₹ ≡ PRELIMINARY INFORMATION --EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS **CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT** Report Status Filer Exemptions-If yes, complete and attach Schedule V. than \$10,000) during the reporting period? reportable asset in a transaction exceeding \$1,000 during the reporting Did you, your spouse, or dependent child purchase, sell, or exchange any Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth If yes, complete and attach Schedule II. or more from any source in the reporting period? Did you, your spouse, or a dependent child have any reportable liability (more if yes, complete and attach Schedule III. you for a speech, appearance, or article in the reporting period? Did any individual or organization make a donation to charity in lieu of paying if yes, complete and attach Schedule I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 more than \$1,000 at the end of the period? If yes, complete and attach Schedule IV. <u>S</u> く Member of the U.S. House of Representatives Annual (May 15) Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics Barney Frank (Full Name) **ANSWER EACH OF THESE QUESTIONS** Amendment State: District: 4 ₹ Yes ğ ĕ Yes Yes < Termination Š Z 20 몽 공 < <u>S</u> < Employee Officer Or VIII. current calendar year? **≦** ≤ × For use by Members, officers, and employees Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise Did you, your spouse, or a dependent child receive any reportable travel reimbursements for travel in the reporting period (worth more than \$335 schedule attached for each "Yes" response. Each question in this part must be answered and the appropriate If yes, complete and attach Schedule IX entity? Did you have any reportable agreement or arrangement with an outside If yes, complete and attach Schedule VI. exempt)? If yes, complete and attach Schedule VIII Did you hold any reportable positions on or before the date of filing in the If yes, complete and attach Schedule VII. from one source)? Termination Date **Employing Office** (Daytime Telephone) 202-225-5931 SÜ A \$200 penalty shall PENGERESOURCE CLIVE I JUN 24 PH 3: 39 more than 30 days anyone who files be assessed against (Office Use Only) ĕs ğ ĕs ğ š Ύes < < ₹ **** 8 8 ĕ Z Š Š < <

UNITED STATES HOUSE

OF REPRESENTATIVES

FORM A

Page 1 of 8

HAND DELIVERED

Equity Portfolio CL A	Equity Portfolio CL A	Equity Portfolio CL A	Citibank Deposit Program	Calvert Social INVT FD	Barney Frank for Congress	Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearmed" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting
\$15,001 - \$50,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$50,001 - \$100,000	\$15,001 - \$50,000	\$50,001 - \$100,000	Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market vatue, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."
DIVIDENDS/CAPI TAL GAINS	DIVIDENDS/CAPI TAL GAINS	DIVIDENDS/CAPI TAL GAINS	INTEREST	DIVIDENDS/CAPI TAL GAINS	None	Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.
NDS/CAPI \$2,501 - \$5,000 INS	NONE	NONE	NONE	\$2,501 - \$5,000	NONE	Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earmed or generated.
·						BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

|--|

\$T CONS LN- \$50,001 - \$100,000 \$100,000 INTEREST \$50,001 - \$100,000 INTEREST \$100,000 INTEREST \$100,000 INTEREST \$100,000 INTEREST \$15,001 - \$15,000 INTEREST \$15,000 CAPITAL GAINS EV SER A \$50,000	SCHED	Equity Portfolio CL A Equity Portfolio CL A MA State TPK Authority MET HWY Sys Massachusetts H&E FACS AUTH REV Partners HLTCRE SYS G Massachusetts HLTH&EDL FACS AU REV Dana Farber Cancer INST-K Massachusetts School BLDG AU Dedicated Sales Tax-A- AMBAC Massachusetts ST CONS LN SER B Massachusetts ST Cons LN SER C Limit Tax	Name Barney Frank \$15,001 - \$15,000 - \$15,000 - \$50,000 - \$100,000 - \$15,001 - \$15,001 - \$15,001 - \$15,001 - \$15,000 - \$15,001 - \$15,000 - \$15,001 - \$15,000	CAPITAL GAINS CAPITAL INTEREST INTEREST CAPITAL GAINS CAPITAL GAINS	NONE NONE \$2,501 - \$5,000 \$201 - \$1,000 \$1 - \$200
chusetts ST Cons LN \$1,001 - CAPITAL GAINS Limit Tax \$50,001 - INTEREST chusetts ST CONS LN- \$50,001 - INTEREST FSA \$50,001 - INTEREST chusetts ST G/O REF \$50,001 - INTEREST MBTA \$1,001 - INTEREST SG REV Rental-MTG-B- \$15,000 INTEREST Shusetts ST SPL OBLIG \$15,001 - INTEREST CAPITAL GAINS \$15,000 CAPITAL GAINS	; ; }	Massachusetts ST CONS LN SER B	\$15,001 - \$50,000		\$1 - \$200
chusetts ST CONS LN- \$50,001 - INTEREST chusetts ST G/O REF \$50,001 - INTEREST FSA \$100,000 INTEREST MBTA \$50,001 - INTEREST MBTA \$1,001 - INTEREST SG REV Rental-MTG-B- \$15,000 INTEREST Chusetts ST SPL OBLIG \$15,001 - CAPITAL GAINS ted Tax REV SER A \$50,000 CAPITAL GAINS		Massachusetts ST Cons LN SER C Limit Tax	\$1,001 - \$15,000	CAPITAL GAINS	NONE
achusetts ST G/O REF C FSA achusetts ST G/O REF C MBTA achusetts ST HSG FIN HSG REV Rental-MTG-B- AMBAC achusetts ST SPL OBLIG ated Tax REV SER A \$50,001 - \$100,000 INTEREST INTEREST S15,000 INTEREST ATEN S15,000 CAPITAL GAINS		Massachusetts ST CONS LN- SER C	\$50,001 - \$100,000	INTEREST	\$5,001 - \$15,000
achusetts ST G/O REF C MBTA \$50,001 - \$100,000 INTEREST ACHUSETT ST HSG FIN HSG REV Rental-MTG-B- AMBAC achusetts ST SPL OBLIG ated Tax REV SER A \$50,000 INTEREST \$15,000 - CAPITAL GAINS		Massachusetts ST G/O REF SER C FSA	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500
achusetts ST HSG FIN HSG REV Rental-MTG-B- AMBAC achusetts ST SPL OBLIG ated Tax REV SER A \$1,001 - \$15,000 \$15,000 CAPITAL GAINS		Massachusetts ST G/O REF SER C MBTA	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500
achusetts ST SPL OBLIG \$15,001 - CAPITAL GAINS ated Tax REV SER A \$50,000		Massachusetts ST HSG FIN AGY HSG REV Rental-MTG-B- AMT-AMBAC	\$1,001 - \$15,000	INTEREST	NONE
		Massachusetts ST SPL OBLIG Dedicated Tax REV SER A FGIC	\$15,001 - \$50,000	CAPITAL GAINS	NONE

SCHEDULE III - ASSETS AND "UNEARNED" INCOME	
Name Barney Frank	

Massachusetts State Cons LN SER B GO Book ENT \$1,001 - \$15,000 CAPITAL GAINS NONE Massachusetts State CONS Loan SER A Book Entry \$50,001 - \$100,000 INTEREST \$5,001 - \$15,000 Massachusetts WTR RES AU GENL REV SER A Book/Entry MBIA \$15,001 - \$50,000 INTEREST \$1,001 - \$2,500 Masschusetts ST Fed HMY Grant ANTIC NTS A \$15,001 - \$50,000 CAPITAL GAINS Dividend Advantage Municipal Fund NONE University of Mass BLDG AU FACL REV Senior SER A GTD \$15,001 - \$15,000 DIVIDENDS \$1 - \$2,500 INTEREST \$1,001 - \$2,500 INTEREST \$1,001 - \$2,500	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	COME Name Barney Frank	y Frank		Page 4 of 8
achusetts State CONS SER A Book Entry achusetts WTR RES AU REV SER A Book/Entry chusetts ST Fed HMY ANTIC NTS A EN Massachusetts end Advantage Municipal rsity of Mass BLDG AU REV Senior SER A GTD \$50,000 INTEREST CAPITAL GAINS \$15,001 - \$15,001 - \$15,000 DIVIDENDS S15,000 INTEREST INTEREST	Massachusetts State Cons LN SER B GO Book ENT	\$1,001 - \$15,000	GAINS	NONE	
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chusetts ST Fed HMY ANTIC NTS A EEN Massachusetts end Advantage Municipal rsity of Mass BLDG AU REV Senior SER A GTD \$15,001 - \$15,000 DIVIDENDS \$15,000 - INTEREST \$50,000	Massachusetts WTR RES AU GENL REV SER A Book/Entry	\$15,001 - \$50,000		\$1,001 - \$2,500	
EEN Massachusetts and Advantage Municipal arsity of Mass BLDG AU REV Senior SER A GTD \$15,000 \$15,001 - \$15,001 - \$15,001 - \$15,001 - \$15,001 - \$15,001 - \$15,001 -	Masschusetts ST Fed HMY Grant ANTIC NTS A	\$15,001 - \$50,000	CAPITAL GAINS	NONE	
\$15,001 - INTEREST \$50,000	NUVEEN Massachusetts Dividend Advantage Municipal Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	University of Mass BLDG AU FACL REV Senior SER A GTD	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	

SCHEDULE IV - TRANSACTIONS

Name Barney Frank

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transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange

SP, DC,	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Calvert Social INVT FD Equity Portfolio CL A	P	Yes	5-28-10	\$15,001 - \$50,000
	Commonwealth Mass GENL OBLIG-A B/E DD P	ס	8	1-12/10	\$50,001 - \$100,000
	MA H&E FACS Au REV Worcester	Ø	Yes	10/2010	\$50,001 - \$100,000
	Malden Mass RFDG MUN PURP LN L/T Assured GTY B/E GD OID	ס	S	10/15/09	\$15,001 - \$50,000
	Massachusetts ST HSG FIN AGY RFDG HSG- PSER A	ס	No	7/26/10	\$15,001 - \$50,000
	The Commonwealth of Massachusetts General Obligation Refunding Bonds	ס	No	1/21/09	\$15,001 - \$50,000

SCHEDULE VI - GIFTS

Name Barney Frank

Page 6 of 8

Report the source, a brief description, and the value of all gifts totaling more than \$335 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Rep. Chellie Pingree (ME-01) and her Fi fiance Mr. Donald Sussman W	First Class Round Trip Travel by Private Aircraft - Washington, DC to United States Virgin Islands	\$2,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Barney Frank

Page 7 of 8

spouse or dependent child that is totally independent of his or her relationship to you. Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the

2 Days	Υ	Z	~	August 26- Boston-Los Angeles-Phoenix- 31 Boston	August 26- 31	NBC Universal
Days not at sponsor's expense	Was a Family ? Food? Member Included? (Y/N) (Y/N)	Food? (Y/N)	Lodging? (Y/N)	Point of Departure DestinationPoint of Return	Date(s)	Source

TNOTES

FOOTNOTES	ES		Name Barney Frank	Page 8 of 8
Number	Section / Schedule		Footnote	This note refers to the following item
	Schedule III	Bond matured on 6/2010	/2010	Massachusetts ST CONS LN SER B
20	Schedule III	Bond matured on 6/2010	/2010	Massachusetts State Cons LN SER B GO Book ENT
ω	Schedule III	Bond matured on 12/09	2/09	Massachusetts ST Cons LN SER C Limit Tax
4	Schedule III	Bond matured on 12/09	2/09	Masschusetts ST Fed HMY Grant ANTIC NTS A
5	Schedule III	The Issuer called the bond be the principal - Full Call @ Par	The Issuer called the bond before the maturity date - refund of the principal - Full Call @ Par	MA State TPK Authority MET HWY

HALBERSTEIN & BYRNE

705 D STREET, S.B. WASHINGTON, DC 20003

FAX: (202) 546-1103

RICHARD HALBERSTEIN PH. (202) 546-1111 rickhalber@aol.com KAREN S. BYRNE Ph. (202) 543-3661 ksbyrne@erols.com

June 22, 2011

Hon. Barney Frank House of Representatives Washington, DC 20515

Att: Ms. Giesta

Re: Sales of municipal bonds in 2009-2010

Dear Congressman Frank:

At Ms. Giesta's request, I have reviewed your tax return files for 2009-2010 to determine the amounts of gain or loss from the sales of various Massachusetts state and local tax free municipal bonds during those two years. I also reviewed the e-mail Ms. Giesta received from your broker, Morgan Stanley (which I believe contains some inadvertent misstatements).

For 2009: The Morgan Stanley e-mail says you had three tax-free bonds which matured during December, 2009, for a total gross proceeds of \$70,000.00. In fact, the Morgan Stanley 2009 year-end IRS Form 1099, which we used for your tax return preparation, indicates one bond matured in May, 2009, and the other two matured in December, for a total gross proceeds of \$80,200.00. Of course, the "cost basis" for such bonds must be subtracted on the annual tax return to determine whether there is taxable gain or deductible loss. For this purpose, Morgan Stanley showed the "cost basis" for only two of the three maturing bonds, on pp. 9-10 of its year-end Form 1099. For the third bond, the Morgan Stanley broker told me (and the Form 1099 reflects) that Morgan Stanley did not keep records as to the original "cost basis" for this bond. Based on my conversation with the broker, I estimated that your "cost basis" for this third bond was the same as the maturity price, or \$20,000.00. I was told that this would be a conservative estimate, since it was believed that you would have paid more than the maturity price for this bond (as you had done for other such bonds).

At the time the 2009 tax return was prepared, I researched all of your older tax return files that I have in my office storage. I found no record of your having ever purchased this particular bond with your prior brokerage company, AG Edwards, nor did I find that particular bond in a statement of bonds transferred by you from the AG Edwards account to your current Morgan Stanley account during 2002. I concluded therefore, that you must have purchased the particular bond from Morgan Stanley during or after 2002, though that broker could find the records.

I am attaching to this letter, the following copies of documents found in your 2009 income tax files in my office:

- * Copy of 2009 IRS Schedule D (2 pp.) indicating the maturity price, date and "cost basis" for each bond, including the estimate for the bond for which Morgan Stanley did not have records; and
- * Copy of pp. 9-10 of the 2009 Morgan Stanley year-end IRS Form 1099, showing the maturity dates and maturity prices for all three bonds, and the "cost basis" for two of the three bonds.

<u>Summary for 2009</u>: The three bond maturities resulted in a net capital loss of (\$4,704). Under IRS rules, \$3,000 of this loss was allowed as a deduction on your 2009 tax return, and the remaining loss of (\$1,704) was carried over to 2010.

