

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

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HAND DELIVERED

Richard A. (Rick) Berg

(Full Name)

(Daytime Telephone)

1501 ACTIVE RESOURCE CENTER
1013 MAY -3 AM 10:27
(Office Use Only)

FM

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: ND District: 1	<input type="checkbox"/> Officer Or Employee	Employing Office:	A \$200 penalty shall be assessed against anyone who files more than 30 days late.
Report Type	<input type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input checked="" type="checkbox"/> Termination	Termination Date: 11/2/2013	

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name Richard A. (Rick) Berg

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
U.S. House of Representatives	Salary	\$152,353
Independent Family Doctors, Ltd.	Spouse Salary	N/A
Cattle Ranch - Oliver County, ND	Spouse Cattle Ranch	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Richard A. (Rick) Berg

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any domestic liability to you or your spouse in a personal obligation or</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
	Weekare Bldg. (30%) 2302 30 1/2 Ave So Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Farmland-Benson County, ND	\$500,001 - \$1,000,000	RENT	\$5,001 - \$15,000	
SP	Farmland-Oliver County, ND	\$500,001 - \$1,000,000	RENT	\$15,001 - \$50,000	
	Residential Land-Washburn, ND	\$15,001 - \$50,000	None	NONE	
	INREIT Real Estate Investment Trust	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	
SP	Independent Family Doctors-Fargo, ND	\$15,001 - \$50,000	S Corp Income	\$15,001 - \$50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	Goldmark Schlossman VC Partners-Venture Capital-Fargo, ND	\$1,001 - \$15,000	Partnership Income	NONE	
	Intelligent InSites, LLC-Software-Fargo, ND	\$15,001 - \$50,000	LLC Income	NONE	
	Loan to Campaign	\$50,001 - \$100,000	None	NONE	
	Ntractive, LLC-Software-Grand Forks, ND	\$15,001 - \$50,000	LLC Income	NONE	
	Dacotah Cattle Growers LLC (Cow Share LLP)-Bismarck, ND	\$50,001 - \$100,000	LLC Income	NONE	
	College Save Section 529 Plan-Growth Portfolio	\$50,001 - \$100,000	None	NONE	
	Starion Financial accounts	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
	Loan to Kelly Zander-Fargo, ND	\$100,001 - \$250,000	INTEREST	\$15,001 - \$50,000	
	Ramsey National Bank account	\$100,001 - \$250,000	INTEREST	\$1 - \$200	
	Loan to Amber Holdings, LLC-Fargo, ND	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	
	Loans to Jesse Craig-Fargo, ND	\$500,001 - \$1,000,000	INTEREST	\$5,001 - \$15,000	
	Loans to Westwind Properties-Fargo, ND	\$100,001 - \$250,000	INTEREST	\$15,001 - \$50,000	
	Loans to David Gille-Moorhead, MN	\$100,001 - \$250,000	INTEREST	\$15,001 - \$50,000	
	Choice Financial account-Fargo, ND	\$1,001 - \$15,000	INTEREST	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	Bremer Bank account-Fargo, ND	\$1 - \$1,000	INTEREST	NONE	
	Dakota Real Estate Investment Trust-Fargo, ND	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
	Bell State Bank & Trust accounts	\$250,001 - \$500,000	INTEREST	\$201 - \$1,000	
SP	401(k) Plan account-SBT Income With Growth	\$50,001 - \$100,000	None	NONE	
SP	Cattle-Oliver County, ND	\$500,001 - \$1,000,000	Spouse Earned Income-Sch I	NONE	
SP	Ranch Equipment-Oliver County, ND	\$500,001 - \$1,000,000	Spouse Earned Income-Sch I	NONE	

	State Bankshares, Inc stock	\$250,001 - \$500,000	DIVIDENDS	\$2,501 - \$5,000	
JT	Irrevocable Life Insurance Trust-Bell State Bank & Trust	\$15,001 - \$50,000	None	NONE	
	UBS Sep account-See attached statement	\$100,001 - \$250,000	None	NONE	P
SP	UBS Sep account-See attached statement	\$250,001 - \$500,000	None	NONE	P
	INREIT Properties LLLP-Minot, ND-Real Estate	\$1,000,001 - \$5,000,000	Partnership Income	\$50,001 - \$100,000	
	Marelius Apartments-Apt. Building-Horace, ND	\$50,001 - \$100,000	RENT	\$5,001 - \$15,000	
	Northern Plains Capital stock-Bismarck, ND	\$50,001 - \$100,000	None	NONE	
	Summit Partners, LLP-Apt. Building-Fargo, ND	\$15,001 - \$50,000	RENT	\$5,001 - \$15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Renaissance Ventures, LLC- Fargo, ND-Business Investments	None	LLC Income	NONE	S
Braaten Apartments, LLP-Apt. Building-West Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
SG Five, LLP-Sign-Fargo, ND	\$15,001 - \$50,000	RENT	\$2,501 - \$5,000	
Jimberg Partners, LLP-Comm. Building-Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Jimberg Partners, LLP-Net Value Real Estate Mortgages	\$1,000,001 - \$5,000,000	Partnership Income	\$100,001 - \$1,000,000	
Westwind Properties, LLP-Apt. Bldg. #1-West Fargo, ND	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
Westwind Properties, LLP-Apt. Bldg. #2-Fargo, ND	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
Westwind Properties, LLP-Apt. Bldg. #3-Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Westwind Properties, LLP-Apt. Bldg. #4-Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Westwind Properties, LLP-Apt. Bldg. #5-Fargo, ND	\$50,001 - \$100,000	RENT	\$15,001 - \$50,000	
Westwind Properties, LLP- Apt. Bldg. #6-Fargo, ND	\$50,001 - \$100,000	RENT	\$5,001 - \$15,000	
Westwind Properties II, LLP- Apt. Bldg. #1-Moorhead, MN	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Westwind Properties II, LLP- Apt. Bldg. #2-Moorhead, MN	None	RENT	\$15,001 - \$50,000	S
Westwind Properties II, LLP- Apt. Bldg. #3-Moorhead, MN	None	RENT	\$15,001 - \$50,000	S

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	Wheelberg Partners, LLP- Airplane-Fargo, ND	\$100,001 - \$250,000	Partnership Income	NONE	
	Fay Land Partners, LLP-Land- Fargo, ND	\$500,001 - \$1,000,000	Partnership Income	\$50,001 - \$100,000	
	Farm House Partners, LLP-Apt. Bldg.-Fargo, ND	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
	High Point Partners, LLP-Apt. Bldg.-Fargo, ND	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
	Red Gate Apartments, LLP- Apt. Bldg. #1-West Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Red Gate Apartments, LLP- Apt. Bldg. #2-West Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	GF Corporation-Comm. Bldg. #1-Fargo, ND	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
	GF Corporation-Comm. Bldg. #2-Fargo, ND	\$250,001 - \$500,000	RENT	\$5,001 - \$15,000	
	TAG, LLC-Comm. Bldg. #1- Fargo, ND	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
	TAG, LLC-Comm. Bldg. #2- Fargo, ND	\$500,001 - \$1,000,000	RENT	\$15,001 - \$50,000	
SP	ND Natural Beef, LLC-Beef Processor-Fargo, ND (Dissolved in 2012)	None	LLC Income	NONE	
SP	Wells Fargo Bank account	\$100,001 - \$250,000	INTEREST	NONE	
	Kenwood Rosewood Apartments, LLC-Apt. Bldg. #1- Des Moines, IA	\$250,001 - \$500,000	RENT	\$100,001 - \$1,000,000	

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Kenwood Rosewood Apartments, LLC-Apt. Bldg. # 2-Des Moines, IA	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
1520 Partners, LLP-Comm. Bldg.-West Fargo, ND	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
Ag Foundation Building, LLP-Comm. Bldg.-Bismarck, ND	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
Case Plaza, LLC-Comm. Bldg.-Fargo, ND	\$1,000,001 - \$5,000,000	RENT	\$100,001 - \$1,000,000	
Northwestern Mutual Life-Life Insurance Cash Value-Whole Life	\$1,001 - \$15,000	None	NONE	
Midland Insurance-Life Insurance Cash Value-Universal Life	\$15,001 - \$50,000	None	NONE	
Waddell & Reed-Section 529 Plan-Invested Balanced Portfolio A	\$1,001 - \$15,000	None	NONE	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #1-Anthon, IA	\$50,001 - \$100,000	RENT	\$5,001 - \$15,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #2-Bismarck, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #3-Blairtown, IA	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #4-Britton, SD	\$15,001 - \$50,000	RENT	\$2,501 - \$5,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #5-Casselton, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	

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Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #6-Des Moines, IA	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #7-Elbow Lake, MN	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #8-Fergus Falls, MN	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #9-Graceville, MN	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #10-Grand Forks, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #11-Grundy Center, IA	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #12-Highmore, SD	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #13-Huron, SD	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #14-Kulm, ND	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #15-LaMoure, ND	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #16-Lidgerwood, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	

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Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #17- Lincoln, NE	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #18- Lisbon, ND	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. # 19- Mandan, ND	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #20- Marshall, MN	None	RENT	\$5,001 - \$15,000	S
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #21- Miller, SD	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #22- Moorhead, MN	\$500,001 - \$1,000,000	RENT	\$100,001 - \$1,000,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #23- Pipestone, MN	\$50,001 - \$100,000	RENT	\$5,001 - \$15,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #24- Richland, SD	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #25- Roseau, MN	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #26- Sioux City, IA	\$50,001 - \$100,000	RENT	\$5,001 - \$15,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #27- Sioux Falls, SD	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #28-Wahpeton, ND	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #29-Waverly, IA	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #30-Winger, MN	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #31-Wishek, ND	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #32-Crookston, MN	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #33-Pella, IA	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #34-Centerpoint, IA	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #35-Oskaloosa, IA	\$50,001 - \$100,000	RENT	\$5,001 - \$15,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #36-Iowa Falls, IA	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #37-West Fargo, ND	\$1,000,001 - \$5,000,000	RENT	\$100,001 - \$1,000,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #38-Aberdeen, SD	\$1,000,001 - \$5,000,000	RENT	\$100,001 - \$1,000,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. # 39-Aberdeen, SD	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #40-Dickinson, ND	None	RENT	\$5,001 - \$15,000	S
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #41-Duluth, MN	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #42-Highmore, SD	\$100,001 - \$250,000	RENT	\$50,001 - \$100,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #43-Shakopee, MN	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #44-Williston, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #45-Bowdle, SD	\$50,001 - \$100,000	RENT	\$201 - \$1,000	P
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #46-Ft Collins, CO	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	P
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #47-Imperial, NE	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	P
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #48-LaCrosse, WI	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	P
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #49-McCook, NE	\$100,001 - \$250,000	RENT	\$2,501 - \$5,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #50-Missoula, MT	\$250,001 - \$500,000	RENT	\$1,001 - \$2,500	P
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #51-Fargo, ND	None	RENT	\$15,001 - \$50,000	PS
Skyview Partners, LLP-Apt. Bldgs. #1 & #2-Fargo, ND	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
Skyview Partners, LLP-Apt. Bldgs. #3 & #4-Fargo, ND	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
Skyview Partners, LLP-Apt. Bldgs. #5 & #6-Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Amber Holdings, LLP-Comm. Bldg. #1-Fargo, ND	\$1,000,001 - \$5,000,000	RENT	\$100,001 - \$1,000,000	
Amber Holdings, LLP-Comm. Bldg. #2-Fargo, ND	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
JJR Properties, LLP-Apt. Bldg.-Fargo, ND	\$1,000,001 - \$5,000,000	RENT	\$100,001 - \$1,000,000	
714 Partners, LLP-Airplane-Fargo, ND	\$50,001 - \$100,000	Partnership Income	NONE	
TFRE, LLC-Real Estate-Grand Forks, ND	\$15,001 - \$50,000	LLC Income	NONE	
Toasted Frog West, LLC-Restaurant-Grand Forks, ND	\$1,001 - \$15,000	LLC Income	\$1 - \$200	
Big Bend Development, LLP-Twin Homes-Washburn, ND	\$50,001 - \$100,000	Partnership Income	\$15,001 - \$50,000	
Bauer Private Equity Fund III	\$1,001 - \$15,000	LLC Income	NONE	
Bauer Private Equity Fund IV	\$1,001 - \$15,000	LLC Income	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Loan to Camping Adventures, LLP-Fargo, ND	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
Loan to Lori Ibach-Fargo, ND	\$50,001 - \$100,000	INTEREST	\$5,001 - \$15,000	
Loan to Ag Foundation Building, LLP-Bismarck, ND	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
3451 Partners, LLP-Comm. Bldg.-Fargo, ND	\$250,001 - \$500,000	RENT	NONE	P

