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Form A

**UNITED STATES HOUSE OF REPRESENTATIVES** 

#### PRELIMINARY INFORMATION EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER <u>EACH</u> OF THESE QUESTIONS excluded from this report details of such a trust benefiting you, your spouse, or dependent child? TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you If yes, complete and attach Schedule V. V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? or exchange any reportable asset in a transaction exceeding IV. Did you, your spouse, or a dependent child purchase, sell **EXEMPTION**—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because \$1,000 during the reporting period? If yes, complete and attach Schedule IV. income of more than \$200 in the reporting period or hold any If yes, complete and attach Schedule II. they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics If yes, complete and attach Schedule III. reportable asset worth more than \$1,000 at the end of the period? III. Did you, your spouse, or a dependent child receive "unearned" reporting period? II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I. I. Did you or your spouse have "earned" income (e.g., salaries or Name: **CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT** Report Status Filer Rodney P. Frelinghuysen Annual (May 16, 2011) House of Representatives Member of the U.S. District: State: New Jersey ANSWER EACH Amendment Yes X Yes Yes Yes Yes X OF THESE Daytime Telephone: 202-225-5034 **Z** <mark>ک</mark> 8 ö **Z** Officer or Employee × × × an outside entity? If yes, complete and attach Schedule IX. VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting IX. Did you have any reportable agreement or arrangement with If yes, complete and attach Schedule VIII of filing in the current calendar year? period (worth more than \$335 from one source)? reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? VIII. Did you hold any reportable positions on or before the date If yes, complete and attach Schedule VII. If yes, complete and attach Schedule VI. VI. Did you, your spouse, or a dependent child receive any For use by Members, officers, and employees QUESTIONS appropriate schedule attached for each "Yes" response Employing Office Each question in this part must be answered and the Termination Termination Date: against anyone who files more than A \$200 penalty shall be assessed 30 days late. CHEST ATTYE RESOURCE CLINIC. 2011 MAY 12 AM 11: 26 HAND DELIVERED n, (Office Use Only) Yes x Yes Yes |X Yes Yes Yes × No <u>Z</u> <u>Z</u> <u>z</u> Z 0 ö × × ×

	5	DC,	SP,	ОП 00-1- и и и и и и и и и и и и и и и и и и	
Pages 3 th		Examples:	SP	the name of the institution holding the value at the end of the reporting period. For rental or other ead property held for vide a complete address.  For an ownership interest in a privatel that is not publicly traded, state the naness, the nature of its activities, and its gotton in Block A.  Exclude: Your personal residence, in homes and vacation homes (unless the income during the reporting period); and ing \$5,000 or less in a personal cherical period, and accounts; and any financial interest in, one form, a federal retirement program, incident source is that of your spouse (SI child (DC), or is jointly held with your spouse) optional column on the far left.  For a detailed discussion of Schedule I please refer to the instruction booklet.	BLOCK A  Asset and/or Income Sou Identify (a) each asset held for investment or of income with a fair market value exceeding the end of the reporting period, and (b) reportable asset or sources of income which more than \$200 in "unearned" income during Provide complete names of stocks and mutus not use ticker symbols.)  For all IRAs and other retirement plans (suc plans) that are self-directed (i.e., plans in which the power, even if not exercised, to select t investments), provide the value for each asset account that exceeds the reporting thresholds that the power is the county of the contract of the county o
s 3 thru 25		Simon & Schuster	Mega Corp. Stock	the name of the institution holding the account and its value at the end of the reporting period.  For rental or other real property held for investment, provide a complete address.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.  For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	BLOCK A  Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use ticker symbols.)  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement plans that he exceeds the reporting thresholds. For retirement plans that he exceeds the reporting thresholds. For retirement plans that are self-directed in the account that exceeds the reporting thresholds. For retirement plans that are self-directed in the account that exceeds the reporting thresholds. For retirement plans is the power of the p
	┰			None >	
		Ē		\$1 - \$1,000	Indicate Indicate reportin method please If an as year ar generat "None."
		Indefinite		\$1,001 – \$15,000	ate ting od od assumers
		ē		\$15,001 - \$50,000	val
			X	\$50,001 – \$100,000 m	Nalue live er 1 incorr
	×			\$100,001 - \$250,000	BLOCK B  Value of Asset  Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.  If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."
				\$250,001 – \$500,000 p	as: as: het the
				\$500,001 - \$1,000,000	B Set use ir n hod hod only val
				\$1,000,001 - \$5,000,000 -	at at at at us
				\$5,000,001 \$25,000,000	ket valed.
				\$25,000,001 - \$50,000,000	by valuet
				Over \$50,000,000:	be the state of
				NONE	======================================
			×	DIVIDENDS	Type of Inc.  Type of Inc.  Check all columns the retirement accounts that you to choose specific in that generate tax-defe (such as 401(k) plans may check the "No Dividends, interest, gains, even if reinvest disclosed as income. If the asset generated no ing the reporting period.
	×			RENT	mer mer one seemed with a seemed associated
		1		INTEREST	Type k all oc ment ac c choose generate as 401 as 401 check lends, seven seed as asset ge asset ge
		Ì	×	CAPITAL GAINS	BL BL BL BL BL BL BL BL BL BL
		T		EXCEPTED/BLIND TRUST	BLOCK  e of in  lumns  counts the specific  stax-de  (k) plant  the "Interest  if relave  income  income  nerated  ng peric
		Royalties		Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Type of Income  Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.
		ļ		None –	For the as as was was
		1		\$1 \$200 =	Amount of Inco Amount of Inco Amount of Inco For retirement accounts that you to choose specific inv that generate tax-deferred in as 401(k) plans or IRAs), yo the "None" column. For all o indicate the category of checking the appropriate Dividends, interest, and co even if reinvested, must b as income. Check "None" was earned or generated.
				\$201 - \$1,000	Ar rem chc chc (k) p reing t r
		1	×	\$1,001 - \$2,500 <b>&lt;</b>	BLOCK D  Amount of Income  ment accounts that do n  shoose specific investm  erate tax-deferred incom  plans or IRAs), you ma  e" column. For all other  the category of inco  the appropriate box  ds, interest, and capital  reinvested, must be dis  me. Check "None" if no  ned or generated.
		_	Щ	\$2,501 – \$5,000 <	BL( accc sp accc ax-d accc sor! sor! sor! ax-d ax-d ax-d ax-d ax-d pate app
	×	-		\$5,001 <b>-</b> \$15,000 ≤	BLOCK D  accounts the specific specific ax-deferred or IRAs), or IRAs), amm. For a category appropria appr
				\$15,001 - \$50,000 ≦ \$50,001 - \$100,000 ≦	In(D) sthick irred (D) s), y r all the right of the point
				\$50,001 <b>-</b> \$100,000 ≦	at de ince other ince
		×	<u> </u>	\$100,001 - \$1,000,000	ne on
				\$1,000,001 \$5,000,000 ×	Amount of Income  Amount of Income  For retirement accounts that do not allow you to choose specific investments on that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below.  Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.
				Over \$5,000,000 ≚	ne ed s s s s s s s s s s s s s s s s s s
			S (partial)	If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.  P, S, E	BLOCK E Transaction Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

