

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

Page 1 of 25

LEGISLATIVE RESOURCE CENTER

Stephen Ira Cohen
(Full Name)

(Daytime Telephone)

RECEIVED
U.S. HOUSE OF REPRESENTATIVES
LEGISLATIVE RESOURCE CENTER
MAY 15 2013
HAND
(O) DELIVERED

Filer ☒ Member of the U.S. House of Representatives State: TN District: 09

Officer Or Employee Employing Office:

Report Type ☒ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule I.			
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule II.			
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule III.			
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule IV.			
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	
If yes, complete and attach Schedule V.			

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name Stephen Ira Cohen

Page 2 of 25

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
STATE OF TENNESSEE	LEGISLATIVE PENSION	\$23,128.92

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

Page 3 of 25

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>		<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below.</p> <p>Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
ALCATEL LUCENT (ADVANTAGE)		None	CAPITAL GAINS	\$1 - \$200	S
ALPHA NATURAL RESOURCES INC (ADVANTAGE ACCOUNT)		\$1,001 - \$15,000	None	NONE	
AMGEN INC (ADVANTAGE ACCOUNT)		\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
AMR CORP (SEP IRA)		\$1 - \$1,000	None	NONE	
AT&T INC (ADVANTAGE ACCOUNT)		\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
AT&T INC (SEP IRA)		\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

Page 4 of 25

ATT&T INC	None	None	NONE	S (Full call of bond)
BARRICK GOLD CORP CAD (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
BERKSHIRE HATHAWAY DEL - CL B NEW (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	None	NONE	
BERKSHIRE HATHAWAY INC CL (SEP IRA)	\$15,001 - \$50,000	None	NONE	
BERKSHIRE HATHAWAY INC CL B (ROTH IRA)	\$15,001 - \$50,000	None	NONE	
BOEING CO (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
BOEING COMPANY (ROTH IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
BRADLEY CO TN INDL DEV BRD INDL REV REF	None	INTEREST	\$201 - \$1,000	S (Full Call of Bond)
CALVERT INCOME FUND (401K)	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
CALVERT INCOME FUND (457)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
CARNIVAL CORP CLASS A PAIRED STOCK (SEP IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
CHATTANOOGA HAMILTON CNTY TENN HOSP AUTH HOSP REV REF ERLANGER* (GT8) (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

Page 5 of 25

CHATTANOOGA TENN ELEC REV SER A OID (CNO) (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	None	NONE	P
CHATTANOOGA TENN ELEC REV SER A* (DV1) (ADVANTAGE ACCOUNT)	\$50,001 - \$100,000	None	NONE	
CHATTANOOGA-HAMILTON CNTY TENN HOSP AUTHHOSP REV ERLANGER MED CTR-SERS A BOOK MUNICIPAL BOND (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
CHEVRON CORP (SEP IRA)	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
COCA-COLA COMPANY (THE) (SEP IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
COHEN & STEERS QUALITY INCOME REALTY FUND INC (SEP IRA)	\$15,001 - \$50,000	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	
COHEN AND STEERS TOTAL RETURN RLTY FUND INC (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	
COMCAST CORP CL A (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
COVENTRY HEALTH CARE INC (ADVANTAGE ACCOUNT)	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
DAIMLER AG (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
DDR CORP (FORMERLY LISTED AS: DEVELOPERS DIVERSIFIED RLTY CP CORP (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

Page 6 of 25

DIRECTV CL A (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	None	NONE
DOMINION RESOURCES INC* (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
DUKE ENERGY CORP (SEP IRA)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000
ELI LILLY & CO (ROTH IRA)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000
ELI LILLY & COMPANY (SEP IRA)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000
EMERGENT BIOSOLUTIONS INC (SEP IRA)	\$15,001 - \$50,000	None	NONE
EXXON MOBIL CORP (SEP IRA)	\$100,001 - \$250,000	DIVIDENDS	\$1,001 - \$2,500
FEDERATED AUTO GOVT MONEY TRUST (ADVANCED ACCOUNT) MONEY MARKET FUND	\$1,001 - \$15,000	None	NONE
FEDERATED AUTO GOVT MONEY TRUST (SEP IRA) MONEY MARKET FUND	\$15,001 - \$50,000	None	NONE
FEDEX CORP (ADVANTAGE ACCOUNT)	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000
FIDELITY CONTRAFUND (401K)	\$15,001 - \$50,000	TAX-DEFERRED	NONE
FIDELITY CONTRAFUND (457)	\$100,001 - \$250,000	TAX-DEFERRED	NONE
FIDELITY OTC PORTFOLIO (401K)	\$15,001 - \$50,000	TAX-DEFERRED	NONE

