

UNITED STATES HOUSE OF REPRESENTATIVES

Periodic Transaction Report

NAME: MICHAEL T. MCCAUL

OFFICE TELEPHONE: (202) 225-2401



Member of the U.S. House of Representatives
TEXAS District: **10**
 State: _____

File an original and 2 copies



Officer or Employee

Employing Office: _____

File an original and 1 copy

Did you purchase any shares that were allocated as a part of an Initial Public Offering? ☐ ☒

If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending.

☒

Initial Report

Amendment

Date of Report Being Amended:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

40

(For Official Use Only)

17 SEP -7 AM 10:57

LEGISLATIVE RESOURCE CENTER

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

[illegible]

NAME:
MICHAEL MCCAUL

FULL ASSET NAME		Type of transaction	Date of Transaction	Date Notified of Transaction	Amount of Transaction											
		PURCHASE	SALE	EXCHANGE	(MM/DD/YY)	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J
JT	Provide full name, not ticker symbol						\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000
SP																
DC																
SP	LLM FAMILY INVESTMENTS LP (12.1266% INTEREST)															
SP	TEXAS WTR DEV BRDREV ST REVOLVINGFD-SUB-LIEN-SER A	X			07/17/2017	8/6/2017							X			
SP	CONNECTICUT ST SIPMA INDEX-SER A		X		07/26/2017	8/6/2017							X			
SP	HOUSTON TEX INDPT SCH DIST RFDG-SER B PSF GTD			X	07/26/2017	8/6/2017							X			
SP	PDC ENERGY INC		X		07/05/2017	8/6/2017							X			
SP	KNOXVILLE TENN ELEC REV RFDG-SYS-SER W		X		07/03/2017	8/6/2017							X			
SP	EL PASO CNTY TEX CTFES OBLIG		X		07/26/2017	8/6/2017							X			
SP	FLORIDA ST DEPTENVIRONMENTAL PROTNRREV RFDG-EVERGLADESRESTORATION		X		07/03/2017	8/6/2017							X			
SP	METROPOLITAN ATLANTARAPID TRAN AUTH GASALES TAX REVVAR-SECOND		X		07/03/2017	8/6/2017							X			
SP	PORT AUTH N Y & N JCONS-ONE HUNDREDFORTY-SEVENTH-RFDG		X		07/18/2017	8/6/2017							X			
SP	BAY AREA TOLL AUTH CALIF TOLL BRDG REV RFDG SAN FRANCISCO BAY AREA-SER A		X		07/25/2017	8/6/2017							X			
SP	BAYTOWN TEX RFDG		X		07/20/2017	8/6/2017							X			
SP	INDIANA ST HSG &CMNTY DEV AUTH HOMEFIRST PROG MTG REV RFDG		X		07/03/2017	8/6/2017							X			
SP	LUBBOCK CNTY TEXRFDG		X		07/31/2017	8/6/2017							X			
SP	YORK CNTY S C		X		07/12/2017	8/6/2017							X			
SP	ORADELL N J RFDG		X		07/17/2017	8/6/2017							X			
SP	DALLAS TEX		X		07/26/2017	8/6/2017							X			
SP	ORADELL N J RFDG		X		07/18/2017	8/6/2017							X			
SP	MICHIGAN ST HSG DEVAUTH RENTAL HSG REVNON-AMT NON ACE-SERA		X		07/12/2017	8/6/2017							X			
SP	MET GOVT NASHVILLE &DAVIDSON CNTY TENN H& E FACS BRD REV RVANDERBILT UNIV SR B		X		07/31/2017	8/6/2017							X			
SP	SPRING BRANCH TEX INDPT SCH DIST SCHOOLHOUSE -RMKT		X		07/31/2017	8/6/2017							X			
SP	EUROCASH		X		07/05/2017	8/6/2017							X			
SP	EUROCASH		X		07/03/2017	8/6/2017							X			
SP	TARRANT REG WTRDIST TEX WTR REV RFDG IMPT		X		07/27/2017	8/6/2017							X			
SP	ORACLE CORP		X		07/18/2017	8/6/2017							X			
SP	BALTIMORE MD CONSPUB IMPT		X		07/31/2017	8/6/2017							X			
SP	CORBIN KY INDPT SCHDIST FIN CORP SCHBLDG REV		X		07/25/2017	8/6/2017							X			
SP	SOUTH CAROLINA ST PUB SVC AUTH REV RFDG-SER C		X		07/20/2017	8/6/2017							X			
SP	INDIANA TRANSN FINAULTH HWY REV RFDGAP APPREC SER A		X		07/10/2017	8/6/2017							X			
SP	COLORADO RIVER TEX MUN WTR DIST WTR REV TAXABLE-RFDG		X		07/27/2017	8/6/2017							X			
SP	MONDELEZ INTL INC		X		07/20/2017	8/6/2017							X			
SP	ALASKA ST INTL ARPTS REVS RFDG-SER D		X		07/05/2017	8/6/2017							X			
SP	AVONDALE ARIZ MUN DEV CORP EXCISE TAX REV REFUNDING		X		07/17/2017	8/6/2017							X			
SP	DALLAS TEX AREA RAPID TRAN SALES TAX REV		X		07/24/2017	8/6/2017							X			
SP	EDGERTON WIS NT ANTIC NTS-SER B		X		07/28/2017	8/6/2017							X			
SP	GEORGIA MUN ELEC AUTH PWR REV RFDG-TAXABLE-GEN-SER A		X		07/20/2017	8/6/2017							X			

