SCHEDULE I — EARNED INCOME (INCLUDING HONORARIA)

Name BETO O' ROURKE Page 2 of 18

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

| | 2 | | |
|-------------------------------------------------------|----------------|------------------------|----------------|
| Source (include date of receipt for honoraria) | Type | Amount | unt |
| Comments and or receipt on incinemaly | •) ~ | Current Year to Filing | Preceding Year |
| XYZ Corporation, Houston, TX | Salary | \$6,300 | \$28,450 |
| | Director's Fee | \$400 | \$3,200 |
| XYZ Trade Association, Chicago, IL (Rec'd December 2) | Honorarium | 0 | \$1,000 |
| Harris County, Texas Public Schools | Spouse Salary | NA | NA |
| STANTON STREET TECHNOLOGY GROWP | salary | 23,750 | 26,336.83 |
| CITY OF EL PASO, TEXAS | Salary | 0 | 17, 458.63 |
| LA FE PREPARATORY SCHOOL | SPOUSE SALARY | N A | ZA |
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| FIRELIT | Fideut | Fidely tu | Imperial | Imperia | Structon | L | DC, Examples: | 6 | For an ownership that is not publicly has is not publicly ness, the nature occasion in Block A. Iccasion in Block A. Exclude: Your per thornes and vaccation in Block A. Iccasion during the ing \$5,000 or less accounts; and any derived from, a few from the Thrift Savings F. If you so choose, If you so choose is an child (DC) or is the optional column for a optional column f | provide e complete address. | rental or oth | Asset and/or It identify (a) each asset held in of income with a fair market the end of the reporting proporticle asset or sources more than \$200 in "unearne Provide complete names of (do not use ticker symbols). (do not use ticker symbols). For all IRAs and other retire plans) that are self-directed plans) that are self-directed plans in the account that exceed for retirement accounts we provide only the name of | |
| 1040- | Ty Adv Freedom | M TRA | I forms Apurtments | al Arms LLC | n Street Tech broup | 1st Bank of Paducah, KY accounts | Simon & Schuster | SP Mega Corp. Stock | For an ownership interest in a privitately-heid business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounter; and any financial interest in, or income derived from, a federal refirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule II requirements, please refer to the instruction booklet. | e acuress. | account and its value at the end of the reporting period. For rental or other real property held for investment, | Asset and/or income Source identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "uneerned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols). For all IPAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you plans) that are self-directed (i.e., plans in select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the | 3 25 > |
| | | | | ļ | | | | | None | | > | 4588 = 3369 = X | |
| | ďΣ | | ļ | ╀ | | ₽ | _ | | \$1 \$1,000 | | Œ | | |
| | 20 | ļ | | | | ! | ᇫ | | \$1,001 - \$15,000 | | ဂ | | |
| \vdash | | | | - | | ┞- | Indefinite | | \$15,001 - \$50,000 | | | the late wall wall wall wall wall wall wall wal | |
| \vdash | A Fact | | } - | - | - | L | 8 | × | \$50,001 - \$100,000 | | <u>m</u> | Value of Asset at ting year. If you un method other the value, please speused. Let was sold during year and is inclusive ralue should the value of Asset the value of Asset the value should the value of Asset the | 2 |
| | * | | | | ┰ | × | | | \$100,001 - \$250,000 | | | ue of Ass year. If y sthod oth sthod oth splease d. vas sold of ar and is ar and is ar and is | 3 |
| \vdash | - 2- | | ├ | | ^ | ┢ | \vdash | | \$250,001 ~ \$500,000 \$500,001 ~ \$1,000,000 | | o T | | , |
| | | | | \leftarrow | + | ┞ | ┝┤ | | A | | <u>-</u> | | |
| | 3 | | | +- | - | - | ┤┤ | - | \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 | | ۲. | | |
| | - 5 | | ╁ | + | | ╂╴ | | | \$25,000,001 - \$50,000,000 | | | | |
| | ╌Ŧ | | | ┼ | † | t | H | | Over \$50,000,000 | | | - | |
| | <u>-</u> | - | | V | | t | H | × | NONE | | | | - |
| | | | | +~ | \times | t | Н | | DIVIDENDS | | | Type of In Check all columns retirement accounts allow you to choose ments or that a deferred income (signals or IRAs), you "Tax-Deferred" column interest, and capital if reinvested, must as income. Check asset generated no the reporting period. | |
| | | | \forall | | | \overline{x} | П | | RENT | | | | |
| | | <u> </u> | t | | † | t | | | INTEREST | | | Type of History | |
| | | <u> </u> | | - | | | | | CAPITAL GAINS | | | | Q |
| | | | | | | | | | EXCEPTED/BLIND TRUST | | | | ζ |
| | | | | | | Г | | | TAX-DEFERRED | | | e of Inc. e of Inc. choose sp that ge that ge that ge that ge choose sp that ge that g | ŧ |
| | | | | | | | Royalties | | Other Type of Income (Specify: e.g., Partnership Income or Farm Income | ne) | | Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments. Of that generate tax-deferred income (such as 401(k) plans or IFAs), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period. | • |
| | | | | X | | L | | | None - | \exists | | | |
| | | | | ļ <u> </u> | 1 | _ | Ш | | \$1 \$200 = | _ | | 4 | |
| | | ļ | <u> </u> | | _ | 1 | Ш | Ц | \$201 - \$1,000 | | _ | 1 | |
| | | <u> </u> | | | 1 | _ | - | × | \$1,001 - \$2,500 | | Current Year | For the approximod | |
| | | | × | - | ↓ | × | × | | \$2,501 - \$5,000 | | 70 | of or of the | |
| <u> </u> | | ļ | | - | 1. | ┞ | ┥ | | \$5,001 - \$15,000 | | 크 | For assets for which Deferred" in Block C, "None" column. For all the category of incorrappropriate box below. and capital gains, eve be disclosed as incomincome was earned or a | |
| | | | <u> </u> | + | $ \times $ | - | H | | \$15,001 \$50,000 | | 8 | vas | |
| | | <u> </u> | | - | | ┞ | ┝╌┥ | | \$50,001 - \$100,000 | 4 | | | |
| | | | ├ | ┼ | | | ┥ | | | | | | |
| | | | | ┼ | 1 | ╂ | ┝╌┤ | | \$1,000,001 - \$5,000,000 > Over \$5,000,000 ≥ | 1 | | | 2 |
| | | | | X | + | ╀ | ┝╌┆ | - | | _ | | Amount of Income For assets for which you che Deferred" in Block C, you may "None" column. For all other asset the category of income by ch appropriate box below. Dividend and capital gains, even if reinve be disclosed as income. Check income was earned or generated. | 2 |
| | • | | | ╀╱- | - | | $\vdash \dashv$ | \dashv | None - | | | | ì |
| | | | ├ | + | | \vdash | ┥ | ᅱ | \$1 - \$200 = | | _ | Tage of the contract of the co | |
| ┞─┤ | | | ╂ | ┼ | | t | $oldsymbol{oldsymbol{H}}$ | 귀 | \$201 - \$1,000 = \$1,001 - \$2,500 = | | Preceding Year | Amount of Income For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. | |
| | | | $\frac{1}{\times}$ | | † | × | × | - | \$2,501 – \$5,000 | H | X | 75 5 5 C K | |
| | | | `` | | † | t^ | Ĥ | | | | <u>ă</u> | ă ă i și și e d | |
| | | | 1 | | \forall | t | H | | \$15,001 - \$50,000 | | ng | | |
| | | | | + | + | t | ┪ | \dashv | \$5,001 - \$15,000 \$ \$15,001 - \$50,000 \$ \$50,001 - \$100,000 \$ \$100,001 - \$1,000,000 \$ | 1 | ⋠ | 3 5 5 6 6 7 7 | |
| | • | <u> </u> | † | 1 | | 1 | | | \$100,001 - \$1,000,000 | | Ä | ł | |
| | | | t | 1 | 1 | 1 | | \dashv | \$1,000,001 - \$5,000,000 > | _ | • | | |
| | | <u> </u> | s | | | | | | | | | | |

