


# UNITED STATES HOUSE OF REPRESENTATIVES

## Periodic Transaction Report

<b>NAME:</b> William R. Keating		<b>OFFICE TELEPHONE:</b> 202-225-3111		<b>LEGISLATIVE RESOURCE CENTER</b> 2014 APR 23 PM 3:15 OFFICE OF THE CLERK U.S. HOUSE OF REPRESENTATIVES	
<input checked="" type="checkbox"/> Member of the U.S. House of Representatives State: <u>MA</u> District: <u>9</u>		<input type="checkbox"/> Officer or Employee Employing Office: _____		File an original and 1 copy.	
File an original and 2 copies.		Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending. <input checked="" type="checkbox"/> Initial Report <input type="checkbox"/> Amendment Date of Report being Amended: _____			
<b>A \$200 penalty shall be assessed against anyone who files more than 30 days late.</b>		<div style="text-align: center;">   <b>HAND DELIVERED</b>                      (For Official Use Only)                 </div>			
Did you purchase any shares that were allocated as a part of an Initial Public Offering? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO					

FULL ASSET NAME	TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION										
	PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	
JT SP DC Provide full name, not ticker symbol.				(MM/DD/YY)	(MM/DD/YY)	\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	
JT Example: Mega Corp. Common Stock		X		8/14/12	8/14/12		X									
Coach, Inc.	X			01/25/13	01/01/13	X										
Procter and Gamble		X		07/24/13	10/01/13	X										

**WILLIAM KEATING**  
9TH DISTRICT, MASSACHUSETTS  
MEMBER OF CONGRESS

**Congress of the United States**  
**House of Representatives**  
**Washington, DC 20515**

**COMMITTEE ON**  
**HOMELAND SECURITY**  
SUBCOMMITTEE ON TERRORISM  
AND INTELLIGENCE  
SUBCOMMITTEE ON CYBERSECURITY,  
INFRASTRUCTURE PROTECTION, AND  
SECURITY TECHNOLOGIES  
**COMMITTEE ON FOREIGN**  
**AFFAIRS**  
SUBCOMMITTEE ON EUROPE,  
EURASIA, AND EMERGING THREATS  
**RANKING MEMBER**  
SUBCOMMITTEE ON ASIA AND  
THE PACIFIC

April 14, 2014

Chairman K. Michael Conaway  
Ranking Member Linda T. Sanchez  
House Committee on Ethics  
1015 Longworth House Office Building  
Washington, DC 20515

Chairman Conaway and Ranking Member Sanchez:

In 2013, I extended the authority to purchase and sell investments on my behalf to a financial management group. This authority was exercised in a dollar cost average manner. While reviewing information for my 2013 taxes, I became aware that two transactions had yet to be disclosed on a periodic transaction report. I have informed the financial management group of the U.S. House of Representatives' financial disclosure requirements, and have been assured that any future transactions are brought to my attention immediately. I ask that in light of the circumstances, any penalty be waived in this instance. If you or your staff have any questions, I can be reached through my Chief of Staff, Garrett Donovan, at (202) 225-3111. I appreciate the consideration.

Sincerely,

William R. Keating  
Member of Congress

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