

UNITED STATES HOUSE OF REPRESENTATIVES 2015 FINANCIAL DISCLOSURE STATEMENT

For Use by Members, Officers, and Employees

Form A

Name: Diane Lynn Black Daytime Telephone: 202-225-4231

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of or Candidate for U.S. House of Representatives	State: <u>Tennessee</u> District: <u>Sixth</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____
REPORT TYPE	<input checked="" type="checkbox"/> 2015 Annual (Due: May 16, 2016)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "Yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "Yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

HAND
DELIVERED

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LEGISLATIVE RESOURCE CENTER

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HOUSE OF REPRESENTATIVES

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Use additional sheets if more space is required.

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Diane Lynn Black**

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	None	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
JT	Ebon Falcon LLC (continued)																																				
	501 Great Circle Rd, Nashville, TN 37228											X					X																				
	515 Great Circle Rd, Nashville, TN 37228												X				X																				
	521 Great Circle Rd, Nashville, TN 37228																X																				
	545 Great Circle Rd, Nashville TN 37228																	X																			
	555 Church St #2110, Nashville, TN 37219																X																				
	540 Mainstream, Nashville, TN 37228																X																				
JT	Money Market Fund – Prudential Financial																		X																		
JT	Empirical Capital Partners LP *																																				
JT	10515 Front Beach Drive #3-1106 Panama City, FL 34207																																				
SP	Aegis Sciences stock																																				

* Fund manager letter on file with House Ethics Committee

Use additional sheets if more space is required.

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Use additional sheets if more space is required.

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Diane Lynn Black**

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income over \$1,000,000*		
	SP Brown Brothers Harriman account (assets below)																																			
	BBH US Govt Money Mkt Fund						X								X								X													
	BBH Limited Duration Fund								X																											
	BBH Intl Equity Fund																	X																		
	BBH Wealth Strategies LLC:																																			
	Coastal Qualified Partners Series																																			
	Alltrack Partners Series																																			
	Barrus Mid/Large Cap Series																																			
	AIT Global Emerging Markets Opportunity Fund – Yonibabel																																			
	Artisan Funds Inc Intl																																			
	Longleaf Partners Sm Cap Fund																																			
	Energy Northwest Wash Elec Rev 7/1/16																																			
	Jackonville FL Spr Rev Ser B-1 10/1/18																																			
	Connecticut SI Spl Tax Oblig Rev 2/1/19																																			
	Massachusetts Bay Trens Rev Ser A 7/1/21																																			

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Use additional sheets if more space is required.

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Use additional sheets if more space is required.

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Diane Lynn Black**

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	SP	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, Spent, or E	
	None																																				
	\$1-\$1,000																																				
	\$1,001-\$15,000																																				
	\$15,001-\$50,000																																				
	\$50,001-\$100,000																																				
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	\$1,000,001-\$5,000,000																																				
	\$5,000,001-\$25,000,000																																				
	\$25,000,001-\$50,000,000																																				
	Over \$50,000,000																																				
	Spouse/DC Asset over \$1,000,000*																																				
	Paypal Holdings Inc																																				
	Southwestern Energy Co																																				
	US Bancorp																																				
	Wal-Mart Stores																																				
	Waste Management Inc																																				
	Wells Fargo																																				
	York Capital Ming LP Class C																																				
	Zoetis Inc																																				
	SF BBH Cap Partners QP IV LP*																																				
	BCI Broadband																																				
	BCI Holdings																																				

