

# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Brad Ashford

**Status:** Congressional Candidate

State/District: NE02

#### FILING INFORMATION

**Filing Type:** Candidate Report

Filing Year: 2014

**Filing Date:** 03/24/2014

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset  | Owner        | Value of Asset           | Income<br>Type(s) | Income<br>Current<br>Year to<br>Filing | Income<br>Preceding<br>Year |
|--|--------------|--------------------------|-------------------|--|-----------------------------|
| Ann Ashford IRA ⇒ Berkshire Hathaway Inc. New (BRK.B)                                      | SP           | \$100,001 -<br>\$250,000 | None              |  | I                           |
| Ann Ashford IRA ⇒ Johnson & Johnson (JNJ)  | SP           | \$15,001 - \$50,000      | None              |  |                             |
| Ann Ashford IRA ⇒ Pepsico, Inc. (PEP)  | SP           | \$15,001 - \$50,000      | None              |  |                             |
| Ann Ashford Pension and Retirement Plan ⇒<br>Nebraska Medical Center Basic Retirement Plan | SP           | \$1,001 - \$15,000       | None              |  |                             |
| Ann Ashford Pension and Retirement Plan ⇒<br>Nebraska Medical Center Retirement Plan       | SP           | \$50,001 -<br>\$100,000  | None              |  |                             |
| Description: The Nebraska Medical Center Employee Con                                      | tribution ar | d Matching Plan          |                   |  |                             |
| Ann Ashford Stock Account ⇒ 3M Company (MMM)   | SP           | \$100,001 -<br>\$250,000 | Dividends         | None                                   | \$1,001 -<br>\$2,500        |
| Ann Ashford Stock Account ⇒ Aixtron SE - American Depositary Shares, each                  | SP           | None                     | Capital Gains     | None                                   | None                        |

| Asset   | Owner | Value of Asset               | Income<br>Type(s)           | Income<br>Current<br>Year to<br>Filing | Income<br>Preceding<br>Year |
|---|-------|------------------------------|-----------------------------|--|-----------------------------|
| representing one Ordinary Share (AIXG)  |       |                              |                             |  |                             |
| Ann Ashford Stock Account ⇒ Altria Group, Inc. (MO)                                       | SP    | \$1,001 - \$15,000           | Dividends                   | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ Apache Corporation (APA)                                      | SP    | \$1,000,001 -<br>\$5,000,000 | Capital Gains,<br>Dividends | \$1,001 -<br>\$2,500                   | \$50,001 -<br>\$100,000     |
| Ann Ashford Stock Account ⇒ Apple Inc. (AAPL)   | SP    | None                         | Dividends                   | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ AT&T Inc. (T)   | SP    | \$15,001 - \$50,000          | Dividends                   | \$1 - \$200                            | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ BB&T Corporation (BBT)  | SP    | None                         | Capital Gains               | None                                   | None                        |
| Ann Ashford Stock Account ⇒ BB&T Corporation (BBT)  | SP    | None                         | None                        |  |                             |
| Ann Ashford Stock Account ⇒ Berkshire Hathaway Inc. (BRK.A)                               | SP    | \$500,001 -<br>\$1,000,000   | Capital Gains               | None                                   | \$100,001 -<br>\$1,000,000  |
| Ann Ashford Stock Account ⇒ Bristol-Myers Squibb Company (BMY)                            | SP    | \$15,001 - \$50,000          | Dividends                   | \$1 - \$200                            | \$201 -<br>\$1,000          |
| Ann Ashford Stock Account ⇒ Cliffs Natural Resources Inc (CLF)                            | SP    | \$1,001 - \$15,000           | Dividends                   | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ Coca-Cola Company (KO)  | SP    | \$15,001 - \$50,000          | Capital Gains,<br>Dividends | None                                   | \$201 -<br>\$1,000          |
| Ann Ashford Stock Account ⇒ Colgate-Palmolive Company (CL)                                | SP    | \$1,001 - \$15,000           | Dividends                   | \$1 - \$200                            | \$201 -<br>\$1,000          |
| Ann Ashford Stock Account ⇒ ConAgra Foods, Inc. (CAG)                                     | SP    | \$1,001 - \$15,000           | Dividends                   | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ Consolidated Edison, Inc. (ED)                                | SP    | \$1,001 - \$15,000           | Dividends                   | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ D.E. Master Blenders 1753 N.V. Ord Sh Ordinary Shares (DEMBF) | SP    | \$1,001 - \$15,000           | None                        |  |                             |

