



Filing ID #10026365

# FINANCIAL DISCLOSURE REPORT

---

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

---

## FILER INFORMATION

**Name:** Hon. Neal Patrick MD, Facs Dunn  
**Status:** Member  
**State/District:** FL02

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2018  
**Filing Date:** 08/12/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Leah O Dunn IRA ⇒ Southern Company (SO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ USAA Whole Life Insurance Policy [WU]		\$250,001 - \$500,000	None		<input type="checkbox"/>
Raymond James IRA #1 ⇒ AB High Income Fund Advisor Share N/L (AGDYX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #1 ⇒ AB Large Cap Growth FD Advisor Class N/L (APGYX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #1 ⇒ Advisory Research MLP and Energy Income FD Class I N/L [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #1 ⇒ American Outdoor Brands Corporation (AOBC) [ST]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Raymond James IRA #1 ⇒ Apple Inc. (AAPL) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Raymond James IRA #1 ⇒ Delaware small cap core fund institutional class N/L (DCCIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #1 ⇒ Delaware Value Fund Institutional Class N/L [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #1 ⇒ IVY Emerging Markets Equity Fund Class I N/L (IPOIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #1 ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #1 ⇒ Johnson & Johnson (JNJ) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #1 ⇒ Loomis Sayles Growth Fund Class Y N/L-Natixis Advisor(LSGRX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Raymond James IRA #1 ⇒ MFS International Value Fund Class I N/L(MINIX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #1 ⇒ MSIF International Advantage Fund Class I N/L(MFAIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #1 ⇒ Principal MID Cap Fund Institutional Shares N/L(PCBIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #1 ⇒ Raymond James Bank [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #1 ⇒ Summit Financial Enterprises Incorporated [PS]  DESCRIPTION: Summit Financial Enterprises Inc is a bank located in Panama City, FL		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #1 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [MF]		\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
Raymond James IRA #1 ⇒		\$50,001 -	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
T. Rowe Price QM U.S. Small Cap Growth EQ FD Invest (PRDSX) [MF]		\$100,000			
Raymond James IRA #1 ⇒ T.Rowe Price Capital Appreciation Fund Investor Cla (PRWCX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #1 ⇒ Tweedy Browne Global Value Fund N/L [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Raymond James IRA #1 ⇒ Victory Sycamore Established Value Fund Class I N/L (VEVIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #2 ⇒ Raymond James Bank [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #2 ⇒ Southern Company (SO) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #2 ⇒ Summit Financial Enterprises Incorporated [PS]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Summit Financial Enterprises Inc is a bank located in Panama City, FL					
Raymond James IRA #2 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #3 ⇒ Raymond James Deposit [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #3 ⇒ Southern Company (SO) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #3 ⇒ Summit Financial Enterprises Incorporated [PS]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Summit Financial Enterprises Inc is a bank located in Panama City, FL					
Raymond James IRA #3 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #4 ⇒ AB High Income Fund Advisor Shares N/L(AGDYX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Raymond James IRA #4 ⇒ AB Large Cap Growth FD Advisor Class N/L (APGYX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #4 ⇒ Advisory Research MLP and Energy Income FD Class I N/L [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #4 ⇒ Columbia Seligman Global Technology FD Inst CL N/L [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #4 ⇒ Delaware value fund institutional class N/L [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Raymond James IRA #4 ⇒ IVY Emerging Markets Equity Fund Class I N/L (IPOIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #4 ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #4 ⇒ Loomis Sayles Growth Fund Class Y N/L-Natixis Advisor(LSGRX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Raymond James IRA #4 ⇒ MFS International Value Fund Class I N/L(MINIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #4 ⇒ MSIF International Advantage Fund Class I N/L (MFAIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #4 ⇒ Principal MID Cap Fund Institutional Shares N/L(PCBIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #4 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L(PRHSX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Raymond James IRA #4 ⇒ T. Rowe Price New Horizons Fund Investor Class N/L(PRNHX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Raymond James IRA #4 ⇒ Victory Sycamore Established Value Fund Class I N/L (VEVIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
SUMMIT BANK ACCOUNT [BA]	JT	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Summit Bank Checking Account [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Summit Bank, NA [OP]		\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Includes options to purchase shares of Summit Bank NA					
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Eaton Vance National Municipal Income Fund Class I N/L [MF]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Nuveen High Yield Municipal Bond Fund Class I N/L (NHMRX) [MF]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ SUMMIT BANK ACCOUNT [BA]	SP	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Vacant Industrial Park Lot [RP]	SP	None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: Panama City/ Bay, FL, US					
The Leah Ott Dunn Irrevocable Gift Trust-Gulf Management LLC ⇒ Small Strip Mall [RP]	SP	\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Panama City/ Bay, FL, US					
