

**UNITED STATES HOUSE OF REPRESENTATIVES**  
2013 FINANCIAL DISCLOSURE STATEMENT

Form A  
For Use by Members, Officers, and Employees

LEGISLATIVE RESOURCE CENTER

2014 JUN 13 PM 4:24

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

Name: THOMAS A. MARINO Daytime Telephone: 202-225-3731

FILER STATUS	<input checked="" type="checkbox"/> Member of or Candidate for U.S. House of Representatives	State: <u>PA</u> District: <u>10</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____
REPORT TYPE	<input checked="" type="checkbox"/> 2013 Annual (Due: May 15, 2014)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination Date: _____	

**PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS**

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<b>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</b>	

**IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Page 4 of 5

**Use additional sheets if more space is required**

Name:	Page <u>3</u> of <u>5</u>
-------	---------------------------

**Use additional sheets if more space is required.**

## Page 4 of 5

\*Column K is for liabilities held solely by your spouse or dependent child.

[illegible]

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of a honorary nature.

[illegible]

Name: THOMAS A MARINO

Page 5 of 5[illegible]



# Contract Information Report: Contract # **18654056372**

# **ATTACHMENT 1**

## Client Information

**Thomas A Marino**

**COGAN STATION PA 17728**

Insured

Insured Date of Birth

Insured Designation

Thomas A Marino

08/13/1952

MALE, STANDARD NON TOBACCO

## General Information

Product	INCENTIVE LIFE	<u>Beneficiary and Other Names</u>	Beneficiary	EDITH MARINO
Issuing Company	AXA EQUITABLE LIFE INSURANCE COMPANY			
Register Date	10/11/2002			
Status	PREMIUM PAYING POLICY			

## Death Benefit Information

Death Benefit Option	A-EXCLUDES ACCT VALUE
Current Face Amount of Base Policy	\$750,000.00
Current Death Benefit of Base Policy	\$750,000.00
Less Total Loan Balance	\$51,371.63
Net Death Benefit	\$698,628.37

## Policy Values

Values as of	05/14/2014
Policy Account Value	\$134,068.24
Surrender Charge	\$3,635.14
Gross Cash Surrender Value	\$130,433.10
Total Loan Balance	\$51,371.63
Net Cash Surrender Value	\$79,061.47

Declared GIO Interest Rate	3.000%
----------------------------	--------

## Premium Information

Billing Amount	\$950.00
Mode	MONTHLY
Billing Type	DIRECT PAY
Premium Billed to Date	06/11/2014
Last Premium Amount	\$950.00
Last Premium Paid Date	05/05/2014
Total Gross Premiums Paid	\$155,912.17
Total Gross Premiums Paid YTD	\$4,750.00
Total Net Partial Withdrawals	\$0.00
Last Monthly Deduction Amount	\$439.28

## Loan Values

Values as of	05/14/2014
Loan Interest Rate	4.000
Interest Rate Credited	3.000
Loan Principal	\$50,193.25
Accrued Loan Interest	\$1,178.38
Total Loan Balance	\$51,371.63



