

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

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James L. Oberstar

(Full Name)

(202) 225-6211

(Daytime Telephone)

Filer Status
☒ Member of the U.S. House of Representatives
State: MN District: 08

☐ Officer Or Employee
Employing Office:

Report Type
☐ Annual (May 15) ☒ Amendment ☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<p>I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p>VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p>VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>
<p>III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>
<p>IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</p>	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<p>Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>
<p>Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>

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(Office Use Only)

SCHEDULE IV - TRANSACTIONS

Name James L. Oberstar

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
SP	ABSOLUTE STRATEGIES	P	N/A	6-30-09 7-22-09 10-16-09 12-31-09	\$1,001 - \$15,000
SP	ALLIANZ FUNDS NFJ LARGE CAP VALUE	S	No	9-25-09	\$100,001 - \$250,000
SP	ALLIANZ FUNDS NFJ LARGE CAP VALUE	P	N/A	MO DIV REINV	\$1,001 - \$15,000
SP	AQUILA THREE PEAKS HIGH INCOME	P	N/A	MONTHLY PURCHASE	\$1,001 - \$15,000
SP	AQUILA THREE PEAKS HIGH INCOME	S	Yes	3-25-09 12-11-09	\$1,001 - \$15,000
SP	ARTIO INTL EQUITY	P	N/A	3-25-09 12-11-09 12-29-09	\$1,001 - \$15,000
SP	ARTIO INTL EQUITY	S	Yes	7-22-09 10-16-09	\$1,001 - \$15,000
SP	ARTIO TOTAL RETURN BOND	P	N/A	MONTHLY REINV	\$1,001 - \$15,000
SP	ARTIO TOTAL RETURN BOND	S	No	3-25-09 10-16-09 12-11-09	\$1,001 - \$15,000
SP	ASTON RIVER ROAD SMALL MID CAP	S	Yes	10-16-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	ASTON RIVER ROAD SMALL MID CAP	P	N/A	3-25-09 7-22-09 12-11-09	\$1,001 - \$15,000
SP	BLACKBOARD	S	Yes	9-25-09	\$100,001 - \$250,000
SP	BLACKROCK INFLATION PROTECTED BOND	P	N/A	10-16-09	\$1,001 - \$15,000
SP	CABELA'S INC	P	N/A	5-14-09	\$15,001 - \$50,000
SP	CALAMOS MARKET NEUTRAL INCOME	P	N/A	QTRLY PURCHASE	\$1,001 - \$15,000
SP	CALAMOS MARKET NEUTRAL INCOME	S	Yes	3-25-09	\$1,001 - \$15,000
SP	CHAMPLAIN SMALL COMPANY	P	N/A	3-25-09 12-11-09	\$1,001 - \$15,000
SP	CHAMPLAIN SMALL COMPANY	S	Yes	7-22-09 10-16-09	\$1,001 - \$15,000
SP	DIAMOND HILL LARGE CAP	S	Yes	7-22-09 10-16-09 12-11-09	\$1,001 - \$15,000
SP	DIAMOND HILL LARGE CAP	P	N/A	3-25-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	DODGE & COX GLOBAL FUND	S	Yes	3-25-09 7-22-09 10-16-09	\$15,001 - \$50,000
SP	DODGE & COX GLOBAL FUND	P	N/A	12-11-09 12-21-09	\$1,001 - \$15,000
SP	FASTENAL CO	P	N/A	5-14-09	\$15,001 - \$50,000
SP	FEDERATED CAPITAL RESERVES	P	N/A	12-1-09	\$250,001 - \$500,000
SP	GENERAL ELECTRIC	P	N/A	5-14-09	\$1,001 - \$15,000
SP	HARTFORD INS PUTS	S	Yes	11-23-09, 10-19-09, 10-7-09	\$1,001 - \$15,000
SP	INTERDIGITAL INC	P	N/A	9-25-09	\$50,001 - \$100,000
SP	JANUS MONEY MARKET	P	N/A	QTRLY	\$1,001 - \$15,000
SP	JANUS MONEY MARKET	S	No	QTRLY	\$1,001 - \$15,000
SP	JOHN HANCOCK REGIONAL BANK FUND	P	N/A	QTRLY DIV REINV	\$1,001 - \$15,000
SP	KC SOUTHERN PUTS	S	Yes	10-8-09, 10-20-09, 10-22-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	MARISCO GLOBAL	P	N/A	12-11-09 12-18-09	\$1,001 - \$15,000
SP	MARISCO GLOBAL	S	Yes	7-22-09 10-16-09	\$15,001 - \$50,000
SP	METROPOLITAN WEST TOTAL RETURN	S	Yes	10-16-09 12-11-09 3-25-09	\$1,001 - \$15,000
SP	METROPOLITAN WEST TOTAL RETURN	P	N/A	MONTHLY REINV	\$1,001 - \$15,000
SP	OAKMARK INTL I	S	Yes	3-25-09 7-22-09 10-16-09	\$1,001 - \$15,000
SP	PAIN THERAPEUTICS	S	No	9-25-09	\$50,001 - \$100,000
SP	PIMCO COMMODITY REAL RETURN	S	Yes	3-25-09 10-16-09 12-11-09	\$1,001 - \$15,000
SP	PIMCO COMMODITY REAL RETURN	P	N/A	QTRLY REINV	\$1,001 - \$15,000
SP	PIMCO DEVELOPING LOCAL MARKETS	P	N/A	10-16-09	\$1,001 - \$15,000
SP	PIMCO TOTAL RETURN	P	N/A	12-11-09	\$15,001 - \$50,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	PRIME FUND DAILY MONEY	S	No	11-16-09	\$250,001 - \$500,000
SP	PRIME FUND DAILY MONEY	P	N/A	5-15-09	\$15,001 - \$50,000
SP	PRIMECAP ODYSSEY GROWTH	P	N/A	7-22-09 10-16-09 12-11-09	\$15,001 - \$50,000
SP	ROYCE VALUE TRUST	P	N/A	9-25-09	\$50,001 - \$100,000
SP	SCHLUMBERGER LTD	P	N/A	5-14-09	\$15,001 - \$50,000
SP	TCW TOTAL RETURN	S	Yes	7-22-09 10-16-09 12-11-09	\$50,001 - \$100,000
SP	TCW TOTAL RETURN	P	N/A	MONTHLY REINV	\$1,001 - \$15,000
SP	THIRD AVENUE REAL ESTATE	S	Yes	7-22-09 10-16-09	\$1,001 - \$15,000
SP	THIRD AVENUE REAL ESTATE	P	N/A	3-25-09 12-11-09 12-22-09	\$1,001 - \$15,000
SP	THIRD AVENUE SMALL CAP	S	No	7-22-09	\$15,001 - \$50,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	VANGUARD INFLATION PROTECTED SEC	S	Yes	3-25-09 10-16-09	\$1,001 - \$15,000
SP	VANGUARD INFLATION PROTECTED SEC	P	N/A	7-22-09	\$1,001 - \$15,000
SP	VANGUARD MONEY MARKET	S	No	QTRLY SALES	\$1,001 - \$15,000