No	Yes	child because	sactions, or liabilities of a spouse or dependent child because with the Committee on Ethics.	" income, trans	<b>EXEMPTION</b> —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ett
No	Yes	ciosed. Have you	d certain other "excepted trusts" need not be disclosed. Have you ild?	on Ethics and dependent chil	TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
S	UESTION	F THESE Q	MATION — ANSWER EACH OF THESE QUESTIONS	T INFORI	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION
d the ponse.	"Yes" resp	must be ans ed for each '	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	□ N <sub>S</sub>	V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?  If yes, complete and attach Schedule V.
No	Yes	arrangement with	IX. Did you have any reportable agreement or arrangement with an outside entity?  If yes, complete and attach Schedule IX.	No	IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?  If yes, complete and attach Schedule IV.
S S	Yes	or before the date	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?  If yes, complete and attach Schedule VIII.	□ S	Ill. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?  If yes, complete and attach Schedule III.
8	Yes	d receive any n the reporting	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?  If yes, complete and attach Schedule VII.	No	II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?  If yes, complete and attach Schedule II.
No Market	Yes	regating more	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?  If yes, complete and attach Schedule VI.	N <sub>S</sub>	I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes if yes, complete and attach Schedule I.
			E QUESTIONS	OF THES	PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS
		30 days late.	Termination		Type Annual (May 16, 2011)
assessed	ity shall be	A \$200 penalty shall be assessed	or Employing Office:	Officer or Employee	Filer Member of the U.S. State: LA Status House of Representatives District: 62
MO	fice Use Only)	U.S. Coffice Use Only)			
	PN 12: 28	2011 JUL 13 Ph 12: 25	Davtime Telephone: (202)225-6636	Davtime 1	Name: Cedric L. Richmond
	113	「大学」の「「「「「「「「「「「「「」」」「「「「」」「「「」」「「「」」「「」」「			
HAND DELIVERED	ID DE	HAN	Form A For use by Members, officers, and employees	TNBN	UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT
•	1				

Richmond	
Page_	

of land in Jeff basis, Ms	50% of 78 acres	New Orleans, LA	Rental Property in	50% Residential		DC, Examples: Simon & Schuster	SP, Sp Mega Corp. Stock	the name of the institution holding the account and its value at the end of the reporting period.  For rental or other real property held for investment, provide a complete address.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Sevings Plan.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.  For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	Provide complete names of stocks and mutual funds (do not use ticker symbols.)  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each assat held in the account that exceeds the reporting thresholds. For retire-	Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.	Asset and/or Income Source	BI OCK A
	X			10 / A	×	Indefinite 😽 👶		None > \$1 - \$1,000	If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."	Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.	Value of Asset	I PI OCK B
	Timber	20 亿、 原金。 证代明		令式 <b>X</b> 3 法 3 素	<b>X</b>	Royalties	X X X	NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST.  Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	may check the None column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income dur- ing the reporting period.	olumns that a counts that do specific invese tax-deferred (k) plans or IF	Type of Income	EL OCK C
	X				X   X   X   X   X   X   X   X   X   X			None = \$1 - \$200	indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets,	Amount of Income	BLOCK D
							S (partial)	ff only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.  P, S, E	exchanges (E) exceeding \$1,000 in reporting year.	asset had purchases (P), sales (S), or	Transaction	BLOCK E

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SCHEDULE III—ASSETS AND "UNEARNED" INCOME Continuation Sheet (if needed) 8 Ş Ħ Asset and/or Income Source see attached (see attached Rmeritiage Microsoft Noney MK4 Fund Sirius Charter Comm TRA > None W \$1 - \$1,000 C \$1,001 - \$15,000 O \$15,001 - \$50,000 Value of Asset П П \$50,001 - \$100,000 Year-End BLOCK B \$100,001 - \$250,000 \$250,001 - \$500,000 \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 ے \$5,000,001 - \$25,000,000 ス \$25,000,001 - \$50,000,000 Over \$50,000,000  $\overline{E_{i_{\ell}}}$ NONE **DIVIDENDS** RENT INTEREST of Income BLOCK C Type **CAPITAL GAINS EXCEPTED/BLIND TRUST** Name Cedric Richmond Other Type of Income (Specify: e.g., Partnership Income or Farm Income)  $\times |\times|$ None × \$1 - \$200 Amount of Income ≡ \$201 - \$1,000 < \$1,001 - \$2,500 < BLOCK D \$2,501 - \$5,000 \$5,001 ~ \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000  $\overline{\times}$ \$100,001 - \$1,000,000 × \$1,000,001 - \$5,000,000 × Over \$5,000,000 Page. Transaction BLOCK E ரு வு 9



Bank of America Corporation

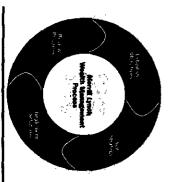
## **Portfolio Performance Review**

RICHMOND, CEDRIC

July 12, 2011

Bernard Robertson Sept 2008 - April 2011 (504) 586-7769

The Merrill Lynch Wealth Management Process



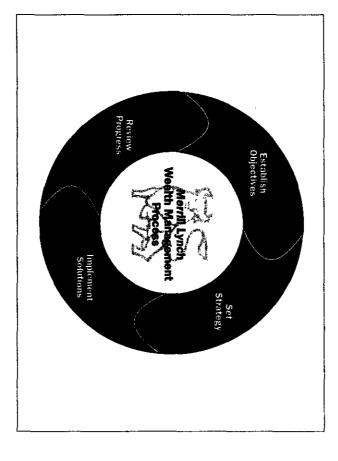
offers brokerage, investment advisory and other services. For more information about any of these services and their differences, advisor's advice; this report can help you make informed investment decisions in order to reach your financial objectives. Merrill Lynch This analysis is one of the brokerage reports available through the Wealth Management Process. It provides you with a detailed review of your investment strategy, including current financial positions, asset allocation and investment performance. In conjunction with a financial including the type of advice and assistance offered, see your Financial Advisor. The Wealth Management Process offers brokerage advice as part of the "Merrill Lynch Wealth Management" offering of financial services.

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Merrill Lynch
Wealth Management

Bank of America Corporation

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- Total Portfolio Review
- 3. Asset Allocation Overview
- . Risk vs. Return Analysis (ES)
- Performance Analysis
- Portfolio Cash Flows and Performance
- Asset Class Performance and Allocation: Yearly
- 8. Money Weighted Return (Gross vs. Net)
- Portfolio Overview
- 10. Realized Gain/(Loss) by Account/Security
- 11. Tax Summary Overview



It is important for you to understand that this report provides a portfolio review and it is not a comprehensive financial plan. If you are interested in a formal analysis of your entire financial situation, ask your Financial Advisor about Merrill Lynch's financial planning services, including the fees that may be applicable.

