

# UNITED STATES HOUSE OF REPRESENTATIVES 2014 FINANCIAL DISCLOSURE STATEMENT

Form A  
For Use by Members, Officers, and Employees

Name: Rodney P. Frelinghuysen

Daytime Telephone: 202-225-5034

**HAND DELIVERED** Page 1 of 42  
LEGISLATIVE RESOURCE CENTER  
2015 MAY 14 AM 10:47  
OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES  
(Office Use Only)

FILER STATUS	<input checked="" type="checkbox"/> Member of or Candidate for U.S. House of Representatives	State: <u>New Jersey</u> District: <u>11</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____
REPORT TYPE	<input checked="" type="checkbox"/> 2014 Annual (Due: May 15, 2015)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____

## PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

## IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or your dependent child?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

## Page 2 of 42

**Use additional sheets if more space is required.**

**ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT**

**RODNEY P. FRELINGHUYSEN**

**CONTINUATION SHEET - SCHEDULE A**

<b>ASSETS</b>	<b>YEAR-END</b>		<b>2014</b>	
	<b>VALUE</b>	<b>INCOME TYPE</b>	<b>INCOME</b>	<b>TRANSACTION</b>
Johnson & Johnson	H	DIV	VII	
Pall Corp.	H	DIV	V	
Peapack Gladstone Financial Corp.	F	DIV	IV	
Procter & Gamble Co.	I	DIV	IX	
International Business Machines	I	DIV	VII	
Eli Lilly & Co.	H	DIV	VI	
Peapack Gladstone Bank (CKG)	I	INT	III	N/A
JP Morgan Chase Bank (SVGS)	D	INT	II	N/A
Congressional Federal Credit Union	D	NONE	I	N/A
Merck & Co., Inc.	F	DIV	V	
JP Morgan Funds	C	DIV	Under \$1.00	
Abbott Labs	F	DIV	V	
Emerson Electric Co.	F	DIV	V	

**ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT**

**RODNEY P. FRELINGHOYSEN**

**CONTINUATION SHEET - SCHEDULE A**

<u>ASSETS</u>	<u>YEAR-END</u>		<u>2014</u>		<u>2014</u>
	<u>VALUE</u>	<u>INCOME</u>	<u>TYPE</u>	<u>INCOME</u>	<u>TRANSACTION</u>
Exxon Mobil Corp.	G	DIV	VI		
Schlumberger LTD	F	DIV	V		
Wells Fargo & Co. (NEW)	F	DIV	V		
B G Group PLC	F	DIV	IV		
Nestle SA Sponsored ADR	F	DIV	VI		
Chicago Bridge & Iron Co.	F	DIV	IV		
Schwab U.S. Treasury Money Fund	F	DIV	I		N/A
Varian Medical Systems, Inc.	F	NONE	I		
Denbury Resources, Inc.	A	DIV/GAIN	VIII		S
Union Pacific Corp.	F	DIV	V		
Laboratory Corp. of America	E	NONE	I		
Vanguard Natural Resources	A	DIV/GAIN	VII		S
Berkshire Hathaway CL'B'	G	NONE	I		

**ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT**

**RODNEY P. FRELINGHUYSEN**

**CONTINUATION SHEET - SCHEDULE A**

<u>ASSETS</u>	<u>YEAR-END</u> <u>VALUE</u>	<u>INCOME</u> <u>TYPE</u>	<u>2014</u> <u>INCOME</u>	<u>2014</u> <u>TRANSACTION</u>
Google, Inc. CL/A'	E	GAIN	VII	S(partial)
Coca Cola Company	F	DIV	V	
Devon Energy Corp.	E	DIV	IV	
Royal Dutch Shell	F	DIV	VI	
John Wiley & Son CL/A'	F	DIV	IV	
Third Avenue Focused (THFCIX)	F	DIV	VI	
Chevron Corp.	F	DIV	V	
TJX Co. Inc.	G	DIV	V	
Celgene Corp.	G	NONE	I	
Illinois Tool Works	F	DIV	V	
Brookfield Asset Mgmt CL/A'	A	DIV/GAIN	VII	S
Fed Ex Corp.	F	DIV	III	
SPDR MSCI ACWI EX-US (IIRA)	A	GAIN	III	S

**ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT**

**RODNEY P. FRELINGHUYSEN**

**CONTINUATION SHEET - SCHEDULE A**

<u>ASSETS</u>	<u>YEAR-END</u>		<u>2014</u>		<u>TRANSACTION</u>
	<u>VALUE</u>	<u>INCOME</u>	<u>INCOME</u>		
Schwab Gov't Money Market (IRA)	B	DIV	I	N/A	
U.S. T-Notes .25% due 4/30/14	A	INT	II	S	
Deere & Co.	A	DIV/GAIN	VI	S	
Abbvie, Inc.	G	DIV	VI		
Qualcomm, Inc.	A	DIV/GAIN	VII	S	
Apple, Inc.	F	DIV/GAIN	VI	S(partial)	
Carmax, Inc.	G	NONE	I	P	
CBS Corp. Class B	F	DIV	I	P	
Google, Inc. Class C	E	NONE	I	E	
Cognizant Technology Class A	F	NONE	I	P	
XPO Logistics, Inc.	F	NONE	I	P	
Prime Cap Odyssey AGGR (IRA)	C	DIV	II	P	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

<u>ASSETS</u>	<u>YEAR-END</u>		<u>2014</u>	
	<u>VALUE</u>	<u>INCOME TYPE</u>	<u>INCOME</u>	<u>TRANSACTION</u>
17.923 Acres unimproved land, Frelinghuysen Twp, NJ (Commercial Value 2005)	G	NONE	I	
1/5th undivided interest in 235.8 acres of unimproved land, Stockbridge, Ma. (Est Value)	F	NONE	I	
1/5 interest in Rattlesnake Mountain, LLC Rental Home, Stockbridge, MA	G	RENT	I	
Pacific Life - Whole Life Insurance	G	N/A		

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

Identity : Procter Trust for Frelinghuysen,  
Peter H. B. Frelinghuysen, Trustee (1/10th income  
interest ) \*

\* All Assets are held in trust, and all  
transactions are initiated by the Trustee

The trust was not created directly by Rodney,  
his spouse or any dependents.

They are neither consulted nor give advice  
regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly,  
quarterly, yearly reports of any type regarding this trust, excepting  
the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

This Trust replaces the Marital Trust u/w Rodney Procter and  
the Non-Marital Trust u/w Rodney Procter.

2014 Income Received

IX



ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

Identity : A.H. Frelinghuysen 1950 Trust,  
Peter H. B. Frelinghuysen, Trustee  
(1/5 income interest) \*

\* All Assets are held in trust, and all transactions are initiated by the Trustee

The trust was not created directly by Rodney, his spouse or any dependents.

They are neither consulted nor give advice regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly, quarterly, yearly reports of any type regarding this trust, excepting the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

2014 Income Received

VIII

\*Final Year as Excepted Trust. Split between beneficiaries 12/30/14

**ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT**

**RODNEY P. FRELINGHUYSEN**

**CONTINUATION SHEET - SCHEDULE A**

**Identity : A.H. Frelinghuysen 12/07/50 Trust**  
**F/B/O Rodney P. Frelinghuysen**

<u>HOLDINGS</u>	<u>YEAR-END VALUATION</u>	<u>INCOME TYPE</u>	<u>2014 INCOME</u>	<u>2014 TRANSACTION</u>
Vanguard Bond Index Fund, Inc.	F	DIV	I	
Vanguard Fixed Income - GNMA Portfolio	F	DIV	I	
Templeton Global Bond Fund	F	DIV	I	
Vanguard Short-Term Bond Index Fund	E	DIV	I	
Abbott Labs	E	DIV	I	
Abbvie, Inc.	E	DIV	I	
Altria Group, Inc.	E	DIV	I	
Anadarko Petroleum Corp	E	DIV	I	
Bank of America Corp.	E	DIV	I	
Campbell Soup Co.	F	DIV	I	
Chevron Corporation	E	DIV	I	

**ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT**

**RODNEY P. FREELINGHUYSEN**

**CONTINUATION SHEET - SCHEDULE A**

<u>HOLDINGS</u>	<u>YEAR-END VALUATION</u>	<u>INCOME TYPE</u>	<u>2014 INCOME</u>	<u>2014 TRANSACTION</u>
Coca Cola Co.	E	DIV	I	
Conoco Phillips	D	DIV	I	
Dominion Res, Inc. VA new	E	DIV	I	
Freeport McMoran Copper & Gold, Inc.	E	DIV	I	
Glaxo Smithkline PLC ADR	E	DIV	I	
Hospira, Inc.	C	NONE	I	
Eli Lilly & Co.	E	DIV	I	
Martin Marietta Matls, Inc.	F	DIV	I	
McDonald's Corporation	D	DIV	I	
The Mosaic Company	D	DIV	I	
Nucor Corp.	F	DIV	I	
Philip Morris Int'l Inc.	D	DIV	I	
Phillips 66	C	DIV	I	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELLINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

<u>HOLDINGS</u>	<u>YEAR-END</u>		<u>2014</u>	
	<u>VALUATION</u>	<u>INCOME TYPE</u>	<u>INCOME</u>	<u>TRANSACTION</u>
Yum Brands		D DIV	I	
Cenovus Energy, Inc.		C DIV	I	

\*Beneficiary Share Of Assets Received 12/29/14 From The 12/07/50 Trust Conversion.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

Identity : Peter H.B. Frelinghuysen Trust U/D dated  
1/17/57, Peter H.B. Frelinghuysen and George L. K.  
Frelinghuysen, Trustees (1/8th income interest)\*

\* All Assets are held in trust, and all  
transactions are initiated by the Trustee

The trust was not created directly by Rodney,  
his spouse or any dependents.

They are neither consulted nor give advice  
regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly,  
quarterly, yearly reports of any type regarding this trust, excepting  
the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

2014 Income Received

VII

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

Identity : B. P. Frelinghuysen Trust U/A  
4/3/73 Deutsche Bank, New York, NY  
Trustee (discretionary income interest) \*

<u>HOLDINGS</u>	<u>YEAR-END VALUATION</u>	<u>INCOME TYPE</u>	<u>2014 INCOME</u>	<u>2014 TRANSACTION</u>
Demand Deposit Account	A	INT	II	S

\* All Assets listed are held in trust, and all transactions are initiated by the Trustee.  
Neither Rodney, his spouse or any dependents is consulted nor gives advice regarding these  
investments. However, Rodney does receive quarterly statements regarding this trust.

\* Final Year - Trust Closed

**ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT**

**RODNEY P. FRELINGHUYSEN**

**CONTINUATION SHEET - SCHEDULE A**

**Identity : B. S. Procter Trust U/A  
5/31/60 F/B/O Rodney P. Frelinghuysen  
Peter Frelinghuysen & Rodney P. Frelinghuysen, Trustees**

<u>HOLDINGS</u>	<u>YEAR-END VALUATION</u>	<u>INCOME TYPE</u>	<u>2014 INCOME</u>	<u>2014 TRANSACTION</u>
Abbott Laboratories	F	DIV	V	
Bristol Myers Squibb Co.	F	DIV	VI	
Moody's Corp.	E	DIV	III	
Gannett Co., Inc.	D	DIV	III	
Pepsico, Inc.	G	DIV	VI	
Procter & Gamble Co.	J	DIV	IX	
Loews Corp.	G	DIV	IV	
Motorola Solutions, Inc.	D	DIV	III	
CSX Corporation	G	DIV	V	
A T & T, Inc. new	D	DIV	IV	
Alcatel-Lucent ADR	B	NONE	I	

**ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT**

**RODNEY P. FRELLINGHUYSEN**

**CONTINUATION SHEET - SCHEDULE A**

<u>HOLDINGS</u>	<u>YEAR-END VALUATION</u>	<u>INCOME TYPE</u>	<u>2014 INCOME</u>	<u>2014 TRANSACTION</u>
Daily Income Fund	I	INT	II	
American Express Co.	F	DIV	IV	
Sherwin Williams Co.	H	DIV	VI	
Dun & Bradstreet Corp., NEW	D	DIV	III	
Zimmer Holdings, Inc.	D	DIV	III	
Smucker, J.M. Co.	F	DIV	V	
Comcast Corp. New CL 'A'	D	DIV	III	
Hospira, Inc.	D	NONE	I	
Ameriprise Financial, Inc.	D	DIV	III	
Abbvie, Inc.	F	DIV	VI	



ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

Identity : V. T. Robinson Trust U/A dated  
4/10/80, Fiduciary Trust Company, Boston, Ma.  
Trustee (spouse discretionary income interest) \*

<u>HOLDINGS</u>	<u>YEAR-END</u>	<u>INCOME</u>	<u>2014</u>	<u>2014</u>
	<u>VALUATION</u>	<u>TYPE</u>	<u>INCOME</u>	<u>TRANSACTION</u>
Home Depot, Inc.	E	DIV	IV	
Coca Cola Co.	E	DIV/GAIN	VI	S(partial)
Pepsico, Inc.	F	DIV	V	
Altria Group, Inc.	E	DIV	V	
Procter & Gamble Co.	F	DIV	V	
General Electric Co.	E	DIV	V	

\* All Assets listed are held in trust, and all transactions are initiated by the Trustee

Rodney P. Frelinghuysen is neither consulted nor gives advice regarding these investments.

Spouse does receive quarterly statements regarding this trust.

**ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT**

**RODNEY P. FRELINGHUYSEN**

**CONTINUATION SHEET - SCHEDULE A**

<u>HOLDINGS</u>	<u>YEAR-END VALUATION</u>	<u>INCOME TYPE</u>	<u>2014 INCOME</u>	<u>2014 TRANSACTION</u>
Emerson Electric Co.	F	DIV	V	
Parker - Hannifin Corp.	F	DIV/GAIN	VI	S(partial)
International Business Machines	D	DIV/GAIN	VII	S(partial)
Microsoft Corp.	F	DIV	V	
Exxon Mobil Corp	F	DIV	V	
Union Pacific Corp.	F	DIV	V	
J P Morgan Chase & Co.	D	DIV	III	
Oakmark Int'l Fund CL 'T'	E	DIV	IV	P
Yum Brands, Inc.	E	DIV	IV	
Public Storage, Inc.	E	DIV	IV	
Johnson & Johnson	C	DIV	III	
Stericycle, Inc.	F	NONE	I	
Colgate-Palmolive Co.	E	DIV	IV	

**ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT**

**RODNEY P. FRELLINGHUYSEN**

**CONTINUATION SHEET - SCHEDULE A**

<u>HOLDINGS</u>	<u>YEAR-END VALUATION</u>	<u>INCOME TYPE</u>	<u>2014 INCOME</u>	<u>2014 TRANSACTION</u>
Diageo PLC ADR	D	DIV	III	
Apache Corp.	C	DIV	II	
VIMAC IT Fund III LP	C	NONE	I	
Ishares Barclays 1-3 Yr Credit Bond	F	INT	IV	
Templeton Global Bond Fund Advisor CL	H	DIV	VII	P/S(partial)
Philip Morris Int'l	F	DIV	VI	
National Oilwell VARCO, Inc.	D	DIV	III	
Allianz NFI Int'l Value Fund	F	DIV	VI	
Absolute Strategies Fund Inst'l	F	NONE	I	
VIMAC IT III Annex Fund	C	NONE	I	
Dreyfus Gov't Cash Mgmt Inst'l SHS	F	DIV	II	
State Street Corp.	A	DIV/GAIN	V	S

**ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT**

**RODNEY P. FRELINGHUYSEN**

**CONTINUATION SHEET - SCHEDULE A**

<u>HOLDINGS</u>	<u>YEAR-END VALUATION</u>	<u>INCOME TYPE</u>	<u>2014 INCOME</u>	<u>2014 TRANSACTION</u>
U.S. Bancorp(new)	D	DIV	III	
Ishares MSCI Emrg. Mkt Index Fund	A	DIV/GAIN	VII	S
Thomas White Int'l Fund	F	DIV	V	P
Wisdomtree Global EX US Real Estate	E	DIV	V	
Chubb Corp.	D	DIV	III	
Analog Devices	D	DIV	III	
Franklin Int'l Small Cap Growth ADV	G	DIV	V	P
Tortoise Energy Infrastructure Corp.	F	DIV	VI	
Aspen Managed Futures Strategy Fund I	E	NONE	I	P
Pimco Enhanced Short Term Maturity ETP	F	INT	IV	
Google, Inc. CL 'A'	D	NONE	I	
Vanguard REIT Index ETP	E	DIV	IV	
Merger CL I	D	DIV	IV	

**ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT**

**RODNEY P. FRELINGHUYSEN**

**CONTINUATION SHEET - SCHEDULE A**

<u>HOLDINGS</u>	<u>YEAR-END VALUATION</u>	<u>INCOME TYPE</u>	<u>2014 INCOME</u>	<u>2014 TRANSACTION</u>
Highland Long/Short Equity Fund (Formerly, Highland Long/Short Bounty Fund)	F	NONE	I	P
VIMAC NKS7 LP	C	NONE	I	
Dollar Tree, Inc.	D	NONE	I	
Dover Corp.	C	DIV	III	
Apple, Inc.	D	DIV	III	
Guggenheim S&P Global Water Index ETF	D	DIV	III	
Buffalo Int'l	F	DIV	III	
Matthews Pacific Tiger Fund Inst'l	F	DIV	III	P
Mainstay Marketfield Fund I	F	DIV	I	
NOW, INC.	C	NONE	I	E
Google, Inc. Class C	D	NONE	I	E
Acadian Emerging Mkts Port Int'l	E	DIV	IV	P

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

<u>HOLDINGS</u>	<u>YEAR-END</u>		<u>2014</u>	
	<u>VALUATION</u>	<u>INCOME TYPE</u>	<u>INCOME</u>	<u>TRANSACTION</u>
Driehaus Emerging Mkts Small Cap.	F	DIV	I	P
Knowles Corp.	A	GAIN	III	E/S

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

Identity : H. S. Robinson Trust U/A dated  
12/16/82, Fiduciary Trust Company, Boston, Ma.  
Trustee (spouse discretionary income beneficiary) \*

<u>HOLDINGS</u>	<u>YEAR-END</u> <u>VALUATION</u>	<u>INCOME</u> <u>TYPE</u>	<u>2014</u> <u>INCOME</u>	<u>2014</u> <u>TRANSACTION</u>
J P Morgan Chase & Co.	D	DIV	III	
Coca Cola Co.	D	DIV/GAIN	VI	S (partial)
Altria Group, Inc.	E	DIV/GAIN	VI	S (partial)
Abbott Labs	D	DIV	III	
Johnson & Johnson	C	DIV	III	
Emerson Electric	D	DIV/GAIN	V	S (partial)

\* All Assets listed are held in trust, and all transactions are initiated by the Trustee

Rodney P. Frelinghuysen is neither consulted nor gives advice regarding these investments.

Spouse does receive quarterly statements regarding this trust.

**ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT**

**RODNEY P. FRELLINGHUYSEN**

**CONTINUATION SHEET - SCHEDULE A**

<u>HOLDINGS</u>	<u>YEAR-END VALUATION</u>	<u>INCOME TYPE</u>	<u>2014 INCOME</u>	<u>2014 TRANSACTION</u>
General Electric Co.	E	DIV	IV	
Exxon Mobil Corp.	E	DIV	IV	
A T & T, Inc. new	D	DIV	IV	
Oakmark Int'l Fund CL 'T	D	DIV	V	P
Templeton Global Bond Fund	G	INT	VII	P/S(partial)
Procter & Gamble Co.	D	DIV/GAIN	IV	S(partial)
Novartis AG ADR	D	DIV	III	
Absolute Strategies Fund Int'l	F	NONE	I	
Ishares Barclays 1-3yr. Credit Bond	F	INT	IV	
Philip Morris Int'l	E	DIV/GAIN	VI	S(partial)
Apache Corp.	D	DIV	III	
Schlumberger LTD	D	DIV	III	
SPDR Gold Trust	E	NONE	I	



**ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT**

**RODNEY P. FRELINGHUYSEN**

**CONTINUATION SHEET - SCHEDULE A**

<u>HOLDINGS</u>	<u>YEAR-END VALUATION</u>	<u>INCOME TYPE</u>	<u>2014 INCOME</u>	<u>2014 TRANSACTION</u>
Allianz NEJ Int'l Value Fund	F	DIV	V	
Thomas White Int'l Fund CL I	F	DIV	IV	P
Dreyfus Govt Cash Mgmt Instl SHS	E	DIV	Under \$6.00	N/A
Vanguard Short-Term Tax Exempt Fund	E	DIV	III	
Express Scripts Holding Co.	E	NONE	I	
Apple, Inc.	F	DIV	IV	
Google, Inc. CL/A	D	NONE	I	
S & P North American Tech Software Index Fund	E	DIV	II	
Ishares MSCI Emrg Mkt Index Fund	A	DIV/GAIN	VII	S
Wisdomtree Global EX US REIT	E	DIV	V	
U.S. Bancorp	D	DIV	III	
Chevron Corp.	D	DIV	III	
Occidental Petroleum Corp.	C	DIV	III	

# ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

## CONTINUATION SHEET - SCHEDULE A

<u>HOLDINGS</u>	<u>YEAR-END VALUATION</u>	<u>INCOME TYPE</u>	<u>2014 INCOME</u>	<u>2014 TRANSACTION</u>
Chubb Corp.	D	DIV	III	
3M Company	D	DIV	III	
Analog Devices	D	DIV	III	
Exelon Corp.	C	DIV	III	
Frontier Netols Small Cap Value Inst'l (Formerly Frontegra Netols Small Cap Value Instl)	D	DIV	VI	P
Walthausen Small Cap Value Fund	D	DIV/GAIN	VI	P/S(partial)
Franklin Inf'l Small Cap Growth ADV	F	DIV	VII	P
Vanguard Emrg Mkts Index ETF	A	DIV	III	S
Tortoise Energy Infrastructure Corp.	F	DIV	VI	
Union Pacific Corp.	D	DIV	III	
Aspen Managed Futures Strategy Fund I	E	DIV	IV	P

**ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT**

**RODNEY P. FRELINGHUYSEN**

**CONTINUATION SHEET - SCHEDULE A**

<u>HOLDINGS</u>	<u>YEAR-END VALUATION</u>	<u>INCOME TYPE</u>	<u>2014 INCOME</u>	<u>2014 TRANSACTION</u>
Pimco Enhanced Short Term Maturity ETF	F	DIV	III	
Matthews Asian Growth & Income	C	DIV/GAIN	V	S(partial)
Matthews Pacific Tiger Fund Inst'l	E	DIV	V	P
Vanguard REIT Index ETF	E	DIV	V	
Highland Long/Short Equity Fund	E	DIV	V	P
Tortoise MLP Fund	E	DIV	V	
CVS/Caremark, Inc.	D	DIV	III	
Abbvie, Inc.	D	DIV	IV	
Roper Industries, Inc.	A	DIV/GAIN	under \$20.00	S
Diamond Hill Large Cap Fund CL (Formerly, Diamond Hill Large Cap Fund CL I)	D	DIV	IV	P
LATTEF Fund CL I	D	DIV	V	P
Mt. Lucas US Focused Equity Fund I	D	DIV	VI	P

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE A

<u>HOLDINGS</u>	<u>YEAR-END</u> <u>VALUATION</u>	<u>INCOME</u> <u>TYPE</u>	<u>2014</u> <u>INCOME</u>	<u>2014</u> <u>TRANSACTION</u>
Vulcan Value Partners Small Cap	D	DIV	IV	P
Buffalo Int'l	F	DIV	III	
Mainstay Marketfield Fund I	F	DIV	I	
California Resources Corp.	Under \$400	NONE	I	P
Google, Inc. Class C	D	NONE	I	E
Acadian Emerging MKTS Part Int'l	E	DIV	IV	P
Driehaus Emerging MKTS	E	DIV	I	P

