

UNITED STATES HOUSE OF REPRESENTATIVES 2016 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

Name: Jared S. Polis Daytime Telephone: 202-225-2161

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

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ME

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>Colorado</u> District: <u>2</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
REPORT TYPE	<input checked="" type="checkbox"/> 2016 Annual (Due: May 15, 2017)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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SCHEDULE B - TRANSACTIONS

Name: Jared S. Polls

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Assets	Type of Transaction				Check Box If Capital Gain Exceeded \$200	Date	Amount of Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																			
	Purchase	Sale	Partial Sale	Exchange			A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																										
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	Purchase	Sale	Partial Sale	Exchange			A	B	C	D	E	F	G	H	I	J	K		
SP, DC, JT							1	1	5	1	2	5	1	5	2	0	0		
							0	5	0	0	5	0	0	0	5	0	0		
							0	0	0	0	0	0	0	0	0	0	0		
							1	0	0	0	0	0	0	0	0	0	0		
							1	1	0	0	0	0	0	0	0	0	0		
							5	5	1	1	1	0	0	0	0	5	1		
							0	0	0	2	5	1	1	1	0	0	0		
							0	0	0	5	0	0	5	2	1	0	0		
							0	0	0	0	0	0	0	5	5	0	0		
							0	0	0	0	0	0	0	0	0	0	0		
							0	0	0	0	0	0	0	0	0	0	0		
							0	0	0	0	0	0	0	0	0	0	0		
							0	0	0	0	0	0	0	0	0	0	0		
							0	0	0	0	0	0	0	0	0	0	0		
							0	0	0	0	0	0	0	0	0	0	0		
SP, DC, JT	Bow River Capital Fund IV LP - Limited Partner																		
	Invest in small & lower middle mid cap companies (EIF)																		
	Denver, CO																		
	PHHC Investor, LLC - Pediatric Home Healthcare Holdings Inc			X		09/19/16											X		
	Stock held in Lifecare Innovations, Inc (AKA Lifecare Home Solutions, Inc.) by Pediatric Home Healthcare Holdings, Inc was distributed to PHHC Investor, LLC prior to the sale.																		
	Kettle Partners Limited Partnership II																		
	Sportsvision, Inc.		X			10/04/16	X												
	Jovian Capital Holdings LLC - Assets Listed below																		
	Holding Company, Denver, CO																		
	Jove Equity Fund I LP - Assets listed below	P (Partial)				01/12/16											X		
	Invests in start-up and private companies	P (Partial)				01/23/16											X		
	Denver, CO	P (Partial)				01/27/16											X		
		P (Partial)				03/29/16											X		
		P (Partial)				05/09/16											X		
		P (Partial)				06/03/16											X		
		P (Partial)				08/03/16											X		
		P (Partial)				09/09/16											X		
		P (Partial)				11/30/16											X		
		P (Partial)				12/12/16											X		
SP, DC, JT	Bow River Capital 2011 - QP Fund LP	P (Partial)				09/07/16											X		
	Invest in early stage, expansion, later stage & Turnaround Companies (EIF), Denver, Colorado	P (Partial)				12/16/16											X		
	Om Holdings Inc/ Prosel Inc			X		12/15/16											X		
	Lex Energy Partners LP II (U.S.)	P (Partial)				01/07/16	X												
		P (Partial)				04/01/16	X												
		P (Partial)				04/04/16	X												
			X			06/28/16	X												
						09/01/16	X												
		P (Partial)				09/28/16	X												
	NCP Investor, Inc./ Nuclear Care Partners	X				09/28/16		X											