SCHEDULE IV - TRANSACTIONS

Name Richard A. (Rick) Berg

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	UBS Sep-See Attached Statement	P	N/A	See Statement	See Statement
SP	UBS Sep-See Attached Statement	P	N/A	See Statement	See Statement
	Renaissance Ventures, LLC	S	No	12-31-12	\$1,001 - \$15,000
	Westwind Properties II, LLP-Apt. Bldg. #2, Moorhead, MN	S	Yes	12-28-12	\$100,001 - \$250,000
	Westwind Properties II, LLP-Apt. Bldg. #3, Moorhead, MN	S	Yes	12-28-12	\$100,001 - \$250,000
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #20-Marshall, MN	S	Yes	05-01-12	\$100,001 - \$250,000
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #40-Dickinson, ND	S	Yes	03-30-12	\$250,001 - \$500,000
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #45-Bowdle, SD	P	N/A	12-01-12	\$50,001 - \$100,000
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #46-Ft. Collins, CO	P	N/A	07-19-12	\$100,001 - \$250,000
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #47-Imperial, NE	P	N/A	12-01-12	\$100,001 - \$250,000
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #48-LaCrosse, WI	P	N/A	01-26-12	\$100,001 - \$250,000

SCHEDULE IV - TRANSACTIONS

Name Richard A. (Rick) Berg

Page 16 of 19

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #49-McCook, NE	P	N/A	09-01-12	\$100,001 - \$250,000
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #50-Missoula, MT	P	N/A	12-01-12	\$250,001 - \$500,000
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #51-Fargo, ND	P	N/A	04-01-12	\$250,001 - \$500,000
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #51-Fargo, ND	S	Yes	10-01-12	\$500,001 - \$1,000,000
	3451 Partners, LLP-Comm. Bldg.-Fargo, ND	P	N/A	12-01-12	\$250,001 - \$500,000

SCHEDULE V - LIABILITIES

Name Richard A. (Rick) Berg

Page 17 of 19

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
SP	Wells Fargo Equipment Finance	June 2009	Farm Equipment Loan	\$10,001 - \$15,000
	Starion Financial	February 2009	Business Line of Credit	\$250,001 - \$500,000
	Ramsey National Bank	February 2005	Business and Real Estate Loans	\$500,001 - \$1,000,000
	Bremer Bank	June 2007	Business Loan	\$15,001 - \$50,000
SP	Wells Fargo Bank	August 2010	Farm Line of Credit	\$15,001 - \$50,000

SCHEDULE VIII - POSITIONS

Name Richard A. (Rick) Berg

Page 18 of 19

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	See Attached Listing-Partnerships
Member in Limited Liability Company	See Attached Listing-LLCs
Director	GF Corporation-Fargo, ND

FOOTNOTES

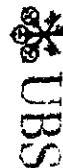
Name Richard A. (Rick) Berg

Page 19 of 19

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule V	Liabilities of business entities and mortgages/loans on rental or investment property held in a partnership, LLC or S Corporation are not included pursuant to specific reporting instructions-page 26	
2	Schedule III	Asset values from partnerships, LLCs and S Corporations reflect the filer's percentage of ownership interest.	
3	Schedule III	Amount of income is not required to be reported, since it is spouse's earned income from a cattle ranch-see Schedule I	Cattle-Oliver County, ND
4	Schedule III	Amount of income is not required to be reported, since it is spouse's earned income from a cattle ranch-see Schedule I	Ranch Equipment-Oliver County, ND

Member in LLCs (Limited Liability Companies)

Goldmark Schlossman VC Partnership, LLP
INREIT Properties, LLP
Marelius Apartments
Summitt Partners LLP
Braaten Apartments, LLP
SG Five LLP
Jimberg Partners LLP
Westwind Properties LLP
Westwind Properties II, LLP
Wheibelberg Partners LLP
Fay Land Partners, LLP
Farm House Partners
High Point Partners LLP
Red Gate Apartments LLP
Kenwood-Rosewood Apartments LLP
1520 Partners LLP
Ag Foundation Building LLP
Old Abe Capital, LLP
Skyview Partners LLP
Amber Holdings LLP
JJR Properties LLP
714 Partners LLP
Bauer Private Equity Fund III
Bauer Private Equity Fund IV
Big Bend Development, LLP
Cow Share LLP
Camping Adventures, LLP
Old Abe Two Capital
3451 Partners, LLP



UBS Financial Services Inc.
51 Broadway
Fairfax, VA 22031-4933

APR2013090967 1212 Y123 RD 0

Retirement Account

UBS Strategic Advisor

December 2012

Your Financial Advisor:

[REDACTED]

Questions about your statement?

Call your Financial Advisor or the
RMA ResourceLine at 800-874-1000,
account 211.004.779.

Visit our website:

www.ubs.com/financialservices

Account name: RICHARD A BERG

SEP

Account type: Simplified Employee Pension

Account number: [REDACTED]

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, discuss with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in important information about your statement at the end of this document.

Your return objective:

Capital appreciation

Your risk profile:

Primary - Aggressive

Secondary - None selected

Value of your account

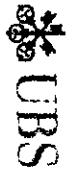
	on November 30 (\$)	on December 31 (\$)
Your assets	214,944.15	219,839.02
Your liabilities	0.00	0.00
Value of your account	\$214,944.15	\$219,839.02

Your account instructions

- UBS Financial Services Inc. is your custodian.
- Your account cost basis default closing method is FIFO. (FIFO: First In, First Out)

Change in the value of your account

	December 2012 (\$)	Year to date (\$)
Opening account value	\$214,944.15	\$196,022.67
Withdrawals and fees, including investments transferred out	0.00	-2,807.96
Dividend and interest income	5,041.65	7,208.80
Change in market value	-146.78	19,415.51
Closing account value	\$219,839.02	\$219,839.02



UBS Strategic Advisor
December 2012

Account name:
Account type:
Account number:

RICHARD A. BERG
Simplified Employee Pension

Your Financial Advisor

Cash activity summary

See the section "Account activity this month for details." UBS Bank USA deposit account balances are included in the opening and closing balances value, are issued by the FDIC within applicable limits, and are not protected by FDIC. See "Important information about your statement" at the end of this document for details about those balances.

	December 2012 (\$)	Year to date (\$)
Opening balances	\$23,178.93	\$25,958.07
Additions		
Dividend and interest income	5,041.65	7,208.80
Total additions	\$5,041.65	\$7,208.80
Subtractions		
Professional management fees and related services	0.00	-2,807.96
Funds withdrawn for investments bought	-5,038.74	-7,177.07
Total subtractions	-\$5,038.74	-\$9,985.03
Net cash flow	\$2.91	-\$2,776.23
Closing balances	\$23,181.84	\$23,181.84

Dividend and interest income earned

For purposes of this statement, tangibility of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2012 (\$)	Year to date (\$)
Dividends	5,041.65	7,053.74
Total current year	\$5,041.65	\$7,053.74
Prior year adjustment	0.00	155.06
Total dividend & interest	\$5,041.65	\$7,208.80

Summary of gains and losses

Values reported below exclude products for which gains and losses are not calculated.

	December 2012 (\$)	Year to date (\$)	Unrealized gains and losses (\$)
Short term	0.00	0.00	168.02
Long term	0.00	0.00	18,092.03
Total	\$0.00	\$0.00	\$18,260.05

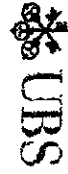
Retirement services information

2012 Year-End valuation statement - Form 5498

Retain this statement as your year-end valuation. If your Asset portfolio includes insurance products, unaffiliated Private investments or if you own Outside assets that do not appear on this statement, we will automatically provide you with an updated year-end valuation in January 2013. This 2012 year-end value of your IRA, for the updated value, if applicable, will be furnished to the Internal Revenue Service.

December 31 value

\$219,839.02



UBS Strategic Advisor
December 2012

Account name: RICHARD A BERG
Account type: Simplified Employee Pension
Account number: [REDACTED]

Your Financial Advisor: [REDACTED]

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See important information about your statement at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 31 (S)	Closing balance on Dec 31 (S)	Price per share on Dec 31 (S)	Average rate	Dividend/interest period	Days in period
UBS LIQUID ASSETS FUND	23,178.93	23,181.84	1.00	0.15%	Nov 26 to Dec 23	28

Equities

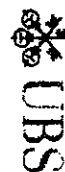
Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purpose of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.
Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain/loss is the difference between the current value and the cost basis, and would generally be your taxable gain or loss if the security was sold on the date. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.
Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price per share (S)	Client investment (S)	Cost basis (S)	Price per share on Dec 31 (S)	Value on Dec 31 (S)	Unrealized gain/ loss (S)	Investment return (S)	Holding period
ALLIANZ HFJ DIVIDEND									
VALUE FUND CLASS A									
Symbol: PNEAX									
Trade date: Dec 30, 05	1,674,125	14.250	23,822.86	23,822.86	12.640	21,160.99	-2,661.87		1T
Total reinvested	656,809	12.384		8,134.47	12.640	8,302.06	167.59		
FYAT: 5.722 % current yield: 2.47%									
Security total	2,330,934	13.710	23,822.86	31,957.33		29,463.05	-2,494.28	5,640.19	
AMERICAN FUNDS									
SMALL CAP WORLD F-1									
Symbol: SCWEFX									
Trade date: Aug 21, 03	351,339	22.770	8,000.00	8,000.00	39.570	13,907.48	5,907.48		1T
Trade date: May 24, 04	97,269	26.729	2,600.00	2,600.00	39.570	3,848.93	1,248.93		1T
Trade date: Nov 9, 04	137,979	28.989	4,000.01	4,000.01	39.570	5,459.83	1,459.82		1T

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UBS Strategic Advisor
December 2012

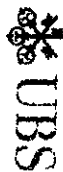
Account name: RICHARD A BERG
Account type: Simplified Employee Pension
Account number: [REDACTED]

Your Financial Advisor: [REDACTED]

