Filing ID #10005743

Financial Disclosure Report

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Filer Information

Name: Hon. Juan Vargas
Status: Member
State/District: CA51

Filing Information

Filing Type: Annual Report
Filing Year: 2014
Filing Date: 05/15/2015

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Cabrillo Credit Union Accounts		$1,001 - $15,000	Interest	$1 - $200	
California Scholar Share 529 Plan ⇒ Age Based Portfolio 11-12 Location: CA		$1,001 - $15,000	Tax-Deferred		✓
California Scholar Share 529 Plan ⇒ Age Based Portfolio 18 & Over Location: CA		$15,001 - $50,000	Tax-Deferred		✓
Candidate Loan owed by Friends of Juan Vargas		$100,001 - $250,000	None		
Candidate Loan owed by Vargas for Congress		$15,001 - $50,000	None		
Employer 403(b) ⇒ American Century One Choice 2035 Portfolio Fund (ARYRX)		$500,001 - $1,000,000	Tax-Deferred		✓
JP Morgan Chase Bank Accounts		$1,001 - $15,000	Interest	$1 - $200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Liberty Mutual 401(k) ⇒ Liberty Mutual Retirement Target Date 2045		$50,001 - $100,000	Tax-Deferred		☐
Personal Residence LOCATION: San Diego, CA, US		$500,001 - $1,000,000	None		☐
Residential Rental Property LOCATION: Sacramento, CA, US		$500,001 - $1,000,000	Rent	$15,001 - $50,000	☐
San Diego City Employees Retirement System Defined Benefit Pension Plan		Undetermined	See Schedule C	$15,001 - $50,000	☐
Sun Life Variable Annuity ⇒ Lord Abbett Series Growth Opportunities Portfolio		$1,001 - $15,000	Tax-Deferred		☐
Sun Life Variable Annuity ⇒ MFS Government Securities Portfolio S Class		$1,001 - $15,000	Tax-Deferred		☐
Sun Life Variable Annuity ⇒ MFS Research International Portfolio S Class		$1,001 - $15,000	Tax-Deferred		☐
Sun Life Variable Annuity ⇒ MFS VIT I New Discovery Series Service Class		$1,001 - $15,000	Tax-Deferred		☑
Sun Life Variable Annuity ⇒ MFS VIT I Value Series Initial Class		$1,001 - $15,000	Tax-Deferred		☐
Sun Life Variable Annuity ⇒ MFS VIT III Global Real Estate Portfolio Service Class		$1,001 - $15,000	Tax-Deferred		☐
Sun Life Variable Annuity ⇒ MFS VIT III Limited Maturity Portfolio Initial Class		$1,001 - $15,000	Tax-Deferred		☐
Sun Life Variable Annuity ⇒ MFS VIT III Mid Cap Value Portfolio Initial Class		$1,001 - $15,000	Tax-Deferred		☐
Sun Life Variable Annuity ⇒ Oppenheimer Main Street Fund		$1,001 - $15,000	Tax-Deferred		☐
Sun Life Variable Annuity ⇒ Templeton Foreign Securities Fund		$1,001 - $15,000	Tax-Deferred		☐
UBS IRA ⇒		$1,001 - $15,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
PACE International Emerging Markets Equity Investments Class P (PCEMX)					
UBS IRA ⇒ PACE International Equity Investments Class P (PCIEX)		$15,001 - $50,000	Tax-Deferred		☑
UBS IRA ⇒ PACE International Fixed Income Investment Class P (PCGLX)		$1,001 - $15,000	Tax-Deferred		☐
UBS IRA ⇒ PACE Large Co Growth Equity Investments Class P (PCLCX)		$15,001 - $50,000	Tax-Deferred		☑
UBS IRA ⇒ PACE Large Co Value Equity Investments Class P (PCLVX)		$15,001 - $50,000	Tax-Deferred		☑
UBS IRA ⇒ PACE Money Market Investments Fund Class P (PCEXX)		$1,001 - $15,000	Tax-Deferred		☑
UBS IRA ⇒ PACE Small / Medium Co. Growth Equity Investments Class P (PCSGX)		$15,001 - $50,000	Tax-Deferred		☑
UBS IRA ⇒ PACE Small / Medium Co. Value Equity Investments Class P (PCSVX)		$1,001 - $15,000	Tax-Deferred		☑
UBS IRA ⇒ PACE Strategic Fixed Income Investments Class P (PCSIX)		$1,001 - $15,000	Tax-Deferred		☑
Vintage vehicle 1		$15,001 - $50,000	None		☐
Vintage vehicle 2		$50,001 - $100,000	None		☐