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		Purchase	Sale	Partial Sale	Exchange			
SP, DC, JT								A B C D E F G H I J K 1 1 5 1 2 5 1 5 2 0 0 0 5 0 0 5 0 0 0 5 v 0 0 0 0 0 0 0 0 e 1 0 0 0 0 0 0 0 r 1 1 1 0 0 0 0 0 o 1 1 1 1 1 0 0 0 5 1 5 5 1 1 1 1 0 0 0 0 0 0 2 5 1 1 1 0 0 0 0 0 5 0 0 5 2 0 0 0 0 0 0 0 0 5 5 0 S P O O O O
	9054 W Phillips Drive	X				X	06/02/16 X	
	6427 South Dallas Court	X				X	06/02/16 X	
	5155 W Quincy Ave #C103	X				X	06/07/16 X	
	1246 Carlyle Park Circle	X				X	06/10/16 X	
	16809 E Hialeah Ave	X				X	06/14/16 X	
	12704 W Bowles Place	X				X	06/15/16 X	
	7420 Coal Mine Avenue #A	X				X	06/16/16 X	
	4321 S Quail Court	X				X	06/29/16 X	
	1372 Pineridge Court	X				X	07/14/16 X	
	649 Burgundy Street #C	X				X	07/15/16 X	
	9631 W Coco Circle #103	X				X	07/21/16 X	
	16221 E Geddes Lane #91	X				X	08/23/16 X	
	8335 South Garland Circle	X				X	09/06/16 X	
	932 Rockhurst Dr #A	X				X	09/07/16 X	
	204 Whitehaven Circle	X				X	09/16/16 X	
	9400 E Cliff Ave #154	X				X	10/03/16 X	
	1157 Laurewood Way	X				X	10/07/16 X	
	6607 S Forest Way, Unit E	X				X	11/01/16 X	
	9091 Bear Mountain Drive	X				X	11/28/16 X	
	122 W Sharn Parkway	X				X	12/05/16 X	
	8356 S Independence Circle #202	X				X	12/09/16 X	
	Bridgheath Medical Inc. (Formerly Bridgheath International Inc.)							
	Healthcare Services, Greenwood Village, CO							
	Bridgheath Medical Inc Convertible Notes Receivable	P, Partial					01/11/16	X
		P, Partial					02/01/16	X
		P, Partial					04/07/16	X
		P, Partial					06/03/16	X
		P, Partial					08/15/16	X
		P, Partial					12/14/16	X
	Conference Software Solutions Inc (Networking Research Group Inc) Data analysis and matching, Denver, CO	P, Partial					02/05/16	X
	Networking Research Group Inc. Note Receivable Payable	P, Partial					07/13/16	X

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	Purchase	Sale	Partial Sale	Exchange			A	B	C	D	E	F	G	H	I	J	K			
SP, DC, JT							1	1	5	1	2	5	1	5	2	0	0			
							0	5	0	0	5	0	0	0	5	v	0			
							0	0	0	0	0	0	0	0	0	e	0			
							1	0	0	0	0	0	0	0	0	r	0			
							1	1	0	0	0	0	0	0	0	0	1			
							5	5	1	1	1	1	1	1	0	0	0			
							0	0	0	2	5	1	1	1	0	0	0			
							0	0	0	5	0	5	2	1	0	0	0			
							0	0	0	5	0	0	5	2	0	0	0			
							0	0	0	0	0	0	0	5	5	0	0			
							0	0	0	0	0	0	0	0	0	0	0			
							0	0	0	0	0	0	0	0	0	0	0			
							0	0	0	0	0	0	0	0	0	0	0			
							0	0	0	0	0	0	0	0	0	0	0			
							0	0	0	0	0	0	0	0	0	0	0			
							0	0	0	0	0	0	0	0	0	0	0			
							0	0	0	0	0	0	0	0	0	0	0			
							0	0	0	0	0	0	0	0	0	0	0			
							0	0	0	0	0	0	0	0	0	0	0			
	167	X			X	03/15/16	X													
	168	X			X	03/16/16	X													
	149	X			X	03/17/16	X													
	173	X			X	03/17/16	X													
	161	X			X	03/22/16	X													
	164	X			X	03/22/16	X													
	150	X			X	03/23/16	X													
	151	X			X	03/23/16	X													
	163	X			X	03/24/16	X													
	159	X			X	03/25/16	X													
	130	X			X	03/28/16	X													
	71	X			X	03/30/16	X													
	73	X			X	03/30/16	X													
	72	X			X	03/31/16	X													
	75	X			X	03/31/16	X													
	137	X			X	04/08/16	X													
	59	X			X	04/08/16	X													
	157	X			X	04/11/16	X													
	158	X			X	04/11/16	X													
	74	X			X	04/11/16	X													
	160	X			X	04/12/16	X													
	152	X			X	04/14/16	X													
	154	X			X	04/18/16	X													
	155	X			X	04/18/16	X													
	156	X			X	04/19/16	X													
	162	X			X	04/19/16	X													
	124	X			X	04/21/16	X													
	135	X			X	04/25/16	X													
	153	X			X	04/25/16	X													
	126	X			X	04/26/16	X													
	122	X			X	04/26/16	X													
	125	X			X	04/27/16	X													
	77	X			X	04/29/16	X													
	117	X			X	04/29/16	X													
	127	X			X	04/29/16	X													
	132	X			X	04/29/16	X													
	139	X			X	04/29/16	X													

