	, Yes No	nt child in Ethics.	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	s, "unearned" in o not answer "ye	Have you excluded from this report any other assets, "unearned" income, transactions, or liabi		Exemptions-	
	Yes ☐ No 🗸	ot be	tee on Ethics and certain other "excepted trusts" need not be st benefiting you, your spouse, or dependent child?	by the Committ ils of such a tru	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain ot disclosed. Have you excluded from this report details of such a trust benefiting you, your spoı	Details regarding ' disclosed. Have y	Trusts-	
	ONS	UESTI	ATION ANSWER EACH OF THESE QUESTIONS	I INFORMA	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION ANSWER	N OF SPOUSE, D	EXCLUSION	m
	:	se.			•	If yes, complete and attach Schedule V	If yes, comp	
	d the appropriate	red and	Each question in this part must be answered and the appropriate	Yes ☐ No 🗸	any reportable llability	Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?		<
			If yes, complete and attach Schedule IX.		IV.	If yes, complete and attach Schedule IV.	If yes, comp	
	de Yes 🗌 No 🗸	h an outsid	Did you have any reportable agreement or arrangement with an outside IX. entity?	Yes No	Ϋ́	Did you, your spouse, or dependent child purchase, sell, or exchange a reportable asset in a transaction exceeding \$1,000 during the reporting		.⋜
			If yes, complete and attach Schedule VIII.		,	If yes, complete and attach Schedule III.	If yes, comp	
	n the Yes ✔ No □	of filing in	Did you hold any reportable positions on or before the date of filing in the VIII. current calendar year?	Yes No	receive "uneamed" income of old any reportable asset worth	spouse, or a dependent child 0 in the reporting period or h 100 at the end of the period?		≡
			If yes, complete and attach Schedule VII.			If yes, complete and attach Schedule II.	If yes, comp	-
	avelor 350 Yes □ No 🗸	ortable travore than \$3	Did you, your spouse, or a dependent child receive any reportable travel or VII. reimbursements for travel in the reporting period (worth more than \$350 from one source)?	₩ 8 ⟨	Did any individual or organization make a donation to charity in ileu of paying you for a speech, appearance, or article in the reporting period? Yes	Did any individual or organization make a donation to charity in you for a speech, appearance, or article in the reporting period?		=
			If yes, complete and attach Schedule VI.			If yes, complete and attach Schedule I.	If yes, comp	
	rtin Yes No V	ortable gift ot otherwi	Did you, your spouse, or a dependent child receive any reportable gift in VI. the reporting period (I.e., aggregating more than \$350 and not otherwise exempt)?	~ 8 <	Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Did you or your spouse have "earned" income (e. or more from any source in the reporting period?		-
				F THESE C	ON ANSWER EACH OF THESE QUESTIONS	RY INFORMATION	PRELIMINARY	ס ו
	more than 30 days late.	mor late.	Termination Date:	☐ Termination) Amendment	Annual (May 15)	Report Type	
	be assessed against anyone who files	an,	піріоуее		District: 06	nouse of Representatives	Status	
	A \$200 penalty shall	>.U.U.	Employing Office:		.S. State: NC	Member of the U.S	Filer	
-	[(Office Use Only)	C	(Daytime Telephone)		(Full Name)			
₹	2 12 13 15 PK 4: 29	2 12 1	202.225.3065		John Howard Coble	Joh		
	LEGISLATIVE RESOURCE CENTER	LES SLA						
Ë	DELIVERE	NI	FORM A Page 1 41 AND DELIVERED	TIVES	UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT	UNITED STATES HOUSE CALENDAR YEAR 2011 FINANCIAL	UNITED S	
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publically traded, state the name of the business, the nature of its asset held in the account that exceeds the reporting thresholds. For exercised, to select the specific investments), provide the value for each self-directed (i.e., plans in which you have the power, even if not For all IRAs and other retirement plans (such as 401(k) plans) that are generated more than \$200 in "unearned" income during the year. a fair market value exceeding \$1,000 at the end of the reporting period, vacation homes (unless there was rental income during the reporting Exclude: Your personal residence, including second homes and activities, and its geographic location in Block A. For an ownership interest in a privately-held business that is not address For rental or other real property held for investment, provide a complete reporting period of the institution holding the account and its value at the end of the retirement accounts which are not self-directed, provide only the name symbols.) Provide complete names of stocks and mutual funds (do not use ticker and (b) any other reportable asset or sources of income which Identify (a) each asset held for investment or production of income with Asset and/or Income Source Free Investment Fund (formerly Sterling Select Equity Mutual Asset Management Account Wright Patman Federal Credit **BB&T Savings Account** Sterling NC Intermediate Tax Mutual Fund (formerly BB&T) Sterling Mid Cap Growth Fund (formerly BB&T) Wells Fargo Advisors Cap Union Savings Account \$50,000 т \$250,000 \$100,001 -\$15,001 -\$15,000 \$1,001 -\$50,000 \$15,001 -\$100,000 \$100,000 \$50,001 -\$50,001 other than fair market valuation method at close of reporting the value should be it is generated income asset was sold and is the method used. If an value, please specify year. If you use a Value of Asset ncluded only because Year-End Name John Howard Coble **BLOCK B ENDS** INTEREST/DIVID check the "None" plans or iRAs), you may INTEREST NTEREST INTEREST DIVIDENDS DIVIDENDS during the reporting generated no income Check "None" if the asset be disclosed as income. even if reinvested, must Interest, and capital gains column. Dividends, income (such as 401(k) that generate tax-deferred specific investments or allow you to choose apply. For retirement Check all columns that accounts that do not Type of Income BLOCK C \$1,001 - \$2,500 \$1 - \$200 \$1,001 - \$2,500 \$201 - \$1,000 \$201 - \$1,000 \$201 - \$1,000 earned or generated. assets, indicate the category of income by checking the disclosed as income. Check capital gains, even it Dividends, interest, and appropriate box below. "None" if no income was reinvested, must be "None" column. For all other generate tax-deferred income specific investments or that RAs), you may check the such as 401(k) plans or do not allow you to choose For retirement accounts that Amount of Income BLOCK D exceeding reporting year. \$1,000 in exchanges (E) (P), sales (S), or Indicate if asset had purchases Transaction BLOCK E Page 2 of 7

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	ME Name John Howard Coble	ward Coble		Page 3 of 7
	Federated Bond Value Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Federated Bond MDT Large Cap Value Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Federated Bond Clover Value Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Bank of America Checking Account	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
	Bank of North Carolina Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Hartford Insurance Variable Annuity	\$100,001 - \$250,000	DIVIDENDS	NONE	
	MassMutual insurance Flex Extra Annuity	\$50,001 - \$100,000	DIVIDENDS	NONE	
	MassMutual Insurance Transitions Annuity	\$100,001 - \$250,000	DIVIDENDS	NONE	
	MassMutual Insurance Variable Annuity	\$1,001 - \$15,000	DIVIDENDS	NONE	
	MassMutual Insurance Transitions Select Variable Annuity	\$50,001 - \$100,000	DIVIDENDS	NONE	
	Phoenix Life Insurance Annuity	\$15,001 - \$50,000	DIVIDENDS	NONE	
	State Employees Credit Union Savings Account	\$1,001 - \$15,000	INTEREST	NONE	
5 5	UBS Financial Services Resource Management Account	\$250,001 - \$500,000	DIVIDENDS	\$2,501 - \$5,000	
	UBS Financial Services IRA	\$15,001 - \$50,000	DIVIDENDS	NONE	

SCHEDUI	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name John Howard Coble	ward Coble		Page 4 of 7
	78 acre farm, Henry County, Virginia	\$50,001 - \$100,000	None	NONE	
	Condo, Watauga County, North Carolina	\$250,001 - \$500,000	None	NONE	
	NewBridge Bank Stock	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	Invesco VanKampen Equity and Income Mutual Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
-	Invesco VanKampen Comstock Mutual Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	North Carolina Medicare Community Bond	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Western Carolina University R&D Bond	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	New World Mutual Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Capital World Growth and Income Mutual Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Yadkin Valley Financial Corporation Stock	\$1 - \$1,000	DIVIDENDS	NONE	
	American Equity Insurance Annuity	\$15,001 - \$50,000	DIVIDENDS	NONE	
	Allianz Life Insurance Annuity	\$15,001 - \$50,000	DIVIDENDS	NONE	
	Dreyfus Premier Worldwide Growth Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Morgan Stanley Smith Barney Investment Account	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME	
Name	
John	
Howar	

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	ME Name John Howard Coble	oward Coble		Page 5 of 7
	RS Investments Mutual Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Oppenheimer Developing Markets Mutual Fund	\$1,001 - \$15,000	INTEREST	\$1,001 - \$2,500	
	BJ's Wholesale Club (in the UBS Financial Services RMA)	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	PS&E
	Morgan Stanley Smith Barney	\$15,001 - \$50,000	CAPITAL GAINS	\$1,001 - \$2,500	S(part)
	Cephalon (in the UBS Financial Services RMA)	None	CAPITAL GAINS	\$201 - \$1,000	S
	Lincoln National (in the UBS Financial Services RMA)	None	CAPITAL GAINS	\$201 - \$1,000	Ø
	Verizon Communications (in the UBS Financial Services RMA)	None	CAPITAL GAINS	\$201 - \$1,000	S
	Oppenheimer Limited Term Municipal Fund	\$50,001 - \$100,000	DIVIDENDS	NONE	ס

SCHEDULE IV - TRANSACTIONS

Name John Howard Coble

Page 6 of 7

transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange

out omy a	i) a periori el maser la sela, picase se lligicate (1.07) parial sale /. Ces exallible peren.	iai sale). See example p	9104.		
SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	BJ's Wholesale Club (in the UBS Financial Services RMA)	P	N/A	6-21-11	\$1,001 - \$15,000
	BJ's Wholesale Club (in the UBS Financial Services RMA)	S	No	7-22-11	\$1,001 - \$15,000
	Morgan Stanley Smith Barney	ס	Yes	7-22-11	\$15,001 - \$50,000
	Cephalon (in the UBS Financial Services RMA)	S	Yes	4-5-11	\$1,001 - \$15,000
	Pfizer (in the UBS Financial Services RMA)	S	-No	3-24-11	\$1,001 - \$15,000
	Rsearch in Motion (in the UBS Financial Services RMA)	S	N _O	6-21-11	\$1,001 - \$15,000
	Verizon Communications (in the UBS Financial Services RMA)	Ø	Yes	3-24-11	\$1,001 - \$15,000
	Symantec (in the UBS Financial Services RMA)	P	N/A	3-24-11	\$1,001 - \$15,000
	Savient Pharmaceuticals (in the UBS Financial Services RMA)	ס	NA	3-24-11	\$1,001 - \$15,000
·	Donnelley & Sons (in the UBS Financial Services RMA)	P	N/A	3-31-11	\$1,001 - \$15,000
	Oppenheimer Limited Term Municipal Fund	70	N/A	6-15-11	\$50,001 - \$100,000

SCHEDULE VIII - POSITIONS

Name John Howard Coble

Page 7 of 7

honorary nature; and positions listed on Schedule I. Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an

Posítion	Name of Organization
Member, Board of Visitors	Guilford College, Greensboro, North Carolina
Member, Congressional Advisory Board	Greensboro College, Greensboro, North Carolina
Member, Congresisonal Advisory Board	DECA: An Association of Marketing Students, Reston, Virginia
Member, Congressional Advisory Board	American Conservative Union, Alexandria, Virginia
Member, Congressional Council	Faith & Politics Institute, Washington, DC
Member, Congressional Advisory Board	ThanksUSA, McLean, Virginia



UBS Financial Services Inc. 3200 Northline Avenue Suite 100 Greensboro NC 27408-7600

Summary of your UBS Portfolio December 2011



Your Financial Advisor: ROBERT C KETNER

Phone: 336-854-7000/800-821-0355

Visit our website:

www.ubs.com/financialservices

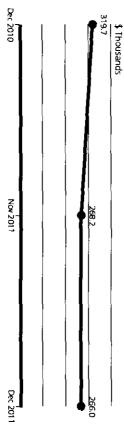
Items for your attention

If you use UBS Online Services, consider changing your User Name and Password regularly to protect your personal data.
 Not enrolled? Go to ubs.com/onlineservices.

Value of your portfolio

\$266,011.81	\$268,176.52	Value of your portfolio
0.00	0.00	Your liabilities
266,011.81	268,176.52	Your assets
on December 30 (\$)	on November 30 (\$)	

Tracking the value of your portfolio



Sources of your portfolio growth during 2011

\$266,011.81	on Dec 30, 2011
	Value of your portfolio
-\$57,866.46	market value
	Change in
\$4,307.86	interest income
	Dividend and
	Your investment return:
-\$150.00	withdrawals
,	Net deposits and
\$319,720 41	at year end 2010
	Value of your portfolio
	1

Page 1 of 26

December 2011 Summary of your UBS portfolio

Your Finandal Advisor: ROBERT C KETNER Telephone 336-854-7000/800-821-0355

Your portfolio balance sheet

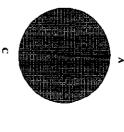
Summary of your assets

Value on December 30 (\$)

Percentage of your portfolio

100.00%	\$266,011.81	Total assets
0.00%	0.00	H Other
0.00%	0.00	G Real estate
0.00%	0.00	F Broad commodities
0.00%	0.00	E Alternative strategies
0.00%	0.00	D Fixed income
99.20%	263,892.74	C Equities
0.00%	0.00	B Cash alternatives
0.80%	2,119.07	A Cash and money balances

Your current asset allocation



two pages of this statement for details about those balances. UBS Bank USA deposit portfolio balances are included in the Cash and money balances value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See the Important information about your statement on the last

Eye on the markets

Value of your portfolio

\$266,011.81

	Percentage change	change
Index	December 2011 Year to date	Year to date
5&P 500	1.02%	2.11%
Russell 3000	0.82%	1.03%
MSCI - Europe, Australia & Far East	0.94%	-11.73%
Barclays Capital Aggregate Bond Index 10+ Yrs.	3.41%	21.78%

Interest rates on December 30, 2011

One-month LIBOR: 0.30% 3-month Treasury bills: 0.02%

Summary of your UBS portfolio December 2011

Your Financial Advisor: ROBERT C KETNER Telephone 336-854-7000/800-821-0355

Accounts in your portfolio

İ	17	5	Details on page
Total			Account number
	J HOWARD COBLE - IRA	J HOWARD COBLE - RMA	Account name and type
\$268,176.52	26,488.28	241,688.24	Value on November 30 (\$)
\$266,011.81	24,050.61	241,961.20	
100 %	9.04%	90,96%	Percentage of portfolio

Change in the value of your accounts

\$266,011.81	-\$3,545.47	\$0.00	\$1,530.76	-\$2,195.35	\$2,045.35	\$268,176.52	Total
24,050.61	-496.30	0.00	103.98	-2,045.35	0.00	26,488.28	J HOWARD COBLE - IRA
241,961.20	-3,049.17	0.00	1,426.78	-150.00	2,045.35	241,688.24	J HOWARD COBLE - RMA
Closing value on December 30 (\$)	Change in market value (\$)	Change in value of outside assets and accruals (\$)	Dividend and interest income (\$)	Withdrawals and fees, including securities transferred out (\$) interest income (\$)	Deposits, including securities transferred in (\$)	Opening value on December 1 (\$)	Account

December 2011

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such

Dividend and interest income earned by account

as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

1			Year to date	ate			Estimated Applie
Taxable accounts	Taxable dividends (\$)	Tax-exempt dividends (\$)	interest (\$)	Tax-exempt interest (\$)	Miscellaneous (\$)	Total (\$)	Estimated Annual Income(\$)
J HOWARD COBLE - RMA	3,494.13	0.00	0,00	0.00	273.60	3,767.73	3,214
			Year to date	हिं	•		
Tax-deferred accounts		Dividends (\$)		Interest (\$)	Miscollaneous (\$)	Total (4)	Estimated Annual income(\$)
				8	· · · · · · · · · · · · · · · · · · ·		
Summary of gains and losses by account	and losses h	M accoll]	9		ć č	1
Values reported below exclude products for which gains and losses are not classified and products with a trade date of the prior year.	hich gains and losses are no	t classified and produ	ects with a				
Realized gains and losses							
		December 2011		Year to date		Unrealized gains	s and losses
Taxable accounts	Short	Short term (\$)	Long term (\$)	Short term (\$)	ति	Unrealized gains and losses	s and losses
J HOWARD COBLE - RMA		0.00	0.00		te Long term (\$)	Unrealized gains Short term (\$)	s and losses Long term (\$)
Tax-deferred accounts		ı	ç	172.91		Unrealized gains Short term (\$) -9,207.18	s and losses Long term (\$) -5,574.54
			C. C.C.	172.91		Unrealized gains Short term (\$) -9,207.18	s and losses Long term (\$) -5,574.54

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UBS Financial Services Inc. 3200 Northline Avenue Suite 100 Greensboro NC 27408-7600

Retirement Account

December 2011

Resource Management Account

CPZ2000312807 1211 X24 AD 0

Your Financial Advisor:

Phone: 336-854-7000/800-821-0355 ROBERT C KETNER

Questions about your statement?

