No 🗸	Yes No		Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	ets, "unearned" Do not answer	n this report any other assuhree tests for exemption?)	Exemptions-	_ [
No 🗸	Yes No	7	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	d by the Commi from this repor	ified Blind Trusts" approve closed. Have you excluded	Details regarding "Qua trusts" need not be dis child?	Trusts-	
	SN	STION	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS	ST INFORM	ENDENT, OR TRU	OF SPOUSE, DEP	EXCLUSION	
	ponse.	s" rest	appropriate schedule attached for each "Yes" response			If yes, complete and attach Schedule V.	If yes, complete	
	the	d and t	Each question in this part must be answered and the	Yes V No	any reportable liability	Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	V. (more than \$10,00	-
			If yes, complete and attach Schedule IX.			ryes, complete and attach Schedule IV.	if yes, complete	1
₹ .	Yes	n outside	Did you have any reportable agreement or arrangement with an outside DX. entity?	Yes V No	Ŋ	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting	IV. reportable asset	
			If yes, complete and attach Schedule VIII.			If yes, complete and attach Schedule III.	if yes, complete	_
7	Yes 🗸	filing in	Did you hold any reportable positions on or before the date of filing in VIII. the current calendar year?	Yes V No		Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth	Did you, your spo	
No	Yes	re than	VII. or enthursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes No 🗸		paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	II. paying you for a: If yes, complete	
		this trans	If yes, complete and attach Schedule VI.		ing to charly in ligator	If yes, complete and attach Schedule I.	If yes, complete	
8	Yes	able gift ii otherwise	VI. the reporting period (i.e., aggregating more than \$335 and not otherwise	Yes No 🗸	laries or rees) of	Lid you of your spouse have "earned" income (e.g., salaries or nes) of \$200 or more from any source in the reporting period?	L \$200 or more from	
]			QUESTIONS	OF THESE	ANSWER EACH	PRELIMINARY INFORMATION ANSWER EACH OF THESE QUESTIONS	PRELIMINAR	
30	files more than 30 days late.	files mon days late	Termination Date:	Termination	Amendment	Annual (May 15)	Réport Type	
who	be assessed against anyone who	be as again	Employee		District 26	House of Representative	Status	1
shall	A \$200 penalty shall	A \$20	Officer Or Employing Office:		State: NY		Filer	
デ I V R C R C C C C C C C C C C C C C	Iffice Use 5	Ç	(Daytime Telephone)		(Full Name)	(F)		1 7
HAND) : 1	E.	(202) 225-5265		Christopher John Lee	Christop		
***************************************	PM 5: L	· 17	For use by Members, officers, and employees	MENT	DISCLOSURE STATEN	CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT	CALENDAR YE	
	:		Page 1555	メイニテク	うつつしつつついる	・メーコウェラファイ	ころうつつつつ	. –

		Name Christopher John Lee	er John Lee		Page 2 of 59
!	BLOCK A	вгоск в	BLOCK C	BLOCK D	BLOCK E
Identify (a) each ass fair market value ex (b) any other assets \$200 in "unearned" provide a complete funds (do not use ti (such as 401(k) planthe power, even if n provide the value and that exceeds the reself-directed, name end of the reporting traded, state the na geographic location instruction booklet. Exclude: Your persodebt owed to you be parent or sibling; an accounts; any finant Government retirent Government column on optional column on	Asset and/or income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	Type of Income Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all fRAs, indicate the type of income by checking the appropriate box below. Dividends and Interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.	Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.	Transaction Indicate if asset had purchases {P}, sales (\$), or exchanges (E) exceeding \$1,000 in reporting year.
If you so cho of your spou- optional colu	ose, you may indicate that an asset or income source is that se (SP) or dependent child (DC) or is jointly held (JT), in the mn on the far left.				
	Individual Account (1):				
	FIDELITY MONEY MARKET	\$100,001 - \$250,000	INTEREST/CAPIT AL GAINS	\$201 - \$1,000	
	AMERICAN EUROPACIFIC GRTH	\$15,001 - \$50,000	DVIDENDS	\$201 - \$1,000	ט
	AMERICAN GRW FD OF AMER	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	ם
	ARTISAN MID CAP VALUE	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	ס
	COLUMBIA ACORN INTL	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	, P

JANUS OVERSEAS \$15,001 - DIVIDENDS \$50,000	HARBOR INTERNATIONAL \$15,001 - DIVIDENDS GRO	HARBOR INTERNATIONAL \$15,001 - DIVIDENDS \$50,000	FIDELITY SHORT INTERM \$100,001 - INTEREST \$250,000	FIDELITY LEVERAGED CO \$15,001 - DIVIDENDS STOCK	FIDELITY INTERMEDIATE \$100,001 - INTEREST \$250,000	FIDELITY HIGH INCOME \$15,001 - DIVIDENDS/(\$50,000 TAL GAINS	FIDELITY EMERGING \$15,001 - DIVIDENDS// MARKET \$50,000 TAL GAINS	FIDELITY ADV NEW INSIGHTS \$50,001 - CAPITAL GAINS \$100,000	#50,001 - DIVIDENDS \$100,000	EVERGREEN INTRINSIC \$50,001 - DIVIDENDS VALUE \$100,000	DWS DREMAN S/C VALUE \$15,001 - DIVIDENDS \$50,000	DODGE & COX INTL STOCK \$15,001 - DIVIDENDS \$50,000	COLUMBIA VALUE & \$50,001 - DIVIDENDS RESTRUCT \$100,000	SCHEDULE III - ASSETS AND "UNEARNED" INCOME Name Christopher John Lee
IDENDS	IDENDS	IDENDS	EREST	IDENDS	EREST	IDENDS/CAPI GAINS	IDENDS/CAPI . GAINS	PITAL GAINS	IDENDS	IDENDS	IDENDS	IDENDS	IDENDS	n Lee
\$1 - \$200	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$1 - \$200	\$1,001 - \$2,500	\$201 - \$1,000	\$201 - \$1,000	\$1 - \$200	\$201 - \$1,000	\$201 - \$1,000	\$1 - \$200	\$201 - \$1,000	\$201 - \$1,000	
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														SCHEDUL
THORNBURG INTL VALUE	THORNBURG INTERMED MUNI	TROWE PRICE MID CAP GR	RS VALUE	RS PARTNERS	ROYCE VALUE PLUS	ROYCE PREMIER	PIMCO COMMODITY RR STRAT	PERKINS MID CAP VAL	MORGAN STANLEY INS US REAL ESTATE	MASTERS SELECT INTERNATL	LOOMIS SAYLES BOND FUND	LAZARD EMERG MKT EQY	LAUDUS ROSENB INTERN DISC	SCHEDULE III - ASSETS AND "UNEARNED" INCOME
\$15,001 - \$50,000	\$100,001 - \$250,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	Name					
DIVIDENDS	DIVIDENDS/INTE REST	CAPITAL GAINS	DIVIDENDS	None	None	None	DIVIDENDS/CAPI TAL GAINS	DIVIDENDS	None	DIVIDENDS	DIVIDENDS	DIVIDENDS	DIVIDENDS	Christopher John Lee
\$1 - \$200	\$2,501 - \$5,000	\$1 - \$200	\$1 - \$200	NONE	NONE	NONE	\$1,001 - \$2,500	\$1 - \$200	NONE	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$201 - \$1,000	
ס	Р	P	ס	Р	ס	סי	ס	ס	Р	ס	ס	ס .	P	Page 4 of 59

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SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	R Name Christopher John Lee	her John Lee		Page 5 of 59
	THORNBURG LTD TRM MUNI	\$100,001 - \$250,000	DIVIDENDS/INTE REST	\$201 - \$1,000	ס
	THORNBURG VALUE	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	ס
ï	IRA:				
	FIDELITY MONEY MARKET	\$1 - \$1,000	DIVIDENDS	\$201 - \$1,000	
	AMERICAN EUROPACIFIC GRTH	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	Р
	AMERICAN GRW FD OF AMER	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	Р
	ARTISAN MID CAP VALUE	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	ס

COLUMBIA VALUE & RESTRUCT

DODGE & COX INCOME

\$15,001 -\$50,000

DIVIDENDS

\$1,001 - \$2,500

U

\$1,001 -\$15,000

DIVIDENDS

\$1 - \$200

T

\$1,001 -\$15,000

None

NONE

ס

COLUMBIA ACORN INTL

FAIRHOLME FUND

FIDELITY ADV NEW INSIGHTS

\$1,001 -\$15,000

CAPITAL GAINS

\$1 - \$200

ס

EVERGREEN INTRINSIC VALUE

\$1,001 -\$15,000

DIVIDENDS

\$1 - \$200

T

\$1,001 -\$15,000

DIVIDENDS

\$1 - \$200

U

\$1,001 -\$15,000

DIVIDENDS

\$1 - \$200

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DWS DREMAN S/C VALUE

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name	Christopher John Lee		Page 6 of 59
	FIDELITY EMERGING MARKET	\$1,001 - \$15,000	DIVIDENDS/CAPI TAL GAINS	\$1 - \$200	Ъ
	FIDELITY HIGH INCOME	\$1,001 - \$15,000	DIVIDENDS/CAPI TAL GAINS	\$1 ~ \$200	P
	FIDELITY LEVERAGED CO STOCK	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	ס
	FIDELITY REAL ESTATE	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	Р
	FIDELITY US BOND INDEX	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
	HARBOR INTERNATIONAL	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	Р
	LAZARD EMERG MKT EQY	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	Р
	LOOMIS SAYLES BOND FUND	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	Р
	MASTERS SELECT INTERNATL	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	Ρ
	PIMCO LOW DURATION	\$15,001 - \$50,000	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	Р
	PIMCO TOTAL RETURN	\$15,001 - \$50,000	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	P
	ROYCE PREMIER	\$1,001 - \$15,000	None	NONE	Ρ
	ROYCE VALUE PLUS	\$1,001 - \$15,000	None	NONE	P
	RS VALUE	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	ס