SCHEDULE B - TRANSACTIONS

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SCHEDULE B - TRANSACTIONS

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	Purchase	Sale	Partial Sale - Exchange			A	B	C	D	E	F	G	H	I	J	K
SP, DC, JT						1	1	5	1	2	5	1	5	2	0	0
Aquacopia Ventures (Partners) I, LP	P, Partial				05/12/16	0	5	0	0	5	0	0	0	5	0	0
Invests in private equity & equity related investments in the sustainable aquaculture sector						0	0	0	0	0	0	0	0	0	0	0
Denver, CO						1	0	0	0	0	0	0	0	0	0	0
Techstars Ventures 2012 LP						1	1	0	0	0	0	0	0	0	0	0
Formerly Bullet Time Ventures II LP	P, Partial				03/10/16	5	5	1	1	0	0	0	0	0	0	0
Seed investments in early stage companies (EIF)						0	0	0	2	5	1	1	0	0	0	0
Boulder, CO						0	0	0	5	0	0	5	2	0	0	0
Brewbot, Inc	P, Partial	X			06/13/16	0	0	0	0	0	0	0	0	5	0	0
Careport Health	P, Partial				10/20/16	0	0	0	0	0	0	0	0	5	0	0
ColUrbanize, Inc.	P, Partial				08/25/16	0	0	0	0	0	0	0	0	5	0	0
Filament Labs Inc Conv Note(SW FL, Inc, aka Pinocchio)		X			01/18/16	0	0	0	0	0	0	0	0	0	0	0
Jukely, Inc.	P, Partial				08/04/16	0	0	0	0	0	0	0	0	0	0	0
JumpCloud, Inc (Safeinstitute Inc.)	P, Partial				02/02/16	0	0	0	0	0	0	0	0	0	0	0
Mozio, Inc	P, Partial	X			07/25/16	0	0	0	0	0	0	0	0	0	0	0
Numerous, Inc	P, Partial				06/21/16	0	0	0	0	0	0	0	0	0	0	0
OMSignal, Inc	P, Partial				09/17/16	0	0	0	0	0	0	0	0	0	0	0
Project II Ventures I, LP	P, Partial				12/22/16	0	0	0	0	0	0	0	0	0	0	0
Rival IQ, Inc.	P, Partial				02/18/16	0	0	0	0	0	0	0	0	0	0	0
Shopventory, Inc	P, Partial				03/07/16	0	0	0	0	0	0	0	0	0	0	0
Sprout Wellness Solutions, Inc.	P, Partial				04/26/16	0	0	0	0	0	0	0	0	0	0	0
Techstars Chicago 2013, LLC	P, Partial	X			03/09/16	0	0	0	0	0	0	0	0	0	0	0
Ubody, Inc	P, Partial				02/10/16	0	0	0	0	0	0	0	0	0	0	0
Wecore, Inc	P, Partial				07/05/16	0	0	0	0	0	0	0	0	0	0	0
Written Inc.	P, Partial				03/08/16	0	0	0	0	0	0	0	0	0	0	0
Conversion Innovations Inc. - Thrive Hive		X			03/22/16	0	0	0	0	0	0	0	0	0	0	0
Automated small business marketing						0	0	0	0	0	0	0	0	0	0	0
Cambridge, MA						0	0	0	0	0	0	0	0	0	0	0
Locality Media Inc.	X				03/25/16	0	0	0	0	0	0	0	0	0	0	0
Lovewild Fish Co. Convertible Note		X			12/21/16	0	0	0	0	0	0	0	0	0	0	0
Lovewild Fish Co (Lovewild Fish Co.)	X				12/21/16	0	0	0	0	0	0	0	0	0	0	0
Socialform Inc.	X				02/03/16	0	0	0	0	0	0	0	0	0	0	0