* Fund manager letter on file with House Ethics Committee

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Diane Lynn Black**

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction				
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII				
	None																					None																
	\$1-\$1,000																					\$1-\$200																
	\$1,001-\$15,000																					\$201-\$1,000																
	\$15,001-\$50,000																					\$1,001-\$2,500																
	\$50,001-\$100,000																					\$2,501-\$5,000																
	\$100,001-\$250,000																					\$5,001-\$15,000																
	\$250,001-\$500,000																					\$15,001-\$50,000																
	\$500,001-\$1,000,000																					\$50,001-\$100,000																
	\$1,000,001-\$5,000,000																					\$100,001-\$1,000,000																
	\$5,000,001-\$25,000,000																					\$1,000,001-\$5,000,000																
	\$25,000,001-\$50,000,000																					Over \$5,000,000																
	Over \$50,000,000																					Spouse/DC Asset over \$1,000,000*																
	Spouse/DC Asset over \$1,000,000*																																					
SP	Morgan Stanley account																																					
	Bank deposits																																					
	Blackstone Real Estate Inc Fund																																					
	Hedgepartner/Akron Growth Partners Fund																																					
	Hedgepartner/QZ DE II Fund II																																					
	JPM Contingent Autocall on Halliburton Co. 10%, 10 Aug 2018																																					
	BGS Claudio on Alibaba 12/5%, 16 Nov 2018																																					
	CS Plus on the S&P Index 0% 20 Jan 2017																																					
	HSB Trigger Plus on the Euro Stoxx 50 Index 0% 03 Aug 2018																																					
	JPM Plus of the S&P 500 Index 0%																																					
	JPM Plus 2017																																					
	JPM Plus Topix Index 0% 05 Oct 2016																																					
	Morgan Stanley 0& Domestic Due 10 Aug 2016																																					
	RBC Claudio on Dow Chemical Co 10.05%																																					
	16 Nov 2016																																					
	USC Claudio Dow 18 Aug 2010																																					
SP	Energy MLP Income Fund LP**																																					

** Fund manager letter on file with House Ethics Committee

* Miscellaneous income from Form 1099

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Diane Lynn Black

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BLOCK A Asset and/or Income Source			BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	EF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, (Spouse), or E	
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income over \$1,000,000*		
SP	Morgan Stanley account continued																																				
	iShares Barclays 1-3 Yr Treas	X	X																			X		X												S	
	Pimco 0-5 Yr Hl Yield Corp Bond	X	X																			X		X												S	
	Blackrock Funds Low Duration	X	X																			X		X												S	
	Blackrock GL L/S Credit Ins	X	X																			X		X												S	
	Pimco Income CI P	X	X																			X		X												S	
	Global Investment Solutions – Applied Equity Strategies – see note 1	X	X																			X		X												S	
	iShares TIPS Bond ETF	X	X																			X		X												P, S	
	iShares MSCI Japan	X	X																			X		X												P, S	
	iShares Emerging Mkts Min	X	X																			X		X												S	
	iShares Tr S&P Midcap 400	X	X																			X		X												S	
	iShares Tr S&P Smallcap 600	X	X																			X		X												S	
	Pimco Eq Ser Long/Short P	X	X																			X		X													
	Wisdomtree Tr Jap Hedge Eq	X																				X		X												P, S	
	American Express Co	X															X																				P, S

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: **Diane Lynn Black**

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BLOCK A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction
	A	B	C	D	E	F	G	H	I	J	K	L	M		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
	None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*		None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income over \$1,000,000*	
SP, DC, JT	ASSET NAME													EF													P, S, Spouse, or E
SP	Morgan Stanley account continued																										
	Schlumberger Ltd														X												P, S
	Chevron Corp														X												P, S
	EOG Resources Inc														X												P, S
	Kinder Morgan Holding Co LLC														X					X							S
	Williams Companies														X						X						S
	Monsanto Co														X												P, S
	B/E Aerospace														X												S
	Honeywell International Inc														X						X						P, S
	Macys Inc														X												P, S
	United Technologies Corp														X												S
	DanaHER Corp														X					X							S
	Union Pacific Corp														X												S
	Starwood Hotels & Resorts														X												S
	Yum Brands Inc														X					X							S
	CBS Corp New CI B														X												S

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Diane Lynn Black**

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
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	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	SP Morgan Stanley account continued																																			P, S
	Comcast Corp Cl A																																			S
	Time Warner Inc																																			S
	Time Inc																																			S
	Home Depot Inc																																			S
	Costco Wholesale Corp																																			S
	Pepsico Inc																																			S
	Philip Morris Intl																																			S
	Estee Lauder Cosm Inc Cl A																																			S
	Abbott Labs																																			S
	Baxter International Inc																																			S
	McKesson Corp																																			S
	UnitedHealth Group Inc																																			S
	Amgen Inc																																			S
	Thermo Fisher Scientific																																			S

Use additional sheets if more space is required.