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

Page 7 of 25

FIDELITY PURITAN FUND (401K)	\$100,001 - \$250,000	TAX-DEFERRED	NONE	
FIDELITY RETIREMENT GOV MONEY MARKET FD (401K)**	None	TAX-DEFERRED	NONE	S
FIDELITY RETIREMENT GOVT MONEY MARKET FD (457)**	None	TAX-DEFERRED	NONE	S
FIRST HORIZON NATIONAL CORP (SEP IRA)	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
FIRST HORIZON NATL CORP (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
FLUOR CORP (NEW) (ADVANTAGE ACCOUNT)	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
GENERAL ELECTRIC COMPANY (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
GENERAL ELECTRIC COMPANY (SEP IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
GLAXOSMITHKLINE PLC SP ADR (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
GOLD BULLION	\$50,001 - \$100,000	NONE	NONE	
GREENE CNTY TENN REF RURAL SCH SER C GEN OBLIG UNLTD* (NG4) (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
GREENVILLE TENN REF & IMPT GO UT SUBJ TO ALT MIN TAX OID (HV7) (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

Page 8 of 25

HALLSDALE POWELL UTIL DIST KNOX CNTY TN WTR & SWR REV REF SER A* (KE5) (ADVANTAGE ACCOUNT)*	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
HAMBLEN CNTY TENN GEN OBLIG UNLTD BANK QUALIFIED* (QE7) (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
HARPETH VY TN UTIL DIST DAVIDSON & WMSN CNTYS RFDG-SER A* (JM2) (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	None	NONE	P
HCP INC (SEP IRA)	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
HENDERSONVILLE TENN UTIL DIST WTRWKS & SWR REV (GM3) (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
HOST HOTELS & RESORTS INC (SEP IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
HUDSON CITY BANCORP INC (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
HUDSON CITY BANORP INC (SEP IRA)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
HUNTINGTON INGALLS INDUSTRIES INC (ADVANTAGE ACCOUNT)	None	None	\$1,001 - \$2,500	S
INGRAM MICRO INC (SEP IRA)	\$1,001 - \$15,000	None	NONE	
INTERNATIONAL BUSINESS MACHINES INCORPORATED (SEP IRA)	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

Page 9 of 25

INTL BUSINESS MACHINES CORP* (ADVANTAGE ACCOUNT)	\$250,001 - \$500,000	DIVIDENDS	\$1,001 - \$2,500	S(part)
JEFFERSON CITY TN GO UNLT FED BQ ASSURED GTY SPU MUNICIPAL BOND (ADVANTAGE ACCOUNT)	None	Other: BOND MATURITY	NONE	S(Bone reached maturity)
JOHNSON CITY TENN HLTH & EDL FACS BRD HOSP REV RFG & IMPT MED CTR* (DC0) (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	None	NONE	P
JP MORGAN CHASE CAP X (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
JPMORGAN CHASE & CO* (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
KNOXVILLE TN GEN IMPT- SER AGO UNLT OID MUNICIPAL BOND (ADVANTAGE ACCOUNT)	None	INTEREST	\$1 - \$200	
MARRIOTT INTERNATIONAL CLASS A (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
MARRIOTT VACATIONS WORLDWIDE CORP (ADVANTAGE ACCOUNT)	None	None	NONE	S
MAURY CNTY TENN SCH & PUB IMPT GEN OBLIG UNLTD AA2 MDU* (VC7) (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
MEMPHIS TENN ELEC SYS REV REF MAND ERP* (MG9) (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

