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U.S. HOUSE OF REPRESENTATIVES

# UNITED STATES HOUSE OF REPRESENTATIVES

**FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007**

**FORM A** For use by Members, officers, and employees



| | |
|---|---|
| Jim Marshall | 4787420085 |
| (Full Name) | (Daytime Telephone) |

(Office Use Only)

| | | | |
|---|---|---|---|
| **Filer Status** | ✓ Member of the U.S. House of Representatives — State: GA, District: 3 | ☐ Officer Or Employee | Employing Office: |
| **Report Type** | ✓ Annual (May 15) | ☐ Amendment | ☐ Termination — Termination Date: |

**A $200 penalty shall be assessed against anyone who files more than 30 days late.**

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | |
|---|---|---|
| I. | Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** | Yes ✓ No ☐ |
| II. | Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** | Yes ☐ No ✓ |
| III. | Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** | Yes ✓ No ☐ |
| IV. | Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** | Yes ✓ No ☐ |
| V. | Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** | Yes ☐ No ✓ |
| VI. | Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** | Yes ☐ No ✓ |
| VII. | Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.** | Yes ☐ No ✓ |
| VIII. | Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** | Yes ✓ No ☐ |
| IX. | Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** | Yes ☐ No ✓ |

**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | |
|---|---|---|
| **Trusts-** | Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes ☐ No ✓ |
| **Exemptions--** | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? | Yes ☐ No ✓ |

# SCHEDULE I - EARNED INCOME

Name Jim Marshall



**List the source, type, and amount of *earned income from any* source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned *income exceeding* $1,000.**

| Source | Type | Amount |
|---|---|---|
| Office of the Chapter 13 Trustee | Spouse Salary | n/a |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jim Marshall



| | BLOCK A<br>**Asset and/or Income Source**<br>Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more *than* $200 *in* "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds *(do not use ticker symbols)*. For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, *even if not exercised, to select* the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement *plans that are not self-directed,* name the institution holding the account and its value at the end of the reporting period. For an active business that is not *publicly traded, state the name of the* business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.<br><br>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal *savings accounts*; any financial interest in or income derived from U.S. Government retirement programs.<br><br>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), *in the optional column on the far left.* | BLOCK B<br>**Year-End Value of Asset**<br>*at close of reporting* year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is *included only because* it is generated income, the value should be "None." | BLOCK C<br>**Type of Income**<br>Check all columns that apply. Check "None" if asset did not generate any income during the *calendar year. If other* than one of the listed categories, specify the type of income by writing a brief description in this *block. (For example:* Partnership income or Farm Income) | BLOCK D<br>**Amount of Income**<br>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the *category of income* by checking the appropriate box below. Dividends, *even* if reinvested, should be listed as income. Check *"None" if no* income was earned. | BLOCK E<br>**Transaction**<br>Indicate if asset *had purchases* (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year. |
|---|---|---|---|---|---|
| | 12.5 % of 46.5 acres in Wilkinsons County | $1,001 - $15,000 | CAPITAL GAINS | NONE | |
| | 7.5% Of Minerals 125 acres, Wilkinson County, GA | $1,001 - $15,000 | CAPITAL GAINS | NONE | |
| SP | Alabama Power Co | $1,001 - $15,000 | DIVIDENDS | $201 - $1,000 | Other |
| SP | Allianz | $1,001 - $15,000 | INTEREST | $201 - $1,000 | Other |
| SP | Am Cent Ultra IC | $1,001 - $15,000 | CAPITAL GAINS | NONE | |
| SP | American Euro Pacific | $1,001 - $15,000 | CAPITAL GAINS/DIVIDENDS | $1 - $200 | Other |

| | | | | | |
|---|---|---|---|---|---|
| SP | American Growth Fund | $1,001 - $15,000 | CAPITAL GAINS/DIVIDENDS | $1 - $200 | Other |
| SP | Ameritrade | $1 - $1,000 | INTEREST | $1 - $200 | |
| SP | BHP Billiton | $1,001 - $15,000 | DIVIDENDS/CAPITAL GAINS | $1 - $200 | P |
| JT | Black Rock bond fund, formerly know as Merrill Lynch Municipal Bond Fund/ | $50,001 - $100,000 | INTEREST | $201 - $1,000 | |
| JT | Branch Banking and Trust | $50,001 - $100,000 | Interest | $201 - $1,000 | |
| SP | Cardinal Health | $1,001 - $15,000 | CAPITAL GAINS/DIVIDENDS | $1 - $200 | |
| JT | Cisco Systems | $1,001 - $15,000 | CAPITAL GAINS | NONE | |
| SP | Coca Cola | $50,001 - $100,000 | CAPITAL GAINS/DIVIDENDS | $1,001 - $2,500 | Other |
| | CREF Bond Market | $1,001 - $15,000 | DIVIDENDS | NONE | |
| | CREF Global Equities | $1,001 - $15,000 | CAPITAL GAINS | NONE | |
| | CREF Growth | $1,001 - $15,000 | CAPITAL GAINS | NONE | |
| | CREF Stock (Retirement) | $250,001 - $500,000 | CAPITAL GAINS | NONE | |
| SP | Davis NY Venture | $1,001 - $15,000 | DIVIDENDS/CAPITAL GAINS | $1 - $200 | Other |