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Use additional sheets if more space is required

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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Diane Lynn Black

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction				
SP, DC, JT	ASSET NAME	EF	A	B	C	D	E	F	G	H	I	J	K	L	M	A	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
	American Legacy/Lincoln IRA assets below																																					
	Growth	X																																				
	Global Small Cap	X																																				
	Asset Allocation	X																																				
	Bond	X																																				
	US Gov/AAA Rated Sec	X																																				
	Growth Income	X																																				
	International Growth & Income	X																																				
	Global Growth	X																																				
	High Income Bond	X																																				
	SP American Legacy/Lincoln IRA assets below																																					
	Growth	X																																				
	Global Small Cap	X																																				
	Global Growth	X																																				
	Asset Allocation	X																																				
	Bond	X																																				

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Diane Lynn Black**

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
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	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
SP	American Legacy/Lincoln IRA assets continued																																			
	US Gov/AAA Rated Sec																																			
	International Growth & Income																																			
	High Income Bond																																			
	Growth Income																																			
	International																																			
	New World																																			
SP	SCF Securities assets below																																			
	AFLAC																																			S
	Apple Inc																																			P, S
	BB&T Corp																																			S
	Caterpillar Inc																																			P, S
	HollyFrontier Corporation																																			P, S

SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MONTH/yr) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
SP, DC, JT	Asset	Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/6/15		X									
SP	HollyFrontier Corp option expires 9/18/15 call \$44		S				2/6/15	X										
SP	HollyFrontier Corp option expires 9/18/15 call \$44	P					4/2/15	X										
SP	HollyFrontier Corp		S				4/14/15	X										
SP	Apple Inc option expires 7/17/15 call \$125	P					4/14/15	X										
SP	Caterpillar option expires 8/21/15 call \$82.50	P					4/17/15	X										
SP	Apple Inc option expires 7/17/15 call \$125		S				4/23/15	X										
SP	Caterpillar option expires 8/21/15 call \$82.50		S				4/23/15	X										
SP	Anthem Inc option expires 6/19/15 call \$150	P					4/23/15	X										
SP	Caterpillar option expires 6/19/15 put \$85	P					4/27/15	X										
SP	BB&T Corp		S				4/27/15	X										
SP	United Health option expires 9/18/15 call \$110	P					5/4/15	X										
SP	Anthem Inc option expires 6/16/15 call \$150		S				5/6/15	X										
SP	Humana Inc option expires 6/19/15 call \$170	P					5/13/15	X										
SP	3D Systems Corp options expires 6/19/15 put \$23	P					5/7/15	X										
SP	United Health option expires 9/18/15 call \$110		S				5/8/15	X										
SP	Humana Inc option expires 6/19/15 call \$170		S				5/18/15	X										
SP	Apple Inc option expires 7/17/15 call \$125	P					5/8/15	X										
SP	3D Systems Corp option expires 6/19/15 put \$23		S				5/18/15	X										
SP	Caterpillar Inc		S				5/18/15	X										

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. * Column K is for assets solely held by your spouse or dependent child.		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quantity, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP, DC, JT	Asset																	
SP	Example	Mega Corp. Stock			X		3/5/15		X									
SP	Hollyfrontier Corp			S			5/18/15		X									
SP	Apple Inc option 7/17/15 call \$125			S			5/22/15	X										
SP	Apple Inc			S			6/10/15		X									
SP	Altria Inc option expires 9/18/15 call \$45		P				6/6/15	X										
SP	Altria Inc option expires 9/18/15 call \$45			S			6/10/15	X										
SP	Alliac Inc			S			6/10/15	X										
SP	Brown Brothers Harriman transactions below																	
	Southwestern Energy Co		P				1/12/15				X							
	BBH Wealth Strategies Altrock Partners		P				1/12/15					X						
	AIT Global Emerging Markets Opp Fund Vontobel		P				2/3/15			X								
	Longleaf Partners Small Cap Fund		P				2/3/15				X							
	Comcast Corp		P				2/5/15			X								
	Occidental Petroleum Corp		P				2/5/15			X								
	Celanese Corp		P				2/5/15			X								
	Qualcomm Inc		P				2/10/15				X							
	Target Corp			S			2/5/15		X									
	Longleaf Partners Small Cap Fund		P				2/20/15				X							
	Baxter Intl Inc		P				2/24/15		X									
	Berkshire Hathaway Inc		P				2/24/15		X									

