Page 1 of 10

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER <u>EACH</u> OF THESE QUESTIONS E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the 2018 FINANCIAL DISCLOSURE STATEMENT **UNITED STATES HOUSE OF REPRESENTATIVES** IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance. D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? exchange any securities or reportable real estate in a transaction Name: EXEMPTION — Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? reporting period? exceeding \$1,000 during the reporting period? B. Did you, your spouse, or your dependent child purchase, sell, or A. Did you, your spouse, or your dependent child: REPORT TYPE FILER STATUS b. Receive more than \$200 in unearned income from any reportable a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or asset during the reporting period? Charles J. Fleischmann × × House of Representatives Member of the U.S. 2018 Annual (Due: May 15, 2019) District: 03 State: Yes Yes Yes Yes Yes × X Daytime Telephone Amendment Š 중 중 ĕ 줎 For Use by Members, Officers, and Employees × × × ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES" G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period? H. Did you, your spouse, or your dependent child receive any source during the reporting period? Form Employee Officer or Employing Office Termination Date of Termination: A \$200 penalty shall be assessed against any individual who files more than 30 days late. VELVIED EDUNDEEN EALLY TOUGHT 2019 MAY 20 Shared Staff Filer Type: (If Applicable) (Office Use Only) The Contraction Yes Yes Ύes Yes Yes Yes Yes Principal Assistant

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Charles J. Fleischmann
Page 2 of 10

JTFirst Bank	JT First TN	JT Smartbank	JT Congressional Fed.	JT Pinnacle	JT Benjamin Edwards	ABC Hedge Fund X	JT Examples: Simon & Schuster	SP. SP Mega Corp. Stock EIF	ent. 100 han	for all IRAs and order retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.	
		X	X				Indefinite	×	\$1,001-\$15,000 \$15,001-\$50,000 \$50,001-\$100,000		BLOCK B Value of Asset Indicate value of asset at close of the reporting period valuation method other than fair market value, please speused. If an asset was sold during the reporting period and is because it generated income, the value should be 'None.' "Column M is for assets held by your spouse or dependent you have no interest.
X	X			X	X	×			\$500,001-\$1,000,000 \$1,000,001-\$5,000,000 \$5,000,001-\$25,000,000 \$25,000,001-\$50,000,000 Cyer \$50,000,000	G H	BLOCK B Value of Asset Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting period and is included only because it generated income, the value should be "None." "Column M is for assets held by your spouse or dependent child in which you have no interest.
X X	X	X		×	XXX	Partnership Income	Royalies	X 1/4 (1/4 1/4 1/4 1/4 1/4 1/4 1/4 1/4 1/4 1/4	NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income (Specify: e.g., Partnership Income or Farm Income)		ELOCK C Type of Income Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, openion and the succounts, you may check the "Tax-Deferred column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts, Check "None" (fire assets held in taxable accounts held in taxable
X	×	X		×	X	×	×	X	\$201-\$1,000 \$1,001-\$2,500 \$2,501-\$5,000 \$5,001-\$15,000 \$15,001-\$100,000 \$100,001-\$1,000,000 \$1,000,001-\$5,000,000 Over \$5,000,000	III III IV V VI VII VIII IX X XI XII	Amount of Income that For assets for which you checked "Tax-Deferred" in Block C, you have category of income by checking the appropriate box below. The Dividends, interest, and capital gains, even if reinvested, for must be disclosed as income for assets held in taxable; the accounts, Check "None" if no income was earned or generated, "Column XII is for assets held by your spouse or dependent child in which you have no interest.
					S(part)			S(parl)	Leave this column blank if there are no transactions that exceeded \$1,000.	please indicate as follows: (S (part)).	

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BLOCK A Assets and/or income Sources			ASSET NAME EIF	Regions Bank														
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BLOCK C		EXCEPTED/BLIND TRUST	 	╁┤	╁	1							`					
BLOCK C Type of Income	***************************************	TAX-DEFERRED			+								-					
		Other Type of Income (Specify: e.g., Partnership Income or Farm (ncome)								,			,					
	-	None																
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BLOCK D Amount of Income	<	\$2.501-\$5,000			<u> </u>													
BLOCK D	≤	\$5,001-\$15,000																
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	¥	Spouse/DC Asset with Income over \$1 000 000*	<u> </u>	\sqcup	1	\bot	_									-		+
BLOCK E Transaction		P. S. S(part), or E					ļ											

SCHEDULE B - TRANSACTIONS

Name: Charles J. Fleischmann Page 4 of 10

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SCHEDULE C - EARNED INCOME

Name: Charles J. Fleischmann Page 5 of 10

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act. List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

In addition, certain	In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.	ry relationship) are totally prohibited.	A
	Constant (include date of recorpt for including)	.) 0	90.000
1	State of Marvand	Legislative Pension	\$18,000
examples.	Civil War Roundlable (Oct. 2) Onlario County Board of Education	Spouse Speech Spouse Salary	\$1,000 N/A

SCHEDULE D - LIABILITIES

Name: Charles J. Fleischmann	
Page 6of10	

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

				SP, DC, JT		
			Example			
			First Bank of Wilmington, DE	Creditor		
			5/16	Date Liability Incurred MO/YR		
			Mortgage on Rental Property, Dover, DE	Type of Liability		
			+ 3,	\$10,001- \$15,000	*	
				\$15,001- \$50,000	В	
L				\$50,001- \$100,000	C :	
			×	\$100,001- \$250,000	D	l ⊳
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		i		\$1,000,001- \$5,000,000	ဝ	Amount of Liability
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				\$25,000,001- \$50,000,000	-	
				Over \$50,000,000	_	
				Over \$1,000,000* (Spouse/DC Liability)	*	

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude:

Positions held in any religious, social, fraternal, or political e	Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.
Position	Name of Organization

SCHEDULE F - AGREEMENTS

Arrangement or arrangement that you have with re-	
arrangement or arrangement that you have with respect to: future employment: a leave of absence during the period of government service:	Name: Charles J. Fleischmann
of povernment service:	Page 7 of 10

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
	100000000000000000000000000000000000000	

SCHEDULE G - GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$390 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent), local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$156 or less need not be added towards the \$390 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

	Source	Description	Value
Example:	Mr. Jaseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethucs)	\$400

SCHEDULE H - TRAVEL PAYMENTS and REIMBURSEMENTS

Name: Charles J. Fleischmann	
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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$390 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

	Source	Date(s)	City of Departure-Destination-City of Return	Lodging?	Food? (Y/N)	Family Member Included? (Y/N)
	Government of China (MECEA)	Aug. 6-11	Dc-Bejing, China-DC	¥	~	P
Examples.	Hadital for Humanity (charity fundraiser)	Mar. 3-4	DC-Boston-DC	Y	*	*
	i company					

SCHEDULE I – PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: Charles J. Fleischmann	
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List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.	an event to a charitable organization	in lieu of paying an honorar	ium to you. A separate
Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC XYZ Magazine	Speech Article	Feb, 2, 2018 Aug. 13, 2018	\$2,000 \$500

							NOTE NUMBER
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Attachment 1

ATTACHMENT 1

Charles J. Fleischmann December 31, 2018

Summary of Brokerage Accounts Held Through Benjamin Edwards & Co.

Totals	*****1695	*****5005	*****9133	******0	Account #			
\$ 1,199,081.22 \$ 21,150.79 \$ 27,795.46 \$ 2,780.18 \$ 61,889.46	228,361.97	98,970.13	8,573.90	863,175.22	Value			
\$ 21,150.79	6,560.97	2,151.83	14.61	12,423.38	Interest	Dividends and Capital Gain		
\$ 27,795.46	6,396.47	t	•	21,398.99	Distributions	Capital Gain		
\$ 2,780.18		7,038.40	(3,124.63)	(1,133.59)	Sale	Investment	on	Gain/(Loss)
\$ 61,889.46	12,957.44	9,190.23	7,053.01	32,688.78	Total Income			
\$ 252,348.16	ı	88,082.76	•	164,265.40	Sales **	Investment	Proceeds from	
	Details on Attachment 5	88,082.76 Details on Attachment 4	Details on Attachment 3	164,265.40 Details on Attachment 2	•			

^{**} Gross sales proceeds from transactions reported on Schedule IV

Attachment 2

Account Statement

SEP FBO CHARLES J FLEISCHMANN

8714 RAMBLING ROSE DR OOLTEWAH TN 37363-7118 PERSHING LLC AS CUSTODIAN

Your Financial Advisor Is: WARD PETTY

Portfolio at a Glance

Account Number: EPP-003890

December 1, 2018 - December 31, 2018

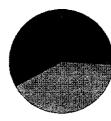
	This Period	Year-to-Date
BEGINNING ACCOUNT VALUE	\$940,294.83	\$919,070.54
Dividends, Interest and Other Income	24,173.86	33,822 37
Fees	0.00	10,605.96
Other Transactions	0.00	-34.24
Net Change in Portfolio¹	-101,293,47	-79,077,49
ENDING/ACCOUNT WALUE	\$863,175,22	\$863,175:22
Estimated Annual Income	\$12,130.27	

beginning account value after activity. Net Change in Portfolio is the difference between the ending account value and

The Bank Deposits in your account are FDIC insured bank deposits.

FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.

Asset Summary



Percent	Asset Type	Prior Year-End	Last Period	This Period
1%	1% Cash, Money Funds, and Bank Deposits 5,257.33 592.56	5,257.33	592.56	5,647,48
41%	Equities	352,198.00	384,679.00	357,469.25
58%	Mutual Funds	555,690.21	555,023.27	500,058.49
0%	Exchange-Traded Products	5,925.00	0.00	0.00
100%	Account Total (Pie Chart)	\$919,070.54	\$940,294.83	\$863,175.22
	Please review your allocation periodically with your Financial Advisor.			

Page 1 of 24





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Additional Information

Summary of Gains and Losses

Net Gain/Loss	Long-Term Gain/Loss	Short-Term Gain/Loss	
-1,536.49	0,00	-1,536,49	Realized Inis Period
-1,133.59	5,507.84	-6,641,43	Realized Inis Period Realized Year-to-Date
106,947.29	118,308.47	-11,361.18	Unrealized

This summary excludes transactions where cost basis information is not available.

For Your Information

Electronic Delivery of Tax Documents

but not tax documents, simply click the "Go Paperless" icon when you log in. GO PAPERLESS!! Electronic delivery of account communications can make managing your financial information easier-and tax documents are no exception. With anywhere, anytime access and the ability to download, then e- mail tax documents to your accountant, e-delivery is the convenient way to approach tax season! Enroll today- and if you're enrolled for account statements,

prospectus, by clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial advisor and the process can be expedited for you. If you currently have online access to your accounts through NetXInvestor, you can sign up for e-delivery of your statements and confirms, as well as Mutual Fund, ETF and UIT

This convenient e-delivery service is available even it you don't desire access to daily positions, values, balances and quotes. Contact your financial advisor and we can set up e-delivery

Make the move to paperless today!

Client Service Information

CHATTANOOGA TN 37402-2943	1101 BROAD STREET, SUITE 105	WARD PETTY	Your financial Advisor Is: C90 Contact Information
	Fax Number: (423) 668-5	Telephone Number: (423)	Contact Information

668-5411

Your Account Information

INVESTMENT OBJECTIVE

Investment Objective: MODERATE GROWTH

Please review your investment objective. If you wish to make a change or have any questions please contact your Financial Advisor.

RETIREMENT INFORMATION

Year-End Fair Market Value (12/31/2018): \$863,175.22

valuation adjustments made after 12/31/2018. The year-end fair market value is furnished to the Internal Revenue Service for Individual Retirement Accounts. Your fair market value may change based on transaction or asset

Go paperless









Your Account Information (continued)

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds:

Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out First In First Out First In First Out

BOND AMORTIZATION ELECTIONS Default Method for all Other Securities:

Accrual market discount method for all other bond types: Amortize premium on taxable bonds based on Constant Yield Method: Yes

Constant Yield Method

ELECTRONIC DELIVERY

Include market discount in income annually

more information. You have not selected any account communications for electronic delivery. To register and turn off paper communications, log in to your account or contact your Financial Advisor for

Client Account Beneficiary Information

	imary /Contingent	(5)	Allocation Percent
BRENDA FLEISCHMANN PI	RIMARY		100.00

Disclosures and Other Information:

agreement will control. Please contact your Financial Advisor to update your beneficiary information if necessary or to review more detailed information concerning your designations. you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement

FDIC Insured Bank Deposits

Date	Activity Type	Date Activity Type Description Balance	Amount	Balance
Sweep FI	Sweep FDIC Insured Bank Deposits			
INSURED	NSURED DEPOSIT PROGRAM F			
Activity E	inding: 12/31/18			
12/01/18	Opening Balance		556.56	556.56
12/03/18	Depasit	DEPOSIT	36.00	592.56
12/04/18	12/04/18 Deposit	DEPOSIT	271.12	863.68
12/05/18	Deposit	DEPOSIT	37.50	901.18
12/10/18	Deposit	DEPOSIT	132.00	1,033.18
12/11/18	Deposit	DEPOSIT	49.00	1,082.18
12/13/18	Deposit	DEPOSIT	44.00	1,126.18





Page 3 of 24

FDIC Insured Bank Deposits (continued)

Total FDI	12/31/18	12/28/18	12/26/18	12/24/18	12/20/18	12/19/18	12/18/18			12/17/18		12/14/18	12/14/18	INSURED	Sweep FI	Date
Total FDIC Insured Bank Deposits		Withdrawal	Deposit	Deposit	Deposit	Deposit	Deposit			Deposit		Deposit	Deposit	INSURED DEPOSIT PROGRAM F (continued)	Sweep FDIC Insured Bank Deposits (continued)	Activity Type
		WITHDRAWAL	DEPOSIT	DEPOSIT	DEPOSIT	DEPOSIT	DEPOSIT	A/O12/17 \$6571.54	Citibank N.A.	DEPOSIT	APY 1.15%	INTEREST CREDITED	DEPOSIT			Description
		.7,807.43	263.41	746.85	3,398.65	174.05	1,675.72			2,220.43		1.63	3,223.30			Amount
\$5,022.79	\$5,022.79	5,022.79	12,830.22	12,566.81	11,819.96	8,421.31	8,247.26			6,571.54		4,351.11	4.349 48			Balance

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

		Account	Activity	Opening	Closing	Accrued	Income		Current
Opening Date	Quantity	Number	Ending	Balance	Balance	Income	This Year	Yield	Yield
CASH, MONEY FUNDS, AND BANK DEPOSITS 1.00% of Portfolio	ND BANK DEPO	OSITS 1.00% of	Portfolio						
Cash Balance				36.00	624.69				
FDIC Insured Bank Deposits	द्ध								
INSURED DEPOSIT PROGRAM F									
12/01/18	5,022.790	N/A	12/31/18	556.56	5,022.79	4.01	1.63	N/A	N/A
DEUTSCHE BANK INS DEPOSIT E									
12/01/18		N/A	12/31/18	0.00	0.00	0,00	22.07	N/A	N/A
Total FDIC Insured Bank D	eposits			\$556.56	\$5,022.79	\$4.01	\$23.70		
TOTAL CASH, MONEY FUNDS, AND BANK DEPOSITS	JNDS, AND BAN	K DEPOSITS		\$592.56	\$5,647.48	\$4.01	\$23.70		







100,000 95.7770 9,577.65 157.7400 15,774.00 6,196.35 Security Identifier: ASTE
6,198.35 -708.00

Page 5 of 24







Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Estimated Annual Income Yield
EQUITIES (continued)	:			THE PROPERTY OF THE PROPERTY O	v v vyry v · · · o oprovingo v · · · Adolphi · · · olo · · olo · · olo · · olo · · olo · · olo ·		
Common Stocks (continued)	_						
CISCO SYSTEMS INC			Security	Security Identifier: CSCO			
Dividend Option: Cash 01/30/13	400.000	20,6150	8,245.80 (CUSIP: 17275R102 43,3300	17,332.00	9,086.20	528.00 3.04%
EDITAS MEDICINE INC COM Dividend Option; Cash			Securit Cl	Security Identifier: EDIT CUSIP: 28:06W:03			
	Total Noncovered 100.000	28.8900	2,889.00	22.7500	2,275.00	-614.00	
ELECTRONIC ARTS INC COM Dividend Option: Cash			Secu	Security Identifier: EA CUSIP: 2855/2109			
11/07/18	50,000	93.0000	4,650.00	78.9100	3,945.50	-704.50	
EMERSON ELEC CO COM Dividend Option, Cash			Securi	Security Identifier: EMR CUSIP: 291011104			
07/30/15	100.000	51.7550	5,175.50	59.7500	5,975.00	799.50	196.00 3.28%
FACEBOOK INC CL A Dividend Option: Cash			æ	Security Identifier: FB CUSIP: 30303Mf02			
11/07/18	50.000	151.5700	7,578.50	131.0900	6,554.50	-1,024.00	
GOLDMAN SACHS GROUP INC COM Dividend Option: Cash	_		Secu	Security Identifier: GS CUSIP: 38141G104			
XSecurity Disposition Method: Versus Purchase 11/14/18 * 25.00	us Purchase 25.000	201.2690	5,031.73	167.0500	4,176.25	-855.48	80.00 1.91%
HD SUPPLY HLDGS INC COM Dividend Option: Cash			Securi Cl	Security identifier: HDS CUSIP: 40416M105			
08/30/17	100,000	32.2700	3,227.00	37.5200	3,752.00	525.00	
METLIFE INC COM Dividend Option: Cash			Securi C	Security Identifier: MET CUSIP: 59156R108			
Multiple ∵Y Total I	Total Noncovered 200.000	35.1620	7,032.35	41.0600	8.212.00	1,179.65	336.00 4.09%
NOVO NORDISK A.S. ADR FORMERLY NOVO INDUSTRIE A.S. ADR SAME CUSIP	Y NOVO		Securi Ct	Security Identifier: NVO CUSIP: 670100205			
12/21/16 *	100,000	35.6300	3,563.00	46.0700	4,607.00	1,044.00	90.58 1.96%
NVIDIA CORP COM Dividend Option: Cash 11/19/18	25.000	161.7500	Security Ct 4,043,75	Security Identifier: NVDA CUSIP: 67086G104 '5 133.5000	3,337.50	-706.25	16.00 0.47%
							Page 6 of 24







Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Estimated Annual Income Yield	mated Yield
EQUITIES (continued) Common Stocks (continued)								
ORACLE CORP COM Dividend Option: Cash			Security C	Security Identifier: ORCL CUSIP: 68389X105				
DAVDAL HITCHING COM	000.000	30,1700	Porton Fron	Wildontifion BVBI	0,010.00	P. 00-T. 00	1,000	3
PAYPAL HLDGS INC COM Dividend Option: Cash 09/18/15	250.000	32.9000	Securit C 8.225.00	Security Identifier: PYPL CUSIP: 70450Y103 34.0900	21,022.50	12.797.50		
PHILIP MORRIS INTL INC COM Dividend Option: Cash 01/27/14	200.000	81.8600	Secul 16,372.00	Security Identifier: PM CUSIP: 7/8/72109 66, 7600	13,352.00	-3,020.00	912.00 6.1	6.83%
SHIRE PLC SPONS ADR ISIN#U88248IR1068 Dividend Option: Cash			Security C	Security Identifier: SHPG CUSIP: 8248:Rt06				
STARBUCKS CORP COM Dividend Option: Cash	0.000	120.0400	o,z, r.z. Security C	Security Identifier: SBUX CUSIP: 855244109	C, 1 CA. 10 C	בקבדוויס		2
06/20/18 TENCENT HLDGS LTD ADR ISIN#US8803ZQ:094 Dividend Onton: Cash	100.000	54.8600	5,486.00 Security CI	00 64.4000 Security Identifier: TCEHY CUSIP: 88032Q109	6,440.00	954.00	144.00 2.	2.23%
11/07/18 THE TRADE DESK INC COM CL A Dividend Option: Cash	150.000	38.2100	5,731.50 Securi	39,4700 Security Identifier: TTD CUSIP: 88339J105	5,920.50	189.00	15.24 0.	0.25%
08/22/17 VERIZON COMMUNICATIONS INC COM ONLINE COMMUNICATIONS INC	100.000	50.0300	5,003.00 Secu C	116.0600 Security Identifier: VZ CUSIP: 92343V104	11,606.00	6,603.00		
Dividend Option: Cash 03/10/17	200,000	49.1980	9,839.60	56.2200	11,244.00	1,404.40	482.00 4.28%	28%

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DALBAR RATED COMMUNICATIONS
EXCELLENCE