RMA ResourceLine at 800-RMA-1000 Call your Financial Advisor or the account 011017088.

Visit our website:

www.ubs.com/financialservices

Your investment objectives:

Financial Advisor or Branch Manager, You objectives, disagree with them, or wish to statement at the end of this document Important information about your alternative investment objectives in can find a full description of the change them, please contact your you have questions about these investment objectives for this account. If You have identified the following

Your return objective:

Current income & capital appreciation \

Secondary - None selected

Value of your account

\$24,050.61	\$26,488.28	Value of your account
0.00	0.00	Your liabilities
24,050.61	26,488.28	Your assets
on December 30 (\$)	on November 30 (\$)	

Your account instructions

- UBS Financial Services Inc. is your
- Your account is approved for normal distributions.

Change in the value of your account

	December 2011 (\$)	Year to date (\$)
Opening account value	\$26,488.28	\$36,234.59
Withdrawals and fees, including investments transferred out	-2,045.35	-2,045.35
Dividend and interest income	103.98	540,13
Change in market value	-496.30	-10,678.76
Closing account value	\$24,050.61	\$24,050.61

Page 17 of 26

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Account type: Individual Retirement Account

TRADITIONAL IRA

Account number: 4

Account name: J HOWARD COBLE



Cash activity summary

See the section. Account activity this month for details. UBS Bank USA deposit account balances are included in the opening and closing balances value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See Important information about your statement at the end of this document for details about those balances.

\$758.33	\$758,33	Closing balances
\$757.30	\$58.90	Net cash flow
-\$2,541.47	-\$45.08	Total subtractions
-2,541.47	-45,08	Funds withdrawn for investments bought
\$3,298.77	\$103,98	Total additions
2,758.64	0.00	Proceeds from investment transactions
540.13	103.98	Dividend and interest income
		Additions
\$1.03	\$699.43	Opening balances
Year to date (\$)	December 2011 (\$)	

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividenc income has been determined from a US far reporting perspective. Based upon the residence of the account hoder, account type, or product type, some interest and/or dividence payments may not be subject to Unitee. States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Rease consult your individual tax reporting. individual tax preparer.

\$540.13	\$103.98	Total dividend & interest
\$540.13	\$103.98	Total current year
115.20	0.00	Private investment distributions
424.93	103.98	Dividends
Year to date (\$)	December 2011 (\$)	

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

-\$16,880.23	\$294,48	\$0.00	Total
-14,926.87	295,10	0.00	Long term
-1,953.36	-0.62	0.00	Short term
gains and losses (\$)		December 2011 (\$) Year to date (\$)	
Unrealized	id losses	Realized gains and losses	



Resource Management Account

Account name:
Account type:
Account number:

J HOWARD COBLE Individual Retirement Account

Your Financial Advisor: ROBERT C KETNER

336-854-7000/800-821-0355

December 2011

UBS Bank USA Deposit Account APY

Interest period Nov 7 - Dec 6

Opening UBS Bank USA Deposit balance Nov 7	\$665.28
Closing UBS Bank USA Deposit balance Dec 6	\$724.13
Number of days in interest period	30
Average daily balance	\$681.77
Interest earned	\$0.00
Annual percentage yield earned	0.00%

Beneficiaries you've named for this account

statement period. If this information is not correct, or you wish to change your beneficiaries, beneficiary designation may be subject to community property laws. them to us in writing and we accept them. If you live in a community property state, your please contact your Financial Advisor. Beneficiary changes are not effective unless you give The beneficiaries listed below reflect the information in our records as of the end of this

	100.00%	Percentage Benefician
	100.00% Ray Holt Coble	Beneficiary
(100.00%)	Primary Beneficiaries Estate	Contingent beneficiaries

Summary of your contributions

Contribution type shown in this section reflects information you provided to us, and we are not responsible for its accuracy. Note that employer and employee contributions are reported to the IRS for the tax year which they are made.

Transfers	Contribution type
0.00	Current period (\$)
0.00	Current period (\$) Tax year 2011 (\$) Tax year 2010 (\$)
36,230.97	Tax year 2010 (\$)

Retirement services information

2011 Year-End valuation statement - Form 5498

furnished to the Internal Revenue Service. 2012. This 2011 year-end value of your IRA (or the updated value, if applicable) will be statement, we will automatically provide you with an updated year-end valuation in January products, unpriced Private investments or if you own Outside assets that do not appear on this Retain this statement as your year-end valuation. If your Asset portfolio includes insurance

December 30 value

\$24,050.61

Summary of your distributions

Normal distributions	Distribution type
2,045.35	Current period (\$)
2,045.35) Tax year 2011 (\$) Tax year 2010 (\$)
1,724.37	Tax year 2010 (\$)



Resource Management Account
December 2011

Account name:
Account type:
Account number:

J HOWARD COBLE Individual Retirement Account

Your Financial Advisor: ROBERT C KETNER 336-854-7000/800-821-0355

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

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UBS Bank USA deposit account balances are included in the Cash and money balances value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See the Important information about your statement at the end of this document for details about those balances.

atement at the end of this document for details about those balances	details about those bala	arices.						
olding	.Open	.Opening balance on Dec 1 (\$)	Closing balance on Dec 30 (\$)	Price per share on Dec 30 (\$)	Average rate	Dividend Interest period	Days in period	İ
BS BANK USA DEP ACCT		699.43	758.33	İ				
quities								
ommon stock								
ddnç	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (§)	Vàlue on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding perioc
MERICAN INTL GROUP INC COM	j							
mbol: AIG Exchange: NYSE	Mar 26, 08	3.000	926.313	2,778.94	23.200	69.60	-2,709.34	=
PPLIED MATERIALS INC								
41: \$48 Current yield: 2.99%	Nov 27, 01	150.000	22.137	3,320.57	10./10	nc.ana'i	-1,/14.0/	
TMEL CORP							1	I
mbol: ATML Exchange: OTC	Sep 19, 00	225.000	19.062	4,288.96	8.100	1,822.50	-2,466.46	ı
ANK OF AMER CORP		•						
41: \$13 Current yield: 0.73%	Nov 15, 10	320,000	12.541	4,013.16	5,560	1,779.20	-2,233.96	ū
ITIGROUP INC	j							
/mbol: C Exchange; NYSE Al: \$2 Current yield: 0.17%	Jul 7, 10	45.000	41.120	1,850.43	26.310	1,183.95	-666.48	5
ONNELLEY (R.R.) & SONS CO		****	•					
/mbol: RRD Exchange: OTC	: }		20.473	3 203 50	14.00	1 270 06	1 533 65	-
Al: \$99 Current yield: 7.22%	Mar 25, 08	95,000	30.437	2,093,30	14.400	-,0,0,00	continued next page	next page

Your assets , Equities , Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
EMC CORP MASS								
Symbol: EMC Exchange: NYSE	Sep 19, 08	195.000	13.576	2,647.40	21.540	4,200.30	1,552.90	Ξ
FLEXTRONICS INTL LTD SGD								
Symbol: FLEX Exchange: OTC	Feb 1, 07	210.000	12.145	2,550.52	5,660	1,188.60	-1,361.92	ニ
GENL ELECTRIC CO						i		
Symbol: GE Exchange: NYSE								
EAI: \$109 Current yield: 3.80%	Sep 8, 10	160.000	16.266	2,602.65	17.910	2,865.60	262.95	ᅜ
SAVIENT PHARMACEUTICALS INC								
Symbol: SVNT Exchange: OTC	Apr 12, 02	150,000	5.411	811.70	2.230	334.50	-477.20	4
	Mar 24, 11	250,000	9.985	2,496.39	2.230	557.50	-1,938.89	ST
Security total		400.000	8.270	3,308.09		892.00	-2,416.09	
SCHWAB CHARLES CORP NEW								
Symbol: SCHW Exchange: NYSE								
EAI: \$52 Current yield: 2.15%	Nov 27, 01	215.000	15.923	3,423.61	11.260	2,420.90	-1,002.71	I
Total		İ	i	\$33,677.83		\$19,400.00	-\$14,277.83	
Total estimated annual income: \$323	\$323							

Mutual funds

Total reinvested is the total of all reinvested dividends, it does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Symbol: NYVTX

Total reinvested

25,440

28.048

713.56

ED CL A

EAI: \$5 Current yield, 0.60%

FEDERATED KAUFMAN

FUND CLASS A

DAVIS NEW YORK VENTURE

Number of shares

Average price per share (\$)

Client investment (\$)

Cost basis (\$)

Price per share on Dec 30 (\$)

Value on Dec 30 (\$)

Unrealized (tax) gain or loss (\$)

return (\$)

holding perioc Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was said on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or alloss. It also does not include cash dividends that were not reinvested.

32.500 826.80 113.24 826.80

continued next page

CPZ20001000312812 PZ2000016984 00003 1211X24 028465589 0 AD RK

Page 22 of 26



Resource Management Account December 2011

Account name:
Account type:
Account number:

J HOWARD COBLE Individual Retirement Account

Your Financial Advisor: ROBERT C KETNER 336-854-7000/800-821-0355

Your assets • Equities • Mutual funds (continued)

Holding	Number of shares	Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Cost Price per share basis (\$) on Dec 30 (\$)	Value on Dec 30 (\$)	Unredized (tax) gain or loss (\$)	investment Holding return (\$) perioc
Symbol: KAUAX	·				į			
Trade date: Jan 14, 04	254.000	5.392	1,369.78	1,369.781	4,650	1,181.10	-188.68	_
Total reinvested	179.026	5.591		1,000.96	4.650	832.47	-168.49	
Security total	433.026	5.475	1,369.78	2,370.74		2,013.57	-357.17	643.79
Total Total estimated annual income: \$5			\$1,369.78	\$3,084,30		\$2,840.37	-\$243.93	\$1,470.59

¹ Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

Other equity investments

Cost basis and gains and losses have not been adjusted automatically for return of capital payments. Restricted security values are estimated for informational purposes. See *Important information about your statement* at the end of this document for additional information.

			Purchase priced					
	Trade	Number	Average price	Cost	Price per share	Value on	Unrealized	Holding
Holding	date	of shares	per share (\$)	basis (\$)	on Dec 30 (\$)	Dec 30 (\$)	gain or loss (\$)	period
ALLIANCE BERNSTEIN HLDG LP MLP	ď							
Symbol: AB Exchange: NYSE								
EAI: \$83 Current yield: 7.93%	Sep 19, 08	80,000	42.417	3,393.381	13.080	1,045.40	-2,346.98	=
1 indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.	led by you or another third party	UBS FS has not verified	d this information and does n	ot guarantee its acc	uracy.			
Warrants								
Holding	⊺rade date	Number of warrants	Purchase price per warrant (\$)	Cost basis (\$)	Cost Price per warrant sis (\$) on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding perioc
WARRANTS AMERICAN INTL								
GROUP INC								

Expires: Jan 19, 21

Jan 20, 11

1.000

17.000

17.00

5.510

<u>5.51</u>

-11.49

S



Account name: Account number:



Your assets (continued)

Your total assets

Total					Equities	Cash	
	Total equities	Warrants	Other equity investments	Mutual funds	Common stock	Cash and money balances	
\$24,050.61	23,292.28	5.51	1,046.40	2,840.37	19,400.00	758.33	Value on Dec 30 (\$)
100.00%	96.85%					3.15%	your account
\$40,930.84	40,172.51	17.00	3,393.38 83.00	3,084.30	33,677.83	758.33	basis (\$)
\$411.00	411.00		83.00	5.00	323.00		annual income (\$)
-\$16,880.23	-16,880.23	-11.49	-2,346.98	-243.93	-14,277.83		gain or loss (\$)

Account activity this month

\$103.91	rest income	Total dividend and interest income	Total div	
\$103.98		idends	Total dividends	
3.20	BANK OF AMER CORPPAID ON 320	Dec 23 Dividend	. Dec 23	
4.96	DAVIS NEW YORK VENTURE FD CL A	Dec 22 Dividend	Dec 22	
12.00	APPLIED MATERIALS INC PAID ON 150	Dec 14 Dividend	Dec 14	
40.12	FEDERATED KAUFMAN FUND CLASS A LONG TERM CAPITAL GAIN	Dec 6 Lt Cap Gain	Dec 6	
19.00	PFIZER INC PAID ON 95	Dec 6 Dividend	Dec 6	
24,70	DONNELLEY (R.R.) & SONS CO PAID ON 95	Dec 1 Dividend	Dec 1	Dividends
				Dividend and interest income
Amount (\$)	Description	Activity	Date	

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about* your statement at the end of this document.

	-\$45,08							Total
				-95.000 -2,045.35	-95.000	PFIZER INC	Dec 28 Transfer	Dec 28
	-4.96	i			0.154	DAVIS NEW YORK VENTURE FD CL A DIVIDEND REINVESTED AT 32.22 NAV ON 12/21/11	Dec 22 Reinvestment	Dec 22
	-40.12				8.429	FEDERATED KAUFMAN FUND CLASS A LT CAP GAINS REINVESTED AT 4.76 NAV ON 12/05/11	Reinvestment	Dec 6
Accruec interest (\$)	Proceeds from Funds investment withdrawn for transactions (\$) investments bought (\$)	Proceeds from investment transactions (3)	Price (\$)	Value (\$)	Quantity	Description	Activity	Date

CFZ20001000312814 PZ2000016984 00003 1211 X24 028465589 0 AD RK Page 24



December 2011 Resource Management Account

Account name:
Account type:
Account number:

J HOWARD COBLE Individual Retirement Account

Your Financial Advisor: ROBERT C KETNER 336-854-7000/800-821-0355

Account activity this month (continued)

Dec 30	Dec 27 [Dec 15	Dec 7 [Dec 2	Money balance activities Nov 30	Date /
Closing UBS Bank USA Deposit Account	Deposit UBS BANK USA DEPOSIT ACCOUNT		Deposit UBS BANK USA DEPOSIT ACCOUNT	Deposit UBS BANK USA DEPOSIT ACCOUNT	Balance forward	Activity Description
\$758.33	COUNT 3.20		TOUNT 19.00		\$699.43	Amount (3)

The UBS Bank USA Deposit Account is your primary sweep option.



UBS Financial Services Inc. 3200 Northline Avenue Suite 100 Greensboro NC 27408-7600

Resource Management Account
December 2011

CPZ2000312795 1211 X24 AD 0

Your Financial Advisor: ROBERT C KETNER

Phone: 336-854-7000/800-821-0355

Questions about your statement? Call your Financial Advisor or the

Account number:

Account name: J HOWARD COBLE

Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000, account 011017085.

Visit our website:

www.ubs.com/financialservices

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in important information about your statement at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate Secondary - None selected

Value of your account

\$241,961.20	\$241,688.24	Value of your account
0.00	0.00	Your liabilities
241,961.20	241,688.24	Your assets
on December 30 (\$)	on November 30 (\$)	•

Change in the value of your account

	December 2011 (\$)	Year to date (\$)
Opening account value	\$241,688.24	\$283,485.82
Deposits, including investments transferred in	2,045.35	2,045.35
Withdrawals and fees, including investments transferred out	-150,00	-150.00
Dividend and interest income	1,426.78	3,767.73
Change in market value	-3,049.17	-47,187.70
Closing account value	\$241,961.20	\$241,961.20

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9f:11-MAY-2012-15:18 DOC:471 Page:023

SEN: WOJE

SBU : xe7

Cash activity summary

See the section. Account activity this month for details. UBS Bank USA deposit account balances are included in the opening and closing balances value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See Important information about your statement at the end of this document for details about those balances.

	December 2011 (\$)	Year to date (\$)
Opening balances	\$1,205.26	\$1,996.74
Additions		
Dividend and interest income	1,426.78	3,767.73
Proceeds from investment transactions	0.00	23,480.90
Total additions	\$1,426.78	\$27,248.63
Subtractions		
Annual fee	-150.00	-150.00
Funds withdrawn for investments bought	-1,121.30	-27,734.63
Total subtractions	-\$1,271.30	-\$27,884.63
Net cash flow	\$155.48	-\$636.00
Closing balances	\$1,360.74	\$1,360.74

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividenc income has been determined from a US tax reporting perspective. Based upon the residence of the account hoder, account type, or product type, some interest and/or dividenc payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information, it is the practice of UBS to file the applicable tax reporting forms with the US internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax eventually or taxable income. Please consult your individual tax preparer.

