



Filing ID #10019617

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Kathy Manning  
**Status:** Congressional Candidate  
**State/District:** NC13

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2017  
**Filing Date:** 03/30/2018  
**Period Covered:** 01/01/2017– 03/2/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Akeva, LLC ⇒ Shoe Patent  DESCRIPTION: Licensing shoe patents	SP	\$100,001 - \$250,000	Partnership Income	None	\$100,001 - \$1,000,000
BB&T Accounts  DESCRIPTION: Checking & Savings	SP	\$1,001 - \$15,000	None		
Bedford Properties, LLC ⇒ Investment in Industrial Rental Property  LOCATION: Greensboro, NC, US	SP	Spouse/DC Over \$1,000,000	Partnership Income	None	\$100,001 - \$1,000,000
Bell Fund I ⇒ Investment in Residential Rental Properties  LOCATION: Greensboro, NC, US	SP	\$1,001 - \$15,000	Partnership Income	None	\$5,001 - \$15,000
Capsule Group  LOCATION: Greensboro, NC, US DESCRIPTION: Owns and operates 3 Golden Touch Car washes located in Greensboro, High Point and Kernersville through wholly owned subsidiaries. The wholly owned subsidiaries are treated as disregarded entities.	SP	Spouse/DC Over \$1,000,000	Business Income	\$15,001 - \$50,000	Spouse/DC Over \$1,000,000

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Ehrenkranz Partners, LP ⇒ Acquisition Fund 2006 L.P.	SP	\$100,001 - \$250,000	None		
Ehrenkranz Partners, LP ⇒ Acquisition Fund 2007 L.P.	SP	\$100,001 - \$250,000	Partnership Income	None	\$15,001 - \$50,000
Ehrenkranz Partners, LP ⇒ Acquisition Fund III L.P.	SP	\$1,001 - \$15,000	None		
Ehrenkranz Partners, LP ⇒ Acquisition Fund IV L.P.	SP	\$15,001 - \$50,000	Partnership Income	None	\$1,001 - \$2,500
Ehrenkranz Partners, LP ⇒ Acquisition Fund V L.P.	SP	\$100,001 - \$250,000	Partnership Income	None	\$15,001 - \$50,000
Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund II L.P.	SP	\$1,001 - \$15,000	Partnership Income	None	\$1,001 - \$2,500
Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund III L.P.	SP	\$15,001 - \$50,000	None		
Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund IV L.P.	SP	\$50,001 - \$100,000	Partnership Income	None	\$5,001 - \$15,000
Ehrenkranz Partners, LP ⇒ Real Estate Acquisition Fund L.P.	SP	\$50,001 - \$100,000	Partnership Income	None	\$5,001 - \$15,000
Ehrenkranz Partners, LP ⇒ Venture Fund L.P.	SP	\$1,001 - \$15,000	Partnership Income	None	\$2,501 - \$5,000
Elm Street Hotel II ⇒ Elm Street Hotel, LLC  LOCATION: Greensboro, NC, US DESCRIPTION: Commercial Real Estate Investment- plans to build a hotel in downtown Greensboro.	SP	\$1,001 - \$15,000	None		
Elm Street Technology LLC ⇒ Elm Street Data, LLC  LOCATION: Greensboro, NC, US DESCRIPTION: Internet Software Company	SP	Spouse/DC Over \$1,000,000	None		
Elm Street Technology LLC ⇒ EST Holdings II, LLC  LOCATION: Greensboro, NC, US DESCRIPTION: Internet Software Company	SP	Spouse/DC Over \$1,000,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Elm Street Technology LLC ⇒ Listingbook, LLC  LOCATION: Greensboro, NC, US DESCRIPTION: Internet Software Company	SP	Spouse/DC Over \$1,000,000	None		
Little Santee-Sandy Ridge, LLC ⇒ Investment in Commercial Real Estate  LOCATION: Greensboro, NC, US DESCRIPTION: Sandy Ridge Road	SP	\$250,001 - \$500,000	None		
ManKap Holdings LLC ⇒ Investment in Real Property  LOCATION: Frisco, TX, US	SP	None	Partnership Income	None	\$15,001 - \$50,000
MV Capital - GST Exempt Trust #1 ⇒ AQR Managed Futures Fund CL I (AQMIX)	SP	\$15,001 - \$50,000	None		
MV Capital - GST Exempt Trust #1 ⇒ Clearbridge Large Cap Growth I (SBLYX)	SP	\$100,001 - \$250,000	Dividends	None	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ Delaware Value Fund Institutional (DDVIX)	SP	\$100,001 - \$250,000	Dividends	None	\$2,501 - \$5,000
MV Capital - GST Exempt Trust #1 ⇒ Ecolab Inc. (ECL)	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ Fidelity Government Cash Reserves (FDRXX)	SP	\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ Fidelity Low Priced Stock (FLPSX)	SP	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ First Eagle Overseas Class I (SGOIX)	SP	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ iShares Core High Dividend ETF (HDV)	SP	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares Core MSCI EAFE ETF (IEFA)	SP	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG)	SP	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P 500 ETF (IVV)	SP	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ iShares Edge MSCI Min Vol EAFE ETF (EFAV)	SP	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV)	SP	\$100,001 - \$250,000	Dividends	None	\$2,501 - \$5,000
MV Capital - GST Exempt Trust #1 ⇒ iShares Floating Rate Bond ETF (FLOT)	SP	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2018 Term Corporate ETF (IBDH)	SP	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2019 Term Corporate ETF (IBDK)	SP	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2020 Term Corporate ETF (IBDL)	SP	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2021 Term Corporate ETF (IBDM)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #1 ⇒ iShares Russell 1000 Value ETF (IWD)	SP	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P 500 Growth ETF (IVW)	SP	\$100,001 - \$250,000	Dividends	None	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P 500 Value ETF (IVE)	SP	\$100,001 - \$250,000	Dividends	None	\$2,501 - \$5,000
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK)	SP	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ)	SP	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P Small-Cap 600 Growth ETF (IJT)	SP	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P SmallCap 600 Value ETF (IJS)	SP	\$50,001 - \$100,000	Dividends	None	\$201 - \$1,000
MV Capital - GST Exempt Trust #1 ⇒ iShares U.S. Preferred Stock ETF (PFF)	SP	\$15,001 - \$50,000	Dividends	None	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB)	SP	\$15,001 - \$50,000	Dividends	None	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #1 ⇒ Vanguard REIT ETF (VNQ)	SP	\$15,001 - \$50,000	Dividends	None	\$1,001 - \$2,500
MV Capital - GST Exempt Trust #2 ⇒ 3M Company (MMM)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Abbott Laboratories (ABT)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Accenture plc Class A Ordinary Shares (ACN)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Air Products and Chemicals, Inc. (APD)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Allergan plc Ordinary Shares (AGN)	SP	\$1 - \$1,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Alphabet Inc. - Class A (GOOGL)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Amgen Inc. (AMGN)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Aon plc Class A Ordinary Shares (AON)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Apple Inc. (AAPL)	SP	\$1,001 - \$15,000	None		