#### **Account List**



Bank of America Corporation

As of Close of Business: 07/11/2011

Accounts held at Merrill Lynch, Pierce, Fenner & Smith Incorporated Account Number/NickName

Account Number/NickName 594-18044 7021 COVE DR MR CEDRIC RICHMOND

**NEW ORLEANS LA 70126** 

Total

Credit Type

Account Registration

Market Value(\$) 0.30

% of Total 100.00

Unless otherwise indicated, investment accounts are held at Merrill Lynch, Pierce, Fenner & Smith Incorporated, Member SIPC. Bank deposits are held at the Bank of America, N.A. and affiliated banks or other depository institutions and are covered by FDIC insurance up to applicable limits. Bank deposits are not protected by SIPC.

Banking products are provided by Bank of America, N.A. and affiliated banks, Members FDIC and wholly owned subsidiaries of Bank of America Corporation

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) and other subsidiaries of Bank of America Corporation("BAC")

Trust and fiduciary services are provided by Merrill Lynch Trust Company, a division of Bank of America, N.A., Member FDIC. Insurance and annuity products are offered through Merrill Lynch Life Agency Inc., a licensed insurance agency.

Are Not FDIC Insured	Are Not Bank or State Guaranteed	May Lose Value
Are Not Deposits	Are Not insured by Any Federal Government Agency	lition to Any Bankins

MLPF&S, Bank of America, N.A., and Merrill Lynch Life Agency Inc. are wholly owned subsidiaries of BAC. MLPF&S is a registered broker-dealer, Member SIPC and wholly owned subsidiary of BAC.

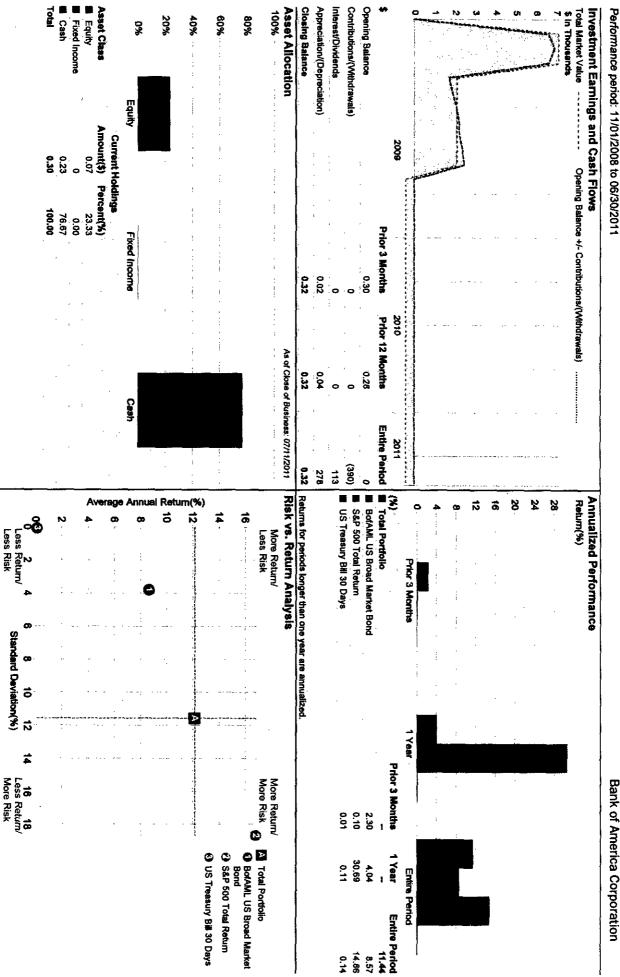
MLPF&S and Bank of America, N.A. make available investment products sponsored, managed, distributed or provided by companies that are affiliates of BAC or in which BAC has a substantial economic interest, including BofATM Global Capital Management and BlackRock

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## **Total Portfolio Review**

Performance period: 11/01/2008 to 06/30/2011

**Wealth Management Merrill Lynch** 



8 57 0.1 \*

Standard Deviation(%)

## **Asset Allocation Overview**

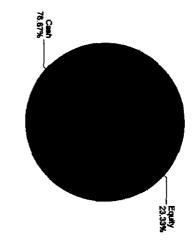
As of Close of Business: 07/11/2011
Asset Allocation

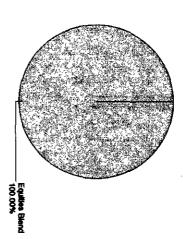
Equity Size and Style

Fixed Income

**Bank of America Corporation** 

Merrill Lynch
Wealth Management





Total	Account 594-18044	<b>Account Summary</b>			Total	Subtotal	Cash	Fixed Income	<b>Equity</b>	Asset Class	
		mary						,			
	Account 1										
	Account Type/Manager				0.30	0.30		0	0.07	Market Value(\$)	
	ger					100.00	76.67	0.00	23.33	Total	
			Total	्र Equities Blend	Internation	Small/Mi	Small/Mi	Large Cap Value	Large Cap Growth	Size & Style	
0.30	Market Value(\$) 0.30			Blend	International Equity	Small/Mid Cap Value	Small/Mid Cap Growth	ap Value	ap Growth	· <b>F</b>	
100.00	% of 70tal 100.00				:		: ! .			*	
Total	Security Description WATCHIT TECHNOLOGIES	Top Holdings (Based o	0.07	0.07				0	. 0	Market Value(\$)	
	INC .	on Market Value)	100.00	100.00	0.00 Total	0.00 Fixed Income Blent	0.00 Short Term Bond	0.00 Int. Term Bond	0.00 Long Term Bond	% of Total Maturity	
	Quantity 100						,				
	Price(\$) 0.00										
	Market Value(\$) 0.07				•	0	0	0	0	Market Value(\$)	
23,33	% of Total 23.33				0.00	0.00	0.00	0,00	0.00	Total	

