

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A

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For use by Members, officers, and employees.

James H. S. "Jim Cooper"

(Full Name)

(Daytime Telephone)

Filer Status ☒ Member of the U.S. House of Representative

State: TN District: 5

☐ Officer Or Employee

Employing Office:

Report Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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LEGISLATIVE RESOURCE CENTER

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SCHEDULE I - EARNED INCOME

Name James H. S. "Jim Cooper"

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Vanderbilt University	Approved Teaching Fee	\$20,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James H. S. "Jim Cooper"

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BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	BLOCK B Year-End Value of Asset Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."	BLOCK C Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D Amount of Income For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. * This column is for income generated by assets held solely by your spouse or dependent child.	BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
2319 Woodmont Blvd., Nashville TN (vacant land)	\$500,001 - \$1,000,000	None	NONE	
Adams Properties (owns property on Beach Dr., Gulfport, MS)	\$100,001 - \$250,000	Partnership Income	\$201 - \$1,000	
Berkshire Hathaway (BRKA)(IRA)	\$100,001 - \$250,000	TAX-DEFERRED	NONE	
City Holding Company (CHCO)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Coca-Cola Co. (KO)(IRA and brokerage acct)	\$1,001 - \$15,000	TAX- DEFERRED/DIVIDENDS	\$201 - \$1,000	
Comcast Corp. Class A (CMCSA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James H. S. "Jim Cooper"

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Congressional Federal Credit Union accts	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Cooper Bros Land Co. Partnership, farm, land and lots, Shelbyville TN, Smith Mills, KY Nashville TN (see attached)	\$5,700,000	Partnership Income	\$100,001 - \$1,000,000	

DNP Select Income FD Inc. (DNP)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Faugier Bankshares Inc. (FBSS)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Federated GNMA Inst Fund (FGMAX)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Fidelity Contrafund (FCNTX)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Fidelity Growth and Income Fund (FGRIX)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Fidelity Municipal Money Market Fund (FTEXX)	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
General Electric Co. (GE) (IRA and brokerage acct.)	\$50,001 - \$100,000	TAX-DEFERRED/DIVIDENDS	\$1,001 - \$2,500	

Hamilton Fairfax LLC (apt. bldg. on Fairfax, Nashville TN)	\$100,001 - \$250,000	Partnership Income	\$15,001 - \$50,000	
Harpeth Green Properties LLC (interest in Heritage Retirement Facilities LLC and Heritage Health Center LLC)	\$100,001 - \$250,000	None	NONE	

Helios Strategic Mortgage Fund (HSM)	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Hewlett Packard Co. HPQ (IRA)	\$1,001 - \$15,000	TAX-DEFERRED
Intel Corp. (INTC)	\$15,001 - \$50,000	DIVIDENDS
International Business Machines (IBM)	\$100,001 - \$250,000	DIVIDENDS
Lennox Intl. Inc. (IRA)	\$50,001 - \$100,000	DIVIDENDS
Microsoft Corp. (MSFT)(IRA)	\$1,001 - \$15,000	TAX-DEFERRED
Northern Trust Inst. Gov't Portfolio	\$250,001 - \$500,000	INTEREST
Northwestern Mutual "Adjustable Comp Life" policy (tn)	\$250,001 - \$500,000	DIVIDENDS
PLC Properties (warehouse on Hwy. 31, Orinda TN (tn))	\$250,001 - \$500,000	Partnership Income
Proctor and Gamble Co. (PG)	\$1,001 - \$15,000	DIVIDENDS
Qualcomm Inc. (QCOM)(IRA)	\$15,001 - \$50,000	DIVIDENDS
State Bank Financial Corp. (STBZ)	\$1,001 - \$15,000	DIVIDENDS
Sun Trust accts.	\$1,001 - \$15,000	INTEREST
Sun Trust Banks Inc. (STI)(IRA)	\$15,001 - \$50,000	DIVIDENDS
Thoma Cressey Friends Fund VI LP (see attached for investments and transactions)	\$15,001 - \$50,000	Partnership Income

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James H. S. "Jim Cooper"

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Thoma Cressey Friends Fund VII LP (IRA)(see attached for investments and transactions)	\$100,001 - \$250,000	TAX-DEFERRED	NONE	
TIAA CREF Stock (annuity)	\$15,001 - \$50,000	None	NONE	
TIAA Traditional (annuity)	\$1,001 - \$15,000	None	NONE	
Virginia Springs Investments, LLC (undeveloped land, Brentwood, TN (tn))	\$250,001 - \$500,000	Partnership Income	\$100,001 - \$1,000,000	
Virtus Investment Partners inc. (VRTS)(IRA)	\$1,001 - \$15,000	None	NONE	
Wells Fargo Co. (WFC)(IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Wells Fargo Money Market and cash deposits (taxable)	\$100,001 - \$250,000	INTEREST	\$1 - \$200	
Wells Fargo Money Market cash deposits (IRA)	\$250,001 - \$500,000	TAX-DEFERRED	NONE	

SCHEDULE V - LIABILITIES

Name James H. S. "Jim Cooper"

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	Suntrust Mortgage	Aug 1997	Mortgage on personal residence	\$250,001 - \$500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James H. S. "Jim Cooper"

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Alliance for Health Reform/The Commonwealth Fund	Jan 20-22	Nasville TN-Ft. Lauderdale FL-Nasville TN	Y	Y	N	None
American Enterprise Institute	Mar 8-11	DC-Sea Island GA-Nashville TN	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name James H. S. "Jim Cooper"

