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Puette D. Clarke Congress of the United States 9th District of New York

COMMITTEE ON HOMELAND SECURITY

Subcommittee on Cybersecurity,
Infrastructure Protection, and Security
Technologies - Ranking Member

SUBCOMMITTEE ON EMERGENCY PREPAREDNESS, RESPONSE AND COMMUNICATIONS

SMALL BUSINESS COMMITTEE
SUBCOMMITTEE ON INVESTIGATIONS, OVERSIGHT,
AND REGULATIONS - RANKING MEMBER

SUBCOMMITTEE ON CONTRACTING AND WORKFORCE

COMMITTEE ON ETHICS

July 29, 2015

The Honorable Karen L. Haas Clerk of the House U.S. House of Representatives Legislative Resource Center 135 Cannon House Office Building Washington, DC 20515

Dear Madame Clerk:

I am writing to request that you accept this letter as an explanation concerning assets I reported on my 2012 financial disclosure statements (FD), but not on subsequent reports.

On Schedule III—Assets and "Unearned Income," I listed the following Mainstay funds:

- 1. Mainstay-Retirement Cap Appreciation Fund B
- 2. Mainstay-Retirement Cap Appreciation Investor Class
- 3. Mainstay-Retirement Total Return-Fund B
- 4. Mainstay-Retirement Total Return-Investor Class

The value of these 4 funds fell below the reporting threshold during calendar year 2013. As of December 31, 2013, the value remained below the reporting threshold. Further, the value continues to be below the reporting threshold. Accordingly, I did not list these assets on my 2013 FD, and will not report them on subsequent FDs, unless the value increases and they again exceed the reporting threshold.

Sincerely,

Yvette D. Clarke Member of Congress

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