| Asset   | Owner | Value of Asset      | Income<br>Type(s)           | Income<br>Current<br>Year to<br>Filing | Income<br>Preceding<br>Year |
|---|-------|---------------------|-----------------------------|--|-----------------------------|
| Ann Ashford Stock Account ⇒ Delta Air Lines, Inc. (DAL)               | SP    | \$15,001 - \$50,000 | None                        |  |                             |
| Ann Ashford Stock Account ⇒ Discover Financial Services (DFS)         | SP    | \$1,001 - \$15,000  | Dividends                   | \$1 - \$200                            | None                        |
| Ann Ashford Stock Account ⇒ EarthLink Holdings Corp. (ELNK)           | SP    | None                | Capital Gains               | None                                   | None                        |
| Ann Ashford Stock Account ⇒ Emerson Electric Company (EMR)            | SP    | \$1,001 - \$15,000  | Dividends                   | None                                   | \$201 -<br>\$1,000          |
| Ann Ashford Stock Account ⇒ Exxon Mobil Corporation (XOM)             | SP    | \$15,001 - \$50,000 | Capital Gains,<br>Dividends | None                                   | \$201 -<br>\$1,000          |
| Ann Ashford Stock Account ⇒ First Horizon National Corporation (FHN)  | SP    | None                | Capital Gains,<br>Dividends | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ Frontier Communications Corporation (FTR) | SP    | None                | Capital Gains,<br>Dividends | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ General Electric Company (GE)             | SP    | None                | Capital Gains,<br>Dividends | None                                   | \$2,501 -<br>\$5,000        |
| Ann Ashford Stock Account ⇒ GlaxoSmithKline PLC (GSK)                 | SP    | \$15,001 - \$50,000 | Dividends                   | None                                   | \$1,001 -<br>\$2,500        |
| Ann Ashford Stock Account ⇒ Hanesbrands Inc. (HBI)                    | SP    | \$1 - \$1,000       | Dividends                   | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ Hillshire Brands Company (HSH)            | SP    | \$1 - \$1,000       | Dividends                   | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ Imation Corporation (IMN)                 | SP    | None                | Capital Gains               | None                                   | None                        |
| Ann Ashford Stock Account ⇒ J.M. Smucker Company (SJM)                | SP    | \$1 - \$1,000       | Dividends                   | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ Johnson & Johnson (JNJ)                   | SP    | \$1,001 - \$15,000  | Dividends                   | None                                   | \$201 -<br>\$1,000          |

