

LEGISLATIVE RESOURCE CENTER

HAND DELIVERED

17 OCT 12 PM 2:14

Please indicate whether this is an original report or an amended report. For amendments, please provide the date of the report you are amending.

OFFICE TELEPHONE:
423-929-7671

Initial Report	Amendment
<input checked="" type="checkbox"/>	<input type="checkbox"/>

Date of Report being Amended: _____

Officer or Employee

Employing Office: _____

File an original and 2 copies.

Employing Office: _____

File an original and 1 copy.

Did you purchase any shares that were allocated as a part of an Initial Public Offering? ☐ YES ☒ NO

[illegible]

FULL ASSET NAME		TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION										
Provide full name, not ticker symbol.		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	(MO/DAY/YR)	A	B	C	D	E	F	G	H	I	J	
							\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	
JT		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9/7/17	10/9/17	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	GOLDMAN SACHS GROUP INC GLB 04.000%	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9/7/17	10/9/17	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	CITIGROUP INC - VAR%JAN 10 2028 CALLA	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9/7/17	10/9/17	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	CITIGROUP INC GLB 03.875% OCT 25 2023	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9/6/17	10/9/17	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	WELLS FARGO & COMPANY SER MTN VAR	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9/6/17	10/9/17	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	WELLS FARGO & COMPANY SER MTN GLB	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9/6/17	10/9/17	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	AMERICAN EXPRESS CREDIT SER MTN 02.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	8/30/17	10/9/17	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	CITIGROUP INC UNSOLICITED Trade#:945	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9/28/17	10/9/17	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

FOOTNOTE NUMBER	FILER NOTES (optional)

FULL ASSET NAME			TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION		DATE NOTIFIED OF TRANS-ACTION		AMOUNT OF TRANSACTION										
JT	Provide full name, not ticker symbol.	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	(MO/DAY/YR)	A	B	C	D	E	F	G	H	I	J				
	SUNTRUST BANKS INC UNSOLICITED Trade#:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9/25/17	10/9/17	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				
	METLIFE INC UNSOLICITED Trade#:21830	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9/22/17	10/9/17	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				
	SWISS RE LTD SPON ADR UNSOLICITED	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9/7/17	10/9/17	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				
	CARREFOUR SA SPON ADR UNSOLICITED	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9/6/17	10/9/17	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				
	TARGET CORP UNSOLICITED TRADE DATE	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9/5/17	10/9/17	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				
	TELECOM ITALIA SPA NEW REPSTG 10 OR	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9/5/17	10/9/17	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				
	TARGET CORP UNSOLICITED Trade#:3980	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9/1/17	10/9/17	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				

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				A	B	C	D	E	F	G	H	I	J
JT	PURCHASE SALE EXCHANGE	(MO/DAY/YR)	(MO/DAY/YR)	\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000
JOHNSON CTLS INTL PLC UNSOLICITED	<input type="checkbox"/> PURCHASE <input checked="" type="checkbox"/> SALE <input type="checkbox"/> EXCHANGE	9/27/17	10/9/17	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FIFTH THIRD BANCORP UNSOLICITED	<input type="checkbox"/> PURCHASE <input checked="" type="checkbox"/> SALE <input type="checkbox"/> EXCHANGE	9/25/17	10/9/17	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
BRIGHTHOUSE FINANCIAL INC	<input type="checkbox"/> PURCHASE <input checked="" type="checkbox"/> SALE <input type="checkbox"/> EXCHANGE	9/22/17	10/9/17	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ERSTE GROUP BANK AG SPON ADR	<input type="checkbox"/> PURCHASE <input checked="" type="checkbox"/> SALE <input type="checkbox"/> EXCHANGE	9/12/17	10/9/17	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
EVEREST RE GROUP LTD BERMUDA	<input type="checkbox"/> PURCHASE <input checked="" type="checkbox"/> SALE <input type="checkbox"/> EXCHANGE	9/6/17	10/9/17	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PROCTER & GAMBLE CO UNSOLICITED	<input type="checkbox"/> PURCHASE <input checked="" type="checkbox"/> SALE <input type="checkbox"/> EXCHANGE	9/5/17	10/9/17	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PROCTER & GAMBLE CO UNSOLICITED	<input type="checkbox"/> PURCHASE <input checked="" type="checkbox"/> SALE <input type="checkbox"/> EXCHANGE	9/1/17	10/9/17	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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