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August 5, 2013

The Clerk  
U.S. House of Representatives  
Legislative Resource Center  
B106 Common House Office Building  
Washington, D.C. 20515-6612

Re: Congressman Henry J. (Trey) Radel III  
Original Amended May 2013 Financial Disclosure Form

To Whom It May Concern:

Enclosed please find the original and two copies of the Amended May 2013 Financial Disclosure form for Congressman Henry J. (Trey) Radel III. Recently, at Congressman Radel's request, his accountant, his broker and I have undertaken an exhaustive review and evaluation of his finances in order to be certain everything is reported in a timely and correct manner. The Congressman had lost both his grandmother and mother in the recent past, both of whom left estates to him that had to go through probate and related legal processes, which made it a challenge to correctly report each asset. As a result of this review we found minor errors, which the Congressman has corrected in the annexed filing.

Moreover, there were many small transactions in 2012, including many below the reporting threshold, relating to the final settlement of the estates, coupled with the direction to maintain a balanced and diversified portfolio, that make it difficult to track holdings, particularly from the 2012 disclosure form to the 2013 disclosure form. Congressman Radel wanted to ensure full disclosure of all said holdings and transactions, as such, annexed to the Amended May 2013 Financial Disclosure Report are addenda I, II, and III, which report and track various transactions, as an aid to a reviewer.

In performing our review, we realized that appropriate notations in Block E, of Schedule III, were sometimes omitted inadvertently, this oversight is corrected in the Amended Disclosure. Similarly, on Schedule IV, there are a few entries reflecting partial sale of an asset, which information is now consistently noted.

✓  
MC

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The Clerk  
August 5, 2013  
Page 2

Congressman Radel has also added explanatory notes showing the source of funding of various holdings, where such funding was properly reported in the February 2012 Disclosure, as a subset of either his mother's or grandmother's estate.

Finally, in reviewing and cross referencing the many holdings and transactions, Congressman Radel, with our assistance, has identified and corrected any information that was not complete and supported by the various records documenting said holdings and transactions.

Should you have any questions, do not hesitate to contact me.

Sincerely,

 Laurence A. Levy

cc: Henry J. Radel III

Encs.

**Addendum I**  
**to**  
**Trey Radel 2012 Amended Financial Disclosure**  
**pertaining to**  
**Morgan Stanley IRA (MS3)**

These securities were not listed as an asset on Schedule II of the February 29, 2012 disclosure because they were sold during January and February 2012. Therefore, they were not owned as of February 29, 2012. Further, these securities were not listed as an asset on Schedule III of the 2012 year-end disclosure. The sales transactions were required to be reported on Schedule IV of the 2012 year-end disclosure. This Addendum was created to reconcile the non-disclosure of the assets with the disclosure of the sales transactions.

<b>Security</b>	<b>Old Disclosure-Schedule II</b>	<b>New Disclosure-Schedule III</b>	<b>Transaction Reported-New Disclosure Schedule IV</b>
Darden Restaurants	No	No	Sale 1/6/12
Cimarex Energy	No	No	Sale 1/20/12
Gap	No	No	Sale 1/20/12
Vanguard Div Appreciation Fund	No	No	Sale 1/27/12
Wisdomtree Lg Cap Div Fund	No	No	Sale 1/27/12
Powershares Div ACH Fund	No	No	Sale 2/10/12
PSS World Med	No	No	Sale 2/16/12
Dupont	No	No	Sale 2/16/12
Wellpoint	No	No	Sale 2/24/12
KLA Tencor	No	No	Sale 2/24/12, purchase 8/2/12, sale 10/11/12

**Addendum II**  
to  
**Trey Radel 2012 Amended Financial Disclosure**  
pertaining to  
**Morgan Stanley IRA (MS3)**

These securities were listed as an asset on Schedule II of the February 29, 2012 disclosure because they were owned at that time. They are not listed as an asset on Schedule III of the 2012 year-end disclosure because they were sold during 2012. The sales transactions liquidating these assets are not listed on Schedule IV of the 2012 year-end disclosure because they were all transactions of less than \$1,000, the reporting threshold.

<b>Security</b>	<b>Old Disclosure-Schedule II</b>	<b>New Disclosure-Schedule III</b>	<b>Transaction Reported-New Disclosure Schedule IV</b>
Anixter	Yes	No	Sale 10/11/12 and 10/12/12 at <\$1,000 each
Energy Select Fund	Yes	No	Sale 3/22/12 and 3/23/12 at <\$1,000 each
ENERSYS	Yes	No	Sale 4/11/12 <\$1,000
Hasbro Inc.	Yes	No	Sale 11/2/12 <\$1,000
Moody's	Yes	No	Sale 6/14/12 <\$1,000
PF Chang's	Yes	No	Sale 3/22/12 and 3/23/12 <\$1,000 each
Sensient Tech	Yes	No	Sale 3/22/12 and 3/23/12 <\$1,000 each
SPX CP	Yes	No	Sale 6/27/12 <\$1,000
Superior Energy Svc	Yes	No	Sale 6/27/12 <\$1,000

**Addendum III**  
**to**  
**Trey Radel 2012 Amended Financial Disclosure**  
**Morgan Stanley IRA (MS3)**

CA Inc. was neither listed as an asset on Schedule II of the February 29, 2012 disclosure nor on Schedule III of the 2012 year-end disclosure. This security was purchased after February 29, 2012 in one transaction that was greater than \$1,000, the reporting threshold. It was subsequently sold in two separate transactions during 2012 of less than \$1,000 each. Accordingly, the purchase transaction is set forth on Schedule IV of the 2012 year-end disclosure, and the sales transactions are not.