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name	Christopher John Lee		Page 7 of 59
	T ROWE PRICE MID CAP GR	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	U
	THORNBURG INTL VALUE	\$1,001 - \$15,000	None	NONE	יד
	THORNBURG VALUE	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	ס
	VANGUARD S/T INVEST GR	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	סד
	IRA:				
SP	FIDELITY MONEY MARKET	\$1 - \$1,000	DIVIDENDS	\$201 - \$1,000	
SP	AMERICAN EUROPACIFIC GRTH	\$1,001 - \$15,000	DNIDENDS	\$1 - \$200	ס
SP	AMERICAN GRW FD OF AMER	\$1,001 - \$15,000	DIVIDENDS	\$1~\$200	ס
SP	ARTISAN MID CAP VALUE	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	COLUMBIA ACORN INTL	\$1,001 - \$15,000	None	NONE	P
SP	COLUMBIA VALUE & RESTRUCT	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	þ
SP	DODGE & COX INCOME	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	סי
Sp	EVERGREEN INTRINSIC VALUE	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	70
Sp	FIDELITY ADV NEW INSIGHTS	\$1,001 - \$15,000	CAPITAL GAINS	\$1 ~ \$200	ס

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name	Christopher John Lee		Page 8 of 59
SP	FIDELITY EMERGING MARKET	\$1,001 - \$15,000	DIVIDENDS/CAPI TAL GAINS	\$1 - \$200	ס
SP	FIDELITY HIGH INCOME	\$1 - \$1,000	DIVIDENDS/CAPI TAL GAINS	\$1 - \$200	ס
Ş P	FIDELITY LEVERAGED CO STOCK	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	ס
SP	FIDELITY INTERMEDIATE BOND	None	DIVIDENDS	\$1 - \$200	S
SP	FIDELITY REAL ESTATE INVEST	\$1 - \$1,000	None	NONE	P
SP	FIDELITY US BOND INDEX	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
SP	LOOMIS SAYLES BOND FUND	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	ָ י
SP	PIMCO LOW DURATION	\$1,001 - \$15,000	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	יס
SP	PIMCO TOTAL RETURN	\$15,001 - \$50,000	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	ד
SP	RAINIER MID CAP EQUITY	\$1,001 - \$15,000	None	\$1 - \$200	Р
SP	ROYCE PREMIER	\$1,001 - \$15,000	None	NONE	ים
SP	RS PARTNERS	\$1,001 - \$15,000	None	NONE	ס
SP	RS VALUE	\$1,001 - \$15,000	None/DIVIDEND S	\$1 - \$200	ד
SP	THORNBURG INTL VALUE	\$1,001 - \$15,000	None	NONE	"Ū

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name	Christopher John Lee		Page 9 of 59
SP	THORNBURG VALUE	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	ס
	PROCEEDS FROM 2007 INSTALLMENT SALE (INTERNATIONAL MOTION CONTROL INC) (Christopher Lee)	None	DIVIDENDS/INTE REST/CAPITAL GAINS	\$100,001 - \$1,000,000	
	Trust FBO Johnathan Lee (1)- INTEREST IN LEE FAMILY CAPITAL LLC				
DC	FIDELITY MONEY MARKET	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1 - \$200	
DC C	SUMMIT ROCK STRATEGIC FIXED INCOME LP	\$1,001 - \$15,000	None	NONE	ס
DC	LOOMIS SAYLES CREDIT ASSET FUND	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$201 - \$1,000	ס
DC	ISHARES RUSSELL 3000 INDEX ETF	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	PS(part)
DC	ISHARES MSCI EAFE ETF	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	ם
DC	ISHARES MSCI EMERGING MARKET ETF	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	ס
DC	SUMMIT ROCK SELECT EQUITY LP	\$1,001 - \$15,000	None	NONE	ם
DC	SUMMIT ROCK HEDGED EQUITY LP	\$1,001 - \$15,000	None	NONE	P
DC	SUMMIT ROCK DIVERSIFIED STRATEGIES LP	\$1,001 - \$15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME	
Name Christopher John Lee	

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name	Christopher John Lee		Page 10 of 59
DC	SUMMIT ROCK PRIVATE EQUITY LP	\$1,001 - \$15,000	None	NONE	
DC	ISHARES COHEN & STEERS RLTY	\$1 - \$1,000	None	NONE	S(part)
DC	SUMMIT ROCK REAL ASSETS LP	\$1 - \$1,000	None	NONE	
DC	SUMMIT ROCK BALANCED RESERVES LP	\$1,001 - \$15,000	DIVIDENDS/CAPI TAL GAINS/INTERES	\$1 - \$200	
DC	BERSTEIN BROKERAGE CASH BALANCE	\$1 - \$1,000	DIVIDENDS/INTE REST	\$1 - \$200	
DC	CALIFORNIA ECON RECOVERY	\$1 - \$1,000	None	NONE	ס
DC	CALIFORNIA STATEWIDE CDA	\$1 - \$1,000	INTEREST	\$1 - \$200	PS(part)
DC	CAMINO REAL REGL MOB AUTH	\$1 - \$1,000	INTEREST	\$1 - \$200	P
DC	CHICAGO IL TRNSP AUTH	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	CITIZENS PPTY INS CORP FL	\$1 - \$1,000	INTEREST	\$1 - \$200	ס
DC	CLARK CNTY NV ARPT	\$1 - \$1,000	None	NONE	P
DC	FLORIDA DEP FLORIDA FOREVER	\$1 - \$1,000	INTEREST	\$1 - \$200	ס
DC	FEDERAL HOME LOAN BANK	None	INTEREST/CAPIT AL GAINS	\$1 - \$200	PS

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SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Christopher John Lee	her John Lee		Page 11 of 59
DC	FLORIDA HURRICANE CATASTROPHE	\$1 - \$1,000	INTEREST	\$1 - \$200	סי
DC	FULTON CNTY GA DEV AUTH	\$1 - \$1,000	None	NONE	ס ּ
DC	HOUSTON TX ARPT SYS	\$1 - \$1,000	None	NONE	ס
DC	HEALTH CARE AUTH FOR BAPTIST	None	INTEREST	\$1 - \$200	PS
DС	JACKSONVILLE FL ELEC AUTH	\$1,001 - \$15,000	INTEREST	\$1 - \$200	י
DC .	JEFFERSON LA MUNI	\$1 - \$1,000	INTEREST	\$1 - \$200	ס
DC	LONG ISLAND PWR AUTH NY	\$1 - \$1,000	INTEREST	\$1 - \$200	ּס
DC	METROPOLITAN TRNSP AUTH NY	\$1 - \$1,000	INTEREST	\$1 - \$200	ּס
DC	MIAMI-DADE CNTY FL AVIATION	\$1 - \$1,000	INTEREST	\$1 - \$200	Р
DC	MUNI ELECTRIC AUTH OF GA	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	NASSAU CNTY NY	\$1 - \$1,000	None	NONE	ס
DC	NEW YORK N Y CITY MUN WTR	\$1 - \$1,000	INTEREST	\$1 - \$200	ים
DC	NEW JERSEY ED FAC AUTH	None	INTEREST	\$1 - \$200	PS
DC	NEW YORK NY	\$1 - \$1,000	INTEREST	\$1 - \$200	ָ

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Christopher John Lee	ner John Lee		Page 12 of 59
DC DC	NEW YORK NY HLTH & HOSP CORP	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	NEW YORK NY TRNSL FIN AUTH	\$1 - \$1,000	INTEREST/CAPIT AL GAINS	\$1 - \$200	PS(part)
DC	NEW YORK ST DORM AUTH	\$1 - \$1,000	INTEREST/CAPIT AL GAINS	\$1 - \$200	PS(part)
DC	NEW YORK ST ENVIRONMENTAL FACS	\$1 - \$1,000	INTEREST/CAPIT AL GAINS	\$1 - \$200	PS(part)
DC	NEW YORK ST THRUWAY AUTH	\$1 - \$1,000	INTEREST	\$1 - \$200	ס
DC	NEW YORK ST LOC GOV ASST CORP	None	INTEREST/CAPIT AL GAINS	\$1 - \$200	PS
DC	NORTH CAROLINA EASTN MUN PWR	\$1 - \$1,000	None	NONE	ס
DC	OHIO AIR QUALITY DEV AUTH	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	ONONDAGA CNTY NY	\$1 - \$1,000	None	NONE	ס
DC	OREGON HEALTH SCIENCES	None	INTEREST	\$1 - \$200	PS
DC	PORT AUTHORITY OF NY &	\$1 - \$1,000	INTEREST	\$1 - \$200	ס
DC	PUERTO RICO COMWLTH GOVT	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	PUERTO RICO SALES TAX FIN CORP	\$1 - \$1,000	None	NONE	P
DC	TEXAS TRNSP COMM CENTRAL TEXAS TURNPIKE	\$1 - \$1,000	INTEREST	\$1 - \$200	ס

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00 00 8 00 WEST VIRGINIA EDA WEST VIRGINIA ECON DEV AUTH FIDELITY MONEY MARKET CAPITAL LLC Trust FBO Johnathan Lee (2)-INTEREST IN LEE FAMILY TRIBOROUGH BRDG & TUNL AUTH NY TOMPKINS CNTY NY IDA \$15,001 -\$50,000 \$1 - \$1,000 \$1 - \$1,000 \$1 - \$1,000 Name Christopher John Lee DIVIDENDS/INTE REST/CAPITAL GAINS None INTEREST INTEREST NONE \$201 - \$1,000 \$1 - \$200 \$1 - \$200 v ס Page 13 of 59

))	DC	DC	DC	DC	DC]	DC	DC	
SUMMIT ROCK DIVERSIFIED STRATEGIES LP	SUMMIT ROCK HEDGED EQUITY LP	SUMMIT ROCK SELECT EQUITY LP	ISHARES MSCI EMERGING MARKET ETF	ISHARES MSCI EAFE ETF	ISHARES RUSSELL 3000 INDEX ETF	-	LOOMIS SAYLES CREDIT ASSET FUND	SUMMIT ROCK STRATEGIC FIXED INCOME LP	
\$100,001 - \$250,000	\$100,001 - \$250,000	\$100,001 - \$250,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$15,001 - \$50,000	-	\$15,001 - \$50,000	\$15,001 - \$50,000	
None	None	None	DIVIDENDS	DIVIDENDS	DIVIDENDS	GAINS	DIVIDENDS/INTE REST/CAPITAL	None	GAINS
NONE	NONE	NONE	\$1 - \$200	\$1 - \$200	\$201 - \$1,000		\$2,501 - \$5,000	NONE	
	70	ָ טר	Р	ס	PS(part)		ס	ט	

