

# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Ms. Barbara Lee

Status: Member State/District: CA13

#### FILING INFORMATION

**Filing Type:** Amendment Report

Filing Year: 2013

**Filing Date:** 06/23/2014

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ Sun America Secure Value Account		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	V
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Dynamic Allocation Portfolio		\$100,001 - \$250,000	None		<b>∀</b>
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Dynamic Strategy Portfolio		\$100,001 - \$250,000	None		<b>V</b>
ARC Healthcare Trust, Inc.		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	П
Bank of America Checking Account		\$1,001 - \$15,000	None		
Book contract with Rowman & Littlefild Publishers, Inc. (Approved by Committee)  Description: Book contract		Undetermined	None		П
Charles Schwab Money Market Account		\$1,001 - \$15,000	Interest	\$201 - \$1,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
East West Bank Accounts, Oakland, CA		\$15,001 - \$50,000	Interest	\$1 - \$200	П
Federated Capital Reserves Money Market Account		\$1,001 - \$15,000	Interest	\$1 - \$200	П
Fidelity SEP IRA $\Rightarrow$ Delaware SMID Cap Growth Class C Fund		\$1 - \$1,000	Dividends	\$1 - \$200	П
Fidelity SEP IRA ⇒ Fidelity Freedom 2020 Fund		\$1 - \$1,000	Dividends	\$1 - \$200	П
Fidelity SEP IRA ⇒ Mass Investors Growth Fund		\$1 - \$1,000	Dividends	\$1 - \$200	
Franklin California Tax-Free Income Fund		None	Capital Gains	\$201 - \$1,000	<b>▽</b>
Franklin Templeton Franklin Money Fund Class A		\$1,001 - \$15,000	None		<b>∀</b>
Met Life Variable Annuity Fund ⇒ Met Life Growth Strategy Portfolio		\$15,001 - \$50,000	None		
Met Life Variable Annuity Fund ⇒ Met/Franklin Low Duration Total Return Fund		\$50,001 - \$100,000	None		<b>▽</b>
Met Life Variable Annuity Fund ⇒ PIMCO Total Return Fund (Class B)		None	None		<b>▽</b>
Met Life Variable Annuity Fund ⇒ RCM Technology Portfolio Fund		None	None		<b>▽</b>
Met Life Variable Annuity Fund ⇒ T. Rowe Price Large Cap Growth Portfolio		\$15,001 - \$50,000	None		<b>▽</b>
Met Life Variable Annuity Fund ⇒ Vanguard Inflation Protected Securities Fund		None	Capital Gains, Dividends	\$1 - \$200	<b>▽</b>

<sup>\*</sup> Asset class details available at the bottom of this form.

### SCHEDULE B: TRANSACTIONS

Asset	Owner Date		Гх. Гуре	Amount	Cap. Gains > \$200?
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Dynamic Allocation Portfolio	08/1,	/2013 F		\$100,001 - \$250,000	
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Dynamic Strategy Portfolio	08/1	/2013 F		\$100,001 - \$250,000	
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Secure Value Account	08/1	/2013 F		\$50,001 - \$100,000	
Franklin California Tax-Free Income Fund  Description: Sold off monthly through 6/2013.	Mon	thly S	S	\$1,001 - \$15,000	<b>▽</b>
Franklin Templeton Franklin Money Fund Class A	07/2	/2013 F		\$250,001 - \$500,000	
Franklin Templeton Franklin Money Fund Class A	08/1	/2013 E		\$250,001 - \$500,000	
Met Life Variable Annuity Fund ⇒ Met/Franklin Low Duration Total Return Fund	06/1	7/2013 F		\$50,001 - \$100,000	
Met Life Variable Annuity Fund ⇒ PIMCO Total Return Portfolio Fund (Class B)	06/1	7/2013 S		\$50,001 - \$100,000	П
Met Life Variable Annuity Fund ⇒ RCM Technology Portfolio Fund	04/2	6/2013 S	S	\$15,001 - \$50,000	П
Met Life Variable Annuity Fund ⇒ T. Rowe Price Large Cap Growth Portfolio	04/2	6/2013 F	2	\$15,001 - \$50,000	
Met Life Variable Annuity Fund ⇒ Vanguard Inflation Protected Securities Fund  Description: Sold off monthly through 6/2013.	Mont	thly S	S	\$1,001 - \$15,000	П

 $<sup>\</sup>ensuremath{^*}$  Asset class details available at the bottom of this form.

## SCHEDULE C: EARNED INCOME

None disclosed.

# SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Туре	Amount of Liability
	Credit Union Mortgage Association, Fairfax, VA	January 2011	Mortgage on DC Condo Residence	\$250,001 - \$500,000
	American Express	December 2013	Credit card	\$10,000 - \$15,000
	Wright-Patman Congressional Federal Credit Union, DC	June 2012	Loan and line of credit	\$15,001 - \$50,000
	Wells Fargo Bank	July 2012	Mortgage on Palm Desert, CA Condo Residence	\$100,001 - \$250,000

### SCHEDULE E: Positions

Position	Name of Organization
Member, Congressional Advisory Council	The Faith and Politics Institute (Nonprofit Public Benefit Corporation)
Board Member	WILL/WAND (Nonprofit Public Benefit Corporation)
Board Member	Project Vote Smart (Nonprofit Public Benefit Corporation)
Trustee	Ghana Children's Fund (Nonprofit Public Benefit Corporation, a Project of the East Bay Community Fou
Board Member	ProgressiveCaucus.org (Nonprofit Public Benefit Corporation)
Member, Honorary Board of Advisors	National Student Leadership Foundation (Nonprofit Public Benefit Corporation)

## SCHEDULE F: AGREEMENTS

None disclosed.

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions			
Source	Start Date	End Date	Itinerary	Lodging?	Food?	Family?		
Kingdom of Morocco	12/28/2013	01/4/2014	San Francisco, CA - Rabat, Morocco - Marrakesh, Morocco - Casablanca, Morocco - San Frnacisco, CA	<u> </u>	<b>~</b>	П		
COMMENTS: MECEA trip								

#### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### SCHEDULE A AND B ASSET CLASS DETAILS

- AIG Polaris Choice IV Variable Annuity (formerly SunAmerica)
- Fidelity SEP IRA LOCATION: US
- o Met Life Variable Annuity Fund

#### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes No

#### **COMMENTS**

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Ms. Barbara Lee, 06/23/2014