



Filing ID #10010061

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Lou Barletta  
**Status:** Member  
**State/District:** PA11

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2015  
**Filing Date:** 05/5/2016

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset   | Owner | Value of Asset          | Income Type(s) | Income               | Tx. > \$1,000?                      |
|---|-------|-------------------------|----------------|----------------------|-------------------------------------|
| 322 Rocky Road<br><br>LOCATION: Hazleton, PA, US              | JT    | \$500,001 - \$1,000,000 | Rent           | \$50,001 - \$100,000 | <input type="checkbox"/>            |
| Ameriprise Financial 7802 ⇒<br>Ameriprise Insured MMA         | JT    | \$15,001 - \$50,000     | Interest       | \$1 - \$200          | <input type="checkbox"/>            |
| Ameriprise Financial 7802 ⇒<br>Apple Inc. (AAPL)              | JT    | \$15,001 - \$50,000     | Dividends      | \$201 - \$1,000      | <input type="checkbox"/>            |
| Ameriprise Financial 7802 ⇒<br>Apple Inc. (AAPL)              | JT    | None                    | Capital Gains  | \$5,001 - \$15,000   | <input checked="" type="checkbox"/> |
| Ameriprise Financial 7802 ⇒<br>BCE, Inc. (BCE)                | JT    | None                    | Dividends      | \$201 - \$1,000      | <input checked="" type="checkbox"/> |
| Ameriprise Financial 7802 ⇒<br>Colgate-Palmolive Company (CL) | JT    | \$1,001 - \$15,000      | Dividends      | \$1 - \$200          | <input type="checkbox"/>            |
| Ameriprise Financial 7802 ⇒<br>ConocoPhillips (COP)           | JT    | None                    | Dividends      | \$201 - \$1,000      | <input checked="" type="checkbox"/> |

| Asset  | Owner | Value of Asset      | Income Type(s) | Income             | Tx. > \$1,000?                      |
|--|-------|---------------------|----------------|--------------------|-------------------------------------|
| Ameriprise Financial 7802 ⇒<br>Home Depot, Inc. (HD)                             | JT    | \$15,001 - \$50,000 | Dividends      | \$201 - \$1,000    | <input type="checkbox"/>            |
| Ameriprise Financial 7802 ⇒<br>iShares Morningstar Multi-Asset Income ETF (IYLD) | JT    | None                | Dividends      | \$201 - \$1,000    | <input checked="" type="checkbox"/> |
| Ameriprise Financial 7802 ⇒<br>Kinder Morgan, Inc. (KMI)                         | JT    | None                | Dividends      | \$201 - \$1,000    | <input checked="" type="checkbox"/> |
| Ameriprise Financial 7802 ⇒<br>Oppenheimer Large Cap Revenue ETF (RWL)           | JT    | None                | Capital Gains  | \$1 - \$200        | <input checked="" type="checkbox"/> |
| Ameriprise Financial 7802 ⇒<br>Oppenheimer Large Cap Revenue ETF (RWL)           | JT    | \$1,001 - \$15,000  | Dividends      | \$201 - \$1,000    | <input type="checkbox"/>            |
| Ameriprise Financial 7802 ⇒<br>Oppenheimer Ultra Dividend Revenue ETF (RDIV)     | JT    | None                | Dividends      | \$201 - \$1,000    | <input checked="" type="checkbox"/> |
| Ameriprise Financial 7802 ⇒<br>PowerShares Buyback Achievers (PKW)               | JT    | None                | Dividends      | \$201 - \$1,000    | <input checked="" type="checkbox"/> |
| Ameriprise Financial 7802 ⇒<br>PowerShares QQQ Trust, Series 1 (QQQ)             | JT    | \$15,001 - \$50,000 | None           |                    | <input checked="" type="checkbox"/> |
| Ameriprise Financial 7802 ⇒<br>SPDR Dow Jones Industrial Average ETF (DIA)       | JT    | \$15,001 - \$50,000 | None           |                    | <input checked="" type="checkbox"/> |
| Ameriprise Financial 7802 ⇒<br>SPDR S&P 500 (SPY)                                | JT    | \$15,001 - \$50,000 | None           |                    | <input checked="" type="checkbox"/> |
| Ameriprise Financial 7802 ⇒<br>SPDR S&P Dividend ETF (SDY)                       | JT    | \$1,001 - \$15,000  | Dividends      | \$201 - \$1,000    | <input checked="" type="checkbox"/> |
| Ameriprise Financial 7802 ⇒<br>SPDR S&P Dividend ETF (SDY)                       | JT    | None                | Capital Gains  | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Ameriprise Financial 7802 ⇒<br>Vanguard Financials ETF - DNQ (VFH)               | JT    | \$1,001 - \$15,000  | Dividends      | \$201 - \$1,000    | <input checked="" type="checkbox"/> |
| Ameriprise Financial 7802 ⇒<br>Vanguard High Dividend Yield ETF - DNQ (VYM)      | JT    | \$15,001 - \$50,000 | Dividends      | \$201 - \$1,000    | <input type="checkbox"/>            |
| Ameriprise Financial 7802 ⇒<br>Vanguard Mega Cap Growth ETF (MGK)                | JT    | None                | Dividends      | \$1 - \$200        | <input checked="" type="checkbox"/> |

