



Filing ID #10006439

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Ted Lieu  
**Status:** Member  
**State/District:** CA33

## FILING INFORMATION

**Filing Type:** New Filer Report  
**Filing Year:** 2014  
**Filing Date:** 07/6/2015

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset                                | Owner | Value of Asset        | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|--------------------------------------|-------|-----------------------|--------------------------|-------------------------------|-----------------------|
| 3148/Large Cap Growth Fund - A       |       | \$1,001 - \$15,000    | Capital Gains, Dividends | None                          | \$1 - \$200           |
| 3159/Equity Index Fund - A           |       | \$1,001 - \$15,000    | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| Allianzgi NFJ Dividend Value Fund    |       | \$1 - \$1,000         | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| American High-Income Trust-529A      |       | \$1,001 - \$15,000    | Capital Gains, Dividends | \$201 - \$1,000               | \$201 - \$1,000       |
| LOCATION: CA                         |       |                       |                          |                               |                       |
| Capital World Growth & Income        |       | \$1,001 - \$15,000    | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| Capital World Growth and Income-529A |       | \$1,001 - \$15,000    | Capital Gains, Dividends | \$201 - \$1,000               | \$201 - \$1,000       |
| LOCATION: CA                         |       |                       |                          |                               |                       |
| Condominium for Rent                 |       | \$100,001 - \$250,000 | Rent                     | \$2,501 - \$5,000             | \$5,001 - \$15,000    |

| Asset                                | Owner | Value of Asset      | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|--------------------------------------|-------|---------------------|--------------------------|-------------------------------|-----------------------|
| LOCATION: Fort Myers, FL, US         |       |                     |                          |                               |                       |
| Growth Fund of America-529A          |       | \$1,001 - \$15,000  | Capital Gains, Dividends | \$201 - \$1,000               | \$201 - \$1,000       |
| LOCATION: CA                         |       |                     |                          |                               |                       |
| Kinecta Account for Austin Lieu      |       | \$15,001 - \$50,000 | Dividends                | \$1 - \$200                   | \$1 - \$200           |
| Kinecta Account for Brennan Lieu     |       | \$15,001 - \$50,000 | Dividends                | \$1 - \$200                   | \$1 - \$200           |
| Nationwide High Yield Bond Fund      |       | \$1,001 - \$15,000  | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| PACE International Fixed Income Fund |       | \$1 - \$1,000       | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| PIMCO Convertible Fund Institutional |       | \$1,001 - \$15,000  | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| PIMCO Diversified Income Fund        |       | \$1,001 - \$15,000  | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| PIMCO Investment Grade Corporate     |       | \$1,001 - \$15,000  | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| The Growth Fund of America Class R5  |       | \$1,001 - \$15,000  | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| The Income Fund of America-529A      |       | \$1,001 - \$15,000  | Capital Gains, Dividends | \$201 - \$1,000               | \$201 - \$1,000       |
| LOCATION: CA                         |       |                     |                          |                               |                       |
| U.S. Government Securities Fund      |       | \$1,001 - \$15,000  | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| UBS All Asset                        |       | \$1 - \$1,000       | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| UBS Core Plus Bond Fund Class P      |       | \$1 - \$1,000       | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| UBS Global Sustainable Equity        |       | \$1 - \$1,000       | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |

| Asset                               | Owner | Value of Asset      | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|-------------------------------------|-------|---------------------|--------------------------|-------------------------------|-----------------------|
| UBS International Growth            |       | \$1 - \$1,000       | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| UBS International Value             |       | \$1 - \$1,000       | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| UBS Large Cap Growth                |       | \$1 - \$1,000       | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| UBS Large Cap Value                 |       | \$1 - \$1,000       | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| UBS S&P 500 Index                   |       | \$1,001 - \$15,000  | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| UBS SMID Cap Growth                 |       | \$1,001 - \$15,000  | Capital Gains, Dividends | \$1 - \$200                   | \$201 - \$1,000       |
| UBS SMID CAP Value                  |       | \$1,001 - \$15,000  | Capital Gains, Dividends | \$1 - \$200                   | \$201 - \$1,000       |
| UBS Stable Value                    |       | \$1,001 - \$15,000  | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| UBS Target Retirement 2030          |       | \$1,001 - \$15,000  | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| UBS Target Retirement 2040          |       | \$1 - \$1,000       | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| UBS Total Return                    |       | \$1 - \$1,000       | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| US Bank Account                     |       | \$15,001 - \$50,000 | Interest                 | \$1 - \$200                   | \$1 - \$200           |
| Vanguard Prime Money Market         |       | \$1 - \$1,000       | None                     |                               |                       |
| Vanguard Target Retirement 2035     |       | \$1,001 - \$15,000  | Capital Gains, Dividends | \$201 - \$1,000               | \$201 - \$1,000       |
| Washington Mutual Investors Fund    |       | \$1,001 - \$15,000  | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| Wells Fargo Account for Austin Lieu |       | \$15,001 - \$50,000 | Interest                 | \$1 - \$200                   | \$1 - \$200           |

| Asset                                | Owner | Value of Asset        | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--------------------------------------|-------|-----------------------|----------------|-------------------------------|-----------------------|
| Wells Fargo Account for Brennan Lieu |       | \$15,001 - \$50,000   | Interest       | \$1 - \$200                   | \$1 - \$200           |
| Wells Fargo PMA Bank Accounts        |       | \$100,001 - \$250,000 | Interest       | \$1 - \$200                   | \$1 - \$200           |

### SCHEDULE C: EARNED INCOME

| Source                      | Type          | Amount Current Year to Filing | Amount Preceding Year |
|-----------------------------|---------------|-------------------------------|-----------------------|
| State of California         | Salary        | N/A                           | \$99,000              |
| US House of Representatives | Salary        | \$85,000                      | N/A                   |
| Harriton Investment Corp    | Spouse Salary | N/A                           | N/A                   |
| US Air Force                | Salary        | \$3,000                       | \$7,100               |

### SCHEDULE D: LIABILITIES

None disclosed.

### SCHEDULE E: POSITIONS

None disclosed.

### SCHEDULE F: AGREEMENTS

None disclosed.

### SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Ted Lieu , 07/6/2015