



Filing ID #10014468

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. John C. Carney Jr.
Status: Former Member
State/District: DE00

FILING INFORMATION

Filing Type: Terminated Filer Report
Filing Year: 2017
Filing Date: 02/2/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Delaware College Savings Plan ⇒ Delaware College Savings Plan (DC1) DESCRIPTION: Sam's account	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Delaware College Savings Plan ⇒ Delaware College Savings Plan (DC2) DESCRIPTION: Jim's account	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Delaware State Employees Pension Fund		Undetermined	None	<input type="checkbox"/>
Fidelity 457 Retirement Plan ⇒ 1975 American Funds 2020 TDate R6 DESCRIPTION: transfer of Fidelity Funds into Voya account		\$250,001 - \$500,000	Tax-Deferred	<input checked="" type="checkbox"/>
Fidelity 457 Retirement Plan ⇒ Fidelity Balanced DESCRIPTION: Sold and transferred into 1975 American Fund 2020		None	Tax-Deferred	<input checked="" type="checkbox"/>
Fidelity 457 Retirement Plan ⇒ Fidelity Convertible Securities DESCRIPTION: Sold and transferred into 1975 American Fund 2020		None	Tax-Deferred	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Fidelity 457 Retirement Plan ⇒ Fidelity Diversified International		None	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Sold and transferred into 1975 American Fund 2020				
Fidelity 457 Retirement Plan ⇒ Fidelity Freedom 2025		None	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred to 1975 American 2020				
Fidelity 457 Retirement Plan ⇒ Fidelity Freedom Fund 2030		None	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Sold and transferred into 1975 American Fund 2020				
Fidelity 457 Retirement Plan ⇒ Fidelity Growth Company		None	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Sold and transferred to 1975 American 2020				
Fidelity 457 Retirement Plan ⇒ Fidelity Low Priced Stock		None	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Sold and transferred into 1975 American Fund 2020				
Fidelity 457 Retirement Plan ⇒ Fidelity Mid Cap Value		None	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Sold and transferred into 1975 American Fund 2020				
Fidelity 457 Retirement Plan ⇒ Fidelity Real Estate Inc.		None	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Sold and transferred to 1975 American Fund 2020				
Fidelity 457 Retirement Plan ⇒ Fidelity Select Biotech		None	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Sold and transferred into 1975 American Fund 2020				
Fidelity 457 Retirement Plan ⇒ Fidelity Strategic Dividend and Income		None	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Sold and transferred into 1975 American Fund 2020				
Fidelity 457 Retirement Plan ⇒ Invesco Small Cap Growth		None	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Sold and transferred on 1975 American Fund 2020				
Fidelity 457 Retirement Plan ⇒ PIMCO Total Return Inst.		None	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Sold and transferred into 1975 American Fund 2020				
Fidelity 457 Retirement Plan ⇒ Templeton Global Bond A		None	Tax-Deferred	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Sold and transferred into 1975 American Fund 2020					
Fidelity 457 Retirement Plan ⇒ Vanguard Institutional Index		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Sold and transferred into 1975 American Fund 2020					
M & T Bank Roth IRA	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
M&T Bank CD ⇒ M&T Bank CD	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
M&T Savings Account ⇒ M&T Savings Account	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Active Assets Account ⇒ Unit First Trust Sabrient Baker's Dozen 2016	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Inherited from Tracey's father, William T. Quillen					
Morgan Stanley Unified Managed Account ⇒ Activision Blizzard, Inc (ATVI)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Acuity Brands Inc (AYI)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Adobe Systems Incorporated (ADBE)	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Alexion Pharmaceuticals, Inc. (ALXN)	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA)	SP	\$1,001 - \$15,000	Capital Gains	None	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Amazon.com, Inc. (AMZN)	SP	\$1 - \$1,000	Dividends	None	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ American Electric Power Company, Inc. (AEP)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ American Electric Power Company, Inc. (AEP)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒	SP	\$100,001 -	Capital Gains,	\$5,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
American Wa Mutual F2 (WMFFX)		\$250,000	Interest	\$15,000	