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MV Capital - GST Exempt Trust #2 ⇒ Caterpillar, Inc. (CAT)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Centene Corporation (CNC)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Chevron Corporation (CVX)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Chubb Limited (CB)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Citizens Financial Group, Inc. (CFG)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ CVS Health Corporation (CVS)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Delta Air Lines, Inc. (DAL)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Duke Energy Corporation (DUK)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Exxon Mobil Corporation (XOM)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Fidelity Government Cash Reserves (FDRXX)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Fidelity Treasury Money Market Fund (FZFXX)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ General Electric Company (GE)	SP	\$1 - \$1,000	None		
MV Capital - GST Exempt Trust #2 ⇒ General Mills, Inc. (GIS)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Gilead Sciences, Inc. (GILD)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Intel Corporation (INTC)	SP	\$1,001 - \$15,000	None		

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MV Capital - GST Exempt Trust #2 ⇒ Intuit Inc. (INTU)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ iShares Core S&P 500 ETF (IVV)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ iShares S&P 500 Growth ETF (IVW)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Johnson & Johnson (JNJ)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ JP Morgan Chase & Co. (JPM)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Lockheed Martin Corporation (LMT)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Medtronic plc. Ordinary Shares (MDT)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Nike, Inc. (NKE)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Occidental Petroleum Corporation (OXY)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ PayPal Holdings, Inc. (PYPL)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Pfizer, Inc. (PFE)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Procter & Gamble Company (PG)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ QUALCOMM Incorporated (QCOM)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Schlumberger N.V. (SLB)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒	SP	\$1,001 - \$15,000	None		

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Starbucks Corporation (SBUX)					
MV Capital - GST Exempt Trust #2 ⇒ Sysco Corporation (SYY)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ TJX Companies, Inc. (TJX)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Versum Materials, Inc. (VSM)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Visa Inc. (V)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Walt Disney Company (DIS)	SP	\$1,001 - \$15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Wells Fargo & Company (WFC)	SP	\$1,001 - \$15,000	None		
MV Capital - Joint Account #1 ⇒ Bank of America Corporation (BAC)	JT	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #1 ⇒ Clearbridge Large Cap Growth I (SBLYX)	JT	\$50,001 - \$100,000	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #1 ⇒ Delaware Value Fund Institutional (DDVIX)	JT	\$100,001 - \$250,000	Dividends	None	\$2,501 - \$5,000
MV Capital - Joint Account #1 ⇒ Fidelity Government Money Market (SPAXX)	JT	\$15,001 - \$50,000	Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #1 ⇒ Fidelity Low Priced Stock (FLPSX)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #1 ⇒ First Eagle Overseas Class I (SGOIX)	JT	None	Capital Gains	None	\$5,001 - \$15,000
MV Capital - Joint Account #1 ⇒ iShares Core MSCI EAFE ETF (IEFA)	JT	\$100,001 - \$250,000	Dividends	None	\$2,501 - \$5,000
MV Capital - Joint Account #1 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG)	JT	\$100,001 - \$250,000	Dividends	None	\$2,501 - \$5,000



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MV Capital - Joint Account #1 ⇒ iShares Core S&P 500 ETF (IVV)	JT	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #1 ⇒ iShares Edge MSCI Min Vol EAFE ETF (EFAV)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #1 ⇒ iShares Floating Rate Bond ETF (FLOT)	JT	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #1 ⇒ iShares iBonds Dec 2017 Term Corporate ETF (IBDJ)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #1 ⇒ iShares iBonds Dec 2018 Term Corporate ETF (IBDH)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #1 ⇒ iShares iBonds Dec 2019 Term Corporate ETF (IBDK)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #1 ⇒ iShares iBonds Dec 2020 Term Corporate ETF (IBDL)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #1 ⇒ iShares iBonds Dec 2021 Term Corporate ETF (IBDM)	JT	\$15,001 - \$50,000	None		
MV Capital - Joint Account #1 ⇒ iShares Russell 1000 Value ETF (IWD)	JT	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #1 ⇒ iShares S&P 500 Growth ETF (IVW)	JT	\$100,001 - \$250,000	Capital Gains	None	\$2,501 - \$5,000
MV Capital - Joint Account #1 ⇒ iShares S&P 500 Value ETF (IVE)	JT	\$100,001 - \$250,000	Dividends	None	\$2,501 - \$5,000
MV Capital - Joint Account #1 ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ)	JT	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #1 ⇒	JT	\$15,001 - \$50,000	Capital Gains,	None	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
iShares S&P Small-Cap 600 Growth ETF (IJT)			Dividends		
MV Capital - Joint Account #1 ⇒ iShares S&P SmallCap 600 Value ETF (IJS)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #1 ⇒ PowerShares Preferred Portfolio (PGX)	JT	None	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #1 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #1 ⇒ Vanguard Real Estate ETF (VNQ)	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ BNC Bancorp (BNCN)	JT	None	Dividends	None	\$5,001 - \$15,000
MV Capital - Joint Account #2 ⇒ Clearbridge Large Cap Growth I (SBLYX)	JT	\$100,001 - \$250,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ Delaware Value Fund Institutional (DDVIX)	JT	\$100,001 - \$250,000	Dividends	None	\$2,501 - \$5,000
MV Capital - Joint Account #2 ⇒ Fidelity Low Priced Stock (FLPSX)	JT	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #2 ⇒ First Eagle Overseas Class I (SGOIX)	JT	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #2 ⇒ Goldman Sachs Group Inc MTN	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	None
DESCRIPTION: Corporate Bond - Call Date 2/27/20					
MV Capital - Joint Account #2 ⇒ iShares Core High Dividend ETF (HDV)	JT	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #2 ⇒ iShares Core MSCI EAFE ETF (IEFA)	JT	\$250,001 - \$500,000	Dividends	None	\$5,001 - \$15,000
MV Capital - Joint Account #2 ⇒ iShares Core S&P 500 ETF (IVV)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒	JT	None	Dividends	None	\$201 - \$1,000