<b>Security</b>	<b>Old Disclosure-Schedule II</b>	<b>New Disclosure-Schedule III</b>	<b>Transaction Reported-New Disclosure Schedule IV</b>
CA Inc.	No	No	Purchase 6/14/12, sale 11/7/12, sale 11/8/12 <\$1,000 each

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT**

Form A  
For use by Members, officers, and employees

**HAND DELIVERED**  
Page 1 of 47

Name: Henry J. "Trey" Radel III

Daytime Telephone: 239.537.8739

2013 AUG -5 PM 2:13

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U.S. HOUSE OF REPRESENTATIVES  
(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: FL District: 14	<input type="checkbox"/> Officer or Employee	Employing Office:	Termination Date:
Report Type	<input type="checkbox"/> Annual (May 15, 2013)	<input checked="" type="checkbox"/> Amendment	<input type="checkbox"/> Termination		

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

**PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS**

IPO—Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

## SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

**Exclude:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

[illegible]

**For payments to charity in lieu of honoraria, use Schedule II.**

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

[illegible]



# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name **Henry J. "Trey" Radel II** Page **4** of **47**

BLOCK A Asset and/or Income Source			BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans), provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p><b>Exclude:</b> Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving account; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>			<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p>													<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>								<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>												<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP, DC, JT	SP	DC	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	S (partial)
			None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	
	Examples:	Mega Corp. Stock															X																			
		Simon & Schuster																X																		
		1st Bank of Paducah, KY Accounts																																		
		Morgan Stanley 1																																		
		Cash																																		
		Fifth Third Bancorp																																		
		Proctor & Gamble																																		
		AllianzG NFJ Intl																																		
		Value P (F)																																		

For additional assets and unearned income, use next page.

This account and its holding were funded with cash and securities transfer from Sollinger Family Limited Partnership under Sollinger Trust

# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Henry J. "Trey" Radel III

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BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction
	A	B	C	D	E	F	G	H	I	J	K	L	M		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
	None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*		None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	
SP, DC, JT																											P, S, E
Morgan Stanley 1																											
Continued																											
DWS RReef GLB Infra	(F)	X													X												P
First Eagle Global I	(F)		X												X												P
Goldman Sachs MidCap	(F)			X											X												P
Goldman Sachs Rising		X													X												P
Div GWI (F)																											
Nuveen All American				X											X												P
Muni Bd I (F)															X												
Nuveen Int DUR Muni				X											X												P
Bond I (F)																											
Nuveen Santa Barbara				X											X												P
Div Gr I (F)																											
PIMCO Real Return P	(F)	X													X												P
General Electric		X													X												

**SCHEDULE III—ASSETS AND "UNEARNED" INCOME**  
Continuation Sheet (if needed)

Name **Henry J. "Trey" Radel III**

Page **6** of **47**

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction
	A	B	C	D	E	F	G	H	I	J	K	L	M		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
	None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*		None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	
SP, DC, JT																											P, S, E
Morgan Stanley 2																											
Cash		X																									
American Express			X																								P
Barrick Gold Corp.			X																								P
Baxter Intl. Inc.			X																								P
Becton Dickinson			X																								P
CR Bard Inc.			X																								P
Charles Schwab New			X																								P
Chevron Corp.			X																								P
Chubb Corp.			X																								P
Cisco Sys Inc.			X																								P
Conoco Phillips Corp.			X																								P
Dell Inc.			X																								P
Devon Energy Corp.			X																								P
Dr. Pepper Snapple			X																								P
EBay Inc.			X																								P
Exelon Corp.			X																								P
Exxon Mobil Corp.			X																								P
Glaxo Smith Kline			X																								P
Google Inc.			X																								P
Johnson & Johnson			X																								P

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This account was funded with a cash transfer from MS1

# SCHEDULE III—ASSETS AND “UNEARNED” INCOME

Continuation Sheet (if needed)

Henry J. "Trey" Radel III  
Name

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BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction
	A	B	C	D	E	F	G	H	I	J	K	L	M		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
	None	\$1 – \$1,000	\$1,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$250,000	\$250,001 – \$500,000	\$500,001 – \$1,000,000	\$1,000,001 – \$5,000,000	\$5,000,001 – \$25,000,000	\$25,000,001 – \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*		None	\$1 – \$200	\$201 – \$1,000	\$1,001 – \$2,500	\$2,501 – \$5,000	\$5,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$1,000,000	\$1,000,001 – \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	
SP, DC, JT														NONE													R, S, E
Morgan Stanley 2																											
Continued																											
Medtronic Inc.			X																								P
Microsoft				X																							P
Molson Coors Brewing				X																							P
Newmont Mining				X																							P
Northrup Grumman				X																							P
Pepsico Inc.				X																							P
PNC Fin'l Svcs.				X																							P
Procter & Gamble				X																							P
Sigma Aldrich Corp.				X																							P
Suntrust Bank				X																							P
Target Corp.				X																							P
Torchmark Corp.				X																							P
Travelers Co.				X																							P
Unilever				X																							P
US BankCorp				X																							P
Walmart Stores				X																							P
Wells Fargo & Co.				X																							P

# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Henry J. "Trey" Radel III  
Name

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BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction
	A	B	C	D	E	F	G	H	I	J	K	L	M		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
	None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*		None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	
SP, DC, JT																											P, S, E
Morgan Stanley 3-IRA							X	aggregate					account														
Cash		X												X		X											
Apple Inc.			X													X											
Johnson & Johnson			X													X											
McDonalds			X													X											
MeadWestwaco Corp.			X													X											
Time Warner			X													X											
First Trust DJ			X													X											
Internet Idx (F)																											
Fr Tr DJ Select			X													X											
MicroCap (F)																											
Global X FTSE			X													X											
ASEAN 40 (F)																											
IShares MSCI ACWI Ex			X													X											
US Idx (F)																											
IShares MSCI Asia			X													X											
Ex Japan (F)																											
IShares MSCI			X													X											
Canada Idx (F)																											
IShares MSCI EAFE (F)			X													X											

# SCHEDULE III—ASSETS AND “UNEARNED” INCOME

Continuation Sheet (if needed)

