		HAND
UNITED STATES HOUSE OF REPRESENTATIVES	/ES Form A	- n - 2 - 3
		MA 2014 AUG 13 PM 4: 03
Name: Nick Joe Rahall, II	Daytime Telephone: 202-225-3452	U.S. HOUSE OF REPRESENTATIVES (Office Use Only)
FILER X Member of or Candidate for State: STATUS Member of Representatives District:	Officer or Employing Office Employee	Office:
REPORT X 2013 Annual (Due: May 15, 2014)	Amendment	Termination Date:
PRELIMINARY INFORMATION - ANSWER EACH OF T	THESE QUESTIONS	
A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period? 	Yes X No F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	agreement or arrangement with an Yes No X
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes X No G. Did you, your spouse, or your dependence of the standard of the reporting period?	ur dependent child receive any than \$350 in value from a single Yes No X
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes No X H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	ur dependent child receive any rents for travel totaling more than Yes No X
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes X No iieu of paying you for a speech, appear reporting period?	tion make a donation to charity in appearance, or article during the Yes No
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	E CORRE	SPONDING SCHEDULE IF YOU ANSWER "YES"
IPO, EXCLUSION OF SPOUSE, DEPENDENT, C	OR TRUST INFORMATION - ANSWER EACH	CH OF THESE QUESTIONS
IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? the Committee on Ethics for further guidance.	If you answered	'yes* to this question, please contact Yes No X
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on this report details of such a trust that benefits you, your spouse, or dependent child?	Ethics and certain other "excepted trusts" need not be	disclosed. Have you excluded from Yes No X
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	liabilities of a spouse or depende	nt child because they meet all three Yes No X

SCHEDULE A - ASSETS & "UNEARNED INCOME"

	brother	Beckley, WV - 1/2 with	Investment Bldg & Land,	EGR Industries, Inc	ABC Hedge Fund X	Examples:	SP Mega Corp, Stock EJF	Excepted investment Fund, please check the "zir-box. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left. For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.	Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thirth Savings Plan. If you have a privately-traded fund that is, an	For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.	For rental and other real property held for investment provide a complete address or description, e.g., "rental property," and a city and state.	For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest bearing accounts.	(do not use only ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in. The secount flar exceeds the recovering the second the properties of the second	Provide complete names of stocks and mutual fund	Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income that has sear	Asset and/or income Source	BLOCK
				×	X 200	indefinite		None \$1.\$5,000.1 + 0.5 \$1.001-\$15,000 \$150,001-\$10,000 \$50,001-\$100,000 \$100,001-\$250,000 \$1000,001-\$50,000,000 \$25,000,001-\$50,000,000 \$25,000,001-\$50,000,000 \$25,000,001-\$50,000,000 \$25,000,001-\$50,000,000 \$25,000,001-\$50,000,000 \$25,000,001-\$50,000,000 \$25,000,001-\$50,000,000							ir indicate value of asset at close of the reporting period. If you use a se valuation method other than fair market value, please specify the method by used. Jused. If an asset was sold during the reporting period and is included only because it generated income, the value should be 'None'.	Value of Asset	алоска
				X T	Partnership Income		X	NOME DIVIDENDS RENT INTEREST EXPITAL GAINS EXCEPTED/BLIND TRUST TAX DEFERRED Other Type of Income (Specify: e.g., Partnership Income	or Farm Income)					if the asset generated no income during the reportin period.	Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in byselfs accounts. These, "None".	Type of income	NICK JOE Kanali, II
							×	\$1,5200 \$4ct \$1,800-12 \$1,001-\$2,500 \$2,601-\$16,000 \$15,001-\$15,000 \$15,001-\$100,000 \$15,001-\$100,000 \$1,000,001-\$5,000,000 Over \$5,000,000 Spouse/IOC Asset with Income over	# \$1,000,000°				'Column XII is for assets held by your spouse or dependent child in which you have no interest.	generated.	For assets for which you checked Tax-Deferred" in B may check the "None" column. For all other assets is category of income by checking the appropriate Dividends, interest, and capital gains, even if I must be disclosed as income for assets held accounts.	Amount of Income	PLOCKS
							S(part)	P. S. S(part), or E				Leave this column blank if there are no transactions that exceeded	If only a portion of an asset was sold, please indicate as follows: (S (part)).	in the reporting period.	indicate if the asset had purchases (P), sales (S), or exceeding \$1.00)	Transaction	BLOCKE

SCHEDULE A - ASSETS & "UNEARNED INCOME"

		Name: Nick Joe Rahall, II	Page <u>3</u> of	f 12
ВГОСК А	вгоск в	BLOCK C	вгоск р	BLOCK E
Asset and/or Income Source	Value of Asset	Type of Income	Amount of Income	Transaction
None	\$1,001-\$15,000 C C S\$0,001-\$50,000 C C S\$0,001-\$50,000 C C C C S\$0,001-\$50,000 C C C C C C C C C C C C C C C C C	DIVIDENDS REPT INTEREST CAPITAL CARRIE EXCEPTED/BLIND TRUST [AX OPTIMIED] Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	\$1-\$200 = \$251.\$2,000	P, S, S(part), or E
SP, SSET NAME BF				
Hilton Head, SC	X			
Timeshare Property -				
2 week peak season				
Wells Fargo Advisors	X	×××	X	Attachment
Account - See				#6
Attachment #1				
5.38% Interest SNI	X	Partnership		
Development Company				
Partnership - Real				
Estate Rental, NY				
2.80% Interest Cambridge		Partnership		
Heights Apts Partnership				
Real Estate Rental, MS				

ULE A – ASSETS & "UNEARNED INCOME"	

SCHEDULE A – ASSETS (ASSETS & "UNEARNED INCOME"	NED IN	OME"		Name: Ni	Nick Joe Rahall,	ahall, II				Page 4	of_	12
BLOCK A Asset and/or Income Source		BLOCK B Value of Asset	X B		Į.	BLOCK C	6		Am	BLOCK D Amount of Income	come		BLOCK E
	None > 3(4)(00) 11(4)(1)(11(4)(11(4)(11(4)(11(4)(11(4)(11(4)(11(4)(11(4)(11(4)(11(4)(11)(11	\$50,001-\$100,000 m \$20,001-\$250,000 m	\$250,001-\$500,000	\$25,000,001-\$50,000,000 × 5,000 × 5,000 ×	DIVIDENDS SENT INTEREST	CANTALISANS EXCEPTEDABLIND TRUST TAXOTTERES	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	\$1-5200	\$2,501,45,000. ₹ \$1,001-\$2,500 ₹	\$5,001-\$15,000 ≤ \$15,001-\$20,000 5	\$50,001.\$100,000 \(\leq \) \$1,000,001.\$5,000,000 \(\times \)	Spouse/DC Asset with Income over \$1,000,000°	P. S. Sroard), or E
SP, ASSET NAME EIF DC, ASSET NAME	<u> </u>											9 S	
sPWells Fargo Advisors					N)			×				-	Sales/purchases
IRA - Attachment #2													Inder \$1,000
Wells Fargo Advisors		×				×		×					Attachment
SEP IRA - See						***				edia.	77		#7
Attachment #3													
						Å.							
Wells Fargo Checking	×				×			×					
Account	1							e e			ille /		
10 Acres Real Estate -	124		X		*			×					
athor		1408						## 11.2 11.2				蒙	
Castle Hayne, NC													
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							100	1.8	79				

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Name: Nick Joe Rahall, II	
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1	Estate Rental FI_MO	Partnership - Real	SB Partners Limited	006449% Interest SB		Salemburg, NC	Honeycutts Township.	T. 1	76.57, .82 & 2.2 Acre		See Attachment #4	(5% Unitrust Interest) -	Remainder Unit Trust	Nick Rahall Charitable	ASSET NAME		Asset and/or Income Source	BLOCK A
								_	×						믝	None >	·	ㅓ
	<u>-</u>			×				77	Sold 2	e Gr				2017		\$1,001-\$15,000		
* 15 * 16 * 1									2213	160					±	\$50,001-\$100,000 m	Value	18
			J. Company							1				×		\$250,001-\$500,000	Value of Asset	BLOCK B
						**						eg.				\$1,000,001-\$5,000,000 \$5,000,001-\$25,000,000 \$25,000,001-\$50,000,000		
																SpouseDC Asset over \$1,000,000*		
						31,30										OWDENOS PROPERTY OF THE PROPER		
	3572					22			×	289		á		iv.		INTEREST PACTAL SHEET EXCEPTED/BLIND TRUST	Type of Income	BLOCK C
4			- IF	Partnership	41.5									Trust		Other Type of Income (Specify: e.g. Partnership Income or Farm Income)	come	C
				rship										14				
				×					×							\$1-\$200 =		
1500																\$1,001-\$2,500 ≥ \$2,91-\$5,000 ±	Amo	
			F 13											×		\$5,001-\$15,000 S	Amount of Income	BLOCK D
	i i j					i i i	Ü				ja e					\$50,001-\$100,000 § \$1,000,001-\$5,000,000 ×	ome	
	25)	i e i											#	A		Died \$6,000,000 / Commonweal \$1,000,000° Spoussific Asset with Income over \$1,000,000° ≥		_
									S				#8 & #9	Attachment		P, S, S(part), or E	Transaction	BLOCK E

SCHEDULE A -- ASSETS & "UNEARNED INCOME"

