



Filing ID #10003279

# FINANCIAL DISCLOSURE REPORT

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Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

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## FILER INFORMATION

**Name:** R Adam King  
**Status:** Congressional Candidate  
**State/District:** CA37

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2014  
**Filing Date:** 06/3/2014

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset   | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|----------------|----------------|-------------------------------|-----------------------|
| Grail Semiconductor   |       | Undetermined   | None           |                               |                       |
| DESCRIPTION: Stocks have not become liquid and have been in trial over patent infringement. I own 41,000 shares. We won a \$124 Million Dollar Verdict, then it was overturned, now we are appealing the overturn. There are internal disputes in the company over ownership of shares. |       |                |                |                               |                       |

## SCHEDULE C: EARNED INCOME

| Source           | Type        | Amount Current Year to Filing | Amount Preceding Year |
|------------------|-------------|-------------------------------|-----------------------|
| Origination Fees | Commissions | \$15,000                      | \$15,000              |

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

| Date     | Parties To                | Terms of Agreement  |
|----------|---------------------------|---|
| May 2014 | Upstream Capital Advisors | Consultants Agreement, fees given on a deal per deal basis. |

## **SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

None disclosed.

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **COMMENTS**

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** R Adam King , 06/3/2014