



Filing ID #10010853

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Frank Pallone Jr.
Status: Member
State/District: NJ06

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2015
Filing Date: 05/16/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chase Bank Account, Long Branch, NJ		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Congressional Federal Credit Union	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
DC1 ⇒ ABERDEEN FDS EMERGING MARKETS FUND INSTITUTIONAL CLASS (ABEMX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DC1 ⇒ American Century Mutual Funds Growth Fund Instl (TWGIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC1 ⇒ Artisan Funds Inc. Small Cap Value Fund (ARTVX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DC1 ⇒ DEUTSCHE SECS TR GLOBAL REAL ESTATE SECS FD INSTL CLASS (RRGIX)	DC	\$1 - \$1,000	None		<input type="checkbox"/>
DC1 ⇒ Dodge & Cox Funds International Stock Fund (DODFX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DC1 ⇒ Dreyfus Emerging Markets Fund CL I (DRPEX)	DC	None	None		<input checked="" type="checkbox"/>
DC1 ⇒ EATON VANCE LARGE CAP VALUE FUND CLASS I (EILVX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DC1 ⇒ EATON VANCE SER II INCOME FUND BOSTON CL I (EIBIX)	DC	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC1 ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX)	DC	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC1 ⇒ Goldman Sachs Trust Strategic Income Fund Instl (GSZIX)	DC	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC1 ⇒ Harbor Fund Capital Appreciation Fund Instl (HACAX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC1 ⇒ Harris Assoc. Investment Trust Oakmark Equity & Income Fund CL I (OAKBX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC1 ⇒ Investment Managers Ser Tr Oak Ridge Small Cap Growth Fund CL Y (ORIYX)	DC	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
DC1 ⇒ Janus Investment Fund Flexible Bond Fund CL I (JFLEX)	DC	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DC1 ⇒ JP Morgan Core Bond Fund Select (WOBDX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC1 ⇒ Rose Marie Hospodor IRA Trust	DC	\$100,001 - \$250,000	Excepted/Blind Trust	\$5,001 - \$15,000	<input type="checkbox"/>
DC1 ⇒ Verizon Communications Inc. (VZ)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC1 ⇒ Victory Portfolios Munder Ser Trust Midcap Core Growth Fund CL Y (MGOYX)	DC	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DC1 ⇒ Victory Portfolios Small Co. Oppty FD CL I Shs (VSOIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC1 ⇒ Virtus Emerging Markets Opportunities Fund CL I (HIEMX)	DC	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC1 ⇒ Wells Fargo - Bank Deposit Sweep	DC	\$1 - \$1,000	None		<input type="checkbox"/>
DC1 ⇒ WT Mutual Fund Crm Mid Cap Value Fund Instl (CRIMX)	DC	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ ABERDEEN FDS EMERGING MARKETS FUND INSTITUTIONAL CLASS (ABEMX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DC2 ⇒ American Century Mutual Funds Growth Fund Instl (TWGIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ Dodge & Cox Funds International Stock Fund (DODFX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ Dreyfus Emerging Markets Fund CL I (DRPEX)	DC	None	None		<input checked="" type="checkbox"/>
DC2 ⇒ Eaton Vance Large Cap Value Fund CL I (EILVX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DC2 ⇒ EATON VANCE SER II INCOME FUND BOSTON CL I (EIBIX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ Goldman Sachs Trust Strategic Income Fund Instl (GSZIX)	DC	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ Harbor Fund Capital Appreciation Fund Instl (HACAX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ Harris Assoc. Investment Trust Oakmark Equity & Income	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fund CL I (OAKBX)					
DC2 ⇒ Investment Managers SerTr Oak Ridge Small Cap Growth Fund CL Y (ORIYX)	DC	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ JP Morgan Core Bond Fund Select (WOBDX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ Rose Marie Hospodor IRA Trust	DC	\$100,001 - \$250,000	Excepted/Blind Trust	\$5,001 - \$15,000	<input type="checkbox"/>
DC2 ⇒ Verizon Communications Inc. (VZ)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ Victory Portfolios Munder Ser Trust Midcap Core Growth Fund CL Y (MGOYX)	DC	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ Victory Portfolios Small Co. Oppty FD CL I Shs (VSOIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ Virtus Emerging Markets Opportunities Fund CL I (HIEMX)	DC	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC2 ⇒ Wells Fargo Bank Deposit Sweep	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
DC3 UTMA ⇒ Rose Marie Hospodor IRA Trust	DC	\$250,001 - \$500,000	Excepted/Blind Trust	\$15,001 - \$50,000	<input type="checkbox"/>
Residential Real Estate, M. Pallone Estate (Half Interest)		\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: Long Branch, NJ, US					