		Name: Nick Joe Rahall, II	Page 6of	12
BLOCK A Asset and/or Income Source	BLOCK B Value of Asset	BLOCK C Type of Income	Amount of Income	BLOCK E Transaction
	None > 1.51.00 1.51.000 1.51.000 1.51.001.515.000 1.51.001.515.000 1.51.001.515.000 1.51.001.515.00.000 1.51.001.515.00.000 1.51.001.515.00.000 1.51.001.515.000.000 1.51.001.001.515.000.000 1.51.001.000 1.51.001.000 1.51.001.000 1.51.001.000 1.51.001.000 1.51.001.000 1.51.001.000 1.51.001.000 1.51.001.000 1.51.001.000 1.51.001.000 1.51.001.000 1.51.001.000 1.51.001.000 1.51.000.000 1.51	INTEREST CAPTAC CAME EXCEPTED/BLIND TRUST TAGE PERSED Other Type of Income (Specify: e.g., Parlmership Income or Farm Income)	\$1-\$200 = \$1-\$200	P, S, S(part), or E
SP, ASSET NAME EIF JT, ASSET NAME				
Morgan Stanley IRA	X			Sales/purchases
Account - Transferred to				under \$1,000
UBS Financial in May 2013				
				2
UBS Financial Services				#10
		i		

SCHEDULE B - TRANSACTIONS

Name: Nick Joe Rahall, II Page 7 of 12

	Remainder Unit Trust - See Attachment #9	Wells Fargo Advisors NJR Charitable		Remainder Unit Trust - See Attachment #8	Wells Fargo Advisors NJR Charitable		See Attachment #7	Wells Fargo SEP IRA - See		See Attachment #7	Wells Fargo SEP IRA - See		Attachment #6	Wells Fargo Advisors Account - See	Attachment #6	Wells Fargo Advisors Account - See	Sp Example Mega Curp. Sinck	SP, DC, JT Asset	Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. *Column K is for assets solely held by your spouse or dependent child.	dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is said, please choose 'partial sale' as the type of transaction.	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you your socues or your
		×						×						*					Paralpes	1987 - 16 1977 - 18	Туре
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		N/A			×			N/A			×			N/A		×	×		Check Box if Cap Exceeded \$200	xital Gain	
	Attached	See		Attached	See		Attached	See		Attached	See		Attached	See	Attached	See	3/5/13		Monthy, or Bi- weekly, if applicable	(MODAYR) or Quarterly,	Date
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SCHEDULE B -- TRANSACTIONS

Name: Nick Joe Rahall, II

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of 12

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		-							Salemburg, NC	Co., Hor	76.57, 8		Attachment #10	UBS Fin	Attachment #10	UBS Fin	Example		ansactions better reade of your promotes an asset is sold three if a sales to gains' box, ungain income on the for assets actions to the sales to the sales to gain income on the sales action is for assets actions.	child for investi a capital loss.	purchase, sal
West residence of the second s									urg, NC	Co., Honeycutts Township,	82 & 2.2 Acre Tracts - Sampson		ent #10	UBS Financial Services IRA - See	nent #10	UBS Financial Services IRA - See	Mega Corp. Stock	Asset	Exclude transactions between you, your spouse, or dependent critican, or the purchase or raile of your personal residency, unless it generated retrail income if only a portion of an asset is sold, please choose 'partial sale' as the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excass of \$200, check the 'capital gains' box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. *Column K is for assets solely held by your spouse or dependent child.	repointing betroot or any security or new property ratio by you, your spouse, or you dependent child for investment or the production of income, Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction.	Report any purchase, sale, or exchange transections that exceeded \$1,000 in the
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							44.1												Alertal Sales		Type of Transaction
	:																		Exchange		ā
				!										N/A		×	Х		Check Box if Capital Gain Exceeded \$200		
											02/22/13		Attached	See	Attached	See	3/5/13		(MOXDAYR) or Quarterly, Monthly, or Bi- veackly, if applicable		Date
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Name: Nick Joe Rahall, II Page_9_ of _12_

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

					SP.	
Columbus, OH	Chase I		Bank of	Example		
us, OH	Chase Home Finance LLC,		Bank of America	First Bank of Wilmington, DE	Creditor	
	06/01		12/13	5/98	Date Liability Incurred MO/YR	
Avenue, Castle Hayne, NC	Mortgage on 3533 Marathon		Credit Charges	Mortgage on Rental Property, Dover, DE	Type of Liability	
	1990 S				\$10,001	-
			×		\$15,001- \$50,000 [®]	
		e j			\$60,001 \$100,000	
	×			×	\$100,001- \$250,000	
T, a					\$250,001	
					\$500,001- \$1,000,000	
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				X.	Over \$50,000,000 _	
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SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Vice President & Secretary (Uncompensated) EGR Industries, Inc.	EGR Industries, Inc.
Member (Uncompensated)	Professional Advisory Board of ALSAC/St. Jude's Children's Research Hospital
Member (Uncompensated)	American Task Force for Lebanon Board of Directors
Partner (Uncompensated)	Atlantic Equine Services, LLC (Family Partnership)

Name: Nick Joe Rahall, II Page_10_ of _12_

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liabile); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

							≱	Amount of Liability	of Lia	bility			
		Date			œ	6.1	D	State.	TI		I	<u>.</u>	•
SP.	Creditor	Liability Incurred MO/YR	Type of Liability	45 (0001-1-27) 548 0001-1-2-1-1	\$15,001- \$50,000	\$50,000 \$100,000	\$100,001- \$250,000	22007- 1909 2007- 1909 2007-	\$500,001- \$1,000,000	\$1,000,0014 RF. \$5,000,001-	\$25,000,000	\$25,000.001 \$50,000.000	Over \$50,000,000 Over \$1,005,000 (Spouse/OC Liability)
Example	First Bank of Wilmington, DE	5/98	Morigage on Rental Property, Dover, DE				×						
Ame	American Express	12/13	Credit Charges		×								
		أ											
Chas	Chase #2130	12/13	Credit Charges	X			(9)05.00		je T				
							4.26						garage.
Discover	OV6.	12/13	Credit Charges	×									

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions in the Charter of a proprietor, and positions hald in any religious content of a proprietor, and provided in any religious content of a proprietor.

				=
			Position	sted in Schedule C; positions held in any religious, social, fit
			Name of Organization	listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an nonorary nature.

Name: Nick Joe Rahall, II Page_11_of_12__

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000.

*Column K is for liabilities held solely by your spouse or dependent child.

_						Amo	Amount of Liability	lability			
		Date		*		0	T T		±		- - 4
DS, JT	Creditor	Liability incurred MO/YR	Type of Liability	\$15,001 \$15,001 \$15,000	\$50,000 \$50,005 \$100,000	\$100,001- \$250,000 \$298,001- \$500,000	\$500,001- \$1,000,000	\$ - 000/00/20 * 85400 (000)	\$5,000,001- \$25,000,000	\$25,080,000 \$50,000,000 Over \$50,000,000	Over Rightly County
Ехатрю	First Bank of Wilmington, DE	5/98	Mongage on Rental Property, Dover, DE			×	153,4	4			
Morgar	Morgan Stanley, Ft. Worth, TX	01/07	Home Equity Loan on personal			×		- Tr			
			residence at Beckley, WV					4			
											alar Para
Quicke	Quicken Loans, Inc. (Formerly	10/06	Mortgage on Washington, DC				×				79.2
GMAC !	GMAC Mortgage), Detroit, Mi		personal residence		1						

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions

listed in Schedule C; positions held in any religious, social, fro	listed in Schedule C; positions held in any religious, social, fratemal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.
Position	Name of Organization

Name: Nick Joe Rahali, II Page 12_ of _12_

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are at Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. you by a spouse or the child, parent, or sibling of you or your spouse. "Column K is for liabilities held solely by your spouse or dependent child.

B C C	Am B C C	B C
15,001- 50,000	Type of Liability 10,007 15,000 15,0	7 ype of Liability 0.007: 5.001- 6.000 0.001- 5.000- 5.000- 5.000- 6.0000- 6.0000- 6.0000- 6.0000- 6.0000- 6.0000- 6.0000- 6.00000- 6.00000- 6.00000- 6.00000- 6.00000- 6.00000- 6.00000- 6.00000- 6.00000- 6.00000- 6.00000- 6.00000- 6.00000- 6.0000000- 6.00000- 6.00000- 6.00000- 6.00000- 6.00000- 6.00000- 6.000000- 6.00000- 6.00000- 6.00000- 6.00000- 6.00000- 6.00000- 6.000000- 6.0000- 6.00000- 6.00000- 6.0000- 6.0000- 6.0000- 6.00000- 6.00000- 6.00000- 6.000
0001- 0000	0001- 0000	0001- 0000
50.001 22 1 100.000 22 22 23 24 25 25 25 25 25 25 25 25 25 25 25 25 25	50.001 22 1 100.000 22 22 23 24 25 25 25 25 25 25 25 25 25 25 25 25 25	0.001-22-3
250,000	250,000	\$0,000 Amount of Liability 000,001- 000,000 Fig. 100,000 000,001- 0,000,001- 5,000,000 Fig. 100,000 100,000-
	500,001- 51,000,000 "Illability 500,000 25:	,000,001- 5,000,000 ±

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C: positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations), and positions social or phonorary pathors.

 -	 	_	_	_	-
				Position	listed in ochedne c, positions neight any rengious, social, na
				Name of Organization	listed in Schedule C, positions indiginally religious, social, indiginal, or political cities (such as political parties and campaign organizations), and positions solely or an individual ynamics.

