

FEB 18 2014

**UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT**

FORM B

For use by candidates and new employees

Period covered: January 1, 2012 - Dec. 31, 2013

LEGISLATIVE RESOURCE CENTER
2014 MAR -4 PM 1:22
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Name: William John Hughes Jr. Daytime Telephone: _____

(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Candidate for the House of Representatives	State: <u>NEW JERSEY</u> District: <u>02</u>	Date of Election: <u>JUNE 3, 2014</u>	Check if Amendment <input type="checkbox"/>	A \$200 penalty shall be assessed against any individual who files more than 30 days late.
	<input type="checkbox"/> New officer or employee	Employing Office: _____			

In all sections, please type or print clearly in blue or black ink.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Name William John Hughes, Jr. Page 2 of 11

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

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Name William J. Hughes Jr. Page 3 of 11

For additional assets and unearned income, use next page.

Continuation Sheet (if needed)

William J. Hughes Jr.

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Continuation Sheet (if needed)

William J. Hughes Jr.

Page 2

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Name	William J. Hughes, Jr.	Page	6	of	11
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Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

Use additional sheets if more space is required.

SCHEDULE V – AGREEMENTS

Name

William J. Hughes Jr.

Page 2 of 11

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
2/7/2004	Corporation	Shareholder Agreement

SCHEDULE VI – COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule I.

Source (Name and Address)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting services
Deerfoot Desmond, Dublin, Ireland	Legal Services
Frank Donio, Inc. Hometown, NY	Legal Services
Inspira Health Network, Woodbury, NY	Legal Services
Tecnotiv, Corp., Niagara Falls, NY	Legal Services
Westdale Construction, Toronto, Canada	
3 Liberty Street, Cherry Hill, NJ	Court Appointed Trustee
HDS Funding, LLC	Legal Services

SCHEDULE V – AGREEMENTS

Name William J. Hughes Jr.

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Source (Name and Address)	Brief Description of Duties
<i>Example:</i> Doe Jones & Smith, Hometown, Homestate	Accounting services
York Pro. LLC, NY, NY	Legal Services
MD SASS Investors Service, NY, NY	Legal Services
Robert Andrews, Haddon Heights, NJ	Legal Services
Atlantic City Bd of Ed., Atlantic City, NJ	Legal Services
Atlantic City Limco, Atlantic City, NJ	Legal Services
Ken Ricketts, Monroeville, NJ	Legal Services
D.C. Am? Seaford, Wenden Harbor, ME	Legal Services

SCHEDULE V – AGREEMENTS

Name

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Source (Name and Address)	Brief Description of Duties
<i>Example:</i> Doe Jones & Smith, Hometown, Homestate	Accounting services
Brian Douglas, Wilmington, DE	Legal Services
Flagship Resorts, Atlantic City, NJ	Legal Services
Ronald Graham, Bordentown, NJ	Legal Services
Peter Kragg, NY, NY	Legal Services
Don Kelly, Linwood, NJ	Legal Services
Harvey Kline, Ocean City, NJ	Legal Services
Medical Imaging of Teaneck, Teaneck, NJ	Legal Services

SCHEDULE V -- AGREEMENTS

Name

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Source (Name and Address)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting services
NJ Solen Grid Supply Ass'n, Trenton, NJ	Legal Services
James Mello, Vineland, NJ	Legal Services
Pondue, Inc., Milford, DE	Legal Services
Sempres, LLC, Minnetonka, MN	Legal Services
Thomas Reeves, Port Norris, NJ	Legal Services
Michael Rutaceo, Toms River, NJ	Legal Services
David Sharma, Buena Boro, NJ	Legal Services

SCHEDULE V – AGREEMENTS

Name

William J. Hughes Jr.

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Source (Name and Address)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting services
Asbury Park Board of Ed, Asbury Park, NJ	
Joseph Simoes, Newark, NJ	
Robert Wainwright, Hamilton, NJ	
Attentive, Pomona, NJ	
Certain Confidential Clients are	Pursuant to N.J. R.P.C 33.1.6(a), 1.9(c),
Not Reported	Fed R. Crim. P. 6(e) and related state counterparts.

Attachment 1

ID Ameritrade Institutional TD Ameritrade Inc., Member FINRA/SIPC/NFA PO BOX 2209 OMAHA, NE 68103-2209 Customer Service: 800-431-3500	Tax Information WILLIAM J HUGHES JR & STEPHANIE E WOODHOUSE JT TEN [REDACTED]	Statement Date: 02/21/2013 CORRECTED Document ID: [REDACTED] BYRNE ASSET MANAGEMENT, LLC
PAYER'S Federal ID No: 47-0533629		2012

Dividends and Distributions
2012 1099-DIV*
 OMB No. 1545-0110

- 1a- Total ordinary dividends (includes line 1b) 3,738.21 C
 1b- Qualified dividends 3,655.36 C
 2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 10.19
 2b- Uncaptured section 1250 gain 0.00
 2c- Section 1202 gain 0.00
 2d- Collectibles (28%) gain 0.00
 3- Nondividend distributions 32.29 C
 4- **Federal income tax withheld** 0.00
 5- Investment expenses 0.00
 6- Foreign tax paid 72.06
 7- Foreign country or US possession Various
 8- Cash liquidation distributions 0.00
 9- Noncash liquidation distributions 0.00
 10- Exempt-interest dividends (includes line 11) 0.00
 11- Specified private activity bond interest dividends (AMT) 0.00
 12- State: 13- State ID number:
 14- State tax withheld 0.00

Regulated Futures Contracts
2012 1099-B*
 OMB No. 1545-0715

- 9- Profit (loss) realized in 2012-closed contracts 0.00
 10- Unrealized profit (loss)-open contracts 12/31/2011 0.00
 11- Unrealized profit (loss)-open contracts 12/31/2012 0.00
 12- Aggregate profit (loss) on contracts 0.00

Interest Income
2012 1099-INT*
 OMB No. 1545-0112

- 1- Interest income (not included in line 3) 1.89
 2- Early withdrawal penalty 0.00
 3- Interest on US Savings Bonds & Treasury obligations 0.00
 4- **Federal income tax withheld** 0.00
 5- Investment expenses 0.00
 6- Foreign tax paid 0.00
 7- Foreign country or US possession 0.00
 8- Tax-exempt interest (includes line 9) 0.00
 9- Specified private activity bond interest (AMT)
 10- Tax-exempt bond CUSIP number (see instructions)
 11- State: 12- State ID number:
 13- State tax withheld 0.00

Lines 8 & 9 include tax-exempt interest and original issue discount. Exempt-interest dividends from mutual funds now appear on the 1099-DIV.

Miscellaneous Income
2012 1099-MISC*
 OMB No. 1545-0115

- 2- Royalties 0.00
 3- Other income 0.00
 4- **Federal income tax withheld** 0.00
 8- Substitute payments in lieu of dividends or interest 0.00
 16- State tax withheld 0.00
 17- State: Payer's state ID number:
 18- State income 0.00

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SUMMARY OF GROSS PROCEEDS AND ORIGINAL ISSUE DISCOUNT

Do not report the informational totals shown below on your tax return. Use details from the Forms 1099-B and 1099-OID on the following pages to determine reportable amounts.

Gross Proceeds Summary

Gross proceeds less commissions [REDACTED]
 Federal income tax withheld 0.00

Original Issue Discount Summary

Original issue discount for 2012 0.00
 Other periodic interest 0.00
 Federal income tax withheld 0.00
 Original issue Discount on US Treasury Obligations 0.00
 Investment expenses 0.00

Changes to dividend tax classifications processed after the date of this statement may lead to a revised form 1099.

TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions

2012 1099-B*

1c - SHORT-TERM TRANSACTIONS

6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

2a - Proceeds of*
stocks, bonds, etc.1b - Date of
acquisition3 - Cost or
other basis

Gain or loss

Additional information

Notes

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of* stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
AGCO CP COM / CUSIP: 001084102 / Symbol: AGCO	50.000	2,422.46	10/27/11	2,291.32	131.14	Sale	
CATERPILLAR INC COM / CUSIP: 149123101 / Symbol: CAT	50.000	4,379.41	10/24/11	4,595.26	-215.85	Sale	
CITIGROUP INC COM / CUSIP: 172967424 / Symbol: C	50.000	1,569.47	12/05/11	1,479.80	89.67	Sale	
COLUMBUS MCKINNON CORP COM / CUSIP: 199333105 / Symbol: CMCO	150.000	2,265.66	07/23/12	2,115.05	150.61	Sale	
COMMERCIAL VEHICLE GROUP INC COM / CUSIP: 202608105 / Symbol: CVGI	200.000	1,968.76	03/12/12	2,329.21	-360.45	Sale	
COOPER TIRE & RUBBER CO COM / CUSIP: 216831107 / Symbol: CTB	100.000	1,933.45	07/18/12	1,742.06	191.39	Sale	
DECKERS OUTDOOR COM / CUSIP: 243537107 / Symbol: DECK	50.000	2,694.06	04/18/12	3,348.90	-654.84	Sale	
FSI INTERNATIONAL INC CASH MRG \$6.20/SH 10/12/12 / CUSIP: 302633102 / Symbol:	500.000	3,100.00	03/27/12	2,474.99	625.01	Merger	
GOODYEAR TIRE & RUBBER CO COM / CUSIP: 382550101 / Symbol: GT	225.000	2,498.70	10/12/11	2,822.35	-323.65	Sale	
GREEN MTN COFFEE ROASTERS INC COM / CUSIP: 393122106 / Symbol: GMCR	50.000	2,483.56	01/17/12	2,481.74	1.82	Sale	
HAWAIIAN HOLDINGS INC COM / CUSIP: 419879101 / Symbol: HA	300.000	1,687.97	11/30/11	1,686.17	1.80	Sale	
HUNTSMAN GROUP COM / CUSIP: 447011107 / Symbol: HUN	100.000	1,443.97	05/29/12	1,320.01	123.96	Sale	
	100.000	1,422.98	05/29/12	1,320.00	102.98	Sale	
Security total:		2,866.95		2,640.01	226.94		

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**For NONCOVERED lots, values for Date of acquisition, Cost or other basis and Wash sale loss disallowed are provided for your reference and are NOT reported to the IRS.

Less commissions.

TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions

2012 1099-B*

(continued)

1c - SHORT-TERM TRANSACTIONS

6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I with Box A checked

8 - Description / CUSIP / 1d - Symbol	1e - Quantity	2a - Proceeds of or exchange stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
These columns are not reported to the IRS							
KRONOS WORLDWIDE INC COM / CUSIP: 50105F105 / Symbol: KRO	125.000	2,724.94	01/10/12	2,915.44	-190.50	Sale	
MURPHY OIL CORP COM / CUSIP: 626717102 / Symbol: MUR	50.000	2,834.96	11/15/11	2,780.44	54.52	Sale	
NAVISTAR INTL CORP COM / CUSIP: 63934E108 / Symbol: NAV	100.000	2,081.96	01/03/12	3,861.17	-1,779.21	Sale	
PRUDENTIAL FINANCIAL INC COM / CUSIP: 744320102 / Symbol: PRU	50.000	2,272.45	08/10/11	2,571.49	-299.04	Sale	
TESORO CORPORATION COM / CUSIP: 881609101 / Symbol: TSO	125.000	2,731.19	08/08/11	2,578.74	152.45	Sale	
THERMO FISHER SCIENTIFIC COM / CUSIP: 883556102 / Symbol: TMO	50.000	2,904.44	08/28/12	2,824.49	79.95	Sale	
VALERO ENERGY CORP NEW COM / CUSIP: 91913Y100 / Symbol: VLO	100.000	1,951.97	VARIOUS	1,942.67	9.30	Sale	
01/05/12	100.000	2,137.96	09/27/11	1,988.74	149.22	Sale	
05/31/12	Security total:	4,089.93		3,931.41	158.52		
VERTEX PHARMACEUTICALS INC COM / CUSIP: 92532F100 / Symbol: VRTX	25.000	1,243.73	01/31/12	930.20	313.53	Sale	
05/29/12	25.000	1,074.55	01/31/12	930.19	144.36	Sale	
11/02/12	50.000	1,949.46	01/31/12	1,860.39	89.07	Sale	
12/05/12	Security total:	4,267.74		3,720.78	546.96		
DEUTSCHE BANK AG ADR / CUSIP: D18190898 / Symbol: DB	75.000	3,132.44	12/02/11	3,050.49	81.95	Sale	
11/09/12	50.000	1,248.35	02/06/12	1,311.36	-63.01	Sale	
04/05/12	5-Wash Sale Loss Disallowed:	63.01					
05/31/12	40.000	927.65	02/06/12	1,049.08	-121.43	Sale	

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Less commissions.