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jim Marshall



| | | | | | |
|---|---|---|---|---|---|
| SP | Davis Opportunity Fund | $1,001 - $15,000 | CAPITAL GAINS/DIVIDENDS | $1 - $200 | Other |
| SP | Dryden National Muncipals | $15,001 - $50,000 | INTEREST | $201 - $1,000 | Other |
| | Ecopolis I Ltd | $15,001 - $50,000 | CAPITAL GAINS | $2,501 - $5,000 | |
| | Ecopolis I Ltd | $1,001 - $15,000 | INTEREST | $1,001 - $2,500 | |
| SP | Fedex | $1,001 - $15,000 | CAPITAL GAINS/DIVIDENDS | $1 - $200 | |
| SP | Fidelity Magellan | $1,001 - $15,000 | DIVIDENDS/CAPITAL GAINS | $201 - $1,000 | Other |
| | Fideltiy Contrafund | $1,001 - $15,000 | CAPITAL GAINS | NONE | |
| SP | Jennison Utility Fund | $15,001 - $50,000 | DIVIDENDS/CAPITAL GAINS | $201 - $1,000 | Other |
| | Jennison Utility Fund formerly Prudential Utilities Fund | $15,001 - $50,000 | CAPITAL GAINS | NONE | |
| SP | Jennison Value Fd | $15,001 - $50,000 | CAPITAL GAINS/DIVIDENDS | $201 - $1,000 | Other |
| | McGinnis Capital LP | $250,001 - $500,000 | CAPITAL GAINS | $50,001 - $100,000 | |
| JT | Merril Lynch Institutional Funds | $100,001 - $250,000 | INTEREST | $5,001 - $15,000 | |
| JT | Merrill Lynch Cash Management Account | $15,001 - $50,000 | Dividends | $2,501 - $5,000 | |

| | | | | | |
|---|---|---|---|---|---|
| SP | Merrill Lynch Consults IXIS-CDP-C | $250,001 - $500,000 | Capital Gains | NONE | |
| | Merrill LYNCH CONSULTS-Allianz (formerly managed byAIM INVESCO) | $250,001 - $500,000 | CAPITAL GAINS/DIVIDENDS | NONE | |
| SP | Merrill Lynch Institutional Fund | $100,001 - $250,000 | INTEREST | $5,001 - $15,000 | Other |
| SP | Met Life Affiliates | $1 - $1,000 | INTEREST | $1 - $200 | |
| | Nation wide money market, formerlyGartmore Money Mkt Fd Ps | $1,001 - $15,000 | INTEREST | NONE | |
| | Nationwide Fund D(formerly gartmore) | $1,001 - $15,000 | CAPITAL GAINS | NONE | |
| | Nationwide LGCAP GR formerly American Century Ultra | $1,001 - $15,000 | CAPITAL GAINS | NONE | |
| SP | Oracle | $1,001 - $15,000 | CAPITAL GAINS | NONE | |
| SP | Pfizer | None | CAPITAL GAINS | NONE | S |
| SP | Professional Women's Investment Group | $1,001 - $15,000 | CAPITAL GAINS/DIVIDENDS | $201 - $1,000 | |
| SP | Prudential Stock | $15,001 - $50,000 | Dividends | $201 - $1,000 | |
| SP | RVS Disciplined Equity Fund CL A | $1,001 - $15,000 | CAPITAL GAINS | $201 - $1,000 | Other |
| SP | RVS Large Cap Equity Fund | $15,001 - $50,000 | CAPITAL GAINS | NONE | Other |

| | | | | | |
|---|---|---|---|---|---|
| SP | RVS Tax-exempt High Income Fund | $1,001 - $15,000 | INTEREST | $201 - $1,000 | Other |
| SP | Symetra Life Retirment Services | $15,001 - $50,000 | INTEREST | $1,001 - $2,500 | Other |
| JT | T. Rowe Price Science and Technology Fund | $1 - $1,000 | CAPITAL GAINS | NONE | |
| JT | T. Rowe Price Tax Exempt Money Market | $15,001 - $50,000 | DIVIDENDS | $1,001 - $2,500 | |
| JT | T. Rowe Price Tax Free Short Intermediate | $50,001 - $100,000 | DIVIDENDS | $2,501 - $5,000 | |
| | Temp Foreign FND Cls A | $1 - $1,000 | CAPITAL GAINS | NONE | |
| SP | Templeton Growth | $1,001 - $15,000 | CAPITAL GAINS/DIVIDENDS | $1,001 - $2,500 | Other |
| | TIAA Real Estate | $1,001 - $15,000 | CAPITAL GAINS | NONE | |
| | Tiaa Traditonal(Retirement) | $100,001 - $250,000 | INTEREST | $2,501 - $5,000 | |
| | TIAA-Cref International Equty | $1,001 - $15,000 | CAPITAL GAINS | NONE | P |
| | TIAA-Cref Lifecycle Fund 2015 | $15,001 - $50,000 | CAPITAL GAINS | NONE | P |
| | TIAA-Cref Midcap Value | $1,001 - $15,000 | CAPITAL GAINS | NONE | P |
| | TIAA-Cref Small Cap Value | $1,001 - $15,000 | DIVIDENDS/CAPITAL GAINS | NONE | P |
| SP | United States Savings Bonds | $50,001 - $100,000 | INTEREST | $201 - $1,000 | Other |

