



Filing ID #10009403

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Clyde Edward Williams  
**Status:** Congressional Candidate  
**State/District:** NY13

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2015  
**Filing Date:** 01/20/2016  
**Period Covered:** 01/01/2014– 12/31/2015

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Abbey Capital Multi-Manager Fund LTD		\$15,001 - \$50,000	Tax-Deferred		
Abbey Capital Multi-Manager Fund LTD	SP	\$15,001 - \$50,000	Tax-Deferred		
Air Products and Chemicals, Inc. (APD)		\$1,001 - \$15,000	Tax-Deferred		
Air Products and Chemicals, Inc. (APD)	SP	\$1,001 - \$15,000	Tax-Deferred		
Alphabet Inc. - Class A (GOOGL)		\$1,001 - \$15,000	Tax-Deferred		
Alphabet Inc. - Class C Capital Stock (GOOG)		\$1,001 - \$15,000	Tax-Deferred		
Amazon.com, Inc. (AMZN)		\$1,001 - \$15,000	Tax-Deferred		
American Capital, Ltd. (ACAS)		\$1,001 - \$15,000	Tax-Deferred		
American Capital, Ltd. (ACAS)	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Apple Inc. (AAPL)	SP	\$15,001 - \$50,000	Tax-Deferred		
Apple Inc. (AAPL)		\$15,001 - \$50,000	Tax-Deferred		
Baywood LLC		\$250,001 - \$500,000	None		
Berkshire Hathaway Inc. New (BRK.B)		\$50,001 - \$100,000	Tax-Deferred		
Berkshire Hathaway Inc. New (BRK.B)	SP	\$1,001 - \$15,000	Tax-Deferred		
BlackRock Secured Credit Portfolio	SP	\$1,001 - \$15,000	Tax-Deferred		
BlackRock Strategic Income I	SP	\$15,001 - \$50,000	Tax-Deferred		
BlackRock Strategic Income I		\$15,001 - \$50,000	Tax-Deferred		
Boeing Company (BA)		\$1,001 - \$15,000	Tax-Deferred		
Boeing Company (BA)	SP	\$1,001 - \$15,000	Tax-Deferred		
British American Tobacco Industries, p.l.c. Common Stock ADR (BTI)	SP	\$1,001 - \$15,000	Tax-Deferred		
British American Tobacco Industries, p.l.c. Common Stock ADR (BTI)		\$1,001 - \$15,000	Tax-Deferred		
Campbell Fund Trust		\$15,001 - \$50,000	Tax-Deferred		
Campbell Fund Trust	SP	\$15,001 - \$50,000	Tax-Deferred		
Chevron Corporation (CVX)		\$1,001 - \$15,000	Tax-Deferred		
Chipotle Mexican Grill, Inc. (CMG)		\$15,001 - \$50,000	Tax-Deferred		
Citibank	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Colgate-Palmolive Company (CL)		\$1,001 - \$15,000	Tax-Deferred		
Colgate-Palmolive Company (CL)	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Counsyl Inc		\$50,001 - \$100,000	None		
DESCRIPTION: Private company - stock options grant of 77,000 shares					
Crane Company (CR)	SP	\$1,001 - \$15,000	Tax-Deferred		
Crane Company (CR)		\$1,001 - \$15,000	Tax-Deferred		
Diageo plc (DEO)		\$1,001 - \$15,000	Tax-Deferred		
Diageo plc (DEO)	SP	\$1,001 - \$15,000	Tax-Deferred		
Dominion Resources, Inc. (D)	SP	\$1,001 - \$15,000	Tax-Deferred		
Dominion Resources, Inc. (D)		\$1,001 - \$15,000	Tax-Deferred		
Federal National Mortgage Association (FNMA)		\$1,001 - \$15,000	Tax-Deferred		
Federal National Mortgage Association (FNMA)	SP	\$1,001 - \$15,000	Tax-Deferred		
General Growth Properties, Inc. (GGP)	SP	\$1,001 - \$15,000	Tax-Deferred		
General Growth Properties, Inc. (GGP)		\$1,001 - \$15,000	Tax-Deferred		
Home Depot, Inc. (HD)		\$1,001 - \$15,000	Tax-Deferred		
Home Depot, Inc. (HD)	SP	\$1,001 - \$15,000	Tax-Deferred		
Howard Hughes Corporation (HHC)	SP	\$1,001 - \$15,000	Tax-Deferred		
Howard Hughes Corporation (HHC)		\$1,001 - \$15,000	Tax-Deferred		
Illinois Tool Works Inc. (ITW)		\$1,001 - \$15,000	Tax-Deferred		
Illinois Tool Works Inc. (ITW)	SP	\$1,001 - \$15,000	Tax-Deferred		
Intel Corporation (INTC)	SP	\$1,001 - \$15,000	Tax-Deferred		
Intel Corporation (INTC)		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Invesco Ltd (IVZ)		\$1 - \$1,000	Tax-Deferred		
Invesco Ltd (IVZ)	SP	\$1,001 - \$15,000	Tax-Deferred		
Johnson Controls, Inc. (JCI)	SP	\$1,001 - \$15,000	Tax-Deferred		
Johnson Controls, Inc. (JCI)		\$1,001 - \$15,000	Tax-Deferred		
JP Morgan Chase & Co. (JPM)		\$1,001 - \$15,000	Tax-Deferred		
JP Morgan Strategic Income Opportunities		\$15,001 - \$50,000	Tax-Deferred		
JP Morgan Strategic Income Opportunities Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
Lord Abbett Floating Rate Fund Class F	SP	\$15,001 - \$50,000	Tax-Deferred		
Lord Abbett Floating Rate Fund Class F		\$15,001 - \$50,000	Tax-Deferred		
Lord Abbett Short Duration Income Fund		\$15,001 - \$50,000	Tax-Deferred		
MainStay Emerging Markets Equity Portfolio	SP	\$1,001 - \$15,000	Tax-Deferred		
Marsh & McLennan Companies, Inc. (MMC)		\$1,001 - \$15,000	Tax-Deferred		
Marsh & McLennan Companies, Inc. (MMC)	SP	\$1,001 - \$15,000	Tax-Deferred		
Medtronic plc. Ordinary Shares (MDT)	SP	\$1,001 - \$15,000	Tax-Deferred		
Medtronic plc. Ordinary Shares (MDT)		\$1,001 - \$15,000	Tax-Deferred		
Microsoft Corporation (MSFT)		\$1,001 - \$15,000	Tax-Deferred		
Microsoft Corporation (MSFT)	SP	\$1,001 - \$15,000	Tax-Deferred		
New York - 529 (1) ⇒ Growth Portfolio	DC	\$1,001 - \$15,000	Tax-Deferred		
LOCATION: NY					