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
iShares Core U.S. Aggregate Bond ETF (AGG)					
MV Capital - Joint Account #2 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV)	JT	\$250,001 - \$500,000	Dividends	None	\$5,001 - \$15,000
MV Capital - Joint Account #2 ⇒ iShares Floating Rate Bond ETF (FLOT)	JT	\$250,001 - \$500,000	Dividends	\$201 - \$1,000	\$5,001 - \$15,000
MV Capital - Joint Account #2 ⇒ iShares iBonds Dec 2017 Term Corporate ETF (IBDJ)	JT	None	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ iShares iBonds Dec 2018 Term Corporate ETF (IBDH)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ iShares iBonds Dec 2019 Term Corporate ETF (IBDK)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ iShares iBonds Dec 2020 Term Corporate ETF (IBDL)	JT	\$15,001 - \$50,000	None		
MV Capital - Joint Account #2 ⇒ iShares iBonds Dec 2021 Term Corporate ETF (IBDM)	JT	\$15,001 - \$50,000	None		
MV Capital - Joint Account #2 ⇒ iShares Russell 1000 ETF (IWB)	JT	\$100,001 - \$250,000	Dividends	None	\$2,501 - \$5,000
MV Capital - Joint Account #2 ⇒ iShares Russell 1000 Value ETF (IWD)	JT	\$250,001 - \$500,000	Dividends	None	\$5,001 - \$15,000
MV Capital - Joint Account #2 ⇒ iShares Russell 2000 Growth ETF (IWO)	JT	\$1,001 - \$15,000	Dividends	None	\$1 - \$200
MV Capital - Joint Account #2 ⇒ iShares S&P 500 Growth ETF (IVW)	JT	\$100,001 - \$250,000	Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #2 ⇒ iShares S&P 500 Value ETF (IVE)	JT	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #2 ⇒ iShares U.S. Preferred Stock ETF (PFF)	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000

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MV Capital - Joint Account #2 ⇒ MV Cash	JT	\$100,001 - \$250,000	None		
MV Capital - Joint Account #2 ⇒ Pinnacle Financial Partners, Inc. (PNFP)	JT	\$1,000,001 - \$5,000,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
MV Capital - Joint Account #2 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #2 ⇒ TCW Total Return Bond Class I (TGLMX)	JT	None	Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #2 ⇒ Vanguard REIT ETF (VNQ)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ 3M Company (MMM)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Abbott Laboratories (ABT)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Accenture plc Class A Ordinary Shares (ACN)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #3 ⇒ Air Products and Chemicals, Inc. (APD)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Allergan plc Ordinary Shares (AGN)	JT	\$1,001 - \$15,000	Dividends	None	\$1 - \$200
MV Capital - Joint Account #3 ⇒ Alphabet Inc. - Class A (GOOGL)	JT	\$15,001 - \$50,000	None		
MV Capital - Joint Account #3 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)	JT	\$15,001 - \$50,000	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Amgen Inc. (AMGN)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Aon plc Class A Ordinary Shares (AON)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒	JT	\$15,001 - \$50,000	Capital Gains,	None	\$201 - \$1,000

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Apple Inc. (AAPL)			Dividends		
MV Capital - Joint Account #3 ⇒ Caterpillar, Inc. (CAT)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Centene Corporation (CNC)	JT	\$15,001 - \$50,000	None		
MV Capital - Joint Account #3 ⇒ Chevron Corporation (CVX)	JT	\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Chubb Limited (CB)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Citizens Financial Group, Inc. (CFG)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ CVS Health Corporation (CVS)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Delta Air Lines, Inc. (DAL)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Duke Energy Corporation (DUK)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Exxon Mobil Corporation (XOM)	JT	\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Fidelity Treasury Money Market Fund (FZFX)	JT	\$15,001 - \$50,000	Dividends	None	\$1 - \$200
MV Capital - Joint Account #3 ⇒ General Electric Company (GE)	JT	\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ General Mills, Inc. (GIS)	JT	\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Gilead Sciences, Inc. (GILD)	JT	\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Intel Corporation (INTC)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	None	\$201 - \$1,000

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - Joint Account #3 ⇒ Intuit Inc. (INTU)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #3 ⇒ iShares Core S&P 500 ETF (IVV)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ iShares S&P 500 Growth ETF (IVW)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Johnson & Johnson (JNJ)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ JP Morgan Chase & Co. (JPM)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Lockheed Martin Corporation (LMT)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Medtronic plc. Ordinary Shares (MDT)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ MV Cash	JT	\$15,001 - \$50,000	None		
MV Capital - Joint Account #3 ⇒ Nike, Inc. (NKE)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Occidental Petroleum Corporation (OXY)	JT	\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ PayPal Holdings, Inc. (PYPL)	JT	\$15,001 - \$50,000	None		
MV Capital - Joint Account #3 ⇒ Pfizer, Inc. (PFE)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Procter & Gamble Company (PG)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ QUALCOMM Incorporated (QCOM)	JT	\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Schlumberger N.V. (SLB)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - Joint Account #3 ⇒ Starbucks Corporation (SBUX)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Sysco Corporation (SYX)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ TJX Companies, Inc. (TJX)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Versum Materials, Inc. (VSM)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #3 ⇒ Visa Inc. (V)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Walt Disney Company (DIS)	JT	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #3 ⇒ Wells Fargo & Company (WFC)	JT	\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Activision Blizzard, Inc (ATVI)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Adobe Systems Incorporated (ADBE)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Advanced Energy Industries, Inc. (AEIS)	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Agilent Technologies, Inc. (A)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Air Transport Services Group, Inc (ATSG)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Albemarle Corporation (ALB)	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Allergan plc Ordinary Shares (AGN)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Altisource Portfolio Solutions S.A. (ASPS)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Altria Group, Inc. (MO)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ American Express Company (AXP)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Ameriprise Financial, Inc. (AMP)	JT	\$15,001 - \$50,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Analog Devices, Inc. (ADI)	JT	None	Capital Gains	\$201 - \$1,000	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Anthem, Inc. (ANTM)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Apple Inc. (AAPL)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Applied Materials, Inc. (AMAT)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ ArcBest Corporation (ARCB)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Arch Coal, Inc. Class A (ARCH)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒	JT	\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000



