DARRELL E. ISSA

49TH DISTRICT, CALIFORNIA

WASHINGTON OFFICE: 2347 RAYBURN HOUSE OFFICE BUILDING Washington, DC 20515 (202) 225-3906 FAX: {202} 225-3303

DISTRICT OFFICE:

1800 THIBODO ROAD, SUITE 310 VISTA, CA 92081 (760) 599-5000 FAX: (760) 599-1178

www.issa.house.gov

COMMITTEE ON THE JUDICIARY INTELLECTUAL PROPERTY SUBCOMMITTEE

COMMITTEE ON OVERSIGHT AND

GOVERNMENT REFORM

REGULATORY REFORM SUBCOMMITTEE

Congress of the United Stat

House of Representatives

Washington, **DC** 20515-0549

June 14, 2013

The Honorable K. Michael Conaway **Committee on Standards of Official Conduct United States House of Representatives** Washington, DC 20515

Dear Chairman Conaway:

Attached are amended Schedules III and IV of my 2011 Financial Disclosure Report.

In the original filing, I reported on schedule III that 2530 Gateway Road in Carlsbad, CA was among several properties held by Ocean Collection LP. In fact, the property was sold on May 12, 2011. The omission of the property sale was inadvertent, and was discovered in the course of preparing my 2012 Report.

Thank you for your attention to this matter.

Darrell Issa

Sincerely,

Member of Congress

Schedule III - Total Assets and "Unearned" Income Year: 2011
Honorable Darrell Issa
Investment

Fermi Court LP	DEILTC	Greene Properties	S&P US Pfd Stk Index Fd I-Shares	Putnam High Yield Trust Ed Cl A	tnam High Yield Advantage Fd Cl A	Principal High Yield Fund CI A	penheimer Global Strategic Income Fd Cl A	Oppenheimer Developing Markets Fd Cl A	MFS Utilities Fund CI A	JPM Stars Issuer BAC Prem 12.57% SV 45.67 due 5/7/12 BUF 5% (MLOFQ)	GT Steps Issuer BARC CPN 10% SV 17.74 due 7/20/12 BUF 5% (MLAUR)	GE Stars Issuer SEK CPN 14.77% SV 20.24 due 3/20/12 BUF 5% (MLOFE)	rd Steps Issuer SEK CPN 9.75% SV 14.12 o	Cisco Stars Issuer BAC Prem 13.61% SV 16.27 due 6/5/12 BUF 5%	instay High Yield Corporate Bond Cl A	ord Abbett Value Opportunities Fd Ci A	Lord Abbett High Yield Fd Cl A	d Abbett Fundamental Equity CI A	IP Morgan High Yield CI A	vy Science and Technology Fd Cl A	lvy High Income Fd Cl A	nvesco High Yield Fd Cl A	Goldman Sachs High Yield Fd Cl A	irst Eagle Overseas Fd Cl A	First Eagle Global Fd Cl A	Congressional Federal Credit Union Accounts	Columbia Seligman Communications & Info CI A	Columbia High Yield Opportunity Fund CI A	Cash & Money Accts (ML Bank Deposit Program, Preferred Deposit Program)	BlackRock Science and Technology Opps PTF CI A	BlackRock High Yield Bd Portfolio CI A	BR&T Canifal Trief VI Prid 9 60% Aug 1 2069 CLISIP 05531R201	BAC Stens GE SV=3.51 Clay Flor Coldina July 8, 2011	American High Income 17 SBI CI A	Allianz AGIC High Yield Bond Fd Cl A	Alliance Bernstein Global Bond Fund Cl A	ance peristen rigit intonie rund CIA	Pomphoia Link income Fund C. A			Investment
										due 5/7/12 BUF 5% (MLOFQ)	e 7/20/12 BUF 5% (MLAUR)	ue 3/20/12 BUF 5% (MLOFE)	lue 3/23/12 (MLAUF)	7 due 6/5/12 BUF 5%													A		m. Preferred Deposit Program)	CIA	2000 DEV -	y 6, 2011	2011								
十	H		+	┪	×		-	×	┢	╁	H	H	H	×	Н		Н	×		×		×		×			×		1		†	١,	,	╡	t	ļ	1	Ì		A None	
T	П		7	1	T			T	T	T	T	T	T	П	П	Г	П	П	П			П	П	П	П	T	٦		T			Ī	1	1	T	T	T			B \$1-\$1,000	1
T			Ī	1				Ĺ																					\Box		\Box	1	1	Ι	L	L	I	2		C\$1,001-\$15,000]
								L																							╝	_	╧	⊥	L	L	ļ	20		D \$15,001-\$50,000	
	Ц							L		L			L											Ц		×					4	1	╧	╽	↓	L	ļ			E \$50,001-\$100,000	Value of Asset
┸	Ш			╛	╛			Ĺ		L		L	L											Ц			_	_			4	┵	┵	1	┸	L	1			F \$100,001-\$250,000	0
L	Ц							L	L															Ц							╛	┵	1	┸	L	L	ļ			G \$250,001-\$500,000	Ž
L	×						L	Ĺ				×	×	Ц										Ц				\perp			\perp	1	1	⊥	┸	L	Ţ	ı		H \$500,001-\$1,000,000	98
<u> </u>			×					Ĺ		×	×		Ľ			×												×			;	×	╧	1	퇶	L	↓	2000		1\$1,000,001-\$5,000,000	~
×		×				×	Х		×										×				Х		Х					×		\perp	\perp	ŀ	℄	L	l		1317	J \$5,000,001-\$25,000,000]
Ι					Ì			Γ	Ī	Γ	Γ	Γ	Γ	Г	×		×				Х								×		×	Ţ			ŀ	4	ŀ	4	,2040. 1920.	K \$25,000,001-\$50,000,00	4
Ι				×																																				L Over \$50,000,000	
Τ								Γ		×	:	×	Γ		Г	Γ														X		1					1			None	
Τ	Π	Х	×	×	×	×	×	×	×		×	-	×		×	Г	×	×	×		×	Х	Х		X			X			X	×	×	< >	< >	þ	þ	Y		Dividends]
		Х						Γ				Γ																						⊥	L	L	┙	20.000		Rent]=
	Γ							Γ	Γ	Τ		Γ	Γ													Χ			×						1					Interest	ဋ
Γ				×	Х	Х		×						×		×	×	×	X	X	×	X	Х	×	Х		×	X			×	_:	×	1	>	þ	<u>d</u>	×		Capital Gains	Income Type
								Ľ												L													1		\perp	L	⊥			Excepted Trust	<u> ₹</u>
1	L							Ĺ												L	L													\perp	⊥	┸	⊥			Qualified Blind Trust	•
٧×	×							Ţ	Γ	ľ																									L					Other Type of Income	
×	×	×						×		×	ď	×				×		×		×				×			X			Х					1			ķ		None	
								ľ					Γ	Γ							Ι.		L											1		1	⅃	ľ		\$1-\$200	
Т	Г	Г			_			Γ	Ī	T	T	Т	Ī	Γ	Г	Γ	Г	Г	Γ	Γ		Γ	Γ			×						Ţ			Ι		Ι			\$201-\$1,000]_
T	Τ	Г					Γ	Γ	T	Ì	Ť	T	Ī			Г			Γ	Γ	Π	Γ												1	Ι					\$1,001-\$2,500]§
T	T					Г	Γ	T	T	T	Τ	Т	Τ	Γ	Γ	Γ	Γ	Γ	Γ	Γ	Γ	Γ	Γ	Γ	Г	Γ								T	Τ		Ι			\$2,501-\$5,000	Amount of Income
T	Τ					Г	Γ	Γ	Ī	T		Т		Ī				Г	Γ	Γ	Γ	Γ	Г	Γ	Г				×					T						\$5,001-\$15,000] 🖺
ΧĪ	T	Γ				Γ	×	1	T	T	 >	1	T	T	Γ	Γ	T	Γ	Γ	Γ	Γ	Γ	Γ	Γ	Γ						П	T	Т	Ţ	Τ	\	₹			\$15,001-\$50,000	וַּדַּ
\top	T	Г	П				T	Ť	T	T	T	T	×	T	Γ	Γ	Γ	Γ	Γ	Γ	Γ	Г	Γ	1							П	×	×I:	×	T	T	T	1		\$50,001-\$100,000	٦ĕ
十	T	Г	×	_	×			T	×	╡	T	T	T	×	Γ	T	T	Г	Г	T	Γ		×	T	×	Г		П				T	Ī	T	T	Ī	1	1		\$100,001-\$1,000,000	70
T	T	Г	П	×		×	T	†	Ť	T	T	T	T	T	×	T	×	Г	×	T	×	×	Г	Τ	Г	Г		X	Ī		×	T	T	٦,	₹	₹	7	Ā		\$1,000,001-\$5,000,000	1
T	T	Г				Г	T	T	t	T	T	t	T	l	T	T	T	T	Г	Г	T	Г	T	T	T							T	1	1	1	T	1	1		Over \$5,000,000	1
1			P	P,S,E	P,S	P,E	7	PSE	77	7	7	рп	, 	P,S	P,E	P.S.E	P,E	P,S,E	P	P,S,E	PE	P,S,E	T	P.S	P		P,S	P,S,E	N/A	P	P,S	₽,	70	<u>.</u>	,	7		3.5 d		P,S,E,R (security redemption as it matured in 2011)	Transaction

