

# UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT

FORM B

For use by candidates and new employees

Period covered: January 1, 2013 - 1-10-2014

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LEGISLATIVE RESOURCE CENTER

2014 JAN 30 PM 1:53

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

Name: Haywood E. White III (Woody) Daytime Telephone: \_\_\_\_\_

(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Candidate for the House of Representatives	State: <u>NC</u> District: <u>7</u>	Date of Election: <u>5-26-14</u>	Check if Amendment <input type="checkbox"/>	A \$200 penalty shall be assessed against any individual who files more than 30 days late.
	<input type="checkbox"/> New officer or employee	Employing Office: _____			

In all sections, please type or print clearly in blue or black ink.

## PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Name White, Haywood

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**Exclude:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

**This page may be copied if more space is required.**

## Page 3 of 6

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## Continuation Sheet (if needed)

White, Hyman

Page 7 of 4

[illegible]

# SCHEDULE III — LIABILITIES

Name

White, Henrywood

Page 5 of 6

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. **Exclude:** Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred mo/year	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main Street, Dover, DE	\$10,001—\$15,000	\$15,001—\$50,000	\$50,001—\$100,000	\$100,001—\$250,000	\$250,001—\$500,000	\$500,001—\$1,000,000	\$1,000,001—\$5,000,000	\$5,000,001—\$25,000,000	\$25,000,001—\$50,000,000	Over \$50,000,000	Spouse/DC Liability over \$1,000,000
	First Citizens Bank	9/2001	Mortgage on 2155 Beach Drive, Wilmington, DE				X							

# SCHEDULE IV — POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

Position	Name of Organization
Partner	Firetower Farms, LLC
Director	Hq Hc Holdings, LLC

**SCHEDULE V -- AGREEMENTS**

Name

*White, Howard*

Page

*6* of *6*

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	<i>None</i>	

**SCHEDULE VI -- COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule I.

Source (Name and Address)		Brief Description of Duties
Example:	Doe Jones & Smith, Hometown, Homestate	Accounting services
	<i>N/A</i>	



008257

**HAYWOOD EDWIN WHITE III**  
[REDACTED]

**Questions?**

- NC 529 Plan**  
800-600-3453, 8 am - 8 pm Monday - Thursday  
and 8 am - 5 pm Friday, ET
- Email**  
Savings@CFNC.org
- Web Access**  
CFNC.org/NC529
- Helping You Plan, Apply, & Pay for College**  
CFNC.org

**Account Statement**

**Statement Period**  
01/01/2013 - 12/31/2013

<b>Participant</b> HAYWOOD EDWIN WHITE III	<b>Account #</b> [REDACTED]	<b>Account Type</b> INDIVIDUAL UTMA/UGM
<b>Beneficiary</b> [REDACTED]		

*If you made NC 529 withdrawals in 2013, watch for 1099-Q by 1/31/2014.  
Maximum Projected Expenses limit increased to \$410,000 for 2014.  
NC residents - check out NC 529 Scholarship Contest at CFNC.org/NC529*

\* Each Investment Option is charged a "Program Administrator Fee"; however, only the Federally-Insured Deposit Account, for which the fee is deducted directly from the Participant's Account, shows the fee separately. The administrative fee is not shown for any other Investment Option because the fee is paid indirectly (not directly) through deduction from assets of the Investment Option, which reduces the value of the option.

\*\* "Other fees" refers to those charged for paper delivery or returned contributions. Please see the Program Description for more detail on fees, charges and expenses.

**Summary of Account Activity**

Period Date	Year-to-Date 01/01/2013 - 12/31/2013	This Period 01/01/2013 - 12/31/2013
Beginning Account Value	\$23,724.89	\$23,724.89
Contributions	\$2,125.00	\$2,125.00
Earnings from:		
Interest	\$ .47	\$ .47
Change in Market Value	\$1,104.55	\$1,104.55
Other Fees**	-\$18.00	-\$18.00
Ending Account Value	\$26,936.91	\$26,936.91
	<b>Principal (Basis)</b>	<b>Since Inception</b>
	Earnings	\$21,625.00
	Account Total	\$5,311.91
		\$26,936.91

