] LOCATION: NH | DC | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| Bank of America checking [BA] | | \$1 - \$1,000 | None | | <input type="checkbox"/> |
| Bank of America checking [BA] | JT | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Bank of America Checking [BA] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Bank United Savings [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|--------------------------|---------------------|-------------------------------------|
| Capitol Gains ⇒ Capitol Gains, LLC, 100% Interest [OT] | SP | \$15,001 - \$50,000 | Capital Gains, S-Corp | \$5,001 - \$15,000 | <input type="checkbox"/> |
| DESCRIPTION: investment holding company, formerly media and public relations | | | | | |
| Capitol Gains ⇒ EG Group Bayfront [RP] | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| LOCATION: Miami, FL, US DESCRIPTION: real estate development - Coconut Grove Formerly Grove Bay Investment Group, LLC | | | | | |
| Capitol Gains ⇒ FVP HG 2.6, LLC [RP] | SP | None | None | | <input type="checkbox"/> |
| LOCATION: Hialeah, FL, US DESCRIPTION: no value but company has not been dissolved | | | | | |
| Capitol Gains ⇒ FVPP, LLC [RP] | SP | \$50,001 - \$100,000 | None | | <input type="checkbox"/> |
| LOCATION: Miami, FL, US | | | | | |
| Capitol Gains ⇒ NKP Ventures, LLC [OT] | SP | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| DESCRIPTION: minority investment in an LLC | | | | | |
| Capitol Gains ⇒ UM Cafe LLC, 10% Interest [OT] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| DESCRIPTION: investment in LLC | | | | | |
| Condo [RP] | | \$250,001 - \$500,000 | Rent | \$15,001 - \$50,000 | <input type="checkbox"/> |
| LOCATION: Longboat Key, FL, FL, US | | | | | |
| Cosecha Somi LLC, 20% Interest [OT] | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| DESCRIPTION: investment in LLC | | | | | |
| Fidelity Investments Brokerage Account ⇒ Apple Inc. (AAPL) [ST] | | None | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ Bank of America Corporation (BAC) [ST] | | None | None | | <input checked="" type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ Dolphin Digital Media Inc (DPDM) [ST] | | None | None | | <input type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ Exxon Mobil Corporation (XOM) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|--------------------|-----------------------------|-----------------|-------------------------------------|
| Fidelity Investments Brokerage Account ⇒ Fidelity Money Market Funds [BA] | | \$1,001 - \$15,000 | Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ First Solar, Inc. (FSLR) [ST] | | None | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ General Electric Company (GE) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ Gogo Inc. (GOGO) [ST] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ Herc Holdings Inc. (HRI) [ST] | | None | None | | <input type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ Hertz Global Holdings, Inc (HTZ) [ST] | | None | None | | <input type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ Opko Health, Inc. (OPK) [ST] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ Petroleo Brasileiro S.A.- Petrobras (PBR) [ST] | | None | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ SunPower Corporation (SPWR) [ST] | | None | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ Walt Disney Company (DIS) [ST] | | None | None | | <input type="checkbox"/> |
| Fidelity ROTH IRA ⇒ Bank of America Corporation (BAC) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Fidelity ROTH IRA ⇒ Citigroup, Inc. (C) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Fidelity ROTH IRA ⇒ Dolphin Digital Media Inc (DPDM) [ST] | | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| Fidelity ROTH IRA ⇒ Echelon Corporation (ELON) [ST] | | None | Tax-Deferred | | <input type="checkbox"/> |
| Fidelity ROTH IRA ⇒ Fidelity Cash Reserves [BA] | | \$1 - \$1,000 | None | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
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| Fidelity ROTH IRA ⇒ General Electric Company (GE) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Fidelity ROTH IRA ⇒ Gol Linhas Aereas Inteligentes S.A. Sponsored ADR representing Pfd Shares (GOL) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Fidelity ROTH IRA ⇒ NeoGenomics, Inc. (NEO) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Fidelity ROTH IRA ⇒ Opko Health, Inc. (OPK) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Fidelity ROTH IRA ⇒ Petroleo Brasileiro S.A.- Petrobras (PBR) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Fidelity ROTH IRA ⇒ Wynn Resorts, Limited (WYNN) [ST] | | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| Florida Retirement Service ⇒ American Funds Europacific Growth Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Florida Retirement Service ⇒ American Funds New Perspective Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Florida Retirement Service ⇒ FRS Select Aggressive Balance Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Florida Retirement Service ⇒ FRS Select Foreign Stock Index Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Miami House [RP] | JT | \$500,001 - \$1,000,000 | None | | <input checked="" type="checkbox"/> |