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FULL ASSET NAME		Type of Transaction	Date of Transaction	Date Notified of Transaction	Amount of Transaction											
Provide full name, not ticker symbol		PURCHASE	SALE	EXCHANGE	(MM/DD/YY)	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J
SP	GREENVILLE CNTY S CSCH DIST INSTALLMENTPUR REV RFDG	X			07/25/2017	8/6/2017	X									
SP	HANCOCK CNTY OHIO RFDG-VAR PURP IMPT	X			07/25/2017	8/6/2017		X								
SP	HARLINGEN TEX	X			07/18/2017	8/6/2017		X								
SP	LONE STAR COLLEGE SYS TEX REV FING SYS REV SER B-1	X			07/28/2017	8/6/2017		X								
SP	MR PRICE GROUP LTD	X			07/20/2017	8/6/2017		X								
SP	NEW YORK ST HSG FIN AGY REV	X			07/21/2017	8/6/2017	X									
SP	OKLAHOMA CNTY OKLA INDPT SCH DIST	X			07/20/2017	8/6/2017		X								
SP	PENNSYLVANIA ECONOMIC DEV FING AUTH	X			07/18/2017	8/6/2017	X									
SP	VAN WERT OHIO CITYSCH DIST RFDG-SCHIMPT	X			07/19/2017	8/6/2017	X									
SP	VIRGINIA COLLEGE BLDG AUTH VA EDL FACS REV	X			07/17/2017	8/6/2017		X								
SP	WAKE CNTY N C PUBIMPT-SER C	X			07/24/2017	8/6/2017		X								
SP	WICHITA KANS RFDG-AMT-TEMP NTS-SER 276	X			07/28/2017	8/6/2017		X								
SP	WISCONSIN HSG &ECONOMIC DEV AUTH	X			07/05/2017	8/6/2017	X									
SP	CAMPBELL CNTY KY SCH DIST FIN CORP SCH BLDG REV RFDG	X			07/27/2017	8/6/2017	X									
SP	COSTCO WHOLESALE CORP	X			07/12/2017	8/6/2017	X									
SP	Alphabet Inc	X			07/03/2017	8/6/2017	X									
SP	AMAZON.COM INC	X			07/06/2017	8/6/2017	X									
SP	OHIO HSG FIN AGY SINGLE FAMILY MTG REV SER 1	X			07/05/2017	8/6/2017	X									
SP	Edwards Lifesciences Corp	X			07/18/2017	8/6/2017	X									
SP	TRIPADVISOR INC	X			07/12/2017	8/6/2017	X									
SP	METROPOLITAN ATLANTA RAPID TRAN AUTH	X			07/03/2017	8/6/2017	X									
SP	NEENAH PAPER INC	X			07/17/2017	8/6/2017	X									
SP	Capital Bank Financial	X			07/18/2017	8/6/2017	X									
SP	BROADRIDGE FINANCIAL SOLUTIONSINC	X			07/17/2017	8/6/2017	X									
SP	TEXAS ST VAR-RFDG-WTR FINL ASSISTANCE-SUBSER A-2	X			07/25/2017	8/6/2017	X									
SP	DIGITAL GLOBE INC	X			07/25/2017	8/6/2017	X									
SP	ENPRO INDUSTRIES INC	X			07/20/2017	8/6/2017	X									
SP	Virtus Investment Partners Inc	X			07/17/2017	8/6/2017	X									
SP	DIGITAL GLOBE INC	X			07/24/2017	8/6/2017	X									
SP	CTS CORP	X			07/21/2017	8/6/2017	X									
SP	BROADRIDGE FINANCIAL SOLUTIONSINC	X			07/18/2017	8/6/2017	X									
SP	ELGIN TEX INDPT SCHDIST RFDG	X			07/21/2017	8/6/2017	X									
SP	EPAM Systems Inc	X			07/28/2017	8/6/2017	X									
SP	NATIONWIDE MUT FDS NEW GENEVA MID-CAP GROWTH FD	X			07/28/2017	8/6/2017	X									
SP	PT TOWER BERSAMA INFRASTRUCTURE TBK	X			07/17/2017	8/6/2017	X									
SP	ENPRO INDUSTRIES INC	X			07/17/2017	8/6/2017	X									