#### RODNEY P. FRELINGHUYSEN

ASSETS	YEAR-END YALUE	INCOME	2010 INCOME	2010 TRANSACTION
Johnson & Johnson	Q	DIV	VII	
Pall Corp.	<b>Q</b>	DIV	V	
Peapack Gladstone Financial Corp.	뚕	DIV	IV	
Procter & Gamble Co.	П	DIVGAIN	X	S(partial)
International Business Machines	ш	DIV	VII	
Eli Lilly & Co.	<b>Q</b>	DIV	VI	
Brocade Communications Systems, Inc. (IRA)	С	NONE	Н	
Peapack Gladstone Bank (CKG)	н	INT	Ш	
JP Morgan Chase Bank (SVGS)	<b>E</b>	INT	П	
Congressional Federal Credit Union	C	TNT	П	
Merck & Co., Inc.	ಡ	DIV	V	
JP Morgan Funds	C	DIV	П	
Prime Money Market Fund (IRA)	С	DIV	Under \$1.00	

#### RODNEY P. FRELINGHUYSEN

ASSETS	YEAR-END VALUE	INCOME	2010 INCOME	2010 TRANSACTION
Abbott Labs	Ħ	DIV	ΙΛ	
Emerson Electric Co.	Ħ	DIV	V	
Exxon Mobil Corp.	Q	DIV	ΙΛ	
Hospira, Inc.	ఠ	NONE	<del></del>	
McGraw Hill Cos., Inc.	턴	DIV	IV	
Medtronic, Inc.	A	DIV/GAIN	ΙΛ	SO.
Schlumberger LTD	দ্য	DIV	W	
Wells Fargo & Co. (NEW)	ਲ	DIV	Ш	
B G Group PLC	Ħ	DIV	W	
Nestle SA Sponsored ADR	푀	DIV	V	
General Electric Co.	ਸ਼	DIV	W	
White Mountain Insurance Group	Α	DIVGAIN	V	PS
Chicago Bridge & Iron Co.	Ħ	DIV	H	

#### RODNEY P. FRELINGHUYSEN

ASSETS	YEAR-END YALUE	INCOME	2010 INCOME	2010 TRANSACTION
Schwab U.S. Treasury Money Fund	ᅜ	DIV	п	P
Varian Medical Systems, Inc.	H	NONE	H	
Denbury Resources, Inc. (Formerly, Encore Acquisition Co)	Я	NONE	Н	
Union Pacific Corp.	Ħ	DIV	IV	
American Superconductor Corp.	ਸ਼	NONE	Н	
Plains Exploration & Production Co.	A	NONE	<b>—</b>	SQ.
Laboratory Corp. of America	Ħ	NONE	I	
Encore Energy Partners	ᅜ	DIV	\J	
Berkshire Hathaway CL'B'	壤	NONE	н	
Google, Inc. CL'A'	壤	NONE	Н	ħ
Bank of NY Mellon Corp	ਲ	DIV	W	
Coca Cola Company	E	DIV	IV	

#### RODNEY P. FRELINGHUYSEN

ASSETS	YEAR-END VALUE	INCOME	2010 INCOME	2010 TRANSACTION
Devon Energy Corp.	超	DIV	Ħ	
Encana Corp.	A	DIV	IV	ø
Royal Dutch Shell	뮯	DIV	IA	P
John Wiley & Son CL'A'	Ð	DIV	IV	
Third Avenue Focused (TFCIX)	푀	DIV	W	P
Park Ridge NJ 3.875% Due 10/15/17	A	INT	Ш	ø
U.S. T-Note 1% due 8/31/11	A	INT/GAIN	V	œ
Ishares MSCI Emrg. Mkt Fund	ъ	DIV	IV	
Sysco Corparation	E	DIV	٧	
U.S. T-Note .75% due 11/30/11	Ģ	INT/GAIN	H	P/S(partial)
Chevron Corp.	Ħ	DIV	Н	P .
ABB Ltd ADR	म्	DIV	V	д
TJX Co. Inc.	ਲ	DIV	H	P

