X

Name ALLYSON Y. SCHWARTZ

Page 2 of 7

## SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

exceeding \$1,000. See examples below. <b>Exclude:</b> Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.	efits received under the Social Se	curity Act.
Source	Туре	Amount
Keene State	Approved Teaching Fee	\$6,000
Examples: State of Maryland	Legislative Pension Spouse Speech	\$9,000 \$1,000
Ontario County Board of Education	Spouse Salary	NA
Commonwealth of PA	legislation leasien	18,340
University of Cennsylvania Heall System	Specses Salary	NA

Page 3 of 7

<u>د (</u>	4	4	Ϋ́	47	77	Ę	DÇ,	SP.	reproduced the second s	tde du ex od inc lan na ticl pla
Franklin Templeton Beacon	Vanguard Health Care	VARSUAR Windser I	1- delity MAgellAn	Fidelity Equity I	Fidelity Growko Income		Examples:	SP Mega Corp. Stock	provide the value and incurre innormation on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.  Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling \$5,000 or less in personal savings accounts; and any financial interest in or income derived from U.S. Government retirement programs.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK A  Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments).
Y	Υ	×	*	*	*	×		×	None	BLOCK B  Value of Asset  Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.  If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."
*	*	*	*	*	*	×	Royalties	×	NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST  Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Type of Income  Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets including all IFAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" it asset did not generate any income during calendar year.
×	*	×	X	*	>>	×	×	×	None       -         \$1 - \$200       =         \$201 - \$1,000       ≡         \$1,001 - \$2,500       ⋜         \$2,501 - \$5,000       <	Amount of Income  For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.
								S (partial)	portion of an asset is sold, please indicate as follows:  (S) (partial) See below for example.  P, S, E	BLOCK E Transaction Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

SP

 $\dashv$ 

8

PNCBANK Wells Fasse / Wachevia Mutual Bonds Schwab -Schunt - Flanklin Templeton Beacon UBS. T. Name Price Sonall CAP Lincolar Relaman Social Amareness Commonwealk of 10 - Referred from the compensation Schwab. T. Rave Price Synut Comp UBS - T. Rowe Price Small lar Lincoln Belauna Spenal Offiction to Lincoln Delaware Bona CINCOLN Asset and/or income Source Israel, Bonds Schwab 500 Lincoln DelAMAR Growth & Lucon LANGUARD SIC INDEX VANSUARD Incoln Jans Capital Approinten Fidelity Tillowe Price Small Coap Fidelit, Aberdeen Freedom BLOCK A Health lan Fixed Account 0 500 Index Index Þ None ₩ \$1 - \$1,000 ×  $\sim$ O \$1,001 - \$15,000 メメ × メ  $\times$ σ \$15,001 - \$50,000 Value of Asset  $\times | \times$  $\times | \times$ т \$50,001 - \$100,000 Year-End BLOCK B  $\succ$  $\times$ \$100,001 - \$250,000 ರ \$250,001 - \$500,000 I \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 ے \$5,000,001 - \$25,000,000 ᄌ \$25,000,001 - \$50,000,000 Over \$50,000,000 NONE X  $\times \times$ **≺** 75 X X ~ **DIVIDENDS** Κ, RENT X INTEREST of Income BLOCK C Κ × < K ~ ×  $\prec$ K CAPITAL GAINS Type  $\langle$ EXCEPTED/BLIND TRUST Retiremeni Name Ξ Ξ Ξ -ç  $\tilde{\phantom{a}}$ = = Other Type of Income = ~ = Ξ Ξ 7 (Specify) Trison Y. SCHURRIZ  $\times$ × None = \$1 -- \$200 Amount of Income XI ISTA IIA IA A AI III \$201 - \$1,000 \$1,001 - \$2,500 BLOCK D × \$2,501 - \$5,000 × × × × \$5,001 - \$15,000  $\sim$ × ×  $\times$ \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 × \$1,000,001 ~ \$5,000,000 ≚ Over \$5,000,000 Page 4 of 7 Transfer Transfor Transaction Transfer Transfer BLOCK E ந்தி

35 -3

This page may be copied if more space is required.

Continuation Sheet (if needed)