## Merrill Lynch Wealth Management

Performance period: 11/01/2008 to 06/30/2011

Risk vs. Return Analysis

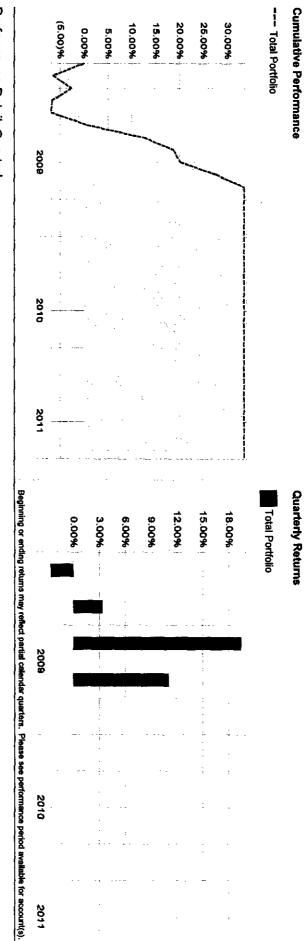
Longest Common Time Period

Standard Deviation(%) 11.58 11.58 3.80 18.67 0.05	Average Annual Return(%) 12.14 12.14 8.64 16.81 0.14	Average A	Closing Balance(\$) 0.32 0.32	; C <b>c</b> o <u>e</u>		% of Total 100.00 100.00				A Total Portfolio B 594-18044 B BofAML US Broad Market Bond S&P 500 Total Return S US Treasury Bill 30 Days	A Total Portfolio B 594-18044  1 BofAML US Broad Mai 2 S&P 500 Total Return 3 US Treasury Bill 30 Da
18 Less Return/More Risk	16	14	12	10 <b>ion (%)</b>	ard Deviat	8 Stand	6		4	0 0 Less Return/Less Risk	O CO
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			Α						;		n (%) 応
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More Return/More Risk			, ,		:					More Return/Less Risk	More F

## Performance Analysis

Performance period: 11/01/2008 to 06/30/2011





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	1	ł	ı	J	(3.45)	0.43	2008
ı		4.84	ı	i	(0.16)	(0.77)	1QTR
1	1	ł		i	i	26.13	2QTR
i	· i	ı	1	J	1	13.68	3QTR
		. *			1	. 1	4QTR
	ì	4.84	1		(0.16)	42.27	2009
1	1	•	į		1	ı	10TR
ι	ı	1	ı		•	i	2QTR
1	1	ť	,		ı	ı	3QTR
1	1	f			l,	ı	4QTR
	1		1		1	1	2010
1	1				ı	1	1QTR
ı	1	1	1	1	•		2QTR
ı	ı	1			1	ı	2011
Other	Annuities	Bal Inv	Alt Inv	Cash	Fix inc	Equity €	Period
		•	OR(%)	<b>₽</b>			

# Portfolio Cash Flows and Performance: Yearly

Performance period: 11/01/2008 to 06/30/2011

Merrill Lynch
Wealth Management

0.36%	0.03%	0.11%	0.10%	0.12%	US Treasury Bill 30 Days
44.70%	E 07%	15 OS%	26 A50/	%(OC 3/)	Bond Tatal Batter
24.51%	2.76%	6.80%	6.12%	6.91%	BofAML US Broad Market
					Reference Indices
33.49%	ı	ı	37.07%	(2.61)%	Time Weighted Return
\$0.32	\$0.32	\$0.30	\$0.26	\$6,902	Closing Balance
\$278	\$0.02	\$0.04	\$552	\$(274)	Appreciation/(Depreciation)
\$113	\$0	\$0	\$22	\$90	Interest/Dividends
\$(390)	\$0	\$0	\$(7,476)	\$7,086	Contributions/(Withdrawals)
\$0	\$0.30	\$0.26	\$6,902	\$0	Opening Balance
Entire Period	2011	2010	2009	2008	Categories
2010 2011			2009		
大学の大学の大学の大学の大学の大学の大学の大学の大学の大学の大学の大学の大学の大			the state and a section of the secti		The prime makes on the second who should be presented to predict the second
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			1		7 in the second
awais)	Opening Balance +/- Contributions/(Withdrawals)	ening Balance	g	5 1 2 5 1 1 1	o Market Value

### Accounts included in this report: 594-18044(IIA)

Merrill Lynch
Wealth Management

Asset Class Performance and Allocation: Yearly

Performance period: 01/01/2010 to 12/31/2010

**Period** 2010

**Equity** 100.00

Fix Inc

Cash

Allocation(%) sh Alt inv

Bai Inv Annuities

Other

Equity

Flx Inc

Cash \_

Bank of America Corporation
ROR(%)
Alt inv Bal inv Annuities Other

services. Past performance does not guarantee future results. Note that various factors, including unpriced securities and certain adjustments, holdings or activity may cause report results to differ from actual performance. Report results may also differ from results reported by other Merrill Lynch

**Bank of America Corporation** 

There is no performance data available for the account(s) selected and the time period requested.

## Portfolio Overview



Performance period: 01/01/2010 to 12/31/2010			Bank of America Corporation	ca Corporation
Asset Allocation As of Close of Business: 07/11/2011		Performance Summary		
Current Holdings	No Model Selected	Prior 3	Prior 3 Months	YTD Entire Period
Equity 23.33%	0	Opening Balance	\$0,41	\$0,26 \$0,26
	7-	Net Contributions/(Withdrawais)	\$	<b>\$</b> 0
Cash 76.47%	7	Net investment Capitali	\$0,41	\$0.26 \$0.26
Current Holdings	5	Interest/Dividends	\$0	\$0
		Appreciation/(Depreciation)	\$(0.11)	\$0.04 \$0.04
0.23 0.30		Closing Balance	\$0,30	\$0.30 \$0.30
	2	Money Weighted Return	ı	t
	n	Time Weighted Return	1	1
Cumulative Time Weighted Return			. •	
15			Portfolio	<b>3</b>
			- S&P	-+- S&P 500 Total Return
6	Week, 477, 120, 62, 62, 62, 62, 62, 62, 62, 62, 62, 62	1. VIII 1. VII	MSCI	- MSCI EAFE Price Return
		The second secon		
Retui				
(12)			; <u>.</u>	
	2010			

**Bank of America Corporation** 

# Realized Gain/(Loss) by Account/Security

As of Close of Business: 07/11/2011

There is no tax lot data for the account(s) selected.

