

**HAND
DELIVERED**

UNITED STATES HOUSE OF REPRESENTATIVES

Periodic Transaction Report

LEGISLATIVE RESOURCE CENTER
Page 1 of 1

NAME: **Joshua Gottheimer**

OFFICE TELEPHONE: **5-4465**

☒ Member of the U.S. House of Representatives
State: **NY** District: **08**
File an original and 2 copies

File an original and 2 copies

☐ Officer or Employee
Employing Office: _____
File an original and 1 copy

File an original and 1 copy

Did you purchase any shares that were allocated as a part of an Initial Public Offering? ☐ Yes ☒ No
If you answered "Yes" to this question, please contact the Committee on Ethics for further guidance.

Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending. ☐ Initial Report ☐ Amendment

Date of Report Being Amended: _____

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
18 JAN 19 PM 5:55
HL
(For Official Use Only)

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

FULL ASSET NAME	TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION										
	Purchase	Sale	Exchange			A	B	C	D	E	F	G	H	I	J	K
SP DC JT Provide full name, not ticker symbol.				(MM/DD/YY)	(MM/DD/YY)	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Transaction in a Spouse or Dependent Child Asset over \$1,000,000
JT Example: Mega Corp. Common Stock		X		02/05/015	03/07/15		X									
JT Microsoft Call Options (see note #1)	X			12/29/17			✓									
JT Microsoft Call Options (see note #2)		X		01/03/18			X									
JT Microsoft Call Options (see note #3)	X			01/16/2018					X							
JT Microsoft Call Options (see note #4)		X		01/16/18					X							

NAME: _____ Page _____ of _____

Page of

[illegible]

NOTE NUMBER	FILER NOTES (optional)
Note #1	We bought 100 call option contracts to close out an existing open position.
Note # 2	We sold some covered calls on Microsoft to generate income.
Note #3	We bought 150 call option contracts to close out an existing open position.

Note #9 we sold some covered call options on microsoft to generate income.