FMI INTERNATIONAL FUND INVESTOR CLASS Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest Multiple '-Y Total Noncovered 1,307.197	DODGE & COX STOCK FUND Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest Multiple 'Y Total Noncovered	DODGE & COX INTERNATIONAL FUND Open End Fund Dividend Option: Cash; Capital Gains Option: Cash 03/19/15 * 581.116	FUNDS 58.0 RA FUND CLASS d on: Cash; Capital	Total Common Stocks TOTAL EQUITIES Date Acquired	ZIMMER BIOMET HLDGS INC COM Dividend Option: Cash 12/26/17	WALGREENS BOOTS ALLIANCE INC COM Dividend Option: Cash 11/07/17	EQUITIES (continued) Common Stocks (continued) VISA INC COM CL A Dividend Option: Cash 08/08/16	Date Acquired
180,990 IVESTOR CLASS Gains Option: Reinvest Total Noncovered 1,307.197	Gains Option: Reinvest Total Noncovered	ND s Option: Cash 581.116	00% of Portfolio A Gains Option: Cash Total Noncovered 1 399 029	Quantity	50.000	COM 100,000	150.000	Quantity
29.1210	180.6760	43.8200	15.2440	Unit Cost	120.1100	65.7600	79.9770	Unit Cost
Securit (38,066.46	Security 32,700.54	Security 25,464.50	Security 21,326.24	\$251,456.13 \$251,456.13 Cost Basis	: ĝ	Secun 6,576.00	Se 11,996.48	Cost Basis
Security Identifier: FMUX CUSIP: 302933304 16 28.6300	Security Identifier: DODGX CUSIP: 2562:9106 .54 172.8100	Security Identifier: DODFX CUSIP: 256206103 50 36.9100	Security Identifier: SPECX CUSIP: 0!5566102 24 18.2200	Market Price	Security Identifier: ZBH CUSIP: 98956P102 103.7200	Security Identifier: WBA CUSIP: 931427108 68.3300	Security Identifier: V CUSIP: 92826C839 131.9400	Market Price
37,425.05	31,276.88	21,448.99	25,490.31	\$357,469.25 \$357,469.25 Market Value	5,186.00	6,833.00	19,791.00	Market Value
-641.41	-1,423.66	4 ,015.51	4,164.07	\$106,013.12 \$106,013.12 Unrealized Gain/Loss	.819.50	257.00	7,794.52	Unrealized Gain/Loss
1,722.47	524.87	627.60		\$5,764.90 \$5,764.90 Estimated Estimated Annual Income Yield	48.00	176.00	150.00	Estimated Estimated Annual Income Yield
4.60%	1.67%	2.92%		imated Yield	0.92%	2.57%	0.75%	Estimated Yield

Go paperless







Date Acquired Quantity All TITIAL FILED COntinued	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss Ar	Estimated Estimated Annual Income Yield	timated Yield
FIDELITY INTERNATIONAL GROWTH FUND		Security Id CU	Security Identifier: FIGFX CUSIP: 315910315				
Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest	st						
Multiple 'Y Total Noncovered 1,828.724	13.7760	25,192.17	12.0100	21,962.98	-3,229.19	193.84	0.88%
FIRST EAGLE GLOBAL FUND CLASS A Open End Fund		Security Ide CUSI	Security Identifier: SGENX CUSIP: 32008F507				
r. Cash; Capital Gains Option; Cash Total Noncovered 926,909	47.2290	43,777.19	50,8900	47,170.40	3,393.21	398.57	0.84%
NEW WORLD FUND CLASS F-2 Open End Fund Dividend Option: Cash: Capital Gains Option: Reinvest		Security Ide CUSI	Security Identifier: NFFFX CUSIP: 649280823				
	57.0700	19,452.83	57.1600	19,483.50	30.67	266.79	1.36%
PARNASSUS MID-CAP FUND Open End Fund Open End Option: Cash: Capital Gains Option: Reinvest		Security Ider CUS	Security Identifier: PARIMX CUSIP: 701765885				
Multiple TY Total Noncovered 2,157,668	28.3260	61.118.24	28.8600	62,270.30	1,152.06	474.68	0.76%
T ROWE PRICE HEALTH SCIENCES FUND Open End Fund		Security Ide CUS	Security Identifier: PRHSX CUSIP: 741480107				
Unidend Option: Cash, Capital Gains Option: Reinvest Multiple 'Y Total Noncovered 433.213	69.6170	30,159.06	67.0100	29,029.60	-1,129.46		
T ROWE PRICE GLOBAL TECHNOLOGY Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest		Security Ide CUS	Security Identifier: PRGTX CUSIP: 741494108				





TOTAL PORTFOLIO HOLDINGS		TOTAL MUTUAL FUNDS	Multiple *,Y	Open End Fund Dividend Option: Reinvest:	VANGUARD SMALL-CAP INDEX FUND ADMIRAL SHARES	Dividend Option: Cash; Car Multiple 'Y	VANGUARD INTERNATIONAL GROWTH FUND Open End Fund	Dividend Option: Cash; Cap Multiple *-Y	T ROWE PRICE REAL ESTATE Open End Fund	Multiple 'Y Total Noncovered Multiple 'Y 230.755	T ROWE PRICE BLUE CHIP GROWTH Open End Fund	Multiple 'Y	PRIMECAP ODYSSEY GROWTH FUND Open End Fund Open End Fund Onition: Cash: Capital Gains C	MUTUAL FUNDS (continued) I ROWE PRICE GLOBAL TECHNOLOGY Multiple 'Y Total No. 2	Date Acquired
HOLDINGS		JNDS	Total Noncovered 1,063,083	Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest	NDEX FUND ADMIRAL	Dividend Option: Cash; Capital Gains Option: Reinvest Multiple 'Y Total Noncovered 818.346	IAL .	Dividend Option: Cash; Capital Gains Option: Reinvest Multiple 'Y Total Noncovered 701.613		•	GROWIH	Multiple 'Y Total Noncovered 1,439.172	WITH FUND nital Gains Ontion: Reinvect	MUTUAL FUNDS (continued) T ROWE PRICE GLOBAL TECHNOLOGY (continued) Multiple ↑	Quantity
			59.2180	<u>15.</u>		30.2220		28.0130		107.1600		26,5250		14,0970	Unit Cost
\$756,227.93	Cost Basis	\$499,124.32	62,953.61		Security Ids CUS	24,732.08	Security Id CU	19,654.54	Security Id	24,727.80	Security Id CUs	38,174.73	Security Id CU	31,624.33	Cost Basis
			63.2300		Security Identifier: VSMAX CUSIP: 922908686	24.9100	Security Identifier: VWIGX CUSIP: 921910204	24.7800	Security Identifier: TRREX CUSIP: 7799:9109	96.0300	Security Identifier: TRBCX CUSIP: 77954Q106	34.7300	Security Identifier: POGRX CUSIP: 74160Q103	12.2000	Market Price
\$863,173.22	Market Value	\$500,058.49	67,218.74			20,385.00		17,385.97		22,159.40		49,982.44		27,368.93	Market Value
\$100,947.29	Unrealized Gain/Loss	\$934,17	4,265.13			4,347.08		-2,268.57		-2,568.40		11,807.71		4,255.40	Uhrealized Gain/Loss
\$0.00	Accrued Interest														
\$12,136	Estimated Annual Income	\$6,341.67				329.87		505.16				177.65			Estimated Estimated Annual income Yield
7.27	nated come		1.66%			161%		2.90%				0.35%			Estimated Yield

Noncovered under the cost basis rules as defined below.









subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of

basis will not be reported to the IRS Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost

- × You have selected a disposition method for this security that differs from the default disposition method indicated in the Your Account Information Section. If you choose to sell or transfer a portion of these shares, the disposition method noted here will be used
- This line is an aggregation of shares in this position, representing shares acquired at different times and/or dates. This summary is a sum of these shares and does not represent cost basis calculated using the average cost accounting method

Portfolio Holdings Disclosures

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE. from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total. prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market

Estimated Annual Figures

Accrued interest represents interest earned but not yet received. may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or

Reinvestment

agent and receives payment for order flow. have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may

Option Disclosure

current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously turnished

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Portfolio Holdings Disclosures (continued)

Option Disclosure (continued)

financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

oreign Currency Transactions

organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Important Message Regarding Your Portfolio Holdings HARD TO VALUE POSITIONS

expect that the fair market value for hard-to value assets reflects the amount you would actually receive in the event of a sale because there are multiple ways that "value" may be ascertained by issuers and valuation services, and because the value shown is only a point-in-time assessment, you should not Positions identified on your statements as "hard-to-value" may show a "fair market value" amount for IRS purposes. Because hard-to-value assets are not readily tradeable, and MESSAGE REGARDING ROLLED-UP TAX LOTS

While your tax lots have been rolled up, all of the lots are still maintained. If you would like to receive the details of your tax lots, please contact your financial advisor

-\$1,121.09				tled	es Not Sett	Total Amount of Trades Not Settled	Total Amo
a to more a unitimary evolutionaries sections to the contract of the contract	The state of the s	***************************************	designations described the described of the control of the control of the control of the control of the described described the control of the control	SOLICITED ORDER DISCRETION EXER			A COLUMN CONTRACTOR OF THE COLUMN CO.
4,124.81	0.00	164.9924	-25.000	GOLDMAN SACHS GROUP INC COM	Sell	12/28/18	01/02/19
				DISCRETION EXER			
				ISIN#US1729674242 SOLICITED ORDER			
-5,245.90	0.00	52,4590	100.000	CITIGROUP INC COM NEW	Виу	12/28/18	01/02/19
Amount	Interest	Price	Quantity	Description	Туре	Date	Date
Settlement	Accrued				Activity	Trade	Settlement
medilinan eroteken etter e					C	Trades Not Settled	Trades

Page 12 of 24						
228.00	Cash	1.140000	200.000	01/11/19	12/20/18	Dividends PHILIP MORRIS INTL INC COM
Amount of Payment	Dividend Option	Rate	Quantity Held	Payable Date	Record Date	Security
						Cash Not Yet Received











Cash Not Yet Received (continued)

Security	Record Date	Payable Date	Quantity Held	Rate	Dividend Option	Amount of Payment
Dividends (continued)						
ZIMMER BIOMET HLDGS INC COM	12/28/18	01/31/19	50,000	0.240000	Cash	12.00
Total Cash Not Yet Received						\$240.00
Access shown here are not reflected in your account. This information has been received from sources we hallow to be reliable. D	nformation has be	en received from sources we	holieve to be reliab	le Perching does	brehing dose not guarantes the accuracy of the	rany of the

information. Assets snown nere are not remedied in your account. This information has been received from sources we believe to be reliable. Fersiling does not guarantee the account of the

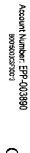
Schedule of Realized Gains and Losses Year-to-Date	eins and L	osses Yea	r-to-Date					
	Date	Date						Realized
Description	Disposed	Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Gain/Loss
Short Term								
ANHEUSER BUSCH INBEV	11/07/18	10/22/18	Nancovered	Versus Purchase / SELL	125.000	9,538.75	10,581.25	-1,042.50
NSORED ADR ISIN#US03524A1088								
Security Identifier, 03524A108			•		}			1
DERMIRA INC COM	03/05/18	11/28/17	Noncovered	Versus Purchase / SELL	50.000	454,55	1,171.98	.717.43
Security Identifier: 24983L104								
GLOBAL X FDS ROBOTIC	06/20/18	12/14/17	Noncovered*	Versus Purchase / SELL	250.000	5,680.00	5,789.98	-109.98
Security Identifier: 37954Y715								
GOLDMAN SACHS GROUP	12/28/18 ^u	11/14/18	Noncovered*	Versus Purchase / SELL	25.000	4,124.81	5,031.73	.906.92
Security Identifier: 38141G104								
HARBOR CAPITAL APPRE	11/30/18	12/18/17	Noncovered	First In First Out / SELL	33.305	2,479.56	2,338.00	141.56
8								
Security Identifier: 411511504								
IMPINJ INC COM	10/12/18	09/26/18	Noncovered*	Versus Purchase / SELL	100.000	2,046.81	2,489.15	-442.34
Security Identifier: 453204109								
JABIL INC COM	10/05/18	12/20/17	Noncovered*	First In First Out / SELL	100.000	2,621.00	2,697.00	-76.00
Security Identifier: 466313103	10/12/18	12/20/17	Noncovered T	Versus Purchase / SELL	100.000	2,410.00	2,697.00	.287.00
Total					200.000	5,031.00	5,394.00	-363.00
MOSAIC CO NEW COM	05/07/18	08/21/17	Noncovered*	Versus Purchase / SELL	200.000	5,484.00	3,974.00	1,510.00
Security Identifier: 6!945C103								





Schedule of Realized Gains and Losses Year-to-Date (continued)

4,949.08 1,327.50	17,042.48 1,575.00	21,991.56 2,902.50	295.387 50.000	Versus Purchase / SELL	Noncovered*	06/12/17	11/05/18	Total MAZOR ROBOTICS LTD S DS ISIN#US57886P1030 Security Identifier: 57886P103
291.73	971.09	1,262.82	16.962	First In First Out / SELL	Noncovered	12/19/16	11/30/18	Security Identifier: 411511504
235.58	1 071 39	1 306 97	17 555	First In First Out / SELL	Noncovered 7	12/17/15	11/30/18	ND CASTAL AFFIRE
		10 10 17			<u> </u>	2		Security Identifier: 382550:01
-634.62	2,971.00	2,336.38	100.000	Versus Purchase / SELL	Noncovered	08/21/17	09/26/18	GOODYEAR TIRE & RUBR
0,200.04	21,140.50	20,000.00	120.020		Noitcoaga	00/10/10	077	Security Identifier: 2562:9106
3 252 67	24,333.30	25,000.00	361./96 130.335	Giret In Giret Out 1951	Noncompa [*]	03/40/45	07/17/18	DODGE & COVICTORY EIL
100.00	:	7,461.00		THE THE PART OF TH	I TO RESTORE THE TAXABLE PROPERTY OF THE PROPE	, 0	() () () () () () () () () ()	Total
.421.55 .113.85	20,000.00	19,578.35	458.295 103.503	First in First Out / SELL	Noncovered*	03/05/14	08/17/18	Security Identifier: 256206103
-1,693,45	10,732.50	9,039.05	100.000		:			Total
-665.00	4,977.00	4.312.00	50,000	Versus Purchase / SELL	Noncovered*	06/21/16	03/28/18	Security Identifier: 151020104
-1,028.45	5,755.50	4,727.05	50.000	Versus Purchase / SELL	Noncovered [*]	04/08/15	02/21/18	CELGENE CORP
-1,045.39	14,140.82	13,095.43	250.000					Total
-81.73	2,703.40	2,621.67	50.000	First in First Out / SELL	Noncovered*	04/05/17	04/30/18	
-356.52	4,289.03	3,932.51	75,000	First In First Out / SELL	Noncovered*	03/06/17	04/30/18	Security Identifier: 110122108
-607.14	7,148.39	6,541.25	125.000	First In First Out / SELL	Noncovered.	03/06/17	04/27/18	BRISTOL MYERS SQUIBB
-6,641.43	67,123.59	60,482.16						Total Short Term
200 - 1								Security Identifier: 929160109
-2,220.00	13,875.00	11,655.00	125.000	First In First Out / SELL	Noncovered	04/27/18	10/22/18	VULCAN MATLS CO COM
244.50	2,709.50	2,954.00	100.000	Versus Purchase / SELL	Noncovered*	09/08/17	04/03/18	VIACOM INC NEW CL B Security Identifier; 92553P201
								Security Identifier: 88032Q109
-1,052.75	5,156.00	4,103.25	100.000	Versus Purchase / SELL	Noncovered	05/29/18	09/28/18	1 ENCENT HLUGS LTD AD 8032Q1094
	1000							Security Identifier: 860897107
.R29.57	2 850 00	2 220 43	100 000	Versus Purchase / SFI	Nancovered	10/05/18	12/12/18	STITCH FIX INC CLA
-1,053.00	5,763.00	4,710.00	100.000	Versus Purchase / SELL	Noncovered*	04/02/18	11/07/18	STERICYCLE INC COM STERICYCLE INC COM Security Identifier: 858912108
Canacas	Cost Design	The state of the second	Www.ipy	Cisponition monitors inclinations	Confidence and an artist and artist artist and artist and artist artis	Violento		Object Torse (Continued)
Realized	Cost Basis	Proceeds	Organitity	Disposition Method / Transaction	Designation	Date Acquired	Date Disposed	Description
				linea)	וו-נט-טמנה (הטונו	-USSES Ed	Gallisalia	Selled di Neglizea











Schedule of Realized Gains and Losses Year-to-Date (continued)

	Date	Date						Realized
Description	Disposed	Acquired	Designation	Disposition Method / Transaction	Quantity	uantity Proceeds Cost Basis	Cost Basis	Gain/Loss
Long Term (continued)				Long Term (continued)				
QUALCOMM INC	11/14/18	11/14/18 05/15/17	Noncovered*	Versus Purchase / SELL	100.000	5,418.32	5,531.74	.113.42
Security Identifier: 747525103								
Total Long Term				Total Long Term		103,783.24 98,275.40	103,783.24 98,275.40 5,507.84	5,507,84
Total Short and Long Term	erm		HEREN ER FREIER ER FREIER FREI POST ER FREIGNOCK SCHOLL STOCK STOC	Total Short and Long Term	o de abro ababilobrada e a di abbondo daba politic e	164,265.40	165,398,99	-1,133.59

Noncovered under the cost basis rules as defined below.

amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially subject to the cost Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed

will not be reported to the IRS Note. In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost basis

information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable. income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior

differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be

any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting. required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, discialms

These shares are unsettled and have been recently disposed. You will also see these shares on the Portfolio Holdings section until this transaction has been settled

account's selected tax lot method will be used to determine the cost basis for calculating gain and for loss, unless another method was selected at the time of the security disposal, and this may you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First in, First Out (FIFO). Your Please refer to the Your Account Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which





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Activity Summary (All amounts shown are in base currency)

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	45,810.49	45,810.49	0.00	-182,932.61	-182,932.61
Securities Sold	26,691.55	0,00	26,691.55	160,140.59	0,00	160,140.59
Total Securities	\$26,691,55	\$45,810.49	-\$19,118.94	\$160,140.59	-\$182,932.61	-\$22,792.02
Dividends and Interest	\$5,267.94	\$0.00	\$5,267.94	\$12,423.38	\$0.00	\$12,423,38
Distributions	\$18,905.92	\$0.00	\$18,905.92	\$21,398.99	\$0.00	\$21,398.99
Fees	\$0.00	\$0.00	\$0.00	\$0.00	-\$10,605.96	-\$10,605.96
Taxes Withheld	\$0.00	\$0.00	\$0.00	\$0.00	-\$34.24	-\$34.24
FDIC Insured Bank Deposits		-\$12,273.66	-\$4,466.23	\$41,627.89	-\$41,531.35	\$96.54
Totals	\$58,672.84	-\$58,084.15	\$588.69	\$235,590.85	-\$235,104.16	\$486.69

Transactions in Date Sequence

12/11/18	12/10/18	12/10/18	12/07/18	12/05/18	12/04/18	12/04/18	12/03/18	12/03/18	12/03/18	Process/ Settlement Date
								11/30/18	12/03/18 11/30/18	Process/ Trade/ Settlement Transaction Date Date
FDIC INSURED BANK DEPOSIT USD999997	CASH DIVIDEND RECEIVED EMR	FDIC INSURED BANK DEPOSIT	CASH DIVIDEND RECEIVED	FDIC INSURED BANK DEPOSIT	CASH DIVIDEND RECEIVED	FDIC INSURED BANK DEPOSIT	FDIC INSURED BANK DEPOSIT	11/30/18 PURCHASED TRBCX	SOLD HACAX	Activity Type
INSURED DEPOSIT PROGRAM F	100 SHRS EMERSON ELEC CO COM RD 11/16 PD 12/10/18	INSURED DEPOSIT PROGRAM F	100 SHRS AMGEN INC COM RD 11/16 PD 12/07/18	INSURED DEPOSIT PROGRAM F	150 SHRS VISA INC COM CL A RD 11/16 PD 12/04/18	INSURED DEPOSIT PROGRAM F	INSURED DEPOSIT PROGRAM F	T ROWE PRICE BLUE CHIP GROWTH NEPP003890 SOLICITED ORDER DISCRETION EXERCISED HLD-RNVST CAPGN ONLY	HARBOR CAPITAL APPRECIATION FUND SOLICITED ORDER DISCRETION EXERCISED	Description
								225,557	-328.692	Quantity
								107.2900	74,4500	Price
										Accrued Interest
-49.00	49.00	-132.00	132.00	-37.50	37.50	-271.12	-36,00	-24,200.00	24,471.12 USD	Amount
USD	USD	USD	USD	USD	USD	USD	USD	USD	USD	Currency