\$3,767.73	\$1,426.78	Total dividend & interest
\$3,767.73	\$1,426.78	Total current year
273.60	0.00	Private investment distributions
3,494.13	1,426.78	Taxable dividends
Year to date (\$)	December 2011 (\$)	

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

-\$14,781.72	-\$2,997.75	\$0.00	Total
-5,574.54	-3,170.66	0.00	Long term
-9,207.18	172.91	0.00	Short term
gains and losses (\$)	Year to date (\$)	December 2011 (\$)	
Unrealized	nd losses	Realized gains and losses	

December 2011

UBS Bank USA Deposit Account APY

Interest period NOV / - Dec 6	
Opening UBS Bank USA Deposit balance Nov 7	\$1,039.93
Closing UBS Bank USA Deposit balance Dec 6	\$1,379.96
Number of days in interest period	30
Average daily balance	\$1,139.92
Interest earned	\$0.00
Annual percentage yield earned	0.00%

My Choice Rewards Points from UBS

Rewards points are reflected as of the 26th of the month or the prior business day if the 26th falls on ϵ weekend holiday. Rewards point activity details can be found on www.ubs. com/onlineservices

Point balances on your current UBS card will automatically transfer to your new, activated UBS visa Signature credit card, and will reflect as "Points adjusted" for the remainder of 2011. If you do not have an activated UBS visa Signature credit card, your rewards points will expire on January 31, 2012.

	December 2011	Tear to date
Opening balance	0	0
Closing balance	0	0

Acct: AD17085	285			Home Voice		չանում ։ Արարդիա կանդարգ տեսա հայրը բարանարդակ որիվ արտապարի առաջանական հայրքարդի հետորական գործագրեր երկանում		
Type: RMA S	Status: Active	FA: ADRK Fea	Features: HH, Premier					
Address: 3 Howard Coble	•	Money Mgr: N/A	Objective: Curr Income & Cap App	Risk: Moderate	of (Int	Activity as of: 05/10/12 L	Last Stmt: 04/12	
Total Value: 264,472.10	Equity: 263,58	Equity: 263,588.54	Morey Funds/Sweep: 852.76	Dabit/Cr 1 25.00	Debit/Credit Balance: 25.00	Funds Ava 92,342.28	Buying Power 182,920.00	DO PANET:
Generated On: 05/11/2012	5/11/2012			Account# in V	Account# in View: AD17085		UBS #	UBS Finencial Services
Filtered by tentry	Filliagead by AFritry Date 01/01/2011-12/31/2011, Bought	31/2011, Boudht						
Entry Date	Settle	ACTIVITY	Description		Symbol / Security#	Type: Quantity	Price/Detail	Amount
03/24/11	11/62/£0	BOUGHT	SYMANTEC CORP Trade#196646 Biot:38		SYMC-435457	2 280.000	\$17.868	\$5, E49), US
03/24/11	03/29/11	воиснт	SAVIENT PHARMACEUTICALS INC Trade#:96281 Blot:38		SVNT-410284	2 260.000	\$9.518	\$2,665.68
03/31/11	04/05/11	воиснт	SAVIENT PHARMACEUTICALS INC Trade#:18339 Biot:38		SVNT-410284	2 355.000	\$10.548	-\$3,888.65
03/31/11	04/05/11	воиснт	DONNELLEY (R.R.) & SONS CD		RRD-132365	2 245.000	\$18.958	\$5,7E4.62
06/21/11	06/24/11	воиснт	Trade#101409 Bioti85 BJ'S WHSL CLUB INC		B)-331A)2	2 105.000	\$46.559	-\$5,012.52
11/22/10	07/22/11	BOUGHT	MORGAN STANLEY		MS-2006K9	210.000	\$23,938	-\$5,173,80

1 The "Total" is a sum of the values displayed in the "Amount" column.

Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security translations are included on approximately a 20 minute delay. Commission and fees for Equity trades are estimates. Autual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some fees are not included intraday.

This report is for informational purposes only and may or may not include all holdings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The Firm's periodic account statements and official tax documents are the only official record of client accounts and are not superseded, replaced, or amended by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, for fax purposes or otherwise.

* Total Bought Amount: -\$26,613.33

Page: 1 of 1 Rows: 1 5 of 6

² Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

447.2	*******					1780e#:47229 Biof:85			
45, 186, 13	\$50.641	- 105 00°	<u></u>	EJ-331A12		BJS WHSL CLUB INC	50E	1077770	07/22/11
\$1,912.25	\$25,560	2 25.600	ŧu	RIMM-4025P5		RESEARCH JM MOTION LTD CAD Trade#:98793 Blot:36	SOLD	05/24/11	06/21/11
\$2,248.63	\$27.470	-85,000		LNC-261521		LINCOLN NATL CORP IND Trade#:01062 Biot:60	SOLD	05/24/11	06/21/11
\$5,589.77	\$76,080	2 25,600	2	CEPH-079928		Trade#:15196 Biot:36	SCLO	04/05/11	03/31/11
\$3,636.03	\$20,242	2 - 185,005	2	PFE-370011		PFIZER INC Trade4:95825 Blot:60	മാ	03/29/11	03/24/11
\$4,897.40	\$37.212	2 128,600	بر	VZ-492BA3	:	VERIZON COMMUNICATIONS INC Trade#:95565 Biot:60	501.D	03/29/11	03/24/11
Amount	Price/Detail	e Quantity	Туре	Symbol / Security:		Description	Kethythy	Settle Date	Entry Date
UBS Rnancial Services	UBS			Account# in View: AD17085	Account# in			Generated On: 05/11/2012	Generalised On: 05/11/2012
Power:	Buying Power: 182,926.00	Funds Available: 92,342.28	_	Debit/Credit Balance: 25,00	0ebit/c	Money Funds/Sweep: 882,28	88.54	Equity: 1 263,588.54	Total Yakua: 264,472.10
	Last Start: 04/12	Activity as of: 05/10/12		Activity as of (Intra): N/A	Risk: Moderate	Features: HH, Premier A Objective: Curr Income & Cap App	FA: ADRK Fea	diwe.	Type: RMA Status: A Address: J Howard Coble
					Home Vaice		!	J	Acct:
			***			THE RESIDENCE OF THE PARTY OF T			

* The "Total" is a sum of the values displayed in the "Amount" column.

1 Yotal Sold Amount: \$23,470.21

Page: 1 of 1 Rows: 1 - 6 of 6

² Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. Complesson and Income agency trades are yell-red direct business, Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent.

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-\$417 89	REINVEST DIV	12.970	1	MEVENA-S44UF9	12/21/11 SY=DIV3	ED AT 32.22 NAV ON	DAVIS NEW YORK VENTURE HD CL A DIVIDEND REINVESTED AT 32.22 NAV ON 12/21/11 SY=DIVJ	L	REINVESTMENT	12/22/11	12/22/11
·\$/X03.41	REINVEST RIV	147.775		MFFSKA-54VAYO	ON 12/05/11 SY=LTG6	VESTED AT 4.76 NAV	FEDERATED KALIFMAN FUND CLASS A LT CAP GAINS REINVESTED AT 4.76 NAV ON 12/05/11 SY=LTG6	L	REINVESTMENT	12/06/11	12/06/11
Amount	Price/Detail	Type Quantity	Тура	Symbol / Security#		Description	3		Activity	Settle	Oute Oute
d Service	UBS Financial Services				Account# in View: AD17085	Account# is	ment .	Generated On: 05/11/2012 Rikarad by: Entry Date 01/01/2011-12/31/2011, Revivestment	Generated On: 05/11/2012	On: 05/13	Garrerator
	Buying Power: 182,920.00			Funds Available: 92,342.28	Debit/Credit Balance: 25.00	Debit, 25,00	Money Funds / Sweep: 882, 28	Equity: 263,588.54	Equity: 263,58	6 1	Total Value 264,472.10
1	04/12	Last Start: 04/12	y12	Activity as of: 05/10/12 Last Stmt: 04/12	Activity as of (Intra): N/A	Risk: Moderate	Objective: Curr Income & Cap App	Money Mgr: N/A	-	Address: J Howard Coble	Address:
							Postures: HH, Premier	FA: ADRX Fe	Status: Active		Type: RMA
					93	Home Voice					Acct:

Page: 1 of 1 Rows: 1 - 2 of 2

1 Total Reinvestment Amount: -\$2,122,30

The "Total" is a sum of the values displayed in the "Amount" column.

² Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

Intraday updates for Equity, Fixed Income and Mutual Filind trades, and some cash and security transactions are included on approximately a 20 minute delay <u>Contrassion and fess for Equity trades are estimates, and may not match trades are reflected following price commission from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund trades are reflected following price commation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund trades, some trade corrections and some fees are not included intraday.</u>

This report is for informational purposes only and may or may not include all holdings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The Firm's periodic account statements and official tax documents are the only official record of client accounts and are not superseded, replaced, or amended by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, for tax purposes or otherwise.

\$6.00	o worthless	2.000	2	157402		WRL1	FAIRPOINT COMMUNICATIONS INC SY #WRL1	WORTHLESS F	04/14/11	04/14/11
Amount	y Price/Detail	Quantity	Туре	Symbol / Security#		Description	Desi	ANOON	Date Date	Out of
UBS Pinancial Services	ues pa				Account# in View: AD17085	Account# in		731/2011, Worthless	Generated On: 05/11/2012 Fittowed by: Entry Date 01/01/2011 12/31/2011, Worthess	Generated On: 05/11/2012
77	Suying Power: 187,920.00		Funds Available: 92,342,28		DebH/Credit Balance: 25.06	Debit/C 1 25.00	Money Funds/Sweep: 852.28	Equity: 263,588.54	Equity: 263,58	Total Value: 264,472.10
	Last Strett: 04/12		Activity as of: 05/10/12	N/A	terate Activity as of (Intra): N/A	Righ: Moderate	Type: RMA Status: Active FA: ADRK Features: HH, Premier Address: 3 Howard Coble Money Ngr: N/A Objective: Curr Income & Cap App	FA: ADRK Feature Money Ngr: N/A Ot	Status: Active	Type: RMA Status: A Address: J Howard Coble
					1:	Home Voice 1:			}	Aoct:

1 The "Total" is a sum of the values displayed in the "Amount" column.

Intradey updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. Connussion and fees for Equity trades are estimates, and may not match what is characted following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some fees are not included intradey.

This report is for informational purposes only and may or may not include all holdings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The Firm's periodic account statements and official tax documents are the only official record of client accounts and are not superscried, replaced, or amended by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, for tax purposes or otherwise.

1 Total Worthless Amount: \$0.00

Page: 1 of 1 Rows: 1 - 1 of 1

² Displayed as an additional transaction, the above bank card activity is debted from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

Acct Type: IRA 03/24/11 Fitted by: Entry Date 01/01/2011 12/31/2011, Bought Address: J Howard Coble Traditional IRA wated On: 05/11/2012 **Status:** Active 03/29/11 3 Equity: 26,061.56 FA: ADRK BOUGHT Activity Money Mgr: N/A Features: HH, Premier SAVIENT PHARMACEUTICALS INC Trade#:97344 Biot:38 282,41 Money Funds/Sweep Objective: Curr Income & Cap App Description Home Voice 11 Account# in View: AD17088 Debit/Credit Balance: Risk: Moderate SVNT-410284 Security of Activity as of (Intra): N/A ₹¥₽ Funds Available: 282.41 **Activity as of: 05/10/12** Quantity 250,000 Price/Detail \$5.568 9 Buying Last Strnt: 04/12 UBS Financial Services \$2,496.3

Page: 1 of 1 Rows: 1 - 1 of 1

¹ Total Bought Amount: -\$2,496.39

The "Total" is a sum of the values displayed in the "Amount" column.

² Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a bisness day. Otherwise, it is debited from your account on the following business day.

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\$2,749,03	250.063 \$11,441 \$2,749.03	250,063	1	MU-286869	MICRON TECHNOLOGY INC Trade#:97021 Blot:85	MJCRON . Trade#:9	d10S	03/75/11	03/24/11
Amount	Price/Detail	Quantity	Type	Symbol / Security#	Description	divity	tie Adhrify	Settin Date	entry
UBS Financial Services	in.			Account# in View! A017088	Ассонн		01/01/2011 12/31/2011, §	S/11/2012 Date 01/01/20	Generated On: 05/11/2012 Filtered by: Entry Date 01/01/2011 12/31/2011, Sold
Buying Power: 0.00	Buying 0.00	Funds Available: 282.41	Fui 28	Debit/Credit Balance: 0.00	Maney Funds/Sweep: Dobit Dobit 0.00	Money F 282.41	Equity: 26,061.58		Total Value: 26,344.52
Last Stmt: 04/12	y as of: 05/10/12 (as	e): N/A Activity as of: 0	Activity as of (Intra): N/A Activity as of: 05/10/12	Risk: Mocierate	bjective : Curr Income & Cap App	Money Mgr: N/A O	•	rd Coble Tra	Address: J Howard Coble Traditional !RA
Traffic Cons					emier	Features: HH, Fremier	FA: ADRK	atus: Active	Type: IRA Status: Active
	,			Home Voice 1:	Home \			J	Acct:

Page: 1 of 1 Rows: 1 - 1 of 1

1 Total Sold Amount: \$2,749.03

Intradey updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay <u>Commission and fees for Equity trades are estimates, and may not match what is charged to the account.</u> Fixed Income agency trades may not include commission and fees. Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some free are not included intraday.

This report is for informational purposes only and may or may not include all holdings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The Firm's periodic account statements and official tax documents are the only official record of client accounts and are not superseded, replaced, or amended by any of the information presented in these reports. Clients should not hely on this information in making purchase or sail decisions, for tax purposes or otherwise.

S/11/2012

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^{*} The "Total" is a sum of the values displayed in the "Amount" column.

² Displayed as an additional transaction, the above bank card activity is debted from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debted from your account on the following business day.

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Address:) Howard Co	Address:) Howard Coble Traditional IRA		Money Mgr: N/A	Objective: Curr Income & Cap App	Risk: Moderate	Activity as of (Intra): N/A	A Activity as of: 05/19/12	as of: 0		Last Stmt: 04/12	5	
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Acquis	Settle Date	Activity		THE STATE OF THE S	Description			Symbol / Security#	ğ	Type Quantity	Price/Detail	Amount	
12/22/11	12/22/11	REINVESTMENT		NEW YORK VENTURE	DAVIS NEW YORK VENTURE FO CL A DIVIDEND REINVESTED AT 37.22 NAV ON 12/21/11 SY-DIV3	AV ON 12/21/11 SY-0P		544077] 1	0.154	REINVEST DIV	を見	
12/06/11	12/06/11	REINVESTMEN	THENER	LATED KALHMAN FUN	12/06/11 12/06/11 REINVESTMENT FEDERATED KAUPMAN FUND CLASS A LT CAP GAINS REINVESTED AT 4.76 NAV ON 12/05/11 SY=L1G6	6 NAV ON 12/05/11 SY:		MFFSKA-54VAYO	П	8.429	REINVEST RIV - SAU 1	1 043	

Page: I of 1 Rows: 1 ~ 2 of 2

1 Tobif Reinvestment Amount: -\$45.08

5/11/2012

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^{*} The "Total" is a sum of the values displayed in the "Amount" column.

² Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

Introdey updates for Equity, Fixed Income and Mutual Fund trades, and some cosh and security transactions are included on approximately a 20 minute detay Commission and feet for Equity trades are expensively and trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund trades are necessary for the fund company or transfer agent. Mutual Fund direct business, Mutual Fund trades, and some feet are not included intradey.