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YY) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
SP, DC, JT		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
* Column K is for assets solely held by your spouse or dependent child.																		
SP	Example Mega Corp. Stock			X		X	3/5/15		X									
Brown Brothers Harriman transactions continued																		
	Chubb Corp	P					2/24/15			X								
	Diageo PLC- Sponsored ADR	P					2/24/15		X									
	EOG Resources Inc	P					2/24/15		X									
	Praxair Inc	P					2/24/15		X									
	Zoetis Inc		S			X	2/24/15		X									
	Target Corp		S			X	2/24/15			X								
	California Resources Corp		S				3/2/15	X										
	Target Corp		S			X	3/9/15			X								
	Oracle Corp	P					3/13/15				X							
	Artisan Funds Inc Intl Fund	P					3/16/15			X								
	Longleaf Partners Small Cap Fund	P					3/16/15				X							
	T Rowe Price New Asia Fund		S				3/16/15			X								
	BBH Cap Partners QP IV	P					3/30/15			X								
	BBH Wealth Strategies Bares Mid/Large Cap *	P					4/10/15						X					
	BBH Wealth Strategies Coature		S			X	4/1/15					X						
	AIT Global Emerging Markets Opp Fund - Vontobel		S			X	4/14/15						X					
	BHH International Equity Fund		S			X	4/14/15											X
	BBH Limited Duration Fund		S				4/14/15											X

* This purchase was mistakenly reported on two Periodic Transaction Reports as purchases on April 1 and 10. The shares were purchased only on April 10.
Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: Diane Lynn Black

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SP, DC, JT		Type of Transaction				Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
SP	Asset	Purchase	Sale	Partial Sale	Exchange		A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		3/5/14		X									
SP	Brown Brothers Harriman transactions continued																
	T Rowe Price New Asia Fund		S			4/1/4/15					X						
	Enterprise Products LP		S			4/16/15			X								
	Baxter Intl Inc		S			4/16/15			X								
	Calanese Corp		S			4/16/15											
	Chubb Corp		S			4/16/15	X										
	EOG Resources Inc		S			4/16/15			X								
	EBay Inc		S			4/16/15					X						
	Google Inc Class A		S			4/16/15			X								
	Oracle Corp		S			4/16/15				X							
	Praxair Inc		S			4/16/15		X									
	Progressive Corp Ohio		S			4/16/15	X										
	Qualcomm Inc		S			4/16/15			X								
	Schlumberger Ltd		S			4/16/15				X							
	US Bancorp		S			4/16/15			X								
	Wal-Mart Stores Inc		S			4/16/15		X									
	Wells Fargo & Co		S			4/16/15		X									
	Bed Bath & Beyond		S			4/16/15			X								
	Liberty Interactive Corp		S			4/16/15	X										

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

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SP, DC, JT		Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
			Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example	Mega Corp. Stock			X			3/5/14		X									
SP	Brown Brothers Harriman transactions continued																		
		Novartis AG		S				4/16/15			X								
		Occidental Petroleum Corp		S				4/16/15					X						
		Southwestern Energy Co		S				4/16/15				X							
		Waste Management Inc		S				4/16/15			X								
		Henry Schein Inc		S				4/16/15				X							
		Zoetis Inc		S				4/16/15					X						
		Unilever PLC Sponsored ADR		S				4/16/15				X							
		Diageo PLC Sponsored ADR		S				4/16/15					X						
		Unilever N V-NY		S				4/16/15					X						
		BBH Limited Duration Fund		S				4/27/15							X				
		Nestle S A Sponsored ADR		S				5/29/15			X								
		Unilever N V-NY		S				5/29/15			X								
		Waste Management Inc		S				5/29/15			X								
		Henry Schein Inc		S				5/29/15			X								
		Wells Fargo & Co		S				5/29/15			X								
		Zoetis Inc		S				5/29/15			X								
		US Bancorp		S				5/29/15			X								
		Progressive Corp Ohio		S				5/29/15			X								

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: Diane Lynn Black

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SP, DC, JT		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quantity, Month, or Bi-weekly, if applicable	Amount of Transaction										
SP	Asset	Purchase	Sale	Partial Sale	Exchange			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/5/14		X									
SP	Brown Brothers Harriman transactions continued																	
	Microsoft Corp		S			X	5/29/15		X									
	EBay Inc		S			X	5/29/15	X										
	Celanese Corp		S			X	5/29/15	X										
	Liberty Interactive Corp		S			X	5/29/15	X										
	Novartis AG ADR		S			X	5/29/15	X										
	BBH Limited Duration Fund		S				5/29/15			X								
	BBH Wealth Strategies Bares Mid/Large Cap	P					6/1/15				X							
	Artisan Funds Inc Intl		S			X	6/30/15					X						
	BBH Limited Duration Fund		S				6/30/15										X	
	Longleaf Partners Small Cap Fund		S			X	6/30/15			X								
	AIT Global Emerging Markets Opp Fund		S				6/30/15				X							
	BBH Wealth Strategies Coatlue		S			X	7/1/15					X						
	Baxter Intl Inc		S			X	7/2/15			X								
	Chubb Corp		S				7/2/15			X								
	EOG Resources Inc		S			X	7/2/15			X								
	Google Inc Class C		S				7/2/15			X								
	Microsoft Corp		S			X	7/2/15	X										
	Nestle S A Sponsored ADR		S			X	7/2/15	X										

