



Filing ID #10026011

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. K. Michael Conaway
Status: Member
State/District: TX11

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2018
Filing Date: 08/13/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Conabain Corporation [OL] LOCATION: Midland, TX, US DESCRIPTION: Royalty income Net loss		\$1,001 - \$15,000	Royalty	None	<input type="checkbox"/>
Conawell Corporation [OL] LOCATION: Midland, TX, US DESCRIPTION: Passive Investment entity Capital contributed for real estate purchase	JT	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Congressional Federal Credit Union [BA]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
HKN, Inc. (HKNI) [ST]		\$1 - \$1,000	None		<input type="checkbox"/>
Insurance Policies ⇒ American General 48 [WU]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Life 07 [WU]		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 03 [WU]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Insurance Policies ⇒ Northwestern Mutual 17 [WU]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 38 [WU]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 73 [WU]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 75 [WU]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 96 [WU]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ Bank of America Bank RASP [BA]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ Eaton Vance Balanced Fund Cl A [MF] DESCRIPTION: Transferred from W91	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ International Business Machines Corporation (IBM) [ST]	JT	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ Invesco American Constellation Fd [MF]	JT	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ JP Morgan Chase & Co. (JPM) [ST]	JT	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ Putnam Global Healthcare Fd [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ Valley National Bancorp (VLY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JT M39 (Merrill Lynch) ⇒ ML Bank Deposit Program [BA]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JT M39 (Merrill Lynch) ⇒ Noble Energy Inc. (NBL) [ST] DESCRIPTION: Exchange from CWEI	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Kidwell Exploration [OL] LOCATION: Midland, TX, US DESCRIPTION: Royalty	SP	\$1,001 - \$15,000	Royalty	None	<input type="checkbox"/>
Lario Oil & Gas [OT] DESCRIPTION: Lario Oil & Gas	JT	\$100,001 - \$250,000	Oil & Gas Royalties	\$15,001 - \$50,000	<input type="checkbox"/>
Met Life Insurance [OT] DESCRIPTION: Structured Settlement	SP	None	Structured Settlement	\$50,001 - \$100,000	<input type="checkbox"/>
Prudential SSA [OT] DESCRIPTION: Structured Settlement	SP	None	Structured Settlement	\$50,001 - \$100,000	<input type="checkbox"/>
Royalties ⇒ Beulah Coleman [OT] DESCRIPTION: Beulah Coleman	JT	\$250,001 - \$500,000	None		<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ Edward Jones Money Market Fund [BA]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares MSCI EAFE ETF [EF]	SP	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares MSCI EAFE Value ETF [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Core Total U.S. Bond Market ETF (AGG) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares MSCI EAFE Growth ETF (EFG) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares MSCI EAFE Small-Cap ETF (SCZ) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell 1000 Growth ETF (IWF) [ST]	SP	None	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell 1000 Value ETF (IWD) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP E48 (Edward Jones) ⇒ iShares Russell 2000 ETF (IWM) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell Midcap Growth ETF (IWP) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell Mid-cap Value ETF (IWS) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares S&P 500 Growth ETF (IVW) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ JP Morgan Federal Money Market Fund [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ JP Morgan High Yield Fund [MF]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ Legg Mason Glbl Opp Bond Fund [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]	SP	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ Vanguard FTSE All World Ex US ETF (VEU) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ VANGUARD INDEX FUNDS: VANGUARD VALUE ETF: [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ VANGUARD INTERMEDIATE TERM: BOND ETF [EF] DESCRIPTION: VANGUARD INTERMEDIATE TERM: BOND ETF	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ VANGUARD LARGE-CAP ETF [HE] DESCRIPTION: VANGUARD LARGE-CAP ETF	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ Vanguard Total Bond Market ETF (BND) [ST]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Amcap Fund CL A [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP E62 (Edward Jones) ⇒ American Balanced Fund CL A [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Funds Dev World Growth [MF]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Funds Global Balanced Fund CL A [MF]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American International Growth & Income Fund CL A [MF]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Mutual Fund CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ AT&T Inc. (T) [ST]	SP	None	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Bank of America Corporation (BAC) [ST]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Campbell Soup Company (CPB) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Capital Income Builders Fund CL A [MF]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Capital World Growth & Income Fund CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Coca-Cola Company (KO) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Columbia Tax Exempt Fund CL A [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Deere & Company (DE) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Edward Jones Money Market [BA]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Emerson Electric Company (EMR) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP E62 (Edward Jones) ⇒ Europacific Growth Fund CL A [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Founding Fund Allocation CL A [MF]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Mutual Global Discovery FD [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Mutual Shares Fund [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Rising Dividend Fund [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Fundamental Investors Fund CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ General Electric Company (GE) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Growth Fund of America CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Hartford Dividend & Growth Fund [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Hartford Healthcare Fund CL A [MF]	SP	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ INVESCO HIGH YIELD MUNICIPAL: FUND CL A [MF]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Invesco Ltd (IVZ) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Investment Company of America Fund CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ ISHARES TR: ISHARES CORE DIVIDEND GROWTH: ETF: [EF]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒	SP	\$1,001 - \$15,000	Capital Gains,	\$5,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Microsoft Corporation (MSFT) [ST]			Dividends	\$15,000	
SP E62 (Edward Jones) ⇒ New Perspective Fund CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ New World Fund CL A [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Nuveen AMT-Free Municipal Value [MF]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Putnam Global Healthcare Fund CL A [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Tax Exempt Bond Fund CL A [MF]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: American Funds - The Tax Exempt Fund of America					
SP E62 (Edward Jones) ⇒ Templeton Growth Fund CL A [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED: INC & GRWTH PRT FALL 2015 SER [OT]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST DIVERSIFIED: INC & GRWTH PRT FALL 2015 SER:					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED: INCME & GRWTH PRTFLIO WINTER: 2015 SER [OT]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST DIVERSIFIED: INCME & GRWTH PRTFLIO WINTER: 2015 SER					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED: INCOME & GROWTH PORT SER 2015: SUMMER [OT]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST DIVERSIFIED: INCOME & GROWTH PORT SER 2015: SUMMER					
SP E62 (Edward Jones) ⇒ UIT FRIST TRUST EQUITY INCOME PORTFOLIO SER 54 [OT]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: UIT FRIST TRUST EQUITY INCOME PORTFOLIO SER 54					
SP E62 (Edward Jones) ⇒ UIT INVESCO UNIT TRS CENT: EQUITY TR DIVERSFED SER 2016-2: [OT]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: UIT INVESCO UNIT TRS CENT: EQUITY TR DIVERSFED SER 2016-2:					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP E62 (Edward Jones) ⇒ Washington Mutual Investors Fund CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E76 (Edward Jones) ⇒ BOND FUND OF AMERICA CL A [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Exchange from Washington Mutual Investors Fund					
SP E76 (Edward Jones) ⇒ CAPITAL INCOME BUILDER CL A [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Exchange from WASHINGTON MUTUAL INVESTORS FUND CL A					
SP E76 (Edward Jones) ⇒ Washington Mutual Investors Fund CL A [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M36 (Merrill Lynch) ⇒ Bank of America NA RASP [BA]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Formally ML Bank Deposit Program					
SP M36 (Merrill Lynch) ⇒ Micron Technology, Inc. (MU) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
SP M36 (Merrill Lynch) ⇒ Southwest Airlines Company (LUV) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M36 (Merrill Lynch) ⇒ SX5E ARN ISSUER BOFA CAP 19.23% SV 3,579.32 DUE 01/25/19 [OT]	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E ARN ISSUER BOFA CAP 19.23% SV 3,579.32 DUE 01/25/19					
SP M36 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BOFA STEP 27.00%... [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER BOFA STEP 27.00%...					
