



Filing ID #10011046

# FINANCIAL DISCLOSURE REPORT

---

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

---

## FILER INFORMATION

**Name:** Hon. Niki Tsongas  
**Status:** Member  
**State/District:** MA03

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2015  
**Filing Date:** 05/11/2016

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
455 SHORE ROAD		\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: CHATHAM, MA, US					
ASTON MONTAG & CALDWELL GROWTH FUND		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
AUBURN MASS GO MUN PURP LN BDS		None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Bank of America		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
BOFA TAX-EXEMPT RESERVES		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
CBRE CLARION LONG/SHORT FUND		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
COLUMBIA ACORN INTERNATIONAL FUND		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
COLUMBIA DIVIDEND INCOME FUND		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
COLUMBIA HIGH YIELD MUNICIPAL FD		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
COLUMBIA LARGE CAP GROWTH FUND		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
COLUMBIA MA INTER MUNI BD FUND		\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
COLUMBIA MID CAP GROWTH FUND		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
COLUMBIA PACIFIC/ASIA FUND		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
COLUMBIA SELECT LARGE CAP EQUITY FUND		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: FORMERLY COLUMBIA LARGE CAP EQUITY FUND					
DB X-TRACKERS MSCI EAFE HEDGED EQUITY FUND		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Eversource Energy (ES)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
FALMOUTH MASS GO MUN PURP LN BDS		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
GOLDMAN SACHS STRATEGIC INCOME FUND		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
ISHARES CORE S&P 500 ETF		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
ISHARES EMERGING MKTS INFRA ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
ISHARES GLOBAL TIMBER & FORESTRY		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
ISHARES INTERMEDIATE CR BD ETF		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
ISHARES INTL SELECT DIVIDEND EFT		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ISHARES MSCI HONG KONG ETF		None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
JP MORGAN US LARGE CAP CORE PLUS FUND		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LORD ABBETT INVESTMENT TR SHORT DURATION TAX FREE FUND		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MASSACHUSETTS ST WTR POLLUNTN A WTR POLL BDS		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MFS EMERGING MKTS DEBT FUND		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MFS INTERNATIONAL NEW DISCOVERY FUND		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
PRUDENTIAL SHORT-TERM CORPORATE BD FUND		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
ROBECO BOSTON PARTNERS LONG/SHORT RES FUND		\$15,001 - \$50,000	None		<input type="checkbox"/>
SPDR BARCLAYS SHORT TERM HIGH YIELD BD ETF		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SPDR DJ WILSHIRE INTL REAL ESTATE ETF		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
TEMPLETON GLOBAL BD FUND		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
UNDISCOVERED MANAGERS FDS BEHAVIORAL VALUE FD		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
VANGUARD FTSE DEVELOPED MARKETS EFT		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
VANGUARD FTSE EMERGING MARKETS ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VANGUARD MID-CAP EFT		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
VANGUARD MID-CAP GROWTH INDEX FUND		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
VANGUARD REIT ETF		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
VANGUARD SHORT-TERM CORPORATE BOND ETF		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
VANGUARD SMALL-CAP ETF		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
VANGUARD TOTAL WORLD STOCK INDEX FUND		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WISDOMTREE EMERGING MKTS SMALLCAP DIV FUND		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AUBURN MASS GO MUN PRIP IN BDS		03/16/2015	S	\$50,001 - \$100,000	<input type="checkbox"/>
CBRE CLARION LONG/SHORT FUND		01/16/2015	P	\$15,001 - \$50,000	
CBRE CLARION LONG/SHORT FUND		09/4/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
COLUMBIA LARGE CAP GROWTH FUND		12/7/2015	P	\$1,001 - \$15,000	
COLUMBIA MA INTER MUNI BOND FUND		04/9/2015	P	\$15,001 - \$50,000	
COLUMBIA MA INTER MUNI BOND FUND		09/4/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
COLUMBIA PACIFIC/ASIA FUND		01/22/2015	P	\$15,001 - \$50,000	
DB X-TRACKERS MSCI EAFE HEDGED EQUITY FUND		04/13/2015	P	\$15,001 - \$50,000	
DELAWARE EMERGING MKTS FUND		04/13/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
GOLDMAN SACHS STRATEGIC INCOME FUND		04/9/2015	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
ISHARES GLOBAL TIMBER & FORESTRY		01/14/2015	P	\$1,001 - \$15,000	
ISHARES MSCI HONG HONG INDEX FUND		09/9/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
IVY ASSET STRATEGY FUND		01/16/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
LORD ABBETT INVESTMENT TR SHORT DURATION		04/9/2015	P	\$15,001 - \$50,000	
LORD ABBETT INVESTMENT TR SHORT DURATION		09/4/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PIMCO COMMODITIESPLUS STRATEGY FUND		01/12/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
UNITED STS COMMODITY INDEX FUND		04/13/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
VANGUARD TOTAL WORLD STOCK INDEX FUND		09/9/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WISDOM EMERGING MKTS SMALLCAP DIV		04/13/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

### SCHEDULE C: EARNED INCOME

None disclosed.

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	ENTERPRISE BANK & TRUST	AUGUST 2001	LINE OF CREDIT ON 455 SHORE RD, CHATHAM	\$15,001 - \$50,000
	ENTERPRISE BANK & TRUST	JUNE 2012	MORTGAGE ON PERSONAL RESIDENCE	\$250,001 - \$500,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Niki Tsongas , 05/11/2016