Page 10 of 25

MEMPHIS TENN ELEC SYS REV SUB SER A OID (LD7) (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
MEMPHIS TN GEN IMPT GO UNLT OID MUNICIPAL BOND (UB8) (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
MEMPHIS-SHELBY CO TENN ARPT AUTH SPL FACS REV REF-FED EX CORP ERP MUNICIPAL BOND (AB5) (ADVANTAGE ACCOUNT)	None	INTEREST	\$201 - \$1,000	S(Maturity)
MEMPHIS-SHELBY CO TN ARPT AUTH SPL FACS REV FEDERAL EXP CORP- REFUNDING (AC3) MUNICIPAL BOND (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	P
MERCK & CO INC (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
MET GOVT NASHVILLE & DAVIDSON CNTY TENN ELEC REV CAP APPREC SERV A NATL-RE* (TU4) MUNICIPAL BOND (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	None	NONE	P
MET GOVT NASHVILLE & DAVIDSON CNTY TN ELEC REV CAP APPREC (TF7) MUNICIPAL BOND (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

Page 11 of 25

MET GOVT NASHVILLE & DAVIDSON CNTY TN H&E FACS BRD REV HOSP CHARITY (JE8) MUNICIPAL BOND (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
MICROSOFT CORP (SEP IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
MURFREESBORO TENN REF ELEC SYS OID (D82)* (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	None	NONE	P
NATIONWIDE BANK ACCOUNT (401K)**	\$15,001 - \$50,000	TAX-DEFERRED	NONE	P
NATIONWIDE BANK ACCOUNT (457)**	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
NEWS CORPORATION INC CLASS A (ADVANTAGE ACCOUNT)	None	DIVIDENDS	\$1 - \$200	S
NEXEN INC (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	DIVIDENDS/INTE REST	\$1,001 - \$2,500	P
NORTHROP GRUMMAN CORP (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
PEPSICO INCORPORATED (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
PFIZER INCORPORATED (ADVANTAGE ACCOUNT)	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
PUTNAM CNTY TENNESSEE PUB IMPT GO ULT XLCA INS MUNICIPAL BOND (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

Page 12 of 25

RAYTHEON COMPANY (ADVANTAGE ACCOUNT)	None	DIVIDENDS	\$1 - \$200	S
RAYTHEON COMPANY (SEP IRA)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
REGIONS FINANCIAL CORP (SEP IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
ROBERTSON CNTY TENN SCH & PUB IMPT GEN OBLIG UNLTD MUNICIPAL BOND (G78) (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
ROBERTSON CNTY TENN SCH & PUB IMPT GEN OBLIG UNLTD* (K32) (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
RUTHERFORD CNTY TN GO UNLT (AS5) (ADVANTAGE ACCOUNT)	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	P
RUTHERFORD CNTY, TN - ULT GO - REF/SCH OID MUNICIPAL BOND (3N6) (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
SAVANNAH VLY TN UTIL DIST WTRWKS REV REF- SER A (CA1) (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
SCHLUMBERGER LTD (SEP IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SHELBY CNTY TENN HEALTH EDL & HSG FACS BRD REV ST JUDES* (XG9) (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

Page 13 of 25

SHELBY CNTY TN HEATH EDL & HSG FAC BRD REV BAPT MEM HLTH SER 2004A* (A90)	\$15,001 - \$50,000	None	NONE	P
SHELBY CNTY TN HLTH EDL & HSG FACS BRD REV ST JUDES CHILDRENS RESEARCH HOSPITAL MUNICIPAL BOND (XF1) (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
SHELBY CNTY TN REF-CABS GO UT OID* (YX4) MUNICIPAL BOND (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	INTEREST	NONE	P
SHELBY CO TN HLTH EDL & HSG FACS BRD REV RFDG ST JUDES CHILDS RESH HSP MUNICIPAL BOND (XF1)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
SHELBY CO TN HLTH EDUCTNL & HSG FACS BRD REV METHODIST LE BONHEUR (H44) (ADVANTAGE ACCOUNT)	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	P
SONY CORP SPON AD (SEP IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SPECTRA ENERGY CORP (SEP IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SULLIVAN CNTY TN HEALTH EDL & HSG FACS BRD REV REF HOSP WELLMONT* (DV8) (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	None	NONE	
TALBOTS INC (ROTH IRA)	None	None	NONE	S (cash merger)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

