



Filing ID #10008675

# FINANCIAL DISCLOSURE REPORT

---

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

---

## FILER INFORMATION

**Name:** Hon. Eleanor Holmes Norton  
**Status:** Member  
**State/District:** DC00

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2014  
**Filing Date:** 09/30/2015

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Boston Capital Private Tax Credit Fund		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Partnership K-1					
Congressional Federal Credit Union		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
MERRILL LYNCH #182 ⇒ Bank Deposit Program		\$1,001 - \$15,000	None		<input type="checkbox"/>
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap (EIF)		\$50,001 - \$100,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
MERRILL LYNCH #182 ⇒ Powershares Exchange Traded Fd TR II S&P500 (EIF)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
MERRILL LYNCH #182 ⇒ WGL Holdings Inc (WGL)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Bank Deposit Program		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒		\$250,001 -	Capital Gains,	\$50,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Davis NY Venture Fund (EIF)		\$500,000	Dividends	\$100,000	
MERRILL LYNCH #806 ⇒ DC Income Bond 5.0% Dec01 24		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Du Page Cnty, IL FST Bond 6.25%CI 0.0%NOV01 15		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ FPA Perennial Fund (EIF)		\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
MERRILL LYNCH #806 ⇒ Hartford Capital Appreciation Fund(EIF)		\$50,001 - \$100,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MERRILL LYNCH #806 ⇒ Leander Tex Indpt Sch Bond 3.35%CI 0.0%AUG15 18		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Maryland St CDA Dept Hsg-Cmnty 4.0%SEP01 29		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
MERRILL LYNCH #806 ⇒ Miami Beach FL Bond 4.375%SEP01 28		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Palatine IL Corp Purpose Bond 7.1%CI 0.0%JAN01 15		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Powershares Exchange Traded Fd TR II S&P 500(EIF)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Seminole Cnty FL Sch Brd Bond 4.0%JUL01 16		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Victor Vy CA UN HSD Bond 6.4%CI 0.0%SEP01 15		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Wash DC CCA Dedicated Bond 5.0%OCT01 24		None	Capital Gains, Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ ALLIANZ ⇒ Fixed Period Account (MMF)		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ American Funds Intl (EIF)		None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Baron Growth Portfolio Service Class (EIF)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Bond Portfolio (EIF)		None	Tax-Deferred		<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ DFA World Equity Portfolio (EIF)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Global Resources (EIF)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Growth and Income Portfolio (EIF)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Intermediate Bond Portfolio (EIF)		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ International Index Portfolio (EIF)		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Large Cap Growth Portfolio (A) (EIF)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Large Cap Growth Portfolio (S) (EIF)		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Marsico Growth (EIF)		None	Tax-Deferred		<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ PIMCO Total Return (EIF)		None	Tax-Deferred		<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Retirement Growth (EIF)		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Russell Mid Cap Growth (EIF)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ T.Rowe Price International Stock (EIF)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Met Life Insurance Co Deferrred Annuities		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
DESCRIPTION: IRA-Flexible Purchase Pension Annuity					
MetLife, Inc. (MET)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ Deutsche Real Estate Securities (EIF)		\$15,001 - \$50,000	None		<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ JHAM (Formerly Davis) Fundamental Value (EIF)		None	None		<input checked="" type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ JHAM (Formerly John Hancock Asset Management) Lifestyle Moderate (EIF)		\$15,001 - \$50,000	None		<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ JHAM (Formerly John Hancock Asset Mgmt) Total Stock Market Index (EIF)		\$1,001 - \$15,000	None		<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ JHAM Fundamental Large Cap Value (EIF)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ PIMCO Total Return (EIF)		\$1,001 - \$15,000	None		<input type="checkbox"/>
Synchrony Bank (Formerly GE Capital Retail Bk)		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
TIAA-CREF Retirement-TIAA Traditional (EIF)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap (EIF)	03/3/2014	P	\$15,001 - \$50,000	
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap (EIF)	12/16/2014	P	\$1,001 - \$15,000	
MERRILL LYNCH #182 ⇒ Powershares Exchange Traded Fd TR II S&P500 (EIF)	03/3/2014	P	\$15,001 - \$50,000	
MERRILL LYNCH #806 ⇒ Davis NY Venture Fund (EIF)	07/3/2014	P	\$15,001 - \$50,000	
MERRILL LYNCH #806 ⇒ Davis NY Venture Fund (EIF)	12/15/2014	P	\$15,001 - \$50,000	
MERRILL LYNCH #806 ⇒ FPA Perennial Fund (EIF)	07/3/2014	P	\$1,001 - \$15,000	
MERRILL LYNCH #806 ⇒ FPA Perennial Fund (EIF)	12/24/2014	P	\$1,001 - \$15,000	
MERRILL LYNCH #806 ⇒ FPA Perennial Fund (EIF)	03/17/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MERRILL LYNCH #806 ⇒ Hartford Capital Appreciation Fund (EIF)	03/3/2014	P	\$15,001 - \$50,000	
MERRILL LYNCH #806 ⇒ Hartford Capital Appreciation Fund (EIF)	12/17/2014	P	\$15,001 - \$50,000	
MERRILL LYNCH #806 ⇒ Maryland St CDA Dept Hsg-Cmnty	02/28/2014	P	\$15,001 - \$50,000	
MERRILL LYNCH #806 ⇒ Wash DC CCA Dedicated Bond	02/26/2014	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ ALLIANZ ⇒ Fixed Period Account (MMF)	11/14/2014	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ American Funds Intl (EIF)	03/14/2014	S	\$15,001 - \$50,000	<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒	03/14/2014	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Bond Portfolio (EIF)					
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Intermediate Bond Portfolio (EIF)		03/14/2014	P	\$50,001 - \$100,000	
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ International Index Portfolio (EIF)		03/14/2014	P	\$15,001 - \$50,000	
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Large Cap Growth Portfolio (S) (EIF)		07/18/2014	P	\$50,001 - \$100,000	
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Marsico Growth (EIF)		07/18/2014	S	\$50,001 - \$100,000	<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ PIMCO Total Return (EIF)		03/14/2014	S	\$15,001 - \$50,000	<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING) ⇒ Retirement Growth (EIF)		05/16/2014	P	\$15,001 - \$50,000	
ML JOHN HANCOCK ANNUITY ⇒ JHAM Fundamental Large Cap Value (EIF)		11/7/2014	P	\$1,001 - \$15,000	
ML JOHN HANCOCK ANNUITY ⇒ JHAM Fundamental Value (EIF) (Formerly Davis)		11/7/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
TIAA-CREF Retirement-TIAA Traditional (EIF)		05/1/2014	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Georgetown University Law Center	Approved Teaching Fee	\$10,200
Pitney Bowes	Retirement Fee	\$12,000
Merrill Lynch IRA	Required Minimum Distribution	\$34,000
Met Life Insurance Co.	Required Minimum Distribution	\$704