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name	Christopher John Lee		Page 14 of 59
DC	SUMMIT ROCK PRIVATE EQUITY LP	\$15,001 - \$50,000	None	NONE	
DC	ISHARES COHEN & STEERS RLTY	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
ВC	SUMMIT ROCK REAL ASSETS LP	\$1,001 - \$15,000	None	NONE	
DC	SUMMIT ROCK BALANCED RESERVES LP	\$15,001 - \$50,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$201 - \$1,000	
DC	BERSTEIN BROKERAGE CASH BALANCE	\$1,001 - \$15,000	DIVIDENDS/INTE REST	\$1 - \$200	
DC	CALIFORNIA ECON RECOVERY	\$1,001 - \$15,000	None	NONE	P
DC	CALIFORNIA STATEWIDE CDA	\$1,001 - \$15,000	INTEREST	\$1 - \$200	PS(part)
DC	CAMINO REAL REGL MOB	\$1,001 - \$15,000	INTEREST	\$1 - \$200	ס
DC	CHICAGO IL TRNSP AUTH	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	CITIZENS PPTY INS CORP FL	\$1 - \$1,000	INTEREST	\$1 - \$200	ם
DC	CLARK CNTY NV ARPT	\$1,001 - \$15,000	None	NONE	q
8	FEDERAL HOME LOAN BANK	None	INTEREST/CAPIT AL GAINS	\$1 - \$200	PS
DC	FLORIDA DEP FLORIDA FOREVER	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
DC	FLORIDA HURRICANE CATASTROPHE	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P

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SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name	Christopher John Lee	
DC	FULTON CNTY GA DEV AUTH	\$1,001 - \$15,000	None	NONE
DC	HEALTH CARE AUTH FOR BAPTIST	None	INTEREST	\$1 - \$200
DC	HOUSTON TX ARPT SYS	\$1,001 - \$15,000	None	NONE
DC	JACKSONVILLE FL ELEC AUTH	\$1,001 - \$15,000	INTEREST	\$1 - \$200
DC	JEFFERSON LA MUNI	\$1,001 - \$15,000	INTEREST	\$1 - \$200
DC	LONG ISLAND PWR AUTH NY	\$1,001 - \$15,000	INTEREST	\$1 - \$200
DC	METROPOLITAN TRNSP AUTH NY	\$1,001 - \$15,000	INTEREST	\$1 - \$200
DC	MIAMI-DADE CNTY FL AVIATION	\$1,001 - \$15,000	INTEREST	\$1 - \$200
DC	MUNI ELECTRIC AUTH OF GA	\$1,001 - \$15,000	INTEREST	\$1 - \$200
DC	NASSAU CNTY NY	\$1,001 - \$15,000	None	NONE
DC	NEW JERSEY ED FAC AUTH	Nane	INTEREST	\$1 - \$200
DC	NEW YORK N Y CITY MUN WTR	\$1,001 - \$15,000	INTEREST	\$1 - \$200
DC	NEW YORK N Y	\$1,001 - \$15,000	INTEREST	\$1 - \$200
DC	NEW YORK NY HLTH & HOSP CORP	\$1,001 - \$15,000	INTEREST	\$1 - \$200

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SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name	Christopher John Lee		Page 16 of 59
DC	NEW YORK NY TRNSL FIN AUTH	\$1,001 - \$15,000	INTEREST/CAPIT AL GAINS	\$201 - \$1,000	PS(part)
DC	NEW YORK ST DORM AUTH	\$1,001 - \$15,000	INTEREST/CAPIT AL GAINS	\$201 - \$1,000	PS(part)
DC	NEW YORK ST ENVIRONMENTAL FACS	\$1,001 - \$15,000	INTEREST/CAPIT AL GAINS	\$201 - \$1,000	PS(part)
DC	NEW YORK ST THRUWAY AUTH	\$1,001 - \$15,000	INTEREST	\$1 - \$200	Р
DC	NEW YORK ST LOC GOV ASST CORP	None	DIVIDENDS/CAPI TAL GAINS	\$1 - \$200	PS
DC	NORTH CAROLINA EASTN MUN PWR	\$1,001 - \$15,000	None	NONE	ס
DC	OHIO AIR QUALITY DEV AUTH	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	ONONDAGA CNTY NY	\$1,001 - \$15,000	None	NONE	P
DC	OREGON HEALTH SCIENCES UNIV	None	INTEREST	\$1 - \$200	PS
DC	PORT AUTHORITY OF NY &	\$1,001 - \$15,000	INTEREST	\$1 - \$200	- G
DC	PUERTO RICO COMWLTH GOVT	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	PUERTO RICO SALES TAX FIN CORP	\$1,001 - \$15,000	None	NONE	P
DC	TEXAS TRNSP COMM CENTRAL TEXAS TURNPIKE	\$1,001 - \$15,000	INTEREST	\$1 - \$200	ס
DC	TOMPKINS CNTY NY IDA	\$1,001 - \$15,000	None	NONE	סי

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name	Christopher John Lee		Page 17 of 59
DC	TRIBOROUGH BRDG & TUNL AUTH NY	\$1,001 - \$15,000	INTEREST	\$1 - \$200	ּ
DC	WEST VIRGINIA EDA WEST VIRGINIA ECON DEV AUTH	\$1 - \$1,000	INTEREST	\$1 - \$200	
	TRUST FBO CHRISTOPHER J LEE -INTEREST IN LEE FAMILY CAPITAL LLC				
	FIDELITY MONEY MARKET	\$100,001 - \$250,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$2,501 - \$5,000	
, , , , , , , , , , , , , , , , , , ,	SUMMIT ROCK STRATEGIC FIXED INCOME LP	\$250,001 - \$500,000	None	NONE	ס
	LOOMIS SAYLES CREDIT ASSET FUND	\$250,001 - \$500,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$15,001 - \$50,000	Р
	ISHARES RUSSELL 3000 INDEX ETF	\$250,001 - \$500,000	DIVIDENDS	\$1,001 - \$2,500	PS(part)
	ISHARES MSCI EAFE ETF	\$100,001 - \$250,000	DIVIDENDS	\$1,001 - \$2,500	ס
	ISHARES MSCI EMERGING MARKET ET	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	טר
	SUMMIT ROCK SELECT EQUITY	\$1,000,001 - \$5,000,000	None	NONE	יס
	SUMMIT ROCK HEDGED EQUITY LP	\$1,000,001 - \$5,000,000	None	NONE	ס
	SUMMIT ROCK DIVERSIFIED STRATEGIES LP	\$1,000,001 - \$5,000,000	None	NONE	
	SUMMIT ROCK PRIVATE EQUITY LP	\$100,001 - \$250,000	None	NONE	

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FULTON CNTY GA DEV AUTH \$1	FLORIDA HURRICANE \$1 CATASTROPHE \$5	FEDERAL HOME LOAN BANK	FLORIDA DEP FLORIDA \$1 FOREVER \$5	CLARK CNTY NV ARPT \$1	CITIZENS PPTY INS CORP FL \$1	CHICAGO IL TRNSP AUTH \$1	CAMINO REAL REGL MOB \$1 AUTH \$5	CALIFORNIA STATEWIDE \$1 CDA \$1	CALIFORNIA ECON RECOVERY \$5	BERSTEIN BROKERAGE \$1 CASH BALANCE \$1	SUMMIT ROCK BALANCED \$2 RESERVES LP \$5	SUMMIT ROCK REAL \$5 ASSETS LP \$1	ISHARES COHEN & STEERS \$1 RLTY \$5	SCHEDULE III - ASSETS AND "UNEARNED" INCOME
\$15,001 - \$50,000	\$15,001 - \$50,000	None	\$15,001 - \$50,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$1,001 - \$15,000	\$250,001 - \$500,000	\$50,001 - \$100,000	\$15,001 - \$50,000	Name Christoph
None	INTEREST	INTEREST/CAPIT AL GAINS	INTEREST	None	INTEREST	INTEREST	INTEREST	INTEREST	None	DIVIDENDS/INTE REST	DIVIDENDS/INTE REST/CAPITAL GAINS	None	DIVIDENDS	Christopher John Lee
NONE	\$1 - \$200	\$201 - \$1,000	\$1 - \$200	NONE	\$201 - \$1,000	\$201 - \$1,000	\$1 - \$200	\$1 - \$200	NONE	\$201 - \$1,000	\$2,501 - \$5,000	NONE	\$201 - \$1,000	
יט 	Ū	PS	ס	ט	ס		יד	PS(part)	טר				S(part)	Page 18 of 59

NEW YORK NY TRNSL FIN AUTH	NEW YORK NY HLTH & HOSP CORP	NEW YORK N Y	NEW JERSEY ED FAC AUTH	NEW YORK N Y CITY MUN WTR	NASSAU CNTY NY	MUNI ELECTRIC AUTH OF GA	MIAMI-DADE CNTY FL AVIATION	METROPOLITAN TRNSP AUTH NY	LONG ISLAND PWR AUTH NY	JEFFERSON LA MUNI	JACKSONVILLE FL ELEC AUTH	HEALTH CARE AUTH FOR BAPTIST	HOUSTON TX ARPT SYS	SCHEDULE III - ASSETS AND "UNEARNED" INCOME
\$15,001 - \$50,000	\$15,001 - \$50,000	\$50,001 - \$100,000	None	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	None	\$1,001 - \$15,000	Name
INTEREST/CAPIT AL GAINS	INTEREST	INTEREST	INTEREST	INTEREST	None	INTEREST	INTEREST	INTEREST	INTEREST	INTEREST	INTEREST	INTEREST	None	Christopher John Lee
\$2,501 - \$5,000	\$201 - \$1,000	\$201 - \$1,000	\$1 - \$200	\$1,001 - \$2,500	NONE	\$201 - \$1,000	\$201 - \$1,000	\$201 - \$1,000	\$201 - \$1,000	\$201 - \$1,000	\$201 - \$1,000	\$1 - \$200	NONE	
PS(part)		ם	PS	Ū	ד		יד	יסי	י ס־	ד	ס	PS	P	Page 19 of 59