Over \$5,000,000

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Beto O'Rourke PAGE 4 of 18

Statement Period: 07/01/12 to 09/30/12



STATEMENT

FMTC CUSTODIAN SIMPLE IRA FBO ROBERT OROURKE

Rep. Name: DUCHOUQUETTE Rep. Number: DM75331

Dealer Number: 833 Branch Number: 0125

| FIDELITY ADV FREEDOM 2040-0 | LA | Fu | nd# 1199 | Account # | | CUSIP # 31579 | 2747 |
|--------------------------------------------------------------|---------------------------|-----------------|---------------------------------------|------------------|----------------|-----------------|------------------|
| Transaction Description | Front-End Sales Charge | Confirm Date | Trade Date | Dollar Amount | Share Price | Share Amount | Share Balance |
| Beginning Balance | | | · · · · · · · · · · · · · · · · · · · | | | | 274.29 |
| Salary Reduction Contrib By Ck | 3.55% | 07/03/12 | 07/03/12 | \$ 37.50 | \$ 12.73 | 2.946 | 277.24 |
| Employer Contribution By Check | 3.55% | 07/03/12 | 07/03/12 | 37,50 | 12.73 | 2.946 | 280.18 |
| Salary Reduction Contrib By Ck | 3.52% | 08/27/12 | 08/27/12 | 37.50 | 13.05 | 2.874 | 283.062 |
| Employer Contribution By Check | 3.52% | 08/27/12 | 08/27/12 | 37.50 | 13.05 | 2.874 | 285.93 |
| Salary Reduction Contrib By Ck | 3.52% | 08/29/12 | 08/29/12 | 37.50 | 13.06 | 2.871 | 288.80 |
| Employer Contribution By Check | 3.52% | 08/29/12 | 06/29/12 | 37.50 | 13.06 | 2.871 | 291.67 |
| Salary Reduction Contrib By Ck | 3.49% | 09/18/12 | 09/18/12 | 37.50 | 13.50 | 2.778 | 294.45 |
| Employer Contribution By Check | 3.49% | 09/18/12 | 09/18/12 | 37.50 | 13.50 | 2.778 | 297.23 |
| Salary Reduction Contrib By Ck | 3.49% | 09/24/12 | 09/24/12 | 37.50 | 13.47 | 2.784 | 300.01 |
| Employer Contribution By Check | 3.49% | 09/24/12 | 09/24/12 | 37.50 | 13,47 | 2.784 | 302.80 |
| 2012 Retirement Custodial Fee | | 09/28/12 | 09/28/12 | (30.00) | 12.90 | (2.326) | 300.470 |
| Ending Balance | | | | • | | , , | 300.470 |
| FIDELITY ADV FREEDOM 2040-0 | LB | Fu | nd# 1201 | Account # 101 | ELOPPER. | CUSIP # 31579 | 2739 |
| Transaction | Front-End | Confirm | Trade | Dollar | Share | Share | Share |
| Description | Sales Charge | Date | Date | Amount | Price | Amount | Balance |
| Beginning Balance No Transaction Activity For This Period | | | ~··· | | | | 1,151.569 |
| Ending Balance | | | | | | | 1,151.56 |

Statement Period: 07/01/12 to 09/30/12



STATEMENT

#AV # 8 088105847 D FMTC CUSTODIAN SIMPLE IRA FAO ROBERT OROURKE

Account #4555666

TOWER SQUARE SECURITIES INC 419 EXECUTIVE CENTER BLVD EL PASO, TX 79902-1003

Rep. Name: DUCHOUQUETTE Rep. Number: DM75331 Dealer Number: 833 Branch Number: 0125

Plan Name: STANTON STREET TECHN

Plan Number: R7149



| Portfolio Value as of 06/30/12 | ė | 17,146.04 |
|--------------------------------|----|-----------|
| POLICIPO VAILLE AS OF UNSUFFE | ¥ | 17,140.04 |
| Additions | \$ | 375.00 |
| Withdrawals | \$ | 0.00 |
| Change in Investment Value | \$ | 1,026.09 |
| Ending Value as of 09/30/12 | \$ | 18,547.13 |

| | MOTUAL FUND | ACCOUNT SUMM | Litt | | | |
|--------------------------------|------------------|--------------|-------------|-------------|----------|--------|
| | | Market 1 | | Shares Held | NAV | % of |
| Fund Name | Fund - Account # | 06/30/12 | 09/30/12 | 09/30/12 | 09/30/12 | 2 Tota |
| FIDELITY ADV FREEDOM 2040-CL A | 1199 40534 | 3,327.21 | 3,876.14 | 300.478 | 12.90 | 20.8 |
| FIDELITY ADV FREEDOM 2040-CL 8 | 1201- | 13,818.83 | 14,670.99 | 1,151.569 | 12.74 | 79.2 |
| TOTAL | | \$17,146.04 | \$18,547.13 | | | 100.0 |

| | | | Short Term | Long Term | |
|--------------------------------|-------------------|----------|--------------|--------------|------|
| Fund Name | Fund - Account # | Dividend | Capital Gain | Capital Gain | Tota |
| IDELITY ADV PREEDOM 2040-CL A | 11004 | | | | |
| DIVIDEND OPTION : REINVEST | GRANGHI MERIOD | 0.00 | 0.00 | 0.00 | 0.00 |
| CAPITAL GAIN OPTION : REINVEST | YEAR TO DATE | 3.25 | 0.00 | 0.00 | 3.25 |
| TOTAL . | CURRENT PERIOD \$ | 0.00 | 0.00 | 0,00 | 0.0 |
| | YEAR TO DATE | 3.25 | 0.00 | 0.00 | 3.29 |