For 2010: The Morgan Stanley e-mail indicates that you had one tax-free bond which matured, one which was sold and one which was called during 2010, for a total gross proceeds of \$120,000.00. In fact, the Morgan Stanley 2010 year-end IRS Form 1099, which we used for your tax return preparation, indicates one bond matured in June, 2010, and four other bonds were sold (or maybe called) during May or October, for a total gross proceeds of \$252,191.90. As was true for 2009 (see above) "cost basis" must be subtracted on the tax return to calculate taxable gain or deductible loss. For this purpose, Morgan Stanley showed the "cost basis" for only four of the five total transactions on pg.10 of its year-end Form 1099. For the fifth bond, I researched your older tax files in my office storage, and found you had purchased this bond through your former brokerage company, AG Edwards, on January 2, 2002 and found the "cost basis" for this bond.

I am attaching the following copies found in your 2010 income tax files in my office:

- * Copy of 2010 IRS Schedule D (2 pp.) indicating the maturity or sale price, date and "cost basis" for each bond, including the purchase through the previous broker;
- * Copy of pg. 10 of the 2010 Morgan Stanley year-end IRS Form 1099, showing the maturity dates and maturity prices for all five bonds, and the "cost basis" for four of those five; and
- * Copy of the 2002 AG Edwards form showing the 2002 purchase of the fifth bond sold during 2010.

<u>Summary for 2010</u>: The total gain for the five bond transactions was \$ 8,938. Under IRS rules, the \$1,704 loss carried over from 2009 was allowed as a deduction on Schedule D, and therefore the net taxable gain for 2010 was \$7,234.

I hope this information is helpful.

Rick Halberstein

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Attachments as indicated

Capital Gains and Losses

►Attach to Form 1040 or Form 1040NR. ►See instructions for Schedule D (Form 1040). ► Use Schedule D-1 to list additional transactions for lines 1 and 8.

(a) Description of property (Example: 100 shares XYZ Co)	(b) Date acquire (Mo, day, yr)	d (C) Date sold (Mo, day, yr)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
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<u>-</u>	-				
		[.			
2 Enter your short-term totals, if an	y, from Schedule D-1,	line 2 2			
3 Total short-term sales price amor column (d)	unts. Add lines 1 and	2 in			
Short-term gain from Form 6252 a	•	•	• •		
5 Net short-term gain or (loss) from	partnerships, S corpo	orations, estates,	and trusts from Sche	dule(s) K-1 5	<u> </u>
Short-term capital loss carryover. Worksheet in the instructions	Enter the amount, if a	any, from line 10	of your Capital Loss	Carryover 6	
7. Net short-term capital gain or (los	s). Combine lines 1 th	rough 6 in colum	n (f)	7.	Nation (C. J. John C. C. Condings) and C.
Long-Term Capital	Same and Losse	Assets Ho	ld Môr a Than Oi	le Year	
(a) Description of property (Example: 100 shares XY2 Co)	(b) Date acquired (Mo, day, yr)	(C) Date sold (Mo, day, yr)	(d) Seles price (see instructions)	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
B 20000.0000 sh. Mass St Cons LK	N-Ser & Muni Bd Various	05/01/09	20,200.	20,000.	20
10000.0000 sh. Mass St Cons LH	1-Ser & Muni Bd 08/26/05	12/01/09	10,000.	11,008.	-1,00
50000.0000 sh. Mass St Fed Hwy	Gran: Muni Bd 03/14/06	12/15/09	50,000.	53,896.	-3,89
Enter your long-term totals, if any,	from Schedule D-1, li	ne 9 9			
Total long-term sales price amoun column (d)	ts. Add lines 8 and 9	in 10	80,200.		
Gain from Form 4797, Part I; long- Forms 4684, 6781, and 8824	term gain from Forms			(loss) from	
Net long-term gain or (loss) from p	artnerships, S corpora	itions, estates, an	d trusts from Schedu	le(s) K-1 12	
Capital gain distributions. See instrs				13	
Long-term capital loss carryover. E. Worksheet in the instructions	nter the amount, if an	y, from line 15 of	your Capital Loss Ca	rryover 14	
Net long-term capital gain or (loss).					····
page 2		Farm 4.0.40010 Inc.			D (Form 1040) 20

Schedule D (Form 1040) 2009

No. Complete the rest of Form 1040 or Form 1040NR.

Reserved Client Statement Suits Task End Summary

24

BARNEY FRANK Account Number 2

40.00

The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

0.0

	Total Ordinary	Dividends		Total			
		Short term		capital pain	Unreceptured	Section 1202	Collectibles
Reference	Dividends	Widends* capital gain*	dividends	distributions	Sec. 1250 gain	eie?	(28%) gain
number Description	(Box 1a)	(F)	(Box 1b)	(Box 2a)	(Box 2b)	(Box 2c)	(Box 2d)
150000100 CALVERT SOCIAL INVT FD	\$ 205.43		\$ 205.43				
EQUITY PORTFOLIO CL A			4				
A PORTION OF THIS INCOME HAS	•	•					
BEEN RECLASSIFIED							
150000200 NUVEEN MASSACHUSETTS DIVIDEND		24.45					
ADVANTAGE MUNICIPAL FUND			,				
Totals	\$ 206.43	\$ 24.45	\$ 206.43				-
			>= 4 ·-				

*The total of these two categories equals the amount reported in Form 1099-DIV # Box 1a.

Please note:

"Dividends" includes both Qualified and non-qualified dividends received.

"Total capital gain distributions" is a total of long term capital gain distributions and the amounts reported in Boxes 2b through 2d.



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Sch This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, 7 although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Opening Closing Sale Original Cost Security Description Trade Date Proceeds Adjusted Cost	20,000 MASSACHUSETTS ST CONS LN-SER B Manufable 05/01/09 CENL DBLIG BOOK ENT OID DTD 5/1/1989 F/C 11/1/1989 DUE 05/01/2019 RATE 5.000
Original Cost Adjusted Cost	
Original Gain/(Loss) Adjusted Gain/(Loss)	
Ordinary Income Capital Gain/(Loss)	
=5	

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Reserved Client Statement 2009 Year End Summary

BARNEY FRANK

Account Number 1

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
2500020	10,000	MASSACHUSETTS ST CONS LN-SER C LTD/TAX BK/ENT DTD 8/1/01 F/C 12/1/01 DUE 12/01/2008 RATE 5,500	08/26/05	12/01/08	\$ 10,000,00	\$ 11,007.80 \$ 10,000.00	(\$ 1,007.80) \$ 0.00	00'0 \$
12500030	20,000	MASSACHUSETTS ST FEDERAL HWY GRANT ANTIC NTS-A- B/E DD 11/15/00 F/C 6/15/01 DUE 12/15/2009 RATE 5.500	03/14/06	12/15/09	20'000'05	53,896.00	(3,886.00) 00.0	00'0
Total				,		\$ 64,903.60	(\$ 4,903.80)	\$ 0.00

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	This section shows your accrued income paid or received as a result of purchases and sales.

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120000200	CTY MALDEN, MASSACHUSETTS GEN OBL MUNI PUR LOAN 2008 BONDS BVE GO DD 10/15/08 F/C 4/15/10 DUE 10/15/2027 RATE 4.250	10/15/08	\$ 16.53	
120000300	COMMONWEALTH OF MASS G/O CONS LOANS 2007C B/E AMBAC INS DD 8/16/07 F/C 2/1/08 DUE 08/01/2037 RATE 5.000	05/13/09	520.14	
120000400	THE COMMONWEALTH OF MASSACHUSETTS GENERAL OBLIGATION REFUNDING BONDS DUE 09/04/2022 RATE 5.000	01/21/09	381.25	



SCHEDULE D

Capital Gains and Losses

► Attach to Form 1040 or Form 1040NR. ► See instructions for Schedule D (Form 1040). ► Use Schedule D-1 to list additional transactions for lines 1 and 8.



Name(s) shown on return

Your social security number

	(a) Description of property (Example; (00 sheres XYZ Co)	(b) Date acquired (No, day, yr)	(C) Del	e soid ly, yr)	(d) Sales price (see instructions) -	(e) Cost or other to (see instructions		(f) Gain or (loss) Subtract (e) from (d)
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2	Enter your short-term totals, if any, from	Schedule D-1,	line 2	2				
3	Total short-term sales price amounts. A	Add lines 1 and 2	2 in	3				
4	Short-term gain from Form 6252 and she	ort-term gain or	(loss) fro	n Form	s 4684, 6781, and 88	24	4	` ` `
5	Net short-term gain or (loss) from partner						5	
6	Short-term capital loss carryover. Enter Worksheet in the instructions			• • • • • •	<i></i>		6	
7	Not short-term capital sale on despi. Go	mbine lines I the	ough 6 in	colum	1 (f)	***********	7	neder .
	Tend Time Espical Calling		7			Year.		
	(a) Description of property (Exemple: 100 shares XYZ Co)	(b) Date acquired (Mo, day, yr)	(G) Date (Mo, day	sold	(d) Sales price (see instructions)	(e) Cost or other ba (see Instructions)		(f) Gain or (loss) Subtract (e) from (d)
8	35000.0000 sh. Comm of MA G/O Cons Los		10/05	/10	36,833.	36,3	72	460
	35000.0000 sh. MA St Cons LN-Ser	6/1/10	*					
	65000,0000 sh. NA H&E FACS Worcester	03/28/06	06/01	/10	35,000.	35,0	00.	0
	USUUU, UUUU BE. RA REE PAUS WOTCESLEE		10/05/	10	66,792.	65,0	04.	1,788
	85000.0000 sh. MA Sch Bld Au Ded Sl		10/05/	10	93,567.	87,79	98.	5,769
	20000.0000 sh. MA St Tpk Auth	1/1/39						
9	Enter your long-term totals, if any, from	01/02/02 Schedule D-1, lir		9	20,000.	19,0	19.	921
10	Total long-term sales price amounts. Ad column (d)	d lines 8 and 9 i	n	10	252,192.			
11	Gain from Form 4797, Part I; long-term g Forms 4684, 6781, and 8824	ain from Forms	2439 and	6252;	and long-term gain or	(loss) from	11	
12	Net long-term gain or (loss) from partner						12	
13	Capital gain distributions. See instrs	*******					13	
14	Long-term capital loss carryover. Enter the Worksheet in the instructions	ne amount, if any	, from lin	e 15 of	your Capital Loss Ca	nrryover	14	-1,704.
15	Net long-term capital gain or (loss). Comb	oine lines 8 throu	igh 14 in	column	(f). Then go to Part I	li on	15	7,234.
-	For Paperwork Reduction Act Notice, se	6 Marin Saw nature	. Instruct	ons.				e D (Form 1040) 201

(\$3,000), or it married filing separately, (\$1,500)

No. Complete the rest of Form 1040 or Form 1040NR.

Note. When figuring which amount is smaller, treat both amounts as positive numbers.

Yes. Complete Form 1040 through line 43, or Form 1040NR through line 41. Then complete the Qualified Dividends and Capital Gain Tax Worksheet in the Instructions for Form 1040, line 44 (or in the Instructions for Form 1040NR, line 42).

22 Do you have qualified dividends on Form 1040, line 9b, or Form 1040NR, line 10b?

|z 0

Schedule Ø (Form 1040) 2010

ected Copy as of 02/28/11

Reserved Client Statement 2010 Year End Summary

BARNEY FRANK Account Number This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference	Quantity	Security Description	Opening Trade Data	Gosing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
12500010	35,000	COMMONWEALTH OF MASS G/O CONS LOANS 2007C B/E AMBAC INS DD 8/16/07 F/C 2/1/08 DUE 08/01/2037 RATE 5,000	05/13/09	10/05/10	\$ 36,832.90 V	\$ 36,372.70 V	\$ 219.70	\$ 0.00 \$ 460.20
125000020	35,000	MASSACHUSETTS ST CONS LN-SER B B/E DD 6/1/00 L/T F/C 12/1/00 DUE 06/01/2010 RATE 5.750	03/28/06	08/01/10	35,000.00	35,900.00	(3,314.25)	0.00
12500030	65,000	MASSACHUSETTS H&E FACS AU RV WORCESTER CITY CORP SER F FSIC B/E D/14/07FC4/1/07 OUE 10/01/2031 RATE 5.000	03/18/08	10/05/10	₩ 08.791.90 ₩	65,003.70 65,803.70 V	1,788.20	0.00 1,788.20
125000040	85,000	MASSACHUSETTS SCH BLDG AU DEDICATED SALES TAX SER A FSA B/E D8/17/05 F/C 2/15/06 DUE 08/15/2013 RATE 5,000	90/11/08	10/05/10	93,587.10 V	81,450.55 87,798.20	2,118.55 6,768.90	0.00 5,768.90
12500050	20,000	MASSACHUSETTS ST TPK AUTH MET HWY SYS RE SUB-A-AMBAC-OID BK ENT DTD 3/15/99 F/C 7/1/99 DUE 01/01/2039 RATE 5.000		05/14/10	-20,000.00			
Total				i.	\$ 252,191.90	\$ 231,381.70 \$ 224,174.60	\$ 810.20 \$ 8,017.30	\$ 0.00



DOCUMENT IMPORTANT TAX BARNEY FRANK **Account Number** SUMMARY INFORMATION SECTION ORDINARY DIVIDENDS UTS NUVEEN DOW JONES INDEX GLOBAL TITANS PORT FEB 2000 TOTAL 7.52 CENTENNIAL MONEY MKT TP 24.29 **SECURITY TRANSACTIONS** AMOUNT CUSIP **ENTRY** DESCRIPTION 576018CX1 19 079.00 BOUGHT 67069P101 12,902.78 NUVEEN MASSACHUSETTS DIVIDEND 01/03 BOUGHT 830 ADVANTAGE MUNICIPAL FUND SHARES OF BENEFICIAL INTEREST

Transactions with an asterisk () following the dollar amount are reported to the IRS and are included in the 1099-B totals in Box 2. These amounts represent the total proceeds you will include on your Schedule D and will be compared to your cost or other basis to

Morgan Stanley

From:

Mardis, Daniel J < Daniel.J.Mardis@morganstanleysmithbarney.com>

To:

maria.giesta@mail.house.gov

Subject:

(secure) Bond Information

Date:

June 17, 2011 4:31:06 PM EDT

Attachments:

Double-click files to open...