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

Page **23** of **41**

SP, DC, JT		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
SP	Asset	Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/5/14		X									
SP	Brown Brothers Harriman transactions continued																	
	Qualcomm Inc		S				7/2/15				X							
	Schlumberger Ltd		S			X	7/2/15			X								
	Southwestern Energy Co		S				7/2/15		X									
	Waste Management Inc		S			X	7/2/15		X									
	Zoetis Inc		S			X	7/2/15			X								
	EBay Inc		S			X	7/2/15		X									
	Novartis AG ADR		S			X	7/2/15		X									
	Henry Schein Inc		S			X	7/2/15		X									
	US Bancorp		S			X	7/2/15			X								
	Wells Fargo & Co		S			X	7/2/15			X								
	Celanese Corp		S			X	7/2/15			X								
	Liberty Interactive Corp		S			X	7/2/15			X								
	Progressive Corp Ohio		S			X	7/2/15											
	Chubb Corp		S			X	7/7/15		X									
	Chubb Corp		S			X	7/8/15		X									
	Baxter Intl Inc		S			X	7/14/15		X									
	Baxter Inc	P					7/17/15		X									
	Baxalta Inc	P					7/21/15		X									

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

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SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/5/14		X									
SP	Brown Brothers Harriman transactions continued																	
	Chubb Corp		S			X	7/21/15	X										
	Chubb Corp		S			X	7/27/15	X										
	BBH Wealth Strategies Bares Mid/arge Cap	P					8/1/15						X					
	Chubb Corp		S			X	8/5/15		X									
	Discovery Communications	P					8/11/15	X										
	Baxter Intl Inc		S			X	8/11/15		X									
	Discovery Communications	P					8/12/15	X										
	Discovery Communications	P					8/14/15	X										
	Discovery Communications	P					8/21/15	X										
	Discovery Communications	P					8/24/15	X										
	Discovery Communications	P					8/25/15	X										
	Discovery Communications	P					8/26/15	X										
	Discovery Communications	P					8/27/15	X										
	Paypal Holdings Inc	P					8/28/15	X										
	Discovery Communications	P					8/31/15	X										
	Qualcomm Inc	P					8/31/15	X										
	Paypal Holdings Inc	P					8/31/15	X										
	BBH Limited Duration Fund	P					9/1/15					X						

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: Diane Lynn Black

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SP, DC, JT		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
SP	Asset	Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/5/14		X									
SP	Brown Brothers Harriman transactions continued																	
	Qualcomm Inc	P					9/4/15	X										
	Discovery Communications	P					9/4/15	X										
	Qualcomm Inc	P					9/10/15	X										
	AIT Global Emerging Markets Opp Fund		S				9/21/15										X	
	Artisan Funds Inc Intl		S				9/21/15						X					
	Longleaf Partners Small Cap Fund		S				9/21/15					X						
	Berkshire Hathaway Inc		S				9/23/15		X									
	Google Inc Class C		S			X	9/23/15				X							
	Wal-Mart Stores Inc		S				9/23/15		X									
	Wells Fargo & Co		S			X	9/23/15				X							
	Chubb Corp		S			X	9/23/15		X									
	Henry Schein Inc		S			X	9/23/15		X									
	Bed Bath & Beyond		S				9/23/15				X							
	Discovery Communications		S				9/23/15		X									
	EOG Resources Inc		S			X	9/23/15			X								
	Occidental Petroleum Corp		S				9/23/15				X							
	Oracle Corp		S				9/23/15				X							
	Paypal Holdings Inc		S			X	9/23/15		X									

