

# UNITED STATES HOUSE OF REPRESENTATIVES

FORM B

## FINANCIAL DISCLOSURE STATEMENT

For New Members, Candidates, and New Employees

Name: Kathryn Gail Ellis

Daytime Telephone: \_\_\_\_\_

201 MAY 30 AM 11:33

### FILER STATUS



New Member of or Candidate for U.S. House of Representatives State: Missouri District: 8



Check if Amendment

U.S. House of Representatives (Office Use Only)

AL



New Officer or Employee Employing Office: \_\_\_\_\_

Staff Filer Type (if Applicable): Shared ☐ Principal Assistant ☐

Period Covered: January 1, \_\_\_\_\_ to \_\_\_\_\_

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

## PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

### A. Did you, your spouse, or your dependent child:

- a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or  
b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?

Yes ☒ No ☐

### E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?

Yes ☒ No ☐

### C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?

Yes ☒ No ☐

### F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?

Yes ☐ No ☒

### D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?

Yes ☐ No ☒

### J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?

Yes ☐ No ☒

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

Yes ☐ No ☒

EXEMPTION - Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes ☐ No ☒

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**Use additional sheets if more space is required.**

Name: Kathryn Gail Ellis

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**Use additional sheets if more space is required.**



# SCHEDULE D - LIABILITIES

Name: Kathryn Emil Ellis

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **New Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
	Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
JT	Blondsdale Bank (Home Equity Loan)	4/14/16	HELOC											

# SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

Position	Name of Organization
Vice-Chair of the Contra Board	Contra, Inc. (Community Treatment, Inc.) - uncompensated
Secretary of the 1st Board	A Safe Place Foundation - uncompensated

**SCHEDULE F - AGREEMENTS**

Name: <u>Kathryn Gail Ellis</u>	Page <u>6</u> of <u>6</u>
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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

**SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

Source (Name and City/State)		Brief Description of Duties
Example:	Doe Jones & Smith, Hometown, Homestate	Accounting Services

Wells Fargo Advisors  
1311 Veterans Blvd  
PO Box 727  
Festus, MO 63028

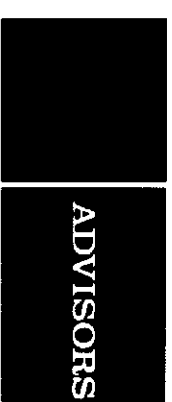
Tel: 636-931-1900  
Toll Free: 800-811-6075  
Fax: 636-931-5944



- Kathy portfolio summary as of 5/10/17
- Kathy activity report 2016 for trust
- Kathy activity report up to 4/30/17 for trust.

Wells Fargo Advisors is a trade name used by  
Wells Fargo Clearing Services, LLC.  
Member FINRA/SIPC.





May 11, 2017

# Wells Fargo Advisors Portfolio Holdings

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Prepared for:  
**Kathryn Ellis**

Prepared by:  
**Kyle J. Gowen, MBA**  
Financial Advisor  
Wells Fargo Advisors

1311 Veterans Blvd  
PO Box 727  
Festus, MO 63028

**Investment and Insurance Products:**

► NOT FDIC-Insured ► NO Bank Guarantee ► MAY Lose Value

*This report is prepared by your Financial Advisor using software provided by Wells Fargo Advisors. Wells Fargo Advisors is a trade name used by Wells Fargo Clearing Services, LLC, a registered broker-dealer and non-bank affiliate of Wells Fargo & Company.*



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**Accounts Included in the Report**

		Taxable	Internal	5/10/2017
XXX	ELLIS KATHRYN G		Internal	5/10/2017
XXX	KATHRYN G ELLIS LCSW ELLIS	Deferred	Internal	5/10/2017
XXX	KATHRYN G KATHRYN G ELLIS			
XXX	ELLIS KATHRYN G	Deferred	Internal	5/10/2017
XXX	KATHRYN G ELLIS LCSW	Deferred	Internal	5/10/2017
XXX	ELLIS KATHRYN G	Deferred	Internal	5/10/2017
XXX	ELLIS KATHRYN G	Deferred	Internal	5/10/2017
XXXX	ELLIS KATHRYN G	Deferred	Internal	5/10/2017

# Portfolio Diversification

By: Asset Class, Security Sub Type

Equities	252,603	293,581	40,979	6,386	2.18%	27.8%
Common Stock	135,854	165,493	29,639	4,694	2.84%	15.7%
Equity Fund	116,748	128,088	11,340	1,692	1.32%	12.1%
Fixed Income	517,679	517,679	18,606	3,589	3.59%	49.1%
Government Bond	100,026	100,232	206	1,728	1.72%	9.5%
Corporate Bond	159,364	159,381	18	4,881	3.06%	15.1%
Preferred	2,564	2,697	133	143	5.30%	0.3%
Municipal Bond	69,723	69,723	3,001	4,300	4.30%	6.6%
Bond Fund	185,163	185,645	482	8,853	4.77%	17.6%
Real Assets	41,000	41,216	217	1,686	4.09%	3.9%
REIT	2,563	2,862	299	135	4.71%	0.3%
Equity Fund	37,062	37,027	-36	1,451	3.92%	3.5%
Commodity Fund	1,374	1,328	-46	100	7.51%	0.1%
Multi-Class	128,276	149,410	21,134	3,841	2.57%	14.2%
Market Linked	20,074	18,843	-1,230			1.8%
Multi-Asset Fund	69,802	73,351	3,549	3,841	5.24%	7.0%
Annuity Contract	38,400	57,215	18,815			5.4%
Cash Alternatives	52,675	52,675	0	3	0.00%	5.0%
Money Market Fund	679	679	0	1	0.16%	0.1%
Cash Alternative	51,996	51,996	0	1	0.00%	4.9%
Portfolio Diversification Total	1,054,561	1,054,561	30,521	2,89%	100.0%	

Total Portfolio Value as of 05/10/17: \$ 1,054,561

Cost information for some tax lots will not be reported to the IRS.

This report is not a substitute for your own records and the year-end 1099 form. Cost data and acquisition dates provided by you are not verified by our firm. Our firm does not render legal, accounting or tax advice. Transactions requiring tax considerations should be reviewed carefully with your accountant or tax advisor.

Unless indicated otherwise, the Price and Cost are adjusted on certain fixed income securities for amortization (if purchased at a premium), accretion (if purchased at a discount) and/or commission and fees.

This report is not complete without the Understanding Your Portfolio report which contains important terms and definitions.

*Estimated Income Annualized (EIA) takes your current income, based on the current dividends or interest paid by your securities and multiplies it to create an annualized hypothetical figure. It does not reflect actual or future performance and should not be relied upon when making financial decisions. Estimated Yield Annualized (EYA) is the EIA divided by the current market value. It does not reflect changes in price, which may fluctuate. All annualizations are based upon current positions using a simple mathematical calculation and assumes all figures remain constant for a year; any subsequent position changes will affect these calculations. Calculations for certain types of securities could include a return of principal or capital gain, in which case the figures would be overstated. Past performance is not a guarantee of future results. As these hypothetical calculations are solely based on mathematical principles, no consideration is given for premium or discount at purchase for bonds, which will affect the yield the investor will realize on the bond if held to redemption date, called or sold prior to maturity. Dividend payments are made solely at the discretion of the issuer and are subject to be changed or eliminated at any time. Estimated Income and Estimated Yield are estimates and the actual income and yield might be lower or higher than the estimated amounts. Estimated Yield reflects only the income generated by an investment. It does not reflect changes in price, which may fluctuate. Please note, EIA and EYA are only available on client reports.*

## Portfolio Diversification - Security Detail

By: Asset Class, Security Sub Type

Equities				252,603	293,581	40,979	6,386	2.18%	27.8%
Common Stock									
MMM	15 3M CO	08/09/13	118.34	135,854	165,493	29,639	4,694	2.84%	15.7%
ABT	52 ABBOTT LABORATORIES	-	-	1,775	2,950	1,174	71	2.39%	0.3%
AFL	40 AFLAC INC	-	-	2,389	2,314	-75	55	2.38%	0.2%
APD	30 AIR PRODUCTS & CHEMICALS	-	-	2,517	2,977	460	69	2.31%	0.3%
AEP	16 AMERICAN ELECTRIC POWER	-	-	3,447	4,358	910	114	2.62%	0.4%
AMGN	17 AMGEN INC	12/24/14	61.14	978	1,079	100	38	3.50%	0.1%
ADI	38 ANALOG DEVICES INC	-	-	2,736	2,729	-8	78	2.87%	0.3%
BUD	12 ANHEUSER BUSCH INBEV ADR	08/09/13	48.96	1,861	3,003	1,143	68	2.28%	0.3%
T	58 AT & T INC	04/18/16	127.84	1,534	1,428	-106	36	2.53%	0.1%
ADP	26 AUTOMATIC DATA PROCESSIN	-	-	1,986	2,230	245	114	5.10%	0.2%
BDX	17 BECTON DICKINSON & CO	08/09/13	63.32	1,646	2,512	866	59	2.36%	0.2%
BLK	6 BLACKROCK INC	08/09/13	100.15	1,703	3,056	1,353	50	1.62%	0.3%
CVX	21 CHEVRON CORPORATION	10/29/15	348.94	2,094	2,294	200	60	2.62%	0.2%
CB	23 CHUBB LTD	-	-	2,482	2,237	-246	91	4.06%	0.2%
CSCO	50 CISCO SYS INC	-	-	2,537	3,174	637	63	2.00%	0.3%
CLX	21 CLOROX COMPANY	12/24/14	28.58	1,429	1,687	258	58	3.44%	0.2%
CL	30 COLGATE PALMOLIVE CO	08/09/13	85.95	1,805	2,796	991	71	2.52%	0.3%
CBRL	14 CRACKER BARREL OLD CNTRY	08/09/13	60.75	1,822	2,154	332	48	2.23%	0.2%
EV	26 EATON VANCE CORP NON VTG	-	-	1,925	2,314	389	64	2.78%	0.2%
EIX	22 EDISON INTL	08/09/13	40.66	1,057	1,171	114	29	2.49%	0.1%
EMR	37 EMERSON ELECTRIC CO	-	-	1,423	1,739	316	48	2.75%	0.2%
ES	40 EVERSOURCE ENERGY	-	-	2,292	2,180	-111	71	3.26%	0.2%
XOM	25 EXXON MOBIL CORP	08/09/13	43.83	1,753	2,369	616	76	3.21%	0.2%
FDS	11 FACTSET RESEARCH SYS INC	08/09/13	90.67	2,267	2,048	-219	77	3.76%	0.2%
GD	20 GENL DYNAMICS CORP COM	08/09/13	110.78	1,219	1,774	556	25	1.39%	0.2%
GIS	35 GENL MILLS INC	08/09/13	87.05	1,741	3,888	2,147	67	1.73%	0.4%
GWV	12 GRAINGER W W INC	08/09/13	52.46	1,836	1,972	136	67	3.41%	0.2%
HRS	22 HARRIS CORP DEL	-	-	3,055	2,254	-801	61	2.73%	0.2%
HD	15 HOME DEPOT INC	08/09/13	57.78	1,271	2,397	1,126	47	1.95%	0.2%
ITW	41 ILL TOOL WORKS INC	-	-	1,604	2,372	768	53	2.25%	0.2%
		-	-	3,389	5,670	2,281	107	1.88%	0.5%

05/11/2017

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IBM	12	INTL BUSINESS MACH CORP	-	-	1,961	151.25	1,815	-146	72	3.97%	0.2%
SJM	18	JM SMUCKER CO	08/09/13	113.38	2,041	125.34	2,256	215	54	2.39%	0.2%
JNJ	26	JOHNSON & JOHNSON	-	-	2,385	122.81	3,193	809	87	2.74%	0.3%
K	27	KELLOGG COMPANY	08/09/13	65.63	1,772	71.05	1,918	146	56	2.93%	0.2%
KMB	10	KIMBERLY-CLARK CORP	12/24/14	118.40	1,184	129.15	1,292	108	39	3.00%	0.1%
LOW	47	LOWES COMPANIES INC	-	-	2,170	86.06	4,045	1,875	66	1.63%	0.4%
MCD	15	MCDONALDS CORP	08/09/13	97.64	1,465	144.52	2,168	703	56	2.60%	0.2%
MDT	43	MEDTRONIC PLC	-	-	3,299	82.89	3,564	266	74	2.08%	0.3%
MSFT	68	MICROSOFT CORP	08/09/13	32.69	2,223	69.31	4,713	2,490	106	2.25%	0.4%
NMFC	99	NEW MOUNTAIN FINANCE CRP	12/24/14	14.90	1,475	14.80	1,465	-10	135	9.19%	0.1%
NEE	23	NEXTERA ENERGY INC	08/09/13	86.26	1,984	134.17	3,086	1,102	90	2.93%	0.3%
NKE	26	NIKE INC CLASS B	01/21/16	60.64	1,577	54.56	1,419	-158	19	1.32%	0.1%
NSC	22	NORFOLK SOUTHERN CORP	08/09/13	74.00	1,628	116.91	2,572	944	54	2.09%	0.2%
NVS	23	NOVARTIS AG	08/09/13	73.40	1,688	78.83	1,813	125	53	2.92%	0.2%
PAYX	44	PAYCHEX INC	08/09/13	40.48	1,781	57.54	2,532	751	81	3.20%	0.2%
PBCT	125	PEOPLES UNITED FINANCL	-	-	1,915	17.35	2,169	254	86	3.98%	0.2%
PEP	21	PEPSICO INCORPORATED	08/09/13	84.57	1,776	112.84	2,370	594	68	2.85%	0.2%
PSX	27	PHILLIPS 66	-	-	1,789	79.75	2,153	364	76	3.51%	0.2%
PJL	17	POLARIS INDS INC	08/09/13	113.63	1,932	94.14	1,600	-331	39	2.46%	0.2%
PX	16	PRAAIR INC	08/09/13	120.97	1,936	129.17	2,067	131	50	2.44%	0.2%
PG	51	PROCTER & GAMBLE CO	-	-	4,204	86.49	4,411	207	141	3.19%	0.4%
PGR	39	PROGRESSIVE CORP OHIO	07/16/15	30.27	1,181	40.53	1,581	400	27	1.68%	0.1%
QCOM	31	QUALCOMM INC	-	-	2,009	55.33	1,715	-294	71	4.12%	0.2%
ROK	15	ROCKWELL AUTOMATION INC	-	-	1,654	157.64	2,365	711	46	1.93%	0.2%
SCG	15	SCANA CORP COM	08/09/13	51.44	772	65.11	977	205	37	3.75%	0.1%
SO	32	SOUTHERN COMPANY/THE	08/09/13	43.88	1,404	49.87	1,566	192	74	4.65%	0.2%
SR	24	SPIRE INC	-	-	1,552	69.30	1,663	111	50	3.03%	0.2%
SYV	47	SYSICO CORPORATION	08/09/13	35.03	1,646	54.54	2,563	917	62	2.42%	0.2%
TGT	25	TARGET CORP	08/09/13	70.96	1,774	58.41	1,460	-314	60	4.11%	0.1%
TCPC	97	TCP CAPITAL CORP	12/24/14	16.32	1,583	16.80	1,630	47	140	8.57%	0.2%
TSIX	70	TPG SPECIALTY LENDING	-	-	1,193	20.59	1,441	248	109	7.58%	0.1%
UTX	22	UNITED TECHNOLOGIES CORP	-	-	2,258	121.02	2,662	405	58	2.18%	0.3%
USB	79	US BANCORP NEW	-	-	3,849	51.97	4,106	257	88	2.16%	0.4%
VZ	21	VERIZON COMMUNICATIONS	12/24/14	47.54	998	46.38	974	-24	49	4.98%	0.1%
VFC	73	VF CORPORATION	-	-	3,975	55.57	4,057	81	123	3.02%	0.4%
WMT	52	WAL-MART STORES INC	-	-	4,184	76.70	3,988	-196	106	2.66%	0.4%

05/11/2017

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WSO	16	WATSCO INC	-	-	1,827	137.87	2,206	379	67	3.05%	0.2%
WEC	19	WEC ENERGY GROUP INC	08/09/13	43.35	824	60.43	1,148	325	40	3.44%	0.1%
XEL	36	XCEL ENERGY INC	02/05/16	39.37	1,417	44.89	1,616	199	52	3.21%	0.2%
Equity Fund											
AEPFX	71	AMERICAN EUROPA PACIFIC F2	-	-	116,748		128,088	11,340	1,692	1.32%	12.1%
DEMIX	1,277	DELAWARE EMERGING MKTS I	05/05/17	17.23	3,229	51.81	3,663	434	49	1.34%	0.3%
DODFX	38	DODGE & COX INTL STCK FD	-	-	22,000	17.55	22,409	409	175	0.78%	2.1%
HASCX	911	HARBOR SML CAP VALU INST	-	-	1,597	43.53	1,672	75	33	1.96%	0.2%
JGYIX	317	JOHN HANCOCK GLB SH I	-	-	23,959	32.82	29,902	5,943	100	0.34%	2.8%
VSEIX	55	JPM S/C EQUITY FD CL I	-	-	3,591	11.14	3,527	-64	98	2.78%	0.3%
MEIIX	172	MFS VALUE I	-	-	2,776	55.45	3,033	257	12	0.38%	0.3%
OIGYX	425	OPPENHMR INTL GROWTH Y	-	-	5,738	38.24	6,595	857	128	1.94%	0.6%
PID	515	POWERSHARES INTL DVD ETF	06/19/15	17.50	14,401	39.65	16,856	2,455	198	1.17%	1.6%
PMDIX	363	PRINCIPAL SM-MDCP DV INS	-	-	9,010	15.23	7,843	-1,167	291	3.72%	0.7%
TRBCX	74	T ROWE PRICE BLE CHP GRW	-	-	5,155	16.62	6,040	885	150	2.49%	0.6%
VSOIX	145	VICTORY SYCAMORE SMALL I	-	-	4,776	84.40	6,260	1,484	4	0.06%	0.6%
EMGNX	63	WELLS FARGO EMRG INST	-	-	5,851	44.67	6,489	638	34	0.52%	0.6%
DEM	300	WTREE EMG MKTS HIGH ETF	-	-	1,380	23.47	1,471	90	13	0.91%	0.1%
			-	-	13,285	41.09	12,327	-958	407	3.30%	1.2%
Fixed Income					517,679				18,606	3.59%	49.1%
Government Bond											
912828RH5	9,000	UST NTS 1.375% 093018	-	-	100,026	100.13	100,232	206	1,728	1.72%	9.5%
912828BV64	3,000	UST NTS VAR% 013119	04/18/17	100.13	9,025	100.19	9,011	-13	124	1.37%	0.9%
912828UF5	20,000	UST NTS 1.125% 123119	08/16/13	97.65	3,004	99.09	3,006	2	225	1.14%	1.9%
3135G0F73	12,000	FNMA 1.5% 113020	10/28/15	99.79	19,529	99.24	19,817	288	180	1.51%	1.1%
3135G0J20	11,000	FNMA 1.375% 022621	-	-	11,975	98.24	11,909	-66	151	1.40%	1.0%
912828RC6	2,000	UST NTS 2.125% 081521	12/21/16	100.41	10,947	101.16	10,823	-124	43	2.10%	0.2%
912828T26	8,000	UST NTS 1.375% 093023	-	-	2,008	95.37	2,023	15	110	1.44%	0.7%
3135G0ZRT	11,000	FNMA 2.625% 090624	-	-	7,500	101.93	7,629	129	289	2.58%	1.1%
3135G0K36	4,000	FNMA 2.125% 042426	-	-	11,218	95.92	11,213	-5	85	2.22%	0.4%
912810QY7	18,000	UST BDS 2.75% 111542	-	-	3,829	95.14	3,837	7	495	2.89%	1.6%
912810RA8	4,000	UST INFL 0.625% 021543	-	-	17,285	90.56	17,126	-159	26	2.89%	0.4%
			-	-	3,706		3,839	133		0.69%	
Corporate Bond											
031162BQ2	2,000	AMGEN IN 2.125% 051517	-	-	159,364	100.00	159,381	18	4,881	3.06%	15.1%
59018YJ69	2,000	MERRILL LY 6.4% 08/28/17	09/18/13	114.01	2,022	101.34	2,000	-22	64	3.16%	0.2%
					2,280		2,027	-253			

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59562VAT4	2,000	MIDAMERICAN 5.75% 04/01/18	04/28/17	103.82	2,076	103.62	2,072	-4	115	5.55%	0.2%
037833AG5	4,000	APPLE INC VAR% 05/03/18	06/11/15	100.12	4,005	100.26	4,010	6			0.4%
24422ESF7	2,000	JOHN DEER 1.95% 12/13/18	01/28/14	100.06	2,001	100.36	2,007	6	39	1.94%	0.2%
06367VHL2	4,000	BANK OF 2.375% 01/25/19	-	-	4,036	100.90	4,036	0	95	2.35%	0.4%
035242AE6	1,000	ANHEUSER- 2.15% 02/01/19	12/24/14	100.23	1,002	100.50	1,005	3	22	2.14%	0.1%
00206RAR3	10,000	AT&T INC 5.8% 02/15/19	09/30/11	118.21	11,821	106.43	10,643	-1,178	580	5.45%	1.0%
38143ACW6	19,000	GOLDMAN 2.25% 04/25/19	04/17/12	100.00	19,000	101.44	19,273	273	427	2.22%	1.8%
594918AC8	5,000	MICROSOFT 4.2% 06/01/19	09/30/11	113.83	5,692	105.24	5,262	-429	210	3.99%	0.5%
140420V31	30,000	CAPITAL ONE 1.75% 02/03/20	01/27/17	100.00	30,000	100.02	30,007	7	525	1.75%	2.8%
0258MODX4	2,000	AMERICAN E 2.6% 09/14/20	10/13/15	100.68	2,014	101.24	2,025	11	52	2.57%	0.2%
25746UBL2	2,000	DOMINION 4.45% 03/15/21	09/17/13	106.13	2,123	107.09	2,142	19	89	4.16%	0.2%
126650CT5	4,000	CVS HEALTH 2.125% 06/01/21	05/17/16	99.80	3,992	98.22	3,929	-63	85	2.16%	0.4%
14040HAY1	4,000	CAPITAL 4.75% 07/15/21	09/17/13	104.65	4,186	107.46	4,298	112	190	4.42%	0.4%
571903AR4	2,000	MARRIOTT 2.3% 01/15/22	08/04/16	101.00	2,020	98.33	1,967	-53	46	2.34%	0.2%
125509BS7	2,000	CIGNA CORP 4% 02/15/22	09/16/13	101.20	2,024	105.30	2,106	82	80	3.80%	0.2%
816851AT6	2,000	SEMPRA EN 2.875% 10/01/22	09/17/13	91.74	1,835	99.80	1,996	161	58	2.88%	0.2%
36962G6S8	4,000	GENERAL EL 3.1% 01/09/23	08/21/13	94.17	3,767	103.13	4,125	358	124	3.01%	0.4%
61746BDJ2	2,000	MORGAN ST 3.75% 02/25/23	09/04/13	94.44	1,889	103.37	2,067	179	75	3.63%	0.2%
12189LAM3	4,000	BURLINGTON 3% 03/15/23	08/21/13	94.98	3,799	102.00	4,080	281	120	2.94%	0.4%
46625HLJ0	4,000	JPMORGAN 3.375% 05/01/23	08/22/13	92.89	3,715	100.65	4,026	311	135	3.35%	0.4%
023135AN6	3,000	AMAZON.COM 3.8% 12/05/24	-	-	3,191	106.22	3,186	-5	114	3.58%	0.3%
00206RDD1	4,000	AT&T INC 3.95% 01/15/25	10/07/15	99.28	3,971	100.61	4,024	53	158	3.93%	0.4%
38148LAE6	4,000	GOLDMAN S 3.75% 05/22/25	-	-	4,026	101.45	4,058	32	150	3.70%	0.4%
15102QAS3	2,000	CELGENE 3.875% 08/15/25	-	-	2,034	102.92	2,058	24	78	3.77%	0.2%
035242AP1	4,000	ANHEUSER- 3.65% 02/01/26	-	-	4,192	101.64	4,066	-127	146	3.59%	0.4%
92343VDD3	4,000	VERIZON COM 2.625% 08/15/26	08/03/16	99.18	3,967	90.90	3,636	-331	105	2.89%	0.3%
872540AQ2	2,000	TJX COS INC 2.25% 09/15/26	10/06/16	97.69	1,954	92.15	1,843	-111	45	2.44%	0.2%
20030NBY6	2,000	COMCAST CO 3.3% 02/01/27	05/08/17	100.28	2,006	100.19	2,004	-2	70	3.49%	0.2%
68389XBE4	4,000	ORACLE C 3.9% 05/15/35	-	-	3,913	99.35	3,974	61	156	3.93%	0.4%
59562VAM9	2,000	MIDAMERICAN 6.125% 04/01/36	08/23/13	111.75	2,235	124.27	2,485	250	123	4.93%	0.2%
59156RAP3	2,000	METLIFE INC VAR% 12/15/66	08/21/13	101.15	2,023	113.55	2,271	248	128	5.64%	0.2%
458140AK6	2,000	INTEL CORP 4.8% 10/01/41	09/29/14	105.36	2,107	110.61	2,212	105	96	4.34%	0.2%
06051GFC8	2,000	BANK OF AMER 5% 01/21/44	09/30/14	105.87	2,117	108.86	2,177	60	100	4.59%	0.2%
29379VBAD	2,000	ENTERPRIS 4.85% 03/15/44	10/03/14	102.91	2,058	101.23	2,025	-34	97	4.79%	0.2%
92826CAF9	2,000	VISA INC S 4.3% 12/14/45	04/04/17	105.74	2,115	106.25	2,125	10	86	4.05%	0.2%
369604BQ5	2,000	GENERAL ELE 5% PERPTL	07/12/16	107.70	2,154	106.63	2,133	-22	100	4.69%	0.2%

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AMLP	315	ALERIAN MLP ETF	-	-	5,448	12.29	3,871	-1,576	290	7.49%	0.4%
MLPA	169	GLOBAL X FUND ETF	-	-	2,469	11.24	1,900	-570	134	7.06%	0.2%
ARGYX	150	INVESCO GLBL REAL EST Y	-	-	1,958	12.81	1,925	-33	75	3.92%	0.2%
FARCX	1,331	NUVEEN REAL ESTATE I	-	-	27,188	22.03	29,330	2,143	952	3.25%	2.8%
Commodity Fund											
SKIRX	119	DEUTSCHE ENH CMDTY INSTL	-	-	1,374	11.13	1,328	-46	100	7.51%	0.1%
Multi-Class					128,276		149,410	21,134	3,841	2.57%	14.2%
Market Linked											
94986TM46	20,000	WELLS FARGO 0% 09/02/22	02/28/17	100.37	20,074	94.22	18,843	-1,230			1.8%
Multi-Asset Fund											
FRJAX	31,481	FRANKLIN INCOME ADV	-	-	69,802	2.33	73,351	3,549	3,841	5.24%	7.0%
Annuity Contract											
59156T104	-	BRIGHTHOUSE LIFE INS CO	11/14/11	-	38,400		57,215	18,815	-		5.4%
53404P204	-	LINCOLN AM LGCY SIG B V	05/07/14	-	23,400	-	42,026	18,626	-	-	4.0%
					15,000	-	15,189	189	-	-	1.4%
Cash Alternatives					52,675		52,675	0	3	0.00%	5.0%
Money Market Fund											
FTJXX	679	GOLDMAN FINL SQ TREAS MM	-	1.00	679	1.00	679	0	1	0.16%	0.1%
Cash Alternative											
	51,996	Cash Alternative		1.00	51,996	1.00	51,996	0	1	0.00%	4.9%
Portfolio Diversification Total							1,054,561		30,521	2.89%	100.0%

**Total Portfolio Value as of 05/10/17: \$ 1,054,561**

Cost information for some tax lots will not be reported to the IRS.