FRANK.2009.pdf (159 KB)

FRANK.2010.pdf (161 KB)

Secure Actions:

Reply

Hi Maria, please find copies of Congressman Frank's 1099 tax documents from 2009 and 2010 attached. The bonds in question are all listed there for your reference.

Mass ST Cons LN SER B - matured 6/2010 - \$35,000

Mass ST Cons LN-SER B GO Book ENT - matured 12/2009 - \$10,000-

MA ST Cons LN SER C Limt Tax - matured 12/2009 - \$10,000-

MA ST Fed HMY Grant ANTIC NTS A - matured 12/2009 - \$50.000-

MA H&E FACS AU REV Worcester - sold 10/2010 \$65,000

MA State TPK Auth MET HWY Sys - Full Call @ Par 05/2010 \$20,000

Please let me know if you have any additional questions.

Thank you, Dan

Daniel J. Mardis Registered Associate

The Stanley Gaumer Group Morgan Stanley Smith Barney LLC 28 State Street 25th Floor | Boston, MA 02109 Tel 617-570-9268 | Fax 617-570-9469

Please visit our website: http://fa.smithbarney.com/stanley_gaumer

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Compare of each Form 1099

Morgan Stanley

ensure the tax consequences of any transaction. These suggestions are intended your use at arriving
at a reasonable, fully explained investment decision and not as a compilation of the only possible
investment vehicles and modes. Member SIPC.

Important Natice to Decipients:

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December 1 - December 31, 2010

Morgan Stanley Smith Barzey LLC. Member SIPC.

8 1.5 BARNEY FRANK 125 C ST. S.E. WASHINGTON DC 20003-1835

817-570-825B Bearryold Citeral Service Center: 800-423-7248 Branch Phone: 800-235-1205 BOSTON MACZEUS **MATH FLOOR 29STATEST** COUKANILSON/FUCIGNA GROUP Your Financial Advisor

Accounts carried by Citigroup Global Markets Inc. Member SIPC

Enclosed are statements for the following accounts in your consolitiated incuserbit. Total Value Comparison" and "Year to Date Summary" may contain information for previously existing accounts which have been recently consolidated. Unpriced securities are not included in the "Net Value" columns. Unless

; ;		Account Number	Summe
	BANKEY FRANK	Abbrevisted Name	му объегиве
i p			Therwise indicated, veit
	GANERAR	Account Type	atues shown age
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	1 973,676.27 3 984, 180.70	Total Value This Period Alj. Ret Yelu	Accrued interes
	*aa	Net Securities Deposited Filialrams	et and dividenda
	4 0.00	Ner Capital Deposits Withdrawals	BOUTHOO
1	ncalst ert	Total Increase Transities Non-Transitie	x paid, are excu
	\$41,178.46	Unraulized Gein or (Lots)	but not paid, are excluded from the Adjusted Net Yells
	13000 t	Adjusted YID Recitized Gain or (Leas)	sted Net Yelve.

Year to date change in value 51,485.85		Adjusted not value as of 12/31/10 4 974,180,70	Beginning value net of deposits/withdrawnia + 022_684.86	Mot cesh deposits/will windows (year to deta) (237,000,00)	Med security deposits/affectravete (year to date) 0.00 23.7	Segments total set value 9 1,151,818.51 Adjusted set value se of 12/31/09 9 1,158,594,58	Year to Duta Summary
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3	9/10 10/1			ă	1		raper leon

Reserved **Client Statement**

Page 2 of 11

December 1 - December 31, 2010

WASHINGTON DC 20093-1835 125 CST. S.E.

> Morgan Stanley Smith Barney LLC. Member SPC. Ассової питовы

COOKANLSON/FUCIENA GROUP 28 STATE ST

26TH FLOOR

BOSTON MA 02739

Received Client Service Conter: 800-423-7249 Branch Phone: 800-225-1205

617-570-8238 Website: www.amisbarney.com

Account carried by Chigroup Global Markets Inc. Member SIPC.

Total	Bark Daposit Program Interpet	Other disidends	Accrused interest received	interest.	Carmina strantary		Fotal value (excluding acrowed interest)		Participal Borney	and state of the s	Program internal on bendariths	Entrange Faded & closed and funds	participation and or section	CANID DESIGNATION	Account Asido	
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(BOL1704) #]	4 984,780,70	875,201.78	0.00 0.00 0.00	Title period
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Additional summary information

Reserved Client Statement

December 1 - December 31, 2010

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Capital gain or (tose) (realized) Original Routized gain or (toes) Adjusted Realized gain or (loss Uturnelized gain or (loss) to disc Gainfoss summery 1,179/6 This partod B 8 8 77th year 4670.20 LT \$0.00 ST B077.30 8,017.20 LT 8 _ BARNEYFRANK

Your holdings are valued using the most corrent prices available to Citigroup blobal Markets Inc. (COM), in most cases, these values are as of 1201/10, but play the cases COM), a most cases, these values are as of 1201/10, but play cases COM), a course are anable to provide the projection. To see the date of the most recent price apidate, plays when your account online at 15 simes()

Societiks perchased or sold are included in this section as of the trait-date. This section may include securities that have not settled as of this seatment closing date. Please see the "Inserted Functional Content for more beformation. Divident yield is the estimated depend in course, assuming the correct divident by the security's madest price at the end of the statement period. We do not guarantee the accuracy of the prices reflected as the statement nor do these prices represent treets at which securities can be bought or sold. Phases Note: more thank guide floor) is being shown for informational purposes only and should not be used for tax proporation without the assistance of your tax advisor.

Sank Deposit Programme

The Federal Depart Insurance Corporation (FDIC) provides tecurance on departs up to \$2.50,000 per account owner, per bank. However, the Smith Barney Bank Deposit Program (BDP) protected by the Securities investor Protection Corporation ("SIPC") or any excess-SIPC coverage provided by Cityroup Global Markets Inc. For complete details please refer to the and on account type exceeds the month and deposit amount permitted in certain basits, which may be below the machinum FDMC insurance limits for certain types of accounts. In these instances a national FDMC insurance limits, those deposits will be instances and individual in Deposit Accounts at each Affiliated Program Basis are not

BANK DEPORT PROGRAM	Pitropel Description
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25.80	Acquelized % return
1208	Anticipated Income

Page 8 of 11

Reserved Client Statement

December 1 - December 31, 2010

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BARNEY FRANK

account the quality and ligalatity of the miderlying securities, financial leverage and fareign currency exposure. Please refer to the end of this statement for a guide describing CIRA ratings. representations or guarantees of performance. Because the research report contains more complete information regarding the analysis opinions, analysis, and rading, you should read the entire research report and not higher its contents from the rading. CIRA classed and fund recommendations include an investment rading and a risk rading. The investment Rading code (1.2, 3) is Exchange traded & closed and fands.

Ciff investment liescarch & Annipsis (CIFA) ratings may be shown for certain clusted and fands. All research ratings represent the "opinions" of the research provider and are not based upon CIRA's expectation of the security's performance relative to its peer group of closed and funds. The Risk Rating (L, M, H or S) represents the fund's expected risk, whing into

Clused and investment companies are grouped below by partialla designations. Gain/Loss is provided to assist in tax preparation. It is not intended to calculate investment returns or

Constitut Group Research (CCR) conducts on going research on a wide variety of exchange-trades juncts for option unextenent canssary programs, some brainformation regarding visation your exchange-traded holdings are covered by CCR.

find holdings are covered by CCR.

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	+ 715.39	Articipated Income

An investment in a money mortal field is meither insured nor guaranteed by the PDIC or any other government agency. Although movey market funds seek to preserve the value of your investment at \$1.00 per share, there can be no assurance that will occur and it is possible to less movey should the family before the related to the family market funds forced to crosse approximant when the value of a family before \$1.00 per share. In that event, the fund's holdings would be lightlated and distributed to the family shareholders. ATM debits from your account. lake up to one mouth or more. Durby that time, there funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or

Certain methal funds may not be a magienable to office deaders. For further information, please rufer to the fund's Prospectae or call your Plannetal Advisor. Consulting Group Research (CGR) conducts on going research on a wide variety of methal funds for certain investment advisory programs. Four budividual methal fund holdings in your brakerage account may or may not be covered by CGR. Planse contact your Financial Advisor for further information regarding whether your natual fund boldings are covered by CGR.

Distributions and current dividend for funds are based upon byformation provided by an oriside vender and are not writted by us. "Tax-Blancel Cost vs. Current Volce" is being provided justimation purposes only. "Costs Distributions (since inception)" when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions in positions no longer held in the account, and may not reflect all distributions in contact in power account. Total Purchases vs. Coursest Value" is provided to assist you in comparing your "Total perchases" excluding reinvested distributions, with the current value of the fund's shares in your account. Fund Value Yold is the correct distribution assumitant, divided by the found not used value at the end of the statement period. Distributions may constit of income, capital grains or the return of capital. uses und the current retire of the fund's shures, plus costs distributions tince inception Tax-Based Cost vs. Current Volce" is being provided for

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Reserved Client Statement

Page 5 of 11

BARNEY FRANK December 1 - December 31, 2010

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Bonds

Unrealized gains & losses have been adjusted to account for the accretion of OID (original issue discount), the amortization of previous, and/or the accretion of market discount

Call features above inflicate the next regularly scheduled call date and price. Your holdings may be subject to other recomption features including sinking funds or extraor timery calls.

The research rating for Moody's Investors Service and Standard & Poor's may be shown for certain fixed throme securities. All research ratings represent the "ophicus" of the research provider and are not representations or generaless of performance. Tour Phoencial Advisor will be pleased to provide you with further information or assistance in Interpreting

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Reserved Client Statement

December 1 - December 31, 2010

Page 6 of 11

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Reserved Client Statement

December 1 - December 31, 2010

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Amoun	Amount Description		Could Adjusted count	B 출발	Current strare price/Accreed interest	Carrent Un	Uhredzed Gehrijose) Original Adjusted	Courant % Yieldi Anticip, Income (essessedized)
20,000	HANSSACHUSETTS HILTHAEDL FACS AU REV DAMA-FARISER CANCER MST-K- BÆ DD OSEZZESON HNIT-OSESON, MAITY, 12/01/2017 RHT-OSESON, MAITY, 12/01/2017	Łn l	• 22,561.20 • 22,561.20	4 112,728 8 111,00	110,781		(# 578.00) LT (# 187.90) LT	L
20,000	WASSACHUSETTE ST HSQ FIN AGY HBG REV RENTAL-MITG-B-ANT-ANBAC -REG DID 127/89 INT: 08.200% MATY: 07/01/2019 NATH TO 0881111 & TOYO	88Z\$465/3		Please provide	250,00	TO,OOO,OO	Noi avaitable	1
20,000	MASSACHUSETTS HITTMEDI FACSAJI: REV DANA-FARBER CANCÉR RIST-K- BJE DO 05/22/2009 NITUE 2500% MATY: 12/01/2020 RISTRIA AVA	P. 22.13408	22,007.00 21,881.70	110.07 107.507	W7.507 87.50	21,885_40	000 (1997)	1
90,000	Next call on 1200 to \$1 NUMBER ASY AFDS 1988-SER A SEE REY DO S/1870 FAC 12/1/10 PMT: 04,200% PMATY: 12/01/2021 Int raw aft 05/13/10 Rading: AAS/AA-	07/26/10 6/788/HTD8	30,797.50 GEAR 2,06	102,472	97.500 106.00		(1,274,80) ST ST (08,204)	·
20,000	Refing: AASIAA- Next cell on (1997) (20) (2) YOUXD AMALDEN MASS REFUG-MALM PURP LN LYT ASSUMED 6TY BJE GO CHO DO 107505 PIC-4/1979 901: 042505 MATY: 1075/2027 Rading: AASIAA+ Next cell on 10715/18 (2) 700,000	551071808 551071808	19,209,01	98,40 98,40	9.22 179.44	m,enn,m	(1,281,00) LT (1,256,60) LT	·
7 m.,000	Next call on 10/75/19 @ 100/00 COMMONWEALTH NASS GEN. OBLIG-A BJE DO 11/25/2008 F/C 08/01/2009 NT: 08/00% MATY: 08/01/2028 Rading AA1/AA Next call on 08/01/18 @ 100/000	A 0472/10 5/582/NY2	70,866.16 70,105.75	VOLUET ##	102.844 16 1,0189.83	90,213.00	(3,792.18) ST]
45,000	Next call on ORDY/NE © 200,000 THE COMMORNEALTH OF MASSACHUSEZTS BENEFUL OBLIGATION REFUNDING BOHOS UNT: 05,000% MATY: 09,01/2032 Rafing AANAA Next call on ORDY/NE © 20,000	OLENA POSE SE	46,284.80 46,088.80	102.944 102.994 #1	17 750.008	45,451.50	(1) (02.04.0) (1) (02.04.0)	•

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Reserved Client Statement

Page 6 of 11

December 1 - December 31, 2010

BARNEY FRANK

Muricipal bonds *confined*

Amou	nt Description	Date sequined Cusp-#	Com# Adjusted cost	Share coal/ Adjusted share coast	Currect share price/Accreed wistest	Current Ler	roelized Gair/(tres Original/ Adjusted) Current % Yieki/ Anticlp, Income (semusifized)	Ordinary Income/ Capital gain/floss)
70,000	IMABRACHUSETTS SCHOOL BLDB AU DEDICATED SALES TAX-A-MABAC B/E DID 8/27/07 F/C 8/16/07 INT: 05.900% MATY: 08/16/2037	1H-1000829	00000/80 0 0000/80 0	57.78 57.78	81.565 ♦ 1,322.22	+ 06,482 <u>2</u> 30	+ 852.20 L	E.072	\$ 0.00 Ø

**Unrealized Gain/Loss is only calculated when an original cost basis is analiable

66 Original Issue Discount

Bank Deposit Programs activity

as the payment for an investment proclase or the payment of PAG classic that you have written The term DEPOST (ppically refers to client initiated deposit of functs. The term AUTODEPOST (spically refers to the "swap" of functs into a Program Bank that results from proceeding a transaction, a dividend from a stock or interest from a bank. Conversally, WITHDEANALL it the term that refers to transactions that course functs to be redeemed from a Program Bank, such

# 6,650.5W	Claring belease					
3	(SEE DETAILS UNDER EARNINGS DISTAILS)	-	!		-	
	BANK DEPOSIT PROGRAM INTEREST CREDITED			E,077.62	odeposit BAMK DEPOSIT PROGRAM	
Amount	Description	AGEN		Amount	Description	3
]	41,772.59		ering being

