

UNITED STATES HOUSE OF REPRESENTATIVES 2013 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

MAY 27 2014

Page 1 of 40

LEGISLATIVE RESOURCE CENTER

2014 MAY 29 PM 12:16

Name: Henry J. "Trey" Radel III

Daytime Telephone: _____

FM

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

FILER STATUS	<input checked="" type="checkbox"/> Former	Member of or Candidate for U.S. House of Representatives	State: FL	District: 14	<input type="checkbox"/> Officer or Employee	Employing Office: _____
	<input type="checkbox"/> U.S. House of Representatives					
REPORT TYPE	<input checked="" type="checkbox"/> 2013 Annual (Due: May 15, 2014) Extended to May 28, 2014	<input type="checkbox"/> Amendment	<input checked="" type="checkbox"/> Termination Date: January 27, 2014			

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period?		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?	
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Page 2 of 40

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Henry J. "Trey" Radel III**

Page **3** of **40**

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	UBS Personal-Cont																																			
	Am Fd Growth Fd of America				X														X							X										
	Davis NY Venture															X			X							X										
	Victory Established															X	X		X																	
	Victory Diversified			X												X			X						X											
	UBS Simple IRA																																			
	Cash		X																		X															
	Am Funds Euro Pat			X																	X															
	Am Fd Growth Fd of America				X																X															
	Davis NY Venture			X																	X															

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Henry J. "Trey" Radel III**

Page **4** of **40**

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	P, S, S(part), or E	
	UBS Roth IRA																																		
	Cash																																		
	Am Fd Cap World G&I																																		
	Am Fd New World Fd																																		
	Am Fd Growth Fd of Am																																		
	Radel Funeral Services																																		
	Cincinnati, OH-based funeral services company																																		
	SP Suntrust Account																																		
	Kathleen M. Radel																																		
	Rev Trust dtd 2/16/08																																		
	Morgan Stanley Acct																																		

Page 5 of 40

Use additional sheets if more space is required.

Page 6 of 40

Use additional sheets if more space is required.

Page 7 of 40

Use additional sheets if more space is required.

Page 8 of 40

Use additional sheets if more space is required.

Page 9 of 40

[illegible]

Page 10 of 40

[illegible]

Use additional sheets if more space is required.

Page 11 of 40

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Henry J. "Trey" Radel III

Page 12 of 40

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income over \$1,000,000*	
	MORGAN STANLEY 3-1-RA (See Filer Notes 2 and 3)																																		
	Cash		X																		X														
	1st Tr DJ Internet			X																	X														
	1st Tr DJ Select Microcap			X																	X														
	Guggenheim Insider			X																	X														
	ISHARES:																																		
	MSCI ACWI Ex-US																				X														
	MSCI Asia Ex-Japan																				X														
	MSCI Canada Index																				X														
	MSCI EAFE Fund																				X														
	MSCI Emerg Mkts																				X														
	MSCI EMU																				X														
	MSCI Germany Index																				X														
	MSCI Japan Index																				X														
	MSCI Pac Ex-Japan																				X														
	S&P Small Cap 600 Index																				X														

Page 13 of 40

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Henry J. "Trey" Radel III

Page 14 of 40

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	MORGAN STANLEY 3-IFIA																																			
	Wishire Micro-Cap			X																	X															
	Wisdomtree Tr Japan Hedge			X																	X															
	Abbott Labs			X																	X															
	AMC Networks				X																X															
	CVS Caremark				X																X															
	Discover Financial				X																X															
	Omnicare				X																X															
	Walt Disney Co.					X															X															
	FlexShares Qualit Div				X																X															
	IShares EAFE Val				X																X															
	Materials Sel SPDR				X																X															
	Powershares Dyna Buyk				X																X															
	Schwab US REIT				X																X															
	SPDR S&P Insurance				X																X															
	Wisdomtree Tr Jap Sm Cap			X																	X															

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Henry J. "Trey" Radel III

Page 15 of 40

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction			
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	NONE																																			
	DIVIDENDS																																			
	RENT																																			
	INTEREST																																			
	CAPITAL GAINS																																			
	EXCEPTED/BLIND TRUST																																			
	TAX-DEFERRED																																			
	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)																																			
	None																																			
	\$1-\$200																																			
	\$201-\$1,000																																			
	\$1,001-\$2,500																																			
	\$2,501-\$5,000																																			
	\$5,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	Over \$5,000,000																																			
	Spouse/DC Asset with Income over \$1,000,000*																																			
	MORGAN STANLEY 4																																			
	Cash																																			
	American Express																																			
	Barrick Gold Corp																																			
	Baxter Int'l																																			
	Beckton Dickinson																																			
	Charles Schwab New																																			
	Chevron																																			
	Chubb Corp																																			
	Cisco Sys																																			
	ConocoPhillips																																			
	CVS Caremark																																			
	Devon Energy																																			
	Dr Pepper Snapple																																			
	Exelon Corp																																			
	ExxonMobil Corp																																			

