

# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Mr. Austin Scott

Status:MemberState/District:GA08

#### FILING INFORMATION

**Filing Type:** Amendment Report

Filing Year: 2013

**Filing Date:** 08/29/2014

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
A-C SCOTT LLLP ⇒ 3F Properties Loan Albany GA		\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	
A-C SCOTT LLLP ⇒ A-C Scott Checking		\$15,001 - \$50,000	None		
A-C SCOTT LLLP ⇒ Aus Cur Scott, LLC		\$1 - \$1,000	None		
DESCRIPTION: General Partnership shares managing A-C S	Scott, LLLI	)			
A-C SCOTT LLLP ⇒ Danville Tract  Location: Sumnter, GA, US DESCRIPTION: Family farm		\$100,001 - \$250,000	None		П
A-C SCOTT LLLP ⇒ Shell Point Loan		\$100,001 - \$250,000	None		
B Branch 10 Banner Elk N.C.	SP	\$50,001 - \$100,000	None		
Location: Banner Elk, NC, US DESCRIPTION: 1/2 Interest in condo B Branch 10 Banner E	Elk N.C.				

Cirrus Logic, Inc. (CRUS)			Type(s)		Tx. > \$1,000?
	\$1,	1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<u>~</u>
J. W. Scott Family Limited Partnership $\Rightarrow$ Thronateeska Farms		1,000,001 - 5,000,000	None		
LOCATION: Sumnter, GA, US DESCRIPTION: Family farm					
James A Scott Checking Account / SunTrust  Description: SunTrust	\$1,	1,001 - \$15,000	None		
James A Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)	\$1,	15,001 - \$50,000	Tax-Deferred	Not Applicable	П
James A Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)	\$1,	15,001 - \$50,000	Tax-Deferred	Not Applicable	
James A Scott IRA ⇒ James A Scott IRA		50,001 - 100,000	IRA distribution	\$50,001 - \$100,000	
James A Scott IRA ⇒ Pershing Government Account Money Market	\$1,	1,001 - \$15,000	None		
James A Scott VUL ⇒ American Bond	\$1,	1,001 - \$15,000	Tax-Deferred	Not Applicable	
James A Scott VUL ⇒ American Growth	\$1,	1,001 - \$15,000	Tax-Deferred	Not Applicable	
James A Scott VUL ⇒ American Growth and Income Fund	\$1,	1,001 - \$15,000	Tax-Deferred	Not Applicable	
James A Scott VUL ⇒ American International	\$1,	1,001 - \$15,000	Tax-Deferred	Not Applicable	
John Hancock VUL  DESCRIPTION: Premium payments totaling \$8,200	\$1,	1,001 - \$15,000	Tax-Deferred	Not Applicable	<b>∀</b>
Joint checking / Ameris Bank  Description: Ameris Bank	JT \$1	1,001 - \$15,000	None		П
MCA Family, LLC ⇒ Edgefield Lot	\$1;	15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Location: Tifton, GA, US					
MCA Family, LLC ⇒ Magnolia Lot		\$50,001 - \$100,000	None		
LOCATION: Tifton, GA, US					
MCA Family, LLC ⇒ Mt. Olive and Old Ocilla Road Location: Tifton, GA, US		\$50,001 - \$100,000	None		П
MCA Family, LLC ⇒ Sco Jac Location: Del Norte, CO, US		\$100,001 - \$250,000	None		П
MCA Family, LLC ⇒ Shell Point  Location: Wakulla County, FL, US		\$100,001 - \$250,000	None		
Plug Power, Inc. (PLUG)		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<u> </u>
Principal Pension		Undetermined	None		
Rental property	JT	\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	
Location: ASHBURN, GA, US					
Rental Property  Location: Tifton, GA, US		\$50,001 - \$100,000	Rent	\$5,001 - \$15,000	
The Southern Group, LLC, 100% Interest  Description: Insurance brokerage that is owned and op	SP erated by m	Undetermined	Earnings	\$50,001 - \$100,000	
	crateu by III				
Vivien G Scott 401 (K) ⇒ Capital Research and Management International Equity		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Vivien G Scott 401 (K) $\Rightarrow$ Principal Global Investors Large U.S. Equity		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Vivien G Scott 401 (K) $\Rightarrow$ Principal Global Investors Mid Cap Equity		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000
Vivien G Scott 401 (K) ⇒ Principal Global Investors Small Cap Equity		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Vivien G Scott 401 (K) ⇒ PrivateBancorp, Inc. Stock		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Description: Stock was employer match in 401(K). Re-	mains in 401	(k) account.			
Vivien G Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)	SP	\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<b>▽</b>
Vivien G Scott IRA ⇒ Caterpillar, Inc. (CAT)	SP	\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<u>~</u>
Vivien G Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)	SP	\$1,001 - \$15,000	Tax-Deferred	Not Applicable	<u>~</u>
Vivien G Scott IRA ⇒ Garmin Ltd. (GRMN)	SP	\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<u>~</u>
Vivien G Scott IRA ⇒ Microsoft Corporation (MSFT)	SP	\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<u> </u>
Vivien G Scott IRA ⇒ Pershing Government Account Money Market  Description: Cash account in IRA	SP	\$100,001 - \$250,000	Tax-Deferred	Not Applicable	<b>V</b>
Vivien G Scott IRA ⇒ Plug Power, Inc. (PLUG)	SP	\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<u> </u>
Vivien G Scott IRA ⇒ vivien G Scott IRA	SP	\$250,001 - \$500,000	IRA distribution	\$50,001 - \$100,000	
Vivien Scott Checking / The Private Bank	SP	\$15,001 - \$50,000	None		
Description: The Private Bank					
Wells A Scott UTMA/GA	DC	\$1,001 - \$15,000	UGMA account for son	Not Applicable	
Description: Account owns less than \$1000 in each of	the following	g mutual funds Aim Co	onstellation Aim Chart	er Aim Global Growtl	h

