

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

Gary C. Peters

(Full Name)

(Daytime Telephone)

U.S. (Office Use Only)

2013 JUL 25 PM 2:42

✓
HCD

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representative	State: <u>MI</u> District: <u>14</u>	<input type="checkbox"/> Officer Or Employee	Employing Office
Report Type	<input type="checkbox"/> Annual (May 15)	<input checked="" type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$50 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO--	Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "Yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Forgotten Harvest	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source <small>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</small>	BLOCK B Year-End Value of Asset <small>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None." * This column is for assets held solely by your spouse or dependent child.</small>	BLOCK C Type of Income <small>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</small>	BLOCK D Amount of Income <small>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. * This column is for income generated by assets held solely by your spouse or dependent child.</small>	BLOCK E Transaction <small>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</small>
3M Co	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Abbot Labs	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Air Prod & Chemical	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Allstate Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
AT&T	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Bank of America Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Bank of New York	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Barry Cnty Mi Ult Tax 4/1/23	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
BB&T Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Brandon MI Sch Dist Ult 5/1/25	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
Bristol Myers Squibb Co	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Byron Cntr Mi Pub Schs 05/01/30	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Carnival Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Central Mich University 403B: Fidelity Freedom 2030	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
Chippewa Valley MI SBLF 5/1/19	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Chippewa Valley Mi Sch 5/1/24	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Clinton Charter Twp Mich 4/1/24	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
CMS Energy Corp	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Coca Cola Co	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Colgate Palmolive Co	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Community Foundation SE MI 403B Plan: American Funds EuroPacific Growth	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	
SP	Community Foundation SE MI 403B Plan: Dreyfus Mid Cap Index	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	
SP	Community Foundation SE MI 403B Plan: Fidelity Advisor Free 2020	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Community Foundation SE MI 403B Plan: Fidelity Advisor Free 2030	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Community Foundation SE MI 403B Plan: Goldman Sachs FS Govt	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
SP	Community Foundation SE MI 403B Plan: Vanguard 500 Index	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Community Foundation SE MI MPP: Fidelity Advisor Free 2030	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Community Foundation SE MI MPP: Goldman Sachs FS Govt FST	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Conagra Food Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Congressional Federal Credit Union CD 58882	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	Congressional Federal Credit Union CD 59109	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	

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	Congressional Federal Credit Union CD 59110	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
	Congressional Federal Credit Union CD 60147	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	Congressional Federal Credit Union CD 61075	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
	Congressional Federal Credit Union CD 61967	\$1,001 - \$15,000	INTEREST	NONE	P
	Congressional Federal Credit Union Savings	\$1 - \$1,000	INTEREST	\$1 - \$200	
	Dearborn Mich Ser B FGIC 4/1/19	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
	Detroit MI Dwntn Dev Rev 7/1/18	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	Detroit MI Sew Disp Syst 7/1/24	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	Detroit MI Sew Displ 5% 7/01/35	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
	Detroit Mich CSD Ser B 5/1/15	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	Detroit Mich Wtr Supply 7/1/29	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	Dow Chemical	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Duke Realty Corp New REITS	None	DIVIDENDS	NONE	S
	DuPont de Nemours	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

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	Durand Mich Area Schs 5/1/21	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
	East Jackson Mich Cmnty 5/1/18	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	Eastgroup Properties Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Eaton Vance Tax Advan	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Ecolab Inc	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Forgotten Harvest 401K Thrift: Mutual of America Interest Accumulation	\$1,001 - \$15,000	/INTEREST	\$1 - \$200	P

SP	Forgotten Harvest 401k Thrift: Vanguard VIF Diversified Value	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	Frontier Communications	None	DIVIDENDS	NONE	E
	General Mills Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
	Genesee Cnty MI SWG Sys 5/1/27	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	Genl Electric Co	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Grand Travers Co Mich 5/1/23	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	Healthcare Realty Tr Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Ishares MSCI EAFE Index Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

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Jackson Mich Cmnty College 4.5% 5/01/25	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JP Morgan Chase & Co	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Kalamazoo MI Pub Schs 5/1/26	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Kimberly Clark Corp	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Kraft Foods	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Leggett & Platt Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Lowell Mich Area Schs 5/1/25	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Lowes Companies Inc	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Mattel Inc	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
McDonalds Corp	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Medtronic Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Merck & Co Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Mettife	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Mettife Annuity	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	