Your assets, Equities, Mutual Funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment Holding Return (%)
Trade date: Jan 26, 06	213,618	37.450	8,000.00	8,000.00	39.570	8,452.86	452.86	LT
Total reinvested	270,654	38.716		10,477.10	39.570	10,709.78	232.68	
EAI: \$590 Current yield: 1.39%								
Security total	1,070,859	30.886	22,500.01	33,077.11		42,373.89	9,296.77	19,773.87
AMERICAN FUNDS GROWTH								
FUND OF AMER F-1								
Symbol: GFATX								
Trade date: Aug 21, 03	407,424	22.090	9,000.00	9,000.00	34.140	13,909.45	4,909.45	LT
Trade date: May 24, 04	121,803	24.629	3,000.00	3,000.00	34.140	4,158.35	1,158.35	LT
Total reinvested	100,898	31.140		3,142.00	34.140	3,444.65	302.65	
EAI: \$178 Current yield: 0.83%								
Security total	630,125	24.030	12,000.00	15,142.00		21,512.46	6,370.46	9,512.46
FIRST EAGLE OVERSEAS								
FUND CLASS A								
Symbol: SGOVX								
Trade date: Oct 1, 03	413,223	16.940	7,000.00	7,000.00	22.020	9,089.17	2,089.17	LT
Trade date: Mar 12, 04	79,915	18.769	1,500.00	1,500.00	22.020	1,759.73	259.73	LT
Trade date: May 24, 04	161,551	18.569	3,000.00	3,000.00	22.020	3,557.36	557.36	LT
Trade date: Nov 9, 04	193,611	20.653	4,000.00	4,000.00	22.020	4,263.31	263.31	LT
Trade date: Jan 26, 06	415,455	24.069	10,000.00	10,000.00	22.020	9,148.32	-851.68	LT
Total reinvested	1,085,167	21.498		23,320.69	22.020	23,895.49	565.79	
EAI: \$618 Current yield: 1.19%								
Security total	2,348,922	20.788	25,500.00	48,829.68		51,723.26	2,893.58	25,223.26
ROYCE MICRO-CAP FUND								
Symbol: RYOTX								
Trade date: Sep 25, 03	728,863	13.719	10,000.00	10,000.00	14.780	10,772.60	772.60	LT
Total reinvested	733,773	15.029		11,103.14	14.780	10,519.06	-184.08	
Security total	1,462,636	14.379	10,000.00	21,103.14		21,691.66	388.52	11,091.66
ROYCE OPPORTUNITY FUND								
Symbol: RYOPX								
Trade date: Mar 12, 04	117,822	12.729	1,500.00	1,500.00	11.950	1,405.09	-94.91	LT

CONTINUED Next Page



UBS Strategic Advisor
December 2012

Account name: RICHARD A BERN
Account type: Simplified Employee Pension
Account number: [REDACTED]

Your Financial Advisor: [REDACTED]

Your assets, Equities, Mutual funds (continued)

Holding	Number of shares	Purchase price Average price per share (\$)	Cost Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain 2012 or loss (\$)	Investment return (\$)	Holding period
Total reinvested	97,624	11.083	1,082.06	1,082.06	11.950	1,166.60	84.54		
Security total	215,456	11.984	1,500.00	2,582.06		2,574.69	-7.37	1,074.69	
Total			\$95,422.87	\$152,691.32		\$169,339.01	\$16,647.68	\$73,916.14	
Total estimated annual income: \$2,113									

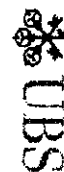
Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot. Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold for the same. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes. Investment return is the current value minus the amount you invested. It does not include share that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price Average price per share (\$)	Cost Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain 2012 or loss (\$)	Investment return (\$)	Holding period
EATON VANCE INCOME FUND OF BOSTON CLASS A									
Symbol: EVBX									
Trade date: Nov 21, 02	1,113,173	5.389	6,000.00	6,000.00	6.000	6,679.04	679.04		11
Trade date: Mar 18, 03	892,857	5.600	5,000.00	5,000.00	6.000	5,357.14	357.14		17
Total reinvested	2,546,990	5.773		14,705.80	6.000	15,281.99	576.19		
EAT \$1.303 Current yield: 6.60%									
Security total	4,553,029	5.646	11,000.00	25,705.80		27,318.17	1,612.37	16,318.17	



UBS Strategic Advisor
December 2012

Account name:
Account type:
Account number:

RICHARD A BERG
Simplified Employee Pension

Your Financial Advisor

Your assets (continued)

Your total assets

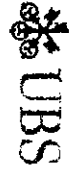
	Cash and money balances	Value on Dec 31, 12	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash		23,181.84	10.54%	23,181.84		
Equities	Mutual funds	169,339.01	77.03%	152,691.32	2,113.00	18,647.68
Fixed income	Mutual funds	27,318.17	12.43%	25,703.80	1,803.00	1,614.37
Total		\$219,839.02	100.00%	\$201,578.96	\$3,916.00	\$10,262.05

Account activity this month

For more information about the price/value shown for restricted securities, see Important information about your statement at the end of this document.

Date	Activity	Description	Your expense code	Quantity	Price/Value (\$)	Cost	Unrealized gain or loss (\$)
Nov 30		Cash and money balance					
Dec 3	Dividend	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 11/30/12					125.56
Dec 3	Reinvestment	EATON VANCE INCOME FUND OF BOSTON CLASS A DIVIDEND REINVESTED AT 5.95 NAV ON 11/30/12 AS OF 11/30/12		22.783			-135.56
Dec 7	ST Cap Gain	ROYCE OPPORTUNITY FUND SHORT TERM CAPITAL GAIN AS OF 12/06/12					26.80
Dec 7	ST Cap Gain	ROYCE OPPORTUNITY FUND LONG TERM CAPITAL GAIN AS OF 12/06/12					109.53
Dec 7	Reinvestment	ROYCE OPPORTUNITY FUND ST CAP GAINS REINVESTED AT 11.42 NAV ON 12/06/12 AS OF 12/06/12		2.347			-26.80
Dec 7	Reinvestment	ROYCE OPPORTUNITY FUND LT CAP GAINS REINVESTED AT 11.42 NAV ON 12/06/12 AS OF 12/06/12		9.591			-109.53
Dec 7	Reinvestment	ROYCE MICRO-CAP FUND LT CAP GAINS REINVESTED AT 14.17 NAV ON 12/06/12 AS OF 12/06/12		0.603			-8.54
Dec 7	Reinvestment	ROYCE MICRO-CAP FUND ST CAP GAINS REINVESTED AT 14.17 NAV ON 12/06/12 AS OF 12/06/12		0.661			-9.37
Dec 7	ST Cap Gain	ROYCE MICRO-CAP FUND SHORT TERM CAPITAL GAIN AS OF 12/06/12					37.71

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UBS Strategic Advisor
December 2012

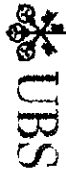
Account name: RICHARD A BERG
Account type: Simplified Employee Pension
Account number: [REDACTED]

Your Financial Advisor: [REDACTED]

Account activity this month (continued)

Date	Activity	Description	Your expense (cost)	Quantity/ Face value	Price/Value, \$	Cash amount (\$)	(Cash and money balance) (\$)
Dec 7	LT Cap Gain	ROYCE MICRO-CAP FUND LONG TERM CAPITAL GAIN AS OF 12/06/12				1,142.15	
Dec 7	Reinvestment	ROYCE MICRO-CAP FUND ST CAP GAINS REINVESTED AT 14.17 NAV ON 12/06/12 AS OF 12/06/12		2.000		-28.34	
Dec 7	Reinvestment	ROYCE MICRO-CAP FUND LT CAP GAINS REINVESTED AT 14.17 NAV ON 12/06/12 AS OF 12/06/12				-1,133.61	23,179.93
Dec 12	ST Cap Gain	UBS LIQUID ASSETS FUND AS OF 12/11/12				.12	23,179.05
Dec 14	ST Cap Gain	FIRST EAGLE OVERSEAS FUND CLASS A SHORT TERM CAPITAL GAIN				231.80	
Dec 14	Dividend	FIRST EAGLE OVERSEAS FUND CLASS A				585.18	
Dec 14	LT Cap Gain	FIRST EAGLE OVERSEAS FUND CLASS A LONG TERM CAPITAL GAIN				1,520.07	
Dec 14	Reinvestment	FIRST EAGLE OVERSEAS FUND CLASS A ST CAP GAINS REINVESTED AT 21.66 NAV ON 12/13/12		10.702		-231.80	
Dec 14	Reinvestment	FIRST EAGLE OVERSEAS FUND CLASS A DIVIDEND REINVESTED AT 21.66 NAV ON 12/13/12		27.063		-586.18	
Dec 14	Reinvestment	FIRST EAGLE OVERSEAS FUND CLASS A LT CAP GAINS REINVESTED AT 21.66 NAV ON 12/13/12		70.173		-1,520.07	23,179.05
Dec 17	LT Cap Gain	FIRST EAGLE OVERSEAS FUND CLASS A LONG TERM CAPITAL GAIN AS OF 12/14/12				263.00	
Dec 17	Reinvestment	FIRST EAGLE OVERSEAS FUND CLASS A LT CAP GAINS REINVESTED AT 21.66 NAV ON 12/17/12 AS OF 12/14/12		12.142		-263.00	23,179.05
Dec 20	Dividend	AMERICAN FUNDS GROWTH FUND OF AMER F-1				176.49	
Dec 20	Reinvestment	AMERICAN FUNDS GROWTH FUND OF AMER F-1 DIVIDEND REINVESTED AT 34.30 NAV ON 12/19/12		5.145		-176.49	23,179.05
Dec 21	Dividend	ALLIANZ NFI DIVIDEND VALUE FUND CLASS A AS OF 12/20/12				182.79	
Dec 21	Reinvestment	ALLIANZ NFI DIVIDEND VALUE FUND CLASS A DIVIDEND REINVESTED AT 12.81 NAV ON 12/20/12 AS OF 12/20/12		14.269		-182.79	23,179.05
Dec 26	Dividend	UBS LIQUID ASSETS FUND AS OF 12/24/12				2.79	23,191.84
Dec 27	Dividend	AMERICAN FUNDS SMALLCAP WORLD F-1				581.41	

continued next page

UBS Strategic Advisor
December 2012

Account name: RICHARD A BERG
Account type: Simplified Employee Pension
Account number: [REDACTED]

YOUR Financial Advisor:

Account activity this month (continued)

Date	Activity	Description	Your expense code	Cash Face value	Prior Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 27	Reinvestment	AMERICAN FUNDS SMALLCAP WORLD F-1 DIVIDND REINVESTED AT 39.02 NAV ON 12/26/12		14.900		-581.41	23,181.84
Dec 31	Reinvestment	ROYCE MICRO-CAP FUND DIVIDND REINVESTED AT 14.48 NAV ON 12/28/12 AS OF 12/28/12		0.506		-7.32	
Dec 31	Reinvestment	ROYCE MICRO-CAP FUND ST CAP GAINS REINVESTED AT 14.48 NAV ON 12/28/12 AS OF 12/28/12		0.619		-8.96	
Dec 31	Dividend	ROYCE MICRO-CAP FUND AS OF 12/28/12				7.32	
Dec 31	St Cap Gain	ROYCE MICRO-CAP FUND SHORT TERM CAPITAL GAIN AS OF 12/28/12				37.93	
Dec 31	Reinvestment	ROYCE MICRO-CAP FUND ST CAP GAINS REINVESTED AT 14.48 NAV ON 12/28/12 AS OF 12/28/12		2.000		-26.97	23,181.84
Dec 31	Closing cash and money balance						523,181.86
	Funds used for investment transactions						-55,038.74

Date	Activity	Description	Amount (\$)
Nov 30	Balance forward		\$23,178.95
Dec 12	Bought	UBS LIQUID ASSETS FUND AS OF 12/11/12	0.12
Dec 26	Bought	UBS LIQUID ASSETS FUND AS OF 12/24/12	2.73
Dec 31	Closing UBS Liquid Assets Fund		\$23,181.84

Rick Berg
UBS-SEF

Richard Berg - SFO
12/10/12 3012

UBS Financial Services Inc.

