

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1 of 11  
 For use by Members, officers, and employees

Earl Blumenauer

(Full Name)

(Daytime Telephone)

2013 MAY 23 PM 2:42

OFFICE USE ONLY

*Handwritten initials*

**Filer Status**  
☒ Member of the U.S. House of Representative  
 State: OR District: 03

☐ Officer Or Employee  
 Employing Office:

**Report Type**  
☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$360 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$360 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

**IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<b>IPO--</b> Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Trusts--</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name Earl Blumenauer

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Public Employees Retirement System, Oregon	Pension	\$37,884
Northwest Natural Gas	Spouse Salary	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Earl Blumenauer

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BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>		<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP	Ariel Growth Fund - retirement	\$15,001 - \$50,000	None	NONE	
	Campbell - Nob Hill NW 23rd and Hoyt Portland, OR	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	P
SP	Cohen & Steers Realty Share - retirement	\$1,001 - \$15,000	None	NONE	
	Congressional Credit Union	\$100,001 - \$250,000	INTEREST	\$1 - \$200	
SP	DFA US Large Cap Value - retirement	\$15,001 - \$50,000	None	NONE	
	Duplex 510 6th St. SE Washington, DC	\$500,001 - \$1,000,000	RENT	\$15,001 - \$50,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Europacific Growth Fund (American) - retirement	\$1,001 - \$15,000	None	NONE	
	Federal Thrift Savings Plan	\$1,000,001 - \$2,000,000	None	NONE	
	Glisan Street Apts 1701 NW Glisan Portland, OR	\$15,001 - \$50,000	RENT	\$2,501 - \$5,000	

SP	Ishares KLD Select Social Fund - retirement	\$50,001 - \$100,000	None	NONE	
	Nordel TIC 2166 NW Irving Portland, OR	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	

SP	Northwest Natural Gas - retirement	\$100,001 - \$250,000	None	NONE	P
SP	Northwest Natural Gas Company - Common Stock (part of retirement and compensation package)	\$250,001 - \$500,000	None	NONE	P

	OnPoint Community Credit Union	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
SP	Oregon College Savings Plan for DC2 1-3 Years to College Portfolio	\$100,001 - \$250,000	NA	NA	

	Parnessus Equity Income	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Pax World High Yield Fund- retirement	\$15,001 - \$50,000	None	NONE	
	Pettygrove House NW 23rd and Pettygrove Portland, OR	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	PIMCO Foreign Bond Fund - retirement	\$100,001 - \$250,000	None	NONE	P
SP	PIMCO Total Return III Fund - retirement	\$250,001 - \$500,000	None	NONE	P
	PIMCO Total Return III Fund - retirement	\$15,001 - \$50,000	None	NONE	
	Portfolio 21	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Portfolio 21 - retirement	\$100,001 - \$250,000	None	NONE	P
SP	Rydex SGI Mgd Futures - became Guggenheim Mgd Futures - retirement	\$15,001 - \$50,000	None	NONE	
	Schwab Money Market	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	Schwab Money Market - retirement	\$1,001 - \$15,000	None	NONE	
	Schwab Money Market - retirement	\$1 - \$1,000	None	NONE	
SP	SEI Emerging Markets Debt International- retirement	\$50,001 - \$100,000	None	NONE	P
SP	SEI Emerging Markets Equity - retirement	\$50,001 - \$100,000	None	NONE	
SP	T. Rowe Price Retirement - Blackrock 2020 - retirement	\$100,001 - \$250,000	None	NONE	P
	Townhouse (1/2 interest) 2441 NE Weidler Portland, OR	\$100,001 - \$250,000	None	NONE	
SP	Vacation House (1/2 interest) Deer Isle, Maine	\$100,001 - \$250,000	None	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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	Vanguard Short Term Inv Grade - retirement	\$15,001 - \$50,000	None	NONE	
SP	Vanguard Short Term Inv Grade - retirement	\$50,001 - \$100,000	None	NONE	
	Westcott LLC 1701 NW Glisan Portland, OR	\$100,001 - \$250,000	RENT	\$1,001 - \$2,500	
SP	Winslow Green Growth Investor - merged with and became Brown Advisory Winslow - retirement	\$1,001 - \$15,000	None	NONE	E