WELLS FARGO ADVISORS ⇒ Wells Fargo - Bank Deposit Sweep	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST ⇒ Federated Kaufmann Fund CL A (KAUAX)		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST ⇒ Income Fund of America, Inc. CL A (AMECX)		None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WELLS FARGO ADVISORS: GST TRUST ⇒ Nuveen Municipal Trust High Yield Municipal Bond Fund CL A (NHMAX)		None	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST ⇒ Vanguard Municipal Bond Fund Limited Term Tax-Exempt Portfolio (VMLTX)		None	Capital Gains, Dividends, Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIV STRATEGY PT SER 2014	SP	None	Dividends, Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Unit Investment Trusts - Equity Trusts					
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY SERIES 15-3Q CA	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Unit Investment Trusts - Equity Trusts					
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Altria Group, Inc. (MO)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Chevron Corporation (CVX)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Citigroup, Inc. (C)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Dominion Resources, Inc. (D)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Mondelez International, Inc. - Class A (MDLZ)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Nuveen Municipal Trust High Yield Municipal Bond Fund CL A (NHMAX)	SP	\$15,001 - \$50,000	Dividends, Interest	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Philip Morris International Inc (PM)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ The Kraft Heinz Company (KHC)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Merger - formerly Kraft Foods Group, Inc. (KRFT)					
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Vanguard Municipal Bond Fund Limited Term Tax-Exempt Portfolio (VMLTX)	SP	\$15,001 - \$50,000	Dividends, Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Verizon Communications Inc. (VZ)	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Wells Fargo Bank Deposit Sweep	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Emerging Markets Fund CL S (REMSX)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Global Equity Fund CL S (RGESX)	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell International Developed Markets Fund CL S (RINTX)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Commodity Strategies Fund CL S (RCCSX)	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Global Infrastructure Fund CL S (RGISX)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Global Opportunistic Credit Fund CL S (RGCSX)	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Global Real Estate Sec Fund CL S (RRESX)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Multi-Strategy Alternative Fund CL S (RMSSX)	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co US Strategic Equity Fund CL S (RSESX)	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Strategic Bond Fund CL S (RFCTX)	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell US Small Cap Equity Fund CL S (RLESX)	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

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WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Energy Select Sector SPDR (XLE)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Financial Select Sector SPDR (XLF)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Industrial Select Sector SPDR (XLI)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays 1-3 Year Credit Bond Fund (CSJ)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays 3-7 Year Treasury Bond Fund (IEI)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays 7-10 Year Treasury Bond Fund (IEF)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays Intermediate Credit Bond Fund (CIU)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays MBS Bond Fund (MBB)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P Mid-Cap Fund (IJH)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P Small-Cap 600 Index Fund (IJR)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares IBOXX Investment Grade Corporate Bond Fund (LQD)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares JP Morgan Emerging Markets Bond Fund (EMB)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares MSCI Germany (EWG)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares MSCI Mexico Capped Fund (EWW)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

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WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares S&P North American Technology Networking Index Fund (IGN)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares US Preferred Stock (PFF)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ PowerShares Emerging Markets Sovereign Debt (PCY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Fund Consumer Discretionary (XLY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Fund Health Care Fund (XLV)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Trust Consumer Staples Fund (XLP)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Barclays Capital High Yield Bond Fund (JNK)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Euro STOXX 50 (FEZ)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR S&P 500 Trust (SPY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR S&P Retail Fund (XRT)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Select Sector Fund - Financial (XLF)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE Developed Markets (VEA)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Information Technology Fund (VGT)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Intl Equity Index Fds Emerging Markets (VWO)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