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SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Christopher John Lee	rer John Lee		Page 20 of 59
	NEW YORK ST DORM AUTH	\$15,001 - \$50,000	INTEREST/CAPIT AL GAINS	\$1,001 - \$2,500	PS(part)
	NEW YORK ST ENVIRONMENTAL FACS	\$15,001 - \$50,000	INTEREST/CAPIT AL GAINS	\$2,501 - \$5,000	PS(part)
	NEW YORK ST THRUWAY AUTH	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	Р
	NORTH CAROLINA EASTN MUN PWR	\$1,001 - \$15,000	None	NONE	q
	NEW YORK ST LOC GOVT ASST CORP	None	INTEREST/CAPIT AL GAINS	\$201 - \$1,000	PS
	OHIO AIR QUALITY DEV AUTH	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	ONONDAGA CNTY NY	\$15,001 - \$50,000	None	NONE	q
1	PORT AUTHORITY OF NY & NJ	\$15,001 - \$50,000	INTEREST	\$1 - \$200	ס
	OREGON HEALTH SCIENCES UNIV	None	INTEREST	\$1 - \$200	PS
	PUERTO RICO COMWLTH GOVT	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
	PUERTO RICO SALES TAX FIN CORP	\$15,001 - \$50,000	None	NONE	P
	TEXAS TRNSP COMM CENTRAL TEXAS TURNPIKE	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	ס
	TOMPKINS CNTY NY IDA	\$1,001 - \$15,000	None	NONE	Р
	TRIBOROUGH BRDG & TUNL AUTH NY	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	סי

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME	
Name (
Christopher John Lee	

-	SUMMIT ROCK BALANCED RESERVES LP	SUMMIT ROCK PRIVATE EQUITY LP	SUMMIT ROCK DIVERSIFIED STRATEGIES LP	SUMMIT ROCK HEDGED EQUITY LP	SUMMIT ROCK SELECT EQUITY LP	ISHARES MSCI EMERGING MARKET ETF	ISHARES MSCI EAFE ETF	ISHARES RUSSELL 3000 INDEX ETF	LOOMIS SAYLES CREDIT ASSET FUND	SUMMIT ROCK STRATEGIC FIXED INCOME LP	FIDELITY MONEY MARKET	CHARITABLE TRUST FBO CHRISTOPHER J LEE - INTEREST IN LEE CHARITABLE CAPITAL LLC	WEST VIRGINIA EDA WEST VIRGINIA ECON DEV AUTH	SCHEDULE III - ASSETS AND "UNEARNED" INCOME
•	\$100,001 - \$250,000	\$1,001 - \$15,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$500,001 - \$1,000,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$100,001 - \$250,000	\$100,001 - \$250,000	\$100,001 - \$250,000		\$1,001 - \$15,000	Name
GAINS	DIVIDENDS/INTE REST/CAPITAL	None	None	None	None	DIVIDENDS	DIVIDENDS	DIVIDENDS	DIVIDENDS/INTE REST/CAPITAL GAINS	None	DIVIDENDS/INTE REST		INTEREST	Christopher John Lee
•	\$5,001 - \$15,000	NONE	NONE	NONE	NONE	\$201 - \$1,000	\$201 - \$1,000	\$1,001 - \$2,500	\$5,001 - \$15,000	NONE	\$1,001 - \$2,500		\$201 - \$1,000	
•		יד	Ū	ט	ס	ਹ	Ū	PS(part)	יסי	ס				Page 21 of 59

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name	Christopher John Lee		Page 22 of 59
	BERSTEIN BROKERAGE CASH BALANCE	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
	CAMINO REAL REGL MOB	\$15,001 - \$50,000	INTEREST	\$1 - \$200	ס
	CALIFORNIA STATEWIDE CDA	None	INTEREST	\$1 - \$200	PS
	CHICAGO IL TRNSP AUTH	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	CITIZENS PPTY INS CORP FL	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	ס
	CLARK CNTY NV ARPT	\$1,001 - \$15,000	None	NONE	ק
	ENERGY NORTHWEST WA	None	INTEREST/CAPIT AL GAINS	\$201 - \$1,000	PS
:	FEDERAL HOME LOAN BANK	None	INTEREST	\$1 - \$200	PS
	FLORIDA DEP FLORIDA FOREVER	\$15,001 - \$50,000	INTEREST	\$1 - \$200	P
	FLORIDA HURRICANE CATASTROPHE	\$15,001 - \$50,000	None	NONE	P
	FULTON CNTY GA DEV AUTH	None	INTEREST/CAPIT AL GAINS	\$1 - \$200	PS
	HOUSTON TX ARPT SYS	\$15,001 - \$50,000	None	NONE	P
	HEALTH CARE AUTH FOR BAPTIST	None	INTEREST	\$1 - \$200	PS
	JACKSONVILLE FL ELEC AUTH	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	70

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SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name	Christopher John Lee		Page 23 of 59
	JEFFERSON LA MUNI	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	ס
	LONG ISLAND PWR AUTH	\$1,001 - \$15,000	INTEREST/CAPIT AL GAINS	\$1,001 - \$2,500	PS(part)
ī	METROPOLITAN TRNSP AUTH NY	\$15,001 - \$50,000	INTEREST/CAPIT AL GAINS	\$201 - \$1,000	PS(part)
	MIAMI-DADE CNTY FL AVIATION	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	ס
	MUNI ELECTRIC AUTH OF GA	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
	NEW YORK NY	\$15,001 - \$50,000	INTEREST/CAPIT AL GAINS	\$2,501 - \$5,000	PS(part)
	NASSAU CNTY NY	None	INTEREST/CAPIT AL GAINS	\$1 - \$200	PS
	NEW JERSEY ED FAC AUTH	None	INTEREST	\$1 - \$200	PS
	NEW YORK N Y CITY MUN WTR	\$15,001 - \$50,000	INTEREST/CAPIT AL GAINS	\$1,001 - \$2,500	PS(part)
	NEW YORK NY HLTH & HOSP	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
, I	NEW YORK NY TRNSL FIN AUTH	\$1,001 - \$15,000	INTEREST/CAPIT AL GAINS	\$5,001 - \$15,000	PS(part)
	NEW YORK ST DORM AUTH	\$15,001 - \$50,000	INTEREST/CAPIT AL GAINS	\$1,001 - \$2,500	PS(part)
	NEW YORK ST EVNRMNTL FACS	\$15,001 - \$50,000	INTEREST	\$2,501 - \$5,000	PS(part)
	NORTH CAROLINA EASTN MUN PWR	\$15,001 - \$50,000	None	NONE	70

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TOMPKINS CNTY NY IDA \$15,001 - None NONE P	TOBACCO SETTLEMENT \$15,001 - INTEREST \$1,001 - \$2,500 P FING CORP NY \$50,000	TEXAS TRNSP COMM \$15,001 - INTEREST \$201 - \$1,000 P CENTRAL TEXAS TURNPIKE \$50,000	PUERTO RICO SALES TAX \$15,001 - None NONE P FIN CORP	PUERTO RICO PUB BLDGS \$1,001 - None NONE P AUTH	PUERTO RICO COMWLTH \$15,001 - INTEREST \$201 - \$1,000	PORT AUTHORITY OF NY & \$15,001 - INTEREST \$1 - \$200 P NJ	OREGON HLTH SCIENCES None INTEREST \$1 - \$200 PS	ONONDAGA CNTY NY None None INTEREST/CAPIT \$1,001 - \$2,500 PS AL GAINS	OHIO AIR QUALITY DEV AUTH \$1,001 - INTEREST \$201 - \$1,000 \$15,000	NEW YORK ST THRUWAY None None INTEREST/CAPIT \$5,001 - \$15,000 AUTH AL GAINS	NEW YORK ST LOC GOVT None INTEREST/CAPIT \$1,001 - \$2,500 ASST CORP ASST CORP	NORTH CAROLINA MUN PWR \$15,001 - None NONE P	SCHEDULE III - ASSETS AND "UNEARNED" INCOME Name Christopher John Lee
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SCHEDULE III - ASSETS AND "UNEARNED" INCOME SP SP SP SP SECTOR INDEX FD AMERICAN TECHNOLOCY RESOURCES SECTOR AMERICAN NATURAL SECTOR INDEX FD ISHARES TR FTSE XINHUA HK CHINA 25 INDEX FD **DISNEY WALT COMPANY** BERKSHIRE HATHAWAY INC ISHARES S&P NORTH ISHARES S&P NORTH **TELECOMMUNICATIONS ISHARES TR S&P GLOBAL EMERGING MKTS INDEX FD** ISHARES TR MSCI INFRASTRUCTURE HOLDRS INTERNET Individual Account (2): CASH MONEY MARKET MIDDLEBY CORP Individual Account: AUTH NY TRIBOROUGH BRDG & TUNL NDEX FD \$1,001 -\$15,000 \$1,001 -\$15,000 \$1,001 -\$15,000 \$1,001 -None \$15,000 \$1,001 -\$15,000 \$1,001 -\$15,000 \$1,001 -\$15,000 \$1,001 -\$15,000 \$15,000 \$1,001 -\$15,000 \$1,001 -Name Christopher John Lee None DIVIDENDS None INTEREST/CAPIT DIVIDENDS DVIDENDS DIVIDENDS CAPITAL GAINS DVIDENDS DIVIDENDS INTEREST AL GAINS \$2,501 - \$5,000 NONE \$1 - \$200 \$1 - \$200 \$1 - \$200 \$1 - \$200 NONE \$1 - \$200 \$1 - \$200 \$1 - \$200 \$1 - \$200 ВS Page 25 of 59

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SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Christopher John Lee	her John Lee		Page 26 of 59
	CASH MONEY MARKET	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	Individual Account (3):				
	QUANTUM FUEL SYS TECHNOLOGIES WORLDWIDE	\$1,001 - \$15,000	None	NONE	
	OTHER				,
	PRINCIPAL FINANCIAL GROUP	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Residential property, Lancaster, NY	\$100,001 - \$250,000	None	NONE	

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. Name Christopher John Lee Page 27 of 59

SP, DC,	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Individual Account (1):				
	AMERICAN EUROPACIFIC GRTH 3 PURCHASES	ס	N/A	VARIOUS	\$15,001 - \$50,000
	AMERICAN GRW FD OF AMER 4 PURCHASES		N/A	VARIOUS	\$50,001 - \$100,000
	ARTISAN MID CAP VALUE 3 PURCHASES	ס-	N/A	VARIOUS	\$15,001 - \$50,000
	COLUMBIA ACORN INTL 3 PURCHASES	יס"	N/A	VARIOUS	\$15,001 - \$50,000
	COLUMBIA VALUE & RESTRUCT 5 PURCHASES	ס	N/A	VARIOUS	\$15,001 - \$50,000
	DODGE & COX INTL STOCK 3 PURCHASES	ים	N/A	VARIOUS	\$15,001 - \$50,000
	DWS DREMAN S/C VALUE 4 PURCHASES		N/A	VARIOUS	\$15,001 - \$50,000
	EVERGREEN INTRINSIC VALUE 4 PURCHASES	ס	N/A	VARIOUS	\$50,001 - \$100,000
	FAIRHOLME FUND 4 PURCHASES	ס	N/A	VARIOUS	\$50,001 - \$100,000
	FIDELITY ADV NEW INSIGHTS 4 PURCHASES	q	N/A	VARIOUS	\$50,001 - \$100,000

