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UNITED STATES HOUSE OF REPRESENTATIVES
2013 FINANCIAL DISCLOSURE STATEMENT

Form A

For Use by Members, Officers, and Employees

Name: Jose A. Garcia

Daytime Telephone: 202 225-2778

REPORT TYPE		
✓ (circled)	2013 Annual (Due: May 15, 2014)	Amendment

FILER STATUS		
✓	Member of or Candidate for U.S. House of Representatives	State: Florida District: 26
	Officer or Employee	Employing Office:
	Termination Date:	

(Office Use Only)

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child:

a. Own any reportable asset that was worth more than $1,000 at the end of the reporting period? **or**

b. Make more than $200 in unearned income from any reportable asset during the reporting period?   Yes ✓   No ☐

B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding $1,000 during the reporting period?   Yes ☐   No ✓

C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of $200 or more during the reporting period?   Yes ☐   No ✓

D. Did you, your spouse, or your dependent child have any reportable liability (more than $10,000) at any point during the reporting period?   Yes ☐   No ✓

E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?   Yes ☐   No ✓

F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?   Yes ☐   No ✓

G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than $350 in value from a single source during the reporting period?   Yes ☐   No ✓

H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than $350 in value from a single source during the reporting period?   Yes ✓   No ☐

I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?   Yes ☐   No ✓

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.   Yes ☐   No ✓

TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?   Yes ☐   No ✓

EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.   Yes ☐   No ✓

BLOCK A – Asset and/or Income Source

Identify (a) each asset held for investment or production of income and with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use only ticker symbols).

For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over $5,000, list every financial institution where there is more than $1,000 in interest bearing accounts.

For rental and other real property held for investment, provide a complete address or description, e.g., "rental property;" and a city and state.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.

For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.

BLOCK B – Value of Asset

Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."

*Column M is for assets held by your spouse or dependent child in which you have no interest.

BLOCK C – Type of Income

Check all columns that apply. For accounts that generates tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.

BLOCK D – Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.

*Column XII is for assets held by your spouse or dependent child in which you have no interest.

BLOCK E – Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in the reporting period.

If only a portion of an asset was sold, please indicate as follows: (S (part)).

Leave this column blank if there are no transactions that exceeded $1,000.

P, S, S(part), or E

SP, DC, JT	Asset and/or Income Source	EIF	None (A)	$1-$1,000 (B)	$1,001-$15,000 (C)	$15,001-$50,000 (D)	$50,001-$100,000 (E)	$100,001-$250,000 (F)	$250,001-$500,000 (G)	$500,001-$1,000,000 (H)	$1,000,001-$5,000,000 (I)	$5,000,001-$25,000,000 (J)	$25,000,001-$50,000,000 (K)	Over $50,000,000 (L)	Spouse/DC Asset over $1,000,000* (M)	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify; e.g., Partnership Income or Farm Income)	None (I)	$1-$200 (II)	$201-$1,000 (III)	$1,001-$2,500 (IV)	$2,501-$5,000 (V)	$5,001-$15,000 (VI)	$15,001-$50,000 (VII)	$50,001-$100,000 (VIII)	$100,001-$1,000,000 (IX)	$1,000,001-$5,000,000 (X)	Over $5,000,000 (XI)	Spouse/DC Asset with Income over $1,000,000* (XII)	Transaction
	SP																						Royalties													S(part)
	Examples: Mega Corp. Stock				Indefinite		x		x								x									x										
	Simon & Schuster																						Partnership Income												
	ABC Hedge Fund	x																				✓			✓											
	Black Rock Energy + Resources Inv.94				✓																✓			✓											
	Black Rock Global				✓																✓				✓										
	Invesco R.E. Inv				✓																✓				✓										
	Maxim Aggressive Profile				✓																✓				✓										
	Maxim S+P 500 Index				✓																✓				✓										
	Maxim S+P Small Cap 600				✓																✓				✓										

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

BLOCK A — Asset and/or Income Source

BLOCK B — Value of Asset

	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*

BLOCK C — Type of Income (NONE, DIVIDENDS, RENT, INTEREST, CAPITAL GAINS, EXCEPTED/BLIND TRUST, TAX-DEFERRED, Other Type of Income (Specify: e.g., Partnership Income or Farm Income))

BLOCK D — Amount of Income (I None, II $1-$200, III $201-$1,000, IV $1,001-$2,500, V $2,501-$5,000, VI $5,001-$15,000, VII $15,001-$50,000, VIII $50,001-$100,000, IX $100,001-$1,000,000, X $1,000,001-$5,000,000, XI Over $5,000,000, XII Spouse/DC Asset with Income over $1,000,000*)

BLOCK E — Transaction (P, S, S(part), or E)

ASSET NAME (SP, DC, JT / EIF)	Block B	Block C	Block D
Merrill Lynch MM	E	TAX-DEFERRED	None
State of Florida	E	TAX-DEFERRED	None
Deferred Comp PLAN	E	TAX-DEFERRED	None
ING-Fixed Asset	F	Other Type of Income	$1-$200
State of Florida	G	Other Type of Income	$201-$1,000
Retirement-DROP			
Intermediate Bond Fund			

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $350 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Privately-sponsored travel approved by the Ethics Committee, if post-travel disclosure was filed with the Clerk; travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

	Source	Date(s)	City of Departure – Destination – City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Examples:	Government of China (MECEA)	Aug. 8-11	DC-Beijing, China - DC	Y	Y	N
	Habitat for Humanity (charity fundraiser)	Mar. 3-4	DC-Boston-DC	Y	Y	Y
	American Israel Education Fndn	Aug 4-12	Miami - Israel - Miami	Y	Y	N
	Joe Garcia for Congress	Aug 14-19	Miami - Colombia - Miami	Y	Y	N

Use additional sheets if more space is required.