Morgan Stanley Unified Managed Account ⇒ Apple Inc. (AAPL)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Biogen Inc. (BIIB)	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Bristol-Myers Squibb Company (BMY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Celgene Corporation (CELG)	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Charles Schwab Corporation (SCHW)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Chemours Company (CC)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Chevron Corporation (CVX)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Cohen and Steers Realty SHS Inc	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Columbia Select Lg Cap Growth A	SP	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Congress Mid Cap Growth Instl (IMIDX)	SP	\$15,001 - \$50,000	Capital Gains, Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Costco Wholesale Corporation (COST)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Delaware Diverse Floating Rt I (DDFLX)	SP	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ DexCom, Inc. (DXCM)	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Dreyfus Intl Bond I	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Unified Managed Account ⇒ E.I. du Pont de Nemours and Company (DD)	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Eastman Chemical Company (EMN)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Edwards Lifesciences Corporation (EW)	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Facebook, Inc. - Class A (FB)	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Illumina, Inc. (ILMN)	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Intercept Pharmaceuticals, Inc. (ICPT)	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Intercontinental Exchange Inc. (ICE)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Invescp Small Cap Growth A	SP	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ iShares MSCI Japan Index Fund (EWJ)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ iShares Russell 2000 Growth ETF (IWO)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ iShares Russell Mid-cap Value ETF (IWS)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ iShares TIPS Bond ETF (TIP)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Johnson & Johnson (JNJ)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Johnson & Johnson (JNJ)	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ JP Morgan Chase & Co. (JPM)	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Unified Managed Account ⇒ JP Morgan Mid Cap Value A	SP	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Loomis Sayles Bond Retail	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Lord Abbett Sht Duration Inc F (LDLFX)	SP	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Mainstay Hi Yield Corp Bond A	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Mainstay Hi Yld Corp Bond (MHYIX)	SP	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Mainstay ICAP Intl A	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Mainstay ICAP Select Equity A	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ MercadoLibre, Inc. (MELI)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Mobileye N.V. Ordinary Shares (MBLY)	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Monster Beverage Corporation (MNST)	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Morgan Stanley Bank NA	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Nike, Inc. (NKE)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Norfolk Southern Corporation (NSC)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ NVIDIA Corporation (NVDA)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Oppenheimer Intl Growth Y (OIGYX)	SP	\$100,001 - \$250,000	Capital Gains, Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Unified Managed Account ⇒ Palo Alto Networks, Inc. (PANW)	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ PEPCO Holdings, Inc (POM)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Pepsico, Inc. (PEP)	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ PIMCO Low Duration A	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ PNC Financial Services Group, Inc. (PNC)	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Putnam Diversified Inc TR C	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Salesforce.com Inc (CRM)	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ ServiceNow, Inc. (NOW)	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Sherwin-Williams Company (SHW)	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Shire plc - American Depositary Shares, each representing three Ordinary Shares (SHPG)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Spectra Energy Corp (SE)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Splunk Inc. (SPLK)	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Starbucks Corporation (SBUX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Tesla Motors, Inc. (TSLA)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ The Priceline Group Inc. (PCLN)	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Unified Managed Account ⇒ Ulta Salon, Cosmetics & Fragrance, Inc. (ULTA)	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Vertex Pharmaceuticals Incorporated (VRTX)	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Victory RS Partners (RSPYX)	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Virtus Insight Mkts I (HIEMX)	SP	\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Virtus Multi Sect Short Term Bond A	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Visa Inc. (V)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Western Asset Core Plus BD I (WACPX)	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Western Digital Corporation (WDC)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TIAA-CREF ⇒ AME Capital World Bond R6 DESCRIPTION: purchase shares	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
TIAA-CREF ⇒ CREF Money Market	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ Northern Small Cap Value Fund DESCRIPTION: purchase shares	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
