Yes No 🗸	İ	income, transactions, or liabilities of a spouse or dependent 'yes" unless you have first consulted with the Committee on	Exemptions— Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct
Yes 🗌 No 🗹		ittee on Standards of Official Conduct and certain other "excepted rt details of such a trust benefiting you, your spouse, or dependent	Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
S	NOITS	IATION ANSWER EACH OF THESE QUE	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION ANSWER EACH OF THESE QUESTIONS
ìe approp	ed and th	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	V. (more than \$10,000) during the reporting period?  If yes, complete and attach Schedule V.
1	!	If yes, complete and attach Schedule IX.	
Yes   No 🔀	n outside	Did you have any reportable agreement or arrangement with an outside IX. entity?	Did you, your spouse, or dependent child purchase, sell, or exchange any IV. reportable asset in a transaction exceeding \$1,000 during the reporting Yes V No period?
Yes 🗌 No 🕢	filing in the	Oid you hold any reportable positions on or before the date of filing in the VIII. current calendar year?  If yes, complete and attach Schedule VIII.	III. more than \$200 in the reporting period or hold any reportable asset worth Yes V No I fyes, complete and attach Schedule III.
Yes 🗌 No 🕢	able travel or than \$335	VII. reimbursements for travel in the reporting period (worth more than \$335 from one source)?  If yes, complete and attach Schedule VII.	II. you for a speech, appearance, or article in the reporting period?  Yes No V  If yes, complete and attach Schedule II.
		If yes, complete and attach Schedule VI.	If yes, complete and attach Schedule I.
Yes ☑ No ☐	ible gift in otherwise	Old you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200  Yes V No
		QUESTIONS	PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS
anyone who ries more than 30 days late.	more t	Termination Date:	Report Annual (May 15)   Amendment   Type   Termination
A \$200 penalty shall be assessed against	A \$200 be ass	Officer Or Employing Office: Employee	Filer ✓ Member of the U.S. State: CA ☐ ☐ Status
U.S. (Office Use Only)	10° (0°)	(Daytime Telephone)	(Full Name)
2010 MAY 17 PH 4	2010	(202) 225-6131	Dennis A. Cardoza
C. GISI ATIVE RESOURCE	15.03.	For use by Members, officers, and employees	CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT
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### SCHEDULE I - EARNED INCOME

Name Dennis A. Cardoza

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding

Source Type Amount
University Care at Shipley's Choice Spouse Salary N/A

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DC3 DC2 DC1 Sp in the optional column on the far left. that of your spouse (SP) or dependent child (DC) or is jointly held (JT) debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal Exclude: Your personal residence(s) (unless there is rental income); any Block A. For additional information, see the instruction booklet. business, the nature of its activities, and its geographic location in active business that is not publicly traded, state the name of the the account and its value at the end of the reporting period. For an retirement plans that are not self-directed, name the institution holding each asset in the account that exceeds the reporting threshold. For specific investments), provide the value and income information on retirement plans (such as 401(k) plans) that are self directed (i.e., plans than \$200 in "unearned" income during the year. For rental property or and (b) any other assets or sources of income which generated more a fair market value exceeding \$1,000 at the end of the reporting period, Identify (a) each asset held for investment or production of income with If you so choose, you may indicate that an asset or income source is Government retirement programs. savings accounts; any financial interest in or income derived from U.S in which you have the power, even if not exercised, to select the mutual funds (do not use ticker symbols). For all IRAs and other land, provide a complete address. Provide full names of stocks and Asset and/or income Source Bank of America, Washington. Bank of America, Washingtor Bank of America, Washington and Savings Accounts) Bank of America (Checking 5576 Zeiner Court, Atwater, CA Services) account with UBS Financial American Funds (held in 401k US Treasury Money Fund of ī \$50,000 \$15,001 \$50,000 \$15,001 -\$50,000 \$15,001 -\$15,001 -\$500,000 \$15,001 -\$250,001 -\$50,000 value, please specify other than fair market valuation method year. If you use a at close of reporting \$50,000 the value should be it is generated income included only because asset was sold and is the method used. If an Value of Asset Year-End Name Dennis A. Cardoza BLOCK B RENT (reinvested) DIVIDENDS during the calendar year. not generate any income Check "None" if asset did be listed as income, even if reinvested, should appropriate box below. income by checking the other assets including all may write "NA". For all specific investments, you plans or accounts that do apply. For retirement Check all columns that NTEREST Dividends and Interest, IRAs, indicate the type of not allow you to choose NTEREST NTEREST NTEREST Type of Income BLOCKC \$1 - \$200 \$1 - \$200 \$1 - \$200 \$2,501 - \$5,000 \$15,001 - \$50,000 \$1 - \$200 earned or generated. listed as income. Check if reinvested, should be Dividends and interest, even appropriate box below. of income by checking the IRAs, indicate the category other assets, including all "NA" for income. For all you to choose specific "None" if no income was investments, you may write accounts that do not allow For retirement plans or Amount of Income BLOCK D Transaction exchanges (E) reporting year. \$1,000 in exceeding (P), sales (S), or Indicate if asset nad purchases **BLOCK E** Page 3 of 8