| Asset   | Owner | Value of Asset      | Type(s)                     | Income<br>Current<br>Year to<br>Filing | Income<br>Preceding<br>Year |
|---|-------|---------------------|-----------------------------|--|-----------------------------|
| Ann Ashford Stock Account ⇒ Kimberly-Clark Corporation (KMB)  | SP    | \$1,001 - \$15,000  | Dividends                   | None                                   | \$201 -<br>\$1,000          |
| Ann Ashford Stock Account ⇒ Kraft Foods Group, Inc. (KRFT)  | SP    | \$1,001 - \$15,000  | Dividends                   | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ Lennox International, Inc. (LII)  | SP    | \$15,001 - \$50,000 | Dividends                   | \$1 - \$200                            | \$201 -<br>\$1,000          |
| Ann Ashford Stock Account ⇒ Masco Corporation (MAS)   | SP    | \$1,001 - \$15,000  | Dividends                   | \$1 - \$200                            | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ McDonald's Corporation (MCD)  | SP    | \$15,001 - \$50,000 | Dividends                   | None                                   | \$201 -<br>\$1,000          |
| Ann Ashford Stock Account ⇒ Medtronic Inc. (MDT)  | SP    | \$1,001 - \$15,000  | Dividends                   | \$1 - \$200                            | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ Mercury General Corporation (MCY)                                       | SP    | \$1 - \$1,000       | Capital Gains,<br>Dividends | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ Microsoft Corporation (MSFT)  | SP    | \$1,001 - \$15,000  | Dividends                   | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ Mondelez International, Inc Class A (MDLZ)                              | SP    | \$1,001 - \$15,000  | Dividends                   | \$1 - \$200                            | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ Motorola Solutions, Inc. (MSI)  | SP    | \$1,001 - \$15,000  | Dividends                   | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) | SP    | \$15,001 - \$50,000 | Capital Gains,<br>Dividends | None                                   | \$201 -<br>\$1,000          |
| Ann Ashford Stock Account ⇒ Newell Rubbermaid Inc. (NWL)  | SP    | None                | Capital Gains,<br>Dividends | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ Novartis AG (NVS)   | SP    | \$1,001 - \$15,000  | Dividends                   | None                                   | \$201 -<br>\$1,000          |
| Ann Ashford Stock Account ⇒ Pfizer, Inc. (PFE)  | SP    | \$1,001 - \$15,000  | Dividends                   | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒   | SP    | \$15,001 - \$50,000 | Dividends                   | None                                   | \$201 -                     |

| Asset  | Owner | Value of Asset           | Income<br>Type(s)           | Income<br>Current<br>Year to<br>Filing | Income<br>Preceding<br>Year |
|--|-------|--------------------------|-----------------------------|--|-----------------------------|
| PGROX  |       |                          |                             |  | \$1,000                     |
| Ann Ashford Stock Account ⇒ Philip Morris International Inc (PM)                       | SP    | \$1,001 - \$15,000       | Dividends                   | None                                   | \$201 -<br>\$1,000          |
| Ann Ashford Stock Account ⇒ Piper Jaffray Companies (PJC)                              | SP    | \$1 - \$1,000            | None                        |  |                             |
| Ann Ashford Stock Account ⇒ PPG Industries, Inc. (PPG)                                 | SP    | \$15,001 - \$50,000      | Dividends                   | None                                   | \$201 -<br>\$1,000          |
| Ann Ashford Stock Account ⇒ Procter & Gamble Company (PG)                              | SP    | None                     | Capital Gains,<br>Dividends | None                                   | \$15,001 -<br>\$50,000      |
| Ann Ashford Stock Account ⇒ Regions Financial Corporation (RF)                         | SP    | None                     | Capital Gains,<br>Dividends | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY) | SP    | \$50,001 -<br>\$100,000  | Dividends                   | None                                   | \$1,001 -<br>\$2,500        |
| Ann Ashford Stock Account ⇒ Schlumberger N.V. (SLB)                                    | SP    | \$15,001 - \$50,000      | Dividends                   | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ The Travelers Companies, Inc. (TRV)                        | SP    | \$1,001 - \$15,000       | Dividends                   | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ Twitter, Inc. (TWTR)                                       | SP    | None                     | Capital Gains               | None                                   | \$201 -<br>\$1,000          |
| Ann Ashford Stock Account ⇒ U.S. Bancorp (USB)   | SP    | \$1,001 - \$15,000       | Dividends                   | \$1 - \$200                            | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ Unilever NV (UN)   | SP    | \$1,001 - \$15,000       | Capital Gains,<br>Dividends | None                                   | \$201 -<br>\$1,000          |
| Ann Ashford Stock Account ⇒ Unilever PLC (UL)  | SP    | \$1,001 - \$15,000       | Capital Gains,<br>Dividends | None                                   | \$201 -<br>\$1,000          |
| Ann Ashford Stock Account ⇒ Union Pacific Corporation (UNP)                            | SP    | \$100,001 -<br>\$250,000 | Dividends                   | None                                   | \$1,001 -<br>\$2,500        |
| Ann Ashford Stock Account ⇒ Valmont Industries, Inc. (VMI)                             | SP    | None                     | Capital Gains,<br>Dividends | None                                   | \$50,001 -<br>\$100,000     |
|  |       |                          |                             |  |                             |

