

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A  
For use by Members, officers, and employees

HAND  
DELIVERED

Gerald Edward Connolly

(Full Name)

(Daytime Telephone)

Filer Status: ☒ Member of the U.S. House of Representatives  
State: VA District: 11

☐ Officer Or Employee  
Employing Office:

Report Type: ☒ Annual (May 15) ☐ Amendment ☐ Termination  
Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

2013 MAY 15 PM 2:45

(Office Use Only)

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? <b>If yes, complete and attach Schedule I.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? <b>If yes, complete and attach Schedule VI.</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? <b>If yes, complete and attach Schedule II.</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? <b>If yes, complete and attach Schedule VII.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? <b>If yes, complete and attach Schedule III.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? <b>If yes, complete and attach Schedule VIII.</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? <b>If yes, complete and attach Schedule IV.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? <b>If yes, complete and attach Schedule IX.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? <b>If yes, complete and attach Schedule V.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE I - EARNED INCOME

Name Gerald Edward Connolly

Page 2 of 11

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
International City/County Management Association	Spouse Salary	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gerald Edward Connolly

Page 3 of 11

## BLOCK A

### Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.

## BLOCK B

### Year-End Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

\* This column is for assets held solely by your spouse or dependent child.

## BLOCK C

### Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

## BLOCK D

### Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

\* This column is for income generated by assets held solely by your spouse or dependent child.

## BLOCK E

### Transaction

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

SP	Asset and/or Income Source	Year-End Value of Asset	Type of Income	Amount of Income	Transaction
	American Capital Wld Grw & Inc IRA Fair Market Value	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
SP	American Growth Fund IRA Fair Market Value	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	
SP	Black Rock Global Fund C IRA Fair Market Value	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	Bristol Myers Squibb Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
SP	Calamos Growth Fund IRA Fair Market Value	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	P
	Coca Cola Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gerald Edward Connolly

Page 4 of 11

	Congressional Federal Credit Union Savings Account	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
SP	CREF Bond Market Retirement Fund Fair Market Value	\$1,001 - \$15,000	None	NONE	
SP	CREF Equity Index Retirement Fund Fair Market Value	\$1,001 - \$15,000	None	NONE	
	CREF Equity Index Retirement Fund Fair Market Value	\$15,001 - \$50,000	None	NONE	
	CREF Global Equities Retirement Fund Fair Market Value	\$15,001 - \$50,000	None	NONE	

	CREF Growth Retirement Fund Fair Market Value	\$15,001 - \$50,000	None	NONE	
SP	CREF Money Market Retirement Fund Fair Market Value	\$1,001 - \$15,000	None	NONE	

SP	CREF Social Choice Retirement Fund Fair Market Value	\$1,001 - \$15,000	None	NONE	
	CREF Stock Retirement Fund Fair Market Value	\$250,001 - \$500,000	None	NONE	
	Dominion Resources Stock	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	P
	Express Scripts Holding Co merger with formerly Medco Health Solutions Stock	\$1,001 - \$15,000	Other: (Cash in lieu of shares)/CAPITAL GAINS	\$1,001 - \$2,500	

	Fairfax County Retirement Fund Employee Plan B - not self-directed	\$50,001 - \$100,000	None	NONE	
--	--	----------------------	------	------	--

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gerald Edward Connolly

Page 5 of 11

SP	Hotchkis & Wiley Large Cap Value Fund CI C IRA Fair Market Value	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	House, 313 Carriage Shop Rd. E. Falmouth, MA	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
SP	I Shares S&P Small Lcap 600 Index Fund IRA Fair Market Value	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Ivy High Income Fund CI C Ira Fair Market Value	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
SP	Loomis Sayles Strategic Income Fund C IRA Fair Market Value	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
	Merck & Co. Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
	Met Life Insurance Policy Cash Value	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	Met Life Insurance Policy Cash Value	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
SP	Met Life Insurance Policy Cash Value	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	Motorola Mobility- sold	None	None	\$201 - \$1,000	S
	Motorola Solutions	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	PNC Bank Money Market	\$15,001 - \$50,000	INTEREST	\$1 - \$200	P
	Proctor & Gamble Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gerald Edward Connolly

Page 6 of 11

Rockland Trust Account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
SAIC Closed Stock Fund Retirement Fair Market Value	\$15,001 - \$50,000	None	NONE	
SAIC Common Stock	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
SAIC Common Stock Fund Retirement Fair Market Value	\$15,001 - \$50,000	None	NONE	
SunTrust Bank Mutual Fund	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	P
TIAA Traditional Retirement Fund Fair Market Value	\$100,001 - \$250,000	None	NONE	
United Bank CDs	\$100,001 - \$250,000	INTEREST	\$2,501 - \$5,000	P
US Senate Federal Credit Union Savings Accounts	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
US Senate Federal Credit Union IRA Account	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
Vanguard Inflation Protected Securities SEP Fair Market Value	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
Vanguard Long-Term Treasury Inv Retirement Fund Fair Market Value	\$50,001 - \$100,000	None	NONE	
Vanguard Target Retirement 2015 Tr Retirement Fund	\$15,001 - \$50,000	None	NONE	
Vanguard Total Bond Mkt Index Inst Retirement Fund	\$100,001 - \$250,000	None	NONE	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gerald Edward Connolly

Page 7 of 11

	Vanguard Windsor Fund Investor Retirement Fund Fair Market Value	\$50,001 - \$100,000	None	NONE	
	Walt Disney Co. Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	Wells Fargo Advantage Stocks	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
	Wells Fargo Wachovia Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	Zimmer Holdings Stock	\$1,001 - \$15,000	None	\$1 - \$200	

# SCHEDULE IV - TRANSACTIONS

Name Gerald Edward Connolly

Page 8 of 11

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.  
\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Dominion Resources Stock	P	N/A	Qtrly Dividend Reinvested	\$1,001 - \$15,000
	Express Scripts Holding Co merger with formerly Medco Health Solutions Stock - cash in lieu of stock	S(part)	Yes	4-3-12	\$1,001 - \$15,000



# SCHEDULE V - LIABILITIES

Name Gerald Edward Connolly

Page 9 of 11

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. \*This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	Chase	December 1982	Mortgage on 313 Carriage Shop Road, E. Falmouth, MA	\$15,001 - \$50,000
	Bank of America	Spring 2006	Mortgage on Primary Residence	\$250,001 - \$500,000
	Salie Mae	August 2009	Student Loan	\$15,001 - \$50,000
	Nelnet	August 2010	Student Loan	\$10,001 - \$15,000
	Nelnet	August 2011	Student Loan	\$15,001 - \$50,000
DC	US Department of Education	August 2011	Student Loan	\$15,001 - \$50,000
	Nelnet	August 2012	Student Loan	\$15,001 - \$50,000

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name Gerald Edward Connolly

Page 10 of 11

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Consumer Electronics Association	Jan. 9 – Jan. 11	DC - Las Vegas - DC	Y	Y	N	None

# SCHEDULE IX - AGREEMENTS

Name Gerald Edward Connolly

Page 11 of 11

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
N/A	Fairfax County Retirement Fund Employee Plan B	Defined benefit plan managed entirely by the County