

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

Page 1 of 6

Howard L Berman

(Full Name)

202-225-4695

(Daytime Telephone)

Filer Status

☒ Member of the U.S. House of Representative

State: CA
District: 28

☐ Officer Or Employee

Employing Office:

Report Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

HAND DELIVERED
(Office Use Only)

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "unearned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$35 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule I.		VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$35 from one source)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule II.		IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		
If yes, complete and attach Schedule III.			
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		
If yes, complete and attach Schedule IV.			
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		
If yes, complete and attach Schedule V.			

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name Howard L Berman

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
State of California	Legislative Pension	\$5,600

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Howard L Berman

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
	3627 W 104th Street LLC	\$15,001 - \$50,000	RENT	NONE	
	Providence Farming LPS	\$50,001 - \$100,000	RENT	\$5,001 - \$15,000	
SP	See attached J.P. Morgan Forms 1099 & Details (18 pages) and Bear Stearns Portfolio Statement (13 pages)	\$500,001 - \$1,000,000	INTEREST	\$5,001 - \$15,000	PS(part)
SP	See attached J.P. Morgan Forms 1099 & Details (18 pages) and Bear Stearns Portfolio Statement (13 pages)	\$500,001 - \$1,000,000	DIVIDENDS	\$15,001 - \$50,000	PS(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Howard L Berman

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SP	See attached J.P. Morgan Realized Gain/Loss Report (3 pages) and Bear Stearns Portfolio Statement (13 pages)	\$500,001 - \$1,000,000	CAPITAL GAINS	\$5,001 - \$15,000	PS(part)
	See attached UBS Consolidated Form 1099 (12 pages) and Resource Management Statement Dec 2009 (7 pages)	\$250,001 - \$500,000	CAPITAL GAINS	\$5,001 - \$15,000	PS(part)
	See attached UBS Consolidated Form 1099 (12 pages) and Resource Management Statement Dec 2009 (7 pages)	\$250,001 - \$500,000	INTEREST	\$1 - \$200	PS(part)
	See attached UBS Consolidated Form 1099 (12 pages) and Resource Management Statement Dec 2009 (7 pages)	\$250,001 - \$500,000	DIVIDENDS	\$5,001 - \$15,000	PS(part)

SCHEDULE IV - TRANSACTIONS

Name Howard L Berman

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	FT - Franklin Income A ROA	P	N/A	01-05-09	\$1,001 - \$15,000
SP	See attached J.P. Morgan Forms 1099 & Details (page 17)	P	N/A	Various	\$250,001 - \$500,000
SP	See attached J.P. Morgan Forms 1099 & Details (pages 5-6) and J.P. Morgan Realized Gain/Loss Report (pages 1-3)	S(part)	Yes	Various	\$250,001 - \$500,000
	See attached UBS Consolidated Form 1099 (pages 1, 8-9, 11-12)	S(part)	Yes	Various	\$100,001 - \$250,000
	See UBS Purchases pages 1-3	P	N/A	Various	\$100,001 - \$250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name **Howard L Berman**

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Aspen Institute	Feb 14-20	DC-Amman Jordan-DC	Y	Y	Y	3 Days
Saban Center for Middle Ease at the Brookings Institute	Nov 13-16	Los Angeles-Jerusalem and Rammallah-DC	Y	Y	N	None

J.P. MORGAN CLEARING CORP.
3 CHASE METROTECH CENTER
BROOKLYN NY 11245-0001

Account No:

Account Name:

Taxpayer Identification Number: ON-FILE

Account Executive No:

ORIGINAL:

123109

JANIS G BERMAN

2009 FORMS 1099 & DETAILS

YEAR-END MESSAGES

PLEASE READ THE ENCLOSED TAX GUIDE FOR A COMPLETE EXPLANATION OF THE TAX INFORMATION ON YOUR 2009 CONSOLIDATED 1099. WHILE WE VERIFY THE INFORMATION REPORTED, RECLASSIFICATION OF INCOME BY AN ISSUER SUCH AS A MUTUAL FUND MAY REQUIRE A CORRECTED CONSOLIDATED FORM 1099. KINDLY CONTACT YOUR ACCOUNT EXECUTIVE WITH QUESTIONS CONCERNING THE COST BASIS OR ANY OTHER INFORMATION.

Your Account did not receive the following Forms:

1099 OID
1099 MISCELLANEOUS

JANIS G BERMAN

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J.P. MORGAN CLEARING CORP.
3 CHASE METROTECH CENTER
BROOKLYN NY 11245-0001

Account No: [REDACTED]
Account Name: JANIS G BERMAN
Taxpayer Identification Number: [REDACTED]
Account Executive No: [REDACTED]

ORIGINAL: 12/31/09

RECIPIENT'S Name, Street Address, City, State, and Zip Code
JANIS G BERMAN
[REDACTED]

Copy B for recipient ☐ 2nd TIN Notice

Payer's Federal Identification Number: 13-3604093
Payer's Name, Street, City, State, Zip Code:
J.P. MORGAN CLEARING CORP.
3 CHASE METROTECH CENTER
BROOKLYN NY 11245-0001

2009 Consolidated 1099 Form

2009 FORM 1099-DIV DIVIDENDS AND DISTRIBUTIONS (OMB No. 1545-0047)	
1a	Total Ordinary Dividends \$18,987.58
1b	Qualified Dividends \$1,786.88
2a	Total Capital Gain Distributions \$156.19
2b	Unrecap Sec. 1250 gain -
2c	Section 1202 gain -
2d	Collectibles (28%) gain -
3	Nondividend Distributions \$187.46
4	Federal Income tax withheld -
5	Investment Expenses -
6	Foreign Tax Paid \$90.86
7	Foreign country or US possession Various
8	Cash Liquidation Distributions \$2,410.44
9	Noncash Liquidation Distributions -

2009 FORM 1099-INT INTEREST INCOME (OMB No. 1545-0047)	
1	Interest income not included in box 3 \$18.52
2	Early withdrawal penalty -
3	Interest on U.S. Savings Bonds & Treasury obligations -
4	Federal income tax withheld -
5	Investment Expenses -
6	Foreign tax paid -
7	Foreign country or US possession -
8	Tax-Exempt Interest \$10,959.41
9	Specified private activity bond interest \$467.95

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Show total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2a-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on the 15 of Form 1040 (line 10 of Form 1040) rather than Schedule D. See the Form 1040/1040A instructions.

Box 2b. Show the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report the amount on the Unrecaptured Section 1250 Gain Worksheet—Line 19 in the Schedule D instructions (Form 1040).

Box 2c. Show the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion and certain unemployment zone business stock that may be subject to a 60% exclusion. See the Schedule D (Form 1040) instructions.

Part 2d. Show the 20% rate paid from sales or exchanges of collectibles. If required, use this amount when computing the 20% rate (see Worksheet—Line 10 for an illustration on original gain). See Pub. 550, Investment Income and Expenses.

Part 3. Show the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) for the amount for fighting gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as original gain. See Pub. 550, Investment Income and Expenses.

Box 4. Shows trading withholding. For example, a investor must deduct withholding on certain payments at a 20% rate if you did not give your taxpayer identification number to the payer. (See Form W-4, required for 1099s; identification number and certification, 1099 instructions on back of withholding, include the amount on your income tax return as tax withheld.

Box 5. Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1a.

Boxes 7 and 8. Shows cash and non-cash liquidation distributions.

Nonetheless, if this item includes amounts belonging to another person, you are considered a nonresident recipient. You must file Form 1099-DIV with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A nonresident or who is not required to file a tax return to show amounts owned by the other. See the 2009 General Instructions for Forms 1099, 1098, 3021, 3022, 5468, and W-2.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 2. May also show the total amount of the credits from clean renewable energy bonds, qualified forestry conservation bonds, new clean renewable energy bonds, qualified energy conservation bonds, and Makeover tax credit bonds that must be included in your interest income. These amounts were lessened as paid to you during 2009 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912, Credit to Holders of Tax Credit Bonds.

Box 3, **Stocks interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes.** This may or may not be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1.

Box 4. Shows backup withholding. Generally, a payor must backup withhold at a 20% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payor. See Form W-9, include this amount on your income tax return as tax withheld.

Box 5. Any amount shown in your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.

Box 8. Shows tax-amount interest, including energy-related dividends from a mutual fund or other regulated investment company, paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See box 4.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the instructions for Form 6251.

Multiple. If this form includes amounts belonging to another owner(s), you are considered a jointless recipient. Complete a Form 1088-AIT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself on the "payer" and the other owner(s) as the "recipient." File Form(s) 1088-AIT with Form 1088 with the Internal Revenue Service Center for your area. On Form 1088 list yourself as the "tax." A husband or wife is not required to file a separate return to show amounts owned by the other.

Brokers and broker-dealers must report proceeds from sales to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation has had a change in control or a substantial change in capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in the box below your name and address on Form 1099-B.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1a. Shows the trade date of the transaction. For earnings reporting, no entry will be present.

Box 1b, For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number of the asset reported

Do not report this loss on Schedule D (Form 1040). The broker should advise you of any losses on a separate statement.

Box 4. Shareholding withdrawal. Generally, a taxpayer must be holding withdrawn shares at a 20% rate if you did not furnish your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on being tax-exempt. Include the amount on your income tax return as tax withheld.

Box 6. Shows the dates or classes of the corporation's stock that were exchanged in the change in control or substantial change in ownership.

Box 7. Shows a brief description of the item or service for which the proceeds or banking income is being reported. For regulated future contracts and forward contracts, "FIC" or other appropriate designation may be shown.

[illegible]

required to issue or file Form 1099-CD showing the OID or stated interest allocable to the shareholder of the corporation.

Account number. May show an account or other unique identifier the payer assigned to designate your account.

Q I have been asked to sign a document that says "I agree to sell my shares of ABC Company at \$10 per share." Is this legal?

Boxer & Shover QID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This QID is exempt from state and local income taxes and is not included in box 1.

J.P. MORGAN CLEARING CORP.
3 CHASE METROTECH CENTER
BROOKLYN NY 11245-0001

Account No: [REDACTED]
Account Name: JANIS G BERMAN
Taxpayer Identification Number: [REDACTED]
Account Executive No: [REDACTED]

ORIGINAL 12/31/09

RECIPIENT'S Name, Street Address, City, State, and Zip Code
JANIS G BERMAN
[REDACTED]

Payer's Federal Identification Number: 13-3604093
Payer's Name, Street, City, State, Zip Code:
J.P. MORGAN CLEARING CORP.
3 CHASE METROTECH CENTER
BROOKLYN NY 11245-0001

Copy B for recipient ☐ 2nd TIN Notice

2009 GROSS PROCEEDS

2009 GROSS PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0045)

(Box 1a) Date of Sale or Exch	(Box 7) Description	(Box 1b) CUSIP	(Box 2) Stocks, Bonds, etc. Gross proceeds less commission & option premium	(Box 4) Federal Income Tax Withheld	Transaction Description
01/08	ISHARES TRUST QUANTITY: 69.0	464287226	\$7,199.31	-	
05/08	**BLACKROCK CALIFORNIA QUANTITY: 2683.5	09252Y101	\$29,599.00	-	
05/08	ISHARES TRUST QUANTITY: 690.0	464287457	\$56,949.55	-	
05/08	ISHARES TRUST QUANTITY: 203.0	464287226	\$20,514.83	-	
05/08	**OPENHEIMER INTERNATIONAL QUANTITY: 5743.319	69390T103	\$33,828.15	-	
08/31	ISHARES TRUST BARCLAYS U S QUANTITY: 248.0	464287226	\$25,741.73	-	
09/18	ISHARES TRUST RUSSELL 2000 QUANTITY: 152.0	464287655	\$9,424.51	-	
09/18	ISHARES TRUST RUSSELL 1000 QUANTITY: 359.0	464287598	\$20,401.44	-	
09/18	ISHARES TRUST RUSSELL 1000 QUANTITY: 318.0	464287614	\$14,910.63	-	
09/18	ISHARES TRUST MSCI EAFE INDEX QUANTITY: 171.0	464287465	\$9,524.45	-	

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J.P. MORGAN CLEARING CORP.
3 CHASE METROTECH CENTER
BROOKLYN NY 11245-0001

Account No: [REDACTED]
Account Name: JAMES G BERMAN
Taxpayer Identification Number: [REDACTED]
Account Executive No: [REDACTED]

ORIGINAL: 123109

2009 GROSS PROCEEDS

2009 FORM 1099-B: PROCEEDS FROM BROKER'S BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0045) (continued)

(Box 1a) Date of Sale or Exch	(Box 7) Description	(Box 1b) CUSIP	(Box 2) Stocks, Bonds, etc. Gross proceeds less commission & option premium	(Box 4) Federal Income Tax Withheld	Transaction Description
10/06	ISHARES TRUST BARCLAYS 1-3 QUANTITY: 341.0	484287457	\$28,633.71	-	
10/06	ISHARES TRUST BARCLAYS U S QUANTITY: 52.0	464287226	\$5,443.22	-	
12 ITEMS - Total			\$262,170.33	-	

END OF 2009 CONSOLIDATED FORM 1099

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

J.P. MORGAN CLEARING CORP.
3 CHASE METROTECH CENTER
BROOKLYN NY 11245-0001

ACCOUNT NO: [REDACTED]
Account Name: JANIS G BERMAN
Taxpayer Identification Number: ON-FILE

Account Executive No: [REDACTED]

