

# UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT

For New Members, Candidates, and New Employees

FORM B

Name: Vivian Marie Victoria Fisher Daytime Telephone: \_\_\_\_\_

## FILER STATUS

☒ New Member of or Candidate for U.S. House of Representatives State: NY District: 1  
Candidates - Date of Election: \_\_\_\_\_

☐ New Officer or Employee  
Employing Office: \_\_\_\_\_ Staff Filer Type (if Applicable):  
☐ Shared ☐ Principal Assistant

☐ Check if Amendment

Period Covered: January 1, 2016 to May 31, 2017

 (Office Use Only)

JUN - 1 2017 Page 1 of 1  
LEGISLATIVE REQUEST FOR COMMENT  
2017 JUN 13 AM 11:11  
OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

## PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

<p>A. Did you, your spouse, or your dependent child:</p> <p>a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u></p> <p>b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p>E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>
<p>C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p>F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p>J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

Yes ☐ No ☒

EXEMPTION - Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes ☐ No ☒

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**Use additional sheets if more space is required**

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Niviana M. Viloria-Fisher

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BLOCK A		BLOCK B													BLOCK C							BLOCK D																									
Assets and/or Income Sources		Value of Asset													Type of Income							Amount of Income																									
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year												
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*										I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII
	Spouse 403(b) Royal Alliance Indiv. Divid.																																														
	Retirement																																														
	Stumbalabre Indiv. Divid.																																														
	AB Emerging Markets																																														
	AB Large Cap																																														
	AB Growth Fund																																														
	AB Focus Dividend																																														
	AB Short Duration																																														
	AB High Income																																														
	AB Dividend																																														
	AB Strategic Fund																																														

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## Page 5 of 5

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**Use additional sheets if more space is required.**



# SCHEDULE D - LIABILITIES

Name: Niviana M. Victoria-Fisher

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **New Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							

# SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

Position	Name of Organization
Director	Planned Parenthood Hudson Peconic
Director	Jefferson's Ferry CCRRC

Name: Vivian M. Victoria-Fisher Page 8 of 46

[illegible]

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. **Exclude:** Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. **Do not repeat information listed on Schedule C.**

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**FILER NOTES**  
(Optional)

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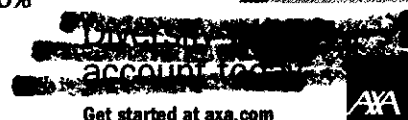
NOTE NUMBER	NOTES
1.	I am attaching statement from retirement plans that did not provide the assets in the requested formats.
2.	Egvest Deferred Compensation P. 10
3.	Spouse N.Y.C. Deferred Compensation 457 Plan P. 11
4.	Spouse 457 Plan pages 12-16
5.	Spouse Deferred Compensation Plan 401K P. 17
6.	Spouse 403 B pages 18-23
7.	Spouse - Fidelity Annuity Fund Local 30 pages 24-28
8.	Spouse - TIAA Annuity pages 29-32
9.	Spouse NYC Deferred Compensation 401K pages 37-40
10	Spouse NYC Prudential 403 B Retirement Acct pages 41-46
11	Spouse Alliance Individual Acct cent Page 4 -
12	Spouse 403(B)(7) cent Page 5



## Future Contribution Allocation

How future contributions will be applied. To update, go to [axa.com](http://axa.com)

Selected Investments	Percentage
EQ/Com Stck Index	65%
Guaranteed Interest Account	35%
<b>Total</b>	<b>100%</b>



## Portfolio Summary

Portfolio Holdings	Portfolio Percentage	Accumulation Units	Unit Value	Account Value
<b>Large Cap</b>	<b>71.75%</b>			
EQ/Com Stck Index	71.75%	69.4948	\$593.997464	\$41,275.57
<b>Guaranteed - Fixed</b>	<b>28.25%</b>			
Guaranteed Interest Account	28.25%			\$16,256.27
<b>Total Account Value as of 03/31/2017</b>				<b>\$57,531.84</b>

## Transaction Summary by Fund

	Balance as of 01/01/2017	Contributions and Additions	Withdrawals	Fees and Expenses	Net Transfers	Net Investment Portfolio Results	Plan Operating Expenses	Balance as of 03/31/2017
<b>Large Cap</b>								
EQ/Com Stck Index	\$39,159.63	\$0.00	\$0.00	\$0.00	\$0.00	\$2,115.94	\$0.00	\$41,275.57
<b>Guaranteed - Fixed</b>								
Guaranteed Interest Account	\$16,138.23	\$0.00	\$0.00	\$0.00	\$0.00	\$118.04	\$0.00	\$16,256.27
<b>TOTAL PORTFOLIO</b>	<b>\$55,297.86</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$2,233.98</b>	<b>\$0.00</b>	<b>\$57,531.84</b>

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## EQUI-VEST® Variable Annuity Series

### Quarterly Portfolio Review

For the period January 01, 2017 - March 31, 2017

► Contract Number: [REDACTED]

For Assistance Contact:

#### Your Financial Professional

ALFRED HABER, CHFC, CLU

AXA Advisors, LLC

1000 WOODBURY ROAD

SUITE 300

WOODBURY NY 11797

(631) 385-5336

alfred.haber@axa-advisors.com

Remember to email responsibly. Do not include any account specific or personal information.

#### AXA Equitable Life Insurance Company

Customer Service Representative: 800-628-6673

Monday-Thursday 8:00a-7:00p ET & Friday 8:00a-5:00p ET

Automated Telephone (TOPS): 800-755-7777

axa.com For account balances, loan payments, forms and more.



Dalbar Annuity Service Award Winner for 2016

## Account At A Glance

Contract Number: [REDACTED]

Contract Type: Tax Sheltered Annuity - Pub School

Unit Name: [REDACTED]

Unit No.: [REDACTED]

Owner: [REDACTED]

Annuitant: [REDACTED]

Death Benefit: **\$57,531.84**

Current Beneficiary:

[REDACTED]



To update your beneficiary information, visit [axa.com/equivesforms](http://axa.com/equivesforms) anytime to download a Beneficiary Change form or contact Customer Service for assistance.

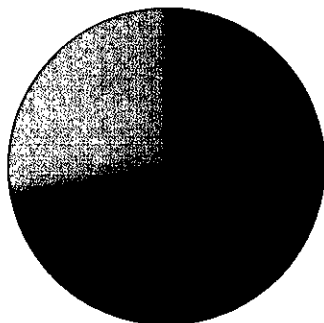
	This Quarter January 01, 2017 - March 31, 2017	Year to Date	Since Purchase
Beginning Account Value	\$55,297.86	\$55,297.86	
Contributions and Additions	\$0.00	\$0.00	\$24,150.00
Withdrawals	\$0.00	\$0.00	(\$522.54)
Fees and Expenses	\$0.00	\$0.00	
Net Investment Portfolio Results	\$2,233.98	\$2,233.98	
<b>Total Account Value</b>	<b>\$57,531.84</b>	<b>\$57,531.84</b>	

The account value shown on this statement may not reflect your most recent contribution. These will be confirmed on your next report.

\* Contract opened on December 06, 1989.

## Current Asset Allocation

■ Diversifying across asset classes may help reduce risk. Contact your Financial Professional for more information.



#### Asset Class Allocation

■ Large Cap	71.75%
■ Guaranteed - Fixed	28.25%
<b>Total</b>	<b>100.00%</b>

NYC DCP  
457

W [REDACTED]

New York City Deferred Compensation 457 Plan

Last Login: [REDACTED]

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## STATEMENTS, DOCUMENTS &amp; MESSAGES

[Messages](#)[Statements & Documents](#)[Statements on Demand](#)Period  From  To 

For the period of 04/01/2017 - 04/30/2017

## Account at a Glance

[Download](#)

Beginning Balance	Total Deposits	Total Withdrawals/ Expenses	Total Dividends	Total Change in Value	Ending Balance
\$3,668.66	\$489.24	\$0.00	\$0.00	\$42.84	\$4,200.74

## Activity by Contribution Source

Contribution Source	Beginning Balance	Deposits	Withdrawals/ Expenses/ Transfers	Dividends	Change in Value	Ending Balance
EMPLOYEE BEFORE TAX	\$3,668.66	\$489.24	\$0.00	\$0.00	\$42.84	\$4,200.74
Total	\$3,668.66	\$489.24	\$0.00	\$0.00	\$42.84	\$4,200.74

## Activity by Investment Option

Investment Option	Beginning Balance	Deposits	Transfers	Withdrawals/ Expenses	Dividends	Change in Value	Ending Balance	Unit/ Shares
Equity Index Fund	\$3,668.66	\$489.24	\$0.00	\$0.00	\$0.00	\$42.84	\$4,200.74	14.460169
Total	\$3,668.66	\$489.24	\$0.00	\$0.00	\$0.00	\$42.84	\$4,200.74	

[Statement on Demand Help](#)

Please refer to your statement for information on fees and expenses.