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Ref: 00004960 00043474

J HOWARD COBLE

Account Number

8ank deposit program - principal
Value of your expense on 123/10
Value of your expense on 123/10
Value of your expense on 123/10 ussistance of your Financial Advisor. This information is not provided to the IRS. Apple of your political control of the second supplies the second Clased end funds Combined account balance This information will help you understand the performance of your investments and manage your account with the We are pleased to provide you with this overview of activity in your account this year. 19.955,08 \$ 95.51 Amount totals reported on your monthly statement

Due to IRS reporting requirements, these figures may not be the same as

	•		
Descripson	1099 Reported	1099 Reported 1099 Exempt	Tota
Other dividends **	\$ 323.64	\$ 323.6 4	\$ 323.64
Qualified dividends	112.31		11231
Totala	\$ 495.95		\$ 435.9E

^{**}If you received money funds earnings, capital gain distributions or non-taxable distributions, they are included in this amount.

or foreign regulations. The following taxes have been withheld in compliance with U.S. federal, state

Description Foreign tax paid	Amount \$ 6.60
Total	16.80 D

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Account Number J HOWARD COBLE

Reference number for dividends include dividend reinvestments, money fund earnings, and accrued dividends received. The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed Petalls of 1049 Repursed Dividends and Distributions 2011, Boxes 1a - 2d 33734K 109000 337346 108000 33733E302000 33733.1103000 278280 105000 27829N 302000 ALPHADEX FUND FIRST TRUST LARGE CAP CORE A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED PAID TO A FOREIGN GOVERNMENT FIRST TR ISE GLOBAL COPPER BEEN RECLASSIFIED A PORTION OF THIS INCOME HAS FIRST TR EXCH TRADED FO FIRST FIRST TRUST S&P REIT INDEX THIS AMOUNT INCLUDES TAXES A PORTION OF THIS INCOME HAS DIVERSIFIED EQUITY INCOME FD NDEX FD TR DOW JONES INTERNET INDX FD EATON VANCE SR FLOATING RT BEEN RECLASSIFIED EATON VANCE TAX-MANAGED Dividends. Total Ordinary Dividends
Short term
(widends* capital gain*
(Box 1a) 23.35 = 11.44 1.12 176 Qualified dividends (Box 1b) 11.35 ő 1.12 2 Total capital gain distributions (Box 2a) Unrecaptured Sec. 1250 gain (Box 2b) Section 1202 gain (Box 2c)

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Ref: 00004960 00043476

Account Number J HOWARD COBLE

	Details of 1099 Reposted Dividends and Distributions 2011; Boxes 1a - 2d - opetioned Total Ordinary Short form - Opetioned	utions 2011, Boxes 1a - 2d - a Total Ordinary Short term		2000 S			
Reference number	CUSIP Description	Dividends" capital gain* (Box 1a)	dividends (Box 1b)	Capital gain distributions (Box 2a)	Unifectabilized Sec. 1250 gain (Box 2b)	Section 1202 gain (Box 2c)	(28%) gain (80x 2d)
150000700	33734X 10 1000	9 13.26	\$ 13.26			,	
	FIRST TRUST CONSUMER						
	DISCRETIONARY ALPHADEX FO						
	A PORTION OF THIS INCOME HAS						
	BEEN RECLASSIFIED						
150000800	SIRST TRIEST CONSLINES STADIES	11.51	11.51				
	ALPHADEX FUND						
	A PORTION OF THIS INCOME HAS						
	BEEN RECLASSIFIED						
150000900	33734X127U00	5.73	5.73				
	FIRST TRUST ENERGY						
	A POSITION OF THIS INCOME HAS						
	BEEN RECLASSIFIED						
150001000	33734X143000	1.01	1.01				
	FIRST TRUST HEALTH CARE						
	ALPHADEX FUND						
	A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED						
150001100	39794X 168000	3.03	3.03				
	FIRST TRUST MATERIALS						
	A PORTION OF THIS INCOME HAS						
	BEEN RECLASSIFIED						
150001260	33734X 184000	9.58	9.58				
	A PHADEY FIND						
	A PORTION OF THIS INCOME HAS						
	BEEN RECLASSIFIED						
150001360	464287242000	2.56					
	INVESTMENT GRADE CORP FO						
150001400	464288513000	75.22					
	ISHARES IBOXX HIGH YLD CORP						
	BOND FO						

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Please note:

"Dividends" includes both Qualified and non-qualified dividends received.

"Total capital gain distributions" is a total of long term capital gain distributions and the amounts reported in Boxes 2b through 2d.

Ref: 00004960 00043477

J HOWARD COBLE Account Number

Reference number	CUSIP	Total Ordinary Dividends Short term Dividends* capital gain* (Box 1a)	Qualified dividends (Box 1b)	Total cain capital gain distributions (Box 2a)	Unrecaptured Sec. 1250 gain (Box 2b)	Section 1202 gain (Box 2c)	Collectibles (28%) gain (Box 2d)
150001500	738360207000 POWERSHARES DWA EMERGING MKTS THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	\$ 22.12	\$22.12				,
	A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED						
150001600	739360769000 POWERSHARES S&P BK LN PORT ETF	21.82					
150001700	799960843000	104.40					
	POWERSHARES CET INCOME COMPOSITE PORTFOLIO A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED						
150001800	78 1396005000 REVENUESHARES ADR FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	77.62	27.62	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	ı		
150001990	784644359000 SPDR BARCLAYS CAPITAL CONV SECURITIES ETF A PORTION OF THIS INCOME HAS REEN BEGT ASSELED.	18. XX	4.56				
150002000	784644516000 SPDR LEHMAN INTL TREASURY BOND FUND	9.47					
Totals		4 274 71	\$ 112.91				

Ref: 00004960 00043478

MorganStanley SmithBarney

2011 Year End Summary

Account Number J HOWARD COBLE

Reference number Totals The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received. 150001700 150000400 50001500 50000100 Devials of 1029 Reported Dividends and Distributions 2011, Boxes 3 - 9 738360207000 33733,1103000 27829N 302000 A PORTION OF THIS INCOME HAS COMPOSITE PORTFOLIO 739360843000 A PORTION OF THIS INCOME HAS POWERSHARES CEF INCOME A PORTION OF THIS INCOME HAS PAID TO A FOREIGN GOVERNMENT PAID TO A FOREIGN GOVERNMENT BEEN RECLASSIFIED A PORTION OF THIS INCOME HAS EATON VANCE TAX-MANAGED BEEN RECLASSIFIED THIS AMOUNT INCLUDES TAXES POWERSHARES DWA EMERGING MKTS BEEN RECLASSIFIED THIS AMOUNT INCLUDES FAXES FIRST TR ISE GLOBAL COPPER DIVERSIFIED EQUITY INCOME FD SEEN RECLASSIFIED Nondividend distributions (Bax 3) **# 81.24** 55.54 44 Federal income tax withheld (Box 4) Investment expenses (Box 5) Foreign tax paid (Box 6) 5.46 46 3.14 Cash aquidation distributions (Box 8) **イ双PSSCの** ZM $D \supset M \prec$ **40N**

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Page 19 of 31

MorganStanley SmithBarney

Ref: 00004960 00043479

2011 Year End Summary

J HOWARD COBLE

Account Number

on shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have excived in at soach in lieu less than \$20 is not included on Form 1909-8 (if applicable), it is included in this section, Please onto, this malerial is based for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Cost informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Cost Security Description Security Description Security Description Security Description Security Description Security Description Security Description Security Description Security Description Security Description Security Description BOCZ ALLEN HAMILTON HOLDING CP Annual Security		,				,	MorganStanley SmithBarney LLC		
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		1. In addition.	u may nave received this material is x advisor.	y accrued income you section. Please note, assistance of your ta	iolumn excludes ari f is included in this aration without the	Sale Proceeds" c (if applicable), il used for tax prep	sales of securines during the year. The 's sthan \$20 is not included on Form 1099-B national purposes only and should not be u	n snows your ash in lieu les ared for infon	ing prepa Sing prepa

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Ref: 00004950 00043480

2011 Year End Summary

J HOWARD COBLE
Account Number

Reference number	Reference Remoter Quantity Security Description	Security Description	Opening Trade Date	Closing Trade Dale	Saie Proceeds	Cost	(Loss)	Ç Mai
125000090	4	FIRST TR EXCH TRADED FD FIRST	07/08/11	10/23/11	\$ 132.93	t 176.75	(\$43.82)	
		MorganStantey SmithBarney LLC						
		acted as your agent						
125000100		FIRST TR EXCH TRADED FD FIRST	07,08/11	10,/21/11	34.45	44,19	(9.74)	
	ယ	TR AMEX BIOTECHNOLOGY INDX FD	07;11;11		103.34	131.26	(27.92)	
	N	MorganStanley SmithBarney LLC	07/12/11		83.90 90.50	98.50	[17.60)	
	N	acted as your agent	07/20/11		88.63	86.39	(16.50)	
125000110	£	FIRST TR EXCH TRADED FD FIRST	07/20/11	10/24/11	174.99	213.46	(38.47)	
	~	TR AMEX BIOTECHNOLOGY INDX FD	07/26/11		35,O3	42.25	(7.26)	
	- 4	MorganStanley SmithBarney LLC	08/02/11		36,00	38.88	(3.88)	
	.	acted as your agent	11/10/80		35.00	35,09	(60.)	
125000170	ω	FIRST TRUST BICK INDEX FUND	09/21/16	02/10/11	91.22	92.04	(.82)	
	ω	MorganStanley SmithBarney LLC	09/28/10		91.22	92.97	(1.75)	
	N	acted as your agent	11/12/10		60.82	66.20	(5.38)	
		in this transaction.						
25000180	N	FIRST TRUST BICK INDEX FUND	08/21/10	02/10/11	80.81	61.36	(56)	
	٨	MorganStarriey SmithBarney LLC	08/21/10		121.63	122.72	(1.09)	
		acted as your agent						
DSOM 190	n	FIRST TRIICT BICK INDEX FIND	OB 12 COR	02/10/11	15300	OV 551	(127)	
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction.						
129000200	~ +	FIRST TRUST BICK INDEX FUND	11/12/10	02/11/11	30,45	33.40	(2.65)	
	500	MorganStanley SmithBarney LLC	12/15/10		243.59	254.50	(10.91)	
		acted as your agent						
125000210	3	FIRST TR ISE GLOBAL COPPER	03/23/11	06/30/11	126.20	26.76	(.56)	**************************************
	N	NDEX FD	03/23/11		2 4,24	84.51	(.37)	
	ω	MorganStanley SmithBarney LLC	03/29/11		126.20	128.67	(2.47)	
		acted as your agent						
125000220	N	FIRST TR ISE GLOBAL COPPER	03/29/11	07/15/11	94 ,45	85.78	(1.33)	
		INDEX FD	04/12/11		42.23	44.50	(2.27)	
		MorganStanley SmithBarney LLC						
		arted at unit anant						

