



Filing ID #10014870

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Kathy Castor
Status: Member
State/District: FL14

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 05/15/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alcoa Inc. (AA)	JT	None	None		<input checked="" type="checkbox"/>
American International Group, Inc. New (AIG)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Apple Inc. (AAPL)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Arconic Inc. (ARNC)	JT	None	None		<input checked="" type="checkbox"/>
AT&T Inc. (T)	JT	None	None		<input checked="" type="checkbox"/>
Bank of America Checking	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bank of America Corporation (BAC)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Bank of America Savings	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Boeing Company (BA)	JT	\$15,001 - \$50,000	Dividends	\$201 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
				\$1,000	
Capitol One 360 Savings	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Caterpillar, Inc. (CAT)	JT	None	None		<input checked="" type="checkbox"/>
CL 529 ⇒ Vanguard 500 Index 529 LOCATION: FL	DC	\$50,001 - \$100,000	None		<input type="checkbox"/>
CL 529 ⇒ Vanguard Conserv Growth (Agg Age-Based) LOCATION: FL	DC	\$15,001 - \$50,000	None		<input type="checkbox"/>
CL 529 ⇒ Vanguard Small Cap Index LOCATION: FL	DC	\$15,001 - \$50,000	None		<input type="checkbox"/>
CL 529 ⇒ Vanguard Total Inter Stock LOCATION: FL	DC	\$15,001 - \$50,000	None		<input type="checkbox"/>
CL 529 ⇒ Vanguard Windsor Fund LOCATION: FL	DC	\$15,001 - \$50,000	None		<input type="checkbox"/>
Dow Chemical Company (DOW)	JT	None	None		<input checked="" type="checkbox"/>
E*Trade Savings	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Franklin Resources, Inc. (BEN)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income	JT	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Growth	JT	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A	JT	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒	JT	\$100,001 -	Capital Gains,	\$2,501 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Franklin Rising Dividends		\$250,000	Dividends	\$5,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small Cap	JT	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin U.S. Gov Securities Fund	JT	\$500,001 - \$1,000,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	None	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z	JT	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z	JT	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
General Electric Company (GE)	JT	None	None		<input checked="" type="checkbox"/>
Intel Corporation (INTC)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
IRA ⇒ AT&T Inc. (T)		None	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Price Blue Chip Growth		None	None		<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Price Diversified Small Cap Growth		None	None		<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Price Equity Index		None	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Price New Era		None	None		<input checked="" type="checkbox"/>
IRA ⇒ US Treasury Money Fund		\$250,001 - \$500,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
IRA ⇒ Verizon Communications Inc. (VZ)		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
JL 529 ⇒ Vanguard 500 Index 529	DC	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: FL					
JL 529 ⇒ Vanguard Age Based Growth	DC	None	None		<input checked="" type="checkbox"/>
LOCATION: FL					
JL 529 ⇒ Vanguard Income (Agg Age-Based)	DC	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
JL 529 ⇒ Vanguard Small Cap Index	DC	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
LOCATION: FL					
JL 529 ⇒ Vanguard Total Inter Stock	DC	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
LOCATION: FL					
JL 529 ⇒ Vanguard Windsor Fund	DC	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
LOCATION: FL					
JL Retirement ⇒ Vanguard Index 500 Fund	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merck & Company, Inc. Common Stock (MRK)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Pfizer, Inc. (PFE)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Spouse 401K ⇒ Morley Stable Value V Fund	SP	\$1,000,001 - \$5,000,000	None		<input checked="" type="checkbox"/>
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Spouse 401K ⇒ Principal MidCap Separate Account	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Spouse 401K ⇒ Principal US Property Sep Acct	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
The Blackstone Group L.P. Common Units Representing Limited Partnership Interests (BX)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Joint Retirement ⇒ Vanguard Index 500	JT	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard US Value Fund	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Walt Disney Company (DIS)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Wells Fargo Checking	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Savings	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alcoa Corporation (AA)	SP	11/1/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Apple Inc. (AAPL)	JT	02/26/2016	P	\$1,001 - \$15,000	
Arconic Inc. (ARNC)	SP	11/1/2016	P	\$1,001 - \$15,000	
Arconic Inc. (ARNC)	SP	12/15/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
AT&T Inc. (T)	SP	12/9/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America Corporation (BAC)	JT	02/12/2016	P	\$1,001 - \$15,000	
Boeing Company (BA)	JT	02/12/2016	P	\$1,001 - \$15,000	
Caterpillar, Inc. (CAT)	SP	12/9/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Dow Chemical Company (DOW)	JT	05/2/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income	JT	10/6/2016	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income	JT	12/15/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income	JT	12/16/2016	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Growth	JT	10/4/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Growth	JT	12/1/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Growth	JT	12/16/2016	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A	JT	Bi-weekly	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends	JT	10/3/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends	JT	12/1/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small Cap	JT	10/5/2016	P	\$15,001 - \$50,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small Cap	JT	12/15/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small Cap	JT	12/16/2016	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin U.S. Gov Securities Fund	JT	12/16/2016	P	\$500,001 - \$1,000,000	
