

CHARLES B. RANGEL  
15TH CONGRESSIONAL DISTRICT  
NEW YORK

COMMITTEE:  
WAYS AND MEANS  
JOINT COMMITTEE  
ON TAXATION



GEORGE H. HENRY  
CHIEF OF STAFF

**Congress of the United States**  
**House of Representatives**

October 2, 2012

LEGISLATIVE RESOURCE CENTER  
2012 OCT -2 PM 5:58  
OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

**HAND DELIVERED**

The Honorable Karen L. Haas  
Clerk of the U.S. House of Representatives  
B106 Cannon House Office Building  
Washington, DC 20515

RE: Amendment to 2002-2011 Financial Disclosure Statements

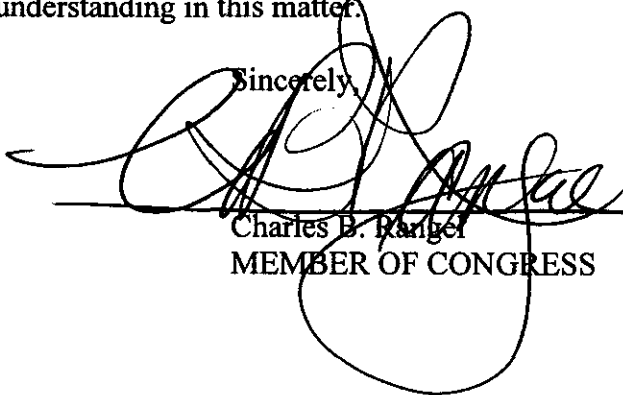
Dear Ms. Haas:

I am submitting this letter to highlight changes in my previous Financial Disclosure Statements. It has been discovered that inadvertent omissions were made. My accountant discovered that my spouse had invested in a Fixed Annuity Contract (Fixed Annuity) in 2002. The Fixed Annuity was not disclosed in the 2002 through 2010 Financial Disclosure Statements. From 2002 to 2010, the value of that asset was between \$15,001 and \$50,000, and income earned ranged from \$1,000 to \$2,500. IRA distributions in the amount of \$5,107 were made in 2011. The 1099's reflecting the distributions have not been provided and therefore the distributions were not included on the Financial Disclosure Schedule I, Earned Income.

It should also be noted that I inadvertently did not disclose my board memberships in two organizations. While I was not an active member, I did serve as an *ex officio* member in 2004 and 2008 on the Board of the NYC Empowerment Zone. I also served as a Board member in the Ann S. Kheel Charitable Trust in 2002, 2003, 2008, 2010 and 2011. The Kheel Trust has been successful in fully distributing funds for educational, civil rights and other organizations that serve disadvantaged New York neighborhoods and will soon close out the account. These positions involved no monetary compensation. Per my belief that the Clerk of the U.S. House of Representatives prefers amendments to the originally filed schedules, we have prepared the attached amendments.

Thank you for your understanding in this matter.

Sincerely,



Charles B. Rangel  
MEMBER OF CONGRESS

☐ WASHINGTON OFFICE  
2354 RAYBURN HOUSE OFFICE BUILDING  
WASHINGTON, DC 20515-3215  
TELEPHONE: (202) 225-4365  
FAX: (202) 225-0816

PLEASE RESPOND TO OFFICE CHECKED

☐ DISTRICT OFFICE  
163 WEST 125TH STREET  
NEW YORK, NY 10027  
TELEPHONE: (212) 663-3900  
FAX: (212) 663-4277

HAND DELIVERED

LEGISLATIVE RESOURCE CLERK

2012 OCT -2 PM 5:57

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2007 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 9  
For use by Members, officers, and employees

Charles B. Rangel

(Full Name)

(202)254-4365

(Daytime Telephone)

MC

(Office Use Only)

Filer Status	X Member of the U.S. State: NY House of Representative District: 15	Officer Or Employee	Employing Office:	A \$200 penalty shall be assessed against anyone who files more than 30 days late.
Report Type	Annual (May 15) <input checked="" type="checkbox"/> Amendment	Termination Date:		

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? <i>If yes, complete and attach Schedule I.</i>	Yes <input checked="" type="checkbox"/> No	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? <i>If yes, complete and attach Schedule VI.</i>	Yes No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? <i>If yes, complete and attach Schedule I.</i>	Yes No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? <i>If yes, complete and attach Schedule VI.</i>	Yes <input checked="" type="checkbox"/> No
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? <i>If yes, complete and attach Schedule II.</i>	Yes <input checked="" type="checkbox"/> No	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? <i>If yes, complete and attach Schedule V III.</i>	Yes <input checked="" type="checkbox"/> No
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? <i>If yes, complete and attach Schedule IV.</i>	Yes <input checked="" type="checkbox"/> No	IX. Did you have any reportable agreement or arrangement with an outside entity? <i>If yes, complete and attach Schedule IX.</i>	Yes No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? <i>If yes, complete and attach Schedule V.</i>	Yes No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts - Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes No <input checked="" type="checkbox"/>
Exemptions - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name **Charles B. Rangel**

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

**Exclude:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act

[illegible]

**For payments to charity in lieu of honoraria, use Schedule H.**

# CALENDAR YEAR 2007 FINANCIAL DISCLOSURE STATEMENT

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name **Charles B. Rangel**

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BLOCK A		BLOCK B											BLOCK C							BLOCK D											BLOCK E			
Asset and/or Income Source		Value of Asset											Type of Income							Amount of Income											Transaction			
Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investment), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.		Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.  If an asset was sold during the reporting year and included only because it generated income, the value should be "None."											Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A." For all other assets including all IRAs indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during calendar year.							For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was received.											Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.			
		A	B	C	D	E	F	G	H	I	J	K	L	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other types of Income (Specify For Example, Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI			
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000								None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	If only a portion of an asset is sold, please indicate as follows: "(S) (partial)" See below for example.		
SP, DC, JT	SP Mega Corp. Stock					X									X			X															S (partial)	
	Simon & Schuster			Indefinite																Royalties														
	1st Bank of Paducah, KY Accounts							X								X																		
	Federated Market Opportunity Fund CL A														X																		S (partial)	
	JP Morgan US Real Estate Fund CL A														X																		S (partial)	
	Cohen & Steers Intl Realty Fund CL A																			Div/Gain													P, S (partial)	
	Van Eck Global Hard Assets Fund														X																		P	
	Loomis Sayles Strategic Income Fund CL A														X																			
	Oppenheimer Intl Bond Fd CL A														X																			P

For additional assets and unearned income, use next page.

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**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Continuation Sheet (if needed)

**Name** **Charles B. Rangel**

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# CALENDAR YEAR 2007 FINANCIAL DISCLOSURE STATEMENT

## SCHEDULE IV - TRANSACTIONS

Name **Charles B. Rangel**

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Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction			Date	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE		(MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	B \$1,001-\$15,000	C \$15,001-\$50,000	D \$50,001-\$100,000	E \$100,001-\$250,000	F \$250,001-\$500,000	G \$500,001-\$1,000,000	H \$1,000,001-\$5,000,000	I \$5,000,001-\$25,000,000	J \$25,000,001-\$50,000,000	K Over \$50,000,000
SP	Example: Mega Corporation Common Stock (partial sale)		X		10-12-07			X								
	Federated Market Opportunity Fund		X		08-31-07		X									
	J.P. Morgan US Real Estate Fund CL A		X		08-31-07		X		X							
	Cohen & Steers Int'l Realty Fund	X	X		07-12-07 S. Div. Reinv. P				X							
	J.P. Morgan Fleming Interpid European CL A	X	X		8/31/2007 S. Div. Reinv. P		X									
	JNG Russia Fund CL A	X	X		08-31-07 S. Div. Reinv. P				X							
	Blackrock Equity Fund Int'l	X	X		08-31-07 S. Div. Reinv. P		X									
	JNG Principal Protection Fund II CL B	X	X		07-03-07 S. Div. Reinv. P		X									
	ML Allianz Global Investors Consults Diversified Port III		X		Various					X						
	ML Allianz Global Investors Consults Diversified Port III	X			Various					X						
	Van Eck Global Hard Assets Fund	X			Monthly Div. Reinv.		X									
	Openheimer Int'l Bond Fd CL A	X			Monthly Div. Reinv.		X									
	Primo Emerging Mkts Bond Fund CL A	X			Monthly Div. Reinv.		X									
	Hartford Capital Appreciation CL A	X			Monthly Div. Reinv.		X									

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## CALENDAR YEAR 2007 FINANCIAL DISCLOSURE STATEMENT

## **SCHEDULE IV - TRANSACTIONS**

Name **Charles B. Rangel**

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Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below

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## CALENDAR YEAR 2007 FINANCIAL DISCLOSURE STATEMENT

## **SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

**Name Charles B. Rangel**

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

**Exclude:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

[illegible]

## SCHEDULE VIII - POSITIONS

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.**

[illegible]