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MATTER 457

Start Date	End Date
04/01/2017 (mm/dd/yyyy)	04/30/2017 (mm/dd/yyyy)

## Reset Entries

Activity by Fund 04/01/2017 through 04/30/2017

JH DISCIPLINED VALUE MID CAP A	15,097.14	0.00	-83.86	0.00	0.00	-2.24	0.00	15,011.04
T. ROWE PRICE MIDCAP GRWTH ADV	14,307.56	0.00	261.52	0.00	0.00	-2.14	0.00	14,566.94
VANGUARD MID-CAP INDEX ADMIRAL	14,098.51	0.00	165.92	0.00	0.00	-2.12	0.00	14,262.31
<b>Grand Total</b>	<b>43,503.21</b>	<b>0.00</b>	<b>343.58</b>	<b>0.00</b>	<b>0.00</b>	<b>-6.50</b>	<b>0.00</b>	<b>43,840.29</b>

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Source	Beginning Balance	Contributions	Earnings Gain Loss	Withdrawals	Transfers	Fees Expenses	Other	Ending Balance
EMPLOYEE PRETAX	43,503.21	0.00	343.58	0.00	0.00	-6.50	0.00	43,840.29
Grand Total	43,503.21	0.00	343.58	0.00	0.00	-6.50	0.00	43,840.29

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MATHER 457

# Fund Details

Valued as of: 05/25/2017

Click the fund name to view Fund Fact Sheet

Source	Price	Shares	Value
JH DISCIPLINED VALUE MID CAP A			
EMPLOYEE PRETAX	\$21.77	698.4090	\$15,204.36
Subtotals		698.4090	\$15,204.36
T. ROWE PRICE MIDCAP GRWTH ADV			
EMPLOYEE PRETAX	\$82.88	180.3464	\$14,947.11
Subtotals		180.3464	\$14,947.11
VANGUARD MID-CAP INDEX ADMIRAL			
EMPLOYEE PRETAX	\$176.34	81.7296	\$14,412.20
Subtotals		81.7296	\$14,412.20
<b>Account Balance</b>			<b>\$44,563.67</b>
<b>Vested Balance</b>			<b>\$44,563.67</b>

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# Fund Totals

Valued as of: 05/ 25/ 2017

Click the fund name to view Fund Fact Sheet

			Current Value	
Ticker	Fund	Price	Shares	Dollars %
NRFAX	AEW REAL ESTATE	\$15.71	0.0000	\$0.00 0.00
ABALX	AMERICAN FUNDS AM BALANCED A	\$26.37	0.0000	\$0.00 0.00
AEPGX	AMERICAN FUNDS EUROPACFIC A	\$52.60	0.0000	\$0.00 0.00
BPRAX	BLACKROCK INFLATION PROTECTION	\$10.43	0.0000	\$0.00 0.00
FSGRX	FRANKLIN SMALL CAP GROWTH A	\$19.56	0.0000	\$0.00 0.00
HCAIX	HARBOR CAPITALAPPRECIATION INV	\$64.90	0.0000	\$0.00 0.00
WHIYX	IVY HIGH INCOME Y	\$7.67	0.0000	\$0.00 0.00
JVMAX	JH DISCIPLINED VALUE MID CAP A	\$21.77	698.4090	\$15,204.36 34.12
AEGIC	METLIFE STABLE VALUE	\$102.80	0.0000	\$0.00 0.00
OAEIX	OPPENHEIMER EQUITY INCOME A	\$30.33	0.0000	\$0.00 0.00
EAEMX	PARAMETRIC EMG MKTS INV	\$14.46	0.0000	\$0.00 0.00
PTTAX	PIMCO TOTAL RETURN A	\$10.21	0.0000	\$0.00 0.00
PAMCX	T. ROWE PRICE MIDCAP GRWTH ADV	\$82.88	180.3464	\$14,947.11 33.54
PARAX	T. ROWE PRICE RETIREMENT 2010	\$18.30	0.0000	\$0.00 0.00

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PARHX	T. ROWE PRICE RETIREMENT 2015	\$15.10	0.0000	\$0.00	0.00
PARBX	T. ROWE PRICE RETIREMENT 2020	\$21.92	0.0000	\$0.00	0.00
PARJX	T. ROWE PRICE RETIREMENT 2025	\$16.84	0.0000	\$0.00	0.00
PARCX	T. ROWE PRICE RETIREMENT 2030	\$24.59	0.0000	\$0.00	0.00
PARKX	T. ROWE PRICE RETIREMENT 2035	\$17.97	0.0000	\$0.00	0.00
PARDX	T. ROWE PRICE RETIREMENT 2040	\$25.63	0.0000	\$0.00	0.00
PARLX	T. ROWE PRICE RETIREMENT 2045	\$17.33	0.0000	\$0.00	0.00
PARFX	T. ROWE PRICE RETIREMENT 2050	\$14.54	0.0000	\$0.00	0.00
PAROX	T. ROWE PRICE RETIREMENT 2055	\$14.61	0.0000	\$0.00	0.00
TPINX	TEMPLETON GLOBAL BOND	\$12.19	0.0000	\$0.00	0.00
VFIAX	VANGUARD 500 INDEX ADMIRAL SER	\$223.58	0.0000	\$0.00	0.00
VIMAX	VANGUARD MID-CAP INDEX ADMIRAL	\$176.34	81.7296	\$14,412.20	32.34
VSMAX	VANGUARD SMALL-CAP INDEX ADM	\$64.17	0.0000	\$0.00	0.00

**Account Balance****\$44,563.67****Vested Balance****\$44,563.67**
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NYC DCP  
401K

Welcome [REDACTED]

[REDACTED] New York City Deferred Compensation 401(k) Plan

Last Login: 05/13/2017 09:11 AM EDT

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Period  Quick Filter  From  To

For the period of 05/26/2016 - 05/26/2017

**Account at a Glance**[Download](#)

Beginning Balance	Total Deposits	Total Withdrawals/ Expenses	Total Dividends	Total Change in Value	Ending Balance
\$144.30	\$1,618.29	\$0.00	\$0.00	\$132.64	\$1,895.23

**Activity by Contribution Source**

Contribution Source	Beginning Balance	Deposits	Withdrawals/ Expenses/ Transfers	Dividends	Change in Value	Ending Balance
EMPLOYEE BEFORE TAX	\$144.30	\$1,618.29	\$0.00	\$0.00	\$132.64	\$1,895.23
<b>Total</b>	<b>\$144.30</b>	<b>\$1,618.29</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$132.64</b>	<b>\$1,895.23</b>

**Activity by Investment Option**

Investment Option	Beginning Balance	Deposits	Transfers	Withdrawals/ Expenses	Dividends	Change in Value	Ending Balance	Unit/ Shares
Equity Index Fund	\$144.30	\$1,618.29	\$0.00	\$0.00	\$0.00	\$132.64	\$1,895.23	6.427822
<b>Total</b>	<b>\$144.30</b>	<b>\$1,618.29</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$132.64</b>	<b>\$1,895.23</b>	

[Statement on Demand Help](#)

Please refer to your statement for information on fees and expenses.

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MATHER 403B

# Fund Details

Valued as of: 05/25/2017

Click the fund name to view Fund Fact Sheet

Source	Price	Shares	Value
T. ROWE PRICE RETMT 2020 I			
EMPLOYEE PRE-TAX	\$11.88	22,650.0248	\$269,082.29
EMPLOYER BASIC CONTRIBUTION	\$11.88	485.6084	\$5,769.03
EMPLOYER MATCH	\$11.88	381.5193	\$4,532.45
Subtotals		23,517.1525	\$279,383.77
<b>Account Balance</b>			<b>\$279,383.77</b>
<b>Vested Balance</b>			<b>\$279,383.77</b>

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# Fund Totals

Valued as of: 05/25/2017

Click the fund name to view Fund Fact Sheet

Ticker	Fund	Price	Shares	Current Value	
				Dollars	%
NRFNX	AEW REAL ESTATE N	\$14.73	0.0000	\$0.00	0.00
RLBGX	AMERICAN FUNDS AM BALANCED R6	\$26.38	0.0000	\$0.00	0.00
RERGX	AMERICAN FUNDS EUROPACIFIC R6	\$52.59	0.0000	\$0.00	0.00
BPLBX	BLACKROCK INFL PROTECTED BD K	\$10.50	0.0000	\$0.00	0.00
DLOAN	DEFAULTED LOANS	\$0.00	0.0000	\$0.00	0.00
LOAN	EMPLOYEE LOANS	\$0.00	0.0000	\$0.00	0.00
EREMX	EV PARAMETRIC EMRG MRKT R6	\$14.53	0.0000	\$0.00	0.00
FSMLX	FRANKLIN SMALL CAP GROWTH R6	\$21.03	0.0000	\$0.00	0.00
HACAX	HARBOR CAPITAL APPRECIATION	\$66.97	0.0000	\$0.00	0.00
JVMRX	JH DISCIPLINED VAL MID CAP R6	\$22.56	0.0000	\$0.00	0.00
AEGIC	METLIFE STABLE VALUE	\$102.80	0.0000	\$0.00	0.00
MLOAN	MYPLANLOAN	\$0.00	0.0000	\$0.00	0.00
CRSXX	MYPLANLOAN ACCOUNT FUND	\$0.00	0.0000	\$0.00	0.00
MPLTR	MYPLANLOAN TRADITIONAL	\$0.00	0.0000	\$0.00	0.00
OEIIX	OPPENHEIMER EQUITY INCOME I	\$30.29	0.0000	\$0.00	0.00

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PTRX	PIMCO TOTAL RETURN INSTL	\$10.21	0.0000	\$0.00	0.00
RPTIX	T. ROWE PRICE MID-CAP GR I	\$85.26	0.0000	\$0.00	0.00
TRPAX	T. ROWE PRICE RETMT 2010 I	\$11.45	0.0000	\$0.00	0.00
TRFGX	T. ROWE PRICE RETMT 2015 I	\$11.64	0.0000	\$0.00	0.00
TRBRX	T. ROWE PRICE RETMT 2020 I	\$11.88	23,517.1525	\$279,383.77	100.00
TRPHX	T. ROWE PRICE RETMT 2025 I	\$12.08	0.0000	\$0.00	0.00
TRPCX	T. ROWE PRICE RETMT 2030 I	\$12.25	0.0000	\$0.00	0.00
TRPJX	T. ROWE PRICE RETMT 2035 I	\$12.40	0.0000	\$0.00	0.00
TRPDX	T. ROWE PRICE RETMT 2040 I	\$12.50	0.0000	\$0.00	0.00
TRPKX	T. ROWE PRICE RETMT 2045 I	\$12.53	0.0000	\$0.00	0.00
TRPMX	T. ROWE PRICE RETMT 2050 I	\$12.53	0.0000	\$0.00	0.00
TRPNX	T. ROWE PRICE RETMT 2055 I	\$12.52	0.0000	\$0.00	0.00
TRPLX	T. ROWE PRICE RETMT 2060 I	\$12.44	0.0000	\$0.00	0.00
FBNRX	TEMPLETON GLOBAL BOND R6	\$12.15	0.0000	\$0.00	0.00
VFIAX	VANGUARD 500 INDEX ADMIRAL SER	\$223.58	0.0000	\$0.00	0.00
VIMAX	VANGUARD MID- CAP INDEX ADMIRAL	\$176.34	0.0000	\$0.00	0.00
VSMAX	VANGUARD SMALL-CAP INDEX ADM	\$64.17	0.0000	\$0.00	0.00
VBTLX	VANGUARD TOTAL BD INDX ADMIRAL	\$10.77	0.0000	\$0.00	0.00