ORIGINAL: 12/31/09

2009 DIVIDEND & DISTRIBUTION DETAILS

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
12/21	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	00252Y101	Short S/T Cap Gains	\$130.58	-	-		
04/07	ISHARES TRUST ISHARES BARCLAYS	464287176	Dividend, Non-Qual	-\$133.49	-	-		
04/07	ISHARES TRUST ISHARES BARCLAYS	464287176	Dividend, Non-Qual	\$133.49	-	-		
04/08	ISHARES TRUST ISHARES BARCLAYS	464287176	Dividend, Non-Qual	\$133.49	-	-		
06/07	ISHARES TRUST ISHARES BARCLAYS	464287176	Dividend, Non-Qual	-\$175.33	-	-		
06/07	ISHARES TRUST ISHARES BARCLAYS	464287176	Dividend, Non-Qual	\$175.33	-	-		
05/08	ISHARES TRUST ISHARES BARCLAYS	464287176	Dividend, Non-Qual	\$175.33	-	-		
06/05	ISHARES TRUST ISHARES BARCLAYS	464287176	Dividend, Non-Qual	\$104.40	-	-		
07/08	ISHARES TRUST ISHARES BARCLAYS	464287176	Dividend, Non-Qual	\$109.48	-	-		
08/07	ISHARES TRUST ISHARES BARCLAYS	464287176	Dividend, Non-Qual	\$120.86	-	-		
08/08	ISHARES TRUST ISHARES BARCLAYS	464287176	Dividend, Non-Qual	\$259.20	-	-		
10/07	ISHARES TRUST ISHARES BARCLAYS	464287176	Dividend, Non-Qual	\$13.36	-	-		
11/08	ISHARES TRUST ISHARES BARCLAYS	464287176	Dividend, Non-Qual	\$96.34	-	-		
12/07	ISHARES TRUST ISHARES BARCLAYS	464287176	Dividend, Non-Qual	\$58.58	-	-		
12/31	ISHARES TRUST ISHARES BARCLAYS	464287176	Dividend, Non-Qual	\$78.35	-	-		
12/31	ISHARES TRUST ISHARES BARCLAYS	464287176	Dividend, Non-Qual	-\$78.35	-	-		
12/31	ISHARES TRUST ISHARES BARCLAYS	464287176	Dividend, Non-Qual	\$67.27	-	-		
02/06	ISHARES TRUST	464287226	Dividend, Non-Qual	\$435.66	-	-		
03/06	ISHARES TRUST	464287226	Dividend, Non-Qual	\$369.46	-	-		
04/07	ISHARES TRUST	464287226	Dividend, Non-Qual	\$418.01	-	-		
06/07	ISHARES TRUST	464287226	Dividend, Non-Qual	\$392.30	-	-		
08/05	ISHARES TRUST	464287226	Dividend, Non-Qual	\$304.58	-	-		
07/06	ISHARES TRUST	464287226	Dividend, Non-Qual	\$338.26	-	-		
08/07	ISHARES TRUST	464287226	Dividend, Non-Qual	\$330.30	-	-		
09/08	ISHARES TRUST BARCLAYS U S	464287226	Dividend, Non-Qual	\$245.78	-	-		
10/07	ISHARES TRUST BARCLAYS U S	464287226	Dividend, Non-Qual	\$253.04	-	-		

This statement is not a substitute for Form 1099 and is provided for informational purposes only



J.P. MORGAN CLEARING CORP.
3 CHASE METROTECH CENTER
BROOKLYN NY 11245-0001

Account No: [REDACTED]
Account Name: JANIS G BERMAN
Taxpayer Identification Number: ON-FILE
Account Executive No: [REDACTED]

ORIGINAL: 12/31/09

2009 DIVIDEND & DISTRIBUTION DETAILS

DETAILS OF 2009 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
11/06	ISHARES TRUST BARCLAYS U S	464287226	Dividend, Non-Qual	\$222.73	-	-		
12/07	ISHARES TRUST BARCLAYS U S	464287226	Dividend, Non-Qual	\$242.80	-	-		
12/31	ISHARES TRUST BARCLAYS U S	464287226	Dividend, Non-Qual	\$207.53	-	-		
02/06	ISHARES TRUST	464287457	Dividend, Non-Qual	\$83.50	-	-		
03/06	ISHARES TRUST	464287457	Dividend, Non-Qual	\$87.92	-	-		
04/07	ISHARES TRUST	464287457	Dividend, Non-Qual	\$96.00	-	-		
05/07	ISHARES TRUST	464287457	Dividend, Non-Qual	\$113.42	-	-		
10/07	ISHARES TRUST BARCLAYS 1-3	464287457	Dividend, Non-Qual	\$35.48	-	-		
07/09	ISHARES TR RUSSELL MIDCAP	464287499	Dividend, Non-Qual	\$7.05	-	-		
09/28	ISHARES TR RUSSELL MIDCAP	464287499	Dividend, Non-Qual	\$5.37	-	-		
12/30	ISHARES TR RUSSELL MIDCAP	464287499	Dividend, Non-Qual	\$12.27	-	-		
03/30	ISHARES TRUST RUSSELL 1000	464287598	Dividend, Non-Qual	\$5.77	-	-		
07/09	ISHARES TRUST RUSSELL 1000	464287598	Dividend, Non-Qual	\$4.91	-	-		
09/28	ISHARES TRUST RUSSELL 1000	464287622	Dividend, Non-Qual	\$3.01	-	-		
12/30	ISHARES TRUST RUSSELL 1000	464287622	Dividend, Non-Qual	\$5.45	-	-		
07/09	ISHARES TRUST RUSSELL 2000	464287655	Dividend, Non-Qual	\$17.13	-	-		
09/28	ISHARES TRUST RUSSELL 2000	464287655	Dividend, Non-Qual	\$5.99	-	-		
12/30	ISHARES TRUST RUSSELL 2000	464287655	Dividend, Non-Qual	\$21.67	-	-		
10/01	JPMORGAN TR I PRIME MONEY MKT FD AGY CL	4812A2504	Dividend, Non-Qual	\$0.63	-	-		
11/02	JPMORGAN TR I PRIME MONEY MKT FD AGY CL	4812A2504	Dividend, Non-Qual	\$2.15	-	-		
12/01	JPMORGAN TR I PRIME MONEY MKT FD AGY CL	4812A2504	Dividend, Non-Qual	\$1.31	-	-		
12/31	JPMORGAN TR I PRIME MONEY MKT FD AGY CL	4812A2504	Dividend, Non-Qual	\$1.11	-	-		
01/27	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend, Non-Qual	\$198.17	-	-		

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J.P. MORGAN CLEARING CORP.
3 CHASE METROTECH CENTER
BROOKLYN NY 11245-0001

Account No: [REDACTED]
Account Name: JANIS G BERMAN
Taxpayer Identification Number: ON-FILE
Account Executive No: [REDACTED]

ORIGINAL: 12/31/09

2009 DIVIDEND & DISTRIBUTION DETAILS

2009 DIVIDEND & DISTRIBUTION DETAILS (continued)							
Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country
02/24	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend, Non-Qual	\$180.29	-	-	
03/24	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend, Non-Qual	\$189.88	-	-	
04/28	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend, Non-Qual	\$174.52	-	-	
05/27	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend, Non-Qual	\$179.99	-	-	
06/23	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend, Non-Qual	\$182.41	-	-	
07/26	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend, Non-Qual	\$196.04	-	-	
08/25	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend, Non-Qual	\$213.92	-	-	
09/22	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend, Non-Qual	\$187.25	-	-	
10/27	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend, Non-Qual	\$205.43	-	-	
11/24	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend, Non-Qual	\$203.62	-	-	
12/22	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend, Non-Qual	\$219.07	-	-	
12/09	**MATTHEWS PACIFIC TIGER FUND BOND FUND-CL A	577130107	Dividend, Non-Qual	\$89.78	-	-	
02/02	**OPPENHEIMER INTERNATIONAL BOND FUND-CL A	683607103	Dividend, Non-Qual	\$70.83	-	-	
03/02	**OPPENHEIMER INTERNATIONAL BOND FUND-CL A	683607103	Dividend, Non-Qual	\$72.99	-	-	
04/01	**OPPENHEIMER INTERNATIONAL BOND FUND-CL A	683607103	Dividend, Non-Qual	\$112.69	-	-	
05/01	**OPPENHEIMER INTERNATIONAL BOND FUND-CL A	683607103	Dividend, Non-Qual	\$118.28	-	-	

Foreign Tax Paid

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J.P. MORGAN CLEARING CORP.
3 CHASE METROTECH CENTER
BROOKLYN NY 11245-0001

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Taxpayer Identification Number: ON-FILE
Account Executive No: [REDACTED]

ORIGINAL: 12/31/09

2009 DIVIDEND & DISTRIBUTION DETAILS

DETAILS OF 2009 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
06/01	**OPENHEIMER INTERNATIONAL BOND FUND-CL A	68390T103	Dividend, Non-Qual	\$37.41	-	-	-	-
01/30	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend, Non-Qual	\$272.78	-	-	-	-
02/27	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend, Non-Qual	\$289.18	-	-	-	-
03/31	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend, Non-Qual	\$278.20	-	-	-	-
04/30	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend, Non-Qual	\$277.64	-	-	-	-
05/29	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend, Non-Qual	\$289.14	-	-	-	-
06/30	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend, Non-Qual	\$313.47	-	-	-	-
07/31	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend, Non-Qual	\$325.57	-	-	-	-
08/31	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend, Non-Qual	\$320.52	-	-	-	-
09/30	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend, Non-Qual	\$300.51	-	-	-	-
10/30	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend, Non-Qual	\$270.81	-	-	-	-
11/30	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend, Non-Qual	\$215.74	-	-	-	-
12/10	**PIMCO TOTAL RETURN FUND-CL A	683390445	Short S/T Cap Gains	\$561.50	-	-	-	-
12/31	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend, Non-Qual	\$195.87	-	-	-	-
06/01	**TCW FDS INC TOTAL RETURN BOND FD CL N	87234N841	Dividend, Non-Qual	\$565.77	-	-	-	-
07/01	**TCW FDS INC TOTAL RETURN BOND FD CL N	87234N841	Dividend, Non-Qual	\$585.77	-	-	-	-
08/03	**TCW FDS INC TOTAL RETURN BOND FD CL N	87234N841	Dividend, Non-Qual	\$548.62	-	-	-	-
09/01	**TCW FDS INC TOTAL RETURN BOND FD CL N	87234N841	Dividend, Non-Qual	\$531.48	-	-	-	-
10/01	**TCW FDS INC TOTAL RETURN BOND FD CL N	87234N841	Dividend, Non-Qual	\$497.19	-	-	-	-
11/02	**TCW FDS INC TOTAL RETURN BOND FD CL N	87234N841	Dividend, Non-Qual	\$482.90	-	-	-	-
12/02	**TCW FDS INC TOTAL RETURN BOND FD CL N	87234N841	Dividend, Non-Qual	\$462.90	-	-	-	-

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3 CHASE METROTECH CENTER
BROOKLYN NY 11245-0001

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Taxpayer Identification Number: ON-FILE

Account Executive No: [REDACTED]

ORIGINAL: 12/31/09

2009 DIVIDEND & DISTRIBUTION DETAILS

DETAILS OF 2009 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
12/29	**TCW FDS INC	87234N641	Dividend, Non-Qual	\$942.95	-	-		
TOTAL RETURN BOND FD CL N								
Total Non-Qualified Dividends (Included in Box 1a)				\$18,800.92				
06/29	ISHARES TRUST MSCI EAFE INDEX	464287465	Dividend	\$308.68	-	-\$24.18		Foreign Tax Paid
12/31	ISHARES TRUST MSCI EAFE INDEX	464287465	Dividend	\$144.68	-	-\$11.33		Foreign Tax Paid
07/09	ISHARES TR RUSSELL MIDCAP	464287499	Dividend	\$58.74	-	-		
09/28	ISHARES TR RUSSELL MIDCAP	464287499	Dividend	\$44.74	-	-		
12/30	ISHARES TR RUSSELL MIDCAP	464287499	Dividend	\$102.26	-	-		
03/30	ISHARES TRUST RUSSELL 1000	464287598	Dividend	\$136.19	-	-		
07/09	ISHARES TRUST RUSSELL 1000	464287598	Dividend	\$117.42	-	-		
03/30	ISHARES TRUST RUSSELL 1000	464287614	Dividend	\$49.47	-	-		
07/09	ISHARES TRUST RUSSELL 1000	464287614	Dividend	\$57.78	-	-		
09/28	ISHARES TRUST RUSSELL 1000	464287622	Dividend	\$85.11	-	-		
12/30	ISHARES TRUST RUSSELL 1000	464287622	Dividend	\$154.47	-	-		
07/09	ISHARES TRUST RUSSELL 2000	464287655	Dividend	\$31.48	-	-		
09/28	ISHARES TRUST RUSSELL 2000	464287655	Dividend	\$11.01	-	-		
12/30	ISHARES TRUST RUSSELL 2000	464287655	Dividend	\$39.85	-	-		
12/10	**IVV FDS INC	468000759	Dividend	\$63.08	-	-		
01/27	**LOOMIS SAYLES FDS II	543467284	Dividend	\$6.09	-	-		
02/24	**LOOMIS SAYLES FDS II	543467284	Dividend	\$5.54	-	-		
03/24	**LOOMIS SAYLES FDS II	543467284	Dividend	\$5.83	-	-		
04/28	**LOOMIS SAYLES FDS II	543467284	Dividend	\$5.37	-	-		

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J.P. MORGAN CLEARING CORP.
3 CHASE METROTECH CENTER
BROOKLYN NY 11245-0001

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Taxpayer Identification Number: ON-FILE

Account Executive No: [REDACTED]

ORIGINAL: 12/31/09

2009 DIVIDEND & DISTRIBUTION DETAILS

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
05/27	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend	\$5.53	-	-		
06/23	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend	\$5.61	-	-		
07/28	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend	\$6.03	-	-		
08/25	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend	\$6.58	-	-		
09/22	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend	\$5.76	-	-		
10/27	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend	\$6.32	-	-		
11/24	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend	\$6.26	-	-		
12/22	**LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	543487284	Dividend	\$6.74	-	-		
12/09	**MATTHEWS PACIFIC TIGER FUND MARKETS FUND-CL A	577130107	Dividend	\$190.74	-	-\$22.39		Foreign Tax Paid
12/08	**OPENHEIMER DEVELOPING MARKETS FUND-CL A	683974109	Dividend	\$116.96	-	-\$22.42		Foreign Tax Paid
01/30	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend	\$0.03	-	-		
02/27	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend	\$0.03	-	-		
03/31	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend	\$0.03	-	-		
04/30	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend	\$0.03	-	-		
05/29	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend	\$0.03	-	-		
06/30	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend	\$0.03	-	-		
07/31	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend	\$0.03	-	-		
08/31	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend	\$0.03	-	-		
09/30	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend	\$0.03	-	-		
10/30	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend	\$0.03	-	-		
11/30	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend	\$0.02	-	-		

