

AUG 12 2014

LEGISLATIVE RESOURCE CENTER

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LEGISLATIVE RESOURCE CENTER

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A \$200 penalty shall be assessed against any individual who files more than 30 days late.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT

FORM B

For New Members, Candidates, and New Employees

Name: William John Hughes Jr. Daytime Telephone: _____

FILER STATUS

☒ New Member of or Candidate for U.S. House of Representatives
State: MS District: 02
Candidates - Date of Election: Nov. 4, 2014

☐ Check if Amendment

☐ New Officer or Employee
Employing Office: _____

Period Covered: January 1, _____ to _____

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Do you have any reportable agreements or arrangements with an outside entity?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

Yes ☐ No ☒

EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes ☐ No ☒

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: William John Hughes Sr.

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BLOCK A		BLOCK B													BLOCK C								BLOCK D																											
Assets and/or Income Sources		Value of Asset													Type of Income								Amount of Income																											
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year														Preceding Year													
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*				
	Cooper Levenson Volk																																																	
	- IUVSCO M&GP On EGA																																																	
	- ALLN 291 LGAP VAP A																																																	
	- IUVSCO E&I INC. A																																																	
	- PIMCO REAL PM A																																																	
	South Jersey Industries																																																	
	DC South Jersey Industries																																																	
	DC South Jersey Industries																																																	
	SP Merrill Lynch 181A																																																	
	SP Am Cap Inc. Belden C																																																	
	SP - Goldman Sachs Growth																																																	
	SP STHA CL C																																																	
	SP - FIA CARD SUS NIA RKSIF																																																	
	SP - Principal Sm Conserve																																																	
	SP Growth Portfolio C																																																	

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: William John Hughes Jr.

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BLOCK A		BLOCK B													BLOCK C								BLOCK D																											
Assets and/or Income Sources		Value of Asset													Type of Income								Current Year												Preceding Year															
SP, DC, JT	ASSET NAME	None	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII			
SP	La Banya Postal Act																																																	
SP	BMP Partners Trust																																																	
	1117 Brighton Assoc.																																																	
	- Vermont North-Phrop																																																	
	- Ocean City Rental Prop																																																	
	- S.T. Rental Prop.																																																	
	West Indies Bmg Htz																																																	
	Carpen Levensen Share																																																	

Use additional sheets if more space is required.

Name: William John Hughes Page 5 of 11

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act

INCOME LIMITS and PROHIBITED INCOME. The 2013 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,955. It is unchanged in 2014. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

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Name: William John Hughes, Jr. Page 6 of 11

Amount of Liability

SCHEDULE E - POSITIONS

Position

Name of Organization

Use additional sheets if more space is required.

SCHEDULE F - AGREEMENTS

Name:

William John Hughes, Jr.

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
2/7/2011	Copier Leasing	Shareholder Agreement

SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

Source (Name and City/State)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting Services
Donnot Desmond, Dublin, Ireland	Legal Services
Frank David Inc, Hawthorn, NJ	Legal Services
Inspira Health Network, Woburn, NJ	Legal Services
Technative Corp, Niagara Falls, NY	Legal Services
HIS Funding, LLC	Legal Services
Atlanticade, Panama, NJ	Legal Services
Yolk Pro, LLC, NY, NY	Legal Services

SCHEDULE F - AGREEMENTS

Name:

William John Hughes, Jr.

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Source (Name and City/State)		Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate		Accounting Services
Mid Sass Investors Service, NY, NY		Legal Services
Robert Andrews, Haddon Heights, NJ		Legal Services
Allstate City Board of Ed.		Legal Services
Allstate City Limited		Legal Services
Ken Puckett, Hometown, NJ		Legal Services
DC. Air & Space, Winston Salem, NC		Legal Services
Ronald Graham, Bordenstown, NJ		Legal Services

Use additional sheets if more space is required.

SCHEDULE F - AGREEMENTS

Name: William John Hughes Jr. Page 9 of 11

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Source (Name and City/State)		Brief Description of Duties	
<i>Example:</i> Doe Jones & Smith, Hometown, Homestate		Accounting Services	
Linde LLC, Gallaway Twp, NJ		Legal	Services
Brian Douglas, Wilmington, DE		Legal	Services
Flagship Reents, Atlantic City, NJ		Legal	Services
Hobby K. Lause, Ocean City, NJ		Legal	Services
Diagnoses Inc, Medical Inquiring, Services of S.S. Assocn, NJ		Legal	Services
James Mead		Legal	Services
Pendur, LLC, Milford, DE		Legal	Services

SCHEDULE F - AGREEMENTS

Name: William John Hyler Jr. Page 10 of 11

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

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Source (Name and City/State)		Brief Description of Duties	
Example: Doe Jones & Smith, Hometown, Homestate		Accounting Services	
NJ Solar Grid Supply Assn., Trenton, NJ		Legal	Services
Sampson, Inc., Milwaukee, WI		Legal	Services
Thomas Beers, Pat No. 115 NJ		Legal	Services
Michael Ruffalo, Toms River, NJ		Legal	Services
David Swann, Bryana Boro, NJ		Legal	Services
M. Saffar Gohar, London, UK		Legal	Services
Ashbury Page Bd. of Ed.		Legal	Services

SCHEDULE F - AGREEMENTS

Name: William John Hughes, Jr. Page 11 of 11

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

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Source (Name and City/State)		Brief Description of Duties
<i>Example:</i> Doe Jones & Smith, Hometown, Homestate		Accounting Services
JOAO Simoes Newark, NJ		Legal Services
Robert Wrenney, Hamilton, NJ		Legal Services
Team Insiders, Ft. Lauderdale, FL		Legal Services
Certain Confidential Clients		Pursuant to NDRC § 1.6(e), 1.9(c),
Are Not Reported		Fed. R. Crim. P. 6(e) and related state
		confidentiality

Use additional sheets if more space is required.

Attachment 1

Client name
Client Account #

HUGHES, WILLIAM

████████████████████

Account Balances
Summary
Account Value
Cash Alternatives
Money Market
Accrued Interest
Cash Balance
Long Positions
Stock Value
Mutual Funds Value
Short Positions

Balances
\$335,288.22
\$33,373.78
\$27,317.11
\$0.05
\$6,056.62
\$301,914.44
\$293,530.96
\$8,383.48
\$0.00

Buying Power (BP)
Avail. funds for trading
Avail. funds for withdrawal
Total positions value
Unsettled cash

\$33,373.78
\$27,337.11
\$329,231.55
\$6,056.62

Account Positions

Description	Symbol	Last	Qty	Mkt Val	% Mkt Val
ALCOA INC COM	AA	16.1	200	3,220.00	0.96
APPLE INC COM	AAPL	94.74	56	5,305.44	1.58
AFLAC INC CM	AFL	59.19	50	2,959.50	0.88
AMERICAN INTL GROUP INC COM	AIG	52.45	50	2,622.50	0.78
AMERIPRISE FINL ICN COM	AMP	118.56	40	4,742.40	1.41
ARRIS GROUP INC COM	ARRS	30.11	125	3,763.75	1.12
ARROW ELECTRONIC INC COM	ARW	58.28	75	4,371.00	1.3
AMERICAN EXPRESS CO CM	AXP	87.47	45	3,936.15	1.17
THE BLACKSTONE GROUP LP COM	BX	32.98	200	6,596.00	1.97
BALLY TECHNOLOGIES INC COM	BVI	74.7	75	5,602.50	1.67
CITIGROUP INC COM	C	48.45	50	2,422.50	0.72
CELGENE CORP COM	CELG	86.51	80	6,920.80	2.06
CISCO SYSTEMS INC COM	CSCO	25.03	250	6,257.50	1.87
CHAMBERS STREET PROPERTIES COM	CSG	7.83	500	3,915.00	1.17

PAYCHEX INC COM	PAYX	41.34	75	3,100.50	0.92
PRICELINE GROUP INC COM	PCLN	1281.56	2	2,563.12	0.76
PETSMART INC COM	PETM	67.8	100	6,780.00	2.02
PFIZER INC COM	PFE	28.34	150	4,251.00	1.27
REGENERON PHARMACEUTICALS INC COM	REGN	338.32	8	2,706.56	0.81
RF MICRO DEVICES INC COM	RFMD	11.19	300	3,357.00	1
TRANSOCEAN LTD ORD	RIG	38.92	75	2,919.00	0.87
RAYTHEON CO COM	RTN	91.61	60	5,496.60	1.64
SELECT MED HLDGS CORP COM	SEM	13.79	300	4,137.00	1.23
SOUTHERN CO COM	SO	43.53	150	6,529.50	1.95
SEAGATE TECHNOLOGY ORD	STX	56.97	25	1,424.25	0.42
TEVA PHARMACEUTICAL INDS LTD ADR	TEVA	51.06	50	2,553.00	0.76
TAIWAN SEMICONDUCTOR ADR	TSM	19.79	250	4,947.50	1.48
TATA MOTORS LTD COM	TTM	38.24	100	3,824.00	1.14
UNITED CONTINENTAL HLDGS COM	UAL	42.95	75	3,221.25	0.96
UNITED THERAPEUTICS CORP COM	UTHR	88.74	35	3,105.90	0.93
VIACOM INC CL B	VIAB	80.44	75	6,033.00	1.8
VERIZON COMMUNICATIONS COM	VZ	48.7	100	4,870.00	1.45
WELLPOINT INC COM	WLP	108.58	45	4,886.10	1.46
WAL-MART STORES COM	WMT	74.67	75	5,600.25	1.67
WYNDHAM WORLDWIDE CORP COM	WYN	76.33	50	3,816.50	1.14
EXXON MOBIL CORPORATION COM	XOM	99.74	35	3,490.90	1.04

