



Filing ID #10011078

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Carl Andrew Millard  
**Status:** Congressional Candidate  
**State/District:** NC10

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2016  
**Filing Date:** 05/15/2016  
**Period Covered:** 01/01/2015– 04/16/2016

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Credit Union-Checking	JT	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
First Citizens Bank-Checking	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Promissory Note - Parsec Financial Management, Inc.		\$500,001 - \$1,000,000	None		
DESCRIPTION: Sale of Millard & Company, Inc. to Parsec Financial Management, Inc. as of April 1, 2016.					
State Employees' Credit Union-Money Mkt	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$1 - \$200
TD Ameritrade - Trust FBO Spouse ⇒ Barclays ETN S&P VEQTOR ETN (VQT)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
TD Ameritrade - Trust FBO Spouse ⇒ Calamos Market Neutral Fund	SP	None	Capital Gains	\$1,001 - \$2,500	\$201 - \$1,000
TD Ameritrade - Trust FBO Spouse ⇒ DFA Commodity Strategy PTF	SP	\$1,001 - \$15,000	None		
TD Ameritrade - Trust FBO Spouse ⇒	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DFA International Core Equity PTF					\$1,000
TD Ameritrade - Trust FBO Spouse ⇒ DFA Short Duration Real Return I	SP	\$50,001 - \$100,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade - Trust FBO Spouse ⇒ DFA US Core Equity 1	SP	\$50,001 - \$100,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade - Trust FBO Spouse ⇒ FDIC Insured Deposit Account IDA12	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
TD Ameritrade - Trust FBO Spouse ⇒ Ishares MSCI Emerging Markets	SP	\$1,001 - \$15,000	Dividends	None	None
TD Ameritrade - Trust FBO Spouse ⇒ Ishares Tips Bpond ETF	SP	None	Capital Gains	\$1,001 - \$2,500	None
TD Ameritrade - Trust FBO Spouse ⇒ Pimco Total Return Inst'l	SP	None	Capital Gains	\$1 - \$200	\$1 - \$200
TD Ameritrade - Trust FBO Spouse ⇒ Vanguard CRSP US Large Cap Value Ind ETF	SP	\$15,001 - \$50,000	None		
TD Ameritrade - Trust FBO Spouse ⇒ Vanguard REIT ETF Index	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$1 - \$200
TD Ameritrade - Trust FBO Spouse ⇒ Vanguard Short Term Bond Fund	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
TD Ameritrade - Trust FBO Spouse ⇒ Wisdom Tree International Fund	SP	None	Capital Gains	\$1,001 - \$2,500	\$201 - \$1,000
TD Ameritrade - Andrew Millard Roth IRA ⇒ Barclays ETN S&P VEQTOR ETN (VQT)		\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Andrew Millard Roth IRA ⇒ FDIC Insured Deposit Account IDA12		\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Andrew Millard Roth IRA ⇒ iShares Core US Aggregate Bond ETF		\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Andrew Millard Roth IRA ⇒ iShares MSCI Emerging Markets		\$1,001 - \$15,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
TD Ameritrade - Andrew Millard Roth IRA ⇒ PIMCO Commodities Plus Strat D		\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Andrew Millard Roth IRA ⇒ Vanguard FTSE Developed Markets EFT		\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Andrew Millard Roth IRA ⇒ Vanguard REIT ETF Index		\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Andrew Millard SEP IRA ⇒ Barclays ETN S&P VEQTOR ETN (VQT)		\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Andrew Millard SEP IRA ⇒ DFA Commodity Strategy PTF		\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Andrew Millard SEP IRA ⇒ DFA International Core Equity PTF		\$15,001 - \$50,000	Tax-Deferred		
TD Ameritrade - Andrew Millard SEP IRA ⇒ DFA Short Duration Real Return I		\$50,001 - \$100,000	Tax-Deferred		
TD Ameritrade - Andrew Millard SEP IRA ⇒ DFA US Core Equity 2		\$100,001 - \$250,000	Tax-Deferred		
TD Ameritrade - Andrew Millard SEP IRA ⇒ FDIC Insured Deposit Account IDA12		\$15,001 - \$50,000	Tax-Deferred		
TD Ameritrade - Andrew Millard SEP IRA ⇒ iShares MSCI Emerging Markets		\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Andrew Millard SEP IRA ⇒ Vanguard CRSP US Large Cap Value IND EFT		\$15,001 - \$50,000	Tax-Deferred		
TD Ameritrade - Andrew Millard SEP IRA ⇒ Vanguard REIT ETF Index		\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Andrew Millard SEP IRA ⇒ Vanguard Short Term Bond Fund		\$50,001 - \$100,000	Tax-Deferred		
TD Ameritrade - Joint with Son ⇒ Barclays ETN S&P VEQTOR ETN (VQT)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
TD Ameritrade - Joint with Son ⇒ Calamos Market Neutral Fund	JT	None	Capital Gains	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
TD Ameritrade - Joint with Son ⇒ FDIC Insured Deposit Account IDA12	JT	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
TD Ameritrade - Joint with Son ⇒ IShares Core US Aggregate Bond ETF	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade - Joint with Son ⇒ IShares MSCI Emerging Markets	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	None
TD Ameritrade - Joint with Son ⇒ PIMCO Commodities Plus Strat D	JT	\$1 - \$1,000	None		
TD Ameritrade - Joint with Son ⇒ Vanguard FTSE Developed Markets ETF	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade - Joint with Son ⇒ Vanguard REIT ETF Index	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$1 - \$200
