

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT**

Form A  
 For use by Members, officers, and employees

**HAND DELIVERED**

Page 1 of 1

Name: DAVID PHILLIP ROE

Daytime Telephone: (423) 929-7671

LEGISLATIVE RESOURCE CENTER

Office Use Only

2012 JUN 14 AM 10:50

Filter Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>TN</u>	<input type="checkbox"/> Officer or Employee
Report Type	<input checked="" type="checkbox"/> Annual (May 15, 2012)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

**PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS**

- I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.
- Yes  No
- II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.
- Yes  No
- III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.
- Yes  No
- IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.
- Yes  No
- V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.
- Yes  No
- VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.
- Yes  No
- VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.
- Yes  No
- VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.
- Yes  No
- IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.
- Yes  No
- Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS**

**TRUSTS**—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

**EXEMPTION**—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes  No

**SCHEDULE I—EARNED INCOME**

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income

**Exclude:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

**Exclude:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Source	Type	Amount
Keene State		
State of Maryland		
Civil War Roundtable (Oct. 2nd)	Approved Teaching Fee	\$6,000
Ontario County Board of Education	Legislative Pension	\$9,000
	Spouse Speech	\$1,000
	Spouse Salary	NA

**For payments to charity in lieu of honoraria, use Schedule IIJ.**

Name DAVID PHILLIP ROE  
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## SCHEDULE II – PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name DAVID

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List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

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### SCHEDULE III—ASSETS AND “UNEARNED” INCOME

Name DAVID PHILLIP ROH

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### SCHEDULE III.—ASSETS AND “UNEARNED” INCOME

### Continuation Sheet (if needed)

Name DAVID PHILLIP ROE

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### Continuation Sheet (if needed)

Asset and/or Income Source		BLOCK A												BLOCK B Year-End Value of Asset		BLOCK C Type of Income		BLOCK D Amount of Income		BLOCK E Transaction	
		SP. DC. JT																			
RESIDENTIAL REAL ESTATE - JOHNSON CITY, TN		None \$1 - \$1,000 \$1,001 - \$15,000 \$15,001 - \$50,000 <input checked="" type="checkbox"/> \$50,001 - \$100,000 \$100,001 - \$250,000 \$250,001 - \$500,000 \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000 Over \$50,000,000																			
FIDELITY INVESTMENT RETIREMENT ACCOUNT		<input checked="" type="checkbox"/> X												NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income (Specify: e.g., Partnership Income or Farm Income)							
		<input checked="" type="checkbox"/> X												None \$1 - \$200 \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000							
														SEE ATTACH SCHEDULE		5		\$ E		S P	

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## SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent child, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

## SCHEDULE V— LIABILITIES

Name DAVID PHILLIP ROE

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. **NOTE:** Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred Or Fear	Type of Liability	Amount of Liability							
				A	B	C	D	E	F	G	H
Example:	First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St, Dover, DE	\$10,001-\$15,000							
	GMAC MORTGAGE	10/96	MORTGAGE ON CONDO IN FRISCO, CO	\$15,001-\$50,000							
	BANK OF TENNESSEE	7/08	LINE OF CREDIT	\$50,001-\$100,000	X						
	SUNTRUST MORTGAGE, INC	2/08	MORTGAGE ON CONDO IN WASHINGTON DC	\$100,001-\$250,000							
				\$250,001-\$500,000							
				\$500,001-\$1,000,000							
				\$1,000,001-\$5,000,000							
				\$5,000,001-\$25,000,000							
				\$25,000,001-\$50,000,000							
				Over \$50,000,000							

## SCHEDULE VI— GIFTS

**Report** the source, a brief description, and the value of all gifts totalling more than \$350 received by you, your spouse, or a dependent child from any source during the year.

**Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold.

**Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	\$375

**Use additional sheets if more space is required.**

**SCHEDULE V— LIABILITIES**

Name DAVID PHILLIP ROE

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SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability							
				A	B	C	D	E	F	G	H
Example:	First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St, Dover, DE	\$10,001- \$15,000							
	PEOPLES COMMUNITY BANK	10/00	MORTGAGE ON REAL ESTATE IN JOHNSON CITY, TN.	\$15,001- \$50,000							
				\$50,001- \$100,000	X						
				\$100,001- \$250,000							
				\$250,001- \$500,000							
				\$500,001- \$1,000,000							
				\$1,000,001- \$5,000,000							
				\$5,000,001- \$25,000,000							
				\$25,000,001- \$50,000,000							
				Over \$50,000,000							J

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**Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Use additional sheets if more space is required.

## SCHEDULE VII—TRAVEL PAYMENTS AND REIMBURSEMENTS

Name DAVID PHILLIP ROE

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

**Exclude:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. §7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor

or were paid by you and reimbursed by the sponsor.

**Foreign Gifts and Decorations Act** (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

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## SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
PARTNER	SOFHA REAL ESTATE PARTNERSHIP LLC

## SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

**DAVID PHILLIP ROE**

**SCHEDULE 1**

Online at: [www.mymerill.com](http://www.mymerill.com)

24-Hour Assistance: (800) MERRILL

DR DAVID PHILIP ROE TEE  
 U/A DTD 08/08/2007

DAVID PHILIP ROE CRUT  
 #2 N CROSSBOW LN  
 JOHNSON CITY TN 37604-3639  
 1-423-282-5191

## ■ TRUST

This account is enrolled in the Personal Investment Advisory Program

<b>ASSETS</b>	December 30		November 30		December 01, 2011 - December 30, 2011
Cash/Money Accounts	<b>50,149.00</b>		34,221.00		
Fixed Income	59,800.62		68,457.85		
Equities	122,211.22		137,174.00		
Mutual Funds	200,763.67		191,994.40		
Options					
Other					
Alternative Investments	4,675.61		5,237.42		
Subtotal (Long Portfolio)	437,800.12		437,084.67		
Estimated Accrued Interest	959.73		925.49		
<b>TOTAL ASSETS</b>	<b>\$438,559.85</b>		<b>\$438,010.16</b>		
<b>LIABILITIES</b>					
Debit Balance	(5,897.10)		(209.59)		
Short Market Value	(192.00)				
<b>TOTAL LIABILITIES</b>	<b>(6,089.10)</b>		<b>(209.59)</b>		
<b>NET PORTFOLIO VALUE</b>	<b>\$432,470.75</b>		<b>\$437,800.57</b>		
Closing Cash/Money Accounts			\$44,251.90		
Securities You Transferred In/Out			133.63		625.80

*Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPFS) and other subsidiaries of Bank of America Corporation. MLPFS is a registered broker-dealer. Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products:*

Are Not FDIC Insured	Are Not Bank Guaranteed	May Lose Value
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Trust

Account Number

24 Hour Assistance: (800) MERRILL

## YOUR TAA BANK DEPOSIT INTEREST SUMMARY

December 01, 2011 - December 30, 2011

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A.	34.217	40.780	.15	5.02	50,145
Bank of America RI, N.A.	4	4	.15	0.00	4
<b>TOTAL M/L Bank Deposit Program</b>	<b>34,221</b>			<b>50,149</b>	

## ITEMS FOR ATTENTION

Security Description	Message	Date	Security	Message	Date
CALL OEF 00059.000	Option Expiring	01/21/12	CALL MRO 00029.000	Option Expiring	01/21/12
CALL MS 00017.500	Option Expiring	01/21/12			

## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

GOVERNMENT AND AGENCY SECURITIES <sup>1</sup> Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income	Estimated Current Yield%
Δ FEDERAL HOME LOAN BANK 03/11/09		10,000	10,037.79	100.9370	10,093.70	55.91	100.00	400	3.96
CALLABLE BONDS 04.000% MAR 30 2012									
MOODY'S: AAA S&P: AA+ CUSIP: 3133X5H43									
PAR CALL DATE: 03/30/05 PAR CALL PRICE: 100.00									
ORIGINAL UNIT/TOTAL COST: 104,520.05/10,452.05									
<b>TOTAL</b>		10,000	10,037.79	10,093.70	55.91	100.00	400	3.96	
CORPORATE BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income	Estimated Current Yield%
Δ MORGAN ST DEAN WITTER 12/06/11	1,000	1,005.89	100.8320	1,008.32	2.43	16.32	66	6.54	
GLB 06.500% APR 01 2012									
MOODY'S: A2 S&P: A- CUSIP: 617446HC6									
ORIGINAL UNIT/TOTAL COST: 100,732.01/1,007.32									

Trust

## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

Account Number:

December 01, 2011 - December 30, 2011



CORPORATE BONDS (continued)		Acquired	Quantity	Adjusted Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Estimated Current Yield%
GENERAL ELECTRIC COMPANY		10/11/07	15,000	14,916.33	104.2100	15,631.50	715.17	310.42	750	4.79
GLB 05.000% FEB 01 2013										
MOODY'S: Aa2 S&P: AA+										
CUSIP: 369604AY9										
GOLDMAN SACHS GROUP LP		10/11/07	10,000	9,670.00	102.7600	10,276.00	606.00	123.61	500	4.86
GLB 05.000% OCT 01 2014										
MOODY'S: A1 S&P: A+										
CUSIP: 38143UAW1										
Δ WAL-MART STORES		06/12/09	10,000	10,207.94	111.9880	11,198.80	990.86	223.75	450	4.01
04.500% JUL 01 2015										
MOODY'S: Aa2 S&P: AA										
CUSIP: 931142BY8										
ORIGINAL UNIT/TOTAL COST: 103,423.00/10,342.30										
Δ CISCO SYSTEMS INC		03/02/11	10,000	10,681.60	115.9230	11,592.30	910.70	185.63	495	4.27
GLB 04.950% FEB 15 2019										
MOODY'S: A1 S&P: A+										
CUSIP: 17275RAE2										
ORIGINAL UNIT/TOTAL COST: 107,487.00/10,748.70										
<b>TOTAL</b>			46,000	<b>46,481.76</b>		<b>49,706.92</b>	<b>3,225.16</b>	<b>859.73</b>	<b>2,261</b>	<b>4.55</b>

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Estimated Current Yield%
ABBOTT LABS	ABT	09/22/11	50	50.2034	2,510.17	56.2300	2,811.50	301.33	96	3.41
AIR PRODUCTS & CHEM	APD	08/11/11	33	78.6757	2,596.30	85.1900	2,811.27	214.97	77	2.72
AMERICAN TOWER CORP CL A	AMT	11/04/11	28	57.4489	1,608.57	60.0100	1,680.28	71.71		
ANADARKO PETE CORP	APC	12/05/11	20	82.0500	1,641.00	76.3300	1,526.60	(114.40)	8	.47
AUTOMATIC DATA PROC	ADP	08/09/11	55	46.6225	2,564.24	54.0100	2,970.55	406.31	87	2.92

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Trust

Account Numb

24-Hour Assistance: (800) MERRILL

December 01, 2011 - December 30, 2011

**YOUR TAA STATEMENT OF PRINCIPAL  
INVESTMENTS**

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Estimated Current Yield%	
Description												
BAXTER INTERNATIONAL INC		BAX	11/22/11	39	48.8848	1,906.51	49.4800	1,929.72	23.21	53	2.70	
Subtotal			12/05/11	12	51.9766	623.72	49.4800	593.76	(29.96)	17	2.70	
CAPITAL ONE FINL		COF	11/04/11	51	1,656.00	2,530.23		2,523.48	(6.75)	70	2.70	
Subtotal			11/17/11	36	46.0000	1,741.47	42.2900	1,522.44	(133.56)	8	.47	
CARMAX INC		KMX	11/03/11	42	41.4635	78	3,397.47	3,298.62	(98.85)	17	.47	
Subtotal				54	30.5644	1,650.48	30.4800	1,645.92	(4.56)			
CENTURYLINK INC SHS		CTL	06/03/11	91	41.5358	3,779.76	37.2000	3,385.20	(394.56)	264	7.79	
CHEVRON CORP		CVX	08/18/11	27	93.0596	2,512.61	106.4000	2,872.80	360.19	88	3.04	
COCA COLA COM		KO	08/10/11	39	65.3953	2,550.42	69.9700	2,728.83	178.41	74	2.68	
CSX CORP		CSX	10/04/11	134	18.0976	2,425.09	21.0600	2,822.04	396.95	65	2.27	
DEERE CO		DE	03/11/11	19	85.9689	1,633.41	77.3500	1,469.65	(163.76)	32	2.12	
Subtotal			03/16/11	23	86.4300	1,987.89	77.3500	1,779.05	(208.84)	38	2.12	
DISCOVER FINL SVCS		DFS	11/04/11	42	3,621.30	24,1500	1,642.20	24.0000	1,632.00	(10.20)	28	1.66
DOVER CORP		DOV	09/15/11	68	52.8948	37	1,957.11	58.0500	2,147.85	190.74	47	2.17
Subtotal			10/07/11	13	49.9692	2,606.71	649.60	58.0500	754.65	105.05	17	2.17
EATON CORP		ETN	11/01/11	50	45.0841	58	2,614.88	43.5300	2,524.74	(90.14)	79	3.12
EMERSON ELEC CO		EMR	08/10/11	59	43.1854	59	2,547.94	46.5900	2,748.81	200.87	95	3.43
EXXON MOBIL CORP		XOM	08/18/11	36	70.7983	70	2,548.74	84.7600	3,051.36	502.62	68	2.21
FLUOR CORP NEW DEL COM		FLR	09/16/11	54	60.7838	54	3,282.33	50.2500	2,713.50	(568.83)	27	.99
FORD MOTOR CO		F	08/14/09	53	7.8522	273	416.17	10.7600	570.28	154.11	11	1.85
Subtotal			08/25/09	60	7.6000	60	2,074.80	10.7600	2,937.48	862.68	55	1.85
Subtotal			09/18/09	386	6.9900	60	419.40	10.7600	645.60	226.20	12	1.85
Subtotal					2,910.37		4,153.36		7,242.99		78	1.85

Trust

Account Number:

**YOUR TAA STATEMENT OF PRINCIPAL  
INVESTMENTS**

December 01, 2011 - December 30, 2011



Bank of America Corporation

Description	Symbol	Acquired	Quantity	Unit	Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Estimated Current Yield%
GENL DYNAMICS CORP COM	GD	09/07/11	43	61.5700	2,647.51	66,4100	2,855.63	20,812	81	2.83	
GOOGLE INC CL A	GOOG	09/06/11 09/07/11	3 1	514.8033 533.3700	1,544.41 533.37	645.9000 645.9000	1,937.70 645.90	393.29 112.53			
<i>Subtotal</i>			4		2,077.78			2,583.60	505.82		
HESS CORP	HES	09/06/11	56	56.0267	3,137.50	56.8000	3,180.80	43.30	23	.70	
HONEYWELL INTL INC DEL	HON	10/27/11 10/31/11	24 26	54.0000 52.9150	1,296.00 1,375.79	54.3500 54.3500	1,304.40 1,413.10	8.40 37.31	36	2.74	
<i>Subtotal</i>			50		2,677.79			2,717.50	45.71	75	
JACOBS ENGN GRP INC DELA	JEC	12/09/10 12/10/10	26 51	42.2000 42.3374	1,097.20 2,159.21	40.5800 40.5800	1,055.08 2,069.58	(42.12) (89.63)			
<i>Subtotal</i>			77		3,256.41			3,124.66	(131.75)		
JOHNSON AND JOHNSON COM	JNJ	08/10/11	42	61.3800	2,577.96	65.5800	2,754.36	176.40	96	3.47	
JPMORGAN CHASE & CO	JPM	08/10/11 09/22/11	129 20	34.6834 29.2900	4,474.17 585.80	33.2500 33.2500	4,289.25 665.00	(184.92) 79.20	129	3.00	
<i>Subtotal</i>			149		5,059.97			4,954.25	(105.72)	149	
KIMBERLY CLARK	KMB	08/09/11	40	63.4022	2,536.09	73.5600	2,942.40	406.31	112	3.80	
MARRATHON OIL CORP	MRO	11/12/10 07/07/11	88 43	20.2238 33.0400	1,779.70 1,420.72	29.2700 29.2700	2,575.76 1,258.61	796.06 (162.11)	53	2.04	
<i>Subtotal</i>			131		3,200.42			3,834.37	633.95	79	
MARATHON PETROLEUM CORP	MPC	12/06/11	50	34.5102	1,725.51	33.2900	1,664.50	(61.01)	50	3.00	
MCDONALDS CORP COM	MCD	09/12/11	29	85.5572	2,481.16	100.3300	2,909.57	428.41	82	2.79	
MEDTRONIC INC COM	MDT	08/29/11 10/07/11	57 20	34.4894 32.7270	1,965.90 654.54	38.2500 38.2500	2,180.25 765.00	214.35 110.46	56	2.53	
<i>Subtotal</i>			77		2,620.44			2,945.25	324.81	76	
MICROSOFT CORP	MSFT	08/03/11	130	26.6138	3,459.80	25.9600	3,374.80	(85.00)	104	3.08	

Trust

Account Number:

24-Hour Assistance: (800) MERRILL

## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued)									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income Yield%
MORGAN STANLEY	MS	08/25/11 08/26/11	148 6	17.5900 16.5700	2,603.32 99.42	15.1300 15.1300	2,239.24 90.78	(364.08) (8.64)	30 1.32
<i>Subtotal</i>			154		2,702.74		2,330.02	(372.72)	2 1.32
NOBLE CORP NAME/NAKT	NE	10/05/11	109	28.6710	3,125.14	30.2200	3,293.98	168.84	65 1.95
PAYCHEX INC	PAX	08/09/11	98	26.2746	2,574.92	30.1100	2,850.78	375.86	126 4.25
PEPSICO INC	PEP	09/12/11	43	59.8286	2,572.63	66.3500	2,853.05	280.42	89 3.10
PROCTER & GAMBLE CO	PG	08/09/11	43	59.5500	2,560.65	66.7100	2,868.53	307.88	91 3.14
QUEST DIAGNOSTICS INC	DGX	09/16/11	67	49.0200	3,284.34	58.0600	3,890.02	605.68	46 1.17
TERADATA CORP DEL	TDC	09/15/11 10/07/11	38 20	51.1968 53.9160	1,945.48 1,078.32	48.5100 48.5100	1,843.38 970.20	(102.10) (108.12)	
<i>Subtotal</i>			58	3,023.80	2,813.58			(210.22)	
UNITED TECHS CORP COM	UTX	08/14/09 08/28/09	4 32	56.6875 59.5984	226.75 1,907.15	73.0900 73.0900	292.36 2,338.88	65.61 431.73	8 2.62 62 2.62
<i>Subtotal</i>			36	2,133.90	2,631.24			497.34	70 2.62
VALERO ENERGY CORP NEW	VLO	12/05/11	75	22.9834	1,723.76	21.0500	1,578.75	(145.01)	45 2.85
WAL-MART STORES INC	WMT	08/10/11	52	49.4357	2,570.66	59.7600	3,107.52	536.86	76 2.44
<b>TOTAL</b>				115,265.69	122,211.22	6,945.53	2,922	2.39	
<b>MUTUAL FUNDS/CLOSED END FUNDS/UIT</b>									
<i>Description</i>	<i>Quantity</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Unrealized Gain/(Loss)</i>	<i>Total Client Investment</i>	<i>Cumulative Investment Return (\$)</i>	<i>Estimated Annual Current Income</i>	<i>Estimated Current Income Yield%</i>
AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2	360	3,354.16	10.6600	3,837.60	483.44	3,192	645	304	7.89
SYMBOL: AHIX Initial Purchase: 05/12/09									
Fixed Income 100% .7700 Fractional Share		8.20	10.6600	8.21	.01			1	7.89
BLACKROCK INFLATION	735	7,966.60	11.6800	8,584.80	618.20	7,516	1,068	327	3.80

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Trust

## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

Account Number

December 01, 2011 - December 30, 2011



Bank of America Corporation

MUTUAL FUNDS/CLOSED END FUNDS/ETF (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
PROTECTED BD FD INST CL SYMBOL: BPRX <i>Fixed Income 100%</i>								
.3310 Fractional Share								
EMERGING GLOBAL SHARES DOW JONES SYMBOL: ECON <i>Initial Purchase: 08/15/11 Equity 100%</i>	178	3,983.57	21.9800	3,912.44	(71.13)	3,983	(71)	22 .55
FIRST EAGLE OVERSEAS CL I SYMBOL: SGDX <i>Initial Purchase: 09/25/08 Equity 100%</i>	858	17,607.77	20.6500	17,717.70	109.93	15,695	2,022	388 2.18
GUGGENHEIM BULLETPARTS 2016 CORPORATE BOND ETF SYMBOL: BSCG <i>Initial Purchase: 09/16/10 Fixed Income 100%</i>	192	4,042.27	21.2600	4,081.92	39.65	4,042	39	117 2.85
GUGGENHEIM BULLETPARTS 2014 HY CORP SYMBOL: BSIE <i>Initial Purchase: 06/01/11 Fixed Income 100%</i>	151	3,888.25	25.3000	3,820.30	(67.95)	3,888	(67)	190 4.96
GUGGENHEIM BULLETPARTS 2015 HY CORP	185	4,723.05	25.1700	4,656.45	(66.60)	4,723	(66)	252 5.41

Trust

Account Number:

24-Hour Assistance: (800) MERRILL

**YOUR TAA STATEMENT OF PRINCIPAL  
INVESTMENTS**

December 01, 2011 - December 30, 2011

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)										Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment					
INVEESCO CONVERTIBLE SECURITIES FUND CL Y SYMBOL: CNSDY Initial Purchase: 08/09/11 Fixed Income 100%	274	5,119.83	18.8100	5,153.94	34.11	5,119	34	121	2.33		
		12.30	18.8100	12.38	.08			1	2.33		
ISHARES TRUST S&P MIDCAP 400 INDEX FUND SYMBOL: IJH Initial Purchase: 11/16/10 Equity 100%	179	15,765.72	87.6100	15,682.19	(83.53)	15,765	(83)	200	1.27		
		83.53	87.6100	83.53	.00			1	1.27		
ISHARES MSCI EMERGING MKTS INDEX FD SYMBOL: EEM Initial Purchase: 02/11/10 Equity 100%	232	9,055.76	37.9400	8,802.08	(253.68)	9,055	(253)	188	2.12		
		253.68	37.9400	253.68	.00			1	2.12		
ISHARES TR DOW JONES US SYMBOL: IHI Initial Purchase: 10/10/07 Equity 100%	113	6,578.00	58.7600	6,639.88	61.88	6,578	61	18	.26		
		61.88	58.7600	61.88	.00			1	.26		
ISHARES BARCLAYS INTERME SYMBOL: CIU Initial Purchase: 05/12/10 Fixed Income 100%	39	4,060.75	107.1800	4,180.02	119.27	4,060	119	156	3.71		
		119.27	107.1800	119.27	.00			1	3.71		

Trust

# YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

Account Number:

December 01, 2011 - December 30, 2011

<b>MUTUAL FUNDS/CLOSED END FUNDS/ETN (continued)</b>							<b>Cumulative Investment Return (\$)</b>	<b>Estimated Annual Current Income Yield%</b>
<b>Description</b>	<b>Quantity</b>	<b>Total Cost Basis</b>	<b>Estimated Market Price</b>	<b>Estimated Market Value</b>	<b>Unrealized Gain/(Loss)</b>	<b>Total Client Investment</b>		
iSHARES TR DOW JONES US TECHNOLOGY SECTOR INDEX F	325	17,503.31	63.9000	20,767.50	3,264.19	17,503	3,264	127 .60
<b>SYMBOL: IYW</b>								
<i>Initial Purchase: 05/13/09</i>								
<i>Equity 100%</i>								
iSHARES TR RUSSELL 2000 INDEX FD	44	3,274.92	73.7500	3,245.00	(29.92)	3,274	(29)	46 1.39
<b>SYMBOL: IWM</b>								
<i>Initial Purchase: 12/23/11</i>								
<i>Equity 100%</i>								
iSHARES S&P 100 INDEX FUND	232	13,024.44	57.0300	13,230.96	206.52	13,024	206	274 2.06
<b>SYMBOL: OEF</b>								
<i>Initial Purchase: 10/19/11</i>								
<i>Equity 100%</i>								
KAYNE ANDERSON MLP SYMBOL: KYN	158	4,207.29	30.3700	4,798.46	591.17	4,207	591	323 6.71
<b>Equity 100%</b>								
LORD ABBETT FLOATING RATE FUND CL F SYMBOL: LFRFX	277	2,567.41	9.0000	2,493.00	(74.41)	2,512	(19)	130 5.21
<b>Fixed Income 100%</b>								
<i>.5630 Fractional Share</i>								
<i>5.07</i>		<i>9.0000</i>		<i>5.07</i>				<i>7 5.21</i>
OPPENHEIMER INTERNATIONAL BOND FD Y	1,166	7,663.90	6.2000	7,229.20	(434.70)	7,325	(95)	315 4.35
<b>SYMBOL: OIBY</b>								
<i>Initial Purchase: 03/29/10</i>								
<i>Equity 100%</i>								
<i>1</i>		<i>N/A</i>	<i>6.2000</i>	<i>6.20</i>		<i>N/A</i>		<i>7 4.35</i>
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Trust

**YOUR TAA STATEMENT OF PRINCIPAL  
INVESTMENTS**

Account Number:

December 01, 2011 - December 30, 2011  
24-Hour Assistance: (800) MERRILL

MUTUAL FUNDS/CLOSED END FUNDS/UNIT (continued)							Cumulative Investment Return (\$)			Estimated Annual Current Income Yield%	
Description	SYMBOL	Initial Purchase: 1/1/23/07	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment			
PENNSYLVANIA MUTUAL FD SYMBOL: PENMX Initial Purchase: 07/22/09 Equity 100% .0930 Fractional Share			945	8,336.79	10,7600	10,168.20	1,831.41	7,947	2,220	18	.17
PIMCO TOTAL RETURN FUND CL P SYMBOL: PTPX Initial Purchase: 05/21/10 Fixed Income 100% .3410 Fractional Share			311	3,456.01	10,8700	3,380.57	(75.44)	3,138	241	109	3.20
POWERSHARES BUILD AMERIC BOND ETF SYMBOL: BAB Initial Purchase: 02/12/10 Fixed Income 100%			301	7,698.55	28,7000	8,638.70	940.15	7,698	940	450	5.20
RS FLOATING RATE FUND CL Y SYMBOL: RSFVX Initial Purchase: 02/18/11 Fixed Income 100% .3250 Fractional Share			380	3,945.32	9,8600	3,746.80	(198.52)	3,894	(147)	212	5.63
RS LOW DURATION BOND FD CL Y			431	4,404.27	10,2100	4,400.51	(3.76)	4,404	(3)	113	2.55

Trust

Account Number:

# YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

<b>MUTUAL FUNDS/CLOSED END FUNDS/ETFS</b>		(continued)		Total Quantity	Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
<b>SYMBOL:</b> RSDYX	<i>Initial Purchase: 11/16/11</i>										
<i>Fixed Income 100%</i>											
.5330 Fractional Share				5.45		10.2100		5.44		(0.01)	
<b>SECTOR SPDR CONSMRS STPL</b>											
<b>SYMBOL: XLP</b>	<i>Initial Purchase: 12/09/11</i>			164		5,241.44	32,4900	5,328.36		86.92	5,241
<i>Equity 100%</i>											
<b>SPDR DB INTL GOV INFL</b>											
<b>SYMBOL: WIP</b>	<i>Initial Purchase: 04/23/08</i>			90		5,471.32	56,7500	5,107.50		5,471	(363)
<i>Fixed Income 100%</i>											
<b>SUNAMERICA SENIOR FLOATING RATE FUND CL A</b>											
<b>SYMBOL: SASFX</b>	<i>Initial Purchase: 05/24/10</i>			298		2,405.65	7,9600	2,372.08		(33.57)	2,372
<i>Fixed Income 100%</i>											
.8280 Fractional Share						6.57	7,9600	6.59		.02	
<b>TCW TOTAL RETURN BOND FD CL I</b>											
<b>SYMBOL: TGLMX</b>	<i>Initial Purchase: 06/29/10</i>			579		5,862.39	9,6400	5,581.56		5,663	(82)
<i>Fixed Income 100%</i>											
.3470 Fractional Share						3.35	9,6400	3.35			
<b>TEMPLETON GBL BOND FD ADV CL</b>											
<b>SYMBOL: TGBAX</b>	<i>Initial Purchase: 06/30/09</i>			357		4,343.44	12,3700	4,416.09		72.65	4,136
<i>Fixed Income 100%</i>											

Trust

## YOUR TAX STATEMENT OF PRINCIPAL INVESTMENTS

Account Number

24-Hour Assistance: (800) MERRILL

December 01, 2011 - December 30, 2011

MUTUAL FUNDS/CLOSED-END FUNDS/UT	(continued)		Cost Basis	Total Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Estimated Annual Current Income Yield%
Description	Quantity									
TORTOISE MLP FD INC SYMBOL: NTG Equity 100%	.4620 Fractional Share		5.66	12.3700	5.71	.05			1	5.11
VANGUARD FINANCIALS ETF SYMBOL: VFH Equity 100%	106	Initial Purchase: 12/02/11	2,657.48	25.7700	2,731.62	74.14	2,657	74	175	6.40
VANGUARD FINANCIALS ETF SYMBOL: VFH Equity 100%	100	Initial Purchase: 12/02/11	2,751.71	27.6199	2,761.99	10.28	2,751	10	56	2.02
<b>Subtotal (Fixed Income)</b>				77,612.70						
<b>TOTAL Subtotal (Equities)</b>	191,030.69		119,930.50	197,543.20	6,506.31		10,621	5,745	2.91	
<b>Total Client Investment:</b> Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.										
<b>Cumulative Investment Return:</b> Estimated Market Value minus Total Client Investment.										
<b>Cumulative Investment Return:</b> Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.										
<b>Market Timing:</b> Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.										
ALTERNATIVE INVESTMENTS	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Estimated Current Income Yield%	
ISHARES GOLD TR Subtotal	10/04/11 10/04/11	302 5 307	16.1300 15.9160 4,950.84	4,871.26 79.58 4,675.61	15.2300 15.2300 (271.80) (3.43) (275.23)	4,599.46 76.15 (275.23)				

Trust

## YOUR TAA STATEMENT OF PRINCIPAL

December 01, 2011 - December 30, 2011

Account Number: -

## **YOUR TAA STATEMENT OF INCOME INVESTMENTS**

ALTERNATIVE INVESTMENTS (continued)						
Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value
<b>TOTAL</b>			4,950.84		4,675.61	(275.23)
<b>TOTAL PRINCIPAL INVESTMENTS</b>			367,766.77		384,230.65	16,457.68
					11,328	2.95

Notes

**A Debt Instruments purchased at a premium show amortization**  
**B Debt Instruments purchased at a discount show accretion**

Some agency securities are illiquid.

For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit-enhanced securitization, total values exclude V/A items.

Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

CASH/MONEY ACCOUNTS		Quantity	Total	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
Description	CASH						
CASH		68.20	68.20		68.20		
ML BANK DEPOSIT PROGRAM		50,149.00	50,149.00	1,0000	50,149.00	75	.15
<b>TOTAL</b>		<b>50,217.20</b>			<b>50,217.20</b>	<b>75</b>	<b>.15</b>

MUTUAL FUNDS/CLOSED END FUNDS/UIT									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return(\$)	Estimated Annual Current Income	Income Yield%
AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2	61	661.78	10.6600	650.26	(11.52)	8	641	52	7.89
SYMBOL: AHIFX									
<i>Fixed Income 100%</i>									
INITIAL PURCHASE: 05/12/09									
BLACKROCK INFLATION	8	91.66	11.6800	93.44	1.78	93	4	3.80	

Trust

Account Number:

24-Hour Assistance: (800) MERRILL

December 01, 2011 - December 30, 2011

# YOUR TAA STATEMENT OF INCOME INVESTMENTS

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
PROTECTED BD FD INST CL								
SYMBOL: BPRX								
Fixed Income 100%								
FIRST EAGLE								
OVERSEAS CL I	29	617.68	20,6500	598.85	(18.83)	598	14	2.18
SYMBOL: SGOK								
Equity 100%								
LORD ABBETT FLOATING RATE FUND CL F	20	184.32	9,0000	180.00	(4.32)	180	10	5.21
SYMBOL: LFRFX								
Fixed Income 100%								
OPPENHEIMER								
INTERNATIONAL BOND FD Y	78	502.17	6,2000	483.60	(18.57)	483	22	4.35
SYMBOL: OBYX								
Fixed Income 100%								
PENNSYLVANIA MUTUAL FD								
SYMBOL: PENWX	4	44.85	10.7600	43.04	(1.81)	43	1	.17
Equity 100%								
PIMCO TOTAL RETURN FUND CL P	2	22.00	10.8700	21.74	(0.26)	21	1	3.20
SYMBOL: PTPX								
Fixed Income 100%								
RS FLOATING RATE FUND CL Y	12	120.22	9,8600	118.32	(1.90)	118	7	5.63
SYMBOL: RSFVX								
Fixed Income 100%								
SUNAMERICA SENIOR FLOATING RATE FUND CL A	16	130.21	7.9600	127.36	(2.85)	127	6	4.54

Trust

Account Number:

December 01, 2011 - December 30, 2011

# YOUR TAA STATEMENT OF INCOME INVESTMENTS

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
SYMBOL: SASEK <i>Initial Purchase:REINV</i>								
Fixed Income 100%								
TCW TOTAL RETURN	45	448.00	9,640.00	433.80	(14.20)		433	30 6.84
BOND FD CL /								
SYMBOL: TGLMX <i>Initial Purchase:REINV</i>								
Fixed Income 100%								
TEMPLETON GBL BOND FD ADV CL	38	497.21	12,370.00	470.06	(27.15)		470	24 5.11
SYMBOL: TCBAX <i>Initial Purchase:REINV</i>								
Fixed Income 100%								
Subtotal (Fixed Income)								
<b>TOTAL</b>								
Subtotal (Equities)								
<b>TOTAL</b>								
<b>TOTAL INCOME INVESTMENTS</b>	53,537.30	3,320.10	641.89	3,220.47	(99.63)	3,207	171 5.31	

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment. Does not apply to income investments denoted by "N/A".