Long Investments	Shares	Buy Date	LT/ST	Cost/Share	Orig. Cost	WTS Cost Adj	Mkt Price	Mkt Value	ST Gain/Loss	LT Gain/Loss	% Change	Status
ALCOA INC (AA)	200	6/25/2013	LT	7.89	1,578.66		16.10	3,220.00		1,641.34	103.97 C	
APPLE COMPUTER INC (AAPL)	42	3/12/2010	LT	32.44	1,362.42		94.74	3,979.08		2,616.66	192.06	
APPLE COMPUTER INC (AAPL)	14	4/27/2012	LT	86.71	1,213.99		94.74	1,326.36		112.37	9.26 C	
AFAC INC (AFI)	50	1/7/2013	LT	51.55	2,577.49		59.19	2,959.50		382.01	14.82 C	
AMERICAN INTERNATIONAL GROU (AIG)	50	2/24/2014	LT in 201 days	49.44	2,472.06		52.45	2,622.50	150.44		6.09 C	
AMERIPRISE FINANCIAL INC (AMP)	40	5/15/2013	LT	81.23	3,249.15		118.56	4,742.40	1,493.25		45.96 C	
ARRIS GROUP (ARRS)	125	8/12/2013	LT in 5 days	16.66	2,082.40		30.11	3,763.75	1,681.35		80.74 C	
ARROW ELECTRS INC (ARW)	75	3/31/2014	LT in 236 days	58.69	4,401.43		58.28	4,371.00	(30.43)		(0.69) C	
AMERICAN EXPRESS CO (AXP)	45	6/21/2010	LT	42.91	1,931.10		87.47	3,936.15		2,005.05	103.83	
BLACKSTONE GROUP LP/THE (BX)	100	1/22/2013	LT	17.84	1,784.14		32.98	3,298.00		1,513.86	84.85	
BLACKSTONE GROUP LP/THE (BX)	100	3/11/2013	LT	20.26	2,025.98		32.98	3,298.00		1,272.02	62.79	
BALLY TECH INC (BVI)	75	6/17/2014	LT in 314 days	64.96	4,872.11		74.70	5,602.50	730.39		14.99 C	
CTGROUP INC (C)	50	12/5/2011	LT	28.60	1,479.79		48.45	2,422.50		942.71	63.71 C	
CELESTINE CORP (CEIG)	80	10/11/2011	LT	33.29	2,662.95		86.51	6,920.80		4,257.85	159.89 C	
CISCO SYSTEMS INC (CSCO)	250	4/16/2014	LT in 252 days	23.05	5,762.99		25.03	6,257.50	494.51		8.58 C	
CHAMBERS STREET PROPERTIES (CSG)	500	6/30/2014	LT in 327 days	8.04	4,019.99		7.83	3,915.00	494.51	(104.99)	(2.61) C	
COOPER TIRE & RUBER CO (CTB)	75	12/16/2013	LT in 131 days	22.95	1,721.48		30.00	2,250.00	528.52		30.70 C	
COGNIZANT TECH SOLUTIONS CO (CTSH)	100	9/9/2013	LT in 33 days	39.10	3,909.80		44.47	4,447.00	537.20		13.74 C	
CHEVRON CORP (CVX)	50	7/13/2010	LT	73.41	3,670.65		127.86	6,393.00		2,722.35	74.17	
DELTA AIR LINES INC (DAL)	100	3/4/2013	LT	15.47	1,546.97		36.74	3,624.00		2,077.03	134.26 C	
WISDOMTREE EMERGING MARKETS (DEM)	75	5/8/2014	LT in 274 days	50.57	3,792.98		51.57	3,867.75	74.77		1.97 C	
WALT DISNEY CO (DIS)	75	8/23/2011	LT	31.83	2,387.13		86.85	6,513.75		4,126.62	172.87 C	
ISHARES MSCI JAPAN (EWJ)	200	2/22/2013	LT	10.10	2,019.98		11.74	2,348.00		328.02	16.24 C	
FORTRESS INVESTMENT GROUP L (FIG)	500	4/11/2013	LT	6.57	3,286.74		7.31	3,655.00		368.26	11.20	
ISHARES CHINA LARGE-CAP ETF (FXI)	100	11/18/2013	LT in 103 days	39.87	3,987.10		40.49	4,049.00	61.90		1.55 C	
GREENBRIER COS INC (GBX)	100	1/21/2014	LT in 167 days	36.80	3,679.66		62.71	6,271.00	2,591.34		70.42 C	
GENERAL ELECTRIC CO (GE)	200	10/4/2011	LT	14.26	2,852.95		25.66	5,132.00		2,279.05	79.86 C	
SPDR GOLD TRUST (GLD)	25	1/13/2014	LT in 159 days	121.22	3,030.50		126.19	3,154.75	124.25		4.10	
COMING INC (GWL)	125	1/28/2014	LT in 174 days	17.48	2,184.99		19.94	2,492.50	307.51		14.07 C	
GOOGLE INC CL C (GOOG)	3	3/11/2010	LT	290.66	871.99		568.77	1,706.31		834.32	95.68	
GOOGLE INC CL C (GOOG)	2	10/2/2012	LT	379.71	759.41		568.77	1,137.54		378.13	49.79 C	
GOOGLE INC CL C (GOOG)	3	3/11/2010	LT	291.56	874.68		577.94	1,733.82		859.14	98.22	
GOOGLE INC CL A (GOOGL)	2	10/2/2012	LT	380.88	761.76		577.94	1,155.88		394.12	51.74 C	
GOODYEAR TIRE & RUBER CO (GT)	200	5/30/2013	LT	15.37	3,073.99		13.90	2,780.00		1,880.01	61.16 C	
HAWAIIAN HLDGS INC (HA)	200	1/7/2013	LT	7.23	1,446.87		35.17	4,954.00		1,333.03	92.13 C	
HEWLETT PACKARD CO (HPRQ)	150	11/29/2013	LT in 114 days	27.08	4,061.49		29.89	5,275.50	1,214.01		29.89 C	
ISHARES NASDAQ BIOTECHNOLOG (IBB)	17	3/12/2010	LT	90.99	1,546.88		251.49	4,275.33		2,728.45	176.38	
INTERNATIONAL BUSINESS MACH (IBM)	25	4/29/2011	LT	171.79	4,294.71		186.63	4,665.75		371.04	8.64 C	
INTEL CORP (INTC)	120	10/19/2011	LT	24.37	2,924.61		32.60	3,912.00		987.39	33.76 C	
JAZZ PHARMACEUTICALS PLC (JAZZ)	17	3/15/2013	LT	59.17	1,005.95		136.58	2,321.86		1,315.91	130.81 C	
JOHNSON & JOHNSON (JNJ)	50	4/22/2013	LT	84.88	4,243.80		101.08	5,054.00		810.20	19.09 C	
JP MORGAN CHASE & CO (JPM)	75	5/16/2012	LT	36.89	2,767.07		56.34	4,225.50		1,458.43	52.71 C	
KIRK & CO LP (KCR)	100	5/14/2013	LT	20.68	2,067.89		22.78	2,278.00		210.11	10.16	
LEAR CORP (LEA)	50	7/1/2014	LT in 328 days	90.08	4,504.19		95.42	4,771.00	266.81		5.92 C	
LULULEMON ATHLETICA INC (LULU)	100	7/3/2014	LT in 350 days	42.69	4,268.76		40.11	4,011.00	(257.76)		(6.04) C	
MASTERCARD INC (MA)	75	7/25/2011	LT	31.07	2,330.09		75.17	5,637.75		3,307.66	141.95 C	
MATTHEWS PACIFIC TIGER FID (MATPTX)	298,557	4/23/2010	LT	20.09	5,998.01		28.08	8,383.48		2,385.47	39.77	
MICROSOFT CORP (MSFT)	100	7/20/2012	LT	30.90	3,089.99		43.20	4,320.00		1,230.01	39.81 C	
MICROSOFT CORP (MSFT)	50	11/29/2012	LT	27.31	1,365.29		43.20	2,160.00		794.71	58.21 C	

MASTEC INC (MUT)	50.	10/2/2012	LT	20.28	1,014.00	26.86	1,343.00	329.00	32.45	C
MICRON TECHNOLOGY INC (MU)	100.	9/3/2013	LT in 27 days	14.07	1,406.37	30.04	3,004.00	113.51	113.51	C
MYRAD GENETICS INC (MYGN)	125.	9/16/2013	LT in 40 days	26.46	3,307.99	37.74	4,717.50	42.61	42.61	C
NOVACOPPER INC (NCO)	50.	5/1/2012	LT	3.13	156.50	1.02	51.00	(105.50)	(67.41)	C
NORFOLK SOUTHERN CORP (NSC)	50.	4/30/2012	LT	73.39	3,669.29	101.37	5,068.50	1,399.21	38.13	C
OWENS ILL INC (OI)	50.	10/16/2012	LT	20.59	1,029.37	30.67	1,533.50	504.13	48.97	C
ORACLE CORP (ORCL)	125.	8/24/2011	LT	26.79	3,348.55	39.94	4,992.50	1,643.95	49.09	C
PAYCHEX INC (PAYX)	75.	9/2/2010	LT	25.86	1,939.81	41.34	3,100.50	1,160.69	59.84	C
THE PRICELINE GROUP INC (PLCN)	2.	4/2/2012	LT	727.81	1,455.61	1,281.56	2,563.12	1,107.53	76.09	C
PETSMART INC (PETM)	100.	6/16/2014	LT in 313 days	58.05	5,805.48	67.80	6,780.00	974.52	16.79	C
PEZZER INC (PEZ)	150.	4/29/2014	LT in 265 days	32.13	4,818.99	28.34	4,251.00	(567.99)	(11.79)	C
REGENERON PHARMS INC (REGN)	8.	12/12/2012	LT	183.00	1,463.99	338.32	2,706.56	1,242.57	84.88	C
RF MICRO DEVICES INC (RFMD)	500.	2/26/2014	LT in 203 days	6.89	3,447.19	11.19	5,595.00	2,147.81	62.31	C
TRANSOCEAN LTD (RIG)	75.	4/24/2014	LT in 260 days	42.61	3,195.56	38.92	2,919.00	(276.56)	(8.65)	C
RAYTHEON CO (RTN)	60.	2/13/2013	LT	54.17	3,250.16	91.61	5,496.60	2,246.44	69.12	C
SELECT MEDICAL HOLDINGS COR (SELM)	300.	12/16/2013	LT in 131 days	9.86	2,959.44	13.79	4,137.00	1,177.56	39.79	C
SOUTHERN COMPANY (SO)	150.	5/29/2014	LT in 295 days	43.40	6,510.29	43.53	6,529.50	19.21	0.30	C
SEAGATE TECHNOLOGY (STX)	25.	4/19/2012	LT	30.34	726.99	56.97	1,424.25	665.76	87.77	C
TEVA PHARMACEUTICAL INDUSTR (TEVA)	50.	3/26/2014	LT in 231 days	50.16	2,507.99	51.06	2,553.00	45.01	1.79	C
TAIWAN SEMICONDUCTOR MANUF (TSM)	250.	5/6/2014	LT in 272 days	19.91	4,977.27	19.79	4,947.50	(29.77)	(0.60)	C
TATA MOTORS LTD (TTM)	100.	4/9/2014	LT in 245 days	37.59	3,758.68	38.24	3,824.00	65.32	1.74	C
UNITED CONTINENTAL HOLDING (UAL)	75.	11/22/2013	LT in 107 days	38.67	2,900.17	42.95	3,221.25	321.08	11.07	C
UNITED THERAPEUTICS CORP (UTHR)	35.	3/11/2013	LT	62.07	2,172.61	88.74	3,105.90	933.29	42.96	C
VIACOM INC NEW CL B (VIAB)	75.	9/27/2011	LT	42.02	3,151.27	80.44	6,033.00	2,881.73	91.45	C
VERIZON COMM (VZ)	100.	5/19/2014	LT in 285 days	49.28	4,928.12	48.70	4,870.00	(58.12)	(1.18)	C
WELLPONT INC (WLP)	45.	3/3/2011	LT	67.47	3,036.15	108.56	4,886.10	1,849.95	60.93	C
WAL-MART STORES INC (WMT)	75.	12/2/2013	LT in 117 days	81.11	6,083.14	74.67	5,600.25	(482.89)	(7.94)	C
WYNDHAM WORLDWIDE CORP (WYNN)	50.	3/11/2013	LT	63.50	3,174.99	76.33	3,816.50	641.51	20.21	C
EXXON MOBIL CORP (XOM)	35.	5/14/2013	LT	91.11	3,189.01	99.74	3,490.90	301.89	9.47	C
Total:					223,190.69	31.50	304,152.44	14,711.54	66,218.71	36.26

