



Filing ID #10005442

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Charles W. Dent  
**Status:** Member  
**State/District:** PA15

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2014  
**Filing Date:** 06/15/2015

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
529 Prepaid Tuition Plan	DC	Undetermined	Tax-Deferred		<input type="checkbox"/>
LOCATION: PA DESCRIPTION: A 529 College Savings Account was set up by my children's maternal grandparents to benefit my children. I am not a beneficiary of the 529 College Savings Account. I have no control over the assets and do not know any details regarding the 529 College Savings Account assets.					
AFLAC Incorporated (AFL)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
AIM Growth Convertible Securities	DC	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Alliance Bernstein Funds Large cap Growth	DC	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Alliance Bernstein Growth & Income Fund CL A	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Reinvestment					
Alliance Bernstein Large-Cap Growth Fund Class A	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Reinvestment					
American Bank Account	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
American Euro Pacific -- Growth Fund (IRA)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Bank of America (Checking)	JT	\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Bank of America Corporation (BAC)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Reinvestment					
Blackrock Equity - Dividend Fund (IRA)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ellsworth Conv Growth & Income Fund	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Ellsworth Conv Growth & Income Fund	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Ellsworth Conv Growth & Income Fund	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Ellsworth Fund Ltd (IRA)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
Gabelli Equity Trust (IRA)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
Gabelli Utilities Trust (IRA)		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
Invesco Bond Fund (IRA)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
I-Shares Global Telecom (IRA)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
I-Shares High Dividend Index Fund ETF (Roth IRA)	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
I-Shares Select Dividend DVY Index Fund ETF	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
I-Shares Select Dividend Index Fund ETF	JT	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
I-Shares Select Dividend Index Fund ETF	DC	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
I-Shares Select Dividend Index Fund ETF	DC	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
I-Shares Select Dividend Index Fund ETF (IRA)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Lafayette-Ambassador Bank --savings	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Lafayette-Ambassador Bank --savings	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Lafayette-Ambassador Bank --savings	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Legg Mason Clrbrdg Equity Fund CL O	DC	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Merrill Lynch Cash Sweep (IRA)	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
MFS Growth Fund CL C (IRA)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Oppenheimer Flexible Strategies Fund	DC	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
PA Deferred Comp - Aggregate Bond Index (Not Self Directed)		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
PA Deferred Comp - Extended Market Fund (Not Self Directed)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
PA Deferred Comp - Stock Index Fund (Not Self Directed)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
PA State Employees Retirement System (Not Self Directed)		Undetermined	Tax-Deferred		<input type="checkbox"/>
PIMCO FD PAC Invt MGMT ALL ASSET FD CL C	JT	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
PIMCO Low Duration Fund (IRA)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Power Shares Int DVD ET	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SPDR S&P Dividend ET (IRA)		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Reinvestment					
TIAA-CREF Stock Fund 403(B) Plan		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
TIAA-CREF Traditional (403B)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
Vanguard Consumer Staples ETF (ROTH IRA)	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Vanguard Dividend Appreciation ET (IRA)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
Vanguard Dividend Appreciation VIG ET (IRA)	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Short-Term Bond ETF (IRA)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
Vanguard Utilities ETF (IRA)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
Wells Fargo Advisors - Bank Sweep	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Advisors - Cash Sweep (Beneficial IRA)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells-Fargo Advisors - Cash Sweep	DC	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells-Fargo Advisors - Cash Sweep	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells-Fargo Advisors - Cash Sweep	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells-Fargo Advisors - Cash Sweep (IRA)		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Wells-Fargo Advisors -- Cash Sweep (Roth IRA)	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AIM Growth Convertable Securities	DC	10/23/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Alliance Bernstein Funds Large cap Growth	DC	07/23/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
I-Shares Select Dividend EITF	JT	08/14/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
I-Shares Select Dividend EITF	DC	08/18/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
I-Shares Select Dividend EITF	DC	07/8/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Legg Mason Partners Equity Clearbrige	DC	06/23/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Oppenheimer Flexible Strategies Fund	DC	07/23/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
PIMCO Fund PAC Invt MGMT ALL ASSET FUND	JT	07/7/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SPDR S&P Dividend (IRA)		12/31/2014	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvestment					

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Commonwealth of PA Employees Retirement System (Pension not self-directed)	PA Legislature Pension	\$16,439

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Ocwen Loan Servicing LLC	October 2012	Mortgage on first & second residence	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Crime Victims Council of the Lehigh Valley
Member	Advisory Board of the Minsi Trails Council Boy Scouts of America

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2014	Charles W Dent & Commonwealth of PA	Health Insurance provided by Highmark Blue Shield
January 2014	Charles W Dent & Commonwealth of PA	Continued Participation in Pension Plan

## SCHEDULE G: GIFTS

Source	Description	Value
Mr. David Jaindl (Allentown, PA, US)	Flight to/from Nantucket, MA	\$5,500
COMMENTS: Mr. David Jaindl – Flight for Member and spouse from Allentown, PA to Nantucket, MA (determination of personal friendship received from the Ethics Committee on 09/03/14).		

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
U.S. Association of Former Members Congress	01/20/2014	01/21/2014	Allentown, PA - New York City, New York - Allentown, PA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
U.S. Association of Former Members of Congress Sasakawa Peac	02/15/2014	02/22/2014	Newark, NJ - Tokyo & Kyoto, Japan - Newark, NJ	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
U.S. Association of Former Members of Congress	06/1/2014	06/5/2014	Newark, NJ - Berlin/Frankfurt, Germany - Newark, NJ	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
U.S. Association of Former Members of Congress, Stiftung Atl	09/13/2014	09/16/2014	Bethlehem, PA - Harrisburg, PA - No Return Destination	0	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Charles W. Dent , 06/15/2015