

# UNITED STATES HOUSE OF REPRESENTATIVES 2018 FINANCIAL DISCLOSURE STATEMENT

Form A  
For Use by Members, Officers, and Employees

POSTMARK ILLEGIBLE 1 of 14

LEGISLATIVE RESOURCE CENTER  
2019 FEB 12 PM 4:33

FH

Name: Thomas A. Marino Daytime Telephone: \_\_\_\_\_

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: _____ District: _____	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (if Applicable) Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
REPORT TYPE	<input type="checkbox"/> 2017 Annual (Due: May 15, 2018)	<input type="checkbox"/> Amendment	<input checked="" type="checkbox"/> Termination	Date of Termination: <u>Jan. 22, 2019</u>	

## PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

## IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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**Use additional sheets if more space is required.**

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Thomas A. Mpsine

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP DC JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	ST Rental #1 4450 Winston St ST Cloud, FL						X										X																			
	ST Rental #2 3771 Cedar Hammock Trail ST Cloud, FL							X									X																			
	VARIABLE LIFE INS (ATTACHED)							X								X																				
	LPL FINANCIAL (ATTACHED)								X									X																		
	SP BOT BANK ACCT (Spouse name was on ACCT w/ her mother in law who passed away 3/24/18 ACCT WAS USED FOR EXPENSES TO LOAN)															X																				



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National Operations Center  
P.O. Box 1047  
Charlotte, NC 28201-1047

004704 AX421901



**THOMAS A MARINO**  
358 KINLEY DR  
COGAN STATION PA 17728

Insured(s): Thomas A Marino  
Policy Number: [REDACTED]  
Plan: Flexible Premium Variable Life Insurance  
Incentive Life  
Face Amount: \$750,000.00  
Death Benefit: \$750,000.00  
Associate Name: Brian Kennedy  
Associate Phone: (610) 660-4427  
Service Center Phone: (800) 777-6510  
Date: January 14, 2019  
Page: 1

## CONFIRMATION NOTICE

<u>Transactions Being Confirmed</u>	<u>Investment Option</u>	<u>Effective Date</u>	<u>Amount</u>	<u>Units</u>	<u>Unit Price</u>
Gross Premium		01/14/2019	\$950.00		
Net Premium	AXA LgCap Val Managed Vol	01/14/2019	\$184.30	0.80	227.74
Net Premium	AXA Mid Cap Value Managed Volatility	01/14/2019	\$184.30	0.57	320.58
Net Premium	AXA/AB Small Cap Growth	01/14/2019	\$92.15	0.26	353.37
Net Premium	BQ/Capital Guardian Research	01/14/2019	\$92.15	0.30	298.44
Net Premium	BQ/Common Stock Index	01/14/2019	\$138.22	0.58	234.39
Net Premium	Multimanager Core Bond	01/14/2019	\$230.38	1.38	165.92

## SUMMARY OF ACTIVITY FROM POLICY ANNIVERSARY TO 01/14/2019

Opening Policy Account Value as of 10/10/2018	\$194,142.71
Premiums	\$4,750.00
Withdrawals	\$0.00
Insurance Costs	(\$2,298.76)
Other Charges	(\$174.50)
Investment Gain/(Loss)	(\$7,612.93)
Ending Policy Account Value as of 01/14/2019	\$188,806.52

## POLICY ACCOUNT VALUE BY INVESTMENT OPTION AS OF 01/14/2019

	<u>Amount</u>	<u>Units</u>	<u>Unit Price</u>	<u>Allocations for</u>	
				<u>Premiums</u>	<u>Deductions</u>
Guaranteed Interest Account - Loaned *	\$59,885.21	N/A	N/A	0%	0%
AXA LgCap Val Managed Vol	\$24,469.41	107.44	227.74	20%	20%
AXA Mid Cap Value Managed Volatility	\$27,005.85	84.23	320.58	20%	20%
AXA/AB Small Cap Growth	\$16,458.85	46.57	353.37	10%	10%
BQ/Capital Guardian Research	\$16,127.47	54.03	298.44	10%	10%
BQ/Common Stock Index	\$22,295.65	95.12	234.39	15%	15%
Multimanager Core Bond	\$22,564.08	135.98	165.92	25%	25%
Policy Account Value **	\$188,806.52			100%	100%

\* Represents the amount set aside to secure your policy loan plus interest credited on that amount.

