

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

Form A
 For use by Members, officers, and employees

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HAND DELIVERED

Name: **MICHAEL EVERETT CAPUANO** Daytime Telephone _____

2013 MAY 14 AM 11:59

LEGISLATIVE RESOURCE CENTER
 OFFICE OF THE CLERK
 U.S. HOUSE OF REPRESENTATIVES
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Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: MA District: 7	<input type="checkbox"/> Officer or Employee	Employing Office: _____
Report Type	<input checked="" type="checkbox"/> Annual (May 15, 2013)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date: _____

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

IPO—Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

For payments to charity in lieu of honoraria, use Schedule II.

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

[illegible]

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BLACK D

Amount of Income

BLOCK E
Transaction

1st Bank of Paducah, KY Accounts		JT
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indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

None	-
\$1 – \$200	=
\$201 – \$1,000	≡
\$1,001 – \$2,500	△
\$2,501 – \$5,000	∧
\$5,001 – \$15,000	∇
\$15,001 – \$50,000	Ⅲ
\$50,001 – \$100,000	Ⅳ
\$100,001 – \$1,000,000	Ⅹ
\$1,000,001 – \$5,000,000	×
Over \$5,000,000	⊗
Spouse/DC Income over \$1,000,000*	×

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SEE SCHEDULE ATTACHED

Schedule III - Assets and Unearned Income (2012)			Michael E. Capuano	page 5 of 10	
Block A	Block B	Block C	Block D	Block E	
SP, DC, JT	Assets and/or Income Source	Value of Asset	Type of Income	Amount of Income	Transaction
JT	Central St, Somerville, MA (although rent 27% of house, this amt represents 100% of asset value	\$500,001 - 1,000,000	Rent	\$5,001 - \$15,000	
JT	Congressional FCU	\$1,001 - \$15,000	Interest	\$1 - \$200	
SP	CT&M, LLC (real estate owned in Somerville, MA)	\$50,001 - \$100,000	Interest & Prtship Inc	\$2,501 - \$5,000	
JT	East Cambridge Sav Bk Som, MA	\$15,001 - \$50,000	Interest	\$1 - \$200	
JT	High Street Realty Trust (Somerville, MA)	\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	
	SBLI - Term Life	\$250,001 - \$500,000	Dividends	\$1 - \$200	
JT	Som Federal Credit Union	\$50,001 - \$100,000	Interest	\$1 - \$200	
JT	Winter Hill Bank	\$1,001 - \$15,000	Interest	\$1 - \$200	
	Nationwide - Fidelity Contra	\$1,001 - \$15,000	Tax Deferred	None	
	Nationwide - Inter Val Instr Svcs	\$1,001 - \$15,000	Tax Deferred	None	
	Nationwide - Large Cap Growth	\$1,001 - \$15,000	Tax Deferred	None	
SP	Nesteggs - Windward Aggressive Fund	\$50,001 - \$100,000	Tax Deferred	None	
SP	Nesteggs - Fidelity Cash Reserves	\$1,001 - \$15,000	Tax Deferred	None	
SP	Fidelity - Traditional - Cash Reserves	\$1 - \$1,000	Tax Deferred	None	
SP	Fidelity - Traditional - Export & Multinational	\$1,001 - \$15,000	Tax Deferred	None	
SP	Fidelity Int'l Discovery	\$15,001 - \$50,000	Tax Deferred	None	
SP	Fidelity Contra Fund	\$1,001 - \$15,000	Tax Deferred	None	
SP	Fidelity Balanced Fund	\$1,001 - \$15,000	Tax Deferred	None	
SP	Fidelity Select Nat'l Gas	\$15,001 - \$50,000	Tax Deferred	None	
SP	Fidelity Export & Multinational	\$15,001 - \$50,000	Tax Deferred	None	
SP	Fidelity Invesco Van Kampen Growth & Income Clas	\$1,001 - \$15,000	Tax Deferred	None	
SP	Fidelity Ariel Appreciation	\$1,001 - \$15,000	Tax Deferred	None	
SP	Fidelity FPA Crescent Inst'l	\$1,001 - \$15,000	Tax Deferred	None	
SP	Fidelity Family Value	\$1,001 - \$15,000	Tax Deferred	None	
SP	Fidelity T Rowe Price Cap Appreciation	\$15,001 - \$50,000	Tax Deferred	None	

