

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Mr. Sam Farr
Status: Member
State/District: CA20

FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2013

Filing Date: 05/14/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Abbott Laboratories (ABT)	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Actavis plc Ordinary Shares (ACT)	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	▽
AFLAC Incorporated (AFL)	\$1,001 - \$15,000	Dividends	\$1 - \$200	✓
Airgas, Inc. (ARG)	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Amgen Inc. (AMGN)	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Boeing Company (BA)	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Caterpillar, Inc. (CAT)	None	None		▽
Celgene Corporation (CELG)	\$1,001 - \$15,000	Dividends	\$1 - \$200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Charles Schwab Money Market Account		\$1,001 - \$15,000	Interest	\$1 - \$200	
Chevy Chase Land Company		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	
Description: Land holding company					
Colgate-Palmolive Company (CL)		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<u>~</u>
Congressional Federal Credit Union (Savings and Checking Accounts)		\$1,001 - \$15,000	Interest	\$1 - \$200	
Congressional Federal Credit Union IRA Account		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	
Devils Flat Association		\$15,001 - \$50,000	None		
LOCATION: Big Sur, Monterey County, CA, US DESCRIPTION: Interest in land holding corporation.					
Ecolab Inc. (ECL)		\$15,001 - \$50,000	Dividends	\$1 - \$200	
Farr Children's Trust and Delores Farr Trust ⇒ Vista Lobos Apartments - Beneficiary interest Location: Monterey, CA, US		\$250,001 - \$500,000	Rent	\$50,001 - \$100,000	
General Electric Company (GE)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Genuine Parts Company (GPC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Honeywell International Inc. (HON)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Interest - California State Retirement System (includes California Legislative Retirement)		\$15,001 - \$50,000	Interest	\$2,501 - \$5,000	
International Business Machines Corporation (IBM)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	
Lots 7, 9, 10 (SE Corner of Santa Lucia and Camino Real) Location: Carmel, CA, US		\$500,001 - \$1,000,000	None		
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Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Membership interest, Point 16		\$500,001 - \$1,000,000	Rent	\$50,001 - \$100,000	
LOCATION: Big Sur, CA, US					
Partnership interest - Sorensen's Resort		\$15,001 - \$50,000	Partnership distribution	\$1,001 - \$2,500	
LOCATION: Hope Valley, CA, US					
QUALCOMM Incorporated (QCOM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	▽
Schwab IRA Account ⇒ Charles Schwab Money Market Fund		\$1 - \$1,000	None		
Schwab IRA Account ⇒ MS Global Strategist Fund		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Schwab IRA Account ⇒ Vanguard Index 500 Fund		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Schwab IRA Account \Rightarrow Vanguard Intermediate Term Investment Grade Fund		None	Dividends	\$201 - \$1,000	✓
Schwab IRA Account ⇒ Vanguard S-T Corp Bond ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	▽
Schwab IRA Account ⇒ Vanguard Total Stock Market Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Sharlands Investment Company Description: Investment company		\$1,001 - \$15,000	Dividends	\$1 - \$200	П
Tahoe Cabin		\$15,001 - \$50,000	Rent	\$1,001 -	
LOCATION: El Dorado County, CA, US				\$2,500	
Union Pacific Corporation (UNP)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
United Technologies Corporation (UTX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<u> </u>
Wal-Mart Stores, Inc. (WMT)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	

Asset	Owner Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Wells Fargo & Company (WFC)	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	

^{*} Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Actavis plc Ordinary Shares (ACT)		03/13/2013	P	\$1,001 - \$15,000	
Actavis plc Ordinary Shares (ACT)		10/1/2013	S	\$15,001 - \$50,000	~
Actavis plc Ordinary Shares (ACT)		10/1/2013	P	\$15,001 - \$50,000	
AFLAC Incorporated (AFL)		03/13/2013	S (partial)	\$1,001 - \$15,000	П
Caterpillar, Inc. (CAT)		03/13/2013	S	\$1,001 - \$15,000	П
Colgate-Palmolive Company (CL)		03/13/2013	S (partial)	\$1,001 - \$15,000	∀
QUALCOMM Incorporated (QCOM)		03/13/2013	P	\$1,001 - \$15,000	
Schwab IRA Account \Rightarrow Vanguard Intermediate Term Investment Grade Fund		10/9/2013	S	\$1,001 - \$15,000	П
Schwab IRA Account ⇒ Vanguard S-T Corp Bond ETF		10/10/2013	P	\$1,001 - \$15,000	
United Technologies Corporation (UTX)		03/13/2013	P	\$1,001 - \$15,000	

 $[\]sp{*}$ Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Туре	Amount
Partners for Transition LLC	Spouse Salary	N/A
California Public Employees Retirement System/Legislative	Pension Income	\$19,280

Source	Туре	Amount
Retirement		

SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Туре	Amount of Liability
Wells Fargo Bank	December 2012	Mortgage on Residence, Carmel, CA	\$250,001 - \$500,000
Charles Schwab	March 2013	Margin account loan	\$50,001 - \$100,000
Wells Fargo Bank	2011	Line of credit secured by residence	\$500,001 - \$1,000,000

SCHEDULE **E**: **P**OSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions	
Source	Start Date	End Date	Itinerary	Lodging?	Food?	Family?
Progressive Congress	01/23/2013	01/25/2013	Washington, DC - Pikesville, MD - Washington DC	<u> </u>	$\overline{\vee}$	
Aspen Institute Congressional Program	04/1/2013	04/8/2013	Monterey, CA - Istanbul, Turkey - Washington DC	$\overline{\lor}$	\checkmark	
Aspen Institute Congressional Program	08/9/2013	08/20/2013	Washington DC - Addis Ababa - San Francisco, CA	<u> </u>	\checkmark	<u> </u>
Comments: Dates at personal exper	nse: 8/11/2013	to 8/14/2013				

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Farr Children's Trust and Delores Farr Trust
- Schwab IRA Account

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO : Did you purchase any shares that were allocated as a part of an Initial Public Offering?

C Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ○ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

© Yes © No

COMMENTS

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Sam Farr, 05/14/2014