

NAME: MICHAEL T. MCCAUL

OFFICE TELEPHONE: (202) 225-2401

X
Member of the U.S. House of Representatives
TEXAS
10

File an original and 2 copies

Officer or Employee

File an original and 1 copy

Did you purchase any shares that were allocated as a part of an Initial Public Offering? ☐ ☒

☐ Yes ☒ No

If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending.

☒ Initial Report ☐ Amendment

Date of Report Being Amended:

U.S. HOUSE OF REPRESENTATIVES
ME
(For Official Use Only)

2017 JUN 15 PM 12:08

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A \$200 penalty shall be assessed against anyone who files more than 30 days late.

[illegible]

NAME:
MICHAEL MCCAULI

FULL ASSET NAME				Type of transaction	Date of Transaction	Date Notified of Transaction	Amount of Transaction											
Provide full name, not ticker symbol				PURCHASE	SALE	EXCHANGE	(MMDDYY)	(MMDDYY)	A	B	C	D	E	F	G	H	I	J
SP	LLM FAMILY INVESTMENTS LP (12.1192% INTEREST)								\$1,000-\$15,000									
SP	WELLS FARGO & CO	X			05/30/2017	6/6/2017						X						
SP	BERKSHIRE HATHAWAY INC	X			05/17/2017	6/6/2017						X						
SP	WELLS FARGO & CO	X			05/17/2017	6/6/2017						X						
SP	MICROSOFT CORP	X			05-04-2017	6/6/2017							X					
SP	REGENERON PHARMACEUTICALS	X			05-03-2017	6/6/2017							X					
SP	ROCKWELL COLLINS	X			05-15-2017	6/6/2017							X					
SP	ABBOTT LABS	X			05-15-2017	6/6/2017							X					
SP	REGENERON PHARMACEUTICALS	X			05-08-2017	6/6/2017							X					
SP	PAYPAL HOLDINGS INC	X			05-02-2017	6/6/2017							X					
SP	FACEBOOK INC	X			05-31-2017	6/6/2017							X					
SP	KANSAS CITY SOUTHERN	X			05-12-2017	6/6/2017							X					
SP	WELLS FARGO & CO	X			05/22/2017	6/6/2017							X					
SP	HALLIBURTON CO	X			05/25/2017	6/6/2017							X					
SP	SCHWAB CHARLES CORP NEW	X			05-05-2017	6/6/2017							X					
SP	MICROSOFT CORP	X			05-05-2017	6/6/2017							X					
SP	MICROSOFT CORP	X			05-31-2017	6/6/2017								X				
SP	SCHWAB CHARLES CORP NEW	X			05-04-2017	6/6/2017												
SP	ROCKWELL COLLINS	X			05-16-2017	6/6/2017												
SP	Nabors Industries Ltd	X			05/04/2017	6/6/2017												
SP	ABBOTT LABS	X			05-16-2017	6/6/2017												
SP	SIRIUS XM HLDGS INC	X			05-04-2017	6/6/2017												
SP	ALEXION PHARMACEUTICALS INC	X			05-30-2017	6/6/2017												
SP	Superior Energy Services, Inc.	X			05/01/2017	6/6/2017												
SP	CHMAREX ENERGY CO	X			05/25/2017	6/6/2017												
SP	MICROSOFT CORP	X			05-16-2017	6/6/2017												
SP	INDIANA TRANSN FINAULTH HWY REV RFDGAP APPREC SER A	X			05/09/2017	6/6/2017												
SP	ZOETIS INC	X			05/18/2017	6/6/2017												
SP	DANAHER CORP	X			05/30/2017	6/6/2017												
SP	ZOETIS INC	X			05/03/2017	6/6/2017												
SP	STARBUCKS CORP	X			05/26/2017	6/6/2017												
SP	Intuit Inc	X			05/18/2017	6/6/2017												
SP	Intuit Inc	X			05/01/2017	6/6/2017												
SP	TJX Companies	X			05/18/2017	6/6/2017												
SP	ALEXION PHARMACEUTICALS INC	X			05/11/2017	6/6/2017												
SP	ZOETIS INC	X			05/30/2017	6/6/2017												