SP M36 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER CS STEP 30.00% [OT]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER CS STEP 30.00%					
SP M37 (Merrill Lynch) ⇒ Bank of America NA RASP [BA]	SP	\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER CAP 16.60% [OT]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: SP500 CLIRN ISSUER CAP 16.60%					
SP M37 (Merrill Lynch) ⇒ SPX/SX5E STEPUP ISS HSBC STEP 22.80% [OT]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: SPX/SX5E STEPUP ISS HSBC STEP 22.80%					
SP M37 (Merrill Lynch) ⇒ SX5E LIRN ISSUER HSBC PART 118.00% [OT]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: SX5E LIRN ISSUER HSBC PART 118.00%					
SP M37 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BOFA STEP 27.00% SV 3,584.55 DUE 05/31/19 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER BOFA STEP 27.00% SV 3,584.55 DUE 05/31/19					
SP M37 (Merrill Lynch) ⇒ WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL [CS]	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL					
SP M40 (Merrill Lynch) ⇒ AAPL ARN ISSUER HSBC CAP 21.60% SV 172.95 DUE 04/26/19 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: AAPL ARN ISSUER HSBC CAP 21.60% SV 172.95 DUE 04/26/19					
SP M40 (Merrill Lynch) ⇒ Arlington Tex Higher Ed Fin Corp 16.05% 12 01 51 [CS]	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Arlington Tex Higher Ed Fin Corp 16.05% 12 01 51					
SP M40 (Merrill Lynch) ⇒ Bank of America Bank Deposit Program [BA]	SP	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Davis NY Venture FD [MF]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ FIN BASKET ARN ISS BOFA CAP 18.06% [OT]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: FIN BASKET ARN ISS BOFA CAP 18.06%					
SP M40 (Merrill Lynch) ⇒ First Trust DJ Internet Index Fund [MF]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: First Trust DJ Internet Index Fund					
SP M40 (Merrill Lynch) ⇒ GENERATION PK MGMT DIST TEX ROAD 3.75% 09 01 2036 [OT]	SP	None	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: GENERATION PK MGMT DIST TEX ROAD 3.75% 09 01 2036					
SP M40 (Merrill Lynch) ⇒ Hartford Growth Opportunities FD [MF]	SP	\$50,001 - \$100,000	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M40 (Merrill Lynch) ⇒ Insurance Contract - JAX Nst'l Perspect [OT]	SP	\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
DESCRIPTION: Insurance Contract - JAX Nst'l Perspect					
SP M40 (Merrill Lynch) ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ MSCI EM ARN ISSUER CIBC CAP 18.78% SV 1,046.71 DUE 08/30/19 [OT]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: MSCI EM ARN ISSUER CIBC CAP 18.78% SV 1,046.71 DUE 08/30/19					
SP M40 (Merrill Lynch) ⇒ R2000 ARN ISSUER HSBC CAP 12.75% SV 1,518.89 DUE 01/24/19 [OT]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
DESCRIPTION: R2000 ARN ISSUER HSBC CAP 12.75% SV 1,518.89 DUE 01/24/19					
SP M40 (Merrill Lynch) ⇒ R2000 ARNS ISSUER BNS CAP 13.17% SV 1,645.017 DUE 08/30/19 [OT]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: R2000 ARNS ISSUER BNS CAP 13.17% SV 1,645.017 DUE 08/30/19					
SP M40 (Merrill Lynch) ⇒ R2000 CLIRN ISSUER BAC CAP 17.92% SV 1,334.341 DUE 11/21/18 [OT]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: R2000 CLIRN ISSUER BAC CAP 17.92% SV 1,334.341 DUE 11/21/18					
SP M40 (Merrill Lynch) ⇒ R2000 CLIRN ISSUER CIBC CAP 16.00% SV 1,417.127 DUE 04/26/19 [OT]	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: R2000 CLIRN ISSUER CIBC CAP 16.00% SV 1,417.127 DUE 04/26/19					
SP M40 (Merrill Lynch) ⇒ R2000 CLIRNS ISSUER CIBC CAP 20.40 [OT]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: R2000 CLIRNS ISSUER CIBC CAP 20.40					
SP M40 (Merrill Lynch) ⇒ R2000 STEPUP ISSUER BARC STEP 35.00% SV 1,375.595 DUE 01/28/22 [OT]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: R2000 STEPUP ISSUER BARC STEP 35.00% SV 1,375.595 DUE 01/28/22					
SP M40 (Merrill Lynch) ⇒ R2000 STEPUP ISSUER BNS STEP 30.00% [OT]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: R2000 STEPUP ISSUER BNS STEP 30.00%					
SP M40 (Merrill Lynch) ⇒	SP	None	Interest	\$201 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
RIO GRANDE CITY TEX CONS ISD SER B RF PSF GTD OID OCT15 03.500%AUG15 2037 [GS]				\$1,000	
DESCRIPTION: RIO GRANDE CITY TEX CONS ISD SER B RF PSF GTD OID OCT15 03.500%AUG15 2037 Tax Exempt					
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER BOFA CAP 10.00% SV 2,388.77 DUE 06/29/18 [OT]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.00% SV 2,388.77 DUE 06/29/18					
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER BOFA CAP 10.00% SV 2,415.07 DUE 07/27/18 [OT]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.00% SV 2,415.07 DUE 07/27/18					
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER BOFA CAP 10.00% SV 2,560.40 DUE 12/21/18 [OT]	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.00% SV 2,560.40 DUE 12/21/18 Purchase and sale in 2018					
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER BOFA CAP 10.09% SV 2837.44 DUE 09/27/19 [OT]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.09% SV 2837.44 DUE 09/27/19					
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER BOFA CAP 13.92% SV 2,737.76 DUE 01/31/20 [OT]	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 ARN ISSUER BOFA CAP 13.92% SV 2,737.76 DUE 01/31/20					
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER CIBC CAP 11.94% SV 2,716.31 DUE 08/30/19 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 ARN ISSUER CIBC CAP 11.94% SV 2,716.31 DUE 08/30/19					
SP M40 (Merrill Lynch) ⇒ SP500 ARNS ISSUER BNS CAP 13.20% SV 2,705.57 DUE 12/20/19 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 ARNS ISSUER BNS CAP 13.20% SV 2,705.57 DUE 12/20/19					
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN ISS BARC CAP 14.02% SV 2,467.42 DUE 02/28/20 [OT]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 CLIRN ISS BARC CAP 14.02% SV 2,467.42 DUE 02/28/20					
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN ISS BARC CAP 14.02% SV 2,467.42 DUE 02/28/20 [OT]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: SP500 CLIRN ISS BARC CAP 14.02% SV 2,467.42 DUE 02/28/20					
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN Issuer bac 15.36% [OT]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: SP500 CLIRN Issuer bac 15.36%					
SP M40 (Merrill Lynch) ⇒ SP500 STEPUP ISSUER HSBC STEP 35.00% SV 2,296.68 DUE 01/27/23 [OT]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: SP500 STEPUP ISSUER HSBC STEP 35.00% SV 2,296.68 DUE 01/27/23					