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SP	OCEANFIRST FINANCIAL CORP	X			07/25/2017	8/6/2017	X									
SP	OCEANFIRST FINANCIAL CORP	X			07/28/2017	8/6/2017	X									
SP	Oritani Financial Corp.	X			07/20/2017	8/6/2017	X									
SP	Oritani Financial Corp.	X			07/28/2017	8/6/2017	X									
SP	CTS CORP	X			07/20/2017	8/6/2017	X									
SP	Oritani Financial Corp.	X			07/18/2017	8/6/2017	X									
SP	OCEANFIRST FINANCIAL CORP	X			07/21/2017	8/6/2017	X									
SP	OCEANFIRST FINANCIAL CORP	X			07/20/2017	8/6/2017	X									
SP	OCEANFIRST FINANCIAL CORP	X			07/26/2017	8/6/2017	X									
SP	OCEANFIRST FINANCIAL CORP	X			07/27/2017	8/6/2017	X									
SP	Oritani Financial Corp.	X			07/25/2017	8/6/2017	X									
SP	Oritani Financial Corp.	X			07/26/2017	8/6/2017	X									
SP	OCEANFIRST FINANCIAL CORP	X			07/24/2017	8/6/2017	X									
SP	CTS CORP	X			07/17/2017	8/6/2017	X									
SP	Linn Energy Inc	X			07/24/2017	8/6/2017	X									
SP	Tristate Capital Holdings Inc	X			07/21/2017	8/6/2017	X									
SP	National Bank Holdings	X			07/18/2017	8/6/2017	X									
SP	Linn Energy Inc	X			07/18/2017	8/6/2017	X									
SP	Tristate Capital Holdings Inc	X			07/17/2017	8/6/2017	X									
SP	Tristate Capital Holdings Inc	X			07/17/2017	8/6/2017	X									
SP	National Bank Holdings	X			07/14/2017	8/6/2017	X									
SP	National Bank Holdings	X			07/20/2017	8/6/2017	X									
SP	National Bank Holdings	X			07/19/2017	8/6/2017	X									
SP	Linn Energy Inc	X			07/19/2017	8/6/2017	X									
SP	National Bank Holdings	X			07/12/2017	8/6/2017	X									
SP	Tristate Capital Holdings Inc	X			07/20/2017	8/6/2017	X									
SP	National Bank Holdings	X			07/19/2017	8/6/2017	X									
SP	Tristate Capital Holdings Inc	X			07/18/2017	8/6/2017	X									
SP	National Bank Holdings	X			07/11/2017	8/6/2017	X									
SP	National Bank Holdings	X			07/28/2017	8/6/2017	X									
SP	Linn Energy Inc	X			07/05/2017	8/6/2017	X									
SP	National General Holdings Corp	X			07/20/2017	8/6/2017	X									
SP	Linn Energy Inc	X			07/12/2017	8/6/2017	X									
SP	DEXCOM INC	X			07/18/2017	8/6/2017	X									
SP	Linn Energy Inc	X			07/10/2017	8/6/2017	X									
SP	Linn Energy Inc	X			07/31/2017	8/6/2017	X									

