

**HAND
DELIVERED**

Page 1 of 30
LEGISLATIVE RESOURCE CENTER

2014 MAY 15 AM 11:49

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

**UNITED STATES HOUSE OF REPRESENTATIVES
2013 FINANCIAL DISCLOSURE STATEMENT**

Form A
For Use by Members, Officers, and Employees

Name: Joseph P. Kennedy III

Daytime Telephone: 202-225-5931

(Office Use Only)

| | | | | |
|--------------|--|--|--|-------------------------|
| FILER STATUS | <input checked="" type="checkbox"/> Member of or Candidate for U.S. House of Representatives | State: <u>MA</u> District: <u>4</u> | <input type="checkbox"/> Officer or Employee | Employing Office: _____ |
| REPORT TYPE | <input checked="" type="checkbox"/> 2013 Annual (Due: May 15, 2014) | <input type="checkbox"/> Amendment | <input type="checkbox"/> Termination Date: _____ | |

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

| | | | |
|---|---|---|---|
| A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES" | |

IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

| | |
|--|---|
| IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

Page 2 of 30

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Joseph P. Kennedy III**

Page **3** of **30**

| BLOCK A Asset and/or Income Source | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | | BLOCK E Transaction | |
|---------------------------------------|----------------------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|---|----|-----|----|---|----|-----|------|----|---|----|-----|------------------------|---|
| SP, DC, JT | ASSET NAME | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E | |
| | SSGA Intl Index Sec Indg Ser C1 | | | X | | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | |
| | SSGA Russell Small Cap Index | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| | SSGA Intl Ser 1 | | | X | | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | |
| | SSGA S & P 500 Index Securities | | X | | | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | |
| | Intl Ser 1 | | | | | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | |
| | T Rowe Price Structured | | | | | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | |
| | Research Comm Tr PD | | | X | | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | |
| | SSGA US Bond Index Sec Indg Ser | | | | | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | |
| | Fund Cl 1 RND OF SMART PLAN | | | X | | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | |
| | Fidelity Equity Income Fund | X | | X | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| | SSGA Intl US Treas 90 INV CI | | | X | | | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | | |
| | Abbvie INC (Abbott Spinoff) | | | X | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | S |
| | Abbott Labs | | | X | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| | Agilent Technologies Inc. | | | X | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| | Anheuser | | | X | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| | Nationalany Communities Inc. Com | | | X | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Joseph P. Kennedy III

Page 4 of 30

| BLOCK A Asset and/or Income Source | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | | BLOCK E Transaction |
|---------------------------------------|------------------------|---------------------------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|---------------------------|-----------|------|----------|---------------|----------------------|--------------|---|------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------|---|------------------------|
| SP, DC, JT | ASSET NAME | None | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify e.g., Partnership Income or Farm Income) | None | \$1-\$200 | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with Income over \$1,000,000* | P, S, SJ, and, or E |
| | Bioogen Inc | | | X | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | P | |
| | Chubb Corp. Com | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | |
| | CVS/Coramark Corp. Com | | | | | X | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | S | |
| | Cypress Semi Conductor | | X | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | |
| | Deere & Co. Com | | | X | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | |
| | Disney Co. | | | | | X | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | |
| | Edison Vance Small Cap | X | | | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | |
| | ECOLAB Inc. Com | | | | | X | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | |
| | General Electric Co | | | X | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | |
| | Gilead Sciences Inc | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | |
| | Google Inc. A | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | |
| | Honeywell Int'l Inc | | | X | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | |
| | Intel Corp | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | |
| | Johnson & Johnson Com | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | |
| | Johnson Controls INC | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | |
| | McDonalds Corp. Com | | X | | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | |

Page 5 of 30[illegible]

