

**HAND  
DELIVERED** Page 1 of 25

**UNITED STATES HOUSE OF REPRESENTATIVES  
2017 FINANCIAL DISCLOSURE STATEMENT**

**Form A**  
For Use by Members, Officers, and Employees

Name: Diane Lynn Black Daytime Telephone: \_\_\_\_\_

LEGISLATIVE RESOURCE CENTER  
OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES  
A \$200 penalty shall be assessed against any individual who files more than 30 days late.

<b>FILER STATUS</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>Tennessee</u> District: <u>Sixth</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
<b>REPORT TYPE</b>	<input type="checkbox"/> 2017 Annual (Due: May 15, 2018)	<input type="checkbox"/> Amendment	<input checked="" type="checkbox"/> Termination	Date of Termination: <u>January 3, 2019</u>	

**PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS**

<b>A.</b> Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>F.</b> Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>B.</b> Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>G.</b> Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>C.</b> Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>H.</b> Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<b>D.</b> Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>I.</b> Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>E.</b> Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</b>	

**IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

<b>IPO</b> - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>TRUSTS</b> - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>EXEMPTION</b> - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Diane Lynn Black

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BLOCK A			BLOCK B													BLOCK C								BLOCK D												BLOCK E
Assets and/or Income Sources			Value of Asset													Type of Income								Amount of Income												Transaction
<p>Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use only ticker symbols).</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.</p> <p>For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.</p>			<p>Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."</p> <p>*Column M is for assets held by your spouse or dependent child in which you have no interest.</p>													<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.</p>								<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.</p> <p>*Column XII is for assets held by your spouse or dependent child in which you have no interest.</p>												<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period.</p> <p>If only a portion of an asset was sold, please indicate as follows: (S (part)).</p> <p>Leave this column blank if there are no transactions that exceeded \$1,000.</p>
SP, DC, JT	EIF		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with income over \$1,000,000*	
		Examples																				Royalties														
		Simon & Schuster																				Partnership Income														
		ABC Hedge Fund	X																																	
JT		Ebon Felson, LLC - assets below																																		
		100 Atlantic Way, Nashville, TN 37228																																		
		341 Hill Avenue, Nashville, TN 37210																																		
		345 Hill Avenue, Nashville, TN 37210																																		
		365 Great Circle Road, Nashville, TN 37224																																		
		501 Great Circle Road, Nashville, TN 37228																																		

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Diane Lynn Black

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	EF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, Gift, or E	
JT	Elton Falcon LLC - assets continued																																				
	515 Great Circle Road, Nashville, TN 37228																																				
	555 Church St #210, Nashville, TN 37219																																				
	540 Mainstream, Nashville, TN 37228																																				
	10515 Front Beach Drive #3-1106																																				
	Parana City, FL 34202																																				
JT	Pinnacle Financial money market fund																																				
SP	Aegis Sciences Corporation - stock																																				
	American Legacy/Lincoln IRA																																				
	Growth																																				
	Global Small Cap																																				
	Asset Allocation																																				
	Bond																																				
	US Gov/AAA Rated Securities																																				
	Growth Income																																				
	International Growth & Income																																				

# SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Diane Lynn Black

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction				
SP, DC, JT	ASSET NAME	EF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
	American Legacy/Lincoln IRA continued																																					
	Global Growth	X						X														X																
	High Income Bond	X									X											X																
SP	American Legacy/Lincoln IRA																																					
	Growth	X																				X																
	Global Small Cap	X																				X																
	Global Growth	X																				X																
	Asset Allocation	X																				X																
	Bond	X																				X																
	US Gov/AAA Rated Securities	X																				X																
	International Growth & Income	X																				X																
	High Income Bond	X																				X																
	Growth Income	X																				X																
	International	X																				X																
	New World	X																				X																
SP	Alliance Life Annuity – fixed insurance account*															X																						

\* American Legacy Lincoln IRA assets were sold and invested in this annuity.

Use additional sheets if more space is required.

# SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Diane Lynn Black

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction				
SP, DC, JT	ASSET NAME	EF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
	None																																					
	\$1-\$1,000																																					
	\$1,001-\$15,000																																					
	\$15,001-\$50,000																																					
	\$50,001-\$100,000																																					
	\$100,001-\$250,000																																					
	\$250,001-\$500,000																																					
	\$500,001-\$1,000,000																																					
	\$1,000,001-\$5,000,000																																					
	\$5,000,001-\$25,000,000																																					
	\$25,000,001-\$50,000,000																																					
	Over \$50,000,000																																					
	Spouse/DC Asset over \$1,000,000*																																					
SP	Edward Jones IRA Guided Solutions account*																																					
	First Tr ETF Fd II Technology Alphadex	X																																				
	First Tr ETF Fund II Financial Alphadex	X																																				
	First Tr ETF Fd II Health Care Alphadex	X																																				
	First Tr Capital Strength ETF	X																																				
	First Tr Value Line Divdnd Index Fd Shares	X																																				
	First Tr Rising Dividend Achievers ETF	X																																				
	Shares Core S&P Mid Cap ETF	X																																				
	Shares Core S&P Small Cap ETF	X																																				
	Spdr S&P Tr S&P Dividend ETF	X																																				
	Vanguard S&P 500 ETF	X																																				
	American Growth Fund of America CI F3	X																																				
	Blackrock Total Return Fund Class I	X																																				
	MFS Corporate Bond R6	X																																				
	MFS International Diversification Fd CI I	X																																				
	Rothman Money Market	X																																				

\*All assets were sold and the proceeds were invested in the Allianz Life Annuity reported on the previous page.  
Use additional sheets if more space is required.

# SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Diane Lynn Black

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction					
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E			
SP	Morgan Stanley account-- assets below																																						
	Bank deposits																	X																					
	Cash																																						
	Hedgepremier/Akron Growth Partners	X																																					
	Hedgepremier/OZ DP II Fund II	X																																					
	GPS Plus on RTY 5/31/18	X																																					
	GS CI 12.15% WO RTY SXSE 9/8/17	X																																					
	BCS CI 10/3% WO RTY SPX SXSE 3/13/19	X																																					
	MS CI Autocall Under Amour 8.2% 8/10/18	X																																					
	Pimco Income CI P MIP	X																																					
	UBS CI 9.65% WO AC RTY SPX SXSE	X																																					
	CITI Plus on SPX 9/5/19	X																																					
	Shares Inc MSCI Japan ETF	X																																					
	Shares S&P 500 Growth ETF Index Fund	X																																					
	Shares S&P 500 Val ETF	X																																					
	Shares TR SD&P Midcap 400 Index Fund	X																																					

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**Use additional sheets if more space is required.**

# SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Diane Lynn Black

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BLOCK A Assets and/or Income Sources			BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with income over \$1,000,000*	P, S, S(part), or E
SP	Brown Brothers Hartman account – assets con	continued																	X																	matured
	Jacksonville FL Spr Rev Ser B-1 10/1/18 MB4	X																																		matured
	Jacksonville FL Spr Rev Ser B-1 10/1/18 NDO	X																	X																	
	Connecticut St Spl Tax Oblig Rev 2/1/19								X										X																	
	Massachusetts Bay Trans Rev Ser A 7/1/12								X										X																	
	Florida St Bd of Educ Ser D N/C 5% 6/1/24										X								X																	P
	Florida St Bd of Educ Ser A N/C 5% 6/1/24																		X																	P
	Minnesota St Ser B N/C 5% 6/1/20																		X																	P
	Virginia St Ctg Bldg Auth Ser A 5% 2/1/22																		X																	P
	Virginia St Ctg Bldg Auth Ser A 5% 9/1/22																																			P
	Wisconsin St Ser A 4% 5/1/27																		X																	P
	Washington MD Suburban 4% 6/1/22																		X																	P
	Ohio St Ref-Higher Ed Ser C 5% 8/1/22																																			P
	Ohio St Ref-Higher Ed Ser A 4% 5/1/23																		X																	P
	Texas St Transp Com Highway Fd 5% 4/1/23																		X																	P
	Washington St Ref-Ser R 5% 6/1/24																		X																	P



# SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Diane Lynn Black

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
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	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
SP	Brown Brothers Harriman account assets contributed																																			P
	Maryland St Ref-Ser B 5% 8/1/24																																			P
	Univ of Texas Tx Univ Rev Ref N/C 5% 8/15/24																																			P
	Dallas TX Indep Sch Dist N/C 5% 2/15/25																																			P
	New York St Dorm Auth Ref-Ser A N/C 5% 3/15/25																																			P
	North Carolina St Public Impl-Connect 5% 6/1/27																																			P
	New York City NY Trans N/C 5% 7/15/27																																			P
	Texas St Ref-Public Fin Auth Ser A 5% 10/1/28																																			P
	Alphabet Class C																																			S (part)
	Alligton PLC																																			P
	Celanese Corp Series A																																			S (part)
	Comcast Corp Class A																																			S (part)
	Copart Inc																																			P
	Diageo PLC Sponsored ADR																																			S (part)
	Discovery Communications																																			S (part)
	Dollar General																																			P

# SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Diane Lynn Black

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BLOCK A Assets and/or Income Sources			BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction				
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII				
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income over \$1,000,000*				
SP	Brown Brothers Harriman account assets continued																																						
	Brown-Forman Corp Class B					X											X							X														P	
	Fleeter Technologies Inc							X									X							X														P	
	Kroger Co						X										X																				P, S (part)		
	Liberty Interactive A/ Qurate Retail Inc Ser A						X										X							X													E, P		
	Liberty Global PLC Series C					X											X							X													P		
	Linde PLC						X										X																				P		
	KLA Tencor Corp					X											X																				S		
	Microsoft Corp			X													X																				P, S		
	Nielsen Holdings PLC Foreign Stock			X													X																				S (part)		
	Novartis AG ADR																X																				P, S (part)		
	Oracle Corp									X							X																				P, S		
	Perrigo PLC			X													X																				P, S		
	Praxair Inc			X													X																				P, S		
	Paycom Holdings						X										X																				P, S (part)		
	Qualcomm Inc					X											X																				P, S (part)		

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\*Fund manager letter on file with House Ethics Committee  
\*\*Mistakenly identified as Zoellis on previous Financial Disclosure Statement

**Use additional sheets if more space is required.**

# SCHEDULE B - TRANSACTIONS

Name: Diane Lynn Black

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SP, DC, JT		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
SP	Asset	Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouses/DC Asset)
SP	Example Mega Corp Stock			X		X	3/9/17		X									
SP	MSCI CI Autocal on Under Armour 8.2% 8/10/18		X				1/4/18			X								
SP	iShares S&P 500 Growth ETF Index Fund	X					1/4/18				X							
SP	Pimco Income	X					1/4/18		X									
SP	Pimco Income	X					5/8/18			X								
SP	iShares TR S&P Midcap 400 Index Fund	X					5/8/18			X								
SP	Vanguard FTSE Europe ETF	X					5/8/18		X									
SP	iShares Inc MSCI Japan ETF	X					5/16/18				X							
SP	CITI Plus on SPX 8/5/19	X					5/3/18					X						
SP	GS Plus on RTY 5/13/18		X				6/22/18										X	
SP	UBS CI 9.65% WO AC RTY SPX SXSE 9/24/18	X					7/23/18		X									
SP	iShares Inc MSCI Japan ETF			X			7/23/18			X								
SP	iShares Inc MSCI Japan ETF			X			7/26/18											
SP	Pimco Income			X			7/26/18				X							
SP	iShares S&P 500 Growth ETF Index Fund			X			7/26/18		X									
SP	iShares S&P 500 Growth ETF Index Fund			X			7/26/18			X								
SP	Vanguard FTSE Europe ETF			X			7/26/18				X							
SP	iShares Inc MSCI Japan ETF			X			8/31/18				X							
SP	iShares S&P 500 Val ETF			X		X	8/31/18					X						
SP	iShares TR S&P SMCOP 600 Index Fund	X					8/31/18			X								

Use additional sheets if more space is required.

# SCHEDULE B - TRANSACTIONS

Name: Diane Lynn Black

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

**Capital Gains:** If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

\* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YY) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/9/17		X									
SP	ISHares S&P 500 Growth ETF Index Fund	X					8/31/18				X							
SP	ISHares Inc MSCI Japan ETF			X			12/21/18			X								
SP	ISHares Inc MSCI Japan ETF		X				12/21/18			X								
SP	ISHares TR S&P SMCP 600 Index Fund		X				12/21/18			X								
SP	ISHares S&P 500 Growth ETF Index Fund			X			12/21/18				X							
SP	ISHares S&P 500 Growth ETF Index Fund		X				12/21/18					X						
SP	Vanguard FTSE Europe ETF			X			12/21/18		X									
SP	Vanguard FTSE Europe ETF		X				12/21/18			X								
JT	521 and 545 Great Circle Road, Nashville, TN 37228 (sold together)		X				10/12/18								X			
SP	Microsoft Corp			X		X	1/9/18		X									
SP	Perrigo Co PLC	X					1/18/18	X										
SP	Perrigo Co PLC		X				1/19/18	X										
SP	Microsoft Corp			X		X	1/22/18	X										
SP	Nielsen Holdings PLC Foreign Stock		X				1/22/18		X									
SP	Salve Corp	X					1/25/18	X										
SP	Alphabet Inc Class C			X		X	1/28/18	X										
SP	Microsoft Corp			X		X	1/31/18	X										

Use additional sheets if more space is required.