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2011 Year End Summary

J HOWARD COBLE
Account Number

07/25/11 07/25/11 08/02/11 08/02/11 08/02/11 08/02/11 08/02/11	Reference	Quantity	Security Description	Opening Trade Date	Closing Trade Dale	Sale Proceeds	Cost	(Loss)	Gajn
MorsganStanley SmishBarney LLC acted as your agent 9 FIRST TR ISE GLOBAL COPPER 1 INDEX FD MorganStanley SmishBarney LLC acted as your agent 1 FIRST TR ISE GLOBAL COPPER 10 MorganStanley SmishBarney LLC acted as your agent 7 FIRST TRUST S&P REIT INDEX FD MorganStanley SmishBarney LLC acted as your agent 15 FIRST TRUST S&P REIT INDEX FD MorganStanley SmishBarney LLC acted as your agent 1 FIRST TRUST S&P REIT INDEX FD MorganStanley SmishBarney LLC acted as your agent 1 FIRST TRUST S&P REIT INDEX FD MorganStanley SmishBarney LLC acted as your agent 1 FIRST TR ISE REVERE NAT GAS MORGANStanley SmishBarney LLC acted as your agent 1 FIRST TR ISE REVERE NAT GAS MORGANStanley SmishBarney LLC acted as your agent 1 FIRST TR ISE REVERE NAT GAS MORGANStanley SmishBarney LLC acted as your agent 1 MorganStanley SmishBarney LLC acted as your agent 1 FIRST TR ISE REVERE NAT GAS MORGANStanley SmishBarney LLC acted as your agent 1 MorganStanley SmishBarney LLC acted as your agent 1 MorganStanley SmishBarney LLC acted as your agent 1 MorganStanley SmishBarney LLC acted as your agent 1 MorganStanley SmishBarney LLC acted as your agent 1 MorganStanley SmishBarney LLC acted as your agent 1 MorganStanley SmishBarney LLC acted as your agent	125000230	7	FIRST TR ISE GLOBAL COPPER	04/12/11	07/20/11	\$ 300.84	\$313 <u>.</u> 49	(\$ 10.65)	
### ### ### ##########################			MorganStanley SmithBarney LLC						
### ### ### ### ### ##################			acted as your agent						
##DEX FD Morg en Stanley Smith Barney LLC acted as your agent 1 FRST TR ISE GLOBAL COPPER 6 Morg en Stanley SmithBarney LLC acted as your agent 7 FRST TRUST S&P REIT INDEX 6 Morg en Stanley SmithBarney LLC acted as your agent 15 FIRST TRUST S&P REIT INDEX PD Morg en Stanley SmithBarney LLC acted as your agent 1 FRST TRUST S&P REIT INDEX Acted as your agent 1 FIRST TRUST S&P REIT INDEX Acted as your agent 1 FIRST TRUST S&P REIT INDEX Acted as your agent 1 FIRST TRUST S&P REIT INDEX Acted as your agent 1 FIRST TRUST S&P REIT INDEX Acted as your agent 1 FIRST TRUST S&P REIT INDEX Acted as your agent 1 FIRST TRUST S&P REIT INDEX Acted as your agent 1 FIRST TRUST S&P REIT INDEX Acted as your agent 1 FIRST TRUST S&P REIT INDEX Acted as your agent 1 FIRST TRUST S&P REIT INDEX Acted as your agent 1 FIRST TRUST S&P REIT INDEX Acted as your agent 2 FIRST TRUST S&P REIT INDEX Acted as your agent 3 FIRST TRUST S&P REVERE NAT GAS ACTED AS YOUR AGENT ACTED ACT	125000240	9	FIRST TR ISE GLOBAL COPPER	04/12/11	07/25/11	391,49	400.48	(8.90)	
### MorganStanlay SmithBarney LLC aced as your agent ####################################			MDEXFD						
acted as your agent 1 FIRST TRISE GLOBAL COPPER 2 INDEX FD 6 MorganStanley SmithBarney LLC 2 INDEX FD 6 MorganStanley SmithBarney LLC 2 Acted as your agent 7 FIRST TRUST S&P REIT INDEX FD MorganStanley SmithBarney LLC 2 Acted as your agent 15 FIRST TRUST S&P REIT INDEX MorganStanley SmithBarney LLC 2 Acted as your agent 1 FIRST TRUST S&P REIT INDEX MorganStanley SmithBarney LLC 2 Acted as your agent 1 FIRST TRUST S&P REIT INDEX MorganStanley SmithBarney LLC 2 Acted as your agent 5 FIRST TRUST S&P REIT INDEX MorganStanley SmithBarney LLC 2 Acted as your agent 5 FIRST TRUST S&P REIT INDEX 6 FIRST TRUST S&P REIT INDEX BIDEX FUND MorganStanley SmithBarney LLC 2 Acted as your agent 5 FIRST TRUST S&P REIT INDEX BIDEX FUND MorganStanley SmithBarney LLC 2 Acted as your agent 3 FIRST TRUST S&P REIT INDEX BIDEX FUND MorganStanley SmithBarney LLC 2 Acted as your agent 4 FIRST TRUST S&P REIT INDEX BIDEX FUND MorganStanley SmithBarney LLC 2 Acted as your agent 4 FIRST TRUST S&P REIT INDEX BIDEX FUND MorganStanley SmithBarney LLC 2 Acted as your agent 4 FIRST TRUST S&P REIT INDEX BIDEX FUND MorganStanley SmithBarney LLC 2 Acted as your agent 3 FIRST TRUST S&P REIT INDEX BIDEX FUND MorganStanley SmithBarney LLC 2 Acted as your agent 3 FIRST TRUST S&P REIT INDEX BIDEX FUND MorganStanley SmithBarney LLC 2 Acted as your agent			MorgenStanley SmithBarney LLC						
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2 INDEX FD 6 MorganStanley SmihBarney LLC 9 Aced as your agent 7 FIRST TRUST S&P REIT INDEX 15 FIRST TRUST S&P REIT INDEX 15 FIRST TRUST S&P REIT INDEX 15 FIRST TRUST S&P REIT INDEX 16 Aced as your agent 1 FIRST TRUST S&P REIT INDEX 1 FIRST TRUST S&P REIT INDEX 1 FIRST TRUST S&P REIT INDEX 2 Aced as your agent 1 FIRST TRUST S&P REIT INDEX 3 FIRST TRUST S&P REIT INDEX 4 Aced as your agent 5 FIRST TRUST S&P REIT INDEX 5 Aced as your agent 6 FIRST TRUST S&P REIT INDEX 6 FIRST TRUST S&P REIT INDEX 6 FIRST TRUST S&P REIT INDEX 6 FIRST TRUST S&P REIT INDEX 6 FIRST TRUST S&P REIT INDEX 6 FIRST TRUST S&P REIT INDEX 6 FIRST TRUST S&P REIT INDEX 6 FIRST TRUST S&P REVERE NAT GAS 6 FIRST TRUST S&P REVERE NAT GAS 6 FIRST TRUST S&P REVERE NAT GAS 6 FIRST TRUST S&P REVERE NAT GAS 6 FIRST TRUST S&P REVERE NAT GAS 6 FIRST TRUST S&P REVERE NAT GAS 6 FIRST TRUST S&P REVERE NAT GAS 6 FIRST TRUST S&P REVERE NAT GAS 6 FIRST TRUST S&P REVERE NAT GAS 6 FIRST TRUST S&P REVERE NAT GAS 6 FIRST TRUST S&P REVERE NAT GAS 6 FIRST TRUST S&P REVERE NAT GAS 6 FIRST TRUST S&P REVERE NAT GAS 6 FIRST TRUST S&P REVERE NAT GAS 6 FIRST TRUST S&P REVERE NAT GAS 6 FIRST TRUST S&P REVERE NAT GAS 6 FIRST TRUST S&P REVERE NAT GAS 6 FIRST TRUST S&P REVERE NAT GAS 7 CO6/18/10 06/18/10 05/30/11 7 CO6/18/10 06/18/10 05/30/11 7 CO6/18/10 06/18/10 05/30/11 7 CO6/18/10 06/18/10 06/18/10 05/30/11 7 CO6/18/10 0	125000250		FIRST TRISE GLOBAL COPPER	05/11/11	08/02/11	41,17	41.91	1.741	
6 MorganStanley SmithBarney LLC 06/15/11 2 2 cade as your agent 7 FIRST TRUST S&P REIT INDEX 06/16/10 03/23/11 5 5 FIRST TRUST S&P REIT INDEX 06/16/10 03/23/11 5 5 FIRST TRUST S&P REIT INDEX 06/16/10 03/23/11 5 6 FIRST TRUST S&P REIT INDEX 06/16/10 05/17/11 5 7 MorganStanley SmithBarney LLC added as your agent 10 MorganStanley SmithBarney LLC added as your agent 10 MorganStanley SmithBarney LLC added as your agent 11 MDEX FUND MorganStanley SmithBarney LLC added as your agent 11 MDEX FUND MorganStanley SmithBarney LLC added as your agent 11 MDEX FUND MorganStanley SmithBarney LLC added as your agent 11 MDEX FUND MorganStanley SmithBarney LLC added as your agent 11 MDEX FUND MorganStanley SmithBarney LLC added as your agent 11 MDEX FUND MorganStanley SmithBarney LLC added as your agent 11 MDEX FUND MorganStanley SmithBarney LLC added as your agent 11 MDEX FUND MorganStanley SmithBarney LLC added as your agent 11 MDEX FUND MorganStanley SmithBarney LLC added as your agent 11 MDEX FUND MorganStanley SmithBarney LLC added as your agent 11 MDEX FUND MorganStanley SmithBarney LLC added as your agent 11 MDEX FUND MorganStanley SmithBarney LLC added as your agent 11 MDEX FUND MorganStanley SmithBarney LLC added as your agent 11 MDEX FUND MorganStanley SmithBarney LLC added as your agent 11 MDEX FUND MorganStanley SmithBarney LLC added as your agent 11 MDEX FUND MorganStanley SmithBarney LLC Added MorganStanley SmithBarney LLC Added MorganStanley SmithBarney LLC Added MorganStanley SmithBarney LLC Added MorganStanley SmithBarney LLC Added MorganStanley SmithBarney LLC Added MorganStanley SmithBarney LLC Added MorganStanley SmithBarney LLC Added MorganStanley SmithBarney LLC Added MorganStanley SmithBarney LLC Added MorganStanley SmithBarney LLC Added MorganStanley SmithBarney LLC Added MorganStanley SmithBarney LLC Added MorganStanley SmithBarney LLC Added MorganStanley SmithBarney LLC Added MorganStanley SmithBarney LLC Added MorganStanley SmithBarney LLC Added MorganStanley SmithBarney LLC Added MorganStanley SmithBar		N	INDEX FD	06,08/11		#£28	79.84		2.50
aced as your agent 7 FRST TRUST S&P REIT INDEX FD MorganStanley SmithBarney LLC aced as your agent 15 FIRST TRUST S&P REIT INDEX DAMORGANStanley SmithBarney LLC aced as your agent 1 FRST TRUST S&P REIT INDEX DAMORGANStanley SmithBarney LLC aced as your agent 1 FRST TRUST S&P REIT INDEX DAMORGANSTANLEY SMITHBARNEY LLC aced as your agent 1 FRST TRUST S&P REIT INDEX DAMORGANSTANLEY SmithBarney LLC acted as your agent 1 FRST TR ISE REVERE NAT GAS BANCGANSTANLEY SmithBarney LLC acted as your agent 1 BADEX FUND MorganStanley SmithBarney LLC acted as your agent 1 BADEX FUND MorganStanley SmithBarney LLC acted as your agent 1 BADEX FUND MorganStanley SmithBarney LLC acted as your agent 1 BADEX FUND MorganStanley SmithBarney LLC acted as your agent 1 DAMORGANSTANLEY SMITHBARNEY LLC acted as your agent		ф	MorganStanley SmithBarney LLC	06/15/11		247.03	231.42		15.61
7 FIRST TRUST S&P REIT INDEX FD MorganStanley SmithBarney LLC acted as your agent 15 FIRST TRUST S&P REIT INDEX PD MorganStanley SmithBarney LLC acted as your agent 1 FIRST TRUST S&P REIT INDEX PD MorganStanley SmithBarney LLC acted as your agent 1 FIRST TRUST S&P REIT INDEX PD MorganStanley SmithBarney LLC acted as your agent 1 FIRST TRUST S&P REIT INDEX PD MorganStanley SmithBarney LLC acted as your agent 3 FIRST TR ISE REVERE NAT GAS INDEX FUND MorganStanley SmithBarney LLC acted as your agent 6 FIRST TR ISE REVERE NAT GAS INDEX FUND MorganStanley SmithBarney LLC acted as your agent 1 10,05/11 INDEX FUND MorganStanley SmithBarney LLC acted as your agent 1 10,05/11			acted as your agent						
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acted as your agent FD MorganStanley SmithBarney LLC acted as your agent t FRST TRUST S&P REIT INDEX PD MorganStanley SmithBarney LLC acted as your agent f FD MorganStanley SmithBarney LLC acted as your agent f FRST TRUST S&P REIT INDEX f PD MorganStanley SmithBarney LLC acted as your agent f FRST TRUST S&P REIT INDEX f PD MorganStanley SmithBarney LLC acted as your agent 3 FRST TR ISE REVERE NAT GAS BADEX FUND MorganStanley SmithBarney LLC acted as your agent 6 FRST TR ISE REVERE NAT GAS BADEX FUND MorganStanley SmithBarney LLC acted as your agent 6 FRST TR ISE REVERE NAT GAS BADEX FUND MorganStanley SmithBarney LLC acted as your agent 6 Acted as your agent			MorganStanley SmithBarney LLC						
### FIRST TRUST S&P REIT INDEX #### MorganStanley SmithBarney LLC acted as your agent ###################################			acted as your agent						
MorganStanley SmithBarney LLC acted as your agent # FRST TRUST S&P REIT (NDEX MorganStanley SmithBarney LLC acted as your agent # FRST TRUST S&P REIT (NDEX MorganStanley SmithBarney LLC acted as your agent ## FRST TRUST S&P REIT (NDEX ## FRST TRUST S&P REIT (NDEX ## FRST TRUST S&P REIT (NDEX ## MorganStanley SmithBarney LLC acted as your agent ## MorganStanley SmithBarney LLC acted as your agent ## FRST TR ISE REVERE NAT GAS ## MorganStanley SmithBarney LLC acted as your agent ## MorganStanley SmithBarney LLC acted as your agent ## MorganStanley SmithBarney LLC acted as your agent	125000300	5	FIRST TRUST S&P REIT INDEX	06/16/10	09/31/11	232.57	203.43		29,14
MorganStanley SmithBarney LLC acted as your agent f FRST TRUST S&P REIT INDEX f PD MorganStanley SmithBarney LLC acted as your agent f FD MorganStanley SmithBarney LLC acted as your agent f FRST TRUST S&P REIT INDEX f PD MorganStanley SmithBarney LLC acted as your agent f FRST TR ISE REVERE NAT GAS iNDEX FUND MorganStanley SmithBarney LLC acted as your agent f FRST TR ISE REVERE NAT GAS iNDEX FUND MorganStanley SmithBarney LLC acted as your agent f FRST TR ISE REVERE NAT GAS iNDEX FUND MorganStanley SmithBarney LLC acted as your agent			23						
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t FRST TRUST S&P REIT INDEX FD MorganStanley SmithBarney LLC acted as your agent t FIRST TRUST S&P REIT INDEX 6 PREST TRUST S&P REIT INDEX 3 FIRST TR ISE REVERE NAT GAS INDEX FUND MorganStanley SmithBarney LLC acted as your agent 6 FIRST TR ISE REVERE NAT GAS INDEX FUND MorganStanley SmithBarney LLC acted as your agent 10 PREST TR ISE REVERE NAT GAS INDEX FUND MorganStanley SmithBarney LLC acted as your agent 11 De/35/11 12 De/18/11 10/05/11 13 EREVERE NAT GAS 14 De/18/11 10/05/11 15 EREVERE NAT GAS 16 FIRST TR ISE REVERE NAT GAS 17 De/18/11 10/05/11 18 Deix FUND MorganStanley SmithBarney LLC acted as your agent			acted as your agent			-			
MorganStanley SmithBarney LLC acted as your agent FIRST TRUST S&P REIT INDEX FD MorganStanley SmithBarney LLC acted as your agent FRST TR ISE REVERE NAT GAS MorganStanley SmithBarney LLC acted as your agent FIRST TR ISE REVERE NAT GAS INDEX FUND MorganStanley SmithBarney LLC acted as your agent G FIRST TR ISE REVERE NAT GAS INDEX FUND MorganStanley SmithBarney LLC acted as your agent	125000310	-	FIRST TRUST S&P REIT INDEX	06/16/19	05/11/11	16.24	13,56		2.68
MorganStanley SmithBarney LLC acrael as your agent f FIRST TRUST S&P REIT INDEX f PD MorganStanley SmithBarney LLC acrael as your agent 3 FIRST TR ISE REVERE NAT GAS BYDEX FUND MorganStanley SmithBarney LLC acrael as your agent 6 FIRST TR ISE REVERE NAT GAS INDEX FUND MorganStanley SmithBarney LLC acrael as your agent 6 FIRST TR ISE REVERE NAT GAS INDEX FUND MorganStanley SmithBarney LLC acrael as your agent			FD						
ecred as your egent FIRST TRUST S&P REIT INDEX RAD MorganStanley SmithBarney LLC acted as your agent 3 FIRST TR ISE REVERE NAT GAS WhorganStanley SmithBarney LLC acted as your agent 6 FIRST TR ISE REVERE NAT GAS MorganStanley SmithBarney LLC acted as your agent MorganStanley SmithBarney LLC acted as your agent			MorganStanley SmithBarney LLC						
# FIRST TRUST S&P REIT INDEX 06/16/10 05/17/11 #D MorganStanley SmithBarney LLC acted as your agent ### April 109/30/11 ### MorganStanley SmithBarney LLC #### April 10/05/11 ### April 10/05/11 ### April 10/05/11 ### April 10/05/11 #### April 10/05/11 #### April 10/05/11 #### April 10/05/11 ###################################			acted as your agent						
Amorgan Stanley SmithBarney LLC acted as your agent 3. FIRST TR ISE REVERE NAT GAS BINDEX FUND Morgan Stanley SmithBarney LLC acted as your agent 6. FIRST TR ISE REVERE NAT GAS INDEX FUND Morgan Stanley SmithBarney LLC acted as your agent	125000320	**	FIRST TRUST S&P REIT INDEX	06/18/10	05/17/15	36.02	13.56		2,46
MorganStenley SmithBarney LLC acted as your agent 3 FRST TR ISE REVERE NAT GAS 08/18/11 09/30/11 INDEX FUND MorganStenley SmithBarney LLC acted as your agent INDEX FUND MorganStenley SmithBarney LLC acted as your agent acted as your agent			FD						
acted as your agent 3 FIRST TR ISE REVERE NAT GAS 08/18/11 09/30/11 INDEX FUND MorganStanley SmithBarney LLC acted as your agent INDEX FUND MorganStanley SmithBarney LLC acted as your agent			MorganStanley SmithBarney LLC						
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#MorganStanley SmithBarney LLC #cted as your agent 6 FIRST TR ISE REVERE NAT GAS 06/18/11 10/05/11 #MorganStanley SmithBarney LLC #acted as your agent	125000330	ψ	FIRST TRISE REVERE NATIGAS	08/18/11	09/30/11	47.92	56,08	(8.16)	
MorganStanley SmithBarney LLC acted as your agent 6 FIRST TR ISE REVERE NAT GAS 06/18/11 10/05/11 INDEX FUND MorganStanley SmithBarney LLC acted as your agent			SUDEX FUND						
acted as your agent 6 FIRST TR ISE REVERE NAT GAS 06/18/11 10/05/11 INDEX FUND MorganStanley SmithBarney LLC acted as your agent			MorganStanley SmithBarney LLC						
6 FIRST TR ISE REVERE NAT GAS 06/18/11 10/05/11 INDEX FUND MorganStanley SmithBarney LLC acted as your agent			acted as your agent						
INDEX FUND MorganStanley SmithBarney LLC acted as your agent	125000340	¢,	FIRST TRISE REVERENATIONS	08/18/11	10,05/11	95.09	112.18	(17.07)	
MorganStanley SmithBarney LLC acted as your agent			NOEXFUND						
acted as your agent			MorganStanley SmithBarney LLC						
			acted as your agent						

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MorganStanley SmithBarney

2011 Year End Summary

J HOWARD COBLE
Account Number

125000350	3 2	FIRST TR ISE REVERE NAT GAS INDEX FUND MorganStanley SmithBarney LLC acted as your agent	08/18/11 08/30/11	10,07/11	\$ 32.32 48.47	\$ 37.38 57.68	(\$ 5.06) (9.21)	
	ω	INDEX FUND MorganStanley SmithBarney LLC acted as your apent	08/30/11		48.47	57.68	(9.21)	
		MorganStanley SmithBarney LLC acted as your agent						
		acted as your agent						
29000000	ē	SMORY FAIND	Cotton to	10/14/11	64VE 45	632.26	(21.17)	
		Morgan Stapley Smith Rarney 115						
		acted as your agent						
25000370	5	FIRST TRUSE REVERE NATIGAS	11,00280	10/12/11	37200	35.05	(3C OC)	ì
	,	INDEX FUND	į	1				
		MorganStanley SmithBarney LLC						
		acted as your agent		<u> </u>				
25000400	ů1	FIRST TRUST ENERGY	03/23/11	06/30/11	116,47	119.36	(2.89)	İ
	ω	ALPHADEX FUND	03/23/11		88	71.62	(1.74)	
	A	MorganStanley SmithBarney LLC	03/30/11		85.18 18	98.71	(5.53)	
		acted as your agent						
250004 10	t 1	FIRST TRUST ENERGY	11/06/60	09/28/11	99.09	271.45	(72.36)	
		ALPHADEX FUND						
		MorganStanley SmithBarney LLC						
		acted as your agent						
125000420	Ġ	FIRST TRUST ENERGY	11/06/60	10/05/11	100.51	148.07	(47.56)	
	9	ALPHADEX FUND	11/16/60		150.76	224.18	(73.42)	
	¢n	MorganStanley SmithBarney LLC	04/12/11		100.51	140.45	(39.94)	
		acted as your agent						
25000430	7	FIRST TRUST ENERGY	04/12/11	10/07/11	120,42	163.85	[43,43)	
	7	ALPHADEX FUND	05/11/11		120.42	161.BB	(41.46)	
		MorganStanley SmithBarney LLC						
DALCOCKE	73 	FIRST FRIET FARRY	97111	10/18/11	979 97	38 5W	(R.G. 0.1)	
		ALPHADEX FUND					į	
		MorganStanley SmithBarney LLC						
		acted as your agent						
125000450	荔	FIRST TRUST HEALTH CARE	12,06/10	05/11/11	547.75	448.D8		99.67
	8	ALPHADEX FUND	12/15/10		243.45	206.35		38.10
	6	MorganStanley SmithBarney LLC	01/28/11		486.89	419.92		66.97
		acted as your agent						