Vivian M Vitoria-Hicks Page 40 of 46

5/26/2017

Enveritus - Aggregate, by Fund for [REDACTED]

VTIAX	VANGUARD TTL	\$28.12	0.0000	\$0.00	0.00
	INTL STK INDEX				

**Account Balance**

**\$279,383.77**

**Vested Balance**

**\$279,383.77**

 Printer-Friendly Page

Vivian M Vilorio-Page 21 of 46

# Statement on Demand

403B

MATHER

Start Date 04/01/2017 End Date 04/30/2017  
(mm/dd/yyyy) (mm/dd/yyyy)

Oldest Available Date: 12/31/2008

Submit Query

Reset Entries

Your Statement of Account for the Period 04/01/2017 through 04/30/2017

## Activity by Fund 04/01/2017 through 04/30/2017

Fund	Beginning Balance	Contributions	Earnings Gain	Loss	Withdrawals	Loan Activity	Transfers	Fees Expenses	Other	Ending Balance
T. ROWE PRICE RETMT 2020 I	271,279.79	0.00	3,763.63	0.00	0.00	0.00	0.00	-63.66	0.00	274,979.76
<b>Grand Total</b>	<b>271,279.79</b>	<b>0.00</b>	<b>3,763.63</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>-63.66</b>	<b>0.00</b>	<b>274,979.76</b>

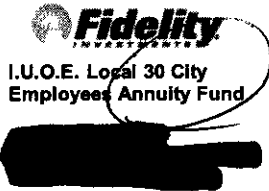
## Activity by Source 04/01/2017 through 04/30/2017

Source	Beginning Balance	Contributions	Earnings Gain	Loss	Withdrawals	Loan Activity	Transfers	Fees Expenses	Other	Ending Balance
EMPLOYER MATCH	4,400.97	0.00	61.06	0.00	0.00	0.00	0.00	-1.03	0.00	4,461.00
EMPLOYEE PRE-TAX	261,277.11	0.00	3,624.85	0.00	0.00	0.00	0.00	-61.30	0.00	264,840.66

EMPLOYER BASIC CONTRIBUTION	5,601.71	0.00	77.72	0.00	0.00	0.00	0.00	-1.33	0.00	5,678.10
Grand Total	271,279.79	0.00	3,763.63	0.00	0.00	0.00	0.00	-63.66	0.00	274,979.76

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## Retirement Savings Statement

Customer Service: (866) 848-6466  
Fidelity Brokerage Services LLC  
900 Salem Street, Smithfield, RI 02917

LOCAL 30

Annuity Fund

## Your Account Summary

Statement Period: 04/01/2017 to 04/30/2017

<b>Beginning Balance</b>	<b>\$92,858.39</b>
Acct Value Chg-Ind Div/Int	\$957.02
<b>Ending Balance</b>	<b>\$93,815.41</b>
<b>Additional Information</b>	
Vested Balance	\$93,815.41
Dividends/Interest	\$547.75

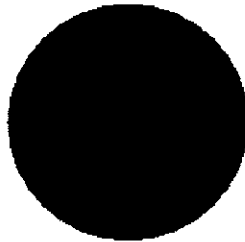
## Your Personal Rate of Return

This Period 1.0%

Your Personal Rate of Return is calculated with a time-weighted formula, widely used by financial analysts to calculate investment earnings. It reflects the results of your investment selections as well as any activity in the plan account(s) shown. There are other Personal Rate of Return formulas used that may yield different results. Remember that past performance is no guarantee of future results.

## Your Asset Allocation

Statement Period: 04/01/2017 to 04/30/2017



■ 100.00% Stock: \$93,815.41

Your account is allocated among the asset classes specified above as of 04/30/2017. Percentages and totals may not be exact due to rounding.

## Account Value

Statement Period: 04/01/2017 to 04/30/2017

Displayed in this section is the value of your Account for the statement period, in both shares/units and dollars.

## INVESTMENT OPTIONS

Investment	Shares/Units as of 03/31/2017	Shares/Units as of 04/30/2017	Price as of 03/31/2017	Price as of 04/30/2017	Account Value as of 03/31/2017	Account Value as of 04/30/2017
<b>Stock</b>					<b>\$92,858.39</b>	<b>\$93,815.41</b>
Large Cap						
FID 500 Index Inst	1,117.564	1,124.211	\$83.09	\$83.45	\$92,858.39	\$93,815.41
<b>Account Totals</b>					<b>\$92,858.39</b>	<b>\$93,815.41</b>

Remember that a dividend payment to fund shareholders reduces the share price of the fund, so a decrease in the share price for the quarterly period does not necessarily reflect lower fund performance.

Some of the administrative services performed for the Plan were underwritten from the total operating expenses of the Plan's investment options.

## Your Contribution Elections as of

As of 05/26/2017

Vivian M Vitoria Fisher pot of 46

This section displays the investments in which your future contributions will be invested.

### Your Current Investment Elections as of 05/26/2017

All Eligible Sources

Investment Option	Current %
<b>Stock Investments</b>	
LARGE CAP	
FID 500 INDEX INST	100%
<b>Total</b>	<b>100%</b>

Local 30  
Annuity

### Your Contribution Summary

Statement Period: 04/01/2017 to 04/30/2017

[View Contributions by Employer History](#)

Contributions	Post 2003 Contributions
Period to date	\$0.00
Vested Percent	100%
Total Account Balance	\$93,815.41
Total Vested Balance	\$93,815.41

### Your Account Activity

Statement Period: 04/01/2017 to 04/30/2017

Use this section as a summary of transactions that occurred in your account during the statement period.

[View Detailed Transaction History](#)

Activity	Post 2003 Contributions	Total
<b>Beginning Balance</b>	<b>\$92,858.39</b>	<b>\$92,858.39</b>
Acct Value Chg-Ind Div/Int	\$957.02	\$957.02
Vested Percentage	100%	
Vested Balance	\$93,815.41	\$93,815.41
<b>Ending Balance</b>	<b>\$93,815.41</b>	<b>\$93,815.41</b>
Dividends/Interest	\$547.75	\$547.75

Questions? Call (866) 848-6466

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IA=3 UM=1 PT=2 AT=2 CL=1 DC=1 SZ=6

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*Fidelity*  
*Annuity Fund*

*LOCAL 30*

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## Savings &amp; Retirement

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## Your Portfolio

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A A A

## Select Account(s)

## Include Position Type

## Group By

## Security Description

## View As

IUOE CITY EMPLOYEES (37571)

All

☒ Accounts ☐ Positions

☒ Full ☐ Short

[Save View](#)  
What's Saved?

## IUOE CITY EMPLOYEES (IUOE CITY EMPLOYEES 37571)

Symbol	Description	Quantity	Price	Most Recent		Change Since Close		Action
				Change	Value*	Dollar	Percent	
FXSLX	FID 500 INDEX INST	1,129.070	\$84.70	\$0.39	\$95,632.22	\$440.33	0.46%	
				Total:	\$95,632.22	\$440.33	0.46%	
Totals:					\$95,632.22	\$440.33		

Indicates today's prices and/or activity. More on pricing.

\*Workplace Savings accounts reported as of the end of the day: 05/25/2017 ET

Mutual funds are priced as of the previous business day's market close when the market is open. Mutual fund positions are priced as of the official market close (typically 4 p.m.) and prices are generally available between 5 p.m. and 6 p.m.

Quotes are delayed at least 15 minutes for securities. If the current market price is unavailable or the market is closed, Fidelity will factor in the most recent closing market price to determine the closing market value.

For details and Terms of Use on positions for a specific account, select the account name link.

<sup>1</sup> Cost basis is currently not available for this position. We are actively processing updates to your cost basis based on trades placed earlier today.

<sup>2</sup> Cost basis is currently not available for this position. Updated cost basis will be available prior to market open tomorrow.

c - Customer provided cost basis. In some cases Fidelity does not apply wash sale rules to tax lots with customer provided cost basis.

d - The Cost amount for this security was estimated based on the fair market value of this security when it was originally deposited in your account or based on the earliest date Fidelity has transaction history available for this account. You may change this amount if it does not coincide with your records.

*Nivian M Vilaria-Fisher 026 044*

Local 30  
AnnexIUOE CITY EMPLOYEES (37571)  
Investment Performance & Research

Summary

Investments

Borrow

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Plan Information

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your Updates in three easy steps.

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Talk to a Representative

1-866-848-6466

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## Your Investment Performance

How to read the table and charts

## VIEW YOUR PERFORMANCE

In the table below and when you view charts, your personal rate of return is calculated with a time-weighted formula. The calculated value reflects the result of your investment selections as well as any activity in the plan accounts shown. The personal rate of return is an estimate only and should not be used solely in making investment decisions. You cannot invest directly in a benchmark and benchmark returns may not take into account your same investment contributions, exchanges and other cash flows which makes comparisons with your personal returns difficult. There are other personal rate of return formulas used that may yield different results. Remember that past performance is no guarantee of future results. Also, please note that your Personal Rate of Return may be positively impacted by certain non-market related account adjustments including payments related to settlements and restitutions. Click on an investment to view its performance including quarter-end returns, risk, fees and expenses.

