

UNITED STATES HOUSE OF REPRESENTATIVES

Periodic Transaction Report

Page 1 of 1

**HAND
DELIVERED**

LEGISLATIVE RESOURCE CENTER

2014 MAR 20 AM 11:48

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

NAME:

Hon. Todd Rokita

OFFICE TELEPHONE:

202-225-5037

☒ Member of the U.S. House of Representatives

State: IN District: 04

File an original and 2 copies.

☐ Officer or Employee

Employing Office: _____

File an original and 1 copy.

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending.

☒ Initial Report ☐ Amendment

Date of Report being Amended: _____

(For Official Use Only)

Did you purchase any shares that were allocated as a part of an Initial Public Offering? ☐ YES ☒ NO

FULL ASSET NAME	TYPE OF TRANS-ACTION	DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION										
				A	B	C	D	E	F	G	H	I	J	
JT SP DC	PURCHASE SALE EXCHANGE	(MM/DD/YY)	(MM/DD/YY)	\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	
Example: Mega Corp. Common Stock	X	8/14/12	8/14/12		X									
SP UBSIRA - BAIRD MIDCAP FUND INVESTOR CLASS	X	02/21/14	03/07/14	X										
SP UBSIRA - ISHARES RUSSELL MID-CAP GROWTH EFT	X	02/25/14	03/07/14	X										
SP UBSIRA - SPDR S&P 500 ETF TR	X	02/25/14	03/07/14	X										

(This page will be publicly disclosed.)