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Vanguard REIT Fund (VNQ)					
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Total Bond Market (BND)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Wells Fargo Bank Sweep Account	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Advisors Inner Circle Fund Cambiar Opportunity Fund Instl (CAMWX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ AIM Investment Sec Funds Invesco Investment Secs Funds Global Real Estate Fund CLY (ARGYX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ American Funds Washington Mututal FD F2 (WMFFX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ AMG Funds Timesquare Mid-Cap Growth Fund Premier (TMDPX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Blair Williams Funds International Growth Fund CL I (BIGIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Delaware Group Equity Funds II - Value Fund Instl (DDVIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Deutsche Secs TR Enhanced Commodity Strat FD INSTL Class (SKIRX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Dreyfus Appreciation Investor Fund, Inc. (DGAGX)	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Eagle Small Cap Growth Fund CL I (HSIIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Estee Lauder Companies, Inc. (EL)	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WELLS FARGO ADVISORS: IRA ⇒ General Electric Company (GE)	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Goldman Sachs Trust Financial Square Treasury Instruments Fund Instl (FTIXX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Guggenheim Fds Tr Mid-Cap Value Ser CL A (SEVAX)	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Hancock John Capital Ser Classic Value Fund CL I (JCVIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Harbor Fund International Fund Instl (HAINX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Hotchkis & Wiley Funds Mid-Cap Value Fund CL I (HWMIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ INVESCO GLOBAL REAL ESTATE Y (ARGYX)	SP	\$1,001 - \$15,000	Excepted/Blind Trust	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Lazard Funds Inc. Emerging Markets Portfolio Instl Shares Fund (LZEMX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Mainstay Large Cap Growth Cap Growth Fund CL I (MLAIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Morgan Stanely Instl Fund Mid-Cap Growth Portfolio Fund CL I (MPEGX)	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Oppenheimer Developing Markets CL Y (ODVYX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ PIMCO Funds Pac Investment Management Ser Commodity Real Return Strat FD Instl (PCRIX)	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Texas Instruments Incorporated (TXN)	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WELLS FARGO ADVISORS: IRA ⇒ Unilever PLC (UL)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Victory Sycamore Small Co Opportunities Fund CL I (VSOIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Formerly Victory Portfolios Small Co Opportunities Fund CL I (VSOIX)					
WELLS FARGO ADVISORS: IRA ⇒ Voya Funds Voya Large CAP Growth Fund Class I (PLCIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Walt Disney Company (DIS)	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Wells Fargo Funds Trust Emerging Growth Fund Instl (WEMIX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: ROTH IRA ⇒ Franklin Cust Dyna Tech CL A (FKDNX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: ROTH IRA ⇒ Franklin CUST DYNA TECH CL A (FKDNX)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: ROTH IRA ⇒ Wells Fargo Advantage Funds Traditional Small Cap Growth CL A (EGWAX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: ROTH IRA ⇒ Wells Fargo Advantage Funds Traditional Small Cap Growth Fund CL A (EGWAX)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Aberdeen FDS Emerging Markets Fund Institutional Class (ABEMX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ American Century Mutual Funds Growth Fund Instl (TWGIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Deutsche SECS TR Enhanced Commodity STRAT FD INSTL Class (SKIRX)	DC	\$1 - \$1,000	None		<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Deutsche SECS TR Global Real Estate SECS FD INSTL	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Class (RRGIX)					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Dodge & Cox Funds International Stock Fund (DODFX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ DREYFUS EMERGING MKTS FUND CL I (DRPEX)	DC	None	None		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Eaton Vance Large Cap Value Fund CL I (EILVX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Eaton Vance SER II Income Fund Boston CL I (EIBIX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Goldman