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Trust

Account Number:

24-Hour Assistance: (800) MERRILL

December 01, 2011 - December 30, 2011

**YOUR TAA STATEMENT OF INCOME  
INVESTMENTS**

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
<b>TOTAL PRINCIPAL/INCOME INVESTMENTS</b>	421,304.07	437,668.32	16,358.05	959.73	11,574	2.64

Trust	Settled	1/10/2011	1/13/2011	Sale MCDONALDS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MCD	-59	73.9457	4,362.73
Trust	Settled	1/12/2011	1/18/2011	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUENERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	7	92.339	-846.37
Trust	Settled			Dwd R <sub>Reinv</sub> TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$19.51 REINV PRICE \$13.51000 QUANTITY BOT 1,440 AS OF 01/18	TGBAX	1		0
Trust	Settled			Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$13.39	TGBAX	1		0
Trust	Settled	1/21/2011	1/26/2011	Sale HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	-69	79.0036	5,451.15
Trust	Settled	1/21/2011	1/26/2011	Sale VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	-221	24.1728	5,342.09
Trust	Settled	1/25/2011	1/26/2011	Purchase ASTONI/OPTIMUM MD CAP FD CL I FRAC SHR QUANTITY .439 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ABMX	21	32.79	-702.98
Trust	Settled	1/25/2011	1/26/2011	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	96	46.9386	-4,510.91
Trust	Settled	1/25/2011	1/28/2011	Sale ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDEX F PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYWW	-67	66.7145	4,469.76

				Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .720 FUND SUBJECT TO RED FEE, PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS			
Trust	Settled	1/28/2011	1/31/2011	Dwd Rtnv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$14.97 REINV PRICE \$11.47000 QUANTITY BOT 1.3050 AS OF 01/28	ABMIX	21	32.55 -706.99 0
728-11096 Trust	Settled			Journal Entry ASTON/OPTIMUM MID CAP FD CL I FULL SHARE ACCUM SHARE VALUE \$32.71	ABMIX	1	0
728-11096 Trust	Settled		1/31/2011	Dwd Rtnv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$22.61 REINV PRICE \$9.94000 QUANTITY BOT 2.2750 AS OF 01/31	TGLMX	2	0
728-11096 Trust	Settled		2/1/2011	Dwd Rtnv BR INF PTD BD FD INST CL AGENT REINV AMOUNT \$8.92 REINV PRICE \$10.87000 QUANTITY BOT .8210 AS OF 01/31	BPRIX		0
728-11096 Trust	Settled		2/1/2011	Dwd Rtnv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$17.03 REINV PRICE \$6.46000 QUANTITY BOT 2.6360 AS OF 01/31	OBYX	2	0
728-11096 Trust	Settled		2/1/2011	Dwd Rtnv SUNAM SR FL TG RATE CL A AGENT REINV AMOUNT \$8.28 REINV PRICE \$8.37000 QUANTITY BOT .9890 AS OF 01/31	SASFX		0
728-11096 Trust	Settled		2/1/2011	Dwd Rtnv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$10.76 REINV PRICE \$9.42000 QUANTITY BOT 1.1420 AS OF 01/31	LFRFX	1	0
728-11096 Trust	Settled		2/1/2011	Dwd Rtnv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$8.45 REINV PRICE \$10.85000 QUANTITY BOT .7790 AS OF 01/31	PTTPX		0

Trust	Settled	2/1/2011	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$10.85	BPRIX	1		0
Trust	Settled	2/1/2011	Journal Entry SUNAMERICA SENIOR FLOATING RATE FUND CL A FULL SHARE ACCUM SHARE VALUE \$8.38	SASFX	1		0
Trust	Settled	2/1/2011	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$10.84	PTTPX	1		0
Trust	Settled	1/28/2011	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	9	91.7972	-826.17
Trust	Settled	2/3/2011	Purchase APPLE INC PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AAPL	6	341.2408	-2,047.44
Trust	Settled	2/3/2011	Purchase DISCOVERY COMMUNICAT INC SERIES A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	90	40.9396	-3,684.56
Trust	Settled	2/3/2011	Purchase E TRADE FINL CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ETFC	215	16.9827	-3,651.28
Trust	Settled	2/3/2011	Purchase KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	57	64.6912	-3,687.40
Trust	Settled	2/3/2011	Purchase METLIFE INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MET	100	46.3493	-4,634.93

				Sale MC KESSON CORPORATION COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED				
Trust	Settled	2/3/2011	2/8/2011	Purchase NEXTERA ENERGY INC SHS PER THE PIA AGREEMENT ML ACTED AS AGENT	MCK	-67	76.3443	5,114.97
Trust	Settled	2/3/2011	2/8/2011	Sale SECTOR SPDR UTILITIES PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NEE	80	54.7173	4,377.38
Trust	Settled	2/3/2011	2/8/2011	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLU	-365	31.7421	11,585.65
Trust	Settled	2/3/2011	2/8/2011	Sale CISCO SYSTEMS INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	SPY	65	130.3	-8,469.50
Trust	Settled	2/4/2011	2/9/2011	Sale TEVA PHARMACEUTICALS ADR PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CSCO	-218	21.9196	4,778.38
Trust	Settled	2/9/2011	2/4/2011	Divid Rtnv TEMPLETON GBL BOND FUND ADV CL AGENT REINV AMOUNT \$19.48 REINV PRICE \$13.50000 QUANTITY BOT 1,4430 AS OF 02/15	TEVA	-74	51.8873	3,839.59
Trust	Settled	2/18/2011	2/22/2011	Purchase RS FLOATING RATE FUND CL Y FRAC SHR QUANTITY 481 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	TGBAX	1		0
Trust	Settled	2/22/2011		Redeemed CISCO SYSTEMS INC GLB 05.250% FEB 22 2011 GLB 05.250% FEB 22 2011 PAY DATE 02/22/2011	CSCO11*****		10,000.00	

				Sale HONEYWELL INTL INC DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED	HON	-88	57.9197	5.096.83
Trust	Settled	2/19/2011	2/24/2011	Divid ReInv TCW TOTAL RETURN BOND FD CL 1 AGENT REINV AMOUNT \$22.74 REINV PRICE \$9.94000 QUANTITY BOT 2.2880 AS OF 02/28	TGLMX	2	0	0
Trust	Settled		3/1/2011	Divid ReInv RS FLOATING RATE FD CL Y AGENT REINV AMOUNT \$2.19 REINV PRICE \$10.38000 QUANTITY BOT .2110 AS OF 02/28	RSFVX			0
Trust	Settled		3/1/2011	Divid ReInv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$17.07 REINV PRICE \$10.96000 QUANTITY BOT 1.5570 AS OF 02/28	BPRIX	1		0
Trust	Settled		3/1/2011	Divid ReInv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$16.05 REINV PRICE \$6.48000 QUANTITY BOT 1.5570 AS OF 02/28	OBYX	2		0
Trust	Settled		3/1/2011	Divid ReInv SUNAM SR FLTG RATE CL A AGENT REINV AMOUNT \$7.84 REINV PRICE \$8.41000 QUANTITY BOT .9320 AS OF 02/28	SASFVX			0
Trust	Settled		3/1/2011	Divid ReInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$10.76 REINV PRICE \$9.41000 QUANTITY BOT 1.1430 AS OF 02/28	LFRFX	1		0
Trust	Settled		3/1/2011	Divid ReInv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$8.80 REINV PRICE \$10.88000 QUANTITY BOT .8080 AS OF 02/28	PTTPX			0
Trust	Settled		3/1/2011	Divid ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$29.12 REINV PRICE \$11.55000 QUANTITY BOT 2.5210 AS OF 02/28	AHIFX	2		0

Trust	Settled	3/1/2011	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$10.99	BPRIX	1	0
Trust	Settled	3/1/2011	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.47	OIBYX	1	0
Trust	Settled	3/1/2011	Journal Entry SUNAMERICA SENIOR FLOATING RATE FUND CL A FULL SHARE ACCUM SHARE VALUE \$8.41	SASFX	1	0
Trust	Settled	3/1/2011	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$10.88	PTTPX	1	0
Trust	Settled	3/1/2011	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$11.55	AHIFX	1	0
Trust	Settled	2/25/2011	Purchase SALESFORCE COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CRM	26	142.324 -3,700.42
Trust	Settled	3/2/2011	Purchase CISCO SYSTEMS INC GLB 04.950% FEB 15 2019 RATINGS ARE SUBJ TO CHG PER THE PIA AGREEMENT MOODY'S A1 S&P A+ DETAILS UPON REQUEST YLD TO MATURITY 3.84% MATURITY DATE 2/15/19. 22 DAYS INTEREST	CSCO19	10,000	107,437 -10,776.95
Trust	Settled	3/2/2011	Purchase LINCOLN NTL CORP IND NPV PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LNC	72	30,663.5 -2,207.77
Trust	Settled	3/3/2011	Sale QUEST DIAGNOSTICS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DGX	-85	56,136 4,771.47

Trust	Settled	3/11/2011	3/16/2011	Purchase DEERE CO PER THE PIA AGREEMENT ML ACTED AS AGENT	DE	26	85.969	-2,235.19
Trust	Settled	3/14/2011	3/17/2011	Sale COCA COLA COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KO	-78	63.5836	4,959.42
Trust	Settled	3/15/2011	3/18/2011	Purchase APPLE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AAPL	7	342.2632	-2,395.84
Trust	Settled	3/15/2011	3/18/2011	Sale WALGREEN CO PER THE PIA AGREEMENT ML ACTED AS AGENT	WAG	42	40.84	1,715.25
Trust	Settled	3/18/2011		Dwd ReInv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$19.67 REINV PRICE \$13.37000 QUANTITY BOT 1.4710 AS OF 03/15	TGBAX	1		0
Trust	Settled		3/18/2011	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$13.41	TGBAX	1		0
Trust	Settled	3/16/2011	3/21/2011	Purchase DEERE CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DE	23	86.43	-1,987.89
Trust	Settled	3/16/2011	3/21/2011	Purchase LINCOLN NTL CORP IND NPV PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LNC	76	28.6016	-2,173.72
Trust	Settled	3/21/2011	3/24/2011	Sale KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	-119	31.5162	3,750.36

Trust	Settled	3/29/2011	Dwd ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$29.27 REINV PRICE \$11.50000 QUANTITY 1 AS OF 03/28	AHIFX	2		0
Trust	Settled	4/1/2011	Dwd ReInv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$22.86 REINV PRICE \$9.90000 QUANTITY BOT 2.3090 AS OF 03/31	TGLMX	2		0
Trust	Settled	4/1/2011	Dwd ReInv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$11.26 REINV PRICE \$10.35000 QUANTITY BOT 1.0890 AS OF 03/31	RSFYX	1		0
Trust	Settled	4/1/2011	Dwd ReInv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$28.60 REINV PRICE \$11.03000 QUANTITY BOT 3.5000 AS OF 03/31	BPRIX	3		0
Trust	Settled	4/1/2011	Dwd ReInv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$17.17 REINV PRICE \$6.55000 QUANTITY BOT 2.8210 AS OF 03/31	OIBYX	2		0
Trust	Settled	4/1/2011	Dwd ReInv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$9.04 REINV PRICE \$8.38000 QUANTITY BOT 1.0780 AS OF 03/31	SASFX	1		0
Trust	Settled	4/1/2011	Dwd ReInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$10.99 REINV PRICE \$9.38000 QUANTITY BOT 1.1720 AS OF 03/31	LFRFX	1		0
Trust	Settled	4/1/2011	Dwd ReInv PINCO TOT RTN FD CL P AGENT REINV AMOUNT \$9.13 REINV PRICE \$10.88000 QUANTITY BOT .8390 AS OF 03/31	PTTPX	0		0
Trust	Settled	4/1/2011	Sale U.S. TREASURY NOTE 3.250% MAY 31 2016 PER THE PIA AGREEMENT YLD TO MATURITY 2.32% MATURITY DATE 5/31/16, 125 DAYS INTEREST	912828K	4,000	104,453.13	4,222.77

Trust	Settled	4/1/2011	4/4/2011	Purchase TCW TOTAL RETURN BOND FD CL 1 FRAC SHR QUANTITY .456 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	TGLMX	174	9.9	-1,721.11
Trust	Settled	4/1/2011	4/4/2011	Purchase RS FLOATING RATE FUND CL Y FRAC SHR QUANTITY .501 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	RSFYX	120	10.36	-1,248.39
Trust	Settled	4/1/2011	4/4/2011	Purchase OPPENHEIMER INTERNATIONAL BOND FD Y FRAC SHR QUANTITY .340 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	OIBYX	419	6.56	-2,750.87
Trust	Settled	4/1/2011	4/4/2011	Journal Entry TCW TOTAL RETURN BOND FD CL 1 FULL SHARE ACCUM SHARE VALUE \$9.91	TGLMX	1		0
Trust	Settled		4/4/2011	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$10.36	RSFYX	1		0
Trust	Settled		4/4/2011	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.57	OIBYX	1		0
Trust	Settled		4/20/2011	Dwd R&Inv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$19.71 REINV PRICE \$13.85000 QUANTITY BOT 1,4230 AS OF 04/15	TGBAX	1		0
Trust	Settled		4/20/2011	Purchase JOHNSON CONTROLS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JCI	57	38.2748	-2,181.66
Trust	Settled	4/18/2011	4/21/2011	Purchase SALESFORCE COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CRM	6	141.2971	-847.78

Trust	Settled	4/29/2011	Divid ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$29.54 REINV PRICE \$11.58000 QUANTITY BOT 2.5490 AS OF 04/28	AHIFX	2		0
Trust	Settled	4/29/2011	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$11.60	AHIFX	1		0
Trust	Settled	5/2/2011	Divid ReInv TCW TOTAL RETURN BOND FD CL 1 AGENT REINV AMOUNT \$32.58 REINV PRICE \$9.94000 QUANTITY BOT 3.2780 AS OF 04/29	TGLMX	3		0
Trust	Settled	5/2/2011	Divid ReInv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$15.50 REINV PRICE \$10.39000 QUANTITY BOT 1.4920 AS OF 04/29	RSFVX	1		0
Trust	Settled	5/2/2011	Divid ReInv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$37.78 REINV PRICE \$11.20000 QUANTITY BOT 3.3730 AS OF 04/29	BPRIX	3		0
Trust	Settled	5/2/2011	Divid ReInv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$26.39 REINV PRICE \$6.80000 QUANTITY BOT 3.8810 AS OF 04/29	OBYX	3		0
Trust	Settled	5/2/2011	Divid ReInv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$9.19 REINV PRICE \$8.39000 QUANTITY BOT 1.0950 AS OF 04/29	SASFX	1		0
Trust	Settled	5/2/2011	Divid ReInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$9.72 REINV PRICE \$9.40000 QUANTITY BOT 1.0340 AS OF 04/29	LFRFX	1		0
Trust	Settled	5/2/2011	Divid ReInv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$9.24 REINV PRICE \$11.03000 QUANTITY BOT .8380 AS OF 04/29	PTTPX			0

Trust	Settled	5/22/2011	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.80	OBYX	1		0
Trust	Settled	5/22/2011	Journal Entry PINSCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$11.03	PTTPX	1		0
Trust	Settled	4/28/2011	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	7	30.4799	-213.36
Trust	Settled	5/3/2011	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPEQ	15	39.91	-598.65
Trust	Settled	5/5/2011	Sale MARATHON OIL CORP PER THE PIA AGREEMENT ML ACTED AS AGENT	MRO	40	49.4719	1,978.84
Trust	Settled	5/19/2011	Divid ReInv TEMPLETON GBLB BOND FD ADV CL AGENT REINV AMOUNT \$19.86 REINV PRICE \$13.7500 QUANTITY BOT 1.4440 AS OF 05/16	TGBAX	1		0
Trust	Settled	5/19/2011	Journal Entry TEMPLETON GBLB BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$13.86	TGBAX	1		0
Trust	Settled	5/17/2011	Purchase JOHNSON CONTROLS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JCI	57	38.1392	-2,173.93
Trust	Settled	5/26/2011	Divid ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$28.79 REINV PRICE \$11.55000 QUANTITY BOT 2.4930 AS OF 05/25	AHIFX	2		0

Trust	Settled	6/1/2011	Divid ReInv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$32.76 REINV PRICE \$9.97000 QUANTITY BOT 3.2860 AS OF 05/31	TGLMX	3		0
Trust	Settled	6/1/2011	Divid ReInv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$16.96 REINV PRICE \$10.36000 QUANTITY BOT 1.5370 AS OF 05/31	RSFVX	1		0
Trust	Settled	6/1/2011	Divid ReInv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$69.91 REINV PRICE \$11.11000 QUANTITY BOT 6.2930 AS OF 05/31	BPRIX	6		0
Trust	Settled	6/1/2011	Divid ReInv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$26.20 REINV PRICE \$6.72000 QUANTITY BOT 3.8990 AS OF 05/31	OBYX	3		0
Trust	Settled	6/1/2011	Divid ReInv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$8.92 REINV PRICE \$8.36000 QUANTITY BOT 1.0670 AS OF 05/31	SASFX	1		0
Trust	Settled	6/1/2011	Divid ReInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$12.06 REINV PRICE \$9.36000 QUANTITY BOT 1.2880 AS OF 05/31	LFRFX	1		0
Trust	Settled	6/1/2011	Divid ReInv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$9.33 REINV PRICE \$11.06000 QUANTITY BOT .8440 AS OF 05/31	PTTPX	0		0
Trust	Settled	6/1/2011	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$10.36	RSFYX	1		0
Trust	Settled	6/1/2011	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$11.15	BPRIX	1		0

Trust	Settled	6/1/2011	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.71	OBYX	1		0
Trust	Settled	6/1/2011	Journal Entry LORD ABBETT FLOATING RATE FUND CL F FULL SHARE ACCUM SHARE VALUE \$9.36	LFRF	1		0
Trust	Settled	6/1/2011	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$11.06	PTTPX	1		0
Trust	Settled	6/1/2011	Purchase SHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES. REMNTERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	24	97.5521	-2,341.25
Trust	Settled	6/1/2011	Purchase GUGGENHEIM BULLETPARTS 2014 HY CORP ACTUAL PRICES, REMNTERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	BSJE	151	25.75	-3,888.25
Trust	Settled	6/1/2011	Purchase APACHE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNTERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	APA	35	119.7386	-4,190.85
Trust	Settled	6/8/2011	Purchase CENTURYLINK INC SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNTERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	CTL	103	41.5358	-4,278.19
Trust	Settled	6/9/2011	Sale CORNING INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNTERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	GLW	-236	19.1014	4,507.84
Trust	Settled	6/9/2011	Purchase GOODYEAR TIRE RUBBER PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNTERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	GT	260	16.6302	-4,323.85

Trust	Settled	6/3/2011	6/8/2011	Sale HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
Trust	Settled	6/3/2011	6/8/2011	Sale KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	-57	65.9427	3,758.66
Trust	Settled	6/3/2011	6/8/2011	Sale NEXTERA ENERGY INC SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NEE	-80	54.772	4,381.58
Trust	Settled	6/3/2011	6/8/2011	Sale SALESFORCE COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CRM	-32	145.7533	4,665.36
Trust	Settled	6/3/2011	6/8/2011	Purchase WSTN DIGITAL CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WDC	122	35.31	4,307.82
Trust	Settled	6/10/2011	6/15/2011	Sale ISHARES IBOXES HIGH YIEL CORPORATE BOND FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HYG	-46	89.78	4,129.80
Trust	Settled	6/14/2011	6/17/2011	Purchase GUGGENHEIM BULLETPHARES 2015 H Y CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	BSJF	185	25.53	4,723.05
Trust	Settled	6/15/2011	6/20/2011	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IJH	17	93.4999	-1,589.50
Trust	Settled	6/20/2011		Drd Rely TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$19.90 REINV PRICE \$13.79000 QUANTITY BOT 1,4430 AS OF 06/15	TGBAX	1		0

Trust	Settled	6/24/2011	Divd ReInv RS FLOATING RATE FD CL Y AGENT REINV AMOUNT \$0.04 REINV PRICE \$10.28000 QUANTITY BOT .0040 AS OF 06/22	RSFYX		0
Trust	Settled	6/24/2011	Divd ReInv RS FLOATING RATE FD CL Y AGENT REINV AMOUNT \$4.53 REINV PRICE \$10.26000 QUANTITY BOT .4420 AS OF 06/22	RSFYX		0
Trust	Settled	6/29/2011	Divd ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$28.81 REINV PRICE \$11.33000 QUANTITY BOT 2.5430 AS OF 06/28	AHIFX	2	0
Trust	Settled	6/29/2011	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$11.36	AHIFX	1	0
Trust	Settled	6/28/2011	Sale E TRADE FINL CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ETFC	-215	13,472.2
Trust	Settled	7/1/2011	Divd ReInv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$32.94 REINV PRICE \$9.86000 QUANTITY BOT 3.3410 AS OF 06/30	TGLMX	3	0
Trust	Settled	7/1/2011	Divd ReInv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$16.77 REINV PRICE \$10.27000 QUANTITY BOT 1.6330 AS OF 06/30	RSFYX	1	0
Trust	Settled	7/1/2011	Divd ReInv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$50.95 REINV PRICE \$11.11000 QUANTITY BOT 4.5880 AS OF 06/30	BPRIX	4	0
Trust	Settled	7/1/2011	Divd ReInv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$26.79 REINV PRICE \$6.74000 QUANTITY BOT 3.9750 AS OF 06/30	OIBYX	3	0

			Dwd ReInv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$9.03 REINV PRICE \$8.30000	SASFX	1	0
Trust	Settled	7/1/2011				
Trust	Settled	7/1/2011	Dwd ReInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$11.27 REINV PRICE \$9.30000	LFRFX	1	0
Trust	Settled	7/1/2011	Dwd ReInv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$8.92 REINV PRICE \$10.99000 QUANTITY BOT .8120 AS OF 06/30	PTTPX		0
Trust	Settled	7/1/2011	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.86	TGLMX	1	0
Trust	Settled	7/1/2011	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$10.28	RSFYX	1	0
Trust	Settled	7/1/2011	Journal Entry SUNAMERICA SENIOR FLOATING RATE FUND CL A FULL SHARE ACCUM SHARE VALUE \$8.30	SASFX	1	0
Trust	Settled	7/1/2011	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$11.00	PTTPX	1	0
Trust	Settled	7/15/2011	DUDB MARATHON PETROLEUM CORP	MPC	44	0
Trust	Settled	7/7/2011	Stock Dividend MARATHON PETROLEUM CORP HOLDING 88.0000 PAID BY MARATHON OIL COR PAY DATE 07/07/2011	MPC	44	0

Trust	Settled	7/7/2011	DUDS MARATHON PETROLEUM CORP	MPC	-44		0
Trust	Settled	7/6/2011	Sale ANADARKO PETE CORR PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT	APC	-66	78.5	5,180.90
Trust	Settled	7/6/2011	Sale APPLE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	APA	-35	123.5	4,322.42
Trust	Settled	7/6/2011	Purchase EMC CORPORATION MASS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AAPL	-13	348.4501	4,529.76
Trust	Settled	7/6/2011	Purchase JABL CIRCUIT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EMC	155	27.7491	4,301.11
Trust	Settled	7/6/2011	Purchase RANGE RESOURCES CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JBL	208	20.9113	4,349.55
Trust	Settled	7/6/2011	Purchase MARATHON OIL CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	RRC	75	57.0542	4,279.07
Trust	Settled	7/7/2011	Sale ISHARES S&P 500 VALUE INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	MFO	43	33.04	-1,420.72
Trust	Settled	7/8/2011	ML ACTED AS AGENT	IVE	-193	62.4043	12,043.80

Trust	Settled	7/8/2011	7/13/2011	Sale MARATHON PETROLEUM CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MPC	-44	41.25	1,814.97
Trust	Settled	7/8/2011	7/13/2011	Sale WAL-MART STORES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WMT	-78	54.2315	4,229.98
Trust	Settled	7/11/2011	7/14/2011	Purchase GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	76	56.7916	4,318.62
Trust	Settled	7/14/2011	7/19/2011	Purchase SECTOR SPDR FINANCIAL PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLF	362	15.0997	-5,466.99
Trust	Settled	7/15/2011	7/20/2011	Sale ISHARES BARCLAYS AGGRGT AGGREGATE BD FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AGG	-67	107.44	7,198.34
Trust	Settled	7/15/2011	7/20/2011	Purchase POWERSHARES BUILD AMERIC BOND ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	BAB	112	26.551283	-2,973.74
Trust	Settled	7/20/2011	7/20/2011	Dwd ReInv TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$20.01 REINV PRICE \$13.84000 QUANTITY BOT 1.4460 AS OF 07/15	TGBAX	1		0
Trust	Settled	7/20/2011	7/20/2011	Journal Entry TEMPLETON GBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$13.89	TGBAX	1		0
Trust	Settled	7/29/2011	7/29/2011	Dwd ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$29.45 REINV PRICE \$11.41000 QUANTITY BOT 2.3810 AS OF 07/28	AHIFX	2		0

Trust	Settled	7/27/2011	8/1/2011	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	41	27,7493	-1,137.72
Trust	Settled		8/1/2011	Dwd ReInv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$33.13 REINV PRICE \$9.90000 QUANTITY BOT 3.3460 AS OF 07/29	TGLMX	3		0
Trust	Settled		8/1/2011	Dwd ReInv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$18.39 REINV PRICE \$10.26000 QUANTITY BOT 1.7920 AS OF 07/29	RSFYX	1		0
Trust	Settled		8/1/2011	Dwd ReInv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$41.38 REINV PRICE \$11.45000 QUANTITY BOT 3.6140 AS OF 07/29	BPRIX	3		0
Trust	Settled		8/1/2011	Dwd ReInv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$27.76 REINV PRICE \$6.80000 QUANTITY BOT 4.0850 AS OF 07/29	OIBYX	4		0
Trust	Settled		8/1/2011	Dwd ReInv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$8.77 REINV PRICE \$3.28000 QUANTITY BOT 1.0590 AS OF 07/29	SASFX	1		0
Trust	Settled		8/1/2011	Dwd ReInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$10.85 REINV PRICE \$9.29000 QUANTITY BOT 1.1690 AS OF 07/29	LFRFX	1		0
Trust	Settled		8/1/2011	Dwd ReInv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$8.42 REINV PRICE \$11.10000 QUANTITY BOT .7590 AS OF 07/29	PTTPX	0		0
Trust	Settled		8/1/2011	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$10.26	RSFYX	1		0

Trust	Settled	8/1/2011	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$11.48	BPRIX	1		0
Trust	Settled	8/1/2011	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.80	OBYX	1		0
Trust	Settled	8/1/2011	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$11.12	PTTPX	1		0
Trust	Settled	7/29/2011	Purchase STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	39	129.14	-5,036.62
Trust	Settled	8/2/2011	Purchase STANDARD&PORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	23	126.6975	-2,814.04
Trust	Settled	8/3/2011	Purchase AMAZON COM INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMZN	10	211.1333	-2,111.33
Trust	Settled	8/3/2011	Sale FEDERATED INVESTRS B PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FII	-177	20.6973	3,663.35
Trust	Settled	8/3/2011	Purchase FIFTH THIRD BANCORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	FITB	345	11.8847	-4,093.32
Trust	Settled	8/3/2011	Purchase MICROSOFT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	MSFT	155	26.6139	-4,125.15

Trust	Settled	8/6/2011	8/9/2011	Sale ASTON/FAIRPONTE MID CAP FD CL I FRAC SHR QUANTITY .392 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.				
Trust	Settled	8/9/2011	8/10/2011	Purchase FIRST EAGLE OVERSEAS CL I FRAC SHR QUANTITY .284 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/UNITS. YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS		SGOIX	152	22.68 -3.453.30
Trust	Settled	8/9/2011	8/10/2011	Purchase INVESCO CONVERTIBLE SECURITIES FUND CL Y FRAC SHR QUANTITY .658 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/UNITS. YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS		CNSDX	274	18.69 -5.153.36
Trust	Settled	8/8/2011	8/11/2011	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IJH		37	81.7901 -3.028.57
Trust	Settled	8/8/2011	8/11/2011	Sale ISHARES S&P GLBL HEALTH CARE SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	[X]		-115	50.6774 5.827.79
Trust	Settled	8/8/2011	8/11/2011	Sale ISHARES S&P GLB TELECOM-MUNICATIONS SECTOR INDEX PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	[X]		-107	54.4886 5.828.03
Trust	Settled	8/9/2011	8/12/2011	Purchase AUTOMATIC DATA PROC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A Mkt In ISSUE ML ACTED AS AGENT	ADP		55	46.5226 -2.564.24
Trust	Settled	8/9/2011	8/12/2011	Purchase BAXTER INTERNL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	BAX		50	51.2 -2,560.00
Trust	Settled	8/9/2011	8/12/2011	Sale VANGUARD FTSE ALL WORLD EX US PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU		-137	42.3459 5,801.28

Trust	Settled	8/9/2011	8/12/2011	Sale SPDR BARCLAYS CAPTL CONV PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
Trust	Settled	8/9/2011	8/12/2011	Purchase KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	40	63.4022	-2,536.09
Trust	Settled	8/9/2011	8/12/2011	Purchase PAYCHEX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	PAYX	98	26.2747	-2,574.92
Trust	Settled	8/9/2011	8/12/2011	Purchase PROCTER & GAMBLE CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PG	43	59.55	-2,560.65
Trust	Settled	8/9/2011	8/12/2011	Purchase COCA COLA COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KO	39	65.3954	-2,560.42
Trust	Settled	8/10/2011	8/15/2011	Purchase EMERSON ELEC CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EMR	69	43.1655	-2,547.94
Trust	Settled	8/10/2011	8/15/2011	Purchase JP MORGAN CHASE & CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JPM	129	34.8835	-4,474.17
Trust	Settled	8/10/2011	8/15/2011	Purchase JOHNSON AND JOHNSON COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JNJ	42	61.38	-2,577.96
Trust	Settled	8/10/2011	8/15/2011	Sale SECTOR SPDR FINANCIAL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLF	-934	12.3382	11,523.66

Trust	Settled	8/10/2011	8/15/2011	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	-83	115.9673	9,625.11
Trust	Settled	8/10/2011	8/15/2011	Purchase WAL-MART STORES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WMT	52	49.4357	-2,570.66
Trust	Settled	8/11/2011	8/16/2011	Purchase AIR PRODUCTS&CHEM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APD	33	78.6757	-2,596.30
Trust	Settled	8/11/2011	8/16/2011	Purchase GENERAL MILLS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GIS	73	35.1188	-2,563.67
Trust	Settled	8/11/2011	8/16/2011	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	-34	114.1567	3,881.26
Trust	Settled	8/11/2011	8/16/2011	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	-11	115.6744	1,272.40
Trust	Settled	8/11/2011	8/16/2011	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	-23	117.3	2,697.85
Trust	Settled	8/11/2011	8/16/2011	Sale SECTOR SPDR ENERGY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLE	-104	66.9016	6,957.64
Trust	Settled	8/12/2011	8/17/2011	Purchase FIFTH THIRD BANCORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	FITB	57	9.7499	-555.74

Trust	Settled	8/15/2011	8/18/2011	Sale ISHARES MSCI EMERGING MKTS INDEX FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EEM	-66	42,0521	2,775.39
Trust	Settled	8/15/2011	8/18/2011	Purchase EMERGING GLOBAL SHARES DOW JONES PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ECON	123	22,4957	-2,766.97
Trust	Settled	8/18/2011	8/18/2011	Divd Rtn/ TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$20.05 REINV PRICE \$13.69000 QUANTITY BOT 1,4650 AS OF 08/15	TGBAX	1		0
Trust	Settled	8/17/2011	8/22/2011	Purchase AMAZON COM INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMZN	4	195.1818	-780.73
Trust	Settled	8/18/2011	8/23/2011	Purchase CHEVRON CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVX	27	93.0595	-2,512.61
Trust	Settled	8/18/2011	8/23/2011	Purchase EXXON MOBIL CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOM	36	70.7984	-2,546.74
Trust	Settled	8/18/2011	8/23/2011	Sale SECTOR SPDR ENERGY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLE	-67	64,0323	4,290.08
Trust	Settled	8/19/2011	8/24/2011	Purchase AMAZON COM INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMZN	7	184.62	-1,282.34
Trust	Settled	8/22/2011	8/25/2011	Sale ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDEX F PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IVW	-126	56,8182	7,158.95

			Dwd Rtnv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$27.77 REINV PRICE \$10.7000 QUANTITY BOT 2.5850 AS OF 08/26	AHJFX	2	0
Trust	Settled	8/29/2011	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$10.73	AHJFX	1	0
Trust	Settled	8/25/2011	Purchase MORGAN STANLEY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MS	148	17.59 -2,603.32
Trust	Settled	8/26/2011	Purchase MORGAN STANLEY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MS	6	16.57 -99.42
Trust	Settled	8/29/2011	Purchase MEDTRONIC INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MOT	57	34.4894 -1,985.90
Trust	Settled	9/1/2011	Dwd Rtnv TCW TOTAL RETURN BOND FD CL 1 AGENT REINV AMOUNT \$33.31 REINV PRICE \$9.91000 QUANTITY BOT 3.3610 AS OF 08/31	TGLMX	3	0
Trust	Settled	9/1/2011	Dwd Rtnv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$19.47 REINV PRICE \$9.70000 QUANTITY BOT 2.0070 AS OF 08/31	RSEFYX	2	0
Trust	Settled	9/1/2011	Dwd Rtnv BR INF PTD BD FD INST CL AGENT REINV AMOUNT \$1.36 REINV PRICE \$11.53000 QUANTITY BOT .1180 AS OF 08/31	BPRIX	0	0
Trust	Settled	9/1/2011	Dwd Rtnv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$25.63 REINV PRICE \$6.77000 QUANTITY BOT 3.7880 AS OF 08/31	OBYX	3	0

Trust	Settled	9/1/2011	Dvd ReInv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$9.07 REINV PRICE #27.85000 QUANTITY BOT 1,1550 AS OF 08/31	SASFX	1	0
Trust	Settled	9/1/2011	Dvd ReInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$11.71 REINV PRICE \$8.83000 QUANTITY BOT 1,3280 AS OF 08/31	LFRFX	1	0
Trust	Settled	9/1/2011	Dvd ReInv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$7.88 REINV PRICE \$11.01000 QUANTITY BOT .7160 AS OF 08/31	PTTPX		0
Trust	Settled	9/1/2011	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.92	TGLMX	1	0
Trust	Settled	8/30/2011	Sale DISNEY (WALT) CO COM STK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DIS	-113	33
Trust	Settled	9/2/2011	Sale AMAZON COM INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMZN	-5	207.8902
Trust	Settled	9/2/2011	Sale DISCOVERY COMMUNICATN INC SERIES A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	-9	40.6223
Trust	Settled	9/2/2011	Sale DEERE CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DE	-7	77.9982
Trust	Settled	9/2/2011	Sale GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	-15	53.92
						808.78

Trust	Settled	9/2/2011	9/8/2011	Sale WALGREEN CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WAG	-12	35,1801	422.15
Trust	Settled	9/6/2011	9/9/2011	Sale DISCOVERY COMMUNICATN INC SERIES A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	-26	39.99	999.73
Trust	Settled	9/6/2011	9/9/2011	Purchase GOOGLE INC CLA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GOOG	3	514.8025	-1,544.41
Trust	Settled	9/6/2011	9/9/2011	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	56	56.0268	-3,137.50
Trust	Settled	9/7/2011	9/12/2011	Sale DISCOVERY COMMUNICATN INC SERIES A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	-56	39.9924	2,239.53
Trust	Settled	9/7/2011	9/12/2011	Purchase GENL DYNAMICS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GD	43	61.57	-2,647.51
Trust	Settled	9/7/2011	9/12/2011	Purchase GOOGLE INC CLA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GOOG	1	533.37	-533.37
Trust	Settled	9/7/2011	9/12/2011	Sale PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	-82	47.3081	3,879.19
Trust	Settled	9/7/2011	9/12/2011	Sale UNITED TECHS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	UTX	-20	72.3925	1,447.82

Trust	Settled	9/12/2011	9/15/2011	Purchase PERSICO INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
Trust	Settled	9/15/2011	9/20/2011	Purchase DOVER CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DOV	37	52,8949	-1,957.11
Trust	Settled	9/15/2011	9/20/2011	Sale GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	-61	54,2552	3,309.51
Trust	Settled	9/15/2011	9/20/2011	Sale GOODYEAR TIRE RUBBER PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GT	-260	11,1007	2,886.12
Trust	Settled	9/15/2011	9/20/2011	Sale JABIL CIRCUIT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JBL	-208	17,5218	3,644.46
Trust	Settled	9/15/2011	9/20/2011	Purchase TERADATA CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TDC	38	51,1969	-1,945.48
Trust	Settled	9/15/2011	9/20/2011	Divd RtnInv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$20.09 REINV PRICE \$13,27000 QUANTITY BOT 1,5140 AS OF 09/15	TGBAX	1	0	
Trust	Settled	9/16/2011	9/21/2011	Purchase FLUOR CORP NEW DEL COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FLR	54	60,7838	-3,282.33
Trust	Settled	9/16/2011	9/21/2011	Purchase QUEST DIAGNOSTICS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DGX	67	49,02	-3,284.34

Trust	Settled	9/22/2011	9/27/2011	Purchase ABBOTT LABS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ABT	50	50.2034	-2,510.17
Trust	Settled	9/22/2011	9/27/2011	Purchase DARDEN RESTAURANTS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DRI	58	43.947	-2,548.93
Trust	Settled	9/22/2011	9/27/2011	Sale VANGUARD FTSE ALL WORLD EX US PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VEU	310	37.7914	11,715.11
Trust	Settled	9/22/2011	9/27/2011	Purchase JPMORGAN CHASE & CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JPM	20	29.29	-585.8
Trust	Settled	9/22/2011	9/27/2011	Purchase MCDONALDS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MCD	29	85.5573	-2,481.16
Trust	Settled	9/22/2011	9/27/2011	Purchase TARGET CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TGT	52	48.8503	-2,540.22
Trust	Settled	9/22/2011	9/27/2011	Dwd ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$32.39 REINV PRICE \$10.48000 QUANTITY BOT 3,0910 AS OF 09/28	AHIFX	3		0
Trust	Settled	9/29/2011		Dwd ReInv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$33.50 REINV PRICE \$9.87000 QUANTITY BOT 3,3940 AS OF 09/30	TGLMX	3		0
Trust	Settled	10/3/2011		Dwd ReInv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$19.00 REINV PRICE \$9.68000 QUANTITY BOT 1,9630 AS OF 09/30	RSFXY	1		0

			Dwd ReInv BR INF PTD BD FD INST CL AGENT REINV AMOUNT \$8.39 REINV PRICE \$11.48000 QUANTITY BOT .7300			
Trust	Settled	10/3/2011		BPRIX		0
Trust	Settled	10/3/2011	Dwd ReInv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$27.96 REINV PRICE \$6.28000	OBYX	4	0
Trust	Settled	10/3/2011	Dwd ReInv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$9.89 REINV PRICE \$7.85000	SASFX	1	0
Trust	Settled	10/3/2011	Dwd ReInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$11.22 REINV PRICE \$8.83000	LFRFX	1	0
Trust	Settled	10/3/2011	Dwd ReInv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$8.98 REINV PRICE \$10.79000 QUANTITY BOT .8300 AS OF 09/30	PTTPX	0	
Trust	Settled	10/3/2011	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$9.65	RSFYX	1	0
Trust	Settled	10/3/2011	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$11.62	BPRIX	1	0
Trust	Settled	10/3/2011	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$8.27	OBYX	1	0
Trust	Settled	10/3/2011	Journal Entry LORD ABBETT FLOATING RATE FUND CL F FULL SHARE ACCUM SHARE VALUE \$8.80	LFRFX	1	0

Trust	Settled		10/3/2011	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$10.80	PITTPX	1		0
Trust	Settled	10/4/2011	10/7/2011	Sale BAXTER INTERNATIONAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	BAX	-50	53.0486	2,852.28
Trust	Settled	10/4/2011	10/7/2011	Purchase CSX CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CSX	134	18.0977	-2,425.09
Trust	Settled	10/4/2011	10/7/2011	Purchase ISHARES GOLD TR PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IAU	302	16.13	-4,871.26
Trust	Settled	10/4/2011	10/7/2011	Purchase ISHARES GOLD TR PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IAU	5	15.9167	-79.58
Trust	Settled	10/4/2011	10/7/2011	Sale JOHNSON CONTROLS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JCI	-114	27.7662	3,165.29
Trust	Settled	10/5/2011	10/11/2011	Purchase NOBLE CORP NAME-N-LKT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NE	109	28.671	-3,125.14
Trust	Settled	10/5/2011	10/11/2011	Sale WALGREEN CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WAG	-93	33.1569	3,083.72
Trust	Settled	10/6/2011	10/12/2011	Purchase DOVER CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DOV	13	49.969	-649.6

				Purchase MEDTRONIC INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MDT	20	32.7268	-654.54
Trust	Settled	10/7/2011	10/13/2011	Purchase TERADATA CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TDC	20	53.9161	-1,078.32
Trust	Settled	10/7/2011	10/13/2011	Purchase NOVELLUS SYS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	NVLS	52	30.4362	-1,582.68
Trust	Settled	10/11/2011	10/14/2011	Sale STANDARD&POORS DEPRCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	-23	121.92	2,804.11
Trust	Settled	10/17/2011	10/20/2011	Dwd REnv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$20.13 REINV PRICE \$12.94000 QUANTITY BOT 1.5560 AS OF 10/17	TGBAX	1		0
Trust	Settled			Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$12.98	TGBAX	1		0
Trust	Settled			Sale RANGE RESOURCES CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	RRC	-75	71.9151	5,393.53
Trust	Settled	10/18/2011	10/21/2011	Purchase ISHARES S&P 100 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	OEF	94	55.5421	-5,220.98
Trust	Settled	10/19/2011	10/24/2011	Purchase NOVELLUS SYS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	NVLS	48	32.81	-1,574.88

				Purchase ISHARES S&P 100 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DEF	69	56,034.2	-3,866.36
Trust	Settled	10/26/2011	10/31/2011	Divd Rtnv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$29.74 REINV PRICE \$10.91000 QUANTITY BOT 2,7260 AS OF 10/28	AHIFX	2		0
Trust	Settled		10/31/2011	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$10.88	AHIFX	1		0
Trust	Settled	10/27/2011	11/1/2011	Purchase HONEYWELL INTL INC DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HON	24	54	-1,296.00
				Purchase SECTOR SPDR FINANCIAL PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLF	191	14,067.8	-2,888.95
Trust	Settled	10/27/2011	11/1/2011	Purchase PENNSYLVANIA MUTUAL FD FRAC SHR QUANTITY .451 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	PENN	134	11.34	-1,524.67
Trust	Settled	10/31/2011	11/1/2011	Divd Rtnv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$20.23 REINV PRICE \$.9,95000 QUANTITY BOT 2,0530 AS OF 10/31	RSFYX	2		0
Trust	Settled		11/1/2011	Divd Rtnv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$26.27 REINV PRICE \$11,69000 QUANTITY BOT 2,2470 AS OF 10/31	BPRIX	2		0
Trust	Settled		11/1/2011	Divd Rtnv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$25.70 REINV PRICE \$.45000 QUANTITY BOT 3,9840 AS OF 10/31	OIBYX	3		0

