

# UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A Page 1 of 11  
For use by Members, officers, and employees

**HAND DELIVERED**

Rosa L. DeLauro  
(Full Name)

202-225-3661  
(Daytime Telephone)

2008 MAY 14 PM 3:10  
(Office Use Only)

MC

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: CT District: 3rd	<input type="checkbox"/> Officer Or Employee	Employing Office:	Termination Date:	A \$200 penalty shall be assessed against anyone who files more than 30 days late.
	Report Type	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination		

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$305 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. If yes, complete and attach Schedule I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. If yes, complete and attach Schedule VI. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$305 from one source)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. If yes, complete and attach Schedule II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. If yes, complete and attach Schedule VII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. If yes, complete and attach Schedule III. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. If yes, complete and attach Schedule VIII. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. If yes, complete and attach Schedule IV. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE I - EARNED INCOME

Name Rosa L. DeLauro

Page 2 of 11

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Greenberg Quinlan Rosner Research, Inc.	Spouse Salary	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rosa L. Delauro

Page 3 of 11

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
JT	Chase Home Finance-Escrow	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	United Bank Checking	\$100,001 - \$250,000	INTEREST	\$201 - \$1,000	
	United Bank Checking	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	Citizens Bank-Scholarships	\$1,001 - \$15,000	None	NONE	
JT	ML-Blackrock Global	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	P
JT	ML-First Trst Morningstar	None	DIVIDENDS	\$201 - \$1,000	PS

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rosa L. DeLauro

Page 4 of 11

JT	ML-Columbia Marsico 21st	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	P
JT	ML-Evergreen Fds Em Mkts	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	P
JT	ML-US Treasury	None	INTEREST/CAPITAL GAINS	\$201 - \$1,000	PS
JT	ML-US Treasury	None	INTEREST/CAPITAL GAINS	\$1 - \$200	PS
JT	ML-CD Bank of Baroda NY	\$15,001 - \$50,000	None	NONE	P
JT	ML-MMFunds	\$15,001 - \$50,000	DIVIDENDS/INTEREST	\$201 - \$1,000	
	ML-Blackrock Enhanced Eq Yld	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
	ML-Powershares ETF Trust	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	ML-Blackrock Global	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	P
	ML-CD Bank of Baroda NY	\$1,001 - \$15,000	None	NONE	P
	ML-US Treasury	None	INTEREST/CAPITAL GAINS	\$1 - \$200	PS
	ML-MMFunds	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	TIAA CREF- TIAA Traditional Retirement	\$50,001 - \$100,000	INTEREST	\$2,501 - \$5,000	
SP	TIAA CREF- CREF Stock Retirement	\$100,001 - \$250,000	CAPITAL GAINS	\$5,001 - \$15,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rosa L. Delauro

Page 5 of 11

			INTEREST	\$1,001 - \$2,500	
	TIAA CREF- TIAA Traditional Retirement	\$15,001 - \$50,000			
	TIAA CREF-CREF Stock Retirement	\$100,001 - \$250,000	CAPITAL GAINS	\$5,001 - \$15,000	
SP	JH Rtrmt-Pimco Total Return	\$15,001 - \$50,000	None	NONE	P
SP	JH Rtrmt-Weitz Partners Value	\$50,001 - \$100,000	None	NONE	P
SP	JH Rtrmt-Franklin Balance Sheet	\$50,001 - \$100,000	None	NONE	P
SP	JH Rtrmt-Lord Abbett All Value	\$50,001 - \$100,000	None	NONE	P
SP	JH Rtrmt-Lord Abbett Mid Cap Value	\$50,001 - \$100,000	None	NONE	P
SP	JH Rtrmt-DWS RREEF Real Estate	\$50,001 - \$100,000	None	NONE	P
SP	JH-Rtrmt-Excelsior Value & Restruct	\$50,001 - \$100,000	None	NONE	P
SP	JH Rtrmt-Jennison Growth	\$50,001 - \$100,000	None	NONE	P
SP	JH Rtrmt-Templeton Foreign	None	None	NONE	PS
SP	JH Rtrmt-AIM Small Cap Growth	\$15,001 - \$50,000	None	NONE	P
SP	JH Rtrmt-Int'l Value Fund	\$50,001 - \$100,000	None	NONE	P

