



Filing ID #10020343

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Earl Blumenauer
Status: Member
State/District: OR03

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2017
Filing Date: 05/7/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
American Europacific Growth Fund - retirement [EF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Brown Advisory Sustainable Growth Winslow - retirement [EF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Campbell - Nob Hill NW 23rd and Hoyt [RP]		None	Rent	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: Portland, OR, US					
Cohen & Steers Realty Share - retirement [EF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Congressional Credit Union [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
DFA US Large Cap Value - retirement [EF]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Doubleline Total Return Bd Fd CLN - retirement [EF]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Duplex - 6th St. SE [RP]		\$500,001 -	Rent	\$15,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: Washington, DC, US		\$1,000,000		\$50,000	
Federal Thrift Savings Plan [BA]		\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
Fidelity - Blackrock Lifepath 2020 - retirement [EF]	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
DESCRIPTION: This was formerly T. Rowe Price Retirement. Converted to Fidelity 12/12/17					
General Electric Company (GE) - retirement [EF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Glenmede Small Cap Eqty Fund - retirement [EF]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Glisan Street Apts, 1701 NW Glisan [RP]		\$50,001 - \$100,000	Rent	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: Portland, OR, US					
Ishares KLD Select Social Index Fund - retirement [EF]	SP	None	None		<input checked="" type="checkbox"/>
Lazard Intl Strategic Equity OpenShares - retirement [EF]	SP	None	None		<input checked="" type="checkbox"/>
Lord Abbett Short Dur Income FD CL - retirement [EF]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Metropolitan West Total Return Bond - retirement [EF]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Newell Brands Inc. - retirement [IP]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Northwest Natural Gas Company (NWN) - Common Stock (part of retirement and compensation package) [EF]	SP	\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
Northwest Natural Gas Company (NWN) - retirement [EF]	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
OnPoint Community Credit Union [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Oregon College Savings Plan for DC2 1-3 Years to College Portfolio [5P]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: OR					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Oregon Public Employees Retirement System [PE]		Undetermined	None		<input type="checkbox"/>
Pax World High Yield Bond Fund - retirement [EF]	SP	\$1 - \$1,000	None		<input type="checkbox"/>
Pettygrove House, NW 23rd and Pettygrove [RP]		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Portland, OR, US					
PIMCO Foreign Bond Fund - retirement [EF]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
PIMCO Foreign Bond Fund Class D - retirement [EF]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
PIMCO Incm CLD - retirement [EF]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
PIMCO Total Return III Fund - retirement [EF]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Powershares ETF Fundamental High Yld CP Bond Port-retirement [EF]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Sch US Div Equity ETF - retirement [EF]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Sch US TIPS ETF - retirement [EF]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Schw Emg Mkt EQ ETF - retirement [EF]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Schw Intl EQ ETF - retirement [EF]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Schwab 1000 Index Fund [EF]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Schwab Fundamental US Large Co Index - retirement [EF]	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
Schwab Intl Index Fund - retirement [EF]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Schwab M Mkt Adv. Cash Reserves - retirement [BA]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Schwab Money Market [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Schwab Money Market - retirement [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Schwab S+P 500 Index Fd - retirement [EF]	SP	\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>
Schwab S+P 500 Index Fd - retirement [EF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Schwab SEP - IRA - cash - retirement [GS]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
SEI Emerging Markets Equity - retirement [EF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
The Joinery (part interest) - Company builds handcrafted solid wood furniture [RP] LOCATION: Portland, OR, US		\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>
Townhouse (1/2 interest) 2441 NE Weidler [RP] LOCATION: Portland, OR, US		\$100,001 - \$250,000	None		<input type="checkbox"/>
Vacation House (1/2 interest) [RP] LOCATION: Deer Isle, ME, US	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
Vanguard Short Term Inv Grade Fund - retirement [EF]		None	None		<input checked="" type="checkbox"/>
Vanguard Short Term Inv Grade Fund - retirement [EF]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Westcott LLC, 1701 NW Glisan [RP] LOCATION: Portland, OR, US		\$50,001 - \$100,000	Rent	\$2,501 - \$5,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Campbell Court-Nob Hill - Real Estate [OT]		12/1/2017	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
