

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Denny Heck

Status: Member State/District: WA10

FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2017

Filing Date: 05/15/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AMERICAN FUNDS \Rightarrow FBO - R.D. HECK \Rightarrow Growth Fund of America-529A [5P] LOCATION: WA		None	Capital Gains	Not Applicable	V
AMERICAN FUNDS ⇒ FBO - R.D. HECK ⇒ THE GROWTH FUND OF AMERICA-529F1 [5P] LOCATION: WA DESCRIPTION: Purchase in 2017		\$50,001 - \$100,000	Capital Gains, Dividends	Not Applicable	<u>~</u>
AMERICAN FUNDS \Rightarrow FBO - R.D. HECK \Rightarrow WASHINGTON MUTUAL INVESTORS FD-529A [5P] LOCATION: WA		None	Capital Gains	Not Applicable	▽
AMERICAN FUNDS ⇒ FBO - R.D. HECK ⇒ WASHINGTON MUTUAL INVESTORS FUND-529F1 [5P] LOCATION: WA DESCRIPTION: Purchase of shares in 2017		\$50,001 - \$100,000	Capital Gains, Dividends	Not Applicable	V
AMERICAN FUNDS ⇒ FBO M. HECK ⇒ Growth Fund of America-529F1 [5P] Location: WA Description: Purchase of shares in 2017		\$50,001 - \$100,000	Capital Gains, Dividends	Not Applicable	<u>~</u>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AMERICAN FUNDS \Rightarrow FBO M. HECK \Rightarrow THE GROWTH FUND OF AMERICA-529A [5P] Location: WA		None	Capital Gains	Not Applicable	<u>~</u>
AMERICAN FUNDS ⇒ FBO M. HECK ⇒ WASHINGTON MUTUAL INVESTORS FD-529A [5P] LOCATION: WA		None	Capital Gains	Not Applicable	▽
AMERICAN FUNDS \Rightarrow FBO M. HECK \Rightarrow WASHINGTON MUTUAL INVESTORS FD-529F1 [5P] Location: WA		\$50,001 - \$100,000	Capital Gains	Not Applicable	V
BRUIN DEVELOPMENT L.L.C., 50% Interest [OL] LOCATION: TUMWATER, WA, US DESCRIPTION: Commercial building ownership/rental		\$100,001 - \$250,000	Capital Gains, Rent	None	V
CHARLES SCHWAB-CASH ACCT ⇒ CASH, TIME CERTIFICATES, 100% Interest [OT] DESCRIPTION: PURCHASE TIME CERTIFICATES		\$250,001 - \$500,000	Interest	\$201 - \$1,000	<u>~</u>
CHARLES SCHWAB-IRA ⇒ CASH, MMF [IH] DESCRIPTION: Formerly held in Morgan Stanley		\$15,001 - \$50,000	Interest	Not Applicable	
CHARLES SCHWAB-IRA ⇒ Citigroup, Inc. (C) [ST] DESCRIPTION: Sale 2017		None	Dividends	Not Applicable	~
CHARLES SCHWAB-IRA ⇒ Comcast Corporation - Class A (CMCSA) [ST] DESCRIPTION: Formerly held in Morgan Stanley		\$100,001 - \$250,000	Capital Gains, Dividends	Not Applicable	
CHARLES SCHWAB-IRA ⇒ DFA Global Equity [MF] DESCRIPTION: Formerly held in Morgan Stanley		\$15,001 - \$50,000	Capital Gains, Dividends	Not Applicable	~
CHARLES SCHWAB-IRA ⇒ First Eagle Global Fund CL A (SGENX) [MF] DESCRIPTION: Formerly held in Morgan Stanley		\$100,001 - \$250,000	Capital Gains, Dividends	Not Applicable	V
CHARLES SCHWAB-IRA \Rightarrow First Eagle Global Fund CL A (SGENX) [MF]		None	Capital Gains	Not Applicable	▽