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3 CHASE METROTECH CENTER
BROOKLYN NY 11245-0001

Account No: [REDACTED]
Account Name: JANIS G BERMAN
Taxpayer Identification Number: ON-FILE
Account Executive No: [REDACTED]

ORIGINAL: 12/31/09

2009 DIVIDEND & DISTRIBUTION DETAILS

DETAILS OF 2009 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
12/31	**PIMCO TOTAL RETURN FUND-CL A	683390445	Dividend	\$0.02	-	-	-	-
Total Qualified Dividends (Box 1b) Included in Box 1a)				\$1,786.86	-	-	-	-
Total Ordinary Dividends (Box 1a)				\$18,687.58	-	-	-	-
12/31	**PIMCO TOTAL RETURN FUND-CL A	683390445	LT Cap Gains	\$156.19	-	-	-	-
Total Capital Gains Distributions (Included in Box 2a)				\$156.19	-	-	-	-
Total Capital Gains (Box 2a)				\$156.19	-	-	-	-
04/07	ISHARES TRUST ISHARES BARCLAYS	464287176		-\$21.98	-	-	-	-
04/07	ISHARES TRUST ISHARES BARCLAYS	464287176		\$21.98	-	-	-	-
04/08	ISHARES TRUST ISHARES BARCLAYS	464287176		-\$28.87	-	-	-	-
05/07	ISHARES TRUST ISHARES BARCLAYS	464287176		\$28.87	-	-	-	-
05/07	ISHARES TRUST ISHARES BARCLAYS	464287176		\$28.87	-	-	-	-
05/08	ISHARES TRUST ISHARES BARCLAYS	464287176		\$17.19	-	-	-	-
06/05	ISHARES TRUST ISHARES BARCLAYS	464287176		\$18.03	-	-	-	-
07/08	ISHARES TRUST ISHARES BARCLAYS	464287176		\$19.90	-	-	-	-
08/07	ISHARES TRUST ISHARES BARCLAYS	464287176		\$42.69	-	-	-	-
09/08	ISHARES TRUST ISHARES BARCLAYS	464287176		\$2.20	-	-	-	-
10/07	ISHARES TRUST ISHARES BARCLAYS	464287176		\$15.87	-	-	-	-
11/06	ISHARES TRUST ISHARES BARCLAYS	464287176		\$9.65	-	-	-	-
12/07	ISHARES TRUST ISHARES BARCLAYS	464287176		\$11.08	-	-	-	-
12/31	ISHARES TRUST ISHARES BARCLAYS	464287176		\$187.46	-	-	-	-
Total Nondividend Distributions (Box 3)				\$187.46	-	-	-	-
02/20	RESERVE PRIMARY FUND CLASS	761066886	Liquidations	\$1,223.06	-	-	-	-
TREASURER TRUST								

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Account Executive No: [REDACTED]

ORIGINAL: 12/31/09

2009 DIVIDEND & DISTRIBUTION DETAILS

DETAILS OF 2009 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
04/17	RESERVE PRIMARY FUND CLASS TREASURER TRUST	761056886	Liquidations	\$827.58	-	-		
10/02	RESERVE PRIMARY FUND CLASS TREASURER TRUST	761056886	Liquidations	\$359.80	-	-		
Total Cash Liquidation Distributions (Box 8)				\$2,410.44				
Total Foreign Tax Paid (Box 6)						\$90.86		

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ORIGINAL: 12/31/09

2009 INTEREST INCOME DETAILS

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
TOTAL CREDIT INTEREST				\$18.52	-	-	-	-
Total Interest Income Not Included In Box 3 (Box 1)				\$18.52	-	-	-	-
01/30	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD	\$895.57	-	-	-	-
02/27	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD	\$811.70	-	-	-	-
03/31	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD	\$923.15	-	-	-	-
04/30	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD	\$909.18	-	-	-	-
05/29	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD	\$888.62	-	-	-	-
06/30	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD	\$824.20	-	-	-	-
07/31	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD	\$881.43	-	-	-	-
08/31	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD	\$868.26	-	-	-	-
09/30	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD	\$854.69	-	-	-	-
10/30	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD	\$866.65	-	-	-	-
11/30	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD	\$870.08	-	-	-	-
12/31	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD	\$897.93	-	-	-	-
Sub Total Tax Exempt Interest No AMT Included In Box 8				\$10,491.46	-	-	-	-
01/30	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD/AMT	\$39.94	-	-	-	-

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Taxpayer Identification Number: ON-FILE
Account Executive No: [REDACTED]

ORIGINAL: 12/31/09

2009 INTEREST INCOME DETAILS

DETAILS OF 2009 FORM 1099-INT (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
02/27	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD/AMT	\$36.21	-	-		
03/31	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD/AMT	\$41.17	-	-		
04/30	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD/AMT	\$40.55	-	-		
05/29	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD/AMT	\$39.63	-	-		
06/30	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD/AMT	\$36.76	-	-		
07/31	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD/AMT	\$36.41	-	-		
08/31	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD/AMT	\$36.73	-	-		
09/30	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD/AMT	\$36.12	-	-		
10/30	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD/AMT	\$39.56	-	-		
11/30	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD/AMT	\$36.82	-	-		
12/31	**BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	09252Y101	Tax Exempt DIVD/AMT	\$40.05	-	-		
Total Specified Private Activity Bond Int (Box 9, incl in Box 8)				\$467.96				
Total Tax Exempt Interest (Box 8)				\$10,988.41				

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3 CHASE METROTECH CENTER
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ACCOUNT NO: [REDACTED]
ACCOUNT NAME: JANIS G BERMAN
TAXPAYER IDENTIFICATION NUMBER: ON-FILE
ACCOUNT EXECUTIVE NO: [REDACTED]

ORIGINAL: 12/31/09

2009 INVESTMENT DETAILS

DETAILS OF 2009 PURCHASES AND SALES						
Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount
01/06	**BLACKROCK CALIFORNIA INSURED MUNICIPAL BOND FD CL A	09252Y101	Bought	485.96	\$10.60	\$5,151.19
09/18	ISHARES TRUST BARCLAYS 1-3 YEAR TREASURY BD FD	464287457	Bought	341.00	\$83.80	\$28,575.80
05/08	ISHARES TRUST MSCI EAFE INDEX FUND	464287465	Bought	301.00	\$45.81	\$13,788.81
10/06	ISHARES TRUST MSCI EAFE INDEX FUND	464287465	Bought	139.00	\$54.45	\$7,568.27
05/08	ISHARES TR RUSSELL MIDCAP INDEX FD	464287498	Bought	207.00	\$65.85	\$13,630.85
10/06	ISHARES TR RUSSELL MIDCAP INDEX FD	464287499	Bought	74.00	\$77.91	\$5,765.34
09/18	ISHARES TRUST RUSSELL 1000 INDEX FUND	464287622	Bought	364.00	\$58.17	\$21,539.52
10/06	ISHARES TRUST RUSSELL 1000 INDEX FUND	464287622	Bought	139.00	\$58.05	\$8,068.67
05/08	ISHARES TRUST RUSSELL 2000 INDEX FD	464287655	Bought	289.00	\$50.97	\$13,710.83
10/06	ISHARES TRUST RUSSELL 2000 INDEX FD	464287655	Bought	126.00	\$60.16	\$7,579.91
05/08	**IVY FDS INC ASSET STRATEGY FD CL A	466000759	Bought	688.60	\$18.30	\$13,280.00
08/31	**MATTHEWS PACIFIC TIGER FUND	577130107	Bought	1,686.68	\$16.43	\$27,858.68
05/08	**OPENHEIMER DEVELOPING MARKETS FUND-CL A	883674109	Bought	648.81	\$20.49	\$13,290.00
10/06	**OPENHEIMER DEVELOPING MARKETS FUND-CL A	883674109	Bought	164.88	\$26.81	\$4,437.00
05/08	**PIMCO TOTAL RETURN FUND-CL A	883380445	Bought	812.90	\$10.31	\$8,381.00
05/08	**TCW FDS INC TOTAL RETURN BOND FD CL N	87234N641	Bought	6,857.79	\$9.69	\$66,452.00

END OF 2009 DETAILS

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INVESTMENT PROFESSIONAL
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Swap Program Activity	8
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Your Portfolio at a Glance

TOTAL VALUE OF SECURITIES THIS PERIOD	896,968
SWEEP PROGRAM BALANCE	16,700
NET EQUITY THIS PERIOD	\$713,668
NET EQUITY LAST STATEMENT	715,818
CHANGE SINCE LAST STATEMENT	-2,150

Cash & Money Market

\$17,039
\$16,272

Equities

\$182,141
\$182,115

Money Funds

\$508,808
\$897,330

Market Value of Your Portfolio

Current market value
Last statement's market value

If any information regarding 2009 interest, dividends, miscellaneous income, gross proceeds or original issue discount is required to be reported to the IRS for this account, a Consolidated Form 1099 will be mailed to you no later than February 15, 2010, or a Form 1042S by March 15, 2010. The economic stimulus legislation (Public Law 110-343) enacted on October 3, 2008, may allow the extension of the 1099 mailing deadline generally from January 31 to February 15.

Please report any differences or discrepancies of checks or credits, indicated as delivered to you, to Client Services at 800-424-1428, or write to Client Services at J.P. Morgan Clearing Corp., One Westwood Center North, Beverly, N.Y. 11201-3888

SPC This summary is for informational purposes only. It is not intended as a tax document. This statement should be retained for your records. See reverse side for important information.

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Portfolio Value

	THIS PERIOD	LAST PERIOD
Assets		
Sweep Program/Money Mkt Fds	17,638.68	19,372.82
Equities	189,141.00	189,116.00
Mutual Funds	506,888.00	507,330.00
Total Assets	\$713,667.68	\$715,817.82
NET PORTFOLIO VALUE	\$713,667.88	\$715,817.82

Cash Flow Analysis

	THIS PERIOD	THIS YEAR
Opening Cash/Sweep Prog.	\$18,434.19	\$19,102.96
Securities Sold	0.00	264,580.77
Dividends/Other Inc (Credit)	3,848.98	29,840.34
Sweep Program Deficit	1.31	4.08
Amount Credited	\$3,848.29	\$294,425.20
Securities Bought	0.00	-259,089.07
Dividends/Other Inc (Debit)	0.00	-359.67
Miscellaneous (Debit)	0.00	-8,422.35
Funds Paid/Deferred	-5,582.43	-28,967.01
Amount Debited	\$-5,582.43	\$-296,828.10
Net Cash/Sweep Prog. Act.	-1,734.14	-2,402.80
Closing Cash/Sweep Prog.	\$16,700.05	\$16,700.06

Income Summary

	THIS PERIOD	YEAR TO DATE
Dividends	2,939.39	17,514.54
Other Dividends	908.90	10,021.43
Credit Balance Inc.	0.00	18.52
Total	\$3,848.29	\$27,554.49

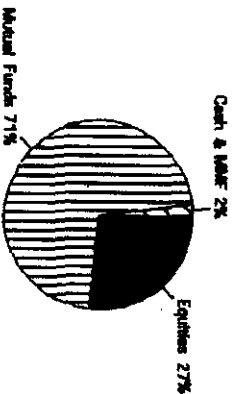
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STATEMENT PERIOD December 1, 2008
THROUGH December 31, 2008

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Your Portfolio Allocation



Underlined portions denote debt balances greater than market values. The allocation percentage is derived from the absolute market value of your portfolio.

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Your Portfolio Holdings

The total cost basis for each security position and the unrealized gain/loss are provided solely as a general indication of performance and should not be used for tax purposes or otherwise relied upon without the assistance of your tax advisor. Unrealized gain/loss total reflects only those positions for which a cost basis is available or has been provided to J.P. Morgan Clearing Corp.

CASH & MONEY MARKET FUNDS

DESCRIPTION	TYPE	QUANTITY	PRICE	MARKET VALUE	COST	UNREALIZED GAIN/LOSS
JPMORGAN TR I	CASH	16,700.06	1.00	16,700	16,700	
PRIME MONEY MKT FD AGY CL						
SYMBOL: VMXX						
Sub Total of Cash & Sweep Money Market Fund						
				\$16,700	\$16,700	\$0
RESERVE PRIMARY FUND CLASS	CASH	1,489.90	0.63	939	939	
TREASURER TRUST						
SYMBOL: APFX						
FUND CLOSED						
SUBJECT TO LIQUIDATION PLAN						
ACTUAL MAY HAVE DIFFER						
TOTAL CASH & MONEY MARKET FUNDS						
				\$17,639	\$17,639	\$0

EQUITIES

Equities & Options

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
ISHARES TRUST RUSSEL 2000	CASH	06/09/09	243	82.44	15,173	55.73	13,543	1,630	246	1.62
MODEL FD		10/06/09	117		7,306	50.97	5,963	1,342 ST		
SYMBOL: NMM			126		7,867	60.16	7,580	288 ST		
ISHARES TRUST RUSSEL 1000	CASH	09/18/08	503	61.31	30,839	58.86	28,608	1,231	640	2.08
MODEL FUND		10/06/09	364		22,317	59.17	21,540	777 ST		
SYMBOL: NMM			139		8,522	58.05	8,069	453 ST		

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STATEMENT PERIOD December 1, 2008
THROUGH December 31, 2009

Your Portfolio Holdings (continued)

Equities & Options (Continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
ISHARES TR RUSSELL MIDCAP INDEX FUND	CASH	05/08/09	281	82.51	23,185	69.03	19,396	3,789	458	1.96
SYMBOL: RNR		10/08/09	74		17,079	65.85	13,631	3,448 ST		
ISHARES TRUST MSCI EAFE INDEX FUND	CASH	05/08/09	289	55.28	14,870	50.27	13,524	1,346	388	2.61
SYMBOL: EFA		10/08/09	130		7,186	45.81	5,956	1,231 ST		
ISHARES TRUST BARCLAYS US AGGR BOND FUND	CASH	03/26/07	688	103.19	70,995	100.46	69,118	1,877 LT	2,759	3.89
SYMBOL: AGG										
ISHARES TRUST BARCLAYS TREAS INFLATION PROTECTED SECS FUND	CASH	03/29/07	328	103.90	34,079	103.34	33,894	185	1,326	3.89
SYMBOL: TIP		08/27/08	226		23,481	101.38	22,912	569 LT		
Total Equities & Options					\$189,141		\$179,083	\$10,058	\$5,817	
TOTAL EQUITIES					\$189,141		\$179,083	\$10,058	\$5,817	

MUTUAL FUNDS

A maximum of two tax lots per position will be reported in the mutual funds section. These will be the aggregate totals of the long-term holdings and the short-term holdings respectively.