## Tax Summary Overview

As of Close of Business: 07/11/2011



Bank of America Corporation

Total	Subtotal	594-18044	Account Taxable
0	0	0	Interest and Divide Tax-Exempt Interest
•	0	0	nds (\$) thru Jun 2011 Taxable Tax-Exc Interest Dividi
•	. 0	0	un 2011 Tax-Exempt Dividends
0	0	0	Taxable Dividends
•	0	0	YID Realize Short Term
0	0	0	71D Realizad Gain/(Loss)(\$) Short Long Term Term
0	0	0	Total
0	0		Short Term Gain
•	0		Unreal Short Term (Loss)
	0	0	Unrealized Gain/(Loss)(\$) Short Term Long Term (Loss) Gain
•	0	0	)(\$) Long Term (Loss)
•	0	0	Total

may include reportable dividends, money fund dividends, tax-exempt fund dividends and non reportable dividend income. The "Interest and Dividend" values reflect the previous month-end statement totals of interest and dividend income. The interest total may include reportable interest, tax-exempt interest, and bank deposit interest. The dividend total

The report is for informational purposes only and we suggest that you review this information with your tax advisor. Please refer to your account statement for the official record of income payments credited to your account.

The Realized Gain/(Loss) totals for this account do not include sales transactions where cost information is unavailable or data is insufficient to accurately calculate the realized gain/loss figures

that have not settled) are not reflected in the Realized or Unrealized Gain/(Loss) sections of the Tax Summary Overview. The Realized and Unrealized Gain/(Loss) totals reflected in the Tax Overview are for informational purposes only, and we suggest that you review this information with your tax advisor. Please note that pending sale transactions (trades

Any information presented about tax considerations affecting your financial transactions or arrangements is not intended as tax advice and cannot be relied upon for the purpose of avoiding any tax penalties. Neither Merrill Lynch nor its Financial Advisors provide tax, accounting or legal advice. You should review any planned financial transactions or arrangement that may have tax, accounting or legal implications with your personal professional advisors.

# Important Information About Reference Indices



Bank of America Corporation

Market indices are included in this report to provide a reference point when reviewing the performance of your account(s). Indices provide a general source of information on how various market segments and types of investments have performed in the past. The index illustrated may be a single index, a combination of indices, or a Merrill Lynch allocation model. The characteristics of the indices provided may differ from your actual account(s). You should discuss with your Financial Advisor the reference indices selected and how they compare with your account(s).

Consumer Price Index (US) Additional Comparatives: The following indices are further reference points to various broad market indices. Based on the exhibits selected, these indices may or may not be included in this report.

BofAML US Broad Market Bond

US Treasury Bill 30 Days MSCI EAFE Price Return

S&P 500 Total Return

Reference Indices may be included as a general source of information regarding the performance of certain types of investments (e.g., US equities, international equities, fixed income, cash, etc.). Direct investment into an index cannot be made. Additional information regarding the indices shown is available from your Financial Advisor or at http://www.totalmerrill.com/publish/mkt/pdfs/MarketIndexDescriptions.pdf.

The Russell indexes are marks of Frank Russell Company.

# Important Information About This Report



Bank of America Corporation

Incorporated, Member SIPC. Bank deposits are held at Bank of America, N.A. and affiliated banks or other depository institutions and are covered by FDIC insurance up to applicable limits. Bank deposits Unless otherwise indicated, investment accounts are held at Merrill Lynch, Pierce, Fenner & Smith

Business" date shown. You have identified a value for all or a portion of these non-Merrill Lynch When assets held in non-Merrill Lynch accounts are recognized, the price is as of the "Close of have been any changes to the account's holdings or value. accounts. Be sure to periodically review these accounts with your Financial Advisor, and advise if there

only. All other reports reflect brokerage assets; banking assets are not included in any other reports Banking information is listed on the Balances and Account List reports and is for information purposes

ongoing advice and guidance of your Merrill Lynch Financial Advisor, the Report helps you in the portfolio review phase of the Wealth Management Process. Please contact your Financial Advisor if you have any questions regarding the information contained in the Report. This Report is designed to assist you in the evaluation of your account(s). In combination with the

level. The return information for the account(s), market indices and return comparison charts reflect time-weighted rates of return unless the returns are labeled "money weighted rates of return". Timeavailable. The treatment of fees is discussed below. and the money weighted rate of return should be used to assess overall growth and accumulation of weighted rates of return should be used to judge the performance of the selected investment manager(s) This Report provides important information about your account(s), market indices, goals and risk reinvestment of capital gains, dividends, interest and other income. Partial Month index returns are not wealth. Both return calculations reflect transaction costs, market appreciation or depreciation and the

For managed account (investment advisory) programs (including UMA, Consults, MFA and PI/WDP), client agreements, disclosure statements, and profiles (if applicable) can provide additional information understand these differences, particularly when determining which service or services you might select are also regulated under different laws and rules than advisory services. It is important for you to assistance provided, the fees charged, and the rights and obligations of the parties. Brokerage services broker-dealer and an investment adviser, and it offers both brokerage and investment advisory about these programs, including applicable fees, restrictions and other terms. Merrill Lynch is both a services. There are important differences between these services, including the type of advice and

holdings, balances and security values. Unless otherwise indicated, values reflect current information as of the "Close of Business" date shown at the top of each report. External Account holdings that are not recognized by Merrill Lynch, and all External Account Proxies indicate a value you have changes. Reports using time periods prior to and including April 30, 2011 will not reflect accrued interest in market values displayed in allocation and position exhibits. For reports using data after May 6, 2011, policy changes. Annuity Contract Values may not reflect immediately available funds due to contract provided. Please review these holdings and values with your Financial Advisor on a regular basis. Annuities and life insurance products are not held in your account. Their values are listed in the Pricing of securities is provided for your information. Your Account Statement is your official record of date shown. Cash Values may not reflect immediately available funds due to loan balances and/or calculate Total Portfolio Value. These values are as of "Close of One Business" day prior to the "as of" reports for your convenience. Life Insurance Cash Values and Annuity Contract values are used to

all market values include accrued interest, unless otherwise indicated.

sufficient data is available and thus will differ from a report prepared on a settlement date basis (e.g., accounting or calculation. This Report is prepared on a trade date basis using accrued income when Lynch until the settlement date, your asset allocation may not be accurately reflected report. In addition, if your account(s) holds "when issued securities" which are not valued by Merril which in turn may impact the portfolio performance calculations and other information shown in the your Merriil Lynch statement). From time to time asset valuation or transaction data may be adjusted Account values, cash flows and returns may differ from other sources due to differing methods of pricing

