

# UNITED STATES HOUSE OF REPRESENTATIVES 2013 FINANCIAL DISCLOSURE STATEMENT

Form A  
For Use by Members, Officers, and Employees

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LEGISLATIVE RESOURCE CENTER

2014 JUN 13 PM 4:40

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES  
(For Office Use Only)

Name: Michael J Rogers

Daytime Telephone: 202 225 4872

FILER STATUS	<input checked="" type="checkbox"/> Member of or Candidate for U.S. House of Representatives	State: <u>MD</u> District: <u>8</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____
	REPORT TYPE	<input checked="" type="checkbox"/> 2013 Annual (Due: May 15, 2014)	<input type="checkbox"/> Amendment	Termination Date: _____

## PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

## IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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**Use additional sheets if more space is required.**

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	II	III	IV	V	VI	VII	VIII	IX	X	XI	P, S, S(part), or E		
	SP American Century																																
	- Ultra/Visa Std Bonds			X												X																	
	SP Ameritrade Mutual															X																	
	Diamond Hill Ltz Corp															X																	
	Artisan Fund Corp															X																	
	Inter national fund															X																	
	US Contrarian Fund															X																	
	SP Fidelity Contra (SP)			X												X																	
	SP Wells Fargo Mutual			X												X																	
	Prudential Value			X												X																	
	Prudential Blend			X												X																	
	SP USAA Checking																X																
	SP USAA Savings																X																

# **SCHEDULE B – TRANSACTIONS**

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*Michael J Rogers*

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SP, DC, JT		Asset	Type of Transaction				Date (MM/YY) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction														
			Purchase	Sale	Partial Sale	Exchange		Check Box if Capital Gain Exceeded \$200	A	B	C	D	E	F	G	H	I	J				
SP	Example	Mega Corp. Stock						3/5/13		X												
<i>See Attached</i>																						

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**INCOME LIMITS AND PROHIBITED INCOME:** The 2013 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,925. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

[illegible]

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\*Column K is for liabilities held solely by your spouse or dependent child.

[illegible]

## SCHEDULE - POSITIONS

listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

[illegible]

# **SCHEDULE F – AGREEMENTS**

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
Mar 14	Cumulus Media	Radio West, Jan/2015

# **SCHEDULE G – GIFTS**

Report the source (including name, city, and state), a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
<i>Example:</i> Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	\$400
NA		

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**EXCLUDE:** Privately-sponsored travel approved by the Ethics Committee, if post-travel disclosure was filed with the Clerk; travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (F.G.D.A. 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]



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[illegible]

**PERFORMANCE BY SECURITY INCLUDING ACCRUED INTEREST**  
**Sullivan Bruyette Speros & Blaney**

**Michael and Kristi Rogers**  
**Consolidated Portfolio**  
**Discounted Cash Flow Method**  
*From 05-03-13 To 12-31-13*

Security	05-03-13 Market Value	Realized Gains	Unrealized Gains	Interest Dividends	IRR +Fees	12-31-13 Market Value	Pct. of Assets
Cash	0	[Fees]		0	0.00	0	0.00
Distribution for Reinvestment	0	-792		3	-1.43	10,136	2.48
Schwab Government Securities	0	-792		3	-1.43	10,136	2.48
ST Bond Funds	0	0	31	94	2.57	9,060	2.22
Guggenheim BulletShares	0	0	31	94	2.57	9,060	2.22
2014 HY Corp	0	0	13	60	1.94	7,060	1.73
Vanguard Short-Term	0	0	13	60	1.94	7,060	1.73
Investment-Grade	0	0	43	154	2.29	16,120	3.95
Municipal Bond Funds	0	0	-793	325	-2.98	19,207	4.70
Vanguard Inter-Term	0	0	-793	325	-2.98	19,207	4.70
Tax-Exempt	0	0	-793	325	-2.98	19,207	4.70
US Bond Funds	0	0	-641	324	-3.28	9,359	2.29
DoubleLine Core Fixed	0	0	-641	324	-3.28	9,359	2.29
Income I	0	0	-130	56	-5.24	5,870	1.44
PIMCO Total Return	0	0	-516	216	-4.71	41,466	10.15
Vanguard Total Bond Market	0	0	-1,286	596	-3.97	56,695	13.88
Global Bond Funds	0	0	-230	249	0.27	19,877	4.87
Templeton Income Global	0	0	-230	249	0.27	19,877	4.87
Bond Adv	0	0	-230	249	0.27	19,877	4.87
US Equities							
Large Cap	7,557	40	0	0	29.30	0	0.00
Fidelity Contra Fund	7,557	40	0	0	29.30	0	0.00
Prudential Jemison Blend A	0	0	18	335	19.09	3,049	0.75
Prudential Jemison Value Fd	0	214	0	0	9.95	0	0.00
A	0	0					
SPDR S&P 500 Fd ETF	0	0	6,647	355	17.15	97,332	23.83
Vanguard High Dividend Yield	0	0	2,062	585	15.01	42,004	10.29
Small/Mid Cap	7,557	255	8,727	1,275	16.38	142,384	34.87
Vanguard Extended Market	0	0	1,942	319	20.90	28,780	7.05
Index	0	0	1,942	319	20.90	28,780	7.05
US Equities Total	7,557	255	10,669	1,593	17.05	171,164	41.91

**Sullivan Bruyette Speros & Blaney**  
**PERFORMANCE BY SECURITY INCLUDING ACCRUED INTEREST**  
**Michael and Kristi Rogers**  
**Consolidated Portfolio**  
**Discounted Cash Flow Method**  
**From 05-03-13 To 12-31-13**

Security	05-03-13 Market Value	Realized Gains	Unrealized Gains	Interest Dividends	IRR +Fees	12-31-13 Market Value	Pct. of Assets
International Stock Funds							
Developed							
Vanguard Total Intl Stock	0	0	626	388	9.03	27,552	6.75
Mkt Index							
iShares Dow Jones Intl Select	0	0	920	196	18.84	18,932	4.64
Div							
Emerging/Regional							
Mathews Asian Growth &	0	0	-745	301	-4.58	9,255	2.27
Income Instl							
Vanguard FTSE Emerging	0	0	-17	100	2.34	18,966	4.64
Markets ETF							
International Stock Fu Total	0	0	784	985	5.79	74,705	18.29
Global Allocation	0	0	14	451	6.34	7,958	1.95
Blackrock Global Allocation	0	0	480	75	28.03	12,480	3.06
Ivy Asset Strategy I	0	0	494	526	10.80	20,438	5.00
Commodities							
DWS Commodity Securities	0	0	19	0	0.55	20,019	4.90
Inst							
<b>TOTAL PORTFOLIO</b>	<b>7,557</b>	<b>-537</b>	<b>9,700</b>	<b>4,432</b>	<b>6.13</b>	<b>408,361</b>	<b>100.00</b>

The Total Portfolio realized gains includes a fee of -792