



Filing ID #10015737

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Debbie Wasserman Schultz
Status: Member
State/District: FL23

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 08/11/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
"For The Next Generation" Published by St. Martin's Press		Undetermined	Royalties	None	<input type="checkbox"/>
Apple Inc. (AAPL)	DC	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Dependent child B					
Apple Inc. (AAPL)	DC	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Dependent child A					
Aspen Aerogels, Inc. (ASPN)	DC	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Dependent A					
Aspen Aerogels, Inc. (ASPN)	DC	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: dependent B					
AstroNova, Inc. (ALOT)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Dependent B					
AstroNova, Inc. (ALOT)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Dependent A					
Bank of the Ozarks 401K Retirement Savings Plan ⇒ Fidelity Balanced Fund	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Bank of the Ozarks Retirement Savings Account					
Calamos Asset Management, Inc. - Class A (CLMS)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Dependent C					
Charles Schwab Deposit Account	DC	\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: Dependent A					
Charles Schwab Deposit Account	DC	\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: Dependent B					
Charles Schwab Deposit Account	DC	\$15,001 - \$50,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: Dependent C					
DNC 401K SSGA Cash Series US Gov't Fund ⇒ DNC 401K SSGA cash Series US Gov't Fund Class L		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
EFSC LLC, 100% Interest	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: Weston, FL, US					
DESCRIPTION: Sale of Trailer used in food service business. Business closed on 4/30/2016					
Florida Prepaid College Plan ⇒ 529 Florida Prepaid College Plan		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
LOCATION: FL					
DESCRIPTION: Dependent child B					
Florida Prepaid College Plan ⇒ 529 Florida Prepaid College Plan		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
LOCATION: FL					
DESCRIPTION: Dependent child A					
Florida Prepaid College Plan ⇒ 529 Florida Prepaid College Plan		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: FL					
DESCRIPTION: Dependent child C					
Frontier Communications Corporation (FTR)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: dependent C					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Frontier Communications Corporation (FTR)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Dependent A					
Frontier Communications Corporation (FTR)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Dependent B					
JH LS Agg Active Strategy Fund	SP	None	Ordinary income	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Keryx Biopharmaceuticals, Inc. (KERX)	DC	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: dependent B					
Keryx Biopharmaceuticals, Inc. (KERX)	DC	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Dependent A					
Keryx Biopharmaceuticals, Inc. (KERX)	DC	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Dependent C					
Medical Properties Trust, Inc. common stock (MPW)	DC	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Dependent C					
New Gold Inc. (NGD)	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Dependent C					
New Gold Inc. (NGD)	DC	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Dependent A					
New Gold Inc. (NGD)	DC	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Dependent B					
Quantum Corporation (QTM)	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
Richardson Electronics, Ltd. (RELL)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Dependent B					
Richardson Electronics, Ltd. (RELL)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Dependent A					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
State of Florida Pension		None	None		<input type="checkbox"/>
DESCRIPTION: Defined Benefit Pension Plan. Monthly Retirement benefit at age 62 to be determined					
State of israel 4th Mazel Tov savings	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Dependent child A					
State of israel 4th Mazel Tov savings	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Dependent child B					
Stonegate Bank	DC	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Dependent child A & B					
Stonegate Bank (SGBK)	JT	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Weston, FL					
Stonegate Bank (SGBK)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Dependent child A					
Stonegate Bank (SGBK)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Dependent child B					
Wells Fargo Bank N.A Savings Account	SP	\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AngioDynamics, Inc. (ANGO)	DC	04/27/2016	P	\$1,001 - \$15,000	
AngioDynamics, Inc. (ANGO)	DC	04/27/2016	P	\$1,001 - \$15,000	
AngioDynamics, Inc. (ANGO)	DC	11/8/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Apple Inc. (AAPL)	DC	12/23/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Dependent A					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Apple Inc. (AAPL)	DC	12/23/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Aspen Aerogels, Inc. (ASPN)	DC	04/27/2016	P	\$1,001 - \$15,000	
Aspen Aerogels, Inc. (ASPN)	DC	09/19/2016	P	\$1,001 - \$15,000	
AstroNova, Inc. (ALOT)	DC	04/27/2016	P	\$1,001 - \$15,000	
AstroNova, Inc. (ALOT)	DC	04/27/2016	P	\$1,001 - \$15,000	
Comtech Telecommunications Corp. (CMTL)	DC	09/27/2016	P	\$1,001 - \$15,000	
Comtech Telecommunications Corp. (CMTL)	DC	12/8/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
EFSC LLC, 100% Interest	SP	04/13/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: Weston, FL, US DESCRIPTION: Sale of trailer used in food service business					
Frontier Communications Corporation (FTR)	DC	11/17/2016	P	\$1,001 - \$15,000	
Frontier Communications Corporation (FTR)	DC	12/12/2016	P	\$1,001 - \$15,000	
JH LS Agressive Strategy Fund	SP	03/22/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: cash withdrawal of retirement funds					
JH LS Agressive Strategy Fund	SP	09/7/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Cash withdrawal of retirement funds					
Keryx Biopharmaceuticals, Inc. (KERX)	DC	04/27/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Dependent B					
Keryx Biopharmaceuticals, Inc. (KERX)	DC	12/12/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Dependent C					
Keryx Biopharmaceuticals, Inc. (KERX)	DC	12/12/2016	P	\$1,001 - \$15,000	
Medical Properties Trust, Inc. common stock (MPW)	DC	12/12/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Dependent C					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
New Gold Inc. (NGD)	DC	11/17/2016	P	\$1,001 - \$15,000	
New Gold Inc. (NGD)	DC	12/7/2016	P	\$1,001 - \$15,000	
Richardson Electronics, Ltd. (RELL)	DC	04/27/2016	P	\$1,001 - \$15,000	
Richardson Electronics, Ltd. (RELL)	DC	04/27/2016	P	\$1,001 - \$15,000	
Stonegate Bank (SGBK)	SP	03/29/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stonegate Bank (SGBK)	DC	11/17/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stonegate Bank (SGBK)	DC	11/17/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

SCHEDULE C: EARNED INCOME

Source	Type	Amount
First Green Bank	Spouse Salary	N/A
Bank of the Ozarks	Spouse Salary	N/A
DNC Services Corp	Salary	\$26,955.12

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Suntrust Mortgage Inc.	December 2005	Mortgage on personal residence Weston FL	\$500,001 - \$1,000,000
JT	Suntrust Bank	March 2005	Home Equity Line of credit on personal residence Weston FL	\$250,001 - \$500,000
JT	Bank of America	December 2012	Credit card account	\$15,001 - \$50,000
	Wells Fargo Bank N.A.	December 2013	Line of Credit	\$15,001 - \$50,000
	Wells Fargo Bank N.A.	December 2015	Credit card account	\$10,000 - \$15,000
JT	Claremont Savings Bank	October 2015	Mortgage on personal residence Newbury NH	\$250,001 - \$500,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Claremont Savings Bank	October 2015	Home improvement loan	\$10,000 - \$15,000
JT	Wells Fargo Bank N/A.	November 2010	Mortgage on personal residence Newbury NH	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2004	Myself and the State of Florida	Defined Benefit pension Plan . Monthly retirement benefit at age 62 to be determined

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none">Bank of the Ozarks 401K Retirement Savings Plan (Owner: SP)DNC 401K SSGA Cash Series US Gov't FundFlorida Prepaid College Plan LOCATION: FLFlorida Prepaid College Plan LOCATION: FLFlorida Prepaid College Plan LOCATION: FL

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☒ Yes ☐ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☐ No

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Debbie Wasserman Schultz , 08/11/2017