Proceeds from Broker and Barter Exchange Transactions

2012 1099-B*

(continued)

1c - SHORT-TERM TRANSACTIONS**6 - COVERED tax lot for which cost basis is reported to the IRS****

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol**1a - Date of Sale or exchange****1e - Quantity****2a - Proceeds of \$
stocks, bonds, etc.****1b - Date of acquisition****3 - Cost or other basis****Gain or loss****Additional Information****Notes**

These columns are not reported to the IRS

Security total:

5-Wash Sale Loss Disallowed:

63.01

2,360.44

-184.44

VELTI PLC ST HELIER JERSEY ORD / CUSIP: G93285107 / Symbol: VELT

05/16/12
07/10/12100,000
100,000660.23
601.2405/02/12
05/02/121,238.63
1,238.62-558.40
-637.38Sale
Sale

Security total:

1,281.47

2,477.25

-1,195.78

SHORT-TERM TRANSACTIONS**6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS****

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol**1a - Date of Sale or exchange****1e - Quantity****2a - Proceeds of \$
stocks, bonds, etc.****Date of acquisition****Cost or other basis****Gain or loss****Additional Information****Notes**

These columns are not reported to the IRS

THE BLACKSTONE GROUP LP COM / CUSIP: 09253U108 / Symbol: BX

05/17/12

200,000

2,292.35

N/A

...

...

Sale

CEDAR FAIR L P DEPOSITRY UNIT / CUSIP: 150185106 / Symbol: FUN

07/30/12

100,000

3,198.68

N/A

...

...

Sale

1c - LONG-TERM TRANSACTIONS**6 - COVERED tax lot for which cost basis is reported to the IRS****

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol**1a - Date of Sale or exchange****1e - Quantity****2a - Proceeds of \$
stocks, bonds, etc.****1b - Date of acquisition****3 - Cost or other basis****Gain or loss****Additional Information****Notes**

These columns are not reported to the IRS

ARCHER DANIELS MIDLAND CO COM / CUSIP: 039483102 / Symbol: ADM

10/24/12

125,000

3,382.02

10/12/11

3,326.24

55.78

Sale

TELLAR CORP COM / CUSIP: 87970T208 / Symbol: WRLS

05/04/12

125,000

1,028.73

03/29/11

854.87

173.86

Sale

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TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions

2012 1099-B*

(continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale 1e - Quantity 2a - Proceeds of # stocks, bonds, etc.

1a - Date of Sale	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
TELLUAR CORP COM / CUSIP: 879701208 / Symbol: WRLS (cont)		2,624.45	03/29/11	1,880.72	743.73	Sale	
08/20/12	275,000	3,653.18		2,735.59	917.59		
Security total:							

These columns are not reported to the IRS

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale 1e - Quantity 2a - Proceeds of # stocks, bonds, etc.

1a - Date of Sale	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
AMAZON.COM INC COM / CUSIP: 023135106 / Symbol: AMZN		1,729.27	N/A	Sale	
01/13/12	10,000	859.39	N/A	Sale	
02/01/12	5,000	937.12	N/A	Sale	
04/10/12	5,000	3,525.78		0.00	0.00		
Security total:							

These columns are not reported to the IRS

APPLE INC COM / CUSIP: 037833100 / Symbol: AAPL

04/16/12	5,000	2,971.74	N/A	Sale	
07/26/12	2,000	1,141.21	N/A	Sale	
08/20/12	1,000	640.00	N/A	Sale	
09/17/12	1,000	689.32	N/A	Sale	
09/24/12	2,000	1,361.85	N/A	Sale	
10/02/12	2,000	1,319.98	N/A	Sale	
10/05/12	2,000	1,296.77	N/A	Sale	
10/08/12	3,000	1,921.63	N/A	Sale	
10/09/12	2,000	1,267.26	N/A	Sale	
11/09/12	2,000	1,059.74	N/A	Sale	
12/06/12	2,000	1,046.32	N/A	Sale	
Security total:		14,715.82		0.00	0.00		

BAIDU INC ADR / CUSIP: 056752108 / Symbol: BIDU

11/01/12	25,000	2,645.70	N/A	Sale	
05/18/12	50,000	1,233.08	N/A	Sale	

CIRRUS LOGIC INC COM / CUSIP: 172755100 / Symbol: CRUS

05/18/12	50,000	1,233.08	N/A	Sale	
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**For NONCOVERED lots, values for Date of acquisition, Cost or other basis and Wash sale loss disallowed are provided for your reference and are NOT reported to the IRS.

Less commissions.

TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions

2012 1099-B*

(continued)

LONG-TERM TRANSACTIONS

6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol	1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of* stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS		Additional Information	Notes
					Cost or other basis	Gain or loss		
CIRIUS LOGIC INC COM / CUSIP: 172755100 / Symbol: CRUS (cont'd)	11/13/12	50.000	1,520.97	N/A	...	0.00	Sale	
Security total:			2,754.05		0.00	0.00		
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOG	04/16/12	2.000	1,217.96	N/A	Sale	
HEARTLAND PAYMENT SYSTEMS INC COM / CUSIP: 42235N108 / Symbol: HPY	08/21/12	150.000	4,699.90	N/A	Sale	
HESS CORPORATION COM / CUSIP: 42809H107 / Symbol: HES	05/08/12	50.000	2,485.45	N/A	Sale	
ISHARES NASDAQ BIOTECHNOLOGY INDEX FUN / CUSIP: 464287556 / Symbol: IB8	01/09/12	20.000	2,158.36	N/A	Sale	
	04/16/12	12.000	1,400.33	N/A	Sale	
Security total:			3,558.69		0.00	0.00		
KKR & COMPANY LP COM / CUSIP: 48248M102 / Symbol: KKR	05/02/12	100.000	1,384.17	N/A	Sale	
NOVAGOLD RESOURCES INC COM / CUSIP: 66987E206 / Symbol: NG	05/02/12	300.000	1,934.56	N/A	Sale	
PAYCHEX INC COM / CUSIP: 704326107 / Symbol: PAYX	06/28/12	75.000	2,299.95	N/A	Sale	
VANGUARD MSCI US MID CAP 450 INDEX / CUSIP: 922908629 / Symbol: VO	10/10/12	50.000	4,046.90	N/A	Sale	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax returns.

**For NONCOVERED lots, values for Date of acquisition, Cost or other basis and Wash sale loss disallowed are provided for your reference and are NOT reported to the IRS.

Less commissions.

2012 1099-B*

Proceeds from Broker and Barter Exchange Transactions

(continued)

UNDETERMINED TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long term or short term. Report on Form 8949, either Part I or Part II as appropriate, with Box B checked.

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of* stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional information	Notes
SPDR GOLD TR GOLD SHS ETF / CUSIP: 78463V107 / Symbol: GLD							
01/11/12	0.000	1.30	N/A	Principal payment Cost Basis Factor = 0.000328284	16
02/14/12	0.000	1.26	N/A	Principal payment Cost Basis Factor = 0.000300711	16
03/13/12	0.000	1.29	N/A	Principal payment Cost Basis Factor = 0.000315215	16
04/10/12	0.000	1.41	N/A	Principal payment Cost Basis Factor = 0.000352592	16
05/16/12	0.000	1.49	N/A	Principal payment Cost Basis Factor = 0.000395985	16
06/12/12	0.000	1.27	N/A	Principal payment Cost Basis Factor = 0.000325133	16
07/06/12	0.000	1.28	N/A	Principal payment Cost Basis Factor = 0.000331733	16
08/10/12	0.000	1.29	N/A	Principal payment Cost Basis Factor = 0.000328543	16
09/12/12	0.000	1.32	N/A	Principal payment Cost Basis Factor = 0.000313489	16
10/08/12	0.000	1.46	N/A	Principal payment Cost Basis Factor = 0.000340007	16
11/19/12	0.000	1.35	N/A	Principal payment Cost Basis Factor = 0.000321853	16
12/11/12	0.000	1.28	N/A	Principal payment Cost Basis Factor = 0.000308018	16
Security total:		16.00		0.00	0.00		

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax returns.

**For NONCOVERED lots, values for Date of acquisition, Cost or other basis and Wash sale loss disallowed are provided for your reference and are NOT reported to the IRS.

Less commissions.

Detail for Dividends and Distributions

2012

Security Description	CUSIP and/or Symbol	State	Date	Amount	Transaction Type	Country	Notes		
AGRIUM INC COM	008916108 AGU		01/19/12	5.63	Qualified dividend	CA			
			01/19/12	-0.84	Foreign tax withheld				
			07/12/12	12.50	Qualified dividend				
			07/12/12	-1.88	Foreign tax withheld				
Dividends and Distributions:				18.13					
Foreign tax withheld:				-2.72					
AMERICAN EXPRESS CO CM	025816109 AXP		02/10/12	12.60	Qualified dividend				
			05/10/12	14.00	Qualified dividend				
			08/10/12	14.00	Qualified dividend				
			11/09/12	14.00	Qualified dividend				
Dividends and Distributions:				54.60					
APPLE INC COM	037833100 AAPL		08/16/12	66.25	Qualified dividend				
			11/15/12	31.80	Qualified dividend				
			Dividends and Distributions:		98.05				
ARCHER DANIELS MIDLAND CO COM	039483102 ADM		03/08/12	21.88	Qualified dividend				
			06/07/12	21.88	Qualified dividend				
			09/06/12	21.88	Qualified dividend				
			Dividends and Distributions:		65.64				
BARRICK GOLD CORP COM	067901108 ABX		12/17/12	15.00	Qualified dividend	CA			
			12/17/12	-2.25	Foreign tax withheld				
			Dividends and Distributions:		15.00				
			Foreign tax withheld:		-2.25				
CATERPILLAR INC COM	149123101 CAT		02/18/12	23.00	Qualified dividend				
			05/19/12	23.00	Qualified dividend				
			Dividends and Distributions:		46.00				
CHEVRON CORP COM	166764100 CVX		03/12/12	40.50	Qualified dividend				
			06/11/12	45.00	Qualified dividend				
			09/10/12	45.00	Qualified dividend				
			12/10/12	45.00	Qualified dividend				
Dividends and Distributions:				175.50					

TD AMERITRADE CLEARING INC

Detail for Dividends and Distributions

2012

(continued)

Security Description	CUSIP and/or Symbol	State	Date	Amount	Transaction Type	Country	Notes
CISCO SYSTEMS INC COM	17275R102 CSCO		01/25/12 04/25/12 07/25/12 10/24/12 12/19/12	9.00 12.00 12.00 21.00 21.00	Qualified dividend Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
				75.00			
CITIGROUP INC COM	172967424 C		02/24/12 05/25/12 08/24/12 11/21/12	1.00 1.00 0.50 0.50	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
				3.00			
CONSOLIDATED EDISON CO N Y INC COM	209115104 ED		03/15/12 06/15/12 09/15/12 12/15/12	30.25 30.25 30.25 30.25	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
				121.00			
COOPER TIRE & RUBBER CO COM	216831107 CTB		09/28/12 12/31/12	18.38 7.88	Qualified dividend Qualified dividend		
				26.26			
D R HORTON INC COM	23331A109 DHI		08/24/12 12/17/12 12/21/12	5.63 5.63 22.50	Qualified dividend Qualified dividend Qualified dividend		
				33.76			
WALT DISNEY CO COM	254687106 DIS		01/18/12 12/28/12	75.00 93.75	Qualified dividend Qualified dividend		
				168.75			
DUKE ENERGY HOLDINGS CORP 1:3 R/S 7/3/12 26441C204	26441C105		03/16/12 06/18/12	37.50 37.50	Qualified dividend Qualified dividend		
				75.00			

TD AMERITRADE CLEARING INC

Detail for Dividends and Distributions

2012

(continued)