TIAA-CREF ⇒ PIMCO Foreign Bond (UNH) Inst	SP	None	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ T. Rowe Price Int'l Growth and Income	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ Target Small Cap Value T	SP	None	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TIAA-CREF ⇒ TIAA-CREF Lg Cap Val Index Institutional	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ TIAA-CREF T-C Large Cap Value Index_Inst	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Purchase of shares					
TIAA-CREF ⇒ TIAA-CREF Traditional	SP	\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
TIAA-CREF ⇒ TIAA-CREF Traditional RC 1	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ Vang Equity Income Admiral	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ Vanguard Equity Income Admiral	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Exchange into new funds					
TIAA-CREF ⇒ Vanguard Explorer Fund Admiral	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ Vanguard Total Bond Market Index	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ Wells Fargo Adv Core Bond I	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Vanguard IRA ⇒ Vanguard Balanced Index Fund	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Vanguard IRA ⇒ Vanguard Explorer Fund Investor	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Vanguard IRA ⇒ Vanguard Primecap Fund Investor	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Vanguard IRA ⇒ Vanguard Windsor II Investor	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Vanguard Roth IRA ⇒ Vanguard Explorer Fund Investor		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Roth IRA ⇒ Vanguard Strategic Equity	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Vanguard Taxable Cash Accts ⇒ Vanguard Balanced Index Fund	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Taxable Cash Accts ⇒ Vanguard Money Market Fund	JT	\$1,001 - \$15,000	Dividends, Interest	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Taxable Cash Accts ⇒ Vanguard Total Market Bond Fund	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Delaware College Savings Plan ⇒ Delaware 529 College Savings DESCRIPTION: \$33,561 withdraw for Tufts Univ.	DC	07/8/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Delaware College Savings Plan ⇒ Delaware 529 College Savings plan DESCRIPTION: \$19,368 Withdraw for Clemson	DC	07/27/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Delaware College Savings Plan ⇒ Delaware 529 College Savings Plan DESCRIPTION: \$30,000 withdraw for Tufts	DC	11/22/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity 457 Retirement Plan ⇒ 1975 American Fund 2020 Date R6 DESCRIPTION: Transfer from Fidelity Accts		09/16/2016	P	\$250,001 - \$500,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Balanced DESCRIPTION: Transfer to 1975 American Fund 2020		09/13/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity 457 Retirement Plan ⇒ Fidelity Convertible Securities DESCRIPTION: transfer to 1975 American Fund 2020		09/13/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity 457 Retirement Plan ⇒ Fidelity Diversified International		09/13/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Transfer to 1975 American Fund 2020					
Fidelity 457 Retirement Plan ⇒ Fidelity Freedom 2025		09/13/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Transfer to 1975 American Fund 2020					
Fidelity 457 Retirement Plan ⇒ Fidelity Freedom Fund 2030		09/13/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Transfer to Voya					
Fidelity 457 Retirement Plan ⇒ Fidelity Growth Company		09/13/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Transfer to 1975 American Fund 2020					
Fidelity 457 Retirement Plan ⇒ Fidelity Low Priced Stock		09/13/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Transfer to 1975 American Fund 2020					
Fidelity 457 Retirement Plan ⇒ Fidelity Mid Cap Value		09/13/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Transfer to 1975 American 2020					
Fidelity 457 Retirement Plan ⇒ Fidelity Real Estate Inc.		09/13/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Transfer to 1975 American Fund 2020					
Fidelity 457 Retirement Plan ⇒ Fidelity Select Biotech		09/13/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Transfer to 1975 American Fund 2020					
Fidelity 457 Retirement Plan ⇒ Fidelity Strategic Dividend and Income		09/13/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Transfer to 1975 American Fund 2020					
Fidelity 457 Retirement Plan ⇒ Invest Small Cap Growth		09/13/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Transfer to 1975 American Fund 2020					
Fidelity 457 Retirement Plan ⇒ PIMCO Total Return Inst.		09/13/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Transfer to 1975 American Fund 2020					
Fidelity 457 Retirement Plan ⇒ Templeton Global Bond A		09/13/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Transfer to 1975 American Fund 2020					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity 457 Retirement Plan ⇒ Vanguard Institutional Index		09/13/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Transfer to 1975 American Fund 2020					