Continued on Page 2

### Summary of Account Activity by Investment Option for this Period

Investment Option(s)	Beginning Value	Net Activity	Interest	Change in Market Value	Program Admin Fee*	Other Fees**	Ending Value
DEPENDABLE INCOME FUND	\$251.79	\$ .00	\$ .47	\$ .00	*	-\$ .21	\$252.05
CONS GRWTH PORTFOLIO	\$6,226.88	\$ .00	\$ .00	\$278.97	*	-\$4.61	\$6,501.24
AGGRESSIVE TRACK	\$3,143.99	\$850.00	\$ .00	\$407.19	*	-\$2.58	\$4,398.60
CONSERVATIVE TRACK	\$2,792.70	\$425.00	\$ .00	-\$106.22	*	\$2.05	\$3,109.43
MODERATE TRACK	\$11,309.53	\$850.00	\$ .00	-\$524.61	*	-\$8.55	\$12,675.59

### Summary of Current Assets

Investment Option(s)	Units	Unit Price	Value
DEPENDABLE INCOME FUND	252.050000	1.000000	\$252.05
VANGUARD CONSERVATIVE GROWTH PORTFOLIO	580.986315	11.190000	\$6,501.24
AGGRESSIVE TRACK	361.726645	12.160000	\$4,398.60
CONSERVATIVE TRACK	308.475198	10.080000	\$3,109.43
MODERATE TRACK	1,132.760088	11.190000	\$12,675.59
		<b>Account Value</b>	<b>\$26,936.91</b>

### Contribution Allocation





008257

**HAYWOOD EDWIN WHITE III**  
[REDACTED]  
[REDACTED]

**Questions?**

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and 8 am - 5 pm Friday, ET
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- Web Access**  
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- Helping You Plan, Apply, & Pay for College**  
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**Statement Period**  
01/01/2013 - 12/31/2013

<b>Participant</b> HAYWOOD EDWIN WHITE III	<b>Account #</b> [REDACTED]	<b>Account Type</b> INDIVIDUAL UTMA/UGM
<b>Beneficiary</b> [REDACTED]		

*If you made NC 529 withdrawals in 2013, watch for 1099-Q by 1/31/2014.  
Maximum Projected Expenses limit increased to \$410,000 for 2014.  
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\* Each Investment Option is charged a "Program Administrator Fee"; however, only the Federally-Insured Deposit Account, for which the fee is deducted directly from the Participant's Account, shows the fee separately. The administrative fee is not shown for any other Investment Option because the fee is paid indirectly (not directly) through deduction from assets of the Investment Option, which reduces the value of the option.

\*\* "Other fees" refers to those charged for paper delivery or returned contributions. Please see the Program Description for more detail on fees, charges and expenses.

**Summary of Account Activity**

Period Date	Year-to-Date 01/01/2013 - 12/31/2013	This Period 01/01/2013 - 12/31/2013
Beginning Account Value	\$25,375.76	\$25,375.76
Contributions	\$2,125.00	\$2,125.00
Earnings from:		
Change in Market Value	\$1,123.88	\$1,123.88
Other Fees**	-\$18.00	-\$18.00
Ending Account Value	\$28,606.64	\$28,606.64

	Since Inception
Principal (Basis)	\$22,025.00
Earnings	\$6,581.64
Account Total	\$28,606.64

Continued on Page 2

### Summary of Account Activity by Investment Option for this Period

Investment Option(s)	Beginning Value	Net Activity	Interest	Change in Market Value	Program Admin Fee*	Other Fees**	Ending Value
AGGRESSIVE TRACK	\$2,352.41	\$743.75	\$ .00	\$309.84	*	-\$1.83	\$3,404.17
MODERATE TRACK	\$14,342.46	\$850.00	\$ .00	\$660.49	*	-\$10.10	\$15,842.85
CONSERVATIVE TRACK	\$2,827.52	\$531.25	\$ .00	-\$108.69	*	-\$1.99	\$3,248.09
CONS GRWTH PORTFOLIO	\$5,853.37	\$ .00	\$ .00	\$262.24	*	-\$1.08	\$6,111.53

### Summary of Current Assets

Investment Option(s)	Units	Unit Price	Value
AGGRESSIVE TRACK	279.947785	12.160000	\$3,404.17
MODERATE TRACK	1,415.804695	11.190000	\$15,842.85
CONSERVATIVE TRACK	322.230793	10.080000	\$3,248.09
VANGUARD CONSERVATIVE GROWTH PORTFOLIO	546.159581	11.190000	\$6,111.53
	<b>Account Value</b>		<b>\$28,606.64</b>

**January 1, 2013 to December 31, 2013**

MB 02 008983 90613 B 72 A


HAYWOOD E WHITE III  
& TAMMIE M WHITE JTWROS

Visit us online at [statefarm.com](http://statefarm.com)

Or contact a Response Center  
Representative at 1-800-447-0740

## Important Information from State Farm Mutual Funds

In December 2013, a new redesigned website for [statefarm.com](http://statefarm.com) was released. This new website will allow for an improved customer experience on any device.

