

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A For use by Members, officers, and employees

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Michael N. Castle

(Full Name)

202-225-4165

(Daytime Telephone)

Filer Status ☒ Member of the U.S. House of Representatives

State: DE District: 01

☐ Officer Or Employee

Employing Office:

Report Type ☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

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(Office Use Only)

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
State of Delaware	Pension	\$39,049.68

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source <small>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</small>	BLOCK B Year-End Value of Asset <small>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</small>	BLOCK C Type of Income <small>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</small>	BLOCK D Amount of Income <small>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</small>	BLOCK E Transaction <small>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</small>
14 George Street, Dewey Beach, DE (Note: for the above, gross rental only and does not reflect expenses)	\$500,001 - \$1,000,000	RENT	\$15,001 - \$50,000	N/A
224A Justice Court, Washington, DC (Note: for the above, gross rental only and does not reflect expenses)	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	N/A
4566 Parkside Drive Kiawah Island, SC	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	N/A
Fidelity Tax Free Money Market	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
Cohen & Steers Realty	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Robeco Boston Partner Small Cap Value II	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	
FPA Crescent Inst. Shares	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
Harbor International Investor Shares	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Hennessy Focus 30 Fund	\$15,001 - \$50,000	NONE		
Marisco Focus Fund	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
Oakmark Global Fund	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
Wm. Blair Small Cap Growth Fund	\$15,001 - \$50,000	NONE	NONE	
Vanguard Tax Exempt Money Market Fund	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
Vanguard Inter-Term Tax Exempt Fund	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
Vanguard Health Care Fund	\$50,001 - \$100,000	DIVIDENDS/INTE REST	\$1,001 - \$2,500	
Vanguard Emerging Markets Vipers	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Vanguard PRIMECAP Core Fund	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
Evergreen Money Market Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Apache Corp.	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Caci Intl Inc.	\$1,001 - \$15,000		NONE	
CRA International Inc.	None		NONE	S
Ebay, Inc.	None		NONE	S
FiServ, Inc.	\$15,001 - \$50,000		NONE	
Freeport McMoran Cooper & Gold, Inc	\$15,001 - \$50,000		NONE	
National Oilwell Varco	\$1,001 - \$15,000		NONE	
Ingersoll Rand Co. CLA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
iShares MSCI Canada	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
ROVI Corp (formerly Macrovision Solutions Corp)	\$1,001 - \$15,000		NONE	
II-VI Inc.	\$1,001 - \$15,000		NONE	
Delaware State HSG Auth. Rev. Bond (due 7/1/17)	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
Amgen, Inc.	\$15,001 - \$50,000		NONE	
Nucor Corp.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
L3 Communications	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Powershares Zacks Micro Cap Portfolio	None		NONE	S
Valeant Pharmaceuticals	\$1,001 - \$15,000		NONE	
Symantec Corp	None		NONE	S
Paychex, Inc.	\$1,001 - \$15,000	None	NONE	P
Vanguard Intl Equity Index	\$15,001 - \$50,000	None	NONE	P
Puerto Rico Hwy & Transn Auth. (due 7/01/18)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
PR Electric Power due 7-1-17 zero coupon	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Puerto Rico Hwy Bond due 7-1-19	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
Puerto Rico Mun Fin Agy due 8/1/12	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
BCE Inc. (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Intel Corp (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
Kimco Realty Corp (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
Plum Creek Timber (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
Valero Energy (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Fidelity Floating Rate High Income (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Artisan Mid Cap Value (IRA)	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	
Bridgeway Aggressive Investor 2 Fund (IRA)	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
Columbia Value & Restructuring (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Perkins Mid Cap Value (IRA) (formerly Janus Mid Cap Value - IRA)	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
Columbia High Yield (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Columbia Acorn Select Class Z (IRA)	\$15,001 - \$50,000	CAPITAL GAINS	\$201 - \$1,000	
iShares Inc. MSCI S Korea Index Fund (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
FBR Focus Fund	\$1,001 - \$15,000	NONE		
Fidelity Equity Income Fund (IRA)	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	
Oakmark International (IRA)	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	
Oakmark Equity & Income (IRA)	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	
Dodge & Cox International Stock Fund (IRA)	\$50,001 - \$100,000	DIVIDENDS	\$5,001 - \$15,000	
Pimco Commodity Real Return CLA Strategy (IRA)	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	Pimco Total Return Class D (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
	Pimco Emerging Markets Bond (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
	Wintergreen Fund (IRA)	\$1,001 - \$15,000		NONE	
	Fidelity Cash Reserves (IRA)	\$100,001 - \$250,000	DIVIDENDS	\$1 - \$200	
	RMA Tax-Free Fund Inc.	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	Morgan Stanley Liquid Asset (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Morgan Stanley Pacific Growth Fund (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Morgan Stanley European Equity Fund (IRA)	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	
SP	Morgan Stanley Focus Growth Fund Fd A	\$1,001 - \$15,000		NONE	
SP	Morgan Stanley Dividend Growth Securities (IRA)	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	
SP	Alger Mid Cap Growth	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Fidelity Diver Intl.	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Fidelity Equity Inc. II	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	MSIF Sm Co. Growth B	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

