

**UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT**

Form A
For use by Members, officers, and employees

**HAND
DELIVERED**

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Name:

Aaron John Schock

Daytime Telephone

2013 MAY 15 PM 3:18

ME

LEGISLATIVE RESOURCE CENTER

U.S. HOUSE OF REPRESENTATIVES (Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>IL</u>	District: <u>14</u>	<input type="checkbox"/> Officer or Employee	Employing Office:	Termination Date:
Report Type	<input checked="" type="checkbox"/> Annual (May 15, 2013)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	A \$200 penalty shall be assessed against anyone who files more than 30 days late.		

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "unearned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

IPO—Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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BLOCK A

SP, DC, Examples:	SP	Mega Corp. Stock
JT		Simon & Schuster
		1st Bank of Paducah, KY Accounts

BLOCK B

* This column is for assets held solely by your spouse or dependent child.

BLOCK C

* This column is for income generated by assets held solely by your spouse or dependent child.

BLOCK D

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

BLOCK E

asset had purchases (P), sales (S) or exchanges (E) exceeding \$1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows:
(S) (partial)
See below for example.

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For additional assets and unearned income, use next page

Name Aaron J. Schak

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* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset
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Amount of Liability

SCHEDULE VI— GIFTS

Value

Use additional sheets if more space is required.

Name Aaron Jon Schack Page 5 of 5

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COMBINED SNAPSHOT
Current period ending December 31, 2012

PRIMARY ACCOUNT NAME: AARON J SCHOCK

PRIMARY ACCOUNT NUMBER:

Your Financial Advisor:
KUNKLE/HEMMER/M CRAVEN
Phone: 309-693-3323 / 800-634-3323
6810 N KNOXVILLE AVE
PEORIA, IL 61614

Message from Wells Fargo Advisors

WITH THE IRS COST BASIS REPORTING LAWS IN EFFECT SINCE JANUARY 1, 2011, IT IS CRITICAL THAT YOU AND YOUR TAX ADVISORS ARE AWARE OF THE UPDATED REPORTING REQUIREMENTS FOR BOTH YOU AND YOUR FINANCIAL INSTITUTION. VISIT WELLSFARGOADVISORS.COM/COSTBASIS TO LEARN MORE.

Command Asset Program News

IT'S FAST, EASY, AND CONVENIENT TO TRANSFER MONEY ONLINE TO ELIGIBLE WELLS FARGO ACCOUNTS AND FROM YOUR ELIGIBLE NON-WELLS FARGO ACCOUNTS. LOG IN TO WELLSFARGOADVISORS.COM AND VISIT THE TRANSFERS TAB FOR DETAILS. LIMITATIONS AND RESTRICTIONS APPLY TO BUSINESS ACCOUNTS AND IRAS.

AARON J SCHOCK
[REDACTED]
PEORIA IL 61615-9796

Investments and Insurance products are:

NOT FDIC-INSURED NO BANK GUARANTEE MAY LOSE VALUE

Wells Fargo Advisors, LLC, brokerage account(s) carried by First Clearing, LLC, Wells Fargo Advisors, LLC and First Clearing, LLC, Members FINRA/SIPC are separate registered broker-dealers and non-bank affiliates of Wells Fargo & Company.

General instructions and disclosures

About this statement

Clearing services

First Clearing, LLC ("FCC"), an indirect wholly owned subsidiary of Wells Fargo & Company, is a clearing broker-dealer registered with the Securities and Exchange Commission ("SEC") and is a member of the New York Stock Exchange ("NYSE"), the Financial Industry Regulatory Authority ("FINRA") and all principal U.S. exchanges. FCC carries your accounts and acts as your custodian for funds and securities deposited with us directly by you, through our affiliated broker-dealer, Wells Fargo Advisors, LLC ("Wells Fargo Advisors") or as a result of transactions we process for your account. Twice a year, FCC publishes on its web site www.firstclearing.com a statement of the firm's financial condition. Alternatively, a printed statement is available to you upon request. Unless and until we receive written notice from you to the contrary, FCC may, without inquiry or investigation, accept from Wells Fargo Advisors (i) orders for the purchase or sale of securities for your account on margin or otherwise, and (ii) any other instructions concerning your account.

Trade date statement

All activity and positions on this statement are shown as of the date a trade is entered on the brokerage trading system (i.e., the trade date). Proceeds from the sale of securities and costs for the purchase of securities are not transacted through your account until the actual settlement date of the trade, which may be up to three business days after the trade date (or longer for certain securities with an extended settlement date).

Pricing of securities

Securities prices reflected on your statement may vary from actual liquidation value. Prices shown are provided by outside quotation services which we believe to be reliable but due to the nature of market data the accuracy of such prices cannot be guaranteed, or in the absence of such pricing, are estimated by Wells Fargo Advisors using available information and its judgment. Such estimates may not reflect actual trades and do not reflect a commitment by the firm to buy or sell at those prices. Securities listed on a national exchange such as the NYSE or Nasdaq Stock Market are priced as of the close of the statement period. Unlisted shares may be valued at the current best published "bid-price", and, if none exists, the last reported transaction if occurring within the last 45 days. Prices of securities not actively traded may not be available and are indicated by "N/A." Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value. Listed options are priced based on the closing "bid-ask" prices and the last reported trade. Mutual fund shares are priced at net asset value. Shares of direct participation program ("DPP") and real estate investment trust ("REIT") securities that are not listed on a national exchange are generally liquid. Because no formal trading market may exist for these investments, their values are estimated. Unless otherwise indicated, the values shown for DPP and REIT securities have been provided by the management of each program and represent that management's estimate of the investor's interest in the net assets of the program. See statement sections for additional pricing information. Prices for hedge funds and certain managed futures funds are provided on a month delay basis. Other managed futures funds may be priced more frequently. Long-term certificates of deposit (maturity beyond one year from date of issue) are priced using a market value pricing model. Generally, the sale or redemption price of your securities may be higher or lower than the prices shown on your statement. For an actual quote, contact the individual servicing your account.

Estimated annual income/yield

Estimated Annual Income ("EAI"), when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield ("EAY"), when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. EAI and EAY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EAY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate. The information used to derive these estimates is obtained from various outside vendors, FCC and our Firm are not responsible for incorrect or missing estimated annual income and yields. Past performance is not a guarantee of future results.

Income summary

The income summary displays all income as recorded in the tax system as of period end date. The totals in the Cash flow snapshot may not match the totals in the income snapshot due to reclassifications or other corrections made in the tax system. Remember, you may have certain products that are not included in these figures and whose income is only available on the tax forms sent to you at year-end. Reclassifications and other tax reporting requirements may alter these numbers both during and after year-end. You should rely only on tax reporting documents. Contact your tax advisor if you have any questions about the tax consequences of your brokerage activity.

About your rights and responsibilities

Questions and complaints about Your Account

This account statement contains important information about your brokerage account, including recent transactions. All account statements sent to you shall be deemed complete and accurate if not objected to in writing within ten days of receipt. We encourage you to review the details in this statement. If you do not understand any of the information in your statement or if you believe there are any inaccuracies or discrepancies in your statement, you should promptly report them to both FCC and to the manager of the Wells Fargo Advisors office listed on the front of your statement. To further protect your rights, including any rights under the Securities Investor Protection Act, any verbal communications with either your Wells Fargo Advisors office or with FCC should be re-confirmed in writing. Inquiries or complaints about your account statement, including the positions and balances in your account, may be directed to Wells Fargo Advisors Client Services at (866) 687-2442 or First Clearing Client Services at ATTN: H9006-08P, 1 N. Jefferson Ave., St. Louis, MO 63102, (866) 721-4264.

Public Disclosure: You may reach FINRA by calling the FINRA Regulation Public Disclosure Program Hotline at (800) 289-9999 or by visiting FINRA.BrokerCheck. An investor brochure that includes information describing FINRA BrokerCheck is available from FINRA upon request. A brochure describing the FINRA Pricing of Securities Regulation Public Disclosure Program is also available from the FINRA upon request.