\*\* The cash surrender value is your policy account value less any policy loans and accrued loan interest.

The effective annual interest rate for the Guaranteed Interest Account is 3.00% until 10/10/2019.





Name: Thomas A. Masino Page 5 of 14

**EXCLUDE:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

**Source (include date of receipt for honoraria)**

[illegible]

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\*Column K is for liabilities held solely by your spouse or dependent child.

## SCHEDULE E - POSITIONS

Name of Organization

**Use additional sheets if more space is required.**





Name: Thomas A. Mpsino Page 8 of 14

**EXCLUDE.** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

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**Name:**

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**Use additional sheets if more space is required.**

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# 4th Quarter 2018 Statement



0017977 02 AB 0.405 02 00110 LADMD012 743R C7JR 000010  
PTC CUST ROLLOVER IRA FBO  
THOMAS A MARINO  
358 KINLEY DRIVE  
COGAN STATION PA 17728

**Your Financial Professional: Brian Kennedy**  
(610)660-4427  
40 Monument Road  
Bala Cynwyd, PA 19004



Go Paperless! View your statements and trade confirmations anytime with the click of a button. Going paperless is convenient, secure and environmentally friendly. To go paperless, click on the LPL AccountView link accessible through your financial advisor or institution website.

Your Investment Advisor Firm:  
AXA Advisors

## Retirement Account



Investment Objective  
Growth

Value on January 1, 2018

**\$65,657.<sup>46</sup>**

Value on October 1, 2018

**\$70,762.<sup>22</sup>**

since the last statement

Value on December 31, 2018

**\$60,916.<sup>70</sup>**

## Account Summary

	4th Quarter 10/01 - 12/31/2018	Year to Date 01/01 - 12/31/2018
Starting Value of Assets Held at LPL Financial	<b>\$70,762.22</b>	<b>\$65,657.46</b>
• Contributions / Inflows	—	—
• Distributions / Outflows	—	—
• Increase / Decrease in Market Value <sup>1</sup>	<b>(\$14,782.56)</b>	<b>(\$9,960.32)</b>
• Dividends, Interest, Capital Gains	<b>\$4,937.04</b>	<b>\$5,179.56</b>
• Fees / Expenses	—	<b>\$40.00</b>
<b>Total Ending Value (December 31, 2018)</b>	<b>\$60,916.70</b>	<b>\$60,916.70</b>
Total Change in Value of Assets Held at LPL Financial	<b>(\$9,845.52)</b>	<b>(\$4,740.76)</b>

<sup>1</sup> Increase/decrease in Market Value reflects the impact of changes in the value of securities held in your LPL Financial account, as well as the impact of any transfers of securities into or out of your account during the statement period.



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Clearing Through LPL Financial  
Member FINRA/SIPC  
4707 Executive Drive San Diego, CA 92121-3931

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## Retirement Account

12/14

## Retirement Summary

	2018
Retirement Contributions applied to...	—
Retirement Distributions applied to...	—
Annual Maintenance fee due May 31, 2019	<b>\$40.00</b>

## Asset Allocation As of 12/31/2018

1. Mutual Funds, ETFs and Closed-End Funds	99.07%	\$60,353.03
2. Cash and Cash Equivalents	0.93%	\$563.67
3. Equities and Options	—	—
4. Fixed Income	—	—



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4707 Executive Drive, San Diego, CA 92121-3231

As of December 31, 2018

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# Retirement Account

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## Account Holdings

As of December 31, 2018

### Cash and Cash Equivalents

Description	Current Balance
Cash	\$563.67
<b>Total Cash and Cash Equivalents</b>	<b>\$563.67</b>

