

UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

**HAND
DELIVERED**

James L. Oberstar
(Full Name)

(202)225-6211
(Daytime Telephone)

LEGISLATIVE RESOURCE CENTER

2009 MAY 15 PM 5:23

(Office Use Only)

Filer Status
☒ Member of the U.S. House of Representatives

State: MN
District: 08

☐ Officer Or Employee

Employing Office:

Report Type
☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

U.S. HOUSE OF REPRESENTATIVES
A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$305 and not otherwise exempt)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$305 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
SP AES CORP	None	CAPITAL GAINS	\$50,001 - \$100,000	S
AES CORP	\$1,001 - \$15,000	None	NONE	
SP Allianz Nacm Intl	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	PS(part)
SP Allianz Opcap Value fund	\$250,001 - \$500,000	DIVIDENDS/CAPITAL GAINS	\$50,001 - \$100,000	PS(part)
SP ALPINE TOTAL DYNAMIC DIVIDEND FUND	None	DIVIDENDS	\$5,001 - \$15,000	PS
AMERICAN CASH MANAGEMENT TRUST	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

SP	Anheuser Busch	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Apple Computer	\$50,001 - \$100,000	None	NONE	
SP	Applied Materials	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Archstone-Smith	None	CAPITAL GAINS	\$50,001 - \$100,000	S
SP	Artisan Mid Cap Fund	None	CAPITAL GAINS	\$2,501 - \$5,000	S
SP	Automatic Data Processing	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	BARON ASSET FUND	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
	BASIN WATER	\$1,001 - \$15,000	None	NONE	P
SP	BASIN WATER	\$100,001 - \$250,000	None	NONE	P
SP	Blackboard Inc.	\$250,001 - \$500,000	None	NONE	P
	Blackboard, Inc.	\$1,001 - \$15,000	None	NONE	
SP	Boston Partners Small Cap Value II Fund	None	CAPITAL GAINS	\$1,001 - \$2,500	S
SP	BROADRIDGE FINANCIAL SOLUTION	None	CAPITAL GAINS	\$201 - \$1,000	S/OTHER
SP	Calamos Growth & Income Fund	None	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

SP	CALAMOS MARKET NEUTRAL INCOME	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
SP	CARMAX	\$15,001 - \$50,000	None	NONE	P
	Cepheid Inc.	\$15,001 - \$50,000	None	NONE	
SP	Cepheid Inc.	\$500,001 - \$1,000,000	None	NONE	PS(part)
SP	CHAMPLAIN SMALL COMPANY	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
SP	CHEVY CHASE BANK	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	
SP	Cohen & Steers Quality Income Realty Fund	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	
	Congressional Federal Credit Union	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	Corpuware Corp	\$1,001 - \$15,000	None	NONE	
SP	Cortex Pharmaceuticals	\$1,001 - \$15,000	None	NONE	
SP	Danka Business Systems	\$1,001 - \$15,000	None	NONE	
SP	Diamond Hill Large Cap Fund	\$100,001 - \$250,000	DIVIDENDS/INTE REST	\$5,001 - \$15,000	PS(part)
SP	DISCOVER FINANCIAL	None	DIVIDENDS	\$1 - \$200	PS
SP	Disney	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

SP	Dodge & Cox Stock Fund	\$100,001 - \$250,000	DIVIDENDS/CAPITAL GAINS	\$15,001 - \$50,000	PS(part)
SP	Drugstore.com	\$100,001 - \$250,000	None	NONE	S(part)
	DWS Reef Real Estate (formerly Scudder RREEF Real Estate)	None	DIVIDENDS	\$201 - \$1,000	S
SP	EP Medsystem	\$15,001 - \$50,000	None	NONE	
SP	Exxon Mobil	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Felicity St Charles, LLC	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
SP	Financing Corp FICO Strips	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	S(part)
SP	First Commonwealth Financial	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	FPL Group	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
SP	General Electric	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	GOLDMAN SACHS	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
	Guitar Center Inc	None	None	NONE	S
SP	HAEMONETICS CORP	\$15,001 - \$50,000	None	NONE	P
SP	Home Depot	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

SP	Inter Digital Communications Corp	None	None	NONE	S
SP	IRON MOUNTAIN INC	\$15,001 - \$50,000	None	NONE	P
SP	Ishares Iboxx Inv Grade	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
SP	Janus Money Market Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	PS(part)
SP	Jefferson Pilot Annuity	\$250,001 - \$500,000	None	NONE	
SP	JKO Properties, LLC	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
SP	John Hancock Regional Bank Fund	\$250,001 - \$500,000	DIVIDENDS/CAPITAL GAINS	\$100,001 - \$1,000,000	PS(part)
SP	Johnson & Johnson	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$15,001 - \$50,000	S(part)
SP	Julius Baer Intl Equity Fund	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	P
SP	Kurth Bypass Trust	NA	EXCEPTED TRUST	NA	
SP	Kurth QTIP A Trust	NA	EXCEPTED TRUST	NA	
SP	Marsico Growth Fund	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	PS(part)
SP	Martha Stewart Living	None	None	NONE	S
SP	Met West Total Return Bond Fund	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	PS(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

