



Filing ID #10022142

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Tom Cole
Status: Member
State/District: OK04

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2017
Filing Date: 05/10/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
10 Acres of land in Johnson County, Owned with Brother [RP] LOCATION: Johnson County, OK, US		\$1,001 - \$15,000	None		<input type="checkbox"/>
457 Sooner Savings ⇒ American Century Income and Growth Fund [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
457 Sooner Savings ⇒ BGI SDP Stock Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
457 Sooner Savings ⇒ Columbia Acorn Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
457 Sooner Savings ⇒ Dreyfus Premium Leader A Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
457 Sooner Savings ⇒ Europacific A Growth Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
457 Sooner Savings ⇒ Janus Small Cap Value Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
457 Sooner Savings ⇒ T Rowe Price Balanced Fund [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
457 Sooner Savings ⇒ T Rowe Price Blue Chip Growth Fund [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Alliant Energy Corporation (LNT) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
AT&T Inc. (T) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Becton, Dickinson and Company (BDX) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Burke & Herbert Money Market Fund [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cole, Hargrave and Snodgrass [OL] LOCATION: Moore, OK, US DESCRIPTION: Polling, Political Campaign Consulting Company		\$250,001 - \$500,000	Capital Gains, Dividends, Management Fee	\$5,001 - \$15,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Credit Suisse Capital Appreciation Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin Balance Stock Investment Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin Income Fund A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin MSF Mutual Share Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin Small/Med Cap Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Lord Abbott Core Fixed Income Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Lord Abbott Mid Cap Value Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cole, Hargrave, Snodgrass 401(K) ⇒ Oppenheimer Global Fund Class A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Oppenheimer Main Street Fund Class A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Pimco High Yield Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Putnam International Equity Fund A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Strong Government Securities Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Templeton Foreign Fund A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Vankemp Common Stock Fund A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Duke Energy Corporation (DUK) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen Cole's 401(k) ⇒ Fidelity Diversified International Fund [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ellen Cole's 401(k) ⇒ Fidelity Spartan 500 Index Fund [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen Cole's 401(k) ⇒ Fidelity Total Bond Fund [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Contrafund Fund [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Diversified International Stock Fund [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Spartan 500 Index Fund [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Total Bond Fund [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Enbridge Inc (ENB) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Exxon Mobil Corporation (XOM) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Contrafund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Spartan 500 Index Fund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Fidelity Variable Annuity ⇒ Fidelity Freedom 2020 Fund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Johnson Controls, Inc. (JCI) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Mid First Money Market Fund [BA]	JT	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Personal Residence [RP]	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: Moore / Cleveland, OK, US					
Strategic Communications (Moore) Stock [PS]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Owns Phone Bank Operated in Moore, Oklahoma					