Balance Overview Annualized Returns Cumulative Returns

Name/Initial Purchase Date	Asset Class	Category	% Invested	Balance	Cost Basis	YTD Returns As Of	View Chart
FID 500 INDEX INST (FXSIX) 12/02/2013	Stock Investments	Large Cap	100.00%	\$95,632.23	\$75,725.60	8.75% 05/25/2017	
<b>Account Total</b>			<b>100%</b>	<b>\$95,632.23</b>		<b>8.75%</b>	

Not Available; 'N/A' Not applicable

## Investment Choices

How to read this table

Recently Viewed Investments

## 26 Investment Options

for IUOE CITY EMPLOYEES Acct 37571

Filter &amp; Compare

This page shows a performance summary of all the investments in your plan. The investments you hold are marked with a check-mark icon. Click an investment to view quarter-end returns, risk, fees, and expenses.

The performance data featured represents past performance, which is no guarantee of future results. Investment return and principal value of an investment will fluctuate; therefore you may have a gain or loss when you sell your shares. Current performance may be higher or lower than the performance data quoted.

You may lose money by investing in a money market fund. Not all money market funds operate the same way and depending on the fund, you may be subject to certain operating policies and risks not applicable to other money market funds. Please click on the name of the fund below for risks specific to that fund.

Average Annual Total Returns Cumulative Total Returns Daily Quotes Fees Restrictions

Name/Inception Date	Asset Class	Category	1 Year	3 Year	5 Year	10 Year/LOF*	Returns As Of	Benchmark
AF GRTH FUND AMER R6 (RGAGX) 11/30/1973	Stock Investments	Large Cap	21.04%	11.66%	14.57%	7.72%	04/30/2017	Show
DODGE & COX STOCK (DODGX) 01/04/1965	Stock Investments	Large Cap	26.42%	9.77%	15.61%	5.95%	04/30/2017	Show
<input checked="" type="checkbox"/> FID 500 INDEX INST (FXSIX) 02/17/1988	Stock Investments	Large Cap	17.89%	10.45%	13.65%	7.13%	04/30/2017	Show
FID DIVIDEND GR K (FDGKX) 04/27/1993	Stock Investments	Large Cap	15.19%	8.05%	11.82%	6.45%	04/30/2017	Show
BARON ASSET INST (BARIX) 06/12/1987	Stock Investments	Mid-Cap	22.87%	10.56%	13.37%	7.46%	04/30/2017	Show
VANG SELECTED VALUE (VASVX) 02/15/1996	Stock Investments	Mid-Cap	17.90%	7.42%	13.68%	7.45%	04/30/2017	Show
ALZGI NFJ SMCPLV IS (PSVIX) 10/01/1991	Stock Investments	Small Cap	22.24%	5.62%	10.24%	7.29%	04/30/2017	Show
BARON SMALL CAP INST (BSFIX) 09/30/1997	Stock Investments	Small Cap	23.97%	7.75%	11.22%	7.34%	04/30/2017	Show
FID DIVERSIFD INTL K (FDIKX) 12/27/1991	Stock Investments	International	10.98%	2.89%	7.88%	1.82%	04/30/2017	Show
FID ASSET MGR 20% (FASIX) 10/01/1992	Blended Investments*	N/A	4.97%	3.13%	3.87%	3.90%	04/30/2017	Show
FID FREEDOM K 2005 (FFKVX) 07/02/2009	Blended Investments*	N/A	7.84%	4.30%	5.12%	7.38%	04/30/2017	Show
FID FREEDOM K 2010 (FFKCX) 07/02/2009	Blended Investments*	N/A	9.32%	4.85%	6.13%	8.39%	04/30/2017	Show
FID FREEDOM K 2015 (FKVFX) 07/02/2009	Blended Investments*	N/A	10.77%	5.39%	6.61%	8.81%	04/30/2017	Show

Vivian M. Vilaria Fisher 0270446

Name/Inception Date	Asset Class	Category	1 Year	3 Year	5 Year	10 Year/LOF*	Returns As Of	Bench- mark
FID FREEDOM K 2020 (FFKDX) 07/02/2009	Blended Investments*	N/A	11.79%	5.75%	7.12%	9.58%	04/30/2017	Show
FID FREEDOM K 2025 (FKTWX) 07/02/2009	Blended Investments*	N/A	12.68%	6.08%	7.97%	10.35%	04/30/2017	Show
FID FREEDOM K 2030 (FFKEX) 07/02/2009	Blended Investments*	N/A	15.02%	6.80%	8.65%	10.95%	04/30/2017	Show
FID FREEDOM K 2035 (FKTHX) 07/02/2009	Blended Investments*	N/A	16.56%	7.21%	9.36%	11.47%	04/30/2017	Show
FID FREEDOM K 2040 (FFKFX) 07/02/2009	Blended Investments*	N/A	16.69%	7.25%	9.45%	11.60%	04/30/2017	Show
FID FREEDOM K 2045 (FFKGX) 07/02/2009	Blended Investments*	N/A	16.59%	7.22%	9.56%	11.72%	04/30/2017	Show
FID FREEDOM K 2050 (FFKHX) 07/02/2009	Blended Investments*	N/A	16.65%	7.24%	9.61%	11.77%	04/30/2017	Show
FID FREEDOM K 2055 (FDENX) 06/01/2011	Blended Investments*	N/A	16.68%	7.23%	9.74%	8.15%	04/30/2017	Show
FID FREEDOM K 2060 (FDKNX) 08/05/2014	Blended Investments*	N/A	16.63%	N/A	N/A	7.20%	04/30/2017	Show
FID FREEDOM K INCOME (FFKAX) 07/02/2009	Blended Investments*	N/A	6.00%	3.48%	3.87%	5.48%	04/30/2017	Show
FID PURITAN K (FPUKX) 04/16/1947	Blended Investments*	N/A	12.45%	7.59%	9.59%	6.25%	04/30/2017	Show
MIP CL 2 09/07/1989 7 day yield as of 04/30/2017 1.46%	Bond Investments	Stable Value	1.44%	1.36%	1.29%	1.78%	04/30/2017	Show
FID INVST GR BD (FBNDX) 08/06/1971	Bond Investments	Income	2.83%	2.77%	2.69%	4.01%	04/30/2017	Show

Investments you currently hold This option is frozen from further investing

(FA) - indicates a Fixed Annuity  
(VA) - indicates a Variable Annuity

Total returns are historical and include change in share price and reinvestment of dividends and capital gains, if any. These figures do not include the effect of sales charges, if any, as these fees are waived for contributions made through your retirement plan. If sales charges were included, returns would have been lower.

\* Performance provided is Life of Fund, which is since the inception date noted, when the investment option has been in existence for less than 10 years. Life of fund figures are from the inception date to the period shown. For unitized funds, the inception date shown may be that of the fund's underlying investment option. For non-mutual fund pools and trusts whose strategies may be offered to multiple clients and whose returns may be based on a composite, the inception date shown may be the beginning date of the composite's returns.

Daily return information, including MTD (Daily) and YTD (Daily) returns are calculated by Fidelity, using NAV and distribution information provided by third party providers, including, but not limited to: Investment Managers, Morningstar LLC, Plan Fiduciaries, Third party Trustees, Issuers and other outside entities. The daily returns calculated by Fidelity may vary slightly from the non-daily returns calculated by the third party providers for similar time periods. The official returns offered by the third party providers shall constitute the final returns for the investment options.

The analysis on these pages may be based, in part, on historical returns for periods prior to the class's actual inception. Generally, these calculated returns reflect the historical performance of an older share class of the investment, which may be adjusted to reflect the fees and expenses of the newer share class. Pre-inception returns are not actual returns and return calculation methodologies utilized by Morningstar, other entities and the investment option may differ. Pre-inception returns generally will be replaced by the actual returns of the newer share class over time. Please click on dedicated web page or refer to the fund prospectus or plan information for specific information regarding fees, expenses and returns.

Generally, among asset classes stocks are more volatile than bonds or short-term instruments and can decline significantly in response to adverse issuer, political, regulatory, market, or economic developments. Although the bond market is also volatile, lower-quality debt securities including leveraged loans generally offer higher yields compared to investment grade securities, but also involve greater risk of default or price changes. Foreign markets can be more volatile than U.S. markets due to increased risks of adverse issuer, political, market or economic developments, all of which are magnified in emerging markets.

\*\* For an investment option, the expense ratio is the total annual fund or class operating expenses (before waivers or reimbursements) paid by the fund and stated as a percent of the fund's total net assets. Where the fund is not a mutual fund, the figure displayed in the expense ratio field is intended to reflect similar information. However, it may have been calculated using methodologies that differ from those used for mutual funds. Mutual fund data has been drawn from the most recent prospectus and has been provided by FMR LLC for Fidelity mutual funds and Morningstar, LLC for non-Fidelity mutual funds. For non-mutual fund investment options, the information has been provided by Morningstar, LLC, the trustee or plan sponsor. When no ratio is shown for these options, it may be due to the fact that none was available, or in the case of non-unitized company stock funds it is not applicable. Nevertheless, there may be fees and expenses associated with the investment option.

Before investing, consider the investment objectives, risks, charges and expenses of the fund or annuity and its investment options. Contact Fidelity for a free prospectus and, if available, summary prospectus containing this information. Read it carefully.

Annuity

Vivian M. Victoria-Fisher

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Annuity  
Fund

~~NOT~~

Account holder: ~~XXXXXXXXXX~~

1

## THE RETIREMENT PLAN FOR OFFICERS OF COLUMBIA UNIVERSITY

Plan # 100430

This plan includes the following annuity contracts and other investments. The annuity contracts are indicated with either TIAA or CREF followed by a number.