Security Description	CUSIP and/or Symbol	State	Date	Amount	Transaction Type	Country	Notes
DUKE ENERGY HOLDINGS CORP COM	26441C204	DUK	09/17/12 12/17/12	38.25 38.25	Qualified dividend Qualified dividend		
Dividends and Distributions:							
GENERAL ELECTRIC CO COM	369604103	GE	01/25/12 04/25/12 07/25/12 10/25/12	68.00 68.00 68.00 68.00	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
Dividends and Distributions:							
GROUP 1 AUTOMOTIVE INC. COM	398905109	GPI	09/18/12 12/17/12	11.25 11.25	Qualified dividend Qualified dividend		
Dividends and Distributions:							
HEARTLAND PAYMENT SYSTEMS INC COM	42235N108	HPY	03/15/12 06/15/12	9.00 9.00	Qualified dividend Qualified dividend		
Dividends and Distributions:							
HESS CORPORATION COM	42809H107	HES	01/03/12 03/30/12	5.00 5.00	Qualified dividend Qualified dividend		
Dividends and Distributions:							
HUNTSMAN GROUP COM	447011107	HUN	06/29/12 09/28/12	20.00 20.00	Qualified dividend Qualified dividend		
Dividends and Distributions:							
INTEL CORP COM	458140100	INTC	03/01/12 06/01/12 09/01/12 12/01/12	25.20 25.20 27.00 27.00	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
Dividends and Distributions:							
INTL BUSINESS MACHINES COM	459200101	IBM	03/10/12 06/09/12 09/10/12 12/10/12	18.75 21.25 21.25 21.25	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
Dividends and Distributions:							
				82.50			

Detail for Dividends and Distributions

2012

(continued)

Security Description	CUSIP and/or Symbol	State	Date	Amount	Transaction Type	Country	Notes
IShares	464287556	IBB	03/29/12	1.50	Qualified dividend		03
NASDAQ BIOTECHNOLOGY INDEX FUN			06/28/12	8.01	Qualified dividend		03
			09/28/12	14.61	Qualified dividend		03
			12/26/12	4.43	Qualified dividend		03
Dividends and Distributions:				28.55			
ISHares	464288182	AAJ	06/28/12	19.74	Qualified dividend		03
MSCI ALL CNT ASIA EX JPN			06/28/12	10.58	Nonqualified dividend		03
			06/28/12	-4.91	Foreign tax withheld		03
			12/28/12	16.09	Qualified dividend		03
			12/28/12	14.05	Nonqualified dividend		03
			12/28/12	-3.09	Foreign tax withheld		03
Dividends and Distributions:				60.46			
Foreign tax withheld:				-8.00			
JP MORGAN CHASE & CO	46625H100	JPM	07/31/12	22.50	Qualified dividend		
COM			10/31/12	22.50	Qualified dividend		
Dividends and Distributions:				45.00			
KRONOS WORLDWIDE INC	50105F105	KRO	03/22/12	18.75	Qualified dividend		
COM							
MASTERCARD INC	57636Q104	MA	02/09/12	1.50	Qualified dividend		
COM			05/09/12	3.00	Qualified dividend		
			08/09/12	3.00	Qualified dividend		
			11/09/12	3.00	Qualified dividend		
Dividends and Distributions:				10.50			
MATTHEWS FDS	577130107	MAPTX	12/13/12	93.04	Qualified dividend		03
PACIFIC TIGER FD			12/13/12	1.52	Nonqualified dividend		03
			12/13/12	10.19	Long-term capital gain		
			12/13/12	-16.84	Foreign tax withheld		
Dividends and Distributions:				104.75			
Foreign tax withheld:				-16.84			
MICROSOFT CORP	594918104	MSFT	09/13/12	20.00	Qualified dividend		
COM			12/13/12	23.00	Qualified dividend		
Dividends and Distributions:				43.00			

TD AMERITRADE CLEARING INC

Detail for Dividends and Distributions

2012

(continued)

Security Description	CUSIP and/or Symbol	State	Date	Amount	Transaction Type	Country	Notes
MURPHY OIL CORP COM	626717102 MUR		03/01/12	13.75	Qualified dividend		
NORFOLK SOUTHERN CORP COM	655844108 NSC		06/11/12 09/10/12 12/10/12	23.50 25.00 25.00	Qualified dividend Qualified dividend Qualified dividend		
Dividends and Distributions:							
ORACLE CORP COM	68389X105 ORCL		02/01/12 05/02/12 08/03/12 11/02/12 12/21/12	7.50 7.50 7.50 7.50 22.50	Qualified dividend Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
Dividends and Distributions:							
PAYCHEX INC COM	704326107 PAYX		02/15/12 05/15/12 08/15/12 11/15/12 12/28/12	48.00 48.00 24.00 24.75 49.50	Qualified dividend Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
Dividends and Distributions:							
SINCLAIR BROADCAST GROUP CL A	829226109 SBGI		09/14/12 09/14/12 12/14/12 12/14/12	33.77 3.73 258.94 28.56	Qualified dividend Nondividend distribution Qualified dividend Nondividend distribution		03 03 03 03
Dividends and Distributions:							
SOUTHERN CO COM	842587107 SO		03/06/12 06/06/12 09/06/12 12/06/12	47.25 49.00 49.00 49.00	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
Dividends and Distributions:							
TELULAR CORP COM	87970T208 WRLS		02/21/12 05/22/12 08/21/12	44.00 30.25 30.25	Qualified dividend Qualified dividend Qualified dividend		
Dividends and Distributions:							
				104.50			

Detail for Dividends and Distributions

2012

(continued)

Security Description	CUSIP and/or Symbol	State	Date	Amount	Transaction Type	Country	Notes
TEVA PHARMACEUTICAL INDS LTD ADR	881624209 TEVA		03/13/12	19.72	Qualified dividend	IS	
			03/13/12	-4.93	Foreign tax withheld	IS	
			06/04/12	19.60	Qualified dividend	IS	
			06/04/12	-4.90	Foreign tax withheld	IS	
			09/04/12	18.60	Qualified dividend	IS	
			09/04/12	-2.98	Foreign tax withheld	IS	
			12/03/12	19.14	Qualified dividend	IS	
			12/03/12	-3.96	Foreign tax withheld	IS	
Dividends and Distributions:				77.06			
Foreign tax withheld:				-16.77			
THERMO FISHER SCIENTIFIC COM	883556102 TMO		10/15/12	6.50	Qualified dividend		
3M CO COM	88579Y101 MMM		03/12/12	23.60	Qualified dividend		
			06/12/12	23.60	Qualified dividend		
			09/12/12	23.60	Qualified dividend		
			12/12/12	23.60	Qualified dividend		
Dividends and Distributions:				94.40			
VALERO ENERGY CORP NEW COM	91913Y100 VLO		03/14/12	15.00	Qualified dividend		
			06/20/12	15.00	Qualified dividend		
Dividends and Distributions:				30.00			
VANGUARD MSCI US MID CAP 450 INDEX	922908629 VO		03/28/12	0.71	Qualified dividend		03
			03/28/12	0.09	Nonqualified dividend		03
			12/31/12	51.24	Qualified dividend		03
			12/31/12	6.46	Nonqualified dividend		03
Dividends and Distributions:				58.50			
VIACOM INC CL B	92553P201 VIAB		07/02/12	25.00	Qualified dividend		
			04/02/12	25.00	Qualified dividend		
			07/02/12	27.50	Qualified dividend		
			10/01/12	27.50	Qualified dividend		
			12/31/12	27.50	Qualified dividend		
Dividends and Distributions:				132.50			

Detail for Dividends and Distributions

2012

(continued)

Security Description	CUSIP and/or Symbol	State	Date	Amount	Transaction Type	Country	Notes
WELLPOINT INC COM	94973V107 WLP		03/23/12	28.75	Qualified dividend		
			06/25/12	28.75	Qualified dividend		
			09/25/12	28.75	Qualified dividend		
			12/21/12	28.75	Qualified dividend		
Dividends and Distributions:				115.00			
WISDOMTREE EMERG MKTS EQUITY INCOME FUND	97717W315 DEM		06/29/12	24.01	Qualified dividend		03
			06/29/12	20.78	Nonqualified dividend		03
			06/29/12	-2.98	Foreign tax withheld	Various	03
			09/28/12	26.99	Qualified dividend		03
			09/28/12	23.35	Nonqualified dividend		03
			09/28/12	-3.35	Foreign tax withheld	Various	03
			12/31/12	6.95	Qualified dividend		03
			12/31/12	6.02	Nonqualified dividend		03
			12/31/12	-0.86	Foreign tax withheld	Various	03
Dividends and Distributions:				108.10			
Foreign tax withheld:				-7.19			
DEUTSCHE BANK AG ADR	D18190898 DB		06/01/12	69.33	Qualified dividend	GM	
			06/01/12	-18.29	Foreign tax withheld		
Dividends and Distributions:				69.33			
Foreign tax withheld:				-18.29			
SEAGATE TECHNOLOGY ORD	G7945M107 STX		03/01/12	37.50	Qualified dividend		
			05/17/12	43.75	Qualified dividend		
			08/29/12	43.20	Qualified dividend		
			11/29/12	43.20	Qualified dividend		
			12/28/12	51.30	Qualified dividend		
Dividends and Distributions:				218.95			
Total Dividends and Distributions:				3,780.69			
Total Foreign tax withheld:				-72.06			

Detail for Interest Income

Security Description	CUSIP and/or Symbol	Date	Amount	Transaction Type	Country	Notes
FDIC INSURED DEPOSIT ACCOUNT	9ZZZFD989 MMDA12	01/31/12	0.15	Interest- money mkt		
IDA12 NOT COVERED BY SIPC		02/29/12	0.08	Interest- money mkt		
		03/31/12	0.09	Interest- money mkt		
		04/30/12	0.11	Interest- money mkt		
		05/31/12	0.18	Interest- money mkt		
		06/30/12	0.22	Interest- money mkt		
		07/31/12	0.21	Interest- money mkt		
		08/31/12	0.14	Interest- money mkt		
		09/30/12	0.11	Interest- money mkt		
		10/31/12	0.14	Interest- money mkt		
		11/30/12	0.23	Interest- money mkt		
		12/31/12	0.23	Interest- money mkt		
Interest Income:			1.89			
Total Interest Income:			1.89			

TD AMERITRADE CLEARING INC

Other Receipts

2012

Security Description	CUSIP and/or Symbol	Date	Amount	Transaction Type	Notes
THE BLACKSTONE GROUP LP COM	09253U108 BX	03/30/12 05/31/12	44.00 20.00	Partnership distribution Partnership distribution	
	Other Receipts:		64.00		
CEDAR FAIR L P DEPOSITRY UNIT	150185106 FUN	03/15/12 06/15/12	40.00 40.00	Partnership distribution Partnership distribution	
	Other Receipts:		80.00		
KKR & COMPANY LP COM	48248M102 KKR	03/06/12	32.00	Partnership distribution	
	Total Other Receipts:		176.00		

The tax character of the partnership distribution payments will be reported separately on a K-1 statement from the partnership.