# Contract Information Report: Contract # 15564058372

## Features

Rider Name

LIVING BENEFIT RIDER

Rider Amount/Units NFO Election

## Modified Endowment Information

Modified Endowment Status

NOT A MEC

Payment amount that will not result in a modified endowment contract

N/A

7-Pay Start Date

N/A

7-Pay Premium

N/A

7-Pay Limit

N/A

Total paid under the 7-Pay Limit

N/A

## Guideline Premium Information

Guideline Single Premium \$213,644.78

Payment amount that will not exceed the Guideline Premium Limit

\$74,254.39

Current Guideline Annual Premium

\$19,190.36

Guideline Limit

\$230,166.56

Prior Years Guideline Annual Premium

\$210,976.20

Total Paid Under The Guideline Limit

\$155,912.17

## Guarantee Provision

Provision

NO LAPSE GUARANTEE

DEATH BENEFIT GUARANTEE

## Investment Option Values as of 05/14/2014

Investment Option	Account Value	Units	Unit Value/ Interest Rate	Current Premium Allocation %	Deduction Allocation %
EQ/ALLIANCEBERNSTEIN SMALL CAP GROWTH	\$9,871.42	39.254458	251.472623	10.000	10.000
EQ/CAPITAL GUARDIAN RESEARCH	\$9,010.72	45.215101	199.285632	10.000	10.000
EQ/COMMON STOCK INDEX	\$13,224.78	78.775690	167.878890	15.000	15.000
EQ/LARGE CAP VALUE PLUS	\$16,009.97	86.558279	184.961734	20.000	20.000
EQ/MID CAP VALUE PLUS	\$18,552.99	69.720747	266.104304	20.000	20.000
GUARANTEED INTEREST LOAN AMOUNT	\$50,193.25				
MULTIMANAGER CORE BOND	\$16,323.53	101.975246	160.073426	25.000	25.000
<b>Total Account Value:</b>	<b>\$134,068.24</b>				

## Services

DCA Election: NOT ELECTED

Asset Rebalancing Election: NOT ELECTED

## Your Financial Professional

BRIAN KENNEDY

Please refer to the policy and prospectus, if applicable, for specific details of policy provisions and terminology. The information contained within this report reflects policy values as of the dates indicated. It is subject to updates and corrections. Your AXA Equitable client statements are the official record of your policy. Therefore, if there are any discrepancies between this report and your client statements, you should rely on your client statements and contact your financial professional with any questions.

Contract issued by AXA Equitable Life Insurance Company, 1290 Avenue of the Americas, New York, NY 10104-2702

Retirement Account Strategic Asset Management II

Statement Period  
December 1 to December 31, 2013

PTC CUST ROLLOVER IRA FBO THOMAS A. MARINO	7989-2881
Investment Objective	Growth

PTC CUST ROLLOVER IRA FBO  
THOMAS A. MARINO  
COGAN STATION PA 17728

Total Value of Your Account as of December 31, 2013 **\$45,469.72**

INVESTMENTS HELD AT LPL FINANCIAL

Previous Year-End Value as of December 31, 2012	\$36,769.82
Beginning Value as of December 1, 2013	\$44,411.21
Additions	—
Withdrawals	—
Dividends, Interest and Capital Gains	1,983.26
Other Account Activity <sup>1</sup>	135.78
Increase/decrease in Market Value <sup>2</sup>	-1,060.53
Ending Value as of December 31, 2013	\$45,469.72

	Year-End Value December 31, 2012	Value on November 30, 2013	Value on December 31, 2013
TOTAL VALUE OF YOUR ACCOUNT	\$36,769.82	\$44,411.21	\$45,469.72

Your Financial Professional:  
Brian Kennedy (610)660-4427  
40 Monument Road  
Bala Cynwyd, PA 19004

LPL Financial  
Member FINRA/SIPC  
9785 Towne Centre Drive, San Diego, CA 92121-1968  
75 State Street, 24th Floor, Boston, MA 02109-1827  
AXA Advisors, LLC  
Registered Investment Advisor  
1250 Avenue of the Americas  
New York, NY 10104-2702



<sup>1</sup> Includes fees, expenses and other activity during the Statement period. See "Account Activity".  
<sup>2</sup> Increase/decrease in Market Value reflects the impact of changes in the value of securities held in your LPL Financial account, as well as the impact of any transfers of securities into or out of your account during the statement period.



# Retirement Account Strategic Asset Management II

Statement Period  
December 1 to December 31, 2013

## ACCOUNT INVESTMENT SUMMARY as of December 31, 2013

Investment Type	Amount	Percent
Cash and Cash Equivalents	\$54.87	0.12%
Equities and Options	—	—
Fixed Income	—	—
Mutual Funds	45,414.85	99.88%
ETFs and Closed-End Funds	—	—
Total	\$45,469.72	100.00%

