



Filing ID #10015581

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Xavier Becerra
Status: Former Member
State/District: CA34

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2014
Filing Date: 05/3/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1005 Mooney Drive, Monterey Park, CA	JT	\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Monterey Park, CA, US					
101 North Carolina Place, SE, Apt. H, Washington, DC	JT	\$100,001 - \$250,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Washington, DC, US					
1633 Hill Drive, Los Angeles, CA	JT	\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Los Angeles, CA, US					
American Funds Money Fund - 529	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 529 College Savings Plan					
American Funds New Perspective (NPFFX)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
American Funds New Perspective (NPFFX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Capital World Bond Fund	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: 529 College Savings Plan					
Capital World Growth & Income	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Capital World Growth & Income	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Chase - Checking	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Congressional Federal Credit Union - Checking & Savings	JT	\$15,001 - \$50,000	Interest	None	<input type="checkbox"/>
Fundamental Investors - 529	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 529 College Savings Plan					
Growth Fund of America Class F	DC	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Growth Fund of America Class F	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Health Care Reit Inc.	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Health Care Reit Inc.	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Income Fund of America - 529	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 529 College Savings Plan					
ING Corporate Leaders	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
COMMENTS: (Name changed to Voya Corporate Leaders Trust Fund)					
ING Corporate Leaders	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
COMMENTS: (Name changed to Voya Corporate Leaders Trust Fund)					
Invesco Van Kampen Amer (MSAVX)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Invesco Van Kampen Amer (MSAVX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Investment Company of America - 529	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 529 College Savings Plan					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Landmark's Apartment Trust	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Marsico 21st Century Fund	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Marsico 21st Century Fund	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
New Perspective Fund - 529	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 529 College Savings Plan					
Oakmark Global Fund INV	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Oakmark Global Fund INV	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Rainier Small / Mid Cap	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Rainier Small / Mid Cap	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Schwab Money Fund	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Schwab Money Market Fund	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Small Cap World Fund - 529	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 529 College Savings Plan					
The Bond Fund of America - 529	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 529 College Savings Plan					
Washington Mutual Investor Fund	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Washington Mutual Investor Fund	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Barclays Bank	DC	01/13/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Franklin Mutual Discovery A	DC	07/2/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Franklin Mutual Discovery A	JT	07/1/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Howard County - Municipal Bond	JT	01/13/2014	S	\$15,001 - \$50,000	<input type="checkbox"/>
HSBC - Bond	DC	12/15/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Huntington National Bank - Bond	SP	01/15/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
JP Morgan Chase - Bond	DC	06/1/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
JP Morgan Chase - Bond		01/24/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch - Bond	DC	02/3/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Bond	SP	01/24/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Oakmark Global Fund INV	JT	12/18/2014	P	\$1,001 - \$15,000	
Oakmark Global Fund INV	DC	12/18/2014	P	\$1,001 - \$15,000	
Protective Life - Bond	DC	11/1/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Virginia Hospital Center	Spouse Salary	N/A
Providence Health & Services	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	OCWAN	June 2005	Mortgage on 101 North Carolina Place, SE, Apt. H, Washington, DC	\$50,001 - \$100,000
JT	Green Tree	July 2003	Mortgage on 1633 Hill Drive, Los Angeles, CA	\$100,001 - \$250,000
JT	Sun Trust Mortgage	August 2009	Mortgage on 5406 Center Street, Chevy Chase, MD	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board of Advisors	Close Up Foundation
Advisory Council Member	Congressional Hispanic Caucus Institute
Regent	Smithsonian Institution
Board Member	Smithsonian Institution National Latino Board
Board Member	Center for the Advancement of Hispanics in Science and Engineering Education
Member	Inter-American Dialogue
Museum Council Member	Smithsonian National Museum of African American History and Culture

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☒ Yes ☐ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Xavier Becerra , 05/3/2017