



Filing ID #10008275

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Sam Farr
Status: Member
State/District: CA20

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2013
Filing Date: 07/21/2015

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|---------------------|----------------|--------------------|-------------------------------------|
| Abbott Laboratories (ABT) | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| AbbVie Inc. (ABBV) | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| DESCRIPTION: AbbVie spun off as a separate company from Abbott Laboratories on 1/1/2013. | | | | | |
| Actavis plc Ordinary Shares (ACT) | | \$15,001 - \$50,000 | Capital Gains | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| AFLAC Incorporated (AFL) | | None | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Airgas, Inc. (ARG) | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Amgen Inc. (AMGN) | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Boeing Company (BA) | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Caterpillar, Inc. (CAT) | | None | None | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-------------------------|--------------------------|----------------------|-------------------------------------|
| Celgene Corporation (CELG) | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Charles Schwab Money Market Account | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Chevy Chase Land Company | | \$15,001 - \$50,000 | Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| DESCRIPTION: Land holding company | | | | | |
| Colgate-Palmolive Company (CL) | | \$50,001 - \$100,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Congressional Federal Credit Union (Savings and Checking Accounts) | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Congressional Federal Credit Union IRA Account | | \$15,001 - \$50,000 | Interest | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Devils Flat Association - Member in landholding LLC | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| LOCATION: Big Sur, Monterey County, CA, US | | | | | |
| DESCRIPTION: Interest in land holding LLC. | | | | | |
| Ecolab Inc. (ECL) | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Farr Children's Trust and Vista Lobos Property Trust Partnership ⇒ Vista Lobos Apartments | | \$500,001 - \$1,000,000 | Rent | \$50,001 - \$100,000 | <input type="checkbox"/> |
| LOCATION: Carmel, Monterey County, CA, US | | | | | |
| DESCRIPTION: Asset is owned in part by Farr Children's Trust of which filer is an income-only beneficiary and owned in remaining part by Vista Lobos Property Trust Partnership in which filer is a limited partner. | | | | | |
| General Electric Company (GE) | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Genuine Parts Company (GPC) | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Honeywell International Inc. (HON) | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Interest - California State Retirement System (includes California Legislative Retirement) | | \$15,001 - \$50,000 | Interest | \$2,501 - \$5,000 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-------------------------|--------------------------|----------------------|-------------------------------------|
| International Business Machines Corporation (IBM) | | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Lots 7, 9, 10 (SE Corner of Santa Lucia and Camino Real) | | \$500,001 - \$1,000,000 | None | | <input type="checkbox"/> |
| LOCATION: Carmel, CA, US | | | | | |
| Membership interest, Point 16 | | \$500,001 - \$1,000,000 | Rent | \$50,001 - \$100,000 | <input type="checkbox"/> |
| LOCATION: Big Sur, CA, US | | | | | |
| Partnership interest - Sorensen's Resort | | \$15,001 - \$50,000 | Partnership distribution | \$1,001 - \$2,500 | <input type="checkbox"/> |
| LOCATION: Hope Valley, CA, US | | | | | |
| QUALCOMM Incorporated (QCOM) | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Schwab IRA Account ⇒ Charles Schwab Money Market Fund | | \$1 - \$1,000 | None | | <input type="checkbox"/> |
| Schwab IRA Account ⇒ MS Global Strategist Fund | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Schwab IRA Account ⇒ Vanguard Index 500 Fund | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Schwab IRA Account ⇒ Vanguard Intermediate Term Investment Grade Fund | | None | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Schwab IRA Account ⇒ Vanguard S-T Corp Bond ETF | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Schwab IRA Account ⇒ Vanguard Total Stock Market Fund | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Sharlands Investment Company | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| DESCRIPTION: Investment company | | | | | |
| Tahoe Cabin | | \$15,001 - \$50,000 | Rent | \$1,001 - \$2,500 | <input type="checkbox"/> |
| LOCATION: El Dorado County, CA, US | | | | | |
| Union Pacific Corporation (UNP) | | \$1,001 - \$15,000 | Dividends | \$201 - | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---------------------------------------|-------|---------------------|----------------|-----------------|-------------------------------------|
| | | | | \$1,000 | |
| United Technologies Corporation (UTX) | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Wal-Mart Stores, Inc. (WMT) | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Wells Fargo & Company (WFC) | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|---------------------|-------------------------------------|
| AbbVie Inc. (ABBV) | | 01/1/2013 | E | \$1,001 - \$15,000 | |
| DESCRIPTION: Stock received when AbbVie spun off as separate company from Abbott Laboratories on 1/1/2013. | | | | | |
| Actavis plc Ordinary Shares (ACT) | | 03/13/2013 | P | \$1,001 - \$15,000 | |
| Actavis plc Ordinary Shares (ACT) | | 10/1/2013 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Actavis plc Ordinary Shares (ACT) | | 10/1/2013 | P | \$15,001 - \$50,000 | |
| AFLAC Incorporated (AFL) | | 03/13/2013 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Caterpillar, Inc. (CAT) | | 03/13/2013 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Colgate-Palmolive Company (CL) | | 03/13/2013 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| QUALCOMM Incorporated (QCOM) | | 03/13/2013 | P | \$1,001 - \$15,000 | |
| Schwab IRA Account ⇒ Vanguard Intermediate Term Investment Grade Fund | | 10/9/2013 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Schwab IRA Account ⇒ Vanguard S-T Corp Bond ETF | | 10/10/2013 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---------------------------------------|-------|------------|----------|--------------------|---------------------|
| United Technologies Corporation (UTX) | | 03/13/2013 | P | \$1,001 - \$15,000 | |

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|--|----------------|----------|
| Partners for Transition LLC | Spouse Salary | N/A |
| California Public Employees Retirement System/Legislative Retirement | Pension Income | \$19,280 |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|------------------|---------------|-------------------------------------|-------------------------|
| | Wells Fargo Bank | December 2012 | Mortgage on Residence, Carmel, CA | \$250,001 - \$500,000 |
| | Charles Schwab | March 2013 | Margin account loan | \$50,001 - \$100,000 |
| | Wells Fargo Bank | 2011 | Line of credit secured by residence | \$500,001 - \$1,000,000 |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|-----------------|--|
| Partner | Sorensen's Resort |
| LLC member | Devils Flat Association |
| Limited partner | Vista Lobos Property Trust Partnership |

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|------|---|--|
| 2014 | Continued participation in California State Legislative Pension system. | Pension system based on state constitutional and statutory provisions. |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details | | | | | Inclusions | | |
|---|------------|------------|---|------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| Source | Start Date | End Date | Itinerary | Days at Own Exp. | Lodging? | Food? | Family? |
| Progressive Congress | 01/23/2013 | 01/25/2013 | Washington, DC - Pikesville, MD - Washington DC | | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| Aspen Institute Congressional Program | 04/1/2013 | 04/8/2013 | Monterey, CA - Istanbul, Turkey - Washington DC | | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| Aspen Institute Congressional Program | 08/9/2013 | 08/20/2013 | Washington DC - Addis Ababa - San Francisco, CA | 4 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| COMMENTS: Dates at personal expense: 8/11/2013 to 8/14/2013 | | | | | | | |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Farr Children's Trust and Vista Lobos Property Trust Partnership
- Schwab IRA Account

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Sam Farr , 07/21/2015