



Filing ID #10003887

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Mrs. Nita M. Lowey  
**Status:** Member  
**State/District:** NY17

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2013  
**Filing Date:** 07/31/2014

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
57 West 70th Development LLC	SP	\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
LOCATION: New York, NY, US					
649 C. Street SE, Apt 209	JT	\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Washington, DC, US					
Arbiter Partners		\$1,000,001 - \$5,000,000	Capital Gains	\$100,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: EIF					
Arbiter Partners	SP	\$1,000,001 - \$5,000,000	Capital Gains	\$100,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: EIF					
Brownstone Investment Opportunities	SP	\$1,000,001 - \$5,000,000	Capital Gains, ordinary income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: New York, NY, US					
DESCRIPTION: Holding company that holds a specialized broker-dealer.					
Chase Bank (checking)	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Citibank Checking and Savings	JT	\$500,001 - \$1,000,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Diker Micro-Value Fund	SP	\$250,001 - \$500,000	Capital Gains	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: EIF					
Federated Kaufmann Small Cap Fund (Federated Kaufmann IRA)	SP	\$1,000,001 - \$5,000,000	Capital Gains	\$100,001 - \$1,000,000	<input type="checkbox"/>
Fidelity Institutional Money Market Fund Portfolio Class I (Fidelity IRA)	SP	\$1,000,001 - \$5,000,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
Fidelity Institutional Money Market Portfolio Class I	JT	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Highway Eats		None	None		<input type="checkbox"/>
DESCRIPTION: Debt owned to the File.					
Ingalls & Snyder Value Fund	SP	\$1,000,001 - \$5,000,000	Capital Gains	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: EIF					
Lowey Dannenberg Bemporad & Sellinger Profit Sharing Plan	SP	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Lowey Dannenberg Cohen & Hart, P.C. ownership interest in law firm	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
DESCRIPTION: Ownership interest engaged in business.					
M.D. Sass/Macquarie Financial Strategies	SP	\$500,001 - \$1,000,000	Capital Gains	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: EIF					
New Silk Route Fund	SP	\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: EIF					
New York State and Local Retirement System		Undetermined	Pension	\$5,001 - \$15,000	<input type="checkbox"/>
Oppenheimer & Spence Investment Pp.		\$500,001 - \$1,000,000	Capital Gains	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: EIF					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
P. Oppenheimer Investment Partnership		\$1,000,001 - \$5,000,000	Capital Gains	\$100,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: EIF					
P. Oppenheimer Investment Partnership (Oppenheimer & Close IRA)		\$100,001 - \$250,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Parkstone Capital	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: EIF					
Santa Monica Partners	SP	\$1,000,001 - \$5,000,000	Capital Gains	\$100,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: EIF					
Stable Income Fund (NYS Deferred Compensation Plan)		\$15,001 - \$50,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
Vanguard Inst Index Inst Pls Large Cap (NYS Deferred Compensation Plan)		\$50,001 - \$100,000	Capital Gains, Dividends, Interest	\$5,001 - \$15,000	<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
New Silk Route Fund	SP	08/26/2013	P	\$15,001 - \$50,000	
DESCRIPTION: SP provided funds in response to a capital call based on an investment commitment at a prior date.					
Parkstone Capital	SP	Semi-Annually	P	\$50,001 - \$100,000	
DESCRIPTION: SP provided funds in response to a capital call based on an investment commitment at a prior date.					

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Lowey Dannenberg Cohen & Hart, P.C.	Spouse Salary	N/A
New York State and Local Retirement System	Pension	\$10,447

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 1988	New York State and Local Retirement System	Monthly pension payments

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details				Inclusions		
Source	Start Date	End Date	Itinerary	Lodging?	Food?	Family?
American Israel Education Foundation (AIEF)	04/29/2013	05/5/2013	Newark - Tel Aviv/Jerusalem - Newark	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Aspen Institute Congressional Program	03/31/2013	04/7/2013	New York, NY - Istanbul - New York, NY	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

—

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Mrs. Nita M. Lowey , 07/31/2014