



Filing ID #10020921

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Jimmy Panetta  
**Status:** Member  
**State/District:** CA20

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2017  
**Filing Date:** 05/14/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Alameda County Employees' Retirement Association Pension [PE]		Undetermined	None	<input type="checkbox"/>
Alameda County Employees' Retirement Association Pension [PE]	SP	Undetermined	None	<input type="checkbox"/>
American Funds CollegeAmerica 529 Savings Plan ⇒ Capital Income Builder [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
American Funds CollegeAmerica 529 Savings Plan ⇒ Capital World Bond Fund [MF]	DC	\$1 - \$1,000	Tax-Deferred	<input type="checkbox"/>
American Funds CollegeAmerica 529 Savings Plan ⇒ Capital World Growth & Income [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
American Funds CollegeAmerica 529 Savings Plan ⇒ EuroPacific Growth Fund [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
American Funds CollegeAmerica 529 Savings Plan ⇒ Fundamental Investors [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
American Funds CollegeAmerica 529 Savings Plan ⇒	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Growth Fund of America [MF]				
American Funds CollegeAmerica 529 Savings Plan ⇒ Income Fund of America [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
American Funds CollegeAmerica 529 Savings Plan ⇒ Investment Company of America [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
American Funds CollegeAmerica 529 Savings Plan ⇒ New World Fund [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
American Funds CollegeAmerica 529 Savings Plan ⇒ SMALLCAP World Fund [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
American Funds CollegeAmerica 529 Savings Plan ⇒ The Bond Fund of America [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Bank of America [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200 <input type="checkbox"/>
CalPERS pension [PE]		Undetermined	None	<input type="checkbox"/>
CalPERS Pension [PE]	SP	Undetermined	None	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Capital Income Builder [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Capital World Bond Fund [MF]	DC	\$1 - \$1,000	Tax-Deferred	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Capital World Growth & Income [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ EuroPacific Growth Fund [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Fundamental Investors [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Growth Fund of America [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Income Fund of America [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Citigroup Global Markets, Inc. 529 Plan ⇒ Investment Company of America [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ New World Fund [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ SMALLCAP World Fund [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ The Bond Fund of America [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Janus IRA ⇒ Janus Global Life Sciences Fund D Shares [MF]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Janus IRA ⇒ Janus Global Select Fund D Shares [MF]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Janus IRA ⇒ Janus Research Fund D Shares [MF]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE B: TRANSACTIONS

None disclosed.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount
State of California	Spouse Salary	N/A
Monterey County Superior Court	Spouse Salary	N/A

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Nelnet	June 1996	student loan	\$15,001 - \$50,000
JT	Citibank	December 2013	Mortgage Personal Residence	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Veterans Transition Center Advisory Council
COMMENTS: Uncompensated	
Honorary Board Member	James Martin Center for Nonproliferation Studies
COMMENTS: Uncompensated	
Advisory Council Member	Carmel River Watershed Conservancy
COMMENTS: Uncompensated	

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 1997	Myself and County of Alameda, CA	Participation in pension
March 2010	Myself and County of Monterey, CA	Participation in pension

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
American Enterprise Institute	03/10/2017	03/12/2017	Washington, DC - Sea Island, GA - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
American Israel Education Foundation	08/1/2017	08/9/2017	San Francisco, CA - Jerusalem, Israel - Tiberias, Israel - Tel Aviv, Israel - San Francisco, CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

o American Funds CollegeAmerica 529 Savings Plan (Owner: DC)
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LOCATION: CA

- Citigroup Global Markets, Inc. 529 Plan (Owner: DC)

LOCATION: CA

- Janus IRA (Owner: SP)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Jimmy Panetta , 05/14/2018