

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 10
For use by Members, officers, and employees

Nicola S. Tsongas

(Full Name)

(Daytime Telephone)

2013 JUN 18 PM 4:08
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

Filer Status

☒ Member of the U.S. House of Representatives

State: MA
District: 03

☐ Officer Or Employee

Employing Office:

Report Type

☐ Annual (May 15)

☒ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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DELIVERED**

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nicola S. Tsongas

Page 2 of 10

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>	<p>* This column is for assets held solely by your spouse or dependent child.</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
26 Auburn St Charlestown MA	\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	
455 Shore Roda Chatham MA	\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	
AGR DIVERSIFIED ARBITRAGE FUND	\$1,001 - \$15,000	DIVIDENDS//CAP ITAL GAINS	\$1 - \$200	P
ASTON MOTAG & CATWELL GROWTH FUND	\$50,001 - \$100,000	DIVIDENDS/CAP TAL GAINS	\$2,501 - \$5,000	
AUBRUN MASS GO MUN PURP LN BDS	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	P
BOFA TAX EXEMPT RESERVES	\$15,001 - \$50,000	INTEREST	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nicola S. Tsongas				Page 3 of 10
COLUMBIA ACORN FUND	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	P
COLUMBIA ACCORN INTERNATIONAL FUND	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
COLUMBIA BOND FUND	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	P
COLUMBIA DIVIDEND INCOME FUND	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	P
COLUMBIA HIGH YIELD MUNICIPAL FUND	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	P
COLUMBIA INCOME OPPORTUNITIES	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
COLUMBIA LARGE CAP CORE FUND	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
COLUMBIA LARGE CAP GROWTH FUND	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
COLUMBIA MA INTER MUNI BD FUND	\$100,001 - \$250,000	INTEREST/CAPITAL GAINS	\$2,501 - \$5,000	P
COLUMBIA MID CAP GROWTH FUND	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	
COLUMBIA MULTI ADVISOR INTL EQUITY FUND	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
COLUMBIA REAL ESTATE EQUITY FUND	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	P
COLUMBIA SMALL CAP CORE FUND	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	P
COLUMBIA US GOVT MTG FUND	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nicola S. Tsongas

Page 4 of 10

	ENRON	None	LITIGATION	\$201 - \$1,000	
	ENTERPRISE BANK & TRUST	None	DIVIDENDS	\$201 - \$1,000	S
	FALMOUTH MASS GO MUN PURP LN BDS	\$50,001 - \$100,000	INTEREST	\$2,501 - \$5,000	
	FOXBOROUGH MASS GO SCH BDS	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
	GOLDMAN SACHS ABSOLUTE RETURN	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	P
	HARBOR INTERNATIONAL FUND	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	ISHARES BARCLAYS INTERMEDIATE CR BD FUND	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	P
	ISHARES CORE S&P 500 ETF	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	S(part)
	ISHARES MSCI HONG KONG INDEX FUND	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	ISHARES MSCI SOUTH KOREA INDEX FUND	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	ISHARES MSCI TAIWAN INDEX FUND	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	ISHARES S&P EMERGING MKTS INFRA INDEX FUND	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	ISHARES SILVER TR	\$1,001 - \$15,000	None	NONE	
	JP MORGAN US LARGE CAP CORE PLUS FUND	\$50,001 - \$100,000	DIVIDENDS/CAPIT AL GAINS	\$2,501 - \$5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nicola S. Tsongas

Page 5 of 10

LAZARD EMERGING MKTS EQUITY PORTFOLIO	\$1,001 - \$15,000	DIVIDENDS/CAPIT AL GAINS	\$201 - \$1,000	
MASS ST GO BDS	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
MASSACHUSETTS ST HEALTH & EDL REV BDS	None	INTEREST	\$1,001 - \$2,500	S
MASSACHUSETTS ST WTR POLLUTN A WTR BDS	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
NORTHEAST UTILITIES	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	S(part)
PIMCO COMMODITY REALRETURN STRATEGY FUND	\$15,001 - \$50,000	DIVIDENDS/CAPIT AL GAINS	\$201 - \$1,000	P