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FULL ASSET NAME					Type of Transaction	Date of Transaction	Date Notified of Transaction	Amount of Transaction									
Provide full name, not ticker symbol								A	B	C	D	E	F	G	H	I	J
JT						PURCHASE	(MM/DD/YY)	(MM/DD/YY)	\$1,000-\$15,000								
SP						SALE				\$15,001-\$50,000							
DC						EXCHANGE			\$50,001-\$100,000								
SP	State National Companies	X			05/09/2017	6/6/2017	X										
SP	A O SMITH CORP	X			05/09/2017	6/6/2017	X										
SP	TRIPADVISOR INC	X			05/04/2017	6/6/2017	X										
SP	ZOETIS INC	X			05/12/2017	6/6/2017	X										
SP	TRIPADVISOR INC	X			05/15/2017	6/6/2017	X										
SP	FACEBOOK INC	X			05/28/2017	6/6/2017	X										
SP	ZOETIS INC	X			05/08/2017	6/6/2017	X										
SP	PAYPAL HOLDINGS	X			05/26/2017	6/6/2017	X										
SP	OHIO HSG FIN AGY SINGLE FAMILY MTG REV SER 1	X			05/01/2017	6/6/2017	X										
SP	ZOETIS INC	X			05/09/2017	6/6/2017	X										
SP	ANSYS INC	X			05/18/2017	6/6/2017	X										
SP	MURPHY USA	X			05/17/2017	6/6/2017	X										
SP	FLEETCOR TECHNOLOGIES INC	X			05/03/2017	6/6/2017	X										
SP	Simpson Manufacturing Co	X			05/05/2017	6/6/2017	X										
SP	FORTIVE CORP	X			05/30/2017	6/6/2017	X										
SP	TJX Companies	X			05/03/2017	6/6/2017	X										
SP	ALEXION PHARMACEUTICALS INC	X			05/23/2017	6/6/2017	X										
SP	Simpson Manufacturing Co	X			05/12/2017	6/6/2017	X										
SP	TRIPADVISOR INC	X			05/23/2017	6/6/2017	X										
SP	ANSYS INC	X			05/09/2017	6/6/2017	X										
SP	National Bank Holdings	X			05/22/2017	6/6/2017	X										
SP	DEXCOM INC	X			05/23/2017	6/6/2017	X										
SP	MCGRATH RENT	X			05/17/2017	6/6/2017	X										
SP	Simpson Manufacturing Co	X			05/09/2017	6/6/2017	X										
SP	DELTC TIMBER CORP	X			05/26/2017	6/6/2017	X										
SP	DELTC TIMBER CORP	X			05/17/2017	6/6/2017	X										
SP	National Bank Holdings	X			05/18/2017	6/6/2017	X										
SP	National Bank Holdings	X			05/24/2017	6/6/2017	X										
SP	Simpson Manufacturing Co	X			05/10/2017	6/6/2017	X										
SP	MURPHY USA	X			05/19/2017	6/6/2017	X										
SP	Simpson Manufacturing Co	X			05/04/2017	6/6/2017	X										
SP	MCGRATH RENT	X			05/16/2017	6/6/2017	X										
SP	National Bank Holdings	X			05/17/2017	6/6/2017	X										
SP	State National Companies	X			05/17/2017	6/6/2017	X										
SP	MURPHY USA	X			05/16/2017	6/6/2017	X										
SP	DELTC TIMBER CORP	X			05/25/2017	6/6/2017	X										