New York - 529 (1) ⇒	DC	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Growth Stock Index Portfolio					
LOCATION: NY					
New York - 529 (1) ⇒ MidCap Stock Index Portfolio	DC	\$1,001 - \$15,000	Tax-Deferred		
LOCATION: NY					
New York - 529 (1) ⇒ Value Stock Index Portfolio	DC	\$1,001 - \$15,000	Tax-Deferred		
LOCATION: NY					
New York - 529 (2) ⇒ Growth Portfolio	DC	\$1,001 - \$15,000	Tax-Deferred		
LOCATION: NY					
New York - 529 (2) ⇒ Growth Stock Index Portfolio	DC	\$1,001 - \$15,000	Tax-Deferred		
LOCATION: NY					
New York - 529 (2) ⇒ Inflation Protected Securities Portfolio	DC	\$1,001 - \$15,000	Tax-Deferred		
LOCATION: NY					
New York - 529 (2) ⇒ MidCap Stock Index Portfolio	DC	\$1,001 - \$15,000	Tax-Deferred		
LOCATION: NY					
New York - 529 (2) ⇒ Value Stock Index Portfolio	DC	\$1,001 - \$15,000	Tax-Deferred		
LOCATION: NY					
NextEra Energy, Inc. (NEE)	SP	\$1,001 - \$15,000	Tax-Deferred		
NextEra Energy, Inc. (NEE)		\$1,001 - \$15,000	Tax-Deferred		
Nordstrom, Inc. (JWN)		\$1,001 - \$15,000	Tax-Deferred		
Nordstrom, Inc. (JWN)	SP	\$1,001 - \$15,000	Tax-Deferred		
Novartis AG (NVS)	SP	\$1,001 - \$15,000	Tax-Deferred		
Novartis AG (NVS)		\$1,001 - \$15,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
NY Life Insurance (NYLIAC) ⇒ Invesco VI American Value Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
NY Life Insurance (NYLIAC) ⇒ MainStay Emerging Markets Equity Portfolio		\$1 - \$1,000	Tax-Deferred		
NY Life Insurance (NYLIAC) ⇒ MainStay VP Common Stock	SP	\$1,001 - \$15,000	Tax-Deferred		
NY Life Insurance (NYLIAC) ⇒ MainStay VP Growth Allocation	SP	\$1,001 - \$15,000	Tax-Deferred		
NY Life Insurance (NYLIAC) ⇒ MainStay VP Growth Allocation		\$15,001 - \$50,000	Tax-Deferred		
NY Life Insurance (NYLIAC) ⇒ MainStay VP High Yield Corp Bond		\$1,001 - \$15,000	Tax-Deferred		
NY Life Insurance (NYLIAC) ⇒ MainStay VP International Equity	SP	\$1,001 - \$15,000	Tax-Deferred		
NY Life Insurance (NYLIAC) ⇒ MainStay VP International Equity		\$1,001 - \$15,000	Tax-Deferred		
NY Life Insurance (NYLIAC) ⇒ MainStay VP Mid-Cap Core	SP	\$1,001 - \$15,000	Tax-Deferred		
NY Life Insurance (NYLIAC) ⇒ MainStay VP Van Eck Global Hard Assets		\$1,001 - \$15,000	Tax-Deferred		
NY Life Insurance (NYLIAC) ⇒ MFS New Discovery Series	SP	\$1,001 - \$15,000	Tax-Deferred		
NY Life Insurance (NYLIAC) ⇒ Royce Micro Cap Portfolio		\$1 - \$1,000	Tax-Deferred		
Occidental Petroleum Corporation (OXY)		\$1 - \$1,000	Tax-Deferred		
Occidental Petroleum Corporation (OXY)	SP	\$1,001 - \$15,000	Tax-Deferred		
Palo Alto Networks, Inc. (PANW)	SP	\$1,001 - \$15,000	Tax-Deferred		
Pepsico, Inc. (PEP)	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Pepsico, Inc. (PEP)		\$1,001 - \$15,000	Tax-Deferred		
Pioneer Natural Resources Company (PXD)	SP	\$50,001 - \$100,000	Tax-Deferred		
Platform Specialty Products Corporation (PAH)	SP	\$1,001 - \$15,000	Tax-Deferred		
Platform Specialty Products Corporation (PAH)		\$1,001 - \$15,000	Tax-Deferred		
Residential Rental Property	JT	\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	\$15,001 - \$50,000
LOCATION: Washington, DC, US					
Rockwell Automation, Inc. (ROK)		\$1,001 - \$15,000	Tax-Deferred		
Rockwell Automation, Inc. (ROK)	SP	\$1,001 - \$15,000	Tax-Deferred		
Schlumberger N.V. (SLB)	SP	\$1,001 - \$15,000	Tax-Deferred		
Schlumberger N.V. (SLB)		\$1,001 - \$15,000	Tax-Deferred		
State Dept Federal Credit Union	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
TD Bank	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Technology Sector SPDR Trust	SP	\$1,001 - \$15,000	Tax-Deferred		
Technology Sector SPDR Trust		\$1,001 - \$15,000	Tax-Deferred		
Texas Instruments Incorporated (TXN)		\$1,001 - \$15,000	Tax-Deferred		
Texas Instruments Incorporated (TXN)	SP	\$1,001 - \$15,000	Tax-Deferred		
Toronto Dominion Bank (TD)	SP	\$1,001 - \$15,000	Tax-Deferred		
Toronto Dominion Bank (TD)		\$1,001 - \$15,000	Tax-Deferred		
UBS - Bank USA	SP	\$1,001 - \$15,000	Tax-Deferred		
UBS 401k	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Union Pacific Corporation (UNP)		\$1,001 - \$15,000	Tax-Deferred		
Union Pacific Corporation (UNP)	SP	\$1,001 - \$15,000	Tax-Deferred		
United Technologies Corporation (UTX)	SP	\$1,001 - \$15,000	Tax-Deferred		
United Technologies Corporation (UTX)		\$1,001 - \$15,000	Tax-Deferred		
V.F. Corporation (VFC)		\$1,001 - \$15,000	Tax-Deferred		
V.F. Corporation (VFC)	SP	\$1,001 - \$15,000	Tax-Deferred		
Valeant Pharmaceuticals International, Inc. (VRX)		\$1,001 - \$15,000	Tax-Deferred		
Valeant Pharmaceuticals International, Inc. (VRX)	SP	\$1,001 - \$15,000	Tax-Deferred		
Wells Fargo		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Yum! Brands, Inc. (YUM)	SP	\$1,001 - \$15,000	Tax-Deferred		
Yum! Brands, Inc. (YUM)		\$1,001 - \$15,000	Tax-Deferred		
Zoetis Inc. Class A (ZTS)		\$1,001 - \$15,000	Tax-Deferred		
Zoetis Inc. Class A (ZTS)	SP	\$1,001 - \$15,000	Tax-Deferred		