# SCHEDULE B - TRANSACTIONS

Name: Diane Lynn Black

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SP, DC, JT		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YY) or Quarterly, Monthly or Bi- weekly, if applicable	Amount of Transaction										
SP, DC, JT	Asset	Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/8/17		X									
SP	Nielsen Holdings PLC Foreign Stock	X					1/31/18	X										
SP	Salve Corp	X					2/1/18		X									
SP	Perrigo Co PLC	X					2/2/18	X										
SP	Perrigo Co PLC	X					2/5/18	X										
SP	Microsoft Corp			X		X	2/16/18	X										
SP	Microsoft Corp			X		X	2/21/18	X										
SP	Comcast Corp Class A	X					3/1/18		X									
SP	Perrigo Co PLC	X					3/2/18	X										
SP	Kroger Co	X					3/12/18	X										
SP	Qualcomm Inc	X					3/13/18		X									
SP	Kroger Co	X					3/14/18	X										
SP	Henry Schein Inc	X					3/15/18	X										
SP	Henry Schein Inc	X					3/16/18		X									
SP	Oracle	X					3/20/18		X									
SP	Kroger Co	X					3/22/18	X										
SP	Henry Schein Inc	X					3/22/18	X										
SP	Dallas TX Indep Sch Dist Ref N/C 5% 2/15/25	X					3/26/18		X									
SP	Nielsen Holdings PLC Foreign Stock	X					3/27/18	X										
SP	XRay Dentalpy Strona Inc	X					3/27/18		X									

# SCHEDULE B - TRANSACTIONS

Name: Diane Lynn Black

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YYYY) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
SP, DC, JT	Asset	Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/9/17		X									
SP	Oracle Corp	X					3/28/18	X										
SP	XRay Dentistry Sirona Inc	X					4/2/18	X										
SP	XRay Dentistry Sirona Inc	X					4/3/18		X									
SP	XRayDentistry Sirona Inc	X					4/6/18	X										
SP	Minnesota St Ser B N/C 5% 8/1/20	X					4/10/18		X									
SP	Liberty Interactive Corp exchanged for Qurate Retail Group Inc				X		4/10/18	X										
SP	Discovery Inc			X			4/16/18	X										
SP	Diageo PLC Sponsored ADR			X		X	4/16/18	X										
SP	Novartis AG ADR			X		X	4/16/18		X									
SP	Washington St Ref-Ser R N/C 5% 8/1/24	X					4/16/18		X									
SP	BBH Limited Duration Fund			X			4/17/18			X								
SP	Microsoft Corp		X				4/18/18	X										
SP	Virginia St Ctg Bldg Auth Ser A 5% 2/1/22	X					4/18/18		X									
SP	Ohio State Ser A N/C 4% 5/1/23	X					4/25/18		X									
SP	Washington MD Suburban San Dist Ref N/C 4% 6/1/22	X					4/25/18		X									
SP	Unilever NV-NY			X		X	5/1/18	X										
SP	Florida St Bd of Edu Public Edu Ref Ser A N/C 5% 6/1/26	X					5/1/18		X									
SP	BBH Limited Duration Fund			X		X	5/2/18			X								
SP	Nielson Holdings PLC Foreign Stock	X					5/3/18	X										

Use additional sheets if more space is required.