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	12/14/18	12/14/18	12/14/18	12/13/18	12/13/18	12/13/18	12/13/18	12/13/18	12/13/18	12/13/18	12/13/18	12/12/18	12/11/18	12/11/18	Process/ Settlement Date
			12/12/18												Process/ Trade/ Settlement Transaction Date Date
DISTRIBUTION TRBCX	USD999997 LONG TERM CAPITAL GAIN	FDIC INSURED BANK DEPOSIT	SOLD	REINVEST CASH INCOME TRREX	CASH DIVIDEND RECEIVED TRREX	LONG TERM CAPITAL GAIN DISTRIBUTION TRREX	CASH DIVIDEND RECEIVED MET	SHORT TERM CAPITAL GAIN DISTRIBUTION SGENX	CASH DIVIDEND RECEIVED SGENX	LONG TERM CAPITAL GAIN DISTRIBUTION SGENX	FDIC INSURED BANK DEPOSIT USD999997	CASH DIVIDEND RECEIVED WBA	REINVEST CASH INCOME FIGFX	CASH DIVIDEND RECEIVED FIGFX	1 Activity Type
12/12 PD 12/14/18	T ROWE PRICE BLUE CHIP GROWTH 225.5570 SHRS RD	INSURED DEPOSIT PROGRAM F	STITCH FIX INC CL A COM SOLICITED ORDER DISCRETION EXERCISED	T ROWE PRICE REAL ESTATE 883.4770 SHRS SHRS PURCH, AT \$26.38000 RD 12/11 PD 12/13/18	T ROWE PRICE REAL ESTATE 683.4770 SHRS RD 12/11 PD 12/13/16	T ROWE PRICE REAL ESTATE 683.4770 SHRS RD 12/11 PD 12/13/18	200 SHRS METLIFE INC COM RD 11/06 PD 12/13/18	FIRST EAGLE GLOBAL FUND CLASS A 926.9090 SHRS RD 12/11 PD 12/13/18	FIRST EAGLE GLOBAL FUND CLASS A 926.9090 SHRS RD 12/11 PD 12/13/18	FIRST EAGLE GLOBAL FUND CLASS A 926.9090 SHRS RD 12/11 PD 12/13/18	INSURED DEPOSIT PROGRAM F	100 SHRS WALGREENS BOOTS ALLIANCE INC COM RD 11/12 PD 12/12/18	FIDELITY INTERNATIONAL GROWTH FUND 18/2:9080 SHRS SHRS PURCH, AT \$12,15000 RD 12/07 PD 12/10/18	FIDELITY INTERNATIONAL GROWTH FUND 1812.9080 SHRS RD 12/07 PD 12/10/18	Description
			-100,000	18.136									15.816		Quantity
			22.2043												Price
															Accrued Interest
	507.50	-3,223.30	2,220.43	478.43	143.53	478.43	84.00	48.20	398.57	2,549.00	-44.00	44.00		192.17 USD	Amount
	USD	USD	USD	USD	USD	USD	USD	USD	USD	USD	USD	USD	USD	USD	Amount Currency



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12/17/18	12/17/18	12/17/18	12/17/18	12/17/18	12/17/18	12/17/18	12/17/18	12/17/18	12/17/18	12/17/18 12/14/18	12/17/18 12/14/18	12/14/18	12/14/18	12/14/18	Process/ Settlement Date
										12/14/18	12/14/18				Trade/ Transaction Date
REINVEST CASH INCOME PRHSX	REINVEST CASH INCOME PRHSX	SHORT TERM CAPITAL GAIN DISTRIBUTION PRHSX	LONG TERM CAPITAL GAIN DISTRIBUTION PRHSX	REINVEST CASH INCOME FMIJX	REINVEST CASH INCOME FMIJX	SHORT TERM CAPITAL GAIN DISTRIBUTION FMIX	CASH DIVIDEND RECEIVED FMIJX	LONG TERM CAPITAL GAIN DISTRIBUTION FMJX	FERSO(102 FDIC INSURED BANK DEPOSIT USD999997	PER987762 FDIC INSURED BANK DEPOSITS INTEREST RECEIVED	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED	REINVEST CASH INCOME TRBCX	REINVEST CASH INCOME TRBCX	SHORT TERM CAPITAL GAIN DISTRIBUTION TRBCX	Activity Type
T ROWE PRICE HEALTH SCIENCES FUND 407,6520 SHRS SHRS PURCH, AT \$70,17000 RD 12/13 PD 12/17/18	T ROWE PRICE HEALTH SCIENCES FUND 407 6520 SHRS SHRS PURCH, AT \$70,17000 RD 12/13 PD 12/17/18	T ROWE PRICE HEALTH SCIENCES FUND 407,6520 SHRS RD 12/13 PD 12/17/18	T ROWE PRICE HEALTH SCIENCES FUND 407,6520 SHRS RD 12/13 PD 12/17/18	FMI INTERNATIONAL FUND INVESTOR CLASS 1271,7140 SHRS SHRS PURCH, AT \$28,99000 RD 12/13 PD 12/14/18	FM: INTERNATIONAL FUND INVESTOR CLASS 1271.7140 SHRS SHRS PURCH, AT \$28,99000 RD 12/13 PD 12/14/18	FMI INTERNATIONAL FUND INVESTOR CLASS 1271.7140 SHRS RD 12/13 PD 12/14/18	FMI INTERNATIONAL FUND INVESTOR CLASS 127:7140 SHRS RD 12/13 PD 12/14/18	FMI INTERNATIONAL FUND INVESTOR CLASS 1271 7140 SHRS RD 12/13 PD 12/14/18	INSURED DEPOSIT PROGRAM F	INSURED DEPOSIT INTEREST CREDITED	INSURED DEPOSIT INTEREST REINVESTED	T ROWE PRICE BLUE CHIP GROWTH 225.5570 SHRS SHRS PURCH, AT \$101.54000 RD 12/12 PD 12/14/18	T ROWE PRICE BLUE CHIP GROWTH 225.5570 SHRS SHRS PURCH. AT \$101.54000 RD 12/12 PD 12/14/18	T ROWE PRICE BLUE CHIP GROWTH 225.5570 SHRS RD 12/12 PD 12/14/18	Description
2.091	23.470			10.022	25.461							4.998	0.200		Quantity
															Price
															Accrued Interest
-146.75	-1,646.91	146.75	1,646.91	-290.55	-738.10	290.55	1,675.72	738.10	-2,220.43	1.63	·1.63	-507.50	-20.30	20.30	Amount
USD	USD	USD	USD	USD	OSU	USD	USD	USD	USD	USD	USD	USD	USD	USD	Amount Currency







12/19/18	12/19/18	12/19/18	12/19/18	12/18/18	12/18/18	12/18/18	12/18/18	12/18/18	12/18/18	12/18/18	12/18/18	12/18/18	12/18/18	Process/ Settlement Date
CASH DIVIDEND RECEIVED VAVIGX	SPECX LONG TERM CAPITAL GAIN DISTRIBUTION VWIGX	LONG TERM CAPITAL GAIN DISTRIBUTION	FDIC INSURED BANK DEPOSIT	REINVEST CASH INCOME POGRX	REINVEST CASH INCOME POGRX	SHORT TERM CAPITAL GAIN DISTRIBUTION POGRX	CASH DIVIDEND RECEIVED POGRX	LONG TERM CAPITAL GAIN DISTRIBUTION POGRX	REINVEST CASH INCOME PRGTX	REINVEST CASH INCOME PRGTX	SHORT TERM CAPITAL GAIN DISTRIBUTION PRGTX	LONG TERM CAPITAL GAIN DISTRIBUTION	FDIC INSURED BANK DEPOSIT USD999997	Trade/ Transaction Date Activity Type
VANGUARD INTERNATIONAL GROWTH FUND 789.2140 SHRS RD 12/17 PD 12/19/18	VANGUARD INTERNATIONAL GROWTH FUND 789.2140 SHRS RD 12/17 PD 12/19/18	ALGER SPECTRA FUND CLASS A 1399 0290 SHRS RD 12/17 PD 12/18/18	INSURED DEPOSIT PROGRAM F	PRIMECAP ODYSSEY GROWTH FUND (409.9700 SHRS SHRS PURCH, AT \$35,14000 RD 12/14 PD 12/17/18	PRIMECAP ODYSSEY GROWTH FUND (409.9700 SHRS SHRS PURCH, AT \$35,14000 RD (2/14 PD (2/17/18	PRIMECAP ODYSSEY GROWTH FUND (409.9700 SHRS RD 12/14 PD (2/17/18	PRIMECAP ODYSSEY GROWTH FUND (409.9700 SHRS RD 12/14 PD (2/17/18	PRIMECAP ODYSSEY GROWTH FUND 1409.9700 SHRS RD 12/14 PD 12/17/18	T ROWE PRICE GLOBAL TECHNOLOGY 1796 7610 SHRS SHRS PURCH, AT \$12.1000 RD 12/14 PD 12/18/18	T ROWE PRICE GLOBAL TECHNOLOGY 1796 7610 SHRS SHRS PURCH, AT \$12.11000 RD 12/14 PD 12/18/18	T ROWE PRICE GLOBAL TECHNOLOGY 1796,7610 SHRS RD 12/14 PD 12/18/18	T ROWE PRICE GLOBAL TECHNOLOGY 1796.7610 SHRS RD 12/14 PD 12/18/18	INSURED DEPOSIT PROGRAM F	Description
				27.767	1.435				198.816	247.778				Quantity
														Price
														Accrued Interest
318.13	722.45	3,080.52	-174.05	.975.74	-50,43	50.43	174.05	975.74	-2,407.66	-3,000.59	2,407.66	3,000 59	-1,675.72	Amount
USD	USD	USD	USD	USD	USD	USD	USD			USD	USD	USD	USD	Amount Currency

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12/28/18 12	12/26/18	12/24/18	12/24/18	12/24/18	12/24/18	12/24/18	12/24/18	12/21/18	12/21/18		12/21/18	01/17/7		12/21/18	12/20/18	12/13/10	10110	12/19/18		12/19/18	Process/ Trade Settlement Trans Date Date	
12/26/18 PURCHASED BIDU	FDIC INSLIRED BANK DEPOSIT	REINVEST CASH INCOME VSMAX	CASH DIVIDEND RECEIVED VSMAX	REINVEST CASH INCOME NFFFX	CASH DIVIDEND RECEIVED NFFFX	Long term capital gain Distribution NFFFX	FDIC INSURED BANK DEPOSIT	CASH DIVIDEND RECEIVED NVDA	REINVEST CASH INCOME DODGX	DODGX	CASH DIVIDEND RECEIVED	DISTRIBUTION DODGX	DODEX	CASH DIVIDEND RECEIVED	FDIC INSURED BANK DEPOSIT	VWIGX	VWIGX	REINVEST CASH INCOME	DISTRIBUTION VWIGX	SHORT TERM CAPITAL GAIN	Trade/ Transaction Date Activity Type	-
BAIDU COM INC SPONS ADR REPSTG ORD SHS CL A SOLICITED ORDER DISCRETION EXERCISED	INSURED DEPOSIT PROGRAM F	VANGUARD SMALL-CAP INDEX FUND ADMIRAL SHARES 1057.1110 SHRS SHRS PURCH AT \$60.73000 RD 12/20 PD 12/24/18	VANGUARD SMALL-CAP INDEX FUND ADMIRAL SHARES ID57.1110 SHRS RD 12/20 PD 12/24/18	NEW WORLD FUND CLASS F-2 336 5460 SHRS SHRS PURCH, AT \$55 68000 RD 12/20 PD 12/24/18	NEW WORLD FUND CLASS F-2 336.5460 SHRS RD 12/20 PD 12/24/18	NEW WORLD FUND CLASS F-2 336.5460 SHRS RD 12/20 PD 12/24/18	INSURED DEPOSIT PROGRAM F	25 SHRS NVIDIA CORP COM RD 11/30 PD 12/21/18	DOUGE & COX STOCK FUND 169 4750 SHRS SHRS PURCH. AT \$173,08000 RD 12/18 PD 12/20/18	12/20/18	DODGE & COX STOCK FUND 169.4750 SHRS RD 12/18 PD	12/20/18	12/18 PD 12/20/18	Dodge & Cox International Fund 581.1160 Shrs RD	INSURED DEPOSIT PROGRAM F	SHRS SHRS PURCH. AT \$25.13000 RD 12/17 PD 12/19/18	SHRS SHRS PURCH, AT \$25,13000 RD 12/17 PD 12/19/18	VANGUARD INTERNATIONAL GROWTH FUND 789.2140	SHRS RD 12/17 PD 12/19/18	VANGUARD INTERNATIONAL GROWTH FUND 7892140	Description	
50.000		5.972		4.313					11.515							20.148	36 7 46	0.383			Quantity	
156,5485																					Price	
																					Accrued Interest	
-7,827.43	-263.41	-362.69	362.69	-240.13	263,41	240.13	-746.85	4.00	-1,993 03		115.24	i, yyu.uu	200	627.61	-3,398.65	04.227	400 4	-9.63		9.63	Amount Currency	
USD	USD	USD	USD	USD	USD	USD	USD	USD	USD	į	USD	CoD	5	USD	USD	Ç _S D	2	USD		USD	Currency	

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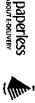




					rounded.	The price and quantity displayed may have been rounded.	ice and qua	The pr
OSD	\$588.69	\$0.00			Transactions	Total Value of Transactions	Value of T	Total
USD	150.00		A CHARLEST AND A CHAR		300 SHRS C H ROBINSON WORLDWIDE INC COM NEW RD 12/17 PD 12/31/18	CASH DIVIDEND RECEIVED CHRW		12/31/18
USD	474.69				PARNASSUS MID-CAP FUND 2157 6680 SHRS RD 12/26 PD 12/27/18	CASH DIVIDEND RECEIVED PARMX	00	12/28/18
USD	20,00				25 SHRS GOLDMAN SACHS GROUP INC COM RD 1/30 PD 12/28/18	CASH DIVIDEND RECEIVED GS	œ	12/28/18
USD	7,807.43 USD				INSURED DEPOSIT PROGRAM F	FDIC INSURED BANK WITHDRAWAL USD999997	œ	12/28/18
Currency	Amount Currency	Accrued Interest	Price	Quantity	Description	Activity Type	Date	Date
						ion	Process/ Trade/ Settlement Transaction	Process/ Settleme

\$21,398.99	
2,987.00	
18,411.99	apital Gain Distributions
	Distributions
\$12,389.14	Total Dividends, Interest, Income and Expenses
-34.24	Expenses Withholding Taxes 0.00
23.70	FDIC Insured Bank Deposits 1.63
	Interest Income
6,403.76	Other Dividends 4.745.81
5,995.92	Equities 520.50
	Dividend Income
Tax Deferred	Tax Deferred
Year-to-Date	Current Period





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ON THE PERSHING WEBSITE MARKET MUTUAL FUND & FDIC-INSURED DEPOSITS PROGRAM RATES & BANK LISTS". PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC). YOU

Important Information and Disclosures

- suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you. inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents: the it any, to you and in general, for the ongoing relationship that it has with you. acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice
- your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding
- a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act. This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after
- One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330. Errors and Omissions excepted. Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: Pershing LLC, Legal Department

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings
- panel at least 20 days prior to the first scheduled hearing date. The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement





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Important Information and Disclosures (continued)

Important Arbitration Agreement

the extent stated herein. The laws of the State of New York govern. class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class

Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330





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TERMS AND CONDITIONS

TRANSACTIONS

- SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLERING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS. ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE
- TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

FREE CREDIT BALANCES: ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

DEBIT BALANCES: INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PROPERTED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS, INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU, PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

MARGIN INFORMATION: IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORRIES AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.

 DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION
- GENERAL INFORMATION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE, DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN, INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS, ANY BALANCE REMAINING DUE PERSHING TO BE PROMOTLY PAID BY COURTIES CARRIED FOR WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE TOTALL TO THE PERSHING FOR ANY BALANCE SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE
- COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT

- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR, CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
- FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH, DETAILS AVAILABLE UPON REQUEST. IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: WWW. PERSHING.COM/DISCLOSURES
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS

RULE 607(A)(1)-(2)] PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICIES DISCLOSURES (REGULATION NMS—

WRITTEN REQUEST. PERSHING RECEIVES PAYMENTS FOR DIRECTING LISTED OPTIONS ORDER FLOW TO CERTAIN OPTION EXCHANGES. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY AND OPTION ORDERS TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL. COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT. NETWORKS, OR BROKER-DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTENDED TRADING SESSIONS. CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON PERSHING SENDS CERTAIN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE. COUNTER AND EXCHANGE-LISTED SECULITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS. TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBD). ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED MARKET CENTERS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY QUALITY OF THEIR EXECUTIONS IN ONE OR MORE MARKET SEGMENTS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT





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Attachment 3

OOLTEWAH TN 37363-7118 8714 RAMBLING ROSE DR PERSHING LLC AS CUSTODIAN SEP FBO CHARLES J FLEISCHMANN

(423) 668-5411 Your Financial Advisor Is: WARD PETTY

Account Number: EBR-299133

December 1, 2018 - December 31, 2018

Portfolio at a Glance

<u>UE 1 5 2 3 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5</u>	Net Change in Portfolio ¹ -3,124.63 -6,	Dividends, Interest and Other Income 4.12	Withdrawals (Cash & Securities) -3,993.57 -3.	Deposits (Cash & Securities) 3,993.57 7,	BEGINNING ACCOUNT VALUE \$11,694.41 \$11,	This Period Year
\$8,573,90	-6,577,68	14,61	-3,993.57	7,987.14	\$11,143.40	Year-to-Date

beginning account value after activity. 1 Net Change in Portfolio is the difference between the ending account value and

The Bank Deposits in your account are FDIC insured bank deposits.
FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.

Asset Summary



		5:	# # # # # # # # # # # # # # # # # # #	P
	100%	%	*	Percent
Please review your allocation periodically with your Financial Advisor. See the Asset Summary Disclosure and Footnotes section for important information regarding your Asset Summar.	Account Total (Pie Chart)	Alternative investments	19% Cash, Money Funds, and Bank Deposits 218.40	Asset Type
it information regarding your.	\$11,143.40	10,925.00	218.40	Prior Year-End
Asset Summary.	\$11,694.41	7,471.95		Last Period
	\$8,573.90	4,347.32	4,226.58	This Period





Asset Summary Disclosure and Footnotes

Note: Unpriced securities are not included in the Total Account Value

Summary of Gains and Losses

	Regized
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For Your Information

Electronic Delivery of Tax Documents

Electronic delivery of account communications can make managing your financial information easier-and tax documents are no exception. With anywhere, anytime access and the ability to download, then e- mail tax documents to your accountant, e-delivery is the convenient way to approach tax season! Enroll today- and if you're enrolled for account statements, but not tax documents, simply click the "Go Paperless" icon when you log in. GO PAPERLESS!!

prospectus, by clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial advisor and the process can be expedited for you If you currently have online access to your accounts through NetXInvestor, you can sign up for e-delivery of your statements and confirms, as well as Mutual Fund, ETF and UIT

This convenient e-delivery service is available even it you don't desire access to daily positions, values, balances and quotes. Contact your financial advisor and we can set up e-delivery

Make the move to paperless today!

Client Service Information

CHATTANOOGA TN 37402-2943	1101 BROAD STREET, SUITE 105	WARD PETTY	Your Financial Advisor Is; C90	
	Fax Number: (423) 668-5412	Telephone Number: (423) 668-5411	Contact Information	

Your Account Information

Investment Objective: MODERATE GROWTH INVESTMENT OBJECTIVE

Please review your investment objective. If you wish to make a change or have any questions please contact your Financial Advisor.