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CHARLES SCHWAB-IRA ⇒ GUGGENHEIM CHINA SM CAP ETF [EF]		None	Capital Gains	Not Applicable	▽
CHARLES SCHWAB-IRA ⇒ Johnson & Johnson (JNJ) [ST] DESCRIPTION: Formerly held in Morgan Stanley		\$100,001 - \$250,000	Capital Gains, Dividends	Not Applicable	
CHARLES SCHWAB-IRA ⇒ Nike, Inc. (NKE) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	Not Applicable	▽
CHARLES SCHWAB-IRA ⇒ Oakmark Intl Fd INV [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	Not Applicable	▽
CHARLES SCHWAB-IRA ⇒ Oppenheimer Global Oppty FD CL A [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	Not Applicable	<u>~</u>
CHARLES SCHWAB-IRA ⇒ Procter & Gamble Company (PG) [ST] DESCRIPTION: Formerly held in Morgan Stanley		\$15,001 - \$50,000	Capital Gains, Dividends	Not Applicable	
CHARLES SCHWAB-IRA ⇒ SCHWAB VALUE ADVANTAGE (SWVXX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	None	▽
CHARLES SCHWAB-IRA ⇒ Starbucks Corporation (SBUX) [ST] DESCRIPTION: Formerly held in Morgan Stanley		\$100,001 - \$250,000	Capital Gains, Dividends	Not Applicable	П
CHARLES SCHWAB-IRA ⇒ Verizon Communications Inc. (VZ) [ST]		None	Capital Gains	Not Applicable	<u>~</u>
CHARLES SCHWAB-JT ⇒ Chevron Corporation (CVX) [ST] DESCRIPTION: Formerly held in Morgan Stanley account	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	П
CHARLES SCHWAB-JT ⇒ CLEAR LAKE TX WT 4.75% [GS] DESCRIPTION: Formerly held in Morgan Stanley account	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	П
CHARLES SCHWAB-JT ⇒ Costco Wholesale Corporation (COST) [ST] DESCRIPTION: Formerly held in Morgan Stanley account	JT	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	П
CHARLES SCHWAB-JT ⇒ DEPOSITS,CASH CREDITS, CD's [BA] DESCRIPTION: Formerly held in Morgan Stanley	JT	\$250,001 - \$500,000	Interest	\$201 - \$1,000	П

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CHARLES SCHWAB-JT ⇒ DFA LARGE CAP VALUE III [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<u>~</u>
Description: Purchased 2017					
CHARLES SCHWAB-JT ⇒ DFA US SMALL CAP PORT [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<u>~</u>
Description: Purchased in 2017					
CHARLES SCHWAB-JT \Rightarrow Enerplus Corporation (ERF) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Description: Formerly held in Morgan Stanley account					
CHARLES SCHWAB-JT ⇒ General Electric Company (GE) [ST]	JT	None	Dividends	\$1,001 - \$2,500	▽
Description: Formerly held in Morgan Stanley account					
CHARLES SCHWAB-JT ⇒ GlaxoSmithKline PLC (GSK) [ST]	JT	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	
Description: Formerly held in Morgan Stanley account					
CHARLES SCHWAB-JT ⇒ Halyard Health, Inc. (HYH) [ST]	JT	\$1,001 - \$15,000	None		
Description: Formerly held in Morgan Stanley account					
CHARLES SCHWAB-JT ⇒ HARRIS CO TX CULT E 5% [GS]	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	
Description: Formerly held in Morgan Stanley account					
CHARLES SCHWAB-JT ⇒ Heritage Financial Corporation (HFWA) [ST]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<u>~</u>
Description: Formerly held in Morgan Stanley account					
CHARLES SCHWAB-JT ⇒ ISHARES MRNSTAR LRG CAP [EF]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<u> </u>
Description: Purchased in 2017					
CHARLES SCHWAB-JT \Rightarrow Kimberly-Clark Corporation (KMB) [ST]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	
Description: Formerly held in Morgan Stanley account					
CHARLES SCHWAB-JT ⇒ KING CO WA SD#40 5% [GS]	JT	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	
Description: Formerly held in Morgan Stanley account					
CHARLES SCHWAB-JT ⇒	JT	\$15,001 - \$50,000	Capital Gains,	None	