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MorganStanley SmithBarney 2011 Year End Summary

Account Number J HOWARD COBLE

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ISHARES IBOXX & INVESTOP INVESTMENT GRADE CORP FD FD MorganStanley SmithBarney LLC acted as your agent	MorganStanley SmithBarney LLC acted as your agent in this transaction.	ISHARES SILVER TRUST	MorganStanley SmithBarney LLC acted as your agent in this transaction.	ISHARES SILVER TRUST	in this transaction.	MorganStanley SmithBarney LLC	ISHARES SILVER TRUST	in this transaction.	WorganStanley SmithBarney LLC acted as your agent	ISHARES SILVER TRUST	in this transaction.	acted as your agent	MorganStanley SmithBarney LLC	ISHARES SILVER TRUST	acted as your agent	MorganStanley SmithBarney LLC	ALPHADEX FUND	FIRST TRUST TECHNOLOGY	acted as your agent	MorganStanley SmithBarney LLC	ALPHADEX FUND	FIRST TRUST TECHNOLOGY	acted as your agent	MorganStanley SmithBarney LLC	ALPHADEX FUND	FIRST TRUST HEALTH CARE	Security Description	Nort Term Gein (Loss) 2011 - coorinner
03/04/10	05/17/11	05/05/11	06/03/18	05,02/11	05/05/11	05/05/11	05/03/11			05/02/11				05/02/11		11/10/80	07/08/11	06/15/11			06/15/11	11/11/180				01/28/11	Opening Trade Date	
02/11/11		08/02/11		07/26/11			07/26/11			07/22/11				07/15/11				10,07/11				10,05/11				05/17/11	Closing Trade Date	
92.50	318.70 356.08	39.57	118.82	79.22	39,80	118.83	158.44			78.24				377.933		16.68 10.00	115.79	38.60			18.57	93.39				# 30.16	Sale Proceeds	
105.73	105.44 292.01	35.20	121.53	87.71	35.20	75.80 80.80	162.17			87.71				43.86		21.71	F3.92	45.23			22.51	104.37				\$ 26.25	Cost	
			(2.81)	(8.49)			(3.73)			(9.47)				(5.93)		(2,41)	(28.13)	(6.63)			(3.94)	(80.98)					(Loss)	
1.95	13.26 84.07	4.37		:	. A.	13.23																	***************************************			# 3.91	Gain	

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Reference number	Quantity	Reference Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	Cost (Loss)	Ç M
125000760	ಪ	POWERSHARES S&P BK LN PORT ETF MorganStanley SmithBarney LLC acted as your agent	08/04/11	11,09/11	\$ 313.03	\$ 316.Z3	(#3.20)	
125000790	ຜາ	POWERSHARES CEF INCOME COMPOSITE PORTFOLIO MorganStanlay SmithBarnay LLC acted as your agent	11/16/10	07/22/11	130.09	124.85		5.24
125000800	1 22	POWERSHARES FISE RAFI DEVELOPED MK IS MorganStanley SmithBarney LLC acted as your agent	08/03/16 08/11/10	02/11/11	911.36 41.43	812.16 35.06		99.20 6.38
125000810	25	REVENUESHARES ADR FUND MorganSharley SmithBarney LLC acted as your agent in this transaction.	06/16/10 06/03/10	06/17/11	585.119 78.13	497.83 71.35		88.16 6.78
125000820	5	REVENUESHARES ADR FUND MorganStardey SmithBarney LLC acted as your agent in this transaction.	12/15/10 02/11/11	08,04/11	34.97 524.54	37.54 807.20	(2.57) (82.86)	
125000830	មា	REVENUESHARES ADR FÜND MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/11/11	08/05/11	172.99	202,40	(29.41)	
125000840	*	REVENUESHARES ADR FUND MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/14/11	08/11/11	134.51	161.92	(27.41)	
125000850	6	REVENUESHARES ADR FUND MorganStanley SmithBerney LLC acted as your agent in this transaction.	02/11/11	08/15/11	207.29	242.88	(35.59)	
125000860	4	REVENUESHARES ADR FUND MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/11/13	08/23/11	133.23	161.92	(28.59)	

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Account Number

SPDR BARCLAYS CAPITAL CONV SECURITIES ETF SPOR BARCLAYS CAPITAL CONV acted as your agent SPDR BARCLAYS CAPITAL CONV SPDR BARCLAYS CAPITAL CONV SECURITIES ETF SECURITIES ETF SECURITIES ETF MorganStanley SmithBarney LLC SPDR BARCLAYS CAPITAL CONV REVENUESHARES ADR FUND MorganStanley SmithBarney LLC MorganStanley SmithBarney LLC acted as your agent MorganStanley SmithBarney LLC acted as your agent MorganStanley SmithBarney LLC SECURITIES ETF in this transaction. acted as your agent MorganStapley SmithBarney LLC Security Description Opening Trade Date 09/21/10 09/23/10 02/14/11 02/11/11 05/11/11 08/02/11 11/91/20 02/15/11 02/15/11 02/14/11 Closing Trade Date 08/23/11 08/23/11 11/11/80 CB/05/11 08/04/11 08/23/11 14,610.24 Sale Proceeds \$ 33, 10 38, 10 33,09 231.88 115.94 146.19 182.74 74.77 15,037.05 # 40,48 40,18 36,82 170.29 212.86 194.33 232.68 127.64 127.63 35.15 Cost (\$ 1,219.63) (# 7.38) (7.09) (3.73) [24,10) (30,12) (10.38) (1.09) (20) (11,70) 1 792.82

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Account Number J HOWARD COBLE

This section she although cash being prepared	lows your : in lieu less I for inform	This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have recallhough cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.	Sale Proceeds" c 3 (if applicable), il used for tax prep	olumn excludes an I is included in this a aretion without the	y accrued income you section. Please note, assistance of your ta	ne you may have received. In addition, note, this material is our tax advisor.	In addition,	
Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000120	.A N2	TR DOW JONES INTERNET INDX FD	02/26/09	03/16/11	\$ 68,40 136,80	\$ 28.15 56.76	·	\$ 40.25 80.04
		MorganStanley SmithBarney LLC acted as your agent						
125000130	ы	TR DOW JONES INTERNET INDX FD	02/26/09	03/16/11	171.00	70.37		100.63
		acted as your agent						
125000140	4	FIRST TR EXCH TRADED FO FIRST TR DOW JONES INTERNET INDX FD MorganStanley SmithBarney LEC acted as your agent	03/13/09	09/22/11	138.55	56.76		81.79
125000150	٠- ۵	FIRST IR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD MorganStanley SmithBarney LLC acted as your agent	03/13/08 03/16/08	07/32/1 t	36.91 147.63	14. 19 55.82		22.72 91.81
125000180	90	FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD MorganStanley SmithBarney LLC acred as next arent	03/16/09	07/16/11	329.21	125.60		203.61
125000260	Z	FIRST TRUST S&P REIT INDEX	01/36/10	03/16/11	208.60	183.42		45.18
		MorganStasiey SmithBarney LLC acted as your agent						
125000270	ជ	FIRST TRUST 5&P REIT INDEX FD MorganStanley SmithBarney LLC acted as your agent	01/36/10	03/16/11	193.70	151.74		41.96
125000280	궁	FIRST TRUST S&P REIT INDEX FD MorganStanley SmithBarney LLC	01/06/10	03/22/11	151.71	116.73		34.98

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J HOWARD COBLE
Account Number

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Reference	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	{Loss)	G _{al}
125000290	z	FIRST TRUST S&P REIT INDEX	01/06/10	03/23/11	\$ 179.51	¢ 140.07		\$ 39,44
		MorganStanley SmithBarney LLC acted as your agent						
125000390	9	FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FD MorganStanlay SmithBarnay LLC acted as your agent	04/22/09	03/23/11	386.90	96, 10		84,80
125000390	9	FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FO NorganStanlay SmithBarnay LLC acted as your agent	04/22/09	03/25/11	187.91	96.16		1816
125000470	5 7	FIRST TRUST MATERIALS ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	12/24/08	05/11/11	128.58	56.35		72.23
125000480	59	FIRST TRUST MATERIALS AL PHADEX FUND MorganStanley SmittBarney LLC acted as your agent	12/24/08	05/17/18	472.28	214.14		258.14
125000490	8	FIRST TRUST MATERIALS ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	12/24/08	06/08/11	19 ¢.58	95.16		104,52
125000500	~ 9	FIRST TRUST MATERIALS ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	12/24/08 01/02/09	06/15/11	215.33 23.53	12.39		113.89 11.54
1250005 10	N	FIRST TRUST MATERIALS ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	01/02/09	09/13/11	41.47	24.78		16.69
125000520	5	FIRST TRUST MATERIALS ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent	01/02/09	11,03/1t	127.43	74.34		53.09