SIPC Protection

Securities and cash in client accounts have two sources of protection. Wells Fargo Advisors is a member of the Securities Investor Protection Corporation ("SIPC"). SIPC protects the clients of its member firms against the loss of their securities in the event of the member's insolvency and liquidation. Each client is insured up to a maximum of \$500,000 (including \$250,000 for claims for cash). For more information on SIPC coverage, please see the explanatory brochure at www.sipc.org or contact SIPC at (202) 571-6300. In addition, Wells Fargo Advisors maintains a program of excess protection. This additional insurance coverage is provided through London Underwriters (led by Lloyd's of London Syndicate) ("Lloyd's"). For clients who have received the full SIPC payout limit, Wells Fargo Advisors policy with Lloyd's provides additional coverage above the SIPC limits for any missing securities and cash in client brokerage accounts up to a firm aggregate limit of \$1 billion (including up to \$1.9 million for cash per client). SIPC and the additional protection do not insure the quality of investments or protect against losses from fluctuating market value.

Investor education

Wells Fargo Advisors publishes on its web site www.wellsfargoadvisors.com information on topics of interest to investors as well as market commentary and economic analysis. Wells Fargo Advisors has also developed numerous investor education guides to provide you with important information regarding the products and services we offer. These guides may be found under the "Investor Education" tab.

Free credit balances

Free credit balances are not segregated and may be used by FCC in the operation of its business in accordance with Free Credit Section 240.15c3-2 & -3 under the Securities and Exchange Act of 1934. You have the right to receive from us in the course of normal business operations, subject to any open commitments in any of your accounts, any free credit balances to which you are entitled.

Investment objectives/Risk tolerances

Please inform us promptly of any material change that might affect your investment objectives, risk tolerances or financial situation, or if you wish to impose or change any reasonable restrictions on the management of your account. A copy of the Investment Advisory Services Disclosure document is available without charge upon request. Please contact the individual denoted on the front of your statement to update your information and to receive a copy of this document.

Tax reporting

We are required by federal law to report annually to you and to the Internal Revenue Service ("IRS") on Form(s) 1099 interest income, dividend payments and sales proceeds including cost basis information for applicable transactions credited to your account.



COMBINED SNAPSHOT

AARON J SCHOCK

December 1 - December 31, 2012
PRIMARY ACCOUNT NUMBER:

Your Financial Advisor

KUNKLE/HEMMER/MCRAVEN
Phone: 309-693-3323 / 800-634-3323

6810 N KNOXVILLE AVE
PEORIA, IL 61614

Please visit us at www.wellsfargoadvisors.com.

What's inside your Combined Snapshot ...

ACCOUNT NAME	STATEMENT ENCLOSED	ACCOUNT NUMBER	TAX STATUS	PREVIOUS VALUE ON NOV 30	NET CHANGE	CURRENT VALUE ON DEC 31
AARON J SCHOCK	Yes		Taxable	47,753.01	1,090.70	48,843.71
AARON J SCHOCK (ROTH IRA) FCC AS CUSTODIAN	Yes		Retirement	29,600.92	747.92	30,348.84
Total				\$77,353.93	\$1,838.62	\$79,192.55

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COMBINED SNAPSHOT

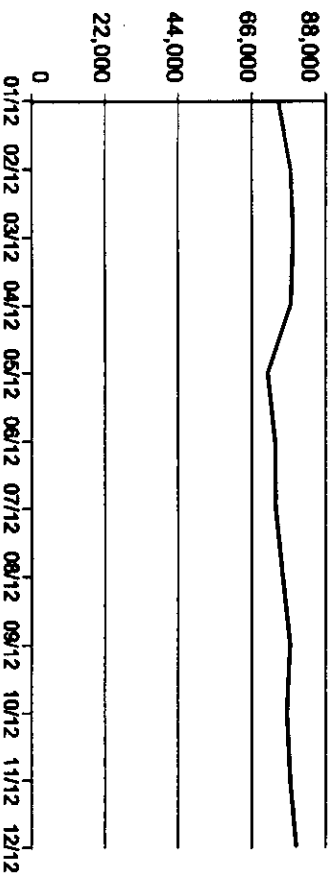
AARON J SCHOCK

December 1 - December 31, 2012
PRIMARY ACCOUNT NUMBER

Combined progress summary

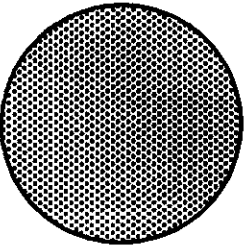
	THIS PERIOD	THIS YEAR
Opening value	\$77,353.93	\$69,199.60
Cash deposited	0.00	0.00
Securities deposited	0.00	0.00
Cash withdrawn	0.00	-744.57
Securities withdrawn	0.00	0.00
Income earned	1,453.94	2,337.30
Change in value	384.68	8,400.22
Closing value	\$79,192.55	\$79,192.55

Value over time



Combined portfolio summary

CURRENT



ASSETS

ASSET TYPE	PREVIOUS VALUE ON NOV 30	%	CURRENT VALUE ON DEC 31	%	ESTIMATED ANN. INCOME
Cash and sweep balances	0.00	0.00	0.00	0.00	0
Stocks, options & ETFs	0.00	0.00	0.00	0.00	0
Fixed Income securities	0.00	0.00	0.00	0.00	0
Mutual funds	77,353.93	100.00	79,192.55	100.00	833
Asset value	\$77,353.93	100%	\$79,192.55	100%	\$833

COMBINED SNAPSHOT

AARON J SCHOCK

December 1 - December 31, 2012
PRIMARY ACCOUNT NUMBER:

Combined cash flow summary

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	\$0.00	
Income and distributions	1,453.94	2,337.30
Securities sold and redeemed	1,810.61	21,414.45
Net additions to cash	\$3,264.55	\$23,751.75
Securities purchased	-3,284.55	-23,007.18
Other subtractions	0.00	-744.57
Net subtractions from cash	-\$3,284.55	-\$23,751.75
Closing value of cash and sweep balances	\$0.00	

Combined gain/loss summary

TAXABLE ACCOUNTS	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term (S)	368.59	0.00	11.64
Long term (L)	7,139.37	264.41	1,813.41
Total for taxable accounts	\$7,507.96	\$264.41	\$1,825.05
RETIREMENT ACCOUNTS			
Total for retirement accounts	\$1,874.04	\$0.00	\$88.99
COMBINED SNAPSHOT TOTALS	\$9,382.00	\$264.41	\$1,914.04

Combined income summary

TAXABLE ACCOUNTS	THIS PERIOD	THIS YEAR
Ordinary dividends and ST capital gains	470.89	588.12
Long term capital gains	337.75	1,123.88
Taxable income on taxable accounts	\$808.64	\$1,692.00
Tax exempt income on taxable accounts	\$0.00	\$0.00
Subtotal income on taxable accounts	\$808.64	\$1,692.00
RETIREMENT ACCOUNTS		
Subtotal income on retirement accounts	\$645.30	\$645.30
COMBINED SNAPSHOT TOTALS	\$1,453.94	\$2,337.30



PRIMARY ACCOUNT NUMBER:
PRIMARY ACCOUNT NAME: AARON J SCHOCK

Specific instructions and disclosures

Available funds

"Available for loan" reflects the approximate amount available as of the statement period ending date and should be reduced by any pending checks and Visa charges not yet cleared. This amount is the approximate amount available for withdrawal and loans. A margin loan is a variable rate loan secured by your account.

Cost basis - To add or update information or modify your reporting options, please contact Your Financial Advisor.

This statement presents estimated unrealized or realized gains or losses. If acquisition or other information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information is not verified by FCC or Wells Fargo Advisors and should not be relied upon for legal or tax purposes. Revisions to this information (due to corporate mergers, tenders and other reorganizations) may be required from time to time.

Cost basis for factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average.

Your account statement should not be used for tax preparation without assistance from your tax consultant. We do not report capital gains or losses for non-covered securities to the IRS.

Cost basis options

Unless specific tax lots are selected at trade time, sales of tax lots will occur using the cost basis election reflected in the Account profile section.