#### RODNEY P. FRELINGHUYSEN

## CONTINUATION SHEET - SCHEDULE III

Pacific Life - Whole Life Insurance	1/5 interest in Rattlesnake Mountain, LLC Rental Home, Stockbridge, MA	1.5th undivided interest in 235.8 acres of unimproved land, Stockbridge, Ma. (Est. Value)	17.923 Acres unimproved land, Frelinghuysen Twp., NJ (Commercial Value 2005)	First Eagle Gold Fund CL'A'	Cisco Systems, Inc.	Celgene Corp.	Medco Health Solutions, Inc	ASSETS
<b>G</b>	ᅜ	坩	G	দ্র	Ø	텀	ᅜ	YEAR-END VALUE
N/A	RENT	NONE	NONE	DIV	MONE	NONE	NONE	INCOME
	Ι	H	I	Ţ	H	H	Н	2010 INCOME
				ਧ	ħ	P	p	2010 TRANSACTION

Daughter no longer a dependent therefore the following assets have been dropped: Pfizer, Inc., Bank of America(svgs), Conoco Phillips, Hugoton Royalty Trust & U.S. T-Note .875% Due 2/28/11.

#### RODNEY P. FRELINGHUYSEN

### CONTINUATION SHEET - SCHEDULE III

Identity : Procter Trust For Frelinghuysen,
Peter H. B. Frelinghuysen, Trustee (1/10th income
interest ) \*

All Assets are held in trust, and all transactions are initiated by the Trustee

The trust was not created directly by Rodney, his spouse or any dependents.

They are neither consulted nor give advice regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly, quarterly, yearly reports of any type regarding this trust, excepting the Yearly K-1. Therefore, it qualifies as an Excepted Trust

This Trust replaces the Marital Trust u/w Rodney Procter and the Non-Marital Trust u/w Rodney Procter.

2010 Income Received

X

#### RODNEY P. FRELINGHUYSEN

### CONTINUATION SHEET - SCHEDULE III

Identity : A.H. Frelinghuysen 1950 Trust,
Peter H. B. Frelinghuysen, Trustee
(1/5 income interest)\*

All Assets are held in trust, and all transactions are initiated by the Trustee

The trust was not created directly by Rodney, his spouse or any dependents.

They are neither consulted nor give advice regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly, quarterly, yearly reports of any type regarding this trust, excepting the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

2010 Income Received

Y

#### RODNEY P. FRELINGHUYSEN

### CONTINUATION SHEET - SCHEDULE III

Identity: Peter H.B. Frelinghuysen Trust U/D dated 1/17/57, Peter H.B. Frelinghuysen and George L. K. Frelinghuysen, Trustees (1/8th income interest)\*

All Assets are held in trust, and all transactions are initiated by the Trustee

The trust was not created directly by Rodney, his spouse or any dependents.

They are neither consulted nor give advice regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly, quarterly, yearly reports of any type regarding this trust, excepting the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

2010 Income Received

M

#### RODNEY P. FRELINGHUYSEN

### CONTINUATION SHEET - SCHEDULE III

Identity: B. P. Frelinghuysen Trust U/A 4/3/73 Deutsche Bank, New York, NY Trustee (discretionary income interest)\*

HOLDINGS	Procter & Gamble Co.	Deutsche Bank Trust Co. Tax Free Income Fund	Deutsche Bank Trust Money Market Account	Cisco Systems, Inc.	Intel Corp.	Microsoft Corp.	Pfizer	
YEAR-END VALUATION F	I	늄	Ð	С	С	C	D	
INCOME TYPE DIV	DIV	DIV	INT	NONE	DIV	DIV	DIV	
2010 INCOME VI	VII	VI	П	<b>-</b>	П	Ш	IV	
2010 TRANSACTION			S(partial)					

<sup>\*</sup> All Assets listed are held in trust, and all transactions are initiated by the Trustee. investments. However, Rodney does receive quarterly statements regarding this trust. Neither Rodney, his spouse or any dependents is consulted nor gives advice regarding these

#### RODNEY P. FRELINGHUYSEN

SPDR Tr. Unit Ser I Standard & Poors Dep. Receipt	Ishares Tr. MSCI EAFE Index Fd	Medco Health Solutions	Noble Corp. ORD	General Electric Co.	HOLDINGS
∙म्र	D	ਸ਼	D	C	YEAR-END VALUATION
DIV	DIV	NONE	DIV	DIV	INCOME
W	Ш	Ι	H	П	2010 INCOME
					2010 TRANSACTION

#### RODNEY P. FRELINGHUYSEN

### CONTINUATION SHEET - SCHEDULE III

Identity: B. S. Procter Trust U/A 5/31/60 F/B/O Rodney P. Frelinghuysen Peter Frelinghuysen & Rodney P. Frelinghuysen, Trustees B. S. Procter Trust U/A

HOLDINGS	YEAR-END VALUATION	INCOME	2010 INCOME	2010 TRANSACTION
Abbott Laboratories	দ্ৰ	DIV	ΙΛ	
Bristol Myers Squibb Co.	ਲ	DIV	V	
IMS Health, Inc.	<b>A</b>	DIV	Ι	Ð
Moody's Corp.	IJ	DIV	H	
R.H. Donnelley Corp.	A	NONE	. 1	Deemed Worthless
Gannett Co., Inc.	C	DIV	п	
H. J. Heinz Company	D	DIV	IV	
Pepsico, Inc.	坘	DIV	ΙΛ	
Procter & Gamble Co.	<b>u</b>	DIV	X	
Loews Corp.	<b>Ģ</b>	DIV	IV	
Motorola, Inc.	D	DIV	Ι	

