



Filing ID #10012356

# FINANCIAL DISCLOSURE REPORT

---

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

---

## FILER INFORMATION

**Name:** William Mike Derrick  
**Status:** Congressional Candidate  
**State/District:** NY21

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2016  
**Filing Date:** 05/31/2016

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Brokerage IRA ⇒ BIRA Cash		\$1,001 - \$15,000	Tax-Deferred		
Brokerage IRA ⇒ DFA Five-Year Global		\$1,001 - \$15,000	Tax-Deferred		
Brokerage IRA ⇒ DFA Global 60/40		\$50,001 - \$100,000	Tax-Deferred		
Brokerage IRA ⇒ DFA Global Equity		\$15,001 - \$50,000	Tax-Deferred		
Brokerage IRA ⇒ DFA Inflation Protection Treas.		\$15,001 - \$50,000	Tax-Deferred		
Brokerage IRA ⇒ DFA Inter. Gov't Bond		\$15,001 - \$50,000	Tax-Deferred		
Brokerage IRA ⇒ DFA Real Estate		\$1,001 - \$15,000	Tax-Deferred		
Brokerage IRA ⇒		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DFA Short Term					
Brokerage IRA ⇒ DFA US Large Cap		\$15,001 - \$50,000	Tax-Deferred		
Brokerage IRA ⇒ DFA US Small Cap Portfolio		\$1,001 - \$15,000	Tax-Deferred		
Brokerage IRA ⇒ Ishares Aerospace Def		\$1,001 - \$15,000	Tax-Deferred		
Brokerage IRA ⇒ Ishares Transportation		\$1,001 - \$15,000	Tax-Deferred		
Brokerage IRA ⇒ Vanguard Consumer Staples		\$1,001 - \$15,000	Tax-Deferred		
Brokerage IRA ⇒ Vanguard Energy Index		\$1,001 - \$15,000	Tax-Deferred		
Brokerage IRA ⇒ Vanguard Health Care Index		\$1,001 - \$15,000	Tax-Deferred		
Brokerage IRA ⇒ Vanguard High Yield		\$15,001 - \$50,000	Tax-Deferred		
Ishares Select Dividend		\$1 - \$1,000	Tax-Deferred		
NYS 529--Conservative Growth Portfolio		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: V&WMD Legacy					
Rental 1		\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	\$5,001 - \$15,000
LOCATION: Colorado Springs, CO, US DESCRIPTION: Cassina					
Rental 2		\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	\$5,001 - \$15,000
LOCATION: Colorado Springs, CO, US DESCRIPTION: Country Park					
Rental 3		\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	\$5,001 - \$15,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LOCATION: Colorado Springs, CO, US DESCRIPTION: Silverwind					
Rental 4		\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	\$5,001 - \$15,000
LOCATION: Colorado Springs, CO, US DESCRIPTION: Wells Point					
Rental 5		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	\$15,001 - \$50,000
LOCATION: Colorado Springs, CO, US DESCRIPTION: 84 HP					
Rental 6		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	\$15,001 - \$50,000
LOCATION: Colorado Springs, CO, US DESCRIPTION: 94 HP					
Roth IRA ⇒ DFA Global 60/40		\$100,001 - \$250,000	Tax-Deferred		
Roth IRA ⇒ DFA Short Term Gov't		\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ First Eagle Sogen Overseas		\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ Ishares Select Dividend		\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ Pimco Total Return		\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ Vanguard Consumer Staples		\$15,001 - \$50,000	Tax-Deferred		
Roth IRA ⇒ Vanguard Energy Index		\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ Vanguard Health Care Index		\$1,001 - \$15,000	Tax-Deferred		
USAA Checking		\$1,001 - \$15,000	Interest	\$1 - \$200	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
USAA Cornerstone Moderate Fund	DC	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
USAA Savings		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
US Dept. of State	Senior Advisor		\$12,919.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Bank of America	Feb 2005	Rental Property Mortgage	\$100,001 - \$250,000
JT	Nationstar Mortgages	Aug 2006	Rental Property Mortgage	\$50,001 - \$100,000
JT	Wells Fargo Bank	Aug 2005	Rental Property Mortgage	\$100,001 - \$250,000
JT	Chase Bank	Dec 2006	Rental Property Mortgage	\$250,001 - \$500,000
JT	Seterus Mortgage	Dec 2006	Rental Property Mortgage	\$250,001 - \$500,000
JT	Chase Bank	Oct 2006	Rental Property Mortgage	\$50,001 - \$100,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- Brokerage IRA
- Roth IRA

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** William Mike Derrick , 05/31/2016