IRA withholding notice

Form W-4POMB No. 1454-0415

The withdrawals you receive from your IRA (except Education IRA) are subject to Federal income tax withholding unless you elect not to have withholding apply. If you have a periodic, or an "on demand" distribution, your election regarding our withholding of Federal income tax on your behalf stays in effect until you change it. You may change or revoke your election at any time and as often as you wish by completing a new election form. If you elect check writing privileges, you will also have previously elected to have no withholding on your withdrawals. If you decide to have taxes withheld you will not be eligible for check writing from your IRA. If you elect not to have taxes withheld you will be liable for payment of all taxes due on the taxable portion of your distribution and you may be responsible for payment of estimated tax. You may be subject to tax penalties under the estimated tax payment rules if your payments of estimated tax and withholding, if any, are not adequate.

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SNAPSHOT

AARON J SCHOCK

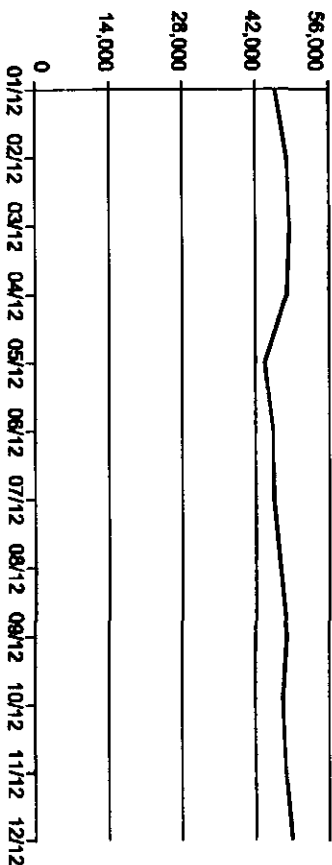
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DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER ****.21.2012

Progress summary

	THIS PERIOD	THIS YEAR
Opening value	\$47,753.01	\$42,773.95
Cash deposited	0.00	0.00
Securities deposited	0.00	0.00
Cash withdrawn	0.00	-461.02
Securities withdrawn	0.00	0.00
Income earned	808.64	1,692.00
Change in value	282.06	4,836.78
Closing value	\$48,043.71	\$48,043.71

Value over time

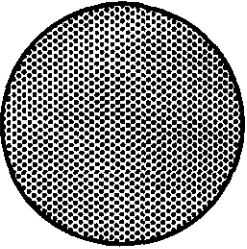


Portfolio summary

CURRENT

ASSETS

ASSET TYPE	PREVIOUS VALUE ON NOV 30	%	CURRENT VALUE ON DEC 31	%	ESTIMATED ANN. INCOME
Cash and sweep balances	0.00	0.00	0.00	0.00	0
Stocks, options & ETFs	0.00	0.00	0.00	0.00	0
Fixed income securities	0.00	0.00	0.00	0.00	0
Mutual funds	47,753.01	100.00	48,043.71	100.00	480
Asset value	\$47,753.01	100%	\$48,043.71	100%	\$480



SNAPSHOT

AARON J SCHOCK

Page 2 of 18

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER

Cash flow summary

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	\$0.00	
Income and distributions	808.64	1,692.00
Securities sold and redeemed	1,810.61	13,236.07
Net additions to cash	\$2,619.25	\$14,928.07
Securities purchased	-2,619.25	-14,467.05
Other subtractions	0.00	-461.02
Net subtractions from cash	-\$2,619.25	-\$14,928.07
Closing value of cash and sweep balances	\$0.00	

Income summary

TAXABLE	THIS PERIOD	THIS YEAR
Ordinary dividends and ST capital gains	470.89	568.12
Long term capital gains	337.75	1,123.88
Total taxable income	\$808.64	\$1,692.00
Total federally tax-exempt income	\$0.00	\$0.00
Total income	\$808.64	\$1,692.00

Gain/loss summary

	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term (S)	368.59	0.00	11.64
Long term (L)	7,139.37	264.41	1,813.41
Total	\$7,507.96	\$264.41	\$1,825.05



SNAPSHOT

AARON J SCHOCK

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DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMB :

Your Financial Advisor

KUNKLE/HEMMER/MCRAVEN
Phone: 309-693-3323 / 800-634-3323

6810 N KNOXVILLE AVE
PEORIA, IL 61614

Client service Information

Client service: 800-266-6263 (800) COMMAND
En español: 800-326-8977
Website: www.wellsfargoadvisors.com

Account profile

Full account name: AARON J SCHOCK
Account type: Command Asset Program
Brokerage account number:
Command account number:
Tax status:
Investment objective/Risk tolerance: *
Time horizon: *
Liquidity needs: *
Cost Basis Election:
Sweep option:
Your managed program:
Your manager:

*For more information, go to www.wellsfargoadvisors.com/disclosures.

Available funds

Cash 0.00
Money market and sweep funds 0.00
Available for loan 0.00
Your total available funds \$0.00

For your consideration

Go paperless. Accessing all of your account documents online is easy and costs nothing. To participate, go to wellsfargoadvisors.com and click on the Access Online "Client Login" link in the upper right hand corner of the homepage. If you already have a User ID and Password, please log in and click on the "Delivery Preferences" link found under the Accounts & Services tab to turn off paper delivery of your account documents. If you do not have a User ID and Password, please click on the "Sign up online" link on the right side of the Access Online login page or call 877-879-2495 for assistance.

Document delivery status

	Paper	Electronic
Statements:	X	
Trade confirmations:	X	
Tax documents:	X	
Shareholder communications:	X	

AARON J SCHOCK

DECEMBER 1 - DECEMBER 31 2012
ACCOUNT NUMBER

Additional Information

Gross proceeds	THIS PERIOD 1,810.61	THIS YEAR 13,236.07
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Portfolio detail

Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ARTISAN PARTNERS FDS									
INC SMALL CAP FDS									
INV SHS									
ARTSX									
On Reinvestment									
Acquired 12/12/12 S	3.77	88.88600	20.37	1,810.61	20.7400	1,843.49	32.88	N/A	N/A
INVESCO GLOBAL REAL ESTATE Y									
ARGYX									
On Reinvestment									
Acquired 06/22/09 L nc		66.09000	7.13	471.21		772.59	301.38		
Acquired 09/28/11 L nc		6.89200	8.99	60.16		78.22	18.06		
Reinvestments L nc		7.92400	9.77	77.43		92.64	15.21		
Reinvestments S		3.54500	11.13	39.49		41.44	1.95		
Total	2.02	84.25100		\$648.29	11.6900	\$984.89	\$336.60	\$39.68	4.03
Client Investment (Excluding Reinvestments)								\$531.37	
Gain/Loss on Client Investment (Including Reinvestments)								\$453.52	
MANAGERS AMG FDS									
TIMESQUARE MID CAP									
GROWTH FD PREMIER CL									
TMDPX									
On Reinvestment									
Acquired 06/22/09 L nc		150.87300	9.14	1,378.96		2,243.47	864.51		
Reinvestments L nc		5.23400	13.32	69.72		77.83	8.11		



AARON J SCHOCK

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments S		8.14100	14.73	119.92		121.06	1.14		
Total	5.00	164.24600		\$1,568.60	14.8700	\$2,442.36	\$873.76	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
DELAWARE GROUP ADVISER									
FDS INC US GROWTH PORT									
CL INSTL CL									
DEUIX									
On Reinvestment									
Acquired 06/10/06 L nc		140.15000	14.82	2,077.01		2,606.79	529.78		
Reinvestments L nc		1.08300	12.31	13.34		20.14	6.80		
Reinvestments S		0.21500	18.41	3.96		4.00	0.04		
Total	5.39	141.44800		\$2,094.31	18.6000	\$2,630.93	\$536.62	\$2.26	0.09
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
DELAWARE GROUP EQUITY									
FDS II-VALUE FD INSTL CL									
DDVIX									
On Reinvestment									
Acquired 08/27/12 S		278.49700	12.15	3,383.74		3,461.71	77.97		
Acquired 08/30/12 S		29.08200	12.08	351.31		361.49	10.18		
Reinvestments S		4.88900	12.51	61.21		60.77	-0.44		
Total	7.95	312.46800		\$3,796.26	12.4300	\$3,883.97	\$87.71	\$62.18	1.60
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
FIRST EAGLE FUNDS									
SOGEN OVERSEAS FUND CL I									
SGOIX									
On Reinvestment									
Acquired 08/27/12 S		104.88100	22.25	2,333.61		2,345.13	11.52		
Reinvestments S		5.78300	22.00	127.23		129.31	2.08		
Total	5.07	110.66400		\$2,460.84	22.3600	\$2,474.44	\$13.60	\$34.19	1.38
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									