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FULL ASSET NAME		Type of transaction	Date of Transaction	Date Modified of Transaction	Amount of Transaction											
JT SP DC		PURCHASE	SALE	EXCHANGE	(MM/DD/YY)	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J
Provide full name, not ticker symbol							\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000
SP	MCGRATH RENT	X			05/18/2017	6/6/2017	X									
SP	LORAL SPACE & COMMUNICATIONS	X			05/17/2017	6/6/2017	X									
SP	LORAL SPACE & COMMUNICATIONS	X			05/30/2017	6/6/2017	X									
SP	MCGRATH RENT	X			05/18/2017	6/6/2017	X									
SP	State National Companies	X			05/22/2017	6/6/2017	X									
SP	State National Companies	X			05/16/2017	6/6/2017	X									
SP	LORAL SPACE & COMMUNICATIONS	X			05/16/2017	6/6/2017	X									
SP	National Bank Holdings	X			05/23/2017	6/6/2017	X									
SP	HOWARD BANCORP INC	X			05/25/2017	6/6/2017	X									
SP	HOWARD BANCORP INC	X			05/26/2017	6/6/2017	X									
SP	LORAL SPACE & COMMUNICATIONS	X			05/22/2017	6/6/2017	X									
SP	DELTIC TIMBER CORP	X			05/16/2017	6/6/2017	X									
SP	State National Companies	X			05/26/2017	6/6/2017	X									
SP	LORAL SPACE & COMMUNICATIONS	X			05/25/2017	6/6/2017	X									
SP	National Bank Holdings	X			05/19/2017	6/6/2017	X									
SP	State National Companies	X			05/23/2017	6/6/2017	X									
SP	State National Companies	X			05/19/2017	6/6/2017	X									
SP	LORAL SPACE & COMMUNICATIONS	X			05/18/2017	6/6/2017	X									
SP	LORAL SPACE & COMMUNICATIONS	X			05/18/2017	6/6/2017	X									
SP	HOWARD BANCORP INC	X			05/24/2017	6/6/2017	X									
SP	REGIS CORP	X			05/05/2017	6/6/2017	X									
SP	REGIS CORP	X			05/18/2017	6/6/2017	X									
SP	WABTEC	X			05/08/2017	6/6/2017	X									
SP	REGIS CORP	X			05/08/2017	6/6/2017	X									
SP	REGIS CORP	X			05/02/2017	6/6/2017	X									
SP	REGIS CORP	X			05/24/2017	6/6/2017	X									
SP	Addus Homecare Corporation	X			05/15/2017	6/6/2017	X									
SP	KADANT INC	X			05/16/2017	6/6/2017	X									
SP	REGIS CORP	X			05/22/2017	6/6/2017	X									
SP	REGIS CORP	X			05/04/2017	6/6/2017	X									
SP	GREEN PLAINSPARTNERS LP	X			05/01/2017	6/6/2017	X									
SP	Addus Homecare Corporation	X			05/16/2017	6/6/2017	X									
SP	KADANT INC	X			05/23/2017	6/6/2017	X									
SP	KADANT INC	X			05/12/2017	6/6/2017	X									
SP	REGIS CORP	X			05/01/2017	6/6/2017	X									
SP	REGIS CORP	X			05/01/2017	6/6/2017	X									
SP	REGIS CORP	X			05/09/2017	6/6/2017	X									

