



Filing ID #10001415

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Mr. Gerald E. Connolly
Status: Member
State/District: VA11

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2013
Filing Date: 05/15/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bristol-Myers Squibb Company (BMY)	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Coca-Cola Company (KO)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Congressional Federal Credit Union Savings Account		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Dominion Resources, Inc. (D)	JT	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Express Scripts Holding Company (ESRX)	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Fairfax County Retirement Fund Employee Plan BG - not self-directed		\$50,001 - \$100,000	None		<input type="checkbox"/>
DESCRIPTION: Fairfax County Retirement Fund Employee Plan BG - not self-directed					
House	JT	\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: E. Falmouth, MA, US					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Leidos Holdings, Inc. (LDOS)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Company formerly was SAIC					
Leidos Holdings, INC. (LDOS) ESPP		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Company formerly was SAIC ESPP					
Merck & Company, Inc. Common Stock (MRK)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch IRA ⇒ AMERICAN CAPITAL WLD GRW & INC CL C	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch IRA ⇒ AMERICAN GROWTH FUND OF AMERICA CL C	SP	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Merrill Lynch IRA ⇒ BLACKROCK GLOBAL ALLOCATION FD INC C	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch IRA ⇒ CALAMOS GROWTH FUND CL C	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch IRA ⇒ EATON VANCE FLT-RT INC INCOME TRUST	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Merrill Lynch IRA ⇒ HOTCHKIS & WILEY LARGE CAP VALUE FUND CL C	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Merrill Lynch IRA ⇒ iShares Core S&P Small-Cap LCap 600 Index Fund	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch IRA ⇒ Ivy High Income Fund Cl C	SP	None	Capital Gains, Dividends, Sale of fund	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch IRA ⇒ Loomis Sayles Strategic Income Fund C	SP	None	Capital Gains, Dividends, Sale of fund	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch IRA ⇒ Vanguard REIT ETF	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Met Life Insurance Policy Cash Value		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Met Life Insurance Policy Cash Value		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Met Life Insurance Policy Cash Value	SP	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Motorola Solutions, Inc. (MSI)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
PNC Bank	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Procter & Gamble Company (PG)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Rockland Trust	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
SCIENCE APPLICATIONS INTERNATIONAL CORPORATION (SAIC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Some of this stock was renamed LEIDOS Holdings after SAIC changed its name and spun off as a separate company.					
Suntrust Mutual Fund		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
TIAA CREF - Self ⇒ CREF Equity Index		\$50,001 - \$100,000	None		<input type="checkbox"/>
TIAA CREF - Self ⇒ CREF Global Equities		\$15,001 - \$50,000	None		<input type="checkbox"/>
TIAA CREF - Self ⇒ CREF Growth		\$15,001 - \$50,000	None		<input type="checkbox"/>
TIAA CREF - Self ⇒ CREF Stock		\$250,001 - \$500,000	None		<input type="checkbox"/>
TIAA CREF - Self ⇒ TIAA Cref Traditional Retirement		\$100,001 - \$250,000	None		<input type="checkbox"/>
TIAA CREF - Self ⇒ Vanguard Target 2015 Inv		\$100,001 - \$250,000	transfer from Vanguard	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
DESCRIPTION: SRI moved its fund management from Vanguard to TIAA-CREF,					
TIAA CREF - Spouse ⇒ CREF Bond Market	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
TIAA CREF - Spouse ⇒	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CREF Equity Index					
TIAA CREF - Spouse ⇒ CREF Money Market	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
TIAA CREF - Spouse ⇒ CREF Social Choice	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
United Bank CDs	JT	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
US Senate Federak Credit Union IRA Account		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
US Senate Federal Credit Union Savings Accounts		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Vanguard Retirement Fund - Self ⇒ LEIDOS Closed Stock Fund		\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: This company was formerly SAIC					
Vanguard Retirement Fund - Self ⇒ LEIDOS Common Stock Fund		\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: This company was formerly SAIC					
Vanguard Retirement Fund - Self ⇒ SAIC Closed Stock Fund		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Company stock held in a retirement account and not traded publicly.					
Vanguard Retirement Fund - Self ⇒ SAIC Common Stock Fund		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Company stock held in a retirement account and not traded publicly.					
Vanguard Retirement Fund - Self ⇒ Vanguard Inflation Protected Securities SEP		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Vanguard Retirement Fund - Self ⇒ Vanguard Long-Term Treasury Inv Retirement Fund		None	Transferred to TIAA-CREF	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
DESCRIPTION: SRI moved its fund management from Vanguard to TIAA-CREF, thereby closing this account.					
Vanguard Retirement Fund - Self ⇒ Vanguard Target 2015 Inv		\$15,001 - \$50,000	Partial liquidation	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
DESCRIPTION: Fund is less than last year because SRI moved its portion of this fund from Vanguard to TIAA-CREF,					
Vanguard Retirement Fund - Self ⇒		\$100,001 -	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Total Bond Mkt Index Inst Retirement Fund		\$250,000			
Vanguard Retirement Fund - Self ⇒ Vanguard Windsor Fund Investor Retirement Fund		None	Sale and Transfer	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
DESCRIPTION: SRI moved its fund management from Vanguard to TIAA-CREF, thereby closing this account.					
Walt Disney Company (DIS)		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wells Fargo & Company (WFC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Advantage Utilities and High Income Fund (ERH)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Zimmer Holdings, Inc. (ZMH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Dominion Resources, Inc. (D)		Quarterly	P	\$1,001 - \$15,000	
Merrill Lynch IRA ⇒ Eaton Vance FLT-RT Inc Income Trust	SP	05/15/2013	P	\$1,001 - \$15,000	
Merrill Lynch IRA ⇒ Ivy High Income	SP	05/15/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch IRA ⇒ Loomis Sayles Strategic Income Fund	SP	05/15/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch IRA ⇒ Vanguard REIT ETF	SP	05/15/2013	P	\$1,001 - \$15,000	
TIAA CREF - Self ⇒ Vanguard Target 2015 Inv		12/9/2013	P	\$100,001 - \$250,000	
DESCRIPTION: SRI moved this fund from Vanguard to TIAA-CREF,					
Vanguard Retirement Fund - Self ⇒ Vanguard Target 2015 Inv		12/9/2013	S	\$100,001 - \$250,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: SRI moved its portion of this fund from Vanguard to TIAA-CREF,					
Vanguard Retirement Fund - Self ⇒ Vanguard Windsor Fund Investor Retirement Fund		12/9/2013	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
DESCRIPTION: SRI moved management of its retirement fund from Vanguard to TIAA-CREF, thereby closing this account..					

