UNITED STATES HOUSE OF REPRESENTATIVES 2013 FINANCIAL DISCLOSURE STATEMENT	For Use by Members,	Officers, and Employees	1 of 10
Name: JOHN J. DUNCAN, JR. Da	ytime Teleph	Daytime Telephone: 202-225-5735  CFFICE CFFI	£
FILER STATUS  Member of or Candidate for State:  U.S. House of Representatives District:  District:		Officer or Employing Office: Employee	
TYPE 2013 Annual (Due: May 15, 2014)	Amendment	Termination Date:	
PRELIMINARY INFORMATION – ANSWER EACH OF THESE (	QUESTIONS		
A. Did you, your spouse, or your dependent child:  a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or  b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	8 	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Z
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction  Yes  exceeding \$1,000 during the reporting period?	8	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single No source during the reporting period?	
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	*	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	Z
D. Did you, your spouse, or your dependent child have any reportable Yes liability (more than \$10,000) at any point during the reporting period?	<u>\$</u>	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the Yes No reporting period?	Ţ
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	No No	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	YES"
IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRU	TRUST INFORMATION	ATION - ANSWER EACH OF THESE QUESTIONS	
<b>IPO</b> – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you a the Committee on Ethics for further guidance.	ffering during the rep	nswered "yes" to this question, please contact Yes No	प्
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" this report details of such a trust that benefits you, your spouse, or dependent child?	thics and certain of	ner "excepted trusts" need not be disclosed. Have you excluded from Yes No	Z
<b>EXEMPTION</b> – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	ne, transactions, or mmittee on Ethics.	liabilities of a spouse or dependent child because they meet all three Yes	Z,

### SCHEDULE A - ASSETS & "UNEARNED INCOME"

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,	Name: JOHN J. DUNCAN, JR.
	Page <b>3</b> of _/O

VINCOME FORM OF AMERICA	( HILLIARDLYOUS - ITAA	LEDEUTY FUND TAK.	Lyous	4	141LHARD LYONS-TAR	ABC Hedge Fund X	Examples: Simon & Schuster	SP Mega Corp. Stock EIF		For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, ist every financial institution where there is more than \$1,000 in interest bearing accounts.  For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the	(vertex use only locar symbols).  For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.	for investment or a fair market value the reporting period, asset or source of n \$200 in "unearned" ks and mutual funds	BLOCK A  Asset and/or Income Source	DI 225 V
		X				×	Indefinite	×	15-1-4-50 \$1,001-\$15,000 \$50,001-\$100,000 \$550,001-\$100,000 \$250,001-\$500,000 \$1,000,001-\$50,000,000 \$25,000,001-\$50,000,000 \$25,000,001-\$50,000,000	m m		ting period. If you use a please specify the method riod and is included only be "None."	Value of Asset	ם איני נים
		X		X		Parthership Income	Royalties	×	DIVIDENDS  ET  INTEREST  CAPITAL GASE  EXCEPTED/BLIND TRUST  TAKAN SUPER  Other Type of Income  (Specify: e.g., Partnership Income or Farm Income)			Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interset, and capital glains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.	Type of Income	20050
		X		X				X	\$1-\$200  \$1,001-\$2,500  \$5,001-\$15,000  \$5,001-\$10,000  \$1,000,01-\$5,000,000  Spouse/DC Asset with Income over \$1,000,000*	=	Column All is not assets tied by your spouse of dependent child in which you have no interest.	For assets for which you checked "Tax-Deferred" in Block C. you may check the "None" column. For all other assets indicate the calegory of income by checking the appropriate box below. Dividends, increast, and capital gains, even if reinvested, accounts. Check "None" if no income was earned or generated.	Amount of Income	2: 225
			:					S(part)	P, S, S(part), or E	Leave this column blank if there are no transactions that exceeded \$1,000.	If only a portion of an asset was sold, please indicate as follows: (S (part)).	= 4 c 6 c 6 c 5 c	Transaction	2000