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Joseph P. Kennedy III**

Page **6** of **30**

| BLOCK A Asset and/or Income Source | | BLOCK B Value of Asset | | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | | |
|---------------------------------------|--|---------------------------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|---|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|-----------------------------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------|---|------------------------|--|--|
| SP, DC, JT | ASSET NAME | None | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | PS, S, JT, etc | | | |
| | | | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | | | | | | | | | | None | \$1-\$200 | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with income over \$1,000,000* | | | |
| | Trust (VINE FRANCHISE, R. RANDY) | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | |
| | FBO Sheila R. Kennedy | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Assets include: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | SEGA Int'l. vs. Sega, Inc. Int'l. vs. Sega | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Abbott Lab | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Abbott Inc. (Abbott Spine) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Agilent Technologies | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Analog Devices Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Becton Dickinson & CO | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | BHP Billiton Ltd ADR | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Biosgen Inc | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Chevron Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Chubb Corp. Com | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Coca Cola | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | CYS/Genmark Corp. Com | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Cypriot Semi Conductor Corp | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Use additional sheets if more space is required.
 * Filer is a discretionary beneficiary and has never received any income from this trust. Filer has disclosed 100% of the assets of the trust that have a value in excess of \$1,000.

Page 7 of 30

[illegible]

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Joseph P. Kennedy III**

Page **8** of **30**

| BLOCK A Asset and/or Income Source | | BLOCK B Value of Asset | | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | | | BLOCK E Transaction |
|---------------------------------------|--------------------------------|---------------------------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|------|---------------------------|------|----------|---------------|----------------------|--------------|---|-----------------------------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------|---|--|--|------------------------|
| SP, DC, JT | ASSET NAME | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify, e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | P, S, S(part), or E | | | |
| | | None | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | | | | | | | | | None | \$1-\$200 | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with Income over \$1,000,000* | | | |
| | Neale SA Sponsored ADR | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Occidental Petroleum Corp. Com | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Oracle Corp. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Plum Creek Timber Co., Inc | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Procter and Gamble Co. | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Qualcomm | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Santitas-Aventis AR | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Schlumberger Ltd | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Suncor Energy Inc. | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Texas Instruments Inc. Com | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | United Parcel Service CL B | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Vodafone Group PLC | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Volkswagen AG ADR | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Wal-Mart Stores Inc. Com | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Waste Management Inc. Del Com | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Wells Fargo Co. | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

END OF TRUST U/IND FRANCES B BAUGH
PRO Sheila R. Kennedy

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Joseph P. Kennedy III**

Page **9** of **30**

| BLOCK A Asset and/or Income Source | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | | |
|---------------------------------------|-------------------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|------|-----------|------|----------|---------------|----------------------|--------------|--|---|----|-----|----|---|----|-----|------|----|---|----|------------------------|---------------------|--|
| SP, DC, JT | ASSET NAME | None | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E | |
| | Tax Exempt Trust U/W | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Francis B. Rauch f/b/o | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Shella Rauch Kennedy and | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Her Descendants * | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Assets include: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | State Street Bank & Trust | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Multi-Strategic | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Trust Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy TR Structured Index FD | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Global Common | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Trust Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Special Equities | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Partners, LP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | END of Trust U/W of | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Francis B. Rauch | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Use additional sheets if more space is required.
 * Filer is a discretionary beneficiary and has never received any income from this trust. Filer has disclosed 100% of the assets of the trust that have a value in excess of \$1,000.

Page 10 of 30

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Joseph P. Kennedy III

Page 11 of 30

| BLOCK A Asset and/or Income Source | | BLOCK B Value of Asset | | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | | | BLOCK E Transaction |
|---------------------------------------|--|---------------------------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|------|---------------------------|------|----------|---------------|----------------------|--------------|--|-----------------------------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------|---|--|--|------------------------|
| SP, DC, JT | ASSET NAME | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | P, S, S(part), or E | | | |
| | | None | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | | | | | | | | | None | \$1-\$200 | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with income over \$1,000,000* | | | |
| | Blleanor G. Brewster Trust* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | U/A dated 12/19/1936. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Appointed U/A Frances B. Rauch | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 1/b/o Sheila Rauch Kennedy and Her Descendants | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Kenneth include: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | State Street Bank & Trust | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Dreyfus Treasury Prime Cash Management Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Edison Vance Small Cap Fund I | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Adcock Labs | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Abbvie Inc (abbv) spin off | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Accenture plc Ireland | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Avient Technologies | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Avanos Devices Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Amgen Biotech | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Avalonbay Communities Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Use additional sheets if more space is required.
 * Represents a distribution to filer from Blleanor G. Brewster Trust U/A dated 12/19/36. Filer has disclosed 100% of the assets of the trust that have a value in excess of \$1,000.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Joseph P. Kennedy III**

