

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

Page 1 of 6

DAVID PHILLIP ROE

(Full Name)

(423)929-7671

(Daytime Telephone)

Filer Status
☒ Member of the U.S. House of Representatives
State: TN
District: 01

☐ Officer Or Employee
Employing Office:

Report Type
☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$35 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$35 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

HAND DELIVERED

OFFICE OF THE CLERK

2011 MAY 16 PM 12:00

(Office Use Only)

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name DAVID PHILLIP ROE

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any debt-financed acquisition of an interest in a personal residence or vacation home.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
1.8% SOFHA REAL ESTATE PARTNERS	\$50,001 - \$100,000	RENT	NONE	
CONDO - FRISCO, CO	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
DAVID PHILLIP ROE TRUST	\$250,001 - \$500,000	DIVIDENDS	\$5,001 - \$15,000	SEE ATTACHED SCHEDULE 1
FIDELITY INVESTMENT RETIREMENT ACCOUNT	\$250,001 - \$500,000	DIVIDENDS	\$1,001 - \$2,500	SEE ATTACHED SCHEDULE 5
FIRST COMMUNITY BANK	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name DAVID PHILLIP ROE

SP	MERRILL LYNCH - IRA	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	SEE ATTACHED SCHEDULE 2
----	---------------------	-----------------------	-----------	-------------------	-------------------------

	MERRILL LYNCH - IRA	\$250,001 - \$500,000	DIVIDENDS	\$201 - \$1,000	SEE ATTACHED SCHEDULE 3
--	---------------------	-----------------------	-----------	-----------------	-------------------------

	MERRILL LYNCH - IRA MIDCAP GROWTH ACCOUNT	\$1,001 - \$15,000	DIVIDENDS	NONE	
	MERRILL LYNCH CMA ACCOUNT	\$15,001 - \$50,000	INTEREST	\$15,001 - \$50,000	
	MERRILL LYNCH RETIREMENT ACCOUNT	\$1,000,001 - \$5,000,000	DIVIDENDS	\$15,001 - \$50,000	SEE ATTACHED SCHEDULE 4

JT	RESIDENTIAL REAL ESTATE - JOHNSON CITY, TN	\$50,001 - \$100,000	RENT	\$2,501 - \$5,000	
----	---	----------------------	------	-------------------	--

SCHEDULE IV - TRANSACTIONS

Name DAVID PHILLIP ROE

Page 4 of 6

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	DAVID PHILLIP ROE TRUST	PS&E	N/A	2010	SEE ATTACHED SCHEDULE 1
SP	MERRILL LYNCH - IRA	PS&E	Yes	2010	SEE ATTACHED SCHEDULE 2
	MERRILL LYNCH - IRA	PS&E	Yes	2010	SEE ATTACHED SCHEDULE 3
	MERRILL LYNCH RETIREMENT ACCOUNT	PS&E	Yes	2010	SEE ATTACHED SCHEDULE 4

SCHEDULE V - LIABILITIES

Name DAVID PHILLIP ROE

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	GMAC MORTGAGE	OCT 1996	MORTGAGE ON CONDO IN FRISCO, CO	\$100,001 - \$250,000
	BANK OF TENNESSEE	JULY 2008	LINE OF CREDIT	\$100,001 - \$250,000
	SUNTRUST MORTGAGE, INC.	FEB 2008	MORTGAGE ON CONDO IN WASHINGTON DC	\$250,001 - \$500,000
	FIRST COMMUNITY BANK	OCT 2000	MORTGAGE ON REAL ESTATE IN JOHNSON CITY, TN	\$50,001 - \$100,000

SCHEDULE VIII - POSITIONS

Name DAVID PHILLIP ROE

Page 6 of 6

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PRESIDENT FOUNDATION BOARD	AUSTIN-PEAY STATE UNIVERSITY

DAVID PHILIP ROE

SCHEDULE 1



TOTAL MERRILL

Online at: www.mymerrill.com

24-Hour Assistance: (800) MERRILL

DR DAVID PHILIP ROE TTEE
U/A DTD 08/08/2007
DAVID PHILIP ROE CRUT
#2 N CROSSBOW LN
JOHNSON CITY TN 37604-3639

Your Financial Advisor:
SMITH/CONKIN/HAUSMAN
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
(423) 282-5191

Net Portfolio Value: **\$475,233.76**

Trust

This account is enrolled in the Personal Investment Advisory Program

December 01, 2010 - December 31, 2010

ASSETS

	December 31	November 30
Cash/Money Accounts	51,196.00	44,777.52
Fixed Income	72,659.93	73,280.65
Equities	97,217.92	101,736.06
Mutual Funds	258,943.62	239,815.39
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	480,017.47	459,609.62
Estimated Accrued Interest	984.14	924.03
TOTAL ASSETS	\$481,001.61	\$460,533.65

LIABILITIES

Debit Balance	(5,767.85)	-
Short Market Value	-	-
TOTAL LIABILITIES	(5,767.85)	-
NET PORTFOLIO VALUE	\$475,233.76	\$460,533.65

CASH FLOW

	This Statement	Year to Date
Opening Cash/Money Accounts	\$44,777.52	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	-
Subtotal	-	-
DEBITS		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	(5,967.22)	(30,450.60)
Visa Purchases (debits)	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Subtotal	(5,967.22)	(30,450.60)
Net Cash Flow	(\$5,967.22)	(\$30,450.60)
Dividends/Interest Income	3,202.77	12,060.20
Dividend Reinvestments	(1,334.59)	(2,330.67)
Security Purchases/Debits	(17,831.12)	(277,664.46)
Security Sales/Credits	22,580.79	278,191.46
Closing Cash/Money Accounts	\$45,428.15	
Securities You Transferred In/Out	179.40	499.15

Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products: **Are Not FDIC Insured** **Are Not Bank Guaranteed** **May Lose Value**

Trust

24-Hour Assistance: (800) MERRILL

YOUR TAA BANK DEPOSIT INTEREST SUMMARY

December 01, 2010 - December 31, 2010

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A. Bank of America RI, N.A.	31,331.19	46,866.10	.40	15.73	51,188.8
TOTAL ML Bank Deposit Program	31,350			15.73	51,196

ITEMS FOR ATTENTION

Security	Message	Date	Security	Message	Date
CISCO SYSTEMS INC	Maturing	02/22/11			

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

GOVERNMENT AND AGENCY SECURITIES ¹									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Current Yield%
A FEDERAL HOME LOAN BANK CALLABLE BONDS 04.000% MAR 30 2012 CUSIP: 3133XG43	03/11/09	10,000	10,188.47	104.3690	10,436.90	248.43	100.00	400	3.83
ORIGINAL UNIT/TOTAL COST: 104,5205/10,452.05									
U.S. TREASURY NOTE 3.250% MAY 31 2016 CUSIP: 912828KMG	06/11/09	2,000	1,962.81	105.4770	2,109.54	146.73	5.54	65	3.08
A U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 102,2655/2,045.31	02/12/10	2,000	2,039.48	105.4770	2,109.54	70.06	5.54	65	3.08
Subtotal		4,000	4,002.29		4,219.08	216.79	11.08	130	3.08



TOTAL MERRILL

Trust

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

GOVERNMENT AND AGENCY SECURITIES * (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Current Yield%
A FEDERAL HOME LN MTG CORP 03/23/10 10,000 10,138.61 102,9390 10,293.90 155.29 22.43 425 4.12									
CALLABLE NOTES 04.250% DEC 12 2018 CUSIP: 3128X77G3									
ORIGINAL UNIT/TOTAL COST: 101,5000/10,150.00									
TOTAL		24,000	24,329.37		24,949.88	620.51	133.51	965	3.83
CORPORATE BONDS									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Current Yield%
A QISCO SYSTEMS INC 10/10/07 10,000 10,004.49 100,6270 10,062.70 58.21 188.13 525 5.21									
GLB 05.250% FEB 22 2011 MOODY'S: A1 S&P: A+ CUSIP: 17275RAE8									
ORIGINAL UNIT/TOTAL COST: 101,1320/10,113.20									
GENERAL ELECTRIC COMPANY 10/11/07 15,000 14,916.33 106,8970 16,034.55 1,118.22 312.50 750 4.67									
GLB 05.000% FEB 01 2013 MOODY'S: A2 S&P: AA+ CUSIP: 369604AY9									
GOLDMAN SACHS GROUP LP 10/11/07 10,000 9,670.00 107,1380 10,713.80 1,043.80 125.00 500 4.66									
GLB 05.000% OCT 01 2014 MOODY'S: A1 S&P: A CUSIP: 38143UAW1									
A WALMART STORES 06/12/09 10,000 10,262.54 108,9900 10,899.00 636.46 225.00 450 4.12									
04.500% JUL 01 2015 MOODY'S: A2 S&P: AA CUSIP: 931142BY8									
ORIGINAL UNIT/TOTAL COST: 103,4230/10,342.30									
TOTAL		45,000	44,853.36		47,710.05	2,856.69	850.63	2,225	4.66

+

Trust

24-Hour Assistance: (800) MERRILL

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
ANADARKO PETE CORP	APC	12/16/10	63	66.6468	4,198.75	76.1600	4,798.08	599.33	23	.47
		12/16/10	3	65.5866	196.76	76.1600	228.48	31.72	2	.47
			66		4,395.51		5,026.56	631.05	25	.47
C.H. ROBINSON WORLDWIDE, INC. NEW	CHRW	05/05/10	71	60.9533	4,327.69	80.1900	5,693.49	1,365.80	83	1.44
CISCO SYSTEMS INC COM	CSCO	10/10/07	29	33.2903	965.42	20.2300	586.67	(378.75)		
		10/19/07	12	31.8800	382.56	20.2300	242.76	(139.80)		
		11/27/07	7	27.5100	192.57	20.2300	141.61	(50.96)		
		12/12/07	14	28.5500	399.70	20.2300	283.22	(116.48)		
		12/13/07	13	29.1500	378.95	20.2300	262.99	(115.96)		
		12/26/07	9	28.3500	256.15	20.2300	182.07	(73.08)		
		01/04/08	5	26.1500	130.75	20.2300	101.15	(29.60)		
		01/10/08	5	26.1500	130.75	20.2300	101.15	(29.60)		
		01/22/08	1	23.5100	23.51	20.2300	20.23	(3.28)		
		01/31/08	5	24.5900	122.95	20.2300	101.15	(21.80)		
		07/01/08	4	23.0000	92.00	20.2300	80.92	(11.08)		
		08/04/08	29	21.8996	635.09	20.2300	586.67	(48.42)		
		02/24/09	17	14.6600	249.22	20.2300	343.91	94.69		
		04/09/09	4	17.9900	71.96	20.2300	80.92	8.96		
		12/06/10	64	19.5200	1,249.28	20.2300	1,294.72	45.44		
Subtotal			218		5,279.86		4,410.14	(869.72)	127	2.67
COCA COLA COM	KO	03/02/09	72	40.4890	2,915.21	65.7700	4,735.44	1,820.23	11	2.67
		08/27/09	6	48.9800	293.88	65.7700	394.62	100.74	138	2.67
Subtotal			78		3,209.09		5,130.06	1,920.97	48	1.03
CORNING INC	GLW	07/06/10	236	16.6628	3,932.44	19.3200	4,559.52	627.08		



TOTAL MERRILL

Trust

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
FEDERATED INVESTRS B	FI	09/23/09	66	27.1019	1,788.73	26.1700	1,727.22	(61.51)	64	3.66
		09/25/09	13	25.5523	332.18	26.1700	340.21	8.03	13	3.66
		11/03/09	70	26.7867	1,875.07	26.1700	1,831.90	(43.17)	68	3.66
		11/05/09	9	26.4077	237.67	26.1700	235.53	(2.14)	9	3.66
		04/30/10	19	24.4494	464.54	26.1700	497.23	32.69	19	3.66
Subtotal			177		4,698.19		4,632.09	(66.10)	173	3.66
FORD MOTOR CO NEW	F	09/14/09	53	7.8522	416.17	16.7900	889.87	473.70		
		08/25/09	273	7.6000	2,074.80	16.7900	4,583.67	2,508.87		
		09/18/09	60	6.9900	419.40	16.7900	1,007.40	588.00		
Subtotal			386		2,910.37		6,480.94	3,570.57		
HESS CORP	HES	10/05/10	16	60.7943	972.71	76.5400	1,224.64	251.93	7	.52
		10/07/10	53	61.7500	3,272.75	76.5400	4,056.62	783.87	22	.52
Subtotal			69		4,245.46		5,281.26	1,035.80	29	.52
HONEYWELL INTL INC DEL	HON	10/13/08	74	33.0194	2,443.44	53.1600	3,933.84	1,490.40	90	2.27
		02/23/09	14	28.9200	404.88	53.1600	744.24	339.36	17	2.27
Subtotal			88		2,848.32		4,678.08	1,829.76	107	2.27
JACOBS ENGN GRP INC DELA	JEC	12/09/10	50	42.2000	2,110.00	45.8500	2,292.50	182.50		
		12/10/10	51	42.3374	2,159.21	45.8500	2,338.35	179.14		
Subtotal			101		4,269.21		4,630.85	361.64		
MARATHON OIL CORP	MRO	11/12/10	128	33.9043	4,339.76	37.0300	4,739.84	400.08	128	2.70
		MCD 06/03/08	57	57.6145	3,284.03	76.7600	4,375.32	1,091.29	140	3.17
MCDONALDS CORP COM	MCD	07/01/08	2	55.8000	111.60	76.7600	153.52	41.92	5	3.17
Subtotal			59		3,395.63		4,526.84	1,133.21	145	3.17
MCKESSON CORPORATION COM	MCK	08/05/10	67	62.3194	4,175.40	70.3800	4,715.46	540.06	49	1.02
		PRU 06/03/10	38	59.8200	2,273.16	58.7100	2,230.98	(42.18)	44	1.95
PRUDENTIAL FINANCIAL INC	PRU	06/08/10	22	54.9000	1,207.80	58.7100	1,291.62	83.82	26	1.95
		06/08/10	2	54.2550	108.51	58.7100	117.42	8.91	3	1.95
		11/03/10	20	53.2000	1,064.00	58.7100	1,174.20	110.20	23	1.95
Subtotal			82		4,653.47		4,814.22	160.75	96	1.95

Trust

24-Hour Assistance: (800) MERRILL

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

EQUITIES (continued)		Symbol		Quantity		Unit		Total		Estimated		Estimated		Unrealized		Estimated	
Description	Acquired			Quantity	Cost Basis	Cost Basis	Market Price	Market Value	Market Value	Gain/(Loss)	Annual Income	Current Yield%					
QUEST DIAGNOSTICS INC	DGX 11/03/10	85	50.6037	4,301.32	53.9700	4,587.45	286.13	456.92	43	1.27							
TEVA PHARMACTCL INDS ADR	TEVA 06/24/08	63	44.8773	2,827.27	52.1300	3,284.19	11.72	58.44	2	1.27							
	07/01/08	2	46.2700	92.54	52.1300	104.26	58.44	(5.15)	2	1.27							
	02/05/09	6	42.3900	254.34	52.1300	312.78	156.39	521.93	51	1.27							
	06/17/10	3	53.8466	161.54	52.1300	156.39	521.93	528.81	41	2.15							
Subtotal		74		3,335.69	78.7200	1,889.28	2,519.04	611.89	55	2.15							
UNITED TECHS CORP COM	UTX 08/14/09	24	56.6862	1,360.47	78.7200	1,889.28	2,519.04	611.89	55	2.15							
	08/28/09	32	59.5984	1,907.15	78.7200	2,519.04	1,140.70	805.77	41	.86							
Subtotal		56		3,267.62	23.1200	4,408.32	462.40	99.87	4	.86							
VALERO ENERGY CORP NEW	VLO 04/22/10	201	19.1111	3,841.35	23.1200	4,647.12	805.77	99.87	4	.86							
	06/23/10	20	18.1265	362.53	23.1200	462.40	905.64	(46.37)	55	2.24							
Subtotal		221		4,203.88	53.9300	2,426.85	755.02	37.52	17	2.24							
WAL-MART STORES INC	WMT 12/29/08	45	54.9604	2,473.22	53.9300	2,426.85	970.74	53.93	22	2.24							
	01/08/09	14	51.2500	717.50	53.9300	755.02	53.9300	.07	2	2.24							
	08/25/09	18	51.9688	935.44	53.9300	970.74	26.52	103	1.79								
	04/30/10	1	53.8600	53.86	53.9300	4,206.54	1,530.11	103	1.79								
Subtotal		78		4,180.02	38.9600	5,727.12	1,530.11	103	1.79								
WALGREEN CO	WAG 08/04/10	147	28.5510	4,197.01	38.9600	5,727.12	1,530.11	103	1.79								
TOTAL				80,165.94		97,217.92	17,051.98	1,446	1.49								

MUTUAL FUNDS/CLOSED END FUNDS/UIT									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%	
AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL P2 SYMBOL: AHIFX Initial Purchase: 05/12/09 Fixed Income 100% .3210 Fractional Share	353	3,276.42	11.2700	3,978.31	701.89	3,192	785	294	7.37
ASTON/OPTIMUM MID CAP	176	5,340.75	32.3500	5,693.60	352.85	5,308	385	1	7.37



TOTAL MERRILL

Trust

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Description		Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
FD CL I		Quantity		Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment Return (\$)	Annual Current Income Yield%
SYMBOL: ABMIX		Initial Purchase: 11/16/10								
Equity 100%										
2330 Fractional Share				7.57	32.3500	7.54	(0.03)			
BLACKROCK INFLATION										
PROTECTED BD FD INST CL										
SYMBOL: BPRIX		Initial Purchase: 08/20/09								
Fixed Income 100%										
.3590 Fractional Share				3.90	10.8900	3.91	.01			
FIRST EAGLE										
OVERSEAS CL I										
SYMBOL: SGOKX		Initial Purchase: 09/25/08								
Equity 100%										
.4430 Fractional Share				9.89	22.9800	10.18	.29			
GUGGENHEIM BULLETSHARES										
2016 CORPORATE BOND ETF										
SYMBOL: BSCG		Initial Purchase: 09/16/10								
Fixed Income 100%										
192				4,042.27	20.6700	3,988.64	(73.63)	4,042	(73)	118 2.96
ISHARES S&P 500 VALUE										
INDEX FUND										
SYMBOL: IVE		Initial Purchase: 09/08/09								
Equity 100%										
193				10,445.61	59.5900	11,500.87	1,055.26	10,445	1,055	240 2.08
ISHARES TRUST S&P MIDCAP										
400 INDEX FUND										
SYMBOL: ITH		Initial Purchase: 11/16/10								
63				5,370.82	90.6900	5,713.47	342.65	5,370	342	61 1.05

+

Trust

24-Hour Assistance: (800) MERRILL

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)										Cumulative	Estimated
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Investment Return (\$)	Annual Current Income Yield%			
Equity 100%											
ISHARES S&P GBL HEALTH CARE SECTOR INDEX FUND SYMBOL: IXJ Equity 100%	115	4,979.86	51.7600	5,962.40	972.54	4,979	972	131	2.20		
Initial Purchase: 01/22/09											
Equity 100%											
ISHARES S&P GBL TELECOM- MUNICATIONS SECTOR INDEX SYMBOL: IXP Equity 100%	107	6,169.43	58.2700	6,234.69	65.46	6,169	65	264	4.23		
Initial Purchase: 10/19/07											
Equity 100%											
ISHARES MSCI EMERGING MKTS INDEX FD SYMBOL: EEM Equity 100%	298	11,572.77	47.6420	14,197.32	2,624.55	11,572	2,624	193	1.35		
Initial Purchase: 02/11/10											
Equity 100%											
ISHARES BARCLAYS AGGRGT AGGREGATE BD FUND SYMBOL: AGG Fixed Income 100%	67	6,792.87	105.7500	7,065.25	292.38	6,792	292	248	3.48		
Initial Purchase: 01/23/09											
Fixed Income 100%											
ISHARES TR DOW JONES US SYMBOL: JHI Equity 100%	174	10,348.41	58.9100	10,250.34	(98.07)	10,348	(98)	15	.13		
Initial Purchase: 10/10/07											
Equity 100%											
ISHARES BARCLAYS INTERME SYMBOL: CIU Fixed Income 100%	39	4,060.75	105.1800	4,102.02	41.27	4,060	41	171	4.16		
Initial Purchase: 05/12/10											
Fixed Income 100%											
ISHARES IBOXX\$ HIGH YEL	46	3,909.71	90.2900	4,153.34	243.63	3,909	243	343	8.25		

+



TOTAL MERRILL

Trust

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment Return (\$)	Annual Current Income Yield%
CORPORATE BOND FUND								
SYMBOL: HYG Initial Purchase: 06/29/10								
Fixed Income 100%								
ISHARES TR DOW JONES US	518	24,479.69	64.3800	33,348.84	8,869.15	24,479	8,869	143 .42
TECHNOLOGY SECTOR INDEX F								
SYMBOL: IYW Initial Purchase: 03/12/08								
Equity 100%								
KAYNE ANDERSON MLP	229	5,904.26	31.4700	7,206.83	1,302.37	5,904	1,302	446 6.16
SYMBOL: KYN Initial Purchase: 08/18/10								
Equity 100%								
LORD ABBETT FLOATING	275	2,549.01	9.3200	2,563.00	13.99	2,512	50	137 5.32
RATE FUND CL F								
SYMBOL: LTRFX Initial Purchase: 03/29/10								
Fixed Income 100%								
.0910 Fractional Share		0.85	9.3200	.85				1 5.32
OPPENHEIMER	739	4,862.88	6.5600	4,847.84	(15.04)	4,576	271	214 4.40
INTERNATIONAL BOND FUND	1	N/A	6.5600	6.56	N/A			1 4.40
SYMBOL: OIBYX Initial Purchase: 11/23/07								
Fixed Income 100%								
.1600 Fractional Share		1.04	6.5600	1.05	.01			1 4.40
PENNSYLVANIA MUTUAL FD	777	6,456.15	11.6500	9,052.05	2,595.90	6,428	2,623	42 .45
SYMBOL: PENNX Initial Purchase: 07/22/09								
Equity 100%								
.2670 Fractional Share		3.05	11.6500	3.11	.06			1 .45