The Leah Ott Dunn Irrevocable Gift Trust-Gulf Management LLC ⇒ Summit Bank Checking Account [BA]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-Shipwreck Golf LLC ⇒ Miniature Golf Course [RP]	SP	\$100,001 - \$250,000	Rent	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: Panama City/ Bay, FL, US					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ AB High Income Fund Advisor Shares N/L (AGDYX) [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ AB Large Cap Growth FD Advisor Class N/L (APGYX) [MF]		\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Columbia Seligman Global Technology FD Inst CL N/L (CSGZX) [MF]		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Destra Flaherty & Crumrine Preferred & INC FD CLS I N/L (DPIIX) [MF]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Flaherty & Crumrine DYN Preferred SHS (DFP) [MF]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ IVY Emerging Markets Equity Fund Class I N/L(IPOIX) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ IVY International Core Equity Fund Class I N/L(ICEIX) [MF]		None	None		<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [MF]		\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ MFS Value Fund Class I N/L (MEIIX) [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ MSIF International Advantage Fund Class I N/L(MFAIX) [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Nuveen High Yield Municipal Bond Fund Class I N/L (NHMRX) [MF]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012		None	Capital Gains,	\$1,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
⇒ Nuveen Preferred Securities and Income FD CL I N/L (NPSRX) [MF]			Dividends	\$2,500	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Pimco Corporate & Income OPP F (PTY) [MF]		\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Principal Mid Cap Fund Institutional Shares N/L (PCBIX) [MF]		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ SUMMIT BANK ACCOUNT [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Summit Financial Enterprises Inc. [BA] DESCRIPTION: Summit Financial Enterprises Inc is a bank located in Panama City, FL		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L(PRHSX) [MF]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ T. Rowe Price QM U.S. Small Cap Growth EQ FD Invest (PRDSX) [MF]		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Vaneck Vectors Fallen Angel High Yield Bond ETF (ANGL) [MF]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-DSI LLC ⇒ Patient Practitioners LLC [OL] LOCATION: Panama City, FL, US DESCRIPTION: Private limited liability company		\$1,001 - \$15,000	None		<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC ⇒ Jenks Ave Commercial Rental Property [RP] LOCATION: Panama City/ Bay, FL, US		None	Rent	\$50,001 - \$100,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC ⇒ Rental Residential Property [RP]		\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>
LOCATION: Washington, DC, US					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC ⇒ SUMMIT BANK ACCOUNT [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC ⇒ Wilson Ave Commercial Rental Property [RP]		\$100,001 - \$250,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Panama City/ Bay, FL, US					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Gulf Resources LLC ⇒ Commercial Rental Building [RP]		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Panama City/ Bay, FL, US					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Gulf Resources LLC ⇒ SUMMIT BANK ACCOUNT [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Raymond James IRA #1 ⇒ American Outdoor Brands Corporation (AOBC) [ST]		02/26/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Raymond James IRA #1 ⇒ Loomis Sayles Growth Fund Class Y N/L-Natixis Advisor(LSGRX) [MF]		01/2/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Raymond James IRA #1 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [MF]		01/3/2018	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Raymond James IRA #1 ⇒ Tweedy Browne Global Value Fund N/L (TBGVX) [MF]		03/13/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Raymond James IRA #4 ⇒ AB High Income Fund Advisor Shares N/L(AGDYX) [MF]		08/2/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Raymond James IRA #4 ⇒ Delaware value fund institutional class N/L (DDVIX) [MF]		01/2/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Raymond James IRA #4 ⇒ Loomis Sayles Growth Fund Class Y N/L-Natixis Advisor(LSGRX) [MF]		01/2/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Eaton Vance National Municipal Income Fund Class I N/L (EIHMX) [MF]	SP	05/4/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Nuveen High Yield Municipal Bond Fund Class I N/L (NHMRX) [MF]	SP	05/4/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Vacant Industrial Park Lot [RP]  LOCATION: Panama City, FL, US	SP	05/30/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ AB High Income Fund Advisor Shares N/L (AGDYX) [MF]		12/27/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ AB High Income Fund Advisor Shares N/L (AGDYX) [MF]		11/21/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ AB High Income Fund Advisor Shares N/L (AGDYX) [MF]		10/23/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ AB High Income Fund Advisor Shares N/L (AGDYX) [MF]		09/21/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Destra Flaherty & Crumrine Preferred & INC FD CLS I N/L (DPIIX) [MF]		04/17/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Flaherty & Crumrine DYN Preferred SHS (DFP) [EF]		04/17/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ IVY International Core Equity Fund Class I N/L(ICEIX) [MF]		03/13/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Nuveen Preferred Securities and Income FD CL I N/L		06/21/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
(NPSRX) [MF]					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Vaneck Vectors Fallen Angel High Yield Bond ETF (ANGL) [EF]		06/21/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012- Dunn Properties LLC ⇒ Jenks Ave Commercial Property [RP]		05/25/2018	S	\$100,001 - \$250,000	<input type="checkbox"/>
LOCATION: Panama City, FL, US					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012- Dunn Properties LLC ⇒ Residential Real Estate [RP]		12/19/2018	P	\$250,001 - \$500,000	
LOCATION: Washington, DC, US					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Dunn Properties LLC	Spouse Director Fee	N/A
Neal Dunn Beneficiary IRA	IRA Distribution	\$9,413.00