12,02/10

SWITH BARNEY

12:SPM 1105.01.YAM

Reserved Client Statement

December 1 - December 31, 2010

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		BARWEY FRANK	Ac	Account number	1
				Coont marranet in the	
Interest credited					
THE PARTY OF THE P	PAGRACIA RATTO OT DOLD	₽ _	Taxable	Non-lazabie	Amount
12/01/10	MASSACHUSETTS ST G/D REF	REGINT ON SUUDIBAD PAYABLE 12/01/10		\$ 1,375.UD	\$ 1,805.00 \
	BAE DD 147804 FAC 67106 DUE 1201/2016 RATE 8,500	- 10			
12,01/10	MASSACHUSETTS ST 8/O REF	REGINT ON JULIO BND		1,925,00	1,975.00
	RED TV MOT TO STUDE		!		
- Same -	CHES SIVE METAL SON	ł	1 4		
C2/10/20	MASSACHUBETTS HUTHBEDL FACS AU REVIDANA FARBER CANCER INST-K-	PAYABLE 12/01/10		9000	900.00
÷,	DUE 1201/2017 RATE \$.000		李	, ti	
12/01/10	MASSACHUSETTS HLTHMEDL FACS AU	REGINT ON 2000 BND		525,00	20 E25
	THE TANKET PRINCES LAVINGES INDIVIDUAL TO STATE THE TANKET PRINCES IN STATE OF SERVINGES IN	To a second			
12/07/10	MASSACHUBETTS ST HSQ FIN AGY	CANS COODE. NO INCOME			}
_`	AFDG HSG-8ER A	PAYABLE 12/01/10		(Seatt)	Outlies
	85; REV DD 8718/10 F/C 12/U10 DVE 12/042021 9ATE 4.200	4.4	•		
Office dividends					
1 /	Desciption	Continue	Taxable	Mon-terable	>
120110	ATMANTACE MEMORAN ERMO	CASH DIV DN 864,000 SHS	•	♦ 591.62	1 Z9762 0
12.EI V 10	CHECKING STEERING CHECKING CHECKING	TI.		20.44	3
	ADVANTAGE MUNICIPAL FUND			Sales in the sales	72720

Back Daposti Program ^{as} Interest	* Induced				
This section contains intere	This section contains interest crudited to your account. Account interest it not included. See Parifolio dutalls suction for account interest information.	See Purifolio dutalis secilas for ac	ared interest information.		
2	Description	Commend	Tayable	Non-lacable	Amount
128410	CITIBANK NA SOUTH DAKOTA	RENVESTED	\$.25		22.6
	BANK DEPOSIT PROGRAM	FOR PERIOD 120 V 10-01/02/19			
		STATEMENT HAT DATE OF			
		SINISHER CAUSAGE ASS.			
The second secon	The second secon				

Client Statement Reserved

December 1 - December 31, 2010

BARNEY FRANK

Account member

Page 15 st 11

Guide to Cill investment Research & Analysis (CRA) investment Ratings

Closed End Fand Ratings Closed-End Fund Risi

Invertunent rutings are a function of CIRA's expectation of the funds total return relative to its peer group, and the ability to provide stable to riving dividents, where applicable. Outperform Expected Total Return

In-Line

Underparture

Expected to underparform its poor group of classed-and funds and for the fund is expected to provide a decilining dividend.

Expected to outperform its peer group of closed-end fands, and/or the famile expected to provide stable to riving dividends, here applicable

S П ľ 101 4, 4, Second in sure exposure to foreign currencies and above average

Takes into account the quality and ligatelity of the malerlying sociation, financial leverage and foreign currency exposure.

Corde Sales V

primarily United States Dollar carrency exposure
The fand may bread in low quality, but Marid securifies Overall, the fixed invests in moderate to high quality, liquid securithes with reasonable financial leverage and provides to be financial leverage or foreign currency exposure Definitions
The food invests in high quality, highed socurities with links

volutile necue titus, have exposure to fureign carrency and may also are high degrees of financial leverage The fand invests in low grade, illiquid and/or highly

stillie. In the write of extreme retime and volatility impacting the various debt market, please be aware that socurity reductions reflected under the "Current Yoke" hearing of your ortstatement undfor the "Market Yokes" of your account position page author, may not released in effective of actual market prices at which debt securities may be purchased or rold

or overall market in general. and a call proving on one for the latter is, ignory of the specific security and overall mother, that size, general creat quality and independent creati ratings, recently product attributes as call provides and other features disclosed to security product and debt coverants, supply demand includences to the market, and general volutility attributable to the issues These factors include, but one not limited to, liquidity of the specific security and overall market, trade size, general credit quality and indepen ment valuations provided to as through our pricing sources may not secusorily be indicative of where you may mitimately be able to buy or sell a debt succety dise to various factors.

Message: Form: 1897feer End Sommay meding scincide: Tow December brokerage statement will not include all the information you need to complete your four returns.
Ton should refer to your Forms 1899feer End Sommary to report your brokerage transcations on your tax returns. This year's Forms 1899feer End Sommary meding will comme on about Reference of the sealing of selection of the control of the sealing. If you are not convited in a delivery and would like to take advantage of our ordine feature, so you will be able to view there important tax documents as soon as they are posted please contact your Fourneist Advisor.

(other than common shares) during 2010, and you normally file your income taxes early, please be calvised that your original Form 1099/Isar Bul Sammay may not be the final version, and a corrected copy may be forthcoming later in the tax filing season. A warning message, aferting you of this, will also appear on your criginal Form 1099/Isar Bul Sammary. lisesage: If you owned shores and/or main is a sunthal fund, regulated investment compy rity (RIC), until investment treet (UII), rued estate investment trant (RIZII), or foret

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MAY, 10, 2011 TS:SBBW

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Reserved Client Statement

December 1 - December 31, 2010

BARNEY FRANK

Аскови лилье

Mossagn: Important Information if you are a margin costomer

If you have a margin account with us, as persitted by lew we may use certain securities in your account for, among other things, setting short sales and lending the securities for short sales, and as a result may receive components on in connection therewith.

scial struction. All checks written and deposited to your account nixtions and charges will be made available to you promptly ap time. All shorts written and deposited to your account must be t n request. Please advise Margan Samiley Smith Bearney of any nuderied change in your financial ade psymble to Chiprosy Global Markott Inc. A financial statement of Chiprosy Global Marko er the Shewrittes Investor Protection Act (SIPA), you should recon erricing your account (see page 1 of statement for fran all oral communication

Page 11 of 11

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Forms 1099 and 2010 Year End Summar Client Statement Reserved

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APT. 3 125 C ST. S.E. BARNEY FRANK

WASHINGTON DC 20003-1835

Account Number .

Corrected Copy as of 02/28/11

advice, please consult your tax advisor. Important income tax return document enclosed. For tax

9:00 a.m. to 5:00 p.m. EST., 1-800-727-1099 Summary, call toll-free Monday through Friday, If you have questions about your Forms 1099 or Year End

The following items have been changed:

Reference number 130001600 has been changed in section Details of 1099 Reported Interest Income

Reserved Forms 1099 for 2010 Client Statement

Page 2 of

Corrected Copy as of 02/28/11

CITIEROUP GLOBAL MIKTS INC.

HEW YORK, NEW YORK 10013 388 GREENWICH STREET

28 STATE ST

26TH FLOOR

BOSTON

COOK/WILSON/FUCIGNA GROUP

MORGANSTANCEY SMITHBARNEY LLC

Your Financial Advisor

Attr: Tax Reporting Service Center Tax ID Number 11-2418191

BARNEY FRANK

Account Number

BARNEY FRANK 125 C ST. S.E.

APT 3 WASHINGTON DC

20003-1835

Branch Office: 617-570-9239 MA 02109

been reported. a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return,

Bax to	Bax 9	Box 8	Box 7	Box 6	
	\$4.E08 \$	\$ 45,172.56			
Tax-exampt bor CUSIP no.	Specified private activity bond interest	Tax-exempt interest	Foreign country or US possession	Foreign tax paid	
		Box 4	Box 3	Box 2	\$ 24.34
		Federal troome tax withheld	Interest on US Savings Bonds and Treas, obligations	Early withdrawal penalty	Interest income

Reserved Client Statement

Corrected Copy as of 02/28/11

Forms 1099 for 2010

BARNEY FRANK Account Number

APT. 3 125 C ST. S.E. BARNEY FRANK

388 GREENWICH STREET CITIGROUP GLOBAL MKTS INC.

Attn: Tax Reporting Service Center

NEW YORK, NEW YORK 10013

28 STATE ST

COOKWILSON/FUCIGNA GROUP

Your Financial Advisor

MORGANSTANLEY SMITHBARNEY LLC

Tax ID Number 11-2418191

20003-1835 WASHINGTON DC

Branch Office: 617-570-9239

BOSTON 28TH FLOOR

MA 02109

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, been reported. a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not

1099-B. Proceeds from Brokes and Barter Exchange Transactions 2010.

is also deducted. Taxable accrued interest is reported on Form 1090-INT and included in your "Details of 1099 Reported Interest Income". Gross proceeds from each transaction are individually reported to the IRS after deducting commissions. For bond transactions, accrued income

(Box 1a) (Box 1b) Quantity Description (Box 7) Price 10 10x05/10 57582PFX30B0 35,000 COMMONWEALTH OF MASS G/O CONS LOANS 2007C B/E AMBAC INS DD 8/16/07 F/C 2/1/08 DUE 08/01/2037 RATE 5.000 10 06/01/10 575827C570B0 35,000 MASSACHUSETTS ST CONS LN-SER B B/E DD 9/1/00 L/T F/C 12/1/00 DUE 06/01/2010 RATE 5.750 10 10x05/10 57586CVH50B0 65,000 MASSACHUSETTS H&E FACS AU RV WORCESTER CITY CORP SER F FGIC B/E D1/4/07FC4/1/07 DUE 10x01/2031 RATE 5.000	Reference	Date of sale	CUSIP number			; ; ;	Gross proceeds	Federal income
90 10/05/10 57582PFX30B0 35,000 COMMONWEALTH OF MASS G/Q CONS LOANS 2007C B/E AMBAC INS DD 8/16/07 F/C 2/1/08 DUE 08/01/2037 RATE 5.000 00 08/01/10 575827C570B0 35,000 MASSACHUSETTS ST CONS LN-SER B B/E DD 9/1/00 L/T F/C 12/1/00 DUE 08/01/2010 RATE 5.750 00 10/05/10 57586CVH50B0 65,000 MASSACHUSETTS H&E FACS AU RV WORCESTER CITY CORP SER F FGIC B/E D1/4/07FC4/1/07 DUE 10/01/2031 RATE 5.000		(Box 1a)	(Bax 1b)	Quantity	Description (Box 7)	Price	(Bax 2)	(Bax 4)
575827C570B0 35,000 MASSACHUSETTS ST CONS LN-SER B BJE DD 641/00 LT FJC 12/1/00 DUE 06/01/2010 RATE 5.750 57586CVH50B0 65,000 MASSACHUSETTS H&E FACS AU RV WORCESTER CITY CORP SER F FGIC BJE D1/4/07/FC4/1/07 DUE 10/01/2031 RATE 5.000	20000200	10/05/10	57582PFX30B0	35,000	COMMONWEALTH OF MASS G/O	\$ 105.254	\$ 26,832.90	
575827C570B0 35,000 MASSACHUSETTS ST CONS LN-SER B BYE DD 61/00 LT F/C 12/1/00 DUE 06/01/2010 RATE 5.750 57586CVH50B0 65,000 MASSACHUSETTS H&E FACS AU RV WORCESTER CITY CORP SER F FGIC BYE D1/4/07/FC4/1/07 DUE 10/01/2031 RATE 5.000					CONS LOANS 2007C B/E AMBAC INS			
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575827C570B0 35,000 BJE DD 6/1/00 L/T F/C 12/1/00 DUE 06/01/2010 RATE 5.750 57586CVH50B0 65,000 MASSACHUSETTS H&E FACS AU RV WORCESTER CITY CORP SER F FGIC BJE D1/4/07FC4/1/07 DUE 10/01/2031 RATE 5.000								
EVE DD 6/1/00 L/T F/C 12/1/00 DUE 06/01/2010 RATE 5.750 57586CVH50B0 65,000 MASSACHUSETTS H&E FACS AU RV WORCESTER CITY CORP SER F FGIC B/E D1/4/07FC4/1/07 DUE 10/01/2031 RATE 5.000	20000400	06/01/10	575827C570B0	35,000	MASSACHUSETTS ST CONS LN-SER B		35,000.00	\
F/C 12/1/00 DUE 05/01/2010 RATE 5.750 57586CVH50B0 65,000 MASSACHUSETTS H&E FACS AU RV WORCESTER CITY CORP SER F FGIC B/E D1/A/07FCA/1/07 DUE 10/01/2031 RATE 5.000					BYE DD 6/1/00 L/T		Ġʻ.	•
DUE 06/01/2010 RATE 5.750 57586CVH50B0 65,000 MASSACHUSETTS H&E FACS AU RV WORCESTER CITY CORP SER F FGIC B/E D1/A/07FCA/1/07 DUE 10/01/2031 RATE 5.000					F/C 12/1/00			
57586CVH50B0 65,000 MASSACHUSETTS H&E FACS AU RV 102.766 WORCESTER CITY CORP SER F FGIC B/E D1/A/07FCA/1/07 DUE 10/01/2031 RATE 5.000	!				DUE 06/01/2010 RATE 5.750			
WORCESTER CITY CORP SER F FGIC BJE D114107FC411/07 DUE 10/01/2031 RATE 5.000	20000500	10/05/10	57586CVH50B0	65,000	MASSACHUSETTS H&E FACS AU RV	102.766	66,791.90	
FGIC B/E D1/4/07FC4/1/07 DUE 10/01/2031 RATE 5,000					WORCESTER CITY CORP SER F		•	
DUE 10/01/2031 RATE 5,000					FGIC B/E D1/4/07FC4/1/07			
					DUE 10/01/2031 RATE 5,000		-	

Corrected Copy as of 02/28/11 MorganStanley SmithBarney

Reserved Forms 1099 for 2010 Client Statement

BARNEY FRANK Account Number

been reported. This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not

Totals	120000900 : 05/14/10	120000700 10/05/10	Reference or exchange number (Box ta)	1099-B, P.O
	578018CX10B0	576000AR40B0	e CUSIP number (Box 1b)	ceeds from I
	20,000 x	85,000	Quantity	Sireker and J
	MASSAGHUSETTS ST TPK AUTH MET- HWY SYS RE SUB-A-AMBAC-OID BK ENT DTD 3/15/99 F/C 7/1/99 DUE 01/01/2039 RATE 5,000	MASSACHUSETTS SCH BLDG AU DEDICATED SALES TAX SER A FSA BJE D8/17/05 F/C 2/15/06 DUE 08/15/2013 RATE 5,000	Description (Box 7)	Barteriexchange Irans
		\$ 110,086	Groon (Box	actions 2010 scaronage
C ONCO 400 DA	20,000,00	\$ 83,567.10	Gross proceeds Federal income less commissions tax withheld (Box 2)	(Stiff grant feature)

Reserved
Client Statement
2010 Year End Summary

Page 5 of

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BARNEY FRANK

Account Number 4

This information will help you understand the performance of your investments and manage your account with the assistance of your Financial Advisor. This information is not provided to the IRS. We are pleased to provide you with this overview of activity in your account this year

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5,850.56	ank deposit program - principal
11,75040	losed end funds
714,275.35	lunicipal bonds
231,224.77	utual funds
9,514.57	cerved bond / CD interest
\$ 59.62	ombined account balance
Amount	scription

Due to IRS reporting requirements, these figures may not be the same as totals reported on your monthly statement.