AARON J SCHOCK

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBE

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
RS INVT TR EMERGING MKTS FD CL Y RSENK									
On Reinvestment									
Acquired 06/09/08 L nc		48,41300	26.07	1,262.25		1,156.04	-104.21		
Acquired 06/22/09 L nc		42,45600	16.52	701.57		1,015.54	313.97		
Acquired 06/24/10 L nc		6,31500	23.13	146.07		151.06	4.99		
Acquired 06/28/11 L nc		19,45200	20.06	390.21		465.29	75.08		
Acquired 06/27/12 S		1,19700	22.76	27.25		28.63	1.38		
Reinvestments L nc		4,30100	22.94	98.68		102.88	4.20		
Reinvestments S		0.57400	23.51	13.50		13.73	0.23		
Total	6.01	122,70900		\$2,639.53	23.9260	\$2,935.17	\$295.64	\$13.49	0.46
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$2,527.35									
\$407.82									
GOLDMAN SACHS TR FINL SQUARE TAX FREE MONEY MKT INSTL CL FTXXX									
On Reinvestment									
Acquired 06/27/12 S		346,90000	1.00	346.90		346.90	0.00		
Reinvestments S		0.03000	1.00	0.03		0.03	0.00		
Total	0.71	346,93000		\$346.93	1.0000	\$346.93	\$0.00	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$346.90									
\$0.03									
HANCOCK JOHN CAP SER CLASSIC VALUE FD CL I JCVIX									
On Reinvestment									
Acquired 06/09/08 L nc		5,12000	18.72	95.84		89.50	-6.34		
Acquired 06/10/08 L nc		23,84200	18.73	446.57		416.76	-29.81		
Acquired 06/22/09 L nc		100,85800	11.29	1,138.69		1,763.00	624.31		
Acquired 06/28/11 L nc		35,07300	14.04	492.42		613.08	120.66		
Reinvestments L nc		15,84700	11.88	186.03		273.49	87.46		
Reinvestments S		2,53700	17.38	44.11		44.35	0.24		



AARON J SCHOCK

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER:

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	6.55	183.07700		\$2,403.66	17.4800	\$3,200.18	\$796.52	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
HARBOR FUND CAP APPRECIATION FD INSTL CL HACAX									
On Reinvestment									
Acquired 08/09/08 L nc		28.01400	35.44	992.81		1,191.15	198.34		
Acquired 08/22/09 L nc		57.41400	25.92	1,488.18		2,441.24	953.06		
Acquired 08/24/10 L nc		2.52800	30.01	75.87		107.49	31.62		
Reinvestments L nc		0.86000	30.90	26.58		36.57	9.99		
Reinvestments S		0.35500	42.39	15.05		15.10	0.05		
Total	7.76	89.17100		\$2,598.49	42.5200	\$3,791.55	\$1,193.06	\$15.06	0.40
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
HARBOR FUND INTL FD INSTL CLASS HAINX									
On Reinvestment									
Acquired 08/22/09 L nc		39.02800	41.44	1,617.33		2,424.41	807.08		
Acquired 08/25/09 L nc		20.47100	43.07	881.67		1,271.86	389.99		
Acquired 08/24/11 L nc		2.18500	50.77	110.93		135.73	24.80		
Reinvestments L nc		3.31700	53.61	177.84		206.05	28.21		
Reinvestments S		1.33300	61.26	81.67		82.81	1.14		
Total	8.44	66.33400		\$2,869.44	62.1200	\$4,120.66	\$1,251.22	\$83.31	2.02
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
HOTCHKIS & WILEY FDS MID CAP VALUE FD CL I HWMALX									
On Reinvestment									
Acquired 10/20/05 L nc		27.14800	27.56	748.19		775.89	27.70		
Acquired 08/10/08 L nc		20.94800	19.32	404.72		598.69	193.97		
Reinvestments L nc		39.81400	23.82	940.78		1,137.88	197.10		
Reinvestments S		0.39700	28.11	11.16		11.35	0.19		

AARON J SCHOCK

DECEMBER 1 - DECEMBER 31, 2012
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Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	ACCOUNT	% OF QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	5.17	88,307.00		\$2,104.85	28.5600	\$2,523.81	\$418.96	\$11.21	0.44
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
							\$1,152.91		
							\$1,370.90		
AMERICAN FDS INC NEW									
CL F-1									
NIWFX									
On Reinvestment									
Acquired 08/24/10 L nc		7.21800	47.72	344.44		390.49	46.05		
Acquired 08/27/10 L nc		10.05700	48.09	483.65		544.08	60.43		
Acquired 08/28/11 L nc		8.22500	45.07	370.70		444.98	74.28		
Reinvestments L nc		2.13500	49.24	105.13		115.50	10.37		
Reinvestments S		0.38000	53.44	20.31		20.56	0.25		
Total	3.10	28,015.00		\$1,324.23	\$4,1000	\$1,515.61	\$191.38	\$20.59	1.36
Client Investment (Excluding Reinvestments)							\$1,198.79		
Gain/Loss on Client Investment (Including Reinvestments)							\$316.82		
WELLS FARGO FDS TRUST									
EMERGING MARKETS EQUITY									
FUND INSTL CLASS									
EMGNX									
On Reinvestment									
Acquired 08/27/12 S		112.95300	20.88	2,333.61		2,552.73	219.12		
Reinvestments S		0.79100	22.08	17.47		17.88	0.41		
Total	5.26	113,744.00		\$2,351.08	22.6000	\$2,570.61	\$219.53	\$17.63	0.69
Client Investment (Excluding Reinvestments)							\$2,333.61		
Gain/Loss on Client Investment (Including Reinvestments)							\$237.00		
OPENHEIMER INTL GRWTH									
FD CL Y SHS									
OLGYX									
On Reinvestment									
Acquired 08/09/08 L nc		74.88000	29.17	2,184.25		2,289.56	115.31		
Acquired 08/27/12 S		2.12300	28.32	60.11		65.20	5.09		
Reinvestments L nc		5.59800	22.07	123.60		171.91	48.31		
Reinvestments S		1.20900	30.34	36.69		37.13	0.44		



AARON J SCHOCK

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	5.27	83,810.00		\$2,404.85	30.7100	\$2,573.80	\$189.15	\$37.21	1.45
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
							\$2,244.36		
							\$329.44		
PIMCO FDS PAC INVT									
MGMT SER-COMMODITY REAL									
RETURN STRAT FD INSTL CL									
PCRIIX									
On Reinvestment									
Acquired 08/22/09 L nc		133,998.00	7.02	940.86		889.74	-50.92		
Acquired 09/28/11 L nc		33,528.00	7.57	253.81		222.62	-31.19		
Acquired 08/27/12 S		20,348.00	6.94	141.20		135.10	-6.10		
Reinvestments L nc		76,941.00	7.69	592.08		510.89	-81.19		
Reinvestments S		9,546.00	6.66	63.79		63.39	-0.40		
Total	3.73	274,359.00		\$1,991.54	6.6400	\$1,821.74	-\$169.80	\$48.28	2.65
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
							\$1,335.67		
							\$486.07		
PIONEER FUND CL-Y									
PYODX									
On Reinvestment									
Acquired 08/22/09 L nc		74,485.00	28.77	2,142.92		2,428.95	286.03		
Reinvestments L nc		3,235.00	36.32	117.50		105.49	-12.01		
Reinvestments S		24,155.00	32.43	783.46		787.70	4.24		
Total	6.80	101,875.00		\$3,043.88	32.6100	\$3,322.14	\$278.26	\$65.70	1.98
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
							\$2,142.92		
							\$1,179.22		
PIONEER SER TRI									
PIONEER OAK RIDGE SMALL									
CAP GROWTH FUND CL Y									
ORRYX									
On Reinvestment									
Acquired 08/27/12 S		62,085.00	30.07	1,866.89		1,866.13	1.24		
Reinvestments S		1,903.00	29.26	55.70		57.26	1.56		
Total	3.94	63,988.00		\$1,922.59	30.0900	\$1,925.39	\$2.80	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
							\$1,866.89		
							\$58.50		

