



Filing ID #10020717

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: John Ward
Status: Congressional Candidate
State/District: FL06

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2018
Filing Date: 07/29/2018
Period Covered: 01/01/2017– 07/26/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
AGNC Investment Corp. (AGNC) [ST]		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
American Midstream Partners, LP Common Units representing Limited Partner Interests (AMID) [ST]		\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Annaly Capital Management Inc (NLY) [ST]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$15,001 - \$50,000
Apollo Investment Corporation - Closed End Fund (AINV) [ST]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Ares Capital Corporation - Closed End Fund (ARCC) [ST]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
ARMOUR Residential REIT, Inc. (ARR) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
BlackRock Capital Investment Corporation (BKCC) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Broadview Networks Holdings 10.5% Notes Due 2017 [CS]		None	Interest	None	\$2,501 - \$5,000
DESCRIPTION: Broadview Networks Corporate Bond					
Broadview Networks Holdings Inc (BVWN) [ST]		None	Capital Gains	\$1,001 - \$2,500	None
Capital Product Partners L.P. - common units representing limited partner interests (CPLP) [ST]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
Capstead Mortgage Corporation (CMO) [ST]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
Chatham Nautilus Trust ⇒ Former Residence of Parents [RP]		\$100,001 - \$250,000	Dividends	None	\$15,001 - \$50,000
LOCATION: Chatham, MA, US					
DynCorp International 10.375% Notes 2017 [CS]		None	Capital Gains, Interest	None	\$5,001 - \$15,000
DESCRIPTION: DynCorp International corporate bond, redeemed April 2017 and no longer held					
Energy Transfer Partners, L.P. Common Units representing limited partner interests (ETP) [ST]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Fidelity Conservative Income Bond Fund - FCONX [MF]		\$1 - \$1,000	Interest	\$1 - \$200	\$201 - \$1,000
Fidelity Government Cash Reserves Money Market Fund [BA]		\$50,001 - \$100,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Fidelity Government Money Market Fund [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Fidelity International Small Cap Fund - FISMV [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	None	\$1,001 - \$2,500
Fidelity MA Aggressive Growth Portfolio - MA1000904 [MF]		\$250,001 - \$500,000	None		
Fidelity Midcap Value Fund - FSMVX [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Fidelity Rollover IRA 1 ⇒	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity Equity Income Fund (FEQIX) [MF]					
Fidelity Rollover IRA 1 ⇒ Fidelity Government Cash Reserves Money Market Account [BA]	SP	\$100,001 - \$250,000	Tax-Deferred		
Fidelity Rollover IRA 1 ⇒ Fidelity Low Priced Stock Fund (FLPSX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity Rollover IRA 2 ⇒ Fidelity Blue Chip Growth Fund (FBGRX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Fidelity Select Biotech Fund - FBIOX [MF]		\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	\$201 - \$1,000
Fidelity Select Software and IT Services Fund - FSCSX [MF]		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	\$1,001 - \$2,500
Fidelity Small Cap Index Premium Class - FSSVX [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$5,001 - \$15,000
Fifth Street Finance Corp. (FSC) [ST]		None	Dividends	None	\$5,001 - \$15,000
Granite Point Mortgage Trust Inc. (GPMT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Hercules Capital, Inc. (HTGC) [ST]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
INVESCO MORTGAGE CAPITAL INC (IVR) [ST]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
iShares MSCI Emerging Markets ETF - EEM [EF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
KCAP Financial, Inc. - common stock (KCAP) [ST]		None	Dividends	None	\$5,001 - \$15,000
Landmark Infrastructure Partners LP - Common Units (LMRK) [ST]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
Loan to Campaign [DO]		\$500,001 - \$1,000,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
M/C VP V, LLC [OL]		\$100,001 - \$250,000	None		
LOCATION: Boston, MA, US DESCRIPTION: Investment Company					
Martin Midstream Partners L.P. - Common Units Representing Limited Partnership Interests (MMLP) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$5,001 - \$15,000
Monroe Capital Corporation (MRCC) [ST]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
MTGE Investment Corp. (MTGE) [ST]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
New Residential Investment Corp. (NRZ) [ST]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	\$1,001 - \$2,500
New York Mortgage Trust, Inc. (NYMT) [ST]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$15,001 - \$50,000
Newtek Business Services Corp. (NEWT) [ST]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
Oaktree Specialty Lending Corporation (OCSL) [ST]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	None
Oxford Square Capital Corp. - Closed End Fund (OXSQ) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$5,001 - \$15,000
PennantPark Investment Corporation - common stock (PNNT) [ST]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	\$1,001 - \$2,500
Prospect Capital Corporation (PSEC) [ST]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$15,001 - \$50,000
Solar Capital Ltd. (SLRC) [ST]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	\$1,001 - \$2,500
TPG Specialty Lending, Inc. (TSLX) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Two Harbors Investment Corp (TWO) [ST]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Unit Economic Investors LLC ⇒ Asset Overwatch Services, LLC [OL]		\$250,001 - \$500,000	None		
LOCATION: Jacksonville, FL, US DESCRIPTION: Tech-enabled outsourced security services contract management firm					
Unit Economic Investors LLC ⇒ Clik Media, Inc. [OL]		\$1,001 - \$15,000	None		
LOCATION: Marblehead, MA, US DESCRIPTION: Software-as-a-Service based building directory service					
Unit Economic Investors LLC ⇒ Leviathan Group I, LLC [OL]		\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
LOCATION: Atlanta, GA, US DESCRIPTION: Physical security services firm providing tech-enabled patrol services					
Unit Economic Investors LLC ⇒ Security Development Group, LLC [OL]		\$1,000,001 - \$5,000,000	Dividends	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
LOCATION: Jacksonville, FL, US DESCRIPTION: Physical security services firm providing tech-enabled patrol services					
Unit Economic Investors LLC ⇒ Special Security Strategies, LLC [OL]		\$500,001 - \$1,000,000	Dividends	None	\$50,001 - \$100,000
LOCATION: Jacksonville, FL, US DESCRIPTION: Video surveillance and monitoring services					
Unit Economic Investors LLC ⇒ Unit Economic Advisors, LLC [OL]		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	\$15,001 - \$50,000
LOCATION: Ponte Vedra Beach, FL, US DESCRIPTION: Investment advisory services and consulting					
USAA Federal Savings Bank Checking Account [BA]		\$1,001 - \$15,000	None		
USAA Federal Savings Bank Joint Checking Account [BA]	JT	\$1,001 - \$15,000	None		