Franklin Templeton Joint Retirement ⇒ Franklin U.S. Gov Securities Fund	JT	12/29/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	03/1/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	06/1/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	09/26/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	10/3/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	10/4/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	10/5/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	10/6/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	12/16/2016	S	\$100,001 - \$250,000	<input type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z	JT	10/5/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z	JT	10/6/2016	P	\$15,001 - \$50,000	
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z	JT	12/16/2016	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z	JT	09/2/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z	JT	10/5/2016	P	\$15,001 - \$50,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z	JT	12/16/2016	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
General Electric Company (GE)	SP	12/9/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Intel Corporation (INTC)	JT	02/12/2016	P	\$1,001 - \$15,000	
IRA ⇒ AT&T Inc. (T)		12/14/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA ⇒ T Rowe Price Blue Chip Growth		12/12/2016	S	\$100,001 - \$250,000	<input type="checkbox"/>
IRA ⇒ T Rowe Price Blue Chip Growth		03/29/2016	P	\$15,001 - \$50,000	
IRA ⇒ T Rowe Price Diversified Small Cap Growth		12/12/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
IRA ⇒ T Rowe Price Equity Index		12/12/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
IRA ⇒ T Rowe Price New Era		12/12/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA ⇒ T Rowe Price New Era		03/29/2016	P	\$1,001 - \$15,000	
IRA ⇒ US Treasury Money Fund		12/13/2016	P	\$250,001 - \$500,000	
IRA ⇒ Verizon Communications Inc. (VZ)		03/28/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard 500 Index 529	DC	03/17/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard 500 Index 529	DC	03/30/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard 500 Index 529	DC	04/22/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard 500 Index 529	DC	07/8/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard 500 Index 529	DC	08/12/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard 500 Index 529	DC	12/12/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒	DC	04/4/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Age Based Growth					
JL 529 ⇒ Vanguard Income (Agg Age-Based)	DC	04/4/2016	P	\$1,001 - \$15,000	
JL 529 ⇒ Vanguard Income (Agg Age-Based)	DC	07/8/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard Income (Agg Age-Based)	DC	12/12/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard Small Cap Index	DC	07/8/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard Small Cap Index	DC	08/12/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard Small Cap Index	DC	12/12/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard Total Inter Stock	DC	07/8/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard Total Inter Stock	DC	08/12/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard Total Inter Stock	DC	12/12/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard Windsor Fund	DC	07/8/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard Windsor Fund	DC	12/12/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Merck & Company, Inc. Common Stock (MRK)	JT	02/12/2016	P	\$1,001 - \$15,000	
Pfizer, Inc. (PFE)	JT	02/12/2016	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Morley Stable Value V Fund	SP	12/9/2016	P	\$1,000,001 - \$5,000,000	
Spouse 401K ⇒	SP	01/13/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morley Stable Value V Fund					
Spouse 401K ⇒ Morley Stable Value V Fund	SP	02/18/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index	SP	Monthly	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index	SP	12/9/2016	S (partial)	\$500,001 - \$1,000,000	<input type="checkbox"/>
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index	SP	01/13/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Spouse 401K ⇒ Principal MidCap Separate Account	SP	12/9/2016	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Spouse 401K ⇒ Principal MidCap Separate Account	SP	12/30/2016	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal MidCap Separate Account	SP	01/13/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Spouse 401K ⇒ Principal US Property Sep Acct	SP	12/9/2016	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Spouse 401K ⇒ Principal US Property Sep Acct	SP	12/30/2016	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal US Property Sep Acct	SP	01/31/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Index 500	JT	01/7/2016	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500	JT	03/18/2016	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500	JT	06/20/2016	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500	JT	09/12/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Joint Retirement ⇒ Vanguard Index 500	JT	12/21/2016	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard US Value Fund	JT	01/7/2016	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard US Value Fund	JT	12/23/2016	P	\$1,001 - \$15,000	
Walt Disney Company (DIS)	JT	06/6/2016	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Butler Weihmuller Katz Craig	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Vice President/Director	Castor-Bell-Lewis Family Foundation

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

Source	Description	Value
Bunting Charity Lodge (New York, NY, US)	College Scholarship for Dependent Child	\$600.00

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Center for Democracy in the Americas (CDA)	02/12/2016	02/16/2016	Tampa, FL - Havana, Cuba - Miami, FL	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- CL 529 (Owner: DC)
LOCATION: FL
- Franklin Templeton Joint Retirement (Owner: JT)
- IRA
- JL 529 (Owner: DC)
LOCATION: FL
- JL Retirement (Owner: DC)
DESCRIPTION: Julia's Vanguard Retirement Account
- Spouse 401K (Owner: SP)
- Vanguard Joint Retirement (Owner: JT)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Kathy Castor , 05/15/2017