Morgan Stanley Active Assets Account ⇒ Loomis Sayles Bond Inst	SP	01/27/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Abbott Laboratories (ABT)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ AbbVie Inc. (ABBV)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Activision Blizzard, Inc (ATVI)	SP	06/21/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Activision Blizzard, Inc (ATVI)	SP	09/29/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Acuity Brands Inc (AYI)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Adobe Systems Incorporated (ADBE)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Alexion Pharmaceuticals, Inc. (ALXN)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA)	SP	09/29/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Amazon.com, Inc. (AMZN)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ American Electric Power Company, Inc. (AEP)	SP	01/27/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒	SP	04/22/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
American Mutual F2			(partial)		
Morgan Stanley Unified Managed Account ⇒ American Wa Mutual F2	SP	01/27/2016	P	\$50,001 - \$100,000	
Morgan Stanley Unified Managed Account ⇒ American Wa Mutual F2	SP	07/15/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ American Wa Mutual F2	SP	07/19/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ American Wa Mutual F2	SP	09/29/2016	P	\$15,001 - \$50,000	
Morgan Stanley Unified Managed Account ⇒ American Wa Mutual F2	SP	11/21/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ American Wa Mutual F2	SP	12/20/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Apple Inc. (AAPL)	SP	09/29/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ AT&T Inc. (T)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Bank Of Montreal (BMO)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Biogen Inc. (BIIB)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Bristol-Myers Squibb Company (BMY)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Bristol-Myers Squibb Company (BMY)	SP	09/29/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Celgene Corporation (CELG)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Charles Schwab Corporation (SCHW)	SP	06/21/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley Unified Managed Account ⇒ Chemours Company (CC)	SP	01/27/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Chevron Corporation (CVX)	SP	01/27/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Cisco Systems, Inc. (CSCO)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ CME Group Inc. - Class A (CME)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Coach, Inc. (COH)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH)	SP	07/5/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Cohen & Steers Realty Shs Inc	SP	01/27/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Columbia Select Lg CP Growth Z	SP	01/27/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Congress Mid Cap Growth Instl	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Congress Mid Cap Growth Instl	SP	07/15/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Congress Mid Cap Growth Instl	SP	09/29/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Congress Mid Cap Growth Instl	SP	11/21/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Costco Wholesale Corporation (COST)	SP	11/11/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley Unified Managed Account ⇒ CVS Health Corporation (CVS)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ CVS Health Corporation (CVS)	SP	09/29/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ CVS Health Corporation (CVS)	SP	09/29/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ CVS Health Corporation (CVS)	SP	11/9/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Delaware Diverse Floating Rt I	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Delaware Diverse Floating Rt I	SP	09/29/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ DexCom, Inc. (DXCM)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Digital Realty Trust, Inc. (DLR)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Domtar Corporation (UFS)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Dreyfus Intl Bond I	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Dreyfus Intl Bond I	SP	04/22/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ E.I. du Pont de Nemours and Company (DD)	SP	01/27/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ E.I. du Pont de Nemours and Company (DD)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Eastman Chemical Company (EMN)	SP	01/27/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Eaton Corporation, PLC Ordinary Shares (ETN)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley Unified Managed Account ⇒ Express Scripts Holding Company (ESRX)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Facebook, Inc. - Class A (FB)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Fitbit, Inc. Class A (FIT)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Fitbit, Inc. Class A (FIT)	SP	03/8/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ General Electric Company (GE)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ GlaxoSmithKline PLC (GSK)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Hospitality Properties Trust - Shares of Beneficial Interest (HPT)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Illumina, Inc. (ILMN)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Intercept Pharmaceuticals, Inc. (ICPT)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Intercontinental Exchange Inc. (ICE)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ International Business Machines Corporation (IBM)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Invescp Small Cap Growth A	SP	01/28/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ iShares MSCI Japan Index Fund (EWJ)	SP	09/29/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ iShares MSCI Japan Index Fund (EWJ)	SP	01/27/2016	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley Unified Managed Account ⇒ iShares MSCI Japan Index Fund (EWJ)	SP	07/15/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ iShares Russell 2000 Growth ETF	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ iShares Russell 2000 Growth ETF (IWO)	SP	09/29/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ iShares Russell Mid-cap Value ETF (IWS)	SP	01/27/2016	P	\$15,001 - \$50,000	