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DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER**Mutual Funds****Open End Mutual Funds continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
VICTORY PORTFOLIOS SMALL CO OPTFY FD CL I SHS VSOIX									
On Reinvestment Acquired 08/24/10 L nc Reinvestments L nc Reinvestments S		113.75700 1.49900 6.15200	23.86 29.67 32.06	2,714.23 44.49 197.25		3,687.99 48.61 199.44	973.76 4.12 2.19		
Total	8.06	121.40800		\$2,955.97	32.4200	\$3,936.04	\$980.07	\$29.50	0.75
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$2,714.23			
						\$1,221.81			
Total Open End Mutual Funds	100.00			\$41,335.75		\$48,843.71	\$7,507.96	\$480.29	0.98
Total Mutual Funds	100.00			\$41,335.75		\$48,843.71	\$7,507.96	\$480.29	0.98

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Activity detail**Income and distributions**

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/07	Cash	DIVIDEND		DELAWARE GROUP ADVISER FDS INC US GROWTH PORT CL INSTL CL 12/07/12 141.23300		2.26
12/10	Cash	DIVIDEND		INVESCO GLOBAL REAL ESTATE Y 12/07/12 81.98900 AS OF 12/07/12		25.89
12/13	Cash	LT CAP GAIN		PIMCO FDS PAC INVT MGMT SER-COMMODITY REAL RETURN STRAT FD INSTL CL 12/12/12 270.78600 AS OF 12/12/12		5.42



AARON J SCHOCK

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DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER

Activity detail continued

Income and distributions

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/13	Cash	SHRT TRM GAIN		PIMCO FDS PAC INVT MGMT SER-COMMODITY REAL RETURN STRAT FD INSTL CL 121212 289.98700 AS OF 12/12/12		13.36
12/14	Cash	LT CAP GAIN		FIRST EAGLE FUNDS SOGEN OVERSEAS FUND CL I 121412 104.88100		71.53
12/14	Cash	SHRT TRM GAIN		FIRST EAGLE FUNDS SOGEN OVERSEAS FUND CL I 121412 101.83000		10.91
12/14	Cash	DIVIDEND		FIRST EAGLE FUNDS SOGEN OVERSEAS FUND CL I 121412 101.13400		32.41
12/14	Cash	DIVIDEND		RS INVT TR EMERGING MKTS FD CL Y 121412 122.13400		13.50
12/14	Cash	DIVIDEND		WELLS FARGO FDS TRUST EMERGING MARKETS EQUITY FUND INSTL CLASS 121412 112.95300		17.47
12/17	Cash	LT CAP GAIN		FIRST EAGLE FUNDS SOGEN OVERSEAS FUND CL I 121412 110.10100 AS OF 12/14/12		12.38
12/17	Cash	DIVIDEND		HOTCHKIS & WILEY FDS MID CAP VALUE FD CL I 121412 87.91000 AS OF 12/14/12		11.16
12/18	Cash	DIVIDEND		HANCOCK JOHN CAP SER CLASSIC VALUE FD CL I 121812 180.54000		44.11
12/18	Cash	DIVIDEND		HARBOR FUND CAP APPRECIATION FD INSTL CL 121712 88.81600 AS OF 12/17/12		15.05

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DECEMBER 1 - DEC 31, 2012
ACCOUNT NUMBER

Activity detail continued

Income and distributions

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/18	Cash	DIVIDEND		HARBOR FUND INTL FD INSTL CLASS 121712 66.00100 AS OF 12/17/12		81.67
12/18	Cash	DIVIDEND		OPENHEIMER INTL GRWTH FD CL Y SHS 121812 62.60100		36.69
12/19	Cash	LT CAP GAIN		GOLDMAN SACHS TR FINL SQUARE TAX FREE MONEY MKT INSTL CL 121812 346.90000 AS OF 12/18/12		0.02
12/19	Cash	SHRT TRM GAIN		GOLDMAN SACHS TR FINL SQUARE TAX FREE MONEY MKT INSTL CL 121812 346.88000 AS OF 12/18/12		0.01
12/21	Cash	DIVIDEND		PIONEER FUND CL-Y 122012 101.34500 AS OF 12/20/12		17.46
12/24	Cash	DIVIDEND		DELAWARE GROUP EQUITY FDS II-VALUE FD INSTL CL 122412 307.57900		61.21
12/27	Cash	LT CAP GAIN		MANAGERS AMG FDS TIMESQUARE MID CAP GROWTH FD PREMIER CL 122712 166.10700		119.92
12/27	Cash	DIVIDEND		AMERICAN FDS INC NEW CL F-1 122712 27.63500		20.31
12/28	Cash	DIVIDEND		PMCO FDS PAC INVT MGMT SER-COMMODITY REAL RETURN STRAT FD INSTL CL 122712 273.55600 AS OF 12/27/12		5.34



AARON J SCHOCK

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DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER

Activity detail continued

Income and distributions

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/28	Cash	SHRT TRM GAIN		VICTORY PORTFOLIOS SMALL CO OPPTY FD CL 1 SHS 122812 115.52200		40.37
12/28	Cash	LT CAP GAIN		VICTORY PORTFOLIOS SMALL CO OPPTY FD CL 1 SHS 122812 115.52200		128.48
12/28	Cash	DIVIDEND		VICTORY PORTFOLIOS SMALL CO OPPTY FD CL 1 SHS 122812 115.52200		20.01
12/31	Cash	DIVIDEND		DELAWARE GROUP ADVISER FDS INC US GROWTH PORT CL INSTL CL 123112 141.35500		1.70

Total Income and distributions:

\$808.64

Securities sold and redeemed

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/11	Cash	SALE	-68.14500	TCW FDS INC SMALL CAP GROWTH FD WE ACTED AS AGENT FOR YOUR ACCOUNT	26.5700	1,810.61

Total Securities sold and redeemed:

\$1,810.61

Securities purchased

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/07	Cash	REINVEST DIV	0.12200	DELAWARE GROUP ADVISER FDS INC US GROWTH PORT CL INSTL CL REINVEST AT 18.470		-2.26
12/10	Cash	REINVEST DIV	2.28300	INVESCO GLOBAL REAL ESTATE Y REINVEST AT 11.340		-25.89
12/12	Cash	PURCHASE	88.88600	ARTISAN PARTNERS FDS INC SMALL CAP FDS INV SHS	20.3700	-1,810.61