* Asset class details available at the bottom of this form.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
California Scholar Share 529 Plan ⇒ Age Based Portfolio 11-12 Location: CA Description: Age-based migration from 529 California Scholar Share Age Based Portfolio 9-10 to 529 California Scholar Share Age Based Portfolio 11-12.		12/22/2014	P	$1,001 - $15,000	
California Scholar Share 529 Plan ⇒ Age Based Portfolio 17 Location: CA Description: Age-based migration from 529 California Scholar Share Age Based Portfolio 17 to 529 California Scholar Share Age Based Portfolio 18 & Over.		06/20/2014	S	$15,001 - $50,000	
California Scholar Share 529 Plan ⇒ Age Based Portfolio 18 & Over Location: CA Description: Age-based migration from 529 California Scholar Share Age Based Portfolio 17 to 529 California Scholar Share Age Based Portfolio 18 & Over.		06/20/2014	P	$15,001 - $50,000	
California Scholar Share 529 Plan ⇒ Age Based Portfolio 9-10 Location: CA Description: Age-based migration from 529 California Scholar Share Age Based Portfolio 9-10 to 529 California Scholar Share Age Based Portfolio 11-12.		12/22/2014	S	$1,001 - $15,000	
Employer 403(b) ⇒ American Century One Choice 2035 Portfolio Fund (ARYRX)		10/21/2014	P	$500,001 - $1,000,000	
Employer 403(b) ⇒ Fidelity Advisor Freedom 2035 Description: Fund transfer; proceeds invested in American Century One Choice 2035 Portfolio Fund (ARYRX)		10/21/2014	S	$500,001 - $1,000,000	
Sun Life Variable Annuity ⇒ MFS New Discovery Portfolio S Class		08/8/2014	S	$1,001 - $15,000	
Sun Life Variable Annuity ⇒ MFS VIT I New Discovery Series Service Class		08/8/2014	P	$1,001 - $15,000	
UBS IRA ⇒ PACE International Emerging Markets Equity Investments Class P (PCEMX)		11/25/2014	S (partial)	$1,001 - $15,000	
UBS IRA ⇒ PACE International Equity Investments Class P (PCIEX)		11/25/2014	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
UBS IRA ⇒ PACE Large Co Growth Equity Investment Class P (PCLCX) DESCRIPTION: Dividend Reinvestment		12/22/2014	P	$1,001 - $15,000	
UBS IRA ⇒ PACE Large Co Growth Equity Investments Class P (PCLCX)		11/25/2014	S (partial)	$1,001 - $15,000	☐
UBS IRA ⇒ PACE Large Co Value Equity Investments Class P (PCLVX) DESCRIPTION: Dividend Reinvestment		12/22/2014	P	$1,001 - $15,000	
UBS IRA ⇒ PACE Large Co Value Equity Investments Class P (PCLVX)		11/25/2014	S (partial)	$1,001 - $15,000	☐
UBS IRA ⇒ PACE Money Market Investment Fund Class P (PCEXX)		11/25/2014	P	$1,001 - $15,000	
UBS IRA ⇒ PACE Small / Medium Co Growth Equity Investments Class P (PCSGX) DESCRIPTION: Dividend Reinvestment		12/22/2014	P	$1,001 - $15,000	
UBS IRA ⇒ PACE Small / Medium Co Growth Equity Investments Class P (PCSGX)		11/25/2014	S (partial)	$1,001 - $15,000	☐
UBS IRA ⇒ PACE Small / Medium Co Value Equity Investments Class P (PCSVX)		11/25/2014	S (partial)	$1,001 - $15,000	☐
UBS IRA ⇒ PACE Strategic Fixed Income Investments Class P (PCSIX)		05/20/2014	P	$1,001 - $15,000	
UBS IRA ⇒ PACE Strategic Fixed Income Investments Class P (PCSIX)		11/25/2014	P	$1,001 - $15,000	

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
San Diego City Employees Retirement System	Defined Benefit Retirement Distribution	$21,124

Source	Type	Amount
San Diego Community Foundation	Spouse Salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	JP Morgan Chase	July 2003	Mortgage on residential rental property, Sacramento, CA	$250,001 - $500,000
	RPM Mortgage	April 2013	Mortgage on personal residence, San Diego, CA	$250,001 - $500,000
	Cabrillo Credit Union	December 2014	Credit Card	$10,000 - $15,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

Date	Parties To	Terms of Agreement
December 2013	Myself and the San Diego Employees Retirement System	Continued participation in SDCERS defined benefit plan.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Government of the United Kingdom (MECEA)	01/18/2014	01/22/2014	Dulles, VA - London, England - San Diego, CA	0	☑	☑	☐

Schedule A and B Asset Class Details

- California Scholar Share 529 Plan
  Location: CA

- Employer 403(b)
- Liberty Mutual 401(k)
- Sun Life Variable Annuity
- UBS IRA

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Juan Vargas , 05/15/2015