This report is not a substitute for your own records and the year-end 1099 form. Cost data and acquisition dates provided by you are not verified by our firm. Our firm does not render legal, accounting or tax advice. Transactions requiring tax considerations should be reviewed carefully with your accountant or tax advisor.

Unless indicated otherwise, the Price and Cost are adjusted on certain fixed income securities for amortization (if purchased at a premium), accretion (if purchased at a discount) and/or commission and fees.

This report is not complete without the Understanding Your Portfolio report which contains important terms and definitions.

Estimated Income Annualized (EIA) takes your current income, based on the current dividends or interest paid by your securities and multiplies it to create an annualized hypothetical figure. It does not reflect actual or future performance and should not be relied upon when making financial decisions. Estimated Yield Annualized (EYA) is the EIA divided by the current market value. It does not reflect changes in price, which may fluctuate. All annualizations are based upon current positions using a simple mathematical calculation and assumes all figures remain constant for a year. any subsequent position changes will affect these calculations. Calculations for certain types of securities could include a return of principal or capital gain, in which case the figures would be overstated. Past performance is not a guarantee of future results. As these hypothetical calculations are solely based on mathematical principles, no consideration is given for premium or discount at purchase for bonds, which will affect the yield the investor

will realize on the bond if held to redemption date, called or sold prior to maturity. Dividend payments are made solely at the discretion of the issuer and are subject to be changed or eliminated at any time. Estimated Income and Estimated Yield are estimates and the actual income and yield might be lower or higher than the estimated amounts. Estimated Yield reflects only the income generated by an investment. It does not reflect changes in price, which may fluctuate. Please note, EIA and EYA are only available on client reports.

## Understanding Your Portfolio

### General Information

Investing involves risk, including the possible loss of principal. This report is intended to provide you with a descriptive overview of your current portfolio. The report may encompass one account or multiple accounts as specified in the report. The material has been prepared or is distributed solely for information purposes and does not supersede the proper use of your Wells Fargo Advisors client statements and/or trade confirmations, which are only indications of market values, are records of your account activity. Any market prices are only indications of market values, are subject to change, and may not reflect the value at which the securities could be sold. Reported prices should not be considered actual bids. For these reports, in certain groupings, Corporate Bonds includes Certificates of Deposit. Additionally, the report is prepared as of trade date, rather than settlement date, and may be prepared on a different date than your statement. The information contained in this report may not reflect all holdings or transactions, their costs, or proceeds in your account.

The report may also include information you provided about assets held at other firms. Information on assets held away from Wells Fargo Advisors was provided by you and may not be covered by SIPC. We have relied solely on information from you regarding those assets. We do not verify or confirm those assets held with other firms or affiliates and you are responsible for notifying your Financial Advisor of any changes in your externally held investments including cost basis. Incomplete or inaccurate cost basis will affect your plan results because the tax assumptions are incorrect. Due to timing issues, if this report includes assets held at a Trust Company, positions and market data should be verified. Before making any decisions please validate your account information with your Financial Advisor. The financial data used to generate this report is provided to Wells Fargo Advisors by third party vendors. While this information is believed to be reliable, it has not been verified. Security ID will be ticker symbol, CUSIP number, Security Number or, if you have provided us with a market value of a generic asset classification type, we will assign a dash. This report is not complete unless all pages noted are included. For more detailed information, including current pricing, call your Financial Advisor.

To the extent that this report includes fixed income securities, you should be aware that the descriptions of fixed income securities are general in nature and do not reflect important information that you should consider, such as but not limited to, yield, call features, credit quality, and various tax implications. Before making any decisions regarding fixed income investments, contact your advisor to obtain more detailed information regarding specific fixed income securities.

Asset classification of holdings in external accounts where classification is not readily available may be assigned to a multi-asset class category or reassigned into additional asset classes by your Financial Advisor which may not be the most accurate asset class based on the holding's characteristics and risk profile. It is your responsibility to review the asset classification for external accounts and notify us of any changes.

Asset Classes for mutual funds, variable annuities and exchange-traded funds are derived from Morningstar Categories. Underlying holdings classification provided by Morningstar. ©2017 Morningstar, Inc. All Rights Reserved. The information contained herein: (1) is proprietary to Morningstar and/or its content providers; (2) may not be copied or distributed; and (3) is not warranted to be accurate, complete or timely. Neither Morningstar nor its content providers are responsible for any damages or losses arising from any use of this information.

### External Accounts Included in Your Report

As a service, we may have included your assets and/or your liabilities held at other financial institutions. We assume no responsibility for the accuracy or completeness of the information you provided either to your Financial Advisor or through any third party aggregation service regarding your assets or liabilities held at other firms. We may update the pricing of these securities; however, there may be cases when updating prices is not possible. In addition, any transactions, values or changes in your external accounts will not be reflected unless you provide updated information to your Financial Advisor. In instances where you use a third party aggregation service, we rely on you to take action when notified by the third party service that updates are needed. The accuracy and completeness of the information you provide may materially affect the results and any recommendations contained in this report.

If we have included or if you have provided us with information on accounts managed by you or an affiliate of Wells Fargo Advisors, including self-directed WellsTrade accounts at Wells Fargo Advisors, and fiduciary accounts at Wells Fargo Bank, N.A., you should understand that Wells Fargo Advisors has no authority to manage, direct or influence the accounts. With respect to such accounts, the Strategic Allocation listed in this report is for informational purposes only and should not be considered a recommendation from Wells Fargo Advisors or your Financial Advisor. The views, opinions, asset allocation models and forecasts may differ from our affiliates.

By providing you this report, neither the firm nor your Financial Advisor is acting as a fiduciary for purposes of ERISA or section 4975 of the Code with respect to any external ERISA-covered employee benefit plan or any external individual retirement account in either the planning, execution or provision of this analysis. The firm including your Financial Advisor: (a) does not have discretionary authority or control with respect to the assets in any external ERISA-covered employee benefit plan or any external individual retirement accounts included in this Plan, (b) will not be deemed an "investment manager" as defined under ERISA, or otherwise have the authority or responsibility to act as a "fiduciary" (as defined under ERISA) with respect to such assets, and (c) will not provide "investment advice" as defined by ERISA, with respect to such assets. Any asset allocation information presented in this Plan for external 401(k) or individual retirement accounts is for general asset allocation education and informational purposes only and should not be viewed as fiduciary investment advice.

### Fixed Income Analysis

Municipal bonds, under present federal income tax law, the interest income received from investing in tax-exempt municipal bonds is generally free from federal income taxes. While the interest income may be tax-free, capital gains, if any, will be subject to taxes. Income for some investors may be subject to the federal Alternative Minimum Tax (AMT).

Redemption date indicated is either maturity date (M), pre-refunded date (R), mandatory put or tender date (T), Dutch auction date or optional tender date (D), display date on perpetual securities (sixty years from report date) (P) or the date which would represent the average life of the maturity when measured from the date of this report (A). Because perpetual securities do not have a stated maturity date, we assign a date of sixty years from the date of the report to enable us to provide approximate yields and durations.

FNMA pass-through securities, FHLMC Participation Certificates and FHLMC Gold Participation Certificates are fully guaranteed as to the timely payment of principal and interest by FNMA/FHLMC. These securities are not backed by the full faith and credit of the U.S. Government. GNMA is an agency of the HUD within the U.S. Government and GNMA pass-through securities are

guaranteed as to the timely payment of principal and interest by GNMA. GNMA's are backed by the full faith and credit of the U.S. Government. The market value of all these securities prior to redemption is not guaranteed and may fluctuate. Treasuries are direct U.S. Government securities. Agencies include U.S. Government backed agencies and U.S. Government sponsored entities. *Agency securities that are below AAA credit quality will be displayed based on their credit rating.*

### Asset Class Descriptions

The asset class descriptions below are included solely to provide insight into how individual securities are tied to specific asset classes. We use our best efforts to correctly classify investments. However, no warranty of accuracy is made.

**Equity Investments:** Equity investments refer to buying stocks of United States companies. The investment return to the owner of stock (shareholder) is in the form of dividends and/or capital appreciation. The market capitalization of companies is used to group large, medium (Mid), and small companies. Shareholders share in both the upside potential and the downside risk.

**Capitalization:** Market capitalization definitions differ but one example of capitalization methodology is that of Morningstar, which defines "large-capitalization" stocks as those stocks that form the top 70% of the market capitalization of the stocks eligible to be included in the Morningstar US Market Index (a diversified broad market index that represents approximately 97% of the market capitalization of publicly traded U.S. Stocks). The Morningstar index methodology defines "mid-capitalization" stocks as those stocks that form the 20% of market capitalization between the 70th and 90th percentile of the market capitalization and "small-capitalization" stocks as those stocks that form the 7% of market capitalization between the 90th and 97th percentile of the market capitalization of the stocks eligible to be included in the Morningstar US Market Index.

*Investing in small and mid-cap companies involve additional risks such as limited liquidity and greater volatility.*

**Fixed Income Securities (Bonds):** Bonds are promissory notes of a United States corporation or federal government entity (taxable bonds) or a state or local government entity (tax-exempt or municipal bonds). Bonds usually make a series of interest payments followed by a return of principal at maturity. If sold prior to maturity, the price that can be obtained for a bond may be more or less than face value, depending on interest rates at the time the bond is sold and the remaining term of the bond.

Fixed income securities include Treasuries (i.e., public obligations of the U.S. Treasury that have remaining maturities of more than one year), government-related issues (i.e., agency, sovereign, supranational, and local authority debt), and corporate bonds.

*Investments in fixed-income securities are subject to market, interest rate, credit/default, inflation and other risks. Bond prices fluctuate inversely to changes in interest rates. Therefore, a general rise in interest rates can result in the decline in the bond's price. Lower rated securities are speculative and involve greater risk of default.*

**Term:** Short-term bonds have effective maturities of six years or less, intermediate bonds have effective maturities between six and twelve years, and long-term bonds have maturities of twelve years or longer.

*Income from tax exempt bonds is generally free from federal and state taxes for residents of the issuing state. While the interest income is tax-free, capital gains if any are subject to taxes. Income of certain tax-exempt bonds may be subject to the Federal Alternative Minimum Tax (AMT).*

### Real Assets: Real Assets includes Public Real Estate, Private Real Estate and Commodities.

Public Real Estate includes listed real-estate companies and equity and mortgage REITs. A REIT combines the capital of many investors to either acquire or provide financing for real estate. Private Real Estate is an investment that uses an active management strategy consisting of both direct and secondary ownership of equity and debt interests in various types of real property. Commodities are usually agricultural products such as corn, livestock, coffee and cocoa or metals such as gold, copper and silver, or energy products such as oil and natural gas. Commodities can either be sold on the spot market for immediate delivery or on the commodities exchanges for later delivery. Trade on commodities exchanges is usually in the form of future contracts.

*There are special risks associated with an investment in real estate, including possible illiquidity of the underlying properties, credit risk, interest rate fluctuations and the impact of varied economic conditions. Mortgage REITs will be subject to interest rate fluctuations and to the spread between short-term and long-term bond rates. Privately offered real estate funds are speculative and involve a high degree of risk. Investments in real estate have special risks, including the possible illiquidity of the underlying properties, credit risk, interest rate fluctuations, and the impact of varied economic conditions. There can be no assurance a secondary market will exist and there may be restrictions on transferring interests. The commodities markets are considered speculative, carry substantial risks, and have experienced periods of extreme volatility.*

**Multi-Class:** This category is primarily used to classify investments that include a substantial amount of both equity and fixed income investments, or some other combination of classes.

**Cash Alternatives:** Cash Alternatives include liquid, short term and interest bearing investments. Examples are Treasury bills and commercial paper. It is possible to lose money by investing in cash alternatives.

*Wells Fargo Advisors is a trade name used by Wells Fargo Clearing Services, LLC and Wells Fargo Advisors Financial Network, LLC, separate registered broker-dealers and non-bank affiliates of Wells Fargo & Company.*

# SNAPSHOT

KATHRYN G ELLIS LVG TR  
KATHRYN G ELLIS TTEE  
U/A DTD 06/31/1994

DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER:

## Cash flow summary

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	\$534.54	154.42
Income and distributions	0.00	\$154.42
Net additions to cash	\$0.00	\$0.00
Net subtractions from cash	\$0.00	\$0.00
Closing value of cash and sweep balances	\$534.54	

## Income summary \*

TAXABLE	THIS PERIOD	THIS YEAR
Interest	0.00	154.42
Total taxable income	\$0.00	\$154.42
Total federally tax-exempt income	\$0.00	\$0.00
Total income	\$0.00	\$154.42

\* Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.

## Gain/loss summary

	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term (S)	0.00	0.00	0.00
Long term (L)	10.92	0.00	0.00
Total	\$10.92	\$0.00	\$0.00



SNAPSHOT

KATHRYN G ELLIS LVG TR  
KATHRYN G ELLIS TTEE  
U/A DTD 05/31/1994  
DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER:

Your Financial Advisor

RITA MAHN  
Phone: 636-931-1900 / 800-811-6075  
P O BOX 727  
FESTUS MO 63028

Client service information

Client service: 800-266-6263 (800) COMMAND  
En español: 800-326-8977  
Website: www.wellsfargoadvisors.com

Account profile

Full account name: KATHRYN G ELLIS LVG TR  
KATHRYN G ELLIS TTEE  
U/A DTD 05/31/1994  
Command Asset Program  
2257-9642  
9089639777  
Taxable  
Account type: MODERATE INCOME  
Brokerage account number: LONG TERM (10+ YEARS)  
Command account number: NONE  
Tax status: First In, First out  
Investment objective/Risk tolerance:\* BANK DEPOSIT SWEEP  
Time horizon:\*  
Liquidity needs:\*  
Cost Basis Election:  
Sweep option:

Available funds

\*For more information, please visit us at: www.wellsfargoadvisors.com/disclosures  
Cash 0.00  
Money market and sweep funds 534.54  
Available for loan 0.00  
Your total available funds \$534.54

For your consideration

Go paperless. Accessing your account documents online is easy, secure, and costs nothing. Sign on to wells Fargo advisors.com with your Access Online Username and Password, select Statements & Docs, and then click on the Delivery Preferences Quick Link. Choose Electronic Delivery to go paperless or select specific account documents for electronic delivery. If you do not have a Username and Password, visit wells Fargo advisors.com/signup or call 1-877-879-2495 for enrollment assistance.

Document delivery status

	Paper	Electronic
Statements:	X	
Trade confirmations:		X
Tax documents:	X	
Shareholder communications:		X
Other documents:	X	

KATHRYN G ELLIS LVG TR  
KATHRYN G ELLIS TTEE  
U/A DTD 05/31/1994

DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER:

## Portfolio detail

### Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more days prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	ACCOUNT	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP		0.77	0.00	534.54	0.00
Interest Period 12/01/16 - 12/31/16					

**Total Cash and Sweep Balances** **0.77** **\$534.54** **\$0.00**

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

### Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

#### Certificates of Deposit

Long-term certificates of deposit (maturity beyond one year from date of issue) are priced using a market value pricing model.

DESCRIPTION	ACCOUNT	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
GE CAPITAL BANK CD SALT LK CITY UT ACT/365 FDIC INSURED CPN 1.100% DUE 05/02/17 DTD 05/02/14 FC 11/02/14 CUSIP 36161TF34 Acquired 04/25/14 L		20.31	14.000	100.00	14,000.00	100.0780	14,010.92	10.92	25.31	154.00	1.09
<b>Total Certificates of Deposit</b>	<b>20.31</b>	<b>14.000</b>			<b>\$14,000.00</b>		<b>\$14,010.92</b>	<b>\$10.92</b>	<b>\$25.31</b>	<b>\$154.00</b>	<b>1.10</b>
<b>Total Fixed Income Securities</b>	<b>20.31</b>				<b>\$14,000.00</b>		<b>\$14,010.92</b>	<b>\$10.92</b>	<b>\$25.31</b>	<b>\$154.00</b>	<b>1.10</b>



KATHRYN G ELLIS LVG TR  
KATHRYN G ELLIS TTEE  
U/A DTD 06/31/1994

DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER:

## Annuities/Insurance

These positions are not held in your account and are not protected by SIPC. These positions are held directly by the issuing insurance company. Annuity and insurance information is provided directly from the insurance companies. Accuracy is not guaranteed and surrender charges may apply. IRA holders will receive a separate IRS Form 5498/FMV Statement in compliance with IRS requirements. Total premium(s) represents the gross amount of premiums made since the contract issue date and is not reduced by any withdrawals. Elected benefit rider information may not be available from all insurance companies. Verify contract and rider information prior to taking action on your contract as values may have changed. Please contact Your Financial Advisor should you have any questions about the contracts you own.

### Variable Annuities

DESCRIPTION	% OF ACCOUNT	AS OF VALUE DATE	ESTIMATED MARKET VALUE
LINCOLN NATL LIFE INS CO AM LEGACY SIGNATURE B SH VARIABLE ANNUITY CONTR# 95315624700000000 ISSUE DATE 5/7/14 TOTAL PREMIUM(S) \$15,000.00	20.97	12/29/16	14,486.36
Sub Funds			
LVIP AMGLBLAMR	Units	Estimated Value	% Market Value
LVIP AM PR	122.79450	1,431.82	9.89
AFMNGDRSK INTL	436.93530	4,218.61	29.16
AFMNGDRSK BCIG	241.61600	2,107.16	14.56
AFMNGDRSK GRTH	190.38560	2,273.54	15.71
AFMNGDRSK GRIN	262.29270	2,950.08	20.39
	128.76630	1,485.15	10.26
SURRENDER VALUE (As of 12/28/16)		13,492.21	
ELECTED BENEFIT(S) (As of 12/28/16)			
LINCOLN LIFETIME INC ADV 2.0 S		16,537.50	
PROTECTED BENEFIT BASE		661.50	
REMAINING W/D AMT		15,000.00	
DEATH BENEFIT			
GUARANTEE OF PRINCIPAL			
METLIFE INVS USA 7-YEAR CHASSIS VARIABLE ANNUITY CONTR# 3204385234 ISSUE DATE 11/14/11 TOTAL PREMIUM(S) \$33,250.55	57.95	12/29/16	39,977.65
Sub Funds			
AQR GLBLRISKBALANCED	Units	Estimated Value	% Market Value
METLIFE BALANCED PLUS	447.94130	4,819.94	12.05
BLACKROCK GLBLTCTSTRT	1,680.88880	21,575.78	53.96
	1,153.38230	13,581.93	33.97
SURRENDER VALUE (As of 12/28/16)		38,967.68	
ELECTED BENEFIT(S) (As of 12/28/16)			
Guaranteed Min Income Benefit			

KATHRYN G ELLIS LVG TR  
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DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER:

## Annuities/Insurance continued

### Variable Annuities

DESCRIPTION	% OF ACCOUNT	AS OF VALUE DATE	ESTIMATED MARKET VALUE
PROTECTED BENEFIT BASE		45,908.20	
DEATH BENEFIT		40,980.98	
<b>Total Variable Annuities</b>	<b>78.92</b>		<b>\$54,444.01</b>
<b>Total Annuities/Insurance</b>	<b>78.92</b>		<b>\$54,444.01</b>

## Bank Deposits Through Teller

Wells Fargo Bank, N.A. (Member FDIC)

Account number 9089639777

December 1 - December 31

Questions? Call us at 1-800-266-6263

Deposits made in a bank branch on the last business day of the month will typically appear on your next statement.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	BANK BALANCE
12/01		BEGINNING BALANCE		\$0.00
12/31		ENDING BALANCE		\$0.00



# **SNAPSHOT**

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KATHRYN G ELLIS LVG TR  
KATHRYN G ELLIS TTEE  
U/A DTD 05/31/1994

APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER:

## **Cash flow summary**

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances		
Income and distributions	\$534.54	0.01
Net additions to cash	\$0.01	\$0.01
Net subtractions from cash	\$0.00	\$0.00
Closing value of cash and sweep balances	\$534.55	

## **Income summary \***

TAXABLE	THIS PERIOD	THIS YEAR
Money market/sweep funds	0.01	0.01
Total taxable income	\$0.01	\$0.01
Total federally tax-exempt income	\$0.00	\$0.00
Total income	\$0.01	\$0.01

\* Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.

## **Gain/loss summary**

	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term (S)	0.00	0.00	0.00
Long term (L)	0.14	0.00	0.00
Total	\$0.14	\$0.00	\$0.00



SNAPSHOT

KATHRYN G ELLIS LVG TR  
KATHRYN G ELLIS TTEE  
U/A DTD 05/31/1994

APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER:

Your Financial Advisor

RITA MAHN  
Phone: 636-931-1900 / 800-811-6075

P O BOX 727  
FESTUS MO 63028

Client service information

Client service: 800-266-6263  
En español: 800-326-8977  
Website: www.wellsfargoadvisors.com

Account profile

Full account name:

KATHRYN G ELLIS LVG TR  
KATHRYN G ELLIS TTEE  
U/A DTD 05/31/1994

Account type:

Brokerage account number:

Brokerage Cash Services number:

Tax status:

Investment objective/Risk tolerance:\*

Time horizon:\*

Liquidity needs:\*

Cost Basis Election:

Sweep option:

\*For more information, please visit us at: www.wellsfargoadvisors.com/disclosures

Available funds

Cash	0.00
Money market and sweep funds	534.55
Available for loan	0.00
Your total available funds	\$534.55

For your consideration

Go paperless. Accessing your account documents online is easy, secure, and costs nothing. Sign on to [wellsfargoadvisors.com](http://wellsfargoadvisors.com) with your Access Online Username and Password, select **Statements & Docs**, and then click on the **Delivery Preferences Quick Link**. Choose **Electronic Delivery** to go paperless or select specific account documents for electronic delivery. If you do not have a Username and Password, visit [wellsfargoadvisors.com/signup](http://wellsfargoadvisors.com/signup) or call 1-877-879-2495 for enrollment assistance.

Document delivery status

	Paper	Electronic
Statements:	X	
Trade confirmations:		X
Tax documents:	X	
Shareholder communications:		X
Other documents:	X	

KATHRYN G ELLIS LVG TR  
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U/A DTD 05/31/1994

APRIL 1, 2017 - APRIL 30, 2017  
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## Portfolio detail

### Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	0.74	0.02	534.55	0.10
Interest Period 04/01/17 - 04/30/17				

### Total Cash and Sweep Balances

0.74

\$534.55

\$0.10

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

### Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

### Certificates of Deposit

Long-term certificates of deposit (maturity beyond one year from date of issue) are priced using a market value pricing model.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
GE CAPITAL BANK CD ^ SALT LK CITY UT ACT/365 FDIC INSURED CPN 1.100% DUE 05/02/17 DTD 05/02/14 FC 11/02/14 CUSIP 36161TF34 Acquired 04/25/14 L	19.49	14,000	100.00	14,000.00	100.0010	14,000.14	0.14	75.94	154.00	1.09
<b>Total Certificates of Deposit</b>	<b>19.49</b>	<b>14,000</b>		<b>\$14,000.00</b>		<b>\$14,000.14</b>	<b>\$0.14</b>	<b>\$75.94</b>	<b>\$154.00</b>	<b>1.10</b>
<b>Total Fixed Income Securities</b>	<b>19.49</b>			<b>\$14,000.00</b>		<b>\$14,000.14</b>	<b>\$0.14</b>	<b>\$75.94</b>	<b>\$154.00</b>	<b>1.10</b>

^ Denotes Certificate of Deposit with a maturity date in the next 60 days. Please contact us for further investment opportunities or any assistance.



KATHRYN G ELLIS LVG TR  
KATHRYN G ELLIS TTEE  
U/A DTD 05/31/1994

APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER:

### Annuitites/Insurance

These positions are not held in your account and are not protected by SIPC. These positions are held directly by the issuing insurance company. Annuity and insurance information is provided directly from the insurance companies. Accuracy is not guaranteed and surrender charges may apply. IRA holders will receive a separate IRS Form 5498/EMV Statement in compliance with IRS requirements. Total premium(s) represents the gross amount of premiums made since the contract issue date and is not reduced by any withdrawals. Elected benefit rider information may not be available from all insurance companies. Verify contract and rider information prior to taking action on your contract as values may have changed. Please contact Your Financial Advisor should you have any questions about the contracts you own.

#### Variable Annuitites

DESCRIPTION	% OF ACCOUNT	AS OF VALUE DATE	ESTIMATED MARKET VALUE
BRIGHTHOUSE LIFE INS CO 7-YEAR CHASSIS VARIABLE CONTR# 3204385234 ISSUE DATE 11/14/11 TOTAL PREMIUM(S) \$33,250.55	58.60	04/27/17	42,092.64
Sub Funds	Units	Estimated Value	% Market Value
AQR GLBLRISKBALANCED	447.94130	4,922.64	11.69
METLIFE BALANCED PLUS	1,680.88880	22,945.86	54.51
BLACKROCK GLBLCTSTRT	1,153.38230	14,224.14	33.79
SURRENDER VALUE (As of 04/26/17)		41,121.48	
ELECTED BENEFIT(S) (As of 04/26/17)			
Guaranteed Min Income Benefit			
PROTECTED BENEFIT BASE		46,716.60	
DEATH BENEFIT		42,049.24	
LINCOLN NATL LIFE INS CO AM LEGACY SIGNATURE B SH VARIABLE ANNUITY CONTR# 95315624700000000 ISSUE DATE 5/7/14 TOTAL PREMIUM(S) \$15,000.00	21.17	04/27/17	15,206.26
Sub Funds	Units	Estimated Value	% Market Value
LVP AMGLBLAMR	124.52260	1,511.46	9.93
LVP AM PR	455.70430	4,431.87	29.14
AFNMGDRSK INTL	243.71550	2,375.37	15.62
AFNMGDRSK BCIG	182.31760	2,246.08	14.77
AFNMGDRSK GRTH	252.61980	3,117.24	20.49
AFNMGDRSK GRIN	124.19040	1,524.24	10.02
SURRENDER VALUE (As of 04/26/17)		14,230.25	
ELECTED BENEFIT(S) (As of 04/26/17)			
LINCOLN LIFETIME INC ADV 2.0 S PROTECTED BENEFIT BASE REMAINING W/D AMT			16,537.50 661.50

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U/A DTD 05/31/1994

APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER:

## Annuitites/Insurance continued

### Variable Annuitites

DESCRIPTION	% OF ACCOUNT	AS OF VALUE DATE	ESTIMATED MARKET VALUE
DEATH BENEFIT GUARANTEE OF PRINCIPAL		15,203.29	
<b>Total Variable Annuitites</b>	<b>79.77</b>		<b>\$57,298.90</b>
<b>Total Annuitites/Insurance</b>	<b>79.77</b>		<b>\$57,298.90</b>

### Activity detail

#### Income and distributions

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
04/28	Cash	INTEREST		BANK DEPOSIT SWEEP 042817 534		0.01
<b>Total Income and distributions:</b>						<b>\$0.01</b>

### Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These sweep transactions may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
04/01		BEGINNING BALANCE	534.54	04/30		ENDING BALANCE	534.55
04/28	REINVEST INT	BANK DEPOSIT SWEEP	0.01				



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U/A DTD 05/31/1994

APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER:

# Bank Deposits Through Teller

April 1 - April 30

**Wells Fargo Bank, N.A. (Member FDIC)**

Account number 9089639777

Questions? Call us at 1-800-266-6263

Deposits made in a bank branch on the last business day of the month will typically appear on your next statement.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	BANK BALANCE
04/01		BEGINNING BALANCE		\$0.00
04/30		ENDING BALANCE		\$0.00

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Wells Fargo Advisors  
1311 Veterans Blvd  
PO Box 727  
Festus, MO 63028

Tel: 636-931-1900  
Toll Free: 800-811-6075  
Fax: 636-931-5944

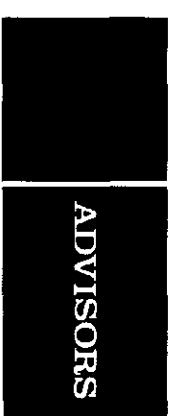


- Ann portfolio summary as of 3/10/17
- Ann activity reports 2016 for taxable accounts
- Ann activity report up to 4/30/17 for taxable accounts

Together we'll go far



Wells Fargo Advisors is a trade name used by  
Wells Fargo Clearing Services, LLC,  
Member FINRA/SIPC.



