



Filing ID #10028925

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Seth Moulton  
**Status:** Member  
**State/District:** MA06

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2018  
**Filing Date:** 11/5/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
"Called to Serve: Learning to Lead in War and Peace," St. Martin's Press [OT]		Undetermined	royalties	None	<input type="checkbox"/>
DESCRIPTION: The value of this asset is unascertainable. This is a book contract.					
Liz: America Funds Roth IRA ⇒ American Funds 2045 Target Date Retirement Fund® Class A [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ AMG Managers Fairpointe Mid Cap Fund Class I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ AMG Yacktman Focused Fund Class N [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ AQR Long-Short Equity Fund Class N [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ DBX ETF TR/X-TRACKERS MSCI EAF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ Eaton Vance Global Macro Absolute Return Fund Class I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Liz: Eagle Fund IRA ⇒ Fund Fidelity ® Government Money Market Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ Invesco Oppenheimer Developing Markets Fund Class A [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ iShares Corps MSP500 [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ iShares MSCI United Kingdom Index [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ ISHARES TR/EDGE MSCI USA QLTY [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ Metropolitan West Total Return Bond Fund Class I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ Oakmark International Fund Investor Class [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ Oakmark Select Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ Parnassus Core Equity Fund - Institutional Shares [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ SCHWAB STRATEGI/SCHWAB FUNDAMENTAL [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ T Rowe Price Institutional Floating Rate Fund [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ TCW Emerging Markets Income [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ Templeton Global Bond Fund Class A [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ Vanguard Growth ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Health Care ETF [EF]					
Liz: Eagle Fund IRA ⇒ Vanguard Long-Term Bond ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ Vanguard Mid-Cap ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ VANGUARD TAX-MA/FTSE DEVELOPED MKTS [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ Vanguard Total Bond Market ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ Wasatch Small Cap Growth Fund® Investor Class [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ AMG Yacktman Focused Fund Class N [MF]	SP	None	None		<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ Fidelity® Government Money Market Fund [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ Invesco Oppenheimer Developing Markets Fund Class A [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ iShares MSCI United Kingdom Index [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ iShares S&P 500 Index [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ John Hancock Funds Disciplined Value Mid Cap Fund Class A [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ MLPX MLP & Energy Infrastructure ETF [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ Oakmark International Fund Investor Class [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ Oakmark Select Fund Investor Class [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Liz: Transfer on Death Account ⇒ Parnassus Core Equity Fund - Institutional Shares [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ PRIMECAP Odyssey Growth Fund [MF]	SP	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ SCHWAB STRATEGI/SCHWAB FUNDAMENTAL [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ Short Term National Municipal Bond Fund Common Stock [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ SMI 50/40/10 Fund [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ SMLIX DWS Managed Municipal Bond Inst Fund [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ SPDR Nuveen Bloomberg Barclays [EF]	SP	None	None		<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ T Rowe Price Institutional Floating Rate Fund [EF]	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ T Rowe Price Tax-Free Income Fund; [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ TCW Emerging Markets Income Fund Class Institutional [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ Vanguard Mid-Cap ETF [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ VANGUARD TAX-MA/FTSE DEVELOPED MKTS [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ Wasatch Small Cap Growth Fund [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ Wells Fargo Fd Short-Term Municipal Bond Class A [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Liz: Transfer on Death Account ⇒ WELLS FARGO FUNDS TRUST HIGH YI [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Liz: Variable Life Insurace ⇒ MainStay Growth Allocation Fund [WU]	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