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Campbell - Nob Hill additional share purchased NW 23rd and Hoyt Portland, OR	P	N/A	12/31/12	\$1,001 - \$15,000
SP	Northwest Natural Gas - retirement	P	N/A	3/21/12	\$1,001 - \$15,000
SP	Northwest Natural Gas Company - Common Stock (part of retirement and compensation package) dividend reinvestment	P	N/A	2-15-12 5-15-12 8-15-12 11-15-12	\$1,001 - \$15,000
SP	PIMCO Foreign Bond Fund - retirement capital gain reinvestment	P	N/A	12-12-12	\$1,001 - \$15,000
SP	PIMCO Foreign Bond Fund - retirement dividend reinvestment	P	N/A	12-27-12	\$1,001 - \$15,000
SP	PIMCO Total Return III Fund - retirement capital gain reinvestment	P	N/A	12-12-12	\$1,001 - \$15,000
SP	PIMCO Total Return III Fund - retirement dividend reinvestment	P	N/A	12-27-12	\$1,001 - \$15,000
SP	Portfolio 21 - retirement dividend reinvestment	P	N/A	12-11-12	\$1,001 - \$15,000
SP	SEI Emerging Markets Debt Int'l - retirement capital gain reinvestment	P	N/A	12-10-12	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.  
Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.  
\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
SP	SEI Emerging Markets Debt Int'l - retirement dividend reinvestment	P	N/A	12/26/12	\$1,001 - \$15,000
SP	T. Rowe Price Retirement - Blackrock 2020 - retirement	P	N/A	1-11-12 1-23-12 2-7-12 2-22-12 3-7-12 3-21-12	\$15,001 - \$50,000
SP	Winslow Green Growth Investor - merged with and became Brown Advisory Winslow - retirement	E	N/A	10-19-12	\$1,001 - \$15,000



# SCHEDULE V - LIABILITIES

Name Earl Blumenauer

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. \*This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	M & T Realty Buffalo, NY	February, 2004	Mortgage Glisan Townhouses 1701 NW Glisan Portland, OR	\$15,001 - \$50,000
	M & T Realty Buffalo, NY	January, 2010	Mortgage/Westcott LLC Glisan Townhouses 1701 NW Glisan Portland, OR	\$50,001 - \$100,000
	Key Bank Portland, OR	March, 2004	Mortgage Campbell-Nob Hill NW 23rd and Hoyt Portland, OR	\$100,001 - \$250,000
	First Republic Bank Portland, OR (Refinance from First Independent Bank)	October, 2012	Mortgage Pettygrove House NW 23rd and Pettygrove Portland, OR	\$100,001 - \$250,000
	Chase Portland, OR	December, 2011	Mortgage Nordel TIC 2166 NW Irving Portland, OR	\$50,001 - \$100,000
	Ruth Sprinkling	July, 2004	Mortgage 2441 NE Weidler Portland, OR	\$50,001 - \$100,000
	Fannie Mae Herdon, VA Refinance from Bank of America	April, 2012	Mortgage Personal Residence	\$250,001 - \$500,000

# **SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name Earl Blumenauer

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Alliance for Health Reform	January 20- January 22	Charlotte, NC - Ft. Lauderdale, FL- Washington, DC	Y	Y	N	None
Aspen Institute	March 30 - April 7	Washington, DC - San Paolo, Brazil - Portland, OR	Y	Y	Y	3 days
Rail-Volution	October 14 - October 16	Houston, TX - Los Angeles - Portland, OR	Y	Y	N	None

# SCHEDULE IX - AGREEMENTS

Name Earl Blumenauer

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
5/30/96	Earl Blumenauer and the State of Oregon	Participant in defined benefit plan

**EARL BLUMENAUER**  
THIRD DISTRICT, OREGON

COMMITTEE ON WAYS AND MEANS  
SUBCOMMITTEE ON HEALTH

COMMITTEE ON BUDGET



**Congress of the United States**  
**House of Representatives**  
**Washington, DC 20515-3703**

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May 10, 2013

The Honorable Karen L. Hass  
Clerk of the House  
Legislative Resource Center  
B 106 Cannon House Office Building  
Washington, DC 20515-6612

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U.S. HOUSE OF REPRESENTATIVES  
2013 MAY 23 PM 2:44

Dear Ms. Hass,

Enclosed is my **2013 Financial Disclosure Statement for the 2012 Calendar Year.**

If you have any questions regarding this statement, please do not hesitate to contact my Chief of Staff, Julia Pomeroy, at 503-231-4824.

Sincerely,

Earl Blumenauer  
Member of Congress