JNITED S	UNITED STATES HOUSE OF REPRESENTATIVES	/ES	Form A		MAI 4	Page 1 of	1 of 40
013 FINAN	2013 FINANCIAL DISCLOSURE STATEMENT	For Use by	For Use by Members, Officers, and	d Employees	on t	LEGISLATIVE RESOURCE CENTER	CE CENTER
Name:	Henry J. "Trey" Radel III	Daytime Telephone:	hone:		V.S. H	OFFICE OF THE CLERK U.S. HOUSE OF REPRESENTATIVES	LERK
					(Offi	Office Use Only)	
FILER	Member of or Candidate for State: New York State:	된 14	Officer or Employee	Employing Office:			
REPORT TYPE	X 2013 Annual (Due: May 15, 2014) Extended to May 28, 2014	Amendment		X Termination Date:	_{bete:} January 27, 2014	27, 2014	
RELIMINA	PRELIMINARY INFORMATION - ANSWER EACH OF	THESE QUESTIONS					
a. Did you, your a. Own any re end of the b. Make more asset durin	A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period? 	Yes X No	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	table agreement or a sporting period or in t of filing?	nrangement with an the current calendar	Yes No	×
 Did you, your xchange any se xceeding \$1,00 	B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes X No	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?	or your dependent ch	ild receive any lue from a single	Yes No	×
C. Did you or you honoraria, or pen reporting period?	C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes X No	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	or your dependent child receive any resements for travel totaling more that source during the reporting period?	eporting period?	Yes No	×
). Did you, your ability (more th	D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes X No	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	anization make a do	nation to charity in rarticle during the	Yes No	×
: Did you hold a he current caler	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes No X	ATTACH THE COR	RESPONDING	RESPONDING SCHEDULE IF YOU ANSWER "YES"	YOU ANSWER	"YES"
PO, EXCL	IPO, EXCLUSION OF SPOUSE, DEPENDENT, (DEPENDENT, OR TRUST INFORMATION - ANSWER	ATION - ANSWER	EACH OF TH	EACH OF THESE QUESTIONS	SNO	
PO – Did you p	IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	ial Public Offering during the I	эролing period? If you answe	ered "yes" to this que	stion, please contact	Yes No	\boxtimes
RUSTS – Deta his report detail	TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" this report details of such a trust that benefits you, your spouse, or dependent child?	ımittee on Ethics and certain o ent child?	ther "excepted trusts" need no	need not be disclosed. Hav	Have you excluded from	Yes No	
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.							×

& "UNEARNED INCOME"	
Name: Henry J. "Trey" Radel III	
2 40 Page of	

Am. Funds Euro/Pad	General Electric	Fifth Third Bank	Cincinnati Financial	Cash	UBS Personal	ABC Hedge Fund	Examples: Simon & Schuster	DC, SP Mega Corp. Stock	a detailed discussion of Schedule uirements, please refer to the instruction bookle	If you so choose, you may indicate that an asset or income source is that or your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the ter left.	If you have a privately-traded fund that is an Excepted investment Fund, please check the "EIF" box.	Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.	For an ownership interest in a privately-hold business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.	For rental and other real property held for investment, provide a complete address or description, e.g., "vental property," and a city and state.	For bank and other cash accounts, total the amount in all interest-bearing accounts, if the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest bearing accounts.	For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.	Provide complete names of stocks and mutual funds) floored use only ticker symbols)			Asset and/or Income Source	BLOCK A
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								ciber 4	P, S, S(part), or E						Leave this column blank if there are no transactions that exceeded \$1,000.	n only a person or an asset was sold, please indicate as follows: (S (part)).		 burchases (P), sales (S), or exchanges (E) 		Transaction	BLOCK E
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SCHEDULE A – ASSETS & "UNEARNED INCOME"	
Name: Henry J. "Trey" Radel III	
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	Morgan Stanley Acct	Rev Trust dtd 2/16/08	Kathleen M. Radel		Suntrust Account		funeral services company	Cincinnati, OH-based	Radel Funeral Services	Am Fd Growth Fd of	Am Fd New World	Am Fd Cap World G	Cash	UBS Roth IRA	ASSET NAME BY		BLOCK A Asset and/or Income Source
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SCHEDULE A – ASSETS & "UNEARNED INCOME"	
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Henry J. "Trey" Ra	
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SCHEDULE A – ASSETS & "UNEARNED INCOME"
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Name: Henry J. "Trey" Radel III