Sachs TR FINL Square Treas INSTRS FD INSTL CL (FTIXX)	DC	\$1 - \$1,000	None		<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Goldman Sachs TR Strategic Income FD CL INSTL SHS (GSZIX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Harbor Fund Capital Appreciation Fund Instl (HACAX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Harris Assoc. Investment Trust Oakmark Equity & Income Fund CL I (OAKBX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Investment Managers Ser Tr Oak Ridge Small Cap Growth Fund CL Y (ORIYX)	DC	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ JANUS INVT FD FLEXIBLE BOND FD CLASS I (JFLEX)	DC	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ JP Morgan Core Bond Fund Select (WOBDX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Nuveen INVT FDS INC Real Estate SECS Fund CL I (FARCX)	DC	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Ridgeworth FDS Mid-Cap Value Equity FD Class I (SMVTX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Verizon Communications Inc. (VZ)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Victory Portfolios Munder Ser Trust Midcap Core Growth Fund CL Y (MGOYX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Victory Sycamore Small CO OPPTY FD CL I (VSOIX)	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Formerly Victory Portfolios Small Co. Oppty FD CL I Shs (VSOIX)					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Virtus Emerging Markets Opportunities FND CL I (HIEMX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Wells Fargo - Bank Deposit Sweep	DC	\$1 - \$1,000	Interest	None	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DC UTMA #2 ⇒ Janus Investment Fund Flexible Bond Fund CL I (JFLEX)	DC	08/13/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
DC UTMA #2 ⇒ WT Mutual Fund CRM Mid Cap Value Fund Instl (CRIMX)	DC	04/14/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
DC1 ⇒ ABERDEEN FDS EMERGING MARKETS FUND INSTITUTIONAL CLASS (ABEMX)	DC	12/11/2015	P	\$1,001 - \$15,000	
DC1 ⇒ DREYFUS EMERGING MKTS FUND CL I (DRPEX)	DC	12/10/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
DC1 ⇒ JANUS INVT FD FLEXIBLE BOND FD CLASS I (JFLEX)	DC	08/13/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DC2 ⇒ ABERDEEN FDS EMERGING MARKETS FUND INSTITUTIONAL CLASS (ABEMX)	DC	12/11/2015	P	\$1,001 - \$15,000	
DC2 ⇒ DREYFUS EMERGING MKTS FUND CL I (DRPEX)	DC	12/10/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST ⇒ FEDERATED KAUFMANN FUND CL A (KAUAX)		02/26/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST ⇒ INCOME FUND OF AMERICA, INC. CL A (AMECX)		02/26/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST ⇒ NUVEEN MUNICIPAL TRUST HIGH YIELD MUNICIPAL BOND FUND CL A (NHMAX)		02/26/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST ⇒ VANGUARD MUNICIPAL BOND FUND LIMITED TERM TAX-EXEMPT PORTFOLIO (VMLTX)		02/27/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY PT SER 2014	SP	09/11/2015	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDENT STRATEGY SERIES 15-3Q CA	SP	09/14/2015	P	\$50,001 - \$100,000	
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Vanguard Municipal Bond Fund Limited Term Tax-Exempt Portfolio (VMLTX)	SP	11/17/2015	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL EMERGING MKTS CL S (REMSX)	SP	03/2/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL EMERGING MKTS CLASS S (REMSX)	SP	04/10/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL GLOBAL EQUITY CLASS S (RGESX)	SP	03/2/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL GLOBAL EQUITY CLASS S (RGESX)	SP	12/22/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INTL DEVELOPD MKTS FD CLASS S (RINTX)	SP	12/22/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO COMMODITY STRATEGIES FD CL S (RCCSX)	SP	03/2/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO GLOBAL REAL ESTATE SECS FD CL S (RRESX)	SP	03/2/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO MULTI-STRATEGY ALTERNATIVE FD CL S (RMSSX)	SP	03/2/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO U S STRATEGIC EQUITY FD CLASS S (RSESX)	SP	03/2/2015	P	\$15,001 - \$50,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO U S STRATEGIC EQUITY FD CLASS S (RSESX)	SP	12/22/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO U S STRATEGICC EQUITY FD CLASS S (RSESX)	SP	01/9/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL STRATEGIC BOND CLASS S (RFCTX)	SP	03/2/2015	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL STRATEGIC BOND CLASS S (RFCTX)	SP	07/10/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL STRATEGIC BOND CLASS S (RFCTX)	SP	10/9/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL STRATEGIC BOND CLASS S (RFCTX)	SP	12/22/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL U S SMALL CAP EQUITY FUND CLASS S (RLESX)	SP	12/22/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares 1-3 Year Credit Bond ETF (CSJ)	SP	01/26/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ ISHARES ET 7-10 YR TREASURY BOND (IEF)	SP	06/2/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	SP	02/26/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	SP	07/28/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares MSCI South Korea Capped Index Fund (EWY)	SP	