Expenses

2012

Security Description	CUSIP and/or Symbol	Date	Amount	Transaction Type	Notes
SPDR GOLD TR GOLD SHS ETF	78463V107 GLD Note: CL	01/11/12	-1.30	Gross proceeds investment expense	15
		02/14/12	-1.26	Gross proceeds investment expense	15
		03/13/12	-1.29	Gross proceeds investment expense	15
		04/10/12	-1.41	Gross proceeds investment expense	15
		05/16/12	-1.49	Gross proceeds investment expense	15
		06/12/12	-1.27	Gross proceeds investment expense	15
		07/06/12	-1.28	Gross proceeds investment expense	15
		08/10/12	-1.29	Gross proceeds investment expense	15
		09/12/12	-1.32	Gross proceeds investment expense	15
		10/08/12	-1.46	Gross proceeds investment expense	15
		11/19/12	-1.35	Gross proceeds investment expense	15
		12/11/12	-1.28	Gross proceeds investment expense	15
Security Total:			-16.00		
Total Expenses:			-16.00		

TD AMERITRADE CLEARING INC

Opening Transactions

2012

Security Description	CUSIP and/or Symbol	Opening Date	Quantity	Amount	Transaction Type	Notes
ALASKA AIR GROUP INC COM	011659109 ALK	08/06/12	75.000	-2,606.75	Purchase	
APPLE INC COM	037833100 AAPL	04/27/12	2.000	-1,213.99	Purchase	
BMC SOFTWARE INC COM	055921100 BMC	06/06/12	75.000	-3,243.09	Purchase	
BARRICK GOLD CORP COM	067901108 ABX	09/24/12	75.000	-3,170.48	Purchase	
CEDAR FAIR L P DEPOSITORY UNIT	150186106 FUN	01/17/12	100.000	-2,344.99	Purchase	
CIRRUS LOGIC INC COM	172755100 CRUS	11/19/12	50.000	-1,459.99	Purchase	
COLUMBUS MCKINNON CORP COM	199333105 CMCO	07/23/12	150.000	-2,115.05	Purchase	
COMMERCIAL VEHICLE GROUP INC COM	202608105 CVGI	03/12/12	200.000	-2,329.21	Purchase	
COOPER TIRE & RUBBER CO COM	216831107 CTB	07/18/12	175.000	-3,048.60	Purchase	
D R HORTON INC COM	23331A109 DHI	06/18/12	150.000	-2,490.72	Purchase	
DECKERS OUTDOOR COM	243537107 DECK	04/18/12	50.000	-3,348.90	Purchase	
FSI INTERNATIONAL INC CASH MRG \$6.20/SH 10/12/12	302633102	03/27/12	500.000	-2,474.99	Purchase	

TD AMERITRADE CLEARING INC

Opening Transactions

(continued)

2012

Security Description	CUSIP and/or Symbol	Opening Date	Quantity	Amount	Transaction Type	Notes
GOOGLE INC CL A	38259P508 GOOG	10/02/12	2.000	-1,521.17	Purchase	
GREEN MTN COFFEE ROASTERS INC COM	393122106 GMCR	01/17/12	50.000	-2,481.74	Purchase	
GROUP 1 AUTOMOTIVE INC. COM	398905109 GPI	08/27/12	75.000	-4,000.98	Purchase	
HELIX ENERGY SOLUTIONS GROUP COM	42330P107 HLX	08/08/12	150.000	-2,739.99	Purchase	
HOVANIAN ENTERPRISES INC CLASS A NEW	442487203 HOV	01/10/12	800.000	-1,663.43	Purchase	
HUNTSMAN GROUP COM	447011107 HUN	05/29/12	200.000	-2,640.01	Purchase	
JP MORGAN CHASE & CO COM	46625H100 JPM	05/16/12	75.000	-2,767.07	Purchase	
KRONOS WORLDWIDE INC COM	50105F105 KRO	01/10/12	125.000	-2,915.44	Purchase	
LIONS GATE ENT CORP COM	535919203 LGF	11/23/12	200.000	-3,251.63	Purchase	
MASTEC INC COM	576323109 MTZ	10/02/12	200.000	-4,055.99	Purchase	
MICROSOFT CORP COM	594918104 MSFT	07/20/12 11/29/12	100.000 50.000	-3,089.99 -1,365.29	Purchase Purchase	
Security Total:				-4,455.28		
NAVISTAR INTL CORP COM	63934E108 NAV	01/03/12	100.000	-3,861.17	Purchase	

TD AMERITRADE CLEARING INC

Opening Transactions

2012

(continued)

Security Description	CUSIP and/or Symbol	Opening Date	Quantity	Amount	Transaction Type	Notes
NORFOLK SOUTHERN CORP COM	655844108 NSC	04/30/12	50.000	-3,669.29	Purchase	
OWENS ILL INC COM NEW	690768403 OI	10/16/12	175.000	-3,602.78	Purchase	
PRICELINE.COM INC COM	741503403 PCLN	04/02/12	4.000	-2,911.23	Purchase	
REGENERON PHARMACEUTICALS INC COM	75886F107 REGN	12/12/12	10.000	-1,829.99	Purchase	
SINCLAIR BROADCAST GROUP CL A	829226109 SBGI	08/03/12	250.000	-2,933.92	Purchase	
TEXAS CAPITAL BANKSHARES INC COM	88224Q107 TCBI	06/26/12	75.000	-3,064.61	Purchase	
THERMO FISHER SCIENTIFIC COM	883556102 TMO	08/26/12	50.000	-2,824.49	Purchase	
UNITED THERAPEUTICS CORP COM	91307C102 UTHR	08/06/12	50.000	-2,752.42	Purchase	
VERTEX PHARMACEUTICALS INC COM	92532F100 VRTX	01/31/12	100.000	-3,720.78	Purchase	
WISDOMTREE EMERG MKTS EQUITY INCOME FUND	97717W315 DEM	04/02/12	60.000	-3,497.18	Purchase	
SEAGATE TECHNOLOGY ORD	G7945M107 STX	02/06/12	150.000	-3,934.07	Purchase	
		04/19/12	75.000	-2,180.95	Purchase	
	Security Total:			-6,115.02		
VELTI PLC ST HELIER JERSEY ORD	G93286107 VELT	05/02/12	200.000	-2,477.25	Purchase	
	Total Opening Transactions:			-105,599.62		

Long Investments Security Name Symbol	Shares or Units	Mkt. Value
3M COMPANY (MMM)	40	\$3,714.00
AGCO CORP (AGCO)	25	\$1,228.00
AGRUM INC (AGU)	25	\$2,496.79
ALASKA AIR GRP INC (ALK)	75	\$3,231.75
AMAZON COM INC (AMZN)	10	\$2,508.70
AMERICAN EXPRESS CO (AXP)	70	\$4,009.60
APPLE COMPUTER INC (AAPL)	8	\$4,257.38
BARRICK GOLD CORP (ABX)	75	\$2,625.75
BMC SOFTWARE INC (BMC)	75	\$2,971.50
CELGENE CORP (CELG)	50	\$3,923.50
CHEVRON CORP (CVX)	50	\$5,407.00
CIRRUS LOGIC INC (CRUS)	50	\$1,448.50
CISCO SYSTEMS INC (CSCO)	150	\$2,947.41
CITIGROUP INC (C)	50	\$1,978.00
CONS EDISON INC (ED)	50	\$2,777.00
COOPER TIRE & RUBR CO (CTB)	75	\$1,902.00
D R HORTON INC (DHI)	150	\$2,967.00
DUKE ENERGY CORP (DUK)	50	\$3,190.00
GENERAL ELECTRIC CO (GE)	400	\$8,396.00
GOOGLE INC (GOOG)	5	\$3,536.90
GROUP 1 AUTO INC (GP)	75	\$4,649.25
HELIX ENERGY SOLUTIONS GRP (HLX)	150	\$3,086.00
HOVANIAN ENTPR INC CL A (HOV)	800	\$5,600.00
INTEL CORP (INTC)	120	\$2,474.40
INTERNATIONAL BUSINESS MACH (IBM)	25	\$4,788.75
ISHARES MSCI ALL COUNTRY AS (AAXJ)	50	\$3,028.00
ISHARES NASDAQ BIOTECHNOLOG (IBB)	43	\$5,900.46
JP MORGAN CHASE & CO (JPM)	75	\$3,275.18
LIONS GATE ENTERTAINMENT CO (LGT)	200	\$3,280.00
MASTEC INC (MTZ)	200	\$4,986.00
MASTERCARD INC (MA)	10	\$4,912.80
MATTHEWS PACIFIC TIGER FD I (MAPTX)	497.76	\$12,155.30
MICROSOFT CORP (MSFT)	150	\$4,006.45
NORFOLK SOUTHERN CORP (NSC)	50	\$3,092.00
NOVACOPER INC (NCO)	50	\$80.50
ORACLE CORP (ORCL)	125	\$4,165.00
OWENS ILL INC (OI)	175	\$3,722.25
PAYCHEX INC (PAYX)	75	\$2,332.50
PRICELINE COM INC (PCLN)	4	\$2,461.56
REGENERON PHARMS INC (REGN)	10	\$1,710.70
SEAGATE TECHNOLOGY (STX)	135	\$4,106.70
SINCLAIR BROADCAST GRP INC (SBGI)	250	\$3,155.00
SOUTHERN COMPANY (SO)	100	\$4,281.00
SPDR GOLD TRUST (GLD)	25	\$4,050.50
TEVA PHARMACEUTICAL INDUSTR (TEVA)	75	\$2,800.50
TEXAS CAP BANCSHARES (TCBI)	75	\$3,361.50
UNITED THERAPEUTICS CORP (UTHR)	50	\$2,671.00
VANGUARD MID-CAP VIPERS (VO)	50	\$4,122.00
VACOM INC NEW CL B (VAB)	100	\$5,274.00
WALT DISNEY CO (DIS)	125	\$6,223.75
WELLPOINT INC (WLP)	100	\$6,092.00
WISDOMTREE EMERGING MARKETS (DEM)	60	\$3,431.40

Attachment 2

TD Ameritrade Institutional	Tax Information [REDACTED]	Statement Date: 02/11/2014 [REDACTED] 2013
TD Ameritrade Inc., Member FINRA/SIPC/NFA PO BOX 2209 OMAHA, NE 68103-2209 Customer Service: 800-431-3500	WILLIAM J HUGHES JR & STEPHANIE E WOODHOUSE JT TEN [REDACTED]	BYRNE ASSET MANAGEMENT, LLC

Dividends and Distributions
2013 1099-DIV*
 OMB No. 1545-0110

1a- Total ordinary dividends (includes line 1b) 3,057.65

1b- Qualified dividends 3,013.85

2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 47.29

2b- Unrecaptured Section 1250 gain 0.00

2c- Section 1202 gain 0.00

2d- Collectibles (28%) gain 0.00

3- Nondividend distributions 0.00

4- **Federal income tax withheld** 0.00

5- Investment expenses 0.00

7- Foreign country or US possession: Various 6- Foreign tax paid: 42.94

8- Cash liquidation distributions 0.00

9- Noncash liquidation distributions 0.00

10- Exempt-interest dividends (includes line 11) 0.00

11- Specified private activity bond interest dividends (AMT) 0.00

12- State: 13- State ID number: 0.00

14- State tax withheld 0.00

Regulated Futures Contracts
2013 1099-B*
 OMB No. 1545-0715

9- Profit (loss) realized in 2013-closed contracts 0.00

10- Unrealized profit (loss)-open contracts 12/31/2012 0.00

11- Unrealized profit (loss)-open contracts 12/31/2013 0.00

12- Aggregate profit (loss) on contracts 0.00

If applicable, summaries and details of proceeds from sale transactions appear in subsequent sections of this document.

Interest Income
2013 1099-INT*
 OMB No. 1545-0112

1- Interest income (not included in line 3) 2.51

2- Early withdrawal penalty 0.00

3- Interest on US Savings Bonds & Treasury obligations 0.00

4- **Federal income tax withheld** 0.00

5- Investment expenses 0.00

7- Foreign country or US possession: 6- Foreign tax paid: 0.00

8- Tax-exempt interest (includes line 9) 0.00

9- Specified private activity bond interest (AMT) 0.00

10- Tax-exempt bond CUSIP number (see instructions) 0.00

11- State: 12- State ID number: 0.00

13- State tax withheld 0.00

Lines 8 & 9 include tax-exempt interest and original issue discount.

Miscellaneous Income
2013 1099-MISC*
 OMB No. 1545-0115

2- Royalties 0.00

3- Other income 0.00

4- **Federal income tax withheld** 0.00

8- Substitute payments in lieu of dividends or interest 0.00

12- Foreign country or US possession: 11- Foreign tax paid: 0.00

16- State tax withheld 0.00

17- State: Payer's state ID number: 0.00

18- State income 0.00

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ORIGINAL ISSUE DISCOUNT SUMMARY

Use bond-by-bond details from the 1099-OID pages to determine reportable amounts of Original Issue Discount income for your tax return(s). The informational totals shown below appear for reference only.

Original issue discount for 2013	0.00	Original issue discount on US Treasury obligations	0.00
Other periodic interest	0.00	Investment expenses	0.00
Early withdrawal penalty	0.00	State ID number:	
Federal income tax withheld	0.00	State tax withheld	0.00
Foreign country or US possession:		Foreign tax paid:	

Changes to dividend tax classifications processed after your original tax form is issued for 2013 may require an amended form 1099.

TD AMERITRADE CLEARING INC

2013 1099-B*

Proceeds from Broker and Barter Exchange Transactions

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of* stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
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These columns are not reported to the IRS

AFLAC INC CM / CUSIP: 001055102 / Symbol: AFL

04/05/13

50,000

2,517.95

01/02/13

2,724.99

...

-207.04

Sale

AECOM TECHNOLOGY CORP COM / CUSIP: 00766T100 / Symbol: ACM

08/06/13

25,000

784.29

04/22/13

712.20

...