\* Asset class details available at the bottom of this form.

**SCHEDULE C: EARNED INCOME**

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Ardeo Advisors LLC	Partnership/Consulting Fees	\$	\$150,000
CEMK Inc.	Consulting Fees	\$220,000	\$220,000
Macro Advisory Partners LLC	Spouse Salary	N/A	N/A



Source	Type	Amount Current Year to Filing	Amount Preceding Year
UBS AG	Spouse Salary	N/A	N/A
DI Holdings LLC	Spouse Director Fee	N/A	N/A
Patent Properties, Inc	Spouse Advisory Fee	N/A	N/A
Princeton University	Spouse Salary	N/A	N/A
PXD	Spouse Directors Fee	N/A	N/A

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo Home Mortgage	o8/o8	Mortgage	\$250,001 - \$500,000

**SCHEDULE E: POSITIONS**

Position	Name of Organization
Board Member	MAC AIDS Fund

**SCHEDULE F: AGREEMENTS**

None disclosed.

**SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

None disclosed.

**SCHEDULE A ASSET CLASS DETAILS**

<ul style="list-style-type: none"> <li>New York - 529 (1) (Owner: DC) LOCATION: NY</li> <li>New York - 529 (2) (Owner: DC) LOCATION: NY</li> <li>NY Life Insurance (NYLIAC) DESCRIPTION: Universal Life Insurance</li> <li>NY Life Insurance (NYLIAC) (Owner: SP) DESCRIPTION: Universal Life</li> </ul>
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**EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Clyde Edward Williams , 01/20/2016