Page 14 of 25

TALBOTS INC (SEP IRA)	None	None	NONE	S (cash merger)
TENN HSG DEV AGY MTG FIN SER A OPT ERP 9 (VD9) MUNICIPAL BOND (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
TENNESSEE ENERGY ACQUISITION CORP GAS (BP0) REV SER A MUNICIPAL BOND (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
TENNESSEE HOUSING DEV AGENCY HSG FIN PROG BDS SER 2009 A-1 (MS8)*	\$15,001 - \$50,000	None	NONE	
TENNESSEE HOUSING DEV AGENCY HSG FIN PROG BDS SERS 2009 A-1 (J8) (ADVANTAGE ACCOUNT)*	\$15,001 - \$50,000	None	NONE	
TENNESSEE HSG DEV AGY AMT HOMEOWNERSHIP PG (P22) (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	None	NONE	P
TENNESSEE HSG DEV AGY HOMEOWNER-SHIP PROG 1- A-NON AT NON ACE ERP (PT3) MUNICIPAL BOND (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
TENNESSEE HSG DEV AGY HSG FIN PROG SER A-1 XRD RDMPT PR (F6) (ADVANTAGE ACCOUNT)	None	INTEREST	\$1 - \$200	S (Reached Maturity)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

Page 15 of 25

TENNESSEE HSG DEV AGY HSG FIN PROG SERV A-1 XRDNR Y RDM PROV* (MX7) (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	None	NONE	P
TENNESSEE HSG DEV AGY HSG FIN PROG-SER A-1 XRD (E9) MUNICIPAL BOND	\$1,001 - \$15,000	None	NONE	
TENNESSEE HSG DEV AGY HSG FIN PROG-SER A-1 XRD (G4) MUNICIPAL BOND (ADVANTAGE ACCOUNT)	None	INTEREST	\$201 - \$1,000	S(Partial Call/Maturity)
TENNESSEE HSG DEV AGY HSG FIN PROG-SER A-1 XRD (Z2) MUNICIPAL BOND (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	S(Partial Call)
TENNESSEE ST HSG DEV AGY NON AMT NON ACE HOMEOWNERSHIP (YD8) (ADVANTAGE ACCOUNT)	\$50,001 - \$100,000	None	NONE	P
TIM HORTONS INC (SEP IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
TIME WARNER CABLE INC (SEP IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
TIME WARNER INC NEW (SEP IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
TIVO INC (ADVANTAGE ACCOUNT)	None	None	NONE	S
TN HSG DEV AGY AMT HOMEOWNERSHIP PG AMT GO OF AGY (P71) MUNICIPAL BOND (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

Page 16 of 25

TN HSG DEV AGY AMT HOMEOWNERSHIP PG ERP GO OF AGY (P48) MUNICIPAL BOND (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
TN HSG DEV AGY AMT HOMEOWNERSHIP PG ISSUE 1 ERP GO OF AFY (5C2) MUNICIPAL BOND (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
TN HSG DEV AGY HOMEOWNERSHIP PROG 1B OPT/MAND ERP GO OF AGY* (QL9) (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	None	NONE	P
TN HSG DV AGY HOMEOWNERSHIP PROG SER 1B OPT ERP GO OF AGY* (3C4) (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	None	NONE	P
TRANSOCEAN SWITZERLAND NEW (TRANSOCEAN LTD) (SEP IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
TULLAHOMA TENN BROADBAND NETWORK GEN OBLIG UNLTD MUNICIPAL BOND (3F8) (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
UNITED STATES STEEL CORP (ADVANTAGE ACCOUNT)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
WALT DISNEY CO (SEP IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

Page 17 of 25

WESTERN UNION COMPANY (ADVANTAGE ACCOUNT)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
WEYERHAEUSER CO (SEP IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000

