



Filing ID #10004288

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Mark Lester  
**Status:** Congressional Candidate  
**State/District:** AL06

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2014  
**Filing Date:** 08/31/2014

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Alger Small and Mid Cap Growth		\$15,001 - \$50,000	Dividends	None	None
Alliance Bernstein Equity Income Fund CL C		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
AMCAP Fund Inc CL A		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
American Airlines Group, Inc. (AAL)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
American FDS Global Balanced Fund CL A		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
American High Income Trust CL A		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
AMG Yacktman Fund		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
AT&T Inc. (T)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
AT&T Inc. (T)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Corporation (BAC)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Bank of America Corporation (BAC)		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Corporation (BAC)		\$50,001 - \$100,000	Dividends	\$1 - \$200	\$1 - \$200
Boeing Company (BA)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Capital World Bond Fund CL A		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Capital World Growth & Income CL A		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Columbia Corporate Income CL A		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Columbia Dividend Income CL A		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Columbia FDS Ser TR II Mass High Yield		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Columbia Mass Dividend Oppty Fund		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Comcast Corporation - Class A (CMCSA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
ConocoPhillips (COP)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
copyright "Victorian Insolvency"		\$1 - \$1,000	copyright on book written by filer	\$1 - \$200	\$1 - \$200
CSX Corporation (CSX)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Delaware Emerging Markets		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Delaware Enhanced Global Dividend Common Shares of Beneficial Interest (DEX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Delta Air Lines, Inc. (DAL)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Dow Chemical Company (DOW)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
DWS Value Series Small Cap		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Exxon Mobil Corporation (XOM)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Federated Strategic Value Dividend Fund		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Ford Motor Company (F)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Ford Motor Credit Co LLC		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Bond					
Fundamental Investment INC CL A		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
General Electric Company (GE)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Goldman Sachs TR Strategic Income Fund CL C		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Growth Fund America CL A		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Income Fund Amer Inc CL F-1		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
International Associates		\$50,001 - \$100,000	Rent	\$2,501 - \$5,000	\$2,501 - \$5,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LOCATION: Little Rock/ Pulaski, AR, US					
DESCRIPTION: Limited partnership owning one property, 101 N. University Ave., Little Rock, AR.					
International Business Machines Corporation (IBM)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Invesco High Yield		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
John Hancock Income Fund		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Manulife Financial Corporation (MFC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MetLife, Inc. (MET)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
MFS Government Markets Income Trust (MGF)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MFS Ser TR X Intl Value Fund CL C		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Microsoft Corporation (MSFT)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
ML Bank Deposit Program		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Nuveen Tradewinds Value Opportunities CL C		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Oppenheimer Dev Market Funds CL C		\$15,001 - \$50,000	Dividends	None	None
Oppenheimer International		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Pepsico, Inc. (PEP)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Pfizer, Inc. (PFE)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Pimco All Asset Fund		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Pimco Income FD CL C		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Pimco Income Fund CL A		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Promissory Note - E. A. Lester		\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	None
Promissory Note - J.C. Lester		\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	\$5,001 - \$15,000
PVR Partners Inc. LOCATION: Randor/, PA, US DESCRIPTION: Partnership - domestic gas production		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Regions Bank - account (1)		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Regions Bank - account (2)		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Ridgeworth High Grade Mun Bond		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Ridgeworth Large Cap Value Equity		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Shelby County TN Health  DESCRIPTION: Bond		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
Southwestern Energy Company (SWN)		\$500,001 - \$1,000,000	Dividends	None	None
SunTrust Banks, Inc. (STI)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Templeton Global Bond Fund		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Templeton Global Total		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Thornburg International Value Class C		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Vanguard Short Term		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Vanguard Wellington Fund Investor CL		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Verizon Communications Inc. (VZ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Verizon Communications Inc. (VZ)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD)		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Wal-Mart Stores, Inc. (WMT)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Wal-Mart Stores, Inc. (WMT)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Wells Fargo & Company (WFC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Wells Fargo Bank Deposit Sweep		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

**SCHEDULE C: EARNED INCOME**

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Birmingham-Southern College	filer's salary	\$52,000	\$73,115
Rhodes College	filer's salary	N/A	\$4,000
Women's Fund of Greater Birmingham	spouse salary	\$51,875	\$130,495

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Mrrill-Lynch	January 2014	Call- Swestern Energy shares	\$15,001 - \$50,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Board of Directors	American Civil Liberties Union/Foundation of Alabama
Board of Directors	American Civil Liberties Union/Foundation

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Mark Lester , 08/31/2014