₹  X	Yes	child because	actions, or liabilities of a spouse or dependent ceth the Committee on Ethics.	‴ income, trans rst consutted wi	EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.
ĕ ⊠	' Yes	closed. Have you	l certain other "excepted trusts" need not be disclosed. Have you	on Ethics and dependent child	TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trust excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
Ñ	EACH OF THESE QUESTIONS	FTHESE	- ANSWER	T INFORM	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION
the onse.	"Yes" resp	must be an ed for each	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response	<b>№</b>	V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?  If yes, complete and attach Schedule V.
₹  X	¥ Yes □	arrangement wit	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	□ N <sub>S</sub>	IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?  If yes, complete and attach Schedule IV.
Š	Yes	or before the dat	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	S	III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?  If yes, complete and attach Schedule III.
<del>₹</del>	Yes	d receive any n the reporting	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?  If yes, complete and attach Schedule VII.	No No	II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?  If yes, complete and attach Schedule II.
₹   <u>X</u>	Yes	receive any regating more	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?  If yes, complete and attach Schedule VI.	<u>s</u>	I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?  If yes, complete and attach Schedule I.
			OF THESE QUESTIONS	OF THESE	PRELIMINARY INFORMATION - ANSWER EACH
assessed more than	A \$200 penalty shall be assessed against anyone who files more than 30 days late.	A \$200 penagainst anyona 30 days late	e Termination Date:	Employee	Status  House of Representatives District: At Large  Report Type  Annual (May 16, 2011)  Amendment
27	2011 JUN 14 ATT11: 27	2011 JU	elep	Daytime T	Rich
ERED	HAND DELIVERED	HAND	Form A For use by Members, officers, and employees	MENT	UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

	Name
	Richard
	<i>A</i> .
1	Berg

Page / of /

## SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

		Cattle Banch - Oliver County, ND			Examples: Civil War Roundtable (Oct. 2nd) Ontario County Board of Education	Source	exceeding \$1,000. See examples below. <b>Exclude:</b> Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.
		Spouse Cattle Ranch	Spouse Salary	Legislature Salary	Legislative Pension Spouse Speech Spouse Salary	Type	s received under the Social Sec
		NA	N/A	6,300	\$9,000 \$1,000 NA	Amount	purity Act.

For payments to charity in lieu of honoraria, use Schedule II.

	Name
	Richard
1	£.
/	Berg

Page / of /

# SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

Tope to nationium g no not to more or a continuous of the second			
Source	Activity	Date	Amount
Association of American Associations, Washington, DC	Speech	Feb 2, 2010	\$2,000
Examples: XYZ Magazine	Article		\$500
None			
	-		

#### Asset and/or Income Source BLOCK A

the end of the reporting period, and (b) any other of income with a fair market value exceeding \$1,000 at more than \$200 in "unearned" income during the year. reportable asset or sources of income which generated Identify (a) each asset held for investment or production

not use ticker symbols.) Provide complete names of stocks and mutual funds (do

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have value at the end of the reporting period the name of the institution holding the account and its ment accounts which are not self-directed, provide only account that exceeds the reporting thresholds. For retireinvestments), provide the value for each asset held in the the power, even if not exercised, to select the specific

vide a complete address. For rental or other real property held for investment, pro-

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**G** 

that is not publicly traded, state the name of the busition in Block A. ness, the nature of its activities, and its geographic loca-For an ownership interest in a privately-held business

accounts; and any financial interest in, or income derived ing \$5,000 or less in a personal checking or saving income during the reporting period); any deposits total-Exclude: Your personal residence, including second from, a federal retirement program, including the Thrift homes and vacation homes (unless there was rental

income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. If you so choose, you may indicate that an asset or

please refer to the instruction booklet For a detailed discussion of Schedule III requirements.

None

\$1,001 -

\$15,000

\$50,001 - \$100,000

\$250,001 - \$500,000

Mega Corp. Stock Simon & Schuster

D,

Examples:

Weekare Building (30%)

1st Bank of Paducah, KY Accounts

Indefinite

Farmland - Oliver Corty, ND

Farmland - Benson Coty. ND

Residential Land-Washburn ND

Dakota Growers lasta Co. Inc

#### Value of Asset BLOCK B

reporting year. If you use a valuation Indicate value of asset at close of please specify the method used method other than fair market value,

generated income, the value should be If an asset was sold during the reporting year and is included only because it "None."

> Type of Income BLOCK C

Amount of Income

Transaction

BLOCK E

BLOCK D

if the asset generated no income dur Dividends, interest, and capital that generate tax-deferred income you to choose specific investments or Check all columns that apply. For disclosed as income. Check "None gains, even if reinvested, must be retirement accounts that do not allow ing the reporting period. may check the "None" column (such as 401(k) plans or IRAs), you

checking the appropriate box below. indicate the category of income by the "None" column. For all other assets, as 401(k) plans or IRAs), you may check that generate tax-deferred income (such For retirement accounts that do not allow you to choose specific investments or interest and capital gains. purchases (P) exchanges (E) sales (S), or asset had Indicate if the

		, "	: O
III Mar V Mar VII Wall IX 😿 XI	was earned or generated.	as income, Check "None" if no income	Dividends, interest, and capital gains,
If only a	reporting y	\$1,000 in	exceeding

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If only a portion of an asset is sold, please indicate as follows:  (S) (partial) See below for example.	reporting year

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	×							×	CAPITAL GAINS	
	<b>V</b>				16.00				elskisherkelskiskyn frie et little i 1971 (1896)	Ø,
							Royalties		Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	
,	1902	$\geq$	<b>100</b>						None –	_
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	100.00								\$201 – \$1,000 =	
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	1					Γ	فنن		Over \$5,000,000 ×	2.5
	8							S (partial)	portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.  P. S. E	5

\*Property values reflect the filer's percentage For additional assets and unearned income, use next page. interest

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Continuation Sheet (if needed)

Name Kichard H. Berg

Page \_\_\_\_ of\_

Continuation Sheet (if needed)			inchard in very	8
BLOCK A	BLOCK B	вгоск с	BLOCK D	BLOCK
Asset and/or Income Source	Year-End Value of Asset	Type of Income	Amount of Income	Iransaction
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200	X			
Portilio				
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Ramsey Bank Aucount Fargo, ND	ND			
o Kelly Zander	Fargo, ND		×	
Amber Holding LLP	Fargo, ND		X	
Jesse Proje	Fargo, ND			
7 8 1	West Fargo, ND			

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Asset and/or income Source  Value of Asset  None  State bank to bank farm No  State bank to bank farm  Value of Asset  None  None  State bank to bank farm  None  None  State bank to bank farm  None  None  Rent  None  Rent  None  Rent  Rent  None	၂၀	unuatio	Continuation Sheet (if needed)					1						?! }	7. >>: 7	2
Value of Asset  Value of Asset		Accet			<b>4</b>	CK B			<b>⊣</b> ըն	<b>S</b> ×	Type Type	SK C				Amou
Lans to West and Reported Famous None  Analysis to West Trust Records Famous No  State Bank Trust Records State ments  Trust State Bank Trust Records State ments  Morgan Stands Smith Bank Smith Bank  SEP Account See Allower At No  SSEP Account See Allower State Ments  NONE  NONE  NONE		3			Value	of Asset			으.	ヹ:	of Income	ome	<u></u>	<u></u>	<u></u>	<u></u>
Leans to Westernal Reporter Farmon None  Choice Financial Colle Monthead Will  Choice Financial College Monthead State Bank Trust Accounts  Sharte Bank Trust Accounts  Sharte Bank Trust Accounts  See Allocants  NONE  NONE	SP,			1	E	G 1	) <u> </u>								VI	XI NIV VI
Loans to Westwind Reporters Fano, NO.  Choice Financial Bank Fano, ND  Bremer Bank Accounts Fano, ND  State Bank Frust Accounts Fano, ND  State Bank Frust Accounts Fano, ND  XX  SEP Account See Allowed Statements.  West State Bank Trust  SEP Account Statements.  None  \$1,000,001 - \$10,000  \$25,000,001 - \$50,00  \$25,000,001 - \$50,00  NONE	DÇ,						3 (2) A									or
Leans to Westwind Reporter Fano, No.  Choice Financial - Bank Faro, ND  Bremer Bank Accounts Fano, ND  State Bank - Trust Accounts Faro, ND  State	<u>-</u>			00	,000				s S		100	ncom	ncom	ncom	ncom	ome
Loans to Westwind Properties Fano. ND  Choice Financial Gille Moothed MN  Choice Financial - Bank Fano. ND  Brewer Bank Account - Bank Fano. ND  State Bank - Trust Alwards Fano. ND  State Bank - Trust Alwards Fano. ND  Ranch Equipment - White Fano. ND  State Bank - Trust Alwards  State Bank - Trust - State Bank - S				15,0	\$100				GAIN		e of I	e of I e.g., ip Inc	e of t e.g., ip Inc ome)	e of te.g., ip Income)	e of ! e.g., ip Income)	e of t e.g., ip Income) 0000 \$5,000
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\*Property values reflect the filer's percentage interest

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name Kichard A.

Continuation Sheet (if needed) Ę Ŋ, SP 56 Braaten Apartments TWREIT Marelius Asset and/or Income Source enaissance imbera Fargo ND-Lavestments in businesses Lavestments Hartments lariners Building-Horace N roperties lains #3- Parge MD Contures L.K. West Estate ➣ None O Value of Asset m \$100,000 Year-End BLOCK B S \$250,001 - \$500,000 \$25,000,001 NONE RENT of Income BLOCK C **CAPITAL GAINS** Type Other Type of Income (Specify: e.g., Partnership Income or Farm Income) None Amount of Income \$201 - \$1,000 BLOCK D < \$2,501 - \$5,000 ≦ \$15,001 - \$50,000 \$\$0,001 -\$100:000 \$100,001 - \$1,000,000  $\bar{\mathsf{x}}$ × \$1,000,001 - \$5,000,000 · Over \$5,000,000 × Transaction BLOCK E ரைவ

SCHEDULE III-ASSETS AND "UNEARNED" INCOME

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\*Property values reflect the filer's percentage interest

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)		Name ///Chara	H. Verg	rage of oil
	BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source	Year-End	Type	Amount of Income	Transaction
	Value of Asset	of Income		
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Bldg. #2- Fa	<b>N</b> B	X	×	
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5P Wells Faran Bank Aust	×	X		
nwood-hos				
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Cash Value - Life Ins. This page may be con	we - Life Ins. (whole Life) This page may be copied if more space is required.			

\*Property values reflect the filer's percentage interest

SCHEDULE III --- ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Richard A. Berg

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" " #17 Lincoln.	" # 16 Lidgerwood	" #15 Lamoure	" " + H Kulm, ND	" " *13 Huran 50	" " #12 Highmore	" " #   Grundy Cente	" " *10-GrandForks	" " #9- Graceville,	" #8- Feares Falls	" " 47-Elbowlake	" " +6-Des Moines,	" #5-Cassetten,	" " #4_Britton,	" " #3-Blairstean.	" 1-2-E	Comm. Bldg. #1- Anthon, ]	Old Abe Capital LLP:	Waddell + Reed-Sec SAP	de	Midland Insurance		Asset and/or Income Source
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\*Property values reflect the filer's percentage interest

Name Michard H. Berg

Page & of /U

Continuation Sheet (if needed)

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\*Property values reflect the filer's percentage interest

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name Richard A. Berg

Page 9 of 10

Continuation Sheet (if needed)

Stand to refine them was	C. JE-to ND Real Falts	Fame ND-Airplane	714 Partners LLP	Apt. Bldg Fargo ND	Ğ,	ion Ac	Comm. Bida - Targo. ND	<b>~</b> ∣		•	" " = +- Farao MO	" " #3 - Fargo, NB	" #2-Fa!	Apt. Bldg. #1 - Fargo.	Skyview Partners, LLP:			SP.		Asset and/or Income Source	BLOCK A
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	Topane	Net Income		X		PLat- Profits			X	×	×	×	X	×				NONE  RENT  CAPITAL GAINS  Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	of Income	Туре	BLOCK C
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<sup>\*</sup>Property values reflect the filer's percentage interest
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SCHEDULE III—ASSETS AND "UNEARNED" INCOME

me Kichard A. Ber

Page 10 of 10

Loan to Continuation Sheet (if needed)  $\dashv$ SP 8 Bauerl Grena Bauer Trivate Equi Big amping Asset and/or Income Source BIN 041 Bismárck, Dourne Vesign (Stoup, LA) Tarya, NDwin Homes - Washburn, Bena ot *vevelopment* BLOCK A toundation build w Lori lim NU Frisk Fargo, ND Fargo, ND Fargo, ND ➣ None O \$1,001 - \$15,000 Value of Asset m \$50,001 Year-End BLOCK 8 Ò \$500,000 NONE RENT of income BLOCK C CAPITAL GAINS Type Other Type of Iricome (Specify: e.g., Partnership Income or Farm Income) None Amount of Income \$201 - \$1,000 BLOCK D \$15,001  $\bar{\mathsf{x}}$ \$1,000,000 ≚ Over \$5,000,000 Transaction BLOCK E шου M

\*Property values reflect the filer's percentage interest
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## SCHEDULE IV— TRANSACTIONS

Name Richard A. Berg

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mpt Didy-targo, IVI		tarmhouse lathers LLP	4.244 % interest-Old Abe Capital LIP	Equity Fund III	0.99414% interest-Bauer Private	Kilbourne Design Group, LLP	Private Equity Fund III, LLC)	(Assets transferred to Bauer	Bauer Private Equity Fund I	SP UBS-SEP Account XX	UBS - SEP Account XX	UBS Financial Services Account XXXX	2 Accounts - See Albached Starts, 77	Morgan Stanley Smith Barney X	SP Tracy Martin Enterprises, Ltd.	Pakota Growers Pasta Co., Inc. X	SP Example: Mega Corporation Common Stock (partial sale)	SP, DC, JT Asset	Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.	action. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.	Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss Provide a brief description of any exchange trans.
_			4 6		N N				N 11	×		×		×	<b>.</b> .	×			Check B Gain Ex	lox if Capita ceeded \$20	al 00
		9-1-10	05-03-10 +12-15-10		2810 Purchase	10-21-10			2010 LLC						2010 Corp. Liquidation	05-03-10	10-12-10		kly, if able	(MO/DAY/YR) or Quarterly, Monthly or	Date
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## SCHEDULE IV— TRANSACTIONS

Name Kichard A. Berg

Page Z of Z

	218	Comm Blda # 36 - Lowafalls IA	Comm. Bldg. # 35 - Oskaloosa, It		Comm. Bldg. #33- Pella IA	Comm. Bldg. #6- Des Moines, IA	Old Abe Capital, LLP:	Twin Home, Washburn ND	Twin Home Washburn ND	Big Bend Development LLP:	R.J. O'Brien Account	SBT Investments Account	SBT Income with Growth	59 401/K) Plan Account - 3,187.761 uni	Example: Mega Corpor	SP DC IT Asset	Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.	resulted in a capital loss. Provide a brief description of any exchange trans- action. Exclude transactions between you, your spouse or dependent chil- dren, or the purchase or sale of your personal residence, unless it gener- ates rental income. If only a portion of an asset is sold, please so indi- cate (fig. "nartial sale.") See example helow.	Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that
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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

		58		59		SP,	I
State Bank+Trust	hamsey Bank	Wells Fargo Bank	Starion Financial	Wells Fargo Equip. Finance	Example: First Bank of Wilmington, DE	Creditor	
at.2005	7eb, 2005	Aug. 2010		1	)E May 1998	Date Liability Incurred Mo/Year	
at.2005 Business Loans	7eb, 2005 Business + Real Estate La	Aug. 2010 Business Line of Credi	7eb 2009 Business Line of Credi	June 2009 Farm Equipment Loan	Mortgage on 123 Main St., Dover, DE	Type of Liability	
	7	×	+	×		\$15,001- \$50,000	
					×	\$100,001- \$250,000	Amo
×			×			\$500,001- \$1,000,000	Amount of Liability
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## SCHEDULE VI— GIFTS

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold. Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value .
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	\$345
	N/A - New Member	

\$50,000,000

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

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are n	investi	Liabilit	Bren	Example:	
ot inc.	nent,	es of l	Bremer Bank	First Bank o	
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nt to	2 2	s and	June 200	May 1998	Date Liability Incurred Mo/Year
are not included pursuant to specific reporting	investment property held in a partnership, LLC	Liabilities of business entities and mortgages /loans on	June Zoot Business Loan	Mortgage	
e sep	rahip	es/loar	s Loan	Mortgage on 123 Main St., Dover, I	Type of Liability
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## SCHEDULE VI— GIFTS

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold. Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	\$345
	N/A	

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Richard
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Berg

Page / of /

## SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$335 received by

spouse or dependent child that is totally independent of his or her relationship to you Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a or were paid by you and reimbursed by the sponsor.

	Source	Date(s)	City of Departure—Destination— City of Return	Lodging?	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days <u>not</u> at sponsor's expense
	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	Z		Z	None
examples.	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Υ	~	~	2 Days
	N/A-	N/A- New	Member				
					,		
		!					
•					,		

## **SCHEDULE VIII—POSITIONS**

Name Richard A. Berg

Page / of \_

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

caudis), and positions solely of all floriorary fiature.	ororary nature.
Position	Name of Organization
Partner	See Attachment Listing Partnerships
Member in Limited Liability Company	See Attachment Listing LLCs
Treasurer Director	Goldmark Schlossman Commercial Real Estate Services, Inc.
Director	GF Corporation
Director	Northern Plains Capital Corp.

## SCHEDULE IX—AGREEMENTS

employee welfare or benefit plan maintained by a former employer. government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of

Date	Parties To	Terms of Agreement
	N/A	

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		BE
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	Camping Haventures, LLP	38
	Cow Share LLP	34
	Kilbburne Design Group, LLP	έε
	Big Bend Development, LLP	35
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	714 Partners LLP	82 '
	JJB Kroperties LLB	72,
	Amber Holdings LLP	56
	Skyview fartners LLP	SZ.
	01d Abe Capital, LLP	żq
	Ag Feyndation Building LLP	53
	1520 fartners LLP.	22
di	1 strontradt boowseed -boownad	12,
	hed Gate Apartments LLP	50
	High Point Partners LLP	61
	Farm House factoers	8ŗ
	Fay Land Partners, LLP	21
	Wheelberg lartners LLP	91
Toosted Frog West LLC	Westwind Kroperties II, LLP	12
777 3811	Westwind Properties, LLP	b1
Lase Plaza LLC	Timberg Partners LLP	εί
N) Natural Beet LLC	94 9118 118	15
277 981	Grasten Apartments, LLP	11
אפמסונצפחבב לפחלארבה, לברכ	Summit Partners LLP	01
Quality Dainy Growers, LLC.	Marelius Apartments	6
Ntractive, LLC	LNAEIT Properties, LLLP	8,
Intelligent Insites, LLC	oldmark Schlossman VC lartners	7 i
(companies)		9
Member in LLCs (Limited Liability	larmer in larmerships	s
	<u> </u>	6
	Hadment	
10 Daysys 201	Schedule VIII- Positions	_ 1 ~
Reviewed By	Richard A. Berg	<u> </u>

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Ref. 00000611 00016586

Client Statement 2010 Year End Summary

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MorganStanley SmithBarney

RICHARD A BERG

Account Number

We are pleased to provide you with this overview of activity in your account this year. This information will help you understand the performance of your investments and massistance of your Financial Advisor. This information is not provided to the IRS.

Tota	1099 Exempt	Description 1099 Reported	
6 63	es may not be the same	Due to IRS reporting requirements, these figures may not be the same as totals reported on your monthly statement.	Description Amount Value of your account on 1927/30 \$1000
		Earnings summary 2010	Value of your portfolio
	eccount with the	of your investments and manage your a provided to the IRS.	This information will help you understand the performance of your investments and manage your account with the assistance of your Financial Advisor. This information is not provided to the IRS.

	ributions or unt	s earnings, capital gain dist sy are included in this ampl	→If you received money funds earnings, capital gain distributions or non-taxable distributions, they are included in this amount.
\$ 1,646.38		\$ 1,848,39	Totals
1,618.28		1,616.28	Qualified dividends
\$ 28.1		\$ 26.11	Other dividends **
Tota	1088 Exempt	1089 Reported	Description
le as	may not be the san	Due to IRS reporting requirements, these figures may not be the same as totals reported on your monthly statement.	Due to IRS reporting requirements, these I totals reported on your monthly statement.
		ngs summary 2010	Earnings sum

Description
Foreign tax paid \$ 17.11 Amount \$ 17.11 

or foreign regulations.

Summary of miscellaneous tax withheld 2010 The following taxes have been withheld in compliance with U.S. federal, state

 $\forall RPSSCS$ 

#### Client Statement 2010 Year End Summary

Page 11 of 37

Ref: 00000811 00016587

Park						
CLUSIPISION         Dissolitation         Capital gain*         Capital gain*         Autodament         Gaint plant         Autodament         Gaint plant         Base 1500 plant           CRZ19GZ03001         \$ 4.00         \$		Total Ordinary Dividends		Total	Logicagiand	Section 1202
ORZ19G203011   \$ 4.00   \$ 4.00     \$ 4.00	8	20 20 21 21		distributions (Box 2s)	Sec. 1250 gain (Box 2b)	gain (Box 2c)
HLDGS LTD HDGS LTD G2554F105001 H8812P105001 H8812P105001 H8812P105001 APORTION OF THIS INCOME HAS BEEN RECLASSIFIED  H88128104001 APORTION OF THIS INCOME HAS BEEN RECLASSIFIED  H88128104001 ARADARRO PETROLEUM CORP ANADARRO PETROLEUM CORP ANADARRO PETROLEUM CORP O90502104000 ANATAT INC O90502104000 ANACER HUGHES INC O90502104000 BAKER HUGHES INC O90502104000 BANK OF AMERICA CORP O98602100001 BANK OF AMERICA CORP O98602100001 BANK OF AMERICA CORP O98602100001 BANK OF AMERICA CORP O98602100000 BANK OF AMERICA CORP O986021000001 BANK OF AMERICA CORP O986021000001 BANK OF AMERICA CORP O986021000001 BANK OF AMERICA CORP O98602100000 BANK OF AMERICA CORP O98602100000  BANK OF AMERICA CORP O986021000000  BANK OF AMERICA CORP O98602100000  BANK OF AMERICA CORP  O98602100000  BANK OF AMERICA CORP  O98602100000  BANK OF AMERICA CORP  O98602100000  O98602100000  DANADRO O986021000000  DANADRO O98602100000000000000000000000000000000000	8	- 1.	\$ 4.00			
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**************************************	- 1	5.40	5.40			
H8812P10B001   6.00	٠.					
## TYCO ELECTRONICS LTD A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED  ### TYCO INTL LTD CHF ### TYCO ### TYCO INTL LTD CHF ### TYCO ### TYCO INTL LTD CHF ### TYCO	- 1	8.00	8.00			
BEEN RECLASSIFIED  H88128104001  H88128104001  O0205R102000  AT&T INC  O22511107000  ANADARKO PETROLEUM CORP  O35222105000  APPLIED MATERIALS INC DELAWARE  O05025104000  BAKER HUGHES INC  O005025104000  BANK OF AMERICA CORP  O88206108001  *** BHP BILLITON LTD SPONS  ADR  O8247X101000  BLACKROCK INC  O97023105000  BLACKROCK INC  O97023105000  12.80  12.80  12.80	- 1					
H89128104001 H89128104001 H89128104001 O0205R102000 AT&T INC O22511107000 ANADARKO PETROLEUM CORP OAPPLIED MATERIALS INC DELAWARE O8502104000 BAKER HUGHES INC O80505104000 BANK OF AMERICA CORP O88606108001 H BHP BILLITON LTD SPONS ADR O8247X101000 BLACKROCK INC O87023105000 BLACKROCK INC O87023105000 BOEING CO D87035100000  7.35	BEEN RECLASSIFIED	!				
*** TYCO INTL LTD CHF  00206R102000 AT&T INC  022511107000 ANADARKO PETROLEUM CORP  036722105000 APPLIED MATERIALS INC DELAWARE  087224107000 BAKER HUGHES INC  000503104000 BANK OF AMERICA CORP  08800108001 *** BHP BILLITON LTD SPONS ADR  08247X101000 BLACKROCK INC  097023105000 BLACKROCK INC  097023105000 BOEING CO  12.80  12.80  12.80	- 1	4.39	4.39			
00206R102000 37.80 AT&T INC  0322511107000 7.20 ANADARKO PETROLEUM CORP  039222105000 APPLIED MATERIALS INC DELAWARE  057224107000 BAKER HUGHES INC  000505104000 1.30 BANK OF AMERICA CORP  088606108001 5.88  THE BHP BILLITON LTD SPONS ADR  0824701000 BLACKROCK INC  097023105000 6.00 BOEING CO  12.60 BOEING CO  12.60 12.60						
17.20 17.20		37.80	37.80			
ANADARKO PETROLEUM CORP  039222105000  APPLIED MATERIALS INC DELAWARE  057224107000  BAKER HUGHES INC  000503104000  BANK OF AMERICA CORP  04920704000  BANK OF AMERICA CORP  049247X101000  BLACKROCK INC  05247X101000  BLACKROCK INC  05247X101000  05247X10000  05247X101000  05247X10000  05247X10000  05247X10000  05247X10000		7.20	7.20			
036222105000 APPLIED MATERIALS INC DELAWARE 057224107000 BAKER HUGHES INC 060505104000  BANK OF AMERICA CORP 089205104001  *** BHP BILLITON LTD SPONS ADR 09247X101000 BLACKROCK INC 097023105000 BOEING CO 12,80  12,80  12,80  12,80	Ι.					
APPLIED MATERIALS INC DELAWARE  057224107000  BAKER HUGHES INC  000503104000  BANK OF AMERICA CORP  0820704000  TO SPONS  ADR  09247X101000  BLACKROCK INC  097023105000  BUEING CO  12.60  12.60  7.35	_	11.70	11.70			
9.00  BAKER HUGHES INC  1.30  ANERICA CORP  0890010000  SHP BILLITON LTD SPONS  ADR  09247X101000  BLACKROCK INC  097023105000  12.60  BOEING CO  7.35	APPLIED MATERIALS INC DELAWARE					
DANK OF AMERICA CORP  BANK OF AMERICA CORP  088608108001  *** BHP BILLITON LTD SPONS  ADR  0824774101000  BLACKROCK INC  087023105000  BOEING CO  12.60  7.35		\$.00	9.00			
BANK OF AMERICA CORP  088606108001  *** BHP BILLITON LTD SPONS  ADR  08247X101000  BLACKROCK INC  097023105000  BOBING CO  12,60  12,50  7,35		1.30	1.30			
088606108001 5.88 *** BHP BILLITON LTD SPONS ADR 08247X101000 BLACKROCK INC 097023105000 BOBING CO 126850100000 7.35						
ADR 6.00  ADR 6.00  BLACKROCK INC  097023105000  BOEING CO  12.60  7.35	150001000 088606108001	5.88	5.88			
08247X101000 8.00 BLACKROCK INC 8.00 087023105000 12.00 BOEING CO 12.60 126850100000 7.35					.= <del></del> -'s	
BLACKROCK INC 12.60 087023105000 12.60 BDEING CO 7.35	AUR	<b>-</b>	88			
087023105000 12.60 BOEING CO 126850100000 7.35		9,00	-			
BOEING CO 126850100000 7,35	I.	9,000	-			
126850100000 7.35	_1 _1.	12,80	12.80			
	l1	12.80	12.60			



Ref: 00000611 00016568

#### MorganStanley SmithBarney

#### Client Statement 2010 Year End Summary

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		Total Ordinary Dividends		Total			•
Reference	CUSIP	Dividends* capital gain*	dividends (Box 1b)	Capital gain distributions (Box 2a)	Sec. 1250 gain (Box 2b)	gain (Box 2c)	(28%) gain (Box 2d)
50001500	50001500 12888C109000	\$ 9.00	\$ 9.00	,			
	CABLEVISION SYSTEMS CORP		1				
150001800	143658300001	3.00	3.08				
	*** CARNIVAL CORP (PAIRED STOCK)						
150001700	171232101000	27.25	27.25				
150001800	191216100000	26,40	26,40				
50001800	20030N200000 COMCAST CORP CL A - SPL	29.76	29.76				
150002000	20825C104000 CONOCOPHILLIPS	32.00	32.00				
150002100	244189105000 DEERE & CO	17.20	17.20				
50002200	25179M103000 DEVON ENERGY CORP NEW	5.12	5.12				
50002300	254587106000 WALT DISNEY CO	28.00	26,00				
150002400	280003108000 DOVER CORP	7.80	7.80				
150002500	291011104000 EMERSON ELECTRIC CO	18.43	18.43		·		
150002600	294821608001 *** ERICSSON L M TEL CO CL B ADR NEW -1/50-	30,54	30.54		<u>.</u>		
	THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT						
150002700	30212P105000 EXPEDIA INC	6,16	6.16				
150002800	343412102000 FLUOR CORP NEW	9.39	9.38				
50002900	150002900 354613101000	3,30	3.30				



#### Client Statement 2010 Year End Summary

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RICHARD A BERG Account Number

						)	•
Rafarence	Cusip Description	Dividencis* capital gain* (Box 1a)	dividends (Box 15)	distributions (Box 2a)	Sec. 1250 gain (Box 2b)	Section 1202 gain (Box 2c)	(26%) gain (Box 2d)
50003000	- 1	\$ 7.20	\$ 7.20				
	FREEPORT MCMORAN COPPER & GOLD CL B				••		
150003100	384780108000 GAP INC DELAWARE	9.98	9.98				
150003200		16.00	18.00				
150003300	37733W105001  *** GLAXOSMITHKLINE PLC SP ADR	22.37	22.37				
150003400	le I	3,60	3.80				
	HALLIBURTON CO HOLDINGS CO			!	-		
150003500		6,00	6,00				
150003600	437078102000 HOME DEPOT INC	38,82	36.62				
150003700	438518108000 HONEYWELL INTL INC	16,12	15.12				
150003800	458140100000 INTEL CORP	16.53	16.53		·	-	
150003900	49825H100000 JPMORGAN CHASE & CO	8.00	6.00				
150004000	478180104000 JOHNSON & JOHNSON	48.35	48.35				
160004100	48020Q107000 JONES LANG LASALLE INC	1.50	1.50				
150004200	493257108000 KEYCORP - NEW	1,90	1.88		-ava		
150004300	502424104000 L 3 COMMUNICATIONS HLDGS INC	16.00	18.00				
150004400	517942108000 LASALLE HOTEL PPTYS SBI	.28					
150004500	571803202000 MARRIOTT INTL INC NEW CL A	,40	j.		2.7		
50004800	150004800 58839Y105000 MERCK & CO INC NEW	51,30	51.30				

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#### Client Statement 2010 Year End Summary

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		Total Ordinary Dividends		Total	in the second	Section 1707	Collectible
Rafarance	CUSIP Description	Dividends" capital gain* (Box 1a)	dividends (Box 1b)	distributions (Box 2a)	Sec. 1250 gain (Box 2b)	gain (Box 2c)	(29%) gain (Box 2d)
150004700	584918104000	\$ 19,50	\$ 19.50				
	MICROSOFT CORP						
150004800	81188W101000	4.25	4.25				
	MONSANTO CO NEW						
150004900	617448448000	9,8	6,00				
1000000	RDE74740XXXX	3	3				
	MURPHY OIL CORP						
150005100	637071101000	3.00	3.00				
	NATIONAL CILWELL VARCO INC						
150005200	655044105000	1.44	1,44				
	NOBLE ENERGY INC						
150005300	686507102000	9,00	9,00				
	NORTHROP GRUMMAN CORP						
150005400	85987V109001	45.71	48.71				
	*** NOVARTIS AG ADR						
	THIS AMOUNT INCLUDES TAXES						
	PAID TO A FOREIGN GOVERNMENT						
50005500	150005500 670348105000	27.00	27.00				
	NUCOR CORP						
150005600	696429307000	12.00	12.00				
	PALL CORP				ļ 		
150005700	701094104000	2.04	2,04				
ŧ	PARKER-HANNIFIN CORP				-		
150005800	713448108000	27.80	27.60				
	PEPSICO INC						
150005900	742718109000	28,08	28.06				
	PROCTER & GAMBLE CO						
150000000	747525109000	9,000	9.00				
} } 	QUALCOMM INC						
150006100	770323103000	1.30	1.30				
	, , , , , , , , , , , , , , , , , , , ,						

#### Client Statement 2010 Year End Summary

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Ref: 00000811 00018591

RICHARD A BERG Account Number

Raference number	CUSIP Description	Total Ordinary Dividends Short tarm Dividends** capital gain* (Box 1a)	Qualified dividends (Box 1b)	Total capital gain distributions (Box 2a)	Sec.	Unracepturad Sec. 1250 gain (Box 2b)	captured Section 1202 1250 gain gain (Box 2c)
150006200	771195104001 ROCHE HLDG LTD SPON ADR	\$ 34.79	\$ 34,79				
	THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT				٠		
	A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED					·	
150008300	788514208000 SAFEWAY INC NEW	20.80	20.80				
150008400	150006400 806857106001 SCHLUMBERGER LTD	5.25	5.25				
150008500	805513105000 SCHWAB CHARLES CORP	4.20	4.20				
150005800	857477103000 STATE STREET CORP	1.05	1.05				
150008700	882508104000 TEXAS INSTRUMENTS INC	37.80	37.80				
150008800	150008800 904787704001 "" UNILEVER PLC SPONS ADR NEW	24,67	24.67				
150008900	15000690) 912909108000 UNITED STATES STEEL CORP NEW	1.75	1.75	i			
150007000	91324P102000 UNITEDHEALTH GROUP INC	9.30	8.30				
150007100	92828C839000 VISA INC COM CL A	¥	.25	1 1		•	
150007200	150007200 82857W209001 *** VODAFONE GROUP PLC SPONS ADR NEW	59.27	53.27				

Client Statement 2010 Year End Summary

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Ref: 00000811 00018592

RICHARD A BERG Account Number

The total of these two categories equals the amount reported in Form 1099-DIV, Box 1a.

Please note:

"Dividends" includes both Qualified and non-qualified dividends received.
"Total capital gain distributions" is a total of long term capital gain distributions and the amounts reported in Boxes 2b through 2d.

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## Client Statement 2010 Year End Summary



Ref: 00000811 00018593

Totals			1500073			150008200			150005	!		150002800			150001300	Reference	The fo	0
	A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	WEYERHAEUSER CO THE AMOUNT REPORTED INCLUDES THE VALUE OF THE FOLLOWING STOCK DISTRIBUTION D9/01/10 39 SHRS @\$ 15.5400	150007300 962166104000	A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	90 771185104001 *** ROCHE HLDG LTD SPON ADR	1	THIS AMOUNT INCLUDES TAXES	150005400 88987V109001	PAID TO A FOREIGN GOVERNMENT	ADR NEW -USD-	900 284821608001 TEL CO CL B	BEEN RECLASSIFIED		900 111320107000	cusip Description	The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.	alls of 1999 Reported Dividends and Distributions 2010, Boxes 4 - 9
\$ 14.94			10.94											•	\$ 4.00	Nondividend distributions (Box 3)	otal dividends repor ney fund earnings, a	
																Federal income tax withheld (Box 4)	ted on Form 1099 and accrued divide	
																Investment expenses (Box 5)	+DIV. Amount d ends received.	(Z111) EG
\$ 17.11						5.22			7.31			4.58				Foreign tax paid (Box 8)	lispleyed	
(	*- <del></del>					·										Cash liquidation distributions (Box 8)		
																Non cash liquidation distributions (Box 9)		
<	<b>7</b> 7 ⊅	· Z Z (		S	τ	<b>,</b>		m	l	7	<b>7</b> )	<b>&gt;</b> I	<b>.</b>	<b>~</b>		0 -	-0	2

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#### MorganStanley SmithBarney

#### Client Statement 2010 Year End Summary

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RICHARD A BERG Account Number

	į	0,0.00	3.40 3.40		16/60/00	ADR	·	12000000
	14 441	278 00	275.00	08/05/40	10/00/00	DED DE LITON I TO ROOMS	•	
						acted as your agent in this transaction.		
						MorganStanley SmithBarney LLC		
26,44		610.44	636.86	08/05/10	12/31/09	BAKER HUGHES INC	15	125000220
						in this transaction.		
						acted as your agent		
						MorganStanley SmithBarney LLC		
	(39.93)	276.32	235,38	08/05/10	08/05/09	APPLIED MATERIALS INC DELAWARE	20	125000180
			İ			in this transaction.		
						acted as your agent		
						MorganStanley SmithBarney LLC		
20,13		367.58	387.71	08/05/10	07/23/10	APACHE CORP	4	125000170
						in this transaction.		
						acted as your agent		
						MorganStanley SmithBarney LLC		
	(0.57)	283.46	276,89	08/05/10	05/04/10	AMGEN INC	()	125000130
						in this transaction.		
						acted as your agent		
						MorganStanley SmithBarney LLC		
104.77		89.22	193,99	08/05/10	08/18/09	AKAMAI TECHNOLOGIES INC	5	125000090
5.23		30,94	36.17		12/08/09	in this transaction.	_	
11.86		96.68	108.54		12/04/09	acted as your agent	ယ	
15.73		128.99	144.72		12/03/09	MorganStanley SmithBarney LLC	4	
4.03		32.15	36.18	08/05/10	12/02/09	AGCO CORP	_	125000070
						acted as your agent		
						MorganStanley SmithBarney LLC		
						LTD,-CHF		
\$ 5.14		\$ 272.81	\$ 277.95	01/30/80	01/28/10	WEATHERFORD INTERNATIONAL	. 17	125000040
Gain	(Loss)	Cost	Sale Proceeds	Crosing Trade Date	Opening Trade Date	Security Description	Quantity	Reference
		your tax advisor.	ut the assistance of	preparation witho	be used for tax	being prepared for informational purposes only and should not be used for tax preparation without the assistance of your	red for info	ing prepa
•	7	note this material	this section Please	e) it is included i	Acrilored in A.O.	although each in lieu than 500 is not included on form 1000-8 (if sometimed in the section Please role this material is	en in line la	bounds ca



#### Client Statement 2010 Year End Summary

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RICHARD A BERG Account Number

				2 3 7 2 2			
		100.00				3 ;	
	137.76	167.70		60/25/C	Morophysianiay satisfications	î ă	
	9.09	17.14	08/05/10	12/21/09	ERICSSON L M TEL CO CL B	<b>.</b>	125000580
					in this transaction.		
					acted as your agent		
(8.00)	254	15.80	08/05/10	11/06/09	ELECTRONIC ARTS	U	125000650
					in this transaction.		
(15.37)	225.36	209,99		02/05/10	acted as your agent	ŏ	
(9.22)	114.22	105.00		12/22/09	MorganStanley SmithBarney LLC	•	
(9.00)	114.00	105.00	08/05/10	12/21/08	EBAY INC	Ç,	125000540
					in this transaction.	ļ	
	-				acted as your agent		
	_				MorganStanley SmithBarney LLC		
	1,027.99	1,045.26	08/05/10	06/11/09	DEVON ENERGY CORP NEW	is.	125000470
					in this transaction.		
					acted as your agent		
	330.36	403.91		12/04/09	Morganstanley SmithBarney LLC	9	
	781.24	D42.46	08/05/10	12/03/09	DEERE & CO	‡	125000480
					in this transaction.		
	511.35	569.59		12/31/09	acted as your agent	ŏ	
	272.32	341.75		08/25/09	MorganStanley SmithBarney LLC	œ.	
	161.12	227.84	08/05/10	06/24/08	CONOCOPHILLIPS		125000420
					acted as your agent		
					Morganisaney SmithBarney LLC		
					(PAIRED STOCK)		
	161.90	177.53	08/05/10	05/25/10	CARNIVAL CORP	(A	125000350
					in this transaction.		
					acted as your agent		
					MorganStanley SmithBarney LLC		
(2.00)	92.83	90.83	08/05/10	11/19/00	CVS CAREMARK CORP	w	125000330
					In this transaction.		
					acted as your agent		
(4.78)	104.26	159.48		05/21/10	MorganStanley SmithBarney LLC	.4	
\$ 13.67)	\$ 173.17 : (	\$ 159.50	08/05/10	05/07/10	BLACKROCK INC	-	125000300
(Lose)	Cept	Proceeds	Trade Date	Trade Date	Security Description	Quantity	number

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Client Statement 2010 Year End Summary

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	120 22	583.42	551.19		01/21/10	in this transaction.	20	
	(48.17)	375.88	330.71		01/13/10	acted as your agent	12	
	(17.51)	127.75	110,24		11/04/09	MorganStanley SmithBarney LLC	4	
	(12.27)	122.51	110.24	08/05/10	10/01/09	MORGAN STANLEY	4	125000970
		117.83	119,65		05/07/10		2	
	(11.74)	71.67	59,63		03/09/10	in this transaction.		
	(33.22)	153.08	119,86		02/05/10	acted as your agent	N	
	(34,84)	154,50	119,86		01/28/10	MorganStanley SmithBarney LLC	N	
	(42.35)	162.21	119,86	08/05/10	01/21/10	MONSANTO CO NEW	Ŋ	125000960
13.59		95.00	108,59		11/03/00		5	
8.02		78.86	66.58		10/23/00			
		38.50	13.44		10/22/09	in this transaction.	N)	
5,92		59.24	6.6		10/21/09	acted as your agent	w	
		79.87	66.88		10/20/09	MorganStanley SmithBarney LLC	4	
		38.00	4	08/05/10	10/15/09	MATTEL INC DE	N)	125000920
						acted as your agent In this transaction.		   
						MorganStanley SmithBarney LLC		
153,46		308.88	460,34	08/05/10	10/28/09	KEYCORP -NEW	8	125000820
						acted as your agent in this transaction.		
	(23.91)	170.10	148,19	08/05/10	08/17/09	JACOBS ENGINEERING GROUP INC	4	125000780
						in this transaction.		
						acted as your agent		
1000			96.	00/00/10	00,600,600	MorganStanley SmithBarney LLC	ā	120000710
		55.43	551 QA	01/05/40	ONING	Lines CODD	\$	95000740
						acted as your agent in this transaction.		
6./2		007.26	615,98	08/05/10	12/31/09	MorganStanley SmithBarney LLC	20	125000700
						in this transaction.		
						acted as your agent		
	(		40.74	V0/ VO/ 10	20,404.10		ō	Cochonez
	(60.57)							
						acted as your agent		
\$ 4/.50		\$ 344.84	\$ 362,39	08/05/10	03/18/10	FIRST SOCAR INC	ω	125000600
2	(40,00)		T COORDINATE	I GOT DON	Lack Man	security outcripitals	Anatomy	TOTAL STATE
_	/Loga)	C E	Sala Sala	Closing	Opening		Committee	Raferance