| Asset   | Owner | Value of Asset      | Income<br>Type(s)           | Income<br>Current<br>Year to<br>Filing | Income<br>Preceding<br>Year |
|---|-------|---------------------|-----------------------------|--|-----------------------------|
| Ann Ashford Stock Account ⇒ Verizon Communications Inc. (VZ)  | SP    | None                | Capital Gains,<br>Dividends | None                                   | \$2,501 -<br>\$5,000        |
| Ann Ashford Stock Account ⇒ Williams Companies, Inc. (WMB)  | SP    | \$1,001 - \$15,000  | Dividends                   | None                                   | \$1 - \$200                 |
| Ann Ashford Stock Account ⇒ WPX Energy, Inc. (WPX)  | SP    | \$1 - \$1,000       | None                        |  |                             |
| Ann Ashford Stock Account $\Rightarrow$ Zimmer Holdings, Inc. (ZMH)   | SP    | \$1,001 - \$15,000  | Dividends                   | None                                   | \$1 - \$200                 |
| Brad and Ann Ashford Joint Account ⇒ AB Volvo Class B American Depositary Receipts (VOLVY)                                    | JT    | None                | Capital Gains,<br>Dividends | None                                   | \$201 -<br>\$1,000          |
| Brad and Ann Ashford Joint Account ⇒ Alcoa Inc. (AA)  | JT    | \$1,001 - \$15,000  | Capital Gains,<br>Dividends | \$1 - \$200                            | \$1 - \$200                 |
| Brad and Ann Ashford Joint Account ⇒ Apache Corporation (APA)   | JT    | \$15,001 - \$50,000 | Capital Gains,<br>Dividends | \$1 - \$200                            | \$201 -<br>\$1,000          |
| Brad and Ann Ashford Joint Account ⇒ Ashford Hospitality Trust Inc (AHT)  | JT    | \$1 - \$1,000       | Capital Gains,<br>Dividends | None                                   | \$1 - \$200                 |
| Brad and Ann Ashford Joint Account ⇒ Bank of America Corporation (BAC)  | JT    | \$1,001 - \$15,000  | Dividends                   | None                                   | \$1 - \$200                 |
| Brad and Ann Ashford Joint Account ⇒ Bank Of Ireland The Governor & Co of the Bank of Ireland Euro.64 Ordinary Shares (IRLBF) | JT    | \$1,001 - \$15,000  | None                        |  |                             |
| Brad and Ann Ashford Joint Account ⇒ Caterpillar, Inc. (CAT)  | JT    | \$15,001 - \$50,000 | Dividends                   | \$1 - \$200                            | \$201 -<br>\$1,000          |
| Brad and Ann Ashford Joint Account ⇒ CenturyLink, Inc. (CTL)  | JT    | None                | Capital Gains,<br>Dividends | None                                   | \$201 -<br>\$1,000          |
| Brad and Ann Ashford Joint Account ⇒ DryShips Inc. (DRYS)   | JT    | \$1,001 - \$15,000  | None                        |  |                             |
| Brad and Ann Ashford Joint Account ⇒ E.I. du Pont de Nemours and Company (DD)   | JT    | \$1,001 - \$15,000  | Dividends                   | None                                   | \$201 -<br>\$1,000          |

