

# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Hon. Doris O. Matsui

Status: Member State/District: CA06

## FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2015

**Filing Date:** 05/13/2016

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America Checking		\$1 - \$1,000	Interest	\$1 - \$200	
Cash Management Account - Merrill Lynch		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Congressional Federal Credit Union		\$50,001 - \$100,000	Interest	\$1 - \$200	
Deutsche Bank Ins Deposit Program		\$250,001 - \$500,000	Interest	\$1 - \$200	
Oppenheimer Developing Markets		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<u>~</u>
Pimco Commodity Real Return Strategy Fund		\$15,001 - \$50,000	Dividends	\$1 - \$200	
Sun Life Co. of Canada		\$1,001 - \$15,000	Interest	\$1 - \$200	
SunTrust Bank Checking		\$1,001 - \$15,000	None		
Vanguard European Stock Index		\$50,001 -	Dividends	\$2,501 -	<u>~</u>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$100,000		\$5,000	
Vanguard Inflation Protected Securities Fund		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	
Vanguard Intermediate Term Tax Exempt Fund Investor Class		\$100,001 - \$250,000	Capital Gains, Interest	\$2,501 - \$5,000	<u>~</u>
Vanguard Large-Cap Index		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<u>~</u>
Vanguard Limited-Term Municipal Bond Fund		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	
Vanguard Mid-Cap Index		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Vanguard Pacific Stock Index		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<b>✓</b>
Vanguard Reit Index		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
Vanguard Small-Cap Index		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<b>✓</b>
WestMed Holding Company, Englewood, CA		\$1,001 - \$15,000	None		
Description: Stock in privately held medical technology devel	opment co	mpany			
White House Federal Credit Union		\$50,001 - \$100,000	Interest	\$1 - \$200	

# SCHEDULE B: TRANSACTIONS

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Credit Suisse Commodity Return Strategy Fund	09/30/2015	S	\$15,001 - \$50,000	
Credit Suisse Commodity Return Strategy Fund	04/24/2015	P	\$15,001 - \$50,000	
Oppenheimer Developing Markets Fund	09/30/2015	P	\$15,001 - \$50,000	

Asset	Owner Da		Tx. Type	Amount	Cap. Gains > \$200?
Pimco Commodity Real Return Strategy Fund	09/	/30/2015	P	\$15,001 - \$50,000	
Pimco Commodity Real Return Strategy Fund	04/	<sup>'</sup> 24/2015	S	\$15,001 - \$50,000	
Vanguard Emerging Markets Stock Index	09/	/30/2015	S	\$15,001 - \$50,000	
Vanguard European Stock Index	09/	30/2015	P	\$1,001 - \$15,000	
Vanguard Intermediate Term Tax Exempt	09/		S (partial)	\$15,001 - \$50,000	<b>▽</b>
Vanguard Large Cap Index	09/	30/2015	P	\$1,001 - \$15,000	
Vanguard Large Cap Index	04/		S (partial)	\$1,001 - \$15,000	<u></u>
Vanguard Limited Term Tax Exempt	04/	24/2015	P	\$1,001 - \$15,000	
Vanguard Pacific Stock Index	09/	30/2015	P	\$1,001 - \$15,000	
Vanguard Small Cap Index	09/	30/2015	P	\$1,001 - \$15,000	

# SCHEDULE C: EARNED INCOME

None disclosed.

# SCHEDULE D: LIABILITIES

None disclosed.

## **S**CHEDULE **E**: **P**OSITIONS

Position	Name of Organization
Board Member (not compensated)	National Symphony Orchestra
Board Member (not compensated)	Smithsonian National Museum of American History
Regent Emerita (not compensated)	Smithsonian Institution, Board of Regents

# SCHEDULE F: AGREEMENTS

None disclosed.

#### SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

#### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ○ No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

© Yes © No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ○ No

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Doris O. Matsui, 05/13/2016