Generated On: 04/18/2013

Filtered by: Entry Date 01/01/2012-12/31/2012, All Securities Transactions, Money Market Excluded, Exclude Debit Card

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
12/31/12	12/31/12	DIVIDEND	ROYCE MICRO-CAP FUND AS OF 12/28/12	MRMNC-S45W35	1	0.000		\$7.12
12/31/12	12/31/12	REINVESTMENT	ROYCE MICRO-CAP FUND ST CAP GAINS	MRMNC-S45W35	1	2.000		-328.97
12/31/12	12/31/12	REINVESTMENT	ROYCE MICRO-CAP FUND AS OF 12/28/12	MRMNC-S45W35	1	0.619		-8.56
12/31/12	12/31/12	REINVESTMENT	ROYCE MICRO-CAP FUND AS OF 12/28/12	MRMNC-S45W35	1	0.506		-8.32
12/31/12	12/31/12	ST CAP GAIN	ROYCE MICRO-CAP FUND SHORT TERM	MRMNC-S45W35	1	0.000		\$37.93
12/27/12	12/27/12	DIVIDEND	AMERICAN FUNDS SMALLCAP WORLD F-1	MRMSD-S4URG3	1	0.000		\$581.41
12/27/12	12/27/12	REINVESTMENT	AMERICAN FUNDS SMALLCAP WORLD F-1	MRMSD-S4URG3	1	14.900		-581.41
12/26/12	12/26/12	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 12/24/12	MMULRA-9194A1	1	0.000		\$2.79
12/21/12	12/21/12	DIVIDEND	ALLIANZ (NF) DIVIDEND VALUE FUND CLASS A AS OF 12/20/12	MFPIQ-S4OJ78	1	0.000		\$182.79
12/21/12	12/21/12	REINVESTMENT	ALLIANZ (NF) DIVIDEND VALUE FUND CLASS A AS OF 12/20/12	MFPIQ-S4OJ78	1	14.269		-182.79
12/20/12	12/20/12	DIVIDEND	AMERICAN FUNDS GROWTH FUND OF AMER F-1	MFAGD-S4UJ56	1	0.000		\$176.49
12/20/12	12/20/12	REINVESTMENT	AMERICAN FUNDS GROWTH FUND OF AMER F-1	MFAGD-S4UJ56	1	5.145		-176.49
12/17/12	12/17/12	LT CAP GAIN	FIRST EAGLE OVERSEAS FUND CLASS A AS OF 12/14/12	MFHSSD-S4MVE8	1	0.000		\$263.00
12/17/12	12/17/12	REINVESTMENT	FIRST EAGLE OVERSEAS FUND CLASS A AS OF 12/14/12	MFHSSD-S4MVE8	1	12.142		-263.00
12/14/12	12/14/12	DIVIDEND	FIRST EAGLE OVERSEAS FUND CLASS A	MFHSSD-S4MVE8	1	0.000		\$586.18
12/14/12	12/14/12	LT CAP GAIN	FIRST EAGLE OVERSEAS FUND CLASS A	MFHSSD-S4MVE8	1	0.000		\$1,520.07
12/14/12	12/14/12	REINVESTMENT	FIRST EAGLE OVERSEAS FUND CLASS A	MFHSSD-S4MVE8	1	27.063		-1,520.07
12/14/12	12/14/12	REINVESTMENT	FIRST EAGLE OVERSEAS FUND CLASS A	MFHSSD-S4MVE8	1	70.179		-1,520.07
12/14/12	12/14/12	REINVESTMENT	FIRST EAGLE OVERSEAS FUND CLASS A	MFHSSD-S4MVE8	1	10.702		-231.50
12/14/12	12/14/12	ST CAP GAIN	FIRST EAGLE OVERSEAS FUND CLASS A	MFHSSD-S4MVE8	1	0.000		\$231.80
12/12/12	12/12/12	ST CAP GAIN	UBS LIQUID ASSETS FUND AS OF 12/11/12	MMULRA-9194A1	1	0.000		\$0.12
12/07/12	12/07/12	LT CAP GAIN	ROYCE MICRO-CAP FUND LONG TERM	MRMNC-S45W35	1	0.000		\$1,142.15
12/07/12	12/07/12	LT CAP GAIN	ROYCE OPPORTUNITY FUND LONG TERM	MRMNC-S45W35	1	0.000		\$109.53
12/07/12	12/07/12	REINVESTMENT	ROYCE OPPORTUNITY FUND ST CAP GAINS REINVESTED AS OF 12/06/12	MRMNC-S45W35	1	2.347		-326.80
12/07/12	12/07/12	REINVESTMENT	ROYCE MICRO-CAP FUND LT CAP GAINS REINVESTED AS OF 12/06/12	MRMNC-S45W35	1	9.591		-1,091.53
12/07/12	12/07/12	REINVESTMENT	ROYCE MICRO-CAP FUND LT CAP GAINS REINVESTED AS OF 12/06/12	MRMNC-S45W35	1	80.000		-1,133.61
12/07/12	12/07/12	REINVESTMENT	ROYCE MICRO-CAP FUND ST CAP GAINS REINVESTED AS OF 12/06/12	MRMNC-S45W35	1	2.000		-48.34
12/07/12	12/07/12	REINVESTMENT	ROYCE MICRO-CAP FUND AS OF 12/06/12	MRMNC-S45W35	1	0.603		-88.54
12/07/12	12/07/12	REINVESTMENT	ROYCE MICRO-CAP FUND AS OF 12/06/12	MRMNC-S45W35	1	0.661		-95.37
12/07/12	12/07/12	ST CAP GAIN	ROYCE MICRO-CAP FUND SHORT TERM	MRMNC-S45W35	1	0.000		\$37.71
12/07/12	12/07/12	ST CAP GAIN	ROYCE OPPORTUNITY FUND SHORT TERM	MRMNC-S45W35	1	0.000		\$26.80
12/03/12	12/03/12	DIVIDEND	EATON VANGUARD INCOME FUND OF BOSTON CLASS A AS OF 11/30/12	MEVVF-S43H53	1	0.000		\$135.56
12/03/12	12/03/12	REINVESTMENT	EATON VANGUARD INCOME FUND OF BOSTON CLASS A AS OF 11/30/12	MEVVF-S43H53	1	22.783		-135.56
11/27/12	11/27/12	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 11/26/12	MMULRA-9194A1	1	0.000		\$3.18
11/01/12	11/01/12	DIVIDEND	EATON VANGUARD INCOME FUND OF BOSTON CLASS A AS OF 10/31/12	MEVVF-S43H53	1	0.000		\$146.94
11/01/12	11/01/12	REINVESTMENT	EATON VANGUARD INCOME FUND OF BOSTON CLASS A AS OF 10/31/12	MEVVF-S43H53	1	24.821		-146.94
10/26/12	10/26/12	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 10/25/12	MMULRA-9194A1	1	0.000		\$2.88

JE10047 - Client Inquiry - Activity for [REDACTED]

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
10/24/12	10/24/12	FEE CHARGED	QUARTERLY FEE			1	0.000	\$23.30
10/01/12	10/01/12	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	0.000	\$141.27
10/01/12	10/01/12	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	0.000	\$141.27
09/25/12	09/25/12	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 09/24/12	MLLIRA-9194A1		1	23.904	\$141.27
09/21/12	09/21/12	DIVIDEND	ALLIANZ NFJ DIVIDEND VALUE FUND	MFPIQ-S40J78		1	0.000	\$153.82
09/21/12	09/21/12	DIVIDEND	ALLIANZ NFJ DIVIDEND VALUE FUND	MFPIQ-S40J78		1	0.000	\$153.82
09/21/12	09/21/12	REINVESTMENT	ALLIANZ NFJ DIVIDEND VALUE FUND	MFPIQ-S40J78		1	11.860	\$153.82
09/04/12	09/04/12	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	0.000	\$145.35
09/04/12	09/04/12	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	24.719	\$145.35
08/28/12	08/28/12	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 08/27/12	MLLIRA-9194A1		1	0.000	\$2.69
08/01/12	08/01/12	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	0.000	\$144.66
08/01/12	08/01/12	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	0.000	\$144.66
08/01/12	08/01/12	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	24.686	\$144.66
07/26/12	07/26/12	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 07/25/12	MLLIRA-9194A1		1	0.000	\$2.35
07/24/12	07/24/12	FEE CHARGED	QUARTERLY FEE			1	0.000	\$97.76
07/02/12	07/02/12	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	0.000	\$144.55
07/02/12	07/02/12	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	24.922	\$144.55
06/26/12	06/26/12	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 06/25/12	MLLIRA-9194A1		1	0.000	\$2.76
06/22/12	06/22/12	DIVIDEND	ALLIANZ NFJ DIVIDEND VALUE FUND	MFPIQ-S40J78		1	0.000	\$205.80
06/22/12	06/22/12	REINVESTMENT	ALLIANZ NFJ DIVIDEND VALUE FUND	MFPIQ-S40J78		1	17.849	\$205.80
06/01/12	06/01/12	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	0.000	\$148.48
06/01/12	06/01/12	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	0.000	\$148.48
06/01/12	06/01/12	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	25.823	\$148.48
05/25/12	05/25/12	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 05/24/12	MLLIRA-9194A1		1	0.000	\$2.18
05/01/12	05/01/12	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	0.000	\$149.96
05/01/12	05/01/12	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	25.678	\$149.96
04/25/12	04/25/12	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 04/24/12	MLLIRA-9194A1		1	0.000	\$1.81
04/23/12	04/23/12	FEE CHARGED	QUARTERLY FEE			1	0.000	\$718.94
04/02/12	04/02/12	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	0.000	\$154.03
04/02/12	04/02/12	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	26.511	\$154.03
03/27/12	03/27/12	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 03/26/12	MLLIRA-9194A1		1	0.000	\$2.45
03/23/12	03/23/12	DIVIDEND	ALLIANZ NFJ DIVIDEND VALUE FUND	MFPIQ-S40J78		1	0.000	\$153.87
03/23/12	03/23/12	REINVESTMENT	ALLIANZ NFJ DIVIDEND VALUE FUND	MFPIQ-S40J78		1	12.479	\$153.87
03/01/12	03/01/12	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	0.000	\$143.26
03/01/12	03/01/12	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	24.489	\$143.26
02/24/12	02/24/12	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 02/23/12	MLLIRA-9194A1		1	0.000	\$2.71
02/01/12	02/01/12	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	0.000	\$152.24
02/01/12	02/01/12	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	26.385	\$152.24
01/26/12	01/26/12	DIVIDEND	UBS LIQUID ASSETS FUND AS OF 01/25/12	MLLIRA-9194A1		1	0.000	\$2.71
01/24/12	01/24/12	FEE CHARGED	QUARTERLY FEE			1	0.000	\$657.96
01/03/12	01/03/12	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	0.000	\$8.96
01/03/12	01/03/12	DIVIDEND	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	0.000	\$154.10
01/03/12	01/03/12	REINVESTMENT	EATON VANCE INCOME FUND OF BOSTON	MEVIF-S43H53		1	27.226	\$154.10

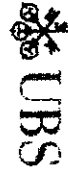
* Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

JE10047 - Client Inquiry - Activity for [REDACTED] Page 3 of 3

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
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Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. Commission and fees for Equity trades are estimates, and may not match what is charged to the account. Fixed Income agency trades may not include commission and fees. Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some fees are not included intraday.

