



Filing ID #10001016

# FINANCIAL DISCLOSURE REPORT

---

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

---

## FILER INFORMATION

**Name:** Ms. Eleanor Holmes Norton  
**Status:** Member  
**State/District:** DC00

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2013  
**Filing Date:** 05/7/2014

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Boston Capital Private Tax Credit		\$1 - \$1,000	Capital Gains, Interest	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Partnership K-1					
Congressional Federal Credit Union		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
GE Capital Retail Bk(Formerly Metlife Bk)		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
MERRILL LYNCH #182 ⇒ Bank Deposit Program		\$1,001 - \$15,000	None		<input type="checkbox"/>
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap(EIF)		\$15,001 - \$50,000	None		<input type="checkbox"/>
MERRILL LYNCH #182 ⇒ Powershares Exchange Traded Fd TR II S&P500(EIF)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MERRILL LYNCH #182 ⇒ WGL Holdings Inc (WGL)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MERRILL LYNCH #806 ⇒ Bank Deposit Program		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Davis NY Venture Fund(EIF)		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MERRILL LYNCH #806 ⇒ DC Income Bond		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Du Page Cnty, IL FST Bond		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ FPA Perennial Fund(EIF)		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
MERRILL LYNCH #806 ⇒ Hartford Capital Appreciation Fund(EIF)		\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
MERRILL LYNCH #806 ⇒ Leander Tex Indpt Sch Bond		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Miami Beach FL Bond		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Palatine IL Corp Purpose Bond		\$15,001 - \$50,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Powershares Exchange Traded Fd TR II S&P 500(EIF)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Seminole Cnty FL Sch Brd Bond		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Victor Vy CA UN HSD Bond		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Wash DC CCA Dedicated Bond		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ ALLIANZ ⇒ Fixed Period Account(MMF)		\$50,001 - \$100,000	Tax-Deferred	Not Applicable	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ ING ⇒ American Funds Intl(EIF)		\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MERRILL LYNCH IRA ⇒ ING ⇒ Baron Growth Portfolio(EIF)(Formerly Sm Cap Grwth)		\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ ING ⇒ Bond Portfolio(EIF)		\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ ING ⇒ DFA World Equity(EIF)		\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ ING ⇒ Global Resources(EIF)		\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ ING ⇒ Growth and Income(EIF)		\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ ING ⇒ Large Cap Growth(EIF)		\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ ING ⇒ Marsico Growth(EIF)		\$50,001 - \$100,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ ING ⇒ PIMCO Total Return(EIF)		\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ ING ⇒ Retirement Growth(EIF)		\$100,001 - \$250,000	Tax-Deferred	Not Applicable	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ ING ⇒ Russell Mid Cap Growth(EIF)		\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ ING ⇒ T.Rowe Price International Stock(EIF)		\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
Met Life Insurance Co Deferrred Annuities		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
DESCRIPTION: IRA-Flexible Purchase Pension Annuity					
MetLife, Inc. (MET)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ Davis Fundamental Value(EIF)		\$1,001 - \$15,000	None		<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒		\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Deutsche Real Estate Securities(EIF)					
ML JOHN HANCOCK ANNUITY ⇒ John Hancock Asset Mgmt Lifestyle Moderate(EIF)		\$15,001 - \$50,000	None		<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ John Hancock Asset Mgmt Total Stock Market Index(EIF)		\$1,001 - \$15,000	None		<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ PIMCO Total Return(EIF)		\$1,001 - \$15,000	None		<input type="checkbox"/>
TIAA-CREF Retirement-TIAA Traditional(EIF)		\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MERRILL LYNCH #806 ⇒ Davis NY Venture Fund(EIF)		07/3/2013	P	\$15,001 - \$50,000	
MERRILL LYNCH #806 ⇒ Davis NY Venture Fund(EIF)		12/23/2013	P	\$1,001 - \$15,000	
MERRILL LYNCH #806 ⇒ FPA Perennial Fund(EIF)		01/4/2013	P	\$1,001 - \$15,000	
MERRILL LYNCH #806 ⇒ FPA Perennial Fund(EIF)		12/20/2013	P	\$1,001 - \$15,000	
MERRILL LYNCH #806 ⇒ Hartford Capital Appreciation Fund(EIF)		12/18/2013	P	\$1,001 - \$15,000	
MERRILL LYNCH IRA ⇒ ALLIANZ ⇒ Fixed Period Account(MMF)		12/3/2013	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ ING ⇒ Retirement Growth(EIF)		05/7/2013	P	\$15,001 - \$50,000	
TIAA CREF Retirement-TIAA Traditional(EIF)		05/7/2013	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
-------	-------	------	----------	--------	---------------------

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Georgetown University Law Center	Approved Teaching Fee	\$10,200
Pitney Bowes	Retirement Fee	\$12,000
Merrill Lynch IRA	Required Minimum Distribution	\$26,800
Met Life Insurance Co.	Required Minimum Distribution	\$687

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Mortgage Service Center	12/30/2002	Mortgage on Personal Residence	\$50,001 - \$100,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Professor of Law	Georgetown University
Member	Council on Foreign Relations
Member	Citizens Commission for Civil Rights
Board of Trustees	Lawyers Committee for Civil Rights Under Law
Member of the Board	Washington Symphony
Chair	Women's Legal Advocates for Women
Advisory Board Member	Sewall-Belmont House
Advisory Board Member	Women & Politics Institute, American University
Advisory Board Member	The National Council of the Shakespeare Theatre Company

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
August 2013	Georgetown Law and Congresswoman Norton	Agreement to teach "Lawmaking and Statutory Interpretation Seminar" during the 2013-2014 academic year. Compensation reported on Schedule C.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- MERRILL LYNCH #182  
LOCATION: US
- MERRILL LYNCH #806  
LOCATION: US
- MERRILL LYNCH IRA
- MERRILL LYNCH IRA ⇒ ALLIANZ
- MERRILL LYNCH IRA ⇒ ING
- ML JOHN HANCOCK ANNUITY  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the

best of my knowledge and belief.

**Digitally Signed:** Ms. Eleanor Holmes Norton , 05/7/2014