SP	Microsoft	\$100,001 - \$250,000	DIVIDENDS	\$1,001 - \$2,500	
SP	MORGAN STANLEY	None	DIVIDENDS	\$201 - \$1,000	PS
	NUANCE COMMUNICATION	\$1,001 - \$15,000	None	NONE	P
SP	NUANCE COMMUNICATIONS	\$100,001 - \$250,000	None	NONE	P
SP	Oakmark International	\$15,001 - \$50,000	DIVIDENDS	\$5,001 - \$15,000	P
SP	Pain Therapeutics	\$100,001 - \$250,000	None	NONE	
SP	Penwest Pharmaceuticals	None	None	NONE	S
SP	Pimco High Yield Fund	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S
SP	Pioneer Cullen Value A	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
	Pozen Inc	\$1,001 - \$15,000	None	NONE	
SP	POZEN Inc	\$100,001 - \$250,000	None	NONE	PS(part)
SP	Prime Fund Daily Money Class	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	P
SP	Proctor & Gamble	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Saul Centers REIT	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

SP	Starbucks	\$15,001 - \$50,000	None	NONE	
SP	Stericycle	\$50,001 - \$100,000	None	NONE	
SP	Strayer Education	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
SP	Sunrise Senior Living	\$15,001 - \$50,000	None	NONE	
SP	T ROWE PRICE HIGH YIELD	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
SP	TCW Galileo Total Return Bond Fund	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	P
SP	Third Avenue Real Estate Value Fund	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	PS(part)
SP	THIRD AVENUE SMALL CAP	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
SP	U.S. Treasury Notes	\$250,001 - \$500,000	INTEREST	\$15,001 - \$50,000	
SP	Universal Display Corp	\$250,001 - \$500,000	None	NONE	
	Universal Display Corp	\$1,001 - \$15,000	None	NONE	
SP	Wachovia Bank	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	
	Wachovia Bank	\$50,001 - \$100,000	INTEREST	\$2,501 - \$5,000	
SP	Wal-Mart	None	DIVIDENDS/CAPITAL GAINS	\$15,001 - \$50,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Washington Mutual	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Western Asset Core Plus Inst. Fund	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	PS(part)
SP	XM Satellite Radio	None	None	NONE	S
SP	ZIMMER HOLDINGS	\$15,001 - \$50,000	None	NONE	P

SCHEDULE IV - TRANSACTIONS

Name James L. Oberstar

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	AES CORP	S	01-09-07	\$100,001 - \$250,000
SP	ALLIANZ NACM INTL	PS(part)	MONTHLY SALE/DIV REINV	\$1,001 - \$15,000
SP	ALLIANZE OPCAP VALUE FUND	PS(part)	MONTHLY SALE/DIV REINV	\$50,001 - \$100,000
SP	ALPINE TOTAL DYNAMIC DIVIDEND FUND	PS	P 1/25/07 S 12/5 07 S 9/5/07	\$250,001 - \$500,000
SP	ARCHSTONE SMITH TRUST	S	10/09/07	\$100,001 - \$250,000
SP	ARTISIAN MID-CAP	S	04/25/07	\$15,001 - \$50,000
SP	BARON ASSET FUND	P	04/25/07	\$15,001 - \$50,000
SP	BASIN WATER	P	12/04/07 12/05/07	\$50,001 - \$100,000
SP	BLACK BOARD INC	P	01/09/07	\$1,001 - \$15,000
	BASIN WATER	P	12/04/07	\$1,001 - \$15,000
SP	BOSTON PARTNERS SC II	S	4/25/07	\$15,001 - \$50,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	BROADRIDGE FINANCIAL SOLUTION (RECEIVED AS SPIN OFF FROM ADP ON 4/25/07)	S	8/21/07	\$1,001 - \$15,000
SP	CALAMOS GROWTH & INCOME	S	4/25/07	\$15,001 - \$50,000
SP	CALAMOS MARKET NEUTRAL INCOME	P	4/25/07	\$15,001 - \$50,000
SP	CARMAX	P	11/19/07	\$15,001 - \$50,000
SP	CEPHEID INC	PS(part)	12/4/07	\$50,001 - \$100,000
SP	CHAMPLAIN SMALL COMPANY	P	4/25/07 DIV REINV	\$15,001 - \$50,000
SP	DIAMOND HILL LARGE CAP	PS(part)	DIV REINV	\$15,001 - \$50,000
SP	DISCOVER FINANCIAL	PS	P 1/9/07 P 5/10/07 S 9/5/07 S 12/4/07	\$15,001 - \$50,000
SP	DODGE & COX STOCK FUND	PS(part)	S 4/25/07 P DIV REINV	\$15,001 - \$50,000
SP	DRUGSTORE.COM	S(part)	5/10/07 12/5/07	\$1,001 - \$15,000
	DWS REEF REAL ESTATE	S	12/4/07	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	GUITAR CENTER	S	4/11/07	\$1,001 - \$15,000
SP	GOLDMAN SACHS	P	8/21/07	\$15,001 - \$50,000
SP	HAEMONETICS CORP	P	8/21/07	\$15,001 - \$50,000
SP	INTER DIGITAL COMMUNICATIONS	S	1/9/07	\$50,001 - \$100,000
SP	IRON MOUNTAIN INC	P	8/21/07	\$15,001 - \$50,000
SP	ISHARES IBOX INV GRADE	P	11/19/07	\$15,001 - \$50,000
SP	JANUS MONEY MARKET	PS(part)	MONTHLY	\$1,001 - \$15,000
SP	JOHN HANCOCK REGIONAL BANK FUND	PS(part)	P DIV REINV S MONTHLY	\$100,001 - \$250,000
SP	JOHNSON & JOHNSON	S(part)	5/10/07	\$50,001 - \$100,000
SP	JULIUS BAER INTL EQUITY FUND	P	P 4/25/07 MONTHLY DIV REINV	\$1,001 - \$15,000
SP	MARSICO GROWTH	PS	MONTHLY DIV REINV S 4/25/07	\$15,001 - \$50,000

