

HAND DELIVERED Name: Rohit Khanna Page 1 of 7

LEGISLATIVE RESOURCE CENTER

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18 OCT 10 AM 9:12

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MC
(For Official Use Only)

Did you purchase any shares that were allocated as a part of an Initial Public Offering? ☐ ☒

☐ Yes ☒ No

If you answered “yes” to this question, please contact the Committee on Ethics for further guidance.

Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending.

☒ Initial Report ☐ Amendment

Date of Report Being Amended: _____

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

[illegible]

		Full Asset Name		Type of Transaction			Date of Transaction	Date Notified of Transaction	Amount of Transaction										Transaction in a Spouse or Dependent Child Asset
				Purchase	Sale	Exchange	MM/DD/YY	MM/DD/YY	A	B	C	D	E	F	G	H	I	J	K
SP/OSC/IT		Provide full name, not ticker symbol.																	
Rite Aid/Aria 2985 Trust																			
SP	MAGELLAN MIDSTREAM PARTNERS LP CMN	X					09/17/16	10/03/16		X									
SP	SUNOCO LP CMN	X					09/17/16	10/03/16		X									
SP	FACEBOOK, INC. CMN CLASS A	X					09/25/16	10/03/16	X										
SP	JPMORGAN CHASE & CO CMN	X					09/25/16	10/03/16	X										
SP	U.S. BANKCORP CMN	X					09/25/16	10/03/16	X										
SP	GENERAL ELECTRIC CO CMN	X					09/25/16	10/03/16	X										
SP	SHELL MIDSTREAM PARTNERS, L.P. CMN	X					09/19/16	10/03/16	X										
SP	SUNOCO LP CMN	X					09/17/16	10/03/16	X										
SP	TRANS CANADA CORPORATION CMN	X					09/12/16	10/03/16	X										
SP	ANDERSON LOGISTICS LP CMN	X					09/14/16	10/03/16	X										
SP	PHILIPS 66 PARTNERS LP CMN	X					09/19/16	10/03/16	X										
SP	LOWES COMPANIES INC CMN	X					09/25/16	10/03/16	X										
SP	BLACKROCK, INC. CMN	X					09/25/16	10/03/16	X										
SP	T. ROWE PRICE GROUP, INC. CMN	X					09/25/16	10/03/16	X										
SP	ALTIMA GROUP, INC. CMN	X					09/25/16	10/03/16	X										
SP	STATE STREET CORPORATION (NEW) CMN	X					09/25/16	10/03/16	X										
SP	AMGEN INC. CMN	X					09/25/16	10/03/16	X										
SP	ACTIVISION BUZZARD, INC. CMN	X					09/25/16	10/03/16	X										
SP	FORD MOTOR COMPANY CMN	X					09/25/16	10/03/16	X										
SP	FIFTH THIRD BANCORP CMN	X					09/25/16	10/03/16	X										
SP	EXXON MOBIL CORPORATION CMN	X					09/25/16	10/03/16	X										
SP	NEXTERA ENERGY, INC. CMN	X					09/25/16	10/03/16	X										
SP	UNITED PACIFIC CORP. CMN	X					09/25/16	10/03/16	X										
SP	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK	X					09/25/16	10/03/16	X										
SP	CHARTER COMMUNICATIONS, INC. CMN	X					09/25/16	10/03/16	X										
SP	ROCKWELL AUTOMATION INC. CMN	X					09/25/16	10/03/16	X										
SP	PROLOGIS, INC. CMN	X					09/25/16	10/03/16	X										
SP	MARSH & MCLENNAN CO INC. CMN	X					09/25/16	10/03/16	X										
SP	BANK OF AMERICA CORP CMN	X					09/25/16	10/03/16	X										
SP	MICRON TECHNOLOGY, INC. CMN	X					09/25/16	10/03/16	X										
SP	INTEL CORPORATION CMN	X					09/25/16	10/03/16	X										
SP	NORTHERN TRUST CORP CMN	X					09/25/16	10/03/16	X										
SP	COMERICA INCORPORATED CMN	X					09/25/16	10/03/16	X										
SP	ACF PFC CMN	X					09/25/16	10/03/16	X										
SP	MODURY CORPORATION CMN	X					09/25/16	10/03/16	X										
SP	HALIBURTON COMPANY CMN	X					09/25/16	10/03/16	X										
SP	METLIFE, INC. CMN	X					09/25/16	10/03/16	X										
SP	AMERICAN INTL GROUP, INC. CMN	X					09/25/16	10/03/16	X										
SP	STRYKER CORPORATION CMN	X					09/25/16	10/03/16	X										
SP	AETNA INC. CMN	X					09/25/16	10/03/16	X										
SP	LYONDELBASEL INDUSTRIES N.V. CMN CLASS A	X					09/25/16	10/03/16	X										
SP	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	X					09/25/16	10/03/16	X										
SP	ELECTRONIC ARTS CMN	X					09/25/16	10/03/16	X										
SP	GROWN CASTLE INTL CORP CMN	X					09/25/16	10/03/16	X										
SP	ESTEE LAUDER COS INC CL-A CMN CLASS A	X					09/25/16	10/03/16	X										
SP	M&T BANK CORPORATION CMN	X					09/25/16	10/03/16	X										
SP	MOHAWK INDUSTRIES, INC. COMMON STOCK	X					09/25/16	10/03/16	X										
SP	DUKE ENERGY CORPORATION CMN	X					09/25/16	10/03/16	X										
SP	MICROCHIP TECHNOLOGY INCORPORA CMN	X					09/25/16	10/03/16	X										
SP	DOLLAR GENERAL CORPORATION CMN	X					09/25/16	10/03/16	X										
SP	D R HORTON, INC. CMN	X					09/25/16	10/03/16	X										
SP	EAST WEST BANCORP INC. CMN	X					09/25/16	10/03/16	X										
SP	ROSS STORES, INC. CMN	X					09/25/16	10/03/16	X										
SP	WESTROCK COMPANY CMN	X					09/25/16	10/03/16	X										
SP	MC DONALDS CORP CMN	X					09/25/16	10/03/16	X										
SP	ACTIV PFC CMN	X					09/25/16	10/03/16	X										
SP	ALEXANDRIA REAL ESTATE EQUITIES, INC.	X					09/25/16	10/03/16	X										
SP	CAPITAL ONE FINANCIAL CORP CMN	X					09/25/16	10/03/16	X										

