

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. John A. Yarmuth

Status: Member State/District: KY03

FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2018

Filing Date: 08/8/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3M Company (MMM) [ST]	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Alphabet Inc Class A (GOOGL) [ST]	\$1,001 - \$15,000	None		V
Altria Group, Inc. (MO) [ST]	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	
Amazon.com, Inc. (AMZN) [ST]	\$1,001 - \$15,000	None		
Amgen Inc. (AMGN) [ST]	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	
Apple Inc. (AAPL) [ST]	\$1,001 - \$15,000	Dividends	\$1 - \$200	П
AT&T Inc. (T) [ST]	None	Dividends	\$1 - \$200	V
Bank of America Corporation (BAC) [ST]	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	V
Berkshire Hathaway Inc. New (BRK.B) [ST]	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<u> </u>

\$1,001 - \$15,000	Dividends	\$1 - \$200	П
\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<u>~</u>
\$1,001 - \$15,000 eported on a PTR, however t	None the 11/26/2018 sale w	as reported.	V
\$1,001 - \$15,000	Dividends	\$1 - \$200	<u> </u>
\$1,001 - \$15,000	Dividends	\$1 - \$200	
None	Dividends	\$1 - \$200	<u>~</u>
\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
\$1,001 - \$15,000	Dividends	\$1 - \$200	<u>~</u>
\$1,001 - \$15,000	Dividends	\$1 - \$200	
\$1,001 - \$15,000	Dividends	\$1 - \$200	
\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	V
\$1 - \$1,000	Dividends	\$201 - \$1,000	
\$1,001 - \$15,000 purchases were for amount	Dividends	\$1 - \$200	П
\$1,001 - \$15,000	Dividends	\$1 - \$200	<u>~</u>
\$1,001 - \$15,000	Dividends	\$1 - \$200	
None	Dividends	\$1 - \$200	V
	\$1,001 - \$15,000 Sported on a PTR, however to \$1,001 - \$15,000 None \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000	\$1,001 - \$15,000 None eported on a PTR, however the 11/26/2018 sale w \$1,001 - \$15,000 Dividends \$1,001 - \$15,000 Dividends None Dividends \$1,001 - \$15,000 Dividends purchases were for amounts under \$1,000. \$1,001 - \$15,000 Dividends \$1,001 - \$15,000 Dividends	\$1,001 - \$15,000 None sported on a PTR, however the 11/26/2018 sale was reported. \$1,001 - \$15,000 Dividends \$1 - \$200 \$1,001 - \$15,000 Dividends \$1 - \$200 None Dividends \$1 - \$200 \$1,001 - \$15,000 Dividends \$201 - \$1,000 \$1,001 - \$15,000 Dividends \$1 - \$200 \$1,001 - \$15,000 Dividends \$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Dana Large Cap Equity [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Danaher Corporation (DHR) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	▽
Delta Air Lines, Inc. (DAL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Doonbeg Property [RP] LOCATION: Doonbeg, IE		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	П
DowDuPont Inc. (DWDP) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Duke Energy Corporation (DUK) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
E*TRADE Financial Corporation (ETFC) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Ecolab Inc. (ECL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Entrustpermal Alternative Core [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	П
EOG Resources, Inc. (EOG) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Europacific Growth FD CL F-2 [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	П
Exxon Mobil Corporation (XOM) [ST]		None	Dividends	\$1 - \$200	<u>~</u>
Facebook, Inc Class A (FB) [ST]		None	Capital Gains	\$1,001 - \$2,500	
Federated Treasury Obligation Fund [GS]		\$1,001 - \$15,000	Interest	\$1 - \$200	
Federated Treasury Obligation Fund [GS]		\$100,001 - \$250,000	Interest	\$1 - \$200	
FedEx Corporation (FDX) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
General Dynamics Corporation (GD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
General Electric Company (GE) [ST]		None	Dividends	\$1 - \$200	<u>~</u>
General Motors Company (GM) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Gilead Sciences, Inc. (GILD) [ST]		None	Dividends	\$1 - \$200	
Goldman Sachs ABS Return Tracker [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	П
Goldman Sachs Group, Inc. (GS) [ST]		None	Dividends	\$1 - \$200	▽
Home Depot, Inc. (HD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Honeywell International Inc. (HON) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Illinois Tool Works Inc. (ITW) [ST]		None	Dividends	\$1 - \$200	~
Intel Corporation (INTC) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	~
Ishares Barclays 1-3 year Credit BD FD [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Ishares Barclays TIPS Bond Fund [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Ishares National Muni Bond ETF [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Johnson & Johnson (JNJ) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	✓
JP Morgan Chase & Co. (JPM) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
LHC Group - common stock (LHCG) [ST] DESCRIPTION: Formerly Almost Family. Changed via mergeness.	ger.	\$500,001 - \$1,000,000	Capital Gains	\$100,001 - \$1,000,000	V
Litman Gregory Masters Alt [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	П
Lowe's Companies, Inc. (LOW) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Mairs & Power Small Cap [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	
Marathon Petroleum Corporation (MPC) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Mastercard Incorporated (MA) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
McDonald's Corporation (MCD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Merck & Company, Inc. Common Stock (MRK) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	П
Micron Technology, Inc. (MU) [ST]		None	None		▽
Microsoft Corporation (MSFT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Mondelez International, Inc Class A (MDLZ) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Morgan Stanley (MS) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
NextEra Energy, Inc. (NEE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Nike, Inc. (NKE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Northwestern Mutual annuity [FN]		\$100,001 - \$250,000	None		
Oppenheimer DVLPING MKTS-Y [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	П
Oppenheimer Intl Bond Fund I [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Oracle Corporation (ORCL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	П
Penn Mutual whole life insurance policy [OT]		\$100,001 - \$250,000	None		
DESCRIPTION: This is a whole life insurance policy.					
Pepsico, Inc. (PEP) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	~

