



Filing ID #10007278

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Marcy Kaptur
Status: Member
State/District: OH09

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2014
Filing Date: 05/15/2015

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Congressional Federal Credit Union		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Funds accrued in this separate account are from foregone congressional pay (raises) and are distributed annually to charitable causes.					
DFA One Year Global Fixed		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DFA Selective Global Fixed		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DFA Short Duration Real		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Purchased from sale of DFA One Year Global Fixed.					
DFA Two Year Global Fixed		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DFA US Core Equity		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Fleet Rd. Toledo		\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: Toledo, OH, US					
COMMENTS: No renter or rental income for 2014.					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Harlan Rd., Toledo		\$15,001 - \$50,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Toledo, OH, US					
Huntington Bank NA		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Account opened.					
Key Bank		\$15,001 - \$50,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Recipient account: PNC closure 2/14.					
PNC Bank		\$1,001 - \$15,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Account closed and transferred to Key Bank.					
RBS Citizens Bank (formerly Charter One)		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Rivard Rd., Toledo		\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: Toledo, OH, US					
COMMENTS: No renter or rental income for 2014.					
Schwab Money Market		\$1 - \$1,000	None		<input type="checkbox"/>
Suburban Federal Credit Union		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Transamerica Individual Retirement Annuity		\$50,001 - \$100,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: IRA account closed and rolled over into Thrift Savings Plan.					
US Savings Bond		\$50,001 - \$100,000	None		<input type="checkbox"/>
Vanguard Short Term Bond		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Vanguard Short Term Corp		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DFA One Year Gobal Fixed		11/10/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Total sale for purchase of Short Duration Real.					
DFA Short Duration Real		11/10/2014	P	\$1,001 - \$15,000	
DESCRIPTION: Proceeds from sale of DFA One Year Global Fixed.					
Huntington Bank NA		02/6/2014	P	\$1,001 - \$15,000	
DESCRIPTION: Opened account.					
PNC Bank		02/13/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Account closed/proceeds transferred to Key Bank.					
Transamerica Individual Retirement Annuity		03/27/2014	E	\$50,001 - \$100,000	
DESCRIPTION: Traditional IRA closed and rolled over to Thrift Savings Plan Account. \$8,000 withdrawn/retained as cash for home improvements (Toledo).					

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Congressional Federal Credit Union	2011	mortgage	\$100,001 - \$250,000
COMMENTS: Mortgage on Washington DC area condominium apartment used for temporary residence while Congress is in session. No mortgage on permanent family home in Ohio.				

SCHEDULE E: POSITIONS

Position	Name of Organization
Trustee	The Anastasia Fund
COMMENTS: The Anastasia Fund, P.O. Box 2121, Toledo, OH 43603. [a charity established in the names of Anastasia and Stephen Kaptur]	

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Marcy Kaptur , 05/15/2015