May 11, 2017

# Wells Fargo Advisors Portfolio Holdings

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Prepared for:  
**Ann Gettinger**

Prepared by:  
**Kyle J. Gowen, MBA**  
Financial Advisor  
Wells Fargo Advisors

1311 Veterans Blvd  
PO Box 727  
Festus, MO 63028

**Investment and Insurance Products:**

► NOT FDIC-Insured ► NO Bank Guarantee ► MAY Lose Value

*This report is prepared by your Financial Advisor using software provided by Wells Fargo Advisors. Wells Fargo Advisors is a trade name used by Wells Fargo Clearing Services, LLC, a registered broker-dealer and non-bank affiliate of Wells Fargo & Company.*

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**Accounts Included in the Report**

XXXX	GETTINGER ANN M	Ann's Trust - Managed DSIP	Taxable	Internal	5/10/2017
XXX*	GETTINGER ANN M	Ann's Trust - Asset Advisor	Taxable	Internal	5/10/2017
XXXXA	GETTINGER ANN LIV TR GETTINGER ANN M	Ann's Trust - Inherited	Taxable	Internal	5/10/2017
XXXC	GETTINGER ANN M	Ann's Bene IRA	Deferred	Internal	5/10/2017
XXX.	GETTINGER ANN M	Ann's Trust - FundSource	Taxable	Internal	5/10/2017
XXX	GETTINGER ANN M		Deferred	Internal	5/10/2017

## Portfolio Diversification

By: Asset Class, Security Sub Type

Equities	329,162	446,775	116,613	7,361	1.65%	62.5%
Common Stock	151,287	227,406	76,118	6,089	2.68%	31.9%
Equity Fund	177,874	218,369	40,495	1,273	0.58%	30.6%
Fixed Income	125,900	127,252	1,352	4,735	3.72%	17.8%
Municipal Bond	90,125	91,225	1,100	3,390	3.72%	12.8%
Bond Fund	35,775	36,027	252	1,345	3.73%	5.1%
Real Assets	11,973	11,629	-344	286	2.46%	1.6%
Equity Fund	11,973	11,629	-344	286	2.46%	1.6%
Multi-Class	53,030	56,776	3,746			8.0%
Market Linked	53,030	56,776	3,746			8.0%
Cash Alternatives	71,765	71,765	0	10	0.01%	10.1%
Money Market Fund	2,029	2,029	0	0	0.00%	0.3%
Cash Alternative	69,726	69,726	0	10	0.01%	9.8%
Portfolio Diversification Total	591,819	713,187	121,368	12,393	1.74%	100.0%

Total Portfolio Value as of 06/10/17: \$ 713,187

Cost information for some tax lots will not be reported to the IRS.

This report is not a substitute for your own records and the year-end 1099 form. Cost data and acquisition dates provided by you are not verified by our firm. Our firm does not render legal, accounting or tax advice. Transactions requiring tax considerations should be reviewed carefully with your accountant or tax advisor.

Unless indicated otherwise, the Price and Cost are adjusted on certain fixed income securities for amortization (if purchased at a premium), accretion (if purchased at a discount) and/or commission and fees. This report is not complete without the Understanding Your Portfolio report which contains important terms and definitions.

Estimated Income Annualized (EIA) takes your current income, based on the current dividends or interest paid by your securities and multiplies it to create an annualized hypothetical figure. It does not reflect actual or future performance and should not be relied upon when making financial decisions. Estimated Yield Annualized (EYA) is the EIA divided by the current market value. It does not reflect changes in price, which may fluctuate. All annualizations are based upon current positions using a simple mathematical calculation and assumes all figures remain constant for a year. Any subsequent position changes will affect these calculations. Calculations for certain types of securities could include a return of principal or capital gain, in which case the figures would be overstated. Past performance is not a guarantee of future results. As these hypothetical calculations are solely based on mathematical principles, no consideration is given for premium or discount at purchase for bonds, which will affect the yield the investor will realize on the bond if held to redemption date, called or sold prior to maturity. Dividend payments are made solely at the discretion of the issuer and are subject to be changed or eliminated at any time. Estimated Income and Estimated Yield are estimates and the actual income and yield might be lower or higher than the estimated amounts. Estimated Yield reflects only the income generated by an investment. It does not reflect changes in price, which may fluctuate. Please note, EIA and EYA are only available on client reports.



# Portfolio Diversification - Security Detail

By: Asset Class, Security Sub Type

Equities		329,162	445,775	116,613	7,361	1.65%	62.5%
Common Stock							
MMM	26 3M CO	-	151,287	227,406	76,118	6,089	2.68%
ABT	90 ABBOTT LABORATORIES	-	2,303	5,113	2,810	122	2.39%
AFL	68 AFLAC INC	-	4,143	4,005	-138	95	2.38%
APD	27 AIR PRODUCTS & CHEMICALS	-	3,320	5,061	1,741	117	2.31%
AEE	310 AMEREN CORP	-	1,908	3,922	2,014	103	2.62%
AMGN	310 AMEREN CORP	03/15/11	26.91	8,344	16,880	8,536	3.23%
AMGN	30 AMGEN INC	-	4,846	160.51	4,815	-31	2.87%
ADI	64 ANALOG DEVICES INC	-	2,260	79.03	5,058	2,798	2.28%
T	99 AT & T INC	-	3,282	38.45	3,807	525	5.10%
ADP	45 AUTOMATIC DATA PROCESSIN	-	2,208	96.63	4,348	2,140	2.36%
BDX	30 BECTON DICKINSON & CO	-	2,341	179.76	5,393	3,051	1.62%
BLK	10 BLACKROCK INC	-	3,543	382.27	3,823	280	2.62%
CVX	35 CHEVRON CORPORATION	11/02/15	354.30	106.50	3,728	-118	4.06%
CB	39 CHUBB LTD	-	3,846	138.00	5,382	1,086	2.00%
CLX	35 CLOROX COMPANY	-	4,296	133.15	4,660	2,102	2.52%
CL	51 COLGATE PALMOLIVE CO	-	2,559	71.80	3,662	1,039	0.7%
EV	45 EATON VANCE CORP NON VTG	-	2,623	45.05	2,027	816	2.49%
EMR	63 EMERSON ELECTRIC CO	-	1,211	58.93	3,713	440	3.26%
ES	68 EVERSOURCE ENERGY	-	3,272	59.23	4,028	1,485	0.5%
XOM	42 EXXON MOBIL CORP	-	2,543	81.91	3,440	-78	3.21%
FDS	19 FACTSET RESEARCH SYS INC	-	3,519	161.28	3,064	1,405	0.5%
GD	35 GENL DYNAMICS CORP COM	-	1,659	194.39	6,804	4,632	3.76%
GIS	59 GENL MILLS INC	-	2,172	56.34	3,324	987	1.39%
GMW	9 GRAINGER W W INC	-	2,337	6.804	3,324	987	1.73%
HRS	38 HARRIS CORP DEL	-	1,763	187.86	1,691	-73	3.41%
ITW	43 ILL TOOL WORKS INC	-	1,417	108.96	4,140	2,724	2.73%
IBM	20 INTL BUSINESS MACH CORP	-	2,047	138.30	5,947	3,900	0.2%
SJM	31 JIM SMUCKER CO	-	3,157	151.25	3,025	-132	1.95%
JNJ	45 JOHNSON & JOHNSON	-	2,460	125.34	3,886	1,425	0.8%
K	45 KELLOGG COMPANY	-	3,567	122.81	5,526	1,960	0.4%
LOW	81 LOWES COMPANIES INC	-	2,323	71.05	3,197	875	2.39%
		-	3,480	86.06	6,971	3,491	2.74%
		-					0.8%
		-					2.74%
		-					2.93%
		-					1.63%
		-					1.0%

05/11/2017

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MCD	26	MCDONALDS CORP	-	-	2,360	144.52	3,758	1,398	98	2.60%	0.5%
MDT	73	MEDTRONIC PLC	-	-	5,520	82.89	6,051	531	126	2.08%	0.8%
MSFT	117	MICROSOFT CORP	-	-	3,576	69.31	8,109	4,534	183	2.25%	1.1%
NEE	39	NEXTERA ENERGY INC	-	-	2,631	134.17	5,233	2,602	153	2.93%	0.7%
NKE	44	NIKE INC CLASS B	01/20/16	58.22	2,561	54.56	2,401	-161	32	1.32%	0.3%
NSC	38	NORFOLK SOUTHERN CORP	-	-	2,739	116.91	4,443	1,703	93	2.09%	0.6%
NVS	40	NOVARTIS AG	-	-	2,360	78.83	3,153	793	92	2.92%	0.4%
PAYX	76	PAYCHEX INC	-	-	2,275	57.54	4,373	2,098	140	3.20%	0.6%
PEP	35	PEPSICO INCORPORATED	-	-	2,469	112.84	3,949	1,480	113	2.85%	0.6%
PSX	45	PHILLIPS 66	-	-	2,739	79.75	3,589	850	126	3.51%	0.5%
PJL	29	POLARIS INDS INC	-	-	2,176	94.14	2,730	554	67	2.46%	0.4%
PX	28	PRAXAIR INC	-	-	2,992	129.17	3,617	624	88	2.44%	0.5%
PG	36	PROCTER & GAMBLE CO	-	-	2,365	86.49	3,114	749	99	3.19%	0.4%
QCOM	53	QUALCOMM INC	-	-	3,521	55.33	2,932	-588	121	4.12%	0.4%
SCG	25	SCANA CORP COM	-	-	1,074	65.11	1,628	554	61	3.75%	0.2%
SO	54	SOUTHERN COMPANY/THE	-	-	2,284	49.87	2,683	409	125	4.65%	0.4%
SY	80	SYSCO CORPORATION	-	-	2,290	54.54	4,363	2,074	106	2.42%	0.6%
TGT	42	TARGET CORP	-	-	2,393	58.41	2,453	61	101	4.11%	0.3%
UTX	38	UNITED TECHNOLOGIES CORP	-	-	2,993	121.02	4,599	1,606	100	2.18%	0.6%
USB	79	US BANCORP NEW	01/30/17	52.68	4,162	51.97	4,106	-56	88	2.16%	0.6%
VFC	70	VF CORPORATION	-	-	2,270	55.57	3,890	1,620	118	3.02%	0.5%
WMT	51	WAL-MART STORES INC	-	-	3,557	76.70	3,912	355	104	2.66%	0.5%
WEC	31	WEC ENERGY GROUP INC	-	-	1,234	60.43	1,873	639	64	3.44%	0.3%
Equity Fund											
AEPGX	30	AMER FDS EUROPAFC A	-	-	1,551	51.93	1,538	40,495	1,273	0.58%	30.6%
AGTHX	79	AMER FDS GRWTH FD AMR A	-	-	3,090	46.82	3,681	-12	17	1.08%	0.2%
AIVSX	106	AMER FDS INVST CO AMR A	-	-	3,878	38.51	4,097	591	20	0.53%	0.5%
ANWEX	181	AMER FDS NEW PERSPECT F2	03/20/17	38.62	7,000	40.34	7,312	219	73	1.79%	0.6%
SMCWX	32	AMER FDS SMALLCAP WLD A	-	-	1,511	51.83	1,653	312	69	0.95%	1.0%
AEPFX	87	AMERICAN EUROPAFC F2	-	-	3,474	51.81	4,533	142	5	0.31%	0.2%
DHLRX	217	DIAMOND HILL LARGE CAP I	-	-	4,518	25.06	5,440	1,058	61	1.34%	0.6%
HAINX	236	HARBOR INTERNATIONAL I	-	-	12,754	66.64	15,752	921	66	1.22%	0.8%
HFMX	252	HARTFORD MIDCAP I	03/20/17	27.74	7,000	27.94	7,050	2,998	275	1.75%	2.2%
JGVX	195	JOHN HANCOCK GLB SH I	-	-	1,763	11.14	2,169	50	60	2.09%	1.0%
SEEGX	64	JPMORGAN LGE CAP GRWTH I	-	-	1,803	37.65	2,407	406	60	2.78%	0.3%
LVOFX	309	LORD ABBETT VAL OPP FDF	-	-	6,314	20.04	6,199	604	7	0.11%	0.9%
								-115			

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<b>MEIX</b>	116	MFS VALUE I	-	-	4,150	38.24	4,454	304	87	1.94%	0.6%
<b>ANEPX</b>	33	NEW ECONOMY FD SBI CL A	-	-	1,065	41.24	1,361	296	3	0.23%	0.2%
<b>ORVX</b>	88	OAK RIDGE SM CAP GRWTH I	-	-	3,164	36.07	3,168	24			0.4%
<b>ODVYX</b>	693	OPENHMR DEVELPNG MKTS Y	-	-	21,799	37.37	25,907	4,108	115	0.44%	3.6%
<b>PEGZX</b>	58	PRUDNTL JENN M/C GRWTH Z	-	-	2,104	39.19	2,267	163			0.3%
<b>TRBCX</b>	56	T ROWE PRICE BLE CHP GRW	-	-	2,209	84.40	4,757	2,547	3	0.06%	0.7%
<b>RPMGX</b>	336	T ROWE PRICE MID CAP GRV	-	-	19,256	84.17	28,323	9,066			4.0%
<b>VSOIX</b>	1,149	VICTORY SYCAMORE SMALL I	-	-	40,012	44.67	51,342	11,330	267	0.52%	7.2%
<b>HIEMX</b>	2,955	VIRTUS OPPORTUNITIE CL I	-	-	26,705	10.80	31,909	5,204	118	0.37%	4.5%
<b>EMGNX</b>	129	WELLS FARGO EMRG INST	-	-	2,753	23.47	3,030	277	28	0.91%	0.4%
<b>Fixed Income</b>					<b>125,900</b>		<b>127,252</b>	<b>1,352</b>	<b>4,735</b>	<b>3.72%</b>	<b>17.8%</b>
<b>Municipal Bond</b>											
<b>198050AP1</b>	5,000	COLUMBIA MO 5.5%10/01/19	08/16/11	100.83	90,125		91,225	1,100	3,390	3.72%	12.8%
<b>79148PBV6</b>	10,000	ST LOUIS MO 3.0%03/01/20	08/15/11	100.17	5,042	101.83	5,091	50	138	2.70%	0.7%
<b>95073BAJ6</b>	10,000	WENTZV MO3.375%04/01/20	08/15/11	100.29	10,017	101.62	10,162	146	300	2.95%	1.4%
<b>16645PEQ0</b>	10,000	CHESTERFI MO4.4%12/01/18	08/15/11	103.28	10,029	102.13	10,213	183	338	3.30%	1.4%
<b>60636SED2</b>	10,000	MO ST 5.0%06/01/21	06/19/15	110.98	10,328	104.93	10,493	165	440	4.19%	1.5%
<b>485116QR1</b>	10,000	KANSAS 4.5% 12/1/2022	06/19/15	114.19	11,098	112.02	11,202	104	500	4.46%	1.6%
<b>60636WRQC</b>	10,000	MO ST 5.0%05/01/23	06/19/15	116.74	11,419	115.37	11,537	118	450	3.90%	1.6%
<b>605891XG0</b>	10,000	MO ST 3.25%04/15/27	06/23/15	101.00	11,674	119.60	11,960	285	500	4.18%	1.7%
<b>667596GD2</b>	10,000	NORTHWEST MO4.0%06/01/27	06/23/15	104.18	10,100	100.14	10,014	-86	325	3.25%	1.4%
<b>Bond Fund</b>											
<b>EIBIX</b>	569	EV INCOME FD OF BOSTON I	-	-	35,775	105.53	10,553	135	400	3.79%	1.5%
<b>MEDIX</b>	575	MFS EMRG MKTS DEBT I	-	-	3,328	5.80	36,027	252	1,345	3.73%	5.1%
<b>MMIIX</b>	496	MFS MUNICIPAL HIGH CL I	-	-	8,460	14.90	3,303	-25	194	5.88%	0.5%
<b>NUVBX</b>	585	NUVEEN MUN TRUST CLASS I	-	-	3,897	8.13	8,569	109	399	4.65%	1.2%
<b>OIBYX</b>	354	OPPENHMR INTL BOND Y	-	-	5,299	9.13	4,034	138	175	4.34%	0.6%
<b>PRESX</b>	483	T ROWE PR T/F SHRT INTR	-	-	2,043	5.80	5,345	45	159	2.97%	0.7%
<b>PRINX</b>	282	T ROWE PRICE MUN INCM FD	-	-	2,043	5.80	2,054	11	100	4.88%	0.3%
<b>PRSMX</b>	567	T ROWE PRICE SUMMIT MUN	-	-	2,716	5.60	2,707	-9	36	1.34%	0.4%
			-	-	3,475	11.77	3,318	-157	108	3.25%	0.5%
			-	-	6,557	11.82	6,697	140	174	2.60%	0.9%
<b>Real Assets</b>					<b>11,973</b>		<b>11,629</b>	<b>-344</b>	<b>286</b>	<b>2.46%</b>	<b>1.6%</b>
<b>Equity Fund</b>											
<b>BLRYX</b>	152	BROOKFIELD GLB LSTD RL Y	-	-	11,973	13.04	11,629	-344	286	2.46%	1.6%
			-	-	2,030		1,982	-48	75	3.80%	0.3%

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CSRSX	20	COHEN & STEERS RLTY SHS	-	-	1,339	66.01	1,307	-32	42	3.23%	0.2%
CRSOX	274	CREDIT SUIS COMM RET I	-	-	1,567	4.83	1,324	-242			0.2%
TRREX	251	T ROWE PRICE REAL ESTATE	-	-	7,037	27.97	7,015	-22	168	2.40%	1.0%
<b>Multi-Class</b>					<b>53,030</b>		<b>56,776</b>	<b>3,746</b>			<b>8.0%</b>
<b>Market Linked</b>											
949867PC5	50,000	WELLS VAR% 020521	01/31/14	106.06	53,030	113.55	56,776	3,746			8.0%
<b>Cash Alternatives</b>					<b>71,755</b>		<b>71,755</b>	<b>0</b>	<b>10</b>	<b>0.01%</b>	<b>10.1%</b>
<b>Money Market Fund</b>											
FGTX	2,001	GLDMN SACH FNL SQ GOVT I	-	1.00	2,001	1.00	2,001	0	0	0.00%	0.3%
FTXX	27	GOLDMAN FINL SQ TREAS MM	-	1.00	27	1.00	27	0	0	0.16%	0.0%
<b>Cash Alternative</b>					69,726		69,726	0	10	0.01%	9.8%
-	69,726	Cash Alternative		1.00	69,726	1.00	69,726	0	10	0.01%	9.8%
<b>Portfolio Diversification Total</b>					<b>591,819</b>		<b>713,187</b>	<b>121,368</b>	<b>12,393</b>	<b>1.74%</b>	<b>100.0%</b>

**Total Portfolio Value as of 05/10/17: \$ 713,187**

Cost information for some tax lots will not be reported to the IRS.

This report is not a substitute for your own records and the year-end 1099 form. Cost data and acquisition dates provided by you are not verified by our firm. Our firm does not render legal, accounting or tax advice. Transactions requiring tax considerations should be reviewed carefully with your accountant or tax advisor.

Unless indicated otherwise, the Price and Cost are adjusted on certain fixed income securities for amortization (if purchased at a premium), accretion (if purchased at a discount) and/or commission and fees.

This report is not complete without the Understanding Your Portfolio report which contains important terms and definitions.

Estimated Income Annualized (EIA) takes your current income, based on the current dividends or interest paid by your securities and multiplies it to create an annualized hypothetical figure. It does not reflect actual or future performance and should not be relied upon when making financial decisions. Estimated Yield Annualized (EYA) is the EIA divided by the current market value. It does not reflect changes in price, which may fluctuate. All annualizations are based upon current positions using a simple mathematical calculation and assumes all figures remain constant for a year. Any subsequent position changes will affect these calculations. Calculations for certain types of securities could include a return of principal or capital gain, in which case the figures would be overstated. Past performance is not a guarantee of future results. As these hypothetical calculations are solely based on mathematical principles, no consideration is given for premium or discount at purchase for bonds, which will affect the yield the investor will realize on the bond if held to redemption date, called or sold prior to maturity. Dividend payments are made solely at the discretion of the issuer and are subject to be changed or eliminated at any time. Estimated Income and Estimated Yield are estimates and the actual income and yield might be lower or higher than the estimated amounts. Estimated Yield reflects only the income generated by an investment. It does not reflect changes in price, which may fluctuate. Please note, EIA and EYA are only available on client reports.

# Understanding Your Portfolio

## General Information

Investing involves risk, including the possible loss of principal. This report is intended to provide you with a descriptive overview of your current portfolio. The report may encompass one account or multiple accounts as specified in the report. The material has been prepared or is distributed solely for information purposes and does not supersede the proper use of your Wells Fargo Advisors client statements and/or trade confirmations, which are considered to be the official and accurate records of your account activity. Any market prices are only indications of market values, are subject to change, and may not reflect the value at which the securities could be sold. Reported prices should not be considered actual bids. For these reports, in certain groupings, Corporate Bonds includes Certificates of Deposit. Additionally, the report is prepared as of trade date, rather than settlement date, and may be prepared on a different date than your statement. The information contained in this report may not reflect all holdings or transactions, their costs, or proceeds in your account.

The report may also include information you provided about assets held at other firms. Information on assets held away from Wells Fargo Advisors was provided by you and may not be covered by SIPC. We have relied solely on information from you regarding those assets. We do not verify or confirm those assets held with other firms or affiliates and you are responsible for notifying your Financial Advisor of any changes in your externally held investments including cost basis. Incomplete or inaccurate cost basis will affect your plan results because the tax assumptions are incorrect. Due to timing issues, if this report includes assets held at a Trust Company, positions and market data should be verified. Before making any decisions please validate your account information with your Financial Advisor. The financial data used to generate this report is provided to Wells Fargo Advisors by third party vendors. While this information is believed to be reliable, it has not been verified. Security ID will be ticker symbol, CUSIP number, Security Number or, if you have provided us with a market value of a generic asset classification type, we will assign a dash. This report is not complete unless all pages noted are included. For more detailed information, including current pricing, call your Financial Advisor.

To the extent that this report includes fixed income securities, you should be aware that the descriptions of fixed income securities are general in nature and do not reflect important information that you should consider, such as but not limited to, yield, call features, credit quality, and various tax implications. Before making any decisions regarding fixed income investments, contact your advisor to obtain more detailed information regarding specific fixed income securities.

Asset classification of holdings in external accounts where classification is not readily available may be assigned to a multi-asset class category or reassigned into additional asset classes by your Financial Advisor which may not be the most accurate asset class based on the holdings' characteristics and risk profile. It is your responsibility to review the asset classification for external accounts and notify us of any changes.

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## External Accounts Included in Your Report

As a service, we may have included your assets and/or your liabilities held at other financial institutions. We assume no responsibility for the accuracy or completeness of the information you provided either to your Financial Advisor or through any third party aggregation service regarding your assets or liabilities held at other firms. We may update the pricing of these securities; however, there may be cases when updating prices is not possible. In addition, any transactions, values or changes in your external accounts will not be reflected unless you provide updated information to your Financial Advisor. In instances where you use a third party aggregation service, we rely on you to take action when notified by the third party service that updates are needed. The accuracy and completeness of the information you provide may materially affect the results and any recommendations contained in this report.

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## Fixed Income Analysis

Municipal bonds. Under present federal income tax law, the interest income received from investing in tax-exempt municipal bonds is generally free from federal income taxes. While the interest income may be tax-free, capital gains, if any, will be subject to taxes. Income for some investors may be subject to the federal Alternative Minimum Tax (AMT).

Redemption date indicated is either maturity date (M), pre-refunded date (R), mandatory put or tender date (T), Dutch auction date or optional tender date (D), display date on perpetual securities (sixty years from report date) (P) or the date which would represent the average life of the maturity when measured from the date of this report (A). Because perpetual securities do not have a stated maturity date, we assign a date of sixty years from the date of the report to enable us to provide approximate yields and durations.

## Asset Class Descriptions

The asset class descriptions below are included solely to provide insight into how individual securities are tied to specific asset classes. We use our best efforts to correctly classify investments. However, no warranty of accuracy is made.

**Equity Investments:** Equity investments refer to buying stocks of United States companies. The investment return to the owner of stock (shareholder) is in the form of dividends and/or capital appreciation. The market capitalization of companies is used to group large, medium (Mid), and small companies. Shareholders share in both the upside potential and the downside risk.

**Capitalization:** Market capitalization definitions differ but one example of capitalization methodology is that of Morningstar, which defines "large-capitalization" stocks as those stocks that form the top 70% of the market capitalization of the stocks eligible to be included in the Morningstar US Market Index (a diversified broad market index that represents approximately 97% of the market capitalization of publicly traded U.S. Stocks). The Morningstar index methodology defines "mid-capitalization" stocks as those stocks that form the 20% of market capitalization between the 70th and 90th percentile of the market capitalization and "small-capitalization" stocks as those stocks that form the 7% of market capitalization between the 90th and 97th percentile of the market capitalization of the stocks eligible to be included in the Morningstar US Market Index.

*Investing in small and mid-cap companies involve additional risks such as limited liquidity and greater volatility.*

**Fixed Income Securities (Bonds):** Bonds are promissory notes of a United States corporation or federal government entity (taxable bonds) or a state or local government entity (tax-exempt or municipal bonds). Bonds usually make a series of interest payments followed by a return of principal at maturity. If sold prior to maturity, the price that can be obtained for a bond may be more or less than face value, depending on interest rates at the time the bond is sold and the remaining term of the bond.

Fixed income securities include Treasuries (i.e., public obligations of the U.S. Treasury that have remaining maturities of more than one year), government-related issues (i.e., agency, sovereign, supranational, and local authority debt), and corporate bonds.

*Investments in fixed-income securities are subject to market, interest rate, credit/default, inflation and other risks. Bond prices fluctuate inversely to changes in interest rates. Therefore, a general rise in interest rates can result in the decline in the bond's price. Lower rated securities are speculative and involve greater risk of default.*

**Term:** Short-term bonds have effective maturities of six years or less, intermediate bonds have effective maturities between six and twelve years, and long-term bonds have maturities of twelve years or longer.

*Income from tax exempt bonds is generally free from federal and state taxes for residents of the issuing state. While the interest income is tax-free, capital gains if any are subject to taxes. Income of certain tax-exempt bonds may be subject to the Federal Alternative Minimum Tax (AMT).*

**Real Assets:** Real Assets includes Public Real Estate, Private Real Estate and Commodities. Public Real Estate includes listed real-estate companies and equity and mortgage REITs. A REIT combines the capital of many investors to either acquire or provide financing for real estate. Private Real Estate is an investment that uses an active management strategy consisting of both direct and secondary ownership of equity and debt interests in various types of real property. Commodities are usually agricultural products such as corn, livestock, coffee and cocoa or metals such as gold, copper and silver, or energy products such as oil and natural gas. Commodities can either be sold

on the spot market for immediate delivery or on the commodities exchanges for later delivery. Trade on commodities exchanges is usually in the form of future contracts.

*There are special risks associated with an investment in real estate, including possible illiquidity of the underlying properties, credit risk, interest rate fluctuations and the impact of varied economic conditions. Mortgage REITs will be subject to interest rate fluctuations and to the spread between short-term and long-term bond rates. Privately offered real estate funds are speculative and involve a high degree of risk. Investments in real estate have special risks, including the possible illiquidity of the underlying properties, credit risk, interest rate fluctuations, and the impact of varied economic conditions. There can be no assurance a secondary market will exist and there may be restrictions on transferring interests. The commodities markets are considered speculative, carry substantial risks, and have experienced periods of extreme volatility.*

**Multi-Class:** This category is primarily used to classify investments that include a substantial amount of both equity and fixed income investments, or some other combination of classes.

**Cash Alternatives:** Cash Alternatives include liquid, short term and interest bearing investments. Examples are Treasury bills and commercial paper. It is possible to lose money by investing in cash alternatives.