72.09

Sale

11/29/13

75,000

2,172.62

04/22/13

2,136.61

...

36.01

Sale

Security total:

2,956.91

2,848.81

0.00

108.10

ALASKA AIR GROUP INC COM / CUSIP: 011659109 / Symbol: ALK

05/29/13

75,000

4,180.18

08/06/12

2,606.75

...

1,573.43

Sale

ALCOA INC COM / CUSIP: 013817101 / Symbol: AA

11/08/13

100,000

874.09

06/25/13

789.33

...

84.76

Sale

AVIS BUDGET GROUP INC COM / CUSIP: 053774105 / Symbol: CAR

06/21/13

150,000

4,282.71

01/07/13

3,218.48

...

1,064.23

Sale

BMC SOFTWARE INC CSH MGR \$46.25/SH 9/11/13 / CUSIP: 055921100 / Symbol:

04/18/13

75,000

3,253.93

06/06/12

3,243.09

...

10.84

Sale

BARRICK GOLD CORP COM / CUSIP: 067901108 / Symbol: ABX

01/25/13

25,000

814.99

09/24/12

1,056.83

...

-241.84

Sale

03/25/13

50,000

1,441.17

09/24/12

2,113.65

...

-672.48

Sale

08/07/13

100,000

1,547.07

07/22/13

1,761.62

...

-214.55

Sale

09/13/13

100,000

1,743.97

07/22/13

1,761.61

...

-17.64

Sale

Security total:

5,547.20

6,693.71

0.00

-1,146.51

Sale

CIRRUS LOGIC INC COM / CUSIP: 172755100 / Symbol: CRUS

04/01/13

50,000

1,090.48

11/19/12

1,459.99

...

-369.51

Sale

COMMUNITY HEALTH SYSTEMS COM / CUSIP: 203668108 / Symbol: CYH

12/17/13

100,000

3,822.84

04/02/13

4,822.99

...

-1,000.15

Sale

COOPER TIRE & RUBBER CO COM / CUSIP: 216831107 / Symbol: CTB

01/11/13

75,000

1,906.97

07/18/12

1,306.54

...

600.43

Sale

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.

Less commissions.

TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B*

(continued)

1c - SHORT-TERM TRANSACTIONS

6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol	1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of* stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	These columns are not reported to the IRS	Gain or loss(-)	Additional information
DIRECTV COM / CUSIP: 25490A309 / Symbol: DTV									
08/01/13		50,000	3,016.01	03/05/13	2,461.01	...		555.00	Sale
08/21/13		50,000	2,883.26	03/05/13	2,461.00	...		422.26	Sale
Security total:			5,899.27		4,922.01	0.00		977.26	
GROUP 1 AUTOMOTIVE INC. COM / CUSIP: 398905109 / Symbol: GPF									
04/19/13		75,000	4,159.91	08/27/12	4,000.98	...		158.93	Sale
HAWAIIAN HOLDINGS INC COM / CUSIP: 419879101 / Symbol: HA									
04/02/13		150,000	816.49	01/07/13	1,085.23	...		-268.74	Sale
HELIUM ENERGY SOLUTIONS GROUP COM / CUSIP: 42330P107 / Symbol: HLX									
04/17/13		150,000	3,138.84	08/08/12	2,739.99	...		398.85	Sale
HEWLETT PACKARD CO COM / CUSIP: 428236103 / Symbol: HPQ									
08/22/13		50,000	1,144.90	03/06/13	1,045.20	...		99.70	Sale
08/27/13		100,000	2,199.97	03/06/13	2,090.40	...		109.57	Sale
Security total:			3,344.87		3,135.60	0.00		209.27	
ISHARES MSCI JAPAN ETF / CUSIP: 464286848 / Symbol: EMJ									
08/19/13		150,000	1,694.97	02/22/13	1,514.99	...		179.98	Sale
ISHARES CHINA LARGE-CAP ETF / CUSIP: 464287184 / Symbol: FXI									
03/25/13		50,000	1,851.95	01/14/13	2,068.39	...		-216.44	Sale
06/18/13		50,000	1,720.98	01/14/13	2,068.38	...		-347.40	Sale
Security total:			3,572.93		4,136.77	0.00		-563.84	
LINCOLN NATL CORP COM / CUSIP: 534187109 / Symbol: LNC									
08/27/13		125,000	5,311.17	04/02/13	4,079.83	...		1,231.34	Sale
LIONS GATE ENT CORP COM / CUSIP: 535919203 / Symbol: LGF									
09/06/13		50,000	1,827.47	11/23/12	812.91	...		1,014.56	Sale
09/20/13		50,000	1,690.77	11/23/12	812.91	...		877.86	Sale
Security total:			3,518.24		1,625.82	0.00		1,892.42	
MASTEC INC COM / CUSIP: 576323109 / Symbol: MTZ									
04/17/13		100,000	2,735.93	10/02/12	2,028.00	...		707.93	Sale

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.

Less commissions.

TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B*

(continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol	1e - Quantity	2a - Proceeds of* stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	These columns are not reported to the IRS Gain or loss(-) Additional information
OWENS ILL INC COM NEW / CUSIP: 690768403 / Symbol: OI	75.000	2,271.26	10/16/12	1,544.05	...	727.21 Sale
PENFORD CORPORATION COM / CUSIP: 707051108 / Symbol: PENX	125.000	1,400.41	02/04/13	1,065.79	...	334.62 Sale
PETROLEO BRASILEIRO SA ADR / CUSIP: 71654V408 / Symbol: PBR	100.000	1,426.81	09/04/13	1,374.18	...	52.63 Sale
PRICELINE.COM INC COM / CUSIP: 741503403 / Symbol: PCLN	2.000	1,369.31	04/02/12	1,455.62	...	-86.31 Sale
PROSHARES ULTRASHORT S&P 500 / CUSIP: 74347B300 / Symbol: SDS	50.000	1,750.02	10/07/13	1,832.50	...	-82.48 Sale
10/17/13	50.000	1,713.92	10/07/13	1,832.49	...	-118.57 Sale
Security total:		3,463.94		3,664.99	0.00	-201.05
REGENERON PHARMACEUTICALS INC COM / CUSIP: 75886F107 / Symbol: REGN	2.000	563.79	12/12/12	366.00	...	217.79 Sale
09/23/13						
SINCLAIR BROADCAST GROUP CL A / CUSIP: 829226109 / Symbol: SBGI	100.000	2,500.96	08/03/12	1,160.65	...	1,340.31 Sale
04/15/13						
TESORO CORPORATION COM / CUSIP: 881609101 / Symbol: TSO	25.000	1,191.73	02/08/13	1,340.58	...	-148.85 Sale
04/17/13						
06/20/13	25.000	1,370.76	02/08/13	1,340.57	...	30.19 Sale
07/10/13	25.000	1,239.30	02/08/13	1,340.58	...	-101.28 Sale
Security total:		3,801.79		4,021.73	0.00	-219.94
TETRA TECH INC DEL COM / CUSIP: 88162F105 / Symbol: TTT	200.000	1,947.45	04/09/13	1,917.70	...	29.75 Sale
08/06/13						
09/20/13	200.000	2,445.98	04/09/13	1,917.69	...	528.29 Sale
Security total:		4,393.43		3,835.39	0.00	558.04
UNI-PIXEL INC COM / CUSIP: 904572203 / Symbol: UNXL	30.000	922.59	04/29/13	1,138.59	...	-216.00 Sale
05/17/13						
05/21/13	45.000	1,089.94	04/29/13	1,707.89	...	-617.95 Sale
Security total:		2,012.53		2,846.48	0.00	-833.95

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.

Less commissions.

TD AMERITRADE CLEARING INC.

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B*

(continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol	9 - Quantity	10 - Proceeds of stocks, bonds, etc.	11 - Date of acquisition	12 - Cost or other basis	13 - Wash sale loss disallowed	14 - Gain or loss(-)	15 - Additional information
These columns are not reported to the IRS							
UNITED RENTALS CV COM / CUSIP: 911363109 / Symbol: URI	30,000	1,608.25	07/22/13	1,706.11	...	-97.86	Sale
WEB.COM GROUP INC COM / CUSIP: 94733A104 / Symbol: WWWV	50,000	1,397.29	09/03/13	1,439.28	...	-41.99	Sale
11/05/13	50,000	1,313.84	09/03/13	1,439.28	...	-125.44	Sale
11/08/13	50,000	1,289.98	09/03/13	1,439.28	...	-149.30	Sale
Security total:		4,001.11		4,317.84	0.00	-316.73	
WISDOMTREE EMERG MKTS EQUITY INCOME FUND / CUSIP: 97717W315 / Symbol: DEM	50,000	2,727.51	04/02/12	2,914.32	...	-186.81	Sale
03/28/13	50,000	963.33	01/07/13	1,149.60	...	-186.27	Sale
08/21/13	20,000	3,690.84		4,063.92	0.00	-373.08	
Security total:							
XEROX CORP COM / CUSIP: 984121103 / Symbol: XRX	200,000	1,949.97	07/08/13	1,891.96	...	58.01	Sale
08/01/13	200,000	2,019.97	07/08/13	1,891.95	...	128.02	Sale
11/04/13	Security total:	3,969.94		3,783.91	0.00	186.03	
JAZZ PHARMACEUTICALS INC COM / CUSIP: G50871105 / Symbol: JAZZ	25,000	2,098.07	03/15/13	1,479.33	...	618.74	Sale
10/08/13							
SEAGATE TECHNOLOGY ORD / CUSIP: G7945M107 / Symbol: STX	35,000	1,215.68	02/06/12	917.95	...	297.73	Sale
07/29/13							

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.

Less commissions.

TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B*

(continued)

SHORT-TERM TRANSACTIONS

6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol		These columns are not reported to the IRS			
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed
THE BLACKSTONE GROUP LP COM / CUSIP: 09253U108 / Symbol: BX					
12/31/13	50,000	1,564.48	N/A
KRR & COMPANY LP COM / CUSIP: 48248M102 / Symbol: KRR					
08/15/13	100,000	1,954.98	N/A
				0.00	0.00
					0.00

1c - LONG-TERM TRANSACTIONS

6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

8 - Description / CUSIP / 1d - Symbol		These columns are not reported to the IRS			
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed
AGCO CP COM / CUSIP: 001084102 / Symbol: AGCO					
03/21/13	25,000	1,235.75	10/27/11	1,145.66	...
					90.09
CELGENE CORP COM / CUSIP: 151020104 / Symbol: CELG					
08/07/13	10,000	1,398.98	10/11/11	665.74	...
					733.24
CISCO SYSTEMS INC COM / CUSIP: 17275R102 / Symbol: CSCO					
08/15/13	75,000	1,823.20	06/15/11	1,118.00	...
12/13/13	75,000	1,507.05	06/15/11	1,117.99	...
	Security total:	3,330.25		2,235.99	0.00
					705.20
CONSOLIDATED EDISON CO N Y INC COM / CUSIP: 209115104 / Symbol: ED					
02/11/13	50,000	2,817.94	08/08/11	2,634.12	...
					183.82
D R HORTON INC COM / CUSIP: 23331A109 / Symbol: DHI					
06/24/13	150,000	3,063.45	06/18/12	2,490.72	...
					572.73
WALT DISNEY CO COM / CUSIP: 254687106 / Symbol: DIS					
04/01/13	50,000	2,834.44	08/23/11	1,591.42	...
					1,243.02
DUKE ENERGY HOLDINGS CORP COM / CUSIP: 26441C204 / Symbol: DUK					
08/19/13	50,000	3,337.45	08/08/11	2,679.99	...
					657.46

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.

Less commissions.

TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B*

(continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box D checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
-------------------------------	---------------	--------------------------------------	--------------------------	-------------------------	-------------------------------	-----------------	------------------------

GENERAL ELECTRIC CO COM / CUSIP: 369604103 / Symbol: GE

03/18/13

100.000

2,309.51

08/08/11

1,567.96

...

741.55 Sale

10/18/13

100.000

2,498.96

08/08/11

1,567.95

...

931.01 Sale

Security total:

4,808.47

3,135.91

0.00

1,672.56

HOVANIAN ENTERPRISES INC CLASS A NEW / CUSIP: 442487203 / Symbol: HOV

02/07/13

200.000

1,017.98

01/10/12

415.86

...

602.12 Sale

04/18/13

200.000

952.56

01/10/12

415.86

...