This report is for informational purposes only and may or may not include all holdings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The firm's periodic account statements and official tax documents are the only official record of client accounts and are not superseded, replaced, or amended by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, for tax purposes or otherwise.



UBS Financial Services Inc.
51 Broadway
Fargo ND 58102-4933

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Retirement Account

December 2012

Your Financial Advisor:

Visit our website:
www.ubs.com/financialservices

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in statement at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Conservative
Secondary - None selected

Your PACE investment objectives:

Your PACE return objective:

Income and capital appreciation

Your PACE risk profile:

Moderately aggressive

Account name: TRACY J MARTIN

SEP

Account type: Simplified Employee Pension

Account number: [REDACTED]

Value of your account

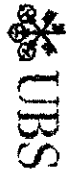
	on November 30 (\$)	on December 31 (\$)
Your assets	297,903.96	303,015.35
Your liabilities	0.00	0.00
Value of your account	\$297,903.96	\$303,015.35

Your account instructions

- UBS Financial Services Inc. is your Custodian.
- Your account can't have default closing method to FIFO, First In, First Out

Change in the value of your account

	December 2012 (\$)	Year to date (\$)
Opening account value	\$297,903.96	\$271,341.65
Withdrawals and fees, including investments transferred out	0.00	-3,825.89
Dividend and interest income	4,477.17	7,066.33
Change in market value	634.22	28,433.26
Closing account value	\$303,015.35	\$303,015.35



Retirement Account
December 2012

Account name:
Account type:
Account number:

TRACY L MARTIN
Simplified Employee Pension

Your Financial Advisor:

Cash activity summary

See the section *Account activity* for details. UBS Bank USA deposit account balances are included in the opening and closing balances; value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See important information about your statement at the end of this document for details about these balances.

	December 2012 (\$)	Year to date (\$)
Opening balances	\$0.00	\$0.00
Additions		
Dividend and interest income	4,477.17	7,066.33
Proceeds from investment transactions	0.00	3,825.89
Total additions	\$4,477.17	\$10,892.22
Subtractions		
Professional management fees and related services	0.00	-3,825.89
Funds withdrawn for investments bought	-4,477.17	-7,066.33
Total subtractions	-\$4,477.17	-\$10,892.22
Closing balances	\$0.00	\$0.00

Dividend and interest income earned

For purposes of this statement, "ability of interest and dividend income has been determined from a U.S. tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS accurately, such as the Form 1099 and the Form 480, for tax reporting information. It is the practice of UBS to file tax applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2012 (\$)	Year to date (\$)
Dividends	4,477.17	7,066.33
Total current year	\$4,477.17	\$7,066.33
Prior year adjustment	0.00	0.01
Total dividend & interest	\$4,477.17	\$7,066.33

Summary of gains and losses

Values reported below exclude products for which gains and losses are not available.

	December 2012 (\$)	Year to date (\$)	Unrealized gains and losses (\$)
Short term	0.00	0.00	43.66
Long term	0.00	0.00	35,327.64
Total	\$0.00	\$0.00	\$35,371.30

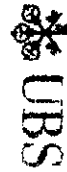
Retirement services information

2012 Year-End valuation statement - Form 5498

Retain this statement as your year-end valuation. If your Asset portfolio includes insurance products, unsecured Private Investments or if you own Outside assets that do not appear on this statement, we will automatically provide you with an updated year-end valuation in January 2013. This 2012 year-end value of your IRA for the updated value, if applicable, will be furnished to the Internal Revenue Service.

December 31 value

\$303,015.35



Retirement Account

December 2012

Account name:
Account type:
Account number:

TRAC V J MARTIN
Simplified Employee Pension

Your Financial Advisor:

Your PACE assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. Gains and losses for 2012 on open investments are not shown. See important information about your statement at the end of this document for more information.

Investment return is the current value minus the amount you invested. It does not include any cash dividends that were not reinvested.

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends form a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss is the difference between the current value and the cost basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Cash alternatives

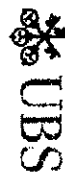
Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (%)	Holding period
PACE MONEY MARKET INVESTMENTS FUND CLASS P									
Trade date: Sep 26, 11	770.920	1.000	770.92	770.92	1.000	770.92	0.04		LT
Total reinvested	0.040	1.000		0.04	1.000				
Security Total	770.960	1.000	770.92	770.96		770.96		0.04	

Equities

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (%)	Holding period
PACE GLOBAL REAL ESTATE SECURITIES INVESTMENTS CLASS P									
Trade date: Sep 26, 11	1,506.024	4.980	7,500.00	7,500.00	6.370	9,593.37	2,093.37		LT
Total reinvested	113.706	5.731		651.70	6.370	724.31	72.61		
EAI: \$452 Current yield: 4.38%									
Security Total	1,619.730	5.033	7,500.00	8,151.70		10,317.66	2,165.98	2,817.68	

PACE INTERNATIONAL
EMERGING MARKETS EQUITY
INVESTMENTS CLASS P

Continued next page



Retirement Account

December 2012

Account name:
Account type:
Account number:

TRACY J MARTIN
Simplified Employee Pension

Your Financial Advisor:

Your PACE assets - Equities (continued)

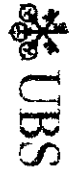
Holding	Number of shares	Purchase price/average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (5)	Value on Dec 31 (5)	Unrealized gain or loss (\$)	Investment return (%)	Holding period
Trade date: Sep 26, 11	945,250	11.020	10,416.66	10,416.66	13.580	12,826.45	2,419.83		11
Total reinvested	28,713	11.623		333.75	13.580	389.92	56.17		
EAI: \$48 Current yield: 0.74%									
Security total	973,963	11.038	10,416.66	10,750.41		13,226.41	2,476.00	2,809.75	
PACE INTERNATIONAL EQUITY INVESTMENTS CLASS P									
Trade date: Sep 26, 11	2,616,057	10.949	28,645.82	28,645.82	12.750	33,354.72	4,708.90		11
Total reinvested	155,801	11.503		1,792.21	12.750	1,986.46	194.25		
EAI: \$853 Current yield: 2.41%									
Security total	2,771,858	10.981	28,645.82	30,438.03		35,341.18	4,942.15	6,695.35	
PACE LARGE CO GROWTH EQUITY INVESTMENTS CLASS P									
Trade date: Sep 26, 11	1,715,793	17.089	29,322.90	29,322.90	20.350	34,916.49	5,593.49		11
Total reinvested	13,586	19.505		264.89	20.350	276.35	11.46		
EAI: \$190 Current yield: 0.54%									
Security total	1,729,379	17.109	29,322.90	29,587.79		35,192.74	5,604.95	5,869.84	
PACE LARGE CO VALUE EQUITY INVESTMENTS CLASS P									
Trade date: Sep 26, 11	2,548,304	14.749	39,062.48	39,062.48	18.340	48,559.89	9,507.41		11
Total reinvested	85,823	16.894		1,449.10	18.340	1,573.99	124.89		
EAI: \$774 Current yield: 1.54%									
Security total	2,724,127	14.817	39,062.48	40,511.58		50,143.88	9,632.30	11,081.40	
PACE SMALL / MEDIUM CO GROWTH EQUITY INVESTMENTS CLASS P									
Trade date: Sep 26, 11	707,654	14.719	10,416.66	10,416.66	17.980	12,723.61	2,306.95		11
Total reinvested	11,405	17.979		210.45	17.980	219.45	8.97		
Security total	719,359	14.773	10,416.66	10,627.11		12,934.07	2,306.96	2,517.41	

continued next page

Fax: UBS

From: UBS

To:



Retirement Account
December 2012

Account name: TRACY J MARRIN
Account type: Simplified Employee Pension
Account number: [REDACTED]

Your Financial Advisor: [REDACTED]

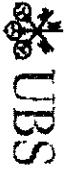
Your PACE assets, Equities (continued)

Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (%)	Holding period
PACE SMALL / MEDIUM CO									
VALUE EQUITY INVESTMENTS CLASS P									
Trade date: Sep 26, 11	9,989.901	14.170	13,020.83	13,020.83	18.650	17,137.50	4,116.67		LT
Total reinvested	6,515	18.578		121.04	18.650	121.50	0.46		
EAI: \$118 Current yield: 0.68%									
Security total	925.416	14.201	13,020.83	13,141.87		17,259.00	4,117.13	4,258.17	
PACE portfolio total			\$138,385.35	\$143,208.49		\$174,414.96	\$31,206.47	\$36,029.61	
Total estimated annual income: \$2,485									

Fixed income

Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (%)	Holding period
PACE GOVERNMENT SECURITIES FIXED INCOME INVESTMENTS CLASS P									
Trade date: Sep 26, 11	1,337.955	13.450	17,968.74	17,968.74	13.120	17,553.96	-414.78		LT
Total reinvested	79.269	13.265		1,051.53	13.120	1,040.01	-11.52		
EAI: \$492 Current yield: 2.65%									
Security total	1,417.224	13.421	17,968.74	19,020.27		18,593.97	-426.30	625.23	
PACE HIGH YIELD INVESTMENTS CLASS P									
Trade date: Sep 26, 11	1,564.676	9.570	14,973.95	14,973.95	10.520	16,460.39	1,486.44		LT
Total reinvested	183.325	10.082		1,848.62	10.520	1,928.58	79.96		
EAI: \$1,199 Current yield: 6.52%									
Security total	1,748.001	9.624	14,973.95	16,822.57		18,388.97	1,566.40	3,415.02	
PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS P									
Trade date: Sep 26, 11	1,726.041	12.070	20,833.32	20,833.32	11.500	19,849.47	-983.85		LT
Total reinvested	148.479	11.344		1,684.42	11.500	1,707.51	23.09		

continued next page



Retirement Account

December 2012

Account name: J RACY J MARTIN
Account type: Simplified Employee Pension
Account number: [REDACTED]

Your Financial Advisor: [REDACTED]

Your PACE assets, Fixed income (continued)

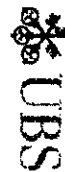
Holding	Number of shares	Purchase price/average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
Security total	1,874,520	12.013	20,833.32	22,517.74		21,596.98	-920.76		773.66
PACE STRATEGIC FIXED INCOME INVESTMENTS CLASS P									
Trade date: Sep 26, 11	1,440,714	14.835	21,380.19	21,380.19	15.000	21,610.71	230.52		11
Total reinvested	130,245	14.807		1,928.61	15.000	1,953.67	25.06		
EAI: \$77.4 Current yield: 3.28%									
Security total	1,570,959	14.837	21,380.19	23,308.80		23,564.38	255.58		2,184.19
PACE portfolio total			\$75,156.20	\$81,689.38		\$82,104.30	\$434.92		\$6,948.10
Total estimated annual income: \$3,102									

Alternative strategies

Holding	Number of shares	Purchase price/average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
PACE ALTERNATIVE STRATEGIES INVESTMENTS CLASS P									
Trade date: Sep 26, 11	4,660,698	8.939	41,666.64	41,666.64	9.740	45,395.20	3,728.56		11
Total reinvested	33,874	9.700		328.58	9.740	329.93	1.35		
EAI: \$33.3 Current yield: 0.73%									
Security total	4,694,572	8.945	41,666.64	41,995.22		45,725.13	3,729.91		4,058.49

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash alternatives	770.96	0.25%	770.96		
Equities	174,414.96	57.56%	143,208.49	2,485.00	31,206.47
Fixed income	82,104.30	27.10%	81,669.38	3,102.00	434.92
Alternative strategies	45,725.13	15.09%	41,995.22	333.00	3,729.91
Total	\$303,015.35	100.00%	\$267,644.05	\$5,920.00	\$35,371.30



Retirement Account

December 2012

Account name: TRACY J MARTIN
Account type: Simplified Employee Pension
Account number: [REDACTED]

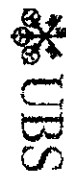
Your Financial Advisor: [REDACTED]

Account activity this month

For more information about the procedures shown for restricted securities, see Important information about your statement at the end of this document.