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SCHEDULE A – ASSETS & "UNEARNED INCOME"
Name: Henry J. "Trey" Radel III
Page 21

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ASSETS & "UNEARNED INCOME"	
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Name: Henry J. "Trey" Radel III	
Page 25 of 40	

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Name:
Henry J. "Trey" Radel III
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Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.		¤i Sala 	ange	k Box if Ca eded \$200	Morthly, or B- wookly, if applicable		001- 000	901- 1,000	,001- ,000	,001- ,000	1,001- 00,000	00,001- 00,000	00,001- 000,000	000,001- 000,000	\$50,000,0	\$1,000,00 Geo/DC As
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Dell	×			4	4/23/13	×										
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Mack Cali Realty x				_	12/16/13	×								,		
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Southern Company x					1/2/14	×										
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Foot Locker	TimeWarner	Apple	Discover Financial	McDonalds	Johnson & Johnson	Agilent Technologies	Omnicare	Dollar Tree	Metlife	Nordstrom	CBRE Group	Qualcomm	Apple	United Health Group	Danaher Corp	Merck & Co	ExxonMobil	MORGAN STANLEY 3	SP Example Mega Corp. Stock	SP, DC, JT Asset	a political of an easers its autu, preses uniques parties aper an airo type of waterwork. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain in box, unless it was an asset in a tax-defenred account, and disclose the capital gain income on Schedule A. * Column K is for assets solely held by your spouse or dependent child.	reputing period of any security or real property read by you, your spouse, or your dependent child for investment or the production of income. Include transactions the resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated tental income. If only purchase or sale of your personal residence, unless it generated tental income. If only purchase or sale of your personal residence, unless it generated tental income.	Report any purchase, sele, or exchange transactions that exceeded \$1,000 in the
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Capath of the first production of storage in the production of t	Report any p	ourchase, sale, and of any sec	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your	Į.	pe of Tr	Type of Transaction	ğ		Date				₽	Amount of		Transaction			┨
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					Guggenheim S&P 500	Guggenheim S&P 500	Energy Select SPDR	Energy Select SPDR	Wisdomtree Tr Emg Mkts Sm Cap	Vanguard MSCI EAFE	Health Care Select SPDR	MORGAN STANLEY 3	SP Example Mega Corp. Stock	SP,DC,FT Asset	Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tex-deferred account, and disclose the capital gain income on Schedule A. * Column K is for assets solely held by your spouse or dependent child.	dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a bief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting ossiod of any security or real property held by you your spouse or your
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Procter & Gamble x	Dell	Unilever	Sigma Aldrich Corp x	Google	Pepsico	Medtronic	Barrick Gold Corp x	CVS Caremark x	Barrick Gold Corp x	EBay	WalMart x	Pepsico	Microsoft	Molson Coors Brewing x	Target Corp x	Barrick Gold Corp x	Exelon Crop x	MORGAN STANLEY 4	SP Example Mega Corp. Stock X	SP, DC, JT Asset	Capital Gains: It a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. *Column K is for assets solely held by your spouse or dependent child.	dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a biref description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose 'partial sale' as the type of transaction.	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the Type of Transaction reporting period of any security or real property held by you, your spouse, or your
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Report any	purchese, sel	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the	ź	3 7	Type of Transaction	3		Date					Amount	of Tran	Transactio	1			
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SP, DC, 1T		Asset																	
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	MORC	MORGAN STANLEY 4																	
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	Type of Transaction	ınsaction			Date					Amount c	of Tran	Transaction	³			
reporting period or any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction.						>	8	n	•	м	п	၈	I	-	-	*
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your spouse or dependent child.	Sal	Par	Exc	Che Exc		\$5, \$15	\$16 \$50						\$25		Ow	
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SP Example Mega Corp. Stock		×		×	35/13		×									
MORGAN STANLEY 4																
Northrop Grumman		×			1/7/14	×		`								
MORGAN STANLEY 5 (See Filer Note)																
PIMCO Real Return	×				12/16/13	×										
Nuveen All-American Muni Bond Furd x					1/3/13			×								
Nuveen Int Dur Muni x				_	1/3/13		×					<u> </u>			_	
Nuveen Santa Barbara x					1/3/13		×			L		<u> </u>			<u> </u>	
Lazard Em Mkts x					2/27/13	×										
Lazard Em Mkts x					3/28/13	×										
Mainstay Mkt x					12/16/1		×									
Allianz NFJ x					1/3/13		×						_			
Del Small Cap Value A x					2/27/13	×							<u> </u>			
Del Small Cap Value A x					3/28/13	×							<u> </u>			
DWS RReef x		,			2/27/13	×										
DWS RReef x					3/28/13		×									
1st Eagle Global x					1/3/13	×										
1st Tr MLP & ENRG x		;		K.	2/27/13	×										
1st Tr MLP & ENRG x				(3)	3/28/13	×										