DECEMBER 1 - DECEMBER 31, 2013

Progress summary

\$852,	\$852,359.99	Closing value
9, 78,	1,447.59 -4,914.00	Income earned Change in value
-115	0.00	Cash withdrawn Securitles withdrawn
\$778, 100,	\$833,326.40 22,500.00 0.00	Opening value Cash deposited Securities deposited

\$852,359.99	\$852,359.99
78,641.23	-4,914.00
9,451.27	1,447.59
0.00	0.00
-115,000.00	0.00
0.00	0.00
100,303.46	22,500.00
\$778,964.03	\$833,326.40
THIS YEAR	THIS PERIOD

•					<
9	246,000 -	492,000 -	738,000 -	984,000	Value over time
13	1.	1			≢
02/13					ne
03/13			1		
04/13					
05/13					
06/13					
07/13				\rangle	
08/13					
09/13					
10/13					
01/13 02/13 03/13 04/13 05/13 06/13 07/13 08/13 09/13 10/13 11/13 12/13					
12/13					

Portfolio summary

CURRENT

ASSET TYPE

ASSETS

Cash and sweep balances
Stocks, options & ETFs
Fixed income securities
Mutual funds

Asset value

346,650.40 413,398.00

PREVIOUS VALUE ON NOV 30 \$833,326.40 73,278.00 0.00 41.60 49.61 8.79 0.00 100% % CURRENT VALUE ON DEC 31 \$852,359.99 408,418.00 73,344.00 0.00 370,597.99 100% 43.48 47.92 8.60 0.00 8 ESTIMATED ANN. INCOME 37 10,294 0 0 \$10,331

DECEMBER 1 - DECEMBER 31, 2013

Additional information

Gross proceeds

THIS PERIOD 0.00

THIS YEAR 117,032.30

Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ANNUAL INCOME	
BANK DEPOSIT SWEEP	43.48	0.01	370,597.99	37.05	
Interest Period 12/01/13 - 12/31/13					
Total Cash and Sweep Balances	43.48		\$370,597.99	\$37.05	j

^{*} APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Stocks, options & ETFs

Stocks and ETFs

MCDONALDS CORP MCD Acquired 08/22/94 L nc	AMGEN INC AMGN Acquired 01/01/01 L nc	DESCRIPTION
11.38 1,000	18.07	% OF ACCOUNT
1,000	18.07 1,350	% OF QUANTITY
13.18	63.94	ADJ PRICE/ ORIG PRICE
13,352.58	86,319.00	ADJ COST/ ORIG COST
97.0300	114.0800	CURRENT
97,030.00	154,008.00 67,689.00	CURRENT MARKET VALUE
83,677.42	67,689.00	UNREALIZED GAIN/LOSS
3,240.00	3,294.00	ESTIMATED ANNUAL ANNUAL INCOME YIELD (%)
3.33	2.13	ANNUAL YIELD (%)



1 - p. 3



DECEMBER 1 - DECEMBER 31, 2013

Stocks and ETFs continued Stocks, options & ETFs

Total Stocks, options & ETFs	Total Stocks and ETFs	WAL-MART STORES INC WMT Acquired 01/15/85 L nc	DESCRIPTION
47.92	47.92		% OF ACCOUNT
		18.46 2,000	QUANTITY
		1.27	ADJ PRICE/ ORIG PRICE
\$102,258.95	\$102,258.95	2,587.37	ADJ COST/ ORIG COST
		78.6900	CURRENT PRICE
\$408,418.00	\$408,418.00 \$306,159.05	157,380.00	CURRENT MARKET VALUE
\$306,159.05	\$306,159.05	154,792.63	UNREALIZED GAIN/LOSS
\$10,294.00	\$10,294.00		ANNUAL INCOME
2.52	2.52	2.38	ESTIMATED AL ANNUAL AL YIELD (%)

nc Cost Information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

Municipal Bonds

									ESTIMATED	
DESCRIPTION	% OF	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORKG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ANNUAL	ANNUAL YIELD (%)
KANAWHA PUTNAM CNTY HUNTINGTON CHARLESTON WV S/F MTG 84A OY=11.5%	!									
CPN 0.000% DUE 12/01/16 DTD 09/19/84										
Moody AAA , S&P NR CUSIP 483686BF7										
Acquired 01/15/96 L nc		50,000	34.54 35.4	42,271.83 15,628.00		48,896.00	6,624.17			
Acquired 05/23/96 L nc		25,000	84.33 30.93	21,084.35 7,734.25		24,448.00	3,363.65		-	
Total	8.60	75,000		\$63,356.18 \$23,362.25	97.7920	\$73,344.00	\$9,987.82	A/N	N/A	N/A
Total Municipal Bonds	8.60	8.60 75,000		\$63,356.18 \$23,362.25		\$73,344.00	\$9,987.82		,	,
Total Fixed Income Securities	8.60		:	\$63,356.18 \$23.362.25		\$73,344.00	\$9,987.82			, -

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

GKSP2CDFBD 000032 N2NNNNNNNN NNN NNN 018 016 001 001039 10767405.1

OCTOBER 1 - DECEMBER 31, 2013



Progress summary

\$18,968.43	\$18,968.43	Closing value
2,930.44	1,339.41	Change in value
324.91	151.43	Income earned
0.00	0.00	Securities withdrawn
0.00	0.00	പsh withdrawn
0.00	0.00	urities deposited
0.00	0.00	Cash deposited
\$15,713.08	\$17,477.59	Opening value

THIS PERIOD

THIS YEAR

As a Wells Fargo Advisors Client, you can easily simplify your finances by combining all your investing and banking into a single, easy-to-manage relationship. By upgrading to the Command Asset Program, you'll have access to many more features and benefits to help you manage your finances. You'll see all your investing and banking activity on one comprehensive monthly statement. It's as simple as talking with Your Financial Advisor. Ask them today about the Command Asset Program.

	ASSETS	
Asset value	Cash and sweep balances Stocks, options & ETFs Fixed income securities Mutual funds	ASSET TYPE
\$17,477.59	330.06 7,874.91 0.00 9,272.62	PREVIOUS VALUE ON SEP 30
100%	1.89 45.06 0.00 53.05	8
\$18,968.43	387.98 8,604.42 0.00 9,976.03	CURRENT VALUE ON DEC 31

100%

\$288

2.05 45.36 0.00 52.59

231 0 57 8

ESTIMATED ANN. INCOME

Portfolio summary



MELINDA ROSS (IRA) FCC AS CUSTODIAN

OCTOBER 1 - DECEMBER 31, 2013

Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual Jused in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME	
BANK DEPOSIT SWEEP	0.00	387.98	0,00	
Interest Period 12/01/13 - 12/31/13				
Total Cash and Sweep Balances		\$387,98	\$0.00	

^{*} APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Stocks, options & ETFs

Stocks and ETFs

2.69	\$231.66	\$2,212.05	\$8,604.42		\$6,392.37			Total Stocks, options & ETFs
2.69	\$231.66	\$2,212.05	\$8,604.42		\$6,392.37			Total Stocks and ETFs
4.69	164.16	821.80	3,499.92	48.6100	2,678.12	36.71	72	BP PLC SPONS ADR BP Acquired 05/31/12
1.32	67.50	1,390.25	5,104.50	68.0600	3,714.25	49.19	75	BEAM INC BEAM Acquired 11/09/11
ANNUAL YIELD (%)	ESTIMATED ANNUAL A INCOME YIE	UNREALIZED GAIN/LOSS	CURRENT MARKET VALUE	CURRENT	ADJ COST/ ORIG COST	ADJ PRICE/ ORIG PRICE	QUANTITY	CRIPTION

2 - p. 3

MELINDA ROSS (IRA) FCC AS CUSTODIAN

OCTOBER 1 - DECEMBER 31, 2013

Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

Open End Mutual Funds

0.57	\$57.26	\$1,955.58	\$9,976.03		\$8,020.45			Total Mutual Funds
0.57	\$57.26	\$1,955.58	\$9,976.03		\$8,020.45			Total Open End Mutual Funds
		.50 .99	\$4,007.50 \$1,148.99		einvestments)	Reinvestments ent (Including F	Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)	
0.82	\$42.54	\$522.52	\$5,156.49	16.1200	\$4,633.97		319.88200	Total
		282.57 239.95	4,290.07 866.42		4,007.50 626.47	15.03 11.65	266.13400 53.74800	CL-C JCLGX Acquired 03/27/07 nc Reinvestments m
		-						JOHN HANCOCK II LIFESTYLE GROWTH FUND
		.06	\$3,348.48 \$1,471.06		einvestments)	Reinvestments ent (Including F	Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)	
0.31	\$14.72	\$1,433.06	\$4,819.54	17.4400	\$3,386.48		276.35000	Total
		1,422.56 10.50	4,771.04 48.50		3,348.48 38.00	12.24 13.66	273.56900 2.78100	ALLOCATOR SER COREFOLIO ALLOCA FD CL C FTCLX Acquired 12/17/10 nc Reinvestments m
ANNUAL YIELD (%)	ANNUAL	UNREALIZED GAIN/LOSS	CURRENT MARKET VALUE	CURRENT	ADJ COST/ ORIG COST	ADJ PRICE/ ORIG PRICE	QUANTITY	DESCRIPTION
tai return. ATED	nd typically do not reflect Total re ESTIMATED	t Income only, an	dends and interes	eld refer to Divi	il Income and Yie	istimated Annua	e priced at net asset value. E	Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return ESTIMATED

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS.

no Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated; cost for all other lots will be reported to the IRS.



