



Filing ID #10000897

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Mr. Raúl M. Grijalva
Status: Member
State/District: AZ03

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2013
Filing Date: 07/3/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3426 9TH AVE	JT	\$50,001 - \$100,000	Rent	\$1,001 - \$2,500	<input type="checkbox"/>
LOCATION: TUCSON, AZ, US					
3M Company (MMM)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Alcoa Inc. (AA)	SP	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Alliance Value Mark IV Annuity	SP	None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
American Express Company (AXP)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Amgen Inc. (AMGN)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Apple Inc. (AAPL)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Caterpillar, Inc. (CAT)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CenturyLink, Inc. (CTL)	SP	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
CITIBANK NA BANK DEPOSIT	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Corning Incorporated (GLW)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Deere & Company (DE)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Dow Chemical Company (DOW)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Enbridge Inc (ENB)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Home Depot, Inc. (HD)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
International Business Machines Corporation (IBM)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
MORGAN STANLEY BANK NA	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Mosaic Company (MOS)	SP	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Nuveen Diversified Dividend and Income Fund Shares of Beneficial Interest (JDD)	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
Pepsico, Inc. (PEP)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Pfizer, Inc. (PFE)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Qwest Corporation 6.125% Notes due 2053 (CTY)	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
R.R. Donnelley & Sons Company (RRD)	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Schlumberger N.V. (SLB)	SP	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Stryker Corporation (SYK)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Terex Corporation (TEX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
United Technologies Corporation (UTX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Wells Fargo & Company (WFC)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Williams Companies, Inc. (WMB)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
3M Company (MMM)	SP	07/18/2013	P	\$1,001 - \$15,000	
Alcoa Inc. (AA)	SP	08/5/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Alcoa Inc. (AA)	SP	08/16/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
ALLIANCE VALUE MARK IV ANNUITY	SP	05/28/2013	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
American Express Company (AXP)	SP	07/30/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Amgen Inc. (AMGN)	SP	10/14/2013	P	\$1,001 - \$15,000	
Apple Inc. (AAPL)	SP	07/2/2013	P	\$1,001 - \$15,000	
Caterpillar, Inc. (CAT)	SP	07/30/2013	P	\$1,001 - \$15,000	
Caterpillar, Inc. (CAT)	SP	11/1/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
CenturyLink, Inc. (CTL)	SP	01/7/2013	P	\$1,001 - \$15,000	
CenturyLink, Inc. (CTL)	SP	08/20/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
CenturyLink, Inc. (CTL)	SP	10/8/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Corning Incorporated (GLW)	SP	08/5/2013	P	\$1,001 - \$15,000	
Corning Incorporated (GLW)	SP	10/14/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Deere & Company (DE)	SP	07/2/2013	P	\$1,001 - \$15,000	
Dow Chemical Company (DOW)	SP	10/14/2013	P	\$1,001 - \$15,000	
Enbridge Energy, L.P. Class A Common Units (EEP)	SP	07/2/2013	P	\$1,001 - \$15,000	
Home Depot, Inc. (HD)	SP	08/16/2013	P	\$1,001 - \$15,000	
Home Depot, Inc. (HD)	SP	08/20/2013	P	\$1,001 - \$15,000	
International Business Machines Corporation (IBM)	SP	07/2/2013	P	\$1,001 - \$15,000	
Mosaic Company (MOS)	SP	07/2/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Mosaic Company (MOS)	SP	07/30/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Nuveen Diversified Dividend and Income Fund Shares of Beneficial Interest (JDD)	SP	07/2/2013	P	\$1,001 - \$15,000	
Pepsico, Inc. (PEP)	SP	07/30/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Pfizer, Inc. (PFE)	SP	01/7/2013	P	\$1,001 - \$15,000	
Qwest Corporation 6.125% Notes due 2053 (CTY)	SP	07/2/2013	P	\$1,001 - \$15,000	
R.R. Donnelley & Sons Company (RRD)	SP	01/7/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A)	SP	07/2/2013	P	\$1,001 - \$15,000	
Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A)	SP	10/14/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Schlumberger N.V. (SLB)	SP	01/7/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stryker Corporation (SYK)	SP	10/14/2013	P	\$1,001 - \$15,000	
Terex Corporation (TEX)	SP	08/5/2013	P	\$1,001 - \$15,000	
Terex Corporation (TEX)	SP	10/8/2013	P	\$1,001 - \$15,000	
United Technologies Corporation (UTX)	SP	07/2/2013	P	\$1,001 - \$15,000	
Wells Fargo & Company (WFC)	SP	07/2/2013	P	\$1,001 - \$15,000	
Wells Fargo & Company (WFC)	SP	07/30/2013	P	\$1,001 - \$15,000	
Wells Fargo & Company (WFC)	SP	11/1/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

SCHEDULE C: EARNED INCOME

Source	Type	Amount
CITY OF TUCSON SUPPLEMENTAL RETIREMENT SYSTEM	SPOUSE PENSION	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	WELLS FARGO BANK	2008	MORTGAGE ON 220 13TH ST SE, #4, WASHINGTON, DC 20003	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
ADVISORY COUNCIL MEMBER	CONGRESSIONAL HISPANIC CAUCUS INSTITUTE
BOARD MEMBER	PROGRESSIVE CONGRESS

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 1988	RAUL GRIJALVA; ARIZONA STATE RETIREMENT SYSTEM	Retirement Plan (NOT SELF-DIRECTED)
February 2002	RAUL GRIJALVA; PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM	ELECTED OFFICIALS RETIREMENT ACCOUNT (NOT SELF-DIRECTED)

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details				Inclusions		
Source	Start Date	End Date	Itinerary	Lodging?	Food?	Family?
PROGRESSIVE CONGRESS	01/23/2013	01/25/2013	DC - PIKESVILLE, MD - DC	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
J Street Education Fund	02/15/2013	02/22/2013	DC - Tel Aviv, Israel - DC	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Raúl M. Grijalva , 07/3/2014