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Congress of the United States
House of Representatives
Washington, DC 20515-3204

COMMITTEES:
FINANCIAL SERVICES

SUBCOMMITTEES:
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CONSUMER CREDIT

HOUSING AND INSURANCE

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SUBCOMMITTEES:
RANKING MEMBER, EARLY CHILDHOOD,
ELEMENTARY, AND SECONDARY EDUCATION
HIGHER EDUCATION AND WORKFORCE
TRAINING

**HAND
DELIVERED**

Rep. Carolyn McCarthy
2346 Rayburn House Office Building
Washington, DC 20515

May 15, 2013

Ms. Karen Haas
Clerk of the House of Representatives
U.S. Capitol, Room H154
Washington, DC 20515

Dear Ms. Haas:

I am writing to you to apologize for my oversight in failing to include my grandchildren's 529 College Savings plans in my 2011 Financial Disclosure report. I was not aware that these funds should have been included in my report, since they are for the benefit of children who are not my dependents. I have been contributing small amounts to these accounts on a monthly basis. There were no periodic transactions exceeding \$1,000 during 2011, so there are no transactions to report, pursuant to House Ethics guidelines. Below are the values of the accounts at the end of 2011. I have included a separate schedule for each grandchild's account. The accounts are maintained through New York's 529 College Savings Program, Direct Plan.

Investment	Market Value as of 12/31/2011
Aggressive Age-Based Option: Moderate	\$5,362.28
Moderate Age-Based Option: Conservative	\$2,661.30
Aggressive Growth Portfolio	\$2,781.00
Developed Markets Index Portfolio	\$ 795.66
Value Stock Index Portfolio	\$ 900.71
Conservative Growth Portfolio	\$1,267.04
Bond Market Index Portfolio	\$ 931.32
Inflation-Protected Securities Portfolio	\$ 962.38
Income Portfolio	\$1,188.47

Investment	Market Value as of 12/31/2011
Aggressive Age-Based Option: Moderate	\$5,114.37
Moderate Age-Based Option: Conservative	\$2,586.16
Aggressive Growth Portfolio	\$4,663.63



Developed Markets Index Portfolio	\$ 477.42
Conservative Growth Portfolio	\$1,267.04
Bond Market Index Portfolio	\$ 633.12
Inflation-Protected Securities Portfolio	\$ 692.95
Income Portfolio	\$1,188.47

The value of a few of these funds exceeded \$1,000 in prior years as well. Please advise me if I should provide a schedule of these ending values in addition.

Again, I apologize for this oversight and for any inconvenience that it may cause. Please note that all of these investments are included in my 2012 report. If you have any questions or need any further information, please do not hesitate to contact me.

Very Truly Yours,



Carolyn McCarthy