| LOCATION: Miami-Dade County, FL, US | | | | | |
| South Miami Investment Partners LLC [OL] | | \$50,001 - \$100,000 | None | | <input type="checkbox"/> |
| LOCATION: Miami, FL, US | | | | | |
| DESCRIPTION: ownership share in South Miami Investment Partners LLC | | | | | |
| Town house [RP] | SP | \$100,001 - \$250,000 | Rent | \$15,001 - \$50,000 | <input type="checkbox"/> |
| LOCATION: Miami, FL, US | | | | | |
| UBS Bank USA DEP ACCT [BA] | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |

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|---|-------|--------------------|----------------|-------------|-------------------------------------|
| UBS Brokerage Account ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Adobe Inc. (ADBE) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Advance Auto Parts Inc Advance Auto Parts Inc W/I (AAP) [ST] | SP | None | None | | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Akamai Technologies, Inc. (AKAM) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Alphabet Inc. - Class A (GOOGL) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Amazon.com, Inc. (AMZN) [ST] | SP | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Amazon.com, Inc. (AMZN) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Amer Funds New Perspective Fund [MF] | SP | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Amer Funds New World Fund [MF] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ American Tower Corporation (AMT) [ST] | SP | None | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ American Tower Corporation (AMT) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ American Water Works Company, Inc. (AWK) [ST] | SP | None | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Ameriprise Financial, Inc. (AMP) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Anheuser-Busch Inbev SA Ordinary Shares (AHBIF) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |

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|---|-------|--------------------|----------------|-----------------|-------------------------------------|
| UBS Brokerage Account ⇒ Arista Networks, Inc. (ANET) [ST] | SP | None | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Blackrock High Yield Bond I [OT] DESCRIPTION: Blackrock High Yield Bond | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Boeing Company (BA) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Bristol-Myers Squibb Company (BMY) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Cboe Global Markets, Inc. (CBOE) [ST] | SP | None | Capital Gains | \$1 - \$200 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Centene Corporation (CNC) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Citizens Financial Group, Inc. (CFG) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Coca-Cola Company (KO) [ST] | SP | None | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Colgate-Palmolive Company (CL) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Comcast Corporation - Class A (CMCSA) [ST] | SP | \$1 - \$1,000 | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Credit Sussie Floating Rate High Income Fund [MF] | SP | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Danaher Corporation (DHR) [ST] | SP | None | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Delaware International Small Cap Fund [MF] | SP | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Delaware SMID Cap Growth Fund [MF] | SP | \$1,001 - \$15,000 | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Ecolab Inc. (ECL) [ST] | SP | None | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |

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|---|-------|----------------|----------------|-------------------|-------------------------------------|
| UBS Brokerage Account ⇒ EPAM Systems, Inc. (EPAM) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Exchange Traded Concepts Trust ROBO Global Robotics and Automation Index ETF (ROBO) [ST] | SP | None | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Facebook, Inc. - Class A (FB) [ST] | SP | None | Capital Gains | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Facebook, Inc. - Class A (FB) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Fidelity Advisor Emerging Mkt Discovery Fund [MF] | SP | None | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Fortinet, Inc. (FTNT) [ST] | SP | None | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Hartford Multifactor Developed Markets (RODM) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Hartford Schroders Emerging Markets Equity Fund [MF] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Healthcare Services Group, Inc. (HCSG) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Henry Schein, Inc. (HSIC) [ST] | SP | None | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Home Depot, Inc. (HD) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Honeywell International Inc. (HON) [ST] | SP | None | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Huntington Ingalls Industries, Inc. (HII) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Intel Corporation (INTC) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ | SP | None | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|--------------------|----------------|-------------------|-------------------------------------|