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Provide full name, not ticker symbol					\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000
SP	REGIS CORP	X	05/17/2017	6/6/2017	X									
SP	REGIS CORP	X	05/10/2017	6/6/2017	X									
SP	REGIS CORP	X	05/11/2017	6/6/2017	X									
SP	STARBUCKS CORP	X	05/03/2017	6/6/2017	X									
SP	KMG CHEMICALS INC	X	05/04/2017	6/6/2017	X									
SP	Capital Bank Financial	X	05/19/2017	6/6/2017	X									
SP	Acuity Brands, Inc	X	05/08/2017	6/6/2017	X									
SP	Alphabet Inc	X	05/09/2017	6/6/2017	X									
SP	Capital Bank Financial	X	05/31/2017	6/6/2017	X									
SP	AKAMAI TECHNOLOGIES INC	X	05/18/2017	6/6/2017	X									
SP	AKAMAI TECHNOLOGIES INC	X	05/09/2017	6/6/2017	X									
SP	AKAMAI TECHNOLOGIES INC	X	05/24/2017	6/6/2017	X									
SP	VISA INC	X	05/03/2017	6/6/2017	X									
SP	INTUITIVE SURGICAL INC	X	05/03/2017	6/6/2017	X									
SP	AKAMAI TECHNOLOGIES INC	X	05/23/2017	6/6/2017	X									
SP	AMAZON.COM INC	X	05/11/2017	6/6/2017	X									
SP	AKAMAI TECHNOLOGIES INC	X	05/12/2017	6/6/2017	X									
SP	AKAMAI TECHNOLOGIES INC	X	05/08/2017	6/6/2017	X									
SP	KMG CHEMICALS INC	X	05/01/2017	6/6/2017	X									
SP	ALEXION PHARMACEUTICALS INC	X	05/26/2017	6/6/2017	X									
SP	ALEXION PHARMACEUTICALS INC	X	05/26/2017	6/6/2017	X									
SP	ALEXION PHARMACEUTICALS INC	X	05/26/2017	6/6/2017	X									
SP	MEAD JOHNSON NUTRITION	X	05/03/2017	6/6/2017	X									
SP	AFFILIATED MANAGERS GROUP	X	05-26-2017	6/6/2017		X								
SP	Capital Bank Financial	X	05/09/2017	6/6/2017		X								
SP	SIRIUS XM HLDGS INC	X	05-31-2017	6/6/2017		X								
SP	ALEXION PHARMACEUTICALS INC	X	05/30/2017	6/6/2017		X								
SP	AFFILIATED MANAGERS GROUP	X	05-15-2017	6/6/2017		X								
SP	AFFILIATED MANAGERS GROUP	X	05-12-2017	6/6/2017		X								
SP	AFFILIATED MANAGERS GROUP	X	05-16-2017	6/6/2017		X								
SP	AFFILIATED MANAGERS GROUP	X	05-11-2017	6/6/2017		X								
SP	Acuity Brands, Inc	X	05/17/2017	6/6/2017		X								
SP	AFFILIATED MANAGERS GROUP	X	05-30-2017	6/6/2017		X								
SP	AFFILIATED MANAGERS GROUP	X	05-31-2017	6/6/2017		X								
SP	ELECTRONIC ARTS INC	X	05-31-2017	6/6/2017		X								
SP	AUTOMATIC DATA PROCESSING INC	X	05-08-2017	6/6/2017			X							

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								\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	
		SP	NXP SEMICONDUCTORS NV	X			05-02-2017	6/6/2017		X								
		SP	AUTOMATIC DATA PROCESSING INC	X			05-16-2017	6/6/2017		X								
		SP	SCHLUMBERGER LTD	X			05/25/2017	6/6/2017			X							
		SP	NEW YORK N Y CITYTRANSITIONAL FINAUTH REV NYCRECOVERY SER	X			05/10/2017	6/6/2017			X							
		SP	AUTOMATIC DATA PROCESSING INC	X			05-15-2017	6/6/2017			X							
		SP	CELGENE CORP	X			05-05-2017	6/6/2017			X							
		SP	Canadian Natural Resources Limited	X			05/03/2017	6/6/2017			X							
		SP	STARBUCKS CORP	X			05-03-2017	6/6/2017			X							
SP	JPMORGAN CHASE & CO	X			05/26/2017	6/6/2017				X								
SP	LLM FAMILY INVESTMENTS II LP (5.4643% INTEREST)																	
SP	AMERISOURCE BERGEN	X			05/08/2017	6/6/2017			X									
SP	Johnson Controls International	X			05/08/2017	6/6/2017			X									
SP	Ally Financial	X			05/01/2017	6/6/2017				X								
SP	WEATHERFORD INTERNATIONAL	X			05/08/2017	6/6/2017			X									
SP	WEATHERFORD INTERNATIONAL	X			05/08/2017	6/6/2017			X									
SP	WEATHERFORD INTERNATIONAL	X			05/08/2017	6/6/2017												
SP	Signal Jewelers Limited	X			05/24/2017	6/6/2017			X									
SP	MATTEL INC	X			05/24/2017	6/6/2017			X									
SP	Avon Products Inc	X			05/09/2017	6/6/2017			X									
SP	Avon Products Inc	X			05/26/2017	6/6/2017			X									
SP	Avon Products Inc	X			05/24/2017	6/6/2017			X									
SP	LILLY ELI & CO	X			05/09/2017	6/6/2017												
SP	FREEPORT-MCMORAN INC	X			05/09/2017	6/6/2017			X									
SP	INTERNATIONAL BUSINESS MACHINES CORP	X			05/09/2017	6/6/2017			X									
SP	Avon Products Inc	X			05/25/2017	6/6/2017			X									
SP	CHEVRON CORPORATION	X			05/09/2017	6/6/2017			X									
SP	HEWLETT PACKARD ENTERPRISE CO	X			05/09/2017	6/6/2017			X									
SP	METLIFE INC	X			05/01/2017	6/6/2017			X									
SP	TE Connectivity Ltd	X			05/09/2017	6/6/2017			X									
SP	AECOM COM	X			05/09/2017	6/6/2017			X									
SP	METLIFE INC	X			05/09/2017	6/6/2017			X									
SP	LINCOLN NATIONAL CORP	X			05/09/2017	6/6/2017			X									
SP	CITIGROUP INC	X			05/09/2017	6/6/2017			X									
SP	BAKER HUGHES INC	X			05/09/2017	6/6/2017			X									
SP	American International Group Inc	X			05/09/2017	6/6/2017			X									
SP	Reliance Steel & Aluminum Co	X			05/09/2017	6/6/2017			X									