Name Christopher John Lee

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any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of

SP, DC,	Asset	Type of Transaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
	FIDELITY EMERGING MARKET 4 PURCHASES	d	A/N	VARIOUS	\$15,001 - \$50,000
	FIDELITY HIGH INCOME 6 PURCHASES	ם.	N/A	VARIOUS	\$15,001 - \$50,000
	FIDELITY INTERMEDIATE MUNI 26 PURCHASES	ס	N/A	VARIOUS	\$100,001 - \$250,000
	FIDELITY LEVERAGED CO STOCK 3 PURCHASES	ס	N/A	VARIOUS	\$15,001 - \$50,000
	FIDELITY SHORT INTERM MUNI 14 PURCHASES	ď	N/A	VARIOUS	\$100,001 - \$250,000
	HARBOR INTERNATIONAL 3 PURCHASES	ָם	N/A	VARIOUS	\$15,001 - \$50,000
·	HARBOR INTERNATIONAL GRO	P	A/N	7/27/2009, 12/18/10	\$15,001 - \$50,000
	JANUS OVERSEAS	ס	A/N	12/22/2009, 11/16/09	\$15,001 - \$50,000
	LAUDUS ROSENB INTERN DISC 4 PURCHASES	ק	A/N	VARIOUS	\$15,001 - \$50,000
	LAZARD EMERG MKT EQY 4 PURCHASES	d	A/N	VARIOUS	\$15,001 - \$50,000
	LOOMIS SAYLES BOND FUND 4 PURCHASES	ד	N/A	VARIOUS	\$15,001 - \$50,000

Name Christopher John Lee

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

•					
SP, DC,		Type of	Capital Gain in		
Į,	Asset	Transaction	of \$200?	Date	Amount of Transaction
	MASTERS SELECT INTERNATL 3 PURCHASES	ם	A/N	VARIOUS	\$15,001 - \$50,000
•	MORGAN STANLEY INS US REAL ESTATE	יט	N/A	11/16/2009, 10/28/09	\$15,001 - \$50,000
	PERKINS MID CAP VAL 3 PURCHASES	ד	A/N	VARIOUS	\$15,001 - \$50,000
	PIMCO COMMODITY RR STRAT 4 PURCHASES	ס	A/N	VARIOUS	\$15,001 - \$50,000
	ROYCE PREMIER 3 PURCHASES	ס	A/N	VARIOUS	\$15,001 - \$50,000
	ROYCE VALUE PLUS	P	A/N	7/27/2009,11/16 /09	\$1,001 - \$15,000
	RS PARTNERS	ס	N/A	7/27/2009, 11/16/09	\$1,001 - \$15,000
	RS VALUE 3 PURCHASES	'ם	N/A	VARIOUS	\$15,001 - \$50,000
	T ROWE PRICE MID CAP GR 3 PURCHASES	Р	N/A	VARIOUS	\$15,001 - \$50,000
	THORNBURG INTERMED MUNI 14 PURCHASES	P	N/A	VARIOUS	\$100,001 - \$250,000
	THORNBURG LTD TRM MUNI 5 PURCHASES	ס	N/A	VARIOUS	\$100,001 - \$250,000

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. Name Christopher John Lee Page 30 of 59

SP,		Type of	Capital Gain in		
JT	Asset	Transaction	of \$2007	Date	Amount of Transaction
	THORNBURG VALUE 5 PURCHASES	ס	N/A	VARIOUS	\$50,001 - \$100,000
	THORNBURG INTL VALUE 3 PURCHS	ס	N/A	VARIOUS	\$15,001 - \$50,000
	IRA:		į		
	AMERICAN EUROPACIFIC GRTH	ס	N/A	11/16/2009, 12/28/09	\$1,001 - \$15,000
	AMERICAN GRW FD OF AMER 3 PURCHASES	U	N/A	VARIOUS	\$1,001 - \$15,000
	ARTISAN MID CAP VALUE	ט	N/A	7/27/2009, 12/17/09	\$1,001 - \$15,000
	COLUMBIA ACORN INTL	טר	N/A	7/27/2009, 10/28/09	\$1,001 - \$15,000
	COLUMBIA VALUE & RESTRUCT 3 PURCHASES	q	N/A	VARIOUS	\$1,001 - \$15,000
	DODGE & COX INCOME 5 PURCHASES	P	N/A	VARIOUS	\$15,001 - \$50,000
	DWS DREMAN S/C VALUE	ס	N/A	7/27/2009, 12/21/09	\$1,001 - \$15,000
	EVERGREEN INTRINSIC VALUE 3 PURCHASES		N/A	VARIOUS	\$1,001 - \$15,000

Name Christopher John Lee

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any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of

											SP, DC,
MASTERS SELECT INTERNATL	LOOMIS SAYLES BOND FUND 4 PURCHASES	LAZARD EMERG MKT EQY 3 PURCHASES	FIDELITY US BOND INDEX FUND	HARBOR INTERNATIONAL	FIDELITY REAL ESTATE 3 PURCHASES	FIDELITY LEVERAGED CO STOCK 3 PURCHASES	FIDELITY HIGH INCOME 6 PURCHASES	FIDELITY EMERGING MARKET 3 PURCHASES	FIDELITY ADV NEW INSIGHTS 3 PURCHASES	FAIRHOLME FUND	Asset
ס	P	P	S	ּ	יד	ם.	ס	ס	ס	P	Type of Transaction
N/A	A/N	N/A	Yes	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Capital Gain in Excess of \$200?
7/27/2009, 12/30/09	VARIOUS	VARIOUS	1/27/09	7/27/2009, 12/18/09	VARIOUS	VARIOUS	VARIOUS	VARIOUS	VARIOUS	10/28/2009, 12/16/09	Date
\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	Amount of Transaction

Name Christopher John Lee

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	PIMCO LOW DURATION 15 PURCHASES	P	N/A	VARIOUS	\$15,001 - \$50,000
	PIMCO TOTAL RETURN 12 PURCHASES	ס	N/A	VARIOUS	\$1,001 - \$15,000
	ROYCE PREMIER	סי	N/A	10/28/2009	\$1,001 - \$15,000
	ROYCE VALUE PLUS	ס-	N/A	7/27/2009	\$1,001 - \$15,000
	RS VALUE	ס	N/A	11/16/2009, 12/18/09	\$1,001 - \$15,000
	T ROWE PRICE MID CAP GR	q	N/A	7/27/2009, 12/14/09	\$1,001 - \$15,000
	THORNBURG INTL VALUE	P	N/A	10/28/2009	\$1,001 - \$15,000
	THORNBURG VALUE	P	N/A	10/28/2009, 12/24/09	\$1,001 - \$15,000
	VANGUARD S/T INVEST GR 4 PURCHASES	P	N/A	VARIOUS	\$15,001 - \$50,000
	IRA:				
qs.	AMERICAN EUROPACIFIC GRTH 3 PURCHASES	ק	N/A	VARIOUS	\$1,001 - \$15,000

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. Name Christopher John Lee Page 33 of 59

SP, DC,		Type of	Capital Gain in		,
JT	Asset	Transaction	of \$2007	Date	Amount of Transaction
SP	AMERICAN GRW FD OF AMER	P	N/A	7/27/2009, 12/22/09	\$1,001 - \$15,000
SP	ARTISAN MID CAP VALUE	P	N/A	7/27/2009, 12/17/09	\$1,001 - \$15,000
SP	COLUMBIA ACORN INTL	ָס	N/A	7/27/2009, 10/28/09	\$1,001 - \$15,000
SP	COLUMBIA VALUE & RESTRUCT	Ρ	N/A	12/9/2009, 10/28/09	\$1,001 - \$15,000
SP	DODGE & COX INCOME 4 PURCHASES	P	N/A	VARIOUS	\$1,001 - \$15,000
SP	EVERGREEN INTRINSIC VALUE	ס	N/A	7/27/2009, 12/09/09	\$1,001 - \$15,000
SP	FIDELITY ADV NEW INSIGHTS	P	N/A	12/23/2009, 10/28/09	\$1,001 - \$15,000
SP	FIDELITY EMERGING MARKET 3 PURCHASES	ס	N/A	VARIOUS	\$1,001 - \$15,000
SP	FIDELITY HIGH INCOME 6 PURCHASES	ס	N/A	VARIOUS	\$1,001 - \$15,000
qs	FIDELITY LEVERAGED CO STOCK	q	N/A	12/11/2009, 10/28/09	\$1,001 - \$15,000
SP	FIDELITY INTERMEDIATE BOND	ω	N _o	1/27/09	\$1,001 - \$15,000

Name Christopher John Lee

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC,	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	FIDELITY REAL ESTATE INVEST 3 PURCHASES	סי	A/N	VARIOUS	\$1,001 - \$15,000
SP	FIDELITY US BOND INDEX FUND	S	Yes	1/27/09	\$1,001 - \$15,000
SP	LOOMIS SAYLES BOND FUND 4 PURCHASES	טי	N/A	VARIOUS	\$1,001 - \$15,000
SP	PIMCO LOW DURATION 14 PURCHASES	ס	N/A	VARIOUS	\$1,001 - \$15,000
SP	PIMCO TOTAL RETURN 6 PURCHASES	יס	N/A	VARIOUS	\$15,001 - \$50,000
SP	RAINIER MID CAP EQUITY	P	N/A	7/27/2009, 12/16/09	\$1,001 - \$15,000
SP	ROYCE PREMIER 3 PURCHASES	ס	A/N	VARIOUS	\$1,001 - \$15,000
SP	RS PARTNERS	ם	N/A	10/28/09, 11/16/09	\$1,001 - \$15,000
SP	RS VALUE	P	N/A	12/18/2009, 11/16/09	\$1,001 - \$15,000
S _P	THORNBURG INTL VALUE	ס־	N/A	11/16/2009	\$1,001 - \$15,000
SP	THORNBURG VALUE	G	N/A	7/27/20099, 11/16/09	\$1,001 - \$15,000