Year: 2011

Honorable Darrell Issa

1709 1 1709 1	Investment	1	-	1	- ≲	Value of Asset	٠Ž	- S-	Se	_լ	ı)4]	1	٦_	7중	Income Type	Ť		1	1	-	4		Amount of Income	_ ≦	9	קַן	ള	┨┋	\dashv	4	J	Transaction
A None A None B \$1.\$ C\$1,00 F \$100 X X X X X X X X X X X X X X X X X X								***),001-\$1,000,000	0,001-\$5,000,000	00,001-\$25,000,000	000,001-\$50,000,00	\$50,000,000		nds		st	l Gains				Type of Illoonie			\$1,000	1-\$2,500	I- \$ 5,000	I-\$15,000	01-\$50,000					55,000,000	
									H \$500,	\$1,000	J \$5,000	K \$25,0	L Over \$	None	Dividen	Rent	Interest	Capital			-				\$201-\$1	\$1,001-	\$2,501-	\$5,001-	\$15.001				1	Over \$5	
				4000 1004					994							194 V.										(4 <u>)</u>									
	1709 La Costa Meadows LP	×	\dashv	\dashv	Н		Н	Ц	Ш	Ц							Г	Τ	Н	Н	t	Ĥ	┝	┡	L	L	l		×	t	┢	\vdash	L		Γ
	3186 Vista Way LP		4	Н	Н	Н	Н		Ц	Ш	×						Г	Г	Н	Н	₽	-	r	┡	L	L]	Γ	T	H	۲	⊢	L		Γ
	1800 Carillon LP		Н	Н	Н	Н	Н	Н	Ц	×	Ш				П	Г	Г	T	-	Н	٦	-	₽	┡	L	L		Γ	T	┢	+	╀	1		T
	12400 Stowe Dr. LP		Н	Н	Н	Н	Н	Щ	Ц		×				Г	Г	Г	Г	┢	┝	L	F	┞	┡	L	L	l		Г	t	L	ř	L	1	T
	Slater PI LP		Н	Н	Н	Н	Ц	Ц	Ц	×	Ш				Г		Г	Г	┪	╁	┖	-	۴	╀	L	L			Γ	t	╁╌	+	L		T
	Ocean Colletion LP ****		Ц	Н	Н	Н	Н	Ц	L	×					Г	Г	T	×	Н	┢╌	L	-	r	ļ.	L	L			Г	t	+	+	L		T
	La Pacifica LP		Ц	L	H	H	L	Ц	L		×				Г		T	T	┪	┢	L	-	┝	┡	<u> </u> _	L			T	t	H	╁-	×		T
	1200 Harbor Dr. LP		Ш	-	H	H	L	Ц	×						Г		┢	T	H	H	t	-	r	L	L	L			Г	t	H	\vdash	Į.		İ
× × × × × × × × × × × × × × × × × × ×	2585 Business Park LP*		Н	Н	Н	H	Н	Ц	L	×					Г		T	1	┢	H	L	┷	ř	L					T	t	H	\vdash	Ļ	1	T
X	2067 W Vista Way LP**			Н	Н		Н	Ľ	L	L	×						T	T	t	┢	L	-	ľ	L				Γ	T	t	H	\vdash	L	1	T
×	2280 Faraday Ave LP***		H	Н	H	H	Н	Щ	L	×									┢	H	L	⊢	ľ	L					1	t	H	\vdash	Ļ	1	T
×	Tahoe Beach LP		H	Н	Н	Н	Н	L	×							Ī	T	H	H	╁	L	+	ľ	╄	L				T	t	+	+	1	1	T
	Directed insurance		L	┝	┢	├	┡	L	L	×				Т	×	T	T	t	╁	╁	t	┿	╀┈	╄	L			Γ	T	t	十	+	 *		Ť

^{*} This entity was converted into 2585 Business Park LP from Third I LLC

** This entity was converted into 2067 W Vista Way LP from Viper LLC

*** This entity was converted into 2280 Faraday Ave LP from Faraday Partners LLC

**** This entity was converted into Ocean Collection LP from Ocean Collection LLC

DEI LLC is a commercial property management company located in Vista, CA (Note on Valiuation: All properties were transferred out of DEI LLC on December 31, 2010)

Directed Insurance is a captive insurance company located in Park City, Utah

Fermi Court, LP owns property located at 5540 & 5541 Fermi Court, Carlsbad, CA

Greene Properties is a property management company and owns properties located at 3142 & 3156 Vista Way, Oceanside, CA and 1 Viper Way, Vista, CA

La Pacifica LP owns property located at 3801, 3809, and 3817 Ocean Ranch Blvd. Oceanside, CA

Ocean Collection, LLC owns property located at 2510, 2520, 2540, 2542, 2544, 2546, 2550, 2552, 2554, and 2556 Gateway Road, Carisbad, CA