| Intercontinental Exchange Inc. (ICE) [ST] | | | | | |
| UBS Brokerage Account ⇒ Internationa Flavors & Fragrances, Inc. (IFF) [ST] | SP | None | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Invesco European Small Company Fund [MF] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ iShares Core S&P Mid-Cap ETF (IJH) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ iShares Core S&P Small-Cap ETF (IJR) [ST] | SP | None | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ iShares Core S&P Small-Cap ETF (IJR) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ iShares Russell 1000 Growth ETF (IWF) [ST] | SP | None | Capital Gains | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ iShares U.S. Real Estate ETF (IYR) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Jazz Pharmaceuticals plc - Ordinary Shares (JAZZ) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ JOHCM International Fund [MF] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ JP Morgan Chase & Co. (JPM) [ST] | SP | \$1,001 - \$15,000 | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Kansas City Southern (KSU) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Lennox International, Inc. (LII) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Logitech International S.A. - Registered Shares (LOGI) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |

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|--|-------|--------------------|----------------|-------------------|-------------------------------------|
| UBS Brokerage Account ⇒ Lowe's Companies, Inc. (LOW) [ST] | SP | None | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ McCormick & Company, Incorporated (MKC) [ST] | SP | None | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Medtronic plc. Ordinary Shares (MDT) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Microsoft Corporation (MSFT) [ST] | SP | None | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Mondelez International, Inc. - Class A (MDLZ) [ST] | SP | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Morgan Stanley Inst Frontier Emerging Market Fund Class Inst [MF] | SP | None | Capital Gains | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Morgan Stanley Inst'al Frontier Mkts Portfolio Inc Fund [MF] | SP | None | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Northern Trust Corporation (NTRS) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ O'Reilly Automotive, Inc. (ORLY) [ST] | SP | None | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Parker-Hannifin Corporation (PH) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Red Hat, Inc. (RHT) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Rockwell Automation, Inc. (ROK) [ST] | SP | None | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Salesforce.com Inc (CRM) [ST] | SP | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Schlumberger N.V. (SLB) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ | SP | None | None | | <input checked="" type="checkbox"/> |

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| Sealed Air Corporation (SEE) [ST] | | | | | |
| UBS Brokerage Account ⇒ Service Corporation International (SCI) [ST] | SP | None | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST] | SP | None | Capital Gains | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ TCW Emerging Markets Income Fund Class I [MF] | SP | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Thermo Fisher Scientific Inc (TMO) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ TJX Companies, Inc. (TJX) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ TripAdvisor, Inc. (TRIP) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Union Pacific Corporation (UNP) [ST] | SP | \$1,001 - \$15,000 | Capital Gains | \$1 - \$200 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ United Health Products, Inc. (UEEC) [ST] | SP | None | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ United Technologies Corporation (UTX) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ VanEck Vectors J.P. Morgan EM Local Currency Bond ETF (EMLC) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Vanguard FTSE Developed Markets ETF (VEA) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Visa Inc. (V) [ST] | SP | None | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |

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| UBS Brokerage Account ⇒ Walt Disney Company (DIS) [ST] | SP | \$1,001 - \$15,000 | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Zayo Group Holdings, Inc. (ZAYO) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| UBS IRA ⇒ PACE ALTERNATIVE STRATEGIES INVESTMENTS CLASS P [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS IRA ⇒ PACE GLOBAL REAL ESTATE INVESTMENTS CLASS P [MF] | SP | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS IRA ⇒ PACE HIGH YIELD INVESTMENTS CLASS P [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS IRA ⇒ PACE IGLOBAL FIXED INCOME INVESTMENT FUND CLASS P [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS IRA ⇒ PACE INTERMEDIATE FIXED INCOME INVESTMENTS CLASS P [MF] | SP | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS IRA ⇒ PACE INTERNATIONAL EMERGING MARKETS EQUITY INVESTMENTS CLASS P [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS IRA ⇒ PACE INTERNATIONAL EQUITY INVESTMENTS CLASS P [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS IRA ⇒ PACE LARGE CO GROWTH EQUITY INVESTMENTS CLASS P [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS IRA ⇒ PACE LARGE CO VALUE EQUITY INVESTMENTS CLASS P [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS IRA ⇒ PACE MORTGAGE-BACKED SECURITIES FIXED INCOME INVESTMENTS FUND CLASS P [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS IRA ⇒ | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|--------------------|----------------|-------------------|--------------------------|
| PACE SMALL / MEDIUM CO GROWTH EQUITY INVESTMENTS CLASS P [MF] | | | | | |
| UBS IRA ⇒ PACE SMALL / MEDIUM CO VALUE EQUITY INVESTMENTS CLASS P [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS IRA ⇒ PACE STRATEGIC FIXED INCOME INVESTMENTS CLASS P [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Xtec [PS] | | \$1,001 - \$15,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| DESCRIPTION: Stock in Private Security Company | | | | | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|---------------------|-------------------------------------|
| Capitol Gains ⇒ NKP Ventures, LLC [OT] LOCATION: US DESCRIPTION: Minority investment in an LLC | SP | 04/2/2018 | P | \$15,001 - \$50,000 | |
| Capitol Gains ⇒ NKP Ventures, LLC [OT] LOCATION: US DESCRIPTION: minority investment in an LLC | SP | 07/25/2018 | P | \$1,001 - \$15,000 | |
| Fidelity Investments Brokerage Account ⇒ Apple Inc. (AAPL) [ST] | | 07/25/2018 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ Bank of America Corporation (BAC) [ST] | | 04/26/2018 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ Bank of America Corporation (BAC) [ST] | | 05/28/2018 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ Bank of America Corporation (BAC) [ST] | | 06/4/2018 | S (partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ | | 02/8/2018 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|---------------------|-------------------------------------|
| Exxon Mobil Corporation (XOM) [ST] | | | | | |
| Fidelity Investments Brokerage Account ⇒ First Solar, Inc. (FSLR) [ST] | | 02/13/2018 | P | \$1,001 - \$15,000 | |
| Fidelity Investments Brokerage Account ⇒ First Solar, Inc. (FSLR) [ST] | | 06/6/2018 | P | \$1,001 - \$15,000 | |
| Fidelity Investments Brokerage Account ⇒ First Solar, Inc. (FSLR) [ST] | | 06/6/2018 | P | \$1,001 - \$15,000 | |
| Fidelity Investments Brokerage Account ⇒ First Solar, Inc. (FSLR) [ST] | | 06/4/2018 | P | \$1,001 - \$15,000 | |
| Fidelity Investments Brokerage Account ⇒ First Solar, Inc. (FSLR) [ST] | | 06/4/2018 | P | \$1,001 - \$15,000 | |
| Fidelity Investments Brokerage Account ⇒ First Solar, Inc. (FSLR) [ST] | | 09/6/2018 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ General Electric Company (GE) [ST] | | 01/2/2018 | P | \$1,001 - \$15,000 | |
| Fidelity Investments Brokerage Account ⇒ General Electric Company (GE) [ST] | | 02/8/2018 | P | \$1,001 - \$15,000 | |
| Fidelity Investments Brokerage Account ⇒ General Electric Company (GE) [ST] | | 03/23/2018 | P | \$1,001 - \$15,000 | |
| Fidelity Investments Brokerage Account ⇒ General Electric Company (GE) [ST] | | 03/28/2018 | P | \$1,001 - \$15,000 | |
| Fidelity Investments Brokerage Account ⇒ General Electric Company (GE) [ST] | | 03/23/2018 | P | \$1,001 - \$15,000 | |
| Fidelity Investments Brokerage Account ⇒ Gogo Inc. (GOGO) [ST] | | 05/9/2018 | P | \$1,001 - \$15,000 | |
| Fidelity Investments Brokerage Account ⇒ Gogo Inc. (GOGO) [ST] | | 05/8/2018 | P | \$1,001 - \$15,000 | |
| Fidelity Investments Brokerage Account ⇒ Gogo Inc. (GOGO) [ST] | | 05/7/2018 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|-------------------------|-------------------------------------|
| Fidelity Investments Brokerage Account ⇒ Herc Holdings Inc. (HRI) [ST] | | 03/29/2018 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ Petroleo Brasileiro S.A.- Petrobras (PBR) [ST] | | 07/25/2018 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ SunPower Corporation (SPWR) [ST] | | 07/16/2018 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ SunPower Corporation (SPWR) [ST] | | 07/18/2018 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Fidelity Investments Brokerage Account ⇒ Walt Disney Company (DIS) [ST] | | 05/9/2018 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Miami House [RP] LOCATION: Miami-Dade, FL, US | JT | 08/1/2018 | P | \$500,001 - \$1,000,000 | |