+

Trust

24-Hour Assistance: (800) MERRILL

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
PIMCO TOTAL RETURN FUND CL P SYMBOL: PTPPX Initial Purchase: 05/21/10 Fixed Income 100% .5810 Fractional Share	300	3,336.03	10.8500	3,255.00	(81.03)	3,138	116	103 3.14
POWERSHARES BUILD AMERIC BOND ETF SYMBOL: BAB Initial Purchase: 02/12/10 Fixed Income 100%	243	6,052.85	25.0800	6,094.44	41.59	6,052	41	359 5.88
SECTOR SPDR ENERGY SYMBOL: XLE Initial Purchase: 11/23/10 Equity 100%	222	13,848.03	68.2500	15,151.50	1,303.47	13,848	1,303	222 1.45
SECTOR SPDR FINANCIAL SYMBOL: XLF Initial Purchase: 05/10/10 Equity 100%	572	8,205.01	15.9500	9,123.40	918.39	8,205	918	90 .97
SECTOR SPDR UTILITIES SYMBOL: XLU Initial Purchase: 09/25/08 Equity 100%	365	10,925.88	31.3400	11,439.10	513.22	10,925	513	464 4.05
SPDR BARCLAYS CAPTL CONV SYMBOL: CWB Initial Purchase: 09/11/09 Fixed Income 24% Equity 76%	132	4,874.16	41.0500	5,418.60	544.44	4,874	544	233 4.29
SPDR DB INTL GOV INFL SYMBOL: WIP Initial Purchase: 04/23/08 Fixed Income 100%	90	5,471.32	58.1100	5,229.90	(241.42)	5,471	(241)	124 2.35

+



TOTAL MERRILL

Trust

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total		Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
		Cost Basis	Market Price						
STANDARD&POORS DEP RCPT SYMBOL: SPY Initial Purchase: 11/23/10 Equity 100%	47	5,585.01	125.7500		5,910.25	325.24	5,585	325	107 1.80
SUNAMERICA SENIOR FLOATING RATE FUND CL A SYMBOL: SASFX Initial Purchase: 05/24/10 Fixed Income 100%	295	2,380.61	8.2700		2,439.65	59.04	2,372	67	102 4.14
TOW TOTAL RETURN BOND FD CL I SYMBOL: TGLMX Initial Purchase: 06/29/10 Fixed Income 100%	399	4,081.42	9.9200		3,958.08	(123.34)	3,941	16	304 7.66
TEMPLETON GLBL BOND FD ADV CL SYMBOL: TGBAX Initial Purchase: 06/30/09 Fixed Income 100%	348	4,225.67	13.5600		4,718.88	493.21	4,136	582	214 4.52
VANGUARD FTSE ALL WORLD EX US SYMBOL: VEU Initial Purchase: 12/04/09 Equity 100%	447	19,939.44	47.7300		21,335.31	1,395.87	19,939	1,395	466 2.13
Subtotal (Fixed Income)					65,649.38				
Subtotal (Equities)					191,852.36				

Trust

24-Hour Assistance: (800) MERRILL

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	(continued)		Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
		Total Cost Basis	Market Price						
TOTAL		231,018.71			257,501.74	26,476.47		29,071	6.246 2.43
TOTAL PRINCIPAL INVESTMENTS		380,367.38			427,379.59	47,005.65			10.872 2.54

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Notes

¹ Debt Instruments purchased at a premium show amortization

² Debt Instruments purchased at a discount show accretion

³ Some agency securities are not backed by the full faith and credit of the United States government.

Total values exclude N/A items

For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

YOUR TAA STATEMENT OF INCOME INVESTMENTS

CASH/MONEY ACCOUNTS		Description		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH				31.11	31.11		31.11		
ML BANK DEPOSIT PROGRAM				51,196.00	51,196.00	1.0000	51,196.00	205	.40
TOTAL					51,227.11		51,227.11	205	.40

729-11096 Trust	Settled	12/29/2010	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$11.24	AHIFX	1		\$0.00
729-11096 Trust	Settled	12/30/2010	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$11.26	AHIFX	1		\$0.00
729-11096 Trust	Settled	12/30/2010	Journal Entry ASTON/OPTIMUM MID CAP FD CL I FULL SHARE ACCUM SHARE VALUE \$32.42	ABMIX	1		\$0.00
729-11096 Trust	Settled	12/30/2010	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.90	TGLMX	1		\$0.00
729-11096 Trust	Settled	12/30/2010	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.90	TGLMX	1		\$0.00
729-11096 Trust	Settled	12/31/2010	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.56	OIBYX	1		\$0.00
			Purchase AUTONATION INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AN	85	\$20.65	-\$1,754.94
729-11096 Trust	Settled	1/12/2010	Purchase GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	42	\$38.23	-\$1,605.70
729-11096 Trust	Settled	1/19/2010	Purchase ITT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITT	42	\$50.71	-\$2,129.98
729-11096 Trust	Settled	1/20/2010	Purchase ISHARES S&P 500 VALUE INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IVE	98	\$54.98	-\$5,388.46

729-11096 Trust	Settled	1/20/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	124	\$45.42	-\$5,631.83
729-11096 Trust	Settled	1/20/2010	Purchase VANGUARD MID-CAP ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VO	20	\$62.19	-\$1,243.71
729-11096 Trust	Settled	1/26/2010	Purchase CALL IPI 00038.000 INTREPID POTASH INC EXP 02-20-10 CLOSE TRAN IPI FEB 38.00000	IPI1020B3	1	\$0.05	-\$5.00
729-11096 Trust	Settled	2/8/2010	Purchase ITT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITT	40	\$49.74	-\$1,989.75
729-11096 Trust	Settled	2/9/2010	Purchase CALL XME 00056.000 SPDR S P METALS MINING EXP 02-20-10 CLOSE TRAN XME FEB 56.00000	XME1020E	2	\$0.04	-\$8.00
729-11096 Trust	Settled	2/9/2010	Purchase SPDR GOLD TRUST	GLD	27	\$104.12	-\$2,811.24
729-11096 Trust	Settled	2/16/2010	Purchase U.S. TREASURY NOTE 3.250% MAY 31 2016 PER THE PIA AGREEMENT YLD TO MATURITY 2.85% MATURITY DATE 5/31/16. 78 DAYS INTEREST	912828KV	2,000	\$102.27	-\$2,059.24
729-11096 Trust	Settled	2/16/2010	Purchase U.S. TREASURY NOTE 3.625% AUG 15 2019 PER THE PIA AGREEMENT YLD TO MATURITY 3.64% MATURITY DATE 8/15/19. 1 DAYS INTEREST	912828LJ7	2,000	\$99.80	-\$1,996.29
729-11096 Trust	Settled	2/17/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	88	\$38.14	-\$3,356.01
729-11096 Trust	Settled	2/18/2010	Purchase POWERSHARES BUILD AMERIC BOND ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	BAB	98	\$24.59	-\$2,410.14
729-11096 Trust	Settled	2/22/2010	Purchase CALL F 00011.000 FORD MOTOR CO NEW EXP 02-20-10 CLOSE TRAN F FEB 11.00000	F1020B11	5	\$0.30	-\$150.00
729-11096 Trust	Settled	3/2/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD	EEM	92	\$38.00	-\$3,496.00

729-11096 Trust	Settled	3/8/2010	Purchase GENL DYNAMICS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GD	56	\$74.04	-\$4,146.34
729-11096 Trust	Settled	3/9/2010	Purchase TORCHMARK CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TMK	87	\$48.61	-\$4,228.84
729-11096 Trust	Settled	3/22/2010	Purchase BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .202 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR U SEPARATE COVER	BPRIX	160	\$10.88	-\$1,743.00
729-11096 Trust	Settled	3/24/2010	Purchase FEDERAL HOME LN MTG CORP CALLABLE NOTES 04.250% DEC 12 2018 PER THE PIA AGREEMENT CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 3.34% CALL DATE 12/12/11 CALL PRICE \$100.00. 102 DAYS INTEREST	3128X77G	10,000	\$101.50	-\$10,270.42
729-11096 Trust	Settled	3/30/2010	Purchase LORD ABBETT FLOATING RATE FUND CL F FRAC SHR QUANTITY .737 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR U SEPARATE COVER	LFRFX	271	\$9.27	-\$2,519.00
729-11096 Trust	Settled	4/1/2010	Purchase POWERSHARES BUILD AMERIC BOND ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	BAB	104	\$24.86	-\$2,585.33
729-11096 Trust	Settled	4/9/2010	Purchase LABORATORY CP AMER HLDGS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LH	55	\$77.19	-\$4,245.62
729-11096 Trust	Settled	4/9/2010	Purchase STATE STREET CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	STT	91	\$46.52	-\$4,233.08

729-11096 Trust	Settled	4/12/2010	Purchase ISHARES BARCLAYS MBS BON FUND ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	MBB	2	\$106.35	-\$212.70
729-11096 Trust	Settled	4/12/2010	Purchase SPDR DB INTL GOV INFL	WIP	10	\$55.53	-\$555.30
729-11096 Trust	Settled	4/14/2010	Purchase SPDR S P OIL GAS EXPLOR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XOP	39	\$44.40	-\$1,731.57
729-11096 Trust	Settled	4/16/2010	Purchase BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .434 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR U SEPARATE COVER	BPRIX	156	\$10.88	-\$1,702.00
729-11096 Trust	Settled	4/27/2010	Purchase VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	219	\$19.11	-\$4,185.35
729-11096 Trust	Settled	5/4/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	133	\$44.11	-\$5,866.19
729-11096 Trust	Settled	5/5/2010	Purchase AUTONATION INC PER THE PIA AGREEMENT ML ACTED AS AGENT	AN	13	\$20.17	-\$262.20
729-11096 Trust	Settled	5/5/2010	Purchase EXXON MOBIL CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	XOM	3	\$68.53	-\$205.58
729-11096 Trust	Settled	5/5/2010	Purchase FEDERATED INVESTRS B PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FII	19	\$24.45	-\$464.54
729-11096 Trust	Settled	5/5/2010	Purchase GENL DYNAMICS CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	GD	1	\$76.74	-\$76.74
729-11096 Trust	Settled	5/5/2010	Purchase GENUINE PARTS CO PER THE PIA AGREEMENT ML ACTED AS AGENT	GPC	2	\$43.12	-\$86.23

729-11096 Trust	Settled	5/5/2010	Purchase ISHARES S&P 500 VALUE INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IVE	41	\$57.75	-\$2,367.66
729-11096 Trust	Settled	5/5/2010	Purchase ISHARES TR DOW JONES US EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IHI	22	\$60.08	-\$1,321.79
729-11096 Trust	Settled	5/5/2010	Purchase SECTOR SPDR UTILITIES	XLU	4	\$30.51	-\$122.04
729-11096 Trust	Settled	5/5/2010	Purchase SPDR GOLD TRUST ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	GLD	3	\$115.51	-\$346.53
729-11096 Trust	Settled	5/5/2010	Purchase SPDR S P METALS MINING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XME	125	\$55.95	-\$6,993.21
729-11096 Trust	Settled	5/5/2010	Purchase STATE STREET CORP PER THE PIA AGREEMENT ML ACTED AS AGENT	STT	8	\$43.80	-\$350.39
729-11096 Trust	Settled	5/5/2010	Purchase VANGUARD FTSE ALL WORLD EX US	VEU	5	\$43.73	-\$218.65
729-11096 Trust	Settled	5/5/2010	Purchase WAL-MART STORES INC PER THE PIA AGREEMENT ML ACTED AS AGENT	WMT	1	\$53.86	-\$53.86
729-11096 Trust	Settled	5/5/2010	Purchase WISDOMTREE EUR S/C DIV EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	DFE	36	\$37.86	-\$1,362.96
729-11096 Trust	Settled	5/7/2010	Purchase CALL EEM 00046.000 ISHARES MSCI EMERGING EXP 06-19-10 CLOSE TRAN EEM JUN 46.0000	EEM1019F	1	\$0.07	-\$7.00
729-11096 Trust	Settled	5/10/2010	Purchase C.H. ROBINSON WORLDWIDE, INC. NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CHRW	71	\$60.95	-\$4,327.69

729-11096 Trust	Settled	5/10/2010	Purchase JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	94	\$45.70	-\$4,295.46
729-11096 Trust	Settled	5/12/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	46	\$112.62	-\$5,180.52
729-11096 Trust	Settled	5/13/2010	Purchase SECTOR SPDR FINANCIAL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLF	170	\$15.89	-\$2,700.59
729-11096 Trust	Settled	5/17/2010	Purchase ISHARES BARCLAYS INTERME ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	CIU	39	\$104.12	-\$4,060.75
729-11096 Trust	Settled	5/19/2010	Purchase CALL F 00014.000 FORD MOTOR CO NEW EXP 06-19- 10 CLOSE TRAN F JUN 14.00000	F1019F14	5	\$0.10	-\$50.00
729-11096 Trust	Settled	5/20/2010	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPO	43	\$47.43	-\$2,039.49
729-11096 Trust	Settled	5/24/2010	Purchase PIMCO TOTAL RETURN FUND CL P FRAC SHR QUANTITY .659 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	PTTPX	282	\$11.13	-\$3,145.99
729-11096 Trust	Settled	5/27/2010	Purchase SUNAMERICA SENIOR FLOATING RATE FUND CL A FRAC SHR QUANTITY .548 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER SALES CHG PD \$0.00 SALES CHG % 0.000	SASFX	294	\$8.07	-\$2,377.00
729-11096 Trust	Settled	5/28/2010	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPO	44	\$44.41	-\$1,953.89

729-11096 Trust	Settled	5/28/2010	Purchase KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	65	\$59.99	-\$3,899.06
729-11096 Trust	Settled	5/28/2010	Purchase SECTOR SPDR FINANCIAL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLF	188	\$13.93	-\$2,619.70
729-11096 Trust	Settled	6/1/2010	Purchase ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	57	\$34.73	-\$1,979.84
729-11096 Trust	Settled	6/2/2010	Purchase POWERSHARES BUILD AMERIC BOND ETF EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	BAB	41	\$25.79	-\$1,057.38
729-11096 Trust	Settled	6/8/2010	Purchase ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	2	\$35.94	-\$71.88
729-11096 Trust	Settled	6/8/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	38	\$59.82	-\$2,273.16
729-11096 Trust	Settled	6/9/2010	Purchase ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDEX EXECUTED ON MULTIPLE MAR PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IYV	59	\$54.73	-\$3,228.79
729-11096 Trust	Settled	6/11/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	22	\$54.90	-\$1,207.80

729-11096 Trust	Settled	6/11/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	2	\$54.25	-\$108.51
729-11096 Trust	Settled	6/15/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD	EEM	35	\$38.28	-\$1,339.78
729-11096 Trust	Settled	6/16/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	18	\$38.48	-\$692.62
729-11096 Trust	Settled	6/22/2010	Purchase TEVA PHARMACTCL INDS ADR PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TEVA	3	\$53.85	-\$161.54
729-11096 Trust	Settled	6/24/2010	Purchase CALL F 00013.000 FORD MOTOR CO NEW EXP 07-17 10 CLOSE TRAN F JUL 13.00000	F1017G13	3	\$0.02	-\$6.00
729-11096 Trust	Settled	6/28/2010	Purchase VALERO ENERGY CORP NEW PER THE PIA AGREEMENT ML ACTED AS AGENT	VLO	20	\$18.13	-\$362.53
729-11096 Trust	Settled	6/30/2010	Purchase AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FRAC SHR QUANTITY .992 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	AHIFX	26	\$10.67	-\$288.00
729-11096 Trust	Settled	6/30/2010	Purchase TCW TOTAL RETURN BOND FD CL I FRAC SHR QUANTITY .078 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	TGLMX	196	\$10.20	-\$2,000.00
729-11096 Trust	Settled	7/2/2010	Purchase ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	57	\$35.24	-\$2,008.43
729-11096 Trust	Settled	7/2/2010	Purchase ISHARES IBOX\$ HIGH YIEL CORPORATE BOND FUND ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	HYG	46	\$84.99	-\$3,909.71

729-11096 Trust	Settled	7/2/2010	Purchase ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDEX PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IYW	161	\$52.59	-\$8,466.75
729-11096 Trust	Settled	7/6/2010	Purchase STANDARD&POORS DEPCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	25	\$103.40	-\$2,585.00
729-11096 Trust	Settled	7/8/2010	Purchase SECTOR SPDR FINANCIAL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLF	214	\$13.48	-\$2,884.72
729-11096 Trust	Settled	7/9/2010	Purchase CORNING INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GLW	236	\$16.66	-\$3,932.44
729-11096 Trust	Settled	7/21/2010	Purchase LOWE'S COMPANIES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LOW	200	\$20.11	-\$4,021.86
729-11096 Trust	Settled	7/28/2010	Purchase CALL VLO 00023.000 VALERO ENERGY CORP NEW EXP 09-18-10 CLOSE TRAN VLO SEP 23.00000	VLO101812	2	\$0.06	-\$11.66
729-11096 Trust	Settled	8/9/2010	Purchase WALGREEN CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WAG	147	\$28.55	-\$4,197.01
729-11096 Trust	Settled	8/10/2010	Purchase COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVH	200	\$21.40	-\$4,279.82

729-11096 Trust	Settled	8/10/2010	Purchase MCKESSON CORPORATION COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MCK	67	\$62.32	-\$4,175.40
729-11096 Trust	Settled	8/12/2010	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	13	\$43.17	-\$561.20
729-11096 Trust	Settled	8/12/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	32	\$42.37	-\$1,355.94
729-11096 Trust	Settled	8/12/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	31	\$43.92	-\$1,361.41
729-11096 Trust	Settled	8/16/2010	Purchase BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .629 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	BPRIX	78	\$11.23	-\$883.00
729-11096 Trust	Settled	8/16/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD	EEM	33	\$40.38	-\$1,332.42
729-11096 Trust	Settled	8/16/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	32	\$41.81	-\$1,337.82
729-11096 Trust	Settled	8/19/2010	Purchase TCW TOTAL RETURN BOND FD CL I FRAC SHR QUANTITY .397 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	TGLMX	189	\$10.28	-\$1,947.00
729-11096 Trust	Settled	8/23/2010	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	104	\$25.60	-\$2,662.36

729-11096 Trust	Settled	8/24/2010	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	68	\$25.71	-\$1,748.47
729-11096 Trust	Settled	8/27/2010	Purchase STANDARD&POORS DEPRCPT	SPY	25	\$105.88	-\$2,647.00
729-11096 Trust	Settled	8/27/2010	Purchase STANDARD&POORS DEPRCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	25	\$105.91	-\$2,647.74
729-11096 Trust	Settled	9/10/2010	Purchase CALL F 00013.000 FORD MOTOR CO NEW EXP 09-18 10 CLOSE TRAN F SEP 13.00000	F1018113	3	\$0.03	-\$9.00
729-11096 Trust	Settled	9/21/2010	Purchase CLAYMORE BULLETSHARES 2016 CORPORATE BOND ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	BSCG	192	\$21.05	-\$4,042.27
729-11096 Trust	Settled	9/22/2010	Purchase OPENHEIMER INTERNATIONAL BOND FD Y FRAC SHR QUANTITY .655 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	OIBYX	82	\$6.63	-\$548.00
729-11096 Trust	Settled	9/23/2010	Purchase STATE STREET CORP PER THE PIA AGREEMENT ML ACTED AS AGENT	STT	1	\$38.44	-\$38.44
729-11096 Trust	Settled	9/29/2010	Purchase TEMPLETON GLBL BOND FD ADV CL FRAC SHR QUANTITY .678 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	TGBAX	15	\$13.65	-\$214.00
729-11096 Trust	Settled	10/8/2010	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	16	\$60.79	-\$972.71
729-11096 Trust	Settled	10/13/2010	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	53	\$61.75	-\$3,272.75

729-11096 Trust	Settled	10/13/2010	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	57	\$26.20	-\$1,493.43
729-11096 Trust	Settled	10/18/2010	Purchase CALL XOP 00044.000 SPDR S P OIL GAS EXPLOR EXP 10-16-10 CLOSE TRAN XOP OCT 44.00000	XOP1016J	1	\$0.65	-\$64.98
729-11096 Trust	Settled	11/8/2010	Purchase NEXTERA ENERGY INC SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NEE	79	\$54.70	-\$4,320.94
729-11096 Trust	Settled	11/8/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT ML ACTED AS AGENT	PRU	20	\$53.20	-\$1,064.00
729-11096 Trust	Settled	11/8/2010	Purchase QUEST DIAGNOSTICS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DGX	85	\$50.60	-\$4,301.32
729-11096 Trust	Settled	11/17/2010	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .080 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	ABMIX	118	\$29.48	-\$3,481.00
729-11096 Trust	Settled	11/17/2010	Purchase MARATHON OIL CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MRO	128	\$33.90	-\$4,339.76
729-11096 Trust	Settled	11/19/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	42	\$83.02	-\$3,486.71
729-11096 Trust	Settled	11/29/2010	Purchase SECTOR SPDR ENERGY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLE	222	\$62.38	-\$13,848.03

729-11096 Trust	Settled	11/29/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	47	\$118.83	-\$5,585.01
729-11096 Trust	Settled	12/7/2010	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .796 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ABMIX	33	\$31.72	-\$1,072.01
729-11096 Trust	Settled	12/8/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL	IJH	12	\$88.84	-\$1,066.02
729-11096 Trust	Settled	12/9/2010	Purchase CISCO SYSTEMS INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CSCO	64	\$19.52	-\$1,249.28
729-11096 Trust	Settled	12/14/2010	Purchase JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	50	\$42.20	-\$2,110.00
729-11096 Trust	Settled	12/15/2010	Purchase JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	51	\$42.34	-\$2,159.21
729-11096 Trust	Settled	12/21/2010	Purchase ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APC	63	\$66.65	-\$4,198.75
729-11096 Trust	Settled	12/21/2010	Purchase ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APC	3	\$65.59	-\$196.76

