



Filing ID #10000703

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Mr. Peter Welch  
**Status:** Member  
**State/District:** VT00

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2013  
**Filing Date:** 05/9/2014

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
131 Main Street		\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: Burlington, VT, US					
Alerian MLP ETF	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
American Century High Yield		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
American Century International Growth		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
American Century Money Market		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
American Century Real Estate		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
American General Life		\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Banco Santander - Chile ADS (BSAC)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Barclays iShares High Yield		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Barclays Short Term High Yield		\$100,001 - \$250,000	None		<input type="checkbox"/>
Biotech Select Sector SPDR ETF	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Congressional Federal Credit Union		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Consumer Discretionary Select Sector SPDR	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Consumer Staples Select Sector SPDR	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Costco Wholesale Corporation (COST)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Doubleline Total Return		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
EMC Corporation (EMC)		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Energy Select Sector SPDR	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Fidelity Municipal Income		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Fidelity Cap&Income		\$100,001 - \$250,000	None		<input type="checkbox"/>
Fidelity Cash Reserve		\$250,001 - \$500,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Fidelity Contra Fund		\$250,001 - \$500,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Fidelity Convertible Securities		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Growth		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity IT Services		\$15,001 - \$50,000	None		<input type="checkbox"/>
Fidelity Low Priced Stock		\$100,001 - \$250,000	None		<input type="checkbox"/>
Fidelity Municipal Money Market		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Fidelity New Market Income		\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Fidelity Select Biotech		\$50,001 - \$100,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Fidelity Short Intermediate Municipal Income	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Fidelity Telecom Utilities		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Financial Select Sector SPDR	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Foundation Medicine, Inc. (FMI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
General Electric Company (GE)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Guggenheim Bullet Shares 2015	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Intel Corporation (INTC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
iShares Barclays 1-3 yr Credit Bond	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
iShares Core MSCI Emerging Markets	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
iShares Dow Jones US Medical Devices Index	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
iShares Dow Jones US Pharmaceuticals	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
iShares Emerging Markets		\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
iShares Global Telecom		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
iShares Homebuilders		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
iShares Japan		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
iShares Latin America		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
iShares Materials		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
iShares MSCI All Country Asia ex Japan Index	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
iShares MSCI Europe Financial Sector	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
iShares S&P NA Tech Multi-Media Networking	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
iShares Taiwan		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
iShares Technology		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
iShares Utilities		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
iShares Vietnam		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
JP Morgan Chase & Co. (JPM)		\$1,001 - \$15,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Kyocera Corporation (KYO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Lindsay Corporation (LNN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LSI Corporation (LSI)		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Market Vectors Agribusiness	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Materials Select Sector SPDR Trust	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Monument Life		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Northern Prime Obligations Portfolio	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northern Trust Global Real Estate Index Fund	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Novartis		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Novartis AG (NVS)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