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PAGE 1 of 2

M # 092812 1300 088105847

Statement Period: 01/01/11 to 12/31/11



STATEMENT

FMTC CUSTODIAN SIMPLE IRA FBO ROBERT OROURKE

Rep. Name: DUCHOUQUETTE Rep. Number: DM75331

Dealer Number: 833 Branch Number: 0125

| FIDELITY ADV FREEDOM 2040-CL | A | Fu | nd# 1199 | Account # 📥 | | CUSIP # 31579 | 2747 |
|--------------------------------|---------------------------|-----------------|---------------|------------------|----------------|-----------------|-----------------|
| Transaction Description | Front-End Sales Charge | Confirm Date | Trade Date | Dollar Amount | Share Price | Share Amount | Share Balanc |
| Employer Contribution By Check | 3.52% | 08/22/11 | 08/22/11 | 37.50 | 11.65 | 3.219 | 149.39 |
| Salary Reduction Contrib By Ck | 3.52% | 09/06/11 | 09/06/11 | 37.50 | 11.90 | 3.151 | 152.54 |
| Employer Contribution By Check | 3.52% | 09/06/11 | 09/06/11 | 37.50 | 11.90 | 3.151 | 155.69 |
| Salary Reduction Contrib By Ck | 3.52% | 09/20/11 | 09/20/11 | 37.50 | 11.97 | 3.133 | 158.82 |
| Employer Contribution By Check | 3.52% | 09/20/11 | 09/20/11 | 37.50 | 11.97 | 3.133 | 161.95 |
| 2011 Retirement Custodial Fee | | 09/30/11 | 09/30/11 | (30.00) | 10.90 | (2.752) | 159.20 |
| Salary Reduction Contrib By Ck | 3.55% | 10/03/11 | 10/03/11 | 37.50 | 11.02 | 3.403 | 162.61 |
| Employer Contribution By Check | 3.55% | 10/03/11 | 10/03/11 | 37.50 | 11.02 | 3.403 | 166,01 |
| Salary Reduction Contrib By Ck | 3.52% | 10/24/11 | 10/24/11 | 37.50 | 12.26 | 3.059 | 169.07 |
| Employer Contribution By Check | 3.52% | 10/24/11 | 10/24/11 | 37.50 | 12.26 | 3.059 | 172.13 |
| Salary Reduction Contrib By Ck | 3.47% | 11/03/11 | 11/03/11 | 37.50 | 12.38 | 3.029 | 175,16 |
| Employer Contribution By Check | 3.47% | 11/03/11 | 11/03/11 | 37.50 | 12.38 | 3.029 | 178.18 |
| Salary Reduction Contrib By Ck | 3.47% | 11/16/11 | 11/16/11 | 37.50 | 12.12 | 3.094 | 181.28 |
| Employer Contribution By Check | 3.47% | 11/16/11 | 11/16/11 | 37.50 | 12.12 | 3.094 | 184.37 |
| Salary Reduction Contrib By Ck | 3.52% | 12/05/11 | 12/05/11 | 37.50 | 12.26 | 3,059 | 187.43 |
| Employer Contribution By Check | 3.52% | 12/05/11 | 12/05/11 | 37.50 | 12.26 | 3.059 | 190.49 |
| Salary Reduction Contrib By Ck | 3.49% | 12/19/11 | 12/19/11 | 37.50 | 11.78 | 3.189 | 193.68 |
| Employer Contribution By Check | 3.49% | 12/19/11 | 12/19/11 | 37.50 | 11.76 | 3.189 | 196.87 |
| Salary Reduction Contrib By Ck | 3.47% | 12/29/11 | 12/29/11 | 37.50 | 11.83 | 3,170 | 200.04 |
| Employer Contribution By Check | 3.47% | 12/29/11 | 12/29/11 | 37.50 | 11.83 | 3.170 | 203.21 |
| Reinvested Dividend | | 12/29/11 | 12/29/11 | 29.33 | 11,42 | 2.568 | 205.78 |
| St Capital Gain Reinvest | | 12/29/11 | 12/29/11 | 35.04 | 11.42 | 3.068 | 208.84 |
| Ending Balance | | | | | | | 208.84 |
| FIDELITY ADV FREEDOM 2040-CL | В | Fu | nd# 1201 | Account # | | CUSIP# | |
| Transaction | Front-End | Confirm | Trade | Dollar | Share | Share | Share |
| Description | Sales Charge | Date | Date | Amount | Price | Amount | Balanc |
| Beginning Salance | | | TT********** | | | | 1,125.06 |
| St Capital Gain Reinvest | | 05/06/11 | 05/06/11 | \$ 21.38 | \$ 12.86 | 1.663 | 1,126.73 |
| Reinvested Dividend | | 12/29/11 | 12/29/11 | 81.12 | 11.34 | 7.153 | 1,133.88 |
| St Capital Gain Reinvest | • | 12/29/11 | 12/29/11 | 200.56 | 11.34 | 17.686 | 1,151.56 |
| Ending Balance | | | | | | | 1,151.56 |

Statement Period: 01/01/11 to 12/31/11



STATEMENT

FMTC CUSTODIAN SIMPLE IRA FBO ROBERT OROURKE

Rep. Name: DUCHOUQUETTE Rep. Number: DM75331

Dealer Number: 833 Branch Number: 0125

| FIDELITY ADV FREEDOM 204 | | | nd# 1199 | Account # 🛻 | | CUSIP # 3 | |
|--------------------------------|---------------------------|-----------------|---------------|------------------|----------------|-----------------|------------------|
| Fransaction Description | Front-End Sales Charge | Confirm Date | Trade Date | Dollar Amount | Share Price | Share Amount | Share Balance |
| Beginning Salance | | | | | | | 55.41 |
| Salary Reduction Contrib By Ck | 3.49% | 01/05/11 | 01/05/11 | \$ 52.50 | \$ 12.93 | 4.060 | 59.47 |
| Employer Contribution By Check | 3.49% | 01/05/11 | 01/05/11 | 52.50 | 12.93 | 4.060 | 63.53 |
| Salary Reduction Contrib By Ck | 3.52% | 01/19/11 | 01/19/11 | 37.50 | 13.03 | 2.878 | 66,41 |
| Employer Contribution By Check | 3.52% | 01/19/11 | 01/19/11 | 37.50 | 13.03 | 2.878 | 69.29 |
| Salary Reduction Contrib By Ck | 3.47% | 02/10/11 | 02/10/11 | 37.50 | 13,26 | 2.828 | 72.12 |
| Employer Contribution By Check | 3.47% | 02/10/11 | 02/10/11 | 37.50 | 13.26 | 2.828 | 74.95 |
| Salary Reduction Contrib By Ck | 3.49% | 03/11/11 | 03/11/11 | 37.50 | 13.17 | 2.847 | 77,79 |
| Employer Contribution By Check | 3.49% | 03/11/11 | 03/11/11 | 37.50 | 13.17 | 2.847 | 80.649 |
| Salary Reduction Contrib By Ck | 3.49% | 03/22/11 | 03/22/11 | 37.50 | 13.16 | 2.850 | 83.49 |
| Employer Contribution By Check | 3.49% | 03/22/11 | 03/22/11 | 37.50 | 13.16 | 2.850 | 86.34 |
| Salary Reduction Contrib By Ck | 3.47% | 03/28/11 | 03/28/11 | 37.50 | 13.25 | 2.830 | 89.17 |
| Employer Contribution By Check | 3.47% | 03/28/11 | 03/28/11 | 37.50 | 13.25 | 2.830 | 92.00 |
| Salary Reduction Contrib By Ck | 3.55% | 04/08/11 | 04/08/11 | 37.50 | 13.58 | 2.761 | 94.76 |
| Employer Contribution By Check | 3.55% | 04/08/11 | 04/08/11 | 37.50 | 13.58 | 2.761 | 97.52 |
| Salary Reduction Contrib By Ck | 3.49% | 05/06/11 | 05/06/11 | 37.50 | 13.45 | 2.788 | 100,31 |
| Employer Contribution By Check | 3.49% | 05/06/11 | 05/06/11 | 37.50 | 13.45 | 2.788 | 103.10 |
| Reinvested Dividend | | 05/06/11 | 05/06/11 | 0.88 | 12.98 | 0.068 | 103.17 |
| St Capital Gain Reinvest | | 05/06/11 | 05/06/11 | 2.83 | 12.98 | 0.218 | 103.38 |
| Salary Reduction Contrib By Ck | 3.49% | 05/11/11 | 05/11/11 | 37.50 | 13.47 | 2.784 | 106.17 |
| Employer Contribution By Check | 3.49% | 05/11/11 | 05/11/11 | 37.50 | 13.47 | 2.784 | 108.95 |
| Salary Reduction Contrib By Ck | 3.49% | 06/07/11 | 08/07/11 | 37.50 | 13.17 | 2.847 | 111.80 |
| Employer Contribution By Check | 3.49% | 06/07/11 | 06/07/11 | 37.50 | 13,17 | 2.847 | 114.65 |
| Salary Reduction Contrib By Ck | 3.52% | 07/01/11 | 07/01/11 | 37.50 | 13.40 | 2.799 | 117,45 |
| Employer Contribution By Check | 3.52% | 07/01/11 | 07/01/11 | 37.50 | 13.40 | 2.799 | 120.24 |
| Salary Reduction Contrib By Ck | 3.47% | 07/19/11 | 07/19/11 | 37.50 | 13.28 | 2.824 | 123.07 |
| Employer Contribution By Check | 3.47% | 07/19/11 | 07/19/11 | 37.50 | 13.28 | 2.824 | 125,89 |
| Salary Reduction Contrib By Ck | 3.52% | 07/20/11 | 07/20/11 | 37.50 | 13.32 | 2.815 | 128.71 |
| Employer Contribution By Check | 3.52% | 07/20/11 | 07/20/11 | 37.50 | 13.32 | 2.815 | 131.52 |
| Salary Reduction Contrib By Ck | 3.49% | 07/25/11 | 07/25/11 | 37.50 | 13.44 | 2.790 | 134.31 |
| Employer Contribution By Check | 3.49% | 07/25/11 | 07/25/11 | 37.50 | 13.44 | 2.790 | 137.10 |
| Salary Reduction Contrib By Ck | 3.52% | 08/03/11 | 08/03/11 | 37.50 | 12.83 | 2.923 | 140.030 |
| Employer Contribution By Check | 3.52% | 06/03/11 | 08/03/11 | 37.50 | 12.83 | 2.923 | 142.953 |
| Salary Reduction Contrib By Ck | 3.52% | 08/22/11 | 08/22/11 | 37.50 | 11.65 | 3.219 | 146.172 |