| UBS Brokerage Account ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST] | SP | 10/19/2019 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Adobe Inc. (ADBE) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Adobe Systems Incorporated (ADBE) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Advance Auto Parts Inc Advance Auto Parts Inc W/I (AAP) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Advance Auto Parts Inc Advance Auto Parts Inc W/I (AAP) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Akamai Technologies, Inc. (AKAM) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Akamai Technologies, Inc. (AKAM) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|--------------------|-------------------------------------|
| UBS Brokerage Account ⇒ Alphabet Inc. - Class A (GOOGL) [ST] | SP | 04/6/2018 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Alphabet Inc. - Class A (GOOGL) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Alphabet Inc. - Class A (GOOGL) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Amazon.com, Inc. (AMZN) [ST] | SP | 06/8/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Amer Funds New Perspective Fund [MF] | SP | 10/19/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Amer Funds New World Fund [MF] | SP | 10/19/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ American Tower Corporation (AMT) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ American Tower Corporation (AMT) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ American Water Works Company, Inc. (AWK) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ American Water Works Company, Inc. (AWK) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Ameriprise Financial, Inc. (AMP) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Ameriprise Financial, Inc. (AMP) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Anheuser-Busch Inbev SA Sponsored ADR (BUD) [ST] | SP | 06/8/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Anheuser-Busch Inbev SA Sponsored ADR (BUD) [ST] | SP | 04/6/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|--------------------|--------------------------|
| Arista Networks, Inc. (ANET) [ST] | | | | | |
| UBS Brokerage Account ⇒ Arista Networks, Inc. (ANET) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Blackrock High Yield Bond [OT] | SP | 01/22/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| LOCATION: US DESCRIPTION: Blackrock High Yield Bond | | | | | |
| UBS Brokerage Account ⇒ BlackRock, Inc. (BLK) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Bristol-Myers Squibb Company (BMY) [ST] | SP | 06/8/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Bristol-Myers Squibb Company (BMY) [ST] | SP | 04/6/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Centene Corporation (CNC) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Centene Corporation (CNC) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Citizens Financial Group, Inc. (CFG) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Citizens Financial Group, Inc. (CFG) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Coca-Cola Company (KO) [ST] | SP | 06/8/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Coca-Cola Company (KO) [ST] | SP | 08/28/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Colgate-Palmolive Company (CL) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Colgate-Palmolive Company (CL) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|--------------------|--------------------------|
| Comcast Corporation - Class A (CMCSA) [ST] | | | | | |
| UBS Brokerage Account ⇒ Comcast Corporation - Class A (CMCSA) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Credit Suisse Floating Rate High Income Fund [MF] | SP | 12/21/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Credit Sussie Floating Rate High Income Fund [MF] | SP | 10/19/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Danaher Corporation (DHR) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Danaher Corporation (DHR) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Delaware International Small Cap Fund [MF] | SP | 12/21/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Delaware International Small Cap Fund [MF] | SP | 10/19/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Delaware SMID Cap Growth Fund [MF] | SP | 11/5/2019 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Delaware SMID Cap Growth Fund [MF] | SP | 10/19/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Ecolab Inc. (ECL) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Ecolab Inc. (ECL) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ EPAM Systems, Inc. (EPAM) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ EPAM Systems, Inc. (EPAM) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Exchange Traded Concepts Trust ROBO Global Robotics and Automation Index ETF (ROBO) [ST] | SP | 08/28/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------------|---------------------|-------------------------------------|