NVIDIA Corporation (NVDA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Pimco Total Return ETF	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
PNC Financial Services Group, Inc. (PNC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
PowerShares S&P SmallCap Idustrial	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
PowerShares S&P SmallCap Info Tech	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Procter & Gamble Company (PG)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Rite Aid Corporation (RAD)		\$50,001 - \$100,000	None		<input type="checkbox"/>
Sapient Corporation (SAPE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Schwab Money Market		\$50,001 - \$100,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Short Term High Yield SPDR ETF		\$100,001 - \$250,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Silver Spring Networks, Inc. (SSNI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Sirius XM Holdings Inc. (SIRI)		\$50,001 - \$100,000	None		<input type="checkbox"/>
SPDR Health Care Services	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SPDR S&P 500		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SPDR S&P Capital Markets	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SPDR S&P Insurance ETF	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SPDR S&P Midcap 400 ETF TR Ser 1	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SPDR Short-term High Yield	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
St. Germain Money Market		\$100,001 - \$250,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SuperValu Inc. (SVU)		\$1,001 - \$15,000	None		<input type="checkbox"/>
Technology Select Sector SPDR	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
TIAA Cref Equity Index		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
TIAA Cref Global Equities		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
TIAA Cref Growth		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
TIAA Cref High Yield		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
TIAA Cref Large Cap		\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TIAA Cref Money Market		\$50,001 - \$100,000	Dividends	\$1 - \$200	<input type="checkbox"/>
TIAA Cref Real Estate		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
TIAA Cref Small Cap		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
TIAA Traditional		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Town Farm Hill Road		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Hartland, VT, US					
U.S Treasury 2.5% 8/15/13		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
US Airways		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Private Stock					
US Airways Jan 19, 2013 Call \$14		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
US Airways March 16, 2013 Call \$15		\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	<input type="checkbox"/>
US Bancorp Del DEPOSITARY SH S G (USB\$N)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Europe Pacific	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard European Stock	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Precious Metals		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Total Stock Market Index		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Wells Fargo & Company (WFC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Wisdom Tree Japan		\$50,001 -	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$100,000			
WisdomTree International MidCap Dividend Fund	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WisdomTree SmallCap Dividend Fund	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Xerox		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alerian MLP ETF	SP	05/8/2013	P	\$1,001 - \$15,000	
American Airlines		01/30/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
American Century High Yield		12/26/2013	P	\$1,001 - \$15,000	
American Century High Yield		12/16/2013	P	\$1,001 - \$15,000	
Banco Santander - Chile ADS (BSAC)		12/27/2013	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Banco Santander - Chile ADS (BSAC)		03/25/2013	P	\$50,001 - \$100,000	
Barclays iShares High Yield		12/31/2013	P	\$100,001 - \$250,000	
Barclays Short Term High Yield		12/24/2013	P	\$100,001 - \$250,000	
Biotech Select Sector SPDR ETF	SP	03/11/2013	P	\$1,001 - \$15,000	
Costco		03/14/2013	P	\$1,001 - \$15,000	
EMC		01/29/2013	P	\$1,001 - \$15,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Energy Select Sector SPDR	SP	07/31/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Energy Select Sector SPDR	SP	02/1/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Construction and Housing		06/5/2013	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Fidelity Convertible Securities		12/31/2013	P	\$50,001 - \$100,000	
Fidelity IT Portfolio		12/31/2013	P	\$15,001 - \$50,000	
Fidelity Low Priced Stock		12/31/2013	P	\$100,001 - \$250,000	
Fidelity Municipal Income		12/17/2013	S	\$50,001 - \$100,000	<input type="checkbox"/>
Fidelity Select Biotech		05/29/2013	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Fidelity Select Construction & Housing		06/5/2013	S	\$100,001 - \$250,000	<input type="checkbox"/>
Fidelity Short Intermediate Municipal Income	SP	07/30/2013	P	\$1,001 - \$15,000	
Fidelity Telecom Utilities		12/24/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Financial Select Sector SPDR	SP	08/12/2013	P	\$1,001 - \$15,000	
Financial Select Sector SPDR	SP	02/21/2013	P	\$1,001 - \$15,000	
Financial Select Sector SPDR	SP	03/11/2013	P	\$1,001 - \$15,000	
Foundation Medicine		11/19/2013	P	\$1,001 - \$15,000	
Gorman Rupp		03/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Guggenheim Bullet Shares 2015	SP	05/25/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Guggenheim Bullet Shares 2015	SP	07/28/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Invensense		07/22/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Invensense		05/15/2013	P	\$1,001 - \$15,000	
iShares Barclays 1-3 yr Credit Bond	SP	06/7/2013	P	\$1,001 - \$15,000	
iShares Barclays 1-3 yr Credit Bond	SP	07/29/2013	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
iShares Barclays 1-3 yr Credit Bond	SP	01/23/2013	P	\$1,001 - \$15,000	
iShares Core MSCI Emerging Markets	SP	12/31/2013	P	\$1,001 - \$15,000	
iShares Core MSCI Emerging Markets	SP	05/25/2013	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
iShares Core MSCI Emerging Markets	SP	08/23/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
iShares Core MSCI Emerging Markets	SP	03/26/2013	P	\$1,001 - \$15,000	
iShares Core MSCI Emerging Markets	SP	04/4/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
iShares Dow Jones US Medical Devices Index	SP	03/11/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
iShares Dow Jones US Medical Devices Index	SP	05/8/2013	P	\$1,001 - \$15,000	
iShares Dow Jones US Pharmaceuticals	SP	03/1/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
iShares Dow Jones US Pharmaceuticals	SP	05/8/2013	P	\$1,001 - \$15,000	
iShares Emerging Markets		12/31/2013	P	\$50,001 - \$100,000	
iShares Global Telecom		01/15/2013	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares Homebuilders		12/29/2013	P	\$1,001 - \$15,000	
iShares Homebuilders		12/24/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
iShares Homebuilders		05/17/2013	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
iShares iBoxx High Yield	SP	07/29/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
iShares Japan		07/29/2013	P	\$50,001 - \$100,000	
iShares Japan		06/28/2013	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
iShares Japan		07/22/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
iShares Latin America		12/27/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
iShares Materials		12/26/2012	P	\$15,001 - \$50,000	
iShares MSCI Emerging Markets Index Fund	SP	03/26/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
iShares MSCI Europe Financial Sector	SP	08/23/2013	P	\$1,001 - \$15,000	
iShares MSCI Europe Financial Sector	SP	05/1/2013	P	\$1,001 - \$15,000	
iShares MSCI Italy Index	SP	04/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
iShares MSCI Sweden Index Fund	SP	02/20/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
iShares MSCI United Kingdom	SP	02/20/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
iShares Oil Equipment and Services	SP	05/7/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
iShares S&P NA Tech Multi-Media Networking	SP	07/31/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares S&P NA Tech Multi-Media Networking	SP	03/11/2013	P	\$1,001 - \$15,000	
iShares S&P Preferred Stock Index	SP	06/12/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
iShares Taiwan		12/31/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
iShares Taiwan		08/20/2013	P	\$1,001 - \$15,000	
iShares Technology		12/26/2012	P	\$15,001 - \$50,000	
iShares Utilities		11/27/2013	P	\$100,001 - \$250,000	
iShares Vietnam		09/9/2013	P	\$50,001 - \$100,000	
iShares Vietnam		06/5/2013	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
iShares Vietnam		07/26/2013	P	\$50,001 - \$100,000	
iShares Vietnam		08/7/2013	S	\$50,001 - \$100,000	<input type="checkbox"/>
iShares Vietnam		04/9/2013	P	\$50,001 - \$100,000	
Lindsay Corp		10/10/2013	P	\$1,001 - \$15,000	
LSI		09/24/2013	P	\$50,001 - \$100,000	
LSI		10/8/2013	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LSI		07/30/2013	P	\$50,001 - \$100,000	
LSI		08/16/2013	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Materials Select Sector SPDR Trust	SP	08/23/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Microsoft		05/22/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Novartis		08/6/2013	P	\$1,001 - \$15,000	
Pimco Total Return ETF	SP	01/23/2013	P	\$1,001 - \$15,000	
PowerShares International Mun.	SP	01/23/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
PowerShares S&P SmallCap Idustrial	SP	07/31/2013	P	\$1,001 - \$15,000	
PowerShares S&P SmallCap Industrial	SP	01/25/2013	P	\$1,001 - \$15,000	
PowerShares S&P SmallCap Industrial	SP	04/1/2013	P	\$1,001 - \$15,000	
PowerShares S&P SmallCap Information Tech	SP	01/25/2013	P	\$1,001 - \$15,000	
Silver Spring Network		11/1/2013	P	\$1,001 - \$15,000	
Sirius XM Holdings Inc. (SIRI)		12/10/2013	P	\$50,001 - \$100,000	
SPDR Health Care Services	SP	03/11/2013	P	\$1,001 - \$15,000	
SPDR Health Care Services	SP	05/8/2013	P	\$1,001 - \$15,000	
SPDR S&P 500 (Spy)		08/1/2013	P	\$100,001 - \$250,000	
SPDR S&P 500 (Spy)		05/24/2013	P	\$100,001 - \$250,000	
SPDR S&P 500 (Spy)		06/12/2013	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
SPDR S&P 500 (Spy)		08/22/2013	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SPDR S&P Insurance ETF	SP	02/1/2013	P	\$1,001 - \$15,000	
SPDR S&P Midcap 400 ETF TR Ser 1	SP	08/23/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SPDR Short-term High Yield		07/8/2013	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
SPDR Short-term High Yield	SP	07/29/2013	P	\$1,001 - \$15,000	
SPDR Short-term High Yield		07/5/2013	P	\$100,001 - \$250,000	
Supervalu		12/31/2013	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Technology Select Sector SPDR	SP	01/25/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Technology Select Sector SPDR	SP	02/21/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Technology Select Sector SPDR	SP	03/1/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
TIAA Cref Growth		12/26/2013	P	\$1,001 - \$15,000	
TIAA Cref Large Cap		12/26/2013	P	\$15,001 - \$50,000	
U.S Treasury 2.5% 8/15/2013		09/5/2013	P	\$15,001 - \$50,000	
US Airways		03/15/2013	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
US Airways		03/15/2013	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
US Airways		08/16/2013	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
US Airways		05/17/2013	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
US Airways		05/14/2013	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
US Airways		05/13/2013	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
US Airways		05/8/2013	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
US Airways		03/14/2013	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
US Airways Call August 13 \$16		07/3/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
US Airways Call May 18, 2013 \$16		05/2/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
US Airways Call May 18, 2013 \$17		05/9/2013	P	\$1,001 - \$15,000	
US Airways Call May 18, 2013 \$17		05/17/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
US Airways Jan 19, 2013 Call \$14		01/4/2013	P	\$15,001 - \$50,000	
US Airways Jan 19, 2013 Call \$14		01/1/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
US Airways Jan 19, 2013 Call \$14		01/15/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
US Airways March 16, 2013 Call \$15		03/6/2013	P	\$1,001 - \$15,000	
US Airways March 16, 2013 Call \$15		03/15/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
US Airways March 16, 2013 Call \$15		03/14/2013	P	\$15,001 - \$50,000	
US Airways March 16, 2013 Call \$15		03/15/2013	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
US Bancorp		02/5/2013	P	\$1,001 - \$15,000	
US Treasury Bill 3/14/13		02/5/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Europe Pacific	SP	06/25/2013	P	\$1,001 - \$15,000	
Vanguard Europe Pacific	SP	08/23/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Europe Pacific	SP	08/23/2013	P	\$1,001 - \$15,000	
Vanguard Europe Pacific	SP	02/20/2013	P	\$1,001 - \$15,000	
Vanguard European Stock	SP	06/25/2013	P	\$1,001 - \$15,000	
Vanguard European Stock	SP	05/31/2013	P	\$1,001 - \$15,000	
Vanguard Intermediate Term C	SP	06/7/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Intermediate Term C	SP	07/29/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Total Stock Market Index		12/24/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Wisdom Tree Japan		12/31/2013	P	\$50,001 - \$100,000	
WisdomTree Emerging Markets Equity Income	SP	05/1/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WisdomTree Emerging Markets Equity Income	SP	05/31/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
WisdomTree India	SP	04/15/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WisdomTree International MidCap Dividend Fund	SP	02/20/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WisdomTree SmallCap Dividend Fund	SP	05/25/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WisdomTree SmallCap Dividend Fund	SP	11/5/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WisdomTree SmallCap Dividend Fund	SP	11/6/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Xerox		04/16/2013	P	\$1,001 - \$15,000	

### SCHEDULE C: EARNED INCOME

Source	Type	Amount
State of Vermont Legislature	Spouse	N/A
Public Service Board	Spouse	N/A

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Mascoma Savings Bank	2011	Mortgage	\$100,001 - \$250,000
JT	VSECU	1999	Mortgage	\$15,001 - \$50,000

### SCHEDULE E: POSITIONS

None disclosed.

### SCHEDULE F: AGREEMENTS

None disclosed.

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not

be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Mr. Peter Welch , 05/9/2014