COOPER TIRE & RUBBER CO COM	CTB	30	75	2,250.00	0.67
COGNIZANT TECH SOLUTIONS CRP COM	CTSH	44.47	100	4,447.00	1.33
CHEVRON CORP COM	CVX	127.86	50	6,393.00	1.91
DELTA AIR LINES INC COM	DAL	36.24	100	3,624.00	1.08
WISDOMTREE EMERG MKTS EQUITY INCOME FUND	DEM	51.57	75	3,867.75	1.15
WALT DISNEY CO COM	DIS	86.85	75	6,513.75	1.94
ISHARES MSCI JAPAN ETF	EWJ	11.74	200	2,348.00	0.7
FORTRESS INVESTMENT GROUP COM	FIG	7.31	500	3,655.00	1.09
ISHARES CHINA LARGE-CAP ETF	FXI	40.49	100	4,049.00	1.21
GREENBRIER COMPANIES INC COM	GBX	62.71	100	6,271.00	1.87
GENERAL ELECTRIC CO COM	GE	25.66	200	5,132.00	1.53
SPDR GOLD TR GOLD SHS ETF	GLD	126.19	25	3,154.75	0.94
CORNING INC CM	GLW	19.94	125	2,492.50	0.74
GOOGLE INC CLASS C	GOOG	568.77	5	2,843.85	0.85
GOOGLE INC CL A	GOOGL	577.94	5	2,889.70	0.86
GOODYEAR TIRE & RUBBER CO COM	GT	24.77	200	4,954.00	1.48
HAWAIIAN HOLDINGS INC COM	HA	13.9	200	2,780.00	0.83
HEWLETT PACKARD CO COM	HPQ	35.17	150	5,275.50	1.57
ISHARES NASDAQ BIOTECHNOLOGY ETF	IBB	251.49	17	4,275.33	1.28
INTL BUSINESS MACHINES COM	IBM	186.63	25	4,665.75	1.39
INTEL CORP COM	INTC	32.6	120	3,912.00	1.17
JAZZ PHARMACEUTICALS INC COM	JAZZ	136.58	17	2,321.86	0.69
JOHNSON & JOHNSON COM	JNJ	101.08	50	5,054.00	1.51
JP MORGAN CHASE & CO COM	JPM	56.34	75	4,225.50	1.26
KKR & COMPANY LP COM	KKR	22.78	100	2,278.00	0.68
LEAR CORPORATION PARTN COM	LEA	95.42	50	4,771.00	1.42
LULULEMON ATHLETICA INC COM	LULU	40.11	100	4,011.00	1.2
MASTERCARD INC COM	MA	75.17	75	5,637.75	1.68
MATTHEWS FDS PACIFIC TIGER FD	MAPT	28.08	298.557	8,383.48	2.5
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY MMDA12		1	27317.11	27,317.11	8.15
MICROSOFT CORP COM	MSFT	43.2	150	6,480.00	1.93
MASTEC INC COM	MTZ	26.86	50	1,343.00	0.4
MICRON TECHNOLOGY INC COM	MU	30.04	100	3,004.00	0.9
MYRIAD GENETICS INC COM	MYGN	37.74	125	4,717.50	1.41
NOVA COPPER INC COM B7XPN9	NCQ	1.02	50	51	0.02
NORFOLK SOUTHERN CORP COM	NSC	101.37	50	5,068.50	1.51
OWENS ILL INC COM NEW	OI	30.67	50	1,533.50	0.46
ORACLE CORP COM	ORCL	39.94	125	4,992.50	1.49

Close Date	Sec type	Open Date	Security	Shares Sold	Proceeds	Book Cost	WTS Cost Adj	Cost	ST Gain/Loss	LT Gain/Loss	Trading Method	Settled
1/23/2014		3/15/2013	IAZZ PHARMACEUTICALS PLC (IAZZ)	5.	784.99	295.87		295.87	489.12			1/28/2014
1/24/2014		7/25/2011	MASTERCARD INC (MA)	25.	1,998.29	776.70		776.70		1,221.59		1/29/2014
1/27/2014		1/7/2013	WISDOMTREE EMERGING MARKETS (DEM)	30.	1,394.09	1,724.39		1,724.39		(330.30)		1/30/2014
1/27/2014		11/15/2013	WISDOMTREE EMERGING MARKETS (DEM)	50.	2,233.48	2,628.01		2,628.01	(304.53)			1/30/2014
1/31/2014		9/4/2013	PETROLEO BRASILEIRO SA (PBR)	150.	1,675.48	2,061.26		2,061.26	(385.78)			2/5/2014
1/31/2014		9/27/2013	PETROLEO BRASILEIRO SA (PBR)	100.	1,116.98	1,559.99		1,559.99	(443.01)			2/5/2014
2/3/2014		9/6/2013	MURPHY OIL CORP (MUR)	75.	4,144.20	4,635.96		4,635.96	(491.76)			2/6/2014
2/3/2014		7/22/2013	UNITED RENTALS INC (URI)	25.	1,860.47	1,421.75		1,421.75	438.72			2/6/2014
2/5/2014		3/12/2010	ISHARES NASDAQ BIOTECHNOLOG (IBB)	3.	696.52	272.98		272.98		423.54		2/10/2014
2/5/2014		3/15/2013	IAZZ PHARMACEUTICALS PLC (IAZZ)	15.	2,107.46	887.60		887.60	1,219.86			2/10/2014
2/6/2014		6/25/2013	SPIRIT AEROSYSTEMS HOLDINGS (SPR)	30.	800.54	634.70		634.70	165.84			2/11/2014
2/28/2014		3/15/2013	IAZZ PHARMACEUTICALS PLC (IAZZ)	3.	452.80	177.52		177.52	275.28			3/5/2014
3/3/2014		12/23/2013	ROVI CORP (ROVI)	50.	1,121.28	941.69		941.69	179.59			3/6/2014
3/6/2014		2/6/2012	SEAGATE TECHNOLOGY (STX)	25.	1,248.24	655.68		655.68		593.56		3/11/2014
3/6/2014		4/19/2012	SEAGATE TECHNOLOGY (STX)	25.	1,249.23	726.98		726.98		522.25		3/11/2014
3/7/2014		4/19/2012	SEAGATE TECHNOLOGY (STX)	25.	1,215.78	726.98	31.51	758.49		457.29		3/12/2014
3/13/2014		10/14/2013	D R HORTON INC (DHI)	250.	5,535.91	4,570.77		4,570.77	965.14			3/18/2014
3/13/2014		6/25/2013	SPIRIT AEROSYSTEMS HOLDINGS (SPR)	120.	3,278.81	2,538.79		2,538.79	740.02			3/18/2014
3/14/2014		1/17/2014	FRONTLINE LTD (FRO)	300.	1,120.99	1,476.96		1,476.96	(355.97)			3/19/2014
3/19/2014		2/18/2014	RIO TINTO PLC (RIO)	40.	2,051.17	2,399.79		2,399.79	(348.62)			3/24/2014
3/24/2014		8/12/2013	AARIS GROUP (AARS)	50.	1,357.80	832.96		832.96	524.84			3/27/2014
3/25/2014		5/15/2013	AMERIPRISE FINANCIAL INC (AMF)	25.	2,737.04	2,030.72		2,030.72	706.32			3/28/2014
3/25/2014		7/10/2013	CHINA AUTOMOTIVE SYS INC (CASA)	200.	1,669.97	1,522.90		1,522.90	147.07			3/28/2014
3/26/2014		12/23/2013	ROVI CORP (ROVI)	50.	1,123.61	941.69		941.69	181.92			3/31/2014
3/27/2014		7/23/2010	AMAZON COM INC (AMZN)	2.	660.47	214.52		214.52	445.95			4/1/2014
3/27/2014		9/3/2013	IMCROX TECHNOLOGY INC (MU)	100.	2,225.97	1,406.98		1,406.98	818.99			4/1/2014
3/27/2014		7/22/2013	UNITED RENTALS INC (URI)	20.	1,784.37	1,137.41		1,137.41	646.96			4/1/2014
3/27/2014		9/27/2011	VIAVONA INC NEW CL 8 (VIA8)	25.	2,083.21	1,050.43		1,050.43		1,032.78		4/1/2014
4/4/2014		3/12/2010	ISHARES NASDAQ BIOTECHNOLOG (IBB)	5.	1,123.86	454.97		454.97	668.89			4/9/2014
4/4/2014		3/15/2013	IAZZ PHARMACEUTICALS PLC (IAZZ)	10.	1,282.57	591.73		591.73	690.84			4/9/2014
4/7/2014		3/5/2013	APOLLO GLOBAL MANAGEMENT LL (APO)	100.	2,949.22	2,409.89		2,409.89	539.33			4/10/2014
4/7/2014		6/20/2012	TEXAS CAP BANCSHARES (TCB)	75.	4,601.66	3,064.61		3,064.61	1,537.05			4/10/2014
4/8/2014		1/7/2013	HAWAIIAN HLDGS INC (HA)	50.	629.99	361.75		361.75	268.24			4/11/2014
4/8/2014		12/23/2013	ROVI CORP (ROVI)	25.	498.30	470.84		470.84	27.46			4/11/2014
4/10/2014		12/23/2013	ROVI CORP (ROVI)	75.	1,640.05	1,412.53		1,412.53	227.52			4/15/2014
4/14/2014		10/2/2013	NPS PHARMS INC (NPSF)	50.	1,163.00	1,693.50		1,693.50	(530.50)			4/17/2014
4/23/2014		4/18/2013	ALLIANCE DATA SYSTEMS CORP (ADS)	10.	2,409.95	1,593.10		1,593.10	816.85			4/28/2014
4/28/2014		10/16/2012	OWENS ILL INC (OI)	50.	1,566.48	1,029.36		1,029.36	537.12			5/1/2014
4/28/2014		3/31/2014	UNISYS CORP (UIS)	50.	1,218.71	1,470.75		1,470.75	(252.04)			5/1/2014
4/28/2014		10/3/2013	US STEEL CORP (X)	50.	1,273.98	1,080.79		1,080.79	193.19			5/1/2014
5/7/2014		4/18/2013	ALLIANCE DATA SYSTEMS CORP (ADS)	10.	2,321.55	1,593.09		1,593.09	728.46			5/12/2014
5/7/2014		4/16/2014	ADVANCED ENERGY INDS INC (AEIS)	150.	2,607.45	3,501.95		3,501.95	(894.50)			5/12/2014
5/8/2014		10/2/2013	NPS PHARMS INC (NPSF)	50.	1,132.73	1,693.49		1,693.49	(560.76)			5/13/2014
5/9/2014		10/3/2013	US STEEL CORP (X)	100.	2,483.24	2,161.57		2,161.57	321.67			5/14/2014
5/16/2014		2/4/2013	PENROD CORP (PENX)	150.	1,665.03	1,278.95		1,278.95	406.08			5/21/2014
5/20/2014		2/18/2014	RIO TINTO PLC (RIO)	35.	1,851.72	2,099.82		2,099.82	(248.10)			5/23/2014

5/21/2014	12/2/2013	GREENLIGHT CAPITAL RE LTD (GLRE)	100.	3,079.98	3,407.99	3,407.99	(328.01)		5/27/2014
5/22/2014	7/23/2010	AMAZON COM INC (AMZN)	4.	1,215.18	429.06	429.06		786.12	5/28/2014
6/2/2014	3/31/2014	UNISYS CORP (UIS)	50.	1,137.38	1,470.74	1,470.74	(333.36)		6/5/2014
6/10/2014	11/22/2013	UNITED CONTINENTAL HOLDING (UAL)	25.	1,147.48	966.72	966.72	180.76		6/13/2014
6/17/2014	8/6/2012	UNITED THERAPEUTICS CORP (UTHR)	20.	1,749.45	1,100.97	1,100.97		648.48	6/20/2014
6/17/2014	3/11/2013	UNITED THERAPEUTICS CORP (UTHR)	15.	1,312.09	931.12	931.12		380.97	6/20/2014
6/23/2014	4/21/2014	CHICAGO BRIDGE & IRON CO (CBI)	35.	2,436.45	3,041.51	3,041.51	(605.06)		6/26/2014
6/25/2014	10/2/2012	MASTEC INC (MTZ)	50.	1,417.52	1,013.99	1,013.99		403.53	6/30/2014
7/2/2014	3/4/2013	DELTA AIR LINES INC (DAL)	75.	2,845.74	1,160.23	1,160.23		1,685.51	7/8/2014
7/8/2014	3/4/2013	DELTA AIR LINES INC (DAL)	75.	2,638.72	1,160.22	1,160.22	(15.40)	1,479.50	7/11/2014
7/24/2014	4/24/2014	TRANSOCEAN LTD (RIG)	25.	1,049.79	1,065.19	1,065.19		359.01	7/29/2014
7/28/2014	7/10/2013	CHINA AUTOMOTIVE SYS INC (CAAS)	200.	1,845.90	1,486.89	1,486.89			7/31/2014
8/1/2014	2/24/2014	AMERICAN INTERNATIONAL GROU (AIG)	50.	2,582.68	2,472.06	2,472.06	110.62		8/6/2014
8/6/2014	5/15/2013	AMERIPRISE FINANCIAL INC (AMP)	10.	1,157.48	812.29	812.29		345.19	8/11/2014
8/7/2014	3/3/2011	WELLPOINT INC (WLP)	25.	2,681.23	1,686.74	1,686.74		994.49	8/12/2014
Total:				110,557.71	90,420.39	31.51	90,451.90	2,463.49	17,642.32

Attachment 2



TD Ameritrade Inc., Member FINRA/SIPC/NFA
PO BOX 2209
OMAHA, NE 68103-2209
Customer Service: 800-431-3500

Tax Information

WILLIAM J HUGHES JR &
STEPHANIE E WOODHOUSE JT TEN

Statement Date: 02/11/2014

BYRNE ASSET MANAGEMENT, LLC

2013

Dividends and Distributions

2013 1099-DIV
OMB No. 1545-0110

1a- Total ordinary dividends (includes line 1b)	3,057.65
1b- Qualified dividends	3,013.85
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	47.29
2b- Unrecaptured Section 1250 gain	0.00
2c- Section 1202 gain	0.00
2d- Collectibles (28%) gain	0.00
3- Nondividend distributions	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: Various	42.94
8- Cash liquidation distributions	0.00
9- Noncash liquidation distributions	0.00
10- Exempt-interest dividends (includes line 11)	0.00
11- Specified private activity bond interest dividends (AMT)	0.00
12- State:	0.00
13- State ID number:	
14- State tax withheld	0.00

Regulated Futures Contracts

2013 1099-RF
OMB No. 1545-0110

9- Profit (loss) realized in 2013-closed contracts	0.00
10- Unrealized profit (loss)-open contracts 12/31/2012	0.00
11- Unrealized profit (loss)-open contracts 12/31/2013	0.00
12- Aggregate profit (loss) on contracts	0.00

If applicable, summaries and details of proceeds from sale transactions appear in subsequent sections of this document.