<sup>\*</sup> Asset class details available at the bottom of this form.

# SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
1802 Chestnutt Avenue Tifton, GA		05/31/2013	P	\$50,001 - \$100,000	
LOCATION: Tift, County, GA, US  DESCRIPTION: Purchase of rental property					
Aruba Networks, Inc. (ARUN)		05/20/2013	P	\$1,001 - \$15,000	
Aruba Networks, Inc. (ARUN)		05/23/2013	S	\$1,001 - \$15,000	
Ballard Power Systems, Inc Common Shares (BLDP)		06/20/2013	P	\$1,001 - \$15,000	
Ballard Power Systems, Inc Common Shares (BLDP)		07/12/2013	S	\$1,001 - \$15,000	
Ballard Power Systems, Inc Common Shares (BLDP)		07/24/2013	P	\$1,001 - \$15,000	
Ballard Power Systems, Inc Common Shares (BLDP)		07/25/2013	S	\$1,001 - \$15,000	
Carmen Gallagher Trust CD  DESCRIPTION: CD was not renewed transferred to pay off loan.	SP	06/4/2012	E	\$50,001 - \$100,000	
Cirrus Logic, Inc. (CRUS)		04/26/2013	P	\$1,001 - \$15,000	
Cirrus Logic, Inc. (CRUS)		05/9/2013	S (partial)	\$1,001 - \$15,000	<b>▽</b>
James A Scott IRA ⇒ Aruba Networks, Inc. (ARUN)		05/20/2013	P	\$15,001 - \$50,000	
James A Scott IRA $\Rightarrow$ Aruba Networks, Inc. (ARUN)		05/23/2013	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Aruba Networks, Inc. (ARUN)		06/19/2013	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Aruba Networks, Inc. (ARUN)		07/25/2013	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Aruba Networks, Inc. (ARUN)		08/30/2013	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
James A Scott IRA ⇒ Aruba Networks, Inc. (ARUN)		09/9/2013	S	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Aruba Networks, Inc. (ARUN)		11/19/2013	P	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Aruba Networks, Inc. (ARUN)		12/4/2013	S	\$15,001 - \$50,000	
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)		03/7/2013	S	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)		04/2/2013	P	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)		04/12/2013	P	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)		04/26/2013	S	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)		04/30/2013	S	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)		05/3/2013	S	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)		05/16/2013	P	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)		05/17/2013	P	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)		05/21/2013	S	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)		05/30/2013	P	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)		05/31/2013	S	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)		06/20/2013	P	\$15,001 - \$50,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
James A Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)	07/12/2013	S	\$1,001 - \$15,000	
James A Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)	07/17/2013	S	\$1,001 - \$15,000	
James A Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)	07/17/2013	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)	07/19/2013	S	\$1,001 - \$15,000	Г
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)	07/24/2013	P	\$15,001 - \$50,000	
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)	07/26/2013	S	\$1,001 - \$15,000	П
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)	08/2/2013	S	\$1,001 - \$15,000	П
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)	08/8/2013	P	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)	12/4/2013	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)	12/5/2013	P	\$15,001 - \$50,000	
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)	12/6/2013	S	\$50,001 - \$100,000	П
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)	12/18/2013	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)	12/19/2013	S	\$1,001 - \$15,000	
James A Scott IRA ⇒ BlackBerry Limited (BBRY)	06/28/2013	P	\$1,001 - \$15,000	
James A Scott IRA ⇒	07/3/2013	S	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
BlackBerry Limited (BBRY)					
James A Scott IRA ⇒ BlackBerry Limited (BBRY)		07/5/2013	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Caterpillar, Inc. (CAT)		07/26/2013	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ Caterpillar, Inc. (CAT)		08/2/2013	S	\$15,001 - \$50,000	П