Page 29 of 42

**Use additional sheets if more space is required.**

**ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT**

**RODNEY P. FRELINGHUYSEN**

**CONTINUATION SHEET - SCHEDULE B**

<u>DATE</u>	<u>ASSET</u>	<u>TYPE OF TRANSACTION</u>	<u>CAPITAL GAIN OVER \$200</u>	<u>AMOUNT OF TRANSACTION</u>
01/30/14	U.S. T-Notes .25% due 4/30/14	S		C
01/30/14	XPO Logistics, Inc.	P		D
01/30/14	Google, Inc. Class A	S(Partial)	X	B
04/03/14	SPDR MSCI ACWI EX-US (IRA)	S	X	A
04/03/14	PrimeCap Odyssey AGGR (IRA)	P		A
04/03/14	Google, Inc. Class C (Spin-off from Google, Inc. Class A)	E		B
06/27/14	Deere & Co.	S	X	D
07/08/14	Carmax, Inc.	P		D
07/28/14	Qualcomm, Inc.	S	X	D
08/13/14	Brookfield Asset Mgmt. CL A	S	X	D
09/09/14	Apple, Inc.	S(Partial)	X	B
10/02/14	Carmax, Inc.	P		D

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FREILINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
<u>ASSET</u>		
<u>DATE</u>		
10/02/14	S	X
10/02/14	P	D
11/18/14	P	D
11/18/14		
Vanguard Natural Resources		
Denbury Resources, Inc.		
CBS Corporation Class B new		
Cognizant Tech Sol Class A		

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

Identity : B. P. Frelinghuysen Trust U/A  
4/3/73 Deutsche Bank, New York, NY  
Trustee (discretionary income interest) \*

<u>DATE</u>	<u>ASSET</u>	TYPE OF <u>TRANSACTION</u>	CAPITAL GAIN <u>OVER \$200</u>	AMOUNT OF <u>TRANSACTION</u>
Various	Demand Deposit Account	S		D



ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELLINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

Identity : V. T. Robinson Trust U/A dated  
4/10/80, Fiduciary Trust Company, Boston, Ma.  
Trustee (spouse discretionary income interest)

<u>DATE</u>	<u>ASSET</u>	<u>TYPE OF TRANSACTION</u>	<u>CAPITAL GAIN OVER \$200</u>	<u>AMOUNT OF TRANSACTION</u>
03/06/14	Knowles Corp. (Spin-off from Dover Corp.)	E		A
04/03/14	Google, Inc. Class C (Spin-off from Google, Inc. Class A)	E		A
06/06/14	NOW, Inc. (Spin-off from National Oil Well VARCO, Inc.)	E		A
08/06/14	Acadian Emerging Mkts Port Inst'l	P		D
08/06/14	Driehaus Emerging Mkts Small Cap	P		D
08/11/14	Matthews Pacific Tiger Fund - Inst'l	P		C
08/05/14	Coca Cola Co.	S(partial)	X	A
08/05/14	International Business Machines	S(partial)	X	C
08/05/14	Ishares MSCI Emerg. Mkt Index Fund	S	X	D

**ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT**

**RODNEY P. FREILINGHUYSEN**

**CONTINUATION SHEET - SCHEDULE B**

<u>DATE</u>	<u>ASSET</u>	<u>TYPE OF TRANSACTION</u>	<u>CAPITAL GAIN OVER \$200</u>	<u>AMOUNT OF TRANSACTION</u>
08/05/14	Knowles Corp.	S	X	A
08/05/14	Parker-Hannifin Corp.	S(partial)	X	A
08/05/14	State Street Corp.	S	X	A
08/06/14	Templeton Global Bond Fund Advisor CL	S(partial)		B
10/28/14	Thomas White Int'l Fund	P		A
12/03/14	Highland Long/Short Equity Fund	P		A
12/12/14	Mathews Pacific Tiger Fund - Int'l	P		A
12/17/14	Templeton Global Bond Fund Advisor CL	P		A
12/17/14	Franklin Int'l Small Cap Growth ADV	P		B
12/19/14	Oakmark Int'l Fund CL I	P		A
12/22/14	Aspen Managed Futures Strategy Fund I	P		A

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE B

Identity : H. S. Robinson Trust U/A dated  
12/16/82, Fiduciary Trust Company, Boston, Ma.  
Trustee (Spouse discretionary income beneficiary)