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
ICMA	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Bank of America	Spring 2006	Mortgage on Primary Residence	\$250,001 - \$500,000
	Sallie Mae	August 2009	Student Loan	\$15,001 - \$50,000
	Nelnet	August 2010	Student Loan	\$10,000 - \$15,000
	Nelnet	August 2011	Student Loan	\$15,001 - \$50,000
DC	US Department of Education	August 2011	Student Loan	\$15,001 - \$50,000
	Nelnet	August 2012	Student Loan	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
1995	Fairfax County Retirement Fund Employee Plan B	Defined benefit plan managed entirely by the County

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details				Inclusions		
Source	Start Date	End Date	Itinerary	Lodging?	Food?	Family?
Government Business Executive Council	01/17/2013	01/19/2013	Washington, DC - Las Vegas, NV - Washington, DC	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Aspen Institute	02/16/2013	02/24/2013	Washington, DC - Bangalore, India - Washington, DC	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Norwegian Parliamentary Exchange Program	08/3/2013	08/10/2013	Washington, DC - Oslo, Norway - Washington, DC	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Merrill Lynch IRA (Owner: SP)
- TIAA CREF - Self
- TIAA CREF - Spouse (Owner: SP)
- Vanguard Retirement Fund - Self

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Gerald E. Connolly , 05/15/2014