			Dvd ReInv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$9.58 REINV PRICE \$8.00000			
Trust	Settled	11/1/2011	Dvd ReInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$11.70 REINV PRICE \$9.08000	SASFX	1	0
Trust	Settled	11/1/2011	Dvd ReInv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$8.94 REINV PRICE \$10.91000 QUANTITY BOT .8190 AS OF 10/31	LFRFX	1	0
Trust	Settled	11/1/2011	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.40	PTTPX		0
Trust	Settled	11/1/2011	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$10.95	OIBYX	1	0
Trust	Settled	11/1/2011	Dvd ReInv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$33.68 REINV PRICE \$9.78000 QUANTITY BOT 3.4440 AS OF 11/01	PTTPX	1	0
Trust	Settled	11/2/2011	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TGLMX	3	0
Trust	Settled	10/31/2011	Purchase HONEYWELL INTL INC DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IJH	22	89.32 -1,965.04
Trust	Settled	10/31/2011	Sale BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .831 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	HON	26	52.9149 -1,375.79
Trust	Settled	11/2/2011	Sale BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .831 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	BPRIX	-21	11.82 258.04

Trust	Settled		11/3/2011	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$11.77	BPRIX	-1		0
Trust	Settled	11/1/2011	11/4/2011	Purchase EATON CORP PER THE P/A AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ETN	58	45.0841	-2,614.88
Trust	Settled	11/2/2011	11/7/2011	Sale POWERSHARES BUILD AMERIC BOND ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	BAB	-54	28.3	1,528.17
Trust	Settled	11/3/2011	11/8/2011	Sale AMAZON COM INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMZN	-16	245.8052	3,449.61
Trust	Settled	11/3/2011	11/8/2011	Purchase CARMAX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMX	54	30.5644	-1,650.48
Trust	Settled	11/3/2011	11/8/2011	Sale FIFTH THIRD BANCORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	FITB	-402	11.7104	4,707.49
Trust	Settled	11/3/2011	11/8/2011	Sale WSTN DIGITAL CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WDC	-122	27.8659	3,375.18
Trust	Settled	11/4/2011	11/9/2011	Purchase AMERICAN TOWER CORP CL A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AMT	28	57.4488	-1,608.57
Trust	Settled	11/4/2011	11/9/2011	Purchase CAPITAL ONE FINL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	COF	36	46	-1,956.00

Trust	Settled	11/4/2011	11/9/2011	Purchase DISCOVER FINL SVCS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
Trust	Settled	11/7/2011	11/10/2011	Sale METLIFE INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DFS	68	24.15	-1,642.20
Trust	Settled	11/8/2011	11/14/2011	Sale METLIFE INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MET	-11	34.1	375.09
Trust	Settled	11/11/2011	11/16/2011	Sale ISHARES TR DOW JONES US PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JHL	-61	58,866.1	3,590.76
Trust	Settled	11/11/2011	11/16/2011	Purchase ISHARES S&P 100 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	OEF	69	57,059.7	-3,937.12
Trust	Settled	11/11/2011	11/16/2011	Purchase RSL LOW DURATION BOND FD CL Y FRAC SHR QUANTITY .533 FUND SUBJECT TO RED FEE PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	RSDYX	431	10.22	-4,410.27
Trust	Settled	11/16/2011	11/17/2011	Divd ReInv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$20.21 REINV PRICE \$12.81000 QUANTITY BOT 1,5780 AS OF 11/15	TGBAX	1		0
Trust	Settled			Journal Entry				
Trust	Settled			11/16/2011 TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$12.73	TGBAX	1		0
Trust	Settled	11/17/2011	11/22/2011	Purchase CAPITAL ONE FINL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	COF	42	41,463.6	-1,741.47

			Purchase BAXTER INTERNL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED				
Trust	Settled	1/12/2011	1/12/2011	Dwd R&Inv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$29.74 REINV PRICE \$10.48000 QUANTITY BOT 2.8380 AS OF 11/28	BAX	39	48,8849 -1,906.51
Trust	Settled		1/12/2011	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$10.46	AHIFX	2	0
Trust	Settled		1/12/2011	Dwd R&Inv TCW TOTAL RETURN BOND FD CL 1 AGENT REINV AMOUNT \$33.87 REINV PRICE \$9.70000 QUANTITY BOT 3.4920 AS OF 11/30	TGLMX	3	0
Trust	Settled		1/12/2011	Dwd R&Inv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$20.04 REINV PRICE \$9.82000 QUANTITY BOT 2.0410 AS OF 11/30	RSFVX	2	0
Trust	Settled		1/12/2011	Dwd R&Inv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$15.90 REINV PRICE \$11.72000 QUANTITY BOT 1.3570 AS OF 11/30	BPRIX	1	0
Trust	Settled		1/12/2011	Dwd R&Inv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$28.36 REINV PRICE \$6.28000 QUANTITY BOT 4.1910 AS OF 11/30	OBYX	4	0
Trust	Settled		1/12/2011	Dwd R&Inv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$9.13 REINV PRICE \$7.94000 QUANTITY BOT 1.1500 AS OF 11/30	SASFX	1	0
Trust	Settled		1/12/2011	Dwd R&Inv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$11.04 REINV PRICE \$8.97000 QUANTITY BOT 1.2310 AS OF 11/30	LFRFX	1	0

Trust	Settled	12/1/2011	Divid Reinv PIMCO TOT RTN FD CL P AGENT REINV AMOUNT \$9.73 REINV PRICE \$10.78000 QUANTITY BOT .9030 AS OF 11/30		PTTPX		0
Trust	Settled	12/1/2011	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.69		TGLMX	1	0
Trust	Settled	12/1/2011	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$11.69	BPRIX	1		0
Trust	Settled	12/1/2011	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$10.79	PTTPX	1		0
Trust	Settled	12/2/2011	Purchase VANGUARD FINANCIALS ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VFH	100	27.5171	-2.751.71
Trust	Settled	12/2/2011	Purchase TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NTG	86	25.0637	-2,155.48
Trust	Settled	12/2/2011	Sale SECTOR SPDR FINANCIAL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLF	-191	13.1056	2,503.12
Trust	Settled	12/2/2011	Purchase ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APC	20	82.05	-1,641.00
Trust	Settled	12/5/2011	Purchase BAXTER INTERNL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	BAX	12	51.9764	-623.72

Trust	Settled	12/9/2011	Journal Entry PENNSYLVANIA MUTUAL FD FULL SHARE ACCUM SHARE VALUE \$10.91	PENN	1		0
Trust	Settled	12/7/2011	Sale CENTURYLINK INC SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CTL	-12	36.3385	436.03
Trust	Settled	12/7/2011	Sale EMC CORPORATION MASS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EMC	-14	23.3429	326.79
Trust	Settled	12/7/2011	Sale JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	-24	42.606	1,022.52
Trust	Settled	12/7/2011	Sale MICROSOFT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	MSFT	-25	25.5525	638.8
Trust	Settled	12/7/2011	Sale CALL MRO 00028.000 MARATHON OIL CORR EXP 12-17-11 OPEN TRAN MRO DEC 28.00000	MRO11	-1	0.61	60.99
Trust	Settled	12/9/2011	Sale CALL OEF 00059.000 ISHARES S&P 100 INDEX EXP 01-21-12 OPEN TRAN OEF JAN 59.00000	OEF122	-2	0.626824	125.35
Trust	Settled	12/9/2011	Redeemed FEDERAL HOME LN MTG CORP CALLABLE NOTES 04.250% DEC 12 2018 CALLABLE NOTES 04.250% DEC 12 2018 PAY DATE 12/12/2011				
Trust	Settled	12/9/2011	Purchase ISHARE BARLCYS 20+ YR TREAS INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TLT	15	116.66	-1,749.90

Trust	Settled	12/5/2011	12/8/2011	Purchase TORTOISE MLP FUND INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NTG	20	25.1	-502
Trust	Settled	12/5/2011	12/8/2011	Sale SECTOR SPDR ENERGY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLE	-51	71.6253	3,652.82
Trust	Settled	12/5/2011	12/8/2011	Purchase VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	75	22.9835	-1,723.76
Trust	Settled	12/6/2011	12/9/2011	Purchase MORGAN ST DEAN WITTER GLB 06.600% APR 01 2012 RATINGS ARE SUBJ. TO CHG PER THE PIA AGREEMENT MOODYS A2 S&P A- DETAILS UPON REQUEST YLD TO MATURITY 4.16% MATURITY DATE 4/01/12. 68 DAYS INTEREST	MS12	1,000	100.732	-1,019.79
Trust	Settled	12/6/2011	12/9/2011	Sale DARDEN RESTAURANTS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DRI	-58	43.02	2,495.11
Trust	Settled	12/6/2011	12/9/2011	Purchase MARATHON PETROLEUM CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MPC	50	34.5102	-1,725.51
Trust	Settled	12/6/2011	12/9/2011	Dmd ReInv PENNSYLVANIA MUTUAL FD AGENT REINV AMOUNT \$17.75 REINV PRICE \$10.62000 QUANTITY BOT 1,6710 AS OF 12/08	PENNIX	1	0	0
Trust	Settled	12/9/2011		Dmd ReInv PENNSYLVANIA MUTUAL FD AGENT REINV AMOUNT \$347.32 REINV PRICE \$10.62000 QUANTITY BOT 32.7040 AS OF 12/08	PENNIX	32	0	0
Trust	Settled	12/9/2011		Journal Entry PENNSYLVANIA MUTUAL FD FULL SHARE ACCUM SHARE VALUE \$10.91	PENNIX	1	0	0

			Sale GENERAL MILLS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
Trust	Settled	12/9/2011	12/14/2011			GIS	-73
						40.3625	2,946.40
Trust	Settled	12/9/2011	12/14/2011	Purchase EMERGING GLOBAL SHARES DOW JONES PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		ECON	55
						22.12	-1,216.80
Trust	Settled	12/9/2011	12/14/2011	Purchase SECTOR SPDR CONSMRS SPL PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		XLP	164
						31.96	-5,241.44
Trust	Settled	12/9/2011	12/14/2011	Sale TARGET CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		TGT	-52
						53.6714	2,790.86
Trust	Settled	12/15/2011	12/15/2011	Divid ReInv FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT \$380.91 REINV PRICE \$20.56000 QUANTITY BOT 18.5270 AS OF 12/13		SGOIX	18
						0	
Trust	Settled	12/15/2011	12/15/2011	Divid ReInv FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT \$538.50 REINV PRICE \$20.56000 QUANTITY BOT 26.1920 AS OF 12/13		SGOIX	26
						0	
Trust	Settled	12/15/2011	12/15/2011	Divid ReInv FIRST EAG OVERSEAS I AGENT REINV AMOUNT \$.84 REINV PRICE \$20.56000 QUANTITY BOT .0410 AS OF 12/13		SGOIX	0
Trust	Settled	12/15/2011	12/15/2011	Journal Entry FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE \$20.27		SGOIX	1
						0	
Trust	Settled	12/14/2011	12/19/2011	Sale ISHARE BARLCYS 20+ YR TREAS INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		TLT	-15
						121.25152	1,818.74

Trust [REDACTED]	Settled	12/16/2011	12/19/2011	Purchase CALL MRO 00028.000 MARATHON OIL CORP EXP 12-17-11 CLOSE TRAN MRO DEC 28,00000	MRO111	1	0.02
Trust [REDACTED]	Settled	12/16/2011	12/19/2011	Sale CALL MRO 00029.000 MARATHON OIL CORP EXP 01-21-12 OPEN TRAN MRO JAN 29,00000	MRO122	-1	0.56
Trust [REDACTED]	Settled	12/15/2011	12/20/2011	Sale NOVELLUS SYS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	NVLS	-100	42.1474
Trust [REDACTED]	Settled	12/20/2011		Divd ReInv TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$96.24 REINV PRICE \$12.26000 QUANTITY BOT 7,8500 AS OF 12/15	TGBAX	7	0
Trust [REDACTED]	Settled	12/20/2011		Divd ReInv TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$30.43 REINV PRICE \$12.26000 QUANTITY BOT 2,4820 AS OF 12/15	TGBAX	2	0
Trust [REDACTED]	Settled	12/20/2011		Journal Entry TEMPLETON GBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$12.39	TGBAX	1	0
Trust [REDACTED]	Settled	12/19/2011	12/22/2011	Sale LINCOLN NTL CORP IND NPV PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LNC	-148	17.9229
Trust [REDACTED]	Settled	12/22/2011	12/23/2011	Sale CALL MS 00017.500 MORGAN STANLEY EXP 01-21-12 OPEN TRAN MS JAN 17.50000	MS1221	-1	0.344275
Trust [REDACTED]	Settled	12/23/2011		Divd ReInv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$38.39 REINV PRICE \$11,73000 QUANTITY BOT 3,2730 AS OF 12/21	BPRX	3	0

			Sale E MC CORPORATION MASS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED					
Trust	Settled	12/23/2011	12/29/2011	EMC	-141	21.6892	3,058.12	
Trust	Settled	12/23/2011	12/29/2011	Purchase ISHARES TR RUSSELL 2000 INDEX FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IWM	44	74.43	-3,274.92
Trust	Settled	12/23/2011	12/29/2011	Divd ReInv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$20.26 REINV PRICE \$10.83000 QUANTITY BOT 1.8710 AS OF 12/28	PTTTPX	1		0
Trust	Settled		12/29/2011	Divd ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$30.64 REINV PRICE \$10.65000 QUANTITY BOT 2.8770 AS OF 12/28	AHIFX	2		0
Trust	Settled		12/29/2011	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$10.84	PTTTPX	1		0
Trust	Settled		12/29/2011	Divd ReInv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$34.07 REINV PRICE \$9.65000 QUANTITY BOT 3.5310 AS OF 12/29	TGLMX	3		0
Trust	Settled		12/30/2011	Divd ReInv TCW TOTAL RETURN BOND I AGENT REINV AMOUNT \$7.74 REINV PRICE \$9.65000 QUANTITY BOT .8620 AS OF 12/29	TGLMX			0
Trust	Settled		12/30/2011	Divd ReInv TCW TOTAL RETURN BOND I AGENT REINV AMOUNT \$6.19 REINV PRICE \$9.65000 QUANTITY BOT .6410 AS OF 12/29	TGLMX			0
Trust	Settled		12/30/2011	Divd ReInv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$134.20 REINV PRICE \$6.19000 QUANTITY BOT 21.6800 AS OF 12/29	OBYX	21		0

			Dvd ReInv AMERICAN HIGH INC TR F2 AGENT REINV AMOUNT \$8.36 REINV PRICE \$10.65000 QUANTITY BOT .7850			
Trust	Settled	12/30/2011		AHIFX		0
Trust	Settled	12/30/2011	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.64	TGLMX	1	0
Trust	Settled	12/30/2011	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.64	TGLMX	1	0
Trust	Settled	12/30/2011	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.20	OBYX	1	0
Trust	Settled	12/30/2011	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$10.66	AHIFX	1	0



Bank of America Corporation

Account No.

Taxpayer No.  
20-7505841

DR DAVID PHILIP ROE TTEE  
U/A DTD 08/08/2007  
DAVID PHILIP ROE CRUT  
#2 N CROSSBOW LN  
JOHNSON CITY TN 37604-3639

ORIGINAL 1099 02/10/2012

Your Financial Advisor 3062  
SMITH/CONKIN/HAUSMAN  
206 PRINCETON RD SUITE NO 1  
JOHNSON CITY, TN 37601-4980US

Payer:  
Merrill Lynch, Pierce, Fenner & Smith Inc  
1800 Merrill Lynch Drive, MSC 0803  
Pennington, NJ 08534-4129  
Federal Identification Number 13-5674085

## 2011 TAX REPORTING STATEMENT

1099-DIV	2011 Dividends and Distributions (OMB NO. 1545-0110)
1a Total Ordinary Dividends .....	Amount 7,734.46
1b Qualified Dividends .....	3,722.44
2a Total Capital Gain Distributions .....	1,014.73
2b Unrecap. Sec. 1250 Gain .....	0.00
2c Section 1202 Gain .....	0.00
2d Collectibles (28%) Gain .....	80.74
3 Nondividend Distributions .....	124.94
4 Federal Income Tax Withheld .....	0.00
5 Investment Expenses .....	0.00
6 Foreign Tax Paid .....	93.34
7 Foreign Country or U.S. Possession .....	0.00
8 Liquidation Distributions Cash .....	0.00
9 Liquidation Distributions Non-Cash (Fair Market Value)....	0.00

1099-INT	2011 Interest Income (OMB NO. 1545-0112)
1 Interest Income.....	Amount 7,734.46
2 Early Withdrawal Penalty .....	3,171.17
3 Interest On U.S. Savings Bonds and Treasury Obligations .....	3,722.44
4 Federal Income Tax Withheld .....	44.64
5 Investment Expenses .....	0.00
6 Foreign Tax Paid .....	0.00
7 Foreign Country or U.S. Possession .....	0.00
8 Tax-Exempt Interest .....	0.00
9 Specified Private Activity Bond Interest .....	0.00
10 Tax-Exempt Bond Cusip Number .....	0.00

Summary of 2011 Original Issue Discount Items		
	Amount	
Original Issue Discount.....	0.00	
Original Issue Discount on U.S. Treasury Obligations.....	0.00	
Amounts of Original Issue Discount are individually reported to the IRS. Refer to the 2011 Original Issue Discount detail section of this statement.		

Summary of 2011 Sales Proceeds		
	Amount	
Sales Proceeds.....	352,834.90	
Federal Income Tax Withheld .....	0.00	
Gross Proceeds from each of your 2011 securities trades are individually reported to the IRS. Refer to the 2011 Proceeds from Broker and Barter Exchange Transactions detail section of this statement.		

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

You may reach a Tax Representative at 1-800-637-6326.

Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) is a registered broker-dealer and wholly owned subsidiary of Bank of America Corporation  
Member, Securities Investor Protection Corporation (SIPC)



**Merrill Lynch**  
Bank of America Corporation

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## 2011 TAX REPORTING STATEMENT

In the past, under the Proceeds from Broker and Barter Exchange Transactions section, only the gross proceeds of the sale was reported to the IRS. However, beginning with the 2011 tax reporting year for all sales of positions considered covered securities, new legislation also requires reporting to the IRS the adjusted cost basis for each tax lot transactional sale. Any sale of a security that is not considered a covered security will still be included in this section with its adjusted cost basis (where available) but will not be transmitted to the IRS. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains after the mailing of this statement. The Cost Basis for these securities may not have yet been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making any adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

### 1099-B

### 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
<b>SHORT TERM CAPITAL GAINS AND LOSSES</b>								
<b>COVERED TRANSACTIONS</b>								
AMAZON COM INC COM REDEEMED	CUSIP Number	023135106						
4,0000 Sale	08/03/11	09/02/11		831.54	844.54	1.29 (W)	0.00	
1,0000 Sale	08/03/11	09/02/11		211.13	3.25 (W)	0.00		
5,0000 Sale	08/03/11	11/03/11		1,078.00	1,055.66	0.00	22.34	
4,0000 Sale	08/03/11	11/03/11		862.40	793.72	0.00	68.68	
1,0000 Sale	08/03/11	11/03/11		215.60	187.87	0.00 (W)	27.73	
6,0000 Sale	08/19/11	11/03/11		1,293.61	1,107.72	0.00	185.89	
<b>Security Subtotal</b>				<b>4,489.04</b>	<b>4,200.64</b>	<b>16.24</b>	<b>304.64</b>	
APACHE CORP REDEEMED	CUSIP Number	037411105						
35,0000 Sale	06/03/11	07/06/11		4,322.42	4,190.85	0.00	131.57	
APPLE INC REDEEMED	CUSIP Number	037833100						
6,0000 Sale	02/03/11	07/06/11		2,090.65	2,047.44	0.00	43.21	
7,0000 Sale	03/15/11	07/06/11		2,429.11	2,395.84	0.00	43.27	
<b>Security Subtotal</b>				<b>4,529.76</b>	<b>4,443.28</b>	<b>0.00</b>	<b>86.48</b>	
BAXTER INTERNL INC REDEEMED	CUSIP Number	071813109						
50,0000 Sale	08/09/11	10/04/11		2,652.28	2,560.00	0.00	92.28	





**Merrill Lynch**  
Bank of America Corporation

DR DAVID PHILIP ROE TTEE

2011 TAX REPORTING STATEMENT

Account No.

Taxpayer No.  
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8-6601

## 2011 PROCEEDS FROM BROKER & BARRIER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Description	Cover of Short	Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
CENTURYLINK INC SHS REDEEMED	CUSIP Number 156700106							
12,0000 Sale	06/03/11	12/07/11	436.03	498.43	0.00	0.00	(62.40)	
DARDEN RESTAURANTS INC REDEEMED	CUSIP Number 237194105							
58,0000 Sale	09/22/11	12/06/11	2,495.11	2,548.93	0.00	0.00	(53.82)	
DISCOVERY COMMUNICATN INC SERIES A REDEEMED	CUSIP Number 25470F104							
9,0000 Sale	02/03/11	09/02/11	365.59	368.46	0.00	0.00	(2.87)	
25,0000 Sale	02/03/11	09/06/11	989.73	1,023.49	0.00	0.00	(23.76)	
56,0000 Sale	02/03/11	09/07/11	2,239.53	2,292.51	0.00	0.00	(53.08)	
<b>Security Subtotal</b>			<b>3,604.85</b>	<b>3,684.56</b>	<b>0.00</b>	<b>0.00</b>	<b>(79.71)</b>	
DEERE CO REDEEMED	CUSIP Number 244199105							
7,0000 Sale	03/11/11	09/02/11	545.98	601.78	0.00	0.00	(55.80)	
DISNEY (WALT) CO COM STK REDEEMED	CUSIP Number 254687106							
113,0000 Sale	01/10/11	08/30/11	3,728.93	4,452.13	0.00	0.00	(723.20)	
E M C CORPORATION MASS REDEEMED	CUSIP Number 268648102							
14,0000 Sale	07/06/11	12/07/11	326.79	388.49	0.00	0.00	(61.70)	
141,0000 Sale	07/06/11	12/23/11	3,058.12	3,912.62	0.00	0.00	(854.50)	
<b>Security Subtotal</b>			<b>3,384.91</b>	<b>4,301.11</b>	<b>0.00</b>	<b>0.00</b>	<b>(916.20)</b>	
E TRADE FINL CORP REDEEMED	CUSIP Number 269246401							
215,0000 Sale	02/03/11	06/28/11	2,896.46	3,651.28	0.00	0.00	(754.82)	
FIFTH THIRD BANCORP REDEEMED	CUSIP Number 316773100							
345,0000 Sale	08/03/11	11/03/11	4,040.01	4,093.32	0.00	0.00	(53.31)	
57,0000 Sale	08/15/11	11/03/11	657.48	555.74	0.00	0.00	111.74	
<b>Security Subtotal'</b>			<b>4,707.49</b>	<b>4,649.06</b>	<b>0.00</b>	<b>0.00</b>	<b>58.43</b>	



Account No.

Taxpayer No.  
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DR DAVID PHILLIPS ROE TEE

2011 TAX REPORTING STATEMENT

4-5601

## 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Description	Cover of Short	Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
	<b>GENERAL MILLS REDEEMED</b>	<b>CUSIP Number</b>	<b>370334104</b>					
73,0000	Sale	08/11/11	12/09/11	2,946.40	2,563.67	0.00	0.00	382.73
	<b>GENUINE PARTS CO REDEEMED</b>	<b>CUSIP Number</b>	<b>372460105</b>					
15,0000	Sale	07/11/11	09/02/11	808.78	851.96	0.00	(43.18)	
61,0000	Sale	07/11/11	09/15/11	3,309.51	3,464.66	0.00	(155.15)	
	<b>Security Subtotal</b>			<b>4,118.29</b>	<b>4,316.62</b>	<b>0.00</b>	<b>(198.33)</b>	
	<b>GOODYEAR TIRE RUBBER REDEEMED</b>	<b>CUSIP Number</b>	<b>382550101</b>					
260,0000	Sale	06/03/11	09/15/11	2,886.12	4,323.85	0.00	(1,437.73)	
	<b>HEWLETT PACKARD CO DEL REDEEMED</b>	<b>CUSIP Number</b>	<b>42B236103</b>					
96,0000	Sale	01/25/11	06/03/11	3,483.77	4,510.91	0.00	(1,027.14)	
15,0000	Sale	05/03/11	06/03/11	544.34	598.65	0.00	(54.31)	
	<b>Security Subtotal</b>			<b>4,028.11</b>	<b>5,109.56</b>	<b>0.00</b>	<b>(1,081.45)</b>	
	<b>JABIL CIRCUIT INC REDEEMED</b>	<b>CUSIP Number</b>	<b>466313103</b>					
208,0000	Sale	07/06/11	09/15/11	3,644.46	4,349.55	0.00	(705.09)	
	<b>JOHNSON CONTROLS INC REDEEMED</b>	<b>CUSIP Number</b>	<b>478366107</b>					
57,0000	Sale	04/18/11	10/05/11	1,582.64	2,181.66	0.00	(599.02)	
57,0000	Sale	05/17/11	10/05/11	1,582.65	2,173.93	0.00	(591.28)	
	<b>Security Subtotal</b>			<b>3,165.29</b>	<b>4,355.59</b>	<b>0.00</b>	<b>(1,190.30)</b>	
	<b>KIMBERLY CLARK REDEEMED</b>	<b>CUSIP Number</b>	<b>494368103</b>					
57,0000	Sale	02/03/11	06/03/11	3,758.66	3,687.40	0.00	71.26	
	<b>LINCOLN INT'L CORP IND NPV REDEEMED</b>	<b>CUSIP Number</b>	<b>534187109</b>					
72,0000	Sale	03/03/11	12/19/11	1,290.42	2,207.77	0.00	(917.35)	
76,0000	Sale	03/16/11	12/19/11	1,362.12	2,173.72	0.00	(811.60)	
	<b>Security Subtotal</b>			<b>2,652.54</b>	<b>4,381.49</b>	<b>0.00</b>	<b>(1,728.95)</b>	



**Merrill Lynch**  
Bank of America Corporation

DR DAVID PHILLIP ROE TTEE

Account No.  
[REDACTED]

Taxpayer No.  
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## 2011 TAX REPORTING STATEMENT

**1099-B**

### 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

		Transaction Description		Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
<b>METLIFE INC</b> <b>REDEEMED</b>	<b>COM</b>	CUSIP Number	<b>59156R108</b>	02/03/11	11/07/11 11/08/11	375.09 3,043.74	509.84 4,125.09	0.00 0.00	(134.75) (1,081.35)	
11.0000 Sale				02/03/11						
89.0000 Sale										
<b>Security Subtotal</b>						<b>3,418.83</b>	<b>4,634.93</b>	<b>0.00</b>	<b>(1,216.10)</b>	
<b>MICROSOFT CORP</b> <b>REDEEMED</b>	<b>25.0000</b> Sale	CUSIP Number	<b>594918104</b>	08/03/11	12/07/11	638.80	665.35	0.00	(26.55)	
<b>NEXTERA ENERGY INC</b> SHS <b>REDEEMED</b>	<b>80.0000</b> Sale	CUSIP Number	<b>65339F101</b>	02/03/11	06/03/11	4,381.66	4,377.38	0.00	4.30	
<b>NOVELLUS SYS INC</b> <b>REDEEMED</b>	<b>52.0000</b> Sale <b>48.0000</b> Sale	CUSIP Number	<b>670009101</b>	10/11/11 10/25/11	12/15/11 12/15/11	2,191.62 2,023.04	1,582.68 1,574.88	0.00 0.00	608.94 448.16	
<b>Security Subtotal</b>						<b>4,214.66</b>	<b>3,157.56</b>	<b>0.00</b>	<b>1,057.10</b>	
<b>RANGE RESOURCES CORP</b> DEL <b>REDEEMED</b>	<b>75.0000</b> Sale	CUSIP Number	<b>75281A109</b>	07/06/11	10/18/11	5,393.53	4,279.07	0.00	1,114.46	
<b>SALESFORCE.COM INC</b> <b>REDEEMED</b>	<b>26.0000</b> Sale <b>6.0000</b> Sale	CUSIP Number	<b>79466L302</b>	02/25/11 04/20/11	06/03/11 06/03/11	3,790.60 814.76	3,700.42 847.78	0.00 0.00	90.18 26.98	
<b>Security Subtotal</b>						<b>4,665.36</b>	<b>4,548.20</b>	<b>0.00</b>	<b>117.16</b>	
<b>TARGET CORP</b> <b>REDEEMED</b>	<b>COM</b> <b>52.0000</b> Sale	CUSIP Number	<b>87612E106</b>	09/22/11	12/09/11	2,790.86	2,540.22	0.00	250.64	
<b>WSTN DIGITAL CORP</b> DEL <b>REDEEMED</b>	<b>122.0000</b> Sale	CUSIP Number	<b>958102105</b>	06/03/11	11/03/11	3,375.18	4,307.82	0.00	(932.64)	



Bank of America Corporation

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[REDACTED]

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## 2011 TAX REPORTING STATEMENT

### 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1099-B Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
Covered Short Term Capital Gains and Losses Subtotal				93,872.03	101,380.31	16.24	(7,492.04)	
<b>NONCOVERED TRANSACTIONS</b>								
CALL MRO 1,0000	DEC 0028 00 Short Sale *	12/16/11	12/09/11(S)	60.99	2.00	0.00	58.99	NOT 1099 REPORTABLE
ANADARKO PETE CORP REDEEMED	CUSIP Number	03251107						
63,0000 Sale 3,0000 Sale	12/16/10 07/06/11	235.50	4,945.40 198.75 196.76	5,180.90	4,385.51	0.00	746.65 38.74	785.39
Security Subtotal								
C.H. ROBINSON WORLDWIDE, INC. NEW REDEEMED	CUSIP Number	12541W209						
71,0000 Sale	05/05/10 01/04/11	5,715.73	4,327.69	5,715.73	4,327.69	0.00	1,388.04	
CISCO SYSTEMS INC COM REDEEMED	CUSIP Number	17275R102						
64,0000 Sale	12/06/10 02/04/11	1,402.88	1,249.28	1,402.88	1,249.28	0.00	153.60	
CORNING INC REDEEMED	CUSIP Number	219350J05						
236,0000 Sale	07/06/10 06/03/11	4,507.84	3,932.44	4,507.84	3,932.44	0.00	575.40	
ISHARE BARLCYS 20+ YR TREAS INDEX FUND REDEEMED	CUSIP Number	464287432						
15,0000 Sale	12/09/11 12/14/11	1,818.74	1,749.90	1,818.74	1,749.90	0.00	68.84	
ISHARES IBOXX\$ HIGH YIEL CORPORATE BOND FUND REDEEMED	CUSIP Number	46428513						
46,0000 Sale	06/29/10 06/10/11	4,129.80	3,909.71	4,129.80	3,909.71	0.00	220.09	



**Merrill Lynch**  
Bank of America Corporation

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**1099-B**

DR DAVID PHILIP ROE TTEE

### 2011 TAX REPORTING STATEMENT

#### 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

<b>Quantity</b>	<b>Transaction Description</b>	<b>Date Acquired</b>	<b>Date Liquidated</b>	<b>Amount</b>	<b>Cost Basis</b>	<b>Adjustments</b>	<b>Gain or (Loss)</b>	<b>Remarks</b>
	<b>HESS CORP REDEEMED</b>	CUSIP Number	42809H107					
16,0000	Sale	10/05/10	01/21/11	1,264.03	972.71	0.00	291.32	
53,0000	Sale	10/07/10	01/21/11	4,187.12	3,272.75	0.00	914.37	
	<b>Security Subtotal</b>			<b>5,451.15</b>	<b>4,245.46</b>	<b>0.00</b>	<b>1,205.69</b>	
	<b>ISHARES TR RUSSELL 2000 INDEX FD</b>	CUSIP Number	464287655					
44,0000	Sale	12/23/11	12/28/11	3,241.41	3,274.92	0.00	(33.51)	
	<b>JACOBS ENGN GRP INC DELA REDEEMED</b>	CUSIP Number	469814107					
24,0000	Sale	12/09/10	12/07/11	1,022.52	1,012.80	0.00	9.72	
	<b>KAYNE ANDERSON MLP REDEEMED</b>	CUSIP Number	486606106					
104,0000	Sale	08/18/10	03/21/11	3,277.62	2,662.36	0.00	615.26	
15,0000	Sale	08/19/10	03/21/11	472.74	385.69	0.00	87.05	
	<b>Security Subtotal</b>			<b>3,750.36</b>	<b>3,048.05</b>	<b>0.00</b>	<b>702.31</b>	
	<b>MCKESSON CORPORATION COM REDEEMED</b>	CUSIP Number	58155Q103					
67,0000	Sale	08/05/10	02/03/11	5,114.97	4,175.40	0.00	939.57	
	<b>MARATHON OIL CORP REDEEMED</b>	CUSIP Number	565849106					
40,0000	Sale	11/12/10	05/05/11	1,978.84	1,356.18	0.00	622.66	
	<b>MARATHON PETROLEUM CORP REDEEMED</b>	CUSIP Number	56585A102					
44,0000	Sale	11/12/10	07/08/11	1,814.97	1,203.87	0.00	611.10	
	<b>PRUDENTIAL FINANCIAL INC REDEEMED</b>	CUSIP Number	744320102					
20,0000	Sale	11/03/10	09/07/11	946.15	1,064.00	0.00	(117.85)	
	<b>QUEST DIAGNOSTICS INC REDEEMED</b>	CUSIP Number	74834L100					
85,0000	Sale	11/03/10	03/03/11	4,771.47	4,301.32	0.00	470.15	



**Merrill Lynch**  
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**2011 TAX REPORTING STATEMENT**  
**2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS** (OMB NO. 1545-0715)

1099-B		Quantity	Transaction Description	Date Acquired	Date Liquidated	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
<b>SECTOR SPDR ENERGY REDEEMED</b>				CUSIP Number	81369Y506					
104,0000 Sale		11/23/10	08/12/11		6,957.64	6,487.37	0.00	0.00	470.27	
67,0000 Sale			08/18/11		4,290.08	4,179.36	0.00	0.00	110.72	
<b>Security Subtotal</b>					<b>11,247.72</b>	<b>10,666.73</b>	<b>0.00</b>	<b>0.00</b>	<b>580.99</b>	
<b>SECTOR SPDR FINANCIAL REDEEMED</b>				CUSIP Number	81369Y605					
362,0000 Sale		07/14/11	08/10/11		4,468.36	5,466.09	0.00	0.00	(999.73)	
191,0000 Sale		10/27/11	12/02/11		2,503.12	2,686.95	0.00	0.00	(183.82)	
<b>Security Subtotal</b>					<b>6,969.48</b>	<b>6,153.04</b>	<b>0.00</b>	<b>0.00</b>	<b>(1,183.56)</b>	
<b>SECTOR SPDR UTILITIES REDEEMED</b>				CUSIP Number	81369Y886					
4,0000 Sale		04/30/10	02/03/11		126.97	122.04	0.00	0.00	4.93	
<b>STANDARD&amp;POORS DEP RCPT REDEEMED</b>				CUSIP Number	78462F103					
39,0000 Sale		11/23/10	08/10/11		4,522.64	4,634.37	111.73 (W)	0.00	0.00	
8,0000 Sale		11/23/10	08/10/11		927.72	950.64	22.92 (W)	0.00	0.00	
15,0000 Sale		02/03/11	08/10/11		1,739.48	1,954.50	215.02 (W)	0.00	0.00	
21,0000 Sale		02/03/11	08/10/11		2,435.27	2,736.30	0.00	(301.03)	(301.03)	
34,0000 Sale		08/11/11	08/11/11		3,881.26	4,488.30	0.00 (607.04)	0.00 (81.69)	0.00 (83.34)	
5,0000 Sale		11/23/10	08/11/11		578.36	660.05	0.00 (24.53)	0.00 (273.04)	0.00 (67.06)	
6,0000 Sale		11/23/10	08/11/11		694.04	777.38	0.00 (233.33)	0.00 (33.00)	0.00 (24.53)	
2,0000 Sale		11/23/10	08/11/11		234.59	259.12	0.00 (233.33)	0.00 (33.00)	0.00 (24.53)	
21,0000 Sale		02/03/11	08/11/11		2,463.26	2,736.30	0.00 (233.33)	0.00 (33.00)	0.00 (24.53)	
8,0000 Sale		02/03/11	10/17/11		975.34	1,042.40	0.00 (286.71)	0.00 (286.71)	0.00 (286.71)	
15,0000 Sale		02/03/11	10/17/11		1,828.77	2,115.48	0.00 (286.71)	0.00 (286.71)	0.00 (286.71)	
<b>Security Subtotal</b>					<b>20,280.73</b>	<b>22,354.84</b>	<b>349.67</b>	<b>(1,724.44)</b>		
<b>TEVA PHARMACTCL IND ADR REDEEMED</b>				CUSIP Number	881624209					
3,0000 Sale		06/17/10	02/09/11		155.66	161.54	0.00	0.00	(5.88)	
<b>VALERO ENERGY CORP NEW REDEEMED</b>				CUSIP Number	91913Y100					
201,0000 Sale		04/22/10	01/21/11		4,858.64	3,841.35	0.00	0.00	1,017.29	
20,0000 Sale		06/23/10	01/21/11		483.45	3,362.53	0.00	0.00	120.92	
<b>Security Subtotal</b>					<b>5,342.09</b>	<b>4,203.88</b>	<b>0.00</b>	<b>0.00</b>	<b>1,138.21</b>	



**Merrill Lynch**  
Bank of America Corporation

DR DAVID PHILIP ROE FIEE

2011 TAX REPORTING STATEMENT

109-B-6601

## 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Account No.

Taxpayer No.  
20-7505841

Quantity	Description	Cover of Short	Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
	<b>WALGREEN CO REDEEMED</b>							
42,000.00	Sale	08/04/10	03/15/11	1,715.25	1,199.15	0.00	516.10	
	<b>ASTON/FAIRPOINTE MID CAP FD CL / REDEEMED</b>							
118,000.00	Sale	1/16/10	08/08/11	3,056.20	3,478.40	0.00	(422.20)	
33,000.00	Sale	1/20/10	08/08/11	854.69	1,044.29	0.00	(189.60)	
1,000.00	Sale	1/21/10	08/08/11	25.90	31.61	0.00	(5.71)	
23,000.00	Sale	1/22/10	08/08/11	595.70	753.88	0.00	(158.18)	
1,000.00	Sale	1/22/10	08/08/11	25.90	32.57	0.00	(6.67)	
21,000.00	Sale	01/25/11	08/08/11	543.90	687.17	0.00	(143.27)	
1,000.00	Sale	01/28/11	08/08/11	25.90	32.58	0.00	(6.68)	
.3920	Sale	01/28/11	08/08/11	10.15	12.72	0.00	(2.57)	
21,000.00	Sale	01/28/11	08/08/11	543.91	681.28	0.00	(137.37)	
	<b>Security Subtotal/</b>			5,682.25	6,754.50	0.00	(1,072.25)	
	<b>BLACKROCK INFLATION PROTECTED BD FD INST CL REDEEMED</b>							
2,000.00	Sale	06/30/11	1/10/21/11	23.65	22.22	0.00	1.43	
.5320	Sale	10/31/11	1/10/21/11	6.28	6.16	0.00	0.12	
1,000.00	Sale	1/23/10	1/10/21/11	11.82	10.88	0.00	0.94	
1,000.00	Sale	02/28/11	1/10/21/11	11.82	10.96	0.00	0.86	
3,000.00	Sale	03/31/11	1/10/21/11	35.45	33.09	0.00	2.36	
3,000.00	Sale	04/29/11	1/10/21/11	35.46	33.59	0.00	1.87	
6,000.00	Sale	05/31/11	1/10/21/11	70.92	66.66	0.00	4.26	
	<b>Security Subtotal/</b>			195.40	183.56	0.00	11.84	
	<b>Noncovered Short Term Capital Gains and Losses Subtotal</b>			102,624.27	97,047.81	349.67	5,926.13	
	<b>NET SHORT TERM CAPITAL GAINS AND LOSSES</b>			196,496.30	198,428.12	365.91	(1,565.91)	



Bank of America Corporation

Account No.  
20-7505841Taxpayer No.  
20-7505841

## 2011 TAX REPORTING STATEMENT

1099-B

(OMB NO. 1545-0715)

DR DAVID PHILIP ROE TTEE

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
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## LONG TERM CAPITAL GAINS AND LOSSES

## NONCOVERED TRANSACTIONS

Cisco Systems Inc GLB 05.250% FEB 22 2011 10000.0000 Redemption	10/10/07	02/22/11	10,000.00	10,000.00	0.00	0.00	0.00	
U.S. TREASURY NOTE 3.250% MAY 31 2016 REDEEMED								
2000.0000 Sale	06/11/09	04/01/11	2,089.06	1,962.81	0.00	126.25		
2000.0000 Sale	02/12/10	04/01/11	2,089.07	2,037.75	0.00	51.32		
<b>Security Subtotal</b>			<b>4,178.13</b>	<b>4,000.56</b>	<b>0.00</b>	<b>177.57</b>		
Federal Home LN MTG CORP CALLABLE NOTES 04.250% DEC 12 2018	03/23/10	12/12/11	10,000.00	10,124.44	0.00	(124.44)		
10000.0000 Redemption								
<b>Cisco Systems Inc COM REDEEMED</b>	<b>CUSIP Number</b>	<b>17275R102</b>						
29.0000 Sale	10/10/07	02/04/11	635.65	965.42	0.00	(329.77)		
12.0000 Sale	10/19/07	02/04/11	263.03	382.56	0.00	(119.53)		
7.0000 Sale	11/27/07	02/04/11	153.43	192.57	0.00	(39.14)		
14.0000 Sale	12/12/07	02/04/11	306.86	399.70	0.00	(92.84)		
13.0000 Sale	12/13/07	02/04/11	284.95	378.95	0.00	(94.00)		
9.0000 Sale	12/26/07	02/04/11	255.15	197.27	0.00	(57.88)		
5.0000 Sale	01/04/08	02/04/11	109.59	130.75	0.00	(21.16)		
5.0000 Sale	01/10/08	02/04/11	109.59	130.75	0.00	(21.16)		
1.0000 Sale	01/22/08	02/04/11	21.91	23.51	0.00	(1.60)		
5.0000 Sale	01/31/08	02/04/11	109.59	122.95	0.00	(13.36)		
4.0000 Sale	07/01/08	02/04/11	87.67	92.00	0.00	(4.33)		
29.0000 Sale	08/04/08	02/04/11	635.66	635.09	0.00	0.57		
17.0000 Sale	02/24/09	02/04/11	372.63	249.22	0.00	123.41		
4.0000 Sale	04/09/09	02/04/11	87.67	71.96	0.00	15.71		
<b>Security Subtotal</b>			<b>3,375.50</b>	<b>4,030.58</b>	<b>0.00</b>	<b>(655.08)</b>		



Bank of America Corporation

Account No.

