

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

#### FILER INFORMATION

Name: Mr. Markwayne Mullin

Status:MemberState/District:OK02

## FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2013

**Filing Date:** 05/9/2014

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AVB Bank		\$100,001 - \$250,000	Interest	\$201 - \$1,000	П
Citizens Bankshares, Inc  Location: Broken Arrow, OK, US  Description: Ownership in bank corporation		\$500,001 - \$1,000,000	Sub S Earnings	\$100,001 - \$1,000,000	П
LPL Investments ⇒ BlackRock		\$1,001 - \$15,000	Dividends	\$1 - \$200	
LPL Investments ⇒ CitiGroup		\$1,001 - \$15,000	Dividends	\$1 - \$200	П
LPL Investments ⇒ Cohen & Steers		\$15,001 - \$50,000	None		П
LPL Investments $\Rightarrow$ Cohen & Steers		\$1,001 - \$15,000	None		П
LPL Investments $\Rightarrow$ Compass EMP		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LPL Investments ⇒ Discover Bank		\$1,001 - \$15,000	None		
LPL Investments ⇒ Discover Bank		\$1,001 - \$15,000	None		
LPL Investments ⇒ First Tennessee Bank		\$1 - \$1,000	None		
LPL Investments ⇒ GeoGlobal Resources Inc. (GGLR)		\$1,001 - \$15,000	None		П
LPL Investments ⇒ Goldman Sachs		\$1,001 - \$15,000	None		
LPL Investments ⇒ Goldman Sachs		\$15,001 - \$50,000	Interest	\$1 - \$200	
LPL Investments ⇒ Morgan Stanley		\$15,001 - \$50,000	None		П
LPL Investments ⇒ Morgan Stanley		\$15,001 - \$50,000	Interest	\$1 - \$200	П
LPL Investments ⇒ New York Bank		\$1 - \$1,000	None		П
LPL Investments ⇒ SUNAMERICA Strategic Value		\$1,001 - \$15,000	None		
$\begin{array}{l} \text{LPL Investments} \Rightarrow \\ \text{SUNAMERICA Strategic Value} \end{array}$		\$1,001 - \$15,000	None		
Mullin 401(k) Plan ⇒ BlackRock		\$15,001 - \$50,000	None		П
Mullin 401(k) Plan ⇒ BlackRock	SP	\$15,001 - \$50,000	None		П
Mullin 401(k) Plan ⇒ Invesco Energy		\$1,001 - \$15,000	None		
Mullin 401(k) Plan ⇒ Invesco Energy	SP	\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Mullin 401(k) Plan ⇒ Invesco Real Estate	SP	\$1,001 - \$15,000	None		
Mullin 401(k) Plan ⇒ Invesco Real Estate		\$1,001 - \$15,000	None		
Mullin 401(k) Plan ⇒ Invesco US Gov't		\$1,001 - \$15,000	None		
Mullin 401(k) Plan ⇒ Invesco US Gov't	SP	\$1,001 - \$15,000	None		
Mullin 401(k) Plan ⇒ Ivy Asset	SP	\$15,001 - \$50,000	None		
Mullin 401(k) Plan ⇒ Ivy Assets		\$15,001 - \$50,000	None		
Mullin 401(k) Plan ⇒ Ivy Bond Fund		\$1,001 - \$15,000	None		
Mullin 401(k) Plan ⇒ Ivy Limited	SP	\$1,001 - \$15,000	None		
Mullin 401(k) Plan ⇒ JP Morgan	SP	\$1,001 - \$15,000	None		
Mullin 401(k) Plan ⇒ JP Morgan		\$1,001 - \$15,000	None		
Mullin 401(k) Plan ⇒ JP Morgan	SP	\$1,001 - \$15,000	None		
Mullin 401(k) Plan ⇒ JP Morgan		\$15,001 - \$50,000	None		
Mullin Environmental Inc.		None	None		
Location: Broken Arrow, OK, US DESCRIPTION: restaurant grease removal					
Mullin Farms, LLC		\$15,001 - \$50,000	None		
LOCATION: Westville, OK, US DESCRIPTION: Farm property					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Mullin Plumbing New Construction		\$1,001 - \$15,000	Sub S	\$1,001 - \$2,500	
Location: Broken Arrow, OK, US Description: remodeling					
Mullin Plumbing West Division	SP	\$500,001 - \$1,000,000	Sub S	\$100,001 - \$1,000,000	
LOCATION: Oklahoma City, OK, US DESCRIPTION: Engaged in plumbing activities					
Mullin Plumbing, Inc.		\$500,001 - \$1,000,000	Sub S	\$1,000,001 - \$5,000,000	
Location: Broken Arrow , OK, US Description: Plumbing company					
Mullin Properties West Division		\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	
LOCATION: Oklahoma City, OK, US					
Mullin Properties, LLC		\$500,001 - \$1,000,000	Rent	None	~
Location: Various Locations, OK, US Description: Two purchases of land.					
Mullin Services, Inc.		\$100,001 - \$250,000	Sub S	\$100,001 - \$1,000,000	
Location: Broken Arrow, OK, US Description: HVAC					
Providence Trust		\$100,001 - \$250,000	None		
Description: Cash surrender value					
Thrift Savings IRA ⇒ Thrift Savings		\$15,001 - \$50,000	None		

<sup>\*</sup> Asset class details available at the bottom of this form.

# SCHEDULE B: TRANSACTIONS

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Land - Westville, OK	03/1/2013	P	\$15,001 - \$50,000	
LOCATION: Westville, OK, US				

Asset	Owner Date	Tx. Amount Type		Cap. Gains > \$200?
Residence - Noble, OK	05/1/2013	P	\$50,001 - \$100,000	
Location: Noble, OK, US				

#### SCHEDULE C: EARNED INCOME

Source	Туре	Amount
Mullin Plumbing, Inc.	Spouse Salary	\$107,600
U.S. House of Representatives	Salary	\$158,530
Frontier Trust	Excess deferral	\$12,858
Frontier Trust	Spousal excess deferral	\$3,278

## SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Туре	Amount of Liability
Mr. Jim Mullin	1/1/2007	Purchase of plumbing businesses	\$500,001 - \$1,000,000

#### **SCHEDULE E: POSITIONS**

None disclosed.

#### SCHEDULE F: AGREEMENTS

None disclosed.

#### SCHEDULE G: GIFTS

None disclosed.

#### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### SCHEDULE A AND B ASSET CLASS DETAILS

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- o Mullin 401(k) Plan
- o Mullin 401(k) Plan (Owner: SP)
- o Thrift Savings IRA

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?



**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes No

#### **COMMENTS**

#### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Markwayne Mullin, 05/9/2014