DIANE BLACK 6^{TH} DISTRICT OF TENNESSEE

COMMITTEE ON WAYS AND MEANS

SUBCOMMITTEE ON HEALTH

COMMITTEE ON THE BUDGET



CONGRESS OF THE UNITED STATES HOUSE OF REPRESENTATIVES WASHINGTON, DC 20515 DISTRICT OFFICES

355 North Belvedere Drive Suite 308 Gallatin, TN 37066 (615) 206-8204 (615) 206-8980 (FAX)

321 EAST SPRING STREET SUITE 301 COOKEVILLE, TN 38501 (931) 854-0069

UCV

December 9, 2016

Karen L. Haas Clerk of the House U.S. House of Representatives Washington, DC 20515 HAND DELTILLIEL

Re: Amendment to 2015 Financial Disclosure Statement

Dear Ms. Haas:

Please accept this letter and the enclosure as an amended Financial Disclosure Statement (the "Report") to report my husband's transactions executed in two investment accounts in 2015. In most instances, the asset was reported on Schedule A, and the transaction reported in Block E of Schedule A, but the transaction was inadvertently omitted from Schedule B. Accordingly, these transactions have been added to the Report in new pages 37A and 37B. Schedule A, page 9, has been revised to reflect that some of the sale transactions in these assets were partial sales, page 10 has been revised to reflect dividend income on one asset, and page 14 has been revised to add two assets that have been sold but generated income in 2015 and two assets purchased in 2015. Note 3 on page 41 has been revised to list additional assets that are part of an excepted investment fund.

Sincerely,

Enclosure

Diane Black

e Black

HAND DELIVERET

UNITED STATES HOUSE OF REPRESENTATIVES For 2015 FINANCIAL DISCLOSURE STATEMENT	Form A CONTROL Page 1 of 41 V For Use by Members, Officers, and Employees 20 CCC -9 PH 4: 23
Name: Diane Lynn Black - Daytime	Telephone: 202-225-4231 A \$200 penalty shall be assessed agains Individual who files more than 30 days la
Member of or Candidate for	
FILER X Member of or Candidate for State: State: State State: Sixth	Officer or Employing Office: Employee
REPORT X 2015 Annual (Due: May 16, 2016) X Amendment	ment Termination Date of Termination:
PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS	TIONS
A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period? A. Did you, your spouse, or your dependent than \$1,000 at the Yes X No asset during the reporting period?	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction Yes X No exceeding \$1,000 during the reporting period?	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single Yes No X source during the reporting period?
C. Did you or your spouse have "eamed" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the Yes X No reporting period?	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period?
D. Did you, your spouse, or your dependent child have any reportable Yes X No liability (more than \$10,000) at any point during the reporting period?	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?
E. Did you hold any reportable positions during the reporting period or in Yes X No	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"
IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUS	OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS
IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answere Committee on Ethics for further guidance.	uring the reporting period? If you answered "yes" to this question, please contact Yes No 🗶
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" this report details of such a trust that benefits you, your spouse, or dependent child?	d certain other "excepted trusts" need not be disclosed. Have you excluded from Yes
EXEMPTION – Have you excluded from this report any other assets, "uneamed" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	sactions, or liabilities of a spouse or your dependent child because they meet all Yes . No X

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Name: Diane Lynn Black	
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^{**} Fund manager letter on file with House Ethics Committee

* Miscellaneous income from Form 1099

^{***} Amended to change S to partial S

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Name: Diane Lynn Black	•
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SCHEDULE A - ASSETS & "UNEARNED INCOME"	
Name: Diane Lynn Black	
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Name: Diane Lynn Black Page 17

