

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

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2011

Gabrielle Giffords

(Full Name)

(Daytime Telephone)

LEGISLATIVE RESOURCE CENTER
2012 JUN 13 PM 2:49

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

Filer Status ☒ Member of the U.S. House of Representatives State: AZ District: 08

☐ Officer Or Employee Employing Office:

Report Type ☐ Annual (May 15) ☐ Amendment ☒ Termination

Termination Date: 1/26/2012

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "accepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "Yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name Gabrielle Giffords

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
U. S. Navy	Spouse Salary	N/A
Kepler Speakers	Spouse Salary	N/A
Shaklee Corporation	Spouse Speaking Fees	\$50,000
Craig Michaels Inc	Spouse Speaking Fees	\$34,000
Silicon Valley Chamber of Commerce	Spouse Speaking Fees	\$42,500
Lipscomb University	Spouse Speaking Fees	\$42,500
Charles Schwab Inc	Spouse Speaking Fees	\$38,250
Slate Pharmaceutical Inc	Spouse Speaking Fees	\$40,000
Intermodal Association of North America	Spouse Speaking Fees	\$42,500
American Academy of Physical Medicine and Rehab	Spouse Speaking Fees	\$34,000
Promotional Products Association	Spouse Speaking Fees	\$34,000
Volkswagen	Spouse Speaking Fees	\$42,000
University of Nebraska	Spouse Speaking Fees	\$17,000

SCHEDULE I - EARNED INCOME

Name Gabrielle Giffords

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Pace Global	Spouse Speaking Fees	\$25,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gabrielle Giffords

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any other real property held for investment or production of income.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
117 West Armijo Tucson AZ	\$15,001 - \$50,000	None	NONE	
300 West 17th St Tucson AZ	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
AZ State Retirement (Elected Officials) American Century Equity-Income Fund	\$1,001 - \$15,000	Other: State Retirement	\$201 - \$1,000	
AZ State Retirement (Elected Officials) American Funds Capital World Growth and Income (C is R4)(2)	\$1,001 - \$15,000	Other: State Retirement	\$201 - \$1,000	
AZ State Retirement (Elected Officials) Vanguard Institutional Index Fund	\$15,001 - \$50,000	Other: State Retirement	\$2,501 - \$5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gabrielle Giffords

	AZ State Retirement Acct	\$1,001 - \$15,000	Other: State Retirement Acct- not self-directed	NONE	
	Bridgestone Corp ADR	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Coca Cola Company	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Dentsply International Inc (new)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Dow Chemical Company	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Gap Inc DEL	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Ishares Barclays Treas Inflation Protected Securities	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
	Ishares TR MSCI EAFE Index FD	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Ishares TR MSCI Emerging Markets Index Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	ISHARES TR S & P SMALL CAP 600 Index FD	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Mexico Fund Inc	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	
	Northern Money Market Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Northern Trust N.A. Checking account	\$50,001 - \$100,000	INTEREST	\$1 - \$200	
	Powershares Fundamental Pure	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gabrielle Giffords

	Powershares Inter DVD Achievers	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Powershares QQQ TR Unit Series 1	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
	Roth IRA Northern Trust Income Equity Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Roth IRA Northern Trust Large Cap Growth (Northern Trust Select Equity Fund)	\$1,001 - \$15,000	DIVIDENDS	NONE	

	Roth IRA Northern Trust Large Cap Value Fund	\$1,001 - \$15,000	DIVIDENDS	NONE	
	Roth IRA Northern Trust Technology Fund	\$1,001 - \$15,000	DIVIDENDS	NONE	
	SPDR S & P 500 ETF Trust Unit Ser 1 S & P	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	SPDR S & P Midcap 400 ETF TR Unit Ser 1 S & P DEP	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
	TEVA Pharmaceutical Inds Ltd ADR	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	USAA AMLP	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
SP	USAA Brokerage Acct-Ishares (TIP)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	USAA Brokerage Acct-Ishares TR (EFA)	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
SP	USAA Brokerage Acct-Tax Exempt Money Market	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	USAA Checking Acct	\$100,001 - \$250,000	INTEREST	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gabrielle Giffords					
SP	USAA Federal Savings Bank	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
SP	USAA Ishares Dow Jones Index	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
SP	USAA Ishares S & P Small Cap Index	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
SP	USAA Managed Portfolio	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	P
SP	USAA Roth Cornerstone Strategy Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	USAA SPDR S & P Mid Cap ETF Trust	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	P
SP	USAA Tax Exempt Short Term Bond Fund	\$50,001 - \$100,000	INTEREST	\$1 - \$200	P

SCHEDULE IV - TRANSACTIONS

Name Gabrielle Giffords

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Ishares Barclays Treas Inflation Protected Securities	P	N/A	7/22/11	\$15,001 - \$50,000
SP	USAA AMLP	P	N/A	8/5/11	\$1,001 - \$15,000
SP	USAA Ishares Dow Jones Index	P	N/A	8/5/11	\$15,001 - \$50,000
SP	USAA Ishares S & P Small Cap Index	P	N/A	8/5/11	\$15,001 - \$50,000
SP	USAA Managed Portfolio	P	N/A	12/12/11	\$50,001 - \$100,000
SP	USAA SPDR S & P Mid Cap ETF Trust	P	N/A	8/8/11	\$50,001 - \$100,000
SP	USAA Tax Exempt Short Term Bond Fund	P	N/A	12/5/11	\$50,001 - \$100,000

SCHEDULE V - LIABILITIES

Name Gabrielle Giftords

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	Chase Mortgage Co	September 1998	Mortgage on Property Street, Tucson AZ	\$50,001 - \$100,000

FOOTNOTES

Name Gabrielle Giffords

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Property at 117 W. Washington is a vacant lot which is also referred to as 117 W. Washington . Value is based on most current Pima County Assessor's valuation	Vacant lot at 1 117 W. Washington Washington , Tucson, AZ
2	Schedule III	Property at 300 Washington is a residential rental property which is also referred to as 601 Washington . Value is based on most current Pima County Assessor's Valuation	Rental Property at 300 Washington , Tucson, AZ
3	Schedule III	Powershares renamed their Exchange Traded FD Dynamic Large Cap Portfolio. It is now known as Powershares Fundamental Pure. No purchase or sale of asset involved but different name between 2010 Report and Termination Report	Powershares Fundamental Pure
4	Schedule III	Powershares renamed their Exchange Traded FD TR Intl Divid Achievers. It is now known as Powershares Inter DVD Achievers. No purchase or sale of asset involved but different name between 2010 Report and Termination report.	Powershares Inter DVD Achievers
5	Schedule III & IV	Purchased additional shares of IShares Barclay in 2011 to increase position reported in 2010	Schedules III/IV - IShares Barclay partial purchase
6	Schedule VIII	Position reported in prior years as Manager in Giffords Mgmt Group ended in 2010.	Schedule VIII - Reportable Positions

SCHEDULE IX - AGREEMENTS

Name Gabrielle Giffords

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
December 2005	Arizona State Retirement	AZ State Retirement (Elected Officials)
December 2005	Arizona State Retirement	AZ State Retirement

SCHEDULE VI - GIFTS

Name Gabrielle Giffords

Report the source, a brief description, and the value of all gifts totaling more than \$335 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Tilman Feritta, Houston Texas	Flight to Tucson to visit parnters for Father's Day 2011	\$1,500