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RICHARD A BERG Account Number

Reference	O Dumanity		Opening	Closing	Sale Proceeds	Cont	(Legal)	Q=)n
25000890	5	NASDAG OMX GROUP INC	12/02/08	08/05/10	\$ 69.94	\$ 97.37		\$ 2.57
		MorganStanley SmithBarney LLC						
		acted as your agent						
	<b>I</b>	in this transaction.						
125001010	נא	NOBLE ENERGY INC	08/29/10	08/05/10	136.26	120.77		15,49
	Ŋ	MorganStanley SmithBarney LLC	06/30/10		136.28	121,68		14.58
	N	acted as your agent	07/01/10		136.26	122,36		13,80
	2	in this transaction.	07/02/10		138.25	123.65		12.60
125001040	2	NUCOR CORP	09/10/09	08/05/10	80.08	83,57	(13.49)	
	<b>U</b> N	MorganStanley SmithBarney LLC	12/24/09		200.20	235.19	(34.96)	
	ယ	acted as your agent	12/28/09		120.11	143.37	(23.26)	
		in this transaction.				i 		
125001050	30	NVIDIA CORP	05/04/10	08/25/10	280.78	442.23	(161.44)	
		MorganStanley SmithBarney LLC						
		acted as your agent				. —		
		in this transaction.						
125001130	<b>(</b> p	QUALCOMM INC	10/06/09	07/12/10	175.26	214,84	(39.58)	
	Ų1	MorganStanley SmithBarney LLC	02/02/10		175.26	197,69	(22.63)	
		acted as your agent						
		ITI WIS ITEMEDICAL.						
125001180	<b>5</b>	ROCHE HLDG LTD SPON ADR	02/02/10	08/05/10	336.89	421.28	(84.39)	
		MorganStanley SmithBarney LLC						
		acted as your agent						
125001190	5	SAFEWAY INC NEW	06/28/09	08/06/10	103.70	97.50		6.20
	25	MorganStanley SmithBarney LLC	09/16/09		510.48	481.25		37.23
		acted as your agent						
		in this transaction.						
125001210	Uh .	SCHLUMBERGER LTD	12/30/09	06/05/10	312.74	327.40	(14.66)	
		MorganStanley SmithBarney LLC				_ ,		
		acted as your agent						
14001600	н (		11/27/00	00/00/10	10000	202.7	(380)	
	•	acted as your agent	MA11271.		10.01	66.203	(3.00)	
						_		

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SCIENTIFIC INC   D6/10/10   D6/10/10   D6/10/10   S 45:14   S 51:29   (3 6.15)   D6/10/10   D6/10		(\$ 1.067.E1)	\$ 19,035.24	\$ 19,158.04					Total
SCIENTIFIC INC   Ob/10/10   Ob/05/10   \$45.14   \$51.26   \$6.55   182.45   182.25							MorganStanley SmithBarney LLC acted as your agent in this transaction.		
SCIENTIFIC INC 08/10/10 08/05/10 \$ 45.14 \$ 51,29 (\$ 6.15) nlthBarmey LLC 08/11/10 08/05/10 135.41 154.65 (19.24) nrt 07/01/10 08/05/10 135.41 154.65 (19.24) nrt 12/22/09 08/05/10 90.28 90.28 90.28 (5.98) nrt 12/22/09 08/05/10 90.28 90.28 (5.98) 160.29 nrt 12/22/09 08/05/10 143.22 162.95 (19.24) 100/0TOR EQUIPMENT 04/08/09 02/18/10 82.29 43.87 (10.23) 100/0TOR EQUIPMENT 04/08/09 02/18/10 82.29 43.87 (21.32) 110/0TOR EQUIPMENT 04/08/09 02/18/10 82.29 110/0TOR EQUIPMENT 04/08/09 02/18/10 82.29 43.87 (21.32) 110/0TOR EQUIPMENT 04/08/09 02/18/10 82.29 43.87 (21.3		(11.71)	153,31	141,60	08/05/10	12/02/08	YAHOO INC	ō	125001410
SCIENTIFIC INC 06/10/10 06/05/10 \$ 45.14 \$ 51.29 (\$ 6.15) (th Barney LLC 06/11/10 06/05/10 \$ 125.41 \$ 154.65 (19.24) (19.24) (19.24) (19.24) (19.24) (19.24) (19.24) (19.22) (19.24) (19.22) (	21.68		606,05	B27.73	09/10/10	07/22/10	WEYERHAEUSER CO	39	125001400
CIENTIFIC INC 06/10/10 08/05/10 \$ 45.14 \$ 51,29 (3 8.15) thBarmey LLC 06/11/10 08/05/10 135.41 154.85 (19.24) thBarmey LLC 12/23/06 08/05/10 96.28 165.32 (9.84) thBarmey LLC 12/23/06 08/05/10 96.28 165.32 (9.84) thBarmey LLC 12/23/06 02/17/10 238.70 238.70 (10.23) thBarmey LLC 04/08/09 02/18/10 82.29 43.87 (10.23) thBarmey LLC 04/08/09 02/18/10 82.29 43.87 (10.23) thBarmey LLC 04/08/09 02/18/10 82.29 43.87 thBarmey LLC 04/08/09 02/18/10 82.29 43.87 thBarmey LLC 05/03/10 08/05/10 144.60 1753.82 (21.22) thBarmey LLC 05/13/10 08/05/10 144.60 1753.82 (21.22) 08/23/10 08/05/10 381.48 359.24	]     						in this transaction.		j
DENTIFIC INC 08/10/10 08/05/10 \$ 45.14 \$ 51.29 (\$ 8.15) hBarney LLC 08/11/10 08/05/10 \$ 95.41 154.85 (19.24) hBarney LLC 12/22/09 09/05/10 96.48 105.32 (9.84) hBarney LLC 12/23/09 143.22 162.95 (19.24) hBarney LLC 12/23/09 09/05/10 29.8.80 255.97 (10.23) corror Equipment 04/08/09 02/18/10 29.8.80 253.51 (14.83) hBarney LLC 05/03/10 08/05/10 82.29 43.87  hBarney LLC 05/03/10 08/05/10 31.15 21.33 hBarney LLC 05/03/10 08/05/10 144.80 165.82 (21.32) hBarney LLC 05/03/10 08/05/10 144.80 165.82 (21.32) hBarney LLC 05/03/10 08/05/10 144.80 165.82 (21.32)	2.24		359.24	361,48		06/29/10	acted as your agent	(J)	
CIENTIFIC INC         08/10/10         08/05/10         \$ 45.14         \$ 51.29         (\$ 8.15)           hBarney LLC         08/11/10         08/05/10         \$ 45.14         \$ 51.29         (\$ 8.15)           EEL CORP NEW         12/22/09         08/05/10         \$ 5.48         154.85         (19.24)           HBarney LLC         12/23/09         08/05/10         \$ 95.48         105.32         (8.84)           HBarney LLC         12/28/09         143.22         182.95         (19.73)           UCTOR EQUIPMENT         04/08/09         02/18/10         238.78         253.59         (10.23)           UCTOR EQUIPMENT         04/08/09         02/18/10         82.29         43.87         (14.83)           UCTOR EQUIPMENT         04/08/09         02/18/10         82.29         43.87         (21.32)		(29.22)	173.82	144,80		05/13/10	MorganStanley SmithBarney LLC	N	
06/10/10         08/10/10         \$ 45.14         \$ 51.29         (\$ 61.5)           08/11/10         08/11/10         135.41         154.85         (19.24)           08/11/10         135.41         154.85         (19.24)           08/11/10         90.26         95.48         105.32         (8.84)           12/22/09         08/05/10         95.48         105.32         (8.84)           12/24/09         143.22         162.95         (18.73)           12/24/09         190.96         225.07         (18.73)           12/24/09         190.96         225.07         (10.23)           02/17/10         238.89         253.57         (10.23)           02/17/10         238.89         253.57         (14.83)           04/08/09         02/18/10         82.29         43.87           04/08/09         02/18/10         82.29         43.87           04/08/09         02/18/10         82.29         43.87		(21,32)	165,92	144.60	08/05/10	05/06/10	VISA INC COM CL A	N	125001370
06/10/10         08/10/10         \$ 45.14         \$ 51.29         (\$ 61.5)           08/11/10         08/11/10         135.41         154.85         (19.24)           08/11/10         90.28         95.48         154.85         (19.24)           12/22/09         08/05/10         95.48         105.32         (8.84)           12/23/09         143.22         162.95         (8.84)           12/24/09         140.98         225.07         (18.73)           12/24/09         190.98         225.07         (10.23)           12/24/09         190.98         225.07         (10.23)           02/17/10         238.89         253.51         (10.23)           04/08/09         02/18/10         82.29         43.87         (14.83)           04/08/09         02/18/10         82.29         43.87         (14.83)           04/08/09         02/18/10         82.29         43.87         (14.83)							acted as your agent		
08/10/10         08/10/10         \$ 45.14         \$ 51.29         (\$ 61.5)           08/11/10         135.41         154.65         (19.24)           08/11/10         135.41         154.65         (19.24)           08/10/10         90.28         98.28         (5.98)           12/22/09         08/05/10         95.48         105.32         (8.84)           12/23/09         143.22         162.95         (18.73)           12/28/10         190.98         225.07         (34.11)           12/28/10         238.89         255.97         (10.23)           04/08/09         02/18/10         82.29         23.51         (14.83)           04/08/09         02/18/10         82.29         43.87           04/08/09         02/18/10         82.29         43.87							MorganStanley SmithBarney LLC		
06/10/10 06/05/10 \$ 45.14 \$ 51.29 (\$ 6.15) 08/11/10 135.41 154.65 [19.24) 08/11/10 90.26 95.48 154.65 [19.24) 07/01/10 95.48 105.32 [9.64) 12/22/09 96/05/10 95.48 105.32 [9.64) 12/22/09 140.32 162.95 [18.73) 12/24/09 180.98 225.07 [4.11) 12/26/09 236.70 236.58 [19.24) 02/17/10 236.69 235.51 [10.23) 04/08/09 02/18/10 82.29 43.87  04/08/09 02/18/10 82.29 43.87			:		1		ASSOCS INC		
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06/10/10         08/05/10         \$ 45.14         \$ 51.29         (\$ 61.5)           08/11/10         135.41         154.85         (19.24)           08/11/10         90.26         95.28         (5.96)           12/22/09         08/05/10         95.48         105.32         (9.84)           12/22/09         08/05/10         95.48         105.32         (9.84)           12/23/09         143.22         162.95         (18.73)           12/24/09         180.98         225.07         (34.11)           12/24/09         180.98         257.97         (10.23)           02/17/10         238.89         253.51         (14.83)           04/08/09         02/18/10         82.29         43.87           04/08/09         02/18/10         82.29         43.87							acted as your agent		
06/10/10         C06/10/10         \$ 45.14         \$ 51.29         \$ 8.15)           08/11/10         135.41         154.85         (19.24)           08/11/10         90.26         95.28         (5.94)           12/22/09         08/05/10         95.48         105.32         (9.84)           12/23/09         12/23/09         143.22         162.95         (18.73)           12/24/09         190.98         225.07         (34.11)           12/24/09         190.98         225.07         (10.23)           02/17/10         238.89         253.51         (10.23)           04/08/09         02/18/10         82.29         43.87         (14.83)							MorganStanley SmithBarney LLC		
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20 06/10/10 06/05/10 \$ 45/14 \$ 51,29 (\$ 8,15)  08/11/110 135.41 154.65 (19.24)  07/01/10 90.26 96,26 (5.96)  2W 12/22/09 06/05/10 96.46 105.32 (9.64)  12/23/09 190.32 192.35 (19.73)  12/24/09 190.32 192.35 (19.73)  12/26/09 47.74 57.97 (19.23)  01/26/10 236.70 255.56 (16.86)		(14.83)	253.51	238.68		02/17/10		On	
20 06/10/10 06/05/10 \$ 45.14 \$ 51.29 (\$ 8.15) 08/11/10 135.41 154.65 (19.24) 07/07/10 90.26 96.28 (5.96) 2W 12/22/09 06/05/10 96.48 105.32 (9.84) 12/23/09 140.38 122.50 (9.73) 12/24/09 180.38 22.507 (34.11) 12/26/09 47.74 57.97 (10.23)		(16.88)	255,58	238.70		01/28/10		u	
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08/10/10 08/05/10 \$ 45/14 \$ 51,29 (\$ 8,15) 08/11/10 135/41 154,85 (18/24) 07/07/10 80.28 96.28 (5.88)  TW 12/22/09 08/05/10 95/48 105/32 (9.64) 12/23/09 14/3/22 162,85 (18,73)		(¥.11)	225.07	190,98		12/24/09	acted as your agent	4	
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SCIENTIFIC INC 06/10/10 05/05/10 \$ 45.14 \$ 51.29 (\$ 8.15) mithBarney LLC 06/11/10 135.41 154.65 (19.24) ant 07/01/10 90.28 96.28 (5.95)		(9.84)	105.32	95,48	08/05/10	12/22/09	UNITED STATES STEEL CORP NEW	N	125001310
SCIENTIFIC INC 06/10/10 05/05/10 \$ 45.14 \$ 51.29 (\$ 8.15) mithBarney LLC 06/11/10 135.41 154.65 (19.24) ant 07/01/10 90.26 96.26 (5.96)			-				in this transaction.		
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SCIENTIFIC INC 08/10/10 08/05/10 \$ 45.14 \$ 51.29 (\$ 8.15)		(19.24)	154,85	135.41		08/11/10	MorganStanley SmithBarney LLC	ω	
The Part Press Contract Contra		(\$ 8.15)	\$ 51.29	\$ 45.74	08/05/10	06/10/10	THERMO FISHER SCIENTIFIC INC	1	125001280
Opining Closing Sales Cost figure	Gain	(Loss)	Cost	Sala Proceeds	Closing Trade Date	Opening Trade Date	Security Description	Quantity	Reference number

## Client Statement 2010 Year End Summary

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Account Number RICHARD A BERG

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Contention	Cost in Nova your sales of securities during the year. The "Sale Proceeds" column activides any accrued income you may have necewed. In addition, least in Sale in Included in Informational Jun Included on Form 1008-8 (ff applicabilis). It is included in this section. Please note, this malerial is is pared for informational purposes only and should not be used for tax preparation without the estitance of your tax advisor.           Opentity         Security Description         Toda Prince         Country         Proceeds         Cost         (4.54)         \$ \$12.29         \$ 4.34.11         \$ \$12.20         \$ \$12.29         \$ \$4.34.11         \$ \$12.20	(110,80)	116.40		07/13/09			
Outmity         Security Description         Opening Trade Date         Cooling Trade Date         Processis         Cost         (Less)           10         ALUED WORLD ASSURANCE CO         04/09/07         04/09/07         04/09/07         04/09/07         04/09/07         04/09/07         04/09/07         \$ 54/2.58         \$ 443.41         \$ \$ 443.41	Con Thiow; your sales of securities during the year. The "Sale Proceeds" column excludes any socrated income you may have received. In addition, cash in file ufeas than \$20 is not included on Form 10098 (if applicable), it is included in this section. Please note, this malerial is pared for informational purposes only and should not be used for tax preparation without the essistance of your tax advisor.    Country   Security Description   Trees this   Trees this   Trees this malerial is	(110.60)	77.50			MOT Generalized Statement Care		
Outmity         Security Description         Opening of Cooling         Cooling of Trade Date         Each of Trade Date         Cost         (Loss)           10         ALLIED WORLD ASSURANCE CO.         08/09/07         08/09/07         08/09/10         \$ 512.29         \$ 443.81         \$ 9 140.81           10         ALLIED WORLD ASSURANCE CO.         08/09/07         08/09/10         \$ 512.29         \$ 443.81         \$ 9 140.81           10         ALLIED WORLD ASSURANCE CO.         08/09/07         08/09/10         \$ 512.29         \$ 443.81         \$ 142.81           20         CONDIEN PLC DUBLIN-USD or OR/09/07         08/09/10         282.84         309.02         (45.18)           3         AMORPANISARINEY SIMBLE PROPERTY LLC or OR/09/07         08/09/10         42.28         55.59         (7.45)           4         ALLED WORLD SIMBLE PROPERTY LLC or OR/09/07         08/09/10         43.99         85.71         93.16         (7.45)           4         ALTO-CHIF         08/09/07         08/09/10         1,307.97         737.43         5           22         LITD-CHIF         08/09/07         08/09/10         1,307.97         737.43         5           28         FOCO ELECTRONICS LTD         08/09/07         1,307.97         1,307.97 <td< th=""><th>  Conclored your safes of securities during the year. The "Safe Proceeds" column excludes any accrued income you may have received. In addition, ceach in flow fees than \$20 is not included on form 1006-B (if applicable), it is included in this exclude. Please note, this malerial is pared for informational purposes only and should not be used for rax preparation without the assistance of your tax advisor.    Conting</th><th>(110.60)</th><th></th><th>08/05/10</th><th>07/10/09</th><th>AKAMAI TECHNOLOGIES INC</th><th></th><th>125000090</th></td<>	Conclored your safes of securities during the year. The "Safe Proceeds" column excludes any accrued income you may have received. In addition, ceach in flow fees than \$20 is not included on form 1006-B (if applicable), it is included in this exclude. Please note, this malerial is pared for informational purposes only and should not be used for rax preparation without the assistance of your tax advisor.    Conting	(110.60)		08/05/10	07/10/09	AKAMAI TECHNOLOGIES INC		125000090
Quantity         Security Description         Tracks Dates         Conting Proceeds         Shit Cost         Cost         (Less)           10         ALLIED WORLD ASSURANCE CO         04/09/07         04/09/10         \$ 519.29         \$ 44.3.41         (Less)           10         ALLIED WORLD ASSURANCE CO         04/09/07         04/09/10         \$ 519.29         \$ 44.3.41         (Less)           10         ALLIED WORLD ASSURANCE CO         04/09/07         04/09/10         \$ 519.29         \$ 44.3.41         (45.18)	Coseh In New Your sales of securities during the Year. The "Sale Proceeds" column excludes any accrued income your may have received. In addition, cash in New Year stan 250 is not included on Form 1096-98. (If applicable), it is included in this exclude. Please note, this emiserals is pared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.           Countity         Security Description         Countity         Countity         Countity         Security Description         Countity         Countity         Security Description         Countity         Countity         Countity         Security Description         Countity	(110.60)	132.80		06/17/09	in this transaction.		
Country   Security Description   Opening   Closing   Closing   Closing   Closing   Closing   Closing   Closing   Closing   Cost   Closis   Cost   Closis   Cost   Closis   Cost   Closis   Clo	Output your sales of securities during the year. The "Self eProceeds" column excludes any accrived income you may have received. In addition, cash in low less than \$20 is not included on Form 1009-B (if applicable), it is included in this section. Please note, this makerial is pared for informationar purposes only and should not be used for tax preparation without the sestistance of your tax advisor.    Opening	(110.80)	265.59		06/16/09	acted as your agent		
Country   Security Description   Country   C	Condition   Solid in not included on Form 1009-8 (if applicable), it is included in this accident income you may have received. In addition, cash in level less than \$20 is not included on Form 1009-8 (if applicable), it is included in this accident, research purposes only and should not be used for tax preparation without the essistance of your tax advisor.    Property   Conting   Closing	(110.80)	285.59		06/15/09	MorganStanley SmithBarney LLC		
Quantity   Security   Description   Procession   Proces	Country your sales of securities during the year. The "Sale Proceeds" column excludes any accused income you may have received. In addition, cash in lieu less than \$20 is not included on Form 1009-B (if applicable), it is included in this section. Pleases note, bits malerals is pared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.           Opening Outline		132.80	06/05/10	06/12/09	ATRT INC		125000080
Quantity   Security Description   Trade Date   Closing   Charing	Cost hive your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, it is included in this section. Please note, this makerial is pared for informational purposes only and should not be used for its preparation without the assistance of your tax advisor.         Cost (Loss)           opening Open					in this transaction.		
Quantity   Security Description   Trade Date   Costing   Cost   Cost   Cost	Don shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, tash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this malerial is spared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.    Conting	6				acted as your agent		
Quantity   Becurity Description   Trade Drine   Processed   Cost   (Loss)	lon shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrused income you may have received. In addition, cash in lieu less than \$20 is not included on Form 1090-B (if applicable), it is included in this section. Please note, this maleriel is pared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.    County Security Description		267.98	01/40/80	02/02/05	TYCO INTLETO CHE		125000060
Quantity   Security Description   Trade Date   Costing   Processes   Cost   (Loss)	lon shows your sales of securities during the year. The "Sale Proceeds" column excludes any accured income you may have received. In addition, cash in fleu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this makeriel is spared for informational purposes only and should not be used for rax preparation without the assistance of your tax advisor.    10		165.72		11/08/07	in this transaction.		
Quantity   Security Description   Trade Date   Trade Date   Trade Date   Trade Date   Processed   Cost   (Loss)	ion shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrused income you may have received. In addition, test less than \$20 is not included on Form 1090-B (if applicable), it is included in this section. Please note, this malerial is spared for informational purposes only and should not be used for tax preparation without the sestitance of your tex advisor.  10 ALUED WORLD ASSURANCE CD DURING Closing Proceeds Set Cost HUDGS LTD LC Settled as your agent In this transaction.  10 ALUED WORLD ASSURANCE CD DURIN-USD CAVOI/05 DB/05/10 B4.28 S12.29 \$443.81 HUDGS your agent In this transaction.  11 TACE LEECHNOLICGY RELAND 11/12/02 DB/05/10 B4.28 S5.58 S6.77 (17.45) B5.142B SEAGATE TECHNOLICGY SmithBarney LLC CAVOI/05 DB/05/10 1,307.87 S8.16 (17.45) B5.17 S8.16 CAVOI/05 B4.17 CAVOIR B4.17 S8.16 CAVOIR B4.17 CAVOIR B4.17 S8.16 CAVOIR B4.17 CAVOIR B4.17 S8.16 CAVOIR B4.17 CAVOIR B		248.57		11/08/07	acted as your agent		
Ovarithy   Sacurity Description   Trade Date   Closing   Proceeds   Cost   (Loss)	lon shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, feath in lieu less than \$20 is not included on Form 1090-B (if applicable), it is included in this section. Please note, this maleriel is spared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.    Continue   Description   Trade Date   Costing   Cost   Cost   Cost		82.86		07/18/07	MorganStanley SmithBarney LLC	-	
Country   Security Description   Trade Date   Trade Dat	ion shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, least than \$20 is not included on Form 1009-B (if applicable), it is included in this section. Please note, this malerial is spared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.    County Description   County Desc		193.33	06/05/10	02/02/05	TYCO ELECTRONICS LTD	7	125000050
Quantity   Security Description   Trade Date   Trade Date   Trade Date   Trade Date   Proceeds   Cost   (Loss)	ion shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received, in addition, it led it less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this majerial is spared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.  10 ALLIED WORLD ASSURANCE CO OBJORY DEJOS TO STILL SCHOOL SET OBJORY DEJOS TO DEJOS T					acted as your agent		
Country   Security Description   Country   Closing   Closing   Closing   Closing   Proceeds   Cost   Closisy	ion shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received in addition, cash in life less than \$20 is not included on Form 1090-B (if applicable), it is included in this section. Please note, this malerial is spared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.  Opening Coning Sacurity Sacurity Description Tode Date Tode Date Tode Date Proceeds Cont (Loss)  ALLIED WORLD ASSURANCE CO CARBOT CARBOT COURS S12.29 \$ 443.81  HLDGS LTD MorganStanley SmithBarney LLC conting Proceeds S12.29 \$ 443.81  TOCOVIDIEN PLC DUBLIN-USD CARBOT CARBOT COURS S12.29 \$ 443.81  ANOTHER PROCESS CONTROL CONTROL CARBOT COURS CARBOT COURS S12.29  S.1428 SEAGRITE TECHNOLOGY IRELAND CARBOT CONTROL CARBOT CA					MorganStanley SmithBarney LLC		
Quantity         Security Description         Opening Trade Date         Closing Trade Date         Sale Proceeds         Cost         (Loss)           40         ALLIED WORLD ASSURANCE CO         08/09/07         08/05/10         \$ 512.29         \$ 443.81                     40         ALLIED WORLD ASSURANCE CO         08/09/07         08/05/10         \$ 512.29         \$ 443.81                     40         ALLIED WORLD ASSURANCE CO         08/05/10         08/05/10         \$ 512.29         \$ 443.81                     40         ALLIED WORLD ASSURANCE CO         08/05/10         282.84         305.02	ion shows your sales of securities during the yest. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, cash in life less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is spared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.    Opening		378.04		04/01/08	LTD.CHF		
Quantity         Security Description         Opening Trade Date         Closing Trade Date         Sale Proceeds         Cost         (Loss)           40         ALLIED WORLD ASSURANCE CO         08/08/07         08/05/10         \$ 512.29         \$ 443.81         \$ 8           40         MorganStanley SmithBarney LLC         08/08/07         08/05/10         \$ 512.29         \$ 443.81         \$ 8           7         COVIDIEN PLC DUBLIN-USD 3         02/02/05         08/05/10         282.84         305.02         (45.18)           3         MorganStanley SmithBarney LLC 9/18/07         07/18/07         08/05/10         282.84         305.02         (45.18)           5.1429         SEAGATE TECHNOLOGY IRELAND 11/12/02         08/05/10         64.28         55.58         (7.45)           8.6571         MorganStanley SmithBarney LLC 9/16/07         04/07/03         08/05/10         64.29         55.78         (375.78)           8.6571         MorganStanley SmithBarney LLC 9/16/07         05/08/07         08/05/10         64.29         55.78         (375.78)	ion shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, cash in fleu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is spared for informational purposes only and should not be used for tax preparation without the assistance of your lax advisor.    Quantity   Security Description   Topic   Closing   Sale   Cost   Cos		1,307.97	08/05/10	09/15/03	WEATHERFORD INTERNATIONAL	80	25000040
Country   Security Description   Trade Date   Trade Dat	ion shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is spared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.    County Description   Trade Date   Trad					In this transaction.		
Country   Security Description   Closing   Closing   Proceeds   Cost   Cost	ion shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, cash in life less than \$20 is not included on Form 1090-B (if applicable), it is included in this section. Please note, this material is spared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.    Country Description   Closing Closing Sale Cost   Cost		474.99		05/06/07	acted as your agent	æ	
Quantity         Security Description         Opening Trade Date         Closing Proceeds         Sais Cost         (Loss)           10         ALLIED WORLD ASSURANCE CO         08/09/07         08/05/10         \$ 512.29         \$ 443.81         \$ 6           HLDGS LTD         MorganStanley SmithBarney LLC         443.81         \$ 512.29         \$ 443.81         \$ 6           Autrice         MorganStanley SmithBarney LLC         20/02/05         08/05/10         282.84         305.02         (45.18)           3         MorganStanley SmithBarney LLC         07/18/07         08/05/10         282.84         305.02         (45.18)           3         MorganStanley SmithBarney LLC         07/18/07         112.65         130.57         (17.92)           acted as your agent in this transaction.         11/12/02         08/05/10         64.28         55.58	ion shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, cash in life less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is spared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.  Opening Closing Sale Cost (Loss)  Opening Closing Sale Cost (Loss)  In LUED WORLD ASSURANCE CO 08/08/07 08/05/10 \$ 512.29 \$ 443.81 \$ 10.00 \$ 10		85.71		04/07/03	1 MorganStanley SmithBarney LLC	8.8571	
Quantity         Security Description         Opening Trade Date         Closing Proceeds         Sale (Loss)           40         ALLIED WORLD ASSURANCE CO         08/09/07         08/05/10         \$ 512.29         \$ 443.81           HLDGS LTD MorganStanley SmithBarney LLC added as your agent         4 443.81         4 443.81         4 443.81           7         COVIDIEN PLC DIBLIN-USD OZ/02/05         08/05/10         282.84         305.02         (45.18)           3         MorganStanley SmithBarney LLC action as your agent in this transaction.         07/18/07         08/05/10         282.84         305.02         (17.92)	ion shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, cash in life less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is spared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.  Opening Closing Sale Cost (Loss)  Opening Closing Sale Cost (Loss)  HLDGS LTD Proceeds Proceeds Cost (Loss)  MorganStanley SmithBarney LLC added as your agent  OZ/02/05 05/05/10 262.84 305.02 (45.18)  OZ/03/10 112.85 130.57 (17.92)	i :	64.28	08/05/10	11/12/02	9 SEAGATE TECHNOLOGY IRELAND	5.1429	25000030
Quantity         Security Description         Opening Trade Date         Closing Trade Date         Sale Proceeds         Cost         (Loss)           10         ALLIED WORLD ASSURANCE CO         08/09/07         08/05/10         \$ 512.29         \$ 443.81           HLDGS LTD MorganStanley SmithBarney LLC         MorganStanley SmithBarney LLC         2/02/05         08/05/10         \$ 512.29         \$ 443.81           7         COVIDEN PLC DIBLIN-USD         02/02/05         08/05/10         282.84         305.02         (45.18)           3         MorganStanley SmithBarney LLC         07/18/07         112.65         130.57         (17.92)	tion shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, cash in life less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is spared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.  Opening Closing Sale Cost (Loss)  Opening Closing Sale Cost (Loss)  HLDGS LTD Correction SmithBarney LLC added as your agent Countries of your tax advisor.  Opening Closing Sale Cost (Loss)  Trade Date Trade Date Proceeds  Opening Closing Sale Cost (Loss)  Opening Closing Sale Cost (Loss)  Trade Date Trade Date Proceeds  Opening Closing Sale Cost (Loss)  Opening Closing Sale Cost (Loss)  Opening Closing Sale Cost (Loss)  Trade Date Trade Date Proceeds  Opening Sale Cost (Loss)  Opening Closing Sale Cost (Loss)  Opening Closing Sale Cost (Loss)  Opening Closing Sale Cost (Loss)  Opening Sale Date Sale Cost (Loss)  Opening Sale Date Sale Cost (Loss)  Opening Sale Cost (					In this transaction.		
Quantity         Security Description         Opening Trade Date         Closing Proceeds         Sale Cost         Cost         (Loss)           10         ALLIED WORLD ASSURANCE CO         08/09/07         08/05/10         \$ 512.29         \$ 443.81           HLDGS LTD         MorganStanley SmithBarney LLC         Added as your agent         45.18         45.18           7         COVIDIEN PLC DUBLIN-USD         02/02/05         08/05/10         282.84         305.02         (45.18)           3         MorganStanley SmithBarney LLC         07/18/07         08/05/10         282.84         305.02         (45.18)	ion shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, cash in life less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is spared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.    Opening   Closing   Sale   Cost   Cost   Cost   Cost					acted as your agent		
Quantity Security Description Country Country Description Trade Date Trade Date Proceeds Cost (Loss)  10 ALLIED WORLD ASSURANCE CO 08/09/07 08/05/10 \$ 512.29 \$ 443.81  HLDGS LTD MorganStanley SmithBarney LLC acted a your agent Country Cou	ion shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, cash in life less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is pared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.    Opening   Closing   Sale   Cost   Cost   Cost   Cost	-	112.85	00/00/10	07/18/07	COVERN TEC DOBERTON INC		25000020
Opening Closing Sais Cost (Loss)  Opening Frade Date Trade Date Proceeds Cost (Loss)  O10 10 ALLIED WORLD ASSURANCE CO 08/09/07 08/05/10 \$ 512.29 \$ 443.81  MUDGS LTD  MorganStanley SmithBarney LLC acted as your agent	you may have received. In addition, ote, this material is ur tax advisor.  Cost (Loss) \$ 443.81	Ť	200	2000110	SACTOR OF		•	
Opening Closing Sale Cost (Loss)  Quantity Security Description Trade Date Trade Date Proceeds Cost (Loss)  O10 10 ALLIED WORLD ASSURANCE CO 08/09/07 08/05/10 \$ 512.29 \$ 443.81  HLDGS LTD	you may have received. In addition, ote, this material is in tax advisor.  Cost (Loss) \$ 443.81					MorganStanley SmithBarney LLC		
Opening Closing Sale Cost (Loss) Opening Trade Date Trade Date Proceeds Cost (Loss) O10 10 ALLIED WORLD ASSURANCE CO 08/09/07 08/05/10 \$ 512.29 \$ 443.81	you may have received. In addition, ote, this material is ur tax advisor.  Cost (Loss) \$ 443.81	F-1				MEDGS LTD		
Opening Closing Sale Cost (Loss)  Quantity Security Description Trade Date Trade Parts Proceeds Cost (Loss)	you may have received. In addition, ote, this material is ur tax advisor.  Cost (Loss)		\$ 512.29	08/05/10	08/09/07	ALLIED WORLD ASSURANCE CO		125000010
Opening Closing		(Loss)	Proceeds	Trade Date	Trade Date	Security Description		number
			Sie	Closing	Opening			Maranes



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851.52		263.16	914.88	00/00/10	08/15/03	MorganStanley SmithBarney LLC acted as your agent	සි ස	T COUNTY OF THE
247 47		7 70	304.86	08/05/10	70/45/70	ALITODESK INC		2
	(216,16)	570.77	354.59		04/29/08		30	
	(25,74)	84,84	59.10		11/03/06	in this transaction.	()	
	(95.74)	332.14	236.40		03/24/05	acted as your agent	20	
	(24.34)	83,44	59.10		02/10/05	MorganStanley SmithBarney LLC	· cn	
	(55.28)	173,48	118,20	08/05/10	11/17/04	APPLIED MATERIALS INC DELAWARE		125000180
						in this transaction.		
						acted as your agent		
225.24		878.54	1,103.78		12/28/06	MorganStanley SmithBarney LLC	20	
135.79		416.10	551.89	08/05/10	09/25/06	ANADARKO PETROLEUM CORP	10	125000180
						in this transaction.		
						acted as your agent		
						MorganStanley SmithBarney LLC		
	(23.82)	208.05	184.23	07/01/10	09/25/06	ANADARKO PETROLEUM CORP	5	125000150
						in this transaction.		
						acted as your agent		
						MorganStanley SmithBarney LLC		
47.97		132.35	180.32	06/30/10	05/16/02	ANADARKO PETROLEUM CORP	5	125000140
						in this transaction.		
i A A A A			900.10		Ş	acted as your agent	ā	
3333	(07.4)	421.00	553 70	9	04/45/04	ARIGHA INC	\$ 5 6	120000130
	(100.1)	567 00	4K3 70	ON/05/40	COLOGNED.	ANGEN INC		3
						in this transaction.		
		<u>-</u> _				MorganStanley SmithBarney LLC		
	(27.36)	296.88	289,52	06/10/10	11/10/03	AMGEN INC	50	125000120
						in this transaction.		
						acted as your agent		
943.61		324.06	1,267.67		09/26/06	MorganStanley SmithBarney LLC	10	
468,51		167,33	833.84	08/05/10	05/11/06	AMAZON COM INC		125000110
						in this transaction.		
						acted as your agent		
			!		:	MorganStanley SmithBarney LLC		
\$ 476,52		\$ 162,40	\$ 639,92	01/13/10	04/28/05	AMAZON COM INC	١	125000100
Gain	(Loss)	Cost	Proceeds	Trade Date	Trade Date	Security Description	Quantity	value and
				?				