| Asset  | Owner | Value of Asset      | Income Type(s) | Income            | Tx. > \$1,000?                      |
|--|-------|---------------------|----------------|-------------------|-------------------------------------|
| Ameriprise Financial 7802 ⇒<br>Vanguard Mega Cap Growth ETF (MGK)  | JT    | None                | Capital Gains  | \$2,501 - \$5,000 | <input type="checkbox"/>            |
| Ameriprise Financial 7802 ⇒<br>WisdomTree Dividend Ex-Financials Fund (DTN)  | JT    | \$1,001 - \$15,000  | Dividends      | \$201 - \$1,000   | <input type="checkbox"/>            |
| Ameriprise Financial 9039 ⇒<br>Ameriprise Insured MMA  | JT    | \$15,001 - \$50,000 | Interest       | \$1 - \$200       | <input type="checkbox"/>            |
| Ameriprise Financial 9039 ⇒<br>Crimson Wine Group Ltd. (CWGL)  | JT    | \$1 - \$1,000       | None           |                   | <input type="checkbox"/>            |
| Ameriprise Financial 9039 ⇒<br>First Trust Exchange-Traded Fund VI First Trust<br>NASDAQ Technology Dividend Index Fund (TDIV) | JT    | None                | Dividends      | \$1 - \$200       | <input checked="" type="checkbox"/> |
| Ameriprise Financial 9039 ⇒<br>First Trust NASDAQ Technology Dividend Index Fund (TDIV)  | JT    | None                | Dividends      | \$1 - \$200       | <input checked="" type="checkbox"/> |
| Ameriprise Financial 9039 ⇒<br>iShares Global Telecom ETF (IXP)  | JT    | None                | Dividends      | \$201 - \$1,000   | <input checked="" type="checkbox"/> |
| Ameriprise Financial 9039 ⇒<br>Kinder Morgan, Inc. (KMI)   | JT    | None                | Dividends      | \$201 - \$1,000   | <input checked="" type="checkbox"/> |
| Ameriprise Financial 9039 ⇒<br>Leucadia National Corporation (LUK)   | JT    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200       | <input type="checkbox"/>            |
| Ameriprise Financial 9039 ⇒<br>Oppenheimer Large Cap Revenue ETF (RWL)   | JT    | None                | Dividends      | \$1 - \$200       | <input checked="" type="checkbox"/> |
| Ameriprise Financial 9039 ⇒<br>Oppenheimer Ultra Dividend Revenue ETF (RDIV)   | JT    | \$1,001 - \$15,000  | Dividends      | \$201 - \$1,000   | <input type="checkbox"/>            |
| Ameriprise Financial 9039 ⇒<br>Oppenheimer Ultra Dividend Revenue ETF (RDIV)   | JT    | None                | Capital Gains  | \$1 - \$200       | <input type="checkbox"/>            |
| Ameriprise Financial 9039 ⇒<br>PowerShares QQQ Trust, Series 1 (QQQ)   | JT    | \$1,001 - \$15,000  | None           |                   | <input checked="" type="checkbox"/> |
| Ameriprise Financial 9039 ⇒<br>SPDR S&P Dividend ETF (SDY)   | JT    | None                | Dividends      | \$1 - \$200       | <input type="checkbox"/>            |
| Ameriprise Financial 9039 ⇒  | JT    | None                | Capital Gains  | \$5,001 -         | <input checked="" type="checkbox"/> |