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Cyprium Partners	Advisory Council Retainer Fee	\$25,000.00	\$25,000.00
Key Bank	Salary	N/A	\$300,000.00
Xactly Corporation	Director Fees	N/A	\$35,000.00
Xactly Corporation	Director Incentive Compensation	N/A	\$261,000.00
Leviathan Group I, LLC	Consulting Fee	N/A	\$18,000.00
Define Studio, Jacksonville Beach, Florida	Spouse salary	N/A	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Bank of America	April 2017	Credit Card	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Executive Chairman and Board Member	Security Development Group, LLC
Executive Chairman and Board Member	Leviathan Group I, LLC
Executive Chairman and Board Member	Special Security Strategies, LLC
Board Member	Clik Media, Inc.
Executive Chairman and Board Member	Asset Overwatch Services, LLC
Board Member	Cornerstone Classical Education Foundation
Board Member and Treasurer	Ponte Vedra Athletic Association Lacrosse Club
Board Member	Xactly Corporation
Board Member	Fusion Media Holdings

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Cyprium Partners (Cleveland, OH, US)	Advisory Services provided by Unit Economic Advisors, LLC
Leviathan Group I, LLC (Atlanta, GA, US)	Consulting Services provided by Unit Economic Investors, LLC

SCHEDULE A ASSET CLASS DETAILS

- Chatham Nautilus Trust
- Fidelity Rollover IRA 1 (Owner: SP)
- Fidelity Rollover IRA 2 (Owner: SP)
- Unit Economic Investors LLC
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: John Ward , 07/29/2018