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Reference			Opening	Closing	Sole	}		)
125000200	ъ	THE BASCOCK & WILDOX COMPANY CASH IN LIEU OF 50000	11/14/08	07/30/10	\$ 11.57	\$ 3.82		\$ 7.75
125000210	17	THE BABCOCK & WILCOX COMPANY MorganStanley SmithBarney LLC acted as your agent In this transaction.	11/14/08	08/05/10	389,29	129,98		259.31
125000220	Ø1	BAKER HUGHES INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	02/08/05	08/05/10	212.30	222.64	(10,34)	
125000230	<b>6</b> 5	BANK OF AMERICA CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	05/20/09	08/05/10	915.18	789.49		125.69
125000240	<b>சு ம</b> ன்	BED BATH & BEYOND  MorganStanley SmithBarney LLC  acted as your agent in this transaction.	01/12/05 05/03/05 03/08/08	08/05/10	576.89 192.30 192.29	805.28 185.38 140.47	(28.37)	6.94 51.82
125000250	30	BERKSHIRE HATHAWAY INC CL B MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/15/03	01/27/10	2,122,52	1,494.31		828.21
125000280	5	BERKSHIRE HATHAWAY INC CL B MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/15/03	05/21/10	361.22	248.05		112.17
125000270	15	BERKSHIRE HATHAWAY INC CL B MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/16/03	08/05/10	1,202.52	747.16		455.38
125000280	N	BHP BILLITON LTD SPONS ADR MorganStanley SmithBarney LLC	03/25/09	08/05/10	150.20	<i>9</i> 1.23		58.97



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RICHARD A BERG Account Number

					2			
number	Quantity	Security Description	Trade Date	Trade Date	Proceeds	Cost	(Loss)	Gain
125000290	30	BIOGEN IDEC INC	08/15/03	06/05/10	\$ 1,722,27	\$ 1,067.50		\$ 654.77
	ᄚ	MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/08/04		861.13	611.90		249.23
125000300	·	BLACKROCK INC MorganStanley SmithBarney LLC	03/20/09	08/05/10	159.50 159.50	114.34		45.1 <b>6</b> 33.46
	•	in this transaction.						
125000310	5	BOEING CO MorganStanley SmithBarney LLC acted as your agent In this transection.	10/25/05	08/05/10	588.78	687.46		21.32
125000320	<b>ភ ភិ ភ</b>	BROADCOM CORP CL A MorganStanley SmtthBarney LLC acted as your agent in this transaction.	07/12/06 07/21/06 11/07/07	08/05/10	182,30 546,89 162,29	13d,83 R 355.15 R 165.35 R		45.47 191.74 16.94
125000330	ט ב רט רט רט	CVS CAREMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/22/08 10/28/08 11/08/08 01/08/09	08/05/10	272,51 30,28 151,40 151,40	257.85 25.99 149.89 130.96 146.74		14.86 4.29 1.57 20.44 4.88
125000340	8	CABLEVISION SYSTEMS CORP CABLEVISION NY GROUP CL A MorganStanley SmithBarney LLC acted as your agent	02/24/04	08/05/10	1,101.98	484,20		637.78
125000350	W W W	CARNIVAL CORP (PAIRED STOCK) MorganStaniey SmithBarney LLC acted as your agent	03/31/09 04/17/09 04/20/08	08/05/10	177.55 177.55 177.55	108.58 135.95 127.59		68.86 41.80 49.96
125000360	ט יטי טי	CELGENE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/05/08 01/30/09 05/28/09	08/05/10	281,44 261,44 261,45	255.16 266.17 206.07		26.26 15.27 73.38
125000370	ð <b>ö</b>	CHUBB CORP MorganStanley SmithBarney LLC acted as your agent	06/11/02 02/26/03	08/05/10	799.48 532.98	545.70 239,85		253.78 293.04

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08/05/10 \$ 721.19 \$ 815.00 \$ \$ 08.05/10 \$ 490.79 \$ 988.02 \$ 985.02 \$ \$ 615.00 \$ \$ 683.97 \$ 988.02 \$ 783.30 \$ 583.97 \$ 988.54 \$ 08/05/10 \$ 1,681.67 \$ 1,310.18 \$ 08/05/10 \$ 271.34 \$ 288.27 \$ (10.88) \$ 1,826.07 \$ 1,750.37 \$ (10.88) \$ 08/05/10 \$ 78.73 \$ 17.78 \$ 53.29 \$ 08/05/10 \$ 1,480.97 \$ 373.05 \$ 1.500.78 \$ 1,500.78 \$ 1,100.33 \$ 345.58 \$ 1,100.33 \$ 341.64	Raferance			Opening	Closing	Sala	7		2
Accordance   Acc	125000380	8	CISCO SYS INC	09/15/03	08/05/10	\$ 721.19	\$ 615.00		\$ 106.19
32 In this transaction. 1006405 228.52 53.39  32 In this transaction. 1006405 228.52 53.39  33 CITRIX SYSTELIS INC  08/15/08 08/05/10 28.52 140.33  Morganistaniey SmithBarney LLC  28/15/03 08/05/10 1,891.67 1,310.15  Morganistaniey SmithBarney LLC  28/15/03 08/05/10 1,891.67 1,310.15  Morganistaniey SmithBarney LLC  08/23/03 08/05/10 271.54 282.27 (10.29)  268.68 s your agent in this transaction. 1 1 10 transaction. 1 1 10 transaction. 1 1 10 this transaction. 1 1 10 transaction. 1 10 10 transaction. 1 10 10 10 10 10 10 10 10 10 10 10 10 1		8	MorganStanley SmithBarney LLC	08/11/04		480.79	368.02		112.77
22. In this transaction. 100505 259.87 383.54  5 CITRIX SYSTEMS INC 09/15/06 08/05/10 298.89 140.37  Morganistaniey SmithBarney LLC edited as your agent in this transaction. 1/18 transaction.		8	acted as your agent	10/04/05		723.30	583,97		209.33
S   CITRIX SYSTEMIC SAME   CONTINUE   CONT		23	In this transaction.	10/05/05		528,87	388.54		140.33
### Action as your agent in this transaction.  #### Action as your agent in this transaction.  ##### Action as your agent in this transaction.  ###################################	125000390	5	CITRIX SYSTEMS INC	08/15/08	08/05/10	288.69	140.37		146.32
acted as your agent in this transaction.  30 CCCA-CDLA CD Actor agent in this transaction.  15 COMCAST CORP CLA - SPL Acted as your agent in this transaction.  16 CREE INC Acted as your agent in this transaction.  17 CREE INC Acted as your agent in this transaction.  18 CREE INC Acted as your agent in this transaction.  19 CREE INC Acted as your agent in this transaction.  10 In this transaction.  10 In this transaction.  10 In this transaction.  11 CREE INC Acted as your agent in this transaction.  12/12/03 C4/05/10 78.73 17.78  Acted as your agent in this transaction.  12/12/03 C4/05/10 78.73 17.78  Acted as your agent in this transaction.  12/12/03 C4/05/10 1,480.97 373.95  Acted as your agent in this transaction.  12/12/03 C4/05/10 1,480.97 373.95  Acted as your agent in this transaction.  5 WALT DISNEY CO Acted as your agent in this transaction.  6 Acted as your agent in this transaction.  10 In this transaction.  11 CREE INC Acted as your agent In this transaction.  12/12/03 C4/05/10 1,480.97 373.95  13 In this transaction.  14 In this transaction.  15 In this transaction.  16 In this transaction.  17 In this transaction.  17 In this transaction.  17 In this transaction.  18 In this transaction.  18 In this transaction.  18 In this transaction.  19 In this transaction.  19 In this transaction.  19 In this transaction.  10 In this transaction.			MorganStanley SmithBarney LLC				·		
30 COCA-COLA CD   Acros   Ac			in this transaction.						
Morganistaniey SmithBarney LLC	125000400	3	COCA-COLA CO	09/15/03	08/05/10	1,691.67	1,310.18	,	381,49
15   COMCAST CORP CL A - SPL   C5/31/02   C9/05/10   271,34   282.27   (10.83)			MorganStanley SmithBarney LLC				—		
15 COMCAST CORP CL A - SPL 05/31/02 08/05/10 271.34 292.27 (10.85) 80 Morganistaney SmithBarney LLC 09/22/03 08/05/10 1,826.07 1,750.37 (122.30) In this transaction. 3 CREE INC 12/12/03 02/05/10 207.35 53.29 Morganistaney SmithBarney LLC acted as your agent In this transaction. 4 CREE INC 12/12/03 04/12/10 79.73 17.78 Morganistaney SmithBarney LLC acted as your agent In this transaction. 5 WALT DISNEY CO 05/29/02 05/07/10 1,490.97 373.05 1 10 acted as your agent In this transaction. 6 WALT DISNEY CO 04/19/10 15/7.11 109.13 10.05/10 10.05/10 1/2.05/10	I	ļ	in this transaction.		<b>,</b>		: 	;     	
## Aborgan/Stanley SmithBarney LLC 09/22/03 1,826.07 1,750.37 (122.50) ### Acted as your agent in this transaction.  ### CREE INC 12/12/03 02/05/10 207.35 53.25  ### Aborgan/Stanley SmithBarney LLC acted as your agent in this transaction.  ### CREE INC 12/12/03 04/12/10 78.73 17.76  ### Aborgan/Stanley SmithBarney LLC acted as your agent in this transaction.  ### Aborgan/Stanley SmithBarney LLC 22/12/03 05/05/10 1,450.97 373.05 1  ### Aborgan/Stanley SmithBarney LLC 05/12/02 05/07/10 167.11 109.13  ### Aborgan/Stanley SmithBarney LLC 05/12/02 05/07/10 172.50 72/05  ### Aborgan/Stanley SmithBarney LLC 05/12/02 05/07/10 167.11 109.13  ### Aborgan/Stanley SmithBarney LLC 05/12/02 05/07/10 172.50 72/05  ### Aborgan/Stanley SmithBarney LLC 05/12/02 05/07/10 167.11 109.13  ### Aborgan/Stanley SmithBarney LLC 05/12/02 05/07/10 172.50 72/05  ### Aborgan/Stanley SmithBarney LLC 05/12/02 05/07/10 167.11 109.13  ### Aborgan/Stanley SmithBarney LLC 05/12/02 05/07/10 172.50 72/05  ### Aborgan/Stanley SmithBarney LLC 05/12/02 05/07/10 167.11 109.13  ### Aborgan/Stanley SmithBarney LLC 05/12/02 05/07/10 172.50 72/05  ### Aborgan/Stanley SmithBarney LLC 05/12/02 05/07/10 167.11 109.13  ### Aborgan/Stanley SmithBarney LLC 05/12/03 05/07/10 11/05/07 11/05/07 11/05/07/07/07/07/07/07/07	125000410	15	COMCAST CORP CL A - SPL	05/31/02	08/05/10	271.34	282.27	(10.B3)	
Action as your agent   In this transaction.   12/12/03   02/05/10   207.35   53.26		8	MorganStanley SmithBarney LLC	09/22/03		1,626.07	1,750.37	(122,30)	
3 CREE INC  AMOrgan/Stanley SmithBarney LLC  acted as your agent In this transaction.  1 CREE INC  Afrigan/Stanley SmithBarney LLC  acted as your agent In this transaction.  21 CREE INC  AMOrgan/Stanley SmithBarney LLC  acted as your agent In this transaction.  22 CREE INC  AMOrgan/Stanley SmithBarney LLC  acted as your agent In this transaction.  5 WALT DISNEY CO  4 Morgan/Stanley SmithBarney LLC  acted as your agent In this transaction.  5 WALT DISNEY CO  5 WALT DISNEY CO  6 Morgan/Stanley SmithBarney LLC  7 Morgan/Stanley SmithBarney LLC  8			in this transaction.				 		
## Address your agent   12/12/03   04/12/10   79.73   17.78   ## Address your agent   12/12/03   04/12/10   79.73   17.78   ## Address your agent   10   12/12/03   04/12/10   1,490.97   373.05   1   ## Address your agent   10   11/12/03   04/05/10   1,490.97   373.05   1   ## Address your agent   10   167.12   115.21   ## Address your agent   167.12   115.21   ## Address your agent   167.12   167.12   115.21   ## Address your agent   167.12   167.12   167.13   ## Address your agent   167.13   167.14   ## Address your agent   167.15   176.33   ## Address your agent   176.60   176.60   ## Address your agent   176.60   176.60   ## Address your agent   176.60   176.60   ## Address your agent   176.60   ## Address	125000430	3	CREEINC	12/12/03	03/05/10	207.35	53.29		154,08
In this transaction.  1 CREE INC acted as your agent In this transaction.  21 CREE INC AMorganStanley SmithBarney LLC acted as your agent In this transaction.  5 WALT DISNEY CO AMOrganStanley SmithBarney LLC acted as your agent In this transaction.  6 WALT DISNEY CO So MorganStanley SmithBarney LLC AMOrganStanley SmithBarney LLC CO5/29/02 CO5/07/10 CO5/0			MorganStanley SmithBarney LLC acted as your agent						
MorganStanley SmithBarney LLC acted as your agent in this transaction.         12/12/03         08/05/10         1,480.97         373.05         1           21         CREE INC MorganStanley SmithBarney LLC acted as your agent in this transaction.         05/29/02         05/07/10         197.12         15,21           5         WALT DISNEY CO acted as your agent in this transaction.         05/29/02         05/07/10         197.11         109.13           6         WALT DISNEY CO acted as your agent in this transaction.         08/18/02         08/05/10         172.80         78/25           5         MorganStanley SmithBarney LLC acted as your agent in this transaction.         08/18/02         08/05/10         172.80         78/25           10         acted as your agent in this transaction.         01/05/08         345.58         241/64	125000440	<b>-</b>	CREE INC	12/12/03	04/19/10	79.73	17.76		81.97
acted as your agent in this transaction.  21 CREE INC 12/12/03 08/05/10 1,480.97 373.05 1  MorganStanley SmithBarney LLC acted as your agent In this transaction.  5 WALT DISNEY CO 05/29/02 05/07/10 197.12 115.21 109.13 acted as your agent In this transaction.  5 WALT DISNEY CO 06/10/02 05/07/10 197.12 115.21 109.13			MorganStanley SmithBarney LLC	i	1				
21 CREE INC 12/12/03 08/05/10 1,490.97 373.05 1  MorganStanley SmithBarney LLC acted as your agent In this transaction.  5 WALT DISNEY CO 05/29/02 05/07/10 197.12 115.21 109.13 acted as your agent In this transaction.  5 WALT DISNEY CO 05/29/02 05/07/10 197.12 115.21 109.13			in this transaction.				<u>.                                    </u>		
MorganStanley SmithBarney LLC acted as your agent In this transaction.  5 WALT DISNEY CO 05/29/02 05/07/10 187.12 115.21 109.13 acted as your agent in this transaction.  5 WALT DISNEY CO 06/10/02 187.11 109.13 109.13 101.15 109.13 10	125000450	21	CREE INC	12/12/03	06/05/10	1,490.97	373.05		1,117.92
acted as your agent In this transaction.  5 WALT DISNEY CO 5 MorganStanley SmithBarney LLC 6 Advisor agent In this transaction.  5 WALT DISNEY CO 6/10/02  6/10/02  6/10/02  6/10/02  6/10/02  6/10/02  6/10/03  6			MorganStanley SmithBarney LLC						•
5 WALT DISNEY CO 05/29/02 05/07/10 197.12 115.21 6 MorganStanley SmithBarney LLC 06/10/02 167.11 109.13 exted as your agent in this transaction. 5 WALT DISNEY CO 08/18/02 08/05/10 172.80 79.05 55 MorganStanley SmithBarney LLC 08/18/03 1,800.78 1,110/33 10 acted as your agent 01/05/08 345.59 241/84			acted as your agent In this transaction.						•
5 MorganStanley SmithBarney LLC 06/10/02 167.11 109.13 acted as your agent in this transaction.  5 WALT DISNEY CO 08/18/02 08/05/10 172.80 78/25 S5 MorganStanley SmithBarney LLC 08/19/03 1,900.78 1,110/33 10 acted as your agent 01/05/06 345.59 241/64	125000480	On	WALT DISNEY CO	05/29/02	05/07/10	167.12	115.21		51,91
acted as your agent in this transaction.  5 WALT DISNEY CO 08/18/02 08/05/10 172.80 78/25 55 MorganStanley SmithBarney LLC 08/19/03 1,800.78 1,110/33 10 acted as your agent 01/05/06 345.58 241/64 in this transaction.		ው	MorganStanley SmithBarney LLC	08/10/02		167.11	109.13		57,98
5 WALT DISNEY 08/18/02 08/05/10 172.80 78/05 55 WALT DISNEY 08/18/02 08/05/10 172.80 78/05 55 MorganStanley SmithBarney LLC 08/18/03 1,800.78 1,110/33 10 acted as your agent 01/05/08 345.58 241/84 In this transaction.			acted as your agent				<del> ; -</del>		
5 WALT DISNEY CO 08/18/02 08/05/10 172.80 78/05 55 MorganStanley SmithBarney LLC 08/18/03 1,800.76 1,110/23 10 acted as your agent 01/05/06 345.59 241/64 In this transaction.			in this transaction.				 		
Morganstantey SmithBarney LLC 09/19/03 7,800.78 1,110,23 1,200.78 acted as your agent 01/05/08 345.58 241,84	125000490	) (4)	WALT DISNEY CO	08/16/02	08/05/10	172.80	78.35		94,45
In this transaction.		8	MorganStanley SmithBarney LLC	09/19/03		1,900.76	1,110,33		790,43
		ō	in this transaction.	01/05/08		345.58	241,64		103,86

Ref: 00000811 00016804

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Account Number	RICHARD A BERG	

Reference	Quantity	Security Description	Opening Trade Data	Closing Trade Date	Sale	č.	(Loss)	G ain
125000500	2.2263		05/13/02	08/05/10	\$ 84.60	\$ 27,49		\$ 57.11
	.9474		05/15/02		36.00	12.75		23,25
	2368	8 MorganStanley SmithBarney LLC	06/12/02		9,00	2.82		6.18
	5,5895		09/19/03		212.39	71.22		141.17
125000510	8.6578		05/13/02	08/05/10	328.12	103.99		224.13
	3.8043		05/15/02		139.63	48.25		91.36
	.9209	•	08/12/02		34.90	10.66		24.24
	21.737	l .	09/19/03		823,62	269,44		554.38
125000520	1	DOLBY LABORATORIES INC	10/23/08	08/05/10	53.56	29,41		34.15
	_	CLASS A	10/24/08		63.56	29,98		33,58
	N	MorganStanley SmithBarney LLC	10/27/08		127.12	60.91		66.21
	N	acted as your agent	10/28/08		127.12	58.45		66.67
	-	,	01/09/09		63,56	31.59		31.97
	N		01/12/09		127,12	<b>63.90</b>		83.22
			BO/EL/LO		03.34	36,00		بلان. ا ن م
12300030	ū	COVER CORT	Ç ;Ç	00/00/10	100,40	1		
		acted as your agent						
		in this transaction.						
125000540	10	EBAY INC	05/05/06	08/05/10	210.00	324.89	(114.89)	
	30	MorganStanley SmithBarney LLC	03/14/07		629.99	919.08	(269.10)	
	15	acted as your agent	04/17/08		314.99	460.95	(145.96)	
	20	In this transaction.	07/25/08		419.99	504.31	(84.32)	
125000550	10	ELECTRONIC ARTS	02/08/06	08/05/10	173.10	541.35	(368.25)	
	<b>5</b>	MorganStanley SmithBarney LLC	05/27/08		259.64	738.84	(479.20)	
	œ	acted as your agent	11/21/08		138.48	141.32	(2.84)	
	12	in this transaction.	02/11/09		207.72	203.74		3.98
125000560	5	EMERSON ELECTRIC CO	07/11/02	04/16/10	260.07	128.27		131.80
		MorganStanley SmithBarney LLC						
		acted as your agent						
	:	III SHO CENSECOOL						
125000570	20	EMERSON ELECTRIC CO	05/07/03	08/05/10	1,002.38	489.89		512.39
	5	MorganStantey SmithBarney LLC	05/21/09		250,59	158.45		82.14
		acted as your agent						
		III and a misecuri.						
125000580	30	ERICSSON F. M. TELL CO. C.L. B.	09/1//08	08/05/10	334,18	284,04		CC'RC
		Morganistanies AmithRecoes (17)						
		acted as your agent						
		BUILDER BY ACCURATE SECURE						



## Client Statement 2010 Year End Summary

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747.15 (323.56) 747.15 (82.85) 410.13 (127.73) 369.77 (87.36) 74.42 735.92 74.42 392.46 352.80 392.48 352.80 48.62 (17.67) 193.29 (10.00) 247.46 27.47 783.50 (374.76) 783.50 (374.76) 783.50 (358.69) 546.35	282.40 282.39 510.34 745.26 74.53 74.53 74.53 74.53 183.29 183.29 274.85 274.85	08/05/10 9 08/05/10 7 08/05/10 1	12/27/07 06/30/08 04/01/08 06/17/08 07/08/08 11/10/08 01/05/07 07/25/08 08/15/03 01/08/07 10/23/07	In this transaction.  FRANKLIN RESOURCES INC MorganStanley SmithBarney LLC acted as your agent In this transaction.  FREEPORT MCMORAN COPPER & GOLD CL B  MorganStanley SmithBarney LLC acted as your agent GAP INC DELAWARE MorganStanley SmithBarney LLC acted as your agent in this transaction.  GENERAL ELECTRIC CO MorganStanley SmithBarney LLC acted as your agent in this transaction.  GENERAL ELECTRIC CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	35 35 35 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	125000840 125000850 125000860
(17.97) (10.00) (17.97) (10.00) (17.98)			12/27/07 06/30/08 04/01/08 06/17/08 07/08/08 11/10/08 01/05/07 07/25/08 08/15/03 01/08/07 10/23/07	In this transaction. FRANKLIN RESOURCES INC MorganStanley SmithBarney LLC acted as your agent In this transaction. FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent GAP INC DELAWARE MorganStanley SmithBarney LLC acted as your agent in this transaction. GENERAL ELECTRIC CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	\$ 25 \$ 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	25000840 25000850 25000860
(17.97) (10.00) (17.97) (10.00)			12/27/07 06/30/08 04/01/08 06/17/08 07/08/08 11/10/08 01/05/07 07/25/08 08/15/03 01/08/07 10/23/07	In this transaction.  FRANKLIN RESOURCES INC MorganStanley SmithBarney LLC acted as your agent In this transaction.  FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent GAP INC DELAWARE MorganStanley SmithBarney LLC acted as your agent In this transaction.  GENERAL ELECTRIC CO MorganStanley SmithBarney LLC acted as your agent	# 25 25 B = 4 - 4 - 5	25000840 25000850 25000860
(17.97) (127.78) (17.97) (10.00)			12/27/07 06/30/08 04/01/09 06/17/08 07/08/09 11/10/08 01/05/07 07/25/08 04/15/03 04/15/03	In this transaction.  FRANKLIN RESOURCES INC MorganStanley SmithBarney LLC acted as your agent In this transaction.  FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent GAP INC DELAWARE MorganStanley SmithBarney LLC acted as your agent in this transaction.  GENERAL ELECTRIC CO MorganStanley SmithBarney LLC	28 3555	25000840 25000850 25000880
(82.85) (82.85) (127.73) (87.36)			12/27/07 08/30/08 04/01/09 08/17/08 07/08/09 11/10/08 01/08/07 07/25/08	In this transaction.  FRANKLIN RESOURCES INC MorganStanley SmithBarney LLC acted as your agent In this transaction.  FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent GAP INC DELAWARE MorganStanley SmithBarney LLC acted as your agent in this transaction.  GENERAL ELECTRIC CO	25 35 35 3 - 1 5	25000840 25000850 25000860
(323.56) (62.85) (127.73) (87.36)			12/27/07 08/30/08 04/01/09 04/17/08/09 07/08/09 01/05/07 07/25/08	In this transaction.  FRANKLIN RESOURCES INC MorganStanley SmithBarney LLC acted as your agent In this transaction.  FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent GAP INC DELAWARE MorganStanley SmithBarney LLC acted as your agent in this transaction.	3000	25000840
(82.85) (82.85) (127.73) (87.38)			12/27/07 08/30/08 04/01/08 08/17/08/09 07/08/09 11/10/08 01/08/07 07/25/08	In this transaction.  FRANKLIN RESOURCES INC MorganStanley SmithBarney LLC acted as your agent In this transaction.  FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent GAP INC DELAWARE MorganStanley SmithBarney LLC acted as your agent	\$ 6 6 4 4 6	25000840 25000850
(82.3.56) (82.85) (127.73) (87.38)			12/27/07 08/30/06 04/01/08 04/17/08 07/08/09 11/10/08 01/05/07	In this transaction.  FRANKLIN RESOURCES INC MorganStanley SmithBarney LLC acted as your agent In this transaction.  FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent GAP INC DELAWARE MorganStanley SmithBarney LLC	33 3	25000840
(82.3.56) (82.8.5) (127.73) (87.38)			12/27/07 08/30/08 08/30/08 08/17/08 07/08/09 11/10/08	In this transaction.  FRANKLIN RESOURCES INC Morganistanley SmithBarney LLC acted as your agent In this transaction.  FREEPORT MCMORAN COPPER & GOLD CL B Morganistanley SmithBarney LLC acted as your agent GAP INC DELAWARE	70 70	25000640
(323.56) (62.85) (127.73) (87.38)			12/27/07 08/30/08 08/30/08 04/01/08 08/17/08/09	In this transaction.  FRANKLIN RESOURCES INC Morganistanley SmithBarney LLC acted as your agent In this transaction.  FREEPORT MCMORAN COPPER & GOLD CL B  Morganistanley SmithBarney LLC acted as your agent	10	25000840
(323.56) (62.85) (127.73) (87.38)			12/27/07 08/30/08 08/30/08 04/01/08 08/17/08 07/08/09	In this transaction.  FRANKLIN RESOURCES INC MorganStanley SmithBarney LLC acted as your agent In this transaction.  FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC	110	25000840
(323.56) (62.85) (127.73) (87.96)			12/27/07 06/30/06 06/30/06 04/01/08 06/17/08	In this transaction.  FRANKLIN RESOURCES INC MorganStanley SmithBarney LLC acted as your agent In this transaction.  FREEPORT MCMORAN COPPER & GOLD CL B	- 10	25000640
(323.56) (62.85) (127.73) (87.36)			12/27/07 08/30/08 04/01/08	In this transaction.  FRANKLIN RESOURCES INC MorganStanley SmithBarney LLC acted as your agent In this transaction.  FREEPORT MCMORAN COPPER & GOLD	10	25000640
(323.56) (62.85) (127.73) (87.36)			12/27/07 06/30/06	In this transaction.  FRANKLIN RESOURCES INC  MorganStanley SmithBarney LLC  acted as your agent In this transaction.		
(323.56) (62.85) (127.73) (87.36)			12/27/07	In this transaction.  FRANKLIN RESOURCES INC  MorganStanley SmithBarney LLC  acted as your agent		
(323.56) (62.85) (127.73) (87.36)			12/27/07	In this transaction. FRANKLIN RESOURCES INC MorganStanley SmithBarney LLC		
(323.56) (62.85) (127.73) (67.36)			12/27/07	In this transaction. FRANKLIN RESOURCES INC		
(323.56) (82.85) (127.73) (87.36)			12/27/07	In this transaction.	Un	125000830
(323.56) (82.65) (127.73)					10	
(323.56) (62.85)			09/06/07	acted as your agent	ò	
(323.58)	141.20	_	08/14/04	MorganStanley SmithBarney LLC	Un	
	423.59	08/05/10	09/15/03	FOREST LABORATORIES INC	15	125000620
				in this transaction.		
		-	04/07/08	acted as your agent	3	
170.72 23.64			02/10/09	MorganSteniey SmithBarney LLC		
249.83 42.00	291.83	08/05/10	02/09/09	FLUOR CORP NEW	8	125000610
				in this transaction.		
-				acted as your agent		
106.77 21.03	130.80		02/25/09	MorganStanley SmithBarney LLC		
247,49 14,10	281,59	08/05/10	12/03/06	FIRST SOLAR INC	2	125000800
200.74 39.05	239.79		06/25/06		10	
275.06 158.57	431.63		08/13/06	in this transaction.	10	
111.92 5.80	117.72		12/15/04	4.9091 acted as your agent	4	
136.20 (18.48)	117.72		02/23/04		4	
196,96 (\$ 50.74)	•		09/19/03	8,1818 EXPEDIA INC	6.	125000580
Cost (Loss) Gain	Proceeds	Trade Date Pro	Trade Date	Security Description	Quantity	Reference number