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Mortgage Service Center	12/30/2002	Mortgage on Personal Residence	\$50,001 - \$100,000

**SCHEDULE E: POSITIONS**

Position	Name of Organization
Professor of Law	Georgetown University
Member	Council on Foreign Relations
Member	Citizens Commission for Civil Rights
Board of Trustees	Lawyers Committee for Civil Rights Under Law
Member of the Board	Washington Symphony
Chair	Women's Legal Advocates for Women
Advisory Board Member	Sewall-Belmont House
Advisory Board Member	Women & Politics Institute, American University
Advisory Board Member	The National Council of the Shakespeare Theatre Company

**SCHEDULE F: AGREEMENTS**

Date	Parties To	Terms of Agreement
March 2014	Georgetown Law and Congresswoman Norton	Agreement to teach "Lawmaking and Statutory Interpretation Seminar" during the 2014-2015 academic year. Compensation reported on Schedule C.

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Progressive Congress	02/6/2014	02/8/2014	Washington, DC - Philadelphia, PA - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
The Colbert Report	06/25/2014	06/26/2014	Washington, DC - New York City -	0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Washington, DC							
Lawyers Committee for Civil Rights Under Law	06/25/2014	06/27/2014	Washington, DC - New York City - Washington, DC	0	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Federation of Southern Cooperatives Land Assistance Fund	08/14/2014	08/15/2014	Washington, DC - Birmingham, AL - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- MERRILL LYNCH #182  
LOCATION: US
- MERRILL LYNCH #806  
LOCATION: US
- MERRILL LYNCH IRA
- MERRILL LYNCH IRA ⇒ ALLIANZ
- MERRILL LYNCH IRA ⇒ VOYA/VY (Formerly ING)
- ML JOHN HANCOCK ANNUITY  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Eleanor Holmes Norton , 09/30/2015