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JT	SP	DC								\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000
SP			Limn Energy Inc		X			07/26/2017	8/6/2017	X									
SP			Limn Energy Inc		X			07/05/2017	8/6/2017	X									
SP			ALIBABA GROUP HOLDING LTD		X			07/06/2017	8/6/2017	X									
SP			ALIBABA GROUP HOLDING LTD		X			07/03/2017	8/6/2017	X									
SP			Limn Energy Inc		X			07/03/2017	8/6/2017	X									
SP			LIBERTY INTERACTIVE CORP		X			07/17/2017	8/6/2017	X									
SP			PRICELINE GROUP INC		X			07/12/2017	8/6/2017	X									
SP			INDEPENDENCE KY RFDG		X			07/17/2017	8/6/2017	X									
SP			TRIBOROUGH BRDG & TUNL AUTH N Y REVS GENL PURP-SER Y-RFDG		X			07/17/2017	8/6/2017	X									
SP			MASSACHUSETTS STREDFG SIFMA INDEXSER A		X			07/20/2017	8/6/2017		X								
SP			INTERVAL LEISURE GROUP INC		X			07/03/2017	8/6/2017		X								
SP			RSP Permian		X			07/26/2017	8/6/2017			X							
SP			TRI-CNTY MET TRANSN DIST ORE REV PAYROLL TAX GRANT RCPT		X			07/19/2017	8/6/2017			X							
SP			MICHIGAN ST HSG DEVAUTH RENTAL HSG REVSER A		X			07/12/2017	8/6/2017			X							
SP			VANGUARD EMERGING MARKETS ETF		X			07/06/2017	8/6/2017						X				
SP			ISHARES TR MSCI EMERGING MKTS ETF		X			07/06/2017	8/6/2017						X				
SP			SHIRE PLC			X		07-26-2017	8/6/2017			X							
SP			SHIRE PLC			X		07-27-2017	8/6/2017				X						
SP			SHIRE PLC			X		07-25-2017	8/6/2017				X						
SP			TE CONNECTIVITY LTD			X		07-12-2017	8/6/2017			X							
SP			SCHLUMBERGER LTD			X		07-28-2017	8/6/2017			X							
SP			TJX COS INC NEW			X		07-31-2017	8/6/2017			X							
SP			MICROSOFT CORP			X		07-26-2017	8/6/2017			X							
SP			ABBOTT LABS			X		07-26-2017	8/6/2017			X							
SP			ABBOTT LABS			X		07-27-2017	8/6/2017			X							
SP			ABBOTT LABS			X		07-25-2017	8/6/2017				X						
SP			WYNN RESORTS LTD			X		07-31-2017	8/6/2017				X						
SP			LLM FAMILY INVESTMENTS II LP (5.4643% INTEREST)																
SP			Dun & Bradstreet Corp			X		07/11/2017	8/6/2017			X							
SP			Dun & Bradstreet Corp			X		07/12/2017	8/6/2017			X							
SP			Dun & Bradstreet Corp			X		07/13/2017	8/6/2017			X							
SP			Dun & Bradstreet Corp			X		07/17/2017	8/6/2017			X							
SP			Dun & Bradstreet Corp			X		07/14/2017	8/6/2017			X							
SP			Avon Products Inc			X		07/03/2017	8/6/2017			X							
SP			Avon Products Inc			X		07/07/2017	8/6/2017			X							
SP			Avon Products Inc			X		07/06/2017	8/6/2017			X							