Statement Period: 01/01/11 to 12/31/11

Your IRA Fair Market Value (FMV) is reported on this Fidelity Advisor Funds Customer Statement for the period 1/1/11-12/31/11. The 12/31/11 FMV will also be reported to the IRS. You will not receive an IRS Form 5498 in January. If you make or have made a contribution to your IRA, including a recharacterization or Roth IRA conversion contribution for tax year 2011, or a rollover contribution, you will receive IRS Form 5498 in May.

ENV #
FMTC CUSTODIAN SIMPLE IRA
FBO ROBERT OROURKE



STATEMENT

Account # #

TOWER SQUARE SECURITIES INC 419 EXECUTIVE CENTER BLVD EL PASO, TX 79902-1003

Rep. Name: DUCHOUQUETTE Dealer Number: 833
Rep. Number: DM75331 Branch Number: 0125

Plan Name: STANTON STREET TECHN

Plan Number: R7149



| Portfolio Value as of 12/31/10 | \$ 14,478.30 |
|--------------------------------|-----------------|
| Additions | \$ 1,905.00 |
| Withdrawals | \$ 0.00 |
| Change in investment Value | \$ (950.96) |
| Ending Value as of 12/31/11 | \$ 15,432,34 |

| Fund Name | Fund - Account # | 12/31/10 | 12/31/11 | 12/31/11 | 12/31/11 | |
|--------------------------------|------------------|-----------|-----------|-----------|----------|------|
| ROBLITY ADV FREEDOM 2040-CL A | 1199-01 | 684.96 | 2,385.06 | 208.849 | 11.42 | 15.4 |
| FIDELITY ADV FREEDOM 2040-CL B | 1201-0 | 13.793.32 | 13.047.28 | 1,151,569 | 11.33 | 84 |

| | | | Short Term | Long Term | |
|--------------------------------|-------------------|----------|--------------|--------------|--------|
| Fund Name | Fund - Account # | Dividend | Capital Gain | Capital Gain | Tota |
| IDELITY ADV FREEDOM 2040-CL A | 1199-0 | | | | |
| (XVIDEND OPTION : REINVEST | CURRENT PERIOD | 30.21 | 37.87 | 0.00 | 68.08 |
| CAPITAL GAIN OPTION : REINVEST | YEAR TO DATE | 30.21 | 37.87 | 0.00 | 68.08 |
| FIDELITY ADV FREEDOM 2040-CL B | 1201- | | | | |
| DIVIDEND OPTION : REINVEST | CURRENT PERIOD | 81.12 | 221.94 | 0.00 | 303.06 |
| CAPITAL GAIN OPTION : REINVEST | YEAR TO DATE | 81.12 | 221.94 | 0.00 | 303.06 |
| TOTAL | CURRENT PERIOD \$ | 111.33 | 259.81 | 0.00 | 371.14 |
| | YEAR TO DATE | 111.33 | 259.81 | 0.00 | 371.14 |

PAGE 1 of 3

M # 123011 1300 088055520

SCHEDULE II — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

8 Ξ 8 8 CAMPR Investment Put. CAHPR Partners Limited Movapan Stunley Asset and/or Income Source **BLOCK A** None 8 \$1 -- \$1,000 C \$1,001 - \$15,000 \$15,001 -- \$50,000 Q Value of Asset П \$50,001 - \$100,000 BLOCK B 71 \$100,001 - \$250,000 O \$250,001 -- \$500,000 I \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000 Over \$50,000,000 NONE DIVIDENDS RENT Type of Income K INTEREST < CAPITAL GAINS BLOCK C 2 **EXCEPTED/BLIND TRUST** TAX-DEFERRED 5 Other Type of Income (Specify: e.g., Partnership income or Farm Income) None \$1 - \$200 \$201 - \$1,000 **Current Year** \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 (\$15,001 - \$50,000 \$50,001 -- \$100,000 Amount of Income \$100,001 - \$1,000,000 \$1,000,001 -- \$5,000,000 BLOCK D Over \$5,000,000 None \$1 - \$200 \$201 -- \$1,000 **Preceding Year** \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 -- \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000

Over \$5,000,000

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O'ROURKE

MorganStanley SmithBarney

Tax Year 2011

ATTACHMENT

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ROBERT F O'ROURKE & Account Number: ■

