



Filing ID #10015995

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Tom Cole
Status: Member
State/District: OKo4

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 05/9/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
10 Acres of land in Johnson County, Owned with Brother LOCATION: Johnson County, OK, US		\$1,001 - \$15,000	None		<input type="checkbox"/>
457 Sooner Savings ⇒ American Century Income and Growth Fund		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
457 Sooner Savings ⇒ BGI SDP Stock Fund		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
457 Sooner Savings ⇒ Columbia Acorn Fund		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
457 Sooner Savings ⇒ Dreyfus Premium Leader A Fund		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
457 Sooner Savings ⇒ Europacific A Growth Fund		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
457 Sooner Savings ⇒ Janus Small Cap Value Fund		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
457 Sooner Savings ⇒ T Rowe Price Balanced Fund		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
457 Sooner Savings ⇒ T Rowe Price Blue Chip Growth Fund		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Alliant Energy Corporation (LNT)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
AT&T Inc. (T)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Becton, Dickinson and Company (BDX)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Burke & Herbert Money Market Fund		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cole, Hargrave and Snodgrass		\$100,001 - \$250,000	Capital Gains, Dividends, Management Fee	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Moore, OK, US DESCRIPTION: Polling, Political Campaign Consulting Company					
Cole, Hargrave, Snodgrass 401(K) ⇒ Credit Suisse Capital Appreciation Fund		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin Balance Stock Investment Fund		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin Income Fund A		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin MSF Mutual Share Fund		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin Small/Med Cap Fund		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Lord Abbott Core Fixed Income Fund		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Lord Abbott Mid Cap Value Fund		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cole, Hargrave, Snodgrass 401(K) ⇒ Oppenheimer Global Fund Class A		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Oppenheimer Main Street Fund Class A		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Pimco High Yield Fund		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Putnam International Equity Fund A		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Strong Government Securities Fund		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Templeton Foreign Fund A		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Vankamp Common Stock Fund A		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Duke Energy Corporation (DUK)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen Cole's 401(k) ⇒ Fidelity Diversified International Fund	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ellen Cole's 401(k) ⇒ Fidelity Spartan 500 Index Fund	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen Cole's 401(k) ⇒ Fidelity Total Bond Fund	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Contrafund Fund	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Diversified International Stock Fund	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Spartan 500 Index Fund	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Total Bond Fund	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Exxon Mobil Corporation (XOM)	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Contrafund	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Spartan 500 Index Fund	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Fidelity Variable Annuity ⇒ Fidelity Freedom 2020 Fund	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Johnson Controls, Inc. (JCI)	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Mid First Money Market Fund	JT	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Personal Residence	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: Moore / Cleveland, OK, US					
Spectra Energy Corp (SE)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Strategic Communications (Moore) Stock		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Owns Phone Bank					
T. Rowe Price Equity Income Fund	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ C Fund		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ F Fund		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ G Fund		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ I Fund		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Tom's Fidelity IRA ⇒ Fidelity Contrafund Fund		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Tom's Fidelity IRA ⇒ Fidelity Diversified International Fund		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Tom's Fidelity IRA ⇒ Fidelity Spartan 500 Index Fund		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Tom's Fidelity IRA ⇒ Fidelity Total Bond Fund		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Tronox Limited Ordinary Shares Class A \$0.01 par (TROX)	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Vanguard Dividend Growth Fund	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard High Yield Tax Exempt Fund	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Total International Stock Fund	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard Total Stock Market Index Fund	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Walgreen Co. (WAG)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Wells Fargo & Company (WFC)	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alliant Energy Corporation (LNT)	SP	Quarterly	P	\$1,001 - \$15,000	
AT&T Inc. (T)	JT	Quarterly	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Duke Energy Corporation (DUK)	JT	Quarterly	P	\$1,001 - \$15,000	
Ellen Cole's 401(k) ⇒ Fidelity Total Bond Fund	SP	Monthly	P	\$1,001 - \$15,000	
Ellen's Fidelity IRA ⇒ Fidelity Contrafund Fund	SP	01/11/2016	P	\$1,001 - \$15,000	
Ellen's Fidelity IRA ⇒ Fidelity Diversified International Fund	SP	01/11/2016	P	\$1,001 - \$15,000	
Ellen's Fidelity IRA ⇒ Fidelity Spartan 500 Fund	SP	01/11/2016	P	\$1,001 - \$15,000	
Ellen's Fidelity IRA ⇒ Fidelity Total Bond Fund	SP	01/11/2016	P	\$1,001 - \$15,000	
Ellen's Fidelity IRA ⇒ Fidelity Total Bond Fund	SP	Monthly	P	\$1,001 - \$15,000	
Exxon Mobil Corporation (XOM)	JT	Quarterly	P	\$1,001 - \$15,000	
Fidelity Variable Annuity ⇒ Fidelity Freedom 2020 Fund	JT	Monthly	P	\$1,001 - \$15,000	
DESCRIPTION: Investment Payment					
Johnson Controls International plc Ordinary Share (JCI)	JT	Quarterly	P	\$1,001 - \$15,000	
T. Rowe Price Equity Income Fund	JT	Monthly	P	\$1,001 - \$15,000	
Tom's Federal Thrift Savings Plan ⇒ C Fund		Monthly	P	\$1,001 - \$15,000	
Tom's Federal Thrift Savings Plan ⇒ F Fund		Monthly	P	\$1,001 - \$15,000	
Tom's Federal Thrift Savings Plan ⇒ G Fund		Monthly	P	\$1,001 - \$15,000	
Tom's Federal Thrift Savings Plan ⇒ I Fund		Monthly	P	\$1,001 - \$15,000	
Tom's Fidelity IRA ⇒		01/11/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity Contrafund Fund					
Tom's Fidelity IRA ⇒ Fidelity Diversified International Fund		01/11/2016	P	\$1,001 - \$15,000	
Tom's Fidelity IRA ⇒ Fidelity Spartan 500 Index Fund		01/11/2016	P	\$1,001 - \$15,000	
Tom's Fidelity IRA ⇒ Fidelity Total Bond Fund		01/11/2016	P	\$1,001 - \$15,000	
Tom's Fidelity IRA ⇒ Fidelity Total Bond Fund		Monthly	P	\$1,001 - \$15,000	
Vanguard Dividend Growth Fund	JT	Monthly	P	\$1,001 - \$15,000	
Vanguard High Yield Exempt Fund	JT	Monthly	P	\$1,001 - \$15,000	
Vanguard Total International Stock Fund	JT	Quarterly	P	\$1,001 - \$15,000	
Vanguard Total Stock Market Index Fund	JT	Quarterly	P	\$1,001 - \$15,000	
Wells Fargo & Company (WFC)	JT	Quarterly	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Cole, Hargrave, Snodgrass and Associates	Management Fee	\$20,000.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Board of Directors	Fulbright Association
Board of Regents	Smithsonian Institute

Position	Name of Organization
Board of Advisors for Congressional Program	Aspen Institute
Partner	Strategic Communications
Partner	Cole, Hargrave, Snodgrass and Associates

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
April 2003	Cole, Hargrave, Snodgrass and Associates	Quarterly Management Fee of \$5,000 from personal business (Approved by Committee on Standards)

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none">457 Sooner SavingsCole, Hargrave, Snodgrass 401(K)Ellen Cole's 401(k) (Owner: SP)Ellen's Fidelity IRA (Owner: SP)Fidelity Variable Annuity (Owner: JT)Tom's Federal Thrift Savings Plan LOCATION: USTom's Fidelity IRA
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Tom Cole , 05/9/2017