

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A

Page 1 of 10

For use by Members, officers, and employees

James H.S. ("Jim") Cooper

(Full Name)

202-225-4311

(Daytime Telephone)

Filer Status ☒ Member of the U.S. House of Representatives

State: TN District: 5

☐ Officer Or Employee

Employing Office:

Report Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

|  |   |  |   |
|--|---|--|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?<br>If yes, complete and attach Schedule I.   | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?<br>If yes, complete and attach Schedule VI.             | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?<br>If yes, complete and attach Schedule II.  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?<br>If yes, complete and attach Schedule VII. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?<br>If yes, complete and attach Schedule III. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?<br>If yes, complete and attach Schedule VIII.  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?<br>If yes, complete and attach Schedule IV.  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | IX. Did you have any reportable agreement or arrangement with an outside entity?<br>If yes, complete and attach Schedule IX.   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?<br>If yes, complete and attach Schedule V.   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.   |   |

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

|   |   |
|---|---|
| Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?                      | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

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**SCHEDULE I - EARNED INCOME**

Name James H.S. ("Jim") Cooper

Page 2 of 10

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source  | Type                  | Amount   |
|---|-----------------------|----------|
| Vanderbilt University Owen School of Management | Approved Teaching Fee | \$23,500 |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James H.S. (Jim) Cooper

Page 3 of 10

| BLOCK A<br>Asset and/or Income Source<br><br>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.<br><br>Provide complete names of stocks and mutual funds (do not use ticker symbols.)<br><br>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.<br><br>For rental or other real property held for investment, provide a complete address.<br><br>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.<br><br>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); more than one residence if it is a rental property; and | BLOCK B<br>Year-End Value of Asset<br><br>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None." | BLOCK C<br>Type of Income<br><br>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period. | BLOCK D<br>Amount of Income<br><br>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. | BLOCK E<br>Transaction<br><br>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year. |
|---|---|---|--|---|
| 2319 Woodmont Blvd, Nashville, TN (vacant lot)  | \$500,001 - \$1,000,000   | None  | NONE   |   |
| Adams Properties (owns property at 1504 Beach Dr. In Gulfport, MS)  | \$100,001 - \$250,000   | Partnership Income  | \$201 - \$1,000  |   |
| Assistive Technology Company stock, wheelchair distribution, Naperville, IL   | \$100,001 - \$250,000   | None  | NONE   |   |
| AT&T (ATT)  | \$1 - \$1,000   | DIVIDENDS   | \$1 - \$200  |   |
| Berkshire Hathaway (BRKA)(IRA)  | \$100,001 - \$250,000   | None  | NONE   |   |
| City Holding Company (CHCO)   | \$1,001 - \$15,000  | DIVIDENDS   | \$201 - \$1,000  | Other   |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name James H.S. ("Jim") Cooper

Page 4 of 10

|   |                           |                    |                         |  |
|---|---------------------------|--------------------|-------------------------|--|
| Coca-Cola Co. (KO)(IRA and brokerage acct)  | \$1,001 - \$15,000        | None/DIVIDEND S    | None/\$1 - \$200        |  |
| Comcast Corp. Class A (CMCSA)   | \$1,001 - \$15,000        | DIVIDENDS          | \$1 - \$200             |  |
| Congressional Federal Credit Union Accts  | \$15,001 - \$50,000       | INTEREST           | \$1 - \$200             |  |
| Cooper Bros. Land Co. Partnership, farm, land and lots, Shelbyville, TN and Smith Mills, KY, and Nashville TN | \$1,000,001 - \$5,000,000 | Partnership Income | \$100,001 - \$1,000,000 |  |

|  |                       |                         |                    |   |
|--|-----------------------|-------------------------|--------------------|---|
| DNP Select Income FD Inc. (DNP)                                  | \$1,001 - \$15,000    | DIVIDENDS               | \$201 - \$1,000    |   |
| Federated GNMA Inst. Fund (FGMAX)                                | \$1,001 - \$15,000    | DIVIDENDS               | \$201 - \$1,000    |   |
| Fidelity Contra Fund (FCNTX)                                     | \$15,001 - \$50,000   | DIVIDENDS               | \$1 - \$200        |   |
| Fidelity Growth and Income Fund (FGRIX)                          | \$15,001 - \$50,000   | DIVIDENDS               | \$1 - \$200        |   |
| Fidelity Municipal Money Market Fund (FTEXT)                     | \$15,001 - \$50,000   | DIVIDENDS               | \$1 - \$200        |   |
| Fifth Third Bancorp. (FITB)                                      | None                  | DIVIDENDS/CAPITAL GAINS | \$201 - \$1,000    | S |
| General Electric Co. (GE)(IRA and brokerage acct)                | \$15,001 - \$50,000   | None/DIVIDEND S         | None/\$1 - \$200   |   |
| Hamilton Fairfax, LLC (apt. Bldg at 2106 Fairfax, Nashville, TN) | \$100,001 - \$250,000 | Partnership Income      | \$5,001 - \$15,000 |   |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James H.S. ("Jim") Cooper

