

MAY 16 2013

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**UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT**

Period covered: January 1, 2012 - May 15, 2013

FORM B
For use by candidates and new employees

Name: S. Joseph Sinitian

Daytime Telephone: _____

| | | | | | |
|--------------|--|---|--------------------------------------|---|--|
| Filer Status | <input checked="" type="checkbox"/> Candidate for the House of Representatives | State: <u>California</u> District: <u>17</u> | Date of Election: <u>**See Below</u> | Check if Amendment <input type="checkbox"/> | A \$200 penalty shall be assessed against any individual who files more than 30 days late. |
| | <input type="checkbox"/> New officer or employee | Employing Office: _____ | | | |

In all sections, please type or print clearly in blue or black ink.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

| | | | | | |
|---|---|--|--|---|--|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I. | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> | IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV. | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II. | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> | V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> | VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

| | | |
|--|------------------------------|--|
| TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child? | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

** No election year is designated on Form B since no election has been called. Supervisor Sinitian is raising funds for a seat that is expected to be vacated by resignation or retirement, and therefore the specific election is not yet known, (FEC AO 2009-15). Once a vacancy occurs and an election is scheduled, the candidate will amend this report to reflect the election year.

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Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

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| *Spouse Income; **Pension Payments |
|---|
| For additional assets and unearned income, use next page. |

Continuation Sheet (if needed)

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*** ALL accounts closed by 12/31/12.
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SCHEDULE III -- LIABILITIES

Name **S. Joseph Simitian**

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Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

| SP, DC, JT | Creditor | Date Liability Incurred mo/year | Type of Liability | Amount of Liability | | | | | | | | | | |
|------------|---------------------------------------|---------------------------------|--|--------------------------|--------------------------|---------------------------|----------------------------|----------------------------|------------------------------|--------------------------------|---------------------------------|----------------------------------|------------------------|---|
| | | | | A \$10,001 - \$15,000 | B \$15,001 - \$50,000 | C \$50,001 - \$100,000 | D \$100,001 - \$250,000 | E \$250,001 - \$500,000 | F \$500,001 - \$1,000,000 | G \$1,000,001 - \$5,000,000 | H \$5,000,001 - \$25,000,000 | I \$25,000,001 - \$50,000,000 | J Over \$50,000,000 | K Spouse/DC Liability over \$1,000,000 |
| | Example: First Bank of Wilmington, DE | May 1998 | Mortgage on 123 Main Street, Dover, DE | | | | X | | | | | | | |
| | NONE | | | | | | | | | | | | | |
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SCHEDULE IV -- POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

| Position | Name of Organization |
|------------------------------|---|
| State Senator | State of California (Term expired 11/30/2012) |
| Member, Board of Supervisors | County of Santa Clara California |
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SCHEDULE V – AGREEMENTS

Name **S. Joseph Simitian**

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date | Parties To | Terms of Agreement |
|------|------------|--------------------|
| | NONE | |
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SCHEDULE VI – COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. **Exclude:** Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. **Do not repeat information listed on Schedule I.**

| Source (Name and Address) | Brief Description of Duties |
|--|-----------------------------|
| <i>Example:</i> Doe Jones & Smith, Hometown, Homestate | Accounting services |
| NONE | |
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