

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**2017 FINANCIAL DISCLOSURE STATEMENT**

**Form A**  
For Use by Members, Officers, and Employees

Name: Diane Lynn Black Daytime Telephone: 202-225-4231

LEGISLATIVE RESOURCE CENTER  
MAY 16 PM 3:16  
(Office Use Only)  
U.S. HOUSE OF REPRESENTATIVES  
A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>Tennessee</u> District: <u>Sixth</u>	<input type="checkbox"/> Officer or Employee Employing Office: _____ Staff Filer Type: (If Applicable) Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
	<input checked="" type="checkbox"/> 2017 Annual (Due: May 15, 2018)	<input checked="" type="checkbox"/> Amendment	
REPORT TYPE			

**PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS**

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</b>	

**IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Diane Lynn Black**

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BLOCK A			BLOCK B													BLOCK C								BLOCK D												BLOCK E
Assets and/or Income Sources			Value of Asset													Type of Income								Amount of Income												Transaction
<p>Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use only ticker symbols).</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.</p> <p>For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.</p>			<p>Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."</p> <p>*Column M is for assets held by your spouse or dependent child in which you have no interest.</p>													<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.</p>								<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.</p> <p>*Column XII is for assets held by your spouse or dependent child in which you have no interest.</p>												<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period.</p> <p>If only a portion of an asset was sold, please indicate as follows: (S (part)).</p> <p>Leave this column blank if there are no transactions that exceeded \$1,000.</p>
SP, DC, JT	SP	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with income over \$1,000,000*	
	Simon & Schuster															X						Royalties				X										
	ABC Hedge Fund	X								X												Partnership Income					X									
JT	Elton Falcon LLC - assets below																																			
	100 Athens Way, Nashville, TN 37228										X						X																			
	341 Hill Avenue, Nashville, TN 37210																X																			
	345 Hill Avenue, Nashville, TN 37210*											X																								
	365 Great Circle Road, Nashville, TN 37228												X																							
	501 Great Circle Road, Nashville, TN 37228													X																						

\*336 Hill Avenue was consolidated with 345 Hill Avenue. 336 Hill Avenue no long exists as a separate parcel.

Use additional sheets if more space is required.

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**Use additional sheets if more space is required.**

\* Fund manager letter on file with House Ethics Committee

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Diane Lynn Black**

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction					
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E			
SP	Edward Jones IRA Guided Solutions Flex Account - assets below																																						
	First Tr. ETF Fd II Technology Alphadex Fund X	X			X																X			X														P	
	First Tr. Exchange-Traded Fd II Financial Alphadex	X			X																X			X														P	
	First Tr. Exchange-Traded Fd II Health Care Alphadex	X			X																X			X													P		
	First Tr. Capital Strength Exchange Traded Fund	X																			X			X													P		
	First Trust Rising Dividend Achievers Exchange Traded Fund	X																			X			X													P		
	First Trust Value Line Dividend Index Fd Sh	X																			X			X													P		
	Shares Core S&P Mid Cap ETF	X			X																X			X													P		
	Shares Core S&P Small Cap ETF	X			X																X			X													P		
	Spartan Tr S&P Dividend ETF	X																			X			X													P		
	Vanguard S&P 500 ETF	X			X																X			X													P		
	American Growth Fund of America CI F3	X																			X			X													P		
	Blackrock Total Return Fund CI I	X																			X			X													P		
	MFS Corporate Bond R6	X																			X			X													P		
	MFS International Diversification Fund CI I	X																			X			X													P		
	Retirement Money Market	X			X																X			X														P	

# SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Diane Lynn Black**

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BLOCK A Assets and/or Income Sources			BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	Morgan Stanley account -- assets below		None																				None														
	Bank deposits		\$1-\$1,000																				\$1-\$200														
	Cash		\$1,001-\$15,000																				\$201-\$1,000														
	Hedgeprime/Aleon Growth Partners	X	\$15,001-\$50,000																				\$1,001-\$2,500														
	Hedgeprime/QZ DP II Fund II	X	\$50,001-\$100,000																				\$2,501-\$5,000														
	GS Plus on RTY 5/3/18	X	\$100,001-\$250,000																				\$5,001-\$15,000														
	GS Plus on the S&P Index 0% 20 Jan 2017	X	\$250,001-\$500,000																				\$15,001-\$50,000														
	GS CI 12.15% WO RTY SXSE 9/8/17	X	\$500,001-\$1,000,000																				\$50,001-\$100,000														
	BCS CI 10.3% WO RTY SPX SXSE 3/13/18	X	\$1,000,001-\$5,000,000																				\$100,001-\$1,000,000														
	JPM Plus on S&P 500 Index 0% 23 Jan 2017	X	\$5,000,001-\$25,000,000																				\$1,000,001-\$5,000,000														
	Morgan Stanley CI Autocall Under Armour 8.2% 10-Aug-2018	X	\$25,000,001-\$50,000,000																				Over \$50,000,000														
	Doubletree Total Return Bond 1	X	Spouse/DC Asset over \$1,000,000*																				Spouse/DC Asset over \$1,000,000*														
	Pimco Income CI P MIP	X																																			
	GS Finance Corp Ser E MTN SR	X																																			
	JP Morgan Chase Financial Co	X																																			
	iShares Inc MSCI Japan ETF	X																																			

# SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Diane Lynn Black**

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
SP	Morgan Stanley account assets continued																																			
	Shares S&P 500 Growth ETF Index Fund																																			P, S (part)
	Shares S&P 500 Val ETF																																			P, S
	Shares TR S&P Midcap 400 Index Fund																																			P
	Shares TR S&P Small Cap 600 Index Fund																																			P, S
	Vanguard FTSE Europe ETF																																			P
	Energy MLP Income Fund LP*																																			P, S
	Barclays Plus on SVX 03/05/2018																																			P, S

\* Fund manager letter on file with House Ethics Committee

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**These fixed income assets were the result of an exchange with Jacksonville FL Spr Rev Ser B-1 10/1/18 CVZ**

# SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Diane Lynn Black**

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, Spant, or E
	None																																		
	\$1-\$1,000																																		
	\$1,001-\$15,000																																		
	\$15,001-\$50,000																																		
	\$50,001-\$100,000																																		
	\$100,001-\$250,000																																		
	\$250,001-\$500,000																																		
	\$500,001-\$1,000,000																																		
	\$1,000,001-\$5,000,000																																		
	\$5,000,001-\$25,000,000																																		
	\$25,000,001-\$50,000,000																																		
	Over \$50,000,000																																		
	Spouse/DC Asset over \$1,000,000*																																		
SP	Brown Brothers Hartman assets continued																																		
	Massachusetts Bay Tranan Rev Ser A 7/1/21																																		
	Alphabet Class C																																		
	Bed, Bath & Beyond Inc																																		
	Berkshire Hathaway Inc																																		
	Celanese Corp Series A																																		
	Comcast Corp Class A																																		
	Diageo PLC Sponsored ADR																																		
	Discovery Communications																																		
	Fleetcor Technologies Inc																																		
	Kroger Co																																		
	Liberty Interactive A																																		
	Liberty Global PLC Series C																																		
	Microsoft Corp																																		
	Nielsen Holdings PLC Foreign Stock																																		
	Noventis AG ADR																																		



# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Diane Lynn Black

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with income over \$1,000,000*			
SP	Brown Brothers Harriman assets continued																																				
	Oracle Corp						X									X																					
	Perigo Co PLC								X							X																					
	Praxair Inc								X							X																					
	Progressive Corp Ohio															X																					
	Qualcomm Inc								X							X																					
	Sabre Corp								X							X																					
	Henry Schein Inc								X							X																					
	Paycom Holdings Inc								X							X																					
	Unilever NV/NY															X																					
	US Bancorp															X																					
	Walmart Stores Inc															X																					
	Wells Fargo & Co															X																					
	Zoetis Inc															X																					
	BBH Cap Partners GP VLP*															X																					
	BCI Broadband															X																					

BCI Holdings

\* Fund manager letter on file with House Ethics Committee

Use additional sheets if more space is required.