Please notify us within 30 days of the receipt of this statement if you have any questions or concerns regarding your financial transactions. For the most recent market news and State Farm Mutual Fund performance information, please visit [statefarm.com](http://statefarm.com) > Finances > Mutual Funds > News and Market Analysis. AP2014/01/9826

## Total Portfolio Value on 12/31/2013

**\$149,876.54**

### Your Portfolio Recap

	Year-to-Date	Since Portfolio Inception*
<b>Beginning Portfolio Value</b>	<b>\$111,268.42</b>	<b>\$1,481.54</b>
+ Purchases	\$14,400.00	\$106,750.00
+ Reinvested Dividends & Capital Gains	\$3,353.81	\$14,205.26
- Redemptions/Fees	\$0.00	-\$2,000.00
+/- Market Fluctuation	\$20,854.31	\$29,439.74
<b>Ending Portfolio Value on 12/31/2013</b>	<b>\$149,876.54</b>	<b>\$149,876.54</b>

\*For accounts established prior to 1999, a January 4, 1999 date will be used to calculate individual performance.

## Your Value by Account

Fund Name	Fund Number	Account Number	Beginning Balance on 01/01/2013	Ending Balance on 12/31/2013	Value Change This Period
<b>Haywood E White III &amp; Tammie M White JTWROS</b>					
Growth Fund (STFGX)	871	60117579	\$54,731.20	\$77,659.53	\$22,928.33
Balanced Fund (STFBX)	872	60117579	\$56,537.22	\$72,217.01	\$15,679.79
<b>Total</b>			<b>\$111,268.42</b>	<b>\$149,876.54</b>	<b>\$38,608.12</b>

Before investing, consider the funds' investment objectives, risks, charges and expenses. Contact State Farm VP Management Corp (1-800-447-0740) for a prospectus or summary prospectus containing this and other information. Read it carefully. Securities are not FDIC insured, are not bank guaranteed and are subject to investment risk, including possible loss of principal. Neither State Farm nor its agents provide investment, tax, or legal advice. FINRA's BrokerCheck System allows investors to learn about the professional background, business practices and conduct of FINRA member firms or their brokers. BrokerCheck is accessible via FINRA's website at [www.finra.org](http://www.finra.org) and through the BrokerCheck hotline 1-800-289-9999. An investor brochure that includes information describing FINRA BrokerCheck is also available upon request.



Statement Reporting Period:  
10/01/13 - 12/31/13

800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # [REDACTED]

HAYWOOD E WHITE III &  
TAMMIE WHITE-JITROS  
[REDACTED]

**Announcements:**  
TD AMERITRADE WAS NAMED BEST BROKER  
FOR ETFS IN KIPPLINGER'S PERSONAL  
FINANCE, DECEMBER 2013 EDITION.  
"THE BEST ISSUE: LOG IN AND GO TO  
RESEARCH AND IDEAS, THEN ETFS, TO  
SEE OUR RANGE OF ETF RESOURCES."

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Cash	\$ -	(\$0.16)	\$0.16	-	\$ -	-	
Insrd Dep Acct	14,483.85	10,254.94	4,228.91	41.2%	-	0.01%	Insrd Dep Acct 7.9%
Money Market	-	-	-	-	-	-	Other 13.6%
Short Balance	-	-	-	-	-	-	
Stocks	-	-	-	-	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	-	-	-	-	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	145,010.31	162,794.19	(17,783.88)	(10.9)%	2,025.50	1.4%	Mutual Funds 78.5%
Other	25,009.49	6,004.36	19,005.13	316.5%	-	-	
<b>Total</b>	<b>\$184,503.65</b>	<b>\$179,053.33</b>	<b>\$5,450.32</b>	<b>3.0%</b>	<b>\$2,025.50</b>	<b>1.1%</b>	
<b>Margin Equity</b>	<b>100.0%</b>						