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

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SP, DC, JT		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (M/D/Y) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
SP	Asset	Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/5/14		X									
SP	Brown Brothers Harriman transactions continued																	
	Praxair Inc		S				9/23/15				X							
	Schlumberger Ltd		S				9/23/15	X										
	Baxalta Inc		S			X	9/23/15		X									
	Comcast Corp		S			X	9/23/15			X								
	EBay Inc		S			X	9/23/15	X										
	Liberty Interactive Corp		S			X	9/23/15		X									
	Microsoft Corp		S			X	9/23/15		X									
	Nestle S A Sponsored ADR		S			X	9/23/15			X								
	Southwestern Energy Co		S				9/23/15		X									
	US Bancorp		S			X	9/23/15			X								
	Unilever N V-NY		S			X	9/23/15		X									
	Waste Management Inc		S			X	9/23/15		X									
	Zoetis Inc		S			X	9/23/15		X									
	Celanese Corp		S			X	9/23/15		X									
	Progressive Corp Ohio		S			X	9/23/15		X									
	Diageo PLC Sponsored ADR		S			X	9/23/15			X								
	Novartis AG ADR		S			X	9/23/15			X								
	Southwestern Energy Co		S				9/28/15	X										

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SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

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SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YY) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/5/14		X									
Brown Brothers Harriman transactions continued																		
SP	Chubb Corp		S			X	9/28/15		X									
	Progressive Corp Ohio		S			X	9/28/15	X										
	Henry Schein Inc		S			X	9/28/15		X									
	Waste Management Inc		S			X	9/28/15		X									
	Zoetis Inc		S			X	9/28/15		X									
	Baxalta Inc		S			X	9/28/15		X									
	Berkshire Hathaway Inc		S				9/28/15		X									
	Microsoft Corp		S			X	9/28/15		X									
	Comcast Corp		S			X	9/29/15		X									
	Liberty Interactive Corp		S			X	9/29/15	X										
	Nestle S A Sponsored ADR		S			X	9/29/15		X									
	Oracle Corp		S				9/29/15			X								
	Praxair Inc		S				9/29/15	X										
	Schlumberger Ltd		S				9/29/15		X									
	US Bancorp		S			X	9/29/15		X									
	Wells Fargo & Co		S			X	9/29/15		X									
	Novartis AG ADR		S			X	9/29/15		X									
	Bed Bath & Beyond		S			X	9/29/15		X									

SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

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			Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
	Example	Mega Corp. Stock			X		X	3/5/14		X									
SP		Brown Brothers Hartman transactions continued																	
		Celanese Corp		S			X	9/29/15	X										
		Diageo PLC Sponsored ADR		S			X	9/29/15		X									
		BBH Wealth Strategies Coative		S			X	10/1/15											
		BBH Wealth Strategies Bares Mid/Large Cap	P					10/1/15						X					
		BBH Limited Duration Fund		S				10/6/15			X								
		Baxalta Inc		S			X	10/6/15	X										
		Berkshire Hathaway Inc		S			X	10/6/15		X									
		Comcast Corp		S			X	10/6/15		X									
		EOG Resources Inc		S			X	10/6/15		X									
		EBay Inc		S			X	10/6/15	X										
		Liberty Interactive Corp		S			X	10/6/15	X										
		Microsoft Corp		S			X	10/6/15		X									
		Nestle S A Sponsored ADR		S			X	10/6/15	X										
		Novartis AG ADR		S			X	10/6/15	X										
		Occidental Petroleum Corp		S				10/6/15	X										
		Paypal Holdings Inc		S			X	10/6/15	X										
		Progressive Corp Ohio		S			X	10/6/15		X									
		US Bancorp		S			X	10/6/15	X										

Use additional sheets if more space is required.

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SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP	DC	JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
				Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP			Example Mega Corp Stock			X		X	3/5/14		X									
SP			Morgan Stanley account transactions																	
			Macys Inc	P					2/13/15				X							
			Starbucks Corp	P					2/13/15				X							
			American Express Co	P					2/13/15				X							
			Baxter Intl Inc		S				2/13/15				X							
			EBay Inc		S			X	2/13/15				X							
			Qualcomm Inc		S				2/13/15				X							
			Time Inc		S			X	2/12/15	X										
			Blackstone Group	P					3/4/15					X						
			Yum Brands Inc		S			X	3/4/15				X							
			Delphi Automotive PLC	P					3/4/15				X							
			Danaher Corporation		S				3/4/15				X							
			iShares Barclay 1-3 Year Treasury Bond		S			X	3/12/15				X							
			Pimco 0-5 Year H/Y Corp Bond		S				3/12/15					X						
			Time Warner Inc		S			X	4/13/15				X							
			Linked In Corp	P					4/13/15				X							
			iShares Barclay 1-3 Year Treasury Bond		S			X	4/29/15					X						
			Pimco 0-5 Year H/Y Corp Bond		S				4/29/15					X						
			Angel Oak Multi Strat Inc I	P					4/29/15				X							