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Dennis A. Cardoza	. Cardoza		Page 4 of 8
	Beau American - Racehorse	\$15,001 - \$50,000	None	NONE	סי
	Capital Corp. of the West Stock (held in IRA)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Capital One Bank, Laurel, Maryland	\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500	
	Charles Schwab Bank Account	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
	Conexant Systems Inc. New Stock	\$1,001 - \$15,000	None	NONE	ק
	Congressional Federal Credit Union Checking and Savings Accounts	\$100,001 \$250,000	INTEREST	\$1,001 - \$2,500	
	Dad's Little Man - Racehorse	None	Other: Race Purses	\$5,001 - \$15,000	PS
	Flying Spirit - Racehorse	\$1,001 - \$15,000	None	NONE	ק
	General Electric Co. Stock	None	CAPITAL GAINS	\$201 - \$1,000	PS
	Jade River - Racehorse	\$1,001 - \$15,000	None	NONE	יס
	JDS Uniphase Corp. Stock	None	CAPITAL GAINS	\$201 - \$1,000	PS
	Pattysbuddy - Racehorse	\$1,001 - \$15,000	None	NONE	ק
	Powershs QQQ Trust Ser 1 Stock	None	DIVIDENDS/CAP ITAL GAINS	\$2,501 - \$5,000	PS
	Regrettable Romance - Racehorse	\$15,001 - \$50,000	Other: Race Purses	\$50,001 - \$100,000	<b>י</b> ס

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

SP SSGA Dow Jones Target 2025 Fund (held through Mass Westamerica Bank, Atwater, Virgin Mobile USA CI A Stock Undenied - Racehorse Spouse's 401k account opened Mutual Financial Group -Sprint Nextel Corp. Stock Research in Motion Ltd F Stock in 2009) (Acquired in merger with Virgin Mobile USA) \$1,001 -\$15,000 None None \$1,001 -None \$15,000 \$1,001 -\$15,000 Name Dennis A. Cardoza None Purses None **CAPITAL GAINS** Other: Race (reinvested) DIVIDENDS INTEREST \$5,001 - \$15,000 NONE \$15,001 - \$50,000 \$1,001 - \$2,500 NONE \$201 - \$1,000 PS 7 70 change Purchase/Ex Ш Page 5 of 8

### SCHEDULE IV - TRANSACTIONS

Name Dennis A. Cardoza

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Beau American - Racehorse	q	N/A	9-9-09	\$15,001 - \$50,000
	Conexant Systems Inc. New Stock	P	N/A	4-2-09	\$1,001 - \$15,000
	Dad's Little Man - Racehorse	P	N/A	5-9-09	\$15,001 - \$50,000
	Dad's Little Man - Racehorse (Sold in claiming transaction)	S	No	11-21-09	\$15,001 - \$50,000
	Flying Spirit - Racehorse	P	N/A	8-27-09	\$1,001 - \$15,000
	General Electric Co. Stock	ס	A/N	7-7-09	\$1,001 - \$15,000
	General Electric Co. Stock	S	Yes	8-17-09	\$1,001 - \$15,000
	Jade River - Racehorse	'' י	N/A	8-27-09	\$1,001 - \$15,000
	JDS Uniphase Corp. Stock	``	N/A	4-2-09	\$1,001 - \$15,000
	JDS Uniphase Corp. Stock	Ø	Yes	5-6-09	\$1,001 - \$15,000
	Pattysbuddy (Racehorse) - Purchased in claiming transaction	ס	N/A	12-10-09	\$1,001 - \$15,000

## SCHEDULE IV - TRANSACTIONS

Name Dennis A. Cardoza Page 7 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

000	out in only a point of an asset is sold, prease so indicate (i.e., partial sale ). See example below.	al sale"). See example be	NOW.		
SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Powershs QQQ Trust Ser 1 Stock	S	Yes	4-15-09	\$1,001 - \$15,000
	Powershs QQQ Trust Ser 1 Stock	P	N/A	3-10-09	\$1,001 - \$15,000
	Powershs QQQ Trust Ser 1 Stock	S(part)	Yes	3-27-09	\$1,001 - \$15,000
	Regrettable Romance (Racehorse) - Purchased in claiming transaction		N/A	4-9-09	\$15,001 - \$50,000
	Research in Motion Ltd F Stock	S	Yes	5-6-09	\$1,001 - \$15,000
	Research in Motion Ltd F Stock	P	N/A	3-27-09	\$1,001 - \$15,000
	Sprint Nextel Corp. Stock (Acquired in merger with Virgin Mobile USA)	m	N/A	11-25-09	\$1,001 - \$15,000
SP	SSGA Dow Jones Target 2025 Fund (held through Mass Mutual Financial Group - Spouse's 401k account opened in 2009)	ט	N/A	Monthly	\$1,001 - \$15,000
	Undenied (Racehorse) - Purchased in claiming transaction	י	N/A	3-28-09	\$1,001 - \$15,000
	Virgin Mobile USA CI A Stock (Exchanged in merger with Sprint Nextel Corp.)	E	A/N	11-25-09	\$1,001 - \$15,000
	Virgin Mobile USA CI A Stock (Exchanged for Sprint Nextel Corp. Stock in merger)	ָ ק	N/A	7-28-09	\$1,001 - \$15,000

#### SCHEDULE VI - GIFTS

Name Dennis A. Cardoza

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Report the source, a brief description, and the value of all gifts totaling more than \$335 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
XpressBet	Winnings	\$753.72
TVG.com	Winnings	\$698