



Filing ID #10012057

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** James E. Banks  
**Status:** Congressional Candidate  
**State/District:** IN03

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2016  
**Filing Date:** 05/16/2016

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Capital Income Builder Fund Class A	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CMS Energy Corporation (CMS)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Eli Lilly and Company (LLY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
INDIANA 529 ⇒ College Choice 529 (Age-based option aggressive growth portfolio 100%)  LOCATION: IN DESCRIPTION: Joann Banks		\$1 - \$1,000	Tax-Deferred		
INDIANA 529 ⇒ College Choice 529 (Age-based option growth portfolio 100%)  LOCATION: IN DESCRIPTION: Lillian Banks		\$1,001 - \$15,000	Tax-Deferred		
INDIANA 529 ⇒ College Choice 529 (Age-based option growth portfolio 100%)  LOCATION: IN		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Elizabeth Banks					
INDIANA 529 ⇒ College Choice 529 (Age-based option growth portfolio 100%)		\$1 - \$1,000	Tax-Deferred		
LOCATION: IN DESCRIPTION: Lillian (2)					
INPRS Legislators' Defined Contribution Fund		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: 2045 Retirement Fund					
INPRS Legislators' Defined Contribution Plan	SP	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: 2045 Retirement Fund					
IRA ⇒ AMG Yachtman Focused Fund Service Class	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ Income Fund of America Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ PGR Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ T Rowe Price New Horizons	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ Washington Mutual Investors Fund Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
Marathon Oil Corporation (MRO)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Options Xpress Charles Schwab IRA		\$15,001 - \$50,000	Tax-Deferred		
ReliaStar Life Insurance	SP	\$100,001 - \$250,000	None		
Roth IRA ⇒ Capital World Growth & Income Fund Class A	SP	\$1 - \$1,000	Tax-Deferred		
Roth IRA ⇒ Investment Company of America Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ New World Class A	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
STAR Financial Bank Checking Account	JT	\$1,001 - \$15,000	None		
STAR Financial Bank E-Checking Account	JT	\$1 - \$1,000	None		
STAR Financial Bank Savings Account	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
State of Indiana Public Employee Deferred Compensation Plan	SP	\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: Indiana 2040 Fund					
Traditional IRA		\$1,001 - \$15,000	Tax-Deferred		

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
State of Indiana	Salary	\$33,517.97	\$15,318.57
State of Indiana	Spouse salary	N/A	N/A
U.S. Navy Reserves	Salary	\$1,807.69	\$20,037.75
Grace Schools	Spouse salary	N/A	N/A
HDC Bradley	Nonemployee Compensation	\$8,156.45	\$48,680.49

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Teachers Credit Union	May 2016	Car loan	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
November 2014	Jim Banks and Indiana State Senate	Indiana State Senator Jim Banks was sworn into office on 11/18/14 and immediately requested military leave of absence from his duties as authorized by IC 5-9-4 for the duration of his active duty. Upon return from deployment, Jim Banks submitted written notification of his return and readiness to resume his duties as senator to the President Pro Tempore dated 5/7/15. He resumed office 5/8/15.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- INDIANA 529  
LOCATION: IN
- IRA (Owner: SP)
- Roth IRA (Owner: SP)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** James E. Banks , 05/16/2016