<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Assurant, Inc. (AIZ)					
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Avery Dennison Corporation (AVY)	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Bank of America Corporation (BAC)	JT	None	Capital Gains	\$1,001 - \$2,500	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Baxter International Inc. (BAX)	JT	\$1,001 - \$15,000	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Best Buy Co., Inc. (BBY)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Boeing Company (BA)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ BWX Technologies, Inc. (BWXT)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ C.R. Bard, Inc. (BCR)	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Cabot Microelectronics Corporation (CCMP)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Cadence Design Systems, Inc. (CDNS)	JT	\$15,001 - \$50,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Caseys General Stores, Inc. (CASY)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Caterpillar, Inc. (CAT)	JT	None	Capital Gains	None	\$2,501 - \$5,000

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ CBS Corporation Class B (CBS)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ CDK Global, Inc. (CDK)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Celanese Corporation Celanese Corporation Series A (CE)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Centene Corporation (CNC)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ CenturyLink, Inc. (CTL)	JT	\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Charles River Laboratories International, Inc. (CRL)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Chemed Corp (CHE)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Cisco Systems, Inc. (CSCO)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Citigroup, Inc. (C)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ ConAgra Brands, Inc. (CAG)	JT	\$1,001 - \$15,000	None		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Corning Incorporated (GLW)	JT	\$1,001 - \$15,000	Dividends	None	\$1 - \$200
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ CVS Health Corporation (CVS)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Diamond Offshore Drilling, Inc. (DO)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Dillard's, Inc. (DDS)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Dr Pepper Snapple Group, Inc Dr Pepper Snapple Group, Inc (DPS)	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ E*TRADE Financial Corporation (ETFC)	JT	None	Capital Gains	\$1,001 - \$2,500	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Electronic Arts Inc. (EA)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ EMCOR Group, Inc. (EME)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Enterprise Financial Services Corporation (EFSC)	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Entravision Communications Corporation (EVC)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ ePlus inc. (PLUS)	JT	\$1,001 - \$15,000	None		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Equifax, Inc. (EFX)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Evercore Inc. Class A (EVR)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Express Scripts Holding Company (ESRX)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Fifth Third Bancorp (FITB)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Fluor Corporation (FLR)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Gannett Co., Inc. (GCI)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Gap, Inc. (GPS)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Garmin Ltd. (GRMN)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ General Dynamics Corporation (GD)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ General Electric Company (GE)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ General Mills, Inc. (GIS)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Gilead Sciences, Inc. (GILD)	JT	\$1,001 - \$15,000	None		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Global Payments Inc. (GPN)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Goldman Sachs Group, Inc. (GS)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Greenbrier Companies, Inc. (GBX)	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ H&R Block, Inc. (HRB)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Hillenbrand Inc (HI)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ IDEXX Laboratories, Inc. (IDXX)	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Illinois Tool Works Inc. (ITW)	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Insperity, Inc. (NSP)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ International Seaways, Inc. (INSW)	JT	None	Capital Gains	None	\$1 - \$200
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Intuitive Surgical, Inc. (ISRG)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒	JT	\$1,001 - \$15,000	None		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
<b>JP Morgan Chase &amp; Co. (JPM)</b>					
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Kohl's Corporation (KSS)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Lam Research Corporation (LRCX)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ LCI Industries (LCII)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Louisiana-Pacific Corporation (LPX)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ LyondellBasell Industries NV Ordinary Shares Class A (LYB)	JT	\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Magellan Health, Inc. (MGLN)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Marathon Petroleum Corporation (MPC)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Marriott International - Class A (MAR)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Marsh & McLennan Companies, Inc. (MMC)	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Masimo Corporation (MASI)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ McDonald's Corporation (MCD)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ McKesson Corporation (MCK)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ MEDIFAST INC (MED)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Michael Kors Holdings Limited Ordinary Shares (KORS)	JT	None	Capital Gains	\$1,001 - \$2,500	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Micron Technology, Inc. (MU)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Motorola Solutions, Inc. (MSI)	JT	\$1,001 - \$15,000	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ MV Cash	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ National Oilwell Varco, Inc. (NOV)	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Navient Corporation (NAVI)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Northrop Grumman Corporation (NOC)	JT	\$1,001 - \$15,000	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Novanta Inc. - Common Shares (NOVT)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ NVIDIA Corporation (NVDA)	JT	None	Capital Gains	None	\$15,001 - \$50,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒	JT	\$1,001 - \$15,000	None		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
ON Semiconductor Corporation (ON)					
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Pacific Continental Corporation	JT	None	Capital Gains	None	\$1,001 - \$2,500
DESCRIPTION: Bank Holding Company					
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Paychex, Inc. (PAYX)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Pier 1 Imports, Inc. (PIR)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ PNC Financial Services Group, Inc. (PNC)	JT	None	Capital Gains	\$1,001 - \$2,500	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Principal Financial Group Inc (PFG)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Procter & Gamble Company (PG)	JT	\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Progressive Corporation (PGR)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Prudential Financial, Inc. (PRU)	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	None
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ PulteGroup, Inc. (PHM)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Quanta Services, Inc. (PWR)	JT	None	Capital Gains	None	\$5,001 - \$15,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Rayonier Advanced Materials Inc. (RYAM)	JT	None	Capital Gains	None	\$201 - \$1,000



<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Regions Financial Corporation (RF)	JT	\$15,001 - \$50,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Reliance Steel & Aluminum Co. Common Stock (RS)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ REX American Resources Corporation (REX)	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Rockwell Automation, Inc. (ROK)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Sanmina Corporation (SANM)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Seagate Technology PLC - Ordinary Shares (STX)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	None	\$5,001 - \$15,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Signet Jewelers Limited Common Shares (SIG)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ SP Plus Corporation (SP)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Spok Holdings, Inc. (SPOK)	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Steel Dynamics, Inc. (STLD)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Stryker Corporation (SYK)	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒	JT	\$1,001 - \$15,000	Capital Gains,	None	\$201 - \$1,000