Your Account Information (continued)

RETIREMENT INFORMATION

Year-End Fair Market Value (12/31/2018): \$8,573.90. (Includes the fair market value of hard-to-value assets)

Year-End Fair Market Value of Hard-To-Value Assets (12/31/2018): \$4,347.32.

assets for tax reporting purposes are generally defined as assets that are not readily tradable. The fair market value for hard-to-value assets has been provided for Individual Retirement Accounts, based on Internal Revenue Service (IRS) reporting requirements. Hard-to-value

IRS Classifications for hard-to-value assets within your account:

E = Ownership interest in a partnership, trust, or similar entity (unless the entity is traded on an established securities market)

valuation adjustments made after 12/31/2018. The year-end fair market value is furnished to the Internal Revenue Service for Individual Retirement Accounts. Your fair market value may change based on transaction or asset

Please verify this information, and if you have any changes, and/or questions, please contact your tax professional or Financial Advisor

First In First Out

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds:

Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out

BOND AMORTIZATION ELECTIONS Default Method for all Other Securities: First In First Out

Amortize premium on taxable bonds based on Constant Yield Method: Yes

Include market discount in income annually: Accrual market discount method for all other bond types: Constant Yield Method

ELECTRONIC DELIVERY

You have not selected any account communications for electronic delivery. To register and turn off paper communications, log in to your account or contact your Financial Advisor for

Client Account Beneficiary Information

BRENDA FLEISCHMANN	Beneficiary Name Primary / Contingent Relationship to Account Holder(s) Allocation Percent
PRIMARY	Primary /Contingent
SPOUSE	Relationship to Account Holder(s)
100.00	Allocation Percent

Disclosures and Other Information:

agreement will control. Please contact your Financial Advisor to update your beneficiary information if necessary or to review more detailed information concerning your designations you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement





Page 3 of 17

Retirement Account Transactions

Total Contributions	Participant 3,993.57 3,9	This Period Year-Contributions	
\$3,993.57	3,993.57	This Period	Tay Your
\$3,993,57	3,993.57	Year-to-Date	r_ 2018
\$0.00	993.57 0.00 0.00	This Period	Tay Vear _ 2017
\$0.00	0.00	Year-to-Date	7

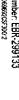
NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

\$4,226.58			FDIC Insured Bank Deposits	Total FC
\$4,226.58			12/31/18 Closing Balance	12/31/18
:		A/O12/17 \$4226.58		
4,226.58		Citibank N.A.	18	12/17/18
		APY 1.15%		
4,226.58	4.12	INTEREST CREDITED	18 Deposit	12/14/18
4,222.46	4,222.46		12/01/18 Opening Balance	12/01/18
			ty Ending: 12/31/18	Activity
			INSURED DEPOSIT PROGRAM F	INSURE
			Sweep FDIC Insured Bank Deposits	Sweep f
Balance	Amount	Description	Activity Type Description	Date

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Portfolio Holdings

Opening Date	Quantity	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yleld
CASH, MONEY FUNDS, AND BANK DEPOSITS 49.00% of Portfolion FDIC Insured Bank Deposits	AND BANK DEF	OSITS 49.00°	% of Portfolio						
INSURED DEPOSIT PROGRAM F	4 226 580	N/A	12/31/18	4 222 46	4 226 58	1 855	4 12	N/A	N/A
DEUTSCHE BANK INS DEPOSIT E 12/01/18		N/A	12/31/18	0.00	0.00	0.00	10.49	N/A	N/A
Total FDIC Insured Bank Deposits	Deposits			\$4,222.46	\$4,226.58	\$1.85	\$14.61		
TOTAL CASH, MONEY FUNDS, AND BANK DEPOSITS	UNDS, AND BA	NK DEPOSITS		\$4,222.46	\$4,226.58	\$1.85	\$14.61		







Page 4 of 1



Date Acquired		Unit Cost	Cost Basis			Unrealized Gain/Loss	Estimated Estimated Annual Income Yield
ALTERNATIVE INVESTMENTS 51.00% of Portfolio	MENTS 51.00%	of Portfolio					
TOWERHILL LONG SHORT EQUITY LLC	TH.		Security Ide	Security Identifier: 891LP1239			
Valuation Date: 12/21/18 Valuation Code: B. V, C	on Code: B. V, C						
02/12/13 *.з.12,н	4,347.320	1.0000	4,347.32	N/A	4,347.32	0.00	
TOTAL ALTERNATIVE INVESTMENTS	NVESTMENTS		\$4,347.32		\$4,347.32	\$0.00	\$0.00

B = This is an estimate of the value of the security

C = The source of this information is the manager or the administrator of the program.

pricing agent and may not include all fees and / or charges. Please contact your investment professional or financial organization for additional information. V = The market price of N/A is displayed because the investment does not have a per unit value. The investment value is an estimated value provided by the sponsor or the sponsor's

	TOTAL PORTFOLIO HOLDINGS		
. STRATES SALE IST KILDEN TREBUT HERBYLLEN BRITALIN BRITA	\$8,573.90	Cost Basis	
t is the state of	\$8,573.90 \$8,573.90 \$0.00 \$0.00 \$1	Market Value	
TO SECULO SECULOS DE LOS DESCRIPCIOS DE PROPERTI DE CONTROL DE CON	\$0.00	Gain/Loss	Unrealized
	\$0.00	Interest	Accrued
	\$14.61	Annual income	Estimated

Noncovered under the cost basis rules as defined below.

subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially

basis will not be reported to the IRS Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost

- 3 Either all or a portion of the cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information
- 12 Pershing has received updated cost basis information for either all or some of the shares for this security, therefore cost basis provided on previous client brokerage statements may differ from the new cost basis reported in this section.
- H This security has been denoted as a hard-to-value asset. Hard-to-value assets for tax reporting purposes are generally defined as assets that are not readily tradable

administrator of the Alternative Investment product, may not reflect recent activity or current values and may not reflect an independent evaluation of the Alternative Investment share estimate value provided in your account statement. Please note the estimated product values for Alternative Investments, which are provided by the manager or the above. Alternative Investment securities are not listed on a securities exchange, generally illiquid, and if you are able to sell the securities, the price received may be less than the per guaranteed, provided for informational purposes only and are intended to reflect an estimate of the interest in the Alternative Investment represented by the units or shares described funds, fund of funds, private equity, real estate and managed future have been provided by the manager or the administrator of the Alternative Investment product. The values are not The estimated values, where indicated of Alternative Investments, including limited partnerships, real estate investment trusts (REITs), direct participation programs (DPPs), hedge







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Leaving a credit in the assistant is being early assistationed as fixed at agency of defending another in the same of the same in the same or special status and season to be conversed to be conversed on the conversed of the conver EXEMBENT TOOK WAS SCHOOLOGE ON THE CONSIDER A SECTION OF THE CONTRACT OF THE C composite action brancadine unless four tectural your distancial organization otherwise. Technique currency convenient mais with not exceed the highest interests convenience and experience of the property o TENNING WAS EXECUTED TO THE STREET OF STREET AND ACCOUNT OF STREET FOREign Currency Transactions

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and prizobotive tooy of lesupen rediffew more skisheve at soutecong and prilibrates redistribited in Interclated by the copies which are valueds are exercised automatically purevant to the createst by exception procedure of the Options Creating Corporation. Additional course perplacend and juvancia informatice concentral your copies accounts beare brouding anales premium and juvancial classifier in your juvestiment collectives or printelatern mirmin polyudovimi vony falasa od redno mir mmi polyudovimi mony od baleveski polyudovimi provi da skielne si rodermatni aldi ki yremme A. kony od information with respect to commissions and other charges income discussion with discussions has been included in confirmations in testing in the modification with respect to commissions and other charges in the modification in the confirmation of the confirmation of the chargest in the confirmation of the chargest in the chargest i Oplion Declosure

THE DESIGNATION OF THE PARTY OF technishing the time of execution and the name of the person from whom you escurity was purotated, may be colabbed in deviction from any our security was purotated, may be colabbed in deviction from some of the person from whom you escurity was purotated, may be colabbed in deviction from the person f DENGINEERS OF WIND TOO BY THE PROPERTY OF THE PROPERTY OF WHITH THE PROPERTY OF THE PROPERTY OF WHITH THE PROPERTY OF THE PROP The dollar amount of Mutual Fund distributions. Money Maxies Fund dividend income, Gamb Deposit Interest income, or dividends incomes salving the distribution on your statement may

Actived interest represents interest served interest yet received. THEY DE INCLUDED IN THE FIGURES FOR CERTAIN SECURITIES. THE FISCH OF VIEW FRESHING CORRECTED SECURITIES AND EXCLUDING THE TOWNS. Expands to maken to make Eights A theistaco othernen accide tone, aster breichfeld fore issualling or different matters as a part of section of section of their section of thei and dividend rates are exigled to disinge at any time, and may be affected by current and future economic, political, and business conditions, they should not for making laansing scale, yourcost and oil as steam acties scale waste on, parisonnoin; sixtalian act of barrailed are obviour mort actionmoint price delivernoss are abruptly seasoff. Latineau studie for seasonable The estimated annual forcerse (LA) and estimated assumpt (EA2) figures are estimated and for informational purposes (NA) and estimates between the (NA) annual forcers of the contract of the SEMENTAL PROPERTY SE

THE ASOF PRICEDATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EXMALTHE STATEMENT DATE. LEND Testimont believe one the "ANY" bearen one extrines for all sold a rivina not eathwest sold electron electrons THE WATER OF THE READ OF THE PRODUCT This section includes the natural value of the escualibes in you account on a settlement based along short profities at the case to the escualibes at the case of the escualibes and the section includes the case of the escualibes and the section includes and the case of the escualibes. **W**put

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Portfolio Holdings Disclosures (continued)

Proxy Vote

shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your the number of shares in your margin account.

Important Message Regarding Your Portfolio Holdings HARD TO VALUE POSITIONS

expect that the fair market value for hard-to value assets reflects the amount you would actually receive in the event of a sale. Positions identified on your statements as "hard-to-value" may show a "fair market value" amount for IRS purposes. Because hard-to-value assets are not readily tradeable, and because there are multiple ways that "value" may be ascertained by issuers and valuation services, and because the value shown is only a point-in-time assessment, you should not MESSAGE REGARDING ROLLED-UP TAX LOTS

While your tax lots have been rolled up, all of the lots are still maintained. If you would like to receive the details of your tax lots, please contact your financial advisor

Schedule of Realized Gains and Losses Year-to-Date	Gains and I	Losses Yea	ar-to-Date	***************************************				
	Date	Date						Realized
Description	Disposed	Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	s Cost Basis	Gain/Loss
Long Term								
TOWERHILL LONG SHORT	12/28/18	12/28/18 02/12/13	Noncovered 1	First In First Out / REDEMPTION	3,124.630	0.00	3,124.63	-3,124.63
O								
Security Identifier: 89fLPf239								
Total Long Term		вала выполня (так МР _Б) частя тере мак часторого поставляющего				0.00	3,124.63	-3,124.63
Total Short and Long Term	erm					0.00	3,124.63	-3,124.63

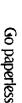
Noncovered under the cost basis rules as defined below.

basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially subject to the cost Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as

will not be reported to the IRS Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 10998, the cost basis

information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior

Page 7 of ;







Schedule of Realized Gains and Losses Year-to-Date (continued)

differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be

responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting. required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not

1 The cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information

you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your Please refer to the Your Account Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

ACTIVITY Sufficiently (All amounts shown are in base currency)	in are in base currency)					
Credits This Period	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Dividends and Interest	\$4.12	\$0.00	\$4.12	\$14.61	\$0.00	\$14.61
Cash						
Withdrawals	0.00	-3,993,57	-3,993.57	0.00	-3,993,57	-3,993.57
Deposits	3,993.57	0.00	3,993.57	7,987.14	0.00	7,987.14
Total Cash	\$3,993.57	-\$3,993.57	\$0.00	\$7,987.14	-\$3,993.57	\$3,993.57
FDIC Insured Bank Deposits	\$0.00	-\$4.12	-\$4.12	\$4,222.46		-\$4,008.18
Totals	\$3,997.69	-\$3,997.69	\$0.00	\$12,224.21	-\$12,224.21	\$0.00

12/26/18	12/17/18	12/17/18	Process/ Settlement Date
08/31/18	12/17/18 12/14/18	12/14/18	Process/ Trade/ Settlement Transaction Date Date
PER987762 12/26/18 08/31/18 CURRENT YEAR CONTRIBUTION USD999997	REINVESTED PER987762 FDIC INSURED BANK DEPOSITS INTEREST RECEIVED	12/17/18 12/14/18 FDIC INSURED BANK DEPOSITS INTEREST	Activity Type
A/O 08/31/2018	INSURED DEPOSIT INTEREST CREDITED	INSURED DEPOSIT INTEREST REINVESTED	Description
			Quantity
			Price
			Accrued Interest
3,993.57	4.12	-4.12	Amount C

Currency OSU

Transactions in Date Sequence





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Page 8 of 11

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Pershing ELC, member FINRA, NYSE, SIPC





Transactions in Date Sequence (continued)

Process/ Trade/ Settlement Transa	Process/ Trade/ Settlement Transaction							
Date	Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
12/26/18	08/31/18	12/26/18 08/31/18 FEDERAL FUND WIRE	REV A/O 08/31/2018				-3,993,57 USD	USD
		ADJUSTMENT						
		USD999997						
12/28/18		SECURITY REDEEMED	TOWERHILL LONG SHORT EQUITY LLC AS PER AUDIT	-3,124.630			0,00	0.00 USD
		891LP1239	VALUATION					
Total Va	alue of Tr	Total Value of Transactions				\$0.00	\$0.00 USD	USD
The price	e and quan	The price and quantity displayed may have been rounded.	rounded.					

Interest Income FDIC Insured Bank Deposits Total Dividends, Interest, Income and Expenses	
	······································
	Current Period Year Tax Deferred Tax
4.12 \$4.12	Current Period Tax Deferred
14.61 \$ 14.61	Year-to-Date Tax Deferred

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC). YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET MUDUAL FUND & FDIC-INSURED DEPOSITS PROGRAM RATES & BANK LISTS". PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.



Important Information and Disclosures

- suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents: the Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement
- a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution. For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as
- protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC
- receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after ights, including your rights under the Securities Investor Protection Act.
- One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330. Errors and Omissions excepted Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: Pershing LLC, Legal Department

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.

 The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- panel at least 20 days prior to the first scheduled hearing date. The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court
- The rules of the arbitration forum in which the claim is filed, and any amendments therefo, shall be incorporated into this agreement.

Important Arbitration Agreement

action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until, (1) the class certification is denied; (II) the class is decertified or Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class the extent stated herein. The laws of the State of New York govern. (iii) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to

Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330







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WHENEVER YOU ARE INDEBTED TO PERSHING LLO ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES TO PERSHING OR NOTICE TO YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURITIES FOR PURCHASE OR BOTH OF ALL OF YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR ANY BALLANCE REMAINING DUE PERSHING TO PALL OR ANY SECURITIES CARRIED HAVE AND TIME WITHOUT TENDER PERSHING FOR THE SEPARATELY OR WITHOUT FURTHER SECURITIES CARRIED IN SUCH ACCOUNTS.

COMMINGLING THER SEPARATELY OR UNDER CIRCUMSTANDER TO YOU. LOADED OR PLEDED FOR PROMBTED BY YOU. LOADED OR PLEDED FOR PROMBTED BY LAW FURSHINGS. BUT NOT UNDER CIRCUMSTANCES FOR AN AME IN AMOUNT. HE DOED BY LAW. BUTH OTHER SECURITIES FOR ANY AMOUNT. LESS THAN EQUAL TO OR PLEDED BY AMOUNT LESS THAN EQUAL TO OR PLEDED BY AMOUNT LESS THAN EQUAL TO OR PLEDED BY AMOUNT LESS THAN EQUAL TO OR AMOUNT. GENERAL INFORMATION ASSOCIATED DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE DETERMINE THE APPROPRIATE TAX TREATMENT OF LEGAL ADVISORY SERVICES AND NO ONE DETERMINE THE APPROPRIATE TAX TREATMENT OF THEM BUSINESS. THEM TAX ADVISORS TO ONE ANY SERVICES AND NO ONE THEM SERVICES OF THEM TAX ADVISORS TO THE BUSINESS. AS TAXABLE OR MONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE ASSIFICATION. AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL STATEMENT TO PREPARE YOUR TAX INFORMATION TO THE INTERNAL INFORMATION TO ASSIST IN ACCUMULATING THE TAX INFORMATION STATEMENT THE PERSHING THE ANNUAL TAX INTHORITIES, AT THAT THAT THAT PERSHING WILL ON TO ASSIST IN ACCUMULATING THE TAX STATEMENT ATTEMENT TO YOU. USE THAT THE PERSHING WILL ON TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS. MARGIN INFORMATION: IF YOU MAINTAIN A MARGIN ACCOUNT. THIS IS A COMBINED STATEMENT OF THE REDUCTION TOF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMENT OF THE REDUCTION OF THE REDUCTION OF THE FEDERAL RESERVE SYSTEM. THE PERMENT OF THE PERMENT ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE INTERPRETATIONS OF THE EXCHANGE OR REGULATIONS, CUSTOMS, USAGES, RULMGS AND EXECUTED AND OR SETTIONS, CUSTOMS, USAGES, RULMGS AND THE LEGULATIONS ARE EXECUTED AND THE AND THE CLEARING FAOLITY, IF ANY OF AND TO ALL APPLICABLE LAWS AND FAOLITY, IF ANY, WHERE DATE, WHICHEVER IS LATER PURCHASE PRICE IS RECEIVED OR UNTIL THE FINANCIAL BE OATE, WHICHEVER IS LATER.

OATE, WHICHE DEBIT BALANCES, INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY FROM THE REASTED IN MATERIAL PREVIOUSLY FURNISHED TO YOU REASTE ANY CHANGE IN MONEY FURNISHED TO YOU FER REASONS INTEREST IS THE REAST I RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, REASE NOTHEY DERIGHING MALEDIATELY IN WARTING BY CERTIFIED MAIL TO CIME DERIGHING IL AZA, LERSEY CITY, MI OTAGO, ATTH'LEGAL DEPT BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS. FREE OREDIT BALANCES: ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OFFINS WHICH DO NOT APPEAR ON YOUR SESPECIALLY TRUE IF YOU HAVE WRITTEN OFFINS WHICH HAVE BEEN EXERCISED. REST EXECUTION: NOTWITHST ANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR COUNTER ASHING SELECTS CERT AIM MARKET CENTERS TO PROVIDE EXECUTION OF OVER THE MARKET TENDORCALLY UP TO A SPECIFIED STOCKMENT TO PROVIDE EXECUTION OF OVER THE CONTACTES MARKET DO NOT MAKE A MARKET OF PROVIDE EXECUTION OF OVER THE CONTACTES MARKET FOR OBTAIN AN EXECUTION OF OVER THE OR BETTER OF OBTAIN AN EXECUTION OF THEM AT OR BETTER THAN PROVIDED ARE SELECT ED BASED ON THE DESIGNATED MARKET OF THEM AT OR BETTER THAN PROVIDE MARKET SEGMENTS AND THE CONSISTENT HIGH ADDRESS SUPERROR TO THE NEED SHARET CENTERS TO PROVIDE MARKET SEGMENTS AND THE CONSISTENT HIGH ADDRESS SUPERROR TO THE NEED PERSHING ALSO REGULARLY PROVIDE PERSHING SENDS CERTAN EQUITY ORDERS TO EXCHANGES ELECTRONIC COMMUNICATION TRADING SESSIONS. CERTANY OF THESE VENUES PROVIDES FLECTRONIC COMMUNICATION SUBSEQUEST EXECUTION OF PRESENDING UPON THE DETAILS PROVIDE PROVIDE PROVIDE SUBSEQUEST PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER TO CERTANY OFTON EXCHANGES INCOMENTS TO PERSHING EXTENDED ORDERS TO THE STAND DURANGE EXTENDED ORDERS TO THE STAND OFTON EXCHANGES IN ADDITION PERSHING AND DURANGE EXTENDED ORDERS TO THE ORDER AND ASSETT OF THE ORDER AND ASSETT ORDERS AND DIRECTING USTON OFTON ORDERS AND THE PORM OF A PERSHING ROUTES CERTANY EQUITY AND OPPON ORDERS TO THE FORM OFTON ORDERS AND THE PORM OF A PERSON CONTRACT CASH PAYMENT, AS PRINCIPAL. Terms and conditions PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICIES DISCLOSURES (REGULATION NINS_ PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, POSITION ON YOU MAY MAKE, PERSHING MAY HAVE A CONSCIONALLY AT THE TIME OF ANY FUNANCIAL INSTITUTION OR PERSHING MAY HAVE A POSITION IN SUCH SECURITIES WHICH A PANALABLE UPON REQUEST.

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AVAILABLE UPON DETAILS.

AVAILABLE UPON DETAILS. FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES, WWW.PERSHING.COMIDISCLOSURES. A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PRIVATE PRIVATE



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Clearing through Pershing LLC a wholly owner Corporation (Buv.