Slater PI LP owns lots 19, 20, 21, and 22 Bressi Ranch Carlsbad, CA

²¹² Main Street, LP owns property located at 212 S. Main Street in Fallbrook, CA

Schedule III - Total Assets and "Unearned" Income Year: 2011

Honorable Darrell Issa

.		Inves
		estment
٠٠,		Ħ
.		
.,		
	•	
	A None	
	B \$1-\$1,000	
	C\$1,001-\$15,000	
	D \$15,001-\$50,000	_
\$ # <u>,</u> 743	E \$50,001-\$100,000	alu
	F \$100,001-\$250,000	/alue of Asset
	G \$250,001-\$500,000	Ž
	H \$500,001-\$1,000,000	38e
	\$1,000,001-\$5,000,000	_
	J \$5,000,001-\$25,000,000	
	K \$25,000,001-\$50,000,000	
	L Over \$50,000,000	
C	None	1
	Dividends	ł
3.73. 37.	Rent	Įž
3, 77, 7	Interest	ncome Type
ACC 201 27 2	Capital Gains	٤
22.	Excepted Trust Qualified Blind Trust	þ
	Other Type of Income	1
25,7735	None	ı
	\$1-\$200	1
	\$201-\$1,000	1
	\$1,001-\$2,500	13
17 753	\$2,501-\$5,000	1⋛
that is the	\$5,001-\$15,000	녆
20 (E. E.)	\$15,001-\$50,000	٥
	\$50,001-\$100,000	Amount of Income
	\$100,001-\$1,000,000	ᄛ
	\$1,000,001-\$5,000,000	1
	Over \$5,000,000	1
		1=
	P,S,E,R (security edemption ar it matured in 2011)	Iransaction
E :	11 E E E	
[40 b]	~ 2.9 3 5	12

5931 Priestly Dr., LP owns property located 5931 Priestly Dr, Carlsbad, CA

1709 La Costa Meadows, LP owns property located at 1709 La Costa Meadows, San Marcos, CA (sold in 2011)

3186 Vista Way, LP owns property located at 3186 Vista Way, Oceanside, CA 1800 Carrillon Blvd, LP owns properly located at 1800 Carrillon Blvd, Cincinnati, OH

12400 Stowe Dr., LP owns property located at 12400 Stowe Dr., Poway, CA

1200 Harbor Dr., LP owns property located at 1200 Harbor Dr., Oceanside, CA

Tahoe Beach LP owns property located in South Lake Tahoe, CA (purchased in 2011)

Schedule IV - Transactions Total Year: 2011
Honorable Darrell Issa

Investment	١.	Type	1"		Date]_	18	15	ĮŽ.	13	턟	녆	Amount of Transaction	1	1
				apital gain exceeds \$200			000	0,000	00,000	250,000			\$5,000,000	25,000,000	-\$50,000,000	
	Purchase	Sale	Exchange (Check box i		A \$1-\$1,000	B \$1,001-\$	C \$15,001-	D \$50,001-	E \$100,001	F \$250,001	G \$500,001				K Over \$50
										_						
Alliance Bernstein High income Fund CI A			×		1/13/2011					H		Н	H	×	Ĥ	Н
Alliance Bernstein High income Fund CI A		П	x		1/13/2011			П	П	Н	H	H	Н	×	H	Н
Alliance Bernstein High income Fund CI A	<		T	T	1/21/2011		Т	T	T×	⇃	+	╅	╁	+	╀	+
Alliance Bernstein High income Fund CI A	;	×	Ť		4/26/2011	T	T		T	┥;	\top	+	×	7	+	+
Alliance Bernstein High income Fund Cl A	×	П	П	П	8/22/2011			П	П	×	Н	H	Н	Н	H	Н
Alliance Bernstein High income Fund Cl A		×	T		9/16/2011		Г	Γ	Г	<u> </u>	H	┢	╁	t	×	\vdash
Alliance Bernstein High income Fund CI A	×		T		9/21/2011	Γ	Γ		十	×	-	t	╁╌	╁	╀	╀
Alliance Bernstein High income Fund Cl A	×	T	T	T	10/21/2011	Γ	Т	Т	×	✝	╁	╁	╁	+	╁	╀
Alliance Bernstein High income Fund CI A	<×	T	Ť	T	11///2011	Т	Τ	Ī	T	オ	╁	╁	╁	╁	十	+
Alliance Bernstein High Income Fund Cl A	××	T			12/21/2011	Т	T			×		\dagger	+	+	+	+
Alliance Bernstein High income Fund Cl A	×	П	П		12/30/2011				×	╁	+	+	+	╁	╀	+
Alliance Bernstein Global Bond Fund CI A	×	1	1	T	9/16/2011	T	7	1	\top	\dagger	\dagger	+	+	╫	<u>*</u>	+
Alliance Bernstein Global Bond Fund CI A	×				9/21/2011		×		Ħ	H	H	H	Н	dash	H	Н
Alliance Bernstein Global Bond Fund Cl A	×	T	T		10/21/2011			×	Т	T	╁		╁	+	╁	╀
Alliance Bernstein Global Bond Fund CI A	×	 >	T	T	11/21/2011			×	T	\dagger	+	+	+	 ,	+	+
Alliance Bernstein Global Bond Fund CI A		×	Ħ		11/22/2011		П	×	П	H	Н	H	Н	${oldsymbol{ecture}}$	dash	ert
	\	T	T		10410044	Γ		T	T	T	+	╁	╁	+	+	+
Allianz AGIC High Yield Bond Ed. CI A	×	Ť	†	Ť	10/21/2011	T	T	T	T	\top	$\frac{1}{x}$	+	╁	+	+	<u> </u>
Allianz AGIC High Yield Bond Fd Ci A	×	Ì	Ì		11/18/2011				П	\exists	×	H	Н		\dashv	Н
High Yield Bond Fd	×	T	T	Ţ	12/16/2011	Τ	T	T	†-	†-	オ╌	†≍	╬	+-	╁╴	+-
Silians Colo Light Light botto to CLD	,		\top	П		П	П	П	П	H	H;	╟╫	Н	\dashv	dash	$oldsymbol{arphi}$
	ſ	Ī	t	ľ		ſ	ſ	ſ	ı	ı	ŀ	ŀ	ł	}	}	L

