

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Ralph Lee Abraham

Status: Member State/District: LA05

FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2016

Filing Date: 05/14/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
A & B of Richland, LLC-Note Receivable	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	П
Abraham Farms, Inc. Location: Rayville, LA, US Description: Farm	\$1,000,001 - \$5,000,000	Interest	\$1 - \$200	П
Air 2 There, LLC, 100% Interest Location: Rayville, LA, US Description: Flight Company	None	None		
Air 2 There, LLC- Note Receivable	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	
Allied Rehab Staffing, LLC LOCATION: Rayville, LA, US DESCRIPTION: Rehab Services	\$1,001 - \$15,000	Partnership Income	\$2,501 - \$5,000	
AXA Advisors Brokerage Account ⇒ Franklin Growth Allocation Fund Class A	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AXA Advisors Brokerage Account ⇒ Franklin Income Fund Class A		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	П
AXA Athena Universal Life Insurance		\$100,001 - \$250,000	Tax-Deferred		П
AXA Equitable Retirement Cornerstone ⇒ AXA Moderate Growth Strategy Fund #03075	SP	\$250,001 - \$500,000	Tax-Deferred		
AXA Equitable Retirement Cornerstone ⇒ AXA Moderate Growth Strategy Fund #03075		\$1,000,001 - \$5,000,000	Tax-Deferred		
AXA Equitable Rollover IRA \Rightarrow AXA/ AB Small Cap Growth	SP	\$1,001 - \$15,000	Tax-Deferred		
AXA Equitable Rollover IRA \Rightarrow AXA/ Large Cap Value Managed Volatility	SP	\$1,001 - \$15,000	Tax-Deferred		
AXA Equitable Rollover IRA \Rightarrow AXA/ Mid Cap Value Managed Volatility	SP	\$1,001 - \$15,000	Tax-Deferred		
AXA Equitable Rollover IRA \Rightarrow Eq/ Common Stock Index	SP	\$1,001 - \$15,000	Tax-Deferred		
AXA Equitable SEP ⇒ AXA/ Franklin Balanced Managed Volatility		\$15,001 - \$50,000	Tax-Deferred		
AXA Equitable SEP \Rightarrow AXA/ Global Equity Managed Volatility		\$1,001 - \$15,000	Tax-Deferred		
AXA Equitable SEP ⇒ AXA/ Large Cap Value Managed Volatility		\$1,001 - \$15,000	Tax-Deferred		
AXA Equitable SEP \Rightarrow AXA/ Mutual Large Cap Managed Volatility		\$15,001 - \$50,000	Tax-Deferred		П
AXA Equitable SEP ⇒ AXA/ Templeton Global Equity Managed Volatility		\$1,001 - \$15,000	Tax-Deferred		
AXA Equitable SEP \Rightarrow Eq/ Common Stock Index		\$1,001 - \$15,000	Tax-Deferred		
AXA Equitable SEP ⇒ Eq/ Equity 500 Index		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AXA Equitable SEP ⇒ EQ/ Mid Cap Index		\$1,001 - \$15,000	Tax-Deferred		П
AXA Equitable Simple IRA \Rightarrow AXA/ AB Small Cap Growth		\$50,001 - \$100,000	Tax-Deferred		
AXA Equitable Simple IRA \Rightarrow AXA/ AB Small Cap Growth	SP	\$15,001 - \$50,000	Tax-Deferred		П
AXA Equitable Simple IRA ⇒ AXA/ Franklin Balanced Managed Volatility	SP	\$15,001 - \$50,000	Tax-Deferred		П
AXA Equitable Simple IRA \Rightarrow AXA/ Franklin Balanced Managed Volatility		\$15,001 - \$50,000	Tax-Deferred		П
AXA Equitable Simple IRA \Rightarrow AXA/ Global Equity Managed Volatility		\$15,001 - \$50,000	Tax-Deferred		П
AXA Equitable Simple IRA \Rightarrow AXA/ Global Equity Managed Volatility	SP	\$15,001 - \$50,000	Tax-Deferred		П
AXA Equitable Simple IRA \Rightarrow AXA/ Large Cap Value Managed Volatility		\$50,001 - \$100,000	Tax-Deferred		П
AXA Equitable Simple IRA \Rightarrow AXA/ Large Cap Value Managed Volatility	SP	\$15,001 - \$50,000	Tax-Deferred		
AXA Equitable Simple IRA \Rightarrow AXA/ Mid Cap Value Managed Volatility	SP	\$15,001 - \$50,000	Tax-Deferred		П
AXA Equitable Simple IRA \Rightarrow AXA/ Mid Cap Value Managed Volatility		\$15,001 - \$50,000	Tax-Deferred		
AXA Equitable Simple IRA \Rightarrow AXA/ Mutual Large Cap Managed Volatility		\$15,001 - \$50,000	Tax-Deferred		П
AXA Equitable Simple IRA ⇒ AXA/ Mutual Large Cap Managed Volatility	SP	\$15,001 - \$50,000	Tax-Deferred		
AXA Equitable Simple IRA ⇒ AXA/ Templeton Global Equity Managed Volatility	SP	\$15,001 - \$50,000	Tax-Deferred		П
AXA Equitable Simple IRA ⇒		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AXA/ Templeton Global Equity Managed Volatility					
AXA Equitable Simple IRA \Rightarrow EQ/ Black Rock Basic Value Equity		\$15,001 - \$50,000	Tax-Deferred		П
AXA Equitable Simple IRA \Rightarrow Eq/ Common Stock Index		\$50,001 - \$100,000	Tax-Deferred		П
AXA Equitable Simple IRA \Rightarrow Eq/ Common Stock Index	SP	\$15,001 - \$50,000	Tax-Deferred		П
AXA Equitable Simple IRA ⇒ Eq/ Equity 500 Index	SP	\$15,001 - \$50,000	Tax-Deferred		П
AXA Equitable Simple IRA ⇒ Eq/ Equity 500 Index		\$50,001 - \$100,000	Tax-Deferred		П
AXA Equitable Simple IRA \Rightarrow EQ/ Large Cap Growth Index		\$15,001 - \$50,000	Tax-Deferred		П
AXA Equitable Simple IRA \Rightarrow EQ/ Large Cap Growth Index	SP	\$15,001 - \$50,000	Tax-Deferred		
BSJ Bancshares		\$100,001 - \$250,000	Dividends, Interest	\$5,001 - \$15,000	П
Description: Corporate Bank					
Capital Bancorp Inc Description: Corporate Bank		\$15,001 - \$50,000	Dividends, Interest	\$5,001 - \$15,000	
Clinic Pharmacy of Mangham, LLC- Note Receivable		\$250,001 - \$500,000	Capital Gains, Interest	\$50,001 - \$100,000	П
Cross Keys Bank CD		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	
Drake's Landing, INC, 100% Interest Location: Rayville, LA, US DESCRIPTION: Air Charter Service		None	None		
Drake's Landing, INC- Note Receivable		\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Description: Charter Service					
Ralph L Abraham MD APMC		\$100,001 - \$250,000	Capital Gains, Interest	\$50,001 - \$100,000	
LOCATION: Mangham, LA, US DESCRIPTION: Medical Services					
Rent House		\$100,001 - \$250,000	Rent	\$2,501 - \$5,000	
LOCATION: Fort Walton, FL, US					
Vantage Health Plan 401k ⇒ Fidelity Investments Large Cap Permanent Portfolio		\$50,001 - \$100,000	Tax-Deferred		
Vantage Holdings		\$100,001 - \$250,000	Dividends	None	
Description: Formerly Vantage Health Plan					