729-11096 Trust	Settled	12/22/2010	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .447 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ABMIX	23	\$32.84	-\$770.00
729-11096 Trust	Settled	12/22/2010	Purchase ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IYV	65	\$64.48	-\$4,191.00
729-11096 Trust	Settled	12/27/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	9	\$90.90	-\$818.09
729-11096 Trust	Settled	3/16/2010	Redeemed FEDERAL HOME LN MTG CORP CALLABLE NOTES 02.000% MAR 16 2011 CALLABLE NOTES 02.000% MAR 16 2011 PAY DATE 03/16/2010	3128X8QH	-10,000		\$10,000.00
729-11096 Trust	Settled	4/15/2010	Redeemed U.S. TRSY INFLATION NOTE 0.875% APR 15 2010. INFL ADJ PRIN FCR 1.1439 0.875% APR 15 2010 PAY DATE 04/15/2010	912828CZ	-3,000		\$3,431.79
729-11096 Trust	Settled	11/15/2010	Redeemed AT&T INC GLB 05.300% NOV 15 2010 GLB 05.300% NOV 15 2010 PAY DATE 11/15/2010	T10A	-10,000		\$10,000.00
729-11096 Trust	Settled	1/11/2010	Sale MONSANTO CO NEW DEL COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MON	-48	\$82.88	\$3,978.29
729-11096 Trust	Settled	1/13/2010	Sale CALL IPI 00038.000 INTREPID POTASH INC EXP 02-20-10 OPEN TRAN IPI BL FEB 38.00000	IPI BL	-1	\$0.21	\$20.52

729-11096 Trust	Settled	2/1/2010	Sale INTREPID POTASH INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IP1	-174	\$27.25	\$4,740.73
729-11096 Trust	Settled	2/5/2010	Sale PUTNAM HIGH YIELD ADVANTAGE FD CL Y PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PHAYX	-298	\$5.85	\$1,743.30
729-11096 Trust	Settled	2/5/2010	Sale PUTNAM HIGH YIELD ADVANTAGE FD CL Y PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PHAYX	-280	\$5.85	\$1,638.00
729-11096 Trust	Settled	2/9/2010	Sale CALL XME 00050.000 SPDR S P METALS MINING EXP 02-20-10 OPEN TRAN XME FEB 50.00000	XME1020E	-2	\$0.44	\$87.99
729-11096 Trust	Settled	2/17/2010	Sale ISHARES BARCLAYS MBS BON FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MBB	-31	\$107.12	\$3,320.68
729-11096 Trust	Settled	2/24/2010	Sale FORD MOTOR CO NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	F	-80	\$11.36	\$908.79
729-11096 Trust	Settled	2/24/2010	Sale SPDR S P METALS MINING A/C CALL ZHN43 XME PER THE PIA AGREEMENT AS OF 02/19 UNSOLICITED ORDER	XME	-200	\$50.00	\$9,999.87
729-11096 Trust	Settled	2/25/2010	Sale CALL F 00014.000 FORD MOTOR CO NEW EXP 06-19- 10 OPEN TRAN F JUN 14.00000	F1019F14	-5	\$0.36	\$179.99
729-11096 Trust	Settled	3/8/2010	Sale ARCHER DANIELS MIDLD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ADM	-132	\$29.84	\$3,938.71
729-11096 Trust	Settled	3/8/2010	Sale CALL EEM 00046.000 ISHARES MSCI EMERGING EXP 06-19-10 OPEN TRAN EEM JUN 46.00000	EEM1019F	-1	\$0.49	\$48.99

729-11096 Trust	Settled	3/8/2010	Sale GILEAD SCIENCES INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GILD	-92	\$47.49	\$4,368.75
729-11096 Trust	Settled	3/12/2010	Sale PUTNAM HIGH YIELD ADVANTAGE FD CL Y FRAC SHR QUANTITY .430 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PHAYX	-25	\$5.93	\$150.80
729-11096 Trust	Settled	3/24/2010	Sale ISHARES BARCLAYS TIPS BO PROTECTED SECS FD PER THE PIA AGREEMENT ML ACTED AS AGENT	TIP	-15	\$104.52	\$1,567.78
729-11096 Trust	Settled	3/24/2010	Sale ITT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITT	-82	\$52.87	\$4,335.29
729-11096 Trust	Settled	4/12/2010	Sale ISHARES BARCLAYS TIPS BO PROTECTED SECS FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TIP	-17	\$103.29	\$1,755.90
729-11096 Trust	Settled	5/3/2010	Sale FIRST EAGLE OVERSEAS CL I PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	SGOIX	-136	\$20.93	\$2,846.48
729-11096 Trust	Settled	5/3/2010	Sale PENNYSYLVANIA MUTUAL FD PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PENNIX	-209	\$10.58	\$2,211.22
729-11096 Trust	Settled	5/4/2010	Sale ISHARES MSCI EMU INDX FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EZU	-139	\$34.28	\$4,764.95
729-11096 Trust	Settled	5/5/2010	Sale CHEVRON CORP PER THE PIA AGREEMENT ML ACTED AS AGENT	CVX	-4	\$82.11	\$328.44

729-11096 Trust	Settled	5/5/2010	Sale CISCO SYSTEMS INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CSCO	-46	\$27.16	\$1,249.38
729-11096 Trust	Settled	5/5/2010	Sale HONEYWELL INTL INC DEL PER THE PIA AGREEMENT ML ACTED AS AGENT	HON	-38	\$47.61	\$1,809.19
729-11096 Trust	Settled	5/5/2010	Sale INTL BUSINESS MACHINES CORP IBM PER THE PIA AGREEMENT ML ACTED AS AGENT	IBM	-1	\$129.58	\$129.57
729-11096 Trust	Settled	5/5/2010	Sale ISHARES DJ US CONSUMER GOODS SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYK	-49	\$59.23	\$2,902.24
729-11096 Trust	Settled	5/5/2010	Sale ISHARES S&P GLB TELECOM- MUNICATIONS SECTOR INDEX PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IXP	-50	\$52.20	\$2,609.75
729-11096 Trust	Settled	5/5/2010	Sale ISHARES S&P GLB HEALTH CARE SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IXJ	-57	\$50.29	\$2,866.23
729-11096 Trust	Settled	5/5/2010	Sale ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDEX F PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYW	-108	\$59.81	\$6,459.86

729-11096 Trust	Settled	5/5/2010	Sale MCDONALDS CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	MCD	-23	\$70.72	\$1,626.55
729-11096 Trust	Settled	5/5/2010	Sale MOSAIC CO PER THE PIA AGREEMENT ML ACTED AS AGENT	MOS	-6	\$51.36	\$308.16
729-11096 Trust	Settled	5/5/2010	Sale ORACLE CORP \$0.01 DEL PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ORCL	-49	\$25.73	\$1,260.77
729-11096 Trust	Settled	5/5/2010	Sale SPDR S P OIL GAS EXPLOR PER THE PIA AGREEMENT ML ACTED AS AGENT	XOP	-4	\$44.97	\$179.88
729-11096 Trust	Settled	5/5/2010	Sale TEVA PHARMACTCL INDS ADR PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TEVA	-29	\$58.72	\$1,702.85
729-11096 Trust	Settled	5/5/2010	Sale TORCHMARK CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	TMK	-7	\$53.74	\$376.19
729-11096 Trust	Settled	5/5/2010	Sale UNITED TECHS CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	UTX	-11	\$75.13	\$826.44
729-11096 Trust	Settled	5/5/2010	Sale VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	-18	\$20.87	\$375.68
729-11096 Trust	Settled	5/5/2010	Sale VANGUARD MID-CAP ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VO	-15	\$67.74	\$1,016.13
729-11096 Trust	Settled	5/7/2010	Sale ISHARES BARCLAYS MBS BON FUND PER THE PIA AGREEMENT ML ACTED AS AGENT	IMBB	-15	\$107.08	\$1,606.19
729-11096 Trust	Settled	5/10/2010	Sale AMPHENOL CORP CL A NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APH	-94	\$44.12	\$4,147.27

729-11096 Trust	Settled	5/10/2010	Sale INTL BUSINESS MACHINES CORP IBM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IBM	-33	\$127.25	\$4,199.25
729-11096 Trust	Settled	5/11/2010	Sale ISHARES IBOX \$ INVT GRADE CORP BD FUND PER THE PIA AGREEMENT DERIVED FROM MOODY'S AND SECURITIES OF THIS ISSUE EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LQD	-146	\$104.02	\$15,187.07
729-11096 Trust	Settled	5/12/2010	Sale WISDOMTREE EUR S/C DIV PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DFE	-146	\$32.54	\$4,750.07
729-11096 Trust	Settled	5/26/2010	Sale FORD MOTOR CO NEW PER THE PIA AGREEMENT ML ACTED AS AGENT	F	-118	\$11.14	\$1,314.50
729-11096 Trust	Settled	5/28/2010	Sale ISHARES BARCLAYS MBS BON FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MBB	-32	\$108.49	\$3,471.47
729-11096 Trust	Settled	5/28/2010	Sale ISHARES DJ US CONSUMER GOODS SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYK	-236	\$54.04	\$12,753.20
729-11096 Trust	Settled	6/8/2010	Sale GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	-101	\$41.07	\$4,148.07

729-11096 Trust	Settled	6/9/2010	Sale SPDR S P METALS MINING PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XME	-125	\$47.39	\$5,924.05
729-11096 Trust	Settled	6/9/2010	Sale VANGUARD MID-CAP ETF PER THE PIA AGREEMENT ML ACTED AS AGENT	VO	-165	\$60.70	\$10,015.33
729-11096 Trust	Settled	6/11/2010	Sale CALL F 00013.000 FORD MOTOR CO NEW EXP 07-17-10 OPEN TRAN F J 1JUL0 13000000	F1017G13	-3	\$0.15	\$44.63
729-11096 Trust	Settled	6/16/2010	Sale CALL VLO 00023.000 VALERO ENERGY CORP NEW EXP 09-18-10 OPEN TRAN VLO SEP 23.00000	VLO101812	-2	\$0.23	\$45.99
729-11096 Trust	Settled	6/21/2010	Sale AUTONATION INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AN	-216	\$21.25	\$4,590.50
729-11096 Trust	Settled	7/2/2010	Sale ISHARES S&P GBL HEALTH CARE SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IXJ	-107	\$45.88	\$4,909.09
729-11096 Trust	Settled	7/13/2010	Sale CALL F 00013.000 FORD MOTOR CO NEW EXP 09-18-10 OPEN TRAN F SEP 13.00000	F1018113	-3	\$0.24	\$71.99
729-11096 Trust	Settled	7/16/2010	Sale MOSAIC CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MOS	-82	\$42.14	\$3,455.73
729-11096 Trust	Settled	8/2/2010	Sale JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	-94	\$35.75	\$3,360.45

729-11096 Trust	Settled	8/9/2010	Sale ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	-116	\$36.99	\$4,291.28
729-11096 Trust	Settled	8/9/2010	Sale TORCHMARK CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TMK	-80	\$53.08	\$4,246.40
729-11096 Trust	Settled	8/11/2010	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT ML ACTED AS AGENT	SPY	-71	\$111.76	\$7,934.83
729-11096 Trust	Settled	8/20/2010	Sale U.S. TREASURY NOTE 3.625% AUG 15 2019 PER THE PIA AGREEMENT YLD TO MATURITY 2.45% MATURITY DATE 8/15/19. 5 DAYS INTEREST	912828LJ7	-4,000	\$109.40	\$4,377.89
729-11096 Trust	Settled	9/8/2010	Sale CALL ORCL 00025.000 ORACLE CORP \$0.01 DEL EXP 10-16-10 OPEN TRAN ORCL OCT 25.00000	ORCL1016	-1	\$0.59	\$58.99
729-11096 Trust	Settled	9/9/2010	Sale CALL XOP 00044.000 SPDR S P OIL GAS EXPLOR EXP 10-16-10 OPEN TRAN XOP OCT 44.00000	XOP1016J	-1	\$0.26	\$25.99
729-11096 Trust	Settled	9/21/2010	Sale CALL STT 00044.000 STATE STREET CORP EXP 11- 20-10 OPEN TRAN STT NOV 44.00000	STT1020K	-1	\$0.42	\$41.99
729-11096 Trust	Settled	9/21/2010	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	-50	\$112.63	\$5,631.44
729-11096 Trust	Settled	9/22/2010	Sale ORACLE CORP \$0.01 DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ORCL	-62	\$26.88	\$1,666.54
729-11096 Trust	Settled	10/20/2010	Sale ORACLE CORP \$0.01 DEL AC CALL ZJP1 ORCL PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE AS OF 10/15 UNSOLICITED ORDER	ORCL	-100	\$25.00	\$2,499.96

729-11096 Trust	Settled	10/22/2010	Sale SPDR GOLD TRUST PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GLD	-30	\$130.91	\$3,927.27
729-11096 Trust	Settled	11/2/2010	Sale KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	-65	\$62.76	\$4,079.12
729-11096 Trust	Settled	11/8/2010	Sale COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVH	-200	\$23.84	\$4,768.10
729-11096 Trust	Settled	11/8/2010	Sale GENL DYNAMICS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GD	-57	\$68.43	\$3,900.34
729-11096 Trust	Settled	11/8/2010	Sale LABORATORY CP AMER HLDGS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LH	-55	\$82.33	\$4,528.30
729-11096 Trust	Settled	11/26/2010	Sale SPDR S P OIL GAS EXPLOR PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOP	-87	\$48.74	\$4,240.05
729-11096 Trust	Settled	11/30/2010	Sale CHEVRON CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVX	-51	\$82.69	\$4,217.03

729-11096 Trust	Settled	11/30/2010	Sale SPDR S P OIL GAS EXPLOR PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOP	-100	\$48.18	\$4,818.39
729-11096 Trust	Settled	11/30/2010	Sale STATE STREET CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	STT	-100	\$42.98	\$4,297.75
729-11096 Trust	Settled	12/3/2010	Sale EXXON MOBIL CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOM	-61	\$69.50	\$4,239.46
729-11096 Trust	Settled	12/7/2010	Sale LOWE'S COMPANIES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LOW	-200	\$24.92	\$4,984.36
729-11096 Trust	Settled	12/21/2010	Sale TRANSOCEAN LTD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	RIG	-72	\$70.04	\$5,042.46
729-11096 Trust	Settled	12/22/2010	Sale HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	-100	\$41.96	\$4,196.13
729-11096 Trust	Settled	12/30/2010	Sale NEXTERA ENERGY INC SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NEE	-79	\$52.13	\$4,118.38

DAVID PHILIP ROE

SCHEDULE 2



TOTAL MERRILL

Online at: www.mymerrill.com

MLPF&S CUST FPO
PAMELA A ROE IRA
FBO PAMELA A ROE
2 N CROSSBOW LN
JOHNSON CITY TN 37604-3639

24-Hour Assistance: (800) MERRILL

Net Portfolio Value:

\$208,715.23

Your Financial Advisor:
SMITH/CONKIN/HAUSMAN
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
(423) 282-5191

Pam's IRA

This account is enrolled in the Personal Investment Advisory Program

December 01, 2010 - December 31, 2010

ASSETS		December 31	November 30
Cash/Money Accounts		11,545.53	11,091.41
Fixed Income		-	-
Equities		72,754.85	69,319.48
Mutual Funds		124,454.85	114,380.32
Options		-	-
Other		-	-
Subtotal (Long Portfolio)		208,755.23	194,791.21
TOTAL ASSETS		\$208,755.23	\$194,791.21
LIABILITIES			
Debit Balance		-	-
Short Market Value	(40.00)		(68.00)
TOTAL LIABILITIES	(40.00)		(68.00)
NET PORTFOLIO VALUE	\$208,715.23		\$194,723.21

CASH FLOW		This Statement	Year to Date
Operating Cash/Money Accounts		\$11,091.41	
CREDITS			
Funds Received		-	-
Electronic Transfers		-	-
Other Credits		4.98	66.99
Subtotal		4.98	66.99
DEBITS			
Electronic Transfers		-	-
Other Debits		(0.86)	(2,127.20)
Subtotal		(0.86)	(2,127.20)
Net Cash Flow		\$4.12	(\$2,060.21)
Dividends/Interest Income		1,362.56	3,276.41
Dividend Reinvestments		(326.82)	(326.82)
Security Purchases/Debits		(9,880.62)	(154,933.11)
Security Sales/Credits		9,294.88	131,975.36
Closing Cash/Money Accounts		\$11,545.53	
Securities You Transferred In/Out		121.92	121.92

Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products: **Are Not FDIC Insured** **Are Not Bank Guaranteed** **May Lose Value**

Parr's IRA

24-Hour Assistance: (800) MERRILL

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2010 - December 31, 2010

TOTAL RETURN: Objective is to strike a balance between fixed-income instruments for current income and equities for growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

ITEMS FOR ATTENTION

Security	Message	Date	Security	Message	Date
CALL F 00017.500	Option Expiring	01/22/11			

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS		Quantity		Total		Estimated		Estimated		Estimated		Estimated	
Description				Cost Basis		Market Price		Market Value		Annual Income		Est. Annual Yield%	
CASH		0.72		0.72				.72					
FA CARD SERVICES NA RASP		11,544.81		11,544.81		1.0000		11,544.81		46		.40	
TOTAL				11,545.53				11,545.53		46		.40	
EQUITIES		Quantity		Unit		Total		Estimated		Estimated		Estimated	
Description	Symbol	Acquired	Quantity	Cost Basis		Cost Basis		Market Price		Market Value		Unrealized Gain/(Loss)	Estimated Annual Income
ANADARKO PETE CORP	APC	12/16/10	46	66.6469		3,065.76		76.1600		3,503.36		437.60	17
		12/16/10	1	65.5900		65.59		76.1600		76.16		10.57	1
Subtotal			47			3,131.35				3,579.52		448.17	18
C.H. ROBINSON WORLDWIDE, INC. NEW	CHRW	05/05/10	49	60.9534		2,986.72		80.1900		3,929.31		942.59	57
CISCO SYSTEMS INC COM	CSCO	04/21/08	98	24.7500		2,425.50		20.2300		1,982.54		(442.96)	
		08/04/08	3	21.9000		65.70		20.2300		60.69		(5.01)	
		05/07/09	5	18.9400		94.70		20.2300		101.15		6.45	
		12/06/10	50	19.5200		976.00		20.2300		1,011.50		35.50	
Subtotal			156			3,561.90				3,155.86		(406.02)	



TOTAL MERRILL

Pam's IRA

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010-December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
COCA COLA COM		KO	03/02/09	43	40.4890	1,741.03	65.7700	2,828.11	1,087.08		76 2.67
			05/07/09	2	42.8700	85.74	65.7700	131.54	45.80		4 2.67
			08/27/09	4	48.9800	195.92	65.7700	263.08	67.16		8 2.67
			04/29/10	5	53.9880	269.94	65.7700	328.85	58.91		9 2.67
				54		2,292.63		3,551.58	1,258.95		97 2.67
CORNING INC		GLW	07/06/10	157	16.6629	2,616.08	19.3200	3,033.24	417.16		32 1.03
FEDERATED INVESTRS B		FI	09/23/09	42	27.1019	1,138.28	26.1700	1,099.14	(39.14)		41 3.66
			09/25/09	8	25.5525	204.42	26.1700	209.36	4.94		8 3.66
			11/03/09	44	26.7865	1,178.61	26.1700	1,151.48	(27.13)		43 3.66
			11/05/09	6	26.4083	158.45	26.1700	157.02	(1.43)		6 3.66
			04/29/10	22	24.7186	543.81	26.1700	575.74	31.93		22 3.66
				122		3,223.57		3,192.74	(30.83)		120 3.66
FORD MOTOR CO NEW		F	08/14/09	47	7.8523	369.06	16.7900	789.13	420.07		
			08/25/09	171	7.6000	1,299.60	16.7900	2,871.09	1,571.49		
			09/18/09	40	6.9900	279.60	16.7900	671.60	392.00		
				258		1,948.26		4,331.82	2,383.56		
HESS CORP		HES	10/05/10	11	60.7945	668.74	76.5400	841.94	173.20		5 .52
			10/07/10	37	61.7500	2,284.75	76.5400	2,831.98	547.23		15 .52
				48		2,953.49		3,673.92	720.43		20 .52
HEWLETT PACKARD CO DEL		HPQ	05/17/10	30	47.4300	1,422.90	42.1000	1,263.00	(159.90)		10 .76
			05/25/10	28	44.4064	1,243.38	42.1000	1,178.80	(64.58)		9 .76
			08/09/10	10	43.1690	431.69	42.1000	421.00	(10.69)		4 .76
				68		3,097.97		2,862.80	(235.17)		23 .76
HONEYWELL INTL INC DEL		HON	10/13/08	53	33.0194	1,750.03	53.1600	2,817.48	1,067.45		65 2.27
			02/23/09	5	28.9200	144.60	53.1600	265.80	121.20		7 2.27
			05/07/09	3	33.3100	99.93	53.1600	159.48	59.55		4 2.27
				61		1,994.56		3,242.76	1,248.20		76 2.27
JACOBS ENGN GRP INC DELA		JEC	12/09/10	36	42.2000	1,519.20	45.8500	1,650.60	131.40		
			12/10/10	36	42.3375	1,524.15	45.8500	1,650.60	126.45		
				72		3,043.35		3,301.20	257.85		
MARATHON OIL CORP		MRO	11/12/10	91	33.9043	3,085.30	37.0300	3,369.73	284.43		91 2.70