LOCATION: Multnomah County, OR, US DESCRIPTION: Sale of interest in Campbell Court-Nob Hill NW 23rd and Hoyt					
DFA US Large Cap Value - retirement [GS]	SP	12/15/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Long term cap. gain reinvestment					
General Electric Company (GE) [ST]	SP	12/26/2017	P	\$1,001 - \$15,000	
Glenmede Small Cap Eqty Fund - retirement [HE]	SP	12/13/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Ishares KLD Select Social Index Fund - retirement [HE]	SP	12/13/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Lazard Intl Strategic Equity OpenShares - retirement [HN]	SP	12/13/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
Newell Brands Inc. (NWL) [ST]	SP	12/21/2017	P	\$1,001 - \$15,000	
Northwest Natural Gas Company (NWN) [ST]	SP	02/15/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvestment of dividend distribution					
Northwest Natural Gas Company (NWN) [ST]	SP	02/16/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend reinvestment					
Northwest Natural Gas Company (NWN) [ST]	SP	05/15/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend reinvestment					
Northwest Natural Gas Company (NWN) [ST]	SP	05/16/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend reinvestment					
Northwest Natural Gas Company (NWN) [ST]	SP	08/15/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend reinvestment					
Northwest Natural Gas Company (NWN) [ST]	SP	08/15/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend reinvestment					
Northwest Natural Gas Company (NWN) [ST]	SP	11/15/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend reinvestment					
Northwest Natural Gas Company (NWN) [ST]	SP	11/16/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Dividend reinvestment					
Northwest Natural Gas Company (NWN) [ST]	SP	11/21/2017	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Exercise of stock option shares					
Northwest Natural Gas Company (NWN) [OT]	SP	02/17/2017	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: OR, US					
DESCRIPTION: Exercise of stock option shares					
PIMCO Total Return III Fund - retirement [HE]	SP	12/14/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Schw Intl EQ ETF - retirement [FE]	SP	12/22/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend reinvestment					
Schwab 1000 Index Fund [EF]		02/8/2017	P	\$15,001 - \$50,000	
Schwab Fundamental US Large Co Index - retirement [FU]	SP	12/18/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Long term cap. gain reinvestment					
Schwab Fundamental US Large Co Index - retirement [FU]	SP	12/18/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend reinvestment					
Schwab Intl Index Fund - retirement [OI]	SP	12/18/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend reinvestment					
Schwab M Mkt Adv. Cash Reserves - retirement [FE]	SP	01/3/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Partial sale					
Schwab M Mkt Adv. Cash Reserves - retirement [FE]	SP	12/1/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Purchase					
Schwab M Mkt Adv. Cash Reserves - retirement [FE]	SP	12/14/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Purchase					
Schwab M Mkt Adv. Cash Reserves - retirement [FE]	SP	12/15/2017	P	\$50,001 - \$100,000	
DESCRIPTION: Purchase					
Schwab M Mkt Adv. Cash Reserves - retirement [FE]	SP	12/19/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Purchase					
Schwab M Mkt Adv. Cash Reserves - retirement [FE]	SP	12/26/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Partial sale					
Schwab M Mkt Adv. Cash Reserves - retirement [FE]	SP	12/28/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Partial sale					
Schwab S+P 500 Index Fd - retirement [GS]	SP	12/18/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend reinvestment					
Schwab SEP - IRA cash - retirement [CS]	SP	04/18/2017	P	\$1,001 - \$15,000	
DESCRIPTION: SEP for retirement					
The Joinery [OL]		02/8/2017	P	\$1,001 - \$15,000	
LOCATION: Portland, OR, US					
DESCRIPTION: Additional investment					
Vanguard Short Term Inv Grade Fund - retirement [EF]		02/8/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Oregon Public Employees Retirement System	Pension	\$41,826.77
Pearl Legal Group	Spouse salary	N/A
Brighter Oregon	Spouse salary	N/A
PIMCO Total Return III Fund	Spouse - IRA Distribution	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	M & T Realty, Buffalo, NY	February, 2004	Mortgage, Glisan Townhouses, 1701 NW Glisan, Portland, OR	\$15,001 - \$50,000
	M & T Realty, Buffalo, NY	January, 2010	Mortgage, Westcott LLC/Glisan	\$15,001 - \$50,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
			Townhouses, 1701 NW Glisan, Portland, OR	
	First Republic Bank, Portland, OR	October, 2012	Mortgage, Pettygrove House, NW 23rd and Pettygrove, Portland, OR	\$100,001 - \$250,000
	Stearns Lending, Inc., City of Industry, CA	April, 2012	Mortgage, Personal Residence	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Member of the Board of Directors	Rail~Volution
Member of the Board of Directors	The Joinery Holding Company

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
May 1996	Earl Blumenauer and the State of Oregon	Participant in defined benefit plan

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Aspen Institute	02/18/2017	02/27/2017	Washington, DC - Delhi, India - Washington, DC	1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
J Street	06/30/2017	07/7/2017	Washington, DC - Tel Aviv, Israel - Portland, OR	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Rail~Volution	09/17/2017	09/20/2017	Portland, OR - Denver, CO - Portland, OR	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Michelson Found Animals Foundation	10/14/2017	10/15/2017	Portland, OR - Los Angeles, CA - Portland, OR	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Earl Blumenauer , 05/7/2018