James A Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)		04/18/2013	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)		04/26/2013	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)		05/3/2013	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)		05/9/2013	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)		05/28/2013	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)		06/19/2013	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)		07/5/2013	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)		12/23/2013	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		12/11/2013	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		12/23/2013	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Garmin Ltd. (GRMN)		02/22/2013	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
James A Scott IRA ⇒ Garmin Ltd. (GRMN)		04/12/2013	S	\$15,001 - \$50,000	П
James A Scott IRA ⇒ Garmin Ltd. (GRMN)		06/12/2013	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Garmin Ltd. (GRMN)		07/31/2013	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Microsoft Corporation (MSFT)		07/19/2013	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ Microsoft Corporation (MSFT)		08/2/2013	S	\$15,001 - \$50,000	П
James A Scott IRA ⇒ Microsoft Corporation (MSFT)		09/5/2013	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ Microsoft Corporation (MSFT)		09/9/2013	S	\$15,001 - \$50,000	
James A Scott IRA ⇒ Microsoft Corporation (MSFT)		09/19/2013	S	\$1,001 - \$15,000	
James A Scott IRA ⇒ Microsoft Corporation (MSFT)		11/13/2013	S	\$1,001 - \$15,000	
James A Scott IRA ⇒ Plug Power, Inc. (PLUG)		02/14/2013	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Plug Power, Inc. (PLUG)		02/20/2013	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Plug Power, Inc. (PLUG)		03/1/2013	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Plug Power, Inc. (PLUG)		03/7/2013	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Plug Power, Inc. (PLUG)		03/22/2013	S	\$15,001 - \$50,000	
James A Scott IRA ⇒ Plug Power, Inc. (PLUG)		04/3/2013	S	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
James A Scott IRA ⇒ Plug Power, Inc. (PLUG)		04/4/2013	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Plug Power, Inc. (PLUG)		04/8/2013	P	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Plug Power, Inc. (PLUG)		04/10/2013	P	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Plug Power, Inc. (PLUG)		04/17/2013	P	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Plug Power, Inc. (PLUG)		04/26/2013	S	\$1,001 - \$15,000	П
James A Scott IRA $\Rightarrow$ Plug Power, Inc. (PLUG)		05/8/2013	S	\$1,001 - \$15,000	П
James A Scott IRA $\Rightarrow$ Plug Power, Inc. (PLUG)		05/16/2013	S	\$664.98	П
James A Scott IRA $\Rightarrow$ Plug Power, Inc. (PLUG)		05/17/2013	S	\$25	П
James A Scott IRA $\Rightarrow$ Plug Power, Inc. (PLUG)		05/20/2013	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Plug Power, Inc. (PLUG)		05/21/2013	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Plug Power, Inc. (PLUG)		05/30/2013	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ Plug Power, Inc. (PLUG)		06/7/2013	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Plug Power, Inc. (PLUG)		06/12/2013	S	\$1,001 - \$15,000	П
James A Scott IRA $\Rightarrow$ Plug Power, Inc. (PLUG)		06/17/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
James A Scott IRA ⇒ Plug Power, Inc. (PLUG)		07/1/2013	S	\$1,001 - \$15,000	
James A Scott IRA ⇒ Plug Power, Inc. (PLUG)		07/9/2013	S	\$1,001 - \$15,000	П
James A Scott IRA $\Rightarrow$ Plug Power, Inc. (PLUG)		07/18/2013	S	\$1,001 - \$15,000	П
James A Scott IRA $\Rightarrow$ Plug Power, Inc. (PLUG)		08/8/2013	P	\$15,001 - \$50,000	
James A Scott IRA $\Rightarrow$ Plug Power, Inc. (PLUG)		08/15/2013	S	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Plug Power, Inc. (PLUG)		08/26/2013	S	\$1,001 - \$15,000	П
James A Scott IRA $\Rightarrow$ Sirius XM Holdings Inc. (SIRI)		04/5/2013	P	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Sirius XM Holdings Inc. (SIRI)		04/9/2013	S	\$15,001 - \$50,000	
