



Filing ID #10026341

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Sean Colin Frame
Status: Congressional Candidate
State/District: CA04

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2019
Filing Date: 01/1/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
529 Mutual Funds ⇒ Aggressive Track Equity [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		
LOCATION: CA DESCRIPTION: Funds are split between this and Aggressive track Index for Everet's 529					
529 Mutual Funds ⇒ Aggressive Track Equity Index [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		
LOCATION: CA DESCRIPTION: Funds are split between this and Aggressive track for Everet's 529					
529 Mutual Funds ⇒ Short Term Index [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		
LOCATION: CA DESCRIPTION: Funds split between "Short Term Portfolio" and "Short Term Index" by Charles Schwab (Fund Manager)					
529 Mutual Funds ⇒ Short Term Portfolio [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		
LOCATION: CA DESCRIPTION: Funds split between "Short Term Portfolio" and "Short Term Index" by Charles Schwab (Fund Manager)					
Adtalem Global Education Inc. (ATGE) [ST]	SP	\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
ADTALEM GLOBAL EDUCATION, INC. RETIREMENT PLAN ⇒ Adtalem Global Education Inc. (ATGE) [ST]	SP	\$1,001 - \$15,000	None		
ADTALEM GLOBAL EDUCATION, INC. RETIREMENT PLAN ⇒ Baird Core Plus Inst [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
ADTALEM GLOBAL EDUCATION, INC. RETIREMENT PLAN ⇒ Dodge & Cox Balanced [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
ADTALEM GLOBAL EDUCATION, INC. RETIREMENT PLAN ⇒ Fidelity 500 Index [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
ADTALEM GLOBAL EDUCATION, INC. RETIREMENT PLAN ⇒ Prudential Income [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
ADTALEM GLOBAL EDUCATION, INC. RETIREMENT PLAN ⇒ Vanguard MidCap Index [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
American Fundamental INV A [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
American New Perspective [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Bank of America Checking [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Bank of America Savings [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Chase Business Checking [BA]		\$1,001 - \$15,000	Interest	None	None
Ellyn's Rollover IRA ⇒ Fidelity Contrafund [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Ellyn's Rollover IRA ⇒ Fidelity MidCap Stock [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Ellyn's Rollover IRA ⇒ Fidelity Telecom and Utilities Fund [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Ellyn's Rollover IRA ⇒ Putnum International Capital Opportunity [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ellyn's Rollover IRA ⇒ Putnum International Value Fund Class A [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
General Electric Company (GE) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Hartford Schrodgers US: SM: SCUX [MF]	DC	\$1,001 - \$15,000	Dividends	None	\$1,001 - \$2,500
Marriott 401k Plan ⇒ Balanced Fund [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
Marriott 401k Plan ⇒ Dodge & Cox Stock Portfolio [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
Marriott 401k Plan ⇒ Large Cap Equity Fund [MF]	SP	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	None
Marriott 401k Plan ⇒ NT Collective S&P 500 Index [MF]	SP	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	None
Marriott 401k Plan ⇒ T. Rowe Price Mid-Cap Growth [MF]	SP	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	None
Merck & Company, Inc. Common Stock (MRK) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Microsoft Corporation (MSFT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Mutual Fund held in SEP IRA ⇒ ALGER SPECTRA FUND CL A : SPECX [MF]		\$1,001 - \$15,000	Tax-Deferred		
Mutual Fund held in SEP IRA ⇒ BECKER VALUE EQUITY FUND: BVEFX [MF]		\$1,001 - \$15,000	Tax-Deferred		
Mutual Fund held in SEP IRA ⇒ DRIEHAUS EMRG MKTS GWTH: DREGX [MF]		\$1,001 - \$15,000	Tax-Deferred		
Mutual Fund held in SEP IRA ⇒ VANGUARD WELLESLEY ? INCOME FD INVESTOR SHARE: VWINX [MF]		\$1,001 - \$15,000	Tax-Deferred		
Schwab Brokerage Sweep Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Schwab Regular IRA ⇒ Schwab IRA Sweep [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Schwab ROTH IRA ⇒ Oracle Corporation (ORCL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Schwab ROTH IRA ⇒ Schwab ROTH IRA Sweep [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Sempra Energy (SRE) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
SEP IRA Bank Sweep [BA]		\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
T Rowe Price Growth Stock FD INV:PRGFX [MF]	DC	\$15,001 - \$50,000	Dividends	None	\$1,001 - \$2,500
Wells Fargo & Company (WFC) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
El Dorado County Office of Education	Salary	\$11,051.00	\$18,944.00
Rescue Union School District	Spouse Income	N/A	N/A
Madrona Vineyards	Spouse Income	N/A	N/A
Placerville Union Schoo District	Board Member Stipend	\$1,540.00	\$2,640.00
Frame by Frame Productions	Sole Proprietorship Business Gross Income	\$92,216.00	\$89,816.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	American Express	Revolving	Business Credit Card	\$15,001 - \$50,000
	Small Business Administration	March 2012	Small Business Loan	\$50,001 - \$100,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Wells Fargo	March 2012	Business Line	\$10,000 - \$15,000
	Bank of America	Revolving	Credit Card	\$10,000 - \$15,000
	Discover Card	Revolving	Credit Card	\$10,000 - \$15,000
	Citibank	Revolving	Credit Card	\$10,000 - \$15,000
	Citibank	Revolving	Credit Card	\$10,000 - \$15,000
	School Credit Union	Revolving	Credit Line	\$10,000 - \$15,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
LifeSci Public Relations (New York, NY, US)	Video Production Services
Sacramento County Office of Education (Sacramento, CA, US)	Video Production Services

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none">529 Mutual Funds (Owner: DC) LOCATION: CAADTALEM GLOBAL EDUCATION, INC. RETIREMENT PLAN (Owner: SP)Ellyn's Rollover IRA (Owner: SP)Marriott 401k Plan (Owner: SP)Mutual Fund held in SEP IRA DESCRIPTION: These are the mutual funds in my retirement account.Schwab Regular IRASchwab ROTH IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not

be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Sean Colin Frame , 01/1/2020