| Asset  | Owner | Value of Asset          | Income<br>Type(s)           | Income<br>Current<br>Year to<br>Filing | Income<br>Preceding<br>Year |
|--|-------|-------------------------|-----------------------------|--|-----------------------------|
| Brad and Ann Ashford Joint Account ⇒ Facebook, Inc Class A (FB)  | JT    | None                    | Capital Gains               | None                                   | \$5,001 -<br>\$15,000       |
| Brad and Ann Ashford Joint Account ⇒ Ford Motor Company (F)  | JT    | \$1,001 - \$15,000      | Capital Gains,<br>Dividends | None                                   | \$1 - \$200                 |
| Brad and Ann Ashford Joint Account ⇒ General Electric Company (GE)   | JT    | \$15,001 - \$50,000     | Capital Gains,<br>Dividends | None                                   | \$201 -<br>\$1,000          |
| Brad and Ann Ashford Joint Account ⇒ Hercules Offshore, Inc. (HERO)  | JT    | \$1,001 - \$15,000      | None                        |  |                             |
| Brad and Ann Ashford Joint Account ⇒ Johnson & Johnson (JNJ)   | JT    | \$15,001 - \$50,000     | Capital Gains,<br>Dividends | None                                   | \$201 -<br>\$1,000          |
| Brad and Ann Ashford Joint Account ⇒ Kraft Foods Group, Inc. (KRFT)  | JT    | None                    | Capital Gains,<br>Dividends | None                                   | \$5,001 -<br>\$15,000       |
| Brad and Ann Ashford Joint Account ⇒ Macy's Inc (M)  | JT    | \$1,001 - \$15,000      | Capital Gains,<br>Dividends | None                                   | \$1 - \$200                 |
| Brad and Ann Ashford Joint Account $\Rightarrow$ Microsoft Corporation (MSFT)  | JT    | \$1,001 - \$15,000      | Capital Gains,<br>Dividends | None                                   | \$201 -<br>\$1,000          |
| Brad and Ann Ashford Joint Account $\Rightarrow$ Mondelez International, Inc Class A (MDLZ)                                | JT    | \$15,001 - \$50,000     | Capital Gains,<br>Dividends | None                                   | \$201 -<br>\$1,000          |
| Brad and Ann Ashford Joint Account ⇒ Nordstrom, Inc. (JWN)   | JT    | \$1,001 - \$15,000      | Capital Gains,<br>Dividends | None                                   | \$201 -<br>\$1,000          |
| Brad and Ann Ashford Joint Account ⇒ Ocean Rig UDW Inc. (ORIG)   | JT    | \$1 - \$1,000           | None                        |  |                             |
| Brad and Ann Ashford Joint Account ⇒ Teva Pharmaceutical Industries Limited American Depositary Shares (TEVA)              | JT    | None                    | Capital Gains,<br>Dividends | None                                   | \$1 - \$200                 |
| Brad Ashford Retirement Account ⇒ Apple Inc. (AAPL)  |       | \$50,001 -<br>\$100,000 | None                        |  |                             |
| Brad Ashford Retirement Account ⇒ Bank Of Ireland The Governor & Co of the Bank of Ireland Euro.64 Ordinary Shares (IRLBF) |       | \$1,001 - \$15,000      | None                        |  |                             |