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SP	BANK OF AMERICA CORP								X			05/09/2017	6/6/2017	X									
SP	MSC Industrial Direct Co Inc								X			05/09/2017	6/6/2017	X									
SP	CITIGROUP INC									X		05/01/2017	6/6/2017	X									
SP	ABBOTT LABS									X		05/09/2017	6/6/2017	X									
SP	Zimmer Biomet Holdings Inc									X		05/09/2017	6/6/2017	X									
SP	Dun & Bradstreet Corp									X		05/09/2017	6/6/2017		X								
SP	DEVON ENERGY CORP									X		05/08/2017	6/6/2017		X								
SP	LINCOLN NATIONAL CORP									X		05/01/2017	6/6/2017		X								
SP	Dun & Bradstreet Corp									X		05/08/2017	6/6/2017		X								
SP	RALPH LAUREN CORP									X		05/24/2017	6/6/2017		X								
SP	DXC Technology									X		05/08/2017	6/6/2017		X								
SP	MICROSOFT CORP									X		05/08/2017	6/6/2017		X								
DC	LLM FAMILY INVESTMENTS LP (13.2734% INTEREST)											05/08/2017	6/6/2017			X							
DC	WELLS FARGO & CO									X		05/30/2017	6/6/2017				X						
DC	BERKSHIRE HATHAWAY INC									X		05/17/2017	6/6/2017				X						
DC	WELLS FARGO & CO									X		05/17/2017	6/6/2017				X						
DC	MICROSOFT CORP									X		05-04-2017	6/6/2017				X						
DC	REGENERON PHARMACEUTICALS									X		05-03-2017	6/6/2017				X						
DC	ROCKWELL COLLINS									X		05-15-2017	6/6/2017				X						
DC	ABBOTT LABS									X		05-15-2017	6/6/2017				X						
DC	REGENERON PHARMACEUTICALS									X		05-08-2017	6/6/2017				X						
DC	PAYPAL HOLDINGS INC									X		05-02-2017	6/6/2017				X						
DC	FACEBOOK INC									X		05-31-2017	6/6/2017				X						
DC	WELLS FARGO & CO									X		05/22/2017	6/6/2017				X						
DC	KANSAS CITY SOUTHERN									X		05-12-2017	6/6/2017				X						
DC	SCHWAB CHARLES CORP NEW									X		05-05-2017	6/6/2017				X						
DC	MICROSOFT CORP									X		05-05-2017	6/6/2017				X						
DC	MICROSOFT CORP									X		05-31-2017	6/6/2017				X						
DC	MICROSOFT CORP									X		05-31-2017	6/6/2017				X						
DC	SCHWAB CHARLES CORP NEW									X		05-04-2017	6/6/2017				X						
DC	ROCKWELL COLLINS									X		05-16-2017	6/6/2017				X						
DC	ABBOTT LABS									X		05-16-2017	6/6/2017				X						
DC	SIRIUS XM HLDGS INC									X		05-04-2017	6/6/2017				X						
DC	ALEXION PHARMACEUTICALS INC									X		05-30-2017	6/6/2017				X						
DC	MICROSOFT CORP									X		05-16-2017	6/6/2017				X						
DC	INDIANA TRANSN FINAULT HWY REV RFDGAP APPREC SER A									X		05/09/2017	6/6/2017				X						
DC	HALLIBURTON CO									X		05/25/2017	6/6/2017				X						