Interest Income

2013 1099-INT
OMB No. 1545-0112

1- Interest income (not included in line 3)	2.51
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Tax-exempt bond CUSIP number (see instructions)	
11- State:	
12- State ID number:	
13- State tax withheld	0.00

Lines 8 & 9 include tax-exempt interest and original issue discount.

2- Royalties	0.00
3- Other income	0.00
4- Federal income tax withheld	0.00
8- Substitute payments in lieu of dividends or interest	0.00
12- Foreign country or US possession:	0.00
16- State tax withheld	0.00
17- State:	
18- State income	0.00
Payer's state ID number:	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

ORIGINAL ISSUE DISCOUNT SUMMARY

Use bond-by-bond details from the 1099-OID pages to determine reportable amounts of Original Issue Discount income for your tax return(s). The informational totals shown below appear for reference only.

Original issue discount for 2013	0.00	Original issue discount on US Treasury obligations	0.00
Other periodic interest	0.00	Investment expenses	0.00
Early withdrawal penalty	0.00	State:	
Federal income tax withheld	0.00	State ID number:	
Foreign country or US possession:	0.00	State tax withheld	0.00
Foreign tax paid:			

Changes to dividend tax classifications processed after your original tax form is issued for 2013 may require an amended form 1099.

Proceeds from Broker and Barter Exchange Transactions

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 999, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	7 - Realized gain or loss (b) Additional information
AFLAC INC CM / CUSIP: 001055102 / Symbol: AFL	50.000	2,517.95	01/02/13	2,724.99	...	-207.04 Sale
AECOM TECHNOLOGY CORP COM / CUSIP: 00766T100 / Symbol: ACM	25.000	704.29	04/22/13	712.20	...	72.09 Sale
11/29/13	75.000	2,172.62	04/22/13	2,136.61	...	36.01 Sale
Security total:		2,956.91		2,848.81	0.00	108.10
ALASKA AIR GROUP INC COM / CUSIP: 011659109 / Symbol: ALK	75.000	4,180.18	08/06/12	2,606.75	...	1,573.43 Sale
05/29/13						
ALCOA INC COM / CUSIP: 013817101 / Symbol: AA	100.000	874.09	06/25/13	789.33	...	84.76 Sale
11/08/13						
AVIS BUDGET GROUP INC COM / CUSIP: 053774105 / Symbol: CAR	150.000	4,282.71	01/07/13	3,218.48	...	1,064.23 Sale
05/21/13						
BMC SOFTWARE INC CSH MGR \$46.25/SH 9/11/13 / CUSIP: 055821100 / Symbol:	75.000	3,253.93	06/06/12	3,243.09	...	10.84 Sale
04/18/13						
BARRICK GOLD CORP COM / CUSIP: 067901108 / Symbol: ABX	25.000	814.99	09/24/12	1,056.83	...	-241.84 Sale
01/25/13	50.000	1,441.17	09/24/12	2,113.65	...	-672.48 Sale
02/25/13	100.000	1,547.07	07/22/13	1,761.62	...	-214.55 Sale
08/07/13	100.000	1,743.97	07/22/13	6,693.71	0.00	-17.64 Sale
09/13/13						-1,146.51
Security total:		5,547.20				
CIRRUS LOGIC INC COM / CUSIP: 172755100 / Symbol: CRUS	50.000	1,090.48	11/19/12	1,459.99	...	-369.51 Sale
04/01/13						
COMMUNITY HEALTH SYSTEMS COM / CUSIP: 203668108 / Symbol: CYH	100.000	3,822.84	04/02/13	4,822.89	...	-1,000.15 Sale
12/17/13						
COOPER TIRE & RUBBER CO COM / CUSIP: 216831107 / Symbol: CTB	75.000	1,906.97	07/18/12	1,306.54	...	600.43 Sale
07/11/13						

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Less commissions.

Proceeds from Broker and Barter Exchange Transactions

2013 1099-BT

(continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale 1e - Quantity 2a - Proceeds of
or exchange stocks, bonds, etc.

1b - Date of acquisition

3 - Cost or other basis

5 - Wash sale loss disallowed

These columns are not reported to the IRS
Gain/loss (3) Additional information

DIRECTV COM / CUSIP: 25490A309 / Symbol: DTV

08/01/13 50,000 3,016.01 03/05/13 2,461.01 555.00 Sale
08/21/13 50,000 2,863.26 03/05/13 2,461.00 422.26 Sale
Security total: 5,899.27 4,922.01 977.26

GROUP 1 AUTOMOTIVE INC. COM / CUSIP: 398905109 / Symbol: GP1

04/19/13 75,000 4,159.91 08/27/12 4,000.98 158.93 Sale

HAWAIIAN HOLDINGS INC COM / CUSIP: 419879101 / Symbol: HA

04/02/13 150,000 816.49 01/07/13 1,085.23 -268.74 Sale

HELD ENERGY SOLUTIONS GROUP COM / CUSIP: 42330P107 / Symbol: HLX

04/17/13 150,000 3,138.84 08/08/12 2,739.99 398.85 Sale

HEWLETT PACKARD CO COM / CUSIP: 428236103 / Symbol: HPO

08/22/13 50,000 1,144.90 03/06/13 1,045.20 99.70 Sale
08/27/13 100,000 2,199.97 03/06/13 2,090.40 109.57 Sale
Security total: 3,344.87 3,135.60 209.27

ISHARES MSCI JAPAN ETF / CUSIP: 464286848 / Symbol: EMJ

08/19/13 150,000 1,694.97 02/22/13 1,514.99 179.98 Sale

ISHARES CHINA LARGE-CAP ETF / CUSIP: 464287184 / Symbol: FXI

03/25/13 50,000 1,851.95 01/14/13 2,068.39 -216.44 Sale
08/18/13 50,000 1,720.98 01/14/13 2,068.38 -347.40 Sale
Security total: 3,572.93 4,136.77 -563.84

LINCOLN NATL CORP COM / CUSIP: 634187109 / Symbol: LNC

08/27/13 125,000 5,311.17 04/02/13 4,079.83 1,231.34 Sale

LIONS GATE ENT CORP COM / CUSIP: 636919203 / Symbol: LGF

08/06/13 50,000 1,827.47 11/23/12 812.91 1,014.56 Sale
09/20/13 50,000 1,690.77 11/23/12 812.91 877.86 Sale
Security total: 3,518.24 1,625.82 1,892.42

MASTEC INC COM / CUSIP: 576323108 / Symbol: MTZ

04/17/13 100,000 2,735.93 10/02/12 2,028.00 707.93 Sale

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Less commissions.

Proceeds from Broker and Barter Exchange Transactions

(continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / ID - Symbol	1a - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Additional information
OWENS ILL INC COM NEW / CUSIP: 690768403 / Symbol: OI	75.000	2,271.26	10/16/12	1,544.05	...	727.21 Sale
PENFORD CORPORATION COM / CUSIP: 707051108 / Symbol: PENX	125.000	1,400.41	02/04/13	1,065.79	...	334.62 Sale
PETROLEO BRASILEIRO SA ADR / CUSIP: 71654V408 / Symbol: PBR	100.000	1,426.81	09/04/13	1,374.18	...	52.63 Sale
PRICELINE.COM INC COM / CUSIP: 741503403 / Symbol: PCLN	2.000	1,369.31	04/02/12	1,455.62	...	-86.31 Sale
PROSHARES ULTRASHORT S&P 500 / CUSIP: 74347B300 / Symbol: SDS	50.000	1,750.02	10/07/13	1,832.50	...	-82.48 Sale
10/17/13	50.000	1,713.92	10/07/13	1,832.49	...	-118.57 Sale
Security total:		3,463.94		3,664.98	0.00	-201.05
REGENERON PHARMACEUTICALS INC COM / CUSIP: 75986F107 / Symbol: REGN	2.000	583.79	12/12/12	368.00	...	217.79 Sale
SINCLAIR BROADCAST GROUP CL A / CUSIP: 829226109 / Symbol: SBGI	100.000	2,500.96	08/03/12	1,160.65	...	1,340.31 Sale
TESORO CORPORATION COM / CUSIP: 881609101 / Symbol: TSO	25.000	1,191.73	02/08/13	1,340.58	...	-148.85 Sale
06/20/13	25.000	1,370.76	02/08/13	1,340.57	...	30.19 Sale
07/10/13	25.000	1,239.30	02/08/13	1,340.58	...	-101.28 Sale
Security total:		3,801.79		4,021.73	0.00	-219.94
TETRA TECH INC DEL COM / CUSIP: 88162F105 / Symbol: TTI	200.000	1,947.45	04/09/13	1,917.70	...	29.75 Sale
09/20/13	200.000	2,445.98	04/09/13	1,917.69	...	528.29 Sale
Security total:		4,393.43		3,835.39	0.00	558.04
UNIPixel INC COM / CUSIP: 804572203 / Symbol: UNXL	30.000	922.59	04/29/13	1,138.59	...	-216.00 Sale
05/17/13	45.000	1,086.94	04/29/13	1,707.89	...	-617.95 Sale
05/21/13	Security total:	2,012.53		2,846.48	0.00	-833.95

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Less commissions.

TRADER/TRADE CLEARING
Proceeds from Broker and Barter Exchange Transactions
 2013 1099-BE (Continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 9949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Loss columns are not reported to the IRS Gain or loss (c) Additional information
UNITED RENTALS CV COM / CUSIP: 911363109 / Symbol: URI	30.000	1,608.25	07/22/13	1,706.11	...	-97.86 Sale
WEB.COM GROUP INC COM / CUSIP: 94733A104 / Symbol: WWWV	50.000	1,397.29	09/03/13	1,439.28	...	-41.99 Sale
11/05/13	50.000	1,313.84	09/03/13	1,439.28	...	-125.44 Sale
11/08/13	50.000	1,289.98	09/03/13	1,439.28	...	-149.30 Sale
Security total:		4,001.11		4,317.84	0.00	-316.73
WISDOMTREE EMERG MKTS EQUITY INCOME FUND / CUSIP: 97717W315 / Symbol: DEM	50.000	2,727.51	04/02/12	2,914.32	...	-186.81 Sale
08/21/13	20.000	963.33	01/07/13	1,149.60	...	-186.27 Sale
Security total:		3,690.84		4,063.92	0.00	-373.08
XEROX CORP COM / CUSIP: 984121103 / Symbol: XRX	200.000	1,948.97	07/08/13	1,891.96	...	58.01 Sale
11/04/13	200.000	2,019.97	07/08/13	1,891.96	...	128.02 Sale
Security total:		3,968.94		3,783.91	0.00	185.03
JAZZ PHARMACEUTICALS INC COM / CUSIP: G50871105 / Symbol: JAZZ	25.000	2,098.07	03/15/13	1,479.33	...	618.74 Sale
10/08/13						
SEAGATE TECHNOLOGY ORD / CUSIP: G7945M107 / Symbol: STX	35.000	1,215.68	02/06/12	917.95	...	297.73 Sale
01/29/13						

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 # Less commissions.

