

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

**FORM A**  
For use by Members, officers, and employees

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**HAND DELIVERED**

Xavier Becerra

(Full Name)

202-225-6235

(Daytime Telephone)

2012 JUN 14 PM 5:25

LEGISLATIVE RESOURCE CENTER  
U.S. HOUSE OF REPRESENTATIVES  
OFFICE USE ONLY

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**Filer Status**  
☒ Member of the U.S. House of Representatives  
State: CA District: 31

☐ Officer Or Employee  
Employing Office:

**Report Type**  
☒ Annual (May 15) ☐ Amendment ☐ Termination  
Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<b>Trusts--</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Virginia Hospital Center	Spouse Salary	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p>		<p>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
JT	1005 Mooney Drive Monterey Park, CA	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
JT	101 North Carolina Place, SE Apt. H Washington, DC	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
JT	1633 Hill Drive Los Angeles, CA 90041	\$500,001 - \$1,000,000	RENT	\$15,001 - \$50,000	
SP	Ally Bank - CD (8438731)	None	INTEREST	\$1 - \$200	S
DC	Ally Bank GMAC - CD	None	INTEREST	\$1 - \$200	S
SP	American Chartered Bank - CD	None	INTEREST	\$1 - \$200	S

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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DC	American Funds Money Funds - 529	\$1,001 - \$15,000	DIVIDENDS	NONE	
JT	American Funds New Perspective (NPFFX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	American Funds New Perspective (NPFFX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Apartment Trust of America	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Blackrock Mid Cap Value	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Blackrock Mid Cap Value	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Capital One Bank - CD	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	Capital World Bond Fund - 529C	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
JT	Capital World Growth & Income (CWGFX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Capital World Growth & Income (CWGFX)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Capmark Bank - CD	None	INTEREST	\$1 - \$200	S
JT	Chase - Checking	\$1 - \$1,000	INTEREST	\$1 - \$200	
SP	Citi Bank NA - CD	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	Congressional Federal Credit Union - Checking & Savings	\$1,001 - \$15,000	INTEREST	\$1 - \$200	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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SP	Discover Bank - CD (25469JY6)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	Discover Bank - CD (25469JSP5)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	Franklin Mutual Discovery A (TED1X)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Franklin Mutual Discovery A (TED1X)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Fundamental Investors - 529	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	G Reit Liquidating Trust	\$1,001 - \$15,000	None	NONE	
	GE Capital - Bond (36962G3F9)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	GE Capital - Bond (36962GL7)	None	INTEREST	\$1 - \$200	S
DC	GE Money Bank - CD (36159UFC5)	None	INTEREST	\$1 - \$200	S
DC	General Electric Cap Cp - Bond (36962GR30)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	Goldman Sachs Bank - CD (38141GBU7)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	Goldman Sachs Bank - CD (38144LAC4)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	Goldman Sachs Bank - CD (6369797)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	Growth Fund of America Class F	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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JT	Growth Fund of America Class F	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Health Care Reit Inc. (HCN)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
DC	Health Care Reit Inc. (HCN)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Healthcare Trust of America	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
DC	HomeBanc N.A. - CD	None	INTEREST	\$1 - \$200	S
SP	HSBC Finance Cp - Bond	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
DC	Income Fund of America - 529	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	ING Corporate Leaders (LCX1Z)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
DC	ING Corporate Leaders (LCX1Z)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Investment Company of America - 529	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	John Hancock - Bond	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
	JP Morgan Chase - Bond	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	JP Morgan Chase - Bond	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	Marshall Isley Bank Note - Bond	\$1,001 - \$15,000	INTEREST	NONE	P