TD Ameritrade - Joint with Son ⇒ Vanguard Short Term Bond Fund	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade - Joint with Son ⇒ Vanguard Total Stock Market ETF	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade - Joint with Son ⇒ Vanguard Value Cap ETF	JT	None	Capital Gains	\$201 - \$1,000	None
TD Ameritrade - Joint with Spouse ⇒ Barclays ETN S&P VEQTOR ETN (VQT)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
TD Ameritrade - Joint with Spouse ⇒ FDIC Insured Deposit Account IDA12	JT	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	\$1 - \$200
TD Ameritrade - Joint with Spouse ⇒ iShares Core US Aggregate Bond ETF	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade - Joint with Spouse ⇒ Ishares Tips Bond ETF	JT	None	Capital Gains	\$1 - \$200	\$1 - \$200
TD Ameritrade - Joint with Spouse ⇒ Vanguard FTSE Developed Mkts Index Fund ETF	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
TD Ameritrade - Joint with Spouse ⇒ Vanguard FTSE Emerging Mkts Index Fund ETF	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade - Joint with Spouse ⇒ Vanguard REIT Index Fund ETF Shares	JT	\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	\$1 - \$200
TD Ameritrade - Joint with Spouse ⇒ Vanguard Short Term Bond Index Fund ETF	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	None
TD Ameritrade - Joint with Spouse ⇒ Vanguard Total Stock Mkt Index Fund ETF	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade - Joint with Spouse ⇒ Vanguard Value Index Fund ETF	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	None
TD Ameritrade - Spouse Individual ⇒ Barclays ETN S&P VEQTOR ETN (VQT)	SP	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	None
TD Ameritrade - Spouse Individual ⇒ FDIC Insured Deposit Account IDA12	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
TD Ameritrade - Spouse Individual ⇒ iShares Core US Aggregate Bond ETF	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	None
TD Ameritrade - Spouse Individual ⇒ PIMCO Commodities Plus Strat D	SP	\$1 - \$1,000	None		
TD Ameritrade - Spouse Individual ⇒ Vanguard Emerging Markets ETF	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade - Spouse Individual ⇒ Vanguard FTSE Developed Markets ETF	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade - Spouse Individual ⇒ Vanguard REIT ETF Index	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade - Spouse Individual ⇒ Vanguard Short Term Bond Fund	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
TD Ameritrade - Spouse Individual ⇒ Vanguard Total Stock Market ETF	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade - Spouse IRA ⇒ Barclays ETN S&P VEQTOR ETN (VQT)	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
TD Ameritrade - Spouse IRA ⇒ DFA International Core Equity PTF	SP	\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Spouse IRA ⇒ DFA Short Duration Real Return I	SP	\$15,001 - \$50,000	Tax-Deferred		
TD Ameritrade - Spouse IRA ⇒ DFA US Core Equity 1	SP	\$15,001 - \$50,000	Tax-Deferred		
TD Ameritrade - Spouse IRA ⇒ FDIC Insured Deposit Account IDA12	SP	\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Spouse IRA ⇒ iShares Core MSCI EAFE ETF	SP	\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Spouse IRA ⇒ iShares MSCI Emerging Markets	SP	\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Spouse IRA ⇒ PIMCO Fund Commodity/Real RET Strat Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Spouse IRA ⇒ Third Avenue TR Real Estate Value Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Spouse IRA ⇒ Vanguard CRSP US Large Cap Value Ind ETF	SP	\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Spouse IRA ⇒ Vanguard Emerging Markets ETF	SP	\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Spouse IRA ⇒ Vanguard REIT ETF Index	SP	\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Spouse IRA ⇒ Vanguard Short Term Bond Fund	SP	\$50,001 - \$100,000	Tax-Deferred		
TD Ameritrade - Spouse Roth ⇒ FDIC Insured Deposit Account IDA12	SP	\$1 - \$1,000	Tax-Deferred		
TD Ameritrade - Spouse Roth ⇒ iShares Core US Aggregate Bond ETF	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
TD Ameritrade - Spouse Roth ⇒ SSGA Active ETF Trust Multi-Asset Real Return	SP	\$1 - \$1,000	Tax-Deferred		
TD Ameritrade - Spouse Roth ⇒ Vanguard Short Term Bond Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
TD Ameritrade - Spouse Roth ⇒ Vanguard Total World Stock ETF	SP	\$1,001 - \$15,000	Tax-Deferred		
TD Bank-HSA account DESCRIPTION: Health Savings account		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
TD Bank-HSA account DESCRIPTION: Health Savings account	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Vanguard 401(k) - Spouse ⇒ Vanguard Emerging Mkt Stk Index Adm	SP	\$1,001 - \$15,000	Tax-Deferred		
Vanguard 401(k) - Spouse ⇒ Vanguard Prim Money Market Inv	SP	\$1,001 - \$15,000	Tax-Deferred		
Vanguard 401(k) - Spouse ⇒ Vanguard Short-term Bond Index Adm	SP	\$1,001 - \$15,000	Tax-Deferred		
Vanguard 401(k) - Spouse ⇒ Vanguard Total Bond Market Index Adm	SP	\$1,001 - \$15,000	Tax-Deferred		
Vanguard 401(k) - Spouse ⇒ Vanguard Total Int'l Bond Index Adm	SP	\$1,001 - \$15,000	Tax-Deferred		
Vanguard 401(k) - Spouse ⇒ Vanguard Total Int'l Stock Index Adm	SP	\$1,001 - \$15,000	Tax-Deferred		
Vanguard 401(k) - Spouse ⇒ Vanguard Total Stock Mkt Index Adm	SP	\$1,001 - \$15,000	Tax-Deferred		