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Henry J. "Trey" Radel III**

Page **16** of **40**

BLOCK A		BLOCK B													BLOCK C							BLOCK D											BLOCK E		
Asset and/or Income Source		Value of Asset													Type of Income							Amount of Income											Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	P, S, S(part), or E	
	MORGAN STANLEY 4	None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with income over \$1,000,000*	
	GlaxoSmithKline			X												X								X											S(part)
	Johnson & Johnson			X												X								X											P
	Medtronic			X												X								X											P, S(part)
	Microsoft			X												X								X											P
	Molson Coors Brewing			X												X								X											
	Newmont Mining			X												X								X											S(part)
	Northrop Grumman			X												X								X											P
	Pepsico Inc			X												X								X											
	PNC Fin'l Svcs			X												X								X											P
	Procter & Gamble			X												X								X											P
	Sigma Aldrich Corp			X												X								X											P
	Suntrust Banks			X												X								X											P
	Target Corp			X												X								X											
	Torchmark Corp			X												X								X											
	Travelers Co			X												X								X											

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Henry J. "Trey" Radel III

Page 17 of 40

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	MORGAN STANLEY 4																																			
	U.S. Bankcorp			X												X									X											P
	Walmart			X												X									X											P
	Wells Fargo			X												X									X											P
	Annaly Cap Mgmt			X																					X											P
	Express Scripts			X																					X											P
	Mack Call Realty			X																					X											P
	Southern Co			X																					X											P
	Taiwan Semiconductor			X																					X											P

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Henry J. "Trey" Radel III

Page 18 of 40

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	MORGAN STANLEY 5																																			
	Cash																																			
	Allianz NFJ Int'l Value																																			
	Del Small Cap Value A																																			
	DWS RReef																																			
	1st Eagle Global																																			
	1st Tr MLP & ENRG																																			
	Goldman Sachs MidCap Val																																			
	Goldman Sachs Rising Div																																			

Page 19 of 40

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Henry J. "Trey" Radel III

Page 20 of 40

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction					
SP, DC, JT	ASSET NAME	None	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E			
	MORGAN STANLEY 6																																						
	Cash				X														X																				
	Allianz NFJ Int					X													X																				
	Delaware Sm Cap Va						X												X																				
	DWS RReef GLB						X												X																				
	1st Eagle Global						X												X																				
	Goldman Sachs Midcap Val						X												X																				
	Goldman Sachs Ris Div						X												X																				
	Lazard EMRG Mkts						X												X																				
	Mainstay Mkt 1						X												X																				

Page 21 of 40

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Henry J. "Trey" Radel III

Page 22 of 40

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	MORGAN STANLEY 7																																			
	Cash		X															X						X												
	American Express			X																				X												
	Barrick Gold Corp			X																				X												P
	Baxter Intl Inc			X																				X												
	Beckton Dickinson			X																				X												
	Charles Schwab New			X																				X												S(part)
	Chevron Corp			X																				X												
	Chubb Corp			X																				X												
	Cisco Sys Inc			X																				X												
	ConocoPhillips			X																				X												
	CVS Caremark			X																				X												P
	Devon Energy Corp			X																				X												
	Dr Pepper Snapple			X																				X												P
	Exelon Corp			X																				X												
	ExxonMobil			X																				X												

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Henry J. "Trey" Radel III

Page 23 of 40

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	MORGAN STANLEY 7																																			
	GlaxoSmithKline		X													X										X										S(part)
	Johnson & Johnson		X													X										X										S(part)
	Medtronic Inc		X													X										X										P, S(part)
	Microsoft		X													X										X										P
	Molson Coors Brewing		X													X										X										S(part)
	Newmont Mining		X													X										X										
	Northrop Grumman		X													X										X										
	Pepsico Inc		X													X										X										
	PNC Fin'l Svcs		X													X										X										
	Procter & Gamble		X													X										X										
	Sigma Aldrich Corp		X													X										X										
	Suntrust Banks		X													X										X										
	Target Corp		X													X										X										

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Henry J. "Trey" Radel III

Page 24 of 40

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	MORGAN STANLEY 7																																			
	Torchmark Corp			X												X								X												
	Travelers Co			X												X								X												
	U.S. Bankcorp			X												X								X												
	Walmart			X												X								X												
	Wells Fargo			X												X								X												
	Annaly Cap Mgmt			X												X								X												
	Express Scripts			X												X								X												
	Mack Cail Realty			X												X								X												
	Southern Co			X												X								X												
	Taiwan Semiconducto			X												X								X												