Date	Activity	Description	YTD expense code	Quantity	Face value	Pro. value (%)	Cash amount (%)	Cost and money balance
Nov 30								\$0.00
Dec 20	Dividend	PACE INTERNATIONAL EMERGING MARKETS EQUITY INVESTMENTS CLASS P AS OF 12/18/12						97.63
Dec 20	Reinvestment	PACE INTERNATIONAL EMERGING MARKETS EQUITY INVESTMENTS CLASS P DIVIDEND REINVESTED AT 13.40 NAV ON 12/18/12 AS OF 12/18/12		7.286				-97.63
Dec 20	Dividend	PACE INTERNATIONAL EQUITY INVESTMENTS CLASS P AS OF 12/18/12						832.90
Dec 20	Reinvestment	PACE INTERNATIONAL EQUITY INVESTMENTS CLASS P DIVIDEND REINVESTED AT 12.64 NAV ON 12/18/12 AS OF 12/18/12		65.894				-832.90
Dec 20	Dividend	PACE LARGE CO GROWTH EQUITY INVESTMENTS CLASS P AS OF 12/18/12						189.05
Dec 20	Reinvestment	PACE LARGE CO GROWTH EQUITY INVESTMENTS CLASS P DIVIDEND REINVESTED AT 20.58 NAV ON 12/18/12 AS OF 12/18/12		9.186				-189.05
Dec 20	Dividend	PACE LARGE CO VALUE EQUITY INVESTMENTS CLASS P AS OF 12/18/12						762.92
Dec 20	Reinvestment	PACE LARGE CO VALUE EQUITY INVESTMENTS CLASS P DIVIDEND REINVESTED AT 18.54 NAV ON 12/18/12 AS OF 12/18/12		41.150				-762.92
Dec 20	LT Cap Gain	PACE SMALL / MEDIUM CO GROWTH EQUITY INVESTMENTS CLASS P LONG TERM CAPITAL GAIN AS OF 12/18/12						102.75
Dec 20	St Cap Gain	PACE SMALL / MEDIUM CO GROWTH EQUITY INVESTMENTS CLASS P SHORT TERM CAPITAL GAIN AS OF 12/18/12						107.70
Dec 20	Reinvestment	PACE SMALL / MEDIUM CO GROWTH EQUITY INVESTMENTS CLASS P LT CAP GAINS REINVESTED AT 17.98 NAV ON 12/18/12 AS OF 12/18/12		5.715				-102.75
Dec 20	Reinvestment	PACE SMALL / MEDIUM CO GROWTH EQUITY INVESTMENTS CLASS P ST CAP GAINS REINVESTED AT 17.98 NAV ON 12/18/12 AS OF 12/18/12		5.090				-107.70

continued next page



Retirement Account

December 2012

Account name: TRACY J MARTIN
 Account type: Simplified Employee Pension
 Account number: [REDACTED]

Your Financial Advisor: [REDACTED]

Account activity this month (continued)

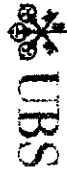
Date	Activity	Description	Your expense code	Quantity/face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 20	Dividend	PACE SMALL / MEDIUM CO VALUE EQUITY INVESTMENTS CLASS P AS OF 12/18/12				118.01	
Dec 20	Reinvestment	PACE SMALL / MEDIUM CO VALUE EQUITY INVESTMENTS CLASS P DIVIDEND REINVESTED AT 18.69 NAV ON 12/18/12 AS OF 12/18/12		6.314		-118.01	
Dec 20	Dividend	PACE ALTERNATIVE STRATEGIES INVESTMENTS CLASS P AS OF 12/18/12				328.58	
Dec 20	Reinvestment	PACE ALTERNATIVE STRATEGIES INVESTMENTS CLASS P DIVIDEND REINVESTED AT 9.70 NAV ON 12/18/12 AS OF 12/18/12		33.874		-328.58	
Dec 20	Dividend	PACE GLOBAL REAL ESTATE SECURITIES INVESTMENTS CLASS P AS OF 12/18/12				431.97	
Dec 20	Reinvestment	PACE GLOBAL REAL ESTATE SECURITIES INVESTMENTS CLASS P DIVIDEND REINVESTED AT 6.24 NAV ON 12/18/12 AS OF 12/18/12		69.226		-431.97	
Dec 24	Dividend	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS P AS OF 12/20/12				154.79	
Dec 24	Reinvestment	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS P DIVIDEND REINVESTED AT 11.59 NAV ON 12/20/12 AS OF 12/20/12		13.312		-154.79	
Dec 24	Dividend	PACE GOVERNMENT SECURITIES FIXED INCOME INVESTMENTS CLASS P AS OF 12/20/12				28.86	
Dec 24	LT Cap Gain	PACE GOVERNMENT SECURITIES FIXED INCOME INVESTMENTS CLASS P LONG TERM CAPITAL GAIN AS OF 12/20/12				41.92	
Dec 24	LT Cap Gain	PACE GOVERNMENT SECURITIES FIXED INCOME INVESTMENTS CLASS P SHORT TERM CAPITAL GAIN AS OF 12/20/12				302.04	
Dec 24	Reinvestment	PACE GOVERNMENT SECURITIES FIXED INCOME INVESTMENTS CLASS P DIVIDEND REINVESTED AT 13.11 NAV ON 12/20/12 AS OF 12/20/12		2.965		-38.86	
Dec 24	Reinvestment	PACE GOVERNMENT SECURITIES FIXED INCOME INVESTMENTS CLASS P LT CAP GAINS REINVESTED AT 13.11 NAV ON 12/20/12 AS OF 12/20/12		3.198		-41.92	
Dec 24	Reinvestment	PACE GOVERNMENT SECURITIES FIXED INCOME INVESTMENTS CLASS P ST CAP GAINS REINVESTED AT 13.11 NAV ON 12/20/12 AS OF 12/20/12		23.038		-302.04	
Dec 24	LT Cap Gain	PACE STRATEGIC FIXED INCOME INVESTMENTS CLASS P LONG TERM CAPITAL GAIN AS OF 12/20/12				25.70	

Continued next page

Fax: UBS

From: UBS

To:



Retirement Account

December 2012

Account name: TRACY J MARTIN
 Account type: Simplified Employee Pension
 Account number: [REDACTED]

Your Financial Advisor: [REDACTED]

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 24	Dividend	PACE STRATEGIC FIXED INCOME INVESTMENTS CLASS P AS OF 12/20/12				106.62	
Dec 24	St Cap Gain	PACE STRATEGIC FIXED INCOME INVESTMENTS CLASS P SHORT TERM CAPITAL GAIN AS OF 12/20/12				484.75	
Dec 24	Reinvestment	PACE STRATEGIC FIXED INCOME INVESTMENTS CLASS P LT CAP GAINS REINVESTED AT 14.94 NAV ON 12/20/12 AS OF 12/20/12		1.720		-25.70	
Dec 24	Reinvestment	PACE STRATEGIC FIXED INCOME INVESTMENTS CLASS P DIVIDEND REINVESTED AT 14.94 NAV ON 12/20/12 AS OF 12/20/12		7.136		-105.62	
Dec 24	Reinvestment	PACE STRATEGIC FIXED INCOME INVESTMENTS CLASS P ST CAP GAINS REINVESTED AT 14.94 NAV ON 12/20/12 AS OF 12/20/12		32.447		-484.75	
Dec 24	St Cap Gain	PACE HIGH YIELD INVESTMENTS CLASS P SHORT TERM CAPITAL GAIN AS OF 12/20/12				42.35	
Dec 24	Dividend	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 12/20/12				99.10	
Dec 24	Lt Cap Gain	PACE HIGH YIELD INVESTMENTS CLASS P LONG TERM CAPITAL GAIN AS OF 12/20/12				204.03	
Dec 24	Reinvestment	PACE HIGH YIELD INVESTMENTS CLASS P ST CAP GAINS REINVESTED AT 10.51 NAV ON 12/20/12 AS OF 12/20/12		4.600		-48.35	
Dec 24	Reinvestment	PACE HIGH YIELD INVESTMENTS CLASS P DIVIDEND REINVESTED AT 10.51 NAV ON 12/20/12 AS OF 12/20/12		9.429		-99.10	
Dec 24	Reinvestment	PACE HIGH YIELD INVESTMENTS CLASS P LT CAP GAINS REINVESTED AT 10.51 NAV ON 12/20/12 AS OF 12/20/12		19.413		-204.03	
Dec 31	Closing cash and money balance						\$0.00
	Funds used for investment transactions						-\$4,477.17