\$ 45,195.20	,	3-45,196.90	Totals
\$ 45,196.90		\$ 45,186.90	interest
Total	1089 Exempt	1089 Reported	Description
		reported on your monthly statement.	totals reported on your

*If you received accrued interest, it is included in this amount. 1000 Reported amount is a total of Boxes 1, 3, and 8 on Form 1090-INT. This includes tax-exempt interest and dividend income

Client Statement 2010 Year End Summary Reserved

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Corrected Copy as of 02/28/11

BARNEY FRANK

Account Number J

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Interest Income 20	
Interest Income 211	
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Interest Income 2010	١
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X (1)	١

The following details show how we derived your total interest reported on Form 1099-INT. Amounts in Box 1 and Box 3 include any taxable accrued interest received.

\$ 24.34

The following details show how we derived your total interest reported on Form 1099-INT. Amount in Box 9 is subject to AMT. This amount is already included in Box 8. Box 8 reports tax-exempt interest and dividend income.

		•				
Reference CL number De	CUSIP	investment expenses (Bax 5)	Foreign tax paid (Box 6)	Tax-axampt interest (Box 8)	Specified private activity bond interest (Box 9)	
130000200 561078886080	81078986080			\$ 850.00		-
¥	MALDEN MASS RFDG-MUN PURP LN					
c	L/T ASSURED GTY B/E GO OID					
Q	DD 10/15/08 F/C 4/15/10					
Ō	DUE 10/15/2027 RATE 4.250					
130000300 57	130000300 57582NG820B0			2,750.00		
Z	MASSACHUSETTS ST G/O REF					
Š	SER C FSA					
ф	B/E DD 11/18/04 F/C 6/1/05					
0	DUE 12/01/2018 RATE 5.500					
130000400 57	130000400 57582NG90080			3,850.00		
z	MASSACHUSETTS ST G/O REF					

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Reserved Client Statement 2010 Year End Summary

Account Number 4 BARNEY FRANK MorganStanley SmithBarney

Reference	CUSIP	Investment expenses (Box 5)	Foreign tax paid (Box 6)	Tax-exempt interest	Specified private activity bond interest	
130000500	57582NUA10B0			\$ 3,500.00		
	MASSACHUSETTS STATE CONS LOAN			,		
	SER A BOOK/ENTRY					
	DTD 1/28/04 F/C 8/1/04					
	DUE 08/01/2015 RATE 5.000					
130000000	57582N2P90B0			3,500.00		• *
	MASSACHUSETTS ST CONS LN-SER C			,		
	B/E DD 10/12/05 FC 3/1/06					
	DUE 09/01/2016 RATE 5.000					
130000700	57582PFX30B0			2,075.69		
	COMMONWEALTH OF MASS GIO		,	.		्र व
	CONS LOANS 2007C B/E AMBAC INS					•
	DD 8/18/07 F/C 2/1/08			-	-	
13000000	57682DNY20E0			of GSc C		
	COMMONWEALTH MASS GENL OBLIG-A					
÷	B/E DD 11/25/2008					
	F/C 03/01/2009					
	DUE 09/01/2028 RATE 5.000					
130000900	57582PNZ90B0			2,250.00		
	THE COMMONWEALTH OF				•	
	MASSACHUSETTS GENERAL					
	OBLIGATION REFUNDING BONDS					-
COCCOCC	\$7\$897C\$70B0			10835		
	MASSACHUSETTS ST CONS LN-SER B					
	BVE DD 6/1/00 L/T			,		
	F/C 12/1/00					
	DUE 08/01/2010 RATE 5.750					
130001100	57586CVH50B0			3,313.19		: : : : : : : : : : : : : : : : : : :
					-	

Reserved Client Statement 2010 Year End Summary

Account Number 2 BARNEY FRANK

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MorganStanley SmithBarney

Reserved Client Statement 2010 Year End Summary

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BARNEY FRANK

Account Number 1

	\$ 603,46	\$ 45,172.56	, -			Totals
					DUE 11/01/2024 RATE 5.000	
					B/E D5/25/04 F/C 11/1/04-OID	
			,		FACE REV SERIOR SER A GILL-MOIA	
					UNIVERSITY OF MASS BLUG AU	
		750.00			914438BZ80B0	130002300
					ADVANTAGE MUNICIPAL FUND	
					NUVEEN MASSACHUSETTS DIVIDEND	
	83.46	706.80			_	130002200
					DUE 08/01/2015 RATE 5.250	
-					DD 4/14/05 F/C 8/1/05	
					REV SER A BOOK/ENTRY MBIA	
	•				MASSACHUSETTS WTR RES AU GENL	
		1,050.00			5760A9H600B0	130002100
					DUE 01/01/2039 RATE 5.000	
			•		BK ENT DTD 3/15/89 F/C 7/1/89	
					HWY SYS RE SUB-A-AMBAC-OID	
					MASSACHUSETTS ST TPK AUTH MET	
		869.44			576018CX10B0	130002000
					DUE 01/01/2025 RATE 5.250	
					B/E DD 6/29/04 F/C 1/1/05	
					DEDICATED TAX REV SER A FGIC	
•					MASSACHUSETTS ST SPL OBLIG	
		\$ 1,050.00	!		130001900 576002AL30B0	130001900
	(Box 8)		(Bax 6)	(Bax 5)	Description	ł
	Specified private activity	Tax-extended interest	Foreign fax paid	investment extenses	CUSTR	Reference
		es \$-9- continued	. 2010, Box	rest income	Details of 1099 Reported Interest Income 2010, Boxes 5-9-control	Detail

Reserved Client Statement 2010 Year End Summary

Page 10 of

BARNEY FRANK
Account Number

Details of Long TempEntrol Loss) 2010

For additional information regarding this section see enclosed brochure.

This section shows your sales of securities during the year. The "Safe Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
COMMONWEALTH OF MASS G/O CONS LOAMS 2007C B/E AMBAC INS DD 8/16/07 F/C 2/1/08 DUE 08/01/2037 RATE 5.000	05/13/08	10/05/10	\$ 36,832.90 V	\$ 36,613.20 \$ 36,372.70 \	\$ 219.70 \$ 480.20	\$ 0.00 \$ 480.20
MASSACHUSETTS ST CONS LN-SER B B/E DD 8/1/00 L/T F/C 12/1/00 DUE 08/01/2010 RATE 5.750	03/28/06	06/01/10	35,000.00 🗸	38,314.25 36,000.00	(3,314.25) 0.00	0.00
MASSACHUSETTS HAE FACS AU RV WORCESTER CITY CORP SER F FGIC B/E D1/4/07FC4/1/07 DUE 10/01/2091 RATE 5.000	03/18/08	10/05/10	66,791.90 ▼	65,003,70 85,003.70	1,788.20 1,788.20	0.00 1,788.20
MASSACHUSETTS SCH BLDG AU DEDICATED SALES TAX SER A FSA BJE DØJ17/05 F/C 2/15/08 DUE 08/15/2013 RATE 5.000	Q7/11/06	10/05/10	93,567.10 V	91,450.55 87,798.20	2,116.55 5,788.90	0.00 5,768.90
MASSACHUSETTS ST TPK AUTH MET HWY SYS RE SUB-A-AMBAC-OID BK ENT DTD 3/15/99 F/C 7/1/99 DUE 01/01/2039 RATE 5.000	Unavailable	05/14/10	20,000.00			
		Augus .	\$ 252,191.90	\$ 231,381.70 \$ 224,174.60	\$ 810.20 \$ 8,017.30	\$ 0.00 \$ 8,017.30
	HWY SYS RE SUB-A-AMBAC-OID 3K ENT DTD 3/15/99 F/C 7/1/99 DUE 01/01/2039 RATE 5.000	1WY SYS. RE SUB.A. AMBAC-OID 3K ENT DTD 3/15/99 F/C 7/1/99 DUE 01/01/2039 RATE 5.000			\$ 262,191.90	\$ 231,381.70 \$ 262,181.90 \$ 224,174.80

Client Statement 2010 Year End Summary Reserved

Corrected Copy as of 02/28/11

BARNEY FRANK

Account Number

				_
Reference	Transaction Description	Trade Date	Paid	Interest Received
120000200	COMMONWEALTH OF MASS G/O CONS LOANS 2007C B/E AMBAC INS DD 8/16/07 F/C 2/1/08 DUE 08/01/2037 RATE 5.000	10/05/10		\$ 325.89
120000300	COMMONWEALTH MASS GENL OBLIG-A B/E DD 11/25/2008 F/C 03/01/2009 DUE 08/01/2028 RATE 5.000	01/12/10	1,209.72 J	,
120000500	MASSACHUSETTS H&E FACS AU RV WORCESTER CITY CORP SER F FGIC BUE DIVINITECTATOR DUE 10/01/2031 PATE 5.000	10/05/10		63.19
120000600	MASSACHUSETTS ST HSG FIN AGY RFDG HSG-SER A BJE REV DD 5/13/10 F/C 12/1/10 DUE 12/01/2021 RATE 4.200	07/26/10	266.00	,
120000700	MASSACHUSETTS SCH BLDG AU DEDICATED SALES TAX SER A FSA B/E D8/17/05 F/C 2/15/06 DUE 08/15/2013 RATE 5.000	10/05/10	,	625.69
120000900	MASSACHUSETTS ST TPK AUTH MET HWY SYS RE SUB-A-AMBAC-OID BK ENT DTD 3/15/99 F/C 7/1/99 DUE 01/01/2039 RATE 5.000	05/14/10		369.44
Fotal			C 4 A76 49	\$ 1.384.01

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Reserved Client Statement 2010 Year End Summary

Account Number BARNEY FRANK

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Withdrawais	vais				,	i			.
Reference	Darta	Description	Referral	Amount	Reference		Description	Referral	Amoun
220000100 02/24/10	02/24/10	MONEY TRANSFER 02/24/10MH THRU RBS CITIZENS, N.A. RBS CI TIZENS, N.A. PROVIDENCE RI BARNEY FRANK, NEWTON, MA REFERENCE # 36531199		\$ 1,500.00	220000200 03/03/10	01/20/20	MONEY TRANSFER 03/03/10MH THRU RBS CITIZENS, N.A. RBS CI TIZENS, N.A. PROVIDENCE RI BARNEY FRANK, NEWTON, MA REFERENCE # 2569/1018		\$ 4,000.00
220000300 03/30/10	03/30/10	MONEY TRANSFER 02/20/10MH THRU RBS CITIZENS, N.A. RBS CI TIZENS, N.A. PROVIDENCE RI BARNEY FRANKI NEWTON, MA REFERENCE # 3/281534		5,000.00	220000400	05/28/10	CHECK 0342190851 BY BOSTON STATE STREET TO BARNEY FRANK		3,500.00
220000500 07/14/10	07/14/10	CHECK 6510058244 BY BOSTON STATE STREET TO BARNEY FRANK		5,000.00	220000600 09/07/10	09/07/10	MONEY TRANSFER 09/07/10MH THRU RBS CITIZENS, N.A. RBS CI TIZENS, N.A. PROVIDENCE RI BARNEY FRANK BOSTON MA REFERENCE # 40962845		18,000,00
220000700 10/14/10	10/14/10	MONEY TRANSFER 10/14/10MH THRU RBS CITIZENS, N.A. RBS CI TIZENS, N.A. PROVIDENCE RI BARNEY FRANK 125 C STREET SE A PT 3 WASHINGTON DC 20003 REFERENCE # 41798580		200,000.00		•		·	
Total				\$ 237,000.00					

Consolidation Summary December 1 - December 31, 2009 Reserved Client

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125 C ST. S.E. APT. 3 **BARNEY FRANK** WASHINGTON DC 20003-1835



Accounts carried by Citigroup Global Markets Inc. Member SIPC.

Enclosed are statements for the following accounts in your consolidated household. "Total Value Comparison" and "Year to Date Summary" may contain information for previously existing accounts which have been recently consolidated. Unpriced securities are not included in the "Net Value" columns. Unless Summary otherwise indicated, values shown are for "This Period." Accrued interest and dividends, earned but not paid, are excluded from the Adjusted Net Value.

Total		Account Number
	BARNEY FRANK	Abbreviated Name
	RESERVED	Account Type
\$ 1,149,778,89 \$ 1,134,167.00	\$ 1,149,778.89 \$ 1,134,167.00	Total Value Prior Month/ Adj.Net Value
\$ 1,151,818.51 \$ 1,130,804.85	\$ 1,151,819.51 \$ 1,139,664.85	Total Value This Periodi Adj.Net Value
90.00	\$ 0.00	Net Securities Deposited/ Withdrawn
2000	\$ 0.00	Net Capital Deposits/ Withdrawals
\$ 238.12 \$ 7,000.36	\$ 238.12 \$ 7,090.98	Total Income Taxablel Non-Taxable
DBINE.	\$ 26,148.43	Unrealized Gain or (Loss)
\$ 0.00 ST	\$ 0.00 ST \$ 0.00 LT	Adjusted YTD Realized Gain or (Loss)

Beginning value net of \$1,018,841.48 deposits/withdrawals \$1,018,841.48 Ending total net value/ \$1,151,819.51 \$1,139,694.85			Net cash deposits/withdrawals (year to date) 132,000.00	Net security deposits/withdrawals (year to date) 0.00 15.49	Beginning total net value/ \$ 896,053.22 Adjusted net value as of 12/31/08 \$ 886,641.48	Year to Date Summary	
				6.0% Cash* – 15.4% Mutual Funds		Current T	
78.6% Fixed Income				sh		Current Total Asset Allocation Summary	
78.6% Fixed Income 0.00	.50		1.00	sh*	2.00	otal Asset Allocation Summary	
			1.00 - 896		2.00	otal Asset Allocation Summary	
		50			2.00		
					2.00		
					2.00		
					2.00	otal Asset Allocation Summary Total Value Comparison	
12/08 3/09 9/09 10/09 11/08 12/09					2.00		

Cash^x = Cash/BDP, Money Markets



125 C ST. S.E. APT. 3 WASHINGTON DC 20003-1835 **BARNEY FRANK**



Account carried by Citigroup Global Markets Inc. Member SIPC.