Schedule IV - Transactions Total Year: 2011 Honorable Darrell Issa

Investment]	Type	ď	1	Date	1	1	1 §	۱ <u>ĕ</u>	15	٦̈ـً̈ا اڃا	턞	Amount of Transaction	∤ ₫.	┨¯	┨
		<u>.</u>	<u> </u>	al gain exceeds \$200				0	00	000		 -				
	Purchase	Sale	Exchange (*)			A \$1-\$1,000	B \$1,001-\$15,000	C \$15,001-\$50,000	D \$50,001-\$100,00	E \$100,001-\$250,0	F \$250,001-\$500,0	G \$500,001-\$1,000	H \$1,000,001-\$5,0		I \$5,000,001-\$25,0	J \$25,000,001-\$50 K Over \$50,000,00
			_	1		_			1		-	┰	-	╁		₩
American High Income Tr SBI CI A	×l×	+	+	+	3/1/2011		T	\dagger	 	хŤ	t	+	+	+	+	+
American High Income Tr SBI CI A	×		┪	┪	8/29/2011	1	T	┪	7	×	+	+	+	ᆉ	\dashv	
American High Income Tr SBI CI A	×				9/29/2011	Ī			T	×	t	t	╁	╁	\vdash	╀
American High Income Tr SRI CLA	< ×	+	╁	\dagger	11/29/2011	Ť	Ť	†	\dagger	٠×	+	+	+	+	+	\bot
American High Income Tr SBI CI A	× ;	+	┿	\dagger	12/29/2011	1		1	7	×i:	$^{+}$	+	+	+	+	+
American High Income Tr SBI CI A	×		╫	H	12/30/2011	\Box	П	ĺ×	\top	11	╁┪	╁┤	+	+	╂┪	+
BAC Steps C SV=3.81 Citigroup Inc due July 8, 2011		×	+		7/7/2011			\top	\top	1	\dashv	×	7	+	+	\perp
BAC Steps GE SV=15.21 Gen Elec Co due July 8, 2011	П		Н	×	Н	П	П	П			Н	Н	IJ	×	Н	Ш
BB&T Capital Trust VI Pfd 9.60% Aug 1 2069 CUSIP 05531B201	×	+	+	+	11/1/2011	T	十	×	十	o	+	╁	+	+	+	4
BlackRock High Yield Bd Portfolio CI A	×	H	\dashv	\dagger	2/1/2011	\exists	T	\Box	Ħ	X	+	\dashv	\dashv	Н	dash	\sqcup
BlackRock High Yield Bd Portfolio CI A	×	Н	Н		3/1/2011	П	T	T	T	×	Н	H	╁	\vdash	Н	\sqcup
BlackRock High Yield Bd Portfolio CI A	_ <u>_</u>	×	+	+	9/15/2011	ĺ	T	1	T	٦,	Ť		╁	+	<u>×</u>	1
BlackRock High Yield Bd Portfolio Cl A	×			+	10/3/2011					×		H	\dashv		\dashv	\Box
BlackRock High Yield Bd Portfolio Ci A	×	H	H	H	11/1/2011	П	П	Ħ	十	×	H	Н	Н	H	H	Н
BlackRock High Yield Bd Portfolio CI A	× ×	+	+	\dagger	12/23/2011	Ť	T	Ť	$\stackrel{\star}{x}$	朩	十	╫	+	+	+	4
BlackRock High Yield Bd Portfolio Cl A	×	H	+	+	12/30/2011	\Box	П	T	\top	×	$\dagger \dagger$	$\forall H$	╁┤	╫	+	14
BlackRock Science & Technology Opportunities Fd Cl A	×	\Box	+	++	9/15/2011		11	11	11	11	$\dagger \dagger$	++	++		<u> </u>	++
Columbia High Yield Opportunity Fund Cl A		╁	╁	H	1/13/2011	П	M	\sqcap	H	H	╁	H	Н	H	X	$oldsymbol{\sqcup}$
Columbia High Yield Opportunity Fund Cl A		Н	K		1/13/2011	П	П	П	Н	П	Н	Н	Н	H	Ĥ	Н

Schedule IV - Transactions Total Year: 2011 Honorable Darrell Issa

Investment	_	Type	(P		Date		≥	ᅙ	턽	앜	7	Ins	Amount of Transaction	9		
				ceeds \$200												
			*)	f capital gain exce		<u> </u>	15,000	\$50,000	\$100,000	-\$250,000	-\$500,000	-\$1,000,000	01-\$5,000,000	1-\$25,000,000	01-\$50,000,000	,000,000
	Purchase	Sale	Exchange	Check bo		A \$1-\$1,0	B \$1,001-	C \$15,00°	D \$50,00	E \$100,00	F \$250,00	G \$500,0	H \$1,000	\$5,000,0	J \$25,000	K Over \$5
	-															
Columbia High Yield Opportunity Fund Cl A			×		1/14/2011		Ц	Ц	×			П	П	П	П	П
Columbia High Yield Opportunity Fund CI A			√×		1/14/2011	L	L	℄	×	T	T	丅	T	1	T	T
Columbia High Yield Opportunity Fund CI A			×		1/14/2011	╛	\perp	×			7	寸	\neg	寸	1	\dashv
Columbia High Yield Opportunity Fund Cl A	×				2/1/2011				×				T	\Box		\Box
Columbia High Yield Opportunity Fund Cl A	×				3/1/2011				×					П	П	П
Columbia High Yield Opportunity Fund Cl A	×	Г			9/1/2011		L		×			T		Γ	Γ	Γ
Columbia High Yield Opportunity Fund Cl A	×			Γ	9/15/2011		L			Τ			×	Τ		Τ
Columbia High Yield Opportunity Fund Cl A	×	Γ	Γ		10/3/2011					×		T	T		T	1
Columbia High Yield Opportunity Fund Cl A		×	T	×	10/17/2011	L			:	Τ	T	T	T	×	T	T
Columbia High Yield Opportunity Fund Cl A	۷×	T	1		12/1/2011			< _	×			T	T	\top	1	T
Columbia High Yield Opportunity Fund CI A	×			Ţ	12/30/2011			×		ı		T	T	1	+	\top
			П			Ш				П	П	П	П	П	\sqcap	П
Columbia Seligman Communications & Info Cl A	×			Γ	8/1/2011		L	L		Π	Ī	Г	×	T	Г	Γ
Columbia Seligman Communications & Info Cl A		×	1		9/15/2011	$oldsymbol{\perp}$	L	$oldsymbol{\perp}$		Τ	T		×	T	T	+
First Eagle Global Fd Cl A			×		5/12/2011							×	Т	1	T	†
First Eagle Global Fd Cl A	×				7/20/2011							×	П			\Box
First Eagle Global Fd Cl A	×				8/1/2011							П	×	П	П	П
First Eagle Global Fd Cl A	×		П		10/3/2011	Ш							П	×	П	П
First Eagle Global Fd Cl A	×		П		12/15/2011	Ц	Ц			×	П	П	П		П	П
First Eagle Global Fd Cl A	×				12/15/2011					×			T	T	T	1
First Eagle Overseas Fd Cl A	×				5/19/2011						Ī		×	\top	T	十
First Eagle Overseas Fd Cl A	×	П			6/9/2011	Ш					П	П	×	П		П
First Eagle Overseas Fd Cl A	×	П	Г		7/20/2011		Ш			П	П	П	x	П	П	П