SNAPSHOT

NICK JOE RAHALL (SEP IRA) FCC AS CUSTODIAN

Page 1 of 9

DECEMBER 1 - DECEMBER 31, 2013

Attachment 3 - p. 1

Progress summary

THIS PERIOD

\$189,334.11 0.00 0.00 0.00 0.00 0.00 2,265.49 -1,666.33 \$189,933.27			
\$189,334.11 0.00 0.00 0.00 0.00 2,265.49 -1,666.33	\$189,933.27	\$189,933.27	Closing value
	\$180,024.8: 0.00 0.00 0.00 0.00 4,432.73 5,475.71	\$189,334.11 0.00 0.00 0.00 0.00 0.00 0.00 2,265.49 -1,666.33	Cash deposited Cash deposited Securities deposited Cash withdrawn Securities withdrawn Income earned Change in value

	5,475.71	0.00 4,432.73	o.00	0.00	\$180,024.83	THIS YEAR
1	53,000 →	100,000	200	100,000	150 000	1.1.000

01/	o 	53,000	106,000 -	159,000 -	212,000	Value over time
ω g						tim
2/13	1					ō
03/13	- - -					
04/13	1					
05/13						
06/13				.		
07/13						
08/13	,					,
09/13	:					
10/13				,		
01/13 02/13 03/13 04/13 05/13 06/13 07/13 08/13 09/13 10/13 11/13 12/1						
12/1	. 1				,	

\$1,447	100%	\$189,933.27 100%	100%	\$189,334.11
13 0 1,203 231	73.19 0.00 8.80 18.01	139,012.98 0.00 16,717.97 34,202.32	73.42 0.00 8.95 17.62	139,011.80 0.00 16,954.20 33,368.11
ESTIMATED ANN. INCOME	%	CURRENT VALUE ON DEC 31	%	VALUE ON NOV 30

Cash and sweep balances
Stocks, options & ETFs

Mutual funds Fixed income securities

Asset value

ASSETS ASSET TYPE

CURRENT

Portfolio summary

3



NICK JOE RAHALL (SEP IRA) FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2013

Additional information

Accrued interest on sales

THIS PERIOD 0.00

THIS YEAR 89.38

Gross proceeds

THIS PERIOD 0.00

THIS YEAR 31,578.43

Portfolio detail

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as fund used in the sweep, subject to any open commitments in any of your accounts and accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require one or more days prior notice before permitting withdrawals. Sweep in addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals. These assets are not Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

Total Cash and Sweep Balances	Interest Derind 12/01/13 - 12/31/13	BANK DEPOSIT SWEEP	DESCRIPTION	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Deposit Sweep for your account, please contact Tour Filler Control Con
73.19		73.19	ACCOUNT	8	CONTACT TOUR FINANCE
:		0.01	001	ANNUAL PERCENTAGE	
3 139,0 12,000 auring during the interest period. The ar	\$430 043 QB		139.012.98	MARKET VALUE	CURRENT
ding during the interest period. The	\$13.90		13.90	ANNUAL INCOME	ESTIMATED

APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during
percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

FIRST DATA CORPORATION COMPANY GTD			Corporate Bonds
1.58	ACCOUNT	Ŗ O m	
1.58 3,000	ACCOUNT QUANTITY		
3,000.90	2000	CURRENT VALUE	
,			
	R5 31	ACCRUED	
	337.50	INCOME	ESTIMATED
	11.22	YIELD (%)	

CPN 11.250% DUE 03/31/16 DTD 03/31/09 FC 09/30/09 Moody CAA2 , S&P CCC+

CALLABLE

CUSIP 319963AV6

NICK JOE RAHALL (SEP IRA) FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2013

Fixed Income Securities

Corporate Bonds continued

						ESTIMATED	
DESCRIPTION	% OF	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ACCRUED INTEREST	ANNUAL	ANNUAL YIELD (%)
UNION CARBIDE GLOBAL NOTES NON CALL LIFE	3.15	5,000	119.5200	5,976.00	98,44	393.75	6.58
CPN 7.875% DUE 04/01/23 DTD 04/01/93 FC 10/01/93 Moody BAA2 , S&P BBB CUSIP 905572AD5							
GOLDMAN SACHS GROUP INC SUBORDINATE NOTES CPN 6.750% DUE 10/01/37 DTD 10/03/07 FC 04/01/08 Moody BAA2 , S&P BBB+ CUSIP 38141GFD1	4.07	7,000	110.5010	7,735.07	118.13	472.50	6.10
Total Corporate Bonds	8.80	15,000		\$16,717.97	\$301.88	\$1,203.75	7.20
Total Fixed Income Securities	8.80			\$16,717.97	\$301.88	\$1,203.75	7.20

Mutual Funds

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

					70	5
DESCRIPTION	% OF	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ANNUAL	ANNUAL YIELD (%)
ASTON FUNDS MONTAG & CALDWELL GROWTH FD CL N MCGFX On Reinvestment	4.03	271.20100	28.2100	7,650.58	44,47	0.58
ALLIANCEBERNSTEIN FDS SMALL CAP GROWTH FUND CLASS A QUASX On Reinvestment	4.68	174.10200	51.0700	8,891.38	N/A	N/A
AMF LARGE CAP EQUITY FUND CLASS AMF HCAX On Reinvestment	9.30	1,626.18500	10.8600	17,660,36	187.01	1.05



Mutual Funds

NICK JOE RAHALL (SEP IRA) FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2013

FCC AS COSTODIAN

18.01	Total Open End Mutual Funds 18.01 \$34,202.32	DESCRIPTION ACCOUNT QUANTITY CURRENT PRICE MARKET VALUE	Open End Mutual Funds continued
\$231.48	\$231.48	ANNUAL INCOME	ES
0.68	0.68	ANNUAL ANNUAL INCOME YIELD (%)	ESTIMATED

Progress summary

\$336,283.70	\$336,283.70	Closing value
26,299.65	2,573.32	Change in value
2,572.94	84.31	Income earned
0.00	0.00	Securities withdrawn
-19,628.25	-1,600.00	Cash withdrawn
0.00	0.00	in ities deposited
0.00	0.00	C ካ deposited
\$327,039.36	\$335,226.07	Opening value
THIS YEAR	THIS PERIOD	

Ask them today about the Command Asset Program.

Portfolio summary

SNAPSHOT

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NICK RAHALL CHARITABLE REMAINDER UNIT TR NICK J RAHALL II TTEE U/A DTD 12/16/99 DECEMBER 1 - DECEMBER 31, 2013

Page 1 of 8

you manage your finances. You'll see all your investing and banking activity on one comprehensive monthly statement. It's as simple as talking with Your Financial Advisor. As a Wells Fargo Advisors Client, you can easily simplify your finances by combining all your investing and banking into a single, easy-to-manage relationship. By upgrading to the Command Asset Program, you'll have access to many more features and benefits to help

Attachment 4 - p. 1

Asset value \$335,		ASSETS Cash and sweep balances 269, Stocks, options & ETFs 65,	ASSET TYPE VALUE ON NOV 30
\$335,226.07 100%	0.00 0.00	269,480.37 80.39 65,745.70 19.69	PREVIOUS ON NOV 30 %
\$336,283.70	0.00		CURRENT 6 VALUE ON DEC 31
100%	0.00 0.00		%
\$1,325	00	27 1,298	ESTIMATED ANN. INCOME

p. 2

REMAINDER UNIT TR NICK J RAHALL II TTEE DECEMBER 1 - DECEMBER 31, 2013 U/A DTD 12/16/99 NICK RAHALL CHARITABLE

Additional information

Return of principal	Accrued interest on sales
0,00	THIS PERIOD 0,00

THIS YEAR 93.82 136.74 Foreign withholding Gross proceeds

THIS PERIOD 9,712.22

THIS YEAR 344,794.73 -28.25

Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

Total Cash and Sweep Balances \$275,112.40 \$27.51	Interest Period 12/01/13 - 12/31/13	BANK DEPOSIT SWEEP 0.01 275,112.40 27.51	DESCRIPTION ANNUAL PERCENTAGE ANNUAL PERCENTAGE MARKET VALUE ANNUAL INCOME
\$27.51		27.51	ESTIMATED ANNUAL INCOME

^{*} APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

cks, options & ETFs

Stocks and ETFs

Total	CANADIAN NATURAL RESOURCES LTD CNQ Acquired 03/20/12 Acquired 04/20/12	DESCRIPTION
300	235 65	QUANTITY
	35.24 32.35	ADJ PRICE/ ORIG PRICE
\$10,570.58	8,407.83 2,162.75	ADJ COST/ ORIG COST
33.8400		CURRENT
\$10,152.00	7,952.40 -455.43 2,199.60 36.85	CURRENT MARKET VALUE
\$418.58	-455.43 36.85	UNREALIZED GAIN/LOSS
\$221.28		ANNUAL INCOME
2.18		ESTIMATED ANNUAL ANNUAL ANNUAL INCOME YIELD (%)