Henry J. "Trey" Radel III  
Name

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Page

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction
	A	B	C	D	E	F	G	H	I	J	K	L	M		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
	None	\$1 – \$1,000	\$1,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$250,000	\$250,001 – \$500,000	\$500,001 – \$1,000,000	\$1,000,001 – \$5,000,000	\$5,000,001 – \$25,000,000	\$25,000,001 – \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*		None	\$1 – \$200	\$201 – \$1,000	\$1,001 – \$2,500	\$2,501 – \$5,000	\$5,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$1,000,000	\$1,000,001 – \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	
SP, DC, JT																											P, S, E
Morgan Stanley 3-IRA																											
Continued																											
IShares MSCI EMERG			X														X										P
MKTS (F)																											
IShares MSCI EMU (F)			X														X	X									P
IShares MSCI Germany			X														X	X									P
Idx (F)																											
IShares MSCI Pac			X														X										P
Ex Japan (F)																											
IShares SP Small Cap			X														X	X									P
600 Idx (F)																											
IShares MSCI			X														X	X									P
Switzerland Idx(F)																											
IShares MSCI UK			X														X										
Idx (F)																											
Powershares DWA Tech			X														X	X									P
Lead PTF (F)																											
Powershares Intl Div (F)			X														X	X									
Powershares S&P 500			X														X										P
High Beta (F)																											

# SCHEDULE III—ASSETS AND “UNEARNED” INCOME

Continuation Sheet (if needed)

Henry J. "Trey" Radel III  
Name

Page 10 of 47

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction
	A	B	C	D	E	F	G	H	I	J	K	L	M		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
	None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*		None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	
SP, DC, JT																											P, S, E
Morgan Stanley 3-IRA																											
Continued																											
Vanguard European				X													X										P
MSCI (F)																											
Vanguard MSCI			X														X										P
Emerging Markets (F)																											
Vanguard Indust (F)			X														X										P
Vanguard Info Tech (F)			X														X										P, S
Vanguard Large Cap (F)				X													X										P
Vanguard MSCI			X														X										S
EAFE (F)																											
Wilshire Micro-Cap (F)			X														X										
Wisdomtree Tr Emg			X														X										
Mkts Sm Cap (F)																											
Wisdomtree Tr																											P
JPN to DIV (F)																	X										
CBRE Group			X														X										P
Cracker Barrel			X														X										
Energy Sel Sect SPDR			X														X										P
Exxon Mobil			X														X										
Health Care Sel SPDR			X														X										

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# SCHEDULE III—ASSETS AND “UNEARNED” INCOME

Continuation Sheet (if needed)

Henry J. "Trey" Radel III  
 Name  
 Page 11 of 47

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction
	A	B	C	D	E	F	G	H	I	J	K	L	M		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
	None	\$1 – \$1,000	\$1,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$250,000	\$250,001 – \$500,000	\$500,001 – \$1,000,000	\$1,000,001 – \$5,000,000	\$5,000,001 – \$25,000,000	\$25,000,001 – \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*		None	\$1 – \$200	\$201 – \$1,000	\$1,001 – \$2,500	\$2,501 – \$5,000	\$5,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$1,000,000	\$1,000,001 – \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	
SP, DC, JT																											P, S, E
Morgan Stanley 3-IRA																											
Continued																											P
ISHares DJ US Fin Svc (F)			X																								P, S
Merck & Co.			X																								P
Mellife			X																								
Nordstrom			X																								
Powershares			X																								
S&P SC Health (F)																											
Qualcomm Inc.			X																								P
SPDR S&P Metals & Mining (F)			X																								
UnitedHealth			X																								P
Utilities Select			X																								P, S
Sect SPDR (F)																											
Vanguard Cons Stpls (F)			X																								P, S
Wisdomtree Tr			X																								P
Lg Cap Div (F)																											
Required Minimum																											
Distribution from																											
Inherited IRA based on aggregate account value see page 8																											



# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Henry J. "Trey" Radel III  
Name

Page 12 of 47

Continuation Sheet (if needed)

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction
	A	B	C	D	E	F	G	H	I	J	K	L	M		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
	None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*		None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	
SP, DC, JT																											P, S, E
Morgan Stanley 4-Tr A			X													X											
Cash			X											X													
American Express			X												X												P
Barrick Gold Corp.			X												X												P
Baxter Intl Inc.			X												X												P
Becton Dickinson			X												X												P
CR Bard Inc.			X												X												P
Charles Schwab New			X												X												P
Chevron			X												X												P
Chubb Corp.			X												X												P
Cisco Sys Inc.			X												X												P
Conoco Phillips			X												X												P
Dell Inc.			X												X												P
Devon Energy			X												X												P
Dr. Pepper Snapple			X												X												P
EBay Inc.			X												X												P
Exelon Corp.			X												X												P
Exxon Mobil Corp.			X												X												P
Glaxo Smith Kline			X												X												P
Google Inc.			X												X												P
Johnson & Johnson			X												X												P

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This account was funded with a cash transfer from MSS

# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Henry J. "Trey" Radel III

Page 13 of 47

BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																									
SP, DC, JT		A None	B \$1 – \$1,000	C \$1,001 – \$15,000	D \$15,001 – \$50,000	E \$50,001 – \$100,000	F \$100,001 – \$250,000	G \$250,001 – \$500,000	H \$500,001 – \$1,000,000	I \$1,000,001 – \$5,000,000	J \$5,000,001 – \$25,000,000	K \$25,000,001 – \$50,000,000	L Over \$50,000,000	M Spouse/DC Asset over \$1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II \$1 – \$200	III \$201 – \$1,000	IV \$1,001 – \$2,500	V \$2,501 – \$5,000	VI \$5,001 – \$15,000	VII \$15,001 – \$50,000	VIII \$50,001 – \$100,000	IX \$100,001 – \$1,000,000	X \$1,000,001 – \$5,000,000	XI Over \$5,000,000	XII Spouse/DC income over \$1,000,000*																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																									
	Morgan Stanley 4-Tr A																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																										

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## Page 14 of 47

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BLOCK A		BLOCK B													BLOCK C								BLOCK D												BLOCK E	
Asset and/or Income Source		Year-End Value of Asset													Type of Income								Amount of Income												Transaction	
SP, DC, JT		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII		
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*										\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*		
	Morgan Stanley 5-Tr A								X														X													
	Cash																X																			P
	Allianz NFJ					X									X										X											P
	Intl Value P (F)																																			P
	First Eagle Global (F)		X												X	X							X													P
	Nuveen All-American					X									X	X							X													P
	Muni Bond 1 (F)																																			P
	Nuveen Int Dur					X									X	X							X													P
	Muni Bond (F)																																			P
	Nuveen Santa Barbara				X										X	X							X													P
	Div Gr 1 (F)																							X												P
	PIMCO Real Return				X										X	X							X													P
				</																																