Bet O'Kourke

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Acc +

Total Ordinary Dividends

1099-DIV DIVIDENDS & DISTRIBUTIONS

| CONTINUES ON NEXT BACE | 80.00 | \$0.00 | \$0.00 | \$15.98 | 172121 | 592805103 | METHOPOLITAN WST TOT HET BUM |
|------------------------|----------|----------------|----------|-----------|----------|-----------------------------------------|--------------------------------|
| | 40.00 | 90.00 | 90.00 | 10.21 | 11/30/11 | SOLCORZEC | HAN WST TOT HET BO |
| | 8 | 5000 | 5000 | 410.04 | | 500000000000000000000000000000000000000 | |
| | \$0.00 | \$0.00 | \$0.00 | \$13.06 | 10/31/11 | 592905103 | TAN WST TOT RET |
| | \$0.00 | \$0.00 | \$0.00 | \$12.82 | 09/30/11 | 592905103 | METROPOLITAN WST TOT RET BD M |
| | \$0.00 | \$0.00 | \$0.00 | \$13.47 | 08/31/11 | 592905103 | METROPOLITAN WST TOT RET BD M |
| | \$0.00 | \$0.00 | \$0.00 | \$13.23 | 07/29/11 | 592905103 | METROPOLITAN WST TOT RET BD M |
| | \$0.00 | \$0.00 | \$0.00 | \$11.33 | 06/30/11 | 592905103 | TOT RET |
| | \$0.00 | 50.00 | \$0.00 | \$12.47 | 05/31/11 | 592905103 | 걸 |
| | \$0.00 | \$0.00 | \$0.00 | \$11.70 | 04/29/11 | 592905103 | TAN WST TOT RET |
| | \$0.00 | \$0.00 | \$0.00 | \$12.18 | 03/31/11 | 592905103 | TOT RET |
| | \$0.00 | 50.00 | \$0.00 | \$10.32 | 02/28/11 | 592905103 | METROPOLITAN WST TOT RET BD M. |
| | \$0.00 | \$0.00 | \$0.00 | 512.14 | 01/31/11 | 592905103 | 1 3 |
| | \$0.00 | \$0.00 | \$6.63 | \$6.63 | 12/20/11 | 339128308 | JP MORGAN MID CAP VALUE A |
| | \$0.00 | \$0.00 | \$7.20 | \$7.20 | 06/30/11 | 4812A0284 | JP MORGAN INTREPID VALUE A |
| | \$0.00 | \$0.00 | \$12.73 | \$12.73 | 03/31/11 | 4812A0284 | JP MORGAN INTREPID VALUE A |
| | \$0.00 | \$0.00 | \$0.00 | \$0.23 | 12/30/11 | 00142W868 | INVESCO PREMIER PTF INSTL |
| | \$0.00 | \$0.00 | \$0.00 | \$0.17 | 11/30/11 | 00142W868 | INVESCO PREMIER PTF INSTL |
| VARIOUS | \$4.99 | \$0.00 | \$38.99 | \$38.99 | 12/09/11 | 008882102 | INVESCO INTL GROWTH A |
| | \$0.00 | \$0.00 | \$5.02 | \$5.02 | 12/09/11 | 00141T577 | INVESCO DEVELOPING MARKETS A |
| | \$0.00 | \$0.00 | \$0.00 | \$12.85 | 12/30/11 | 277907101 | E V INCOME FUND OF BOSTON A |
| | \$0.00 | \$0.00 | \$0.00 | \$12.60 | 11/30/11 | 277907101 | E V INCOME FUND OF BOSTON A |
| | \$0.00 | \$0.00 | \$0.00 | \$12.97 | 10/31/11 | 277807101 | E V INCOME FUND OF BOSTON A |
| | \$0.00 | \$0.00 | \$0.00 | \$12.35 | 09/30/11 | 277907101 | E V INCOME FUND OF BOSTON A |
| | \$0.00 | \$0.00 | \$0.00 | \$12.75 | 08/31/11 | 277907101 | E V INCOME FUND OF BOSTON A |
| | \$0.00 | \$0.00 | \$0.00 | \$13.02 | 07/29/11 | 277907101 | E V INCOME FUND OF BOSTON A |
| | \$0.00 | \$0.00 | \$0.00 | \$12.56 | 06/30/11 | 277907101 | E V INCOME FUND OF BOSTON A |
| | \$0.00 | \$0.00 | \$0.00 | \$12.75 | 05/31/11 | 277907101 | E V INCOME FUND OF BOSTON A |
| | \$0.00 | \$0.00 | \$0.00 | \$12.30 | 04/29/11 | 277907101 | E V INCOME FUND OF BOSTON A |
| | \$0.00 | \$0.00 | \$0.00 | \$11.90 | 03/31/11 | 277907101 | E V INCOME FUND OF BOSTON A |
| | \$0.00 | \$0.00 | \$0.00 | \$10.92 | 02/28/11 | 277907101 | E V INCOME FUND OF BOSTON A |
| | \$0.00 | \$0.00 | \$0.00 | \$12.07 | 01/31/11 | 277907101 | E V INCOME FUND OF BOSTON A |
| | \$0.00 | \$0.00 | \$7.17 | \$10.54 | 12/19/11 | 23338F820 | DWS DREMAN SMALL CAP VAL A |
| | \$0.00 | \$0.00 | \$0.79 | \$1.16 | 03/24/11 | 23338F820 | |
| | \$0.00 | \$0.00 | \$10.13 | \$10.13 | 12/22/11 | 246097109 | DELAWARE SMALL CAP VALUE A |
| | \$0.00 | \$0.00 | \$7.13 | \$11.22 | 12/12/11 | 091929778 | BLACKROCK US OPPORTUNITIES SVC |
| | \$0.00 | \$0.00 | \$17.83 | \$17.83 | 12/08/11 | 09251M108 | BLACKROCK EQUITY DIVIDEND A |
| | \$0.00 | \$0.00 | \$12.82 | \$12.82 | 10/20/11 | 09251M108 | BLACKROCK EQUITY DIVIDEND A |
| | \$0.00 | \$0.00 | \$13.98 | \$13.98 | 07/21/11 | 09251M108 | BLACKROCK EQUITY DIVIDEND A |
| | \$0.00 | \$0.00 | \$22.57 | \$22.57 | 12/20/11 | 399874403 | AMERICAN GR FD OF AMERICA F1 |
| VARIOUS | \$14.98 | \$0.00 | \$138.09 | \$139.05 | 12/22/11 | 018913103 | ALLIANCEBER INTL VALUE A |
| COUNTRY | TAX PAID | TAX WITHHELD | ENDS | DIVIDENDS | DATE | CUSIP | DESCRIPTION |
| | FOREIGN | FEDERAL INCOME | | ORDINARY | PAY | | |
| | | | | | | | |

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Tax Year 2011

Reto O'Kourke Paso 11 of 18
ROBERT F O'ROURKE & Account Number:

1099-DIV DIVIDENDS & DISTRIBUTIONS (continued)

NS (continued)

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| | \$0.00 | | | | | Total Federal income Tax Withheld 1099-DIV box 4 |
|----------------------|--------------|----------------|----------------------------|-------------|--------------------|--------------------------------------------------|
| \$0.00 | | | | | | Total Collectible 28% Gain 1099-DIV box 2d |
| | | \$0.00 | | | | Total Unrecaptured 1250 Gain 1099-DIV box 2b |
| | | | \$172.62 | | | Total Capital Gain Distributions 1099-DIV box 2a |
| \$0.00 | | \$0.00 | \$12.10 | 12/12/11 | 592905103 12/12/11 | METROPOLITAN WST TOT RET BD M |
| \$0.00 | \$0.00 | \$0.00 | \$12.98 | 12/09/11 | 00141T577 | INVESCO DEVELOPING MARKETS A |
| COLLECTIBLE 28% GAIN | TAX WITHHELD | UNRECAPTURED F | CAPITAL GAIN DISTRIBUTIONS | PAY DATE | CUSIP | DESCRIPTION |
| | | | | | - | Capital Gain Distributions (continued) |

1099-INT INTEREST INCOME

| Interest income | | | | | |
|--------------------------------------------------|-----------|-------------|--------|-----------------------------|---|
| DESCRIPTION | CUSIP | PAY DATE | AMOUNT | FEDERAL INCOME TAX WITHHELD | |
| MORGAN STANLEY BANK N.A. | 061870903 | 01/28/11 | \$0.18 | \$0.00 | |
| MORGAN STANLEY BANK N.A. | 061870903 | 02/25/11 | \$0.19 | \$0.00 | |
| MORGAN STANLEY BANK N.A. | 061870903 | 03/30/11 | \$0.22 | \$0.00 | |
| MORGAN STANLEY BANK N.A. | 061870903 | 04/28/11 | \$0.20 | \$0.00 | |
| MORGAN STANLEY BANK N.A. | 061870903 | 05/27/11 | \$0.17 | \$0.00 | |
| MORGAN STANLEY BANK N.A. | 061870903 | 06/29/11 | \$0.20 | \$0.00 | |
| MORGAN STANLEY BANK N.A. | 061870903 | 07/27/11 | \$0.16 | \$0.00 | |
| Total interest income 1099-INT box 1 | | | \$1.32 | | - |
| Total Federal Income Tax Withheld 1099-INT box 4 | | | | \$0.00 | |
| | | | | | |

The amount of tax-exempt interest paid to you in 2011 must be reported on the applicable Form 1040, U.S. Individual income Tax Return, for 2011. The amount of tax-exempt AMT interest paid to you in 2011 must be taken into account in computing the afternative minimum tax reported on Form 1040 for 2011.