> after the deduction of fees for certain periods. When the fee is deducted directly from this account(s), information will be shown both before and after the deduction of fees. When the fee is deducted directly from another account(s), information will be shown before the deduction of fees. When the fee is paid VIA INVOICE: purposes only, and there is no assurance or guarantee that such performance will be the returns of the market indices. Market indices or other benchmark returns are shown for comparison achieved. Depending on how account fees are paid (see below), the account returns may be shown presented "Gross of Fees" are shown before the deduction of fees in order to make them comparable to Account returns presented "Net of Fees" reflect the deduction of account fees. Account returns

- For periods prior to September 1998, information will be shown before the deduction of fees.
- For periods after September 1998, information will be shown both before and after the deduction of

any existing restrictions? If so, please contact your Financial Advisor so that this information can be It is very important that you provide Merrill Lynch with current information regarding the management of your account(s). Have there been any changes to your financial situation or investment objectives? Do you wish to impose reasonable restrictions on the management of your account(s) or reasonably modify

information has not been verified and cannot be guaranteed. This data may include estimates and is The valuation of hedge funds is prepared based upon information from third party sources. The

account(s) that are not managed by an investment manager (i.e., where you make the investment the current manager. However, the return and standard deviation information may be calculated using the entire history of each account. Note that this Report may also include information regarding if an account has been managed by more than one manager, the manager name in the report reflects

Classification of Securities
For Asset Class, Sector or Size and Style Analysis reports, securities are generally classified by asset class, sector, size and style and, for Fixed Income, maturity.

- (Growth or Value) for these securities is defined by a proprietary procedure which utilizes a series of defines the size (Large Cap or Small/Mid Cap) classification for domestic equity securities. The style For Size and Style, security classification is as follows; a capitalization breakpoint of \$10.6 billion
- ("GICS"). Source: Morgan Stanley Capital International ("MSCI") and Standard & Poor's ("S&P"), a division of the McGraw Hill Companies, Inc. quantitative and qualitative metrics (e.g. expected earnings growth, analyst coverage).
  - For Sector, security classifications are based on Global Industry Classification Standard
- For fixed income, maturity breakpoints are as follows: Short-Term: 0-5 years, Intermediate-Term: 5-15 years, Long-Term greater than 15 years.
- income. Life insurance products are classified as Other. External Accounts and External Accounts Proxy are classified, where available, by asset class, sector. · Convertible securities and options are classified as Equities. Preferred stock is classified as Fixed
- Proxy is classified as Other. size and style and maturity. If a classification is not available, the External Account or External Account
- External Account Proxies are identified in "Details for Managed Assets and Pooled Investments" Classification details for mutual funds, closed end funds, certain managed accounts, annuities and
- may either be an individual security, index or other investment such as a mutual fund or exchange that market-linked investment for asset class, sector, size and style or maturity. The reference security ("Details Section"). When available, Market-Linked Investments are classified by the reference security associated with
- If a fund's holdings or profile data is not available, the fund is classified by its predominant asset class ("Data as of" date shown as "N/A" in the Details Section).
- be classified by holdings or, optionally, by profile (fund objective). When shown by holdings, the fund is classified by the asset class, sector, size and style or maturity breakdown of those holdings. When For mutual funds, closed end funds and the investment options of underlying annuities, the fund may

# Important Information About This Report

shown by profile, the fund is classified by the asset class, sector, or size and style provided by vendors and mapped to Merrill Lynch's RIC (Research Investment Committee) allocation schema in order to report the industry's interpretation of the objective of the fund/pooled investment vehicle. Note that the data used for this classification is obtained from a variety of sources and may not be current (see "Data As Of" date shown in the Details Section for the date the portfolio holdings were reported by the fund). Mutual funds, closed end funds and the investment options of underlying annuities change their portfolio holdings on a regular (often daily) basis. Accordingly, any analysis that includes mutual funds, closed end funds, and the investment options of underlying annuities may not accurately reflect the current composition of these funds. If a fund's holdings or profile data is not available, fixed annuities and market value adjusted annuities are classified as Fixed Income and variable annuities are classified as Equities. Note that annuities are classified here for your information.

The Details Section may also provide summary information regarding accounts enrolled in managed
account programs such as Consults, BlackRock Private Investors and MFA (i.e., classification detail for
the managed account is not based on your actual holdings but on the investment style that has been
identified for the specific investment manager/style. Your Financial Advisor can also provide a report
based upon the account's actual holdings.

based upon the account's actual holdings.

- "External Account Proxy" are External Accounts that you have generally identified in the aggregate and not by specific holdings. Be sure to periodically review these accounts with your Financial Advisor and advise if there have been any changes to the holdings in or value of these Accounts.
If "Portfolio Detail" reports are included, the value shown for mutual funds, closed end funds and the

investment options of underlying variable annuities is the proportionate dollar value of a fund's holdings

as classified by asset class, sector, size and style or maturity, respectively.

sset Allocation Models

Where a Merrill Lynch asset allocation model is presented, it is an allocation model developed by the investment strategy group in BofA Merrill Lynch Research and the GVMM Investment Management Group for Merrill Lynch Global Wealth Management use with clients. These models represent asset allocation approaches based on a client's profile and investment objectives and are subject to change as market conditions change in the future. In addition, Merrill Lynch asset allocation models including alternative investments consider liquidity needs as an important factor in the formation of an asset allocation strategy. Liquidity refers to the ability or timeliness with which assets can be converted into cash. Depending on your personal financial needs and objectives, it is important to consider whether you may need to sell investments to raise cash over varying time horizons. If the Merrill Lynch asset allocation model used in this report includes alternative investments, it is designed for clients with higher inquidity needs, which means that the client should only have up to 10% of the portfolio's assets invested in assets that may be illiquid for 3-5 years.

Alternatively, your Financial Advisor may have custornized an asset allocation for your specific situation. Regularly review your asset allocation with your Financial Advisor. Asset Allocation does not assure a profit or protect against a loss in declining markets. Asset allocation cannot eliminate the risk of fluctuating prices and uncertain returns.

