| | Yes [No 🔇 | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct. | Exemptions— Have you excluded from this re because they meet all three tes Standards of Official Conduct. |
|--------------|--------------------------------|---|---|
| | Yes No 🕄 | Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Trusts- Details regarding "Qualified Bi trusts" need not be disclosed. |
| | SNO | EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION ANSWER EACH OF THESE QUESTIONS | EXCLUSION OF SPOUSE, DEPEN |
| | | schedule attached for each | If yes, complete and attach Schedule V. |
| | the appropriate | Yes No V | Did you, your spouse, or a dependent child have any reportable itability V. (more than \$10,000) during the reporting period? |
| | | if yes, complete and attach Schedule IX. | If yes, complete and attach Schedule IV. |
| | Yes No 🔀 | iell, or exchange any Plot you have any reportable agreement or arrangement with an outside in the reporting Yes No IX. entity? | Did you, your spouse, or dependent child purchase, sell, or exchange any IV. reportable asset in a transaction exceeding \$1,000 during the reporting |
| | | 1 | If yes, complete and attach Schedule III. |
| | Yes 🗸 No | Yes V No VIII | III. more than \$200 in the reporting period or hold any reportable asset worth more than \$100 at the exporting period or hold any reportable asset worth more than \$100 at the earl of the narlor? |
| - | * | | If yes, complete and attach Schedule II. |
| | yes V No | Yes No 🗸 VII. | you for a speech, appearance, or article in the reporting period? |
| | el or | 2 | If yes, complete and attach Schedule I. Did any individual or organization make a donation to charity in lieu of paying |
| | Yes No V | Yes 🕢 No 🔙 VI. | or more from any source in the reporting period? |
| | V | | Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 |
| | | PRELIMINARY INFORMATION ANSWER EACH OF THESE QUESTIONS | PRELIMINARY INFORMATION A |
| | b. | Amendment Termination | Type (x) Annual (May 15) |
| ٠ | more than 30 days | Termination Date: | |
| | be assessed against | District: 08 Employee | |
| | A \$200 penalty shall | State: AZ Officer Or Employing Office: A \$ | ✓ Member of the U.S. |
| • • | (Office Use Only) | (Daytime Telephone) | (Full Name) |
| | U.S. HOUSE OF FREEZESS FOR THE | 1-202-225-2542 | Gabrielle Giffords |
| PH 12: 28 | 2010 THE 14 PH 12: 28 | | CALENDAR TEAR 2009 FINANCIAL DISCLOSURE STATEMENT |
| OURCE CLATE: | 2010 ATTYE RESOURCE CLATE | REPRESENTATIVES FORM A Page 1 of 10 NO SINCE STATEMENT For use by Members, officers, and employees | UNITED STATES HOUSE OF |
| DELIVERED | DELIN | | |
| 2 | E > | | |

SCHEDULE I - EARNED INCOME

Name Gabrielle Giffords

Page 2 of 10

| during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source \$1,000. | List the source, type, and amount or earned income from any source (other than the filer's current employment by the U.S. Government, totaling according during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. |
|--|--|
| Source | ype Amount |
| U.S. Navy Spouse Salary | N/A |
| NASA Spouse Salary | \$2,000 |

| SCHEDU | SCHEDULE III - ASSETS AND "UNEARNED" INCOME | Name Gabrielle Giffords | Giffords | | Page 3 of 10 |
|---|---|---|---|--|---|
| | BLOCK A | вгоск в | BLOCK C | BLOCK D | BLOCKE |
| Assidentify (a) a fair marke and (b) any than \$200 ir land, provid mutual fund rethement p in which you investments in the accouplans that is not p its activities information. Exclude: You debt owed to parent or sill parent or solutions. | Asset and/or income Source identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other rethrement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's chitd, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. | Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market velue, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None." | Type of income Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year. | Amount of income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated. | Transaction indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year. |
| If you so cho that of your in the option | oose, you may indicate that an asset or income source is spouse (SP) or dependent child (DC) or is jointly held (JT), nai column on the far left. | | | | |
| | 117 West Armijo Tucson AZ | \$15,001 - \$50,000 | None | NONE | |
| SP | 2370 Calypso Lane, League City, Tx | \$100,001 - \$250,000 | RENT | \$15,001 - \$50,000 | |
| | 300 West 17th St Tucson AZ | \$100,001 - \$250,000 | RENT | \$5,001 - \$15,000 | |
| | AZ State Retirement (Elected Officials) American Century Equity-Income Fund | \$1,001 - \$15,000 | state retirement acct/DIVIDENDS/ | \$201~\$1,000 | |
| | AZ State Retirement (Elected Officials) American Funds Capital World Growth and Income(C is R4)(2) | \$1,001 - \$15,000 | state retirement acct/DIVIDENDS | \$201 ~ \$1,000 | <u>-</u> |

| SCHEDULE III - ASSETS AND "UNEARNED" INCOME | COME Name Gabrielle Giffords | Giffords | | Page 4 of 10 |
|---|------------------------------|---|-------------------|--------------|
| AZ State Retirement (Elected Officials) Vanguard Instititutional Index Fund | \$15,001 - \$50,000 | state retirement acct/DIVIDENDS | \$1,001 - \$2,500 | |
| AZ State Retirement Acct | \$1,001 - \$15,000 | State retirement acct-not self-directed | NONE | |
| Bridgestone Corp ADR | \$1,001 - \$15,000 | | \$1 - \$200 | |
| Coca Cola Company | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| Conoco Phillips | \$1,001 - \$15,000 | DIVIDENDS/DIVI | \$201 - \$1,000 | |
| Dentsply International Inc (new) | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| Dow Chemical Company | \$1,001 - \$15,000 DIVIDENDS | DIVIDENDS | \$1 - \$200 | 2 |
| Gap Inc DEL | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| ISHARES TR MSCI (Emerging Mkts) | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | ס |
| ISHARES TR S & P SMALL CAP 600 | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 | |
| Lockheed Martin Corp | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| Mexico Fund Inc | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | |
| Northern Money Market Fund | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 | S(part) |
| Northern Trust N.A. Checking account | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |

| SCHEDU | SCHEDULE III - ASSETS AND "UNEARNED" INCOME | Name Gabrielle Giffords | Giffords | | Page 5 of 10 |
|----------------|---|------------------------------|-----------|-----------------|--------------|
| | Powershares Exchange Traded FD TR Dynamic Large Cap Portfolio | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | - |
| , | Powershares Exchange Traded FD TR Intl Divid Achievers Port | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| | Powershares QQQ TR Unit Series 1 | \$50,001 - \$100,000 | DIVIDENDS | \$201 - \$1,000 | |
| | Roth IRA Northern Trust Income Equity Fund | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | Roth IRA Northern Trust Large Cap Value Fund | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | Roth IRA Northern Trust Select Equity Fund | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | Roth IRA Northern Trust Technology Fund | \$1,001 - \$15,000 | DIVIDENDS | \$0 | |
| | S & P 500 Depository Receipt | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 | ס |
| | S & P Mid Cap 400 Dep Rcpts Mid Cap SPDRS | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | |
| , | TEVA Pharmaceutical Inds Ltd ADR | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 | |
| | United Technologies Corp | \$1,001 - \$15,000 DIVIDENDS | DIVIDENDS | \$201 - \$1,000 | |
| q _S | USAA CD | \$15,001 - \$50,000 | INTEREST | \$201 - \$1,000 | |
| SP | USAA Checking Acct | \$15,001 - \$50,000 | INTEREST | \$1 - \$200 | |
| SP | USAA Federal Savings Bank | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | yuu** |

i

:

i

S

| CHED(| SCHEDULE III - ASSETS AND "UNEARNED" INCOME | Name Gabrielle Giffords | iffords | | Page 6 of 10 |
|-------|---|------------------------------|-----------|-----------------|--------------|
| .] | USAA Roth Cornerstone Strategy Fund | \$1,001 - \$15,000 DIVIDENDS | DIVIDENDS | \$1 - \$200 | |
| | Verizon Communications | \$1,001 - \$15,000 DIVIDENDS | DIVIDENDS | \$201 - \$1,000 | |

SCHEDULE IV - TRANSACTIONS

Name Gabrielle Giffords

Page 7 of 10

transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$2007 | Date | Amount of Transaction |
|------------------|---|------------------------|---|---------|-----------------------|
| | Ishares TR MSCI Emerging Mkts Index Fund (partial purchase) | q | N/A | 6-24-09 | \$1,001 - \$15,000 |
| | Ishares TR S &P Small Cap 600 Index Fund (partial purchase) | טר | N/A | 6-24-09 | \$1,001 - \$15,000 |
| : | Northern Money Market Fund (partial sale) | S(part) | No | 6-24-09 | \$15,001 - \$50,000 |
| | S & P 500 Depository Receipt (partial purchase) | • | N/A | 6-24-09 | \$1,001 - \$15,000 |

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Gabrielle Giffords

Page 8 of 10

sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the spouse or dependent child that is totally independent of his or her relationship to you.

| Source | Date(s) | Point of Departure DestinationPoint of Return | Lodging? (Y/N) | Food? (Y/N) | Was a Family Lodging? Food? Member Included? (Y/N) (Y/N) (Y/N) | Days not at sponsor's expense |
|---|---------------------------------|--|-------------------|----------------|--|-------------------------------|
| Scripps College-sponsor pd for airfare and travel expenses | May 17- day trip only | Tucson-Claremont CA-Tucson N | Z | ~ | Z | None |
| National Guard Assoc of AZ-sponsor pd for lodging and airfare (Spouse accompanied Member, paid own airfare, shared room.) | Sept 11-13 | Sept 11-13 DC-Nashville TN-DC | ~ | Z | 2 | |
| Renaissance Weekend- sponsor pd for lodging,registration, meals | Dec 28, 2009 -Jan 1, 2010 | Tuc-Charleston SC-Tuc | ~ | * | Z | None |

SCHEDULE VIII - POSITIONS

Name Gabrielle Giffords

Page 9 of 10

| Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I. |
|--|
| r, trustee of an organization, partner, proprietor, profit organization, any labor organization, or any armal, or political entities; positions solely of an |

| Position | Name of Organization |
|---|--|
| Member of the Board - resigned from board during 2009 | Tohono Chul Park |
| anager | Giffords Management Group - uncompensated, not active management |

| FOOTNOTES | ES | Name Gabrielle Giffords | Page 10 of 10 |
|-----------|--------------------|---|--|
| Number | Section / Schedule | Footnote | This note refers to the following item |
| | Schedule III | Property at 117 W. Armijo is a vacant lot which is also referred to it as 119 W. Armijo. Value is based on most current Pima | vacant lot at 117 W. Armijo, Tucson AZ |
| 2 | Schedule III | Property at 300 West 17th is a residential rental property which is also referred to as 691 S. 9th Avenue. Value is based on most current Pima County Assessor's valuation. | Rental proprty at 300 West 17th Tucson AZ |
| ω | Schedule II | Property at 2370 Calypso Lane is a residential rental property owned by Mark Kelly and his brother Scott Kelly. Values are | Rental property-2370 Calypso Lane, League |
| - | | reported at 50% which is Mark Kelly's share | City, 1x |