Page **12** of **30**

| BLOCK A Asset and/or Income Source | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | | | |
|---------------------------------------|------------------------------|---------------------------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|---------------------------|-----------|------|----------|---------------|----------------------|--------------|---|-----------------------------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------|---|------------------------|---------------------|--|---|
| SP, DC, JT | ASSET NAME | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify e.g., Partnership Income or Farm Income) | NONE | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E | | |
| | | None | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | | | | | | | | | | \$1-\$200 | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with income over \$1,000,000* | | | | |
| | Chubb Corp. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Coca Cola Company | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | CVS Caremark Corp. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Corning Semi Conductor Corp. | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | S |
| | Deere & Co. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Disney Malt Co. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Ecolab Inc. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Ell Lilly & Co. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Exxon Mobil Corp. | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | First Republic Bank San Fran | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | General Electric | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Gilead Sciences Inc. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Google Inc. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | JP Morgan Chase & Co. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Johnson Controls Inc. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Kinder Morgan | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Joseph P. Kennedy III

Page 13 of 30

| BLOCK A Asset and/or Income Source | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | | BLOCK E Transaction | |
|---------------------------------------|----------------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|------|-----------|------|----------|---------------|----------------------|-----------------------------|---|------|---|----|-----|----|---|----|-----|------|----|---|------------------------|---------------------|
| SP, DC, JT | ASSET NAME | None | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify e.g., Partnership Income or Farm Income) | None | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | P, S, S(part), or E |
| | McDonalds Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Kerck & Co. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | MetLife | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Micromed Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Mondelēz Intl. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Morgan Stanley | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Occidental Petroleum | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Oracle Systems Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Plum Creek Timber Co. Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Procter & Gamble Co. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Qualcomm Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Schlumberger Ltd. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | United Parcel Service | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Wal-Mart Stores | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Waste Management Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | BHP Ltd. Sponsored ADR | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Joseph P. Kennedy III**

Page **14** of **30**

| BLOCK A Asset and/or Income Source | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | BLOCK E Transaction | | | |
|---------------------------------------|-------------------------------|---------------------------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------------|---|--|--|
| SP, DC, JT | ASSET NAME | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | P, S, SPART, or E | | |
| | | None | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | | | | | | | | | None | \$1-\$200 | \$201-\$1,200 | \$1,201-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with income over \$1,000,000* | | |
| | Neale SA Sponsored ADR | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Vodafone Group PLC ADR | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Volkswagen AG ADR | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | BrandyTrust Global Common | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Trust Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | BrandyTrust Multi Strategy | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Trust Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | BrandyTrust Private Equity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | LP (2003) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Special Equities | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Partners II, LP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | BrandyTrust Special Equities | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Partners, LP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | BrandyTrust SPJ, LLC | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | BrandyTrust Private Equity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Partners (2012) LP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Joseph P. Kennedy III

Page 15 of 30

| BLOCK A Asset and/or Income Source | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | BLOCK D Amount of Income | | | | | | | | | | | BLOCK E Transaction | | | | |
|---------------------------------------|---|---------------------------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|---------------------------|-----------|------|----------|---------------|----------------------|-----------------------------|--|------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------|---|---------------------|---|
| SP, DC, JT | ASSET NAME | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E | |
| | | None | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | | | | | | | | | None | \$1-\$200 | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with income over \$1,000,000* | | |
| | BRANDYKING Private Equity Partners (2005) LP | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | BRANDYKING Private Equity Partners (2006) LP | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | BRANDYKING Private Equity Partners (2007) LP | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | BRANDYKING Private Equity Partners (2008) LP | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | BRANDYKING Private Equity Partners (2011) LP | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | BRANDYKING Private Equity Partners (2013) LP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | North Pier Apartments Microchip Technology INC | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P |
| | Morgan Stanley | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P |
| | PM of Eleanor G. Brewster Trust | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

U/A dated 12/9/1916

Use additional sheets if more space is required.

Page 16 of 30

Use additional sheets if more space is required.
 * Prior to a discretionary beneficiary and has never received any income from this trust. * Prior has disclosed 100% of the amount of the trust that have a value in excess of \$1,000.