Pam's IRA

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

EQUITIES (continued)									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Yield%
MCDONALDS CORP COM	MCD	06/03/08	39	57.6148	2,246.98	76.7600	2,993.64	746.66	96 3.17
		05/07/09	2	53.0500	106.10	76.7600	153.52	47.42	5 3.17
Subtotal			41		2,353.08		3,147.16	794.08	101 3.17
MCKESSON CORPORATION COM	MCK	08/05/10	46	62.3193	2,866.69	70.3800	3,237.48	370.79	34 1.02
NEXTERA ENERGY INC SHS	NEE	11/03/10	55	54.6954	3,008.25	51.9900	2,859.45	(148.80)	110 3.84
PRUDENTIAL FINANCIAL INC	PRU	06/03/10	26	59.8200	1,555.32	58.7100	1,526.46	(28.86)	30 1.95
		06/08/10	3	54.2533	162.76	58.7100	176.13	13.37	4 1.95
		06/08/10	14	54.9000	768.60	58.7100	821.94	53.34	17 1.95
		11/03/10	15	53.2000	798.00	58.7100	880.65	82.65	18 1.95
Subtotal			58		3,284.68		3,405.18	120.50	69 1.95
QUEST DIAGNOSTICS INC	DGX	11/03/10	60	50.6038	3,036.23	53.9700	3,238.20	201.97	24 1.74
TEVA PHARMACTCL INDS ADR	TEVA	06/24/08	48	44.8772	2,154.11	52.1300	2,502.24	348.13	33 1.27
		05/07/09	2	44.8100	89.62	52.1300	104.26	14.64	2 1.27
Subtotal			50		2,243.73		2,606.50	362.77	35 1.27
UNITED TECHS CORP COM	UTX	08/14/09	18	56.6861	1,020.35	78.7200	1,416.96	396.61	31 2.15
		08/28/09	20	59.5985	1,191.97	78.7200	1,574.40	382.43	34 2.15
Subtotal			38		2,212.32		2,991.36	779.04	65 2.15
VALERO ENERGY CORP NEW	VLO	04/22/10	140	19.1112	2,675.58	23.1200	3,236.80	561.22	28 1.86
WAL-MART STORES INC	WMT	12/29/08	26	54.9603	1,428.97	53.9300	1,402.18	(26.79)	32 2.24
		01/08/09	10	51.2500	512.50	53.9300	539.30	26.80	13 2.24
		05/07/09	2	50.0000	100.00	53.9300	107.86	7.86	3 2.24
		08/25/09	10	51.9690	519.69	53.9300	539.30	19.61	13 2.24
		04/29/10	6	53.7883	322.73	53.9300	323.58	.85	8 2.24
Subtotal			54		2,863.89		2,912.22	28.33	69 2.24
WALGREEN CO	WAG	08/04/10	100	28.5511	2,855.11	38.9600	3,896.00	1,040.89	70 1.79
TOTAL					61,354.74		72,754.85	11,400.11	1,139 1.57

+



TOTAL MERRILL

Pam's IRA

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010- December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT		Quantity		Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description				Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment	Annual Current
									Return (%)	Income Yield%
ASTON/OPTIMUM MID CAP	FD CL I	127		3,862.00	32.3500	4,108.45	246.45	3,829	279	
SYMBOL: ABMIX Initial Purchase:11/16/10										
Equity 100%				14.39	32.3500	14.33	(0.06)			
4430 Fractional Share										
FIRST EAGLE	OVERSEAS CL I	486		9,691.08	22.9800	11,168.28	1,477.20	8,277	2,891	181 1.61
SYMBOL: SGOIX Initial Purchase:09/18/08										
Equity 100%				1.78	22.9800	1.82	.04			1 1.61
.0790 Fractional Share										
ISHARES S&P 500 VALUE	INDEX FUND	134		7,309.96	59.5900	7,985.06	675.10	7,309	675	167 2.08
SYMBOL: IVE Initial Purchase:09/08/09										
Equity 100%										
ISHARES TRUST S&P MIDCAP	400 INDEX FUND	45		3,835.42	90.6900	4,081.05	245.63	3,835	245	44 1.05
SYMBOL: IJH Initial Purchase:11/16/10										
Equity 100%										
ISHARES S&P GBL HEALTH	CARE SECTOR INDEX FUND	77		3,318.21	51.7600	3,985.52	667.31	3,318	667	88 2.20
SYMBOL: IXJ Initial Purchase:01/22/09										
Equity 100%										
ISHARES S&P GBL TELECOM-	MUNICATIONS SECTOR INDEX	75		4,296.91	58.2700	4,370.25	73.34	4,296	73	186 4.23
SYMBOL: IXP Initial Purchase:04/21/08										
Equity 100%										

+

Pam's IRA

24Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT		(continued)					Cumulative			Estimated
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Investment Return (%)	Annual Current Income Yield%		
ISHARES MSCI EMERGING MKTS INDEX FD SYMBOL: EEM Equity 100%	203 Initial Purchase:02/11/10	7,897.75	47.6420	9,671.32	1,773.57	7,897	1,773	132 1.35		
ISHARES TR DOW JONES US SYMBOL: IHI Equity 100%	122 Initial Purchase:04/21/08	6,884.03	58.9100	7,187.02	302.99	6,884	302	10 .13		
ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDEX F SYMBOL: ITW Equity 100%	303 Initial Purchase:04/21/08	14,004.18	64.3800	19,507.14	5,502.96	14,004	5,502	84 .42		
KAYNE ANDERSON MLP SYMBOL: KYN Equity 100%	71 Initial Purchase:08/18/10	1,817.57	31.4700	2,234.37	416.80	1,817	416	138 6.16		
PENNSYLVANIA MUTUAL FD SYMBOL: PENNX Equity 100%	554 Initial Purchase:07/22/09	4,585.21	11.6500	6,454.10	1,868.89	4,543	1,910	30 .45		
.5500 Fractional Share		6.28	11.6500	6.41	.13			1 .45		
SECTOR SPDR ENERGY SYMBOL: XLE Equity 100%	157 Initial Purchase:11/23/10	9,793.42	68.2500	10,715.25	921.83	9,793	921	157 1.45		
SECTOR SPDR FINANCIAL SYMBOL: XLF Equity 100%	381 Initial Purchase:05/10/10	5,468.92	15.9500	6,076.95	608.03	5,468	608	60 .97		

+



TOTAL MERRILL

Pam's IRA

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/JIT (continued)									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%	
SECTOR SPDR UTILITIES									
SYMBOL: XLU	257	7,654.66	31.3400	8,054.38	399.72	7,654	399	327	4.05
Equity 100%									
STANDARD&POORS DEP RCPT									
SYMBOL: SPY	34	4,040.22	125.7500	4,275.50	235.28	4,040	235	78	1.80
Equity 100%									
VANGUARD FTSE ALL WORLD									
EX US	305	13,599.21	47.7300	14,557.65	958.44	13,599	958	311	2.13
SYMBOL: VEU									
Equity 100%									
Subtotal (Equities)				124,454.85					
TOTAL		108,081.20		124,454.85	16,373.65		17,854	1,995	1.60

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment.

Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Pam's IRA

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	180,981.47	208,755.23	27,773.76		3,180	1.52

YOUR RETIREMENT ACCOUNT LIABILITIES

SHORTS	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CALL F 00017.500	11/04/10	2	0.3199	63.99	0.2000	40.00	23.99		
FORD MOTOR CO NEW EXP 01-22-2011									
TOTAL				63.99		40.00	23.99		

YOUR RETIREMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS									
Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date			
12/06	* Rpt Fgn Div		TEVA PHARMACTCL INDS ADR		9.51				
			HOLDING 50.0000						
			PAY DATE 12/06/2010						
12/10	* Dividend		CHEVRON CORP		25.20				
			HOLDING 35.0000						
			PAY DATE 12/10/2010						
12/10	* Dividend		EXXON MOBIL CORP COM		18.48				
			HOLDING 42.0000						
			PAY DATE 12/10/2010						
12/10	* Dividend		HONEYWELL INTL INC DEL		18.45				
			HOLDING 61.0000						
			PAY DATE 12/10/2010						

+

729-45374 Pam's IRA	Settled	12/22/2010	Journal Entry ASTON/OPTIMUM MID CAP FD CL I FULL SHARE ACCUM SHARE VALUE \$32.88	ABMIX	1		\$0.00
729-45374 Pam's IRA	Settled	12/30/2010	Journal Entry ASTON/OPTIMUM MID CAP FD CL I FULL SHARE ACCUM SHARE VALUE \$32.42	ABMIX	1		\$0.00
729-45374 Pam's IRA	Settled	1/12/2010	Purchase AUTONATION INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AN	58	\$20.65	-\$1,197.49
729-45374 Pam's IRA	Settled	1/12/2010	Purchase GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	26	\$38.23	-\$994.01
729-45374 Pam's IRA	Settled	1/19/2010	Purchase ITT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITT	28	\$50.71	-\$1,419.98
729-45374 Pam's IRA	Settled	1/20/2010	Purchase ISHARES S&P 500 VALUE INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IIVE	66	\$54.98	-\$3,628.96
729-45374 Pam's IRA	Settled	1/20/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	84	\$45.42	-\$3,815.11
729-45374 Pam's IRA	Settled	1/20/2010	Purchase VANGUARD MID-CAP ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VO	20	\$62.19	-\$1,243.71

Account/Nickname	Activity Status	Settle Date	Description	Symbol	Quantity	Price	Amount
729-45374 Pam's IRA	Settled	12/10/2010	Divd Reinv PENNSYLVANIA MUTUAL FD AGENT REINV AMOUNT \$29.69 REINV PRICE \$11.41000 QUANTITY BOT 2.6020 AS OF 12/09	PENNX	2		\$0.00
729-45374 Pam's IRA	Settled	12/20/2010	Divd Reinv FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT \$176.26 REINV PRICE \$22.51000 QUANTITY BOT 7.8300 AS OF 12/16	SGOIX	7		\$0.00
729-45374 Pam's IRA	Settled	12/20/2010	Divd Reinv FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT \$99.50 REINV PRICE \$22.51000 QUANTITY BOT 4.4200 AS OF 12/16	SGOIX	4		\$0.00
729-45374 Pam's IRA	Settled	12/30/2010	Divd Reinv ASTON OPTIMUM MID CAP I AGENT REINV AMOUNT \$11.80 REINV PRICE \$32.48000 QUANTITY BOT .3630 AS OF 12/29	ABMIX			\$0.00
729-45374 Pam's IRA	Settled	12/30/2010	Divd Reinv ASTON OPTIMUM MID CAP I AGENT REINV AMOUNT \$9.57 REINV PRICE \$32.48000 QUANTITY BOT .2950 AS OF 12/29	ABMIX			\$0.00
729-45374 Pam's IRA	Settled	2/22/2010	Exerc Options CALL XME 00050.000 SPDR SER TR EXP 02-20-10 NOCC	XME1020B50	1		\$0.00
729-45374 Pam's IRA	Settled	10/18/2010	Exerc Options CALL ORCL 00025.000 ORACLE CORP SHS EXP 10-16-10 NOCC	ORCL1016J2	1		\$0.00
729-45374 Pam's IRA	Settled	11/22/2010	Exerc Options CALL XOP 00046.000 SPDR SERIES TRUST SHS EXP 11- 20-10 NOCC	XOP1020K46	1		\$0.00
729-45374 Pam's IRA	Settled	12/10/2010	Journal Entry PENNSYLVANIA MUTUAL FD FULL SHARE ACCUM SHARE VALUE \$11.52	PENNX	1		\$0.00
729-45374 Pam's IRA	Settled	12/20/2010	Journal Entry FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE \$22.55	SGOIX	1		\$0.00
729-45374 Pam's IRA	Settled	12/20/2010	Journal Entry FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE \$22.55	SGOIX	1		\$0.00

729-45374 Pam's IRA	Settled	2/8/2010	Purchase ITT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITT	25	\$49.74	-\$1,243.60
729-45374 Pam's IRA	Settled	2/9/2010	Purchase CALL XME 00056.000 SPDR S P METALS MINING EXP 02-20-10 CLOSE TRAN XME FEB 56.00000	XME1020B56	1	\$0.04	-\$4.00
729-45374 Pam's IRA	Settled	2/9/2010	Purchase SPDR GOLD TRUST	GLD	17	\$104.12	-\$1,770.04
729-45374 Pam's IRA	Settled	2/17/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	56	\$38.14	-\$2,135.64
729-45374 Pam's IRA	Settled	2/22/2010	Purchase CALL F 00011.000 FORD MOTOR CO NEW EXP 02-20-10 CLOSE TRAN F FEB 11.00000	F1020B11	3	\$0.30	-\$90.00
729-45374 Pam's IRA	Settled	3/2/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD	EEM	60	\$38.00	-\$2,280.00
729-45374 Pam's IRA	Settled	3/8/2010	Purchase GENL DYNAMICS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GD	37	\$74.04	-\$2,739.54
729-45374 Pam's IRA	Settled	3/9/2010	Purchase TORCHMARK CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TMK	57	\$48.61	-\$2,770.62
729-45374 Pam's IRA	Settled	4/9/2010	Purchase LABORATORY CP AMER HLDGS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LH	37	\$77.19	-\$2,856.14

729-45374 Pam's IRA	Settled	4/9/2010	Purchase STATE STREET CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	STT	61	\$46.52	-\$2,837.56
729-45374 Pam's IRA	Settled	4/14/2010	Purchase SPDR S P OIL GAS EXPLOR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XOP	31	\$44.40	-\$1,376.38
729-45374 Pam's IRA	Settled	4/27/2010	Purchase VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	147	\$19.11	-\$2,809.35
729-45374 Pam's IRA	Settled	5/4/2010	Purchase AMPHENOL CORP CL A NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APH	5	\$46.59	-\$232.94
729-45374 Pam's IRA	Settled	5/4/2010	Purchase AUTONATION INC PER THE PIA AGREEMENT ML ACTED AS AGENT	AN	15	\$20.54	-\$308.08
729-45374 Pam's IRA	Settled	5/4/2010	Purchase COCA COLA COM PER THE PIA AGREEMENT ML ACTED AS AGENT	KO	5	\$53.99	-\$269.94
729-45374 Pam's IRA	Settled	5/4/2010	Purchase FEDERATED INVESTRS B PER THE PIA AGREEMENT ML ACTED AS AGENT	FII	22	\$24.72	-\$543.81
729-45374 Pam's IRA	Settled	5/4/2010	Purchase GENL DYNAMICS CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	GD	2	\$77.71	-\$155.43
729-45374 Pam's IRA	Settled	5/4/2010	Purchase GENUINE PARTS CO PER THE PIA AGREEMENT ML ACTED AS AGENT	GPC	8	\$43.21	-\$345.68

729-45374 Pam's IRA	Settled	5/4/2010	Purchase ISHARES S&P 500 VALUE INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IVE	34	\$58.46	-\$1,987.62
729-45374 Pam's IRA	Settled	5/4/2010	Purchase ISHARES TR DOW JONES US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IHI	24	\$59.97	-\$1,439.40
729-45374 Pam's IRA	Settled	5/4/2010	Purchase MOSAIC CO PER THE PIA AGREEMENT ML ACTED AS AGENT	MOS	2	\$51.51	-\$103.01
729-45374 Pam's IRA	Settled	5/4/2010	Purchase SECTOR SPDR UTILITIES ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLU	32	\$30.28	-\$968.95
729-45374 Pam's IRA	Settled	5/4/2010	Purchase SPDR GOLD TRUST	GLD	4	\$114.33	-\$457.32
729-45374 Pam's IRA	Settled	5/4/2010	Purchase SPDR S P METALS MINING	XME	83	\$56.87	-\$4,720.11
729-45374 Pam's IRA	Settled	5/4/2010	Purchase SPDR S P OIL GAS EXPLOR	XOP	5	\$44.50	-\$222.50
729-45374 Pam's IRA	Settled	5/4/2010	Purchase STATE STREET CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	STT	8	\$44.32	-\$354.55
729-45374 Pam's IRA	Settled	5/4/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	100	\$44.11	-\$4,410.67
729-45374 Pam's IRA	Settled	5/4/2010	Purchase WAL-MART STORES INC PER THE PIA AGREEMENT ML ACTED AS AGENT	WMT	6	\$53.79	-\$322.73

729-45374 Pam's IRA	Settled	5/4/2010	Purchase WISDOMTREE EUR S/C DIV EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	DFF	36	\$37.97	-\$1,366.86
729-45374 Pam's IRA	Settled	5/7/2010	Purchase CALL EEM 00046.000 1SHARES MSCI EMERGING EXP 06- 19-10 CLOSE TRAN EEM JUN 46.00000	EEM1019F46	1	\$0.07	-\$7.00
729-45374 Pam's IRA	Settled	5/10/2010	Purchase C.H. ROBINSON WORLDWIDE, INC. NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CHRW	49	\$60.95	-\$2,986.72
729-45374 Pam's IRA	Settled	5/10/2010	Purchase JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	65	\$45.70	-\$2,970.27
729-45374 Pam's IRA	Settled	5/12/2010	Purchase STANDARD&POORS DEPRCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	31	\$112.62	-\$3,491.22
729-45374 Pam's IRA	Settled	5/13/2010	Purchase SECTOR SPDR FINANCIAL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLF	115	\$15.89	-\$1,826.87
729-45374 Pam's IRA	Settled	5/19/2010	Purchase CALL F 00014.000 FORD MOTOR CO NEW EXP 06-19-10 CLOSE TRAN F JUN 14.00000	F1019F14	3	\$0.10	-\$30.00
729-45374 Pam's IRA	Settled	5/20/2010	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	30	\$47.43	-\$1,422.90

729-45374 Pam's IRA	Settled	5/28/2010	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	28	\$44.41	-\$1,243.38
729-45374 Pam's IRA	Settled	5/28/2010	Purchase KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	43	\$59.99	-\$2,579.38
729-45374 Pam's IRA	Settled	5/28/2010	Purchase SECTOR SPDR FINANCIAL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLF	124	\$13.93	-\$1,727.89
729-45374 Pam's IRA	Settled	6/1/2010	Purchase ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	38	\$34.73	-\$1,319.89
729-45374 Pam's IRA	Settled	6/8/2010	Purchase ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	2	\$35.94	-\$71.88
729-45374 Pam's IRA	Settled	6/8/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	26	\$59.82	-\$1,555.32

729-45374 Pam's IRA	Settled	6/9/2010	Purchase ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F EXECUTED ON MULTIPLE MAR PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IYW	40	\$54.73	-\$2,189.01
729-45374 Pam's IRA	Settled	6/11/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	3	\$54.25	-\$162.76
729-45374 Pam's IRA	Settled	6/11/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	14	\$54.90	-\$768.60
729-45374 Pam's IRA	Settled	6/15/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD	EEM	23	\$38.28	-\$880.43
729-45374 Pam's IRA	Settled	6/16/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	12	\$38.48	-\$461.75
729-45374 Pam's IRA	Settled	6/21/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	8	\$39.93	-\$319.44
729-45374 Pam's IRA	Settled	6/24/2010	Purchase CALL F 00013.000 FORD MOTOR CO NEW EXP 07-17-10 CLOSE TRAN F JUL 13.00000	F1017G13	2	\$0.02	-\$4.00
729-45374 Pam's IRA	Settled	7/2/2010	Purchase ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AI2	38	\$35.24	-\$1,338.95

729-45374 Pam's IRA	Settled	7/2/2010	Purchase ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDEX F PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IYV	103	\$52.59	-\$5,416.62
729-45374 Pam's IRA	Settled	7/6/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	17	\$103.40	-\$1,757.80
729-45374 Pam's IRA	Settled	7/8/2010	Purchase SECTOR SPDR FINANCIAL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLFF	142	\$13.48	-\$1,914.16
729-45374 Pam's IRA	Settled	7/9/2010	Purchase CORNING INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GLW	157	\$16.66	-\$2,616.08
729-45374 Pam's IRA	Settled	7/21/2010	Purchase LOWE'S COMPANIES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LOW	135	\$20.11	-\$2,714.76
729-45374 Pam's IRA	Settled	7/28/2010	Purchase CALL VLO 00023.000 VALERO ENERGY CORP NEW EXP 09-18-10 CLOSE TRAN VLO SEP 23.00000	VLO1018123	1	\$0.06	-\$5.83
729-45374 Pam's IRA	Settled	8/9/2010	Purchase WALGREEN CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WAG	100	\$28.55	-\$2,855.11

729-45374 Pam's IRA	Settled	8/10/2010	Purchase COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVH	134	\$21.40	-\$2,867.48
729-45374 Pam's IRA	Settled	8/10/2010	Purchase MCKESSON CORPORATION COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MCK	46	\$62.32	-\$2,866.69
729-45374 Pam's IRA	Settled	8/12/2010	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	10	\$43.17	-\$431.69
729-45374 Pam's IRA	Settled	8/12/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	22	\$42.37	-\$932.21
729-45374 Pam's IRA	Settled	8/12/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	21	\$43.92	-\$922.24
729-45374 Pam's IRA	Settled	8/16/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD	EEM	22	\$40.38	-\$888.28
729-45374 Pam's IRA	Settled	8/16/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	22	\$41.81	-\$919.75
729-45374 Pam's IRA	Settled	8/23/2010	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	71	\$25.60	-\$1,817.57

729-45374 Pam's IRA	Settled	8/27/2010	Purchase STANDARD&POORS DEP RCPT	SPY	17	\$105.88	-\$1,799.96
729-45374 Pam's IRA	Settled	8/27/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	17	\$105.91	-\$1,800.46
729-45374 Pam's IRA	Settled	9/10/2010	Purchase CALL F 00013.000 FORD MOTOR CO NEW EXP 09-18-10 CLOSE TRAN F SEP 13.00000	F101813	2	\$0.03	-\$6.00
729-45374 Pam's IRA	Settled	10/8/2010	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	11	\$60.79	-\$668.74
729-45374 Pam's IRA	Settled	10/13/2010	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	37	\$61.75	-\$2,284.75
729-45374 Pam's IRA	Settled	10/18/2010	Purchase CALL XOP 00044.000 SPDR S P OIL GAS EXPLOR EXP 10- 16-10 CLOSE TRAN XOP OCT 44.00000	XOP1016J44	1	\$0.65	-\$64.98
729-45374 Pam's IRA	Settled	11/8/2010	Purchase NEXTERA ENERGY INC SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NEE	55	\$54.70	-\$3,008.25
729-45374 Pam's IRA	Settled	11/8/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT ML ACTED AS AGENT	PRU	15	\$53.20	-\$798.00
729-45374 Pam's IRA	Settled	11/8/2010	Purchase QUEST DIAGNOSTICS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DGX	60	\$50.60	-\$3,036.23

