



Filing ID #10010825

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Marcy Kaptur
Status: Member
State/District: OH09

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2015
Filing Date: 05/13/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|---------------------|----------------|--------------------|-------------------------------------|
| Congressional Federal CU | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| DESCRIPTION: Funds accrued in this account are from foregone Congressional pay (raises) and are distributed annually to charitable causes. | | | | | |
| DFA Short Duration Real | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| DFA Targetted Credit | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| DESCRIPTION: purchased from proceeds of sale of DFA Selective Global | | | | | |
| DFA Two Year Global Fixed | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| DFA US Core Equity | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Fleet Rd. | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| LOCATION: Toledo, OH, US | | | | | |
| DESCRIPTION: No renter or rental income for 2015 | | | | | |
| Genoa Bank | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Harlan Rd. | | \$15,001 - \$50,000 | Rent | \$5,001 - \$15,000 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|----------------------|----------------|-------------|-------------------------------------|
| LOCATION: Toledo, OH, US | | | | | |
| Huntington Bank NA | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Key Bank | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| RBS Citizens Bank | | None | Interest | \$1 - \$200 | <input checked="" type="checkbox"/> |
| DESCRIPTION: Account closed/proceeds deposited at Genoa Bank. | | | | | |
| Rivard Rd. | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| LOCATION: Toledo, OH, US DESCRIPTION: No renter or rental income for 2015. | | | | | |
| Schwab Money Market | | \$1 - \$1,000 | None | | <input type="checkbox"/> |
| Sun Federal Credit Union | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| DESCRIPTION: Name change from Suburban Federal Credit Union to Sun Federal Credit Union. | | | | | |
| US Savings Bond | | \$50,001 - \$100,000 | None | | <input type="checkbox"/> |
| Vanguard Short Term Bond | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Vanguard Short Term Corp | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|--------------------|--------------------------|
| DFA Selective Global Fiixed | | 06/16/2015 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| DESCRIPTION: proceeds to purchase of DFA Targeted Credit | | | | | |
| DFA Targeted Credit | | 06/16/2015 | P | \$1,001 - \$15,000 | |
| DESCRIPTION: purchase from proceeds of sale of DFA Selective Global Fixed | | | | | |
| DFA Two Year Global Fixed | | 09/14/2015 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| DESCRIPTION: proceeds drawn for personal use | | | | | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|-----------|----------|---------------------|--------------------------|
| Genoa Bank | | 04/6/2015 | P | \$15,001 - \$50,000 | |
| DESCRIPTION: New account/proceeds from closed RBS Citizens Bank Acct. | | | | | |
| RBS Citizens Bank | | 04/6/2015 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| DESCRIPTION: Account closed/proceeds to Genoa Bank. | | | | | |

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|--|------------------------------------|---------------|------------------|-----------------------|
| | Congressional Federal Credit Union | 2011 | mortgage | \$100,001 - \$250,000 |
| COMMENTS: Mortgage on Washington, D.C. area condominium apartment used while Congress is in session. No mortgage on permanent family home in Ohio. | | | | |
| | Congressional Federal Credit Union | November 2015 | Home Equity Loan | \$15,001 - \$50,000 |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|---|----------------------|
| Trustee | The Anastasia Fund |
| COMMENTS: The Anastasia Fund, P.O. Box 2121, Toledo, OH 43603. [a charity established in the names of Anastasia and Stephen Kaptur] | |

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Marcy Kaptur , 05/13/2016