| Asset  | Owner | Value of Asset      | Income Type(s) | Income          | Tx. > \$1,000?                      |
|--|-------|---------------------|----------------|-----------------|-------------------------------------|
| SPDR S&P Dividend ETF (SDY)  |       |                     |                | \$15,000        |                                     |
| Ameriprise Financial 9039 ⇒<br>SPDR Select Sector Fund - Health Care (XLV)   | JT    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200     | <input checked="" type="checkbox"/> |
| Ameriprise Financial IRA 3851 ⇒<br>Berkshire Hathaway Inc. New (BRK.B)   |       | \$1,001 - \$15,000  | None           |                 | <input type="checkbox"/>            |
| Ameriprise Financial IRA 3851 ⇒<br>Dreyfus Cash Management Administrative Shares   |       | \$1,001 - \$15,000  | Dividends      | \$1 - \$200     | <input type="checkbox"/>            |
| Ameriprise Financial IRA 3851 ⇒<br>First Trust Exchange-Traded Fund VI First Trust<br>NASDAQ Technology Dividend Index Fund (TDIV) |       | \$15,001 - \$50,000 | Dividends      | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Ameriprise Financial IRA 3851 ⇒<br>iShares Global Telecom ETF (IXP)  |       | None                | Dividends      | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Ameriprise Financial IRA 3851 ⇒<br>LMP Capital and Income Fund Inc. (SCD)  |       | None                | Dividends      | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Ameriprise Financial IRA 3851 ⇒<br>Oppenheimer Large Cap Revenue ETF (RWL)   |       | \$15,001 - \$50,000 | Dividends      | \$201 - \$1,000 | <input type="checkbox"/>            |
| Ameriprise Financial IRA 3851 ⇒<br>Oppenheimer Large Cap Revenue ETF (RWL)   |       | None                | Capital Gains  | \$1 - \$200     | <input type="checkbox"/>            |
| Ameriprise Financial IRA 3851 ⇒<br>Oppenheimer Ultra Dividend Revenue ETF (RDIV)   |       | None                | Capital Gains  | \$1 - \$200     | <input type="checkbox"/>            |
| Ameriprise Financial IRA 3851 ⇒<br>Oppenheimer Ultra Dividend Revenue ETF (RDIV)   |       | \$15,001 - \$50,000 | Dividends      | \$201 - \$1,000 | <input type="checkbox"/>            |
| Ameriprise Financial IRA 3851 ⇒<br>PowerShares Buyback Achievers (PKW)   |       | \$1,001 - \$15,000  | Dividends      | \$1 - \$200     | <input checked="" type="checkbox"/> |
| Ameriprise Financial IRA 3851 ⇒<br>SPDR Dow Jones Industrial Average ETF (DIA)   |       | \$15,001 - \$50,000 | Dividends      | \$1 - \$200     | <input checked="" type="checkbox"/> |
| Ameriprise Financial IRA 3851 ⇒<br>SPDR S&P Dividend ETF (SDY)   |       | None                | Capital Gains  | \$201 - \$1,000 | <input type="checkbox"/>            |
| Ameriprise Financial IRA 3851 ⇒<br>SPDR S&P Dividend ETF (SDY)   |       | \$15,001 - \$50,000 | Dividends      | \$201 - \$1,000 | <input type="checkbox"/>            |