Full Asset Name		Type of Transaction		Date of Transaction	Date Modified of Transaction	Amount of Transaction										Transaction in a Spouse or Dependent Child Asset		
		Purchase	Sale	Exchange	MM/DD/YY	MM/DD/YY	A	B	C	D	E	F	G	H	I	J	K	
SP	FREEPORT-MCMORAN INC CMN	X			09/25/18	10/03/18	X											
SP	YUM BRANDS, INC. CMN				09/25/18	10/03/18	X											
SP	TWITTER, INC. CMN	X			09/25/18	10/03/18	X											
SP	XILUX INCORPORATED CMN		X		09/25/18	10/03/18	X											
SP	EMERSON ELECTRIC CO. CMN	X			09/25/18	10/03/18	X											
SP	RAYMOND JAMES FINANCIAL, INC. CMN		X		09/25/18	10/03/18	X											
SP	WILLIS TOWERS WATSON PLC CMN	X			09/25/18	10/03/18	X											
SP	SKYWORTH SOLUTIONS, INC. CMN	X			09/25/18	10/03/18	X											
SP	ABICOR INC. CMN		X		09/25/18	10/03/18	X											
SP	BORGWARNER INC. CMN	X			09/25/18	10/03/18	X											
SP	CONOCOPHILLIPS CMN		X		09/25/18	10/03/18	X											
SP	MONDELEZ INTERNATIONAL, INC. CMN	X			09/25/18	10/03/18	X											
SP	ALERION PHARMACEUTICALS, INC. CMN	X			09/25/18	10/03/18	X											
SP	JEFFERIES FINANCIAL GROUP INC CMN	X			09/25/18	10/03/18	X											
SP	INTERNATIONAL PAPER CO. CMN		X		09/25/18	10/03/18	X											
SP	REWMONT MINING CORPORATION CMN	X			09/25/18	10/03/18	X											
SP	KLATENCOR CORPORATION CMN	X			09/25/18	10/03/18	X											
SP	MONSTER BEVERAGE CORPORATION CMN	X			09/25/18	10/03/18	X											
SP	NETFLIX, INC. CMN	X			09/25/18	10/03/18	X											
SP	EASTMAN CHEMICAL COMPANY CMN	X			09/25/18	10/03/18	X											
SP	VALERO ENERGY CORPORATION CMN	X			09/25/18	10/03/18	X											
SP	THE HOME DEPOT, INC. CMN	X			09/25/18	10/03/18	X											
SP	MILICAN MATERIALS CO CMN		X		09/11/18	10/03/18	X											
SP	IONEX INC. CMN		X		09/11/18	10/03/18	X											
SP	THE WILLIAMS COMPANIES, INC. CMN		X		09/25/18	10/03/18	X											
SP	GTT GROUP INC. CMN		X		09/25/18	10/03/18	X											
SP	BERKSHIRE HATHAWAY INC. CLASS B		X		09/25/18	10/03/18	X											
SP	TEXAS INSTRUMENTS INC. CLASS B		X		09/25/18	10/03/18	X											
SP	WELLS FARGO & CO (NEW) CMN		X		09/25/18	10/03/18	X											
SP	ANTERO MIDSTREAM GP LP CMN		X		09/17/18	10/03/18	X											
