

HAND  
DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES  
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A  
For use by Members, officers, and employees

Page 1 of 2  
LEGISLATIVE RESOURCE CENTER  
2008 MAY 15 PM 3:58

Dennis A. Cardoza

(Full Name)

(202) 225-6131 U.S. HOUSE OF REPRESENTATIVES

(Daytime Telephone)

(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: CA District: 18	<input type="checkbox"/> Officer Or Employee	Employing Office:	A \$200 penalty shall be assessed against anyone who files more than 30 days late.
Report Type	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date:	

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$305 and not otherwise exempt)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$305 from one source)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE I - EARNED INCOME

Name Dennis A. Cardoza

Page 2 of 7

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Merced Faculty Associates	Spouse's Income	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dennis A. Cardoza

Page 3 of 7

## BLOCK A

### Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

## BLOCK B

### Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

## BLOCK C

### Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)

## BLOCK D

### Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.

## BLOCK E

### Transaction

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

SP	US Treasury Money Fund of America (held in 401k account with Paine Webber)	\$15,001 - \$50,000	DIVIDENDS (reinvested)	\$1,001 - \$2,500	
	Bank of America (Checking and Savings Accounts)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
	Bank of America Stock	None	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	S
	Capital Corp. of the West Stock (held in IRA)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Charles Schwab Bank Account	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	

# **SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Dennis A. Cardoza

Page 4 of 7

		None	None	NONE	PS
	Conexant Systems Inc. Stock	None	None	NONE	PS
DC1	County Bank of Merced, Atwater	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
DC2	County Bank of Merced, Atwater	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
DC3	County Bank of Merced, Atwater, CA	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	JDS Uniphase Corp. Stock	None	CAPITAL GAINS	\$1,001 - \$2,500	S
	Microsoft Corp. Stock	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	PS
	Sun Microsystems Inc. Stock	None	None	NONE	PS

# SCHEDULE IV - TRANSACTIONS

Name Dennis A. Cardoza

Page 5 of 7

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Conexant Systems Inc. Stock	P	3-5-07	\$1,001 - \$15,000
	Conexant Systems Inc. Stock	S	5-3-07	\$1,001 - \$15,000
	Sun Microsystems Inc. Stock	P	3-5-07	\$1,001 - \$15,000
	Sun Microsystems Inc. Stock	S	7-30-07	\$1,001 - \$15,000
	JDS Uniphase Corp. Stock	S	5-3-07	\$1,001 - \$15,000
	Bank of America Stock	S	8-9-07	\$15,001 - \$50,000
	Microsoft Corp. Stock	P	3-5-07	\$15,001 - \$50,000
	Microsoft Corp. Stock	S	7-30-07	\$15,001 - \$50,000

# SCHEDULE VI - GIFTS

Name Dennis A. Cardoza

Page 6 of 7

Report the source, a brief description, and the value of all gifts totaling more than \$305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$122 or less need not be added towards the \$305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Olympic Casino, Estonia	Winnings	\$1,700

# **SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name Dennis A. Cardoza

Page 7 of 7

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Government of Taiwan (MECCEA)	Mar. 30- Apr. 6	DC-Taipei, Taiwan-DC	Y	Y	N	None