| Asset   | Owner | Value of Asset      | Income Type(s) | Income            | Tx. > \$1,000?                      |
|---|-------|---------------------|----------------|-------------------|-------------------------------------|
| Ameriprise Financial IRA 3851 ⇒<br>Vanguard Extended Market ETF - DNQ (VXF)                 |       | \$1,001 - \$15,000  | Dividends      | \$1 - \$200       | <input type="checkbox"/>            |
| Ameriprise Financial IRA 3851 ⇒<br>Vanguard Financials ETF - DNQ (VFH)                      |       | \$1,001 - \$15,000  | Dividends      | \$201 - \$1,000   | <input type="checkbox"/>            |
| Ameriprise Financial IRA 3851 ⇒<br>Vanguard High Dividend Yield ETF - DNQ (VYM)             |       | \$1,001 - \$15,000  | Dividends      | \$1 - \$200       | <input checked="" type="checkbox"/> |
| Ameriprise Financial IRA 3851 ⇒<br>Vanguard Mega Cap Growth ETF (MGK)                       |       | None                | Dividends      | \$1 - \$200       | <input checked="" type="checkbox"/> |
| Ameriprise Financial IRA 3851 ⇒<br>Vanguard Mega Cap Growth ETF (MGK)                       |       | None                | Capital Gains  | \$2,501 - \$5,000 | <input type="checkbox"/>            |
| Ameriprise Financial IRA 3851 ⇒<br>WisdomTree Dividend Ex-Financials Fund (DTN)             |       | \$15,001 - \$50,000 | Dividends      | \$201 - \$1,000   | <input type="checkbox"/>            |
| Ameriprise Financial IRA 5494 ⇒<br>Alerian MLP ETF (AMLP)                                   | SP    | None                | Dividends      | \$201 - \$1,000   | <input checked="" type="checkbox"/> |
| Ameriprise Financial IRA 5494 ⇒<br>Dreyfus Cash Management Administrative Shares            | SP    | \$1,001 - \$15,000  | Interest       | \$1 - \$200       | <input type="checkbox"/>            |
| Ameriprise Financial IRA 5494 ⇒<br>First Trust NASDAQ Technology Dividend Index Fund (TDIV) | SP    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200       | <input checked="" type="checkbox"/> |
| Ameriprise Financial IRA 5494 ⇒<br>LMP Capital and Income Fund Inc. (SCD)                   | SP    | None                | Dividends      | \$201 - \$1,000   | <input checked="" type="checkbox"/> |
| Ameriprise Financial IRA 5494 ⇒<br>SPDR Dow Jones Industrial Average ETF (DIA)              | SP    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200       | <input checked="" type="checkbox"/> |
| Ameriprise Financial IRA 5494 ⇒<br>SPDR S&P Dividend ETF (SDY)                              | SP    | None                | Capital Gains  | \$201 - \$1,000   | <input type="checkbox"/>            |
| Ameriprise Financial IRA 5494 ⇒<br>SPDR S&P Dividend ETF (SDY)                              | SP    | \$1,001 - \$15,000  | Dividends      | \$201 - \$1,000   | <input type="checkbox"/>            |
| Ameriprise Financial IRA 5494 ⇒<br>Vanguard Extended Market ETF - DNQ (VXF)                 | SP    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200       | <input type="checkbox"/>            |
| Ameriprise Financial IRA 5494 ⇒<br>Vanguard Financials ETF - DNQ (VFH)                      | SP    | \$1,001 - \$15,000  | Dividends      | \$1 - \$200       | <input type="checkbox"/>            |

