

FORM B  LEGISLATIVE RESOURCE CENTER  2014 MAY 23 PM 2: 46  Daytime Telephone:  York  Check if Amendment  Amendment  Check if Amendment  Amendment  Check if Amendment
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## PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

ATTACH THE CORRESPONDING S THIS FORM INCLUDES ONLY THE SCHEDULI	<b>D</b> . Did you, your spouse, or your dependent child have any reportable Yes No $X$ No $X$	C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	A. Did you, your spouse, or your dependent child:     a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or     b. Make more than \$200 in unearmed income from any reportable asset during the reporting period?
ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES" THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE	J. Did you receive compensation of more than \$5,000 from a single Yes No X	F. Do you have any reportable agreements or arrangements with $$\operatorname{Yes}$$ $X$ No ${\operatorname{Im}}$ an outside entity?	<b>E.</b> Did you hold any reportable positions during the reporting period $$Yes$$ No $X$ or in the current calendar year up through the date of filing?

# EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

No X	Yes	EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.
No X	Yes	TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

### SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Aaron Woolf Page 2 of 26

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		DI TOCHED			ABC Hedge Fund	Simon & Schuster	Mega Corp Stock		For a detailed discussion of Schedule requirements, please refer to the instruction booklet	If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent chall (CD), or jointly held with anyone (JT), in the optional column on the far left.	If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EJF" box.	nterest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.	Exclude: Your personal residence, including second nomes and vacation homes (unless there was rental moome during the reporting period); and any financial	For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.	For rental and other real property held for investment provide a complete address or description, e.g. rental property," and a city and state.	all interest bearing accounts, if the total is over a \$5,000, list every financial institution where there is more than \$1,000 in interest bearing accounts.	cash accounts total the amo	For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in	Provide complete names of stocks and mutual funds (do not use only ticker symbols).	identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period and (b) any other reportable asset or source of income which generated more than \$200 in unearned income during the year.	Assets and/or income Sources	BLOCK A
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8	ξ	JP Morgan Chase, Brokerage Cash	JP Morgan Chase, Checking	SK & CS Capital Partners - Investment Fund, New York, NY (fully owned by Carolyn Sicher and her trusts)	SK & CS Capital Partners - 11.7852% Interest in Partnership (details below)	475 17th Street, Real Estate, Denver, CO	¥ES.	WALT DISNEY COMPANY, COMMON STOCK	VERIZON COMMUNICATIONS INC, COMMON STOCK	TYCO INTERNATIONAL LTD (NEW), COMMON STOCK	Ζď	콯	層	SANOFI CONTINGENT VALUE RIGHTS, RIGHTS	PRO	PHILIP MORRIS INTL INC, COMMON STOCK	Ř	MONDELEZ INTERNATIONAL INC, COMMON STOCK	≨	콩	NTE	N.	NG	IAC INTERACTIVE CORP, COMMON STOCK	HSN INC, COMMON STOCK	GRIFFIN LAND & NURSERIES, INC, COMMON STOCK	S	FEDERAL NATL MTG ASSN, COMMON STOCK	EXTERRAN HOLDINGS INC, COMMON STOCK	×	Š	DEVON ENERGY CORP, COMMON STOCK	8	CITIGROUP INC, COMMON STOCK	유	Emphasis:	,		Ě
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WA ST 5% 07/01/17	STATE OF UTAH 5% 07/01/15	STATE OF MISSOURI HIGHWA 5% 05/01/17	STATE OF MINNESOTA 5% 11/01/16	STATE OF MARYLAND 5% 03/15/19	SAN ANTONIO TX WTR REVEN 5% 05/15/17	REGL TRANSPRIN DIST CO 5% 06/01/17	PHILADELPHIA AUTH FOR INDUSTRIAL DEV	ONEIDA CNTY NY PUBLIC IM 4% 04/01/16	NY ST LOC GOVT ASSISTANC 5% 04/01/17	NY ST ENVIORMENTAL FACSC 5% 08/15/16	NY ST DORM AUTH REVS NON 5% 10/01/16	NY CITY TRANSITIONAL FIN 5% 11/01/14	NY CITY TRANSITIONAL FIN 5% 11/01/14	NASSAU COUNTY INDUSTRIAL DEVELOPMENT	NASSAU CNTY NY INTERIM 5% 11/15/17	MUNI ENERGY AGY OF NE 4% 04/01/16	MONROE INDUSTRIAL DEVELOPMENT AGENCY	LOS ANGELES CA DEPT OF 5% 07/01/18	PM US GOVT INSTL SWEEP FD #3915	IPM TAX AWARE R/R FO - INSTL	ILLINOIS DEVELOPMENT FINANCE AUTH IN	IA ST FIN AUTH REVENUE 5% 08/01/15	DENVER CITY & CNTY CO SC 4% 12/01/17	DALLAS FORT WORTH TX 1.934% 11/01/14	DALLAS CNTY SCHS TX 4% 06/01/15	COUNTY OF WESTCHESTER NE 5% 11/01/14	COLLIER CNTY FL SPL OBU 5% 10/01/16	CO ST BRD OF GOVERNORS 4% 03/01/17	CO SPRINGS COLO UTILS RE 5% 11/15/17	CITY OF NEW YORK N Y MUN 5% 06/15/17	CENTRL UT WTR CONSERVANC 5% 04/01/17	AUSTIN TEXAS INDEPEND 5.25% 08/01/16	ATLANTA GA ARPT REV 4% 01/01/16	e Partne	1000 Third Avenue, New York, NY					AND "L
21/17	H 5% 07	OURI H	NESOTA	YLAND	TX WTR	TN DIST	AUTH F	NY PUBI	T ASSIS	MENTAL	UTH RE	STIONA	TIONA	TY INDU	NY INTE	AGY OF	JSTRIAL	A DEPT	NSTL SV	RE R/R F	OPMEN	REVEN	CNTY	NORTH	CHS TX	ESTCHE	FL SPL O	GOVERN	JLO UTII	ORK N	R CONS	NDEPE	RPT REV	ers - Mu	nue, Ne					JNEARN
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SCHEDULE A -- ASSETS AND "UNEARNED" INCOME