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		PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	
SP	Avon Products Inc	X			07/11/2017	8/6/2017	X										
SP	WEATHERFORD INTERNATIONAL	X			07/20/2017	8/6/2017	X										
SP	Avon Products Inc	X			07/10/2017	8/6/2017	X										
SP	Baker Hughes, a GE company	X			07/26/2017	8/6/2017	X										
SP	DEVON ENERGY CORP	X			07/20/2017	8/6/2017	X										
SP	Avon Products Inc	X			07/05/2017	8/6/2017	X										
SP	Johnson Controls International	X			07/10/2017	8/6/2017		X									
DC	LLM FAMILY INVESTMENTS LP (13.1707% INTEREST)																
DC	TEXAS WTR DEV BRDREV ST REVOLVINGFD-SUB-1IEN-SER A	X			07/17/2017	8/6/2017			X								
DC	CONNECTICUT ST SIFMA INDEX-SER A	X			07/26/2017	8/6/2017			X								
DC	HOUSTON TEX INDPT SCH DIST RFDG-SER B PSF GTD	X			07/26/2017	8/6/2017			X								
DC	PDC ENERGY INC	X			07/05/2017	8/6/2017			X								
DC	KNOXVILLE TENN ELEC REV RFDG-SYS-SER W	X			07/03/2017	8/6/2017			X								
DC	EL PASO CNTY TEX CITS OBLIG	X			07/26/2017	8/6/2017			X								
DC	FLORIDA ST DEPTENVIRONMENTAL PROTNRREV RFDG-EVERGLADESRESTORATION	X			07/03/2017	8/6/2017			X								
DC	METROPOLITAN ATLANTARAPID TRAN AUTH GASALES TAX REVVAR-SECOND	X			07/03/2017	8/6/2017			X								
DC	PORT AUTH N Y & N JCONS-ONE HUNDREDFORTY-SEVENTH-RFDG	X			07/18/2017	8/6/2017			X								
DC	BAY AREA TOLL AUTH CALIF TOLL BRDG REV RFDG SAN FRANCISCO BAY AREA-SER A	X			07/25/2017	8/6/2017			X								
DC	BAYTOWN TEX RFDG	X			07/20/2017	8/6/2017			X								
DC	INDIANA ST HSG &CMNTY DEV AUTH HOMEFIRST PROG MTG REV-RFDG	X			07/03/2017	8/6/2017			X								
DC	LUBBOCK CNTY TEXRFDG	X			07/31/2017	8/6/2017			X								
DC	YORK CNTY S C	X			07/12/2017	8/6/2017			X								
DC	ORADELL N J RFDG	X			07/17/2017	8/6/2017			X								
DC	ORADELL N J RFDG	X			07/18/2017	8/6/2017			X								
DC	DALLAS TEX	X			07/26/2017	8/6/2017			X								
DC	MICHIGAN ST HSG DEVALUTH RENTAL HSG REVNONAMT NON ACE-SERA	X			07/12/2017	8/6/2017			X								
DC	MET GOVT NASHVILLE ADAVIDSON CNTY TENN H&E FACS BRD REV RVANDERBILT UNIV SR B	X			07/31/2017	8/6/2017			X								
DC	SPRING BRANCH TEX INDPT SCH DIST SCHOOLHOUSE -RMKT	X			07/05/2017	8/6/2017			X								
DC	EUROCASH	X			07/03/2017	8/6/2017			X								
DC	EUROCASH	X			07/27/2017	8/6/2017			X								
DC	TARRANT REGI WTRDIST TEX WTR REV-RFDG IMPT	X			07/13/2017	8/6/2017			X								
DC	BALTIMORE MD CONSPUB IMPT	X			07/25/2017	8/6/2017			X								
DC	CORBIN KY INDPT SCHDIST FIN CORP SCHBLDG REV	X			07/20/2017	8/6/2017			X								
DC	SOUTH CAROLINA ST PUB SVC AUTH REV RFDG-SER C	X			07/18/2017	8/6/2017			X								
DC	ORACLE CORP	X			07/10/2017	8/6/2017			X								
DC	INDIANA TRANSN FINAULTH HWY REV RFDGAP APPREC SER A	X							X								