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rosa L. Delauro

Page 6 of 11

SP	Greenberg Quinlan Rosner Research Inc. 67% Owner 10 G Street, NE WDC 20002 Polling/Consulting	\$5,000,001 - \$25,000,000	See Statement I	NONE	
SP	Greenberg Research Inc. 100% Owner 10 G Street, NE WDC 20002 Strategic Consulting	\$1,001 - \$15,000	See Statement II	NONE	
SP	Sun Surveys LLC 60% Owner 9425 Sunset Drive Miami, FL Phone Surveys	\$1,001 - \$15,000	None	NONE	
SP	JH Rtrmt Small Cap Index Fund	\$15,001 - \$50,000	None	NONE	P

# SCHEDULE IV - TRANSACTIONS

Name Rosa L. Delauro

Page 7 of 11

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	ML-Blackrock Global	P	4-19-07	\$1,001 - \$15,000
JT	ML-First Trst Morningstar	P	4-23-07	\$15,001 - \$50,000
JT	ML-Columbia Marsico 21st	P	4-23-07	\$15,001 - \$50,000
JT	ML-Evergreen Fds Em Mkts	P	4-23-07	\$1,001 - \$15,000
JT	ML-US Treasury	P	4-24-07	\$1,001 - \$15,000
JT	ML-US Treasury	P	5-25-07	\$1,001 - \$15,000
JT	ML-CD Bank of Baroda NY	P	9-21-07	\$15,001 - \$50,000
	ML-Blackrock Global	P	4-19-07	\$15,001 - \$50,000
	ML-CD Bank of Baroda NY	P	9-21-07	\$1,001 - \$15,000
	ML-US Treasury	P	5-25-07	\$1,001 - \$15,000
SP	JH Rtrmt-Pimco Total Return	P	Monthly	\$1,001 - \$15,000
SP	JH Rtrmt-Weitz Partners Value	P	Monthly	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name Rosa L. Delauro

Page 8 of 11

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	JH Rtrmt-Franklin Balance Sheet	P	Monthly	\$1,001 - \$15,000
SP	JH Rtrmt-Lord Abbett All Value	P	Monthly	\$1,001 - \$15,000
SP	JH Rtrmt-Lord Abbett Mid Cap Value	P	Monthly	\$1,001 - \$15,000
SP	JH Rtrmt-DWS RREEF Real Estate	P	Monthly	\$1,001 - \$15,000
SP	JH-Rtrmt-Excelsior Value & Restruct	P	Monthly	\$1,001 - \$15,000
SP	JH Rtrmt-Jennison Growth	P	Monthly	\$1,001 - \$15,000
SP	JH Rtrmt-Templeton Foreign	P	Monthly	\$1,001 - \$15,000
SP	JH Rtrmt-AIM Small Cap Growth	P	Monthly	\$1,001 - \$15,000
SP	JH Rtrmt-Intl Value Fund	P	Monthly	\$1,001 - \$15,000
JT	ML-First Trst Morningstar	S	12-27-07	\$15,001 - \$50,000
JT	ML-US Treasury	S	8-09-07	\$1,001 - \$15,000
JT	ML-US Treasury	S	8-09-07	\$1,001 - \$15,000



# SCHEDULE IV - TRANSACTIONS

Name Rosa L. Delauro

Page 9 of 11

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	ML US Treasury	S	8-09-07	\$1,001 - \$15,000
	ML-Blackrock Global	P	12-18-07	\$1,001 - \$15,000
SP	JH Rtrmt Small Cap Index Fund	P	Monthly	\$1,001 - \$15,000
SP	JH Rtrmt Templeton Foreign	S	10-26-07	\$50,001 - \$100,000
SP	JH Rtrmt Intl Value Fund	P	10-26-07	\$50,001 - \$100,000

# SCHEDULE V - LIABILITIES

Name Rosa L. Delauro

Page 10 of 11

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Chase Auto Phoenix, Az	Auto Loan	\$15,001 - \$50,000

# SCHEDULE VIII - POSITIONS

Name Rosa L. Delauro

Page 11 of 11

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Fellow	Timothy Dwight College - Yale University
Member of Board of Directors	Women's Campaign School - Yale University
Honorary Board Member	Special Olympics - Connecticut
Honorary Board Member	Sisters Journey
Advisory Board Member	Roosevelt Institution
National Advisory Board	National Research Center for Women & Families

Greenberg Research, Inc. -- Ownership value based  
on an independent business valuation.

**Statement II, Schedule III, Page 6:**

Greenberg Quinlan Rosner Research Inc. --  
Ownership value based on an independent business  
valuation.

**Statement I, Schedule III, Page 6:**

**ATTACHMENTS: BASIS OF VALUATION**

House of Representatives, CT, 3<sup>rd</sup> District

Rosa L. DeLauro  
49 Huntington Street  
New Haven, CT 06511