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8 MorganStanley SmithBarney LLC 01/20/05 368.72 335.95 (35.03) 10 acted as your agent 08/31/07 409.69 444.72 (35.03) 10 in this transaction. 05/21/08 409.70 429.32 (19.82) 2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 98.22 (25.12) MorganStanley SmithBarney LLC acted as your agent	9 MorganStanley SmithBarney LLC 01/20/05 368.72 335.95 10 acted as your agent 08/31/07 409.69 444.72 10 in this transaction. 05/21/08 409.70 429.32 2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 98.22 Acroed as your agent in this transaction.		168,00 112,40 281,00 524,99 524,99 712,74 427,94 285,09 285,09 285,10 655,84 218,55 218,54 218,55 218,54 209,92 104,96 209,92	156,81 106,13 256,26 469,90 1,026,25 1,026,25 437,94 286,24 246,06 362,40 117,33 107,69 211,55 85,90 142,50 966,92	(313.51) (10.30) (14.20) (244.89)	35.09 35.09 35.09 15.85 39.01 293.24 101.22 110.85 67.43
acted as your agent  3 HESS CORP  4 Morganistaniby SmithBarney LLC  5 acted as your agent  15 HOWE DEPOT INC  16 Morganistaniby SmithBarney LLC  16 Morganistaniby SmithBarney LLC  17 Morganistaniby SmithBarney LLC  18 Morganistaniby SmithBarney LLC  19 Morganistaniby SmithBarney LLC  10 In this transaction.  10 In this	#ERS CORP   OBJ24/08					07
Acceptant Spart Agent   SmithBarney LLC   Seed as your agent   Seed as your agent   SmithBarney LLC   Seed as your agent   Seed as	Autogenistaniey SmithBarney LLC					0 7
### HESS CORP   Morganistaniley SmithBarney LLC   08/24/09   04/05/10   168.50   158.51   108.13   108	3 HESS CORP 2 MorganStanley SmithBarney LLC 08/24/09 08/05/10 158.80 158.81 2 MorganStanley SmithBarney LLC 288.260 17/20/09 281.00 281.00 283.26 In this transaction.  15 HOME DEPOT INC MorganStanley SmithBarney LLC acted as your agent In this transaction.  16 MorganStanley SmithBarney LLC 04/25/09 08/05/10 712.74 1,028.25 10 mthis transaction.  17 HONE DEPOT INC 04/25/09 08/05/10 285.59 289.29 10 in this transaction.  18 HONE DEPOT INC 04/25/09 08/05/10 285.59 289.29 10 in this transaction.  19 HONE WELL INT. INC 04/25/09 08/05/10 285.59 289.29 10 in this transaction.  10 INTEL CORP 08/11/03 08/14/02 01/15/10 208.25 117.23 285.09					0.74
HESS CORP   HESS CORP   HESS CORP   HESS CORP   HESS CORP	2 HESS CORP 2 MorganStanley SmithBarney LLC 3 Acted as your agent: 15 HOME DEPOT INC 40 Acted as your agent: 16 MorganStanley SmithBarney LLC 25 HOME DEPOT INC 45 HOME DEPOT INC 45 HOME DEPOT INC 45 HOME DEPOT INC 55 HOME DEPOT INC 46 Acted as your agent: 10 In this transaction. 11 MorganStanley SmithBarney LLC 56 HOME DEPOT INC 57 HOME DEPOT INC 477 Acted as your agent: 10 In this transaction. 11 Homewrite LINC 58 HOME DEPOT INC 477 Acted as your agent: 10 In this transaction. 11 Homewrite LINC 58 HOMEWrite LINC 59 HOMEWrite LINC 50 Acted as your agent: 11 In this transaction. 12 HOMERAN CHASE & CO 56 MorganStanley SmithBarney LLC 57 HOMERAN CHASE & CO 57 HOMERAN CHASE & C					0 7
Second as your agent   Color	2 Morganistanley SmithBarney LLC 08/25/09 281.00 258.26 in this trainsaction.  15 HOME DEPOT INC Morganistanley SmithBarney LLC acide as your agent in this trainsaction.  25 HOME DEPOT INC 08/17/08 04/15/10 524.98 49.90 Acide as your agent in this trainsaction.  16 Morganistanley SmithBarney LLC 04/25/09 285.09 285.09 286.29 Acide as your agent in this trainsaction.  17 HONE PROFIT INC 04/25/09 04/25/09 285.09 286.29 Acide as your agent in this trainsaction.  18 HONE PWELL INTL INC 05/27/08 04/25/09 285.09 286.29 Acide as your agent 10/27/02 04/17/03 28/25/25/25/25/25/25/25/25/25/25/25/25/25/		168.60	158.81		8.78
5         acided as your aigent         07/2009         291,00         294,26           15         HOME DEPOT INC AdroganStanley SmithBarney LLC acided as your agent 10         09/15/03         04/16/10         524,99         489,90           25         HOME DEPOT INC AdroganStanley SmithBarney LLC 10         04/25/09         295,09         427,94         1,008,25         (10.30)           15         HOME DEPOT INC In this transaction.         04/25/09         285,09         295,09         298,29         (10.30)           10         acided as your agent 10         04/25/09         285,09         298,29         (10.30)           5         HONEWELL INTLINC 04/25/09         06/17/08         285,09         298,29         (10.30)           6         HONEWELL INTLINC 04/25/09         06/17/08         295,09         298,29         (10.30)           10         In this transaction.         06/17/02         04/17/03         218,54         107,69         298,29           10         INTEL CORP MorganStanley SmithBarney LLC 10         04/11/03         04/11/03         218,54         107,69         117,43           1         HANGE SAY CHASE & CO 10         04/11/03         04/11/03         298,59         142,59           1         HANGE SAY CHASE & CO 10 <td< td=""><td>5 added as your agent (12009) 258.298</td><td></td><td>112,40</td><td>108,13</td><td></td><td>4.2</td></td<>	5 added as your agent (12009) 258.298		112,40	108,13		4.2
In this transaction.	In this transaction.  15 HOME DEPOT INC	10	281,00	258.26		22.7
15   HOME DEPOT INC   Morganistaniley SmithBarney LLC   Adorganistaniley SmithBarney LLC   Morganistaniley SmithBarney LLC   D62/11/08   D8/05/10   712.74   1,026.25   (313.51)   10   acted as your agent   D62/27/08   D8/05/10   285.09   286.29   (10.30)   10   acted as your agent   D62/27/08   D8/07/08   D8/07/07/08   D8/07/07/07/07/07/07/07/07/07/07/07/07/07/	HOME DEPOT INC					
Morganistaney SmithBarney LLC acted as your agent in this transaction.         065/11/08         08/05/10         712.74         1,026.25         (313.51)           25         HOME DEPOT INC 10 Morganistaney SmithBarney LLC 10	Morganistanley SmithBarney LLC   acted as your agent   In this transaction.   Co5/11/08		524.90	489.90		35.0
## Action by your agent in this transaction.  ## Accords as your agent in this transaction.	acted as your agent in this transaction.  10 INTEL CORP Morganistaniey SmithBarney LLC 24/12/02 04/15/10 209.05/10 712.74 1,026.25 (04/25/06 04/25/06 285.06 289.29 (10 this transaction. 05/27/06 285.06 285.06 289.29 (10 this transaction. 05/27/06 285.06 285.06 289.29 (10 this transaction. 05/27/06 285.06 285.06 289.29 (10 this transaction. 05/27/06 05/27/06 285.06 285.06 289.29 (10 this transaction. 05/27/06 05/27/06 05/25/07/06 285.07 276.29 (17.33 acted as your agent 05/27/02 05/05/10 209.82 117.33 acted as your agent 05/27/02 05/15/10 209.82 271.55 (17.35 acted as your agent 05/27/02 05/15/10 209.82 117.33 (17.56 06/14/02 07/20/20 05/27/02 05/25/10 209.82 117.33 (17.56 06/14/02 07/20/20 05/27/02 05/25/10 209.82 117.35 (17.56 06/25/27/02 05/25/10 209.82 117.35 (17.56 06/25/27/02 05/25/10 209.82 117.35 (17.56 06/25/27/02 05/25/10 209.82 117.35 (17.56 06/25/27/02 05/25/10 209.82 117.35 (17.56 06/25/27/02 05/25/10 209.82 117.35 (17.56 06/25/27/08 05/25/27/02 05/25/10 209.82 117.35 (17.56 06/25/27/08 05/25/27/08					
acted as your agent	acted as your agent in this transaction.  25 HOME DEPOT INC 05/11/08 09/05/10 712.74 1,026.25 (15 MorganStanley SmithBarney LLC 04/28/08 285.09 285.09 10 acted as your agent 05/27/08 285.09 286.24 (10 acted as your agent 05/27/08 285.09 286.24 (10 acted as your agent 07/28/07/08 285.09 286.24 (10 in this transaction.  16 INTEL CORP 06/14/02 01/15/10 209.92 211.55 Address your agent 10 INTEL CORP MorganStanley SmithBarney LLC 09/14/02 01/15/10 209.92 211.55 117.33 25 MorganStanley SmithBarney LLC 09/14/02 01/15/10 209.92 211.55 117.33 25 INTEL CORP MorganStanley SmithBarney LLC 09/14/02 01/15/10 209.92 211.55 117.33 25 INTEL CORP MorganStanley SmithBarney LLC 09/14/02 01/15/10 209.92 211.55 117.35 11 In this transaction.  11 JMANGANStanley SmithBarney LLC 09/12/04 08/05/10 722.03 986.92 (10 acted as your agent 10 in this transaction. 05/17/02 09/05/10 450.98 444.72 9 MorganStanley SmithBarney LLC 01/20/05 08/05/10 450.98 444.72 10 acted as your agent 05/21/08 08/05/10 73.10 88.22 (10 finite transaction. 05					
In this transaction.  2.5 HOME DEPOT INC	In this transaction.  25 HOME DEPOT INC 04/25/06 285.09 285.09 10 acted as your agent 06/41/08 04/05/10 285.09 285.09 11 In this transaction. 06/41/03 08/05/10 285.09 285.09 15 HONEYWELL INTL INC 04/18/02 08/05/10 285.09 285.09 16 HONEYWELL INTL INC 04/18/02 08/05/10 285.09 17 INTEL CORP 06/14/02 04/11/03 218.55 117.33 18 Acred as your agent 06/14/02 01/15/10 209.82 218.55 10 INTEL CORP 06/14/02 01/15/10 209.82 218.55 10 acted as your agent 10/07/02 209.82 218.55 11 INTEL CORP 10/07/02 08/14/02 01/15/10 209.82 218.55 11 INTEL CORP 10/07/02 08/14/02 01/15/10 209.82 218.55 11 INTEL CORP 10/07/02 08/14/02 01/15/10 209.82 218.55 11 In this transaction. 08/14/02 01/15/10 209.82 218.55 11 In this transaction. 08/14/02 01/15/10 209.82 218.55 11 In this transaction. 08/12/04 08/05/10 722.03 896.92 (  12 IACOBS ENGINEERING GROUP INC 05/17/02 08/05/10 450.89 354.52 (  13 ACOBS ENGINEERING GROUP INC 05/21/08 08/05/10 73.10 88.22 (  14 Acrea as your agent 05/21/08 08/05/10 73.10 88.22 (  15 HONEYWELL 19TH 10 10/07/02 10/05/10 10/05/05/05 10/05/05/05 10/05/05/05 10/05/05/05/05/05/05/05/05/05/05/05/05/05					
25 HOME DEPOT INC 05/11/08 08/05/10 712.74 1,026.25 (313.51) 15 Morganistanley SmithBarriey LLC 04/25/08 285.09 285.09 280.24 (10.30) 10 In this transaction. 05/27/08 285.09 285.09 286.24 (10.30) 15 HONEFWELL INTL INC 05/27/08 285.09 285.09 286.29 (14.20) 16 Morganistanley SmithBarriey LLC 01/30/03 285.09 285.09 286.24 (14.20) 17 INTEL CORP 08/14/02 01/15/10 208.25 117.33 285.09 286.29 (14.20) 18 Morganistanley SmithBarriey LLC 08/14/02 01/15/10 208.25 117.35 117.35 107.69	### HOME DEPOT INC ### HOME DEPOT INC ### HOME DEPOT INC #### HOME DEPOT INC #### HOME DEPOT INC ####################################			-		
25 HOME DEPOT INC 05/17/05 08/05/10 712.74 1,026.25 (313.51) 15 Morqanistanley SmithBarney LLC 04/25/08 28.509 288.29 (14.20) 10 In this transaction. 05/07/08 28.5.09 288.29 (14.20) 15 HONEYWELL INTL INC 05/07/08 28.5.09 285.50 288.24 10 In this transaction. 05/07/08 28.5.09 285.50 286.24 11 INTEL CORP 10 In this transaction. 05/07/02 01/15/10 209.25 117.35 5 acted as your agent 10 In this transaction. 05/07/02 209.25 211.55 117.35 5 INTEL CORP 20 In this transaction. 05/07/02 01/15/10 209.25 211.55 117.35 10 INTEL CORP 20 In this transaction. 05/07/02 209.25 211.55 117.35 209.25 211.55 117.35 209.25 211.55 209.25 211.55 209.25 211.55 209.25 211.55 209.25 211.55 209.25 211.55 209.25 211.55 209.25 211.55 209.25 209.25 211.55 209.25 209.25 211.55 209.25 209.25 211.55 209.25 209.25 209.25 211.55 209.25 209.25 209.25 211.55 209.25 209	## HOME DEPOT INC ### HOME DEPOT INC ### HOME DEPOT INC ### HOME DEPOT INC #### HOME DEPOT INC #### HOME DEPOT INC ####################################					
15	15 MorganStanley SmithBarney LLC 04/25/08 285.09 289.29 10 actad as your agent 05/27/08 285.09 289.29 10 In this transaction. 06/07/08 285.09 289.29 11 INTEL CORP In this transaction. 06/14/02 04/14/03 285.10 246.09 12 In this transaction. 06/14/02 04/14/03 285.10 246.09 13 HONEYWELL INTLINC 04/14/03 285.10 246.09 14 INTEL CORP O6/14/02 04/14/03 218.55 117.33 15 Acred as your agent 06/14/02 04/14/03 218.55 117.33 16 MorganStanley SmithBarney LLC 06/14/02 04/14/02 10/26/35 117.33 17 In this transaction. 06/14/02 04/15/10 209.92 211.55 18 INTEL CORP 06/14/02 04/14/02 10/26/35 10/26/35 117.39 19 MorganStanley SmithBarney LLC 06/14/02 04/05/10 722.03 986.92 ( acted as your agent 10 04/14/02 04/05/10 450.86 85.90 14/2.50 117.10 117.	_	712.74	1,026.25	(313.51)	
10 acted as your agent Obs/14/02 04/15/10 285.96 289.29 (14.20) 115 HONEYWELL INTL INC 05/16/02 04/05/10 285.94 285.94 (14.20) 115 HONEYWELL INTL INC 05/16/02 04/05/10 285.94 385.40 286.92 (14.20) 115 HONEYWELL INTL INC 05/16/02 04/05/10 285.94 385.40 38	10   acted as your agent   100   1		427.84	437 94	(10.30)	
10 In this transaction.	10   In this transaction.	9 (	300	200 20	(34.90)	
10	10 In this transaction. 08/07/09 285.09 205.49 10 Observed L. In Inc. 08/07/09 285.09 285.09 15 HONEYWELL INTL INC 08/07/09 08/05/10 685.84 362.40 15 MorganStanley SmithBarney LLC 01/30/03 08/05/10 288.55 117.33 5 acted as your agent In this transaction. 08/14/02 01/15/10 209.92 211.55 10 INTEL CORP 08/14/02 01/15/10 209.92 211.55 10 Acted as your agent In this transaction. 10/07/02 08/05/10 722.03 986.92 (2 acted as your agent In this transaction. 11 JPMORGAN CHASE & CO 05/17/02 08/05/10 368.72 335.95 10 acted as your agent In this transaction. 05/17/02 08/05/10 450.68 354.52 10 acted as your agent In this transaction. 05/17/02 08/05/10 450.68 354.52 10 acted as your agent 06/05/10 08/05/10 450.68 354.52 10 acted as your agent 05/07/08 08/05/10 450.68 354.52 10 in this transaction. 05/17/02 08/05/10 450.68 354.52 10 in this transaction. 05/17/02 08/05/10 450.68 354.52 10 in this transaction. 05/07/08 08/05/10 450.68 354.52 10 in this transaction. 05/07/08 08/05/10 450.68 354.52 10 in this transaction. 05/07/08 08/05/10 73.10 88.22 (10 in this transaction. 05/07/08 08/05/10 73.10 88.02 (10 in this transaction. 05/07/08 08/05/07 73.10 88.02 (10 in this transaction. 05/07/08 08/05/07 73.10 88.02 (10 in this transaction. 05/07/08 08/05/07 73.10 88.02 (10 in this transaction. 05/07/07/07 10 in this transaction. 05/07/07/07/07/07/07/07/07/07/07/07/07/07/		200.00	>	(14.20)	<b>.</b>
10 HONEYWELL INTL INC 08/107/08 285.10 245.09  5 MorganStanley SmithBarrary LLC 01/30/03 218.54 325.40  6 MorganStanley SmithBarrary LLC 01/30/03 218.54 117.33  5 acted as your agent 04/11/03 218.54 117.33  6 INTEL CORP 10 INTEL CORP 107/02 06/14/02 01/15/10 209.92 211.55  6 MorganStanley SmithBarrary LLC 08/14/02 01/15/10 209.92 211.55  70 acted as your agent 10/07/02 08/05/10 722.03 85.90  70 acted as your agent 10/07/02 08/05/10 722.03 986.92 (244.89)  71 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.86 354.52 (10.83)  71 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.86 354.52 (10.83)  71 JPMORGAN CHASE & CO 01/20/05 08/05/10 450.86 354.52 (10.83)  71 JPMORGAN CHASE & CO 01/20/05 08/05/10 450.86 354.52 (10.83)  72 JACOBS ENGINEERING GROUP INC 05/21/08 08/05/10 73.10 98.22 (25.12)  73 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 98.22 (25.12)	10 10 10 10 10 10 10 10 10 10 10 10 10 1		285.08	266,24		15,61
15 HONEYWELL INTLINC 09/16/02 06/05/10 655.64 362.40 5 MorganStanley SmithBarney LLC 01/39/03 5 acted as your agent in this transaction.  10 INTEL CORP 06/14/02 01/15/10 209.92 211.55 (1.63) 5 MorganStanley SmithBarney LLC 08/14/02 01/15/10 209.92 211.55 (1.63) 10 INTEL CORP 08/14/02 01/15/10 209.92 211.55 (1.63) 5 MorganStanley SmithBarney LLC 08/14/02 01/15/10 209.92 211.55 (1.63) 10 acted as your agent 10/07/02 08/05/10 722.03 866.92 (244.89) MorganStanley SmithBarney LLC acted as your agent 10/12/05 08/31/07 459.69 354.52 (244.89) 11 JPMORGAN CHASE & CO 05/17/02 08/05/10 459.69 354.52 (35.03) 10 in this transaction.  2 JACOBS ERING GROUP INC 01/02/09 08/05/10 73.10 89.22 (25.12) acted as your agent 10/10/10/10/10/10/10/10/10/10/10/10/10/1	15   HONEYWELL INTLINC   09/16/02   06/05/10   655.84   362.40     5   MorganStanley SmithBarney LLC   01/30/03   218.55   117.33     5   acted as your agent   in this transaction.   10   INTEL CORP   08/14/02   01/15/10   209.92   218.54   107.69     5   MorganStanley SmithBarney LLC   08/14/02   01/15/10   209.92   211.55     6   MorganStanley SmithBarney LLC   acted as your agent   in this transaction.   10   In this transaction.   10   In this transaction.   11   JPMORGAN CHASE & CO   05/17/02   08/05/10   208.93   142.50     10   acted as your agent   in this transaction.   05/17/02   08/05/10   450.66   368.72   335.95     10   acted as your agent   08/31/07   08/31/07   409.70   409.70   429.92   (2   10   in this transaction.   05/21/08   08/05/10   368.72   335.95     10   acted as your agent   08/31/07   08/05/10   409.70   429.92   (2   10   in this transaction.   05/21/08   08/05/10   368.72   335.95   (2   10   in this transaction.   05/21/08   08/05/10   409.70   429.92   (2   10   in this transaction.   05/21/08   08/05/10   73.10   88.22   (3   in this transaction.   05/21/08   08/05/10   73.10   88.22   (3   in this transaction.   05/21/08   08/05/10   73.10   88.22   (4   in this transaction.   05/21/08   08/05/10   08/05/10   73.10   88.22   (4   in this transaction.   05/21/08   08/05/10   73.10   88.22   (4   in this transaction.   05/21/08   08/05/10   08/05/10   73.10   88.22   (4   in this transaction.   05/21/08   08/05/10   73.10   88.22   (4   in this transaction.   05/21/08   08/05/10   08/05/10   73.10   88.22   (4   in this tr	8	285,10	246.09		39.0
5         Morganistaniey SmithBarney LLC         01/39/03         218.55         117.33           5         acted as your agent in this transaction.         04/1/03         218.54         107.69           10         INTEL CORP SmithBarney LLC         08/14/02         01/15/10         209.92         211.55         (1.63)           5         Morganistaniey SmithBarney LLC         08/14/02         01/15/10         209.92         211.55         (1.63)           10         In this transaction.         08/14/02         01/15/10         209.92         211.55         (1.63)           35         INTEL CORP         Morganistaniey SmithBarney LLC         03/12/04         08/05/10         722.03         966.92         (244.89)           Morganistaniey SmithBarney LLC         05/17/02         08/05/10         450.96         96.92         (244.89)           11         JPAIORGAN CHASE & CO         05/17/02         08/05/10         450.96         354.52         96.92         (244.89)           9         Morganistaniey SmithBarney LLC         05/17/02         08/05/10         450.96         354.52         354.52         354.52         354.52         355.95         355.95         355.95         355.95         355.95         355.95         355.95         356.95 <td>5 MorganStanley SmithBarney LLC 01/30/03 218.55 117.33 5 acted as your agent in this transaction.  10 INTEL CORP 08/14/02 01/15/10 209.92 211.55 10 acted as your agent 10/07/02 08/15/10 209.92 211.55 11 In this transaction.  35 INTEL CORP 10/07/02 08/05/10 722.03 986.92 (2 acted as your agent in this transaction.  11 JPMORGAN CHASE &amp; CO 05/17/02 08/05/10 450.86 354.52 10 acted as your agent in this transaction.  10 acted as your agent 05/17/02 08/05/10 450.86 354.52 10 acted as your agent 10 in this transaction.  2 JACOBIS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 98.22 (1 acted as your agent 10 in this transaction.</td> <td></td> <td>F8 559</td> <td>362 40</td> <td></td> <td>293.2</td>	5 MorganStanley SmithBarney LLC 01/30/03 218.55 117.33 5 acted as your agent in this transaction.  10 INTEL CORP 08/14/02 01/15/10 209.92 211.55 10 acted as your agent 10/07/02 08/15/10 209.92 211.55 11 In this transaction.  35 INTEL CORP 10/07/02 08/05/10 722.03 986.92 (2 acted as your agent in this transaction.  11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.86 354.52 10 acted as your agent in this transaction.  10 acted as your agent 05/17/02 08/05/10 450.86 354.52 10 acted as your agent 10 in this transaction.  2 JACOBIS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 98.22 (1 acted as your agent 10 in this transaction.		F8 559	362 40		293.2
5 acide as your agent (10.63)  10 INTEL CORP (10.702)  10 INTEL CORP (10.702)  10 Advisor (10.702)  11 JPMORGAN CHASE & CO (17.702)  12 ACOBS ENGING GROUP INC (17.702)  13 Advisor (10.702)  14 Advisor (10.702)  15 Advisor (10.702)  16 Advisor (10.702)  17 JACOBS ENGING GROUP INC (17.702)  18 Advisor (10.702)  19 Advisor (10.702)  10 Advisor (10.702)  11 JPMORGAN CHASE & CO (17.702)  12 JACOBS ENGING GROUP INC (17.702)  13 Advisor (10.702)  14 Advisor (10.702)  15 Advisor (10.702)  16 Advisor (10.702)  17 JACOBS ENGING GROUP INC (17.702)  18 Advisor (10.702)  18 Advisor	5 acted as your agent In this transaction.  10 INTEL CORP 10 INTEL CORP 5 MorganStanley SmithBarney LLC 08/14/02 01/15/10 209,92 211.55 10 acted as your agent 10/07/02 08/05/10 722.03 986.92 (2 morganStanley SmithBarney LLC acted as your agent In this transaction.  11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.68 354.52 In this transaction.  12 JACOBS ENGINEERING GROUP INC 05/21/08 08/05/10 73.10 98.22 (6 morganStanley SmithBarney LLC acted as your agent in this transaction.  2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 98.22 (7 morganStanley SmithBarney LLC acted as your agent in this transaction.		018 55	117 33		101 3
5 acted as your agent 04/11/03 218.54 107.69 in this transaction.  10 INTEL CORP 08/14/02 01/15/10 209.92 211.55 5 MorganStanley SmithBarney LLC 08/14/02 01/15/10 209.93 211.55 10 acted as your agent 10/07/02 209.93 142.50 in this transaction.  35 INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.  11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.66 354.52 10/07/02 209.93 368.72 335.95 10/07/03 200.05/10 308.72 335.95 10/07/03 200.05/10 308.72 335.95 10/07/03 200.05/10 308.72 335.95 10/07/03 200.05/10 300.70 429.32 (19.83)  2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 88.22 (25.12) acted as your agent ected as your agent 01/02/09 08/05/10 73.10 88.22 (25.12)	5 acted as your agent 04/1/03 218.54 107.69 in this transaction.  10 INTEL CORP 5 MorganStanley SmithBarney LLC 08/14/02 01/15/10 209.92 211.55 104.96 55 MorganStanley SmithBarney LLC 08/14/02 01/15/10 104.96 55.90 104.96 104.		K10.33	17.00		
In this transaction,	In this transaction.  10 INTEL CORP 10 acted as your agent in this transaction.  35 INTEL CORP 10 acted as your agent in this transaction.  11 JPMORGAN CHASE & CO 10 acted as your agent in this transaction.  20 JACOBS ENGINEERING GROUP INC acted as your agent in this transaction.  2 JACOBS ENGINEERING GROUP INC acted as your agent in this transaction.  10 acted as your agent acted as your agent in this transaction.  2 JACOBS ENGINEERING GROUP INC acted as your agent in this transaction.  35 INTEL CORP Addition.  450.88 20 (2)  450.88 354.52 (2)  450.88 354.5	ω	218.54	107.69		110.6
10 INTEL CORP 5 MorganStanley SmithBarney LLC 6 MorganStanley SmithBarney LLC 10 acted as your agent in this transaction.  35 INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.  11 JPMORGAN CHASE & CO 9 MorganStanley SmithBarney LLC acted as your agent in this transaction.  12 JACOBS ENGINEERING GROUP INC NorganStanley SmithBarney LLC Acted as your agent excelled as your agent obs/17/02 08/05/10 450.86 354.52 (19.62)  2 JACOBS ENGINEERING GROUP INC NorganStanley SmithBarney LLC Acted as your agent 05/17/02 08/05/10 73.10 88.22 (19.62)  4 JACOBS ENGINEERING GROUP INC NorganStanley SmithBarney LLC Acted as your agent 01/02/08 08/05/10 73.10 88.22 (25.12)	10 INTEL CORP 5 MorganStanley SmithBarney LLC 6 MorganStanley SmithBarney LLC 10 acted as your agent In this transaction.  35 INTEL CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.  11 JPMORGAN CHASE & CO 9 Address your agent In this transaction.  12 JACOBS ENGINEERING GROUP INC acted as your agent In this transaction.  2 JACOBS ENGINEERING GROUP INC acted as your agent In this transaction.  36 MorganStanley SmithBarney LLC acted as your agent In this transaction.  40 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.88 354.52 335.95 444.72 (MorganStanley SmithBarney LLC 05/21/08 08/05/10 73.10 88.22 (MorganStanley SmithBarney LLC acted as your agent In this transaction.			-		
5 MorganStanley SmithBarney LLC 08/14/02 104.96 85.90 10 acted as your agent 10/07/02 209.93 142.50 In this transaction.  35 INTEL CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.  11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.56 354.52 9 MorganStanley SmithBarney LLC 01/20/05 08/31/07 409.69 444.72 (35.03) in this transaction.  2 JACOBS ENGINEERING GROUP INC 01/02/08 08/05/10 73.10 98.22 (25.12) MorganStanley SmithBarney LLC acted as your agent 05/17/02 08/05/10 73.10 98.22 (25.12)	5 MorganStanley SmithBarney LLC 08/14/02 104.96 85.90 10 acted as your agent In this transaction.  35 INTEL CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.  11 JP MORGAN CHASE & CO 05/17/02 08/05/10 450.86 92 10 acted as your agent In this transaction.  2 JACOBS ENGINEERING GROUP INC 05/21/08 08/05/10 73.10 98.22 (MorganStanley SmithBarney LLC acted as your agent In this transaction.  2 JACOBS ENGINEERING GROUP INC 01/02/08 08/05/10 73.10 98.22 (MorganStanley SmithBarney LLC acted as your agent In this transaction.	-	209.92	211.55	(1,63)	
10 acted as your agent 10/07/02 08/05/10 722.03 986.92 (244.89) In this transaction.  11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.86 95.72 335.95 10 acted as your agent in this transaction.  11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.86 95.72 335.95 10 acted as your agent 06/31/07 409.69 444.72 (35.03) 10 in this transaction.  2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 98.22 (25.12)  Address your agent 01/02/09 08/05/10 73.10 98.22 (25.12)	10 acted as your agent (1007/02 08/05/10 722.03 986.92 (1007/02 08/05/10 722.03 986.92 (1007/02 08/05/10 722.03 986.92 (1007/02 08/05/10 722.03 986.92 (1007/02 08/05/10 722.03 986.92 (1007/02 08/05/10 722.03 986.92 (1007/02 08/05/10 722.03 986.92 (1007/02 08/05/10 722.03 986.92 (1007/02 08/05/10 722.03 986.92 (1007/02/03 980.72 988.72 9		104 02	20 DO	•	1904
10 acted as your agent 10/07/02 208.93 142.50 in this transaction.  35 INTEL CORP 03/12/04 08/05/10 722.03 966.92 (244.89)  MorganStanley SmithBarney LLC acted as your agent in this transaction.  11 JP MORGAN CHASE & CO 05/17/02 08/05/10 450.86 354.52 966.92 (248.9)  10 acted as your agent 01/20/05 368.72 335.95 10 acted as your agent 04/31/07 409.69 444.72 (35.03) 10 in this transaction. 05/21/08 409.70 429.32 (19.82)  2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 98.22 (25.12)  MorganStanley SmithBarney LLC acted as your agent 05/21/08 08/05/10 73.10 98.22 (25.12)	10 acted as your agent 10/07/02 208.83 142.50 in this transaction.  35 INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.  11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.86 354.52 9 MorganStanley SmithBarney LLC 01/20/05 9 MorganStanley SmithBarney LLC 01/20/05 368.72 335.95 10 acted as your agent 08/31/07 409.89 444.72 ACOBS ENGINEERING GROUP INC 05/21/08 08/05/10 73.10 88.22 Accided as your agent in this transaction.	R		03.80		i a. S
In this transaction.  35 INTEL CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.  11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.86 354.52 9 MorganStanley SmithBarney LLC 01/20/05 368.72 335.95 10 acted as your agent 08/31/07 449.89 444.72 (35.03) 10 acted as your agent 05/21/08 409.70 429.32 (19.62) 2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 98.22 (25.12)  MorganStanley SmithBarney LLC acted as your agent	in this transaction.  35 INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.  11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.88 354.52 98 MorganStanley SmithBarney LLC 01/20/05 368.72 335.95 10 acted as your agent 05/21/08 08/31/07 409.69 444.72 ACOBS ENGINEERING GROUP INC 05/21/08 08/05/10 73.10 98.22 ACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 98.22 In this transaction.	103	209,93	142.50		67.43
35 INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.  11 JPMORGAN CHASE & CO 9 MorganStanley SmithBarney LLC 01/20/05 10 acted as your agent 10 in this transaction.  2 JACOBS ENGINEERING GROUP INC Acced as your agent MorganStanley SmithBarney LLC 01/02/09 08/31/07 05/21/08 08/32/10 08/32/10 08/35/10 08/	35 INTEL CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.  11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.66 354.52 9 MorganStanley SmithBarney LLC 01/20/05 398.72 335.95 10 acted as your agent 08/31/07 409.69 444.72 10 in this transaction.  2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 89.22 Addred as your agent in this transaction.  2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 89.22					
MorganStanley SmithBarney LLC acted as your agent in this transaction.  11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.88 354.52 98 MorganStanley SmithBarney LLC 01/20/05 388.72 335.95 10 acted as your agent 08/31/07 409.69 444.72 (35.03) 10 in this transaction.  2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 98.22 (25.12) MorganStanley SmithBarney LLC acted as your agent	MorganStanley SmithBarney LLC acted as your agent in this transaction.  11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.58 354.52 9 MorganStanley SmithBarney LLC 01/20/05 9 MorganStanley SmithBarney LLC 08/31/07 409.59 444.72 10 in this transaction.  2 JACOBS ENGINEERING GROUP INC 05/21/08 08/05/10 73.10 88.22 Accided as your agent in this transaction.			200	(5.1.50)	
MorganStanley SmithBarney LLC           acted as your agent         In this transaction.         450.86         354.52           11         JPMORGAN CHASE & CO         05/17/02         08/05/10         368.72         335.95           9         MorganStanley SmithBarney LLC         08/31/07         409.89         444.72         (35.03)           10         acted as your agent         05/21/08         409.70         429.32         (19.62)           2         JACOBS ENGINEERING GROUP INC         01/02/09         08/05/10         73.10         98.22         (25.12)           MorganStanley SmithBarney LLC         01/02/09         08/05/10         73.10         98.22         (25.12)	MorganStanley SmithBarney LLC acted as your agent In this transaction.  11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.68 354.52 9 MorganStanley SmithBarney LLC 01/20/05 368.72 335.95 10 acted as your agent 08/31/07 409.69 44.72 10 in this transaction.  2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 88.22 Addred as your agent in this transaction.  2 MorganStanley SmithBarney LLC acted as your agent in this transaction.		722.03	966.92	(244.89)	
acted as your agent in this transaction.  11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.88 354.52 9 MorganStanley SmithBarney LLC 01/20/05 368.72 335.95 10 acted as your agent 08/31/07 409.89 444.72 (35.03) 10 in this transaction. 05/21/08 409.70 429.32 (19.62) 2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 98.22 (25.12)  MorganStanley SmithBarney LLC acced as your agent	acted as your agent in this transaction.  11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.56 354.52 9 MorganStanley SmithBarney LLC 01/20/05 368.72 335.95 10 acted as your agent 08/31/07 409.69 444.72 10 in this transaction.  2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 88.22 Acced as your agent in this transaction.					
In this transaction.  11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.86 354.52  9 MorganStanley SmithBarney LLC 01/20/05 368.72 335.95  10 acted as your agent 08/31/07 409.89 444.72 (35.03)  10 in this transaction. 05/21/08 409.70 429.32 (19.62)  2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 98.22 (25.12)  MorganStanley SmithBarney LLC acted as your agent	in this transaction.  11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.58 354.52  9 MorganStanley SmithBarney LLC 01/20/05 368.72 335.95  10 acted as your agent 05/21/08 409.59 444.72  10 in this transaction. 05/21/08 08/05/10 73.10 88.22  AvorganStanley SmithBarney LLC acted agent in this transaction.					
11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.86 354.52  9 MorganStanley SmithBarney LLC 01/20/05 368.72 335.95  10 acted as your agent 08/31/07 499.89 444.72 (35.03)  10 in this transaction. 05/21/08 409.70 429.92 (19.52)  2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 98.22 (25.12)  MorganStanley SmithBarney LLC exced as your agent	11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450,58 354,52 9 MorganStanley SmithBarney LLC 01/20/05 368.72 335,95 10 acted as your agent 05/21/08 409,59 444.72 10 in this transaction. 05/21/08 409,70 429,32 2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73,10 88.22 In this transaction.					
11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450.86 354.52  8 MorganStanley SmithBarney LLC 01/20/05 308.72 335.95  10 acted as your agent 08/31/07 408.69 444.72  10 in this transaction. 05/21/08 409.70 429.32 (19.62)  2 JACOBS ENGINEERING GROUP INC 01/02/08 08/05/10 73.10 88.22 (25.12)  MorganStanley SmithBarney LLC acted as your agent	11 JPMORGAN CHASE & CO 05/17/02 08/05/10 450,68 354.52  9 MorganStanley SmithBarney LLC 01/20/05 368.72 335.95  10 acted as your agent 08/31/07 409.69 44.72  10 in this transaction. 05/21/08 409.70 429.32  2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 88.22  AnorganStanley SmithBarney LLC acted as your agent in this transaction.					
9         MorganStanley SmithBarney LLC         01/20/05         368.72         335.95         35.03           10         acted as your agent         08/31/07         409.69         444.72         (35.03)           10         in this transaction.         05/21/08         408.70         429.32         (19.62)           2         JACOBS ENGINEERING GROUP INC         01/02/09         08/05/10         73.10         98.22         (25.12)           MorganStanley SmithBarney LLC acted as your agent         05/21/08         08/05/10         73.10         98.22         (25.12)	9 MorganStenley SmithBarney LLC 01/20/05 368.72 335.85 10 acted as your agent 08/31/07 409.69 444.72 10 in this transaction. 05/21/08 409.70 429.32 2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 88.22 Acred as your agent in this transaction.		450.58	354.52		96,14
10 acted as your agent 043/107 409.69 444.72 (35.03) 10 in this transaction. 05/21/08 409.70 429.32 (19.52) 2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 98.22 (25.12) MorganStanley SmithBarney LLC acted as your agent	10 acted as your agent 08/31/07 409.69 444.72 10 in this transaction. 05/21/08 409.70 429.32 2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 88.22 8 acted as your agent in this transaction.		3KB 79	33.95		33 73
10 acted as your agent 08/31/07 409.89 444.72 10 in this transaction. 05/21/08 409.70 429.32 2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 88.22 AnorganStanley SmithBarney LLC acted as your agent	10 acted as your agent 08/31/07 409.89 444.72 10 in this transaction. 05/21/08 409.70 429.32 2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 89.22 AnorganStanley SmithBarney LLC acted as your agent		300.72	1		32.1
10         in this transaction.         05/21/08         409,70         429,32           2         JACOBS ENGINEERING GROUP INC         01/02/09         09/05/10         73,10         88,22           MorganStanley SmithBarney LLC acted as your agent         90/05/10         73,10         98,22	10 in this transaction. 05/21/08 409.70 429.32 2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 88.22  MorganStanley SmithBarney LLC acted as your agent	7	409.69	44.72	(35.03)	
2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 88.22  MorganStanley SmithBarney LLC acted as your agent	2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73.10 98.22  MorganStanley SmithBarney LLC  acted as your agent	•	409.70	429 33	(19.62)	
2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73,10 88.22  MorganStanley SmithBarney LLC  acted as your agent	2 JACOBS ENGINEERING GROUP INC 01/02/09 08/05/10 73,10 88.22  MorganStanley SmithBarney LLC actor agent in the targetter		10000	100,000	11000/	
MorganStanley SmithBarney LLC acted as your agent	MorgenStanley SmithBarney LLC acted as your agent in this transaction		73,10	98.22	(25,12)	
acted as your agent	acted as your agent				,	
actor as your agont	in this transaction					
in this transaction.	II sha na Daoseil			08/05/10 08/05/10 08/05/10 08/05/10	08/05/10 154.90 08/05/10 281.00 04/15/10 524.90 04/15/10 712.74 1 08/05/10 427.64 285.06 285.06 285.10 08/05/10 209.92 01/15/10 209.92 08/05/10 722.03 08/05/10 450.66 208.72 409.69 08/05/10 73.10	08/05/10 106.50 106.51 281.00 258.26 106.13 281.00 258.26 106.13 281.00 258.26 106.13 281.00 258.26 106.13 281.00 258.26 102.54 1,026.25 (282.09 285.09 285.09 285.09 285.09 285.09 285.40 218.55 117.33 218.54 107.69 104.98 85.90 209.92 211.55 104.98 85.90 209.92 211.55 104.98 85.90 209.92 211.55 104.98 85.90 209.92 211.55 104.98 85.90 209.55/10 722.03 986.92 (29.05/10 450.69 444.72 385.95 444.72 409.70 98.22 08/05/10 73.10 98.22

Ref: 00000611 00016807

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RICHARD A BERG

Account Number

	(89.68)	228.77	158.89		03/18/08		14	
	(42.28)	14.4	102.15		03/17/08		•	
	(174.15)	505.44	331.29		09/19/03	29.1895 acted as your agent	29.1895	
	(5.97)	20.01	14.04		08/12/02		1.2388	
	(34.37)	90.5½	58.15	10/00/10	05/15/02	4 INTERACTIVE SER A	4.9474	1000000
	(22.47)	105 15	434 PB	Canala Ca	06/13/00		44 8383	36000870
67.42		<b>9</b> 7.1 <b>3</b>	184.55		08/18/03	_	5,5885	
3.12		3,85	B.97		06/12/02		.2368	
1.8		3.16	5.11	08/05/10	05/15/02	7 LIBERTY GLOBAL INC CLASS A	.1737	125000860
					·	in this transaction.		
		· — -				acted as your agent	;	
58.46		18 13	180.50 180.50	(A) (A)	01/07/09	Morganistaniay SmithBarney III C	3 8	12300000
77		150 83	190 90	2 Miles	OC ION ION		3	DEPONES
18.15		5.49	23.64		04/01/09		<b>-</b> (	
\$1.53		16.33	70.95		03/25/08	in this transaction.	ω.	
14.74		8.91	23.65		11/19/08	acted as your agent	٠.	
44.22		26.73	70.85		11/18/08	MorganStanley SmithBarney LLC	ယ	
08.62		53.28	141.90	08/05/10	11/17/08	LASALLE HOTEL PPTYS SBI	•	125000840
						in this transaction.		
100.04		13,412	3/0/89		CO ICT IRO	acted se your scent	U	
100		344.37	370.80	00/00/10	08/15/03	LO COMMONICATIONS TRUGS INC	ห ซี	reactions
407.70		204 89	4 440 00	OB 205 /40	2011500	a COMMINICATIONS LI COS NO		2500000
						acted as your agent In this transaction.		
14.611		218.32	334.79	08/05/10	06/04/09	ANYCORT -NEW	ŧ	125000820
90.881		223./0	423.44		CZ/19/US	in this transaction.	15	
20.69	٠	72.13	141.15		02/02/09	acted as your agent	<b>.</b>	
57.24		83,91	141.15		11/06/08	MorganStanley SmithBarney LLC	Úħ	
145.86		277.78	423.44	08/05/10	10/22/08	JUNIPER NETWORKS INC	<b>1</b> 5	125000810
						in this transaction.		
461.54		332.04	783.58		07/01/09	MorganStantey SmithBarney LLC	ó	
221.21		175.58	398.79	08/05/10	06/11/09	JONES LANG LASALLE INC	Çħ	125000800
						in this transaction.		
103.53		1,000.54	1,182.80		04/19/04	Morganstanley SmithBarney LLC	N.	
\$ 225.77		\$ 1,285.45	\$ 1,491.22	08/05/10	09/18/03	JOHNSON & JOHNSON	)   	125000780
Gain	(Loss)	Cost	Proceeds	Trade Date	Trade Date	Security Description	Quantity	number
				<u> </u>				

Ref: 00000611 00016608

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RICHARD A BERG Account Number

125000980	125000980	125000950	125000940	125000930	125000910	125000900	125000890	125000880	Reference number
s 5 20	2	25 5 20 5	13 35 us	&	თ	10	.7421 .3158 .0789 1.8632	2.2283 .9474 .2388 5.5895	Quantity
NASDAQ OMX GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	MURPHY OIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	MICROSOFT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	MERCK & CO INC NEW MorganStanley SmithBarney LLC acted as your agent In this transaction.	MCDERMOTT INTERNATIONAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	MARRIOTT INTL INC NEW CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	MADISON SQUARE GARDEN INC Morganstanley SmithBarney LLC acted as your agent In this transaction.	.7421 LIBERTY MEDIA CORP LIBERTY .3158 STARZ SER A .0788 MorganStanley SmithBarney LLC 1.8632 acted as your agent	2.2263 LIBERTY MEDIA HLDG CORP CAP .9474 SER A .2366 MorganStanley SmithBarney LLC 5.5685 acted as your agent	Quantity Security Description
05/09/08 06/11/08 07/09/08	09/11/08	02/11/04 02/19/04 03/15/04 04/28/06	03/17/08 04/28/09 05/13/09	11/14/08	03/31/09	02/24/04	05/13/02 05/15/02 05/12/02 09/19/03	05/13/02 05/15/02 05/15/02 06/12/02	Opening Trade Date
08/05/10	06/02/10	08/05/10	08/05/10	04/05/10	06/25/10	08/05/10	08/05/10	08/05/10	Closing Trade Deta
399,79 38.95 98.95	101.87	127,50 637,49 637,49 509,98	174,55 1,047.28 349.09	453.59	180.94	194,18	41.95 17.85 4.46 105.32	\$ 104.43 44.44 11.11 282.20	Sale Proceeds
774.17 159.42 124.31	133.16	135.85 672.75 631.88 483.76	209.55 719.01 257.49	148.06	82.44	95,48	13.95 6.48 36.13	\$ 22.03 10.22 2.26 57.07	Cost
(374.38) (59.47) (24.38)	(31.29)	(8.15) (35.26)	(35.00)						(4801)
		5.61 26,22	328.27 91.60	307.53	78.50	88.71	28.00 11.37 3.03 69.19	\$ 62.40 34.22 8.85 205.13	Gain

## Client Statement 2010 Year End Summary

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Ref: 00000811 00018809

	Quantity	Sucurity Description		Trade Date	Proceeds	ž		
125001000	-	NATIONAL OILWELL VARCO INC	01/11/07	08/05/10	\$ 185,57	\$ 284.44	(\$ 98.77)	
	7	MorganStanley SmithBerney LLC	10/24/08		289,93	188.30		121.54
		acted as your agent in this transaction.	10/27/08		185,59	101.80		83.58
125001020	•	NORTHROP GRUMMAN CORP	03/25/08	06/05/10	238,40	172.00		24.45
	a	MorganStanley SmithBerney LLC acted as your agent	07/02/09		354.50	289.75		2.2
		in this transaction.						
125001030	ð	NOVARTIS AG ADR	08/05/05	08/05/10	501.18	493.20		7.99
	15	MorganStanley SmithBarney (L.C	04/29/09		751.78	567.89		183.88
		acted as your agent in this transaction.						
125001040	15	NUCOR CORP	02/20/08	08/05/10	60.59	584.24		18.35
	-	MorganStanley SmithBarney LLC						
		in this trensection.						
125001050	23	NVIDIA CORP	05/30/08	08/05/10	215.26	582.55	(347.27)	
	7	MorganStanley SmithBarney LLC screet as your acent	06/02/08		85.52	172.11	(106,59)	
		in this transaction.						
125001060	25	PALL CORP	07/28/06	08/05/10	949,48	646,82	,	301. <b>6</b> 6
		MorganStanley SmithBarney LLC						
		in this transaction.						
125001070	4	PARKER-HANNIFIN CORP	04/22/09	08/06/10	256,91	188.03		87.80
		ected as your agent						
		in this transaction.						
125001080	s,	PEPSICO INC	09/05/02	06/05/10	328.24	186.61		141.63
	á	MorganStanley SmithBarney LLC	09/17/03		964.73	683.72		301.01
		in this transaction.				- - - - - - -		
125001090	8	PROCTER & GAMBLE CO	09/25/03	09/05/10	1,190.97	647.28		543.69
		Mandaman Sugarantering Inch						

## Client Statement 2010 Year End Summary

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Ref: 00000611 00016610

						acted as your agent		
357,82		330.97	686.79		03/14/08	MorganStanley SmithBarney LLC	15	
325.34		501.20	826.54	08/05/10	12/16/03	SANDISK CORP	ä	125001200
2.23		142.95	145.18		08/24/09		7	
8		61.42	62.22		08/17/09		ω	
10.39		279.96	280.35		05/29/09	in this transaction.	<b>1</b>	
5.56		118.89	124,44		05/28/09	acted as your agent	a	
.76		19.98	20.74		05/27/09	MorganStanley SmithBarney LLC	_	
3,11		79.85	62,96	08/05/10	05/26/09	SAFEWAY INC NEW	4	125001190
						In this transaction.		
						acted as your agent		
	(1,40)	163.79	162.39		03/27/09	MorganStanley SmithBarney LLC	<b>G</b>	
	(42.26)	204.65	162.39	07/23/10	09/16/00	ROCHE HLDG LTD SPON ADR	Uı	125001170
						in this transaction.		
						acted as your agent		
						MorganStanley SmithBarney LLC		
	(33.64)	204.66	171.02	08/11/10	09/16/08	ROCHE HLDG LTD SPON ADR	5	125001160
		-				in this transaction.		
		-				Morganisaming Smithderney LLC		
	(24)	25.95	25.01	06/28/10	09/24/08	ROBERT HALF INTERNATIONAL INC		125001150
						In this transaction.		
		-				acted as your agent		
						MorganStanley SmithBarney LLC		
	(2.97)	103,80	100.83	06/25/10	09/24/08	ROBERT HALF INTERNATIONAL INC	4	125001140
						in this transaction.		
						Morganization Smith Darridy LLC		
	(2.58)	181.36	178.78	08/21/10	10/28/08	QUALCOMM INC	Úh	125001120
		L				in this transaction,	i i	
						acted as your agent		
						MorganStanley SmithBarney LLC		
	(7,91)	181.37	173,46	06/10/10	10/28/08	QUALCOMM INC	(h	125001110
						in this transaction,		
						acted as your agent		
	,					MorganStantey SmithBarney LLC		
	(\$ 27.12)	\$ 205.37	\$ 178.25	06/02/10	10/07/08	QUALCOMM INC	5	125001100
Gain	(Loss)	Cost	Proceeds	Closing Trade Date	Opening Trade Date	Security Description	Quantity	Reference

## Client Statement 2010 Year End Summary

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Ref: 00000611 00018611

42.61		97.08	139,69		04/09/09	acted as your agent	U	
211.14		798.12	1,007.26		05/19/06	MorganStanley SmithBarney LLC	. 3G	
24.45		87.47	111.92	08/05/10	04/25/05	UNILEVER PLC SPONS ADR NEW	*	125001300
	**************************************					In this transaction.		
7.35		88,88	97.34		06/23/09	acted as your agent	G.	
	( <u>\$.</u> 4g)	62.51	58.41		10/06/06	MorganStanley SmithBarney LLC	ω	
	(4.93)	43.87	38,94	08/05/10	10/03/08	TUTOR PERINI: CORP	N	125001280
	(48)	674.65	674,17		07/23/08		27	
	(43.88)	293.89	249.70		04/08/08		ō	
	(52.88)	302.58	249.70		02/13/07	in this transaction.	ô	
13.77		860.16	873,93		11/12/04	acted as your agent	ઝ	
	(61.14)	435.68	374.54		04/06/04	MorganStanley SmithBarney LLC	15	
9.06		190.70	199.76	08/05/10	09/15/03	TEXAS INSTRUMENTS INC	<b>œ</b>	125001270
						acted as your agent		
43.02		141.62	184,84		12/16/03	18.1879 MorganStanley SmithBarney LLC	18.1	
17.11		167.53	184.84		09/16/03	73 CO LTD ADR	18.1873	
96,42		346,46	442,88	01/25/10	06/07/03	43.5246 TAIWAN SEMICONDUCTOR MFG	43.5	125001260
		-				in this transaction.		
44.61		154.24	198,85		04/08/09	acted as your agent	Ųh	
32.20		365.49	397.69		05/07/03	MorganStanley SmithBarney LLC	10	
	(8.5.8)	404.27	397.60	08/05/10	01/16/03	STATE STREET CORP	6	125001250
		-				in this transaction.		
						acted as your accept		
	(65.70)	269.69	203.99	06/29/10	05/22/08	SEARS HOLDINGS CORPORATION	w	125001240
						in this transaction.		
						acted as your agent		
	(24.60)	179.79	155.19		05/22/08	MorganStanley SmithBarney LLC	N	
	(20.67)	98.26	77.59	08/10/10	01/11/08	SEARS HOLDINGS CORPORATION	_	125001230
		-				In this transaction.		
34,64		195.00	229.84		02/19/09	acted as your agent	<b>1</b> 5	
8.19		56.36	78.55		01/30/09	MorganStanley SmithBarney LLC	Ų'n	
19.96		209.69	229.65	08/05/10	01/29/09	SCHWAB CHARLES CORP	ij	125001220
						acted as your agent in this transaction.		
\$ 24.37		\$ 288.37	\$ 312.74	08/05/10	01/16/07	SCHLUMBERGER LTD	<b>(</b> 51	125001210
Gain	(Loss)	Co	Proceeds	Closing Trade Date	Opening Trade Deta	Security Description	Quantity	Reference
					11			



Client Statement 2010 Year End Summary

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Ref: 00000611 00016612

RICHARD A BERG Account Number

\$ 1,971.56 \$ 1,452.62 \$ 5 \$ 1,971.56 \$ 1,452.62 \$ 5 \$ 1,971.56 \$ 1,452.62 \$ 5 \$ 271.46 27 \$ 271.46 27 \$ 271.46 27 \$ 208.75 218.17 (20.10) 208.75 218.17 (9.42) \$ 41.75 41.50 28.13 (742.92) \$ 281.20 228.13 (742.92) \$ 22.32 100.40 R (46.08) 2 203.19 266.45			
\$ 1,971.56 \$ 1,452.62 \$ 5 \$ 1,971.56 \$ 1,452.62 \$ 5 \$ 1,971.56 \$ 1,479, 271.46 \$ 2 104.37 124.47 (20.10) 2 208.75 218.17 (9.42) 41.50 (742.82) 67.20 228.13 \$ (742.82) 67.20 228.13 \$ (742.82) 228.13 \$ (742.82) 228.13 \$ (742.82) 228.13 \$ (742.82) 228.13 \$ (742.82) 228.13 \$ (742.82) 228.13 \$ (742.82) 228.13 \$ (742.82) 228.14 \$ (742.8		in this transaction.	
\$ 1,971.56 \$ 1,452.62 \$ 5 \$ 1,971.56 \$ 1,452.62 \$ 5 \$ 1,971.56 \$ 1,452.62 \$ 5 \$ 271.46 \$ 271.46 \$ 271.46 \$ 271.46 \$ 271.46 \$ 271.47 \$ 2	CEC 03/2//08		
\$ 1,971.56 \$ 1,452.62 \$ 5 \$ 1,971.56 \$ 1,452.62 \$ 5 \$ 1,971.56 \$ 1,452.62 \$ 5 \$ 271.46 \$ 2			
\$ 1,971.56 \$ 1,452.62 \$ \$ \$ 1,971.56 \$ \$ 1,452.62 \$ \$ \$ 1,452.62 \$ \$ \$ 1,971.46 \$ \$ \$ 1,452.62 \$ \$ \$ 1,04.37 \$ 124.47 \$ (20.10) \$ 104.37 \$ 124.47 \$ (20.10) \$ 104.37 \$ 124.47 \$ (20.10) \$ 104.57 \$ 218.17 \$ (9.42) \$ 41.75 \$ 41.50 \$ 1,004.51 \$ \$ (742.82) \$ 87.20 \$ 228.13\$ \$ (140.83) \$ 52.32 \$ 100.40\$ \$ (48.08)	03/20/09	15 YAHOO INC	25001410
\$ 1,971.56 \$ 1,452.62 \$ \$ \$ 1,971.56 \$ \$ 1,452.62 \$ \$ \$ 1,452.62 \$ \$ \$ 1,971.46 \$ \$ 1,452.62 \$ \$ 1,04.37 \$ 124.47 \$ (20.10) \$ 1,04.37 \$ 218.17 \$ (9.42) \$ 41.75 \$ 41.50 \$ 1,004.51 \$ (742.92) \$ 87.20 \$ 228.13\$ \$ (140.83)	05/18/09	3 acted as your agent	
\$ 1,971.56 \$ 1,452.62 \$ \$ 1,652.62 \$ \$ 1,971.56 \$ 271.46 \$ 360.69 271.46 \$ 271.46 \$ 20.10 \$ 208.75 218.17 (9.42) 41.75 41.50 41.50 281.59 1,004.51 R (742.82)	LLC 10/22/02	5 MorganStanley SmithBarney LLC	
\$ 1,971.56 \$ 1,452.62 \$ \$ 1,652.62 \$ \$ 1,971.46 \$ \$ 1,452.62 \$ \$ 1,452	05/24/02	15 WEYERHAEUSER CO	125001390
\$ 1,971.56 \$ 1,452.62 \$ 360.69 271.46 360.69 271.46 826.24 414.79 104.37 124.47 (20.10) 208.75 218.17 (9.42)	01/20/06	1.7447 acted as your agent	
\$ 1,971.56 \$ 1,452.62 \$ 360.69 271.46 \$ 360.69 271.46 \$ 360.69 271.46 \$ 326.24 414.79; 104.37 124.47 (20.10)	LLC 11/18/05	8.7234 MorganStanley SmithBarney LLC	
\$ 1,971.56 \$ 1,452.62 \$ 360.69 271.46	10/20/05	4.3617 ADR NEW	
\$ 1,971.56 \$ 1,452.62. \$ 5 360.69 271.46	06/18/02	26,1702 VODAFONE GROUP PLC SPONS	25001380
\$1,971.56 \$1,452.62. \$5		in this transaction.	
\$1,971.56 \$1,452.62. \$5 360.69 271.46	FEC	MorganStanley SmithBarney LLC	
\$ 1,971.56 \$ 1,452.62 \$ 5	11/07/08	10 VERTEX PHARMACEUTICALS INC	125001360
\$ 1,971.56 \$ 1,452.62 \$ 5		ected as your agent in this transaction.	
\$ 1,971.56 \$ 1,452.62 \$ 5	rrc	MorganStanley SmithBarney LLC	
· · Volence	09/26/03	50 UNITEDHEALTH GROUP INC	125001320
Closing Sale Cost (Cost)	Opening Trade Date 1	Quantity Security Description	Reference number
Sale	200	200000	Š

R The basis for this tax lot has been adjusted due to a reclassification of income

220000300	220000100	Reference number	Withdrawals	This secti	Detai
04/19/10	02/05/10	Date	wals	on reflect	<b>  </b>
220000300 04/13/10 NOVARTIS AG ADR ADR FEE OF .00350 PER SHR RECORD 03/04/10 PAY 04/13/10	220000100 02/05/10 VODAFONE GROUP PLC SPONS ADR NEW ADR FEE OF 01000 PER SHR RECORD 11/20/08 PAY 02/05/10	Description		This section reflects any deposits or withdrawals made to your account during the year.	Déposits and Withdra
		Referrat number		le to your accou	Wals 2010
.09	\$ .41	Amount		nt during the	
220000400	220000200	Reference	:	/ear.	•
04/20/10	220000200 02/19/10 INI FR	Date			
220000400 04/20/10 ROCHE HLDG LTD SPON ADR ADR FEE OF .02350 PER SHR RECORD 03/08/10 PAY 04/20/10	INVESTMENT & ADVISORY SERVICES FROM 02/01/10 TO 04/30/10	Description			
DR SHR Q/10	SERVICES	Referral number			

\$ 522,55

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## Client Statement 2010 Year End Summary

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RICHARD A BERG

Account Number

We are pleased to provide you with this overview of activity in your account this year. This information will help you understand the performance of your investments and manage your account with the assistance of your Financial Advisor. This information is not provided to the IRS.

	Descript	¥a.
	g	ue of
		your p
9778		ordo
		ō
T. E. C. E.		
	Am	
	Amount	

totals reported on your monthly statement. Due to IRS reporting requirements, these figures may not be the same as

\$ 104,87		\$ 104.87	Totals
\$ 104.87		\$ 104.87	Qualified dividends
Total	1088 Exempt	1098 Reported	Description

→If you received money funds earnings, capital gain distributions or non-taxable distributions, they are included in this amount.