Page 5 of 10

Harpeth Green Properties, LLC, Brentwood TN (holds interest in Heritage Retirement Facilities, LLC and Heritage Health Center LLC)

|  | Helios Strategic Mortgage Fund (HSM)  | \$15,001 - \$50,000     | DIVIDENDS          | \$1,001 - \$2,500   | Other |
|--|---|-------------------------|--------------------|---------------------|-------|
|  | Hewlett Packard Co. (HPQ)(IRA)  | \$1,001 - \$15,000      | None               | NONE                |       |
|  | Intel Corp. (INTC)  | \$50,001 - \$100,000    | DIVIDENDS          | \$1,001 - \$2,500   |       |
|  | International Business Machines (IBM)   | \$50,001 - \$100,000    | DIVIDENDS          | \$1,001 - \$2,500   |       |
|  | Lennox Int'l Inc. (IRA)   | \$50,001 - \$100,000    | None               | NONE                |       |
|  | Microsoft Corp. (MSFT)(IRA)   | \$1,001 - \$15,000      | None               | NONE                |       |
|  | Nortel Networks (NT)(IRA)   | \$1 - \$1,000           | None               | NONE                |       |
|  | Northern Trust Inst. Gov't Portfolio  | \$100,001 - \$250,000   | INTEREST           | \$1 - \$200         |       |
|  | Northwestern Mutual "Adjustable Comp Life" policy   | \$100,001 - \$250,000   | DIVIDENDS          | \$5,001 - \$15,000  |       |
|  | Phoenix Companies Inc. (PNX)(IRA)   | \$1,001 - \$15,000      | None               | NONE                |       |
|  | PLC Properties, Brentwood, TN Virginia Way, undeveloped land, and warehouse at 5557 Hwy 31, Orinda TN | \$500,001 - \$1,000,000 | Partnership Income | \$15,001 - \$50,000 |       |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name James H.S. ("Jim") Cooper

Page 6 of 10

|  |  |                         |                    |                     |  |
|--|--|-------------------------|--------------------|---------------------|--|
|  | Proctor and Gamble Co. (PG)                      | \$1,001 - \$15,000      | DIVIDENDS          | \$1 - \$200         |  |
|  | Qualcomm Inc. (QCOM)(IRA)                        | \$15,001 - \$50,000     | None               | NONE                |  |
|  | Sun Trust Accounts                               | \$100,001 - \$250,000   | INTEREST           | \$201 - \$1,000     |  |
|  | Suntrust Banks Inc. (STI)(IRA)                   | \$15,001 - \$50,000     | None               | NONE                |  |
|  | Thoma Cressey Friends Fund VI LP                 | \$15,001 - \$50,000     | Partnership income | \$15,001 - \$50,000 |  |
|  | Thoma Cressey Friends Fund VII LP (IRA)          | \$100,001 - \$250,000   | None               | NONE                |  |
|  | TIAA CREF Stock                                  | \$15,001 - \$50,000     | None               | NONE                |  |
|  | TIAA Traditional                                 | \$1,001 - \$15,000      | None               | NONE                |  |
|  | Virtus Investment Partners Inc. (VRTS)(IRA)(ftn) | \$1,001 - \$15,000      | None               | NONE                |  |
|  | Wells Fargo Co. (WFC)(IRA)                       | \$1,001 - \$15,000      | None               | NONE                |  |
|  | Wells Fargo Money Market and Cash Deposits       | \$500,001 - \$1,000,000 | INTEREST           | \$201 - \$1,000     |  |

# SCHEDULE IV - TRANSACTIONS

Name James H.S. ("Jim") Cooper

Page 7 of 10

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP,<br>DC,<br>JT | Asset                                | Type of<br>Transaction | Capital<br>Gain in<br>Excess<br>of \$200? | Date    | Amount of Transaction |
|------------------|--------------------------------------|------------------------|---|---------|-----------------------|
|                  | City Holding Company (CHCO)          | P                      | N/A                                       | 6-30-10 | \$1,001 - \$15,000    |
|                  | Fifth Third Bankcorp (FITB)          | S                      | Yes                                       | 6-24-10 | \$1,001 - \$15,000    |
|                  | Helios Strategic Mortgage Fund (HSM) | P                      | N/A                                       | 4-15-10 | \$15,001 - \$50,000   |