#### RODNEY P. FRELINGHUYSEN

HOLDINGS	YEAR-END VALUATION	INCOME	2010 INCOME	2010 TRANSACTION
CSX Corporation	Ħ	DIV	W	
AT&T, Inc. new	D	DIV	IV	
Alcatel-Lucent ADR	В	NONE	I	
Daily Income Fund	H	INT	П	S(partial)
American Express Co.	Ð	DIV	IV	
McGraw-Hill Cos., Inc.	Ħ	DIV	IV	
Sherwin Williams Co.	Ħ	DIV	V	
Dun & Bradstreet Corp., NEW	D	DIV	Ħ	
Zimmer Holdings, Inc.	D	NONE	Ι	
Smucker, J.M. Co.	Ħ	DIV	V	
DelMonte Foods Co.	C	DIV	П	
Comcast Corp. New CL 'A'	C	DIV	П	
Hospira, Inc.	D	NONE	Π	

#### RODNEY P. FRELINGHUYSEN

Ameriprise Financial, Inc.	HOLDINGS
D	YEAR-END VALUATION
DIV	INCOME
Ш	2010 INCOME
	2010 TRANSACTION

#### RODNEY P. FRELINGHUYSEN

### CONTINUATION SHEET - SCHEDULE III

Identity: V. T. Robinson Trust U/A dated 4/10/80, Fiduciary Trust Company, Boston, Ma. Trustee (spouse discretionary income interest)\*

	HOLDINGS	YEAR-END VALUATION	INCOME	2010 INCOME	2010 TRANSACTION
	Home Depot, Inc.	Ð	DIVGAIN	ПΛ	S(partial)
	Coca Cola Co.	ਲ	DIV	IV	
_	Pepsico, Inc.	턴	DIVGAIN	VIII	S(partial)
*	Altria Group, Inc.	ਲ	DIVGAIN	Ϋ́	S(partial)
	Procter & Gamble Co.	趩	DIVGAIN	4	S(partial)
<i>t</i>	Aetna, Inc., NEW	Α	GAIN	VIII	Ø

All Assets listed are held in trust, and all transactions are initiated by the Trustee

Rodney P. Frelinghuysen is neither consulted nor gives advise regarding these investments.

Spouse does receive quarterly statements regarding this trust.

#### RODNEY P. FRELINGHUYSEN

HOLDINGS	YEAR-END VALUATION	INCOME	2010	2010 TRANSACTION
General Electric Co.	Ð	DIV	IV	
Emerson Electric Co.	뻥	DIV	V	
Parker - Hannifin Corp.	ਲ	DIV/GAIN	ΥП	S(partial)
Hewlett - Packard	Ħ	DIV	H	
International Business Machines	Ħ	DIVGAIN	IV	S(partial)
Microsoft Corp.	н	DIVGAIN	VIII	S(partial)
BP PLC Sponsored ADR	Α	DIVGAIN	VII	<b>∞</b>
Exxon Mobil Corp	ᅜ	DIV/GAIN	VII	S(partial)
Royal Dutch Shell PLC ADR (Formerly, Royal Dutch Petroleum Co.)	븀	DIV	V	
Union Pacific Corp.	Ħ	DIV/GAIN	VIII	S(partial)
J P Morgan Chase & Co.	D	DIV	Ш	
Oakmark Int'l Fund CL'T	B	DIV	Ш	

#### RODNEY P. FRELINGHUYSEN

HOLDINGS	YEAR-END VALUATION	INCOME	2010 INCOME	2010 TRANSACTION
Yum Brands, Inc.	Ħ	DIVGAIN	ΙΛ	S(partial)
Public Storage, Inc.	হ	DIV	IV	
Johnson & Johnson	D	DIV/GAIN	ΙΛ	S(partial)
Stericycle, Inc.	Ħ	GAIN	IIA	S(partial)
Colgate-Palmolive Co.	E	DIV/GAIN	VΠ	S(partial)
Diageo PLC ADR	D	DIVGAIN	V	S(partial)
Apache Corp.	IJ	DIV	Ш	
Sussex Cty NJ - Cty College 3.75% due 9/01/2013	푀	INT	V	
VIMAC IT Fund III LP	D	NONE	н	
Ishares Barclays 1-3 Yr Credit Bond	Ħ	INI	¥	
Ishares Barclays Treasury - Inflation Protected Fund	A	INTGAIN	ΙΛ	æ
Templeton Global Bond Fund Advisor CL	Ф	DIV	TIV	Ь
Philip Morris Int'l	Ħ	DIVGAIN	VII	S(partial)

#### RODNEY P. FRELINGHUYSEN

YEAR-END  VALUATION  D  E	INCOME TYPE DIV NONE	2010 INCOME III I	2010 TRANSACTION S(partial)
<b>О</b>	DIV	VI V	P
Ð	NONE	H	
壤	DIV	Ħ	S(partial)
Ð	DIV	VI	S(partial)
ם	DIV	П	S(partial)
D	DIV	IV	S(partial)
щ	DIV	IV	P
펏	DIV	IV	
ਸ਼	DIV	IV	
	YEAR-END VALUATION  D  G  F  D  F  F  F		INCOME  TYPE  NONE  NONE  DIV  DIV  DIV  DIV  DIV  DIV  DIV  DI

#### RODNEY P. FRELINGHUYSEN

HOLDINGS	YEAR-END VALUATION	INCOME	2010 INCOME	2010 TRANSACTION
Wisdomtree Int'l Reas Estate Index ETF	편	DIV	VI	P
Hussman Strategic Growth Fund	G	DIV	Ш	P
Pimco 1-5 Year US TIPS Index ETF	म्ब	DIV	M	P
Chubb Corp.	C	DIV	I	đ
Analog Devices	D	DIV	п	P
Exelon Corp.	C	DIV	п	Ą
Franklin Int'l Small Cap Growth ADV	ᅜ	DIV	M	ħ
Tortoise Energy Infrastructure Corp.	<del>- 1</del>	DIV	ΙΛ	P

#### RODNEY P. FRELINGHUYSEN

### CONTINUATION SHEET - SCHEDULE III

Identity: H. S. Robinson Trust U/A dated 12/16/82, Fiduciary Trust Company, Boston, Ma. Trustee (spouse discretionary income beneficiary)\*

HOLDINGS	YEAR-END VALUATION	INCOME	2010 INCOME	2010 TRANSACTION
J P Morgan Chase & Co.	D	DIV	П	
Coca Cola Co.	D	DIVGAIN	VΊ	S(partial)
Altria Group, Inc.	Ð	DIV/GAIN	٧	S(partial)
Abbott Labs	D	DIV/GAIN	VΙ	S(partial)
Johnson & Johnson	С	DIV/GAIN	VII	S(partial)
Emerson Electric	D	DIV/GAIN	IA	S(partial)

All Assets listed are held in trust, and all transactions are initiated by the Trustee

Rodney P. Frelinghuysen is neither consulted nor gives advise regarding these investments.

Spouse does receive quarterly statements regarding this trust

#### RODNEY P. FRELINGHUYSEN

HOLDINGS	YEAR-END VALUATION	INCOME	2010 INCOME	2010 TRANSACTION
General Electric Co.	D	DIV/GAIN	ΙΛ	S(partial)
Hewlett - Packard	D	DIV/GAIN	ΥI	S(partial)
Exxon Mobil Corp.	ਲ	DIV/GAIN	IIA	S(partial)
Montclair NJ Gen. Oblig.	Α	INT	IV	SQ.
Burlington Cty NJ OID	A	INI	V	Ø
AT&T, Inc. new	D	DIV/GAIN	IIA	S(partial)
Oakmark Int'l Fund CL 'I'	D	DIV	Ш	
Templeton Global Bond Fund	<b>G</b>	INT	ПА	P
Diageo PLC ADR	A	DIV	H	ø
Procter & Gamble Co.	D	DIV/GAIN	AI	S(partial)
Novartis AG ADR	D	DIV	IV	S(partial)
Absolute Strategies Fund Int'l	ଦ	DIV	W	ъ
Ishares Barclay 1-3yr. Credit Bond	μij	INT	ΙΛ	,

#### RODNEY P. FRELINGHUYSEN

HOLDINGS	YEAR-END VALUATION	INCOME	2010 INCOME	2010 TRANSACTION
Ishares Barclays Treasury - Inflation Protected Fund	Α	INT/GAIN	VI	œ
Philip Morris Int'l	평	DIVGAIN	ΥШ	S(partial)
Apache Corp.	ਲ	DIVGAIN	IV	S(partial)
Royal Dutch Shell PLC ADR	ᅜ	DIV/GAIN	VI	S(partial)
Schlumberger LTD	Ŋ	DIV/GAIN	IV	S(partial)
SPDR Gold Trust	Ħ	NONE	I	
Allianz NFJ Int'l Value Fund	ኴ	DIV	IV	
Thomas White Int'l Fund	ᅜ	DIV	IV	
Diamond Hill Long/Short Fund CL'T	Α	GAIN	VI	Ø
Hussman Strategic Growth Fund	ᅜ	DIV	П	P
Leuthold Core Investment Fund Inst'l CL	D	DIV	Ħ	S(partial)
Dreyfus Govt Cash Mgmt Instl SHS (Formerly, Dreyfus Inst'l Cash Advantage Fund)	দ্ৰ	DIV	п	P

#### RODNEY P. FRELINGHUYSEN

E GAIN  Oftware Index Fund  D GAIN  lex Fund  A GAIN  x Fund  F DIV/GAIN	2010 INCOME TI VI	INCOME TYPE  DIVGAIN  GAIN  GAIN  GAIN  GAIN  DIVGAIN  DIVGAIN	YEAR-END VALUATION E  E  A  F	Vanguard Short-Term Tax Exempt Fund  Vanguard High Yield Corp. Fund  Express Scripts, Inc.  Apple, Inc.  Google, Inc. CL'A'  S & P North American Tech Software Index Fund  Ishares Russell 2000 Value Index Fund  Ishares MSCI Emrg Mkt Index Fund  Thornburg Int'l Value Fund I
	2010 INCOME IV VI VI	INCOME TYPE DIV GAIN	YEAR-END YALUATION E D	HOLDINGS  Vanguard Short-Term Tax Exempt Fund  Vanguard High Yield Corp. Fund  Express Scripts, Inc.

#### RODNEY P. FRELINGHUYSEN

HOLDINGS	YEAR-END VALUATION	INCOME	2010 INCOME	2010 TRANSACTION
Chevron corp.	C	DIV	Ι	P
Occidental Petroleum Corp.	С	DIV	Ι	đ
Chubb Corp.	С	DIV	Т	ď
3M Company	С	DIV	П	P
Expeditors Int'l of Washington, Inc.	D	DIV	П	P
Analog Devices	D	DIV	П	Ą
Exelon Corp.	D	DIV	Ħ	P
Frontegra Netols Small Cap Value Insti	ਲ	DIV	П	Ч
Walhausen Small Cap Value Fund	Ե	NONE	<b>—</b>	Ą
Franklin Int'l Small Cap Growth ADV	ক্র	DIV	W	ħ
Vanguard Emrg Mkts Index ETF	D	DIV	Ħ	Þ
Tortoise Energy Infrastructure Corp.	덩	DIV	V	ď

### SCHEDULE IV- TRANSACTIONS

Name Rodney P. Frelinghuysen

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														Pages 27 thru 43	See Continuation Sheets	SP Example:   Mega Corporation Common Stock (partial sale)	SP, DC, JT Asset	dren, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate ( <i>I.e.</i> , "partial sale"). See example below.  Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.	resulted in a capital loss. Provide a brief description of any exchange trans- action. Exclude transactions between you, your spouse or dependent chil-	Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that
																		PURCHASE		Type of Transaction
Street Brook				of transport		,			<b>*</b>							×		SALE		Type ansac
																L		EXCHANGE		tion
														=				Check Box if C Gain Exceeded		)
																10-12-10		Or Quarterly, Monthly, or Bi-weekly, if applicable	(MO/DAY/YR)	Date
										0.000 0.000 5.000 5.000								\$1,001- \$15,000	Þ	
																×		\$15,001- \$50,000	00	
of S							V.1			E. V.								\$50,001- \$100,000	ი	Amc
	S. S	SAN SOLAR		2 80°05°	Vijeta is	17.7 (3.7	30.043	E Const		5 . (	8 37 2	100	1. 3.4		ļ.,			\$100,001- \$250,000	0	unt
																		\$250,001- \$500,000	Ш	of Tr
20.00									100 ACC	15.45							_	\$500,001- \$1,000,000 \$1,000,001-	π.	ansa
												200015				3 1		\$5,000,001 \$5,000,001	១	Amount of Transaction
		de divers		1.00	W. N.				NA SA	1		12.53		1.35		1		\$25,000,000 \$25,000,000 \$25,000,001	<b>I</b>	<b>"</b>
																4	-	\$50,000,000 Over	_	
		<u> </u>	<u> </u>								<u> </u>					•		\$50,000,000	د	1

#### RODNEY P. FRELINGHUYSEN

DATE	Asset	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
Various	Schwab U.S. Treasury Money Fund	P		В
02/02/10	White Mountains Insurance Group	P		С
02/03/10	Park Ridge, NJ 3.875% Due 10/15/17	Ø		D
02/03/10	U.S. T-Note 1% due 8/31/11	œ	X	C
02/05/10	ABB Ltd ADR	P		C
03/15/10	White Mountains Insurance Group	<b>%</b>	X	C
06/17/10	White Mountains Insurance Group	S	X	Q
08/10/10	Medco Health Solutions	P		C
08/10/10	Plains Exploration & Production	SQ.		C
08/24/10	Google, Inc.	Р		В
09/01/10	Royal Dutch Shell ADR	P		В
09/02/10	U.S. T-Note 1% Due 8/31/11	æ	X	Д
09/09/10	Procter & Gamble co.	S(partial)	×	ਲ

#### RODNEY P. FRELINGHUYSEN

<b>DATE</b> 09/20/10 09/29/10 09/29/10 11/01/10 11/05/10 11/11/10	U.S. T-Note .75% Due 11/30/11 U.S. T-Note 1% Due 8/31/11 First Eagle Gold Fund CL'A' U.S. T-Note 1% Due 8/31/11 U.S. T-Note 1% due 8/31/11 U.S. T-Note 1% due 11/30/11 Cisco Systems, Inc.	у Д	TYPE OF TRANSACTION P S S S S P S(partial)	NEACTION CAPITAL GAIN NEACTION OVER \$200  P S P P (partial)
09/29/10	U.S. T-Note 1% Due 8/31/11 First Eagle Gold Fund CL'A'	S P		D C
10/01/10	U.S. T-Note 1% Due 8/31/11	Ø		В
11/01/10	U.S. T-Note 1% due 8/31/11	Œ		С
11/05/10	TJX Cos., Inc.	P		С
11/09/10	U.S. T-Note .75% Due 11/30/11	S(partial)		С
11/11/10	Cisco Systems, Inc.	P		С
11/12/10	U.S. T-Note .75% due 11/30/11	S(partial)		C
11/15/10	U.S. T-Note .75% Due 11/30/11	S(partial)		C
11/29/10	Chevron Corp.	P		С
11/29/10	Encana Corp.	Ø		С
12/16/10	Medtronics, Inc.	σ <sub>Ω</sub>	X	D

#### RODNEY P. FRELINGHUYSEN

12/21/10	12/21/10	12/31/10	12/17/10	DATE
Third Avenue Focused (TFCIX)	Third Avenue Focused (TFCIX)	First Eagle Gold Fund CL'A'	Celgene Corp.	ASSET
Re-Invest	Re-Invest	Re-Invest	P	TYPE OF TRANSACTION
				CAPITAL GAIN OVER \$200
under \$1,000	under \$100	A	C	AMOUNT OF TRANSACTION

#### RODNEY P. FRELINGHUYSEN

### CONTINUATION SHEET - SCHEDULE IV

4/3/73 Deutsche Bank, New York, NY Identity : B. P. Frelinghuysen Trust U/A

Trustee (discretionary income interest) \*

ASSET TRANSACTION TYPE OF CAPITAL GAIN OVER \$200 TRANSACTION AMOUNT OF

S(partial)

Deutsche Bank Trust Money Market Acct

Various

DATE

#### RODNEY P. FRELINGHUYSEN

### CONTINUATION SHEET - SCHEDULE IV

Identity: B. S. Procter Trust U/A 5/31/60 F/B/O Rodney P. Frelinghuysen Peter Frelinghuysen & Rodney P. Frelinghuysen, Trustees

02/26/10	02/04/10 R	Various D	DATE
IMS health, Inc.	R.H. Donnelly Corp.	Daily Income Fund	
			ASSET
Ħ	Worthless Delivery	S(partial)	TYPE OF TRANSACTION
×			CAPITAL GAIN OVER \$200
æ	None	Ħ	AMOUNT OF TRANSACTION

#### RODNEY P. FRELINGHUYSEN

### CONTINUATION SHEET - SCHEDULE IV

DATE	Asset	TYPE OF	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
03/04/10	Ishares Barclays Treasury Inflation Protected Fund	œ	X	D
03/10/10	Pimco 1-5 Year US TIPS Index ETF	P		Ð
03/23/10	Hussman Strategic Growth Fund	P		В
03/23/10	Tortoise Energy Infrastructure Corp.	P		C
03/23/10	Aetna, Inc. NEW	S(partial)	×	С
03/23/10	Microsoft Corp.	$\mathbf{S}(\mathbf{partial})$	×	В
03/23/10	Parker-Hannifin Corp.	$\mathbf{S}(\mathbf{partial})$	×	뀲
03/23/10	Stericycle, Inc.	$\mathbf{S}(\mathbf{partial})$	×	В
03/23/10	Union Pacific Corp.	$\mathbf{S}(\mathbf{partial})$	×	В
03/23/10	Colgate-Palmolive Co.	S(partial)	×	В

#### RODNEY P. FRELINGHUYSEN

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
03/23/10	Exxon Mobil Corp.	S(partial)	X	Α
03/23/10	Pepsico, Inc	S(partial)	X	В
03/24/10	Vanguard High-Yield Corp. Fund	S(partial)	X	В
03/29/10	Templeton Global Bond Fund Advisor CL	Р		С
03/29/10	Franklin Int'l Small Cap. Growth ADV	P		В
03/29/10	Absolute Strategies Fund Inst'L	P		С
06/11/10	BP PLC Sponsored ADR	S(partial)	×	A
07/08/10	Diageo PLC ADR	S(partial)	<b>X</b> .	В
07/08/10	Home Depot, Inc.	S(partial)	×	A
07/08/10	Philip Morris Int'l	S(partial)	×	A
07/08/10	Exxon Mobil Corp.	S(partial)	×	Α
07/08/10	International Business Machines	S(partial)		Α
07/08/10	National Oilwell VARCO, Inc.	S(partial)		Α

#### RODNEY P. FRELINGHUYSEN

DATE	Asset	TYPE OF	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
07/08/10	Pepsico, Inc.	S(partial)	×	
07/08/10	State Street Corp.	S(partial)		
07/08/10	Stericycle, Inc.	S(partial)	X	
07/08/10	BP PLC Sponsored ADR	Ø	X	
07/08/10	Colgate-Palmolive Co.	S(partial)	X	
07/08/10	Johnson & Johnson	S(partial)	×	
07/08/10	U.S. Bancorp (new)	S(partial)	×	
07/08/10	Microsoft Corp.	S(partial)	×	
09/21/10	Ishares MSCI Emrg. Mkt Index Fund	P		
09/21/10	Chubb Corp.	Ą		
09/21/10	Analog Devices	P		
09/21/10	Exelon Corp.	P		
09/21/10	Tortoise energy Infrastructure Corp.	Р		

#### RODNEY P. FRELINGHUYSEN

DATE	ASSET	TYPE OF	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
09/21/10	Wisdomtree Int'l Real Estate Index ETF	Ą		В
09/21/10	Diageo PLC ADR	S(partial)	×	A
09/21/10	Parker-Hannfin Corp.	S(partial)	×	В
09/21/10	Procter & Gamble Co.	S(partial)	×	Α
09/21/10	Altria Group, Inc.	S(partial)	×	Α
09/21/10	Philip Morris Int'l	S(partial)	×	Α
09/21/10	Pepsico, Inc.	S(partial)	×	Α
09/21/10	Stericycle, Inc.	S(partial)	×	Α
09/21/10	Aetna, Inc.	ø	×	ਸ਼
09/21/10	Home Depot, Inc.	S(partial)	×	ਲ
09/21/10	Union Pacific Corp.	S(partial)	×	В
09/21/10	Exxon Mobil Corp	S(partial)	×	Α
09/21/10	Johnson & Johnson	S(partial)	×	A

#### RODNEY P. FRELINGHUYSEN

various	09/22/10	09/22/10	09/22/10	09/21/10	09/21/10	09/21/10	DATE
Dreyfus Gov't Cash Mgmt Instl SHS	Hussman Strategic Growth Fund	Absolute Strategies Fund Inst'l	Franklin Int'l Small Cap Growth ADV	Yum Brands, Inc.	Microsoft Corp.	Colgate Palmolive Co.	Asset
S(partial)	ď	Ч	P	S(partial)	S(partial)	S(partial)	TYPE OF TRANSACTION
				×	X	×	CAPITAL GAIN OVER \$200
C	С	С	С	A	A	В	AMOUNT OF TRANSACTION

#### RODNEY P. FRELINGHUYSEN

### CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
01/04/10	Montclair NJ Gen. Oblig.	Ø		
03/04/10	Ishares Barclays Treasury Inflation Protected Fund	œ	X	
03/10/10	Pimco 1-5 Year US TIPS Index ETF	P		
03/23/10	Hussman Strategic Growth Fund	P		
03/23/10	Walthausen Small Cap Value Fund	Р		
03/23/10	Tortoise Energy Infrastructure Corp.	P		
03/23/10	Leuthold Core Investment Fund Instl CL	S(partial)		
03/23/10	AT&T, Inc.	S(partial)	X	
03/23/10	Diageo PLc ADR	Ø		
03/23/10	S & P North American Tech Software Index Fund	S(partial)	×	