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DC	MONDELEZ INTL INC				X			07/20/2017	8/6/2017		X								
DC	COLORADO RIVER TEX MUN WTR DIST WTR REV TAXABLE-RFDG				X			07/27/2017	8/6/2017		X								
DC	CAMPBELL CNTY KY SCH DIST FIN CORP SCH BLDG REV RFDG				X			07/27/2017	8/6/2017		X								
DC	ALASKA ST INTL ARPTS REVS RFDG-SER D				X			07/05/2017	8/6/2017		X								
DC	AVONDALE ARIZ MUN DEV CORP EXCISE TAX REV REFUNDING				X			07/17/2017	8/6/2017		X								
DC	DALLAS TEX AREA RAPID TRAN SALES TAX REV				X			07/24/2017	8/6/2017		X								
DC	EDGERTON WIS NT ANTIC NTS-SER B				X			07/28/2017	8/6/2017		X								
DC	GEORGIA MUN ELEC AUTH PWR REV RFDG-TAXABLE-GEN-SER A				X			07/20/2017	8/6/2017		X								
DC	GREENVILLE CNTY S CSCH DIST INSTALLMENTPUR REV RFDG				X			07/25/2017	8/6/2017		X								
DC	HANCOCK CNTY OHIO RFDG-VAR PURP IMPT				X			07/25/2017	8/6/2017		X								
DC	HARLINGEN TEX				X			07/18/2017	8/6/2017		X								
DC	LONE STAR COLLEGE SYS TEX REV FING SYS REV SER B-1				X			07/26/2017	8/6/2017		X								
DC	MR PRICE GROUP LTD				X			07/20/2017	8/6/2017		X								
DC	NEW YORK ST HSG FIN AGY REV				X			07/21/2017	8/6/2017		X								
DC	OKLAHOMA CNTY OKLA INDP SCH DIST				X			07/20/2017	8/6/2017		X								
DC	PENNSYLVANIA ECONOMIC DEV FING AUTH				X			07/18/2017	8/6/2017		X								
DC	VAN WERT OHIO CITYSCH DIST RFDG-SCHIMPT				X			07/19/2017	8/6/2017		X								
DC	VIRGINIA COLLEGE BLDG AUTH VA EDL FACS REV				X			07/17/2017	8/6/2017		X								
DC	WAKE CNTY N C PUBIMPT-SER C				X			07/24/2017	8/6/2017		X								
DC	WCHITA KANS RFDG-AMT-TEMP NTS-SER 276				X			07/28/2017	8/6/2017		X								
DC	WISCONSIN HSG &ECONOMIC DEV AUTH				X			07/05/2017	8/6/2017		X								
DC	COLLEGE STATION TEX INDP SCH				X			07/19/2017	8/6/2017		X								
DC	COSTCO WHOLESALE CORP				X			07/12/2017	8/6/2017		X								
DC	Alphabet Inc				X			07/03/2017	8/6/2017		X								
DC	AMAZON.COM INC				X			07/06/2017	8/6/2017		X								
DC	OHIO HSG FIN AGY SINGLE FAMILY MTG REV SER 1				X			07/05/2017	8/6/2017		X								
DC	Edwards Lifesciences Corp				X			07/18/2017	8/6/2017		X								
DC	TRIPADVISOR INC				X			07/12/2017	8/6/2017		X								
DC	NEENAH PAPER INC				X			07/17/2017	8/6/2017		X								
DC	Capital Bank Financial				X			07/18/2017	8/6/2017		X								
DC	METROPOLITAN ATLANTA RAPID TRAN AUTH				X			07/03/2017	8/6/2017		X								
DC	BROADRIDGE FINANCIAL SOLUTIONS INC				X			07/17/2017	8/6/2017		X								
DC	DIGITAL GLOBE INC				X			07/25/2017	8/6/2017		X								
DC	TEXAS ST VAR-RFDG-WTR FINL ASSISTANCE-SUBSER A-2				X			07/25/2017	8/6/2017		X								
DC	ENPRO INDUSTRIES INC				X			07/20/2017	8/6/2017		X								
DC	Virtus Investment Partners Inc				X			07/17/2017	8/6/2017		X								