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Michigan Fin Auth 12/01/25	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Michigan Mun Bd Auth Rev 11/1/32	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
Michigan St Hosp Fin Aut 11/15/25	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Michigan St Hosp Fin Auth 11/15/32	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Michigan St Univ 08/15/27	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Microsoft Corp	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Mondelez Intl Inc (Kraft spin-off)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
Monroe Cnty Mich Bldg 4/1/24	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
Nisource Inc	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
North Gratiot Interceptr 5/1/24	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Northwestern Mutual Life Insurance	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
Pall Corp	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Parchment MI Sch Dist 07 5/1/25	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
Pepco	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

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Pepsico Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Pfizer Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Plymouth Canton MI CSD 5/1/17	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Portage Mich Transn Fd 12/1/20	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
PPG Industries Inc	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Proctor & Gamble	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
Rochester Mich Cmnty Sch 5/1/19	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Sherwin Williams Co	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Smucker J M Co	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
State of MI 401k: American Fund Europacific Growth	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	
State of MI 401k: Dodge & Cox	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
State of MI 401k: SSGA Target Retirement 2025	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
State of MI 401k: Stable Value	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
State of MI 401k: T Rowe Price Midcap Value	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	

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	State of MI 457: American Fund Europacific Growth	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	State of MI 457: Rainier Large Cap Growth	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	State of MI 457: RidgeWorth Funds	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
	State of MI 457: SsgA S&P 500 Index	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
	State of MI 457: SSgA S&P MidCap Index	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
	State of MI 457: Stable Value	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Total S.A. France Spon ADR	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
	Transamerica Advisors Life Insurance	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	U.S. Government Thrift Savings Plan C Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
	U.S. Government Thrift Savings Plan I	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	P
	U.S. Government Thrift Savings Plan G Fund	\$100,001 - \$250,000	DIVIDENDS	\$1,001 - \$2,500	P
	U.S. Government Thrift Savings Plan S Fund	\$1,001 - \$15,000	DIVIDENDS/CAPIT AL GAINS	\$1,001 - \$2,500	P
JT	UBS AG NEW 2006 ORD CHF	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	UBS IRA: Intel Corp	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P

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SP	UBS IRA: Johnson & Johnson	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
SP	UBS IRA: American Funds Investment Company of America	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	UBS IRA: Ford Motor Company	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	UBS IRA: Invesco Constellation	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	UBS SEP: Alabama Power Co 2/15/19	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	UBS SEP: Amer Intl Group 1/16/18	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	UBS SEP: Anheuser Busch Cos 3/1/19	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	UBS SEP: Bank of Amer Internotes 11/15/17	None	INTEREST	NONE	S
	UBS SEP: Bank of Amer Internotes 11/15/19	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
	UBS SEP: Bank of Amer Internotes 9/15/17	None	INTEREST	NONE	S
	UBS SEP: Bank of Amer Internotes 9/15/19	None	INTEREST	NONE	S
	UBS SEP: BB&T Corp 11/1/19	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	UBS SEP: Calamos Strategic Total Return	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

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UBS SEP: Carolina First Bk Sc US 11/26/13	\$15,001 - \$50,000	INTEREST	NONE	
UBS SEP: CBRE Clarion Global Real Estate	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
UBS SEP: Citi Group Inc	\$1 - \$1,000	DIVIDENDS	NONE	
UBS SEP: Citi Group Inc 5/1/15	None	INTEREST	NONE	S
UBS SEP: Citi Group Inc 5/1/16	None	INTEREST	NONE	S
UBS SEP: Citi Group Inc 5/1/17	None	INTEREST	NONE	S
UBS SEP: Dow Chemical Co 2.9% 5/15/16	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
UBS SEP: DWS Rreef Real Estate	\$1 - \$1,000	DIVIDENDS	NONE	
UBS SEP: FFCP Bond 2.75% 7/26/17	None	INTEREST	NONE	S
UBS SEP: Ford Motor Credit 3.25% 6/20/14	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
UBS SEP: GE Capital Internotes 2/15/17	None	INTEREST	NONE	S
UBS SEP: GE Capital Internotes 3/15/16	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
UBS SEP: GE Capital Internotes 7/15/19	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	

