



Filing ID #10013334

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Rick Larsen  
**Status:** Member  
**State/District:** WA02

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2015  
**Filing Date:** 07/7/2016

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
AFI Cash Reserve Certificate	JT	\$1,001 - \$15,000	Interest	\$1 - \$200 <input type="checkbox"/>
Ameriprise Financial One Account/CNL Healthcare Inc (widely diversified property investment fund)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
Ameriprise One Financial Account/Corporate Property Associates 18 Global Inc Cl A (widely diversifie	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
Ameriprise One Financial Account/Wells Fargo Wealthbuilder Growth Balanced	JT	\$50,001 - \$100,000	Dividends	\$1 - \$200 <input type="checkbox"/>
Ameriprise One Financial Account/Western Asset Intermediate Term Municipal Cl C	JT	\$1,001 - \$15,000	None	<input type="checkbox"/>
DC1/John Hancock College	DC	\$15,001 - \$50,000	None	<input checked="" type="checkbox"/>
LOCATION: AK DESCRIPTION: Ameriprise took over direct management of the fund from John Hancock and renamed the fund.				
DC2/John Hancock College	DC	\$1,001 - \$15,000	None	<input checked="" type="checkbox"/>
LOCATION: AK				

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
DESCRIPTION: Ameriprise took over direct management from John Hancock and renamed the fund				
Federal Congressional Credit Union/Checking	JT	\$1,001 - \$15,000	Interest	\$1 - \$200 <input type="checkbox"/>
Richard R Larsen IRA/American Insured Money Market (AIMMA)		\$15,001 - \$50,000	None	<input checked="" type="checkbox"/>
DESCRIPTION: The Richard R Larsen IRA/Industrial Income Trust Inc liquidated at end of 2015. Proceeds were place in this account within the IRA and used in 2016 to purchase a similar REIT to be held in the IRA				
Richard R Larsen IRA/Franklin Square Investment Corporation II		\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: FSIC is a non-traded Business Development Corporation fund				
Richard R Larsen IRA/Wells Fargo WealthBuilder Growth Allocation		\$1,001 - \$15,000	None	<input type="checkbox"/>
Richard R Larsen Roth IRA/Corporate Property Associates 17 Global Inc (widely diversified property i		\$1,001 - \$15,000	None	<input type="checkbox"/>
Richard R Larsen Roth IRA/Wells Fargo Wealthbuilder Equity		\$1,001 - \$15,000	None	<input type="checkbox"/>
Riversource indexed universal life insurance/S&P 500 Indexed Account		\$50,001 - \$100,000	None	<input checked="" type="checkbox"/>
DESCRIPTION: In May 2015, moved life insurance policy from one variable life policy to a term policy and indexed universal life policy. Change reflected in Schedule B				
Riversource RAVA 5 Advantage Variable Annuity/VP Moderate Portfolio Class 2		\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: Annuity that holds mutual fund. Retirement asset				
Riversource term life insurance		None	None	<input checked="" type="checkbox"/>
DESCRIPTION: In May 2015, moved life insurance policy from one variable life policy to a term policy and indexed universal life policy. Change reflected in Schedule B. This policy has no investment value, according to Ameriprise				
Tiia Ingrid Karlen IRA/Franklin Square Investment Corporation II	SP	\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: FSIC is a non-traded Business Development Corporation fund				
Tiia Ingrid Karlen IRA/Wells Fargo Wealth Builder Growth Allocation	SP	\$1,001 - \$15,000	None	<input type="checkbox"/>
Tiia Ingrid Karlen TSCA/Columbia Contrarian Core Fund Class A	SP	\$1,001 - \$15,000	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DC1/John Hancock College LOCATION: AK DESCRIPTION: Ameriprise took over management of fund from John Hancock and changed the name. Reporting as an exchange to reflect one fund exchanged for another	DC	02/20/2015	E	\$15,001 - \$50,000	
DC1/John Hancock Freedom Fund LOCATION: AK DESCRIPTION: Ameriprise took over management of fund from John Hancock and changed the name. Reporting as an exchange to reflect one fund exchanged for another	DC	02/20/2015	E	\$15,001 - \$50,000	
DC2/John Hancock College LOCATION: AK DESCRIPTION: Ameriprise took over management of fund from John Hancock and changed the name. Reporting as an exchange to reflect one fund exchanged for another	DC	02/20/2015	E	\$1,001 - \$15,000	
DC2/John Hancock Freedom Fund LOCATION: AK DESCRIPTION: Ameriprise took over management of fund from John Hancock and changed the name. Reporting as an exchange to reflect one fund exchanged for another	DC	02/20/2015	E	\$1,001 - \$15,000	
Richard R Larsen IRA/Ameriprise Insured Money Market DESCRIPTION: The Richard R Larsen IRA/Industrial Income Trust Inc liquidated at end of 2015. Proceeds were place in this account within the IRA and used in 2016 to purchase a similar REIT to be held in the IRA		12/30/2015	P	\$15,001 - \$50,000	
Riversource indexed universal life insurance/S&P 500 Indexed Account DESCRIPTION: In May 2015, moved life insurance policy from one variable life policy to a term policy and indexed universal life policy.		05/15/2015	E	\$50,001 - \$100,000	
Riversource indexed universal life insurance/S&P 500 Indexed Account DESCRIPTION: Monthly premium for one of two new life insurance policies		Monthly	P	\$350.00	
Riversource term life insurance DESCRIPTION: In May 2015, moved life insurance policy from one variable life policy to a term policy and indexed universal life policy. The accumulated investment value was placed into the Indexed life insurance policy. This policy has no investment value, according to Ameriprise.		05/15/2015	E	\$ .00	
Riversource term life insurance		Monthly	P	\$60.59	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: monthly premium for one of two new life insurance policies					
Riversource Variable Life Insurance/VP Moderate Aggressive Cl4		05/15/2015	E	\$50,001 - \$100,000	
DESCRIPTION: In May 2015, moved life insurance policy from one variable life policy to a term policy and indexed universal life policy.					
Riversource Variable Life Insurance/VP Moderate Aggressive Cl4		Monthly	P	\$420.00	
DESCRIPTION: Premium amount paid monthly through May 2015 only until exchange with new policies					
The Richard R Larsen IRA/Industrial Income Trust In		12/30/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: The Richard R Larsen IRA/Industrial Income Trust Inc liquidated at end of 2015. Proceeds were place in a account within the IRA and used in 2016 to purchase a similar REIT to be held in the IRA					

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Johns Hopkins Suburban Hospital	Spouse	\$2,290.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	BAC Loans	December 2011	Mortgage for secondary residence	\$250,001 - \$500,000
JT	Everbank	March 2012	Mortgage for primary residence	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Aspen Institute	02/17/2015	02/22/2015	Washington, DC - Panama City, Panama - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Rick Larsen , 07/7/2016