AARON J SCHOCK

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER

Activity detail continued

Securities purchased

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
WELLS FARGO ADVISORS LLC ACTED AS PRINCIPAL FOR YOUR ACCOUNT						
12/13	Cash	REINVESTMENT	1.97100	PIMCO FDS PAC INVT MGMT SER-COMMODITY REAL RETURN STRAT FD INSTL CL REINVEST AT 6.780		-13.36
12/13	Cash	REINVESTMENT	0.79900	PIMCO FDS PAC INVT MGMT SER-COMMODITY REAL RETURN STRAT FD INSTL CL REINVEST AT 6.780		-5.42
12/14	Cash	REINVESTMENT	3.25100	FIRST EAGLE FUNDS SOGEN OVERSEAS FUND CL I REINVEST AT 22.000		-71.53
12/14	Cash	REINVEST DIV	1.47300	FIRST EAGLE FUNDS SOGEN OVERSEAS FUND CL I REINVEST AT 22.000		-32.41
12/14	Cash	REINVESTMENT	0.49600	FIRST EAGLE FUNDS SOGEN OVERSEAS FUND CL I REINVEST AT 22.000		-10.91
12/14	Cash	REINVEST DIV	0.57400	RS INVT TR EMERGING MKTS FD CL Y REINVEST AT 23.630		-13.50
12/14	Cash	REINVEST DIV	0.79100	WELLS FARGO FDS TRUST EMERGING MARKETS EQUITY FUND INSTL CLASS REINVEST AT 22.080		-17.47
12/17	Cash	REINVESTMENT	0.56300	FIRST EAGLE FUNDS SOGEN OVERSEAS FUND CL I REINVEST AT 22.000		-12.38
12/17	Cash	REINVEST DIV	0.39700	HOTCHKIS & WILEY FDS MID CAP VALUE FD CL I REINVEST AT 28.120		-11.16
12/18	Cash	REINVEST DIV	2.53700	HANCOCK JOHN CAP SER CLASSIC VALUE FD CL I REINVEST AT 17.380		-44.11
12/18	Cash	REINVEST DIV	0.35500	HARBOR FUND CAP APPRECIATION FD INSTL CL		-15.05



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DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER

Activity detail continued

Securities purchased						
DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/18	Cash	REINVEST DIV	1.33300	REINVEST AT 42.360 HARBOR FUND INTL FD INSTL CLASS		-81.67
12/18	Cash	REINVEST DIV	1.20900	REINVEST AT 61.270 OPPENHEIMER INTL GRWTH FD CL Y SHS		-36.69
12/19	Cash	REINVESTMENT	0.02000	GOLDMAN SACHS TR FINL SQUARE TAX FREE MONEY MKT INSTL CL REINVEST AT 1.000		-0.02
12/19	Cash	REINVESTMENT	0.01000	GOLDMAN SACHS TR FINL SQUARE TAX FREE MONEY MKT INSTL CL REINVEST AT 1.000		-0.01
12/21	Cash	REINVEST DIV	0.53000	PIONEER FUND CL-Y REINVEST AT 32.970		-17.46
12/24	Cash	REINVEST DIV	4.88900	DELAWARE GROUP EQUITY FDS II-VALUE FD INSTL CL REINVEST AT 12.520		-61.21
12/27	Cash	REINVESTMENT	8.14100	MANAGERS AMG FDS TIMESQUARE MID CAP GROWTH FD PREMIER CL REINVEST AT 14.730		-119.92
12/27	Cash	REINVEST DIV	0.38000	AMERICAN FDS INC NEW CL F-1 REINVEST AT 53.460		-20.31
12/28	Cash	REINVEST DIV	0.80300	PIMCO FDS PAC INVT MGMT SER-COMMODITY REAL RETURN STRAT FD INSTL CL REINVEST AT 8.660		-5.34
12/28	Cash	REINVESTMENT	4.00400	VICTORY PORTFOLIOS SMALL CO OPPTY FD CL I SHS REINVEST AT 32.090		-128.48
12/28	Cash	REINVESTMENT	1.25800	VICTORY PORTFOLIOS SMALL CO OPPTY FD CL I SHS		-40.37

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DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBE

Activity detail continued

Securities purchased					
DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE
12/28	Cash	REINVEST DIV	0.62400	REINVEST AT 32.090 VICTORY PORTFOLIOS SMALL CO OPTTY FD CL 1 SHS	-20.01
12/31	Cash	REINVEST DIV	0.09300	REINVEST AT 32.090 DELAWARE GROUP ADVISER FDS INC US GROWTH PORT CL INSTL CL	-1.70
Total Securities purchased:					-\$2,619.25

Bank Deposits Through Teller

Wells Fargo Bank, N.A. (Member FDIC)

Account number

December 1 - December 31
Questions? Call us at 1-800-266-6263

Deposits made in a bank branch on the last business day of the month will typically appear on your next statement.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	BANK BALANCE
12/01		BEGINNING BALANCE		\$0.00
12/31		ENDING BALANCE		\$0.00



AARON J SCHOCK

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBE

Realized gain/loss

Realized Gain/Loss Summary

	THIS PERIOD GAIN	THIS PERIOD LOSS	THIS PERIOD NET	THIS YEAR GAIN	THIS YEAR LOSS	THIS YEAR NET
Short term	0.00	0.00	0.00	11.64	0.00	11.64
Long term	264.41	0.00	264.41	1,992.54	-179.13	1,813.41
Total Realized Gain/Loss	\$264.41	\$0.00	\$264.41	\$2,004.18	-\$179.13	\$1,825.05

Realized Gain/Loss Detail

Long term

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
TCW FDS INC	68.14500	22.6900	08/24/10	12/11/12	1,810.61	1,546.20	264.41
SMALL CAP GROWTH FD							
CUSIP 87234N649							
Total Long term					\$1,810.61	\$1,546.20	\$264.41

See Cost Information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

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|----|---|----|---|
| | | | |

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SNAPSHOT

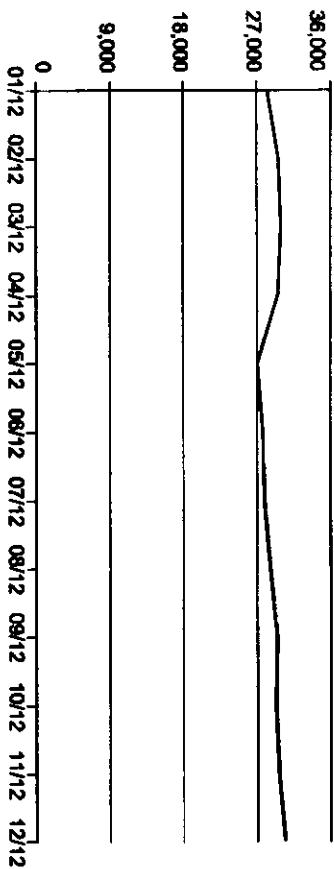
AARON J SCHOCK (ROTH IRA)
FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER:

Progress summary

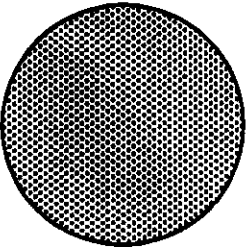
	THIS PERIOD	THIS YEAR
Opening value	\$29,600.92	\$26,425.65
Cash deposited	0.00	0.00
Securities deposited	0.00	0.00
Cash withdrawn	0.00	-283.55
Securities withdrawn	0.00	0.00
Income earned	645.30	645.30
Change in value	102.62	3,561.44
Closing value	\$30,348.84	\$30,348.84

Value over time



Portfolio summary

CURRENT



ASSETS



ASSET TYPE	PREVIOUS VALUE ON NOV 30	%	CURRENT VALUE ON DEC 31	%	ESTIMATED ANN. INCOME
Cash and sweep balances	0.00	0.00	0.00	0.00	0
Stocks, options & ETFs	0.00	0.00	0.00	0.00	0
Fixed income securities	0.00	0.00	0.00	0.00	0
Mutual funds	29,600.92	100.00	30,348.84	100.00	353
Asset value	\$29,600.92	100%	\$30,348.84	100%	\$353

SNAPSHOT

AARON J SCHOCK (ROTH IRA)
FCC AS CUSTODIAN

Page 2 of 12

DECEMBER 1 - DECEMBER 31 2012
 ACCOUNT NUMBE

Cash flow summary

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	\$0.00	
Income and distributions	645.30	645.30
Securities sold and redeemed	0.00	8,178.38
Net additions to cash	\$645.30	\$8,823.68
Securities purchased	-645.30	-8,540.13
Other subtractions	0.00	-283.55
Net subtractions from cash	-\$645.30	-\$8,823.68
Closing value of cash and sweep balances	\$0.00	

Income summary

	THIS PERIOD	THIS YEAR
Dividends and short term capital gains	415.55	415.55
Long term capital gains	229.75	229.75
Total Income	\$645.30	\$645.30

Gain/loss summary

	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term (S)	551.50	0.00	5.32
Long term (L)	1,322.54	0.00	83.67
Total	\$1,874.04	\$0.00	\$88.99



SNAPSHOT

AARON J SCHOCK (ROTH IRA)
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Page 3 of 12

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMB

Retirement summary

IRA Plan Value \$30,348.84

CUSTODIAN EIN

A portion of these assets may not be covered by SIPC. Bank products are eligible for FDIC insurance up to \$250,000 in accordance with FDIC rules.
PLEASE SEE THE "IMPORTANT IRA INFORMATION" SECTION IN THE ENCLOSED "IMPORTANT INFORMATION REGARDING YOUR ACCOUNT" INSERT FOR KEY INFORMATION YOU SHOULD KNOW ABOUT YOUR IRA. CONTACT YOUR FINANCIAL ADVISOR WITH ANY QUESTIONS YOU MIGHT HAVE.