SP M40 (Merrill Lynch) ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ SPDR S&P 500 (SPY) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ SX5E ARN ISSUER BOFA CAP 19.80% SV 3,333.96 DUE 04/27/18 [OT]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: SX5E ARN ISSUER BOFA CAP 19.80% SV 3,333.96 DUE 04/27/18					
SP M40 (Merrill Lynch) ⇒ SX5E CLIRN ISSUER BOFA CAP 25.90% SV 3,333.96 DUE 02/22/19 [OT]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
DESCRIPTION: SX5E CLIRN ISSUER BOFA CAP 25.90% SV 3,333.96 DUE 02/22/19					
SP M40 (Merrill Lynch) ⇒ SX5E CLIRN ISSUER CS CAP 25.65% SV 3,444.73 DUE 08/30/19 [OT]	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E CLIRN ISSUER CS CAP 25.65% SV 3,444.73 DUE 08/30/19					
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BAC STEP 27.90% SV 3,044.33 DUE 11/26/18 [OT]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER BAC STEP 27.90% SV 3,044.33 DUE 11/26/18					
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BNS STEP 30.00% SV 3,563.64 DUE 09/24/20 [OT]	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER BNS STEP 30.00% SV 3,563.64 DUE 09/24/20					
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER CS STEP 35.00% SV 3,174.16 DUE 11/19/21 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER CS STEP 35.00% SV 3,174.16 DUE 11/19/21					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,331.25 DUE 03/25/21 [OT]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,331.25 DUE 03/25/21					
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER HSBC STEP 40.00% SV 3,444.73 DUE 08/25/22 [OT]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER HSBC STEP 40.00% SV 3,444.73 DUE 08/25/22					
SP M40 (Merrill Lynch) ⇒ Vanguard Consumer Discretion ETF (VCR) [ST]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ 3M Company (MMM) [ST]	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Altria Group, Inc. (MO) [ST]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase and sale in 2018					
SP M81 (Merrill Lynch) ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ American Electric Power Company, Inc. (AEP) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ American Express Company (AXP) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Apple Inc. (AAPL) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Automatic Data Processing, Inc. (ADP) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Bank Nova Scotia Halifax Pfd 3 Ordinary Shares (BNS) [ST]	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Becton, Dickinson and Company (BDX) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M81 (Merrill Lynch) ⇒ Coca-Cola Company (KO) [ST]	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Diageo plc (DEO) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Dominion Resources, Inc. (D) [ST]	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ DowDuPont Inc. (DWD) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Exchange from E.I. du Pont					
SP M81 (Merrill Lynch) ⇒ E.I. du Pont de Nemours and Company (DD) [ST]	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Exchange to DowDupont Inc					
SP M81 (Merrill Lynch) ⇒ Exxon Mobil Corporation (XOM) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Home Depot, Inc. (HD) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Intel Corporation (INTC) [ST]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ International Business Machines Corporation (IBM) [ST]	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Intuit Inc. (INTU) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ McDonald's Corporation (MCD) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ MetLife, Inc. (MET) [ST]	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒	SP	\$15,001 - \$50,000	Dividends	\$201 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Microsoft Corporation (MSFT) [ST]				\$1,000	
SP M81 (Merrill Lynch) ⇒ ML Bank Deposit Program [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ NextEra Energy, Inc. (NEE) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Northrop Grumman Corporation (NOC) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Occidental Petroleum Corporation (OXY) [ST]	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Pepsico, Inc. (PEP) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Pfizer, Inc. (PFE) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Philip Morris International Inc (PM) [ST]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ PNC Financial Services Group, Inc. (PNC) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Procter & Gamble Company (PG) [ST]	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Raytheon Company (RTN) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Simon Property Group, Inc. (SPG) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Stryker Corporation (SYK) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ The Kraft Heinz Company (KHC) [ST]	SP	None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M81 (Merrill Lynch) ⇒ The Travelers Companies, Inc. (TRV) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ TJX Companies, Inc. (TJX) [ST]	SP	\$1,001 - \$15,000	Rent	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ United Technologies Corporation (UTX) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Verizon Communications Inc. (VZ) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Visa Inc. (V) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Wal-Mart Stores, Inc. (WMT) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Walt Disney Company (DIS) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Waste Management, Inc. (WM) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Zoetis Inc. Class A (ZTS) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M92 (Merrill Lynch) ⇒ Bank of America NA RASP [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
SP M92 (Merrill Lynch) ⇒ Calamos Strategic Total Return Fund (CSQ) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M92 (Merrill Lynch) ⇒ First Trust DJ Internet Index Fund (FDN) [ST]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
SP M92 (Merrill Lynch) ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Exchange from Powershares QQQ Tr SE 1					
SP M92 (Merrill Lynch) ⇒	SP	None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
POWERSHARES QQQ TRUST SE 1 [OT]					
DESCRIPTION: POWERSHARES QQQ TRUST SE 1 Exchange to Invesco QQQ Tr Ser 1					
SP M92 (Merrill Lynch) ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Purchase and sale in 2017 in error Reported as full sale rather than partial					
