

# UNITED STATES HOUSE OF REPRESENTATIVES

Page 1 of 2

## Periodic Transaction Report

LEGISLATIVE RESOURCE CENTER

NAME:

John A. Yarmuth

OFFICE TELEPHONE:

202 2255401

2014 SEP 19 AM 11:15

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES  
**HAND DELIVERED**

☒ Member of the U.S. House of Representatives

State: KY District: 3

File an original and 2 copies.

A \$300 penalty shall be assessed against anyone who files more than 30 days late.

Did you purchase any shares that were allocated as a part of an Initial Public Offering? ☐ YES ☒ NO

☐ Officer or Employee

Employing Office: \_\_\_\_\_

File an original and 1 copy.

Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending.

☐ Initial Report ☐ Amendment

Date of Report being Amended: \_\_\_\_\_

(For Official Use Only)

FULL ASSET NAME	TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION									
	PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J
JT SP DC	Provide full name, not ticker symbol.			(RANDOM)	(RANDOM)	\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000
Example: Mega Corp. Common Stock		X		8/14/12	8/14/12		X								
Absolute Strategies Fund - I	X			8/04/14	9/8/14	X									
Oppenheimer Developing Markets-Y	X			8/05/14	9/8/14	X									
TCW Funds Inc. Emerging Mkts	X			8/05/14	9/8/14	X									
AT&T Inc.	X			8/11/14	9/8/14	X									
Altria Group Inc.	X			8/11/14	9/8/14	X									

(This page will be publicly disclosed.)

# UNITED STATES HOUSE OF REPRESENTATIVES

## Periodic Transaction Report

NAME:

John A. Yarmuth

JT SP DC	FULL ASSET NAME  Provide full name, not ticker symbol.	TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION (MM/DD/YY)	DATE NOTIFIED OF TRANS-ACTION (MM/DD/YY)	AMOUNT OF TRANSACTION											
		PURCHASE	SALE	EXCHANGE			A \$1,000- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000		
	American International Group Inc.	X			8/11/14	9/8/14	X											
	Apple Inc.	X			8/11/14	9/8/14	X											
	Bank America Corp.	X			8/11/14	9/8/14	X											
	CVS/Caremark Corporation	X			8/11/14	9/8/14	X											
	Capital One Financial Corp.	X			8/11/14	9/8/14	X											
	Chevron Corporation	X			8/11/14	9/8/14	X											
FOOTNOTE NUMBER	FILER NOTES (optional)																	

# UNITED STATES HOUSE OF REPRESENTATIVES

## Periodic Transaction Report

NAME:

John A. Yarmuth

JT SP DC	FULL ASSET NAME  Provide full name, not ticker symbol.	TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION (MM/DD/YY)	DATE NOTIFIED OF TRANS-ACTION (MM/DD/YY)	AMOUNT OF TRANSACTION											
		PURCHASE	SALE	EXCHANGE			A \$1,000-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000		
	Comcast Corp. Class A	X			8/11/14	9/8/14	X											
	Walt Disney B.	X			8/11/14	9/8/14	X											
	East West Bancorp Inc.	X			8/11/14	9/8/14	X											
	Exxon Mobil Corp.	X			8/11/14	9/8/14	X											
	Gilead Sciences Inc. Common	X			8/11/14	9/8/14	X											
	Home Depot Inc.	X			8/11/14	9/8/14	X											
FOOTNOTE NUMBER	FILER NOTES (optional)																	

# UNITED STATES HOUSE OF REPRESENTATIVES

Page 4 of 9

## Periodic Transaction Report

NAME:

John A. Yarmuth

FULL ASSET NAME	TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION										
	PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	
JT SP DC	Provide full name, not ticker symbol.			(MM/DD/YY)	(MM/DD/YY)	\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	
Google Inc.	X			8/11/14	9/8/14	X										
Johnson & Johnson	X			8/11/14	9/8/14	X										
Estee Lauder Cos. Inc.	X			8/11/14	9/8/14	X										
McKesson Corporation	X			8/11/14	9/8/14	X										
Mylan Inc.	X			8/11/14	9/8/14	X										
Nextera Energy	X			8/11/14	9/8/14	X										

FOOTNOTE  
NUMBER

FILER NOTES (optional)

(This page will be publicly disclosed.)