| Asset   | Owner | Value of Asset          | Income<br>Type(s) | Income<br>Current<br>Year to<br>Filing | Income<br>Preceding<br>Year |
|---|-------|-------------------------|-------------------|--|-----------------------------|
| Brad Ashford Retirement Account ⇒ Berkshire Hathaway Inc. New (BRK.B)             |       | \$15,001 - \$50,000     | None              |  |                             |
| Brad Ashford Retirement Account ⇒ Comcast Corporation - Class A Special (CMCSK)   |       | \$1,001 - \$15,000      | None              |  |                             |
| Brad Ashford Retirement Account ⇒ Facebook, Inc Class A (FB)                      |       | \$1,001 - \$15,000      | None              |  |                             |
| Brad Ashford Retirement Account ⇒ J.C. Penney Company, Inc. Holding Company (JCP) |       | \$1,001 - \$15,000      | None              |  |                             |
| Brad Ashford Retirement Account ⇒ JP Morgan Chase & Co. (JPM)                     |       | \$1,001 - \$15,000      | None              |  |                             |
| Dependent Child Stock Account ⇒ 3M Company (MMM)                                  | DC    | \$1,001 - \$15,000      | Dividends         | \$1 - \$200                            | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Abbott Laboratories (ABT)                         | DC    | \$1,001 - \$15,000      | Dividends         | \$1 - \$200                            | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ AbbVie Inc. (ABBV)                                | DC    | \$1,001 - \$15,000      | Dividends         | \$1 - \$200                            | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Altria Group, Inc. (MO)                           | DC    | \$1,001 - \$15,000      | Dividends         | \$1 - \$200                            | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ American Express Company (AXP)                    | DC    | \$1,001 - \$15,000      | None              |  |                             |
| Dependent Child Stock Account ⇒ Apache Corporation (APA)                          | DC    | \$50,001 -<br>\$100,000 | Dividends         | \$1 - \$200                            | \$201 -<br>\$1,000          |
| Dependent Child Stock Account ⇒ AT&T Inc. (T)                                     | DC    | \$1,001 - \$15,000      | Dividends         | \$1 - \$200                            | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Bank of America Corporation (BAC)                 | DC    | \$1 - \$1,000           | Dividends         | None                                   | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ BB&T Corporation (BBT)                            | DC    | \$1,001 - \$15,000      | Dividends         | None                                   | \$1 - \$200                 |
|   |       |                         |                   |  |                             |

| Asset   | Owner | Value of Asset     | Income<br>Type(s) | Income<br>Current<br>Year to<br>Filing | Income<br>Preceding<br>Year |
|---|-------|--------------------|-------------------|--|-----------------------------|
| Dependent Child Stock Account ⇒ Berkshire Hathaway Inc. New (BRK.B)                           | DC    | \$1,001 - \$15,000 | None              |  |                             |
| Dependent Child Stock Account ⇒ Bristol-Myers Squibb Company (BMY)                            | DC    | \$1,001 - \$15,000 | Dividends         | \$1 - \$200                            | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Chevron Corporation (CVX)                                     | DC    | \$1,001 - \$15,000 | Dividends         | None                                   | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Coca-Cola Company (KO)  | DC    | \$1,001 - \$15,000 | Dividends         | None                                   | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ ConAgra Foods, Inc. (CAG)                                     | DC    | \$1,001 - \$15,000 | Dividends         | None                                   | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Consolidated Edison, Inc. (ED)                                | DC    | \$1,001 - \$15,000 | Dividends         | None                                   | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ D.E. Master Blenders 1753 N.V. Ord Sh Ordinary Shares (DEMBF) | DC    | \$1 - \$1,000      | None              |  |                             |
| Dependent Child Stock Account ⇒ Exxon Mobil Corporation (XOM)                                 | DC    | \$1,001 - \$15,000 | Dividends         | None                                   | \$201 -<br>\$1,000          |
| Dependent Child Stock Account ⇒ First Horizon National Corporation (FHN)                      | DC    | \$1 - \$1,000      | Dividends         | None                                   | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Frontier Communications Corporation (FTR)                     | DC    | \$1 - \$1,000      | Dividends         | None                                   | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ General Electric Company (GE)                                 | DC    | \$1,001 - \$15,000 | Dividends         | \$1 - \$200                            | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Hanesbrands Inc. (HBI)  | DC    | \$1 - \$1,000      | Dividends         | None                                   | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Hillshire Brands Company (HSH)                                | DC    | \$1 - \$1,000      | Dividends         | None                                   | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Home Depot, Inc. (HD)   | DC    | \$1,001 - \$15,000 | Dividends         | None                                   | \$1 - \$200                 |
| Dependent Child Stock Account ⇒   | DC    | \$1 - \$1,000      | None              |  |                             |