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# **SNAPSHOT**

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ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006

DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER:

## **Cash flow summary**

	THIS PERIOD	THIS YEAR
<b>Opening value of cash and sweep balances</b>		
Income and distributions	\$11,260.47	6,395.61
Securities sold and redeemed	964.80	11,547.45
<b>Net additions to cash</b>	<b>\$964.80</b>	<b>\$17,943.06</b>
Securities purchased	0.00	-10,856.93
Other subtractions and fees	0.00	-3,676.08
<b>Net subtractions from cash</b>	<b>\$0.00</b>	<b>-\$14,533.01</b>
<b>Closing value of cash and sweep balances</b>	<b>\$12,225.27</b>	

## **Income summary \***

TAXABLE	THIS PERIOD	THIS YEAR
Money market/sweep funds	0.10	0.67
Qualified dividends	964.70	6,394.94
<b>Total taxable income</b>	<b>\$964.80</b>	<b>\$6,395.61</b>
<b>Total federally tax-exempt income</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>Total income</b>	<b>\$964.80</b>	<b>\$6,395.61</b>

\* Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.

## **Gain/loss summary**

	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term (S)	1,298.21	0.00	-1.27
Long term (L)	75,951.31	0.00	3,059.62
<b>Total</b>	<b>\$77,249.52</b>	<b>\$0.00</b>	<b>\$3,058.35</b>

**Your Financial Advisor**  
 RITA MAHN  
 Phone: 636-931-1900 / 800-811-6075

P O BOX 727  
 FESTUS MO 63028

**Account profile**  
 Full account name: ANN M GETTINGER LIV TR  
 ANN M GETTINGER TTEE  
 U/A DTD 06/28/2006  
 Standard Brokerage  
 1149-9760  
 Account type: Taxable  
 Brokerage account number: MODERATE GROWTH  
 Tax status: Please Provide  
 Investment objective/Risk tolerance:\* First in, First out  
 Time horizon:\* BANK DEPOSIT SWEEP  
 Liquidity needs:\* WELLS FARGO COMPASS  
 Cost Basis Election: ASG  
 Sweep option: MANAGED DSIP  
 Your managed program:  
 Your manager:  
 Your style:  
 \*For more information, please visit us at: [www.wellsfargoadvisors.com/disclosures](http://www.wellsfargoadvisors.com/disclosures)

**SNAPSHOT**  
 ANN M GETTINGER LIV TR  
 ANN M GETTINGER TTEE  
 U/A DTD 06/28/2006  
 DECEMBER 1, 2016 - DECEMBER 31, 2016  
 ACCOUNT NUMBER: 1149-9760

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ANN M GETTINGER TTEE  
U/A DTD 06/28/2006

DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER: 1149-9760

## Additional Information

	THIS PERIOD	THIS YEAR	Foreign withholding	THIS PERIOD	THIS YEAR
Gross proceeds	0.00	11,547.45		0.00	-20.32

## Portfolio detail

### Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	0.03	0.00	65.28	0.00
BANK DEPOSIT SWEEP	4.76	0.01	12,159.99	1.21
Interest Period 12/01/16 - 12/31/16				
<b>Total Cash and Sweep Balances</b>	<b>4.78</b>		<b>\$12,225.27</b>	<b>\$1.21</b>

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

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## Stocks, options & ETFs

### Stocks and ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars. The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars. The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated. Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ABBOTT LABORATORIES									
ABT									
Acquired 07/17/15 L		81	49.77	4,031.86		3,111.21	-920.65		
Acquired 03/08/16 S		32	39.26	1,256.46		1,229.12	-27.34		
Total	1.70	113	\$46.80	\$5,288.32	38.4100	\$4,340.33	-\$947.99	\$119.78	2.76
AFLAC INC									
AFL									
Acquired 08/22/11 L		47	34.74	1,633.11		3,271.20	1,638.09		
Acquired 07/16/12 L		11	43.11	474.32		765.60	291.28		
Acquired 10/10/13 L		8	63.30	506.44		556.80	50.36		
Total	1.80	66	\$39.60	\$2,613.87	69.6000	\$4,593.60	\$1,979.73	\$113.52	2.47
AIR PRODUCTS & CHEMICALS INC									
APD									
Acquired 08/22/11 L		21	69.21	1,453.57		3,020.22	1,566.65		
Acquired 07/16/12 L		12	72.47	869.76		1,725.84	856.08		
Total	1.86	33	\$70.40	\$2,323.33	143.8200	\$4,746.06	\$2,422.73	\$113.52	2.39
AMGEN INC									
AMGN									
Acquired 11/23/15 L	1.66	29	162.48	4,712.14	146.2100	4,240.09	-472.05	133.40	3.14
ANALOG DEVICES INC									
ADI									
Acquired 08/22/11 L		52	31.11	1,618.19		3,776.24	2,158.05		
Acquired 07/16/12 L		16	36.33	581.37		1,161.92	580.55		
Acquired 10/10/13 L		12	46.49	557.97		871.44	313.47		
Total	2.27	80	\$34.47	\$2,757.53	72.6200	\$5,809.60	\$3,052.07	\$134.40	2.31
AT & T INC									
T									
Acquired 08/22/11 L		40	28.47	1,139.05		1,701.20	562.15		
Acquired 07/16/12 L		13	35.45	460.85		552.89	92.04		
Acquired 08/22/13 L		46	33.58	1,544.94		1,956.38	411.44		

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## Stocks, options & ETFs

### Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 10/10/13 L		25	33.94	848.74		1,063.25	214.51		
<b>Total</b>	<b>2.06</b>	<b>124</b>	<b>\$32.21</b>	<b>\$3,993.58</b>	<b>42.5300</b>	<b>\$5,273.72</b>	<b>\$1,280.14</b>	<b>\$243.04</b>	<b>4.61</b>
AUTOMATIC DATA PROCESSING ADP									
Acquired 08/22/11 L		32	40.95	1,310.68		3,288.96	1,978.28		
Acquired 07/16/12 L		11	48.73	536.07		1,130.58	594.51		
Acquired 10/10/13 L		13	62.45	811.98		1,336.14	524.16		
<b>Total</b>	<b>2.25</b>	<b>56</b>	<b>\$47.48</b>	<b>\$2,658.73</b>	<b>102.7800</b>	<b>\$5,755.68</b>	<b>\$3,096.95</b>	<b>\$127.68</b>	<b>2.22</b>
BECTON DICKINSON & CO BDx									
Acquired 08/22/11 L		19	76.96	1,462.36		3,145.45	1,683.09		
Acquired 07/16/12 L		15	74.42	1,116.44		2,483.25	1,366.81		
Acquired 10/10/13 L		3	100.43	301.31		496.65	195.34		
<b>Total</b>	<b>2.40</b>	<b>37</b>	<b>\$77.84</b>	<b>\$2,880.11</b>	<b>165.5500</b>	<b>\$6,125.35</b>	<b>\$3,245.24</b>	<b>\$108.04</b>	<b>1.76</b>
BLACKROCK INC BLK									
Acquired 11/02/15 L		12	354.29	4,251.57	380.5400	4,566.48	314.91	109.92	2.40
CHEVRON CORPORATION CVX									
Acquired 08/22/11 L		10	93.55	935.51		1,177.00	241.49		
Acquired 07/16/12 L		7	106.94	748.64		823.90	75.26		
Acquired 08/02/12 L		7	109.05	763.35		823.90	60.55		
Acquired 10/10/13 L		7	115.59	809.17		823.90	14.73		
Acquired 02/10/14 L		12	111.44	1,337.31		1,412.40	75.09		
<b>Total</b>	<b>1.98</b>	<b>43</b>	<b>\$106.84</b>	<b>\$4,593.98</b>	<b>117.7000</b>	<b>\$5,061.10</b>	<b>\$467.12</b>	<b>\$185.76</b>	<b>3.67</b>
CHUBB LTD CB									
Acquired 01/15/16 S		25	111.02	2,775.50		3,303.00	527.50		
Acquired 01/21/16 S		24	109.61	2,630.78		3,170.88	540.10		
<b>Total</b>	<b>2.53</b>	<b>49</b>	<b>\$110.33</b>	<b>\$5,406.28</b>	<b>132.1200</b>	<b>\$6,473.88</b>	<b>\$1,067.60</b>	<b>\$135.24</b>	<b>2.09</b>
CLOROX COMPANY CLX									
Acquired 08/22/11 L		22	64.02	1,408.52		2,640.44	1,231.92		
Acquired 07/16/12 L		10	73.12	731.26		1,200.20	468.94		

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## Stocks, options & ETFs

### Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 10/10/13 L		12	82.91	994.97		1,440.24	445.27		
<b>Total</b>	<b>2.07</b>	<b>44</b>	<b>\$71.24</b>	<b>\$3,134.75</b>	<b>120.0200</b>	<b>\$5,280.88</b>	<b>\$2,146.13</b>	<b>\$140.80</b>	<b>2.67</b>
COLGATE-PALMOLIVE CO									
CL									
Acquired 08/22/11 L		36	42.65	1,535.52		2,355.84	820.32		
Acquired 07/16/12 L		8	52.44	419.58		523.52	103.94		
Acquired 10/10/13 L		21	60.22	1,264.82		1,374.24	109.42		
<b>Total</b>	<b>1.66</b>	<b>65</b>	<b>\$49.54</b>	<b>\$3,219.92</b>	<b>65.4400</b>	<b>\$4,253.60</b>	<b>\$1,033.68</b>	<b>\$101.40</b>	<b>2.38</b>
EATON VANCE CORP NON VTG									
EV									
Acquired 08/22/11 L		31	21.40	663.47		1,298.28	634.81		
Acquired 07/16/12 L		16	26.55	424.88		670.08	245.20		
Acquired 10/10/13 L		10	37.98	379.87		418.80	38.93		
<b>Total</b>	<b>0.93</b>	<b>57</b>	<b>\$25.76</b>	<b>\$1,468.22</b>	<b>41.8800</b>	<b>\$2,387.16</b>	<b>\$918.94</b>	<b>\$63.84</b>	<b>2.67</b>
EMERSON ELECTRIC CO									
EMR									
Acquired 08/22/11 L		29	42.84	1,242.50		1,616.75	374.25		
Acquired 07/16/12 L		21	44.85	941.90		1,170.75	228.85		
Acquired 10/03/14 L		27	62.50	1,687.70		1,505.25	-182.45		
<b>Total</b>	<b>1.68</b>	<b>77</b>	<b>\$50.29</b>	<b>\$3,872.10</b>	<b>55.7500</b>	<b>\$4,292.75</b>	<b>\$420.65</b>	<b>\$147.84</b>	<b>3.44</b>
EVERSOURCE ENERGY									
ES									
Acquired 08/22/11 L		46	32.21	1,481.96		2,540.58	1,058.62		
Acquired 07/16/12 L		13	39.56	514.35		717.99	203.64		
Acquired 10/10/13 L		27	41.72	1,126.65		1,491.21	364.56		
<b>Total</b>	<b>1.86</b>	<b>86</b>	<b>\$36.31</b>	<b>\$3,122.96</b>	<b>55.2300</b>	<b>\$4,749.78</b>	<b>\$1,626.82</b>	<b>\$153.08</b>	<b>3.22</b>
EXXON MOBIL CORP									
XOM									
Acquired 03/15/11 L		15	80.92	1,213.80		1,353.90	140.10		
Acquired 04/11/12 L		17	82.67	1,405.43		1,534.42	128.99		
Acquired 07/16/12 L		11	85.07	935.82		992.86	57.04		
Acquired 10/10/13 L		10	85.36	853.69		902.60	48.91		
<b>Total</b>	<b>1.87</b>	<b>53</b>	<b>\$63.16</b>	<b>\$4,408.74</b>	<b>90.2600</b>	<b>\$4,783.78</b>	<b>\$375.04</b>	<b>\$159.00</b>	<b>3.32</b>

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### Stocks and ETFs continued

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								ANNUAL INCOME	ANNUAL YIELD (%)
FACTSET RESEARCH SYSTEMS INC									
FDS									
Acquired 08/22/11 L		14	80.00	1,120.14		2,288.02	1,167.88		
Acquired 07/16/12 L		7	91.54	640.83		1,144.01	503.18		
Acquired 10/10/13 L		2	108.97	217.96		326.86	108.90		
<b>Total</b>	<b>1.47</b>	<b>23</b>	<b>\$86.04</b>	<b>\$1,978.93</b>	<b>163.4300</b>	<b>\$3,758.89</b>	<b>\$1,779.96</b>	<b>\$46.00</b>	<b>1.22</b>
GENERAL MILLS INC									
GIS									
Acquired 08/22/11 L		41	36.27	1,487.34		2,532.57	1,045.23		
Acquired 07/16/12 L		22	38.75	852.50		1,358.94	506.44		
Acquired 10/10/13 L		12	48.16	578.02		741.24	163.22		
<b>Total</b>	<b>1.81</b>	<b>75</b>	<b>\$38.90</b>	<b>\$2,917.86</b>	<b>61.7700</b>	<b>\$4,632.75</b>	<b>\$1,714.89</b>	<b>\$144.00</b>	<b>3.11</b>
GENL DYNAMICS CORP									
GD									
Acquired 08/22/11 L		28	58.28	1,632.11		4,834.48	3,202.37		
Acquired 07/16/12 L		13	64.03	832.40		2,244.58	1,412.18		
Acquired 10/10/13 L		2	86.69	173.40		345.32	171.92		
<b>Total</b>	<b>2.90</b>	<b>43</b>	<b>\$61.35</b>	<b>\$2,637.91</b>	<b>172.6600</b>	<b>\$7,424.38</b>	<b>\$4,786.47</b>	<b>\$130.72</b>	<b>1.76</b>
GRAINGER W W INC									
GWV									
Acquired 08/22/11 L		5	132.93	664.68		1,161.25	496.57		
Acquired 07/16/12 L		4	189.11	756.44		929.00	172.56		
Acquired 10/10/13 L		2	255.42	510.86		464.50	-46.36		
Acquired 07/12/16 S		1	230.27	230.28		232.25	1.97		
<b>Total</b>	<b>1.09</b>	<b>12</b>	<b>\$180.19</b>	<b>\$2,162.26</b>	<b>232.2500</b>	<b>\$2,787.00</b>	<b>\$624.74</b>	<b>\$58.56</b>	<b>2.10</b>
HARRIS CORP DEL									
HRS									
Acquired 08/22/11 L		33	35.14	1,159.79		3,381.51	2,221.72		
Acquired 07/16/12 L		15	40.54	608.25		1,537.05	928.80		
<b>Total</b>	<b>1.92</b>	<b>48</b>	<b>\$36.83</b>	<b>\$1,768.04</b>	<b>102.4700</b>	<b>\$4,918.56</b>	<b>\$3,150.52</b>	<b>\$101.76</b>	<b>2.07</b>
ILLINOIS TOOL WORKS INC									
ITW									
Acquired 08/22/11 L		39	42.38	1,652.92		4,775.94	3,123.02		
Acquired 07/16/12 L		11	50.54	556.00		1,347.06	791.06		

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## Stocks, options & ETFs

### Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 10/10/13 L		4	76.03	304.15		489.84	185.69		
<b>Total</b>	<b>2.59</b>	<b>54</b>	<b>\$46.54</b>	<b>\$2,513.07</b>	<b>122.4600</b>	<b>\$6,612.84</b>	<b>\$4,099.77</b>	<b>\$140.40</b>	<b>2.12</b>
<b>INTERNATIONAL BUSINESS MACHINE CORP</b>									
IBM		10	159.23	1,592.38		1,659.90	67.52		
Acquired 08/22/11 L		4	185.06	740.27		663.96	-76.31		
Acquired 07/16/12 L		2	183.31	366.62		331.98	-34.64		
Acquired 10/10/13 L		9	139.31	1,253.80		1,493.91	240.11		
<b>Total</b>	<b>1.62</b>	<b>25</b>	<b>\$158.12</b>	<b>\$3,953.07</b>	<b>165.9900</b>	<b>\$4,149.75</b>	<b>\$196.68</b>	<b>\$140.00</b>	<b>3.37</b>
<b>J M SMUCKER CO</b>									
SJM		22	68.15	1,499.35		2,817.32	1,317.97		
Acquired 08/22/11 L		10	76.53	765.38		1,280.60	515.22		
Acquired 07/16/12 L		7	105.84	740.91		896.42	155.51		
<b>Total</b>	<b>1.95</b>	<b>39</b>	<b>\$77.07</b>	<b>\$3,005.64</b>	<b>128.0600</b>	<b>\$4,994.34</b>	<b>\$1,988.70</b>	<b>\$117.00</b>	<b>2.34</b>
<b>JOHNSON &amp; JOHNSON</b>									
JNJ		18	63.44	1,142.00		2,073.78	931.78		
Acquired 08/22/11 L		13	68.45	889.92		1,497.73	607.81		
Acquired 07/16/12 L		9	87.10	783.95		1,036.89	252.94		
Acquired 10/10/13 L		16	90.55	1,448.87		1,843.36	394.49		
<b>Total</b>	<b>2.52</b>	<b>56</b>	<b>\$76.16</b>	<b>\$4,264.74</b>	<b>115.2100</b>	<b>\$6,451.76</b>	<b>\$2,187.02</b>	<b>\$179.20</b>	<b>2.78</b>
<b>KELLOGG COMPANY</b>									
K		35	52.12	1,824.20		2,579.85	755.65		
Acquired 03/05/12 L		17	48.36	822.23		1,253.07	430.84		
Acquired 07/16/12 L		5	60.33	301.70		368.55	66.85		
<b>Total</b>	<b>1.64</b>	<b>57</b>	<b>\$51.72</b>	<b>\$2,948.13</b>	<b>73.7100</b>	<b>\$4,201.47</b>	<b>\$1,253.34</b>	<b>\$118.56</b>	<b>2.82</b>
<b>LOWES COMPANIES INC</b>									
LOW		59	38.40	2,266.15		4,196.08	1,929.93		
Acquired 02/06/13 L		24	46.99	1,127.85		1,706.88	579.03		
Acquired 08/22/13 L		18	47.44	854.10		1,280.16	426.06		
<b>Total</b>	<b>2.81</b>	<b>101</b>	<b>\$42.06</b>	<b>\$4,248.10</b>	<b>71.1200</b>	<b>\$7,183.12</b>	<b>\$2,935.02</b>	<b>\$141.40</b>	<b>1.97</b>

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## Stocks, options & ETFs

### Stocks and ETFs continued

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								ANNUAL INCOME	ANNUAL YIELD (%)
MCDONALDS CORP									
MCD									
Acquired 08/22/11 L		16	87.63	1,402.21		1,947.52	545.31		
Acquired 07/16/12 L		10	91.88	918.81		1,217.20	298.39		
Acquired 10/10/13 L		6	94.05	564.34		730.32	165.98		
Total	1.52	32	\$80.17	\$2,885.36	121.7200	\$3,895.04	\$1,009.68	\$120.32	3.09
MEDTRONIC PLC									
MDT									
Acquired 01/27/15 L	2.06	74	76.21	5,639.83	71.2300	5,271.02	-368.81	127.28	2.41
MICROSOFT CORP									
MSFT									
Acquired 02/13/12 L		38	30.59	1,162.77		2,361.32	1,198.55		
Acquired 04/11/12 L		48	30.38	1,458.39		2,982.72	1,524.33		
Acquired 07/16/12 L		39	29.47	1,149.57		2,423.46	1,273.89		
Acquired 10/10/13 L		18	33.36	600.61		1,118.52	517.91		
Total	3.47	143	\$30.57	\$4,371.34	62.1400	\$8,886.02	\$4,514.68	\$223.08	2.51
NEXTERA ENERGY INC									
NEE									
Acquired 08/22/11 L		27	54.14	1,461.91		3,225.42	1,763.51		
Acquired 07/16/12 L		6	69.53	417.19		716.76	299.57		
Acquired 10/10/13 L		16	80.80	1,292.88		1,911.36	618.48		
Total	2.29	49	\$64.73	\$3,171.98	119.4600	\$5,853.54	\$2,681.56	\$170.52	2.91
NIKE INC CLASS B									
NIKE									
Acquired 01/20/16 S	1.07	54	58.21	3,143.65	50.8300	2,744.82	-398.83	38.88	1.41
NORFOLK SOUTHERN CORP									
NSC									
Acquired 08/22/11 L		21	62.99	1,322.85		2,269.47	946.62		
Acquired 07/16/12 L		9	73.48	661.37		972.63	311.26		
Acquired 10/10/13 L		16	78.69	1,259.11		1,729.12	470.01		
Total	1.94	46	\$70.51	\$3,243.33	108.0700	\$4,971.22	\$1,727.89	\$108.56	2.18
NOVARTIS AG									
SPON ADR									
NVS									
Acquired 08/22/11 L		26	55.64	1,446.81		1,893.84	447.03		
Acquired 07/16/12 L		17	56.04	952.77		1,238.28	285.51		

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## Stocks, options & ETFs

### Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 10/10/13 L		7	73.85	517.02		509.88	-7.14		
<b>Total</b>	<b>1.42</b>	<b>50</b>	<b>\$58.33</b>	<b>\$2,916.60</b>	<b>72.8400</b>	<b>\$3,642.00</b>	<b>\$725.40</b>	<b>\$115.15</b>	<b>3.16</b>
PAYCHEX INC									
PAYX									
Acquired 08/22/11 L		64	25.35	1,622.63		3,896.32	2,273.69		
Acquired 07/16/12 L		13	31.96	415.56		791.44	375.88		
Acquired 10/10/13 L		18	39.93	718.87		1,095.84	376.97		
<b>Total</b>	<b>2.26</b>	<b>95</b>	<b>\$29.02</b>	<b>\$2,757.06</b>	<b>60.8800</b>	<b>\$5,783.60</b>	<b>\$3,026.54</b>	<b>\$174.80</b>	<b>3.02</b>
PEPSICO INCORPORATED									
PEP									
Acquired 03/15/11 L		23	63.17	1,452.91		2,406.49	953.58		
Acquired 07/16/12 L		10	70.36	703.65		1,046.30	342.65		
Acquired 10/10/13 L		11	80.11	881.29		1,150.93	269.64		
<b>Total</b>	<b>1.80</b>	<b>44</b>	<b>\$69.04</b>	<b>\$3,037.85</b>	<b>104.6300</b>	<b>\$4,603.72</b>	<b>\$1,565.87</b>	<b>\$132.44</b>	<b>2.88</b>
PHILLIPS 66									
PSX									
Acquired 07/16/12 L		3	35.75	107.26		259.23	151.97		
Acquired 08/03/12 L		23	39.53	909.19		1,987.43	1,078.24		
Acquired 10/10/13 L		3	58.20	174.63		259.23	84.60		
Acquired 02/03/15 L		26	74.28	1,931.48		2,246.66	315.18		
<b>Total</b>	<b>1.86</b>	<b>55</b>	<b>\$56.77</b>	<b>\$3,122.56</b>	<b>86.4100</b>	<b>\$4,752.55</b>	<b>\$1,629.99</b>	<b>\$138.60</b>	<b>2.92</b>
POLARIS INDS INC									
PLI									
Acquired 08/22/11 L		27	46.97	1,268.20		2,224.53	956.33		
Acquired 10/10/13 L		10	128.40	1,284.01		823.90	-460.11		
<b>Total</b>	<b>1.19</b>	<b>37</b>	<b>\$68.98</b>	<b>\$2,552.21</b>	<b>82.3900</b>	<b>\$3,048.43</b>	<b>\$496.22</b>	<b>\$81.40</b>	<b>2.67</b>
PRAXAIR INC									
PX									
Acquired 08/22/11 L		15	89.83	1,347.53		1,757.85	410.32		
Acquired 07/16/12 L		6	106.92	641.56		703.14	61.58		
Acquired 05/01/13 L		8	113.62	908.97		937.52	28.55		
Acquired 10/10/13 L		6	120.52	723.12		703.14	-19.98		
<b>Total</b>	<b>1.60</b>	<b>35</b>	<b>\$103.46</b>	<b>\$3,621.18</b>	<b>117.1900</b>	<b>\$4,101.65</b>	<b>\$480.47</b>	<b>\$105.00</b>	<b>2.56</b>
PROCTER & GAMBLE CO									
PG									
Acquired 03/15/11 L		24	60.58	1,454.02		2,017.92	563.90		



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## Stocks, options & ETFs

### Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 07/16/12 L		15	64.97	974.63		1,261.20	286.57		
Acquired 10/10/13 L		7	77.45	542.20		588.56	46.36		
<b>Total</b>	<b>1.51</b>	<b>46</b>	<b>\$64.58</b>	<b>\$2,970.85</b>	<b>84.0800</b>	<b>\$3,867.68</b>	<b>\$896.83</b>	<b>\$123.18</b>	<b>3.18</b>
QUALCOMM INC									
OCOM		44	76.18	3,352.14		2,868.80	-483.34		
Acquired 09/23/14 L		22	52.68	1,159.15		1,434.40	275.25		
Acquired 03/08/16 S									
<b>Total</b>	<b>1.68</b>	<b>66</b>	<b>\$66.35</b>	<b>\$4,511.29</b>	<b>65.2000</b>	<b>\$4,303.20</b>	<b>-\$208.09</b>	<b>\$139.92</b>	<b>3.25</b>
SCANA CORP COM									
SCG		19	38.55	732.60		1,392.32	659.72		
Acquired 08/22/11 L		6	48.44	290.65		439.68	149.03		
Acquired 07/16/12 L		7	45.78	320.49		512.96	192.47		
Acquired 10/10/13 L									
<b>Total</b>	<b>0.92</b>	<b>32</b>	<b>\$41.99</b>	<b>\$1,343.74</b>	<b>73.2800</b>	<b>\$2,344.96</b>	<b>\$1,001.22</b>	<b>\$73.60</b>	<b>3.14</b>
SOUTHERN COMPANY/THE									
SO		35	39.96	1,398.73		1,721.65	322.92		
Acquired 08/22/11 L		13	47.44	616.79		639.47	22.68		
Acquired 07/16/12 L		21	41.31	867.72		1,032.99	165.27		
Acquired 10/10/13 L									
<b>Total</b>	<b>1.33</b>	<b>69</b>	<b>\$41.79</b>	<b>\$2,883.24</b>	<b>49.1900</b>	<b>\$3,394.11</b>	<b>\$510.87</b>	<b>\$154.56</b>	<b>4.55</b>
SYSCO CORPORATION									
SYV		57	26.92	1,534.46		3,156.09	1,621.63		
Acquired 08/22/11 L		30	28.83	865.07		1,661.10	796.03		
Acquired 07/16/12 L		15	32.15	482.35		830.55	348.20		
Acquired 10/10/13 L									
<b>Total</b>	<b>2.21</b>	<b>102</b>	<b>\$28.25</b>	<b>\$2,881.88</b>	<b>55.3700</b>	<b>\$5,647.74</b>	<b>\$2,765.86</b>	<b>\$134.64</b>	<b>2.38</b>
TARGET CORP									
TGT		31	49.98	1,549.65		2,239.13	689.48		
Acquired 08/22/11 L		7	61.82	432.78		505.61	72.83		
Acquired 07/16/12 L		16	63.12	1,009.95		1,155.68	145.73		
Acquired 10/10/13 L									
<b>Total</b>	<b>1.53</b>	<b>54</b>	<b>\$55.41</b>	<b>\$2,992.38</b>	<b>72.2300</b>	<b>\$3,900.42</b>	<b>\$908.04</b>	<b>\$129.60</b>	<b>3.32</b>
UNITED TECHNOLOGIES CORP									
UTX		25	67.68	1,692.12		2,740.50	1,048.38		
Acquired 08/22/11 L		11	73.32	806.59		1,205.82	399.23		
Acquired 07/16/12 L									