NUSTAR ENERGY LP [OL] Dividends, Interest, Ordinary Location: OLYMPIA, WA, US DESCRIPTION: Formerly held in Morgan Stanley account CHARLES SCHWAB-JT ⇒ JT \$15,001 - \$50,000 None RealNetworks, Inc. (RNWK) [ST] DESCRIPTION: Formerly held in Morgan Stanley account CHARLES SCHWAB-JT ⇒ JT None None Seaspan Corporation Seaspan Corporation Common Shares (SSW) [ST] DESCRIPTION: Formerly held in Morgan Stanley account CHARLES SCHWAB-MASON LAKE ⇒ \$1,001 - \$15,000 Dividends \$1 - \$200	
Description: Formerly held in Morgan Stanley account CHARLES SCHWAB-JT ⇒ JT \$15,001 - \$50,000 None RealNetworks, Inc. (RNWK) [ST] Description: Formerly held in Morgan Stanley account CHARLES SCHWAB-JT ⇒ JT None None Seaspan Corporation Seaspan Corporation Common Shares (SSW) [ST] Description: Formerly held in Morgan Stanley account	✓
RealNetworks, Inc. (RNWK) [ST] DESCRIPTION: Formerly held in Morgan Stanley account CHARLES SCHWAB-JT ⇒ JT None None Seaspan Corporation Seaspan Corporation Common Shares (SSW) [ST] DESCRIPTION: Formerly held in Morgan Stanley account	▽
CHARLES SCHWAB-JT ⇒ JT None None Seaspan Corporation Seaspan Corporation Common Shares (SSW) [ST] Description: Formerly held in Morgan Stanley account	▽
Seaspan Corporation Seaspan Corporation Common Shares (SSW) [ST] Description: Formerly held in Morgan Stanley account	▽
CHARLES SCHWAR MASON LAKE > \$1,000 Dividends \$1, \$200	
DFA US CORE EQTY 1 PORT [MF]	
CHARLES SCHWAB-VICTOR ⇒ SP \$100,001 - Dividends \$2,501 - 3M Company (MMM) [ST] \$250,000 \$5,000	П
Description: Formerly held in Morgan Stanley account	
CHARLES SCHWAB-VICTOR ⇒ SP \$50,001 - Capital Gains, \$1,001 - Bristol-Myers Squibb Company (BMY) [ST] \$100,000 Dividends \$2,500 DESCRIPTION: Formerly held in Morgan Stanley account	✓
CHARLES SCHWAB-VICTOR \Rightarrow SP \$250,001 - Interest \$201 - \$1,000 CASH, TIME CERTIFICATES [BA] \$500,000	
CHARLES SCHWAB-VICTOR \Rightarrow SP \$1,001 - \$15,000 Dividends \$1,001 - ClearBridge American Energy MLP Fund Inc. (CBA) \$2,500 [ST]	
Description: Formerly held in Morgan Stanley account	
CHARLES SCHWAB-VICTOR ⇒ SP \$15,001 - \$50,000 Dividends \$1,001 - \$2,500	
DESCRIPTION: Formerly held in Morgan Stanley account	
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DESCRIPTION: Formerly held in Morgan Stanley	
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Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?			
DESCRIPTION: Formerly held in Morgan Stanley Account								
CHARLES SCHWAB-VICTOR ⇒ NACOGDOCHES TX 3.5% [GS]	SP	\$15,001 - \$50,000	Interest	\$201 - \$1,000	П			
DESCRIPTION: Formerly held in Morgan Stanley account								
CHARLES SCHWAB-VICTOR ⇒ Seadrill Limited Ordinary Shares (SDRL) [ST]	SP	None	None					
CHARLES SCHWAB-VICTOR ⇒ Tekla Healthcare Investors (HQH) [ST]	SP	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	П			
Description: Formerly held in Morgan Stanley account								
CHARLES SCHWAB-VICTOR ⇒ Unilever PLC (UL) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500				
Description: Formerly held in Morgan Stanley account								
CHARLES SCHWAB-VICTOR ⇒ WA ST HEALTH CAREFACS AUTH 5%/42 [GS]	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500				
Description: Formerly held in Morgan Stanley account								
CHARLES SCHWAB-VICTOR ⇒ WA ST HEALTH CAREFACS AUTH 5%/44 [GS]	SP	\$15,001 - \$50,000	Interest	\$201 - \$1,000				
Description: Formerly held in Morgan Stanley Account								
CHARLES SCHWAB-VICTOR ⇒ WA STATE REV-D 20,000 [GS]	SP	None	Interest	\$201 - \$1,000	✓			
Description: Sale of bonds 1/3/17 Formerly held in Morgan S	Stanley acco	ount						
CINEMAS TULARE LP [OL]	JT	\$15,001 - \$50,000	PTR	\$5,001 - \$15,000	П			
LOCATION: TULARE, CA, US DESCRIPTION: LIMITED PARTNER BUSINESS ACTIVITY = C	OMMERC	IAL BUILDING RENT	ALS					
CONGRESSIONAL FED. CR UNION [BA]	DC	\$50,001 - \$100,000	Interest	\$1 - \$200				
EVERGLADES ESTATES LP [OL]	JT	\$15,001 - \$50,000	PTR	None				
LOCATION: FT. MOHAVE, AZ, US DESCRIPTION: LIMITED PARTNER IN LAND OWNERSHIP FOR RETIREMENT HOUSING DEVELOPMENT. ASSET IS LAND IN FT. MOHAVE, MOHAVE CO, AZ.								
FRUCI FAMILY LLC [OL]	SP	\$500,001 - \$1,000,000	PTR, Rent	\$5,001 - \$15,000				
LOCATION: SPOKANE, WA, US								

