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Congress of the United States
House of Representatives
Washington, DC 20515-0533

April 17, 2015

U.S. House of Representatives Committee on Ethics
1015 Longworth House Office Building
Washington, DC 20515-6328

Via Email

Dear Committee on Ethics:

This is in response to your letter dated March 18, 2015 in which you request additional information on the underlying investments for my UBS 401k Plus Plan and UBS Self-directed Window. Below are the investments in my UBS 401k Plus Plan:

Fixed Income / Bond	NATIONWIDE HIGH YIELD BOND FUND CLASS I	\$1,557
Fixed Income / Bond	PACE INTERNATIONAL FIXED INCOME FUND CLASS Y	\$170
Fixed Income / Bond	PIMCO CONVERTIBLE FUND INSTITUTIONAL CLASS	\$1,212
Fixed Income / Bond	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST CLASS	\$2,183
Fixed Income / Bond	PIMCO Total Return Fund	\$291
Fixed Income / Bond	Stable Value Fund	\$1,352
Fixed Income / Bond	U.S. GOVERNMENT SECURITIES FUND CLASS R5	\$1,117
Fixed Income / Bond	UBS CORE PLUS BOND FUND CLASS P	\$907
Multi Asset	PIMCO All Asset Fund	\$201
Target Funds	Vanguard Target Retirement 2030	\$1,717
Target Funds	Vanguard Target Retirement 2040	\$253
Large Cap	ALLIANZGI NFJ DIVIDEND VALUE FUND INSTITUTIONAL CLASS	\$329
Large Cap	Cambiar Large Cap Value Equity Fund	\$894
Large Cap	GE Large Cap Growth Equity Fund	\$878
Large Cap	SSgA S&P 500 Index Fund	\$3,719
Large Cap	THE GROWTH FUND OF AMERICA CLASS R5	\$1,920
Large Cap	WASHINGTON MUTUAL INVESTORS FUND CLASS R5	\$1,417
International Developed	Artisan International Growth Equity Fund	\$862
International Developed	CAPITAL WORLD GROWTH & INCOME FUND CLASS R5	\$1,680
International Developed	Mondrian International Value Equity Fund	\$903
International Developed	UBS GLOBAL SUSTAINABLE EQUITY FUND CLASS P	\$380
Small & Mid Cap	Rothschild SMID Cap Value Equity Fund	\$3,168
Small & Mid Cap	TimesSquare SMID Cap Growth Equity Fund	\$3,211
Other	PIMCO DIVERSIFIED INCOME FUND INSTITUTIONAL CLASS	\$1,166

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Below are the investments in my UBS Self-directed Window:

NFJEX	ALLIANZGI NFJ DIVIDEND VALUE FUND INSTITUTIONAL CLASS	\$329.05
RGVFX	U.S. GOVERNMENT SECURITIES FUND CLASS R5	\$1,116.76
RWIFX	CAPITAL WORLD GROWTH & INCOME FUND CLASS R5	\$1,680.06
RGAFX	THE GROWTH FUND OF AMERICA CLASS R5	\$1,920.48
GGYIX	NATIONWIDE HIGH YIELD BOND FUND CLASS I	\$1,556.53
PFCIX	PIMCO CONVERTIBLE FUND INSTITUTIONAL CLASS	\$1,211.86
PDIIIX	PIMCO DIVERSIFIED INCOME FUND INSTITUTIONAL CLASS	\$1,166.01
PWFYX	PACE INTERNATIONAL FIXED INCOME FUND CLASS Y	\$169.58
PIGIX	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST CLASS	\$2,182.55
BNUFX	UBS GLOBAL SUSTAINABLE EQUITY FUND CLASS P	\$379.50
BPBDX	UBS CORE PLUS BOND FUND CLASS P	\$906.53
RWMFX	WASHINGTON MUTUAL INVESTORS FUND CLASS R5	\$1,417.35

The above information amends Schedule A-7 of my 2013 Financial Disclosure Statement.

Sincerely,

//signed//

Ted W. Lieu

Member of Congress