Page 17 of 30

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: **Joseph P. Kennedy III**

Page **18** of **30**

| BLOCK A Asset and/or Income Source | | BLOCK B Value of Asset | | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | BLOCK E Transaction | | |
|---------------------------------------|-------------------------------|---------------------------|------------------|-----------------------|------------------------|-------------------------|--------------------------|--------------------------|----------------------------|------------------------------|-------------------------------|--------------------------------|------------------------|--|------|---------------------------|------|----------|---------------|----------------------|--------------|--|-----------------------------|----------------|--------------------|----------------------|----------------------|-----------------------|------------------------|-------------------------|----------------------------|-------------------------------|------------------------|---|-----------------------|--|
| SP DC JT | ASSET NAME | A None | B \$1-\$5,000 | C \$1,001-\$15,000 | D \$15,001-\$50,000 | E \$50,001-\$100,000 | F \$100,001-\$250,000 | G \$250,001-\$500,000 | H \$500,001-\$1,000,000 | I \$1,000,001-\$5,000,000 | J \$5,000,001-\$25,000,000 | K \$25,000,001-\$50,000,000 | L Over \$50,000,000 | M Spouse/DC Asset over \$1,000,000* | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | 1 None | 2 \$1-\$200 | 3 \$201-\$1,000 | 4 \$1,001-\$2,500 | 5 \$2,501-\$5,000 | 6 \$5,001-\$15,000 | 7 \$15,001-\$50,000 | 8 \$50,001-\$100,000 | 9 \$100,001-\$1,000,000 | 10 \$1,000,001-\$5,000,000 | 11 Over \$5,000,000 | 12 Spouse/DC Asset with income over \$1,000,000* | P or S, jointly, or E | |
| | Oracle Systems Corp. | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Plum Creek Timber Co., Inc. | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Procter & Gamble Co. | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Qualcomm Inc. | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Schlumberger Ltd | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Suncor Energy Inc. | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | United Parcel Service | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Wal Mart Stores | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Waste Management Inc. Del | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Wells Fargo and Company | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | WPP Ltd. Sponsored ADR | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Novartis AG ADR | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Sandoz, Aventis Sponsored ADR | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Vodafone Group Plc ADR | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandywine Multi Strategy | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Trust Fund | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Use additional sheets if more space is required.

Page 19 of 30

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Joseph P. Kennedy III**

Page **20** of **30**

| BLOCK A Asset and/or Income Source | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | BLOCK E Transaction | | | |
|---------------------------------------|--------------------------------|---------------------------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------------|---|--|--|
| SP, DC, JT | ASSET NAME | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | P, S, Spent, or E | | | |
| | | None | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | | | | | | | | | None | \$1-\$200 | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with income over \$1,000,000* | | |
| | Residuary Trust U/W of | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | |
| | George S. Brunslet, Jr. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Appointed U/W Frances B. Patch | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 1/b/c Sheila Ruth Kennedy and | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Her Descendants? | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Assets Include: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | State Street Bank & Trust | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Dreyfus Treasury Prime Cash | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Management Fund | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Edison Vance Small Cap Fund I | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Accenture PLC Ireland | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Agilent Technologies Inc. | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Boehringer Ingelheim | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Avalonbay Communities | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Chubb Corp. | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | CBS Chetmark | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Use additional sheets if more space is required.
 *Payer is a discretionary beneficiary and has never received any income from this trust. Payer has disclosed 100% of the assets of the trust that have a value in excess of \$1,000.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Joseph P. Kennedy III

Page 21 of 30

| BLOCK A Asset and/or Income Source | | BLOCK B Value of Asset | | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | BLOCK E Transaction | | | |
|---------------------------------------|-----------------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|------|---------------------------|------|----------|---------------|----------------------|--------------|---|-----------------------------|----|-----|----|---|----|-----|------|----|---|----|------------------------|---------------------|--|--|
| SP, DC, JT | ASSET NAME | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E | | |
| | None | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Cypress Semiconductor Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Deere & Co. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Danisco Malt Co. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | ECOLAB Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Exxon Mobil Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | First Republic Bank San | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | General Electric Co. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Gilead Sciences Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Google Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Intel Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Johnson & Johnson | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Johnson Controls | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Kinder Morgan Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Linear Technology Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | McDonald Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | MettLife Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Joseph P. Kennedy III**