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: LLC Member COMMERCIAL BUILDING OWN	ERSHIP/R	ENTAL.			
FRUCI FAMILY LLC [OL]	SP	\$500,001 - \$1,000,000	PTR, Rent	\$2,501 - \$5,000	
LOCATION: SPOKANE, WA, US DESCRIPTION: LLC Member COMMERCIAL BUILDING OWN	ERSHIP/R	ENTALS.			
GALAXY CINEMAS NORTHWEST LLC [OL]	JT	\$100,001 - \$250,000	PTR, Rent	None	
LOCATION: MONROE, WA, US DESCRIPTION: Partnership owning commercial building leases					
INTREPID LEARNING SOLUTIONS INC. [PS]		\$100,001 - \$250,000	Capital Gains	None	
DESCRIPTION: PRIVATELY HELD STOCK IN COMPANY					
LOAN TO CAMPAIGN - HECK FOR CONGRESS [OT]		\$250,001 - \$500,000	None		
DESCRIPTION: LOAN TO CAMPAIGN					
VANGUARD - 403(b) 7 - EQUITY INC FUND [PE]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	Not Applicable	П
VANGUARD-WINDSOR FUND [MF]	DC	None	Capital Gains, Dividends	\$1,001 - \$2,500	▽
WA DEPT. OF RETIREMENT SYSTEMS [PE]	SP	Undetermined	RETIREMENT	\$15,001 - \$50,000	
DESCRIPTION: DEFINED BENEFIT PLAN					
WA DEPT. RETIREMENT SYSTEMS [PE]		Undetermined	None		
DESCRIPTION: DEFINED BENEFIT PLAN					
WSECU - CASH, CHECKING [BA]	JT	\$100,001 - \$250,000	Interest	\$201 - \$1,000	П
WSECU - CERTIFICATE OF DEPOSIT [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	

