



Filing ID #10008118

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Raúl M. Grijalva
Status: Member
State/District: AZ03

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2014
Filing Date: 07/13/2015

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3426 9TH AVE	JT	\$50,001 - \$100,000	Rent	\$1,001 - \$2,500	<input type="checkbox"/>
LOCATION: TUCSON, AZ, US					
3M Company (MMM)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Alcoa Inc. (AA)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
American Express Company (AXP)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Amgen Inc. (AMGN)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Apple Inc. (AAPL)	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Bank of America Corporation (BAC)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
BP PLC Ordinary Shares (BPAQF)	SP	None	Capital Gains	\$2,501 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
				\$5,000	
Brookfield Global Listed Infrastructure Fund (BGLYX)	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Corning Incorporated (GLW)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Crestwood Midstream Partners LP (CMLP)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Deere & Company (DE)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Dow Chemical Company (DOW)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
E.I. du Pont de Nemours and Company (DD)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
EMC Corporation (EMC)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Enbridge Energy, L.P. Class A Common Units (EEP)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Gilead Sciences, Inc. (GILD)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Healthcare Realty Trust Incorporated (HR)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Home Depot, Inc. (HD)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Intel Corporation (INTC)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
International Business Machines Corporation (IBM)	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
John Hancock Financial Opportunities Fund (BTO)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
JP Morgan Chase & Co. (JPM)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Manitowoc Company, Inc. (MTW)	SP	None	Capital Gains	\$1,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
				\$2,500	
Mastercard Incorporated (MA)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Mobileye N.V. Ordinary Shares (MBLY)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
MORGAN STANLEY BANK NA	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Mylan N.V. (MYL)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Nike, Inc. (NKE)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Nuveen Diversified Dividend and Income Fund Shares of Beneficial Interest (JDD)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Oppenheimer Global (OGLYX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Pepsico, Inc. (PEP)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
PetSmart, Inc (PETM)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Pfizer, Inc. (PFE)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
QUALCOMM Incorporated (QCOM)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Qwest Corporation 6.125% Notes due 2053 (CTY)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Seadrill Limited Ordinary Shares (SDRL)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Stryker Corporation (SYK)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TeklaLife Sciences Investors (HQL)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Name change: formerly H&Q Life Sciences Investors (HQL)					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Terex Corporation (TEX)	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
United Parcel Service, Inc. (UPS)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
United Technologies Corporation (UTX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Visa Inc. (V)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Wells Fargo & Company (WFC)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Williams Companies, Inc. (WMB)	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Williams Companies, Inc. (WMB)	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
3M Company (MMM)	SP	06/16/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Alcoa Inc. (AA)	SP	01/15/2014	P	\$1,001 - \$15,000	
Alcoa Inc. (AA)	SP	05/8/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
American Express Company (AXP)	SP	06/27/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Amgen Inc. (AMGN)	SP	06/16/2014	P	\$1,001 - \$15,000	
Apple Inc. (AAPL)	SP	01/29/2014	P	\$1,001 - \$15,000	
Apple Inc. (AAPL)	SP	06/16/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America Corporation (BAC)	SP	01/29/2014	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Bank of America Corporation (BAC)	SP	05/8/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