Cash Activity Summary

Income & Expense Summary

Performance Summary

	Current	YTD	Reportable	Non Reportable	YTD	Cost Basis As Of - 12/31/13	
Opening Balance	(\$0.16)	\$ -				\$49,645.55	
Securities Purchased	(40,560.66)	(56,429.54)	Dividends			Unrealized Gains	6,235.77
Securities Sold	35,000.00	55,000.00	Interest			Unrealized Losses	-
Funds Deposited	28,128.76	122,322.45	Other			Funds Deposited/(Disbursed) <sup>YTD</sup>	(18,677.55)
Funds Disbursed	(35,000.00)	(141,000.00)	Expense			Income/(Expense) <sup>YTD</sup>	18,934.16
Income	16,660.66	18,949.54	Interest			Securities Received/(Delivered) <sup>YTD</sup>	0.00
Expense	-	(15.38)	Fees				
Other	(4,228.60)	1,172.93	Other				
Closing Balance	\$0.00	\$0.00	Net				

# Statement for Account

10/01/13 - 12/31/13

## Online Cash Services Summary

Description	Current	Year To Date
<b>CREDITS</b>		
Electronic Transfer	\$ 28,128.76	\$ 122,322.45
<i>Subtotal</i>	28,128.76	122,322.45
<b>DEBITS</b>		
Electronic Transfer	\$ (35,000.00)	\$ (141,000.00)
<i>Subtotal</i>	(35,000.00)	(141,000.00)
<b>TOTAL</b>	<b>(6,871.24)</b>	<b>(18,677.55)</b>

## Income Summary Detail\*

Description	Current	Year to Date
Ordinary Dividends	\$ 2,340.10	\$ 2,604.36
Margin Interest Charged	0.00	(15.38)
Short Term Capital Gains	97.52	97.52
Long Term Capital Gains	14,223.04	16,247.66
IDA Interest	5.44	17.55

\*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

## Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain/(Loss)	Estimated Income	Yield
<b>Mutual Funds - Cash</b>										
JANUS GLOBAL ALLOC MODERATE CL T	JSPMX	167.306	\$ 13.60	\$ 2,275.36	-	\$ **	\$ -	\$ -	\$ 43.50	1.9%
JANUS PERKINS GLOBAL VALUE T	JGVAX	100.763	14.03	1,413.70	-	**	-	-	31.94	2.3%
MANAGERS AMG YACKTMAN FUNDSERVICE CL	YACKX	67.394	23.54	1,586.45	-	**	-	-	14.22	0.9%

## Statement for Account [REDACTED]

10/01/13 - 12/31/13

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Mutual Funds - Margin</b>										
JANUS TWENTY FD T	JAVLX	467.284	\$ 63.48	\$ 29,663.19	01/01/01	\$ 26,866.29	\$ 57.50	\$ 2,794.90	\$ -	-
JANUS GLOBAL ALLOC MODERATE CL T	JSPMX	3,703.806	13.60	50,371.76	-	**	-	-	962.99	1.9%
JANUS PERKINS GLOBAL VALUE T	JGVAX	1,509.829	14.03	21,182.90	-	**	-	-	478.62	2.3%
JANUS BALANCED FD T	JABAX	698.563	29.98	20,942.62	02/07/11	18,209.75	26.07	2,732.87	336.70	1.6%
MANAGERS AMG YACHTMAN FUND SERVICE CL	YACKX	746.573	23.54	17,574.33	-	**	-	-	157.53	0.9%
<b>Total Mutual Funds</b>				<b>\$145,010.31</b>		<b>\$45,078.04</b>		<b>\$5,527.77</b>	<b>\$2,025.50</b>	<b>1.4%</b>
<b>Other - Cash</b>										
TD BANK USA FDIC INSRD INV SVG NOT COVERED BY SIPC †	-	25,009.49	\$ 1.00	\$ 25,009.49	-	\$ -	\$ -	\$ -	\$ -	-
<b>Total Other</b>				<b>\$25,009.49</b>		<b>\$0.00</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>0.0%</b>
<b>Total Cash Account</b>				<b>\$30,285.00</b>		<b>\$0.00</b>		<b>\$0.00</b>	<b>\$89.66</b>	<b>0.3%</b>
<b>Total Margin Account</b>				<b>\$139,734.80</b>		<b>\$45,078.04</b>		<b>\$5,527.77</b>	<b>\$1,935.84</b>	<b>1.4%</b>
<b>Total Positions</b>				<b>\$170,019.80</b>		<b>\$45,078.04</b>		<b>\$5,527.77</b>	<b>\$2,025.50</b>	<b>1.2%</b>



