



Filing ID #10018748

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Thomas F. Patton
Status: Congressional Candidate
State/District: OH16

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2017
Filing Date: 08/30/2017
Period Covered: 01/01/2016– 08/1/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Columbus Life		\$1,001 - \$15,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ American Century Disciplined Growth A		\$1,001 - \$15,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ American Century Mid Cap Value A		\$1,001 - \$15,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ Dreyfus International Stock A		\$15,001 - \$50,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ Dreyfus/The Boston Co Sm/Md Cp Gr A		\$1,001 - \$15,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ Eaton Vance Atlanta Capital SMID-Cap A		\$1,001 - \$15,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ Franklin Small Cap Value Fund A		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Empower - Blue Technology 401(k) ⇒ Hartford Small Company R4		\$1,001 - \$15,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ Hotchkis and Wiley Value Opps A		\$1,001 - \$15,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ J P Morgan Government Bond A		\$1,001 - \$15,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ J P Morgan Small Cap Value A		\$1,001 - \$15,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ Janus Triton A		\$1,001 - \$15,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ JP Morgan Growth Advantage A		\$1,001 - \$15,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ Loomis Sayles Investment Grade Bond A		\$1,001 - \$15,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ Oakmark International II		\$1,001 - \$15,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ Putnam Equity Income A		\$1,001 - \$15,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ Putnam International Value A		\$1,001 - \$15,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ Putnam Stable Value Fund		\$1 - \$1,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ Sentinel Government Securities A		\$1,001 - \$15,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ SunAmerica Focused Dividend Strategy A		\$1,001 - \$15,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ Touchstone Total Return Bond A		\$1,001 - \$15,000	Tax-Deferred		
Empower - Blue Technology 401(k) ⇒ Virtus Emerging Markets Opportunities A		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ohio Public Employee Retirement System		\$100,001 - \$250,000	Tax-Deferred		
PFS LPL Roth IRA 5781 ⇒ Nuveen Strategy Balanced Allocation Fund-Class C		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ Capital World Bond Fund, Class F-2 Shares		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ Harbor Capital Appreciation Fund Insti CI		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ John Hancock FDS III Disciplined Value Mid Cap FD Class I		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ Lord Abbet High Yield Fd - CI F		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ MainStay Convertible Fund Class I		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ MainStay Short Duration High Yield Fd CI I		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ MFS Value Fund - Class I		\$15,001 - \$50,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ Mirae Asset Discovery Fds, Asia Great Consumer Fd CI A		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ Oakmark International Fund Investor Class		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ Oppenheimer Developing Markets Fund Class Y		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ PIMCO Income Fund P Class		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ Pioneer Fundamental Growth Fund Class Y		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
PFS LPL SEP IRA 9774 ⇒ Pioneer Short Term Income Fund Class Y		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ Swan Defined Risk Fund Class I		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ T. Rowe Price Diversified Small-Cap Growth Fund Inc.		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ Vanguard Health Care Fund Admiral Shares		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ Victory Rs Mid Cap Growth Fund - Class Y		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ Virtus Seix Floating Rate High Income Fund I		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ Washington Mutual Investors		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL SEP IRA 9774 ⇒ Western Asset Core Plus Bond Fund Class I		\$1,001 - \$15,000	Tax-Deferred		
PFS LPL Taxable TOD Account 5754 ⇒ Capital World Bond Fund, Class F-2 Shares		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
PFS LPL Taxable TOD Account 5754 ⇒ Ford Motor Company (F)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
PFS LPL Taxable TOD Account 5754 ⇒ Harbor Capital Appreciation Fund Insti CI		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
PFS LPL Taxable TOD Account 5754 ⇒ John Hancock FDS III Disciplined Value Mid Cap FD Class I		\$1,001 - \$15,000	None		
PFS LPL Taxable TOD Account 5754 ⇒ JPMorgan Mid Cap Growth A		\$1,001 - \$15,000	None		
PFS LPL Taxable TOD Account 5754 ⇒ MetLife, Inc. (MET)		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
PFS LPL Taxable TOD Account 5754 ⇒ MFS Value Fund - Class I		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
PFS LPL Taxable TOD Account 5754 ⇒ Pioneer Short Term Income Fund Class Y		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
PFS LPL Taxable TOD Account 5754 ⇒ Swan Defined Risk Fund Class I		\$1,001 - \$15,000	None		
The Huntington National Bank		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Transamerica		\$1 - \$1,000	Tax-Deferred		
Unum Provident		\$1 - \$1,000	Tax-Deferred		
Wilco Life		\$15,001 - \$50,000	Tax-Deferred		

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
State of Ohio	Salary	\$41,417.00	\$84,806.00
Blue Technologies	Salary	\$29,177.00	\$55,005.00
Amazing Tickets	Salary	\$10,500.00	\$43,000.00
I.A.T.S.E. Local 756	Salary	\$14,000.00	\$24,000.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Member	Ohio Athletic Commission
Member	Ohio Turnpike Commission
State Representative	Ohio House of Representatives

Position	Name of Organization
Marketing Consultant	Blue Technologies
President	I.A.T.S.E. Local 756
Consultant	Amazing Tickets

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2003	Myself and the State of Ohio.	Continued participation in legislative pension.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Empower - Blue Technology 401(k)
- PFS LPL Roth IRA 5781
- PFS LPL SEP IRA 9774
- PFS LPL Taxable TOD Account 5754
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Thomas F. Patton , 08/30/2017