S	Yes	pendent child	ilities of a spouse or de Committee on Ethics.	"unearned" income, transactions, or liabilitie unless you have first consulted with the Cor	arned" income, s you have firs	er assets, "unea wer "yes" unles	EXEMPTION —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	EXEMPTI because the
No V	Yes	ot be	ent child?	and certain other "exce pouse, or a dependent	ittee on Ethics ing you, your s	d by the Comm	TRUSTS —Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted t disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	TRUSTS— disclosed. F
<u>w</u>	QUESTION)F THESE	ANSWER EACH OF THESE QUESTIONS	i i	T INFORM	OR TRUS	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION	EXCLUS
	esponse.	each "Yes" r	le attached for	propriate schedu	and the ap	e answered	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response	
N N	Yes	\$5,000 from	ompensation of more than \$5,000 from two prior years? attach Schedule VI.	VI. Did you receive compe a single source in the two If yes, complete and atta	\\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\	Yes 🕎	III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	III. Did you, able liability (If yes, comp
S C	Yes	rangement	eportable agreement or arr	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	\ <u>\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\</u>	Yes	II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	II. Did you, y income of more reportable as If yes, comp
No U	Yes 🚺	pefore the date or two years?	eportable positions on or b calendar year or in the prio attach Schedule IV.	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	No No	Yes 🏹	I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	I. Did you or fees) of \$200 If yes, comp
				E QUESTIONS	EACH OF THESE		PRELIMINARY INFORMATION — ANSWER	PRELIMI
							In all sections, please type or print clearly in blue or black ink.	In all section
WIIO IIIes	more than 30 days late.	more than				Office:	New officer or employee Employing Office	Status
assessed	A \$200 penalty shall be assessed	A \$200 per	Check if Amendment	PAIMARY 2014	Date of Election:	Zow4 PMOI	Candidate for the State: #4 House of Representatives District:	Filer
18072C	Office Use Only) In Idea of S	CLOS. HOL						
i: 25	2013 MAR 20 PM 1: 25	2013		Daytime Telephone:	Daytime T	۷	Michael Thomas Sherzan	Name:
Page 1 of 6	Page 1 of 6		IM B s and new employees	FORM B		ATIVES	UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT Period covered: January 1, 2013 - February スピザ	UNITED FINANC Period co

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SCHEDULE I — EARNED INCOME (INCLUDING HONORARIA)

Name MichAEl ThomAS ShERZAN

Page of 6

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

							 S COMP.	Harris County, Texas Public Schools		First Bank & Trust, Houston, TX		Comment of the state of the sta	SOURCE (include date of receipt for honoraria)	, , , , , , , , , , , , , , , , , , , ,
							SALARY	Spouse Salary	Honorarium	Director's Fee	Salary	. J C	Type	
		.65 .65 .65					\$34,765	NA	0	\$400	\$6,300	Current Year to Filing	Amount	
							\$154,210	NA	\$1,000	\$3,200	\$28,450	Preceding Year	unt	

