



Filing ID #10015451

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Seth Moulton
Status: Member
State/District: MA06

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 05/14/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
American Funds IRA ⇒ American Funds Target Date 2050 (AALTX)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Eagle Strategies Roth IRA ⇒ AMG Fair Point Mid-Cap (ABMIX)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Eagle Strategies Roth IRA ⇒ AMG Yacktman (YAFFX)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Eagle Strategies Roth IRA ⇒ AQR Long-Short Equity (QLENX)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Eagle Strategies Roth IRA ⇒ Fidelity Money Market (FZBXX)		\$1 - \$1,000	None		<input type="checkbox"/>
Eagle Strategies Roth IRA ⇒ Fidelity Small Cap Value (FCPVX)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Eagle Strategies Roth IRA ⇒ iShares Core S&P 500 ETF		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Eagle Strategies Roth IRA ⇒		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
JH Disciplined Mid Cap (JVMAX)					
Eagle Strategies Roth IRA ⇒ Met. West Total Return (MWTIX)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Eagle Strategies Roth IRA ⇒ Oakmark Intl. Investor (OAKIX)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Eagle Strategies Roth IRA ⇒ Oakmark Select Investor (OAKLX)		\$1,001 - \$15,000	None		<input type="checkbox"/>
Eagle Strategies Roth IRA ⇒ Oppenheimer Dev. Market (ODMAX)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Eagle Strategies Roth IRA ⇒ Parnassus Core Equity (PRILX)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Eagle Strategies Roth IRA ⇒ Vanguard FTSE Developed Markets ETF (VEA)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Eagle Strategies Roth IRA ⇒ Vanguard Mid-Cap ETF (VO)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Eagle Strategies Roth IRA ⇒ Wasatch Small Cap (WAAEX)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Eastern Healthcare Partners		\$1 - \$1,000	None		<input type="checkbox"/>
DESCRIPTION: Eastern Healthcare stock					
Niece's 529 Account ⇒ American Funds College 2033 (CTLAX)		\$1 - \$1,000	None		<input type="checkbox"/>
USAA MONEY MARKET		\$1,001 - \$15,000	None		<input type="checkbox"/>
USAA TREASURY MMKT		\$1,001 - \$15,000	Capital Gains, Interest	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
1-800 FLOWERS.COM, Inc. - Class A (FLWS)		02/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Eagle Strategies Roth IRA ⇒ AMG Fair Point Mid-Cap (ABMIX)		07/18/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ AMG Yacktman (YAFFX)		03/2/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ AQR Long-Short Equity (QLENX)		03/16/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ AQR Long-Short Equity (QLENX)		07/18/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Eagle Strategies Roth IRA ⇒ COLUMBIA ACORN INTERNATIONAL		03/4/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ COLUMBIA ACORN INTERNATIONAL		07/20/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Eagle Strategies Roth IRA ⇒ Credit Suisse Commodity Return Strategy Fund		03/4/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ Credit Suisse Commodity Return Strategy Fund		07/18/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Eagle Strategies Roth IRA ⇒ DEUTSCHE GLOBAL REAL ESTATE SEC FDS		03/4/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ DEUTSCHE GLOBAL REAL ESTATE SEC FDS		07/18/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Eagle Strategies Roth IRA ⇒ Fidelity Small Cap Value (FCPVX)		03/2/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ iShares Core S&P 500 ETF		03/2/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ iShares Core S&P 500 ETF		04/29/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ ISHARES MSCI EAFE ETF		03/4/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Eagle Strategies Roth IRA ⇒ ISHARES MSCI EAFE ETF		07/20/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Eagle Strategies Roth IRA ⇒ JH Disciplined Mid Cap (JVMAX)		03/2/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ Met. West Total Return (MWTIX)		07/18/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ Oakmark Intl. Investor (OAKIX)		03/2/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ Oakmark Intl. Investor (OAKIX)		07/18/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ Oppenheimer Dev. Market (ODMAX)		03/2/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ Oppenheimer Dev. Market (ODMAX)		07/20/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ Parnassus Core Equity (PRILX)		07/18/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ Vanguard FTSE Developed Markets ETF (VEA)		07/20/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ VANGUARD INDEX FDS VANGUARD SMALL CAP		03/4/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ VANGUARD INDEX FDS VANGUARD SMALL CAP		07/20/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Eagle Strategies Roth IRA ⇒ Vanguard Mid-Cap ETF (VO)		03/2/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ WASATCH LONG/SHORT FUND		03/2/2016	P	\$1,001 - \$15,000	
Eagle Strategies Roth IRA ⇒ WASATCH LONG/SHORT FUND		03/16/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Eagle Strategies Roth IRA ⇒		03/2/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wasatch Small Cap (WAAEX)					
NRG Energy, Inc. (NRG)		02/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
PHH Corp (PHH)		02/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Sears Hometown and Outlet Stores, Inc. (SHOS)		02/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Senomyx, Inc. (SNMX)		02/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
USAA Roth IRA ⇒ American Funds Target Date 2050 (AALTX)		03/2/2016	P	\$1,001 - \$15,000	
USAA Roth IRA ⇒ Black Rock Global Allocated Fund		02/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
USAA Roth IRA ⇒ GS Midcap Value C		02/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
USAA Roth IRA ⇒ Janus Balanced C		02/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
USAA Roth IRA ⇒ Janus Flex Bond C		02/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Vector Group Ltd. (VGR)		02/29/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	JP Morgan Chase	December 2015	Revolving Credit Line	\$15,001 - \$50,000
	Citibank	December 2015	Revolving Credit Line	\$15,001 - \$50,000
JT	Wells Fargo Home Mortgage	October 2015	Residence	\$500,001 -

Owner	Creditor	Date Incurred	Type	Amount of Liability
				\$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Co-Chairman	Andover Military Committee
Board Member	Salem YMCA
Director	Millennium Train Project

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

Source	Description	Value
Ms. Elizabeth Boardman (New York, NY, US)	Ticket to Superbowl 50 (February 7, 2016)	\$1,000.00
COMMENTS: This is the same gift reported on the Member's 2015 report.		

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none">American Funds IRAEagle Strategies Roth IRANiece's 529 Account LOCATION: MAUSAA Roth IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not

be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Seth Moulton , 05/14/2017