Schedule IV - Transactions Total

Honorable Darrell Issa Year: 2011

Investment

																	:											
×						×		×	×	×	×				×	×	×	×	×	×	×	×			×		Purchase	
7					×		×									Î		7						×			Sale	ı ype
╗	×	×	×	×	┨							×	×	1			7	1	7	┪					┢		Exchange (*)	1
							×										٦										Check box if capital gain exceeds \$200	
1/28/2011	1/14/2011	1/14/2011	1/14/2011	1/14/2011	11/11/2011	11/1/2011	10/14/2011	10/3/2011	9/1/2011	3/1/2011	2/1/2011	1/13/2011	1/13/2011		12/30/2011	12/16/2011	12/1/2011	11/1/2011	10/3/2011	9/1/2011	3/1/2011	2/1/2011		10/3/2011	7/28/2011			שנפ
														Ī													A \$1-\$1,000	
																			1								B \$1,001-\$15,000	ַ
	×	×	X	X											×		×	×	×	×	×	X					C \$15,001-\$50,000	CHICALL OF LIGHTSACHOL
×]																×										L	D \$50,001-\$100,000	
_[×	×		×	×	×	×	Ц															E \$100,001-\$250,000	9
																	_									_	F \$250,001-\$500,000	
_[Ц					L																×		G \$500,001-\$1,000,000	6
_[Ш	_						Ĺ	Ш				┙	┙		_				╝		L	Ĺ	H \$1,000,001-\$5,000,000	É
_[Щ								×	\succeq				_		\rfloor					×			I \$5,000,001-\$25,000,000	1
_			Ľ	Ц	_		×			L							\perp					Ш			L		J \$25,000,001-\$50,000,000	
															ŀ	-	-	-	-1								K Over \$50,000,000	

Goldman Sachs High Yield Fd CI A

First Eagle Overseas Fd Cl A

Invesco High Yield Fd Cl A
Invesco High Yield Fd Cl A
Invesco High Yield Fd Cl A
Invesco High Yield Fd Cl A
Invesco High Yield Fd Cl A
Invesco High Yield Fd Cl A
Invesco High Yield Fd Cl A
Invesco High Yield Fd Cl A
Invesco High Yield Fd Cl A
Invesco High Yield Fd Cl A
Invesco High Yield Fd Cl A

Ivy High Income Fd CI A
Ivy High Income Fd CI A
Ivy High Income Fd CI A
Ivy High Income Fd CI A
Ivy High Income Fd CI A
Ivy High Income Fd CI A

Schedule IV - Transactions Total Year: 2011

Honorable Darrell Issa

지리 레 리	Investment	Туре	ĕ	al gain exceeds \$200	Date				Amo	Amount	Amount of	Amount of Tra	Amount of Trans	Amount of Transaction 000	0 mount of Transactio	0 mount of Transactio	000 mount of Transaction 000,000 moo,000
Y FE COLA A SECOLA A			-				A \$1-\$1,000	A \$1-\$1,000 B \$1,001-\$15,00		B \$1,001-\$15,0	B \$1,001-\$15,00 C \$15,001-\$50,	B \$1,001-\$15,00 C \$15,001-\$50, D \$50,001-\$100	B \$1,001-\$15,00 C \$15,001-\$50, D \$50,001-\$100 E \$100,001-\$25 F \$250,001-\$50	B \$1,001-\$15,00 C \$15,001-\$50, D \$50,001-\$100 E \$100,001-\$25 F \$250,001-\$50 G \$500,001-\$1,	B \$1,001-\$15,00 C \$15,001-\$50,00 D \$50,001-\$100 E \$100,001-\$25 F \$250,001-\$50 G \$500,001-\$1,000,001-\$1	B \$1,001-\$15,00 C \$15,001-\$50, D \$50,001-\$100 E \$100,001-\$25 F \$250,001-\$50 G \$500,001-\$1,	B \$1,001-\$15,00 C \$15,001-\$50,00 D \$50,001-\$100 E \$100,001-\$25 F \$250,001-\$50 G \$500,001-\$1,000,001-\$1
マース		╆┈╢	4	_								Ů,	Ü	Ü	Ü	Ü	Ü
マ	GCIA GCIA	××	+	T	2/28/2011						×						
Y FA CO A A A A A A A A A A A A A A A A A A	e Fd Cl A	××	+	1	8/29/2011 9/15/2011						×	×	×	×		×	
Y FG CO A Y FG CO A Y FG CO A X X X X X X X X X X X X X X X X X X	ome Fd Cl A	×	+		9/28/2011						×	×	×	×			
V HOOAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA	ncome Fd Cl A	×	\vdash		10/14/2011											×	
V HOOAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA	ncome Fd Cl A	×	H		10/14/2011												
y Fd CIA y Fd CIA y Fd CIA y Fd CIA x x x x x x x x x x x x x x x x x x x	Income Fd Ci A	×	+		10/14/2011							×	+	+	+	+	+
y Fd CIA y Fd CIA y Fd CIA y Fd CIA x x x x x x x x x x x x x x x x x x x	ncome Fd Cl A	×	t		10/17/2011	_											
y Fd CIA W X X X X X X X X X X X X X X X X X X	Income Ed CI A	< ×	-		10/28/2011						×	×	+	+	+	+	+
y Fa CIA x x x x x x x x x x x x x x x x x x x	h Income Fd Cl A	×	\dagger	7	12/9/2011						- - - -		-		-	-	-
y Fd CIA y Fd CIA y Fd CIA y Fd CIA x x x x x x x x x x x x x x x x x x x	th Income Fd CI A	×	\dashv		12/9/2011							×	\rightarrow	\rightarrow	\rightarrow	\rightarrow	\rightarrow
y Fd CIA y Fd CIA y Fd CIA X X X X X X X X X X X X X	igh Income Fd Cl A	×	Н		12/30/2011							×	×	×	×	×	×
y Fd CIA y Fd CIA y Fd CIA X X X X X X X X X X X X X X X X X X X			+	T													
y Fd CIA y Fd CIA x Fd CIA x Fd CIA x X X X X X X X X X X X X X X X X X X	cience and Technology Fd CI A	<u> </u> ×	 	1	7/20/2011									×		×	×
y Fd CIA X X X X X X X X X X X X X X X X X X	cience and Technology Fd Cl A	×	┪	7	7/28/2011								×	×	-	-	-
	cience and Technology Fd Cl A	×			8/1/201	П											
	cience and Technology Fd Cl A	×	H		9/15/201	1					1	1				1 ×	
	organ Hìgh Yield CI A	×	+	十	1/4/201		_	1	+	×							
××××	rgan High Yield CI A	×	1	7	2/2/201	<u> </u>		-\									
×××	rgan High Yield CI A	×	H	Ħ	3/2/201	1			1								
\$ X X	an High Yield CI A	×	H		9/2/201	1											
X	an High Yield CI A	×	╁	1	10/4/201	1-											
	Morgan High Yield CI A	××	\dagger	T	11/2/2011					××	+	+	+	+	+	+	+