536.70 Sale

11/11/13

400.000

1,942.37

01/10/12

831.71

...

1,110.66 Sale

Security total:

3,912.91

1,663.43

0.00

2,249.48

LIONS GATE ENT CORP COM / CUSIP: 535919203 / Symbol: LGF

11/25/13

100.000

3,095.63

11/23/12

1,625.81

...

1,469.82 Sale

SINCLAIR BROADCAST GROUP CL A / CUSIP: 829226109 / Symbol: SBGI

08/07/13

150.000

4,035.43

08/03/12

1,740.98

...

2,294.45 Sale

SOUTHERN CO COM / CUSIP: 842587107 / Symbol: SO

10/03/13

100.000

4,058.93

08/17/11

4,086.96

...

-28.03 Sale

TEVA PHARMACEUTICAL INDS LTD ADR / CUSIP: 881624209 / Symbol: TEVA

04/01/13

75.000

2,959.19

04/21/11

3,445.65

...

-486.46 Sale

3M CO COM / CUSIP: 88579Y101 / Symbol: MMM

02/04/13

40.000

4,018.75

07/01/11

3,809.99

...

208.76 Sale

UNITED THERAPEUTICS CORP COM / CUSIP: 91307C102 / Symbol: UTHR

08/07/13

30.000

2,198.27

08/06/12

1,651.45

...

546.82 Sale

WELLPPOINT INC COM / CUSIP: 94973V107 / Symbol: WLP

10/04/13

30.000

2,620.96

03/03/11

2,024.10

...

596.86 Sale

WISDOMTREE EMERG MKTS EQUITY INCOME FUND / CUSIP: 97717W315 / Symbol: DEM

08/21/13

10.000

481.66

04/02/12

582.86

...

-101.20 Sale

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.

Less commissions.

TD AMERITRADE CLEARING INC.

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B*

(continued)

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS			Additional Information
				Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	
AGRIUM INC COM / CUSIP: 008916108 / Symbol: AGU	25,000	2,096.85	N/A	Sale
AMAZON.COM INC COM / CUSIP: 023135106 / Symbol: AMZN	2,000	515.93	N/A	Sale
01/07/13	2,000	555.80	N/A	Sale
01/28/13	2,000	1,071.73	N/A	0.00	0.00	0.00	Sale
Security total:							
AMERICAN EXPRESS CO CM / CUSIP: 025816109 / Symbol: AXP	25,000	1,891.47	N/A	Sale
09/27/13	25,000	1,891.47	N/A	Sale
ISHARES NASDAQ BIOTECHNOLOGY ETF / CUSIP: 464287556 / Symbol: IBB	8,000	1,417.98	N/A	Sale
05/23/13	8,000	1,940.67	N/A	Sale
08/07/13	10,000	3,358.65	N/A	0.00	0.00	0.00	Sale
Security total:							
ISHARES MSCI ALL CNT ASIA EX JPN ETF / CUSIP: 464288182 / Symbol: AAXJ	50,000	3,005.93	N/A	Sale
01/28/13	50,000	3,005.93	N/A	Sale
MATTHEWS FDS PACIFIC TIGER FD / CUSIP: 577130107 / Symbol: MAPTX	199,203	5,000.00	N/A	Sale
04/01/13	199,203	5,000.00	N/A	Sale
SPDR GOLD TR GOLD SHS ETF / CUSIP: 78463V107 / Symbol: GLD / Note: CL	10,000	1,519.97	N/A	Sale
04/02/13	10,000	1,998.17	N/A	Sale
06/17/13	15,000	3,518.14	N/A	0.00	0.00	0.00	Sale
Security total:							
VANGUARD CRSP US MID CAP INDEX / CUSIP: 922908629 / Symbol: VO	50,000	5,202.41	N/A	Sale
09/20/13	50,000	5,202.41	N/A	Sale

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.

Less commissions.

TD AMERITRADE CLEARING INC

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B*

(continued)

UNDETERMINED-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term. Report on Form 8949. Use either Part I with Box B checked or Part II with Box E checked.

8 - Description / CUSIP / 1d - Symbol**1a - Date of Sale or exchange****1e - Quantity****2a - Proceeds of stocks, bonds, etc.****Date of acquisition****Cost or other basis****Wash sale loss disallowed****Gain or loss(-)****Additional Information**

These columns are not reported to the IRS

SPDR GOLD TR GOLD SHS ETF / CUSIP: 78463V107 / Symbol: GLD / Note: CL	01/07/13	0.000	1.31	N/A	Principal payment Note: 16 Cost Basis Factor: 0.000329643
	02/21/13	0.000	1.36	N/A	Principal payment Note: 16 Cost Basis Factor: 0.000355489
	03/12/13	0.000	1.32	N/A	Principal payment Note: 16 Cost Basis Factor: 0.000341809
	04/09/13	0.000	0.81	N/A	Principal payment Note: 16 Cost Basis Factor: 0.000353666
	05/10/13	0.000	0.84	N/A	Principal payment Note: 16 Cost Basis Factor: 0.000403935
Security total:			5.64		0.00	0.00	0.00	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.

Less commissions.

TD AMERITRADE CLEARING INC

Detail for Dividends and Distributions

2013

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Country	Notes
AFLAC INC CM	001055102 AFL		03/01/13 06/03/13 09/03/13 12/02/13	35.00 17.50 17.50 18.50	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
AGCO CP COM	001084102 AGCO		03/15/13	2.50	Qualified dividend		
AGRIUM INC COM	008916108 AGU		01/17/13 01/17/13 04/18/13 04/18/13 07/18/13 07/18/13	12.50 -1.88 12.50 -3.13 12.50 -3.13	Qualified dividend Foreign tax withheld Qualified dividend Foreign tax withheld Qualified dividend Foreign tax withheld	CA CA CA	
	Dividends and Distributions: Foreign tax withheld:			37.50 -8.14			
ALCOA INC COM	013817101 AA		08/26/13 11/25/13	9.00 9.00	Qualified dividend Qualified dividend		
	Dividends and Distributions:			18.00			
AMERICAN EXPRESS CO CM	025816109 AXP		02/08/13 05/10/13 08/09/13 11/08/13	14.00 14.00 16.10 10.35	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
	Dividends and Distributions:			54.45			
AMERIPRISE FINL ICN COM	03076C106 AMP		08/16/13 11/22/13	39.00 39.00	Qualified dividend Qualified dividend		
	Dividends and Distributions:			78.00			
APPLE INC COM	037833100 AAPL		02/14/13 05/16/13 08/15/13 11/14/13	21.20 24.40 24.40 24.40	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
	Dividends and Distributions:			94.40			

TD AMERITRADE CLEARING INC

2013

Detail for Dividends and Distributions

(continued)

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Country	Notes
BARRICK GOLD CORP COM	067901108 ABX		03/15/13 03/15/13 09/16/13 09/16/13	10.00 -2.50 5.00 -1.25	Qualified dividend Foreign tax withheld Qualified dividend Foreign tax withheld	CA CA	
				15.00 -3.75			
CHEVRON CORP COM	166764100 CVX		03/11/13 06/10/13 09/10/13 12/10/13	45.00 50.00 50.00 50.00	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
				195.00			
CISCO SYSTEMS INC COM	17275R102 CSCO		04/24/13 07/24/13 10/23/13	25.50 25.50 12.75	Qualified dividend Qualified dividend Qualified dividend		
				63.75			
CITIGROUP INC COM	172967424 C		02/22/13 05/24/13 08/23/13 11/22/13	0.50 0.50 0.50 0.50	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
				2.00			
CONSOLIDATED EDISON CO N Y INC COM	209115104 ED		03/15/13	30.75	Qualified dividend		
DELTA AIR LINES INC COM	247361702 DAL		09/10/13 11/26/13	15.00 15.00	Qualified dividend Qualified dividend		
				30.00			
DUKE ENERGY HOLDINGS CORP COM	26441C204 DUK		03/18/13 06/17/13 09/16/13	38.25 38.25 39.00	Qualified dividend Qualified dividend Qualified dividend		
				115.50			

TD AMERITRADE CLEARING INC

Detail for Dividends and Distributions

2013

(continued)

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Country	Notes
EXXON MOBIL CORPORATION COM	30231G102 XOM		09/10/13 12/10/13	22.05 22.05	Qualified dividend Qualified dividend		
Dividends and Distributions:							
				44.10			
GENERAL ELECTRIC CO COM	369604103 GE		01/25/13 04/25/13 07/25/13 10/25/13	76.00 76.00 57.00 57.00	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
Dividends and Distributions:							
				266.00			
GOODYEAR TIRE & RUBBER CO COM	382550101 GT		12/01/13	10.00	Qualified dividend		
GROUP 1 AUTOMOTIVE INC. COM	398905109 GPI		03/15/13	11.25	Qualified dividend		
HEWLETT PACKARD CO COM	428236103 HPQ		04/03/13 07/03/13	19.80 21.78	Qualified dividend Qualified dividend		
Dividends and Distributions:							
				41.58			
INTEL CORP COM	458140100 INTC		03/01/13 06/01/13 09/01/13 12/01/13	27.00 27.00 27.00 27.00	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
Dividends and Distributions:							
				108.00			
INTL BUSINESS MACHINES COM	459200101 IBM		03/09/13 06/10/13 09/10/13 12/10/13	21.25 23.75 23.75 23.75	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
Dividends and Distributions:							
				92.50			
ISHARES MSCI JAPAN ETF	464286848 EWJ		07/05/13 07/05/13 12/30/13 12/30/13 12/30/13	18.68 -2.14 17.16 1.72 -1.33	Qualified dividend Foreign tax withheld Qualified dividend Nonqualified dividend Foreign tax withheld	Various Various	03 03 03 03 03
Dividends and Distributions:							
				37.56			
Foreign tax withheld:							
				-3.47			

TD AMERITRADE CLEARING INC

2013

Detail for Dividends and Distributions
(continued)

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Country	Notes
ISHARES CHINA LARGE-CAP ETF	464287104 FXI		12/27/13 12/27/13	19.37 -1.94	Qualified dividend Foreign tax withheld	Various	03 03
				19.37 -1.94			
ISHARES NASDAQ BIOTECHNOLOGY ETF	464287556 IBB		07/03/13	2.53	Qualified dividend		03
JP MORGAN CHASE & CO COM	46625H100 JPM		01/31/13 04/30/13 07/31/13 10/31/13	22.50 22.50 28.50 28.50	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
				102.00			
JOHNSON & JOHNSON COM	478160104 JNJ		06/11/13 09/10/13 12/10/13	33.00 33.00 33.00	Qualified dividend Qualified dividend Qualified dividend		
				99.00			
LINCOLN NATL CORP COM	534187109 LNC		05/01/13 08/01/13	15.00 15.00	Qualified dividend Qualified dividend		
				30.00			
MASTERCARD INC COM	57636Q104 MA		02/08/13 05/09/13 08/09/13 11/08/13	3.00 6.00 6.00 6.00	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
				21.00			
MATTHEWS FDS PACIFIC TIGER FD	577130107 MAPTX		12/12/13 12/12/13 12/12/13 12/12/13	57.87 0.03 47.29 -10.81	Qualified dividend Nonqualified dividend Long-term capital gain Foreign tax withheld	Various	03 03 03 03
				105.19 -10.81			

Detail for Dividends and Distributions

2013

(continued)

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Country	Notes
MICROSOFT CORP COM	594918104 MSFT		03/14/13 06/13/13 09/12/13 12/12/13	34.50 34.50 34.50 42.00 145.50	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
	Dividends and Distributions:						
MURPHY OIL CORP COM	626717102 MUR		12/02/13	23.44	Qualified dividend		
NORFOLK SOUTHERN CORP COM	655844108 NSC		03/11/13 06/10/13 09/10/13 12/10/13	25.00 25.00 26.00 26.00 102.00	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
	Dividends and Distributions:						
ORACLE CORP COM	68389X105 ORCL		08/02/13 10/29/13	15.00 15.00 30.00	Qualified dividend Qualified dividend		
	Dividends and Distributions:						
PAYCHEX INC COM	704326107 PAYX		08/15/13 11/15/13	26.25 26.25 52.50	Qualified dividend Qualified dividend		
	Dividends and Distributions:						
RAYTHEON CO COM	755111507 RTN		05/02/13 08/08/13 10/31/13	33.00 33.00 33.00 99.00	Qualified dividend Qualified dividend Qualified dividend		
	Dividends and Distributions:						
SINCLAIR BROADCAST GROUP CL A	829226109 SBGI		03/15/13 06/14/13	37.50 22.50 60.00	Qualified dividend Qualified dividend		
	Dividends and Distributions:						
SOUTHERN CO COM	842587107 SO		03/06/13 06/06/13 09/06/13	49.00 50.75 50.75 150.50	Qualified dividend Qualified dividend Qualified dividend		
	Dividends and Distributions:						