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Millard & Company, Inc.	Owner salary	\$30,703.00	\$121,929.00
Millard & Company, Inc. COMMENTS: Company's accountant	Spouse salary	N/A	N/A
Hospice of the Carolina Foothills, Inc. COMMENTS: Accountant	Spouse salary	N/A	N/A
Millard & Company, Inc.	Income from S-Corp	N/A	\$14,175.00
Parse Financial Management, Inc.	Consulting Agreement	\$154,000.00	N/A
Parse Financial Management, Inc.	Non-compete agreement	\$55,000.00	N/A
TD Ameritrade	Roth IRA Distribution	N/A	\$22,500.00

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	State Employees' Credit Untion	April 2001	Home equity line of credit	\$10,000 - \$15,000
JT	HomeTrust Bank	March 2011	Mortgage on personal residence	\$50,001 - \$100,000

**SCHEDULE E: POSITIONS**

Position	Name of Organization
President	Millard & Company, Inc.
Director COMMENTS: Unpaid position	Tryon Fine Arts Center

**SCHEDULE F: AGREEMENTS**

Date	Parties To	Terms of Agreement
February 2016	Carl Andrew Millard & Parsec Financial Management, Inc.	1 year consulting agreement
February 2016	Carl Andrew Millard & Parsec Financial Management, Inc.	5-year non-compete agreement



## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- TD Ameritrade - Trust FBO Spouse (100% Interest) (Owner: SP)  
COMMENTS: Under terms of the trust, spouse is entitled to income from the trust but does not have access to the full trust value.
- TD Ameritrade - Andrew Millard Roth IRA
- TD Ameritrade - Andrew Millard SEP IRA
- TD Ameritrade - Joint with Son (50% Interest) (Owner: JT)  
LOCATION: US
- TD Ameritrade - Joint with Spouse (50% Interest) (Owner: JT)  
LOCATION: US
- TD Ameritrade - Spouse Individual (100% Interest) (Owner: SP)  
LOCATION: NC, US
- TD Ameritrade - Spouse IRA (Owner: SP)
- TD Ameritrade - Spouse Roth (Owner: SP)
- Vanguard 401(k) - Spouse (Owner: SP)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Carl Andrew Millard , 05/15/2016