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DC	Nabors Industries Ltd	X		05/04/2017	6/6/2017		X								
DC	Superior Energy Services, Inc.	X		05/01/2017	6/6/2017		X								
DC	CHIMAREX ENERGY CO	X		05/25/2017	6/6/2017		X								
DC	ZOETIS INC	X		05/18/2017	6/6/2017		X								
DC	DANAHER CORP	X		05/30/2017	6/6/2017		X								
DC	ZOETIS INC	X		05/03/2017	6/6/2017		X								
DC	STARBUCKS CORP	X		05/26/2017	6/6/2017		X								
DC	State National Companies	X		05/09/2017	6/6/2017		X								
DC	Intuit Inc	X		05/18/2017	6/6/2017		X								
DC	Intuit Inc	X		05/01/2017	6/6/2017		X								
DC	TJX Companies	X		05/18/2017	6/6/2017		X								
DC	ALEXION PHARMACEUTICALS INC	X		05/11/2017	6/6/2017		X								
DC	ZOETIS INC	X		05/30/2017	6/6/2017		X								
DC	A O SMITH CORP	X		05/09/2017	6/6/2017		X								
DC	TRIPADVISOR INC	X		05/04/2017	6/6/2017		X								
DC	ZOETIS INC	X		05/12/2017	6/6/2017		X								
DC	TRIPADVISOR INC	X		05/15/2017	6/6/2017		X								
DC	FACEBOOK INC	X		05/26/2017	6/6/2017		X								
DC	MURPHY USA	X		05/17/2017	6/6/2017		X								
DC	ZOETIS INC	X		05/08/2017	6/6/2017		X								
DC	PAYPAL HOLDINGS	X		05/26/2017	6/6/2017		X								
DC	ZOETIS INC	X		05/09/2017	6/6/2017		X								
DC	ANSYS INC	X		05/18/2017	6/6/2017		X								
DC	OHIO HSG FIN AGY SINGLE FAMILY MTG REV SER 1	X		05/01/2017	6/6/2017		X								
DC	Simpson Manufacturing Co	X		05/05/2017	6/6/2017		X								
DC	FLEETCOR TECHNOLOGIES INC	X		05/03/2017	6/6/2017		X								
DC	FORTIVE CORP	X		05/30/2017	6/6/2017		X								
DC	Simpson Manufacturing Co	X		05/12/2017	6/6/2017		X								
DC	TJX Companies	X		05/03/2017	6/6/2017		X								
DC	ALEXION PHARMACEUTICALS INC	X		05/23/2017	6/6/2017		X								
DC	TRIPADVISOR INC	X		05/23/2017	6/6/2017		X								
DC	National Bank Holdings	X		05/22/2017	6/6/2017		X								
DC	ANSYS INC	X		05/09/2017	6/6/2017		X								
DC	MCGRATH RENT	X		05/17/2017	6/6/2017		X								
DC	DEXCOM INC	X		05/23/2017	6/6/2017		X								
DC	Simpson Manufacturing Co	X		05/09/2017	6/6/2017		X								