| Asset  | Owner | Value of Asset      | Income<br>Type(s) | Income<br>Current<br>Year to<br>Filing | Income<br>Preceding<br>Year |
|--|-------|---------------------|-------------------|--|-----------------------------|
| J.C. Penney Company, Inc. Holding Company (JCP)                            |       |                     |                   |  |                             |
| Dependent Child Stock Account ⇒ J.M. Smucker Company (SJM)                 | DC    | \$1 - \$1,000       | Dividends         | None                                   | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Johnson & Johnson (JNJ)                    | DC    | \$1,001 - \$15,000  | Dividends         | None                                   | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Kimberly-Clark Corporation (KMB)           | DC    | \$1,001 - \$15,000  | Dividends         | None                                   | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Kraft Foods Group, Inc. (KRFT)             | DC    | \$1 - \$1,000       | Dividends         | \$1 - \$200                            | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Lennox International, Inc. (LII)           | DC    | \$15,001 - \$50,000 | Dividends         | \$1 - \$200                            | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Level 3 Communications, Inc. (LVLT)        | DC    | \$1 - \$1,000       | None              |  |                             |
| Dependent Child Stock Account ⇒ Medtronic Inc. (MDT)                       | DC    | \$1,001 - \$15,000  | Dividends         | \$1 - \$200                            | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Merck & Company, Inc. Common Stock (MRK)   | DC    | \$1,001 - \$15,000  | Dividends         | None                                   | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Microsoft Corporation (MSFT)               | DC    | \$1,001 - \$15,000  | Dividends         | None                                   | \$201 -<br>\$1,000          |
| Dependent Child Stock Account ⇒ Mondelez International, Inc Class A (MDLZ) | DC    | \$1,001 - \$15,000  | Dividends         | \$1 - \$200                            | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Pfizer, Inc. (PFE)                         | DC    | \$1,001 - \$15,000  | Dividends         | \$1 - \$200                            | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Philip Morris International Inc (PM)       | DC    | \$1,001 - \$15,000  | Dividends         | \$1 - \$200                            | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Piper Jaffray Companies (PJC)              | DC    | \$1 - \$1,000       | None              |  |                             |
| Dependent Child Stock Account ⇒ Pitney Bowes Inc. (PBI)                    | DC    | \$1,001 - \$15,000  | Dividends         | None                                   | \$1 - \$200                 |

| Asset  | Owner       | Value of Asset          | Income<br>Type(s)           | Income<br>Current<br>Year to<br>Filing | Income<br>Preceding<br>Year |
|--|-------------|-------------------------|-----------------------------|--|-----------------------------|
| Dependent Child Stock Account ⇒ PPG Industries, Inc. (PPG)                 | DC          | \$1,001 - \$15,000      | Dividends                   | None                                   | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ Procter & Gamble Company (PG)              | DC          | \$1,001 - \$15,000      | Dividends                   | \$1 - \$200                            | \$201 -<br>\$1,000          |
| Dependent Child Stock Account ⇒ Regions Financial Corporation (RF)         | DC          | \$1 - \$1,000           | Dividends                   | None                                   | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ The Travelers Companies, Inc. (TRV)        | DC          | \$1,001 - \$15,000      | Dividends                   | None                                   | \$1 - \$200                 |
| Dependent Child Stock Account ⇒ U.S. Bancorp (USB)                         | DC          | \$1,001 - \$15,000      | Dividends                   | \$1 - \$200                            | \$1 - \$200                 |
| Dependent Child Stock Account ⇒<br>Verizon Communications Inc. (VZ)        | DC          | \$1,001 - \$15,000      | Dividends                   | \$1 - \$200                            | \$201 -<br>\$1,000          |
| Dependent Child Stock Account ⇒ Wal-Mart Stores, Inc. (WMT)                | DC          | None                    | Capital Gains,<br>Dividends | None                                   | \$2,501 -<br>\$5,000        |
| Dependent Child Stock Account ⇒ Wells Fargo & Company (WFC)                | DC          | \$1,001 - \$15,000      | Dividends                   | None                                   | \$1 - \$200                 |
| Eleanor and Brad Joint Account ⇒ Apache Corporation (APA)                  | JT          | \$1,001 - \$15,000      | Capital Gains,<br>Dividends | \$1 - \$200                            | \$5,001 -<br>\$15,000       |
| Eleanor and Brad Joint Account ⇒ Dreyfus Worldwide Growth                  | JT          | None                    | Capital Gains,<br>Dividends | None                                   | \$5,001 -<br>\$15,000       |
| Eleanor and Brad Joint Account ⇒ Frontier Communications Corporation (FTR) | JT          | \$1 - \$1,000           | Dividends                   | None                                   | \$1 - \$200                 |
| Ferlic Investments, LTD ⇒ Ferlic Investments, LTD                          | SP          | \$50,001 -<br>\$100,000 | Dividends                   | None                                   | \$1,001 -<br>\$2,500        |
| Description: Limited partnership with family members -                     | minority pa | rtner                   |                             |  |                             |