Merrill Lynch is a substantial shareholder in BlackRock, Inc

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#### Statement for Account # 755-124173 01/01/10 - 01/31/10

0.01	0.01	IDA Interest
\$ 10.00	\$ 10.00	Qualified Dividends
Year to Date	Current	Description
	Income Summary Detail*	

\*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

			Acco	Account Positions						
	Symbol/		Current	Market F	Market Purchase	Cost	Average	Unrealized		Estimated
Investment Description	CUSIP	Quantity	Price	Value	Date	Basis	Cost	Gain(Loss)	Income	Yield
Stocks - Cash										
GENERAL ELECTRIC CO COM GE	O COM GE	100	\$ 16.08	\$1,608.00 12/17/08	12/17/08	\$ 1,746.88	\$ 17.47	\$ (138.88)	\$ 40.00	2.5%
Total Stocks		-		\$1,608.00		\$1,746.88		\$(138.88)		\$40.00 2.5%
Total Cash Account		!	- :	\$1,608.00	1	\$1,746.88		\$(138.88)	\$40.00	2.5%
			Acc	Account Activity						
Trade Settle Acct Date Date Type	t Transaction/ e Cash Activity*		Description		Symbol/ CUSIP	Quantity	ty Price		Amount	Balance
<b>Opening Balance</b> 01/25/10 01/25/10 Cash	h Div/Int - Income		GENERAL ELECTRIC CO COM Payable: 01/25/2010 QUALIFIED DIVIDENDS 10.00	TRIC CO COM 010 DENDS 10.00	GE		\$ 0.00		\$ 10.00	<b>\$ 0.00</b> 10.00
01/25/10 01/25/10 Cash	h Journal - Other		PURCHASE FDIC INSURED DEPOSIT ACCOUNT	CINSURED			0.00		(10.00)	0.00
Closing Balance		-						:		\$ 0.00
+T ) A	f A- A	P								(

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

\$0.01						Total Interest Income
0.01	0.01		0.0500	7	270.59	01/25/10
	0.01	0.01	0.0500	21	260.59	01/04/10
<del>\$</del>	<del>(S)</del>	<del>69</del> '	0.0500	ယ	\$ 260.58	01/01/10
PAID	Accrued	Accrued	Rate	of Days	Balance	Date
MTD	MTD	Interest	Interest	Number		Begin
		redited	nsured Deposit Account Interest Cred	Insured Deposi		

#### Statement for Account # 755-124173 01/01/10 - 01/31/10

\$270.60	\$270.60								alance	<b>Closing Balance</b>
270.60	0.01					Interest: Insured Deposit Account		01/29/10 Received		01/29/10
2/0.59	\$ 10.00				FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	FDIC INSURED IDA01 NOT CO PURCHASE FE ACCOUNT	Received FD ID, PL AC	01/25/10		01/25/10
\$260.59						oci paci		ANIIMOII	alance	Opening Balance
Balance	Amount		Expense Code	Tracking Code		Description	Transaction De	Date	Check	Date
				Activity	insured Deposit Account Activity	ins		-		
\$ 1,001.99		02/03/	\$ 10.4201 01129110 02103110	\$ 15.4201	100	<u>G</u>	Cash	CIRIC CO	COM	SELL GENE
Amount		Settle	Trade Date	Price	Quantity	Account Symbol/ Type CUSIP	Account Type	5	Investment Description	Investmen
				ent	Trades Pending Settlement		<u>.</u>			

#### Statement for Account # 755-124173 02/01/10 - 02/28/10

0.03	0.02	IDA Interest
\$ 10.00	\$ 0.00	Qualified Dividends
Year to Date	Current	Description
	Income Summary Detail*	

\*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

			Account Activity			-		
Trade Settle Date Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance 01/29/10 02/03/10 Cash	Cash	Sell - Securities Sold	GENERAL ELECTRIC CO COM GE	GE	100-	100- \$ 16.4201	\$ 1,631.99	<b>\$ 0.00</b> 1,631.99
02/03/10 02/03/10 Cash	() ()	Journal - Other	PURCHASE FDIC INSURED	•		000	(1.631.99)	0 00
			DEPOSIT ACCOUNT					·
02/03/10 02/04/10 Cash	Cash	<ul> <li>Funds Disbursed</li> </ul>	ACH OUT - 02/03/2010 05:09PM	•		0.00	(1,500.00)	(1,500.00)
02/04/10 02/04/10 Cash	Cash	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT			0.00	1,500.00	0.00
Closing Balance			and the second s					\$ 0.00
*1 )	-1-	#1 - O - F > - F - F - F - F - F - F - F - F -						

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

\$0.02			•			Total Interest Income
0.02	0.02	0.02	0.0500	25	402.59	02/04/10
1	•	•	0.0500	<b></b>	1,902.59	02/03/10
<del>\$</del> '	<del>\$</del>	<del>6</del> 9	0.0500	2	\$ 270.60	02/01/10
PAID	Accrued	Accrued	Rate	of Days	Balance	Date
MTD	MTD	Interest	interest	Number		Begin
		Credited	Insured Deposit Account Interest Credit	Insured Deposi		

#### Statement for Account # 755-124173 02/01/10 - 02/28/10

			Insured Deposit Account Activity	Activity			
Date Check	Date			Tracking	Expense		
Cleared Number	Written	Transaction Description	Description	Code	Code	Amount	Balance
Opening Balance							\$270.60
02/03/10	02/03/10	Received	FDIC INSURED DEPOSIT ACCOUNT			\$ 1,631.99	1,902.59
			IDA01 NOT COVERED BY SIPC				
			PURCHASE FDIC INSURED DEPOSIT				
			ACCOUNT				
02/04/10	02/04/10	Delivered	FDIC INSURED DEPOSIT ACCOUNT			(1,500.00)	402.59
			IDA01 NOT COVERED BY SIPC				
			REDEMPTION FDIC INSURED				
			DEPOSIT ACCOUNT				
02/26/10	02/26/10	02/26/10 Received	Interest: Insured			0.02	402.61
		•	Deposit Account				
Closing Balance							\$402.61
TD Bank NA			-			\$402.61	
EDIC Insured Denosit Accou	int /ID∆) halan	ose reflected in vour	EDIC Insured Denosit Account (IDA) halances reflected in your brokerage account are EDIC insured up to applicable limits and held by TD Bank N.A. or TD Bank ISA N.A. or both. The IDA halances	le limite and hold hy	TD Rank N.A. or TE	Name IISA N.A. or both The II	DA halances

#### Statement for Account # 755-124173 03/01/10 - 03/31/10

	Income Summary Detail*	
Description	Current	Year to Date
Qualified Dividends	\$ 0.00	\$ 10.00
IDA Interest	0.02	0.05

\*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Total Interest Income	03/01/10	Date	Begin	
	\$ 402.61	Balance		
	31	of Days	Number	Insured Deposit
	0.0500	Rate	interest	Account Interest C
	\$ 0.02	Accrued	Interest	redited
	\$ 0.02	Accrued	MTD	
\$0.02	\$ 0.02	PAID	MTD	

