# FINANCIAL DISCLOSURE REPORT

## FILER INFORMATION

**Name:** Hon. Tim Walberg

**Status:** Member

**State/District:** MI07

## FILING INFORMATION

**Filing Type:** Annual Report

**Filing Year:** 2016

**Filing Date:** 05/10/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| Cole Credit Property Trust | JT | $15,001 - $50,000 | Dividends | $1,001 - $2,500 | ☐ |
| Credit Suisse Auto-Call Yld Note <br> DESCRIPTION: publicly traded structured note | JT | $15,001 - $50,000 | Interest | $201 - $1,000 | ☑ |
| CREF Global Equities | | $1,001 - $15,000 | Tax-Deferred | | ☐ |
| Cref Growth | | $1,001 - $15,000 | Tax-Deferred | | ☐ |
| Cref Stock | | $1,001 - $15,000 | Tax-Deferred | | ☐ |
| First Trust Strategic Dividend | JT | $15,001 - $50,000 | Dividends | $1,001 - $2,500 | ☐ |
| First Trust Strategic Income Advantage | JT | $15,001 - $50,000 | Dividends | $2,501 - $5,000 | ☐ |
| Franklin Square Energy & Power Fund <br> DESCRIPTION: Non Traded BDC | JT | $1,001 - $15,000 | Capital Gains, Dividends | $1,001 - $2,500 | ☐ |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| Gateway Fund | JT | $1,001 - $15,000 | Dividends | $1 - $200 | ☑ |
| GS Finance Structured Note, 100% Interest  DESCRIPTION: structured note | JT | $15,001 - $50,000 | None | | ☑ |
| Money Market (LPL) | JT | $15,001 - $50,000 | Interest | $1 - $200 | ☐ |
| Nataxis Managed Futures Fund | JT | $1,001 - $15,000 | None | | ☑ |
| Pimco All Asset Authority | JT | $1,001 - $15,000 | Dividends | $201 - $1,000 | ☑ |
| Savoy Energy, LP  DESCRIPTION: royalties from our interest in an oil well. | | Undetermined | mineral rights/royalties | $201 - $1,000 | ☐ |
| TIAA Real Estate | | $1,001 - $15,000 | Tax-Deferred | | ☐ |
| TIAA Traditional | | $1,001 - $15,000 | Tax-Deferred | | ☐ |
| TLC Community Credit Union | | $1,001 - $15,000 | Interest | $1 - $200 | ☐ |
| Virtus Equity Trend | JT | $1,001 - $15,000 | None | | ☐ |

## SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| Credit Suisse Auto Call Yld Note  DESCRIPTION: publicly traded structured note | JT | 05/25/2016 | P | $15,001 - $50,000 | |
| Gateway Fund | JT | 07/12/2016 | P | $1,001 - $15,000 | |
| Gateway Fund | JT | 12/29/2016 | S (partial) | $1,001 - $15,000 | ☐ |
| GS Finance Structured Note  DESCRIPTION: publicly traded structured note | JT | 05/26/2016 | P | $15,001 - $50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|-------|-------|------|----------|--------|--------------------|
| Nataxis Managed Futures | JT | 07/12/2016 | P | $1,001 - $15,000 | |
| Pimco All Asset Authority | JT | 07/12/2016 | P | $1,001 - $15,000 | |

## SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|--------|------|--------|
| State of Michigan | Legislative pension | $68,965.00 |

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|------|-----------|--------------------|
| April 2005 | State of Michigan | Pension for services in the State House of Representatives |

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details | | | | | Inclusions | | |
|--------------|------------|----------|-----------|---------------------|----------|-------|---------|
| Source | Start Date | End Date | Itinerary | Days at Own Exp. | Lodging? | Food? | Family? |
| Emanuel University of Oradea | 05/26/2016 | 06/2/2016 | Detroit, MI - Budapest, Hungary - Oradea, Romania - Bucharest, Romania - Detroit, MI | 1 | ☑ | ☑ | ☑ |

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO**: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes  ● No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes  ● No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes  ● No

## CERTIFICATION AND SIGNATURE

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Tim Walberg , 05/10/2017