



Filing ID #10007482

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Scott R. Tipton  
**Status:** Member  
**State/District:** CO03

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2014  
**Filing Date:** 05/15/2015

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
110 Whistlepig Way Site 87		\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>
LOCATION: Ophir/ San Miguel, CO, US					
701 3rd Street		\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Cortez/ Montezuma, CO, US					
702 Arbor Street		\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Cortez/ Montezuma, CO, US					
Alliant Energy Corporation (LNT)	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Bank of America Corporation (BAC)	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
CenturyLink, Inc. (CTL)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Hidden Valley CRM 1 sec NE 1/4 Sec Rd 26		\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
LOCATION: Cortez/ Montezuma, CO, US					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Intel Corporation (INTC)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
John Hancock Premium Dividend Fund (PDT)	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
New York Life		\$100,001 - \$250,000	None		<input type="checkbox"/>
Pelty Building Highway 160 East		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: Cortez/ Montezuma, CO, US					
Pepsico, Inc. (PEP)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Royal Dutch Shell PLC Ordinary Shares A (RYDAF)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Alliant Energy Corporation (LNT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Ameritrade C.E.A.		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Ashland Inc. (ASH)		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ AT&T Inc. (T)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ AT&T Inc. (T)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Bank of America Corporation Depositary Share representing 1/25th Preferred Series U (BCXQL)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Broomfield Revenue Bond		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ CenturyLink, Inc. (CTL)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Scott R. Tipton Trust ⇒ CenturyLink, Inc. (CTL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Denver Airport Revenue Bond		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Exxon Mobil Corporation (XOM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Hewlett-Packard Company (HPQ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ JP Morgan Chase & Co. (JPM)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Las Cruces Revenue Bond		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Marathon Oil Corporation (MRO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Merck & Company, Inc. Common Stock (MRK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Morgan Stanley Capital Trust VII Cap Secs (MSZ)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ National Semiconductor Corporation		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: This stock is not publicly traded					
Scott R. Tipton Trust ⇒ PacWest Bancorp (PACW)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ ProMedCo Management Company (PMCOQ)		\$1,001 - \$15,000	None		<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Questar Corporation (STR)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Questar Corporation (STR)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Ridges Metro District		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Data Entry Error- Previously checked dividend. Should have been interest.					
Scott R. Tipton Trust ⇒ RMA Money Market Fund		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Royal Bank of Scotland Group PLC Ordinary Shares (RBSPF)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Summit County Revenue Bond		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ The Travelers Companies, Inc. (TRV)		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Tim Hortons Inc. Common Shares (THI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ UBS AG Trust Preferred Securities (UBS\$D)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ UBS RMA Tax Free Fund Inc		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Zions Bancorporation (ZION)		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott R. Tipton Trust ⇒ Zweig Total Return Fund, Inc. (ZTR)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Scott Tipton Exempt ⇒ Ameritrade C.E.A.		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott Tipton Exempt ⇒ Ashland Inc. (ASH)		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott Tipton Exempt ⇒ AT&T Inc. (T)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Scott Tipton Exempt ⇒ Bank of America Corporation (BAC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott Tipton Exempt ⇒ Broomfield Bond Co		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Scott Tipton Exempt ⇒ Denver Co Revenue Bond		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Scott Tipton Exempt ⇒ Exxon Mobil Corporation (XOM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Scott Tipton Exempt ⇒ Exxon Mobil Corporation (XOM)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Scott Tipton Exempt ⇒ GlaxoSmithKline PLC (GSK)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Scott Tipton Exempt ⇒ Helios Advantage Income Fund, Inc. New Common Stock. (HAV)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Scott Tipton Exempt ⇒ Kinder Morgan Energy Partners, L.P. (KMP)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Scott Tipton Exempt ⇒ Occidental Petroleum Corporation (OXY)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Scott Tipton Exempt ⇒ PowerShares QQQ Trust, Series 1 (QQQ)		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott Tipton Exempt ⇒ RMA Money Market Fund		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott Tipton Exempt ⇒ The Travelers Companies, Inc. (TRV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott Tipton Exempt ⇒ UBS AG (UBS)		\$50,001 - \$100,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott Tipton Exempt ⇒ UBS AG Trust Preferred Securities (UBS\$D)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Scott Tipton Exempt ⇒ United Parcel Service, Inc. (UPS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott Tipton Exempt ⇒ Vectra Bank CCA		\$100,001 - \$250,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Scott Tipton Exempt ⇒ Zweig Total Return Fund, Inc. (ZTR)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
State of Colorado Vanguard Index Fund		\$1,001 - \$15,000	None		<input type="checkbox"/>
Tipton Properties ⇒ 27601 Highway 160		\$500,001 - \$1,000,000	None		<input checked="" type="checkbox"/>
LOCATION: Cortez/ Montezuma, CO, US DESCRIPTION: Sale of Building.					
UBS AG (UBS)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Walt Disney Company (DIS)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Zions Bancorporation (ZION)	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
110 Whistlepig Way Site 87		11/3/2014	P	\$250,001 - \$500,000	
LOCATION: Ophir/ San Miguel, CO, US					
Tipton Properties ⇒ 27601 Highway 160		11/3/2014	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Cortez/ Montezuma, CO, US DESCRIPTION: Sale of Building.					

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Scott R. Tipton Trust (100% Interest)
- Scott Tipton Exempt (100% Interest)
- Tipton Properties (50% Interest)  
LOCATION: Cortez/ Montezuma, CO, US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Scott R. Tipton , 05/15/2015