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Client Statement 2010 Year End Summary

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Ref: 00000611 00018618

RICHARD A BERG Account Number

CUSIP Description         Total Ordinary Dividends Short term (Box 1s)         Qualified Short term (Box 1s)         Cualified Capital gain (Box 2s)         Total Capital gain (Box 2s)         Unmacaptured Sec. 1250 gain (Box 2s)         Saction 1202 (Box 2s)           00 48825H100000 JPMORGAN CHASE & CO MICROSOFT CORP         \$ .21	Total  Capital gain Unrecaptured Section 1202  distributions Sec. 1250 gain gain  (Box 2a) (Box 2b) (Box 2c)	owing details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed dends include dividend reinvestments, money fund earnings, and accrued dividends received.  Total Ordinary Dividends CUSIP Short term Cusilination Cusili	Total Capital gain  Geor. 1250 gain  (Box 2a)  (Box 2b)  (Box 2b)  (Box 2b)		-						
CUSIP Description         Total Ordinary Dividends Short ferm. (Box 1s)         Qualified Short ferm. (Box 1s)         Qualified Capital gain (Box 2s)         Total Capital gain (Box 1s)         Unmocaptured (Box 2s)         Sect. 1250 gain (Box 2s)         Sect. 1250 gain (Box 2s)         Sect. 1250 gain (Box 2s)         Sect. 1250 gain (Box 2s)         Gox 2c)           D0 594918104000 MICROSOFT CORP         59.52         89.52         89.52         89.52           N0 648746101000         35.14         35.14         35.14	Total  capital gain Unrecaptured Section 1202  distributions Sec. 1250 gain gain  (Box 2a) (Box 2b) (Box 2c)	Cilsplayed  Total  Total  Capital gain  General Section 1202  distributions  Sec. 1250 gain  (Box 2a)  (Box 2b)  (Box 2b)  (Box 2c)	formi Total Capital gain Unrecaptured Section 1202 distributions Sec. 1250 gain gain (Box 2a) (Box 2b) (Box 2c)							WELLS FARGO & CO NEW	
CUSIP CUSIP CUSIP CHARGE CAPITAL CAPIT	Total  Capital gain Unrecaptured Section 1202  distributions Sec. 1250 gain gain  (Box 2a) (Box 2b) (Box 2c)	I displayed  Total  Capital gain Unrecaptured Section 1202  capital gain Sec. 1250 gain gain (Box 2a) (Box 2b) (Box 2c)	fotal  Total  Capital gain  Unrecaptured  Gent 2a)  (Box 2b)  (Box 2b)  (Box 2c)				35.14		<b>35,14</b>	H8748101000	150000300
CUSIP         Total Ordinary Dividends         Qualified applied pain         Total Ordinary Dividends         Capital gain dividends         Unrecaptured Sect. 1250 gain pain         Sect. 1250 gain pain         Sect. 1250 gain pain         Description         Sect. 1250 gain pain         Clock 2b)         Geox 2b)         Geox 2c)         Clock 2c)         <	Total  Capital gain Unrecaptured Section 1202  distributions Sec. 1250 gain gain  (Box 2a) (Box 2b) (Box 2c)	displayed  Total  Capital gain Unrecaptured Section 1202  distributions Sec. 1250 dain gain (Box 2a) (Box 2b) (Box 2c)	fotal  Total  Total  Limicaptured Section 1202  distributions Sec. 1250 gain gain  (Box 2a)  (Box 2b)  (Box 2c)		 					WICROSOFT CORP	
CUSIP Cusip Dividends Cusiping Short term Qualified capital gain Unreceptured Section 1202  Cusip Character Cusiping Complete gain Cusiping Cusiping Complete gain Cusiping Cu	Total  Capital gain Unrecaptured Section 1202  capital gain Sec. 1250 gain gain  (Box 2a) (Box 2b) (Box 2c)	I displayed  Total  Capital gain Unrecaptured Section 1202  distributions Sec. 1250 gain gain (Box 2a) (Box 2b) (Box 2c)	fotal  Total  Capital gain  Unrecaptured  Geor. 1250 dain  (Box 2a)  (Box 2b)  (Box 2c)				89.52		89.52	594918104000	150000200
Total Ordinary Dividends Qualified capital pain Unrecaptured CUSIP Dividends Capital gain Unrecaptured Cusip Dividends Capital gain Country dividends distributions Sec. 1250 dein Description (Box 2e) (Box 2e) (Box 2e) (Box 2e) (Box 2e)	Total Capital gain Unrecaptured Section 1202 distributions Sec. 1250 gain gain (Box 2a) (Box 2b) (Box 2c)	displayed  Total  Capital gain Unrecaptured Section 1202  capital gain Sec. 1250 dain gain  (Box 2a) (Box 2b) (Box 2c)	r displayed  Total  Capital gain  Unrecaptured  Capital gain  Unrecaptured  Section 1202  Clistributions  Gec. 1250 dain  gain  (Box 2a)  (Box 2b)  (Box 2c)							JPMORGAN CHASE & CO	
Total Ordinary Dividends Gustified capital gain Unrecaptured Section 1202 CUSIP Dividends capital gain Unrecaptured Section 1202 Cusip Dividends capital gain dividends distributions Sec. 1250 dain gain Cusip Dividends capital gain (Box 2a) (Box 2b) (Box 2b) (Box 2c)	Total  Capital gain Unrecaptured Section 1202  distributions Sec. 1250 gain gain (Box 2a) (Box 2b) (Box 2c)	displayed  Total  Capital gain Unrecaptured Section 1202  capital gain Sec. 1250 gain gain  (Box 2a) (Box 2b) (Box 2c)	displayed  Total  Capital gain Unrecaptured Section 1202  distributions Sec. 1250 dain gain (Box 2a) (Box 2b) (Box 2c)				<b>\$</b> 21		<b>5</b>	18825H100000	50000100
Total Ordinary Dividends Total Capital gain Unrecaptured Section 1202 CUSIP Dividends* capital gain* dividends distributions Sec. 1250 gain gain	Total Capital gain Unrecaptured Section 1202 distributions Sec. 1250 gain gain	displayed  Total  Total  Capital gain  Capital gain  Sec. 1250 gain  Qain	displayed  Total  Capital gain  Capital gain  Geo. 1250 gain  Gain		(Box 2b)	(Box 2a)	(Bax 16)		(Bey	Description	MULTIPEL
Total Total Capital gain Unracactured Section (202	Total Capital Sain Unreceptured Saction 1202	displayed  Total Capital San Unrecented Santon 1202	displayed  Total Capital Gain Linecastured Section 1202	gain	Sec. 1250 gain	distributions	dividends	capital gain*	Dividends	STATE OF THE STATE	Reference
	or dividends include dividend reinvestments, money lund earnings, and accrued dividends received.	he following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed or dividends include dividend reinvestments, money fund sarnings, and accrued dividends received.	he following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed or dividends include dividend reinvestments, money fund sarnings, and accrued dividends received.	Sartion (202	Unmeanturbe	Total	Oughtflad	y Dividends	Total Ordinar		

The total of these two catagories equals the amount reported in Form 1099-DIV, Box 1a.

#### Please note:

- "Dividends" includes both Qualified and non-qualified dividends received.
- "Total capital gain distributions" is a total of long term capital gain distributions and the amounts reported in Boxes 25 through 2d

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and ahould not be used for tax preparation without the assistance of your tax advisor.

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Reference	Quantity Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	( <b>13</b> 9)	Gato
125000020	.0017	11/03/09	06/06/10		\$ .07	(\$ .07)	
	JOIR LIQUIDATION OF FRACTIONAL SHS	02/02/10			.07	(20,	
	,0016	05/03/10			.07	(.0 <del>7</del> )	
	.0017	08/03/10			.07	(.07)	
125000030		09/11/09	08/05/10	32.48	32.56	(01:)	
	1.0025 CGMI AND/OR ITS AFFILIATES	12/11/09		27.14	25.72	(5.58)	
	1.1204	03/12/10		27.84	32.85	(5.01)	
	1.0557	06/11/10		28.21	24.2	(.23)	

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## Client Statement 2010 Year End Summary

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RICHARD A BERG Account Number

number	Quantity Security Description	Trade Date	Trade Date	Proceeds	Cost	(Loss)	Sign
25000040	2609	06/11/10	08/08/10	\$ 6,35	\$ 6,54	(819)	
25000050	.4239 WELLS FARGO & CO NEW	08/02/09	06/05/10	11.41 7.40	11.05 7.83	(.23)	
25000080	,1234 WELLS FARGO & CO NEW	12/02/09	08/08/10	3.30	3,4	(-14)	
	.4049 LIQUIDATION OF FRACTIONAL SHS	03/02/10 06/02/10		10.84	11.11	(.64)	
				BC'C91 \$	\$ 175.71	(\$ 12.00)	8¢. S

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elthough cast being prepare	although cash in Heu less than 520 is not included on Form 1935-5 (if applicable), it is included in this social being prepared for informational purposes only and should not be used for tax preparation without the assistance o	be used for tax	preparation with		f your tax advisor.	2
Reference		Opening	Closing	Sale	Cost	(Loss)
DEPOSO O	9749 IBMORGAN CHARE & CO	11/01/01	08/05/10	\$ 8.52	8.90	(\$ 1.38)
I Kappoorto	CATO OF MONOTON OF A CO	37.03		13.29	14,58	(1.27)
	,A278	25/03/09		9.26	10.55	(1.29)
			200000		4 18	
125000020	1175 JPMORGAN CHASE & CO	20/10/60	00/00/10	1.66		
	,0144 LIQUIDATION OF FRACTIONAL SHS	08/01/02		57	8	
	.0173	11/01/02		.66	<b>.</b>	
	,0157	02/03/03		.82	<b>.</b>	
	0131	05/01/03		,52	32	
	0108	08/01/03		<u>ئ</u>	.37	
	2725	11/03/03		.42	<b>.</b>	
	<b>9</b>	02/03/04		.40	.gg	
	,0104	05/03/04		<u>,4</u> ,	38	
	.0104	08/03/04		<u>41</u>	ω, 600	
	,010a	11/02/04		<u>'4</u>	39	
	.0107	02/01/05		,42 2	8	
	.0115	05/03/05		<b>.</b>	40	
	.0116	08/02/05		Š	<u>*</u>	
	.0111	11/01/05		ŧ	8	
	.0105	02/01/06		à	4	

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Ref. 00000811 00018820

## Client Statement 2010 Year End Summary

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### 1107/05 3.8  ### 1207/07 3.3  ### 1207/07 3.3  ### 1107/07 3.3  ### 1107/07 3.3  ### 1107/07 3.3  ### 1107/07 3.3  ### 1107/07 3.3  ### 1107/07 3.3  ### 1107/07 3.3  ### 1107/07 3.3  ### 1107/07 3.3  ### 1107/07 3.3  ### 1107/07 3.3  ### 1107/07 3.3  ### 12		
11/07/06 3.9 4.2 02/07/07 3.3 4.2 05/07/07 3.3 4.2 05/07/07 4.4 4.4 11/07/07 4.4 4.4 11/07/07 4.4 4.4 11/07/09 4.4 4.4 11/07/09 4.4 4.4 11/07/09 4.4 5.0 11/07/09 5.4 5.0 11/07/09 5.4 5.0 11/07/09 5.4 5.0 11/07/09 5.4 5.0 11/07/09 5.4 5.0 11/07/09 5.4 5.0 11/07/09 5.4 5.0 11/07/09 5.4 5.0 11/07/09 5.4 5.0 11/07/09 5.4 5.0 11/07/09 5.4 5.0 11/07/09 5.4 5.0 11/07/09 6.4 5.0 11/07/	.0153	.8153
11/01/08 23 42 02/01/07 24 05/01/07 25 05/01/07 26 05/01/07 27 11/01/07 28 14 2 44 11/01/07 28 14 2 44 11/01/08 28 14 2 44 11/01/08 28 14 2 44 11/01/08 28 14 2 44 11/01/08 28 14 2 44 11/01/08 28 11/11/05 28 11/	.B073	.920- .8073
11/01/08 11/01/08 12/01/07 12/02/01/07 11/01/07 12/02/01/09 11/02/	171.3977 WELLS FARGO 8	125000050 171.3977
1100/058 3.9 4.2 02/01/07 3.3 4.2 05/01/07 3.3 4.2 05/01/07 3.3 4.2 05/01/07 4.4 4.4 1100/07 4.4 4.4 05/01/07 4.4 4.5 05/01/08 4.4 5.0 05/01/08 4.4 5.0 05/01/08 1.20 5.0 05/01/08 5.0	1.3484	
11/07/08 38 42 02/01/07 32 05/01/07 32 05/01/07 42 05/01/07 42 11/07/07 42 11/07/07 42 11/07/07 42 11/07/07 42 11/07/09 44 05/07/09 44 05/07/09 44 05/07/09 42 05/07/09 120 05	1.8867	1.8867
11/07/08 38 42 02/01/07 32 05/01/07 32 05/01/07 32 05/01/07 42 11/07/07 42 11/07/07 42 11/07/07 42 11/02/08 11/	1.6841	1.6541
11/07/06	.9801	.9801
11/07/08 233 242 CSCP1/07 233 243 CSCP1/07 244 244 246 CSCP1/07 247 247 247 247 247 247 247 247 247 24	.9381	.9381
11/07/06 38 42 20 20/07/07 32 38 42 20 20/07/07 32 38 42 20 20/07/07 32 38 42 20 20/07/07 32 38 42 20 20/07/07 32 42 20/07/07 42 44 44 20/07/07 42 48 49 20/07/08 44 49 49 20/07/08 44 49 49 20/07/08 44 49 49 20/07/08 44 49 49 20/07/08 44 49 49 20/07/08 44 49 49 20/07/08 44 49 49 20/07/08 44 49 49 20/07/08 44 49 49 20/07/08 44 49 49 20/07/08 44 49 49 20/07/08 44 49 49 20/07/08 44 49 49 20/07/08 44 49 49 20/07/08 44 49 49 20/07/08 44 49 49 20/07/08 44 49 49 20/07/08 41 10/	8314	9314
1107/05 3.8 4.2 0201107 3.3 4.2 050107 3.3 4.2 050107 3.2 4.2 050107 4.4 4.4 050107 4.4 4.4 050108 4.1 4.4 050109 4.4 4.5 050109 4.4 4.5 050109 4.4 4.5 050109 4.4 5.0 0500109 1.20 5.0 0500109 1	.7606	.7606
1107/05 3.8 42 0201/07 3.3 42 05/01/07 3.2 42 05/01/07 3.2 42 05/01/07 4.4 4.6 05/01/07 4.2 4.7 05/01/08 4.1 4.6 05/01/08 4.1	.6334	,8334
11/10/108 38 42 02/01/07 32 33 42 05/01/07 32 42 05/01/07 32 44 05/01/07 44 48 05/01/09 49 49 05/01/09 49 49 05/01/09 49 49 05/01/09 49 49 05/01/09 49 49 05/01/09 49 49 06/02/09 1,20 50 05/01/0	.7780	.7798
11/01/05 38 42 05/01/07 32 33 42 05/01/07 32 32 05/01/07 32 42 05/01/07 44 48 11/01/07 44 48 05/01/08 44 49 05/01/08 44 49 05/01/08 44 49 05/01/08 44 49 05/01/08 44 49 05/01/08 120 50 05/01/08 120 50 05/01/09 120 50 05/01/	8758	.8756
11/07/05 38 42 02/01/07 32 33 05/07/07 32 05/07/07 32 05/07/07 32 05/07/07 44 05/07/07 42 11/07/07 42 11/07/07 42 05/07/08 40 04/07/08 40 05/07/08 40 05/07/08 40 05/07/08 40 05/07/08 40 05/07/08 40 05/07/09 5120 50 05/07/09 5120 50 06/05/00 5485.05 2,854.22 06/05/00 54/05/10 54,85.05 2,854.22 06/10/05 11/0/05	7948	.7948
11/01/05 3.8 4.2 02/01/07 3.3 4.2 05/01/07 3.2 4.2 05/01/07 4.4 4.8 11/01/07 4.2 05/01/07 4.4 4.8 11/01/07 4.2 05/01/09 4.4 4.8 05/01/09 4.4 4.9 05/01/09 4.4 4.9 05/01/09 4.4 4.9 05/01/09 4.4 5.0 05/01/09 4.4 5.0 05/01/09 1.20 5.0 05/01/09 5.486.05 2,564.22 05/01/09 17.74 16,56 11/10/03 31.78 31.78 05/10/05 18.29 18.73 05/10/05 18.33 18.78 05/01/05 18.33 18.78 05/01/05 18.33 18.78 05/01/05 18.33 18.78 05/01/05 18.33 18.78 05/01/05 18.33 18.78 05/01/05 18.33 18.78 05/01/05 18.33 18.78 05/01/05 18.34 18.39 05/01/05 18.35 18.39 05/01/05 18.36 21.37	8053	8053
11/01/05 3.8 4.2 05/01/07 3.3 3.4 05/01/07 3.2 4.2 05/01/07 3.2 4.2 05/01/07 4.4 4.8 11/01/07 4.2 4.7 02/01/08 4.4 4.8 05/01/09 4.4 4.9 05/01/09 4.4 4.9 05/01/09 4.4 5.0 11/03/09 1.20 5.0 05/01/09 1.20 5.0 05/01/09 1.20 5.0 05/01/09 1.20 5.0 05/01/09 17.74 16.96 11/19/09 18.29 16.73 05/19/09 18.29 18.29 05/19/09 18.29 18.29 05/19/09 18.29 18.29 05/19/09 18.29 18.29 05/19/09 18.29 18.29 05/19/09 18.29 18.29	9652	9652
11/01/06 38 A2 02/01/07 33 A2 05/01/07 32 A2 05/01/07 A4 11/01/07 A4 11/01/07 A4 11/01/07 A4 11/01/07 A4 05/01/08	7679	7879
11/01/06 38 42 02/01/07 33 42 05/01/07 32 05/01/07 44 11/01/07 42 11/01/07 44 11/01/07 44 11/01/07 44 11/01/07 44 11/01/07 44 05/01/08 44 05/01/08 44 05/01/08 48 05/01/08 48 05/01/09 1,20 05/01/09 1	. CO.	, / D/4
11/01/06 38 42 02/01/07 33 34 05/01/07 32 05/01/07 44 05/01/07 44 11/01/07 44 11/01/07 44 11/01/07 44 11/01/07 44 14 02/01/08 44 05/01/08 44 10/01/08 44 10/01/08 44 10/01/08 44 10/01/08 44 10/01/08 44 10/01/08 120 02/03/09 120 05/07/09 04/05/10 5,486.05 03/11/05 11/09 03/11/05 11/09 03/11/05 11/09 03/11/05 11/09 03/11/05 03/11/05 03/11/05 03/11/05 03/11/05 03/11/05 03/11/05 03/11/05 03/11/05 03/11/05 03/11/05 03/11/05 03/11/05	7377	./3//
11/01/06 38 42 02/01/07 33 34 05/01/07 32 05/01/07 32 05/01/07 44 05/01/07 44 11/01/07 42 11/01/07 42 11/01/07 42 11/01/07 42 02/01/08 44 05/01/08 44 10/01/08 44 10/01/08 44 10/01/08 44 10/01/08 44 10/01/08 44 10/01/08 120 02/03/09 120 05/01/09 04/05/10 5,486.05 03/15/04 11/00/03 11/00/03 31,78 11/00/03 31,78 11/00/03 15,28 11/01/09 1	.736	.736
11/01/05 38 A2 02/01/07 33 05/01/07 32 05/01/07 32 05/01/07 44 05/01/07 42 05/01/07 42 11/01/07 42 11/01/07 42 140 05/01/08 44 05/01/08 44 10/02/08 44 10/02/08 44 10/02/08 44 10/02/09 120 02/03/09 120 05/03/09 120 05/03/09 17/04 06/03/09 17/04 06/03/09 17/04 06/03/09 17/04 11/10/03 11/10 06/03/09 11/10 06	24.5055	24,5958
11/01/06 38 A2 02/01/07 33 34 05/01/07 32 05/01/07 A4 A8 05/01/07 A2 11/01/07 A2 02/01/08 A4 05/01/08	.6142	.6142
11/01/06 38 A2 02/01/07 33 A2 05/01/07 A2 05/01/07 A2 05/01/07 A2 11/01/08 A1 05/01/08 A1 05/01/08 A9 01/01/01/01/01/01/01/01/01/01/01/01/01/0	1.2793	1.2793
11/01/06 38 A2 02/01/07 33 A2 05/01/07 32 A2 05/01/07 A4 A8 11/01/07 A2 11/01/08 A1 A2 05/01/08 A1 A2 05/01/08 A1 A8 05/01/08 A0 A0 A8 05/01/08 A0 A8 05/01/08 A0 A8 05/01/08 A0 A8 05/01/08 A0 A0 A8 05/01/08 A0 A8 05/	.7139 CGMI AND/OR IT	
11/01/06 38 A2 02/01/07 33 A2 05/01/07 32 A2 05/01/07 A4 A8 11/01/07 A2 02/01/08 A1 A8 05/01/08 A0 A0 05/01/08 A0		125000030 220
38 33 34 32 34 34 34 34 35 35 35 36 36 36 36 36 36 36 36 36 36 36 36 36	,0018	,0018
12	.0021	.0021
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\$ 6 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	.0122	.0122
6 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	.012	.012
1		2 5
38 33 34 35 42 42	2 6	35
38 32 32 42 42	226	) L
38	.0062	.0082
38	.0084	.0084
	,000,	.000
08/01/06 \$ .36 \$ .42	0092	.0082
Security Description Trade Date Trade Date Proceeds Cost (Loss)		number Quantity

Ref: 00000611 00016621

## MorganStanley SmithBarney

## Client Statement 2010 Year End Summary

Page 8 of 9

RICHARD A BERG

Account Number

	-	72.00	2011		12/02/08	2.9752	۱ د
(9.85)	<u> </u>	71,26	81.53 81.51		90/cs/06	2.3529	<b>3</b> N3
48)		3.57	<b>9</b> 0.13		03/04/08	2.2334	
6	,	63.04	53.60		12/04/07	2,0013	N
.08)	٠٠	62.53	46,45		09/05/07	1.725	
46)	(14.46)	56.07	41,61		06/04/07	1.5455	_
30)	=	55.65	43,35		03/02/07	1.6102	_
28)		55.22	11.94		12/04/08	1.5576	
2		55.00	42.36		09/25/06	1.5731	_
45)		50.53	40.08		06/02/06	1.4887	
22)		50.13	41.91		03/02/06	1,5587	
19)	<del></del> -	49.77	42.58		12/02/05	1,5610	<u> </u>
<u>8</u>	<u>_</u>	49.36	14,30		08/02/05	1,8452	٠ ـ
76)		45.22	39.46		06/02/05	1,4656	_
42)		44.87	5,45		03/02/05	1.5023	
6)		44.56	38.16		12/02/04	1.4174	_
81)	~ ~	44.21	40.40		09/02/04	1,5003	
47)	-	41.17	37.70		08/02/04	1,4002	
72)		40.86	38.14		03/02/04	1.4164	
74)	- **	40.58	37.62		12/02/03	1,4046	-
		40.22	42.34		09/03/03	1.5726	<b>-</b>
		26.65	29.29		08/03/03	1.0879	
		26,50	31.38		03/04/03	1.1853	<b>.</b>
		24.59	28.66		12/03/02	10846	-
		24,46	25.73		09/04/02	9556	
		24.33	25.93		06/04/02	9632	
		22.48	25.24		03/04/02	9373	
		22.35	28.19		12/04/01	1.0469	_
		22,23	26.01		09/05/01	9662	
		20.41	23.23		06/04/01	.8629	
		20,93	22.03		03/02/01	,6161	
		20.23	23.02		12/04/00	.8551	
	==	18.46	23.18		09/05/00	.8609	
		16.37	21.05		06/02/00	.7818	
		16.26	27,65		03/02/00	1,0271	_
		18,53	19.10		12/02/99	.7085	
44		\$ 16.47	\$ 22.18		09/02/99	.8237	
	(1038)	Cost	Sala Proceeds	Closing Trade Date	Opening Trade Date	Security Description	Raferance number Quantity
Control of the Contro		Section of the sectio					

Ref: 00000611 00016622

Client Statement 2010 Year End Summary

Page 9 of 9

RICHARD A BERG Account Number

						\$ 14,810.91				
\$ 11.70		0456743 , ND	220000200 08/15/10 CHECK 6110 BY FARGO,	09/15/10	220000200	\$ 14,799.21		220000100 08/11/10 CHECK 8110432575 BY FARGO, ND	8/11/10 CHE BY F	220000100 0
Amoun	Referrati number		Description	Data	Reference number	Amount	Referral	Description	Data Dasc	Reference number
					year.	unt during the	drawais ZINI	Details, of Deposits and Withdrawais 2010	of Dep	Datail
\$ 4,455,63	(\$ 280.03)	\$ 8,704.22	\$ 12,889.22	<b>\$</b> 12,						Total
95.80 98.		\$ 72.96 11.02	\$ 188.76 12.00			08/02/09		37	6.268 .4457	
Gain	(1884)	Court	Proceeds	P .	Closing Trade Date	Opening Trade Date Ti	1	Security Description	Quantity	number



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UBS Financial Services Inc. 51 BROADWAY
FARGO NO 58102-4933

2010 Year End Summary

APZINO4851525 1210 X2 IID @

Account name: RIC HAHD A BERG

Your Financial Advisor.

RICHARD A BERG

## Summary of gains and losses

**Total** Long term Short term \$7,165.56 Amount (3) 7,090.89 74.67

## Realized gains and losses

Estimated 2010 gams and looses for transactions with 11 prie dates through 12/31/10 have been incorporated into this statement. To calculate gams and lookes, we liquidate the object security lot first. This is known as the first an Estadou or Africa accounting method. We useful method method you operated which tax lot to frost when the proof plan office. This is known as a letter particular of VP order. See amountain influences or VP order. See amountain influences on the fast page of this storage of non-zero information.

We may not adjust gains and to see for all expisial changes. Com toxos for council tal exempt monicipy securities, include excludies subject to AMF, has been adjusted for naturatory amortivation in found premium, Estimater in the inclusional see for raining to effect from a Secrit team of long farm to easier information is missing, or the product is one or which the glathfast calculation is not provided.

From: UBS

PACIFIC GROWD! FUND CLA Short-term capital gains and losses 4-curity description 3 Melliod Oughtity or 8 052 Dec 28, 09 Furthase date Aug 05, 10 출본 Sale 54 F Purchase an ount (\$) 156.06 LOSA (1) -142

Cain 13.

or less (3)

continued next page

APZZURD6004851525 PZZD00Z76777 G0001 1210/k2 003/115910 0 8D 14

Member SIPC

Page 1 of 5

From: UBS



Account name: Account number:

RICHARD A BERG

60								
	2633	153 93	126 70	Aug 04, 10	Dec 27, 06	3 328	##C	
	. K. S.	135.81	126 41	Aug 05, 10	Earnangs	3 322	O40	
ಕ		94.76	104.96	Aug 05-10	(amags	2,757	#50	
16.6		5/ 10	74.05	Aug 05, 10	Dec 27, 03	1 945	PHO PHO	
		64.11	73.55	Aug 05, 10	Dec 23, 02	1.937		
500 5		116.51	167,13	Aug 05, 10	Dec 21 01	4,390	FIFO	
6.1		33.59	39 78	Aug 05, 10	Dec 31, 00	1.045	PHO	
i.J		44 38	47.40	Aug 05, 10	Dec 31, 99	1.245	OTO	
3.81		5.7 28	70.32	Aug 05, 10	Dec 31, 98	1.847	1340	
24.5		49 05	73.55	Aug 05, 10	Dex 31, 97	1 932	FIFO	
	-19.58	115.41	96.43	Aug 05, 10	Dec 27, 06	2.533	<b>F</b> 60	
6 m.C.		594 97	674 94	Aun 05, 10	Dec 31, 00	17 779	HEO	
0.3		21315	213.53	Aug 05, 10	Dec 31, 99	5 #09	¥ Ö	
56 4		133.86	250.35	Aug 05, 10	Dec 31, 98	6,576	DEC	
<i>;</i>		142 05	214.49	Aug 05, 10	Dec. 31, 97	5 634	5340	PACITIC GROWTH FUND OLA
								ATABRICAN FUNDS EURO
از مانهان	Loss (%)	arrount (3)	Sale amorint (3)	date	Purchase date	Quantity or tace value (S)	Method	Sucurary description
							and losses	Long-term capital gains and losses
\$76	-\$1.42	\$2,234.76	\$2,309.43			ļ		Total
0.4		5.55	5.36	Aug 05, 10	Dec 14, 09	0.206	FIFO	
7 7:		65.53	73.31	Aug 05, 10	Sep 14, 09	2.534	O#O	
8.0		4.74	5.79	Aug 05, 10	Sep 14, 09	0.183	Ŧō	FUND CLASS A
								HOFUTY ADVISOR
17.9		1,172,09	1,190.03	Aug 05, 10	Larnings	209 145	F#O	
ره د		156.46	170.97	Aug 05, 10	Dec 31, 09	30 047	O.H.	
7.5		164.75	171.80	Aug 05, 10	60 '0E ACN	30 193	FIFO O	
8.75		169,82	78.67	Aug 05, 10	Oct 30, 09	31,401	FIFO	
10.66		163.16	173,85	Aug 05, 10	Sep 20, 09	30 554	FFO	
G 91		167.04	184.91	Aug 05, 10	Aug 31, 09	32 498	FIFO	EATOM VANCE INCOME FUND OF BOSTON CLASS A
(Jun.)	(us is)	anount (\$)	Sale Airnourit (1)	Sale: date	Purchase date	Quartity or face value (5)	Method	Security description
						Contiduo	escent mass	Short-term capital gallis and losses konmed



Investment Account

Account name:

RICHARD A BERG

Your Financial Advisor:

	-							
	-12.53	145.45	105 82	Aug US, 10	SO LO LOS	1977.61	č	
		113.30	102.30	1.0 Poly 10	300 00, 00	777 B	<u> </u>	
	ī.	11.00		A	200	4004		
	-1291	178,06	105,13	Aug 05, 10	Jun 02, 06	18,476	PFO	
	-12,90	113 45	100.55	Aug 05, 10	May 01, 06	: 7.671	ĤΓO	
	-13 O9	116.52	103.43	Aug 05, 10	Apr 03, 06	18.176	O.814	
	-11 76	104 %	92.92	Aug 05, 10	Mar 01, 06	16.33)	F#O	
	-12.45	96.511	102.61	Aug 05, 10	Feb 01, 06	18 034	FIFO	
	-11.49	114.39	102.99	Aug 05, 10	Jan 03, 36	1 <b>8</b> .100	0.84	
	-147.81	1,317,99	1,170.18	Aug 05, 10	Earnings	205.655	F#-O	
	.146.75	1,323.57	1,176.82	Aug 05, 10	Earnings	206.823	PRO	
	-10.66	106.94	26 33	Aug 05, 10	Dec 01, 03	16.929	O3H	
	-1015	109.74	99.59	Aug 05, 10	Nov 03, 03	17.502	OJE	
	7,72	105 32	97.60	Alinj 05, 10	001.01.03	17.153	Oata	
	-670	108 14	102.04	Aug 05, 19	Sep 02, 03	17.934	¥ö	
	-5.20	107.26	192.06	Aug 05, 10	Aug 01, 03	17.936	E-C	
	4.36	71.39	5703	Aug 05, 10	M01, 63	11 /61	FIFC	
	-1 59	44,69	43 10	Aug 05, 10	Jun 02, 03	7,575	FFO	
	-1 53	42.94	41.41	Aug 05, 10	May 01, 03	7.278	- <del>1</del>	
0.51	<del></del>	44 90	44.31	Aug 05, 10	Apr 01, 03	7.769	aro	
1.07		39 /6	40.53	Aug 05, 10	Mar 03, 03	7.123	FIFCO	
1 42	_	43.38	44.60	Aug 05, 10	Feb 03, 03	1.873	<del>*</del> 0	
<del>2.</del>		43.79	45.63	Aug 05, 10	Jan 02, 03	3.020	골	
3.27		5.74	601	Aug 05, 10	Dec 02, 02	1.057	<b>SNO</b>	OF BOSTON CLASS A
			a manufacture of the second of					CONUR BINO BONAY NOTA:
1 186.65		4,600.61	5,186,66	Aug 05, 10	Jen 02, 97	136.240	¥FO	
131.92		322.18	454.10	Aug 05, 10	Dec 24, 08	11.928	FIFO	
	00.010	774,88	564.58	Aug 05, 10	Dec 13, 07	14.830	riko O	
	.72.74	421 73	348,99	Aug 05, 10	Der. 27, 06	9 167	5450	
		250.67	232.87	Aug 05, 10	Dec 29, 05	6117	FIFO	
73.90		185 AB	254 36	Aug 65, 10	Dec 24, 08	6 582	(34)5	
1	-58.25	214.64	156.39	Aug 05, 10	Det 13, 07	4 108	Æ0	
Charles (S) and (S)	iga; (b	Purchase amount (\$)	Sales (S) through	5.4. 5.4.	Pulmase date	Outerfly or face while (\$)	Method	Security description
						(continued)	ns and losses	Long-term capital gains and losses (continued)
							Ses (continued)	Realized gains and losses (commued)
					,			



Account name:
Account number:

RICHARD A BERG

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Security description Mechanic lack		Purchase date	Sale date	Sake amount (\$)	Purchase amount (3)	los: (\$)
	FS	18.817	Aug 31, 06		107.07		-13.17
	FIFO	18 259	5ep 79, 06	Aug 05, 10	103,89	117.04	31.81-
	FIFO	18.848	Oct 31, 06	Aug 05, 10	107.25	121 76	-1451
	Ē	18.703	Nav 30, 06	Aug 05, 10	103.58	118.50	-14.47
	FIFO	18 856	Dec 29, 06	Aug 05, 10	107.29	123,13	-15-84
	FIFO	18 924	Jan 31, 07	Aug 05, 10	107.68	123.95	16,27
	PIFO	17.088	řeb 28, 07	Aug 05, 10	97.23	11261	-15.38
	Š	19.073	Mar 30, 07	Aug 05, 10	108.53	125.50	-15,97
	FIFO	18,143	Apr 30, 07	Aug 35, 10	104.94	122.00	51.11
	Oals	19 163	May 31, 67	Aug 05, 10	109.04	127.05	-18.01
	S-FO	18,928	Jun 29, 07	Aug 05, 10	107 70	123-60	:15.90
	O.H.A.	20,440	Jul 31, 07	Aug 05, 10	116.30	128 57	-12.27
	麦	21.067	Aug 31, 07	Aug 05, 10	119.87	132 30	-17.43
	FFO.	20.380	Sep 28, 07	Aug 05, 10	115 96	1,29,82	-13.85
	FIFO	21.218	Oct 31, 07	Aug 05, 10	120.73	135 18	3443
	FIFO	21,167	Nov 30, 07	Aug 05, 10	120 44	13: 66	-11 22
	FIFO	24,207	Dec 31, 07	Aug 05, 10	137 74	144 34	-12 10
	FIFO	22.968	Jan 31, 08	Aug 05, 10	130 63	137 58	483
	AIFO	22.114	Feb 29, 08	Aug 05, 10	125.63	129 59	-3.76
	HFO	24,103	Mar 31, 08	Aug 05, 10	137.15	140 52	: :3:37
	FIFO	22.855	Apr 30, 03	Aug 05, 10	130.04	137.36	.7.32
	FFO	23.692	May 30, 08	Aug 05, 10	134.81	142.85	-8.03
	¥.	502 EZ	Jun 36 08	Aug 05, 10	134.90	13917	4.27
	PSFC	25,546	Jul 31, 0%	Aug 05, 10	145.36	145.87	-6 s1
	FFC	26 197	Aug 20, 08	Aug 05, 10	149.06	148.80	
	, F#O	27,433	Sep 30, 68	Aug 05, 10	156.09	145.12	
	FIFO	34,928	Oct 31, 08	Aug 05, 10	198.74	151.24	
	Offs	35.728	Nov 28, 08	Aug 05, 10	217 52	147 56	
	OSIK	39.370	Dec 31, 08	Aug 05, 10	224.07	154.33	
	GAR	38 338	Jan 30, 59	Aug 05, 10	218.14	156 42	
	PHO	35 685	Feb 27, 00	Aug 35, 10	203.65	142.24	

AF7200066004851526 F72000275777 0XXX13 12 6X2 303315510 0 80 14

From: UBS



Investment Account

Account name: Account number:

RICHARD A BEKG

Your Financial Advisor:

Capital galins and losses (continues)  Capital galins and losses (continues)  Capital galins and losses (continues)  FRO 1980; Milit 31, 20 Aug 05, 10 277,825 155,94  FRO 1980; Milit 31, 20 Aug 05, 10 199,08  FRO 1980; Milit 31, 20 Aug 05, 10 199,08  FRO 1980; Milit 31, 20 Aug 05, 10 199,08  FRO 23,564 Milit 31, 20 Aug 05, 10 195,08  FRO 23,564 Milit 31, 20 Aug 05, 10 195,08  FRO 23,564 Milit 31, 20 Aug 05, 10 195,08  FRO 27,564 Milit 31, 20 Aug 05, 10 5,782,09  FRO 27,564 Milit 31, 20 Aug 05, 10 5,782,09  FRO 10,887 Det 22, 33 Aug 05, 10 7,572,66  FRO 10,887 Det 22, 30 Aug 05, 10 369,17  FRO 22,50 Det 31, 00 Aug 05, 10 369,17  FRO 22,50 Det 31, 00 Aug 05, 10 194,08  FRO 22,50 Det 31, 00 Aug 05, 10 194,08  FRO 22,50 Det 31, 00 Aug 05, 10 194,08  FRO 22,50 Det 31, 00 Aug 05, 10 194,08  FRO 22,50 Det 31, 00 Aug 05, 10 194,08  FRO 22,50 Det 31, 00 Aug 05, 10 194,08  FRO 22,50 Det 31, 00 Aug 05, 10 194,08  FRO 22,50 Det 31, 00 Aug 05, 10 194,08  FRO 22,50 Det 31, 00 Aug 05, 10 194,08  FRO 22,50 Det 31, 00 Aug 05, 10 194,08  FRO 22,50 Det 31, 00 Aug 05, 10 194,08  FRO 22,50 Det 31, 00 Aug 05, 10 194,08  FRO 22,50 Det 31, 00 Aug 05, 10 194,08  FRO 22,50 Det 31, 00 Aug 05, 10 194,08  FRO 22,50 Det 31, 00 Aug 05, 10 194,09  FRO 23,50 Det 31, 00 Aug 05, 10 194,09  FRO 24,50 Aug 05	\$7 090 89	\$9.044.22	-\$1,953.33	\$47,345.88	\$54,436,77					Total	
SSES (continues)         Same (Californius)         Same (Californius)         Same (Californius)         August (Same)         August (S		: 07		55.46	\$6.53	Aug 05, 10	£arnings	1.954	<b>E</b> S		
SSESS (constructed)           Same Increment         Same Increment         Same Increment         Aug 05, 10         258-25         Prophysics (Same Increment)         Same Increments         Same Increments <t< td=""><td></td><td>28.07</td><td></td><td>117 56</td><td>145.63</td><td>Aug 05, 10</td><td>Earnings</td><td>5.034</td><td>Ŧ.</td><td></td></t<>		28.07		117 56	145.63	Aug 05, 10	Earnings	5.034	Ŧ.		
SSESS (constructed)           Ints and losses (continues)         Cabe (acceptance)         Sale (acceptance)         August (acceptance)         Sale (acceptance)         Processes (continues)         Cape (acceptance)         Processes (acceptance)         Cape (acceptance)         Cape (acceptance)         Cape (acceptance)         Processes (acceptance)         Cape (acceptance)         Processes (acceptance)         Cape (acceptance)         Processes (acceptance)         Cape (acceptance)         Processes (acceptance)         Cape (acceptance)			-165 44	4,999,30	4,834.55	Aug 05, 10	Jan 26, 06	167,112	2#0		
Ins and losses (continuely)         Case (continuely)         Sale (continuely)         Sale (continuely)         Augustian (continuely)         Sale (continuely)         Augustian (continuely) <t< td=""><td></td><td>SJ 645,8</td><td><u>مدمی</u></td><td>ତ ଦ୍ୱର ଦ୍ୱର</td><td>16,243.67</td><td>Aug 05 70</td><td>Oct 23, 03</td><td>561.482</td><td>, ritio</td><td></td></t<>		SJ 645,8	<u>مدمی</u>	ତ ଦ୍ୱର ଦ୍ୱର	16,243.67	Aug 05 70	Oct 23, 03	561.482	, ritio		
Ins and losses (continuely)         Continue (particle)         Sale (particle)         Sale (particle)         Aug 05: 10         225-85         Parchael         Frants: Familis           FRO         39 692         Aug 31, 09         Aug 05: 10         199,08         155,16         166,13         43.04           FRO         39 692         Aug 31, 09         Aug 05: 10         199,08         156,13         43.04         4			-12.25	69.94	57 69	Aug 05, 10	Jan 14, 08	1.994	<u> </u>		
SSEES (continues)         Collection of Continues)         Collection of			-10186	432.53	330,67	Aug 05, 10	DR 17, 07	11.430	FIFO		
SSES (continued)         Sale         Sale         Pricinal         Chartifus         Sale         Pricinal         Chartifus         Chartifus         Sale         Pricinal         Sale			-17.67	128 21	110 54	Aug 05, 10	Dec 18, 06	3,821	FIFO		
SSES (continued)         Customs of Customs         Customs of Cate         Sale         Pricingle         Sale         Pricingle         Pricingle         Sale         Pricingle         Pricingle         Pricingle         Sale         Pricingle         Pricingle         Pricingle         Sale         Pricingle         Pricingle         Pricingle         Pricingle         Sale         Pricingle         Pricingle         Pricingle         Sale         Pricingle         Pricingle <th< td=""><td></td><td></td><td>-007</td><td>5 28 5</td><td>5.81</td><td>Aug 05, 10</td><td>Jan (19, 196</td><td>0.201</td><td>ĐĐ</td><td></td></th<>			-007	5 28 5	5.81	Aug 05, 10	Jan (19, 196	0.201	ĐĐ		
Ins and losses (continues)         Sale         Sale         Aug 05: 10         Sale         Profitze           Method         Profitze         Sale         Aug 05: 10         Sale         Profitze         Aug 05: 10         Sale         Aug 05: 10         Sale         Profitze         Aug 05: 10         Sale         Profitze         Aug 05: 10         Sale         Profitze         Aug 05: 10         188: 09         189: 00         29: 98           FRO         10 687         Dec 22: 03         Aug 05: 10         329: 17.75         Aug 05: 10         329: 18         Aug		0 4	-	26.23	26.47	Aug 05, 10	Dec 19, 05	0.915	HF0		
Ins and losses (continued)           Channot or Charinse Interval		29,43		149,15	178.58	Aug 05, 10	Dec 20, 04	6.173	FJF O		
Ins and losses (continued)           Charactic of Continue (Automotive)         Sale (Continued)         Sale (Continued)         Sale (Continued)         Automotive (Automotive)         Sale (Automotive)         Automotive)         Automotive (Automotive)         Automotive)         Automotive)<		274	-, .	5.62	8 36	Aug 35, 10	Dec. 27, 03	0.289	FIFO		
Ins and losses (continued)           Caliform (Surfluse)         Sale (Surfluse)         Sale (Surfluse)         Pricinal (Surfluse)         Chant(S)         Ch		ند ت		11.83	20.28	Aug 05, 10	Dec 31, 08	0 701	PIFO		
Ins and losses (continued)           Chainth of Pricine Public (S)         Chainth of Let Nation (S)         Chainth of Let Nation (S)         Chainth of Let Nation (S)         Pricine Public (S)         Los (S)         Chainth (S)		62 24		83.08	145 32	Aug 05, 10	Der. 15, 08	5.023	(FO		
Ins and losses (continued)           Chainst of Chainst of Prices         Chainst of Chainst of Chainst of Prices         Sale American Sale American         Archards         Price Prices         Sale American         Archards         Family         Archards         Family         Famil			:1 94	1.75	5.81	Aug 05, 10	Dec 31, 07	0.201	SHCO.		
Ins and losses (continued)           Charith of Charithes Method Fare value 15) 3-bar Mar 31, 29         Sale Care Mar 12) 3-bar Mar 31, 29         Sale Aug 05, 10         Aug 05, 10         Loss (5) 56, 14         Farm (5) 43, 29           FRO         34 987         Aug 30, 09         Aug 05, 10         199,08         156,04         43,04           FRO         34 786         May 29, 09         Aug 05, 10         197,03         No.2 80         35,13           FRO         34 786         May 29, 09         Aug 05, 10         197,03         No.2 80         35,13           FRO         34 786         May 29, 09         Aug 05, 10         197,03         No.2 80         35,13           FRO         34 786         May 29, 09         Aug 05, 10         197,03         No.2 80         35,13           FRO         32,640         Jul 31, 09         Aug 05, 10         135 12         165,81         199,1           FRO         327,644         Nov 21, 02         Aug 05, 10         5,278,29         5,000,00         29,00         29,09           FRO         10,687         Dec 22, 03         Aug 05, 10         3,091,7         207,76         405,96         101,41           FRO         4,052         Jan 14,08         Aug			· 33 8	165,44	126 48	Aug 05, 10	Sec 17, 07	4.377	aro		
Ins and losses (continues)           Continues)           Aug 80, 09         Aug 05, 10         192,08         156,04         43,04           FRO         33,05         Aug 05, 10         197,03         152,90         425,90         43,04           FRO         32,640         Aug 11,02         Aug 05, 10         185,72         165,81         199,1           FRO         10,887         Det 22,03         Aug 05, 10         7,574,04         8,000,00         -4,25,96         7,829           FRO         10,887         Det 27, 07         Aug 05, 10         7,574,04         8,000,00         -4,25,96         7,829			A G	30 16	26.01	Aug 05, 10	Dec 18 06	0.668.0	ন্ত		
Ins and losses (continued)           Continued)           Continued) <th c<="" td=""><td></td><td>913</td><td></td><td>8,80</td><td>3.94</td><td>Aug 05, 10</td><td>Jan 93, 86</td><td>0.05.0</td><td>P#O</td><td></td></th>	<td></td> <td>913</td> <td></td> <td>8,80</td> <td>3.94</td> <td>Aug 05, 10</td> <td>Jan 93, 86</td> <td>0.05.0</td> <td>P#O</td> <td></td>		913		8,80	3.94	Aug 05, 10	Jan 93, 86	0.05.0	P#O	
Ins and losses (continues)           Continues)           Aug 80, 09         Aug 05, 10         192,08         156,04         43,04         4			-2862	163,20	134.58	Aug 05, 10	Jan 14, 08	4 652	F O		
Ins and losses (continues)       Continues)       Aug 95, 10     195 3.6       195 13       FIFO     31 987     Aug 95, 10     195 19       FIFO     32.544     Nor 21, 02     Aug 95, 10     185 /2     165 81     199 1       FIFO     1.331 115     Jun 11, 03     Aug 95, 10     7.574.04     8,000.00     -4,25.96       199 1       FIFO     1.031 115     Jun 11, 03     Aug 95, 10     7.574.04 <th co<="" td=""><td></td><td></td><td>-20.20</td><td>85.76</td><td>65,58</td><td>Aug 05, 10</td><td>Dec 17, 07</td><td>2 267</td><td>7</td><td></td></th>	<td></td> <td></td> <td>-20.20</td> <td>85.76</td> <td>65,58</td> <td>Aug 05, 10</td> <td>Dec 17, 07</td> <td>2 267</td> <td>7</td> <td></td>			-20.20	85.76	65,58	Aug 05, 10	Dec 17, 07	2 267	7	
Ins and losses (continues)       Continues)       Aug 65, 10     195 36       Lips 3, 15       Lips 3, 10       Aug 05, 10     197 39       197 3       198 09       198 09       198 09       198 09       198 09       198 09       198 09       198 09       198 09       198 09       198 09       198 09       198 09       198 09       198 09 <td></td> <td>103,41</td> <td></td> <td>207 76</td> <td>309 17</td> <td>Aug 05, 10</td> <td>Dec 22, 03</td> <td>19 687</td> <td>FIFO</td> <td>FINO CLASS A</td>		103,41		207 76	309 17	Aug 05, 10	Dec 22, 03	19 687	FIFO	FINO CLASS A	
15 and losses (continued)  apital gains and losses (continued)  Chancter Charles Sain Sain Sain Sain Sain Sain Sain Sain										HOHITY ADVISOR LEVERAGED COMPANY STOCK	
Sale         Sale         Sale         Purchase           sellet 157         34 mm         Purchase         Purchase           sellet 158         34 mm         Purchase         Purchase           39 693         Mair 31, 09         Aug 05, 10         225, 85         155, 36           31 987         Ajja 30, 05         Aug 05, 10         199,08         156,04         43,04           34 786         May 24, 05         Aug 05, 10         197,03         152,80         35,13           33.056         Jun 30, 09         Aug 05, 10         188,09         159,00         29,09           32.640         Jul 31, 09         Aug 05, 10         185,02         165,81         195,1           37.644         Nov 21, 02         Aug 05, 10         5,278,29         5,000,00         278,29			425.96	8,000.00	7,574.04	Aug 05, 10	Jun 11, 03	511 1661	PEC.	A COMPANY OF THE PROPERTY OF T	
Sain Purchase Sain Sain Sain Sain Sain Sain Sain Sain		278.29		5,000 00	5,278.29	Aug 05, 10	Nov 21, 02	927.644	H60		
Sain Purchase Sain Sain Sain Sain Sain Sain Sain Sain		1561		165 81	185 /2	Aug 05, 10	20 1.E iu.	32,640	FISO		
ankti or Curchuse Sale Sale Sale Arrows Sale Sale Sale Sale Sale Sale Sale Sale		50.67		00 65+	188 09	Aug 05, 10	Jun 30, 09	23,056	FIFO		
arioto di Cueltuse Saire Saire Saire Saire Purchase Saire Purchase Saire Purchase Saire State Cate Arrount (5) anount (5) Ioss (5) Caun (5) 39 692 Mair 31, 09 Aug 05, 10 225,85 159,36 66 29 31 987 Apr 30, 09 Aug 05, 10 199,08 156,04 43,04		£1 55		162 80	197,03	Aug 05, 10	May 29, 09	34 786	FFO		
ands or functions. Sale Sale Sale Sale Sale Sale Sale Sale		<del>2</del>		156,04	80.661	Aug 05, 10	Apr 30, 09	31 987	Piso		
acioti di fuertuse. Sale Sale Sale Sale Perchare (S) dano di (S) Sant (S) Sant (S)		66 29		15636	225.85	Aug 05, 10	Mar 31, 09	39 692	FIFO		
ealized gains and losses (continued)  ong-term capital gains and losses (continued)	2 2	Sames Sames	58.6	Purchase arnount (3)	(\$) sumoune apps	Sair	Cucchase date	Guantiti or face value (5)	Nethou	Secony disarphon	
ealized gains and losses (commune)								(coatii ued)	and losses	Long-term capital gains	
									25 (combnued)	Realized gains and losse	

APZ20006004651529 PZ200027677 / D0003 1,21,042 (D03+149)\*\* 0 0 8D 14

Page 5 of 6

#### SBU: xe7

SEU: MO73

JE10047 - Client Inquiry - Activity for

485 Account

Page I of 1 SEHOIT 11/91/50 -

:XSIR

Address: RICHARD A BERG Money Mgr: N/A Objective: Features: HH, CLS PIO8:A4 Libbe: gasic Status: Closed Acct: 8D04769

Activity as of (Intra): NA

1102/91/50 : NO PRIEMING 000 000 0010 00.0 90.0 Delights hos Debit/Credit Balance: Money Funds/Sweep: :Aprilog

6943008 WIND Views 8004789

lavorià	Rectact (solve)	<b>Cuantity</b>	<b>Appel</b>	Security#	ревсійдрои	Activity	state sted	Tuna nied
SZ:90x'6\$	0/0 <b>:8£\$</b>	-\$241028	k	S43MEP NEVMEN	A MERICAN FUNDS BURG PACIFIC GROWTH FUND CL A Teader-104047 Bior:37	070S	01/01/80	01/50/90
92.256,55\$	0E6.8Z\$	661-756-	?	AVO 71M 20071P2	FIDELITY ADVISOR LEVERAGED COMPANY STOCK	ONOS	01/01/90	OT/50/80
EZ+11142\$	069:5\$	05C'6EZ'I	2	SABH2B MREATE	EATON VANCE INCOME FUND OF BOSTON CLASS A Trade 8:04093 Bloc;37	onos	01/01/90	01/50/60
musis-	REINVEST DIV	628'DE	7		EATON VANCE THOOME FUND OF BOSTON CLASS A. AS OF 07/30/10	REINVESTMENT	01/00/90	01/t0 <b>/8</b> (
97.8914-	TESIWNESS VICT	£7E.0E	2	243H23 WLENIE	OF 06/30/10 EATON VANCE INCOME FUHD OF BOSTON CLASS A AS	KEINAGZIMENL	01/10//0	01/10/2
ES-221\$-	TZ3VMLER VIO	SSZ" LE	7	HFFVIF.	OF 05/28/10 Eaton vance income fond of Boston Class a AS	REINVESTMENT	01/10/96	01/10/9
98 551\$-	TESWISA DIV	∠56° <b>9</b> ₹	č	MFEVIF-	OF DAJZOV VANCE INCOME FUND OF BOSTON CLASS A AS	REINVESTMENT	01/60/50	01/E0/S
\$1.025¥-	DIA DIA	S11.0£	Z	PARYIE-	OF 03/11/10 EATON VANCE INCOME FUND OF BOSTON CLASS A 25	REINVESTMENT	01/10/10	01/10/H
C9 ZS1\$-	T237VI3H	565.75	z	ESHEHS ESHEHS	OF 02/26/10 EATON VANCE INCOME FUND OF BOSTON CLASS A AS	REINVESTMENT	01/00/00	01/10/E
BS 1915 ·	TEENVEST DIV	SE0.0E	Z	SHEVE- HVEVIE-	OF 01/29/10 CATON VANCE INCOME FUND OF BOSTON CLASS A AS	KETHNESTHENT	01/10/20	01/10/2
33 53 FS	LESANIES	₹10.0€	Ľ	MFEVIF.	OF 12/31/09  VALUE INCOME FUND OF BOSTON CLASS A AS	REINVESTMENT	01/60/10	01/40/1

11-90-13 - 1:5MON 1 JO 1 100 No

Subjected as an additional transaction, the above bank card activity is debited from your account on the 26th of seath month, assuming this date as a business day.

The debited from your account and the following business day.

The debited from your accounts and behaved fund takes, and security transactions are included to the account from a tipe of the account from a figure, to the security transaction and fees for extinence each whale fund that and the account from a figure, to the account from a figure of extinence and whale fund that is the consistent agent. Hurtual fund described the first and the extinence on the fees are not ended of the account. All information by presented is subject to change as my thirtal fund transactions and each effect and may on that not endings or click accounts. All information by presented is subject to change as any three and are the only difficult remains to the account of their information in the case reports. Only office a count fees in the day of the first provided only as of the chief in an account of the information presented is any any of the information presented is should not not not not not into into into my secretical, or any and are a first end are not account and are not account and are not account and are not account and are not account account and are not account account and are not supports. Only any any of the information presented is should not not not into indication in account account account and are not supports. Only account account accounts and are not supports, for the promoted by any of the information presented is account and account accounts and are not supports. Only any any of the information presented is account and accounts and are not supports, or the any and account accounts and account accounts and account accounts and account account account account account account accounts and account accoun

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UBS Financial Services Inc. 51 BROADWAY FARGO ND 58102-4933

December 2010

Retirement Account

**UBS Strategic Advisor** 

APZ2004B515151210 X2 8D 0

Your Financial Advisor:

account 211004770. Questions about your statement? Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000,

Account type: Simplified Employee Pension

Account name: RKHARD A BERG

Account number:

Visit our website: www.ubs.com/financialservices

statement at the end of this document alternative investment objectives in can find a full description of the Financial Advisor or Branch Manager, You Your investment objectives: You have identified the following investment objectives for this account. If Important information about your change them, please contact your objectives, disagree with them, or wish to you have questions about these

Primary - Aggressive/Speculative Secondary - None selected Capital appreciation Your return objective:

## Value of your account

	on November 30 (5)	on December 31 (S
Your assets	199,029.61	209,435.16
Your liabilities	0.00	0.00
Value of your account	\$199,029.61	\$209,435.16

# Change in the value of your account

\$209,435.16	\$209,435.16	Closing account value
25,409.39	7,914.93	Change in market value
5,126.60	2,490.62	Dividend and interest income
-2,503.54	0.00	Withdrawals and fees, including investments transferred out
\$181,402.71	\$199,029.61	Opening account value
Year to date (5)	December 2010 (\$)	
		•

Your account instructions

• UBS Financial Services Inc. is your custodian.

## Cash activity summary

See the section Account actually this month: for details, UBS Bank USA deposit account balances are included in the opening and disping balances, value, are insured by the FDC, within applicable limits, but are not protected by SPC. See important information about your statement—at the end of this document for details about those balances.

\$28,706.16	\$28,706.16	Closing balances
\$2,900.97	\$5.83	Net cash flow
-\$7,225.63	-\$2,484.79	Total subtractions
-4,722.09	-2,484.79	Funds withdrawn for investments bought
-2,503.54	0.00	Subtractions Program fees and related services
\$10,126.60	\$2,490.62	Total additions
5,000.00	0.00	Proceeds from investment transactions
5,126.60	2,490.62	Additions Dividend and interest income
\$25,805.19	\$28,700.33	Opening balances
Year to date (\$)	December 2010 (\$)	

# Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, come interest and/or dividend payments may not be subject to United States (US) and/or Puerto Ricc (RR) income taxes. The client monthly statement is not intereded to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to Bill the applicable tax reporting forms with the US internal Revenue Service and Ri Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or totable income. Please consult your individual tax preparer.

\$5,126.60	\$2,490.62	Total dividend & interest
151.39	0.00	Prior year adjustment
\$4,975.21	\$2,490.62	Total current year
4,975.21	2,490.62	Dividends
Year to date (5)	December 2010 (\$)	

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses	nd losses	Unrealized
	December 2010 (\$)	Year to date (5)	gains and losses (\$)
Short term	0.00	0.00	160.46
Long term	0.00	0.00	15,512.19
Total	\$0.00	\$0.00	\$15,672.65

Your Financial Advisor:

#### Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important information about your statement at the end of this document for more information.

#### Cash

Page 9 of 14	9910 0 80 14	00002 1210X2 003919	APZ20006004851519 PZ2000276777 00002 1210X2 003919910 0 8D 14	APZ20006004				
continued next page								
U	230.70	8,230.70	38.530	8,000.00	8,000.00	37.450	213.618	Trade date: Jan 26, 06
Ü	1,316.32	5,316.33	38.530	4,000.01	4,000.01	28,990	137.979	Trade date: Nov 9, 04
5	1,147.77	3,747.77	38.530	2,600.00	2,600,00	26.730	97.269	Trade date: May 24, 04
ц	\$,537.09	13.537.09	38.530	8,000.00	8,000.00	22.770	351.339	Trade date: Aug 21, 03
								Symbol: SCWFX
	<del></del> -							WORLD FUND CLASS F
								AMERICAN FUNDS SMALLCAP
1,263.90	-5,459.20	25,086.76		30,545.96	23,822.86		2,210,288	Security total
								EAI: \$793 Current yield: 3.16%
	-637.70	6,085.40	11,350	6,723.10		12.539	536.159	Total reinvested
Ħ	-4,821.50	19,001.36	11.350	23,822.86	23,822.86	14.230	1,674,129	Trade date: Dec 30, 05
				•				Symbol: PNEAX
								VALUE FUND CLASS A
	-							ALLIANZ NEJ DIVIDEND
retum (3) period	Unredized gain or loss (\$)	Value on Dec 31 (3) gain	Price per share on Dec 31 (\$)	Cost basis (3)	Client investment (\$)	Purchase price per share (3)	Number of shares	Holding
xt include any cash	t you invested. It does not include any cash	ninus the amount you i	Investment return is the current value minus the amount dividends that were not reinvested.	invesoment recu dividends that	iss may need its reporting	dends. The cost ba ted cost basis for t	ty, including reinvested divincer to determine the adjusted to determine the adjusted to the determine the adjusted to the determine the adjusted to the determine the det	Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.
Unrealized gain or loss is the difference between the current value and the cost basis. The currealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.	alue and the cost be in order to determ	between the current variety of capital payments	orloss is the difference ed to be adjusted for return purposes.	Unrealized gain or loss is to or loss may need to be adju- for tax reporting purposes.	ime you	ry cash dividends. I you receive each t	lends. It does not include ar ds or cost basis. The shares	Total rehwested is the total of all reinvested dividency, it does not include any cash dividencis, it is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.
								Mutual funds
								Equities
	26 34	Nov 23 to Dec 26	0.21%	1.00	28,706.16	33	28,700.33	UBS LIQUID ASSETS FUND
	Days in	Dividend/Interest period	Average rate	Price per share on Dec 31 (\$)	Closing balance on Dec 31 (\$)	ı	Opening balance on Dec 1 (5)	Holding
								Cash and money balances





Page 10 of 14	03919910 0 8D 14	77 00002 121002 0	AFZ20006004851520 FZ2000276777 00002 1210X2 003919910 0 8D 14	APZ 2000600				
continued next page								
	81.33	989.37	12.080	908.04		11.086	81.902	Total reinvested
디	-76.59	1,423.41	12.080	1,500.00	1,500.00	12.730	117.832	Trade date: Mar 12, 04
	<del></del>							Symbol: RYPNX
								ROYCE OPPORTUNITY FUND
12,848.48	4,139.94	22.848.48		18,708.54	10,000.00		1,300.426	Security total
								EA): \$329 Current yield: 1.44%
	1,333.82	10,042,36	17.570	8,708.54		15.236	571.563	Total reinvested
Ü	2,806.12	12,806.12	17.570	10,000.00	10,000.00	13.720	728.863	Trade date: Sep 25, 03
								Symbol: RYOTX
	,					į		ROYCE MICRO-CAP FUND
22,572.14	4,020.13	48,072.14		44,052.01	25,500.00		2,121,454	Security total
								EAI: \$683 Current yield: 1.42%
	883,45	19,435.46	22.660	18,552.01		21.629	857.699	Total reinvested
<b>L</b>	-585.79	9,414.21	22.660	10,000.00	10,000.00	24.070	415,455	Trade date: Jan 26, 06
ū	387.22	4,387.22	22.660	4,000.00	4,000.00	20.660	193.611	Trade date: Nov 9, 04
-	660.75	3,660.75	22.660	3,000.00	3,000.00	18.570	161.551	Trade date: May 24, 04
П	310.87	1,810.87	22.660	1,500.00	1,500.00	18.770	79.915	Trade date: Mar 12, 04
=	2,363.63	9,363.63	22.660	7,000.00	7,000.00	16.940	413.223	Trade date: Oct 1, 03
	·• -							Symbol: SGOVX
								FUND CLASS A
								FIRST EAGLE OVERSEAS
6,756.17	3,924.62	18,756.17		14,831.55	12,000.00		620.244	Security total
								EAI: \$153 Current yield: 0.82%
	-79.20	2,752.35	30.240	2,831.55		31.110	91.017	Total reinvested
-	683.32	3,683.32	30.240	3,000.00	3,000.00	24.630	121.803	Trade date: May 24, 04
- <b>-</b>	3,320.50	12,320.50	30.240	9,000.00	9,000.00	22.090	407.424	Trade date: Aug 21, 03
								Symbol: GFAFX
								FUND OF AMERICA CLASS F
	_							AMERICAN FUNDS GROWTH
17.951.84	8,170.97	40,551.86		32,380.88	22,600.01		1,052,475	Security total
								EAI: \$562 Current yield: 1.39%
	-60.91	9,719.96	38.530	9,780.87		38.771	252.270	Total reinvested
retum (\$) period	Urrealized gain or loss (\$)	Value on Dec 31 (\$)	Price per share on Dec 31 (5)	Cost basis (\$)	Client investment (\$)	Purchase price per share (5)	Number of shares	Holding
							ual funds (continued)	Your assets . Equities . Mutual funds (continued)
						ì		
			RG.	RICHARD A BERG	Account name:	A R		SCDO 8



UBS Strategic Advisor December 2010

Account name:
Account type:
Account number:

RICHARD A BERG
Simplified Employee Pension

Your Financial Advisor:

Your assets . Equities . Mutual funds (continued)	al funds (continued)							
Holding		Purchase price per share (S)	Client investment (5)	Cost	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unreelized or loss (3)	Investment Holding return (\$) period
Security total			1,500.00	2,408,04		2,412.78	4.74	912.78
Total			\$95,422.87	\$142,926,98		\$157,728.19	\$14,801.20	\$62,305.32
Total estimated annual income: \$2,520	8							
Fixed income								
Mutual funds								
Total reinvested is the total of all reinvested dividences. It does not include any cash dividences, it is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you relevant fluidences the control to a control total lot.	dends. It does not include ar periods or cost basis. The shu	ny cash dividends. ares you receive ea	It is not a on time you	Unrealized gain or loss loss may need to be add	rios is the differ to be adjusted for re	ence between the curre turn of capital payment	nt value and the cost basin order to determine t	Unradized gain or loss is the difference between the current value and the cost basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for two recording turnous.
Cast basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.	rity, including reinvested divints in order to determine the	dends. The cost bas adjusted cost bas	ssis may is for tax	hvestment return that were not re	n is the current valinvested.	iue minus the amount y	ou invested It does not	**************************************
Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost Cost	Price per share on Dec 31 (5)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment Holding return (3) period
EATON VANCE INCOME FUND								
OF BOSTON CLASS A								
Symbol: EVIBX								
Trade date: Nov 21, 02	1,113.173	5.390	6,000.00	6.000.00	5,840	6,500.93	500.93	Ц
Trade date: Mar 18, 03	892.857	5.600	5,000.00	5,000.00	5.840	5,214.28	214.28	다
Total reinvested	1,932,466	5.759		11,129.36	5.840	11,285.60	156.24	
EAI: \$1,930 Current yield: 8.39%								
Security total	3,938.496		11,000.00	22,129,36		23,000.81	<b>871.45</b>	12,000.81
Your total assets								
		_	Value on Dec 31 (\$)	Percentage of your account	and of	basis (\$)	Estimated annual income (5)	Unrealized gain or loss (\$)
Cash Cash	Cash and money balances		28,706,16	13.71%	198	28,706,16	_	
	Mutual funds		157,728.19	75,31%	7.8	142,926.98	2,520.00	14,801.20
сопте	Mutual funds		23,000.81	10.98%	8	22,129.36	1,930.00	871.45
			\$209,435.16	100.00%		\$193,762.50	\$4,450.00	\$15,672.65

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Page 11 of 14

Filtered by: Endy Date 01/01/2010, 23/31/3010, As Securides Transactions, Ani Casn Transactions, Money Market Excluded, MPEX Debits Excluded

#### #10047 - Client Inquity - Activity to 65/05/11 12:29:50

Fage 1 of 3

URS Finabolal Services Inc.

Account # In View; 8004370

Cenerated On: 05/05/2011

TO BE LIQUID ASSETTS FURBOAS OF OT/TE/SO AD SV V SSV1D MOUSDE

JE10047 - Client Inquiry - Activity for 05/05/11 12:29:50 Page 2 of 3

£			ı		<b>.</b>	<b>.</b>		
56.0212	νic	000.0	t	NFEVIF-543H53	BOSTON OLASS A AS OF 12/31/09 GROWE TURNS OF	CHARDAIC	nr <b>v-o</b> rc	ศาษณ์เก
PC 12	DIAD INCOME	002'0	ī	IAPETE ARLIMA	\$0,31,036 \$6,00,000 ASSETS FLAG AS OF	DIAIDEND	01/50/10	91/40/19
58.E03\$	CE REMILLED	00000	i		COLARTERLY REE	CHE REMITTED	91/22/10	01/22/10
08%,09¢	FEE PAID	000.0	ī		FEE QUARTERLY FEE	OIA9 #F	01/22/10	01/22/10
200	CEE CHARCED	0000	-1	1	CONSTERLY FLE Market Value \$181,403	Q2TU9 334	01/22/10	01/22/10
96.58	DIAD INCOME	600.0	1	IAPRIE-ASILMA	O1/52/10	DIAIDEND	01/92/10	01/97/10
++ 1915	VIO TREVVIES	921.12	ī	NLEATH 243H23	BOSTON CLASS A SP 01/29/10	REINVESTIMENT	05/10/20	01/10/20
5121.42	AIO DIA	000.0	i	ESIÆFS-31A33H	BOSTON CLASS A SO OF 01/25/16	DIATORMO	91/19/20	01/10/20
95 101\$	OOZ AIC	000.0	Ţ.	EH/960-(3H6)	GLISSA PREFERENCE COLONIAL PROPERTIES TR SER D	DIVIDEND	01/10/2C	01/10/20
50'E\$	SIAD INCOME	000.2	ī	IAPEJE-ARLINM	OZ/ZZ/ZO OZ/ZZ/ZO	ONECTARO	01/EZ/20	01/67/20
18751S	REINVEST DIV	418.45	Ĭ	HEFAIE-243H23	BOZLON CITYZE Y YZ OŁ OSISPIJO EVION ANNCE INCONE ŁONO GŁ	RETURNEZUMENT	01/10/10	01/10/20
06 ZEIS	DIA	000.0	ţ	MEEVIF-SHERES	EATON VANCE INCOME HUMD OF BOSTON CARS A SE OF 02/26/10	DIAIDEND	01/10/EU	01/10/60
એ દેશદ	VIG T23VM39	89v.\1	3	BTLON2-GMIPH	ONSS A AS OF 03/18/10 ALLIANZ MY DIVIDEND VALUE FUND	REDAVESTARENT	01/61/20	G1/61/E0
9T'58(\$	CIA	0000	t	BZEOPS-ÖNIAJW	CIVEZ V VZ OE 03/18/10 WITHMS NE) DEASDEND ANCIE ECAD	DIALDEND	01/61/E0	01/61/00
+2.52	DIAD INCOME	000.0	1	TANETE-ARTIMM	US LIQUTO ASSETS FUND AS OF	DIAIDEND	01/92/60	03/56/10
6/ ESI\$	BFINAES), DIA	70Z.YS	ī	EZHEPS-3IV37M	EATON VANCE THOOME FUND OF BOSTON CLASS A AS OF 03/31/10	REINVESTMENT	04/01/10	01/10/10
57.E21\$	DIA	000.0	ī	ESHEPS-STABOR	BOSTON CLASS & AS OF 03/31/10	DIAIDEND	01/10/10	01/10/60
91 vE9\$	FEE REMITTED	000.0	4		QUARTERLY FEE	037 TM39 337	01/EZ/10	03/ES/NO
at Fri	O(Vd 33H	00000	ì		934 YJASTRAUD S <del>ST</del>	CLAS 331	01/55/10	01/EZ/10
ભાજી	CEE CHARGED	0000			QUARTERLY FEE Market Value \$188,416	LEE SILLED	01/53/10	01/23/10
<b>≯</b> 9.E\$	DIAD INCOME	000.0	ı	IAPELE-ARIJMM	OSS LIQUIO ASSETS FUND AS OF OT\6X\TO	DIANDEND	04/23/10	01/27/10
95 101\$	DIA 500	0.000	t	EMPRED-CURPRED	O RES RT SETTING MAIN OF THE SET O RESTREED WESTER	DIAKDEMD	01/0E/#0	01/6Z/ <del>1</del> 0
<b>Þ</b> 71 <del>5</del> E1¥	VELINVEST DIV	BZ 1.85	ı	MEEVIF-543H53	EATON VANCE INCOME FLIND OF BOSTON CLASS A 25 OF 04/30/10	DEJNAESJMENI	01/E0/S0	01/50/50
b&'6b1\$	A10	000.0	τ	MFEVIE-S43H53	BOSTON CLASS A AS DF 04/30/10 EATON VANCE INCOME FUND OF	DIADDANO	01/60/50	01/60/50
7.L.E.Z	DIAD INCOME	909.0	ī	[Abele-Anijmm	TO SA GNUT STESSA CTUQU SBU 01/15/20	DIAIDEND	07/57/50	01/42/50
18 5515	KEINAEZL DIA	927'82	ı	MFEVIF-543H53	EVION VANCE INCOME FUND OF	LINENLISEANEER	01/10/90	01/10/90
18.5515	Na	000.0	ı	EZHENE-SVSHRS	BOSTON CLASS A AS OF 05/26/10	DIATOENO	01/10/90	01/10/90
보숙 <u>5</u> 1.75	VECINVEST DIV	621.15	Į.	BY(OPS-ONIVA)	CLASS A AS OF 06/17/10 ALLANZ NE) DEVIDEND VALUE FUND	REINVESTMENT	02/91/90	01/81/90
85'912\$	AlG	000.0	τ	KFPINQ-540)78	CHAPI SULAV CINDORNO VALUE PAND OLINIA DE DE OPO SA A SEALO	DIALDRAD	01/81/90	01/91/90
21.2 <b>2</b>	DIAD INCOME	000.0	1	IAPERE-AHLIMM	FO SA CINUA STERE AUND AS OF 01/45/80	DIVIDEND	07/57/90	01/52/90
VA 25.5	VIO TZEVNIES	844.55	ī	NEEVIE-S43H63	ENTON VANCE INCOME FUND OF BOSTON CLASS A 25 OF 06/30/10	REINVESTMENT	01/10/20	01/10//0
90:251\$	AIG	000.c	ı	KEEVIL-SVIJHZI	BOSTON VANCE DYCOME FUND OF BOSTON CLASS A 25 OF 06/30/10	DIAIDERD	01/10/20	01/10/20
6 B + C42	(13TF IMES) 934	300.0	4		QUARTERLY FEE	OSTITINES 337	07/23/10	01/53/10
F整大大士	GIA4 334	000010	1		HEE CONSCIENT LEE	OVA 337	01/62/20	01/53/10
रम् कार्याः -	TEE CHARGED	1000.0	=		\$1/92/10 \$1/92/10	FEE BILLED		03/53/50
F3 5 <b>5</b>	DIAD INCOME	000.0	I	I NAVE 19-939-467 I	01 NOFILO 3G 2A A SZAD MOTSOB UQUID ASSETS FUND AS OF UNIXUE	DIATOENO	01/27/20	01/22/20
61'B''6	NG 18344136	248 (2	'	HEATH-SABHES	91VIC\70 3C 3A A 22AC NOT208  HO GHUA THCOHE THCOHE PUND NOT30	METHVESTMENT	01/20/90	31/20/83
ei agis	AIG	909.0	ī	MEENJE-243H23	8125% PRÉFERNED EATON VANCE INCOME FUND OF BOSTON O ASS LA SO CE OSTRUIT	ONECENO	01/20/80	01/20/90
95'101\$	00Z A3G	0000:0	1	GPP8D-0964K3	ODLONIAL PROPERTIES TR SER D SECTION	DIAIDENO	01/20/80	08/05/10
truomA	Price/Debail	Gneutick	Type	Symbol /	резсиров	YalvidoA	अप्रान्ड व्यक्त	Entry Date

Elo Eags4

02:67:71 11/50/50

JE10047 - Client Inquiry - Activity for

	Antourk	Price/Detail	quantity	aqr <sub>1</sub>	Symbol /	везсирави	Activity	alth∋2 ats0	Entry Date
ĺ	FC 051\$	METANEZI DIA	6ET 4Z	!	HEANE-SABHES	BOSTON CLASS A AS OF 12/31/09  BOSTON CLASS A AS OF 12/31/09	SEIVAEŽIMĒNI	01/60/10	01/ <del>&gt;</del> 0/10
Ł									

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UBS Financial Services Inc. 51 BROADWAY FARGO ND 58102-4933

ACCESS Retirement Account

December 2010

CPZ20048519351210 X2 8D 0

Your Financial Advisor.

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you have questions about these objectives, disagree with them, or wish to change them, please contact your financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in important information about your Your investment objectives: You have identified the following investment objectives for this account. If

Your risk profile: Current income & capital appreciation Secondary - None selected nmary - Moderate Your return objective: statement at the end of this document.

Account number:

Account type: Simplified Employee Pension

Account name: TRACY J MARTIN

## Value of your account

\$311,227.76	\$285,971.28	Value of your account
0.00	0.00	Your liabilities
311,227.76	285,971.28	Your assets
on December 31 (\$)	on November 30 (\$)	

# Change in the value of your account

(	December 2010 (\$)	Year to date (5)
Opening account value	\$285,971.28	\$272,968.65
Withdrawab and fees, including investments transferred out	0.00	-5,023.35
Dividend and interest income	716.49	4,551.35
Change in market value	24,539.99	38,731.11
Closing account value	\$311,227.76	\$311,227.76

Your account instructions

Your ACCESS account is managed by NAVQ EQUITY.
 UBS financial Services Inc. is your custodian.

CPZ20007004851935 PZ2000276794 00002 1210X2 005634759 0 8D 14

Member SIPC

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## Cash activity summary

See the section. Account activity this month, for details, UBS Bank USA deposit account balances are included in the opening and diship balances, value, are insured by the FDK, within applicable limits, but are not protected by SPK. See important information about your statement, at the end of this document for details about those balances.

	December 2010 (\$)	Year to date (\$)
Opening balances	\$9,936.15	\$5,776.77
Additions		
Dividend and interest income	716.49	4,551.35
Proceeds from investment transactions	8,458.59	63,282.71
Total additions	\$9,175.08	\$67,834.06
Subtractions		
Professional management fees and related services	0.00	-4,934.48
Other funds debited	0.00	-88.87
Funds withdrawn for investments bought	-10,345.87	-59,822.12
Total subtractions	-\$10,345.87	-\$64,845.47
Net cash flow	-\$1,170.79	\$2,988.59
Closing balances	\$8,765.36	\$8,765.36

# Dividend and interest income earned

For purposes of this statement, tasability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holes, account type, or product type, some interest action dividend payments may not be subject to United States (US) and/or Purito Rico (RI) income taxes. The client monthly statement is not intended to be used affect cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting from they relied from USS structly, such as the forms 1099 and the Forms 480, for tax reporting information. It is the practice of USS to file the applicable tax reporting from the specific and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or tayable income, Please consult your individual tax preparer.