<b>Closing Balance</b>	Opening Balance 03/31/10	Date Check Cleared Number	
nce	ance	Check Number	
	03/31/10	Date Written	
	03/31/10 Received	Date Written Transaction Description	
	Interest: Insured Deposit Account	Description	Insured Dep
		Tracking Code	Insured Deposit Account Activity
		Expense Code	
	\$ 0.02	Amount	
\$402.63	<b>\$402.61</b> 402.63	Balance	

are not covered by the Securities Investor Protection Corporation (SiPC) protection applicable to your brokerage account. FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances **TD Bank NA** 

#### Important Information

describes the investor education and protection program. You may request this brochure either through the FINRA web site or by calling the toll-free public on any licensed broker or broker-dealer. To call the hotline, dial 1-800-289-9999. FINRA also maintains an internet web site where investors may obtain useful disclosure hotline. information concerning FINRA policies, procedures and services. The web site can be accessed at www.finra.org. Additionally, FINRA has a brochure which Required Annual FINRA Information: FINRA maintains a toll-free public disclosure hotline where investors may call to request disclosable background information

Certain purchases of Class A Mutual Funds may be eligible for a breakpoint discount on the sales charge. To learn more about breakpoint discounts, go to http://www.finra.org/web/groups/rules\_regs/documents/rules\_regs/p010543.pdf

#### Statement for Account # 755-124173 04/01/10 - 04/30/10

	Income Summary Detail*	
Description	Current	Year to Date
Qualified Dividends	\$ 0.00	\$ 10.00
IDA Interest	0.02	0.07

\*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

	\$402.65							×	TD Bank NA
\$402.65								alance	<b>Closing Balance</b>
					Deposit Account				
402.65	\$ 0.02				Interest: Insured	04/30/10 Received	04/30/10		04/30/10
\$402.63								3alance	Opening Balance
Balance	Amount	Code	Code		Description	Transaction Description	Written	Number	Cleared
		Expense	Tracking				Date	Check	Date
			Activity	Insured Deposit Account Activity	Insured De				
					٠				
\$0.02								Total Interest Income	Total Inter
\$ 0.02	\$ 0.02	\$ 0.02	40	0.0500	30	\$ 402.63			04/01/10
PAID	Accrued	Accrued	Acı	Rate	of Days	Balance			Date
MTD	MTD	Interest	ī	Interest	Number				Begin
			est Credited	Insured Deposit Account Interest Credited	Insured Depos				

#### Statement for Account # 755-124173 05/01/10 - 05/31/10

0.09	0.02	IDA Interest
\$ 10.00	\$ 0.00	Qualified Dividends
Year to Date	Current	Description
	Income Summary Detail*	

<sup>\*</sup>This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Total Interest Income	05/01/10	Begin Date
	\$ 402.65	Balance
	31	Insured Deposi Number of Days
	0.0500	Deposit Account Interest Cra Interest Rate
	\$ 0.02	redited Interest Accrued
	\$ 0.02	MTD Accrued
\$0.02	\$ 0.02	MTD PAID

\$402.67								alance	Closing Balance
<b>\$402.65</b> 402.67	\$ 0.02			red	Interest: Insured Deposit Account	05/28/10 Received	05/28/10	Balance	Opening Balance 05/28/10
Balance	Amount	Expense Code	Tracking Code		Description	Date Written Transaction Description	Date Written	Date Check Cleared Number	Date Cleared
			unt Activity	<b>Insured Deposit Account Activity</b>					

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

TD Bank NA

#### Important Information

comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission. 31, 2010 TD AMERITRADE Clearing, Inc. had net capital and a net capital requirement of \$970,170,462 and \$164,398,721, respectively. A copy of the report and The most recent statement of financial condition for TD AMERITRADE Clearing, Inc. may be obtained at no cost, via the Internet at http://www.tdameritrade.com/financialstatement.html on or before June 14, 2010, or by contacting TD AMERITRADE Clearing, Inc. at 1-800-237-8692. As of March

#### Statement for Account # 755-124173 06/01/10 - 06/30/10

	Income Summary Detail*	
Description	Current	Year to Date
Qualified Dividends	\$ 0.00	\$ 10.00
IDA Interest	0.02	0.11

included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year. \*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be

Total Interest Income	06/01/10 \$ 402.67	Balance	Begin	in the second se
	30	of Days	Number	nsured Deposit A
	0.0500	Rate	interest	Deposit Account Interest Credite
	\$ 0.02	Accrued	Interest	dited
	\$ 0.02	Accrued	MTD	
\$0.02	\$ 0.02	PAID	MTD	

\$402.69							alance	<b>Closing Balance</b>
				Deposit Account				
402.69	\$ 0.02			Interest: Insured	06/30/10 Received	06/30/10		06/30/10
\$402.67							3alance	Opening Balance
Balance	Amount	Code	Code	Description	Written Transaction Description	Written	Number	Cleared
		Expense	Tracking			Date	Check	Date
			Account Activity	Insured Deposit Account Activity				

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

TD Bank NA

#### Important Information

31, 2010 TD AMERITRADE Clearing, Inc. had net capital and a net capital requirement of \$970,170,462 and \$164,398,721, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission http://www.tdameritrade.com/financialstatement.html on or before June 14, 2010, or by contacting TD AMERITRADE Clearing, Inc. at 1-800-237-8692. As of March The most recent statement of financial condition for TD AMERITRADE Clearing, Inc. may be obtained at no cost, via the Internet at

on any licensed broker or broker-dealer. To call the hotline, dial 1-800-289-9999. FINRA also maintains an Internet web site where investors may obtain useful describes the investor education and protection program. You may request this brochure either through the FINRA web site or by calling the toll-free public information concerning FINRA policies, procedures and services. The web site can be accessed at www.finra.org. Additionally, FINRA has a brochure which Required Annual FINRA Information: FINRA maintains a toll-free public disclosure hotline where investors may call to request disclosable background information

#### Statement for Account # 755-124173 07/01/10 - 07/31/10

	Income Summary Detail*	
Description	Current	Year to Date
Qualified Dividends	\$ 0.00	\$ 10.00
IDA Interest	0.02	0.13

