



Filing ID #10016764

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Marcy Kaptur
Status: Member
State/District: OH09

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 05/15/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Congressional Federal CU		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Funds accrued in this account are from foregone Congressional pay (raises) and are distributed annually to charitable causes.					
DFA Short Duration Real		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DFA Targetted Credit		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: purchased from proceeds of sale of DFA Selective Global					
DFA Two Year Global Fixed		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase from proceeds of sale of DFA US Core Equity					
DFA US Core Equity		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Sold for purchase of DFA 2 Year Global Fixed Income					
Fleet Rd.		\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: Toledo, OH, US					
DESCRIPTION: No renter or rental income for 2016.					
Genoa Bank		\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Harlan Rd.		\$15,001 - \$50,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Toledo, OH, US					
Huntington Bank NA		\$1,001 - \$15,000	None		<input type="checkbox"/>
Key Bank		\$15,001 - \$50,000	None		<input type="checkbox"/>
Rivard Rd.		\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: Toledo, OH, US DESCRIPTION: No renter or rental income for 2016.					
Schwab Money Market		\$1,001 - \$15,000	None		<input type="checkbox"/>
Sun Federal Credit Union		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Name change from Suburban Federal Credit Union to Sun Federal Credit Union.					
US Savings Bonds		\$50,001 - \$100,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Interest from partial redemption of asset.					
Vanguard Short Term Bond		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Vanguard Short Term Corp		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DFA 2 Year Global Fixed Income		01/19/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Proceeds from sale of DFA Core Equity					
DFA 2 Year Global Fixed Income		10/25/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: partial sale for cash withdrawal					
DFA Core Equity		01/19/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Proceeds to purchase DFA 2 Year Global Fixed Income					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Congressional Federal Credit Union	2011	mortgage	\$100,001 - \$250,000
COMMENTS: Mortgage on Washington, D.C. area condominium apartment used while Congress is in session. No mortgage on permanent family home in Ohio.				
	Congressional Federal Credit Union	November 2015	Home Equity Loan	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Trustee	The Anastasia Fund
COMMENTS: The Anastasia Fund, P.O. Box 2121, Toledo, OH 43603. [a charity established in the names of Anastasia and Stephen Kaptur]	

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not

be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Marcy Kaptur , 05/15/2017