Report any	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the	Τ _{VD}	5 2. T	Type of Transaction	_		Date				≱	Amount of		Transaction	5			
reporting pe	reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that							<u> </u>		6	-	E .		6	_	_	_	*
Exclude tra purchase or a portion of	resulted in a capital was. Fromtar a prior trescription to an excitate of enterone in Excitate of the Excitate transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.						(MO/DAYR) or Quarterly,										000	
Capital Gat the "capital the capital	Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.	chase	1	ial Sale	hange	ick Box if Ci eeded \$200	weekly, if applicable	001- ,000	,001- ,000	,001- 10,000	0,001- 0,000	i0,001- i0,000	0,001- 000,000	000,001- 000,000	000,001- 5,000,000	5,000,001- 0,000,000	er \$50,000,	er \$1,000,0 ouse/DC A
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SP	Apple Inc option expires 7/17/15 call \$125		တ				4/23/15	×								_	_	
SP	Caterpillar option expires 8/21/15 call \$82.50		တ				4/23/15	×						<u> </u>			!	
SP	Anthem Inc option expires 6/19/15 call \$150	7					4/23/15	×										
SP	Caterpillar option expires 6/19/15 put \$85	יסי					4/27/15	×										
SP	BB&T Corp		s				4/27/15	×									_	
SP	United Health option expires 9/18/15 call \$110	P					5/4/15	×										
SP	Anthem Inc option expires 6/16/15 call \$150		S				5/6/15	×										
SP P	Humana Inc option expires 6/19/15 call \$170	P					5/13/15	×										
Sp	3D Systems Corp options expires 6/19/15 put \$23	P	:				5/7/15	×										
SP	United Health option expires 9/18/15 call \$110		S				5/8/15	×			!							
SP	Humana Inc option expires 6/19/15 call \$170		S				5/18/15	×										
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Name: Diane Lynn Black Page 18 of 41

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rkshire Ha	Baxter Intl Inc	ngleaf Pa	Target Corp	Qualcomm Inc	Celanese Corp	cidental P	Comcast Corp	ngleaf Par	Global E	H Wealth	uthwester	own Broth	Aflac Inc	ria Inc opti	ria Inc opt	Apple Inc	ple inc opi	Hollyfrontier Corp	Example M		a sales transus to box, unless scome on Schaassets solely	or any securifor investment pital loss. Protions between of your person set is sold, pluset is sold, pluset is sold.	hase, sale, o
Berkshire Hathaway Inc	16	Longleaf Partners Small Cap Fund		nc	жр	Occidental Petroleum Corp	rp	Longleaf Partners Small Cap Fund	AIT Global Emerging Markets Opp Fund Vontobel	BBH Wealth Strategies Altarock Partners	Southwestern Energy Co	Brown Brothers Harriman transactions below		Altria Inc option expires 9/18/15 call \$45	Altria Inc option expires 9/18/15 call \$45		Apple inc option 7/17/15 call \$125	Corp	Mega Corp. Stock	Asset	Capital Gains: If a seles transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. *Column K is for assets solely held by your spouse or dependent child.	reputing period of any security of real property heat by you, you spouse, or your dependent child for investment or the production of incrome, include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose 'partial sale' as the type of transaction.	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the
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Name: Diane Lynn Black Page 19 of 41

Report ar	ny purchase, sale, or e	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the	_	3 2 1	Type of Transaction	Š		Date				Am	Amount of		Transaction	3	ļ		
dependent resulted in	period of any security in child for investment or in a capital loss. Prov	reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income, include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction.					<u>.</u>		٨	В	6	0	m			<u> </u>	_	_	~
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^{*}This purchase was mistakenly reported on two Periodic Transaction Reports as purchases on April 1 and 10. The shares were purchased only on April 10.

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Name: Diane Lynn Black Page 22 of 41

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Name: Diane Lynn Black Page. 25 잌 4

SP DC JT Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or tale of your prevonal residence, unless it generated ental income. If only a portion of an asset is sold, please choose 'partial sele' as the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. Column K is for assets solely held by your spouse or dependent child å ¥ Longleaf Partners Small Cap Fund Berkshire Hathaway Inc Wells Fargo & Co Google Inc Class C Brown Brothers Harriman transactions continued EOG Resources Inc **Discovery Communications** Bed Bath & Beyond Henry Schein Inc Chubb Corp Wal-Mart Stores Inc Artisan Funds Inc Int Qualcomm Inc AIT Global Emerging Markets Opp Fund **Discovery Communications** Occidental Petroleum Corp Paypal Holdings inc Qualcomm Inc Mega Corp. Stock ס v τ Purchase Type of Transaction S Ø ഗ Ø S Ø S S S S Ś S S S S Sale × Partial Sala Exchange × × × Check Box if Capital Gain × × × Exceeded \$200 9/23/15 9/21/15 9/10/15 9/4/15 9/23/15 9/23/15 9/23/15 9/23/15 9/4/15 9/23/15 9/23/15 9/21/15 9/23/15 9/23/15 (MO/DAYR) or Quarterly, Monthly, or B-weekly, if applicable 9/23/15 9/23/15 9/23/15 9/21/15 Date 35/14 × \$1,001-\$15,000 × > × × × × \$15,001-\$50,000 × × × œ \$50,001-\$100,000 × O × \$100,001-× × o \$250,000 Amount of Transaction \$250,001-\$500,000 m \$500,001-\$1,000,000 × × TI \$1,000,001-G \$5,000,000 \$5,000,001-\$25,000,000 I \$25,000,001-\$50,000,000 Over \$50,000,000 × Over \$1,000,000° ~ (Spouse/DC Asset)