| Asset   | Owner | Value of Asset       | Income Type(s) | Income          | Tx. > \$1,000?                      |
|---|-------|----------------------|----------------|-----------------|-------------------------------------|
| Ameriprise Financial IRA 5494 ⇒<br>Vanguard High Dividend Yield ETF - DNQ (VYM)                 | SP    | \$1,001 - \$15,000   | Dividends      | \$1 - \$200     | <input checked="" type="checkbox"/> |
| Ameriprise Financial IRA 5494 ⇒<br>Wells Fargo Advantage Utilities and High Income Fund (ERH)   | SP    | \$1 - \$1,000        | Dividends      | \$1 - \$200     | <input type="checkbox"/>            |
| Ameriprise Financial IRA 5494 ⇒<br>Western Asset High Yield Defined Opportunity Fund Inc. (HYI) | SP    | \$1,001 - \$15,000   | Dividends      | \$201 - \$1,000 | <input type="checkbox"/>            |
| AXA Retirement Cornerstone 314052430 ⇒<br>AllianceBernstein Short Duration Government Bond      | SP    | \$1,001 - \$15,000   | None           |                 | <input type="checkbox"/>            |
| AXA Retirement Cornerstone 314052430 ⇒<br>AXA 2000 Managed Volatility                           | SP    | \$15,001 - \$50,000  | None           |                 | <input type="checkbox"/>            |
| AXA Retirement Cornerstone 314052430 ⇒<br>AXA 400 Managed Volatility                            | SP    | \$1,001 - \$15,000   | None           |                 | <input type="checkbox"/>            |
| AXA Retirement Cornerstone 314052430 ⇒<br>AXA 500 Managed Volatility                            | SP    | \$50,001 - \$100,000 | None           |                 | <input type="checkbox"/>            |
| AXA Retirement Cornerstone 314052430 ⇒<br>AXA International Managed Volatility                  | SP    | \$1,001 - \$15,000   | None           |                 | <input type="checkbox"/>            |
| AXA Retirement Cornerstone 314052430 ⇒<br>Core Bond Index                                       | SP    | \$1,001 - \$15,000   | None           |                 | <input type="checkbox"/>            |
| AXA Retirement Cornerstone 314052430 ⇒<br>Intermediate Government Bond                          | SP    | \$1,001 - \$15,000   | None           |                 | <input type="checkbox"/>            |
| AXA Retirement Cornerstone 314052431 ⇒<br>AllianceBernstein Short Duration Government Bond      |       | \$1,001 - \$15,000   | None           |                 | <input type="checkbox"/>            |
| AXA Retirement Cornerstone 314052431 ⇒<br>AXA 2000 Managed Volatility                           |       | \$15,001 - \$50,000  | None           |                 | <input type="checkbox"/>            |
| AXA Retirement Cornerstone 314052431 ⇒<br>AXA 400 Managed Volatility                            |       | \$1,001 - \$15,000   | None           |                 | <input type="checkbox"/>            |
| AXA Retirement Cornerstone 314052431 ⇒<br>AXA 500 Managed Volatility                            |       | \$50,001 - \$100,000 | None           |                 | <input type="checkbox"/>            |

| Asset  | Owner | Value of Asset        | Income Type(s) | Income      | Tx. > \$1,000?           |
|--|-------|-----------------------|----------------|-------------|--------------------------|
| AXA Retirement Cornerstone 314052431 ⇒<br>AXA International Managed Volatility                 |       | \$15,001 - \$50,000   | None           |             | <input type="checkbox"/> |
| AXA Retirement Cornerstone 314052431 ⇒<br>Core Bond Index                                      |       | \$1,001 - \$15,000    | None           |             | <input type="checkbox"/> |
| AXA Retirement Cornerstone 314052431 ⇒<br>Intermediate Government Bond                         |       | \$1,001 - \$15,000    | None           |             | <input type="checkbox"/> |
| AXA Retirement Cornerstone IRA 314052432 ⇒<br>AllianceBernstein Short Duration Government Bond |       | \$1,001 - \$15,000    | None           |             | <input type="checkbox"/> |
| AXA Retirement Cornerstone IRA 314052432 ⇒<br>AXA 2000 Managed Volatility                      |       | \$15,001 - \$50,000   | None           |             | <input type="checkbox"/> |
| AXA Retirement Cornerstone IRA 314052432 ⇒<br>AXA 400 Managed Volatility                       |       | \$1,001 - \$15,000    | None           |             | <input type="checkbox"/> |
| AXA Retirement Cornerstone IRA 314052432 ⇒<br>AXA 500 Managed Volatility                       |       | \$100,001 - \$250,000 | None           |             | <input type="checkbox"/> |
| AXA Retirement Cornerstone IRA 314052432 ⇒<br>AXA International managed Volatility             |       | \$15,001 - \$50,000   | None           |             | <input type="checkbox"/> |
| AXA Retirement Cornerstone IRA 314052432 ⇒<br>Core Bond Index                                  |       | \$15,001 - \$50,000   | None           |             | <input type="checkbox"/> |
| AXA Retirement Cornerstone IRA 314052432 ⇒<br>Intermediate Government Bond                     |       | \$15,001 - \$50,000   | None           |             | <input type="checkbox"/> |
| Computershare Stock ⇒<br>MetLife, Inc. (MET)   | SP    | \$1,001 - \$15,000    | Dividends      | \$1 - \$200 | <input type="checkbox"/> |
| Computershare Stock ⇒<br>Prudential Financial, Inc. (PRU)                                      | SP    | \$1,001 - \$15,000    | Dividends      | \$1 - \$200 | <input type="checkbox"/> |
| LB, LLC- Edgewood in the Pines Golf Course   |       | \$100,001 - \$250,000 | None           |             | <input type="checkbox"/> |
| LOCATION: Drums, PA, US<br>DESCRIPTION: (Investment in Make it Better, LP)                     |       |                       |                |             |                          |

\* Asset class details available at the bottom of this form.