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Trust FBO Johnathan Lee (1) - INTEREST IN LEE FAMILY CAPITAL LLC				
DC	SUMMIT ROCK STRATEGIC FIXED INCOME	ס	N/A	2/5/09, 5/20/2009	\$1,001 - \$15,000
DC	LOOMIS SAYLES CREDIT ASSET FUND	ס	N/A	7/15/2009	\$1,001 - \$15,000
DC	ISHARES RUSSELL 3000 INDEX ETF 3 PURCHASES	ס	N/A	VARIOUS	\$1,001 - \$15,000
DC	ISHARES RUSSELL 3000 INDEX ETF 3 SALES	S(part)	N _o	VARIOUS	\$1,001 - \$15,000
DC	ISHARES MSCI EAFE ETF 3 PURCHASES	ס	N/A	VARIOUS	\$1,001 - \$15,000
DC	ISHARES MSCI EMERGING MARKET ETF 3 PURCHASES	Р	N/A	VARIOUS	\$1,001 - \$15,000
DC	SUMMIT ROCK SELECT EQUITY LP	P	N/A	1/23/09, 3/24/2009	\$1,001 - \$15,000
DC	SUMMIT ROCK HEDGED EQUITY LP	O.	N/A	3/24/2009	\$1,001 - \$15,000
DC	ISHARES COHEN & STEERS RLTY	S(part)	No	3/6/09, 2/10/09	\$1,001 - \$15,000
DC	IPATH DOW JONES-UBS COMMDTY 3 SALES	Ø	No	VARIOUS	\$1,001 - \$15,000

Name Christopher John Lee

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC	ISHARES MSCI ACWI EX US INDEX	S	No	2/24/09, 2/25/09	\$1,001 - \$15,000
DC	CALIFORNIA ECON RECOVERY	ט	N/A	12/01/09	\$1,001 - \$15,000
DC	CALIFORNIA ECON RECOVERY	ם.	N/A	2/19/09, 11/10/09	\$1,001 - \$15,000
DC	CALIFORNIA STATEWIDE CDA	S	No	1/8/09, 2/26/09	\$1,001 - \$15,000
DC	CAMINO REAL REGL MOB AUTH	U	N/A	08/05/09	\$1,001 - \$15,000
DC	CITIZENS PPTY INS CORP FL	ס"	N/A	05/01/09	\$1,001 - \$15,000
DC	CLARK CNTY NV ARPT	ָּ סד	N/A	09/17/09	\$1,001 - \$15,000
DC	FLORIDA DEP FLORIDA FOREVER	ק	N/A	01/14/09	\$1,001 - \$15,000
DC	FEDERAL HOME LOAN BANK	P	A/N	09/03/09	\$1,001 - \$15,000
DC	FEDERAL HOME LOAN BANK 5 SALES	S	No	VARIOUS	\$1,001 - \$15,000
DC	FLORIDA HURRICANE CATASTROPHE	יס	N/A	04/09/09	\$1,001 - \$15,000

Name Christopher John Lee

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC	FULTON CNTY GA DEV AUTH	ק	N/A	09/17/09	\$1,001 - \$15,000
DC	HOUSTON TX ARPT SYS	ס	N/A	07/31/09	\$1,001 - \$15,000
DC	HEALTH CARE AUTH FOR BAPTIST	ָ ק	N/A	01/29/09	\$1,001 - \$15,000
DC	HEALTH CARE AUTH FOR BAPTIST	S	No	05/21/09	\$1,001 - \$15,000
DC	JACKSONVILLE FL ELEC AUTH	ď	N/A	02/20/09	\$1,001 - \$15,000 •
DC	JEFFERSON LA MUNI	ס	N/A	05/28/09	\$1,001 - \$15,000
DC	LONG ISLAND PWR AUTH NY	P	A/N	05/07/09	\$1,001 - \$15,000
DC	METROPOLITAN TRNSP AUTH NY	P	A/N	4/24/09, 11/4/09	\$1,001 - \$15,000
DC	MIAMI-DADE CNTY FL AVIATION	P	N/A	04/27/09	\$1,001 - \$15,000
DC	NASSAU CNTY NY	d	A/N	09/02/09	\$1,001 - \$15,000
DC	NEW YORK N Y CITY MUN WTR	ס	N/A	09/17/09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
ЭG	NEW JERSEY ED FAC AUTH 4 PURCHASES	ּס	N/A	VARIOUS	\$1,001 - \$15,000
DC	NEW JERSEY ED FAC AUTH 4 SALES	S	N _o	VARIOUS	\$1,001 - \$15,000
DC	NEW YORK NY	י	N/A	08/07/09	\$1,001 - \$15,000
DC	NEW YORK NY TRNSL FIN AUTH	-10	N/A	2/23/09, 3/6/09	\$1,001 - \$15,000
DC	NEW YORK NY TRNSL FIN AUTH	S(part)	No	8/13/09, 8/31/09	\$1,001 - \$15,000
DC	NEW YORK ST DORM AUTH 4 PURCHASES	ס	N/A	VARIOUS	\$1,001 - \$15,000
DC	NEW YORK ST DORM AUTH 3 SALIES	S(part)	No	VARIOUS	\$1,001 - \$15,000
DC	NEW YORK ST ENVIRONMENTAL FACS	P	N/A	01/05/09	\$1,001 - \$15,000
DC	NEW YORK ST ENVIRONMENTAL FACS	S(part)	N _o	08/31/09	\$1,001 - \$15,000
DC	NEW YORK ST THRUWAY AUTH	ס	N/A	08/12/09	\$1,001 - \$15,000
DC	NEW YORK ST LOC GOV ASST CORP	ס	N/A	2/25/09, 6/5/09	\$1,001 - \$15,000

Name Christopher John Lee

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
) DO	NEW YORK ST LOC GOV ASST CORP	S	No	7/28/09, 8/21/09	\$1,001 - \$15,000
DC	NORTH CAROLINA EASTN MUN PWR	ס	N/A	09/17/09	\$1,001 - \$15,000
DC	ONONDAGA CNTY NY	ס ר	N/A	02/24/09	\$1,001 - \$15,000
DC	OREGON HEALTH SCIENCES UNIV	ס	N/A	04/28/09	\$1,001 - \$15,000
20	OREGON HEALTH SCIENCES UNIV	S	No	06/11/09	\$1,001 - \$15,000
SO	PORT AUTHORITY OF NY & NJ	ס	N/A	09/02/09	\$1,001 - \$15,000
DC	PUERTO RICO SALES TAX FIN CORP	q	N/A	06/11/09	\$1,001 - \$15,000
DC	TEXAS TRNSP COMM CENTRAL TEXAS TURNPIKE	ס	N/A	02/27/09	\$1,001 - \$15,000
DC	TOMPKINS CNTY NY IDA	סד	N/A	08/14/09	\$1,001 - \$15,000
DC	TRIBOROUGH BRDG & TUNL AUTH NY	q	N/A	05/27/09	\$1,001 - \$15,000
	Trust FBO Johnathan Lee (2)- INTEREST IN LEE FAMILY CAPITAL LLC				

Name Christopher John Lee

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
DC	SUMMIT ROCK STRATEGIC FIXED INCOME LP	d	A/N	2/5/09, 5/20/2009	\$15,001 - \$50,000
DC	LOOMIS SAYLES CREDIT ASSET FUND	ק	N/A	7/15/09	\$15,001 - \$50,000
DC	ISHARES RUSSELL 3000 INDEX ETF 3 PURCHASES	ט	N/A	VARIOUS	\$1,001 - \$15,000
DC	ISHARES RUSSELL 3000 INDEX ETF 3 SALES	S(part)	No	VARIOUS	\$50,001 - \$100,000
DC	ISHARES MSCI EAFE ETF 3 PURCHASES	ס	N/A	VARIOUS	\$15,001 - \$50,000
DC	ISHARES MSCI EMERGING MARKET ETF 3 PURCHASES	ק	N/A	VARIOUS	\$1,001 - \$15,000
DC	SUMMIT ROCK SELECT EQUITY LP	ס	N/A	1/23/09, 3/24/09	\$50,001 - \$100,000
DC	SUMMIT ROCK HEDGED EQUITY LP	ט	N/A	3/24/09	\$50,001 - \$100,000
DC	ISHARES COHEN & STEERS RLTY 3 SALES VARIOUS	S(part)	No	VARIOUS	\$15,001 - \$50,000
DC	IPATH DOW JONES-UBS COMMDTY 3 SALES	S	N _o	VARIOUS	\$1,001 - \$15,000
DC	ISHARES MSCI ACWI EX US INDEX	Ø	No	2/24/09, 2/25/09	\$50,001 - \$100,000

Name Christopher John Lee

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC	CALIFORNIA ECON RECOVERY	P	N/A	12/01/09	\$1,001 - \$15,000
DC	CALIFORNIA STATEWIDE CDA	פ	N/A	2/19/09, 11/10/09	\$1,001 - \$15,000
DC	CALIFORNIA STATEWIDE CDA	S(part)	N _o	1/8/09, 2/26/09	\$1,001 - \$15,000
DC	CAMINO REAL REGL MOB AUTH	פ	N/A	08/05/09	\$1,001 - \$15,000
DC	CITIZENS PPTY INS CORP FL	סד	N/A	05/01/09	\$1,001 - \$15,000
DC	CLARK CNTY NV ARPT	ס	N/A	09/17/09	\$1,001 - \$15,000
DC	FEDERAL HOME LOAN BANK	d	N/A	9/3/09	\$1,001 - \$15,000
DC	FEDERAL HOME LOAN BANK 5 SALES	S	No	VARIOUS	\$1,001 - \$15,000
DC	FLORIDA DEP FLORIDA FOREVER	ט	N/A	01/14/09	\$1,001 - \$15,000
DC	FLORIDA HURRICANE CATASTROPHE	þ	N/A	04/09/09	\$1,001 - \$15,000
DC	FULTON CNTY GA DEV AUTH	ט	N/A	09/17/09	\$1,001 - \$15,000

