



Filing ID #10024892

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Andy Harris
Status: Member
State/District: MD01

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2017
Filing Date: 10/17/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
403b American Century Emerging Markets [MF]		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
403b American Century Global Gold [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
403b American Century Intl Discovery [MF]		\$100,001 - \$250,000	Tax-Deferred	None	<input type="checkbox"/>
403b American Century Money Market [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
403b American Century Short Duration Bond [MF]		\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
403b American Century Strategic Allocation [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
403b CREF Inflation Linked Bond [MF]		\$100,001 - \$250,000	Tax-Deferred	None	<input type="checkbox"/>
403b TIAA Traditional [MF]		\$100,001 - \$250,000	Tax-Deferred	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
403b Vanguard 500 Index [MF]		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard Capital Opportunity [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
403b Vanguard Emerging Market [MF]		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard Energy [MF]		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard Life Strategy Growth [MF]		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard MidCap Index [MF]		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard Pacific Index [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
403b Vanguard REIT Index [MF]		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard Selected Value [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
403b Vanguard Short Term Investment Grade Bond Fund [MF]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
457 MD State Investment Contract Pool [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
529 - MD prepaid tuition [5P]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: MD DESCRIPTION: DC4					
529 College Savings Plan MD, Portfolio 2018 [OT]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: 529 - DC5					
529 College Savings Plan, Portfolio for College [OT]	DC	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: 529					
CREF 403b Equity Index [MF]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity Charitable Gift Fund [OT]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Fidelity Charitable Gift Fund					
First Mariner Bank CD [BA]	SP	None	Interest	None	<input type="checkbox"/>
DESCRIPTION: closed					
IRA Ameritrade Money Market [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
IRA Ameritrade Money Market [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
IRA T Rowe Price Intl Discovery [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Rollover from Keogh					
IRA T Rowe Price Money Market [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Rollover from Keogh					
M & T Checking [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
McCormick & Company, Incorporated (MKC) [ST]		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
DESCRIPTION: IRA					
MD 529 Prepaid Tuition [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: MD DESCRIPTION: DC5					
MD State 457 Large Cap Value [MF]		\$100,001 - \$250,000	Tax-Deferred	None	<input type="checkbox"/>
Merck & Company, Inc. Common Stock (MRK) [ST]		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
DESCRIPTION: IRA					
Merrill IRA Money Market [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Purchases from dividend payments from stocks held in that IRA - none exceeding the \$1,000 purchase reporting threshold					
Rental Condo [RP]	JT	\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Cambridge, MD, US DESCRIPTION: Rental Income					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
State of Maryland Pension [DB]		Undetermined	Pension	\$5,001 - \$15,000	<input type="checkbox"/>
T Rowe Price Charitable Gift Fund [OT]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: T Rowe Price Charitable Gift Fund					
Vanguard 500 Index [MF]	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: DC5					
Vanguard MidCap Index [MF]	DC	\$1,001 - \$15,000	Dividends, Tax-Deferred	\$1 - \$200	<input type="checkbox"/>
Vanguard Money Market [MF]	DC	\$1 - \$1,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: DC5					
Vanguard Money Market [MF]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: JMH					
Vanguard Small Cap Value [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: DC5					
Vanguard Wellington [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: DC4					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
403b American Century Money Market [MF]		09/1/2017	E	\$100,001 - \$250,000	
DESCRIPTION: To Short Duration					
403b American Century Short Duration Bond [MF]		09/1/2017	E	\$100,001 - \$250,000	
DESCRIPTION: From Money Market					
457 Maryland State Contract Pool [MF]		09/1/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
529 College Savings Plan MD - Portfolio 2018 [MF]	DC	04/14/2017	P	\$1,001 - \$15,000	
IRA Ameritrade [MF]	SP	09/1/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA Ameritrade [MF]		09/1/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard 500 Index [MF] DESCRIPTION: Exchange to Money Market	DC	10/26/2017	E	\$1,001 - \$15,000	
Vanguard Money Market [MF] DESCRIPTION: 4 withdrawals following exchanges from DC5 Vanguard 500 Index and Small Cap Value	DC	Quarterly	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Money Market [MF] DESCRIPTION: 3 withdrawals following exchanges from DC4 Wellington	DC	Quarterly	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard small cap value [MF] DESCRIPTION: Exchange to Money Market	DC	08/23/2017	E	\$1,001 - \$15,000	
Vanguard Small Cap Value [MF] DESCRIPTION: Exchange to Money Market	DC	05/22/2017	E	\$1,001 - \$15,000	
Vanguard Small Cap Value [MF] DESCRIPTION: Exchange to Money Market	DC	05/31/2017	E	\$1,001 - \$15,000	
Vanguard Wellington [MF] DESCRIPTION: Exchange to Money Market	DC	05/22/2017	E	\$1,001 - \$15,000	
Vanguard Wellington [MF] DESCRIPTION: Exchange to Money Market	DC	08/23/2017	E	\$1,001 - \$15,000	
Vanguard Wellington [MF] DESCRIPTION: exchange to Money Market	DC	01/23/2017	E	\$1,001 - \$15,000	

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
State of Maryland	Penson	\$14,353.00
Tidewater Anesthesia Associates	medical care	\$10,500.00
Maryland Republican Party	Spouse salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Navy Federal Credit Union	July 2013	Mortgage on vacation home, Cambridge, Maryland (not rented)	\$100,001 - \$250,000
JT	Pentagon Federal Credit Union	July 2013	Mortgage on personal residence, Cockeysville, Maryland (not rented)	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Associate Professor on Leave	Johns Hopkins University
Director	St. Joseph Hospital University of Maryland Health System

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2011	Johns Hopkins University	Leave of Absence
January 2014	State of Maryland	Health coverage
January 2014	State of Maryland	Pension

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Aspen Institute	02/18/2017	02/26/2017	DC - New Delhi - Hyderabad - DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Andy Harris , 10/17/2018