Stocks, options & ETFs

NICK RAHALL CHARITABLE REMAINDER UNIT TR NICK J RAHALL II TTEE U/A DTD 12/16/99 DECEMBER 1 - DECEMBER 31, 2013

Page 5 of 8

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Stocks and ETFs continued							ESTRA	ESTIMATED
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL	ANNUAL YIELD (%)
ANESE CORPORATION								
CE Acquired 11/29/13	135	56.20	7.688.00	55.3100	7.466.85	-221.15	97.20	
CITIGROUP INC NEW								
C Acquired 11/06/07 no	5.42105	351.80	1 953.51		282 49	-1 671 02		
Acquired 11/06/07 nc	7.42105	351.90	2,669.36		386.71	-2,282.65		
Acquired 01/08/08 no Acquired 10/09/08 no	12.36842 19.78948	279.33 148.56	3,562.38 3,047.50		644.52 1 031 23	-2,917.86 -2,016.27		
Total	45		\$11,232.75	52.1100	\$2,344.95	-\$8,887.80	\$1.80	80.0
GANNETT CO INC DEL								
Acquired 09/29/04 nc	100	84.30	8.515.50		2,958,00	-5.557.50		
Acquired 04/20/05 nc	128	77.08	7,793.50		2,958.00	4,835.50		
Acquired 03/27/06 nc Acquired 09/26/07 nc	75 75	28.54 44.23	3,394,65		2,958.00 2,218.50	-3,000.00 -1,176.15		
Total	375		\$25,661.65	29,5800	\$11,092.50	-\$14,569.15	\$300.00	2.70
GENERAL ELECTRIC COMPANY								
^cquired 04/11/08 nc	200	32.54	6,645.07	28.0300	5,606.00	-1,039.07	176.00	
-WLETT-PACKARD COMPANY								
Acquired 03/04/11 Acquired 03/04/11	176 125	42.44 31.59	7,539.23 4,020.81		4,896.50 3,497.50	-2,642.73 -523.31		
Total	300		\$11,560.04	27.9800	\$8,394.00	-\$3,166.04	\$174.30	2.08
MASTEC INC						•		
M 2 Acquired 08/26/13	175	33.25	5,825.75	32,7200	5,726.00	-99.75	N/A	
MEDIA GENERAL INC CL A	· · ·							
MEG Acquired 10/23/07 nc	50	27.25	1,395.62	22.6000	1,130.00	-265.62	N/A	
OTTER TAIL CORPORATION		•						
Acquired 09/18/08 nc	200	34.82	7,091.74	29.2700	5,854.00	-1,237.74	238.00	4.06

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NICK RAHALL CHARITABLE
REMAINDER UNIT TR
NICK J RAHALL II TTEE
U/A DTD 12/16/99
DECEMBER 1 - DECEMBER 31, 2013

Stocks, options & ETFs

Stocks and Elles continued							ESTIMATED	ATED
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL	ANNUAL YIELD (%)
ELLS FARGO COMPANY								
Acquired 10/24/07 nc	25.62500	229.10	5,946.00		1,163.38	-4 ,782.62		
Acquired 01/04/08 nc Acquired 06/24/08 nc	19.75000 29.62500	180.84 90.27	3,644.69 2,759.42		896.65 1,344.97	-2,748.04 -1,414.45		
Total	75		\$12,350.11	45.4000	\$3,405.00	-\$8,945.11	\$90.00	2.64
Total Stocks and ETFs			\$100,021.31		\$61,171.30 -\$38,850.01	-\$38,850.01	\$1,298.58	2.12
Total Stocks, options & ETFs			\$100,021.31		\$61,171.30	-\$38,850.01	\$1,298.58	2.12

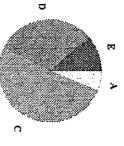
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Your account balance sheet

Summary of your assets

		Value on December 31 (\$)	Percentage of your account
>	Cash and money balances	3,076.95	6.31%
ᄧ	Cash alternatives	0.00	0.00%
n	Equities	25,044.63	51.38%
O	Fixed income	15,171.56	31.12%
ш	Non-traditional	5,452.51	11.19%
П	Commodities	0.00	0.00%
Ū	Other	0.00	0.00%
ŏ	Total assets	\$48,745.65	100,00%

Your current asset allocation



deposit account balances and money market mutual fund sweep balances. See the *Important* Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch two pages of this statement for details about those balances. information about your statement on the last

Attachment 5

- p. 1

Eye on the markets

Value of your account

\$48,745.65

	Percentage change	change
Index	December 2013 Year to date	Year to date
S&P 500	2.53%	32.39%
Russell 3000	2.64%	33.55%
MSCI - Europe, Australia & Far East	1.51%	23.29%
Barclays Capital U.S. Aggregate Bond Index	-0.57%	-2.02%

interest rates on December 31, 2013

One-month LIBOR: 0.17% 3-month Treasury bills: 0.07%

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important information about your statement at the end of this document for more information.

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Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the Important information about your statement at the end of this document for details about those balances.

				\$3,076.95	\$2,922.61	Total
250,000.0				3,038.80	2,922.61	UBS BANK USA DEP ACCT
	-			38.15	0.00	Cash
period Cap amount	period period	Average	on Dec 31 (5)	on Dec 31 (\$)	on Dec 1 (\$)	Holding

Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends, it is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

	<u>:</u>	Purchase price/	1	,	•			- ·	•
Holding	Number of shares	Number Average price of shares per share (\$)	Client investment (\$)	Cost basis (\$)	Cost Price per share basis (\$) on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	return (\$) period	period
ISHARES S&P 500 VALUE ETF					•				į
Symbol: IVE									
Trade date: Aug 12, 11	15.000	54.160	812.40	812,40	85,460	1,281.90	469,50	469.50	5
EAl: \$26 Current yield: 2.03%									

continued next page



ISHARES S&P 500 GROWTH ETF



Portfolio Management Program December 2013

Account name:
Account type:

NICK J RAHALL JR. JRA Rollover

Your Financial Advisor: THE HANDO FELDMAN ADAMS GROUP 301-718-5000/800-638-2909

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Your assets • Equities • Closed end funds & Exchange traded products (continued)

	\$2,181.81	\$2,181.81	\$14,508.09	ļ	\$12,326.28	\$12,326.28			Total Total estimated annual income: \$273
ч	-50.76	-50.76	1,933.58	41.140	1,984,34	1,984.34	42.220	47.000	ETF Symbol: VWO Trade date: Aug 12, 11 EAI: \$53 Current yield: 2.74%
									VANGUARD FTSE EMERGING MARKETS
	526,58	526.58	3,175.20		2,648.62	2,648.62	49.049	54.000	Security total
									EAI: \$88 Current yield: 2.77%
ST		307,45	2,116.80	58.800	1,809.35	1,809,35	50.259	36.000	Trade date: Jul 12, 13
5		85.93	470.40	58.800	384.47	384,47	48.058	8.000	Trade date: Sep 21, 12
4		133.20	588.00	58.800	454.80	454.80	45,480	10.000	Trade date: Aug 12, 11
	4								Symbol: VGK
									VANGUARD FTSE EUROPE ETF
									EAI: \$22 Current yield: 4.26%
5	78.16	78.16	516.48	64.560	438.32	438.32	54.790	8.000	Trade date: Aug 12, 11
									Symbol: VNQ
									VANGUARD REIT ETF
1									EAI: \$39 Current yield: 1.06%
ST	339.24	339.24	3,663.00	244.200	3,323,76	3,323.76	221.584	15.000	Trade date: Jul 12, 13
									Symbol: MDY
									SPDR S&P MIDCAP 400 ETF TR
									EAI: \$21 Current yield: 0.93%
ST	225.28	225.28	2,259,18	102.690	2,033.90	2,033.90	92.450	22.000	Trade date: Jul 15, 13
									Symbol: SLY
									SPDR S&P 600 SMALL CAP ETF
									EAI: \$24 Current yield: 1.43%
5	593.81	593,81	1,678.75	98.750	1,084.94	1,084.94	63.820	17.000	Trade date: Aug 12, 11
									Symbol: IVW
lding eriod	Investment Holding return (\$) period	Unrealized (tax) gain or loss (\$)	Value on Dec 31 (\$)	Price per share on Dec 31 (5)	Cost basis (\$)	Client investment (5)	Purchase price/ Average price per share (5)	Number of shares	Holding

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Your assets • Equities (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (5)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	investment Holding return (5) period
ABERDEEN INTERNATIONAL								
EQUITY FUND CLASS A								
Symbol: GIGAX								
Trade date: Aug 12, 11	16.178	12.690	205.30	205.30	15.170	245.42	40.12	
Total reinvested	0.828	13.514		11.19	15.170	12.56	1.37	
EAI: \$5 Current yield: 1.94%								
Security total	17.006	12,730	205.30	216.49		257.98	41.49	52.68
ALLIANCE BERNSTEIN								
SMALL CAP GROWTH FUND								
ADVISOR CLASS								
Symbol: QUAYX								
Trade date: Sep 21, 12	10.623	42.118	447.43	447.43	53.800	571.51	124.08	
Total reinvested	1.050	47.095		49,45	53.800	56.49	7.04	
Security total	11.673	42.567	447.43	496.88		628.00	131.12	180.57
CAMBIAR SMALL CAP								
FUND INVESTOR CLASS								
Symbol: CAMSX								
Trade date: Aug 12, 11	13.326	15.788	210.39	210.39	22.700	302.49	92.10	
Total reinvested	2.404	20.123		48.38	22.700	54.58	6.20	
Security total	15.730	16.451	210.39	258.77		357.07	98.30	146.68
FIRST EAGLE OVERSEAS								
FUND CLASS I								
Symbol: SGOIX	·							
J. 1801. J. 601.								