# **SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name James H.S. ("Jim") Cooper

Page 8 of 10

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

| Source   | Date(s)    | Point of Departure--<br>Destination--Point of Return | Lodging?<br>(Y/N) | Food?<br>(Y/N) | Was a Family<br>Member Included?<br>(Y/N) | Days not at<br>sponsor's<br>expense |
|--|------------|--|-------------------|----------------|---|-------------------------------------|
| Alliance for Health<br>Reform/Commonwealth<br>Fund | Jan. 15-17 | Nashville, TN-Fort<br>Lauderdale, FL-Nashville, TN   | Y                 | Y              | Y   | None                                |
| Aspen Institute                                    | Feb. 15-21 | Nashville, TN-Madrid, Spain-<br>Nashville, TN        | Y                 | Y              | Y   | None                                |
| The Third Way                                      | Jul. 18-19 | Nashville, TN-New York, NY-<br>Washington, DC        | N                 | Y              | N   | 1 day                               |



# SCHEDULE VIII - POSITIONS

Name James H.S. ("Jim") Cooper

Page 9 of 10

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position          | Name of Organization                             |
|-------------------|--|
| Adjunct Professor | Owen School of Management, Vanderbilt University |
| General Partner   | PLC Properties, LLC                              |
| Member            | Hamilton Fairfax, LLC                            |
| Partner           | Cooper Bros. Land Company                        |
| Member            | Harpeth Green Properties, LLC                    |

**FOOTNOTES**

Name James H.S. ("Jim") Cooper

Page 10 of 10

| Number | Section / Schedule | Footnote   | This note refers to the following item            |
|--------|--------------------|--|---|
| 1      | Schedule III       | Previously not reported because valued at less than \$1,000. | Virtus Investment Partners Inc. (VRTS)(IRA)       |
| 2      | Schedule IV        | Gift to dependant children from relative.                    | Helios Strategic Mortgage Fund (HSM)              |
| 3      | Schedule III       | Dividend is applied to the cash value of the policy.         | Northwestern Mutual "Adjustable Comp Life" policy |
| 4      | Schedule IV        | Gift to dependant children from relative.                    | City Holding Company (CHCO)                       |

**2010 Financial Disclosure Statement  
Supplementary Schedule**

**Schedule III**

**Cooper Brothers Partnership**

**Assets:**

**Located in or near Shelbyville, TN**

- Lots at 756 Madison Street
  - Purchased 12/31/65, 7/29/77, and 2/16/88; tax appraisal value \$665,400
- Lot on Holland Street
  - Purchased 7/29/77, 12/27/72, and 1/31/70; tax appraisal \$43,300
- Lot on Depot Street
  - Purchased 12/31/85; tax appraisal \$35,500
- Lots at 319, 401, 409, and 414 East Lane Street, and 410 Deery Street
  - Purchased 7/1/54, tax appraisal value \$21,000 – Sold on Dec.13, 2006
  - Purchased 11/25/03, tax appraisal value \$111,700
  - Purchased 12/30/89, tax appraisal value \$26,700
  - Purchased 12/28/92, tax appraisal value \$59,500
  - Purchased 410 Deery St. on 10/19/06 for \$34,000
- Farm at 497 Shofner Bridge Road, 463 acres
  - Purchased 7/29/77; tax appraised value \$447,200
- Field on Thompson Creek Road, 80 acres
  - Purchased 12/31/87, tax appraisal \$30,300

**Located in or near Smith Mills, KY (near Henderson, KY)**

- Bank appraised value of all KY farms in 1999 at \$1.6 million
  - Tweedy and Floyd Farms, Hwy. 266, 575 acres
    - Purchased 5/18/69 and 3/27/74
  - Utley Farms, Burbank Road, 75 acres
    - Purchased 1979
  - Mueth and Brahm Farms, Alzey-Uniontown Road, 270 acres
    - Purchased 1/28/87
  - Klondike Farm, Klondike Road, 453 acres
    - Purchased 5/18/69, 1988

Located in Brentwood, TN

- Park Center Partnership I, owns 21% of Gambro Bldg.
  - Purchased 9/30/00; tax appraised value \$9,352,300
- Park Center Partnership II, owns 21% of LBMC Bldg.
  - Purchased 9/30/00; tax appraised value \$8,853,200

These partnerships were formed to develop the Gambro and LBMC Financial Center office buildings on Virginia Way in Maryland Farms

Located in Nashville, TN

- Lot 6A at 648 Grassmere Office Park, Trousdale Pike, Nashville, TN
  - This lot was contributed to a development entity, Grassmere Five LLC in return for a 58% ownership interest; tax appraised value \$656,900