

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2008 FINANCIAL DISCLOSURE STATEMENT

FORM A  
For use by Members, officers, and employees

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Wayne Edward Whitfield  
(Full Name)

202-225-3115  
(Daytime Telephone)

☒ Member of the U.S. House of Representatives  
State: KY District: 01

☐ Officer Or Employee

Employing Office:

Report Type: ☒ Annual (May 15) ☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule I.		If yes, complete and attach Schedule VI.	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule II.		If yes, complete and attach Schedule VII.	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule III.		If yes, complete and attach Schedule VIII.	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule IV.		If yes, complete and attach Schedule IX.	
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	
If yes, complete and attach Schedule V.			

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

LEGISLATIVE RESOURCE CENTER  
2009 MAY 15 PM 4:15  
(Office Use Only)

HAND DELIVERED

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**SCHEDULE I - EARNED INCOME**

Name Wayne Edward Whitfield

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
CSX Corporation	Pension Benefit	\$15,000
The Humane Society of the United States	Spouse Salary	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Wayne Edward Whitfield

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BLOCK A Asset and/or Income Source  Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.  Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset  at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income  Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.	BLOCK D Amount of Income  For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.	BLOCK E Transaction  Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
Adobe Systems	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
Amgen Stock	None	CAPITAL GAINS	\$201 - \$1,000	S
AOL Time Warner	None	DIVIDENDS	\$201 - \$1,000	S
Apple Inc.	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
California St 4% 16	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
California St 4.5% 18	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Wayne Edward Whitfield

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Chevron Stock	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
Cisco	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Citi Corp	None	DIVIDENDS	\$201 - \$1,000	S
Citrix Systems Inc.	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
Coca-Cola	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Commercial Real Estate- Chemical Lane, Huntington Beach, CA	None	RENT	NONE	S

Congressional Credit Union	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
CSX Corp. Bond	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
CVS Caremark	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
Duke Energy	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Ebay Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
Exxon	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	
Fidelity Blue Chip Fund	\$15,001 - \$50,000	DIVIDENDS/INTE REST	\$1,001 - \$2,500	
Fidelity IRA	\$1,001 - \$15,000	DIVIDENDS/INTE REST	\$201 - \$1,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Wayne Edward Whitfield

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	Flavor X	None	None	NONE	S
	General Electric	None	DIVIDENDS	\$201 - \$1,000	S
	Google	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
	Hewlett Packard	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
SP	Integrys Energy	None	DIVIDENDS	\$5,001 - \$15,000	S
	Intel	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	International Business	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
	Jefferson Partners Commercial Real Estate, Temecula, CA	None	None	NONE	S
	Jefferson Partners Commercial Real Estate, Temecula, CA	None	None	NONE	S
	Jefferson Partners S-LLC	None	None	NONE	S
	Los Angeles County 4% 11	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
	Los Angeles County 4% 14	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	P
	Los Angeles County 5% 15	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	P
SP	Manulife Financial	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Wayne Edward Whitfield

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Marshall Isley Bank	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
May Department	\$15,001 - \$50,000	DIVIDENDS	\$5,001 - \$15,000	
McDonalds	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
Metropolitan Water 4% 12	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	P
Nokia	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Northwestern Annuity	\$1,001 - \$15,000	DIVIDENDS//INTE REST	\$1 - \$200	
Old National Bank Building 1027 Indianapolis Road Green Castle, IN 46135	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	P
Payless Shoes	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
PepsiCo Inc	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
Pfizer	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Proctor and Gamble	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
Qualcomm Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
Rental Property-Vista Del Lido, Newport Beach, CA	None	RENT	NONE	S
Sacramento County Municipal	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	P

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Wayne Edward Whitfield

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Schering-Plough	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Schwab Account Cash	\$250,001 - \$500,000	INTEREST	\$2,501 - \$5,000	
SPDR Gold TR	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
Sprint Nextel	None	DIVIDENDS	\$201 - \$1,000	S
TIAA-CREFF	None	DIVIDENDS	NONE	S
United Bank	\$100,001 - \$250,000	INTEREST	\$201 - \$1,000	
United Technologies	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
US Government Thrift	\$250,001 - \$500,000	CAPITAL GAINS	NONE	
Vacant Lot-Greenbrier-Sporting Club, Travelers Hill, White Sulfur Springs, WV Lot 26	\$100,001 - \$250,000	None	NONE	
Vanguard GNMA	None	DIVIDENDS/CAPITAL GAINS	NONE	S
Vanguard Midcap Index	None	DIVIDENDS/INTEREST	NONE	S
Vanguard S&P 500 Fund	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	NONE	
Walt Disney Co.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
Wells Fargo	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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White Oak Aoc. Growth Fund	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	NONE	
William Blair Growth Fund	\$1,001 - \$15,000	Other: (Please specify) Mutual Fund	N/A	P
Williams Energy Stock	None	DIVIDENDS	NONE	S
Xerox	None	DIVIDENDS	NONE	S



