



Filing ID #10003884

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Scott A. Smith
Status: Congressional Candidate
State/District: NY18

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2014
Filing Date: 10/20/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
AXA - Tax sheltered annuity - Public School ⇒ AXA Glb Eqty Managed Vol		\$1 - \$1,000	None		
AXA - Tax sheltered annuity - Public School ⇒ AXA Lg Cap Grw Managed Vol		\$1,001 - \$15,000	None		
AXA - Tax sheltered annuity - Public School ⇒ AXA Lg Cap Val Managed Vol		\$1,001 - \$15,000	None		
AXA - Tax sheltered annuity - Public School ⇒ AXA Mid Cap Val Managed Vol		\$1 - \$1,000	None		
AXA - Tax sheltered annuity - Public School ⇒ Charter Small Cap Growth		\$1 - \$1,000	None		
AXA - Tax sheltered annuity - Public School ⇒ EQ/MFS International Growth		\$1 - \$1,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
AXA - Tax sheltered annuity - Public School ⇒ Guaranteed Interest Account		\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
Hudson Valley Federal Credit Union		\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
Progenics Pharmaceuticals Inc. (PGNX)		\$1,001 - \$15,000	None		
Progenics Pharmaceuticals Inc. (PGNX)		\$1,001 - \$15,000	None		
Rollover IRA ⇒ Progenics Pharmaceuticals Inc. (PGNX)		\$1,001 - \$15,000	None		
Roth IRA 1 ⇒ JDS Uniphase Corporation (JDSU)		\$1 - \$1,000	None		
Roth IRA 2 ⇒ SHFVX	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Roth IRA 2 ⇒ SHRAX	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Roth IRA 2 ⇒ SOPAX	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
TD Bank		\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
East Ramapo Central School District	Teaching Salary	\$114,460	N/A
Orange AHRC	Spouse Salary	N/A	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Alderman, 2nd Ward	City Council, City of Middletown, NY

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- AXA - Tax sheltered annuity - Public School
- Rollover IRA
- Roth IRA 1
- Roth IRA 2 (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Scott A. Smith , 10/20/2014