Attachment 4



CHARLES J FLEISCHMANN BRENDA M FLEISCHMANN JT TEN 8714 RAMBLING ROSE DR OOLTEWAH TN 37363-7118

Your Financial Advisor Is: WARD PETTY (423) 668-5411

> Account Number: ETF-005005 December 1, 2018 - December 31, 2018

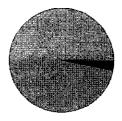
Portfolio at a Glance

	This Period	Year-to-Date
BEGINNING ACCOUNT VALUE	\$106,179.17	\$107,931.37
Dividends, Interest and Other Income	497.45	2,151.83
Net Change in Portfolio	-7,706.49	-11,113.07
SNEW GARROUNT VALUE TO SEE		\$98,970,13
Estimated Annual Income	\$2,118.67	
1 Not Change in Portfolio is the difference between the ending account value and	etween the ending accor	int value and

beginning account value after activity. Net Change in Portiono is the difference between the ending account value and

The Bank Deposits in your account are FDIC insured bank deposits.
FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.

Asset Summary



	Percent	Asset Type	Prior Year-End	Last Period	This Period
	3%	Cash, Money Funds, and Bank Deposits	2,468.71	2,232.69	2,730.14
emen.	97%	Exchange-Traded Products	105,462.66	103,946.48	96,239.99
1	100%	Account Total (Pie Chart)	\$ 107,931.37	\$106,179.17	\$98,970.13
		Please review your allocation periodically with your Financial Advisor			

(Illin)

Additional Information

Securities Bought and Sold \$0.00 \$1,890.40	Description
\$0.00	This Period
\$1,890.40	Year-to-Date

Summary of Gains and Losses

Net Gain/Loss 0.00 7,038.40 -6,995.56	0.00 7,038 40	0.00	Net Gain/Loss
 20 -	7 825 86	2.00	John Term Gain / Des
Unrealized	Realized This Period Realized Year-to-Date	Realized This Period	Short Torm Cain / Oce

This summary excludes transactions where cost basis information is not available

For Your Information

Electronic Delivery of Tax Documents

Electronic delivery of account communications can make managing your financial information easier-and tax documents are no exception. With anywhere, anytime access and the but not tax documents, simply click the "Go Paperless" icon when you log in. ability to download, then e- mail tax documents to your accountant, e-delivery is the convenient way to approach tax season! Enroll today- and if you're enrolled for account statements,

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prospectus, by clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial advisor and the process can be expedited for you. If you currently have online access to your accounts through NetXInvestor, you can sign up for e-delivery of your statements and confirms, as well as Mutual Fund, ETF and UTT

This convenient e-delivery service is available even it you don't desire access to daily positions, values, balances and quotes. Contact your financial advisor and we can set up e-delivery

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Client Service Information

1101 BROAD STREET, SUITE 105 Fax Number: (423) 668-5412
UITE 105 Fax Number

Your Account Information

CHATTANOOGA

TN 37402-2943

Investment Objective: MODERATE GROWTH INVESTMENT OBJECTIVE

Please review your investment objective. If you wish to make a change or have any questions please contact your Financial Advisor.











Your Account Information (continued)

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds:

Default Method for all Other Securities: Default Method for Stocks in a Dividend Reinvestment Plan.

First In First Out First In First Out

BOND AMORTIZATION ELECTIONS

Accrual market discount method for all other bond types: Amortize premium on taxable bonds based on Constant Yield Method:

First In First Out Yes Constant Yield Method

ELECTRONIC DELIVERY

Include market discount in income annually

more information. You have not selected any account communications for electronic delivery. To register and turn off paper communications, log in to your account or contact your Financial Advisor for

FDIC Insured Bank Deposits

\$3 C\$7			O included Don't Donobito	1000
\$2,657.	menerom manurom entrepretamente proportion de como de		12/31/18 Closing Balance	12/31/18
2,657.	18.96	DEPOSIT	Deposit	12/31/18
2,638.	15.49	DEPOSIT	Deposit	12/28/18
2,623.	63.52	DEPOSIT	Deposit	12/26/18
2,559.51	256.71	DEPOSIT	Deposit	12/24/18
		A/O12/17 \$2302.80		
2,302.80		Citibank N.A.		12/17/18
		APY 1.15%		
2,302.	2.19	INTEREST CREDITED	Deposit	12/14/18
2,300.61	67.92	DEPOSIT	Deposit	12/10/18
2,232	2,232.69		Opening Balance	12/01/18
			Activity Ending: 12/31/18	Activity En
			INSURED DEPOSIT PROGRAM F	INSURED [
			Sweep FDIC Insured Bank Deposits	Sweep FDI
balance	Anount	Uescr ption	Activity Type	Date

accounts. Please review this in connection with other deposits you may have at each respective bank. The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement





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Portfolio Holdings

Opening Date Quantity	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
ONEY FUNDS, AND	POSITS 3.00% o	f Portfolio	2 20	73.66				
Cash balance FDIC Insured Bank Deposits			0.00	/2.66				
INSURED DEPOSIT PROGRAM F	ř.))))) 1 1 1		<u>.</u>		
DEUTSCHE BANK INS DEPOSIT E		1		*,000		!		
12/01/18	N/A	12/31/18	0.00	0.00	0.00	18.64	N/A	N/A
Total FDIC Insured Bank Deposits			\$2,232.69	\$2,657.48	\$1,09	\$20.83		
TOTAL CASH, MONEY FUNDS, AND BANK DEPOSITS	ANK DEPOSITS		\$2,232.69	\$2,730.14	\$1.09	\$20.83		
		Pacie	Market Drive	Market Value	Unrealized		Estimated Estimated	stimated Viold
EXCHANGE-TRADED PRODUCTS 97.00% of Portfolio	0% of Portfolio	Acat casts	A Management of the Management	An entire sections to the Adito	(Cate) Local		Company of the Company	Ç
ISHARES GOLD TR ISHARES Dividend Option: Cash; Capital Gains Option: Cash			Security Identifier: IAU CUSIP: 464285105					
Multiple Y Total Covered 276,000	12.7880	3,529.49	12.2900	3,392.04	-137.45			
ISHARES TR S&P 500 GROWTH ETF Dividend Option: Cash; Capital Gains Option: Cash Multiple Y 103.000	163.3320	s 16,823.21	Security identifier: IVW CUSIP: 464287309 150.6700	15,519.01	-1,304.20		198.29	1.27%
ISHARES TR S&P 500 VALUE ETF Dividend Option: Cash; Capital Gains Option: Cash Multiple ^Y 70tal Covered 99,000	119.3540	11,816.07	Security Identifier: IVE CUSIP: 464287408 101.1400	10,012.86	-1,803.21		274.80	2.74%
ISHARES TR S&P MIDCAP 400 GROWTH ETF			Security Identifier: IJK CUSIP: 464287606					
Dividend Option: Cash; Capital Gains Option: Cash Multiple ^Y 44,000	206.1130	9,068.96	191.2300	8,414.12	-654.84		95,10	1.13%
ISHARES TR S&P MIDCAP 400 VALUE ETF Dividend Option: Cash, Capital Gains Option: Cash Multiple Y Total Covered 41,000	133.3650	5,467.97	Security Identifier: IJJ CUSIP: 464287705 138.3400	5,671.94	203.97		113.87	2.00%
ISHARES TR US FINANCIALS ETF INDEX FD Dividend Option: Cash; Capital Gains Option: Cash			Security Identifier: IYF CUSIP: 464287788					









Date Acquired Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Estimated Annual Income Yield	
EXCHANGE-TRADED PRODUCTS (continued) ISHARES TR US FINANCIALS ETF INDEX (continued)	inued) d)						
01/09/17 11.000	102.6920	1,129.61	106.3700	1,170.07	40.46	22.24 1.90%	
ISHARES TR S&P SMALL-CAP 600 VALUE ETF		CU Secur	Security Identifier: IJS CUSIP: 464287879				
otion: Cash; Capital Gai	•			4 > 1 1			
55,000	10.14000	0,00		7,50	000.0	F	
ISHARES TR S&P SMALL-CAP 600 GROWTH ETF		CU Secur	Security Identifier: IJT CUSIP: 464287887				
Dividend Option: Cash; Capital Gains Option: Cash							
Multiple Y Total Covered 69.000	169.1750	11,673.08	161.3300	11,131.77	-541.31	102.66 0.92%	
ISHARES TR 10-20 YR TREAS BD ETF Dividend Option: Cash; Capital Gains Option: Cash		Securit CU	Security Identifier: TLH CUSIP: 464288653				
Multiple Total Covered 20.000	130.6120	2,612.24	133.5100	2,670.20	57.96	57.86 2,16%	
ISHARES TR LONG-TERM CORP BD ETF		Security CI	Security Identifier: IGLB CUSIP: 464289511				
Dividend Option: Cash; Capital Gains Option: Cash 10/18/18	56,6280	3,454.32	56.3000	3,434.30	-20.02	156.74 4.56%	
ISHARES IBONDS DEC 2023 TERM CORPORATE	•	Security CU:	Security Identifier: IBDO CUSIP: 46434VAX8				
Dividend Option: Cash; Capital Gains Option: Cash 01/25/18	24.9200	2,815.95	24.1900	2,733.47	·82.48	84.70 3.09%	
ISHARES IBONDS DEC 2025 CORPORATE ETF Dividend Option: Cash; Capital Gains Option: Cash 01/25/18 114.000	24.8400	Security CU 2,831.73	Security Identifier: IBDQ CUSIP: 46434VBD1 3 23.6000	2,690.40	-141.33	94.73 3.52%	
ISHARES TR IBONDS DEC 2021 TERM CORPORATE ETF FD		Security CU:	Security Identifier: IBDM CUSIP: 46434VBK5				
Dividend Option: Cash; Capital Gains Option: Cash						5	





Cale Acquised Countrily Unit Cost Coal State Masked Frice Medical Masked Frice Controllated Controllated Controllated Controllated Controllated Controllated Controllated Provided Frice	\$2,118.67	\$0.00	-\$6,995.56	\$98,970.13	A STATE OF THE PARTY OF THE PAR	\$105,965.69		TOTAL PORTFOLIO HOLDINGS
Continued Cont	≱	Accrued Interest	Unrealized Gain/Loss	Market Value		Cost Basis		
GE_TRADED_PRODUCTS (continued)	\$2,097		-\$6,995.56	\$96,239.99		\$103,235.55	TS	TOTAL EXCHANGE-TRADED PRODUC
Country Unit Cost Cost Basis Market Price Market Value Cost Basis Market Price Market Value Cost Basis Cost Basis Market Price Market Value Cost Basis Cost Basis Cost Basis Market Price Cost Basis Cost Basis Market Price Cost Basis Cost	117		-570.08	2,965.82	48,6200	3,535.90	57.9660	Dividend Option: Cash; Capital Gains Option: Cash Multiple Y Total Covered
d Quantity Unit Cost Cost Basis Market Price Market Value Chreshlass GE-TRADED PRODUCTS (continued): BRONDS DEC 2021 TERM (continued): 24,7250 4,944.90 24,3600 4,872.00 -72.90 BRONDS DEC 2027 200.00 Security Identifier: IBDS CUSIP: 4645SUAA9 200.00 -72.90 -72.90 DRATEETF Total Covered 24,7030 2,890.26 23.3900 2,796.63 -153.63 BRONDS DEC 2028 TERM Total Covered 24,7030 2,890.26 23.3900 2,796.63 -153.63 BRONDS DEC 2028 TERM Total Covered Districts CUSIP: 4643SUAA9 2,796.63 -153.63 BRONDS DEC 2028 TERM TOS 2000 24,9400 2,893.52 24,9446 2,694.02 0.50 SHS FDS SPDR PORT DEVELOPED Security Identifier: SPDW CUSIP: 79463X889 0.50 0.50 USE FIF Security Identifier: SPDW 0.50 0.50 0.50 0.50 USE FIF Security Identifier: SPDW 0.60 0.70 0.70 0.70 USE POSSONO					rity Identifier: VGK CUSIP: 922042874	Secr		VANGUARD INTL EQUITY INDEX FDS FTSE EUROPE ETF
Countinued Unit Cost	32		-156.25	917.60	urity Identifier: XLE CUSIP: 81369Y506 57,3500		67.1160	SELECT SECTOR SPDR TR ENERGY Dividend Option: Cash; Capital Gains Option: Cash 10/26/17 16.000
Country Unit Cost Cost Basis Market Price Market Value Cost Basis Market Price Market Value Cost Basis Cost Basis Market Price Market Value Cost Basis Cost Basis Cost Basis Market Price Market Value Cost Basis Cost Basis Cost Basis Market Price Market Value Cost Gain/Loss Cost Basis Cost Basis Cost Basis Cost Basis Cost Basis Market Price Market Value Cost Basis Cost	24		-123.20	1,111.44	urity Identifier: XLB CUSIP: 81369Y100 50.5200		56.1200	SELECT SECTOR SPDR TR MATLS Dividend Option: Cash; Capital Gains Option: Cash Multiple Y 22,000
Counting Continued	210		.734.54	6,850.55	26,4500	7,585.09	29.2860	Dividend Option: Cash, Capital Gains Option: Cash Multiple Y Total Covered 259,000
Guentity Unit Cost Cost Basis Market Price Market Value Gain/Loss Annu Gain/Loss Cost Basis Market Price Market Value Gain/Loss Annu Gain/Loss Cost Basis Market Price Market Value Gain/Loss Annu Gain/Loss Cost Basis Market Price Market Value Gain/Loss Annu Gain/Loss Cost Basis Market Price Market Value Gain/Loss Annu Gain/Loss Cost Basis Market Price Market Value Gain/Loss Annu Gain/Loss Cost Basis Market Price Market Value Gain/Loss Cost Basis Market Value Market Value Gain/Loss Cost Basis C					ty Identifier SPDW CUSIP: 78463X889	Securi		SPDR INDEX SHS FDS SPDR PORT DEVELOPED WORLD EX-US ETF
GE-TRADED PRODUCTS (continued)	158		0.50	2,694.02	24.9446	2,693.52	24.9400	Dividend Option: Cash; Capital Gains Option: Cash 10/18/18 108.000
GE-TRADED PRODUCTS (continued) BONDS DEC 2021 TERM (continued) Total Covered 24,7250 4,944.90 24,3600 4,872.00 .72.90 BONDS DEC 2027 Security Identifier: IBDS ORATE ETF					rity Identifier: IBDT CUSIP: 46435U515	Secu		ISHARES TR IBONDS DEC 2028 TERM CORPORATE ETF
Get Cost Cost Basis Market Price Market Value Gain/Loss Annu	100		-153,63	2,736.63	23.3900	2,890.26	24.7030	Dividend Option: Cash, Capital Gains Option: Cash Multiple Y 117,000
d Quantity Unit Cost Cost Basis Market Price Market Value Gain/Loss Annu Gain/Loss Annu Gain/Loss Continued) IBONDS DEC 2021 TERM (continued) Total Covered 24.7250 4.944.90 24.3600 4,872.00 -72.90 200.000					irity Identifier: IBDS CUSIP: 46435UAA9	Secu		ISHARES TR IBONDS DEC 2027 TERM CORPORATE ETF
d Quantity Unit Cost Cost Basis Market Price Market Value Gain/Loss Annu GE-TRADED PRODUCTS (continued) IBONDS DEC 2021 TERM (continued)	126		-72.90	4,872.00	24,3600	4.944.90	24.7250	
Cost Basis Market Price Market Value Gain/Loss Annu							inued)	EXCHANGE-TRADED PRODUCTS (continued) ISHARES TR IBONDS DEC 2021 TERM (continued)
	Annual Inco	· · · · · · · · · · · · · · · · · · ·		Market Value	Market Price	Cost Basis	Unit Cost	Date Acquired Quantity

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subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of

basis will not be reported to the IRS Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost

Y This line is an aggregation of shares in this position, representing shares acquired at different times and/or dates. This summary is a sum of these shares and does not represent cost basis calculated using the average cost accounting method

Portfolio Holdings Disclosures

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE. prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the ${ t Total}$. This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market

Estimated Annual Figures

Accrued interest represents interest earned but not yet received. may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making guarantee of future results. These figures are computed using information from providers believed to be reliable, however, no assurance can be made as to the accuracy. Since interest The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or

Reinvestment

agent and receives payment for order flow. including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may

Option Disclosure

financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished







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Pershing LLC, member HNRA, NYSE, SIPC

Portfolio Holdings Disclosures (continued)

Option Disclosure (continued)

information regarding this procedure is available upon written request to your introducing firm

Foreign Currency Transactions

identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your the number of shares in your margin account shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than

Important Message Regarding Your Portfolio Holdings <u>HARD TO VALUE POSITION</u>S

because there are multiple ways that "value" may be ascertained by issuers and valuation services, and because the value shown is only a point-in-time assessment, you should not expect that the fair market value for hard-to value assets reflects the amount you would actually receive in the event of a sale. Positions identified on your statements as "hard-to-value" may show a "fair market value" amount for IRS purposes. Because hard-to-value assets are not readily tradeable, and MESSAGE REGARDING ROLLED-UP TAX LOTS

While your tax lots have been rolled up, all of the lots are still maintained. If you would like to receive the details of your tax lots, please contact your financial advisor

Schedule of Realized Gains and Losses Year-to-Date	Gains and L	osses Yea	ır-to-Date				***************************************	
	Date	Date		7	?	7 	,	Realized
Short Term	ľ	-	c					A VALUE OF THE PARTY OF THE PAR
ISHARES GOLD TR ISHA	10/18/18	04/19/18	Covered	First in First Out / SELL	9,000	105.81	116.21	-10.40
Security Identifier: 464285105								
ISHARES TR IBOXX USD	01/25/18	04/26/17	Covered	First In First Out / SELL	1.000	120.61	118.67	1.94
E CORP BD ETF	01/25/18	07/24/17	Covered	First In First Out / SELL	17.000	2,050.45	2,063.97	-13.52
Security Identifier: 464287242	01/25/18	10/26/17	Covered	First In First Out / SELL	1.000	120.62	120.63	.0.01
Total		A Ca this transmission of the	ANTI-ANTARAM MARKAMANANANANANANANANANANANANANANANANANANA		19.000	2,291.68	2,303.27	-11.59
ISHARES TR S&P 500 G	07/20/18	01/25/18	Covered	First In First Out / SELL	5.000	847.25	818,19	29.06
Security Identifier: 464287309	10/18/18	01/25/18	Covered	First In First Out / SELL	3.000	498.68	490.92	7.76
Total		THE RESERVE THE PERSON NAMED IN COLUMN TO SERVE THE PERSON NAMED I			8.000	1,345.93	1,309.11	36.82