TD AMERITRADE CLEARING INC

Detail for Dividends and Distributions

2013

(continued)

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Country	Notes
TESORO CORPORATION COM	881609101 TSO		03/15/13 06/14/13	15.00 10.00	Qualified dividend Qualified dividend		
	Dividends and Distributions:			25.00			
TEVA PHARMACEUTICAL INDS LTD ADR	881624209 TEVA		03/07/13 03/07/13	23.55 -3.53	Qualified dividend Foreign tax withheld	IS	
	Dividends and Distributions:			23.55			
	Foreign tax withheld:			-3.53			
UNITED STATES STEEL CORP COM	912909108 X		12/10/13	7.50	Qualified dividend		
VANGUARD CRSP US MID CAP INDEX	922908629 VO		03/26/13 03/26/13	0.84 0.11	Qualified dividend Nonqualified dividend		03 03
	Dividends and Distributions:			0.95			
VIACOM INC CL B	92553P201 VIAB		04/01/13 07/01/13 10/01/13 12/31/13	27.50 30.00 30.00 30.00	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
	Dividends and Distributions:			117.50			
WELLPOINT INC COM	94973V107 WLP		03/25/13 06/25/13 09/25/13 12/23/13	37.50 37.50 37.50 26.25	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
	Dividends and Distributions:			138.75			
WISDOMTREE EMERG MKTS EQUITY INCOME FUND	97717W315 DEM		03/28/13 03/28/13 03/28/13 06/28/13 06/28/13 06/28/13 09/27/13 09/27/13 09/27/13	10.44 5.28 -1.42 40.84 20.67 -5.57 17.13 8.67 -2.34	Qualified dividend Nonqualified dividend Foreign tax withheld Qualified dividend Nonqualified dividend Foreign tax withheld Qualified dividend Nonqualified dividend Foreign tax withheld		03 03 03 03 03 03 03 03 03
						Various	
						Various	
						Various	

TD AMERITRADE CLEARING INC

2013

Detail for Dividends and Distributions

(continued)

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Country	Notes
WISDOMTREE EMERG MKTS EQUITY INCOME FUND (Cont'd)	97717W315 DEM		12/31/13 12/31/13 12/31/13	14.47 7.32 -1.97	Qualified dividend Nonqualified dividend Foreign tax withheld	Various	03 03 03
Dividends and Distributions: Foreign tax withheld:							
				124.82			
				-11.30			
WYNDHAM WORLDWIDE CORP COM	98310W108 WYN		03/29/13 06/07/13 09/06/13 12/06/13	14.50 14.50 14.50 14.50	Qualified dividend Qualified dividend Qualified dividend Qualified dividend		
Dividends and Distributions:							
				58.00			
XEROX CORP COM	984121103 XRX		10/31/13	11.50	Qualified dividend		
SEAGATE TECHNOLOGY ORD	G7945M107 STX		05/29/13 08/21/13 11/26/13	38.00 38.00 43.00	Qualified dividend Qualified dividend Qualified dividend		
Dividends and Distributions:							
				119.00			

Detail for Interest Income

2013

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Country	Notes
FDIC INSURED DEPOSIT ACCOUNT	9ZZZFD989 MMDA12					
IDA12 NOT COVERED BY SIPC						
		01/31/13	0.13	Interest- money mkt		
		02/28/13	0.21	Interest- money mkt		
		03/31/13	0.18	Interest- money mkt		
		04/30/13	0.22	Interest- money mkt		
		05/31/13	0.18	Interest- money mkt		
		06/30/13	0.16	Interest- money mkt		
		07/31/13	0.16	Interest- money mkt		
		08/31/13	0.19	Interest- money mkt		
		09/30/13	0.28	Interest- money mkt		
		10/31/13	0.32	Interest- money mkt		
		11/30/13	0.28	Interest- money mkt		
		12/31/13	0.20	Interest- money mkt		
Interest Income:			2.51			

Other Receipts

2013

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
APOLLO GLOBAL MANAGEMENT COM	037612306 APO	05/30/13 08/30/13 11/29/13	57.00 132.00 101.00	Partnership distribution Partnership distribution Partnership distribution	
Other Receipts:			290.00		
THE BLACKSTONE GROUP LP COM	09253U108 BX	02/19/13 05/06/13 08/05/13 11/04/13	63.00 75.00 57.50 57.50	Partnership distribution Partnership distribution Partnership distribution Partnership distribution	
Other Receipts:			253.00		
FORTRESS INVESTMENT GROUP COM	34956B106 FIG	05/17/13 08/16/13 11/15/13	30.00 30.00 30.00	Partnership distribution Partnership distribution Partnership distribution	
Other Receipts:			90.00		
KKR & COMPANY LP COM	48248M102 KKR	08/20/13 11/19/13	84.00 23.00	Partnership distribution Partnership distribution	
Other Receipts:			107.00		

The tax character of the partnership distribution payments will be reported to you separately on Schedule K-1 (Form 1065) provided by partnership.

TD AMERITRADE CLEARING INC

2013

Expenses

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
SPDR GOLD TR GOLD SHS ETF	78463V107 GLD Note: CL	01/07/13	-1.31	Gross proceeds investment expense	15
		02/21/13	-1.36	Gross proceeds investment expense	15
		03/12/13	-1.32	Gross proceeds investment expense	15
		04/09/13	-0.81	Gross proceeds investment expense	15
		05/10/13	-0.84	Gross proceeds investment expense	15
Security Total:			-5.64		

TD AMERITRADE CLEARING INC

Opening Transactions

2013

Security Description	CUSIP and/or symbol	Opening date	Quantity	Amount	Transaction type	Notes
AFLAC INC CM	001055102 AFL	01/02/13 01/07/13	50,000 50,000	-2,724.99 -2,577.49	Purchase Purchase	
Security Total:						
				-5,302.48		
AECOM TECHNOLOGY CORP COM	00766T100 ACM	04/22/13	100,000	-2,848.81	Purchase	
ALCOA INC COM	013817101 AA	06/25/13	300,000	-2,367.99	Purchase	
ALLIANCE DATASYS CORP COM	018581108 ADS	04/18/13	20,000	-3,186.19	Purchase	
AMERIPRISE FINL ICN COM	03076C106 AMP	05/15/13	75,000	-6,092.16	Purchase	
APOLLO GLOBAL MANAGEMENT COM	037612306 APO	03/05/13	100,000	-2,409.89	Purchase	
ARRIS GROUP INC COM	04270V106 ARRS	08/12/13	175,000	-2,915.36	Purchase	
AVIS BUDGET GROUP INC COM	053774105 CAR	01/07/13	150,000	-3,218.48	Purchase	
BARRICK GOLD CORP COM	067901108 ABX	07/22/13	200,000	-3,523.23	Purchase	
THE BLACKSTONE GROUP LP COM	09263U108 BX	01/22/13 03/11/13	150,000 100,000	-2,676.21 -2,025.98	Purchase Purchase	
Security Total:						
				-4,702.19		
CHINA AUTOMOTIVE SYSTEMS INC COM	16936R105 CAAS	07/10/13	400,000	-3,045.79	Purchase	
COGNIZANT TECH SOLUTIONS CRP COM	192446102 CTSH	09/09/13	50,000	-3,909.80	Purchase	

TD AMERITRADE CLEARING INC

2013

Opening Transactions
(continued)

Security Description	CUSIP and/or symbol	Opening date	Quantity	Amount	Transaction type	Notes
COMMUNITY HEALTH SYSTEMS COM	203668108 CYH	04/02/13	100.000	-4,822.99	Purchase	
COOPER TIRE & RUBBER CO COM	216831107 CTB	12/16/13	75.000	-1,721.48	Purchase	
D R HORTON INC COM	23331A109 DHI	10/14/13	250.000	-4,570.77	Purchase	
DELTA AIR LINES INC COM	247361702 DAL	03/04/13	250.000	-3,867.42	Purchase	
DIRECTV COM	25490A309 DTV	03/05/13	100.000	-4,922.01	Purchase	
EXXON MOBIL CORPORATION COM	30231G102 XOM	05/14/13	35.000	-3,189.01	Purchase	
FORTRESS INVESTMENT GROUP COM	34958B106 FIG	04/11/13	500.000	-3,286.74	Purchase	
GOODYEAR TIRE & RUBBER CO COM	382550101 GT	05/30/13	200.000	-3,073.99	Purchase	
HAWAIIAN HOLDINGS INC COM	419879101 HA	01/07/13	400.000	-2,893.95	Purchase	
HEWLETT PACKARD CO COM	428236103 HPQ	03/06/13 11/29/13	150.000 150.000	-3,135.60 -4,061.49	Purchase Purchase	
Security Total:						
ISHARES MSCI JAPAN ETF	464286848 EWJ	02/22/13	350.000	-3,534.97	Purchase	
ISHARES CHINA LARGE-CAP ETF	464287184 FXI	01/14/13 11/18/13	100.000 100.000	-4,136.77 -3,987.10	Purchase Purchase	
Security Total:						
				-8,123.87		

TD AMERITRADE CLEARING INC

2013

Opening Transactions
(continued)

Security Description	CUSIP and/or symbol	Opening date	Quantity	Amount	Transaction type	Notes
JOHNSON & JOHNSON COM	478160104 JNJ	04/22/13	50,000	-4,243.80	Purchase	
KKR & COMPANY LP COM	48248M102 KKR	05/14/13	200,000	-4,135.79	Purchase	
LINCOLN NATL CORP COM	534187109 LNC	04/02/13	125,000	-4,079.83	Purchase	
MICRON TECHNOLOGY INC COM	595112103 MU	09/03/13	200,000	-2,813.95	Purchase	
MURPHY OIL CORP COM	626717102 MUR	09/06/13	75,000	-4,635.96	Purchase	
MYRIAD GENETICS INC COM	62855J104 MYGN	09/16/13	125,000	-3,307.99	Purchase	
NPS PHARMACEUTICALS INC	62936P103 NPSP	10/02/13	100,000	-3,386.99	Purchase	
PENFORD CORPORATION COM	707051108 PENX	02/04/13	275,000	-2,344.74	Purchase	
PETROLEO BRASILEIRO SA ADR	71654V408 PBR	09/04/13	250,000	-3,435.44	Purchase	
	Security Total:	09/27/13	100,000	-1,559.99	Purchase	
				-4,995.43		
PROSHARES ULTRASHORT S&P 500	74347B300 SDS	10/07/13	100,000	-3,664.99	Purchase	
RAYTHEON CO COM	755111507 RTN	02/13/13	60,000	-3,250.16	Purchase	
ROVI CORPORATION COM	779376102 ROVI	12/23/13	200,000	-3,766.75	Purchase	

2013

Opening Transactions
(continued)

Security Description	CUSIP and/or symbol	Opening date	Quantity	Amount	Transaction type	Notes
SELECT MED HLDGS CORP COM	81619Q105 SEM	12/16/13	300.000	-2,959.44	Purchase	
SPIRIT AEROSYSTEMS COM	848574109 SPR	06/25/13	150.000	-3,173.49	Purchase	
TESORO CORPORATION COM	881609101 TSO	02/08/13	75.000	-4,021.73	Purchase	
TETRA TECH INC DEL COM	88162F105 TTI	04/09/13	400.000	-3,835.39	Purchase	
UNIPixel INC COM	904572203 UNXL	04/29/13	75.000	-2,846.48	Purchase	
UNITED CONTINENTAL HLDGS COM	910047109 UAL	11/22/13	100.000	-3,866.89	Purchase	
UNITED RENTALS CV COM	911363109 URI	07/22/13	75.000	-4,265.27	Purchase	
UNITED STATES STEEL CORP COM	912909108 X	10/03/13	150.000	-3,242.36	Purchase	
UNITED THERAPEUTICS CORP COM	91307C102 UTHR	03/11/13	50.000	-3,103.73	Purchase	
WAL-MART STORES COM	931142103 WMT	12/02/13	75.000	-6,083.14	Purchase	
WEB.COM GROUP INC COM	94733A104 WWWWW	09/03/13	150.000	-4,317.84	Purchase	
WISDOMTREE EMERG MKTS EQUITY INCOME FUND	97717W315 DEM	01/07/13 11/15/13	50.000 50.000	-2,873.99 -2,628.01	Purchase Purchase	
Security Total:				-5,502.00		

TD AMERITRADE CLEARING INC.