Page **22** of **30**

| BLOCK A Asset and/or Income Source | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | BLOCK E Transaction | | |
|---------------------------------------|---------------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|---|----|-----|----|---|----|-----|------|----|---|------------------------|-------------------|---|
| SP, DC, JT | ASSET NAME | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | PS, S(part), or E | |
| | None | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Microsoft Corp. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P |
| | Microchip Technology INC | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P |
| | Wendelco Int'l | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Memorial Company | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Morgan Stanley CO | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Oracle Systems Corp. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Plum Creek Timber Co. Inc | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Qualcomm Inc. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Schlumberger Ltd | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Wal Mart Stores | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Water Management Inc. Del | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Wells Fargo and Company | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | WHD Ltd. Sponsored ADR | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Neale SA Sponsored ADR | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | S |
| | Novartis AG ADR | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Vodafone Group Spora. ADR | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Joseph P. Kennedy III

Page 23 of 30

| BLOCK A Asset and/or Income Source | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | BLOCK E Transaction | | |
|---------------------------------------|---|---------------------------|------------------|-----------------------|------------------------|-------------------------|--------------------------|--------------------------|----------------------------|------------------------------|-------------------------------|--------------------------------|------------------------|--|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|-----------|----------------|--------------------|----------------------|----------------------|-----------------------|------------------------|-------------------------|----------------------------|-------------------------------|------------------------|---|--------------------------|
| SP DC JT | ASSET NAME | A None | B \$1-\$1,000 | C \$1,001-\$15,000 | D \$15,001-\$50,000 | E \$50,001-\$100,000 | F \$100,001-\$250,000 | G \$250,001-\$500,000 | H \$500,001-\$1,000,000 | I \$1,000,001-\$5,000,000 | J \$5,000,001-\$25,000,000 | K \$25,000,001-\$50,000,000 | L Over \$50,000,000 | M Spouse/DC Asset over \$1,000,000* | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify, e.g., Partnership income or Farm income) | 1 None | 2 \$1-\$200 | 3 \$201-\$1,000 | 4 \$1,001-\$2,500 | 5 \$2,501-\$5,000 | 6 \$5,001-\$15,000 | 7 \$15,001-\$50,000 | 8 \$50,001-\$100,000 | 9 \$100,001-\$1,000,000 | 10 \$1,000,001-\$5,000,000 | 11 Over \$5,000,000 | 12 Spouse/DC Asset with income over \$1,000,000* | P P, S, S(part), or E |
| | Volkmann AG ADR | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | BrandyTrust Global Com Tr Fd | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Multi Strategy | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Trust Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Special Limited Partners, LP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | BrandyTrust SPJ, LLC | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | END OF RESIDUARY TRUST | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | U/W Of George S. Brewster | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SP | Bank of America Checking Acct. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SP | Wells Fargo Bank Accounts | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SP | Vanguard (IRA) note Asset: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Vanguard Star Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