TD AMERITRADE CLEARING INC.

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B

(continued)

SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale 1e - Quantity 2a - Proceeds of
or exchange stocks, bonds, etc.1b - Date of
acquisition3 - Cost or
other basis5 - Wash sale
loss disallowed

Gain or loss(-): Additional information

THE BLACKSTONE GROUP LP COM / CUSIP: 09253U108 / Symbol: BX
12/31/13 50,000 1,564.48

N/A

...

...

Sale

KKR & COMPANY LP COM / CUSIP: 48248M102 / Symbol: KKR
08/15/13 100,000 1,954.98

N/A

...

...

Sale

0.00

0.00

0.00

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale 1e - Quantity 2a - Proceeds of
or exchange stocks, bonds, etc.1b - Date of
acquisition3 - Cost or
other basis5 - Wash sale
loss disallowed

Gain or loss(-): Additional information

AGCO CP COM / CUSIP: 001084102 / Symbol: AGCO
03/21/13 25,000 1,235.75

10/27/11

1,145.66

...

Sale

CELGENE CORP COM / CUSIP: 151020104 / Symbol: CELG
08/07/13 10,000 1,398.98

10/11/11

665.74

...

Sale

CISCO SYSTEMS INC COM / CUSIP: 17275R102 / Symbol: CSCO
08/15/13 75,000 1,823.20
12/13/13 75,000 1,507.05
Security total: 3,330.2506/15/11
06/15/111,118.00
1,117.99
2,235.99

...

Sale

CONSOLIDATED EDISON CO N Y INC COM / CUSIP: 209115104 / Symbol: ED
02/11/13 50,000 2,817.94

08/08/11

2,634.12

...

Sale

D R HORTON INC COM / CUSIP: 23331A109 / Symbol: DHI
06/24/13 150,000 3,063.45

06/18/12

2,490.72

...

Sale

WALT DISNEY CO COM / CUSIP: 254687106 / Symbol: DIS
04/01/13 50,000 2,834.44

08/23/11

1,591.42

...

Sale

DUKE ENERGY HOLDINGS CORP COM / CUSIP: 26441C204 / Symbol: DUK
08/19/13 50,000 3,337.45

08/09/11

2,679.99

...

Sale

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Less commissions.

70-MERITRADE CLEARING INC. 2013 1099-9

Proceeds from Broker and Barter Exchange Transactions

(continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

8 - Description / CUSIP / 1d - Symbol	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	These columns are not reported to the IRS Gain or loss (c) Additional information
GENERAL ELECTRIC CO COM / CUSIP: 368604103 / Symbol: GE	100.000	2,308.51	08/08/11	1,567.96	...	741.55 Sale
09/18/13	100.000	2,486.96	08/08/11	1,567.95	...	931.01 Sale
10/18/13	Security total:	4,808.47		3,135.91	0.00	1,672.56
HOVANANIAN ENTERPRISES INC CLASS A NEW / CUSIP: 442487203 / Symbol: NOV	200.000	1,017.98	01/10/12	415.86	...	602.12 Sale
02/07/13	200.000	952.56	01/10/12	415.86	...	536.70 Sale
04/18/13	400.000	1,942.37	01/10/12	831.71	...	1,110.66 Sale
11/11/13	Security total:	3,912.91		1,663.43	0.00	2,249.48
LIONS GATE ENT CORP COM / CUSIP: 535919203 / Symbol: LGE	100.000	3,065.63	11/23/12	1,625.81	...	1,469.82 Sale
11/23/13						
SINCLAR BROADCAST GROUP CL A / CUSIP: 829226109 / Symbol: SBGI	150.000	4,035.43	08/03/12	1,740.98	...	2,294.45 Sale
08/07/13						
SOUTHERN CO COM / CUSIP: 842687107 / Symbol: SO	100.000	4,058.93	08/17/11	4,086.96	...	-28.03 Sale
10/03/13						
TEVA PHARMACEUTICAL INDS LTD ADR / CUSIP: 881624209 / Symbol: TEVA	75.000	2,959.19	04/21/11	3,445.65	...	-486.46 Sale
04/01/13						
3M CO COM / CUSIP: 88578Y101 / Symbol: MMM	40.000	4,018.75	07/01/11	3,809.99	...	208.76 Sale
02/04/13						
UNITED THERAPEUTICS CORP COM / CUSIP: 91307C102 / Symbol: UTHR	30.000	2,198.27	08/06/12	1,651.45	...	546.82 Sale
08/07/13						
WELLPOINT INC COM / CUSIP: 94873V107 / Symbol: WLP	30.000	2,620.96	03/03/11	2,024.10	...	596.86 Sale
10/04/13						
WISDOMTREE EMERG MKTS EQUITY INCOME FUND / CUSIP: 97717W315 / Symbol: DEM	10.000	481.66	04/02/12	582.86	...	-101.20 Sale
08/21/13						

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TD AMERITRADE CLEARING INC.
2013 1099-B*

Proceeds from Broker and Barter Exchange Transactions

(continued)

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol	1e - Quantity	2a - Proceeds of \$ stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS				Gain or loss(-)	Additional information
				Cost or other basis	Wash sale	Loss disallowed			
AGRIUM INC COM / CUSIP: 008916108 / Symbol: AGU	25.000	2,096.85	N/A	Sale
AMAZON.COM INC COM / CUSIP: 023135106 / Symbol: AMZN	2.000	515.93	N/A	Sale
01/07/13	2.000	555.80	N/A	Sale
01/28/13	Security total:	1,071.73		0.00	0.00			0.00	
AMERICAN EXPRESS CO CM / CUSIP: 025816109 / Symbol: AXP	25.000	1,891.47	N/A	Sale
09/27/13	8.000	1,417.98	N/A	Sale
ISHARES NASDAQ BIOTECHNOLOGY ETF / CUSIP: 464287556 / Symbol: IBB	10.000	1,940.67	N/A	Sale
08/07/13	Security total:	3,358.65		0.00	0.00			0.00	
ISHARES MSCI ALL CNT ASIA EX JPN ETF / CUSIP: 464288182 / Symbol: AAXJ	50.000	3,005.93	N/A	Sale
01/28/13	189.203	5,000.00	N/A	Sale
MATTHEWS FDS PACIFIC TIGER FD / CUSIP: 577130107 / Symbol: MAPTX	10.000	1,519.97	N/A	Sale
04/01/13	15.000	1,998.17	N/A	Sale
SPDR GOLD TR GOLD SHS ETF / CUSIP: 78463V107 / Symbol: GLD / Note: CL	Security total:	3,518.14		0.00	0.00			0.00	
04/02/13	50.000	5,202.41	N/A	Sale
06/17/13									
VANGUARD CRSP US MID CAP INDEX / CUSIP: 922808629 / Symbol: VO									
09/20/13									

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Less commissions.

Proceeds from Broker and Barter Exchange Transactions

(continued)

UNDETERMINED-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**
Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term.
Report on Form 8949, Use either Part I with Box B checked or Part II with Box E checked.

8 - Description / CUSIP / 1d - Symbol	1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of \$ stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS Cost or other basis, Wash sale, loss disallowed	Gain or loss(c)	Additional information
SPDR GOLD TR GOLD SHS ETF / CUSIP: 78463V107 / Symbol: GLD / Note: CL	01/07/13	0.000	1.31	N/A	Principal payment Note: 16 Cost Basis Factor: 0.000329643
	02/21/13	0.000	1.36	N/A	Principal payment Note: 16 Cost Basis Factor: 0.000355489
	03/12/13	0.000	1.32	N/A	Principal payment Note: 16 Cost Basis Factor: 0.000341809
	04/09/13	0.000	0.81	N/A	Principal payment Note: 16 Cost Basis Factor: 0.000353866
	05/10/13	0.000	0.84	N/A	Principal payment Note: 16 Cost Basis Factor: 0.000403935
Security total:			5.64		0.00	0.00	

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Less commissions.

Detail for Dividends and Distributions

2013

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Country	Notes
AFLAC INC CM	001055102 AFL		03/01/13	35.00	Qualified dividend		
			06/03/13	17.50	Qualified dividend		
			09/03/13	17.50	Qualified dividend		
			12/02/13	18.50	Qualified dividend		
	Dividends and Distributions:						
AGCO CP COM	001084102 AGCO		03/15/13	2.50	Qualified dividend		
AGRILUM INC COM	008916108 AGU		01/17/13	12.50	Qualified dividend	CA	
			01/17/13	-1.88	Foreign tax withheld		
			04/18/13	12.50	Qualified dividend	CA	
			04/18/13	-3.13	Foreign tax withheld		
			07/18/13	12.50	Qualified dividend		
			07/18/13	-3.13	Foreign tax withheld	CA	
	Dividends and Distributions:						
				37.50			
				-8.14			
	Foreign tax withheld:						
ALCOA INC COM	013817101 AA		08/26/13	9.00	Qualified dividend		
			11/25/13	9.00	Qualified dividend		
	Dividends and Distributions:						
				18.00			
AMERICAN EXPRESS CO CM	025816109 AXP		02/08/13	14.00	Qualified dividend		
			05/10/13	14.00	Qualified dividend		
			08/09/13	16.10	Qualified dividend		
			11/08/13	10.35	Qualified dividend		
	Dividends and Distributions:						
				54.45			
AMERIPRISE FINL ICN COM	03078C106 AMP		08/16/13	39.00	Qualified dividend		
			11/22/13	39.00	Qualified dividend		
	Dividends and Distributions:						
				78.00			
APPLE INC COM	037833100 AAPL		02/14/13	21.20	Qualified dividend		
			05/16/13	24.40	Qualified dividend		
			08/15/13	24.40	Qualified dividend		
			11/14/13	24.40	Qualified dividend		
	Dividends and Distributions:						
				94.40			

2013

Detail for Dividends and Distributions

(continued)

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Country	Notes
BARRICK GOLD CORP COM	067901108 ABX		03/15/13 03/15/13 09/16/13 09/16/13	10.00 -2.50 5.00 -1.25	Qualified dividend Foreign tax withheld Qualified dividend Foreign tax withheld	CA CA	
				15.00 -3.75			
CHEVRON CORP COM	166764100 CVX		03/11/13 06/10/13 09/10/13 12/10/13	45.00 50.00 50.00 50.00	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
				195.00			
CISCO SYSTEMS INC COM	17275R102 CSCO		04/24/13 07/24/13 10/23/13	25.50 25.50 12.75	Qualified dividend Qualified dividend Qualified dividend		
				63.75			
CITIGROUP INC COM	172967424 C		02/22/13 05/24/13 08/23/13 11/22/13	0.50 0.50 0.50 0.50	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
				2.00			
CONSOLIDATED EDISON CO N Y INC COM	209115104 ED		03/15/13	30.75	Qualified dividend		
DELTA AIR LINES INC COM	247361702 DAL		09/10/13 11/26/13	15.00 15.00	Qualified dividend Qualified dividend		
				30.00			
DUKE ENERGY HOLDINGS CORP COM	26441C204 DUK		03/16/13 06/17/13 09/16/13	38.25 38.25 39.00	Qualified dividend Qualified dividend Qualified dividend		
				115.50			

TO AMERICA'S CLEARING
2013
Detail for Dividends and Distributions
 (continued)