SP M92 (Merrill Lynch) ⇒ VANGUARD 500 INDEX FUND SHS ETF [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M92 (Merrill Lynch) ⇒ VANGUARD FTSE DEVELOPED MARKETS ETF [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M92 (Merrill Lynch) ⇒ Vanguard Utilities ETF (VPU) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ AAPL ARN ISSUER HSBC CAP 21.60% SV 172.95 DUE 04/26/19 [OT]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: AAPL ARN ISSUER HSBC CAP 21.60% SV 172.95 DUE 04/26/19					
Trust M69 (Merrill Lynch) ⇒ Micron Technology, Inc. (MU) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ ML Bank Deposit Program [BA]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Noble Energy Inc. (NBL) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ R2000 ARN ISSUER RBC CAP 12.75% SV 1,601.669 DUE 03/29/19 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: R2000 ARN ISSUER RBC CAP 12.75% SV 1,601.669 DUE 03/29/19					
Trust M69 (Merrill Lynch) ⇒ R2000 ARN ISSUER SEK CAP 11.55% SV 1,547.107 DUE 02/22/19 [OT]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: R2000 ARN ISSUER SEK CAP 11.55% SV 1,547.107 DUE 02/22/19					
Trust M69 (Merrill Lynch) ⇒ R2000 CLIRN 18.04 [OT]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: R2000 CLIRN 18.04					
Trust M69 (Merrill Lynch) ⇒ Southwest Airlines Company (LUV) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ SP500 ARN ISSUER BOFA CAP 10.00% SV 2,560.40 DUE 12/21/18 [OT]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.00% SV 2,560.40 DUE 12/21/18					
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN ISS BARC CAP 14.02% SV 2,467.42 DUE 02/28/20 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 CLIRN ISS BARC CAP 14.02% SV 2,467.42 DUE 02/28/20					
Trust M69 (Merrill Lynch) ⇒ SP500 STEPUP ISSUER HSBC STEP 35.00% SV 2,296.68 DUE 01/27/23 BUF15% CALL [OT]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: SP500 STEPUP ISSUER HSBC STEP 35.00% SV 2,296.68 DUE 01/27/23 BUF15% CALL					
Trust M69 (Merrill Lynch) ⇒ SX5E ARN ISSUER BOFA CAP 17.70% SV 3,637.20 DUE 12/21/18 [OT]	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E ARN ISSUER BOFA CAP 17.70% SV 3,637.20 DUE 12/21/18					
Trust M69 (Merrill Lynch) ⇒ SX5E CLIRN ISSUER CS CAP 25.65% SV 3,444.73 DUE 08/30/19 [OT]	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E CLIRN ISSUER CS CAP 25.65% SV 3,444.73 DUE 08/30/19					
Trust M69 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BNS STEP 30.00% SV 3,563.64 DUE 09/24/20 [OT]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER BNS STEP 30.00% SV 3,563.64 DUE 09/24/20					
Trust M69 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BOFA STEP 26.91% SV 3,563.64 DUE 09/26/19 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER BOFA STEP 26.91% SV 3,563.64 DUE 09/26/19					
Trust M69 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,331.25 DUE 03/25/21 [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,331.25 DUE 03/25/21					
Trust M69 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER HSBC STEP 40.00% SV 3,444.73 DUE 08/25/22 [OT]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: SX5E STEPUP ISSUER HSBC STEP 40.00% SV 3,444.73 DUE 08/25/22					
Trust M69 (Merrill Lynch) ⇒ Teradyne, Inc. (TER) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Trust S ⇒ Wells Fargo Checking [BA]	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo ⇒ Wells Fargo Checking [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo ⇒ Wells Fargo Savings [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo ⇒ Wells Fargo Savings [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.


SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
JT Mo6 (Merrill Lynch) ⇒ Invesco American Constellation Fd [MF]	JT	12/14/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ Invesco American Franchise Fund A [OT]	JT	12/12/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: Invesco American Franchise Fund A					
SP E48 (Edward Jones) ⇒ ISHARES MSCI EAFE ETF: SOLICITED [MF]	SP	06/20/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ ISHARES RUSSELL 1000 GROWTH: ETF [MF]	SP	06/20/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ ISHARES RUSSELL MID CAP VALUE: ETF: [MF]	SP	06/20/2018	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ ISHARES S&P 500 GROWTH ETF [MF]	SP	06/20/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP E48 (Edward Jones) ⇒ JPMORGAN FEDERAL MONEY MARKET FUND [OT] LOCATION: US DESCRIPTION: JPMORGAN FEDERAL MONEY MARKET FUND	SP	10/3/2018	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ JPMORGAN FEDERAL MONEY MARKET FUND [MF]	SP	06/27/2018	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ JPMorgan Federal Money Market FD [OT] LOCATION: US DESCRIPTION: JPMorgan Federal Money Market FD	SP	12/26/2018	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ JPMORGAN FEDERAL MONEY MARKET FUND [OT] LOCATION: US DESCRIPTION: JPMORGAN FEDERAL MONEY MARKET FUND	SP	03/28/2018	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ JPMORGAN HIGH YIELD FUND [OT] LOCATION: US DESCRIPTION: JPMORGAN HIGH YIELD FUND	SP	01/2/2018	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ SPDR DOW JONES INDL AVERAGE: ETF TR UNIT SER 1 [EF]	SP	06/20/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ VANGUARD INDEX FUNDS: VANGUARD VALUE ETF: [MF] DESCRIPTION: VANGUARD INDEX FUNDS: VANGUARD VALUE ETF:	SP	06/20/2018	P	\$15,001 - \$50,000	
SP E48 (Edward Jones) ⇒ VANGUARD INTL EQUITY IND FD: FTSE ALL WORLD EX US FD ETF [EF]	SP	06/20/2018	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ American Mutual Fund Cl A [OT] LOCATION: US DESCRIPTION: American Mutual Fund Cl A	SP	08/30/2018	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ AT&T Inc. (T) [ST]	SP	12/17/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒	SP	01/22/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Bank of America Corporation (BAC) [ST]					
SP E62 (Edward Jones) ⇒ CAPITAL INCOME BUILDER CL A [OT]	SP	03/15/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CAPITAL INCOME BUILDER CL A Reinvestment of dividend					
SP E62 (Edward Jones) ⇒ Coca-Cola Company (KO) [ST]	SP	08/30/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Deere & Company (DE) [ST]	SP	08/30/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Emerson Electric Company (EMR) [ST]	SP	08/30/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ General Electric Company (GE) [ST]	SP	01/22/2018	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ General Electric Company (GE) [ST]	SP	08/30/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ General Electric Company (GE) [ST]	SP	09/27/2018	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ ISHARES CORE DIVIDEND GROWTH EXCHANGE TRADED FUND [OT]	SP	09/27/2018	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: ISHARES CORE DIVIDEND GROWTH EXCHANGE TRADED FUND					