SP	THE WILLIAMS COMPANIES, INC. CMN		X		09/06/18	10/03/18	X											
SP	PUTZ/SP @ 279 EXP 11/6/2018		X		09/11/18	10/03/18	X											
SP	IONEX INC CMN		X		09/25/18	10/03/18	X											
SP	PNC FINANCIAL SERVICES GROUP CMN		X		09/25/18	10/03/18	X											
SP	PERSCO, INC. CMN		X		09/25/18	10/03/18	X											
SP	VALERO ENERGY PARTNERS LP CMN		X		09/19/18	10/03/18	X											
SP	ALPHABET INC. CMN CLASS C		X		09/25/18	10/03/18	X											
SP	THE BANK OF NY MELLON CORP CMN		X		09/25/18	10/03/18	X											
SP	THE SOUTHERN CO. CMN		X		09/25/18	10/03/18	X											
SP	ABBVIE INC. CMN		X		09/25/18	10/03/18	X											
SP	5&P GLOBAL INC. CMN		X		09/25/18	10/03/18	X											
SP	GENERAL MOTORS COMPANY CMN		X		09/25/18	10/03/18	X											
SP	SEMGROUP CORPORATION CMN CLASS A		X		09/25/18	10/03/18	X											
SP	CHARLES SCHWAB CORPORATION CMN		X		09/14/18	10/03/18	X											
SP	NONFOLK SOUTHERN CORP CMN		X		09/25/18	10/03/18	X											
SP	VF CORP CMN		X		09/25/18	10/03/18	X											
SP	PUTZ/SP @ 283 EXP 10/26/2018		X		09/13/18	10/03/18	X											
SP	LENNAR CORPORATION CMN CLASS A		X		09/25/18	10/03/18	X											
SP	PUTZ/SP @ 286 EXP 11/02/2018		X		09/20/18	10/03/18	X											
SP	CORELI AUTOMOTIVE, INC. CMN		X		09/25/18	10/03/18	X											
SP	ROPER TECHNOLOGIES INC. CMN		X		09/25/18	10/03/18	X											
SP	BAXTER INTERNATIONAL INC. CMN		X		09/25/18	10/03/18	X											
SP	CONSTELLATION BRANDS INC CMN CLASS A		X		09/25/18	10/03/18	X											
SP	TE CONNECTIVITY LTD CMN		X		09/25/18	10/03/18	X											
SP	ALLSTATE CORPORATION COMMON STOCK		X		09/25/18	10/03/18	X											
SP	PHILIP MORRIS INTL. INC. CMN		X		09/25/18	10/03/18	X											
SP	CITIZENS FINANCIAL GROUP, INC. CMN		X		09/25/18	10/03/18	X											
SP	EBAY INC. CMN		X		09/25/18	10/03/18	X											
SP	PIONEER NATURAL RESOURCES CO CMN		X		09/25/18	10/03/18	X											
							\$1,000-\$15,000	\$15,000-\$50,000	\$50,000-\$100,000	\$100,000-\$250,000	\$250,000-\$500,000	\$500,000-\$1,000,000	\$1,000,000-\$5,000,000	\$5,000,000-\$25,000,000	\$25,000,000-\$50,000,000	\$50,000,000-\$1,000,000,000	\$1,000,000,000-\$5,000,000,000	Over \$5,000,000,000
SP/DC/IT							Provide full name, not ticker symbol.											

