FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name:	Hon. Lois Capps
Status:	Former Member
State/District:	CA24

FILING INFORMATION

Filing Type:	Terminated Filer Report
Filing Year:	2017
Filing Date:	01/17/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Dodge & Cox Income fund (DODIX)		$1,001 - $15,000	Tax-Deferred		☐
Fidelity treasury money market		$1,001 - $15,000	Tax-Deferred		☐
First Eagle Overseas Class I fund (SGOIX)		$1,001 - $15,000	Tax-Deferred		☐
Harpers Collins ⇒ Harper Colins		Undetermined	Royalties	$1 - $200	☐
MET Life ⇒ Met Life		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Prudential Total Return Bond Class Z (PDBZX)		$1,001 - $15,000	Tax-Deferred		☐
Rabobank		$15,001 - $50,000	None		☐
Studio Apartment - Santa Barbara, CA ⇒ Rent of studio in home		$1,000,001 - $5,000,000	Rent	$15,001 - $50,000	☐

DESCRIPTION: Rent collected was $1300/month

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
T Rose Price Cap Appreciation Fund (PRWCX)		$15,001 - $50,000	Tax-Deferred		☐
Union Bank		$1 - $1,000	Interest	$1 - $200	☐

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DODGE & COX INCOME FUNDS ⇒ Dodge & Cox Income fund (DODIX)		11/30/2016	S (partial)	$1,001 - $15,000	☐
FIDELITY ADV NEW INSIGHTS I ⇒ Fidelity Advisor New Insights Fund FINSX DESCRIPTION: Sold fund at UBIS to lower account risk		10/20/2016	S	$1,001 - $15,000	☐
POWERSHARES ETF TRUST WILDER HILL CLEAN ENERGY ⇒ Powershare EFT (PBW) DESCRIPTION: Sold fund at UBIS to lower account risk		10/20/2016	S	$720.62	☐
T. ROWE PRICE CAP APPRECIATION ⇒ T Rose Price Cap Appreciation Fund (PRWCX) DESCRIPTION: Sold at Cetera - to cover IRA required minimum distribution		Monthly	S (partial)	$1,001 - $15,000	☐

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
CA State teachers retirement system	Pension	$21,238.00
University of California Retirement System	Spouse's pension	$52,459.55
Pershing LLC	IRA distribution	$2,649.00
UnionBanc Investment Services	IRA distribution	$2,361.30

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Union Bank	April 28, 2016	Mortgage on home and rental apartment in Santa Barbara	$1,000,001 - $5,000,000
	Union Bank	April 22, 2016	Home Equity line of credit	$50,001 - $100,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
June 1996	Myself and CA State teachers retirement system	pension for services

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- DODGE & COX INCOME FUNDS
- FIDELITY ADV NEW INSIGHTS I
- Harpers Collins
  LOCATION: US
- MET Life
  LOCATION: US
- POWERSHARES ETF TRUST WILDER HILL CLEAN ENERGY
- Studio Apartment - Santa Barbara, CA
  LOCATION: US
- T. ROWE PRICE CAP APPRECIATION

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ● No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ● No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ● No

CERTIFICATION AND SIGNATURE

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Lois Capps , 01/17/2017