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
12/24/12	12/24/12	DIVIDEND	PAGE HIGH YIELD INVESTMENTS CLASS P AS OF 12/20/12	MFCOH-3A0N14	1	0.000		\$99.10
12/24/12	12/24/12	DIVIDEND	PAGE GOVERNMENT SECURITIES FIXED INCOME AS OF 12/20/12	MFCOH-3A0M92	1	0.000		\$38.86
12/24/12	12/24/12	DIVIDEND	PAGE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 12/20/12	MFCOH-3A0M76	1	0.000		\$154.29
12/24/12	12/24/12	DIVIDEND	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 12/20/12	MFCOH-3A0M93	1	0.000		\$106.62
12/24/12	12/24/12	LT CAP GAIN	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 12/20/12	MFCOH-3A0M93	1	0.000		\$25.70
12/24/12	12/24/12	LT CAP GAIN	PAGE GOVERNMENT SECURITIES FIXED INCOME AS OF 12/20/12	MFCOH-3A0M92	1	0.000		\$41.92
12/24/12	12/24/12	LT CAP GAIN	PAGE HIGH YIELD INVESTMENTS CLASS P AS OF 12/20/12	MFCOH-3A0N14	1	0.000		\$204.03
12/24/12	12/24/12	REINVESTMENT	PAGE HIGH YIELD INVESTMENTS CLASS P AS OF 12/20/12	MFCOH-3A0N14	1	9.429		\$99.10
12/24/12	12/24/12	REINVESTMENT	PAGE HIGH YIELD INVESTMENTS CLASS P AS OF 12/20/12	MFCOH-3A0N14	1	19.413		\$204.03
12/24/12	12/24/12	REINVESTMENT	PAGE HIGH YIELD INVESTMENTS CLASS P AS OF 12/20/12	MFCOH-3A0N14	1	4.600		\$48.32
12/24/12	12/24/12	REINVESTMENT	PAGE GOVERNMENT SECURITIES FIXED INCOME AS OF 12/20/12	MFCOH-3A0M92	1	3.198		\$41.92
12/24/12	12/24/12	REINVESTMENT	PAGE GOVERNMENT SECURITIES FIXED INCOME AS OF 12/20/12	MFCOH-3A0M92	1	23.038		\$302.04
12/24/12	12/24/12	REINVESTMENT	PAGE GOVERNMENT SECURITIES FIXED INCOME AS OF 12/20/12	MFCOH-3A0M92	1	2.965		\$38.86
12/24/12	12/24/12	REINVESTMENT	PAGE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 12/20/12	MFCOH-3A0M76	1	13.312		\$154.29
12/24/12	12/24/12	REINVESTMENT	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 12/20/12	MFCOH-3A0M93	1	32.447		\$484.75
12/24/12	12/24/12	REINVESTMENT	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 12/20/12	MFCOH-3A0M93	1	1.720		\$22.70
12/24/12	12/24/12	REINVESTMENT	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 12/20/12	MFCOH-3A0M93	1	7.136		\$106.62
12/24/12	12/24/12	ST CAP GAIN	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 12/20/12	MFCOH-3A0M93	1	0.000		\$484.75
12/24/12	12/24/12	ST CAP GAIN	PAGE GOVERNMENT SECURITIES FIXED INCOME AS OF 12/20/12	MFCOH-3A0M92	1	0.000		\$302.04
12/24/12	12/24/12	ST CAP GAIN	PAGE HIGH YIELD INVESTMENTS CLASS P AS OF 12/20/12	MFCOH-3A0N14	1	0.000		\$48.32
12/20/12	12/20/12	DIVIDEND	PAGE GLOBAL REAL ESTATE SECURITIES INVESTMENTS AS OF 12/18/12	MFCOH-3A0N13	1	0.000		\$431.97
12/20/12	12/20/12	DIVIDEND	PAGE ALTERNATIVE STRATEGIES INVESTMENTS AS OF 12/18/12	MFCOH-3A0N20	1	0.000		\$128.58
12/20/12	12/20/12	DIVIDEND	PAGE SMALL / MEDIUM CO VALUE EQUITY AS OF 12/18/12	MFCOH-3A0N17	1	0.000		\$118.01
12/20/12	12/20/12	DIVIDEND	PAGE LARGE CO VALUE EQUITY INVESTMENTS AS OF 12/18/12	MFCOH-3A0N19	1	0.000		\$762.92
12/20/12	12/20/12	DIVIDEND	PAGE LARGE CO GROWTH EQUITY INVESTMENTS AS OF 12/18/12	MFCOH-3A0N22	1	0.000		\$189.05
12/20/12	12/20/12	DIVIDEND	PAGE INTERNATIONAL EMERGING MARKETS EQUITY AS OF 12/18/12	MFCOH-3A0N11	1	0.000		\$832.90
12/20/12	12/20/12	DIVIDEND	PAGE INTERNATIONAL EMERGING MARKETS EQUITY AS OF 12/18/12	MFCOH-3A0N11	1	0.000		\$92.83
12/20/12	12/20/12	LT CAP GAIN	PAGE SMALL / MEDIUM CO GROWTH EQUITY AS OF 12/18/12	MFCOH-3A0N17	1	0.000		\$102.75
12/20/12	12/20/12	REINVESTMENT	PAGE SMALL / MEDIUM CO GROWTH EQUITY AS OF 12/18/12	MFCOH-3A0N17	1	0.000		\$102.75
12/20/12	12/20/12	REINVESTMENT	PAGE SMALL / MEDIUM CO GROWTH EQUITY AS OF 12/18/12	MFCOH-3A0N17	1	6.314		\$118.01
12/20/12	12/20/12	REINVESTMENT	PAGE SMALL / MEDIUM CO GROWTH EQUITY AS OF 12/18/12	MFCOH-3A0N17	1	5.715		\$102.75
12/20/12	12/20/12	REINVESTMENT	PAGE LARGE CO VALUE EQUITY INVESTMENTS AS OF 12/18/12	MFCOH-3A0N19	1	5.990		\$102.75
12/20/12	12/20/12	REINVESTMENT	PAGE LARGE CO VALUE EQUITY INVESTMENTS AS OF 12/18/12	MFCOH-3A0N19	1	41.150		\$462.92
12/20/12	12/20/12	REINVESTMENT	PAGE INTERNATIONAL EMERGING MARKETS EQUITY AS OF 12/18/12	MFCOH-3A0N11	1	7.286		\$92.83

Printed by: Entry Date 01/01/2012-12/31/2012, All Securities Transactions, All Cash Transactions, Money Market Excluded, Exclude Debit Card

UBS Financial Services Inc.

Generated On: 04/18/2013

Trace Martin - SEP
12/20/12

Trace Martin
UBS-SEP

JE10047 - Client Inquiry - Activity for [REDACTED]

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
12/20/12	12/20/12	REINVESTMENT	AS OF 12/18/12	3A0NH1		1	65.894	\$632.99
12/20/12	12/20/12	REINVESTMENT	PAGE LARGE CD GROWTH EQUITY INVESTMENTS AS OF 12/18/12	MFPCD		1	9.186	\$189.05
12/20/12	12/20/12	REINVESTMENT	PAGE ALTERNATIVE STRATEGIES INVESTMENTS AS OF 12/18/12	3A0N20		1	33.874	\$328.58
12/20/12	12/20/12	REINVESTMENT	PAGE GLOBAL REAL ESTATE SECURITIES INVESTMENTS AS OF 12/18/12	MFPCG		1	69.226	\$631.97
12/20/12	12/20/12	REINVESTMENT	PAGE SMALL / MEDIUM CD GROWTH EQUITY INVESTMENTS AS OF 12/18/12	MFPCSH		1	0.000	\$102.70
11/23/12	11/23/12	DIVIDEND	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 11/20/12	3A0N13		1	0.000	\$54.18
11/23/12	11/23/12	DIVIDEND	PAGE HIGH YIELD INVESTMENTS CLASS P AS OF 11/20/12	MFPCHQ		1	0.000	\$95.67
11/23/12	11/23/12	DIVIDEND	PAGE GOVERNMENT SECURITIES FIXED INCOME AS OF 11/20/12	MFPCGU		1	0.000	\$42.93
11/23/12	11/23/12	DIVIDEND	PAGE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 11/20/12	3A0N76		1	0.000	\$39.20
11/23/12	11/23/12	DIVIDEND	PAGE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 11/20/12	MFPCGI		1	0.000	\$39.20
11/23/12	11/23/12	REINVESTMENT	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 11/20/12	MFPCSI		1	3.406	\$329.20
11/23/12	11/23/12	REINVESTMENT	PAGE GOVERNMENT SECURITIES FIXED INCOME AS OF 11/20/12	3A0N92		1	3.211	\$42.93
11/23/12	11/23/12	REINVESTMENT	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 11/20/12	MFPCSI		1	3.523	\$54.18
11/23/12	11/23/12	REINVESTMENT	PAGE HIGH YIELD INVESTMENTS CLASS P AS OF 11/20/12	MFPCHQ		1	9.199	\$95.67
10/25/12	10/25/12	DIVIDEND	PAGE HIGH YIELD INVESTMENTS CLASS P AS OF 10/23/12	MFPCHQ		1	0.000	\$92.80
10/25/12	10/25/12	DIVIDEND	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 10/23/12	MFPCSI		1	0.000	\$21.36
10/25/12	10/25/12	DIVIDEND	PAGE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 10/23/12	3A0N76		1	0.000	\$41.72
10/25/12	10/25/12	DIVIDEND	PAGE INTERNATIONAL FIXED INCOME INVESTMENTS AS OF 10/23/12	MFPCGI		1	3.587	\$41.72
10/25/12	10/25/12	REINVESTMENT	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 10/23/12	MFPCSI		1	4.664	\$47.30
10/25/12	10/25/12	REINVESTMENT	PAGE GOVERNMENT SECURITIES FIXED INCOME AS OF 10/23/12	MFPCGU		1	3.097	\$41.59
10/24/12	10/24/12	REINVESTMENT	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 09/20/12	MFPCSI		1	0.000	\$38.50
09/24/12	09/24/12	REINVESTMENT	PAGE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 09/20/12	3A0N76		1	3.286	\$38.50
09/24/12	09/24/12	REINVESTMENT	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 09/20/12	MFPCSI		1	3.571	\$59.02
09/24/12	09/24/12	REINVESTMENT	PAGE HIGH YIELD INVESTMENTS CLASS P AS OF 09/20/12	MFPCHQ		1	0.000	\$98.02
09/24/12	09/24/12	DIVIDEND	PAGE HIGH YIELD INVESTMENTS CLASS P AS OF 09/20/12	MFPCHQ		1	0.000	\$53.74
09/24/12	09/24/12	DIVIDEND	PAGE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 09/20/12	3A0N76		1	0.000	\$32.41
09/24/12	09/24/12	DIVIDEND	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 09/20/12	MFPCSI		1	0.000	\$53.74
09/24/12	09/24/12	DIVIDEND	PAGE GOVERNMENT SECURITIES FIXED INCOME AS OF 09/20/12	MFPCGU		1	0.000	\$32.41
09/24/12	09/24/12	DIVIDEND	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 09/20/12	MFPCSI		1	0.000	\$53.74
09/24/12	09/24/12	DIVIDEND	PAGE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 09/20/12	3A0N76		1	0.000	\$32.41
09/24/12	09/24/12	DIVIDEND	PAGE GOVERNMENT SECURITIES FIXED INCOME AS OF 09/20/12	MFPCGU		1	0.000	\$32.41
09/24/12	09/24/12	DIVIDEND	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 09/20/12	MFPCSI		1	0.000	\$53.74
09/24/12	09/24/12	DIVIDEND	PAGE HIGH YIELD INVESTMENTS CLASS P AS OF 09/20/12	MFPCHQ		1	0.000	\$98.02
09/24/12	09/24/12	REINVESTMENT	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 09/20/12	MFPCSI		1	3.571	\$59.02
09/24/12	09/24/12	REINVESTMENT	PAGE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 09/20/12	3A0N76		1	3.286	\$38.50
09/24/12	09/24/12	REINVESTMENT	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 09/20/12	MFPCSI		1	3.571	\$59.02
09/24/12	09/24/12	REINVESTMENT	PAGE GOVERNMENT SECURITIES FIXED INCOME AS OF 09/20/12	MFPCGU		1	3.571	\$59.02
09/24/12	09/24/12	REINVESTMENT	PAGE GOVERNMENT SECURITIES FIXED INCOME AS OF 09/20/12	MFPCGU		1	2.404	\$32.41
09/24/12	09/24/12	DIVIDEND	PAGE GOVERNMENT SECURITIES FIXED INCOME AS OF 09/20/12	MFPCGU		1	0.000	\$45.13
09/24/12	09/24/12	DIVIDEND	PAGE STRATEGIC FIXED INCOME INVESTMENTS AS OF 09/20/12	MFPCSI		1	0.000	\$50.44
09/24/12	09/24/12	DIVIDEND	PAGE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 09/20/12	3A0N76		1	0.000	\$39.54
09/24/12	09/24/12	DIVIDEND	PAGE HIGH YIELD INVESTMENTS CLASS P AS OF 09/20/12	MFPCHQ		1	0.000	\$96.80
09/24/12	09/24/12	REINVESTMENT	PAGE HIGH YIELD INVESTMENTS CLASS P AS OF 09/20/12	MFPCHQ		1	9.435	\$96.80

