



Filing ID #20009382

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Carolyn B. Maloney**Status:** Member**State/District:** NY12

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		NEW YORK NY CITY MUN WTR FIN AUTH WTR & SWR SYS REV PREF SER DD B/E OID [GS]	E	03/27/2018	04/12/2018	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New DESCRIPTION: EXCHANGE IN - NEW YORK NY CITY MUN WTR FIN AUTH WTR & SWR SYS REV PREF SER DD B/E OID, COUPON 4.50%, DUE 06/15/2038, CUSIP 64972GQM9					
		NEW YORK NY CITY MUN WTR FIN AUTH WTR & SWR SYS REV SER DD B/E OID [GS]	E	03/27/2018	04/12/2018	\$50,001 - \$100,000	<input type="checkbox"/>
		FILING STATUS: New DESCRIPTION: EXCHANGE OUT - NEW YORK, NY CITY MUN WTR FIN AUTH WTR & SWR SYS REV SER DD B/E OID, COUPON 4.50%, DUE 06/15/2038, CUSIP 64972FUD6					
		NEW YORK NY CITY MUN WTR FIN AUTH WTR & SWR SYS REV SER DD B/E OID [GS]	E	03/27/2018	04/12/2018	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New DESCRIPTION: EXCHANGE OUT - NEW YORK NY CITY MUN WTR FIN AUTH WTR & SWR SYS REV SER DD B/E OID, COUPON 4.50%, DUE 06/15/2038, CUSIP 64972FUD6					
		NEW YORK NY CITY MUN WTR FIN AUTH WTR & SWR SYS REV UNREF DD B/E OID [GS]	E	03/27/2018	04/12/2018	\$50,001 - \$100,000	<input type="checkbox"/>
		FILING STATUS: New DESCRIPTION: EXCHANGE IN - NEW YORK NY CITY MUN WTR FIN AUTH WTR & SWR SYS REV UNREF DD B/E OID, COUPON 4.50%, DUE 06/15/2038, CUSIP 64972GQQo					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Carolyn B. Maloney , 04/25/2018