



Filing ID #10003443

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Mr. Henry A. Waxman
Status: Member
State/District: CA33

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2013
Filing Date: 07/11/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
529 Savings Plans ⇒ Ari Abramson LOCATION: NV		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
529 Savings Plans ⇒ Maya Abramson LOCATION: NV		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
529 Savings Plans ⇒ Noa Abramson LOCATION: NV		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
CALPERS (CA State Retirement) DESCRIPTION: This is a retirement annuity from the California State Legislature.		Undetermined	Retirement Annuity	\$1,001 - \$2,500	<input type="checkbox"/>
Henry A & Janet F Waxman Trust ⇒ Vanguard 500 Index Fund Admiral Shares		\$250,001 - \$500,000	Capital Gains, Dividends, Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Henry A & Janet F Waxman Trust ⇒ Vanguard Developed Markets index Fund Admiral Shares		\$100,001 - \$250,000	Capital Gains, Dividends, Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Henry A & Janet F Waxman Trust ⇒ Vanguard Emerging Markets Stock Index Fund Admiral Shares		\$50,001 - \$100,000	Capital Gains, Dividends, Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Henry A & Janet F Waxman Trust ⇒ Vanguard European Stock Index Fund Admiral Shares		\$50,001 - \$100,000	Capital Gains, Dividends, Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Henry A & Janet F Waxman Trust ⇒ Vanguard Extended Market Index Fund Admiral Shares		\$250,001 - \$500,000	Capital Gains, Dividends, Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Henry A & Janet F Waxman Trust ⇒ Vanguard Pacific Stock Index Fund Admiral Shares		\$15,001 - \$50,000	Capital Gains, Dividends, Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Henry A & Janet F Waxman Trust ⇒ Vanguard Prime Money Market Fund		\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$201 - \$1,000	<input type="checkbox"/>
Henry A Waxman Roth IRA ⇒ Vanguard Total Bond Market Index Fund Admiral Shares		None	Capital Gains, Dividends, Interest, Tax-Deferred	\$201 - \$1,000	<input checked="" type="checkbox"/>
Henry A Waxman Roth IRA ⇒ Vanguard Total Stock Market Index Fund Admiral Shares		\$15,001 - \$50,000	Capital Gains, Dividends, Interest, Tax-Deferred	\$201 - \$1,000	<input checked="" type="checkbox"/>
Henry A Waxman Traditional IRA ⇒ Vanguard Global ex-U.S. Real Estate Index Fund Admiral Shares		\$100,001 - \$250,000	Capital Gains, Dividends, Interest, Tax-Deferred	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Henry A Waxman Traditional IRA ⇒ Vanguard Inflation-Protected Securities Fund Investor Shares		None	Capital Gains, Dividends, Interest, Tax-Deferred	\$1 - \$200	<input checked="" type="checkbox"/>
Henry A Waxman Traditional IRA ⇒ Vanguard Mid-Cap Index Fund Admiral Shares		\$50,001 - \$100,000	Capital Gains, Dividends, Interest, Tax-Deferred	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Henry A Waxman Traditional IRA ⇒ Vanguard Prime Money Market Fund		None	Capital Gains, Dividends, Interest, Tax-	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Deferred					
Henry A Waxman Traditional IRA ⇒ Vanguard REIT Index Fund Admiral Shares		\$100,001 - \$250,000	Capital Gains, Dividends, Interest, Tax-Deferred	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Henry A Waxman Traditional IRA ⇒ Vanguard Total Bond Market index Fund Admiral Shares		None	Capital Gains, Dividends, Interest, Tax-Deferred	\$201 - \$1,000	<input checked="" type="checkbox"/>
Janet F Waxman Roth IRA ⇒ Vanguard 500 Index Fund Inv		\$1,001 - \$15,000	Capital Gains, Dividends, Interest, Tax-Deferred	\$1 - \$200	<input type="checkbox"/>
Janet F Waxman Roth IRA ⇒ Vanguard Inflation-Protect Sec Inv		None	Capital Gains, Dividends, Interest, Tax-Deferred	\$1 - \$200	<input checked="" type="checkbox"/>
Janet F Waxman Traditional IRA ⇒ Vanguard Inflation-Protection Sec Inv		None	Capital Gains, Dividends, Interest, Tax-Deferred	\$1 - \$200	<input checked="" type="checkbox"/>
Janet F Waxman Traditional IRA ⇒ Vanguard Small-Cap Index Fund Adm		\$15,001 - \$50,000	Capital Gains, Dividends, Interest, Tax-Deferred	\$201 - \$1,000	<input type="checkbox"/>
Janet F Waxman Traditional IRA ⇒ Vanguard Total Stock Mkt Idx Adm		\$15,001 - \$50,000	Capital Gains, Dividends, Interest, Tax-Deferred	\$201 - \$1,000	<input checked="" type="checkbox"/>
State of Israel Bonds		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: A series of bonds of Israel that will mature sometime in the future.					