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

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SP, DC, JT		Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR), or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
			Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example	Mega Corp. Stock			X		X	3/5/14		X									
SP	Morgan Stanley account transactions continued																		
		iShares TIPS Bond ETF	P					4/29/15						X					
		Pimco 0-5 Yr H/Y Corp Bond		S				6/5/15							X				
		Pimco Equities Long/Short		S				6/5/15					X						
		Comcast Corp	P					6/29/15					X						
		iShares MSCI Japan ETF	P					6/29/15					X						
		iShares MSCI Emerging Markets		S				6/29/15						X					
		Wisdomtree Japan Hedged Equity Fund	P					6/29/15					X						
		Ace Ltd		S				6/29/15					X						
		Blackrock Global L/S Credit		S				7/27/15							X				
		iShares Barclay 1-3 Yr Treasury		S				7/27/15							X				
		Costco Wholesale Corp		S				7/28/15			X								
		United Health Group Inc		S				7/28/15				X							
		Visa Inc		S				7/28/15				X							
		Phillip Morris Intl Inc		S				7/28/15					X						
		Oracle Corp		S				7/28/15		X									
		Starbucks Corp		S				7/28/15			X								
		Estee Lauder Cos Inc		S				7/28/15			X								
		McKesson Corp		S				7/28/15		X									

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: **Diane Lynn Black**

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SP, DC, JT		Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YY) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
SP	Example	Asset	Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example	Mega Corp. Stock			X			3/5/14		X									
SP	Morgan Stanley account transactions continued																		
	EOG Resources		P					7/28/15	X										
	Comcast Corporation			S			X	7/28/15		X									
	Starwood Hotels & Resorts			S			X	7/28/15		X									
	Blackrock Inc Class A			S			X	7/28/15		X									
	Wells Fargo & Co			S			X	7/28/15		X									
	Honeywell International Inc			S			X	7/28/15			X								
	Macys Inc			S			X	7/28/15		X									
	Nextera Energy Inc			S			X	7/28/15		X									
	Google Inc Class A			S			X	7/28/15			X								
	Monsanto Company		P					7/28/15	X										
	JPMorgan Chase & Co			S			X	7/28/15				X							
	Blackstone Group			S			X	7/28/15			X								
	Chevron Corporation		P					7/28/15		X									
	EOG Resources Inc		P					7/28/15	X										
	Ingersoll-Rand Company Ltd		P					7/28/15			X								
	Delphi Automotive PLC		P					7/28/15		X									
	Schlumberger Ltd		P					7/28/15	X										
	Prudential Financial Inc			S				7/28/15		X									

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quantity, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/5/14		X									
SP	Morgan Stanley account transactions continued																	
	CBS Corp		S				7/28/15		X									
	Linked In Corp		S				7/28/15		X									
	Prologis Inc		S				7/28/15		X									
	Kinder Morgan Holding Co LLC		S			X	7/28/15		X									
	Mastercard Inc		S			X	7/28/15			X								
	Crown Castle International Corp		S			X	7/28/15		X									
	Abbott Laboratories		S			X	7/28/15			X								
	American Express Co		S				7/28/15	X										
	Amgen Inc		S			X	7/28/15				X							
	Apple Inc		S			X	7/28/15				X							
	Home Depot Inc		S			X	7/28/15			X								
	PepsiCo Inc		S			X	7/28/15		X									
	Thermo Fisher Scientific		S			X	7/28/15			X								
	Union Pacific Corp		S			X	7/28/15		X									
	Williams Companies Inc		S			X	7/28/15			X								
	iShares MSCI Emerging Markets		S				7/30/15				X							
	Pimco Equities Long/Short		S				8/6/15					X						
	Blackrock Funds Low Duration		S				8/6/15				X							