Statement Reporting Period:  
12/01/13 - 12/31/13

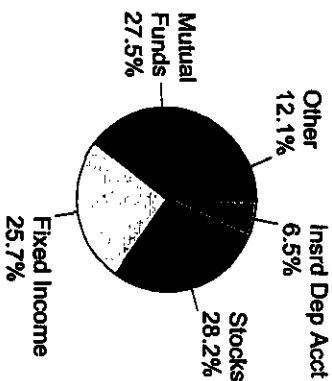
800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account [REDACTED]  
HAYWOOD EDWIN WHITE III SEP IRA TD  
AMERITRADE CLEARING CUSTODIAN

Announcements:  
TD AMERITRADE WAS NAMED BEST BROKER  
FOR ETFs IN Kiplinger's PERSONAL  
FINANCE, DECEMBER 2013 EDITION,  
"THE BEST ISSUE." LOG IN AND GO TO  
RESEARCH AND IDEAS, THEN ETFs, TO  
SEE OUR RANGE OF ETF RESOURCES.

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Cash	\$ -	\$ -	\$ -	-	\$ -	-	
Insr'd Dep Acct	33,206.71	38,356.25	(5,149.54)	(13.4)%	-	0.01%	Insr'd Dep Acct 6.5%
Money Market	-	-	-	-	-	-	Other 12.1%
Short Balance	-	-	-	-	-	-	
Stocks	143,213.45	139,857.76	3,355.69	2.4%	4,013.98	2.8%	Stocks 28.2%
Short Stocks	-	-	-	-	-	-	
Fixed Income	130,447.25	131,166.25	(719.00)	(0.5)%	6,312.50	4.8%	Fixed Income 25.7%
Options	-	-	-	-	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	139,703.08	135,052.78	4,650.30	3.4%	2,418.36	1.7%	Mutual Funds 27.5%
Other	61,400.23	61,394.62	5.61	-	-	-	
Total	\$507,970.72	\$505,827.66	\$2,143.06	0.4%	\$12,744.84	2.5%	



Cash Activity Summary

Retirement Account Summary

Performance Summary

	Current	YTD	2013 PTD	2013 YTD	2012 YTD	Cost Basis As Of - 12/31/13
Opening Balance	\$ 0.00	\$0.02	-	-	-	\$394,510.48
Securities Purchased	(21,802.98)	(595,904.31)	-	-	-	22,837.24
Securities Sold	13,701.85	490,830.73	-	-	-	(3,983.94)
Contributions	-	40,000.00	-	-	-	Unrealized Losses
Distributions	-	-	-	-	-	Funds Deposited/(Disbursed) <sup>YTD</sup>
Income	2,951.26	10,012.53	-	-	96.69	Income/(Expense) <sup>YTD</sup>
Expense	-	(120.60)	-	-	-	Securities Received/(Delivered) <sup>YTD</sup>
Other	5,149.87	55,181.63	-	-	-	
Closing Balance	\$ 0.00	\$0.00	-	-	-	

**Statement for Account**  
12/01/13 - 12/31/13

Income Summary Detail*				Year to Date
Description	Current			
Interest Income - Securities	\$ 156.25			\$ 1,000.00
Ordinary Dividends	1,300.15			4,305.79
Interest Income Credit Balance	0.00			0.03
Short Term Capital Gains	163.64			163.64
Long Term Capital Gains	1,199.22			1,199.22
Foreign Dividend Tax Withheld	0.00			(120.60)
Qualified Dividends	132.00			3,166.83
IDA Interest	5.94			50.97