^{*} Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

None disclosed.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Туре	Amount of Liability
Cross Keys Bank	November 2009	Mortgage on Rent House	\$100,001 - \$250,000

SCHEDULE **E**: **P**OSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

		Trip Details				Inclusions		
Source	Start Date	•		Days at Own Exp.	Lodging?	Food?	Family?	
Washington Office on Latin America	04/5/2016	04/9/2016	Monroe, LA - Havana, Cuba - Monroe, LA	0	✓	V		

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

 $\circ~$ AXA Advisors Brokerage Account (100% Interest)

Location: San Diego, CA, US

DESCRIPTION: Franklin Income Fund Class A

• AXA Equitable Retirement Cornerstone

Description: AXA Moderate Growth Stragety Fund #03075

• AXA Equitable Retirement Cornerstone (Owner: SP)

Description: AXA Moderate Growth Strategy Fund #03075

• AXA Equitable Rollover IRA (Owner: SP)
DESCRIPTION: EQ/Common Stock Index

AXA Equitable SEP

DESCRIPTION: AXA Large Cap Value Managed Volatility

• AXA Equitable Simple IRA

Description: AXA Large Cap Value Managed Volatility

• AXA Equitable Simple IRA (Owner: SP)

DESCRIPTION: AXA Large Cap Value Managed Volatility

• Vantage Health Plan 401k

Description: Fidelity Investments Large Cap Permanent Portfolio

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ○ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

© Yes © No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

 $\textbf{Digitally Signed:} \ \text{Hon. Ralph Lee Abraham , } 05/14/2017$