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	CASH		22,358,925	11.28	252,220	10.98	245,602	6,619	10,464	4.16
SYMBOL: MCKMX			21,873,964		246,738	10.99	240,450	6,288 LT		
			485,961		5,482	10.60	5,151	330 ST		

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Your Portfolio Holdings (continued)

MUTUAL FUNDS (Continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAINS/LOS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
NY FDS INC ASSET STRATEGY FD CL A SYMBOL: WMSAX	CASH		688.801	22.28	15,342	19.30	13,280	2,052	63	0.41
LOOKAS SAVLES FDS II STRATEGIC INCOME FD CL A SYMBOL: NRTX	CASH		3,123.177	13.80	43,100	13.53	42,269	831 LT	2,402	5.57
MATTHEWS PACIFIC TIGER FUND SYMBOL: MATPX	CASH		1,885.659	19.23	32,608	16.43	27,860	4,748	248	0.76
OPENHEIMER DEVELOPING MARKETS FUND CL A SYMBOL: ODMAX	CASH		813.492	26.76	23,396	21.79	17,727	5,669	94	0.40
PRIMO TOTAL RETURN FUND-CL A SYMBOL: PTTAX	CASH		6,462.212 5,649.312 812.900	10.80	69,792 61,013 8,779	10.35 10.36 10.31	66,908 58,527 8,381	2,884 2,486 LT 398 ST	3,632	5.20
TCW FDS INC TOTAL RETURN BOND FD CL N SYMBOL: TRBAX	CASH		6,857.792	10.27	70,430	8.69	66,452	3,978	6,515	8.25
TOTAL MUTUAL FUNDS					\$506,886		\$480,106	\$26,781	\$23,418	

YOUR PORTFOLIO HOLDINGS ESTIMATED ANNUAL INCOME	\$28,235
YOUR PRICED PORTFOLIO HOLDINGS	\$713,668

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STATEMENT PERIOD December 1, 2008
THROUGH December 31, 2008

Transaction Detail

DIVIDENDS / INTEREST / OTHER INCOME

ACCOUNT NUMBER [REDACTED]
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DIVIDENDS

DATE	DESCRIPTION	STANDARD	DEBIT	CREDIT	DEBIT AMOUNT	CREDIT AMOUNT
12/07/08	BLACKROCK CALIFORNIA MIDCAP BOND FUND INC CL A RECORD 00/00/00 PAY 11/30/09	NECMAX				908.80
12/07/08	PIMCO TOTAL RETURN FUND-CL A RECORD 00/00/00 PAY 11/30/09	PTTAX				215.76
12/02/09	TCW FDS INC TOTAL RETURN BOND FD CL M RECORD 11/27/09 PAY 11/30/09	TGMNIX				462.90
12/07/08	ISHARES TRUST BARCLAYS U S AGG BOND FUND REC 12/03/09 PAY 12/07/09	AGG	688	0.3528		242.80
12/07/08	ISHARES TRUST ISHARES BARCLAYS TREAS INFLATION PROTECTED SECS FD REC 12/03/09 PAY 12/07/09	TP	328	0.2080		88.23
12/06/09	OPEN-EMER DEVELOPING MARKETS FUND-CL A RECORD 12/04/09 PAY 12/07/09	ODMAX				94.54
12/10/09	MATTHEWS PACIFIC TIGER FUND RECORD 12/08/09 PAY 12/08/09	MAPTX				247.57
12/10/09	PIMCO TOTAL RETURN FUND-CL A LT CAPITAL DIV RECORD 12/08/09 PAY 12/09/09	PTTAX				156.18
12/10/09	PIMCO TOTAL RETURN FUND-CL A ST CAP-GROW DIV RECORD 12/08/09 PAY 12/09/09	PTTAX				581.50
12/11/09	WY FDS INC ASSET STRATEGY FD CL A RECORD 12/08/09 PAY 12/10/09	WASAX				63.08

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Transaction Detail (continued)

DIVIDENDS / INTEREST / OTHER INCOME (Continued)

DIVIDENDS (Continued)

DATE	DESCRIPTION	SYMBOL	QUANTITY	RATE	NET AMOUNT	CREDIT AMOUNT
12/21/09	BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC CL A	MECHX				130.58
12/23/09	LOOMIS SATHLES FDS II STRATEGIC INCOME FD CL A	NETZX				225.81
12/30/09	RECORD 12/21/09 PAY 12/22/09					
12/30/09	ISHARES TRUST RUSSELL 2000 INDEX FD	MMW	243	0.2631		61.52
12/30/09	RECORD 12/28/09 PAY 12/30/09					
12/30/09	ISHARES TRUST RUSSELL 1000 INDEX FUND	MMB	503	0.3178		158.92
12/30/09	RECORD 12/28/09 PAY 12/30/09					
12/30/09	ISHARES TR RUSSELL MIDCAP INDEX FD	MWR	281	0.4075		114.53
12/31/09	RECORD 12/28/09 PAY 12/30/09					
12/31/09	ISHARES TRUST MSCI EAFE INDEX FUND	EFA	269	0.4957		133.35
12/31/09	RECORD 12/24/09 PAY 12/31/09					
TOTAL DIVIDENDS(CREDIT)						\$3,846.98

SWEEP PROGRAM ACTIVITY

DATE	DESCRIPTION	SYMBOL	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
12/01/09	OPENING BALANCE		18,434.18			
12/01/09	DIVIDEND	JPMORGAN TR 1				1.31
12/01/09	PROBE MONEY AMT FD AGY CL	VMDDX				
12/01/09	MONTHLY DIVIDEND 11/01-11/30					

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Transaction Detail (continued)

SWEEP PROGRAM ACTIVITY (Continued)

DATE	DESCRIPTION	STANDARD	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
12/01/08	REINVEST	VMIXX	1.31			
12/01/08	JPMORGAN TR I PRIME MONEY MKT FD AGY CL MONTHLY DIVIDEND REINVESTED	VMIXX	-1,090.11			1,090.11
12/01/08	JPMORGAN TR I PRIME MONEY MKT FD AGY CL WITHDRAWAL PURCHASE	VMIXX	462.80		462.80	
12/02/08	JPMORGAN TR I PRIME MONEY MKT FD AGY CL WITHDRAWAL PURCHASE	VMIXX	310.83		310.83	
12/07/08	JPMORGAN TR I PRIME MONEY MKT FD AGY CL WITHDRAWAL PURCHASE	VMIXX	94.54		94.54	
12/08/08	JPMORGAN TR I PRIME MONEY MKT FD AGY CL WITHDRAWAL PURCHASE	VMIXX	965.26		965.26	
12/10/08	JPMORGAN TR I PRIME MONEY MKT FD AGY CL WITHDRAWAL PURCHASE	VMIXX	63.08		63.08	
12/11/08	JPMORGAN TR I PRIME MONEY MKT FD AGY CL WITHDRAWAL PURCHASE	VMIXX	130.58		130.58	
12/21/08	JPMORGAN TR I PRIME MONEY MKT FD AGY CL WITHDRAWAL PURCHASE	VMIXX	225.81		225.81	
12/23/08	JPMORGAN TR I PRIME MONEY MKT FD AGY CL WITHDRAWAL PURCHASE	VMIXX	335.97		335.97	
12/31/08	JPMORGAN TR I PRIME MONEY MKT FD AGY CL WITHDRAWAL PURCHASE	VMIXX	-3,244.31			3,244.31

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Transaction Detail (continued)

DATE	DESCRIPTION	SYMBOL	CURRENCY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
12/31/08	SWEEP PROGRAM ACTIVITY (Continued)					
12/31/08	SWEEP PROGRAM DIVIDEND					\$1.31
12/31/08	NET SWEEPING ACTIVITY				\$2,580.28	\$4,324.42

FUNDS PAID / DELIVERED

DATE	DESCRIPTION	SYMBOL	CURRENCY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
12/01/08	ACH BANK TRF					
12/01/08	322271627 876-306777-1					2,204.77
12/01/08	000000001 000001981					
12/31/08	ACH BANK TRF					
12/31/08	322271627 876-306777-1					3,377.66
12/31/08	000000001 000000178					
	TOTAL FUNDS PAID / DELIVERED					\$6,582.43

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Your messages



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You are advised to promptly report any inaccuracy or discrepancy in your account to your broker and J.P. Morgan Clearing Corp. ("JPMACT") at the telephone numbers listed on the front of this statement. In order to protect your rights, including any rights under the Securities Investor Protection Act ("SIPA"), any such communications should be reconfirmed in writing to your broker and JPMACT at the addresses which appear on the front of this statement.



New Wire Instruction Information

Effective immediately, US dollar wire transfers to your brokerage account should be addressed as follows:

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FBO JPMACT
DDA #066001633
For further credit to: "Your account number"
"Your account name"



Compensation Received In Connection With Mutual Fund Transactions

Advisers, distributors or other affiliates of certain mutual funds (which include money market and non-money market funds) may enter into arrangements to pay brokers that distribute their shares for administrative, technological or other services, including marketing and other support services provided to such funds or their affiliates. These fees, commonly referred to as "revenue sharing," are separate from and in addition to any shareholder servicing or distribution fees that a mutual fund pays out of its own assets pursuant to its Rule 12b-1 plan and other expenses which are described in a fund's prospectus fee table. Revenue sharing fees are paid out of the assets of the fund affiliate and not from the fund's assets and, therefore, have no impact on a fund's expense ratio or yield.

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Your messages (continued)



With respect to money market funds, J.P. Morgan Clearing Corp. (JPMMC) negotiates revenue sharing payments separately with each fund family and not all fund families pay the same amount or pay according to the same formula. These payments are calculated based on a percentage of the dollar value of the fund assets held by JPMMC in customer accounts. Pursuant to agreements with the various fund families, JPMMC may receive up to 0.56% per year of the average daily assets of fund shares carried in customers' accounts at JPMMC. Please note, however, that the actual amount received by JPMMC is subject to periodic waivers by fund families and such waivers may significantly reduce the actual amount received by JPMMC. Money market funds whose affiliates do not make revenue sharing payments to JPMMC are generally not offered or recommended by JPMMC to its customers. These money market funds may in some cases have higher yields than money market funds whose affiliates do make revenue sharing payments. There is, therefore, a potential conflict of interest in the form of an additional financial incentive to J.P. Morgan for making available to customers money market funds whose affiliates enter into revenue sharing arrangements. In certain circumstances, a portion of these revenue sharing payments may be passed on as compensation to certain Private Client Services account executives.



With respect to non-money market funds, JPMMC has entered into an arrangement with a financial institution to provide administrative and clearing services. This service provider has distribution or servicing arrangements directly with fund companies, pursuant to which the service provider receives compensation from the fund companies of up to 0.45% per year of the average assets of domestic fund shares held by the service provider. Pursuant to its arrangement with JPMMC, the service provider will remit to JPMMC, in an aggregated amount, all of the payments it receives from fund families with respect to non-money fund shares carried in accounts at JPMMC. Since these payments are made on an aggregate basis, JPMMC cannot determine the amount a particular fund company pays or whether all or a portion of any such payment includes revenue sharing from the fund's adviser, distributor or other affiliate. JPMMC does not pay its Private Client Services account executives different amounts based on the payments it receives from the service provider and has not identified any other conflicts of interest that may result from its arrangement with the service provider.

STATEMENT PERIOD December 1, 2008
THROUGH December 31, 2008

ACCOUNT NUMBER [REDACTED]
TRANSFER NUMBER On File
LAST STATEMENT November 30, 2008

BEAR STEARNS

a division of J.P. Morgan

OFFICE SERVING YOUR ACCOUNT
J.P. Morgan Securities Inc.
1999 Avenue of the Stars
Los Angeles, CA 90067-6117
(310) 201-2600

CLEARED THROUGH RTS
WHOLLY OWNED SUBSIDIARY
J.P. Morgan Clearing Corp.
One Madison Center North
Brooklyn, New York 11201-3839
(212) 272-3000
JAMES G. BERMAN

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Your messages (continued)



The prospectus and statement of additional information of mutual funds available through J.P. Morgan may contain additional information regarding revenue sharing payments made by affiliates of the fund companies.



Important Information Regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements. Accordingly, investors should not rely on pricing information appearing in their statements with respect to ARS. Where J.P. Morgan Clearing Corp. was unable to obtain a price from an outside service for a particular ARS, the price column on your statement will indicate "unpriced."