T. Rowe Price Equity Income Fund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ C Fund [IH]		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ F Fund [IH]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ G Fund [IH]		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ I Fund [IH]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Tom's Fidelity IRA ⇒ Fidelity Contrafund Fund [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Tom's Fidelity IRA ⇒ Fidelity Diversified International Fund [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Tom's Fidelity IRA ⇒ Fidelity Spartan 500 Index Fund [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Tom's Fidelity IRA ⇒ Fidelity Total Bond Fund [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Tronox Limited Ordinary Shares Class A \$0.01 par (TROX) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Vanguard Dividend Growth Fund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard High Yield Tax Exempt Fund [MF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Total International Stock Fund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard Total Stock Market Index Fund [MF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Walgreen Co. (WAG) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Wells Fargo & Company (WFC) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alliant Energy Corporation (LNT) [ST]	SP	Quarterly	P	\$1,001 - \$15,000	
AT&T Inc. (T) [ST]	JT	Quarterly	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Duke Energy Corporation (DUK) [ST]	JT	Quarterly	P	\$1,001 - \$15,000	
Ellen Cole's 401(k) ⇒ Fidelity Total Bond Fund [MF]	SP	Monthly	P	\$1,001 - \$15,000	
Ellen's Fidelity IRA ⇒ Fidelity Contrafund Fund [MF]	SP	02/17/2017	P	\$1,001 - \$15,000	
Ellen's Fidelity IRA ⇒ Fidelity Diversified International Fund [MF]	SP	02/17/2017	P	\$1,001 - \$15,000	
Ellen's Fidelity IRA ⇒ Fidelity Spartan 500 Fund [MF]	SP	02/17/2017	P	\$1,001 - \$15,000	
Ellen's Fidelity IRA ⇒ Fidelity Total Bond Fund [MF]	SP	02/17/2017	P	\$1,001 - \$15,000	
Ellen's Fidelity IRA ⇒ Fidelity Total Bond Fund [MF]	SP	Monthly	P	\$1,001 - \$15,000	
Exxon Mobil Corporation (XOM) [ST]	JT	Quarterly	P	\$1,001 - \$15,000	
Fidelity Spartan 500 Index Fund [MF]	JT	10/17/2017	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Fidelity Variable Annuity ⇒ Fidelity Freedom 2020 Fund [MF]	JT	Monthly	P	\$1,001 - \$15,000	
DESCRIPTION: Investment Payment					
Johnson Controls International plc Ordinary Share (JCI) [ST]	JT	Quarterly	P	\$1,001 - \$15,000	
Spectra Energy Exchange for Enbridge Inc [EF]	JT	02/27/2017	E	\$15,001 - \$50,000	
DESCRIPTION: Spectra Energy Corp closed merger with Enbridge Inc on 2/27/17					
T. Rowe Price Equity Income Fund [MF]	JT	Monthly	P	\$1,001 - \$15,000	
Tom's Federal Thrift Savings Plan ⇒ C Fund [MF]		Monthly	P	\$1,001 - \$15,000	
Tom's Federal Thrift Savings Plan ⇒ F Fund [MF]		Monthly	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Tom's Federal Thrift Savings Plan ⇒ G Fund [MF]		Monthly	P	\$1,001 - \$15,000	
Tom's Federal Thrift Savings Plan ⇒ I Fund [MF]		Monthly	P	\$1,001 - \$15,000	
Tom's Fidelity IRA ⇒ Fidelity Contrafund Fund [MF]		02/17/2017	P	\$1,001 - \$15,000	
Tom's Fidelity IRA ⇒ Fidelity Diversified International Fund [MF]		02/17/2017	P	\$1,001 - \$15,000	
Tom's Fidelity IRA ⇒ Fidelity Spartan 500 Index Fund [MF]		02/17/2017	P	\$1,001 - \$15,000	
Tom's Fidelity IRA ⇒ Fidelity Total Bond Fund [MF]		02/17/2017	P	\$1,001 - \$15,000	
Tom's Fidelity IRA ⇒ Fidelity Total Bond Fund [MF]		Monthly	P	\$1,001 - \$15,000	
Vanguard Dividend Growth Fund [MF]	JT	Monthly	P	\$1,001 - \$15,000	
Vanguard High Yield Exempt Fund [MF]	JT	Monthly	P	\$1,001 - \$15,000	
Vanguard Total International Stock Fund [MF]	JT	Quarterly	P	\$1,001 - \$15,000	
Vanguard Total Stock Market Index Fund [MF]	JT	Quarterly	P	\$1,001 - \$15,000	
Wells Fargo & Company (WFC) [ST]	JT	Quarterly	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Cole, Hargrave, Snodgrass and Associates	Management Fee	\$20,000.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Board of Directors	Fulbright Association
Board of Regents	Smithsonian Institute
Board of Advisors for Congressional Program	Aspen Institute
Partner	Strategic Communications
Partner	Cole, Hargrave, Snodgrass and Associates

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
April 2003	Cole, Hargrave, Snodgrass and Associates	Quarterly Management Fee of \$5,000 from personal business (Approved by Committee on Standards)

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
ABC News	07/8/2017	07/9/2017	DC - New York City - DC	0	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ABC News	02/4/2017	02/5/2017	DC - New York City - DC	0	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none">◦ 457 Sooner Savings◦ Cole, Hargrave, Snodgrass 401(K)◦ Ellen Cole's 401(k) (Owner: SP)◦ Ellen's Fidelity IRA (Owner: SP)◦ Fidelity Variable Annuity (Owner: JT)
--

- Tom's Federal Thrift Savings Plan
LOCATION: US
- Tom's Fidelity IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Tom Cole , 05/10/2018