\*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions										
Investment Description	Symbol	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
<b>Stocks - Cash</b>										
ALPS ETF TRUST	AML	1,446,555	\$ 17.79	\$25,734.21	07/06/12	\$ 23,860.63	\$ 16.49	\$ 1,873.58	\$ 1,544.92	6.0%
ALERIAN MLP										
APPLE INC	AAPL	66,596	561.02	37,361.69	07/25/12	35,226.20	528.95	2,135.49	812.47	2.2%
COM										
CATERPILLAR INC	CAT	100	90.81	9,081.00	12/12/13	8,553.98	85.54	527.02	240.00	2.6%
COM										
COVANTA HOLDING	CVA	700	17.75	12,425.00	11/05/13	12,441.97	17.77	(16.97)	462.00	3.7%
CORP										
COM										
JOHNSON & JOHNSON	JNJ	201,413	91.59	18,447.42	10/02/13	17,436.98	86.57	1,010.44	531.73	2.9%
COM										
MACY'S INC	M	150	53.40	8,010.00	11/26/13	7,953.99	53.03	56.01	150.00	1.9%
COM										
VALE S.A.	VALE	1,350,041	15.25	20,588.13	05/07/13	19,700.78	14.59	887.35	176.86	0.9%
ADR										
WHOLE FOODS MKT INC	WFM	200	57.83	11,566.00	11/22/13	11,187.99	55.94	378.01	96.00	0.8%
COM										
<b>Total Stocks</b>				<b>\$143,213.45</b>		<b>\$136,362.52</b>		<b>\$6,850.93</b>	<b>\$4,013.98</b>	<b>2.8%</b>



**Statement for Account # [REDACTED]**  
12/01/13 - 12/31/13

**Account Positions**

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
<b>Fixed Income - Cash</b>										
ANNE ARUNDEL CNTY DEL GO BDS CALLABLE 5.25% 04/01/2027	03588E4P5	25	\$ 103.441	\$ 25,860.25	11/13/13	\$ 26,622.00	\$ -	\$ (761.75)	\$ 1,312.50	5.1%
GENERAL ELEC CAP CORP SENIOR MEDIUM TERM NOTE 5% 05/15/2030	36966TCAB	25	99.945	24,986.25	11/13/13	25,987.50	-	(1,001.25)	1,250.00	5.0%
GOLDMAN SACHS GROUP INC SENIOR NOTE 5.75% 01/24/2022	38141GGST	25	112.569	28,142.25	11/13/13	28,345.75	-	(203.50)	1,437.50	5.1%
TIME WARNER CABLE INC NOTE M/W 6.75% 07/01/2018	88732JAL2	25	112.144	28,036.00	11/13/13	28,269.00	-	(233.00)	1,687.50	6.0%
WELLS FARGO & CO SR NT STP CPN 2.5%-7% QTLY 2.5% 06/29/2027	94986RKL9	25	93.69	23,422.50	06/26/12	25,000.00	-	(1,577.50)	625.00	2.7%
<b>Total Fixed Income</b>				<b>\$130,447.25</b>		<b>\$134,224.25</b>		<b>\$(3,777.00)</b>	<b>\$6,312.50</b>	<b>4.8%</b>

Yields displayed for fixed income products are the estimated current yield. Income displayed for fixed income products is the estimated yearly income and may not take into account certain features of bonds such as variable rate bonds, maturing bonds or called bonds.

<b>Mutual Funds - Cash</b>										
DODGE & COX FUNDS GLOBAL STOCK	DODWX	1,876.804	\$ 11.48	\$ 21,545.71	02/04/13	\$ 18,943.57	\$ 10.09	\$ 2,602.14	\$ 305.92	1.4%
LOOMIS SAYLES BOND FUND RETAIL CL	LSBRX	1,915.081	15.09	28,898.57	01/07/13	29,088.54	15.19	(189.97)	1,269.70	4.4%
PRIMECAP ODYSSEY AGGRESSIVE GROWTH	POAGX	1,400.539	29.65	41,525.98	02/04/13	33,869.92	24.18	7,656.06	-	-
VANGUARD TARGET RETIREMENT 2040 FUND	VFORX	1,685.481	28.32	47,732.82	01/07/13	42,021.68	24.93	5,711.14	842.74	1.8%
<b>Total Mutual Funds</b>				<b>\$139,703.08</b>		<b>\$123,923.71</b>		<b>\$15,779.37</b>	<b>\$2,418.36</b>	<b>1.7%</b>

Statement for Account

12/01/13 - 12/31/13

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Other - Cash										
TD BANK USA FDIC INSRD - INV SVG NOT COVERED BY SIPC †		61,400.23	\$ 1.00	\$ 61,400.23	-	\$ -	\$ -	\$ -	\$ -	-
Total Other				\$ 61,400.23		\$ 0.00		\$ 0.00	\$ 0.00	0.0%
Total Cash Account				\$ 474,764.01		\$ 394,510.48		\$ 18,853.30	\$ 12,744.84	2.7%