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

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SP, DC, JT		Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YY) or Quarterly, or Bi- weekly, if applicable	Amount of Transaction										
			Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example	Mega Corp. Stock			X		X	3/6/14		X									
SP	Morgan Stanley account transactions continued																		
		Honeywell International Inc	P					8/12/15				X							
		Apple Inc	P					8/12/15				X							
		Chevron Corporation		S				8/12/15					X						
		iShares TIPS Bond ETF		S				8/21/15				X							
		Costco Wholesale Corp		S				8/25/15				X							
		Goldman Sachs Group Inc	P					8/25/15			X								
		Facebook Inc	P					8/25/15			X								
		United Health Group Inc		S				8/25/15	X										
		Abbott Laboratories		S				9/21/15			X								
		American Express Co		S				9/21/15			X								
		Amgen Inc		S				9/21/15			X								
		Angel Oak Multi Strat Incl		S				9/21/15				X							
		Apple Inc		S				9/21/15				X							
		Blackrock Funds Low Duration		S				9/21/15		X									
		Blackrock Global Long/Short Credit Ins		S				9/21/15					X						
		Blackrock Inc		S				9/21/15				X							
		Blackstone Group		S				9/21/15				X							
		CBS Corp		S				9/21/15			X								

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

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SP, DC, JT		Asset	Type of Transaction				Date	Amount of Transaction										
SP	DC	JT	Purchase	Sale	Partial Sale	Exchange	(M/D/Y) or Quarterly, Monthly, or Bi- weekly, if applicable	A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
* Column K is for assets solely held by your spouse or dependent child.																		
SP		Example Mega Corp. Stock			X		3/5/14		X									
Morgan Stanley account transactions continued																		
		Comcast Corporation		S			9/21/15				X							
		Crown Castle International Corp		S			9/21/15			X								
		Delphi Automotive PLC		S			9/21/15			X								
		EOG Resources Inc		S			9/21/15			X								
		Estee Lauder Cos Inc		S			9/21/15				X							
		Facebook Inc		S			9/21/15				X							
		Goldman Sachs Group Inc		S			9/21/15				X							
		Google Inc Class A		S			9/21/15				X							
		Home Depot Inc		S			9/22/15				X							
		Honeywell International Inc		S			9/21/15					X						
		Ingersoll-Rand Company Ltd		S			9/21/15				X							
		iShares MSCI Japan ETF		S			9/21/15				X							
		iShares TIPS Bond ETF		S			9/21/15					X						
		iShares TR S&P Midcap 400 Index Fund		S			9/21/15						X					
		iShares TR S&P Small Cap 600 Index Fund		S			9/21/15							X				
		JPMorgan Chase & Co		S			9/21/15				X							
		Kinder Morgan Holding Co LLC		S			9/21/15				X							
		Linked In Corp		S			9/21/15				X							

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

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SP, DC, JT		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
SP	Asset	Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/5/14		X									
SP	Morgan Stanley account transactions continued																	
	Macys Inc		S				9/21/15			X								
	Mastercard Inc		S			X	9/21/15			X								
	McKesson Corp		S			X	9/21/15			X								
	Monsanto Company		S				9/21/15			X								
	Nextera Energy Inc		S			X	9/21/15			X								
	Oracle Corp		S				9/21/15			X								
	PepsiCo Inc		S			X	9/21/15			X								
	Pimco Income Class P		S				9/21/15										X	
	Prologis Inc		S				9/22/15			X								
	Prudential Financial Inc		S				9/22/15			X								
	Schlumberger Ltd		S				9/21/15			X								
	Starbucks Corp		S			X	9/21/15			X								
	Starwood Hotels & Resorts		S				9/21/15			X								
	Thermo Fisher Scientific		S			X	9/21/15			X								
	Union Pacific Corp		S				9/21/15			X								
	United Technologies Corp		S				9/21/15			X								
	Visa Inc		S			X	9/21/15			X								
	Wells Fargo Company		S			X	9/21/15			X								

Use additional sheets if more space is required.

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Use additional sheets if more space is required

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EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2015 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,225. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

[illegible]

SCHEDULE D – LIABILITIES

Name: **Diane Lynn Black**

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Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
	Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
JT	JP Morgan Chase	Mar 2012	Mortgage on 501 Great Circle Road and 540 Mainstream								X			
JT	JP Morgan Chase (refinance)	June 2012	Mortgage on 100 Athens Way, 336 Hill Avenue, 515 Great Circle Road, 365 Great Circle Road							X				
JT	JP Morgan Chase (refinance)	Apr 2013	Mortgage on 100 Athens Way, 336 Hill Avenue, and 365 501, 515, and 521 Great Circle Road								X			

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Member	Ebon Falcon LLC
Limited Partner and Member	Empirical Capital Partners, LP

Use additional sheets if more space is required.

Diane Lynn Black

Name:

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EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]

Use additional sheets if more space is required.

Name: **Diane Lynn Black**

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[illegible]

Use additional sheets if more space is required.