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Portfolio Management Program December 2013

Account name:
Account type:

NICK J RAHALL JR. }RA Rollover

Your Financial Advisor: THE HANDO FELDMAN ADAMS GROUP 301-718-5000/800-638-2909

December 2013

Number	Purchase price/ Average price	Client	Cost	Price per share	Value on	Unrealized (tax)	Investment Holding
5 5 5 6	Del State (9)	investment (5)	Dasis (2)	on Dec 31 (b)	000 01 (4)	gain or loss (3)	return (S) per
4.801	22.497		108.01	23.500	112.82	4.81	
43.759	22.918	894.86	1,002.87		1,028.33	25.46	133.47
40.253	19.619	789.76	789.76	29.060	1,169.75	379.99	LT
3.092	24,191		74.80	29.060	89.85	15.05	
43.345	19.946	789.76	864.56		1,259.60	395.04	469.84
18.800	22.128	416.02	416.02	30,450	572.46	156.44	띡
4.117	25.698		105.80	30.450	125.36	19.56	
22.917	22.770	416.02	521.82		697.82	176.00	281.80
					;		
27.561	15.500	427.21	427.21	18.320	504.91	77.70	LI
2.185	17.029		37.21	18.320	40.03	2.82	
29.746	15.613	427.21	464.42		544.94	80.52	117.73
9.349	21,949	205.21	205.21	34.810	325.43	120.22	ᡏ
0.738	31.260		23.07	34.810	25.69	2.62	
10.087	22,631	יר דמיר					i i)
		17.507	228.28		351.12	122.84	145.91
	4.801 43.759 40.253 3.092 43.345 18.800 4.117 22.917 22.917 22.917 27.561 2.185 29.746 9.349 0.738		22.497 22.918 19.619 24.191 19.946 22.128 25.698 22.770 17.029 17.029 15.613 21.949 31.260	22.497 22.918 894.86 1 19.619 789.76 24.191 19.946 789.76 25.698 22.770 416.02 25.770 416.02 15.613 427.21 15.613 205.21 31.260	22.497 108.01 22.918 894.86 1,002.87 19.619 789.76 789.76 24.191 789.76 864.56 19.946 789.76 864.56 22.128 416.02 416.02 25.698 105.80 105.80 22.770 416.02 521.82 15.500 427.21 427.21 17.029 37.21 15.613 427.21 464.42 21.949 205.21 205.21 31.260 23.07	22,497 108.01 23.500 112.82 22,918 894.86 1,002.87 1,028.33 19,619 789.76 789.76 29.060 1,169.75 24,191 789.76 864.56 29.060 1,169.75 24,191 789.76 864.56 29.060 1,159.75 19,946 789.76 864.56 1,259.60 19,946 789.76 864.56 1,259.60 22,128 416.02 416.02 30.450 572.46 25,698 105.80 30.450 125.36 22,770 416.02 521.82 697.82 15,500 427.21 427.21 18.320 504.91 17,029 37.21 18.320 40.03 15,613 427.21 464.42 544.94 21,949 205.21 205.21 34.810 325.43 31,260 23.07 34.810 25.69	22,497 108.01 23.500 112.82 22,918 894.86 1,002.87 23.500 1,028.33 19,619 789.76 789.76 29.060 1,169.75 24,191 74.80 29.060 1,169.75 24,191 74.80 29.060 1,259.60 19,946 789.76 864.56 29.060 89.85 19,946 789.76 864.56 1,259.60 22,128 416.02 416.02 30.450 572.46 25,598 105.80 30.450 125.36 22,770 416.02 521.82 697.82 117.029 427.21 48.320 504.91 17.029 37.21 18.320 40.03 15.613 427.21 464.42 544.94 21.949 205.21 205.21 34.810 325.43 31.260 23.07 34.810 25.49

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Portfolio Management Program

Account name: Account type:

NICK J RAHALL JR. IRA Rollover

Your Financial Advisor: THE HANDO FELDMAN ADAMS GROUP 301-718-5000/800-638-2909

December 2013

\$2,162.94 \$2,778.48	\$10,536.54		\$8,373,60	\$7,758.06	00.170	32.032	Total
604.10	1.730.68		1,126,58	1.045.86	35,170	32.032	Security total
13.67	94.39	54,030	80.72		46.204	1.747	Total reinvested
590.43	1,636.29	54,030	1,045.86	1,045.86	34.533	30.285	Trade date: Aug 12, 11
							Symbol: SGRKX
							FUNDS GROWTH - ADM
							WELLS FARGO ADVANTAGE
; ;							EAI: \$6 Current yield: 0.44%
112.06	1,364.56	16.560	1,252.50	1,252.50	15.200	82,401	Trade date: Jul 10, 13
							Symbol: VAPAX
							SECTOR FUND A
							VIRTUS PREMIUM ALPHA
51.55	279.53		227.98	219.37	26.147	8.719	Security total
							EAI: \$3 Current yield: 1.07%
1.87	10.48	32,060	8.61		26.330	0.327	Total reinvested
49.68	269.05	32.060	219.37	219.37	26,140	8.392	Trade date: Aug 12, 11
							Symbol: TGVIX
							FUNDI
						:	THORNBURG INTL VALUE
324.46	2,036.91		1,712.45	1,644.15	31.577	54.231	Security total
							EAI: \$9 Current yield: 0.44%
11.25	79.55	37.560	68.30		32,247	2.118	Total reinvested
313.21	1,957.36	37.560	1,644.15	1,644.15	31,549	52.113	Trade date: Aug 12, 11
							Symbol: ODVYX
Unrealized (tax) gain or loss (\$)	Value on Dec 31 (\$)	Price per share on Dec 31 (5)	Cost basis (5)	Client investment (\$)	Purchase price/ Average price per share (\$)	Number of shares	Holding
			-			tual funds (continued)	Your assets , Equities , Mutual funds (continued)



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Total estimated annual income: \$71

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Portfolio Management Program December 2013

Account name:
Account type:

NICK J RAHALL JR. IRA Rollover

Your Financial Advisor: THE HANDO FELDMAN ADAMS GROUP 301-718-5000/800-638-2909

Your assets (continued)

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

t page	continued next page								
		8.33	168.69	10.660	160.36		10.133	15,825	Total reinvested
디		0.70	7.69	10.660	6.99	6.99	9.694	0.721	Trade date: Sep 15, 11
딕		158.99	1,947.40	10.660	1,788.41	1,788.41	9.789	182.683	Trade date: Aug 12, 11
									Symbol: GSZIX
									INCOME CL I
									GOLDMAN SACHS STRATEGIC
									EAI: \$30 Current yield: 3.31%
ŞŦ	7.18	7.18	905.02	10.080	897.84	897.84	10.000	89.784	Trade date: Jun 27, 13
									Symbol: FRSAX
									STRATEGIC INCOME FD CL A
									FEDERATED FLOATING RATE
									EAI: \$44 Current yield: 2.42%
झ	34.05	34.05	1,820.93	10.160	1,786.88	1,786.88	9.969	179.226	Trade date: Jun 26, 13
									Symbol: BASIX
									INCOME A
									BLACKROCK STRATEGIC
	361.99	73.90	1,650.62		1,576.72	1,288.63	9.600	164.241	Security total
									EAI: \$119 Current yield: 7.21%
		8.28	296.37	10.050	288,09		9.769	29,490	Total reinvested
5		65.62	1,354.25	10.050	1,288.63	1,288.63	9.563	134.751	Trade date: Sep 15, 11
									Symbol: JHYIX
									INCOME FUND CLASS I
									ABERDEEN GLOBAL HIGH
folding period	Investment Holding return (\$) period	Unrealized (tax) gain or loss (\$)	Value on Dec 31 (5)	Price per share on Dec 31 (\$)	Cost basis (\$)	Client investment (\$)	Purchase price/ Average price per share (\$)	Number of shares	Holding

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Portfolio Management Program December 2013

Account name:
Account type:

NICK J RAHALL JR. IRA Rollover

Your Financial Advisor: THE HANDO FELDMAN ADAMS GROUP 301-718-5000/800-638-2909

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December 2013

Your assets , Fixed income , Mutual funds (continued)

Holding	Number of shares	Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment Holding return (\$) period	lolding period
EAI: \$82 Current yield: 3.86%									
Security total	199.229	9.817	1,795.40	1,955.76		2,123.78	168.02	328.38	
JP MORGAN STRATEGIC									
INCOME OPPORTUNITIES									
FUND CLASS S									
Symbol: JSOSX								٠	
Trade date: Aug 12, 11	153.712	11.539	1,773.83	1,773.83	11.890	1,827.63	53.80		드
Total reinvested	13.662	11,632		158.93	11.890	162.44	3.51		
EAI: \$52 Current yield: 2.61%									
Security total	167.374	11.548	1,773.83	1,932.76		1,990.07	57.31	216.24	
METROPOUTAN WEST TOTAL				-					
RETURN BOND FUND CLASS I									
Symbol: MWTIX									
Trade date: Sep 15, 11	129.743	10.499	1,362.30	1,362.30	10.550	1,368.79	6.49		ဌ
Trade date: Oct 11, 11	57.971	10.370	601.16	601.16	10.550	611.59	10.43		듸
Total reinvested	22.020	10.717		235.99	10.550	232.31	-3.68		
EAI: \$72 Current yield: 3.25%									
Security total	209.734	10.487	1,963.46	2,199.45		2,212.69	13.24	249.23	
PIMCO SENIOR FLOATING									
RATE FUND A									
Symbol: PSRZX									
Trade date: Jun 26, 13	87.091	10.259	893.55	893.55	10.240	891.81	-1.74	-1.74	의
EAI: \$29 Current yield: 3.25%									
TEMPLETON GLBAL BOND ADV									
Symbol: TGBAX									
Trade date: Sep 15, 11	95.480	13.278	1,267.81	1,267.81	13.090	1,249.83	-17.98		5
Total reinvested	15.581	12.960		201.93	13.090	203.95	2.02		
EAI: \$57 Current yield: 3.92%									
Security total	111.061	13.234	1,267.81	1,469.74		1,453.78	-15.96	185.97	
WESTERN ASSET CORE PLUS									
BOND FUND CLASS I								;;;; ; ;;	