# UNITED STATES HOUSE OF REPRESENTATIVES

## Periodic Transaction Report

NAME:

John A. Yarmuth

FULL ASSET NAME		TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION										
JT SP DC	Provide full name, not ticker symbol.	PURCHASE	SALE	EXCHANGE	(MM/DD/YY)	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J	
	Packaging Corp. of America	X			8/11/14	9/8/14	X										
	Sandisk Corp.	X			8/11/14	9/8/14	X										
	Schlumberger Ltd.	X			8/11/14	9/8/14	X										
	3M Co.	X			8/11/14	9/8/14	X										
	US Bancorp Del.	X			8/11/14	9/8/14	X										
	United Rentals Inc.	X			8/11/14	9/8/14	X										

FOOTNOTE  
NUMBER

FILER NOTES (optional)

(This page will be publicly disclosed.)

# UNITED STATES HOUSE OF REPRESENTATIVES

Page 6 of 9

## Periodic Transaction Report

NAME:

John A. Harmonth

JT SP DC	FULL ASSET NAME  Provide full name, not ticker symbol.	TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION (MM/DD/YY)	DATE NOTIFIED OF TRANS-ACTION (MM/DD/YY)	AMOUNT OF TRANSACTION											
		PURCHASE	SALE	EXCHANGE			A \$1,000-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000		
	Morgan Stanley	X			8/20/14	9/8/14	X											
	Union Pacific Corp.	X			8/20/14	9/8/14	X											
	Berkshire Hathaway Inc. <sup>Class B New</sup>	X			8/20/14	9/8/14	X											
	Merck & Co. Inc. New	X			8/20/14	9/8/14	X											
	Eaton Vance Mutual Funds TR		X		8/05/14	9/8/14	X											
	Touchstone Small Cap Gse Fund		X		8/05/14	9/8/14	X											
FOOTNOTE NUMBER	FILER NOTES (optional)																	

# UNITED STATES HOUSE OF REPRESENTATIVES

## Periodic Transaction Report

NAME:

John A. Yarmuth

FULL ASSET NAME		TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION										
JT SP DC	Provide full name, not ticker symbol.	PURCHASE	SALE	EXCHANGE	(MM/DD/YY)	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J	
							\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	
	UBS E-Tracs African Mgt. Infrastructure	X			8/07/14	9/8/14	X										
	<del>Automatic Data Processing</del>	X			8/11/14	9/8/14	X										
	Berkshire Hathaway Inc. Class B	X			8/11/14	9/8/14	X										
	CHUBB Corp.	X			8/11/14	9/8/14	X										
	Citigroup Inc.	X			8/11/14	9/8/14	X										
	Ecolab Inc.	X			8/11/14	9/8/14	X										

FOOTNOTE  
NUMBER

FILER NOTES (optional)

# UNITED STATES HOUSE OF REPRESENTATIVES

## Periodic Transaction Report

NAME:

John A. Yarmuth

JT SP DC	FULL ASSET NAME	TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION												
		PURCHASE	SALE	EXCHANGE			(MM/DD/YY)	(MM/DD/YY)	A \$1,000- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	
	Provide full name, not ticker symbol.																		
	General Electric Co.	X			8/11/14	9/8/14	X												
	International Business Machines	X			8/11/14	9/8/14	X												
	Repsco Inc. Common	X			8/11/14	9/8/14	X												
	Proctor & Gamble Co.	X			8/11/14	9/8/14	X												
	Health Care Select Sector Spdr	X			8/11/14	9/8/14	X												
	Energy Select Sector Spdr	X			8/11/14	9/8/14	X												
FOOTNOTE NUMBER	FILER NOTES (optional)																		



# UNITED STATES HOUSE OF REPRESENTATIVES

Page 2 of 2

## Periodic Transaction Report

NAME:

John A. Yarmuth

JT SP DC	FULL ASSET NAME  Provide full name, not ticker symbol.	TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION (MM/DD/YY)	DATE NOTIFIED OF TRANS-ACTION (MM/DD/YY)	AMOUNT OF TRANSACTION											
		PURCHASE	SALE	EXCHANGE			A \$1,000-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000		
	<i>TJX Cos. New Connem</i>		X		<i>8/11/14</i>	<i>9/8/14</i>	X											
	<i>United Technologies Corp.</i>		X		<i>8/11/14</i>	<i>9/8/14</i>	X											
	<i>Walgreen Co.</i>		X		<i>8/11/14</i>	<i>9/8/14</i>	X											
	<i>American Express Co.</i>		X		<i>8/20/14</i>	<i>9/8/14</i>	X											
	<i>Deere &amp; Co.</i>		X		<i>8/20/14</i>	<i>9/8/14</i>	X											
	<i>Mylen Inc.</i>		X		<i>8/26/14</i>	<i>9/8/14</i>	X											
FOOTNOTE NUMBER	FILER NOTES (optional)																	