Name ALYSON Y. SCHWARTZ

72 Page Sof 7

Wanter   W		BLOCK A Asset and/or Income Source		Yea Value	Year-End Value of Asset	et	<u></u>		Type of Income	ome
House   State   Stat	SP,		ВС	Ш	<u>ධ</u>	000 ⊆	.,,000			USI
DIANGUARD US GROUTE X X X LEAN Black Rock 500  THAN loads Fixed Records  THAN loads Fixed Records  THAN loads Ground Trecome  X X X X X X X X X X X X X X X X X X X	DC, JT		\$1 - \$1,000 \$1,001 - \$15,000	\$50,001 - \$100,000	\$250,001 - \$500,000 \$500,001 - \$1,000,000	\$5,000,001 - \$25,000,000	Over \$50,000,000	DIVIDENDS	CAPITAL GAINS	
Black Rock 500  TIAA POREF Fixed Accounts  THAA POREF Grant From  THAA POREF Grant From  WHYS Universal 500  WHYS VANGARD 500  WHYS VANGARD 500  WANGARD Wellington  Wangard Wallington  W	υS	50,		×				×	×	Retirement
THAN CARE Fixed Accombs  THAN CARE Grown Accombs  THAN CARE Grown Arcombs  THAN CARE Grown Arcombs  THAN CARE Grown Arcombs  WHYS UANGER Grown Arcombs  WHYS UANGER Grown Arcombs  WHYS VANGER VS Grown X  WANGER Wellington  WANGER Wellington  Than Meal Festive Charles  Thouse - 3 fospellialt, True MA  PARCHAM. Charling Accts  Mean  Mean  Mean  Mean  Mean  Mean  Mean  Mean  Mean  MA  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Ma  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  Mean  MA  MA  Mean  MA  MA  MA  MA  MA  MA  MA  MA  MA  M	SC	1 50		×				<u> </u>	X	11
THAP PERE GREAT Change XX	J	Fixed	<b>*</b>			-			X	(1
House - 3 dospol last, True MA  DARGAM - Checky Acct.	کل .	Gear 16	X					~	Y	11
WPHS VANGEMENTED XX X X X X Y IN WARSON Wellington X X X X X X X X X X X X X X X X X X X	35	SOCIAL	Х					<b>~</b>	<	ני
WOHS VANGEMENT X X X X X X X X X X X X X X X X X X X	25	i i		×				X	X	(-
UANSUAN Wellinston  VANSUAN Wellinston  VANSUAN Wellinston  VANSUAN Wellinston  TIAA Neal Estate  Y  CRIF Social Choice  T-C Life Oscle  Firstlerive Williams Co. 23 TST Projection  X  M  W  X  W  X  W  X  W  X  W  X  W  X  W  X  M  M  Mean  PACOLANA Checking Accti  Real  Real	S			<b>X</b>				<	Υ	tr
Vangunt Wellington  Vangunt Wellington  TIAA Neal Parties  Crist Social Chorace  T-C Life Cycle  First Service Williams & - 2378 Properties  **X X X X X X X X X X X X X X X X X X	J.	Vanguard US	~		-	-		メ	<u></u>	C.
TIAA Neal Festate  TIAA Neal Festate  COREF Social Chorpe  T-C Life Cycle  First frame Williams Go - 23 951 Properties  Manual Chorpe Isale, Tevic MA  North Checking Acets  Real  Real  Real	2/	1 ~		メ				~	<	2
CRIF Social Change  CRIF Social Change  T-C Life Cycle  Fust Review Williams & -23784 Properties  House - 3 bospol Rate, Tevic MA  MCNamh - Checking Acti	50	[		×				<	<	=
CREF Social Change  T-C Life Cycle  Kustyrine Williams & - 2378t Properties  House - 3 Gospel Park, Truce MA  PARCHAM - Checking Acets  X  X  X  Remi	26	Nen!		*	-	-		×	~	2
T-C Life Cycle  Kirst Review Williams & -23784 Properties  House - 3 Gospel Mark, Truc MA  Menitus  PACManh - Checking Acts  X  X  Reni	35			×				^	Κ	2
First Service W. Mans Co. 2378 Properties X Limited House - 3 Gospel Park, Truce MA  N. M. Mark Checking Acots  X X Rewi	2	1.7.	ベ					<u> </u>	Κ.	1
And Checking Acts  X  Reni  X  X	2 K	1				 		~	<u> </u>	Lent Krtnk
· Chekus Act	11	- 3 Gospel CAK, Truc			×					Rental
	17			~					×	
									<u> </u>	

## SCHEDULE IV - TRANSACTIONS

Name ALYSON Y. SHUMPTL

Page 6 of 7

								(Transferral from UBS to Schwab)	SP Ti Rowe Price Small loop (Transfer)	(Transformed from UBS to Schwab)	T. Rowe Price Small Cap (Transfer)	Example: Mega Copor	SP, DC, JT Asset	Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.	cate (i.e., "partial sale"). See example below.	resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent chil-	Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that
														PURC	HASE		Type of Transaction
												×		SALE			Type ansac
			-						X		×			EXCH	ANGE		tion
														Check E Gain Ex	Box if C	Capitał d \$200	)
									7-20-09		7-29.09	10-12-09		Bi-weekly, if applicable	Quarterly,	(MO/DAY/YR)	Date
										:	·			\$1,001- \$15,000		0	
												×		\$15,001- \$50,000		ဂ	
									X		×			\$50,001- \$100,000	) 	0	Amo
			ļ		_								+	\$100,00° \$250,000	)	m	ant
		 												\$250,00° \$500,000	)	71	of T
						ļ							_	\$500,001 \$1,000,0	00	G	Amount of Transaction
														\$1,000,0 \$5,000,0	00	I	actio
		 	1						-			$  \cdot  $		\$5,000,0 \$25,000, <b>\$25,000</b> ,	000	-	ă
_													-	\$50,000, Over		_	-
	L													\$50,000,	000		

Name
hu
450rd
~
SCHWARTZ

Page 7 of 7

## SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure — Destination — City of Return	Lodging? (Y/N)	Fo <i>o</i> d? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	z	z	Z	None
Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Υ	<	Y	2 Days
Commonwealth Fund/	Jan 16-17	Fort Landordale, FL	٨	<b>Y</b>	N	NONE
Reform						
Bipurasan Health Blay Confrage	(4					
			<u>.</u>			
						_