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## Stocks, options & ETFs

### Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 10/10/13 L		1	105.02	105.03		109.62	4.59		
Acquired 03/08/16 S		11	96.94	1,066.37		1,205.82	139.45		
<b>Total</b>	<b>2.06</b>	<b>48</b>	<b>\$76.46</b>	<b>\$3,670.11</b>	<b>109.6200</b>	<b>\$5,261.76</b>	<b>\$1,591.65</b>	<b>\$126.72</b>	<b>2.41</b>
V F CORPORATION									
VFC									
Acquired 08/22/11 L		63	26.38	1,661.94		3,361.05	1,699.11		
Acquired 07/16/12 L		8	34.85	278.81		426.80	147.99		
Acquired 10/10/13 L		16	48.58	777.32		853.60	76.28		
<b>Total</b>	<b>1.82</b>	<b>87</b>	<b>\$31.24</b>	<b>\$2,718.07</b>	<b>53.3500</b>	<b>\$4,641.45</b>	<b>\$1,923.38</b>	<b>\$146.16</b>	<b>3.15</b>
WAL-MART STORES INC									
WMT									
Acquired 03/15/11 L		26	51.86	1,348.48		1,797.12	448.64		
Acquired 07/16/12 L		1	72.92	72.92		69.12	-3.80		
Acquired 10/10/13 L		19	74.38	1,413.41		1,313.28	-100.13		
Acquired 10/01/14 L		19	76.20	1,447.95		1,313.28	-134.67		
<b>Total</b>	<b>1.76</b>	<b>65</b>	<b>\$65.89</b>	<b>\$4,282.76</b>	<b>69.1200</b>	<b>\$4,492.80</b>	<b>\$210.04</b>	<b>\$130.00</b>	<b>2.89</b>
WEC ENERGY GROUP INC									
WEC									
Acquired 06/25/12 L		23	38.64	888.89		1,348.95	460.06		
Acquired 07/16/12 L		6	40.74	244.47		351.90	107.43		
Acquired 10/10/13 L		11	40.76	448.43		645.15	196.72		
<b>Total</b>	<b>0.92</b>	<b>40</b>	<b>\$39.54</b>	<b>\$1,581.79</b>	<b>58.6500</b>	<b>\$2,346.00</b>	<b>\$764.21</b>	<b>\$83.20</b>	<b>3.55</b>
3M CO									
MMM									
Acquired 08/22/11 L		20	77.14	1,542.98		3,571.40	2,028.42		
Acquired 07/16/12 L		8	88.33	706.64		1,428.56	721.92		
Acquired 10/10/13 L		5	118.63	593.16		892.85	299.69		
<b>Total</b>	<b>2.30</b>	<b>33</b>	<b>\$86.14</b>	<b>\$2,842.78</b>	<b>178.5700</b>	<b>\$5,892.81</b>	<b>\$3,050.03</b>	<b>\$146.52</b>	<b>2.49</b>
Total Stocks and ETFs									
	<b>95.22</b>			<b>\$166,245.72</b>		<b>\$243,495.24</b>	<b>\$77,249.52</b>	<b>\$6,531.99</b>	<b>2.68</b>
				<b>\$166,300.19</b>					
Total Stocks, options & ETFs									
	<b>95.22</b>			<b>\$166,245.72</b>		<b>\$243,495.24</b>	<b>\$77,249.52</b>	<b>\$6,531.99</b>	<b>2.68</b>

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## Activity detail

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01	Cash	DIVIDEND		BEGINNING BALANCE			11,260.47
12/01	Cash	DIVIDEND		AFLAC INC 120116 66		28.38	
12/01	Cash	DIVIDEND		GRAINGER W W INC 120116 12		14.64	
12/01	Cash	DIVIDEND		J M SMUCKER CO 120116 39		29.25	
12/01	Cash	DIVIDEND		PHILLIPS 66 120116 55		34.65	
12/01	Cash	DIVIDEND		WEC ENERGY GROUP INC 120116 40		19.80	11,387.19
12/06	Cash	DIVIDEND		HARRIS CORP DEL 120616 48		25.44	
12/06	Cash	DIVIDEND		JOHNSON & JOHNSON 120616 56		44.80	
12/06	Cash	DIVIDEND		SOUTHERN COMPANY/THE 120616 69		38.64	11,496.07
12/08	Cash	DIVIDEND		AMGEN INC 120816 29		29.00	
12/08	Cash	DIVIDEND		MICROSOFT CORP 120816 143		55.77	11,580.84
12/09	Cash	DIVIDEND		EMERSON ELECTRIC CO 120916 77		36.96	
12/09	Cash	DIVIDEND		EXXON MOBIL CORP 120916 53		39.75	11,657.55
12/12	Cash	DIVIDEND		CHEVRON CORPORATION 121216 43		46.44	
12/12	Cash	DIVIDEND		INTERNATIONAL BUSINESS MACHINE CORP 121016 25		35.00	
12/12	Cash	DIVIDEND		NORFOLK SOUTHERN CORP 121016 46		27.14	
12/12	Cash	DIVIDEND		AS OF 12/10/16 TARGET CORP 121016 54		32.40	
12/12	Cash	DIVIDEND		AS OF 12/10/16 3M CO 121216 33		36.63	

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ACCOUNT NUMBER:

## Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/12	Cash	DIVIDEND		UNITED TECHNOLOGIES CORP 121016 48 AS OF 12/10/16		31.68	11,866.84
12/13	Cash	DIVIDEND		ANALOG DEVICES INC 121316 80		33.60	11,900.44
12/15	Cash	DIVIDEND		KELLOGG COMPANY 121516 57		29.64	
12/15	Cash	DIVIDEND		MCDONALDS CORP 121516 32		30.08	
12/15	Cash	DIVIDEND		NEXTERA ENERGY INC 121516 49		42.63	
12/15	Cash	DIVIDEND		POLARIS INDS INC 121516 37		20.35	
12/15	Cash	DIVIDEND		PRAXAIR INC 121516 35		26.25	12,049.39
12/16	Cash	DIVIDEND		QUALCOMM INC 121616 66		34.98	12,084.37
12/19	Cash	DIVIDEND		V F CORPORATION 121916 87		36.54	12,120.91
12/20	Cash	DIVIDEND		FACTSET RESEARCH SYSTEMS INC 122016 23		11.50	12,132.41
12/23	Cash	DIVIDEND		BLACKROCK INC 122316 12		27.48	12,159.89
12/30	Cash	DIVIDEND		BECTON DICKINSON & CO 123016 37		27.01	
12/30	Cash	DIVIDEND		EVERSOURCE ENERGY 123016 86		38.27	
12/30	Cash	INTEREST		BANK DEPOSIT SWEEP 123016 12.159		0.10	12,225.27

## Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
12/01	TRANSFER TO	BEGINNING BALANCE	11,260.47	12/07	TRANSFER TO	BANK DEPOSIT SWEEP	108.88
12/02	TRANSFER TO	BANK DEPOSIT SWEEP	126.72	12/09	TRANSFER TO	BANK DEPOSIT SWEEP	84.77

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# Cash sweep activity continued

DATE	TRANSACTION	DESCRIPTION	AMOUNT
12/12	TRANSFER TO	BANK DEPOSIT SWEEP	76.71
12/13	TRANSFER TO	BANK DEPOSIT SWEEP	209.29
12/14	TRANSFER TO	BANK DEPOSIT SWEEP	33.60
12/16	TRANSFER TO	BANK DEPOSIT SWEEP	148.95
12/19	TRANSFER TO	BANK DEPOSIT SWEEP	34.98

DATE	TRANSACTION	DESCRIPTION	AMOUNT
12/20	TRANSFER TO	BANK DEPOSIT SWEEP	36.54
12/21	TRANSFER TO	BANK DEPOSIT SWEEP	11.50
12/27	TRANSFER TO	BANK DEPOSIT SWEEP	27.48
12/30	REINVEST INT	BANK DEPOSIT SWEEP	0.10
12/31		ENDING BALANCE	12,159.99



# SNAPSHOT

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## Cash flow summary

	THIS PERIOD	THIS YEAR
<b>Opening value of cash and sweep balances</b>		
Income and distributions	\$237.79	5,522.50
Securities sold and redeemed	5,131.47	18,500.00
	0.00	
<b>Net additions to cash</b>	<b>\$5,131.47</b>	<b>\$24,022.50</b>
Withdrawals by check	0.00	-6,000.00
Securities purchased	-5,110.82	-5,283.99
Other subtractions and fees	0.00	-13,811.59
<b>Net subtractions from cash</b>	<b>-\$5,110.82</b>	<b>-\$25,095.58</b>
<b>Closing value of cash and sweep balances</b>	<b>\$258.44</b>	

## Income summary \*

TAXABLE	THIS PERIOD	THIS YEAR
Money market/sweep funds	0.00	0.08
Ordinary dividends and ST capital gains	1,865.70	2,222.51
Long term capital gains	3,265.77	3,265.77
<b>Total taxable income</b>	<b>\$5,131.47</b>	<b>\$5,488.36</b>
<b>Total federally tax-exempt income</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>Total income</b>	<b>\$5,131.47</b>	<b>\$5,488.36</b>

\* Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.

## Gain/loss summary

	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term (S)	-23.08	0.00	98.78
Long term (L)	23,652.20	0.00	-24.22
<b>Total</b>	<b>\$23,629.12</b>	<b>\$0.00</b>	<b>\$74.56</b>

## SNAPSHOT

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## Your Financial Advisor

RITA MAHN  
Phone: 636-931-1900 / 800-811-6075

P O BOX 727  
FESTUS MO 63028

Please visit us at: [www.wellsfargoadvisors.com](http://www.wellsfargoadvisors.com)

## Account profile

Full account name:

ANN M GETTINGER LIV TR

ANN M GETTINGER TTEE

U/A DTD 06/28/2006

Standard Brokerage

2863-9259

Account type:

Brokerage account number:

Tax status:

Taxable

Investment objective/Risk tolerance:\*

Time horizon:\*

MODERATE GROWTH  
LONG TERM (10+ YEARS)

Liquidity needs:\*

NONE

Cost Basis Election:

First in, First out

Sweep option:

BANK DEPOSIT SWEEP

Your managed program:

ASSET ADVISOR

\*For more information, please visit us at: [www.wellsfargoadvisors.com/disclosures](http://www.wellsfargoadvisors.com/disclosures)

## For your consideration

Go paperless. Accessing your account documents online is easy, secure, and costs nothing. Sign on to [wellsfargoadvisors.com](http://wellsfargoadvisors.com) with your Access Online Username and Password, select **Statements & Docs**, and then click on the **Delivery Preferences Quick Link**. Choose **Electronic Delivery** to go paperless or select specific account documents for electronic delivery. If you do not have a Username and Password, visit [wellsfargoadvisors.com/signup](http://wellsfargoadvisors.com/signup) or call 1-877-879-2495 for enrollment assistance.

## Document delivery status

	Paper	Electronic
Statements:	X	
Trade confirmations:		X
Tax documents:	X	
Shareholder communications:		X
Other documents:		X



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## Additional information

Gross proceeds	THIS PERIOD 0.00	THIS YEAR 18,500.00
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## Portfolio detail

### Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more days prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	ACCOUNT	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP		0.14	0.00	258.44	0.00
Interest Period 12/01/16 - 12/31/16					
<b>Total Cash and Sweep Balances</b>		<b>0.14</b>		<b>\$258.44</b>	<b>\$0.00</b>

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

### Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

### Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME	ANNUAL YIELD (%)
DELAWARE INTL VALUE EQ									
CL I									
DEQIX									
On Reinvestment		1,341.68200	11.18	15,000.00		17,441.86	2,441.86		
Acquired 08/22/11 Lnc		124.53800	12.17	1,516.60		1,618.99	102.39		
Reinvestments L m		33.86200	12.99	439.87		440.21	0.34		
Reinvestments S									

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## Mutual Funds

## Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
<b>Total</b>	<b>10.69</b>	<b>1,500.08200</b>	<b>\$11.30</b>	<b>\$16,956.47</b>	<b>13.0000</b>	<b>\$19,501.06</b>	<b>\$2,544.59</b>	<b>\$450.02</b>	<b>2.31</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$15,000.00									
\$4,501.06									
<b>EATON VANCE SER II</b>									
<b>INCOME FUND BOSTON CL I</b>									
<b>EIBX</b>									
On Reinvestment									
Acquired 06/23/15 L		507.61400	5.89	2,994.30		2,908.63	-85.67		
			5.91	3,000.00					
Reinvestments L		14.53000	5.66	82.24		83.26	1.02		
			5.66	82.32					
Reinvestments S		33.88800	5.56	188.52		194.17	5.65		
<b>Total</b>	<b>1.75</b>	<b>556.03200</b>	<b>\$5.87</b>	<b>\$3,265.06</b>	<b>5.7300</b>	<b>\$3,186.06</b>	<b>-\$79.00</b>	<b>\$195.16</b>	<b>6.13</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$3,000.00									
\$186.06									
<b>HARBOR FD</b>									
<b>INTL FD INSTL CL</b>									
<b>HAIX</b>									
On Reinvestment									
Acquired 08/22/11 L nc		220.21400	54.04	11,900.37		12,862.69	962.32		
			50.66	584.28		673.64	89.36		
Reinvestments L nc		11.53300	58.20	269.53		270.50	0.97		
Reinvestments S		4.63100							
<b>Total</b>	<b>7.57</b>	<b>236.37800</b>	<b>\$53.96</b>	<b>\$12,754.18</b>	<b>58.4100</b>	<b>\$13,806.83</b>	<b>\$1,052.65</b>	<b>\$274.90</b>	<b>1.99</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$11,900.37									
\$1,906.46									
<b>MFS SER TR X</b>									
<b>EMERGING MKTS DEBT FD</b>									
<b>CLASS I</b>									
<b>MEDIX</b>									
On Reinvestment									
Acquired 06/23/15 L	2.70	342.70000	14.59	5,000.00	14.3700	4,924.59	-75.41	238.86	4.85

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## Mutual Funds

### Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
INVESTMENT MANAGERS									
SER TR OAK RIDGE									
SMALL CP GROWTH FD CL I									
ORIYX									
On Reinvestment									
Acquired 08/22/11 L nc		312.01200	25.64	8,000.00		10,549.12	2,549.12		
Acquired 03/28/13 L		74.85000	33.40	2,500.00		2,530.68	30.68		
Acquired 10/02/13 L		17.58000	40.05	704.07		594.38	-109.69		
Reinvestments L m		26.54700	28.01	743.74		897.55	153.81		
Reinvestments S		26.81600	34.48	924.87		906.65	-18.22		
Total	8.48	457.80500	\$28.12	\$12,872.68	33.8100	\$15,478.38	\$2,605.70	N/A	
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$11,204.07									
\$4,274.31									
OPENHEIMER DEV MKTS									
CL Y									
ODVYX									
On Reinvestment									
Acquired 08/22/11 L nc		554.10400	30.71	17,016.52		17,714.70	698.18		
Acquired 03/28/13 L		85.86100	34.94	3,000.00		2,744.98	-255.02		
Reinvestments L m		49.65200	33.58	1,667.39		1,587.38	-80.01		
Reinvestments S		3.64200	31.51	114.79		116.43	1.64		
Total	12.14	693.25900	\$31.44	\$21,798.70	31.9700	\$22,163.49	\$364.79	\$115.08	
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$20,016.52									
\$2,146.97									
T ROWE PRICE MID-CAP									
GROWTH FUND INC									
RPMGX									
On Reinvestment									
Acquired 08/22/11 L nc		185.75500	51.63	9,590.53		14,000.35	4,409.82		
Reinvestments L m		139.63900	63.16	8,819.63		10,524.60	1,704.97		
Reinvestments S		11.09800	76.23	846.02		836.45	-9.57		
Total	13.90	336.49200	\$57.23	\$19,256.18	75.3700	\$25,361.40	\$6,105.22	N/A	
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$9,590.53									
\$15,770.87									

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## Mutual Funds

## Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
VIRTUS OPPORTUNITIES									
TR EMERGING MKTS OPPTY S									
FD CL I									
HIEMX									
On Reinvestment		2,242.15199	8.92	20,000.00		20,291.47	291.47		
Acquired 08/22/11 L nc		531.91500	9.40	5,000.00		4,813.83	-186.17		
Acquired 07/10/12 L		167.23901	9.49	1,587.13		1,513.52	-73.61		
Reinvestments L m		13.21900	8.90	117.65		119.63	1.98		
Reinvestments S									
Total	14.65	2,954.52500	\$9.04	\$26,704.78	9.0500	\$26,738.45	\$33.67	\$118.18	0.44
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$25,000.00									
\$1,738.45									
VICTORY SYCAMORE									
SMALL CO OPPTY FD									
CL I									
VSOIX									
On Reinvestment		670.51800	31.85	21,355.99		29,804.52	8,448.53		
Acquired 07/10/12 L		101.57400	39.38	4,000.00		4,514.96	514.96		
Acquired 10/02/13 L		323.79400	37.90	12,273.36		14,392.65	2,119.29		
Reinvestments L		53.47300	44.55	2,382.74		2,376.87	-5.87		
Reinvestments S									
Total	27.99	1,149.35900	\$34.81	\$40,012.09	44.4500	\$51,089.00	\$11,076.91	\$266.65	0.52
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$25,355.99									
\$25,733.01									
Total Open End Mutual Funds									
	99.86			\$158,620.14		\$182,249.26	\$23,629.12	\$1,658.85	0.91
\$158,625.92									
\$158,625.92									
Total Mutual Funds									
	99.86			\$158,620.14		\$182,249.26	\$23,629.12	\$1,658.85	0.91
\$158,625.92									

This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS.  
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

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## Activity detail

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01	Cash	DIVIDEND		BEGINNING BALANCE			237.79
12/01	Cash	DIVIDEND		EATON VANCE SER II INCOME FUND BOSTON CL I 113016 553.32000 AS OF 11/30/16		15.35	
12/01	Cash	DIVIDEND		MFS SER TR X EMERGING MKTS DEBT FD CLASS I 113016 342.70000 AS OF 11/30/16		20.65	
12/01	Cash	REINVEST DIV	2.71200	EATON VANCE SER II INCOME FUND BOSTON CL I REINVEST AT 5.660		-15.35	
12/05	Cash	DIVIDEND		OPPENHEIMER DEV MKTS CL Y 120216 689.61700 AS OF 12/02/16		114.79	258.44
12/05	Cash	REINVEST DIV	3.64200	OPPENHEIMER DEV MKTS CL Y REINVEST AT 31.520		-114.79	
12/13	Cash	LT CAP GAIN		INVESTMENT MANAGERS SER TR OAK RIDGE SMALL CP GROWTH FD CL I 121216 430.98900 AS OF 12/12/16		924.87	258.44
12/13	Cash	REINVESTMENT	26.81600	INVESTMENT MANAGERS SER TR OAK RIDGE SMALL CP GROWTH FD CL I REINVEST AT 34.490		-924.87	
12/15	Cash	LT CAP GAIN		T ROWE PRICE MID-CAP GROWTH FUND INC 121516 325.39400		771.18	258.44
12/15	Cash	SHRT TRM GAIN		T ROWE PRICE MID-CAP GROWTH FUND INC 121516 315.27800		74.84	
12/15	Cash	REINVESTMENT	10.11600	T ROWE PRICE MID-CAP GROWTH FUND INC REINVEST AT 76.230		-771.18	
12/15	Cash	REINVESTMENT	0.98200	T ROWE PRICE MID-CAP GROWTH FUND INC		-74.84	

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## Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/20	Cash	DIVIDEND		HARBOR FD INTL FD INSTL CL 121916 231.74700 AS OF 12/19/16		269.53	258.44
12/20	Cash	REINVEST DIV	4.63100	HARBOR FD INTL FD INSTL CL REINVEST AT 58.200		-269.53	
12/22	Cash	DIVIDEND		VIRTUS OPPORTUNITIES TR EMERGING MKTS OPTYS FD CL I 122116 2,941.30600 AS OF 12/21/16		117.65	258.44
12/22	Cash	REINVEST DIV	13.21900	VIRTUS OPPORTUNITIES TR EMERGING MKTS OPTYS FD CL I REINVEST AT 8.900		-117.65	
12/23	Cash	DIVIDEND		DELAWARE INTL VALUE EQ CL I 122216 1,466.22000 AS OF 12/22/16		439.87	258.44
12/23	Cash	REINVEST DIV	33.86200	DELAWARE INTL VALUE EQ CL I REINVEST AT 12.990		-439.87	
12/29	Cash	SHRT TRM GAIN		VICTORY SYCAMORE SMALL CO OPTTY FD CL I 122916 1,095.88600		558.86	258.44
12/29	Cash	LT CAP GAIN		VICTORY SYCAMORE SMALL CO OPTTY FD CL I 122916 1,095.88600		1,569.72	
12/29	Cash	DIVIDEND		VICTORY SYCAMORE SMALL CO OPTTY FD CL I 122916 1,095.88600		254.16	
12/29	Cash	REINVESTMENT	35.22700	VICTORY SYCAMORE SMALL CO OPTTY FD CL I REINVEST AT 44.560		-1,569.72	

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## Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/29	Cash	REINVESTMENT	12.54200	VICTORY SYCAMORE SMALL CO OPPTY FD CL I REINVEST AT 44.580		-558.86	
12/29	Cash	REINVEST DIV	5.70400	VICTORY SYCAMORE SMALL CO OPPTY FD CL I REINVEST AT 44.580		-254.16	
							258.44

## Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
12/01	TRANSFER TO	BEGINNING BALANCE	237.79	12/31		ENDING BALANCE	258.44
12/02		BANK DEPOSIT SWEEP	20.65				





# SNAPSHOT

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## Cash flow summary

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	\$690.45	173.44
Income and distributions	34.44	36,653.29
Securities sold and redeemed	0.00	178.32
Other additions	0.00	
Net additions to cash	\$34.44	\$37,005.05
Securities purchased	-16.18	-23,100.06
Other subtractions and fees	-449.06	-13,903.78
Net subtractions from cash	-\$465.24	-\$37,003.84
Closing value of cash and sweep balances	\$259.65	

## Income summary \*

TAXABLE	THIS PERIOD	THIS YEAR
Money market/sweep funds	0.01	0.07
Ordinary dividends and ST capital gains	34.43	138.44
Total taxable income	\$34.44	\$138.51
Total federally tax-exempt income	\$0.00	\$0.00
Total income	\$34.44	\$138.51

\* Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.

## Gain/loss summary

	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term (S)	342.83	0.00	51.33
Long term (L)	30,672.54	0.00	6,772.81
Total	\$31,015.37	\$0.00	\$6,824.14



**Your Financial Advisor**

RITA MAHN  
Phone: 636-931-1900 / 800-811-6075

P O BOX 727  
FESTUS MO 63028

**SNAPSHOT**

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Please visit us at: [www.wellsfargoadvisors.com](http://www.wellsfargoadvisors.com)

**Account profile**

Full account name:

Account type:  
Brokerage account number:

Tax status:

Investment objective/Risk tolerance:\*

Time horizon:\*

Liquidity needs:\*

Cost Basis Election:

Sweep option:

Your managed program:

Your manager:

ANN M GETTINGER LIV TR  
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Standard Brokerage  
2863-9259

Taxable  
MODERATE GROWTH  
LONG TERM (10+ YEARS)  
NONE

First in, First out  
BANK DEPOSIT SWEEP  
FUNDSOURCE  
CUSTOMIZED BLEND

\*For more information, please visit us at: [www.wellsfargoadvisors.com/disclosures](http://www.wellsfargoadvisors.com/disclosures)

**For your consideration**

Go paperless. Accessing your account documents online is easy, secure, and costs nothing. Sign on to [wellsfargoadvisors.com](http://wellsfargoadvisors.com) with your Access Online Username and Password, select **Statements & Docs**, and then click on the **Delivery Preferences Quick Link**. Choose **Electronic Delivery** to go paperless or select specific account documents for electronic delivery. If you do not have a Username and Password, visit [wellsfargoadvisors.com/signup](http://wellsfargoadvisors.com/signup) or call 1-877-879-2495 for enrollment assistance.

**Document delivery status**

	Paper	Electronic
Statements:	X	
Trade confirmations:		X
Tax documents:	X	
Shareholder communications:		X
Other documents:		X

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## Additional information

	THIS PERIOD	THIS YEAR
Gross proceeds	0.00	36,653.29

## Portfolio detail

### Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	0.14	0.02	259.65	0.05
Interest Period 04/01/17 - 04/30/17				
<b>Total Cash and Sweep Balances</b>	<b>0.14</b>		<b>\$259.65</b>	<b>\$0.05</b>

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

### Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

### Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
EATON VANCE SER II INCOME FUND BOSTON CL I EIBIX On Reinvestment Acquired 06/23/15 L		507.61400	5.87 5.91	2,980.84 3,000.00		2,949.23	-31.61		

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## Mutual Funds

## Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments L		26.12100	5.53	144.50		151.77	7.27		
Reinvestments S		33.10800	5.54 5.66 5.68	144.83 187.71 188.32		192.35	4.64		
<b>Total</b>	<b>1.80</b>	<b>566.84300</b>	<b>\$5.84</b> <b>\$5.88</b>	<b>\$3,313.05</b> <b>\$3,333.15</b>	<b>5.8100</b>	<b>\$3,293.35</b>	<b>-\$19.70</b>	<b>\$194.42</b>	<b>5.90</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$3,000.00 \$293.35									
GOLDMAN SACHS FINL SQUARE GOVT FD CLASS I FGTX									
On Reinvestment		2.000	1.00	2,000.00		2,000.00	0.00		
Acquired 03/20/17 S		0.33000	1.00	0.33		0.33	0.00		
<b>Total</b>	<b>1.09</b>	<b>2,000.33000</b>	<b>\$1.00</b>	<b>\$2,000.33</b>	<b>1.0000</b>	<b>\$2,000.33</b>	<b>\$0.00</b>	<b>N/A</b>	<b>N/A</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$2,000.00 \$0.33									
HARBOR FD INTL FD INSTL CL HAINX									
On Reinvestment		220.21400	54.04	11,900.37		14,415.20	2,514.83		
Acquired 08/22/11 L nc		11.53300	50.66	584.28		754.95	170.67		
Reinvestments L nc		4.63100	58.20	269.53		303.15	33.62		
<b>Total</b>	<b>8.45</b>	<b>236.37800</b>	<b>\$53.96</b>	<b>\$12,754.18</b>	<b>65.4600</b>	<b>\$15,473.30</b>	<b>\$2,719.12</b>	<b>\$274.90</b>	<b>1.78</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$11,900.37 \$3,572.93									
HARTFORD MUTL FDS INC MIDCAP FUND CLASS I HFMIX									
On Reinvestment		3.84	27.74	7,000.00		7,025.22	25.22		
Acquired 03/20/17 S		252.34300	27.74	7,000.00		7,025.22	25.22		
<b>Total</b>	<b>3.84</b>	<b>252.34300</b>	<b>27.74</b>	<b>7,000.00</b>	<b>27.8400</b>	<b>7,025.22</b>	<b>25.22</b>	<b>N/A</b>	<b>N/A</b>

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## Mutual Funds

### Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
AMERICAN FUNDS									
NEW PERSPECTIVE FUND									
CL F2									
ANWFX									
On Reinvestment									
Acquired 03/20/17 S	3.93	181.25300	38.62	7,000.00	39.7200	7,199.36	199.36	69.23	
MFS SER TR X									
EMERGING MKTS DEBT FD									
CLASS I									
MEDIX									
On Reinvestment									
Acquired 08/23/15 L	2.79	342.70000	14.59	5,000.00	14.9200	5,113.08	113.08	238.51	
OPPENHEIMER DEV MKTS									
CL Y									
ODVXX									
On Reinvestment									
Acquired 08/22/11 L nc		554.10400	30.71	17,016.52		20,219.25	3,202.73		
Acquired 03/28/13 L		85.86100	34.94	3,000.00		3,133.07	133.07		
Reinvestments L m		49.65200	33.58	1,667.39		1,811.80	144.41		
Reinvestments S		3.64200	31.51	114.79		132.90	18.11		
Total	13.81	693.25900	\$31.44	\$21,798.70	36.4900	\$25,297.02	\$3,498.32	\$115.08	
Client Investment (Excluding Reinvestments)							\$20,016.52	0.45	
Gain/Loss on Client Investment (Including Reinvestments)							\$5,280.50		
T ROWE PRICE REAL EST									
FUND INC									
TRREX									
On Reinvestment									
Acquired 03/20/17 S		249.46500	28.06	7,000.00		6,952.59	-47.41		
Reinvestments S		1.34700	27.78	37.42		37.54	0.12		
Total	3.82	250.81200	\$28.06	\$7,037.42	27.8700	\$6,990.13	-\$47.29	\$168.04	
Client Investment (Excluding Reinvestments)							\$7,000.00	2.40	
Gain/Loss on Client Investment (Including Reinvestments)							-\$9.87		
T ROWE PRICE MID-CAP									
GROWTH FUND INC									
RPMGX									
On Reinvestment									
Acquired 08/22/11 L nc		185.75500	51.63	9,590.53		15,423.24	5,832.71		