Niece's 529 Account ⇒ American Funds College 2033 Fund Class 529-A [MF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Seth: American Funds IRA ⇒ American Funds 2050 Target Date Retirement Fund® Class A [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ AMG Managers Fairpointe Mid Cap Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ AMG Yacktman Focused Fund Class N [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ AQR Long-Short Equity Fund Class N [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ Eaton Vance Global Macro Absolute Return Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ Fidelity Government Money Market Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ Invesco Oppenheimer Developing Markets Fund Class A [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ iShares MSCI United Kingdom Index [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ iShares S&P 500 Index [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ ISHARES TR/EDGE MSCI USA QLTY [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ Metropolitan West Total Return Bond Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Seth: Eagle Strategies Roth IRA ⇒ Oakmark International Fund Investor Class [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ Oakmark Select Fund; [MF]		None	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ Parnassus Core Equity Fund - Institutional Shares [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ SCHWAB STRATEGI/SCHWAB FUNDAMENTAL [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ Vanguard Growth ETF [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ Vanguard Health Care ETF [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ Vanguard Mid-Cap ETF [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ VANGUARD TAX-MA/FTSE DEVELOPED MKTS [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ Wasatch Small Cap Growth Fund® Investor Class [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ Xtrackers MSCI EAFE Hedged Equity ETF [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Spencer Stuart SSMC N.V. Class B unvested restricted stock [PS]	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
DESCRIPTION: Spencer Stuart 353 N. Clark Suite 2400 Chicago, 60654 United States (Executive search and leadership consulting)					
Thea's 529 ⇒ NH Portfolio 2030 multi-firm [5P]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: NY					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Liz: Eagle Fund IRA ⇒ AMG Yacktman Focused Fund Class N [MF]	SP	06/22/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ AQR Long-Short Equity Fund Class N [MF]	SP	06/22/2018	P	\$1,001 - \$15,000	
Liz: Eagle Fund IRA ⇒ DBX ETF TR/X-TRACKERS MSCI EAF [EF]	SP	06/25/2018	P	\$1,001 - \$15,000	
Liz: Eagle Fund IRA ⇒ Eaton Vance Global Macro Absolute Return Fund Class I [MF]	SP	06/22/2018	P	\$1,001 - \$15,000	
Liz: Eagle Fund IRA ⇒ Invesco Oppenheimer Developing Markets Fund Class A [MF]	SP	06/22/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ iShares Corps MSP500 [EF]	SP	06/25/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ iShares MSCI United Kingdom Index [EF]	SP	06/25/2018	P	\$1,001 - \$15,000	
Liz: Eagle Fund IRA ⇒ ISHARES TR/EDGE MSCI USA QLTY [EF]	SP	06/25/2018	P	\$1,001 - \$15,000	
Liz: Eagle Fund IRA ⇒ OANLX Oakmark Select Institutional Fund [MF]	SP	06/22/2018	P	\$1,001 - \$15,000	
Liz: Eagle Fund IRA ⇒ Parnassus Core Equity Fund - Institutional Shares [MF]	SP	06/22/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ SCHWAB STRATEGI/SCHWAB FUNDAMENTAL [EF]	SP	06/25/2018	P	\$1,001 - \$15,000	
Liz: Eagle Fund IRA ⇒ Templeton Global Bond Fund Class A [MF]	SP	06/22/2018	P	\$1,001 - \$15,000	
Liz: Eagle Fund IRA ⇒ Vanguard Health Care ETF [EF]	SP	06/25/2018	P	\$1,001 - \$15,000	
Liz: Eagle Fund IRA ⇒ Vanguard Long-Term Bond ETF [EF]	SP	06/25/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Liz: Eagle Fund IRA ⇒ Vanguard Mid-Cap ETF [EF]	SP	06/25/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ VANGUARD TAX-MA/FTSE DEVELOPED MKTS [EF]	SP	06/25/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Eagle Fund IRA ⇒ Wasatch Small Cap Growth Fund® Investor Class [MF]	SP	06/22/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ AMG Yacktman Focused Fund Class N [MF]	SP	10/19/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ AMG Yacktman Focused Fund Class N [MF]	SP	04/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ GLB X FUNDS/MLP & ENERGY INFRAS [EF]	SP	10/22/2018	P	\$1,001 - \$15,000	
Liz: Transfer on Death Account ⇒ Invesco Oppenheimer Developing Markets Fund Class A [MF]	SP	10/19/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ Invesco Oppenheimer Developing Markets Fund Class A [MF]	SP	04/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ Invesco Oppenheimer Developing Markets Fund Class A [MF]	SP	02/27/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ Invesco Oppenheimer Developing Markets Fund Class A [MF]	SP	01/30/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ iShares MSCI United Kingdom Index [EF]	SP	10/22/2018	P	\$1,001 - \$15,000	
Liz: Transfer on Death Account ⇒ iShares S&P 500 Index [EF]	SP	10/22/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Liz: Transfer on Death Account ⇒ iShares S&P 500 Index [EF]	SP	04/19/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Liz: Transfer on Death Account ⇒ iShares S&P 500 Index [EF]	SP	02/28/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Liz: Transfer on Death Account ⇒ ISHARES TR/SHORT-TERM NATL MUN [MF]	SP	10/22/2018	P	\$1,001 - \$15,000	