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Pfizer, Inc. (PFE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Pioneer Natural Resources Company (PXD) [ST]		None	Dividends	\$1 - \$200	П
PNC Checking Account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	
Principal Midcap Blend Fund [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	
Procter & Gamble Company (PG) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<u>~</u>
Sameul Klein Trust [OT] DESCRIPTION: Beneficiary of trust established by grandpar	rents for ed	Undetermined	Excepted/Blind Trust	\$5,001 - \$15,000	
, , , , , , ,	rents for ec		Dividends	Ф. фоо	_
Schlumberger N.V. (SLB) [ST]		None	Dividends	\$1 - \$200	
Seafarer Overseas GR & Income Institutional Shares [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	
Sherwin-Williams Company (SHW) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Signet Jewelers Limited Common Shares (SIG) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Sonny's Barbeque, Orlando, Florida [PS]		\$5,000,001 - \$25,000,000	Royalties and income	\$100,001 - \$1,000,000	
Description: Interest in subchapter S corporation					
Starbucks Corporation (SBUX) [ST]		None	Capital Gains, Dividends	\$201 - \$1,000	▽
T Rowe Price High Yield FD-INV [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Texas Instruments Incorporated (TXN) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	▽
The Kraft Heinz Company (KHC) [ST]		None	Dividends	\$1 - \$200	▽
The Priceline Group Inc. (PCLN) [ST]		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Thermo Fisher Scientific Inc (TMO) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<u></u>
Touchstone Small Cap Core Fund Class Y [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	П
U.S. Bancorp (USB) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
UBS E-Tracs Alerian MLP Infrastr [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Union Pacific Corporation (UNP) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
United Parcel Service, Inc. (UPS) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
United Technologies Corporation (UTX) [ST]		None	Capital Gains, Dividends	\$201 - \$1,000	
UnitedHealth Group Incorporated Common Stock (UNH) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	П
Vanguard FTSE Developed Markets ETF (VEA) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	
Vanguard FTSE Emerging Markets ETF (VWO) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	П
Vanguard REIT ETF [RE]		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	П
Vanguard Utilities ETF (VPU) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Verizon Communications Inc. (VZ) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<u> </u>
Visa Inc. (V) [ST]		\$1 - \$1,000	Dividends	\$1,001 - \$2,500	П
Walmart Inc. (WMT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Walt Disney Company (DIS) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	

Asset	Owner Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Wells Fargo & Company (WFC) [ST]	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
William Blair FDS Alloc I [MF]	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
WisdomTree Europe Hedged Equity Fund (HEDJ) [ST]	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Yum! Brands, Inc. (YUM) [ST]	\$1,001 - \$15,000	Dividends	\$1 - \$200	V

^{*} For the complete list of asset type abbreviations, please visit $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alphabet Inc Class A (GOOGL) [ST]		11/26/2018	P	\$1,001 - \$15,000	
Altria Group, Inc. (MO) [ST]		03/23/2018	S (partial)	\$1,001 - \$15,000	<u>~</u>
Amazon.com, Inc. (AMZN) [ST]		12/7/2018	P	\$1,001 - \$15,000	
Amgen Inc. (AMGN) [ST]		12/6/2018	S (partial)	\$1,001 - \$15,000	<u>~</u>
Amgen Inc. (AMGN) [ST]		12/18/2018	S (partial)	\$1,001 - \$15,000	▽
AT&T Inc. (T) [ST]		11/14/2018	S	\$1,001 - \$15,000	
Bank of America Corporation (BAC) [ST]		10/22/2018	S (partial)	\$1,001 - \$15,000	<u>~</u>
Berkshire Hathaway Inc. New (BRK.B) [ST]		11/26/2018	P	\$1,001 - \$15,000	
Berkshire Hathaway Inc. New (BRK.B) [ST]		12/6/2018	S (partial)	\$1,001 - \$15,000	▽
Boeing Company (BA) [ST]		03/15/2018	S (partial)	\$1,001 - \$15,000	<u>~</u>