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Country	Notes
EXXON MOBIL CORPORATION COM	30231G102 XOM		09/10/13 12/10/13	22.05 22.05	Qualified dividend Qualified dividend		
	Dividends and Distributions:			44.10			
GENERAL ELECTRIC CO COM	369604103 GE		01/25/13 04/25/13 07/25/13 10/25/13	76.00 76.00 57.00 57.00	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
	Dividends and Distributions:			266.00			
GOODYEAR TIRE & RUBBER CO COM	382550101 GT		12/01/13	10.00	Qualified dividend		
GROUP 1 AUTOMOTIVE INC. COM	398905109 GPI		03/15/13	11.25	Qualified dividend		
HEWLETT PACKARD CO COM	428236103 HPQ		04/03/13 07/03/13	19.80 21.78	Qualified dividend Qualified dividend		
	Dividends and Distributions:			41.58			
INTEL CORP COM	458140100 INTC		03/01/13 06/01/13 09/01/13 12/01/13	27.00 27.00 27.00 27.00	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
	Dividends and Distributions:			108.00			
INTL BUSINESS MACHINES COM	459200101 IBM		03/09/13 06/10/13 09/10/13 12/10/13	21.25 23.75 23.75 23.75	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
	Dividends and Distributions:			92.50			
ISHARES MSCI JAPAN ETF	464286848 EWJ		07/05/13 07/05/13 12/30/13 12/30/13	18.68 -2.14 17.16 1.72	Qualified dividend Foreign tax withheld Qualified dividend Nonqualified dividend	Various	03 03 03 03
	Dividends and Distributions:			-1.33	Foreign tax withheld	Various	03
	Dividends and Distributions:			37.56			
	Foreign tax withheld:			-3.47			

Detail for Dividends and Distributions

(continued)

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Country	Notes
ISHARES CHINA LARGE-CAP ETF	464287184 FXI		12/27/13 12/27/13	19.37 -1.94	Qualified dividend Foreign tax withheld	Various	03 03
Dividends and Distributions: Foreign tax withheld:				19.37 -1.94			
ISHARES NASDAQ BIOTECHNOLOGY ETF	464287556 IBB		07/03/13	2.53	Qualified dividend		03
JP MORGAN CHASE & CO COM	46625H100 JPM		01/31/13 04/30/13 07/31/13 10/31/13	22.50 22.50 28.50 28.50	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
Dividends and Distributions:				102.00			
JOHNSON & JOHNSON COM	478180104 JNJ		06/11/13 09/10/13 12/10/13	33.00 33.00 33.00	Qualified dividend Qualified dividend Qualified dividend		
Dividends and Distributions:				99.00			
LINCOLN NATL CORP COM	534187109 LNC		05/01/13 08/01/13	15.00 15.00	Qualified dividend Qualified dividend		
Dividends and Distributions:				30.00			
MASTERCARD INC COM	57636Q104 MA		02/08/13 05/09/13 08/09/13 11/08/13	3.00 6.00 6.00 6.00	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
Dividends and Distributions:				21.00			
MATTHEWS FDS PACIFIC TIGER FD	577130107 MAPTX		12/12/13 12/12/13 12/12/13	57.87 0.03 47.29	Qualified dividend Nonqualified dividend Long-term capital gain	Various	03 03
Dividends and Distributions: Foreign tax withheld:				-10.81 105.19 -10.81	Foreign tax withheld		03

TD AMERITRADE CLEARING INC.

Detail for Dividends and Distributions

2013

(continued)

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Country	Notes
MICROSOFT CORP COM	594918104 MSFT		03/14/13 06/13/13 09/12/13 12/12/13	34.50 34.50 34.50 42.00	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
				145.50			
MURPHY OIL CORP COM	626717102 MUR		12/02/13	23.44	Qualified dividend		
NORFOLK SOUTHERN CORP COM	655844108 NSC		03/11/13 06/10/13 09/10/13 12/10/13	25.00 25.00 26.00 26.00	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
				102.00			
ORACLE CORP COM	68389X105 ORCL		08/02/13 10/29/13	15.00 15.00	Qualified dividend Qualified dividend		
				30.00			
PAYCHEX INC COM	704326107 PAYX		08/15/13 11/15/13	28.25 26.25	Qualified dividend Qualified dividend		
				52.50			
RAYTHEON CO COM	755111507 RTN		05/02/13 08/08/13 10/31/13	33.00 33.00 33.00	Qualified dividend Qualified dividend Qualified dividend		
				99.00			
SINCLAIR BROADCAST GROUP CL A	829226109 SBGI		03/15/13 06/14/13	37.50 22.50	Qualified dividend Qualified dividend		
				60.00			
SOUTHERN CO COM	842587107 SO		03/06/13 06/06/13 09/06/13	49.00 50.75 50.75	Qualified dividend Qualified dividend Qualified dividend		
				150.50			

Detail for Dividends and Distributions

(continued)

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Country	Notes
TESORO CORPORATION COM	881609101 TSO		03/15/13 06/14/13	15.00 10.00	Qualified dividend Qualified dividend		
	Dividends and Distributions:			25.00			
TEVA PHARMACEUTICAL INDS LTD ADR	881624209 TEVA		03/07/13 03/07/13	23.55 -3.53	Qualified dividend Foreign tax withheld	IS	
	Dividends and Distributions:			23.55			
	Foreign tax withheld:			-3.53			
UNITED STATES STEEL CORP COM	912809108 X		12/10/13	7.50	Qualified dividend		
VANGUARD CRSP US MID CAP INDEX	922808629 VO		03/26/13 03/26/13	0.84 0.11	Qualified dividend Nonqualified dividend		03 03
	Dividends and Distributions:			0.95			
VIACOM INC CL B	92553P201 VAB		04/01/13 07/01/13 10/01/13 12/31/13	27.50 30.00 30.00 30.00	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
	Dividends and Distributions:			117.50			
WELLPOINT INC COM	94973V107 WLP		03/25/13 06/25/13 09/25/13 12/23/13	37.50 37.50 37.50 26.25	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
	Dividends and Distributions:			138.75			
WISDOMTREE EMERG MKTS EQUITY INCOME FUND	97717W315 DEM		03/28/13 03/28/13 03/28/13 06/28/13 06/28/13 06/28/13 09/27/13 09/27/13 09/27/13	10.44 5.28 -1.42 40.84 20.67 -5.57 17.13 8.67 -2.34	Qualified dividend Nonqualified dividend Foreign tax withheld Qualified dividend Nonqualified dividend Foreign tax withheld Qualified dividend Nonqualified dividend Foreign tax withheld	Various Various Various Various Various Various	03 03 03 03 03 03 03 03 03

Detail for Dividends and Distributions

(continued)

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Country	Notes
WISDOMTREE	97717W315	DEM	12/31/13	14.47	Qualified dividend		03
EMERG MKTS EQUITY INCOME FUND			12/31/13	7.32	Nonqualified dividend		03
(Cont'd)			12/31/13	-1.97	Foreign tax withheld	Various	03
	Dividends and Distributions:			124.82			
	Foreign tax withheld:			-11.30			
WYNDHAM WORLDWIDE CORP	98310W108	WTN	03/29/13	14.50	Qualified dividend		
COM			06/07/13	14.50	Qualified dividend		
			09/06/13	14.50	Qualified dividend		
			12/06/13	14.50	Qualified dividend		
	Dividends and Distributions:			58.00			
XEROX CORP	984121103	XRX	10/31/13	11.50	Qualified dividend		
COM							
SEAGATE TECHNOLOGY	G7945M107	STX	05/29/13	38.00	Qualified dividend		
ORD			08/21/13	38.00	Qualified dividend		
			11/26/13	43.00	Qualified dividend		
	Dividends and Distributions:			119.00			

Detail for Interest Income

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Country	Notes
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	9ZZZFD989 MML					
		01/31/13	0.13	Interest- money mkt		
		02/28/13	0.21	Interest- money mkt		
		03/31/13	0.18	Interest- money mkt		
		04/30/13	0.22	Interest- money mkt		
		05/31/13	0.18	Interest- money mkt		
		06/30/13	0.16	Interest- money mkt		
		07/31/13	0.16	Interest- money mkt		
		08/31/13	0.19	Interest- money mkt		
		09/30/13	0.28	Interest- money mkt		
		10/31/13	0.32	Interest- money mkt		
		11/30/13	0.28	Interest- money mkt		
		12/31/13	0.20	Interest- money mkt		
Interest Income:			2.51			

Other Receipts

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
APOLLO GLOBAL MANAGEMENT COM	037612306 APO	06/30/13 08/30/13 11/29/13	57.00 132.00 101.00	Partnership distribution Partnership distribution Partnership distribution	
Other Receipts:			290.00		
THE BLACKSTONE GROUP LP COM	09253U108 BX	02/19/13 05/08/13 08/05/13 11/04/13	63.00 75.00 57.50 57.50	Partnership distribution Partnership distribution Partnership distribution Partnership distribution	
Other Receipts:			253.00		
FORTRESS INVESTMENT GROUP COM	34868B106 FIG	05/17/13 08/16/13 11/15/13	30.00 30.00 30.00	Partnership distribution Partnership distribution Partnership distribution	
Other Receipts:			90.00		
KKR & COMPANY LP COM	48248M102 KKR	08/20/13 11/19/13	84.00 23.00	Partnership distribution Partnership distribution	
Other Receipts:			107.00		

The tax character of the partnership distribution payments will be reported to you separately on Schedule K-1 (Form 1065) provided by partnership.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
SPDR GOLD TR GOLD SHS ETF	78463V107 GLD Note: CL	01/07/13 02/21/13 03/12/13 04/09/13 05/10/13	-1.31 -1.36 -1.32 -0.81 -0.84 -5.64	Gross proceeds investment expense Gross proceeds investment expense Gross proceeds investment expense Gross proceeds investment expense Gross proceeds investment expense	15 15 15 15 15
Security Total:					

Opening Transactions

Security Description	CUSIP and/or symbol	Opening date	Quantity	Amount	Transaction type	Notes
AFL AC INC CM	001055102 AFL	07/11/13 01/07/13	50.000 50.000	-2,724.99 -2,577.49	Purchase Purchase	
Security Total:						
AECOM TECHNOLOGY CORP COM	007667100 ACM	04/22/13	100.000	-2,848.81	Purchase	
ALCOA INC COM	013817101 AA	06/25/13	300.000	-2,367.99	Purchase	
ALLIANCE DATASYS CORP COM	018581108 ADS	04/18/13	20.000	-3,186.19	Purchase	
AMERIPRISE FINL ICN COM	03076C106 AMP	05/15/13	75.000	-6,092.16	Purchase	
APOLLO GLOBAL MANAGEMENT COM	037612306 APO	03/05/13	100.000	-2,409.89	Purchase	
ARRIS GROUP INC COM	04270V106 ARRS	08/12/13	175.000	-2,915.36	Purchase	
AVIS BUDGET GROUP INC COM	053774105 CAR	01/07/13	150.000	-3,218.48	Purchase	
BARICK GOLD CORP COM	067901108 ABX	07/22/13	200.000	-3,523.23	Purchase	
THE BLACKSTONE GROUP LP COM	09253U108 BX	01/22/13 09/11/13	150.000 100.000	-2,676.21 -2,025.98	Purchase Purchase	
Security Total:						
CHINA AUTOMOTIVE SYSTEMS INC COM	16936R105 CAAS	07/10/13	400.000	-3,045.79	Purchase	
COGNIZANT TECH SOLUTIONS CRP COM	192446102 CTSI	09/09/13	50.000	-3,909.80	Purchase	

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(continued)