This is your individual retirement account (IRA) Fair Market Value statement. The amount reflected in the "IRA Fair Market Value" will be furnished to the Internal Revenue Service as shown on your Form 5498. Any corrections made to your market value after December 31, 2012 will result in a corrected "IRA Portfolio Holding Valuation and Form 5498" being issued to you.

ACCOUNT INFORMATION

IRA Fair Market Value	\$30,348.84
Account Holder Birthdate:	05/28/61
Attained Age as of 12/31/12:	31.5

RETIREMENT TRANSACTIONS

CONTRIBUTION SUMMARY

AMOUNT

Contributions

2012 FOR 2012

\$0.00

2012 DISTRIBUTION SUMMARY

AMOUNT

Gross Distributions

\$0.00

Contact us if information on this page requires updates.

Your Financial Advisor:

KUNKLE/HEMMER/M CRAVEN

309-683-3323 / 800-634-3323

SNAPSHOT

Page 4 of 12

AARON J SCHOCK (ROTH IRA)
FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER

Your Financial Advisor

KUNKLE/HEMMER/MCRAVEN
Phone: 309-693-3323 / 800-634-3323

6810 N KNOXVILLE AVE
PEORIA, IL 61614

Please visit us at www.wellsfargoadvisors.com.

Account profile

Full account name:

AARON J SCHOCK (ROTH IRA)

Account type:

FCC AS CUSTODIAN
ROTH IRA

Brokerage account number:

Tax status:

Investment objective/Risk tolerance:*

Time horizon:*

Liquidity needs:*

Cost Basis Election:

Your managed program:

Your manager:

*For more information, go to www.wellsfargoadvisors.com/disclosures.

For your consideration

Go paperless. Accessing all of your account documents online is easy and costs nothing. To participate, go to wellsfargoadvisors.com and click on the Access Online "Client Login" link in the upper right hand corner of the homepage. If you already have a User ID and Password, please log in and click on the "Delivery Preferences" link found under the Accounts & Services tab to turn off paper delivery of your account documents. If you do not have a User ID and Password, please click on the "Sign up online" link on the right side of the Access Online login page or call 877-879-2495 for assistance.

Document delivery status

	Paper	Electronic
Statements:	X	
Trade confirmations:	X	
Tax documents:	X	
Shareholder communications:	X	



AARON J SCHOCK (ROTH IRA)
FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBE

Additional information

	THIS PERIOD	THIS YEAR
Gross proceeds	0.00	8,178.38

Portfolio detail

Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	ACCT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
UNIFIED SERIES TRUST ROOSEVELT MULTI-CAP FD BULLX									
On Reinvestment		123.00700	15.02	1,847.56		2,001.32	153.76		
Acquired 06/04/12 S		0.36900	15.98	5.89		6.00	0.11		
Reinvestments S									
Total	6.61	123.37600		\$1,853.45	16.2700	\$2,007.32	\$153.87	\$5.92	0.29
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$1,847.56									
\$159.76									
CALAMOS INV'TR NEW GROWTH FD INSTL CL CGRIX									
On Reinvestment		9.82600	59.09	580.66		511.54	-69.12		
Acquired 01/22/07 L nc		5.48500	53.95	294.66		284.51	-10.15		
Acquired 02/08/08 L nc		8.77200	53.65	470.62		456.67	-13.95		
Acquired 08/09/11 L nc		11.86500	59.54	706.45		617.69	-88.76		
Reinvestments L nc		2.54000	52.72	133.92		132.23	-1.69		
Reinvestments S									
Total	6.60	38.46800		\$2,186.31	52.0600	\$2,002.64	-\$183.67	N/A	N/A
Client Investment (Excluding Reinvestments)									
\$1,345.94									
Gain/Loss on Client Investment (Including Reinvestments)									
\$666.70									

AARON J SCHOCK (ROTH IRA)
FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
FORWARD FDS									
TACTICAL GROWTH FD CL M									
FTGMX									
On Reinvestment									
Acquired 08/04/12 S		102.54000	25.74	2,639.38		2,599.38	-40.00		
Acquired 08/07/12 S		1.40500	25.88	36.35		35.62	-0.73		
Reinvestments S		3.48300	25.25	87.98		88.29	0.31		
Total	8.97	107.42800		\$2,763.71	25.3500	\$2,723.28	-\$40.42	N/A	
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
GOLDMAN SACHS TR									
FINL SQUARE TREAS INSTRS									
FD INSTL CL									
FTVIX									
On Reinvestment									
Acquired 06/04/12 S	0.40	121.24000	1.00	121.24	1.0000	121.24	0.00	N/A	
HARTFORD MUT FDS INC									
CAPITAL APPRECIATION FD									
CL I									
ITTHIX									
On Reinvestment									
Acquired 08/01/09 L nc		60.67600	26.98	1,637.03		2,086.04	449.01		
Acquired 08/09/11 L nc		7.70400	29.74	229.13		264.86	35.73		
Reinvestments L nc		2.98600	28.95	86.45		102.86	16.21		
Reinvestments S		0.71300	34.61	24.68		24.51	-0.17		
Total	8.17	72.07900		\$1,977.29	34.3800	\$2,478.07	\$500.78	\$24.93	
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
IVY FDS INC									
ASSET STRATEGY FD CL I									
IVAEX									
On Reinvestment									
Acquired 08/01/09 L nc		155.57500	20.28	3,155.06		4,055.83	900.77		
Acquired 08/04/09 L nc		9.29500	20.95	194.75		242.35	47.60		
Acquired 08/09/11 L nc		2.14600	24.34	52.23		55.95	3.72		
Reinvestments L nc		3.84700	22.91	88.14		100.29	12.15		
Reinvestments S		5.11400	25.62	131.07		133.32	2.25		



AARON J SCHOCK (ROTH IRA)
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DECEMBER 1 - 11, 2012
ACCOUNT NUMBER

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	15.12	175,978.00		\$3,621.25	26.0700	\$4,567.74	\$966.49	\$134.97	2.94
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$3,402.04									
\$1,185.70									
KINETICS MUT FDS INC PARADIGM FUND INSTL CL KNPYX									
On Reinvestment									
Acquired 09/01/09 L nc		60.81200	18.42	1,120.14		1,455.22	335.08		
Reinvestments L nc		2.68200	21.38	57.35		64.18	6.83		
Reinvestments S		0.53100	23.85	12.56		12.71	0.15		
Total	5.05	64.02500		\$1,190.05	23.9300	\$1,532.11	\$342.06	\$12.67	0.83
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$1,120.14									
\$411.97									
AMERICAN FDS INC NEW CL F-1 NWFFX									
On Reinvestment									
Acquired 08/04/12 S		34.78200	45.53	1,583.63		1,881.70	298.07		
Acquired 08/07/12 S		5.56900	47.04	261.96		301.28	39.32		
Reinvestments S		0.55500	53.42	29.65		30.03	0.38		
Total	7.29	40.90600		\$1,875.24	54.1000	\$2,213.01	\$337.77	\$30.06	1.36
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$1,845.59									
\$367.42									
ARROW INVTs TR DWA TACTICAL FD CL INSTL DWTNX									
On Reinvestment									
Acquired 08/04/12 S		173.64300	7.60	1,319.69		1,416.92	97.23		
Reinvestments S		3.80600	8.11	30.87		31.06	0.19		
Total	4.77	177.44900		\$1,350.56	8.1600	\$1,447.98	\$97.42	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$1,319.69									
\$128.29									