# SCHEDULE B - TRANSACTIONS

Name: Diane Lynn Black

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SP, DC, JT		Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YY) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
			Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example	Mega Corp. Stock			X		X	3/8/17		X									
SP		US Bancorp	X					5/9/18	X										
SP		Florida St Bnd of Edu Public Ed Ref Ser D NYC 5% 6/1/20	X					5/4/18		X									
SP		BBH Limited Duration Fund			X			5/7/18			X								
SP		XRay Dentsply Sirona Inc	X					5/15/18	X										
SP		XRay Dentsply Sirona Inc	X					5/17/18	X										
SP		XRay Dentsply Sirona Inc	X					5/18/18	X										
SP		Qurate Retail Inc Ser A	X					6/7/18	X										
SP		Alliegon PLC	X					6/8/18	X										
SP		Qurate Retail Inc Ser A	X					6/13/18	X										
SP		Alliegon PLC	X					6/11/18	X										
SP		Alliegon PLC	X					6/12/18	X										
SP		Alliegon PLC	X					6/13/18	X										
SP		Alliegon PLC	X					6/14/18	X										
SP		Alliegon PLC	X					6/15/18	X										
SP		Alliegon PLC	X					6/18/18	X										
SP		Qurate Retail Inc Ser A	X					6/15/18	X										
SP		Unilever NY-NY			X			7/6/18		X									
SP		Henry Schein Inc			X			7/6/18	X										
SP		Pengo Co PLC			X			7/6/18	X										
SP		Diageo PLC Sponsored ADR			X			7/6/18	X										

Use additional sheets if more space is required.



# SCHEDULE B - TRANSACTIONS

Name: Diane Lynn Black

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SP, DC, JT		Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
			Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example	Mega Corp. Stock			X		X	3/8/17		X									
SP		Comcast Corp Class A			X			7/6/18	X										
SP		Discovery Inc			X			7/6/18	X										
SP		Nielsen Holdings PLC Foreign Stock			X			7/6/18	X										
SP		Novartis AG ADR			X		X	7/6/18	X										
SP		Dollar General Corp	X					7/20/18	X										
SP		Dollar General Corp	X					7/23/18	X										
SP		Dollar General Corp	X					7/25/18	X										
SP		Oracle Corp			X		X	7/26/18		X									
SP		Diageo PLC Sponsored ADR			X		X	7/26/18	X										
SP		US Bancorp			X		X	7/26/18		X									
SP		Novartis AG ADR			X		X	7/26/18		X									
SP		Nielsen Holdings PLC Foreign Stock		X				7/26/18		X									
SP		Salve Corp			X		X	7/26/18	X										
SP		Celanese Corp Series A			X		X	7/26/18		X									
SP		XRay Dentsply Sirona Inc			X			7/26/18	X										
SP		Qualcomm Inc			X		X	7/26/18	X										
SP		Parigo Co PLC			X			7/26/18	X										
SP		Allergon PLC	X					7/27/18	X										
SP		Unilever NV/NY			X		X	8/1/18	X										

Use additional sheets if more space is required.

# SCHEDULE B - TRANSACTIONS

Name: Diane Lynn Black

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SP, DC, JT		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YYYY) or Quarterly, Monthly or Bi- weekly, if applicable	Amount of Transaction											
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)	
SP	Example																		
	Mega Corp Stock			X		X	3/9/17		X										
SP	Henry Schein Inc			X		X	8/1/08	X											
SP	Kroger Co			X		X	8/1/08	X											
SP	Salvo Corp			X			8/1/18	X											
SP	Qualcomm Inc			X		X	8/1/18	X											
SP	Dollar General Corp			X			8/2/18	X											
SP	Alleghen PLC		X				8/30/18	X											
SP	Dollar General Corp		X				8/30/18	X											
SP	XRay Dentaply Sirona Inc			X			9/4/18	X											
SP	XRay Dentaply Sirona Inc			X			9/5/18	X											
SP	XRay Dentaply Sirona Inc			X			9/12/18	X											
SP	XRay Dentaply Sirona Inc			X			9/19/18	X											
SP	Texas St Transp'n Ref 1st Tier-Ser A NYC 5% 4/1/23		X				9/20/18	X											
SP	North Carolina St Public Implt NC-Ser A NYC 5% 6/1/27		X				9/24/18	X											
SP	Jacksonville FLA Spt Rev Ser B-1 5% 10/1/18 (matured)			X			10/1/18		X										
SP	Jacksonville FLA Spt Rev Ser B-1 5% 10/1/18 (matured)			X			10/1/18			X									
SP	Copart Inc		X				10/2/18	X											
SP	Copart Inc		X				10/3/18	X											
SP	Dollar General Corp		X				10/3/18	X											
SP	Texas St Ref-Public Fin Auth-Ser A NYC 5% 10/1/28		X				10/4/18		X										

Use additional sheets if more space is required.

