

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Tom Malinowski

Status: Congressional Candidate

State/District: NJ07

FILING INFORMATION

Filing Type: Candidate Report

Filing Year: 2017

Filing Date: 11/24/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Air Lease Corporation Class A (AL)		\$50,001 - \$100,000	None		I
Akamai Technologies, Inc. (AKAM)		\$1,001 - \$15,000	None		
Alpha and Omega Semiconductor Limited - Common Shares (AOSL)		None	Capital Gains	None	\$2,501 - \$5,000
Alpha and Omega Semiconductor Limited - Common Shares (AOSL)		\$15,001 - \$50,000	None		
Amber Road, Inc. (AMBR)		\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	None
Ameresco, Inc. Class A (AMRC)		\$15,001 - \$50,000	None		
athenahealth, Inc. (ATHN)		\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	None
Bank of America checking account		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Canadian Energy Service Common Shares (CESDF)		\$15,001 - \$50,000	None		
CareDx, Inc. (CDNA)		\$15,001 - \$50,000	None		
Castlight Health, Inc. Class B (CSLT)		\$15,001 - \$50,000	None		
Celcuity Inc. (CELC)		\$15,001 - \$50,000	None		
Christopher & Banks Corporation (CBK)		None	None		
CommVault Systems, Inc. (CVLT)		\$15,001 - \$50,000	None		
Constant Contact, Inc. (CTCT)		None	Capital Gains	None	\$15,001 - \$50,000
CREF-Bond Market		\$1,001 - \$15,000	None		
CREF-Social Choice		\$50,001 - \$100,000	None		
CREF-Stock		\$50,001 - \$100,000	None		
Cross Country Healthcare, Inc. (CCRN)		None	Capital Gains	None	\$2,501 - \$5,000
Dream Unlimited Corp Ordinary Shares (DRUNF)		None	Capital Gains	None	\$1,001 - \$2,500
Equinix, Inc. (EQIX)		\$15,001 - \$50,000	Capital Gains	None	\$15,001 - \$50,000
Everbridge, Inc. (EVBG)		\$15,001 - \$50,000	None		
Five9, Inc. (FIVN)		\$50,001 - \$100,000	Capital Gains	\$201 - \$1,000	None
Flexion Therapeutics, Inc. (FLXN)		\$15,001 - \$50,000	None		
Gamestop Corporation (GME)		None	Capital Gains	None	\$5,001 - \$15,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
General Finance Corporation (GFN)		\$50,001 - \$100,000	None		
General Finance Corporation - Cumulative Redeemable Perpetual Preferred Series C (GFNCP)		\$1,001 - \$15,000	None		
Health Insurance Innovations, Inc Class A (HIIQ)		None	Capital Gains	None	\$5,001 - \$15,000
Instructure, Inc. (INST)		\$15,001 - \$50,000	None		
Insys Therapeutics, Inc. (INSY)		None	Capital Gains	None	\$5,001 - \$15,000
Invuity, Inc. (IVTY)		None	Capital Gains	None	\$1,001 - \$2,500
iShares 7-10 Year Treasury Bond ETF (IEF)		None	Capital Gains	None	\$1 - \$200
Lumber Liquidators Holdings, Inc (LL)		\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000	None
Marketo, Inc. (MKTO)		None	Capital Gains	None	\$2,501 - \$5,000
Navigator Holdings Ltd. Ordinary Shares (NVGS)		\$15,001 - \$50,000	None		
Neovasc Inc Common Shares (NVCN)		\$1,001 - \$15,000	None		
Netsuite Inc (N)		None	Capital Gains	None	\$15,001 - \$50,000
New Relic, Inc. (NEWR)		\$50,001 - \$100,000	None		
Oracle Corporation (ORCL)		None	Capital Gains	None	\$201 - \$1,000
Penumbra, Inc. (PEN)		None	Capital Gains	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Power Solutions International, Inc. (PSIX)		\$15,001 - \$50,000	None		
Pure Storage, Inc. Class A (PSTG)		None	Capital Gains	None	\$2,501 - \$5,000
Red Hat, Inc. (RHT)		\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000	\$5,001 - \$15,000
Royal Gold, Inc. (RGLD)		None	Capital Gains	None	\$5,001 - \$15,000
Rudolph Technologies, Inc. (RTEC)		\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000	\$2,501 - \$5,000
Sally Beauty Holdings, Inc. (SBH)		None	Capital Gains	None	\$201 - \$1,000
SecureWorks Corp Class A (SCWX)		\$15,001 - \$50,000	None		
Sprouts Farmers Market, Inc. (SFM)		None	Capital Gains	\$15,001 - \$50,000	None
Suncor Energy Inc. (SU)		\$15,001 - \$50,000	None		
Talend S.A American Depositary Shares (TLND)		\$15,001 - \$50,000	None		
The Fresh Market, Inc. (TFM)		None	Capital Gains	None	\$5,001 - \$15,000
TIAA-CREF Pension Plan		\$100,001 - \$250,000	None		
TIAA-Real Estate		\$15,001 - \$50,000	None		
Tivity Health, Inc. (TVTY)		None	Capital Gains	None	\$5,001 - \$15,000
VanEck Vectors Junior Gold Miners ETF (GDXJ)		None	Capital Gains	None	\$2,501 - \$5,000
WPX Energy, Inc. (WPX)		\$50,001 - \$100,000	None		