Date Date	odleddie of Kealized Gallis afid Losses Feat-to-Date (confined)							
10/18/18 C1/25/18 Covered First In First Out / SEIL 4,000 446 64 10/18/18 01/25/18 Covered First In First Out / SEIL 25,000 2,854 69 01/25/18 04/26/17 Covered First In First Out / SEIL 1,000 104.02 01/25/18 07/24/17 Covered First In First Out / SEIL 22,000 2,288.51 04/19/18 04/26/17 Covered First In First Out / SEIL 22,000 2,288.51 04/19/18 04/26/17 Covered First In First Out / SEIL 2,000 42.288.51 04/19/18 04/26/17 Covered First In First Out / SEIL 7,000 1,288.51 04/19/18 04/26/17 Covered First In First Out / SEIL 7,000 1,259.50 01/25/18 07/24/17 Covered First In First Out / SEIL 1000 2,767.26 01/25/18 07/24/17 Covered First In First Out / SEIL 9,000 1,421.27 07/20/18 07/24/17 Covered First In First Out / SEIL 5,000 3	Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis
10/18/18 01/25/18 Covered First In First Out / SELL 25.000 2,854.69	Short Term (continued)	10/18/18	04/25/18	Covered	Firet In Firet Out / SFI	4 000	446 64	480 29
10/18/18 O1/25/18 Covered Flist In First Out / SELL 25,000 2,854.69 01/25/18 04/26/17 Covered Flist In First Out / SELL 1,000 104.02 01/25/18 07/24/17 Covered Flist In First Out / SELL 2,000 1,976.44 01/25/18 10/26/17 Covered Flist In First Out / SELL 2,000 2,288.51 04/19/18 04/26/17 Covered Flist In First Out / SELL 2,000 2,288.51 04/19/18 04/26/17 Covered Flist In First Out / SELL 8,000 1,386.67 04/19/18 04/26/17 Covered Flist In First Out / SELL 7,000 1,208.10 04/19/18 10/26/17 Covered Flist In First Out / SELL 10,000 1,208.10 07/20/18 10/26/17 Covered Flist In First Out / SELL 9,000 1,208.10 07/20/18 07/24/17 Covered Flist In First Out / SELL 9,000 1,276.73 07/20/18 07/24/17 Covered Flist In First Out / SELL 2,000	Security Identifier: 464287408							
01/25/18 04/26/17 Covered Orl/25/18 First In First Out / SELL OUT / S	SHARES TR BARCLAYS AS BD ETF	10/18/18	01/25/18	Covered	First In First Out / SELL	25.000	2,854.69	3,099.91
01/25/18 04/26/17 Covered Overed Ove	Security Identifier: 464287432							
01/25/18 07/24/17 Covered First In First Out / SELL 19,000 1,976.44 01/25/18 10/26/17 Covered First In First Out / SELL 2,200 2,288.51 04/19/18 04/26/17 Covered First In First Out / SELL 2,200 2,288.51 01/25/18 04/26/17 Covered First In First Out / SELL 8,000 1,386.67 04/19/18 04/26/17 Covered First In First Out / SELL 7,000 1,298.10 04/19/18 04/26/17 Covered First In First Out / SELL 7,000 1,298.10 01/25/18 07/24/17 Covered First In First Out / SELL 1,000 2,767.36 07/20/18 07/24/17 Covered First In First Out / SELL 2,000 337.68 07/20/18 07/24/17 Covered First In First Out / SELL 11,000 1,758.95 07/20/18 07/24/17 Covered First In First Out / SELL 3,000 1,758.95 01/25/18 04/19/18 Covered First In First Out / SELL 3,000	SHARES TR BARCLAYS	01/25/18	04/26/17	Covered	First In First Out / SELL	1.000	104.02	106.29
01/25/18 10/26/17 Covered First in First Out / SEIL 2.000 208.05 04/19/18 04/26/17 Covered First in First Out / SEIL 22.000 2,288.51 01/25/18 04/26/17 Covered First in First Out / SEIL 8.000 1,388.67 04/19/18 04/26/17 Covered First in First Out / SEIL 7.000 1,208.10 04/19/18 10/26/17 Covered First in First Out / SEIL 7.000 1,208.10 01/25/18 07/24/17 Covered First in First Out / SEIL 9.000 1,72.99 07/20/18 07/24/17 Covered First in First Out / SEIL 9.000 1,72.93 07/25/18 07/24/17 Covered First in First Out / SEIL 11.000 1,758.95 07/25/18 07/24/17 Covered First in First Out / SEIL 5.000 993.77 01/25/18 04/19/18 Covered First in First Out / SEIL 3.000 1,758.95 01/25/18 04/19/18 Covered First in First Out / SEIL 3.000 132.	AS BD ETF	01/25/18	07/24/17	Covered	First In First Out / SELL	19.000	1,976.44	2,034.81
04/19/18 04/26/17 Covered First In First Out / SELL 22,000 2,288.51 01/25/18 04/26/17 Covered First In First Out / SELL 8,000 1,386.67 04/19/18 04/26/17 Covered First In First Out / SELL 7,000 1,208.10 04/19/18 10/26/17 Covered First In First Out / SELL 1,000 172.59 01/25/18 07/24/17 Covered First In First Out / SELL 9,000 1,421.27 07/20/18 07/24/17 Covered First In First Out / SELL 9,000 1,728.95 07/20/18 07/24/17 Covered First In First Out / SELL 11,000 1,758.95 07/25/18 07/24/17 Covered First In First Out / SELL 3,000 193.77 01/25/18 04/19/18 Covered First In First Out / SELL 3,000 159.75 01/25/18 07/20/18 Covered First In First Out / SELL 3,000 132.98 01/25/18 07/20/18 Covered First In First Out / SELL 3,000 132.98 01/25/18 07/20/18 Covered First In First Out / SELL 3,000 132.98 00/25/18 07/20/18 Covered First In First Out / SELL 9,000	security Identifier: 464287440	01/25/18	10/26/17	Covered	First In First Out / SELL	2.000	208.05	211.26
04/19/18 04/26/17 Covered First In First Out / SELL 2.000 442.10 01/25/18 04/26/17 Covered First In First Out / SELL 8.000 1,386.67 04/19/18 04/26/17 Covered First In First Out / SELL 7.000 1,208.10 04/19/18 10/26/17 Covered First In First Out / SELL 10,000 172.59 01/25/18 07/24/17 Covered First In First Out / SELL 9.000 1,421.27 07/20/18 07/24/17 Covered First In First Out / SELL 2.000 337.68 01/25/18 07/24/17 Covered First In First Out / SELL 5.000 993.77 01/25/18 07/24/17 Covered First In First Out / SELL 3.000 159.75 10/18/18 04/19/18 Covered First In First Out / SELL 3.000 132.98 10/18/18 07/20/18 Covered First In First Out / SELL 3.000 132.98 10/18/18 07/20/18 Covered First In First Out / SELL 9.000 398.92	Total			a sa sa sa sa dama sa dama sa samba sa sa dhidrichidhidhidh a Madhidhidh	NOTE OF A COMMEND OF A COMMEND OF A STATE OF	22.000	2,288.51	2,352.36
01/25/18 04/26/17 Covered First In First Out / SELL 8.000 1,386.67 04/19/18 04/26/17 Covered First In First Out / SELL 7.000 1,208.10 04/19/18 10/26/17 Covered First In First Out / SELL 10,000 172.59 01/25/18 07/24/17 Covered First In First Out / SELL 9,000 2,767.36 07/20/18 07/24/17 Covered First In First Out / SELL 9,000 1,421.27 07/20/18 07/24/17 Covered First In First Out / SELL 11,000 1,758.95 07/25/18 07/24/17 Covered First In First Out / SELL 5,000 993.77 01/25/18 07/24/17 Covered First In First Out / SELL 3,000 159.75 10/18/18 04/19/18 Covered First In First Out / SELL 3,000 132.98 10/18/18 07/20/18 Covered First In First Out / SELL 9,000 398.92	SHARES TR S&P MIDCA	04/19/18	04/26/17	Covered	First In First Out / SELL	2.000	442.10	389.48
01/25/18 04/26/17 Covered First In First Out / SELL 8.000 1,386.67 04/19/18 04/26/17 Covered First In First Out / SELL 7.000 1,208.10 04/19/18 10/26/17 Covered First In First Out / SELL 1.000 172.59 01/25/18 07/24/17 Covered First In First Out / SELL 9.000 2,767.36 07/20/18 07/24/17 Covered First In First Out / SELL 9.000 1,421.27 07/20/18 07/24/17 Covered First In First Out / SELL 11.000 1.758.95 01/25/18 07/24/17 Covered First In First Out / SELL 5.000 993.77 01/25/18 04/19/18 Covered First In First Out / SELL 3.000 159.75 10/18/18 04/19/18 Covered First In First Out / SELL 9.000 398.92	Security Identifier: 464287606							
04/19/18 04/26/17 Covered First In First Out / SELL 7.000 1,208.10 04/19/18 10/26/17 Covered First In First Out / SELL 1.000 172.59 01/25/18 07/24/17 Covered First In First Out / SELL 9.000 1,21.27 07/20/18 07/24/17 Covered First In First Out / SELL 2.000 337.68 07/20/18 07/24/17 Covered First In First Out / SELL 11.000 1,758.95 01/25/18 07/24/17 Covered First In First Out / SELL 3.000 993.77 01/25/18 04/19/18 Covered First In First Out / SELL 3.000 159.75 10/18/18 04/19/18 Covered First In First Out / SELL 3.000 132.98 10/18/18 07/20/18 Covered First In First Out / SELL 9.000 398.92	SHARES TR DOW JONES	01/25/18	04/26/17	Covered	First In First Out / SELL	8.000	1,386.67	1,098,55
04/19/18 10/26/17 Covered First In First Out / SELL 1.000 172.59 01/25/18 07/24/17 Covered First In First Out / SELL 9.000 1,421.27 07/20/18 07/24/17 Covered First In First Out / SELL 2.000 337.68 07/20/18 07/24/17 Covered First In First Out / SELL 11.000 1,758.95 01/25/18 07/24/17 Covered First In First Out / SELL 3.000 993.77 01/25/18 04/19/18 Covered First In First Out / SELL 3.000 159.75 10/18/18 07/20/18 Covered First In First Out / SELL 3.000 132.98 993.92 10/18/18 07/20/18 Covered First In First Out / SELL 9.000 398.92	SHARES U.S. TECHNOL F	04/19/18	04/26/17	Covered	First In First Out / SELL	7.000	1,208.10	961.24
01/25/18 07/24/17 Covered First In First Out / SELL 9.000 1,421.27 07/20/18 07/24/17 Covered First In First Out / SELL 2.000 337.68 07/20/18 07/24/17 Covered First In First Out / SELL 11.000 1,758.95 07/20/18 07/24/17 Covered First In First Out / SELL 5.000 993.77 01/25/18 07/24/17 Covered First In First Out / SELL 3.000 159.75 10/18/18 04/19/18 Covered First In First Out / SELL 3.000 132.98 10/18/18 07/20/18 Covered First In First Out / SELL 9.000 398.92	Security Identifier: 464287721	04/19/18	10/26/17	Covered	First In First Out / SELL	1.000	172.59	155.54
01/25/18 07/24/17 Covered First In First Out / SELL 9.000 1,421.27 07/20/18 07/24/17 Covered First In First Out / SELL 2.000 337.68 07/20/18 07/24/17 Covered First In First Out / SELL 11.000 1,758.95 01/25/18 07/24/17 Covered First In First Out / SELL 5.000 993.77 10/18/18 04/19/18 Covered First In First Out / SELL 3.000 159.75 10/18/18 07/20/18 Covered First In First Out / SELL 3.000 132.98 10/18/18 07/20/18 Covered First In First Out / SELL 9.000 398.92	[otal					16.000	2,767.36	2,215.33
07/20/18 07/24/17 Covered First In First Out / SELL 2.900 337.68 07/20/18 07/24/17 Covered First In First Out / SELL 11.000 1.758.95 01/25/18 07/24/17 Covered First In First Out / SELL 3.000 159.75 10/18/18 04/19/18 Covered First In First Out / SELL 3.000 132.98 10/18/18 07/20/18 Covered First In First Out / SELL 9.000 398.92	SHARES TR S&P SMALL	01/25/18	07/24/17	Covered	First In First Out / SELL	9.000	1,421.27	1,275.12
07/20/18 07/24/17 Covered First In First Out / SELL 5.000 993.77 01/25/18 07/24/17 Covered First In First Out / SELL 3.000 159.75 10/18/18 04/19/18 Covered First In First Out / SELL 3.000 132.98 10/18/18 07/20/18 Covered First In First Out / SELL 9.000 398.92	ALUE ETF becurity Identifier: 464287879	07/20/18	07/24/17	Covered	First In First Out / SELL	2.000	337.68	283.36
07/20/18 07/24/17 Covered First In First Out / SELL 5.000 993.77 01/25/18 07/24/17 Covered First In First Out / SELL 3.000 159.75 10/18/18 04/19/18 Covered First In First Out / SELL 3.000 132.98 10/18/18 07/20/18 Covered First In First Out / SELL 9.000 398.92	Total	telestellestelleste etc telet ill intereste				11.000	1,758.95	1,558.48
01/25/18 07/24/17 Covered First In First Out / SELL 3.000 159.75 10/18/18 04/19/18 Covered First In First Out / SELL 3.000 132.98 10/18/18 07/20/18 Covered First In First Out / SELL 9.000 398.92	SHARES TR S&P SMALL ROWTH ETF	07/20/18	07/24/17	Covered	First In First Out / SELL	5.000	993,77	791.15
01/25/18 07/24/17 Covered First In First Out / SELL 3.000 159.75 10/18/18 04/19/18 Covered First In First Out / SELL 3.000 132.98 10/18/18 07/20/18 Covered First In First Out / SELL 9.000 398.92	ecurity Identifier: 464287887							
10/18/18 04/19/18 Covered First In First Out / SELL 3.000 132.98 10/18/18 07/20/18 Covered First In First Out / SELL 9.000 398.92	SHARES TR MSCI ACWI	01/25/18	07/24/17	Covered	First In First Out / SELL	3.000	159.75	140.55
10/18/18 07/20/18 Covered First In First Out / SELL 9.000 398.92	Security Identifier: 464288240	10/18/18	04/19/18	Covered	First In First Out / SELL	3.000	132.98	151.66
		10/18/18	07/20/18	Covered	First In First Out / SELL	9.000	398.92	431.81



Schedule of Realized Gains and Losses Year-to-Date (continued)

Contradit of National		00000 1 00	a ro-para (con	indou)				
Description	Disposed	Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Gain/Loss
Short Term (continued)		:	and the second s			1		
ISHARES TR IBOXX \$ H	04/19/18	04/26/17	Covered	First In First Out / SELL	1.000	86.00	88.17	2.17
CORP BD ETF	04/19/18	07/24/17	Covered	First In First Out / SELL	1.000	86,00	88.68	-2.68
Security Identifier: 464288513	04/19/18	10/26/17	Covered	First In First Out / SELL	1.000	86.00	88.41	-2.41
	04/19/18	01/25/18	Covered	First In First Out / SELL	2.000	172.02	175,46	-3,44
Total					5,000	430.02	440.72	-10.70
ISHARES TR MBS ETF	10/18/18	10/26/17	Covered	First In First Out / SELL	1,000	102.36	106.56	-4.20
Security Identifier: 464288588	10/18/18	01/25/18	Covered	First In First Out / SELL	3,000	307.07	317.35	-10.28
Total	:	:			4.000	409.43	423.91	-14.48
ISHARES TR 1-3 YR CR	01/25/18	07/24/17	Covered	First In First Out / SELL	10.000	1,043.71	1,054.69	-10.98
decary regulated nontrodero			•					
METO STE	10/18/18	04/19/18	Covered	First in First Out / SELL	32.000 32.000	4,439.49	5,444.17	.904.00 .67.87
Security Identifier: 46434G103	!					ļ		
Total		The second secon			106.000	5,028.79	6,081.34	-1,052.55
ISHARES TR IBONDS DE	04/19/18	01/25/18	Covered	First In First Out / SELL	3.000	73.10	74.19	-1.09
M CORPORATE ETF FD Security Identifier: 46434VBK5	10/18/18	01/25/18	Covered	First in First Out / SELL	5.000	121,25	123.65	-2.40
Total					8.000	194 35	197.84	-3,49
SPDR SER TR BLOOMBER	04/19/18	07/24/17	Covered	First In First Out / SELL	3.000	108.15	111.92	-3.77
HIGH YIELD BD ETF	04/19/18	10/26/17	Covered	First In First Out / SELL	2.000	72.10	74.40	-2.30
Security Identifier: 78464A417	04/19/18	01/25/18	Covered	First In First Out / SELL	6.000	216.29	221.46	-5.17
Total					11.000	396.54	407.78	-11.24
SPDR SER TR BLOOMBER	01/25/18	07/24/17	Covered	First In First Out / SELL	51.000	3,010.81	3,069.18	-58.37
INTER TERM TREAS ETF Security Identifier, 78464A672	01/25/18	10/26/17	Covered	First In First Out / SELL	1.000	59.04	59.74	-0.70
Total					52,000	3,069.85	3,128.92	-59.07
VANGUARD INTL EQUITY	01/25/18	07/24/17	Covered	First In First Out / SELL	8.000	403,44	341.43	62.01
FTSE EMERGING MKTS ETF	10/18/18	04/19/18	Covered	First In First Out / SELL	6.000	226.42	279.94	-53.52
Security Identifier: 922042858	10/18/18	07/20/18	Covered	First In First Out / SELL	14.000	528.33	600.54	-72.21
Total					28.000	1,158.19	1,221.91	-63.72
VANGUARD INTL EQUITY FTSE EUROPE ETF Security Identifier: 922042874	01/25/18	10/26/17	Covered	First In First Out / SELL	1.000	63.01	58.21	4.80









Date Date Date	Date Acquired 18 10/26/17 18 01/25/18 04/19/18	Designation Covered Covered Covered Covered	Disposition Method / Transaction First In First Out / SELL	Quantity 1,000 1,000 1,000 3,000 30,000	Proceeds 88.27 88.27 88.27 88.27 264.81 28,045.79 3,618.45	Cost Basis 93.67 95.07 89.58 278.32 28,633.25 3,673.17
OXX USD : : :er: 464287242			First In First Out / SELL	30,000	3,618.45	
ISHARES TR BARCLAYS EAS BD ETF Security Identifier: 464287440	18 10/19/16	Covered	First In First Out / SELL	27.000	2,808.62	2,989.22
ISHARES TR S&P MIDCA 04/19/18	18 10/22/14	Covered	First In First Out / SELL	9.000	1,989.44 442 10	1,357.49
/ Identifier: 464287606	_		First In First Out / SELL	2.000	442.10	
Total				13.000	2,873.64	1,979.37
ISHARES TR S&P MIDCA 01/25/18	18 01/03/14	Covered	First In First Out / SELL	5.000	822.03	
		Covered	First In First Out / SELL	5.000	822.02	
Security Identifier: 464287705 04/19/18			First In First Out / SELL	8.000	1,262.23	963.26
		Covered	First In First Out / SELL	5.000	788.90	
07/20/18	18 10/22/14	Covered	First In First Out / SELL	1.000	165.81	
Total				24.000	3,860.99	
ISHARES TR DOW JONES 01/25/18	18 04/22/14	Covered	First In First Out / SELL	11.000	1,906.68	994.72
		Covered	First In First Out / SELL	5.000	866.67	
7721			First In First Out / SELL	5.000	866.67	
			First In First Out / SELL	6.000	1,040.01	
01/25/18			First In First Out / SELL	4.000	693.34	



Schedule of Realized Gains and Losses Year-to-Date (continued)

Constants of Treating	Sall is alia	00060 180	י-נס-שמים (מסווזווו					
Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Long Term (continued) ISHARES TR US FINANC	01/25/18	01/09/17	Covered	First In First Out / SELL	29.000	3,634.11	2,978.06	656.05
Security Identifier: 464287788								
ISHARES TR MSCI ACWI Security Identifier: 464288240	10/18/18	07/24/17	Covered	First In First Out / SELL	105.000	4,654.13	4,919.24	.265_11
ISHARES TR IBOXX \$ H CORP BD ETF	04/19/18	01/09/17	Cavered	First In First Out / SELL	27.000	2.322.10	2,355,48	-33.38
Security Identifier: 464288513								
ISHARES TRIMBS ETF	10/18/18	01/09/17	Covered	First In First Out / SELL	27.000	2,763.65	2,879.27	-115.62
Security Identifier: 464288588	10/18/18	04/26/17	Covered	First In First Out / SELL	1.000	102.36	106.67	-4.31
Total					28.000	2,866.01	2,985.94	-119.93
SPDR SER TR BLOOMBER HIGH YIELD BD ETF Security Identifier: 78464A417	04/19/18	01/09/17	Covered	First In First Out / SELL	65.000	2.343.18	2,389.08	-45.90
SELECT SECTOR SPDR T Security Identifier: 8/369Y/100	01/25/18	10/19/16	Covered	First In First Out / SELL	12.000	763.82	566.13	197.69
SELECT SECTOR SPDR T	01/25/18	10/22/14	Covered	First In First Out / SELL	5.000	447.36	317.59	129.77
ARE	01/25/18	04/20/16	Covered	First In First Out / SELL	8.000	715.78	568.88	146.90
Security Identifier: 81369Y209	01/25/18	07/20/16	Covered	First In First Out / SELL	10,000	894.72 1 252 61	746.37 982.05	148.35 270.56
	01/25/18	01/09/17	Covered	First In First Out / SELL	31.000	2,773.62	2,201.00	572.62
Total					68.000	6,084.09	4,815.89	1,268.20
SELECT SECTOR SPDR T STAPLES Security Identifier: 8/369/308	01/25/18	01/09/17	Covered	First In First Out / SELL	20.000	1,167.66	1,033.60	134,06
SELECT SECTOR SPDR T	01/25/18	04/22/14	Covered	First In First Out / SELL	13.000	1,400.08	840.81	559.27 126.68
Security Identifier: 81369Y407	01/25/18	10/21/15	Covered	First In First Out / SELL	4.000	430.79	314.39	116.40
	01/25/18	01/21/16	Covered	First In First Out / SELL	1.000	107.70	72.85	34.85
	01/25/18 01/25/18	07/20/16 01/09/17	Covered Covered	First In First Out / SELL First In First Out / SELL	4.000 15.000	430.79 1,615.48	325.00 1,251.57	105.79 363.91
Total					41.000	4,415.63	3,108.73	1,306.90
SELECT SECTOR SPDR T	01/25/18	07/20/16	Covered	First In First Out / SELL	6.000	463.13	413.22	49.91
Security Identifier: 81369Y506	01/25/18	01/09/17	Covered	First In First Out / SELL	22.000	1,698.13	1,649.39	48./4
Total					28.000	2,161.26	2,062.61	98.65