SCHEDULE A - ASSETS 8	& "UNEARNED INCOME"	Name: JOHN J. DUNC	AN, JR. Page 3 of	P
BLOCK A Asset and/or Income Source	BLOCK B Value of Asset	BLOCK C Type of Income	BLOCK D  Amount of Income	BLOCK E Transaction
	None \$131,000 \$13,001,\$10,000 \$15,001,\$20,000 \$150,001,\$100,000 m \$100,001,\$200,000 \$250,001,\$500,000 \$11,000,001,\$5,000,000 \$25,000,001,\$500,000 \$25,000,001,\$500,000 \$25,000,001,\$500,000	DIVIDENDS  INTEREST  CASTAL GASE  EXCEPTED/BLIND TRUST  Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	\$1-\$200 = \$1.500 \$ \$1.001.\$2,500 \$ \$2.001.\$15,000 \$ \$50,001.\$100,000 \$ \$50,001.\$100,000 \$ \$1,000,001.\$5,000,000 \$ \$1,000,000 \$ \$2.001.\$5,000,000 \$ \$1,000,000 \$ \$2.001.\$5,000,000 \$ \$2.001	D A CONTRACTOR OF THE CONTRACT
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CHICADOUD CAR VIII-STOCK			X	<b>^</b>
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PHILLIP MORRIS-STOCK		X		
PERM. BASIN ROYALTA.		X		
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#### SCHEDULE B - TRANSACTIONS

Name: JOHN J. DUNCAN, JR Page\_5\_ of 10

															WALGREEN - STOCK	NORTHOO GRUMMAN-STOCK	CHICEOUP CAD VIII TRUST	SP Example Mega Corp. Stock	SP, DC, JT Asset	* Column K is for assets solely held by your spouse or dependent child.	Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.	a portion of an asset is sold, please choose "partial sale" as the type of transaction.	resulted in a capital loss. Provide a birel description of an acchange transaction; trat resulted in a capital loss. Provide a birel description of an acchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or each of your presence in extended transactions between you.	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your
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#### **SCHEDULE C - EARNED INCOME**

Name: JOHN J.
DUNCAN
JR.
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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

**EXCLUDE**: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act. INCOME LIMITS and PROHIBITED INCOME: The 2013 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,955. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

types of income (notably nonofaria, director's fees, and payments for professional services involving a fluidary relationship) were	totally prohibited.	
Source (include date of receipt for honoraria)	Туре	Amount
	Approved Teaching Fee	\$6,000 \$18,000
EXAMPIES: Civil War Roundtable (Oct. 2) Ontario County Board of Education	Spouse Speech Spouse Salary	\$1,000 N/A
LINCOLN MEMORIAL UNIVERSITY - DIRECTOR OF	spouse SALARY	N/A
MAJOR GIFTS AND CONTRIBUTIONS		•

#### SCHEDULE D - LIABILITIES

Name: JOHN J. NUNCAN, JR.
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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. you by a spouse or the child, parent, or sibling of you or your spouse. \*Column K is for liabilities held solely by your spouse or dependent child.

			<b>J</b>		B		Am	Amount of Liability	iability	Ξ	
SP. DC, JT		Creditor	Date Liability Incurred MO/YR	Type of Liability	\$19,001- 118,000 \$15,001-	\$50,000 \$50,001 \$400,000	\$100,001- \$250,000	\$500,001- \$1,000,000	61,640,001 \$5,000,000	\$5,000,001- \$25,000,000 \$25,000,001 \$25,000,001	Over \$50,000,000  Over \$1,000,000*  Over \$1,000,000*
	Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE			×				
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#### SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Position Name of Organization
10N/E	

#### SCHEDULE F - AGREEMENTS

Name: JOHN J, DUNCAN, JR.
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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	N/A			
Parties to Agreement				
Terms of Agreement				
nent				

#### SCHEDULE G - GIFTS

Report the source (including name, city, and state), a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

	Source	Description	Value
Example:	Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	\$400
	NIA		

# SCHEDULE H - TRAVEL PAYMENTS and REIMBURSEMENTS

Name: JOHN J. DUNCAN, JR.
Page_C
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sponsor or were paid by you and reimbursed by the sponsor. Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the

**EXCLUDE**: Privately-sponsored travel approved by the Ethics Committee, if post-travel disclosure was filed with the Clerk; travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Source	Date(s)	City of Departure – Destination — City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Government of China (MECEA)	Aug. 6-11	DC-Beijing, China - DC	Υ	۲	z
Cxampless: Hebital for Humanity (charity fundralser)	Mar. 3-4	DC-Boston-DC	Υ	γ	٧
N/A					
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## SCHÈDULE I – PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: JOHW J.	
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ist the source, activity ( <i>i.e.</i> , speech, appearance, or article), date, and amount of any payment made by the sponsor of an event separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.	nsor of an event to a charitable orga	to a charitable organization in lieu of paying an honorarium to you. A	honorarium to you. A
Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC  XYZ Magazine	Speech Article	Feb, 2, 2013 Aug. 13, 2013	\$2,000 \$500
NONE			
			,