Security Description	CUSIP and/or symbol	Opening date	Quantity	Amount	Transaction type	Notes
COMMUNITY HEALTH SYSTEMS COM	216831107 CTB	04/02/13	100.000	-4,122.98	Purchase	
COOPER TIRE & RUBBER CO COM	216831107 CTB	12/16/13	75.000	-1,721.48	Purchase	
D R HORTON INC COM	23331A109 DHI	10/14/13	250.000	-4,570.77	Purchase	
DELTA AIR LINES INC COM	247361702 DAL	03/04/13	250.000	-3,867.42	Purchase	
DIRECTV COM	25490A309 DTV	03/05/13	100.000	-4,922.01	Purchase	
EXXON MOBIL CORPORATION COM	30231G102 XOM	05/14/13	35.000	-3,189.01	Purchase	
FORTRESS INVESTMENT GROUP COM	34958B106 FIG	04/11/13	500.000	-3,286.74	Purchase	
GOODYEAR TIRE & RUBBER CO COM	382550101 GT	05/30/13	200.000	-3,073.99	Purchase	
HAWAIIAN HOLDINGS INC COM	419879101 HA	01/07/13	400.000	-2,893.95	Purchase	
HEWLETT PACKARD CO COM	428236103 HPQ	03/06/13	150.000	-3,135.60	Purchase	
		11/29/13	150.000	-4,061.49	Purchase	
	Security Total:			-7,197.09		
ISHARES MSCI JAPAN ETF	464286848 EJU	02/22/13	350.000	-3,534.97	Purchase	
ISHARES CHINA LARGE-CAP ETF	464287184 FXI	01/14/13	100.000	-4,136.77	Purchase	
		11/18/13	100.000	-3,987.10	Purchase	
	Security Total:			-8,123.87		

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(continued)

Security Description	CUSIP and/or symbol	Opening date	Quantity	Amount	Transaction Type	Notes
JOHNSON & JOHNSON COM	476160104 JNJ	04/22/13	50.000	-4,243.80	Purchase	
KKR & COMPANY LP COM	46248M102 KKR	05/14/13	200.000	-4,135.79	Purchase	
LINCOLN NATL CORP COM	534187109 LNC	04/02/13	125.000	-4,079.83	Purchase	
MICRON TECHNOLOGY INC COM	595112103 MU	09/03/13	200.000	-2,813.95	Purchase	
MURPHY OIL CORP COM	626717102 MUR	09/06/13	75.000	-4,635.96	Purchase	
MYRIAD GENETICS INC COM	62855J104 MYGN	09/16/13	125.000	-3,307.99	Purchase	
NPS PHARMACEUTICALS INC	62936F103 NPSP	10/02/13	100.000	-3,386.99	Purchase	
PENFORD CORPORATION COM	707051108 PENX	02/04/13	275.000	-2,344.74	Purchase	
PETROLEO BRASILEIRO SA ADR	71654V408 PBR	09/04/13	250.000	-3,435.44	Purchase	
	Security Total:	09/27/13	100.000	-1,559.99	Purchase	
				-4,995.43		
PROSHARES ULTRASHORT S&P 500	74347B300 SDS	10/07/13	100.000	-3,664.99	Purchase	
RAYTHEON CO COM	755111507 RTN	02/13/13	60.000	-3,250.16	Purchase	
ROVI CORPORATION COM	779376102 ROVI	12/23/13	200.000	-3,766.75	Purchase	

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(continued)

Security Description	CUSIP and/or symbol	Opening date	Quantity	Amount	Transaction type	Notes
SELECT MED HLDGS CORP COM	81619Q105 SEL	12/16/13	300.000	-2,956.44	Purchase	
SPIRIT AEROSYSTEMS COM	848574109 SPR	06/25/13	150.000	-3,173.49	Purchase	
TESORO CORPORATION COM	881609101 TSO	02/08/13	75.000	-4,021.73	Purchase	
TETRA TECH INC DEL COM	88162F105 TTI	04/09/13	400.000	-3,835.39	Purchase	
UNI-PIXEL INC COM	904572203 UNXL	04/29/13	75.000	-2,846.48	Purchase	
UNITED CONTINENTAL HLDGS COM	910047109 UAL	11/22/13	100.000	-3,866.89	Purchase	
UNITED RENTALS CV COM	911363109 URI	07/22/13	75.000	-4,265.27	Purchase	
UNITED STATES STEEL CORP COM	912909108 X	10/03/13	150.000	-3,242.36	Purchase	
UNITED THERAPEUTICS CORP COM	91307C102 UTHR	03/11/13	50.000	-3,103.73	Purchase	
WAL-MART STORES COM	931142103 WMT	12/02/13	75.000	-6,083.14	Purchase	
WEB.COM GROUP INC COM	94733A104 WWWV	09/03/13	150.000	-4,317.84	Purchase	
WISDOMTREE ENERG MKTS EQUITY INCOME FUND	97717W315 DEM	01/07/13 11/15/13	50.000 50.000	-2,873.99 -2,628.01	Purchase Purchase	
Security Total:				-5,502.00		

IB AMERIBADE CLEARING

Opening Transactions

(continued)

Security Description	CUSIP and/or symbol	Opening date	Quantity	Amount	Transaction type	Notes
WYNDHAM WORLDWIDE CORP COM	9831DW106 WYN	03/11/13	50,000	-3,174.99	Purchase	
XEROX CORP COM	984121103 XRX	07/08/13	400,000	-3,783.91	Purchase	
GREENLIGHT CAPITAL RELTD COM	64085J109 GLRE	12/02/13	100,000	-3,407.99	Purchase	
JAZZ PHARMACEUTICALS INC COM	GS0871105 JAZZ	03/15/13	75,000	-4,438.00	Purchase	

Long Investments	Shares or Units	Mkt Value
Security Name Symbol		
AFAC INC (AFY)	50	\$3,334.50
ALCOA INC (AA)	200	\$2,100.00
ALLIANCE DATA SYSTEMS CORP (ADS)	20	\$5,201.00
AMAZON.COM INC (AMZN)	20	\$2,360.22
AMERICAN EXPRESS CO (AXP)	6	\$4,031.55
AMERIPRISE FINANCIAL INC (AIP)	4	\$1,515.25
APOLLO GLOBAL MANAGEMENT LL (APO)	7.2	\$3,096.00
APPLE COMPUTER INC (AAPL)	100	\$4,436.16
ARIS GROUP (ARIS)	8	\$4,218.25
BLACKSTONE GROUP LP/RE (BX)	175	\$6,254.00
CELESTINE CORP (CEL)	200	\$6,756.20
CHEVRON CORP (CVX)	40	\$6,211.50
CHINA AUTOMOTIVE SYS INC (CAAS)	50	\$2,112.00
CITICORP INC (CI)	400	\$2,112.00
COGNIZANT TECH SOLUTIONS CO (CTSH)	50	\$2,086.00
COOPER TIRE & RUBBER CO (CTB)	50	\$5,004.50
DI HORTON INC (DHI)	75	\$1,815.00
DELTA AIR LINES INC (DAL)	250	\$4,462.50
EDION MOBIL CORP (EDM)	250	\$6,797.50
FORTRESS INVESTMENT GROUP L (FIS)	35	\$3,510.65
GENERAL ELECTRIC CO (GE)	500	\$4,250.00
GOODFRIEND TIME & RUBBER CO (GT)	200	\$5,578.00
GOOGLE INC (GOOG)	200	\$4,720.00
GREENLIGHT CAPITAL RE LTD (GLRE)	5	\$6,647.30
HAWAIIAN HOLIDAYS INC (HAW)	100	\$3,567.00
HEWLETT PACKARD CO (HPQ)	250	\$2,375.00
INTEL CORP (INTC)	150	\$4,210.50
INTERNATIONAL BUSINESS MACH (IBM)	120	\$3,102.00
ISHARES CHINA LARGE-CAP ETF (FXI)	25	\$4,080.25
ISHARES MSCI JAPAN (EWJ)	100	\$3,797.00
ISHARES NASDAQ BIOTECHNOLOGY (IBB)	200	\$2,424.00
JAZZ PHARMACEUTICALS PLC (JAZZ)	25	\$5,671.00
JOHNSON & JOHNSON (JNJ)	50	\$6,336.50
JPMORGAN CHASE & CO (JPM)	50	\$4,615.00
KOR & CO LP (KOR)	75	\$4,346.25
MASTEC INC (MTZ)	100	\$2,407.00
MASTERCARD INC (MA)	100	\$2,728.00
MATTHEWS PACIFIC TRADER FDI (MATP)	10	\$6,533.00
MICRON TECHNOLOGY INC (MU)	296,557	\$7,407.20
MICROSOFT CORP (MSFT)	200	\$4,260.00
MURPHY OIL CORP (MUR)	150	\$5,863.50
MUTUAL GENETICS INC (MUTN)	75	\$4,810.50
NORFOLK SOUTHERN CORP (NSC)	125	\$2,596.75
NOVACORP INC (NOV)	50	\$4,290.00
NVS PHARMAS INC (NVS)	100	\$73.50
ORACLE CORP (ORCL)	125	\$3,003.00
OWENS ILL INC (OI)	100	\$4,748.75
PAYCOM INC (PAYC)	100	\$3,596.00
PENFORD CORP (PENX)	75	\$3,453.25
PETROLEO BRASILEIRO SA (PBR)	150	\$1,923.00
PRICELINE COM INC (PLCM)	250	\$3,415.00
RAYTHEON CO (RTN)	2	\$2,585.78
REGENERON PHARMAS INC (REGN)	8	\$6,425.80
ROCK CORP (ROCK)	200	\$3,224.64
SEAGATE TECHNOLOGY (STX)	100	\$3,876.00
SELECT AMERICA HOLDINGS CORP (SEAC)	300	\$5,693.00
SPRINT NEROSTEARS HOLDINGS (SPR)	100	\$5,697.00
TEXAS CAP BANKSHARES (TCB)	150	\$5,917.50
UNITED CONTINENTAL HOLDING (UAL)	75	\$4,494.25
UNITED RECENTALS INC (URN)	100	\$3,720.00
UNITED THERAPEUTICS CORP (UTHR)	46	\$3,944.86
US STEEL CORP (X)	70	\$7,883.10
VALCOM INC NEW CL B (VALB)	150	\$4,426.00
WAL-MART STORES INC (WMT)	100	\$6,715.00
WALT DISNEY CO (DIS)	75	\$6,897.25
WELLS FARGO INC (WFC)	75	\$5,717.25
WISDOMTREE EMERGING MARKETS (DEM)	70	\$6,403.40
WYNDHAM WORLDWIDE CORP (WYN)	80	\$4,057.50
	50	\$3,086.00

Attachment 3

CLIENT STATEMENT | For the Period July 1-31, 2014

Morgan Stanley

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Account Summary

Portfolio Management Retirement Account
ROLLOVER IRA

WILLIAM J HUGHES JR.

CHANGE IN VALUE OF YOUR ACCOUNTS (includes accrued interest)

	This Period (7/1/14-7/31/14)	This Year (1/1/14-7/31/14)
TOTAL BEGINNING VALUE	\$92,106.82	\$88,541.72
Credits	1.30	7.01
Debits	(278.59)	(807.96)
Security Transfers	—	—
Net Credits/Debits/Transfers	\$(277.29)	\$(800.95)
Change in Value	(1,675.19)	2,413.57
TOTAL ENDING VALUE	\$90,154.34	\$90,154.34

Net Credits / Debits include investment advisory fees as applicable. See Activity section for details.

This allocation represents holdings on a trade date basis, and projected settled Cash/BDP and MMF balances. These classifications are not intended to serve as a suitability analysis. Deposits are eligible for FDIC insurance but are not covered by SIPC. Cash and securities (including MMFs) are eligible for SIPC coverage. See Expanded Disclosures.

* FDIC rules apply and Bank

Account Summary

Portfolio Management Retirement Account

WILLIAM J HUGHES JR.

ROLLOVER IRA

Morgan Stanley

BALANCE SHEET (* includes accrued interest)

	Last Period (as of 6/30/14)	This Period (as of 7/31/14)
Cash, BDP, MMFs	\$866.58	\$589.32
ETFs & CEFs	27,481.64	27,117.25
Mutual Funds	63,758.60	62,447.77
Total Assets	\$92,106.82	\$90,154.34
TOTAL VALUE	\$92,106.82	\$90,154.34

CASH FLOW

	This Period (7/1/14-7/31/14)	This Year (1/1/14-7/31/14)
OPENING CASH, BDP, MMFs	\$866.58	\$7,009.79
Purchases	—	(32,904.72)
Dividend Reinvestments	(19.76)	(359.45)
Sales and Redemptions	—	27,086.90
Income	19.79	557.75
Total Investment Related Activity	\$0.03	\$(5,619.52)
Other Credits	1.30	7.01
Other Debits	(278.59)	(807.96)
Total Cash Related Activity	\$(277.29)	\$(800.95)
CLOSING CASH, BDP, MMFs	\$589.32	\$589.32

INCOME SUMMARY

	This Period (7/1/14-7/31/14)	This Year (1/1/14-7/31/14)
Dividends	\$19.76	\$510.60
Interest	0.03	0.41
TOTAL INCOME	\$19.79	\$511.01

GAIN/(LOSS) SUMMARY

	Realized This Period (7/1/14-7/31/14)	Realized This Year (1/1/14-7/31/14)	Unrealized Inception to Date (as of 7/31/14)
TOTAL GAIN/(LOSS)	—	\$4,058.99	\$8,824.66

The Gain/(Loss) Summary, which may change due to basis adjustments, is provided for informational purposes and should not be used for tax preparation. Refer to Gain/(Loss) in the Expanded Disclosures.