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**Name **Xavier Becerra**

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DC	Marsico 21st Century Fund	\$1,001 - \$15,000	DIVIDENDS	NONE	
JT	Marsico 21st Century Fund	\$1,001 - \$15,000	DIVIDENDS	NONE	
DC	Midfirst Bank - CD	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	Morgan Stanley - Bond	\$1,001 - \$15,000	INTEREST	NONE	P
DC	New Perspective Fund - 529C	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Profunium Financial - CD	None	INTEREST	\$1 - \$200	S
DC	Rainier Small / Mid Cap	\$1,001 - \$15,000	DIVIDENDS	NONE	
JT	Rainier Small / Mid Cap	\$1,001 - \$15,000	DIVIDENDS	NONE	
DC	Schwab Money Fund (SWUXX)	\$1,001 - \$15,000	DIVIDENDS	NONE	
JT	Schwab Money Market Fund	\$100,001 - \$250,000	DIVIDENDS	\$1 - \$200	
DC	Small Cap World Fund - 529C	\$1,001 - \$15,000	DIVIDENDS	NONE	
SP	SW St Louis Bank - CD	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	Tennessee Commerce Bank - CD	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	The Bond Fund of America - 529	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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JT	Washington Mutual Inv. Fund	\$1,001 - \$15,000	DIVIDENDS	NONE	
DC	Washington Mutual Investor Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Well Fargo Bank - Bond	\$1,001 - \$15,000	INTEREST	\$1 - \$200	



# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Ally Bank - CD (8438731)	S	No	9/19/11	\$1,001 - \$15,000
DC	Ally Bank GMAC - CD	S	No	3/25/11	\$1,001 - \$15,000
SP	American Chartered Bank - CD	S	No	3/07/11	\$1,001 - \$15,000
DC	Capital World Bond Fund - 529C	P	N/A	2/28/11	\$1,001 - \$15,000
DC	Capmark Bank - CD	S	No	3/04/11	\$1,001 - \$15,000
DC	GE Capital - Bond (36962GL7)	S	No	4/10/11	\$1,001 - \$15,000
DC	GE Money Bank - CD (36159UFC5)	S	No	11/07/11	\$1,001 - \$15,000
DC	HomeBanc N.A. - CD	S	No	2/04/11	\$1,001 - \$15,000
SP	HSBC Finance Cp - Bond	P	N/A	8/15/11	\$1,001 - \$15,000
DC	HSBC Financial - Bond	P	N/A	9/13/11	\$1,001 - \$15,000
DC	HSBC Financial - Bond	S	No	12/15/11	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC	John Hancock - Bond	P	N/A	3/28/11	\$1,001 - \$15,000
DC	Marshall Isley Bank Note - Bond	P	N/A	12/16/11	\$1,001 - \$15,000
DC	Morgan Stanley - Bond	P	N/A	9/12/11	\$1,001 - \$15,000
SP	National Rural Utility - Bond	S	No	8/15/11	\$1,001 - \$15,000
SP	National Rural Utility - Bond	P	N/A	3/8/11	\$1,001 - \$15,000
DC	Private Bank & Trust - Bond	S	No	8/16/11	\$1,001 - \$15,000
DC	Private Bank & Trust - Bond	P	N/A	2/10/11	\$1,001 - \$15,000
DC	Profinium Financial - CD	S	No	9/12/11	\$1,001 - \$15,000
DC	The Bond Fund of America - 529	P	N/A	2/28/11	\$1,001 - \$15,000

# SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	GMAC Mortgage	June 2005	Mortgage on 101 North Carolina Place, SE Apt. H Washington, DC	\$50,001 - \$100,000
JT	GMAC Mortgage	July 2003	Mortgage on 1633 Hill Drive, Los Angeles, CA	\$100,001 - \$250,000
JT	SunTrust Mortgage	August 2009	Mortgage on 5406 Center Street, Chevy Chase, MD	\$500,001 - \$1,000,000

**SCHEDULE VI - GIFTS**

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Report the source, a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
The Latino Theater Company, Los Angeles, CA	Legacy Award - Gronk print	\$1,000

**SCHEDULE VIII - POSITIONS**

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Advisors	Close Up Foundation
Advisory Council Member	Congressional Hispanic Caucus Institute
Regent	Smithsonian Institution
Board Member	Smithsonian Institution National Latino Board
Board Member	Center for the Advancement of Hispanics in Science and Engineering Education
Member	Inter-American Dialogue
Museum Council Member	Smithsonian National Museum of African American History and Culture