**SCHEDULE B: TRANSACTIONS**

| Asset   | Owner | Date       | Tx. Type       | Amount              | Cap. Gains > \$200?      |
|---|-------|------------|----------------|---------------------|--------------------------|
| Ameriprise Financial 7802 ⇒<br>Apple Inc. (AAPL)  | JT    | 02/12/2015 | S<br>(partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |
| DESCRIPTION: Sale of 150 shares of Apple, Inc. at \$126,079/share. Proceeds totaling \$18,911.50. |       |            |                |                     |                          |
| Ameriprise Financial 7802 ⇒<br>BCE, Inc. (BCE)  | JT    | 12/28/2015 | S              | \$1,001 - \$15,000  | <input type="checkbox"/> |
| COMMENTS: Sold for tax purposes only.   |       |            |                |                     |                          |
| Ameriprise Financial 7802 ⇒<br>ConocoPhillips (COP)   | JT    | 12/28/2015 | S              | \$1,001 - \$15,000  | <input type="checkbox"/> |
| COMMENTS: Sold for tax purposes only.   |       |            |                |                     |                          |
| Ameriprise Financial 7802 ⇒<br>iShares Morningstar Multi-Asset Income ETF (IYLD)                  | JT    | 03/30/2015 | P              | \$15,001 - \$50,000 |                          |
| Ameriprise Financial 7802 ⇒<br>iShares Morningstar Multi-Asset Income ETF (IYLD)                  | JT    | 10/29/2015 | S              | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Ameriprise Financial 7802 ⇒<br>Kinder Morgan, Inc. (KMI)  | JT    | 10/29/2015 | S              | \$1,001 - \$15,000  | <input type="checkbox"/> |
| DESCRIPTION: The rationale for this transaction was to take tax losses                            |       |            |                |                     |                          |
| Ameriprise Financial 7802 ⇒<br>Oppenheimer Ultra Dividend Revenue ETF (RDIV)                      | JT    | 03/30/2015 | P              | \$1,001 - \$15,000  |                          |
| Ameriprise Financial 7802 ⇒<br>PowerShares Buyback Achievers (PKW)                                | JT    | 12/28/2015 | S              | \$15,001 - \$50,000 | <input type="checkbox"/> |
| COMMENTS: Sold for tax purposes only.   |       |            |                |                     |                          |
| Ameriprise Financial 7802 ⇒<br>PowerShares Buyback Achievers (PKW)                                | JT    | 01/8/2015  | P              | \$15,001 - \$50,000 |                          |
| Ameriprise Financial 7802 ⇒<br>PowerShares QQQ Trust, Series 1 (QQQ)                              | JT    | 12/28/2015 | P              | \$15,001 - \$50,000 |                          |
| Ameriprise Financial 7802 ⇒<br>RevenueShares Ultra Dividend Fund (RDIV)                           | JT    | 12/28/2015 | S              | \$1,001 - \$15,000  | <input type="checkbox"/> |
| COMMENTS: Sold for tax purposes only.   |       |            |                |                     |                          |
| Ameriprise Financial 7802 ⇒<br>SPDR Dow Jones Industrial Average ETF (DIA)                        | JT    | 12/28/2015 | P              | \$15,001 - \$50,000 |                          |
| Ameriprise Financial 7802 ⇒<br>SPDR S&P 500 (SPY)   | JT    | 12/28/2015 | P              | \$15,001 - \$50,000 |                          |