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SP	Fd. Mgd. Inc. Port	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Vanguard Money Market Reserve Prime Portfolio	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Janney Montgomery Scott Money Market (Janney Advantage)	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	P
SP	Altria Group, Inc.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Phillip Morris International	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Apple, Inc.	\$1,001 - \$15,000	NONE		
SP	Blackrock Income Trust	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Citigroup, Inc.	\$1 - \$1,000	DIVIDENDS	NONE	
SP	General Electric Company	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Kraft Foods Class A	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Morgan Stanley Insured Mun. Secur.	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
SP	Nuveen Municipal Value Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Putnam Premier Income Trust SBI	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Wilmington Trust Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

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SP	Franklin Income Fund	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
SP	Puerto Rico Mun. Financial Author. 4.75% CD due 8/1/22	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
SP	First Bank Puerto Rico CD due 3/23/09	None	INTEREST	\$201 - \$1,000	Redeem
SP	Discover Bank Greenwood CD due 8/1/09	None	INTEREST	\$201 - \$1,000	Redeem
SP	Lehman Bros. Coml. Bank CD due 10/26/09	None	INTEREST	\$201 - \$1,000	Redeem
SP	Lehman Bros. Bank CD due 3/22/10	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
SP	Capital Income BLDR FD C	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
SP	Income FD AMER INC CL C	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
SP	Janney Montgomery Scott Money Market (Arthur DiSabatino decd IRA)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
SP	Capital World Growth & Income FD Class C (Arthur DiSabatino decd IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	T. Rowe Price Variable Annuity	\$100,001 - \$250,000		NONE	
	Trust U/A 8-25-41 James M. Castle Trust #1, 16.66% Allocable share of trust income per K-1 Acct #6519006588	N/A	DIVIDENDS	\$1,001 - \$2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JMC Trust #1 Wachovia PT Money Market	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #1 Evergreen Enhanced S&P 500 FD CL1	\$15,001 - \$50,000	As noted above	As noted above	
JMC Trust #1 Harbor International Fund	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #1 Julius Baer International Equity (Artio International)	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #1 JP Morgan High Yield Bond Select	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #1 Pimco Foreign Bond FD US Dollar - Hedged Inst CG	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #1 Pimco Total Return Fund	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #1 iShares Russell 2000 Index FD	\$1,001 - \$15,000	As noted above	As noted above	
Trust U/A 1-21-53 James M. Castle Trust #3, 16.66% Allocable share of trust income per K-1 Account # 6519006640	N/A	DIVIDENDS	\$5,001 - \$15,000	
JMC Trust #3 iShares MSCI EAFE Index Fund	None	As noted above	As noted above	S
JMC Trust #3 Wachovia PT Money Market	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 Dupont	\$1,001 - \$15,000	As noted above	As noted above	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JMC Trust #3 General Electric	\$1,001 - \$15,000	As noted above	As noted above	S(part)
JMC Trust #3 H.J. Heinz Co.	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 Proctor & Gamble Co.	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 Exxon Mobil Corp Com	\$15,001 - \$50,000	As noted above	As noted above	S(part)
JMC Trust #3 Intel Corp	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 Wyeth	None	As noted above	As noted above	S
JMC Trust #3 Bristol Myers Squibb Co	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 Johnson & Johnson	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 BP PLC Sponsored ADR	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 AT & T Inc.	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 Verizon Communications	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 J.P. Morgan Chase	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 Bank of New York Mellon	\$1,001 - \$15,000	As noted above	As noted above	S(part)
JMC Trust #3 Bank of America Corp	None	As noted above	As noted above	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JMC Trust #3 Emerson Electric Co.	None	As noted above	As noted above	S
JMC Trust #3 First Energy Corp	None	As noted above	As noted above	S
JMC Trust #3 IBM	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 Ross Stores, Inc.	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 Dodge & Cox International Stock	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 J.P. Morgan High Yield Bond	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 Julius Baer International Equity II-I (Artio International)	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 Pimco Foreign Bond Fund	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #3 Pimco Total Return Fund	\$15,001 - \$50,000	As noted above	As noted above	P
JMC Trust #3 Westport Fund Select Cap Fund	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #3 iShares Russell 2000 Index Fund	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #3 Aflac, Inc.	\$1 - \$1,000	As noted above	As noted above	P
JMC Trust #3 Agilent Technologies Inc.	\$1 - \$1,000	As noted above	As noted above	P

