

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

Form A
For use by Members, officers, and employees

HAND DELIVERED Page 1 of 1

Name: Hoxsen S. Jeffries

Daytime Telephone: _____

U.S. House of Representatives
(Office Use Only)

2013 MAY 15 PM 3:18

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>NY</u>	District: <u>08</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	A \$200 penalty shall be assessed against anyone who files more than 30 days late.
Report Type	<input checked="" type="checkbox"/> Annual (May 15, 2013)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date: _____		

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

IPO—Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

[illegible]

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[illegible]

Continuation Sheet (if needed)

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BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source	Year-End Value of Asset	Type of Income	Amount of Income	Transaction
SP - RUSSELL LIFEPOINT BALANCED INVESTMENT FUND CLASS C	A None B \$1-\$1,000 C \$1,001-\$15,000 D \$15,001-\$50,000 E \$50,001-\$100,000 F \$100,001-\$250,000 G \$250,001-\$500,000 H \$500,001-\$1,000,000 I \$1,000,001-\$5,000,000 J \$5,000,001-\$25,000,000 K \$25,000,001-\$50,000,000 L Over \$50,000,000 M Spouse/DC Asset over \$1,000,000*	NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None II \$1-\$200 III \$201-\$1,000 IV \$1,001-\$2,500 V \$2,501-\$5,000 VI \$5,001-\$15,000 VII \$15,001-\$50,000 VIII \$50,001-\$100,000 IX \$100,001-\$1,000,000 X \$1,000,001-\$5,000,000 XI Over \$5,000,000 XII Spouse/DC Income over \$1,000,000*	P, S, E
ST - BUSSELL LIFEPOINT BALANCED INVESTMENT FUND CLASS C	X	CAPITAL GAINS	III	S(part) d
SP - ROYALTY FEDERAL CREDIT UNION ACCOUNT	X	INTEREST	VII	
SP - NORTHWESTERN MUTUAL MORTGAGE LIFE INSURANCE POLICY	X	DIVIDENDS	VII	
SP - Diversified IRA TIAA MONEY MARKET ACCOUNT	X	DIVIDENDS	VII	
SP - Lutheran Medical Center ABLE	X	DIVIDENDS	VII	
SP - VALIC Fixed Interest	X	DIVIDENDS	VII	
SP - PINCE RET IRMA	X	DIVIDENDS	VII	
SP - Strategic Disposition Mutual Fund	X	DIVIDENDS	VII	
ST - Bank of America Savings Account	X	DIVIDENDS	VII	

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Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependant child.

Asset	
SP, DC, JT	Example:
CD	Mega Corporation Common Stock (partial sale)

Example.	Page
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SCHEDULE V— LIABILITIES

Name Rep. Jeffries

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE	\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Liability Over \$1,000,000*
JT	Chase MasterCard	December 2012	Credit Card	X										
	HSBC	January 2007	Primary Residence Mortgage					X						
	Bank of America	April 2007	Home Equity Line of Credit		X									

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$350 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	\$375
21A		

SCHEDULE VIII—POSITIONS

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I, positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Of Counsel	Godowsky and Gentile, New York, NY
Of Counsel	Roman and Associates, PLLC, New York, NY

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
11/2007 - Present	CRS/Hickam S. Jeffries	Continued participation in a 401(k) plan.
1/2007 - Present	New York State Common Retirement Fund/Hickam S. Jeffries	Continued participation in a New York State emergency fee agreement subject to favorable resolution (termination of of counsel relationship effective Jan. 2, 2013)
12/2009	Roman and Associates, PLLC/Hickam S. Jeffries	Termination of of counsel agreement with the firm and cessation of bi-weekly compensation. The departure agreement preserves a permissibly earned contingency fee interest in ten enumerated litigation matters to the extent any case is resolved favorably in the future, absent further legal involvement from Mr. Jeffries.
12/2012	Godowsky and Gentile/Hickam S. Jeffries	