729-45374 Pam's IRA	Settled	11/17/2010	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .786 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	ABMIX	83	\$29.48	-\$2,470.01
729-45374 Pam's IRA	Settled	11/17/2010	Purchase MARATHON OIL CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MRO	91	\$33.90	-\$3,085.30
729-45374 Pam's IRA	Settled	11/19/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	30	\$83.02	-\$2,490.51
729-45374 Pam's IRA	Settled	11/29/2010	Purchase SECTOR SPDR ENERGY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLE	157	\$62.38	-\$9,793.42
729-45374 Pam's IRA	Settled	11/29/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	34	\$118.83	-\$4,040.22
729-45374 Pam's IRA	Settled	12/7/2010	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .180 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ABMIX	24	\$31.72	-\$766.99
729-45374 Pam's IRA	Settled	12/8/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL	IJH	9	\$88.84	-\$799.52

729-45374 Pam's IRA	Settled	12/9/2010	Purchase CISCO SYSTEMS INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CSCO	50	\$19.52	-\$976.00
729-45374 Pam's IRA	Settled	12/14/2010	Purchase JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	36	\$42.20	-\$1,519.20
729-45374 Pam's IRA	Settled	12/15/2010	Purchase JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	36	\$42.34	-\$1,524.15
729-45374 Pam's IRA	Settled	12/21/2010	Purchase ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APC	46	\$66.65	-\$3,065.76
729-45374 Pam's IRA	Settled	12/21/2010	Purchase ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APC	1	\$65.59	-\$65.59
729-45374 Pam's IRA	Settled	12/22/2010	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .819 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ABMIX	18	\$32.84	-\$618.02

729-45374 Pam's IRA	Settled	12/27/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	LJH	6	\$90.90	-\$545.39
729-45374 Pam's IRA	Settled	1/11/2010	Sale MONSANTO CO NEW DEL COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MON	-30	\$82.88	\$2,486.44
729-45374 Pam's IRA	Settled	2/1/2010	Sale INTREPID POTASH INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IP1	-111	\$27.25	\$3,024.25
729-45374 Pam's IRA	Settled	2/9/2010	Sale CALL XME 00050.000 SPDR S P METALS MINING EXP 02- 20-10 OPEN TRAN XME FEB 50.00000	XME1020B50	-1	\$0.44	\$43.99
729-45374 Pam's IRA	Settled	2/24/2010	Sale FORD MOTOR CO NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	F	-39	\$11.36	\$443.03
729-45374 Pam's IRA	Settled	2/24/2010	SPDR S P METALS MINING A/C CALL ZHN43 XME PER THE PIA AGREEMENT AS OF 02/19 UNSOLICITED ORDER	XME	-100	\$50.00	\$4,999.94
729-45374 Pam's IRA	Settled	2/25/2010	CALL F 00014.000 FORD MOTOR CO NEW EXP 06-19-10 OPEN TRAN F JUN 14.00000	F1019F14	-3	\$0.36	\$107.99

729-45374 Pam's IRA	Settled	3/8/2010	Sale ARCHER DANIELS MIDLD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ADM	-84	\$29.84	\$2,506.45
729-45374 Pam's IRA	Settled	3/8/2010	Sale CALL EEM 00046.000 ISHARES MSCI EMERGING EXP 06- 19-10 OPEN TRAN EEM JUN 46.00000	EEM1019F46	-1	\$0.49	\$48.99
729-45374 Pam's IRA	Settled	3/8/2010	Sale GILEAD SCIENCES INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GILD	-59	\$47.49	\$2,801.70
729-45374 Pam's IRA	Settled	3/24/2010	Sale ITT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITT	-53	\$52.87	\$2,802.08
729-45374 Pam's IRA	Settled	4/30/2010	Sale FIRST EAGLE OVERSEAS CL I PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	SGOIX	-46	\$20.79	\$956.34
729-45374 Pam's IRA	Settled	4/30/2010	Sale PENNSYLVANIA MUTUAL FD PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PENNIX	-64	\$10.81	\$691.84
729-45374 Pam's IRA	Settled	5/4/2010	Sale CISCO SYSTEMS INC COM PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CSCO	-17	\$27.58	\$468.88
729-45374 Pam's IRA	Settled	5/4/2010	Sale EXXON MOBIL CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	XOM	-3	\$68.54	\$205.62
729-45374 Pam's IRA	Settled	5/4/2010	Sale HONEYWELL INTL INC DEL PER THE PIA AGREEMENT ML ACTED AS AGENT	HON	-14	\$48.36	\$677.05

729-45374 Pam's IRA	Settled	5/4/2010	Sale ISHARES DJ US CONSUMER GOODS SECTOR INDEX FUND PER THE PIA AGREEMENT ML ACTED AS AGENT	IVK	-10	\$59.65	\$396.51
729-45374 Pam's IRA	Settled	5/4/2010	Sale ISHARES MSCI EMU INDX FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EZU	-89	\$34.28	\$3,050.94
729-45374 Pam's IRA	Settled	5/4/2010	Sale ISHARES S&P GBL TELECOM- MUNICATIONS SECTOR INDEX PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IXP	-23	\$52.32	\$1,203.38
729-45374 Pam's IRA	Settled	5/4/2010	Sale ISHARES S&P GBL HEALTH CARE SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IXJ	-18	\$50.81	\$914.59
729-45374 Pam's IRA	Settled	5/4/2010	Sale ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDEX F PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYW	-48	\$60.92	\$2,924.34
729-45374 Pam's IRA	Settled	5/4/2010	Sale MCDONALDS CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	MCD	-15	\$71.50	\$1,072.48
729-45374 Pam's IRA	Settled	5/4/2010	Sale ORACLE CORP \$0.01 DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ORCL	-24	\$26.91	\$621.83

729-45374 Pam's IRA	Settled	5/4/2010	Sale TEVA PHARMACTCL INDS ADR PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TEVA	-12	\$58.33	\$699.97
729-45374 Pam's IRA	Settled	5/4/2010	Sale TORCHMARK CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	TMK	-2	\$54.87	\$109.73
729-45374 Pam's IRA	Settled	5/4/2010	Sale UNITED TECHS CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	UTX	-4	\$75.93	\$303.72
729-45374 Pam's IRA	Settled	5/4/2010	Sale VALERO ENERGY CORP NEW PER THE PIA AGREEMENT ML ACTED AS AGENT	VLO	-7	\$21.13	\$147.92
729-45374 Pam's IRA	Settled	5/4/2010	Sale VANGUARD MID-CAP ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VO	-4	\$68.72	\$274.87
729-45374 Pam's IRA	Settled	5/10/2010	Sale AMPHENOL CORP CL A NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APH	-65	\$44.12	\$2,867.79
729-45374 Pam's IRA	Settled	5/10/2010	Sale INTL BUSINESS MACHINES CORP IBM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IBM	-22	\$127.25	\$2,799.50
729-45374 Pam's IRA	Settled	5/12/2010	Sale WISDOMTREE EUR S/C DIV PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DFE	-104	\$32.54	\$3,383.61
729-45374 Pam's IRA	Settled	5/26/2010	Sale FORD MOTOR CO NEW PER THE PIA AGREEMENT ML ACTED AS AGENT	F	-70	\$11.14	\$779.79

729-45374 Pam's IRA	Settled	5/28/2010	Sale ISHARES DJ US CONSUMER GOODS SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IVK	-164	\$54.04	\$8,862.39
729-45374 Pam's IRA	Settled	6/8/2010	Sale GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	-70	\$41.07	\$2,874.90
729-45374 Pam's IRA	Settled	6/9/2010	Sale SPDR S P METALS MINING PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XME	-86	\$47.39	\$4,075.75
729-45374 Pam's IRA	Settled	6/9/2010	Sale VANGUARD MID-CAP ETF PER THE PIA AGREEMENT ML ACTED AS AGENT	VO	-114	\$60.70	\$6,919.68
729-45374 Pam's IRA	Settled	6/11/2010	Sale CALL F 00013.000 FORD MOTOR CO NEW EXP 07-17-10 OPEN TRAN F J 1JUL0 13000000	F1017G13	-2	\$0.15	\$29.75
729-45374 Pam's IRA	Settled	6/16/2010	Sale CALL VLO 00023.000 VALERO ENERGY CORP NEW EXP 09-18-10 OPEN TRAN VLO SEP 23.00000	VLO1018123	-1	\$0.23	\$22.99
729-45374 Pam's IRA	Settled	6/21/2010	Sale AUTONATION INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AN	-148	\$21.25	\$3,145.35
729-45374 Pam's IRA	Settled	7/2/2010	Sale ISHARES S&P GBL HEALTH CARE SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IXJ	-76	\$45.88	\$3,486.83

729-45374 Pam's IRA	Settled	7/13/2010	Sale CALL F 00013.000 FORD MOTOR CO NEW EXP 09-18-10 OPEN TRAN F SEP 13.00000	F101813	-2	\$0.24	\$47.99
729-45374 Pam's IRA	Settled	7/16/2010	Sale MOSAIC CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MOS	-58	\$42.14	\$2,444.30
729-45374 Pam's IRA	Settled	8/2/2010	Sale JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	-65	\$35.75	\$2,323.72
729-45374 Pam's IRA	Settled	8/9/2010	Sale ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	-78	\$36.99	\$2,885.51
729-45374 Pam's IRA	Settled	8/9/2010	Sale TORCHMARK CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TMK	-55	\$53.08	\$2,919.40
729-45374 Pam's IRA	Settled	8/11/2010	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT ML ACTED AS AGENT	SPY	-48	\$111.76	\$5,364.39
729-45374 Pam's IRA	Settled	9/8/2010	Sale CALL ORCL 00025.000 ORACLE CORP \$0.01 DEL EXP 10- 16-10 OPEN TRAN ORCL OCT 25.00000	ORCL1016J2	-1	\$0.59	\$58.99
729-45374 Pam's IRA	Settled	9/9/2010	Sale CALL XOP 00044.000 SPDR S P OIL GAS EXPLOR EXP 10- 16-10 OPEN TRAN XOP OCT 44.00000	XOP1016J44	-1	\$0.26	\$25.99

729-45374 Pam's IRA	Settled	9/21/2010	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	-34	\$112.63	\$3,829.38
729-45374 Pam's IRA	Settled	9/22/2010	Sale ORACLE CORP \$0.01 DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ORCL	-12	\$26.88	\$322.55
729-45374 Pam's IRA	Settled	10/18/2010	Sale CALL XOP 00046.000 SPDR S P OIL GAS EXPLOR EXP 11- 20-10 OPEN TRAN XOP NOV 46.00000	XOP1020K46	-1	\$0.85	\$84.97
729-45374 Pam's IRA	Settled	10/20/2010	Sale ORACLE CORP \$0.01 DEL A/C CALL ZUPU1 ORCL PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE AS OF 10/15 UNSOLICITED ORDER	ORCL	-100	\$25.00	\$2,499.96
729-45374 Pam's IRA	Settled	10/22/2010	Sale SPDR GOLD TRUST PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GLD	-21	\$130.91	\$2,749.09
729-45374 Pam's IRA	Settled	11/2/2010	Sale KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	-43	\$62.76	\$2,698.49
729-45374 Pam's IRA	Settled	11/5/2010	Sale CALL F 00017.500 FORD MOTOR CO NEW EXP 01-22-11 OPEN TRAN F JAN 17.50000	F1122A17.5	-2	\$0.32	\$63.99

729-45374 Pam's IRA	Settled	11/8/2010	Sale COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVH	-134	\$23.84	\$3,194.63
729-45374 Pam's IRA	Settled	11/8/2010	Sale GENL DYNAMICS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GD	-39	\$68.43	\$2,668.65
729-45374 Pam's IRA	Settled	11/8/2010	Sale LABORATORY CP AMER HLDGS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LH	-37	\$82.33	\$3,046.32
729-45374 Pam's IRA	Settled	11/24/2010	Sale SPDR S P OIL GAS EXPLOR A/C CALL ZQ256 XOP PER THE PIA AGREEMENT AS OF 11/19 UNSOLICITED ORDER	XOP	-100	\$46.00	\$4,599.92
729-45374 Pam's IRA	Settled	11/26/2010	Sale SPDR S P OIL GAS EXPLOR PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOP	-32	\$48.74	\$1,559.55
729-45374 Pam's IRA	Settled	11/30/2010	Sale CHEVRON CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVX	-35	\$82.69	\$2,894.04
729-45374 Pam's IRA	Settled	11/30/2010	Sale STATE STREET CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	STT	-69	\$42.98	\$2,965.45

729-45374 Pam's IRA	Settled	12/3/2010	Sale EXXON MOBIL CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOM	-42	\$69.50	\$2,918.97
729-45374 Pam's IRA	Settled	12/7/2010	Sale LOWE'S COMPANIES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LOW	-135	\$24.92	\$3,364.44
729-45374 Pam's IRA	Settled	12/21/2010	Sale TRANSOCEAN LTD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	RIG	-43	\$70.04	\$3,011.47
<EOF>							

DAVID PHILIP ROE

SCHEDULE 3



TOTAL MERRILL

Online at www.mymerrill.com

MLPF&S CUST FPO
DR DAVID PHILIP ROE IRA
FBO DR DAVID PHILIP ROE
2 N CROSSBOW LN
JOHNSON CITY TN 37604-3639

24-Hour Assistance: (800) MERRILL

Net Portfolio Value: **\$295,845.10**

Your Financial Advisor:
SMITH/CONKIN/HAUSMAN
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
(423) 282-5191

Alt Investments

December 01, 2010 - December 31, 2010

ASSETS		December 31	November 30
Cash/Money Accounts		1,429.51	1,429.20
Fixed Income		-	-
Equities		51,800.00	50,650.00
Mutual Funds		-	-
Options		-	-
Other		-	-
Alternative Investments		242,615.59	235,973.54
Subtotal (Long Portfolio)		295,845.10	288,052.74
TOTAL ASSETS		\$295,845.10	\$288,052.74
LIABILITIES			
Debit Balance		-	-
TOTAL LIABILITIES		-	-
NET PORTFOLIO VALUE		\$295,845.10	\$288,052.74

CASH FLOW		This Statement	Year to Date
Opening Cash/Money Accounts		\$1,429.20	
CREDITS			
Funds Received		-	-
Electronic Transfers		-	-
Other Credits		-	-
Subtotal		-	-
DEBITS			
Electronic Transfers		-	(45,000.00)
Other Debits		-	(45,000.00)
Subtotal		-	(45,000.00)
Net Cash Flow		-	(\$45,000.00)
Dividends/Interest Income		0.31	9.56
Security Purchases/Debits		-	(50,000.00)
Security Sales/Credits		-	93,049.02
Closing Cash/Money Accounts		\$1,429.51	
Securities You Transferred In/Out		-	-

Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products: ☐ Are Not FDIC Insured ☐ Are Not Bank Guaranteed ☐ May Lose Value

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2010 - December 31, 2010

TOTAL RETURN: Objective is to strike a balance between fixed-income instruments for current income and equities for growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%			
CASH	0.24	0.24		24					
FIA CARD SERVICES NA RASP	1,429.27	1,429.27	1.0000	1,429.27	4	.25			
TOTAL		1,429.51		1,429.51	4	.25			

EQUITIES									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Yield%
BAC CLRN SPX	CDK	07/29/10	5,000	10.0000	50,000.00	10.3600	51,800.00	1,800.00	
SV=1101.53 S&P 500 INDX									
TOTAL					50,000.00		51,800.00	1,800.00	

ALTERNATIVE INVESTMENTS									
Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Yield%	
BANK OF AMERICA NA DJUBS	05/27/09	5,000	10.0000	50,000.00	12.3000	61,500.00	11,500.00		
SV=121.449 DUBS MPOD DUE JUNE 3, 2014									
ELEMENTS - ROGERS TR	09/24/08	2,007	10.9859	22,048.84	9.2400	18,544.68	(3,504.16)		
SV=4126.81 - ROGRTTR DUE OCTOBER 24, 2022									
ELEMENTS - ROGERS TR	09/24/08	301	10.9797	3,304.89	9.2400	2,781.24	(523.65)		
Subtotal		2,308		25,353.73		21,325.92	(4,027.81)		
THE ENDOWMENT TEI FUND	01/01/07	1,814	55.1040	99,958.73	56.3632	102,242.84	2,284.11		
LP Est Mkt Price as of 11/30/10									
THE ENDOWMENT TEI FUND	11/01/08	1,021	48.9421	49,969.93	56.3632	57,546.83	7,576.90		
Subtotal		2,835		149,928.66		159,789.67	9,961.01		

+



TOTAL MERRILL

All Investments

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

ALTERNATIVE INVESTMENTS (continued)									
Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Estimated Current Yield%
TOTAL				225,282.39		242,615.59	17,333.20		
LONG PORTFOLIO									
			Adjusted/Total Cost Basis		Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Estimated Current Yield%	
TOTAL			276,711.90		295,945.10	19,133.20			3

YOUR RETIREMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS									
Date	Transaction Type	Quantity	Description	Income	Year To Date				
	Income Total		FIA CARD SERVICES NA RASP	.31					
	Subtotal (Tax-Exempt Dividends)			.31	9.56				
	NET TOTAL			.31	9.56				

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) *
Subtotal (Long-Term)							(7,110.33)
TOTAL							(7,110.33)

* - Excludes transactions for which we have insufficient data
The capital gains and losses shown above may not reflect all transactions which must be reported on your 2010 tax return. These reportable transactions will appear on your January statement.

YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

Year-End Plan Value as of December 31, 2010: \$295,845.10

Contributions after December 31, 2009 for 2009 : \$.00

+

Account/Nickname	Activity Status	Settle Date	Description	Symbol	Quantity	Price	Amount
729-12216 Alt Investments	Settled	8/9/2010	Purchase BAC CLIRN SPX SV=1101.53 S&P 500 INDX DUE JULY 27, 2012	CDK	5,000	\$10.00	-\$50,000.00
729-12216 Alt Investments	Settled	8/3/2010	Redeemed ML&CO STR ACC REDEMP SEC SV=1284.26 S&P 500 DUE AUGUST 03, 2010 SV=1284.26 S&P 500 DUE AUGUST 03, 2010 PAY DATE 08/03/2010	59023V589	-5,600		\$54,169.02
729-12216 Alt Investments	Settled	8/31/2010	Redeemed ML&CO STR ACC REDEMP SEC SV=190.876 DJAIG INDEX DUE AUGUST 31, 2010 SV=190.876 DJAIG INDEX DUE AUGUST 31, 2010 PAY DATE 08/31/2010	MLOCH	-5,000		\$38,880.00

DAVID PHILIP ROE

SCHEDULE 4



TOTAL MERRILL

Online at www.mymerrill.com

24-Hour Assistance: (800) MERRILL

MLPF&S CUST FPO
DR DAVID PHILIP ROE IRRA
FBO DR DAVID PHILIP ROE
2 N CROSSBOW LN
JOHNSON CITY TN 37604-3639

Net Portfolio Value: **\$1,672,063.88**

Your Financial Advisor:
SMITH/CONKIN/HAUSMAN
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
(423) 282-5191

PIA

This account is enrolled in the Personal Investment Advisory Program

December 01, 2010 - December 31, 2010

ASSETS	December 31	November 30
Cash/Money Accounts	126,206.02	125,056.66
Fixed Income	286,315.98	289,503.05
Equities	368,016.04	356,579.08
Mutual Funds	889,909.53	838,116.11
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	1,670,447.57	1,609,254.90
Estimated Accrued Interest	2,674.81	2,424.81
TOTAL ASSETS	\$1,673,122.38	\$1,611,679.71

CASH FLOW	This Statement	Year to Date
Opening Cash/Money Accounts	\$125,056.66	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	33.39	45,426.17
Subtotal	33.39	45,426.17
DEBITS		
Electronic Transfers	(10,004.36)	(190,419.09)
Other Debits	(10,004.36)	(190,419.09)
Subtotal	(99,970.97)	(\$144,992.92)
Net Cash Flow	(66,937.60)	(\$119,566.75)
Dividends/Interest Income	11,184.89	41,706.93
Dividend Reinvestments	(4,643.48)	(8,139.08)
Security Purchases/Debits	(47,368.07)	(945,082.08)
Security Sales/Credits	51,946.99	959,026.18
Closing Cash/Money Accounts	\$126,206.02	
Securities You Transferred In/Out	232.29	610.48

Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products: **Ave Not FDIC Insured Ave Not Bank Guaranteed May Lose Value**

PIA

24-Hour Assistance: (800) MERRILL

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2010 - December 31, 2010

TOTAL RETURN: Objective is to strike a balance between fixed-income instruments for current income and equities for growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

ITEMS FOR ATTENTION

Security	Message	Date	Security	Message	Date
CALL JEC 00048.000	Option Expiring	01/22/11	CALL CHRW 00080.000	Option Expiring	02/19/11
CALL F 00017.500	Option Expiring	01/22/11	CALL HES 00085.000	Option Expiring	02/19/11
WAL-MART STORES	Maturing	02/15/11			

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS						
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	106.70	106.70		106.70		
FIA CARD SERVICES NA RASP	126,099.32	126,099.32	1.0000	126,099.32	504	.40
TOTAL		126,206.02		126,206.02	504	.40

GOVERNMENT AND AGENCY SECURITIES ¹									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated/Current Annual Income	Yield%
FEDERAL HOME LN MTG CORP CALLABLE NOTES 03.500% OCT 14 2011 CUSIP: 3128X7FA7	05/28/09	20,000	20,960.51	101.9360	20,387.20	(573.31)	149.72	700	3.43
FEDERAL NATL MTG ASSOC CALLABLE NOTES 02.375% MAR 23 2012 CUSIP: 3136RHEV3	05/28/09	20,000	20,238.80	100.3430	20,068.60	(170.20)	129.31	475	2.36
FEDERAL HOME LOAN BANK BONDS 03.625% OCT 18 2013 CUSIP: 3133XSAE8	06/12/09	10,000	10,277.71	106.7810	10,678.10	400.39	73.51	363	3.39