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JMC Trust #3 Archer Daniels Midland	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #3 Discover Financial Services	\$1 - \$1,000	As noted above	As noted above	P
JMC Trust #3 Hewlett-Packard Co.	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #3 Kraft Foods Inc. CL A	\$1 - \$1,000	As noted above	As noted above	P
JMC Trust #3 L-3 Communications Holdings, Inc.	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #3 McDonalds Corp	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #3 Microsoft Corp	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #3 Pimco Low Duration Fd	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #3 Vanguard Total International Stock Index Fd	\$1,001 - \$15,000	As noted above	As noted above	P
JMC Trust #3 Pfizer Inc.	\$1 - \$1,000	As noted above	As noted above	P
Trust U/A 1-4-46, James M. Castle Trust #2, 16.66% Allocable share eof trust income per K-1 Acct. #6519006597	N/A	DIVIDENDS	\$1,001 - \$2,500	
JMC Trust #2 Wachovia PT Money Market	\$1,001 - \$15,000	As noted above	As noted above	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JMC Trust #2 Evergreen Core Bond Fund	\$15,001 - \$50,000	As noted above	As noted above	
JMC Trust #2 General Electric Co.	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #2 Home Depot Inc.	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #2 Abbot Labs	\$15,001 - \$50,000	As noted above	As noted above	
JMC Trust #2 Bristol Myers Squibb Co	\$1 - \$1,000	As noted above	As noted above	
JMC Trust #2 Exxon Mobil Corp	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #2 Bank of New York Mellon	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #2 Cisco Systems	\$1 - \$1,000	As noted above	As noted above	
JMC Trust #2 Hewlett Packard Co.	\$1 - \$1,000	As noted above	As noted above	
JMC Trust #2 BP PLC Sponsored ADR	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #2 Hospira	\$1,001 - \$15,000	As noted above	As noted above	
JMC Trust #2 Harbor International Fund INS CL	\$15,001 - \$50,000	As noted above	As noted above	
JMC Trust #2 Evergreen International Bond Fund	\$1,001 - \$15,000	As noted above	As noted above	