This account was funded with proceeds from liquidation of Sollinger of Naples Family LP and Eaton Vance under Kathleen M Radel Trust

## Continuation Sheet (if needed)

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This account was funded with proceeds from liquidation of Sollinger on Naples Family LP under MR Sollinger Trust

# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Henry J. "Trey" Radel III  
Name

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Page of

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction
	A	B	C	D	E	F	G	H	I	J	K	L	M		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
	None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*		None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	
SP, DC, JT														NONE													P, S, E
Morgan Stanley 7-STR			X													X											
Cash																											
American Express			X																								P
Barrick Gold Corp.				X												X	X										P
Baxter Intl Inc.				X												X	X										P
Becton Dickinson				X												X	X										P
CR Bard				X												X	X										P
Charles Schwab Inc.				X												X	X										P
Chevron Corp.				X												X	X										P
Chubb Corp.				X												X	X										P
Cisco Sys Inc.				X												X	X										P
Conoco Phillips				X												X	X										P
Dell Inc.				X												X	X										P
Devon Energy Corp.				X												X	X										P
Dr. Pepper Snapple				X												X	X										P
EBay Inc.				X												X	X										P
Exelon Corp.				X												X	X										P
Exxon Mobil				X												X	X										P
Glaxo Smith Kline				X												X	X										P
Google Inc.				X												X	X										P
Johnson & Johnson				X												X	X										P

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This account was funded with a cash transfer from MS6

**SCHEDULE III—ASSETS AND "UNEARNED" INCOME**  
Continuation Sheet (if needed)

Henry J. "Trey" Radel III  
Name

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Page of

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction
	A	B	C	D	E	F	G	H	I	J	K	L	M		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
	None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*		None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	
SP, DC, JT																											P, S, E
Morgan Stanley 7-Str																											
Continued																											
Medtronic			X														X										P
Microsoft			X														X										P
Molson Coors Brewing			X														X										P
Newmont Mining Corp.			X														X										P
Northrop Grumman			X														X										P
Pepsico Inc.			X														X										P
PNC Fin'l Svcs			X														X										P
Procter & Gamble			X														X										P
Sigma Aldrich Corp.			X														X										P
SunTrust Banks			X														X										P
Target Corp.			X														X										P
Torchmark Corp.			X														X										P
Travelers Co.			X														X										P
Unilever			X														X										P
US BankCorp			X														X										P
Walmart Stores			X														X										P
Wells Fargo & Co.			X														X										P

# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Henry J. "Trey" Radel III  
Name

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Page of

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction	
	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII		
SP, DC, JT	None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	P, S, E	
UBS Personal																																			
Cash			X														X						X												
American Funds				X											X								X												
Euro Pacific (F)																																			
American Funds															X								X												
Growth Fd of Am (F)																																			
Cinn Fin'l Corp.															X																				
Davis New York															X																				
Venture (F)																																			
Fifth Third Bancorp															X								X												
General Electric															X								X												
Victory Established															X																				
Value (F)																																			
Victory Diversified															X								X												
Stock (F)																																			
Restr. Serefex Corp															X								X												

## Continuation Sheet (if needed)

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# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Henry J. "Trey" Radel III  
Name

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BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction
	A	B	C	D	E	F	G	H	I	J	K	L	M		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
SP, DC, JT	None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	NONE	None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	P, S, E
RCMP Fund LLC		X												X	X												P
Lithia, FL-based private																											
Investment partnership																											
Richter Realty Company			X													X											
Cincinnati, OH based																											
real estate partnership																											
Radel Funeral Services				X										X	X												
Cincinnati, OH based																											
funeral service company																											
Kathleen M. Radel Rev.																											S
Trust dated February																											
16, 2008																											
Morgan Stanley-Cash			X													X											
Fifth Third Bank																											
JT Cash Acct 1		X														X											
JT Cash Acct 2		X														X											
Estate Acct		X													X												

Account is held in a fiduciary capacity to pay obligations of late mother's estate  
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# SCHEDULE III—ASSETS AND “UNEARNED” INCOME

Continuation Sheet (if needed)

Henry J. "Trey" Radel III  
Name

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Page

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction
	A	B	C	D	E	F	G	H	I	J	K	L	M		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
	None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*		None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	
SP, DC, JT																											P, S, E
Ft. Myers Broadcasting 401 (K) Plan																											
Life Strategy I (F)			X																								
Life Strategy II (F)			X																								
Life Strategy III (F)			X																								
Emerging Mkts Fd (F)			X																								
American Electric Power			X																								P, S
SP Fidelity 401 (K)			X																								
Radel Realty LLC				X																							
2723 Gulfview																											
Naples, FL 34112																											
Richter Realty Corp.			X																								
Cincinnati, OH based																											
real estate partnership																											
Rental Condominium	X																										S
Miami, FL																											

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# SCHEDULE IV—TRANSACTIONS

Henry J. "Trey" Radel III  
Name

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT SP	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
Example:	Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Gerdau SA - TD Ameritrade		X		X	9-25-12		X									
	Wells Fargo - TD Ameritrade		X		X	9-25-12		X									
	Note: The TD Ameritrade account was closed the same day																
	Morgan Stanley 2																
	American Express	X				8-22-12	X										
	Barrick Gold Corp.	X				8-22-12	X										
	Baxter Intl Inc.	X				8-22-12	X										
	Becton Dickinson	X				8-22-12	X										
	CR Bard Inc.	X				8-22-12	X										
	Charles Schwab New	X				8-22-12	X										
	Chevron	X				8-22-12	X										
	Chubb Corp.	X				8-22-12	X										
	Cisco Sys Inc.	X				8-22-12	X										
	Conoco Phillips Corp	X				8-22-12	X										
	Devon Energy Corp.	X				8-22-12	X										