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Report any	purchase, s	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the	₹	of Tr	Type of Transaction	3		Date				≱	Amount of	of Trai	Transaction	š			
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	Microsoft Corp	oft Corp		S			×	9/23/15			×								
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	US Bancorp	ncorp		S			×	9/23/15				×							
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	Progressive Corp Ohio	ls inc	Occidental Petroleum Corp	R	Nestle S A Sponsored ADR		Liberty Interactive Corp		s Inc		away In		ration F	ategies	ategies	onsore		Harrim	Mega Corp. Stock		n resulted as an assu e A.	the products a brief ou your residence choose T	change tr
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Name: Diane Lynn Black Page 30 of 41

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Name: Diane Lynn Black Page 31 of 41

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Blackrock Funds Low Duration	Pimco Equities Long/Short	Shares MSCI Emerging Markets	Williams Companies Inc	Union Pacific Corp	Thermo Fisher Scientific	Co Inc	Home Depot Inc	Inc	n Inc	American Express Co	Abbott Laboratories	Crown Castle International Corp	Mastercard Inc	Kinder Morgan Holding Co LLC	is Inc	Linked In Corp	orp	Stanle	Meg		soid, plea as transac unless it on Sched s solely he	estment o yss. Pro- between ir persona	sale, or
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Name: Diane Lynn Black Page 34 of 41

Report any	purchase,	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the	Ţ	pe of T	Type of Transaction	š		Date				₽	Amount (of Tran	Transaction		 		
dependent resulted in	child for inve						ín		>	0 7	ဂ	D	m	'n	စ	<u> </u>	-	د	~
purchase of a portion of	r sale of your	Exclude draitscripts between you, your spouse, or dependent causers, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.				·	apital Gair)	(MO/DAYR) or Quarterly.											
Capital Ga	ins: If a saled gains" box,	Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain more on Capacita e.	185 0		al Sale	ange	k Box if Ca eded \$200	weekly, if applicable			001- ,000	,001- ,000	,001- ,000	,001- 00,000	00,001- 00,000	00,001- 000,000	000,001-	\$50,000,0	\$1,000,00 use/DC A
* Column *	is for assets	*Column K is for assets solely held by your spouse or dependent child.	Purc	Sale	Parti	Exch	Chec		\$1,0 \$15,	\$15, \$50,	\$50, \$100					\$25	\$50.		
SP, DC, JT		Asset																	
48	Example	Mega Corp. Stock			×		х	35/14		×									
SP	Morgan	Morgan Stanley account transactions continued																	
	Honeyv	Honeywell international Inc	ס					8/12/15				×							
	Apple Inc	nc	ס					8/12/15				×							
	Chevro	Chevron Corporation		S				8/12/15					×						
	iShares	iShares TIPS Bond ETF		S				8/21/15				×			L .				
	Costco	Costco Wholesale Corp		S			×	8/25/15				×							
	Goldma	Goldman Sachs Group Inc	ס					8/25/15			×								L.,
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	Abbott	Abbott Laboratories		S			×	9/21/15				×			_				
	America	American Express Co		S				9/21/15			-	×							
	Amgen Inc	n Inc		S			×	9/21/15				×							
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Name: Diane Lynn Black Page 35 of 41