James A Scott IRA $\Rightarrow$ Sirius XM Holdings Inc. (SIRI)		06/19/2013	P	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Sirius XM Holdings Inc. (SIRI)		08/2/2013	S	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Tecumseh Products Company - Class A (TECUA)		04/15/2013	P	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Tecumseh Products Company - Class A (TECUA)		05/7/2013	S	\$1,001 - \$15,000	П
John Hancock VUL  Description: Premium payment		04/30/2013	P	\$1,001 - \$15,000	
Locket Station Group , LLC		12/4/2013	S	\$250,001 - \$500,000	
LOCATION: Doughtery County GA, GA, US  DESCRIPTION: Real estate partnership that was sold.					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Plug Power, Inc. (PLUG)		04/24/2013	P	\$1,001 - \$15,000	
Plug Power, Inc. (PLUG)		04/26/2013	S	\$1,001 - \$15,000	
Plug Power, Inc. (PLUG)		05/1/2013	P	\$409.49	
Plug Power, Inc. (PLUG)		05/8/2013	S	\$493.24	
Plug Power, Inc. (PLUG)		05/23/2013	P	\$1,001 - \$15,000	
Plug Power, Inc. (PLUG)		05/23/2013	S	\$1,001 - \$15,000	<b>✓</b>
Plug Power, Inc. (PLUG)		05/30/2013	P	\$1,001 - \$15,000	
Plug Power, Inc. (PLUG)		06/11/2013	S	\$1,001 - \$15,000	<u>~</u>
Plug Power, Inc. (PLUG)		06/14/2013	P	\$1,001 - \$15,000	
Plug Power, Inc. (PLUG)		07/1/2013	S	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)	SP	07/24/2013	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)	SP	09/10/2013	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Caterpillar, Inc. (CAT)	SP	06/6/2013	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)	SP	06/6/2013	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)	SP	08/7/2013	S	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Fidelity Stock Selector Mid Cap Fund	SP	02/1/2013	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Garmin Ltd. (GRMN)	SP	03/1/2013	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vivien G Scott IRA ⇒ Garmin Ltd. (GRMN)	SP	08/12/2013	S (partial)	\$15,001 - \$50,000	П
Vivien G Scott IRA ⇒ Laudus Growth Investors US large Cap Growth	SP	02/1/2013	S	\$1,001 - \$15,000	П
Vivien G Scott IRA $\Rightarrow$ MFS International Value Fund Class A	SP	02/1/2013	S	\$15,001 - \$50,000	П
Vivien G Scott IRA $\Rightarrow$ MFS Value Fund Class A	SP	02/1/2013	S	\$15,001 - \$50,000	П
Vivien G Scott IRA ⇒ Microsoft Corporation (MSFT)	SP	07/19/2013	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Microsoft Corporation (MSFT)	SP	08/23/2013	S	\$15,001 - \$50,000	П
Vivien G Scott IRA ⇒ Microsoft Corporation (MSFT)	SP	09/5/2013	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Pimco Total Return Class A	SP	02/1/2013	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Plug Power, Inc. (PLUG)	SP	12/4/2013	S	\$15,001 - \$50,000	П
Vivien G Scott IRA ⇒ Plug Power, Inc. (PLUG)	SP	09/4/2013	S	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Plug Power, Inc. (PLUG)	SP	05/21/2013	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Plug Power, Inc. (PLUG)	SP	03/1/2013	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Principal Diversified Int'l Fund Class I	SP	02/1/2013	S	\$15,001 - \$50,000	П
Vivien G Scott IRA $\Rightarrow$ Principal International Emerging Markets fund class I	SP	02/1/2013	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒	SP	02/1/2013	S	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Principal Large Cap S&P 500 index fund class I					
Vivien G Scott IRA ⇒ Principal Mid Cap S&P 400 index fund class I	SP	02/1/2013	S	\$1,001 - \$15,000	П
Vivien G Scott IRA $\Rightarrow$ Principal Mid Cap Value I Fund Class I	SP	02/1/2013	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Principal Real Estate Securities Fund	SP	02/1/2013	S	\$1,001 - \$15,000	П
Vivien G Scott IRA $\Rightarrow$ Principal Small Cap S&P 600 index fund class I	SP	02/1/2013	S	\$1,001 - \$15,000	П
Vivien G Scott IRA $\Rightarrow$ T Rowe Price Growth Stock Fund	SP	02/1/2013	S	\$1,001 - \$15,000	П
Vivien G Scott IRA $\Rightarrow$ Vanguard Short Term Investment Grade Fund	SP	02/1/2013	S	\$15,001 - \$50,000	П