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James M. Castle, Jr. 8/27/68 Allocable share trust income per K-1 Account #6519006347	N/A	DIVIDENDS	\$15,001 - \$50,000	
James M. Castle, Jr. Trust Wachovia PT Money Market	\$15,001 - \$50,000	As noted above	As noted above	
James M. Castle, Jr. Trust Delaware Trust Municipal Bond Fund	\$100,001 - \$250,000	As noted above	As noted above	
James M. Castle, Jr. Trust DuPont	\$1,001 - \$15,000	As noted above	As noted above	
James M. Castle, Jr. Trust General Electric	\$1,001 - \$15,000	As noted above	As noted above	S(part)
James M. Castle, Jr. Trust Abbot Labs	\$1,001 - \$15,000	As noted above	As noted above	
James M. Castle, Jr. Trust Glaxo Smith Kline	\$1,001 - \$15,000	As noted above	As noted above	
James M. Castle, Jr. Trust BP PLC	\$1,001 - \$15,000	As noted above	As noted above	S(part)
James M. Castle, Jr. Trust Chevron	\$1,001 - \$15,000	As noted above	As noted above	
James M. Castle, Jr. Trust Wellpoint, Inc	\$1,001 - \$15,000	As noted above	As noted above	S(part)
James M. Castle, Jr. Trust Windstream Corp Com.	\$1,001 - \$15,000	As noted above	As noted above	
James M. Castle, Jr. Trust Evergreen Enhanced S&P 500 FD CL1	\$100,001 - \$250,000	As noted above	As noted above	
James M. Castle, Jr. Trust Evergreen International Equity Fund	\$1,001 - \$15,000	As noted above	As noted above	

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James M. Castle, Jr. Trust iShares MSCI EAFE Index Fund	\$15,001 - \$50,000	As noted above	As noted above	
James M. Castle, Jr. Trust Delaware Pooled Trust International Equity	\$15,001 - \$50,000	As noted above	As noted above	
James M. Castle, Jr. Trust Pimco Foreign Bond Fd	\$15,001 - \$50,000	As noted above	As noted above	
James M. Castle, Jr. Trust iShares Russell 2000 Index Fd	\$50,001 - \$100,000	As noted above	As noted above	
James M. Castle, Jr. Trust JP Morgan High Yield Bond Fd	\$15,001 - \$50,000	As noted above	As noted above	
James M. Castle, Jr. Trust Nuveen Funds Ltd.	\$50,001 - \$100,000	As noted above	As noted above	P
James M. Castle, Jr. Trust Vanguard Intermediate Term Tax-Exempt Fd	\$50,001 - \$100,000	As noted above	As noted above	P

SCHEDULE IV - TRANSACTIONS

Name Michael N. Castle

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	General Electric Co (James M. Castle, Jr. Trust)	S(part)	No	12-4-09	\$1,001 - \$15,000
	BP PLC (James M. Castle, Jr. Trust)	S(part)	No	12-4-09	\$1,001 - \$15,000
	Wellpoint, Inc. (James M. Castle, Jr. Trust)	S(part)	No	12-4-09	\$1,001 - \$15,000
	Nuveen Flagship Ltd Term (James M. Castle, Jr. Trust)	P	N/A	12-9-09	\$1,001 - \$15,000
	Vanguard Intermediate-Term Tax-Exempt Fd (James M. Castle, Jr. Trust)	P	N/A	12-9-09	\$1,001 - \$15,000
	iShares MSCI EAFE Index Fund (JMC Trust #3)	S	No	12-7-09	\$1,001 - \$15,000
	General Electric (JMC Trust #3)	S(part)	No	12-4-09	\$1,001 - \$15,000
	Exxon Mobil Corp Com (JMC Trust #3)	S(part)	No	12-4-09	\$1,001 - \$15,000
	Wyeth (JMC Trust #3)	S	No	10-15-09	\$1,001 - \$15,000
	Pfizer Inc. (JMC Trust #3)	P	N/A	10-15-09	Under \$1,000
	Bank of New York Mellon (JMC Trust #3)	S(part)	No	12-4-09	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Michael N. Castle

