



Filing ID #10009459

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Mike Sherzan  
**Status:** Congressional Candidate  
**State/District:** IA03

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2015  
**Filing Date:** 01/14/2016  
**Period Covered:** 01/01/2014– 12/16/2015

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Broker Dealer Financial Services Corp. 401(k) Plan ⇒ 5 Acres Land / Real Estate West Des Moines, Iowa  LOCATION: West Des Moines/Dallas, IA, US		\$100,001 - \$250,000	None		
Broker Dealer Financial Services Corp. 401(k) Plan ⇒ Calamos Convertible Opportunities and Income Fund (CHI)		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$1,001 - \$2,500
Broker Dealer Financial Services Corp. 401(k) Plan ⇒ Yorkville High Income MLP (YMLP)		\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	None
Michael T. Sherzan IRA ⇒ Money Market Fund		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Michael T. Sherzan IRA ⇒ Yorkville High Income MLP Exchange Traded Concepts (YMLP)		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Sherzan Trust ⇒ 28 Acres Land / Real Estate	JT	\$500,001 - \$1,000,000	None		
LOCATION: West Des Moines / Dallas, IA, US					
Sherzan Trust ⇒ Advanaced Analytical Technology	JT	\$15,001 - \$50,000	None		
DESCRIPTION: Private Stock / Equity					
Sherzan Trust ⇒ Ally Bank Checking	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Sherzan Trust ⇒ BDFSC Holdings Corp.	JT	\$1,000,001 - \$5,000,000	Tax Distributions	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
DESCRIPTION: Sub S Corporation Tax Distribution for Shareholders Estimated Tax Payments					
Sherzan Trust ⇒ DNS Properties LLC., 40% Interest	JT	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
LOCATION: West Des Moines / Dallas, IA, US					
DESCRIPTION: Commercial Property Owner					
Sherzan Trust ⇒ Trubank Checking	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Susan A. Sherzan IRA ⇒ Money Market Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Susan A. Sherzan IRA ⇒ Yorkville High Income MLP Exchange Traded Concepts (YMLP)		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	None

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Broker Dealer Financial Services Corp.	Salary	N/A	\$238,398
GLG Consulting	Consulting Fees	N/A	\$500

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Luana Savings Bank	October 2012	Mortgage Personal Residence	\$250,001 - \$500,000
	Luana Savings Bank	October 2013	Mortgage Loan on Investment Property	\$250,001 - \$500,000
	Luana Savings Bank	October 2012	Home Owners Equity Line of Credit	\$100,001 - \$250,000
	Broker Dealer Fiancial Services Corp. 401(k) Plan	August 2012	Loan	\$15,001 - \$50,000
	Chase Bank	February 2015	Balance Transfer	\$15,001 - \$50,000
	Bank of America	June 2014	Balance Transfer	\$15,001 - \$50,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Chairman/President/CEO	BDFSC Holdings Corp.
Chairman/President/CEO	Broker Dealer Financial Services Corp.
Chairman/President/CEO	Investment Advisors Corp.
President	Sherzan Consulting
Member	DNS Properties LLC.
Trustee	Broker Dealer Financial Services Corp. 401(k) Plan
Trustee	Broker Dealer Financial Services Corp. Employee Stock Ownership Plan (ESOP)
Member	National Futures Association Introducing Broker Advisory Committee
Board Member	Jordon Creek Property Owners Association
Representative	National Football League Registered Player Financial Advisor
Chairman/President/CEO	Bankers Financial Services LLC.
Consultant	GLG Consulting

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2016	Broker Dealer Financial Services Corp. / Michael Sherzan	Health Insurance through COBRA

Date	Parties To	Terms of Agreement
January 2016	BDFSC Holdings Corp. / Michael Sherzan	Redeemed approximately 4% of Corporate Stock and the Firm signed a note payable over a two year period.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- Broker Dealer Financial Services Corp. 401(k) Plan
- Michael T. Sherzan IRA
- Sherzan Trust (Owner: JT)
- Susan A. Sherzan IRA

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Mike Sherzan , 01/14/2016