

# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

# FILER INFORMATION

Name: Hon. Lisa Blunt Rochester

Status: Member State/District: DE00

### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		AMERICAN EXPRESS CENTRN CD 2.2% 09/13/2021 [CS] FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHEST DESCRIPTION: AMERICAN EXPRESS CE			, , ,	\$50,001 - \$100,000 HESTER TR	П
		BANK OF AMERICA CORP SENIOR MEDIUM TERM NOTE 4.125% 01/22/2024 [CS] FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHEST DESCRIPTION: BANK OF AMERICA CORT		_	SA BLUNT ROCE		П
		BERKSHIRE HATHAWAY FIN SENIOR MEDIUM TERM NOTE M/W 3% 05/15/2022 [CS] FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHEST DESCRIPTION: BERKSHIRE HATHAWAY			SA BLUNT ROCE		П
		CARLISLE COS INC SENIOR NOTE CALLABLE M/W 3.75% 12/01/2027 [CS] FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHEST DESCRIPTION: CARLISLE COS INC SENIOR		, ,, ,	02/04/2019 % 12/01/2027	\$1,001 - \$15,000	П
		CELGENE CORP SENIOR NOTE M/W 3.95% 10/15/2020 [CS]	S	02/04/2019	02/04/2019	\$50,001 - \$100,000	

ID (	Owner		Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES DESCRIPTION: CELGENE CORP SENIOR		_	SA BLUNT ROCH	IESTER TR	
		CINCINNATI FINANCIAL CORP SENIOR DEBENTURE 6.92% 05/15/2028 [CS]	S	02/04/2019	02/04/2019	\$50,001 - \$100,000	П
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: CINCINNATI FINANCIAL				IESTER TR	
		CINCINNATI FINANCIAL CORP SENIOR DEBENTURE 6.92% 05/15/2028 [CS]	S	02/04/2019	02/04/2019	\$15,001 - \$50,000	
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: CINCINNATI FINANCIAL		EBENTURE 6.	92% 05/15/2028		
		COLGATE-PALMOLIVE CO SENIOR MEDIUM TERM NOTE M/W 3.25% 03/15/2024 [CS]	S	02/04/2019	02/04/2019	\$50,001 - \$100,000	П
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES DESCRIPTION: COLGATE-PALMOLIVE C					
		DIRECTV HLDGS LLC / DIRECTV SENIOR NOTE CALLABLE M/ 4.45% 04/01/2024 [CS]	S	02/04/2019	02/04/2019	\$50,001 - \$100,000	П
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: DIRECTV HLDGS LLC / D		_			
		DISCOVERY COMMUNICATIONS LLC SENIOR NOTE M/W 5.05% 06/01/2020 [CS]	S	02/04/2019	02/04/2019	\$15,001 - \$50,000	П
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: DISCOVERY COMMUNIC		-			
		ENTERGY MISSISSIPPI LLC FIRST MORTGAGE CALLABLE M/W 3.1% 07/01/2023 [CS]	S	02/04/2019	02/04/2019	\$50,001 - \$100,000	
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: ENTERGY MISSISSIPPI L		_			
		FEDERAL HOME LN MTG CORP	S	02/04/2019	02/04/2019	\$50,001 -	

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		MTN CLBL STEPPED 1%-2.5% 1% 08/25/2021 [GS]				\$100,000	
		FILING STATUS: New					
		SUBHOLDING OF: LISA BLUNT ROCHES DESCRIPTION: FEDERAL HOME LN MTO		=			
		GENERAL MTRS FINL CO INC SENIOR NOTE M/W 2.35% 10/04/2019 [CS]	S	02/04/2019	02/04/2019	\$15,001 - \$50,000	П
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES DESCRIPTION: GENERAL MTRS FINL CO		_		HESTER TR	
		GOLDMAN SACHS BK USA NY CD 3% 06/07/2021 [CS] FILING STATUS: New	S	02/04/2019	02/04/2019	\$100,001 - \$250,000	
		SUBHOLDING OF: LISA BLUNT ROCHES DESCRIPTION: GOLDMAN SACHS BK US		_	SA BLUNT ROCH	IESTER TR	
		GOLDMAN SACHS BK USA NY CD 3% 06/07/2021 [CS] FILING STATUS: NEW		02/04/2019	02/04/2019	\$15,001 - \$50,000	
		SUBHOLDING OF: LISA BLUNT ROCHES DESCRIPTION: GOLDMAN SACHS BK US		7/2021			
		GOLDMAN SACHS SENIOR MED TERM NOTE CLBL M/W 3.85% 07/08/2024 [CS]	S	02/04/2019	02/04/2019	\$15,001 - \$50,000	П
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES DESCRIPTION: GOLDMAN SACHS SENIO					
		HEWLETT PACKARD CO SENIOR NOTE M/W 3.75% 12/01/2020 [CS]	S	02/04/2019	02/04/2019	\$15,001 - \$50,000	
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES DESCRIPTION: HEWLETT PACKARD CO		-		HESTER TR	
		JOHNSON & JOHNSON SENIOR NOTE 6.95% 09/01/2029 [CS]	S	02/04/2019	02/04/2019	\$100,001 - \$250,000	
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES DESCRIPTION: JOHNSON & JOHNSON S		_		HESTER TR	
		JPMORGAN CHASE & CO.	S	02/04/2019	02/04/2019	\$15,001 -	