Schedule IV - Transactions Total Year: 2011 Honorable Darrell Issa

	Lord Abbett Value Opportunities Fd Cl A	Lord Abbett Value Oppositionings Fd Cr A	rd Abbett Value Opportunities Ed Cl A	ord Abbett High Yield Fd Cl A	Loid Abbell high Tied FO CIA	Lord Abbett High Vield Ed Cl A	A STANDER FINGLE FOR A	rd Abbett High Yield Ed CI A	ord Abbett High Yield Fd Cl A	Lord Abbett High Yield Fd Cl A	Lord Abbett High Yield Fd Cl A	ord Abbett Fundamental Equity CI A	Lord Abbett Fundamental Equity CI A	ord Abbett Fundamental Equity CI A	ord Abbett Fundamental Equity CI A	ord Abbett Fundamental Equity CI A	Lord Abbett Fundamental Equity CI A	JP Morgan High Yield CI A	IP Morgan High Yield CI A		Investment				
l		ı	1						ŀ																
 	 	<		×	×	×	×	×	 	\ \ \	 	 	<u> </u> ×I				×	×	×	×		×	×	Purchase	
 	 	<		×	×	×	×	×	:>	< >	 	\ 	 			×	×	×	×	×		×	×	Purchase Sale	Туре
┿	×××	, ,	×	×	×	×	×	×	:>	< >	\	×;	×	×	X	×	×	×	×	×	×	×	×		Туре
┿	××	,	×	×	×	×	×	××	* >	< >	\	× ;	×	×	X	×	×	×	×	×	×	×	×	Sale	Туре
×	<u> </u>	7/28/2011	× 5/12/2011	X 12/30/2011										X 1/13/2011	X 1/13/2011	X 11/29/2011					×	X 12/19/2011		Sale Exchange (*)	Type Date
×	<u> </u>	† }	× 5/12/2011								0///2011			X 1/13/2011	X 1/13/2011					X 7/20/2011	×			Sale Exchange (*)	
×	<u> </u>	† }	X 5/12/2011											X 1/13/2011	X 1/13/2011				9/13/2011		×			Sale Exchange (*) Check box if capital gain exceeds \$200	Date
×	<u> </u>	† }	X 5/12/2011											X 1/13/2011	X 1/13/2011			11/23/2011	9/13/2011		×			Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000	Date
×	<u> </u>	† }	X 5/12/2011				11/1/2011	10/17/2011		10/3/2011	0/4/2011	3/1/2011	2/1/2011	X 1/13/2011	X 1/13/2011			11/23/2011	9/13/2011		×		12/19/2011	Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000	Date
×	<u> </u>	† }	X 5/12/2011	12/30/2011	12/19/2011	12/1/2011	11/1/2011 ×	10/17/2011		10/3/2011	0/4/2011	3/1/2011		X 1/13/2011	X 1/13/2011			11/23/2011 X	9/13/2011		×	12/19/2011		Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000	Date
×	8/1/2011	7/2/2011			12/19/2011	12/1/2011	11/1/2011 ×	10/17/2011	10/3/2011	10/3/2011	0/4/2011	3/1/2011	2/1/2011	X 1/13/2011	X 1/13/2011		11/23/2011	11/23/2011 X	9/13/2011	7/20/2011	X 5/12/2011	12/19/2011	12/19/2011	Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000 F \$250,001-\$500,000	Date
X 9/13/2011	8/1/2011	† }		12/30/2011	12/19/2011	12/1/2011	11/1/2011 ×	10/17/2011	10/3/2011	10/3/2011	0/4/2011	3/1/2011	2/1/2011	X 1/13/2011	X 1/13/2011	11/29/2011	11/23/2011 X	11/23/2011 X	9/13/2011		X 5/12/2011	12/19/2011	12/19/2011	Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000 F \$250,001-\$500,000 G \$500,001-\$1,000,000	Date
X 9/13/2011	8/1/2011	7/2/2011		12/30/2011	12/19/2011	12/1/2011	11/1/2011 ×	10/17/2011	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	10/3/2011	0/4/2011	3/1/2011	2/1/2011 X				11/23/2011 X	11/23/2011 X	9/13/2011	7/20/2011	X 5/12/2011	12/19/2011	12/19/2011	Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$100,000 D \$50,001-\$100,000 F \$250,001-\$500,000 G \$500,001-\$1,000,000 H \$1,000,001-\$5,000,000	Date
X 9/13/2011	8/1/2011	7/2/2011		12/30/2011	12/19/2011	12/1/2011	11/1/2011 ×	10/17/2011	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	10/3/2011	0/4/2011	3/1/2011	2/1/2011 X			11/29/2011	11/23/2011 X	11/23/2011 X	9/13/2011	7/20/2011	X 5/12/2011	12/19/2011	12/19/2011	Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000 F \$250,001-\$500,000 G \$500,001-\$1,000,000	

Schedule IV - Transactions Total Year: 2011 Honorable Darrell Issa

STATS ISSUED SETS CITIN 14. / 1/8 SV 20.24 QUE S/20/12 BUT 5% (MILUTE)	CONTRACTOR OF THE CONTRACTOR O	Ford Steps Issuer SEK CPN 9.75% SV 14.12 due 3/23/12 (MLAUF)	Cisco Stars Issuer BAC Prem 13.61% SV 16.27 due 6/5/12 BUF 5%	Cisco Stars Issuer BAC Prem 13.61% SV 16.27 due 6/5/12 BUF 5%	Mainstay High Yield Corporate Bond Cl A	Mainstay High Yield Corporate Bond Ct A	Mainstay High Yield Corporate Bond Cl A	Mainstay High Yield Corporate Bond Cl A	Mainstay High Yield Corporate Bond CI A	Mainstay High Yield Corporate Bond CI A	Mainstay High Yield Corporate Bond Cl A	Mainstay High Yield Corporate Bond CI A	Mainstay High Yield Corporate Bond Cl A	Mainstay High Yield Corporate Bond CI A	Mainstay High Yield Corporate Bond Cl A	ord Abbett Value Opportunities Fd Cl A		Investment								
	•																									
\ {		_ _ _		×	×	×	×	×	×	×	×	×	×	×	×	×	×							×	Purchase	
\ \ \			×		×	×	×	×	×	×	×	×	×	×	×	×	×							×	Purchase Sale	Тур
‡		×	×		×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	Sale	Туре
‡	×	×	×		×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	<u> </u>	Type
	1		×		X 12/14/2011		X 11/14/2011	X 11/1/2011	X 10/17/2011		1							X 1/14/2011				X 1/13/2011	X 1/13/2011	X 11/29/2011	Sale Exchange (*)	Type Date
	1		×								1							X 1/14/2011				X 1/13/2011	X 1/13/2011		Sale Exchange (*)	
	1		×								1							X 1/14/2011				X 1/13/2011	X 1/13/2011		Sale Exchange (*) Check box if capital gain exceeds \$200	Date
	1		×								1								1/14/2011		1/14/2011		X 1/13/2011		Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000	Date
	1		×					11/1/2011			1		10/4/2011	9/30/2011	9/1/2011		2/1/2011	×	1/14/2011	1/14/2011	1/14/2011		X 1/13/2011		Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000	Date
	1		×			12/1/2011	11/14/2011				1	10/6/2011	10/4/2011	9/30/2011	9/1/2011	3/1/2011	2/1/2011	×	1/14/2011	1/14/2011	1/14/2011		X 1/13/2011		Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000	Date
7/7/2011	5/12/2011		×		12/14/2011	12/1/2011	11/14/2011	11/1/2011			1	10/6/2011	10/4/2011	9/30/2011	9/1/2011	3/1/2011	2/1/2011	×	1/14/2011	1/14/2011	1/14/2011		X 1/13/2011		Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000 F \$250,001-\$500,000	Date
7/7/2011	5/12/2011 X	5/12/2011	X 11/29/2011	5/26/2011	12/14/2011	12/1/2011	11/14/2011	11/1/2011		10/14/2011	10/14/2011	10/6/20111 X	10/4/2011	9/30/2011	9/1/2011	3/1/2011	2/1/2011	×	1/14/2011	1/14/2011	1/14/2011		X 1/13/2011	11/29/2011	Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000 F \$250,001-\$500,000 G \$500,001-\$1,000,000	Date
7/7/2011	5/12/2011 X	5/12/2011	X 11/29/2011	5/26/2011	12/14/2011	12/1/2011	11/14/2011	11/1/2011	10/17/2011	10/14/2011 X	10/14/2011	10/6/20111 X	10/4/2011	9/30/2011	9/1/2011	3/1/2011	2/1/2011	×	1/14/2011	1/14/2011	1/14/2011				Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$100,000 D \$50,001-\$100,000 E \$100,001-\$250,000 F \$250,001-\$500,000 G \$500,001-\$1,000,000 H \$1,000,001-\$5,000,000	Date
7/7/2011	5/12/2011 X	5/12/2011	X 11/29/2011	5/26/2011	12/14/2011	12/1/2011	11/14/2011	11/1/2011		10/14/2011 X	10/14/2011	10/6/20111 X	10/4/2011	9/30/2011	9/1/2011	3/1/2011	2/1/2011	×	1/14/2011	1/14/2011	1/14/2011		X 1/13/2011 X	11/29/2011	Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000 F \$250,001-\$500,000 G \$500,001-\$1,000,000	