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Bank of America Corp (JMC Trust #3)	S	No	12-4-09	Under \$1,000
	Emerson Electric Co. (JMC Trust #3)	S	No	12-4-09	\$1,001 - \$15,000
	First Energy Corp (JMC Trust #3)	S	No	12-4-09	Under \$1,000
	Pimco Foreign Bond Fund (JMC Trust #3)	P	N/A	12-9-09	Under \$1,000
	Pimco Total Return Fund (JMC Trust #3)	P	N/A	12-9-09	Under \$1,000
	Westport Fund Select Cap Fund (JMC Trust #3)	P	N/A	12-30-09	Under \$1,000
	Pimco Low Duration Fd (JMC Trust #3)	P	N/A	12-10-09	\$1,001 - \$15,000
	Vanguard Total International Stock (JMC Trust #3)	P	N/A	12-10-09	\$1,001 - \$15,000
	Aflac Inc. (JMC Trust #3)	P	N/A	12-4-09	Under \$1,000
	Agilent (JMC Trust #3)	P	N/A	12-4-09	Under \$1,000
	Archer Daniels Midland Co. (JMC Trust #3)	P	N/A	12-4-09	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Discover Financial Services (JMC Trust #3)	P	N/A	12-4-09	Under \$1,000
	Hewlett-Packard Co. (JMC Trust #3)	P	N/A	12-4-09	\$1,001 - \$15,000
	Kraft Foods Inc. (JMC Trust #3)	P	N/A	12-4-09	Under \$1,000
	L-3 Communications Hldgs Inc. (JMC Trust #3)	P	N/A	12-4-09	\$1,001 - \$15,000
	McDonalds Corp. (JMC Trust #3)	P	N/A	12-4-09	\$1,001 - \$15,000
	Microsoft Corp. (JMC Trust #3)	P	N/A	12-4-09	\$1,001 - \$15,000
	CRA International Inc.	S	No	12-29-09	\$1,001 - \$15,000
	Ebay, Inc.	S	No	12-29-09	\$1,001 - \$15,000
	Powershares Zacks Micro Cap Portfolio	S	No	12-29-09	\$1,001 - \$15,000
	Symantec Corp.	S	No	12-29-09	\$1,001 - \$15,000
	Puerto Rico Hwy & Transn Auth. Due 7/01/18	P	N/A	6-03-09	\$15,001 - \$50,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Paychex Inc.	P	N/A	12-29-09	\$1,001 - \$15,000
	Vanguard Intl Equity Index	P	N/A	12-29-09	\$15,001 - \$50,000
SP	First Bank Puerto Rico CD due 3/23/09	S <i>Redeem</i>	No	3-23-09	\$1,001 - \$15,000
SP	Discover Bank Greenwood CD due 8/11/09	S <i>Redeem</i>	No	8-11-09	\$1,001 - \$15,000
SP	Lehman Bros. Coml. Bank CD due 10/26/09	S <i>Redeem</i>	No	10/26/09	\$1,001 - \$15,000
SP	Janney Montgomery Money Market (Janney Advantage)	P	N/A	3/24/09	\$1,001 - \$15,000
SP	Janney Montgomery Money Market (Janney Advantage)	P	N/A	8/12/09	\$1,001 - \$15,000
SP	Janney Montgomery Money Market (Janney Advantage)	P	N/A	10/27/09	\$1,001 - \$15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Michael N. Castle

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Conference on Energy Security and Climate Change	April 4-10	Philadelphia - Valencia, Spain, Philadelphia	Y	Y	Y	None

SCHEDULE VII - POSITIONS

Name Michael N. Castle

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	The Southern Institute on Children and Families
Board Member	James B. Hunt, Jr. Institute for Educational Leadership and Policy
Board Member	The Oquirrh Institute