SCHEDULE B -- TRANSACTIONS

Name: Joseph P. Kennedy III

Page 24 of 30

| SP, DC, JT | | Type of Transaction | | | | Check Box if Capital Gain Exceeded \$200 | Date | Amount of Transaction | | | | | | | | | | |
|------------|--|---------------------|------|--------------|----------|--|----------|-----------------------|---|---|---|---|---|---|---|---|---|---|
| SP | DC, JT | Purchase | Sale | Partial Sale | Exchange | | | A | B | C | D | E | F | G | H | I | J | K |
| Asset | | | | | | | | | | | | | | | | | | |
| Example | Major Corp. Stock | | | X | | X | 3/6/13 | | X | | | | | | | | | |
| | Abbvie INC (Page 3, Item 12) | | X | | | X | 9/11/13 | X | | | | | | | | | | |
| | Biogen INC (Page 4, Item 1) | X | | | | | 9/11/13 | X | | | | | | | | | | |
| | Cyprus Semi Conductor Corp (Page 4, Item 4) | | X | | | | 6/21/13 | X | | | | | | | | | | |
| | MetLife Inc. (Page 5, Item 1) | | X | | | | 6/21/13 | X | | | | | | | | | | |
| | Novartis AG ADR (Page 18, Item 12) | | X | | | X | 9/11/13 | X | | | | | | | | | | |
| | Novartis AG ADR (Page 5, Item 4) | | X | | | X | 06/21/13 | X | | | | | | | | | | |
| | Oracle Corp. Com (Page 5, Item 5) | | | X | | X | 06/21/13 | X | | | | | | | | | | |
| | Parametric Emerging Markets (Page 5, Item 6) | X | | | | | 09/12/13 | X | | | | | | | | | | |
| | Volkswagen AG (Page 5, Item 13) | X | | | | | 09/11/13 | X | | | | | | | | | | |
| | Vodafone Group Plc ADR (Page 5, Item 14) | | X | | | | 6/21/13 | X | | | | | | | | | | |
| | Waste Mgmt. Inc. Del Com (Page 5, Item 15) | | X | | | X | 6/21/13 | X | | | | | | | | | | |
| | Abbvie (Page 6, Item 6) | | X | | | X | 9/11/13 | | X | | | | | | | | | |
| | Biogen Inc (Page 6, Item 11) | X | | | | | 9/11/13 | | X | | | | | | | | | |
| | Cyprus Semi Conductor Corp (Page 6, Item 16) | | X | | | | 12/30/13 | X | | | | | | | | | | |
| | Morgan Stanley Com (Page 7, Item 15) | X | | | | | 9/11/13 | | X | | | | | | | | | |
| | Morgan Stanley Com (Page 7, Item 15) | X | | | | | 12/30/13 | X | | | | | | | | | | |
| | Novartis AG ADR (Page 7, Item 16) | | X | | | X | 9/11/13 | | X | | | | | | | | | |
| | Suncor Energy Inc. (Page 8, Item 9) | | X | | | | 9/11/13 | X | | | | | | | | | | |
| | Volkswagen AG ADR (Page 8, Item 13) | X | | | | | 9/11/13 | | X | | | | | | | | | |

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: Joseph P. Kennedy III

Page 25 of 30

| SP, DC, JT | | Asset | Type of Transaction | | | | Date | Amount of Transaction | | | | | | | | | | | |
|------------|---------|--|---------------------|------|--------------|----------|--|--|-------------------|------------------------|-------------------------|--------------------------|--------------------------|----------------------------|------------------------------|-------------------------------|--------------------------------|------------------------|--|
| SP | Example | Asset | Purchase | Sale | Partial Sale | Exchange | Check Box if Capital Gain Exceeded \$200 | NO-DUTY/10% Quantity, or B-amount, if applicable | A \$1-\$15,000 | B \$15,001-\$50,000 | C \$50,001-\$100,000 | D \$100,001-\$250,000 | E \$250,001-\$500,000 | F \$500,001-\$1,000,000 | G \$1,000,001-\$5,000,000 | H \$5,000,001-\$25,000,000 | I \$25,000,001-\$50,000,000 | J Over \$50,000,000 | K Over \$1,000,000* (Spouse/DC Asset) |
| | Example | Unq Corp. Stock | | | X | | X | 3/6/13 | | X | | | | | | | | | |
| | | Abbvie INC (Page 11, Item 11) | | X | | | X | 9/11/13 | | X | | | | | | | | | |
| | | Cyprus Semi Conductor Corp (Page 12, Item 4) | | X | | | | 12/30/13 | | X | | | | | | | | | |
| | | Volkswagen AG ADR (Page 14, Item 3) | X | | | | | 9/11/13 | | X | | | | | | | | | |
| | | Microchip Technology INC (Page 15, Item 14) | X | | | | | 12/30/13 | | X | | | | | | | | | |
| | | Morgan Stanley (Page 15, Item 15) | X | | | | | 9/11/13 | | X | | | | | | | | | |
| | | Morgan Stanley (Page 15, Item 15) | X | | | | | 12/30/13 | | X | | | | | | | | | |
| | | Cyprus Semi Conductor Corp (Page 17, Item 1) | | X | | | | 12/30/13 | | X | | | | | | | | | |
| | | Suncor Energy INC (Page 18, Item 6) | | X | | | | 9/11/13 | | X | | | | | | | | | |
| | | Biogen INC (Page 19, Item 1) | X | | | | | 9/11/13 | | X | | | | | | | | | |
| | | Microchip Technology INC (Page 19, Item 2) | X | | | | | 12/30/13 | | X | | | | | | | | | |
| | | Morgan Stanley (Page 19, Item 3) | X | | | | | 9/11/13 | | X | | | | | | | | | |
| | | Morgan Stanley (Page 19, Item 3) | X | | | | | 12/30/13 | | X | | | | | | | | | |
| | | Volkswagen AG ADR (Page 19, Item 4) | X | | | | | 9/11/13 | | X | | | | | | | | | |
| | | Cyprus Semi Conductor Corp (Page 21, Item 1) | | X | | | | 12/30/13 | | X | | | | | | | | | |
| | | Microchip Technology INC (Page 22, Item 2) | X | | | | | 12/30/13 | | X | | | | | | | | | |
| | | Morgan Stanley (Page 22, Item 5) | X | | | | | 9/11/13 | | X | | | | | | | | | |
| | | Morgan Stanley (Page 22, Item 5) | X | | | | | 12/30/13 | | X | | | | | | | | | |
| | | Novartis AG ADR (Page 22, Item 15) | | X | | | X | 9/11/13 | | X | | | | | | | | | |
| | | Volkswagen AG ADR (Page 23, Item 1) | X | | | | | 9/11/13 | | X | | | | | | | | | |