# SCHEDULE B - TRANSACTIONS

Name: Diane Lynn Black

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SP	Example Mega Corp. Stock			X		X	3/9/17		X									
SP	Copert Inc	X					10/4/18	X										
SP	KLA-Tencor Corp	X					10/4/18		X									
SP	Discovery Inc			X		X	10/5/18		X									
SP	Perrigo Co PLC		X				10/9/18			X								
SP	Alleghen PLC	X					10/9/18	X										
SP	KLA-Tencor Corp	X					10/10/18	X										
SP	Copert Inc	X					10/9/18	X										
SP	Copert Inc	X					10/10/18	X										
SP	Wisconsin St Ser A 4% 5/1/27	X					10/17/18		X									
SP	New YorkCity NY Trans Fin Auth Bldg Ref Ser S-3 n/c 5% 7/15/27	X					10/18/18		X									
SP	Ohio State Ref-Higher Ed Bonds - Ser C n/c 5% 8/1/22	X					10/19/18		X									
SP	New York St Debt Auth Ref Ser A n/c 5% 3/15/25	X					10/23/18		X									
SP	Praxair Inc	X					10/23/18		X									
SP	Alleghen PLC	X					10/23/18	X										
SP	Alleghen PLC	X					10/24/18	X										
SP	Praxair Inc		X			X	10/31/18		X									
SP	Linde PLC	X					10/31/18		X									
SP	Univ of Texas TX Univ Rev Ref-Ang Sys-Ser C n/c 5% 8/15/24	X					11/2/18		X									

# SCHEDULE B – TRANSACTIONS

Name: Diane Lynn Black

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SP	Example Mega Corp Stock			X		X	3/9/17		X									
SP	Virginia St Cig Bldg Auth Educational Facs Rev Ser A NIC 9/1/22	X					11/7/28		X									
SP	XRay Dentisply Sirona Inc			X			11/16/18	X										
SP	XRay Dentisply Sirona Inc			X			11/21/18	X										
SP	XRay Dentisply Sirona Inc			X			11/26/18	X										
SP	XRay Dentisply Sirona Inc		X				11/27/18	X										
SP	Dollar General Corp	X					12/4/18	X										
SP	Copart Inc	X					12/4/18	X										
SP	Kroger Co			X		X	12/6/18	X										
SP	Fleecor Technologies Inc	X					12/17/18		X									
SP	Fleecor Technologies Inc	X					12/18/18		X									
SP	Copart Inc	X					12/20/18	X										
SP	Brown-Forman Corp Class B	X					12/20/18	X										
SP	Brown-Forman Corp Class B	X					12/21/18	X										
SP	Fleecor Technologies Inc	X					12/24/18	X										
SP	US Bancorp	X					12/24/18		X									
SP	Brown-Forman Corp Class B	X					12/24/18	X										
SP	Brown-Forman Corp Class B	X					12/27/18	X										

Use additional sheets if more space is required.

# SCHEDULE B - TRANSACTIONS

Name: **Diane Lynn Black**

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		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/9/17		X									
SP	American Legacy/Lincoln IRA																	
	Growth		X				8/27/18		X									
	Global Small Cap		X				8/27/18		X									
	Global Growth		X				8/27/18		X									
	Asset Allocation		X				8/27/18		X									
	Bond		X				8/27/18		X									
	US Gov/AAA Rated Securities		X				8/27/18				X							
	International Growth & Income		X				8/27/18		X									
	High Income Bond		X				8/27/18		X									
	Growth Income		X				8/27/18			X								
	International		X				8/27/18		X									
	New World		X				8/27/18		X									
SP	Alliant Life Annuity	X					8/27/18					X						
SP	Edward Jones IRA																	
	First Tr ETF Fund II Technology Alphadex Fund		X				9/1/18	X										
	First Tr ETF Fund II Financial Alphadex		X				9/1/18	X										
	First Tr ETF Health Care Alphadex		X				9/1/18	X										
	First Tr Capital Strength ETF		X				9/1/18		X									
	First Tr Rising Dividend Achievers ETF		X				9/1/18		X									

Use additional sheets if more space is required.

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**Use additional sheets if more space is required**

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**INCOME LIMITS and PROHIBITED INCOME:** The 2017 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,765. The 2018 limit is \$28,050. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

[illegible]

# SCHEDULE D - LIABILITIES

Name: Diane Lynn Black

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property, including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/15	Mortgage on Rental Property, Dover, DE				X							
SP	Morgan Stanley	4/17	Loan against securities											X
SP	The Farmers Bank	3/16	mortgage on personal residence - revolving line of credit											X

# SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Member	Ebon Falcon, LLC
Limited Partner & Member	Empirical Capital Partners, LP (position terminated 4/1/17)



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**EXCLUDE:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

**Use additional sheets if more space is required.**