Taxpayer No.  
20-750584

**1099-B**

**2011 TAX REPORTING STATEMENT**

**2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS** (OMB NO. 1545-0715)

Quantity	Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
	<b>CUSIP Number</b>							
	<b>191216100</b>							
	<b>COCA COLA CO</b>							
	<b>REDEEMED</b>							
72.0000	Sale	03/02/09	03/14/11	4,577.92	2,915.21	0.00	1,662.71	
6.0000	Sale	08/27/09		381.50	293.88	0.00	87.62	
	<b>Security Subtotal</b>			<b>4,959.42</b>	<b>3,209.09</b>	<b>0.00</b>	<b>1,750.33</b>	
	<b>CUSIP Number</b>							
	<b>314211103</b>							
	<b>FEDERATED INVESTRS B</b>							
	<b>REDEEMED</b>							
66.0000	Sale	09/23/09	08/03/11	1,365.99	1,788.73	0.00	(422.74)	
13.0000	Sale	09/25/09	08/03/11	269.06	332.18	0.00	(63.12)	
70.0000	Sale	11/03/09	08/03/11	1,448.78	1,875.07	0.00	(426.29)	
9.0000	Sale	11/05/09	08/03/11	186.27	237.67	0.00	(51.40)	
19.0000	Sale	04/30/10	08/03/11	393.25	464.54	0.00	(71.29)	
	<b>Security Subtotal</b>			<b>3,663.35</b>	<b>4,698.19</b>	<b>0.00</b>	<b>(1,034.84)</b>	
	<b>CUSIP Number</b>							
	<b>464287408</b>							
	<b>ISHARES S&amp;P 500 VALUE INDEX FUND</b>							
	<b>REDEEMED</b>							
54.0000	Sale	09/08/09	07/08/11	3,369.76	2,689.49	0.00	680.27	
98.0000	Sale	01/14/10	07/08/11	6,115.51	5,388.46	0.00	727.05	
41.0000	Sale	04/30/10	07/08/11	2,558.53	2,367.66	0.00	190.87	
	<b>Security Subtotal</b>			<b>12,043.80</b>	<b>10,445.61</b>	<b>0.00</b>	<b>1,598.19</b>	
	<b>CUSIP Number</b>							
	<b>464287325</b>							
	<b>ISHARES S&amp;P GBL HEALTH CARE SECTOR INDEX FUND</b>							
	<b>REDEEMED</b>							
93.0000	Sale	01/22/09	08/08/11	4,712.90	4,029.46	0.00	683.44	
22.0000	Sale	02/12/09	08/08/11	1,114.89	950.40	0.00	164.49	
	<b>Security Subtotal</b>			<b>5,827.79</b>	<b>4,979.86</b>	<b>0.00</b>	<b>847.93</b>	
	<b>CUSIP Number</b>							
	<b>464287215</b>							
	<b>ISHARES S&amp;P GLB TELECOM-MUNICICATIONS SECTOR INDEX</b>							
	<b>REDEEMED</b>							
2.0000	Sale	10/19/07	08/08/11	108.93	159.14	0.00	(50.21)	
5.0000	Sale	11/27/07	08/08/11	272.33	385.05	0.00	(112.72)	
1.0000	Sale	01/22/08	08/08/11	54.46	69.20	0.00	(14.74)	
9.0000	Sale	12/17/07	08/08/11	490.20	720.90	0.00	(230.70)	
9.0000	Sale	12/17/07	08/08/11	490.21	717.48	0.00	(227.27)	
6.0000	Sale	12/26/07	08/08/11	326.80	469.56	0.00	(142.76)	
3.0000	Sale	01/04/08	08/08/11	163.40	227.10	0.00	(63.70)	



Bank of America Corporation

DR DAVID PHILIP ROE TTEE

Account No.  
20-7505841

Taxpayer No.  
20-7505841

## 2011 TAX REPORTING STATEMENT

(OMB NO. 1545-0715)

**1099-B**

**2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**

Quantity	Transaction Description	CUSIP Number	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
	<b>ISHARES S&amp;P GLB TELECOM- MUNICATIONS SECTOR INDEX REDEEMED</b>								
3,0000	Sale	01/10/08	08/08/11	163.40	233.79	0.00	(70.39)		
3,0000	Sale	01/31/08	08/08/11	163.40	213.33	0.00	(8.88)		
1,0000	Sale	07/01/08	08/08/11	54.46	63.34	0.00	6.88		
65,0000	Sale	01/22/09	08/08/11	3,540.44	2,910.54	0.00	629.90		
	<b>Security Subtotal</b>				<b>5,828.03</b>	<b>6,169.43</b>	<b>0.00</b>	<b>(341.40)</b>	
	<b>ISHARES MSCI EMERGING WMTS INDEX FD REDEEMED</b>								
66,0000	Sale	02/11/10	08/15/11	2,775.39	2,517.01	0.00	258.38		
	<b>CUSIP Number</b>	<b>464287234</b>							
	<b>SHARES BARCLAYS AGGRGT AGGREGATE BD FUND REDEEMED</b>								
35,0000	Sale	01/23/09	07/15/11	3,760.32	3,577.32	0.00	183.00		
18,0000	Sale	02/04/09	07/15/11	1,933.88	1,817.81	0.00	116.07		
14,0000	Sale	03/04/09	07/15/11	1,504.14	1,397.74	0.00	106.40		
	<b>Security Subtotal</b>				<b>7,198.34</b>	<b>6,792.87</b>	<b>0.00</b>	<b>405.47</b>	
	<b>ISHARES TR DOW JONES US REDEEMED</b>								
61,0000	Sale	10/10/07	1/1/11	3,590.76	3,770.41	0.00	(179.65)		
	<b>CUSIP Number</b>	<b>464288810</b>							
	<b>VANGUARD FTSE ALL WORLD EX US REDEEMED</b>								
122,0000	Sale	12/04/09	08/09/11	5,166.10	5,523.54	0.00	(357.44)		
15,0000	Sale	01/14/10	08/09/11	635.18	681.27	0.00	(46.09)		
109,0000	Sale	01/14/10	09/22/11	4,119.18	4,950.56	0.00	(831.38)		
133,0000	Sale	04/29/10	09/22/11	5,026.16	5,866.19	0.00	(840.03)		
5,0000	Sale	04/30/10	09/22/11	188.95	218.65	0.00	(29.70)		
31,0000	Sale	08/09/10	09/22/11	1,171.51	1,361.41	0.00	(189.90)		
32,0000	Sale	08/11/10	09/22/11	1,209.31	1,337.82	0.00	(128.51)		
	<b>Security Subtotal</b>				<b>17,516.39</b>	<b>19,939.44</b>	<b>0.00</b>	<b>(2,423.05)</b>	



**Merrill Lynch**  
Bank of America Corporation

DR DAVID PHILIP ROE TTEE

Account No.  
20-7505841

Taxpayer No.  
20-7505841

**2011 TAX REPORTING STATEMENT**  
**(OMB NO. 1545-0715)**

**2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**

1099-B		2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS						
Quantity	Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
	<b>SPDR BARCLAYS CAPTL CONV REDEEMED</b>	CUSIP Number	78464A359					
45,0000	Sale	09/11/09	08/09/11	1,634.36	1,608.75	0.00	25.61	
21,0000	Sale	10/23/09	08/09/11	782.70	772.80	0.00	(10.10)	
66,0000	Sale	12/15/09	08/09/11	2,397.09	2,492.61	0.00	(95.52)	
	<b>Security Subtotal</b>			<b>4,794.15</b>	<b>4,874.16</b>	<b>0.00</b>	<b>(80.01)</b>	
	<b>HONEYWELL INTL INC DEL REDEEMED</b>	CUSIP Number	438516106					
74,0000	Sale	10/13/08	02/18/11	4,285.97	2,443.44	0.00	1,842.53	
14,0000	Sale	02/23/09	02/18/11	810.86	404.88	0.00	405.98	
	<b>Security Subtotal</b>			<b>5,096.83</b>	<b>2,848.32</b>	<b>0.00</b>	<b>2,248.51</b>	
	<b>ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F REDEEMED</b>	CUSIP Number	464287721					
4,0000	Sale	03/12/08	01/25/11	266.85	212.81	0.00	54.04	
6,0000	Sale	07/01/08	01/25/11	400.27	321.48	0.00	78.79	
57,0000	Sale	01/16/09	01/25/11	3,802.65	1,968.73	0.00	1,833.93	
29,0000	Sale	01/16/09	08/22/11	1,647.69	1,001.64	0.00	646.05	
76,0000	Sale	01/22/09	08/22/11	4,318.10	2,622.91	0.00	1,695.19	
21,0000	Sale	05/13/09	08/22/11	1,193.16	848.81	0.00	344.35	
	<b>Security Subtotal</b>			<b>11,628.73</b>	<b>6,976.38</b>	<b>0.00</b>	<b>4,652.35</b>	
	<b>POWERSHARES BUILD AMERIC BOND ETF REDEEMED</b>	CUSIP Number	73937B407					
54,0000	Sale	02/12/10	11/02/11	1,528.17	1,328.04	0.00	200.13	
	<b>McDONALDS CORP COM REDEEMED</b>	CUSIP Number	5800135107					
57,0000	Sale	06/03/08	01/10/11	4,214.84	3,284.03	0.00	930.81	
2,0000	Sale	07/01/08	01/10/11	147.89	111.60	0.00	36.29	
	<b>Security Subtotal</b>			<b>4,362.73</b>	<b>3,395.63</b>	<b>0.00</b>	<b>967.10</b>	



**Merrill Lynch**  
Bank of America Corporation

DR DAVID PHILIP ROE TTEE

Account No.  
20-7505841

Taxpayer No.  
20-7505841

## 2011 TAX REPORTING STATEMENT

1099-B

### 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Description		Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
<b>PRUDENTIAL FINANCIAL INC</b>		<b>CUSIP Number</b>	<b>744320102</b>					
<b>REDEEMED</b>		06/03/10	09/07/11	1,797.67	2,273.16	0.00	(475.49)	
38.0000 Sale		06/08/10	09/07/11	1,040.76	1,207.80	0.00	{167.04}	
22.0000 Sale		06/08/10	09/07/11	94.61	108.51	0.00	(13.90)	
<b>Security Subtotal</b>				<b>2,933.04</b>	<b>3,589.47</b>	<b>0.00</b>	<b>(656.43)</b>	
<b>SECTOR SPDR ENERGY</b>		<b>CUSIP Number</b>	<b>81369Y506</b>					
<b>REDEEMED</b>		11/23/10	12/05/11	3,652.82	3,181.30	0.00	471.52	
51.0000 Sale								
<b>SECTOR SPDR FINANCIAL</b>		<b>CUSIP Number</b>	<b>81369Y605</b>					
<b>REDEEMED</b>		05/10/10	08/10/11	2,097.45	2,700.59	0.00	(603.14)	
170.0000 Sale		05/25/10	08/10/11	2,319.53	2,619.70	0.00	(300.17)	
188.0000 Sale		07/02/10	08/10/11	2,640.32	2,884.72	0.00	(244.40)	
<b>Security Subtotal</b>				<b>7,057.30</b>	<b>8,205.01</b>	<b>0.00</b>	<b>(1,147.71)</b>	
<b>SECTOR SPDR UTILITIES</b>		<b>CUSIP Number</b>	<b>81369Y886</b>					
<b>REDEEMED</b>		09/25/08	02/03/11	2,920.21	3,189.43	0.00	(269.22)	
92.0000 Sale		10/08/08	02/03/11	2,886.73	2,615.28	0.00	241.45	
90.0000 Sale		10/09/08	02/03/11	2,856.74	2,507.13	0.00	349.61	
90.0000 Sale		10/23/08	02/03/11	2,825.00	2,492.00	0.00	333.00	
<b>Security Subtotal</b>				<b>11,458.68</b>	<b>10,803.84</b>	<b>0.00</b>	<b>654.84</b>	
<b>TEVA PHARMACEUTICAL IND'S ADR</b>		<b>CUSIP Number</b>	<b>881624209</b>					
<b>REDEEMED</b>		06/24/08	02/09/11	3,268.84	2,827.27	0.00	441.57	
63.0000 Sale		07/01/08	02/09/11	103.77	92.54	0.00	11.23	
2.0000 Sale		02/05/09	02/09/11	311.32	254.34	0.00	56.98	
<b>Security Subtotal</b>				<b>3,683.93</b>	<b>3,174.15</b>	<b>0.00</b>	<b>509.78</b>	
<b>UNITED TECHS CORP COM</b>		<b>CUSIP Number</b>	<b>913017709</b>					
<b>REDEEMED</b>		08/14/09	09/07/11	1,447.82	1,133.72	0.00	314.10	
20.0000 Sale								



**Wells Fargo**  
Bank of America Corporation

DR DAVID PHILIP ROE TTEE

2011 TAX REPORTING STATEMENT

1099-B  
8-6601

## **2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**

(OMB NO. 1545-0715)

Account No. \_\_\_\_\_  
Taxpayer No. \_\_\_\_\_  
20-7505841

Quantity	Description	Cover of Short	Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
	<b>WAL-MART STORES INC REDEEMED</b>							
45,000.00	Sale	12/29/08	07/08/11	2,440.37	2,473.22	0.00	(32.85)	
14,000.00	Sale	01/08/09	07/08/11	759.22	717.50	0.00	41.72	
18,000.00	Sale	08/25/09	07/08/11	976.15	935.44	0.00	40.71	
1,000.00	Sale	04/30/10	07/08/11	54.24	53.86	0.00	0.38	
	<b>Security Subtotal</b>			<b>4,229.98</b>	<b>4,180.02</b>	<b>0.00</b>	<b>49.96</b>	
	<b>WALGREEN CO REDEEMED</b>							
12,000.00	Sale	08/04/10	09/02/11	422.15	342.61	0.00	79.54	
93,000.00	Sale	08/04/10	10/06/11	3,083.72	2,655.25	0.00	428.47	
	<b>Security Subtotal</b>			<b>3,505.87</b>	<b>2,997.86</b>	<b>0.00</b>	<b>508.01</b>	
	<b>BLACKROCK INFLATION PROTECTED BD FD INST CL REDEEMED</b>							
2,990	Sale	08/20/09	11/02/11	3.54	3.17	0.00	0.37	
2,000.00	Sale	05/28/10	11/02/11	23.64	22.02	0.00	1.62	
1,000.00	Sale	06/30/10	11/02/11	11.92	11.12	0.00	0.70	
1,000.00	Sale	06/30/10	11/02/11	11.92	11.08	0.00	0.74	
1,000.00	Sale	10/29/10	11/02/11	11.82	11.65	0.00	0.17	
	<b>Security Subtotal</b>			<b>62.64</b>	<b>59.04</b>	<b>0.00</b>	<b>3.60</b>	
	<b>Noncovered Long Term Capital Gains and Losses Subtotal</b>			<b>156,399.59</b>	<b>147,424.43</b>	<b>0.00</b>	<b>8,975.16</b>	
	<b>NET LONG TERM CAPITAL GAINS AND LOSSES</b>			<b>156,399.59</b>	<b>147,424.43</b>	<b>0.00</b>	<b>8,975.16</b>	
	<b>TOTAL CAPITAL GAINS AND LOSSES</b>			<b>352,895.89</b>				
	<b>TOTAL REPORTED SALES PROCEEDS</b>			<b>352,834.90</b>				
	<b>DIFFERENCE</b>			<b>60.99</b>				

**\*\* Difference results from our inclusion of items within the Proceeds from Broker and Barter Exchange Transactions Section that are not subject to 1099-B reporting (e.g., realized gains and losses from option trading). Although we are not required to report these items to the IRS, we have included them because the gains and losses are relevant to your tax return preparation.**

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**DAVID PHILLIP ROE**

**SCHEDULE 2**

Online at: [www.mymerill.com](http://www.mymerill.com)

Account Number: [REDACTED]

24 Hour Assistance: (800) MERRILL



Bank of America Corporation

MLPF&S CUST FPO  
PAMELA A ROE IRA  
FBO PAMELA A ROE

2 N CROSSBOW LN  
JOHNSON CITY TN 37604-3639  
1-423-282-5191

## Pam's IRA

This account is enrolled in the Personal Investment Advisory Program

Net Portfolio Value:		\$194,571.36
Your Financial Advisor: SMITH/CONKIN/HAUSMAN 206 PRINCETON RD SUITE NO 1 JOHNSON CITY TN 37601 1-423-282-5191		

December 01, 2011 - December 30, 2011

ASSETS		December 30	November 30	CASH FLOW	This Statement	Year to Date
Cash/Money Accounts		<b>16,430.95</b>	<b>14,094.30</b>	Opening Cash/Money Accounts	<b>\$14,094.30</b>	
Fixed Income				CREDITS		
Equities		<b>90,334.72</b>	<b>98,807.00</b>	Funds Received		
Mutual Funds		<b>84,662.78</b>	<b>78,210.62</b>	Electronic Transfers		
Options				Other Credits		
Other				Subtotal /	<b>1.95</b>	<b>67.21</b>
Alternative Investments		<b>3,304.91</b>	<b>3,702.02</b>	DEBITS		
<i>Subtotal (Long Portfolio)</i>		<b>194,733.36</b>	<b>194,813.94</b>	Electronic Transfers		
<b>TOTAL ASSETS</b>		<b>\$194,733.36</b>	<b>\$194,813.94</b>	Other Debits		
				Subtotal /	<b>(2,383.85)</b>	<b>(2,383.85)</b>
LIABILITIES				Net Cash Flow		
Debit Balance					<b>\$1.95</b>	<b>(\$2,316.64)</b>
Short Market Value		<b>(162.00)</b>	<b>(40.00)</b>	Dividends/Interest Income	<b>1,480.71</b>	<b>3,402.24</b>
<b>TOTAL LIABILITIES</b>		<b>(162.00)</b>	<b>(40.00)</b>	Dividend Reinvestments	<b>(927.11)</b>	<b>(927.11)</b>
<b>NET PORTFOLIO VALUE</b>		<b>\$194,571.36</b>	<b>\$194,773.94</b>	Security Purchases/Debits	<b>(15,720.15)</b>	<b>(203,692.72)</b>
				Security Sales/Credits	<b>17,501.25</b>	<b>208,392.36</b>
				Closing Cash/Money Accounts	<b>\$16,430.95</b>	
				Securities You Transferred In/Out	<b>31.18</b>	<b>63.89</b>

*Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) and other subsidiaries of Bank of America Corporation. MLPF&S is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products:*

Are Not FDIC Insured     Are Not Bank Guaranteed     May Lose Value

**ACCOUNT INVESTMENT OBJECTIVE**

December 01, 2011 - December 30, 2011

**TOTAL RETURN:** Objective is to strike a balance between fixed-income instruments for current income and equities for growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

**ITEMS FOR ATTENTION**

Security	Message	Date	Security	Message	Date
CALL OEF 000059.000	Option Expiring	01/21/12	CALL MRO 000029.000	Option Expiring	01/21/12
CALL MS 00017.500	Option Expiring	01/21/12			

**YOUR RETIREMENT ACCOUNT ASSETS**

CASH/MONEY ACCOUNTS	Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Estimated Est. Annual Yield%
CASH		17.03	17.03		17.03		
FIA CARD SERVICES NA RASP		16,413.92	16,413.92	1.0000	16,413.92	25	.15
<b>TOTAL</b>		16,430.95		16,430.95		25	.15
EQUITIES	Description	Symbol Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value
ABBOTT LABS		ABT 09/22/11	35	50.2034	1,757.12	56.2300	1,968.05
AIR PRODUCTS & CHEM		APD 08/11/11	23	78.6756	1,809.54	85.1900	1,959.37
AMERICAN TOWER CORP CL A		AMT 11/04/11	21	57.4485	1,206.42	60.0100	1,260.21
ANADARKO PETE CORP		APC 12/05/11	15	82.0500	1,230.75	76.3300	1,144.95
AUTOMATIC DATA PROC		ADP 08/09/11	39	46.6225	1,818.28	54.0100	2,106.39
BAXTER INTERNTL INC		BAX 11/12/11	28	48.8850	1,368.78	49.4500	1,385.44
		12/05/11	10	51.9760	519.76	49.4500	494.80
<b>Subtotal</b>			38	1,888.54	1,880.24	(8.30)	52.270

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2011 - December 30, 2011

<b>EQUITIES (continued)</b>		<i>Description</i>	<i>Symbol Acquired</i>	<i>Quantity</i>	<i>Unit Cost Basis</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Unrealized Gain/(Loss)</i>	<i>Estimated Current Annual Income Yield%</i>
<b>CAPITAL ONE FINL</b>			COF 11/04/11	26	46.0000	1,196.00	42.2900	1,099.54	(96.46)	6 .47
		<i>Subtotal</i>		11/17/11	32	41.4637	1,326.84	42.2900	1,353.28	26.44 7 .47
					58		2,522.84	2,452.82	(70.02) 13 .47	
			KMX 11/03/11	40	30.5645	1,222.58	30.4800	1,219.20	(3.38)	
			CTL 06/03/11	67	41.5338	2,782.90	37.2000	2,492.40	(290.50)	195 7.79
			CVX 08/18/11	19	93.0594	1,768.13	106.4000	2,021.60	253.47	62 3.04
			KO 08/10/11	28	65.3853	1,831.07	69.9700	1,959.16	128.09	53 2.68
			CSX 10/04/11	94	18.0976	1,701.18	21.0600	1,979.64	278.46	46 2.27
			DE 03/11/11	14	85.9892	1,203.57	77.3500	1,082.90	(120.67)	23 2.12
			03/16/11	16	86.4300	1,382.88	77.3500	1,237.60	(145.28)	27 2.12
		<i>Subtotal</i>			30		2,586.45	2,320.50	(265.95)	50 2.12
			DISCOVER FINL SVCS		DFS 11/04/11	50	24.1500	1,207.50	24.0000	1,200.00 (7.50)
			DOW CORP		DOV 09/15/11	27	52.8948	1,428.16	58.0500	1,567.35 139.19
					10/07/11	9	49.9688	449.72	58.0500	522.45 72.73
		<i>Subtotal</i>			36		1,877.88	2,089.80	211.92	47 2.17
			EATON CORP		ETN 11/01/11	42	45.0840	1,893.53	43.5300	1,828.26 (65.27)
			EMERSON ELEC CO		EMR 08/10/11	42	43.1854	1,813.79	46.5900	1,956.78 142.99
			EXXON MOBIL CORP COM		XOM 08/18/11	25	70.7984	1,769.96	84.7600	2,119.00 349.04
			FLUOR CORP NEW DEL COM		FLR 09/16/11	39	60.7838	2,370.57	50.2500	1,959.75 (410.82)
			FORD MOTOR CO		F 08/14/09	47	7.8523	369.06	10.7600	505.72 136.66
					08/25/09	171	7.6000	1,299.60	10.7600	1,839.96 540.36
					09/18/09	40	6.9900	279.60	10.7600	430.40 150.80
		<i>Subtotal</i>			258		1,948.26	2,776.08	827.82	53 1.85
			GENL DYNAMICS CORP COM		GD 09/07/11	31	61.5700	1,908.67	66.4100	2,058.71 150.04
			GOOGLE INC CL A		GOOG 09/06/11	2	514.8050	1,029.61	645.9000	1,291.80 262.19
					09/07/11	1	533.3700	533.37	645.9000	645.90 112.53
		<i>Subtotal</i>			3		1,562.98	1,937.70	374.72	

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2011 - December 30, 2011

Description	Symbol Acquired	Quantity	Cost Basis	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Estimated Current Yield%
HESS CORP	HES 09/06/11	40	56,026.7	2,241.07	56,800.00	2,272.00	30,93	16	.70	
HONEYWELL INTL INC DEL	HON 10/27/11	18	54,000.0	972.00	54,350.00	978.30	6,30	27	2.74	
	10/31/11	20	52,915.0	1,058.30	54,350.00	1,087.00	28.70	30	2.74	
	<i>Subtotal</i>	38		2,030.30		2,065.30	35.00	57	2.74	
JACOBS ENGN GRP INC DELA	JEC 12/09/10	22	42,200.0	928.40	40,580.00	892.76	(35.64)			
	12/10/10	36	42,337.5	1,524.15	40,580.00	1,460.88	(63.27)			
	<i>Subtotal</i>	58		2,452.55		2,353.64	(98.91)			
JOHNSON AND JOHNSON COM	JNJ 08/10/11	30	61,380.0	1,841.40	65,580.00	1,967.40	126.00	69	3.47	
JPMORGAN CHASE & CO	JPM 08/10/11	91	34,683.5	3,156.20	33,250.00	3,025.75	(130.45)	91	3.00	
	09/22/11	15	29,290.0	439.35	33,250.00	498.75	59.40	15	3.00	
	<i>Subtotal</i>	106		3,595.55		3,524.50	(71.05)	106	3.00	
KIMBERLY CLARK	KMB 08/09/11	29	63,402.0	1,838.66	73,560.00	2,133.24	294.58	82	3.80	
LINCOLN INTL CORP IND NPV	LNC 03/03/11	54	30,663.5	1,655.83	19,420.00	1,048.68	(607.15)	18	1.64	
	03/16/11	54	28,601.6	1,544.49	19,420.00	1,048.68	(495.81)	18	1.64	
	<i>Subtotal</i>	108		3,200.32		2,097.36	(1,102.96)	36	1.64	
MARATHON OIL CORP	MRO 11/12/10	67	20,223.8	1,355.00	29,270.00	1,961.09	606.09	41	2.04	
	07/07/11	33	33,040.0	1,090.32	29,270.00	965.91	(124.41)	20	2.04	
	<i>Subtotal</i>	100		2,445.32		2,927.00	487.68	61	2.04	
MARATHON PETROLEUM CORP	MPC 12/06/11	37	34,510.2	1,276.88	33,290.00	1,231.73	(45.15)	37	3.00	
MCDONALDS CORP	MCD 09/22/11	21	85,557.1	1,796.70	100,330.00	2,106.93	310.23	59	2.79	
MEDTRONIC INC	MDT COM	41	34,489.5	1,414.07	38,250.00	1,568.25	154.18	40	2.53	
	10/07/11	14	32,727.1	458.18	38,250.00	535.50	77.32	14	2.53	
	<i>Subtotal</i>	55		1,872.25		2,103.75	231.50	54	2.53	
MICROSOFT CORP	MSFT 08/03/11	96	26,613.8	2,554.93	25,960.00	2,492.16	(62.77)	77	3.08	
MORGAN STANLEY	MS 08/25/11	106	17,590.0	1,864.54	15,130.00	1,603.78	(260.76)	22	1.32	
	08/26/11	4	16,570.0	66.28	15,130.00	60.52	(5.76)	1	1.32	
	<i>Subtotal</i>	110		1,930.82		1,664.30	(266.52)	23	1.32	
NOBLE CORP NAMEN-AKT	NE 10/05/11	79	28,671.0	2,265.01	30,220.00	2,387.38	122.37	47	1.95	

Pam's IRA

Account Number: [REDACTED]

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued)		December 01, 2011 - December 30, 2011								
Description	Symbol Acquired	Quantity	Cost Basis	Unit	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Estimated Yield%
PATCHEX INC	PAXX 08/09/11	70	26,274.7	1,839.23	30,1100	2,107.70	268,47	90	4.25	
PENSICO INC	PEP 09/12/11	31	59,8287	1,854.69	66,3500	2,056.85	202,16	64	3.10	
PROCTER & GAMBLE CO	PG 08/09/11	30	59,5500	1,786.50	66,7100	2,001.30	214,80	63	3.14	
QUEST DIAGNOSTICS INC	DGX 09/16/11	49	49,0200	2,401.98	58,0600	2,844.94	442,96	34	1.17	
TERADATA CORP DEL	TDC 08/15/11	27	51,1970	1,382.32	48,5100	1,309.77	(72,55)			
		10/07/11	15	53,9160	808.74	48,5100	727,65	(81,09)		
<i>Subtotal</i>			42	2,197.06	2,037.42		(153,64)			
UNITED TECHS CORP COM	UTX 08/14/09	6	56,6866	340.12	73,0900	438.54	98,42	12	2.62	
		08/28/09	20	59,5985	1,191.97	73,0900	1,461.80	269,83	39	2.62
<i>Subtotal</i>			26	1,532.09	1,900.34		368,25	57	2.62	
VALERO ENERGY CORP NEW	VLO 12/05/11	55	22,9834	1,264.09	21,0500	1,157.75	(106,34)	33	2.85	
WAL-MART STORES INC	WMT 08/10/11	37	49,4356	1,829.12	59,7600	2,211.12	382,00	55	2.44	
<b>TOTAL</b>			86,519.46		<b>90,334.72</b>	<b>3,815.26</b>	<b>2,147</b>	<b>2.38</b>		

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Estimated Current Income Yield%
EMERGING GLOBAL SHARES DOW JONES SYMBOL: ECON Initial Purchase: 08/15/11 Equity 100%	132	2,951.40	21,9800	2,901.36	(50.04)	2,951	(50)	17	.55
FIRST EAGLE OVERSEAS CL I SYMBOL: SGOIX Initial Purchase: 09/18/08 Equity 100% .8740 Fractional Share	632	12,936.52	20,6500	13,050.80	114.28	10,862	2,188	286	2.18
ISHARES TRUST S&P MIDCAP		17.96	20,6500	18.05	.09			1	2.18

Pam's IRA

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2011 - December 30, 2011

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
400 INDEX FUND SYMBOL: IJH Equity 100%								
ISHARES MSCI EMERGING MARKETS INDEX FD SYMBOL: EEM Equity 100%	158	6,181.61	37.9400	5,994.52	(187.09)	6,181	(187)	128 2.12
ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDEX F SYMBOL: IYW Equity 100%	83	4,687.94	58.7600	4,877.08	189.14	4,687	189	13 .26
ISHARES TR RUSSELL 2000 INDEX FD SYMBOL: IWM Equity 100%	33	2,456.19	73.7500	2,433.75	(22.44)	2,456	(22)	34 1.39
ISHARES S&P 100 INDEX FUND SYMBOL: OEF Equity 100%	173	9,713.28	57.0300	9,866.19	152.91	9,713	152	205 2.06

Pam's IRA

## **YOUR RETIREMENT ACCOUNT ASSETS**

**Account Number**

December 01, 2011 - December 30, 2011

 Merrill Lynch  
Wealth Management  
Bank of America Corporation

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2011 - December 30, 2011

MUTUAL FUNDS/CLOSED END FUNDS/JIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Return (\$)	Estimated Annual Current Income Yield%
<b>TOTAL</b>		<b>78,872.68</b>		<b>84,662.78</b>	<b>5,790.10</b>		<b>8,179</b>	<b>1,459</b> 1.72

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment.

**Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.**

**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

ALTERNATIVE INVESTMENTS Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ISHARES GOLD TR	10/04/11	213	16.1300	3,435.69	15.2300	3,243.99	(191.70)		
ISHARES GOLD TR	10/04/11	4	15.9175	63.67	15.2300	60.92	(2.75)		
<b>Subtotal</b>		<b>217</b>		<b>3,499.36</b>		<b>3,304.91</b>	<b>(194.45)</b>		
<b>TOTAL</b>				<b>3,499.36</b>		<b>3,304.91</b>	<b>(194.45)</b>		
<b>LONG PORTFOLIO</b>									
	Adjusted/Total Cost Basis		Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income	Estimated Annual Income	Current Yield%	
<b>TOTAL</b>	<b>185,322.45</b>		<b>194,733.36</b>	<b>9,410.91</b>		<b>3,630</b>	<b>1.86</b>		

Pam's IRA	Settled	1/4/2011	1/22/2011	Sale C.H. ROBINSON WORLDWIDE, INC. NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CHRW	-49	80.5047 3,944.66
Pam's IRA	Settled	1/10/2011	1/13/2011	Purchase DISNEY (WALT) CO COM STK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DIS	83	39.3994 -3,270.15
Pam's IRA	Settled	1/10/2011	1/13/2011	Sale MCDONALDS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MCD	-41	73.9457 3,031.72
Pam's IRA	Settled	1/12/2011	1/18/2011	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	\$ 92.339	-554.03

Pam's IRA	Settled	1/21/2011	1/24/2011	Sale CALL F 00019.000 FORD MOTOR CO NEW EXP 03-19-11 OPEN TRAN F MAR 19.00000	F1119C	-2	0.52	103.99
Pam's IRA	Settled	1/21/2011	1/24/2011	Purchase CALL F 00017.500 FORD MOTOR CO NEW EXP 01-22-11 CLOSE TRAN F JAN 17.50000	F1122A	2	0.448582	-89.72
Pam's IRA	Settled	1/21/2011	1/26/2011	Sale HES CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	-48	79.0036	3,792.10
Pam's IRA	Settled	1/21/2011	1/26/2011	Sale VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	-140	24.1728	3,384.13
Pam's IRA	Settled	1/25/2011	1/26/2011	Purchase ASTONIOPIMUM MID CAP FD CL I FRAC SHR QUANTITY .183 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ABMIX	19	32.79	-629.01
Pam's IRA	Settled	1/28/2011	1/31/2011	Purchase ASTONIOPIMUM MID CAP FD CL I FRAC SHR QUANTITY .590 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ABMIX	16	32.55	-540
Pam's IRA	Settled			Journal Entry ASTONIOPIMUM MID CAP FD CL I FULL SHARE ACCUM SHARE VALUE \$32.71	ABMIX	1		0
Pam's IRA	Settled	1/28/2011	2/2/2011	Purchase ISHARES TRUST - S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	8	91.7972	-734.38
Pam's IRA	Settled	2/3/2011	2/6/2011	Purchase APPLE INC PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AAPL	4	341.2408	-1,364.96

				Purchase DISCOVERY COMMUNICATN INC SERIES A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	67	40.8396	-2,742.95
'am's IRA	Settled	2/3/2011	2/8/2011	Purchase E TRADE FINL CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ETFC	160	16.9827	-2,717.23
'am's IRA	Settled	2/3/2011	2/8/2011	Purchase KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	42	64.6912	-2,717.03
'am's IRA	Settled	2/3/2011	2/8/2011	Purchase METLIFE INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MET	73	46.3493	-3,383.50
'am's IRA	Settled	2/3/2011	2/8/2011	Sale MCKESSON CORPORATION COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MCK	48	76.3443	3,511.77
'am's IRA	Settled	2/3/2011	2/8/2011	Sale SECTOR SPDR UTILITIES PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLU	-257	31.7421	8,157.56
'am's IRA	Settled	2/3/2011	2/8/2011	Purchase STANDARD&POOR'S DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	49	130.3	-6,384.70
'am's IRA	Settled	2/7/2011	2/8/2011	Purchase CALL F 00019,000 FORD MOTOR CO NEW EXP 03-19-11 CLOSE TRAN F MAR 19,000000	F1119C	2	0.068745	-13.75
'am's IRA	Settled	2/4/2011	2/9/2011	Sale CISCO SYSTEMS INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CSCO	-156	21.9196	3,419.39

Pam's IRA	Settled	2/9/2011	2/14/2011	Sale TEVA PHARMACTCL INDS ADR PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TEVA	-50	51,887.3	2,594.32
Pam's IRA	Settled	2/18/2011	2/24/2011	Sale HONEYWELL INTL INC DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HON	-61	57,919.7	3,533.03
Pam's IRA	Settled	2/25/2011	3/2/2011	Purchase SALESFORCE COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CRM	20	142,324	-2,846.46
Pam's IRA	Settled	3/3/2011	3/8/2011	Purchase LINCOLN NTL CORP IND NPV PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LNC	54	30,663.5	-1,655.83
Pam's IRA	Settled	3/3/2011	3/8/2011	Sale QUEST DIAGNOSTICS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DGX	-60	56,136	3,368.10
Pam's IRA	Settled	3/11/2011	3/16/2011	Purchase DEER CO PER THE PIA AGREEMENT ML ACTED AS AGENT	DE	19	85,969	-1,633.41
Pam's IRA	Settled	3/14/2011	3/17/2011	Sale COCA COLA COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KO	-54	63,583.9	3,433.44
Pam's IRA	Settled	3/15/2011	3/18/2011	Purchase APPLE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AAPL	16	342,283.2	-2,053.58
Pam's IRA	Settled	3/15/2011	3/18/2011	Sale WALGREEN CO PER THE PIA AGREEMENT ML ACTED AS AGENT	WAG	-22	40,84	898.46

'am's IRA	Settled	3/16/2011	3/21/2011	Purchase DEERE CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DE	16	86.43	-1,382.88
'am's IRA	Settled	3/16/2011	3/21/2011	Purchase LINCOLN NTL CORP IND NPV PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LNC	54	28.8016	-1,544.49
'am's IRA	Settled	4/18/2011	4/21/2011	Purchase JOHNSON CONTROLS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JCI	42	38.2748	-1,607.54
'am's IRA	Settled	4/20/2011	4/26/2011	Purchase SALESFORCE COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CRM	4	141.2971	-565.19
'am's IRA	Settled	4/28/2011	5/3/2011	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	17	30.4799	-518.16
'am's IRA	Settled	5/3/2011	5/6/2011	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPO	16	39.91	-638.56
'am's IRA	Settled	5/5/2011	5/10/2011	Sale MARATHON OIL CORP PER THE PIA AGREEMENT ML ACTED AS AGENT	MRO	-24	49.4719	1,167.31
'am's IRA	Settled	5/17/2011	5/20/2011	Purchase JOHNSON CONTROLS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JCI	43	38.1392	-1,639.99
'am's IRA	Settled	6/1/2011	6/5/2011	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IWH	18	97.5521	-1,755.94

Pam's IRA	Settled	6/3/2011	6/8/2011	Purchase APACHE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APA	26	119,7386	-3,113,20
Pam's IRA	Settled	6/3/2011	6/8/2011	Purchase CENTURYLINK INC SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CTL	77	41,5358	-3,198,26
Pam's IRA	Settled	6/3/2011	6/8/2011	Sale CORNING INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GLW	-157	19,1014	2,998,86
Pam's IRA	Settled	6/3/2011	6/8/2011	Purchase GOODYEAR TIRE RUBBER PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GT	1931	16,6302	-3,209,63
Pam's IRA	Settled	6/3/2011	6/8/2011	Sale HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	-84	36,29	3,048,30
Pam's IRA	Settled	6/3/2011	6/8/2011	Sale KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	-42	65,9427	2,769,54
Pam's IRA	Settled	6/3/2011	6/8/2011	Sale NEXTERA ENERGY INC SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NEE	-55	54,772	3,012,40
Pam's IRA	Settled	6/3/2011	6/8/2011	Sale SALESFORCE COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CRM	-24	145,7953	3,499,02
Pam's IRA	Settled	6/3/2011	6/8/2011	Purchase WSTN DIGITAL CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WDC	90	35,31	-3,177,90

				Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IJH	11	\$3,4989	-1,028,50
Pam's IRA	Settled	6/15/2011	6/20/2011	Sale CALL GT 00018.000 GOODYEAR TIRE RUBBER EXP 08-20-11 OPEN TRAN GT AUG 18.00000	GT1120	-1	0.35	34.99
Pam's IRA	Settled	6/24/2011	6/27/2011	Sale E TRADE FINL CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ETRC	-160	13.4722	2,155.51
Pam's IRA	Settled	6/28/2011	7/1/2011	DUBB MARATHON PETROLEUM CORP	MPC	33		0
Pam's IRA	Settled	7/5/2011	7/7/2011	Stock Dividend MARATHON PETROLEUM CORP HOLDING 67.0000 PAID BY MARATHON OIL COR PAY DATE 07/07/2011	MPC	33		0
Pam's IRA	Settled	7/7/2011	7/7/2011	DUBB MARATHON PETROLEUM CORP	MPC	-33		0
Pam's IRA	Settled	7/6/2011	7/11/2011	Sale ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APC	-47	78.5	3,689.43
Pam's IRA	Settled	7/6/2011	7/11/2011	Sale APACHE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APA	-26	123.5	3,210.94
Pam's IRA	Settled	7/6/2011	7/11/2011	Sale APPLE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AAPL	-10	348.4501	3,484.43

Pam's IRA	Settled	7/6/2011	7/11/2011	Purchase E M C CORPORATION MASS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
Pam's IRA	Settled	7/6/2011	7/11/2011	Purchase JABIL CIRCUIT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		JBL	158	20.9113 -3,303.99
Pam's IRA	Settled	7/6/2011	7/11/2011	Purchase RANGE RESOURCES CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		RRC	57	57.0542 -3,252.09
Pam's IRA	Settled	7/6/2011	7/11/2011	Purchase MARATHON OIL CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MRO	33	33.04	-1,090.32
Pam's IRA	Settled	7/7/2011	7/12/2011	Sale ISHARES S&P 500 VALUE INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IWE	-134	62.4043	8,362.02
Pam's IRA	Settled	7/8/2011	7/13/2011	Sale MARATHON PETROLEUM CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MPC	-33	41.25	1,361.22
Pam's IRA	Settled	7/8/2011	7/13/2011	Sale WAL-MART STORES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WMT	-54	54.2315	2,928.44
Pam's IRA	Settled	7/8/2011	7/13/2011	Purchase GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	57	56.7976	-3,237.46
Pam's IRA	Settled	7/11/2011	7/14/2011	Purchase SECTOR SPDR FINANCIAL PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLF	251	15.0997	-3,790.02

			Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
2am's IRA	Settled	7/27/2011	8/1/2011		KYN	30	27.7493 -832.48
2am's IRA	Settled	7/29/2011	8/3/2011	Purchase STANDARD&POORS DEP RCT PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	30	129.144 -3,874.32
2am's IRA	Settled	8/2/2011	8/3/2011	Purchase CALL GT 00018.000 GOODYEAR TIRE RUBBER EXP 08-20-11 CLOSE TRAN GT AUG 18,00000	GT1120	1	0.057451 -5.75
2am's IRA	Settled	8/2/2011	8/5/2011	Purchase STANDARD&POORS DEP RCT PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	16	126.6975 -2,027.16
2am's IRA	Settled	8/3/2011	8/8/2011	Purchase AMAZON COM INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMZN	7	211.1333 -1,477.93
2am's IRA	Settled	8/3/2011	8/8/2011	Sale FEDERATED INVESTRS B PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FII	-122	20.6973 2,525.02
2am's IRA	Settled	8/3/2011	8/8/2011	Purchase FIFTH THIRD BANCORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	FTB	254	11.8647 -3,013.63
2am's IRA	Settled	8/3/2011	8/8/2011	Purchase MICROSOFT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	MSFT	114	26.6139 -3,033.98
2am's IRA	Settled	8/8/2011	8/9/2011	Sale ASTON/FAIRPOINTE MID CAP FD CL 1 FRAC SHR QUANTITY .216 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	ABMIX	-163	25.9 4,227.28

Pam's IRA [REDACTED]	Settled	8/9/2011	8/10/2011	Purchase FIRST EAGLE OVERSEAS CL I FRAC SHR QUANTITY .876 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS		SGOIX	114	22.68	-2,605.39
Pam's IRA [REDACTED]	Settled	8/8/2011	8/11/2011	Purchase iSHARES TRUST S&P MIDCAP 400 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		IJIH	26	81.791	-2,126.78
Pam's IRA [REDACTED]	Settled	8/8/2011	8/11/2011	Sale iSHARES S&P GBL HEALTH CARE SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		IJU	-77	50.6774	3,902.09
Pam's IRA [REDACTED]	Settled	8/8/2011	8/11/2011	Sale iSHARES S&P GLB TELECOMMUNICATIONS SECTOR INDEX PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		IJP	-75	54.4686	4,085.07
Pam's IRA [REDACTED]	Settled	8/9/2011	8/12/2011	Purchase AUTOMATIC DATA PROC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT		ADP	39	46.6226	-1,918.28
Pam's IRA [REDACTED]	Settled	8/9/2011	8/12/2011	Purchase BAXTER INTERNL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		BAX	35	51.2	-1,792.00
Pam's IRA [REDACTED]	Settled	8/9/2011	8/12/2011	Sale VANGUARD FTSE ALL WORLD EX US PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.		VEU	-87	42.3459	3,684.02
Pam's IRA [REDACTED]	Settled	8/9/2011	8/12/2011	Purchase KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		KMB	29	63.4022	-1,838.66
Pam's IRA [REDACTED]	Settled	8/9/2011	8/12/2011	Purchase PAYCHEX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT		PAYX	70	26.2747	-1,839.23

			Purchase PROCTER & GAMBLE CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT			
2am's IRA	Settled	8/9/2011	8/12/2011		PG	30
2am's IRA	Settled	8/10/2011	8/15/2011	Purchase COCA COLA COMP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KO	28
2am's IRA	Settled	8/10/2011	8/15/2011	Purchase EMERSON ELEC CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EMR	42
2am's IRA	Settled	8/10/2011	8/15/2011	Purchase JPMORGAN CHASE & CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JPM	91
2am's IRA	Settled	8/10/2011	8/15/2011	Purchase JOHNSON AND JOHNSON COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JNJ	30
2am's IRA	Settled	8/10/2011	8/15/2011	Sale SECTOR SPDR FINANCIAL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLF	-632
2am's IRA	Settled	8/10/2011	8/15/2011	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	-64
2am's IRA	Settled	8/10/2011	8/15/2011	Purchase WAL-MART STORES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WMT	37
2am's IRA	Settled	8/11/2011	8/16/2011	Purchase AIR PRODUCTS&CHEM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APD	23

Pam's IRA	Settled	8/11/2011	8/16/2011	Purchase GENERAL MILLS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED	ML ACTED	G/S	52	35.1188	-1,826.18
Pam's IRA	Settled	8/11/2011	8/16/2011	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ML ACTED	SPY	-25	114.1567	2,853.87
Pam's IRA	Settled	8/11/2011	8/16/2011	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ML ACTED	SPY	-7	115.674	809.7
Pam's IRA	Settled	8/11/2011	8/16/2011	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ML ACTED	SPY	-16	117.3	1,876.76
Pam's IRA	Settled	8/15/2011	8/16/2011	Sale CALL_JBL_00016.000 JABIL CIRCUIT INC EXP 09-17-11 OPEN TRAN JBL SEP 16.00000	JBL1117	-1	1.25	124.99	
Pam's IRA	Settled	8/12/2011	8/17/2011	Sale SECTOR SPDR ENERGY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ML ACTED	XLE	-72	66.9016	4,816.83
Pam's IRA	Settled	8/15/2011	8/18/2011	Purchase FIFTH THIRD BANCORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ML ACTED	FTIB	37	9.7499	-360.75
Pam's IRA	Settled	8/15/2011	8/18/2011	Sale ISHARES MSCI EMERGING MKTS INDEX FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ML ACTED	EEM	-45	42.0521	1,892.30
Pam's IRA	Settled	8/15/2011	8/18/2011	Purchase EMERGING GLOBAL SHARES DOW JONES PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ML ACTED	ECON	84	22.4957	-1,869.64

2am's IRA	Settled	8/17/2011	8/22/2011	Purchase AMAZON COM INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMZN	3	195.1818 -585.55
2am's IRA	Settled	8/18/2011	8/23/2011	Purchase CHEVRON CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVX	19	93.0595 -1,768.13
2am's IRA	Settled	8/18/2011	8/23/2011	Purchase EXXON MOBIL CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOM	25	70.7984 -1,769.96
2am's IRA	Settled	8/18/2011	8/23/2011	Sale SECTOR SPDR ENERGY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLE	-49	64.0323 3,137.52
2am's IRA	Settled	8/19/2011	8/24/2011	Purchase AMAZON COM INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMZN	5	184.62 -923.1
2am's IRA	Settled	8/22/2011	8/25/2011	Sale ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDEX F PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYW	-73	56.8182 4,147.65
2am's IRA	Settled	8/25/2011	8/30/2011	Purchase MORGAN STANLEY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MS	106	17.59 -1,864.54
2am's IRA	Settled	8/26/2011	8/31/2011	Purchase MORGAN STANLEY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MS	4	16.57 -66.28
2am's IRA	Settled	8/29/2011	9/1/2011	Purchase MEDTRONIC INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MDT	41	34.4894 -1,414.07

Pam's IRA	Settled	8/30/2011	9/2/2011	Sale DISNEY (WALT) CO COM STK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
Pam's IRA	Settled	9/2/2011	9/8/2011	Sale AMAZON COM INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT		AMZN	4	207.8902 831.54
Pam's IRA	Settled	9/2/2011	9/8/2011	Sale DISCOVERY COMMUNICATN INC SERIES A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT		DISCA	9	40.6223 365.59
Pam's IRA	Settled	9/2/2011	9/8/2011	Sale DEERÉ CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DE		-5	77.9982 359.98
Pam's IRA	Settled	9/2/2011	9/8/2011	Sale GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		GPC	-13	53.92 700.95
Pam's IRA	Settled	9/2/2011	9/8/2011	Sale WALGREEN CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		WAG	-11	35.1801 386.97
Pam's IRA	Settled	9/6/2011	9/9/2011	Sale DISCOVERY COMMUNICATN INC SERIES A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA		-18	39.99 719.81
Pam's IRA	Settled	9/6/2011	9/9/2011	Purchase GOOGLE INC CL A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT		GOOG	2	514.8025 -1,029.61
Pam's IRA	Settled	9/6/2011	9/9/2011	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	1	40	56.0268 -2,241.07

				Sale DISCOVERY COMMUNICATN INC SERIES A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT				
Pam's IRA	Settled	9/7/2011	9/12/2011	Purchase GENL DYNAMICS CORP COMP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DISCA	-40	39.9824	1,598.67
Pam's IRA	Settled	9/7/2011	9/12/2011	Purchase GOOGLE INC CL A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GOOG	31	61.57	-1,908.67
Pam's IRA	Settled	9/7/2011	9/12/2011	Sale PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	-58	47.3081	2,743.82
Pam's IRA	Settled	9/7/2011	9/12/2011	Sale UNITED TECHS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	UTX	-12	72.3925	868.69
Pam's IRA	Settled	9/7/2011	9/12/2011	Purchase PEPSICO INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PEP	31	59.8286	-1,854.69
Pam's IRA	Settled	9/12/2011	9/15/2011	Exec Options CALL JBL 00016.000 JABIL CIRCUIT INC EXP 09-17-11 VS 01900801 UNIT OAK BATCH # = 00567023894	JBL1117	.1		0
Pam's IRA	Settled	9/19/2011	9/20/2011	Purchase DOVER CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DOV	27	52.88949	-1,428.16
Pam's IRA	Settled	9/15/2011	9/20/2011	Sale GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	44	54.2552	2,367.16

Pam's IRA	Settled	9/15/2011	9/20/2011	Sale GOODYEAR TIRE RUBBER PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
Pam's IRA	Settled	9/15/2011	9/20/2011	Purchase TERADATA CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT			GT	-193
Pam's IRA	Settled	9/16/2011	9/21/2011	Purchase FLUOR CORP NEW DEL COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT			TDC	27
Pam's IRA	Settled	9/16/2011	9/21/2011	Purchase QUEST DIAGNOSTICS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT			FLR	39
Pam's IRA	Settled	9/16/2011	9/21/2011	Sale JABIL CIRCUIT INC A/C CALL ZDOU1 JBL PER THE PIA AGREEMENT AS OF 09/16 UNSOLICITED ORDER	JBL	-100	DGX	49
Pam's IRA	Settled	9/19/2011	9/21/2011	Sale JABIL CIRCUIT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JBL	-100	49.02	-2,401.98
Pam's IRA	Settled	9/20/2011	9/23/2011	Sale ABBOTT LABS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JBL	-56	17,476.9	1,013.64
Pam's IRA	Settled	9/22/2011	9/27/2011	Purchase DARDEN RESTAURANTS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ABT	35	50,203.4	-1,757.12
Pam's IRA	Settled	9/22/2011	9/27/2011	Sale VANGUARD FTSE ALL WORLD EX US PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DRI	40	43,947	-1,767.88
Pam's IRA	Settled	9/22/2011	9/27/2011	Sale VANGUARD FTSE ALL WORLD EX US PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VEU	-218	37,791.4	8,238.37

			Purchase JPMORGAN CHASE & CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
Jam's IRA	Settled	9/22/2011	9/27/2011		JPM	15	29.29
[REDACTED]							-439.35
Jam's IRA	Settled	9/22/2011	9/27/2011	Purchase MCDONALDS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MCD	21	85.5573
[REDACTED]							-1,756.70
Jam's IRA	Settled	9/22/2011	9/27/2011	Purchase TARGET CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TGT	38	48.8503
[REDACTED]							-1,758.61
Jam's IRA	Settled	9/27/2011	9/28/2011	Sale CALL MS 00018.000 MORGAN STANLEY EXP 11-19-11 OPEN TRAN MS NOV 18.00000	MS1119	-1	0.58
[REDACTED]							57.98
Jam's IRA	Settled	10/4/2011	10/5/2011	Sale CALL FITB 00011.000 FIFTH THIRD BANCORP EXP 11-19-11 OPEN TRAN FITB NOV 11.00000	FITB111	-2	0.55778
[REDACTED]							111.55
Jam's IRA	Settled	10/4/2011	10/7/2011	Sale BAXTER INTERNL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	BAX	-35	53.0466
[REDACTED]							1,856.59
Jam's IRA	Settled	10/4/2011	10/7/2011	Purchase CSX CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CSX	94	18.0977
[REDACTED]							-1,701.18
Jam's IRA	Settled	10/4/2011	10/7/2011	Purchase ISHARES GOLD TR PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IAU	213	16.13
[REDACTED]							-3,435.69
Jam's IRA	Settled	10/4/2011	10/7/2011	Purchase ISHARES GOLD TR PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IAU	4	15.9167
[REDACTED]							-63.67

Pam's IRA	Settled	10/5/2011	10/11/2011	Sale JOHNSON CONTROLS INC PER THE P/A AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JCI	-85	27.7662	2,360.08
Pam's IRA	Settled	10/5/2011	10/11/2011	Purchase NOBLE CORP NAMEN-AKT PER THE P/A AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NE	79	28.671	-2,265.01
Pam's IRA	Settled	10/5/2011	10/12/2011	Sale WALGREEN CO PER THE P/A AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WAG	-67	33.1589	2,221.61
Pam's IRA	Settled	10/10/2011	10/12/2011	Sale CALL MS 00019.000 MORGAN STANLEY EXP 01-21-12 OPEN TRAN MS JAN 19.00000	MS1221	-1	0.99	98.99
Pam's IRA	Settled	10/10/2011	10/12/2011	Sale CALL IAU 00019.000 ISHARES GOLD TR EXP 01-21-12 OPEN TRAN IAU JAN 19.00000	IAU1221	-2	0.3	59.99
Pam's IRA	Settled	10/10/2011	10/12/2011	Purchase CALL MS 00018.000 MORGAN STANLEY EXP 11-19-11 CLOSE TRAN MS NOV 18.00000	MS1119	1	0.62	-62
Pam's IRA	Settled	10/7/2011	10/13/2011	Purchase DOVER CORP PER THE P/A AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DOV	9	49.969	-449.72
Pam's IRA	Settled	10/7/2011	10/13/2011	Purchase MEDTRONIC INC COM PER THE P/A AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MDT	14	32.7268	-458.18
Pam's IRA	Settled	10/7/2011	10/13/2011	Purchase TERADATA CORP DEL PER THE P/A AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TDC	15	53.9161	-808.74

				Purchase NOVELLUS SYS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT			
Jam's IRA	Settled	10/11/2011	10/14/2011	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NVL.S	38	30.4362 -1,156.58
Jam's IRA	Settled	10/17/2011	10/20/2011	Sale RANGE RESOURCES CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	-17	121.92 2,072.60
Jam's IRA	Settled	10/18/2011	10/21/2011	Purchase ISHARES S&P 100 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	RRC	-57	71.9151 4,098.08
Jam's IRA	Settled	10/19/2011	10/24/2011	Sale CALL LNC 00023.000 LINCOLN NTL CORP IND NPV EXP 12-17-11 OPEN TRAN LNC DEC 23.00000	LNC111	-1	55.5421 -3,832.40
Jam's IRA	Settled	10/26/2011	10/27/2011	Sale CALL OEF 00058.000 ISHARES S&P 100 INDEX EXP 11-18-11 OPEN TRAN OEF NOV 58.00000	OEF111	-1	0.44 43.99
Jam's IRA	Settled	10/26/2011	10/27/2011	Purchase NOVELLUS SYS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	NVL.S	36	32.9175 -1,185.03
Jam's IRA	Settled	10/25/2011	10/28/2011	Purchase ISHARES S&P 100 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	OEF	52	56.0342 -2,913.78
Jam's IRA	Settled	10/26/2011	10/31/2011	Purchase HONEYWELL INT'L INC DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HON	18	54 -972

Pam's IRA	Settled	10/27/2011	11/1/2011	Purchase SECTOR SPDR FINANCIAL PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLF	142	14.0678	-1,997.63
Pam's IRA	Settled	10/31/2011	11/1/2011	Purchase PENNSYLVANIA MUTUAL FD FRAC SHR QUANTITY: 149 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	PENN	124	11.34	-1,407.85
Pam's IRA	Settled	10/31/2011	11/3/2011	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IJH	19	89.32	-1,697.08
Pam's IRA	Settled	10/31/2011	11/3/2011	Purchase HONEYWELL INTL INC DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HON	20	52.9149	-1,058.30
Pam's IRA	Settled	11/1/2011	11/4/2011	Purchase EATON CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ETN	42	45.0841	-1,893.53
Pam's IRA	Settled	11/3/2011	11/8/2011	Sale AMAZON COM INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMZN	-11	215.6052	2,371.61
Pam's IRA	Settled	11/3/2011	11/8/2011	Purchase CARMAX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMX	40	30.5644	-1,222.58
Pam's IRA	Settled	11/3/2011	11/8/2011	Sale FIFTH THIRD BANCORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	FITB	-91	11.7104	1,065.63
Pam's IRA	Settled	11/3/2011	11/8/2011	Sale WSTN DIGITAL CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WDC	-90	27.6659	2,489.88

			Purchase AMERICAN TOWER CORP CLA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
'am's IRA	Settled	11/4/2011	11/9/2011			AMT	21
							57,4488
							-1,206.42
'am's IRA	Settled	11/4/2011	11/9/2011	Purchase CAPITAL ONE FINL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		COF	26
							46
							-1,196.00
'am's IRA	Settled	11/4/2011	11/9/2011	Purchase DISCOVER FINL SVCS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		DFS	50
							24.15
							-1,207.50
'am's IRA	Settled	11/4/2011	11/9/2011	Sale METLIFE INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		MET	-8
							34.1
							272.79
'am's IRA	Settled	11/7/2011	11/10/2011	Sale METLIFE INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		MET	-65
							34.2
							2,222.96
'am's IRA	Settled	11/8/2011	11/14/2011	Sale ISHARES TR DOW JONES US PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		IHI	-39
							58,8661
							2,295.74
'am's IRA	Settled	11/11/2011	11/16/2011	Purchase ISHARES S&P 100 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		OEF	52
							57,0597
							-2,967.10
'am's IRA	Settled	11/21/2011	11/21/2011	Expired Options CALL OEF 00058,000 ISHARES TRUST SHS S&P 10 EXP 11-19-11 NOCC		OEF111	1
							0
'am's IRA	Settled	11/21/2011	11/21/2011	Exerc Options CALL FITB 00011,000 FIFTH THIRD BANCORP SHS EXP 11-19-11 NOCC		FITB111	2
							0

Pam's IRA	Settled	1/1/17/2011	1/1/22/2011	Purchase CAPITAL ONE FINL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
Pam's IRA	Settled	1/1/21/2011	1/1/23/2011	Sale FIFTH THIRD BANCORP A/C CALL ZG988 FITB PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE AS OF 11/18 UNSOLICITED ORDER	COF	32	41.4636	-1,326.84
Pam's IRA	Settled	1/1/23/2011	1/1/25/2011	Purchase CALL LNC 00023 000 LINCOLN NTL CORP IND NPV EXP 12-17-11 CLOSE TRAN LNC DEC 23.00000	FITB	-200	11	2,199.96
Pam's IRA	Settled	1/1/23/2011	1/1/25/2011	Purchase BAXTER INTERNL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LNC111	1	0.06	-6
Pam's IRA	Settled	1/1/22/2011	1/1/28/2011	Purchase VANGUARD FINANCIALS ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	BAX	28	48.8849	-1,368.78
Pam's IRA	Settled	1/2/2/2011	1/2/7/2011	Purchase TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VFH	74	27.5171	-2,036.27
Pam's IRA	Settled	1/2/2/2011	1/2/7/2011	Sale SECTOR SPDR FINANCIAL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NTG	64	25.0637	-1,604.08
Pam's IRA	Settled	1/2/2/2011	1/2/7/2011	Purchase CALL IAU 00019 000 ISHARES GOLD TR EXP 01-21-12 CLOSE TRAN IAU JAN 19.00000	XLF	-142	13.1056	1,860.96
Pam's IRA	Settled	1/2/6/2011	1/2/7/2011	Purchase ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IAU1221	2	0.088541	-17.71
Pam's IRA	Settled	1/2/5/2011	1/2/8/2011		APC	15	82.05	-1,230.75

			Purchase BAXTER INTERNTL INC PER THE P/A AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES. REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT						
Pam's IRA	Settled	12/5/2011	12/8/2011		BAX	10	51.9764	-519.76	
Pam's IRA	Settled	12/5/2011	12/8/2011	Purchase TORTOISE MLP FD INC PER THE P/A AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		NTG	15	25.1	-376.5
Pam's IRA	Settled	12/5/2011	12/8/2011	Sale SECTOR SPDR ENERGY PER THE P/A AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLE	-36	71.6253	2,578.46	
Pam's IRA	Settled	12/5/2011	12/8/2011	Purchase VALERO ENERGY CORP NEW PER THE P/A AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	55	22.9835	-1,264.09	
Pam's IRA	Settled	12/6/2011	12/8/2011	Sale DARDEN RESTAURANTS INC PER THE P/A AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DRI	-40	43.02	1,720.77	
Pam's IRA	Settled	12/6/2011	12/9/2011	Purchase MARATHON PETROLEUM CORP PER THE P/A AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MPC	37	34.5102	-1,276.88	
Pam's IRA	Settled	12/8/2011	12/9/2011	Divd Rtn Inv PENNSYLVANIA MUTUAL FD AGENT REINV AMOUNT \$13.17 REINV PRICE \$10.62000 QUANTITY BOT 1,2400 AS OF 12/08	PENNX	1		0	
Pam's IRA	Settled	12/9/2011		Divd Rtn Inv PENNSYLVANIA MUTUAL FD AGENT REINV AMOUNT \$257.70 REINV PRICE \$10.62000 QUANTITY BOT 24,2660 AS OF 12/08	PENNX	24		0	
Pam's IRA	Settled	12/9/2011		Journal Entry PENNSYLVANIA MUTUAL FD FULL SHARE ACCUM SHARE VALUE \$10.91	PENNX	1		0	

Pam's IRA	Settled	12/7/2011	12/1/2/2011	Sale CENTURYLINK INC SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
Pam's IRA	Settled	12/7/2011	12/1/2/2011	Sale EMC CORPORATION MASS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
Pam's IRA	Settled	12/7/2011	12/1/2/2011	Sale JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
Pam's IRA	Settled	12/7/2011	12/1/2/2011	Sale MICROSOFT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT				
Pam's IRA	Settled	12/7/2011	12/1/2/2011	Sale CALL MRO 00028.000 MARATHON OIL CORP EXP 12-17-11 OPEN TRAN MRO DEC 28.00000	MRO11	-1	0.61	60.99
Pam's IRA	Settled	12/9/2011	12/1/2/2011	Sale CALL OEF 00059.000 iSHARES S&P 100 INDEX EXP 01-21-12 OPEN TRAN OEF JAN 59.00000	OEF122	-1	0.628824	62.67
Pam's IRA	Settled	12/9/2011	12/1/4/2011	Sale GENERAL MILLS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GIS	-52	40.3625	2.098.81
Pam's IRA	Settled	12/9/2011	12/1/4/2011	Purchase EMERGING GLOBAL SHARES DOW JONES PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ECON	48	22.12	-1,061.76
Pam's IRA	Settled	12/9/2011	12/1/4/2011	Purchase SECTOR SPDR CONSMRS STPL PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLP	121	31.96	-3,867.16

			Sale TARGET CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED		TGT	-36	53,674	1,932.13
2am's IRA	Settled	12/9/2011	12/14/2011	Dwd Rtnv FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT \$271.63 REINV PRICE \$20.56000 QUANTITY BOT	SGOIX	13		0
2am's IRA	Settled		12/15/2011	Dwd Rtnv FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT \$271.63 REINV PRICE \$20.56000 QUANTITY BOT 18,678.0 AS OF 12/13	SGOIX	18		0
2am's IRA	Settled		12/15/2011	Dwd Rtnv FIRST EAG OVERSEAS CL I AGENT REINV AMOUNT \$384.01 REINV PRICE \$20.56000 QUANTITY BOT .0280 AS OF 12/13	SGOIX	0		
2am's IRA	Settled		12/15/2011	Journal Entry FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE \$20.27	SGOIX	1		0
2am's IRA	Settled	12/16/2011	12/19/2011	Purchase CALL MRO 00028,000 MARATHON OIL CORP EXP 12-17-11 CLOSE TRAN MRO DEC 28.00000	MRO11	1	0.02	-2
2am's IRA	Settled	12/16/2011	12/19/2011	Sale CALL MRO 00029,000 MARATHON OIL CORP EXP 01-21-12 OPEN TRAN MRO JAN 29.00000	MRO122	-1	0.56	55.99
2am's IRA	Settled	12/15/2011	12/20/2011	Sale NOVELLUS SYS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	NMS	-74	42,147.4	3,118.85
2am's IRA	Settled	12/19/2011	12/20/2011	Purchase CALL MS 00019,000 MORGAN STANLEY EXP 01-21-12 CLOSE TRAN MS JAN 19.00000	MS1221	1	0.07	-7

Pam's IRA				Sale CALL MS 00017.500 MORGAN STANLEY EXP 01-21-12 OPEN TRAN MS JAN 17.50000	MS1221	-1	0.344275	34.42
				Sale EMC CORPORATION MASS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EMC	-105	21.6892	2,277.33
Pam's IRA	Settled	12/23/2011	12/29/2011	Purchase ISHARES TR RUSSELL 2000 INDEX FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IWM	33	74.43	-2,456.19
<EOF>								

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**DAVID PHILLIP ROE**

**SCHEDULE 3**



Online at: [www.mymerrill.com](http://www.mymerrill.com)

Account Number: [REDACTED]

24 Hour Assistance: (800) MERRILL

MLPF&S CUST FPO

DR DAVID PHILIP ROE IRRA

FBO DR DAVID PHILIP ROE

2 N CROSSBOW LN

JOHNSON CITY TN 37604-3639

1-423-282-5191

**Net Portfolio Value:** \$298,832.89

Your Financial Advisor:

SMITH/CORKIN/HAUSMAN

206 PRINCETON RD SUITE NO 1

JOHNSON CITY TN 37601

## Alt Investments

		December 01, 2011 - December 30, 2011		
ASSETS		December 30	November 30	CASH FLOW
Cash/Money Accounts		17,435.66	17,434.20	Opening Cash/Money Accounts
Fixed Income				\$17,434.20
Equities		103,600.00	97,250.00	CREDITS
Mutual Funds				Funds Received
Options				Electronic Transfers
Other				Other Credits
Alternative Investments		177,797.23	179,454.68	Subtotal /
Subtotal (Long Portfolio)		298,832.89	294,138.88	DEBITS
<b>TOTAL ASSETS</b>		<b>\$298,832.89</b>	<b>\$294,138.88</b>	Electronic Transfers
				Other Debits
				Subtotal /
<b>LIABILITIES</b>				
Debit Balance				
<b>TOTAL LIABILITIES</b>				
<b>NET PORTFOLIO VALUE</b>		<b>\$298,832.89</b>	<b>\$294,138.88</b>	
<b>Net Cash Flow</b>				
Dividends/Interest Income			1.46	16.85
Security Purchases/Debits				(49,455.35)
Security Sales/Credits				65,444.65
Closing Cash/Money Accounts			<b>\$17,435.66</b>	
Securities You Transferred In/Out				

*Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPFS) and other subsidiaries of Bank of America Corporation. MLPFS is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products:*

**Are Not FDIC Insured**    **Are Not Bank Guaranteed**    **May Lose Value**

Alt Investments

Account Number: **[REDACTED]**

24-Hour Assistance: (800) MERRILL

**ACCOUNT INVESTMENT OBJECTIVE**

December 01, 2011 - December 30, 2011

**TOTAL RETURN:** Objective is to strike a balance between fixed-income instruments for current income and equities for growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

**YOUR RETIREMENT ACCOUNT ASSETS**

<b>CASH/MONEY ACCOUNTS</b>		<i>Description</i>	<i>Quantity</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Estimated Annual Income</i>	<i>Est. Annual Yield%</i>
CASH			0.54	0.54		.54		.10
FIA CARD SERVICES NA RASP			17,435.12	17,435.12	1,000	17,435.12	17	
<b>TOTAL</b>				17,435.66		17,435.66	17	.10
<b>EQUITIES</b>								
<i>Description</i>	<i>Symbol</i>	<i>Acquired</i>	<i>Quantity</i>	<i>Unit Cost Basis</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Estimated Current Gain/(Loss)</i>
DIA MITTS ISSUER BAC	MLGFI	11/11/11	5,000	9.8910	49,455.35	9.2200	46,100.00	(3,355.35)
CAP 47.69% SV/10060.06								
DUE 09/01/2015 PROT 100%								
SPP00 CLRN ISSUER BAC	CDK	07/29/10	5,000	10.0000	50,000.00	11.5000	57,500.00	7,500.00
CAP 25.92% SV/1107.53								
DUE 07/27/12 BLF 10%								
<b>TOTAL</b>				99,455.35		103,600.00	4,144.65	
<b>ALTERNATIVE INVESTMENTS</b>								
<i>Description</i>	<i>Acquired</i>	<i>Quantity</i>	<i>Unit Cost Basis</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Unrealized Gain/(Loss)</i>	<i>Estimated Annual Income</i>
ELEMENTS - ROGERSTR	09/24/08	2,007	10.9859	22,048.84	8.5100	17,079.57	(4,969.27)	
SV-4126.81 - ROGRTR DUE OCTOBER 24, 2022								
ELEMENTS - ROGERSTR	09/24/08	301	10.9797	3,304.89	8.5100	2,561.51	(743.38)	
<b>Subtotal</b>		2,308		25,353.73		<b>19,641.08</b>	<b>(5,712.65)</b>	
THE ENDOWMENT TEL FUND	01/01/07	1,814	55.1040	99,958.73	55.7870	101,197.62	1,238.89	
LP EST MKT PRICE AS OF 11/30/11								
THE ENDOWMENT TEL FUND	11/01/08	1,021	48.9421	49,959.93	55.7870	56,958.53	6,988.60	
<b>+ 005</b>								

Alt Investments

Account Number: [REDACTED]

**YOUR RETIREMENT ACCOUNT ASSETS**

December 01, 2011 - December 30, 2011

ALTERNATIVE INVESTMENTS (continued) Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
<b>Subtotal</b>		2,835		149,928.66		158,156.15	8,227.49		
<b>TOTAL</b>				175,282.39		177,797.23	2,514.84		
<b>LONG PORTFOLIO</b>									
<b>TOTAL</b>									

**YOUR RETIREMENT ACCOUNT TRANSACTIONS****DIVIDENDS/INTEREST INCOME TRANSACTIONS**

Date	Transaction Type	Quantity	Description	Income	Income Year To Date
	Income Total		FIA CARD SERVICES NA RASP	1.46	
	Subtotal (Tax-Exempt Dividends)			1.46	16.85
	<b>NET TOTAL</b>			<b>1.46</b>	<b>16.85</b>

**REALIZED GAINS/(LOSSES)**

Description	Acquired Date	Liquidation Date	Quantity	Sale Amount	Cost Basis	This Statement	Gains/(Losses)*	Year to Date
<b>Subtotal (Long-Term)</b>								
<b>TOTAL</b>								
							15,444.65	

\* Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2011 tax return. These reportable transactions will appear on your January statement.

**YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS**

Year-End Plan Value as of December 31, 2011: \$298,832.89

Contributions after December 31, 2010 for 2010: \$0.00

Alt Investments

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

December 01, 2011 - December 30, 2011

**YOUR RETIREMENT ACCOUNT  
CONTRIBUTIONS AND DISTRIBUTIONS** *(continued)*

For IRA, IRRA, SEP/IRA, SIMPLE/IRA, ROTH IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

Jt Investments	Settled	9/2/2011	9/8/2011	Sale DJUBS MPCD ISSUER BANA CAP 95% SV 121,449 DUE 06/03/14 PROT 100%	MLNBN	-5,000 13.09 65,444.65
Jt Investments	Settled	11/1/2011	11/16/2011	Purchase DJIA MITTS ISSUER BAC CAP 47.69% SV 10060.06 DUE 09/01/2015 PROT 100%	MLGFI	5,000 9.89 -49,455.35

**DAVID PHILLIP ROE**

**SCHEDULE 4**



Online at: [www.mymerill.com](http://www.mymerill.com)

Account Number: [REDACTED]

24-Hour Assistance: (800) MERRILL

MLPF&S CUST FPO  
DR DAVID PHILLIP ROE IRA  
FBO DR DAVID PHILLIP ROE  
2 N CROSSBOW LN  
JOHNSON CITY TN 37604-3639

**Net Portfolio Value:** **\$1,468,463.20**

Your Financial Advisor:

SMITH/CONKIN/HAUSMAN

206 PRINCETON RD SUITE NO 1

JOHNSON CITY TN 37601

1-423-282-5191

## ■ PIA

This account is enrolled in the Personal Investment Advisory Program

December 01, 2011 - December 30, 2011

ASSETS	December 30	November 30	CASH FLOW	This Statement	Year to Date
Cash/Money Accounts	<b>83,523.43</b>	<b>91,158.19</b>	<b>Opening Cash/Money Accounts</b>	<b>\$91,158.19</b>	
Fixed Income	<b>249,849.02</b>	<b>242,541.00</b>	<b>CREDITS</b>		
Equities	<b>427,864.77</b>	<b>470,041.29</b>	Funds Received		
Mutual Funds	<b>689,726.73</b>	<b>652,600.18</b>	Electronic Transfers		
Options			Other Credits		
Other			Subtotal	<b>19.70</b>	<b>374.28</b>
Alternative Investments	<b>15,945.81</b>	<b>17,861.82</b>	<b>DEBITS</b>		
Subtotal (Long Portfolio)	<b>1,466,909.76</b>	<b>1,474,202.48</b>	Electronic Transfers		
Estimated Accrued Interest	<b>2,641.44</b>	<b>2,827.39</b>	Other Debits		
<b>TOTAL ASSETS</b>	<b>\$1,469,551.20</b>	<b>\$1,477,029.87</b>	Subtotal	<b>(10,000.00)</b>	<b>(163,695.24)</b>
<b>LIABILITIES</b>				<b>(\$9,980.30)</b>	<b>(\$163,320.96)</b>
Debit Balance			<b>Net Cash Flow</b>		
Short Market Value	<b>(1,088.00)</b>	<b>(1,470.00)</b>	Dividends/Interest Income	<b>10,627.44</b>	<b>41,329.70</b>
<b>TOTAL LIABILITIES</b>	<b>(1,088.00)</b>	<b>(1,470.00)</b>	Dividend Reinvestments	<b>(6,395.33)</b>	<b>(12,631.24)</b>
<b>NET PORTFOLIO VALUE</b>	<b>\$1,468,463.20</b>	<b>\$1,475,559.87</b>	Security Purchases/Debits	<b>(83,161.56)</b>	<b>(1,167,719.67)</b>
			Security Sales/Credits	<b>81,274.99</b>	<b>1,258,754.85</b>
			<b>Closing Cash/Money Accounts</b>	<b>\$83,523.43</b>	
			Securities You Transferred In/Out	<b>113.01</b>	<b>667.68</b>

*Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) and other subsidiaries of Bank of America Corporation. MLPF&S is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products:*

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December 01, 2011 - December 30, 2011

**TOTAL RETURN:** Objective is to strike a balance between fixed-income instruments for current income and equities for growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

## ITEMS FOR ATTENTION

Security	Message	Date	Security	Message	Date
CALL OFF 00059.000	Option Expiring	01/21/12	CALL MRO 00029.000	Option Expiring	01/21/12
CALL MS 00017.500	Option Expiring	01/21/12	CALL HES 00057.500	Option Expiring	01/21/12

## YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS	Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Annual Income	Est. Annual Yield%
CASH		226.79	226.79		226.79		
FIA CARD SERVICES NA RASP		83,296.64	83,296.64	1,000.00	83,296.64	125	.15
<b>TOTAL</b>		83,523.43			83,523.43	125	.15

GOVERNMENT AND AGENCY SECURITIES <sup>1</sup>	Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Estimated Current Yield%
FEDERAL HOME LOAN BANK BONDS 04.125% MAR 13 2015	03/30/11	10,000	10,277.71	105,6880	10,568.80	291.09	72.50	363	3.42	
MOODY'S: AAA S&P: AA+ CUSIP: 3133XSAE8										
FEDERAL HOME LOAN BANK BONDS 04.125% MAR 13 2015	03/30/11	25,000	27,082.85	110,4450	27,611.25	528.40	306.51	1,032	3.73	
MOODY'S: AAA S&P: AA+ CUSIP: 3133XQBC8										
FEDERAL HOME LOAN BANK BONDS 03.500% DEC 09 2016	12/08/09	10,000	10,143.10	110,5070	11,050.70	907.60	20.42	350	3.16	
MOODY'S: AAA S&P: AA+ CUSIP: 3133XVR12										

Account Number: [REDACTED]

**YOUR RETIREMENT ACCOUNT ASSETS**

December 01, 2011 - December 30, 2011

<b>GOVERNMENT AND AGENCY SECURITIES<sup>1</sup> (continued)</b>		<b>Estimated Current Yield%</b>						
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Annual Income
FEDERAL HOME LOAN BANK	10/20/11	25,000	25,382.50	100.9040	25,226.00	(156.50)	32.55	782 3.09
CALLABLE BONDS 03.125% JUN 15 2018								
MOODY'S: AAA S&P: AA+ CUSIP: 3133743U2								
PAR CALL DATE: 06/15/12 PAR CALL PRICE: 100.00								
<b>TOTAL</b>		70,000	72,886.16	74,456.75	1,570.59	431.98	2,527	3.39
<b>CORPORATE BONDS</b>								
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Current Yield%
HEWLETT-PACKARD CO GLB 05.250% MAR 01 2012	06/05/08	25,000	25,733.75	100.6560	25,164.00	(569.75)	433.85	1,313 5.21
MOODY'S: A2 S&P: BBB+ CUSIP: 428236AL7								
MORGAN ST DEAN WITTER GLB 06.600% APR 01 2012	12/06/11	6,000	6,043.92	100.8320	6,049.92	6.00	97.90	396 6.54
MOODY'S: A2 S&P: A CUSIP: 617446HC6								
GENERAL ELECTRIC COMPANY GLB 05.000% FEB 01 2013	05/19/08	25,000	25,785.75	104.2100	26,052.50	266.75	517.36	1,250 4.79
MOODY'S: A2 S&P: AA+ CUSIP: 369604AV9								
MORGAN STANLEY GLB 05.300% MAR 01 2013	10/19/11	25,000	25,212.25	101.2240	25,306.00	93.75	437.99	1,325 5.23
MOODY'S: A2 S&P: A CUSIP: 617446HR3								
E.I. DU PONT DE NEMOURS GLB 04.875% APR 30 2014	05/19/08	25,000	25,367.25	109.2300	27,307.50	1,940.25	203.13	1,219 4.46
MOODY'S: A2 S&P: A CUSIP: 263534BN8								
PROCTER & GAMBLE CO GLB 04.850% DEC 15 2015	05/22/08	25,000	25,830.00	114.2880	28,572.00	2,742.00	50.52	1,213 4.24
MOODY'S: A3 S&P: AA- CUSIP: 742718BZ1								

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2011 - December 30, 2011

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized (Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Estimated Current Annual Yield%	
GOLDMAN SACHS GROUP INC GLB 05.750% OCT 01 2016 MOODY'S: A1 S&P: A CUSIP: 38141GER1	06/18/10	10,000	10,505.80	103.6860	10,368.60	(137.20)	142.15	575	5.54	
JPMORGAN CHASE & CO 04.950% MAR 25 2020 MOODY'S: AA3 S&P: A CUSIP: 46625HHQ6	05/26/11	25,000	26,082.00	106.2870	26,571.75	489.75	326.56	1,238	4.65	
<b>TOTAL</b>		166,000	170,560.72		175,392.27	4,831.55	2,209.46	8,529	4.86	
<b>PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.</b>										
EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized (Loss)	Estimated Current Annual Income	Estimated Current Annual Yield%
ABBOTT LABS ABT 09/22/11		171	50,2033	8,584.78	56,2300	9,615.33	1,030.55	329	3.41	
AIR PRODUCTS&CHEM APD 08/11/11		112	78,6757	8,811.68	85,1900	9,541.28	729.60	260	2.72	
AMERICAN TOWER CORP CLA AMT 11/04/11		96	57,4487	5,515.08	60,0100	5,760.96	245.88			
ANADARKO PETE CORP ADP 08/09/11		70	82,0500	5,743.50	76,3300	5,343.10	(400.40)	26	.47	
AUTOMATIC DATA PROC BAXTER INTERNTL INC BAX 11/22/11		188	46,6226	8,765.05	54,0100	10,153.88	1,388.83	298	2.92	
		132	48,8849	6,452.81	49,4800	6,531.36	78.55	177	2.70	
		12/05/11	40	51.9765	2,079.06	49,4800	1,979.20	(99.86)	54	2.70
		172			8,531.87			8,510.56	(21.31)	231
<b>Subtotal</b>									2.70	
CAPITAL ONE FINL COF 11/04/11		122	46,0000	5,612.00	42,2900	5,159.38	(452.62)	25	.47	
		11/17/11	143	41,4635	5,929.29	42,2900	6,047.47	118.18	29	
			265	11,541.29		11,206.85	(334.44)	54	.47	
<b>Subtotal</b>										
CARMAX INC KMX 11/03/11		182	30,5643	5,562.72	30,4800	5,547.36	(15.36)			
CENTURYLINK INC SHS CTL 06/03/11		307	41,5357	12,751.49	37,2000	11,420.40	(1,331.09)	891	7.79	
CHEVRON CORP CVX 08/18/11		94	93.0594	8,747.59	106,4000	10,001.60	1,254.01	305	3.04	
COCA COLA COM KO 08/10/11		135	65,3954	8,828.38	69,9700	9,445.95	617.57	254	2.68	
CSX CORP CSX 10/04/11		459	8,306.84	21,0600		9,666.54	1,359.70	221	2.27	

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Account Number: [REDACTED]

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2011 - December 30, 2011

<b>EQUITIES (continued)</b>		<b>Symbol</b>	<b>Acquired</b>	<b>Quantity</b>	<b>Unit</b>	<b>Total</b>	<b>Estimated Cost Basis</b>	<b>Estimated Market Price</b>	<b>Estimated Market Value</b>	<b>Unrealized Gain/(Loss)/Annual Income</b>	<b>Estimated Current Yield%</b>
<b>Description</b>											
DEERE CO		DE 03/11/11	62	85.9690	5,330.08	77,3500	4,795.70	(534.38)	102	2.12	
		03/16/11	81	86.4300	7,000.83	77,3500	6,265.35	(735.48)	133	2.12	
	<i>Subtotal</i>		143		12,330.91			<b>11,061.05</b>		<b>235</b>	<b>2.12</b>
DISCOVER FINL SVCS		DFS 11/04/11	232	24.1500	5,602.80	24,0000	5,568.00	(34.80)	93	1.66	
DOVER CORP		DOV 09/15/11	127	52.8948	6,717.65	58.0500	7,372.35	654.70	161	2.17	
		10/07/11	45	49.9691	2,248.61	58.0500	2,612.25	363.64	57	2.17	
	<i>Subtotal</i>		172		8,966.26			<b>9,984.60</b>		<b>218</b>	<b>2.17</b>
EATON CORP		ETN 11/01/11	197	45.0841	8,881.57	43.5300	8,575.41	(306.16)	268	3.12	
EMERSON ELEC CO		EMR 08/10/11	203	43.1855	8,766.66	46.5900	9,457.77	691.11	325	3.43	
EXXON MOBIL CORP	COM	XOM 08/18/11	124	70.7983	8,779.00	84.7600	10,510.24	1,731.24	234	2.21	
FLUOR CORP NEW DEL	COM	FLR 09/16/11	185	60.7837	1,1245.00	50.2500	9,296.25	(1,948.75)	93	.99	
FORD MOTOR CO		F 08/11/09	175	7.8522	1,374.14	10.7600	1,883.00	508.86	35	1.85	
		08/25/09	975	7.6000	7,410.00	10.7600	10,491.00	3,081.00	195	1.85	
		09/18/09	204	6.9900	1,425.96	10.7600	2,195.04	769.08	41	1.85	
	<i>Subtotal</i>		1,354		10,210.10			<b>14,569.04</b>		<b>271</b>	<b>1.85</b>
GENL DYNAMICS CORP	COM	GD 09/07/11	145	61.5700	8,927.65	66,4100	9,629.45	701.80	273	2.83	
GOOGLE INC CL A		GOOG 09/06/11	12	514.8025	6,177.63	645.9000	7,750.80	1,573.17			
		09/07/11	1	533.3700	533.37	645.9000	645.90	112.53			
	<i>Subtotal</i>		13		6,711.00			<b>8,396.70</b>		<b>1,685.70</b>	
HESS CORP		HES 09/06/11	191	56.0268	10,701.12	56.8000	10,848.80	147.68	77	.70	
		09/27/11	9	57.6200	518.58	56.8000	511.20	(7.38)	4	.70	
	<i>Subtotal</i>		200		11,219.70			<b>11,360.00</b>		<b>81</b>	<b>.70</b>
HONEYWELL INTL INC DEL		HON 10/27/11	83	54.0000	4,482.00	54.3500	4,511.05	29.05	124	2.74	
		10/31/11	87	52.9149	4,603.60	54.3500	4,728.45	124.85	130	2.74	
	<i>Subtotal</i>		170		9,055.60			<b>9,239.50</b>		<b>254</b>	<b>2.74</b>
JACOBS ENGN GRP INC DEL A		JEC 12/09/10	83	42.2000	3,502.60	40.5800	3,368.14	(134.46)	(312.82)		
		12/10/10	178	42.3374	7,536.06	40.5800	7,223.24	(447.28)			
	<i>Subtotal</i>		261		11,038.66			<b>10,591.38</b>			

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Estimated Current Yield%	
<i>Description</i>												
JOHNSON & JOHNSON COM		JNJ	08/10/11	145	61.3800	8,900.10	65.5800	9,509.10	609.00	331	3.47	
JP MORGAN CHASE & CO		JPM	08/10/11 09/22/11	441 63	34.6834 29.2900	15,295.42 1,845.27	33.2500 33.2500	14,663.25 2,094.75	(632.17) 249.48	441	3.00	
<i>Subtotal</i>				504		17,140.69		16,756.00	(382.69)	504	3.00	
KIMBERLY CLARK		KMB	08/09/11	139	63.4022	8,812.91	73.5600	10,224.84	1,411.93	390	3.80	
LINCOLN NTL CORP IND NPV		LNC	03/03/11	248	30.6635	7,604.55	19.4200	4,816.16	(2,788.39)	80	1.64	
<i>Subtotal</i>				262	28.6016	7,493.62	19.4200	5,088.04	(2,405.58)	84	1.64	
MARATHON OIL CORP		MRO	11/12/10 07/07/11	305 148	20.2239 33.0400	6,168.31 4,889.92	29.2700 29.2700	8,927.35 4,331.96	2,759.04 (557.96)	183	2.04	
<i>Subtotal</i>				453		11,058.23		13,259.31	2,201.08	272	2.04	
MARRATHON PETROLEUM CORP		MPC	12/06/11	169	34.5101	5,832.22	33.2900	5,626.01	(206.21)	169	3.00	
MCDONALDS CORP COM		MCD	09/22/11	100	85.5573	8,555.73	100.3300	10,033.00	1,477.27	280	2.79	
MEDTRONIC INC COM		MDT	08/29/11	194	34.4893	6,690.94	38.2500	7,420.50	729.56	189	2.53	
<i>Subtotal</i>				10/07/11	69	32.7268	2,258.15	38.2500	2,639.25	381.10	67	2.53
MICROSOFT CORP		MSFT	08/03/11	263	8,949.09			10,059.75	1,110.66	256	2.53	
MORGAN STANLEY		MS	08/25/11 08/26/11	436 17	26.6138 17.5900	11,603.66 8,882.95	25.9600 15.1300	11,318.56 7,640.65	(285.10) (1,242.30)	349	3.08	
<i>Subtotal</i>				522	9,164.64	15.1300	257.21	7,897.86	(1,266.78)	105	1.32	
NOBLE CORP NAME\AKT		NE	10/05/11	374	28.6709	10,722.95	30.2200	11,302.28	579.33	222	1.95	
PAYCHEX INC		PAX	08/09/11	335	26.2746	8,802.02	30.1100	10,086.85	1,284.83	429	4.25	
PEPSICO INC		PEP	09/12/11	146	59.8286	8,734.98	66.3500	9,687.10	952.12	301	3.10	
PROCTER & GAMBLE CO		PG	08/09/11	148	59.5500	8,813.40	66.7100	9,873.08	1,059.68	311	3.14	
QUEST DIAGNOSTICS INC		DGX	09/16/11	228	49.0200	11,176.56	58.0600	13,237.68	2,061.12	156	1.17	
TERADATA CORP DEL		TDC	09/15/11 10/07/11	131 69	51.1968 53.9160	6,706.79 3,720.21	48.5100 48.5100	6,354.81 3,347.19	(351.98) (373.02)			
<i>Subtotal</i>				200		10,427.00		9,702.00	(725.00)			

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Account Number: [REDACTED]

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2011 - December 30, 2011

<b>EQUITIES (continued)</b>		<b>Description</b>	<b>Symbol Acquired</b>	<b>Quantity</b>	<b>Unit Cost Basis</b>	<b>Total Cost Basis</b>	<b>Estimated Market Price</b>	<b>Estimated Market Value</b>	<b>Unrealized Gain/(Loss)</b>	<b>Estimated Current Annual Income Yield%</b>
		UNITED TECHS CORP COM	UTX 08/14/09	10	56.6860	566.86	73.0900	730.90	164.04	20 2.62
				113	59.5984	6,734.62	73.0900	8,259.17	1,524.55	217 2.62
				123		7,301.48		8,990.07	1,688.59	237 2.62
		Subtotal / VALERO ENERGY CORP NEW	VLO 12/05/11	252	22.9834	5,791.84	21.0500	5,304.60	(487.24)	152 2.85
		WAL-MART STORES INC.	WMT 08/10/11	178	49.4356	8,799.55	59.7600	10,637.28	1,837.73	260 2.44
		<b>TOTAL</b>				409,140.40		427,864.77	18,724.37	10,102 2.36

<b>MUTUAL FUNDS/CLOSED END FUNDS/UT</b>		<b>Description</b>	<b>Quantity</b>	<b>Total Cost Basis</b>	<b>Estimated Market Price</b>	<b>Estimated Market Value</b>	<b>Unrealized Gain/(Loss)</b>	<b>Total Client Investment</b>	<b>Cumulative Investment Return (\$)</b>	<b>Estimated Current Annual Income Yield%</b>
		AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2	1,438	13,636.21	10,6600	15,329.08	1,692.87	10,800	4,528	1,211 7.89
		SYMBOL: AHIFX Initial Purchase: 05/12/09								
		Fixed Income 100% .4130 Fractional Share			4.40	10,6600	4.40			1 7.89
		BLACKROCK INFLATION PROTECTED BD FD INST CL	2,519	27,331.63	11.6800	29,421.92	2,090.29	24,693	4,728	1,121 3.80
		SYMBOL: BPRIX Initial Purchase: 08/20/09								
		Fixed Income 100% .3970 Fractional Share			4.65	11.6800	4.64	(0.01)		1 3.80
		EMERGING GLOBAL SHARES DOW JONES	602	13,477.42	21.9800	13,231.96	(245.46)	13,477	(245)	74 .56
		SYMBOL: ECON Initial Purchase: 08/15/11								
		Equity 100%								
		FIRST EAGLE OVERSEAS CLI	3,031	62,930.29	20.6500	62,590.15	(340.14)	51,792	10,797	1,370 2.18
		SYMBOL: SGOIX Initial Purchase: 07/28/08								

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## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2011 - December 30, 2011

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Estimated Current Income Yield%
GUGGENHEIM BULLETSHARES 2014 H Y CORP SYMBOL: BSJE Initial Purchase: 06/01/11 Fixed Income 100%	519	13,364.25	25.3000	13,130.70	(233.55)	13,364	(233)	652	4.96
GUGGENHEIM BULLETSHARES 2015 H Y CORP SYMBOL: BSJF Initial Purchase: 06/14/11 Fixed Income 100%	639	16,313.67	25.1700	16,083.63	(230.04)	16,313	(230)	871	5.41
INVECO CONVERTIBLE SECURITIES FUND CL Y SYMBOL: CNSDX Initial Purchase: 04/01/11 Fixed Income 20% Equity 80% .5040 Fractional Share	960	20,304.00	18.8100	18,057.60	(2,246.40)	20,304	(2,246)	422	2.33
ISHARES TRUST S&P MIDCAP 400 INDEX FUND SYMBOL: IJH Initial Purchase: 11/16/10 Equity 100%	606	53,312.16	87.6100	53,091.66	(220.50)	53,312	(220)	676	1.27

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## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2011 - December 30, 2011

Account Number: **[REDACTED]**

<b>MUTUAL FUNDS/CLOSED END FUNDS/UIT</b> <i>Description</i>	<b>(continued)</b> <i>Quantity</i>	<b>Total Cost Basis</b>	<b>Estimated Market Price</b>	<b>Estimated Market Value</b>	<b>Unrealized Gain/(Loss)</b>	<b>Total Client Investment</b>	<b>Cumulative Investment Return (\$)</b>	<b>Estimated Annual Current Income Yield%</b>
ISHARES MSCI EMERGING MKTS INDEX FD	814	31,750.02	37.9400	30,883.16	(866.86)	31,750	(866)	657 2.12
<b>SYMBOL: EEM</b>								
<i>Initial Purchase: 02/11/10</i>								
<i>Equity 100%</i>								
ISHARES TR DOW JONES US	381	21,192.34	58.7600	22,387.56	1,195.22	21,192	1,195	60 .26
<b>SYMBOL: IHW</b>								
<i>Initial Purchase: 10/05/07</i>								
<i>Equity 100%</i>								
ISHARES BARCLAYS INTERME	138	14,368.82	107.1800	14,790.84	422.02	14,368	422	551 3.71
<b>SYMBOL: CIV</b>								
<i>Initial Purchase: 05/12/10</i>								
<i>Fixed Income 100%</i>								
ISHARES TR DOW JONES US	1,111	53,249.71	63.9000	70,992.90	17,743.19	53,249	17,743	432 .60
<b>TECHNOLOGY SECTOR INDEX F</b>								
<b>SYMBOL: IYW</b>								
<i>Initial Purchase: 01/22/09</i>								
<i>Equity 100%</i>								
ISHARES TR RUSSELL 2000	149	11,090.07	73.7500	10,988.75	(101.32)	11,090	(101)	154 1.39
<b>INDEX FD</b>								
<b>SYMBOL: IWM</b>								
<i>Initial Purchase: 12/23/11</i>								
<i>Equity 100%</i>								
ISHARES S&P 100 INDEX	800	44,917.36	57.0300	45,624.00	706.64	44,917	706	944 2.06
<b>FUND</b>								
<b>SYMBOL: OEF</b>								
<i>Initial Purchase: 10/19/11</i>								
<i>Equity 100%</i>								

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2011 - December 30, 2011

<b>MUTUAL FUNDS/CLOSED END FUNDS/UT</b>		(continued)		<b>Total Cost Basis</b>	<b>Estimated Market Price</b>	<b>Estimated Market Value</b>	<b>Unrealized Gain/(Loss)</b>	<b>Total Client Investment</b>	<b>Cumulative Investment Return (\$)</b>	<b>Estimated Annual Current Income Yield%</b>
KAYNE ANDERSON MLP	Initial Purchase: 08/19/10	544	14,498.18	30.3700	16,521.28	2,023.10	14,498	2,023	1,110	6.71
SYMBOL: KYN										
Equity 100%										
LORD ABBETT FLOATING RATE FUND CL F	Initial Purchase: 08/29/10	1,054	9,765.77	9.0000	9,486.00	(279.77)	8,927	559	495	5.21
SYMBOL: LFRFX										
Fixed Income 100%										
.6420 Fractional Share										
OPPENHEIMER INTERNATIONAL BOND FD Y		4,326	27,965.78	6.2000	26,821.20	(1,144.58)	22,943	3,877	1,168	4.35
SYMBOL: OIBYX	Initial Purchase: 07/07/08									
Fixed Income 100%										
.4580 Fractional Share										
PENNSYLVANIA MUTUAL FD		3,205	28,013.57	10.7600	34,485.80	6,472.23	26,597	7,888	61	.17
SYMBOL: PENVX	Initial Purchase: 07/22/09									
Equity 100%										
.9010 Fractional Share										
PIMCO TOTAL RETURN FUND CL P		1,099	12,211.80	10.8700	11,946.13	(265.67)	11,029	916	383	3.20
SYMBOL: PTTRX	Initial Purchase: 05/21/10									
Fixed Income 100%										
.3740 Fractional Share										
POWERSHARES BULL AMERIC		1,020	26,087.05	28.7000	29,274.00	3,186.95	26,087	3,186	1,524	5.20
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## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2011 - December 30, 2011

Account Number: [REDACTED]

<b>MUTUAL FUNDS/CLOSED END FUNDS/ETF (continued)</b>		<b>Description</b>	<b>Quantity</b>	<b>Total Cost Basis</b>	<b>Estimated Market Price</b>	<b>Estimated Market Value</b>	<b>Unrealized Gain/(Loss)</b>	<b>Total Client Investment</b>	<b>Cumulative Investment Return (\$)</b>	<b>Estimated Annual Current Income</b>	<b>Current Income Yield%</b>
<b>BOND ETF</b>											
<b>SYMBOL: BAB</b>		<i>Initial Purchase: 02/12/10</i>									
<i>Fixed Income 100%</i>											
<b>RS FLOATING RATE FUND</b>											
<b>CL Y</b>											
<b>SYMBOL: RSFYX</b>		<i>Initial Purchase: 02/18/11</i>									
<i>Fixed Income 100%</i>											
<i>1210 Fractional Share</i>											
<b>RS LOW DURATION BOND FD</b>											
<b>CL Y</b>											
<b>SYMBOL: RSDYX</b>		<i>Initial Purchase: 11/16/11</i>									
<i>Fixed Income 100%</i>											
<i>.7630 Fractional Share</i>											
<b>SECTOR SPDR CONSMRS SPL</b>											
<b>SYMBOL: XLP</b>		<i>Initial Purchase: 12/09/11</i>									
<i>Equity 100%</i>											
<b>SPDR DB INTL GOV INFL</b>											
<b>SYMBOL: WIP</b>		<i>Initial Purchase: 05/19/08</i>									
<i>Fixed Income 100%</i>											
<b>SUNAMERICA SENIOR FLOATING RATE FUND CL A</b>											
<b>SYMBOL: SASFX</b>		<i>Initial Purchase: 05/24/10</i>									
<i>Fixed Income 100%</i>											

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## **YOUR RETIREMENT ACCOUNT ASSETS**

Account Number:

**24-Hour Assistance: (800) MERRILL**

December 01, 2011 - December 30, 2011

MUTUAL FUNDS/CLOSED END FUNDS/ETF										
Description	(continued)			Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
TCW TOTAL RETURN BOND FD CL / SYMBOL: TGLMX Initial Purchase: 06/29/10 Fixed Income 100% .1860 Fractional Share		2,169	21,933.06	9,6400	20,909.16	(1,023.90)	19,705	1,203	1,432	6.84
TEMPLETON GBL BOND FD ADV CL SYMBOL: TGBAX Initial Purchase: 06/30/09 Fixed Income 100% .7830 Fractional Share		1,381	16,867.83	12.3700	17,082.97	215.14	14,467	2,615	875	5.11
TORTOISE MLP FD INC SYMBOL: NTG Initial Purchase: 12/02/11 Equity 100% Equity 100%		358	8,975.24	25.7700	9,225.66	250.42	8,975	250	591	6.40
VANGUARD FINANCIALS ETF SYMBOL: VFH Initial Purchase: 12/02/11 Equity 100%		340	9,355.81	27.6199	9,390.77	34.96	9,355	34	190	2.02
Subtotal (Fixed Income) Subtotal (Equities)									277,869.19	411,857.54

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## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2011 - December 30, 2011

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Cost Basis	Total Market Price	Estimated Market Value	Estimated Gain/(Loss)	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Return (\$)	Estimated Annual Current Income Yield%
<b>TOTAL</b>		662,204.54	689,728.73	27,522.19			58,380	20,333	2.95
<b>Total Client Investment:</b> Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.									
<b>Cumulative Investment Return:</b> Estimated Market Value minus Total Client Investment.									
<b>Cumulative Investment Return:</b> Estimated Market Value minus Total Client Investment, of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.									
<b>Market Timing:</b> Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.									
<b>ALTERNATIVE INVESTMENTS</b>									
Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ISHARES GOLD TR	10/04/11	1,033	16.1300	16,662.29	15.2300	15,732.59	(929.70)		
ISHARES GOLD TR	10/04/11	14	15.9164	222.83	15.2300	213.22	(9.61)		
<b>Subtotal</b>		1,047		16,885.12		15,945.81	(939.31)		
<b>TOTAL</b>				16,885.12		15,945.81	(939.31)		
<b>LONG PORTFOLIO</b>									
		Adjusted/Total Cost Basis		Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%	
<b>TOTAL</b>		1,415,200.37		1,466,909.76	51,709.39	2,641.44	41,615	2.84	

**Notes**

<sup>1</sup>Some agency securities are not backed by the full faith and credit of the United States government.  
 For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

PIA [REDACTED]	Settled	1/3/2011	Dwd Rtnv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$49.93 REINV PRICE \$10.89000 QUANTITY BOT 4.5850 AS OF 12/31	BPRIX	4	0
PIA [REDACTED]	Settled	1/3/2011	Dwd Rtnv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$44.02 REINV PRICE \$8.27000 QUANTITY BOT 5.3280 AS OF 12/31	SASFX	5	0

			Drd ReInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$39.52 REINV PRICE \$9.32000	LFRFX	4	0
PIA	Settled	1/3/2011	Drd ReInv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$35.83 REINV PRICE \$10.85000 QUANTITY BOT 3.3200 AS OF 12/31	PTTPX	3	0
PIA	Settled	1/3/2011	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$10.87	BPRIX	1	0
PIA	Settled	1/3/2011	Journal Entry SUNAMERICA SENIOR FLOATING RATE FUND CL A FULL SHARE ACCUM SHARE VALUE \$8.27	SASFX	1	0
PIA	Settled	1/3/2011	Sale CALL APC 00080.000 ANADARKO PETE CORP EXP 02-19-11 OPEN TRAN APC FEB 90.00000	AFC111	-2	0.987857 197.56
PIA	Settled	1/4/2011	Purchase CALL CHRW 00080.000 C.H. ROBINSON WORLDWIDE, EXP 02-19-11 CLOSE TRAN CHRW FEB 80.00000	CHRW1	2	3 -600
PIA	Settled	1/4/2011	Sale C.H. ROBINSON WORLDWIDE, INC. NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CHRW	-247	80.5047 19,884.32
PIA	Settled	1/4/2011	Purchase DISNEY (WALT) CO COM STK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DIS	400	39.3994 -15,759.76
PIA	Settled	1/10/2011	Sale MCDONALDS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MCD	-208	73.9457 15,380.45
PIA	Settled	1/10/2011	1/13/2011			

PIA	Settled	1/13/2011	1/14/2011	Sale CALL HON 00055.000 HONEYWELL INTL INC DEL EXP 02-19-11 OPEN TRAN HON FEB 55.00000	HON111	-3	1.33	398.99
PIA				Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	JH	26	92.39	-2,400.81
PIA	Settled	1/12/2011	1/18/2011	Purchase CALL JEC 00048.000 JACOBS ENGN GRP INC DELA EXP 01-22-11 CLOSE TRAN JEC JAN 48.00000	JEC112	3	1.947834	-584.38
PIA	Settled	1/20/2011	1/21/2011	Dmd ReInv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$68.19 REINV PRICE \$13.51000 QUANTITY BOT 5.0470 AS OF 01/18	TGBAX	5		0
PIA	Settled		1/21/2011	Journal Entry TEMPLETON GLBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$13.39	TGBAX	1		0
PIA	Settled	1/21/2011	1/24/2011	Sale CALL F 00019.000 FORD MOTOR CO NEW EXP 03-19-11 OPEN TRAN F MAR 19.00000	F1119C	-13	0.52	675.99
PIA	Settled	1/21/2011	1/24/2011	Purchase CALL F 00017.500 FORD MOTOR CO NEW EXP 01-22-11 CLOSE TRAN F JAN 17.50000	F1122A	13	0.448582	-583.16
PIA	Settled	1/24/2011	1/25/2011	Purchase CALL HES 00085.000 HESS CORP EXP 02-19-11 CLOSE TRAN HES FEB 85.00000	HES111	2	0.577419	-115.48
PIA	Settled	1/21/2011	1/26/2011	Sale HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	-244	79.0036	19,276.51

			Sale VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, ML ACTED AS AGENT				
PIA	Settled	1/21/2011	1/26/2011	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .023 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES FOR INFORMATION, SEE THE PROSPECTUS	VLO	-760	24.1728 18,370.98
PIA	Settled	1/25/2011	1/26/2011	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .370 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ABMIX	75	32.79 -2,460.00
PIA	Settled	1/28/2011	1/31/2011	Divd ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$51.07 REINV PRICE \$11,47000 QUANTITY BOT 4,4520 AS OF 01/28	ABMIX	69	32.55 -2,257.99
PIA	Settled		1/31/2011	Divd ReInv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$77.64 REINV PRICE \$9.94000 QUANTITY BOT 7,8110 AS OF 01/31	AHIFX	4	0
PIA	Settled		2/1/2011	Divd ReInv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$30.66 REINV PRICE \$10,87000 QUANTITY BOT 2,8210 AS OF 01/31	TGLMX	7	0
PIA	Settled		2/1/2011	Divd ReInv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$59.91 REINV PRICE \$6.46000 QUANTITY BOT 9,2740 AS OF 01/31	BPRIX	2	0
PIA	Settled		2/1/2011	Divd ReInv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$29.06 REINV PRICE \$8.37000 QUANTITY BOT 3,4720 AS OF 01/31	OIBYX	9	0
PIA	Settled		2/1/2011	Divd ReInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$38.14 REINV PRICE \$9.42000 QUANTITY BOT 4,0490 AS OF 01/31	SASFV	3	0
PIA	Settled		2/1/2011	Divd ReInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$38.14 REINV PRICE \$9.42000 QUANTITY BOT 4,0490 AS OF 01/31	LFRFX	4	0

			Dwd Reinv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$29.64 REINV PRICE \$10.85000 QUANTITY			
PIA	Settled	2/1/2011		PTTPX	2	0
PIA	Settled	2/1/2011	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.93	TGLMX	1	0
PIA	Settled	2/1/2011	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL I FULL SHARE ACCUM SHARE VALUE \$10.85	BPRIX	1	0
PIA	Settled	2/1/2011	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$10.84	PTTPX	1	0
PIA	Settled	1/28/2011	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	29	91.7972 -2,662.12
PIA	Settled	1/28/2011	Purchase APPLE INC PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AAPL	20	341.2408 -6,824.82
PIA	Settled	2/3/2011	Purchase DISCOVERY COMMUNICATN INC SERIES A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	310	40.9396 -12,691.23
PIA	Settled	2/3/2011	Purchase E TRADE FINL CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ETFC	744	16.9827 -12,635.13
PIA	Settled	2/3/2011	Purchase KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	196	64.6912 -12,679.48

[REDACTED]				Purchase METLIFE INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MET	339	46.3493	-15,712.41
[REDACTED]	Settled	2/3/2011	2/8/2011	Sale MCKESSON CORPORATION COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MCK	-232	76.3443	17,711.54
[REDACTED]	Settled	2/3/2011	2/8/2011	Sale SECTOR SPDR UTILITIES PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLU	-1,280	31.7421	40,629.11
[REDACTED]	Settled	2/3/2011	2/8/2011	Purchase STANDARD&POOR'S DEP RPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	223	130.3	-29,056.90
[REDACTED]	Settled	2/7/2011	2/8/2011	Purchase CALL F 00019.000 FORD MOTOR CO NEW EXP 03-19-11 CLOSE TRAN F MAR 19.000000	F1119C	13	0.068745	-89.37
[REDACTED]	Settled	2/4/2011	2/9/2011	Sale CISCO SYSTEMS INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CSCO	-760	21.9196	16,658.58
[REDACTED]	Settled	2/9/2011	2/14/2011	Sale TEVA PHARMACTCL INDs ADR PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TEVA	-255	51.8873	13,231.01
[REDACTED]	Settled	2/15/2011		Redeemed WAL-MART STORES GLB 04.125% FEB 15 2011 GLB 04.125% FEB 15 2011 PAY DATE 02/15/2011	931142E #####			25,000.00
[REDACTED]	Settled	2/16/2011		Exec Options CALL HON 00055.000 HONEYWELL INTERNATIONAL EXP 02-19-11 NOCC	HON111	3		0

				Sale HONEYWELL INTL INC DEL AC CALL ZB2J5 HON PER THE PIA AGREEMENT AS OF 02/15 UNSOLICITED	HON	-300	55	16,499.68
PIA	Settled	2/18/2011	2/18/2011					
				Sale CALL APC 000090 000 ANADARKO PETE CORP EXP 03-19-11 OPEN TRAN APC MAR 90.00000	APC111	-2	0.88	175.99
PIA	Settled	2/17/2011	2/18/2011	Purchase CALL APC 000090.000 ANADARKO PETE CORP EXP 02-19-11 CLOSE TRAN APC FEB 90.00000	APC111	2	0.05	-10
PIA	Settled	2/17/2011	2/18/2011	Divid ReInv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$368.07 REINV PRICE \$13.50000 QUANTITY BOT 5.0420 AS OF 02/15	TGBAX	5		0
				Purchase RS FLOATING RATE FUND CL Y FRAC SHR QUANTITY .923 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS. YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	RSFYX	886	10.4	-9,224.00
PIA	Settled	2/18/2011	2/22/2011	Sale HONEYWELL INTL INC DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH MIL ACTED ARE AVAILABLE UPON REQUEST. MIL ACTED AS AGENT	HON	-8	57.917	463.35
PIA	Settled	2/18/2011	2/24/2011	Divid ReInv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$78.07 REINV PRICE \$9.94000 QUANTITY BOT 7.8540 AS OF 02/28	TGLMX	7		0
PIA	Settled		3/1/2011	Divid ReInv RS FLOATING RATE FD CL Y AGENT REINV AMOUNT \$7.62 REINV PRICE \$10.38000 QUANTITY BOT .7340 AS OF 02/28	RSFYX			0
PIA	Settled	3/1/2011		Divid ReInv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$58.66 REINV PRICE \$10.96000 QUANTITY BOT 5.3520 AS OF 02/28	BPRIX	5		0

