

H. J. C.		
UNITED STATES HOUSE OF REPRESENTATIVES 2013 FINANCIAL DISCLOSURE STATEMENT	Form A For Use by Members, Officers, and Employees	COSLATIVE RESOURCE CENTER
Name: SEAN PATRICK DUFFY Day	Daytime Telephone: (715) 491–2345	OFFICE OF THE CLERK OFFICE OF REPRESENTATIVES (Office Use Only)
FILER X Member of or Candidate for State: WI STATUS U.S. House of Representatives District: 07	Officer or Employing Office:	
REPORT X 2013 Annual (Due: May 15, 2014)	Amendment Termination Date:	Date:
PRELIMINARY INFORMATION ANSWER EACH OF THESE Q	QUESTIONS	
A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	No F. Did you have any reportable agreement or a outside entity during the reporting period or in year up through the date of filing?	reement or arrangement with an Pes No X
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction Yes X	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?	hild receive any tue from a single Yes No X
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	No H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	totaling more than Yes X No Insperior No Ins
D. Did you, your spouse, or your dependent child have any reportable Yes X liability (more than \$10,000) at any point during the reporting period?	I. Did any individual or organization make a donation to charity in feu of paying you for a speech, appearance, or article during the reporting period?	n make a donation to charity in pearance, or article during the Yes No X
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	No X ATTACH THE CORRESPONDING	ONDING SCHEDULE IF YOU ANSWER "YES"
IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUS	OR TRUST INFORMATION - ANSWER EACH OF TH	THESE QUESTIONS
IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" the Committee on Ethics for further guidance.	ring during the reporting period? If you answered "yes" to this que	to this question, please contact Yes No X
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be distins report details of such a trust that benefits you, your spouse, or dependent child?	closed.	Have you excluded from Yes No X
EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	∖ transactions, or liabilities of a spouse or dependent child becaus nittee on Ethics.	se they meet all three Yes No X

SCHEDULE A

Γ	Nan	A - ASSETS & "UNEARNED INCOME"	
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	Name: SEAN PATRICK DIFFY		
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UARD AD T			ΣΙ		ξI		Examples:		For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every framchal institution where there is more than \$1,000 in interest bearing accounts. For rental and other real property held for investment, provide a complete address or description, \$4,5,1 the list of complete address or description, \$4,5,1 the list of complete address or describles, and its polyaphric boalen in Block A. Beathers, the name of the business, the name of the training of the complete boalen in Block A. Beathers to the name of the polyaphric boalen in Block A. Beathers there was rental increased and vacation frompes (unless there was rental increased and vacation frompes (unless there was rental increased and program, including the Thritt Savings Plantal increased and the program, including the Thritt Savings Plantal increased investment fund, please check the "EIF book." If you so chroose, you may include that is an Excepted Investment Fund, please check the "EIF book." If you so chroose, you may include the text. The program is not of the program of the plantal and the text. (JT), in the optional column on the far left. For a detailed discussion of Schecke A. For a detailed of the plantal please raise to the restruction booklet.	(could like use that plants spinose); (could like the spinose); (fig. \$100.00 and other retirement plants (such as 401(k) plants) provide the retire for each asset held in the account that exceeds the reporting thresholds.	dentity (a) reduction of production of production of production of the production of	lsset
VALUE W AD T	٤	٠ l.	74		<u>م</u>			æ	nk and other cash accounts, total the arm interest-bearing accounts. If the solal is called every famalist institution where them isn's 1,000 in interest bearing accounts. It all and other real properly held for investing a compression of a complete address or description, a compression interest in a privately-held tusing not publishy traded, state the name of its, the nature of its activities, and photocation in Block A. It your personal residence, including see and vacation in Block A. It your personal residence, including see and vacation in Block A. It your personal residence, including see and vacation in Block A. It your personal residence, including see and vacation in Block A. It your personal residence, including see that the report of the reporting period; and any finance for any including period; and any finance in proceeding the Thrift Savings Phare program, including the Thrift Savings Phare program including the Thrift Savi	and o	identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period and (b) any other reportable asset or source of income that generated more than \$200 an "unearned" income during the year. Provide complete names of stocks and mutual funds that the next year of stocks and mutual funds that the next year of stocks.	BLOCK A Asset and/or income Source
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\$00 W	\$ 1		Ž.	3	HK, D	**/	٠,	-	\$100,001-\$250,000 ±	-	indicate value of asset at close of the reporting period. If you use a used. Used. If an asset was sold during the reporting period and is included only because it generated income, the value should be "None." *Column M is for assets held by your spouse or dependent child in which you have no interest.	BLOCK B
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			- 歳	y Cobel	Y STATE	1. T.		1 18	CAPITAL GAINS	_[Check all columns that apply, generate tra-deferred income (st. generate tra-deferred income (st. 529 accounts), you may order obtain. Dividends, interest, even if reinvested, must be diver all reinvested, must be diversed in transhe account of the asset generated no incomperiod.	aLOCK C
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23.5	ă. l	***	- 13		7	39.3% 39.3%	¥.)/	w (%)	Spouse/DC Asset with Income over \$1,000,000*	-	For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column, For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if minnested, must be disclosed as income for assets had in taxable accounts. Check "None" if no income was earned or generated. "Column XII is for assets held by your spouse or dependent "Column XII is for assets held by your spouse or dependent	
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								•	Leave this column blank of there are the transactions that caracteristics are accepted \$1,000.	an asset was sold. please indicate as follows: (S (part))	indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period.	Transaction
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SCHEDULE B - TRANSACTIONS