Morgan Stanley Unified Managed Account ⇒ iShares Russell Mid-cap Value ETF (IWS)	SP	09/29/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ iShares Russell Mid-cap Value ETF (IWS)	SP	11/21/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ iShares TIPS Bond ETF (TIP)	SP	01/27/2016	P	\$15,001 - \$50,000	
Morgan Stanley Unified Managed Account ⇒ iShares TIPS Bond ETF (TIP)	SP	04/22/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ iShares TIPS Bond ETF (TIP)	SP	09/29/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Johnson & Johnson (JNJ)	SP	01/27/2016	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Johnson & Johnson (JNJ)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Johnson Controls International plc Ordinary Share (JCI)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ JP Morgan Chase & Co. (JPM)	SP	01/27/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ JP Morgan Mid Cap Value S	SP	01/27/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Kayne Anderson MLP Investment Company (KYN)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley Unified Managed Account ⇒ L Brands, Inc. (LB)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Lamar Advertising Company - Class A (LAMR)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ LinkedIn Corporation Class A (LNKD)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ LinkedIn Corporation Class A (LNKD)	SP	06/15/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Lord Abbett Sht Durance Inc F	SP	09/29/2016	P	\$15,001 - \$50,000	
Morgan Stanley Unified Managed Account ⇒ Lord Abbett Sht Duration Inc F	SP	01/27/2016	P	\$15,001 - \$50,000	
Morgan Stanley Unified Managed Account ⇒ Lord Abbett Sht Duration Inc F	SP	04/22/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Lord Abbett Sht Duration Inc F	SP	11/21/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ LyondellBasell Industries NV Ordinary Shares Class A (LYB)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ M&T Bank Corporation (MTB)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Mainstay Hi Yld Corp Bond I	SP	01/27/2016	P	\$15,001 - \$50,000	
Morgan Stanley Unified Managed Account ⇒ Mainstay Hi Yld Corp Bond I	SP	04/22/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Mainstay Hi Yld Corp Bond I	SP	11/21/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Mainstay High Yld Copr Bond I	SP	09/29/2016	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley Unified Managed Account ⇒ Mainstay ICAP Intl A	SP	01/25/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Mainstay Icap Select Equity I	SP	01/27/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ MercadoLibre, Inc. (MELI)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Merck & Company, Inc. Common Stock (MRK)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Microchip Technology Incorporated (MCHP)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Microsoft Corporation (MSFT)	SP	09/29/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Mobileye N.V. Ordinary Shares (MBLY)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Mobileye N.V. Ordinary Shares (MBLY)	SP	09/29/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Monster Beverage Corporation (MNST)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Nike, Inc. (NKE)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Nike, Inc. (NKE)	SP	09/29/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Norfolk Southern Corporation (NSC)	SP	09/29/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Novartis AG (NVS)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Novo Nordisk A/S (NVO)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Novo Nordisk A/S (NVO)	SP	09/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley Unified Managed Account ⇒ NRG Energy, Inc. (NRG)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ NVIDIA Corporation (NVDA)	SP	11/30/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ ONEOK, Inc. (OKE)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Oppenheimer Intl Growth Y	SP	01/27/2016	P	\$100,001 - \$250,000	
Morgan Stanley Unified Managed Account ⇒ Oppenheimer Intl Growth Y	SP	01/29/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Oppenheimer Intl Growth Y	SP	04/22/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Oppenheimer Intl Growth Y	SP	07/15/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Oppenheimer Intl Growth Y	SP	07/19/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Oppenheimer Intl Growth Y	SP	09/29/2016	P	\$15,001 - \$50,000	