J HOWARD COBLE
Account Number

Page 29 of 31

Trade Date Trade Date Proceeds (1.085)	Rafaranca		Seferance	Opening	Official	0.000			
### ### ##############################	number	Quantity	Security Description	Trade Date	Trade Date	Proceeds	Cost	(Loss)	Gain
MarpasSariery SmithBarney LLC MarpasSariery SmithBarney LL	125000530	9	FIRST TRUST TECHNOLOGY	07/30/09	05/11/11	\$ 227.0t	ᆲᅵ		\$ 94.88
MorganStanier SmitBarney LLC			ALPHADEX FUND						
Banda as your agent 200,000 14 FIRST TRUST TECHNOLOGY 09,15/09 09,07/11 209.30 205.53			MorganStanley SmithBarney LLC						
14 FIRST TRUST TECHNOLOGY 03/00/9 09/07/11 299.30 205.53 ALPHADEX FUND 08/15/08 09/07/11 115.42 96.54 ALPHADEX FUND 08/15/08 09/07/11 192.30 150.90 ALPHADEX FUND 08/15/08 09/07/11 208.57 178.99 ALPHADEX FUND 08/15/08 09/07/11 218.59 176.99 ALPHADEX FUND 08/15/08 09/07/11 18.44 18.09 ALPHADEX FUND 08/15/09 09/07/11 18.49 176.99 ALPHADEX FUND 08/07/10 09/07/11 18.49 176.99 ALPHADEX FUND 08/07/10 09/07/11 18.49 176.99 ALPHADEX FUND 08/07/10 09/07/11 18.49 18.49 ALPHADEX FUND 08/07/10 09/07/11 18.49			acted as your agent						
6 ALPHADEX FUND MorganStanley SmithBarney LLC addad he your agent 11 FIRST TRUST TECHNOLOGY ALPHADEX FUND MorganStanley SmithBarney LLC added se your agent 12 FIRST TRUST TECHNOLOGY ALPHADEX FUND MorganStanley SmithBarney LLC added se your agent 13 FIRST TRUST TECHNOLOGY ALPHADEX FUND MorganStanley SmithBarney LLC added se your agent 14 FIRST TRUST TECHNOLOGY ALPHADEX FUND MorganStanley SmithBarney LLC added se your agent 15 FIRST TRUST TECHNOLOGY ALPHADEX FUND MorganStanley SmithBarney LLC added se your agent 16 FIRST TRUST TECHNOLOGY ALPHADEX FUND MorganStanley SmithBarney LLC added se your agent 17 FIRST TRUST TECHNOLOGY ALPHADEX FUND MorganStanley SmithBarney LLC added se your agent 18 FIRST TRUST TECHNOLOGY ALPHADEX FUND MorganStanley SmithBarney LLC added se your agent 19 FIRST TRUST TECHNOLOGY ALPHADEX FUND MorganStanley SmithBarney LLC added se your agent 10 FIRST TRUST TECHNOLOGY ALPHADEX FUND MorganStanley SmithBarney LLC added se your agent 11 FIRST TRUST TECHNOLOGY ALPHADEX FUND MorganStanley SmithBarney LLC added se your agent 11 FIRST TRUST TECHNOLOGY ALPHADEX FUND MorganStanley SmithBarney LLC added se your agent 12 MorganStanley SmithBarney LLC Alphade SmithBarney LLC Alphade SmithBarney LLC OB/11/09 OB/11/09 OB/11/10 OB/11/	125000540	74	FIRST TRUST TECHNOLOGY	07/30/09	09,07/11	269.30	205.53		63.77
### Acad a syour agent ### Acad As your agent ### Applace your agent		φı	ALPHADEX FUND	08/16/09		115.A2	96.54		18.88
Badd as your appent Badd as your appent			MorganStanley SmithBarney LLC						
### PRIST TRUST TECHNOLOGY			acted as your agent						
ALPHADEX FUND Morgan/Stanley SmithBarney LLC acted as your agent 11 FIRST TRUST TECHNOLOGY 09/16/09 09/27/11 208.57 176.99 ALPHADEX FUND Morgan/Stanley SmithBarney LLC acted as your agent 11 FIRST TRUST TECHNOLOGY 09/16/09 09/27/11 218.59 176.99 ALPHADEX FUND Morgan/Stanley SmithBarney LLC acted as your agent 11 FIRST TRUST TECHNOLOGY 09/16/09 09/27/11 218.59 176.99 ALPHADEX FUND Morgan/Stanley SmithBarney LLC acted as your agent 11 FIRST TRUST TECHNOLOGY 09/16/09 09/27/11 18.44 18.09 ALPHADEX FUND Morgan/Stanley SmithBarney LLC acted as your agent 11 FIRST TRUST TECHNOLOGY 09/16/09 09/27/11 18.44 18.09 ALPHADEX FUND Morgan/Stanley SmithBarney LLC acted as your agent 11 FIRST TRUST TECHNOLOGY 07/26/10 10/05/11 130.75 18.69 ALPHADEX FUND Morgan/Stanley SmithBarney LLC acted as your agent 11 SHARES 18/00X # INVESTIOP 12 INVESTINENT GRADE CORP FOP 06/18/09 02/11/11 107.59 88.09 12 INVESTINENT GRADE CORP FOP 06/18/09 27/11/11 107.59 88.09 13 Morgan/Stanley SmithBarney LLC 06/18/09 02/11/11 218.57 199.89	OSSOCIACI	ij O	FIRST TRUST TECHNOLOGY	06/18/09	73.78:11	OE CBE	isn on		31.80
MorganiStanley SmithBarney LLC		į	ALPHADEX FUND	1	4		100000		4
11 FIRST TRUST TECHNOLOGY 08/16/08 09/17/11 208.67 176.99 ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent 211.57 TECHNOLOGY 08/16/09 09/27/11 218.58 176.99 ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent 211.57 TECHNOLOGY 08/16/09 09/27/11 218.58 176.99 30.45 ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent 211.57 TECHNOLOGY 08/16/09 09/27/11 218.58 176.99 30.45 30			MorganStanley SmithBarney LLC						
11 FIRST TRUST TECHNOLOGY 06/16/08 08/12/11 208.67 176.95 ALPHADEX FUND Morg and Standlery SmithBarney LLC acted as your agent 5 FIRST TRUST TECHNOLOGY 08/16/08 08/27/11 218.58 FIRST TRUST TECHNOLOGY 08/16/08 08/27/11 218.58 FIRST TRUST TECHNOLOGY 08/16/08 08/27/11 218.58 FIRST TRUST TECHNOLOGY 08/16/08 08/27/11 218.58 FIRST TRUST TECHNOLOGY 08/16/08 08/27/11 218.58 FIRST TRUST TECHNOLOGY 08/16/08 08/27/11 218.58 FIRST TRUST TECHNOLOGY 08/16/08 08/27/11 18.44 18.09 FIRST TRUST TECHNOLOGY 08/16/08 08/27/11 18.44 18.09 FIRST TRUST TECHNOLOGY 07/26/10 07/26/10 55.31 55.94 (.53) Morg and Standey SmithBarney LLC 08/13/10 07/26/10 18.69 (.53) Morg and Standey SmithBarney LLC 08/13/10 08/13/10 18.69 (.53) 18.43 18.69 (.53) 18.44 (.53) 18.44 (.53) 18.44 (.53) 18.44 (.53) 18.44 (.53) 18.44 (.53) 18.44 (.53)			acted as your agent						
ALPHADEX FUND Address your agent 5 FIRST TRUST TECHNOLOGY ALPHADEX FUND MorganSharley SmirhBarney LLC acted as your agent 11 FIRST TRUST TECHNOLOGY ALPHADEX FUND MorganSharley SmirhBarney LLC acted as your agent 11 FIRST TRUST TECHNOLOGY ACHADEX FUND MorganSharley SmirhBarney LLC acted as your agent 11 FIRST TRUST TECHNOLOGY ACHADEX FUND MorganSharley SmirhBarney LLC acted as your agent 11 FIRST TRUST TECHNOLOGY ACHADEX FUND MorganSharley SmirhBarney LLC acted as your agent 11 FIRST TRUST TECHNOLOGY ACHADEX FUND MorganSharley SmirhBarney LLC ACTED ACTE	125000560	***	FIRST TRUST TECHNOLOGY	08/16/09	09/12/11	208.67	176.99		31.68
MorganStanley SmithBarney LLC			ALPHADEX FUND						
### ALPHADES FUNDLOGY 08/16/09 09/27/11 218.58 FRST TRUST TECHNOLOGY 08/16/09 09/27/11 18/29 FRST TRUST TECHNOLOGY 08/16/09 09/27/11 18/29 FRST TRUST TECHNOLOGY 08/16/09 09/27/11 18/29 FRST TRUST TECHNOLOGY 08/16/09 09/27/11 18/29 FRST TRUST TECHNOLOGY 08/03/10 18/29/10 1			MorganStanley SmithBarney LLC						
FIRST TRUST TECHNOLOGY			acted as your agent						
ALPHADEX FUND Morgan/Sharley SmithBarnay LLC acted as your agent FIRST TRUST TECHNOLOGY 08/15/08 09/27/11 218.58 176.99 16.09 176.99 ALPHADEX FUND Morgan/Sharley SmithBarnay LLC acted as your agent 184.74 16.09	125000570	ÇFI	FIRST TRUST TECHNOLOGY	09/16/09	09/21/11	96.34	30.45		78.86 78.86
MorganStanley SmithBarney LLC 218.58 176.99 218.11 218.58 176.99 218.11 218.58 176.99 218.58 218.5			ALPHADEX FUND						
## ## ## ## ## ## ## ## ## ## ## ## ##			MorganStartey SmithBarney LLC						
### FIRST TRUST TECHNOLOGY			acted as your agent						
ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent 1 FRST TRUST TECHNOLOGY 09/16/03 09/30/11 19.44 18.09 3 ALPHADEX FUND 3 ALPHADEX FUND 4 Acted as your agent 7 FIRST TRUST TECHNOLOGY 07/26/10 55.31 55.84 (.63) 1 ALPHADEX FUND 5 FIRST TRUST TECHNOLOGY 07/26/10 130.75 18.69 1 ALPHADEX FUND 6 MorganStanley SmithBarney LLC 08/03/10 93.39 88.46 8 ACTED as your agent 98/03/10 93.39 88.46 1 ISHARES IBOXX \$ INVESTOP 08/11/08 02/11/11 197.59 98.09 2 INVESTMENT GRADE CORP FD FD 08/16/09 215.17 196.89 2 MorganStanley SmithBarney LLC 01/06/10 215.17 196.89	125000580	 	FIRST TRUST TECHNOLOGY	09/16/09	09/27/11	218.58	176.99		41.59
MorganStanley SmithBarney LLC 20,000/11 19,44 16,09 16,004 16,0			ALPHADEX FUND						
1 FIRST TRUST TECHNOLOGY 09/16/09 09/30/11 19.44 16/09 16/09 19.44 16/09 19.44 16/09 1			MorganStanley SmithBarney LLC						
1 FIRST TRUST TECHNOLOGY 09/16/09 09/30/11 19.44 18.09 2 3 ALPHADEX FUND 07/26/10 55.31 55.84 (.53) MorganStanley SmithBarney LLC 27/26/10 19.05/11 19.075 19.09 1 ALPHADEX FUND 07/26/10 19.05/11 19.075 19.09 1 ISHARES 18 0XX \$ INVESTOP 06/18/09 02/11/11 19.59 98.09 2 MorganStanley SmithBarney LLC 01/8/09 02/11/11 19.59 98.09 2 MorganStanley SmithBarney LLC 01/8/09 02/11/11 19.759 98.09 18.43 2 MorganStanley SmithBarney LLC 01/8/09 02/11/11 19.759 98.09 18.43 2 MorganStanley SmithBarney LLC 01/8/09 02/11/11 19.759 98.09 18.43 4 MorganStanley SmithBarney LLC 01/16/19 02/11/11 19.759 98.09 18.43			acted as your agent				,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
3 ALPHADEX FUND 07/26/10 55.31 55.84 (.53) MorganStanley SmithBarney LLC acreel as your agent 7 FIRST TRUST TECHNOLOGY 07/26/13 10/06/11 130.75 18.23 1 ALPHADEX FUND 08/03/10 130.75 18.23 5 MorganStanley SmithBarney LLC 08/11/10 59.39 38.46 1 ISHARES 18 DXX \$ INVESTOP 06/11/08 02/11/11 107.59 98.09 2 INVESTMENT GRADE CORP FD FD 06/18/08 02/11/11 107.59 98.09 18 2 MorganStanley SmithBarney LLC 01/06/10 215.17 196.89 18	129000590		FIRST TRUST TECHNOLOGY	09/16/09	09/30/11	13:44	16.09		2.35
MorganStanley SmithBarney LLC acreed as your agent 130.75 130.75 130.30 18.43 18		w	ALPHADEX FUND	07/26/10		55.3 <u>1</u>	55.84	(.53)	
2 MorganStanley SmithBarney LLC O1/06/10 O2/11/11 O2/15/17 O2/11/11 O2/15/17 O2/15/			MorganStanley SmithBarney LLC						
7 FIRST TRUST TECHNOLOGY 07/26/10 10/05/11 130.75 130.30 1 ALPHADEX FUND 08/03/10 18.68 18.43 5 MorganStanley SmithBarney LLC 08/11/10 59.39 38.46 4 acted as your agent 51 ISHARES 180XX \$ INVESTOP 06/11/08 02/11/11 107.59 98.09 2 INVESTMENT GRADE CORP \$D FD 06/16/09 2/15.17 196.89 18			acted as your agent			44-44-44-44-44-44-44-44-44-44-44-44-44-			
1 ALPHADEX FUND 08/03/10 18.68 18.43 5 MorganStanley SmithBarney LLC 08/11/10 59.39 38.46 4 acted as your agent 98.09 98.09 98.09 1 ISHARES IBOXX \$ INVESTOP 06/11/08 02/11/11 307.59 98.09 98.09 2 INVESTMENT GRADE CORP \$D FD 06/16/09 2/15.17 196.89 18 2 MorganStanley SmithBarney LLC 01/06/10 2/15.17 2/10.19 4	125000600	7	FIRST TRUST TECHNOLOGY	07/26/10	10,05/11	130.75	50.30		.45
5 MorganStanley SmithBarney LLC 06/11/10 59,39 38.46 4 acted as your agent 980/11/10 107.59 98.09 9 1 ISHARES IBOXX \$ INVESTOP 06/11/08 02/11/11 107.59 98.09 9 2 INVESTMENT GRADE CORP \$D FD 06/16/09 2/15.17 196.89 18 2 MorganStanley SmithBarney LLC 01/06/10 2/15.17 210.19			ALPHADEX FUND	08/03/10		868	18,43		.25
### acted as your agent 1 ISHARES IBOXX \$ INVESTOP 06:/11/09 02:/11/11 107.59 98.09 2 INVESTMENT GRADE CORP FD 06:/18/09 215.17 196.89 2 MorganStanley SmithBarney LLC 01/06/10 215.17 210.19		σı	MorganStadley SmithBarney LLC	08/11/180		85.68	38.45		4.93
1 ISHARES IBOXX \$ INVESTOP 06/11/09 02/11/11 107.59 98.09 2 INVESTMENT GRADE CORP FD FD 06/18/09 215.17 196.89 1 2 MorganStanley SmithBarney LLC 01/06/10 215.17 210.19			acted as your agent						
2 INVESTMENT GRADE CORP FD FD 06/18/09 215.17 196.89 1 2 MorganStanley SmithBarney LLC 01/06/10 215.17 210.19	25000670	**	ISHARES IBOXX \$ INVESTOP	06/11/09	02/11/11	107.59	98,099		9.50
01/06/10 215.17 210.19		N	INVESTMENT GRADE CORP FO FO	06/18/09		215.17	196.89		18.28
		N	MorganStanley SmithBarney LLC	01/06/10		215.17	210.19		4.98

Ref: 00004960 00043489
MorganStantey SmithBarney
2011 Year End Summary

J HOWARD COBLE

Account Number

Reference number 125000770 125000740 125000720 125000750 125000710 125000690 Defails of Long Term Cain (Loss) 2011 - continued 25000700 125000680 Quantity **₹** ⊷ ĸ ಹಈ Ŋ ω POWERSHARES DWA EMERGING MKTS POWERSHARES DWA EMERGING MXTS COMPOSITE PORTFOLIO POWERSHARES CEF INCOME POWERSHARES DWA EMERGING MXTS MorganStaniaγ SmithBarneγ LLC MorganStanley SmithBarney LLC POWERSHARES DWA EMERGING MXTS POWERSHARES DWA EMERGING MXTS BOND FD MorganStanley SmithBarney LEC in this transaction. MorganStanley SmithBarney LLC in this transaction n this transaction. in this transaction. MorganStanley SmithBarney LLC in this transaction. POWERSHARES DWA EMERGING MKTS MorganStanlay SmithBarney LLC ISHARES IBOXX HIGH YLD CORP Security Description acted as your agent scred as your agent acted as your agent MorganStanley SmithBarney LLC MorganStanley SmithBarney LLC acted as your agent ISHARES IBOXX HIGH YLD CORP ected as your agent ected as your agent ected as your agent scred as your agent MorganStanley SmithBarney LLC Opening Trade Date 07/21/09 07/21/09 07/21/09 07/21/09 04/29/09 03/31/10 05/06/09 05,06,09 05/06/09 08,17,09 08,17,09 31717 26/17/18 31,727,00 02/16/18 02/15/11 09/22/11 11,03/11 02/14/11 05/17/11 \$ 184,44 261.74 314.09 131.06 246.19 17.59 244.63 51.94 48.74 **\$ 159.61** 151.96 12.14 151.96 149.26 195.38 51.52R 60.72 85.02 <u>8</u> \$ 24.83 118.71 112.48 46.04 94.23 5.45 36.87 12.30 5.07 42 ≺刀▷ Z 以口の Z m> m ≺ N 刀

Page 30 of 31

Ref: 00004960 00043490

J HOWARD COBLE

Account Number

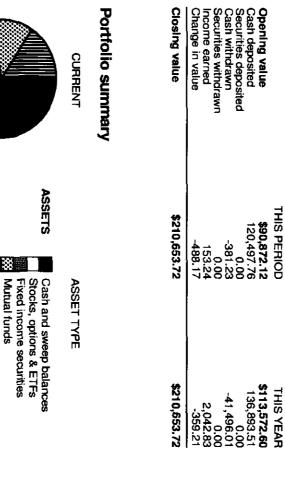
number	Quantity	W.	Security Description	Opening Trade Date		Closing Trade Dale	70	Sale Proceeds	Cost	(Loss)	G G
125000780		.	POWERSHARES CEF INCOME COMPOSITE PORTFOLIO MorganStanley SmithBarney LLC acted as your agent	01.11 E/EO		07/15/11	4.	\$ 155.03	‡ 154.55 R		** -£8
125000790		ا د	SPACON 330 S384HS63MOG	G-110-90	5	77/22/18		14 (8)	190 7s R		18
		. مہ	COMPOSITE PORTEON O	04/27/40	5 7			36.03	25 88 R		14
		ñ.	Managharlas Baile Barrella	06:197	3 8			10.00	A CONTRACTOR OF THE PARTY OF TH		3 .
	_	. ē	world desired all purposes for	(A) (A) (A)) č			10.31	400.50		2.20
		Ç	acted as your agent	06/16/10	ට			234.18	218.77 ^K		15.41
125000820		¢.	REVENUESMARES ADR FUND MorganSteriev SmithBarney LLC acted as your agent in this transaction.	04.60v.80	\$ 	08,04/11	a)	209.81	214.03	(4.22)	
Fotal											
	for this t	ex lot h	R The basis for this tax lot has been adjusted due to a reclassification of income Details of Deposits and Withdraway 2011	essification of incom	10			18,428.03	+ 5,921,04 (+ 4.75) + 2,500.74	(# 4.75)	1 2,508.7
Inis section	for this to	ax lot h	Total R The basis for this tax lot has been adjusted due to a reclassification of income Details of Deposits and Withdrawais 2014 This section reflects any deposits or withdrawais made to your account during the year.	assification of incom	re uring th	year.		28.03	* 5,921,04	(4 4.75)	12,500.74
Withdrawals	for this to Depose to reflect to	ax lot h	as been adjusted due to a red d Withdrawals 2011 leposits or withdrawals made	to your account di	re Tring th	year.	######################################	28.23	* 5,921,04	(4 4.75)	1 2,500.74
Withdrawal Reference number	Figure 1 for this h	the land w	as been adjusted due to a rect Withdrawals 2011 leposits or withdrawals made	to your account of number	re uring th	year. Reference	t 8,4	28.03	* 5,921,04	(4 4.75) Referral	# 2,509.74
Withdrawals Reference Date 220000100 01/21/11	Controls to for this to for th	ax lot h	x iot has been adjusted due to a red and Withdrawals 2014 any deposits or withdrawals made Description	to your account d	uring th	year. Reference number 220000200	Date	28.03 28.03 28.03 28.03 28.03 28.03 28.03 28.03 28.03 28.03 28.03	* 5,921,04	(4 4.75) Referral	1 2,500.74 Amount 4 55.69
Withdrawak Reference rumber 220000100	for this to Depos	ax lot h	x fot has been adjusted due to a reci	to your account of number	re uring th	? year. Reference	#8,4	28.03 28.03 28.03 28.03 28.03 28.03 28.03 28.03 28.03 28.03 28.03 28.03 28.03 28.03 28.03 28.03 28.03	# 5,921.04 # 5,921.04 # 1 TO 06/30/11	(4 4.75) Referral number	# 2,500.74 ####################################
Withdrawak Reference ramber 220000100 (220000300 (S for this h	ax iot h	x lot has been adjusted due to a reci	to your account d	re uring th Amount 191.42	year. Reference number 22000200	# 8,4	26.03 Description INVESTMENT FROM 04/01/1 INVESTMENT FROM 10/01/1	# 5,921.04 # 5,921.04 # 1 TO 06/30/11 # AND MGMT SERVICES # 11 TO 12/31/11	(\$ 4.75) Referral number	1 2,509.74 Armoun 4 95.69



SNAPSHOT

Page 1 of 10

Progress summary



01/11 02/11 03/11 04/11 05/11 06/11 07/11 08/11 09/11 10/11 11/11 12/11

PREVIOUS VALUE ON NOV 30

%

CURRENT VALUE ON DEC 31

%

ESTIMATED ANN. INCOME

31,486.59

0.00

34.65 0.00 0.00 29.08 36.27

151,756.36 0.00 0.00 25,910.90

72.04 0.00 0.00 12.30 15.66

Asset value

\$90,872.12 26,422.87 32,962.66

100%

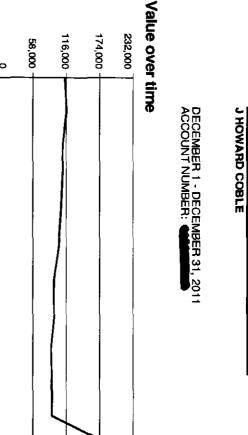
\$210,653.72

\$2,050

,827 208

32,986.46

Unit investment trusts



Cash flow summary

Gain/loss summary	Income summary		Cash flow summary
Short term (S) Long term (L) Total	TAXABLE Money market/sweep funds Interest Total taxable income Total federally tax-exempt income Total income	Opening value of cash and sweep balances Deposits Income and distributions Other additions Net additions to cash Withdrawals by check Securities purchased Electronic funds transfers Other subtractions Net subtractions Net subtractions from cash Closing value of cash and sweep balances	
UNREALIZED -1.97 -3,905.03 -\$3,907.00			
THIS PERIOD REALIZED 0.00 0.00 \$0.00	THIS PERIOD 0.92 152.32 \$153.24 \$0.00 \$153.24	\$31,486.59 120,000.00 153.24 497.76 \$120,651.00 -381.23 0.00 0.00 0.00 0.00 0.00 5151,756.36	THIS PERIOD
THIS YEAR REALIZED 0.00 0.00	THIS YEAR 4.89 1,841.78 \$1,846.67 \$0.00 \$1,846.67	136,395.75 2,042.83 497.76 \$138,936.34 -41,350.01 -196.16 -21.00 -125.00 -\$41,692.17	THIS YEAR

Available funds

Sweep option:

BANK DEPOSIT SWEEP First in, First out MODERATE GROWTH

Cost Basis Election:

nvestment objective/Risk tolerance:

ax status:

Brokerage account number: Command account number:

4002630445 2059-3911

laxable

J HOWARD COBLE

Command Asset Program

Your total available funds

\$151,756.36

0.00 151,756.36

Available for loan

Money market and sweep funds

Account profile

Full account name:

Account type:

SNAPSHOT

J HOWARD COBLE

Page 3 of 10

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER: 1

Your Financial Advisor

Phone: 800-326-2268 JOHN COPLEY

WINSTON-SALEM, NC 27150 100 NORTH MAIN STREET, 6TH FL

Client service information

En espanol: Customer service:

Website:

800-326-8977 800-733-8812 www.weilsfargoadvisors.com

For your consideration

Go paperless. Accessing all of your account documents online is easy and costs nothing. To participate, log in to Online Brokerage through wellsfargoadvisors.com. Click on the Delivery Preferences link found under If you are not an Online Brokerage user and need to sign up, go to wellsfargoadvisors.com/signup or call 800-326-4434 for assistance. Statements & Documents to turn off paper delivery of your account documents.