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jim Marshall



| | | | | | |
|---|---|---|---|---|---|
| SP | Vanguard 500 Index Fund | $15,001 - $50,000 | INTEREST/CAPITAL GAINS | $1,001 - $2,500 | Other |
| SP | Walgreen | $1,001 - $15,000 | CAPITAL GAINS/DIVIDENDS | $1 - $200 | |

# SCHEDULE IV - TRANSACTIONS

Name Jim Marshall



**Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief**

| SP, DC, JT | Asset | Type of Transaction | Date | Amount of Transaction |
|---|---|---|---|---|
| SP | BHP Billiton | P | 08/21/2007 | $1,001 - $15,000 |
| | TIAA-Cref Midcap Value | P | 01/23/2007 | $1,001 - $15,000 |
| | TIAA-Cref Small Cap Value | P | 01/23/2007 | $1,001 - $15,000 |
| | TIAA-Cref International Equty | P | 01/23/2007 | $1,001 - $15,000 |
| | TIAA-Cref Lifecycle Fund 2015 | P | 01/23/2007 | $1,001 - $15,000 |
| SP | Fidelity Magellan | Other | 07/01/2007 | $1,001 - $15,000 |
| SP | RVS Disciplined Equity Fund CL A | Other | 07/01/2007 | $1,001 - $15,000 |
| SP | RVS Tax-exempt High Income Fund | Other | 07/01/2007 | $1,001 - $15,000 |
| SP | RVS Large Cap Equity Fund | Other | 07/01/2007 | $1,001 - $15,000 |
| SP | Dryden National Muncipals | Other | 07/01/2007 | $1,001 - $15,000 |
| SP | Vanguard 500 Index Fund | Other | 07/01/2007 | $15,001 - $50,000 |
| SP | Symetra Life Retirment Services | Other | 07/01/2007 | $15,001 - $50,000 |

# SCHEDULE IV - TRANSACTIONS

Name Jim Marshall



**Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief**

| SP, DC, JT | Asset | Type of Transaction | Date | Amount of Transaction |
|---|---|---|---|---|
| SP | United States Savings Bonds | Other | 07/01/2007 | $50,001 - $100,000 |
| SP | Merrill Lynch Institutional Fund | Other | 07/01/2007 | $100,001 - $250,000 |
| SP | Alabama Power Co | Other | 07/01/2007 | $1,001 - $15,000 |
| SP | Coca Cola | Other | 07/01/2007 | $50,001 - $100,000 |
| SP | American Growth Fund | Other | 07/01/2007 | $1,001 - $15,000 |
| SP | American Euro Pacific | Other | 07/01/2007 | $1,001 - $15,000 |
| SP | Davis NY Venture | Other | 07/01/2007 | $1,001 - $15,000 |
| SP | Davis Opportunity Fund | Other | 07/01/2007 | $1,001 - $15,000 |
| SP | Davis Opportunity Fund | Other | 07/01/2007 | $1,001 - $15,000 |
| SP | Jennison Utility Fund | Other | 07/01/2007 | $15,001 - $50,000 |
| SP | Jennison Value Fd | Other | 07/01/2007 | $15,001 - $50,000 |
| SP | Templeton Growth | Other | 07/01/2007 | $1,001 - $15,000 |

# SCHEDULE IV - TRANSACTIONS

Name Jim Marshall



**Report any purchase; sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief**

| SP, DC, JT | Asset | Type of Transaction | Date | Amount of Transaction |
|---|---|---|---|---|
| SP | Allianz | Other | 07/01/2007 | $1,001 - $15,000 |
| SP | Pfizer | S | 12/27/2007 | $1,001 - $15,000 |

# SCHEDULE VIII - POSITIONS

Name Jim Marshall



**Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.**

| Position | Name of Organization |
|---|---|
| Pro Bono Counsel | Better Business Bureau |
| Board Member | Education First/Community in Schools |
| President | Macon Heritage Housing Foundaton |

# FOOTNOTES



| Number | Section / Schedule | Footnote | This note refers to the following item |
|---|---|---|---|
| 1 | Schedule III | All of the assets shown identified with the transaction term "other" were inherited by the spouse when her mother died and the estate was distributed to the heirs. | |