

# UNITED STATES HOUSE OF REPRESENTATIVES

## Periodic Transaction Report

**HAND** Page 1 of 4  
**DELIVERED**

NAME:

Kurt Schrader

OFFICE TELEPHONE:

202-225-5711

LEGISLATIVE RESOURCE CENTER

2014 JAN 10 PM 1:40

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

☒ Member of the U.S. House of Representatives

State: OR District: 05

File an original and 2 copies.

☐ Officer or Employee

Employing Office: \_\_\_\_\_

File an original and 1 copy.

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending.

☒ Initial Report ☐ Amendment

Did you purchase any shares that were allocated as a part of an Initial Public Offering? ☐ YES ☒ NO

Date of Report being Amended: \_\_\_\_\_

(For Official Use Only)

FULL ASSET NAME		TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION										
JT SP DC	Provide full name, not ticker symbol.	PURCHASE	SALE	EXCHANGE	(MM/DD/YY)	(MM/DD/YY)	A \$1,000- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	
JT	Example: Mega Corp. Common Stock		X		8/14/12	8/14/12		X									
JT	Dws Global Opportunities fund	X			12/19/13	12/31/13	X										
JT	Dws Global SML + Midcap fund	X			12/27/13	12/31/13	X										
JT	Dws Global SML + Midcap fund	X			12/27/13	12/31/13	X										
JT	Dws Global Opportunities fund		X		12/5/13	12/31/13	X										
JT	Dws Municipal Bond fund		X		12/17/13	12/31/13	X										

(This page will be publicly disclosed.)

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		PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J
	Provide full name, not ticker symbol.				(MM/DD/YY)	(MM/DD/YY)	\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000
JT	DW Large Cap Strategies Fund		X		12/17/13	12/31/13	X									
JT	DW Global Small-Midcap Fund		X		12/17/13	12/31/13	X									
JT	Pfizer			X	12/3/13	12/31/13	X									
JT	DW Real Return Fund			X	12/16/13	12/31/13	X									
JT	DW Global Opportunities Fund			X	12/20/13	12/31/13	X									
JT	DW Large Cap Strategies Fund			X	12/27/13	12/31/13	X									

FOOTNOTE  
NUMBER

FILER NOTES (optional)

(This page will be publicly disclosed.)

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Kurt Schrader

[illegible]**FILER NOTES (optional)**

(This page will be publicly disclosed.)

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<p>NAME: <u>Kurt Schrader</u></p>	<p>OFFICE TELEPHONE: <u>202-225-5711</u></p>	<p>Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending.</p> <p><input type="checkbox"/> Initial Report <input checked="" type="checkbox"/> Amended</p> <p>Date of Report being Amended: _____</p>
<p><input checked="" type="checkbox"/> Member of the U.S. House of Representatives</p> <p>State: <u>OR</u> District: <u>D5</u></p> <p>File an original and 2 copies.</p>	<p><input type="checkbox"/> Officer or Employee</p> <p>Employing Office: _____</p> <p>File an original and 1 copy.</p>	<p>Did you purchase any shares that were allocated as a part of an Initial Public Offering? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO</p>

[illegible]