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
529 Savings Plans ⇒ Ari Abramson LOCATION: NV		Monthly	P	\$200	
529 Savings Plans ⇒ Maya Abramson LOCATION: NV		Monthly	P	\$200	
529 Savings Plans ⇒ Noa Abramson LOCATION: NV		Monthly	P	\$200	
Henry A & Janet F Waxman Trust ⇒ Vanguard 500 Index Fund Admiral Shares		Monthly	P	\$1,001 - \$15,000	
Henry A & Janet F Waxman Trust ⇒ Vanguard 500 Index Fund Admiral Shares		12/30/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Henry A & Janet F Waxman Trust ⇒ Vanguard Extended Market Index Fund Admiral Shares		Monthly	P	\$1,001 - \$15,000	
Henry A & Janet F Waxman Trust ⇒ Vanguard Extended Market Index Fund Admiral Shares		12/30/2013	S (partial)	\$566	<input type="checkbox"/>
Henry A Waxman Roth IRA ⇒ Vanguard Total Bond Market Index Fund Admiral Shares		06/13/2013	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Henry A Waxman Roth IRA ⇒ Vanguard Total Stock Market Index Fund Admiral Shares		06/13/2013	P	\$15,001 - \$50,000	
Henry A Waxman Traditional IRA ⇒ Vanguard Inflation-Protected Securities Fund Investor Shares		06/13/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
Henry A Waxman Traditional IRA ⇒ Vanguard Mid-Cap Index Fund Admiral Shares		12/30/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Henry A Waxman Traditional IRA ⇒ Vanguard REIT Index Fund Admiral Shares		06/13/2013	P	\$15,001 - \$50,000	
Henry A Waxman Traditional IRA ⇒ Vanguard REIT Index Fund Admiral Shares DESCRIPTION: \$565.00		Monthly	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Henry A Waxman Traditional IRA ⇒ Vanguard REIT Index Fund Admiral Shares		12/30/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Henry A Waxman Traditional IRA ⇒ Vanguard Total Bond Market Index Fund Admiral Shares		06/13/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
Janet F Waxman Roth IRA ⇒ Vanguard 500 Index Fund Inv		06/13/2013	P	\$1,001 - \$15,000	
Janet F Waxman Roth IRA ⇒ Vanguard Inflation-Protect Sec Inv		06/13/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Janet F Waxman Traditional IRA ⇒ Vanguard Inflation-Protect Sec Inv		06/13/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
Janet F Waxman Traditional IRA ⇒ Vanguard Total Stock Mkt Idx Adm		06/13/2013	P	\$15,001 - \$50,000	

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Congressional Credit Union Mortgage Association	September 2012	Mortgage on 6913 Ayr Lane Bethesda MD 20817	\$250,001 - \$500,000
JT	BB&T Bank	More than 10 years ago - revolving account	tax advantage line of credit on 6913 Ayr Lane Bethesda MD	\$6,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details				Inclusions		
Source	Start Date	End Date	Itinerary	Lodging?	Food?	Family?
The Aspen Institute Congressional Program	03/27/2013	04/7/2013	Washington DC - Tel Aviv, Israel - Istanbul, Turkey - Washington DC	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
American Israel Education Foundation	08/4/2013	08/12/2013	Los Angeles, CA - Jerusalem - Washington DC	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Source	Activity	Date	Amount	Charity Name
World Congress 6th Annual Leadership Summit on Medicaid Managed Care	Speech	02/25/2014	\$2,000	c/o Ms. Debbie Dyner Harris, Jewish Federation of Greater Los Angeles, 6505 Wilshire Blvd., Suite 10
World Generics Medicine Congress European Conference	Speech	02/27/2013	\$1,000	c/o Sheila Bellach, Temple Beth El, 8215 Old Georgetown Road, Bethesda, MD 20814
World Generics Medicine Congress European Conference	Speech	02/27/2013	\$1,000	c/o Ms. Debbie Dyner Harris, Jewish Federation of Greater Los Angeles, 6505 Wilshire Blvd., Suite 10
6th Annual World Generic Medicines Congress	Speech	11/20/2013	\$2,000	c/o Ms. Debbie Dyner Harris, Jewish Federation of Greater Los Angeles, 6505 Wilshire Blvd., Suite 10

SCHEDULE A AND B ASSET CLASS DETAILS

o 529 Savings Plans

LOCATION: NV

- Henry A & Janet F Waxman Trust
- Henry A Waxman Roth IRA
- Henry A Waxman Traditional IRA
- Janet F Waxman Roth IRA
- Janet F Waxman Traditional IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Henry A. Waxman , 07/11/2014