SP E62 (Edward Jones) ⇒ Microsoft Corporation (MSFT) [ST]	SP	08/30/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M36 (Merrill Lynch) ⇒ SX5E ARN ISSUER BOFA CAP 19.23% SV 3,579.32 DUE 01/25/19 [OT]	SP	09/21/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: SX5E ARN ISSUER BOFA CAP 19.23% SV 3,579.32 DUE 01/25/19					
SP M36 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BOFA STEP 27.00% SV 3,584.55 DUE 05/31/19 [OT]	SP	09/21/2018	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER BOFA STEP 27.00% SV 3,584.55 DUE 05/31/19					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M37 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 16.60% SV 2,036.71 DUE 03/28/18 [OT] LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 16.60% SV 2,036.71 DUE 03/28/18 Redemption	SP	03/28/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ SP500 CLIRNS ISSUER BNS CAP 10.96% SV 2,737.76 DUE 01/31/20 [OT] LOCATION: US DESCRIPTION: SP500 CLIRNS ISSUER BNS CAP 10.96% SV 2,737.76 DUE 01/31/20	SP	11/29/2018	P	\$50,001 - \$100,000	
SP M37 (Merrill Lynch) ⇒ SPX/SX5E STEPUP ISS HSBC STEP 22.80% SV 100.00 DUE 11/23/18 [OT] LOCATION: US DESCRIPTION: SPX/SX5E STEPUP ISS HSBC STEP 22.80% SV 100.00 DUE 11/23/18	SP	11/23/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL [CS] DESCRIPTION: WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL	SP	01/19/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ AAPL ARN ISSUER HSBC CAP 21.60% SV 172.95 DUE 04/26/19 [OT] LOCATION: US DESCRIPTION: AAPL ARN ISSUER HSBC CAP 21.60% SV 172.95 DUE 04/26/19	SP	02/22/2018	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ ARLINGTON TEX HIGHER ED FIN CORP ED REV REV A JUL16 05.000%DEC01 2051 [GS] DESCRIPTION: ARLINGTON TEX HIGHER ED FIN CORP ED REV REV A JUL16 05.000%DEC01 2051	SP	01/5/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ DAVIS NY VENTURE FD CL C [OT] LOCATION: US DESCRIPTION: DAVIS NY VENTURE FD CL C	SP	02/6/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ FIN BASKET ARN ISS BOFA CAP 18.06% [OT] LOCATION: US DESCRIPTION: FIN BASKET ARN ISS BOFA CAP 18.06%	SP	05/24/2018	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒	SP	07/12/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
FIRST TR EXCHANGE TRADED FD DOW JONES IN [OT] LOCATION: US DESCRIPTION: FIRST TR EXCHANGE TRADED FD DOW JONES IN					
SP M40 (Merrill Lynch) ⇒ FIRST TR EXCHANGE TRADED FD DOW JONES IN [OT] LOCATION: US DESCRIPTION: FIRST TR EXCHANGE TRADED FD DOW JONES IN	SP	08/28/2018	P	\$1,001 - \$15,000	
SP M40 (Merrill Lynch) ⇒ FIRST TR EXCHANGE TRADED FD DOW JONES IN ACTUAL PRICES [OT] LOCATION: US DESCRIPTION: FIRST TR EXCHANGE TRADED FD DOW JONES IN ACTUAL PRICES	SP	03/28/2018	P	\$1,001 - \$15,000	
SP M40 (Merrill Lynch) ⇒ First Trust DJ Internet Index Fund (FDN) [ST]	SP	02/14/2018	P	\$1,001 - \$15,000	
SP M40 (Merrill Lynch) ⇒ First Trust DJ Internet Index Fund (FDN) [ST]	SP	10/16/2018	P	\$1,001 - \$15,000	
SP M40 (Merrill Lynch) ⇒ First Trust DJ Internet Index Fund (FDN) [ST]	SP	11/21/2018	P	\$1,001 - \$15,000	
SP M40 (Merrill Lynch) ⇒ First Trust DJ Internet Index Fund (FDN) [ST]	SP	12/24/2018	P	\$1,001 - \$15,000	
SP M40 (Merrill Lynch) ⇒ GENERATION PK MGMT DIST TEX ULTD TAX RD A OID APR16 03.750%SEP01 2036 [OT] LOCATION: US DESCRIPTION: GENERATION PK MGMT DIST TEX ULTD TAX RD A OID APR16 03.750%SEP01 2036	SP	07/13/2018	S	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ HARTFORD GROWTH OPPORTUNITIES FD [OT] LOCATION: US DESCRIPTION: HARTFORD GROWTH OPPORTUNITIES FD	SP	07/12/2018	P	\$15,001 - \$50,000	
SP M40 (Merrill Lynch) ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]	SP	10/11/2018	P	\$15,001 - \$50,000	
SP M40 (Merrill Lynch) ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]	SP	11/21/2018	P	\$1,001 - \$15,000	
SP M40 (Merrill Lynch) ⇒	SP	12/24/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Invesco QQQ Trust, Series 1 (QQQ) [ST]					
SP M40 (Merrill Lynch) ⇒ MSCI EM ARN ISSUER CIBC CAP 18.78% SV 1,046.71 DUE 08/30/19 [OT]	SP	06/28/2018	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: MSCI EM ARN ISSUER CIBC CAP 18.78% SV 1,046.71 DUE 08/30/19					
SP M40 (Merrill Lynch) ⇒ PowerShares QQQ Trust, Series 1 (QQQ) [ST]	SP	02/14/2018	P	\$1,001 - \$15,000	
SP M40 (Merrill Lynch) ⇒ PowerShares QQQ Trust, Series 1 (QQQ) [ST]	SP	02/13/2018	P	\$1,001 - \$15,000	
SP M40 (Merrill Lynch) ⇒ R2000 ARNS ISSUER BNS CAP 13.17% SV 1,645.017 DUE 08/30/19 [OT]	SP	06/28/2018	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: R2000 ARNS ISSUER BNS CAP 13.17% SV 1,645.017 DUE 08/30/19					
SP M40 (Merrill Lynch) ⇒ R2000 CLIRN ISSUER BAC CAP 17.92% SV 1,334.341 DUE 11/21/18 [OT]	SP	02/21/2018	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: R2000 CLIRN ISSUER BAC CAP 17.92% SV 1,334.341 DUE 11/21/18					
SP M40 (Merrill Lynch) ⇒ R2000 CLIRN ISSUER BAC CAP 17.92% SV 1,334.341 DUE 11/21/18 [OT]	SP	11/21/2018	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: R2000 CLIRN ISSUER BAC CAP 17.92% SV 1,334.341 DUE 11/21/18 Redemption					
SP M40 (Merrill Lynch) ⇒ R2000 CLIRN ISSUER CIBC CAP 16.00% SV 1,417.127 DUE 04/26/19 [OT]	SP	03/26/2018	P	\$100,001 - \$250,000	
LOCATION: US DESCRIPTION: R2000 CLIRN ISSUER CIBC CAP 16.00% SV 1,417.127 DUE 04/26/19					
SP M40 (Merrill Lynch) ⇒ R2000 CLIRNS ISSUER CIBC CAP 20.40% SV 1,075.699 DUE 03/28/18 [OT]	SP	03/28/2018	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: R2000 CLIRNS ISSUER CIBC CAP 20.40% SV 1,075.699 DUE 03/28/18 Redemption					
SP M40 (Merrill Lynch) ⇒ R2000 STEPUP ISSUER BARC STEP 35.00% SV 1,375.595	SP	02/16/2018	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DUE 01/28/22 [OT]					
LOCATION: US					
DESCRIPTION: R2000 STEPUP ISSUER BARC STEP 35.00% SV 1,375.595 DUE 01/28/22 Redemption					
SP M40 (Merrill Lynch) ⇒ R2000 STEPUP ISSUER BARC STEP 35.00% SV 1,375.595 DUE 01/28/22 [OT]	SP	10/19/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US					
DESCRIPTION: R2000 STEPUP ISSUER BARC STEP 35.00% SV 1,375.595 DUE 01/28/22 Redemption					
SP M40 (Merrill Lynch) ⇒ R2000 STEPUP ISSUER BNS STEP 30.00% [OT]	SP	04/26/2018	P	\$50,001 - \$100,000	
LOCATION: US					
DESCRIPTION: R2000 STEPUP ISSUER BNS STEP 30.00%					
SP M40 (Merrill Lynch) ⇒ RIO GRANDE CITY TEX CONS ISD ULTD B PSF GTD OID OCT15 03.500%AUG15 2037 [OT]	SP	02/23/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: US					
DESCRIPTION: RIO GRANDE CITY TEX CONS ISD ULTD B PSF GTD OID OCT15 03.500%AUG15 2037					
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER BOFA CAP 10.00% SV 2,388.77 DUE 06/29/18 [OT]	SP	06/29/2018	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