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Summit Bank	May 2018	Revolving Line of Credit	\$100,001 - \$250,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Board of Directors COMMENTS: Unpaid	Dunn Properties LLC
Partner COMMENTS: Unpaid	Shipwreck Golf, LLC
Partner	Gulf Resources, LLC

Position	Name of Organization
COMMENTS: Unpaid	
Chairman, Board of Directors	Summit Bank
COMMENTS: Unpaid	
Chairman, Board of Directors	Summit Financial Enterprises, LLC
COMMENTS: Unpaid	
Principal	Neal P. Dunn Consulting
COMMENTS: Unpaid	
Partner	Global CT & PET Imaging, LLC
COMMENTS: Unpaid	
Partner	Patient Practitioners, LLC
COMMENTS: Unpaid	
Partner	Worldwide Equine Imaging, LLC
COMMENTS: Unpaid	

### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
October 2008	Filer, Summit Bank NA	Stock option plan with established vesting schedule non-contingent on any services by filer.

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"> <li>Leah O Dunn IRA (Owner: SP)</li> <li>Neal P Dunn Irrevocable Trust UAD 1996 (100% Interest) DESCRIPTION: OWNS 100% of USAA Life Insurance Policy.</li> </ul>
---

- Raymond James IRA #1
- Raymond James IRA #2
- Raymond James IRA #3 (Owner: SP)
- Raymond James IRA #4
- The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC (20% Interest) (Owner: SP)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: OWNS a vacant industrial lot & a Raymond James investment account
- The Leah Ott Dunn Irrevocable Gift Trust-Gulf Management LLC (50% Interest) (Owner: SP)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: Holds a strip mall with multiple tenants
- The Leah Ott Dunn Irrevocable Gift Trust-Shipwreck Golf LLC (16% Interest) (Owner: SP)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: OWNS a miniature golf course. Owned 1/6th by Trust
- The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 (100% Interest)  
DESCRIPTION: OWNS 25% of Dunn Properties LLC and DSI LLC. OWNS 50% of Gulf Resources LLC and West PCB Holdings LLC. OWNS 100% of Northwestern Mutual Whole Life Insurance policy, has a bank account and owns stock in a bank.
- The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-DSI LLC (25% Interest)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: Holds shares of interest in other LLC's - Worldwide Equine Imaging, Global PET Imaging, Patient Practitioners
- The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC (25% Interest)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: OWNS 2 commercial rental properties
- The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Gulf Resources LLC (50% Interest)  
LOCATION: Panama City/Bay, FL, US  
DESCRIPTION: OWNS a commercial retail building

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Neal Patrick MD, FACS Dunn , 08/12/2019