SCHEDULE B: TRANSACTIONS

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
AMERICAN FUNDS ⇒ FBO - R.D. HECK ⇒ American Funds-Growth FD of America-529A [5P] Location: WA	01/3/2017	S	\$15,001 - \$50,000	<u>~</u>
AMERICAN FUNDS ⇒ FBO - R.D. HECK ⇒ American Funds-Growth FD of America-529F1 [5P] LOCATION: WA	01/3/2017	P	\$1,001 - \$15,000	
AMERICAN FUNDS ⇒ FBO - R.D. HECK ⇒ American Funds-Growth Fund of America-529F1 [5P] Location: WA Description: January through August 2017 monthly purchases.	Monthly	P	\$15,001 - \$50,000	
AMERICAN FUNDS ⇒ FBO - R.D. HECK ⇒ American Funds-WA Mutual Inv FD-529A [5P] LOCATION: NH DESCRIPTION: Transferred OUT of WA Mutual Inv. Fund-529A #10	01/3/2017 01	S	\$1,001 - \$15,000	~
AMERICAN FUNDS ⇒ FBO - R.D. HECK ⇒ American Funds-WA Mutual Inv FD-529F1 [5P] LOCATION: WA	06/16/2017	P	\$1,001 - \$15,000	
AMERICAN FUNDS ⇒ FBO - R.D. HECK ⇒ American Funds-WA Mutual Inv FD-529F1 [5P] Location: WA Description: January through August 2017 purchases.	Monthly	P	\$15,001 - \$50,000	
AMERICAN FUNDS ⇒ FBO - R.D. HECK ⇒ Growth Fund of America-529F1 [5P] LOCATION: WA	12/20/2017	P	\$1,001 - \$15,000	
AMERICAN FUNDS \Rightarrow FBO - R.D. HECK \Rightarrow Growth Fund of America-529F1 [5P] LOCATION: WA	01/3/2017	P	\$1,001 - \$15,000	
AMERICAN FUNDS ⇒ FBO - R.D. HECK ⇒ WASHINGTON MUTUAL INVESTORS FD-529F1 [5P] LOCATION: WA	12/18/2017	P	\$1,001 - \$15,000	
AMERICAN FUNDS ⇒ FBO M. HECK ⇒ American Funds-Growth FD of America-529A [5P] Location: WA Description: Transferred OUT of Growth Fund of America-529A #	01/3/2017 ±1005	S	\$15,001 - \$50,000	V