LOCATION: US					
DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.00% SV 2,388.77 DUE 06/29/18					
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER BOFA CAP 10.00% SV 2,415.07 DUE 07/27/18 [OT]	SP	07/27/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US					
DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.00% SV 2,415.07 DUE 07/27/18 Redemption					
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER BOFA CAP 10.00% SV 2,560.40 DUE 12/21/18 [OT]	SP	02/6/2018	P	\$50,001 - \$100,000	
LOCATION: US					
DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.00% SV 2,560.40 DUE 12/21/18					
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER BOFA CAP 10.00% SV 2,560.40 DUE 12/21/18 [OT]	SP	12/21/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US					
DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.00% SV 2,560.40 DUE 12/21/18					
SP M40 (Merrill Lynch) ⇒	SP	07/26/2018	P	\$50,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP500 ARN ISSUER BOFA CAP 10.09% SV 2837.44 DUE 09/27/19 [OT] LOCATION: US DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.09% SV 2837.44 DUE 09/27/19				\$100,000	
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER BOFA CAP 13.92% SV 2,737.76 DUE 01/31/20 [OT] LOCATION: US DESCRIPTION: SP500 ARN ISSUER BOFA CAP 13.92% SV 2,737.76 DUE 01/31/20	SP	11/29/2018	P	\$100,001 - \$250,000	
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER CIBC CAP 11.94% SV 2,716.31 DUE 08/30/19 [OT] LOCATION: US DESCRIPTION: SP500 ARN ISSUER CIBC CAP 11.94% SV 2,716.31 DUE 08/30/19	SP	06/28/2018	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ SP500 ARNS ISSUER BNS CAP 13.20% SV 2,705.57 DUE 12/20/19 [OT] LOCATION: US DESCRIPTION: SP500 ARNS ISSUER BNS CAP 13.20% SV 2,705.57 DUE 12/20/19	SP	10/25/2018	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN ISS BARC CAP 14.02% SV 2,467.42 DUE 02/28/20 [OT] LOCATION: US DESCRIPTION: SP500 CLIRN ISS BARC CAP 14.02% SV 2,467.42 DUE 02/28/20	SP	12/20/2018	P	\$15,001 - \$50,000	
SP M40 (Merrill Lynch) ⇒ SP500 CLIRN ISSUER BAC CAP 15.36% SV 2,097.90 DUE 06/29/18 [OT] LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 15.36% SV 2,097.90 DUE 06/29/18	SP	06/29/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ SP500 STEPUP ISSUER HSBC STEP 35.00% SV 2,296.68 [OT] LOCATION: US DESCRIPTION: SP500 STEPUP ISSUER HSBC STEP 35.00% SV 2,296.68 Redemption	SP	02/9/2018	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]	SP	02/13/2018	P	\$1,001 - \$15,000	
SP M40 (Merrill Lynch) ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]	SP	10/16/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M40 (Merrill Lynch) ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]	SP	11/21/2018	P	\$15,001 - \$50,000	
SP M40 (Merrill Lynch) ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]	SP	12/24/2018	P	\$1,001 - \$15,000	
SP M40 (Merrill Lynch) ⇒ SPDR S&P 500 (SPY) [ST]	SP	02/13/2018	P	\$1,001 - \$15,000	
SP M40 (Merrill Lynch) ⇒ SPDR S&P 500 (SPY) [ST]	SP	10/16/2018	P	\$1,001 - \$15,000	
SP M40 (Merrill Lynch) ⇒ SPDR S&P 500 (SPY) [ST]	SP	10/11/2018	P	\$15,001 - \$50,000	
SP M40 (Merrill Lynch) ⇒ SPDR S&P 500 (SPY) [ST]	SP	11/21/2018	P	\$15,001 - \$50,000	
SP M40 (Merrill Lynch) ⇒ SPDR S&P 500 (SPY) [ST]	SP	12/24/2018	P	\$1,001 - \$15,000	
SP M40 (Merrill Lynch) ⇒ SX5E ARN ISSUER BOFA CAP 19.80% SV 3,333.96 DUE 04/27/18 [OT]	SP	04/27/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: SX5E ARN ISSUER BOFA CAP 19.80% SV 3,333.96 DUE 04/27/18 Redemption					
SP M40 (Merrill Lynch) ⇒ SX5E CLIRN ISSUER BAC CAP 24.90% [OT]	SP	05/25/2018	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: SX5E CLIRN ISSUER BAC CAP 24.90% Redemption					
SP M40 (Merrill Lynch) ⇒ SX5E CLIRN ISSUER BAC CAP 24.90% SV 3,071.21 DUE 05/25/18 [OT]	SP	01/19/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: SX5E CLIRN ISSUER BAC CAP 24.90% SV 3,071.21 DUE 05/25/18					
SP M40 (Merrill Lynch) ⇒ SX5E CLIRN ISSUER BAC CAP 24.90% SV 3,071.21 DUE 05/25/18 [OT]	SP	01/4/2018	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SX5E CLIRN ISSUER BAC CAP 24.90% SV 3,071.21 DUE 05/25/18					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M40 (Merrill Lynch) ⇒ SX5E CLIRN ISSUER BAC CAP 24.90% SV 3,071.21 DUE 05/25/18 [OT] LOCATION: US DESCRIPTION: SX5E CLIRN ISSUER BAC CAP 24.90% SV 3,071.21 DUE 05/25/18	SP	02/13/2018	P	\$15,001 - \$50,000	
SP M40 (Merrill Lynch) ⇒ SX5E CLIRN ISSUER CS CAP 25.65% SV 3,444.73 DUE 08/30/19 [OT] LOCATION: US DESCRIPTION: SX5E CLIRN ISSUER CS CAP 25.65% SV 3,444.73 DUE 08/30/19	SP	03/28/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BAC STEP 27.90% SV 3,044.33 DUE 11/26/18 [OT] LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER BAC STEP 27.90% SV 3,044.33 DUE 11/26/18 Redemption	SP	11/26/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BNS STEP 30.00% SV 3,563.64 DUE 09/24/20 [OT] LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER BNS STEP 30.00% SV 3,563.64 DUE 09/24/20	SP	10/11/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BOFA STEP 15.20% [OT] LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER BOFA STEP 15.20%	SP	05/24/2018	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BOFA STEP 15.20% SV 3,521.76 DUE 07/25/19 [OT] LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER BOFA STEP 15.20% SV 3,521.76 DUE 07/25/19	SP	10/12/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER CS STEP 35.00% SV 3,174.16 DUE 11/19/21 [OT] LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER CS STEP 35.00% SV 3,174.16 DUE 11/19/21	SP	11/29/2018	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,331.25 DUE 03/25/21 [OT] LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,331.25 DUE 03/25/21	SP	03/28/2018	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M40 (Merrill Lynch) ⇒ Vanguard Consumer Discretion ETF (VCR) [ST]	SP	12/24/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ VANGUARD CONSUMER DISCRETIONARY ETF [OT] LOCATION: US DESCRIPTION: VANGUARD CONSUMER DISCRETIONARY ETF	SP	08/28/2018	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ 3M Company (MMM) [ST]	SP	06/21/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	01/16/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	01/12/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	02/26/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Altria Group, Inc. (MO) [ST]	SP	01/12/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Altria Group, Inc. (MO) [ST]	SP	04/23/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	10/26/2018	P	\$15,001 - \$50,000	