| UBS Brokerage Account ⇒ Facebook, Inc. - Class A (FB) [ST] | SP | 01/22/2018 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Facebook, Inc. - Class A (FB) [ST] | SP | 02/6/2018 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Facebook, Inc. - Class A (FB) [ST] | SP | 02/20/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Facebook, Inc. - Class A (FB) [ST] | SP | 08/28/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Facebook, Inc. - Class A (FB) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Fidelity Advisor Emerging Mkt Discovery Fund [MF] | SP | 01/22/2018 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Flex Ltd. - Ordinary Shares (FLEX) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Flex Ltd. - Ordinary Shares (FLEX) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Fortinet, Inc. (FTNT) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Fortinet, Inc. (FTNT) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Hartford Multifactor Developed Markets (RODM) [ST] | SP | 06/8/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Hartford Multifactor Developed Markets (RODM) [ST] | SP | 04/6/2018 | P | \$15,001 - \$50,000 | |
| UBS Brokerage Account ⇒ Hartford Schroders Emerging Markets Equity Fund [MF] | SP | 04/6/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Hartford Schroders Emerging Markets Equity Fund [MF] | SP | 01/22/2018 | P | \$15,001 - \$50,000 | |
| UBS Brokerage Account ⇒ | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|--------------------|--------------------------|
| Healthcare Services Group, Inc. (HCSG) [ST] | | | | | |
| UBS Brokerage Account ⇒ Healthcare Services Group, Inc. (HCSG) [ST] | SP | 10/19/2019 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Henry Schein, Inc. (HSIC) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Henry Schein, Inc. (HSIC) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Home Depot, Inc. (HD) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Home Depot, Inc. (HD) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Honeywell International Inc. (HON) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Honeywell International Inc. (HON) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Huntington Ingalls Industries, Inc. (HII) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Huntington Ingalls Industries, Inc. (HII) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Intel Corporation (INTC) [ST] | SP | 08/28/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Intercontinental Exchange Inc. (ICE) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Intercontinental Exchange Inc. (ICE) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Internationa Flavors & Fragrances, Inc. (IFF) [ST] | SP | 08/29/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Internationa Flavors & Fragrances, Inc. (IFF) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |








| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------------|---------------------|-------------------------------------|
| UBS Brokerage Account ⇒ Invesco European Small Company Fund [MF] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Invesco S&P 500 Pure Growth ETF (RPG) [ST] | SP | 08/8/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Invesco S&P 500 Pure Growth ETF (RPG) [ST] | SP | 08/28/2018 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ iShares Core S&P Mid-Cap ETF (IJH) [ST] | SP | 01/22/2018 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ iShares Core S&P Small-Cap ETF (IJR) [ST] | SP | 01/22/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ iShares Core S&P Small-Cap ETF (IJR) [ST] | SP | 02/6/2018 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ iShares Core S&P Small-Cap ETF (IJR) [ST] | SP | 02/20/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ iShares Core S&P Small-Cap ETF (IJR) [ST] | SP | 08/8/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ iShares Core S&P Small-Cap ETF (IJR) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ iShares Edge MSCI Multifactor Emerging Markets ETF (EMGF) [ST] | SP | 04/6/2018 | P | \$15,001 - \$50,000 | |
| UBS Brokerage Account ⇒ iShares Edge MSCI Multifactor Emerging Markets ETF (EMGF) [ST] | SP | 08/8/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ iShares Edge MSCI Multifactor Emerging Markets ETF (EMGF) [ST] | SP | 08/28/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|---------------------|-------------------------------------|
| UBS Brokerage Account ⇒ iShares Edge MSCI USA Value Factor ETF (VLUE) [ST] | SP | 04/6/2018 | P | \$15,001 - \$50,000 | |
