

ELIZABETH H ESTY  
5TH DISTRICT, CONNECTICUT

**COMMITTEE ON  
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**Congress of the United States**

**House of Representatives**

**Washington, DC 20515-0705**

August 29, 2014

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OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

MC ✓

The Honorable Karen L. Haas  
Clerk of the U.S. House  
c/o Legislative Resource Center  
Room B-106 Cannon House Office Building  
Washington, D.C. 20515

**Re: 2013 Financial Disclosure Statement**

Dear Madame Clerk:

This letter responds to the letter from Chairman Conaway and Ranking Member Sanchez, dated July 30, 2014, identifying the need for additional information in or amendments to my Financial Disclosure Statement for 2013.

**First Page—4: Excepted Trusts:** Two Excepted Trusts are correctly listed on Schedule A: the Jonathan C. Esty Present Interest Trust and the Thomas H. Esty Present Interest Trust.

**Schedule A: Boulder Point Associates, Ltd.:** As entered into the electronic form, this company owns real estate, consisting of land and buildings, in Cranberry Lake, St. Lawrence County, New York. This item was correctly reported.

**Schedule A-3c: CGP Interest Holdings LLC:** This company, in which my husband has a beneficial interest in a share of the profits, is located in Greenwich, Connecticut, in turn owns a profit interest in a general partnership that manages certain assets for Catterton Growth Partners. The company itself does not own any specific underlying assets. My compliance counsel advises that this item was correctly reported.

**Schedule A-7:** The underlying holdings of the referenced Schwab Contributory IRA, Schwab SEP IRA, spouse's Schwab SEP IRA and spouse's Schwab Contributory IRA, consist entirely of cash. The two trusts listed, the Jonathan C. Esty Present Interest Trust and the Thomas H. Esty Present Interest Trust, are both Excepted Trusts, as previously noted. My compliance counsel advises that these items were correctly reported.

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**Schedule B-6: Assets reported as owned in the preceding FD and not reported as owned on Schedule A and not reported as sold on Schedule B:**

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The following assets were still owned at the end of 2013 by one of my children and I wish to amend Schedule A of my 2013 Financial Disclosure Statement by including the following information:

		Value	Income Type	Income
DC	Fidelity Growth & Income FGRIX	\$1-15K	Dividends	\$1-200
DC	Fidelity Blue Chip Growth FBGRX	\$15-50K	Dividends	\$200-1,000
			Cap Gains	\$2,501-5,000

Spouse—AST First Trust Cap. Appreciation Target Portfolio—This fund changed its name to Prudential IRA Premier Retirement—AST Prudential Growth Allocation, and I wish to amend my 2013 Financial Disclosure to note the name change. My compliance counsel advises that this item was otherwise properly reported on Schedule A in the 2013 Statement.

**B-6: Assets reported on Schedule A but not reported as owned in the preceding FD and not reported as purchased on Schedule B:**

Schwab Contributory IRA— This item was valued below \$1,000 at the end of 2012; an additional deposit (cash) was made during 2013 (not reportable). My compliance counsel advises that this item was properly reported.

Schwab SEP IRA: This item was correctly reported for 2013 but I wish to amend Schedule III of my 2012 Financial Disclosure to include this account, value at end of year in the range of \$1,001-15,000, held all in cash, interest income in the range \$1-200.

Spouse SEP IRA—This item was correctly reported for 2013 but I wish to amend Schedule III of my 2012 Financial Disclosure to include this account, value at end of the year in the range of \$15-50K, held all in cash, interest income in the range \$1-200.

Spouse Schwab Contributory IRA—This item was valued below \$1,000 at the end of 2012; an additional deposit (cash) was made during 2013 (not reportable). My compliance counsel advises that this item was properly reported.

Allocations of funds contributed to my husband's State of Connecticut 457 Plan were made by the Plan at periodic intervals, resulting in purchases of Vanguard Institutional Index Fund, Fidelity VIP Contrafund Part I, Vanguard Target Retirement 2035 Fund and Wells Fargo Adv. Prm. Large Co. Growth Fund. However, no single purchase of any of these funds on any occasion exceeded \$1,000. My compliance counsel advises that, accordingly, there were no reportable transactions.

Honorable Karen L. Haas

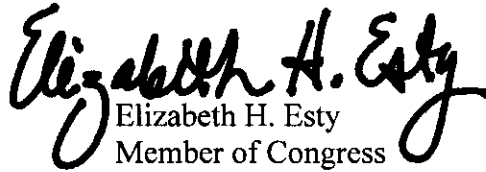
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As noted on page 2, my husband's Prudential IRA Premier Retirement—AST Prudential Growth Allocation was in fact reported as owned in the preceding FD, under the former name of that fund, AST First Trust Cap Appreciation Target Portfolio.

If you have any questions or need any further information, please do not hesitate to contact me.

Sincerely,

  
Elizabeth H. Esty  
Member of Congress