2013

Opening Transactions
(continued)

Security Description	CUSIP and/or symbol	Opening date	Quantity	Amount	Transaction type	Notes
WYNDHAM WORLDWIDE CORP COM	98310W108 WYN	03/11/13	50,000	-3,174.99	Purchase	
XEROX CORP COM	984121103 XRX	07/08/13	400,000	-3,783.91	Purchase	
GREENLIGHT CAPITAL RE LTD COM	G409SJ109 GLRE	12/02/13	100,000	-3,407.99	Purchase	
JAZZ PHARMACEUTICALS INC COM	G50871105 JAZZ	03/15/13	75,000	-4,438.00	Purchase	

Long Investments	Shares or Units	Mkt. Value
Security Name Symbol		
AFLAC INC (AFL)	50	\$3,334.50
ALCOA INC (AA)	200	\$2,106.00
ALLIANCE DATA SYSTEMS CORP (ADS)	20	\$5,201.00
AMAZON COM INC (AMZN)	6	\$2,350.22
AMERIPRISE FINANCIAL INC (AMP)	45	\$4,031.55
APOLLO GLOBAL MANAGEMENT LL (APO)	75	\$8,519.25
APPLE COMPUTER INC (AAPL)	100	\$3,096.00
ARRIS GROUP (ARRS)	8	\$4,436.16
BLACKSTONE GROUP LP THE (BX)	175	\$4,219.25
CELGENE CORP (CELG)	200	\$6,256.00
CHEVRON CORP (CVX)	40	\$6,759.20
CHINA AUTOMOTIVE SYS INC (CAAS)	50	\$3,112.00
CITIGROUP INC (C)	400	\$2,596.00
COGNIZANT TECH SOLUTIONS CO (CTSH)	50	\$5,004.50
COOPER TIRE & RUBR CO (CTB)	75	\$1,815.00
D R HORTON INC (DHI)	250	\$5,482.50
DELTA AIR LINES INC (DAL)	250	\$6,787.50
EXXON MOBIL CORP (XOM)	35	\$5,910.85
FORTRESS INVESTMENT GROUP L (FIG)	500	\$4,250.00
GENERAL ELECTRIC CO (GE)	200	\$5,576.00
GOODYEAR TIRE & RUBR CO (GT)	200	\$4,730.00
GOOGLE INC (GOOG)	5	\$5,547.30
GREENLIGHT CAPITAL RELTD (GLRE)	100	\$3,357.00
HAWAIIAN HLDGS INC (HA)	250	\$2,375.00
HEWLETT PACKARD CO (HPQ)	150	\$4,210.50
INTEL CORP (INTC)	120	\$3,102.00
INTERNATIONAL BUSINESS MACH (IBM)	25	\$4,080.25
ISHARES CHINA LARGE-CAP ETF (FXI)	100	\$3,787.00
ISHARES MSCI JAPAN (EWJ)	200	\$2,424.00
ISHARES NASDAQ BIOTECHNOLOGY (IBB)	25	\$5,671.00
JAZZ PHARMACEUTICALS PLC (JAZZ)	50	\$6,339.50
JOHNSON & JOHNSON (JNJ)	50	\$4,615.00
JP MORGAN CHASE & CO (JPM)	75	\$4,346.25
KKR & CO LP (KKR)	100	\$2,400.00
MASTEC INC (MTZ)	100	\$3,226.00
MASTERCARD INC (MA)	10	\$8,333.00
MATTHEWS PACIFIC TIGER FDI (MAPTF)	298.567	\$7,407.20
MICRON TECHNOLOGY INC (MU)	200	\$4,260.00
MICROSOFT CORP (MSFT)	150	\$5,593.50
MURPHY OIL CORP (MUR)	75	\$4,810.50
MYRIAD GENETICS INC (MYGN)	125	\$2,598.75
NORFOLK SOUTHERN CORP (NSC)	50	\$4,596.00
NOVACORP INC (NCS)	100	\$73.50
NPS PHARMS INC (NPS)	125	\$3,003.00
ORACLE CORP (ORCL)	125	\$4,748.75
OWENS ILL INC (OI)	100	\$3,556.00
PAYCHEX INC (PAYX)	75	\$3,425.25
PENFORD CORP (PENN)	150	\$1,923.00
PETROLEO BRASILEIRO SA (PBR)	250	\$3,415.00
PRICELINE COM INC (PCLN)	2	\$2,305.78
RAYTHEON CO (RTN)	60	\$5,425.80
REGENERON PHARMS INC (REGN)	8	\$2,224.64
ROVI CORP (ROV)	200	\$3,876.00
SEAGATE TECHNOLOGY (STX)	100	\$5,553.00
SELECT MEDICAL HOLDINGS COR (SEM)	300	\$3,507.00
SPIRIT AEROSYSTEMS HOLDINGS (SPR)	150	\$3,077.50
TEXAS CAP BANCSHARES (TCB)	75	\$4,589.25
UNITED CONTINENTAL HOLDING (UAL)	100	\$3,730.00
UNITED RENTALS INC (URI)	45	\$3,464.55
UNITED THERAPEUTICS CORP (UTHR)	70	\$7,063.10
US STEEL CORP (X)	150	\$4,428.00
VACOM INC NEW CL B (VLAB)	100	\$6,715.00
WAL-MART STORES INC (WMT)	75	\$5,087.25
WALT DISNEY CO (DIS)	75	\$5,717.25
WELLPONT INC (WLP)	70	\$6,406.40
WISDOMTREE EMERGING MARKETS (DEM)	80	\$4,057.60
WYNDHAM WORLDWIDE CORP (WYN)	50	\$3,666.00

Attachment 3

CLIENT STATEMENT | 2012

Holdings

Portfolio Management Retirement Account WILLIAM J. HUGHES, JR.

Morgan Stanley

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
CASH	\$200,334			
MORGAN STANLEY PRIVATE BANK NA #	7,339.85			

CASH, DEPOSITS AND MONEY MARKET FUNDS

Bank Deposits are at either: (1) Morgan Stanley Bank, N.A., and Morgan Stanley Private Bank, National Association, or (2) Citibank, N.A., each a national bank, FDIC member and an affiliate of Morgan Stanley Smith Barney LLC.

STOCKS

COMMON STOCKS

Security Description	Quantity	Market Value		
GUGGENHEIM S&P MIDCAP 400 PU (RFG)	82,000	\$7,483.32		
Share Price: \$91.260				
POWERSHARES DYN LRG CAP VALU (PWW)	509,000	11,121.65		
Share Price: \$21.850				
VANGUARD GROWTH ETF (VUG)	138,000	9,822.84		
Share Price: \$71.180, Next Dividend Payable 03/2013				

CLIENT STATEMENT 2012

Holdings

Portfolio Management Retirement Account WILLIAM J HUGHES JR.

STOCKS

MUTUAL FUNDS

OTHER MUTUAL FUNDS

"Total Purchases vs Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash, due to but not limited to the following: Investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account.

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, plus the cash distributions shown, and the current value of the fund's shares. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes.

Security Description	Quantity	Market Value
BLACKROCK HI YIELD BD PTF INST (BHYIX)	Purchases	581,710
Reinvestments	11,147	\$4,706.03
Total	592,857	90.18
Total Purchases vs Market Value		4,796.21
Net Value Increase/(Decrease)		4,796.21
Share Price: \$8.090; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest		202.85
JANUS TRITON I (JSMGX)	Purchases	401,746
Reinvestments	20,515	7,279.64
Total	422,261	371.73
Total Purchases vs Market Value		7,651.37
Net Value Increase/(Decrease)		7,651.37
Share Price: \$18.120; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest		411.52
PIMCO ALL ASSET ALL AUTH P (PAUPX)	Purchases	235,573
Reinvestments	8,934	2,612.50
Total	244,507	99.08
Total Purchases vs Market Value		2,711.58
Net Value Increase/(Decrease)		2,711.58
Share Price: \$11.090; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest		110.35
PIMCO INTL STOCKPLUS TR STR A (PIPAK)	Purchases	676,935
Reinvestments	37,367	4,582.85
Total	714,302	252.97
Total	714,302	4,835.82

CONTINUED

CLIENT STATEMENT | [REDACTED] 2012

Holdings

Portfolio Management Retirement Account WILLIAM J HUGHES JR.
[REDACTED]

MUTUAL FUNDS

OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Quantity	Market Value
Total Purchases vs Market Value		4,835.82
Net Value Increase/(Decrease)		295.76
Share Price: \$6.770; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest		
PIMCO STKPLUS TR SHT STRAT P (PSPPLX)	Purchases 2,678.956	9,858.56
Reinvestments	6.267	23.06
Total	2,685.223	9,881.62
Total Purchases vs Market Value		9,881.62
Net Value Increase/(Decrease)		49.85
Share Price: \$3.680; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest		
TEMPLETON GLOBAL BD FD ADV (TGBAX)	Purchases 148.859	1,985.78
Reinvestments	6.409	85.50
Total	155.268	2,071.28
Total Purchases vs Market Value		2,071.28
Net Value Increase/(Decrease)		105.31
Share Price: \$13.340; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest		
VIRTUS INSIGHT EMERG MKTS I (HIEMX)	Purchases 674.589	6,955.01
Reinvestments	2.960	30.52
Total	677.549	6,985.53
Total Purchases vs Market Value		6,985.53
Net Value Increase/(Decrease)		441.30
Share Price: \$10.310; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest		

MUTUAL FUNDS

Transactions in mutual fund positions held directly at the Fund Company are not included in the total above and are not reflected on the Summary Page.
For more information about the pricing of Money Market Funds, please see the Expanded Disclosures.

Attachment 4

Equities and Related Investments

Mutual Funds	Quantity	Total Value Market
OAKMARK INTERNATL I CUSIP # 413838202	265.118	\$6,978
HARTFORD SMALL CAP GROWTH I CUSIP # 416641306	211.401	10,264
HENDERSON INTL OPORT I CUSIP # 425067592	102.318	2,762
THORNBERG INV INC BUILDER I CUSIP # 885215467	79.432	1,671
CUSIP # 885215467	79.432	1,671
Subtotal	158.865	\$3,342
TOUCHSTONE SANDS CAP SEL GR Y CUSIP # 89155H827	505.731	9,037
VANGUARD WELLESLEY INC INV CUSIP # 921938106	58.977	1,466
Mutual Funds Subtotal		
Other		
POWERSHARES DYN LRG CAP VALU CUSIP # 73935X708	360.000	\$10,228
GUGGENHEIM S&P MIDCAP 400 PU CUSIP # 78355W601	83.000	10,215
VANGUARD GROWTH ETF CUSIP # 922908736	87.000	8,095
WISDOMTREE TR JPN SCAP HDG CUSIP # 97717W521	59.000	1,790
WISDOMTREE TRUST JAPN HEDGE EQ CUSIP # 97717W851	34.000	1,729
Other Subtotal		
Total Equities and Related Investments		

continued on next page

Please see the Definitions & Disclosures at the end of this report.

Morgan Stanley

Cash and Short Term Investments

	Quantity	Total Value Market
Cash Equivalents		
CASH BALANCE	6,873,860	\$6,874
	135,930	136
Subtotal	7,009,790	\$7,010
Cash Equivalents Subtotal		
Total Cash and Short Term Investments		\$7,010
Fixed Income Investments		
Mutual Funds		
BLACKROCK HI YIELD BD PTF INST CUSIP # 091929638	705,657	\$5,793
PIMCO INCOME P CUSIP # 72201M719	323,017	3,960
TEMPLETON GLOBAL BD FD ADV CUSIP # 880208400	123,613	1,618
THORNBURG INV INC BUILDER I CUSIP # 885215467	39,716	836
VANGUARD WELLESLEY INC INV CUSIP # 921938106	137,613	3,420
Mutual Funds Subtotal		
Total Fixed Income Investments		

Please see the Definitions & Disclosures at the end of this report.

Morgan Stanley