+



TOTAL MERRILL

PIA

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

GOVERNMENT AND AGENCY SECURITIES ¹ (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income	Current Yield%
FEDERAL HOME LOAN BANK CALLABLE BONDS 03.300% MAR 17 2016 CUSIP: 3133XXAG2	03/04/10	10,000	9,990.00	100.5120	10,051.20	61.20	95.33	330	3.28
U.S. TREASURY NOTE 3.250% MAY 31 2016 CUSIP: 912828KW9	06/11/09	8,000	7,851.25	105.4770	8,438.16	586.91	22.14	260	3.08
U.S. TREASURY NOTE Subtotal	02/12/10	6,000	6,135.94	105.4770	6,328.62	192.68	16.61	195	3.08
		14,000	13,987.19		14,766.78	779.59	38.75	455	3.08
FEDERAL HOME LOAN BANK BONDS 03.500% DEC 09 2016 CUSIP: 3133XMRJ2	12/08/09	10,000	10,143.10	103.9820	10,398.20	255.10	21.39	350	3.36
FEDERAL HOME LOAN BANK CALLABLE BONDS 03.250% MAY 26 2020 CUSIP: 313370MX3	09/03/10	10,000	9,990.00	96.4600	9,646.00	(344.00)	31.60	325	3.36
TOTAL		94,000	95,587.31		95,996.08	408.77	539.61	2,998	3.12
CORPORATE BONDS									
WAL-MART STORES GLB 04.125% FEB 15 2011 MOODY'S: A2 S&P: AA CUSIP: 931142BV4	05/19/08	25,000	25,558.75	100.4240	25,108.00	(452.75)	389.58	1,032	4.10
TOYOTA MOTOR CREDIT CORP GLB 05.450% MAY 18 2011 MOODY'S: A2 S&P: AA CUSIP: 892332AQ0	05/22/08	25,000	26,372.50	101.9470	25,486.75	(885.75)	162.74	1,363	5.34
WACHOVIA CORPORATION GLB 05.300% OCT 15 2011 MOODY'S: A1 S&P: AA- CUSIP: 929903CF7	07/23/08	20,000	18,957.20	103.6490	20,729.80	1,772.60	223.78	1,060	5.11
HEWLETT-PACKARD CO GLB 05.250% MAR 01 2012 MOODY'S: A2 S&P: A CUSIP: 428236AL7	06/05/08	25,000	25,733.75	105.1110	26,277.75	544.00	437.50	1,313	4.99

PIA

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

CORPORATE BONDS (continued)		Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Description										
GENERAL ELECTRIC COMPANY GLB 05.000% FEB 01 2013 MOODY'S: A2 S&P: AA+ CUSIP: 369604A9		05/19/08	25,000	25,785.75	106.8970	26,724.25	938.50	520.83	1,250	4.67
E.I. DU PONT DE NEMOURS GLB 04.875% APR 30 2014 MOODY'S: A2 S&P: A CUSIP: 263634B8		05/19/08	25,000	25,367.25	108.9060	27,226.50	1,859.25	203.13	1,219	4.47
PROCTER & GAMBLE CO GLB 04.850% DEC 15 2015 MOODY'S: A3 S&P: AA CUSIP: 742718BZ1		05/22/08	25,000	25,830.00	111.5190	27,879.75	2,049.75	53.89	1,213	4.34
GOLDMAN SACHS GROUP INC GLB 05.750% OCT 01 2016 MOODY'S: A1 S&P: A CUSIP: 38141GER1		06/18/10	10,000	10,505.80	108.8910	10,889.10	383.30	143.75	575	5.28
TOTAL			180,000	184,111.00		190,319.90	6,208.90	2,135.20	9,025	4.74

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES		Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
Description											
ANADARKO PETE CORP <i>Subtotal</i>		APC	12/16/10	10	65.5880	655.88	76.1600	761.60	105.72	4	.47
			12/16/10	220	66.6469	14,662.32	76.1600	16,755.20	2,092.88	80	.47
C.H. ROBINSON WORLDWIDE, INC. NEW		CHRW	05/05/10	230	60.9534	15,318.20	80.1900	17,516.80	2,198.60	84	.47
				247	60.9534	15,055.49	80.1900	19,806.93	4,751.44	287	1.44
CISCO SYSTEMS INC. COM		CSCO	08/10/07	54	31.2300	1,686.42	20.2300	1,092.42	(594.00)		
			10/05/07	109	32.5600	3,549.04	20.2300	2,205.07	(1,343.97)		
			10/18/07	38	32.3100	1,227.78	20.2300	768.74	(459.04)		
			05/19/08	125	26.6580	3,332.26	20.2300	2,528.75	(803.51)		
			07/07/08	56	23.1473	1,296.25	20.2300	1,132.88	(163.37)		

+



TOTAL MERRILL

PIA

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
				Cost Basis	Unit						
CISCO SYSTEMS INC COM	CSCO	08/04/08	11	21.9000		240.90	20.2300	222.53	(18.37)		
		12/09/08	17	17.2000		292.40	20.2300	343.91	51.51		
		02/24/09	128	14.6599		1,876.47	20.2300	2,589.44	712.97		
		12/06/10	222	19.5200		4,333.44	20.2300	4,491.06	157.62		
Subtotal			760			17,834.96		15,374.80	(2,460.16)		
Coca Cola COM	KO	03/02/09	255	40.4890		10,324.71	65.7700	16,771.35	6,446.64		449
		08/27/09	18	48.9800		881.64	65.7700	1,183.86	302.22		32
Subtotal			273			11,206.35		17,955.21	6,748.86		481
CORNING INC	GLW	07/06/10	817	16.6629		13,613.59	19.3200	15,784.44	2,170.85		184
FEDERATED INVESTRS B	FI	09/23/09	240	27.1020		6,504.48	26.1700	6,280.80	(223.68)		231
		09/25/09	43	25.5525		1,098.76	26.1700	1,125.31	26.55		42
		11/03/09	249	26.7867		6,669.89	26.1700	6,516.33	(153.56)		240
		11/05/09	29	26.4075		765.82	26.1700	758.93	(6.89)		28
		04/30/10	58	24.4496		1,418.08	26.1700	1,517.86	99.78		56
Subtotal			619			16,457.03		16,189.23	(267.80)		597
FORD MOTOR CO NEW	F	08/14/09	175	7.8522		1,374.14	16.7900	2,938.25	1,564.11		
		08/25/09	975	7.6000		7,410.00	16.7900	16,370.25	8,960.25		
		09/18/09	204	6.9900		1,425.96	16.7900	3,425.16	1,999.20		
Subtotal			1,354			10,210.10		22,733.66	12,523.56		
HESS CORP	HES	10/05/10	56	60.7946		3,404.50	76.5400	4,286.24	881.74		23
		10/07/10	188	61.7500		11,609.00	76.5400	14,389.52	2,780.52		76
Subtotal			244			15,013.50		18,675.76	3,662.26		99
HEWLETT PACKARD CO DEL	HPQ	05/17/10	151	47.4300		7,161.93	42.1000	6,367.10	(804.83)		49
		05/25/10	154	44.4066		6,838.62	42.1000	6,483.40	(355.22)		50
		08/09/10	38	43.1692		1,640.43	42.1000	1,599.80	(40.63)		13
Subtotal			343			15,640.98		14,440.30	(1,200.68)		112
HONEYWELL INTL INC DEL	HON	10/13/08	266	33.0194		8,783.17	53.1600	14,140.56	5,357.39		322
		12/09/08	11	27.6600		304.26	53.1600	584.76	280.50		14
		02/23/09	31	28.9200		896.52	53.1600	1,647.96	751.44		38
Subtotal			308			9,983.95		16,373.28	6,389.33		374

PIA

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

EQUITIES (continued)		Symbol		Quantity	Unit		Total		Estimated	Estimated	Unrealized	Estimated Current
Description	Acquired		Cost Basis		Cost Basis	Market Price	Market Value	Gain/(Loss)			Annual Income	Yield%
JACOBS ENGN GRP INC DELA	JEC 12/09/10	173	42,2000		7,300.60	45,8500	7,932.05	631.45				
	12/10/10	178	42,3374		7,536.06	45,8500	8,161.30	625.24				
Subtotal		351			14,836.66		16,093.35	1,256.69				
MARATHON OIL CORP	MRO 11/12/10	451	33,9043		15,290.88	37,0300	16,700.53	1,409.65				451
	MCD 06/03/08	175	57,6145		10,082.55	76,7600	13,433.00	3,350.45				427
MCDONALDS CORP COM	07/07/08	27	57,9400		1,564.38	76,7600	2,072.52	508.14				66
	12/09/08	6	59,6600		357.96	76,7600	460.56	102.60				15
Subtotal		208			12,004.89		15,966.08	3,961.19				508
MCKESSON CORPORATION COM	MCK 08/05/10	232	62,3193		14,458.10	70,3800	16,328.16	1,870.06				168
NEXTERA ENERGY INC SHS	NEE 11/03/10	278	54,6955		15,205.35	51,9900	14,453.22	(752.13)				556
PRUDENTIAL FINANCIAL INC	PRU 06/03/10	130	59,8200		7,776.60	58,7100	7,632.30	(144.30)				150
	06/08/10	75	54,9000		4,117.50	58,7100	4,403.25	285.75				87
	06/08/10	14	54,2528		759.54	58,7100	821.94	62.40				17
	11/03/10	68	53,2000		3,617.60	58,7100	3,992.28	374.68				79
Subtotal		287			16,271.24		16,849.77	578.53				333
QUEST DIAGNOSTICS INC	DGX 11/03/10	301	50,6037		15,231.74	53,9700	16,244.97	1,013.23				121
TEVA PHARMACTCL INDS ADR	TEVA 06/24/08	214	44,8772		9,603.73	52,1300	11,155.82	1,552.09				143
	07/07/08	30	43,8600		1,315.80	52,1300	1,563.90	248.10				21
	12/09/08	7	42,1700		295.19	52,1300	364.91	69.72				5
	06/17/10	4	53,8450		215.38	52,1300	208.52	(6.86)				3
Subtotal		255			11,430.10		13,293.15	1,863.05				172
UNITED TECHS CORP COM	UTX 08/14/09	82	56,6860		4,648.26	78,7200	6,455.04	1,806.78				140
	08/28/09	113	59,5984		6,734.62	78,7200	8,895.36	2,160.74				193
Subtotal		195			11,382.88		15,350.40	3,967.52				333
VALERO ENERGY CORP NEW	VLO 04/22/10	703	19,1112		13,435.18	23,1200	16,253.36	2,818.18				141
	06/23/10	57	18,1264		1,033.21	23,1200	1,317.84	284.63				12
Subtotal		760			14,468.39		17,571.20	3,102.81				153

+

011

3062



YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT
Description

PIA

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Description		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
Equity 100%											
.9110 Fractional Share					20.51	22.9800	20.93	.42			1 1.61
GUGGENHEIM BULLETSHARES				677	14,253.22	20.6700	13,993.59	(259.63)	14,253	(259)	416 2.96
2016 CORPORATE BOND ETF											
SYMBOL: BSCG				Initial Purchase: 09/16/10							
Fixed Income 100%											
ISHARES S&P 500 VALUE				677	36,545.08	59.5800	40,342.43	3,797.35	36,545	3,797	841 2.08
INDEX FUND											
SYMBOL: NE				Initial Purchase: 09/08/09							
Equity 100%											
ISHARES TRUST S&P MIDCAP				219	18,649.27	90.6900	19,861.11	1,211.84	18,649	1,211	210 1.05
400 INDEX FUND											
SYMBOL: LH				Initial Purchase: 11/16/10							
Equity 100%											
ISHARES S&P GLOB. HEALTH				392	16,980.04	51.7600	20,289.92	3,309.88	16,980	3,309	447 2.20
CARE SECTOR INDEX FUND											
SYMBOL: IXJ				Initial Purchase: 01/22/09							
Equity 100%											
ISHARES S&P GLOB. TELECOM.				374	16,876.41	58.2700	21,792.98	4,916.57	16,876	4,916	923 4.23
MUNICATIONS SECTOR INDEX											
SYMBOL: IXP				Initial Purchase: 07/07/08							
Equity 100%											
ISHARES MSCI EMERGING				1,043	40,483.28	47.6420	49,690.61	9,207.33	40,483	9,207	674 1.35
MKTS INDEX FUND											
SYMBOL: EEM				Initial Purchase: 02/11/10							

+



TOTAL MERRILL

PIA

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010- December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income	Current Yield%
Equity 100%									
ISHARES BARCLAYS AGGRGT	247	25,046.53	105.7500	26,120.25	1,073.72	25,046	1,073	911	3.48
AGGREGATE BD FUND									
SYMBOL: AGG	Initial Purchase: 01/23/09								
Fixed Income 100%									
ISHARES TR DOW JONES US	610	33,755.40	58.9100	35,935.10	2,179.70	33,755	2,179	50	.13
SYMBOL: IHI	Initial Purchase: 03/05/07								
Equity 100%									
ISHARES BARCLAYS INTERME	138	14,368.82	105.1800	14,514.94	146.02	14,368	146	604	4.16
SYMBOL: CIU	Initial Purchase: 05/12/10								
Fixed Income 100%									
ISHARES IBOX\$ HIGH YEL	159	13,513.98	90.2900	14,356.11	842.13	13,513	842	1,185	8.25
CORPORATE BOND FUND									
SYMBOL: HYG	Initial Purchase: 06/29/10								
Fixed Income 100%									
ISHARES TR DOW JONES US	1,546	68,678.66	64.3800	99,531.48	30,852.82	68,678	30,852	426	.42
TECHNOLOGY SECTOR INDX F									
SYMBOL: IYW	Initial Purchase: 07/07/08								
Equity 100%									
KAYNE ANDERSON MLP	813	20,970.81	31.4700	25,586.11	4,614.30	20,970	4,614	1,578	6.16
SYMBOL: KYN	Initial Purchase: 08/18/10								
Equity 100%									
LORD ABBETT FLOATING	1,003	9,296.17	9.3200	9,347.96	51.79	8,927	420	498	5.32
RATE FUND CL F									

+

PIA

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
SYMBOL: LFRFX Initial Purchase:03/29/10									
Fixed Income 100%									
.3530 Fractional Share		3.28	9.3200	3.29	.01			1	5.32
OPPENHEIMER									
INTERNATIONAL BOND FD Y	2.688	17,245.54	6.5600	17,633.28	387.74	13,608	4,024	777	4.40
SYMBOL: OIEYX Initial Purchase:07/07/08									
Fixed Income 100%									
.3640 Fractional Share		2.38	6.5600	2.39	.01			1	4.40
PENNSYLVANIA MUTUAL FD									
SYMBOL: PENVX Initial Purchase:07/22/09	2,732	22,732.70	11.6500	31,827.80	9,095.10	22,549	9,278	145	.45
Equity 100%									
.1190 Fractional Share		1.36	11.6500	1.39	.03			1	.45
PIMCO TOTAL RETURN FUND									
CL P	1,058	11,764.58	10.8500	11,479.30	(285.28)	11,029	449	361	3.14
SYMBOL: PTPPX Initial Purchase:05/21/10									
Fixed Income 100%									
.1210 Fractional Share		1.31	10.8500	1.31				1	3.14
POWERSHARES BUILD AMERIC									
BOND ETF	849	21,133.65	25.0800	21,292.92	159.27	21,133	159	1,254	5.88
SYMBOL: BAB Initial Purchase:02/12/10									
Fixed Income 100%									
SECTOR SPDR ENERGY									
SYMBOL: XLE Initial Purchase:11/23/10	779	48,592.85	68.2500	53,166.75	4,573.90	48,592	4,573	776	1.45
Equity 100%									
SECTOR SPDR FINANCIAL									
	1,978	28,394.34	15.9500	31,549.10	3,154.76	28,394	3,154	309	.97

+

011

3062



TOTAL MERRILL

PIA

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment Return (\$)	Annual Current Income Yield%
MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)								
SYMBOL: XLF Initial Purchase: 05/10/10 Equity 100%								
SECTOR SPDR UTILITIES	1,280	38,091.52	31.3400	40,115.20	2,023.68	38,091	2,023	1,626 4.05
SYMBOL: XLU Initial Purchase: 09/25/08 Equity 100%								
SPDR BARCLAYS CAPTL CONV	473	17,465.60	41.0500	19,416.65	1,951.05	17,465	1,951	834 4.29
SYMBOL: CWB Initial Purchase: 09/11/09 Fixed Income 24% Equity 76%								
SPDR DB INTL GOV INFL	324	19,289.13	58.1100	18,827.64	(461.49)	19,289	(461)	444 2.35
SYMBOL: WIP Initial Purchase: 05/19/08 Fixed Income 100%								
STANDARD&POORS DEP RCPT	165	19,606.95	125.7500	20,748.75	1,141.80	19,606	1,141	374 1.80
SYMBOL: SPY Initial Purchase: 11/23/10 Equity 100%								
SUNAMERICA SENIOR	1,056	8,522.75	8.2700	8,733.12	210.37	8,336	396	363 4.14
FLOATING RATE FUND CL A								
SYMBOL: SASFX Initial Purchase: 05/24/10 Fixed Income 100%								
8220 Fractional Share		6.70	8.2700	6.80	.10			1 4.14
TOW TOTAL RETURN	1,411	14,435.91	9.9200	13,997.12	(438.79)	13,547	449	1,073 7.66
BOND FD CL I								
SYMBOL: TGLMX Initial Purchase: 06/29/10 Fixed Income 100%								
.7240 Fractional Share		7.17	9.9200	7.18	.01			1 7.66

+

PIA

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment Return (\$)	Annual Current Income Yield%
TEMPLETON GBL BOND FD	1,288	15,657.76	13.5600	17,465.28	1,807.52	14,467	2,998	790 4.52
ADV CL								
SYMBOL: TGBAX Initial Purchase: 06/30/09								
Fixed Income 100%								
.9840 Fractional Share		13.24	13.5600	13.34	.10			1 4.52
VANGUARD FTSE ALL WORLD	1,559	69,587.08	47.7300	74,411.07	4,823.99	69,587	4,823	1,589 2.13
EX US								
SYMBOL: VEU Initial Purchase: 12/04/09								
Equity 100%								
Subtotal (Fixed Income)				234,867.27				
Subtotal (Equities)				655,042.26				
TOTAL		789,651.01		889,909.53	100,258.52		118,513	21,994 2.47

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment.

Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for tax planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

+

011

3062



TOTAL MERRILL

PIA

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	1,505,352.77	1,670,447.57	165,094.80	2,674.81	40,199	2.41

Notes

* Some agency securities are not backed by the full faith and credit of the United States government.
For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

YOUR RETIREMENT ACCOUNT LIABILITIES

SHORTS	Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CALL CHRW	00080.000	11/22/10	2	0.5699	119.99	2.8600	572.00	(452.01)		
	C.H. ROBINSON WORLDWIDE, EXP 02-19-2011									
CALL JEC	00048.000	12/22/10	3	0.9499	284.99	0.3750	112.50	172.49		
	JACOBS ENGN GRP INC DELA EXP 01-22-2011									
CALL F	00017.500	11/04/10	13	0.3199	415.99	0.2000	260.00	155.99		
	FORD MOTOR CO NEW EXP 01-22-2011									
CALL HES	00085.000	12/01/10	2	0.7899	157.99	0.5700	114.00	43.99		
	HESS CORP EXP 02-19-2011									
TOTAL					978.96		1,058.50	(79.54)		

729-12217 PIA	Settled	1/12/2010	Purchase AUTONATION INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AN	313	\$20.65	-\$6,462.29
729-12217 PIA	Settled	1/12/2010	Purchase GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	151	\$38.23	-\$5,772.88
729-12217 PIA	Settled	1/19/2010	Purchase ITT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITT	150	\$50.71	-\$7,607.06
729-12217 PIA	Settled	1/20/2010	Purchase ISHARES S&P 500 VALUE INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IVE	348	\$54.98	-\$19,134.54
729-12217 PIA	Settled	1/20/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	456	\$45.42	-\$20,710.61
729-12217 PIA	Settled	1/20/2010	Purchase VANGUARD MID-CAP ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VO	76	\$62.19	-\$4,726.09
729-12217 PIA	Settled	1/26/2010	Purchase CALL IPI 00038.000 INTREPID POTASH INC EXP 02- 20-10 CLOSE TRAN IPI FEB 38.00000	IPI11020B	6	\$0.05	-\$30.00

729-12217 PIA	Settled	2/8/2010	Purchase ITT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITT	141	\$49.74	-\$7,013.88
729-12217 PIA	Settled	2/9/2010	Purchase CALL XME 00056.000 SPDR S P METALS MINING EXP 02-20-10 CLOSE TRAN XME FEB 56.00000	XME1020	6	\$0.04	-\$24.00
729-12217 PIA	Settled	2/9/2010	Purchase SPDR GOLD TRUST	GLD	94	\$104.12	-\$9,787.27
729-12217 PIA	Settled	2/10/2010	Purchase CALL MOS 00070.000 MOSAIC CO EXP 02-20-10 CLOSE TRAN MOS FEB 70.00000	MOS1020	3	\$0.05	-\$15.00
729-12217 PIA	Settled	2/16/2010	Purchase CALL RIG 00095.000 TRANSOCCEAN LTD EXP 02-20-10 CLOSE TRAN RIG FEB 95.00000	RIG1020	2	\$0.03	-\$6.00
729-12217 PIA	Settled	2/16/2010	Purchase U.S. TREASURY NOTE 3.250% MAY 31 2016 PER THE PIA AGREEMENT YLD TO MATURITY 2.85% MATURITY DATE 5/31/16. 78 DAYS INTEREST	912828K	6,000	\$102.27	-\$6,177.73
729-12217 PIA	Settled	2/16/2010	Purchase U.S. TREASURY NOTE 3.625% AUG 15 2019 PER THE PIA AGREEMENT YLD TO MATURITY 3.64% MATURITY DATE 8/15/19. 1 DAYS INTEREST	912828L	6,000	\$99.80	-\$5,988.88
729-12217 PIA	Settled	2/17/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	316	\$38.14	-\$12,051.13
729-12217 PIA	Settled	2/18/2010	Purchase POWERSHARES BUILD AMERIC BOND ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	BAB	352	\$24.59	-\$8,656.84