Asset	Owner Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Xactly Corporation (XTLY)	None	Capital Gains	\$2,501 - \$5,000	None

SCHEDULE C: EARNED INCOME

Source	Туре	Amount Current Year to Filing	Amount Preceding Year
United States Department of State	salary	N/A	\$148,880.00
Washington Post	article	N/A	\$250.00
The Atlantic Magazine	articles	N/A	\$400.00
Al Jazeera TV	honoraria for TV appearances	N/A	\$1,000.00
East West Center	honorarium for speaking appearance	N/A	\$200.00

SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Туре	Amount of Liability
Gagnon Securities	2017	Bloomin Brands, short sale, open	\$10,000 - \$15,000
Gagnon Securities	2017	CarGurus, short sale, open	\$15,001 - \$50,000
Gagnon Securities	2017	Carnival Corp, short sale, open	\$15,001 - \$50,000
Gagnon Securities	2017	Colgate Palmolive, short sale, open	\$15,001 - \$50,000
Gagnon Securities	2017	Dineequity INC, short sale, open	\$15,001 - \$50,000
Gagnon Securities	2016	Brinker International, short sale, open	\$10,000 - \$15,000
Gagnon Securities	2017	Federal Realty Invst, short sale, open	\$15,001 - \$50,000
Gagnon Securities	2016	GGP INC, short sale, open	\$15,001 - \$50,000
Gagnon Securities	2017	General Mills, short sale, open	\$15,001 - \$50,000
Gagnon Securities	2017	Kellogg Company, short sale, open	\$10,000 - \$15,000
Gagnon Securities	2017	Macerich Co, short sale, open	\$15,001 - \$50,000
Gagnon Securities	2017	Mimedx Co, short sale, open	\$10,000 - \$15,000

Owner	Creditor	Date Incurred	Туре	Amount of Liability
	Gagnon Securities	2017	Post Hldgs INC, short sale, open	\$15,001 - \$50,000
	Gagnon Securities	2017	Royal Carribean Cruises, short sale, open	\$15,001 - \$50,000
	Gagnon Securities	2016	Tanger Factory Outlets, short sale, open	\$15,001 - \$50,000
	Gagnon Securities	2016	Sonic Corp, short sale, open	\$15,001 - \$50,000
	Gagnon Securities	2016	Simon Ppty Grp, short sale, open	\$15,001 - \$50,000
	Gagnon Securities	2016	Taubman Centers Inc, short sale, open	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Member, Board of Advisors	Inle Advisory Group

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
1992	Ford Foundation	Continuing participation in Employee Benefit Plan: I will continue to participate in Ford Foundation TIAA-CREF plan. Ford has not made further contributions since my resignation.
2001	Human Rights Watch	Continuing participation in Employee Benefit Plan: I will continue to participate in Human Rights Watch TIAA-CREF plan. Human Rights Watch has not made further contributions since my resignation.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

© Yes © No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ○ No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Tom Malinowski, 11/24/2017