BP PLC Ordinary Shares (BPAQF)	SP	05/8/2014	P	\$1,001 - \$15,000	
BP PLC Ordinary Shares (BPAQF)	SP	06/16/2014	P	\$1,001 - \$15,000	
BP PLC Ordinary Shares (BPAQF)	SP	07/30/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Brookfield Global Listed Infrastructure Fund (BGLYX)	SP	06/16/2014	P	\$1,001 - \$15,000	
Brookfield Global Listed Infrastructure Fund (BGLYX)	SP	09/10/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Corning Incorporated (GLW)	SP	09/10/2014	P	\$1,001 - \$15,000	
Crestwood Midstream Partners LP (CMLP)	SP	05/8/2014	P	\$1,001 - \$15,000	
Crestwood Midstream Partners LP (CMLP)	SP	07/30/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Deere & Company (DE)	SP	06/27/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
E.I. du Pont de Nemours and Company (DD)	SP	05/8/2014	P	\$1,001 - \$15,000	
E.I. du Pont de Nemours and Company (DD)	SP	07/30/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
EMC Corporation (EMC)	SP	07/30/2014	P	\$1,001 - \$15,000	
EMC Corporation (EMC)	SP	10/30/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Enbridge Energy, L.P. Class A Common Units (EEP)	SP	02/21/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Gilead Sciences, Inc. (GILD)	SP	12/30/2014	P	\$1,001 - \$15,000	
Healthcare Realty Trust Incorporated (HR)	SP	07/30/2014	P	\$1,001 - \$15,000	
Intel Corporation (INTC)	SP	01/15/2014	P	\$1,001 - \$15,000	
Intel Corporation (INTC)	SP	09/10/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
International Business Machines Corporation (IBM)	SP	01/29/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
John Hancock Financial Opportunities Fund (BTO)	SP	08/21/2014	P	\$1,001 - \$15,000	
JP Morgan Chase & Co. (JPM)	SP	10/2/2014	P	\$1,001 - \$15,000	
Manitowoc Company, Inc. (MTW)	SP	06/16/2014	P	\$1,001 - \$15,000	
Manitowoc Company, Inc. (MTW)	SP	10/2/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Mastercard Incorporated (MA)	SP	08/21/2014	P	\$1,001 - \$15,000	
Mobileye N.V. Ordinary Shares (MBLY)	SP	08/21/2014	P	\$1,001 - \$15,000	
Mobileye N.V. Ordinary Shares (MBLY)	SP	12/30/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Mylan N.V. (MYL)	SP	07/30/2014	P	\$1,001 - \$15,000	
Nike, Inc. (NKE)	SP	07/30/2014	P	\$1,001 - \$15,000	
Nuveen Diversified Dividend and Income Fund Shares of Beneficial Interest (JDD)	SP	01/29/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Oppenheimer Global Fund Class Fund Class Y (OGLYX)	SP	06/16/2014	P	\$1,001 - \$15,000	
Pepsico, Inc. (PEP)	SP	09/10/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
PetSmart, Inc (PETM)	SP	06/27/2014	P	\$1,001 - \$15,000	
Pfizer, Inc. (PFE)	SP	06/16/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
QUALCOMM Incorporated (QCOM)	SP	07/30/2014	P	\$1,001 - \$15,000	
Qwest Corporation 6.125% Notes due 2053 (CTY)	SP	01/15/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Seadrill Limited Ordinary Shares (SDRL)	SP	02/21/2014	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Sadrill Limited Ordinary Shares (SDRL)	SP	07/30/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stryker Corporation (SYK)	SP	06/16/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TeklaLife Sciences Investors (HQL)	SP	02/21/2014	P	\$1,001 - \$15,000	
Terex Corporation (TEX)	SP	07/30/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
United Parcel Service, Inc. (UPS)	SP	12/30/2014	P	\$1,001 - \$15,000	
United Technologies Corporation (UTX)	SP	07/30/2014	P	\$1,001 - \$15,000	
Visa Inc. (V)	SP	07/30/2014	P	\$1,001 - \$15,000	
Williams Companies, Inc. (WMB)	SP	06/16/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Williams Companies, Inc. (WMB)	SP	07/30/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

SCHEDULE C: EARNED INCOME

Source	Type	Amount
CITY OF TUCSON SUPPLEMENTAL RETIREMENT SYSTEM	SPOUSE PENSION	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	WELLS FARGO BANK	2008	MORTGAGE ON 220 13TH ST SE, #4, WASHINGTON, DC 20003	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
ADVISORY COUNCIL MEMBER	CONGRESSIONAL HISPANIC CAUCUS INSTITUTE

Position	Name of Organization
BOARD MEMBER	PROGRESSIVE CONGRESS

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 1988	RAUL GRIJALVA; ARIZONA STATE RETIREMENT SYSTEM	Retirement Plan (NOT SELF-DIRECTED)
February 2002	RAUL GRIJALVA; PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM	ELECTED OFFICIALS RETIREMENT ACCOUNT (NOT SELF-DIRECTED)

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
PROGRESSIVE CONGRESS	02/6/2014	02/8/2014	DC - PHILADELHPIA - DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ASPEN INSTITUTE	02/17/2014	02/23/2014	DC - Cartagena, Colombia - DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Center for Democracy in the Americas & the Salvadorian Busin	05/30/2014	06/2/2014	DC - SAN SALVADOR - DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Raúl M. Grijalva , 07/13/2015