| UBS Brokerage Account ⇒ iShares Edge MSCI USA Value Factor ETF (VLUE) [ST] | SP | 08/8/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ iShares Edge MSCI USA Value Factor ETF (VLUE) [ST] | SP | 08/28/2018 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ iShares Russell 1000 Growth ETF (IWF) [ST] | SP | 01/22/2018 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ iShares Russell 1000 Growth ETF (IWF) [ST] | SP | 02/6/2018 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ iShares Russell 1000 Growth ETF (IWF) [ST] | SP | 02/20/2018 | P | \$15,001 - \$50,000 | |
| UBS Brokerage Account ⇒ iShares Russell 1000 Growth ETF (IWF) [ST] | SP | 04/6/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ iShares U.S. Real Estate ETF (IYR) [ST] | SP | 01/22/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Jazz Pharmaceuticals plc - Ordinary Shares (JAZZ) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Jazz Pharmaceuticals plc - Ordinary Shares (JAZZ) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Johcm International Fund [MF] | SP | 12/27/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Johcm International Fund [MF] | SP | 01/22/2018 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ JP Morgan Chase & Co. (JPM) [ST] | SP | 08/28/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ JP Morgan Chase & Co. (JPM) [ST] | SP | 10/19/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|--------------------|-------------------------------------|
| Kansas City Southern (KSU) [ST] | | | | | |
| UBS Brokerage Account ⇒ Kansas City Southern (KSU) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Lennox International, Inc. (LII) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Lennox International, Inc. (LII) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Logitech International S.A. - Registered Shares (LOGI) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Logitech International S.A. - Registered Shares (LOGI) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Lowe's Companies, Inc. (LOW) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Lowe's Companies, Inc. (LOW) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ McCormick & Company, Incorporated (MKC) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ McCormick & Company, Incorporated (MKC) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Medtronic plc. Ordinary Shares (MDT) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Medtronic plc. Ordinary Shares (MDT) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Microsoft Corporation (MSFT) [ST] | SP | 04/6/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Microsoft Corporation (MSFT) [ST] | SP | 08/28/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|--------------------|-------------------------------------|
| Microsoft Corporation (MSFT) [ST] | | | | | |
| UBS Brokerage Account ⇒ Mondelez International, Inc. - Class A (MDLZ) [ST] | SP | 01/22/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Mondelez International, Inc. - Class A (MDLZ) [ST] | SP | 04/6/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Mondelez International, Inc. - Class A (MDLZ) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Morgan Stanley Inst'al Frontier Markets Portfolio Fund [MF] | SP | 01/22/2018 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Northern Trust Corporation (NTRS) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Northern Trust Corporation (NTRS) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ O'Reilly Automotive, Inc. (ORLY) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ O'Reilly Automotive, Inc. (ORLY) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Parker-Hannifin Corporation (PH) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Parker-Hannifin Corporation (PH) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Red Hat, Inc. (RHT) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Red Hat, Inc. (RHT) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ ROBO Global Robotics and Automation Index ETF (ROBO) [ST] | SP | 02/2/2018 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ | SP | 02/20/2018 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|---------------------|-------------------------------------|
| ROBO Global Robotics and Automation Index ETF (ROBO) [ST] | | | | | |
| UBS Brokerage Account ⇒ Rockwell Automation, Inc. (ROK) [ST] | SP | 04/6/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Rockwell Automation, Inc. (ROK) [ST] | SP | 08/28/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Salesforce.com Inc (CRM) [ST] | SP | 10/19/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Schlumberger N.V. (SLB) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Schlumberger N.V. (SLB) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Sealed Air Corporation (SEE) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Sealed Air Corporation (SEE) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Service Corporation International (SCI) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Service Corporation International (SCI) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST] | SP | 01/22/2018 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST] | SP | 02/5/2018 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST] | SP | 02/20/2018 | P | \$15,001 - \$50,000 | |