Report any reporting by dependent resulted in Exclude its Exclude its purchase on a portion of Capital Gall the capital the capital the Column K SP, DC, JT SP SP	Report any purchase, sale, or exchain reporting period of any security or redependent child loss. Then to resulted in a capital loss. Then to resulted in a capital loss. Then you purchase or sale of your personal resid a portion of an asset is sold, please che capital gains: hox, unless it was a the capital gain income on Schedule A. *Column K is for assets solely held by SP, DC, JT SP Example Morgan Stanley acu	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property had by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a phief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless if generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. *Column K is for assets solely held by your spouse or dependent child. SP, DC, JT Asset Mega Corp. Stock Connecast Corporation	Purchase	Sale Of I	Sale Sale Partial Sale	Exchange	Check Box if Capital Gain Exceeded \$200	Date (MO/DAYR) or Cuarterly, Monthly, or Bi-weeldy, if applicable 3/5/14	\$1,001- \$15,000 >>	× \$15,001- \$50,000 œ	\$50,001- \$100,000		\$250,001- \$500,000 m	\$500,001- \$1,000,000	\$1,000,000 Trans	I I I de son de la III I I I I I I I I I I I I I I I I	\$25,000,000 ±	\$5,000,001-
SP &	Example Morroan C	Mega Corp. Stock			×		×	3/5/14		×			_					
SP	Morgan S	stanley account transactions continued											+					
	Comcas	Comcast Corporation		S				9/21/15				×						
	Crown (Crown Castle International Corp		S			×	9/21/15			×							
	Delphi /	Delphi Automotive PLC		S				9/21/15			×							
	EOG R	EOG Resources inc		S				9/21/15			×							
	Estee La	Estee Lauder Cos Inc		S			X	9/21/15				×						
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	Goldma	Goldman Sachs Group Inc		S			X	9/21/15				×						
	Google I	Google Inc Class A		S			×	9/21/15				×						
	Home Depot Inc	epot Inc		S			×	9/22/15				×						
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	Linked In Corp	пСогр		S				9/21/15				×						

Name: Diane Lynn Black Page 36 of 41

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dependent resulted in Exclude trepurchase of a portion of	dependent child for investment or the production of income, include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.				ital Gain	(MO/DAYR) or Quarterly.	>	₽	c	0	m	п	۵	Ι	-	_ 0	
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* Column K	* Column K is for assets solely held by your spouse or dependent child.	Pun		Exc			\$1,0 \$15									Ove	
SP, DC, JT	Asset		_														
æ	Example Mega Corp. Stock		×		×	3/5/14		×									
SP	Morgan Stanley account transactions continued		-														
	Macys Inc	S				9/21/15			-	×							
	Mastercard Inc	s			×	9/21/15				×							
	McKesson Corp	S			×	9/21/15				×							
	Monsanto Company	s				9/21/15				×							
	Nextera Energy Inc	S	•		×	9/21/15				×							
	Oracle Corp	S				9/21/15				×							<u> </u>
	PepsiCo Inc	S			×	9/21/15			-	×							
	Pimco Income Class P	S				9/21/15											×
	Prologis Inc	S				9/22/15				×							
	Prudential Financial Inc	S				9/22/15				×						<u> </u>	
	Schlumberger Ltd	S				9/21/15		,	_	×							
-	Starbucks Corp	s	<u>-</u>		×	9/21/15				×							
	Starwood Hotels & Resorts	S				9/21/15			•	×							
	Thermo Fisher Scientific	S			×	9/21/15				×							
	Union Pacific Corp	S				9/21/15			-	×						<u></u>	
	United Technologies Corp	S				9/21/15			-	×							
	Visa Inc	S			×	9/21/15				×							
	Wells Fargo Company	S			×	9/21/15				×							

Name: Diane Lynn Black Page 37 of 41

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Name: Diane Lynn Black Page 37A of <u>4</u>

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^{*} This page added by amendment

** Also called MS Contingent 8.2% Autocall on Under Amour Inc.

Use additional sheets if more space is required.