Total dividend & interest	Prior year adjustment	Total current year	Dividends	
\$716.49	0.00	\$716.49	716.49	December 2010 (\$)
\$4,551.35	0.01	\$4,551.34	4,551.34	Year to date (5)

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

\$34,758.15	\$12,598.00	\$120.81	Total
29,839.58	9,789.54	120.81	Long term
4,918.57	2,803.46	0.00	Short term
gains and losses (5)	Year to date (5)	December 2010 (\$)	
Unrealized	id losses	Realized gains and losses	

## Withholdings and tax summary

Foreign taxes paid	
. 0.00	December 2010 (\$)
-88.87	Year to date (\$)

CPZ20007004851936 PZ2000276794 00002 1210X2 005634759 0 8D 14



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rem page	continued next page								
	3,586.91	10,929.06		7,342.15		222.000			Security total
S.	86.26	295.38	49.230	209.12	34.853	6.000	Feb 25, 10		
<b>-</b>	65.95	246.15	49.230	180.20	36,040	5.000	Sep 1. 09		
=	990.51	2,953.80	49.230	1,963.29	32.721	60.000	Jul 7, 08		
, <u>c</u>	713.85	1,427.67	49.230	713.82	24.614	29.000	Jun 23, 08		
; =	1,195.32	4,036.86	49.230	2,841.54	34.652	82,000	Jen 3, 08		
5	535.02	1,969,20	49.230	1,434.18	35,854	40.000	Apr 9, 08	EAI: \$41 Corrent yield: 0.38%	EAI: \$41 Come
							z	ANGLOGOLD ASKANTI LTD NEW SPON ADR	ANGLOGOLD ADR
	1776	11,/48.60		11,651.39		214.000			Security total
2	94.74	1,921.50	54,900	1.826.71	52.191	35.000	May 25, 10		
3 5	04.89	1,811.70	54.900	1,996.59	60.502	33.000	Sep 23, 09		
; =	44.95	1,098,00	54.900	953.05	47.652	20.000	Apr 14, 09		
: =	12.37	3,239,10	54.900	3,226.73	54,690	59,000	Nov 15, 07		
<b>5</b> 5	29.99	3,678.30	54.900	3,648.31	54,452	67.000	Jul 10, 07	AMGEN INC Symbol: AMGN Exchange: OTC	AMGEN INC Symbol: AMG
period	gain or loss (\$)	Dec 31 (\$)	on Dec 31 (5)	Cost basis (\$)	per share (\$)	of shares	date		Holding
Holding	Unrealized	Value on	Price per share		P, arrhace price	·	ļ	ÖÇ.	Common stock
									Equities
					\$8,765.36	\$9,936.15	\$9, 9,		Total
		NOV 23 10 DEC 50	0.01%	1.68	8,732.44	9,936.15	9,9	SERVES FUND	UBS CASH RESERVES FUND
1	5		200	3	32,92	0.00			Cash
	σ.5	period period period	Average	on Dec 31 (\$)	Closing balance on Dec 31 (\$)		Opening balance on Dec 1 (5)		Holding
								Cash and money balances	Cash and m
									Cash
					iges may not locument for	a result, gains and los at the end of this d	Some prices, income and current values shown may be approximate. As a read, gains and losses may not be accurately reflected. See Important information about your statement at the end of this document for more information.	ome and current values show flected. See Important inform n.	Some prices, income to accurately refundation more information.
								ssets	Your assets
E AGY BOTT	Your Fmancai Auv Bon		Simplified Employee Pension	ä	Account name: Account type: Account number:		0	ACCESS December 2010	<b>**</b> UBS





* UBS		Aα	Account name: Account number:	TRACY J MARTIN				
Your assets . Equities . Common stock (continued)	Common stock (contin	ued)						
Holding	Tracke date	Number of shares	Purchase price per share (5)	Cost basis (5)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unredized gain or loss (5)	Holding period
AON CORP								
Symbol: AON Exchange: NYSE  EAI: \$118 Current vield: 1.30%	Jul 14, 03	75.000	23.506	1,762.97	46.010	3,450.75	1,687,78	ជ
	Sep 12, 06	8.000	34,160	273.28	46,010	368.08	94.80	<b>=</b>
	Sep 14, 06	64.000	34.022	2,177.47	46.010	2,944.64	767.17	=
	Oct 30, 09	10.000	37.942	379.43	46.010	460.10	80.67	5
	Dec 2, 09	15.000	38.463	576.95	46,010	690.15	113.20	5
	Dec 3, 09	20.000	38.388	767.77	46.010	920.20	152.43	5
	Dec 4, 09	5.000	38,419	192,10	46,010	230.05	37.95	Ξ,
Security total		197.000		6.129.97		9,063.97	2,934,00	
APACHE CORP								
EAI: \$70 Current yield: 0.51%	Sep 12, 06	38.000	62.921	2.391.00	119.230	4,530./4	2,139,74	= =
	Jan 8, 0/	38.000	05.090	2,490.33	119.230	1 111 53	497 44	ς :
	Apr 19, 07	17 000	74.639	1 268.86	119.230	2,026.91	758.05	4
	Apr 23, 07	9,000	75,176	676.59	119.230	1,073.07	396.48	<u>-</u>
	Apr 24, 07	3.000	75.192	225.58	119.230	357.69	132.11	5
Security total		116.000		7,872.65		13,830.68	5,958.03	
BARRICK GOLD CORP CAD								
Symbol: ABA Exchange: NTOC EAL: \$129 Current yield: 0.91%	Jul 9, 02	20.000	18,880	377.60	53,180	1,063.60	686.00	5
,	Jul 10, 02	75.000	18.980	1,423.50	53.180	3,988.50	2,565.00	Ξ,
	Dec 17, 02	130.000	15,973	2,076.59	53.180	6,913.40	4,836.81	<b>-</b>
	Feb 4, 04	40.000	19.810	792.40	53.180	2,127.20	1,334.80	=
	Sep 10, 09	3.000	37.202	111.61	53.180	159.54	47.93	5
Security total		268.000		4.781.70		14,252.24	9,470.54	
CAINC Symbol: CA Exchange: OTC								
EAI: \$100 Current yield: 0.66%	Jul 9, 02	94.000	15,360	1,443.84	24.440	2.297.36	853.52	_
	Jul 10, 02	145.000	14.350	2.080.75	24,440	3,543,80	1,463.05	=
	Jul 24, 02	165,000	8,400	1,386.00	24.440	4,032.60	2,646.60	=
	6 t ti OC	7.000	27.854	194.98	24.440	171.08	-23.90	<b>-</b>

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VOLIT absSetts : Equitiles : Common stock (continsed)         Water (continue)         Water (continue)         Water (continue)         Unmission (continue)         Water (continue)         Wat	Page 11 of 22		10X2 005634759 0 8D 14	CFZ20007004851941 FZZ000276794 00002 1210XZ 005634759 0 8D 14	CPZ2000700485194				,
Bassets   Equities   Common stock (continued)   Trace   Number	rext page	continued n							
ASSECTS - Equifiles - Common stock (continued)         Incase frage         Rumber Apriles price for Spee frage (Cod basid)         Price part April (Cod basid)         Price part April (Cod basid)         Price part April (Cod basid)         United part April (Cod basid)         Price part April (	<b>5</b> 1	18.47	367.92	13.140	349.45	12.480	28.000	Dec 3, 10	
According to   Page	SŢ	57.97	473.04	13,140	415.07	11.529	36.000	Nov 3, 10	
### Part	SĮ.	35.86	289.08	13.140	253.22	11.510	22.000	Nov 1, 10	
ASSECTS   Equities   Common stock (continued)   Irade   Murber   Archese prés   Cod basic (D. Price	ST	135.29	1,024.92	13.140	889.63	11.405	78.000	Oct 29, 10	
ASSECTS   Equities   Common stack (continued)   Itack   Aurabay	=	-1,441.00	1,787.04	13.140	3,228.04	23.735	136,000	Jan 9, 08	
ASSECTS   Equities   Common stock (continued)   Aurbor   Continued)   Aurbor   Continued)   Aurbor   Continued)   Aurbor   Continued   C	ר	544.89	354.78	13.140	899.67	33.321	27.000	Apr 28, 06	
### Partone price   Partone pr	. =	-635.99	1,314.00	13,140	1,949.99	19.499	100.000	May 27, 04	
assets + Equities + Common stock (continued)         Author fade         Purbose price of share (9)         Cost basis (5)         Price per share (9)         Value on the 31 (9)         Unresidad pair of loss (8)         August (1)         Au	· =	-254.40	525.60	13.140	780.00	19.500	40.000	May 26, 04	
### ### ##############################	; <u>-</u>	-104.71	223,38	13.140	328.09	19.299	17.000	May 25, 04	Symbol: GNW Exchange: NYSE
abssetts + Equitiles + Common stock (continued)         Humber Index         Purbase price of shorts         Purbase price of shorts         Price per shorts         Value on three         Unrealized to the set (8)         Dec 31 (3)         Unrealized to the set (8)         Dec 31 (3)         Unrealized to the set (8)         Dec 31 (3)         Dec 31 (3)         Unrealized to the set (8)         Set (8)         24.440         2.515.08         5.88         Aut 400         2.224.04         49.80         49.80         Apr 2.200         25.448         2.315.84         2.4440         2.224.04         49.80         Apr 2.200         20.577         2.88.08         2.4440         2.224.04         49.90         Apr 2.200         20.500         34.638         1.731.93         44.420         2.221.00         4.907.43         Apr 2.200         4.907.43         Apr 2.200         4.907.43         Apr 2.200         4.907.43         Apr 2.200         4.4420         3.198.24         4.907.43         Apr 2.200         4.907.43         Apr 2.200         4.4420         3.198.24         82.22         Apr 2.200         <		130.70	46.46.64		4,396,10		129,000		Security total
assetts + Equitities + Common stock (continued)         Humber Index         Purplise of theme Index         Purplise of theme Index         Purplise of theme Index         Value on the 31(8)         Urregized Dec 31(8)         Dec 31(8)         Urregized Dec 31(8)         Dec 31(8)         Urregized Dec 31(8)         Dec 31(8)         Dec 31(8)         Urregized Dec 31(8)         Dec 31(	ي	356.79	A 754 DA	36.800	1,010.93	33.697	30,000	Nov 23, 10	
### Purbay Purbay Price   Purbay Pri	4 :	0/ 97	1 100 00	36.860	3,387.23	34.214	99.000	Nov 22, 10	Symbol: GM Exchange: NYSE
### Accommon stock (continued)   Fire per there   Parties pick   Price per there   Value on   Unresized   Price per there   Value on   Price per there   Price per there   Value on   Price per there   Price per there   Value on   Price per there   V	4	261 91	3 640 14	26 960	787 75	2	}	; ;	GENERAL MOTORS CO
assetts + Equifiles + Common stock (continued)         Humber Index Index         Phrabes pick Privates pick Privates (S) Phrapes Privates (S) Phrapes Pick Privates (S) Phrapes (S) Phrapes Pick Privates (S) Phrapes (S) Phrapes Pick Privates (S) Phrapes Pick Privates (S) Phrapes (S) Phrapes (S) Phrapes (S) Phrapes	į	206,40	00.02672	34.//0	2,418.25	28.788	84.000	Nov 5, 09	EAl: \$29 Current yield: 0.99%
### Apr 25, D6   107,000   25,448   2,315,84   24,440   2,215,08   49,717,10   14,000   25,448   2,315,84   24,440   2,224,04   91,800   27,244   2,224,04   2,22	<b>-</b>	c	3 030 60	4		<u> </u>			Symbol: CVS Exchange: NYSE
assets • Equities • Common stock (continued)         Number frace         Purbos pice price per five (9)         Cod basis (3)         Price per share (9)         Value on per share (9)         Unrealized per share (9)         Price per share (9)         Price per share (9)         Price per share (9)         Unrealized per share (9)         Unrealized per share (9)         Unrealized per share (9)         Value on per share (9)         Unrealized per share (9)         Value on per share (9)         Unrealized per share (9)         Value on per share (9)         Unrealized per share (9)         Value on per share (9)         Price per share (9)         Price per share (9)         24.440         24.440         24.440         24.440         24.440         24.440         24.440         24.440         24.440         24.440         24.2		-							CVS CAREMARK CORP
### ### ### ### ######################		2,439.35	7.572.73		5,133.38		1,601.000		Security total
### ### ##############################	5	843,88	2,696.10	4.730	1,852.22	3.249	570,000	Dec 18, 09	
### Apr 25, D6   107,000   24,385   2,699,20   24,440   2,515,08   29 in on loss (3)      Apr 25, D6   107,000   25,448   2,315,84   24,440   2,215,08   54.08     Nov 16, 07   91,000   25,448   2,315,84   24,440   342,16   54.08     Yotal   Yotal   14,000   20,577   288,08   24,440   342,16   54.08     CINQ Exchange: NYSE   Nov 16, 09   72,000   34,638   1,731,93   44,420   3,198,24     Current yield: D.68%   Nov 20, 09   72,000   32,930   23,930   44,420   3,020,56   781,26     Jan 25, 10   16,000   32,930   2,239,30   44,420   9,150,52   2,276,82     Yotal   OUP INC	; =	1,595.47	4,876.63	4.730	3,281.16	3.182	1,031.000	Dec 17, 09	Symbol: C Exchange: NYSE
### ASSetts + Equities + Common stock (continued)    Tracle	i					!			CITIGROUP INC
### ASSetts + Equities + Common stock (continued)    Tracle		2,276.82	9,150.52		6,873.70		206.000		Security total
### ASSetts + Equities + Common stock (continued)    Tracle	ST	781.26	3,020.56	44.420	2,239.30	32.930	68,000	Jan 26, 10	
### ASSetts + Equities + Common stock (continued)    Tracle	15	178.27	710.72	44.420	532.45	33.278	16.000	Jan 25, 10	
### ASSetts + Equities + Common stock (continued)    Tracle   Humber   Purchase price   Cost basis (5)   Price per share   Dec 31 (3)   On Dec	=	828.22	3,198.24	44.420	2,370.02	32.916	72,000	Nov 20, 09	
ASSetts • Equities • Common stock (continued)  Trade  Trade  Apr 25, D6  Apr 25, D6  Nov 16, 07  Nov 16, 07  Nay 17, 10  Nay 17, 10  Nay 17, 10  Continue (I)  Price per share  Puchase price per share (I)  Cost basis (I)  Cost basis (I)  Cost basis (I)  Cost basis (I)  Apr 26, D6  24,440  2,615,D8  5,440  2,214,04  2,224,04  31,80  342,16  54,08  10,318,69  10,318,69  10,318,69  Cost basis (I)  Price per share Value on Unradized On Dec 31 (I)  Dec	; =	489.07	2,22,100	44,420	1,731.93	34.638	50,000	Nov 16, 09	EAI: \$62 Current yield: 0.68%
Assetts • Equities • Common stock (continued)  Trade  Trade  Apr 25, D6  Nov 16, 07  Nay 17, 10  Nay 17, 10  Apr 23,000  Trade  Purchase price Of shares  Purchase price Of shares Oper share (9)  Cost basis (3)  Cost basis (3)  Apr 26,000  Apr 25, D6  Nov 16, 07  Price per share Oper share Oper share (9)  Cost basis (3)  Apr 26,000  Apr 27,000  Apr 28,000  Apr 29,000  Apr 29,0	<b>-</b>		; ; ; ; ;						Symbol: CNQ Exchange: NYSE
assetts • Equities • Common stock (continued)     Number Purchase price of shares     Price per share Price per shares     Price per shares     Value on Dec 31 (3)     Unresilized per on Dec 31 (3)       Apr 25, D6     107,000     24,385     2,699,20     24,440     2,515,08     5.88       Nov 16, 07     91,000     25,448     2,315,84     24,440     2,224,04     -91,80       Nay 17, 10     14,000     20,577     288,08     24,440     342,16     54,08       y total     623,000     10,318,69     10,318,69     15,226,12     4,907,43			====						CANADIAN NAT RESOURCES LTD CAD
ASSetts • Equities • Common stock (continued)  Trade Trade  Trade  Apr 25, D6  Nov 16, 07  Nay 17, 10  Trade  Trade  Trade  Number  Purchase price per share (9)  Cost basis (5)  Cost basis (5)  Apr 26, D6  Price per share Price per share (9)  Cost basis (5)  Apr 26, D6  Cost basis (5)  Apr 27, D0  24, 345  2,699,20  24,440  2,615,08  5,88  24,440  2,224,04  342,16  54,08		4,907.43	15.226.12		10,318.69		623.000		Security total
Assets • Equities • Common stock (continued)  Trade  Trade  Trade  Apr 25, 06  Nov 16, 07  Purchase price Price per share (9)  Cost basis (5) On Dec 31 (5) Dec 31 (5) Dec 31 (5) S.88  5.88  2.315.84  2.315.84  2.315.84  2.315.84  2.315.84	ŞŢ	54.08	342.16	24.440	288.08	20.577	14.000	May 17, 10	
Assets • Equities • Common stock (continued)  Trade  Trade  Apr 25, 06  Apr 25, 06  Trade  Apr 25, 06  Trade  Trade  Trade  Trade  Trade  Trade  Of shares  Purchase price  Purchase price  Price per share  Cost basis (\$)  On Dec 31 (\$)  Dec 31 (\$)  Dec 31 (\$)  Select  Select  Select  Price per share  Price per share  On Dec 31 (\$)  Dec 31 (\$)  Dec 31 (\$)  Select  Select  Select  Select  Select  Trade  On Dec 31 (\$)  Dec 31 (\$)  Dec 31 (\$)  Select  Select  Select  Trade  On Dec 31 (\$)  Dec 31 (\$)  Dec 31 (\$)  Select  Select  Select  Trade  On Dec 31 (\$)  Dec 31 (\$)  Dec 31 (\$)  Select  Select  Select  Trade  On Dec 31 (\$)  D	=	-91.80	2,224,04	24.440	2,315.84	25,448	91.000	Nov 16, 07	
ASSets + Equities + Common stock (continued)  Trade Trade Aurities Price per share Price per s	5	5.88	2,615,08	24,440	2,609.20	24.385	107,000	Apr 25, 06	
	period	Unrasiized gain or loss (\$)	Dec 31 (5)	Price per share on Dec 31 (\$)	Cost basis (\$)	Purchase price per share (\$)	Number of shares	Trade date	Holding
							nued)	mmon stock (conti	Your assets • Equities • Co



Account name: Account number:

TRACY J MARTIN

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Dec 6, 10	28.000	12.420	347.78	13.140	367.92		2
Dec 7, 10	38.000	12.696	482,46	13.140	499.32	16.86	2
Dec 8, 10	38.000	13.026	494.99	13.140	499.32	4,33	SI
Dec 9, 10	15.000	13.002	195,04	13.140	197.10	2.06	SI
	603.000		10,613,43		7,923.42	-2.690.01	
	ļ						l
;	7	***	* 222	160 167	1 177 13	1 66 00	ą.
, E	11 000	144,500	1 680 11	168 160	1 2/0 7/6	761.66	4 5
20, 10	18,000	144	2,598.24	00.100	3,026,88	428.64	9
8	74 000	17 500	1 201 63	A0 930	3 031 43	1 710 90	=
12, 00	74.000	17.302	70.105.1	10.000	2,000,000	1,7100	1
1			1	<u> </u>			;
3, 02	44.000	36,120	03.106,2	70,430	1,100,00	27.166.1.	. 5
Jul 10, 02	35,000	\$4.820	1,918.70	26.490	927.15	-991.55	<b>-</b>
Aug 2, 06	48.000	83.648	4,015.12	26.490	1,271.52	-2,743.60	Ľ
Jul 25, 07	36,000	96.333	3,467.99	26.490	953,64	-2,514.35	듸
Dec 22, 09	75,000	23.324	1,749.32	26.490	1,986.75	237.43	<u> </u>
	238,000		13,706.41		6,304.62	-7,403.79	
	-						
18, 07	63,000	56.419	3,554,43	76.540	4,822.02	1,267.59	5
Jul 9, 02	94.000	23.035	2,165.29	47.090	4,426,46	2,261.17	디
Jul 10, 02	70.000	21.630	1,514.10	47.090	3,296.30	1,782.20	=
	164.000		3,679,39		7,722,76	4,043.37	
), 02	10.000	32.360	323.60	42.420	424.20	100.60	<b>-</b>
	Dec 6, 10 Dec 7, 10 Dec 8, 10 Dec 8, 10 Dec 9, 10 Aug 25, 10 Aug 26, 10 Aug 26, 10 Jul 9, 02 Jul 10, 02 Jul 22, 06 Jul 25, 07 Dec 22, 09 Jul 9, 02 Jul 9, 02 Jul 9, 02 Jul 9, 02 Jul 10, 02	22 07 08 00 00	0 28.000 0 38.000 0 38.000 0 15.000 10 11.000 10 11.000 10 11.000 18.000 08 74.000 09 75.000 09 75.000 09 238.000 09 238.000 09 238.000 09 238.000 104.000 104.000 104.000 105.000 106.000 107.000 109	0 28.000 17.289 0 38.000 12.696 0 38.000 13.026 0 15.000 13.002 10 603.000 144.304 1 10 11.000 144.373 1 10 11.000 17.589 1 18.000 58.120 2 2 44.000 58.120 2 10 48.000 54.820 1 20 53.000 54.820 1 20 75.000 23.324 1 2 75.000 23.035 2 164.000 32.035 2 164.000 32.360	0 28.000 17.289 247.78 0 38.000 17.696 482.46 0 38.000 13.026 494.99 0 15.000 13.002 10.613.43 10 7.000 144.304 1.010.13 10 11.000 144.373 1.588.11 10 11.000 17.589 1.301.62 08 74.000 58.120 2.557.28 2 44.000 58.120 2.557.28 2 35.000 94.320 1.918.70 09 75.000 96.333 3.467.99 09 75.000 23.324 13.708.41 07 63.000 56.419 3.554.43 07 63.000 23.035 2.165.29 10.000 32.360 323.60	0 28.000 17.269 13.170 13.140 0 38.000 12.696 482.46 13.140 0 38.000 12.696 482.46 13.140 0 15.000 13.026 494.99 13.140 0 15.000 13.002 195.04 13.140 0 110.000 144.304 1.010.13 168.160 10 110.000 144.373 1.588.11 168.160 18.000 17.589 1.301.62 40.830 08 74.000 17.589 1.301.62 40.830 08 74.000 58.120 2.598.24 09 75.000 54.820 1.918.70 26.490 09 75.000 95.333 3.467.99 26.490 09 75.000 23.324 1.749.32 26.490 09 75.000 23.325 2.165.29 47.090 164.000 21.630 1.514.10 47.090 164.000 32.360 33.360 3.679.39 10.000 32.360 33.360 3.679.39	0         28,000         112,420         347,78         13,140         367,92         20,14           0         38,000         112,696         482,46         13,140         499,32         16.86           0         13,000         113,026         482,46         13,140         499,32         4.33           0         15,000         13,022         195,04         13,140         197,10         2.06           10         7,000         144,394         1,010,13         168,160         1,177,12         166.99           10         7,000         144,394         1,010,13         168,160         1,177,12         166.99           10         7,000         144,394         1,010,13         168,160         1,177,12         166.99           10         7,000         144,394         1,010,13         168,160         1,177,12         166.99           10         11,000         144,394         1,010,13         168,160         1,177,12         166.99           10         12,000         12,582         1,301,62         40,830         3,021,42         1,79,80           10         42,000         17,589         1,301,62         40,830         3,021,42         1,79,80

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Your assets , <b>Equities , Common stock</b> (continued)	ommon stock (continu	<b>3</b> .						
	Tracke	Number	Purchase price	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Halding period
TO COMPANY	Jul 10, 02	65,000	30,710	1,996.15	42.420	2,757.30		4
	Jun 29, 07	54.000	48.264	2,606.27	42,420	2,290.68	-315.59	٦
Security total		129.000		4.926.02		5,472.18	546.16	
KIMBERLY CLARK CORP								
Symbol: KMB Exchange: NYSE	Jul 10. 02	13.000	59.440	774.34	63,040	819.52	45.18	5
	Dec 9. 02	15.000	45.610	685.53	63.040	945.60	260.07	=
	Dec 23, 02	35.000	46.250	1,621.97	63.040	2,206,40	584.43	17
Security total		63.000		3,081.84		3,971.52	889.68	
KROGER COMPANY								
Symbol: Kn. Exchange: INTSC EAL: \$80 Current yield: 1.88%	Sep 22, 09	31.000	20.426	633.22	22.360	693.16	59.94	5
•	Sep 23, 09	35.000	20.562	719.68	22.360	782.60	62.92	Ξ,
	Sep 24, 09	55.000	20.548	1,130.14	22.360	1,229.80	99.66	-
	Sep 25, 09	30.000	20.579	617.37	22.360	670.80	53.43	; <u>-</u>
Security total	Sep 28, 09	190.000	20.50	3,902.43	,	4,248.40	345.97	
LINCOLN NATL CORP IND								
EAI: \$30 Current yield: 0.71%	jun 22, 10	151.000	27.474	4,148.60	27.810	4,199.31	50.71	12
LOCKHEED MARTIN CORP								
EAI: \$291 Current yield: 4.29%	Feb 9, 04	46.000	49.222	2,264.22	69.910	3,215.86	951.64	=
	Oct 26, 05	30.000	60.735	1,822.05	69.910	2,097.30	275.25	ជ
	Aug 4, 09	9.000	75,402	678.62	69.910	629.19	49.43	П
	Sep 1, 09	9.000	75.507	679.57	69.910	629.19	-50.38	; <u>=</u>
	Sep 2, 09	3.000	75.075	225.23	69.910	209.73	-15.50	=
Security total		97.000		5,669,69		6.781.27	1,111.58	
Symbol: L Exchange: NYSE							<u> </u>	;
EAI; 361 Current yield: U.64%	Oct 25, 07	35,000	45.739	1 743 60	38.010	1 478 58	265 10	ī :
	Oct 7, 08	72.000	34.557	2,488.13	38.910	2,801.52	313.39	<b>=</b>
							continued next page	ut page



TRACY J MARTIN

VOLIT SSSEITS - Equitities - Common stock (continues)         Marker	Page 14 of 22		1002 005634759 0 80 14	CPZ20007004851944 PZ2000276794 00002 1210X2 005634759 0 80 14	CPZ2000700485194				
### RECENTRY (COMPONE)    Interior   Interio									
### ASSERTS - EQUITILIES - Common stock (continued)   Incide	ext page	-5,939.56 continued n	15,128.76		21,068.32		1,668.000		Security total
### BASSETS - Equitities - Common stock (continued)	<u>v</u>	148.38	489.78	9.070	341,40	6.322	54.000	Feb 1, 10	
### B359elts - Equitiles - Common stock (continued)	; ≃	404.35	1,269.80	9.070	865,45	6.181	140.000	Jan 29, 10	
### Rassetts - Equittless - Common stock (continued)   Aurabe	1 =	587.97	1,043.05	9.070	455.08	3.957	115.000	Feb 10, 09	
Bassetts   Equitities   Common stock (continued)   Inade   I	i =	-346.03	4,126.85	9.070	4,472.88	9.830	455.000	Mar 4, 08	
### Richards: Common stock (continued)   Track	; <del>,</del>	-1,737.85	2,639.37	9.070	4,377.22	15.042	291.000	Jan 8, 08	
Bassetts   Equities   Common stock (continue)	: =	-1,531.20	1,523.76	9.070	3,054.96	18.184	168.000	Jun 6, 07	
### Runnings NYSE   Common Stock (continued)   Author   Continued   Continued)   Author   Continued   Continued)   Author   Continued   Co	; =	-1,090.11	789.09	9,070	1,879.20	21.600	87.000	Nov 8, 06	
### Purbase price   Cont house)   Cont house)   Cont house   Cont hous	i =	-1,461.67	1,070.26	9,070	2.531.93	21.457	118.000	Jun 5, 06	
Dec	; 5	-601.50	925.14	9.070	1,526.64	14.967	102.000	Nov 9, 04	
BASSETS   Equities   Common stock (continued)   Common stock (continued)   Common stock (continued)   Continued	· =	-208.51	770.95	9.070	979.56	11.524	85.000	Dec 15, 03	
Proced   P	; =	-103.29	480.71	9.070	584.00	11.018	53.000	Sep 24, 03	Symbol: MOT Exchange: NYSE
ASSERTS   Equities   Common stock (continued)	;	}							MOTOROLA INC
Table   Number   Purchase price   Cod basis (s)   Price per share   Value on date   Ord basis (s)   Ord basi		16.18	3,795.76		3,779.58		136.000		Security total
ASSETS   Equities   Common stock (continued)   Track   Track   Author   A	=	4.94	3,684.12	27.910	3,679.18	27.872	132.000	Jun 10, 08	
ASSETS - Equities - Common stock (continued)  Trade    Aurrhor   A	; =	11,24	111.64	27.910	100.40	25,100	4.000	Jun 27, 05	EAL: 387 Current yield: 2.29%
### ### ### ### ######################	i		:						Symbol: MSFT Exchange: OTC
ASSECTS   Equitities   Common stock (continued)   Trade   Index   Trade   Index   In									MICROSOFT CORP
ASSECTS + Equitities + Common stock (continued)         Number Adde         Purchase price of shares         Purchase price of shares         Price per share (S)         Price per share (S)         Value on basis (S)         Price per share (S)         Value on basis (S)         Price per share (S)		3,101.55	10,932.24		7,830.69		246.000		Security total
ASSECTS   Equities   Common stock (continued)	2	83.01	1,022.12	44,440	939,11	40.831	23.000	Dec 6, 10	
ASSECTS   EQUITIES   Commont stock (continued)   Trade   Number   Purthess price   Price   Cost basis (5)   Price per share   Value on   Unrealized   Hold	) <u>=</u>	560.45	2,444.20	44.440	1,883.75	34.250	55,000	Dec 3, 09	
ASSECTS   EQUITIES   Commont stock (continued)   Trade   Number   Purthase price   Cost basis (3)   Price per share   Value on date   Dec 31 (3)	; =	1,556.33	4,266.24	44.440	2,709.91	28.228	96,000	Oct 9, 08	
### ASSETS + EQUITIES + Common stock (continued)    Trade	; =	901.76	3,199.68	44,440	2,297.92	31.915	72.000	Oct 8, 08	EAI: \$182 Current yield: 1.66%
ASSETS - EQUITIES - Common stock (continued)  Trade									METUFE INC  Symbol: MET Exchange: NYSE
ASSETS - EQUITIES - Common stock (continued)  Trade		945.34	4,541.04		3, 595.70		126.000		Security total
ASSETS - Equities - Common stock (continued)  Trade	=	251.00	2,270.52	36.040	2,019.52	32.055	63.000	Oct 26, 09	
### ASSets - Equities - Common stock (continued)    Trade	; <u>-</u>	594.34	2,270.52	36.040	1,576.18	25.018	63,000	Feb 27, 09	EAt: \$192 Current yield: 4.23%
ASSETS - Equities - Common stock (continued)  Trade  Number  Number  Purchase price  Od basis (3)  Price per share  Value on Unrealized  On Dec 31 (3)  Dec 31 (3)  Price per share  Value on Obresi (3)  On Dec 31 (3)  Dec 31 (3)  Dec 31 (3)  On Dec 31 (3)  Dec 31 (3)  On Dec 31 (4)  On Dec 31 (4)  On Dec 31 (5)  On Dec 3	ā		! !						MERCK & CO INC NEW COM  Symbol: MRK Exchange: NYSE
ASSetS - Equities - Common stock (continued)  Trade		425.12	9,494.04		9,068.92		244.000		Security total
ASSets - Equities - Common stock (continued)  Trade Number Purchasa price Price per share Value on Unrealized Of shares per share (5) Cost basis (5) on Dec 31 (5) Dec 31 (5) gain or loss (5)	_	1,082.02	2,684.79	38.910	1,602.77	23.228	69.000	Mar 23, 09	
	penio d	gain or loss (5)	Dec 31 (5)	on Dec 31 (\$)	Cost basis (\$)	Purchase price per share (\$)	Number of shares	Trade date	Holding
				-			nued)	ommon stock (conti	Your assets . Equities . C



CCESS   Equifiles - Common stock (continued)	Page 15 of 22	Fage	10X2 005634759 0 8D 14	CPZ20007004851945 PZ2000276794 00002 1210X2 005634759 0 8D 14	CPZ2000700485194				
### Part 12, 10   26,000   17,279   2,230,21   17,510   265,28   24,100   27,280   28,500   24,234   29,301   27,510   265,28   20,000   24,234   24,353   2,233,77   26,366,4   24,360   26,366,54   2,246,26   2,250,20	ext page	continued ne	<del></del>			•			A. 3472 Cultent yeld. 0.0470
### Account name:   TRACY   MAATIN   Account name: Account	5	-783.87	1,281.54	24.180	2,065,41	38.970	53,000	lat 0 07	PITNEY BOWES INC Symbol: PBI Exchange: NYSE EAL: 6473 Common violating CAR
## Account name:   TRACE   MARTIN   Account name: Account name: Account type: Samplified Emboyee Pension		2,959.54	5,911.53		2,951.99		101.000		Security total
Account name: Simplified Employee Pension Fracty MATIN Account name: Simplified Employee Pension Simplified Employee Pension Simplified Employee Pension Persion Account names: Simplified Employee Pension Simplified Employee Pe	=	758.26	2,165.61	S8.530	1,407.35	38.036	37.000	Jun 21, 06	
Account name: Sign Martin Account name: Continue()  Freds Number Index (continue())  May 19, 05 35,000 34,353 1,202,36 86,080 3,012,80 (36,28) 116,000 42,392 2,253,71 86,080 3,357,12 (26,28) 116,000 42,392 2,253,71 86,080 3,357,12 (26,28) 116,000 42,392 2,253,71 86,080 3,357,12 (26,28) 116,000 42,392 2,253,71 86,080 3,357,12 (26,28) 116,000 42,392 2,266,64 (26,28) 116,000 42,392	; =	2,066.46	3,511.80	\$8.530	1,445.34	24.089	60.000	Jul 10, 02	,
Account name: Ber 2010  Account name: Accoun	: 5	134.82	234,12	58.530	99.301	24,824	4.000	Jul 9, 02	Symbol: PM Exchange: NYSE EAI: \$259 Current yield: 4.38%
Account name:  TRACY J MARTIN  Account types:  Track  Trac									HILLP MORRIS INTL. INC
Account name: TRACY J MARTIN  Account name: Simplified Employee Pension  Account types: Simplified Employee Pension  Account types: Simplified Employee Pension  Account number: Purbase price per fave (9) Cost basis (5) Price per stave (9) Over J1(5) Ove		198.27	12,747.28		12,549,01		728.000		Security total
Account name: TRACY J MARTIN ber 2010 Account names: Simplified Employee Pension  Account number: Account number: Simplified Employee Pension  Account number: A	¥	-5.76	665.38	17.510	671.14	17.661	38,000	Dec 28, 10	
Account name: TRACY J MARTIN Account rames: Simplified Employee Pension  Account number: Simplified Employee Pension  Value on the part of the per shart visit of per shart visit on the per shart visit of the per shart visit on the per shart visit of the per shart visit on the per shart visit of the per shart visit on the per shart visit on the per	; <u>v</u>	0.49	1,470.84	17.510	1,471.33	17.515	84.000	Dec 27, 10	
Account name: TRACY J MARTIN  Account repres: Simplified Employee Pension  Account number: Simplified Employee Pension  Procedure (3) Cost best (3) Price per dure  April 10, 08 35,000 34,353 1,202.36 86,080 3,012.80  April 20, 08 39,000 57,787 2,253.71 86,080 3,357.12  Sep 15, 08 39,000 42,234 4,899.21 19,540 2,365,64  May 12, 08 48,000 42,392 2,345,40 19,540 937.92  Procedure (3) April 20,000 86,654 2,946.26 98,100 3,335,40  April 30, 10 34,000 86,654 2,246.26 98,100 3,355,40  April 30, 10 34,000 86,654 2,246.26 98,100 3,355,40  April 30, 10 34,000 86,654 2,246.26 98,100 3,355,40  April 30, 10 34,000 86,654 5,947.21 98,100 882.90  April 30, 10 183,000 17,050 3,120.19 17,510 3,264.33  April 30, 10 96,000 16,888 1,521.26 17,510 3,264.33	; <u>~</u>	3.21	1,365.78	17.510	1,362.57	17.468	78.000	Dec 22, 10	
Account name: TRACY J MARTIN Account name: Simplified Employee Pension Account types: Simplified Employee Pension Account number: Simplified Employee Account number: Account number: Simp	; ⊆	59.70	1,680.96	17.510	1,621.26	16.888	96.000	Apr 26, 10	
Account name: TRACY J MARTIN Account types: Simplified Employee Pension Account number: Simplified Employee Pension  Account number: Simplified Employee Pension  Account number: Simplified Employee Pension  Account number: Simplified Employee Pension  Account number: Simplified Employee Pension  Account number: Simplified Employee Pension  Proceed of System (3) Cost besis (3) Price per System (3) 9  Apr 15, 08 35,000 34.353 1.202.36 86.080 3.012.80 92.11 9.540 93.57.12 93.57.12 93.000 42.234 4.899.21 19.540 93.79.2  Be Mar 7, 08 116.000 42.234 4.899.21 19.540 2.266.64 93.99.29  Haly 12, 08 48.000 42.392 2.034.84 19.540 937.92 937.92 93.00  Apr 12, 10 34.000 86.654 2.946.26 98.100 3.335.40 94.11 9.540 93.99  Apr 12, 10 34.000 85.558 770.92 98.100 3.335.40 882.90 94.10 95.060 882.90 95.060 9	; =	64.14	3,204.33	17.510	3,120.19	17.050	183.000	Apr 14, 10	
Account name: TRACY J MARTIN Account type: Simplified Employee Pension Account number: Simplified Employee Pen	S	57.47	4,359.99	17.510	4,302.52	17.279	249.000	Mar 29, 10	EAI: \$582 Current yield: 4.57%
Account type: Simplified Employee Pension  Account mumber: Simplified Employee Pension  Account type: Simplified Employee Pension  Proceedings of the per stare value on pension  Account type: Simplified Employee Type: Simp		- <del></del>							PRIZER INC
Account name: Simplified Employee Pension   Account type: Simplified Employee Pension		60.120	6,768.90		5,947.21		69.000		Security total
Account name: TRACY J MARTIN Account type: Simplified Employee Pension ber 2010  **Account type: Simplified Employee Pension**  **Track**  Track**    Number   Purchase price   Price   Price per share   Value on Ober 31 (3)   O	<u>v</u>	111.98	882.90	98.100	770.92	85.658	9.000	Apr 15, 10	
Account name: TRACY J MARTIN Account type: Simplified Employee Pension ber 2010  Account type: Simplified Employee Pension Account number: Simplified Employee Pension  Price Pension Account number: Simplified Employee Pension Price Pension Account number: Simplified Employee Pension Ac	3 5	320.57	2,550.60	98,100	2,230.03	85.770	26.000	Apr 14, 10	
Account type: Simplified Employee Pension  ber 2010  Account type: Simplified Employee Pension  Account number: Simplified Employee Pension  Track  Track  May 19, 05  35,000  35,000  34,353  1,202,36  6,36,900  57,787  5ep 15, 08  116,000  42,234  May 12, 08  164,000  42,392  1,34,89,21  19,540  2,266,64  1,934,05  1,94,05  1,94,05  1,94,05  1,94,05  1,94,05  1,94,05  1,94,05  1,94,05  1,94,05  1,94,05  1,94,05  1,94,05  1,94,05  1,94,05  1,94,05  1,94,05	; <u>v</u>	389,14	3,335.40	98.100	2,946.26	86.654	34.000	Apr 12, 10	EAI: \$105 Current yield: 1.55%
Account type: Simplified Employee Pension  Ber 2010  Account type: Simplified Employee Pension  Account number: Simplified Employee Pension  Account number: Simplified Employee Pension  Purchase price of shares (5) Cost basis (5) Price per share (7) Dec 31 (5) Dec 31 (5) Dec 31 (5)  May 19, 05 35,000 34.353 1.202.36 86.080 3.012.80 Sep 15, 08 39.000 57.787 2.253.71 86.080 3.357.12 Sep 15, 08 74.000 57.787 3.456.07 86.080 6.369.92  E Mar 7, 08 116.000 42.234 4.899.21 19.540 937.92 May 12, 08 48.000 42.392 2.034.84 19.540 937.92 3.204.55	1								OCCIDENTAL PETROLEUM CRP
Account type: Simplified Employee Pension ber 2010  SS - Common stock (continued)  Trade Trade Of shares  Number Of shares  Nay 19, 05  Sep 15, 08  39,000  57,787  Sep 15, 08  74,000  May 72, 08  Nay 72, 08  Nay 73, 08  116,000  42,234  48,902  48,900  Account number: Simplified Employee Pension  Price per share Value on On One 31(5)  On One 31(5)  Dec 31(5)  9  6,369.92  1,3484  19,540  2,256,64  2,37,12  2,53,71  19,540  2,266,64  2,37,12  2,34,84  19,540  2,37,12  3,456,07			3,204.30		6,934,05		164.000	!	Security total
Account type: Simplified Employee Pension ber 2010  Sep 15, 08 116,000  Account type: Simplified Employee Pension  Price per share Value on On One 31 (3) One	5	3720.92	79.792	19.540	2,034.84	42.392	48.000	May 12, 08	
Account type: Simplified Employee Pension ber 2010  **Account type: Simplified Employee Pension**  **Simplified Employee Pension**  **Account number: Simplified Employee Pension**  **Account number: Simplified Employee Pension**  **Price of State (S) Price per State (S) On Dec 31 (	; <b>5</b>	-2,632.57	2.266.64	19.540	4,899.21	42.234	116.000	Mar 7, 08	NRG ENERGY INC NEW  Symbol: NRG Exchange: NYSE
Account type: Simplified Employee Pension  ber 2010  **Account type: Simplified Employee Pension  **Account number:**  **Simplified Employee Pension  **Account number:**  **Tracke Continued)  **Tracke Aurhors Purchase price of shares Per share (5) Cost basis (5) Price per share (7) Dec 31 (7) Dec 31 (7)  **May 19, D5 35,000 34.353 1.202.36 86.080 3.357.12  **Sep 15, 08 39,000 57.787 2.253.71 86.080 3.357.12		F. 5 . 5 . 5	25.505,0		3,456.07		74.000		Security total
Account type: Simplified Employee Pension  ber 2010  Account type: Simplified Employee Pension  Account number:  Simplified Employee Pension  Account number:  Purchase price of shares Purchase price on Dec 31 (5) Dec 31 (5) Dec 31 (5)  May 19, 05  35,000  34,353  1,202,36  86,080  3,012,80	5	701385	3,357.12	86.080	2,253.71	57.787	39.000	Sep 15, 08	
Account type: Simplified Employee Pension ber 2010  Account type: Simplified Employee Pension Account number:  35 • Common stock (continued)  Trade Number Purchase price of shares Per share (5) Cost basis (5) on Dec 31 (5) Dec 31 (6) 9	<b>;</b> ;	100 41	20.2.00	86.000	1,202.36	34.353	35.000	May 19, 05	EAL: \$53 Current yield: 0.83%
ACCESS  Account type: Simplified Employee Pension  Account type: Simplified Employee Pension  Account number:  Equities - Common stock (continued)  Trade  Of Shares  Purchase price  Purchase	Ξ.	181044	304380	8	\ {				NOBLE ENERGY INC Symbol: NBL Exchange: NYSE
Account type: TRACY J MARTIN Account type: Simplified Employee Pension Account number:	period	1	Dec 31 (\$)	Price per share on Dec 31 (\$)	Cost basis (\$)	Purchase price per share (\$)	Number of shares	Trade date	Holding
ACCESS  Account name: TRACY J MARTIN Account type: Simplified Employee Pension Account number:			<u> </u>				ued)	ommon stock (contin	our assets • <b>Equities • c</b>
ACCESS  Account name: TRACY J MARTIN  Account the Simplified Employee Pension				•		Account number:		10	UBS December 20
	l Advisor.	Your Financial		RTIN rployee Pension	TRACY J MAI Simplified Em	Account name:			



Your assets . Equities . Common stock (continued)

Account name:
Account number:

TRACY J MARTIN

Page 16 of 22	Page	CPZ20007004851946 PZ2000276794 00002 1210X2 005634759 0 8D 14	PZ2000276794 00002 12	CPZ20007004851946				
ext page	continued next page							
	113.88	6,208.81		6,094.93		193,000		Security total
ŞŢ	5.67	900.76	32.170	895.09	31.967	28,000	Dec 22, 10	
ZŢ	9.15	836.42	32.170	827.27	31.817	≥6.000	Dec 21, 10	
SI	45.70	1,737.18	32.170	1,691.48	31,323	\$4.000	Oct 14, 10	
ST	53.36	2,734,45	32.170	2,681.09	31.542	85.000	Oct 13, 10	Symbol: TWX Exchange: NYSE EAI: \$164 Current yield: 2.64%
								TIME WARNER INC NEW
	340.67	5,813.78		5,473.11		262.000		Security total
4	383.85	2,596.23	22.190	2,212.38	18.909	117.000	Jul 22, 08	
Ξ	43.18	3,217.55	22.190	3,260.73	22,487	145.000	Jun 24, 08	EAI: \$66 Current yield: 1.14%
						:	;	TALISMAN ENERGY INC CAD  Symbol: TLM Exchange: NYSE
	-1,500.01	10.313.60		11,813.61		320,000		Security total
SŦ	12.26	451.22	32.230	438.96	31.354	14.000	Dec 1, 10	
15	68.59	1,289.20	32.230	1,220.61	30.515	40.000	Nov 30, 10	
ST	26.76	676.83	32.230	650.07	30.955	21.000	Nov 29, 10	
ST	-18.99	1,095.82	32.230	1,114.81	32.788	34.000	May 5, 10	
ST	-38.17	1,256.97	32.230	1,295.14	33.209	39.000	May 4, 10	
Ξ,	-1,550.46	5,543.56	32.230	7,094,02	41.244	172,000	Aug 2, 07	EAI: \$353 Current yield: 3.42%
	<b>,</b>							SANOH-AVENTS SA SPON ADR Symbol: SNY Exchange: NYSE
Ì	1,206.86	3,568.18		2,361.32		77.000		Security total
ធ	\$50.09	1,390.20	46.340	840.11	28.003	30.000	Oct 14, 03	
4	656.77	2,177.98	46.340	1,521.21	32.366	47.000	Sep 4, 03	EAI: \$116 Current yield: 3.25%
								RAYTHEON CO NEW Symbol: RTN Exchange: NYSE
	-4,566.26	7,810.14		12,376,40		323,000		Security total
5	-357.85	677.04	24.180	1,034.89	36.960	28.000	Jan 7, 08	
4	-881.55	1,692.60	24.180	2,574.15	36.773	70,000	Jan 4. 08	
듸	-261.88	459,42	24.180	721.30	37.963	19,000	Nov 16, 07	
5	-1,428.96	1,886.04	24.180	3,315.00	42.500	78.000	Dec 29, 05	
5	-290.15	846.30	24.180	1,136.45	32,470	35,000	Feb 5, 03	
딕	-562,00	967.20	24.180	1,529.20	38.230	40.000	Jul 10, 02	
Holding	Unrealized gain or loss (5)	Value on Dec 31 (\$)	Price per share on Dec 31 (5)	Cost basis (5)	Purchase price per share (3)	Number of shares	Trade date	Holding



ACCESS
December 2010

Account name: Account type: Account number:

TRACY J MARTIN
Simplified Employee Pension

Your Financial Advisor.