ANN M GETTINGER LJV TR  
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APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER:

## Mutual Funds

## Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments L m		139.63900	63.16	8,819.63		11,594.22	2,774.59		
Reinvestments S		11.09800	76.23	846.02		921.47	75.45		
<b>Total</b>	<b>15.25</b>	<b>336.49200</b>	<b>\$57.23</b>	<b>\$19,256.18</b>	<b>83.0300</b>	<b>\$27,938.93</b>	<b>\$8,682.75</b>	<b>N/A</b>	<b>N/A</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$9,590.53									
\$18,348.40									
VIRTUS OPPORTUNITIES									
TR EMERGING MKTS OPPTY S									
FD CL I									
HIEMX									
On Reinvestment									
Acquired 08/22/11 L nc		2,242.15199	8.92	20,000.00		23,587.44	3,587.44		
Acquired 07/10/12 L		531.91500	9.40	5,000.00		5,595.74	595.74		
Reinvestments L m		167.23901	9.49	1,587.13		1,759.36	172.23		
Reinvestments S		13.21900	8.90	117.65		139.06	21.41		
<b>Total</b>	<b>16.97</b>	<b>2,954.52500</b>	<b>\$9.04</b>	<b>\$26,704.78</b>	<b>10.5200</b>	<b>\$31,081.60</b>	<b>\$4,376.82</b>	<b>\$118.18</b>	<b>0.38</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$25,000.00									
\$6,081.60									
VICTORY SYCAMORE									
SMALL CO OPPTY FD									
CL I									
VSOIX									
On Reinvestment									
Acquired 07/10/12 L		670.51800	31.85	21,355.99		30,032.50	8,676.51		
Acquired 10/02/13 L		101.57400	39.38	4,000.00		4,549.50	549.50		
Reinvestments L		323.79400	37.90	12,273.36		14,502.73	2,229.37		
Reinvestments S		53.47300	44.55	2,382.74		2,395.05	12.31		

ANN M GETTINGER L/V TR  
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U/A DTD 06/28/2006

APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER:

## Mutual Funds

### Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
<b>Total</b>	<b>28.11</b>	<b>1,149,359.00</b>	<b>\$34.81</b>	<b>\$40,012.09</b>	<b>44.7900</b>	<b>\$51,479.78</b>	<b>\$11,467.69</b>	<b>\$266.65</b>	<b>0.52</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$25,355.99	\$26,123.79		
<b>Total Open End Mutual Funds</b>	<b>99.86</b>			<b>\$151,876.73</b>		<b>\$182,892.10</b>	<b>\$31,015.37</b>	<b>\$1,445.01</b>	<b>0.79</b>
				<b>\$151,896.83</b>					
<b>Total Mutual Funds</b>	<b>99.86</b>			<b>\$151,876.73</b>		<b>\$182,892.10</b>	<b>\$31,015.37</b>	<b>\$1,445.01</b>	<b>0.79</b>
				<b>\$151,896.83</b>					

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS.  
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

## Activity detail

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
04/01				BEGINNING BALANCE			690.45
04/03	Cash	DIVIDEND		EATON VANCE SER II INCOME FUND BOSTON CL I 033117 564.08600 AS OF 3/31/17		15.85	
04/03	Cash	DIVIDEND		GOLDMAN SACHS FINL SQUARE GOVT FD CLASS I 033117 2.000 AS OF 3/31/17		0.33	
04/03	Cash	DIVIDEND		MFS SER TR X EMERGING MKTS DEBT FD CLASS I 033117 342.70000 AS OF 3/31/17		18.25	
04/03	Cash	REINVEST DIV		EATON VANCE SER II INCOME FUND BOSTON CL I REINVEST AT 5.770		-15.85	
04/03	Cash	REINVEST DIV		GOLDMAN SACHS FINL SQUARE GOVT FD CLASS I REINVEST AT 1.000		-0.33	
							708.70

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ACCOUNT NUMBER:

## Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
04/13	Cash	ADVISORY FEE		FUNDSOURCE FEE QUARTERLY FEE		-449.06	259.64
04/28	Cash	INTEREST		BANK DEPOSIT SWEEP 042817 259		0.01	259.65

## Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
04/01		BEGINNING BALANCE	690.45	04/28	REINVEST INT	BANK DEPOSIT SWEEP	0.01
04/04	TRANSFER TO	BANK DEPOSIT SWEEP	18.25	04/30		ENDING BALANCE	259.65
04/17	TRANSFER FROM	BANK DEPOSIT SWEEP	-449.06				



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# **SNAPSHOT**

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DECEMBER 1, 2016 - DECEMBER 31, 2016  
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## **Cash flow summary**

	THIS PERIOD	THIS YEAR
<b>Opening value of cash and sweep balances</b>		
Income and distributions	<b>\$167.19</b>	4,618.55
Securities sold and redeemed	1,031.41	22,522.18
Other additions	0.00	12,000.00
<b>Net additions to cash</b>	<b>\$1,031.41</b>	<b>\$39,140.73</b>
Withdrawals by check	0.00	-6,313.92
Securities purchased	0.00	-75.17
Electronic funds transfers	-400.00	-33,499.50
<b>Net subtractions from cash</b>	<b>-\$400.00</b>	<b>-\$39,888.59</b>
<b>Closing value of cash and sweep balances</b>	<b>\$798.60</b>	

## **Income summary \***

	THIS PERIOD	THIS YEAR
<b>TAXABLE</b>		
Money market/sweep funds	0.01	0.09
Ordinary dividends and ST capital gains	0.00	72.20
Qualified dividends	136.40	669.90
<b>Total taxable income</b>	<b>\$136.41</b>	<b>\$742.19</b>
<b>TAX-EXEMPT</b>		
Interest	895.00	3,851.25
<b>Total federally tax-exempt income</b>	<b>\$895.00</b>	<b>\$3,851.25</b>
<b>Total Income</b>	<b>\$1,031.41</b>	<b>\$4,593.44</b>

\* Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.

## SNAPSHOT

ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006

DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER:

## Your Financial Advisor

RITA MAHN  
Phone: 636-931-1900 / 800-811-6075

P O BOX 727  
FESTUS MO 63028

Please visit us at: [www.wellsfargoadvisors.com](http://www.wellsfargoadvisors.com)

## Account profile

Full account name:

ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE

Account type:  
Brokerage account number:

U/A DTD 06/28/2006  
Standard Brokerage  
2981-9854

Tax status:

Taxable

Investment objective/Risk tolerance:\*

AGGRESSIVE GROWTH  
LONG TERM (10+ YEARS)

Time horizon:\*

NONE

Liquidity needs:\*

First In, First out

Cost Basis Election:

BANK DEPOSIT SWEEP

Sweep option:

\*For more information, please visit us at: [www.wellsfargoadvisors.com/disclosures](http://www.wellsfargoadvisors.com/disclosures)

## For your consideration

Go paperless. Accessing your account documents online is easy, secure, and costs nothing. Sign on to [wellsfargoadvisors.com](http://wellsfargoadvisors.com) with your Access Online Username and Password, select **Statements & Docs**, and then click on the **Delivery Preferences Quick Link**. Choose **Electronic Delivery** to go paperless or select specific account documents for electronic delivery. If you do not have a Username and Password, visit [wellsfargoadvisors.com/signup](http://wellsfargoadvisors.com/signup) or call 1-877-879-2495 for enrollment assistance.

## Document delivery status

	Paper	Electronic
Statements:	X	
Trade confirmations:	X	
Tax documents:	X	
Shareholder communications:	X	
Other documents:	X	

ANN M GETTINGER LIV TR  
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U/A DTD 06/28/2006

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### Additional information

	THIS PERIOD	THIS YEAR		THIS PERIOD	THIS YEAR
Exempt accrued interest on sales	0.00	73.71	Gross proceeds	0.00	22,448.47

### Portfolio detail

#### Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more days' prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	ACCOUNT	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash		0.08	0.00	136.40	0.00
BANK DEPOSIT SWEEP		0.40	0.02	662.20	0.13
Interest Period 12/01/16 - 12/31/16					
<b>Total Cash and Sweep Balances</b>		<b>0.48</b>		<b>\$798.60</b>	<b>\$0.13</b>

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

#### Stocks, options & ETFs

##### Stocks and ETFs

DESCRIPTION	ACCOUNT	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
AMEREN CORP AEE		9.75	310	52.4600	16,262.60	545.60	3.35
<b>Total Stocks and ETFs</b>		<b>9.75</b>			<b>\$16,262.60</b>	<b>\$545.60</b>	<b>3.35</b>
<b>Total Stocks, options &amp; ETFs</b>		<b>9.75</b>			<b>\$16,262.60</b>	<b>\$545.60</b>	<b>3.35</b>

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U/A DTD 06/28/2006

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ACCOUNT NUMBER:

## Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

## Municipal Bonds

DESCRIPTION	ACCOUNT	% OF	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED		
						ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
SOUTHEAST MO ST UNIV SYS FACS REVSER B BIE CPN 3.350% DUE 04/01/24 DTD 12/22/11 FC 04/01/12 PRE 04/01/17 @ 102.000 S&P A		3.08	5,000	102.6250	5,131.25	41.88	167.50	3.26
CUSIP 841438GY4								
COLUMBIA MO SPL OBLG ELEC UTIL IMPT RV ANNUAL APPROPRIATION OBLIG A CPN 5.500% DUE 10/01/19 DTD 03/13/08 FC 10/01/08 PRE 10/01/17 @ 100.000 S&P AA		3.10	5,000	103.4150	5,170.75	68.75	275.00	5.31
CUSIP 198050AP1								
ST LOUIS CNTY MO SCH DIST 101 AFTON LSE PARTN CTF REV BIE AGM CPN 3.000% DUE 03/01/20 DTD 08/16/11 FC 03/01/12 PRE 03/01/18 @ 100.000 S&P AA		6.12	10,000	102.1620	10,216.20	100.00	300.00	2.93
CUSIP 79148PBY6								
WENTZVILLE R IV SCH DIST MO LSE CTF PARTN REV BIE OID CPN 3.375% DUE 04/01/20 DTD 08/04/11 FC 04/01/12 PRE 04/01/18 @ 100.000 Moody AA3 CUSIP 95073BAJ6		6.16	10,000	102.7710	10,277.10	84.38	337.50	3.28

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## Fixed Income Securities

### Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED		
					ACCURED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
CHESTERFIELD MO CTF PARTN REV B/E OLD B/Q CPN 4.400% DUE 12/01/18 DTD 12/23/08 FC 06/01/09 Moody AA1	6.32	10,000	105.5130	10,551.30	36.67	440.00	4.17
CUSIP 16645PEQ0							
MISSOURI ST DEV/FIN BRD INFRA FCS REV BRANSON MO BRANSON LANDING PROJ-SER CPN 5.000% DUE 06/01/21 DTD 03/31/15 FC 06/01/15 S&P A	6.65	10,000	110.9860	11,098.60	41.67	500.00	4.50
CUSIP 60636SED2							
KANSAS CITY MO WTR REV SER D B/E OLD AGM	6.84	10,000	114.0590	11,405.90	37.50	450.00	3.94
CPN 4.500% DUE 12/01/22 DTD 07/15/04 FC 12/01/04 Moody AA2, S&P AA+							
CUSIP 485116QR1							
MISSOURI ST HWYS & TRANSN COMMN ST RD REV RFDG 2ND LIEN SER B B/E CPN 5.000% DUE 05/01/23 DTD 06/03/14 FC 11/01/14 Moody AA1, S&P AAA	7.08	10,000	118.1400	11,814.00	83.33	500.00	4.23
CUSIP 60636WRC0							
MISSOURI ASSOC RURAL ED LSE PARTN CTF MOUND CITY R II S/D HOL T CNTY MO PJ CPN 3.250% DUE 04/15/27 DTD 12/30/14 FC 10/15/15 CALL 04/15/19 @ 100.000 S&P A	5.94	10,000	99.0710	9,907.10	68.61	325.00	3.28
CUSIP 605891XG0							

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## Fixed Income Securities

### Municipal Bonds continued

DESCRIPTION	ACCOUNT	% OF QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED		
					ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
NORTHWEST MO ST UNIV REV RFDG HSG SYS BIE CPN 4.000% DUE 06/01/27 DTD 12/20/12 FC 06/01/13 CALL 06/01/22 @ 100.000 Moody A3 CUSIP 667598GD2		6.28 10,000	104.7580	10,475.80	33.33	400.00	3.81
<b>Total Municipal Bonds</b>	<b>57.56</b>	<b>90,000</b>		<b>\$96,048.00</b>	<b>\$596.12</b>	<b>\$3,695.00</b>	<b>3.85</b>

### Market Linked Certificates of Deposit

For more information, see the Specific Instructions and disclosures page.

DESCRIPTION	ACCOUNT	% OF QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED		
					ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
WELLS FARGO BANK CD MKT LKND TO SAP 500 INDX FDIC INSURED CPN 0.000% DUE 02/05/21 DTD 02/07/14 FC 02/05/21 CUSIP 949861PCS		32.21 50,000	107.5000	53,750.00	N/A	N/A	N/A
<b>Total Market Linked Certificates of Deposit</b>	<b>32.21</b>			<b>\$53,750.00</b>			
<b>Total Fixed Income Securities</b>	<b>89.78</b>			<b>\$149,798.00</b>	<b>\$596.12</b>	<b>\$3,695.00</b>	<b>2.47</b>

## Activity detail

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01				BEGINNING BALANCE			167.19
12/01	Cash	INTEREST		CHESTERFIELD MO CTF PARTN REV BIE OLD B/Q CPN 4.400% DUE 12/01/18 DTD 12/23/08 FC 06/01/09 120116 10,000 CUSIP 16645PEC0		220.00	



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DECEMBER 1, 2016 - DECEMBER 31, 2016  
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## Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01	Cash	INTEREST		KANSAS CITY MO WTR REV SER D B/E OID AGM CPN 4.500% DUE 12/01/22 DTD 07/15/04 FC 12/01/04 120116 10.000 CUSIP 485116QR1 MISSOURI ST DEV FIN BRD INFRA FCS REV BRANSON MO BRANSON LANDING PROJ-SER CPN 5.000% DUE 06/01/21 DTD 03/31/15 FC 06/01/15 120116 10.000 CUSIP 60636SED2 NORTHWEST MO ST UNIV REV RFDG HSG SYS BLE CPN 4.000% DUE 06/01/27 DTD 12/20/12 FC 06/01/13 CALL 06/01/22 @ 100.000 120116 10.000 CUSIP 687598GD2		225.00	
12/01	Cash	INTEREST				250.00	
12/01	Cash	INTEREST				200.00	1,062.19
12/05	Cash	AUTO ACTIVITY		MONTHLY DISTRIBUTION TRACE # 121000242005866		-400.00	662.19
12/30	Cash	DIVIDEND		AMEREN CORP 123016 310		136.40	
12/30	Cash	INTEREST		BANK DEPOSIT SWEEP 123016 662		0.01	798.60

## Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
12/01		BEGINNING BALANCE	167.19	12/30	REINVEST INT	BANK DEPOSIT SWEEP	0.01
12/02	TRANSFER TO	BANK DEPOSIT SWEEP	895.00	12/31		ENDING BALANCE	662.20
12/05	TRANSFER FROM	BANK DEPOSIT SWEEP	-400.00				



# SNAPSHOT

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APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER:

## Cash flow summary

	THIS PERIOD	THIS YEAR
<b>Opening value of cash and sweep balances</b>	<b>\$48,286.72</b>	
Income and distributions	552.93	839.65
Securities sold and redeemed	5,100.00	5,100.00
Other additions	0.00	96,001.40
<b>Net additions to cash</b>	<b>\$5,652.93</b>	<b>\$101,941.05</b>
Electronic funds transfers	-3,000.00	-3,800.00
Other subtractions and fees	0.00	-48,000.00
<b>Net subtractions from cash</b>	<b>-\$3,000.00</b>	<b>-\$51,800.00</b>
<b>Closing value of cash and sweep balances</b>	<b>\$50,939.65</b>	

## Income summary \*

	THIS PERIOD	THIS YEAR
<b>TAXABLE</b>		
Money market/sweep funds	0.43	0.75
Qualified dividends	0.00	136.40
<b>Total taxable income</b>	<b>\$0.43</b>	<b>\$137.15</b>
<b>TAX-EXEMPT</b>		
Interest	552.50	702.50
<b>Total federally tax-exempt income</b>	<b>\$552.50</b>	<b>\$702.50</b>
<b>Total income</b>	<b>\$552.93</b>	<b>\$839.65</b>

\* Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.



SNAPSHOT

ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006

APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER:

Your Financial Advisor

RITA MAHN  
Phone: 636-931-1900 / 800-811-6075

P O BOX 727  
FESTUS MO 63028

Please visit us at: [www.wellsfargoadvisors.com](http://www.wellsfargoadvisors.com)

Account profile

Full account name:

ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006  
Standard Brokerage  
2981-9854

**For your consideration**

Go paperless. Accessing your account documents online is easy, secure, and costs nothing. Sign on to [wellsfargoadvisors.com](http://wellsfargoadvisors.com) with your Access Online Username and Password, select **Statements & Docs**, and then click on the **Delivery Preferences Quick Link**. Choose **Electronic Delivery** to go paperless or select specific account documents for electronic delivery. If you do not have a Username and Password, visit [wellsfargoadvisors.com/signup](http://wellsfargoadvisors.com/signup) or call 1-877-879-2495 for enrollment assistance.

Document delivery status

	Paper	Electronic
Statements:	X	
Trade confirmations:	X	
Tax documents:	X	
Shareholder communications:	X	
Other documents:	X	

Account type:  
Brokerage account number:  
Tax status:  
Investment objective/Risk tolerance:\*  
Time horizon:\*

Taxable  
AGGRESSIVE GROWTH  
LONG TERM (10+ YEARS)  
NONE

Liquidity needs:\*Cost Basis Election:  
Sweep option:  
BANK DEPOSIT SWEEP

\*For more information, please visit us at: [www.wellsfargoadvisors.com/disclosures](http://www.wellsfargoadvisors.com/disclosures)

ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006

APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER:

## Additional information

Gross proceeds	THIS PERIOD 5,100.00	THIS YEAR 5,100.00
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## Portfolio detail

### Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund, used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more days prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	23.63	0.01	50,939.65	5.09
Interest Period 04/01/17 - 04/30/17				

<b>Total Cash and Sweep Balances</b>	<b>23.63</b>		<b>\$50,939.65</b>	<b>\$5.09</b>
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\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

### Stocks, options & ETFs

#### Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED	
AMEREN CORP AEE	7.86	310	54.6900	16,953.90	545.60	3.21
<b>Total Stocks and ETFs</b>	<b>7.86</b>			<b>\$16,953.90</b>	<b>\$545.60</b>	<b>3.22</b>
<b>Total Stocks, options &amp; ETFs</b>	<b>7.86</b>			<b>\$16,953.90</b>	<b>\$545.60</b>	<b>3.22</b>

ANN M GETTINGER LIV TR  
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U/A DTD 06/28/2006

APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER:

## Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

### Municipal Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED		
					ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
COLUMBIA MO SPL OBLG ELEC UTIL IMPT RV ANNUAL APPROPRIATION OBLIG A CPN 5.500% DUE 10/01/19 DTD 03/13/08 FC 10/01/08 PRE 10/01/17 @ 100.000 S&P AA CUSIP 198050AP1	2.37	5,000	101.9850	5,099.25	22.92	275.00	5.39
ST LOUIS CNTY MO SCH DIST 101 AFTON LSE PARTN CTF REV BIE AGM CPN 3.000% DUE 03/01/20 DTD 08/16/11 FC 03/01/12 PRE 03/01/18 @ 100.000 S&P AA CUSIP 79148PBY6	4.72	10,000	101.6880	10,168.80	50.00	300.00	2.95
WENTZVILLE R IV SCH DIST MO LSE CTF PARTN REV BIE OID CPN 3.375% DUE 04/01/20 DTD 08/04/11 FC 04/01/12 PRE 04/01/18 @ 100.000 Moody AA3 CUSIP 95073BAJ6	4.74	10,000	102.2040	10,220.40	28.13	337.50	3.30
CHESTERFIELD MO CTF PARTN REV BIE OID B/C CPN 4.400% DUE 12/01/18 DTD 12/23/08 FC 06/01/09 Moody AA1 CUSIP 16645PEC0	4.87	10,000	105.0200	10,502.00	183.33	440.00	4.18

ANN M GETTINGER LIV TR  
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U/A DTD 06/28/2006

APRIL 1, 2017 - APRIL 30, 2017  
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## Fixed Income Securities

### Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED		
					ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
MISSOURI ST DEV FIN BRD INFRA FCS REV BRANSON MO BRANSON LANDING PROJ-SER CPN 5.000% DUE 06/01/21 DTD 03/31/15 FC 06/01/15 S&P A CUSIP 60636SED2	5.20	10,000	112.0270	11,202.70	208.33	500.00	4.46
KANSAS CITY MO WTR REV SER D B/E OID AGM CPN 4.500% DUE 12/01/22 DTD 07/15/04 FC 12/01/04 Moody AA2, S&P AA+ CUSIP 485116QR1	5.35	10,000	115.2870	11,528.70	187.50	450.00	3.90
MISSOURI ST HWYS & TRANSN COMMN ST RD REV RFDG 2ND LIEN SER B B/E CPN 5.000% DUE 05/01/23 DTD 06/03/14 FC 11/01/14 Moody AA1, S&P AAA CUSIP 60636WRQ0	5.54	10,000	119.5120	11,951.20	250.00	500.00	4.18
MISSOURI ASSOC RURAL ED LSE PARTN CTF MOUND CITY R II S/D HOLT CNTY MO PJ CPN 3.250% DUE 04/15/27 DTD 12/30/14 FC 10/15/15 CALL 04/15/19 @ 100.000 S&P A CUSIP 606891XG0	4.65	10,000	100.1690	10,016.90	14.44	325.00	3.24
NORTHWEST MO ST UNIV REV RFDG HSG SYS B/E CPN 4.000% DUE 06/01/27 DTD 12/20/12 FC 06/01/13 CALL 06/01/22 @ 100.000 Moody A3 CUSIP 687598GD2	4.90	10,000	105.6320	10,563.20	166.67	400.00	3.78
<b>Total Municipal Bonds</b>	<b>42.33</b>	<b>85,000</b>		<b>\$91,253.15</b>	<b>\$1,111.32</b>	<b>\$3,527.50</b>	<b>3.87</b>

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U/A DTD 06/28/2006

APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER:

## Fixed Income Securities

### Market Linked Certificates of Deposit

For more information, see the Specific Instructions and disclosures page.

DESCRIPTION	ACCOUNT	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED	
						ACCRUED INTEREST	ANNUAL INCOME
WELLS FARGO BANK CD MKT LNKD TO S&P 500 INDX FDIC INSURED CPN 0.000% DUE 02/05/21 DTD 02/07/14 FC 02/05/21 CUSIP 94986TPC5		26.18	50,000	112.8750	56,437.50	N/A	N/A
<b>Total Market Linked</b>		<b>26.18</b>			<b>\$56,437.50</b>		
<b>Certificates of Deposit</b>							
<b>Total Fixed Income Securities</b>		<b>68.51</b>			<b>\$147,690.65</b>	<b>\$1,111.32</b>	<b>\$3,527.50</b>
							<b>2.39</b>

### Activity detail

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
04/01				BEGINNING BALANCE			48,286.72
04/03	Cash	INTEREST		COLUMBIA MO SPL OBLG ELEC UTIL IMPT RV ANNUAL APPROPRIATION OBLIG A CPN 5.500% DUE 10/01/19 DTD 03/13/08 FC 10/01/08 040117 5,000 AS OF 4/01/17 CUSIP 198050AP1		137.50	
04/03	Cash	INTEREST		SOUTHEAST MO ST UNIV SYS FACS REVSER B BIE CPN 3.350% DUE 04/01/24 DTD 12/22/11 FC 04/01/12 PRE 04/01/17 @ 102.000 040117 5,000 AS OF 4/01/17 CUSIP 841438GY4		83.75	



ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006

APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER:

## Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
04/03	Cash	INTEREST		WENTZVILLE R IV SCH DIST MO LSE CTF PARTN REV B/E OID CPN 3.375% DUE 04/01/20 DTD 08/04/11 FC 04/01/12 040117 10.000 AS OF 4/01/17 CUSIP 95073BAJ6 SOUTHEAST MO ST UNIV SYS FACS REV SER B B/E CPN 3.350% DUE 04/01/24 DTD 12/22/11 FC 04/01/12 PRE 04/01/17 @ 102.000 CUSIP 841438GY4		168.75	
04/03	Cash	REDEMPTION	-5,000.00000			5,100.00	53,776.72
04/17	Cash	INTEREST		MISSOURI ASSOC RURAL ED LSE PARTN CTF MOUND CITY R II S/D HOLT CNTY MO PJ CPN 3.250% DUE 04/15/27 DTD 12/30/14 FC 10/15/15 041517 10.000 AS OF 4/15/17 CUSIP 605891XG0		162.50	53,939.22
04/26	Cash	AUTO ACTIVITY		ACH DIRECT DEPOSIT TRACE # 121000242002027 ANN M GETTINGER TRANSFER TO COMMERCE BANK CHK XXXXXXXXXXXX2657		-3,000.00	50,939.22
04/28	Cash	INTEREST		BANK DEPOSIT SWEEP 042817 50.939		0.43	50,939.65

## Cash sweep activity

Our Cash Sweep Program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
04/01		BEGINNING BALANCE	48,150.32	04/04	TRANSFER TO	BANK DEPOSIT SWEEP	5,490.00
04/03	TRANSFER TO	BANK DEPOSIT SWEEP	136.40	04/18	TRANSFER TO	BANK DEPOSIT SWEEP	162.50

ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006

APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER: .

**Cash sweep activity continued**

DATE	TRANSACTION	DESCRIPTION	AMOUNT
04/27	TRANSFER FROM	BANK DEPOSIT SWEEP	-3,000.00
04/28	REINVEST INT	BANK DEPOSIT SWEEP	0.43

DATE	TRANSACTION	DESCRIPTION	AMOUNT
04/30		ENDING BALANCE	50,939.65

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# **SNAPSHOT**

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**ANN M GETTINGER LIV TR**  
**ANN M GETTINGER TTEE**  
**U/A DTD 06/28/2006**

**DECEMBER 1, 2016 - DECEMBER 31, 2016**  
**ACCOUNT NUMBER:**

## **Cash flow summary**

	THIS PERIOD	THIS YEAR
<b>Opening value of cash and sweep balances</b>	<b>\$0.00</b>	
Income and distributions	1,271.01	2,636.91
Securities sold and redeemed	0.00	9,545.07
<b>Net additions to cash</b>	<b>\$1,271.01</b>	<b>\$12,181.98</b>
Securities purchased	-1,271.01	-11,361.92
Other subtractions and fees	0.00	-820.06
<b>Net subtractions from cash</b>	<b>-\$1,271.01</b>	<b>-\$12,181.98</b>
<b>Closing value of cash and sweep balances</b>	<b>\$0.00</b>	

## **Income summary \***

	THIS PERIOD	THIS YEAR
<b>TAXABLE</b>		
Ordinary dividends and ST capital gains	299.14	692.90
Long term capital gains	917.73	1,281.26
<b>Total taxable income</b>	<b>\$1,216.87</b>	<b>\$1,974.16</b>
Dividends	54.14	594.75
<b>TAX-EXEMPT</b>		
<b>Total federally tax-exempt income</b>	<b>\$54.14</b>	<b>\$594.75</b>
<b>Total income</b>	<b>\$1,271.01</b>	<b>\$2,568.91</b>

\* Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.