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1st Tr MLP & ENRG x	1st Tr MLP & ENRG x	1st Eagle Global x	DWS RReef	DWS RReef x	Del Small Cap Value A	Del Small Cap Value A	Allianz NFJ x	Mainstay Mkt x	DWS Rreef ×	Lazard Em Mkts x	Allianz NFJ x	MORGAN STANLEY 6 (See Filer Note 1)	Goldman Sachs Rising Div x	Goldman Sachs Rising Div	Goldman Sachs Mid Cap Val	Goldman Sachs Mid Cap Val	MORGAN STANLEY 5	SP Example Mega Corp. Stock	SP, DC, Л Asset	Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. * Column K is for assets solely held by your spouse or dependent child.	dependent child for impatinent or the production of encoder. Include transactions that dependent child for impatinent or the production of encodere. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your secure, or your
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									PIMCO Real Return	Goldman Sachs Rising Div	Goldman Sachs Rising Div	Goldman Sachs Rising Div	Goldman Sachs Mid Cap Val	Nuveen Santa Barbara	Nuveen Int Dur Muni	Nuveen All-American Muni Bond Fund	MORGAN STANLEY 6	SP Exemple Mega Corp. Stock	SP, DC, JT Asset	Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. "Column K is for assets solely held by your spouse or dependent child.	resulted in a conjugate loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is cold, please of coorse 'partial sale' as the type of transaction.	reporting period of any security or real property held by you, your spouse, or your demandant child for investment or the production of income. Include transactions that	Report any purchase, sale, or exchange transections that exceeded \$1,000 in the
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Report any pure	chase, sale	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the	ا ہ	3 2 7	Type of Transaction			Date	ı	l	١	A I	mount c	of Tran	Transaction	³			
reporting period dependent child resulted in a ca	of any se for investr soital loss.	resporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction.							>	8	ဂ		m		6	I	_	_	*
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SP, DC, JT		Asset																	
ž, dS	Example	Mega Corp. Stock	,		*		×	35/13		×									
_	NORG	MORGAN STANLEY 7																	
7	/lolsor	Molson Coors Brewing	×					1/4/13	×										
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]	Dell			×				4/23/13	×										
	Expre	Express Scripts	×					6/20/13	x				,						
	Taiwa	Taiwan Semiconductor	×					8/22/13	×										
	Microsoft	soft			×			9/9/13	×										
	Charl	Charles Schwab			×			9/9/13	×										
	suyor	Johnson & Johnson			×			9/12/13	×										
	Dr Pe	Dr Pepper Snapple	×					9/16/13	X		3								
	CR Bard	ard			×			9/19/13	×						<u> </u>	ļ <u>.</u>			
	CR Bard	ard		X				10/1/13	×										
	Google	gle		×				10/18/13	×									ļ	
	Barrio	Barrick Gold Corp	×					11/8/13	×										
	Exelon	on	×					11/11/13	×			L							