# SCHEDULE IV - TRANSACTIONS

Name Wayne Edward Whitfield

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Adobe Systems	P	10-2-08	\$1,001 - \$15,000
	Amgen	S	6-11-08	\$1,001 - \$15,000
	AOL Time Warner	S	10-9-08	\$1,001 - \$15,000
	Apple Inc.	P	6-13-08	\$15,001 - \$50,000
	California St 4% 16	P	10-14-08	\$15,001 - \$50,000
	California St 4.5% 18	P	6-25-08	\$50,001 - \$100,000
	Citi Corp	S	8-8-08	\$1,001 - \$15,000
	Citrix Systems Inc.	P	6-11-08	\$15,001 - \$50,000
	Commercial Real Estate Chemical Lane, Huntington Beach, CA	S	1-29-08	\$250,001 - \$500,000
	CVS Caremark	P	8-13-08	\$15,001 - \$50,000
	Ebay Inc	P	9-22-08	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name Wayne Edward Whitfield

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Flavor X	S	9-7-08	\$50,001 - \$100,000
	General Electric	S	5-5-08	\$50,001 - \$100,000
	Google	P	7-22-08	\$15,001 - \$50,000
	Hewlett Packard	P	8-11-08	\$15,001 - \$50,000
	Integrys Energy	S	6-11-08	\$1,001 - \$15,000
	International Business	P	7-8-08	\$15,001 - \$50,000
	Jefferson Partners Commercial (2) /Jefferson Partners-LLC Real Estate, Temecula, CA	S	2-3-08	\$250,001 - \$500,000
	Los Angeles County 4% 11	P	6-19-08	\$15,001 - \$50,000
	Los Angeles County 4% 14	P	7-25-08	\$50,001 - \$100,000
	Los Angeles County 5% 15	P	7-25-08	\$50,001 - \$100,000
	McDonalds	P	10-3-08	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name Wayne Edward Whitfield

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	McDonalds	P	10-17-08	\$1,001 - \$15,000
	Metropolitan Water 4% 12	P	6-19-08	\$50,001 - \$100,000
	Old National Bank Building 1027 Indianapolis Road Green Castle, IN 46135	P	5-23-08	\$500,001 - \$1,000,000
	PepsiCo Inc	P	6-18-08	\$15,001 - \$50,000
	Proctor and Gamble	P	6-16-08	\$15,001 - \$50,000
	Qualcomm Inc.	P	10-2-08	\$1,001 - \$15,000
	Rental Property-Vista Del Lido, Newport Beach, CA	S	1-28-08	\$250,001 - \$500,000
	Sacramento County Municipal	P	7-25-08	\$50,001 - \$100,000
	SPDR Gold TR	P	8-11-08	\$15,001 - \$50,000
	Sprint Nextel	S	6-11-08	\$1,001 - \$15,000
	TIAA-CREFF	S	5-6-08	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name Wayne Edward Whitfield

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	United Technologies	P	6-23-08	\$15,001 - \$50,000
	Vanguard Midcap Index	S	6-11-08	\$1,001 - \$15,000
	Vanguard GNMA	S	6-11-08	\$1,001 - \$15,000
	Walt Disney Co.	P	10-3-08	\$15,001 - \$50,000
	Walt Disney Co.	P	10-17-08	\$1,001 - \$15,000
	Wells Fargo	P	10-3-08	\$1,001 - \$15,000
	William Blair Growth Fund	P	12-18-08	\$1,001 - \$15,000
	Williams Energy Stock	S	9-8-08	\$1,001 - \$15,000
	Xerox	S	8-13-08	\$1,001 - \$15,000

# SCHEDULE V - LIABILITIES

Name Wayne Edward Whiffield

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
BB&T		Mortgage-Lot 26 Greenbrier White Sulphur Springs, WV	\$100,001 - \$250,000

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name Wayne Edward Whitefield

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Aspen Institute	March 24- 30	DC-Chicago-Hawaii-DC	Y	Y	Y	0 days
The Aspen Institute	August 17- 23	DC-Philadelphia-Paris-DC	Y	Y	Y	0 days

**SCHEDULE VIII - POSITIONS**

Name Wayne Edward Whitfield

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Governors	Wesley Theological Seminary