



Filing ID #10003963

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Spencer Bachus
Status: Member
State/District: AL06

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2013
Filing Date: 08/13/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AM CENTURY GROWTH (IRA)	SP	\$50,001 - \$100,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
AM CENTURY INT'L BOND (IRA)	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
ARTISIAN MIDCAP VALUE INV (IRA)	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
BARON GROWTH RETAIL FD (IRA)	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
BLACKROCK INFLATN PROT BOND (IRA)	SP	None	Tax-Deferred	None	<input checked="" type="checkbox"/>
BLACKROCK LOW DUR BD NV A (IRA)	SP	\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
DREYFUS EMERGING MKTS (IRA)	SP	\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
E V INCOME FUND OF BOSTON (IRA)	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
GLENMEADE PHILADELPHIA INT'L (IRA)	SP	\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IVY MID CAP GROWTH A (IRA)	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
LOOMIS SAYLES SM CAP VAL INST (IRA)	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
PACIFIC LIFE ANNUITY	SP	\$250,001 - \$500,000	Interest, Tax-Deferred	\$15,001 - \$50,000	<input type="checkbox"/>
PIMCO EMERGING MKTS BOND A (IRA)	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
PIMCO TOTAL RETURN D (IRA)	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
SOUTHWOOD PROPERTIES	SP	\$100,001 - \$250,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: BIRMINGHAM/JEFFERSON, AL, US DESCRIPTION: COMMERCIAL RE NTAL BLDG.					
T ROWE PRICE EQI-INC ADV (IRA)	SP	\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
WESTERN ASSET MM	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AM CENTURY GROWTH (IRA)	SP	03/13/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
AM CENTURY GROWTH (IRA)	SP	06/13/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
AM CENTURY GROWTH (IRA)	SP	09/18/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
AM CENTURY GROWTH (IRA)	SP	11/14/2013	P	\$1,001 - \$15,000	
AM CENTURY GROWTH (IRA)	SP	12/17/2013	P	\$1,001 - \$15,000	
ARTISIAN MIDCAP VALUE INV (IRA)	SP	11/14/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
BARON GROWTH RETAIL FD (IRA)	SP	03/13/2013	P	\$1,001 - \$15,000	
BARON GROWTH RETAIL FD (IRA)	SP	11/14/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
BLACKROCK INFLATN PROT BOND (IRA)	SP	03/13/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
BLACKROCK LOW DUR BD NV A (IRA)	SP	03/13/2013	P	\$15,001 - \$50,000	
BLACKROCK LOW DUR BD NV A (IRA)	SP	09/18/2013	P	\$1,001 - \$15,000	
DREYFUS EMERGING MKTS (IRA)	SP	03/13/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DREYFUS EMERGING MKTS (IRA)	SP	06/13/2013	P	\$1,001 - \$15,000	
DREYFUS EMERGING MKTS (IRA)	SP	11/14/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
E V INCOME FUND OF BOSTON (IRA)	SP	03/13/2013	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
E V INCOME FUND OF BOSTON (IRA)	SP	11/14/2013	P	\$1,001 - \$15,000	
GLENMEADE PHILADELPHIA INT'L (IRA)	SP	03/13/2013	P	\$1,001 - \$15,000	
GLENMEADE PHILADELPHIA INT'L (IRA)	SP	09/18/2013	P	\$1,001 - \$15,000	
GLENMEADE PHILADELPHIA INT'L (IRA)	SP	11/14/2013	P	\$1,001 - \$15,000	
LOOMIS SAYLES SM CAP VAL INST (IRA)	SP	03/13/2013	P	\$1,001 - \$15,000	
LOOMIS SAYLES SM CAP VAL INST (IRA)	SP	11/14/2013	P	\$1,001 - \$15,000	
LOOMIS SAYLES SM CAP VAL INST (IRA)	SP	12/23/2013	P	\$1,001 - \$15,000	
PIMCO EMERGING MKTS BOND A (IRA)	SP	03/13/2013	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
PIMCO EMERGING MKTS BOND A (IRA)	SP	11/14/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
(partial)					
PIMCO TOTAL RETURN D (IRA)	SP	03/13/2013	P	\$1,001 - \$15,000	
PIMCO TOTAL RETURN D (IRA)	SP	11/14/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PIMCO TOTAL RETURN D (IRA)	SP	09/18/2013	P	\$1,001 - \$15,000	
T ROWE PRICE EQI-INC ADV (IRA)	SP	03/13/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
T ROWE PRICE EQI-INC ADV (IRA)	SP	06/13/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
T ROWE PRICE EQI-INC ADV (IRA)	SP	09/18/2013	P	\$1,001 - \$15,000	
T ROWE PRICE EQI-INC ADV (IRA)	SP	11/14/2013	P	\$1,001 - \$15,000	
T ROWE PRICE EQI-INC ADV (IRA)	SP	12/12/2013	P	\$1,001 - \$15,000	

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	JP MORGAN CHASE BANK	APR, 2012	MORTGAGE- 337 MARYLAND AVE. N.E. WASHINGTON,DC	\$250,001 - \$500,000
	COMPASS BANK, BIRMINGHAM,AL	MAY,2002	PERSONAL LOAN	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Spencer Bachus , 08/13/2014