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
O'Shaughnessy Asset Management LLC ⇒ Sysco Corporation (SYY)			Dividends		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Target Corporation (TGT)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ TE Connectivity Ltd. New Switzerland Registered Shares (TEL)	JT	None	Capital Gains, Dividends	None	\$2,501 - \$5,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Tech Data Corporation (TECD)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Teradata Corporation (TDC)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Texas Instruments Incorporated (TXN)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ The AES Corporation (AES)	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ The Cooper Companies, Inc. (COO)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ The Travelers Companies, Inc. (TRV)	JT	None	Capital Gains, Dividends	\$201 - \$1,000	\$5,001 - \$15,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Thor Industries, Inc. (THO)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Total System Services, Inc. (TSS)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Travelport Worldwide Limited Common	JT	\$1,001 - \$15,000	None		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Shares (TVPT)					
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Trinseo S.A. Ordinary Shares (TSE)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ TTEC Holdings, Inc. (TTEC)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ UGI Corporation (UGI)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ United Continental Holdings (UAL)	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	\$2,501 - \$5,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ United Technologies Corporation (UTX)	JT	None	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ UNIVERSAL INSURANCE HOLDINGS INC (UVE)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Unum Group (UNM)	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ V.F. Corporation (VFC)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Vail Resorts, Inc. (MTN)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Valero Energy Corporation (VLO)	JT	\$15,001 - \$50,000	Dividends	None	\$1 - \$200
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Voya Financial, Inc. (VOYA)	JT	\$1,001 - \$15,000	None		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ W.W. Grainger, Inc. (GWW)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Wabash National Corporation (WNC)	JT	None	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Wal-Mart Stores, Inc. (WMT)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Walt Disney Company (DIS)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Waste Management, Inc. (WM)	JT	None	Capital Gains	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Waters Corporation (WAT)	JT	\$1,001 - \$15,000	Capital Gains	None	\$1,001 - \$2,500
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Western Digital Corporation (WDC)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Western Union Company (WU)	JT	\$1,001 - \$15,000	Dividends	None	\$1 - \$200
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Worthington Industries, Inc. (WOR)	JT	\$1,001 - \$15,000	None		
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Yum! Brands, Inc. (YUM)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	None	\$201 - \$1,000
MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC ⇒ Zions Bancorporation (ZION)	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	\$1,001 - \$2,500
MV Capital - KEM Roth IRA #1 ⇒ AQR Managed Futures Fund CL I (AQMIX)		\$1,001 - \$15,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - KEM Roth IRA #1 ⇒ Clearbridge Large Cap Growth I (SBLYX)		\$50,001 - \$100,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ Fidelity Government Cash Reserves (FDRXX)		\$1 - \$1,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ First Eagle Overseas Class I (SGOIX)		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Core High Dividend ETF (HDV)		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Core MSCI EAFE ETF (IEFA)		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG)		\$50,001 - \$100,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Edge MSCI Min Vol EAFE ETF (EFAV)		\$100,001 - \$250,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV)		\$100,001 - \$250,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Floating Rate Bond ETF (FLOT)		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 1000 Growth ETF (IWF)		\$100,001 - \$250,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE)		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB)		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ Vanguard REIT ETF (VNQ)		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ Fidelity Government Cash Reserves (FDRXX)		\$1,001 - \$15,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - KEM Roth IRA #2 ⇒ iShares Core S&P 500 ETF (IVV)		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ iShares S&P 500 Growth ETF (IVW)		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK)		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ Materials Select Sector SPDR (XLB)		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ New Fidelity Treasury Money Market Fund (FZFX)		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ SPDR Dow Jones Industrial Average ETF (DIA)		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ SPDR Select Sector Fund - Consumer Discretionary (XLY)		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ SPDR Select Sector Fund - Financial (XLF)		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ SPDR Select Sector Fund - Health Care (XLV)		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ SPDR Select Sector Fund - Industrial (XLI)		\$1,001 - \$15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #2 ⇒ SPDR Select Sector Fund - Technology (XLK)		\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ AQR Managed Futures Fund CL I (AQMIX)	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Clearbridge Large Cap Growth I (SBLYX)	SP	\$100,001 - \$250,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Delaware Value Fund Institutional (DDVIX)	SP	\$50,001 - \$100,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
MV Capital - RRK Roth IRA #1 ⇒ Fidelity Government Cash Reserves (FDRXX)	SP	\$1 - \$1,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Fidelity Low Priced Stock (FLPSX)	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ First Eagle Overseas Class I (SGOIX)	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Franklin Convertible Securities Advisor (FCSZX)	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ iShares Core MSCI EAFE ETF (IEFA)	SP	\$50,001 - \$100,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG)	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ iShares Edge MSCI Min Vol EAFE ETF (EFAV)	SP	\$100,001 - \$250,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV)	SP	\$50,001 - \$100,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ iShares Floating Rate Bond ETF (FLOT)	SP	\$50,001 - \$100,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 1000 Growth ETF (IWF)	SP	\$50,001 - \$100,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 2000 Growth ETF (IWO)	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 2000 Value ETF (IWN)	SP	\$50,001 - \$100,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ iShares S&P 500 Growth ETF (IVW)	SP	\$100,001 - \$250,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE)	SP	\$100,001 - \$250,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
iShares S&P Mid-Cap 400 Growth ETF (IJK)					
MV Capital - RRR Roth IRA #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ)	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRR Roth IRA #1 ⇒ iShares S&P Small-Cap 600 Growth ETF (IJT)	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRR Roth IRA #1 ⇒ iShares S&P SmallCap 600 Value ETF (IJS)	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRR Roth IRA #1 ⇒ PowerShares Preferred Portfolio (PGX)	SP	\$50,001 - \$100,000	Tax-Deferred		
MV Capital - RRR Roth IRA #1 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB)	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRR Roth IRA #1 ⇒ Vanguard REIT ETF (VNQ)	SP	\$50,001 - \$100,000	Tax-Deferred		
MV Capital - RRR Roth IRA #2 ⇒ Fidelity Government Cash Reserves (FDRXX)	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRR Roth IRA #2 ⇒ iShares Core S&P 500 ETF (IVV)	SP	\$50,001 - \$100,000	Tax-Deferred		
MV Capital - RRR Roth IRA #2 ⇒ iShares S&P 500 Growth ETF (IVW)	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRR Roth IRA #2 ⇒ SPDR Select Sector Fund - Consumer Discretionary (XLY)	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRR Roth IRA #2 ⇒ SPDR Select Sector Fund - Financial (XLF)	SP	\$1,001 - \$15,000	Tax-Deferred		
MV Capital - RRR Roth IRA #2 ⇒ SPDR Select Sector Fund - Health Care (XLV)	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRR Roth IRA #2 ⇒ SPDR Select Sector Fund - Industrial (XLI)	SP	\$15,001 - \$50,000	Tax-Deferred		
MV Capital - RRR Roth IRA #2 ⇒ SPDR Select Sector Fund - Technology (XLK)	SP	\$15,001 - \$50,000	Tax-Deferred		



Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MV Financial ⇒ First Bancorp (FBNC)	JT	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Previously known as Carolina Bank					
Neuberger Berman Brokerage # 1 ⇒ Alaska St HSG Fin Corp Rev BDS ST Cap 5.0%; Due 12/1/20	SP	\$100,001 - \$250,000	Interest	None	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Allegheny Valley PA Sch Dist Go BDS Ser 5.0%; Due 11/1/24	SP	\$100,001 - \$250,000	Interest	None	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Bank Amer Corp MTN 5.65%; Due 5/1/18	SP	\$50,001 - \$100,000	Interest	None	\$2,501 - \$5,000
DESCRIPTION: Corporate Bond					
Neuberger Berman Brokerage # 1 ⇒ Boone & Winnebago CNTYS ILL CM NTV 0%; Due 1/1/20	SP	\$15,001 - \$50,000	Interest	None	\$1,001 - \$2,500
Neuberger Berman Brokerage # 1 ⇒ Colorado St BRD Governors Univ 4.9%; Due 3/1/21	SP	\$100,001 - \$250,000	Interest	None	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Dare County N C Ltd Oblig Ltd Bonds	SP	None	Capital Gains, Interest	None	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Dignity Health Bond Call Make Whole 2.637%; Due 11/1/19	SP	\$15,001 - \$50,000	Interest	None	\$1,001 - \$2,500
DESCRIPTION: Corporate Bond					
Neuberger Berman Brokerage # 1 ⇒ Dreyfus Treasury Sec Cash Mgmt Admin (DARXX)	SP	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
Neuberger Berman Brokerage # 1 ⇒ Forsyth County N C Go Ref Bonds	SP	None	Capital Gains, Interest	None	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Georgia Mun Elec Auth Power Rev BDS 3.78%; Due 1/1/20	SP	\$50,001 - \$100,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Neuberger Berman Brokerage # 1 ⇒ Lake Zurich ILL Taxable Go Ref BDS 2.85%;	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	\$2,501 - \$5,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Due 2/1/27					
Neuberger Berman Brokerage # 1 ⇒ New Jersey St Transn TR FD Auth Rev NTS 5.0%; Due 6/15/19	SP	\$15,001 - \$50,000	Interest	None	\$1,001 - \$2,500
Neuberger Berman Brokerage # 1 ⇒ New York NY City Transitional Fin 4.137%; Due 8/1/20	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Onslow County N C Ltd Oblig Bonds	SP	None	Capital Gains, Interest	None	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Oregon St Dept Administrative Services 2.78%; Due 4/1/24	SP	\$50,001 - \$100,000	Interest	None	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Port Seattle Wash Rev Intermediate 2.836%; Due 5/1/24	SP	\$50,001 - \$100,000	Interest	None	\$201 - \$1,000
Neuberger Berman Brokerage # 1 ⇒ Riverside California Pension Oblig 3.0%; Due 6/1/25	SP	\$50,001 - \$100,000	Interest	None	\$1,001 - \$2,500
Neuberger Berman Brokerage # 1 ⇒ San Jose California Redev AGY Successor AGY 2.958%; Due 8/1/24	SP	\$50,001 - \$100,000	Interest	\$201 - \$1,000	None
Neuberger Berman Brokerage # 1 ⇒ Southwest Higher Ed Auth Inc. Tex Rev 2.262%; Due 10/1/23	SP	\$15,001 - \$50,000	Interest	None	\$201 - \$1,000
Neuberger Berman Brokerage # 1 ⇒ Texas A& Univ Rev Fin Svs BDS 2.986%; Due 5/15/28	SP	\$50,001 - \$100,000	Interest	None	\$1,001 - \$2,500
Neuberger Berman Brokerage # 1 ⇒ University MD System Auxiliary FAC 2.0%; Due 4/1/19	SP	\$50,001 - \$100,000	Interest	None	\$1,001 - \$2,500
Neuberger Berman Brokerage # 1 ⇒ University N C Greensboro Rev Gen	SP	None	Capital Gains, Interest	None	\$2,501 - \$5,000
Neuberger Berman Brokerage # 1 ⇒ Vanguard Bond Index Fund Inc Short Term	SP	Spouse/DC Over \$1,000,000	Dividends	\$1,001 - \$2,500	\$15,001 - \$50,000

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Bond ETF (BSV)					
Neuberger Berman Brokerage # 2 ⇒ Burke County GA Dev Auth Pollution Ctl Rev		None	Interest	None	\$201 - \$1,000
Neuberger Berman Brokerage # 2 ⇒ California Housing Fin AGY Rev Taxable Home		None	Interest	None	\$201 - \$1,000
Neuberger Berman Brokerage # 2 ⇒ California St Gov't Bonds 7.95%		None	Interest	None	\$2,501 - \$5,000
Neuberger Berman Brokerage # 2 ⇒ Georgia Mun Electric Auth Power Rev Bonds		None	Interest	None	\$201 - \$1,000
Neuberger Berman Brokerage # 2 ⇒ Hobart Ind Building Corp First Mtg		None	Interest	None	\$1,001 - \$2,500
Neuberger Berman Brokerage # 2 ⇒ Indianapolis Ind LOC Pub Imptbd BK		None	Interest	None	\$1,001 - \$2,500
Neuberger Berman Brokerage # 2 ⇒ Jersey City NJ Bans Ser 2016 A 1.50%		None	Interest	None	\$1,001 - \$2,500
Neuberger Berman Brokerage # 2 ⇒ Lake Zurich ILL Taxable Go Ref Bonds		None	Interest	None	\$2,501 - \$5,000
Neuberger Berman Brokerage # 2 ⇒ Monroe Mich Ltd Tax Go Bonds Se 2016		None	Interest	None	\$1,001 - \$2,500
Neuberger Berman Brokerage # 2 ⇒ North East Indpt Sch Dist Tex Var RT		None	Interest	None	\$1,001 - \$2,500
Neuberger Berman Brokerage # 2 ⇒ Oakland California Pension Obligation 4.0%		None	Capital Gains, Interest	None	\$1,001 - \$2,500
Neuberger Berman Brokerage # 2 ⇒ Rhode Island St Comm Corp Rev Grant		None	Interest	None	\$201 - \$1,000
Neuberger Berman Brokerage # 2 ⇒ Santa Fe Springs California Community Dev		None	Interest	None	\$201 - \$1,000
Neuberger Berman Brokerage # 2 ⇒ South Dakota Housing Dev Auth		None	Capital Gains	None	\$2,501 - \$5,000