729-12217 PIA	Settled	2/22/2010	Purchase CALL F 00011.000 FORD MOTOR CO NEW EXP 02-20-10 CLOSE TRAN F FEB 11.00000	F1020B1	20	\$0.30	-\$600.00
729-12217 PIA	Settled	3/2/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD	EEM	329	\$38.00	-\$12,502.00
729-12217 PIA	Settled	3/8/2010	Purchase GENL DYNAMICS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GD	200	\$74.04	-\$14,808.34
729-12217 PIA	Settled	3/9/2010	Purchase TORCHMARK CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TMK	309	\$48.61	-\$15,019.69
729-12217 PIA	Settled	3/17/2010	Purchase FEDERAL HOME LOAN BANK CALLABLE BONDS 03.300% MAR 17 2016 CALLABLE-MAY AFFECT YIEL YLD TO MATURITY 3.31% MATURITY DATE 3/17/16. YLD TO CURR CALL 3.40% CURR CALL DATE 3/17/11 CURR CALL PRICE \$100.00. INTEREST FROM 03/17/10 FIRST COUPON 09/17/10	3133XXA	10,000	\$99.90	-\$9,990.00
729-12217 PIA	Settled	3/22/2010	Purchase BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .349 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR U SEPARATE COVER	BPRIX	565	\$10.88	-\$6,151.00
729-12217 PIA	Settled	3/30/2010	Purchase LORD ABBETT FLOATING RATE FUND CL F FRAC SHR QUANTITY .107 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR U SEPARATE COVER	LFRFX	963	\$9.27	-\$8,928.00

729-12217 PIA	Settled	4/1/2010	Purchase POWERSHARES BUILD AMERIC BOND ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	BAB	366	\$24.86	-\$9,098.36
729-12217 PIA	Settled	4/9/2010	Purchase LABORATORY CP AMER HLDGS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LH	195	\$77.19	-\$15,052.65
729-12217 PIA	Settled	4/9/2010	Purchase LABORATORY CP AMER HLDGS PER THE PIA AGREEMENT ML ACTED AS AGENT	LH	5	\$77.19	-\$385.95
729-12217 PIA	Settled	4/9/2010	Purchase STATE STREET CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	STT	324	\$46.52	-\$15,071.64
729-12217 PIA	Settled	4/12/2010	Purchase ISHARES BARCLAYS MBS BON FUND ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	MBB	7	\$106.35	-\$744.45
729-12217 PIA	Settled	4/12/2010	Purchase SPDR DB INTL GOV INFL	WIP	31	\$55.53	-\$1,721.43
729-12217 PIA	Settled	4/14/2010	Purchase SPDR S P OIL GAS EXPLOR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XOP	144	\$44.40	-\$6,393.50
729-12217 PIA	Settled	4/16/2010	Purchase BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .966 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR U SEPARATE COVER	BPRIX	570	\$10.88	-\$6,212.00

729-12217 PIA	Settled	4/20/2010	Purchase CALL MOS 00070.000 MOSAIC CO EXP 05-22-10 CLOSE TRAN MOS MAY 70.00000	MOS1022	3	\$0.10	-\$30.00
729-12217 PIA	Settled	4/27/2010	Purchase VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	775	\$19.11	-\$14,811.18
729-12217 PIA	Settled	5/4/2010	Purchase CALL RIG 00095.000 TRANSOCCEAN LTD EXP 05-22- 10 CLOSE TRAN RIG MAY 95.00000	RIG1022	2	\$0.09	-\$18.00
729-12217 PIA	Settled	5/4/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	442	\$44.11	-\$19,495.16
729-12217 PIA	Settled	5/5/2010	Purchase AUTONATION INC PER THE PIA AGREEMENT ML ACTED AS AGENT	AN	28	\$20.17	-\$564.74
729-12217 PIA	Settled	5/5/2010	Purchase EXXON MOBIL CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	XOM	9	\$68.53	-\$616.75
729-12217 PIA	Settled	5/5/2010	Purchase FEDERATED INVESTRS B PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FII	58	\$24.45	-\$1,418.08
729-12217 PIA	Settled	5/5/2010	Purchase GENUINE PARTS CO PER THE PIA AGREEMENT ML ACTED AS AGENT	GPC	1	\$43.12	-\$43.12
729-12217 PIA	Settled	5/5/2010	Purchase ISHARES S&P 500 VALUE INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IVE	129	\$57.75	-\$7,449.48

729-12217 PIA	Settled	5/5/2010	Purchase ISHARES TR DOW JONES US EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IHI	75	\$60.08	-\$4,506.11
729-12217 PIA	Settled	5/5/2010	Purchase SPDR GOLD TRUST ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	GLD	12	\$115.51	-\$1,386.10
729-12217 PIA	Settled	5/5/2010	Purchase SPDR S P METALS MINING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XME	436	\$55.95	-\$24,392.33
729-12217 PIA	Settled	5/5/2010	Purchase STATE STREET CORP PER THE PIA AGREEMENT ML ACTED AS AGENT	STT	22	\$43.80	-\$963.57
729-12217 PIA	Settled	5/5/2010	Purchase VANGUARD FTSE ALL WORLD EX US	VEU	16	\$43.73	-\$699.67
729-12217 PIA	Settled	5/5/2010	Purchase WISDOMTREE EUR S/C DIV EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	DFE	124	\$37.86	-\$4,694.64
729-12217 PIA	Settled	5/7/2010	Purchase CALL EEM 00046.000 ISHARES MSCI EMERGING EXP 06-19-10 CLOSE TRAN EEM JUN 46.00000	EEM1019	6	\$0.07	-\$42.00
729-12217 PIA	Settled	5/10/2010	Purchase C.H. ROBINSON WORLDWIDE, INC. NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CHRW	247	\$60.95	-\$15,055.49

729-12217 PIA	Settled	5/10/2010	Purchase JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	329	\$45.70	-\$15,034.12
729-12217 PIA	Settled	5/12/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	159	\$112.62	-\$17,906.58
729-12217 PIA	Settled	5/13/2010	Purchase SECTOR SPDR FINANCIAL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLF	594	\$15.89	-\$9,436.17
729-12217 PIA	Settled	5/17/2010	Purchase ISHARES BARCLAYS INTERME ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	CIU	138	\$104.12	-\$14,368.82
729-12217 PIA	Settled	5/19/2010	Purchase CALL F 00014.000 FORD MOTOR CO NEW EXP 06- 19-10 CLOSE TRAN F JUN 14.00000	F1019F1	18	\$0.10	-\$180.00
729-12217 PIA	Settled	5/20/2010	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	151	\$47.43	-\$7,161.93
729-12217 PIA	Settled	5/24/2010	Purchase PIMCO TOTAL RETURN FUND CL P FRAC SHR QUANTITY .734 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	PTTPX	991	\$11.13	-\$11,038.00
729-12217 PIA	Settled	5/26/2010	Purchase CALL MOS 00060.000 MOSAIC CO EXP 06-19-10 CLOSE TRAN MOS JUN 60.00000	MOS101	2	\$0.06	-\$11.68

729-12217 PIA	Settled	5/27/2010	Purchase SUNAMERICA SENIOR FLOATING RATE FUND CL A FRAC SHR QUANTITY .333 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER SALES CHG PD \$0.00 SALES CHG % 0.000	SASFX	1,033		\$8.07	-\$8,339.00
729-12217 PIA	Settled	5/28/2010	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	154		\$44.41	-\$6,838.62
729-12217 PIA	Settled	5/28/2010	Purchase KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	227		\$59.99	-\$13,616.73
729-12217 PIA	Settled	5/28/2010	Purchase SECTOR SPDR FINANCIAL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLF	664		\$13.93	-\$9,252.57
729-12217 PIA	Settled	6/1/2010	Purchase ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	198		\$34.73	-\$6,877.33
729-12217 PIA	Settled	6/2/2010	Purchase CALL RIG 00075.000 TRANSOCEAN LTD EXP 07-17, 10 CLOSE TRAN RIG JUL 75.00000	RIG1017	2		\$0.20	-\$40.00
729-12217 PIA	Settled	6/2/2010	Purchase POWERSHARES BUILD AMERIC BOND ETF EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	BAB	131		\$25.79	-\$3,378.45

729-12217 PIA	Settled	6/8/2010	Purchase ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	4	\$35.94	-\$143.76
729-12217 PIA	Settled	6/8/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	130	\$59.82	-\$7,776.60
729-12217 PIA	Settled	6/9/2010	Purchase ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDEX EXECUTED ON MULTIPLE MAR PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IYW	201	\$54.73	-\$10,999.77
729-12217 PIA	Settled	6/11/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	75	\$54.90	-\$4,117.50
729-12217 PIA	Settled	6/11/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	14	\$54.25	-\$759.54
729-12217 PIA	Settled	6/15/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD	EEM	117	\$38.28	-\$4,478.69

729-12217 PIA	Settled	6/16/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	59	\$38.48	-\$2,270.26
729-12217 PIA	Settled	6/18/2010	Purchase CALL JEC 00055.000 JACOBS ENGN GRP INC DELA EXP 07-17-10 CLOSE TRAN JEC JUL 55.00000	JEC1017	3	\$0.08	-\$24.66
729-12217 PIA	Settled	6/21/2010	Purchase CALL RIG 00055.000 TRANSOCCEAN LTD EXP 07-17- 10 CLOSE TRAN RIG JUL 55.00000	RIG1017	2	\$2.28	-\$456.72
729-12217 PIA	Settled	6/22/2010	Purchase TEVA PHARMACTCL INDS ADR PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TEVA	4	\$53.85	-\$215.38
729-12217 PIA	Settled	6/23/2010	Purchase GOLDMAN SACHS GROUP INC GLB 05.750% OCT 01 2016 RATINGS ARE SUBJ. TO CHG PER THE PIA AGREEMENT MOODYS A1 S&P A YLD TO MATURITY 4.80% MATURITY DATE 10/01/16. 82 DAYS INTEREST	GS16A	10,000	\$105.06	-\$10,636.77
729-12217 PIA	Settled	6/24/2010	Purchase CALL F 00013.000 FORD MOTOR CO NEW EXP 07- 17-10 CLOSE TRAN F JUL 13.00000	F1017G1	13	\$0.02	-\$26.00
729-12217 PIA	Settled	6/28/2010	Purchase VALERO ENERGY CORP NEW PER THE PIA AGREEMENT ML ACTED AS AGENT	VLO	57	\$18.13	-\$1,033.21
729-12217 PIA	Settled	6/30/2010	Purchase AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FRAC SHR QUANTITY .396 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	AHIFX	34	\$10.67	-\$367.01
729-12217 PIA	Settled	6/30/2010	Purchase TCW TOTAL RETURN BOND FD CL I FRAC SHR QUANTITY .824 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	TGLMX	663	\$10.20	-\$6,771.00

729-12217 PIA	Settled	7/2/2010	Purchase ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	196	\$35.24	-\$6,906.18
729-12217 PIA	Settled	7/2/2010	Purchase ISHARES IBOX\$ HIGH YIEL CORPORATE BOND FUND ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	HYG	159	\$84.99	-\$13,513.98
729-12217 PIA	Settled	7/2/2010	Purchase ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IYW	528	\$52.59	-\$27,766.73
729-12217 PIA	Settled	7/6/2010	Purchase STANDARD&POORS DEPRCT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	86	\$103.40	-\$8,892.39
729-12217 PIA	Settled	7/8/2010	Purchase CALL PRU 00065.000 PRUDENTIAL FINANCIAL INC EXP 07-17-10 CLOSE TRAN PRU JUL 65.00000	PRU1017	2	\$0.06	-\$12.24
729-12217 PIA	Settled	7/8/2010	Purchase SECTOR SPDR FINANCIAL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLFF	720	\$13.48	-\$9,705.60
729-12217 PIA	Settled	7/9/2010	Purchase CORNING INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GLW	817	\$16.66	-\$13,613.59

729-12217 PIA	Settled	7/21/2010	Purchase CALL JEC 00046.000 JACOBS ENGN GRP INC DELA EXP 08-21-10 CLOSE TRAN JEC AUG 46.00000	JEC1021	3	\$0.05	-\$15.00
729-12217 PIA	Settled	7/21/2010	Purchase LOWE'S COMPANIES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LOW	683	\$20.11	-\$13,734.65
729-12217 PIA	Settled	7/22/2010	Purchase CALL RIG 00060.000 TRANSOCCEAN LTD EXP 08-21- 10 CLOSE TRAN RIG AUG 60.00000	RIG1021	2	\$0.25	-\$50.00
729-12217 PIA	Settled	7/28/2010	Purchase CALL VLO 00023.000 VALERO ENERGY CORP NEW EXP 09-18-10 CLOSE TRAN VLO SEP 23.00000	VLO1018	7	\$0.06	-\$40.81
729-12217 PIA	Settled	8/5/2010	Purchase CALL TMK 00060.000 TORCHMARK CORP COM EXP 11-20-10 CLOSE TRAN TMK NOV 60.00000	TMK1020	2	\$0.87	-\$174.80
729-12217 PIA	Settled	8/9/2010	Purchase WALGREEN CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WAG	504	\$28.55	-\$14,389.75
729-12217 PIA	Settled	8/10/2010	Purchase COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVH	673	\$21.40	-\$14,401.59

729-12217 PIA	Settled	8/10/2010	Purchase MCKESSON CORPORATION COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MCK	232	\$62.32	-\$14,458.10
729-12217 PIA	Settled	8/12/2010	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	38	\$43.17	-\$1,640.43
729-12217 PIA	Settled	8/12/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	109	\$42.37	-\$4,618.66
729-12217 PIA	Settled	8/12/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	105	\$43.92	-\$4,611.22
729-12217 PIA	Settled	8/16/2010	Purchase BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .293 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	BPRIX	177	\$11.23	-\$1,991.00
729-12217 PIA	Settled	8/16/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD	EEM	113	\$40.38	-\$4,562.54
729-12217 PIA	Settled	8/16/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	109	\$41.81	-\$4,556.94

729-12217 PIA	Settled	8/19/2010	Purchase TCW TOTAL RETURN BOND FD CL I FRAC SHR QUANTITY .019 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	TGLMX	660	\$10.28	-\$6,785.00
729-12217 PIA	Settled	8/23/2010	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	358	\$25.60	-\$9,164.66
729-12217 PIA	Settled	8/24/2010	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	236	\$25.71	-\$6,068.22
729-12217 PIA	Settled	8/27/2010	Purchase STANDARD&POORS DEP RCPT	SPY	85	\$105.88	-\$8,999.80
729-12217 PIA	Settled	8/27/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	85	\$105.91	-\$9,002.30
729-12217 PIA	Settled	9/1/2010	Purchase CALL PRU 00065.000 PRUDENTIAL FINANCIAL INC EXP 09-18-10 CLOSE TRAN PRU SEP 65.00000	PRU1018	2	\$0.05	-\$10.00
729-12217 PIA	Settled	9/1/2010	Purchase CALL RIG 00070.000 TRANSOCEAN LTD EXP 09-18- 10 CLOSE TRAN RIG SEP 70.00000	RIG1018	2	\$0.06	-\$12.00

729-12217 PIA	Settled	9/7/2010	Purchase FEDERAL HOME LOAN BANK CALLABLE BONDS 03.250% MAY 26 2020 CALLABLE-MAY AFFECT YIEL ENCLSR PREVIOUSLY MAILED YLD TO MATURITY 3.26% MATURITY DATE 5/26/20. YLD TO CURR CALL 3.35% CURR CALL DATE 8/26/11 CURR CALL PRICE \$100.00. INTEREST FROM 08/26/10 FIRST COUPON 11/26/10	313370M	10,000	\$99.90	-\$9,999.93
729-12217 PIA	Settled	9/10/2010	Purchase CALL F 00013.000 FORD MOTOR CO NEW EXP 09- 18-10 CLOSE TRAN F SEP 13.00000	F1018113	13	\$0.03	-\$39.00
729-12217 PIA	Settled	9/21/2010	Purchase CLAYMORE BULLETSHARES 2016 CORPORATE BOND ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	BSCG	677	\$21.05	-\$14,253.22
729-12217 PIA	Settled	9/22/2010	Purchase OPPENHEIMER INTERNATIONAL BOND FD Y FRAC SHR QUANTITY .555 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	OIBYX	464	\$6.63	-\$3,080.00
729-12217 PIA	Settled	9/29/2010	Purchase TEMPLETON GBL BOND FD ADV CL FRAC SHR QUANTITY .407 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	TGBAX	33	\$13.65	-\$456.01
729-12217 PIA	Settled	9/30/2010	Purchase CALL RIG 00065.000 TRANSOCEAN LTD EXP 10-16 10 CLOSE TRAN RIG OCT 65.00000	RIG1016	2	\$1.70	-\$340.00
729-12217 PIA	Settled	10/8/2010	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	56	\$60.79	-\$3,404.50

729-12217 PIA	Settled	10/13/2010	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	188	\$61.75	-\$11,609.00
729-12217 PIA	Settled	10/13/2010	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	219	\$26.20	-\$5,737.93
729-12217 PIA	Settled	10/18/2010	Purchase CALL XOP 00044.000 SPDR S P OIL GAS EXPLOR EXP 10-16-10 CLOSE TRAN XOP OCT 44.00000	XOP1016	6	\$0.65	-\$389.88
729-12217 PIA	Settled	11/8/2010	Purchase NEXTERA ENERGY INC SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NEE	278	\$54.70	-\$15,205.35
729-12217 PIA	Settled	11/8/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT ML ACTED AS AGENT	PRU	68	\$53.20	-\$3,617.60
729-12217 PIA	Settled	11/8/2010	Purchase QUEST DIAGNOSTICS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DGX	301	\$50.60	-\$15,231.74
729-12217 PIA	Settled	11/17/2010	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .366 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	ABMIX	415	\$29.48	-\$12,244.99

729-12217 PIA	Settled	11/17/2010	Purchase MARATHON OIL CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MRO	451	\$33.90	-\$15,290.88
729-12217 PIA	Settled	11/19/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	148	\$83.02	-\$12,286.50
729-12217 PIA	Settled	11/22/2010	Purchase CALL CHRW 00072.500 C.H. ROBINSON WORLDWIDE, EXP 11-20-10 CLOSE TRAN CHRW NOV 72.50000	CHRW10	2	\$0.15	-\$30.00
729-12217 PIA	Settled	11/29/2010	Purchase SECTOR SPDR ENERGY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLE	779	\$62.38	-\$48,592.85
729-12217 PIA	Settled	11/29/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	165	\$118.83	-\$19,606.95
729-12217 PIA	Settled	12/7/2010	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .222 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ABMIX	118	\$31.72	-\$3,750.00
729-12217 PIA	Settled	12/8/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL	IJH	42	\$88.84	-\$3,731.07

729-12217 PIA	Settled	12/9/2010	Purchase CISCO SYSTEMS INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CSCO	222	\$19.52	-\$4,333.44
729-12217 PIA	Settled	12/10/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	3	\$89.44	-\$268.32
729-12217 PIA	Settled	12/14/2010	Purchase JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	173	\$42.20	-\$7,300.60
729-12217 PIA	Settled	12/15/2010	Purchase JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	178	\$42.34	-\$7,536.06
729-12217 PIA	Settled	12/17/2010	Purchase CALL RIG 00075.000 TRANSOCCEAN LTD EXP 01-22- 11 CLOSE TRAN RIG JAN 75.00000	RIG1122	2	\$1.10	-\$220.00
729-12217 PIA	Settled	12/21/2010	Purchase ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APC	10	\$65.59	-\$655.88

729-12217 PIA	Settled	12/21/2010	Purchase ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APC	220	\$66.65	-\$14,662.32
729-12217 PIA	Settled	12/22/2010	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .558 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ABMIX	77	\$32.84	-\$2,547.00
729-12217 PIA	Settled	12/27/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	26	\$90.90	-\$2,363.38
729-12217 PIA	Settled	3/2/2010	Redeemed FEDERAL NATL MTG ASSOC CALLABLE NOTES 02.000% MAR 02 2011 CALLABLE NOTES 02.000% MAR 02 2011 PAY DATE 03/02/2010	31398AV	-20,000		\$20,000.00
729-12217 PIA	Settled	4/15/2010	Redeemed U.S. TRSY INFLATION NOTE 0.875% APR 15 2010 INFL ADJ PRIN FCR 1.1439 0.875% APR 15 2010 PAY DATE 04/15/2010	912828C	-15,000		\$17,158.95
729-12217 PIA	Settled	6/15/2010	Redeemed GOLDMAN SACHS GROUP LP GLB 04.500% JUN 15 2010 GLB 04.500% JUN 15 2010 PAY DATE 06/15/2010	GS10A	-26,000		\$26,000.00

729-12217 PIA	Settled	7/6/2010	Redeemed FEDERAL HOME LOAN BANK CALLABLE BONDS 02.420% DEC 17 2013 CALLABLE BONDS 02.420% DEC 17 2013 PAY DATE 07/06/2010	3133XV	-10,000		\$10,000.00
729-12217 PIA	Settled	1/11/2010	Sale MONSANTO CO NEW DEL COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MON	-171	\$82.88	\$14,172.67
729-12217 PIA	Settled	1/13/2010	Sale CALL IPI 00038.000 INTREPID POTASH INC EXP 02- 20-10 OPEN TRAN IPI BL FEB 38.00000	IPI BL	-6	\$0.21	\$123.17
729-12217 PIA	Settled	2/1/2010	Sale INTREPID POTASH INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IPI	-620	\$27.25	\$16,892.25
729-12217 PIA	Settled	2/5/2010	Sale PUTNAM HIGH YIELD ADVANTAGE FD CL Y PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PHAYX	-1,064	\$5.85	\$6,224.40
729-12217 PIA	Settled	2/5/2010	Sale PUTNAM HIGH YIELD ADVANTAGE FD CL Y PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PHAYX	-1,003	\$5.85	\$5,867.55
729-12217 PIA	Settled	2/9/2010	Sale CALL XME 00050.000 SPDR S P METALS MINING EXP 02-20-10 OPEN TRAN XME FEB 50.00000	XME1020	-6	\$0.44	\$263.99
729-12217 PIA	Settled	2/10/2010	Sale CALL MOS 00065.000 MOSAIC CO EXP 03-20-10 OPEN TRAN MOS MAR 65.00000	MOS1020	-3	\$0.94	\$281.99