STOP

***** End of Statement *****

STATEMENT PERIOD December 1, 2008
THROUGH December 31, 2009

ACCOUNT NUMBER [REDACTED]
TRANSACTION NUMBER On File
LAST STATEMENT November 30, 2009

Realized Gain/Loss Report

7/12/10 12:39 pm

Page 1 of 3

(Excluding Zero Gain/Loss and Sort Order: Short/Long Term Gain-Short/Long Term Loss, Without Outside Holdings)

(From 01/01/2009 To 12/31/2009)

Account: [REDACTED]
Business: [REDACTED]

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
LONG TRANSACTIONS										
Common Stock										
318.00	ISHARES TRUST RUSSELL 1000 GROWTH INDEX FD		11/3/08	39.700	12,624.80	8/18/09	46.888	14,910.63	2,286.03	
										Symbol: IMF
171.00	ISHARES TRUST MSCI EAFE INDEX FUND		5/9/09	45.810	7,833.51	9/18/09	55.899	9,524.45	1,690.94	
										Symbol: EFA
152.00	ISHARES TRUST RUSSELL 2000 INDEX FD		5/9/09	50.970	7,747.44	9/18/09	82.003	9,424.51	1,677.07	
										Symbol: IWM
359.00	ISHARES TRUST RUSSELL 1000 VALUE INDEX FD		11/3/08	53.010	19,030.59	9/18/09	56.829	20,401.44	1,370.85	
										Symbol: IWD
608.00	ISHARES TRUST BARCLAYS 1-3 YEAR TREASURY BD FD		6/27/08	82.810	46,870.46	5/8/09	83.749	47,402.13	531.67	
341.00	ISHARES TRUST BARCLAYS 1-3 YEAR TREASURY BD FD		9/18/09	83.800	28,575.80	10/8/09	83.970	28,633.71	57.91	
										Symbol: SHY
Sub Total of Security										
248.00	ISHARES TRUST BARCLAYS U S AGGR BOND FUND		3/26/07	100.462	24,914.57	8/31/09	103.787	25,741.73	827.16	
										Symbol: AGG

The information contained herein has been obtained from sources deemed to be reliable but not guaranteed for accuracy by J.P. Morgan Securities, a division of J.P. Morgan Securities Inc. All closing transactions will be treated on a FIFO basis unless an accurately specified versus purchase trailer is entered.

Unless your investment Professional has updated all acquisition costs for securities not initially purchased at J.P. Morgan Securities, has updated lots purchased prior to 1995, and reviewed the effects of corporate actions and journal entries, this report may contain inaccuracies. Please use your monthly statement as the Official record of your activity. Contact your investment Professional for additional information.

Due to the reporting requirements of some financial transactions, the information on this report may not match the 1099.

Realized Gain/Loss Report

7/12/10 12:39 pm
Page 2 of 3

(Excluding Zero Gain/Loss and Sort Order: Short/Long Term Gain-Short/Long Term Loss, Without Outside Holdings)
(From 01/01/2009 To 12/31/2009)

Account: Berman, Janie
Business:

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
----------	-------------	---------------	---------------	---------------------	------	-----------	-----------------	----------	----------------------	---------------------

LONG TRANSACTIONS

Common Stock

114.00	ISHARES TRUST BARCLAYS 1-3 YEAR TREASURY BD FD		3/28/07	80.440	9,170.16	5/8/09	83.749	9,547.42		377.26
		Symbol: SHY								
69.00	ISHARES TRUST BARCLAYS U S AGGR BOND FUND		3/28/07	100.462	6,931.88	1/8/09	104.338	7,189.31		267.43
		Symbol: AGG								
62.00	ISHARES TRUST BARCLAYS U S AGGR BOND FUND		3/28/07	100.462	6,224.02	10/8/09	104.877	6,443.22		219.20
		Symbol: AGG								
203.00	ISHARES TRUST BARCLAYS U S AGGR BOND FUND		3/28/07	100.462	20,393.79	5/8/09	101.057	20,514.63		120.84
		Symbol: AGG								
Sub Total of Security					32,549.89			33,157.16	0.00	607.47

5,743.32	**OPPENHEIMER INTERNATIONAL BOND FUND-CL A		3/28/07	6.120	35,149.11	5/8/09	5.890	33,828.15		(1,320.96)
		Symbol: OIBAX								

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Realized Gain/Loss Report

7/12/10 12:36 pm

Page 3 of 3

(Excluding Zero Gain/Loss and Sort Order: Short/Long Term Gain-Short/Long Term Loss, Without Outside Holdings)
(From 01/01/2008 To 12/31/2009)

Account : Berman, Janie
Business:

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
----------	-------------	---------------	---------------	---------------------	------	-----------	-----------------	----------	----------------------	---------------------

LONG TRANSACTIONS

Mutual Fund
2,683.50 **BLACKROCK CALIFORNIA MUNICIPAL BOND FUND INC
CL A Symbol: MECMX 3/26/07 11,540 30,957.59 6/9/09 11,030 29,599.00 (1,368.59)

Sub Total of LONG TRANSACTIONS :

	255,433.52	262,170.33	7,614.47	(877.86)
Grand Total:	255,433.52	262,170.33	7,614.47	(877.86)

The information contained herein has been obtained from sources deemed to be reliable but not guaranteed for accuracy by J.P. Morgan Securities, a division of J.P. Morgan Securities Inc. All closing transactions will be treated on a FIFO basis unless an accurately specified versus purchase trailer is entered.

Unless your Investment Professional has updated all acquisition costs for securities not initially purchased at J.P. Morgan Securities, has updated lots purchased prior to 1986, and reviewed the effects of corporate actions and journal entries, this report may contain inaccuracies. Please use your monthly statement as the Official record of your activity. Contact your Investment Professional for additional information.

Howard Berman UBS Purchases 2009

Acct: [REDACTED]
 Type: RHA Status: Active [REDACTED]
 Address: Howard Berman [REDACTED]
 [REDACTED]
 [REDACTED]

Total Value	Spells	Money Funds/Swaps	Debt/Credit Balance	Funds Available	Trading Power
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

Generated On: 07/06/2010

Accounts In View: [REDACTED]

UBS Financial Services

Filtered by: Entry Date 02/01/2008-12/31/2009, Reinvestment

Entry Date	Settle Date	Activity	Description	Symbol / Security	Type	Quantity	Price/Detail	Amount
12/31/09	12/30/09	REINVESTMENT	PIMCO COMMODITY REAL RETURN STRATEGY FUND	MPPIAN-5450T3	1	62.819	REINVEST DIV	-\$315.74
12/24/09	12/22/09	REINVESTMENT	VIRTUS FOREIGN OPPORTUNITIES	MPVPAV-524803	1	16.747	REINVEST DIV	-\$31.43
12/10/09	12/08/09	REINVESTMENT	PIMCO COMMODITY REAL RETURN STRATEGY FUND	MPPIAN-5450T3	1	33.205	REINVEST DIV	-\$263.96
12/03/09	12/03/09	REINVESTMENT	FT-FRANKLIN GOLD & PREC MET A	MPFKGL-3440M1	1	7.234	REINVEST DIV	-\$13.76
12/03/09	12/03/09	REINVESTMENT	FT-FRANKLIN INCOME A DIVIDEND REINVESTED	MPFKCI-544F71	1	39.136	REINVEST DIV	-\$78.67
12/03/09	12/03/09	REINVESTMENT	FT-FRANKLIN GOLD & PREC MET A	MPFKGL-3440M1	1	40.365	REINVEST DIV	-\$1,751.91
12/03/09	12/03/09	REINVESTMENT	FT-FRANKLIN GOLD & PREC MET A	MPFKGL-3440M1	1	3.545	REINVEST DIV	-\$153.79
11/04/09	11/04/09	REINVESTMENT	FT-FRANKLIN INCOME A DIVIDEND REINVESTED	MPFKCI-544F71	1	39.863	REINVEST DIV	-\$78.17
10/08/09	10/08/09	REINVESTMENT	FT-FRANKLIN INCOME A DIVIDEND REINVESTED	MPFKCI-544F71	1	39.626	REINVEST DIV	-\$77.67
09/18/09	09/17/09	REINVESTMENT	PIMCO COMMODITY REAL RETURN STRATEGY FUND	MPPIAN-5450T3	1	39.890	REINVEST DIV	-\$305.16
09/03/09	09/03/09	REINVESTMENT	FT-FRANKLIN INCOME A DIVIDEND REINVESTED	MPFKCI-544F71	1	40.611	REINVEST DIV	-\$77.16
08/05/09	08/05/09	REINVESTMENT	FT-FRANKLIN INCOME A DIVIDEND REINVESTED	MPFKCI-544F71	1	40.136	REINVEST DIV	-\$76.66
07/23/09	06/30/09	REINVESTMENT	BLACKROCK REAL ASSET EQUITY TRUST	BCF-0502L7	1	31.000	INCHREP	-\$264.54
07/10/09	06/30/09	REINVESTMENT	BLACKROCK REAL ASSET EQUITY TRUST	BCF-0502L7	1	27.000	DIVIDEND	-\$263.93
07/06/09	07/05/09	REINVESTMENT	FT-FRANKLIN INCOME A DIVIDEND REINVESTED	MPFKCI-544F71	1	42.531	REINVEST DIV	-\$76.13
06/22/09	06/18/09	REINVESTMENT	PIMCO COMMODITY REAL RETURN STRATEGY FUND	MPPIAN-5450T3	1	30.827	REINVEST DIV	-\$220.82
06/03/09	06/03/09	REINVESTMENT	FT-FRANKLIN INCOME A DIVIDEND REINVESTED	MPFKCI-544F71	1	42.948	REINVEST DIV	-\$75.59
05/05/09	05/05/09	REINVESTMENT	FT-FRANKLIN INCOME A DIVIDEND REINVESTED	MPFKCI-544F71	1	45.199	REINVEST DIV	-\$75.03
04/03/09	04/03/09	REINVESTMENT	FT-FRANKLIN INCOME A DIVIDEND REINVESTED	MPFKCI-544F71	1	48.531	REINVEST DIV	-\$74.45
03/23/09	03/19/09	REINVESTMENT	PIMCO COMMODITY REAL RETURN STRATEGY FUND	MPPIAN-5450T3	1	28.723	REINVEST DIV	-\$180.38
03/04/09	03/04/09	REINVESTMENT	FT-FRANKLIN INCOME A DIVIDEND REINVESTED	MPFKCI-544F71	1	51.594	REINVEST DIV	-\$73.76
02/04/09	02/04/09	REINVESTMENT	FT-FRANKLIN INCOME A DIVIDEND REINVESTED	MPFKCI-544F71	1	45.472	REINVEST DIV	-\$73.21

¹ Total Reinvestment Amount: -\$5,403.90

Rows: 1 - 22 of 22

¹ The "Total" is a sum of the values displayed in the "Amount" column.

* The above card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. Commission and fees for Equity trades are estimates, and may not match what is charged to the account. Fixed Income agency trades may not include commission and fees. Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some fees are not included intraday.

This report is for informational purposes only and may or may not include all holdings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The Firm's periodic account statements and official tax documents are the only official record of client accounts and are not superseded, replaced, or amended by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, for tax purposes or otherwise.

Howard Berman UBS Purchases 2009

Acct: [REDACTED]
 Type: RMA Status: Active [REDACTED]
 Address: Howard Berman [REDACTED]
 [REDACTED]
 [REDACTED]

Total Value	Equity	Money Funds/Sweep	Debit/Credit Balance	Funds Available	Buying Power
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

Generated On: 07/08/2010

Account in View: [REDACTED]

UBS Financial Services

Filtered by: Entry Date 01/01/2009-12/31/2009, Bought

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
12/30/09	12/30/09	BOUGHT	KINETICS OF THE WATER INFRASTRUCTURE FUND Trade#-57234 Blot:37	MPKIAA-52GRS1	1	2,134.472	\$0.370	-\$20,005.25
11/05/09	11/05/09	BOUGHT	VERIZON COMMUNICATIONS INC Trade#-41985 Blot:85	VZ-4928A3	1	250.000	\$29.820	-\$7,617.98
09/24/09	09/24/09	BOUGHT	CHESAPEAKE ENERGY CORP OKLA Trade#-65803 Blot:60	CHK-0821A2	1	225.800	\$28.108	-\$6,472.98
09/24/09	09/24/09	BOUGHT	XTO ENERGY INC Trade#-66132 Blot:80	XTO-6164T1	1	150.000	\$42.118	-\$6,457.05
08/06/09	08/06/09	BOUGHT	MOSAIC CO Trade#-37539 Blot:60	MOS-305A18	1	100.000	\$59.068	-\$5,917.38
07/08/09	07/08/09	BOUGHT	CONOCOPHILLIPS Trade#-08790 Blot:60	COP-1024W6	1	185.000	\$41.308	-\$7,798.82
05/29/09	05/29/09	BOUGHT	SUN TRUST BANK CD 6LD Trade#-19181 Blot:77	XPUTF-613HA7	1	20,000.000	\$100.000	-\$20,000.00
04/14/09	04/14/09	BOUGHT	BLACKROCK REAL ASSET EQUITY TRUST Trade#-59447 Blot:21	BCT-0592L7	1	1,000.000	\$7.170	-\$7,405.33
02/20/09	02/20/09	BOUGHT	SILVER WHEATON CORP UNSOLICITED Trade#-86184 Blot:21	SLW-429220	1	600.000	\$7.460	-\$4,539.54
02/05/09	02/05/09	BOUGHT	QUESTAR CORP Trade#-66351 Blot:21	STR-400751	1	175.000	\$33.050	-\$5,815.41
02/05/09	02/05/09	BOUGHT	ARENA PHARMACEUTICALS INC Trade#-58006 Blot:38	ARNA-0109P1	1	1,500.000	\$4.110	-\$6,220.86

¹ Total Bought Amounts: -\$88,348.98

Rows: 1 - 11 of 11

¹ The "Total" is a sum of the values displayed in the "Amount" column.

² The above card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. Commission and fees for equity trades are estimated, and may not match what is charged to the account. Fixed Income agency trades may not include commission and fees. Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some fees are not included intraday.

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Howard Berman UBS Purchases 2009

Acct: [REDACTED]
 Type: RMA Status: Active [REDACTED]
 Address: Howard Berman [REDACTED]
 [REDACTED]
 [REDACTED]

Total Value	Equity	Money Funds/Sweep	Debit/Credit Balance	Funds Available	Buying Power
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

Generated On: 07/06/2010 Accounts in View: [REDACTED] UBS Financial Services

Filtered by: Entry Date 02/01/2009-12/31/2009, Bought Pending Settlement

Entry Date	Settle Date	Activity	Description	Symbol / Security	Type	Quantity	Price/Detail	Amount
12/29/09	01/04/10	BOUGHT PENDING SETTLEMENT	MARKET VECTORS AGRIBUSINESS ETF Trade@:31263 Bid:60	MOO-276224	1	150.000	\$44.430	-\$6,808.85

¹ Total Bought Pending Settlement Amount: -\$6,808.85

Rows: 1 - 1 of 1

¹ The "Total" is a sum of the values displayed in the "Amount" column.