06/18/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Barclays Intl Treasury Bd (BWX)	SP	06/1/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR BARLCAYS INTERNATIONAL TREASURY BD (BWX)	SP	06/1/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ VANGUARD INTL EQUITY ET INDEX FDS FTSE EMERGING MKTS ETF (VWO)	SP	04/29/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ VANGUARD INTL EQUITY ET INDEX FDS FTSE EMERGING MKTS ETF (VWO)	SP	06/18/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ VANGUARD REIT ET (VNQ)	SP	07/29/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: IRA ⇒ AMERICAN FUNDS WASHINGTON MUTUAL FD F2 (WMFFX)	SP	03/13/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: IRA ⇒ AMERICAN FUNDS WASHINGTON MUTUAL FD F2 (WMFFX)	SP	03/17/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: IRA ⇒ AMG FUNDS TIMESSQUARE MID CAP GROWTH FD PREMIER CLASS (TMDPX)	SP	03/13/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: IRA ⇒ DEUTSCHE SECS TR ENHANCED COMMODITY STRAT FD INSTL CLASS (SKIRX)	SP	12/16/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
WELLS FARGO ADVISORS: IRA ⇒ Dreyfus Appreciation Investor Fund, Inc. (DGAGX)	SP	03/13/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ GOLDMAN SACHS TR FINL SSQUARE TREAS INSTRS FD INSTL CL (FTIXX)	SP	08/14/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: IRA ⇒ Guggenheim Fds Tr Mid-Cap Value Ser CL A (SEVAX)	SP	03/13/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ HOTCHKIS & WILEY FDS MID CAP VALUE FD CL I (HWMIX)	SP	03/13/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: IRA ⇒ INVESCO GLOBAL REAL ESTATE Y (ARGYX)	SP	08/14/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: IRA ⇒ LAZARD FDS INC EMERGING MKTS PORT INSTL SHS (LZEMX)	SP	03/13/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: IRA ⇒ LAZARD FDS INC EMERGING MKTS PORT INSTL SHS (LZEMX)	SP	08/13/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: IRA ⇒ MAINSTAY LARGE CAP GROWTH FUND CLASS I (MLAIX)	SP	12/4/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: IRA ⇒ Morgan Stanley Instl Fund Mid-Cap Growth Portfolio Fund CL I (MPEGX)	SP	03/13/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ OPPENHEIMER DEV MKTS CL Y (ODVYX)	SP	03/13/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: IRA ⇒ PIMCO Funds Pac Investment Management Ser Commodity Real Return Strat FD Instl (PCRIX)	SP	12/15/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ VICTORY SYCAMORE SMALL CO OPPTY FD CL I (VSOIX)	SP	03/13/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: IRA ⇒ VICTORY SYCAMORE SMALL CO OPPTY FD CL I	SP	08/13/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
(VSOIX)					
WELLS FARGO ADVISORS: ROTH IRA ⇒ WELLS FARGO ADVANTAGE FUNDS-TRADITIONAL SMALL CAP GROWTH F-CLASS A (EGWAX)	SP	12/14/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: ROTH IRA ⇒ WELLS FARGO ADVANTAGE FUNDS-TRADITIONAL SMALL CAP GROWTH F-CLASS A (EGWAX)		12/14/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Aberdeen FDS Emerging Markets Fund Institutional Class (ABEMX)	DC	12/11/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ DREYFUS EMERGING MKTS FUND CL I (DRPEX)	DC	12/10/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Janus Investment Fund Flexible Bond Fund CL I (JFLEX)	DC	08/13/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Ridgeworth FDS Mid-Cap Value Equity FD Class I (SMVTX)	DC	04/15/2015	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ WT Mutual Fund CRM Mid Cap Value Fund Instl (CRIMX)	DC	04/14/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Amplify Public Affairs	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Congressional Federal Credit Union	September 2007	Personal Line of Credit	\$15,001 - \$50,000
JT	Citi Mortgage Inc., San Antonio, TX	February 2011	Mortgage on Real Estate, Washington, DC	\$100,001 - \$250,000
JT	CitiBank, St. Louis, MO	February 2008	Home Equity Loan on Real Estate,	\$250,001 -

Owner	Creditor	Date Incurred	Type	Amount of Liability
			Washington, DC	\$500,000
	Wells Fargo Bank, Long Branch, NJ	December 2006	M. Pallone Estate. Mortgage on Real Estate, Long Branch, NJ, held by life estate tenant	\$15,001 - \$50,000
SP	Citi Master Card	December 2015	Revolving Credit	\$10,000 - \$15,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Progressive Congress	02/5/2015	02/7/2015	Washington, DC - Philadelphia, PA - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
The Alliance for Health Reform and The Commonwealth Fund	02/20/2015	02/22/2015	Washington, DC - Houston, TX - Neward, NJ	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"> DC UTMA #2 (Owner: DC) DC1 (Owner: DC) LOCATION: US DC2 (Owner: DC) LOCATION: US DC3 UTMA (Owner: DC) WELLS FARGO ADVISORS (Owner: JT) LOCATION: US

- WELLS FARGO ADVISORS: GST TRUST
- WELLS FARGO ADVISORS: GST TRUST 1 (Owner: SP)
- WELLS FARGO ADVISORS: GST TRUST 3 (Owner: SP)
- WELLS FARGO ADVISORS: GST TRUST 2 (Owner: SP)
- WELLS FARGO ADVISORS: IRA (Owner: SP)
- WELLS FARGO ADVISORS: ROTH IRA
- WELLS FARGO ADVISORS: ROTH IRA (Owner: SP)
- WELLS FARGO ADVISORS: UMTA NJ (3) (Owner: DC)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☒ Yes ☐ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Frank Pallone Jr., 05/16/2016