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UBS SEP: GE Capital Internotes 8/15/19	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
UBS SEP: GE Capital Retail 1.6% 12/23/19	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
UBS SEP: GMAC SmartNotes 3/15/16	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
UBS SEP: Goldman Sachs Group Inc 3.625% 02/07/16	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	P
UBS SEP: Goldman Sachs Group Inc Notes 10/1/14	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
UBS SEP: Heinz Co 3/15/12	None	INTEREST	NONE	S
UBS SEP: Home Depot 3/1/16	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
UBS SEP: ING Prime Rate Trust	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
UBS SEP: John Hancock Signatures 11/15/18	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
UBS SEP: JP Morgan Chase 2.6% 1/15/16	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
UBS SEP: Medical Properties Trust Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
UBS SEP: Merrill Lynch NTS 5/5/14	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	

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	UBS SEP: Morgan Stanley Adj Rate 12/15/19	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
	UBS SEP: Morgan Stanley NTS 11/09/18	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	UBS SEP: Morgan Stanley Step-Up 4%	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	UBS SEP: New York Times Co 3/15/15	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	UBS SEP: Prudential Fincl Inc 10/15/18	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	UBS SEP: Royal Bank of Scotland 11/15/17	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	UBS SEP: SPDR S&P 500 ETF	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	UBS SEP: SPDR S&P Dividend ETF	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	UBS SEP: SPDR S&P Midcap 400 ETF	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	UBS SEP: UBS AG Jersey 08/04/15	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
DC	UBS UGTMA 1: American Funds Investment Co of America	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	UBS UGTMA 1: Bear Stearns Companies 01/10/14	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	UBS UGTMA 1: Invesco Constellation	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

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DC	UBS UGTMA 1: Pace Large Co Growth Equity	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	UBS UGTMA 2: American Funds Investment Company of America	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	UBS UGTMA 2: Bear Stearns Companies 01/10/14	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	UBS UGTMA 2: Invesco Constellation	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	UBS UGTMA 2: Pace Large Co Growth Equity	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Verizon Communications Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Wal Mart Stores	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Warren Woods MI PS Go Ut 5/1/22	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
 Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.
 * This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Congressional Federal Credit Union CD 61075	P	N/A	12-02-12	\$1,001 - \$15,000
	Congressional Federal Credit Union CD 61967	P	N/A	12-13-12	\$1,001 - \$15,000
	Duke Realty Corp New REITS	S	No	12-26-12	\$1,001 - \$15,000
	General Mills Inc	P	N/A	03-26-12	\$1,001 - \$15,000
	Mondelez Intl Inc (Kraft spin-off)	P	N/A	10-05-12	\$1,001 - \$15,000
	Total S.A. France Spon ADR	P	N/A	12-26-12	\$1,001 - \$15,000
SP	UBS IRA: Intel Corp	P	N/A	06-04-12	\$1,001 - \$15,000
SP	UBS IRA: Johnson & Johnson	P	N/A	06-04-12	\$1,001 - \$15,000
SP	UBS IRA: Ford Motor Company	P	N/A	06-04-12	\$1,001 - \$15,000
	UBS SEP: GE Capital Retail 1.6% 12/23/19	P	N/A	12-14-12	\$15,001 - \$50,000
	UBS SEP: Bank of America Internotes 11/15/17	S	Yes	11-15-12	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	UBS SEP: Bank of America Internotes 9/15/17	S	Yes	09-15-12	\$1,001 - \$15,000
	UBS SEP: Bank of America Internotes 9/15/19	S	Yes	09-15-12	\$1,001 - \$15,000
	UBS SEP: FFCB Bond 2.75% 7/26/17	S	No	07-26-12	\$15,001 - \$50,000
	UBS SEP: GE Capital Internotes 5% 02/15/17	S	Yes	12-15-12	\$1,001 - \$15,000
	UBS SEP: Goldman Sachs Group Inc 3.625% 02/07/16	P	N/A	01-18-12	\$1,001 - \$15,000
	UBS SEP: Heinz Co 3/15/12	S	No	03-15-12	\$1,001 - \$15,000
	UBS SEP: Morgan Stanley Adj Rate 12/15/19	P	N/A	03-22-12	\$15,001 - \$50,000

SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	UBS Mortgage/ Wells Fargo	Feb 2003	Home Equity Loan	\$10,001 - \$15,000

FOOTNOTES

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Frontier Communications was a spin-off from Verizon on July 1, 2010	Frontier Communications
2	Schedule III	Regular contributions (purchases) are made throughout the year, but no single purchase is greater than \$1,000	SP Forg Harvest 401K: Mutual of America Int Accum
3	Schedule III	Regular contributions (purchases) are made throughout the year, but no single purchase is greater than \$.000	SP Forg Harvest 401K: Vanguard VIF Divers Value