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Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. Commission and fees for Equity trades are estimates, and may not match what is charged to the account. Fixed Income agency trades may not include commission and fees. Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some fees are not included intraday.

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Resource Management Account
December 2009

Account name:
Account number:

HOWARD [REDACTED] BERMAN

Your Financial Advisor:
[REDACTED]

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See important information about your statement at the end of this document for more information.

Cash

Cash and money balances

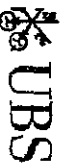
Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/interest period	Days in period
Cash	17,751.82	18,049.06	0.00			
UBS BANK USA DEF ACCT						
Total	\$17,751.82	\$18,049.06				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ARCHER DANIELS MIDLAND CO								
Symbol: ADM Exchange: NYSE								
EAL: \$112 Current yield: 1.79%	May 9, 08	200,000	42.588	8,694.93	31.310	6,262.00	-2,432.93	LT
ATRENA PHARMACEUTICALS INC								
Symbol: ARNA Exchange: OTC	Feb 2, 09	750,000	4.110	3,210.43	3.550	2,662.50	-547.93	ST
AT&T INC								
Symbol: T Exchange: NYSE								
EAL: \$375 Current yield: 6.00%	Aug 31, 02	191,000	24.740	4,725.34 ¹	28.030	5,353.73	628.39	LT
	Aug 31, 02	32,000	17.600	563.20 ¹	28.030	896.96	333.76	LT
		223,000		5,288.54		6,250.69	962.15	
Security total								
BHP BILLITON LTD SPON ADH								
Symbol: BHP Exchange: NYSE								
EAL: \$123 Current yield: 2.14%	Nov 28, 06	75,000	39.738	3,038.85	76.580	5,743.50	2,704.65	LT
CHESAPEAKE ENERGY CORP ORLA								
Symbol: CHK Exchange: NYSE								
EAL: \$68 Current yield: 1.17%	Sep 21, 09	225,000	28.108	6,472.38	25.880	5,823.00	-649.38	ST
CISCO SYSTEMS INC								
Symbol: CSCO Exchange: OTC	Aug 31, 02	67,000	13.820	925.94 ¹	23.940	1,603.98	678.04	LT

continued next page



Account name: HOWARD BERMAN
Account number: [REDACTED]

Your assets > Equities > Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
EMERSON ELECTRIC CO								
Symbol: EMR Exchange: NYSE								
EAI: \$206 Current yield: 3.14%	Aug 31, 02	154,000	24.390	3,756.06	42.600	6,560.40	2,804.34	LT
GOLDCON INC NEW								
Symbol: GG Exchange: NYSE								
EAI: \$27 Current yield: 0.46%	Nov 28, 06	150,000	28.760	4,399.37	39.340	5,901.00	1,501.63	LT
INTEL CORP								
Symbol: INTC Exchange: OTC								
EAI: \$56 Current yield: 2.75%	Aug 31, 02	100,000	16.670	1,667.00	20.400	2,040.00	373.00	LT
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange: NYSE								
EAI: \$171 Current yield: 3.05%	Aug 31, 02	87,000	54.310	4,724.97	64.410	5,603.67	878.70	LT
MCDONALDS CORP								
Symbol: MCD Exchange: NYSE								
EAI: \$205 Current yield: 3.53%	Aug 31, 02	93,000	23.760	2,209.68	67.440	5,806.92	3,597.24	LT
NEOTRONIC INC								
Symbol: MDT Exchange: NYSE								
EAI: \$61 Current yield: 1.87%	Aug 31, 02	74,000	41.180	3,047.32	43.980	3,254.52	207.20	LT
MOSAIC CO								
Symbol: MOS Exchange: NYSE								
EAI: \$20 Current yield: 0.33%	Aug 3, 09	108,000	55.068	5,915.38	59.730	5,973.00	355.62	ST
NEWMONT MINING CORP (HOLDING CO)								
Symbol: NEM Exchange: NYSE								
EAI: \$60 Current yield: 0.85%	Jul 7, 05	150,000	38.500	5,864.10	47.310	7,096.50	1,232.40	LT
PROCTER & GAMBLE CO								
Symbol: PG Exchange: NYSE								
EAI: \$130 Current yield: 2.90%	Aug 31, 02	74,000	44.325	3,280.05	60.630	4,486.62	1,206.57	LT
QUESTAR CORP								
Symbol: STR Exchange: NYSE								
EAI: \$91 Current yield: 1.25%	Feb 2, 09	175,000	33.050	5,915.41	41.570	7,274.75	1,359.34	ST
RAYTHEON CO NEW								
Symbol: RTN Exchange: NYSE								
EAI: \$207 Current yield: 2.41%	Aug 31, 02	167,000	35.000	5,845.00	51.520	8,603.84	2,758.84	LT
SILVER WHEATON CORP								
Symbol: SLW Exchange: NYSE								
	Feb 17, 09	600,000	7.460	4,639.54	15.020	9,012.00	4,372.46	ST

continued next page



Resource Management Account
December 2009

Account name:
Account number:

HOWARD [REDACTED] BERMAN

Your Financial Advisor: [REDACTED]

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
UNION PACIFIC CORP								
Symbol: UNP Exchange: NYSE								
EAL: \$162 Current yield: 1.69%	Aug 31, 02	150,000	30.250	4,537.50 ¹	63.900	9,585.00	5,047.50	LT
UDM TECHNOLOGIES INC								
Symbol: UDM Exchange: AMEX	Mar 22, 04	2,000,000	3.280	6,855.70	6.850	13,700.00	6,844.30	LT
VERIZON COMMUNICATIONS INC								
Symbol: VZ Exchange: NYSE								
EAL: \$475 Current yield: 5.73%	Nov 2, 09	250,000	29.820	7,617.96	33.130	8,282.50	664.54	ST
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol: DIS Exchange: NYSE								
EAL: \$53 Current yield: 1.10%	Aug 31, 02	150,000	15.473	2,321.00 ¹	32.250	4,837.50	2,516.50	LT
XTO ENERGY INC								
Symbol: XTO Exchange: NYSE								
EAL: \$75 Current yield: 1.07%	Sep 21, 09	150,000	42.118	6,457.05	46.530	6,979.50	522.45	ST
Total				\$106,386.16		\$143,343.39	\$36,957.23	

Total estimated annual income: \$2,677

¹ Indicates cost basis information has been provided by a source other than UBS Financial Services.

Mutual funds

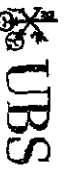
Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss is the difference between the current value and the cost basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.
 Investment return is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested.

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
VIRTUS FOREIGN OPPORTUNITIES FUND CLASS I									
Trade date: Aug 31, 02	1,010,264	13.535	13,674.15	13,674.15 ¹	20.110	20,316.40	6,642.25		LT
Total reinvested	133,800	21.912		2,931.86	20.110	2,690.72	-241.14		
EAL: \$336 Current yield: 1.46%									

continued next page



Account name:
Account number:

HOWARD BERNMAN

Your assets - Equities - Mutual funds (continued)

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
Security total	1,144,064		13,674.15	16,606.01		23,007.12	6,401.11	9,332.97	

¹ Indicates cost basis information has been provided by a source other than UBS Financial Services.

Fixed income

Asset backed securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. The cost basis for asset backed securities has been adjusted automatically for return of principal payments and if issued at a discount, accreted original issue discount (OID).

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
GNMA PL 256119X RATE 09.5000% MATURES 09/15/18 CURRENT PAR VALUE 38 ACCURED INTEREST \$0.30 CUSIP 36219QPC1 EAI: \$4 Current yield: 8.32%	Aug 31, 02	10,000,000	109,000	41,471	114,209	43,39	1,92	LT
GNMA PL 323274X RATE 07.5000% MATURES 05/15/22 CURRENT PAR VALUE 184 ACCURED INTEREST \$1.15 CUSIP 362248CK3 EAI: \$14 Current yield: 6.67%	Aug 31, 02	10,000,000	106,000	195,431	112,393	206,80	11,37	LT
Total		\$20,000,000		\$236,901		\$250,19	\$13,29	
Total accrued interest: \$1.45								
Total estimated annual income: \$18								

1. Underwriter credit briefs information has been provided by a source other than UBS Financial Services.

Total accrued interest: \$1.45
Total estimated annual income: \$18
¹ Indicates cost basis information has been provided by a source other than UBS Financial Services.



Resource Management Account
December 2009

Account name:
Account number:

HOWARD BERMAN

Your Financial Advisor:

Your assets, Fixed income (continued)

Structured products

There may be little or no secondary market for structured products. Prices are estimated values obtained from third parties or issuers and do not reflect adjustments taken by such third parties or issuers for financial reporting purposes arising from changes in the market value of such transactions. The value at which you

would be able to purchase, sell, enter into, assign or terminate any instrument will be impacted by other factors, such as hedging and transaction costs, credit considerations, bid ask spreads and market liquidity.

Holding	Trade date	Quantity	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SUN TRUST BANK								
CD GLD								
5/30/2014								
Symbol: A2 Exchange: A-								
Original cost basis: \$20,000.00	May 26, 09	20,000.000	103.457	20,691.58	104.790	20,958.00	266.42	ST

Broad commodities

Mutual funds

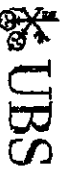
Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Unrealized gain or loss is the difference between the current value and the cost basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested.

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
FT-FRANKLIN GOLD & PREC MET A									
Trade date: Dec 29, 05	364,964	27.400	10,005.25	10,005.25	39.770	14,514.62	4,509.37		LT
Trade date: May 30, 06	309,885	32.270	10,005.25	10,005.25	39.770	12,324.12	2,318.87		LT
Total reinvested	168,436	37.020		6,235.54	39.770	6,698.70	463.16		
EAL: \$1,876 Current yield: 5.59%									
Security total	843,285		20,010.50	26,246.04		33,537.44	7,291.40	13,526.94	
KINETICS MIF THE WATER INFRASTRUCTURE FUND									
ADV A									
Trade date: Dec 29, 09	2,134,472	9.370	20,005.25	20,005.25	8.820	18,826.04	-1,179.21	-1,179.21	ST
PIMCO COMMODITY REAL RETURN STRATEGY FUND									
CLASS A									
Trade date: Apr 28, 04	1,297,859	15.410	20,005.25	20,005.25	8.180	10,616.49	-9,388.76		LT

continued next page



Account name:
Account number:

HOWARD BEHMAN

Your assets, Broad commodities, Mutual funds (continued)

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
Total reinvested	1,462,498	9.704		14,192.72	8.180	11,963.23	-2,229.49		
EAT: \$1,278 Current yield: 5.66%			20,005.25	34,197.97		22,579.72	-11,618.25	2,574.47	
Security total	2,760,357		\$60,021.00	\$80,448.26		\$74,943.20	-\$5,506.06	\$14,922.20	
Total									
Total estimated annual income: \$3,154									

Other

Mutual funds

Total reinvested: Is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding period or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis: Is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss: Is the difference between the current value and the cost basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

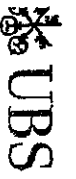
Investment return: Is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested.

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
FT-FRANKLIN INCOME A									
Trade date: Dec 30, 08	5,813,953	1.720	10,005.25	10,005.25	2.070	12,034.88	2,029.63		LT
Total reinvested	518,473	1.753		909.17	2.070	1,073.24	164.07		
EAT: \$950 Current yield: 7.25%			10,005.25	10,914.42		13,108.12	2,193.70	3,102.87	
Security total	6,332,426								

Your total assets

	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash		18,049.06	6.15%	18,049.06	2,677.00	36,957.23
Equities						
Common stock		143,343.39		106,386.16	336.00	6,401.11
Mutual funds		23,007.12		16,606.01	3,013.00	43,358.34
Total equities		166,350.51	58.64%	122,992.17	3,349.00	49,759.45
Fixed income						
Asset backed securities		250.19		236.90		13.29
Structured products		20,958.00		20,691.58		266.42
Total accrued interest		1.45				
Total fixed income		21,209.64	7.22%	20,928.48	18.00	279.71

continued next page



Resource Management Account
December 2009

Account name:
Account number:

HOWARD BERMAN

Your Financial Advisor:

Your assets , Your total assets (continued)

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Broad commodities	Mutual funds	74,943.20	25.53%	80,449.26	3,154.06	-5,506.06
Other	Mutual funds	13,108.12	4.46%	10,914.42	950.00	2,193.70
Total		\$293,660.53	100.00%	\$253,333.39	\$7,135.00	\$40,325.69

Account activity this month

Date	Activity	Description	Amount (\$)
Dividend and interest income			
Taxable dividends			
Dec 1	Dividend	CONOCOPHILLIPS PAID ON	185
Dec 1	Dividend	MTL CORP PAID ON	100
Dec 3	Dividend	MOSAC CO PAID ON	100
Dec 3	Dividend	FT-FRANKLIN INCOME A	78.67
Dec 3	St Cap Gain	FT-FRANKLIN GOLD & PREC MET A SHORT TERM CAPITAL GAIN	153.75
Dec 3	Lt Cap Gain	FT-FRANKLIN GOLD & PREC MET A LONG TERM CAPITAL GAIN	313.76
Dec 3	Dividend	FT-FRANKLIN GOLD & PREC MET A	1,751.91
Dec 8	Dividend	JOHNSON & JOHNSON COM PAID ON	87
Dec 10	Dividend	ARCHER DANIELS MIDLAND CO PAID ON	200
Dec 10	Lt Cap Gain	PMCO COMMODITY REAL RETURN STRATEGY FUND CLASS A LONG TERM CAPITAL GAIN AS OF 12/09/09	263.98
Dec 10	Dividend	EMERSON ELECTRIC CO PAID ON	154
Dec 14	Dividend	QUESTAR CORP PAID ON	175
Dec 15	Dividend	MCDONALDS CORP PAID ON	93
Dec 18	Foreign Dividend	GOLDCORP INC NEW PAID ON	150
Dec 24	Dividend	VIRTUS FOREIGN OPPORTUNITIES FUND CLASS I AS OF 12/22/09	331.43
Dec 29	Dividend	NEWMONT MINING CORP (HOLDING CO) PAID ON	150
Dec 31	Dividend	PMCO COMMODITY REAL RETURN STRATEGY FUND CLASS A AS OF 12/30/09	515.74
Total taxable dividends			\$3,858.11
Dec 7	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/04/09	0.31
Dec 15	Interest	GNMA PL 256119X 09.5000 DUE 09/15/18 FACTOR 0.003804650000 PAID ON 10000	0.30

continued next page

UBS Financial Services Inc.

