## PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Each question in this part must be answered and the appropriate schedu	III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?  If yes, complete and attach Schedule III.	II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?  If yes, complete and attach Schedule I.
answered	es	Yes X	Yes
and the	<b>№</b>	S No	S S
appropriate schedule attached for each "Yes" response.	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years?  If yes, complete and attach Schedule VI.	V. Did you have any reportable agreement or arrangement with an outside entity?  If yes, complete and attach Schedule V.	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.
response.	Yes 🔲	Yes 🔲	Yes
	No X	N <sub>o</sub>	S □

# EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

N <sub>0</sub>	Yes	<b>EXEMPTION</b> —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.
No M	Yes 🔲	<b>TRUSTS</b> —Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?

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ONBAND CD	ING SAVINGS	ING IRA	MET LIFE IRA	MET LIFE ANNUITY	AMERITRADS	1st Bank of Paducah, KY accounts	Examples: Simon & Schuster	SP Mega Corp. Stock	Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left.  For a detailed discussion of Schedule II requirements, please refer to the instruction booklet.	For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.	account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address.	BLOCK A  Asset and/or Income Source identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols).  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the
X	X	X	×	×	×	×	Indefinite	×	None \$1 - \$1,000 \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$250,000 \$250,001 - \$500,000 \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000 \$25,000,001 - \$50,000,000		A BB C D E F G G H L L L L L L L L L L L L L L L L L	BLOCK B  Value of Asset Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.  If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."
X	X	X	X	×	*	×	Royalties	×	NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income (Specify: e.g., Partnership Income or F	Farm Income	2)	Type of Income  Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as Income. Check "None" if the asset generated no income during the reporting period.
X	X	X	X	X	X		X	X	None \$1 - \$200 \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$15,000 \$15,001 - \$100,000 \$100,001 - \$1,000,000  None \$1 - \$200 \$201 - \$1,000 \$1,001 - \$2,500 \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$15,000 \$15,001 - \$15,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000		Current Year Preceding Year	Amount of Income  For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

SCHEDULE II — ASSETS AND "UNEARNED" INCOME

Continu	Continuation Sheet (if needed)	ONEARNED INCOM	<u></u>	Name GREGORY G. PATHS Page
	BLOCK A	вгоск в	вгоск с	BLOCK D
	Asset and/or Income Source	Value of Asset	Type of Income	Amount of Income
SP,		A B C D E F G H I J K L		Current Year Preceding
Ę		00 00 000 0,000 00,000	ne	
DC		None \$1 - \$1,000 \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$250,001 - \$500,000 \$250,001 - \$500,000 \$1,000,001 - \$5,000, \$5,000,001 - \$5,000, \$25,000,001 - \$50,000	NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND T TAX-DEFERRED Other Type of income (Specify: e.g., Partnershi or Farm Income)	None \$1 - \$200 \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$100,000 \$100,001 - \$1,000,000 Over \$5,000,000 None \$1 - \$200 \$201 - \$1,000 \$1,001 - \$2,500 \$201 - \$1,000 \$1,001 - \$2,500 \$201 - \$1,000
7	CITIBANIC CHECKING	×	X	X
	USAA IRA	X	X	X
4	USAA CHECKING	X	X	X
47	USAA SAVINGS	X	X	X
Sp	USAA ROTH IRA	X	X	X
4	T. Rowse Price mains	X	X	X
#	Billian Direct	X	X	X
20	ING ANNUITY	X	X	X
7	RENTAL HOME AZ	X	×	X
4	Rent Condo 12	X	X	<i>X</i>
	Military Phosips	×	Pasion	×

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### SCHEDULE I — EARNED INCOME (INCLUDING HONORARIA)

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Source (include date of receipt for honoraria)  XYZ Corporation, Houston, TX	Type Salary	Current Year to Filing
Examples: First Bank & Trust, Houston, TX  XYZ Trade Association, Chicago, IL (Rec'd December 2)  Harris County Tayas Bublic Schools	Director's Fee Honorarium Spouse Salary	\$400 0
UNIVERSITY OF CALIFORNIA IRVINE, CA	SPOUSE SALARY	9365

### SCHEDULE III — LIABILITIES

Name GREGORY & RATHS

Page So of S

owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furni-Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount ling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000. ture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sib-

	47	1	4	工		J DC,
	USAA Credit CAND	CHASE BANK	BANK OF AMERICA	AMERICAN EXPRESS	Example: First Bank of Wilmington, DE	Creditor
	JJU 1593	A4, 2010	Jul 2009	Jun 1999	May 1998	Date Liability Incurred mo/year
	CREDIT CARD	LINE OF CREDIT/CC	Mortage on SUMPRISE, AZ	LINE OF CREDIT /CC	Mortgage on 123 Main Street, Dover, DE	Type of Liability
	₹	×		×		\$10,001— \$15,000
						\$15,001— \$50,000
			X			\$50,001— \$100,000
-					×	\$100,001— \$250,000 D \$250,001—
						\$500,000 m = 9
						\$1,000,000
						\$5,000,000 <b>*</b> \$5,000,001— <b>±</b> \$25,000,000 <b>±</b>
				:		\$25,000,001 \$50,000,000
						Over \$50,000,000

### SCHEDULE IV — POSITIONS

any nonprofit organization, any labor organization, or any educational or other institution other than the United States Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise,

and positions solely of an honorary nature. Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization);

and positions solely of all notionary fiature.	auic.
Position	Name of Organization
CHARTER MEMBER	TOAST MASTERS IN TERNATIONAL
MEMBER	MARINE CORPS AVIATION ASSOCIATION