



Filing ID #10011693

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Keith J. Rothfus  
**Status:** Member  
**State/District:** PA12

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2015  
**Filing Date:** 05/15/2016

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3M Company (MMM)	SP	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
AGL Resources, Inc. (GAS)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
AT&T Inc. (T)	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
BNY Mellon Bond Fund	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
BNY Mellon Emerging Market Fund		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
BNY Mellon International Fund		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
BNY Mellon Mid Cap Fund		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: Dividends: \$1 - \$200 Capital Gains: \$2,501 - \$5,000					
BNY Small Cap Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Campbell Soup Company (CPB)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Caterpillar, Inc. (CAT)	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Charles Schwab Money Market Account		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Chevron Corporation (CVX)	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Cisco Systems, Inc. (CSCO)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Citizens Bank	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Coca-Cola Company (KO)	SP	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Cons Staples Sel Sect SPDR		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Dollar Bank Account	SP	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Dollar Bank Accounts		\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Dow Chemical Company (DOW)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Dreyfus Disc Stock Fund	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Dreyfus Money Mkt Reserve	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Energy Sel Sect SPDR XLE		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Express Scripts Holding Company (ESRX)	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
Frontier Communications Corporation (FTR)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Intel Corporation (INTC)	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Intel Corporation (INTC)		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Ishares S&P Small Cap Fund		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Lewis Farms Minnesota, LP, 33% Interest	SP	Spouse/DC Over \$1,000,000	Farm Income, Rent	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: Marshall, MN, US DESCRIPTION: Produces Corn, Soy Bean, and Sugar Beets					
Merck & Company, Inc. Common Stock (MRK)	SP	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
MetLife Policy		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Microsoft Corporation (MSFT)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Morgan Stanley Bank Account		\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Bank Account	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual Policy		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
PP&L Corporation (PPL)	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Procter & Gamble Company (PG)	SP	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Procter & Gamble Company (PG)		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A)	SP	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Southern Company (SO)	SP	\$15,001 - \$50,000	Dividends	\$1,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
				\$2,500	
SPDR Trust Series 1 Fund		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Spouse's Trust ⇒ 3M Company (MMM)	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Spouse's Trust ⇒ BNY Mellon Money Market Account	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Spouse's Trust ⇒ BNYM Natl Inmdt Muni Bond Fund	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse's Trust ⇒ Chevron Corporation (CVX)	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Spouse's Trust ⇒ Eli Lilly and Company (LLY)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Spouse's Trust ⇒ Exxon Mobil Corporation (XOM)	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Spouse's Trust ⇒ General Electric Company (GE)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Spouse's Trust ⇒ International Business Machines Corporation (IBM)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse's Trust ⇒ JP Morgan Chase & Co. (JPM)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse's Trust ⇒ Microsoft Corporation (MSFT)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse's Trust ⇒ Procter & Gamble Company (PG)	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Talen Energy Corporation (TLN)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Stock was received in a spin-off from PP&L Corporation.					
Texas Instruments Incorporated (TXN)	SP	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Small Cap Vipers Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Verizon Communications Inc. (VZ)	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Washington Mutual Investment Fund		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

**SCHEDULE B: TRANSACTIONS**

None disclosed.

**SCHEDULE C: EARNED INCOME**

None disclosed.

**SCHEDULE D: LIABILITIES**

None disclosed.

**SCHEDULE E: POSITIONS**

None disclosed.

**SCHEDULE F: AGREEMENTS**

None disclosed.

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
International Israel Allies Caucus Foundation	08/4/2016	08/14/2016	Pittsburgh - Tel Aviv, Israel - Pittsburgh	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Spouse's Trust (Owner: SP)  
DESCRIPTION: Spouse is a 1/3 beneficiary

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Keith J. Rothfus , 05/15/2016