Under \$1,000

Under \$1,000

Under \$1,000

Under \$1,000

Under \$1,000

Note converted to Stock
Stock received for note conversion

Under \$1,000

Jared S. Polis

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SP, DC,		Date	Type of Liability	Amount of Liability										
		Liability Incurred Mo/Year		10,000-15,000	15,001-50,001	50,001-100,000	100,000-250,000	250,000-500,000	500,000-1,000,000	1 mil-5 mil	5 mil-25 mil	25 mil-50 mil	50 mil-OVER	
JT	Creditor			A	B	C	D	E	F	G	H	I	J	
	Wells Fargo VISA, CO	Dec-16	Personal Credit Card Paid Monthly		X									
	Merrill Lynch, Los Angeles, CA	Sep-10	Secured Line of Credit							X				
	Wells Fargo, CO	Jul-09	Mortgage personal residence, Boulder, CO(not rented)							X				

Name: JARED S. POLIS

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SCHEDULE E - POSITIONS

Position	Name of Organization
Limited Partner	Jove Equity Fund I LP
Limited Partner	Jove Venture Fund I LP
Member	Jove Equity Partners LLC
Member	Jove Equity Associates LLC
Managing Member	Jovian Capital Holdings LLC
Managing Member	Jovian Management Company Holdings LLC
Managing Member	Jovian Holdings LLC
Director	Jovian Holdings Inc (Unpaid)
Managing Member	Jovian P4 LLC
Limited Partner	LI Emerging Technologies Fund I, LP
Managing Member	Asford Properties SE, LLC
Managing Member	Mountain Property Improvement LLC
Managing Member	Mountain Property II LLC
Managing Member	Mountain Property III LLC
Domestic Beneficiary	Pine 3-J Trust
Board Member	Polis -Schutz Family Foundation (Unpaid)
Donor-Advised Fund Donor	The Community Foundation: Jared Polis Foundation Fund; Venture Gift Fund (Unpaid)
Limited Partner	Deutsche Banc Special Opportunities Fund
Limited Partner	Centre Capital Investors III LP
Partner	Scael LLC
Limited Partner	GunparkProperty Limited PS LLLP
Limited Partner	William Blair New World Ventures LP
Limited Partner	Apian Ventures ¹ LP
Limited Partner	Bow River Capital Fund II LP
Limited Partner	Bow River Capital Fund IV LP
Limited Partner	Greenmont Capital Partners I LP
Limited Partner	GS Mezzanine Partners 2006 LP
Limited Partner	Kettle Partners Limited Partnership II
Domestic Beneficiary	K-New York Trust
Limited Partner	Baird Capital Partners IV Limited Partnership
Member	PSI PHH Communications LLC
Member	K-Colorado LLC
Member	6414 El Camino Del Teatro LLC