



Filing ID #10005507

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Judy Chu  
**Status:** Member  
**State/District:** CA27

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2014  
**Filing Date:** 05/14/2015

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Allstate Corporation (ALL)		\$50,001 - \$100,000	Dividends	None	<input type="checkbox"/>
American Airlines Group, Inc. (AAL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Athene Annuity and Life Company Fixed Annuity		\$15,001 - \$50,000	N/A	None	<input type="checkbox"/>
California Public Employees Retirement System		\$1,001 - \$15,000	None		<input type="checkbox"/>
California State Teachers Retirement System		\$100,001 - \$250,000	None		<input type="checkbox"/>
Capital One	SP	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Chase Bank CD	SP	\$250,001 - \$500,000	Interest	None	<input type="checkbox"/>
Citibank Savings Acct		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Citibank IRA--Day to Day Savings Acct	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
City National Bank	SP	None	Interest	\$201 - \$1,000	<input type="checkbox"/>
Comerica Bank	SP	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Discover Financial Services (DFS)		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
East West Bank	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
International Business Machines Corporation (IBM)		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judy M. Chu Trust-"excepted trust"-Chu Lim Investments LLC-		Undetermined	Excepted/Blind Trust	\$15,001 - \$50,000	<input type="checkbox"/>
Lands' End, Inc. (LE)		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
Law Offices of Eng & Nishimura	SP	\$250,001 - \$500,000	Partnership income	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Partnership income					
Lincoln Financial Group 403B- Delaware VIP REIT		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Lincoln Financial Group 403B--Delaware VIP Diversified Income		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Lincoln Financial Group Annuity Amer Legacy US--Fixed		\$1,001 - \$15,000	N/A	None	<input type="checkbox"/>
Lincoln Financial Group Annuity Amer Legacy US--Large Blend-Growth Income Fund		\$50,001 - \$100,000	Dividends	None	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
LSW-Life Insurance Co of the Southwest 403B		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch Money Market Acct	SP	None	Interest	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley (MS)		\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>
New Omni Bank	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
NuVision Federal Credit Union	SP	\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Preferred Bank	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Real Property #1		None	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: Sacramento, CA, US DESCRIPTION: Sale					
Savings Plus 401K International Fund		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus 401K Large Cap Fund		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Savings Plus 401K Large Cap Index Fund		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Savings Plus 401K Large Cap Socially Responsible Fund		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus 401K Mid Cap Fund		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Savings Plus 401K Small Cap Fund		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Savings Plus 401K Short Term Investment Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus 401K Short Term Investment Fund- Cash		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus 457 International Fund		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus 457 Large Cap Socially Responsible Fund		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Purchase					
Savings Plus 457 Large Cap Fund		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Savings Plus 457 Large Cap Index Fund		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Savings Plus 457 Mid Cap Fund		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Savings Plus 457 Short Term Investment Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Purchase					
Savings Plus 457 Short Term Investment Fund- Cash		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Purchase					
Savings Plus 457 Small Cap Fund		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Purchase					
United Pacific Bank	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Purchase					
Vanguard CA Intermediate Term Bond Tax-Exempt Mutual Fund		\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Vanguard Mid-Cap Index Fund		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Vanguard Total Retirement 2025 Fund		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Vanguard Windsor II Mutual Fund		\$250,001 - \$500,000	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Wells Fargo Bank	SP	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Purchase					
Zurich Advantage III 403B--Balanced- DWS Global Income Builder VIP		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Purchase					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Zurich Advantage III 403B--Large Cap U.S. Stock-DWS Cap Growth VA		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Zurich Advantage III 403B--Misc. Fixed-DWS High Income VIP		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Lincoln Financial Group Annuity Amer Legacy US--Large Blend-Growth Income Fund		Monthly	P	\$1,001 - \$15,000	
Real Property #1, Sacramento, CA		05/20/2014	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
LOCATION: Sacramento, CA, US					
Savings Plus 401K Large Cap Fund		Monthly	P	\$1,001 - \$15,000	
LOCATION: US					
DESCRIPTION: Pension					
Savings Plus 401K Large Cap Index Fund		Monthly	P	\$1,001 - \$15,000	
LOCATION: US					
DESCRIPTION: Pension					
Savings Plus 401K Mid Cap Fund		Monthly	P	\$1,001 - \$15,000	
LOCATION: US					
DESCRIPTION: Pension					
Savings Plus 401K Small Cap Fund		Monthly	P	\$1,001 - \$15,000	
LOCATION: US					
DESCRIPTION: Pension					
Savings Plus 457 Large Cap Fund		Monthly	P	\$1,001 - \$15,000	
LOCATION: US					
DESCRIPTION: Pension					
Savings Plus 457 Large Cap Index Fund		Monthly	P	\$1,001 - \$15,000	
LOCATION: US					
DESCRIPTION: Pension					
Savings Plus 457 Large Cap Socially Responsible Fund		Monthly	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US DESCRIPTION: Pension					
Savings Plus 457 Mid Cap Fund		Monthly	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: Pension					
Vanguard CA Intermediate Term Bond Tax-Exempt Mutual Fund		Monthly	P	\$15,001 - \$50,000	
Vanguard Mid-Cap Index Fund		Monthly	P	\$1,001 - \$15,000	
Vanguard Total Retirement 2025 Fund		08/19/2014	P	\$50,001 - \$100,000	
Vanguard Total Retirement 2025 Fund		Monthly	P	\$1,001 - \$15,000	
Vanguard Windsor II Mutual Fund		01/6/2014	P	\$50,001 - \$100,000	
Vanguard Windsor II Mutual Fund		Monthly	P	\$15,001 - \$50,000	

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Los Angeles Community College District Board of Trustees	Spouse Salary	N/A
Law Office of Eng & Nishimura	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Bank of America	March 2002	Mortgage on real property #1, Sacramento, CA; liability ended 5/20/2014	\$100,001 - \$250,000
	BB&T	May 2010	Mortgage on personal residence, Washington DC (not rented)	\$100,001 - \$250,000
	Capitol Hill Tower Housing Cooperative	May 2010	Mortgage on personal residence, Washington DC (not rented)	\$100,001 - \$250,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
May 2001	California State Teachers Retirement System	Defined pension benefit plan for teaching position in the LA Community College District
May 2001	California Public Employees Retirement System	Defined pension benefit plan for service on Monterey Park City Council

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☒ Yes ☐ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Judy Chu , 05/14/2015