Name: Henry J. "Trey" Radel III Page 36 40

					American Electric Power	American Electric Power	American Electric Power		Northrop Grumman	Charles Schwab	Annaly Cap Mgmt x	Southern Co x	Mack Cali Realty x	MORGAN STANLEY 7	SP Example Mega Corp. Stock	SP, DC, JT Asset	Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. "Column K is for assets solely held by your spouse or dependent child.	dependent chief or investment or the production of income. Include transactions that dependent chief or investment or the production of income. Include transactions that resulted in a capital loas. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated entail income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the
			,	:											<u> </u>		Sale		Type of Transaction
				,	×	×	×		×	×					×		Partial Sale		ransacti
																	Exchange		9
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					3/15/13	3/8/13	2/25/13		1/7/14	1/6/14	1/2/14	1/2/14	12/16/13		35/13		Monthly, or Bi- weeldy, if applicable	(MO/DA/YR) or Quarterly,	Date
			,		×	×	×		×	×	×	×	×				\$1,001- \$15,000	>	
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Name:	
Henry J. "	
Henry J. "Trey" Radel III	
Page 37 of 40	

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Type of Transaction								-			dep	sold	and f	Inter	Pr	RC	Example		ins: If a sa gains" bo; gain incom	child for in a capital ansections r sale of your	purchase
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Over \$1,000,000" (Spouse/DC Asset) ~										-	ļ						-				

SCHEDULE C - EARNED INCOME

Name: Henry J "Trey" Radel III Page 38 of 40

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below. EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

types of income (no	INCOME LIMITS and PROHIBITED INCOME: The 2013 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,955. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.	nsated at or above the "senior staff" rate totally prohibited.	was \$26,955. In addition, certain
	Source (include date of receipt for honoraria)	Туре	Amount
	Keene State	Approved Teaching Fee	\$6,000
Examples:	State of Maryland	Legislative Pension	\$18,000
	Ontario County Board of Education	Spouse Salary	N/A
Twentieth	Twentieth Century Fox	Spouse Salary	N/A
Journal B	Journal Broadcast Group	Spouse Salary	N/A
Touchsto	Touchstone TV Productions LLC	Spouse Salary	N/A
Morgan S	Morgan Stanley Inherited IRA	Req. Min. Distribution	\$5,809

SCHEDULE D ~ LIABILITIES

Name: Henry J. "Trey" Radel III Page 39 of 40

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liabile); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. "Column K is for liabilities held solely by your spouse or dependent child.

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			JŢ		SP. DC, JT		
			Bank c	Example			
į			Bank of America	First Bank of Wilmington, DE	Creditor		
			9/07	5/98	Date Liability Incurred MO/YR		
	Ft. Myers, FL	4360 Lazio Way #1008	Mortgage on	Mortgage on Rental Property, Dover, DE	Type of Liability		
					\$10,001- \$15,000	>	
					\$15,001- \$50,000	œ	
					\$50,001- \$100,000	c	
			×	×	\$100,001- \$250,000	D	\
					\$250,001- \$500,000	m	moun
					\$500,001- \$1,000,000	म	Amount of Liability
					\$1,000,001- \$5,000,000	Ø	ability
					\$5,000,001- \$25,000,000	Ι	
					\$25,000,001~ \$50,000,000	-	
					Over \$50,000,000	-	
,,					Over \$1,000,000* (Spouse/DC Liability)	*	

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C: positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

listed in Schedule C, positions held in any religious, social, π	isted in Schedule C; positions held in any religious, social, traternal, or political entities (such as political parties and campaign organizations); and positions solely or an nonorary nature.
Position	Name of Organization
None	

FILER NOTES (Optional)

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