Morgan Stanley Unified Managed Account ⇒ PEPCO Holdings, Inc (POM)	SP	01/27/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Pepsico, Inc. (PEP)	SP	01/27/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Pfizer, Inc. (PFE)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Pimco Low Duration P	SP	01/27/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Plains Group Holdings, L.P. Class A Shares representing limited partner interests (PAGP)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley Unified Managed Account ⇒ PNC Financial Services Group, Inc. (PNC)	SP	01/27/2016	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Procter & Gamble Company (PG)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ QUALCOMM Incorporated (QCOM)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ RS Partners Y	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ RS Partners Y	SP	07/15/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Salesforce.com Inc (CRM)	SP	02/11/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ ServiceNow, Inc. (NOW)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Shire plc - American Depositary Shares, each representing three Ordinary Shares (SHPG)	SP	09/6/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Skyworks Solutions, Inc. (SWKS)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Skyworks Solutions, Inc. (SWKS)	SP	02/11/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Spectra Energy Corp (SE)	SP	09/29/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Splunk Inc. (SPLK)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Starbucks Corporation (SBUX)	SP	07/7/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Tesla Motors, Inc. (TSLA)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒	SP	04/4/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Tesla Motors, Inc. (TSLA)					
Morgan Stanley Unified Managed Account ⇒ The Priceline Group Inc. (PCLN)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Toronto Dominion Bank (TD)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ United Parcel Service, Inc. (UPS)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Ventas, Inc. (VTR)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Vertex Pharmaceuticals Incorporated (VRTX)	SP	01/27/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Viacom Inc. - Class B (VIAB)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Victory RS Partners Y	SP	09/29/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Victory RS Partners Y	SP	11/21/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Virtus Insight Emerg Mkts I	SP	01/27/2016	P	\$15,001 - \$50,000	
Morgan Stanley Unified Managed Account ⇒ Virtus Insight Emerg Mkts I	SP	07/15/2016	P	\$1,001 - \$15,000	
Morgan Stanley Unified Managed Account ⇒ Virtus Insight Emerg Mkts I	SP	07/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Virtus Insight Emerg Mkts I	SP	09/29/2016	P	\$15,001 - \$50,000	
Morgan Stanley Unified Managed Account ⇒ Virtus Multi Sect Sht Trm BDI	SP	01/27/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Visa Inc. (V)	SP	01/27/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley Unified Managed Account ⇒ Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Wells Fargo & Company (WFC)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Western Asset Core Plus Bd I	SP	09/29/2016	P	\$15,001 - \$50,000	
Morgan Stanley Unified Managed Account ⇒ Western Asset Core Plus BD I	SP	01/27/2016	P	\$15,001 - \$50,000	
Morgan Stanley Unified Managed Account ⇒ Western Asset Core Plus BD I	SP	04/22/2016	P	\$15,001 - \$50,000	
Morgan Stanley Unified Managed Account ⇒ Western Digital Corporation (WDC)	SP	09/29/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Weyerhaeuser Company (WY)	SP	09/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
TIAA-CREF ⇒ AME Capital World Bond R6	SP	03/21/2016	P	\$1,001 - \$15,000	
TIAA-CREF ⇒ Northern Small Cap Value Fund	SP	03/21/2016	P	\$1,001 - \$15,000	
TIAA-CREF ⇒ PIMCO Foreign Bond (UNH) Inst	SP	03/21/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
TIAA-CREF ⇒ Target Small Cap Value T	SP	03/21/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Wilmington Friends School	Spouse Salary	\$56,564.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Capital One Auto Finance	June 2016	Car loan	\$10,000 - \$15,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

Source	Description	Value
Democratic Governors Association (Washington, DC, US)	Travel, food and lodging for the DGA Annual Meeting.	\$980.00
National Governors Association (Washington, DC, US)	Food, lodging and conference expenses New Governors Seminar	\$974.00

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"> Delaware College Savings Plan (Owner: DC) LOCATION: DE DESCRIPTION: Samuel's College Savings Plan Delaware College Savings Plan (Owner: DC) LOCATION: DE DESCRIPTION: James' College Savings Plan Fidelity 457 Retirement Plan DESCRIPTION: State of Delaware 457 Plan M&T Bank CD (Owner: DC) LOCATION: US M&T Savings Account (Owner: JT) LOCATION: DE, US DESCRIPTION: Bank Savings Account Morgan Stanley Active Assets Account (Owner: SP) LOCATION: US Morgan Stanley Unified Managed Account (Owner: SP) LOCATION: DE, US
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DESCRIPTION: Tracey's inheritance from her mother, Marcia Quillen who died July 19, 2015. The funds were transferred from the estate to Tracey on November 16, 2015

- TIAA-CREF (Owner: SP)
- Vanguard IRA (Owner: SP)
DESCRIPTION: Tracey's R/O IRA
- Vanguard Roth IRA (Owner: SP)
DESCRIPTION: Tracey's Roth IRA
- Vanguard Roth IRA
DESCRIPTION: John's Roth IRA
- Vanguard Taxable Cash Accts (Owner: JT)
LOCATION: DE, US
DESCRIPTION: Joint Cash Accounts

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. John C. Carney Jr., 02/2/2017