BENEFICIARIES (Contact your Financial Advisor to update your beneficiary information.)



CLIENT STATEMENT | For the Period July 1-31, 2014

Morgan Stanley

Account Detail

Portfolio Management Retirement Account
ROLLOVER IRA

WILLIAM J HUGHES JR.

Investment Objectives†: Capital Appreciation, Aggressive Income, Income, Speculation
† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

Investment Advisory Account

HOLDINGS

This section reflects positions purchased/sold on a trade date basis, and includes positions purchased and omits positions sold in the current month. "Market Value" and "Unrealized Gain/(Loss)" are representative values as of the last business day of the statement period and may not reflect the value that could be obtained in the market. Fixed income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income (EAI) is calculated on a pre-tax basis and does not include any reduction for applicable non-US withholding taxes, if any. EAI for certain securities may include return of principal or capital gains which could overstate such estimates. For securities that have a defined maturity date within the next 12 months, EAI is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment, and does not reflect changes in its price. Structured products, identified in the Security Description column, appear in various statement product categories. Accrued interest, annual income and yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period. New Treasury regulations require that we report on Form 1099-B, after the close of the tax year, your adjusted cost basis on the sale of covered securities acquired on or after January 1, 2011, and classify the gain or loss as either long-term or short-term. These regulations also require that we make basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. Cost basis is reflected on statements for informational purposes and should not be used in the preparation of your income tax returns. Refer to the Expanded Disclosures for additional information.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
MORGAN STANLEY PRIVATE BANK NA #	\$589.32	—	—	0.050
CASH, BDP, AND MMFS	Percentage of Assets % 0.7%	Market Value \$589.32		

* Bank Deposits are held at either: (1) Morgan Stanley Bank, N.A., and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC, or (2) Citibank, N.A., each a national bank and FDIC member.

Morgan Stanley

CLIENT STATEMENT | For the Period July 1-31, 2014

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Account Detail

Portfolio Management Retirement Account
ROLLOVER IRA

WILLIAM J HUGHES JR.

EXCHANGE-TRADED & CLOSED-END FUNDS

Consulting Group Investment Advisor Research (CG IAR) status codes (FL, AL or NL) may be shown for certain exchange-traded funds. Please refer to "CG IAR Statuses in Investment Advisory Programs" in the June or December statement (or your first statement, if you have not yet received one in either of those months) for a description of these status codes. All status codes represent the opinions of CG IAR and are not representations or guarantees of performance.

Security Description	Quantity	Total Cost	Market Value	Unrealized Gain/(Loss)
GUGENHEIM S&P 500 PURE GRW (RPG)	72.000	\$5,371.07	\$5,489.28	\$118.21
Share Price: \$76.240				
GUGENHEIM S&P MIDCAP 400 PU (RFG)	51.000	4,589.88	6,108.27	1,518.39
Share Price: \$119.770				
POWERSHARES DYN LRG CAP VALU (PWV)	341.000	7,687.51	10,291.38	2,603.87
Share Price: \$30.180; CG IAR Status: AL				
WISDOMTREE TR JPN SCAP HDG (DXJS)	86.000	2,547.99	2,629.88	81.89
Share Price: \$30.580; Next Dividend Payable 09/2014				
WISDOMTREE TRUST JAPN HEDGE EQ (DXJ)	52.000	2,594.41	2,598.44	4.03
Share Price: \$49.970; CG IAR Status: AL; Next Dividend Payable 09/2014				

EXCHANGE-TRADED & CLOSED-END FUNDS	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)
	30.1%	\$22,790.86	\$27,117.25	\$4,326.39

MUTUAL FUNDS

OTHER MUTUAL FUNDS

"Total Purchases vs Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash, due to but not limited to the following: investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account.

"Net Value Increased (Decreased)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes. Consulting Group Investment Advisor Research (CG IAR) status codes (FL, AL or NL) may be shown for certain mutual funds. Please refer to "CG IAR Statuses in Investment Advisory Programs" in the June or December statement (or your first statement, if you have not yet received one in either of those months) for a description of these status codes. All status codes represent the opinions of CG IAR and are not representations or guarantees of performance.

Security Description	Quantity	Total Cost	Market Value	Unrealized Gain/(Loss)
ARTISAN GLOBAL VALUE INV (ARTGX)	402.422	\$6,144.98	\$6,394.49	\$249.51
Share Price: \$15.890; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest				
BARON REAL ESTATE INST (BREIX)	273.354	6,144.99	6,571.43	426.44
Share Price: \$24.040; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest				

Security Status
at Risk

Account Detail

Portfolio Management Retirement Account

WILLIAM J HUGHES JR.

ROLLOVER IRA

Morgan Stanley

MUTUAL FUNDS

OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Quantity	Total Cost	Market Value	Unrealized Gain/(Loss)
DRETFUS DYNAMIC TOTAL RET I (DAVGRX)				
Purchases	300.018	4,389.27	4,524.27	135.00
Reinvestments	0.611	8.86	9.21	0.33
Total	300.629	4,398.15	4,533.49	135.33
Total Purchases vs Market Value		4,389.27	4,533.49	
Net Value Increase/(Decrease)			144.22	
Share Price: \$15.080; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest				
HARTFORD SMALL CAP GROWTH I (HSLIX)				
Purchases	128.099	5,615.87	6,059.08	443.21
Reinvestments	14.567	674.62	689.02	14.40
Total	142.666	6,290.49	6,748.10	457.61
Total Purchases vs Market Value		6,290.49	6,748.10	
Net Value Increase/(Decrease)		5,615.87	6,748.10	
Share Price: \$47.300; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest				
HENDERSON INTL OPORT I (HFOIX)				
Purchases	160.322	3,948.39	4,335.11	386.72
Reinvestments	0.571	15.35	15.44	0.09
Total	160.893	3,963.74	4,350.55	386.81
Total Purchases vs Market Value		3,948.39	4,350.55	
Net Value Increase/(Decrease)			402.16	
Share Price: \$27.040; CG IAR Status: FL; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest				
KINETICS SM CAP OPORT ADV A (KSOAX)				
Purchases	156.520	6,144.98	6,080.80	(64.18)
Reinvestments	191.525	4,767.05	4,994.97	227.92
Total	348.045	10,912.03	11,075.77	163.74
Total Purchases vs Market Value		10,912.03	11,075.77	
Net Value Increase/(Decrease)			163.74	
Share Price: \$38.850; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest				
OAKMARK INTERNATL I (OAKIX)				
Purchases	7.360	188.06	191.95	3.89
Reinvestments	198.885	4,955.11	5,186.92	231.81
Total	206.245	5,143.17	5,378.87	235.70
Total Purchases vs Market Value		5,143.17	5,378.87	
Net Value Increase/(Decrease)			235.70	
Share Price: \$26.080; CG IAR Status: FL; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest				
PIMCO INCOME P (PONIPX)				
Purchases	338.993	4,240.92	4,298.43	57.51
Reinvestments	25.682	319.56	325.65	6.09
Total	364.675	4,560.48	4,624.08	63.60
Total Purchases vs Market Value		4,560.48	4,624.08	
Net Value Increase/(Decrease)			63.60	
Share Price: \$12.680; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest				
THORNBURG INV INC BUILDER I (TIBIX)				
Purchases	161.802	3,279.73	3,559.64	279.91
Reinvestments	9.038	190.25	198.84	8.59
Total	170.840	3,469.98	3,758.48	288.50
Total Purchases vs Market Value		3,469.98	3,758.48	
Net Value Increase/(Decrease)			288.50	

Account Detail

Portfolio Management Retirement Account
ROLLOVER IRA

WILLIAM J HUGHES JR.

MUTUAL FUNDS

OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Quantity	Total Cost	Market Value	Unrealized Gain/(Loss)
Total Purchases vs Market Value		3,279.73	3,758.48	
Net Value Increase/(Decrease)			478.75	
Share Price: \$22.000; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest				
TOUCHSTONE SANDS CAP SEL GR Y (CFSIX)	Purchases	437,471	5,905.90	7,953.22
Reinvestments		3,734	64.10	67.88
Total		441,205	5,970.00	8,021.11
Total Purchases vs Market Value			5,905.90	8,021.11
Net Value Increase/(Decrease)			2,115.21	
Share Price: \$18.180; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest				
VANGUARD WELLESLEY INC INV (VWINX)	Purchases	226,077	5,538.91	5,798.88
Reinvestments		14,793	367.67	379.44
Total		240,870	5,906.58	6,178.32
Total Purchases vs Market Value			5,538.91	6,178.32
Net Value Increase/(Decrease)			639.41	
Share Price: \$25.550; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest				
MUTUAL FUNDS	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)
	69.3%	\$57,949.48	\$62,447.77	\$4,498.27
TOTAL MARKET VALUE	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)
	100.0%	\$80,740.34	\$90,154.34	\$9,414.00

TOTAL VALUE (includes accrued interest) \$90,154.34

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

Attachment 4

Equities and Related Investments

Mutual Funds	Quantity	Total Value Market
OAKMARK INTERNATL I CUSIP # 413838202	265.118	\$6,978
HARTFORD SMALL CAP GROWTH I CUSIP # 416641306	211.401	10,264
HENDERSON INTL OPORT I CUSIP # 425067592	102.318	2,762
THORNBURG INV INC BUILDER I CUSIP # 885215467	79.432	1,671
CUSIP # 885215467	79.432	1,671
Subtotal	158.865	\$3,342
TOUCHSTONE SANDS CAP SEL GR Y CUSIP # 89155H827	505.731	9,037
VANGUARD WELLESLEY INC INV CUSIP # 921938106	58.977	1,466
Mutual Funds Subtotal		
Other		
POWERSHARES DYN LRG CAP VALU CUSIP # 73935X708	360.000	\$10,228
GUGGENHEIM S&P MIDCAP 400 PU CUSIP # 78355W601	83.000	10,215
VANGUARD GROWTH ETF CUSIP # 922908736	87.000	8,095
WISDOMTREE TR JPN SCAP HDG CUSIP # 97717W521	59.000	1,790
WISDOMTREE TRUST JPN HEDGE EQ CUSIP # 97717W851	34.000	1,729
Other Subtotal		
Total Equities and Related Investments		

continued on next page

Please see the Definitions & Disclosures at the end of this report.

Morgan Stanley

Cash and Short Term Investments

	Quantity	Total Value Market
Cash Equivalents		
CASH BALANCE	6,873,860	\$6,874
	135,930	136
Subtotal	7,009,790	\$7,010
Cash Equivalents Subtotal		
Total Cash and Short Term Investments		\$7,010

Fixed Income Investments

Mutual Funds		
BLACKROCK HI YIELD BD PTF INST		
CUSIP # 091929638	705,657	\$5,793
PIMCO INCOME P		
CUSIP # 72201M719	323,017	3,960
TEMPLETON GLOBAL BD PD ADV		
CUSIP # 880208400	123,613	1,618
THORNBURG INV INC BUILDER I		
CUSIP # 885215467	39,716	836
VANGUARD WELLESLEY INC INV		
CUSIP # 921938106	137,613	3,420
Mutual Funds Subtotal		
Total Fixed Income Investments		

Please see the Definitions & Disclosures at the end of this report.

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