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AMERICAN FUNDS ⇒ FBO M. HECK ⇒ American Funds-Growth Fund of America-529F1 [5P] LOCATION: WA		01/3/2017	P	\$15,001 - \$50,000	
AMERICAN FUNDS ⇒ FBO M. HECK ⇒ American Funds-WA Mutual Inv FD-529A [5P] LOCATION: WA		01/3/2017	S	\$15,001 - \$50,000	▽
AMERICAN FUNDS ⇒ FBO M. HECK ⇒ American Funds-WA Mutual Inv Fund-529F1 [5P] LOCATION: WA DESCRIPTION: Transferred IN from WA Mutual Investors Fund-529	9A #1001	01/3/2017	P	\$15,001 - \$50,000	
AMERICAN FUNDS ⇒ FBO M. HECK ⇒ Growth Fund of America-529F1 [5P] LOCATION: WA		01/4/2017	P	\$1,001 - \$15,000	
AMERICAN FUNDS ⇒ FBO M. HECK ⇒ Growth Fund of America-529F1 [5P] LOCATION: WA		12/20/2017	P	\$1,001 - \$15,000	
AMERICAN FUNDS ⇒ FBO M. HECK ⇒ WASHINGTON MUTUAL INVESTORS FD-529F1 [5P] Location: WA		01/4/2017	P	\$1,001 - \$15,000	
AMERICAN FUNDS ⇒ FBO M. HECK ⇒ WASHINGTON MUTUAL INVESTORS FD-529F1 [5P] Location: WA		06/16/2017	P	\$1,001 - \$15,000	
AMERICAN FUNDS ⇒ FBO M. HECK ⇒ WASHINGTON MUTUAL INVESTORS FD-529F1 [5P] Location: WA		12/18/2017	P	\$1,001 - \$15,000	
BRUIN DEVELOPMENT LLC, 50% Interest [OL] LOCATION: OLYMPIA, WA, US DESCRIPTION: Sale of property within the LLC		12/31/2017	S (partial)	\$100,001 - \$250,000	V
CHARLES SCHWAB-CASH ACCT ⇒ CASH, TIME CERTIFICATES, 100% Interest [OT] LOCATION: Olympia, WA, US DESCRIPTION: Time Certificate		10/10/2017	P	\$100,001 - \$250,000	
CHARLES SCHWAB-CASH ACCT ⇒ CASH, TIME CERTIFICATES, 100% Interest [OT]		10/10/2017	P	\$100,001 - \$250,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Location: Olympia, WA, US Description: Time certificate				
CHARLES SCHWAB-IRA ⇒ Citigroup, Inc. (C) [ST]	09/25/20	017 S	\$1,001 - \$15,000	П
DESCRIPTION: Formerly held in Morgan Stanley account CHARLES SCHWAB-IRA ⇒ DFA GLOBAL EQUITY (DGEIX) [MF]	09/25/20	017 P	\$15,001 - \$50,000	
CHARLES SCHWAB-IRA ⇒ FIRST EAGLE GLOBAL FUND CL 1 (SGIIX) [MF] DESCRIPTION: Formerly held in Morgan Stanley	12/13/20	17 P	\$1,001 - \$15,000	
CHARLES SCHWAB-IRA ⇒ First Eagle Global Fund CL A (SGENX) [MF]	10/20/20	017 S	\$100,001 - \$250,000	▽
CHARLES SCHWAB-IRA ⇒ FIRST EAGLE GLOBAL FUND CL A (SGIIX) [MF]	10/20/20	017 P	\$100,001 - \$250,000	
CHARLES SCHWAB-IRA ⇒ Guggenheim China Small Cap Index ETF (HAO) [ST] DESCRIPTION: Formerly held in Morgan Stanley account	09/25/20	017 S	\$1,001 - \$15,000	П
CHARLES SCHWAB-IRA ⇒ Nike, Inc. (NKE) [ST]	09/25/20	017 P	\$1,001 - \$15,000	
CHARLES SCHWAB-IRA ⇒ OAKMARK INTL FD INV (OAKIX) [MF]	09/25/20	017 P	\$15,001 - \$50,000	
CHARLES SCHWAB-IRA ⇒ OPPENHEIMER INTL FD INV (OPGIX) [MF]	09/25/20	017 P	\$15,001 - \$50,000	
CHARLES SCHWAB-IRA ⇒ POWERSHARES INDIA ETF [EF]	09/25/20	017 S	\$1,001 - \$15,000	П
CHARLES SCHWAB-IRA ⇒ SCHWAB VALUE ADVANTAGE (SWVXX) [MF]	12/29/20	017 P	\$15,001 - \$50,000	
CHARLES SCHWAB-IRA ⇒ VALE S.A. (VALE.P) [ST]	09/25/20	017 S	\$1,001 - \$15,000	
Description: Formerly held in Morgan Stanley account				
CHARLES SCHWAB-IRA ⇒	09/25/2	017 S	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Verizon Communications Inc. (VZ) [ST]					
DESCRIPTION: Formerly held in Morgan Stanley account					
CHARLES SCHWAB-IRA ⇒ Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD) [ST]		09/25/2017	S	\$1,001 - \$15,000	
CHARLES SCHWAB-JT ⇒ CLARK CO NV SD (55,000) [GS]	JT	12/15/2017	S	\$50,001 - \$100,000	П
DESCRIPTION: Formerly held in Morgan Stanley					
CHARLES SCHWAB-JT ⇒ DFA LARGE CAP VALUE III [MF]	JT	09/25/2017	P	\$50,001 - \$100,000	
Description: Charles Schwab Account					
CHARLES SCHWAB-JT \Rightarrow DFA US SMALL CAP PORT [MF]	JT	09/25/2017	P	\$50,001 - \$100,000	
DESCRIPTION: Charles Schwab Account					
CHARLES SCHWAB-JT ⇒ General Electric Company (GE) [ST]	JT	09/25/2017	S	\$15,001 - \$50,000	
DESCRIPTION: Formerly held in Morgan Stanley					
CHARLES SCHWAB-JT ⇒ Heritage Financial Corporation (HFWA) [ST]	JT	09/25/2017	S (partial)	\$50,001 - \$100,000	▽
DESCRIPTION: Formerly held in Morgan Stanley					
CHARLES SCHWAB-JT ⇒ ISHARES MRNSTAR LRG CAP [EF]	JT	09/25/2017	P	\$50,001 - \$100,000	
Description: Charles Schwab Account					
CHARLES SCHWAB-JT \Rightarrow Seaspan Corporation Seaspan Corporation Common Shares (SSW) [ST]	JT	09/25/2017	S	\$1,001 - \$15,000	П
DESCRIPTION: Formerly held in Morgan Stanley					
CHARLES SCHWAB-JT ⇒ SEATTLE WA DRN/WW 5%20 (40,000) [GS]	JT	07/28/2017	S	\$15,001 - \$50,000	
DESCRIPTION: Formerly held in Morgan Stanley					
CHARLES SCHWAB-JT ⇒ UNIV NEB STUDENT HSG CUSIP914641YD1 [GS]	JT	06/28/2017	S	\$15,001 - \$50,000	
DESCRIPTION: Formerly held in Morgan Stanley					
CHARLES SCHWAB-JT ⇒	JT	06/29/2017	S	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
YAKIMA CNTY WA SCH DIST CUSIP651154LG1 [GS]					
DESCRIPTION: Formerly held in Morgan Stanley					
CHARLES SCHWAB-MASON LAKE ⇒ DFA US CORE EQTY 1 PORT [MF]		01/3/2017	P	\$1,001 - \$15,000	
CHARLES SCHWAB-VICTOR ⇒ Bristol-Myers Squibb Company (BMY) [ST]	SP	09/25/2017	S (partial)	\$15,001 - \$50,000	<u>~</u>
DESCRIPTION: Formerly held in Morgan Stanley					
CHARLES SCHWAB-VICTOR ⇒ Enbridge Inc (ENB) [ST]	SP	01/2/2017	P	\$15,001 - \$50,000	
Description: Formerly held in Morgan Stanley account.					
CHARLES SCHWAB-VICTOR ⇒ FIRST EAGLE GLOBAL CL C (FESGX) [MF]	SP	10/20/2017	S	\$50,001 - \$100,000	▽
Description: Formerly held in Morgan Stanley account					
CHARLES SCHWAB-VICTOR ⇒ FIRST EAGLE GLOBAL CL C (FESGX) [MF]	SP	07/3/2017	P	\$1,001 - \$15,000	
Description: Formerly held in Morgan Stanley account					
CHARLES SCHWAB-VICTOR ⇒ First Eagle Global Fund Cl 1 [MF]	SP	09/26/2017	P	\$50,001 - \$100,000	
CHARLES SCHWAB-VICTOR ⇒ First Eagle Global Fund CL 1 [MF]	SP	10/20/2017	P	\$50,001 - \$100,000	
CHARLES SCHWAB-VICTOR ⇒ WA ST REV MTR VEHICLE FUEL CUSIP 93974BXN7 [GS]	SP	01/3/2017	S	\$15,001 - \$50,000	
Description: Formerly held in Morgan Stanley					
VANGUARD WINDSOR INV (VWNDX) [MF]	DC	03/31/2017	S	\$15,001 - \$50,000	V