 $<sup>\</sup>ensuremath{^*}$  Asset class details available at the bottom of this form.

# SCHEDULE C: EARNED INCOME

| Source                                      | Type                 | Amount<br>Current Year to<br>Filing | Amount<br>Preceding Year |
|---|----------------------|-------------------------------------|--------------------------|
| The Nebraska Medical Center, Omaha, NE      | Spouse Salary        | N/A                                 | N/A                      |
| Private Practice Associates, LLC, Omaha, NE | Spouse bonus         | N/A                                 | N/A                      |
| State of Nebraska                           | State Senator        | \$3,000                             | \$12,000                 |
| County of Otoe, Otoe, NE                    | Arbitration services | \$                                  | \$800                    |
| Nebraska Public Employees, Local 251        | Arbitration services | \$                                  | \$800                    |

## SCHEDULE D: LIABILITIES

| Owner | Creditor   | Date Incurred  | Туре  | Amount of<br>Liability       |
|-------|--|----------------|---|------------------------------|
| JT    | Morgan Stanley   | September 2011 | Securities based Express Line of Credit                   | \$1,000,001 -<br>\$5,000,000 |
|       | COMMENTS: No longer a liability - paid off D                         | December 2013  |   |                              |
| JT    | Security National Bank   | February 2012  | 1st and 2nd mortgage on 1815 S. 58th<br>Street, Omaha, NE | \$100,001 -<br>\$250,000     |
|       | COMMENTS: Co-signed mortgage with son, John Ashford. Joint with son. |                |   |                              |

## SCHEDULE E: Positions

| Position                | Name of Organization    |
|-------------------------|-------------------------|
| Vice President/Co-Owner | Bugeaters, Inc.         |
| President/Owner         | Nebraska Solutions, LLC |

## SCHEDULE F: AGREEMENTS

None disclosed.

# SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

| Source (Name and Address)          | Brief Description of Duties |
|------------------------------------|-----------------------------|
| DesignWear, Inc. (Lincoln, NE, US) | Royalty payments            |

## SCHEDULE A ASSET CLASS DETAILS

- Ann Ashford IRA (Owner: SP)
- Ann Ashford Pension and Retirement Plan (100% Interest) (Owner: SP)

Location: Omaha/Douglas, NE, US

Description: Basic Retirement Plan and Retirement Plan through The Nebraska Medical Center

• Ann Ashford Stock Account (Owner: SP) Location: Omaha/ Douglas, NE, US

• Brad and Ann Ashford Joint Account (Owner: JT)

LOCATION: Omaha/Douglas, NE, US

o Brad Ashford Retirement Account

• Dependent Child Stock Account (Owner: DC)

LOCATION: Omaha/Douglas, NE, US

• Eleanor and Brad Joint Account (Owner: JT)

Location: Omaha/Douglas, NE, US

DESCRIPTION: Joint Stock Account with adult daughter Eleanor Ashford

• Ferlic Investments, LTD (Owner: SP) Location: Omaha/Douglas, NE, US

#### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?



**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

© Yes © No

#### **COMMENTS**

Both Spouse and Dependent Child held HJ Heinz stock in their accounts until it was delisted in 2013. Spouse had dividends of between \$1 and \$200 and capital gains of between \$2501 and \$5000. Dependent child had dividends of between \$1 and \$200 and capital gains of between \$1001 and \$2500.

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Brad Ashford, 03/24/2014