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Portfolio Management Program December 2013

Account name: NI
Account type: IR

NICK J RAHALL JR. IRA Rollover

Your Financial Advisor: THE HANDO FELDMAN ADAMS GROUP 301-718-5000/800-638-2909

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Your assets • Fixed income • Mutual funds (continued)

	Security total 189.711 11.063 1,960.31 2,098.77	EAI: \$67 Current yield: 3.16%	Total reinvested 12.135 11.409 138.46 11.190	Trade date: Oct 11, 11 54.514 10.969 598.01 598.01 11.190	Trade date: Sep 15, 11 123.062 11.070 1,362.30 1,362.30 11.190	Symbol: WACPX	Purchase price/ Number Average price Client Cost Price per share Holding of shares per share (\$) investment (\$) basis (\$) on Dec 31 (\$)
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	1 950 31			598.01	1,362.30		Client investment (\$)
\$13 627 71 \$14.811.47	2,098.77		138.46	598.01	1,362.30		
			11.190	11.190	11.190		Price per share on Dec 31 (\$)
\$15,171.56	2,122.86		135.79	610.01	1,377.06		Value on Dec 31 (5)
\$360.09	24.09		-2.67	12.00	14.76		Unrealized (tax) gain or loss (\$)
\$1,543.85	162.55			5	<u></u>		Investment Holding return (S) perioc

Non-traditional

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cast basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

continued next page								
	2.45	43.89	28.990	41.44		27.371	1.514	Total reinvested
ᄕ	22,50	472.74	28.990	450.24	450,24	27.610	16.307	Trade date: Sep 21, 12
띡	102.16	809.22	28.990	707.06	707.06	25.329	27.914	Trade date: Aug 12, 11
								Symbol: GTEYX
								GATEWAY FUND CLASS Y
272.64	268.38	1,503.09	A Charles of the Char	1,234.71	1,230.45	18,499	66.745	Security total
								EAI: \$6 Current yield: 0.40%
	1.01	5.27	22.520	4.26		18.205	0.234	Total reinvested
-	267.37	1,497.82	22.520	1,230.45	1,230.45	18.499	66.511	Trade date: Sep 21, 12
								Symbol: DHLSX
				•				FUND CLASS I
								DIAMOND HILL LONG SHORT
Investment Holding return (5) period	Unrealized (tax) gain or loss (\$)	Value on Dec 31 (5)	Price per share on Dec 31 (5)	Cost basis (\$)	Client investment (5)	Purchase price/ Average price per share (\$)	Number of shares	Holding



Portfolio Management Program December 2013

Account name: Account type:

NICK J RAHALL JR. IRA Rollover

Your Financial Advisor: THE HANDO FELDMAN ADAMS GROUP 301-718-5000/800-638-2909

○ L) Oecember 2013 Your assets • **Non-traditional** • **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (5)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (5)	investment Holding return (\$) period	Holdin Derio
EAI: \$20 Current yield: 1.51%									
Security total	45.735	26.211	1,157.30	1,198.74		1,325.85	127.11	168.55	
MAINSTAY MARKETFIELD									
FUND CLASS I									
Symbol: MFLDX									
Trade date: Jul 10, 13	72.035	17.389	1,252.68	1,252.68	18.520	1,334.08	81.40	81.40	SI
MERGER FUND SBI			•						
Symbol: MERFX									
Trade date: Sep 21, 12	77.048	15.969	1,230.45	1,230.45	16.010	1,233.54	3.09		5
Total reinvested	3.495	15.891		55.54	16.010	55.95	0.41		
EAI: \$25 Current yield: 1.94%									
Security total	80.543	15.967	1,230.45	1,285,99		1,289.49	3.50	59.04	
Total			\$4,870.88	\$4,972.12		\$5,452.51	\$480.39	\$581.63	
Total estimated annual income: \$51									

Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	3,076.95	6.31%	3,076.95		
Equities	Closed end funds & Exchange traded					
	products	14,508.09		12,326.28	273.00	2,181.81
	Mutual funds	10,536.54		8,373.60	71.00	2,162.94
	Total equities	25,044.63	51.38%	20,699.88	344.00	4,344,75
Fixed income	Mutual funds	15,171.56	31.12%	14,811.47	552.00	360.09
Non-traditional	Mutual funds	5,452.51	11.19%	4,972.12	51.00	480,39
Total		\$48,745.65	100.00%	\$43,560.42	\$947.00	\$5,185.23



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NICK JOE RAHALL II 109 MAIN STREET BECKLEY WV 25801-4610

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
(304) 252-6221

FIRST CLEARING, LLC FIRST CLEARING, LLC 2801 MARKET STREET SAINT LOUIS, MO 63103 Payer ID #: 23-2384840

As of Date: 1/24/14

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Reportable Tax Information

to provide you with this reminder: TAXPAYERS ARE ULTIMATELY RESPONSIBLE FOR THE ACCURACY OF THEIR TAX RETURNS provided additional information for courtesy purposes that is not provided to the IRS. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. Where available, we have also

reported to the IRS, but may be helpful in completing Form 8949 of Schedule D of your tax return. The 1099-B data shown is reported to the IRS. Individual tax lots for each trade, where applicable, have been broken out and shown in italics. This detailed information is not

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED OMB NO. 1545-0715

A ALA LAM AND A DE TAL AL A	d Durior may	141140 1141				
					Supplemental i	ental information not reported to the IRS
8-Description / CUSIP		1b-Date of		3-Cost or Other	Gain or Loss	
1a-Date of Sale or Exchange	1e-Quantity Sold Acquisition 2a-Sales Price*	Acquisition	2a-Sales Price*	Basis	Amount	Amount Additional Information
BUFFALO WILD WINGS INC / 119848109	9					
02/04/13	125.0000 01/29/13	01/29/13	9,556.03	9,422.50	133.53 SALE	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR BASIS	OSSES FOR B	SIS				
REPORTED TO IRS			9,556.03	9,422.50	133.53	

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED** OMB NO. 1545-0715

					Supplemental	Supplemental information not reported to the IRS
8-Description / CUSIP		1b-Date of		3-Cost or Other	Gain or Loss	
1a-Date of Sale or Exchange	1e-Quantity Sold Acquisition	Acquisition	2a-Sales Price*	Basis	Amount	Additional information
AMGEN INC / 031162100						
05/23/13	250.0000	01/01/01	26,199.54	15,985.00	10,214.54	SALE
05/30/13	150.0000	01/01/01	15,574.83	9,591,00	5,983.83	SALE
05/30/13	100,0000	01/01/01	10,377.72	6,394.00	3,983.72	SALE
08/26/13	150.0000	01/01/01	16,558.91	9,591.00	6,967.91	SALE

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

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As of Date: 1/24/14

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NICK JOE RAHALL II 109 MAIN STREET BECKLEY WV 25801-4610

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802 (304) 252-622:

Payer:
Payer:
FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID #: 23-2384840

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Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED** continued 1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

- COCCES TOTAL CICKOT STILL DELICE TACTISTING - CRINESON TO FOLK		21.20	101010 -C- PC-	C 3 C 2		
					Supplemental	Supplemental Information not reported to the IRS
8-Description / CUSIP		1b-Date of		3-Cost or Other	Gain or Loss	
1a-Date of Sale or Exchange	1e-Quantity Sold Acquisition	Acquisition	2a-Sales Price*	Basis	Amount	Additional Information
WAL-MART STORES INC / 931142103						
04/17/13	100,0000	02/12/10	7,725.82	5,313.60	2,412.22	SALE
04/23/13	150,0000	10/23/09	11,665.45	7,667.50	3,997.95	SALE
05/03/13	200,0000	11/03/09	15,571.57	10,054,60	5,516.97	SALE
05/22/13	50.0000	01/15/85	3,802.43	64.69	3,737.74	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR	SSES FOR					
NONCOVERED SECURITIES			107,476.27	64,661.39	42,814.88	

Box 2a: Sales price less commissions and option premiums

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.



DISALLOWED (Box 5). "For noncovered securities, the following information is not reported to the IRS: COST OR OTHER BASIS (Box 3), DATE OF ACQUISITION (Box 1b), TYPE OF GAIN OR LOSS (Box 1c), WASH SALE LOSS AMOUNT

2013 ENHANCED 1099

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NICK JOE RAHALL II 109 MAIN STREET BECKLEY WV 25801-4610

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FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID #: 23-2384840

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As of Date: 1/24/14

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Federal Non-Reportable Information

COMPLETING YOUR TAX RETURN AND CONSULTING WITH YOUR TAX ADVISOR. requirements or adjustments. THIS INFORMATION IS NOT VERIFIED OR GUARANTEED BY FIRST CLEARING, LLC TO BE ACCURATE FOR EACH TAXPAYER'S UNIQUE REPORTING REQUIREMENTS. THEREFORE, YOU SHOULD NEVER ASSUME THIS STATEMENT IS ACCURATE IN LIEU OF This information is provided for courtesy purposes only. Each individual taxpayer should consult with a Tax Advisor as to any additional reporting

Option activity is not reportable on the Form 1099-B, but this information is being provided for clients that may need to include this information on their tax refurn.