Schedule III - Assets and Unearned Income (2012)			Michael E. Capuano	page 6 of 10	
Block A	Block B	Block C	Block D	Block E	
SP, DC, JT	Assets and/or Income Source	Value of Asset	Type of Income	Amount of Income	Transaction
SP	Fidelity Royce Total Return FD Investment CL	\$1,001 - \$15,000	Tax Deferred	None	
SP	Fidelity Cash Reserves	\$1 - \$1,000	Tax Deferred	None	
SP	Charles Schwab - Cash & MM Fund (401K)	\$15,001 - \$50,000	Tax Deferred	None	
SP	Charles Schwab - Baird Core Plus Bd Inv	\$1,001 - \$15,000	Tax Deferred	None	P
SP	Charles Schwab - BMO Intermediate Tax	\$1,001 - \$15,000	Tax Deferred	None	P
SP	Charles Schwab - Metropolitan West Total	\$15,001 - \$50,000	Tax Deferred	None	P
SP	Charles Schwab - PIMCO Total Return Fund	\$1,001 - \$15,000	Tax Deferred	None	P
SP	Charles Schwab - American Century Equity	\$15,001 - \$50,000	Tax Deferred	None	P
SP	Charles Schwab - Baron Small Cap Fund	\$1,001 - \$15,000	Tax Deferred	None	
SP	Charles Schwab - Fidelity Low Priced St Fd	\$1,001 - \$15,000	Tax Deferred	None	P
SP	Charles Schwab - First Eagle Fund of Amer	\$1,001 - \$15,000	Tax Deferred	None	
SP	Charles Schwab - Principal Mid Cap Blend A	\$1,001 - \$15,000	Tax Deferred	None	P
SP	Charles Schwab - Schwab S&P 500 Index Fund	\$15,001 - \$50,000	Tax Deferred	None	P
SP	Charles Schwab - Schwab Total Stock Market	\$1,001 - \$15,000	Tax Deferred	None	
NOTE - Spouse moved 401(k) funds from Charles Schwab Cash and Money Market Fund into other Funds.					
Some of those transfers were for more than \$1,000, as listed above.					

SCHEDULE IV—TRANSACTIONS

Name **MICHAEL EVERETT CAPUANO**

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or any exchange children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example: Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
SP	BMO Intermediate Tax Free CL (401k)	X				6-4-12	X										
SP	BAIRD CORE PLUS BOND INV (401k)	X				6-19-12	X										
SP	Metropolitan West Bond Ret Bond (401k)	X				6-19-12		X									
SP	PIMCO Total Return Fd (401k)	X				6-19-12	X										
SP	Am. Century Equity Gr. Inv (401k)	X				6-5-12	X										
SP	PRINCIPAL MIDCAP BLEND A (401k)	X				6-4-12	X										
SP	Schwab S&P 500 Index Fd (401k)	X				6-4-12	X										
SP	PRINCIPAL MIDCAP BLEND A (401k)	X				6-29-12	X										
SP	Fidelity Low Priced Stk Fund (401k)	X				7-6-12	X										
SP	GAIN ON MARLOF TRUST/ESTATE 2011		X		X	9-2012	X										
	↓ Ben's share of gain on sale of real estate.																

SCHEDULE V— LIABILITIES

Name **MICHAEL EVERETT CAPANO**

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
	<i>Example:</i> First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE	\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Liability Over \$1,000,000*
JT	CENTURY BANK	SEPT 2010	Mortgage on High St, Somerville MA					X						
JT	WINTER HILL BANK	JAN 1980	Mortgage on Central St Som MA				X							
SP	WINTER HILL BANK	JUNE 1999	Mortgage on CTM LLC Somerville MA		X									

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$350 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
<i>Example:</i> Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	\$375

Name MICHAEL EVERETT CAPUANO Page 9 of 10

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

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SCHEDULE VIII—POSITIONS

Name **MICHAEL EVERETT CAPUANO**

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
	NONE

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	