	Date	Date						Realized
Description	Disposed	Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Gain/Loss
Long Term (continued)								
SELECT SECTOR SPOR T	01/25/18	04/22/14	Covered	First In First Out / SELL	6.000	477.38	318.83	158.55
Security Identifier: 81369Y704	01/25/18	04/22/15	Covered	First In First Out / SELL	1.000	79.56	56.33	23.23
	01/25/18	10/21/15	Covered	First In First Out / SELL	2.000	159.13	106.19	52.94
	01/25/18	07/20/16	Covered	First In First Out / SELL	8.000	636.51	471.28	165.23
	01/25/18	10/19/16	Covered	First In First Out / SELL	10.000	795.64	574.97	220.67
	01/25/18	01/09/17	Covered	First In First Out / SELL	32.000	2,546.04	2,012.48	533,56
Total	ARA MIRITA PARTICIPANA PERIODO (A PARTICIPANA PARTICIP		***************************************		59,000	4,694.26	3,540.08	1,154 18
VANGUARD INTL EQUITY FTSE EMERGING MKTS ETF	10/18/18	07/24/17	Covered	First In First Out / SELL	111.000	4,188.83	4,737.36	-548.53
Security Identifier: 922042858								
VANGUARD SCOTTSDALE	07/20/18	04/22/15	Covered	First in First Out / SELL	3.000	264.82	281.25	-16,43
RD LONG-TERM CORPORATE BOND IN	07/20/18	07/15/15	Covered	First In First Out / SELL	6.000	529.64	509.92	19.72
DEX FUND	07/20/18	10/21/15	Covered	First in First Out / SELL	15,000	1,324.09	1,306.71	17.38
Security Identifier: 92206C813	07/20/18	04/26/17	Covered	First In First Out / SELL	1.000	88.27	90.47	.2.20
Total	A GE AND A STANSAN PROPERTY OF A FOR CASE	And short of the same	THE RESERVE THE PROPERTY OF TH		25.000	2,206.82	2,188.35	18.47
Total Long Term						60,036.97	52,411.11	7,625.86
			**************************************			***************************************		

Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed

will not be reported to the IRS Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 10998, the cost basis

information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable. income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior

differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to ě Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be



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Schedule of Realized Gains and Losses Year-to-Date (continued)

any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting. When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims

Please refer to the Your Account Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your be reported on the IRS Form 1099-B. account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may

Activity Summary (All amounts shown are in base currency)

	Δ.	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	0.00	0.00	0.00	-89,973.16	-89,973 16
Securities Sold	0.00	0.00	0.00	88,082.76	0.00	88,082.76
Total Securities	\$0.00	\$0,00	\$0.00	\$88,082.76	-\$89,973.16	-\$1,890.40
Dividends and Interest	\$497.45	\$0.00	\$497.45	\$2,151.83	\$0.00	\$2,151.83
FDIC Insured Bank Deposits	\$0.00	-\$424.79	-\$424.79	\$4,123.09	-\$4,321.06	-\$197.97
Totals	\$497.45	\$424.79	\$72.66	\$94,357.68	-\$94,294.22	\$63.46

Transactions in Date Sequence

Process/ Trade/ Settlement Transaction	Trade/ Transaction						
Date	Date Activity Type	Description	Quantity	Price	Accrued Interest	Amount Currency	Currency
12/07/18	CASH DIVIDEND RECEIVED TLH	20 SHRS ISHARES TR 10-20 YR TREAS 8D ETF RD 12/04 PD 12/07/18				5.19	USD
12/07/18	Cash dividend received Iglb	6) SHRS ISHARES TR LONG-TERM CORP BD ETF RD 12/04 PD 12/07/18				13.30	USD
12/07/18	CASH DIVIDEND RECEIVED	113 SHRS ISHARES IBONDS DEC 2023 TERM CORPORATE ETF RD 12/04 PD 12/07/18				7.39	OSD
12/07/18	CASH DIVIDEND RECEIVED 18DQ	114 SHRS ISHARES IBONDS DEC 2025 CORPORATE ETF RD 12/04 PD 12/07/18				8.11	OSD
12/07/18	CASH DIVIDEND RECEIVED IBDM	200 SHRS ISHARES TR IBONDS DEC 2021 TERM CORPORATE ETF FD RD 12/04 PD 12/07/18				11.20	USD
12/07/18	CASH DIVIDEND RECEIVED	117 SHRS ISHARES TR IBONDS DEC 2027 TERM CORPORATE ETF RD 12/04 PD 12/07/18				8.74	USD
12/07/18	CASH DIVIDEND RECEIVED	108 SHRS ISHARES TR IBONDS DEC 2028 TERM CORPORATE ETF RD 12/04 PD 12/07/18				13.99	USD



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Transactions in Date Sequence (continued)

12/24/18	12/24/18	12/24/18	12/24/18	12/21/18	12/21/18	12/21/18	12/21/18	12/21/18	12/21/18	12/21/18		12/17/18	12/17/18	12/10/18	Date	Process/ Settlement
												12/14/18	12/14/18		Date	Trade/ Transaction
CASH DIVIDEND RECEIVED IBDO	CASH DIVIDEND RECEIVED IGLB	CASH DIVIDEND RECEIVED TLH	FDIC INSURED BANK DEPOSIT USD999997	CASH DIVIDEND RECEIVED UT	CASH DIVIDEND RECEIVED US	CASH DIVIDEND RECEIVED	CASH DIVIDEND RECEIVED เม	CASH DIVIDEND RECEIVED UK	CASH DIVIDEND RECEIVED IVE	CASH DIVIDEND RECEIVED IVW	DEPOSITS INTEREST RECEIVED PER987762	REINVESTED PER987762 FDIC INSURED BANK	FDIC INSURED BANK DEPOSITS INTEREST	FDIC INSURED BANK DEPOSIT USD999997	Activity Type	
113 SHRS ISHARES IBONDS DEC 2023 TERM CORPORATE ETF RD 12/19 PD 12/24/18	61 SHRS ISHARES TR LONG-TERM CORP BD ETF RD 12/19 PD 12/24/18	20 SHRS ISHARES TR 10-20 YR TREAS BD ETF RD 12/19 PD 12/24/18	INSURED DEPOSIT PROGRAM F	69 SHRS ISHARES TR S&P SMALL-CAP 600 GROWTH ETF RD 12/18 PD 12/21/18	55 SHRS ISHARES TR S&P SMALL-CAP 600 VALUE ETF RD 12/18 PD 12/21/18	11 SHRS ISHARES TR US FINANCIALS ETF INDEX FD RD 12/18 PD 12/21/18	41 SHRS ISHARES TR S&P MIDCAP 400 VALUE ETF RD 12/18 PD 12/21/18	44 SHRS ISHARES TR S&P MIDCAP 400 GROWTH ETF RD 12/18 PD 12/21/18	99 SHRS ISHARES TR S&P 500 VALUE ETF RD 12/18 PD 12/21/18	103 SHRS ISHARES TR S&P 500 GROWTH ETF RD 12/18 PD 12/21/18		INSURED DEPOSIT INTEREST CREDITED	INSURED DEPOSIT INTEREST REINVESTED	INSURED DEPOSIT PROGRAM F	Description	
															Quantity	
															Price	
															Accrued interest	
6.54	13.80	4.87	-256.71	37.21	35.13	6.11	30.04	22.98	73.11	52.13		2.19	-2.19	-67.92	Amount	
USD	USD	USD	USD	USD	OSU	USD	OSU	USD	USD	USD		USD	USD	USD	Amount Currency	

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Transactions in Date Sequence (continued)

Process/ Trade/ Settlement Transaction Date Date	1	Description 114 cube tenable topoline nec 2025 copposate ett pn	Quantity	Price	Accrued Interest	Amount Currency	Currency
12/24/18	CASH DIVIDEND RECEIVED	114 SHRS ISHARES IBONDS DEC 2025 CORPORATE ETF RD 12/19 PD 12/24/18				7.85	USD
12/24/18	CASH DIVIDEND RECEIVED	200 SHRS ISHARES TR IBONDS DEC 2021 TERM CORPORATE ETF FD RD 12/19 PD 12/24/18				9.80	USD
12/24/18	CASH DIVIDEND RECEIVED IBDS	117 SHRS ISHARES TR IBONDS DEC 2027 TERM CORPORATE ETF RD 12/19 PD 12/24/18				8.07	OSD
12/24/18	CASH DIVIDEND RECEIVED	108 SHRS ISHARES TR IBONDS DEC 2028 TERM CORPORATE ETF RD 12/19 PD 12/24/18				12.59	USD
12/26/18	FDIC INSURED BANK DEPOSIT USD999997	INSURED DEPOSIT PROGRAM F				-63.52	USD
12/27/18	CASH DIVIDENO RECEIVED XLB	22 SHRS SELECT SECTOR SPDR TR MATLS RD 12/24 PD 12/27/18				7.01	USD
12/27/18	CASH DIVIDEND RECEIVED XLE	16 SHRS SELECT SECTOR SPDR TR ENERGY RD 12/24 PD 12/27/18				8.48	USD
12/28/18	FDIC INSURED BANK DEPOSIT USD999997	INSURED DEPOSIT PROGRAM F				-15,49	USD
12/28/18	CASH DIVIDEND RECEIVED VGK	61 SHRS VANGUARD INTL EQUITY INDEX FDS FTSE EUROPE ETF RD 12/26 PD 12/28/18				18.96	USD
12/31/18	FDIC INSURED BANK DEPOSIT USD999997	INSURED DEPOSIT PROGRAM F				.18.96	USD
12/31/18	CASH DIVIDEND RECEIVED SPDW	259 SHRS SPDR INDEX SHS FDS SPDR PORT DEVELOPED WORLD EX-US ETF RD 12/26 PD 12/31/18				72.66	USD
Total Value of Transactions	ransactions				\$0.00	\$72.66	USD
The price and qua	The price and quantity displayed may have been rounded	ided.					

Income and Expense Summary

\$0.00	\$2,151.83	\$0.00	enses \$497.45	Total Dividends, Interest, Income and Expenses
0,00	20.83	0.00	2.19	Interest Income FDIC Insured Bank Deposits
0.00	2,131.00	0.00	495.26	Dividend Income Other Dividends
ate Non Taxable	Year-to-Dat	urrent Period Non Taxable	Current Period	

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reviewed and finalized. The notice will inform you of the securities that are pending final reporting and provides the anticipated mail date of your 1099. Your 1099 will be mailed no 2019. By February 15, you will be mailed either your Form 1099 or a special Pending 1099 Notice. The Pending 1099 Notice will be sent if we have not received and processed final information from issuers and trustees of securities that you hold by the as of date of the February 15 1099 mailing. You will also be sent the notice if your information is still being Please note the following information for Pershing's 2018 IRS Form 1099 (B, DIV, INT, MISC and OID): Your Form 1099 will be delivered as early as possible, beginning January 31

Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage.

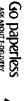
MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET MUTUAL FUND & FDIC-INSURED DEPOSITS PROGRAM RATES & BANK LISTS". PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC). YOU

Important Information and Disclosures

- suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you. Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without iable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents, the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement
- a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as Disclosure Statement, please contact your financial institution.
- protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC
- receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act. This statement will be deerned conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: Pershing LLC, Legal Department,

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Important Information and Disclosures (continued)

The Role of Pershing (continued)

One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330. Errors and Omissions excepted

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings
- panel at least 20 days prior to the first scheduled hearing date. The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement

Important Arbitration Agreement

class who has not opted out of the class with respect to any claims encompassed by the putative class action until, (1) the class certification is denied, (1) the class is decertified or action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class the extent stated herein. The laws of the State of New York govern. (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to

Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330





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TERMS AND CONDITIONS

TRANSACTIONS

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACHTY, IF ANY, WHERE THE TRANSACTIONS ARE EXCELLED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND
- TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFRIMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT, SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS. FREE GREDIT BALANCES: ANY FREE CREDIT GALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT

DEBIT BALLANGES: "WITEREST CHARGED ON DEBIT BALLANGES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF WITEREST AND PEROD COVERED ARE INDICATED THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY BATES OR OTHER REASONS, INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU, PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

MARGIN INFORMATION: IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION IT OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM, THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION IT IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER COVERNMENTAL AUTHORITIES. AT THAT IT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU LISE THAT ISTATEMENT TO PREPARE YOUR TAX FLINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTIMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN, INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY IN TEREST SHALL SECURE ALL YOUR LIABLITIES TO PERSHING, AND PERSHING IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
- WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEIDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCURSTANCES WINGCH WERE FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.
- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR, CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY
- IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH, DETAILS AVAILABLE UPON REQUEST.
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES, A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: WWW.PERSHING.COM/DISCLOSURES
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS

PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICIES DISCLOSURES [REGULATION NMS—RULE 807(A)(1)—(2)]

PERSHING SENDS CERTAN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION NETWORKS, OR BROKER. DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTRIBED TRADING SESSIONS CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OF CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST, PERSHING RECEIVES PAYMENTS FOR DIRECTING LISTED OPTIONS ORDER FLOW TO CERTAIN OPTION EXCHANGES. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY AND OPTION ORDERS TO ITS AFFLIATE, BNY MELLON CAPTRAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL. COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT.

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECLIAITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS. TRANSINITIED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (MBBD), ON CERTAIN LARGER ORDERS, OF IT THE DESIGNATED MARKET CENTERS ON OUT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEM EXECUTIONS ONE OF ANDRE MARKET SEGMENTS AND THEIR ABILTY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PROCESS SUPERIOR TO THE NBBO, PERSHING ALSO REGULARLY REVIEWS REPORTS FOR CUALITY OF EXECUTION.

WRAP ACCOUNT CUSTOMERS WHO ELECTED NOT TO RECEIVE IMMEDIATE CONFIRMATION OF TRANSACTIONS

THE FOLLOWING TERMS AND CONDITIONS ARE APPLICABLE ONLY IF YOUR ACCOUNT IS AN INVESTMENT ADVISORY ACCOUNT AND THE TRANSACTION CONFIRMATIONS ARE NOT SENT TO YOU (SENT ONLY TO YOUR FIDUCIARY) PURSUANT TO YOUR INSTRUCTION:

WRAP ACCOUNT TRANSACTIONS

- THE FOLLOWING INFORMATION WILL BE FURNISHED TO YOU JPON REQUIEST TO YOUR FINANCIAL INSTITUTION ("INTRODUCING FIRM") WITH RESPECT TO ANY TRANSACTION FOR WHICH A CONFIRMATION WAS NOT SENT TO YOU THE MARKET UPON WHICH ANY TRANSACTION WAS EXECUTED, THE TIME OF DAY THAT ANY TRANSACTION WAS EXECUTED, THE TIME OF DAY THAT ANY TRANSACTION WAS EXECUTED; THE NAME OF THE PERSON FROM WHOM ANY SECURITY WAS PURCHASED OR TO WHOM SUCH SECURITY WAS SOLD; THE SOURCE AND AMOUNT OF OTHER COMMISSIONS RECEIVED IN CONNECTION WITH ANY TRANSACTION; AND A COPY OF THE TRANSACTION CONFIRMATION.
- YOUR INTRODUCING FRM EFFECTED EACH TRANSACTION FOR WHICH A CONFIRMATION WAS NOT SENT TO YOU AS YOUR AGENT.
- FANY TRANSACTION INVOLVES AN ASSET BACKED SECURITY, INCLUDING A MUNICIPAL COLLATERALIZED MORTGAGE OBLIGATION, WHICH REPRESENTS AN INTEREST IN OR IS SECURITY MAD BY A POOL OF RECEIVABLES ON OTHER FINANCIAL ASSETS THAT RARE SUBJECT CONTINUOUSLY TO PREPAYMENT. THEN THE ACTUAL YIELD OF SUCH SECURITY MAY VARY ACCORDING TO THE RATE AT WHICH THE UNDERLYING RECEIVABLES OR OTHER FINANCIAL ASSETS ARE REPREPAYDE DONCERNING THE FATOTORS THAT AFFECT YIELD (INCLUDING AT A MINIMUM ESTIMATED YIELD, WEIGHTED AVERAGE LIFE, AND THE PREPAYMENT ASSUMPTIONS OF UNDERLYING YIELD) WILL BE FURNISHED TO YOU UPON REQUEST TO YOUR INTRODUCING FIRM

WRAP ACCOUNT ORDER FLOW: YOUR INTRODUCING FIRM DOES NOT RECEIVE ANY PAYMENT FOR ORDER FLOW FOR ANY TRANSACTION FOR WHICH A CONFIRMATION WAS NOT SENT TO YOU.

WRAP ACCOUNT CALL FEATURES: CALL FEATURES MAY EXIST FOR SECURITIES. CALL FEATURES FOR FIXED INCOME SECURITIES WAY AFFECT YIELD. COMPLETE INFORMATION WILL BE PROVIDED UPON REQUEST.

WRAP ACCOUNT RATINGS: THE RATINGS THAT APPEAR IN THE DESCRIPTION OF SOME FIXED INCOME SECURITIES HAVE BEEN OBTAINED FROM RATINGS SERVICES WHICH PERSHING BELIEVES TO BE RELIABLE, HOWEVER, PERSHING CANNOT GUARANTEE THEIR ACCURACY. SECURITIES FOR WHICH A RATING IS NOT AVAILABLE ARE MARKED "UNRATED"

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07398, ATTIX LEGAL DEPT

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Attachment 5



CHARLES J FLEISCHMANN BRENDA M FLEISCHMANN JT TEN 8714 RAMBLING ROSE DR OOLTEWAH TN 37363-7118

Your Financial Advisor Is: WARD PETTY (423) 668-5411

> Account Number: EPP-011695 December 1, 2018 - December 31, 2018

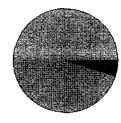
Estimated Annual Income	Fees	Dividends, Interest and Other Income	BEGINNING ACCOUNT VALUE	
\$228,361.97 \$6,548.15	0.00	8,191.90	\$235,628.49	This Period
\$228.361.97	4,867.49	12,957.44	\$243,268.20	Year-to-Date

^{&#}x27;Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

The Bank Deposits in your account are FDIC insured bank deposits.

FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.

Asset Summary



235,628.49 \$228,361.97	\$235,628.49	\$243,268.20	Account Total (Pie Chart)	100%
217,996.69	231,604,13	236,641.89		95%
10,365.28	4,024.36	6,626.31	Cash, Money Funds, and Bank Deposits	5%
This Period	Last Period	Prior Year-End	t Asset Type	Percent



Description	This Period	Year-to-Date
Securities Bought and Sold \$1,850	-\$1,850.98).98 -\$4,350.98

Summary of Gains and Losses

Net Gain/Loss	Long-Term Gain/Loss	Short-Term Gain/Loss	
0.00	0.00	0.00	Realized This Period
0,00	0.00 0.00 4,324,77	0.00	Realized Year-to-Date
4,599,46	4,324.77	-274.69	Unrealized

This summary excludes transactions where cost basis information is not available.

For Your Information

Electronic Delivery of Tax Documents

Electronic delivery of account communications can make managing your financial information easier-and tax documents are no exception. With anywhere, anytime access and the ability to download, then e-mail tax documents to your accountant, e-delivery is the convenient way to approach tax season! Enroll today- and if you're enrolled for account statements, but not tax documents, simply click the "Go Paperless" icon when you log in. GO PAPERLESS!!

prospectus, by clicking on the Go Paperless button when you log in. If you do not have on-line access, please contact your financial advisor and the process can be expedited for you. If you currently have online access to your accounts through NetXInvestor, you can sign up for e-delivery of your statements and confirms, as well as Mutual Fund, ETF and UIT

This convenient e-delivery service is available even it you don't desire access to daily positions, values, balances and quotes. Contact your financial advisor and we can set up e-delivery

Make the move to paperless today!

Client Service Information

Your Financial Advisor Is: C90	Confact Information
TOUT Financial Advisor is: USU	Contact Information
WARD PETTY	Telephone Number: (423) 668-5411
1101 BROAD STREET, SUITE 105	Fax Number (423) 668-5412

Your Account Information

CHATTANOOGA

TN 37402-2943

INVESTMENT OBJECTIVE Investment Objective: CONSERVATIVE INCOME

Please review your investment objective. If you wish to make a change or have any questions please contact your Financial Advisor.





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Your Account Information (continued)

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Stocks in a Dividend Reinvestment Plan. Default Method for Mutual Funds:

Default Method for all Other Securities:

BOND AMORTIZATION ELECTIONS

Accrual market discount method for all other bond types: Amortize premium on taxable bonds based on Constant Yield Method:

First In First Out First In First Out First In First Out

Yes Constant Yield Method
No

ELECTRONIC DELIVERY

Include market discount in income annually

You have not selected any account communications for electronic delivery. To register and turn off paper communications, log in to your account or contact your Financial Advisor for more information.