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Boeing Company (BA) [ST]	10/12/2018	P	\$1,001 - \$15,000	
Booking Holdings Inc. (BKNG) [ST]	11/26/2018	S	\$1,001 - \$15,000	П
Bristol-Myers Squibb Company (BMY) [ST]	12/21/2018	P	\$1,001 - \$15,000	
Bristol-Myers Squibb Company (BMY) [ST]	11/26/2018	S	\$1,001 - \$15,000	
Celgene Corporation (CELG) [ST]	11/14/2018	S	\$1,001 - \$15,000	
Chevron Corporation (CVX) [ST]	11/26/2018	S	\$1,001 - \$15,000	П
Citigroup, Inc. (C) [ST]	12/18/2018	S (partial)	\$1,001 - \$15,000	
Coca-Cola Company (KO) [ST]	12/19/2018	S (partial)	\$1,001 - \$15,000	∀
Costco Wholesale Corporation (COST) [ST]	10/18/2018	P	\$1,001 - \$15,000	
CVS Health Corporation (CVS) [ST]	11/26/2018	S	\$1,001 - \$15,000	П
Danaher Corporation (DHR) [ST]	12/18/2018	S (partial)	\$1,001 - \$15,000	<u>~</u>
Exxon Mobil Corporation (XOM) [ST]	11/26/2018	S	\$1,001 - \$15,000	
Facebook, Inc Class A (FB) [ST]	04/4/2018	S	\$1,001 - \$15,000	~
General Electric Company (GE) [ST]	10/18/2018	S (partial)	\$1,001 - \$15,000	П
General Electric Company (GE) [ST]	11/14/2018	S	\$1,001 - \$15,000	П
Gilead Sciences, Inc. (GILD) [ST]	11/26/2018	S	\$1,001 - \$15,000	П
Goldman Sachs Group, Inc. (GS) [ST]	11/26/2018	S	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Illinois Tool Works Inc. (ITW) [ST]		10/22/2018	S	\$1,001 - \$15,000	Г
Intel Corporation (INTC) [ST]		12/6/2018	S (partial)	\$1,001 - \$15,000	▽
Johnson & Johnson (JNJ) [ST]		12/6/2018	P	\$1,001 - \$15,000	
LHC Group - common stock (LHCG) [ST]		04/9/2018	S (partial)	\$250,001 - \$500,000	▽
LHC Group - common stock (LHCG) [ST]		10/11/2018	S (partial)	\$100,001 - \$250,000	▽
LHC Group - common stock (LHCG) [ST]		04/1/2018	E	\$1,000,001 - \$5,000,000	
Description: Almost Family merged with LHC. All AF stock was co	onverted in	to LHC.			
Micron Technology, Inc. (MU) [ST]		03/27/2018	P	\$1,001 - \$15,000	
Micron Technology, Inc. (MU) [ST]		11/26/2018	S (partial)	\$1,001 - \$15,000	
Pepsico, Inc. (PEP) [ST]		12/18/2018	S (partial)	\$1,001 - \$15,000	
Pioneer Natural Resources Company (PXD) [ST]		11/26/2018	S	\$1,001 - \$15,000	П
Procter & Gamble Company (PG) [ST]		12/6/2018	S (partial)	\$1,001 - \$15,000	▽
Schlumberger N.V. (SLB) [ST]		11/14/2018	S	\$1,001 - \$15,000	П
Starbucks Corporation (SBUX) [ST]		12/6/2018	S (partial)	\$1,001 - \$15,000	✓
Texas Instruments Incorporated (TXN) [ST]		11/26/2018	P	\$1,001 - \$15,000	
The Kraft Heinz Company (KHC) [ST]		11/14/2018	S	\$1,001 - \$15,000	П
Thermo Fisher Scientific Inc (TMO) [ST]		11/14/2018	P	\$1,001 - \$15,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
United Technologies Corporation (UTX) [ST]	12/18/2018	S (partial)	\$1,001 - \$15,000	<u>~</u>
Verizon Communications Inc. (VZ) [ST]	12/24/2018	P	\$1,001 - \$15,000	
Verizon Communications Inc. (VZ) [ST]	12/6/2018	S	\$1,001 - \$15,000	<u>~</u>
Wells Fargo & Company (WFC) [ST]	03/20/2018	S (partial)	\$1,001 - \$15,000	
Yum! Brands, Inc. (YUM) [ST] Comments: This purchase was mistakenly not reported on a PTR.	03/8/2018	P	\$1,001 - \$15,000	

^{*} For the complete list of asset type abbreviations, please visit $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Туре	Amount of Liability
Fifth Third Bank	March 2012	Demand note	\$500,001 - \$1,000,000

SCHEDULE **E**: **P**OSITIONS

Position	Name of Organization	
Director	First Tee of Louisville	
Director Emeritus	Kentucky Golf Association	

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not

be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes No

COMMENTS

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. John A. Yarmuth, 08/8/2019