Schedule IV - Transactions Total Year: 2011 Honorable Darrell Issa

Oppenheimer Global Strategic Income Fd Cl A	Oppenheimer Global Strategic Income Fd Cl A	Oppenheimer Global Strategic Income Fd Cl A	Oppenheimer Developing Markets Fd Cl A	MFS Utilities Fund CI A	MFS Utilities Fund CI A	MFS Utilities Fund CI A	MFS Utilities Fund Cl A	MFS Utilities Fund Cl A	MFS Utilities Fund CI A	MFS Utilities Fund CI A	MFS Utilities Fund CI A	MFS Utilities Fund Cl A	MFS Utilities Fund CI A	of the Charle Power at to 1 1011 (2.01 to 0.01 and 0.01 to 0.01 to 0.01 with	JPM Stars Issuer BAC Prem 12.57% SV 45.67 due 5/7/12 BUF 5% (MLOFO)		Investment								
3																									
 				×		×	×	×	×	×		×	×	×	×		×	×	×				 x	Purchase	_ •
×	×	×		×		×	×	×	×	×		×	×	×	×) X	×	×	×			 	×	Purchase Sale	Туј
×	×	×	×	×	×	×	×	×	×	×	×	×	×	×	X) ×	×	×	×		×	;	×	Sale	Туре
×	×	×	×	X	X	×	×	×	×	×	×	×	×	X	×		×	×	×	×	×	;	×	Sale Exchange (*)	Туре
X 12/30/2011	X 12/27/2011		X 12/15/2011	X 12/13/2011						X 5/19/2011	X 5/12/2011	X 12/30/2011	X 12/2/2011		X 10/4/2011] X]] 9/2/2011]	X 8/2/2011			X 1/13/2011	X 1/13/2011		X 4/29/2011	Sale Exchange (*) Check box if capital gain exceeds \$200	Type Date
12/30/2011	12/27/2011	12/12/2011									X 5/12/2011									1	X 1/13/2011			Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000	
	12/27/2011	12/12/2011									X 5/12/2011		12/2/2011	11/2/2011	10/4/2011	9/2/2011	8/2/2011	3/2/2011	2/2/2011	1	X 1/13/2011			Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000	Date
12/30/2011	12/27/2011	12/12/2011									X 5/12/2011	12/30/2011		11/2/2011		9/2/2011	8/2/2011	3/2/2011		1	X 1/13/2011			Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000	Date
12/30/2011	12/27/2011	12/12/2011	12/15/2011	12/13/2011							X 5/12/2011		12/2/2011	11/2/2011	10/4/2011	9/2/2011	8/2/2011	3/2/2011	2/2/2011	1	X 1/13/2011			Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000	Date
12/30/2011	12/27/2011	12/12/2011		12/13/2011							X 5/12/2011	12/30/2011	12/2/2011	11/2/2011	10/4/2011	9/2/2011	8/2/2011	3/2/2011	2/2/2011	1	X 1/13/2011			Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000	Date
12/30/2011	12/27/2011	12/12/2011	12/15/2011	12/13/2011		7/28/2011	7/20/2011	7/20/2011	6/9/2011			12/30/2011	12/2/2011	11/2/2011	10/4/2011	9/2/2011	8/2/2011	3/2/2011	2/2/2011	1	X 1/13/2011			Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000 F \$250,001-\$500,000	Date
12/30/2011	12/27/2011	12/12/2011	12/15/2011	12/13/2011			7/20/2011	7/20/2011	6/9/2011	5/19/2011	×	12/30/2011	12/2/2011	11/2/2011	10/4/2011	9/2/2011	8/2/2011	3/2/2011	2/2/2011	1	X 1/13/2011		4/29/2011	Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000 F \$250,001-\$500,000 G \$500,001-\$1,000,000	Date
12/30/2011	12/27/2011	12/12/2011	12/15/2011	12/13/2011	12/12/2011	7/28/2011	7/20/2011	7/20/2011	6/9/2011	5/19/2011	×	12/30/2011	12/2/2011	11/2/2011	10/4/2011	9/2/2011	8/2/2011	3/2/2011	2/2/2011	1/13/2011				Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000 F \$250,001-\$500,000 G \$500,001-\$1,000,000 H \$1,000,001-\$5,000,000	
12/30/2011	12/27/2011	12/12/2011	12/15/2011	12/13/2011		7/28/2011	7/20/2011	7/20/2011	6/9/2011	5/19/2011	×	12/30/2011	12/2/2011	11/2/2011	10/4/2011	9/2/2011	8/2/2011	3/2/2011	2/2/2011	1/13/2011	X 1/13/2011 X		4/29/2011	Sale Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000 F \$250,001-\$500,000 G \$500,001-\$1,000,000	Date