Page 25 of 40

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: Henry J. "Trey" Radel III

Page 26 of 40

SP, DC, JT		Type of Transaction				Date	Amount of Transaction												
SP	Asset	Purchase	Sale	Partial Sale	Exchange		Check Box if Capital Gain Exceeded \$200	(MODA/R) or Quantity, Monthly, or Bi-weekly, if applicable	A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
Example	Mega Corp. Stock			X		X	3/6/13		X										
	MORGAN STANLEY 2																		
	Charles Schwab New			X			3/14/13	X											
	Dell		X				4/23/13	X											
	E-Bay		X				1/15/13	X											
	Unilever		X				4/16/13	X											
	CR Bard			X			9/19/13	X											
	CR Bard		X				10/1/13	X											
	Google		X				10/18/13	X											
	Express Scripts	X					6/20/13	X											
	Taiwan Semiconductor	X					8/22/13	X											
	Dr Pepper Snapple	X					9/6/13	X											
	Exelon	X					11/11/13	X											
	Exelon	X					11/8/13	X											
	Mack Cali Realty	X					12/16/13	X											
	Mack Cali Realty	X					12/17/13	X											
	Southern Company	X					1/2/14	X											
	Annaly Cap Mgmt	X					1/2/14	X											
	Northrop Grumman			X			1/7/14	X											

Use additional sheets if more space is required.

Page 27 of 40Page 27 of 40

* Column K is for assets solely held by your spouse or dependent child.

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: Henry J. "Trey" Radel III

Page 28 of 40

SP		Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date	Amount of Transaction										
SP	Example		Purchase	Sale	Partial Sale	Exchange		(MO/DA/YR) or Quantity, Monthly, or Bi-weekly, if applicable	A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$100,000,000	J Over \$100,000,000	K Over \$1,000,000* (Spouse/DC Asset)
	MORGAN STANLEY 3				X			3/5/13		X									
	Foot Locker		X					8/8/13	X										
	Abbott Laboratories		X					9/23/13	X										
	CVS Caremark		X					10/23/13	X										
	Foot Locker			X				10/22/13	X										
	Walt Disney Co		X					10/11/13	X										
	Danaher Corp			X				10/4/13	X										
	Agilent Technologies			X				11/7/13	X										
	Dollar Tree			X				1/4/14	X										
	AMC Networks		X					1/7/14	X										
	Global X FTSE ASEAN 40			X				6/26/13	X										
	Powershares DWA Tech Lead ETF				X			1/31/13	X										
	Powershares DWA Tech Lead ETF			X				8/14/13	X										
	Powershares Int'l Div			X				1/23/13	X										
	Vanguard MSCI Em Mkts				X			6/17/13	X										
	Vanguard MSCI Em Mkts			X				9/3/13	X										

Page 29 of 40

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: Henry J. "Trey" Radel III

Page 30 of 40

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/6/13		X									
	MORGAN STANLEY 4																	
	Exelon Corp	X					1/4/13	X										
	Barrick Gold Corp	X					1/4/13	X										
	Target Corp	X					1/4/13	X										
	Molson Coors Brewing	X					1/4/13	X										
	Microsoft	X					1/4/13	X										
	Pepsico	X					1/4/13	X										
	Walmart	X					1/4/13	X										
	EBay		X				1/15/13	X										
	Barrick Gold Corp	X					2/28/13	X										
	CVS Caremark	X					3/12/13	X										
	Barrick Gold Corp	X					4/1/13	X										
	Medtronic	X					4/1/13	X										
	Pepsico	X					4/1/13	X										
	Google	X					4/3/13	X										
	Sigma Aldrich Corp	X					4/5/13	X										
	Unilever		X				4/16/13	X										
	Dell		X				4/23/13	X										
	Procter & Gamble	X					4/24/13	X										

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: Henry J. "Trey" Radel III

Page 31 of 40

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/6/13		X									
	MORGAN STANLEY 4																	
	Barrick Gold Corp	X					5/22/13	X										
	Cisco Sys			X			6/20/13	X										
	Express Scripts	X					6/20/13	X										
	Taiwan Semiconductor	X					8/22/13	X										
	Charles Schwab New			X			9/9/13	X										
	Microsoft			X			9/9/13	X										
	Johnson & Johnson			X			9/12/13	X										
	Dr Pepper Snapple	X					9/16/13											
	CR Bard			X			9/19/13	X										
	CR Bard		X				10/1/13	X										
	Google		X				10/18/13	X										
	Northrop Grumman			X			10/30/13	X										
	Barrick Gold Corp	X					11/8/13	X										
	Exelon	X					11/11/13	X										
	Mack Cail Realty	X					12/16/13	X										
	Southern Co	X					1/2/14	X										
	Annaly Cap Mgmt	X					1/2/14	X										
	Charles Schwab New			X			1/7/14	X										