NAME:
MICHAEL.MCCAUL

FULL ASSET NAME		Type of Transaction	Date of Transaction	Date Notified of Transaction	Amount of Transaction											
Provide full name, not ticker symbol		PURCHASE	SALE	EXCHANGE	(MM/DD/YY)	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J
JT							\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000
SP																
DC																
DC	DEL TIC TIMBER CORP	X			05/26/2017	6/6/2017	X									
DC	DEL TIC TIMBER CORP	X			05/17/2017	6/6/2017	X									
DC	National Bank Holdings	X			05/16/2017	6/6/2017	X									
DC	National Bank Holdings	X			05/24/2017	6/6/2017	X									
DC	Simpson Manufacturing Co	X			05/10/2017	6/6/2017	X									
DC	MURPHY USA	X			05/19/2017	6/6/2017	X									
DC	Simpson Manufacturing Co	X			05/04/2017	6/6/2017	X									
DC	MCGRATH RENT	X			05/16/2017	6/6/2017	X									
DC	National Bank Holdings	X			05/17/2017	6/6/2017	X									
DC	State National Companies	X			05/17/2017	6/6/2017	X									
DC	MURPHY USA	X			05/16/2017	6/6/2017	X									
DC	DEL TIC TIMBER CORP	X			05/25/2017	6/6/2017	X									
DC	MCGRATH RENT	X			05/18/2017	6/6/2017	X									
DC	LORAL SPACE & COMMUNICATIONS	X			05/17/2017	6/6/2017	X									
DC	LORAL SPACE & COMMUNICATIONS	X			05/30/2017	6/6/2017	X									
DC	MCGRATH RENT	X			05/19/2017	6/6/2017	X									
DC	State National Companies	X			05/22/2017	6/6/2017	X									
DC	State National Companies	X			05/16/2017	6/6/2017	X									
DC	LORAL SPACE & COMMUNICATIONS	X			05/16/2017	6/6/2017	X									
DC	National Bank Holdings	X			05/23/2017	6/6/2017	X									
DC	HOWARD BANCORP INC	X			05/25/2017	6/6/2017	X									
DC	HOWARD BANCORP INC	X			05/26/2017	6/6/2017	X									
DC	LORAL SPACE & COMMUNICATIONS	X			05/22/2017	6/6/2017	X									
DC	DEL TIC TIMBER CORP	X			05/16/2017	6/6/2017	X									
DC	State National Companies	X			05/26/2017	6/6/2017	X									
DC	LORAL SPACE & COMMUNICATIONS	X			05/25/2017	6/6/2017	X									
DC	National Bank Holdings	X			05/19/2017	6/6/2017	X									
DC	State National Companies	X			05/23/2017	6/6/2017	X									
DC	State National Companies	X			05/19/2017	6/6/2017	X									
DC	LORAL SPACE & COMMUNICATIONS	X			05/18/2017	6/6/2017	X									
DC	LORAL SPACE & COMMUNICATIONS	X			05/19/2017	6/6/2017	X									
DC	HOWARD BANCORP INC	X			05/24/2017	6/6/2017	X									
DC	REGIS CORP	X			05/05/2017	6/6/2017	X									
DC	REGIS CORP	X			05/18/2017	6/6/2017	X									
DC	WAABTEC	X			05/08/2017	6/6/2017	X									
DC	REGIS CORP	X			05/08/2017	6/6/2017	X									