SP M81 (Merrill Lynch) ⇒ American Electric Power Company, Inc. (AEP) [ST]	SP	01/12/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ American Electric Power Company, Inc. (AEP) [ST]	SP	11/21/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ American Electric Power Company, Inc. (AEP) [ST]	SP	12/21/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ American Express Company (AXP) [ST]	SP	12/21/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Apple Inc. (AAPL) [ST]	SP	02/26/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Apple Inc. (AAPL) [ST]	SP	10/26/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Automatic Data Processing, Inc. (ADP) [ST]	SP	01/16/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Automatic Data Processing, Inc. (ADP) [ST]	SP	01/12/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Automatic Data Processing, Inc. (ADP) [ST]	SP	05/7/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Bank Nova Scotia Halifax Pfd 3 Ordinary Shares (BNS) [ST]	SP	06/28/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Bank Nova Scotia Halifax Pfd 3 Ordinary Shares (BNS) [ST]	SP	12/24/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Bank Nova Scotia Halifax Pfd 3 Ordinary Shares (BNS) [ST]	SP	12/21/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Becton, Dickinson and Company (BDX) [ST]	SP	11/21/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Coca-Cola Company (KO) [ST]	SP	01/12/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Diageo plc (DEO) [ST]	SP	05/1/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Dominion Energy, Inc. (D) [ST]	SP	01/12/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Dominion Energy, Inc. (D) [ST]	SP	03/14/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Dominion Energy, Inc. (D) [ST]	SP	03/13/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Dominion Energy, Inc. (D) [ST]	SP	03/12/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Dominion Energy, Inc. (D) [ST]	SP	03/9/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Dominion Energy, Inc. (D) [ST]	SP	03/8/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ DowDuPont Inc. (DWDP) [ST]	SP	11/21/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ DowDuPont Inc. (DWDP) [ST]	SP	09/1/2017	E	\$15,001 - \$50,000	
DESCRIPTION: Exchange from E.I. duPont					
SP M81 (Merrill Lynch) ⇒ Exxon Mobil Corporation (XOM) [ST]	SP	01/12/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Exxon Mobil Corporation (XOM) [ST]	SP	02/26/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Home Depot, Inc. (HD) [ST]	SP	01/12/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Home Depot, Inc. (HD) [ST]	SP	11/2/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Intel Corporation (INTC) [ST]	SP	01/16/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Intel Corporation (INTC) [ST]	SP	01/12/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ International Business Machines Corporation (IBM) [ST]	SP	10/26/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ International Business Machines Corporation (IBM) [ST]	SP	10/25/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Intuit Inc. (INTU) [ST]	SP	06/21/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Johnson & Johnson (JNJ) [ST]	SP	11/2/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	03/8/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	11/2/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ McDonald's Corporation (MCD) [ST]	SP	11/2/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ MetLife, Inc. (MET) [ST]	SP	01/31/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ MetLife, Inc. (MET) [ST]	SP	02/1/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Microsoft Corporation (MSFT) [ST]	SP	02/7/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ NextEra Energy, Inc. (NEE) [ST]	SP	05/7/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ NextEra Energy, Inc. (NEE) [ST]	SP	11/2/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Northrop Grumman Corporation (NOC) [ST]	SP	02/26/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Northrop Grumman Corporation (NOC) [ST]	SP	04/23/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Northrop Grumman Corporation (NOC) [ST]	SP	05/7/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Northrop Grumman Corporation (NOC) [ST]	SP	06/21/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Northrop Grumman Corporation (NOC) [ST]	SP	10/26/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Northrop Grumman Corporation (NOC) [ST]	SP	11/2/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Pepsico, Inc. (PEP) [ST]	SP	01/12/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Pepsico, Inc. (PEP) [ST]	SP	05/1/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Pepsico, Inc. (PEP) [ST]	SP	11/2/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Pfizer, Inc. (PFE) [ST]	SP	02/26/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Pfizer, Inc. (PFE) [ST]	SP	11/21/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Pfizer, Inc. (PFE) [ST]	SP	12/21/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Philip Morris International Inc (PM) [ST]	SP	01/16/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Philip Morris International Inc (PM) [ST]	SP	01/12/2018	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ PNC Financial Services Group, Inc. (PNC) [ST]	SP	10/26/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Procter & Gamble Company (PG) [ST]	SP	04/25/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Procter & Gamble Company (PG) [ST]	SP	04/24/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Procter & Gamble Company (PG) [ST]	SP	04/23/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Raytheon Company (RTN) [ST]	SP	11/21/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depository Shares (RDS.B) [ST]	SP	01/16/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depository Shares (RDS.B) [ST]	SP	01/12/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depository Shares (RDS.B) [ST]	SP	02/26/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Simon Property Group, Inc. (SPG) [ST]	SP	11/21/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Stryker Corporation (SYK) [ST]	SP	02/26/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Stryker Corporation (SYK) [ST]	SP	04/23/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Stryker Corporation (SYK) [ST]	SP	11/21/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ The Kraft Heinz Company (KHC) [ST]	SP	01/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ The Travelers Companies, Inc. (TRV) [ST]	SP	03/13/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ The Travelers Companies, Inc. (TRV) [ST]	SP	03/12/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ The Travelers Companies, Inc. (TRV) [ST]	SP	03/9/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ The Travelers Companies, Inc. (TRV) [ST]	SP	03/8/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ The Travelers Companies, Inc. (TRV) [ST]	SP	06/28/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ TJX Companies, Inc. (TJX) [ST]	SP	06/21/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ United Technologies Corporation (UTX) [ST]	SP	06/21/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ United Technologies Corporation (UTX) [ST]	SP	11/2/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	02/26/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Verizon Communications Inc. (VZ) [ST]	SP	02/26/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Verizon Communications Inc. (VZ) [ST]	SP	11/2/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Verizon Communications Inc. (VZ) [ST]	SP	12/21/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Visa Inc. (V) [ST]	SP	02/7/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Visa Inc. (V) [ST]	SP	06/21/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Walmart Inc. (WMT) [ST]	SP	11/2/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Walt Disney Company (DIS) [ST]	SP	05/1/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Walt Disney Company (DIS) [ST]	SP	11/2/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Waste Management, Inc. (WM) [ST]	SP	01/16/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Waste Management, Inc. (WM) [ST]	SP	01/12/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Waste Management, Inc. (WM) [ST]	SP	11/2/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Waste Management, Inc. (WM) [ST]	SP	12/21/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Zoetis Inc. Class A (ZTS) [ST]	SP	01/16/2018	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒	SP	01/12/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Zoetis Inc. Class A (ZTS) [ST]					
SP M81 (Merrill Lynch) ⇒ Zoetis Inc. Class A (ZTS) [ST]	SP	02/26/2018	P	\$1,001 - \$15,000	
SP M92 (Merrill Lynch) ⇒ CALAMOS STRAT TOT RETURN FD [OT] LOCATION: US DESCRIPTION: CALAMOS STRAT TOT RETURN FD	SP	04/11/2018	P	\$1,001 - \$15,000	
SP M92 (Merrill Lynch) ⇒ FIRST TR EXCHANGE TRADED FD [OT] LOCATION: US DESCRIPTION: FIRST TR EXCHANGE TRADED FD	SP	04/11/2018	P	\$1,001 - \$15,000	
SP M92 (Merrill Lynch) ⇒ Occidental Petroleum Corporation (OXY) [ST]	SP	01/12/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M92 (Merrill Lynch) ⇒ Vanguard Utilities ETF (VPU) [ST]	SP	04/11/2018	P	\$1,001 - \$15,000	
Trust M69 (Merrill Lynch) ⇒ AAPL ARN ISSUER HSBC CAP 21.60% SV 172.95 DUE 04/26/19 [OT] LOCATION: US DESCRIPTION: AAPL ARN ISSUER HSBC CAP 21.60% SV 172.95 DUE 04/26/19	SP	02/22/2018	P	\$15,001 - \$50,000	
Trust M69 (Merrill Lynch) ⇒ MSCI EM ARN ISSUER SEK CAP 17.65% SV 857.45 DUE 01/26/18 [OT] LOCATION: US DESCRIPTION: MSCI EM ARN ISSUER SEK CAP 17.65% SV 857.45 DUE 01/26/18 Redemption	SP	01/26/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ R2000 ARN ISSUER RBC CAP 12.75% SV 1,601.669 DUE 03/29/19 [OT] LOCATION: US DESCRIPTION: R2000 ARN ISSUER RBC CAP 12.75% SV 1,601.669 DUE 03/29/19	SP	01/25/2018	P	\$50,001 - \$100,000	
Trust M69 (Merrill Lynch) ⇒ R2000 CLIRN ISSUER CIBC CAP 18.04% SV 1,237.751 DUE 09/28/18 [OT] LOCATION: US DESCRIPTION: R2000 CLIRN ISSUER CIBC CAP 18.04% SV 1,237.751 DUE 09/28/18 Redemption	SP	09/28/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Trust M69 (Merrill Lynch) ⇒ SP500 ARN ISSUER BOFA CAP 10.00% SV 2,560.40 DUE 12/21/18 [OT]	SP	12/21/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.00% SV 2,560.40 DUE 12/21/18					
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN ISS BARC CAP 14.02% SV 2,467.42 DUE 02/28/20 [OT]	SP	12/20/2018	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SP500 CLIRN ISS BARC CAP 14.02% SV 2,467.42 DUE 02/28/20					
Trust M69 (Merrill Lynch) ⇒ SP500 STEPUP ISSUER HSBC STEP 35.00% SV 2,296.68 DUE 01/27/23 [OT]	SP	02/9/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: SP500 STEPUP ISSUER HSBC STEP 35.00% SV 2,296.68 DUE 01/27/23 Redemption					
Trust M69 (Merrill Lynch) ⇒ SX5E ARN ISSUER BOFA CAP 17.70% SV 3,637.20 DUE 12/21/18 [OT]	SP	02/13/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: SX5E ARN ISSUER BOFA CAP 17.70% SV 3,637.20 DUE 12/21/18					
Trust M69 (Merrill Lynch) ⇒ SX5E CLIRN ISSUER CS CAP 25.65% SV 3,444.73 DUE 08/30/19 [OT]	SP	03/28/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: SX5E CLIRN ISSUER CS CAP 25.65% SV 3,444.73 DUE 08/30/19					
Trust M69 (Merrill Lynch) ⇒ SX5E CLIRN ISSUER CS CAP 25.65% SV 3,444.73 DUE 08/30/19 [OT]	SP	03/5/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: SX5E CLIRN ISSUER CS CAP 25.65% SV 3,444.73 DUE 08/30/19					
Trust M69 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER BOFA STEP 26.91% SV 3,563.64 DUE 09/26/19 [OT]	SP	02/13/2018	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER BOFA STEP 26.91% SV 3,563.64 DUE 09/26/19					
Trust M69 (Merrill Lynch) ⇒ SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,331.25 DUE 03/25/21 [OT]	SP	03/28/2018	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,331.25 DUE 03/25/21					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member - Lifetime	United Way of Midland, Texas
School of Business Advisory Council	University of Texas Permian Basin
Board of Directors and Rodeo Committee	Sandhills Hereford & Quarterhorse Show, Inc.
Sole Proprietor	K. Michael Conaway, CPA
Board of Directors - President	Conawell Corporation
Board of Directors - Vice President	Kidwell Exploration
President	Conabain Corporation

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
National Associations of State Boards of Accountancy	10/25/2018	10/28/2018	Midland, TX - Scottsdale, AZ - Midland, TX	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Insurance Policies
LOCATION: US
- JT M06 (Merrill Lynch) (Owner: JT)
- JT M39 (Merrill Lynch) (Owner: JT)
LOCATION: US
- Royalties (Owner: JT)
LOCATION: US
- SP E48 (Edward Jones) (Owner: SP)
LOCATION: US
DESCRIPTION: Managed Account – Not self-directed
- SP E62 (Edward Jones) (Owner: SP)
LOCATION: US
- SP E76 (Edward Jones) (Owner: SP)
- SP M36 (Merrill Lynch) (Owner: SP)
LOCATION: US
- SP M37 (Merrill Lynch) (Owner: SP)
LOCATION: US
- SP M40 (Merrill Lynch) (Owner: SP)
LOCATION: US
- SP M81 (Merrill Lynch) (Owner: SP)
DESCRIPTION: Managed Account – Not self-directed
- SP M92 (Merrill Lynch) (Owner: SP)
- Trust M69 (Merrill Lynch) (Owner: SP)
- Trust S (Owner: SP)
- Wells Fargo
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. K. Michael Conaway , 08/13/2019