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**Use additional sheets if more space is required.**

# SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Diane Lynn Black**

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, Spouse, or E	
	American Legacy/1 Lincoln IRA																																				
	Growth	X					X														X																
	Global Small Cap	X					X														X																
	Asset Allocation	X					X														X																
	Bond	X					X														X																
	US Gov/AAA Rated Securities	X					X														X																
	Growth Income	X					X														X																
	International Growth & Income	X					X														X																
	Global Growth	X					X														X																
	High Income Bond	X					X														X																
SP	SC Strategic Opportunity Fund LLC*									X																										P	
SP	WFA Equity Fund, LLC**									X																										P	

\* Fund manager letter on file with House Ethics Committee  
 \*\* Fund manager letter on file with House Ethics Committee

# SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period or any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction											
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)	
SP, DC, JT	Asset																		
SP	Example Mega Corp. Stock			X		X	3/9/17		X										
SP	GS Plus on the S&P Index 0% 20 Jan 2017 (matured)		X			X	1/20/17								X				
SP	JPM Plus on the S&P Index 0% 23 Jan 2017 (matured)		X			X	1/23/17							X					
SP	iShares Inc MSCI Japan ETF	X					2/6/17		X										
SP	Vanguard FTSE Europe ETF	X					2/3/17		X										
SP	iShares TR S&P Small Cap 600 Index Fund	X					2/6/17		X										
SP	iShares S&P 500 Vail ETF	X					2/6/17		X										
SP	GS Plus on RTY 03 May 2018	X					1/31/17						X						
SP	Barclays Plus on SPX	X					1/31/17						X						
SP	iShares TR S&P Midcap 400 Index Fund	X					2/3/17		X										
SP	Pimco Income Cl P	X					2/3/17			X									
SP	GS CI 12.15% WD RTY SXSE DC Floater Callable 9/8/17 at 100.00	X					3/2/17							X					
SP	GS Finance Corp Ser E MTN SR 0.000% callable 6/5/17 @ 100.00 (matured - redemption of called bond)		X				3/3/17						X						
SP	JPMorgan Chase Financial Co. Floater Callable 3/3/17 @ 100.00 (matured - redemption of called bond)		X				3/3/17						X						
SP	iShares S&P 500 VAIL ETF	X					4/20/17						X						
SP	Vanguard FTSE Europe ETF	X					4/20/17					X							
SP	iShares TR S&P Small Cap 600 Index Fund (three sales)		X				6/1/17					X							
SP	iShares S&P 500 VAIL ETF			X			6/1/17					X							
SP	Doubleline Total Return Bond I (six sales)			X			6/1/17					X							

Use additional sheets if more space is required.

# SCHEDULE B - TRANSACTIONS

Name: **Diane Lynn Black**

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SP, DC, JT		Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
			Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	DC, JT	Example Mega Corp. Stock			X		X	3/8/17		X									
SP		Doubleline Total Ret Bond I (three sales)		X				8/22/17	X										
SP		Berdays Plus on SPX 03 May 2018		X			X	12/7/17							X				
SP		BCS CI 10.3% WO RTY SPX SX5E DC Floater Callable 3/13/18 @ 400.00	X					12/8/17					X						
SP		SC Strategic Opportunity Fund LLC	X					9/11/17					X						
SP		WTA Equity Fund LLC	X					9/11/17					X						
SP		Longleaf Partners Small Cap Fund	X					1/13/17			X								
SP		BBH Limited Duration Fund CI I	X					1/13/17				X							
SP		Pengo Co PLC	X					3/2/17			X								
SP		Berkshire Hathaway Inc CI B			X			3/2/17			X								
SP		BBH Limited Duration Fund CI I	X					4/5/17			X								
SP		Berkshire Hathaway Inc CI B			X		X	4/25/17				X							
SP		Comcast Corp CL A	X					4/26/17			X								
SP		Unilever NV/NY	X					4/26/17			X								
SP		Sabre Corp	X					5/5/17			X								
SP		Berkshire Hathaway Inc CI B		X			X	6/26/17			X								
SP		BBH Limited Duration Fund			X		X	8/25/17				X							
SP		BBH Limited Duration Fund		X			X	8/29/17					X						
SP		Longleaf Partners Small Cap Fund		X			X	9/8/17						X					
SP		BBH Limited Duration Fund			X		X	9/8/17						X					