| UBS Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST] | SP | 04/6/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [ST] | SP | 01/22/2018 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|--------------------|---|
| UBS Brokerage Account ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [ST] | SP | 04/6/2018 | S | \$1,001 - \$15,000 |  |
| UBS Brokerage Account ⇒ SPDR Select Sector Fund - Financial (XLF) [ST] | SP | 01/22/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ SPDR Select Sector Fund - Financial (XLF) [ST] | SP | 04/6/2018 | S | \$1,001 - \$15,000 |  |
| UBS Brokerage Account ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 |  |
| UBS Brokerage Account ⇒ TCW Emerging Markets Income Fund [MF] | SP | 01/22/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ TCW Emerging Markets Income Fund [MF] | SP | 10/19/2018 | S | \$1,001 - \$15,000 |  |
| UBS Brokerage Account ⇒ TechnipFMC plc Ordinary Share (FTI) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Thermo Fisher Scientific Inc (TMO) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Thermo Fisher Scientific Inc (TMO) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 |  |
| UBS Brokerage Account ⇒ TJX Companies, Inc. (TJX) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ TJX Companies, Inc. (TJX) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 |  |
| UBS Brokerage Account ⇒ TripAdvisor, Inc. (TRIP) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ TripAdvisor, Inc. (TRIP) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 |  |
| UBS Brokerage Account ⇒ | SP | 04/6/2018 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|---------------------|-------------------------------------|
| Union Pacific Corporation (UNP) [ST] | | | | | |
| UBS Brokerage Account ⇒ United Health Products, Inc. (UEEC) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ United Technologies Corporation (UTX) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ VanEck Vectors J.P. Morgan EM Local Currency Bond ETF (EMLC) [ST] | SP | 04/6/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ VanEck Vectors J.P. Morgan EM Local Currency Bond ETF (EMLC) [ST] | SP | 08/28/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Vanguard FTSE Developed Markets ETF (VEA) [ST] | SP | 01/22/2018 | P | \$15,001 - \$50,000 | |
| UBS Brokerage Account ⇒ Vanguard FTSE Developed Markets ETF (VEA) [ST] | SP | 04/6/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Visa Inc. (V) [ST] | SP | 01/22/2018 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| UBS Brokerage Account ⇒ Visa Inc. (V) [ST] | SP | 04/6/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Walt Disney Company (DIS) [ST] | SP | 08/28/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Brokerage Account ⇒ Zayo Group Holdings, Inc. (ZAYO) [ST] | SP | 08/28/2018 | P | \$1,001 - \$15,000 | |
| UBS Brokerage Account ⇒ Zayo Group Holdings, Inc. (ZAYO) [ST] | SP | 10/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|-----------------------------|---------------|--------|
| Our Lady of Lourdes Academy | spouse salary | N/A |
| Capitol Gains LLC | spouse salary | N/A |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|--------------------------|----------------|---|-----------------------|
| SP | Regions Bank (Miami, FL) | June 2000 | mortgage on rental townhouse in Miami, FL | \$10,000 - \$15,000 |
| JT | PNC Bank | March 2013 | primary residence mortgage | \$250,001 - \$500,000 |
| JT | Regions Bank (Miami, FL) | September 2016 | mortgage on rental property in Longboat Key, FL | \$100,001 - \$250,000 |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|----------|--|
| Director | Center Court Charities (Youth Basketball League) |

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|---------------|----------------------------|--|
| November 2010 | Florida Retirement Service | Agreement to provide retirement benefits at age of retirement. |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details | | | | | Inclusions | | |
|---|------------|------------|---------------------------------------|------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| Source | Start Date | End Date | Itinerary | Days at Own Exp. | Lodging? | Food? | Family? |
| Franklin Center for Global Policy Exchange, Ripon Society | 11/8/2018 | 11/13/2018 | Miami, FL - Paris, France - Miami, FL | 0 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- 529 and College Prepaid Plans DC1 (Owner: DC)
LOCATION: FL
- 529 College Savings Plan DC2 (Owner: DC)
LOCATION: FL
- Capitol Gains (100% Interest) (Owner: SP)
LOCATION: Miami, FL, US
- Fidelity Investments Brokerage Account (100% Interest)
LOCATION: Miami, FL, US
DESCRIPTION: stock account
- Fidelity ROTH IRA
- Florida Retirement Service
- UBS Brokerage Account (Owner: SP)
LOCATION: US
- UBS IRA (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Carlos Curbelo , 05/6/2019