Name Christopher John Lee

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SP, DC, T	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC	HEALTH CARE AUTH FOR BAPTIST	ק	N/A	01/29/09	\$1,001 - \$15,000
DC	HEALTH CARE AUTH FOR BAPTIST	S	No	05/21/09	\$1,001 - \$15,000
DC	HOUSTON TX ARPT SYS	ָ ס־ 	N/A	07/31/09	\$1,001 - \$15,000
DC	JACKSONVILLE FL ELEC AUTH	ָ ט <i>י</i>	N/A	02/20/09	\$1,001 - \$15,000
DC	JEFFERSON LA MUNI	P	N/A	05/28/09	\$1,001 - \$15,000
DC	LONG ISLAND PWR AUTH NY	ט	N/A	05/07/09	\$1,001 - \$15,000
DC	METROPOLITAN TRNSP AUTH NY	P	N/A	4/24/09, 11/4/09	\$1,001 - \$15,000
DC	MIAMI-DADE CNTY FL AVIATION	ק	N/A	04/27/09	\$1,001 - \$15,000
DC	NASSAU CNTY NY	ט	N/A	09/02/09	\$1,001 - \$15,000
DC	NEW JERSEY ED FAC AUTH 4 PURCHASES	đ	N/A	VARIOUS	\$1,001 - \$15,000
DC	NEW JERSEY ED FAC AUTH 4 SALES	σ	Yes	VARIOUS	\$1,001 - \$15,000

Name Christopher John Lee

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SP, DC,	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC	NEW YORK N Y CITY MUN WTR		N/A	09/17/09	\$1,001 - \$15,000
DC	NEW YORK N Y	י סי	N/A	08/07/09	\$1,001 - \$15,000
DC	NEW YORK NY TRNSL FIN AUTH	ס	N/A	2/23/09, 3/6/09	\$1,001 - \$15,000
DC	NEW YORK NY TRNSL FIN AUTH	S(part)	Yes	8/13/09, 8/31/09	\$1,001 - \$15,000
DC	NEW YORK ST DORM AUTH 4 PURCHASES	ש	N/A	VARIOUS	\$1,001 - \$15,000
DC	NEW YORK ST DORM AUTH 3 SALES	S(part)	N _O	VARIOUS	\$1,001 - \$15,000
DC	NÉW YORK ST ENVIRONMENTAL FACS	P	N/A	01/05/09	\$1,001 - \$15,000
DC	NEW YORK ST ENVIRONMENTAL FACS	S(part)	No	08/31/09	\$1,001 - \$15,000
DC	NEW YORK ST THRUWAY AUTH	q	N/A	08/12/09	\$1,001 - \$15,000
DC	NEW YORK ST LOC GOV ASST CORP	ס	N/A	2/25/09, 6/5/09	\$1,001 - \$15,000
DC	NEW YORK ST LOC GOV ASST CORP	S	S	7/28/09, 8/21/09	\$1,001 - \$15,000

Name Christopher John Lee

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
OC	NORTH CAROLINA EASTN MUN PWR	יס	N/A	09/17/09	\$1,001 - \$15,000
DC	ONONDAGA CNTY NY	ים. ו	N/A	02/24/09	\$1,001 - \$15,000
DC	OREGON HEALTH SCIENCES UNIV	<i>י</i> ם	N/A	04/28/09	\$1,001 - \$15,000
DC	OREGON HEALTH SCIENCES UNIV	S	N _o	1/30/09, 6/11/09	\$1,001 - \$15,000
DC	PORT AUTHORITY OF NY & NJ	ס	N/A	09/02/09	\$1,001 - \$15,000
DC	PUERTO RICO SALES TAX FIN CORP	ָ ק	N/A	06/11/09	\$1,001 - \$15,000
D _C	TEXAS TRNSP COMM CENTRAL TEXAS TURNPIKE	ט	N/A	02/27/09	\$1,001 - \$15,000
DC	TOMPKINS CNTY NY IDA	ק	N/A	08/14/09	\$1,001 - \$15,000
DC	TRIBOROUGH BRDG & TUNL AUTH NY	ס	N/A	05/27/09	\$1,001 - \$15,000
	TRUST FBO CHRISTOPHER J LEE - INTEREST IN LEE FAMILY CAPITAL LLC				
	SUMMIT ROCK STRATEGIC FIXED INCOME	7	N/A	2/5/09, 5/20/09	\$100,001 - \$250,000

Name Christopher John Lee

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SP, DC,	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	LOOMIS SAYLES CREDIT ASSET FUND	P	N/A	7/15/2009	\$250,001 - \$500,000
	ISHARES RUSSELL 3000 INDEX ETF 3 PURCHASES	ס	N/A	VARIOUS	\$250,001 - \$500,000
	ISHARES RUSSELL 3000 INDEX ETF 3 SALES	S(part)	N _O	VARIOUS	\$500,001 - \$1,000,000
	ISHARES MSCI EAFE ETF 3 PURCHASES	ּס	N/A	VARIOUS	\$100,001 - \$250,000
	ISHARES MSCI EMERGING MARKET ET 3 PURCHASES	ס י	N/A	VARIOUS	\$50,001 - \$100,000
	SUMMIT ROCK SELECT EQUITY	ס	N/A	1/23/09, 3/4/09	\$500,001 - \$1,000,000
	SUMMIT ROCK HEDGED EQUITY LP	ס	N/A	3/24/2009	\$250,001 - \$500,000
	ISHARES COHEN & STEERS RLTY 3 SALES	S(part)	N _o	VARIOUS	\$100,001 - \$250,000
	IPATH DOW JONES-UBS COMMDTY 3 SALES	S	ON	VARIOUS	\$50,001 - \$100,000
	ISHARES MSCI ACWI EX US INDEX	S	No	2/24/09, 2/25/09	\$250,001 - \$500,000
	CALIFORNIA ECON RECOVERY	יס	N/A	12/01/09	\$15,001 - \$50,000

Name Christopher John Lee

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SP, DC,	Asset	Type of	Capital Gain in Excess	Date	Amount of Transaction
	CALIFORNIA STATEWIDE CDA	q	A/N	2/19/09, 11/10/09	\$15,001 - \$50,000
	CALIFORNIA STATEWIDE CDA	S(part)	No	1/8/09, 2/26/09	\$1,001 - \$15,000
	CAMINO REAL REGL MOB AUTH	ס	N/A	08/05/09	\$15,001 - \$50,000
	CITIZENS PPTY INS CORP FL	ט־	N/A	05/01/09	\$1,001 - \$15,000
	CLARK CNTY NV ARPT	ס	N/A	09/17/09	\$1,001 - \$15,000
,	FLORIDA DEP FLORIDA FOREVER	ס	N/A	1/14/2009	\$15,001 - \$50,000
	FEDERAL HOME LOAN BANK	ס	N/A	09/03/09	\$100,001 - \$250,000
	FEDERAL HOME LOAN BANK 5 SALES	S	No	VARIOUS	\$100,001 - \$250,000
	FLORIDA HURRICANE CATASTROPHE	ס	N/A	04/09/09	\$1,001 - \$15,000
	FULTON CNTY GA DEV AUTH	70	N/A	09/17/09	\$15,001 - \$50,000
	HOUSTON TX ARPT SYS	ס	N/A	07/31/09	\$1,001 - \$15,000

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any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
	HEALTH CARE AUTH FOR BAPTIST	P	N/A	1/29/09	\$1,001 - \$15,000
	HEALTH CARE AUTH FOR BAPTIST	S	No	05/21/09	\$1,001 - \$15,000
	JACKSONVILLE FL ELEC AUTH	ס	N/A	02/20/09	\$15,001 - \$50,000
	JEFFERSON LA MUNI	יד	N/A	05/28/09	\$15,001 - \$50,000
	LONG ISLAND PWR AUTH NY	ס	N/A	05/07/09	\$1,001 - \$15,000
	METROPOLITAN TRNSP AUTH NY	P	N/A	4/24/09, 11/4/09	\$15,001 - \$50,000
	MIAMI-DADE CNTY FL AVIATION	['] ם	N/A	04/27/09	\$1,001 - \$15,000
	NASSAU CNTY NY	ם	N/A	09/02/09	\$15,001 ~ \$50,000
	NEW YORK N Y CITY MUN WTR	ס	A/N	60/17/60	\$1,001 - \$15,000
	NEW JERSEY ED FAC AUTH 4 PURCHASES	P	N/A	VARIOUS	\$15,001 - \$50,000
	NEW JERSEY ED FAC AUTH 4 SALES	S	No	VARIOUS	\$15,001 - \$50,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
	NEW YORK N Y	ט	N/A	08/07/09	\$15,001 - \$50,000
	NEW YORK NY TRNSL FIN AUTH	Ū	N/A	2/23/09, 3/6/09	\$50,001 - \$100,000
	NEW YORK NY TRNSL FIN AUTH	S	Yes	8/13/09, 8/31/09	\$50,001 - \$100,000
	NEW YORK ST DORM AUTH 4 PURCHASES	-0	N/A	VARIOUS	\$50,001 - \$100,000
	NEW YORK ST DORM AUTH 3 SALES	S(part)	Yes	VARIOUS	\$50,001 - \$100,000
	NEW YORK ST ENVIRONMENTAL FACS	ס	N/A	01/05/09	\$15,001 - \$50,000
	NEW YORK ST ENVIRONMENTAL FACS	S(part)	Yes	08/31/09	\$15,001 - \$50,000
	NEW YORK ST THRUWAY AUTH	ס	N/A	08/12/09	\$15,001 - \$50,000
	NORTH CAROLINA EASTN MUN PWR	P	N/A	09/17/09	\$1,001 - \$15,000
	NEW YORK ST LOC GOVT ASST CORP	ס	N/A	2/25/09, 6/5/09	\$15,001 - \$50,000
	NEW YORK ST LOC GOVT ASST CORP	S	Yes	7/28/09, 8/21/09	\$15,001 - \$50,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
	ONONDAGA CNTY NY	ט	N/A	02/24/09	\$15,001 - \$50,000
	PORT AUTHORITY OF NY & NJ	יסי ו	N/A	09/02/09	\$15,001 - \$50,000
	OREGON HEALTH SCIENCES UNIV	TO	N/A	4/28/2009	\$1,001 - \$15,000
	OREGON HEALTH SCIENCES UNIV	S	No	1/30/09, 6/11/09	\$1,001 - \$15,000
	PUERTO RICO SALES TAX FIN CORP	ָ ט ּ	N/A	06/11/09	\$1,001 - \$15,000
	TEXAS TRNSP COMM CENTRAL TEXAS TURNPIKE	P	N/A	02/27/09	\$1,001 - \$15,000
	TOMPKINS CNTY NY IDA	ס	A/N	08/14/09	\$1,001 - \$15,000
	TRIBOROUGH BRDG & TUNL AUTH NY	P	A/N	05/27/09	\$1,001 - \$15,000
	CHARITABLE TRUST FBO CHRISTOPHER J LEE - INTEREST IN LEE CHARITABLE CAPITAL LLC				
	SUMMIT ROCK STRATEGIC FIXED INCOME	0	N/A	9/24/2009	\$100,001 - \$250,000
	LOOMIS SAYLES CREDIT ASSET FUND	ס"	N/A	7/15/2009	\$100,001 - \$250,000

