

**HAND  
DELIVERED**

Page 1 of 6  
LEGISLATIVE RESOURCE CENTER

2014 JUN -6 AM 11:26

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

**UNITED STATES HOUSE OF REPRESENTATIVES  
FINANCIAL DISCLOSURE STATEMENT**

**FORM B**  
For New Members, Candidates, and New Employees

Name: Kathleen A. Weppner

Daytime Telephone: \_\_\_\_\_

**FILER  
STATUS**



New Member of or Candidate for  
U.S. House of Representatives  
Candidates - Date of Election: 11/4/2014



Check if  
Amendment

New Officer or Employee  
Employing Office: Hon. Susan Brooks

Period Covered: January 1, 2013  
to April 30, 2014

**PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS**

A. Did you, your spouse, or your dependent child:

- a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or  
b. Make more than \$200 in unearned income from any reportable asset during the reporting period?

Yes ☒

No ☐

E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?

Yes ☒

No ☐

C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?

Yes ☒

No ☐

F. Do you have any reportable agreements or arrangements with an outside entity?

Yes ☐

No ☒

D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?

Yes ☐

No ☒

J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?

Yes ☒

No ☐

**ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"**

**THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE**

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS**

**TRUSTS** - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

Yes ☐

No ☒

**EXEMPTION** - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes ☐

No ☒

Page 2 of 6

**Use additional sheets if more space is required.**

## Page 3 of 6

**Use additional sheets if more space is required.**

## Page 4 of 6

**EXCLUDE:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act

**INCOME LIMITS and PROHIBITED INCOME:** The 2013 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,955. It is unchanged in 2014. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

Use additional sheets if more space is required.

# **SCHEDULE D - LIABILITIES**

Name: **Kathleen A. Weppner**

Page **5** of **6**

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **New Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

| SP,<br>DC, JT | Creditor                     | Date<br>Liability<br>Incurred<br>MO/YR | Type of Liability                      | Amount of Liability        |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |
|---------------|------------------------------|--|--|----------------------------|----------------------------|-----------------------------|------------------------------|------------------------------|--------------------------------|----------------------------------|-----------------------------------|------------------------------------|------------------------|---|
|               |                              |  |  | A<br>\$10,001-<br>\$15,000 | B<br>\$15,001-<br>\$50,000 | C<br>\$50,001-<br>\$100,000 | D<br>\$100,001-<br>\$250,000 | E<br>\$250,001-<br>\$500,000 | F<br>\$500,001-<br>\$1,000,000 | G<br>\$1,000,001-<br>\$5,000,000 | H<br>\$5,000,001-<br>\$25,000,000 | I<br>\$25,000,001-<br>\$50,000,000 | J<br>Over \$50,000,000 | K<br>Over \$1,000,000*<br>(Spouse/DC Liability) |
| Example       | First Bank of Wilmington, DE | 5/98                                   | Mortgage on Rental Property, Dover, DE |                            |                            |                             | X                            |                              |                                |                                  |                                   |                                    |                        |   |
|               |                              |  |  |                            |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |
|               |                              |  |  |                            |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |
|               |                              |  |  |                            |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |
|               |                              |  |  |                            |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |
|               |                              |  |  |                            |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |
|               |                              |  |  |                            |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |
|               |                              |  |  |                            |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |
|               |                              |  |  |                            |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |   |

# **SCHEDULE E - POSITIONS**

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

| Position       | Name of Organization  |
|----------------|-----------------------|
| Vice President | DENNIS WEPPNER, MD PC |
|                |                       |
|                |                       |
|                |                       |
|                |                       |
|                |                       |
|                |                       |
|                |                       |
|                |                       |
|                |                       |

Use additional sheets if more space is required.

# **SCHEDULE F – AGREEMENTS**

Name: Kathleen A. Weppner

Page 6 of 6

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date | Parties to Agreement | Terms of Agreement |
|------|----------------------|--------------------|
|      |                      |                    |
|      |                      |                    |
|      |                      |                    |
|      |                      |                    |
|      |                      |                    |
|      |                      |                    |
|      |                      |                    |
|      |                      |                    |
|      |                      |                    |

# **SCHEDULE J – COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

| Source (Name and City/State)      |  | Brief Description of Duties |
|-----------------------------------|--|-----------------------------|
| <i>Example:</i>                   | Doe Jones & Smith, Hometown, Homestate | Accounting Services         |
| ENTERCOM, BUFFALO, NY             |  | RADIO HOST (2012)           |
| DENNIS WEPNER, MD PC, BUFFALO, NY |  | HR Supervisor (2012)        |
|                                   |  |                             |
|                                   |  |                             |
|                                   |  |                             |
|                                   |  |                             |
|                                   |  |                             |
|                                   |  |                             |

Use additional sheets if more space is required.