#### RODNEY P. FRELINGHUYSEN

### CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
03/23/10	Ishares Russell 2000 Value Index Fund	œ	X	D
03/23/10	Google, Inc. CL 'A'	S(partial)	X	Α
03/24/10	Diamond Hill Long/Short Fund CL'I'	œ	X	В
03/24/10	Vanguard High Yield Corp. Fund	S(partial)	X	В
03/26/10	Absolute Strategies Fund Inst'l	P		В
03/26/10	Franklin Int'l Small Cap Growth ADV	P		В
03/26/10	Frontegra Netols Small Cap Value Inst'l	P		В
07/15/10	Burlington Cty NJ OID	Ø		C
09/21/10	Frontegra Netols Small Cap Value Inst'l	ď		A
09/21/10	Templeton Global Bond Fund	P		Α
09/21/10	Walthausen Small Cap Value Fund	P .		A

#### RODNEY P. FRELINGHUYSEN

### CONTINUATION SHEET - SCHEDULE IV

DATE	TESSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
09/21/10	Chevron Corp.	P		₽
09/21/10	Chubb Corp	ъ		A
09/21/10	Expeditors Int'l of Washington, Inc	<del>l</del> σ		<b>A</b>
09/21/10	Analog Devices	ъ		<b>A</b>
09/21/10	Exelon Corp.	<del>'</del> U		В
09/21/10	Tortoise Energy Infrastructure Corp.	ų		₽
09/21/10	Wisdomtree Int'l Real Estate Index ETF	ъ		В
09/21/10	Coca Cola Co.	S(partial)	X	Α
09/21/10	General Electric Co.	S(partial)	X	Α
09/21/10	Ishares MSCI Emg MKT Index Fund	S(partial)	X	Α
09/21/10	S & P North American Tech Software Index Fund	S(partial)	X	₽

#### RODNEY P. FRELINGHUYSEN

### CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
09/21/10	Novartis AG ADR	S(partial)		A
09/21/10	Apple, Inc.	S(partial)	×	A
09/21/10	Emerson Electric	S(partial)	X	A
09/21/10	Express Scripts, Inc.	S(partial)	X	Α
09/21/10	Hewlett-Packard	S(partial)	X	Α
09/21/10	Procter & Gamble Co.	S(partial)	X	A
09/21/10	Royal Dutch Shell PLC ADR	S(partial)	X	A
09/21/10	Schlumberger LTD	S(partial)	×	A
09/21/10	Altria Group, Inc.	S(partial)	×	A
09/21/10	Apache Corp.	S(partial)	X	A
09/21/10	Philip Morris Int'l	${f S}({ m partial})$	X	Α

#### RODNEY P. FRELINGHUYSEN

### CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
09/21/10	Leuthold Core Investment Fund Instl CL	S(partial)		В
09/21/10	AT&T, Inc. new	S(partial)	×	В
09/21/10	Abbott Labs	S(partial)	×	A
09/21/10	Exxon Mobil Corp.	S(partial)	×	В
09/21/10	Johnson & Johnson	S(partial)	X	В
09/24/10	Absolute Strategies Fund Inst'l	ਰ		С
09/24/10	Franklin Int'l Small Cap Growth ADV	Ħ		С
09/24/10	Hussman Strategic Growth Fund	q		С
10/07/10	Occidental Petroleum Corp.	P		A
10/07/10	3M Company	<b>ਾ</b> ਹ		₽
10/07/10	Vanguard Emrg MKT Index ETF	P		В

#### RODNEY P. FRELINGHUYSEN

### CONTINUATION SHEET - SCHEDULE IV

DATE	Asset	TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
10/07/10	Wal-Mart Stores, Inc.	P		A
10/07/10	Abbott Labs	S(partial)	×	Α
10/07/10	Apache Corp.	S(partial)	×	Α
10/07/10	Apple, Inc.	S(partial)	×	A
10/07/10	Coca Cola Co.	S(partial)	×	A
10/07/10	Emerson Electric Co.	S(partial)	×	A
10/07/10	Express Scripts, Inc.	œ	×	A
10/07/10	Exxon Mobil Corp.	S(partial)	×	A
10/07/10	General Electric Co.	S(partial)	×	<b>A</b>
10/07/10	Google, Inc. CL 'A'	S(partial)	×	A
10/07/10	Hewlett-Packard Co.	S(partial)	X	Α

#### RODNEY P. FRELINGHUYSEN

### CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
10/07/10	S & P North American Tech Software Index Fund	$\mathbf{S}(\mathbf{partial})$	×	A
10/07/10	Novartis AG ADR	$\mathbf{S}(\mathbf{partial})$		A
10/07/10	Philip Morris Int'l	S(partial)	X	₽
10/07/10	Procter & Gamble Co.	S(partial)		A
10/07/10	Royal dutch Shell PLC ADR	S(partial)	X	A
10/07/10	Schlumberger LFD	S(partial)	X	A
10/08/10	Templeton Global Bond Fund	P		A
Various	Dreyfus Govt. Cash Mgmt Instl SHS	P		C

#### SCHEDULE VIII—POSITIONS

Name Rodney P. Frelinghuysen

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organization, or any educational or other institution other than the United States proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner,

zations); and positions solely of an honorary nature. Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organi-

			Member Advisory Board	Position	
		EL FEIRE FOOD BOX (Notal Econsis) ind Vision	El Drimor Dogo Ital Morrigtown NI 07960	Name of Organization	

### SCHEDULE IX—AGREEMENTS

employee welfare or benefit plan maintained by a former employer. government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of

Date

65-161 (mac)