FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Nanette Barragan

Status: Member State/District: CA44

FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2018

Filing Date: 04/23/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AAA Universal Life Policy [WU]	\$1,001 - \$15,000	None		
AlvaradoSmith 401(k) ⇒ Amer Funds New World R3 Fund [MF]	\$1,001 - \$15,000	Tax-Deferred		
AlvaradoSmith 401(k) ⇒ Franklin High Income [MF]	\$1,001 - \$15,000	Tax-Deferred		
AlvaradoSmith $401(k) \Rightarrow$ Invesco Core Plus Bond R Fund [MF] DESCRIPTION: Replaced PIMCO Total Return R Fund	\$1,001 - \$15,000	Tax-Deferred		П
AlvaradoSmith $401(k) \Rightarrow$ Janus Enterprise R. Fund [MF]	\$15,001 - \$50,000	Tax-Deferred		
AlvaradoSmith 401(k) ⇒ Principle Life 2040 Sept Acct [MF]	\$15,001 - \$50,000	Tax-Deferred		
Aspiration Redwood Fund [MF]	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Aspiration Summit Checking [BA]	\$1,001 - \$15,000	Interest	None	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Capital One Bank Accounts [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	
Congressional Federal [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	
Latham & Watkins 401(k) ⇒ DFA Core Equity [MF]		\$1,001 - \$15,000	Tax-Deferred		
Latham & Watkins 401(k) ⇒ Harbor Capital Appreciation Intl [MF]		\$15,001 - \$50,000	Tax-Deferred		П
Latham & Watkins 401(k) ⇒ Vanguard Total Bond Market Index I [MF]		\$1,001 - \$15,000	Tax-Deferred		П
Rental Home [RP] Location: Hermosa Beach/ Los Angeles, CA, US Description: appraisal \$1,115,000		\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	П
US Bank Accounts [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	П
Vanguard Life Strategy Growth Fund [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	

^{*} Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$

SCHEDULE B: TRANSACTIONS

None disclosed.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Туре	Amount of Liability
Wells Fargo Bank	August 2008	Mortgage on Rental Property, Hermosa Beach, CA.	\$500,001 - \$1,000,000
UHEAA	November 2005	Student Loan	\$15,001 - \$50,000

SCHEDULE E: Positions

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

Source	Description	Value
Joseph Sanberg (Los Angeles, CA, US)	Sporting event ticket	\$2,800.00

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details		Inclusions					
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Consumer Technology Association	01/11/2018	01/13/2018	DC - Las Vegas - Los Angeles	0	V	✓	

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Source	Activity	Date	Amount	Charity Name
Charles Drew University	Speech	06/4/2018	\$1,500.00	Angels for Sight

SCHEDULE A AND B ASSET CLASS DETAILS

- AlvaradoSmith 401(k)
- o Latham & Watkins 401(k)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

C Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ○ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes No

COMMENTS

CERTIFICATION AND SIGNATURE

 $\overline{\mathbb{M}}$ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Nanette Barragan, 04/23/2019