# SCHEDULE IV—TRANSACTIONS

Henry J. "Trey" Radel III  
Name

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT SP	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
Example:	Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Morgan Stanley 2-Continued																
	Dr. Pepper Snapple	X				8-22-12	X										
	EBay Inc.	X				8-22-12	X										
	Exelon Corp.	X				8-22-12	X										
	Exelon Corp.	X				10-5-12	X										
	Glaxo Smith Kline	X				8-22-12	X										
	Google Inc.	X				8-22-12	X										
	Johnson & Johnson	X				8-22-12	X										
	Medtronic Inc.	X				8-22-12	X										
	Microsoft	X				8-22-12	X										
	Molson Coors Brewing	X				8-22-12	X										
	Newmont Mining	X				8-22-12	X										
	Northrop Grumman	X				8-22-12	X										
	Pepsico Inc.	X				8-22-12	X										
	Pepsico Inc.	X				9-28-12	X										
	PNC Fin'l Svcs	X				8-22-12	X										

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**Capital Gains** — if a sales transaction resulted in a capital gain in excess of \$200, check the “capital gains” box and disclose this income on Schedule III.

Asset	
SP, DC, JT	
SP	Example: Mega Corporation Common Stock (partial sale)

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# SCHEDULE IV—TRANSACTIONS

Henry J. "Trey" Radel III  
Name

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		PURCHASE	SALE	EXCHANGE			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
Example:	Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Morgan Stanley 3-IRA																
	Apple Inc.	X				5-16-12	X										
	Johnson & Johnson	X				6-12-12	X										
	Time Warner	X				6-27-12	X										
	First Trust DJ Internet (F)	X				10-11-12	X										
	ISHares MSCI Asia Ex Japan (F)	X				6-7-12	X										
	ISHares MSCI EAFE (F)	X				11-30-12	X										
	ISHares MSCI Emerg Mkts Fd (F)	X				1-11-12	X										
	ISHares MSCI EMU	X				7-3-12	X										
	ISHares MSCI Germany Idx (F)	X				1-27-12	X										
	ISHares MSCI Pac Ex Japan (F)	X				1-27-12	X										
	ISHares SP Small Cap 600 (F)	X				5-31-12	X										
	ISHares SP Small Cap 600 (F)	X				9-27-12	X										
	ISHares SP Small Cap 600 (F)	X				10-4-12	X										
	ISHares MSCI Switzerland Idx (F)	X				11-16-12	X										
	Powershares DWA Tech Lead (F)	X				6-4-12	X										

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# SCHEDULE IV— TRANSACTIONS

Henry J. "Trey" Radel III  
Name

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

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Example:	Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Morgan Stanley 3-IRA Continued																
	Powershares DWA Tech Lead (F)	X				11-16-12	X										
	Powershares S&P 500 High Beta (F)	X				8-22-12	X										
	Powershares S&P 500 High Beta (F)	X				10-11-12	X										
	Vanguard European MSCI (F)	X				6-7-12	X										
	Vanguard European MSCI (F)	X				10-8-12	X										
	Vanguard European MSCI (F)	X				10-26-12	X										
	Vanguard MSCI Emerging Mkts (F)	X				9-17-12	X										
	Vanguard Industrial (F)	X				12-19-12	X										
	Vanguard Large Cap (F)	X				6-4-12	X										
	Vanguard Large Cap (F)	X				7-12-12	X										
	Vanguard Large Cap (F)	X				12-5-12	X										
	Wisdomtree TR JPN to DIV (F)	X				12-5-12	X										
	Wisdomtree TR JPN to DIV (F)	X				12-20-12	X										
	CBRE Group	X				8-2-12	X										
	Energy Sel Sect SPDR (F)	X				11-16-12	X										

# SCHEDULE IV— TRANSACTIONS

Name **Henry J. "Trey" Radel III** Page **27** of **47**

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		PURCHASE	SALE	EXCHANGE			A \$1,001- \$5,000	B \$5,001- \$15,000	C \$15,001- \$50,000	D \$50,001- \$100,000	E \$100,001- \$250,000	F \$250,001- \$500,000	G \$500,001- \$1,000,000	H \$1,000,001- \$5,000,000	I \$5,000,001- \$25,000,000	J \$25,000,001- \$50,000,000	K Over \$50,000,000 Over \$1,000,000* (Spouse/DC Asset)
Example:	Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Morgan Stanley 3-IRA Continued																
	IShares DJ US Fin Svcs (F)	X				9-14-12	X										
	IShares DJ US Fin Svcs (F)	X				11-7-12	X										
	Merck	X				5-18-12	X										
	MetLife	X				10-11-12	X										
	SPDR S&P Metals & Mining (F)	X				8-22-12	X										
	UnitedHealth	X				9-27-12	X										
	Utilities Sel Sect SPDR (F)	X				5-2-12	X										
	Vanguard Cons Stpls (F)	X				11-16-12	X										
	Vanguard Info Tech (F)	X				11-8-12	X										
	Wisdomtree TR Lg Cap Div (F)	X				11-1-12	X										
	Wisdomtree TR Lg Cap Div (F)	X				11-8-12	X										
	Darden Restaurants		X			1-6-12	X										
	Microsoft	X				1-6-12	X										
	Vanguard MSCI EAFE (F)		X			1-11-12	X										
	Cimarex Energy Co.		X			1-20-12	X										



# SCHEDULE IV— TRANSACTIONS

Name **Henry J. "Trey" Radel III** Page **28** of **47**

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		PURCHASE	SALE	EXCHANGE			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example: Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Morgan Stanley 3-IRA Continued																
	Gap	X				1-20-12	X										
	IShares S&P Lat Am 40 Idx (F)	X				1-27-12	X										
	Vanguard MSCI EAFE (F)	X				1-27-12	X						partial sale				
	Vanguard Div Apprec (F)	X				1-27-12	X										
	Wisdomtree Lg Cap Div (F)	X				1-27-12	X										
	IShares Russell Midcap (F)	X				2-10-12	X										
	Powershares Div ACH (F)	X				2-10-12	X										
	PSS World Medical Inc.	X				2-16-12	X										
	SPDR DJ Wilshire REIT (F)	X				2-16-12	X										
	Dupont	X				2-16-12	X										
	Sotheby's	X				2-16-12	X										
	Wellpint Inc.	X				2-24-12	X										
	KLA Tencor Corp.	X				2-24-12	X										
	People's United Financial	X				3-5-12	X										
	IShares MSCI Japan Idx (F)	X				3-5-12	X										