\*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

	# A00 74								
\$402.71								alance	<b>Closing Balance</b>
					Deposit Account			į	
402.71	\$ 0.02				Interest: Insured	07/30/10 Received	07/30/10		07/30/10
\$402.69								3alance	Opening Balance
Balance	Amount	Code	Code		Description	Written Transaction Description	Written	Number	Cleared
		Expense	Tracking				Date	Check	Date
			Activity	Insured Deposit Account Activity	Insured De				
\$0.02								Total Interest Income	Total Inter
\$ 0.02	\$ 0.02	\$ 0.02	<del>6</del>	0.0500	31	\$ 402.69			07/01/10
PAID	Accrued	Accrued	Acc	Rate	of Days	Balance			Date
MTD	MTD	Interest	Int	Interest	Number				Begin
			est Credited	Insured Deposit Account Interest Credited	Insured Deposi				

#### Statement for Account # 755-124173 08/01/10 - 08/31/10

	Income Summary Detail*	
Description	Current	Year to Date
Qualified Dividends	\$ 0.00	\$ 10.00
IDA Interest	0.02	0.15

\*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

\$402.73								alance	<b>Closing Balance</b>
<b>\$402.71</b> 402.73	\$ 0.02				Interest: Insured Deposit Account	08/31/10 Received	08/31/10	Salance	Opening Balance 08/31/10
Balance	Amount	Expense Code	Tracking Code		Description	Transaction Description	Date Written	Check Number	Date Cleared
			Activity	Insured Deposit Account Activity	Insured De				
\$0.02								Total Interest Income	Total Inter
\$ 0.02	\$ 0.02	\$ 0.02	44	0.0500	31	\$ 402.71			08/01/10
PAID	Accrued	Accrued	Acı	Rate	of Days	Balance			Date
MTD	MTD	Interest	<u>n</u>	Interest	Number			•	Begin
			st Credited	Insured Deposit Account Interest Credite	Insured Deposi				

#### Statement for Account # 755-124173 09/01/10 - 09/30/10

0.17	0.02	IDA Interest
\$ 10.00	\$ 0.00	Qualified Dividends
Year to Date	Current	Description
	Income Summary Detail*	

\*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

	\$402.75							5	<b>TD Bank NA</b>
\$402.75								alance	Closing Balance
					Deposit Account				
402.75	\$ 0.02				Interest: Insured	09/30/10 Received	09/30/10		09/30/10
\$402.73								alance	Opening Balance
Balance	Amount	Code	Code		Description	Transaction Description	Written	Number	Cleared
		Expense	Tracking				Date	Check	Date
			Activity	Insured Deposit Account Activity	Insured De				
\$0.02								Total Interest Income	Total Inter
\$ 0.02	\$ 0.02	\$ 0.02	\$	0.0500	30	\$ 402.73			09/01/10
PAID	Accrued	Accrued	Acc	Rate	of Days	Balance			Date
MTD	MTD	Interest	Int	Interest	Number				Begin
			est Credited	Insured Deposit Account Interest Credited	Insured Deposi				

#### Statement for Account # 755-124173 10/01/10 - 10/31/10

0.19	0.02	IDA Interest
\$ 10.00	\$ 0.00	Qualified Dividends
Year to Date	Current	Description
	Income Summary Detail*	

\*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

	\$402.77							Ä	TD Bank NA
\$402.77								alance	<b>Closing Balance</b>
					Deposit Account				
402.77	\$ 0.02				Interest: Insured	10/29/10 Received	10/29/10		10/29/10
\$402.75								balance	Opening Balance
Balance	Amount	Code	Code		Description	Written Transaction Description	Written	Number	Cleared
		Expense	Tracking				Date	Check	Date
			Activity	Insured Deposit Account Activity	Insured De				
\$0.02								Total Interest Income	Total Inter
\$ 0.02	\$ 0.02	\$ 0.02	<del>S</del>	0.0500	31	\$ 402.75		)	10/01/10
PAID	Accrued	Accrued	Acc	Rate	of Days	Balance			Date
MTD	MTD	Interest	Int	Interest	Number				Begin
			est Credited	Insured Deposit Account Interest Credited	Insured Deposi				

#### Statement for Account # 755-124173 11/01/10 - 11/30/10

	Income Summary Detail*	
Description	Current	Year to Date
Qualified Dividends	\$ 0.00	\$ 10.00
IDA Interest	. 0.02	0.21

\*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

	\$402.79							A	TD Bank NA
\$402.79								alance	Closing Balance
					Deposit Account				
402.79	\$ 0.02				Interest: Insured	11/30/10 Received	11/30/10		11/30/10
\$402.77	-	÷						3alance	Opening Balance
Balance	Amount	Code	Code		Description	Transaction Description	Written	Number	Cleared
•		Expense	Tracking				Date	Check	Date
			Activity	Insured Deposit Account Activity	Insured De				
			-						
\$0.02								Total Interest Income	Total inte
\$ 0.02	\$ 0.02	\$ 0.02	\$	0.0500	30	\$ 402.77			11/01/10
PAID	Accrued	Accrued	Acc	Rate	of Days	Balance			Date
MTD	MTD	Interest	Int	Interest	Number				Begin
			est Credited	Insured Deposit Account Interest Credited	Insured Deposi				

#### Statement for Account # 755-124173 12/01/10 - 12/31/10

	Income Summary Detail*	
Description	Current	Year to Date
Qualified Dividends	\$ 0.00	\$ 10.00
IDA Interest	0.01	0.22

included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year. \*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be

Total Interest Income	12/01/10	Date	Begin	
	\$ 402.79	Balance		
	31	of Days	Number	Insured Deposit
	0.0300	Rate	Interest	it Account Interest Cr
	\$ 0.01	Accrued	Interest	redited
	\$ 0.01	Accrued	MTD	
\$0.01	\$ 0.01	PAID	MTD	

	\$402.80						NA	TD Bank NA
\$402.80							alance	<b>Closing Balance</b>
				Deposit Account				
402.80	\$ 0.01			Interest: Insured	12/31/10 Received	12/31/10		12/31/10
\$402.79							3alance	<b>Opening Balance</b>
Balance	Amount	Code	Code	Description	Written Transaction Description	Written	Cleared Number	Cleared
		Expense	Tracking			Date	Check	Date
			ccount Activity	Insured Deposit Account Activity				

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account

#### Important Information

comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission. http://www.tdameritrade.com/financialstatement.html on or before 12/29/10, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692.

As of 9/30/10 TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$1.1 billion and \$177.6 million, respectively. A copy of the report and The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at

#### **Annual Margin Disclosure**

supporting your loan. As a result, the firm can take action, such as issuing a margin call and/or selling securities or other assets in any of your accounts held with TD Ameritrade, in order to maintain the required equity in the account. Securities purchased on margin are the firm's collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral