



Filing ID #10016535

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Richard E. Neal  
**Status:** Member  
**State/District:** MA01

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2016  
**Filing Date:** 05/12/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cambridge Investors / Fidelity Balanced Fund		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cambridge Investors / Fidelity Leveraged Company Stock		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Cambridge Investors / T Rowe Price Cap Appreciation		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
City of Springfield, MA Retirement Fund ⇒ City of Springfield, MA Retirement Fund		\$15,001 - \$50,000	pension	\$15,001 - \$50,000	<input type="checkbox"/>
Congressional Federal Credit Union		\$1,001 - \$15,000	None		<input type="checkbox"/>
Key Bank (First Niagara)		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
COMMENTS: Bank name change from First Niagara to Key Bank					
Springfield MA Credit Union		\$1 - \$1,000	None		<input type="checkbox"/>
TD Bank North, 100% Interest		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
COMMENTS: Was listed last year at Bank North					

\* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

None disclosed.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
City of Springfield, MA Retirement Fund	Annual Retirement Allowance	\$21,843.60
City of Springfield, MA	spouse salary	N/A
Commonwealth of Massachusetts	Approved Teaching Fee	\$26,520.26

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Suntrust Bank	February 2008	Mortgage on Atwater Terrace, Springfield, MA	\$10,000 - \$15,000
COMMENTS: Mortgage was paid off in-full in August 2016.				

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 1989	Congressman and the City of Springfield, MA	Continued participation in the City of Springfield, MA retirement plan.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- City of Springfield, MA Retirement Fund

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Richard E. Neal , 05/12/2017