035037 MSGBOA01 218958

Tax Year 2011

ROBERT F O'ROURKE & Account Number:

Beto O'Rourke Page 12 of 18

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ATTACHMENT 2 page 3 of 7

1099-DIV DIVIDENDS & DISTRIBUTIONS (continued)

Total Ordinary Dividends (continued)

| | \$19.97 | | | | | | Total Foreign Tax Paid 1099-DIV box 6 |
|---------|----------|----------------|-----------|------------|----------|-----------|-------------------------------------------|
| | | | \$306.88 | | | | Total Qualified Dividends 1099-DIV box 1b |
| | | | | \$1,016.74 | | | Total Ordinary Dividends 1099-DIV box 1a |
| | \$0.00 | \$0.00 | \$0.00 | \$35.26 | 12/30/11 | 92828R644 | VIRTUS MULTI SECT SHT TRM 8D A |
| | \$0.00 | \$ 0.00 | \$0.00 | \$33.00 | 11/30/11 | 92828R644 | VIRTUS MULTI SECT SHT TRM BD A |
| | \$0.00 | \$0.00 | \$0.00 | \$30.74 | 10/31/11 | 92828R644 | VIRTUS MULTI SECT SHT TRM BD A |
| | \$0.00 | \$0.00 | \$0.00 | \$34.81 | 09/30/11 | 92828R644 | VIRTUS MULTI SECT SHT TRM BD A |
| | \$0.00 | \$0.00 | \$0.00 | \$32.54 | 08/31/11 | 92828R644 | VIRTUS MULTI SECT SHT TRM BD A |
| | \$0.00 | \$0.00 | \$0.00 | \$31.94 | 07/29/11 | 92828R644 | VIRTUS MULTI SECT SHT TRM BD A |
| | \$0.00 | \$0.00 | \$0.00 | \$31.52 | 06/30/11 | 92828R644 | VIRTUS MULTI SECT SHT TRM BD A |
| | \$0.00 | \$0.00 | \$0.00 | \$30.25 | 05/31/11 | 92828R644 | VIRTUS MULTI SECT SHT TRM BD A |
| | \$0.00 | \$0.00 | \$0.00 | \$32.24 | 04/29/11 | 92828R644 | |
| | \$0.00 | \$0.00 | \$0.00 | \$31.00 | 03/31/11 | 92828R644 | VIRTUS MULTI SECT SHT TRM BD A |
| | \$0.00 | \$0.00 | \$0.00 | \$30.24 | 02/28/11 | 92828R644 | VIRTUS MULTI SECT SHT TRM BD A |
| | \$0.00 | \$0.00 | \$0.00 | \$27.84 | 01/31/11 | 92828R644 | VIRTUS MULTI SECT SHT TRM BD A |
| | \$0.00 | \$0.00 | \$1.60 | \$3.51 | 12/16/11 | 744336108 | PRUDENTIAL GLB REAL ESTATE A |
| | \$0.00 | \$0.00 | \$1.68 | \$3.69 | 09/16/11 | 744336108 | PRUDENTIAL GLB REAL ESTATE A |
| | \$0.00 | \$0.00 | \$2.04 | \$4.47 | 06/17/11 | 744336108 | PRUDENTIAL GLB REAL ESTATE A |
| | \$0.00 | \$0.00 | \$0.48 | \$1.06 | 03/25/11 | 744336108 | PRUDENTIAL GLB REAL ESTATE A |
| | \$0.00 | \$0.00 | \$0.00 | \$12.31 | 12/30/11 | 592905103 | METROPOLITAN WST TOT RET BD M |
| COUNTRY | TAX PAID | TAX WITHHELD | DIVIDENDS | DIVIDENDS | DATE | aisno | DESCRIPTION |

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|------------------------|----------|--------------|-----------|---------------|----------|-----------|--------------------------------|
| | \$0.00 | \$0.00 | \$0.00 | \$9.38 | 12/19/11 | 23338F820 | DWS DREMAN SMALL CAP VAL A |
| | \$0.00 | \$0.00 | \$0.00 | \$40.28 | 12/22/11 | 248097109 | DELAWARE SMALL CAP VALUE A |
| | \$0.00 | \$0.00 | \$0.00 | \$96.60 | 12/12/11 | 091929778 | BLACKROCK US OPPORTUNITIES SVC |
| | \$0.00 | \$0.00 | \$0.00 | \$1.28 | 12/08/11 | 09251M108 | BLACKROCK EQUITY DIVIDEND A |
| | 28% GAIN | TAX WITHHELD | 1250 GAIN | DISTRIBUTIONS | DATE | CUSIP | DESCRIPTION |
| | | | j | | ! | | Capital Galli Distributions |

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Holdings

Filter: TRAK Fund Solution - 9974

All Asset Classes, Ungrouped

TRAK Fund Solution - 9974 - ACCT 1

Market Value²: \$39,652.19 -

| Name/Symbol | Price | Quantity | Adjusted Cost/ Total Purchases ¹ | Market Value | Accrued Interest | Gain/Loss/ Net Value Increase/Decrease ¹ | Gain Loss % | % of Portfolio |
|------------------------------------------------|--------------------------------|--------------|------------------------------------------------|-----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|-------------|-------------------|
| AMERICAN GR FD OF | | | | | | | | |
| AMERICA F1 | 10/12/2012 \$33.390 | 108,595 | \$3,077.26 | \$3,625.99 | | +\$548.73 | +17.83% | 9.14 |
| | #30.3 5 0 | 105.550 | \$2,996.38 | - | _ | +\$629.61 | +17.0370 | 9.14 |
| [GFAFX] | | | | | | | | |
| BANK DEPOSIT | | | | | | | | |
| PROGRAM MORGAN STANLEY BANK N.A. | - | _ | - | \$3.23 |) - | - |) - | 0.0 |
| O'MINEE! DAING NEA. | - | | | | | | | |
| BLACKROCK EQUITY | | | | | | | | |
| DIVIDEND A | 10/12/2012 \$2 0.020 | 176.451 | \$3,287.88 | \$3,532.55 | _ | +\$244.67 | +7.44% | 8.9 |
| I ADDI AG | - | 170,401 | \$3,210.61 | - | _ | +\$321.94 | T1.777 | 0.5 |
| [MDOVX] | | | | | | | | ļ |
| BLACKROCK US OPPORTUNITIES SVC | 10/12/2012 | | \$1,073.60 | 04 457 50 | | , e o o o o | | |
| OPPORTUNITES SVC | \$34.240 | 33.808 | \$953.09 | \$1,157.59 - | - | +\$83.99 +\$204.50 | +7.82% | 2.9: |
| [BMCSX] | _ | | | | | | | |
| Cash | | - | | -\$149.56 | | _ | | |
| | - | _ | - | - | - | _ | - | -0.30 |
| DELAWARE SMALL CAP | | | | | | | | |
| VALUE A | 10/12/2012 | 29,302 | \$948.85 | \$1,147.47 | 1 | +\$198.62 | .00.000/ | |
| | \$39.160 — | 29.302 | \$898.44 | ~ | _ | +\$249.03 | +20.93% | 2.8 |
| [DEA/7] | | | | | | | | <u> </u> |
| DWS DREMAN SMALL | 10/12/2012 | | | | | | | |
| CAP VAL A | \$35.680 | 32.313 | \$967.85 \$923.19 | \$1,152.93 | - | +\$185.08 +\$229.74 | +19.12% | 2.9 |
| [KDSAX] | - | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | | , , , , , , , , , , , , , , , , , , , | | |
| E V INCOME FUND OF | | | | <u> </u> | | | | |
| BOSTON A | 10/12/2012 \$5.920 | 399.053 | \$2,005.78 | \$2,362.39 | - | +\$356.61 | +17.78% | 5.9 |
| | 40.320 | 333.003 | \$1,397.42 | - | _ | +\$964.97 | Ŧ11.1070 | 3.5 |
| [EVBX] | | | | | <u> </u> | | | |
| INVESCO DEVELOPING MARKETS A | 10/12/2012 | | 2504.00 | 4005 50 | | 2044.07 | | - |
| MARKE 15 A | \$32.820 | 24.544 | \$594.26 \$551.85 | \$805.53 | - | +\$211.27 +\$253.68 | +35.55% | 2.0 |
| [GTDDX] | - | | | | | | | |
| INVESCO INTL GROWTH | 40400040 | | | | | | | |
| A | 10/12/2012 \$27.970 | 114.423 | \$2,929.96 | \$3,200.41 | _ | +\$270.45 | +9,23% | 8,0 |
| [AIEX] | - | | \$2,821.05 | _ | | +\$379.36 | | |
| | | | | | | | | <u> </u> |
| INVESCO PREMIER INST | 10/12/2012 \$1.000 | 2,004.840 | N/A | \$2,004.84 | _ | N/A | N/A | 5.00 |
| [IPPXX] | - | , | | - | | | · · · · | |
| JP MORGAN HIBRG STAT | | | | | | | | |
| MKT NEU A | 10/12/2012 \$14.730 | 136.049 | \$2,115.00 | \$2,004.00 | _ | -\$111.00 | -5.25% | 5.00 |
| THE LANG | - | 100.07.0 | \$2,115.00 | _ | - The state of the | -\$111.00 | 0.20% | 0.5 |
| [HSKAX] | | | | | | | | ļ |
| JP MORGAN MID CAP VALUE A | 10/12/2012 | 1 | | | | | | |
| TALVE A | \$27.290 | 42.269 | \$868.35 \$823.50 | \$1,153.52 - | _ | +\$285.17 +\$330.02 | +32.84% | 2.9 |
| [JAMCX] | - | | | | | .,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | |
| METRODOL ITALI MOT | <u></u> - | | | | | | | |
| METROPOLITAN W8T s://www.morganstanlevclier | | • | ł | ţ | | 1 | 1 | l |