### Summary of your activity

Balance as of Jan 1, 2017	\$58,943.36
Other Credits	23.38
Gains/Loss	4,452.45
Balance as of Mar 31, 2017	\$63,419.19

### What you have vested

Annuity contracts and other investments	Your employer's contributions <sup>6</sup>	
	Vested percent	Vested balance
Annuity Contracts <del>XXXXXXXXXX</del>	100%	\$63,419.19
Total		\$63,419.19

Under the Columbia University Retirement Plan for Officers, your account is 100% vested at all times. The benefit amounts shown on this statement should not be viewed as a guarantee of your future benefits as allocations to your account are subject to final audit upon your retirement.

The vested account balance shown is as of December 31, 2016.

### Your investments

Annuity contracts and other investments	Number of units/shares	Unit/share price as of Mar 31, 2017	Value as of Mar 31, 2017	Percent of your total plan
<b>Pre-Tax Investments</b>				
<b>Equities</b>				
CREF Growth R3 <del>XXXXXXXXXX</del>	193.5438	\$153.8419	\$29,775.15	46.95%
CREF Equity Index R3 (CREF Q646929-3)	68.5296	192.5349	13,194.34	20.80%

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## THE RETIREMENT PLAN FOR OFFICERS OF COLUMBIA UNIVERSITY (Continued)

## Your investments - continued

Annuity contracts and other investments	Number of units/shares	Unit/share price as of Mar 31, 2017	Value as of Mar 31, 2017	Percent of your total plan
<b>Pre-Tax Investments - continued</b>				
Equities - continued				
CREF Global Equities R3 (CREF)	132.0090	154.9114	20,449.70	32.25%
<b>Total Equities</b>			<b>\$63,419.19</b>	<b>100.00%</b>
<b>Total value of your investments</b>			<b>\$63,419.19</b>	<b>100%</b>

## How the value of your investments changed this period

To view the current performance for your specific investments, log in to your account at [TIAA.org](http://TIAA.org) or you can visit [TIAA.org/performance](http://TIAA.org/performance) for general performance information.

Investments	Value as of Jan 1, 2017	Net result of transactions	TIAA interest/ Gain or loss	Value as of Mar 31, 2017
CREF Growth R3	\$27,276.41	\$10.93	\$2,487.81	\$29,775.15
CREF Equity Index R3	12,480.92	4.90	708.52	13,194.34
CREF Global Equities R3	19,186.03	7.55	1,256.12	20,449.70
<b>Total value of your investments</b>	<b>\$58,943.36</b>	<b>\$23.38</b>	<b>\$4,452.45</b>	<b>\$63,419.19</b>

## Your transaction details

Processing date	Effective date	Description	Number of units/shares	Unit/ share price	Amount
<b>Other Credits</b>					
2/24/2017	2/24/2017	Plan Servicing Credit (CREF Q646929-3) CREF Global Equities R3	0.0492	\$153.4995	\$7.55
2/24/2017	2/24/2017	Plan Servicing Credit (CREF Q646929-3) CREF Growth R3	0.0717	152.4677	10.93
2/24/2017	2/24/2017	Plan Servicing Credit (CREF Q646929-3) CREF Equity Index R3	0.0254	192.7904	4.90
<b>Total Other Credits</b>					<b>\$23.38</b>

Vivian M. Vitoria Fisher P 30074



Account holder: [REDACTED]

401K



## TRADITIONAL IRA

IRA101

This account includes the following annuity contracts and other investments. The annuity contracts are indicated with either TIAA or CREF followed by a number.

TIAA N963448-5 (closed), CREF T963448-0 [REDACTED]

### Summary of your activity

Balance as of Jan 1, 2017	\$56,588.72
Other Credits	18,667.21
Distributions/Other Debits	- 18,667.21
Gains/Loss	3,172.28
Balance as of Mar 31, 2017	\$59,761.00

### Your investments

Annuity contracts and other investments	Number of units/shares	Unit/share price as of Mar 31, 2017	Value as of Mar 31, 2017	Percent of your total plan
<b>Pre-Tax Investments</b>				
<b>Equities</b>				
CREF Stock R1	56.4541	\$419.2367	\$23,667.63	39.60%
CREF Equity Index R1	188.8392	191.1328	36,093.37	60.40%
Total Equities			\$59,761.00	100.00%
Total value of your investments			\$59,761.00	100%

### How the value of your investments changed this period

To view the current performance for your specific investments, log in to your account at [TIAA.org](http://TIAA.org) or you can visit [TIAA.org/performance](http://TIAA.org/performance) for general performance information.

Investments	Value as of Jan 1, 2017	Net result of transactions	TIAA interest/ Gain or loss	Value as of Mar 31, 2017
CREF Money Market R1	\$18,667.21	- \$18,667.21	\$0.00	\$0.00
CREF Stock R1	22,189.66	0.00	1,477.97	23,667.63
CREF Equity Index R1	15,731.85	18,667.21	1,694.31	36,093.37
Total value of your investments	\$56,588.72	\$0.00	\$3,172.28	\$59,761.00

Vivian M. Vilorio Fisher 31 of 46



## TRADITIONAL IRA (Continued)

## Your transaction details

Processing date	Effective date	Description	Number of units/shares	Unit/share price	Amount
<b>Other Credits</b>					
1/23/2017	1/23/2017	Transfer [REDACTED] CREF Equity Index R1	101.9345	\$183.1295	\$18,667.21
Total Other Credits					\$18,667.21
<b>Distributions/Other Debits</b>					
1/23/2017	1/23/2017	Transfer [REDACTED] CREF Money Market R1	- 731.0784	\$25.5338	-\$18,667.21
Total Distributions/Other Debits					-\$18,667.21

## Information about your portfolio

Please review your statement and let us know promptly of any inaccuracies. To protect your rights, you should also notify us in writing. Unless we receive written notification within 60 days, we will assume our information is correct.

With respect to financial services provided by TIAA-CREF Individual & Institutional Services, LLC and Teachers Personal Investors Services, Inc., please note that FINRA BrokerCheck is available to help you check the background of brokers and brokerage firms. FINRA has published an investor brochure that includes information regarding FINRA BrokerCheck. To learn more, please visit [www.finra.org](http://www.finra.org).

**Diversified and Well-Balanced Portfolio:** To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly.

It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals. For more information or additional resources regarding individual investing and diversification, visit the Internet website of the Department of Labor at [www.dol.gov/ebsa/investing.html](http://www.dol.gov/ebsa/investing.html).

If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk. In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk.

**Fees and Expenses:** The cumulative effect of fees and expenses can substantially reduce the growth of your retirement savings. Visit the Department of Labor's Web site for an example showing the long-term effect of fees and expenses at [http://www.dol.gov/ebsa/publications/401k\\_employee.html](http://www.dol.gov/ebsa/publications/401k_employee.html). Fees and expenses are only one of many factors to consider when you decide to invest in an option. You may also want to think about whether an investment in a particular option, along with your other investments, will help you achieve your financial goals. Expenses to pay for the administration of your plan(s) may be paid directly by you through the reduction of your account balance and reflected in the Plan Transaction Detail section of your quarterly statement. For the preceding quarter, plan administration expenses may also be paid from the total annual operating expenses of one or more of the plan's designated investment alternatives.

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730 Third Avenue, New York, NY 10017-3206

Annuity  
Fund

# Your quarterly retirement savings portfolio statement

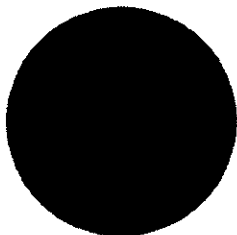
For January 1, 2017 to March 31, 2017

Your balance on March 31, 2017: **\$123,180.19**

Personal rate of return this quarter: **6.6%**

For more details, see the "Summary of your portfolio activity" on Page 2.

## How your portfolio is allocated



Asset class	Value as of Mar 31, 2017	Percent of your total portfolio
■ Equities	\$123,180.19	100%
Total	\$123,180.19	100%

These asset allocation percentages may not be exact due to rounding.

If you are invested in mutual funds in your retirement plans or IRAs, please review the frequent trading policy at [TIAA.org/tradingpolicy](http://TIAA.org/tradingpolicy).

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Questions about  
your portfolio?

Sign on to [TIAA.org](http://TIAA.org)

Call 800-842-2252 for 24-hr automated information

Hearing impaired: TTY 800-842-2755

Call center hours: Weekdays 8 a.m. to 10 p.m. (ET), and Saturday 9 a.m. to 6 p.m. (ET). (Español disponible)

## Summary of your portfolio activity

	This quarter	This year
Beginning balance	\$115,532.08	\$115,532.08
Other Credits	18,690.59	18,690.59
Distributions/Other Debits	- 18,667.21	- 18,667.21
Gains/Loss	7,624.73	7,624.73
Ending balance	\$123,180.19	\$123,180.19
Personal rate of return	6.6%	6.6%

This figure is an estimate of the performance of the assets in your retirement portfolio, as reflected on this statement, that are maintained at TIAA during the period(s) specified. Past performance is not a guarantee of future results. Please refer to the "Information about your portfolio" section for more information.



### TIAA-CREF Life Insurance Company® (TIAA Life) ranked best overall for Term Life Insurance.

- Reviews.com researched which companies offered the best term life insurance\* and ranked TIAA Life's level term policies best overall of 67 companies in its article "The Best Term Life Insurance."
- TIAA Life was also ranked best overall of 63 companies in its article "4 Best Cheap Life Insurance Companies."

To get a life insurance quote:

visit [TIAA.org/life1](http://TIAA.org/life1)

or call 855-200-1710 with code NED,  
weekdays from 8 a.m. to 8 p.m. (ET).

\* Based on financial strength, pricing, product suite, flexibility of term lengths and other factors. Investment, insurance, and annuity products are not FDIC insured, are not bank guaranteed, are not bank deposits, are not insured by any federal government agency, are not a condition to any banking service or activity, and may lose value. Policy form series TCL-LPT.1 is issued by TIAA-CREF Life Insurance Company® (TIAA Life), New York, NY. Exclusions, restrictions, limitations and reductions in benefits may apply to your policy. Call us for costs and complete details.