| Asset  | Owner | Date       | Tx. Type    | Amount              | Cap. Gains > \$200?                 |
|--|-------|------------|-------------|---------------------|-------------------------------------|
| Ameriprise Financial 7802 ⇒ SPDR S&P Dividend ETF (SDY)  | JT    | 07/24/2015 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Ameriprise Financial 7802 ⇒ Vanguard Financials ETF - DNQ (VFH)  | JT    | 12/28/2015 | S           | \$1,001 - \$15,000  | <input type="checkbox"/>            |
| COMMENTS: Sold for tax purposes only.  |       |            |             |                     |                                     |
| Ameriprise Financial 7802 ⇒ Vanguard Financials ETF - DNQ (VFH)  | JT    | 12/4/2015  | P           | \$1,001 - \$15,000  |                                     |
| Ameriprise Financial 7802 ⇒ Vanguard High Dividend Yield ETF - DNQ (VYM)   | JT    | 12/28/2015 | P           | \$15,001 - \$50,000 |                                     |
| Ameriprise Financial 7802 ⇒ Vanguard Mega Cap Growth ETF (MGK)   | JT    | 09/11/2015 | S           | \$1,001 - \$15,000  | <input type="checkbox"/>            |
| Ameriprise Financial 9039 ⇒ First Trust Exchange-Traded Fund VI First Trust NASDAQ Technology Dividend Index Fund (TDIV) | JT    | 12/28/2015 | S           | \$1,001 - \$15,000  | <input type="checkbox"/>            |
| COMMENTS: Sold for tax purposes only.  |       |            |             |                     |                                     |
| Ameriprise Financial 9039 ⇒ First Trust NASDAQ Technology Dividend Index Fund (TDIV)                                     | JT    | 01/27/2015 | P           | \$1,001 - \$15,000  |                                     |
| Ameriprise Financial 9039 ⇒ iShares Global Telecom ETF (IXP)   | JT    | 09/1/2015  | S           | \$1,001 - \$15,000  | <input type="checkbox"/>            |
| Ameriprise Financial 9039 ⇒ Kinder Morgan, Inc. (KMI)  | JT    | 10/29/2015 | S           | \$1,001 - \$15,000  | <input type="checkbox"/>            |
| DESCRIPTION: The rationale for this transaction was to take tax losses.  |       |            |             |                     |                                     |
| Ameriprise Financial 9039 ⇒ Oppenheimer Large Cap Revenue ETF (RWL)  | JT    | 06/3/2015  | P           | \$1,001 - \$15,000  |                                     |
| Ameriprise Financial 9039 ⇒ PowerShares QQQ Trust, Series 1 (QQQ)  | JT    | 12/28/2015 | P           | \$1,001 - \$15,000  |                                     |
| Ameriprise Financial 9039 ⇒ RevenueShares Large Cap (RWL)  | JT    | 12/28/2015 | S           | \$1,001 - \$15,000  | <input type="checkbox"/>            |
| COMMENTS: Sold for tax purposes only.  |       |            |             |                     |                                     |
| Ameriprise Financial 9039 ⇒ SPDR S&P Dividend ETF (SDY)  | JT    | 04/23/2015 | S           | \$15,001 - \$50,000 | <input type="checkbox"/>            |