AARON J SCHOCK (ROTH IRA)
FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMB --- 777777

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
OPENHEIMER GLOBAL FD									
CL Y									
OGCL YX									
On Reinvestment									
Acquired 10/13/05 L nc		13.66800	63.95	874.06		882.13	8.07		
Acquired 10/09/06 L nc		9.31400	73.02	680.13		601.13	-79.00		
Acquired 01/22/07 L nc		9.44800	74.06	699.70		609.77	-89.93		
Acquired 02/06/08 L nc		15.16700	63.88	968.84		978.88	10.04		
Reinvestments L nc		27.25600	53.71	1,464.16		1,759.11	294.95		
Reinvestments S		1.11200	63.21	70.30		71.76	1.46		
Total	16.15	75.96500		\$4,757.19	64.5400	\$4,902.78	\$145.59	\$63.35	1.29
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
PIMCO EQUITY SER									
EQS PATHFINDER FD CL P									
PTHFX									
On Reinvestment									
Acquired 08/08/11 L nc		1.80500	9.33	16.84		18.68	1.84		
Acquired 08/09/11 L nc		162.31100	9.66	1,567.92		1,679.92	112.00		
Reinvestments L nc		1.88300	9.69	18.25		19.49	1.24		
Reinvestments S		4.78700	10.30	49.35		49.54	0.19		
Total	5.82	170.78600		\$1,652.36	10.3500	\$1,767.63	\$115.27	\$11.10	0.63
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
THIRD AVE TR									
VALUE FD INSTL CL									
TAVFX									
On Reinvestment									
Acquired 01/22/07 L nc		7.50000	61.36	460.21		373.80	-86.41		
Acquired 02/06/08 L nc		13.46900	54.92	739.71		671.29	-68.42		
Reinvestments L nc		4.74700	50.99	242.08		236.59	-5.49		
Reinvestments S		0.68600	49.24	33.78		34.19	0.41		
Total	4.34	26.40200		\$1,475.78	49.8400	\$1,315.87	-\$159.91	\$34.69	2.64
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$1,199.92									
\$115.95									



AARON J SCHOCK (ROTH IRA)
FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
THORNBURG INV TR GLOBAL OPPORTUNITIES FD CL 1									
THOIX									
On Reinvestment									
Acquired 10/18/07 L nc		125.72300	20.87	2,623.84		2,105.86	-517.98		
Acquired 02/08/08 L nc		26.03500	17.32	450.92		436.09	-14.83		
Acquired 08/01/09 L nc		7.83100	12.19	95.46		131.17	35.71		
Acquired 08/09/11 L nc		4.82600	14.63	70.60		80.84	10.24		
Reinvestments L nc		27.44500	13.63	374.30		459.89	85.39		
Reinvestments S		2.12000	16.62	35.25		35.51	0.26		
Total	10.71	193.98000		\$3,650.37	16.7500	\$3,240.16	-\$401.21	\$35.69	1.10
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
Total Open End Mutual Funds	100.00			\$28,474.80		\$30,346.84	\$1,874.04	\$353.36	1.16
Total Mutual Funds	100.00			\$28,474.80		\$30,346.84	\$1,874.04	\$353.36	1.16

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Activity detail

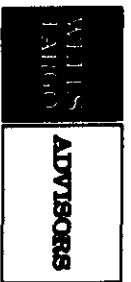
DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01	Cash	LT CAP GAIN		BEGINNING BALANCE		87.98	0.00
12/10	Cash	REINVESTMENT	3.48300	FORWARD FDS TACTICAL GROWTH FD CL M 120712 103.94500 AS OF 12/07/12		-87.98	
12/11	Cash	LT CAP GAIN		FORWARD FDS TACTICAL GROWTH FD CL M REINVEST AT 25.280		7.85	0.00
				OPPENHEIMER GLOBAL FD CL Y 121112 74.85300			

AARON J SCHOCK (ROTH IRA)
FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2019
ACCOUNT NUMBER:

Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/11	Cash	DIVIDEND		OPPENHEIMER GLOBAL FD CL Y		62.45	
				121112 74.72800			
12/11	Cash	REINVEST DIV	0.98800	OPPENHEIMER GLOBAL FD CL Y		-62.45	
				REINVEST AT 63.230			
12/11	Cash	REINVESTMENT	0.12400	OPPENHEIMER GLOBAL FD CL Y		-7.85	
				REINVEST AT 63.230			0.00
12/14	Cash	DIVIDEND		IVY FDS INC ASSET STRATEGY FD CL I		131.07	
				121312 170.86400			
12/14	Cash	REINVEST DIV	5.11400	IVY FDS INC ASSET STRATEGY FD CL I		-131.07	
				REINVEST AT 25.630			0.00
12/19	Cash	DIVIDEND		THIRD AVE TR VALUE FD INSTL CL		33.78	
				121812 25.71800			
12/19	Cash	REINVEST DIV	0.68600	THIRD AVE TR VALUE FD INSTL CL		-33.78	
				REINVEST AT 49.270			0.00
12/21	Cash	LT CAP GAIN		CALAMOS INV'T TR NEW GROWTH FD INSTL CL		133.92	
				122012 35.92800			
12/21	Cash	DIVIDEND		HARTFORD MUT FDS INC CAPITAL APPRECIATION FD CL I		24.68	
				122412 71.36600			
12/21	Cash	REINVESTMENT	2.54000	CALAMOS INV'T TR NEW GROWTH FD INSTL CL		-133.92	
				REINVEST AT 52.720			
12/21	Cash	REINVEST DIV	0.71300	HARTFORD MUT FDS INC CAPITAL APPRECIATION FD CL I		-24.68	
				REINVEST AT 34.610			0.00



AARON J SCHOCK (ROTH IRA)
FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER

Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/26	Cash	DIVIDEND		THORNBURG INV TR GLOBAL OPPORTUNITIES FD CL I 122412 191.86000 AS OF 12/24/12		35.25	
12/26	Cash	REINVEST DIV	2.12000	THORNBURG INV TR GLOBAL OPPORTUNITIES FD CL I REINVEST AT 16.630		-35.25	0.00
12/27	Cash	DIVIDEND		AMERICAN FDS INC NEW CL F-1 122712 40.35100		29.65	
12/27	Cash	REINVEST DIV	0.55500	AMERICAN FDS INC NEW CL F-1 REINVEST AT 53.460		-29.65	0.00
12/28	Cash	DIVIDEND		KINETICS MUT FDS INC PARADIGM FUND INSTL CL 122712 63.48400 AS OF 12/27/12		12.56	
12/28	Cash	DIVIDEND		ARROW INVTs TR DWA TACTICAL FD CL INSTL 122712 173.64300 AS OF 12/27/12		30.87	
12/28	Cash	DIVIDEND		PIMCO EQUITY SER EQS PATHFINDER FD CL P 122712 165.89900 AS OF 12/27/12		49.35	
12/28	Cash	REINVEST DIV	0.53100	KINETICS MUT FDS INC PARADIGM FUND INSTL CL REINVEST AT 23.680		-12.56	
12/28	Cash	REINVEST DIV	3.80600	ARROW INVTs TR DWA TACTICAL FD CL INSTL REINVEST AT 8.110		-30.87	
12/28	Cash	REINVEST DIV	4.78700	PIMCO EQUITY SER EQS PATHFINDER FD CL P REINVEST AT 10.310		-49.35	0.00
12/31	Cash	DIVIDEND		UNIFIED SERIES TRUST ROOSEVELT MULTI-CAP FD 122812 123.00700 AS OF 12/28/12		5.89	

AARON J SCHOCK (ROTH IRA)
FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER

Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/31	Cash	REINVEST DIV	0.36900	UNIFIED SERIES TRUST ROOSEVELT MULTI-CAP FD REINVEST AT 15.980		-5.89	0.00