

# UNITED STATES HOUSE OF REPRESENTATIVES

## CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

**FORM A**  
For use by Members, officers, and employees

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LEONARD LANCE  
(Full Name)

732-248-4178  
(Daytime Telephone)

2010 JUL 28 AM 9:07  
(Office Use Only)

**HAND  
DELIVERED**

<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: NJ District: 07	<input type="checkbox"/> Officer Or Employee	Employing Office:
<b>Report Type</b>	<input type="checkbox"/> Annual (May 15)	<input checked="" type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date:
				<b>A \$200 penalty shall be assessed against anyone who files more than 30 days late.</b>

### PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. If yes, complete and attach Schedule I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. If yes, complete and attach Schedule II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. If yes, complete and attach Schedule III. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. If yes, complete and attach Schedule IV. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

### EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<b>Trusts--</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name LEONARD LANCE

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
State of NJ	Legislative Pension	\$21,187

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name LEONARD LANCE

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and Interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and Interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
JT	Bank of America	\$1 - \$1,000	INTEREST	\$1 - \$200	
	Bank of America MMA	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
	Bank of America Common Stock (BAC)	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
SP	Fidelity Investments	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	JP Morgan Retirement Plan	\$250,001 - \$500,000	INTEREST	\$15,001 - \$50,000	
SP	JP Morgan Chase 401k	\$500,001 - \$1,000,000	DIVIDENDS/Capital Gains	\$50,001 - \$100,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name LEONARD LANCE

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SP					
	Vanguard IRA	\$50,001 - \$100,000	DIVIDENDS	\$15,001 - \$50,000	
	Scottrade MMA	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
	UBS Financial Services	\$500,001 - \$1,000,000	DIVIDENDS	\$5,001 - \$15,000	PS(part)
	Vanguard IRA	\$100,001 - \$250,000	DIVIDENDS	\$15,001 - \$50,000	
	Bank of America Checking	\$1,001 - \$15,000	None	NONE	
	Office Building- 36 Center St Clinton NJ - 50% ownership	\$100,001 - \$250,000	None	NONE	
	Estate of Mae Anderson	\$50,001 - \$100,000	None	NONE	
	Estate of Wesley Lance	\$15,001 - \$50,000	None	NONE	

# SCHEDULE IV - TRANSACTIONS

Name LEONARD LANCE

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	UBS Financial Services - Capital Loss	S(part)	No	12/29/09	\$0

# SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name LEONARD LANCE

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American Israel Education Fund	8/1/09- 8/9/09	EWR-Tel Aviv, Israel-EWR	Y	Y	Y	None

**SCHEDULE IX - AGREEMENTS**

Name LEONARD LANCE

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
12/31/09	State of NJ	Pension annuity for service in State Legislature

**Hon. Leonard Lance - NJ-07**  
**Statement of Underlying Assets**  
**Calendar Year 2009 Financial Disclosure Statement**  
**As amended 7/20/10**

**Candidate - UBS Financial Services - Underlying Assets**

	<b>Asset Range</b>	<b>Gain/Loss</b>
American Funds Euro Pacific Growth	\$50,001-\$100,000	\$15,000-\$50,000
FT Templeton Foreign Sm Co A	\$15,001-\$50,000	\$ - Loss
FT Templeton Global Bond	\$15,001-\$50,000	\$5,001-\$15,000
Ivy Global Natural Resources Fund	\$15,001-\$50,000	\$ - Loss
JP Morgan Mid Cap Value	\$15,001-\$50,000	\$ - Loss
Oppenheimer Developing Markets	\$15,001-\$50,000	\$5,001-\$15,000
UBS Pace Intl Emerging Markets	\$1,001-\$15,000	\$2,501-\$5,000
Alliance Bernstein Growth Fund of America	\$50,001-\$100,000	\$ - Loss
American Funds Growth Fund of America	\$15,001-\$50,000	\$ - Loss
American Funds Fundamental Investors	\$50,001-\$100,000	\$15,000-\$50,000
American Funds Washington Mutual Investors	\$15,001-\$50,000	\$5,001-\$15,000
Van Kempen Mid Growth Fund	\$15,001-\$50,000	\$ - Loss
Keeley Small Cap Value Fund	\$15,001-\$50,000	\$ - Loss
Eton Vance Large Cap Value Fund Class A	\$15,001-\$50,000	\$ - Loss
Total		\$5,001-\$15,000

**Candidate - Vanguard IRA**

	<b>Asset Range</b>	<b>Gain/Loss</b>
Capital Opportunity Investment	\$15,001-\$50,000	\$5,001-\$15,000
Emerging Markets Stock Index	\$1,001-\$15,000	\$1,001-\$2500
Energy Fund Admiral	\$50,001-\$100,000	\$15,000-\$50,000
Precious Metals and Mining	\$15,001-\$50,000	\$5,001-\$15,000
Primecap Fund Investor	\$1,001-\$15,000	\$1,001-\$2500
Windsor II Fund Investment	\$1,001-\$15,000	\$201-\$1,000
Total		\$15,001-\$50,000

**Spouse - JP MorganChase 401(k)**

	<b>Asset Range</b>	<b>Gain/Loss</b>
JP Morgan Chase Common Stock	\$250,001-\$500,000	\$50,001-\$100,000
JPMC ST Fixed Income Fund	\$100,001-\$250,000	\$1,001-\$2500
JPMC Stable Value Fund	\$50,001-\$100,000	\$1,001-\$2500
JPMC Growth and Income Fund	\$50,001-\$100,000	\$5,001-\$15,000
JPMC S&P 500 Index Fund	\$50,001-\$100,000	\$5,001-\$15,000
JPMC Small Cap Core Fund	\$50,001-\$100,000	\$5,001-\$15,000
JPMC Intl Large Cap Core Fund	\$15,001-\$50,000	\$5,001-\$15,000
Total		\$50,001-\$100,000



**Hon. Leonard Lance - NJ-07**  
**Statement of Underlying Assets - Page 2**  
**Calendar Year 2009 Financial Disclosure Statement**

<u>Candidate - Estate of Wesley L. Lance</u>	Candidate Share Asset Range
Candidate has 50% share in all underlying assets still undistributed at this time	
MetLife Inc Stock	\$1-\$1,000
Bank of America Stock	\$15,001-\$50,000
CortS Trust for First Union Intl Capital I	\$1,001-\$15,000
 <u>Candidate - Estate of Mae Anderson</u>	 Candidate Share Asset Range
Candidate has 50% share in all underlying assets still undistributed at this time, less fees dues Executor. Candidate is not the executor nor administrator.	
Scudder Mutual Fund	\$1,001-\$15,000
Dreyfus Mutual Fund	\$1,001-\$15,000
Putnam Mutual Fund	\$1,001-\$15,000
Nuveen Mutual Fund	\$1,001-\$15,000
ATT Common Stock	\$15,001-\$50,000
Comcast Common Stock	\$1,001-\$15,000
Qwest Common Stock	\$1-\$1,000
Verizon Common Stock	\$1,001-\$15,000
Vodaphone Common Stock	\$1,001-\$15,000
Xcel Common Stock	\$1,001-\$15,000
Fairpoint Communications	\$1-\$1,000