| Asset   | Owner | Date       | Tx. Type | Amount              | Cap. Gains > \$200?                 |
|---|-------|------------|----------|---------------------|-------------------------------------|
| Ameriprise Financial 9039 ⇒<br>SPDR Select Sector Fund - Health Care (XLV)                  | JT    | 01/27/2015 | P        | \$1,001 - \$15,000  |                                     |
| Ameriprise Financial 9039 ⇒<br>Vanguard Financials ETF - DNQ (VFH)                          | JT    | 12/28/2015 | S        | \$1,001 - \$15,000  | <input type="checkbox"/>            |
| Ameriprise Financial 9039 ⇒<br>Vanguard Financials ETF - DNQ (VFH)                          | JT    | 12/4/2015  | P        | \$1,001 - \$15,000  |                                     |
| Ameriprise Financial IRA 3851 ⇒<br>Berkshire Hathaway Inc. New (BRK.B)                      |       | 09/22/2015 | P        | \$1,001 - \$15,000  |                                     |
| Ameriprise Financial IRA 3851 ⇒<br>First Trust NASDAQ Technology Dividend Index Fund (TDIV) |       | 01/27/2015 | P        | \$1,001 - \$15,000  |                                     |
| Ameriprise Financial IRA 3851 ⇒<br>iShares Global Telecom ETF (IXP)                         |       | 06/30/2015 | S        | \$1,001 - \$15,000  | <input type="checkbox"/>            |
| Ameriprise Financial IRA 3851 ⇒<br>LMP Capital and Income Fund Inc. (SCD)                   |       | 08/5/2015  | S        | \$15,001 - \$50,000 | <input type="checkbox"/>            |
| Ameriprise Financial IRA 3851 ⇒<br>PowerShares Buyback Achievers (PKW)                      |       | 01/8/2015  | P        | \$1,001 - \$15,000  |                                     |
| Ameriprise Financial IRA 3851 ⇒<br>SPDR Dow Jones Industrial Average ETF (DIA)              |       | 09/1/2015  | P        | \$15,001 - \$50,000 |                                     |
| Ameriprise Financial IRA 3851 ⇒<br>Vanguard High Dividend Yield ETF - DNQ (VYM)             |       | 12/18/2015 | P        | \$1,001 - \$15,000  |                                     |
| Ameriprise Financial IRA 3851 ⇒<br>Vanguard Mega Cap Growth ETF (MGK)                       |       | 08/26/2015 | S        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
| Ameriprise Financial IRA 5494 ⇒<br>Alerian MLP ETF (AMLP)                                   | SP    | 12/9/2015  | S        | \$1,001 - \$15,000  | <input type="checkbox"/>            |
| Ameriprise Financial IRA 5494 ⇒<br>First Trust NASDAQ Technology Dividend Index Fund (TDIV) | SP    | 01/27/2015 | P        | \$1,001 - \$15,000  |                                     |
| Ameriprise Financial IRA 5494 ⇒<br>LMP Capital and Income Fund Inc. (SCD)                   | SP    | 08/5/2015  | S        | \$1,001 - \$15,000  | <input type="checkbox"/>            |

| Asset   | Owner | Date       | Tx. Type | Amount             | Cap. Gains > \$200? |
|---|-------|------------|----------|--------------------|---------------------|
| Ameriprise Financial IRA 5494 ⇒<br>SPDR Dow Jones Industrial Average ETF (DIA)  | SP    | 09/1/2015  | P        | \$1,001 - \$15,000 |                     |
| Ameriprise Financial IRA 5494 ⇒<br>Vanguard High Dividend Yield ETF - DNQ (VYM) | SP    | 12/18/2015 | P        | \$1,001 - \$15,000 |                     |

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

| Owner | Creditor        | Date Incurred | Type  | Amount of Liability       |
|-------|-----------------|---------------|---|---------------------------|
|       | FNCB            | May 2015      | Mortgage Ocean Vew Delaware                     | \$250,001 - \$500,000     |
|       | Bank of America | August 2011   | Mortgage on 461 Carleton Avenue Hazleton PA     | \$15,001 - \$50,000       |
|       | FNCB            | June 2015     | Mortgage Hazleton PA                            | \$100,001 - \$250,000     |
|       | FNCB            | May 2015      | term loan secured by Rocky Road Hazleton PA     | \$1,000,001 - \$5,000,000 |
|       | FNCB            | November 2015 | 2nd lien Ocean View DE and 2nd lien Hazleton PA | \$50,001 - \$100,000      |

SCHEDULE E: POSITIONS

| Position | Name of Organization   |
|----------|--|
| Member   | LB, LLC  |
| Member   | Elderwish Foundation   |
| Member   | National Board of Advisors- Federation for American Immigration Reform |

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

## **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **SCHEDULE A AND B ASSET CLASS DETAILS**

- Ameriprise Financial 7802 (Owner: JT)  
LOCATION: US
- Ameriprise Financial 9039 (Owner: JT)  
LOCATION: US
- Ameriprise Financial IRA 3851
- Ameriprise Financial IRA 5494 (Owner: SP)
- AXA Retirement Cornerstone 314052430 (Owner: SP)
- AXA Retirement Cornerstone 314052431
- AXA Retirement Cornerstone IRA 314052432
- Computershare Stock (Owner: SP)  
LOCATION: US

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Lou Barletta , 05/5/2016