# SCHEDULE IV— TRANSACTIONS

Name **Henry J. "Trey" Radel III** Page **29** of **47**

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		PURCHASE	SALE	EXCHANGE			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example: Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Morgan Stanley 3-IRA Continued																
	JPMorgan Chase	X				3-5-12	X										
	IShares MSCI Emerg Mkts (F)		X			3-21-12	X			partial sale							
	Vanguard Div Apprec (F)	X				3-21-12	X										
	Powershares FTSE RAFI Emerg (F)		X			3-21-12	X										
	Energy Sel Sect SPDR (F)		X			3-23-12	X			partial sale							
	Vanguard Div Apprec (F)	X				3-23-12	X										
	IShares DJ US Engy Sctr Idx (F)		X			3-27-12	X			partial sale							
	SPDR Gold Tr Gold Shs (F)	X				3-27-12	X										
	BMC Software		X			4-11-12	X										
	Verizon Communications		X			4-12-12	X										
	SPDR DJ Wilshire REIT (F)	X				4-26-12	X										
	Scripps Networks Interac	X				4-26-12	X										
	Invesco		X			4-26-12	X										
	General Electric	X				4-26-12	X										
	Weingarten Realty		X			4-26-12	X										

# SCHEDULE IV—TRANSACTIONS

Name: Henry J. "Trey" Radel III

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Example:	Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Morgan Stanley 3-IRA Continued																
	Microchip Technology Inc.		X			5-2-12	X										
	Cooper Industries	X				5-2-12	X										
	Caterpillar Inc.		X			5-2-12	X										
	Hologic Inc.		X			5-2-12	X										
	Ishares DJ US Envy Sctr Idx (F)		X			5-7-12	X						partial sale				
	JPMorgan Chase		X			5-14-12	X										
	SPDR Gold Tr Gold (F)		X			5-17-12	X										
	Conoco Phillips		X			5-18-12	X										
	Vanguard Large Cap (F)	X				5-18-12	X										
	Oil States Intl Inc.		X			5-31-12	X										
	Vanguard Div Apprec (F)		X			6-4-12	X										
	Ishares MSCI Japan Idx (F)		X			6-7-12	X										
	DB X MSCI EAFE Curr-Hedg (F)		X			6-7-12	X										
	Oracle Corp.	X				6-14-12	X										
	CA Incorporated	X				6-14-12	X										

# SCHEDULE IV—TRANSACTIONS

Name Henry J. "Trey" Radel III Page 31 of 47

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		PURCHASE	SALE	EXCHANGE			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example: Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Morgan Stanley 3-IRA Continued																
	Dollar Tree Inc.		X			6-14-12	X										
	Cummins Inc.		X			6-14-12	X										
	Cons Discret Sel Sect SPDR (F)		X			6-25-12	X										
	IShares S&P Lat Am 40 Idx (F)		X			6-25-12	X										
	Vanguard European MSCI (F)		X			6-25-12	X			partial sale							
	Vanguard Info Tech (F)		X			6-27-12	X			partial sale							
	Cooper Industries		X			7-12-12	X										
	IBM		X			7-12-12	X										
	Occidental Petroleum	X				7-12-12	X										
	Penn National Gaming		X			8-2-12	X										
	Ball Corporation		X			8-2-12	X			partial sale							
	EMC Corp	X				8-2-12	X										
	KLA Tencor Corp	X				8-2-12	X										
	Sotheby's		X			8-2-12	X										
	Vanguard Consm Stpls (F)		X			8-2-12	X			partial sale							

# SCHEDULE IV—TRANSACTIONS

Name Henry J. "Trey" Radel III

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Example:	Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Morgan Stanley 3-IRA Continued																
	Family Dollar Stores	X				8-22-12	X										
	East West Bancorp	X				9-14-12	X										
	Utilities Sel Sect SPDR (F)	X				9-14-12	X			partial sale							
	Verisign	X				9-14-12	X			partial sale							
	SPDR DJ Wilshire REIT (F)	X				9-17-12	X			partial sale							
	American Express	X				9-27-12	X										
	Microsoft	X				9-27-12	X										
	Occidental Petroleum	X				10-4-12	X										
	Ball Corporation	X				10-11-12	X			partial sale							
	KLA Tencor Corp	X				10-11-12	X										
	IShares Russell Microcap (F)	X				10-26-12	X										
	PNC Fin'l Svc	X				10-26-12	X										
	HCC Insurance Holdings	X				11-1-12	X			partial sale							
	HCC Insurance Holdings	X				11-2-12	X			partial sale							

# SCHEDULE IV—TRANSACTIONS

Name **Henry J. "Trey" Radel III**

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		PURCHASE	SALE	EXCHANGE			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
Example:	Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Morgan Stanley 3-IRA Continued																
	Ishares MSCI UK Ind (F)	X				11-6-12	X				partial sale						
	Oracle Corp	X				11-8-12	X										
	EMC Corp	X				11-8-12	X										
	Walmart Stores	X				11-8-12	X										
	Synopsis Inc.	X				11-16-12	X										
	Chevron	X				11-16-12	X										
	Scripps Networks Interac	X				11-16-12	X										
	General Electric	X				11-16-12	X			partial sale							
	Pfizer	X				11-16-12	X										
	SPDR DJ Wilshire REIT (F)	X				11-30-12	X			partial sale							
	BCE Inc.	X				11-30-12	X										
	Merck	X				11-20-12	X			partial sale							
	SPDR DJ Wilshire REIT (F)	X				12-5-12	X			partial sale							
	L-3 Communications	X				12-17-12	X			partial sale							
	Verisign	X				12-17-12	X			partial sale							