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		SENIOR MEDIUM TERM NOTE 4.25% 10/15/2020 [CS]				\$50,000	
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: JPMORGAN CHASE & CO		. , .			
		JPMORGAN CHASE & CO. SENIOR NOTE 6.3% 04/23/2019 [CS]	S	01/14/2019	01/14/2019	\$50,001 - \$100,000	П
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: JPMORGAN CHASE & CO		_		IESTER TR	
		KINDER MORGAN ENERGY PARTNERS SENIOR NOTE M/W 2.65% 02/01/2019 [CS]	S	01/14/2019	01/14/2019	\$15,001 - \$50,000	П
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: KINDER MORGAN ENER		_			
		LENNOX INTERNATIONAL INC SENIOR NOTE CALLABLE M/W 3% 11/15/2023 [CS]	S	02/04/2019	02/04/2019	\$50,001 - \$100,000	П
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: LENNOX INTERNATIONA		_			
		LYB INTL FINANCE BV SENIOR NOTE M/W 4% 07/15/2023 [CS] Filing Status: New	S	02/04/2019	02/04/2019	\$15,001 - \$50,000	П
		SUBHOLDING OF: LISA BLUNT ROCHES: DESCRIPTION: LYB INTL FINANCE BV SI				IESTER TR	
		MARATHON OIL CORPORATION SENIOR NOTE CALLABLE M/W 3.85% 06/01/2025 [CS]	S	01/14/2019	01/14/2019	\$15,001 - \$50,000	П
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: MARATHON OIL CORPOR		_			
		MORGAN ST DEAN WITTER SENIOR MEDIUM TERM NOTE M/W 3.875% 04/29/2024 [CS]	S	02/04/2019	02/04/2019	\$50,001 - \$100,000	<b>▽</b>
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: MORGAN ST DEAN WITT		_			

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		MORGAN STANLEY SENIOR MEDIUM TERM NOTE M/W 5.5% 07/28/2021 [CS]	S	02/04/2019	02/04/2019	\$15,001 - \$50,000	
		FILING STATUS: New					
		SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: MORGAN STANLEY SENI		=			
		MOSAIC CO SENIOR NOTE CALLABLE M/W 3.75% 11/15/2021 [CS]	S	01/14/2019	01/14/2019	\$50,001 - \$100,000	
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: MOSAIC CO SENIOR NOT		=		HESTER TR	
		NEW YORK ST DORM AUTH ST PERS REV BDS CALLABLE 5% 03/15/2033 [GS] Filing Status: New	S	02/04/2019	02/04/2019	\$50,001 - \$100,000	<b>▽</b>
		SUBHOLDING OF: LISA BLUNT ROCHEST DESCRIPTION: NEW YORK ST DORM AU		=			
		NEWMONT MINING CORP SENIOR NOTE M/W 5.125% [CS]	S	02/04/2019	02/04/2019	\$15,001 - \$50,000	
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: NEWMONT MINING COR		_	SA BLUNT ROCH	HESTER TR	
		PENNSYLVANIA STATE GO BDS CALLABLE 5% 10/15/2029 [GS]	S	02/04/2019	02/04/2019	\$50,001 - \$100,000	<u> </u>
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES DESCRIPTION: PENNSYLVANIA STATE (				IESTER TR	
		PRIVATE EXPORT FUNDING NOTE 2.3% 09/15/2020 [CS]	S	02/04/2019	02/04/2019	\$100,001 - \$250,000	
		FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: PRIVATE EXPORT FUND		_	SA BLUNT ROCH	HESTER TR	
		PSEG POWER SENIOR NOTE M/W 5.125% 04/15/2020 [CS] Filing Status: New	S	02/04/2019	02/04/2019	\$50,001 - \$100,000	
		SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: PSEG POWER SENIOR NO		_	SA BLUNT ROCH	HESTER TR	
		STARBUCKS CORP SENIOR NOTE	S	02/04/2019	02/04/2019	\$15,001 -	

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		CALLABLE M/W 3.8% 08/15/2025 [CS] FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: STARBUCKS CORP SENIO		_		\$50,000 HESTER TR	
		TD AMERITRADE HOLDING CORP SENIOR NOTE CALLABLE M/ 3.625% [CS] FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES DESCRIPTION: TD AMERITRADE HOLDI		Apr 02,2015 LI		\$15,001 - \$50,000 HESTER TR	П
		THE HARTFORD FIN SERVICES GRP SENIOR NOTE M/ 5.125% 04/15/2022 [CS] FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: THE HARTFORD FIN SER		Apr 02,2015 LI			П
		THERMO FISHER SCIENTIFIC INC SENIOR NOTE CALLABLE M 3.65% 12/15/2025 [CS] FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: THERMO FISHER SCIENT		Apr 02,2015 LI			<u>~</u>
		VERIZON COMMUNICATIONS SENIOR NOTE CALLABLE M 4.15% 03/15/2024 [CS] FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES' DESCRIPTION: VERIZON COMMUNICAT		Apr 02,2015 LI			
		YORK CNTY PA GO BDS CALLABLE 4.75% 03/01/2036 [GS] FILING STATUS: New SUBHOLDING OF: LISA BLUNT ROCHES DESCRIPTION: YORK CNTY PA GO BDS O	ΓER REV TST UA	Apr 02,2015 LI	02/04/2019 SA BLUNT ROCH	\$50,001 - \$100,000 HESTER TR	<b>∀</b>

<sup>\*</sup> For the complete list of asset type abbreviations, please visit  $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$ 

# ASSET CLASS DETAILS

- LISA BLUNT ROCHESTER IRA
- LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR

### INITIAL PUBLIC OFFERINGS

C Yes No

# CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Lisa Blunt Rochester, 02/19/2019