Schedule IV - Transactions Total Year: 2011
Honorable Darrell Issa

Putnam High Yield Trust Fd Cl A	Putnam High Yield Trust Fd Cl A	Putnam High Yield Trust Fd Cl A	Putnam High Yield Trust Fd Cl A	Putnam High Yield Trust Fd Cl A	aniam Light Light Davishinger a CCO	Buttonn Light vield Advantage Fd CrA	Putnam High Yield Advantage Fd Cl A	Putnam High Yield Advantage Fd Cl A	Putnam High Yield Advantage Fd Cl A		Principal High Yield Fund CI A	Principal High Yield Fund CI A	Principal High Yield Fund Cl A	Principal High Yield Fund CI A	Principal High Yield Fund CI A	Principal High Yield Fund CI A	Principal High Yield Fund Cl A	Principal High Yield Fund CI A	Principal High Yield Fund Cl A	Principal High Yield Fund CI A		nvestment				
_	L ×	×	L		<u> </u>	T,	√×	×	×		시 지	ب ×ا:	×I	×I;	× ;	⊥ ×I:	ا ×ا×	×ا≻ خا≻	<u>√</u>	<u> </u> 	<u> </u> 				Purchase]]
H				Н	١,	╬	+		\vdash	H		7	7	7	+	7	4	+	╫	╁	┝	L	H	Н	 Sale	J
	Н	┝		Щ	ľ		1				- 1	- 1		- 1		- 1	- 1						1	i		I≚
Г			l۷	ly	T	+	╀	-	_		\dashv	\dashv	+	+	+	+	+	+	╁	(>	 	×	×	×		Type
	Г		×	×	1	+	_				-	+		 	+	+	+	+	<u> </u> >	< ×	×	×	×	×	Exchange (*)	ype
8/26/2011	2/28/2011	1/26/2011		X 1/13/2011	1100000		10/26/2011	9/2//2011	9/14/2011		12/30/2011	12/20/2011	12/20/2011	12/1/2011	11/1/2011	10/3/2011	9/1/2011	3/1/2011	A 1/14/2011							
8/26/2011	2/28/2011	1/26/2011		X 1/13/2011	10002		10/26/2011	9/2//2011	9/14/2011		12/30/2011	12/20/2011	12/20/2011	12/1/2011	11/1/2011	10/3/2011	9/1/2011	3/1/2011		+					Exchange (*)	
8/26/2011	2/28/2011	1/26/2011		X 1/13/2011			10/26/2011	9/2//2011	9/14/2011		12/30/2011	12/20/2011	12/20/2011	12/1/2011	11/1/2011	10/3/2011	9/1/2011	3/1/2011		+					Exchange (*) Check box if capital gain exceeds \$200	Date
8/26/2011	2/28/2011	1/26/2011		X 1/13/2011	1700220		10/26/2011	9/2//2011	9/14/2011		12/30/2011	12/20/2011	12/20/2011	12/1/2011	11/1/2011	10/3/2011	9/1/2011	3/1/2011	2/1/2011	+	1/14/2011	1/14/2011	1/13/2011		Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000	Date
8/26/2011	2/28/2011	1/26/2011		X 1/13/2011			10/26/2011	9/2//2011	9/14/2011			12/20/2011 X		12/1/2011 X				3/1/20111 X	2/1/2011	1/14/2011	1/14/2011	1/14/2011	1/13/2011		Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000	Date
8/26/2011			1/13/2011	X 1/13/2011		11/20/2011		9/2//2011 X											2/1/2011	1/14/2011	1/14/2011	1/14/2011	1/13/2011		Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000	Date
8/26/2011 X		1/26/2011 X	1/13/2011	X 1/13/2011		11/20/2011						×							2/1/2011	1/14/2011	1/14/2011	1/14/2011	1/13/2011		Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000 F \$250,001-\$500,000	Date
			1/13/2011	X 1/13/2011		11/20/2011						×							2/1/2011	1/14/2011	1/14/2011	1/14/2011	1/13/2011		Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000 F \$250,001-\$500,000 G \$500,001-\$1,000,000	Date
			1/13/2011			11/20/2011						×							2/1/2011	1/14/2011	1/14/2011	1/14/2011	1/13/2011	1/13/2011	Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000 F \$250,001-\$500,000 G \$500,001-\$1,000,000 H \$1,000,001-\$5,000,000	Date
			1/13/2011	X 1/13/2011 X		11/20/2011						×							2/1/2011	1/14/2011	1/14/2011	1/14/2011	1/13/2011	1/13/2011	Exchange (*) Check box if capital gain exceeds \$200 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000 F \$250,001-\$500,000 G \$500,001-\$1,000,000	

Schedule IV - Transactions Total Year: 2011 Honorable Darrell Issa

Property located at 314 Beach Drive, South Lake Tahoe, CA	Property located at 1709 La Costa Meadows, San Marcos, CA	Property located at 2530 Gateway Road, Carlsbad, CA		S&P US Pfd Stk Index Fd I-Shares	Putnam High Yield Trust Fd Cl A		Investment								
			-												
<u> </u>				×	×	¥		L X	×	\sqrt{x}	×	×		Purchase	
×	<			×	X	×	×	×	×	×	×	×	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	Purchase	
×		X		×	l X	×	×	×	×	×	×	×	×	Sale	Туре
×				X	X	×	×	×	X	×	×	×		Sale Exchange (*)	_
×		X		X	X	×	×	×	×	×	×	×	X	Sale	_
X 8/11/2011	X 3/14/2011	×		X 12/7/2011				X 12/28/2011				X 9/27/2011		Sale Exchange (*)	_
X 8/11/2011		×									1			Sale Exchange (*)	00
X 8/11/2011		×			11/7/2011	10/7/2011					1			Sale Exchange (*) Check box if capital gain exceeds \$2	Date
X 8/11/2011		×		12/7/2011	11/7/2011	10/7/2011	9/8/2011				1			Sale Exchange (*) Check box if capital gain exceeds \$2 A \$1-\$1,000	Date
X 8/11/2011		×		12/7/2011	11/7/2011	10/7/2011	9/8/2011				1			Sale Exchange (*) Check box if capital gain exceeds \$2 A \$1-\$1,000 B \$1,001-\$15,000	Date
X 8/11/2011		×		12/7/2011	11/7/2011	10/7/2011	9/8/2011				10/26/2011	9/27/2011		Sale Exchange (*) Check box if capital gain exceeds \$2 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000	Date
X 8/11/2011		×		12/7/2011	11/7/2011	10/7/2011	9/8/2011			11/28/2011	10/26/2011	9/27/2011		Sale Exchange (*) Check box if capital gain exceeds \$2 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000	Date
X 8/11/2011 X		×		12/7/2011	11/7/2011	10/7/2011	9/8/2011	12/28/2011		11/28/2011	10/26/2011	9/27/2011		Sale Exchange (*) Check box if capital gain exceeds \$2 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000	Date
		×		12/7/2011	11/7/2011	10/7/2011	9/8/2011	12/28/2011		11/28/2011	10/26/2011	9/27/2011		Sale Exchange (*) Check box if capital gain exceeds \$2 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000 F \$250,001-\$500,000	Date
		X 5/12/2011		12/7/2011	11/7/2011	10/7/2011	9/8/2011	12/28/2011		11/28/2011	10/26/2011	9/27/2011		Sale Exchange (*) Check box if capital gain exceeds \$2 A \$1-\$1,000 B \$1,001-\$15,000 C \$15,001-\$50,000 D \$50,001-\$100,000 E \$100,001-\$250,000 F \$250,001-\$500,000 G \$500,001-\$1,000,000	00

K Over \$50,000,000

(*) Exchange transactions are in-kind security transfers between accounts:

NOTES