Name Aaron Woolf Page 22\_26

#### SCHEDULE C - EARNED INCOME

Name: Aaron Woolf Page 23 of 26

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act. List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding \$1,000. See examples below.

2014. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.  Amount	ssional services involving a fiduc	iary relationship) are totally prohibit  Am.	ohibited for Members and senior staff.  Amount
Source (include date of receipt for honoraria)	Туре	Current Year to Filing	Preceding Year
ABC Trade Association, Baltimore, MD (July 15)	Honorarium	\$0	\$50Q
Examples: Salid or Maryland	Special	920,000	\$1000
Onlario County Board of Education	Spouse Salary	N/A	N/A
Thirteen/WNET, New York, NY	Residuals	\$0	\$518.09
Mosaic Films, Inc., Portland, OR	Salary	\$2,401	\$7,625
BMI, New York, NY	Royalty Income	\$420	\$1,256
Who Is She? Music, Inc., New York, NY	Royalty Income	\$784	\$2,678
Corporation for Supportive Housing, New York, NY	Spouse Salary	n/a	n/a
Mount Sinai Hospital, New York, NY	Spouse Salary	n/a	n/a
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#### SCHEDULE D - LIABILITIES

Name: Aaron Woolf Page 24 of 26

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. New Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. "Column K is for liabilities held solely by your spouse or dependent child.

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		1e	First Bank of Wiknington, DE	Creditor						
			5/98	Date Liability Incurred MO/YR						
			Mortgage on Rental Property, Dover, DE	Type of Liability						
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#### SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report

positions held in the reporting period and the current calenda	positions held in the reporting period and the current calendar year. First-year candidates and new employees report positions held in the current calendar year and two previous years.
Position	Name of Organization
None	

#### SCHEDULE F - AGREEMENTS

Name: Aaron Woolf Page 25 of 26

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
3/1998	3/1998 Who Is She? Music Inc.	50% net proceeds of song income
7/1999	ВМІ	Licensing agreement for musical compositions
3/2003	3/2003 Thirteen/WNET	Residual payments on "Wide Angle 2:Dying to Leave"

## SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

	Source (Name and City/State)	Brief Description of Duties
Example:	Doe Jones & Smith, Hometown, Homestate	Accounting Services
	None	

FILER NOTES (Optional)

me: Aaron Woolf

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