Corrected 03/10/10 Page 1 of 12

UBS Financial Services Inc.

2009 Consolidated Form 1099

Account Number
Tax Identification Number

IMPORTANT NOTICE:
This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
UBS Financial Services Inc., 1000 Harbor Boulevard,
Weehawken, NJ 07086-0790 (Federal ID 13-2030100)

Your Financial Advisor or Contact

HOWARD BERNAN

Form 1099-DIV Dividends and Distributions (OMB No. 1545-0010)

Line#	Category	Amount
1a	Ordinary dividends (includes lines 1b and 5)	7,606.18
1b	Qualified dividends	3,782.09
2a	Total capital gain distributions (includes lines 2b, 2c, 2d)	567.91
2b	Unrecaptured section 1250 gain	0.00
2c	Section 1202 gain	0.00
2d	28% rate gain (Collectibles)	0.00
3	Nondividend distributions	271.80
4	Federal income tax withheld	0.00
5	Investment expenses	0.00
6	Foreign tax paid	40.59
7	Foreign country or U.S. possession	0.00
8	Liquidation distributions - cash	0.00
9	Liquidation distributions - non-cash (fair market value)	0.00

Form 1099-OID Original Issue Discount (OMB No. 1545-0117)

Line#	Category	Amount
1a	Original issue discount for 2009	1,528.78
2	Other periodic interest	0.00
3	Early withdrawal penalty	0.00
4	Federal income tax withheld	0.00
5	Description	See details
6	Original issue discount on U.S. Treasury obligations	0.00
7	Investment expenses	0.00

Amounts may not be the correct figure to report on your income tax return. See enclosure for instructions.

Form 1099-INT Interest Income (OMB No. 1545-0112)

Line#	Category	Amount
1	Interest income (not included on line 3)	30.05
2	Early withdrawal penalty	0.00
3	Interest on U.S. Savings Bonds and Treasury obligations	0.00
4	Federal income tax withheld	0.00
5	Investment expenses (included on line 1)	1.37
6	Foreign tax paid	0.00
7	Foreign country or U.S. possession	0.00
8	Tax-exempt interest	0.00
9	Specified private activity bond interest (included on line 8)	0.00

Form 1099-B Proceeds From Broker & Barter Exchange Transactions (OMB No. 1545-0019)

Line#	Category	Amount
1a	Date of sale or exchange	Various
1b	CUSIP number	Various
2	Stocks, bonds, etc. reported to IRS (gross proceeds less commissions)	110,810.46
3	Bartering	0.00
4	Federal income tax withheld	0.00
5	Number of shares exchanged	0
6	Classes of stock exchanged	Various
7	Description	See details
8	Profit or (loss) realized on futures contracts for 2009	0.00
9	Unrealized profit or (loss) on open contracts - 12/31/2008	0.00
10	Total Unrealized profit or (loss) on open contracts - 12/31/2009	0.00
11	Aggregate profit or (loss) (from lines 8, 9 and 10)	0.00

Only the forms applicable to your account are shown.
Forms which are not applicable and therefore not shown
include: Form 1099-MISC.

Corrected 03/10/10

Account Number [REDACTED]
Your Financial Advisor or Contact [REDACTED]

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UBS Financial Services Inc.

2009 Consolidated Form 1099

Details for Items Reported on Forms 1099

Dividends and Distributions Details Reported on Form 1099-DIV

Description	Additional Information	Ex Date	Payment Date	Tax year	Amount
Ordinary dividends (less lines 1b and 5)					
FT-FRANKLIN INCOME A					
		01/05/09	01/07/09	2009	59.88
		02/02/09	02/04/09	2009	60.33
		03/02/09	03/04/09	2009	60.79
		04/01/09	04/03/09	2009	61.33
		05/01/09	05/05/09	2009	61.82
		06/01/09	06/03/09	2009	62.29
		07/01/09	07/08/09	2009	62.73
		08/03/09	08/05/09	2009	63.17
		09/01/09	09/03/09	2009	63.58
		10/01/09	10/05/09	2009	65.86
		11/02/09	11/04/09	2009	68.29
		12/01/09	12/03/09	2009	68.71
					754.78
Sub Total: FT-FRANKLIN INCOME A					
FT-FRANKLIN GOLD & PREC MET A					
		12/01/09	12/03/09	2009	1,694.41
		12/01/09	12/03/09	2009	153.75
					1,848.16
Sub Total: FT-FRANKLIN GOLD & PREC					
PIMCO COMMODITY REAL RETURN STRATEGY FUND CLASS A					
		03/19/09	03/19/09	2009	180.24
		06/18/09	06/18/09	2009	220.84
		09/17/09	09/17/09	2009	304.92
		12/30/09	12/30/09	2009	515.33
					1,221.13
Sub Total: PIMCO COMMODITY REAL					
Total Line 1a Ordinary dividends (less lines 1b and 5)					
					\$ 3,824.07
Qualified dividends					
AMERICAN WATER WORKS CO INC NEW					
		02/13/09	03/02/09	2009	60.00
		05/14/09	06/01/09	2009	80.00
					120.00
Sub Total: AMERICAN WATER WORKS CO					
ARCHER DANIELS MIDLAND CO					
		02/17/09	03/12/09	2009	28.00
		05/19/09	06/11/09	2009	28.00
		08/18/09	09/10/09	2009	28.00
		11/17/09	12/10/09	2009	28.00
					112.00
Sub Total: ARCHER DANIELS MIDLAND					



Corrected 03/10/10

Account Number [REDACTED]
Your Financial Advisor or Contact [REDACTED]

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UBS Financial Services Inc.

2009 Consolidated Form 1099

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Ex Date	Payment Date	Tax Year	Amount
AT&T INC	01/07/09	02/02/09	2009	183.93
	04/08/09	05/01/09	2008	193.93
	07/08/09	08/03/09	2009	183.93
	10/07/09	11/02/09	2008	91.43
Sub Total: AT&T INC				\$ 673.22
BHP BILLITON LTD SPON ADR	02/25/09	03/17/09	2009	61.50
	09/02/09	09/25/09	2008	61.50
Sub Total: BHP BILLITON LTD SPON				\$ 123.00
BURLINGTON NTHN SANTA FE CORP**MERGER EFF 02/2010**	12/10/08	01/02/09	2009	40.00
	03/09/09	04/01/09	2009	40.00
	06/08/09	07/01/09	2009	40.00
	09/08/09	10/01/09	2009	40.00
Sub Total: BURLINGTON NTHN SANTA FE				\$ 160.00
CHESAPEAKE ENERGY CORP OKLA	09/29/09	10/15/09	2009	16.88
	07/29/09	09/01/09	2009	85.95
	10/28/09	12/01/09	2009	82.50
CONOCOPHILLIPS				\$ 179.45
Sub Total: CONOCOPHILLIPS				
EMERSON ELECTRIC CO	02/11/09	03/10/09	2009	50.82
	05/13/09	06/10/09	2008	50.82
	08/12/09	09/10/09	2009	50.82
	11/10/09	12/10/09	2009	51.59
Sub Total: EMERSON ELECTRIC CO				\$ 204.05
FT-FRANKLIN INCOME A	01/05/09	01/07/09	2008	12.79
	02/02/09	02/04/09	2008	12.88
	03/02/09	03/04/09	2009	12.99
	04/01/09	04/03/09	2009	13.10
	05/01/09	05/05/09	2009	13.21
	06/01/09	06/03/09	2009	13.30
	07/01/09	07/06/09	2009	13.40
	08/03/09	08/05/09	2008	13.49
	09/01/09	09/03/09	2009	13.58
	10/01/09	10/05/09	2008	11.81
	11/02/09	11/04/09	2008	11.88
	12/01/09	12/03/09	2009	11.96
Sub Total: FT-FRANKLIN INCOME A				\$ 154.39

Corrected 03/10/10

Account Number [REDACTED]
Your Financial Advisor or Contact [REDACTED]

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UBS Financial Services Inc.

2009 Consolidated Form 1099

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax Year	Amount
FT-FRANKLIN GOLD & PREC MET A		12/01/09	12/03/09	2009	\$ 67.33
GOLDCORP INC NEW		01/13/09	01/23/09	2009	3.75
		02/10/09	02/20/09	2009	3.75
		03/10/09	03/20/09	2009	3.75
		04/14/09	04/24/09	2009	3.75
		05/12/09	05/22/09	2009	3.75
		06/09/09	06/19/09	2009	3.75
		07/14/09	07/24/09	2009	3.75
		08/18/09	08/28/09	2009	3.75
		09/18/09	09/25/09	2009	3.75
		10/13/09	10/23/09	2009	2.25
		11/09/09	11/20/09	2009	2.25
		12/09/09	12/18/09	2009	2.25
Sub Total: GOLDCORP INC NEW					\$ 46.50
INTEL CORP		02/04/09	03/01/09	2009	14.00
		03/05/09	06/01/09	2009	14.00
		08/05/09	09/01/09	2009	14.00
		11/04/09	12/01/09	2009	14.00
Sub Total: INTEL CORP					\$ 56.00
JOHNSON & JOHNSON COM		02/20/09	03/10/09	2009	40.02
		05/21/09	08/09/09	2009	42.63
		08/21/09	09/08/09	2009	42.63
		11/20/09	12/08/09	2009	42.63
Sub Total: JOHNSON & JOHNSON COM					\$ 167.91
MCDONALDS CORP		02/26/09	03/16/09	2009	46.50
		06/04/09	06/22/09	2009	46.50
		08/28/09	09/15/09	2009	46.50
		11/27/09	12/15/09	2009	51.15
Sub Total: MCDONALDS CORP					\$ 190.65
MEDTRONIC INC		12/30/08	01/23/09	2009	13.88
		04/01/09	04/24/09	2009	13.88
		07/08/09	07/31/09	2009	15.17
		10/07/09	10/30/09	2009	15.17
Sub Total: MEDTRONIC INC					\$ 58.10
MOSAIC CO		08/04/09	08/20/09	2009	5.00

Continued on page 5

Corrected

2009 1099 / [REDACTED]



Corrected 03/10/10

Account Number [REDACTED]
Your Financial Advisor or Contact

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UBS Financial Services Inc.

2009 Consolidated Form 1099

Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax Year	Amount
MOSAIC CO		11/03/09	11/19/09	2009	5.00
		11/10/09	12/03/09	2009	130.00
					\$ 140.00
Sub Total: MOSAIC CO					
NEWMONT MINING CORP (HOLDING CO)		03/04/09	03/27/09	2009	15.00
		06/03/09	06/28/09	2009	15.00
		09/03/09	09/28/09	2009	15.00
		12/04/09	12/29/09	2009	15.00
					\$ 60.00
Sub Total: NEWMONT MINING CORP					
PIMCO COMMODITY REAL RETURN STRATEGY FUND CLASS A		03/18/09	03/19/09	2009	.14
		08/18/09	08/18/09	2009	.18
		08/17/09	08/17/09	2009	.24
		12/30/09	12/30/09	2009	.41
					\$.97
Sub Total: PIMCO COMMODITY REAL					
PPG INDUSTRIES INC		02/18/09	03/12/09	2009	30.21
		05/07/09	06/12/09	2009	30.21
		08/06/09	09/11/09	2009	30.21
					\$ 90.63
Sub Total: PPG INDUSTRIES INC					
PROCTER & GAMBLE CO		01/21/09	02/17/09	2009	29.60
		04/22/09	05/15/09	2009	32.56
		07/22/09	08/17/09	2009	32.56
		10/21/09	11/16/09	2009	32.56
					\$ 127.28
Sub Total: PROCTER & GAMBLE CO					
QUESTAR CORP		02/18/09	03/16/09	2009	21.88
		05/27/09	06/15/09	2009	21.88
		08/19/09	09/14/09	2009	21.88
		11/18/09	12/14/09	2009	22.75
					\$ 88.39
Sub Total: QUESTAR CORP					
RAYTHEON CO NEW		12/30/08	01/30/09	2009	46.76
		04/03/09	05/01/09	2009	51.77
		07/02/09	08/04/09	2009	51.77
		10/02/09	11/05/09	2009	51.77
					\$ 202.07
Sub Total: RAYTHEON CO NEW					
STATE STREET CORP		12/30/08	01/15/09	2009	20.88

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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Ex Date	Payment Date	Tax Year	Amount
STATE STREET CORP	03/30/09	04/15/09	2009	.87
	06/29/09	07/15/09	2009	.87
				\$ 22.62
Sub Total: STATE STREET CORP				
UNION PACIFIC CORP	11/28/08	01/02/09	2008	72.36
	02/25/09	04/01/09	2009	72.36
	05/27/09	07/01/09	2008	72.36
	08/27/09	10/01/09	2009	72.36
				\$ 289.44
Sub Total: UNION PACIFIC CORP				
VIRTUS FOREIGN OPPORTUNITIES FUND CLASS I	12/22/09	12/22/09	2009	365.96
WALT DISNEY CO (HOLDING CO) DISNEY COM	12/11/08	01/20/09	2009	52.50
	09/28/09	10/15/09	2008	18.75
XTO ENERGY INC				\$ 3,782.09
Total Line 1b Qualified dividends				
Total capital gain distributions (less lines 2b, 2c, 2d)				
FT-FRANKLIN GOLD & PREC MET A	12/01/09	12/03/09	2009	303.93
PIMCO COMMODITY REAL RETURN STRATEGY FUND CLASS A	12/09/08	12/09/09	2009	263.98
				\$ 567.91
Total Line 2a Total capital gain distributions (less lines 2b, 2c, 2d)				
Nondividend distributions				
BLACKROCK REAL ASSET EQUITY TRUST	06/11/09	06/30/09	2009	271.80
				\$ 271.80
Total Line 3 Nondividend distributions				
Foreign tax paid				
GOLDCORP INC NEW	01/23/09	2009		.56
	02/20/09	2009		.56
	03/20/09	2009		.56
	04/24/09	2009		.58
	05/22/09	2009		.58
	06/19/09	2009		.56
	07/24/09	2009		.56
	08/28/09	2009		.56
	09/25/09	2009		.56



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Dividends and Distributions Details Reported on Form 1099-DIV - continued

Description	Additional Information	Ex Date	Payment Date	Tax Year	Amount
GOLDCORP INC NEW			10/23/09	2009	.34
			11/20/09	2009	.34
			12/18/09	2009	.34
					6.06
Sub Total GOLDCORP INC NEW					\$
VIRTUS FOREIGN OPPORTUNITIES		12/22/09	12/22/09	2009	\$ 34.53
FUND CLASS 1					\$
Total Line 5 Foreign tax paid					\$ 40.59

Original Issue Discount Details Reported on Form 1099-OID

Amortized Acquisition Premium and *Deflation Adjustment* are provided for informational purposes only and not reported to the IRS. These amounts are provided because they may be used in some situations to offset either the OID or stated interest reported on 1099-INT for that security. Please consult your tax advisor regarding your specific situation. See enclosure under the heading "Original Issue Discount" for more information.