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FULL ASSET NAME		Type of Transaction	Date of Transaction	Date Notified of Transaction	Amount of Transaction											
Provide full name, not ticker symbol		PURCHASE	SALE	EXCHANGE	(MM/DD/YY)	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J
DC	REGIS CORP	X			05/02/2017	6/6/2017	X									
DC	Addus Homecare Corporation	X			05/24/2017	6/6/2017	X									
DC	KADANT INC		X		05/15/2017	6/6/2017	X									
DC	REGIS CORP		X		05/16/2017	6/6/2017	X									
DC	REGIS CORP		X		05/22/2017	6/6/2017	X									
DC	REGIS CORP		X		05/04/2017	6/6/2017	X									
DC	GREEN PLAINSPARTNERS LP		X		05/01/2017	6/6/2017	X									
DC	Addus Homecare Corporation		X		05/16/2017	6/6/2017	X									
DC	KADANT INC		X		05/23/2017	6/6/2017	X									
DC	KADANT INC		X		05/12/2017	6/6/2017	X									
DC	REGIS CORP		X		05/01/2017	6/6/2017	X									
DC	REGIS CORP		X		05/09/2017	6/6/2017	X									
DC	REGIS CORP		X		05/17/2017	6/6/2017	X									
DC	REGIS CORP		X		05/10/2017	6/6/2017	X									
DC	REGIS CORP		X		05/11/2017	6/6/2017	X									
DC	STARBUCKS CORP		X		05/03/2017	6/6/2017	X									
DC	KMG CHEMICALS INC		X		05/04/2017	6/6/2017	X									
DC	Capital Bank Financial		X		05/19/2017	6/6/2017	X									
DC	Acuity Brands, Inc		X		05/08/2017	6/6/2017	X									
DC	Alphabet Inc		X		05/08/2017	6/6/2017	X									
DC	Capital Bank Financial		X		05/31/2017	6/6/2017	X									
DC	AKAMAI TECHNOLOGIES INC		X		05/18/2017	6/6/2017	X									
DC	AKAMAI TECHNOLOGIES INC		X		05/09/2017	6/6/2017	X									
DC	AKAMAI TECHNOLOGIES INC		X		05/24/2017	6/6/2017	X									
DC	VISA INC		X		05/03/2017	6/6/2017	X									
DC	INTUITIVE SURGICAL INC		X		05/03/2017	6/6/2017	X									
DC	AKAMAI TECHNOLOGIES INC		X		05/23/2017	6/6/2017	X									
DC	AMAZON.COM INC		X		05/11/2017	6/6/2017	X									
DC	AKAMAI TECHNOLOGIES INC		X		05/12/2017	6/6/2017	X									
DC	AKAMAI TECHNOLOGIES INC		X		05/08/2017	6/6/2017	X									
DC	KMG CHEMICALS INC		X		05/01/2017	6/6/2017	X									
DC	ALEXION PHARMACEUTICALS INC		X		05/26/2017	6/6/2017	X									
DC	ALEXION PHARMACEUTICALS INC		X		05/26/2017	6/6/2017	X									
DC	ALEXION PHARMACEUTICALS INC		X		05/26/2017	6/6/2017	X									
DC	MEAD JOHNSON NUTRITION		X		05/03/2017	6/6/2017	X									
DC	AFFILIATED MANAGERS GROUP		X		05-26-2017	6/6/2017		X								

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FULL ASSET NAME					Type of transaction	Date of Transaction	Date Notified of Transaction	Amount of Transaction											
JT SP DC	Provide full name, not ticker symbol				PURCHASE	SALE	EXCHANGE	(MM/DD/YY)	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J
DC	SIRIUS XM HLDGS INC				X			05-31-2017	6/6/2017		X								
DC	ALEXION PHARMACEUTICALS INC					X		05/30/2017	6/6/2017		X								
DC	Capital Bank Financial					X		05/09/2017	6/6/2017		X								
DC	AFFILIATED MANAGERS GROUP					X		05-15-2017	6/6/2017		X								
DC	AFFILIATED MANAGERS GROUP					X		05-12-2017	6/6/2017		X								
DC	AFFILIATED MANAGERS GROUP					X		05-16-2017	6/6/2017		X								
DC	AFFILIATED MANAGERS GROUP					X		05-11-2017	6/6/2017		X								
DC	AFFILIATED MANAGERS GROUP					X		05-30-2017	6/6/2017		X								
DC	AFFILIATED MANAGERS GROUP					X		05-31-2017	6/6/2017		X								
DC	ELECTRONIC ARTS INC					X		05-31-2017	6/6/2017		X								
DC	Acuity Brands, Inc					X		05/17/2017	6/6/2017		X								
DC	SCHLUMBERGER LTD					X		05/25/2017	6/6/2017		X								
DC	AUTOMATIC DATA PROCESSING INC					X		05-08-2017	6/6/2017					X					
DC	Canadian Natural Resources Limited					X		05/03/2017	6/6/2017					X					
DC	NXP SEMICONDUCTORS NV					X		05-02-2017	6/6/2017		X								
DC	AUTOMATIC DATA PROCESSING INC					X		05-16-2017	6/6/2017					X					
DC	NEW YORK N Y CITYTRANSITIONAL FINAUTH REV NYCRECOVERY SER					X		05/10/2017	6/6/2017					X					
DC	AUTOMATIC DATA PROCESSING INC					X		05-15-2017	6/6/2017					X					
DC	CELEGENE CORP					X		05-05-2017	6/6/2017					X					
DC	HONDO INVESTMENT COMPANY					X		5/24/2017	6/6/2017						X				
DC	STARBUCKS CORP					X		05-03-2017	6/6/2017					X					
DC	JPMORGAN CHASE & CO					X		05/26/2017	6/6/2017					X					