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Neuberger Berman Brokerage # 2 ⇒ Southwest Higher Ed Auth Inc Tex Rev		None	Interest	None	\$201 - \$1,000
Neuberger Berman Brokerage # 2 ⇒ Vanguard Short Term Invmt Grade Investor (VFSTX)		\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
OurCrowd ⇒ Consumer Physics  LOCATION: Herzliya, IL DESCRIPTION: Advanced optical spectrometer	SP	\$50,001 - \$100,000	None		
Piedmont Angel Networks ⇒ AvidXchange  LOCATION: Charlotte, NC, US DESCRIPTION: Accounting Solutions	SP	\$250,001 - \$500,000	Partnership Income	None	\$15,001 - \$50,000
Piedmont Angel Networks ⇒ Batanga Media, Inc.  LOCATION: Coral Gables, FL, US DESCRIPTION: Internet radio/media company	SP	None	Partnership Income	None	\$2,501 - \$5,000
Piedmont Angel Networks ⇒ OptiVia Medical, LLC  LOCATION: Winston-Salem, NC, US DESCRIPTION: Medical Supply Store	SP	\$1,001 - \$15,000	None		
Piedmont Angel Networks ⇒ Piedmont Pharmaceuticals  LOCATION: Greensboro, NC, US DESCRIPTION: Pharmaceutical company	SP	\$15,001 - \$50,000	Partnership Income	None	\$201 - \$1,000
Piedmont Angel Networks ⇒ Sandbox Learning, Inc.  LOCATION: Charlotte, NC, US DESCRIPTION: Development of personalized materials for children.	SP	\$1,001 - \$15,000	None		
Piedmont Angel Networks ⇒ Sensory Analytics  LOCATION: Greensboro, NC, US DESCRIPTION: Development of Sensory Solutions	SP	\$1,001 - \$15,000	None		
Piedmont Angel Networks ⇒ SpermCheck f/k/a ContraVac  LOCATION: Charlottesville, VA, US	SP	\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Medical Research					
Piedmont Angel Networks II ⇒ Pique Therapeutics, Inc.	SP	\$1,001 - \$15,000	Partnership Income	None	\$1 - \$200
LOCATION: Durham, NC, US DESCRIPTION: Biopharmaceutical Company					
Piedmont Angel Networks II ⇒ Protochips	SP	\$15,001 - \$50,000	None		
LOCATION: Morrisville, NC, US DESCRIPTION: Electronics Research Company					
Pinnacle Bank Accounts	JT	\$1,000,001 - \$5,000,000	None		
DESCRIPTION: Cash & Equivalents					
Randall Robert Kaplan Alaska LLC ⇒ Hartford Life Private Placement Series 7A	SP	Spouse/DC Over \$1,000,000	None		
DESCRIPTION: Universal Life Insurance					
Real Estate Software Solutions, LLC ⇒ Elm Street Technology LLC	SP	Spouse/DC Over \$1,000,000	None		
LOCATION: Greensboro, NC, US DESCRIPTION: Internet Software Company and investment in Real Estate Software Patent					
Regent Holdings, LLC ⇒ Investment in Commercial Property	SP	\$500,001 - \$1,000,000	Partnership Income	\$2,501 - \$5,000	\$1,001 - \$2,500
LOCATION: Greensboro, NC, US					
Reservoir Capital Partners, LP	SP	Spouse/DC Over \$1,000,000	Partnership Income	None	\$15,001 - \$50,000
LOCATION: New York, NY, US DESCRIPTION: Private Equity Fund. Fund manager letter on file with the Committee on Ethics.					
RRK Charitable Trust U/A 11/28/00 #1 ⇒ AQR Managed Futures Fund CL I (AQMIX)	SP	\$15,001 - \$50,000	None		
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Clearbridge Large Cap Growth I (SBLYX)	SP	\$50,001 - \$100,000	Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Delaware Value Fund Institutional (DDVIX)	SP	\$100,001 - \$250,000	Dividends	None	\$2,501 - \$5,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Fidelity Government Cash Reserves (FDRXX)	SP	\$50,001 - \$100,000	Dividends	None	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Fidelity Low Priced Stock (FLPSX)	SP	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ First Eagle Overseas Class I (SGOIX)	SP	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Franklin Convertible Securities Advisor (FCSZX)	SP	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Core High Dividend ETF (HDV)	SP	\$15,001 - \$50,000	Dividends	None	\$1,001 - \$2,500
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG)	SP	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Edge MSCI Min Vol EAFE ETF (EFAV)	SP	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV)	SP	\$100,001 - \$250,000	Capital Gains, Dividends	None	\$2,501 - \$5,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Floating Rate Bond ETF (FLOT)	SP	\$100,001 - \$250,000	Dividends	None	\$1,001 - \$2,500
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Russell 1000 Growth ETF (IWF)	SP	\$100,001 - \$250,000	Capital Gains, Dividends	None	\$5,001 - \$15,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Russell 1000 Value ETF (IWD)	SP	\$100,001 - \$250,000	Dividends	None	\$2,501 - \$5,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Russell 2000 Growth ETF (IWO)	SP	\$15,001 - \$50,000	Dividends	None	\$1 - \$200
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Russell 2000 Value ETF (IWN)	SP	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares Russell Midcap Growth ETF (IWP)	SP	\$15,001 - \$50,000	Dividends	None	\$1 - \$200
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares S&P 500 Growth ETF (IVW)	SP	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares S&P 500 Value ETF (IVE)	SP	\$1,001 - \$15,000	Capital Gains	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK)	SP	\$1,001 - \$15,000	None		
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ)	SP	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares S&P Small-Cap 600 Growth ETF (IJT)	SP	\$1,001 - \$15,000	None		
RRK Charitable Trust U/A 11/28/00 #1 ⇒ iShares S&P SmallCap 600 Value ETF (IJS)	SP	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Materials Select Sector SPDR (XLB)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital - Cash	SP	\$1 - \$1,000	None		
RRK Charitable Trust U/A 11/28/00 #1 ⇒ PowerShares Preferred Portfolio (PGX)	SP	\$15,001 - \$50,000	Dividends	None	\$1,001 - \$2,500
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Seven Bridges Long/Short Equity Fund Ltd. LOCATION: New York, NY, US DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.	SP	\$500,001 - \$1,000,000	None		
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Seven Bridges Multi-Strategy Fund Ltd. LOCATION: New York, NY, US DESCRIPTION: Investment Company. Fund manager letter on file with the Committee on Ethics.	SP	\$250,001 - \$500,000	None		
RRK Charitable Trust U/A 11/28/00 #1 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB)	SP	\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ SPDR Dow Jones Industrial Average ETF (DIA)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ SPDR Select Sector Fund - Consumer Staples (XLP)	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
RRK Charitable Trust U/A 11/28/00 #1 ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE)	SP	None	Capital Gains	\$201 - \$1,000	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ Vanguard REIT ETF (VNQ)	SP	\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ Fidelity Treasury Money Market Fund (FZFX)	SP	\$1,001 - \$15,000	None		
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ iShares Core S&P 500 ETF (IVV)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$2,501 - \$5,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ iShares Core U.S. Aggregate Bond ETF (AGG)	SP	None	Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ iShares S&P 500 Growth ETF (IVW)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ iShares S&P 500 Value ETF (IVE)	SP	\$1,001 - \$15,000	None		
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK)	SP	None	Capital Gains	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ iShares S&P SmallCap 600 Value ETF (IJS)	SP	None	Capital Gains	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ SPDR Select Sector Fund - Consumer Discretionary (XLY)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ SPDR Select Sector Fund - Financial (XLF)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV	SP	\$1,001 - \$15,000	Capital Gains,	None	\$201 - \$1,000