<sup>\*</sup> Asset class details available at the bottom of this form.

# SCHEDULE C: EARNED INCOME

Source	Туре	Amount
James Austin Scott Life Insurance Agency	Insurance Renewals prior to house service	\$54,397
The Southern Group, LLC	Spouse earnings	N/A
IRA Distribution	IRA Distribution	\$58,120
Spouse IRA Distribution	IRA Distribution	\$69,000

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Туре	Amount of Liability
	Ameris Bank Tifton Georgia	2010	Line of credit	\$15,001 - \$50,000
	Ameris Bank Tifton Georgia	2006	Mortgage 621 McLendon Street Ashburn, GA	\$15,001 - \$50,000
	Everhome	2005	Primary mortgage 621 McLendon Street Ashburn, GA 31714	\$100,001 - \$250,000

Owner	Creditor	Date Incurred	Туре	Amount of Liability
	Wells Fargo	September 2012	Mortgage primary residence	\$250,001 - \$500,000
SP	Ameris Bank Tifton Georgia	September 2012	Primary residence mortgage	\$50,001 - \$100,000
	Ameris Bank Tifton Georgia	2010	Real estate long pine lot	\$50,001 - \$100,000

## **S**CHEDULE **E**: **P**OSITIONS

Position	Name of Organization
Partner	Lockett Station Group, LLP
Limited Partner	James W. Scott Family Limited Partnership
Limited Partner	MCA Family, LLC
Limited Partner / General Partner	A-C Scott, LLP and Aus Cur Scott, LLC

#### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2003	Principal Financial Group	vested pension benefit

## SCHEDULE G: GIFTS

None disclosed.

#### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

# SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### SCHEDULE A AND B ASSET CLASS DETAILS

• A-C SCOTT LLLP LOCATION: US

• J. W. Scott Family Limited Partnership Location: US

o James A Scott IRA

DESCRIPTION: Retirement Account

o James A Scott VUL

Description: Life Insurance Contract

• MCA Family, LLC LOCATION: US

Vivien G Scott 401 (K)
 Description: 401 (k)

• Vivien G Scott IRA (Owner: SP)
DESCRIPTION: Retirement Account

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

C Yes No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes C No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ○ No

#### **COMMENTS**

I am obtaining the information on the Wells Fargo and Ameris Loans. I believe that they should have been reported on the 2012 statement as well as the change in the Carmen Gallagher Trust CD. Thank You.

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Austin Scott, 08/29/2014