Account value		Last period	This period	xeriod x x	Cash, money fund, bank deposits	This period
Cash balance		\$ 0.00	\$ 7	\$ 79.75 .01	Opening balance	\$ 2,276.18
Bank Deposit Program ^{sel} -principal		2,276.18	69,320.10	80.10 6.02	Securities bought and other subtractions	(205.43)
Exchange traded & closed end funds		12,441.60	11,923.20	7.04 1.04	Securities sold and other additions	60,000.00
Accrued interest on bonds/CDs		15,611.89	12,124.66	N4.668 1.05	Deposits	0.00
Mutual funds		174,847.92	177,727.80	15.43 15.43	Withdrawais	0.00
Municipal bonds		944,601.30	880,644.00	14.010 76.48	Interest credited	6,981.25
Total value		\$ 1,149,778.88	\$ 1,151,819.51	9.51 100.00	Dividends credited	315.16
Total value (excluding accrued interest)	st)	\$ 1,134,167.00	\$ 1,139,694.85	¥4.85	Bank Deposit Program ^{sse} interest reinvested	8.24
					Capital gains distributions credited	24.45
					Closing balance	\$ 69,369.85
I		This period	This year	Year	A free credit balance in any securities account may be paid to you o	hay be paid to you o
Earnings summary	Taxable	Non-taxable	Taxable	Non-taxable	Although properly accounted for, these funds may be used for busine	ly be used for busine
Interest	\$ 0.00	\$ 6,981.25	\$ 0.00	\$ 41,695.00		
Other dividends	205.43	109.73	205.43	626.39		
Bank Deposit Program interest	8.24	0.00	34.49	0.00	Portfolio summary	This period
Cap. gains distributions-ST	24.45	0.00	24.45	0.00	Beginning total value (excl. accr. int.)	\$ 1,134,167.00
Cap. gains distributions-LT	0.00	0.00	0.00	0.00	Net security deposits/withdrawais	0.00
Total	\$ 238.12	\$ 7,090.98	\$ 284.37	\$ 42,321,39	Net cash deposits/withdrawais	0.00
					Beginning value net of deposits/withdrawais	1,134,167.00

	\$ 69,369.85	Closing balance
	24.45	Capital gains distributions credited
	8.24	Bank Deposit Program see Interest reinvested
	315.16	Dividends credited
	6,981.25	nterest credited
(8,000.00)	0.00	Withdrawals
140,000.00	0.00	Deposits
	60,000.00	Securities sold and other additions
	(205.43)	Securities bought and other subtractions
	\$ 2,276.18	Opening balance
This year	This period	Cash, money fund, bank deposits

\$ 121,053.37	\$ 5,527.85	Change in value
\$ 1,139,694.85	\$ 1,139,694.85	Total value as of 12/31/2009 (excl. accr. int.)
1,018,641.48	1,134,167.00	Beginning value net of deposits/withdrawals
132,000.00	0.08	Net cash deposits/withdrawals
0,00	0.00	Net security deposits/withdrawais
\$ 886,641.48	\$ 1,134,167.00	Beginning total value (excl. accr. int.)
This year	This period	Portfolio summary

Reserved Client Statement

December 1 - December 31, 2009

BARNEY FRANK

Additional summary information	tion	This	This year
	This period	Taxable	Non-taxable
Accrued interest you paid	\$ 0.00	\$ 0.00	\$ 2,827.64
Gain/loss summary		This period	This year
Original Realized gain or (loss)		(\$ 4,903.60)	(\$ 4,903.60) LT \$ 0.00 ST
Adjusted Realized gain or (loss)		0.00	0.00 L7
Unrealized gain or (loss) to date	26,148.43		

ORTFOLIO DETAILS

www.smithbarney.com. in some cases CGMT's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 12/31/09, but

the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see Please Note: unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

Bank Deposit Program

protected by the Securities Investor Protection Corporation ("SIPC") or any excess-SIPC coverage provided by Citigroup Global Markets Inc. For complete details please refer to the where an account type exceeds the maximum FDIC insurance limits, those deposits will be uninsured. Balances maintained in Deposit Accounts at each Affiliated Program Bank are not applies certain limits to the maximum deposit amount permitted in certain banks, which may be below the maximum FDIC insurance limits for certain types of accounts. In those instances "Bank Deposit Program Disclosure Statement." The Federal Deposit Insurance Corporation (FDIC) provides insurance on deposits up to \$250,000 per account owner, per bank. However, the Smith Barney Bank Deposit Program (BDP)

Total Bark I		69,320.10	Principal
Deposit Program	BANK DEPOSIT PROGRAM	CITIBANK NA SOUTH DAKOTA	Description
\$ 00,220.18		\$ 69,320.10	Current value
\$ 9.00			Accrued interest
*6	i	20%	Annualized %
*138.04	• .00.01	2 1.38.64	Anticipated income (annualized)



Client Statement

December 1 - December 31, 2009

BARNEY FRANK

Exchange traded & closed end funds

Closed end investment companies are grouped below by portfolio designations. Gain/Loss is provided to assist in tax preparation. It is not intended to calculate investment returns or based upon CIRA's expectation of the security's performance relative to its peer group of closed-end funds. The Risk Rating (L. M., H or S) represents the fund's expected risk, taking into entire research report and not infer its contents from the rating. CIRA closed-end fund recommendations include an investment rating and a risk rating. The investment Rating code (1.2, 3) is account the quality and liquidity of the underlying securities, financial leverage and foreign currency exposure. Please refer to the end of this statement for a guide describing CIRA ratings representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the Citi Investment Research & Analysis (CIRA) ratings may be shown for certain closed-end funds. All research ratings represent the "opinions" of the research provider and are not

fund holdings in your brokerage account may or may not be covered by CGR. Please contact your Financial Advisor for further information regarding whether your exchange-traded Consulting Group Research (CGR) conducts on-going research on a wide variety of exchange-traded funds for certain investment advisory programs. Your individual exchange-traded fund holdings are covered by CGR.

Total close	964	Quantity
Total closed end find municipal bond allocation. Total suchains tracked funds and closed and funds.	NUVEEN MASSACHUSETTS DIVIDEND ADVANTAGE MUNICIPAL FUND Municipal bond portfolio	Description
	NMB	Symbol
		Date acquired
2000	Please provide	Cost
	rovide	Share cost
	\$ 13.80	Current price
\$ 11,923.20 \$ 11,023.20	\$ 11,823.20	Current value
11.06.11	Not available	Unrealized gain/(loss)
	5.565%	Average % yield
1805	\$ 863.55	Anticipated Income (annualized)

Mutual funds

funds may be forced to cease operations when the value of a fund drops below \$1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders ATM debits from your account investment at \$1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or

Certain mutual funds may not be transferable to other broker-dealers. For further information, please refer to the fund's Prospectus or call your Financial Advisor. brokerage account may or may not be covered by CGR. Please contact your Financial Advisor for further information regarding whether your mutual fund holdings are covered by CGR Consulting Group Research (CGR) conducts on-going research on a wide variety of mutual funds for certain investment advisory programs. Your individual mutual fund holdings in your

information purposes only. "Cash Distributions (since inception)" when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions Distributions and current dividend for funds are based upon information provided by an outside vendor and are not verified by us. "Tax-Based Cost vs. Current Value" is being provided for Current Value" is provided to assist you in comparing your "Total purchases" excluding reinvested distributions, with the current value of the fund's shares in your account. "Fund Value" is received in cash due to but not limited to the following: investments made prior to 1/1/89, asset transfers, recent activity and certain adjustments made in your account. Total Purchases va Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. IncreaseIDecreaser reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception.



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Reserved Client Statement

December 1 - December 31, 2009

BARNEY FRANK

Total mutual bands (Tax Sansid)	2	16	5,821.415 Ta	6.718 Re	967.962 Re	4,846.735 Td	947.739	1,926.207	439.883 EG	1,532,906 C/	Mutual funds Number of shares Dec
Branco Decresse	Rund Value Increase/Decrease	Total Purchases vs. Current Value	Tax-based Cost vs. Current Value	Reinvestments to date	Reinvestments to date	Total Purchases			EQUITY PORTFOLIO CL A	CALVERT SOCIAL INVT FD	discription continued
										CSIEX	Symbol
							08/19/08	12/19/05	11/13/02	CSIEX 08/02/01	Date acquired
\$ 187,727.57		168,264.00	197,727.57	205.43	29,258.14	168,264.90	35,000.00	71,000.00	12,000.00	\$ 50,264.00	Cost
			33.966	30,579	30.226	34.72	36.93	36.86	27.28	\$ 32.79	Share cost
				30.53	30.53	30.53	30.53	30.53	30.53	\$ 30.53	Current price
\$ 177,727.80		177,727.80	177,727.80	205.10	29,551.88	147,970.82	28,934.47	58,807.10	13,429.63	\$ 46,799.62	Current value
(\$ 33) \$T (\$ 18,886.44) LT			(19,989.77)	(.33) ST	293.74 LT	(20,283.18)	(6,065.53) LT	(12,192.90) LT	1,429.63 LT	(\$ 3,464.38) LT	Unrealized gain/(loss)
	9,463.80	9,463.90		7	1		-1	I	7	<u> </u>	Net Value increase/
=			.114	!				 			Yield i
170374			203.74								Net Value Increase/ Anticipated Decrease Yield income (annualized)

Bonds

Unrealized gains & losses have been adjusted to account for the accretion of OID (original issue discount), the amortization of premium, and/or the accretion of market discount.

Call features shown indicate the next regularly scheduled call date and price. Your holdings may be subject to other redemption features including sinking funds or extraordinary calls.

The research rating for Moody's Investors Service and Standard & Poor's may be shown for certain fixed income securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Your Financial Advisor will be pleased to provide you with further information or assistance in interpreting research ratings.

Municipal bonds

4.415 4.250.00	55	4,795.80 LT 7,741.80 LT	96,246.35	113.231 1,805.56	107.583 104.123	91,450.55 86,504.55	07/11/06 576000AR4	MASSACHUSETTS SCH BLDG AU DEDICATED SALES TAX SER A FSA B/E D8/17/05 F/C 2/15/06 INT: 05.000% MATY: 08/15/2013 Patien: AA2/AAA	85,000
								F/C 12/1/00 INT: 05.750% MATY: 05/01/2010 Rating: AA2/AA	†
\$ 2,012.50	7	\$ 442.40		\$ 167.71	\$ 100.999	\$ 35,349,65	575827C57	B/E DD 6/1/00 L/T	
5.622	디	(\$ 2,522.20)	\$ 35,792.05	102.263	\$ 109,455	\$ 38,314.25	3 03/28/06	MASSACHUSETTS ST CONS LN-SER B 03/28/06	35,000
Current % Yield/ Anticip, Income (annualized)	is)	Unrealized Gain/(loss) Original/ Adjusted	Unr Current value	Current share price/Accrued interest	Share cost/ Adjusted share cost	Cost/ Adjusted cost	Date acquired/ CUSIP #	Amount Description.	Aunount



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Reserved Client Statement December 1 - December 31, 2009

BARNEY FRANK

Municipal bonds continued	
continued	-
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Amount	Amount Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Unr Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip. Income (annuelized)	Ordinary Income/ Capital gain/(loss)
20,000	MASSACHUSETTS ST SPL OBLIG DEDICATED TAX REV SER A FGIC B/E DD 6/29/04 F/C 11/1/05 INT: 05.250% MATY: 01/01/2014 Prerefunded bond Orig maturity: 01/01/25 Prerefunded price: \$ 100.000 Rating: A2/A	08/26/05 576002AL3	\$ 22,561,00 \$ 21,318,80	\$ 112.78 \$ 106.594	\$ 525.00	\$ 22,801.00	8 8		
70,000	MASSACHUSETTS STATE CONS LOAN SER A BOOK/ENTRY DTD 1/28/04 F/C 8/1/04 INT: 05.000% MATY: 08/01/2014 Prerefunded bond Orig maturity: 08/01/15 Prerefunded price: \$ 100.000 Rating: AA2/AA	57582NUA1	75,257.70 73,191.30	107.511 104.559	114.324 1,458.33	90,028.90	4,769.10 LT 8,835.50 LT	T 4,373	6,835.50
15,000	UNIVERSITY OF MASS BLDG AU FACL REV SENIOR SER A GTD-MBIA B/E D5/25/04 F/C 11/1/04-OID INT: 05.000% MATY: 11/01/2014 Prerefunded bond Orig maturity: 11/01/24 Prerefunded price: \$ 100.000 Rating: S&P AA	05/14/04 914438BZ8	14,735.70 14,735.70	96.238 96.238 ##	# 125.00	17,430.16	2,694.45 LT 2,694.46 LT	T 4.302 T 750.00	2,004.45
20,000	MASSACHUSETTS WTR RES AU GENL REV SER A BOOK/ENTRY MBIA DD 4/14/05 F/C 8/1/05 INT: 05.250% MATY: 08/01/2015 Rating: AA2/AA+	08/19/05 576049H60	22,794.40 21,586.60	113.947 108.433	115.531 437.50	23,108.20	311.80 LT 1,419.80 LT	T 4.544	0.00 1,419.80
85,000	MASSACHUSETTS H&E FACS AUTH REV PARTNERS HLTCRE SYS-G- B/E DD 6/28/07 F/C 1/1/08 INT: 04.250% MATY: 07/01/2016 Rating: AA2/AA	06/13/07 57586CYQ2	65,004.35 65,004.35	99,989 ##	104.682 ## 1,381.25	68,043,30	3,038.95 LT 3,038.95 LT	7 4.056 7 2,762.50	0.00 3,038.0,6
70,000	MASSACHUSETTS ST CONS IN-SER C B/E DD 10/12/05 FC 3/1/06 INT: 05.000% MATY: 09/01/2016 Rating: AA2/AA	07/11/06 57582N2P9	75,257.00 73,481.10	107.51 104.973	113.05 1,166.67	79,135.00	3,878.00 LT 5,653.90 LT	7 3,500.00	0.00 5,853.20