NAME:
MICHAEL MCCAUL

FULL ASSET NAME		Type of transaction	Date of Transaction	Date Notified of Transaction	Amount of Transaction										
					A	B	C	D	E	F	G	H	I	J	
JT SP DC	Provide full name, not ticker symbol	PURCHASE SALE EXCHANGE	(MM/DD/YY)	(MM/DD/YY)	\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	
DC	DIGITAL GLOBE INC	X	07/24/2017	8/6/2017	X										
DC	CTS CORP	X	07/21/2017	8/6/2017	X										
DC	BROADRIDGE FINANCIAL SOLUTIONS INC	X	07/18/2017	8/6/2017	X										
DC	ENPRO INDUSTRIES INC	X	07/17/2017	8/6/2017	X										
DC	OCEANFIRST FINANCIAL CORP	X	07/25/2017	8/6/2017	X										
DC	OCEANFIRST FINANCIAL CORP	X	07/28/2017	8/6/2017	X										
DC	ELGIN TEX INDPT SCHDIST RFDG	X	07/21/2017	8/6/2017	X										
DC	EPAM Systems Inc	X	07/26/2017	8/6/2017	X										
DC	NATIONWIDE MUT FDS NEW GENEVA MID-CAP GROWTH FD	X	07/28/2017	8/6/2017	X										
DC	PT TOWER BERSAMA INFRASTRUCTURE TBK	X	07/17/2017	8/6/2017	X										
DC	Ortiani Financial Corp.	X	07/20/2017	8/6/2017	X										
DC	Ortiani Financial Corp.	X	07/28/2017	8/6/2017	X										
DC	CTS CORP	X	07/20/2017	8/6/2017	X										
DC	Ortiani Financial Corp.	X	07/18/2017	8/6/2017	X										
DC	OCEANFIRST FINANCIAL CORP	X	07/21/2017	8/6/2017	X										
DC	OCEANFIRST FINANCIAL CORP	X	07/20/2017	8/6/2017	X										
DC	OCEANFIRST FINANCIAL CORP	X	07/26/2017	8/6/2017	X										
DC	OCEANFIRST FINANCIAL CORP	X	07/27/2017	8/6/2017	X										
DC	Ortiani Financial Corp.	X	07/25/2017	8/6/2017	X										
DC	Ortiani Financial Corp.	X	07/26/2017	8/6/2017	X										
DC	OCEANFIRST FINANCIAL CORP	X	07/24/2017	8/6/2017	X										
DC	CTS CORP	X	07/17/2017	8/6/2017	X										
DC	Linn Energy Inc	X	07/24/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/21/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/18/2017	8/6/2017	X										
DC	Linn Energy Inc	X	07/18/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/19/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/17/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/17/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/14/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/20/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/19/2017	8/6/2017	X										
DC	Linn Energy Inc	X	07/19/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/21/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/20/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/19/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/18/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/18/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/19/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/17/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/17/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/14/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/20/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/19/2017	8/6/2017	X										
DC	Linn Energy Inc	X	07/19/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/21/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/20/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/19/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/18/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/18/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/19/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/17/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/17/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/14/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/20/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/19/2017	8/6/2017	X										
DC	Linn Energy Inc	X	07/19/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/21/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/20/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/19/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/18/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/18/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/19/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/17/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/17/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/14/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/20/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/19/2017	8/6/2017	X										
DC	Linn Energy Inc	X	07/19/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/21/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/20/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/19/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/18/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/18/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/19/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/17/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/17/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/14/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/20/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/19/2017	8/6/2017	X										
DC	Linn Energy Inc	X	07/19/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/21/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/20/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/19/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/18/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/18/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/19/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/17/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/17/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/14/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/20/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/19/2017	8/6/2017	X										
DC	Linn Energy Inc	X	07/19/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/21/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/20/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/19/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/18/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/18/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/19/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/17/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/17/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/14/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/20/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/19/2017	8/6/2017	X										
DC	Linn Energy Inc	X	07/19/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/21/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/20/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/19/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/18/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/18/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/19/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/17/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/17/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/14/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/20/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/19/2017	8/6/2017	X										
DC	Linn Energy Inc	X	07/19/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/21/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/20/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/19/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/18/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/18/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/19/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/17/2017	8/6/2017	X										
DC	Tristate Capital Holdings Inc	X	07/17/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/14/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/20/2017	8/6/2017	X										
DC	National Bank Holdings	X	07/19/2017	8/6/2017	X										
DC	Linn Energy Inc	X													

