

UNITED STATES HOUSE OF REPRESENTATIVES 2015 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

Name: William Richard Kirtley Daytime Telephone: 202 225 3111

FILER STATUS

☒

Member of or Candidate for U.S. House of Representatives

State: MA. District: 9

☐

Officer or Employee

Employing Office:

REPORT TYPE

☒

2015 Annual (Due: May 16, 2016)

☐

Amendment

☐

Termination

Date of Termination:

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child:

a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or

b. Make more than \$200 in unearned income from any reportable asset during the reporting period?

Yes ☒ No ☐

F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?

Yes ☐ No ☒

B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?

Yes ☒ No ☐

G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period?

Yes ☐ No ☒

C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?

Yes ☒ No ☐

H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period?

Yes ☐ No ☒

D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?

Yes ☐ No ☒

I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?

Yes ☐ No ☒

E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?

Yes ☒ No ☐

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

Yes ☐ No ☒

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

Yes ☐ No ☒

EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes ☐ No ☒

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

LEGISLATIVE RESOURCE CENTER

2016 MAY 13 PM 2:05
(Office Use Only)

Handwritten initials: W

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Name: William Robert Foster

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Use additional sheets if more space is required.

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: William Richard Kestner

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income over \$1,000,000*	
	Leeward Global Liquid Instr																				X														
	Equity Int. Miami Inc																				X														
	Baywood Int. Term Trs																				X														
	Baywood Reg. Bond Inst																				X														
	Dallas Clear Inc																				X														
	512 Morgan Lane Blvd																				X														
	Goldman Sachs Street Inc																				X														
	Wheeler Dunbar 3-7 Truss																				X														
	Leone's Styles Blvd																				X														
	Equity Plus Reserve																				X														
	Fidelity Tax Free Money Mkt																				X														

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Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name:

William Richard Curtis

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	GP	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/YY) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
			Purchase	Sale	Partial Sale	Exchange			A	B	C	D	E	F	G	H	I	J	K
		<i>Example: Mega Corp. Stock</i>			<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	<i>3/6/15</i>		<input checked="" type="checkbox"/>									
		<i>Fidelity Real Estate Inc</i>	<input checked="" type="checkbox"/>					<i>11/16</i>		<input checked="" type="checkbox"/>									
		<i>Arcton Int'l. Investor Class</i>	<input checked="" type="checkbox"/>					<i>11/16</i>	<input checked="" type="checkbox"/>										
		<i>Ogden Int'l. Bd Fund</i>	<input checked="" type="checkbox"/>					<i>11/12</i>		<input checked="" type="checkbox"/>									
		<i>Wasatch Energy Mktg Smal cap</i>	<input checked="" type="checkbox"/>					<i>11/12</i>	<input checked="" type="checkbox"/>										
		<i>Tweedy Brown Global Value</i>	<input checked="" type="checkbox"/>					<i>11/12</i>	<input checked="" type="checkbox"/>										
		<i>Pear Tree Plow's Foreign Value</i>	<input checked="" type="checkbox"/>					<i>11/12</i>		<input checked="" type="checkbox"/>									
		<i>Mathew Asia Gr. + Inc</i>	<input checked="" type="checkbox"/>					<i>11/12</i>	<input checked="" type="checkbox"/>										
		<i>John Hancock GL Absor Return</i>	<input checked="" type="checkbox"/>					<i>11/12</i>		<input checked="" type="checkbox"/>									
		<i>Brown Capital Mgt. Sm co.</i>	<input checked="" type="checkbox"/>					<i>11/12</i>		<input checked="" type="checkbox"/>									
		<i>Grades Intl Sm Cap Eg</i>	<input checked="" type="checkbox"/>					<i>11/12</i>	<input checked="" type="checkbox"/>										
		<i>Islands Tr 3-7 yr Tr Bd</i>	<input checked="" type="checkbox"/>					<i>11/14</i>		<input checked="" type="checkbox"/>									
		<i>Waldhausen Sm cap Va!</i>	<input checked="" type="checkbox"/>					<i>9/10</i>	<i>N/A</i>										
		<i>Boston Partners Global Long Short</i>	<input checked="" type="checkbox"/>					<i>9/13</i>		<input checked="" type="checkbox"/>									
		<i>Lazard Global Listed Infrastructure</i>	<input checked="" type="checkbox"/>					<i>4/19</i>		<input checked="" type="checkbox"/>									
		<i>US GP Active Mktg Asset Real Est</i>	<input checked="" type="checkbox"/>					<i>11/12</i>		<input checked="" type="checkbox"/>									
		<i>Wasatch Long/Short Fd</i>	<input checked="" type="checkbox"/>					<i>11/12</i>	<input checked="" type="checkbox"/>										
		<i>ABD/Man Sachs Sto Inc Fund</i>	<input checked="" type="checkbox"/>					<i>11/12</i>	<input checked="" type="checkbox"/>										

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EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2015 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,225. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

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SCHEDULE D - LIABILITIES

Name:

William Robert Kadin

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
<i>Director</i>	<i>Sharon Enlist Voice</i>

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

[illegible]

SCHEDULE G - GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$375 received by you, your spouse, or your dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$150 or less need not be added towards the \$375 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

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Use additional sheets if more space is required.

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EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

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Use additional sheets if more space is required.

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EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342), political travel that is required to be reported under the Federal Election Campaign Act, travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

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Name: William R. David Keating Page 11 of 12

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Name: William R. Richard Neatring

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**FILER NOTES
(Optional)**

Name:

William R. Keating

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Use additional sheets if more space is required.