Name	Henry J. "Trey" Radel III	Page	34	of	47
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**Capital Gains** — if a sales transaction resulted in a capital gain in excess of \$200, check the “capital gains” box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

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# SCHEDULE IV—TRANSACTIONS

Henry J. "Trey" Radel III  
Name

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT SP	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
Example:	Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Morgan Stanley 4-Tr A																
	American Express	X				8-22-12	X										
	Barrick Gold Corp.	X				8-22-12	X										
	Baxter Intl Inc.	X				8-22-12	X										
	Becton Dickinson	X				8-22-12	X										
	CR Bard Inc.	X				8-22-12	X										
	Charles Schwab New	X				8-22-12	X										
	Chevron Corp.	X				8-22-12	X										
	Chubb Corp.	X				8-22-12	X										
	Cisco Sys Inc.	X				8-22-12	X										
	Conoco Phillips	X				8-22-12	X										
	Dell Inc.	X				8-22-12	X										
	Devon Energy Corp.	X				8-22-12	X										
	Dr. Pepper Snapple	X				8-22-12	X										
	EBay Inc.	X				8-22-12	X										
	Exelon Corp.	X				8-22-12	X										



# SCHEDULE IV—TRANSACTIONS

Henry J. "Trey" Radel III  
Name

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. \* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example: Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Morgan Stanley 4-Tr A Continued																
	Exelon Corp.	X				10-31-12	X										
	Exxon Mobil	X				8-22-12	X										
	Glaxo Smith Kline	X				8-22-12	X										
	Google Inc.	X				8-22-12	X										
	Johnson & Johnson	X				8-22-12	X										
	Medtronic Inc.	X				8-22-12	X										
	Microsoft	X				8-22-12	X										
	Molson Coors Brewing	X				8-22-12	X										
	Newmont Mining	X				8-22-12	X										
	Northrup Grumman	X				8-22-12	X										
	Pepsico Inc.	X				8-22-12	X										
	Pepsico Inc.	X				10-31-12	X										
	PNC Fin'l Svcs	X				8-22-12	X										
	Procter & Gamble	X				8-22-12	X										
	Sigma Aldrich Corp.	X				8-22-12	X										

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Name	Page 47 of 47

**Capital Gains** — if a sales transaction resulted in a capital gain in excess of \$200, check the “capital gains” box and disclose this income on Schedule III.

SP, DC, JT	Asset
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Henry J. "Trey" Radel III Name	38 Page of 47
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**Capital Gains** — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

SP, DC, JT	Asset
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**Capital Gains** — If a sales transaction resulted in a capital gain in excess of \$200, check the “capital gains” box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

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# SCHEDULE IV—TRANSACTIONS

Name Henry J. "Trey" Radel III

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K
SP	Example: Mega Corporation Common Stock (partial sale)		X			10-12-12	\$1,001-\$5,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Asset)
	Morgan Stanley 7-TRS																
	American Express	X				8-22-12	X										
	Barrick Gold Corp	X				8-22-12	X										
	Baxter Intl Inc.	X				8-22-12	X										
	Beckton Dickinson	X				8-22-12	X										
	CR Bard Inc.	X				8-22-12	X										
	Charles Schwab New	X				8-22-12	X										
	Cisco Sys Inc.	X				8-22-12	X										
	Conoco Phillips	X				8-22-12	X										
	Dell Inc.	X				8-22-12	X										
	Devon Energy Corp.	X				8-22-12	X										
	Dr. Pepper Snapple	X				8-22-12	X										
	EBay Inc.	X				8-22-12	X										
	Exelon Corp.	X				8-22-12	X										
	Exelon Corp.	X				10-31-12	X										
	Glaxo Smith Kline	X				8-22-12	X										

# SCHEDULE IV—TRANSACTIONS

Henry J. "Trey" Radel III  
Name

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. *If only a portion of an asset is sold, please so indicate (i.e., "partial sale").* See example below.

**Capital Gains** — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example: Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Morgan Stanley 7-Trs Continued																
	Google Inc.	X				8-22-12	X										
	Johnson & Johnson	X				8-22-12	X										
	Medtronic	X				8-22-12	X										
	Microsoft	X				8-22-12	X										
	Molson Coors Brewing	X				8-22-12	X										
	Newmont Mining Corp.	X				8-22-12	X										
	Northrop Grumman	X				8-22-12	X										
	Pepsico Inc.	X				8-22-12	X										
	Pepsico Inc.	X				10-31-12	X										
	PNC Fin'l Svcs	X				8-22-12	X										
	Procter & Gamble	X				8-22-12	X										
	Sigma Aldrich Corp.	X				8-22-12	X										
	SunTrust Banks	X				8-22-12	X										
	Target Corp.	X				8-22-12	X										
	Torchmark Corp.	X				8-22-12	X										

Henry J. "Trey" Radel III	42	47
Name	Page	of

**Capital Gains** — if a sales transaction resulted in a capital gain in excess of \$200, check the “capital gains” box and disclose this income on Schedule III.

PURCHASE  
SALE  
EXCHANGE

Quarterly,  
Monthly, or  
Bi-weekly, if  
applicable

\$1,001- \$15,000
\$15,001- \$50,000
\$50,001- \$100,000
\$100,001- \$250,000
\$250,001- \$500,000
\$500,001- \$1,000,000
\$1,000,001- \$5,000,000
\$5,000,001- \$25,000,000
\$25,000,001- \$50,000,000
Over \$50,000,000
Over \$1,000,000* (Spouse/DC Asset)

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# SCHEDULE IV—TRANSACTIONS

Name Henry J. "Trey" Radel III

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. \* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example: Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Morgan Stanley 1																
	Allianz NFJ (F)	X				12-19-12		X									
	Reef GLB Infra (F)	X				12-19-12	X										
	First Eagle Global I (F)	X				12-19-12	X										
	Goldman Sachs MidCap Val I (F)	X				12-19-12	X										
	Goldman Sachs Rising Div (F)	X				12-19-12	X										
	Nuveen All-American Muni Bd I	(F) X				12-19-12		X									
	Nuveen Int Dur Muni I (F)	X				12-19-12		X									
	Nuveen Santa Barbara Div Gr I	(F) X				12-19-12		X									
	PIMCO Real Return (F)	X				12-19-12	X										
	UBS Roth IRA																
	Am Fd Cap World Gr & Inc. (F)	X				5-8-12	X										
	Am Fd New World (F)	X				5-8-12	X										
	Am Fd Growth Fd of America (F)	X				5-8-12	X										