Reserved Client Statement

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December 1 - December 31, 2009

BARNEY FRANK

Municipal bonds continued

0.00 1,070.75	4.918 3,250.00	무도	1,070.75 1,070.75	86,074.45	101.653 812.50	99.998 99.998	65,003.70 65,003.70	03/18/08 57586CVH5	MASSACHUSETTS H&E FACS AU RV WORCESTER CITY CORP SER F FGIC B/E D1/4/07FC4/1/07 INT: 05.000% MATY: 10/01/2031 Rating: S&P A+ Next call on 10/01/16 @ 100.000	65,000
0.00 74.38	4.26 860.00	ST	74.00 74.20	19,949.40	99.747 182.92	99.377 99.376	19,875.40 19,875.20	10/15/09 561076B86	CTY MALDEN, MASSACHUSETTS GEN OBL MUNI PUR LOAN 2009 BONDS BYE GO DD 10/15/09 F/C 4/15/10 INT: 04.250% MATY: 10/15/2027 Int rate eff: 10/22/09 Rating: AA3/AAA Next call on 10/15/19 @ 100.000	20,000
0.00 (456.80)	4.931 1,050.00	55	(715.00) (455.60)	21,282.00	106.46 87.50	110.01 108.738	22,007.00 21,747.60	57586CY25	MASSACHUSETTS HLTH&EDL FACS AU 05/13/08 REV DANA-FARBER CANCER INST-K- 57586CY25 B/E DD 05/22/2008 INT: 05.250% MATY: 12/01/2020 Rating: A1/A Next call on 12/01/18 @ 100.000	20,000
9.00	5.1 98 520.00	O :	Not available	10,002.90	100.029 260.00	Please provide		C5759152B8	MASSACHUSETTS ST HSG FIN AGY HSG REV RENTAL-MTG-B-AMT-AMBAC5759152B8 -REG DTD 12/1/98 INT: 05.200% MATY: 07/01/2018 Next call on 01/31/10 @ 100.500	10,000
0.00 (1,070.40)	4.67 1,000.00	ST ST	(1,141.80) (1,970.40)	21,409.40	107.047 83.33	112.726 112.389	22,551.20 22,479.80	57586CX75	MASSACHUSETTS HLTH&EDL FACS AU 09/30/09 REV DANA-FARBER CANCER INST-K- 57586CX75 B/E DD 05/22/2008 INT: 05.000% MATY: 12/01/2017 Rating: A1/A	20,000
0.00 6,883.60	4,536 3,850.00	ម ក	4,489.10 6,883.60	83,050.80	118.644 320.83	112.231 109.096	78,561.70 76,367.20	07/12/06 57582NG90	MASSACHUSETTS ST G/O REF SER C MBIA B/E DD 11/18/04 F/C 6/1/05 INT: 05.500% MATY: 12/01/2017 Rating: AA2/AA	70,000
\$ 0,00 \$ 4,127,00	4.653 \$ 2,750.00	55	8 &	\$ 59,082.50	118.185 \$ 229.17	\$ 114.358 \$ 109.931	\$ 57,184.00 \$ 54,966.50	03/14/06 57582NG82	MASSACHUSETTS ST G/O REF SER C FSA B/E DD 11/18/04 F/C 6/1/05 INT: 05.500% MATY: 12/01/2016 Rating: AA2/AAA	50,000
Ordinary Income/ Capital gain/(loss)	Current % Yield/ Anticip, Income (annualized)		Unrealized Gain/(loss) Original/ Adjusted	Unre Current value	Current share price/Accrued Interest	Share cost/ Adjusted share cost	Cost/ Adjusted cost	Date acquired/ CUSIP #	Amount Description	Amount



Reserved Client Statement December 1 - December 31, 2009

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Municipal bonds continued

	20,000	70,000	35,000	45,000	Amount
ij	MASSACHUSETTS ST TPK AUTH MET HWY SYS RE SUB-A-AMBAC-OID BK ENT DTD 3/15/99 F/C 7/1/99 INT: 05.000% MATY: 01/01/2039 Rating: A1/AA Next call on 01/31/10 @ 100.000	MASSACHUSETTS SCHOOL BLDG AU DEDICATED SALES TAX-A-AMBAC B/E DD 3/27/07 F/C 8/15/07 INT: 05.000% MATY: 08/15/2037 Rating: AA2/AA+ Next call on 08/15/17 @ 100.000	COMMONWEALTH OF MASS G/O CONS LOANS 2007C B/E AMBAC INS DD 8/16/07 F/C 2/1/08 INT: 05.000% MATY: 08/01/2037 Rating: AA2/AA Next call on 08/01/17 @ 100.000	THE COMMONWEALTH OF MASSACHUSETTS GENERAL OBLIGATION REFUNDING BONDS INT: 05.000% MATY: 09/01/2032 Rating: AA2/AA Next call on 09/01/18 @ 100.000	Amount Description
	576018CX1	01/21/09 576000FH1	05/13/09 57582PFX3	01/21/09 57582PNZ9	Date acquired/ CUSIP #
1 821,885.05 07,822,70 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		68,430.00 68,430.00	36,613.20 36,505.00	\$ 46,284.80 \$ 46,177.85	Cost/ Adjusted cost
	Please provide 、	97.75 97.75	104.592 104.30	\$ 102.844 \$ 102.617 ##	Share cost/ Adjusted share cost
12,124.00	98.346 500.00	104.371 1,322.22	104.485 729.17	106.429 \$ 750.00	Current share price/Accrued Interest
01915/01/1	19,669.20	73,059.70	36,569.75	\$ 47,893.06	Unn Current value
1 54/388* 01 1 6/73/80* LT 1 1 4/32** 31	Not available	4,629.70 ST 4,629.70 ST	(43.45) ST 64.75 ST	\$1,508.25 ST \$1,715.40 ST	Unrealized Gain/(loss) Original/ Adjusted
2020013 2 2011 2012/2013 2017	5.084 1,000.00	4.79 3,500.00	4.785 1,750.00	4.697 \$ 2,250.00	Current % Yield/ Anticip, Income (annualized)
000 t 000 t 000 t	0.00 0.00	0.00 4,829.70	0.00 64.75	\$ 0.00 \$ 1,715.40	Ordinary Income/ Capital gain/(loss)

^{**}Unrealized Gain/Loss is only calculated when an original cost basis is available. ## Original Issue Discount



Reserved Client Statement

December 1 - December 31, 2009

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Investment activity	Activity	Description	Quantity	Price	Amount
12/01/09	Matured	MASSACHUSETTS ST CONS LN-SER C LTD/TAX BK/ENT DTD 8/1/01 F/C 12/1/01 DHE 12/1/12/2009 BATE 5.500	-10,000		\$ 10,000.00
12/15/09	Matured	MASSACHUSETTS ST FEDERAL HWY GRANT ANTIC NTS-A- B/E DD 11/15/00 F/C 6/15/01 DUE 12/15/2009 RATE 5.500	-50,000		50,000.00
12/28/09	Reinvest	CALVERT SOCIAL INVT FD EQUITY PORTFOLIO CL A	6.718	30.58	-205,43
Total securities bought and other subtractions Total securities sold and other additions	other subtractioner additions	ATS			\$ -205.43 \$ 80,000.00

Bank Deposit Program activity

as the payment for an investment purchase or the payment of FMA checks that you have written. The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from a Program Bank, such

		12/02/09 4	Date A	
		Autodeposit	Activity	Cheund Same
		12/02/09 Autodeposit BANK DEPOSIT PROGRAM	Description	7.0
		15,660.68	Amount	4 1,270.00
		12/16/09	Date	
		Autodeposit	Activity	
Closing balance	BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	12/16/09 Autodeposit BANK DEPOSIT PROGRAM	Description	
\$ 69,320.10	ED 8.24	51,375.00	Amount	

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12/01/09	12/01/09	Interest credited
MASSACHUSETTS ST G/O REF SER C MBIA B/E DD 11/18/04 F/C 6/1/05 DUE 12/01/2017 RATE 5.500	MASSACHUSETTS ST G/O REF SER C FSA B/E DD 11/18/04 F/C 6/1/05 DUE 12/01/2016 RATE 5.500	ed Description
REG INT ON 70000 BND PAYABLE 12/01/09	REG INT ON 50000 BND PAYABLE 12/01/09	Comment
		Taxable
1,925.00	\$ 1,375.00	Non-taxable
1,925.00	\$ 1,375.00	Amount



Reserved mber 31, 2009

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erest credited	continued	Comment	Taxable	Non-taxabie	Amount
12/01/09	MASSACHUSETTS ST CONS LN-SER B	REG INT ON 35000 BND	a may man also set	\$ 1,006.25	\$ 1,006.25
EU/IUZT	MASSACHUSELLS ST. CONS. IN-SEX 69 B/E DD 6/1/00 UT F/C 12/1/00 DUE 06/01/2010 RATE 5.750	E 12/01/0¢			
12/01/08	MASSACHUSETTS ST CONS LN-SER C LTD/TAX BK/JENT DTD 8/1/01 F/C 12/1/01 DUE 12/01/2009 RATE 5.500	REG INT ON 10000 BND PAYABLE 12/01/09		275.00	275.00
12/01/09	MASSACHUSETTS HLTH&EDL FACS AU REV DANA-FARBER CANCER INST-K- B/E DD 05/22/2008 DUE 12/01/2017 RATE 5,000	REG INT ON 20000 BND PAYABLE 12/01/09		500.00	500.00
12/01/09	MASSACHUSETTS HLTH&EDL FACS AU REV DANA-FARBER CANCER INST-K- B/E DD 05/22/2008 DUE 12/01/2020 RATE 5.250	REG INT ON 20000 BND PAYABLE 12/01/09	,	525.00	525.00
12/15/09	MASSACHUSETTS ST FEDERAL HWY GRANT ANTIC NTS-A- B/E DD 11/15/00 F/C 6/15/01 DUE 12/15/2009 RATE 5.500	REG INT ON 50000 BND PAYABLE 12/15/09		1,975.00	1,375.00
Other dividends	Description	Сопилен	\$ a.qq	\$ 8.981.25	Amount
12/01/09	NUVEEN MASSACHUSETTS DIVIDEND ADVANTAGE MUNICIPAL FUND	V ON		\$ 54.43	\$ 54.43
12/28/09	CALVERT SOCIAL INVT FD EQUITY PORTFOLIO CL A	PERIODIC DIST 5814.697 SHS REINV DIVIDEND RECORD 12/22/09 PAY 12/24/09	205.43		205.43
12/31/09	NUVEEN MASSACHUSETTS DIVIDEND ADVANTAGE MUNICIPAL FUND	CASH DIV ON 864,0000 SHS X/D 12/11/09		55.30	55.30
Total other dividends serned			1 205.43	\$ 108.73	\$316.18
Bank Deposit Program interest This section contains interest credited to your Date Description	Bank Deposit Program ^{sa,} interest This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information. Taxable Taxable	ded. See Portfolio details section for accrued in	terest information. Taxable	Non-taxable	Amount
12/31/09	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 12/01/08-01/03/10 34 DAYS AVERAGE YIELD .20 %.	\$ 8.24		\$ 8.24
Total Bank Deposit Program interest earned	Interest earned		1824	\$0.00	1824





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Reserved Client Statement December 1 - December :

December 1 - December 31, 2009

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Total brooms from capita	12/31/09	Capital gains dist
l gaha datibadara	NUVEEN MASSACHUSETTS DIVIDEND ADVANTAGE MUNICIPAL FUND	ributions Description
	S/T GNS ON	Comment
	864.0000 SHS	
\$ 0.00		Long Term
\$ 24.45	\$ 24.45	Short Term
\$ 24.45	\$ 24.45	Amount

GARVILOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from you, trades are allocated using the FIFO (first-in/first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

	Total Short Te	Total Long Ter	Total realized	Total Long Term this period	B/E DD 11/15/00 F/C 6/15/01 DUE 12/15/2009 RATE 5.500	GRANT ANTIC NTS-A-	MASSACHUSE	DUE 12/01/2009 RATE 5.500	F/C 12/1/01	LTD/TAX BK/ENT DTD 8/1/01	MASSACHUSE	Description	
State of the Control	Total Short Term year-to-date	otal Long Term year-to-date	folal resilized gain or (loss) Els period	m this period	00 F/C 6/15/01 0 RATE 5.500	NTS-A-	MASSACHUSETTS ST FEDERAL HWY	9 RATE 5.500		NT DTD 8/1/01	MASSACHUSETTS ST CONS LN-SER C		
A CONTRACTOR OF THE PROPERTY O						12/15/09 Redemption	03/14/06			12/01/09 Redemption	08/26/05	Closing Trade Date	Original Trade Date/
The Secretary and Control							50,000				10,000	Quantity	
			\$ 80,000,00			50,000.00	53,896.00			\$ 10,000,00	\$ 11,007.60	Adjusted basis	Cost basis/
						100.00	107.78			\$ 100.00	\$ 110.02	Adjusted price	Purchase price/
			\$ 80,000.00			50,000.00				10,000.00		Proceeds	Sale price/
4 4144	\$0.00	(\$ 4,903.60)	(\$ 4,903,80)	(\$ 4,903.60)			(3,896,00) LT				(\$ 1,007.60) LT	gain/(loss)	Original Realized
+ 0.00	\$ 0.00	\$ 0.00	\$0.00	\$ 0.00			0.00 רַז				\$ 0.00 LT	gain/(toss)	Adjusted Realized
							5				[]	Ordi	. .
					į	00	0.00		•	\$000	\$ 0.00	Ordinary Income	Capital gain/(loss)/



Reserved Client Statement

December 1 - December 31, 2009

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Guide to Citi Investment Research & Analysis (CIRA) Investment Ratings