| | | Totals: | \$33,547.41* | \$39,652.19 | - | +\$4,246.27* | +\$12.66%* | 100.00 |
|-----------------------------------|------------------------|-----------|--------------------------|-----------------|-------|----------------------------|------------|--------|
| [NARAX] | - | | | | | · | | |
| VIRTUS MULTI SECT SHT TRM BD A | 10/12/2012 \$4.940 | 1,957.556 | \$8,616.68 \$7,232.32 | \$9,670.33 - | _ | +\$1,053.65 +\$2,438.01 | +12.23% | 24.39 |
| [TGVAX] | | | | | | | | |
| THORNBURG INTL VALUE A | 10/12/2012 \$26.150 | 121.189 | \$2,950.09 \$2,923.02 | \$3,169.09 | - | +\$219.00 +\$246.07 | +7.42% | 7.99 |
| [PURAX] | | | | | | | | |
| PRUDENTIAL GLB REAL ESTATE A | 10/12/2012 \$20.940 | 36.770 | \$578.22 \$517.18 | \$769.96 | - | +\$191.74 +\$252.78 | +33.16% | 1.94 |
| [MWTRX] | - | | \$2,642.00 | - | | +\$1,199.92 | | |
| TOT RET BD M | 10/12/2012 \$11,060 | 365.454 | \$3,533.63 \$2,842.00 | \$4,041.92 | - | +\$508.29 | +14.38% | 10.19 |
| 15/12 beto O'Rour | Ke Page | 14 - 4 1 | Noldings | | ATT 2 | - page s of | 7] | |

Short trade date balance:

Cash account balance:

-\$146.33

Accrued Interest balance:

*Account contains securities for which cost basis and/or other values are not available (indicated by a "N/A" displaying in the cost and/or gain (loss) fields). Totals shown do not incorporate gains (or losses) for these securities. In addition, total cost or adjusted cost may also be unavailable and excluded from totals. Please contact your Financial Advisor for additional information. The accuracy of the purchase date, cost basis and other information you provide is not independently verified.

Current prices are available for Stocks and Options only. All other current pricing reflects the most recently available price for that security. For example, Mutual Fund current prices are the most recent business day's Net Asset Value.

Previous Close Values on this page reflect the closing prices of Friday, October 12, 2012

TLGP Debt: Bonds issued under the FDIC's Temporary Liquidity Guarantee Program are backed by the full faith and credit of the United States through the earlier of the maturity date of the debt or June 30, 2012 for securities issued prior to April 1, 2009, and the earlier of the maturity date of the debt or December 31, 2012 for securities issued on or after April 1, 2009. For more information visit www.fdic.gov/tlgp. The FDIC guarantee refers to the timely payment of interest and principal only. Guarantees do not eliminate market risk. Not all senior unsecured debt issued within the program time frame by eligible participants will qualify for the FDIC guarantee.

Important Notice Regarding Pricing of Auction Rate Securities

The following message applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you.

Due to recent market conditions, certain Auction Rate Securities are experiencing no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown on ClientServ may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction. There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security. The prices of any Auction Rate Securities on ClientServ are derived from various sources, and may differ from prices provided to Morgan Stanley Smith Barney by outside pricing services and/or from Morgan Stanley Smith Barney's own internal bookkeeping valuations. Please contact your Financial Advisor for more information about current conditions in the Auction Rate Securities market.

FDIC insurance

Detailed information on federal deposit insurance coverage can be found on the FDIC's website at: www.fdic.gov. For more information about the Bank Deposit Program, including Deposit Limits, please contact your Financial Advisor or review the Bank Deposit Disclosure Statement.

If you hold structured products, please refer to the Special Considerations Regarding Structured Products.

² This "Market Value" summary field includes accrued interest. If you have securities with accrued interest, the value represented here will be different from the Market Value displayed in other places within this site.

MorganStanley SmithBarney

Tax Year 2011

ATTACHMENT 2-

PAGE

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ROBERT F O'ROURKE & Account Number:

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2nd Acct

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1099-DIV DIVIDENDS & DISTRIBUTIONS

Total Ordinary Dividends

| Total Federal Income Tax Withheld 1099-DIV box 4 | Total Qualified Dividends 1099-DIV box 1b | Total Ordinary Dividends 1099-DIV box 1a | THL CREDIT INC | THL CREDIT INC | THL CREDIT INC | DESCRIPTION |
|--------------------------------------------------|-------------------------------------------|------------------------------------------|-------------------|----------------|----------------|-----------------------|
| ×4 | | | 872438106 09/30/1 | 872438106 | 872438106 | CUSIP |
| | | | 09/30/11 | 06/30/11 | 03/31/11 | PAY DATE |
| | | \$148.00 | \$52.00 | \$50.00 | \$46.00 | ORDINARY DIVIDENDS |
| | \$2.02 | | \$0.71 | \$0.68 | \$0.63 | QUALIFIED FEI |
| \$0.00 | | | \$9.00 | \$0.00 | \$0.00 | IFIED FEDERAL INCOME |
| | | | CF | CR | CF | NOTES |

CR Subsequent to the mailing of your 1099 Consolidated Tax Statement, the issuer of this security has changed the tax reporting status of the distribution.