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Executive Board	Middle Tennessee Council of the Boy Scouts of America
Adjunct Professor	Owen School of Management, Vanderbilt University
General Partner	PLC Properties, LLC
Member	Hamilton Fairfax, LLC
Partner	Cooper Bros. Land Company
Member	Harpeth Green Properties, LLC
Member	Virginia Springs Investments LLC

FOOTNOTES

Name James H. S. "Jim Cooper"

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Dividend applied towards cash value of policy.	Northwestern Mutual "Adjustable Comp Life" Policy
3	Schedule III	Ownership of undeveloped land on Virginia Way transferred from PLC Properties to Virginia Springs Investments, LLC on March 16, 2012.	PLC Props and Virginia Springs Investments LLC
2	Schedule III	Remaining PLC Properties investments include Grinda, TN warehouse, Maryland Commons LLC properties in Brentwood, TN, and Harpeth Green Properties, also in Brentwood, TN.	PLC Props

James H.S. ("Jim") Cooper

**2012 Financial Disclosure Statement
Supplementary Schedule**

Schedule III

Cooper Bros. Partnership (Gross assets reported; Jim's share of total = \$5,781,274)

Assets:

Located in or near Shelbyville, TN (Jim's 1/3 = \$707,567)

- Lots at 756 Madison Street
 - Purchased 12/31/65, 7/29/77, and 2/16/88; tax appraisal value \$826,400
- Lot on East Holland Street
 - Purchased 7/29/77, 12/27/72, and 1/31/70; tax appraisal \$87,600
- Lot on East Depot Street
 - Purchased 12/31/85; tax appraisal \$37,400
- Lots at 319, 401, 409, and 414 East Lane Street, and 410 Deery Street
 - Purchased 11/25/03, tax appraisal value \$153,500
 - Purchased 12/30/89, tax appraisal value \$38,400
 - Purchased 12/28/92, tax appraisal value \$95,200
 - Purchased 410 Deery St. on 10/19/06 for \$32,000
- Farm at 497 Shofner Bridge Road, 463 acres
 - Purchased 7/29/77; tax appraised value \$807,400
- Field on Thompson Creek Road, 80 acres
 - Purchased 12/31/87, tax appraisal \$44,800

Located in or near Smith Mills, KY (Jim's 1/3 = \$533,333)

- Bank appraised value of all KY farms in 1999 at \$1.6 million
 - Tweedy and Floyd Farms, Hwy. 266, 575 acres
 - Purchased 5/18/69 and 3/27/74
 - Utley Farms, Burbank Road, 75 acres
 - Purchased 1979
 - Mueth and Brahm Farms, Alzey-Uniontown Road, 270 acres
 - Purchased 1/28/87
 - Klondike Farm, Klondike Road, 453 acres
 - Purchased 5/18/69, 1988

Located in Brentwood, TN (**Jim's share = \$3,250,222**)

- Park Center Partnership I, owns 21% of Gambro Bldg.,
5200 Virginia Way
 - Purchased 9/30/00; tax appraised value \$14,623,700
- Park Center Partnership II, owns 21% of LBMC Bldg.,
5250 Virginia Way
 - Purchased 9/30/00; tax appraised value \$14,628,300

Located in Nashville, TN (**Jim's share = \$1,290,252**)

- Lot 6A at 658 Grassmere Office Park, Trousdale Pike,
Nashville, TN
 - This lot was contributed to a development entity,
Grassmere Five LLC in return for a 58% ownership
interest; tax appraised value \$6,673,200

Cooper Bros. Partnership (Gross assets reported; Jim's share of total = \$5,781,274)

James H.S. Cooper

Portfolio Summary of Thomas Cressey Funds

As of December 31, 2012

of which Cooper owns a small percentage, reported on Schedule III

Thomas Cressey Friends Fund VI, LP

	Current FMV
Portfolio Companies	
Select Medical Corporation (SEM)	464,522
HGH Equity, LLC (Assistive Technology Group Inc., medical and hospital equipment, Rocky Hill, CT)	51,998
Total	516,520

Thomas Cressey Friends Fund VII, LP

	Current FMV
Portfolio Companies	
Wizard Parent LLC, Attachmate Corporation (software company, Seattle WA)	296,802
Hospice Compassus, Inc. (hospice care, Brentwood, TN)	280,858
Jazz Pharmaceuticals plc (JAZZ)	1,061,858
Porter & Chester Institute, Inc. (technical schools located in CT and MA)	390,505
Select Medical Corporation (SEM)	953,090
SpineWare, Inc. (medical devices, Shelton, CT)	124,058
Total	3,086,171

Schedule of Realized Gains/Losses and Dividends
Thoma Cressey Funds
For the Year ended December 31, 2012

Thoma Cressey Friends Fund VI, LP

Date	Portfolio Company	Amount	Description
12/17/2012	Select Medical Corporation	73,892	Dividend Payment received
9/19/2012	Select Medical Corporation	55,801	Proceeds from the sale of common stock

Thoma Cressey Friends Fund VII, LP

Date	Portfolio Company	Amount	Description
5/22/2012	Wizard Parent, LLC	358,129	Dividend Payment received
11/15/2012	Wizard Parent, LLC	257,311	Dividend Payment received
12/17/2012	Select Medical Corporation	151,606	Dividend Payment received
3/9/2012	Jazz Pharmaceuticals plc	466,111	Proceeds from sale of common stock
7/31/2012	Infuscience, Inc.	356,649	Proceeds from sale
8/6/2012	Consona Holdings, Inc.	309,704	Proceeds from sale
9/19/2012	Select Medical Corporation	119,613	Proceeds from the sale of common stock
12/11/2012	Jazz Pharmaceuticals plc	399,332	Proceeds from sale of common stock

James H.S. Cooper share of these gains is a small percentage, reported on Schedule 111