93895

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**ANNOUNCEMENT:**

- U.S. securities markets & TIAA are closed for Memorial Day on Monday, May 29  
We will complete late Friday requests (after 4 p.m. ET) on Tuesday, May 30.



## Portfolio summary

BALANCE HISTORY

ASSET CLASS

INVESTMENTS

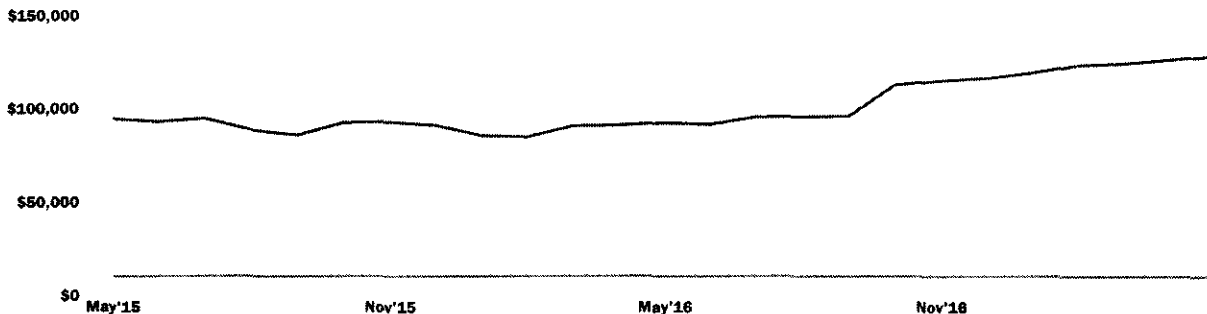
ACCOUNT TYPE

All TIAA Accounts \$127,604.74

View

GRAPH

TABLE



● My TIAA Balance ● Retirement Contributions

## Your accounts

View your money the way you want to. Nickname or reorder your accounts.

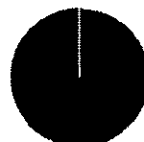
### Retirement Investments

\$127,604.74

CHANGE IN BALANCE  
SINCE 03/31/17  
\$ \$4,424.55

PERSONAL RATE OF RETURN  
8.4%  
01/01/17 - 04/30/17  
VIEW DETAILS

#### NET CONTRIBUTIONS



Employer \$9,560.66  
Employee \$0.00  
Total \$9,560.66

Display my Retirement Accounts by:

EMPLOYER

PLAN

CONTRACT


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**COLUMBIA UNIVERSITY**  
**THE RETIREMENT PLAN FOR OFFICERS OF COLUMBIA UNIVERSITY**

Actions ▾

**\$66,251.72**  
 AS OF 05/25/17

**TIAA-CREF**  
**TRADITIONAL IRA**

Contribute now 

Actions ▾

**\$61,353.02**  
 AS OF 05/25/17



### 360° Financial View

View all your TIAA and other accounts in one place. [LEARN MORE](#)

[Go to 360°](#)

## Consider this

**Find your statements**  
 It's all in one place now. [GO THERE NOW](#)

**Check your investment goals**  
 Keeping you on target. [SCHEDULE A CALL](#)

## Actions

[View statements & reports](#)  
[Add/edit beneficiaries](#)  
[Download to Quicken®](#)

[View pending & recent activity](#)  
[Update contact information](#)  
[Find a form](#)

[Manage investments](#)  
[Contribute to an account](#)

## Resources

[The online Retirement Advisor](#)

[Retirement Income Planner](#)

[Preparing for retirement](#)

Last logged in 05/13/2017



**Ask us anything**

# NEW YORK CITY DEFERRED COMPENSATION PLAN/NYCE IRA

401K



Open a NYCE IRA today! Go to [NYC.gov/nyceira](http://NYC.gov/nyceira) to learn more and establish your NYCE IRA account.

The yield for the Stable Income Fund from January 1, 2017 to March 31, 2017 was 1.80%. The yield for the Stable Income Fund for the period April 1, 2017 to June 30, 2017 will be 1.85%. The yield is an annualized rate which is recalculated on a quarterly basis.

All participants are assessed a single quarterly administrative fee of \$20.00 for participation in the 457 Plan, the 401(k) Plan, 401(a) and the NYCE IRA. In addition, to offset Plan expenses .04% is currently deducted from the net asset values of each of the investment options. Each investment manager also charges an investment management fee that is deducted directly from each investment option's daily value. For additional information on Plan fees go to [nyc.gov/deferredcomp](http://nyc.gov/deferredcomp).

Please review your statement carefully to be sure all information is correct. If we do not receive written notice from you within six weeks of the date your statement was mailed, the statement will be deemed as complete and accurate. Please direct all inquiries/correspondence to the following:

New York City Deferred Compensation Plan  
Bowling Green Station, P.O. Box 93  
New York, NY 10274-0093  
(212) 306-7760

Access to the voice response system and/or any website may be limited or unavailable during periods of peak demand, market volatility, systems upgrades/maintenance or other reasons.

## What is the rate of return on my retirement account(s)?

Period	Year To Date
<u>01/01/2017 - 03/31/2017</u>	<u>01/01/2017 - 03/31/2017</u>
5.41%	5.41%

Personalized performance information is provided to account holders as a general approximation of the overall recent performance of your account. It is calculated based on a formula which estimates the equivalent rate of return during the stated period, based on the opening balance, transaction activity including any applicable fees, and closing balance. Performance calculations will not include loan balance. Past performance is not a guarantee or prediction of future investment results.

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# NEW YORK CITY DEFERRED COMPENSATION PLAN/NYCE IRA

## New York City Deferred Compensation 401(k) Plan 401(k) Plan

### How is my account invested?

	<u>Beginning Balance</u>	<u>Deposits</u>	<u>Change in Value</u>	<u>Transfers</u>	<u>Withdrawals /Expenses</u>	<u>Ending Balance</u>	<u>Ending Units/ Shares</u>
Equity Index Fund	670.08	702.31	48.51			1,420.90	4.941
<b>Totals</b>	<b>670.08</b>	<b>702.31</b>	<b>48.51</b>			<b>1,420.90</b>	

### Who are my beneficiaries?

<u>Type</u>	<u>Name</u>	<u>Relationship</u>	<u>Percent</u>	<u>Address/Phone Number</u>
Primary	Vivian Fisher	Spouse	100.00%	[REDACTED]

### What is my paycheck contribution information?

	<u>Your Deferral Amount</u>	<u>Maximum Allowable Contribution Limit</u>
Before Tax	1%	\$24,000

### How has my account changed over time?

	<u>Total</u>
Year-To-Date Contributions: .....	702.31
2016 Year-End Balance: .....	670.08

### What activity took place this period?

	<u>Effective Date</u>	<u>Dollar Amount</u>	<u>Investment Option</u>	<u># Units /Shares</u>	<u>Unit/Share Price</u>
<b>Deposits/Contributions</b>					
Payroll Contribution	Jan 06, 2017	23.98	Equity Index Fund	0.087	275.924
Payroll Contribution	Jan 13, 2017	42.70	Equity Index Fund	0.155	275.676
Payroll Contribution	Jan 20, 2017	46.66	Equity Index Fund	0.169	275.327
Payroll Contribution	Jan 27, 2017	32.95	Equity Index Fund	0.118	278.191
Payroll Contribution	Feb 03, 2017	23.98	Equity Index Fund	0.086	278.641
Payroll Contribution	Feb 10, 2017	100.58	Equity Index Fund	0.358	281.076
Payroll Contribution	Feb 17, 2017	23.98	Equity Index Fund	0.084	285.566

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# NEW YORK CITY DEFERRED COMPENSATION PLAN/NYCE IRA

[REDACTED]

## What activity took place this period? (continued)

	<u>Effective Date</u>	<u>Dollar Amount</u>	<u>Investment Option</u>	<u># Units /Shares</u>	<u>Unit/Share Price</u>
<b>Deposits/Contributions</b>					
Payroll Contribution	Feb 24, 2017	23.98	Equity Index Fund	0.083	287.658
Payroll Contribution	Mar 03, 2017	57.79	Equity Index Fund	0.199	289.706
Payroll Contribution	Mar 10, 2017	57.79	Equity Index Fund	0.200	288.545
Payroll Contribution	Mar 17, 2017	145.61	Equity Index Fund	0.503	289.350
Payroll Contribution	Mar 24, 2017	22.43	Equity Index Fund	0.079	285.222
Payroll Contribution	Mar 31, 2017	99.88	Equity Index Fund	0.347	287.568
<b>Total Deposits/Contributions</b>		<b>702.31</b>			

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# NEW YORK CITY DEFERRED COMPENSATION PLAN/NYCE IRA



## How have my unit/share values changed?

The Investment Code can be used when you request certain investment related transactions on the voice response system.

