

# UNITED STATES HOUSE OF REPRESENTATIVES

## CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

**FORM A**  
For use by Members, officers, and employees

Page 1 of 6

**HAND  
DELIVERED**

Dennis Warner Moore  
(Full Name)

(913)383-2013  
(Daytime Telephone)

(Office Use Only)

**Filer Status**  
☒ Member of the U.S. House of Representatives

State: KS  
District: 03

☐ Officer Or Employee

Employing Office:

**Report Type**  
☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

### PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule I.		If yes, complete and attach Schedule VI.	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule II.		If yes, complete and attach Schedule VII.	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule III.		If yes, complete and attach Schedule VIII.	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule IV.		If yes, complete and attach Schedule IX.	
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	
If yes, complete and attach Schedule V.			

### EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<b>Trusts-</b>	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b>	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name Dennis Warner Moore

Page 2 of 6

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
University of Kansas	Spouse Salary	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dennis Warner Moore

Page 3 of 6

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
	American Century: Moriarty Erker and Moore SEP IRA: Prime Money Market	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
	American Funds: Class A Mutual Fund	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	
SP	Commonwealth Annuity: Fidelity VIP Growth	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Commonwealth Annuity: GS VIT Capital Growth	\$15,001 - \$50,000	DIVIDENDS	\$5,001 - \$15,000	
SP	Commonwealth Annuity: GS VIT Equity Growth Index	\$1,001 - \$15,000	DIVIDEND	\$1,001 - \$2,500	
SP	Commonwealth Annuity: GS VIT Growth Opportunities	\$15,001 - \$50,000	DIVIDENDS	\$5,001 - \$15,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dennis Warner Moore

Page 4 of 6

SP	ING JOCO "Start" Retirement Plan: DWVS Equity 500 Index Fund - S	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000
	ING JOCO "Start" Retirement Plan: Growth Fund of America - R4	\$15,001 - \$50,000	DIVIDENDS	\$5,001 - \$15,000
	ING JOCO "Start" Retirement Plan: Stable Value Option	\$1,001 - \$15,000	INTEREST	\$1 - \$200
	ING JOCO "Start" Retirement Plan: Wagner Select	\$15,001 - \$50,000	DIVIDENDS	\$5,001 - \$15,000
	Invesco Aim	\$1,001 - \$15,000	DIVIDEND	\$201 - \$1,000
	Midwest Perinatal Associates PA: 401K US Bank Stable Asset Fund	\$15,001 - \$50,000	DIVIDEND	\$201 - \$1,000
	Securities America IRA: American Capital World Bond Class F1	\$50,001 - \$100,000	DIVIDENDS	\$5,001 - \$15,000
	Securities America IRA: American Europacific Growth Class F1	\$15,001 - \$50,000	DIVIDENDS	\$5,001 - \$15,000
	Securities America IRA: American High-Income Class F1	\$15,001 - \$50,000	DIVIDENDS	\$5,001 - \$15,000
	Securities America IRA: American AMCAP Fund Class F1	\$15,001 - \$50,000	DIVIDENDS	\$5,001 - \$15,000
	Securities America IRA: American Capital World Growth & INC F1	\$15,001 - \$50,000	DIVIDENDS	\$5,001 - \$15,000
	Securities America IRA: American Income Fund of America Class F1	\$15,001 - \$50,000	DIVIDENDS	\$5,001 - \$15,000

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dennis Warner Moore

Page 5 of 6

Securities America IRA: American New Perspective Class F1	\$15,001 - \$50,000	DIVIDENDS	\$5,001 - \$15,000	
Securities America IRA: Reserve Primary Fund Class A	\$1,001-\$15,000	NONE	NONE	S(part)
Securities America IRA: Vanguard Money Market Prime Portfolio	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Securities America IRA: Washington Mutual Investors Class F1	\$50,001 - \$100,000	DIVIDENDS	\$5,001 - \$15,000	
Securities America IRA: Bank Deposit Sweep Program FDIC E	\$15,001 - \$50,000	NONE	NONE	P
Securities America NFS/FMTC ROTH IRA	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
Sunoco, Inc: Stock	\$1,001 - \$15,000	NONE	NONE	
United States Army Association (USAA): Subscribers Savings Account	\$1,001 - \$15,000	NONE	NONE	

# SCHEDULE IV - TRANSACTIONS

Name Dennis Warner Moore

Page 6 of 6

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Securities America IRA: Bank Deposit Sweep Program FDIC E	P	N/A	03-16-09 04-10-09 10-02-09	\$1,001 - \$15,000
	Securities America IRA: Reserve Primary Fund Class R	S(part)	No	03-16-09 04-10-09 10-02-09	\$1,001 - \$15,000