

Periodic Transaction Report

OFFICE TELEPHONE: 602-665-3111

2016 OCT 14 PM 1:29

HAND DELIVERED

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Member of the U.S. House of Representatives

State: Wyoming District: 2

File 3n original and 2 copies

Officer or Employee

Employing Office: _____

(For Official Use Only)

Did you purchase any shares that were allocated as a part of an Initial Public Offering? ☐ Yes ☒ No

☐ Yes ☒ No

If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending.

☒ Initial Report

Amendment

Date of Report Being Amended:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

[illegible]

Periodic Transaction Report

NAME: Kurt Schrader

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[illegible]

NOTE NUMBER	FILER NOTES (optional)

UNITED STATES HOUSE OF REPRESENTATIVES

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NAME: Kurt Schrader Page 3 of 4

FULL ASSET NAME	TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION										
	Purchase	Sale	Exchange			A	B	C	D	E	F	G	H	I	J	K
SP DC JT	Provide full name, not ticker symbol.			(MM/DD/YY)	(MM/DD/YY)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Over <input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Transaction in a Spouse or Dependent Child Asset over <input type="checkbox"/>
Suzanne Corp	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	9/13/16	10/1/16	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
KDDI Corp ADR	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	9/13/16	10/1/16	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Otsuka Holdings 101A	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	9/13/16	10/1/16	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Tokyo East-Ltd ADR	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	9/14/16	10/1/16	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
DW Large Cap Strategies Fund	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	9/26/16	10/1/16	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
DW Municipal Strategies Fund	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	9/26/16	10/1/16	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
DW Small-Midcap Fund	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	9/26/16	10/1/16	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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