Description (Line #)	Cusip number	Days held	Quantity/Face amount	Amortized acquisition premium	Deflation Adjustment	OID Accrual Amount
SUN TRUST BANK CD GLD	86789VHED	217	20,000			694.91
5/30/2014						833.87
UBS 100% PPN ASIAN CURR BSKT	902823645	364	2,000			\$ 1,528.78
12/31/2009						
Total Line 1 Original Issue discount						

Interest Income Details Reported on Form 1099-INT

In addition to amounts reported in Box 3, income from certain Federal Agency obligations reported in Box 1 may be exempt from state taxes. Please consult your tax advisor concerning your specific tax situation.

Description	Additional Information	Payment Date	Tax Year	Amount
GNMA PL 258118X 09.5000 DUE 09/15/18		12/31/09	2009	\$ 4.31*
FACTOR 0.003733140000				
GNMA PL 323274X 07.5000 DUE 05/15/22		12/31/09	2009	\$ 16.55*
FACTOR 0.018148110000				
UBS BANK USA DEPOSIT ACCOUNT		01/07/09	2009	2.01
		02/05/09	2009	2.45
		03/05/09	2009	1.68
		04/06/09	2009	1.92
		05/06/09	2009	.17
		10/06/09	2009	.12
		11/05/09	2009	.30
		12/04/09	2009	.31
		12/31/09	2009	.23
				\$ 9.19

Sub Total UBS BANK USA DEPOSIT				\$
Total Line 1 Interest Income (less line 3)				\$ 30.05

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Interest Income Details Reported on Form 1099-INT - continued

In addition to amounts reported in Box 3, income from certain Federal Agency obligations reported in Box 1 may be exempt from state taxes. Please consult your tax adviser concerning your specific tax situation.

Description	Payment Date	Tax year	Amount
GNMA PL 256119X 09.5000 DUE 09/15/18	12/31/09	2009	\$.26**
FACTOR 0.003733140000			
GNMA PL 323274X 07.5000 DUE 05/15/22	12/31/09	2009	\$ 1.11**
FACTOR 0.018146110000			\$ 1.37
Total Line 5 Investment expenses			

Proceeds from Broker Transactions Details Reported on Form 1099-B

Net proceeds in the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section.

Date of sale or activity (Line #1)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #2)	Class of stock exchanged (Line #5)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest (Line #4)	Federal income tax withheld (Line #6)	Amount
12/31/09	Return of Principal		GNMA PL 256119X 09.5000 DUE 09/15/18		36219QPC1	2.92	.00		.00**
			FACTOR 0.003733140000						
			GNMA PL 323274X 07.5000 DUE 05/15/22		36224BCK3	12.39	.00		.00**
			FACTOR 0.018146110000						
12/31/09	Return of Principal		AMERICAN WATER WORKS CO INC NEW		030420103	5,856.76	.00		.00
08/07/09	Sell	300	ARCHER DANIELS MIDLAND CO		039463102	6,165.90	.00		.00
12/29/09	Sell	200	ARENA PHARMACEUTICALS INC		040047102	4,741.74	.00		.00
02/17/09	Sell	750	AT&T INC		00206R102	6,556.68	.00		.00
09/21/09	Sell	250	BLACKROCK REAL ASSET EQUITY TRUST		09254B109	5,873.08	.00		.00
08/03/09	Sell	581	BLACKROCK REAL ASSET EQUITY TRUST		09254B109	4,486.44	.00		.00
08/07/09	Sell	450	BURLINGTON NTHN SANTA FE CORP**MERGER EFF		12188T104	9,634.36	.00		.00
11/09/09	Sell	100	CONOCOPHILLIPS		20825C104	9,133.39	.00		.00
11/02/09	Sell	185	GOLDCORP INC NEW		380956409	3,976.94	.00		.00
09/21/09	Sell	100	PPG INDUSTRIES INC		693506107	3,100.19	.00		.00
08/07/09	Sell	57							

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**Indicates corrected item.

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Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

Net proceeds is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that form's detail section.

Date of sale (Line #1a)	Activity	Quantity/ Face value/ Shares exchanged	Description (Line #2)	Class of stock exchanged (Line #3)	Cusip number (Line #1b)	Net proceeds (Line #2)	Accrued interest	Federal income tax withheld (Line #4)
07/02/09	Sell	87	STATE STREET CORP		857477103	3,987.80	.00	.00
05/29/09	Redemption	2,000	UBS 100% PPN-AB RTN BAR S&P 500 5/29/2009		902844509	20,000.00	.00	.00
12/31/09	Redemption	2,000	UBS 100% PPN ASIAN CURR BSKT 12/31/2009		902823545	20,000.00	.00	.00
09/21/09	Sell	118	UNION PACIFIC CORP		907818108	7,101.89	.00	.00
		Total				\$ 110,810.48	\$ 0.00	\$ 0.00

Other Income Details Not Reported to the Internal Revenue Service

The items in this section are provided to assist you with your tax return preparation. You should discuss with your tax preparer or tax advisor the appropriate tax treatment of these fees and expenses.

* Applicable to Tax-exempt Original Issue Discount information only

Activity	Description	Cusip number	* Days held	* Quantity/ Face amount	Payment Date	Tax year	Acquisition premium	Amount
Contingent Payments - Shortfall	UBS 100% PPN ASIAN CURR BSKT 12/31/2009	902823545			12/31/09	2009		1,840.00
Total Contingent Payments - Shortfall								\$ 1,840.00
ANNUAL FEE					12/04/09	2009		150.00
Total Program Fees and Related Services								\$ 150.00

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Supplemental Information for Widely Held Mortgage Trust -- WHMTs

UBS Financial Services Inc. is required by the IRS to provide you with the following information, supplied by the issuer(s), for each Widely Held Mortgage Trust you held in 2009. Items of income, deduction, and credit and any other information shown on this statement must be taken into account in computing your taxable income and credits on your income tax return. "Sale/Delivery" in the activity column represents both sales and deliveries. "Buy/Receive" in the activity column represents both purchases and receives. The enclosed brochure has been prepared to assist you in the interpretation of this information.

Description	Camp number	Record or Settlement	Payment or	Activity	Principal Balance	Quantity or Position	Principal Paid or Precedents	Interest	Expense	Income Adjustment	Market Discount
GNMA PL 323274X 07.5000	362190PC1	12/31/08	01/15/09	Distribution	4,073.58	.00	.21	.34	.02	.00	0.02068301
DUE 09/15/18	362190PC1	01/31/09	02/15/09	Distribution	4,052.18	.00	.22	.34	.02	.00	0.02080291
FACTOR 0.003733140000	362190PC1	02/28/09	03/15/09	Distribution	4,030.60	.00	.22	.34	.02	.00	0.02094351
	362190PC1	03/31/09	04/15/09	Distribution	4,008.64	.00	.22	.34	.02	.00	0.02108651
	362190PC1	04/30/09	05/15/09	Distribution	3,986.90	.00	.22	.34	.02	.00	0.02123311
	362190PC1	05/31/09	06/15/09	Distribution	3,964.78	.00	.22	.33	.02	.00	0.02138241
	362190PC1	06/30/09	07/15/09	Distribution	3,942.47	.00	.22	.33	.02	.00	0.02153341
	362190PC1	07/31/09	08/15/09	Distribution	3,919.98	.00	.23	.33	.02	.00	0.02168821
	362190PC1	08/31/09	09/15/09	Distribution	3,897.30	.00	.23	.33	.02	.00	0.02184511
	362190PC1	09/30/09	10/15/09	Distribution	3,874.42	.00	.23	.32	.02	.00	0.02200551
	362190PC1	10/31/09	11/15/09	Distribution	3,851.36	.00	.23	.32	.02	.00	0.02216921
	362190PC1	11/30/09	12/15/09	Distribution	3,828.11	.00	.23	.32	.02	.00	0.02233521
	362190PC1	12/31/09	01/15/10	Distribution	3,804.66	.00	.24	.32	.02	.00	0.02250530
GNMA PL 323274X 07.5000	362248CK3	12/31/08	01/15/09	Distribution	19,579.15	.00	.89	1.30	.08	.00	0.02088070
DUE 05/15/22	362248CK3	01/31/09	02/15/09	Distribution	19,480.44	.00	.89	1.31	.09	.00	0.02104860
FACTOR 0.018146110000	362248CK3	02/28/09	03/15/09	Distribution	19,401.14	.00	.99	1.30	.09	.00	0.02112700
	362248CK3	03/31/09	04/15/09	Distribution	19,302.27	.00	1.00	1.30	.09	.00	0.02119710
	362248CK3	04/30/09	05/15/09	Distribution	19,202.74	.00	1.00	1.29	.09	.00	0.02126810
	362248CK3	05/31/09	06/15/09	Distribution	19,102.55	.00	1.01	1.29	.10	.00	0.02134020
	362248CK3	06/30/09	07/15/09	Distribution	19,001.69	.00	.93	1.26	.07	.00	0.02140320
	362248CK3	07/31/09	08/15/09	Distribution	18,909.13	.00	.93	1.28	.10	.00	0.02147700
	362248CK3	08/31/09	09/15/09	Distribution	18,815.96	.00	.94	1.25	.07	.00	0.02155200
	362248CK3	09/30/09	10/15/09	Distribution	18,722.16	.00	.94	1.25	.08	.00	0.02162760
	362248CK3	10/31/09	11/15/09	Distribution	18,627.74	.00	.95	1.26	.10	.00	0.02170500
	362248CK3	11/30/09	12/15/09	Distribution	18,532.68	.00	.96	1.23	.07	.00	0.02178320
	362248CK3	12/31/09	01/15/10	Distribution	18,437.00	.00	.96	1.23	.08	.00	0.02186260

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2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "verus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different. Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the-box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gain	Losses	Net
Short-term Gain/Loss:					
Long-term Gain/Loss:	\$ 18,679.12	\$ 24,234.65	\$ 5,555.53	\$ 5,555.53	
Sub Total:	\$ 77,889.90	\$ 86,360.52	\$ 13,690.41	\$ 8,470.82	
	\$ 96,569.02	\$ 110,595.17	\$ 19,245.94	\$ -5,219.79	\$ 14,026.15
Total:	\$ 96,569.02	\$ 110,595.17	\$ 19,245.94	\$ -5,219.79	\$ 14,026.15

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches: 1099-B
ARENA PHARMACEUTICALS INC	Trade	750,000		02/02/09	02/17/09	4,741.74	3,210.43	1,531.31		Y
BLACKROCK REAL ASSET EQUITY TRUST	Trade	581,000		04/08/09	08/03/09	5,873.08	4,302.50	1,570.58		Y
	Trade	419,000		04/08/09	08/07/09	4,177.37	3,102.83	1,074.54		Y
	Trade	31,000		Earnings	08/07/09	309.07	284.54	44.53		Y
COMOCOPHILLIPS	Trade	185,000		07/02/09	11/02/09	9,133.39	7,798.82	1,334.57		Y
Total Short-Term Gain/Loss						24,234.65	16,679.12	5,555.53		

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Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AMERICAN WATER WORKS CO INC NEW	Trade	300,000		06/10/08	08/07/09	5,856.76	6,800.32	-943.56		Y
ARCHER DANIELS MIDLAND CO	Trade	200,000		05/09/08	12/29/09	6,165.90	8,694.93	-2,529.03		Y
AT&T INC	Trade	250,000		08/31/02	09/21/09	6,556.88	4,400.01	2,156.87		Y
BURLINGTON NTHN SANTA FE CORP**MERGER EFF 02/2010**	Trade	100,000		08/31/02	11/09/09	9,894.36	2,878.00	6,758.36		Y
GOLDCORP INC NEW	Trade	100,000		11/28/06	09/21/09	3,976.94	2,932.91	1,044.03		Y
PPG INDUSTRIES INC	Trade	57,000		08/31/02	08/07/09	3,100.19	3,207.39	-107.20		Y
STATE STREET CORP	Trade	87,000		08/31/02	07/02/09	3,967.80	3,768.84	198.96		Y
UBS 100% PPN-AB RTN BAR S&P 500 5/29/2009	Redemption	2,000,000		05/27/08	05/29/09	20,000.00	20,000.00			Y
UBS 100% PPN ASIAN CURR BSKT 12/31/2009	Redemption	2,000,000		12/20/07	12/31/09	20,000.00	21,640.00	-1,640.00		Y
UNION PACIFIC CORP	Trade	118,000		08/31/02	09/21/09	7,101.89	3,569.50	3,532.39		Y
Total Long-Term Gain/Loss						86,360.52	77,889.90	8,470.62		

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