NAME:
MICHAEL MCCAUL

FULL ASSET NAME			Type of Transaction	Date of Transaction	Date Notified of Transaction	Amount of Transaction									
Provide full name, not ticker symbol				(MM/DD/YY)	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J
JT			PURCHASE			\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000
SP			SALE												
DC			EXCHANGE												
DC	National Bank Holdings	X		07/11/2017	8/6/2017	X									
DC	Linn Energy Inc	X		07/28/2017	8/6/2017	X									
DC	National General Holdings Corp	X		07/05/2017	8/6/2017	X									
DC	DEXCOM INC	X		07/18/2017	8/6/2017	X									
DC	Linn Energy Inc	X		07/20/2017	8/6/2017	X									
DC	Linn Energy Inc	X		07/12/2017	8/6/2017	X									
DC	Linn Energy Inc	X		07/10/2017	8/6/2017	X									
DC	Linn Energy Inc	X		07/31/2017	8/6/2017	X									
DC	Linn Energy Inc	X		07/26/2017	8/6/2017	X									
DC	ALIBABA GROUP HOLDING LTD	X		07/08/2017	8/6/2017	X									
DC	ALIBABA GROUP HOLDING LTD	X		07/03/2017	8/6/2017	X									
DC	Linn Energy Inc	X		07/05/2017	8/6/2017	X									
DC	Linn Energy Inc	X		07/03/2017	8/6/2017	X									
DC	PRICELINE GROUP INC	X		07/12/2017	8/6/2017	X									
DC	LIBERTY INTERACTIVE CORP	X		07/17/2017	8/6/2017	X									
DC	INDEPENDENCE KY RFDG	X		07/17/2017	8/6/2017	X									
DC	TRIBOROUGH BRDG & TUNL AUTH N Y REVS GENL PURP-SER Y-RFDG	X		07/17/2017	8/6/2017	X									
DC	MASSACHUSETTS STRFDG SIFMA INDEXSER A	X		07/20/2017	8/6/2017	X									
DC	INTERVAL LEISURE GROUP INC	X		07/03/2017	8/6/2017		X								
DC	RSP Permian	X		07/26/2017	8/6/2017			X							
DC	TRI-CNTY MET TRANSN DIST ORE REV PAYROLL TAX GRANT RCPT	X		07/19/2017	8/6/2017			X							
DC	MICHIGAN ST HSG DEVAUTH RENTAL HSG REVSER A	X		07/12/2017	8/6/2017				X						
DC	VANGUARD EMERGING MARKETS ETF	X		07/06/2017	8/6/2017					X					
DC	ISHARES TR MSCI EMERGING MKTS ETF	X		07/06/2017	8/6/2017						X				
DC	SHIRE PLC		X	07-28-2017	8/6/2017				X						
DC	SHIRE PLC		X	07-27-2017	8/6/2017				X						
DC	SHIRE PLC		X	07-25-2017	8/6/2017					X					
DC	TE CONNECTIVITY LTD		X	07-12-2017	8/6/2017			X							
DC	SCHLUMBERGER LTD	X		07-28-2017	8/6/2017	X									
DC	TJX COS INC NEW	X		07-31-2017	8/6/2017		X								
DC	MICROSOFT CORP	X		07-26-2017	8/6/2017		X								
DC	ABBOTT LABS	X		07-26-2017	8/6/2017			X							
DC	ABBOTT LABS	X		07-27-2017	8/6/2017				X						
DC	ABBOTT LABS	X		07-25-2017	8/6/2017					X					
DC	WYNN RESORTS LTD	X		07-31-2017	8/6/2017					X					