<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Capital # 2 ⇒ SPDR Select Sector Fund - Health Care (XLV)			Dividends		
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ SPDR Select Sector Fund - Industrial (XLI)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	None	\$1,001 - \$2,500
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ SPDR Select Sector Fund - Technology (XLK)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ TCW Total Return Bond Class I (TGLMX)	SP	None	Dividends	None	\$201 - \$1,000
RRK Charitable Trust U/A 11/28/00 #2 ⇒ Seven Bridges Long/Short Equity Fund Ltd.  LOCATION: New York, NY, US DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.	SP	Spouse/DC Over \$1,000,000	None		
RRK Charitable Trust U/A 11/28/00 #2 ⇒ Seven Bridges Multi-Strategy Fund Ltd.  LOCATION: New York, NY, US DESCRIPTION: Investment Company. Fund manager letter on file with the Committee on Ethics.	SP	\$500,001 - \$1,000,000	None		
Scientific Associates, LLC ⇒ Patents  DESCRIPTION: Water Purification & Oxygenation Patents	SP	\$15,001 - \$50,000	None		
Stonefield Cellars Winery  LOCATION: Stokesdale, NC, US DESCRIPTION: Winery	SP	\$1,001 - \$15,000	None		
Tarco Realty, LLC ⇒ Elm Street Center, LLC  LOCATION: Greensboro, NC, US DESCRIPTION: Owns and operates the Elm Street Center, 201 South Elm, and parking decks on corner of South Elm Street and February One Place	SP	Spouse/DC Over \$1,000,000	Partnership Income	\$100,001 - \$1,000,000	None
Wells Fargo Brokerage ⇒ Ecolab Inc. (ECL)	SP	\$50,001 - \$100,000	Dividends	None	\$201 - \$1,000
WLC, LLC (Learning Together) ⇒ Non-Public Stock  DESCRIPTION: Education Business specializing in Peer Tutoring and Leadership Development located in Greensboro, NC	SP	\$250,001 - \$500,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
WLC, LLC (Learning Together) ⇒ Notes Receivable	SP	\$250,001 - \$500,000	None		
DESCRIPTION: Greensboro, NC					

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Elm Street Technology	Spouse Health Care Premiums	N/A	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Pinnacle Financial Partners	August 2006	Line of Credit	Spouse/DC Over \$1,000,000
SP	BB&T	October 2012	Line of Credit	Spouse/DC Over \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board of Trustees	University of North Carolina at Greesboro
Board of Directors	Greensboro Symphony Orchestra
Board of Directors	Triad Stage
Board of Directors	Greensboro Chamber of Commerce
Board of Directors	Community Foundation of Greater Greensboro
Director & Officer	Kaplan Manning Family Foundation

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- Akeva, LLC (Owner: SP)  
LOCATION: US
- Bedford Properties, LLC (Owner: SP)  
LOCATION: US
- Bell Fund I (Owner: SP)  
LOCATION: US
- Ehrenkranz Partners, LP (Owner: SP)  
LOCATION: New York, NY, US
- Elm Street Hotel II (Owner: SP)  
LOCATION: US
- Elm Street Technology LLC (Owner: SP)  
LOCATION: US
- Little Santee-Sandy Ridge, LLC (Owner: SP)  
LOCATION: US
- ManKap Holdings LLC (Owner: SP)  
LOCATION: US
- MV Capital - GST Exempt Trust #1 (Owner: SP)
- MV Capital - GST Exempt Trust #2 (Owner: SP)
- MV Capital - Joint Account #1 (Owner: JT)  
LOCATION: US
- MV Capital - Joint Account #2 (Owner: JT)  
LOCATION: US
- MV Capital - Joint Account #3 (Owner: JT)  
LOCATION: US
- MV Capital - Joint Account #4 (Owner: JT)  
LOCATION: US
- MV Capital - Joint Account #4 ⇒ O'Shaughnessy Asset Management LLC (Owner: JT)  
LOCATION: US
- MV Capital - KEM Roth IRA #1
- MV Capital - KEM Roth IRA #2
- MV Capital - RRR Roth IRA #1 (Owner: SP)
- MV Capital - RRR Roth IRA #2 (Owner: SP)
- MV Financial (Owner: JT)  
LOCATION: US
- Neuberger Berman Brokerage # 1 (Owner: SP)  
LOCATION: US
- Neuberger Berman Brokerage # 2  
LOCATION: US
- OurCrowd (Owner: SP)
- Piedmont Angel Networks (Owner: SP)

- Piedmont Angel Networks II (Owner: SP)
- Randall Robert Kaplan Alaska LLC (Owner: SP)  
LOCATION: US
- Real Estate Software Solutions, LLC (Owner: SP)  
LOCATION: Greensboro, NC, US
- Regent Holdings, LLC (Owner: SP)  
LOCATION: US
- RRK Charitable Trust U/A 11/28/00 #1 (Owner: SP)
- RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 (Owner: SP)  
LOCATION: US
- RRK Charitable Trust U/A 11/28/00 #2 (Owner: SP)
- Scientific Associates, LLC (Owner: SP)  
LOCATION: US
- Tarco Realty, LLC (Owner: SP)  
LOCATION: US
- Wells Fargo Brokerage (Owner: SP)  
LOCATION: US
- WLC, LLC (Learning Together) (Owner: SP)  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Kathy Manning , 03/30/2018