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Your assets . Equities . Common stock (continued)	Common stock (continu	ed)						
Holding	Trade date	Number of shares	Purchese price per share (5)	Cost basis (5)	Price per share on Dec 31 (5)	Value on Dec 31 (5)	Unrealized gain or loss (\$)	Hading period
UNION PACIFIC CORP  Symbol: UNP Exchange: NYSE								
EAI: \$91 Current yield: 1.64%	Mar 9, 04	60.000	30.796	1.847.79	92.660	5.559.60	3,713.81	-
UNUM GROUP								
Symbol: UNM Exchange: NYSE							3	3
EAI: \$115 Current yield: 1.52%	May 20, 10	183,000	21.368	3,910.36	24.220	4,432.26	521.50	¥
	Sep 17, 10	53,000	22.211	1,177.23	24.220	1,283.66	106.43	ST
	Sep 22, 10	76,000	22.266	1,692.28	24.220	1,840.72	148.44	ST
Security total		312.000		6,779.87		7,556.64	776.77	
VIACOM INC NEW CL 8			•					
Symbol: VIA.B Exchange: NYSE	•		,		5	3 95 1 07	795 30	=
EAI: \$191 Current yield: 1.51%	Uet 3, ua	72.000	43.711	, , , , ,		1 1 1		;
	Dec 22, 04	56.000	44.105	2,469.89	39.610	2,218.16	-251.73	; <u>-</u>
	Sep 12, 05	57.000	41.814	2,404.33	39.610	2,257.77	-146.56	5
	Jan 4, 06	61.000	41.989	2,561.35	39.610	2,416.21	-145.14	5
	Mar 6, 06	73,000	39,133	2,856.72	39.610	2,891.53	34.81	<u></u>
Security total		319.000		13,439.51		12,635.59	-803.92	
WELLS FARGO & CO NEW								
Symbol: WFC Exchange: NYSE							·- ·	1
EAI: \$46 Current yield: 0.64%	Jul 9, 02	71.000	25.775	1,830.03	30.990	2,20029	3/0.26	=
	Jul 10, 02	100.000	24.565	2,456.50	30.990	3,099.00	642.50	5
	Mar 23, 06	60.000	32,425	1,945.50	30.990	1,859.40	-86.10	ュ
Security total		231.000		6,232.03		7,158.69	926.66	
Total				\$267,704,25		\$302,462,40	\$34,758.15	
Total estimated annual income: \$4,448	\$4,448							
							-	

Indicates cost basis information provided by you or another third party. UBS IS has not verified this information and does not guarantee its accuracy.



Your assets (continued)

Account name: Account number:

TRACY J MARTIN

Total Equities 1 Your total assets Cash and money balances
Common stock Value on Dec 31 (5) 8,765.36 302,462.40 \$311,227.76 Percentage of your account 2.82% 97.18% 100.00% Cost basis (\$) 8,765.36 267,704.25 \$276,469.61 Estimated annual income (\$) \$4,448.00 4,448.00 34,758.15 \$34,758.15 Uhrealized gain or loss (\$)

# Account activity this month

for more information about the price/value shown for restricted securities, see important information about your scatteries: at the end of this document.

continued next page	9		!					
5,671.97	15.25				244	LOEWS CORP PAID ON	Dividend	Dec 10
	. <del>7</del> 1			70	ND AS OF 12/09/	UBS CASH RESERVES FUND AS OF 12/09/10	St Cap Gain	Dec 10
		Capacity: Agent	Location of Execution: 09 >	Average Priced Trade > SYMBOL GNW > CUSIPNO. 37247D106 > Location of Execution: 09 > Capacity: Agent	SYMBOL GNW	Average Priced Trade >		
	482,46	12.696400	38.000		₹CCA	GENWORTH FINANCIAL INC CLA	Bought	Dec 10
		Capacity: Agent	Location of Execution: 09 P	CUSIP NO. 59156R108 ▶	SYMBOL MET	Average Priced Trade > SYMBOL MET I		
6,138.47	-939.11	40.831000	23,000			METURE NO	Bought	Dex 9
	21.76				ON 136	MICROSOFT CORP PAID ON	Dividend	Dec 9
		Capacity: Agent	Location of Execution: 01 >	Average Priced Trade > SYMBOL GNW > CUSIPNO. 37247D106 > Location of Execution: 01 > Capacity: Agent	SYMBOL GNW	Average Priced Trade >		
	-347,78	12.420700	28.000		NC CL A	GENWORTH FINANCIAL INC CLA	Bought	Dec 9
		Capacity: Agent	Location of Execution: 09 P	Average Priced Trade > SYMBOL GNW > CUSIPNO. 37247D106 > Lox	SYMBOL GNW	Average Priced Trade >		
7,403.60	-349,45	12,480400	28.000		INC CL A	GENWORTH FINANCIAL INC CLA	Bought	Dec 8
		Capacity: Agent		Average Priced Trade ▶ SYMBOL SNY ▶ CUSIP NO. 80105N105 ▶ Location of Execution: 09 ▶	SYMBOL SNY	Average Priced Trade >		
7,753.05	438.96	31.354000	14.000		N ADR	SANOFI-AVENTIS SA SPON ADR	Bought	Dec 6
		Capacity: Agent	Location of Execution: 09 >	Average Priced Trade > SYMBOL SNY > CUSIP NO. 80105N105 > Location of Execution: 09	SYMBOL SNY	Average Priced Trade >		
8,192.01	-1,220.51	30.515300	40,000		N AOR	SANOF-AVENTIS SA SPON ADR	Bought	Dec 3
		Capacity: Agent		Average Priced Trade P SYMBOL SNY P CUSIP NO. 80105N105 P Location of Execution: 09 P	SYMBOL SNY	Average Priced Trade >		
9,412.62	-650.07	30.955700	21.000		NADR	SANOFI-AVENTIS SA SPON ADR	Bought	Dec 2
10,062.69	11.55			231	W PAID ON	WELLS FARGO & CO NEW PAID ON	Dividend	Dec 1
	95,04				528	PRZER INC PAID ON	Dividend	Dec 1
	19.95				D ON 190	KROGER COMPANY PAID ON	Dividend	Dec 1
\$9,936,15					108	Cash and money balance		Nov 30
money balance (\$)	amount (5)	Price/Value (\$)	Face value	expense code		Description	Activity	Date
-								

CFZ20007004851948 FZ2000276794 00002 1210X2 005634759 0 8D 14

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Member SIPC



UBS Financial Services Inc \$1 BROADWAY FARGO #ID 58102-4972

2010 Year End Summary

Account name: TRACY I MARTIN

Account type: Simplified Employee Fension

Account number:

Your financial Advisor:

### CF220048519537210 X2 45 0

### TRACY J MARTIN

# Summary of gains and losses

Total \$12,593.00	
Long term 9,789.54	
Short term 2,803,46	
Amount (§)	

## Realized gains and losses

Estimated 2016 galow and losses for transarberrs with reade done through 1201/10 have been inconstructed into the subject and losses, we bounded in older women to little this is known as the forest as the forest and the forest income on 250 acronning method. We use the method unless you specified which tax to to observation you blood your order. This is himmen as a versus puschases or VSP mater. See emporaging withorms now about your statement for more information.

From: UBS

We may not adonst gains and bases for all capital trisloges. Cost bows for coupons as eventual more paid securities, and the securities subject to AMT. has been adjusted to mandature an articulation of brind premient. Solitates in the Unitarialised section has odd for testalises as shot form or bong team but automination is massing, at the product is one to which the quartions calculations is not an excluded.

## Short-term capital gains and losses

			CITIGROUP INC	Security description
	FI O	FIFO.	子の	Method
	400,000	324,000		Quantity or Purchase Sole face value (5) date date
	400,000 Dec 17, 09	324.000 Dec 17, 09	145,000 Dec 17, 09	Purchase dure
	Mar 17, 10	Apr 14, 10	Apr 16, 10	dea S.A.
	1,630,49	1,578 98	666.78	Sale Sale (5)
abel iva: panudum	1,273.00	1,031 13	451 16	Punituse (3) Los (3)
			i	(C) 405
ажра	357.49	547.85	205.32	(3) Gain (3) Or loss (4)
abelt was panuluso				Or loss (%)

Page 1 of 4



Account name: Account number:

TRACY J MARITH

Short-term capital gains and losses (continued)	s and losses	continued						
Security description	Method	Quantity ix face value (5)	Purchase date	Cate	Sale amount (\$)	Phrchage amount (\$)	Loy: 15	(S)
COMCAST CORPINEW SPECIAL			† †					
Cra	FIFO	3 000	Aug 21, 09	Mat 18, 10	134,54	13453		20.61
	OMS	27,000	Aug 21, 09	Mar 17, 10	453.07	386.59		<b>5</b> 6 52
	FIFO	113 000	Aug 20, 09	Mar 76, 10	1,883.65	1,582.61		301.04
	₽.O	15,000	Aug 21, 09	Mar 16, 10	250 04	214.75		35.29
CONOCOPHILIPS	580	13,000	Nov 25, 09	Jun 16, 10	763.40	685 4.4		17.96
	÷#O	15.000	No. 27, 09	Jun 16, 10	817 61	776.90		34.71
	₩0	2 000	Nov 20,09	Jun 15, 10	108.36	103.94		4.42
	7ICO	20,000	No. 24, 09	Jun 15, 10	1,083.64	1,045.79		37.85
	Ę	7 000	Nov 25, 09	Jun 15, 10	379.27	369.09		10.16
	₹5	8.000	Nov 20, 09	Mar 26, 10	408.22	415.76	3.7	•
CVS CAPEMARK CORP	Oats	33,000	Nov 05, 09	Jun 15, 10	1 057.38	950 03	1	5E.708
	E C	18,000	NGV 05, 09	Jun 14, 10	577,72	\$18.20	  - 	59.52
MOSAIC CO	SHÇ	21,000	Jun 21, 10	OC! 08, 10	1.391 18	911-88		479.30
	FIFO	2 000	kun 21, 10	Oct 11, 10	133.18	36 85		金金
	FIFO	21.000	Jun 22, 10	Oct 11, 10	1,398.30	918.53		479.86
Total		,			\$14,649.90	\$17,846.44	-\$7.54	\$2,811.00
Long-term capital gains and losses	s and losses							
Security description	Method	(\$) aniev sce) to Attrouce	Purchase date	Sale Care	Sale Smount (\$)	Purchase attenued (%)	Loge (\$)	San 18
ARTHA INC	FIFO.	75,000	May 06, 09	Nov 19, 10	2,278 96	1,457.79		/1163
	FFO O	5 000	Oct 31, 03	Nov 18, 10	151.70	70.20		31 50
	H-FO	15,000	Mai 06, 09	Nov 18, 10	455 10	291 56		163.54
	SHO SHO	33 000	Ort 31, 03	Nov 17, 10	1,601.73	463.32		538 4 !
	al o	13,000	Oct 31, 03	Nov 16, 10	396.6R	182.52		214.16
	套合	27,000	Oct 31, 03	Nov 15 10	01.74:8	379.08		465.02
AON CORP	Ouls	11 000	Nov 11, 02	Apr 25, 10	484 52	19633		285 19
	E S	5 000	Jul 14, 63	Apr 23, 10	220.24	117.53		102.71
ARKING	₽₽O	49,000	Dec 29 ()5	May 06 10	1,749.64	1,207 85		41 79
	FIFO	80,000	Oct 78, 05	May 05, 10	2.061.06	1 896 16		164.90
	HFQ.	18.000	Dec 29, 05	May 05, 10	463 74	443 70		20.04
						_		כסיונות של לי נואים כיוסנ

CFZ20G0700485195- P22000276794 00500 | 12 0X7 0X5534750 0 80 14

Page 2 of 4

ACCESS	Account name:	TRACY I MARTIN	Your Financial
	Account type:	Simplified Employee Paysion	
	Account number:		
			,,-
ed dains and losses (continued)			

San San				Account type: Account number:	Simplified Employee Pyrson	noskie es		700	TOUR PRESENCES ACCORDED
Realized gains and losses (continued)	S (continued)					<u></u>			
Long-term capital gains and losses (continued)	and losses (cor	(trinued)			•				
actually described	Meltkid	Caudiday or Jace value (\$1	Purchase date	See See	Control (control (con	Purchare Bracum (\$)	las d	(1) pref.	18 SSH 30
CBS CORPINEW CLB	AFO.	41 000	Sep 12, 05	Dec 17, 10	,61 \$1	1,135.25	-373.47	1	
	086	37,000	Dec 22, 04	Dec 16, 10	563,A3	3,071 <del>36</del>	-407 33		
	540 043	16 000	Sep 12 (6	Dec 16, 10	286,39	443 03	-166 14		
	EX.	14 000	Dec 22, 04	Dec 14, 10	251.93	405,34	. 453.41		
	FISO	5.000	Dec 03, 644	Dec 13, 10	90 10	143 47	.53.3		
	FFO	5,000	Dec 22, 04	Dec 13, 10	30 10	144 77	54.67		
	APC	45,000	Dec 03, 04	Dec 10, 10	827.98	1,319,94	4 :57		
	GALL	21 000	Dec 03, 04	Dec 08, 16	377 80	602.58	.224.7E		
CONOCOPHILLIPS	-SS	6,000	50 OI 10	Mar 36, 10	306.16	171.46		27.20	i i
	1460	36 090	Apr 15, 05	Mar 26, 10	1.836,97	2 284 38	-147.17		
FRONTIER COMMUNICATIONS	품 6	13 000	10 50 for	Je 1-1 10	99 77	125 61	75 84 1		1
	SEC.	10 000	JL3 10, 02	Sep 14, 16	76 74	92.33	15 59		
	FRO	5.000	Sep 30, 03	Sup 14, 16	46.05	49.68	-3 03		
Ambanda (Ambanda) and an ambanda and an	Adjustment	0 524	Jul 09, 02	10 SC PP	3 80	38	11.0		
GENWORTH FINANCIAL INC	•		75 75	;	2		; ;		
3	# F	72,000	May 25, 04	Age 08 10 0 10 10 10 10 10 10 10 10 10 10 10 10 10	1.323.11	95.68€1	-66.45		
HALLBURTON CO								1	
(HOLDING COMPANY)	FIFO	24 000	Nov 12, 08	Dec 13, 10	983.71	422 15		26, 06	
	880	12.000	Nov 12, 08	Der 10, 10	484.02	211 07		272 95	
	#C	000	NOV 12 OR	Dec 09, 10	646.62	281,43		365 14	i j
PANDROAN CHASE & CO	- FG	24,000	199.02	Apr 16, 10	1,105.48	776 64		373.84	
	<b>₹</b> C	5.000	lul 10, 02	Aug 27, 10	321.69	297 82		2187	
	TIFO	8.000	Jul 69, 6:2	Aug 26, 10	513 57	489 29		34 28	
	O.M.	16,000	kd 09, 02	Aug 25, 10	1,032,20	978.59		53.é1	
	¥0	ù00 6	Jul 09, 02	Aug 24, 10	583.43	550.45		#9.98	
MERCK & COINT NEW COM	FFO.	/9 000	Dr. 09 08	Dec 20, 10	2,885.15	2,062.41		802.74	
	SEC.		F-1, 77 00	Dec 20, 10	109.56	75,06		14 S	
		3000	* CO . 7. US	Sec. 11.1		COMPANY OF PERSONS ASSESSED.	T 11		

Page 3 of 4

From: UBS



Account name: Account number:

TRACY I MARTIN

Realized gains and losses (continued)

Long-term capital gains and losses

II. I	7		INC FI	VERIZON COMMUNICATIONS	T.	UNION PACIFIC CORP.	Ť	1)	ľ	RAYTHEON CO NEW FI	PHILIP MORRIS HATLING		프	2	Ξ.	-		NOBE ENCROY NO	#	·		Sex unity description
	EFC:	\$ <del>2</del> 0	PPC		B	E	3	LIFO	¥0	FFO.	₹	FFO	준	#0	문	HIO	골	哥	H.O.	A C	FIFO	Methad
	25 000	45 000	53.000		16.000	10,000	9000.9	24,900	12,000	000 01	36,000	15,000	6.000	5.000	12 000	4,000	34 000	1 000	24,000	1.000	12 000	Quantity or tace value (%)
	Sep 30, 03	Jul 10, 02	Jul 09, 02		May 09, 0a	Mar 09, 64		Dec 09, 02	Sep 04, 03	Sep 04, 03	Jul 09, 02	Feb 08, 05	Feb 08, 05	Feb 08, 05	Feb 08, 05	May 19, 05	May 19, C5	May 19, 05	Jun 30, 00	ian 30, 09	Jun 30, 09	Pui chasa data
	hui 20, 10	Jul 20, 10	Jul 20, 10		Feb 26, 10	Mar 01, 10	Feb 19, 10	Feb 19, 10	Jun 03, 10	Jura 15, 10	Sep 27, 10	Jan 25, 10	Jan 26, 10	Feb 17, 10	Mar 02, 10	Oct 11, 10	0:1 12, 10	Oct 15, 10	Aug 17, 10	Aug 18, 10	Aug 20, 10	Sale date
\$45.582.21	665.78	1,198.40	1,411 45		1,081 44	675.63	331.62	1,326 48	636.93	528.33	2,003.64	1,175 44	466.60	456.28	887 93	310.30	2,609 64	78.14	1,338.62	57,16	6784/	Sale Ameuni (\$)
\$35,792.67	728 34	1,479.50	1,827,17		492.74	307 96	194 20	676.28	5E 88E	323.66	69.568	448 89	1/9.55	179.55	11 65E	137 41	1,168,01	34.35	67 580'1	45.14	541.64	Purchaw Amount (\$)
-\$3,251.57	-52 46	-261.10	-415.72								;;											i.054 (1)
\$13,041.11					588.70	367.65	137.42	650 20	248.54	2011 67	1,109.95	126.55	287 05	278.73	5,28.82	172 89	1,441 63	43 79	255,33	12 02	136.83	Gar. (S)
\$9,789,54							-												1			or loss (3)

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DEDUCKELLE JETAG BOAST DELANTSA

AETHA INC TRADE DATE: 11/18/2016 58:30% 66600:#@PEI;

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GENERAL MOTORS OD TRADE DATE: 11/22/2010

SANOFI-AVENTIS SA SPON ADR TRADE DATE.

SANOFI-AVENTIS SA SPOK ADR TRADE DATE:

GENWORTH FINANCIAL INC CL A TRADE DATE:

SECOND CISCE POPUL

CENERAL MOTORS CO Trade#:#404 Rioc85

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28:306 859(0:4904)T

(Lade#:70523 Bloc85

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Tradest.25585 Biot:85 CBS CORP NEW CL 8

718de#:22188 Bloc:85

DESCORPINEW CL. B. 1489F#:1380T BIOE:82

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Trade#:21642 Blot:85

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Trade#:72322 Bloc.85

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DNI NEZIA ZBIJON EXTOP: #306TT

PFIZER INC.

Filtered by: Enery Dete 01/01/2010-12/31/2010, Trades, Money Market Excluded, AMEX Debits Excluded

LINE MYBREG INC NEM Trade#:73312 Bid:85

SEIZER INC TRADE DATE: 12/22/2010

PETZER INC.TRADE DATE: 12/27/2010

Description

MEBOX 8' CO INC NEW COM TIME WARRER INC NEW Trades (05888 BICESS

CBS COAS MEN OF B Trade#:38529 Biot:85 CBS CORP NEW CL B

CERS CORRUMENT OF B 28-YOLZ 89176:4-9PBJ

DVIE: 13/09/2010

GENMORTH FINANCIAL INC CL A GENWORTH FINANCIAL INC OLA
Trader:52043 BIOC185

*REMANDISTH FINANCIAL INC OLA* 78:10/8 P.5860:14:ebs17

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COS CORP MEW CL & TRACE DATE: 12/13/2010

HALLIBURTION CO (HOLDING COMPANY)

н**и**ттвандой со (нограме сомьчит)

SANOFI-AVENTIS SA SPON ADR SHIPPE TOPSO: # SDOTE

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### Tracy J Martin (Spouse) SEP-UBS

UBS Financial Services Inc. 08:72:11 11/21/20 -

Gauerated On: 05/15/2011

Account # in View: BD05242

Page 1 of 4

InuomA	Prioc/Detail	Quantity	Type	Symbol / Security#	Description Description	Activity	Settle Dete	Eathy Defe
Z'100'1\$	955.05\$	1712 CF	ī	Z200+00-T3A	56:349 8:46:85 AETNA INC T(8068:57017 8:46:85	onos	01/22/11	01/21/11
39 <del>86.</del> 8	F12.0E\$	00n (3	ī	£20400-T3A	ARTHA INC TRACE DATE: 11/16/2010	cnos	01/61/11	01/21/11
រដ្ឋាយមា	\$11,529	000.8E	<u></u>	CH6221-MND	GENWORTH FINANCIAL INC CL A TRACES: 69185 Block5	THOUCE	01/90/11	01/03/10
A Poly S	015-11\$	000.S2	ī.	CHAN T33883	GENWORTH FINANCIAL INC CL. A TRECE#:37541_BIOC85	BOUGHT	01/60/11	01/10/11
() 58e5	204.11.8	000.8%	ī	GRAH-1779R7	GENWORTH FINANCIAL INC CL A Trade#:24784 8bb:85	THEUCH	01/03/10	01/62/01
1.84\$	861.858	930 I	1	S9J162-Y9N	NOBLE ENERGY INC. Trade#:05874 Blot:85		. 10/50/10	.01/51/0
F 17911\$	ESE.16\$	000. <b>№</b> 2	ī	IMC82F-KML	TIME WARNER INC NEW TYBUCHEN SHOURS	BOUGHT	01/61/01	01/+1/0
KY 189125	ZHS'TE\$	000.28	ľ	[WZ856-XML	THE WARRER INC NEW TRACES SECTOR	THOUGH	01/81/01	OT/ET/IO
9.609,2\$	956.96\$	160.41	ı	S9-1162-19N	NOBITE EMERGA INC LISTORIA: 804:89 NOBITE EMERGA INC	anos	01/51/01	01/21/10
\$310'30	(12.11)	CG1'5	ī	WBL-291FBS	MOSALC CO	mos	10 <b>1</b> 1401	01/11/0
(5'155'1\$	165.884	000.55	1	6HVSDE-SOM	MOEALC CO Trade#14336 Blot:85	mos	01/1/01	01/11/0
81.19E,12	Z+7'99\$	200 1	ı	6HV506-50M	Trade#158853 Bloc:85	anos	10/14/10	01/20/0
9.500,5‡	<b>∠59′5</b> 5\$	( <b>5.4)</b> 9.	ī	ONG POE ING	Trace#:56378 Bloc:85 KIMBERLY CLARK CORP TRACE DATE:	G109	01/0E/60	01/47/6
06'EE1'1\$	PO7.332	907 21	ī	0097PS-8HDI	04/22/2010 Trade#:51053 8fot:85 Trade#:51053 8fot:85	mos	01/22/60	9/23/10
K.566,13-	992.22\$	000.8%	1	CHIM-HOESES	Linder 12503 Blates	THOUGH	01/27/50	01/22/10
CZZTIS	112.552	000°E5	ī	SPEAGH-MNU	Trade#:44062 Bloc65 FRONTTER CONHIGNICATIONS CORP	BOUGHT	01/27/60	01/21/6
9 1 CES 95 7 7 7 \$	P/9'/\$	060°67	1	FTR-1593Z5	Trade#:90363 Blot:85	mos	01/10/60 01/21/50	01/22/9
17885118 59'1788	ETE.A32	ngers	t t	C2-1894H1 KWB-54\600	Trade#142495 Blut:85 GOLDMAN SACHS GROUP INC	SOLOS	01/11/10	01/92/8
SETS\$	Z61.F3\$	200.4	Į.	KM6-247600	KIMBERITA CITYIK CORb 11896-1:28350 BIK:82	onos:	01/15/80	01/92/9
T'010'15-	106.1112	000.7	ī	CS-1984H1	Trade#:87230 Block55	THOUCH	01/02/80	01/57/10
02.5E0,1\$	£15. <del>59\$</del>	160 91	ţ	1003/1-247600	TROOM 9200 BIOLES KIMBERLY CLARK CORP Trade#:00284 Biol;85	0706	01/05/90	0T/SZ/B
EP.E82\$	928.+9\$	364) 6	ţ	00924Z-8HCI	Trade#:16669 Biot:65 KIMBERLY CLARK CORP	cnos	01/27/80	01/02/9
<b>₽£</b> '₽ <b>Z</b> 8\$	116'95\$	00760	1	6HY50E-SON	MOSALC CO	mos	01/92/90	01/EZ/10
∠ <del>1</del> -8∠9\$	042.82\$	00d (1)	i	EHAZOE-ZOM	MOSAIC CO Trades:10325 Bloubs	cnas	0t/S7/90	OT/0Z/9
9175\$	Z91°ZS\$	ufo T	l	6HV50E-SOW	OC CALC CO 2501:80	0106	01/52/80	01/81/6
53.8EE,12	LCL'55 <b>\$</b>	900°¢?	1	6HMSOE-SON	MOSAIC CO Trade#:07863 Sht:85	0105	01/02/80	01/21/8
Pa.275.8	169.85\$	960 EXT	1	EA926P.SV	(1898%:25282 BOI:82 AEKISCN: COMMUNICYJION2 JAC	cros	01/CZ/LO	05/02/4
D8.E <b>\$</b>	8675 THES	000.0	1	FZE651-NL4	PROMITER COMMUNICATIONS CORP. LINCOLN NATL CORP IND TRADE CATE:	USASH IN LIEU	01/20/20	01/20/2
(SPRET HE	PCP.7 <u>C</u> 2	000,121	ī	TWC-501251	\$83G 8 9562/4/3peri 0002/27/90	вопеки	0t/sz/90	OT /EZ/9
64 SIE	eet.eaz	\$1,000	1	6HV50E-SOH	MOSAIC CO # # # # # # # # # # # # # # # # # #	BONEHU.	01/52/90	01/22/9
436B 15	ZSP.EP\$	000.€≤	1	6HVS0E-SOW	COHOCONHITTIE2  JUSQUE#: 69348 BRUE82  WORVIC CO	145008	01/67/90	07/17/9
10.212,1\$	801.152	rag 82	5	9MI-201-800	25:30% MISI:#abenT	anos	01/12/90	01/91/9
£.825\$	EES.SS\$	990,61	7	MIN-381724	Trade #:42662 Bioling Byatheon Co Nem	anos	01/91/90	01/51/9

JE10047 - Client Inquiry - Activity for

<b></b>	L	<u> </u>	<b></b>	4				
8428158	196.978	<b>60</b> 0.9	1	NBF-36ThB2	MOBLE ENEWRY INC Frade#:32615 Biot/85	010S	01/22/29	በ፣/ረ፣/20
01-959'ts	DYS.222	DG3′ JC-	ī	bzz16E-Man	RAYTHEOU CO NEW Second 16682**	ഗരം	<b>01/42/</b> 2.0	01/61/20
\$1180 <b>75</b> -	ESB. NEL	000.9	1	08/850-114	Trade#158477 Blol.85 ANCLOGGLD ASHAVITLITD NEW SPON ADR	BORGHI	01/03/10	01/58/25
19'5/9\$	<b>295</b> '29 <b>\$</b>	<b>50</b> 0°01	ī	901691-dNA	Trade#:63292 Bloe:85	mos	01/10/50	01/10/20
H-180,12	165'/9\$	000191	ī	30169F-9NU	Trades : 16874 Blocks Union Pacific Corp Trade Date: 02/26/2010	anos	01/60/60	01/10/60
£67.682	966°E/\$	000771-	1	S84162-18N	MOBEE ENERGY 1NC	anos	DT/50/60	01/20/60
69:EET'2\$	699:91\$	1387000	ī	1.2.2500-3153MD	COMOAST COAST NEW SPECTAL OL A Traden (1928) Bloebs	<b>310</b> 5	01/61/60	01/91/E0
ep.068,1\$	920°14	<b>00</b> 0/00#-	ī	C-504204	CITIGROUP INC Tradea-sec23 Bourbs	G10S	03/55/40	01/61/60
4423.07	08Z '9T\$	000°64°	3	CNC2K-05953.1	COMCAST CORP NEW SPECIAL CL.A. Trade#187313 Blochs	GKOS	03/22/20	Or\\\\\
₽5- <b>₽€1\$</b>	618 91\$	040.8-	:	CMCSK-036271	COMCAST CORP NEW SPECTAL, CL. A Trade#:38f1C Bloc:85	മാറട	OV/EZ/ED	QF/B1/E0
94-155'2\$	DE0'15\$	000.02-	t	COP-1024W6	Trede#184134 Brct85	onos	01/16/60	03/56/10
75-505.A2-	e75.71 <b>\$</b>	000,6≯⊊	ī	11007E- <del>31</del> 9	PFIZER INC PFIZER INC	BOUCEN	91/10/10	03/58/10
SC-155'2\$	(20°15)	00U US	ī	COP-1024W6	Trade#:24842 BIOSB2 CONOCCIPHELLIPS TRADE DATE: 03/26/2010	010S	01/15/50	01/06/50
96-162,54-	0£0°TS\$	000:05	Ţ	COP-1024W6 *	Tradem: 64114 Biot:85 CONOCORMITTIES 18NDE DATE: 03/26/2010	CANCEL SELL	03/31/10	01/06/60
11.656,13	9 <b>2</b> E'81\$	006'7 <i>L</i> -	ľ	CHAN-T13863	GENWORTH FINANCIAL INC CL.A. Trade#:00102 Blob:85	0105	01/51/10	01/80/10
97.545.25	r29.3 <b>62</b>	34.000	τ	<b>₹61-31-5-YX</b> O	OCCIDENTAL PETROLEUM CRP Trade#:54166 Bud:85	PHOUGHT	01/51/10	01/21/10
86.872,12	E78.N2	354.000	ī	C-504204	Trade#1522277 BIOC/85 CLETGROUP INC	0705	01/61/10	01/b1/b0
15.886\$	98+81\$	000112-	1	CNW-1779R7	CEMMORTH FINANCIAL INC CLA	നാട	01/61/10	01/11/10
\$5,130.19	050'21\$	163'000	ī	1100/E-379	LINGS :46188 BIODS	BOUGHT	01/61/60	01/61/60
£0:022,5\$-	0VT.28 <b>\$</b>	000°9z	ī	76434E-YXO	OCCIDENTAL PETROLEUM CRP Trade#158249 Bloc85	THEOUGH	01/61/10	01/14/10
26.077.	859.28\$	00076	ī	<b>₹64-34€-</b> YXO	OCCIDENTAL PETROLEUM CRP	BOUGHT	01/02/10	04/12/10
87.333\$	965.14	000:557	1	C-SO4204	(1116/800/p BINC)	onos	01/12/10	01/91/10
9+·501'1\$	Z90'9 <del>1</del> \$	100 bt	T .	SHIFF-MAI	CD & BZAHO WADRONYI. ZB:1059 095SC: #90kit	onos	01/12/ <b>&gt;0</b>	01/91/ <del>1</del> 0
97:1/9/15-	\$98'97\$	000:96	ī	11007E-3790	PFIZER INC Trade#166363 Blot:85	BOUGHT	01/6Z/FG	01/92/10
94:102\$	800.002	203191 ·	ī	MON-623701	AON CORP Trede#:26619 Blor:85	cnos	01/140/50	01/62/10
bi'562'i\$-	805.50\$	000.6€	τ	67890F-YN2	KIA NONZ AZ ZITNENVA-THONAZ 28.1018 SZ BEZ: NEDBOT	BOUGHT	01/20/50	01/10/50
08·ÞZS'Z\$	£37.252	200,80	ī	87E800-T	OHI TATA 28:1088 800PF: #abs) T	¢nos	DT/01/50	01/90/90
[9'817'H-	887. <u>432.</u> 788	000.PE	ι	67ZBO⊬-ANS	SANOFI-AVENTIS SA SPON ADR Trade#:30537 Blot:85	BOUGHT	01/01/50	01/50/50
P8:665,1\$	E05.22\$	303 65-	1	81£ <b>80</b> 0-T	7.67 INC 28:308 P7750:#906:17	anos	01/11/50	OT/90/\$0
3),6852	£25°02\$	14.000	t	CA-068855	CA JHC Trade#:77226 Biot:85	HOUGHT	01/02/50	01/21/50
70:315-00	99C:15\$	000.681	1	-SPESSE HINU		1H9008	01/52/50	01/07/50
14:928'15:	161-55\$	000.2£	ı	AMGN-023825	Trade#:71252 BIOE85 AMGEN INC	BOUGHT	01/88/50	01/SZ/5Q
£6.3E9\$	640.E2 <b>\$</b>	(60) 51-	1	PX/16E-NU8	11999\$:35417 BRISS? BYALHEON CO NEM	cros	01/80/90	01/20/90
21:115\$	250.50\$	C00-51-	<b>.</b>	INTEZO-SAD	CVS CAREMARIK CORP Trada#:38550 6lot:85	encs	61/21/90	91/1/90
85.172,12	£81.42 <b>\$</b>	5281°67°-	ı	COP-1024W6	Tradem:54798 Biologs Conocommic IPS	<b>010</b> 5	01/81/90	01/51/90
					28:908 088EF:#-988TF			
muomA	Price/Debail	Quantity	Type	Symbol /	Description	Activity	ottio2 siss0	Entry Oste

fauomA	Price/Detail	Quantity	Type	Symbol / Security#	реасціяння	VCDAKA	श्रीकृत राज्य	rdn3 este
3514 6\$	\$6.322	900°F2	t	9E5140E-10M	MOTOROLA INC ZBJOHB 13222;#abeit	Воиснт	05/04/10	01/10/20
56 2 <del>46</del> 3	181.93	000.0⊁r	ı	95540E-10M	MOTOROLA INC TRADE DATE: 01/29/2010 Trade#:96692 Blot:85	BONGHL	01/10/20	01/10/79
08/08/8/28	198:59\$	000.PE	ī	IFEATO-ONO	CHANDLING MATURAL RESOURCES LTD Trade#:13780 Bict:85	ROUGHT	01/52/10	01/52/10
9466.60	196.412	U0319	t	28416S-18M	MOBLE ENERGY INC	mos	01/52/10	01/ <b>52</b> /10
\$5.2095	955.99\$	000.8	ı	CKQ-024331	CANADÍAN ANTURAL RESOUNCES LTD THREE 18063 BIOLBS	BOUGHT	01/82/10	01/52/10
bb'\$ZI'I <b>\$</b>	E98'82\$	05/0141	ı	58-J15Z-78H	LUNGET SOLD BIOLOS  TONORE ENERGY INC	(110S	01/82/10	01/52/10

A Displayed as an additional transaction, the above bank cast activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

The day otherwise, it is debited from your account on the following business and some cash and sections and every bades are estimates, and may not match what is charged to the account. Placed Income appear, bades may not included intended to the account. Placed Income appear, bades may not because the cash account account it is burn to the account. The first and every bades are referred belarwing the intended to the feet of the first and every or may not included eithholdings or clerk accounts. All information presented is any time and into one presented is for information presented is any time account account as and into or clerk accounts and official into one of intended only as of the days indicated. The first account satisfies the reports of the days indicated. The first account satisfies the reports. Clerk reports on an intended by any of the information presented in these reports of only account to a sincended by any of the information presented in these reports. Clerk reports of the days indicated by any of the information presented in these reports. In the presented by any of the information presented in these reports. In the presented by any of the information presented in the contracts or as in otherwise.

## Richard A. Berg SBT Investments Account

Account:
Name: RICHARD A I
Account Type: Indiv
Portfolio Date:

# Realized Gain and Loss View

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This report is provided for informational purposes only. Official transaction, account, and tax information is provided separately in your trade confirmations, account statements and tax reports. Do not rely on this information for tax reporting. Consult with your tax advisor, information in this report is obtained from sources believed to be reliable. However PRIMEVEST does not warrant the accuracy, completeness or curre any third party information.

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You must examine all information transmitted to you herein and report any errors or irregularities to your R.J.O'mrien intermediary or the R.J.O'mrien of the Compliance Department [1-800-621-0757] immediately. Fallure to immediately advise of any such intermediately. Fallure to the execution of the transaction in extract or irregularities before the opening of trading on the transmitted information is correct and has been rabilized by you.

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INTRODUCED BY

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STATEMENT DATE: ANK 30, 2010

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FOR FURTHER INFORMATION REGREDING THIS STATEMENT OR R. J. O'BRIEN BROKERAGE SERVICES, PLEASE VISIT WAY L'JODILER. COM

You must examine all information transmitted to you berein and report any errors or irregularities to your 8.0.0'Brien intermediaty or the 8.0.0'Brien compliance begaring to your \$1.000-621-0757] immediately. Failure to immediately advise of any such transmitted in the transmitted in the transmittent in t

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INTRODUCED BY
PROGRESSIVE AG MARKETING, INC.

KORLET'A COMPODILA SIVLEMENI

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STATEMENT DATE: MAY 31, 2010

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ACCOUNT

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ACCOUNT NUMBER:

222 South Riverside Plaza #900 Chicago, IL 60606 312.373.5000

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FOR FURTHER INFORMATION REGRESSING THIS STATEMENT OR B. J. O'ERIEM HECKERAGE SERVICES, FLEASE VISIT WWW.T. JODI'LED. COM

You must examine all information transmitted to you havein and report any errors or irregularities to your R.J.O.Brien intermediary or the R.J.O.Brien Compliance Department [1-800-621-0757] immediately, Failure to immediately advise of any such trivers or irregularities before the opening of trading on the trading day subsequent to the execution of the transmitten in dispute will be deemed your agreement that the transmitted information is correct and has been ratified by you.

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MONTHLY COMMODITY STATEMENT

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PROGRESSIVE AG MARKETING, INC.

STATEMENT DATE: JUN 30, 2010

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ACCOUNT NUMBER:

FOR FURTHER INFORMATION REGREDING THIS STATEMENT OR R. J. O'BRIEN SECREBAGE SERVICES, PLEASE VISIT WWW.TJODILGH.GOOM

You must examine all information transmitted to you becoin and report any arrors or irregularities to your R.J.O'Brien famodiatry or the R.J.O'Brien Compliance Department [1-500-621-0757] immediately. Failure to immediately advise of any such errors or irregularities before the opening of trading on the trading day subsequent to the execution of the transaction in dispute will be decemed your agreement that the transmitted information is correct and has been ratified by you.

STATEMENT DATE: JUL 30, 2010

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INTRODUCED BY WARRETING, INC. PROCEEDING, INC.

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222 South Riverside Plaza #900 Chicago, IL 60696 512-375-5006

FOR FURTHER INFORMATION RECARDING THIS STATEMENT OR R. J. D'HRIEN EROKERAGE BERTICES, PLEASE VISIT WWW. XJODILLEN. COM

You must examine all informetion transmitted to you herein and report any errors or irrequisableis to your 8.1.0 Bries the factors or the R.U.O Bries Compliance Department [1-800-621-0757] inmediately. Failure to immediately advise of any such intermediately before the opening of the transaction in extrement to the execution of the transaction in dispute will be decomed your squeeners the transmitted information is correct and has been ratified by you.

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PROGRESSIVE AG WARKETING, INC.

A.O.Brien

222 South Kiverside Plaza #900 Chicago, IL 69606 512,573,5000 anto@iobnien.com

ACCOUNT NUMBER:

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FOR FURTHER INFORMATION REGARDING THIS STATEMENT OR R. J. O'BRIEN MEDIERAGE SERVICES, PLEARE VISIT WWW. IJ ODYLGD. COM

You must examine all information transmitted to you have in and report any extors or irregularities to your E.J.O'Erien Compilance Department [1-800-621-0757] insectiaty. Failure to immediately advice of any such intermediaty or the R.J.O'Erien Compilarities before the opening of the trading on the trading on the transmitted fay subsequent to the execution of the transmitted information is correct and has been ratified by you.

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MUNICIPAL COMMODITY STATEMENT

moznaindojz@olni 512.373.5000 512.373.5000 222 South Rivereide Plaza #900

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PROGRESSIVE AG MARKETING, INC. THEMODOGED BY

> Chicago, IL 60606 312.373.5060 anto@riobeien.com 222 South Bivereide Plaza #900

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FOR FUNDER INFORMATION REGARDING THIS STATEMENT OR R. J. O'BRIEN BROXERAGE SERVICES, PLEASE VISIT WWW.I CONT.

You must examine all information transmitted to you herein and report any errors or irrequierities to your R.J.O'Brian functionalist Compliance Department [1-800-621-0757] immediately. Failure to immediately advise of any such anteresting on the trading on the trading day subsequent to the exacution of the transaction in dispute will be deemed your sgreenmut that the transmitted information is correct and has been ratified by you.

222 South Riverside Place #900 Chleago, IL 40606 MASTATA 5000 info@hobelea.coox

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STATEMENT DATE: NOV 8, 2010

FIRM / SALEBURN:

ACCOUNT NUMBER:

LINK / SVIESKYK:

Chicago, IJ. 60606 312.373.5000 222 South Riverside Plaza #900

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PROCEERSTIVE AG MARKETING, INC.

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You must exemine all information transmitted to you herein and report any errors or irregularities to your 2.1.0'Erien intermediatry or the R.U.O'Erien Compliance Department [1-800-621-0757] inmediately. Failure to immediately advise of any such intermediatry or the R.U.O'Erien Department of transmotion of the transmotion in the transmotion is correct on the constitute of the transmotion is correct and has been ratified by you.

MONTHLY COMMODITY STATEMENT

STATEMENT DATE: NOV 30, 2010

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FOR FURTHER INFORMATION ENGARDING THIS STATEMENT OR R. D. D'ERIEM BROKERAGE SERVICES, PLEASE VISIT WAY. L'ODILLED. COM

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KICHARD A BERG

COMASSESS MYSTEL AVECES TEXAM TA BULAY TEGOCOA

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PROCRESIVE AS MARKETING, INC. INTRODUCED BY

MONTHLY COMMODITY STATEMENT

STATEMENT DATE: DEC 31, 2010

FIRM \ SALESMAN:

ACCOUNT HUMBER:

Chicago, II. 60606 312.373.5000 mó.Grjobelen.com 222 South Riverside Pluss #900

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