			Divd ReInv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$56.46 REINV PRICE \$6.48000			
PI/A	Settled	3/1/2011		OIBYX	8	0
			Divd ReInv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$27.49 REINV PRICE \$8.41000	SASFX	3	0
PI/A	Settled	3/1/2011	Divd ReInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$38.13 REINV PRICE \$9.41000	LFRFX	4	0
PI/A	Settled	3/1/2011	Divd ReInv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$30.87 REINV PRICE \$10.88000 QUANTITY BOT 2.8370 AS OF 02/28	PTTPX	2	0
PI/A	Settled	3/1/2011	Divd ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$99.32 REINV PRICE \$11.55000 QUANTITY BOT 8.5890 AS OF 02/28	AHIFX	8	0
PI/A	Settled	3/1/2011	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.93	TGLMX	1	0
PI/A	Settled	3/1/2011	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$10.38	RSFYX	1	0
PI/A	Settled	3/1/2011	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.47	OIBYX	1	0
PI/A	Settled	3/1/2011	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$11.55	AHIFX	1	0

PIA [REDACTED]	Settled	2/25/2011	3/2/2011	Purchase SALESFORCE.COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CRM	80	142,324	-12,809.16
PIA [REDACTED]	Settled	3/3/2011	3/8/2011	Purchase LINCOLN NTL CORP IND NPV PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LNC	248	30,663.5	-7,604.55
PIA [REDACTED]	Settled	3/3/2011	3/8/2011	Sale QUEST DIAGNOSTICS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DGX	-301	56,136	16,896.62
PIA [REDACTED]	Settled	3/10/2011	3/11/2011	Purchase CALL APC 00090,000 ANADARKO PETE CORP EXP 03-19-11 CLOSE TRAN APC MAR 90,00000	APC111	2	0.05	-10
PIA [REDACTED]	Settled	3/11/2011	3/16/2011	Purchase DEERE CO PER THE PIA AGREEMENT ML ACTED AS AGENT	DE	88	85,989	-7,565.27
PIA [REDACTED]	Settled	3/14/2011	3/17/2011	Sale COCA COLA COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KO	-273	63,583.6	17,357.89
PIA [REDACTED]	Settled	3/15/2011	3/18/2011	Purchase APPLE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AAPL	24	342,263.2	-8,214.32
PIA [REDACTED]	Settled	3/15/2011	3/18/2011	Sale WALGREEN CO PER THE PIA AGREEMENT ML ACTED AS AGENT	WAG	-144	40.84	5,880.85
PIA [REDACTED]	Settled			Div Retn TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$68.72 REINV PRICE \$13,37000 QUANTITY BOT 5.1400 AS OF 03/15	TGBAX	5		0

			Purchase DEER CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
PIA	Settled	3/16/2011	3/21/2011	Purchase LINCOLN NTL CORP IND NPV PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DE	81	86.43 -7,000.83
PIA	Settled	3/16/2011	3/21/2011	Redeemed FEDERAL HOME LOAN BANK CALLABLE BONDS 03.300% MAR 17 2016 CALLABLE BONDS 03.300% MAR 17 2016 PAY DATE 03/22/2011	LNC	262	28.8016 -7,493.62
PIA	Settled			Redeemed FEDERAL NATL MTG ASSOC CALLABLE NOTES 02.375% MAR 23 2012 CALLABLE NOTES 02.375% MAR 23 2012 PAY DATE 03/23/2011	3133XX4	#####	10,000.00
PIA	Settled			Sale KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	3136FHE	#####	20,000.00
PIA	Settled	3/21/2011	3/24/2011	Dwd ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$99.83 REINV PRICE \$11.5000 QUANTITY BOT 8.8810 AS OF 03/28	KYN	-435	31.5162 13,709.29
PIA	Settled			Purchase FEDERAL HOME LOAN BANK BONDS 04.125% MAR 13 2015 ENCLSR PREVIOUSLY MAILED YLD TO MATURITY 1.92% MATURITY DATE 3/13/15.	AHIFX	8	0
PIA	Settled	3/30/2011	3/31/2011	Dwd ReInv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$78.51 REINV PRICE \$9.9000 QUANTITY BOT 7.9300 AS OF 03/31	3133XQ1	25,000 108.33139 -27,134.41	
PIA	Settled			Dwd ReInv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$39.09 REINV PRICE \$10.35000 QUANTITY BOT 3.7770 AS OF 03/31	TGLMX	7	0
PIA	Settled				RSFYX	3	0

PIA [REDACTED]	Settled	4/1/2011	Divid ReInv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$132.66 REINV PRICE	BPRIX	12		0
PIA [REDACTED]	Settled	4/1/2011	Divid ReInv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$60.41 REINV PRICE \$6.5500	OBYX	9		0
PIA [REDACTED]	Settled	4/1/2011	Divid ReInv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$31.72 REINV PRICE \$8.38000 QUANTITY BOT 3.7850 AS OF 03/31	SASFX	3		0
PIA [REDACTED]	Settled	4/1/2011	Divid ReInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$38.94 REINV PRICE \$9.38000 QUANTITY BOT 4.1510 AS OF 03/31	LFRFXX	4		0
PIA [REDACTED]	Settled	4/1/2011	Divid ReInv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$32.04 REINV PRICE \$10.88000 QUANTITY BOT 2.9450 AS OF 03/31	PTTPX	2		0
PIA [REDACTED]	Settled	4/1/2011	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.90	TGLMX	1		0
PIA [REDACTED]	Settled	4/1/2011	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$10.36	RSFVX	1		0
PIA [REDACTED]	Settled	4/1/2011	Journal Entry SUNAMERICA SENIOR FLOATING RATE FUND CL A FULL SHARE ACCUM SHARE VALUE \$8.38	SASFX	1		0
PIA [REDACTED]	Settled	4/1/2011	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$10.39	PTTPX	1		0

			Sale U.S. TREASURY NOTE 3.250% MAY 31 2016 PER THE PIA AGREEMENT YLD TO MATURITY 2.32%			
PIA	Settled	4/1/2011	4/4/2011	Purchase TCW TOTAL RETURN BOND FD CL Y FRAC SHR QUANTITY .975 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	912828K	##### 104.45313 14,779.69
PIA	Settled	4/1/2011	4/4/2011	Purchase RS FLOATING RATE FUND CL Y FRAC SHR QUANTITY .416 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	TGLMX	622 9.9 -6,167.45
PIA	Settled	4/1/2011	4/4/2011	Purchase INVESCO CONVERTIBLE SECURITIES FUND CL Y FRAC SHR QUANTITY .504 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	RSFYX	419 10.36 -4,345.15
PIA	Settled	4/1/2011	4/4/2011	Purchase OPPENHEIMER INTERNATIONAL BOND FD Y FRAC SHR QUANTITY .508 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	CNSDX	960 21.15 -20,314.66
PIA	Settled	4/1/2011	4/4/2011	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.91	OBYX	1,423 6.56 -9,338.20
PIA	Settled			Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.57	TGLMX	1 0
PIA	Settled			Sale SPDR BARCLAYS CAPT'L CONV PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	OBYX	1 0
PIA	Settled	4/1/2011	4/6/2011	Dnd Reinv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$68.86 REINV PRICE \$13.85000 QUANTITY BOT 4,9720 AS OF 04/15	CWB	473 42,1001 19,912.97
PIA	Settled	4/20/2011		TGBAX	4 0	0

PIA	Settled		4/2/2011	Journal Entry TEMPLETON GBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$13.89	TGBAX	1	0
PIA	Settled		4/18/2011	Purchase JOHNSON CONTROLS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JCI	200	38.2748 -7.654.96
PIA	Settled		4/21/2011	Sale CALL APC 00080,000 ANADARKO PETE CORP EXP 06-18-11 OPEN TRAN APC JUN 90.00000	AFC111	-2	0.92 183.99
PIA	Settled		4/21/2011	Sale CALL CRM 00165,000 SALESFORCE COM INC EXP 05-21-11 OPEN TRAN CRM MAY 165,00000	CRM112	-1	0.8 79.99
PIA	Settled		4/25/2011	Purchase SALESFORCE COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CRM	19	141.2971 -2,684.64
PIA	Settled		4/26/2011	Dvd Rtnv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$100.73 REINV PRICE \$11.59000 QUANTITY BOT 8,6910 AS OF 04/28	AHIFX	8	0
PIA	Settled		4/29/2011	Journal Entity AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$11.60	AHIFX	1	0
PIA	Settled		5/2/2011	Dvd Rtnv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$113.21 REINV PRICE \$9.94000 QUANTITY BOT 11,3890 AS OF 04/29	TGLMX	11	0
PIA	Settled		5/22/2011	Dvd Rtnv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$53.87 REINV PRICE \$10.38000 QUANTITY BOT 5,1850 AS OF 04/29	RSFYYX	5	0

			Divd Rtnv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$129.85 REINV PRICE				
PIA	Settled	5/2/2011	\$11.20000 QUANTITY BOT 11.5940 AS OF 04/29	BPRIX	11		0
PIA	Settled	5/2/2011	Divd Rtnv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$91.78 REINV PRICE \$6.80000	OBYX	13		0
PIA	Settled	5/2/2011	Divd Rtnv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$32.26 REINV PRICE \$8.39000	SASFX	3		0
PIA	Settled	5/2/2011	Divd Rtnv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$34.45 REINV PRICE \$9.40000	LFRFX	3		0
PIA	Settled	5/2/2011	Divd Rtnv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$32.42 REINV PRICE \$11.03000 QUANTITY BOT 2.8380 AS OF 04/29	PTTPX	2		0
PIA	Settled	5/2/2011	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$10.39	RSFYX	1		0
PIA	Settled	5/2/2011	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$11.21	BPRIX	1		0
PIA	Settled	5/2/2011	Journal Entry SUNAMERICA SENIOR FLOATING RATE FUND CL A FULL SHARE ACCUM SHARE VALUE \$8.39	SASFX	1		0
PIA	Settled	5/2/2011	Journal Entry LORD ABBETT FLOATING RATE FUND CL F FULL SHARE ACCUM SHARE VALUE \$9.40	LFRFX	1		0

PIA	Settled	5/22/2011	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$11.03		PTTPX	1	0
PIA	Settled	4/28/2011	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMAUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		KYN	24	30.4799 -731.52
PIA	Settled	5/3/2011	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMAUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		HPQ	40	39.91 -1,596.40
PIA	Settled	5/3/2011	Sale MARATHON OIL CORP PER THE PIA AGREEMENT ML ACTED AS AGENT	MRO	-146	49.4719	7,222.76
PIA	Settled	5/18/2011	Redeemed TOYOTA MOTOR CREDIT CORP GLB 05.450% MAY 18 2011 GLB 05.450% MAY 18 2011 PAY DATE 05/18/2011		TM11	#####	25,000.00
PIA	Settled	5/19/2011	Divid ReInv TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$69.39 REINV PRICE \$13.75000 QUANTITY BOT 5,0470 AS OF 05/16	TGBAX	5		0
PIA	Settled	5/17/2011	Purchase JOHNSON CONTROLS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUENERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JCI	193	38.1392 -7,360.87	
PIA	Settled	5/23/2011	Expired Options CALL CRM 00165.000 SALESFORCE.COM INC SHS EXP 05-21-11 NOCC	CRM112	1		0
PIA	Settled	5/24/2011	Sale CALL CRM 00170.000 SALESFORCE.COM INC EXP 06-18-11 OPEN TRAN CRM JUN 170.00000	CRM111	-1	0.75 74.99	

[REDACTED]				Divd ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$98.20 REINV PRICE 5/26/2011 \$11.56000 QUANTITY BOT 8.5020 AS OF 05/25	AHIFX	1	0
[REDACTED]	Settled			Journal Entry PIA FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$11.55	AHIFX	1	0
[REDACTED]	Settled			Purchase JPMORGAN CHASE & CO - 04.950% MAR 25 2020 RATINGS ARE SUBJ. TO CHG PER THE PIA AGREEMENT MOODYS AA3 S&P A+ YLD TO MATURITY 4.35% MATURITY DATE 3/25/20. 68 DAYS INTEREST	JPM 20	25,000	104.328 -26,308.88
[REDACTED]	Settled	5/26/2011	6/1/2011	Divd ReInv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$113.83 REINV PRICE \$9.97000 QUANTITY BOT 11.4170 AS OF 05/31	TGLMX	11	0
[REDACTED]	Settled		6/1/2011	Divd ReInv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$58.94 REINV PRICE \$10.36000 QUANTITY BOT 5.6890 AS OF 05/31	RSFVX	5	0
[REDACTED]	Settled		6/1/2011	Divd ReInv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$240.26 REINV PRICE \$11.11000 QUANTITY BOT 21.6260 AS OF 05/31	BPRIX	21	0
[REDACTED]	Settled		6/1/2011	Divd ReInv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$91.02 REINV PRICE \$6.72000 QUANTITY BOT 13.5450 AS OF 05/31	OBYX	13	0
[REDACTED]	Settled		6/1/2011	Divd ReInv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$31.30 REINV PRICE \$8.36000 QUANTITY BOT 3.7440 AS OF 05/31	SASFX	3	0
[REDACTED]	Settled		6/1/2011	Divd ReInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$42.76 REINV PRICE \$9.36000 QUANTITY BOT 4.5680 AS OF 05/31	LRFEX	4	0

P/A [REDACTED]	Settled	6/1/2011	Divd Rec'd PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$32.73 REINV PRICE \$11.06000 QUANTITY BOT 2.9590 AS OF 05/31	PTTPX	2	0
P/A [REDACTED]	Settled	6/1/2011	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.99	TGLMX	1	0
P/A [REDACTED]	Settled	6/1/2011	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.71	OIBYX	1	0
P/A [REDACTED]	Settled	6/1/2011	Journal Entry SUNAMERICA SENIOR FLOATING RATE FUND CL A FULL SHARE ACCUM SHARE VALUE \$6.35	SASFX	1	0
P/A [REDACTED]	Settled	6/1/2011	Journal Entry LORD ABBETT FLOATING RATE FUND CL F FULL SHARE ACCUM SHARE VALUE \$9.36	LFRFXY	1	0
P/A [REDACTED]	Settled	6/1/2011	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$11.06	PTTPX	1	0
P/A [REDACTED]	Settled	6/1/2011	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	81	97.5521 -7,901.72
P/A [REDACTED]	Settled	6/1/2011	Purchase GUGGENHEIM BULLETPARTS 2014 H Y CORP ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	BSJE	519	25.75 -13,364.25
P/A [REDACTED]	Settled	6/3/2011	Purchase CALL CRM 00170.000 SALESFORCE.COM INC EXP 06-18-11 CLOSE TRAN CRM JUN 170.00000	CRM11	1	0.25 -25

PIA	Settled	6/6/2011	6/7/2011	Purchase CALL APC 00090.000 ANADARKO PETE CORP EXP 06-18-11 CLOSE TRAN APC JUN 90.00000	APC111	2	0.04	-8
PIA	Settled	6/7/2011	6/8/2011	Purchase APACHE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APA	123	119.7396	-14,727.85
PIA	Settled	6/7/2011	6/8/2011	Purchase CENTURYLINK INC SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CTL	356	41.5358	-14,786.74
PIA	Settled	6/7/2011	6/8/2011	Sale CORNING INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GLW	-817	19.104	15,605.54
PIA	Settled	6/7/2011	6/8/2011	Purchase GOODYEAR TIRE RUBBER PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GT	895	16,630.2	-14,884.03
PIA	Settled	6/7/2011	6/8/2011	Sale HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPC	-383	36.29	13,898.80
PIA	Settled	6/7/2011	6/8/2011	Sale KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	-196	65.9427	12,924.52
PIA	Settled	6/7/2011	6/8/2011	Sale NEXTERA ENERGY INC SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NEE	-278	54.772	15,226.33
PIA	Settled	6/7/2011	6/8/2011	Sale SALESFORCE.COM INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CRM	-109	145.7953	15,891.38

PIA [REDACTED]	Settled	6/3/2011	6/3/2011	Purchase WSTN DIGITAL CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
PIA [REDACTED]	Settled	6/10/2011	6/15/2011	Sale ISHARES IBOXX& HIGH YIEL CORPORATE BOND FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT	WDC	420	35.31	-14,830.20
PIA [REDACTED]	Settled	6/14/2011	6/17/2011	Purchase GUGGENHEIM BULLETPHARES 2015 HY CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HYG	-159	89.78	14,274.75
PIA [REDACTED]	Settled	6/15/2011	6/20/2011	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	BSJF	639	25.53	-16,313.67
PIA [REDACTED]	Settled	6/15/2011	6/20/2011	Divd ReInv TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$69.52 REINV PRICE \$13.79000 QUANTITY BOT 5,0410 AS OF 06/15	IJH	56	93.4999	-5,235.99
PIA [REDACTED]	Settled	6/21/2011	6/22/2011	Sale CALL WDC 00040.000 WSTN DIGITAL CORP DEL EXP 06-20-11 OPEN TRAN WDC AUG 40.00000	TGBAX	5		0
PIA [REDACTED]	Settled	6/21/2011	6/22/2011	Sale CALL WDC 00040.000 WSTN DIGITAL CORP DEL EXP 06-20-11 OPEN TRAN WDC AUG 40.00000	WDC11	1	0.520471	208.18
PIA [REDACTED]	Settled	6/24/2011	6/24/2011	Divd ReInv RS FLOATING RATE FD CL Y AGENT REINV AMOUNT \$.13 REINV PRICE \$10.26000 QUANTITY BOT .0130 AS OF 06/22	RSFYX			0
PIA [REDACTED]	Settled	6/24/2011	6/24/2011	Divd ReInv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$15.73 REINV PRICE \$10.26000 QUANTITY BOT 1.5330 AS OF 06/22	RSFYX	1		0
PIA [REDACTED]	Settled	6/24/2011	6/24/2011	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$10.25	RSFYX	1		0

PIA [REDACTED]	Settled	6/24/2011	6/27/2011	Sale CALL GT 00018.000 GOODYEAR TIRE RUBBER EXP 08-20-11 OPEN TRAN GT AUG 18.00000	GT1120	-8	0.35	279.99
PIA [REDACTED]	Settled	6/29/2011	6/30/2011	Sale Divd ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$98.26 REINV PRICE \$11.33000 QUANTITY BOT 8.6730 AS OF 06/28	AHIFX	8	0	0
PIA [REDACTED]	Settled	6/29/2011	6/30/2011	Sale CALL JCI 00044.000 JOHNSON CONTROLS INC EXP 08-20-11 OPEN TRAN JCI AUG 44.00000	JCI1120	-3	0.55	164.99
PIA [REDACTED]	Settled	6/28/2011	7/1/2011	Sale E TRADE FINL CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ETFC	-744	13.4722	10.023.13
PIA [REDACTED]	Settled	6/30/2011	7/1/2011	Sale CALL MET 00047.000 METLIFE INC COM EXP 08-20-11 OPEN TRAN MET AUG 47.00000	MET112	-3	0.46	137.99
PIA [REDACTED]	Settled	7/1/2011	7/1/2011	Divd ReInv TCW TOTAL RETURN BOND FD CL 1 AGENT REINV AMOUNT \$114.46 REINV PRICE \$9.86000 QUANTITY BOT 11.6090 AS OF 06/30	TGLMX	11	0	0
PIA [REDACTED]	Settled	7/1/2011	7/1/2011	Divd ReInv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$58.27 REINV PRICE \$10.27000 QUANTITY BOT 5.6740 AS OF 06/30	RSFVX	5	0	0
PIA [REDACTED]	Settled	7/1/2011	7/1/2011	Divd ReInv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$175.10 REINV PRICE \$11.11000 QUANTITY BOT 15.7610 AS OF 06/30	BPRIX	15	0	0
PIA [REDACTED]	Settled	7/1/2011	7/1/2011	Divd ReInv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$93.09 REINV PRICE \$6.74000 QUANTITY BOT 13.8120 AS OF 06/30	OIBYX	13	0	0

[REDACTED]							
PIA	Settled	7/1/2011	Divd RtnInv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$31.86 REINV PRICE \$8.30000	SASFX	3		0
[REDACTED]			Divd RtnInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$39.93 REINV PRICE \$9.30000	LFRFX	4		0
PIA	Settled	7/1/2011	Divd RtnInv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$31.31 REINV PRICE \$10.98000 QUANTITY BOT 2.8490 AS OF 06/30	PTTPX	2		0
[REDACTED]			Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$11.09	BPRIX	1		0
PIA	Settled	7/1/2011	Journal Entry SUNAMERICA SENIOR FLOATING RATE FUND CL A FULL SHARE ACCUM SHARE VALUE \$8.30	SASFX	1		0
[REDACTED]			Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$11.00	PTTPX	1		0
PIA	Settled	7/1/2011	DUDB MARRATHON PETROLEUM CORP	MPC	152		0
[REDACTED]			Stock Dividend MARRATHON PETROLEUM HOLDING 305.0000 PAID BY MARATHON OIL COR PAY DATE 07/07/2011	MPC	152		0
PIA	Settled	7/7/2011	DUDB MARATHON PETROLEUM CORP	MPC	-152		0

PIA	Settled	7/6/2011	7/11/2011	Sale ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APC	-230	78.5	18,054.65
PIA	Settled	7/6/2011	7/11/2011	Sale APPLE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APA	-123	123.5	15,190.21
PIA	Settled	7/6/2011	7/11/2011	Sale EMC CORPORATION MASS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AAPL	-44	348,450.1	15,331.51
PIA	Settled	7/6/2011	7/11/2011	Purchase EMC CORPORATION MASS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EMC	537	27,745.1	-14,901.27
PIA	Settled	7/6/2011	7/11/2011	Purchase JABIL CIRCUIT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JBL	721	20,911.3	-15,077.05
PIA	Settled	7/6/2011	7/11/2011	Purchase RANGE RESOURCES CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	RRC	260	57,054.2	-14,834.09
PIA	Settled	7/7/2011	7/11/2011	Purchase MARATHON OIL CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MRO	148	33.04	-4,889.92
PIA	Settled	7/8/2011	7/13/2011	Sale ISHARES S&P 500 VALUE INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IVE	-677	62,404.3	42,246.90
PIA	Settled	7/8/2011	7/13/2011	Sale MARATHON PETROLEUM CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MPC	-152	41.25	6,269.88

[REDACTED]	[REDACTED]			Sale WAL-MART STORES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT			
[REDACTED]	Settled	7/18/2011	7/13/2011	Purchase GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		WMT	-272 54.2315 14,750.69
[REDACTED]	Settled	7/11/2011	7/4/2011	Sale		GPC	262 56.7976 -14,880.97
[REDACTED]	Settled	7/15/2011	7/18/2011	CALL RRC 00067.500 RANGE RESOURCES CORP DEL EXP 09-17-11 OPEN TRAN RRC SEP 67.5000	RRC111	-2	1 199.99
[REDACTED]	Settled	7/14/2011	7/19/2011	Purchase SECTOR SPDR FINANCIAL PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER. ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLF	1,256 15.097 -18,965.22	
[REDACTED]	Settled	7/15/2011	7/20/2011	Sale ISHARES BARCLAYS AGGRGT AGGREGATE BD FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AGG	-247 107.44 26,537.17	
[REDACTED]	Settled	7/15/2011	7/20/2011	Purchase POWERSHARES BUILD AMERIC BOND ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER. ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	BAB	382 26,551263 -10,142.59	
[REDACTED]	Settled	7/15/2011	7/20/2011	Divid Reinv TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$69,92 REINV PRICE \$13,84000 QUANTITY BOT 5.0520 AS OF 07/15	TGBAX	5 0	
[REDACTED]	Settled	7/20/2011	7/21/2011	Purchase CALL MET 00047.000 METLIFE INC COM EXP 08-20-11 CLOSE TRAN MET AUG 47.00000	MET112	3 0.05 -15	
[REDACTED]	Settled	7/21/2011	7/22/2011	Sale CALL MET 00044.000 METLIFE INC COM EXP 08-20-11 OPEN TRAN MET AUG 44.00000	MET112	-3 0.51 152.99	

PIA	Settled	7/26/2011	7/27/2011	Purchase CALL JCI 00044.000 JOHNSON CONTROLS INC EXP 08-20-11 CLOSE TRAN JCI AUG 44.00000	JCI1120	3	0.05	-15
PIA	Settled		7/29/2011	Divd ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$100.43 REINV PRICE \$11.41000 QUANTITY BOT 8.8020 AS OF 07/28	AHIFX	8	0	0
PIA	Settled		7/29/2011	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$11.40	AHIFX	1	0	0
PIA	Settled		7/27/2011	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES. REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	142	27.7483	-3,940.40
PIA	Settled		8/1/2011	Divd ReInv TCW TOTAL RETURN BOND FD CL 1 AGENT REINV AMOUNT \$115.10 REINV PRICE \$9.90000 QUANTITY BOT 11.6260 AS OF 07/29	TGLMX	11	0	0
PIA	Settled		8/1/2011	Divd ReInv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$63.91 REINV PRICE \$10.26000 QUANTITY BOT 6.2290 AS OF 07/29	RSFVX	6	0	0
PIA	Settled		8/1/2011	Divd ReInv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$142.22 REINV PRICE \$11.45000 QUANTITY BOT 12.4210 AS OF 07/29	BPRIX	12	0	0
PIA	Settled		8/1/2011	Divd ReInv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$96.52 REINV PRICE \$6.80000 QUANTITY BOT 14.1940 AS OF 07/29	OBYX	14	0	0
PIA	Settled		8/1/2011	Divd ReInv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$30.76 REINV PRICE \$8.28000 QUANTITY BOT 3.7150 AS OF 07/29	SASFV	3	0	0

PIA [REDACTED]	Settled	8/1/2011	Divid ReInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$38.46 REINV PRICE \$9.29000	LFRFX	4		0
PIA [REDACTED]	Settled	8/1/2011	Divid ReInv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$29.53 REINV PRICE \$11.10000 QUANTITY BOT 2,6600 AS OF 07/29	PTTPX	2		0
PIA [REDACTED]	Settled	8/1/2011	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.92	TGLMX	1		0
PIA [REDACTED]	Settled	8/1/2011	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$10.26	RSFVX	1		0
PIA [REDACTED]	Settled	8/1/2011	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$11.48	BPRIX	1		0
PIA [REDACTED]	Settled	8/1/2011	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.80	OBYX	1		0
PIA [REDACTED]	Settled	8/1/2011	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$11.12	PTTPX	1		0
PIA [REDACTED]	Settled	7/29/2011	Purchase STANDARD&POORS DEPREC PT PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	132	129.144	-17.047.01
PIA [REDACTED]	Settled	8/22/2011	Purchase CALL WDC 00040.000 WSTN DIGITAL CORP DEL EXP 08-20-11 CLOSE TRAN WDC AUG 40.00000	WDC114	4	0.05	-20

PIA [REDACTED]	Settled	8/2/2011	8/3/2011	Purchase CALL GT 00018.000 GOODYEAR TIRE RUBBER EXP 08-20-11 CLOSE TRAN GT AUG 18.00000	GT1120	8	0.057451	-45.96
PIA [REDACTED]	Settled	8/2/2011	8/5/2011	Purchase STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	81	126.6975	-10,262.50
PIA [REDACTED]	Settled	8/3/2011	8/8/2011	Purchase AMAZON COM INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMZN	34	211.1333	-7,178.53
PIA [REDACTED]	Settled	8/3/2011	8/8/2011	Sale FEDERATED INVESTRS 8 PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FII	-619	20.6973	12,811.38
PIA [REDACTED]	Settled	8/3/2011	8/8/2011	Purchase FIFTH THIRD BANCORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	FITB	1,187	11.8647	-14,083.40
PIA [REDACTED]	Settled	8/3/2011	8/8/2011	Purchase MICROSOFT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	MSFT	532	26.6139	-14,158.59
PIA [REDACTED]	Settled	8/8/2011	8/9/2011	Purchase CALL MET 00044.000 METLIFE INC COM EXP 08-20-11 CLOSE TRAN MET AUG 44.00000	MET112	3	0.06	-18
PIA [REDACTED]	Settled	8/8/2011	8/9/2011	Sale ASTON/FAIRPOINTE MID CAP FD CL I FRAC SHR QUANTITY .712 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	ABMIX	-758	25.9	19,650.64
PIA [REDACTED]	Settled	8/9/2011	8/10/2011	Purchase FIRST EAGLE OVERSEAS CL I FRAC SHR QUANTITY .847 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	SGOIX	460	22.68	-10,452.01

[REDACTED]									
PIA [REDACTED]	Settled		8/10/2011	Journal Entry FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE \$22.29		SGOJX	1	0	
[REDACTED]				Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		IJH	127	81.7991 -10,388.49	
PIA [REDACTED]	Settled		8/8/2011	8/11/2011	Sale ISHARES S&P GBL HEALTH CARE SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		JXJ	-392 50.3913 19,753.01	
[REDACTED]				Sale ISHARES S&P GLB TELECOM-MUNICATIONS SECTOR INDEX PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		IXP	-374 54.1789 20,262.52		
PIA [REDACTED]	Settled		8/8/2011	8/11/2011	Purchase AUTOMATIC DATA PROC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT		ADP	188 46.6226 -8,765.05	
[REDACTED]				Purchase BAXTER INTERNL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		BAX	172 51.2 -8,806.40		
PIA [REDACTED]	Settled		8/9/2011	8/12/2011	Sale VANGUARD FTSE ALL WORLD EX US PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VEU	-503 42.3459 21,299.58		
[REDACTED]				Purchase KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		KMB	139 63.4022 -8,812.91		
PIA [REDACTED]	Settled		8/9/2011	8/12/2011	Purchase PAYCHEX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT		PAYX	335 26.2747 -8,802.02	
PIA [REDACTED]	Settled		8/9/2011	8/12/2011					

PIA [REDACTED]	Settled	8/5/2011	8/12/2011	Purchase PROCTER & GAMBLE CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PG	148	59.55	-8,813.40
PIA [REDACTED]	Settled	8/10/2011	8/15/2011	Purchase COCA COLA COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KO	135	65.3954	-8,828.38
PIA [REDACTED]	Settled	8/10/2011	8/15/2011	Purchase EMERSON ELEC CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EMR	203	43.1855	-8,768.86
PIA [REDACTED]	Settled	8/10/2011	8/15/2011	Purchase JP MORGAN CHASE & CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JPM	441	34.6835	-15,205.42
PIA [REDACTED]	Settled	8/10/2011	8/15/2011	Purchase JOHNSON AND JOHNSON COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JNJ	145	61.38	-8,900.10
PIA [REDACTED]	Settled	8/10/2011	8/15/2011	Sale SECTOR SPDR FINANCIAL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLF	-3,224	12.3382	39,900.97
PIA [REDACTED]	Settled	8/10/2011	8/15/2011	Sale STANDARD & POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SFY	-292	115.9673	33,861.80
PIA [REDACTED]	Settled	8/10/2011	8/15/2011	Purchase WAL-MART STORES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WMT	178	49.4357	-8,799.55
PIA [REDACTED]	Settled	8/11/2011	8/16/2011	Purchase AIR PRODUCTS & CHEM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APD	112	78.5757	-8,811.68

PIA	Settled	8/11/2011	8/16/2011	Purchase GENERAL MILLS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
PIA	Settled	8/11/2011	8/16/2011	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GIS	249	35.1188	-8,744.58
PIA	Settled	8/11/2011	8/16/2011	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	-115	114.1567	13,127.77
PIA	Settled	8/11/2011	8/16/2011	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	-38	115.6744	4,395.55
PIA	Settled	8/11/2011	8/16/2011	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	-79	117.3	9,266.52
PIA	Settled	8/15/2011	8/16/2011	Sale CALL_JBL_00016_000 JABIL CIRCUIT INC EXP 09-17-11 OPEN TRAN JBL SEP 16.000000	JBL1117	-7	1.25	874.98
PIA	Settled	8/12/2011	8/17/2011	Sale SECTOR SPDR ENERGY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLE	-375	66.8016	25,087.62
PIA	Settled	8/15/2011	8/18/2011	Purchase FIFTH THIRD BANCORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	FTB	190	9.7499	-1,852.48
PIA	Settled	8/15/2011	8/18/2011	Sale ISHARES MSCI EMERGING MKTS INDEX FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EEM	-229	42.0521	9,629.75
PIA	Settled	8/15/2011	8/18/2011	Purchase EMERGING GLOBAL SHARES DOW JONES PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ECON	429	22.4957	-9,650.66

PIA	Settled	8/18/2011	Dividend ReInv TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$70.06 REINV PRICE \$13.69000 QUANTITY	TGBAX	5		0
PIA	Settled	8/17/2011	Purchase AMAZON COM INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE. ML ACTED AS AGENT	AMZN	14	195.1818	-2,732.55
PIA	Settled	8/18/2011	Purchase CHEVRON CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVX	94	93.0505	-8,747.59
PIA	Settled	8/18/2011	Purchase EXXON MOBIL CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOM	124	70.7984	-8,779.00
PIA	Settled	8/18/2011	Sale SECTOR SPDR ENERGY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLE	-232	64.0323	14,855.20
PIA	Settled	8/18/2011	Purchase AMAZON COM INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE. ML ACTED AS AGENT	AMZN	23	184.62	-4,246.26
PIA	Settled	8/19/2011	Sale ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYW	-435	56.8182	24,715.45
PIA	Settled	8/22/2011	Dividend ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$94.72 REINV PRICE \$10.70000 QUANTITY BOT 8.8520 AS OF 08/26	AMHFX	8		0
PIA	Settled	8/29/2011	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$10.73	AMHFX	1		0

PIA [REDACTED]	Settled	8/25/2011	8/30/2011	Purchase MORGAN STANLEY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MS	505	17.59	-8,882.95
PIA [REDACTED]	Settled	8/26/2011	8/31/2011	Purchase MORGAN STANLEY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MS	17	16.57	-281.69
PIA [REDACTED]	Settled			Redeemed FEDERAL HOME LOAN BANK CALLABLE BONDS 03.250% MAY 26 2020 CALLABLE BONDS 03.250% MAY 26 2020 PAY DATE 08/31/2011	313370N #####			10,000.00
PIA [REDACTED]	Settled	8/29/2011	9/1/2011	Purchase MEDTRONIC INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MDT	194	34.4894	-6,690.94
PIA [REDACTED]	Settled		9/1/2011	Divd ReInv TCW TOTAL RETURN BOND FD CL 1 AGENT REINV AMOUNT \$115.74 REINV PRICE \$9.91000 QUANTITY BOT 11.6790 AS OF 08/31	TGLMX	11		0
PIA [REDACTED]	Settled		9/1/2011	Divd ReInv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$67.66 REINV PRICE \$9.70000 QUANTITY BOT 6.9750 AS OF 08/31	RSFVX	6		0
PIA [REDACTED]	Settled		9/1/2011	Divd ReInv BR INF PTD BD FD INST CL AGENT REINV AMOUNT \$4.67 REINV PRICE \$11.53000 QUANTITY BOT .4050 AS OF 08/31	BPRIX			0
PIA [REDACTED]	Settled		9/1/2011	Divd ReInv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$89.04 REINV PRICE \$6.77000 QUANTITY BOT 13.1520 AS OF 08/31	OBYX	13		0
PIA [REDACTED]	Settled		9/1/2011	Divd ReInv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$31.81 REINV PRICE \$7.85000 QUANTITY BOT 4.0520 AS OF 08/31	SASFX	4		0

PIA	Settled	9/1/2011	Divd ReInv LORD ABBETT FLOATING RATE FUND CL P AGENT REINV AMOUNT \$41.49 REINV PRICE \$8.83000 QUANTITY BOT 4.6890 AS OF 08/31	LFRFX	4		0
PIA	Settled	9/1/2011	Divd ReInv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$27.66 REINV PRICE \$11.01000 QUANTITY BOT 2.5120 AS OF 08/31	PTTPX	2		0
PIA	Settled	9/1/2011	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.92	TGLMX	1		0
PIA	Settled	9/1/2011	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$9.74	RSFYX	1		0
PIA	Settled	9/1/2011	Journal Entry LORD ABBETT FLOATING RATE FUND CL F FULL SHARE ACCUM SHARE VALUE \$8.86	LFRFX	1		0
PIA	Settled	9/2/2011	Sale DISNEY (WALT) CO COM STK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DIS	-400	33	13,199.75
PIA	Settled	9/2/2011	Sale AMAZON COM INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	AMZN	-18	207.8902	3,741.95
PIA	Settled	9/2/2011	Sale DISCOVERY COMMUNICATN INC SERIES A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	-35	40.6223	1,421.75
PIA	Settled	9/2/2011	Sale DEERE CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DE	-26	77.9982	2,027.91

PIA	Settled	9/2/2011	9/8/2011	Sale GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	-55	53.92	2,965.54
PIA	Settled	9/2/2011	9/8/2011	Sale WALGREEN CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WAG	-43	36.1801	1,512.71
PIA	Settled	9/6/2011	9/9/2011	Sale DISCOVERY COMMUNICAT INC SERIES A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	-85	39.99	3,398.08
PIA	Settled	9/6/2011	9/9/2011	Purchase GOOGLE INC CL A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GOOG	12	514.8025	-6,177.63
PIA	Settled	9/6/2011	9/9/2011	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	191	56.0268	-10,701.12
PIA	Settled	9/7/2011	9/12/2011	Sale DISCOVERY COMMUNICAT INC SERIES A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	DISCA	-190	39.9924	7,598.41
PIA	Settled	9/7/2011	9/12/2011	Purchase GENL DYNAMICS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GD	145	61.57	-8,927.65
PIA	Settled	9/7/2011	9/12/2011	Purchase GOOGLE INC CL A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GOOG	1	533.37	-533.37
PIA	Settled	9/7/2011	9/12/2011	Sale PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	-287	47.3081	13,577.16

PIA	Settled	9/7/2011	9/12/2011	Sale UNITED TECHS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT			
PIA	Settled	9/12/2011	9/15/2011	Purchase PEPSICO INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PEP	146	59.8286 -8,734.98
PIA	Settled	9/14/2011	9/15/2011	Purchase CALL RRC 000087.500 RANGE RESOURCES CORP DEL EXP 09-17-11 CLOSE TRAN RRC SEP 67.50000	RRC111	2	0.1 -20
PIA	Settled	9/16/2011	9/16/2011	Sale CALL RRC 00070.000 RANGE RESOURCES CORP DEL EXP 10-22-11 OPEN TRAN RRC OCT 70.00000 AS OF 09/15	RRC112	-2	1.3 259.99
PIA	Settled	9/19/2011		Exercise Options DOVER CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JBL1117	7	0
PIA	Settled	9/20/2011	9/20/2011	Sale GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DOV	127	52.8949 -6,717.65
PIA	Settled	9/15/2011	9/20/2011	Sale GOODYEAR TIRE RUBBER PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	-207	54.2552 11,230.61
PIA	Settled	9/15/2011	9/20/2011	Purchase TERADATA CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GT	-895	11.1007 9,934.94
PIA	Settled	9/15/2011	9/20/2011		TDC	131	51.1669 -6,706.79