Option Activity Gain/Loss Detail for Year SHORT TERM OPTIONS

Check box C on Form 8949							
Description	Quantity Sold	Acquisition	Date of Sale or Exchange	Sales Price	Cost or Other Basis	Gain or Loss Amount	
P TSLA 092113 125	1.00000	05/31/13	06/03/13	3,798.89	3,321.04	477.85	
	1.00000	06/05/13	09/16/13	2.01	3,921.04	-3,919.03	
	1.00000	06/27/13	09/16/13	2.01	2,487.04	-2,485.03	
	3.00000	08/19/13	09/16/13	6.04	752.40	-746.36	
TOTAL SHORT TERM OPTIONS				\$3,808.95	\$10,481.52	-\$6,672.57	

Wells Fargo Advisors Account

2013 Purchases

Date	Description	Amount
01/29	125 Buffalo Wild Wings Inc	\$9,422.50
05/31	1 Put Tesla Motors \$125 Exp 09/21/13	3,321.04
06/04	1 Put Tesla Motors \$125 Ex[09/21/13	3,921.04
06/27	1 Put Tesla Motors \$125 Exp 09/21/13	2,487.04
		¢10.151.60
		<u>\$19,151.62</u>

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Wells Fargo SEP IRA

2013 Transactions

Date	Description	Amount
<u>Purchases</u>		
12/30	107,562 AMF Large Cap Equity Fund Reinvestment	<u>\$1,163.82</u>
<u>Sales</u>		
01/22	100 IShares S&P Global Utilities Index Fund	\$4,103.31
01/22	25 IShares S&P Global Utilities Index Fund	1,020.26
03/07	5,000 Chiquita Brands Intl Senior Notes Due 11/01/14	5,000.00
03/21	477.078 John Hancock II Lifestyle Growth Fund CI-C	6,798.36
04/12	160.777 AMF Large Cap Equity Fund Class AMF	1,656.00
04/24	209.728 AMF Large Cap Equity Fund Class AMF	2,156.00
04/24	5,000 Edison Mission Energy Sr Notes Due 06/15/16	2,714.00
07/19	5,000 Anglogold Holdings PLC Senior Notes Due 04/15/40	4,130.50
11/29	4,000 First Data Corporation Partial Call Due 11/29/13	<u>4,000.00</u>
		\$ <u>31,578</u> .43

2013 Sales

Date	Description	Amount
01/02	153 Citigroup Inc New	6,208.27
01/03	25 BP Plc Spons Adr	1,068.48
01/09	150 BP Plc Spons Adr	6,562.95
01/14	125 Hewlitt-Packard Company	2,066.83
01/24	100 Brunswick Corp	3,459.92
01/25	25,000 GNMA Remic Multiclass CMO Due 04/20/34	11,534.48
01/25	25,000 GNMA Remic Multiclass CMO Due 07/20/34	11,618.54
01/29	100 Brunswick Corp	3,579.27
01/29	175 Verizon Communications Com	7,530.46
02/07	110 Advance Auto Parts	8,836.00
02/13	200 Lorillard Inc	8,254.11
02/13	175 Applied Indl Tech Inc	7,680.73
02/13	110 Advance Auto Parts	8,622.11
02/13	135 Omnicom Group	7,611.09
02/20	105 Exxon Mobil Corp	9,361.76
03/05	135 Caseys Genl Stores Inc	7,730.00
03/05	150 Otter Tail Corporation	4,357.60
03/07	300 Kronos Worldwide Inc	5,202.08
03/11	200 Ryder System Inc	11,738.73
03/12	115 ConocoPhillips	6,740.23
03/22	170 BP Plc Spons Adr	7,067.72
03/26	200 Lorillard Inc	7,969.76
05/03	200 Cardinal Health Inc	9,223.79
05/03	100 Omnicom Group	6,066.88
05/14	200 CST Brands Inc	6,297.86
07/15	110 IAC/InteractiveCorp	5,637.08
07/15	10,000 American Gen Fin Corp CPN 6.750% Due 07/15/13	10,000.00
07/18	200 Carnival Corp	7,302.27
07/19	110 United Rentals Inc	6,108.81
07/24	105 Phillips 66	6,131.92
08/13	100 Ebay Inc	5,419.41
09/04	200 Marathon Oil Corp	7,007.88
09/10	110 Agco Corp	6,445.39
09/10	300 Masco Corp	6,161.36
09/10	105 Omnicom Group	6,647.33
10/17	105 Marathon Petroleum Corp	7,263.60
10/17	200 Microsoft Corp	6,912.48
10/18	105 Agrium Inc	9,005.49
10/18	110 Omnicom Group	7,161.69
10/21	105 Celanese Corporation Series A	5,886.94

2013 Sales

Date	Description	Amount
10/23	105 Procter & Gamble Co	8,356.29
10/23	155 Waste Mgmt Inc Del	6,571.63
10/24	115 Exxon Mobil Corp	10,031.33
11/04	110 Yum Brands Inc	7,514.17
11/11	100 CME Group Inc	7,763.86
11/21	200 Hillshire Brands Co	6,669.68
11/22	105 Varian Medical Systems Inc	7,810.71
12/06	250 Media General Inc Cl A	4,751.42
12/26	125 Gannett Co Inc Del	3,608.32
12/26	50 Hewlett-Packard Company	<u>1,352.48</u>
		<u>\$301,391.72</u>

2013 Purchases

Date	Description	Amount
Date	Description	Amount
01/16	200 Lorillard Inc	7,880.00
01/16	175 Verizon Communications Com	7,420.55
01/29	110 Advance Auto Parts	8,306.33
02/05	125 Ryder System Inc	7,384.50
02/05	135 Omnicom Group	7,396.08
02/05	115 ConocoPhillips	6,742.80
02/06	175 Applied Indl Tech Inc	7,917.00
02/07	105 Exxon Mobil Corp	9,321.68
02/07	110 Advance Auto Parts	8,500.14
02/11	75 Ryder System Inc	4,361.25
02/1 9	200 Carnival Corp	7,374.00
02/22	135 Caseys Geni Stores Inc	7,658.25
02/27	200 Lorillard Inc	7,831.00
02/28	170 BP Plc Spons Adr	7,002.84
03/15	115 Cardinal Health Inc	5,488.22
03/15	100 Omnicom Group	6,045.10
03/19	85 Cardinal Health Inc	3,692.20
05/10	200 CST Brands Inc	6,058.48
05/10	110 IAC/InteractiveCorp	5,432.34
07/10	105 Phillips 66	6,051.25
07/10	110 United Rentals Inc	5,843.00
08/02	100 Ebay Inc	5,276.00
08/15	105 Omnicom Group	6,429.25
08/19	200 Hillshire Brands Co	6,630.00
08/19	200 Marathon Oil Corp	6,627.54
08/21	110 Agco Corp	6,340.77
08/26	200 Masco Corp	4,003.98
08/26	175 Mastec Inc	5,825.75
08/27	100 Masco Corp	1,898.47
09/20	105 Marathon Petroleum Corp	6,875.10
09/30	105 Procter & Gamble Co	8,022.20
10/03	115 Exxon Mobil Corp	9,959.64
10/08	200 Microsoft Corp	6,700.98
10/08	155 Waste Mgmt Inc Del	6,386.25
10/09	110 Omnicom Group	6,877.00
10/0 9	110 Yum Brands Inc	7,333.10
10/10	105 Agrium Inc	8,806.55
10/15	105 Celanese Corporation Series A	5,671.25

2013 Purchases

Date	Description	Amount
10/24	105 Varian Medical Systems Inc	7,796.24
11/05	100 CME Group Inc	7,551.00
11/29	135 Celanese Corporation Series A	7,688.00
12/09	100 Gannett Co Inc Del	2,564.50
		<u>\$263,670.03</u>

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USB Financial Services IRA

2013 Transactions

Date	Description	Amount
<u>Purchases</u>		
07/01 07/11	179.226 Blackrock Strategic Income A 72.035 Mainstay Marketfield Fund Class I	\$1,786.88 1,252.68
07/15 07/17	82.401 Virtus Premium Alpha Sector Fund A 15 Spdr S&P Midcap 400 Etf Tr	1,252.50 3,323.76
07/17	36 Vanguard Ftse Europe Etf	1,809.35
07/18	22 Spdr S&P 600 Small Cap Etf	2,033.90
		<u>\$11.459.07</u>
<u>Sales</u>		
05/13	52 Wisdomtree Trust Futre Strat Fd De	\$2,112.73
06/27	268.59 Ivy Limited Term Bd Fd Cl I Fboid	2,933.00
06/28	9 Spdr Gold Trust De	1,110.71
07/01	23 IShares Tr Core Total US Bond Mkt Etf De	2,451.01
07/15	126.734 IQ Alpha Hedge Strategy Instl Class	1,296.49
07/17	12 IShares S&P 500 Growth Etf	1,056.05
07/17	22.188 Wells Fargo Advantage Funds Growth Adm	<u>1,084.76</u>
		<u>\$12.044.75</u>