SCHEDULE IV - TRANSACTIONS

Name Stephen Ira Cohen

Page 18 of 25

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	ALCATEL LUCENT (ADVANTAGE)	S	No	06-13-12	\$1-1,000
	ATT&T INC	S	No	02-15-12	\$50,001 - \$100,000
	BRADLEY CO TN INDL DEV BRD INDL REV REF OLIN CORP PROJ S MUNICIPAL BOND (ADVANTAGE ACCOUNT)*	S	Yes	06-01-12	\$15,001 - \$50,000
	CHATTANOOGA TENN ELEC REV SER A OID (CN0) (ADVANTAGE ACCOUNT)	P	N/A	10-24-12	\$1,001 - \$15,000
	GREENE CNTY TENN REF RURAL SCH SER C GEN OBLIG UNLTD (ADVANTAGE ACCOUNT)	P	N/A	10-24-12	\$1,001 - \$15,000
	GREENVILLE TENN REF & IMPT GO UT SUBJ TO ALT MIN TAX OID* (ADVANTAGE ACCOUNT)	P	N/A	10-24-12	\$1,001 - \$15,000
	HAMBLENTY TENN GEN OBLIG UNLTD BANK QUALIFIED* (QET) (ADVANTAGE ACCOUNT)	P	N/A	10-24-12	\$1,001 - \$15,000
	HARPETH VY TN UTIL DIST DAVIDSON & WMSN CNTYS RFDG-SER A* (JM2) (ADVANTAGE ACCOUNT)	P	N/A	10-24-12	\$1,001 - \$15,000
	HENDERSONVILLE TENN UTIL DIST WTRWKS & SWR REV (GM3) (ADVANTAGE ACCOUNT)	P	N/A	03-02-12	\$15,001 - \$50,000

SCHEDULE IV - TRANSACTIONS

Name Stephen Ira Cohen

Page 19 of 25

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.
* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	HUNTINGTON INGALLS INDUSTRIES INC (ADVANTAGE ACCOUNT)	S	No	06-13-12	\$1,001 - \$15,000
	JOHNSON CITY TENN HLTH & EDL FACS BRD HOSP REV RFG & IMPT MED CTR* (IDC0) (ADVANTAGE ACCOUNT)	P	N/A	10-24-12	\$1,001 - \$15,000
	JP MORGAN CHASE CAP X (ADVANTAGE ACCOUNT)	P	N/A	02-17-12	\$15,001 - \$50,000
	MARIOTT VACATIONS WORLDWIDE CORP (ADVANTAGE ACCOUNT)	S	No	06-13-12	\$1,001 - \$15,000
	MAURY CNTY TENN SCH & PUB IMPT GEN OBLIG UNLTD AA2 MDU* (VC7) (ADVANTAGE ACCOUNT)	P	N/A	10-24-12	\$1,001 - \$15,000
	MEMPHIS TENN ELEC SYS REV REF MAND ERP (MG9) (ADVANTAGE ACCOUNT)	P	N/A	10-24-12	\$1,001 - \$15,000
	MEMPHIS TENN ELEC SYS REV SUB SER A OID (LD7) (ADVANTAGE ACCOUNT)	P	N/A	10-24-12	\$1,001 - \$15,000
	MET GOVT NASHVILLE & DAVIDSON CNTY TENN ELEC REV CAP APPREC SERV A NATL-RE (TU4) MUNICIPAL BOND (ADVANTAGE ACCOUNT)*	P	N/A	10-24-12	\$1,001 - \$15,000
	MICROSOFT CORP (SEP IRA)	P	N/A	03-14-12	\$15,001 - \$50,000