PIA [REDACTED]	Settled	9/20/2011	Divd Reinv TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$70.19 REINV PRICE \$13.27/000 QUANTITY BOT 5.2890 AS OF 09/15	TGBAX	5		0
PIA [REDACTED]	Settled	9/16/2011	Purchase FLUOR CORP NEW DEL COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FLR	185	60,7838	-11,245.00
PIA [REDACTED]	Settled	9/16/2011	Purchase QUEST DIAGNOSTICS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DGX	228	49,02	-11,176.56
PIA [REDACTED]	Settled	9/16/2011	Sale JABIL CIRCUIT INC ACC CALL ZDOU1 JBL PER THE PIA AGREEMENT AS OF 09/16 UNSOLICITED ORDER	JBL	-700	16	11,199.78
PIA [REDACTED]	Settled	9/19/2011	Sale JABL CIRCUIT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JBL	-21	17,4769	367
PIA [REDACTED]	Settled	9/20/2011	Purchase ABBOTT LABS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ABT	171	50,2034	-8,584.78
PIA [REDACTED]	Settled	9/22/2011	Purchase DARDEN RESTAURANTS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DRI	195	43,947	-8,569.67
PIA [REDACTED]	Settled	9/22/2011	Sale VANGUARD FTSE ALL WORLD EX US PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VEU	-1,056	37,7914	39,906.95
PIA [REDACTED]	Settled	9/22/2011	Purchase JP MORGAN CHASE & CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JPM	63	29,29	-1,845.27

PIA [REDACTED]	Settled	9/22/2011	9/27/2011	Purchase MCDONALDS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MCD	100	85.5573	-8.555.73
PIA [REDACTED]	Settled	9/22/2011	9/27/2011	Purchase TARGET CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TGT	175	48.8503	-8.548.80
PIA [REDACTED]	Settled	9/27/2011	9/28/2011	Sale Sale CALL HES 00065.000 HESS CORP EXP 10-22-11 OPEN TRAN HES OCT 65.00000	HES112	-2	0.62	123.99
PIA [REDACTED]	Settled	9/27/2011	9/28/2011	Sale Sale CALL XLE 00070.000 SECTOR SPDR ENERGY EXP 11-19-11 OPEN TRAN XLE NOV 70.00000	XLE1119	-1	1.08	107.99
PIA [REDACTED]	Settled	9/27/2011	9/28/2011	Sale Div ReInv CALL MS 00018.000 MORGAN STANLEY EXP-11-19-11 OPEN TRAN MS NOV 18.00000	MS1119	-5	0.58	289.99
PIA [REDACTED]	Settled	9/29/2011		Div ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$110.46 REINV PRICE \$10.4800 QUANTITY BOT 10.5400 AS OF 09/28	AHIFX	10		0
PIA [REDACTED]	Settled	9/27/2011	9/30/2011	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	9	57.62	-518.58
PIA [REDACTED]	Settled	10/3/2011		Div ReInv TCW TOTAL RETURN BOND FD CL 1 AGENT REINV AMOUNT \$116.38 REINV PRICE \$9.87000 QUANTITY BOT 11.7910 AS OF 09/30	TGLMX	11		0
PIA [REDACTED]	Settled	10/3/2011		Div ReInv RS FLOATING RATE FUND CL 1 AGENT REINV AMOUNT \$66.01 REINV PRICE \$9.68000 QUANTITY BOT 16.8190 AS OF 09/30	RSFVX	6		0

PIA [REDACTED]	Settled	10/3/2011	Divd ReInv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$28.82 REINV PRICE	BPRIX	2	0
PIA [REDACTED]	Settled	10/3/2011	Divd ReInv OFFENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$97.13 REINV PRICE \$6.29000	OBYX	15	0
PIA [REDACTED]	Settled	10/3/2011	Divd ReInv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$34.69 REINV PRICE \$7.85000	SASFX	4	0
PIA [REDACTED]	Settled	10/3/2011	Divd ReInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$39.77 REINV PRICE \$8.83000	LFRFX	4	0
PIA [REDACTED]	Settled	10/3/2011	Divd ReInv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$31.43 REINV PRICE \$10.79000 QUANTITY BOT 2.9130 AS OF 09/30	PTTPX	2	0
PIA [REDACTED]	Settled	10/3/2011	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$11.52	BPRIX	1	0
PIA [REDACTED]	Settled	10/3/2011	Journal Entry SUNAMERICA SENIOR FLOATING RATE FUND CL A FULL SHARE ACCUM SHARE VALUE \$7.83	SASFX	1	0
PIA [REDACTED]	Settled	10/3/2011	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$10.80	PTTPX	1	0
PIA [REDACTED]	Settled	10/4/2011	Purchase CALL RRC 00070.000 RANGE RESOURCES CORP DEL EXP 10-22-11 CLOSE TRAN RRC OCT 70.00000	RRC12	2	0.5 -100

PIA	Settled	10/4/2011	10/5/2011	Purchase CALL HES 00065.000 HESS CORP EXP 10-22-11 CLOSE TRAN HES OCT 65.00000	HES112	2	0.07	-14
PIA	Settled	10/4/2011	10/5/2011	Sale CALL RRC 00060.000 RANGE RESOURCES CORP DEL EXP 11-19-11 OPEN TRAN RRC NOV 60.00000	RRC111	-2	3.4	679.99
PIA	Settled	10/4/2011	10/5/2011	Sale CALL XLE 00060.000 SECTOR SPDR ENERGY EXP 11-19-11 OPEN TRAN XLE NOV 60.00000	XLE111	-1	2.17	216.99
PIA	Settled	10/4/2011	10/5/2011	Purchase CALL XLE 00070.000 SECTOR SPDR ENERGY EXP 11-19-11 CLOSE TRAN XLE NOV 70.00000	XLE111	1	0.23	-23
PIA	Settled	10/4/2011	10/5/2011	Sale CALL MET 00030.000 METLIFE INC COM EXP 11-19-11 OPEN TRAN MET NOV 30.00000	MET111	-3	1.08	323.99
PIA	Settled	10/4/2011	10/5/2011	Sale CALL FITB 00011.000 FIFTH THIRD BANCORP EXP 11-19-11 OPEN TRAN FITB NOV 11.00000	FITB111	-13	0.55778	725.1
PIA	Settled	10/4/2011	10/5/2011	Sale CALL HES 00055.000 HESS CORP EXP 11-19-11 OPEN TRAN HES NOV 55.00000	HES111	-2	2.26	451.99
PIA	Settled	10/5/2011	10/5/2011	Sale CALL WDC 00031.000 WSTN DIGITAL CORP DEL EXP 11-19-11 OPEN TRAN WDC NOV 31.00000	WDC111	-4	0.58	231.99
PIA	Settled	10/4/2011	10/7/2011	Sale BAXTER INTERNTL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	BAX	-172	53.0466	9,123.84

PIA [REDACTED]	Settled	10/4/2011	10/7/2011	Purchase CSX CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CSX	459	18,0977	-8,306.84
PIA [REDACTED]	Settled	10/4/2011	10/7/2011	Purchase ISHARES GOLD TR PER THE PIA AGREEMENT EXECUTED 10% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IAU	1,033	16.13	-16,662.29
PIA [REDACTED]	Settled	10/4/2011	10/7/2011	Purchase ISHARES GOLD TR PER THE PIA AGREEMENT EXECUTED 10% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IAU	14	15.9167	-222.83
PIA [REDACTED]	Settled	10/5/2011	10/11/2011	Sale JOHNSON CONTROLS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JCI	-393	27.7662	10,911.91
PIA [REDACTED]	Settled	10/5/2011	10/11/2011	Purchase NOBLE CORP NAMEN-AKT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NE	374	28.671	-10,722.95
PIA [REDACTED]	Settled	10/6/2011	10/12/2011	Sale WALGREEN CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WAG	-317	33.1589	10,511.17
PIA [REDACTED]	Settled	10/6/2011	10/12/2011	Sale CALL MS 00019.000 MORGAN STANLEY EXP 01-21-12 OPEN TRAN MS JAN 19.00000	MS1221	-5	0.99	494.99
PIA [REDACTED]	Settled	10/10/2011	10/12/2011	Sale CALL IAU 00019.000 ISHARES GOLD TR EXP 01-21-12 OPEN TRAN IAU JAN 19.00000	IAU1221	-10	0.3	299.99
PIA [REDACTED]	Settled	10/10/2011	10/12/2011	Sale CALL NE 00034.000 NOBLE CORP NAMEN-AKT EXP 11-19-11 OPEN TRAN NE NOV 34.00000	NE1119	-3	0.81	242.99

PIA	Settled	10/10/2011	10/12/2011	Purchase CALL MS 00018.000 MORGAN STANLEY EXP 11-18-11 CLOSE TRAN MS NOV 18.00000		MS1119	5	0.62 -310
PIA	Settled	10/7/2011	10/13/2011	Purchase DOVER CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		DOV	45	49.969 -2,248.61
PIA	Settled	10/7/2011	10/13/2011	Purchase METRONIC INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		MDT	69	32.7268 -2,258.15
PIA	Settled	10/7/2011	10/13/2011	Purchase TERADATA CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT		TDC	69	53.9161 -3,720.21
PIA	Settled	10/7/2011	10/13/2011	Purchase NOVELLUS SYS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT		NMLS	179	30.4362 -5,448.08
PIA	Settled	10/1/2011	10/14/2011	Redeemed FEDERAL HOME LN MTG CORP CALLABLE NOTES 03.500% OCT 14 2011 CALLABLE NOTES 03.500% OCT 14 2011 PAY DATE 10/14/2011.		3128X7F	#####	20,000.00
PIA	Settled			Redeemed WACHOVIA CORPORATION GLB 05.300% OCT 15 2011 GLB 05.300% OCT 15 2011 PAY DATE 10/17/2011	929903C	#####		20,000.00
PIA	Settled	10/17/2011	10/18/2011	Sale CALL HES 00057.500 HESS CORP EXP 01-21-12 OPEN TRAN HES JAN 57.50000	HES122	-2	5,099.796	1,019.94
PIA	Settled	10/17/2011	10/18/2011	Purchase CALL HES 00055.000 HESS CORP EXP 11-18-11 CLOSE TRAN HES NOV 55.00000	HES111	2	4.52	-904

[REDACTED]								
PIA [REDACTED]	Settled	10/18/2011	10/19/2011	Purchase CALL WDC 00031.000 WSTN DIGITAL CORP DEL EXP 11-19-11 CLOSE TRAN WDC NOV 31.00000	WDC11	4	0.22	.88
PIA [REDACTED]	Settled	10/18/2011	10/19/2011	Sale CALL WDC 00026.000 WSTN DIGITAL CORP DEL EXP 10-22-11 OPEN TRAN WDC OCT 26.00000	WDC11	4	1.12	447.99
PIA [REDACTED]	Settled	10/17/2011	10/20/2011	Sale STANDARD&POORS DEP RPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	.77	121.92	9,387.66
PIA [REDACTED]	Settled			Divd ReInv TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$70.34 REINV PRICE \$12.94000 QUANTITY BOT 5,4360 AS OF 10/17	TGBAX	5		0
PIA [REDACTED]	Settled		10/20/2011	Journal Entry TEMPLETON GLB BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$12.88	TGBAX	1		0
PIA [REDACTED]	Settled	10/18/2011	10/21/2011	Sale RANGE RESOURCES CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	RCR	-60	71.9151	4,314.83
PIA [REDACTED]	Settled	10/20/2011	10/21/2011	Purchase FEDERAL HOME LOAN BANK CALLABLE BONDS 03.125% JUN 15 2018 PER THE PIA AGREEMENT CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST FIRST COUPON 12/15/11 YLD TO CALL 0.76% CALL DATE 6/15/12 CALL PRICE \$100.00. 126 DAYS INTEREST	3133743	25,000	101.53	-25,655.94
PIA [REDACTED]	Settled	10/19/2011	10/24/2011	Purchase MORGAN STANLEY GLB 05.300% MAR 01 2013 RATINGS ARE SUBJ. TO CHG PER THE PIA AGREEMENT MOODY'S A2 S&P A DETAILS UPON REQUEST YLD TO MATURITY 4.64% MATURITY DATE 3/01/13. 53 DAYS INTEREST	MS13A	25,000	100.849	-25,407.32
PIA [REDACTED]	Settled	10/19/2011	10/24/2011	Purchase SHARES S&P 100 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	OEF	320	55.5421	-17,773.47

PIA [REDACTED]	Settled	10/24/2011	Exercise Options CALL WDC 00026.000 WESTERN DIGITAL CORP SHS EXP 10-22-11 NOCC	WDC11	4		0
PIA [REDACTED]	Settled	10/24/2011	Sale WSTN DIGITAL CORP DEL A/C CALL ZV016 WDC PER THE PIA AGREEMENT AS OF 10/21 UNSOLICITED ORDER	WDC	-400	26	10,399.80
PIA [REDACTED]	Settled	10/25/2011	Sale CALL NVLS 00036.000 NOVELLUS SYS INC EXP 12-17-11 OPEN TRAN NVLS DEC 36.00000	NVLS11	-3	0.9	269.99
PIA [REDACTED]	Settled	10/25/2011	Sale CALL DGX 00060.000 QUEST DIAGNOSTICS INC EXP 11-19-11 OPEN TRAN DGX NOV 60.00000	DGX11	-2	0.6	119.99
PIA [REDACTED]	Settled	10/26/2011	Sale CALL LNC 00023.000 LINCOLN NTL CORP IND NPV EXP 12-17-11 OPEN TRAN LNC DEC 23.00000	LNC11	-5	0.44	219.99
PIA [REDACTED]	Settled	10/26/2011	Sale CALL OEF 00058.000 iSHARES S&P 100 INDEX EXP 11-19-11 OPEN TRAN OEF NOV 58.00000	OEF11	-5	0.45	224.99
PIA [REDACTED]	Settled	10/26/2011	Purchase NOVELLUS SYS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	NVLS	162	32.9175	-5,332.64
PIA [REDACTED]	Settled	10/25/2011	Purchase iSHARES S&P 100 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	OEF	234	56.0342	-13,112.00
PIA [REDACTED]	Settled	10/26/2011	Divid ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$101.41 REINV PRICE \$10,91000 QUANTITY BOT 9,2850 AS OF 10/28	AHIFX	9		0

PIA	Settled		10/31/2011	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$10.88	AHIFX	1		0
PIA	Settled		10/27/2011	Purchase HONEYWELL INT'L INC DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HON	83	54	-4,482.00
PIA	Settled		10/27/2011	Purchase SECTOR SPDR FINANCIAL PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLF	647	14.0678	-9,101.87
PIA	Settled		10/31/2011	Purchase PENNSYLVANIA MUTUAL FD FRAC SHR QUANTITY .668 FUND SUBJECT TO RED FEE, PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	PENNX	357	11.34	-4,055.96
PIA	Settled		10/31/2011	Divd ReInv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$70.28 REINV PRICE \$9.95000 QUANTITY BOT 7.0630 AS OF 10/31	RSFYX	7		0
PIA	Settled		11/1/2011	Divd ReInv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$90.29 REINV PRICE \$11.69000 QUANTITY BOT 7.7240 AS OF 10/31	BPRIX	7		0
PIA	Settled		11/1/2011	Divd ReInv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$89.30 REINV PRICE \$8.45000 QUANTITY BOT 13.8450 AS OF 10/31	OBYX	13		0
PIA	Settled		11/1/2011	Divd ReInv SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$33.55 REINV PRICE \$8.00000 QUANTITY BOT 4.1940 AS OF 10/31	SASFX	4		0
PIA	Settled		11/1/2011	Divd ReInv LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$41.46 REINV PRICE \$9.08000 QUANTITY BOT 4.5660 AS OF 10/31	LFRFX	4		0

[REDACTED]	Settled	11/1/2011	Divd RInv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$31.36 REINV PRICE \$10.91000 QUANTITY BOT 2,8740 AS OF 10/31	PTTPX	2		0
[REDACTED]	Settled	11/1/2011	Journal Entry RS FLOATING RATE FUND CL Y FULL SHARE ACCUM SHARE VALUE \$9.91	RSFYX	1		0
[REDACTED]	Settled	11/1/2011	Journal Entry OPFENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.40	OIBYX	1		0
[REDACTED]	Settled	11/1/2011	Journal Entry LORD ABBETT FLOATING RATE FUND CL F FULL SHARE ACCUM SHARE VALUE \$9.04	LFRFX	1		0
[REDACTED]	Settled	11/1/2011	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$10.95	PTTPX	1		0
[REDACTED]	Settled	11/1/2011	Purchase CALL DGX 00060.000 QUEST DIAGNOSTICS INC EXP 11-19-11 CLOSE TRAN DGX NOW 60.00000	DGX11	2	0.15	-30
[REDACTED]	Settled	11/2/2011	Divd RInv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$17.03 REINV PRICE \$9.78000 QUANTITY BOT 11.9060 AS OF 11/01	TGLMX	11		0
[REDACTED]	Settled	11/2/2011	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.78	TGLMX	1		0
[REDACTED]	Settled	10/31/2011	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IJH	68	89.32	-6,073.76

				Purchase HONEYWELL INTL INC DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
PIA	Settled	10/31/2011	11/3/2011	Sale BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .055 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	HON	87	52.9149	-4,603.60
PIA	Settled	11/2/2011	11/3/2011	Purchase EATON CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	BPRIX	-110	11.82	1,300.85
PIA	Settled	11/1/2011	11/4/2011	Sale POWERSHARES BUILD AMERIC BOND ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ETN	197	45.0841	-8,881.57
PIA	Settled	11/2/2011	11/7/2011	Exec Options CALL MET 00030.000 METLIFE INC SHS EXP 11-19-11 NOCC	BAB	-211	28.3	5,971.19
PIA	Settled			Sale AMAZON COM INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	MET111	3		0
PIA	Settled	11/3/2011	11/8/2011	Purchase CARMAX INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AMZN	.53	215.6052	11,426.86
PIA	Settled	11/3/2011	11/8/2011	Sale FIFTH THIRD BANCORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	KMX	182	30.5644	-5,562.72
PIA	Settled	11/3/2011	11/8/2011	Sale WSTN DIGITAL CORP DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FTB	-77	11.7104	901.68
					WDC	-20	27.6659	553.31

PIA [REDACTED]	Settled	11/4/2011	11/9/2011	Purchase AMERICAN TOWER CORP CL A PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT				
PIA [REDACTED]	Settled	11/4/2011	11/9/2011	Purchase CAPITAL ONE FINL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT			COF	122 46 -5,612.00
PIA [REDACTED]	Settled	11/4/2011	11/9/2011	Purchase DISCOVER FINL SVCS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT			DFS	232 24.15 -5,602.80
PIA [REDACTED]	Settled	11/7/2011	11/9/2011	Sale METLIFE INC COM ACC CALL ZDRA1 MET PER THE PIA AGREEMENT AS OF 11/04 UNSOLICITED ORDER	MET	-300	30	8,999.83
PIA [REDACTED]	Settled	11/7/2011	11/10/2011	Sale METLIFE INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MET	-4	34.1	136.39
PIA [REDACTED]	Settled	11/8/2011	11/14/2011	Sale METLIFE INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MET	-35	34.2	1,196.98
PIA [REDACTED]	Settled	11/11/2011	11/16/2011	Sale ISHARES TR DOW JONES US PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IHI	-229	58.861	13,480.08
PIA [REDACTED]	Settled	11/11/2011	11/16/2011	Purchase ISHARES S&P 100 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	OEF	234	57.0597	-13,351.97
PIA [REDACTED]	Settled	11/16/2011	11/17/2011	Purchase RSLOW DURATION BOND FD CL Y FRAC SHR QUANTITY .763 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES FOR INFORMATION, SEE THE PROSPECTUS	RSDYX	1,462	10.22	-14,949.44

PIA [REDACTED]	Settled	11/18/2011	Direc Renv TEMPLETON GLBL BOND FD ADV CL AGENT REINV AMOUNT \$70.63 REINV PRICE \$12.81000 QUANTITY BOT 5.5140 AS OF 11/15	TGBAX	5		0
PIA [REDACTED]	Settled	11/18/2011	Purchase CALL NE 00034.000 NOBLE CORP NAMENAKT EXP 11-18-11 CLOSE TRAN NE NOV 34.00000	NE1119	3	1.212092	-363.63
PIA [REDACTED]	Settled	11/21/2011	Exerc Options CALL RRC 000000.000 RANGE RESOURCES CORP SHS EXP 11-19-11 NOCC	RRC111	2		0
PIA [REDACTED]	Settled	11/21/2011	Exerc Options CALL XLE 00060.000 SELECT SECTOR SPDR TRUST EXP 11-19-11 NOCC	XLE1116	1		0
PIA [REDACTED]	Settled	11/21/2011	Expired Options CALL OEF 00058.000 iSHARES TRUST SHS S&P 10 EXP 11-19-11 NOCC	OEF111	5		0
PIA [REDACTED]	Settled	11/21/2011	Exerc Options CALL FITB 00011.000 FIFTH THIRD BANCORP SHS EXP 11-19-11 NOCC	FITB111	13		0
PIA [REDACTED]	Settled	11/17/2011	Purchase CAPITAL ONE FINL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	COF	143	41.4636	-5.929.29
PIA [REDACTED]	Settled	11/23/2011	Sale FIFTH THIRD BANCORP A/C CALL ZG988 FITB PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE AS OF 11/18 UNSOLICITED ORDER	FITB	-1,300	11	14,299.73
PIA [REDACTED]	Settled	11/21/2011	Sale RANGE RESOURCES CORP DEL A/C CALL V2Y18 RRC PER THE PIA AGREEMENT AS OF 11/18 UNSOLICITED ORDER	RRC	-200	60	11,999.77

				Sale SECTOR SPDR ENERGY A/C CALL V6M/E7 XLE PER THE PIA AGREEMENT AS OF 11/18 UNSOLICITED	XLE	-100	60	5,999.88
PIA	Settled	11/21/2011	11/23/2011	Purchase CALL LNC 00023.000 LINCOLN NTL CORP IND NPV EXP 12-17-11 CLOSE TRAN LNC DEC 23.00000	LNC111	5	0.06	-30
PIA	Settled	11/23/2011	11/25/2011	Purchase BAXTER INTERNTL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	BAX	132	48.8849	-6,452.81
PIA	Settled	11/22/2011	11/28/2011	Divd Rtnv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$101.44 REINV PRICE \$10.48000 QUANTITY BOT 9.6790 AS OF 11/28	AHIFX	9		0
PIA	Settled	11/29/2011	12/1/2011	Sale CALL EMR 00055.000 EMERSON ELEC CO EXP 01-21-12 OPEN TRAN EMR JAN 55.00000	EMR122	-2	0.9	179.88
PIA	Settled	11/30/2011	12/1/2011	Divd Rtnv TCW TOTAL RETURN BOND FD CL AGENT REINV AMOUNT \$117.69 REINV PRICE \$9.70000 QUANTITY BOT 12.1330 AS OF 11/30	TGLMX	12		0
PIA	Settled	12/1/2011	12/1/2011	Divd Rtnv RS FLOATING RATE FUND CL Y AGENT REINV AMOUNT \$69.83 REINV PRICE \$9.82000 QUANTITY BOT 7.0910 AS OF 11/30	RSFYX	7		0
PIA	Settled	12/1/2011	12/1/2011	Divd Rtnv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$53.94 REINV PRICE \$11.72000 QUANTITY BOT 4.6020 AS OF 11/30	BPRIX	4		0
PIA	Settled	12/1/2011	12/1/2011	Divd Rtnv OPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$91.50 REINV PRICE \$6.29000 QUANTITY BOT 14.5630 AS OF 11/30	OIBYX	14		0

PIA	Settled		12/1/2011	DIV R DIV SUNAMERICA SENIOR FLOATING RATE FUND CL A AGENT REINV AMOUNT \$32.04 REINV PRICE \$7.94000	SASFX	4		0
PIA	Settled		12/1/2011	DIV R DIV LORD ABBETT FLOATING RATE FUND CL F AGENT REINV AMOUNT \$39.12 REINV PRICE \$8.97000	LFRFX	4		0
PIA	Settled		12/1/2011	DIV R DIV PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$24.13 REINV PRICE \$10.78000 QUANTITY BOT 3.1660 AS OF 11/30	PTTPX	3		0
PIA	Settled		12/1/2011	Journal Entry BLACKROCK INFLATION PROTECTED BD FD INST CL FULL SHARE ACCUM SHARE VALUE \$11.69	BPRIX	1		0
PIA	Settled		12/1/2011	OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.31	OBYX	1		0
PIA	Settled		12/1/2011	Sale CALL DGX 00060.000 QUEST DIAGNOSTICS INC EXP 12-17-11 OPEN TRAN DGX DEC 60.00000	DGX11	-2	0.6	119.99
PIA	Settled		12/2/2011	Purchase VANGUARD FINANCIALS ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VFH	340	27.5171	-9.35581
PIA	Settled		12/2/2011	Purchase ISHARES S&P 100 INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	OEF	12	56.66	-679.92
PIA	Settled		12/2/2011	Purchase TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NTG	291	25.0637	-7.293.54

PIA	Settled	12/2/2011	12/7/2011	Sale SECTOR SPDR FINANCIAL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLF	-647	13.1056	8,479.16
PIA	Settled	12/6/2011	12/7/2011	Purchase CALL IAU 00019,000 ISHARES GOLD TR EXP 01-21-12 CLOSE TRAN IAU JAN 19,00000	IAU1224	10	0.088541	-88.54
PIA	Settled	12/5/2011	12/8/2011	Purchase ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APC	70	82.05	-5,743.50
PIA	Settled	12/5/2011	12/8/2011	Purchase BAXTER INTERNL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	BAX	40	51.9764	-2,079.06
PIA	Settled	12/5/2011	12/8/2011	Purchase TORTOISE MLP FD INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NTG	67	25.1	-1,681.70
PIA	Settled	12/5/2011	12/8/2011	Sale SECTOR SPDR ENERGY PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLE	-72	71.6253	5,156.92
PIA	Settled	12/5/2011	12/8/2011	Purchase VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	252	22.9835	-5,791.84
PIA	Settled	12/5/2011	12/8/2011	Purchase MORGAN ST DEAN WITTER GLB 06.600% APR 01 2012 RATINGS ARE SUBJ TO CHG PER THE PIA AGREEMENT MOODY'S A2 S&P A- DETAILS UPON REQUEST YLD TO MATURITY 4.16% MATURITY DATE 4/01/12 68 DAYS INTEREST	MS12	6,000	100.732	-6,118.72
PIA	Settled	12/6/2011	12/9/2011	Sale DARDEN RESTAURANTS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DRI	-195	43.02	8,388.74

				Purchase MARATHON PETROLEUM CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MPC	189	34.5102	-5.832.22
PIA	Settled	12/6/2011	12/9/2011	Divid DivInv PENNSYLVANIA MUTUAL FD AGENT REINV AMOUNT \$59.94 REINV PRICE \$10.62000 QUANTITY BOT	PENN	5		0
PIA	Settled			Divid DivInv PENNSYLVANIA MUTUAL FD AGENT REINV AMOUNT \$1173.19 REINV PRICE \$10.62000 QUANTITY BOT 110.4700 AS OF 12/08	PENN	110		0
PIA	Settled			Journal Entry PENNSYLVANIA MUTUAL FD FULL SHARE ACCUM SHARE VALUE \$10.91	PENN	1		0
PIA	Settled	12/7/2011	12/1/2011	Sale CENTURYLINK INC SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CTL	49	36.3365	1,780.46
PIA	Settled	12/7/2011	12/1/2011	Sale EMC CORPORATION MASS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EMC	37	23.3429	863.67
PIA	Settled	12/7/2011	12/1/2011	Sale JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	-80	42.606	3,834.47
PIA	Settled	12/7/2011	12/1/2011	Sale MICROSOFT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	MSFT	-96	25.5525	2,452.99
PIA	Settled	12/9/2011	12/1/2011	Sale CALL MRO 00028.000 MARATHON OIL CORP EXP 12/17/11 OPEN TRAN MRO DEC 28.00000	MRO	111	4	0.61
								243.99

PIA	Settled	12/9/2011	12/1/2/2011	Sale CALL OEF 00059.000 ISHARES S&P 100 INDEX EXP 01-21-12 OPEN TRAN OEF JAN 59.00000	OEF122	-8	0.626824	501.45
PIA	Settled	12/1/2011	12/1/3/2011	Purchase CALL DGX 00060.000 QUEST DIAGNOSTICS INC EXP 12-17-11 CLOSE TRAN DGX DEC 60.00000	DGX11	2	0.05	-10
PIA	Settled	12/9/2011	12/1/4/2011	Purchase ISHARE BARLCYS 20+ YR TREAS INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TLT	50	116.66	-5,833.00
PIA	Settled	12/9/2011	12/1/4/2011	Sale GENERAL MILLS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GIS	-249	40.3825	10,050.07
PIA	Settled	12/9/2011	12/1/4/2011	Purchase EMERGING GLOBAL SHARES DOW JONES PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ECON	173	22.12	-3,826.76
PIA	Settled	12/9/2011	12/1/4/2011	Purchase SECTOR SPDR CONSMRS SPL PER THE PIA AGREEMENT EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XLP	553	31.96	-17,673.88
PIA	Settled	12/9/2011	12/1/4/2011	Sale TARGET CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TGT	-175	53.674	9,392.32
PIA	Settled	12/1/5/2011		Dvd ReInv FIRST EAGLE OVERSEAS CLI AGENT REINV AMOUNT \$1301.20 REINV PRICE \$20.56000 QUANTITY BOT 63.2880 AS OF 12/13	SGOIX	63	0	0
PIA	Settled	12/1/5/2011		Dvd ReInv FIRST EAGLE OVERSEAS CLI AGENT REINV AMOUNT \$1839.53 REINV PRICE \$20.56000 QUANTITY BOT 89.4710 AS OF 12/13	SGOIX	89	0	0

PIA	Settled	12/15/2011	Distr ReInv FIRST EAG OVERSEAS I AGENT REINV AMOUNT \$2.88 REINV PRICE \$20.56000 QUANTITY BOT .1400 AS OF 12/13	SGOIX			0
PIA	Settled	12/15/2011	Journal Entry FIRST EAGLE OVERSEAS CL 1 FULL SHARE ACCUM SHARE VALUE \$20.27	SGOIX	1		0
PIA	Settled	12/14/2011	Sale ISHARE BARLCYS 20+ YR TREAS INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TLT	-50	121.25152	6.06246
PIA	Settled	12/16/2011	Purchase CALL MRO 00028.000 MARATHON OIL CORP EXP 12-17-11 CLOSE TRAN MRO DEC 28.00000	MRO111	4	0.02	-8
PIA	Settled	12/16/2011	Sale CALL MRO 00029.000 MARATHON OIL CORP EXP 01-21-12 OPEN TRAN MRO JAN 28.00000	MRO122	4	0.56	223.99
PIA	Settled	12/19/2011	Exerc Options CALL NMLS 00036.000 NOVELLUS SYSTEMS INC SHS EXP 12-17-11 NOCC	NMLS11	3		0
PIA	Settled	12/19/2011	Sale NOVELLUS SYS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	NMLS	-41	42.1474	1,728.01
PIA	Settled	12/19/2011	Purchase CALL MS 00019.000 MORGAN STANLEY EXP 01-21-12 CLOSE TRAN MS JAN 19.00000	MS1221	5	0.07	-35
PIA	Settled	12/20/2011	Distr ReInv TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$336.29 REINV PRICE \$12.26000 QUANTITY BOT 27.4300 AS OF 12/15	TEGBAX	27		0

				Divid ReInv TEMPLETON GBL BOND FD ADV CL AGENT REINV AMOUNT \$106.31 REINV PRICE \$12.26000 QUANTITY	TGBAX	8	0
PIA	Settled		12/20/2011	Journal Entry TEMPLETON GBL BOND FD ADV CL FULL SHARE ACCUM SHARE VALUE \$12.39	TGBAX	1	0
PIA	Settled		12/20/2011	Sale NOVELLUS SYS INC A/C CALL V96L8 NVLS PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE AS OF 12/16 UNSOLICITED ORDER	NVLS	-300	36 10,799.79
PIA	Settled		12/19/2011				
PIA	Settled	12/20/2011	12/21/2011	Purchase CALL EMR 00055.000 EMERSON ELEC CO EXP 01-21-12 CLOSE TRAN EMR JAN 55.00000	EMR122	2	0.1 -20
PIA	Settled	12/22/2011	12/23/2011	Sale CALL MS 00017.500 MORGAN STANLEY EXP 01-21-12 OPEN TRAN MS JAN 17.50000	MS1221	-5	0.344275 172.13
PIA	Settled		12/23/2011	Divd ReInv BLACKROCK INFLATION PROTECTED BD FD INST CL AGENT REINV AMOUNT \$130.12 REINV PRICE \$11.73000 QUANTITY BOT 11.0930 AS OF 12/21	BPRIX	11	0
PIA	Settled		12/23/2011	Sale EMC CORPORATION MASS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EMC	-500	21.6892 10,844.39
PIA	Settled	12/23/2011	12/29/2011	Purchase ISHARES TR RUSSELL 2000 INDEX FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IWM	149	74.43 -11,090.07
PIA	Settled	12/23/2011	12/29/2011	Divd ReInv PIMCO TOTAL RETURN FUND CL P AGENT REINV AMOUNT \$71.10 REINV PRICE \$10.83000 QUANTITY BOT 6.5850 AS OF 12/28	PTPX	6	0
PIA	Settled		12/29/2011				

P/A [REDACTED]	Settled	12/29/2011	Divid ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$104.49 REINV PRICE \$10.65000 QUANTITY BOT 9.810 AS OF 12/28	AHIFX	9		0
P/A [REDACTED]	Settled	12/29/2011	Journal Entry PIMCO TOTAL RETURN FUND CL P FULL SHARE ACCUM SHARE VALUE \$10.84	PTTPX	1		0
P/A [REDACTED]	Settled	12/29/2011	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$10.66	AHIFX	1		0
P/A [REDACTED]	Settled	12/30/2011	Divid ReInv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$118.35 REINV PRICE \$9.65000 QUANTITY BOT 12.2640 AS OF 12/29	TGLMX	12		0
P/A [REDACTED]	Settled	12/30/2011	Divid ReInv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$26.90 REINV PRICE \$9.65000 QUANTITY BOT 2.7880 AS OF 12/29	TGLMX	2		0
P/A [REDACTED]	Settled	12/30/2011	Divid ReInv TCW TOTAL RETURN BOND FD CL I AGENT REINV AMOUNT \$21.52 REINV PRICE \$9.65000 QUANTITY BOT 2.2300 AS OF 12/29	TGLMX	2		0
P/A [REDACTED]	Settled	12/30/2011	Divid ReInv OPPENHEIMER INTERNATIONAL BOND FD Y AGENT REINV AMOUNT \$466.28 REINV PRICE \$6.19000 QUANTITY BOT 75.3280 AS OF 12/29	OBYX	75		0
P/A [REDACTED]	Settled	12/30/2011	Divid ReInv AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 AGENT REINV AMOUNT \$28.52 REINV PRICE \$10.65000 QUANTITY BOT 2.6780 AS OF 12/28	AHIFX	2		0
P/A [REDACTED]	Settled	12/30/2011	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.84	TGLMX	1		0

P/A [REDACTED]	Settled	12/30/2011	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.64	TGLMX	1	0
P/A [REDACTED]	Settled	12/30/2011	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$10.66	AHIFX	1	0

DAVID PHILLIP ROE

SCHEDULE 5

Total Vested Balance

\$141,691.83 \$183,915.65 \$12,122.90

## Your Account Activity

Statement Period: 01/01/2011 to 12/31/2011

Use this section as a summary of transactions that occurred in your account during the statement period.

### Detailed Transaction History

Activity	Drey S&P Cap Stk Idx	Drey Basic S&P 500	Htd Capital Appr R5	Bkrk Cap Appr I
Beginning Balance	\$2,476.85	\$1,308.14	\$90,695.37	\$64,947.46
Administrative Fees	-\$2.97	-\$1.59	-\$101.75	-\$98.89
Change in Market Value	\$20.29	\$25.84	-\$13,583.00	-\$7,495.72
Ending Balance	\$2,494.17	\$1,332.39	\$77,010.62	\$77,352.85
Dividends & Interest	\$102.24	\$24.55	\$1,610.75	\$0.00

Activity	Mainstay MAP I FTD Ret Govt MM	FA Mid Cap II I	FA Intl Discovery I
Beginning Balance	\$2,312.66	\$47,242.47	\$1,809.27
Administrative Fees	-\$2.79	-\$56.67	-\$2.13
Change in Market Value	-\$46.23	\$4.76	-\$186.54
Ending Balance	\$2,263.64	\$47,190.56	\$1,620.60
Dividends & Interest	\$32.04	\$4.76	\$0.00
Activity	FA GOV Income Inst	Total	
Beginning Balance	\$53,331.57	\$368,035.18	
Administrative Fees	-\$66.51	-\$430.03	
Change in Market Value	\$4,154.98	-\$29,874.77	
Ending Balance	\$57,420.04	\$337,730.38	✓
Dividends & Interest	\$2,410.45	\$5,236.55	✓

Questions? Call (800) 294-4015

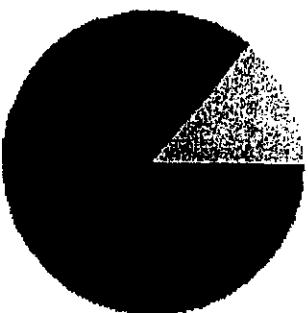
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- 69.03% Stock Investments: \$233,119.78
- 17.00% Bond Investments: \$57,420.04
- 13.97% Short-Term Investments: \$47,190.56

Your account is allocated among the asset classes specified above as of 12/31/2011. Percentages and totals may not be exact due to rounding.

## Market Value of Your Account

Statement Period: 01/01/2011 to 12/31/2011

Displayed in this section is the value of your account for the statement period, in both shares and dollars.

Investment	Shares as of 12/31/2010	Shares as of 12/31/2011	Price as of 12/31/2010	Price as of 12/31/2011	Market Value as of 12/31/2010	Market Value as of 12/31/2011
<b>Stock Investments</b>						
Large Cap					\$267,462.14	\$233,119.78
Block Cap Apr 1	3,572.23	3,587.938	\$23.78	\$21.88	\$84,847.48	\$77,352.85
Drey Basic S&P 500	50,980	51,444	\$25.67	\$25.70	\$1,308.14	\$1,332.39
Htd Capital Apr R5	2,423.714	2,472.251	\$37.42	\$31.15	\$90,695.37	\$77,010.62
Mainsay M&P 1	72,487	73,447	\$31.90	\$30.82	\$2,312.66	\$2,263.84
Mid-Cap						
FA Mid Cap II	99,849	99,729	\$18.12	\$16.25	\$1,808.27	\$1,620.60
Small Cap						
Drey S&P Cap Stk Ind	121,285	128,351	\$20.42	\$19.74	\$2,476.85	\$2,484.17
International						
FA Int'l Diversified	7,521.54	7,577.240	\$17.00	\$17.50	\$121,011.20	\$124,745.41