<u>Investment Code</u>	<u>Investment Option</u>	<u>Beginning Units/Shares</u>	<u>Beginning Price</u>	<u>Change in Units/Shares</u>	<u>Ending Price</u>	<u>Ending Units/Shares</u>
581	Static Allocation Fund		14.806		15.064	
229	2005 Fund		16.503		16.856	
230	2010 Fund		17.165		17.639	
231	2015 Fund		17.866		18.488	
235	2020 Fund		18.580		19.408	
236	2025 Fund		19.146		20.153	
237	2030 Fund		19.499		20.622	
238	2035 Fund		19.621		20.825	
239	2040 Fund		19.575		20.820	
240	2045 Fund		19.623		20.920	
775	2050 Fund		18.869		20.174	
7431	2055 Fund		11.131		11.913	
7212	Stable Income Fund		17.000		17.075	
6207	Bond Fund		19.472		19.643	
4310	Equity Index Fund	10.819	271.159	6.880	287.568	17.699
3181	Global Socially Responsible Index Fund		22.591		24.176	
3178	Mid-Cap Equity Index Fund		42.829		44.512	
1139	International Equity Fund		20.658		22.731	
2048	Small-Cap Equity Fund		75.198		78.258	

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NYC PRUDENTIAL  
403 B

**NYC Health + Hospitals TDA  
Program**

January 1, 2017 - March 31, 2017

**At Your Service**

Visit [prudential.com/nychealthandhospitals](http://prudential.com/nychealthandhospitals)

(855) 444-2832

Hearing Impaired: Call (TDD) 877-760-5166

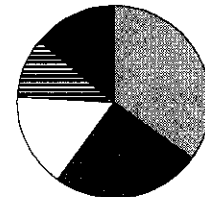


**Account Overview**

Beginning Value on 01/01/2017	\$35,099.96
Additions	10,867.10
Deductions	0.00
Change in Value	1,372.70
<b>Ending Value on 03/31/2017</b>	<b>\$47,339.76</b>
<b>Vested Amount</b>	<b>\$47,339.76</b>
<b>My Year to Date Contributions</b>	<b>\$10,867.10</b>
<b>My Current Contribution Rate/Amount</b>	<b>5.00%</b>

For details, log on to your account at [prudential.com/nychealthandhospitals](http://prudential.com/nychealthandhospitals)

**Current Asset Allocation**



- 35% Stable Value
- 25% Fixed Income/Money Market
- 16% Large Cap Stock
- 10% Small Cap Stock
- 14% Global/Int'l Stock

*This is the allocation of your current investments.  
Percentages may not be exact due to rounding.*

**Personal Performance  
as of 03/31/2017**

▲ + 3.41% 01/01 - 03/31

Your personal account performance was calculated using a weighted rate of return based on the level and timing of cash flows in and out of specific investments.

**News & Important Information\*\***

Getting your income tax refund can feel like finding forgotten money under couch cushions. But there's a smart, smarter and smartest way to make the most of your refund. Find out how you can enjoy your refund AND save some of it for a rainy day. Visit [prudential.com/taxrefund](http://prudential.com/taxrefund) for more information.

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Remember, you can use [prudential.com/nychealthandhospitals](http://prudential.com/nychealthandhospitals) to access detailed account information such as investment performance (including benchmarks, asset categories, and expense ratios), investment allocations, transaction history, contribution detail and much, much more!

### Account Activity by Fund from 01/01/17 - 03/31/17

Fund Name	Beginning Value	Additions & Deductions	Change in Value	Ending Value	Net Expense Ratio (%)
Prudential Guaranteed Int Acct	\$12,306.87	\$4,047.48	\$103.60	\$16,457.95	N/A
Prudential Total Return Bond Q	8,852.06	2,862.35	190.05	11,904.46	0.43
Alliance Bernstein Equity Inc	2,788.91	795.03	157.66	3,741.60	0.76
Fidelity Contrafund	2,847.84	605.36	310.73	3,763.93	0.68
ClearBridge Sm Gro	1,722.70	535.18	99.11	2,356.99	1.24
Loomis Sayles SmallCap Value I	1,731.88	627.89	6.57	2,366.34	0.96
American Funds EuroPac Gr R5	4,849.70	1,393.81	504.98	6,748.49	0.54
<b>Total</b>	<b>\$35,099.96</b>	<b>\$10,867.10</b>	<b>\$1,372.70</b>	<b>\$47,339.76</b>	

### Transaction Detail

This statement includes all transactions confirmed during the statement period.  
Transactions are processed as of the end of the business day.

Payroll Date	Transaction Date*	Amount	Description	Investment	Units/Shares	Unit/Share Price	Amount Per Fund
01/06/17	01/05/17	\$479.50	Contribution	Prudential Guaranteed Int Acct			\$167.83
				Fidelity Contrafund	0.3812	\$100.6200	\$38.36
				ClearBridge Sm Gro	0.8607	\$27.8500	\$23.97
				Alliance Bernstein Equity Inc	1.4266	\$26.8900	\$38.36
				Prudential Total Return Bond Q	8.4482	\$14.1900	\$119.88
				American Funds EuroPac Gr R5	1.4562	\$46.1000	\$67.13
				Loomis Sayles SmallCap Value I	0.6806	\$35.2200	\$23.97
01/13/17	01/12/17	\$854.05	Contribution	Prudential Guaranteed Int Acct			\$298.92
				Fidelity Contrafund	0.6723	\$101.6200	\$68.32
				ClearBridge Sm Gro	1.5228	\$28.0400	\$42.70
				Alliance Bernstein Equity Inc	2.5563	\$26.7300	\$68.33
				Prudential Total Return Bond Q	15.0359	\$14.2000	\$213.51
				American Funds EuroPac Gr R5	2.5808	\$46.3300	\$119.57
				Loomis Sayles SmallCap Value I	1.2260	\$34.8300	\$42.70
01/20/17	01/19/17	\$933.19	Contribution	Prudential Guaranteed Int Acct			\$326.62
				Fidelity Contrafund	0.7347	\$101.6000	\$74.65
				ClearBridge Sm Gro	1.6748	\$27.8600	\$46.66
				Alliance Bernstein Equity Inc	2.8005	\$26.6600	\$74.66
				Prudential Total Return Bond Q	16.5103	\$14.1300	\$233.29
				American Funds EuroPac Gr R5	2.8127	\$46.4500	\$130.65
				Loomis Sayles SmallCap Value I	1.3525	\$34.5000	\$46.66
01/27/17	01/26/17	\$658.95	Contribution	Prudential Guaranteed Int Acct			\$230.63
				Fidelity Contrafund	0.5076	\$103.8600	\$52.72
				ClearBridge Sm Gro	1.1606	\$28.3900	\$32.95
				Alliance Bernstein Equity Inc	1.9515	\$27.0100	\$52.71
				Prudential Total Return Bond Q	11.6754	\$14.1100	\$164.74
				American Funds EuroPac Gr R5	1.9458	\$47.4100	\$92.25
				Loomis Sayles SmallCap Value I	0.9279	\$35.5100	\$32.95
	01/31/17	\$23.16	Reinvested dividends/Interest	Prudential Total Return Bond Q	1.6367	\$14.1500	\$23.16
02/03/17	02/02/17	\$479.50	Contribution	Prudential Guaranteed Int Acct			\$167.83
				Fidelity Contrafund	0.3723	\$103.0300	\$38.36
				ClearBridge Sm Gro	0.8506	\$28.1800	\$23.97
				Alliance Bernstein Equity Inc	1.4281	\$26.8600	\$38.36
				Prudential Total Return Bond Q	8.4781	\$14.1400	\$119.88
				American Funds EuroPac Gr R5	1.4259	\$47.0800	\$67.13
				Loomis Sayles SmallCap Value I	0.6872	\$34.8800	\$23.97
02/10/17	02/09/17	\$2,011.54	Contribution	Prudential Guaranteed Int Acct			\$704.04
				Fidelity Contrafund	1.5384	\$104.6000	\$160.92
				ClearBridge Sm Gro	3.5180	\$28.5900	\$100.58
				Alliance Bernstein Equity Inc	5.9293	\$27.1400	\$160.92
				Prudential Total Return Bond Q	35.4148	\$14.2000	\$502.89
				American Funds EuroPac Gr R5	5.9790	\$47.1000	\$281.61
				Loomis Sayles SmallCap Value I	2.8437	\$35.3700	\$100.58
	02/10/17	\$21.87	Reinvested capital gains	Fidelity Contrafund	0.2091	\$104.6000	\$21.87
	02/10/17	\$0.53	Reinvested dividends/Interest	Fidelity Contrafund	0.0051	\$104.6000	\$0.53
02/17/17	02/16/17	\$479.50	Contribution	Prudential Guaranteed Int Acct			\$167.83

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Transaction Detail (continued)