729-12217 PIA	Settled	2/17/2010	Sale ISHARES BARCLAYS MBS BON FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MBB	-107	\$107.12	\$11,461.70
729-12217 PIA	Settled	2/24/2010	Sale FORD MOTOR CO NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	F	-272	\$11.36	\$3,089.88
729-12217 PIA	Settled	2/24/2010	Sale SPDR S P METALS MINING A/C CALL ZHN43 XME PER THE PIA AGREEMENT AS OF 02/19 UNSOLICITED ORDER	XME	-600	\$50.00	\$29,999.62
729-12217 PIA	Settled	2/25/2010	Sale CALL F 00014.000 FORD MOTOR CO NEW EXP 06- 19-10 OPEN TRAN F JUN 14.00000	F1019F1	-18	\$0.36	\$647.99
729-12217 PIA	Settled	3/8/2010	Sale ARCHER DANIELS MIDLD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ADM	-464	\$29.84	\$13,845.16
729-12217 PIA	Settled	3/8/2010	Sale CALL EEM 00046.000 ISHARES MSCI EMERGING EXP 06-19-10 OPEN TRAN EEM JUN 46.00000	EEM1019	-6	\$0.49	\$293.99
729-12217 PIA	Settled	3/8/2010	Sale CALL RIG 00095.000 TRANSOCCEAN LTD EXP 05-22- 10 OPEN TRAN RIG MAY 95.00000	RIG1022	-2	\$1.07	\$213.99

729-12217 PIA	Settled	3/8/2010	Sale GILEAD SCIENCES INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GILD	-331	\$47.49	\$15,718.03
729-12217 PIA	Settled	3/12/2010	Sale PUTNAM HIGH YIELD ADVANTAGE FD CL Y FRAC SHR QUANTITY .897 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PHAYX	-90	\$5.93	\$539.02
729-12217 PIA	Settled	3/24/2010	Sale ISHARES BARCLAYS TIPS BO PROTECTED SECS FD PER THE PIA AGREEMENT ML ACTED AS AGENT	TIP	-53	\$104.52	\$5,539.50
729-12217 PIA	Settled	3/24/2010	Sale ITT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITT	-291	\$52.87	\$15,385.00
729-12217 PIA	Settled	3/30/2010	Sale CALL MOS 00070.000 MOSAIC CO EXP 05-22-10 OPEN TRAN MOS MAY 70.00000	MOS102	-3	\$0.85	\$255.17
729-12217 PIA	Settled	4/12/2010	Sale ISHARES BARCLAYS TIPS BO PROTECTED SECS FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TIP	-58	\$103.29	\$5,990.73
729-12217 PIA	Settled	5/3/2010	Sale FIRST EAGLE OVERSEAS CL I PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	SGOIX	-754	\$20.93	\$15,781.22
729-12217 PIA	Settled	5/3/2010	Sale PENNSYLVANIA MUTUAL FD PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PENNX	-785	\$10.58	\$8,305.30

729-12217 PIA	Settled	5/4/2010	Sale ISHARES MSCI EMU INDX FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EZU	-499	\$34.28	\$17,105.83
729-12217 PIA	Settled	5/5/2010	Sale AMPHENOL CORP CL A NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APH	-1	\$46.21	\$46.20
729-12217 PIA	Settled	5/5/2010	Sale CHEVRON CORP PER THE PIA AGREEMENT ML ACTED AS AGENT	CVX	-25	\$82.11	\$2,052.75
729-12217 PIA	Settled	5/5/2010	Sale CISCO SYSTEMS INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CSCO	-187	\$27.16	\$5,078.98
729-12217 PIA	Settled	5/5/2010	Sale COCA COLA COM PER THE PIA AGREEMENT ML ACTED AS AGENT	KO	-2	\$53.61	\$107.22
729-12217 PIA	Settled	5/5/2010	Sale GENL DYNAMICS CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	GD	-2	\$76.73	\$153.44
729-12217 PIA	Settled	5/5/2010	Sale HONEYWELL INTL INC DEL PER THE PIA AGREEMENT ML ACTED AS AGENT	HON	-159	\$47.61	\$7,570.02
729-12217 PIA	Settled	5/5/2010	Sale INTL BUSINESS MACHINES CORP IBM PER THE PIA AGREEMENT ML ACTED AS AGENT	IBM	-4	\$129.58	\$518.32

729-12217 PIA	Settled	5/5/2010	Sale ISHARES DJ US CONSUMER GOODS SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IVK	-224	\$59.23	\$13,267.41
729-12217 PIA	Settled	5/5/2010	Sale ISHARES S&P GLB TELECOM- MUNICATIONS SECTOR INDEX PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IXP	-186	\$52.20	\$9,708.24
729-12217 PIA	Settled	5/5/2010	Sale ISHARES S&P GLB HEALTH CARE SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IXJ	-256	\$50.29	\$12,872.89
729-12217 PIA	Settled	5/5/2010	Sale ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDEX F PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IVW	-449	\$59.81	\$26,856.26
729-12217 PIA	Settled	5/5/2010	Sale LABORATORY CP AMER HLDGS PER THE PIA AGREEMENT ML ACTED AS AGENT	LH	-8	\$78.67	\$629.35
729-12217 PIA	Settled	5/5/2010	Sale MCDONALDS CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	MCD	-54	\$70.72	\$3,818.87
729-12217 PIA	Settled	5/5/2010	Sale MOSAIC CO PER THE PIA AGREEMENT ML ACTED AS AGENT	MOS	-28	\$51.36	\$1,438.11

729-12217 PIA	Settled	5/5/2010	Sale ORACLE CORP \$0.01 DEL PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ORCL	-78	\$25.73	\$2,006.95
729-12217 PIA	Settled	5/5/2010	Sale SECTOR SPDR UTILITIES PER THE PIA AGREEMENT ML ACTED AS AGENT	XLU	-4	\$30.50	\$122.01
729-12217 PIA	Settled	5/5/2010	Sale SPDR S P OIL GAS EXPLOR PER THE PIA AGREEMENT ML ACTED AS AGENT	XOP	-29	\$44.97	\$1,304.15
729-12217 PIA	Settled	5/5/2010	Sale TEVA PHARMACTCL INDS ADR PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TEVA	-59	\$58.72	\$3,464.42
729-12217 PIA	Settled	5/5/2010	Sale TORCHMARK CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	TMK	-28	\$53.74	\$1,504.76
729-12217 PIA	Settled	5/5/2010	Sale UNITED TECHS CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	UTX	-43	\$75.13	\$3,230.63
729-12217 PIA	Settled	5/5/2010	Sale VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	-72	\$20.87	\$1,502.71
729-12217 PIA	Settled	5/5/2010	Sale VANGUARD MID-CAP ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VO	-71	\$67.74	\$4,809.71

729-12217 PIA	Settled	5/5/2010	Sale WAL-MART STORES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WMT	-4	\$53.85	\$215.40
729-12217 PIA	Settled	5/7/2010	Sale ISHARES BARCLAYS MBS BON FUND PER THE PIA AGREEMENT ML ACTED AS AGENT	MBB	-56	\$107.08	\$6,210.61
729-12217 PIA	Settled	5/10/2010	Sale AMPHENOL CORP CL A NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APH	-329	\$44.12	\$14,515.43
729-12217 PIA	Settled	5/10/2010	Sale CALL MOS 00060.000 MOSAIC CO EXP 06-19-10 OPEN TRAN MOS JUN 60.00000	MOS101	-2	\$0.50	\$99.99
729-12217 PIA	Settled	5/10/2010	Sale INTL BUSINESS MACHINES CORP IBM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IBM	-117	\$127.25	\$14,888.26
729-12217 PIA	Settled	5/11/2010	Sale ISHARES IBOX \$ INVT GRADE CORP BD FUND PER THE PIA AGREEMENT DERIVED FROM MOODY'S AND SECURITIES OF THIS ISSUE EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LQD	-540	\$104.02	\$56,171.36

729-12217 PIA	Settled	5/12/2010	Sale WISDOMTREE EUR S/C DIV PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DPE	-513	\$32.54	\$16,690.33
729-12217 PIA	Settled	5/19/2010	Sale CALL JEC 00055.000 JACOBS ENGN GRP INC DELA EXP 07-17-10 OPEN TRAN JEC JUL 55.00000	JEC1017	-3	\$0.55	\$164.99
729-12217 PIA	Settled	5/28/2010	Sale FORD MOTOR CO NEW PER THE PIA AGREEMENT ML ACTED AS AGENT	F	-453	\$11.14	\$5,046.33
729-12217 PIA	Settled	5/28/2010	Sale CALL RIG 00075.000 TRANSOCEAN LTD EXP 07-17- 10 OPEN TRAN RIG JUL 75.00000	RIG1017	-2	\$1.15	\$229.09
729-12217 PIA	Settled	5/28/2010	Sale ISHARES BARCLAYS MBS BON FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MBB	-111	\$108.49	\$12,041.67
729-12217 PIA	Settled	5/28/2010	Sale ISHARES DJ US CONSUMER GOODS SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYK	-826	\$54.04	\$44,636.21
729-12217 PIA	Settled	6/8/2010	Sale GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	-353	\$41.07	\$14,497.71

729-12217 PIA	Settled	6/9/2010	Sale CALL RIG 00055.000 TRANSOCCEAN LTD EXP 07-17-10 OPEN TRAN RIG JUL 55.00000	RIG1017	-2	\$1.35	\$269.99
729-12217 PIA	Settled	6/9/2010	Sale SPDR S P METALS MINING PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XME	-436	\$47.39	\$20,663.09
729-12217 PIA	Settled	6/9/2010	Sale VANGUARD MID-CAP ETF PER THE PIA AGREEMENT ML ACTED AS AGENT	VO	-577	\$60.70	\$35,023.31
729-12217 PIA	Settled	6/10/2010	Sale CALL PRU 00065.000 PRUDENTIAL FINANCIAL INC. EXP 07-17-10 OPEN TRAN PRU JUL 65.00000	PRU1017	-2	\$0.49	\$98.45
729-12217 PIA	Settled	6/11/2010	Sale CALL F 00013.000 FORD MOTOR CO NEW EXP 07-17-10 OPEN TRAN F J 1JUL0 13000000	F1017G1	-13	\$0.15	\$193.43
729-12217 PIA	Settled	6/16/2010	Sale CALL VLO 00023.000 VALERO ENERGY CORP NEW EXP 09-18-10 OPEN TRAN VLO SEP 23.00000	VLO1018	-7	\$0.23	\$160.99
729-12217 PIA	Settled	6/21/2010	Sale AUTONATION INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AN	-757	\$21.25	\$16,088.02
729-12217 PIA	Settled	6/21/2010	Sale CALL RIG 00060.000 TRANSOCCEAN LTD EXP 08-21-10 OPEN TRAN RIG AUG 60.00000	RIG1021	-2	\$2.08	\$416.71
729-12217 PIA	Settled	6/28/2010	Sale CALL JEC 00046.000 JACOBS ENGN GRP INC DELA EXP 08-21-10 OPEN TRAN JEC AUG 46.00000	JEC1021	-3	\$0.50	\$149.99

729-12217 PIA	Settled	7/2/2010	Sale ISHARES S&P GBL HEALTH CARE SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IXJ	-384	\$45.88	\$17,617.66
729-12217 PIA	Settled	7/8/2010	Sale CALL PRU 00065,000 PRUDENTIAL FINANCIAL INC EXP 09-18-10 OPEN TRAN PRU SEP 65,00000	PRU1018	-2	\$1.01	\$201.15
729-12217 PIA	Settled	7/8/2010	Sale CALL TMK 00060,000 TORCHMARK CORP COM EXP 11-20-10 OPEN TRAN TMK NOV 60,00000	TMK1020	-2	\$0.85	\$169.99
729-12217 PIA	Settled	7/13/2010	Sale CALL F 00013,000 FORD MOTOR CO NEW EXP 09- 18-10 OPEN TRAN F SEP 13,00000	F101813	-13	\$0.24	\$311.99
729-12217 PIA	Settled	7/16/2010	Sale MOSAIC CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MOS	-286	\$42.14	\$12,052.93
729-12217 PIA	Settled	7/22/2010	Sale OPPENHEIMER INTERNATIONAL BOND FUND PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	OIBYX	-212	\$6.37	\$1,350.44
729-12217 PIA	Settled	8/2/2010	Sale JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	-329	\$35.75	\$11,761.58
729-12217 PIA	Settled	8/6/2010	Sale CALL RIG 00070,000 TRANSOCEAN LTD EXP 09-18- 10 OPEN TRAN RIG SEP 70,00000	RIG1018	-2	\$0.50	\$99.99

729-12217 PIA	Settled	8/9/2010	Sale ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	-398	\$36.99	\$14,723.52
729-12217 PIA	Settled	8/9/2010	Sale TORCHMARK CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TMK	-281	\$53.08	\$14,915.48
729-12217 PIA	Settled	8/11/2010	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT ML ACTED AS AGENT	SPY	-245	\$111.76	\$27,380.74
729-12217 PIA	Settled	8/20/2010	Sale U.S. TREASURY NOTE 3.625% AUG 15 2019 PER THE PIA AGREEMENT YLD TO MATURITY 2.45% MATURITY DATE 8/15/19. 5 DAYS INTEREST	912828L	-14,000	\$109.40	\$15,322.63
729-12217 PIA	Settled	8/24/2010	Sale CALL CHRW 00072.500 C.H. ROBINSON WORLDWIDE, EXP 11-20-10 OPEN TRAN CHRW NOV 72.50000	CHRW10	-2	\$1.00	\$199.99
729-12217 PIA	Settled	9/2/2010	Sale CALL RIG 00065.000 TRANSOCCEAN LTD EXP 10-16- 10 OPEN TRAN RIG OCT 65.00000	RIG1016	-2	\$0.40	\$79.99
729-12217 PIA	Settled	9/8/2010	Sale CALL ORCL 00025.000 ORACLE CORP \$0.01 DEL EXP 10-16-10 OPEN TRAN ORCL OCT 25.00000	ORCL10	-5	\$0.59	\$294.99
729-12217 PIA	Settled	9/9/2010	Sale CALL XOP 00044.000 SPDR S P OIL GAS EXPLOR EXP 10-16-10 OPEN TRAN XOP OCT 44.00000	XOP1016	-6	\$0.26	\$155.99
729-12217 PIA	Settled	9/15/2010	Sale CALL GLD 00132.000 SPDR GOLD TRUST EXP 10- 16-10 OPEN TRAN GLD OCT 132.00000	GLD1016	-1	\$0.42	\$41.99

729-12217 PIA	Settled	9/16/2010	Sale CALL MCK 00070.000 MCKESSON CORPORATION COM EXP 11-20-10 OPEN TRAN MCK NOV 70.00000	MCK1020	-2	\$0.55	\$109.99
729-12217 PIA	Settled	9/21/2010	Sale CALL STT 00044.000 STATE STREET CORP EXP 11-20-10 OPEN TRAN STT NOV 44.00000	STT1020	-3	\$0.42	\$125.99
729-12217 PIA	Settled	9/21/2010	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	-170	\$112.63	\$19,146.90
729-12217 PIA	Settled	9/22/2010	Sale ORACLE CORP \$0.01 DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ORCL	-69	\$26.88	\$1,854.70
729-12217 PIA	Settled	9/30/2010	Sale CALL RIG 00075.000 TRANSOCCEAN LTD EXP 01-22 11 OPEN TRAN RIG JAN 75.00000	RIG1122	-2	\$1.70	\$339.99
729-12217 PIA	Settled	10/18/2010	Sale CALL XOP 00046.000 SPDR S P OIL GAS EXPLOR EXP 11-20-10 OPEN TRAN XOP NOV 46.00000	XOP1020	-6	\$0.85	\$509.87
729-12217 PIA	Settled	10/20/2010	Sale ORACLE CORP \$0.01 DEL AC CALL Z1PU1 ORCL PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE AS OF 10/15 UNSOLICITED ORDER	ORCL	-500	\$25.00	\$12,499.79
729-12217 PIA	Settled	10/20/2010	Sale SPDR GOLD TRUST AC CALL V16F2 GLD PER THE PIA AGREEMENT AS OF 10/15 UNSOLICITED ORDER	GLD	-100	\$132.00	\$13,199.78

729-12217 PIA	Settled	10/22/2010	Sale SPDR GOLD TRUST PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GLD	-6	\$130.91	\$785.46
729-12217 PIA	Settled	11/2/2010	Sale KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	-227	\$62.76	\$14,245.53
729-12217 PIA	Settled	11/5/2010	Sale CALL F 00017.500 FORD MOTOR CO NEW EXP 01- 22-11 OPEN TRAN F JAN 17.50000	F1122A1	-13	\$0.32	\$415.99
729-12217 PIA	Settled	11/8/2010	Sale COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVH	-673	\$23.84	\$16,044.66
729-12217 PIA	Settled	11/8/2010	Sale GENL DYNAMICS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GD	-198	\$68.43	\$13,548.57
729-12217 PIA	Settled	11/8/2010	Sale LABORATORY CP AMER HLDGS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LH	-192	\$82.33	\$15,807.90
729-12217 PIA	Settled	11/23/2010	Sale CALL CHRW 00080.000 C.H. ROBINSON WORLDWIDE, EXP 02-19-11 OPEN TRAN CHRW FEB 80.00000	CHRW11	-2	\$0.60	\$119.99

729-12217 PIA	Settled	11/24/2010	Sale SPDR S P OIL GAS EXPLOR A/C CALL ZQ256 XOP PER THE PIA AGREEMENT AS OF 11/19 UNSOLICITED ORDER	XOP	-600	\$46.00	\$27,599.53
729-12217 PIA	Settled	11/26/2010	Sale SPDR S P OIL GAS EXPLOR PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOP	-55	\$48.74	\$2,680.49
729-12217 PIA	Settled	11/30/2010	Sale CHEVRON CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVX	-179	\$82.69	\$14,800.94
729-12217 PIA	Settled	11/30/2010	Sale STATE STREET CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	STT	-346	\$42.98	\$14,870.21
729-12217 PIA	Settled	12/2/2010	Sale CALL HES 00085.000 HESS CORP EXP 02-19-11 OPEN TRAN HES FEB 85.00000	HES1119	-2	\$0.79	\$157.99
729-12217 PIA	Settled	12/3/2010	Sale EXXON MOBIL CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOM	-214	\$69.50	\$14,872.86

729-12217 PIA	Settled	12/7/2010	Sale LOWE'S COMPANIES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LOW	-683	\$24.92	\$17,021.57
729-12217 PIA	Settled	12/21/2010	Sale TRANSOCEAN LTD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	RIG	-280	\$70.04	\$19,609.58
729-12217 PIA	Settled	12/23/2010	Sale CALL JEC 00048.000 JACOBS ENGN GRP INC DELA EXP 01-22-11 OPEN TRAN JEC JAN 48.00000	JEC1122	-3	\$0.95	\$284.99

DAVID PHILIP ROE

SCHEDULE 5

5/9/2011

Fidelity NetBenefits



State Of Franklin Healthcare
Associates, PLLC 401(k)

Retirement Savings Statement

DAVID P ROE
2 NORTH CROSSBOW
JOHNSON CITY, TN 37604

Customer Service: (800) 890-4015
Fidelity Investments Institutional Operations
Company, Inc.
82 Devonshire Street
Boston, MA 02109

Your Account Summary

Statement Period: 12/01/2010 to 12/31/2010

Beginning Balance	\$352,551.26
Fees	-\$107.58
Change in Market Value	\$15,591.50
Ending Balance	\$368,035.18
Additional Information	
Vested Balance	\$368,035.18
Dividends & Interest	\$2,322.35

Your Personal Rate of Return

This Period

4.4%

Your Personal Rate of Return is calculated with a time-weighted formula, widely used by financial analysts to calculate investment earnings. It reflects the results of your investment selections as well as any activity in the plan account(s) shown. There are other Personal Rate of Return formulas used that may yield different results. Remember that past performance is no guarantee of future results.

Your Asset Allocation

Statement Period: 12/01/2010 to 12/31/2010

Why Is This Important?

☒ e-Learning: Learn about
asset allocation.

Your account is allocated among the asset classes specified above as of 12/31/2010. Percentages and totals may not be exact due to rounding.

Market Value of Your Account

Statement Period: 12/01/2010 to 12/31/2010

Displayed in this section is the value of your account for the statement period, in both shares and dollars.

Investment	Shares as of 11/30/2010	Shares as of 12/31/2010	Price as of 11/30/2010	Price as of 12/31/2010	Market Value as of 11/30/2010	Market Value as of 12/31/2010
Stock Investments						
Large Cap					\$251,224.91	\$267,461.14
Blkt Cap Appr I	3,572.223	3,572.223	\$22.67	\$23.78	\$80,982.30	\$84,947.46
Drey Basic S&P 500	50.766	50.960	\$24.17	\$25.67	\$1,227.02	\$1,308.14
Htd Capital Appr R5	2,424.660	2,423.714	\$35.10	\$37.42	\$85,105.56	\$90,695.37
Mainstay MAP I	71.757	72.497	\$30.04	\$31.90	\$2,155.58	\$2,312.66
Mid-Cap						
FA Mid Cap II I	99.888	99.849	\$17.53	\$18.12	\$1,751.04	\$1,809.27
Small Cap						
Drey SM Cap SltIdx International	118.208	121.295	\$19.48	\$20.42	\$2,302.69	\$2,476.85
FA Intl Discovery I	2,494.405	2,543.540	\$31.15	\$32.99	\$77,700.72	\$83,911.39
Bond Investments						
Income					\$54,065.83	\$53,331.57
FA GOV Income Inv	5,052.882	5,108.388	\$10.70	\$10.44	\$54,065.83	\$53,331.57
Short-Term Investments						
FID Ret Govt MM	47,260.520	47,242.470	\$1.00	\$1.00	\$47,260.52	\$47,242.47
					\$47,260.52	\$47,242.47
Account Totals					\$352,551.26	\$368,035.16

Remember that a dividend payment to fund shareholders reduces the share price of the fund, so a decrease in the share price for the statement period does not necessarily reflect lower fund performance.

Please refer to NetBenefits and other Plan Information, such as your SPD, for a description of your right to direct investments under the Plan. For information on any plan restrictions on those rights, please contact your benefits office.

To help achieve long-term retirement security, you should give careful consideration to the benefits