# SCHEDULE B - TRANSACTIONS

Name: **Diane Lynn Black**

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SP, DC, JT		Type of Transaction				Date (Month/Year) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
Asset		Purchase	Sale	Partial Sale	Exchange		A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		3/9/17		X									
SP	BBH Limited Duration Fund			X		9/27/17	X										
SP	Kroger Co	X				10/25/17	X										
SP	Bed Bath & Beyond		X			10/25/17	X										
SP	BBH Limited Duration Fund			X		10/26/17		X									
SP	Progressive Corp Ohio		X			10/26/17		X									
SP	Walmart Inc		X			10/26/17		X									
SP	Jacksonville Fla SPL Rev Ser B-1 CV2 (exchanged for two assets below)				X	9/29/17				X							
SP	Jacksonville Fla SPL Rev Ser B-1 NB4				X	9/29/17			X								
SP	Jacksonville Fla SPL Rev Ser B-1 NDO				X	9/29/17			X								
SP	Clarkston Capital Partners SmlMidcap	X				5/1/17	X										
SP	Clarkston Capital Partners SmlMidcap	X				6/1/17	X										
SP	Select Equity Series	X				5/1/17	X										
SP	Select Equity Series	X				6/1/17	X										
SP	Select Equity Series	X				7/3/17	X										
SP	Select Equity Series	X				8/1/17		X									
SP	Select Equity Series	X				9/1/17			X								
SP	Burgundy Emerging Markets Series	X				5/1/17	X										
SP	Burgundy Emerging Markets Series	X				6/1/17	X										
SP	Burgundy Emerging Markets Series	X				7/3/17	X										

Use additional sheets if more space is required.

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**Use additional sheets if more space is required.**

# SCHEDULE B – TRANSACTIONS

Name: **Diane Lynn Black**

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period or any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

**Capital Gains:** If a sales transaction resulted in a capital gain in excess of \$200, check the capital gains box. Unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

\* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YY) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/8/17		X									
SP	American Funds (AF) New Perspective		X				12/15/17			X								
SP	American Funds Small Cap World		X				12/15/17			X								
SP	American Funds American Balanced		X				12/15/17		X									
SP	American Funds AMCAP Fund		X				12/15/17			X								
SP	Invesco Equally Weighted S&P 500 Fund		X				12/15/17		X									
SP	NY Life Guaranteed Interest Account		X				12/15/17			X								
SP	Blackrock Total Return Fund I		X				12/19/17		X									
SP	FT Rising Div Achievers ETF		X				12/19/17		X									
SP	First Tr Capital Strength ETF		X				12/19/17		X									
SP	First Tr Value Line Dividend		X				12/19/17		X									
SP	FT ETF Technology AlphaDEX		X				12/19/17	X										
SP	First Tr Exchange-Traded Fund II Financial AlphaDEX		X				12/19/17	X										
SP	American Growth Fund of America F3		X				12/19/17			X								
SP	iShares Core S&P Small Cap ETF		X				12/19/17	X										
SP	iShares Core S&P Mid Cap ETF		X				12/19/17	X										
SP	MFS International Diversification Fund		X				12/19/17			X								
SP	MFS Corporate Bond R6		X				12/19/17		X									
SP	Spot Ser Tr S&P Dividend ETF		X				12/19/17		X									
SP	Vanguard S&P 500 ETF		X				12/19/17	X										

Use additional sheets if more space is required.





# SCHEDULE D - LIABILITIES

Name: **Diane Lynn Black**

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Report liabilities of over \$10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members.** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a *revolving charge account* (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
	Example First Bank of Wilmington, DE	5/15	Mortgage on Rental Property, Dover, DE				X							
SP	Morgan Stanley	4/17	loan against securities											X
SP	The Farmers Bank	3/16	mortgage on personal residence - revolving line of credit								X			

# SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Member	Ebon Falcon LLC
Limited Partner & Member*	Empirical Capital Partners, LP

\* Position terminated 4-1-17  
Use additional sheets if more space is required.