		Total FDIO Incurred Book Deposits	てんな) アワ
		Closing Balance	12/31/18
1,150.97	DEPOSIT	Deposit	12/26/18
1,469.06	DEPOSIT		12/24/18
1,994.05	DEPOSIT		12/20/18
212.90	DEPOSIT	Deposit	12/19/18
	A/O 12/17 \$5515.17		
	Citibank N.A.		12/17/18
	APY 1.15%		
4.15	INTEREST CREDITED		12/14/18
1,216.03	DEPOSIT	Deposit	12/14/18
72.32	DEPOSIT	Deposit	12/05/18
198,31	DEPOSIT	Deposit	12/04/18
4,024.36		Opening Balance	12/01/18
		Ending: 12/31/18	Activity E
		INSURED DEPOSIT PROGRAM F	INSURED
		Sweep FDIC Insured Bank Deposits	Sweep FC
Amount	Description	Activity Type	Date

accounts. Please review this in connection with other deposits you may have at each respective bank The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement

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Dividend Option: Cash; Capital Gains Option: Cash Multiple Y Total Covered 302,948	FIRST EAGLE GLOBAL FUND CLASS I Open End Fund	Open End Fund Dividend Option: Cash; Capital Gains Option: Cash 05/10/16 1,927.195	FUND	Multiple Y Total Covered 1,361.498	DODGE & COX GLOBAL STOCK FUND Open End Fund Chicker Code: Coate Code Code	Open End Fund Dividend Option: Cash; Capital Gains Option: Cash Multiple Y 1,834.630	BLACKROCK MULTI-ASSET INCOME PORTFOLIO FD CL A	MUTUAL FUNDS 95.00% of Portfolio	Date Acquired Quantity	TOTAL CASH, MONEY FUNDS, AND BANK DEPOSITS	Total FDIC Insured Bank Deposits	DEUTSCHE BANK INS DEPOSIT E 12/01/18	INSURED DEPOSIT PROGRAM F 12/01/18 10,342.150	FDIC Insured Bank Deposits	CASH, MONEY FUNDS, AND BANK DEPOSITS 5.00% of Portfolio Cash Balance	Opening Date Quantity	
iash ed 53,3380 48	-	àsh 95 9.3400		ed 11.9740 98		àsh ed 10.9900 30		folio	ity Unit Cost	ND BANK DI					K DEPOSITO	ity Number	Account
380		100		740		900			Cost	POSITS		N/A	N/A		5 5.00% of	iber	wnt
16,158.63	Security Ct	18,000.00	Security (16,302.41	Security Io CI	20,162.84	Security C		Cost Basis			12/31/18	12/31/18		Portfolio	Ending	Activity
51.1000	Security Identifier: SGHX CUSIP: 32008F606	9.2000	Security Identifier: FFRHX CUSIP: 315916783	11.0300	Security Identifier: DODWX CUSIP: 256206202	10.1300	Security Identifier: BAICX CUSIP: 09256H351		Market Price	\$4,024.36	\$4,024.36	0.00	4,024.36		0.00	Balance	Opening
15,480.64		17,730.19		15,017.32		18,584.80			Market Value	\$10,365.28	\$10,342.15	0.00	10,342.15		23.13	Balance	Closing
-677.99		-269.81		-1,285.09		-1.578.04			Unrealized Gain/Loss	\$3.79	\$3.79	0.00	3.79			Income	Accrued
										\$35,18	\$35.18	31.03	4.15			This Year	Income
182.07		760.71		345.82		966.66			Estimated Estimated Annual Income Yield			N/A	N/A			Yield	30-Day
1.17%		4.29%		2.30%		5.20%			Estimated Yield			N/A	N/A			Yield	Current









T ROWE PRICE BALANCED Open End Fund Dividend Option: Cash; Capital Gains Option: Reinvest Multiple Y 1,508.158	PIMCO INCOME FUND CLASS A Open End Fund Dividend Option: Cash; Capital Gains Option: Cash Multiple Y 1,713.333	Open End Fund Dividend Option: Cash; Capital Gains Option: Cash Multiple Y 1,265,223	LOOMIS SAYLES GLOBAL ALLOCATION FUND CLASS A	Dividend Option: Cash, Capital Gains Option: Cash 05/10/16 1,533.220	HARBOR BOND FUND INSTITUTIONAL CLASS Open End Fund	Dividend Option: Cash; Capital Gains Option: Cash Multiple Y 1,634.304	MUTUAL FUNDS (continued) FIRST EAGLE GLOBAL INCOME BUILDER FUND CLASS A Chest End Fund	Date Acquired Quantity
22.1470	12.3570	17.6990		11.7400		10.8340		Unit Cost
Security Ic CU 33,401.29	Security Id CL 21,171.20	22,393.29	Security ld Cut	18,000.00	Security Id	17,706.49	Security k	Cost Basis
Security Identifier: RPBAX CUSIP: 77954G108 29 21.3900	Security Identifier: PONAX CUSIP: 7220/F474 20 11.8100	20.0100	Security Identifier: LGMAX CUSIP: 46602Q107	11.1300	Security Identifier: HABDX CUSIP: 4115:1108	11.0900	Security Identifier: FEBAX CUSIP: 32008F697	Market Price
32,259.50	20,234,46	25,317.11		17,064.74		18,124.43		Market Value
.1,141.79	-936.74	2,923.82		-935.26		417.94		Uhrealized Gain/Loss
754.07 2.33%	1,058.12 5.22%	204.33 0.80%		525.86 3.08%		454.33 2.50%		Estimated Estimated Annual Income Yield

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\$6,548.15	\$0.00	-\$4,599.46	\$228,361.97		\$232,961.43		TOTAL PORTFOLIO HOLDINGS	TOTAL PORT
Estimated Annual Income	:	Unrealized Gain/Loss	Market Value		Cost Basis			The state of the s
\$6,512.97		-\$4,599,46	\$217,996.69		\$222,596.15		UAL FUNDS	TOTAL MUTUAL FUNDS
1,261.00 3.30%		-1,116.50	38,183.50	24.4300	39,300.00	25.1440	Total Covered 1,562.976	Multiple Y
							Dividend Option: Cash; Capital Gains Option: Cash	Dividend Option: (
				CUSIP: 921938106			isi	INVESTOR SHARES
				Security Identifier, VWINX	Security		VANGUARD WELLESLEY INCOME FUND	VANGUARD WELL
							MUTUAL FUNDS (continued)	MUTUAL FU!
Annual Income Yield		Gain/Loss	Unit Cost Cost Basis Market Price Market Value Gain/Loss Annual Income Yield	Market Price	Cost Basis	Unit Cost	Quantity	Date Acquired
Estimated Estimated		Unrealized						

subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially

Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost basis will not be reported to the IRS

Y This line is an aggregation of shares in this position, representing shares acquired at different times and for dates. This summary is a sum of these shares and does not represent cost basis calculated using the average cost accounting method

Portfolio Holdings Disclosures

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total. THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest Accrued interest represents interest earned but not yet received. investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may

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EXCELLENCE

Reinvestment (continued) Portfolio Holdings Disclosures (continued) One North Beent wood Blud, Suite 850

Foreign Currency Transactions financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional Current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in writing of any material change in visit introducing firm in visit in visit in visit introducing firm in visit introducing firm in visit introducing firm in visit i Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished Including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, information pertaining to these transactions.

Identified from customary banking sources on the conversion date or the prior business day, increased by up to 1% unless a particular rate is required by applicable law. Your financial transaction, incurred a charge, or COPORIGE ACTIONS UNIGES YOU INSTRUCT YOUR FINANCIAL OF THE PRINCE PERSHIPS SUIFFRENCE OF SUIFFERENCE OF SUIFFERENCE OF THE PRINCE OF THE PRINC Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to ar from U.S. dollars for dividends and similar currency to a from U.S. dollars for dividends and similar currency to a from U.S. dollars for dividends and similar currency to a from U.S. dollars for dividends and similar currency to a from U.S. dollars for dividends and similar currency to a from U.S. dollars for dividends and similar currency to a from U.S. dollars for dividends and similar currency to a from U.S. dollars for dividends and similar currency to a from U.S. dollars for dividends and similar currency to a from U.S. dollars for dividends and similar currency to a from U.S. dollars for dividends and similar currency currency to a from U.S. dollars for dividends and similar currency currency

shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your than the process of the second securities of the many second to the fight to vote your than the process of the second se

HARD TO VALUE POSITIONS Important Message Regarding Your Portfolio Holdings

While your tax lots have been rolled up, all of the lots are still maintained. If you would like to receive the details of your tax lots, please contact your financial advisor. expect that the fair market value for hard-to value assets reflects the amount you would actually receive in the event of a sale because there are multiple ways that "value" may be ascertained by issuers and valuation services, and because the value shown is only a point-in-time assessment, you should not Positions identified on your statements as "hard-to-value" may show a "fair market value" amount for IRS purposes. Because hard-to-value assets are not readily tradeable, and rational solutions and the value show a chinar in the conservant value assets are not readily tradeable, and the value and value and value and value and value and value assets are not readily tradeable, and the value of the value and value a

Account Number: EPP-01 (695 E00K4320CSF3D03

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Activity Summary (All amounts shown are in base currency)

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	-1,850.98	-1,850.98	0.00	.4,350,98	4,350.98
Total Securities	\$0.00	\$1,850.98	-\$1,850.98	\$0.00	\$4,350.98	-\$4,350.98
Dividends and Interest	\$1,795.43	\$0.00	\$1,795.43	\$6,560.97	\$0.00	\$6,560.97
Distributions	\$6,396.47	\$0.00	\$6,396.47	\$6,396.47	\$0,00	\$6,396.47
Fees	\$0.00	\$0.00	\$0.00	\$0.00	-\$4,867.49	-\$4,867.49
FDIC Insured Bank Deposits		-\$6,317.79	-\$6,317.79	\$11,184.93	-\$14,931.61	-\$3,746.68
Totals	\$8,191.90	-\$8,168.77	\$23.13	\$24,142.37	-\$24,150.08	·\$7.71

Transactions in Date Sequence

12/13/18	12/13/18	12/13/18	12/13/18	12/05/18	12/04/18	12/04/18	12/03/18	12/03/18	12/03/18	Process/ Settlement Date
										Process/ Trade/ Settlement Transaction Date Date
SGIX LONG TERM CAPITAL GAIN DISTRIBUTION RPBAX	SHORT TERM CAPITAL GAIN DISTRIBUTION	SGIIX CASH DIVIDEND RECEIVED SGIIX	LONG TERM CAPITAL GAIN DISTRIBUTION	FDIC INSURED BANK DEPOSIT	CASH DIVIDEND RECEIVED FFRHX	FDIC INSURED BANK DEPOSIT USD999997	CASH DIVIDEND RECEIVED PONAX	CASH DIVIDEND RECEIVED FEBAX	CASH DIVIDEND RECEIVED BAICX	Activity Type
T ROWE PRICE BALANCED 1423.83(0 SHRS RD 12/11 PD 12/13/18	FIRST EAGLE GLOBAL FUND CLASS I 302,9480 SHRS RD 12/11 PD 12/13/18	FIRST EAGLE GLOBAL FUND CLASS I 302.9480 SHRS RD 12/11 PD 12/13/18	FIRST EAGLE GLOBAL FUND CLASS I 302.9480 SHRS RD 12/11 PD 12/13/18	INSURED DEPOSIT PROGRAM F	FIDELITY FLOATING RATE HIGH INCOME FUND FOR ACCRUAL PERIOD ENDING 1/30/18	INSURED DEPOSIT PROGRAM F	PIMCO INCOME FUND CLASS A FOR ACCRUAL PERIOD ENDING 11/30/18	FIRST EAGLE GLOBAL INCOME BUILDER FUND CLASS A FOR ACCRUAL PERIOD ENDING 11/30/18	BLACKROCK MULTI-ASSET INCOME PORTFOLIO FD CL A FOR ACCRUAL PERIOD ENDING 11/30/18	Description
										Quantity
										Price
										Accrued interest
1,822.50	15.75	182.07	833,11	-72.32	72.32	-198.31	88.32	28.73	81.26	Amount
USD	USD	USD	USD	USD	USD	USD	USD	USD	USD	Amount Currency





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Transactions in Date Sequence (continued)

12/21/18	12/20/18	12/19/18	12/19/18	12/19/18	12/18/18	12/17/18	12/17/18	12/14/18	12/13/18	12/13/18	12/13/18	12/13/18	Process/ Settlement Date
						12/17/18 12/14/18	12/17/18 12/14/18						Trade/ Transaction Date
LONG TERM CAPITAL GAIN DISTRIBUTION DODWX	FDIC INSURED BANK DEPOSIT	CASH DIVIDEND RECEIVED	LONG TERM CAPITAL GAIN DISTRIBUTION VWINX	FDIC INSURED BANK DEPOSIT USD999997	CASH DIVIDEND RECEIVED HABDX	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED PER987762	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED PER987762	FDIC INSURED BANK DEPOSIT USD999997	REINVEST CASH INCOME RPBAX	REINVEST CASH INCOME RPBAX	SHORT TERM CAPITAL GAIN DISTRIBUTION RPBAX	CASH DIVIDEND RECEIVED RPBAX	n Activity Type
DODGE & COX GLOBAL STOCK FUND 1361.4980 SHRS RD 12/18 PD 12/20/18	INSURED DEPOSIT PROGRAM F	VANGUARD WELLESLEY INCOME FUND INVESTOR SHARES 1562,9760 SHRS RD 12/17 PD 12/19/18	VANGUARD WELLESLEY INCOME FUND (NVESTOR SHARES 1562.9760 SHRS RD 12/17 PD 12/19/18	INSURED DEPOSIT PROGRAM F	HARBOR BOND FUND INSTITUTIONAL CLASS 1533.2200 SHRS RD 12/14 PD 12/17/18	INSURED DEPOSIT INTEREST CREDITED	INSURED DEPOSIT INTEREST REINVESTED	INSURED DEPOSIT PROGRAM F	T ROWE PRICE BALANCED 1423,8310 SHRS SHRS PURCH. AT \$21,95000 RD 12/11 PD 12/13/18	T ROWE PRICE BALANCED 1423,8310 SHRS SHRS PURCH. AT \$21,95000 RD 12/11 PD 12/13/18	T ROWE PRICE BALANCED 1423 8310 SHRS RD 12/11 PD 12/13/18	T ROWE PRICE BALANCED 1423.8310 SHRS RD 12/11 PD 12/13/18	Description
									83.030	1.297			Quantity
													Price
													Accrued Interest
1,097.37	-1,994.05	367.30	1,626,75	-212.90	212.90	4.15	<u>,4</u>	-1,216.03	-1,822.50	.28.48	28.48	185,10	Amount
USD	USD	USD	USD	USD	USD				USD	asu	USD	USD	Amount Currency

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Transactions in Date Sequence (continued)

The pr	Total	12/31/18	12/26/18	12/24/18	12/24/18	12/24/18	12/24/18	12/21/18	12/21/18	Process/ Settleme Date
ice and qua	Value of T	18	18	18	1 5€	18	186	166	18	Process/ Trade/ Settlement Transaction Date Date
The price and quantity displayed may have been rounded	Total Value of Transactions	CASH DIVIDEND RECEIVED FFRHX	LGMAX FDIC INSURED BANK DEPOSIT USD999997	SHORT TERM CAPITAL GAIN DISTRIBUTION	CASH DIVIDEND RECEIVED LGMAX	USD999997 LONG TERM CAPITAL GAIN DISTRIBUTION	DODWX FDIC INSURED BANK DEPOSIT	SHORT TERM CAPITAL GAIN DISTRIBUTION	CASH DIVIDEND RECEIVED DODWX	n Activity Type
unded.		FIDELITY FLOATING RATE HIGH INCOME FUND 1927.1950 SHRS RD 12/27 PD 12/28/18	INSURED DEPOSIT PROGRAM F	LOOMIS SAYLES GLOBAL ALLOCATION FUND CLASS A 1265 2230 SHRS RD 12/20 PD 12/24/18	LOOMIS SAYLES GLOBAL ALLOCATION FUND CLASS A 1265 2230 SHRS RD 12/20 PD 12/24/18	LOOMIS SAYLES GLOBAL ALLOCATION FUND CLASS A 1265 2230 SHRS RD 12/20 PD 12/24/18	INSURED DEPOSIT PROGRAM F	DODGE & COX GLOBAL STOCK FUND 1361.4980 SHRS RD 12/18 PD 12/20/18	DODGE & COX GLOBAL STOCK FUND 1361.4980 SHRS RD 12/18 PD 12/20/18	Description
										Quantity
										Price
	\$0.00									Accrued Interest
	\$23 13	23.13	-1,150.97	7.34	204,33	939,30	-1,469.06	25.87	345.82	Amount
	USD	USD	USD	USD	USD	USD	USD	USD	USD	Amount Currency

Income and Expense Summary

	Curren	Current Period	Year-to-Date	-Date
Dividend Income	e spira spe e e e e e e e e e e e e e e e e e e			
Other Dividends	1,791.28	0.00	6,525,79	0.00
Interest Income				
FDIC Insured Bank Deposits	4,15	0.00	35,18	0.00
Total Dividends, Interest, Income and Expenses	\$1,795.43			\$0.00
Distributions				
Long - Term Capital Gain Distributions	6,319.03	0.00	6,319.03	0,00
Short - Term Capital Gain Distributions	77.44	0.00	77.44	0.00
Total Distributions	\$6,396.47	\$0.00	\$6,396,47	\$0.00

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Please note the following information for Pershing's 2018 IRS Form 1099 (B, DIV, INT, MISC and OID): Your Form 1099 will be delivered as early as possible, beginning January 31 reviewed and finalized. The notice will inform you of the securities that are pending final reporting and provides the anticipated mail date of your 1099. Your 1099 will be mailed no information from issuers and trustees of securities that you hold by the as of date of the February 15 1099 mailing. You will also be sent the notice if your information is still being later than March 15, 2019. 2019. By February 15, you will be mailed either your Form 1099 or a special Pending 1099 Notice. The Pending 1099 Notice will be sent if we have not received and processed final

Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC). YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET MUTUAL FUND & FDIC-INSURED DEPOSITS PROGRAM RATES & BANK LISTS". PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE

Important Information and Disclosures

- suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without common control with it liable for any investment losses incurred by you. liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents, the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement
- a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as Disclosure Statement, please contact your financial institution.
- protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability Pershing is a member of the Securities investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC
- receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act. This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: Pershing LLC, Legal Department,







Important Information and Disclosures (continued)

The Role of Pershing (continued)

One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330. Errors and Omissions excepted

Important Arbitration Disclosures

- a claim is filed All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings
- panel at least 20 days prior to the first scheduled hearing date. The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement

Important Arbitration Agreement

class who has not opted out of the class with respect to any claims encompassed by the putative class action until, (I) the class certification is denied, (II) the class is decertified or action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative the extent stated herein. The laws of the State of New York govern. Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to

Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330





TERMS AND CONDITIONS

TRANSACTIONS

- SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS. ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE
- WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

FREE CREDIT BALANCES: ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

DEBIT BALANCES: INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS, INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU, PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

MARGIN INFORMATION: IF YOU MAINTAIN A MARGIN ACCOUNT. THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENT'AL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT IMFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU! USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION GENERAL INFORMATION. DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO ROLL ADVICE. DO NOT RELY UPON ANY SUCH ADVICE. IF GIVEN, INVESTORS ARE ENCOURAGED TO CONSULT THER TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.

 WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR COMMINGLING THEREOF.
- GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT

- BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR, CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED. PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER.
- FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH, DETAILS AVAILABLE UPON REQUEST. IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN
- VIEW IT ONLINE AT WWW.PERSHING.COM.
 FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: WWW.PERSHING.COM/DISCLOSURES.
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

RULE 607(A)(1)-(2)] PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICIES DISCLOSURES [REGULATION NMS—

ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. PERSHING RECEIVES PAYMENTS FOR DIRECTING LISTED OPTIONS ORDER FLOW TO CERTAIN OPTION EXCHANGES. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY AND OPTION ORDERS TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC. FOR EXECUTION AS PRINCIPAL. PERSHING SENDS CERTAIN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION NETWORKS, OR BROKER-DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTENDED TRADING SESSIONS. CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT.

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE. COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFERE (NBBO), ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED MARKET CENTERS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES QUALITY OF THEIR EXECUTIONS IN ONE OR MORE MARKET SEGMENTS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE LINACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMANEDIATELY BY WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07398, ATTN-LEGAL DEPT

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