Name: Diane Lynn Black Page 37B of 41

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								American Legacy Lincoln New World	American Legacy Lincoln International		UBS Contingent 11.7% on Autocall on Dow	UBS Contingent 11.7% on Autocall on Dow	JPM Plus Based on Value of S&P 500 Index	Morgan Stanley account transactions continued	Mega Corp. Stock	Asset	Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. *Column K is for assets solely held by your spouse or dependent child.	dependent chief for investment or the production of income. Include transactions that dependent chief for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated entral income. If only a portion of an asset is sold, please choose 'partial sale' as the type of transaction.	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you your spouse, or your
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^{*} This page added by amendment

SCHEDULE C - EARNED INCOME

Name: Diane Lynn Black Page 38 of 41

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.	the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.	totaling \$200 or more during the reporting period
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					Veterans Administration	Aegis Sciences Corp	Ļ	Examples: State of Maryland	Keene State	Source (include date of receipt for honoraria)	INCOME LIMITS and PROHIBITED INCOME: The 2015 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,225. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.
					Spouse VA disability	Spouse Salary	Spouse Salary	Legislative Pension	Approved Teaching Fee	Туре	ensated at or above the "senior staff" rate re totally prohibited.
					N/A	N/A	N/A	\$18,000	\$6,000	Amount	e was \$27,225. In addition, certain

SCHEDULE D - LIABILITIES

Name: Diane Lynn Black	
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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000.

*Column K is for liabilities held solely by your spouse or dependent child.

JŢ	ب	<u>ر</u>		₽		1
-		_		SP, DC, JT		
JP Moi	JP Moi	JP Mor	Example			
JP Morgan Chase (refinance)	JP Morgan Chase (refinance)	JP Morgan Chase	First Bank of Wilmington, DE	Creditor		
Apr 2013	June 2012	Mar 2012	5/98	Date Liability Incurred MO/YR		
Mortgage on 100 Athens Way, 336 Hill Avenue, and 365, 501, 515, and 521 Great Circle Road	Mortgage on 100 Athens Way, 338 Hill Avenue, 515 Great Circle Road, 365 Great Circle Road	Mortgage on 501 Great Circle Road and 540 Mainstream	Mortgage on Rental Property, Dover, DE	Type of Liability		
				\$10,001- \$15,000	>	
				\$15,001- \$50,000	8	
				\$50,001- \$100,000	C	
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				Over \$50,000,000	۲	
				Over \$1,000,000* (Spouse/DC Liability)	*	

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Member	Ebon Falcon LLC
Limited Partner and Member	Empirical Capital Partners, LP

SCHEDULE H - TRAVEL PAYMENTS and REIMBURSEMENTS

Name:
Diane Lynn Black
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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$375 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to

	Source	Date(s)	City of Departure-Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
	Government of China (MECEA)	Aug. 6-11	DC-Beijing, China-DC	٧	۲	Z
CXGIIQNES	Habitat for Humanity (charity functraiser)	Mar. 3-4	DC-Boston-DC	Υ	~	٧
CARE		Feb. 16-19	Nashville-Miami-Guaternala City Guaternala City-Miami-Baltimore	Y	~	Z
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			4*	ω	N			1	NOTE NUMBER
			Global Investment Solutions Applied Equity Strategies, see notes 1 and 3 above, also includes JP Morgan Chase & Co, B/E Aerospace Inc, and Schlumberger Ltd. Thus, any purchase or sale of these assets is not included in this Report, although they were reported on a Periodic Transaction Report.	Transactions of assets held within Global Investment Solutions Applied Global Equity Strategies were reported on several Periodic Transaction Reports but as they are held in an excepted investment fund, see note 1, these transactions are not included in this Report.	On February 25, 2015, the interests in Aegis Sciences 401k were transferred from American Funds to New York Life/John Hancock. Some of the proceeds were invested in three of the same funds which were part of the American Funds portfolio; the remaining proceeds were invested in three new funds denominated with a "P".	This fund is publicly traded, has over 100 investors, and neither the filer nor the filer's spouse has any control over the investments in this fund.	Aercap Holdings N.V., Ryan Air Holding LC, Toyota Mtr Corp, Jarden Corp, CVS Health Corp, Seven & I Holdings Co Ltd, British American Tob PLC, Biogen IDEC Inc, Grifols SA, Shire PLC, UBS Group AG, Allied World Assurance Co, Yahoo, Taiwan Semiconductor Mfg Co, and BT Group PLC. Orix Corp was reported on a PTR as having been purchased	Global Investment Solutions Applied Equity Strategies is an excepted investment fund. In previous reports, individual assets held in this account were reported on Schedule A. Those assets include Bristow Group Inc, Phillips 66 WI, KLX Inc, Activis, Am Trust Financial Services, Northrop Grumman, Lloyds, Sumitomo Mitsui Fin Group Inc A,	NOTES