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
04/03/14	Google, Inc. Class C (Spin-off from Google, Inc. Class A)	E		B
08/05/14	Coca Cola Co.	S(partial)	X	A
08/05/14	Emerson Electric Co.	S(partial)	X	A
08/05/14	Ishares MSCI Emerg. Mkt Index Fund	S	X	C
08/05/14	Philip Morris Int'l	S(partial)	X	A
08/05/14	Procter & Gamble Co.	S(partial)	X	A
08/05/14	Roper Industries, Inc.	S		Under \$200
08/05/14	Vanguard MSCI Emerg. Mkts Index ETPF	S		A
08/05/14	Altria Group, Inc.	S(partial)	X	A
08/06/14	Acadian Emerging Mkts Port Inst'l	P		D
08/06/14	Matthews Asian Growth & Income	S(partial)		C

# ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FREILINGHUYSEN

## CONTINUATION SHEET - SCHEDULE B

Identity : H. S. Robinson Trust U/A dated  
12/16/82, Fiduciary Trust Company, Boston, Ma.  
Trustee (Spouse discretionary income beneficiary)

<u>DATE</u>	<u>ASSET</u>	<u>TYPE OF TRANSACTION</u>	<u>CAPITAL GAIN OVER \$200</u>	<u>AMOUNT OF TRANSACTION</u>
08/06/14	Templeton Global Bond Fund Advisor CL	S(partial)		B
08/06/14	Walthausen Small Cap Value Fund	S(partial)	X	A
08/11/14	Driehaus Emerging Mkts Small Cap	P		D
10/28/14	Thomas White Int'l Fund CL I	P		A
12/03/14	Vulcan Value Partners Small Cap	P		A
12/03/14	Highland Long/Short Equity Fund	P		A
12/05/14	California Resources Corp. (Spin-off from Occidental Petroleum Corp.)	E		under \$500
12/10/14	Mt. Lucas U.S. Focused Equity Fund I	P		A
12/10/14	LATIEEF Fund CL I	P		A
12/12/14	Matthews Pacific Tiger Fund-Inst'l	P		A
12/17/14	Templeton Global Bond Fund Advisor CL	P		Under \$1,000

**ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT**

**RODNEY P. FRELINGHUYSEN**

**CONTINUATION SHEET - SCHEDULE B**

Identity : H. S. Robinson Trust U/A dated  
12/16/82, Fiduciary Trust Company, Boston, Ma.  
Trustee (Spouse discretionary income beneficiary)

<u>DATE</u>	<u>ASSET</u>	<u>TYPE OF TRANSACTION</u>	<u>CAPITAL GAIN OVER \$200</u>	<u>AMOUNT OF TRANSACTION</u>
12/17/14	Franklin Int'l Small Cap Growth ADV	P		B
12/18/14	Diamond Hill Large Cap Fund CL Y	P		A
12/19/14	Oakmark Int'l Fund CL I	P		A
12/22/14	Aspen Managed Futures Strategy Fund I	P		A
12/24/14	Walthausen Small Cap Value Fund	P		A
12/24/14	Frontier Netols Small Cap Value Inst'l	P		A

## Page 38 of 42

types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

[illegible]

# SCHEDULE D - LIABILITIES

Name: Rodney P. Frelinghuysen

Page 39 of 42

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
	<i>Example</i>	5/98	Mortgage on Rental Property, Dover, DE				X							
	NONE													

# SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations), and positions solely of an honorary nature.

Position	Name of Organization
NONE	

# **SCHEDULE F – AGREEMENTS**

Name: **Rodney P. Frelinghuysen**

Page **40** of **42**

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
	NONE	

# **SCHEDULE G – GIFTS**

Report the source (by name), a brief description, and the value of all gifts totaling more than \$375 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$150 or less need not be added towards the \$375 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Silver Pelletier (determination of personal friendship received from the Ethics Committee)	\$400
NONE		



## Page 41 of 42

**EXCLUDE:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]

**Use additional sheets if more space is required.**

**SCHEDULE I - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

**Name:** Rodney P. Frelinghuysen

Page 42 of 42

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

[illegible]