# SCHEDULE IV—TRANSACTIONS

Name Henry J. "Trey" Radel III

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

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SP, DC, JT	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example: Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Kathleen M. Radel Rev. Trust																
	dated February 16, 1988:																
	Eaton Vance Belport Capital																
	Fund-liquidated and some cash																
	remains; see Schedule III		X			2-22-12					X						
	NOTE: The Eaton Vance Belport Capital Fund asset was reported as an asset on the prior disclosure. This investment was sold at the end of the month in which the prior disclosure was completed. The paperwork substantiating this sale was not available at that time. Accordingly, we are disclosing it now.																
	American Electric Power	X				Q'ly	X										
	American Electric Power		X			9-25-12	X										partial sale
	RCMP Fund LLC	X				8-1-12	X		X								
	M.R. Sollinger of Naples		X			6-5-12					X						
	Family Ltd. Partnership																
	Rental Condominium in Miami, FL		X			loss											

# **SCHEDULE V— LIABILITIES**

Henry J. "Trey" Radel III  
Name

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Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. \*This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability										
				A \$10,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Spouse/DC Liability Over \$1,000,000*
	<i>Example:</i> First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X							
JT	Bank of America	9/07	Mortgage on 4360 Lazio Way #1008 Ft Myers FL				X							
	Citimortgage Inc.	9/04	Mortgage on rental condo in Miami											
	Paid off on 9-24-12 with sale of property-see Schedule IV													

# **SCHEDULE VI— GIFTS**

Report the source, a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
<i>Example:</i> Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	\$375
NONE		

Use additional sheets if more space is required.

## SCHEDULE VII—TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

**Exclude:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342), political travel that is required to be reported under the Federal Election Campaign Act, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

[illegible]

**SCHEDULE VII—POSITIONS**

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
President	U.S. Forces Fund, a not-for-profit assisting injured U.S. service members with housing. No compensation.

**SCHEDULE IX—AGREEMENTS**

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

**Addendum I**  
**to**  
**Trey Radel 2012 Amended Financial Disclosure**  
 pertaining to  
 Morgan Stanley IRA (MS3)

These securities were not listed as an asset on Schedule II of the February 29, 2012 disclosure because they were sold during January and February 2012. Therefore, they were not owned as of February 29, 2012. Further, these securities were not listed as an asset on Schedule III of the 2012 year-end disclosure. The sales transactions were required to be reported on Schedule IV of the 2012 year-end disclosure. This Addendum was created to reconcile the non-disclosure of the assets with the disclosure of the sales transactions.

Security	Old Disclosure- Schedule II	New Disclosure- Schedule III	Transaction Reported-New Disclosure Schedule IV
Darden Restaurants	No	No	Sale 1/6/12
Cimarex Energy	No	No	Sale 1/20/12
Gap	No	No	Sale 1/20/12
Vanguard Div Appreciation Fund	No	No	Sale 1/27/12
Wisdomtree Lg Cap Div Fund	No	No	Sale 1/27/12
Powershares Div ACH Fund	No	No	Sale 2/10/12
PSS World Med	No	No	Sale 2/16/12
Dupont	No	No	Sale 2/16/12
Wellpoint	No	No	Sale 2/24/12
KLA Tencor	No	No	Sale 2/24/12, purchase 8/2/12, sale 10/11/12

**Appendix II**  
to  
**Trey Radel 2012 Amended Financial Disclosure**  
pertaining to  
**Morgan Stanley IRA (MS3)**

These securities were listed as an asset on Schedule II of the February 29, 2012 disclosure because they were owned at that time. They are not listed as an asset on Schedule III of the 2012 year-end disclosure because they were sold during 2012. The sales transactions liquidating these assets are not listed on Schedule IV of the 2012 year-end disclosure because they were all transactions of less than \$1,000, the reporting threshold.

Security	Old Disclosure- Schedule II	New Disclosure- Schedule III	Transaction Reported-New Disclosure Schedule IV
Anixter	Yes	No	Sale 10/11/12 and 10/12/12 at <\$1,000 each
Energy Select Fund	Yes	No	Sale 3/22/12 and 3/23/12 at <\$1,000 each
ENERSYS	Yes	No	Sale 4/11/12 <\$1,000
Hasbro Inc.	Yes	No	Sale 11/2/12 <\$1,000
Moody's	Yes	No	Sale 6/14/12 <\$1,000
PF Chang's	Yes	No	Sale 3/22/12 and 3/23/12 <\$1,000 each
Sensient Tech	Yes	No	Sale 3/22/12 and 3/23/12 <\$1,000 each
SPX CP	Yes	No	Sale 6/27/12 <\$1,000
Superior Energy Svc	Yes	No	Sale 6/27/12 <\$1,000

**Addendum III**  
**to**  
**Trey Radel 2012 Amended Financial Disclosure**  
**Morgan Stanley IRA (MS3)**

CA Inc. was neither listed as an asset on Schedule II of the February 29, 2012 disclosure nor on Schedule III of the 2012 year-end disclosure. This security was purchased after February 29, 2012 in one transaction that was greater than \$1,000, the reporting threshold. It was subsequently sold in two separate transactions during 2012 of less than \$1,000 each. Accordingly, the purchase transaction is set forth on Schedule IV of the 2012 year-end disclosure, and the sales transactions are not.

<b>Security</b>	<b>Old Disclosure- Schedule II</b>	<b>New Disclosure- Schedule III</b>	<b>Transaction Reported-New Disclosure Schedule IV</b>
CA Inc.	No	No	Purchase 6/14/12, sale 11/7/12, sale 11/8/12 <\$1,000 each