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SP, DC,	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	ISHARES RUSSELL 3000 INDEX ETF 3 PURCHASES	ק	N/A	VARIOUS	\$100,001 - \$250,000
	ISHARES RUSSELL 3000 INDEX ETF 3 SALES	S	No	VARIOUS	\$500,001 - \$1,000,000
	ISHARES MSCI EAFE ETF 3 PURCHASES	ם י	N/A	VARIOUS	\$50,001 - \$100,000
	ISHARES MSCI EMERGING MARKET ETF	d	N/A	10/7/09, 12/23/09	\$15,001 - \$50,000
	SUMMIT ROCK SELECT EQUITY LP	ם.	N/A	9/24/2009	\$250,001 - \$500,000
	SUMMIT ROCK HEDGED EQUITY LP	ָם	N/A	9/24/2009	\$250,001 - \$500,000
	SUMMIT ROCK DIVERSIFIED STRATEGIES LP	P	N/A	9/30/2009	\$100,001 - \$250,000
	SUMMIT ROCK PRIVATE EQUITY LP 4 PURCHASES	ס	A/N	VARIOUS	\$1,001 - \$15,000
	IPATH DOW JONES-UBS COMMDTY 3 SALES	S	oN	VARIOUS	\$50,001 - \$100,000
	ISHARES COHEN & STEERS RLTY	S	ON	3/6/2009, 2/9/09	\$50,001 - \$100,000
	ISHARES MSCI ACWI EX US INDEX 3 SALES	S	No	VVARIOUS	\$250,001 - \$500,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	CAMINO REAL REGL MOB AUTH	ď	N/A	08/05/09	\$15,001 - \$50,000
	CALIFORNIA STATEWIDE CDA	ם.	N/A	02/19/09	\$1,001 - \$15,000
	CALIFORNIA STATEWIDE CDA	S	No	1/08/09, 02/26/09	\$1,001 - \$15,000
	CITIZENS PPTY INS CORP FL	ם	N/A	05/01/09	\$15,001 - \$50,000
	CLARK CNTY NV ARPT	ם .	A/N	09/17/09	\$1,001 - \$15,000
	ENERGY NORTHWEST WA	ם	N/A	04/02/09	\$1,001 - \$15,000
	ENERGY NORTHWEST WA	S	Yes	08/31/09	\$1,001 - \$15,000
	FEDERAL HOME LOAN BANK	ּס	N/A	09/03/09	\$100,001 - \$250,000
	FEDERAL HOME LOAN BANK	S	No	9/21/09, 9/25/09	\$100,001 - \$250,000
	FLORIDA DEP FLORIDA FOREVER	P	N/A	01/14/09	\$15,001 - \$50,000
:	FLORIDA HURRICANE CATASTROPHE	q	N/A	07/28/09	\$15,001 - \$50,000

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residence	residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.	i, please so indicate (i.e.,	"partial sak	e"). See example belor	*
SP, DC,	Asset	Type of Transaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
	FULTON CNTY GA DEV AUTH	q	A/N	09/17/09	\$15,001 - \$50,000
	FULTON CNTY GA DEV AUTH	S	No	09/29/09	\$15,001 - \$50,000
	HOUSTON TX ARPT SYS	ס	N/A	07/31/09	\$15,001 - \$50,000
	HEALTH CARE AUTH FOR BAPTIST	יסי	N/A	01/29/09	\$1,001 - \$15,000
	HEALTH CARE AUTH FOR BAPTIST	S	No	05/21/09	\$1,001 - \$15,000
	JACKSONVILLE FL ELEC AUTH	ם.	N/A	02/20/09	\$15,001 - \$50,000
	JEFFERSON LA MUNI	ט	N/A	05/28/09	\$15,001 - \$50,000
	LONG ISLAND PWR AUTH	P	N/A	5/7/2009	\$1,001 - \$15,000
	LONG ISLAND PWR AUTH	S(part)	Yes	60/08/60	\$15,001 - \$50,000
	METROPOLITAN TRNSP AUTH NY	q	N/A	4/22/09, 4/24/09	\$50,001 - \$100,000
	METROPOLITAN TRNSP AUTH NY	S(part)	No	8/14/2009	\$15,001 - \$50,000

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SP,		Type of	Capital Gain in		
57	Asset	Transaction	of \$200?	Date	Amount of Transaction
	MIAMI-DADE CNTY FL AVIATION	P	N/A	04/27/09	\$15,001 - \$50,000
	NEW YORK NY 3 PURCHASES	ס	N/A	VARIOUS	\$50,001 - \$100,000
!	NEW YORK NY	S	Yes	9/25/09, 9/30/09	\$50,001 - \$100,000
	NASSAU CNTY NY	P	N/A	09/02/09	\$15,001 - \$50,000
	NASSAU CNTY NY	S	No	09/02/09	\$15,001 - \$50,000
	NEW JERSEY ED FAC AUTH 3 PURCHASES	q	A/N	VARIOUS	\$15,001 - \$50,000
	NEW JERSEY ED FAC AUTH 3 SALES	S	No	VARIOUS	\$15,001 - \$50,000
	NEW YORK N Y CITY MUN WTR	טי	N/A	9/17/2009	\$15,001 - \$50,000
	NEW YORK N Y CITY MUN WTR	S(part)	No	09/30/09	\$15,001 - \$50,000
	NEW YORK NY TRNSL FIN AUTH	P	N/A	2/23/09, 3/6/09	\$50,001 - \$100,000
	NEW YORK NY TRNSL FIN AUTH	S(part)	Yes	8/13/09, 8/31/09	\$50,001 - \$100,000

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any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of

			•		-
SP, DC,		Type of	Capital Gain in		
J.T	Asset	Transaction	of \$200?	Date	Amount of Transaction
	NEW YORK ST DORM AUTH 3 PURCHASES	q	A/N	VARIOUS	\$50,001 - \$100,000
	NEW YORK ST DORM AUTH 3 SALES	S(part)	Yes	VARIOUS	\$50,001 - \$100,000
	NEW YORK ST EVNRMNTL FACS	ס	N/A	01/05/09	\$15,001 - \$50,000
	NEW YORK ST EVNRMNTL FACS	S(part)	Yes	08/31/09	\$15,001 - \$50,000
	NORTH CAROLINA EASTN MUN PWR	ס	N/A	09/17/09	\$15,001 - \$50,000
	NORTH CAROLINA MUN PWR	q	N/A	09/10/09	\$15,001 - \$50,000
	NEW YORK ST LOC GOVT ASST CORP	ם	N/A	2/25/09, 6/5/09	\$15,001 - \$50,000
	NEW YORK ST LOC GOVT ASST CORP	S	Yes	7/28/09, 8/21/09	\$15,001 - \$50,000
	NEW YORK ST THRUWAY AUTH	Р	N/A	08/12/09	\$15,001 - \$50,000
	NEW YORK ST THRUWAY AUTH	S	Yes	8/31/09, 09/29/09	\$100,001 ~ \$250,000
	ONONDAGA CNTY NY	T	N/A	02/24/09	\$15,001 - \$50,000

3			2		
DC, JT	Asset	Type of Transaction	Gain in Excess of \$200?	Date	Amount of Transaction
	ONONDAGA CNTY NY	S	Yes	09/25/09	\$15,001 - \$50,000
	OREGON HLTH SCIENCES UNIV	ס	N/A	1/2/09, 4/28/09	\$1,001 - \$15,000
	OREGON HLTH SCIENCES UNIV	S	No	2/13/09, 6/11/09	\$1,001 - \$15,000
	PORT AUTHORITY OF NY & NJ	ם	N/A	9/2/2009	\$15,001 - \$50,000
	PUERTO RICO PUB BLDGS AUTH	ס	N/A	08/20/09	\$1,001 - \$15,000
	PUERTO RICO SALES TAX FIN CORP	þ	N/A	06/11/09	\$15,001 - \$50,000
	TEXAS TRNSP COMM CENTRAL TEXAS TURNPIKE	P	N/A	2/27/2009	\$15,001 - \$50,000
	TOBACCO SETTLEMENT FING CORP NY	ם	A/N	03/05/09	\$15,001 - \$50,000
:	TOMPKINS CNTY NY IDA	ס	N/A	08/14/09	\$50,001 - \$100,000
	TRIBOROUGH BRDG & TUNL AUTH NY	טר	N/A	05/26/09	\$15,001 - \$50,000
	TRIBOROUGH BRDG & TUNL AUTH NY	S	Yes	09/25/09	\$50,001 - \$100,000

Name Christopher John Lee

SP, DC,	Asset	Type of fransaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
	Other				
	Residential property, Lancaster, NY	ס	N/A	9/18/2009	\$100,001 - \$250,000

SCHEDULE V - LIABILITIES

Name Christopher John Lee Page 57 of 59

Report lia amount c househo (i.e., cred	Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.	ng the reporting period by you, your spouse, or esidence (unless all or part of it is rented out); lose child, parent, or sibling of you or your spouse, ryear exceeded \$10,000.	your spouse, or dependent child. Mark the highest tis rented out); loans secured by automobiles, or your spouse. Report "revolving charge accounts"
SP, DC,	Creditor	Type of I jability	Amount of Liability
	HSBC Mortgage Corp	Mortgage on Lancaster, NY residential property	\$100,001 - \$250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Christopher John Lee

directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you. and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid

Source	Date(s)	Point of Departure DestinationPoint of Return	(Y/N)	Food? (Y/N)	Was a Family Food? Member Included? (Y/N)	Days not at sponsor's expense
Congressional Institute	Jan 29-31, 2009	Jan 29-31, DC-Hot Springs, VA-DC 2009	~	~	~	0 days
American Israel Education Fund	August 1- 9, 2009	DC-Tel Aviv-Buffalo, NY	~	~	~	0 days

SCHEDULE VIII - POSITIONS

Name Christopher John Lee

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization. Or any educational or other institution other than the United States. Exclude: Positions held in any religious, social fraternal or political entities.

positions solely of an honorary nature; and positions listed on Schedule I.	positions solely of an honorary nature; and positions listed on Schedule I.
Position	Name of Organization
Director	Patrick P. Lee Foundation