## EARNINGS SUMMARY as of December 31, 2013

Estimated Annual Income	\$671.00
-------------------------	----------

**AXA ADVISORS**

Retirement Account Strategic Asset Management II

**COPY**

Page 2 of 12

## Account Holdings as of December 31, 2013

## CASH AND CASH EQUIVALENTS

Description	Interest Paid in December <sup>1</sup>	Blended Interest Rate <sup>1</sup>	Current Balance
Insured Cash Account <sup>2</sup>			
Wells Fargo Bank NA			\$54.87
Total Insured Cash Account	—	N/A	54.87
<b>TOTAL CASH AND CASH EQUIVALENTS</b>			<b>\$54.87</b>

## MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS

Date Acquired	Description/SecurityID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Costs <sup>3</sup>	Unrealized Gain or Loss	Estimated Annual Income <sup>3</sup>	Estimated 30-Day Yield <sup>3</sup>
10/16/07*	ALLIANCEBERNSTEIN R INTL VALUE ADVISOR CL ABVX	372.27	\$13.69	\$5,096.37	\$22.96	\$8,547.58 7,350.00	-\$3,451.21	\$143	2.90%
10/16/07*	CAPITAL WORLD R GROWTH & INCOME CL F2 WGFX	196.643	45.29	8,905.96	45.99	9,044.13 7,350.00	-138.17	178	2.17%
10/16/07*	GROWTH FUND OF AMERICA R CL F2 GFFX	585.408	42.95	25,143.27	37.31	21,841.79 18,200.00	3,301.48	222	0.90%

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS continue on page 4

- <sup>1</sup> Interest is paid on daily balances at a blended rate reflecting the weighted average rate during the statement month.
- <sup>2</sup> Insured Cash Accounts are FDIC Insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes.
- <sup>3</sup> Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
- <sup>a</sup> Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.


**AXA ADVISORS**

 Questions? Contact Brian Kennedy  
 (610)660-4427

Account Holdings / Retirement Account Strategic Asset Management

Page 3 of 12

COPY

## Account Holdings as of December 31, 2013

## MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS (continued)

Date Acquired	Description/SecurityID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost <sup>3</sup>	Unrealized Gain or Loss	Estimated Annual Income <sup>2</sup>	Estimated 30-Day Yield <sup>2</sup>
10/16/07	ISHARES MSCI EMERGING MARKETS ETF EEM	150	41.793	6,269.25	51.74	7,761.50	-1,492.25	128	2.05%
TOTAL MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS				\$45,414.85		\$47,195.00 \$40,661.50	-\$1,780.15	\$671	
* Date of Earliest Acquisition R Dividends and/or capital gains distributed by this security will be reinvested.									
Value of Your LPL Financial Account				Market Value		Cost Basis/ Purchase Cost <sup>4</sup>	Unrealized Gain or Loss	Estimated Annual Income	
				\$45,469.72		\$47,249.87 \$40,716.37	-\$1,780.15	\$671	

<sup>3</sup> Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.

<sup>2</sup> Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

<sup>4</sup> Purchase Cost equals Cost Basis less any reinvested dividends, interest, fixed income and Alternative Investments

AKA AXA ADVISORS

Account Holdings / Retirement Account Strategic Asset Management

Page 4 of 12

COPY

## Account Activity as of December 31, 2013

## ACCOUNT ACTIVITY SUMMARY

	Period Ending November 30, 2013	Period Ending December 31, 2013	Year-to-Date
Securities Purchased	—	—	—
Securities Sold	—	—	—
Additions to Your Account	217.75	—	217.75
Withdrawals from Your Account	—	—	—
Dividends, Interest and Capital Gains	—	1,983.26	2,221.68
Reinvestments	—	-1,928.39	-2,090.78
Net Change in Insured Cash Account Balance <sup>1</sup>	—	54.87	54.87
Net Change in Money Market Fund Balance	—	—	-99.07
Fees and Expenses <sup>2</sup>	—	135.78	-392.85

## DIVIDENDS, INTEREST AND CAPITAL GAINS

Date	Activity Type	Description/SecurityID	Amount
12/16/13	Cash Dividend	CAPITAL WORLD GROWTH & INCOME CL F2 12/16/13 WGFX	\$53.85
12/19/13	Long-Term Capital Gain	GROWTH FUND OF AMERICA CL F2 12/19/13 GFFX	1,501.51
12/19/13	Cash Dividend	GROWTH FUND OF AMERICA CL F2 12/19/13 GFFX	132.88

DIVIDENDS, INTEREST AND CAPITAL GAINS continue on page 6

- <sup>1</sup> Insured Cash Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes.
- <sup>2</sup> Fees and expenses include account, custodial and advisory fees assessed during the statement period.