## **Gain/loss summary**

	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term (S)	-393.14	0.00	1.34
Long term (L)	2,473.02	0.00	479.12
<b>Total</b>	<b>\$2,079.88</b>	<b>\$0.00</b>	<b>\$480.46</b>

ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006

DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER:

## Your Financial Advisor

RITA MAHN  
Phone: 636-931-1900 / 800-811-6075

P O BOX 727  
FESTUS MO 63028

Please visit us at: [www.wellsfargoadvisors.com](http://www.wellsfargoadvisors.com)

## Account profile

Full account name:

ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006

Account type:  
Brokerage account number:

Standard Brokerage  
5316-8147

Tax status:

Taxable

Investment objective/Risk tolerance:\*

MODERATE GROWTH & INCOME  
LONG TERM (10+ YEARS)

Liquidity needs:\*

NONE

Cost Basis Election:

First In, First out

Your managed program:

FUNDSOURCE

Your manager:

MODERATE GR & INC TAX MGD OPTI

\*For more information, please visit us at: [www.wellsfargoadvisors.com/disclosures](http://www.wellsfargoadvisors.com/disclosures)

## For your consideration

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## Document delivery status

	Paper	Electronic
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Trade confirmations:	X	
Tax documents:	X	
Shareholder communications:	X	
Other documents:	X	

ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006

DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER:

## Additional information

Gross proceeds	THIS PERIOD 0.00	THIS YEAR 9,545.07
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## Portfolio detail

### Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

### Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
COHEN & STEERS RLTY SHARES INC CSRSX									
On Reinvestment									
Acquired 07/01/13 L		11.27000	67.44	760.02		739.65	-20.37		
Acquired 07/15/13 L		0.10200	70.37	7.21		6.69	-0.52		
Acquired 10/02/13 L		1.43600	66.58	95.59		94.24	-1.35		
Reinvestments L		4.43700	68.25	302.83		291.20	-11.63		
Reinvestments S		2.40900	67.84	163.43		158.11	-5.32		
Total	1.93	19.65400	\$67.62	\$1,329.08	65.6300	\$1,289.89	-\$39.19	\$39.91	3.09
Client Investment (Excluding Reinvestments)								\$862.82	
Gain/Loss on Client Investment (Including Reinvestments)								\$427.07	
CREDIT SUISSE COMMODITY RETURN STRATEGY FUND CL I CRSOX									
On Reinvestment									
Acquired 04/15/15 L		233.90900	5.83	1,363.69		1,185.92	-177.77		
Acquired 08/14/15 L		19.36100	5.18	100.29		98.16	-2.13		
Acquired 08/24/16 S		20.89000	4.91	102.57		105.91	3.34		
Total	2.08	274.16000	\$5.71	\$1,566.55	5.0700	\$1,389.99	-\$176.56	N/A	N/A

ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER:

## Mutual Funds

## Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
DIAMOND HILL FDS									
LARGE CAP FD CL I SHS									
DHLRX									
On Reinvestment									
Acquired 07/01/13 L		120.11000	19.79	2,376.96		2,786.55	409.59		
Acquired 10/02/13 L		29.31200	20.84	610.87		680.04	69.17		
Acquired 08/25/14 L		22.21700	23.13	513.87		515.43	1.56		
Reinvestments L		29.64200	21.86	648.05		687.70	39.65		
Reinvestments S		15.78700	23.35	368.71		366.25	-2.46		
Total	7.54	217.06800	\$20.82	\$4,518.46	23.2000	\$5,035.97	\$517.51	\$66.42	1.32
Client Investment (Excluding Reinvestments)									
						\$3,501.70			
Gain/Loss on Client Investment (Including Reinvestments)						\$1,534.27			
GOLDMAN SACHS TR									
FINL SQUARE TREAS INSTRS									
FD INSTL CL									
FTIXX									
On Reinvestment									
Acquired 08/24/16 S		348.45000	1.00	348.45		348.45	0.00		
Acquired 08/25/16 S		104.75000	1.00	104.75		104.75	0.00		
Total	0.68	453.20000	\$1.00	\$453.20	1.0000	\$453.20	\$0.00	\$0.72	0.16
JOHN HANCOCK FDS III									
GLOBAL SHAREHOLDER YLD									
CLASS I									
JGVIX									
On Reinvestment									
Acquired 07/14/10 L nc		109.55800	8.16	893.97		1,140.50	246.53		
Acquired 10/18/11 L nc		23.89600	9.25	221.04		248.76	27.72		
Acquired 08/14/15 L		3.41300	11.18	38.16		35.53	-2.63		
Reinvestments L m		50.57600	10.57	534.82		526.49	-8.33		
Reinvestments S		6.10900	10.29	62.91		63.59	0.68		
Total	3.02	193.55200	\$9.05	\$1,750.90	10.4100	\$2,014.87	\$263.97	\$66.58	3.30
Client Investment (Excluding Reinvestments)									
						\$1,153.17			
Gain/Loss on Client Investment (Including Reinvestments)						\$861.70			



ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006

DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER:

## Mutual Funds

### Open End Mutual Funds continued

								ESTIMATED	
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME	ANNUAL YIELD (%)
LORD ABBETT SECS TR									
VALUE OPTYS FUND									
CLASS F									
LVOFX									
On Reinvestment									
Acquired 04/15/15 L		207.98600	20.85	4,336.51		4,105.64	-230.87		
Acquired 08/24/16 S		68.37800	19.73	1,349.10		1,349.77	0.67		
Reinvestments L		15.74100	18.51	291.37		310.73	19.36		
Reinvestments S		17.24200	19.54	336.91		340.36	3.45		
Total	9.14	309.34700	\$20.41	\$6,313.89	19.7400	\$6,106.50	-\$207.39	\$6.52	0.11
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$5,685.61									
\$420.89									
MFS SER TR III									
MUNICIPAL HIGH INCOME FD									
CL I									
MMIIX									
On Reinvestment									
Acquired 07/01/13 L		304.92300	7.78	2,372.28		2,436.33	64.05		
Acquired 07/12/13 L		10.37000	7.72	80.06		82.86	2.80		
Acquired 10/02/13 L		19.42300	7.49	145.48		155.19	9.71		
Acquired 08/14/15 L		73.51200	8.06	592.51		587.36	-5.15		
Reinvestments L		55.92600	7.89	441.50		446.84	5.34		
Reinvestments S		22.87600	8.33	190.62		182.78	-7.84		
Total	5.83	487.03000	\$7.85	\$3,822.45	7.9900	\$3,891.36	\$68.91	\$167.53	4.31
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$3,190.33									
\$701.03									
MFS SER TR I									
VALUE FD									
CL I									
MEIIX									
On Reinvestment									
Acquired 04/14/15 L		34.81500	35.61	1,239.75		1,261.69	21.94		
Acquired 04/15/15 L		71.10500	35.80	2,545.56		2,576.84	31.28		
Reinvestments L		5.83200	33.28	194.13		211.36	17.23		
Reinvestments S		4.28800	35.87	153.82		155.39	1.57		

ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006

DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER:

## Mutual Funds

### Open End Mutual Funds continued

								ESTIMATED	
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME	ANNUAL YIELD (%)
Total	6.30	116.04000	\$35.62	\$4,133.26	36.2400	\$4,205.28	\$72.02	\$66.83	1.59
Client Investment (Excluding Reinvestments)								\$3,785.31	
Gain/Loss on Client Investment (Including Reinvestments)								\$419.97	
MFS SER TR X EMERGING MKTS DEBT FD CLASS I MEDIX									
On Reinvestment		112.58400	14.79	1,665.11		1,617.83	-47.28		
Acquired 07/01/13 L		3.89500	14.70	57.26		55.97	-1.29		
Acquired 10/02/13 L		2.17500	14.63	31.82		31.25	-0.57		
Acquired 04/15/15 L		1.97900	14.93	29.55		28.44	-1.11		
Acquired 08/24/16 S		82.03000	15.13	1,241.11		1,178.79	-62.32		
Reinvestments L		17.66800	14.69	259.55		253.88	-5.67		
Reinvestments S		7.88900	14.50	114.44		113.36	-1.08		
Total	4.91	228.22000	\$14.89	\$3,398.84	14.3700	\$3,279.52	-\$119.32	\$159.06	4.85
Client Investment (Excluding Reinvestments)								\$3,024.85	
Gain/Loss on Client Investment (Including Reinvestments)								\$254.67	
NUVEEN MUN TRUST INTER DURATION MUN BD FD CLASS I NUVBX									
On Reinvestment		432.01600	9.01	3,892.46		3,875.18	-17.28		
Acquired 07/12/13 L		9.51700	8.97	85.37		85.37	0.00		
Acquired 10/02/13 L		8.15500	8.95	72.99		73.15	0.16		
Acquired 08/25/14 L		10.10100	9.24	93.33		90.60	-2.73		
Acquired 08/14/15 L		63.41600	9.19	582.79		568.82	-13.97		
Reinvestments L		37.39200	9.15	342.44		335.43	-7.01		
Reinvestments S		17.55000	9.36	164.30		157.42	-6.88		
Total	7.77	578.14700	\$9.05	\$5,233.68	8.9700	\$5,185.97	-\$47.71	\$157.83	3.04
Client Investment (Excluding Reinvestments)								\$4,726.94	
Gain/Loss on Client Investment (Including Reinvestments)								\$459.03	

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DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
BROOKFIELD INVT FUNDS GLOBAL LISTED REAL ESTATE FD CL Y BLRYX On Reinvestment Acquired 08/13/15 L		94.71900	13.41 13.43 13.47	1,270.63 1,272.08 578.28		1,196.30	-74.33		
Acquired 08/14/15 L		42.91600	13.49 12.46 12.46	578.94 47.15 47.17		542.03	-36.25		
Reinvestments L		3.78400	12.46 12.85	47.17 116.46		47.79	0.64		
Reinvestments S		9.06200				114.45	-2.01		
<b>Total</b>	<b>2.85</b>	<b>150.48100</b>	<b>\$13.37</b> <b>\$13.39</b>	<b>\$2,012.52</b> <b>\$2,014.65</b>	<b>12.6300</b>	<b>\$1,900.57</b>	<b>-\$111.95</b>	<b>\$74.78</b>	<b>3.93</b>
Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)									
						\$1,851.02	\$49.55		
WELLS FARGO EMERGING MARKETS EQUITY FUND CLASS INST EMGNX On Reinvestment Acquired 07/01/13 L		52.24100	20.98	1,096.01		1,030.71	-65.30		
Acquired 07/12/13 L		1.53400	21.05	32.30		30.27	-2.03		
Acquired 08/25/14 L		28.90200	23.80	687.86		570.23	-117.63		
Acquired 04/15/15 L		16.18800	22.00	356.14		319.39	-36.75		
Acquired 08/14/15 L		23.80600	19.12	455.17		469.69	14.52		
Reinvestments L		2.51500	20.97	52.75		49.62	-3.13		
Reinvestments S		3.92700	18.48	72.60		77.48	4.88		
<b>Total</b>	<b>3.81</b>	<b>129.11300</b>	<b>\$21.32</b>	<b>\$2,752.83</b>	<b>19.7300</b>	<b>\$2,547.39</b>	<b>-\$205.44</b>	<b>\$27.63</b>	<b>1.08</b>
Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)						\$2,627.48	-\$80.09		
AMERICAN EUROPACIFIC GROWTH FU CL F2 AEPFX On Reinvestment Acquired 07/14/10 L nc		23.52400	36.63	861.79		1,057.87	196.08		
Acquired 08/27/10 L nc		1.14900	36.15	41.56		51.67	10.11		
Acquired 08/23/11 L nc		17.06800	33.84	577.61		767.55	189.94		

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DESCRIPTION	ACCOUNT	% OF QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 10/18/11 L nc		9.07000	36.54	331.46		407.88	76.42		
Acquired 07/01/13 L		8.98400	42.36	380.64		404.01	23.37		
Acquired 08/14/15 L		1.57300	49.58	77.99		70.74	-7.25		
Acquired 08/24/16 S		17.76700	47.30	840.36		798.98	-41.38		
Reinvestments L m		7.01900	43.16	302.97		315.84	12.67		
Reinvestments S		1.33000	44.88	59.70		59.81	0.11		
<b>Total</b>		<b>5.89</b>	<b>87.48400</b>	<b>\$39.71</b>	<b>\$3,474.08</b>	<b>44.9700</b>	<b>\$3,934.15</b>	<b>\$460.07</b>	<b>\$60.62</b>
Client Investment (Excluding Reinvestments)									<b>1.54</b>
Gain/Loss on Client Investment (Including Reinvestments)									\$3,111.41
									\$822.74
INVESTMENT MANAGERS									
SER TR OAK RIDGE									
SMALL CP GROWTH FD CL I									
ORIX									
On Reinvestment									
Acquired 07/01/13 L		46.44000	34.99	1,624.93		1,570.14	-54.79		
Acquired 08/25/14 L		1.95100	40.43	78.86		65.96	-12.90		
Acquired 08/14/15 L		0.71800	41.43	29.75		24.28	-5.47		
Acquired 08/24/16 S		23.96000	36.51	874.79		810.09	-64.70		
Reinvestments L		10.14300	37.21	377.43		342.93	-34.50		
Reinvestments S		5.17700	34.49	178.57		175.03	-3.54		
<b>Total</b>		<b>4.47</b>	<b>88.38900</b>	<b>\$35.80</b>	<b>\$3,164.33</b>	<b>33.8100</b>	<b>\$2,988.43</b>	<b>-\$175.90</b>	<b>N/A</b>
Client Investment (Excluding Reinvestments)									<b>N/A</b>
Gain/Loss on Client Investment (Including Reinvestments)									\$2,608.33
									\$380.10
OPPENHEIMER INTL BD FD									
CLASS Y									
OIBYX									
On Reinvestment									
Acquired 07/01/13 L		222.18000	5.91	1,314.90		1,246.43	-68.47		
Acquired 07/12/13 L		7.89800	6.10	1,355.29		44.31	-2.55		
Acquired 10/02/13 L		6.85100	6.10	46.86		38.43	-2.32		
Acquired 08/25/14 L		23.70600	6.11	41.86		132.99	-9.91		
Acquired 04/15/15 L		20.50700	6.02	142.90		115.04	-5.92		
			6.13	145.32					
			5.89	120.96					
			5.94	121.81					

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DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments L		43.44500	5.85	254.58		243.73	-10.85		
			5.95	258.78					
Reinvestments S		22.22700	5.72	127.33		124.69	-2.64		
<b>Total</b>	<b>2.91</b>	<b>346,81400</b>	<b>\$5.91</b>	<b>\$2,048.28</b>	<b>5.6100</b>	<b>\$1,945.62</b>	<b>-\$102.66</b>	<b>\$86.70</b>	<b>4.46</b>
			<b>\$6.05</b>	<b>\$2,098.57</b>					
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)							\$1,712.46		
							\$233.16		
JPMORGAN TR II LARGE CAP GROWTH FUND SELECT CLASS SEEGX									
On Reinvestment		35.90000	26.25	942.36		1,140.54	198.18		
Acquired 07/01/13 L		17.42900	29.16	508.22		553.72	45.50		
Acquired 10/02/13 L		4.91400	34.55	169.78		156.12	-13.66		
Reinvestments L									
Reinvestments S		5.68800	32.15	182.91		180.70	-2.21		
<b>Total</b>	<b>3.04</b>	<b>63,93100</b>	<b>\$28.21</b>	<b>\$1,803.27</b>	<b>31.7700</b>	<b>\$2,031.08</b>	<b>\$227.81</b>	<b>N/A</b>	<b>N/A</b>
Client Investment (Excluding Reinvestments)							\$1,450.58		
Gain/Loss on Client Investment (Including Reinvestments)							\$580.50		
PRUDENTIAL JENNISON MID-CAP GROWTH FND CL Z PEGZX									
On Reinvestment		40.29600	35.87	1,445.39		1,418.01	-27.38		
Acquired 07/01/13 L		3.95100	37.30	147.37		139.03	-8.34		
Acquired 08/24/16 S		10.17300	38.20	388.70		357.99	-30.71		
Reinvestments L									
Reinvestments S		3.41800	35.84	122.52		120.28	-2.24		
<b>Total</b>	<b>3.05</b>	<b>57,83800</b>	<b>\$36.38</b>	<b>\$2,103.98</b>	<b>35.1900</b>	<b>\$2,035.31</b>	<b>-\$68.67</b>	<b>N/A</b>	<b>N/A</b>
Client Investment (Excluding Reinvestments)							\$1,592.76		
Gain/Loss on Client Investment (Including Reinvestments)							\$442.55		

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								ESTIMATED	
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME	ANNUAL YIELD (%)
T ROWE PRICE SUMMIT									
MUN FDS INC MUN INCOME									
FD									
PRINX									
On Reinvestment									
Acquired 08/24/16 S		275.79400	12.34	3,403.30		3,215.75	-187.55		
Reinvestments S		2,27700	11.98	27.28		26.55	-0.73		
Total	4.85	278.07100	\$12.34	\$3,430.58	11.6600	\$3,242.30	-\$188.28	\$108.44	3.34
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$3,403.30									
-\$161.00									
T ROWE PRICE SUMMIT									
MUN FDS INC-MUN INTER FD									
PRSMX									
On Reinvestment									
Acquired 07/01/13 L		482.21100	11.53	5,559.88		5,637.04	77.16		
Acquired 07/12/13 L		10.11900	11.50	116.37		118.29	1.92		
Acquired 10/02/13 L		6.63900	11.54	76.61		77.61	1.00		
Acquired 08/25/14 L		12.25600	11.93	146.22		143.27	-2.95		
Reinvestments L		34.82700	11.81	411.36		407.13	-4.23		
Reinvestments S		14.41800	12.09	174.36		168.55	-5.81		
Total	9.81	560.47000	\$11.57	\$6,484.80	11.6900	\$6,551.89	\$67.09	\$173.74	2.65
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$5,899.08									
\$652.81									

### T ROWE PRICE TAX FREE SHORT INTERMEDIATE FUND PRFSX

On Reinvestment									
Acquired 07/01/13 L		391.52700	5.61	2,196.46		2,169.05	-27.41		
Acquired 07/12/13 L		8.57300	5.62	48.18		47.49	-0.69		
Acquired 10/02/13 L		8.48000	5.67	47.74		46.98	-0.76		
Acquired 08/25/14 L		33.24200	5.67	188.48		184.15	-4.33		
Acquired 04/15/15 L		11.31300	5.65	63.92		62.67	-1.25		
Reinvestments L		20.17900	5.64	113.99		111.81	-2.18		
Reinvestments S		7.34100	5.65	41.48		40.67	-0.81		

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DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
<b>Total</b>	<b>3.99</b>	<b>480.65500</b>	<b>\$5.62</b>	<b>\$2,700.25</b>	<b>5.5400</b>	<b>\$2,662.82</b>	<b>-\$37.43</b>	<b>\$35.76</b>	<b>1.34</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
T ROWE PRICE BLUE CHIP GROWTH FUND TRBCX									
On Reinvestment		32.88700	31.96	1,051.04		2,387.92	1,336.88		
Acquired 07/14/10 L nc		2.93500	36.35	106.70		213.11	106.41		
Acquired 09/23/11 L nc		9.29500	38.92	361.78		674.91	313.13		
Acquired 10/18/11 L nc		4.60000	51.69	237.77		334.01	96.24		
Acquired 07/01/13 L		1.40100	72.31	101.29		101.73	0.44		
Acquired 08/24/16 S		4.87000	66.38	323.28		353.60	30.32		
Reinvestments L m		0.37200	73.73	27.43		27.01	-0.42		
Reinvestments S									
<b>Total</b>	<b>6.13</b>	<b>56.36000</b>	<b>\$39.20</b>	<b>\$2,209.29</b>	<b>72.6100</b>	<b>\$4,092.29</b>	<b>\$1,883.00</b>	<b>N/A</b>	<b>N/A</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
<b>Total Open End Mutual Funds</b>	<b>100.00</b>			<b>\$64,704.52</b>		<b>\$66,784.40</b>	<b>\$2,079.88</b>	<b>\$1,299.07</b>	<b>1.95</b>
				<b>\$64,756.94</b>					
<b>Total Mutual Funds</b>	<b>100.00</b>			<b>\$64,704.52</b>		<b>\$66,784.40</b>	<b>\$2,079.88</b>	<b>\$1,299.07</b>	<b>1.95</b>
				<b>\$64,756.94</b>					

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS.  
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

## Activity detail

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01				BEGINNING BALANCE			0.00
12/01	Cash	DIVIDEND		MFS SER TR III MUNICIPAL HIGH INCOME FD CL I 113016 485.18600 AS OF 11/30/16		14.68	

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12/01	Cash	DIVIDEND		MFS SER TR X EMERGING MKTS DEBT FD CLASS I 113016 227.27200 AS OF 11/30/16 NUVEEN MUN TRUST INTER DURATION MUN BD FD CLASS I 113016 576.12800 AS OF 11/30/16 OPPENHEIMER INTL BD FD CLASS Y 113016 345.26900 AS OF 11/30/16 T ROWE PRICE SUMMIT MUN FDS INC MUN INCOME FD 113016 277.30400 AS OF 11/30/16 T ROWE PRICE SUMMIT MUN FDS INC-MUN INTER FD 113016 559.18300 AS OF 11/30/16 T ROWE PRICE TAX FREE SHORT INTERMEDIATE FUND 113016 480.10400 AS OF 11/30/16 MFS SER TR III MUNICIPAL HIGH INCOME FD CL I REINVEST AT 7.960 MFS SER TR X EMERGING MKTS DEBT FD CLASS I REINVEST AT 14.290 NUVEEN MUN TRUST INTER DURATION MUN BD FD CLASS I REINVEST AT 8.930 OPPENHEIMER INTL BD FD CLASS Y			
12/01	Cash	DIVIDEND				12.94	
12/01	Cash	DIVIDEND				8.65	
12/01	Cash	DIVIDEND				8.70	
12/01	Cash	DIVIDEND				14.49	
12/01	Cash	DIVIDEND				3.04	
12/01	Cash	REINVEST DIV	1.84400			-14.68	
12/01	Cash	REINVEST DIV	0.94800			-13.55	
12/01	Cash	REINVEST DIV	1.44900			-12.94	
12/01	Cash	REINVEST DIV	1.54500			-8.65	



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12/01	Cash	REINVEST DIV	0.75100	REINVEST AT 5.600 T ROWE PRICE SUMMIT MUN FDS INC MUN INCOME FD		-8.70	
12/01	Cash	REINVEST DIV	1.24900	REINVEST AT 11.580 T ROWE PRICE SUMMIT MUN FDS INC-MUN INTER FD		-14.49	
12/01	Cash	REINVEST DIV	0.55100	REINVEST AT 11.600 T ROWE PRICE TAX FREE SHORT INTERMEDIATE FUND		-3.04	
12/07	Cash	LT CAP GAIN		REINVEST AT 5.520 NUVEEN MUN TRUST INTER DURATION MUN BD FD CLASS I		3.06	0.00
12/07	Cash	SHRT TRM GAIN		120616 577.57700 AS OF 12/06/16 NUVEEN MUN TRUST INTER DURATION MUN BD FD CLASS I		1.73	
12/07	Cash	DIVIDEND		120616 577.23400 AS OF 12/06/16 NUVEEN MUN TRUST INTER DURATION MUN BD FD CLASS I		0.29	
12/07	Cash	REINVESTMENT	0.34300	120616 577.04000 AS OF 12/06/16 NUVEEN MUN TRUST INTER DURATION MUN BD FD CLASS I		-3.06	
12/07	Cash	REINVESTMENT	0.19400	REINVEST AT 8.920 NUVEEN MUN TRUST INTER DURATION MUN BD FD CLASS I		-1.73	
12/07	Cash	REINVEST DIV	0.03300	REINVEST AT 8.920 NUVEEN MUN TRUST INTER DURATION MUN BD FD CLASS I		-0.29	
				REINVEST AT 8.920			0.00

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12/08	Cash	SHRT TRM GAIN		T ROWE PRICE SUMMIT MUN FDS INC MUN INCOME FD 120716 278.05500 AS OF 12/07/16		0.19	
12/08	Cash	SHRT TRM GAIN		T ROWE PRICE SUMMIT MUN FDS INC-MUN INTER FD 120716 560.43200 AS OF 12/07/16		0.45	
12/08	Cash	REINVESTMENT	0.01600	T ROWE PRICE SUMMIT MUN FDS INC MUN INCOME FD REINVEST AT 11.660		-0.19	
12/08	Cash	REINVESTMENT	0.03800	T ROWE PRICE SUMMIT MUN FDS INC-MUN INTER FD REINVEST AT 11.690		-0.45	
12/09	Cash	LT CAP GAIN		COHEN & STEERS RLTY SHARES INC 120816 18.02200 AS OF 12/08/16		84.07	0.00
12/09	Cash	SHRT TRM GAIN		COHEN & STEERS RLTY SHARES INC 120816 16.72300 AS OF 12/08/16		8.69	
12/09	Cash	DIVIDEND		COHEN & STEERS RLTY SHARES INC 120816 16.58900 AS OF 12/08/16		12.89	
12/09	Cash	REINVESTMENT	1.29900	COHEN & STEERS RLTY SHARES INC REINVEST AT 64.710		-84.07	
12/09	Cash	REINVEST DIV	0.19900	COHEN & STEERS RLTY SHARES INC REINVEST AT 64.710		-12.89	
12/09	Cash	REINVESTMENT	0.13400	COHEN & STEERS RLTY SHARES INC REINVEST AT 64.710		-8.69	
							0.00

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12/13	Cash	LT CAP GAIN		INVESTMENT MANAGERS SER TR OAK RIDGE SMALL CP GROWTH FD CL I 121216 83.21200 AS OF 12/12/16		178.57	
12/13	Cash	REINVESTMENT	5.17700	INVESTMENT MANAGERS SER TR OAK RIDGE SMALL CP GROWTH FD CL I REINVEST AT 34.490		-178.57	
12/14	Cash	LT CAP GAIN		MFS SER TR I VALUE FD CL I 121316 113.21400 AS OF 12/13/16 MFS SER TR I VALUE FD CL I 121316 111.30000 AS OF 12/13/16 PRUDENTIAL JENNISON MID-CAP GROWTH FND CL Z 121316 54.42000 AS OF 12/13/16		70.42	0.00
12/14	Cash	DIVIDEND		MFS SER TR I VALUE FD CL I 121316 111.30000 AS OF 12/13/16 PRUDENTIAL JENNISON MID-CAP GROWTH FND CL Z 121316 54.42000 AS OF 12/13/16		33.55	
12/14	Cash	REINVESTMENT	1.91400	MFS SER TR I VALUE FD CL I REINVEST AT 36.800		-70.42	
12/14	Cash	REINVEST DIV	0.91200	MFS SER TR I VALUE FD CL I REINVEST AT 36.800		-33.55	
12/14	Cash	REINVESTMENT	3.41800	PRUDENTIAL JENNISON MID-CAP GROWTH FND CL Z REINVEST AT 35.850		-122.52	
12/15	Cash	LT CAP GAIN		JPMORGAN TR II LARGE CAP GROWTH FUND SELECT CLASS 121516 58.24300 T ROWE PRICE BLUE CHIP GROWTH FUND 121516 55.98800		182.91	0.00
12/15	Cash	LT CAP GAIN				24.63	

ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER:

## Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/15	Cash	DIVIDEND		T ROWE PRICE BLUE CHIP GROWTH FUND 121516 55.65400		2.80	
12/15	Cash	REINVESTMENT	5.68800	JPMORGAN TR II LARGE CAP GROWTH FUND SELECT CLASS REINVEST AT 32.160		-182.91	
12/15	Cash	REINVESTMENT	0.33400	T ROWE PRICE BLUE CHIP GROWTH FUND REINVEST AT 73.680		-24.63	
12/15	Cash	REINVEST DIV	0.03800	T ROWE PRICE BLUE CHIP GROWTH FUND REINVEST AT 73.680		-2.80	
12/16	Cash	LT CAP GAIN		DIAMOND HILL FDS LARGE CAP FD CL I SHS 121616 203.85600		239.60	0.00
12/16	Cash	SHRT TRM GAIN		DIAMOND HILL FDS LARGE CAP FD CL I SHS 121616 193.77600		7.45	
12/16	Cash	REINVESTMENT	10.08000	DIAMOND HILL FDS LARGE CAP FD CL I SHS REINVEST AT 23.770		-239.60	
12/16	Cash	REINVESTMENT	0.31300	DIAMOND HILL FDS LARGE CAP FD CL I SHS REINVEST AT 23.770		-7.45	
12/19	Cash	DIVIDEND		JOHN HANCOCK FDS III GLOBAL SHAREHOLDER YLD CLASS I 121916 192.40000		11.93	
12/19	Cash	REINVEST DIV	1.15200	JOHN HANCOCK FDS III GLOBAL SHAREHOLDER YLD CLASS I REINVEST AT 10.380		-11.93	
12/23	Cash	LT CAP GAIN		BROOKFIELD INVT FUNDS GLOBAL LISTED REAL ESTATE FD CL Y 122216 145.92500 AS OF 12/22/16		11.95	0.00

ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006

DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER:

## Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/23	Cash	SHRT TRM GAIN		BROOKFIELD INVT FUNDS GLOBAL LISTED REAL ESTATE FD CL Y 122216 144.96300 AS OF 12/22/16		30.65	
12/23	Cash	DIVIDEND		BROOKFIELD INVT FUNDS GLOBAL LISTED REAL ESTATE FD CL Y 122216 142.49500 AS OF 12/22/16		13.99	
12/23	Cash	DIVIDEND		AMERICAN EUROPACIFIC GROWTH FU CL F2 122316 86.15400		59.70	
12/23	Cash	REINVESTMENT	2.46800	BROOKFIELD INVT FUNDS GLOBAL LISTED REAL ESTATE FD CL Y REINVEST AT 12.420		-30.65	
12/23	Cash	REINVEST DIV	1.12600	BROOKFIELD INVT FUNDS GLOBAL LISTED REAL ESTATE FD CL Y REINVEST AT 12.420		-13.99	
12/23	Cash	REINVESTMENT	0.96200	BROOKFIELD INVT FUNDS GLOBAL LISTED REAL ESTATE FD CL Y REINVEST AT 12.420		-11.95	
12/23	Cash	REINVEST DIV	1.33000	AMERICAN EUROPACIFIC GROWTH FU CL F2 REINVEST AT 44.880		-59.70	
12/30	Cash	DIVIDEND		DIAMOND HILL FDS LARGE CAP FD CL I SHS 123016 214.24900		65.60	0.00
12/30	Cash	DIVIDEND		WELLS FARGO EMERGING MARKETS EQUITY FUND CLASS INST 123016 127.72700		27.32	
12/30	Cash	REINVEST DIV	2.81900	DIAMOND HILL FDS LARGE CAP FD CL I SHS REINVEST AT 23.270		-65.60	
12/30	Cash	REINVEST DIV	1.38600	WELLS FARGO EMERGING MARKETS EQUITY FUND CLASS INST		-27.32	

ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006

DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER:

Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
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REINVEST AT 19.710

0.00

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# SNAPSHOT

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ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006

APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER:

## Cash flow summary

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	\$0.00	
Income and distributions	85.74	361.31
Securities sold and redeemed	217.29	425.99
Net additions to cash	\$303.03	\$787.30
Securities purchased	-85.74	-361.31
Other subtractions and fees	-217.29	-425.99
Net subtractions from cash	-\$303.03	-\$787.30
Closing value of cash and sweep balances	\$0.00	

## Income summary \*

	THIS PERIOD	THIS YEAR
TAXABLE		
Ordinary dividends and ST capital gains	29.56	118.36
Total taxable income	\$29.56	\$118.36
TAX-EXEMPT		
Dividends	56.18	164.13
Total federally tax-exempt income	\$56.18	\$164.13
Total income	\$85.74	\$282.49

\* Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.

## Gain/loss summary

	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term (S)	-10.39	0.00	0.00
Long term (L)	5,611.40	0.00	0.00
Total	\$5,601.01	\$0.00	\$0.00



## SNAPSHOT

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ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006

APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER:

## Your Financial Advisor

RITA MAHN  
Phone: 636-931-1900 / 800-811-6075

P O BOX 727  
FESTUS MO 63028

Please visit us at: [www.wellsfargoadvisors.com](http://www.wellsfargoadvisors.com)

## Account profile

Full account name:

ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE

U/A DTD 06/28/2006

Account type:  
Brokerage account number:

Standard Brokerage  
5316-8147

Tax status:

Taxable

Investment objective/Risk tolerance:\*

MODERATE GROWTH & INCOME

Time horizon:\*

LONG TERM (10+ YEARS)

Liquidity needs:\*

NONE

Cost Basis Election:

First in, First out

Your managed program:

FUNDSOURCE

Your manager:

MODERATE GR & INC TAX MGD OPTI

\*For more information, please visit us at: [www.wellsfargoadvisors.com/disclosures](http://www.wellsfargoadvisors.com/disclosures)

## For your consideration

Go paperless. Accessing your account documents online is easy, secure, and costs nothing. Sign on to [wellsfargoadvisors.com](http://wellsfargoadvisors.com) with your Access Online Username and Password, select **Statements & Docs**, and then click on the **Delivery Preferences Quick Link**. Choose **Electronic Delivery** to go paperless or select specific account documents for electronic delivery. If you do not have a Username and Password, visit [wellsfargoadvisors.com/signup](http://wellsfargoadvisors.com/signup) or call 1-877-879-2495 for enrollment assistance.

## Document delivery status

	Paper	Electronic
Statements:	X	
Trade confirmations:	X	
Tax documents:	X	
Shareholder communications:	X	
Other documents:	X	

ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006

APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER:

## Additional information

Gross proceeds	THIS PERIOD 217.29	THIS YEAR 425.99
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## Portfolio detail

### Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

### Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
COHEN & STEERS RLTY SHARES INC									
CSRSX									
On Reinvestment		11.27000	67.44	760.02		747.87	-12.15		
Acquired 07/01/13 L		0.10200	70.37	7.21		6.77	-0.44		
Acquired 07/15/13 L		1.43600	66.58	95.59		95.29	-0.30		
Acquired 10/02/13 L		4.54600	68.35	310.76		301.68	-9.08		
Reinvestments L		2.45100	67.52	165.50		162.64	-2.86		
Reinvestments S									
Total	1.87	19.80500	\$67.61	\$1,339.08	66.3600	\$1,314.25	-\$24.83	\$42.24	
Client Investment (Excluding Reinvestments)									
\$862.82									
Gain/Loss on Client Investment (Including Reinvestments)									
\$451.43									
CREDIT SUISSE COMMODITY RETURN STRATEGY FUND CL I									
CRSOX									
On Reinvestment		233.90900	5.83	1,363.69		1,148.49	-215.20		
Acquired 04/15/15 L		19.36100	5.18	100.29		95.06	-5.23		
Acquired 08/14/15 L		20.89000	4.91	102.57		102.57	0.00		
Acquired 08/24/16 S									
Total	1.92	274.16000	\$5.71	\$1,566.55	4.9100	\$1,346.12	-\$220.43	N/A	
N/A									

ANN M GETTINGER LIV TR  
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U/A DTD 06/28/2006

APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER:

## Mutual Funds

### Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
DIAMOND HILL FDS									
LARGE CAP FD CL I SHS									
DHLRX									
On Reinvestment									
Acquired 07/01/13 L		120.11000	19.79	2,376.96		2,990.74	613.78		
Acquired 10/02/13 L		29.31200	20.84	610.87		729.87	119.00		
Acquired 08/25/14 L		22.21700	23.13	513.87		553.20	39.33		
Reinvestments L		32.21700	21.85	704.11		802.21	98.10		
Reinvestments S		13.21200	23.66	312.65		328.97	16.32		
Total	7.70	217.06800	\$20.82	\$4,518.46	24.9000	\$5,404.99	\$866.53	\$66.42 1.23	
Client Investment (Excluding Reinvestments)									
						\$3,501.70			
Gain/Loss on Client Investment (Including Reinvestments)						\$1,903.29			
GOLDMAN SACHS TR									
FINL SQUARE TREAS INSTRS									
FD INSTL CL									
FTIXX									
On Reinvestment									
Acquired 08/25/16 S		27.21000	1.00	27.21		27.21	0.00		
Reinvestments S		0.01000	1.00	0.01		0.01	0.00		
Total	0.04	27.22000	\$1.00	\$27.22	1.0000	\$27.22	\$0.00	\$0.04 0.15	
Client Investment (Excluding Reinvestments)									
						\$27.21			
Gain/Loss on Client Investment (Including Reinvestments)						\$0.01			
JOHN HANCOCK FDS III									
GLOBAL SHAREHOLDER YLD									
CLASS I									
JGYIX									
On Reinvestment									
Acquired 07/14/10 L nc		109.55800	8.16	893.97		1,207.33	313.36		
Acquired 10/18/11 L nc		23.89600	9.25	221.04		263.34	42.30		
Acquired 08/14/15 L		3.41300	11.18	38.16		37.61	-0.55		
Reinvestments L m		52.11600	10.56	550.84		574.31	23.47		
Reinvestments S		5.69700	10.40	59.25		62.78	3.53		
Total	3.06	194.68000	\$9.06	\$1,763.26	11.0200	\$2,145.37	\$382.11	\$60.35 2.81	
Client Investment (Excluding Reinvestments)									
						\$1,153.17			
Gain/Loss on Client Investment (Including Reinvestments)						\$992.20			

ANN M GETTINGER LIV TR  
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APRIL 1, 2017 - APRIL 30, 2017  
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# Mutual Funds

## Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
LORD ABBETT SECS TR VALUE OPTYS FUND CLASS F LVOFX									
On Reinvestment		207.98600	20.85	4,336.51		4,149.32	-187.19		
Acquired 04/15/15 L		68.37800	19.73	1,349.10		1,364.14	15.04		
Acquired 08/24/16 S		15.74100	18.51	291.37		314.03	22.66		
Reinvestments L		17.24200	19.54	336.91		343.98	7.07		
Reinvestments S									
Total	8.79	309.34700	\$20.41	\$6,313.89	19.9500	\$6,171.47	-\$142.42	\$6.52	0.11
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$5,685.61									
\$485.86									
MFS SER TR III MUNICIPAL HIGH INCOME FD CL I									
MMILX									
On Reinvestment		304.92300	7.78	2,372.28		2,479.02	106.74		
Acquired 07/01/13 L		10.37000	7.72	80.06		84.31	4.25		
Acquired 07/12/13 L		19.42300	7.49	145.48		157.91	12.43		
Acquired 10/02/13 L		73.51200	8.06	592.51		597.64	5.13		
Acquired 08/14/15 L		63.98800	7.93	507.97		520.24	12.27		
Reinvestments L		22.22600	8.26	183.74		180.69	-3.05		
Reinvestments S									
Total	5.73	494.44200	\$7.85	\$3,882.04	8.1300	\$4,019.81	\$137.77	\$173.54	4.32
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$3,190.33									
\$829.48									
MFS SER TR I VALUE FD CL I									
MEILX									
On Reinvestment		34.81500	35.61	1,239.75		1,321.92	82.17		
Acquired 04/14/15 L		71.10500	35.80	2,545.56		2,699.85	154.29		
Acquired 04/15/15 L		6.28300	33.29	209.22		238.56	29.34		
Reinvestments L		4.28000	36.32	155.49		162.52	7.03		
Reinvestments S									

ANN M GETTINGER LIV TR  
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APRIL 1, 2017 - APRIL 30, 2017  
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## Mutual Funds

## Open End Mutual Funds continued

DESCRIPTION	ACCOUNT	% OF QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
<b>Total</b>	<b>6.30</b>	<b>116.48300</b>	<b>\$35.63</b>	<b>\$4,150.02</b>	<b>37.9700</b>	<b>\$4,422.85</b>	<b>\$272.83</b>	<b>\$86.54</b>	<b>1.96</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$3,786.31									
\$637.54									
MFS SER TR X EMERGING MKTS DEBT FD CLASS I MEDIX									
On Reinvestment									
Acquired 07/01/13 L		112.58400	14.79	1,665.11		1,679.75	14.64		
Acquired 07/12/13 L		3.89500	14.70	57.26		58.11	0.85		
Acquired 10/02/13 L		2.17500	14.63	31.82		32.45	0.63		
Acquired 04/15/15 L		1.97900	14.93	29.55		29.53	-0.02		
Acquired 08/24/16 S		82.03000	15.13	1,241.11		1,223.89	-17.22		
Reinvestments L		19.82200	14.60	289.41		295.74	6.33		
Reinvestments S		9.07900	14.68	133.35		135.46	2.11		
<b>Total</b>	<b>4.92</b>	<b>231.56400</b>	<b>\$14.89</b>	<b>\$3,447.61</b>	<b>14.9200</b>	<b>\$3,454.93</b>	<b>\$7.32</b>	<b>\$161.16</b>	<b>4.66</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$3,024.85									
\$430.08									
NUVEEN MUN TRUST INTER DURATION MUN BD FD CLASS I NUVBX									
On Reinvestment									
Acquired 07/01/13 L		432.01600	9.01	3,892.46		3,939.98	47.52		
Acquired 07/12/13 L		9.51700	8.97	85.37		86.80	1.43		
Acquired 10/02/13 L		8.15500	8.95	72.99		74.37	1.38		
Acquired 08/25/14 L		10.10100	9.24	93.33		92.12	-1.21		
Acquired 08/14/15 L		63.41600	9.19	582.79		578.36	-4.43		
Reinvestments L		43.08900	9.18	395.73		392.96	-2.77		
Reinvestments S		17.64500	9.25	163.23		160.93	-2.30		
<b>Total</b>	<b>7.59</b>	<b>583.93900</b>	<b>\$9.05</b>	<b>\$5,285.90</b>	<b>9.1200</b>	<b>\$5,325.52</b>	<b>\$39.62</b>	<b>\$158.24</b>	<b>2.97</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$4,726.94									
\$598.58									

## Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)

BROOKFIELD INV'T FUNDS									
GLOBAL LISTED REAL									
ESTATE FD CL Y									
BLRXX									
On Reinvestment									
Acquired 08/13/15 L	94.71900	13.40	1,269.50		1,238.92	-30.58			
		13.43	1,272.08						
Acquired 08/14/15 L	42.91600	13.46	577.78		561.34	-16.44			
		13.49	578.94						
Reinvestments L	5.31100	12.54	66.60		69.46	2.86			
		12.54	66.62						
Reinvestments S	9.05700	12.83	116.21		118.47	2.26			
		12.83	116.29						
Total	2.83	152.00300	\$13.36	\$2,030.09	13.0800	\$1,988.19	-\$41.90	\$75.39	3.79
			\$13.38	\$2,033.93					
Client Investment (Excluding Reinvestments)						\$1,851.02			
Gain/Loss on Client Investment (Including Reinvestments)						\$137.17			

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ANN M GETTINGER LIV TR  
ANN M GETTINGER TTEE  
U/A DTD 06/28/2006

APRIL 1, 2017 - APRIL 30, 2017  
ACCOUNT NUMBER:

## Mutual Funds

### Open End Mutual Funds continued

								ESTIMATED	
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 08/27/10 L nc		1.14900	36.15	41.56		58.28	16.72		
Acquired 09/23/11 L nc		17.06800	33.84	577.61		865.69	288.08		
Acquired 10/18/11 L nc		9.07000	36.54	331.46		460.03	128.57		
Acquired 07/01/13 L		8.98400	42.36	380.64		455.67	75.03		
Acquired 08/14/15 L		1.57300	49.58	77.99		79.78	1.79		
Acquired 08/24/16 S		17.76700	47.30	840.36		901.14	60.78		
Reinvestments L m		7.01900	43.16	302.97		356.00	53.03		
Reinvestments S		1.33000	44.88	59.70		67.46	7.76		
Total	6.32	87.48400	\$39.71	\$3,474.08	50.7200	\$4,437.18	\$963.10	\$60.62	1.37
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)								\$3,111.41	
								\$1,325.77	
INVESTMENT MANAGERS									
SER TR OAK RIDGE									
SMALL CP GROWTH FD CL I									
ORIYX									
On Reinvestment									
Acquired 07/01/13 L		46.44000	34.99	1,624.93		1,662.09	37.16		
Acquired 08/25/14 L		1.95100	40.43	78.86		69.83	-9.03		
Acquired 08/14/15 L		0.71800	41.43	29.75		25.70	-4.05		
Acquired 08/24/16 S		23.96000	36.51	874.79		857.52	-17.27		
Reinvestments L		10.14300	37.21	377.43		363.02	-14.41		
Reinvestments S		5.17700	34.49	178.57		185.28	6.71		
Total	4.51	88.38900	\$35.80	\$3,164.33	35.7900	\$3,163.44	-\$0.89	N/A	N/A
Client Investment (Excluding Reinvestments)								\$2,608.33	
Gain/Loss on Client Investment (Including Reinvestments)								\$555.11	
OPENHEIMER INTL BD FD									
CLASS Y									
OIBYX									
On Reinvestment									
Acquired 07/01/13 L		222.18000	5.77	1,283.22		1,288.64	5.42		
			6.10	1,355.29					
Acquired 07/12/13 L		7.89800	5.79	45.80		45.81	0.01		
			6.10	48.18					
Acquired 10/02/13 L		6.85100	5.81	39.85		39.74	-0.11		
			6.11	41.86					
Acquired 08/25/14 L		23.70600	5.88	139.59		137.49	-2.10		
			6.13	145.32					



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## Mutual Funds

### Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 04/15/16 L		20.50700	5.75	118.10		118.93	0.83		
Reinvestments L		50.25100	5.71	287.21		291.47	4.26		
Reinvestments S		21.47900	5.89	296.48		124.57	2.59		
			5.67	121.98					
			5.77	124.00					
<b>Total</b>	<b>2.92</b>	<b>352.87200</b>	<b>\$5.77</b>	<b>\$2,035.75</b>	<b>5.8000</b>	<b>\$2,046.65</b>	<b>\$10.90</b>	<b>\$100.21</b>	<b>4.90</b>
			<b>\$6.04</b>	<b>\$2,132.94</b>					
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
							\$1,712.46		
							\$334.19		
JPMORGAN TR II LARGE CAP GROWTH FUND ICL									
SEE GX									
On Reinvestment									
Acquired 07/01/13 L		35.90000	26.25	942.36		1,310.35	367.99		
Acquired 10/02/13 L		17.42900	29.16	508.22		636.16	127.94		
Reinvestments L		4.91400	34.55	169.78		179.36	9.58		
Reinvestments S		5.68800	32.15	182.91		207.61	24.70		
<b>Total</b>	<b>3.32</b>	<b>63.93100</b>	<b>\$28.21</b>	<b>\$1,803.27</b>	<b>36.5000</b>	<b>\$2,333.48</b>	<b>\$530.21</b>	<b>N/A</b>	<b>N/A</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
							\$1,450.58		
							\$882.90		
PRUDENTIAL JENNISON MID-CAP GROWTH FND CL Z PEGZX									
On Reinvestment									
Acquired 07/01/13 L		40.29600	35.87	1,445.39		1,562.27	116.88		
Acquired 08/24/16 S		3.95100	37.30	147.37		153.18	5.81		
Reinvestments L		10.17300	38.20	388.70		394.40	5.70		
Reinvestments S		3.41800	35.84	122.52		132.52	10.00		
<b>Total</b>	<b>3.19</b>	<b>57.83800</b>	<b>\$36.38</b>	<b>\$2,103.98</b>	<b>38.7700</b>	<b>\$2,242.37</b>	<b>\$138.39</b>	<b>N/A</b>	<b>N/A</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
							\$1,592.76		
							\$649.61		

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## Mutual Funds

### Open End Mutual Funds continued

ESTIMATED									
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME	ANNUAL YIELD (%)
T ROWE PRICE SUMMIT									
MUN FDS INC MUN INCOME									
FD									
PRINX									
On Reinvestment									
Acquired 08/24/16 S		275.79400	12.34	3,403.30		3,243.34	-159.96		
Reinvestments S		5.39400	11.81	63.72		63.43	-0.29		
Total	4.71	281.18800	\$12.33	\$3,467.02	11.7600	\$3,306.77	-\$160.25	\$109.10	3.30
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
-\$96.53									
T ROWE PRICE SUMMIT									
MUN FDS INC-MUN INTER FD									
PRSMX									
On Reinvestment									
Acquired 07/01/13 L		482.21100	11.53	5,559.88		5,699.73	139.85		
Acquired 07/12/13 L		10.11900	11.50	116.37		119.61	3.24		
Acquired 10/02/13 L		6.63900	11.54	76.61		78.47	1.86		
Acquired 08/25/14 L		12.25600	11.93	146.22		144.87	-1.35		
Reinvestments L		39.64000	11.84	469.47		468.53	-0.94		
Reinvestments S		14.60700	11.97	174.92		172.66	-2.26		
Total	9.52	565.47200	\$11.57	\$6,543.47	11.8200	\$6,683.87	\$140.40	\$175.29	2.62
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$5,899.08									
\$784.79									
T ROWE PRICE TAX FREE									
SHORT INTERMEDIATE FUND									
PRFSX									
On Reinvestment									
Acquired 07/01/13 L		391.52700	5.61	2,196.46		2,192.55	-3.91		
Acquired 07/12/13 L		8.57300	5.62	48.18		48.01	-0.17		
Acquired 10/02/13 L		8.48000	5.63	47.74		47.49	-0.25		
Acquired 08/25/14 L		33.24200	5.67	188.48		186.15	-2.33		
Acquired 04/15/15 L		11.31300	5.65	63.92		63.35	-0.57		
Reinvestments L		22.75800	5.64	128.57		127.44	-1.13		
Reinvestments S		7.06900	5.62	39.74		39.59	-0.15		

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## Mutual Funds

### Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
<b>Total</b>	<b>3.85</b>	<b>482,962.00</b>	<b>\$5.62</b>	<b>\$2,713.09</b>	<b>5.6000</b>	<b>\$2,704.58</b>	<b>-\$8.51</b>	<b>\$36.65</b>	<b>1.36</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
T ROWE PRICE BLUE CHIP GROWTH FUND									
TRBCX									
On Reinvestment									
Acquired 07/14/10 L nc		32,887.00	31.96	1,051.04		2,735.21	1,684.17		
Acquired 09/23/11 L nc		2,935.00	36.35	106.70		244.10	137.40		
Acquired 10/18/11 L nc		9,295.00	38.92	361.78		773.07	411.29		
Acquired 07/01/13 L		4,600.00	51.69	237.77		382.58	144.81		
Acquired 08/24/16 S		1,401.00	72.31	101.29		116.52	15.23		
Reinvestments L m		4,870.00	66.38	323.28		405.04	81.76		
Reinvestments S		0.37200	73.73	27.43		30.94	3.51		
<b>Total</b>	<b>6.68</b>	<b>56,360.00</b>	<b>\$39.20</b>	<b>\$2,209.29</b>	<b>83.1700</b>	<b>\$4,687.46</b>	<b>\$2,478.17</b>	<b>\$2.81</b>	<b>0.06</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
<b>Total Open End Mutual Funds</b>	<b>100.00</b>			<b>\$64,591.23</b>		<b>\$70,192.24</b>	<b>\$5,601.01</b>	<b>\$1,342.75</b>	<b>1.91</b>
				<b>\$64,692.26</b>					
<b>Total Mutual Funds</b>	<b>100.00</b>			<b>\$64,591.23</b>		<b>\$70,192.24</b>	<b>\$5,601.01</b>	<b>\$1,342.75</b>	<b>1.91</b>
				<b>\$64,692.26</b>					

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS.  
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

## Activity detail

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
04/01				BEGINNING BALANCE			0.00
04/03	Cash	DIVIDEND		COHEN & STEERS RLT SHARES INC 033117 AS OF 3/31/17	19.65400	10.00	

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## Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
04/03	Cash	DIVIDEND		MFS SER TR III MUNICIPAL HIGH INCOME FD CL I 033117 492.56200 AS OF 3/31/17		15.19	
04/03	Cash	DIVIDEND		MFS SER TR X EMERGING MKTS DEBT FD CLASS I 033117 230.74100 AS OF 3/31/17		12.13	
04/03	Cash	DIVIDEND		NUVEEN MUN TRUST INTER DURATION MUN BD FD CLASS I 033117 582.49600 AS OF 3/31/17		13.06	
04/03	Cash	DIVIDEND		OPENHEIMER INTL BD FD CLASS Y 033117 351.58000 AS OF 3/31/17		7.43	
04/03	Cash	DIVIDEND		T ROWE PRICE SUMMIT MUN FDS INC MUN INCOME FD 033117 280.37600 AS OF 3/31/17		9.51	
04/03	Cash	DIVIDEND		T ROWE PRICE SUMMIT MUN FDS INC-MUN INTER FD 033117 564.18400 AS OF 3/31/17		15.15	
04/03	Cash	DIVIDEND		T ROWE PRICE TAX FREE SHORT INTERMEDIATE FUND 033117 482.37600 AS OF 3/31/17		3.27	
04/03	Cash	REINVEST DIV	0.15100	COHEN & STEERS RLTY SHARES INC REINVEST AT 66.090		-10.00	
04/03	Cash	REINVEST DIV	1.88000	MFS SER TR III MUNICIPAL HIGH INCOME FD CL I REINVEST AT 8.080		-15.19	
04/03	Cash	REINVEST DIV	0.82300	MFS SER TR X EMERGING MKTS DEBT FD CLASS I		-12.13	

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## Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
04/03	Cash	REINVEST DIV	1.44300	REINVEST AT 14.740 NUVEEN MUN TRUST INTER DURATION MUN BD FD CLASS I		-13.06	
04/03	Cash	REINVEST DIV	1.29200	REINVEST AT 9.050 OPENHEIMER INTL BD FD CLASS Y		-7.43	
04/03	Cash	REINVEST DIV	0.81200	REINVEST AT 5.750 T ROWE PRICE SUMMIT MUN FDS INC MUN INCOME FD		-9.51	
04/03	Cash	REINVEST DIV	1.28800	REINVEST AT 11.710 T ROWE PRICE SUMMIT MUN FDS INC-MUN INTER FD REINVEST AT 11.760		-15.15	
04/03	Cash	REINVEST DIV	0.58600	T ROWE PRICE TAX FREE SHORT INTERMEDIATE FUND REINVEST AT 5.580		-3.27	
04/13	Cash	SALE	-217.29000	GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS FD INSTL CL WE ACTED AS AGENT FOR YOUR ACCOUNT FUNDSOURCE FEE QUARTERLY FEE	1.0000	217.29	0.00
04/13	Cash	ADVISORY FEE				-217.29	0.00

## Realized gain/loss

### Realized Gain/Loss Summary

	THIS PERIOD GAIN	THIS PERIOD LOSS	THIS PERIOD NET	THIS YEAR GAIN	THIS YEAR LOSS	THIS YEAR NET
Short term	0.00	0.00	0.00	0.00	0.00	0.00
Long term	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total Realized Gain/Loss</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

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## Realized Gain/Loss Detail

## Short term

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS FD INSTL CL CUSIP 38142B500	139.75000	1.0000	08/24/16	04/13/17	139.75	139.75	0.00
	77.54000	1.0000	08/25/16	04/13/17	77.54	77.54	0.00
<b>Total Short term</b>					<b>\$217.29</b>	<b>\$217.29</b>	<b>\$0.00</b>

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