Payroll Date	Transaction Date*	Amount	Description	Investment	Units/Shares	Unit/Share Price	Amount Per Fund
				Fidelity Contrafund	0.3626	\$105.8000	\$38.36
				ClearBridge Sm Gro	0.8320	\$28.8100	\$23.97
				Alliance Bernstein Equity Inc	1.3888	\$27.6200	\$38.36
				Prudential Total Return Bond Q	8.4542	\$14.1800	\$119.88
				American Funds EuroPac Gr R5	1.4082	\$47.6700	\$67.13
				Loomis Sayles SmallCap Value I	0.6664	\$35.9700	\$23.97
02/24/17	02/23/17	\$479.50	Contribution	Prudential Guaranteed Int Acct			\$167.83
				Fidelity Contrafund	0.3606	\$106.3700	\$38.36
				ClearBridge Sm Gro	0.8306	\$28.8600	\$23.97
				Alliance Bernstein Equity Inc	1.3705	\$27.9900	\$38.36
				Prudential Total Return Bond Q	8.4185	\$14.2400	\$119.88
				American Funds EuroPac Gr R5	1.4012	\$47.9100	\$67.13
				Loomis Sayles SmallCap Value I	0.6686	\$35.8500	\$23.97
	02/28/17	\$24.08	Reinvested dividends/Interest	Prudential Total Return Bond Q	1.6875	\$14.2700	\$24.08
03/03/17	03/02/17	\$1,155.80	Contribution	Prudential Guaranteed Int Acct			\$404.53
				Fidelity Contrafund	0.8640	\$107.0200	\$92.46
				ClearBridge Sm Gro	1.9859	\$29.1000	\$57.79
				Alliance Bernstein Equity Inc	3.2884	\$28.1200	\$92.47
				Prudential Total Return Bond Q	20.3773	\$14.1600	\$288.95
				American Funds EuroPac Gr R5	3.3908	\$47.7200	\$161.81
				Loomis Sayles SmallCap Value I	1.6179	\$35.7200	\$57.79
	03/07/17	\$18.61	Reinvested dividends/Interest	Alliance Bernstein Equity Inc	0.6692	\$27.8100	\$18.61
03/10/17	03/09/17	\$1,155.80	Contribution	Prudential Guaranteed Int Acct			\$404.53
				Fidelity Contrafund	0.8641	\$107.0000	\$92.46
				ClearBridge Sm Gro	2.0256	\$28.5300	\$57.79
				Alliance Bernstein Equity Inc	3.3335	\$27.7400	\$92.47
				Prudential Total Return Bond Q	20.5512	\$14.0600	\$288.95
				American Funds EuroPac Gr R5	3.4080	\$47.4800	\$161.81
				Loomis Sayles SmallCap Value I	1.6635	\$34.7400	\$57.79
	03/10/17	\$263.99	Exchange to	Prudential Guaranteed Int Acct			\$135.86
				Prudential Total Return Bond Q	5.7564	\$14.0800	\$81.05
				Loomis Sayles SmallCap Value I	1.3513	\$34.8400	\$47.08
	03/10/17	\$-263.99	Exchange from	Fidelity Contrafund	-2.4575	\$107.4200	\$-263.99
	03/10/17	\$8.16	Exchange to	Prudential Guaranteed Int Acct			\$4.20
				Prudential Total Return Bond Q	0.1783	\$14.0800	\$2.51
				Loomis Sayles SmallCap Value I	0.0416	\$34.8400	\$1.45
	03/10/17	\$-8.16	Exchange from	ClearBridge Sm Gro	-0.2854	\$28.5900	\$-8.16
	03/10/17	\$74.35	Exchange to	Prudential Guaranteed Int Acct			\$38.26
				Prudential Total Return Bond Q	1.6214	\$14.0800	\$22.83
				Loomis Sayles SmallCap Value I	0.3806	\$34.8400	\$13.26
	03/10/17	\$-74.35	Exchange from	Alliance Bernstein Equity Inc	-2.6706	\$27.8400	\$-74.35
	03/10/17	\$127.57	Exchange to	Prudential Guaranteed Int Acct			\$65.65
				Prudential Total Return Bond Q	2.7813	\$14.0800	\$39.16
				Loomis Sayles SmallCap Value I	0.6533	\$34.8400	\$22.76
	03/10/17	\$-127.57	Exchange from	American Funds EuroPac Gr R5	-2.6644	\$47.8800	\$-127.57
03/17/17	03/16/17	\$1,456.07	Contribution	Prudential Guaranteed Int Acct			\$509.62
				Fidelity Contrafund	1.0778	\$108.0800	\$116.49
				ClearBridge Sm Gro	2.5095	\$29.0100	\$72.80
				Alliance Bernstein Equity Inc	4.1589	\$28.0100	\$116.49
				Prudential Total Return Bond Q	25.7622	\$14.1300	\$364.02
				American Funds EuroPac Gr R5	4.1594	\$49.0100	\$203.85
				Loomis Sayles SmallCap Value I	2.0594	\$35.3500	\$72.80
03/24/17	03/23/17	\$224.31	Contribution	Prudential Guaranteed Int Acct			\$78.51
				Fidelity Contrafund	0.1685	\$106.4600	\$17.94

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## Transaction Detail (continued)

Payroll Date	Transaction Date*	Amount	Description	Investment	Units/Shares	Unit/Share Price	Amount Per Fund
				ClearBridge Sm Gro	0.3989	\$28.1300	\$11.22
				Alliance Bernstein Equity Inc	0.6498	\$27.6100	\$17.94
				Prudential Total Return Bond Q	3.9465	\$14.2100	\$56.08
				American Funds EuroPac Gr R5	0.6400	\$49.0600	\$31.40
				Loomis Sayles SmallCap Value I	0.3267	\$34.3400	\$11.22
03/31/17	03/30/17	\$499.39	Contribution	Prudential Guaranteed Int Acct			\$174.79
				Fidelity Contrafund	0.3702	\$107.9100	\$39.95
				ClearBridge Sm Gro	0.8719	\$28.6400	\$24.97
				Alliance Bernstein Equity Inc	1.4376	\$27.7900	\$39.95
				Prudential Total Return Bond Q	8.7737	\$14.2300	\$124.85
				American Funds EuroPac Gr R5	1.4178	\$49.3100	\$69.91
				Loomis Sayles SmallCap Value I	0.7110	\$35.1200	\$24.97
	03/31/17	\$29.74	Reinvested dividends/Interest	Prudential Total Return Bond Q	2.0870	\$14.2500	\$29.74

\*Settlement usually occurs on the business day following the transaction date. In cases where the actual settlement occurs beyond the transaction date, access to your funds will not be impacted.

The fees and expenses itemized above, if any, do not include additional fees and expenses which may be routinely charged as part of the operating expenses of the underlying funds such as 12b-1 fees, sub-transfer agent fees, guarantee fees, and asset charges, some of which may also be used to pay for the administrative expenses of the plan. Such charges are reflected in your account values and included in the expense ratio for each fund as explained in the referenced notes.

## GoalMaker Overview

You are enrolled in GoalMaker.

- ◆ **Model Portfolio:** Moderate, 0 - 5 years to retirement & age adjustment
- ◆ **Rebalancing Frequency:** Quarterly
- ◆ **Next Rebalance Date:** 06/09/17

\*Prudential Retirement reserves the right to change the rebalance date in extraordinary circumstances. If the change was not due to unforeseen circumstances, we will provide two weeks advance notice prior to making such a change.

## Important Information\*\*

To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly. If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk.

In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of this account. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk. It is also important to periodically review your investment portfolio, your investment objectives, and your investment options to help ensure that your retirement savings will meet your retirement goals. You may also choose to visit the Department of Labor's website at [www.dol.gov/ebsa/investing.html](http://www.dol.gov/ebsa/investing.html) for additional information on investing and diversification.

Vesting information has been provided to Prudential and is subject to confirmation. You are always 100%

vested in the contributions you make and the earnings on those contributions. For more information on when you may become partially or fully vested, please refer to your Summary Plan Description.

**Additions & Deductions** to your account include any contributions, exchanges in/out, withdrawals, transferred assets, expenses, and adjustments.

**Investments:** The value of your investment in each fund is calculated at the end of the statement period (number of units/shares x the unit/share price). Any transactions pending settlement have not been included.

The net expense ratio shown includes the total operating expenses of the market valued funds and the indirect expenses of the funds' underlying portfolios and is shown as an annual percentage. Your investment returns are reduced by various fees and expenses. For each investment option, the "Net Expense Ratio" column shows these charges as an annual percentage. Depending on the type of investment, these charges may be paid to Prudential or unaffiliated mutual fund complexes or collective bank trusts. Expenses have been reduced pursuant to contractual agreements, subject to change, to waive payment or provide reimbursement of certain otherwise permissible charges. Please review the Fund Fact sheet or prospectus for more information regarding expense ratios. For stable value investments, the net expense ratio represents the contractual charges deducted from the gross interest rate to arrive at the net interest rate credited to balances held in those investments. "N/A" indicates the fund was not in existence or data was not

available/applicable at the time this statement was printed.

**Market Timing:** Your plan offers investment options that may be subject to market timing restrictions; therefore, you may be subject to restrictions if you engage in excessive trading activity in those investment fund options. Retirement plan investing is for the long term. A full copy of the policy is provided on an annual basis. Additional information may also be contained in the investment fund prospectus.

This statement reflects both the vested and non-vested balance (if applicable) of your retirement account administered by Prudential. "Vested" refers to your ownership of the money in your account. Unless you are rehired, you will not accrue additional vesting rights in your non-vested balance and this portion will eventually be forfeited. Please refer to the Plan's Summary Plan Description for more details about the Plan's forfeiture feature.

**Review & Keep this statement:** Your statement contains information and prices provided by third parties. Please review your statement and immediately report any problems to Prudential Retirement. If you fail to notify us within 60 days after receiving this statement, Prudential Retirement will not be obligated to correct past errors. Changes in prices received after the issuance of this statement will be reflected on your next statement. Participants are advised that any oral communications should be re-confirmed in writing to further protect their rights, including rights under the Securities Investor Protection Act. Prudential, the

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NYC PRUDENTIAL  
4033

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My Account

Investments

NYC HEALTH + HOSPITALS<sup>1</sup>

ACCOUNT VALUE AS OF 05/25/2017

NYC  
HEALTH+  
HOSPITALSTDA  
PROGRAM**\$50,708.42**

Vested Amount

\$50,708.42

**PERSONAL PERFORMANCE**

Prior Quarter ( 01/01/2017 - 03/31/2017 )

▲3.41%

Year to Prior Quarter ( 01/01/2017 - 03/31/2017 )

▲3.41%

**YEAR TO DATE CONTRIBUTIONS**

My YTD Contributions

\$13,136.50

**CONTRIBUTION RATE(S)**

Change

My VOLUNTARY SALARY REDUCTION Contribution

5.00%

[Privacy](#)[Terms & Conditions](#)[Contact Us](#)

<sup>1</sup>The Account Value listed represents your total account balance which may differ from your vested account balance. The Outstanding loan balance(s), if applicable, is not included in these amounts.

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# NYC HEALTH + HOSPITALS<sup>1</sup>

## INVESTMENTS AS OF 05/25/2017

NYC  
HEALTH+  
HOSPITALSTDA  
PROGRAM

**You are enrolled in Goalmaker Moderate Portfolio 0-5 Years to Retirement and Age Adjustment**

### Prudential Guaranteed Interest Account

Percent of Holdings: 34.17%

Value: \$17,327.44

### Prudential Total Return Bond Q

Percent of Holdings: 24.94%

Value: \$12,646.46

### AB Equity Income Advisor

Percent of Holdings: 7.85%

Value: \$3,981.11

### Fidelity Contrafund

Percent of Holdings: 8.29%

Value: \$4,205.02

### Loomis Sayles Small Cap Value Instl

Percent of Holdings: 4.80%

Value: \$2,434.54

### ClearBridge Small Cap Growth A

Percent of Holdings: 5.09%

Value: \$2,581.77

### American Funds Europacific Growth R5

Percent of Holdings: 14.86%

Value: \$7,532.08

**Total** **\$50,708.42**

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