### Mutual Funds, ETFs, and Closed-End Funds

Security ID / Description	Quantity Price (\$)	Market Value (\$)	Cost Basis (\$) Purchase Cost (\$) <sup>3</sup>	Unrealized Gain / Loss (\$)	Estimated Annual Income (\$) <sup>a</sup> Est 30-Day Yield <sup>a</sup>
<b>ABIYX</b> AB INTL VALUE ADVISOR CL <sup>R</sup>	403.527 \$11.64	4,697.05	8,957.36 7,350.00	(4,260.31)	108 2.43%
<b>WGIFX</b> CAPITAL WORLD GROWTH & INCOME CL F2 <sup>R</sup>	255.395 \$42.75	10,918.13	11,757.40 7,350.00	(839.27)	207 2.17%
<b>GFFFX</b> GROWTH FUND OF AMERICA CL F2 <sup>R</sup>	912.221 \$42.62	38,878.85	35,796.25 18,200.00	3,082.60	254 0.68%
<b>EEM</b> ISHARES MSCI EMERGING MARKETS ETF	150 \$39.06	5,859.00	7,761.50 7,761.50	(1,902.50)	147 2.52%
<b>Total of Mutual Funds, ETFs, and Closed-End Funds</b>		<b>60,353.03</b>	<b>64,272.51</b> <b>40,661.50</b>	<b>(3,919.48)</b>	<b>716</b>

<sup>R</sup> Dividends and/or capital gains distributed by this security will be reinvested.

### Total Account Holdings

Market Value (\$)	Cost Basis (\$) Purchase Cost (\$) <sup>4</sup>	Unrealized Gain / Loss (\$)	Estimated Annual Income (\$) <sup>a</sup>
<b>60,916.70</b>	<b>64,836.18</b> <b>41,225.17</b>	<b>(3,919.48)</b>	<b>716</b>

## Activity Summary

	4th Quarter 10/01 - 12/31/2018	Year to Date 01/01 - 12/31/2018
Securities Purchased	—	—
Securities Sold	—	—

Activity Summary continued on next page...

- <sup>3</sup> Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.  
<sup>a</sup> Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.  
<sup>4</sup> Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments.

# Retirement Account

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## Activity Summary *Continued*

	4th Quarter 10/01 - 12/31/2018	Year to Date 01/01 - 12/31/2018
Contributions / Inflows	—	—
Distributions / Outflows	—	—
Dividends, Interest, & Capital Gains	\$4,937.04	\$5,179.56
Fees / Expenses <sup>5</sup>	—	\$40.00

## Account Activity

December 1 - December 31, 2018 (Since last statement)

Date	Transaction Type	Description/Security ID	Price(\$) Quantity	Amount
12/17/2018	Cash Dividend	AB INTL VALUE ADVISOR CL 121718 401.51300 ABIYX	—	\$24.37
12/17/2018	Dividend Reinvest	AB INTL VALUE ADVISOR CL REINVEST AT 12.100 ABIYX	2.014	(\$24.37)
12/19/2018	Long-Term Capital Gain	CAPITAL WORLD GROWTH & INCOME CL F2 121918 241.66700 WGIFX	—	\$511.25
12/19/2018	Cash Dividend	CAPITAL WORLD GROWTH & INCOME CL F2 121918 241.66700 WGIFX	—	\$77.68
12/19/2018	LT Capital Gain Reinvest	CAPITAL WORLD GROWTH & INCOME CL F2 REINVEST AT 42.900 WGIFX	11.917	(\$511.25)
12/19/2018	Dividend Reinvest	CAPITAL WORLD GROWTH & INCOME CL F2 REINVEST AT 42.900 WGIFX	1.811	(\$77.68)
12/24/2018	Long-Term Capital Gain	GROWTH FUND OF AMERICA CL F2 122418 808.44400 GFFFX	—	\$3,896.70
12/24/2018	Cash Dividend	GROWTH FUND OF AMERICA CL F2 122418 808.44400 GFFFX	—	\$339.47
12/24/2018	LT Capital Gain Reinvest	GROWTH FUND OF AMERICA CL F2 REINVEST AT 40.820 GFFFX	95.461	(\$3,896.70)
12/24/2018	Dividend Reinvest	GROWTH FUND OF AMERICA CL F2 REINVEST AT 40.820 GFFFX	8.316	(\$339.47)
12/24/2018	Cash Dividend	ISHARES MSCI EMERGING MARKETS ETF 122418 EEM 150	—	\$87.57

<sup>5</sup> Fees and expenses include account, custodial and advisory fees assessed during the statement period.



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