AXA ADVISORS

Questions? Contact Brian Kennedy  
(610)660-4427

Account Activity / Retirement Account Strategic Asset Management

Page 5 of 12

COPY

Account Activity as of December 31, 2013

**DIVIDENDS, INTEREST AND CAPITAL GAINS (continued)**

Date	Activity Type	Description/SecurityID	Amount
12/26/13	Cash Dividend	ALLIANCEBERNSTEIN INTL VALUE ADVISOR CL 122613 354,25400 ABVX	240.15
12/30/13	Cash Dividend	ISHARES MSCI EMERGING MARKETS ETF 123013 150 EEM	54.87
<b>TOTAL DIVIDENDS, INTEREST AND CAPITAL GAINS</b>			<b>\$1,983.26</b>

**REINVESTMENTS**

Date	Activity Type	Description/SecurityID	Quantity	Amount
12/16/13	Dividend Reinvest	CAPITAL WORLD GROWTH & INCOME CL F2 REINVEST AT 43.500 WGFX	1.238	-\$53.85
12/19/13	LT Capital Gain Reinvest	GROWTH FUND OF AMERICA CL F2 REINVEST AT 41.900 GFPX	35.836	-1,501.51

REINVESTMENTS continue on page 7

**AXA ADVISORS**

**COPY**

Account Activity as of December 31, 2013

REINVESTMENTS (continued)

Date	Activity Type	Description/SecurityID	Quantity	Amount
12/19/13	Dividend Reinvest	GROWTH FUND OF AMERICA CL F2 REINVEST AT 41.900 GFFX	3.171	-132.88
12/26/13	Dividend Reinvest	ALLANCEBERNSTEIN INTL VALUE ADVISOR CL REINVEST AT 13.330 ABIYX	18.016	-240.15
TOTAL REINVESTMENTS				-\$1,928.39

NET INSURED CASH ACCOUNT ACTIVITY<sup>3</sup>

Date	Activity Type	Description	Amount	Balance
12/01/13	Opening Balance			—
12/31/13	Deposit	Insured Cash Account	54.87	54.87
12/31/13	Closing Balance			54.87

NET CHANGE IN INSURED CASH ACCOUNT BALANCE

\$54.87

FEES AND EXPENSES

Date	Activity Type	Description/SecurityID	Amount
12/26/13	Charge	REV MISC DEBIT	\$135.78
TOTAL FEES AND EXPENSES			\$135.78

<sup>3</sup> Insured Cash Account transactions reflect the net of all transfers of free cash balances to and from your insured cash account on the date referenced.



Questions? Contact Brian Kennedy  
(610)660-4427

Account Activity / Retirement Account Strategic Asset Management

Page 7 of 12

COPY

Account Detail as of December 31, 2013

RETIREMENT CONTRIBUTIONS SUMMARY

	Contributions Applied to	
	Current Year 2013	Year-to-Date
Contributions	December	Year-to-Date
	—	\$217.75
<b>TOTAL CONTRIBUTIONS</b>	—	<b>\$217.75</b>

DIVIDEND AND INTEREST SUMMARY

Description	November 30, 2013	December 31, 2013	Year-to-Date
Mutual Funds	—	\$481.75	\$720.17
<b>TOTAL DIVIDENDS AND INTEREST</b>	—	<b>\$481.75</b>	<b>\$720.17</b>

CAPITAL GAINS AND OTHER DISTRIBUTION SUMMARY

Description	November 30, 2013	December 31, 2013	Year-to-Date
Long Term Capital Gains	—	\$1,501.51	\$1,501.51
<b>TOTAL CAPITAL GAINS AND OTHER DISTRIBUTIONS</b>	—	<b>\$1,501.51</b>	<b>\$1,501.51</b>

AXA AXA ADVISORS

Account Detail / Retirement Account Strategic Asset Management

COPY

Page 8 of 12