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Туре	Amount of Liability
	ALASKA AIR VISA	12/2017	CREDIT CARD	\$15,001 - \$50,000
JT	WSECU	11/2011	HOME MORTGAGE	\$250,001 - \$500,000
	CAPITAL HILL TOWER HOUSING COOPERATIVE INC.	07/28/17	MORTGAGE - DC COOP	\$50,001 - \$100,000

SCHEDULE E: Positions

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement		
1973	Myself & The State of Washington	Participation in Retirement Program		

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details				Inclusions			
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
GOVERNMENT OF SINGAPORE	02/18/2017	02/24/2017	WASHINGTON, DC - SINGAPORE - WASHINGTON, DC	0	∀	V	

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

• AMERICAN FUNDS

LOCATION: WA

DESCRIPTION: 529 College Savings Plan

• AMERICAN FUNDS ⇒ FBO - R.D. HECK

LOCATION: WA

DESCRIPTION: FBO - R.D. HECK

o AMERICAN FUNDS ⇒ FBO M. HECK

LOCATION: WA

DESCRIPTION: FBO M. HECK

• CHARLES SCHWAB-CASH ACCT (100% Interest)

LOCATION: OLYMPIA, WA, US DESCRIPTION: CD ACCOUNT

o CHARLES SCHWAB-IRA

DESCRIPTION: Formerly held in Morgan Stanley

• CHARLES SCHWAB-JT (100% Interest) (Owner: JT)

LOCATION: OLYMPIA, WA, US

• CHARLES SCHWAB-MASON LAKE (100% Interest)

LOCATION: OLYMPIA, WA, US

• CHARLES SCHWAB-VICTOR (100% Interest) (Owner: SP)

LOCATION: OLYMPIA, WA, US

DESCRIPTION: Formerly held in Morgan Stanley

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

C Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ○ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ○ No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Denny Heck, 05/15/2018