Liz: Transfer on Death Account ⇒ John Hancock Funds Disciplined Value Mid Cap Fund Class A [MF]	SP	04/18/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Liz: Transfer on Death Account ⇒ Oakmark International Fund Investor Class [MF]	SP	04/18/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Liz: Transfer on Death Account ⇒ Oakmark Select Fund Investor Class [MF]	SP	10/19/2018	P	\$1,001 - \$15,000	
Liz: Transfer on Death Account ⇒ Oakmark Select Fund Investor Class [MF]	SP	04/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ Parnassus Core Equity Fund - Institutional Shares [MF]	SP	04/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ PRIMECAP Odyssey Growth Fund [MF]	SP	10/19/2018	P	\$1,001 - \$15,000	
Liz: Transfer on Death Account ⇒ ROWE PRICE TAX FREE INCOME FUND [MF]	SP	10/30/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ SCHWAB STRATEGI/SCHWAB FUNDAMENTAL [EF]	SP	10/22/2018	P	\$1,001 - \$15,000	
Liz: Transfer on Death Account ⇒ SMI 50/40/10 Fund [MF]	SP	09/28/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ SMI 50/40/10 Fund [MF]	SP	07/31/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ SMI 50/40/10 Fund [MF]	SP	04/30/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ SMI 50/40/10 Fund [MF]	SP	04/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ SMLIX DWS Managed Municipal Bond Inst Fund [MF]	SP	10/30/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Liz: Transfer on Death Account ⇒ SPDR Nuveen Bloomberg Barclays Short Term Municipal Bond [EF]	SP	10/22/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ SPDR Nuveen Bloomberg Barclays Short Term Municipal Bond [EF]	SP	04/19/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ T Rowe Price Institutional Floating Rate Fund [MF]	SP	10/19/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ T Rowe Price Tax-Free Income Fund [MF]	SP	03/29/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ T. Rowe Price Institutional Floating Rate Fund [MF]	SP	04/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ T. Rowe Price Tax-Free Income Fund Advisor Class [MF]	SP	08/29/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ T. Rowe Price Tax-Free Income Fund Advisor Class [MF]	SP	06/28/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ T. Rowe Price Tax-Free Income Fund Advisor Class [MF]	SP	04/30/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ T. Rowe Price Tax-Free Income Fund Advisor Class [MF]	SP	04/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ TCW Emerging Markets Income Fund Class Institutional [MF]	SP	04/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ Vanguard Mid-Cap ETF [EF]	SP	10/22/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ Vanguard Mid-Cap ETF [EF]	SP	04/19/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ VANGUARD TAX-MA/FTSE DEVELOPED MKTS [EF]	SP	10/22/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ VANGUARD TAX-MA/FTSE DEVELOPED MKTS [EF]	SP	04/19/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Liz: Transfer on Death Account ⇒ Wasatch Small Cap Growth Fund® Investor Class [MF]	SP	10/19/2018	P	\$1,001 - \$15,000	
Liz: Transfer on Death Account ⇒ Wells Fargo High Yield Municipal Bd Inst [EF]	SP	10/19/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ Wells Fargo High Yield Municipal Bond [MF]	SP	04/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Transfer on Death Account ⇒ Wells Fargo Short-Term Municipal Bond Fund; [MF]	SP	04/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Liz: Variable Life Insurance ⇒ MainStay Growth Allocation Fund [WU]  DESCRIPTION: Liz's insurance	SP	Monthly	P	\$1,001 - \$15,000	
Seth: Eagle Strategies Roth IRA ⇒ Eaton Vance Global Macro Absolute Return Fund Class I [MF]		06/22/2018	P	\$1,001 - \$15,000	
Seth: Eagle Strategies Roth IRA ⇒ Invesco Oppenheimer Developing Markets Fund Class A [MF]		06/22/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ iShares MSCI United Kingdom Index [EF]		06/25/2018	P	\$1,001 - \$15,000	
Seth: Eagle Strategies Roth IRA ⇒ iShares S&P 500 Index [EF]		06/25/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ ISHARES TR/EDGE MSCI USA QLTY [EF]		06/25/2018	P	\$1,001 - \$15,000	
Seth: Eagle Strategies Roth IRA ⇒ MG Yacktman Focused Fund Class N [MF]		06/22/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ Oakmark Select Fund Investor Class [MF]		06/22/2018	P	\$1,001 - \$15,000	
Seth: Eagle Strategies Roth IRA ⇒ Oakmark Select Fund Investor Class [MF]		06/22/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Seth: Eagle Strategies Roth IRA ⇒ SCHWAB STRATEGI/SCHWAB FUNDAMENTAL [EF]		06/25/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Seth: Eagle Strategies Roth IRA ⇒ Vanguard Health Care ETF [EF]		06/25/2018	P	\$1,001 - \$15,000	
Seth: Eagle Strategies Roth IRA ⇒ VANGUARD TAX-MA/FTSE DEVELOPED MKTS [EF]		06/25/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount
Korn Ferry Management Consulting	spouse salary	N/A
Spencer Stuart	spouse salary	N/A

### SCHEDULE D: LIABILITIES

None disclosed.

### SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Salem YMCA

### SCHEDULE F: AGREEMENTS

None disclosed.

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### SCHEDULE A AND B ASSET CLASS DETAILS

- Liz: America Funds Roth IRA (Owner: SP)

- Liz: Eagle Fund IRA (Owner: SP)
- Liz: Transfer on Death Account (Owner: SP)  
LOCATION: US
- Liz: Variable Life Insurance (Owner: SP)
- Niece's 529 Account  
LOCATION: MA
- Seth: American Funds IRA
- Seth: Eagle Strategies Roth IRA
- Thea's 529 (Owner: SP)  
LOCATION: NY

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Seth Moulton , 11/5/2019