SCHEDULE IV - TRANSACTIONS

Name James L. Oberstar

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	MARTHA STEWART LIVING	S	11/19/07	\$1,001 - \$15,000
SP	METROPOLITAN WEST TOTAL RETURN	PS(part)	MONTHLY DIV REINV P 4/25/07 S 1/3/07 S 4/3/07	\$1,001 - \$15,000
SP	MORGAN STANLEY	PS	P 1/9/07 P5/10/07 S 12/4 07 S 12/5/07	\$100,001 - \$250,000
SP	NUANCE COMMUNICATIONS	P	4/11/07 5/9/07 9/5/07	\$100,001 - \$250,000
SP	OAKMARK INTL	P	DIV REINV 4/25/07	\$15,001 - \$50,000
SP	PENWEST PHARMACEUTICALS	S	1/25/07 4/11/07	\$100,001 - \$250,000
SP	PIMCO HIGH YIELD	S	4/25/07	\$1,001 - \$15,000
	NUANCE COMMUNICATION	P	4/11/07	\$1,001 - \$15,000
SP	POZEN INC	S(part)	P 6/11/07 S 6/11/07	\$1,001 - \$15,000
SP	PRIME FUND DAILY MONEY MARKET	P	MONTHLY DIV REINV	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name James L. Oberstar

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	T ROWE PRICE HIGH YIELD	P	4/25/07 MONTHLY DIV REINV	\$15,001 - \$50,000
SP	TCW GALILEO TOTAL RETURN BOND FD	P	4/25/07 MONTHLY DIV REINV	\$15,001 - \$50,000
SP	THIRD AVENUE REAL ESTATE	PS(part)	S 4/25/07 MONTHLY DIV REINV	\$1,001 - \$15,000
SP	THIRD AVENUE SMALL CAP	P	4/25/07 MONTHLY DIV REINV	\$15,001 - \$50,000
	AMERICAN CASH MANAGEMENT TRUST	P	5/10/07 MONTHLY DIV REINV	\$50,001 - \$100,000
SP	FINANCING CORP FINANCING STRIPS	S(part)	5/11/07	\$15,001 - \$50,000
SP	WAL-MART	S	8/21/07	\$50,001 - \$100,000
SP	WESTERN ASSET CORE PLUS	PS(part)	MONTHLY DIV REINV S 4/25/07	\$1,001 - \$15,000
SP	XM SATELLITE RADIO	S	8/21/07	\$1,001 - \$15,000
SP	ZIMMER HOLDINGS	P	11/19/07	\$15,001 - \$50,000

SCHEDULE VIII - POSITIONS

Name James L. Oberstar

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Trustees (position by federal statute)	John F. Kennedy Center for the Performing Arts
Board of Trustees (position by federal statute)	International Institute for Surface Transportation Policy Studies
Honorary Board Member	George Washington University Medical Center, Cancer Center Advisory Board
National Advisory Council Member	Active Living by Design - University of North Carolina