


# UNITED STATES HOUSE OF REPRESENTATIVES

## Periodic Transaction Report

**HAND DELIVERED** Page 1 of 2

LEGISLATIVE RESOURCE CENTER

<b>NAME:</b> <u>James Austin Scott</u>		<b>OFFICE TELEPHONE:</b> <u>202 - 225 - 6531</u>		<b>2014 OCT 16 PM 2:36</b> OFFICE OF THE CLERK U.S. HOUSE OF REPRESENTATIVES
<input checked="" type="checkbox"/> Member of the U.S. House of Representatives State <u>GA</u> District <u>08</u> File an original and 2 copies.		<input type="checkbox"/> Officer or Employee Employing Office _____ File an original and 1 copy.		
<b>A \$200 penalty shall be assessed against anyone who files more than 30 days late.</b>		Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending. <input type="checkbox"/> Initial Report <input type="checkbox"/> Amendment Date of Report Being Amended _____		 (For Official Use Only)
Did you purchase any shares that were allocated as a part of an Initial Public Offering? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO				

FULL ASSET NAME	TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION										
	PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	
JT SP DC Provide full name, not ticker symbol. JT Example: Mega Corp. Common Stock																
Cogstone Turbine	✓			10/13/14	10/13/14	✓										
Clean Energy Fuels	✓			10/9/14	10/9/14	✓										
ZBB Energy	✓			10/2/14	10/2/14	✓										
ZBB Energy	✓			9/19/14	9/19/14	✓										
Aerotech Corporation	✓			9/19/14	9/19/14	✓										

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		PURCHASE	SALE	EXCHANGE			A \$1,000-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000		
	ZBB Energy	✓			9/10/14	9/10/14	✓											
	Capstone Turbine	✓			9/10/14	9/10/14	✓											
	ZBB Energy	✓			8/22/14	8/22/14	✓											
	Aruba Network	✓			8/22/14	8/22/14	✓											
	Capstone Turbine	✓			8/8/14	8/8/14	✓											
	Aruba Network	✓			8/8/14	8/8/14	✓											
FOOTNOTE NUMBER	FILER NOTES (optional)																	

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	PURCHASE	SALE	EXCHANGE													
JT SP DC	Provide full name, not ticker symbol.			(MM/DD/YY)	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J	
						\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	
	Aruba Network	✓		7/16/14	7/16/14	✓										
	ZBB Energy	✓		7/10/14	7/10/14	✓										
	Quantum Technologies	✓		7/10/14	7/10/14	✓										
	Fuel Cell Energy	✓		7/10/14	7/10/14	✓										
	Aero Tech Corporation	✓		7/10/14	7/10/14	✓										
SP	Caterpillar	✓		10/10/14	10/10/14		✓									

FOOTNOTE  
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		PURCHASE	SALE	EXCHANGE			A \$1,000-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000		
	Aerotech Corporation	✓			10/10/14	10/10/14		✓										
	Garmin	✓			10/10/14	10/10/14		✓										
	Microsoft	✓			10/10/14	10/10/14		✓										
	ZBB Energy	✓			10/21/14	10/21/14		✓										
	Coca-Cola	✓			10/21/14	10/21/14			✓									
	Clean Energy Fuel	✓			10/21/14	10/21/14		✓										
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		PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	
	Provide full name, not ticker symbol.				(MM/DD/YY)	(MM/DD/YY)	\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	
	Ballard Power	✓			10/11/14	10/11/14		✓									
	ZBB Energy	✓			9/19/14	9/19/14		✓									
	Clean Energy Fuels	✓			9/19/14	9/19/14	✓										
	Aerotech Corporation	✓			9/19/14	9/19/14	✓										
	ZBB Energy	✓			9/10/14	9/10/14	✓										
	Cirrus Logic	✓			9/10/14	9/10/14	✓										

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		PURCHASE	SALE	EXCHANGE			(MM/DD/YY)	(MM/DD/YY)	A \$1,000-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	
	Cogstone Turbine			✓	9/10/14	9/10/14		✓											
	Best Buy		✓		9/10/14	9/10/14		✓											
	Aruba Networks		✓		9/10/14	9/10/14		✓											
	Aerotech Corporation		✓		9/10/14	9/10/14		✓											
	283 Energy		✓		8/22/14	8/22/14		✓											
	Cogstone Turbine		✓		8/8/14	8/8/14		✓											
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JT SP DC	Provide full name, not ticker symbol.	PURCHASE	SALE	EXCHANGE	(MM/DD/YY)	(MM/DD/YY)	A \$1,000- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	
	Aruba Networks	✓			8/8/14	8/8/14		✓									
	ZBB Energy	✓			7/17/14	7/17/14		✓									
	Cogstone Turbine	✓			7/17/14	7/17/14											
	Aerotech Corporation	✓			7/11/14	7/11/14		✓									
										</							

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