

**UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT**

Form A
For use by Members, officers, and employees

MAY 14 2012

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LEGISLATIVE RESOURCE CENTER

2012 MAY 23 PM 4: 59

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Name: Adam Smith

Daytime Telephone: 202-225-8901

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>VA</u>	District: <u>9</u>	<input type="checkbox"/> Officer or Employee	Employing Office:	Termination Date:	
Report Type	<input checked="" type="checkbox"/> Annual (May 15, 2012)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	A \$200 penalty shall be assessed against anyone who files more than 30 days late.			

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

For payments to charity in lieu of honoraria, use Schedule II.

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For additional assets and unearned income, use next page.

Continuation Sheet (if needed)

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This page may be copied if more space is required.

SCHEDULE IV—TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent child, or the purchase or sale of your personal residence, unless it generates rental income. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

SP, DC, JT SP	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000
Example: SP	Mega Corporation Common Stock (partial sale)		X			10-12-11		X								
JT	Invesco Van Kampen Equity Income B		X		X	5-9-11		X								
JT	Invesco Van Kampen Equity Income A		X		X	5-9-11	X									
JT	Calamos Growth Fund CIB		X		X	5-9-11		X								
JT	Federated Kaufmann CIB		X		X	5-9-11		X								
JT	First Eagle Global Fund A		X		X	5-9-11		X								
JT	Cambiar Small Cap Fund	X				5-11-11	X									
JT	Cambiar Small Cap Fund		X			8-22-11										
JT	Eaton Vance Comm. Strat. C1 I	X				5-11-11	X									
JT	Eaton Vance Comm. Strat. C1 I		X			8-22-11										
JT	Goldman Sachs TR Growth opp Intl	X				5-11-11	X									
JT	Goldman Sachs Abs. Return Trac I	X				5-11-11	X									
JT	Goldman Sachs TR Growth opp Int.		X			6-3-11	X									
JT	Goddman Sachs Abs. Return Trac I		X			8-22-11	X									
JT	ING Global Real Estat. C1 I	X				5-11-11	X									
JT	ING Global Real Estat. C1 I		X			8-22-11	X									
JT	ING Global Real Estat. C1 I					6-3-11	X									

SCHEDULE IV—TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

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SP	Example: Mega Corporation Common Stock (partial sale)		X			10-12-11		X								
JT	Ivy Mid Cap Growth Fund I		X			8-22-11	X									
JT	JP Morgan Dynamic Small Cap I	X				5-11-11	X									
JT	JP Morgan Dynamic Small Cap I		X			8-22-11	X									
JT	Mainstay Large Cap Growth I	X				5-11-11		X								
JT	Mainstay Large Cap Growth I		X			8-22-11		X								
JT	Managers AMG Systematic Inst. Mid Cap	X				6-3-11	X									
JT	Managers AMG Systematic Inst. Mid Cap		X			8-22-11	X									
JT	Metropolitan West Class I Total Return	X				5-11-11	X									
JT	Metropolitan West Total Return CI		X			8-22-11	X									
JT	PMCo Emerging Local Bond P	X				5-11-11	X									
JT	PMCo Emerging Local Bond P		X			8-22-11	X									
JT	RYDEX S&P Managed Futures H	X				5-11-11	X									
JT	RYDEX S&P Managed Futures H		X			8-22-11	X									
JT	TCW Value Opportunities Fund I	X				5-11-11	X									
JT	TCW Value Opportunities Fund I		X			6-3-11	X									
JT	Thornburg Int'l Value I	X				5-11-11	X									

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Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the “capital gains” box and disclose this income on Schedule III.

(MO/DAY/YR)
or
Quarterly,
Monthly, or
Bi-weekly, if
applicable

\$1,001- \$15,000	A
\$15,001- \$50,000	B
\$50,001- \$100,000	C
\$100,001- \$250,000	D
\$250,001- \$500,000	E
\$500,001- \$1,000,000	F
\$1,000,001- \$5,000,000	G
\$5,000,001- \$25,000,000	H
\$25,000,001- \$50,000,000	I
Over \$50,000,000	J

[illegible]

SCHEDULE V— LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. **NOTE:** Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	
	<i>Example:</i> First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE	\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	
JT	Chase Mortgage	June 2010	Mortgage on Personal Resid.				X							

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$350 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
<i>Example:</i> Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	\$375