PIMCO FOREIGN BOND FUND UNHEDGED	\$15,001 - \$50,000	DIVIDENDS/CAPIT AL GAINS	\$1,001 - \$2,500	
PIMCO GLOBAL MULTI ASSET FUND	\$1,001 - \$15,000	DIVIDENDS/CAPIT AL GAINS	\$201 - \$1,000	P
PIMCO TOTAL RETURN FUND	\$15,001 - \$50,000	DIVIDENDS/CAPIT AL GAINS	\$1,001 - \$2,500	P
SPDR GOLD TR GOLD SHS	\$1,001 - \$15,000	None	NONE	
THORNBURG INTL VALUE FUND	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	S(part)
UNITED STATES TREAS NT	None	INTEREST	\$201 - \$1,000	S
VANGUARD MSCI EMERGING MKTS ETF	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
VANGUARD REIT ETF	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name		Nicola S. Tsongas		Page 6 of 10	
	WELLESLEY MASS MUNICIPAL PURP LN BDS	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	

SCHEDULE IV - TRANSACTIONS

Name Nicola S. Tsongas

Page 7 of 10

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	AGR DIVERSIFIED ARBITRAGE FUND	P	N/A	11/6/12	\$1,001 - \$15,000
	AUBRUN MASS GO MUN PURP LN BDS	P	N/A	3/22/12	\$50,001 - \$100,000
	COLUMBIA ACOFON FUND	P	N/A	2/7/12 1/3/12	\$1,001 - \$15,000
	COLUMBIA BOND FUND	P	N/A	7/2/12 12/3/12	\$1,001 - \$15,000
	COLUMBIA DIVIDEND INCOME FUND	P	N/A	3/9/12	\$1,001 - \$15,000
	COLUMBIA HIGH YIELD MUNICIPAL FUND	P	N/A	2/7/12 10/5/12	\$15,001 - \$50,000
	COLUMBIA INCOME OPPORTUNITIES	P	N/A	1/3/12 11/6/12	\$15,001 - \$50,000
	COLUMBIA MA INTER MUNI BD FUND	P	N/A	10/5/12	\$1,001 - \$15,000
	COLUMBIA REAL ESTATE EQUITY FUND	P	N/A	2/8/12 10/5/12 9/26/12	\$15,001 - \$50,000
	COLUMBIA SMALL CAP CORE FUND	P	N/A	2/3/12 1/3/12	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Nicola S. Tsongas

Page 8 of 10

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Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	COLUMBIA US GOVT MTG FUND	P	N/A	1/5/12	\$1,001 - \$15,000
	ENTERPRISE BANK & TRUST	S	No	6/14/12 6/25/12	\$15,001 - \$50,000
	GOLDMAN SACHS ABSOLUTE RETURN	P	N/A	1/3/12	\$1,001 - \$15,000
	ISHARES BARCLAYS INTERMEDIATE CR BD FUND	P	N/A	1/5/12	\$15,001 - \$50,000
	ISHARES CORE S&P 500 ETF	S(part)	No	2/7/12 3/9/12 9/26/12	\$15,001 - \$50,000
	MASSACHUSETTS ST HEALTH & EDL REV BDS	S	No	10/1/12	\$15,001 - \$50,000
	NORTHEAST UTILITIES	S(part)	No	11/15/12	\$15,001 - \$50,000
	PIMCO COMMODITY REALRETURN STRATEGY FUND	P	N/A	11/6/12	\$1,001 - \$15,000
	PIMCO GLOBAL MULTI ASSET FUND	P	N/A	11/6/12	\$1,001 - \$15,000
	PIMCO TOTAL RETURN FUND	P	N/A	2/3/12	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Nicola S. Tsongas

Page 9 of 10

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	THORNBURG INTL VALUE FUND	S(part)	No	1/3/12	\$1,001 - \$15,000
	UNITED STATES TREAS NT	S	No	6/15/12	\$15,001 - \$50,000
	VANGUARD REIT ETF	P	N/A	11/6/12	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

Name Nicola S. Tsongas

Page 10 of 10

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	ENTERPRISE BANK AND TRUST	AUGUST 2001	LINE OF CREDIT ON 455 SHORE RD, CHATHAM, MA	\$100,001 - \$250,000
	TD BANK	JULY 2003	MORTGAGE ON 26 AUDURN ST., CHARLESTOWN, MA	\$500,001 - \$1,000,000
	ENTERPRISE BANK AND TRUST	JUNE 2012	MORTGAGE ON PERSONAL RESIDENCE	\$250,001 - \$500,000