



Filing ID #10021879

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Brian Babin  
**Status:** Member  
**State/District:** TX36

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2017  
**Filing Date:** 08/13/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Brian Babin DDS Dental Practice [RP]		\$100,001 - \$250,000	sale	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Woodville, TX, US					
Chevron Corporation (CVX) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Citizen State Bank [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Community Bank of Texas [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Community Bank of Woodville [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Education First Bank Account [BA]	JT	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Education First Federal Credit Union [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Exxon Mobil Corporation (XOM) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
land parcel [RP]  LOCATION: Woodville, TX, US		\$250,001 - \$500,000	None		<input type="checkbox"/>
Lincoln Financial Life Insurance [WU]  DESCRIPTION: cash value		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Summit Brokerage Services ⇒ Fidelity Select Ports Defense & Aerospace FSDAX [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Summit Brokerage Services ⇒ Franklin Cust Dyna Tech FKDNX [MF]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Summit Brokerage Services ⇒ Franklin Custodian Growth FKGRX [MF]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Summit Brokerage Services ⇒ Franklin Income Fund [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Summit Brokerage Services ⇒ Franklin Money Fund Class A [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
TD Ameritrade Bank Account [BA]	JT	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ Alpine Income Short Municipal Income Fund- ATOIX [IH]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Resource Management Account ⇒ American Century Inflation Adjusted [IH]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Resource Management Account ⇒ Blackrock Equity Dividend Fund C MCDVX [IH]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ Blackrock High Yield Bond C BHYCX [IH]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ Blackrock National Municipal Fund- MANLX [IH]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
UBS Resource Management Account ⇒ Growth Fund of America GFFFX [IH]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
UBS Resource Management Account ⇒ Henderson International Opportunities Fund- HFOIX [IH]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ Hi-Crush Partners- HCLP [IH]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ Invesco Convertible Securities Fund Class C CNSCX [IH]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ Oppenheimer Developing Markets- ODVYX [IH]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ PACE Government Money Market Investment Fund- PCEXX [BA]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ Perkins Mid Cap Value [IH]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ Plains All Amer Pipeline- NYSE [IH]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ UBS Bank [IH]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ US Small Cap Growth Fund [IH]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ Virtus Multi-Sector Short Term Bond- PSTCX [IH]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ Voya Corporate Leaders Trust- LEXCX [IH]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ Western Asset Municipal- LMHIX [IH]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
UBS SEP-IRA ⇒ American Century Inflation Adjusted Treasury- ACITX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds American High Income Trust Fund Class C AHTCX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
UBS SEP-IRA ⇒ American Funds American High-Inc C AHTCX [IH]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds American Mutual C Fund- AMFCX [IH]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds American Mutual Fund Class C AMFCX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds Capital Income Builder Fund- CIBCX [IH]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds Capital Income Builder Fund Class C CIBCX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds Income Fund- IFACX [IH]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds Income Fund of America Class C IFACX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds US GOV Money Market Fund AFCXX [IH]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds US Government Securities Fund C- UGSCX [IH]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ American Funds US Government Securities Fund Cl C UGSCX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Approach Resources- AREX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Barrick Gold Corp Cad ABX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ BHP Billiton- BHP [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
UBS SEP-IRA ⇒ Compass Diversified Holdings- CODI [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Deutsche Capital Growth- SCGSX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Deutsche Global Infrastructure Fund- TOLCX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Enbridge Energy Partners- MLP [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Energy Transfer Equity- ETE [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Enterprise Products Partner- EPD [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ EV Energy Partners [IH]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Fidelity Advisor Industrials C Fund- FCLCX [IH]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Fidelity Advisor Industrials- FCLAX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ First Eagle Gold- FEGOX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Fortress Transn & IN FTAI [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Gabelli Small Cap Growth- GACIX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Goldcorp Inc- GG [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Goldman Sachs Short Duration Gov- GSTGX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Hartford International- IHOIX [IH]					
UBS SEP-IRA ⇒ Huntington Inglas- HII [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ IAMGOLD Corp- IAG [IH]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Invesco Mid Cap Core- GTAYAX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Invesco Real Estate- IARCX [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Ishares US Aerospace & Defense- ITA [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Kinder Morgan Inc [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Loomis Sayles Strategic- NEZTX [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ MAN-AHL Diversified I Class B [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Market Vectors Gold Miners- GDX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Merk Hard Currency- MERKX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ MFS Emerging Markets- MEDCX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Newmont Mining Corp- NEM [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Permanent Portfolio Fund- PRPFX [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Prudential Jennison Natural Resources- PNRZX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
UBS SEP-IRA ⇒ Range Resources- RRC [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Seadrill LTD- SDRL [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Silver Wheaton Corp- SLW [IH]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ South32 LTD- SOUHY [IH]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ TCW Emerging Markets- TGEIX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Templeton Glbal Bond- TGBAX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Templeton Glbal- TGBAX [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Transamerica High Yield Bond- TDHIX [IH]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ UBS Bank [IH]		\$15,001 - \$50,000	None		<input type="checkbox"/>
UBS SEP-IRA ⇒ Virtus Emerging Markets- HIEMX [IH]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Virtus Real Estate- PHRCX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Voya Corporate Leaders- LEXCX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Westshore Terms Invnt Corp- WTSHF [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP-IRA ⇒ Williams Partners LP MLP WPZ [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Brian Babin DDS Dental Practice [OT]		Monthly	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: Woodville, TX, US					
DESCRIPTION: 5 year agreement to sell dental practice					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Summit Brokerage Services
- UBS Resource Management Account (Owner: JT)  
LOCATION: US
- UBS SEP-IRA
- UBS SEP-IRA (Owner: JT)



## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Brian Babin , 08/13/2018