uningante KAND/REALES HOTELS KEE	BDFS 401(K)	Edinon Stock Continent	Achaneo analytical Tech	DNS PROPERTIES 1.1.C. COMMORPIAL ROLLING PROPERTY NOST DES MOILLES, JOHN	56 N	1st Bank of Pac	DC, Examples: Simon & Schuster	SP, Mega Corp. Stock	income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule II requirements, please refer to the instruction booklet.	If you so choose, you may indicate that an asset or	Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan	For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.	For rental or other real property held for investment, provide a complete address.	cific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the	For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the spehave the power, even if not exercised.	Provide complete names of stocks and mutual funds (do not use ticker symbols).	reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.	Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other	Asset and/or Income Source	BLOCK A
* X		×	×	×	*	×	Indefinite	X	None \$1 - \$1,000 \$1,001 - \$1 \$15,001 - \$ \$50,001 - \$ \$100,001 - \$ \$500,001 - \$ \$500,001 - \$ \$5,000,001 \$25,000,001	5,000 50,00 \$100,0 \$250 \$500 \$1,00 - \$5,0 - \$25 1 - \$5	00 000 ,000 ,000 00,000 000,000 5,000,000		A CC	ncome, the value should be None."	reporting year and is included only because it generated	method used.	market value, please specify the	Indicate value of asset at close of reporting year. If you use a	Value of Asset	BLOCK B
×		×	×	×	× -	×	Royalties	X	NONE DIVIDENDS RENT INTEREST CAPITAL G. EXCEPTED TAX-DEFER Other Type (Specify: e.g.,	AINS /BLIN RRED)	Farm Income)	asset generated no income during the reporting period.	interest, and capital gains, even if reinvested, must be disclosed as Income. Check "None" if the	plans or IRAs), you may check the "Tax-Deferred" column. Dividends .	ments <u>or</u> that generate tax-	Check all columns that apply. For retirement accounts that do not	Type of Income	BLOCK C
×		× ×	×	x	×		X	X	None \$1 - \$200 \$201 - \$1,00 \$1,001 - \$2 \$2,501 - \$5 \$5,001 - \$1 \$15,001 - \$ \$100,001 - \$1,000,001 Over \$5,000 None \$1 - \$200 \$201 - \$1,00 \$1,001 - \$2 \$2,501 - \$5 \$5,001 - \$1 \$15,001 - \$2 \$2,501 - \$5 \$50,001 - \$1 \$100,001 - \$2 \$100,001 - \$2 \$100,001 - \$2 \$100,001 - \$2 \$100,001 - \$2 \$100,001 - \$2 \$100,001 - \$2 \$1,000,001 Over \$5,000	2,500 5,000 5,000 5,000 5,000 8,100 2,500 6,000 5,000 5,000 6,000	00 00,000 00,000 000,000 00 00 00 000 0		Current Year Preceding Year				"None" column. For all the category of incor	For assets for which you checked "Tax-Deferred" in Block C, you may check the	Amount of Income	BLOCK D

SCHEDULE II — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name MichAEI Thomas Sherzan Page 4 of 6

														SP, JT, DC		
· Money Machet Lind	BDF5 IRA #3-6704	· Morry Market Find	BDFS TRA #2-0598	· Money Market Find	BDFS +RA #1-9613	BDFS ESOP Stock	BDFS Holdings CORP RUGST DESMINE SOM) NOTES	10 Moter Stock	Put \$10 EXP 3/18/12	· Ford motor stock	· Money Market Fond	*universal electronics Stok	CALAMOS CONVERT FUND		Asset and/or Income Source	BLOCK A
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×		×		*		×	×	X	×	×	×	×	×	NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Type of Income	вгоск с
X		×		×		×	×	X	X	×	×	×	x	None	Amount of Income	BLOCK D

SCHEDULE II — ASSETS AND "UNEARNED" INCOME

Name MichAEI THOMAS SHERZAN Page 5 of 6

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											First Nat. Bank Midwest	Ally Bank Accounts	· CALAMOS CONVERT FUND	·	Asset and/or Income Source	BLOCK A	
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SCHEDULE III — LIABILITIES

Name Michael Thomas Sherzan

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owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000. ture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sib-Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount

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							SP, DC, JT	
CHASE	Discover	BANK OF AMERICA	CAPITAL ONC	BANK OF THE WEST	Luana Savings Bank	Example: First Bank of Wilmington, DE	Creditor	
August	Decombas 2012	MAY 2012	DECEMBRY 2012	2 F P TEMBER	march,	May 1998	Date Liability Incurred mo/year	
CREdit CAKB	credit CARD	CREdit CARP	credit cano	Business line of credit	Montgage on Routal Pagesty	Mortgage on 123 Main Street, Dover, DE	Type of Liability	
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SCHEDULE IV — POSITIONS

any nonprofit organization, any labor organization, or any educational or other institution other than the United States Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise,

and positions solely of an honorary nature. **Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization);

Position	Name of Organization
EMPloyee / TRUSTEE	BROKER DEALER FINANCIAL SERVICES CORP. / HORR PANTAUSTEE / ESOP TRUSTEE
Chairman, President, CEO	BDFSC Holdings CORP. Subsidiaxies BROKER DENIER FINANCIAl SERVICES CORP. BODESC Holdings CORP. Subsidiaxies BROKER DENIER FINANCIAL SERVICES LLC. I INVESTMENT Advisors
MEMber JOWNER (40%)	MEMber JOWNER (40%) DNS Properties LLC.
:- Committee Mamber	National Futures Association Introducing Firm Advisory committee
Consultant Council	GLG RESERRICH - GERSON LEARMAN GROUP, INC.

Use additional sheets if more space is required.