1099-INT INTEREST INCOME

Interest income

| DESCRIPTION | CUSIP | PAY DATE | AMOUNT | FEDERAL INCOME | |
|--------------------------------------------------|-----------|-------------|--------|----------------|--|
| MORGAN STANLEY BANK N.A. | 061870903 | 01/28/11 | \$0.24 | \$0.00 | |
| MORGAN STANLEY BANK N.A. | 061870903 | 02/25/11 | \$0.24 | \$0.00 | |
| MORGAN STANLEY BANK N.A. | 061870903 | 03/30/11 | \$0.27 | \$0.00 | |
| MORGAN STANLEY BANK N.A. | 061870903 | 04/28/11 | \$0.25 | \$0.00 | |
| MORGAN STANLEY BANK N.A. | 061870903 | 05/27/11 | \$0.23 | \$0.00 | |
| MORGAN STANLEY BANK N.A. | 061870903 | 06/29/11 | \$0.27 | \$0.00 | |
| MORGAN STANLEY BANK N.A. | 061870903 | 07/28/11 | \$0.24 | \$0.00 | |
| MORGAN STANLEY BANK N.A. | 061870903 | 08/30/11 | \$0.27 | \$0.00 | |
| MORGAN STANLEY BANK N.A. | 061870903 | 09/29/11 | \$0.06 | \$0.00 | |
| MORGAN STANLEY PRIVATE BANK NA | 061871976 | 09/29/11 | \$0.19 | \$0.00 | |
| MORGAN STANLEY PRIVATE BANK NA | 061871976 | 10/28/11 | \$0.24 | \$0.00 | |
| MORGAN STANLEY PRIVATE BANK NA | 061871976 | 11/29/11 | \$0.34 | \$0.00 | |
| MORGAN STANLEY PRIVATE BANK NA | 061871976 | 12/29/11 | \$0.31 | \$0.00 | |
| Total Interest Income 1099-INT box 1 | | | \$3.15 | | |
| Total Federal Income Tax Withheld 1099-INT box 4 | | | | \$0.00 | |

The amount of tax-exempt interest paid to you in 2011 must be reported on the applicable Form 1040, U.S. Individual Income Tax Return, for 2011. The amount of tax-exempt AMT interest paid to you in 2011 must be taken into account in computing the alternative minimum tax reported on Form 1040 for 2011.

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Holdings

Filter: AAA - 4830

All Asset Classes, Ungrouped

AAA - 4830 - A-LLT 2

Market Value²: \$48,620.06 -3.30

Gain / Loss: -\$11.40

| Name/Symbol | Price | Quantity | Adjusted Cost | Market Value | Accrued interest | Gain/Loss | Gain Loss % | % of Portfolio |
|----------------------------------------------------------|-------------------------------|----------|---------------|----------------------|------------------|-----------|-------------|-------------------|
| BANK DEPOSIT PROGRAM MORGAN STANLEY PRIVATE BANK NA | | - | _ | \$48,331.24 - | 0.22 | - | - | 99.41 |
| MILLENNIAL MEDIA N/R [MM] | 3:40 PM \$15.910 -0.110 | 10.000 M | \$130.00 | \$159.10 -1.10 | | +\$29.10 | +22.38% | 0.33 |
| US SILICA HLDGS INC N/R [SLCA] | 3:40 PM \$12.950 -0.220 | 10.000 M | \$170.00 | \$129.50 -2.20 | | -\$40.50 | -23.82% | 0.2 |
| | ····· | Totels: | \$300.00 | \$48,619.84 -3.30 | | -\$11.40 | -\$3.80% | 100.0 |

Short trade date balance:

Cash account balance: \$48,331.24

Accrued Interest balance: \$0.22

Current prices are available for Stocks and Options only. All other current pricing reflects the most recently available price for that security. For example, Mutual Fund current prices are the most recent business day's Net Asset Value.

Previous Close Values on this page reflect the closing prices of Friday, October 12, 2012

TLGP Debt: Bonds issued under the FDIC's Temporary Liquidity Guarantee Program are backed by the full faith and credit of the United States through the earlier of the maturity date of the debt or June 30, 2012 for securities issued prior to April 1, 2009, and the earlier of the maturity date of the debt or December 31, 2012 for securities issued on or after April 1, 2009. For more information visit www.fdic.gov/tlgp. The FDIC guarantee refers to the timely payment of interest and principal only. Guarantees do not eliminate market risk. Not all senior unsecured debt issued within the program time frame by eligible participants will qualify for the FDIC guarantee.

Important Notice Regarding Pricing of Auction Rate Securities

The following message applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you.

Due to recent market conditions, certain Auction Rate Securities are experiencing no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown on ClientServ may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction. There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security. The prices of any Auction Rate Securities on ClientServ are derived from various sources, and may differ from prices provided to Morgan Stanley Smith Barney by outside pricing services and/or from Morgan Stanley Smith Barney's own internal bookkeeping valuations. Please contact your Financial Advisor for more information about current conditions in the Auction Rate Securities market.

² This "Market Value" summary field includes accrued interest. If you have securities with accrued interest, the value represented here will be different from the Market Value displayed in other places within this site.

SCHEDULE III — LIABILITIES

Name BETO O'KOURKE

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owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furni-Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount ling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000. ture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sib-

| | | | | Г | | |
|--|---|---------------------|-----------------------------|-----------------------------------------|-----------------------------------------|-----------|
| | | | | | 7, DC, | |
| | | Co. El Paso TX 79 | American Fidelity Assurance | Example: First Bank of Wilmington, DE | Creditor | |
| | | _ | July2012 | May 1998 | Date Liability Incurred mo/year | |
| | - | El Paso TX 79902 | Mortagae 1715-1717 | Mortgage on 123 Main Street, Dover, DE | Type of Liability | |
| | | | | | \$10,001— \$15,000 | |
| | | | | | \$15,001 \$50,000 W | |
| | | | | L | \$50,001 \$100,000 | |
| | | | | × | \$100,001— \$250,000 | Amo |
| | | | | | \$250,001 \$500,000 m | Amount of |
| | | | | | \$500,001— \$1,000,000 | Liability |
| | | | <u> </u> | | \$1,000,001— \$6,000,000 — | ₹ |
| | | | | L | \$25,000,000 I \$25,000,001—_ | |
| | | | | | \$50,000,000 TO | |
| | | L | | | \$50,000,000 | |

SCHEDULE IV — POSITIONS

cer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an offi-

and positions solely of an honorary nature Exclude: Positions listed on Schedule 1; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization);

| and position origin or an incriminal industries | TAKEN VI |
|-------------------------------------------------|---------------------------------|
| Position | Name of Organization |
| DIRECTOR | STANTON STREET TECHNOLOGY GROUP |
| PARTNER | IMPERIAL ARMS LLC |
| LEPRESENTATIVE | DISPRICT 8, EL PASO CITY BUNCIL |
| | |
| | |

SCHEDULE V — AGREEMENTS

Name BETO O'ROURKE

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| efft plan maintained by a former employer. | service; continuation or deferral of payments by | identify the date, parties to, and general terms of a |
|--------------------------------------------|-------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|
| | service; continuation or deferral of payments by a former or current employer other than the U.S. Governmen | Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future empl |
| | nent; or continuing participation in an employee welfare or ben- | nployment; a leave of absence during the period of government |

| Date | Date Parties To | Terms of Agreement |
|------|-----------------|--------------------|
| | | |
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| | | |

SCHEDULE VI — COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat Information listed on Schedule I.

| | Source (Name and Address) Example: Doe Jones & Smith, Hometown, Homestate | Brief Description of Duties Accounting services |
|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------|
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