Entry Date	Settle Date	Activity	Description	Symbol / Security #	Type	Quantity	Price/Detail	Amount
08/24/12	08/24/12	REINVESTMENT	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 08/22/12	MFCGJ-3A0M76	1	3.450	REINVEST DIV	-\$39.54
08/24/12	08/24/12	REINVESTMENT	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 08/22/12	MFCGJ-3A0M76	1	3.327	REINVEST DIV	-\$50.44
08/24/12	08/24/12	REINVESTMENT	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 08/22/12	MFCGJ-3A0M76	1	3.360	REINVEST DIV	-\$45.13
07/26/12	07/26/12	DIVIDEND	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 07/24/12	MFCGJ-3A0M92	1	0.000	DIV	\$41.19
07/26/12	07/26/12	DIVIDEND	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 07/24/12	MFCGJ-3A0M76	1	0.000	DIV	\$50.63
07/26/12	07/26/12	DIVIDEND	PACE INTERNATIONAL FIXED INCOME INVESTMENTS AS OF 07/24/12	MFCGJ-3A0M76	1	0.000	DIV	\$40.57
07/26/12	07/26/12	DIVIDEND	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 07/24/12	MFCGJ-3A0M14	1	0.000	DIV	\$92.77
07/26/12	07/26/12	REINVESTMENT	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 07/24/12	MFCGJ-3A0M14	1	5.194	REINVEST DIV	-\$92.77
07/26/12	07/26/12	REINVESTMENT	PACE INTERNATIONAL FIXED INCOME INVESTMENTS AS OF 07/24/12	MFCGJ-3A0M76	1	3.606	REINVEST DIV	-\$40.57
07/26/12	07/26/12	REINVESTMENT	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 07/24/12	MFCGJ-3A0M76	1	3.301	REINVEST DIV	-\$50.63
07/26/12	07/26/12	REINVESTMENT	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 07/24/12	MFCGJ-3A0M92	1	3.060	REINVEST DIV	-\$41.19
07/24/12	07/24/12	FREE CHARGED	QUARTERLY FEE		1	0.000	Fee Charged	-\$1.07416
07/24/12	07/24/12	REFUND	PACE REBATE		1	0.000	Refund	\$107.33
07/24/12	07/25/12	SOLD	PACE MONEY MARKET INVESTMENTS FUND Trade# 10789 Block 37	MFCGJ-3A0M93	1	-966.830		\$966.83
06/25/12	06/25/12	DIVIDEND	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 06/21/12	MFCGJ-3A0M92	1	0.000	DIV	\$40.82
06/25/12	06/25/12	DIVIDEND	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 06/21/12	MFCGJ-3A0M76	1	0.000	DIV	\$62.85
06/25/12	06/25/12	DIVIDEND	PACE INTERNATIONAL FIXED INCOME INVESTMENTS AS OF 06/21/12	MFCGJ-3A0M76	1	0.000	DIV	\$42.51
06/25/12	06/25/12	REINVESTMENT	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 06/21/12	MFCGJ-3A0M76	1	3.802	REINVEST DIV	-\$42.51
06/25/12	06/25/12	REINVESTMENT	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 06/21/12	MFCGJ-3A0M76	1	4.173	REINVEST DIV	-\$62.85
06/25/12	06/25/12	REINVESTMENT	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 06/21/12	MFCGJ-3A0M92	1	3.053	REINVEST DIV	-\$40.82
05/24/12	05/24/12	DIVIDEND	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 05/22/12	MFCGJ-3A0M92	1	0.000	DIV	\$37.05
05/24/12	05/24/12	DIVIDEND	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 05/22/12	MFCGJ-3A0M76	1	0.000	DIV	\$65.67
05/24/12	05/24/12	DIVIDEND	PACE INTERNATIONAL FIXED INCOME INVESTMENTS AS OF 05/22/12	MFCGJ-3A0M76	1	0.000	DIV	\$44.58
05/24/12	05/24/12	DIVIDEND	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 05/22/12	MFCGJ-3A0M14	1	0.000	DIV	\$96.49
05/24/12	05/24/12	REINVESTMENT	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 05/22/12	MFCGJ-3A0M14	1	9.207	REINVEST DIV	-\$96.49
05/24/12	05/24/12	REINVESTMENT	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 05/22/12	MFCGJ-3A0M14	1	2.757	REINVEST DIV	-\$37.05
05/24/12	05/24/12	REINVESTMENT	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 05/22/12	MFCGJ-3A0M76	1	4.012	REINVEST DIV	-\$44.58
05/24/12	05/24/12	REINVESTMENT	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 05/22/12	MFCGJ-3A0M76	1	4.396	REINVEST DIV	-\$65.67
04/26/12	04/26/12	DIVIDEND	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 04/24/12	MFCGJ-3A0M76	1	0.000	DIV	\$59.20
04/26/12	04/26/12	DIVIDEND	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 04/24/12	MFCGJ-3A0M76	1	0.000	DIV	\$47.26
04/26/12	04/26/12	DIVIDEND	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 04/24/12	MFCGJ-3A0M92	1	0.000	DIV	\$35.61
04/26/12	04/26/12	DIVIDEND	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 04/24/12	MFCGJ-3A0M14	1	0.000	DIV	\$93.65
04/26/12	04/26/12	REINVESTMENT	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 04/24/12	MFCGJ-3A0M14	1	9.300	REINVEST DIV	-\$93.65
04/26/12	04/26/12	REINVESTMENT	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 04/24/12	MFCGJ-3A0M92	1	2.657	REINVEST DIV	-\$35.61

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Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
04/26/12	04/26/12	REINVESTMENT	INVESTMENT CLASS AS OF 04/24/12	3A0N76		1	4.157	REINVEST DIV \$47.26
04/26/12	04/26/12	REINVESTMENT	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 04/24/12	MFPCSJ 3A0N73		1	4.003	REINVEST DIV \$59.20
04/23/12	04/23/12	REFUND	PACE REBATE			1	0.000	Refund \$1,101.01
04/23/12	04/23/12	REFUND	QUARTERLY FEE			1	0.000	Fee Charged \$1,101.01
04/23/12	04/23/12	SOLD	PACE MONEY MARKET INVESTMENTS FUND Trade# 20850 Block 37	MFPCMN 3A0N73		1	\$75.540	\$1,000 \$975.94
03/26/12	03/26/12	DIVIDEND	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 03/22/12	MFPCSJ 3A0N73		1	0.000	DIV \$57.70
03/26/12	03/26/12	DIVIDEND	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 03/22/12	MFPCHQ 3A0N74		1	0.000	DIV \$97.66
03/26/12	03/26/12	DIVIDEND	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 03/22/12	MFPCGI 3A0N76		1	0.000	DIV \$44.42
03/26/12	03/26/12	DIVIDEND	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 03/22/12	MFPCGU 3A0N92		1	0.000	DIV \$34.05
03/26/12	03/26/12	REINVESTMENT	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 03/22/12	MFPCGU 3A0N92		1	2.552	REINVEST DIV \$34.05
03/26/12	03/26/12	REINVESTMENT	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 03/22/12	MFPCGI 3A0N76		1	3.931	REINVEST DIV \$44.42
03/26/12	03/26/12	REINVESTMENT	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 03/22/12	MFPCHQ 3A0N74		1	9.631	REINVEST DIV \$57.56
03/26/12	03/26/12	REINVESTMENT	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 03/22/12	MFPCSJ 3A0N73		1	3.963	REINVEST DIV \$57.70
02/24/12	02/24/12	DIVIDEND	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 02/22/12	MFPCSJ 3A0N73		1	0.000	DIV \$52.79
02/24/12	02/24/12	DIVIDEND	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 02/22/12	MFPCHQ 3A0N74		1	0.000	DIV \$93.38
02/24/12	02/24/12	DIVIDEND	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 02/22/12	MFPCGI 3A0N76		1	0.000	DIV \$45.60
02/24/12	02/24/12	DIVIDEND	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 02/22/12	MFPCGU 3A0N92		1	0.000	DIV \$41.04
02/24/12	02/24/12	REINVESTMENT	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 02/22/12	MFPCGU 3A0N92		1	3.072	REINVEST DIV \$41.04
02/24/12	02/24/12	REINVESTMENT	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 02/22/12	MFPCGI 3A0N76		1	3.996	REINVEST DIV \$45.60
02/24/12	02/24/12	REINVESTMENT	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 02/22/12	MFPCHQ 3A0N74		1	9.264	REINVEST DIV \$53.38
02/24/12	02/24/12	REINVESTMENT	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 02/22/12	MFPCSJ 3A0N73		1	3.606	REINVEST DIV \$52.79
01/26/12	01/26/12	DIVIDEND	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 01/24/12	MFPCSJ 3A0N73		1	0.000	DIV \$58.59
01/26/12	01/26/12	DIVIDEND	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 01/24/12	MFPCHQ 3A0N74		1	0.000	DIV \$90.43
01/26/12	01/26/12	DIVIDEND	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 01/24/12	MFPCGI 3A0N76		1	0.000	DIV \$48.77
01/26/12	01/26/12	DIVIDEND	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 01/24/12	MFPCGU 3A0N92		1	0.000	DIV \$44.86
01/26/12	01/26/12	REINVESTMENT	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 01/24/12	MFPCGU 3A0N92		1	3.373	REINVEST DIV \$44.86
01/26/12	01/26/12	REINVESTMENT	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 01/24/12	MFPCGI 3A0N76		1	4.308	REINVEST DIV \$48.77
01/26/12	01/26/12	REINVESTMENT	PACE HIGH YIELD INVESTMENTS CLASS P AS OF 01/24/12	MFPCHQ 3A0N74		1	9.153	REINVEST DIV \$50.43
01/26/12	01/26/12	REINVESTMENT	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 01/24/12	MFPCSJ 3A0N73		1	4.043	REINVEST DIV \$58.59
01/24/12	01/24/12	FEE CHARGED	QUARTERLY FEE			1	0.000	Fee Charged \$1,011.57
01/24/12	01/24/12	REFUND	PACE REBATE			1	0.000	Refund \$120.36
01/24/12	01/24/12	SOLD	PACE MONEY MARKET INVESTMENTS FUND Trade# 25057 Block 37	MFPCMN 3A0N73		1	\$91.010	\$1,000 \$891.01
01/19/12	01/19/12	DIVIDEND	PACE MONEY MARKET INVESTMENTS FUND AS OF 01/18/12	MFPCMN 3A0N73		1	0.000	DIV \$0.01
01/19/12	01/19/12	REINVESTMENT	PACE MONEY MARKET INVESTMENTS FUND CLASS P AS OF 01/18/12	3A0N73		1	0.010	REINVEST DIV \$0.01
01/03/12	01/03/12	DIVIDEND	PACE MONEY MARKET INVESTMENTS FUND AS OF 12/30/11	MFPCMN 3A0N73		1	0.000	DIV \$0.01
01/03/12	01/03/12	REINVESTMENT	PACE MONEY MARKET INVESTMENTS FUND CLASS P AS OF 12/30/11	3A0N73		1	0.010	REINVEST DIV \$0.01

2 Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

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Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
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Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. Commission and fees for Equity trades are estimates, and may not match what is charged to the account. Fixed Income agency trades may not include commission and fees. Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some fees are not included intraday.

This report is for informational purposes only and may or may not include all holdings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The firm's periodic account statements and official tax documents are the only official record of client accounts and are not superseded, replaced, or amended by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, for tax purposes or otherwise.