

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

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For use by Members, officers, and employees

HAND DELIVERED

Morris J. Brooks, Jr.

(Full Name)

(Daytime Telephone)

2013 MAY -8 PM 4:29

(Office Use Only)

LEGISLATIVE RESOURCE CENTER

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Filer Status ☒ Member of the U.S. House of Representatives State: AL District: 05

☐ Officer Or Employee Employing Office:

U.S.

HOUSE OF REPRESENTATIVES

Report Type ☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

IF YOU ARE A MEMBER OF THE HOUSE OF REPRESENTATIVES, A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>		<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
JT	Citibank NA South Dakota Deposit Account	\$1,001 - \$15,000	INTEREST	NONE	
JT	Charles Schwab stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	CitiGroup Capital x 6.10% preferred stock	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	Morgan Stanley Cap Tr V 5.75% preferred stock	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	Baldwin County, AL Board of Ed Bond 11/6/08	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
JT	Tobacco Settlement Finance Corp of Louisiana Bonds	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	Huntsville-Redstone Village Bonds	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT	Royce Global Value Fund Consultant Class	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
JT	Baldwin County, AL Board of Ed Bond 2/9/10	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT	Madison Alabama RFDG WTS - Series B	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
JT	Homewood Ala City Brd Ed Spl Tax Sch Wts Rev	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
JT	Montevallo Ala Amern Vig Pub Edl Bldg A OID	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
JT	TD Bank USA RDIC Insured Deposit Account	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
JT	Alliance Resource Partners LP Partnership Interest	\$15,001 - \$50,000	Partnership Distribution	\$2,501 - \$5,000	
JT	Arlington Asset Investment Corp Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Blackrock Income Trust Stock	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
JT	Consolidated Edison Co. NY, Inc. Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	DNP Select Income Fund, Inc.	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
JT	Duke Energy Holdings Corp. Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Hospitality Properties Trust Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	Hugoton Royalty Trust Stock	\$1,001 - \$15,000	Royalties	\$201 - \$1,000	
JT	Progress Energy, Inc. Stock	None	DIVIDENDS	\$201 - \$1,000	E
JT	Redwood Trust Company Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Sunoco Logistics Partners Partnership Interest	\$50,001 - \$100,000	Partnership Distribution	\$1,001 - \$2,500	
JT	Universal Health Realty Income Trust Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	Verizon Communications Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	San Joaquin Hills CA Transportation-Highway Revenue Tolls	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT	California EDL GACS Auth College & Univ. Revenue	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
SP	Legg Mason Opportunity Trust Mutual Fund	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	Legg Mason Special Investment Trust Mutual Fund	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	Royce Fund Mutual Fund	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	Dreyfus Money Market	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	RS Large Cap Alpha Fund Class A	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	TD Bank USA FDIC Insured Deposit Account	\$1,001 - \$15,000	TAX-DEFERRED	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Anworth Mortgage Asset Stock	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	Dreufus Strategic Municipal Bond Fund Stock	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	Duke Energy Holdings Corporation Stock	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
	TD Bank USA FDIC Insured Deposit Account	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
	Duke Energy Holdings Corporation Stock	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
	IMPAC Mortgage Holdings Stock	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
	New York Community Bancorp Stock	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
	Newcastle Investment Corp Stock	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
	WP Carey & Company, LLC Partnership Interest	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
	Duke Capital Corp Bonds	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
JT	Olsen Chiropractic	\$50,001 - \$100,000	INTEREST	\$2,501 - \$5,000	
SP	Retirement Systems of Alabama	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
	Retirement Systems of Alabama	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
JT	Redstone Federal Credit Union Savings Account	\$15,001 - \$50,000	INTEREST	\$1 - \$200	

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	Homewood Ala City Brd Ed Spl Tax Sch Wts Rev	P	N/A	7/6/12	\$1,001 - \$15,000
JT	Montevallo Ala Amern Vlg Pub Edl Bldg A OID	P	N/A	7/20/12	\$1,001 - \$15,000
JT	Progress Energy, Inc. Stock	E	N/A	7/3/12	\$15,001 - \$50,000

SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	Capitol Hill Tower Housing Coop, Inc.	December, 2010	Mortgage on personal residence	\$250,001 - \$500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Turkic-American Alliance	June 9 - 17	DC - Istanbul - DC	Y	Y	Y	None