Document delivery status

Statements:
Trade confirmations:
Tax documents:
Shareholder communications:

Electronic

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER: 1

Additional information

THIS PERIOD 497.76

THIS YEAR 497.76

Portfolio detail

7/013

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor. Cash and Sweep Balances Return of principal

BANK DEPOSIT SWEEP Total Cash and Sweep Balances nterest Period 12/01/11 - 12/31/11 % OF ACCOUNT 72.04 ANNUAL PERCENTAGE
YIELD EARNED* CURRENT MARKET VALUE \$151,756.36 151,756.36 ESTIMATED ANNUAL INCOME \$15.17

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year. Mutual Funds

Mutual fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return. If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

Open End Mutual Funds

WELLS FARGO ADVANTAGE FUNDS-ASSET ALLOCATION FD-CLASS A EAAFX On Reinvestment Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc	DESCRIPTION
	% OF ACCOUNT
7.65599 7.96399 7.69199 7.69199 7.98899 5.24500 7.99099 9.72699	QUANTITY
25.55.55.55 26.55.55.55 26.55.55 26.55.55 26.55.55 26.55.55 26.55.55 26.55.55 26.55.55 26.55.55 26.55.55 26.55.55 26.55.55 26.	ADJ PRICE/ ORIG PRICE
102.29 106.60 107.17 70.39 107.24 130.57	ADJ COST/ OHIG COST
	CURRENT
91.26 94.93 95.23 95.23 115.23	CURRENT MARKET VALUE
-11.03 -11.67 -11.30 -11.94 -11.99 -14.62	UNREALIZED
	ESTIMATED ANNUAL A INCOME YII
	ANNUAL YIELD (%)

8/013

Open End Mutual Funds continued **Mutual Funds**

11.589 -14.87 70.08 -9.05 -9.05 71.95 -9.35 -9.35 -9.35 -9.35 -9.35 -9.35 -9.35 -9.35 -9.35 -9.35 -9.35 -9.35 -9.35 -9.35 -9.35 -9.35 -9.35 -10.24 -1	115.86 177.12 24 110.56 44 106.82 5 105.90 6 64.08 6 164.08 16.64 8 202.44 8 33.63 34,021.10 ments)	13.39 13.39 13.39 100 13.41 100 13.41 100 13.42 100 13.45 100 10.18 100 10.18 100 10.18 100 10.18 100 10.18 100 10.18 100 10.18 100 100 100 100 100 100 100 1	10.4000 13.39 139.25 10.21800 13.38 139.25 10.21800 13.38 127.92 8.86900 13.41 118.94 8.63500 13.41 115.86 8.72500 13.42 117.12 8.22600 13.44 106.82 7.87000 13.45 105.90 4.75800 13.46 64.08 1.23700 13.45 16.08 1.23700 13.45 16.08 1.23700 13.46 64.08 1.23700 13.46 64.08 1.23700 13.46 64.08 1.23700 13.46 64.08 1.23700 13.46 33.63 1.72 304.52800 11.98 33.63 1.72 304.52800 \$4,021.10 Client Investment (Including Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)	Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/12/04 L nc Reinvestments L nc Reinvestments S nc Total
115.89 10.95 10.95 10.95 10.95 10.95 10.95 10.96 173.76 10.96 173.76 10.90 177.44 175.29 10.90 117.75 15.46 119.58 119.58 119.58 119.58 119.58 119.58 119.58 119.58 119.58 119.59	<u>*</u>	10000000000000000000000000000000000000	1.72 304.528	Acquired 11/11/04 Linc Acquired 11/11/04 Linc Acquired 11/11/04 Linc Acquired 11/11/04 Linc Acquired 11/11/04 Linc Acquired 11/11/04 Linc Acquired 11/12/04 Linc Acquired 11/22/04 Linc Reinvestments Sinc
			10.400 9.547 8.869 8.725 8.726 8.226 7.946 7.870 1.237 1.2382 2.805	Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Reinvestments L nc Reinvestments S nc
			10.400 10.218 9.5478 8.869 8.225 8.225 7.846 4.758 4.758	Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc
			10.400 10.5218 9.5218 8.869 8.725 8.725 7.876 4.758	Acquired 11/11/04 Lind Acquired 11/11/04 Lind Acquired 11/11/04 Lind Acquired 11/11/04 Lind Acquired 11/11/04 Lind Acquired 11/11/04 Lind
			10.400 10.5218 9.869 8.725 8.725 7.946	Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc Acquired 11/11/04 L nc
			10.400 10.5218 9.5418 8.869 8.725	Acquired 11/11/04 Linc Acquired 11/11/04 Linc Acquired 11/11/04 Linc
			10.400 10.218 9.869 8.635 8.725	Acquired 11/11/04 Linc
1 () () 1 () 1 () 1 () 1 () 1			10.400 10.218 9.869 8.635	Acquired 11/11/04 Linc
			10.400 10.218 9.547	A CONTRACTOR OF THE CONTRACTOR
			10.400 10.218	Acquired 11/11/04 Linc
			10.400	Acquired 11/11/04 Linc
				Acquired 11/11/04 L nc
			10.689	Acquired 11/11/04 L nc
1.			10.132	Acquired 11/11/04 Linc
			10.032	Acquired 11/11/04 Linc
			9.878	Acquired 11/11/04 Linc
			6.3 <u>15</u>	Acquired 11/11/04 L nc
	9 87.68		6.496	Acquired 11/11/04 Linc
1 1			6.713	Acquired 11/11/04 Fire
			7.77	Acquired 11/11/04 p.ic
			0.000 6.100	Acquired 1771 704 Find
			5.0/c	Acquired 11/11/04 Linc
			5.86	Acquired 11/11/04 L nc
			9.721	Acquired 11/11/04 L nc
<u>.</u>			9.881	Acquired 11/11/04 Linc
1.			9.550	Acquired 11/11/04 Linc
			6.198	Acquired 11/11/04 L nc
72.22 -9.12	81.34	13.42	6.05899	Acquired 11/11/04 L nc
100.44		ŀ		Acquired 11/11/04 Linc
CURRENT CURRENT UNREALIZED ANNUAL ANNUAL PRICE MARKET VALUE GAINLOSS INCOME YIELD (%)	ADJ COST/ E ORIG COST	ADJ PRICE	% OF QUANTITY	DESCRIPTION

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DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER:

J HOWARD COBLE

9
End
Mutual
Funds
continued

Mutual Funds

	\$208.65	\$3,312.72	\$25,910.90 -\$3,312.72		\$29,223.62			12.30	Total Mutual Funds
	\$208.65	-\$3,312.72	\$25,910.90		\$29,223.62			12.30	Total Open End Mutual Funds
		3.36 7.57	\$19,183.36 \$3,097.57	:	s) Reinvestments)	g Reinvestment nent (Including	Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)	Client Inves Gain/Loss	
0.70	\$155.36	-\$2,921.59	\$22,280.93	11.7600	\$25,202.52		1,894.63700	10.58	Total
		-2,006.01 376.41 -1.78 -437.77	6,233.04 6,233.04 160.75 3,745.59		4,183.36	14./0 11.04 13.13	679,76199 530,01851 13,66900 318,50351		Acquired 04/12/07 L nc Reinvestments L nc Reinvestments S nc Systematic investment L nc
ANNUAL YIELD (%)	ANNUAL	UNHEALIZED GAIN/LOSS	CUPRENT MARKET VALUE	CURRENT PRICE	ADJ COST/ ORIG COST	ADJ PRICE/ ORIG PRICE	QUANTITY	% OF ACCOUNT	DESCRIPTION
ESTIMATED	ESTIN							itinued	Open End Mutual Funds continued

Unit Investment Trusts

5.54	\$1,827.84	-\$594.28	\$32,986.46		\$33,580.74 \$34,295.80		ï	15.66	Total Unit Investment Trusts	L-5
5.54	\$1,827.84	-\$594.28	\$32,986.46		\$33,580.74 \$34,295.80			15.66	Total Corporate Trusts	
5.54	1,827.84	-594.28	32,986.46	970.1900	33,580.74 34,295.80	987.66 1,008.70	34	15.66	CLAYMORE SECURITIES GUGGENHEIM INT INVT GRD CORP SER 20 CASH Acquired 05/20/10 L nc	5/14
ANNUAL YIELD (%)	ANNUAL	GAIN/LOSS	CURRENT MARKET VALUE	CURRENT	ADJ COST/ ORIG COST	ADJ PRICE/ ORIG PRICE	QUANTITY	% OF ACCOUNT	DESCRIPTION	1/20
NTED	ESTIMATED								Corporate Trusts	12
									Unit Investment Trusts	3:47
			ÇS.	e reported to the IRS.	st for all other lots will bu	Unless indicated, cos	orting requirements.	vered by IRS repo	nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the	:11
0.81	\$208.65	-\$3,312.72	\$25,910.90		\$29,223.62			12.30	Total Mutual Funds	. P
0.81	\$208.65	-\$3,312.72	\$25,910.90		\$29,223.62			12.30	Total Open End Mutual Funds	M
		1.36 1.57	\$19,183.36 \$3,097.57	:	s) Reinvestments)	Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)	tment (Excludir on Client Invest	Client Inves Gain/Loss c		PA
0.70	\$155.36	-\$2,921.59	\$22,280.93	11.7600	\$25,202.52		1,894.63700	10.58	Total	GE
		107:17	0,770.00		+, 100.00		0.0000		Systematic investment End	2

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.



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\$497.76		Total Other additions:				
497.76		CLAYMORE SECURITIES GUGGENHEIM INT INVT GRD CORP SER 20 CASH 122811 34		PRINCIPAL	Cash	12/28
0.00		WELLS FARGO ADVANTAGE FUNDS-ASSET ALLOCATION FD-CLASS A AS OF 12/05/11 SHR CLASS EX @ \$12.202 FROM CUSIP 94985D434	2.01100	EXCHANGE	Cash	12/06
0.00		WELLS FARGO ADVANTAGE FUNDS-ASSET ALLOCATION FD-CLASS A AS OF 12/05/11 SHR CLASS EX @ \$12.199 FROM CUSIP 94985D434	5.82900	EXCHANGE	Cash	12/06
AMOUNT	PRICE	DESCRIPTION	QUANTITY	TRANSACTION	Other additions DATE ACCOUNT TYPE	Other a
0.92 \$153.24		BANK DEPOSIT SWEEP 123011 151,755 Total Income and distributions:		INTEREST	Cash	12/30
152.32		CLAYMORE SECURITIES GUGGENHEIM INT INVT GRD CORP SER 20 CASH 122511 34 AS OF 12/25/11		INTEREST	Cash	12/27
AMOUNT	PRICE	DESCRIPTION	QUANTITY	TRANSACTION	Income and distributions DATE ACCOUNT TYPE	Income
120,000.00 \$120,000.00		DEPOSIT Total Deposits:		DEPOSIT	Cash	12/12
AMOUNT	PRICE	DESCRIPTION	QUANTITY	TRANSACTION	IS ACCOUNT TYPE	Deposits DATE
					Activity detail	Activ

Cash sweep activity

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DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER:

Activity detail continued

1	Unspecified	GUILFORD LODGE 656 AF & AM	0002973	Cash	12/09	•
	EXPENSE CODE	DESCRIPTION	CHECK NUMBER	ACCOUNT TYPE	DATE	
	ecific instructions that you have provided.	Entries that display a blank in the expense code field are checks generated by the firm based upon standing or spe	he expense code field are checks	hat display a blank in t	Entries t	_
				Withdrawals by check	Withdra	

12/06 C	12/06 C	Other subtractions DATE ACCOUNT T	* Checks out of sequence	12/13 C	12/09 C	12/07 C	12/09 C	12/01 C	12/06 C	12/09 C	DATE
Cash	Cash	ACCOUNT TYPE	equence	Cash	Cash	Cash	Cash	Cash	Cash	Cash	ACCOUNT TYPE
EXCHANGE	EXCHANGE	TRANSACTION		0002981	0002980	0002979	0002978	0002977	0002976*	0002973	CHECK NUMBER
-2.04300	-5.92100	QUANTITY									
WELLS FARGO ADVANTAGE FDS-ASSET ALLOCATION FDS GLASS B AS OF 12/05/11 SHR GLASS EX @ \$12.011 TO GUSIP 94985D442 Total Other subtractions:	WELLS FARGO ADVANTAGE FDS-ASSET ALLOCATION FDS CLASS B AS OF 12/05/11 SHR CLASS EX @ \$12.009 TO CUSIP 94985D442	DESCRIPTION	Total Withdra	CHARTER CHKPAYMENT	AT&T SERVICES CHECKPAYMT	TOWN OF BOONE	AT&T SERVICES CHECKPAYMI	GREENSBORO DERMATOLOGY	CHARLES CARTER	GUILFORD LODGE 656 AF & AM	CESCRIPTION
ubtractions:			Total Withdrawals by check:	Unspecified	YMT Unspecified	Unspecified	YMT Unspecified	GY Unspecified	Unspecified	M Unspecified	EXPENSE CODE
		PRICE									
0.00 \$0.00	0.00	AMOUNT	-\$381.23	-25.39	-32.34	-28.50	-44.51	-80.49	-85.00	-85.00	AMOUNT

Total Other subtractions:

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

12/01 12/01	DATE
TRANSFER FROM	TRANSACTION
BEGINNING BALANCE BANK DEPOSIT SWEEP	DATE TRANSACTION DESCRIPTION AMOUNT DATE TRANSACTION DESCRIPTION
31,486.59 -80.49	AMOUNT
12/06 12/07	DATE
TRANSFER FROM	TRANSACTION
BANK DEPOSIT SWEEP	DESCRIPTION
-85.00 -28.50	AMOUNT

12/09 12/12 12/13 12/28

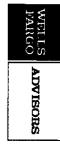
TRANSFER FROM TRANSFER FROM TRANSFER FROM TRANSFER TO

BANK DEPOSIT SWEEP
BANK DEPOSIT SWEEP BANK DEPOSIT SWEEP BANK DEPOSIT SWEEP Cash

sweep activity continued

TRANSACTION

DESCRIPTION



J HOWARD COBLE

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DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER:

-161.85 120,000.00 -25.39 152.32 AMOUNT DATE 12/29 12/30 12/31 REINVEST INT TRANSFER TO TRANSACTION BANK DEPOSIT SWEEP BANK DEPOSIT SWEEP DESCRIPTION ENDING BALANCE

151,756.36

497.76 0.92 AMOUNT

Specific instructions and disclosures

Available funds
"Available for loan" reflects the approximate amount available as of the statement period ending date and should be reduced by any pending checks and Visa charges not yet cleared. This amount is the approximate amount available for withdrawal and loans. A margin loan is a variable rate loan secured by your account.

Cost basis - To add or update cost basis information or modify any cost basis reporting options, please contact Your Financial Advisor.

This statement presents estimated unrealized or realized gains or losses for your information only. If acquisition or other information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information is not verified by FCC or Wells Fargo Advisors and should not be relied upon for legal or tax purposes. Revisions to this information (due to corporate mergers, tenders and other reorganizations) may be required from time to time.

Cost basis for factored bonds (GNNA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average.

Your account statement should not be used for tax preparation without assistance from your tax consultant. We do not report capital gains or losses for non-covered securities to the IRS

Cost basis options
Unless specific tax lots are selected at trade time, sales of tax lots will occur using the cost basis election reflected in the Account profile section.