Closec	Closed-End Fund Ratings	ng s	Close	Closed-End Fund Risk	
investn to its p	nent ratings are a eer group, and th	Investment ratings are a function of CIRA's expectation of the fund's total return relative to its peer group, and the ability to provide stable to rising dividends, where applicable.	Takes financi	Takes into account the financial leverage and	Takes into account the quality and liquidity of the underlying securities, financial leverage and foreign currency exposure.
Code	Rating	Expected Total Return	Code	Rating	Definitions
4	Outperform	Expected to outperform its peer group of closed-end funds,	٢	MOT	The fund invests in high quality, liquid securities with little
		and/or the fund is expected to provide stable to rising dividends,			to no financial leverage or foreign currency exposure
		where applicable	E	Medium	Overall, the fund invests in moderate to high quality, liquid
					securities with reasonable financial leverage and provides
N	In-Line	Expected to perform in line with its peer group of closed-end			primarily United States Dollar currency exposure
		funds, and/or the fund is expected to provide stable dividends,	×	High	The fund may invest in low quality, less-liquid securities,
		where applicable			have exposure to foreign currencies and above average degrees of financial leverage
ω	Underperform	Expected to underperform its peer group of closed-end funds, and/or the fund is expected to provide a declining dividend.	S	Speculative	The fund invests in low grade, illiquid and/or highly volatile securities, have exposure to foreign currency
		where applicable			and may also use high degrees of financial leverage

client statement and/or the "Market Value" of your account position page online, may not necessarily be reflective of actual market prices at which debt securities may be purchased or sold Message: In the wake of extreme volume and volatility impacting the various debt markets, please be aware that security valuations reflected under the "Current Value" heading of your

or overall market in general. such as call provisions and other features disclosed in security prospectuses and debt covenants, supply/demand imbalances in the market, and general volatility attributable to the issuer These factors include, but are not limited to, liquidity of the specific security and overall market, trade size, general credit quality and independent credit ratings, security product attributes Statement valuations provided to us through our pricing sources may not necessarily be indicative of where you may ultimately be able to buy or self a debt security due to various factors.

and a corrected copy may be forthcoming later in the tax filing season. A warning message, alerting you of this, will also appear on your original Form 1000/Year End Summary. (other than common shares) during 2009, and you normally file your income taxes early, please be advised that your original Form 1000/Year End Summary may not be the final version, Message: If you owned shares and/or units in a mutual fund, regulated investment company (RIC), unit investment trust (UIT), real estate investment trust (REIT), or foreign security

accounts will be available online within one day of the commencement of the mailing. If you are not enrolled in e-delivery and would like to take advantage of our online feature, so you will be able to view these important tax documents as soon as they are posted please contact your Financial Advisor. Forms 1084/Year End Summary mailing will commence on or about February 5th, and is tentatively scheduled to be completed by February 16th. Forms 1084/YES for all e-delivery Message: Production timeline of Forms 1099/Year End Summary: As a reminder, the filing deadline for Broker/Dealers is February 16th (as February 15th is a Holiday). This year's



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Client Statement
Forms 1099 and 2009 Year End Summary Reserved

Pegs 1 of 11

Summary, call toll-free Monday through Friday, If you have questions about your Forms 1899 or Year End udvice, please consult your tex udvisor.

Important income tax return document enclosed. For tax

9:00 a.m. to 5:00 p.m. EST., 1-800-727-1099 BOX

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MorganStanley SmithBarney

Reserved **Client Statement** Forms 1099 for 2009

Page 2 ef 11

Paper CITIBROUP GLOBAL NECTS INC. 390 BREENWICH STREET **8**0 Tax ID Number 11-2418191 HEW YORK, NEW YORK 10013 Affec Tex Reporting Service Center n negligance penalty or other seaction eary be imposed on you if this income is lexable and the IRS determines that it has not This is important tax inform Interest income # M. # Box 2 Foreign lux paid for and is being furnished to the Internal Revenue Service. If you are required to file a return, COOKAN SOMFUCION GROUP Branch Office: 617-570-6239 BOSTON NACISOR 28TH FLOOR 28 STATE ST. MORBANSTANLEY SMITHBALLNEY LLC Your Financial Advisor Comign country or US pomension Bex 3 Fodoral Income tax withhold Box 4 Tax-exempt interest St 127.70 20008-1836 ĂT.3 NASHRIGTON DC 125 CST. S.E. **LAPMEY FRAJE** 500.11

a negligence penalty or other seaction easy be imposed on you if this income is assable and the IRS determines that it has not This is important tax information and is being furnished to the internal Revenue Service. If you are required to like a return,

MorganStanley SmithBarney

Reserved
Client Statement
Forms 1099 for 2009

BARNEY FRANK

8	l <u>.</u>	80	l	4 4
Box 3	Nondividend distributions	\$ 229.88 Bax 18	Total ordinary dividends	
Bru 4	Federal become fax withold	Bax 15	Qualified dividends	
Bex 5	investment expenses	Bax 2a	Total captal gain distributions	
Box &	Fersign tax paid	8ox 2b	in Unreceptured Sec. 1250 gain	
Box 7	Foreign country or US possession	8x 2:	Section 1202 gain	
Bax &	Cast liquidation distributions	Bar 2d	Collectibles in (25%) gain	
Box 0	Non cach liquidation distributions	water to the second second		

Page 3 of 11

Reserved Forms 1099 for 2009 **Client Statement**

BLANKEY FRANK

Pajer 368 GREENWICH STREET CITIBROUP GLOBAL MKTS INC. HEW YORK, NEW YORK 10013 Tex ID Number 11-2418191 Nan: Tax Reporting Service Caster 28TH FLOOR Your Financial Advisor

CDOKAMLEDA/FUCKSIA GROUP 20 STATE ST. MORBANSTANLEY MAITHBAILNEY LLC

BOSTON NA CENSOS

Branch Uffice: 617-570-8238

APT 3 125 CST. B.E. MANEY FRANK

2008-165 WASHINGTON DC

This is important tax information and is being furnished to the informal Reviews Service. If you are required to file a return, a negligance penalty or other seaction may be imposed on you if this income is taxable and the IRS determines that it has not

is also deducted. Texable acurved interest is reported on Form (OBP-INT and included in your. "Details of 1989 Reported Interest Income". Gross proceeds from each transaction are individually reported to the IRS after deducting commissions. For bond transactions, accrued income

Totale	क्राम्य क्वक्रम्	פטוסבי פספססקב	CONSCO	Reference
	12/15/09	120108	8603	Date of sale or exchang (Box 14)
	5768PCJGD#0	\$758Z75W00\$IO	OBIEZ11/28425	Date of sale ox endiangs CLSIP remaiter Box 1a) (Bex 1b)
	50,000	ngota .	2000	Quantity
	MASSACHUSETTS ST FEDERAL HINY GRUNIT ANTIC NTS-A- BYE DID 11V 65/01 FIC 01/15/01 DUE 12/15/2009 RATE \$500	MASSACHUSETTS ST CORSIA-SER C LTD-FTAX BK/EMT DTD-SHIOH FIC 12/1401 DUE 12/01/2009 RATE 5.500	MASSACHUSETTS ST CONSUNSER B GENLOBUS BOOK ENT OND DID 15/1/1988 FFC 11/1/1999 DUSE 05/01/20/19 RATE 5.000	Description (Bex.7)
				Prior
00,000,00	56,000.00	HADDAD	\$ 20.2 9 0.0\$	Grees proceeds beas scennissica's (Bex 2)
•				Federal impene tax withheld (Bex 4)

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2009 Year End Summary Client Statement Reserved

BARNEY FRANK

This information will help you understand the performance of your investments and manuse your account with the

We are pleased to provide you with this overview of activity in your account this year.

assistance of your Financial Advisor. This information is not provided to the LRS. Særk daposit program - principal careed band / CD interest rational bonds ned and banks ised account believe able Accrued interest 880,844,00 Anourt 171.75 121.75 177.727.30 TI,EZZ, 08,320,80 Tetals Deher sividpads **

totals reported on your monthly statement. Due to IRS reporting requirements, these ligures may not be the sente as

1099 Reported

1099 Exemp

#41.35.B

*If you received account interest, it is included in this amount: 1099 Reported amount is a total of Boxes 1, 3, and 5 on Form 1000-DNI. This includes two-enempt interest and dividend income.

*If you received unous funds asymmes, capital gain distributions or non-totable distributions, they are included in this amount.

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Total \$42,365,88 \$41,505.70

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SmithBa	SmithBarney		Client State 2009 Year E	r End Summary	,
	·	,	BARNEY FRANK	×	·
The following details show how we derived your total interest reported on Form 1009-INT. Amounts in Box 1 and Box 3 include any taxable accrued interest received.	ur total interest reporte ble accrued interest re	id an Form 1099-IN beived.	.		-
Reference Reference Rescription	Interest income	Easy windrawal	interest en US Sanings Bonds Federal und Trees, obligators bax with Gors St	Faderal income star withhald starr in	
	67764	İ			
MANAGOVIL 1860-DA WANG					
The following details show how we derived your bald interest reported on Form 1009-INT. Amount in Box 9 is subject to amount is already included in Box 8. Box 8 reports fax-exampl interest and dividend income.	u bel interest report	d on Form 1099-W	T. Amourt in Box 9	subject to AMT.	
The following details show how we derived you This amount is already included in Box 8. Box Rainearce Description	ur bed interest reports is B reports fax-axempt residents interest reports interest report	information 1099-IN information and divident law paid law paid	Tan-everys here		
The following defails show how we derived you This emount is already included in Box & Box manning Description Reference MASSACHUSETTS ST G/O REF	w bits interest reports is reports is ex-extempt interest in the companies is a companies in the companies in the companies is a companies in the companies in	d on Form 1099-IN informet and divident for the paid and	Tan-example interest (Box 8)	C A	
The following details show how we derived you This amount is already included in Box & Box R. Box number Description Rategence BAR BAR FERA BIE DD 11/18/04 F/C 8/1/05 DUE 12/01/20/8 RATE 5.500	ur blei interest reports is reports fax-extempt investment experiess (Box 5)	d on Form 1099-IV informet and divident lau paid	T. Amount in Box 9 and incomps. Tan-example interest Box 8 1275000		
The following details show how we derived you This amount is already included in Box & Box R Box Baraban Barab	ur Ded interest reports is reports fax-extempt suppress (Box 5)	inform 1099.W	T. Amount in Box 9 and incomps. Tan-example interest Box 8 F2.75000		
The following defails show how we derived you This emount is already included in Box & Box R Box	ur bled interest reports is aports fax-extempt investment experiess (Box 5)	internet and divident but paid Box ()	T. Amount in Box 9 and income. Tan-exampl interest places 2,75000		
The following defails show how we derived you This amount is already included in Box & Eco. Reference Description RATE DD 197804 F/C e/ 1/0 B/E DD 197804 F/C e/ 1/0 TOCORD MASSACHUSETTS ST G/O REF SER C MEIA B/E DD 197804 F/C e/ 1/0 SER C MEIA B/E DD 197804 F/C e/ 1/0 DUE 127012017 RATE 5.500 TOCORD MASSACHUSETTS STATE CONS LOAN SER A ROUTEVERSON SER A ROUTEVERSON	ur blei interest reports is executable interest reports is executable interest is executable interest is executable interest in the superiors (Box 5)	d on Form 1099-W internet and divided Foreign to pead Box 6)	Townsort in Box 9 and incorps: Townsort in Box 9 and incorps: Fox 8 52,750.00 1,850.00 3,550.00		
The following declarie show how we derived you This arrount is already included in Box & Box R Box Barray B	ur besimtered reports fax-external separts fax-external separts fax-external separts s	d an Form 1009-IN interest and dividen in paid in paid But 6)	T. Amount in Box 9 and incomps. Tan-example interest Box 8 2,75000 3,55000		
The following declarie show how we derived you This amount is already included in Box & Box R Box	ur Des interest reports (ax-axempt separts (ax-axempt separts (ax-axempt separts separ	friend and divider law paid Box 9	T. Amount in Box 9 and incomps. Tan-example interest place 8 2,750.00 3,500.00 3,500.00		

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CONTROL OF MASS BLDG AU	ADVANTAGE MANICIPAL FUND	DUE DEOLÉGISETE WIT RES AU GENE REV SER A BOOKZENTRY NEIA DO 4/14/05 F/C8/1/05 DUE DEOLG/20/15 RATE 8,250	ASSACHUSETTS ST TPK AUTH WET HAYY SY'S RE SUB-A-AMBAC-OID BK BAT D'TD 3/1500 FAC 1/1800 DUE D'YDY/2000 PATE 5.000	TODOPHOD MASSACHUSETTS ST SPL OBLIG DEDICATED TAX REV SEB A FGIC B/E 0D 0028004 F/C 1/1/05 DXE/D1/01/2025/PATE 5,250	COCOTION MASSACHUSETTS SCHOOL BLDG AU DEDICATED SALES TAN-A-ANBAC BJE DD 302707 FJC 8/15/07 DJE 089 15/2887 PATE 5.000	DEDICATED SALES TAX SER A FSA 8/E D8/17/05 F/C 2/15/06 DAE 08/15/20/13 PATE 5.000	PRODIBOD MASBACHUSETTS ST HSG FN AGY HSG REV RENTAL-MTG-B-ANT-ANBAC REG DITD 121130 DUE 07/01/2018 HATE 5.200	REV DANA-FARBER CANCELINST-4- B/E DDOG/22/2008 DUE 12/01/2020 PATE 5/250	
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	וואל						520.00		Specified private activity those interest (Box 9)

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2009 Year End Summary

BARRY FRANK

Total The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividends received. 15000000 EGGÉGIGO CALVERT SOCIAL HIVIT FO ECLUSTY PORTFOLIO CL A MUVEEN MASSACHUSETTS DINDEND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED ADVANTAGE MUNICIPAL FUND Total Ordinary Dividends Short term widends capital gain *24.45 74.55 176.43 Saction 1202 Char 2c)

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The total of these two categories equals the amount reported in Form 1099-DIV, Box 1a.

Please note:

- "Dividends" includes both Qualified and non-qualified dividends received.
- Total capital gain distributions" is a total of bug term capital gain distributions and the amounts reported in Boxes 2b through 2d

This section shows your sales of security -or additional information regarding this section see anclosed browning eng propased for informational judposes only and should not be used for Eax preparation without the assistance of your tax advisor. hough ceet in lieu less then S20 is not included on Form 1990-8 (if applicable) it is included in this section. Please note, this meterial is les any accrued income you may have received. In actilion, S **33**C

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Reserved Client Statement 2009 Year End Summary

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