Full Asset Name			Type of Transaction		Date of Transaction	Date Modified of Transaction	Amount of Transaction											
			Purchase	Sale	Exchange	MM/DD/YY	MM/DD/YY	A	B	C	D	E	F	G	H	I	J	K
Provide full name, not ticker symbol.																		
SP/DISC JT								\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	\$50,000,001 - \$1,000,000	Tormentor in a Spouse or Dependent Child Asset over
SP	ALPHABET INC CMN CLASS A		X			09/25/18	10/03/18	X										
SP	SYNCHRONY FINANCIAL CMN		X			09/25/18	10/03/18	X										
SP	AUTOTONE, INC. CMN		X			09/25/18	10/03/18	X										
SP	UNITED RENTALS, INC. CMN		X			09/25/18	10/03/18	X										
SP	GENESSEE & WYOMING INC CMN CLASS A		X			09/25/18	10/03/18	X										
SP	BOOKING HOLDINGS INC CMN		X			09/25/18	10/03/18	X										
SP	HEWLETT PACKARD ENTERPRISE CO CMN		X			09/25/18	10/03/18	X										
SP	CINTAS CORPORATION CMN		X			09/25/18	10/03/18	X										
SP	RED HAT, INC. CMN		X			09/25/18	10/03/18	X										
SP	WEVERHUISER COMPANY CMN		X			09/25/18	10/03/18	X										
SP	SEMIGROUP CORPORATION CMN CLASS A		X			09/14/18	10/03/18	X										
SP	NVIDIA CORPORATION CMN		X			09/25/18	10/03/18	X										
SP	TWENTY-FIRST CENTURY FOX, INC. CMN CLASS B		X			09/25/18	10/03/18	X										
SP	CONCHO RESOURCES INC. CMN		X			09/25/18	10/03/18	X										
SP	DOLLAR TREE STORES, INC. CMN		X			09/25/18	10/03/18	X										
SP	ARIETI INC. CMN		X			09/25/18	10/03/18	X										
SP	TECHNIPMC PLC CMN		X			09/25/18	10/03/18	X										
SP	SOUTHERN COPPER CORPORATION CMN		X			09/25/18	10/03/18	X										
SP	BOSTON PROPERTIES, INC. COMMON STOCK		X			09/25/18	10/03/18	X										
SP	ETHRADE FINANCIAL CORPORATION CMN		X			09/25/18	10/03/18	X										
SP	ONCOR INC CMN		X			09/25/18	10/03/18	X										
SP	JACOBS ENGINEERING GRP CMN		X			09/25/18	10/03/18	X										
SP	HCA HEALTHCARE, INC. CMN		X			09/25/18	10/03/18	X										
SP	J.B HUNT TRANS SVCS INC CMN		X			09/25/18	10/03/18	X										
SP	EQUINIX, INC. NET		X			09/25/18	10/03/18	X										
SP	TEXTRON INC DEL. CMN					09/25/18	10/03/18											
SP	OLD DOMINION FIGHT LINES INC CMN					09/25/18	10/03/18											
SP	PVH CORP CMN					09/25/18	10/03/18											
SP	HELMERICH & PAYNE INC. CMN					09/25/18	10/03/18											
SP	MARTIN MARIETTA MATERIALS,INC CMN					09/25/18	10/03/18											
SP	XPO LOGISTICS, INC CMN					09/25/18	10/03/18											
SP	TRACTORA SUPPLY COMPANY CMN					09/25/18	10/03/18											
SP	VERSA ANALYTICS, INC. CMN					09/25/18	10/03/18											
SP	A.O. SMITH CORP [DEL] CMN					09/25/18	10/03/18											
SP	ZIONS BANCORP CMN					09/25/18	10/03/18											
Ritu Abhig 1994 Trust																		
SP	FACEBOOK, INC. CMN CLASS A		X			09/28/18	10/03/18	X										
SP	FACEBOOK, INC. CMN CLASS A		X			09/11/18	10/03/18	X										
SP	MOHAWK INDUSTRIES INC COMMON STOCK		X			09/20/18	10/03/18	X										
SP	MORGAN STANLEY CMN		X			09/28/18	10/03/18	X										
SP	AAALTA COATING SYSTEMS LTD. CMN		X			09/05/18	10/03/18	X										
SP	AAALTA COATING SYSTEMS LTD. CMN		X			09/13/18	10/03/18	X										
SP	CTIGROUP INC. CMN		X			09/11/18	10/03/18	X										
SP	DISCOVERY INC - A CMN SERIES A		X			09/13/18	10/03/18	X										
SP	APPLE INC. CMN		X			09/05/18	10/03/18	X										
SP	BANK OF AMERICA CORP CMN		X			09/11/18	10/03/18	X										
SP	BANK OF AMERICA CORP CMN		X			09/28/18	10/03/18	X										
SP	FIRST DATA CORPORATION CMN CLASS A		X			09/19/18	10/03/18	X										
SP	ORACLE CORPORATION CMN		X			09/14/18	10/03/18	X										

[illegible]

[illegible]

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