ISACTIONS Name: SEAN PATRICK DUFFY Page 3 of 6	
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											!	-						PURCHASE	Example		a capital loss nsactions by sale of your p an asset is sol ns: If a sales t gains" box, ur ain income on sis for assets s	purchase, sa mid of any s hald for invest
																		HASE HOME IN WAUSAU	Mega Corp. Stock	Asset	resulted in a capital loss. Provide a brief description of an exchange transaction. Exhate transactions between you, your spouse, or department children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is cold, please choose 'partial sale' as the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the 'Capital Gains: Box, unless it was an asset in a transferred account, and disclose the capital gain income on Schoolule A.	Region any purchase, sale, or exchange remarktions that exceeded \$1,000 in the reporting period of any security or real properly held by you, your spouse, or your dependent chald for investment or the production of income. Include transactions that
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SCHEDULE C - EARNED INCOME

Name:	
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PATRICK	
DUFFY	
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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below. EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

types of income (no	INCOME LIMITS and PROHIBITED INCOME: The 2013 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,955. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.	e totally prohibited.	was \$26,955. In addition, certain
	Source (include date of receipt for honoraria)	Туре	Amount
	Keene State	Approved Teaching Fee	\$8,000
Examples:	State of Manyland Child War Roundtable (Oct. 2)	Legislative Pension Spouse Speech	\$18,000 \$1,000
	Onlario County Board of Education	Spouse Salary	NA
THE LIBRE	THE LIBRE INITIATIVE TRUST	ENTERTAINER/ CONSULTING	N/A
AMERICAN B	AMERICAN BROADCASTING CO	ENTERTAINER/	N/A
		-	

SCHEDULE D - LIABILITIES

Name: SEAN PATRICK DUFFY Page 2 6

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. "Column K is for liabilities held solely by your spouse or dependent child.

Example	8 8 8	
First Bank of Wilmington, DE	Creditor	
5/98	Date Liability Incurred MOY'R	
Mortgage on Rental Property, Dover, DE	Type of Liability	
	\$10,001- \$15,000	1
	\$15,001- \$50,000	
·	\$50,001- \$100,000	
×	\$100,001- \$250,000	
	\$250,001 \$500,000 \$500,000 \$1,000,000 \$1,000,000 \$1,000,000	
	\$500,001- \$1,000,000	
	\$1,000,001- \$5,000,000	
	\$5,000,001- \$25,000,000 ±	
	\$25,000,001- \$50,000,000	
	Over \$50,000,000	
Ì	Over \$1,000,000* (Spouse/DC Liability)	l

SCHEDULE E - POSITIONS

_	_	 _	_	_	
				Position	Report all positions, compensated or uncompensated, need or consultant of any corporation, firm, partnership, or other busin listed in Schedule C; positions held in any religious, social, firm
				Name of Organization	Report all positions, compensated or uncompensated, need during the current or prior calendar year as an ornear, director, trustee or an organization, partners, proprietor, representative, encountered and consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name: SEAN PATRICK DUFFY	
Page 6 of 6	

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Privately-sponsored travel approved by the Ethics Committee, if post-travel disclosure was filed with the Clerk; travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

	Source	Date(s)	City of Departure – Destination — City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
	Government of China (MECEA)	Aug. 6-11	DC-Bağrop, China - DC	٧	۲	Z
Examples:	Habital for Humanity (charity fundations)	Mar. 3-4	DC-Boston-DC	γ	*	۲
JEWISH	JEWISH COMMUNITY RELATIONS COUNCIL	AUG. 5-12	MSP, ISRAEL, MSP	У	У	Y
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