SCHEDULE B – TRANSACTIONS

Name: Henry J. "Trey" Radel III

Page 32 of 40

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/6/13		X									
	MORGAN STANLEY 4																	
	Northrop Grumman			X			1/7/14	X										
	MORGAN STANLEY 5 (See Filer Note 1)																	
	PIMCO Real Return		X				12/16/13	X										
	Nuveen All-American Muni Bond Fund	X					1/3/13			X								
	Nuveen Int Dur Muni	X					1/3/13		X									
	Nuveen Santa Barbara	X					1/3/13		X									
	Lazard Em Mkts	X					2/27/13	X										
	Lazard Em Mkts	X					3/28/13	X										
	Mainstay Mkt	X					12/16/13		X									
	Allianz NFJ	X					1/3/13		X									
	Del Small Cap Value A	X					2/27/13	X										
	Del Small Cap Value A	X					3/28/13	X										
	DWS RReef	X					2/27/13	X										
	DWS RReef	X					3/28/13		X									
	1st Eagle Global	X					1/3/13	X										
	1st Tr MLP & ENRG	X					2/27/13	X										
	1st Tr MLP & ENRG	X					3/28/13	X										

SCHEDULE B – TRANSACTIONS

Name: Henry J. "Trey" Radel III

Page 33 of 40

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.			Type of Transaction				Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
SP, DC, JT	Asset		Purchase	Sale	Partial Sale	Exchange	Check Box if Capital Gain Exceeded \$200	A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock				X		X		X									
	MORGAN STANLEY 5																	
	Goldman Sachs Mid Cap Val		X					2/27/13	X									
	Goldman Sachs Mid Cap Val		X					3/28/13	X									
	Goldman Sachs Rising Div		X					2/27/13		X								
	Goldman Sachs Rising Div		X					3/28/13		X								
	MORGAN STANLEY 6 (See Filer Note 1)																	
	Allianz NFJ		X					1/3/13	X									
	Lazard Em Mkts		X					2/27/13	X									
	DWS Rreef		X					1/3/13	X									
	Mainstay Mkt		X					12/16/13	X									
	Allianz NFJ		X					1/3/13		X								
	Del Small Cap Value A		X					2/27/13	X									
	Del Small Cap Value A		X					3/28/13	X									
	DWS RReef		X					2/27/13	X									
	DWS RReef		X					3/28/13		X								
	1st Eagle Global		X					1/3/13	X									
	1st Tr MLP & ENRG		X					2/27/13	X									
	1st Tr MLP & ENRG		X					3/28/13	X									

Use additional sheets if more space is required.

Page 34 of 40

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: Henry J. "Trey" Radel III

Page 35 of 40

SP, DC, JT		Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
			Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example	Mega Corp. Stock			X		X	3/6/13		X									
	MORGAN STANLEY 7																		
	Molson Coors Brewing		X					1/4/13	X										
	Microsoft		X					1/4/13	X										
	WalMart		X					1/4/13	X										
	EBay			X				1/15/13	X										
	CVS Caremark		X					3/12/13	X										
	Unilever			X				4/16/13	X										
	Dell			X				4/23/13	X										
	Express Scripts		X					6/20/13	X										
	Taiwan Semiconductor		X					8/22/13	X										
	Microsoft				X			9/9/13	X										
	Charles Schwab				X			9/9/13	X										
	Johnson & Johnson				X			9/12/13	X										
	Dr Pepper Snapple		X					9/16/13	X										
	CR Bard				X			9/19/13	X										
	CR Bard			X				10/1/13	X										
	Google			X				10/18/13	X										
	Barrick Gold Corp		X					11/8/13	X										
	Exelon		X					11/11/13	X										

Use additional sheets if more space is required.

Page 36 of 40

Use additional sheets if more space is required.

Page 37 of 40

Use additional sheets if more space is required.

Page 38 of 40

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS AND PROHIBITED INCOME: The 2013 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,955. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: **Henry J. "Trey" Radel III**

Page **39** of **40**

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
	Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE	\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
JT	Bank of America	9/07	Mortgage on 4360 Lazio Way #1008 Ft. Myers, FL				X							

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
None	

Page 40 of 40[illegible]

Use additional sheets if more space is required.