



Filing ID #10011815

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Ande Smith
Status: Congressional Candidate
State/District: ME01

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2016
Filing Date: 05/15/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
529 Plan Assets ⇒ BlackRock 14-16 Years Direct		\$15,001 - \$50,000	None		
529 Plan Assets ⇒ Franklin Templeton Age 13-16 Years C		\$15,001 - \$50,000	None		
CashStar Options Value	SP	Undetermined	None		
DESCRIPTION: Right pursuant to divorce judgment to 1/2 of the after tax proceeds of the exercise or sale of former spouse's stock options in privately-held company as of May 1, 2012, amounting to ~18,000 shares. Former's spouses right to exercise contingent upon company going public.					
Deer Brook Consulting, 100% Interest		\$50,001 - \$100,000	None		
LOCATION: North Yarmouth, ME, US DESCRIPTION: Cyber-security consulting practice					
Delano Consulting, 100% Interest	SP	\$1,001 - \$15,000	None		
LOCATION: North Yarmouth, ME, US DESCRIPTION: Legal Nurse Consulting					
IRA ⇒ USAA Growth & Income Fund		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: IRA Mutual Fund					
IRA ⇒ Vanguard IRA ⇒ Vanguard Explorer Fund Investor		\$15,001 - \$50,000	None		
IRA ⇒ Vanguard IRA ⇒ Vanguard Extended Mkt Index		\$15,001 - \$50,000	None		
IRA ⇒ Vanguard IRA ⇒ Vanguard International Growth Inv		\$15,001 - \$50,000	None		
IRA ⇒ Vanguard IRA ⇒ Vanguard Large-Cap Index Fund		\$15,001 - \$50,000	None		
IRA ⇒ Vanguard IRA ⇒ Vanguard Mid-Cap Index Fund		\$15,001 - \$50,000	None		
IRA ⇒ Vanguard IRA ⇒ Vanguard Small-Cap Index Fund		\$15,001 - \$50,000	None		
IRA ⇒ Vanguard IRA ⇒ Vanguard Total Bond Mkt Index		\$15,001 - \$50,000	None		
Navy Federal Credit Union		\$15,001 - \$50,000	Interest	\$1 - \$200	\$201 - \$1,000
Norway Savings Bank	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Pierce Atwood Pension		\$1,001 - \$15,000	None		
DESCRIPTION: Pension from former employer					
Salle Mae Bank		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Deer Brook Consulting	Salary	N/A	\$80,000.00
COMMENTS: No salary paid as of date of filing.			
State of Maine	Per Diem	\$605.00	\$1,815.00

Source	Type	Amount Current Year to Filing	Amount Preceding Year
The Forecaster	Spouse writing column in weekly paper	N/A	N/A
Deer Brook Consulting	S-Corp net profit	\$5,750.44	\$66,660.00
Delano Consulting	Spouse S-Corp Net Profit	N/A	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Navy Federal Credit Union	November-December 2015	Credit card balance	\$10,000 - \$15,000
	Thrift Savings Program/Self	Sept 2014	Personal loan from TSP acct.	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Member	Maine State Board of Education
COMMENTS: Confirmed by the Maine Legislature October 2012 and continuing to serve as of the date of this report.	
Vice Chair and Member	Maine Charter School Commission
COMMENTS: Served Jan 2013 - April 2016	
Board Member	Maine Cyber Security Cluster
COMMENTS: Volunteer position in University of Southern Maine-affiliated project; served from 2012 through Jan 2016	
Board Member	Town of North Yarmouth Planning Board
COMMENTS: Served from 2013 through January 2016	
Member	Town of North Yarmouth Budget Committee
COMMENTS: Served from 2013 through January 2016	
President	Deer Brook Subdvision Association
COMMENTS: Homeowner association; served since 2009	

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Tyler Technologies (Yarmouth, ME, US)	Cyber-security consulting
Dead River Company (South Portland, ME, US)	Cyber-security consulting
Lamey Wellehan (Auburn, ME, US)	Cyber-security consulting

SCHEDULE A ASSET CLASS DETAILS

- 529 Plan Assets
LOCATION: ME
- IRA
- IRA ⇒ Vanguard IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
☒ Yes ☐ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Ande Smith , 05/15/2016