Use additional sheets if more space is required.

Page 26 of 30

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2013 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,955. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: **Joseph P. Kennedy III**

Page **27** of **30**

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member), loans secured by automobiles, household furniture, or appliances, liabilities of a business in which you own an interest (unless you are personally liable), and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

| SP, DC, JT | Creditor | Date Liability Incurred MO/YR | Type of Liability | Amount of Liability | | | | | | | | | | |
|------------|--|-------------------------------|--|------------------------|------------------------|-------------------------|--------------------------|--------------------------|----------------------------|------------------------------|-------------------------------|--------------------------------|------------------------|--|
| | | | | A \$10,001-\$15,000 | B \$15,001-\$50,000 | C \$50,001-\$100,000 | D \$100,001-\$250,000 | E \$250,001-\$500,000 | F \$500,001-\$1,000,000 | G \$1,000,001-\$5,000,000 | H \$5,000,001-\$25,000,000 | I \$25,000,001-\$50,000,000 | J Over \$50,000,000 | K Over \$1,000,000* (Spouse/DC Liability) |
| | <i>Example: First Bank of Wilmington, DE</i> | 5/98 | Mortgage on Rental Property, Dover, DE | | | | X | | | | | | | |
| JT | Wells Fargo Bank, N.A. | 04/2013 | Mortgage On Personal Residence, DC | | | | | | X | | | | | |
| JT | Wells Fargo Bank, N.A. | 07/2013 | Mortgage On Personal Residence, MA | | | | | X | | | | | | |
| SP | Sallie Mae, Wilkes Barre, PA | 11/2006 | Student Loan | | X | | | | | | | | | |
| SP | Aspire Resources, Doe Moines, IA | 9/2006 | Student Loan | | | X | | | | | | | | |
| SP | Harvard University, Cambridge, MA | 9/2006 | Student Loan | | X | | | | | | | | | |

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

| Position | Name of Organization |
|---------------------------|--|
| Member Board Of Directors | Robert F. Kennedy Memorial |
| Director | Robert F. Kennedy Children's Action Corps. |
| Member Board Of Directors | Sooner Foundation |
| | |
| | |
| | |

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Name: **Joseph P. Kennedy III**

Page **28** of **30**

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date | Parties to Agreement | Terms of Agreement |
|------|----------------------|--------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

SCHEDULE G – GIFTS

Report the source (including name, city, and state), a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

| Source | Description | Value |
|--|--|-------|
| Example: Mr. Joseph Smith, Arlington, VA | Silver Platter (determination of personal friendship received from the Ethics Committee) | \$400 |
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Use additional sheets if more space is required.

Page 29 of 30

EXCLUDE. Privately-sponsored travel approved by the Ethics Committee, if post-travel disclosure was filed with the Clerk, travel-related expenses provided by federal, state, and local governments, or by a foreign government; required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Use additional sheets if more space is required.

| | |
|-----------------------------|---------------|
| Name: Joseph P. Kennedy III | Page 30 of 30 |
|-----------------------------|---------------|

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

[illegible]

Use additional sheets if more space is required.