SCHEDULE IV - TRANSACTIONS

Name Stephen Ira Cohen

Page 20 of 25

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	MURFREESBORO TENN REF ELEC SYS OID* (D82) (ADVANTAGE ACCOUNT)	P	N/A	10-24-12	\$1,001 - \$15,000
	NATIONWIDE BANK ACCOUNT (401K)**	P	N/A	06-05-12	\$15,001 - \$50,000
	NATIONWIDE BANK ACCOUNT (457)**	P	N/A	06-05-12	\$1,001 - \$15,000
	NEWS CORPORATION INCCCLASS A	S	No	06-13-12	\$1,001 - \$15,000
	NEXEN INC (ADVANTAGE ACCOUNT)	P	N/A	02-24-12	\$15,001 - \$50,000
	RAYTHEON COMPANY (ADVANTAGE ACCOUNT)	S	No	06-13-12	\$1,001 - \$15,000
	ROBERTSON CNTY TENN SCH & PUB IMPT GEN OBLIG UNLTD* (K32) (ADVANTAGE ACCOUNT)	P	N/A	10-24-12	\$1,001 - \$15,000
	RUTHERFORD CNTY TN GO UNLT (AS5) (ADVANTAGE ACCOUNT)	P	N/A	02-17-12	\$15,001 - \$50,000
	SAVANNAH VLY TN UTIL DIST WTRWKS REV REF-SER A (CA1) (ADVANTAGE ACCOUNT)	P	N/A	02-17-12	\$15,001 - \$50,000
	SHELBY CNTY TENN HEALTH EDL & HSG FACS BRD REV ST JUDES (XG9) (ADVANTAGE ACCOUNT)	P	N/A	10-24-12	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Stephen Ira Cohen

Page 21 of 25

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	SHELBY CNTY TN HEATH EDL & HSG FAC BRD REV BAPT MEM HLTH SER 2004A* (A90)	P	N/A	10-24-12	\$1,001 - \$15,000
	SHELBY CNTY TN REF-CABS GO UT UNREF BAL (YX4) (ADVANTAGE ACCOUNT)	P	N/A	10-24-12	\$1,001 - \$15,000
	SHELBY CO TN HLTH EDUCNL & HSG FACS BRD REV METHODIST LE BONHEAUR (H44) (ADVANTAGE ACCOUNT)	P	N/A	06-13-12	\$15,001 - \$50,000
	TENNESSEE HSG DEV AGY AMT HOMEOWNERSHIP PG (P22) (ADVANTAGE ACCOUNT)	P	N/A	10-24-12	\$1,001 - \$15,000
	TENNESSEE HSG DEV AGY HSG FIN PROG SERV A-1 XRDNRY RDM PROV* (MX7) (ADVANTAGE ACCOUNT)	P	N/A	10-24-12	\$1,001 - \$15,000
	TENNESSEE ST HSG DEV AGY NON AMT NON ACE HOMEOWNERSHIP (YD8) (ADVANTAGE ACCOUNT)	P	N/A	07-05-12	\$50,001 - \$100,000
	TIVO INC (ADVANTAGE ACCOUNT)	S	Yes	06-13-12	\$1,001 - \$15,000
	TN HSG DEV AGY HOMEOWNERSHIP PROG 1B OPT/MAND ERP GO OF AGY* (QL9) (ADVANTAGE ACCOUNT)	P	N/A	10-24-12	\$1,001 - \$15,000
	TN HSG DV AGY HOMEOWNERSHIP PROG SER 1B OPT ERP GO OF AGY* (3C4) (ADVANTAGE ACCOUNT)	P	N/A	10-24-12	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Stephen Ira Cohen

Page 22 of 25

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
------------------	-------	------------------------	---	------	-----------------------

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Stephen Ira Cohen

Page 23 of 25

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute Congressional Program	March 30 - April 5	Memphis - Sao Paulo, Brazil - Memphis	Y	Y	N	None
Aspen Institute Congressional Program	August 9 - 17	Memphis - Amsterdam - Brussels - Memphis	Y	Y	N	3 Days
Aspen Institute Congressional Program	Feb. 17 - 20	DC - San Diego - DC	Y	Y	N	None

SCHEDULE IX - AGREEMENTS

Name Stephen Ira Cohen

Page 24 of 25

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
Jan. 2007	State of Tennessee	Pension annuity for service in the state legislature

FOOTNOTES

Name Stephen Ira Cohen

Page 25 of 25

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	FIDELITY RETIREMENT GOV MONEY MARKET FD (401K) is no longer carried in the 401(k) plan and was rolled into NATIONWIDE BANK ACCCOUNT on June 5, 2012.	NATIONWIDE BANK ACCCOUNT (401K)
2	Schedule III	FIDELITY RETIREMENT GOV MONEY MARKET FD (457) is no longer carried in the 457 plan and was rolled into NATIONWIDE BANK ACCCOUNT on June 5, 2012.	NATIONWIDE BANK ACCCOUNT (457)
3	Schedule III	Assets marked with an asterisk (*) were inherited during 2011.	