#### UNITED STATES HOUSE OF REPRESENTATIVES IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance. E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the exchange any securities or reportable real estate in a transaction B. Did you, your spouse, or your dependent child purchase, sell, or A. Did you, your spouse, or your dependent child: PRELIMINARY INFORMATION - ANSWER EACH OF Name: 2015 FINANCIAL DISCLOSURE STATEMENT **EXEMPTION** – Have you excluded from this report any other assets, "uneamed" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER <u>EACH</u> OF THESE QUESTIONS reporting period? exceeding \$1,000 during the reporting period? REPORT TYPE FILER STATUS b. Make more than \$200 in unearned income from any reportable asset during the reporting period? a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or Richard Wayne Allen < K U.S. House of Representatives 2015 Annual (Due: May 16, 2016) Member of or Candidate for District: State THESE QUESTIONS 12th Georgia Yes Yes 🗸 Yes Yes Yes K. Daytime Telephone: Amendmen Š 잗 중 Š Ş For Use by Members, Officers, and Employees < F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period? ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES" Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period? H. Did you, your spouse, or your dependent child receive any reporting period? 202-225-2823 Employee Officer or Employing Office 2015 HAY 13 PM 2: 00 (Office Use Only) Termination Date of Termination: A \$200 penalty shall be assessed against any individual who files more than 30 days late. Lacounder CEVE HAND DELIVERED, 10 Yes Yes Yes Yes Yes ¥es Yes No 중 중 S ĕ <del>Z</del> S マ く < < < <

## SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Richard Wayne Allen

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Rental Pro	Augusta	1015 Br	Cashiers, NC	Residen		Examples:	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	а detailed µirements, ples	ou so choose, ime source i endent child i , in the optiona	ou have a epted Investm	Exclude: Your pe homes and vacati income during the interest in, or i retirement progran	For an ownership interest in a partial that is not publicly traded, si business, the nature of its geographic location in Block A.	rental and othe ride a completal property," a	bank and othe	all IRAs and (k) plans) provi	Provide complete names of stoo (do not use only ticker symbols).	production of income a exceeding \$1,000 at the and (b) any other re- income that generated a income during the year.	Identify (a) each	
Rental Property, 100% Ownership	Augusta GA Commercial	Broad Street LLC	s, NC	Residential Rental Property	ABC Hedge Fund	Simon & Schuster	Mega Corp. Stock	For a detailed discussion of Schedule requirements, please refer to the instruction booldet.	If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.	If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.	Exclude: Your personal residence, including second thomes and vecation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.	orivately-held busing the the name of sactivities, and	For rental and other real property held for investment, provide a complete address or description, e.g., rental property, and a city-and state.	For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.	For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.	Provide complete names of stocks and mutual funds (do not use only ticker symbols).	and with a fair market vance end of the reporting performable asset or source more than \$200 in unearn	Asset and/or income source	BLOCK A
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SCHEDULE A - ASSETS & "UNEARNED INCOME"

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Bank Of America	Common Stock	Total System Services		Common Stock	BB&T Corporation		Common Stock	Synovus Financial Corp	Construction, Augusta GA, 100% Ownership	RW Allen & Assoc INC		Rental Property, Augusta GA 100% Ownership	RAWA Leasing, Equipment	ASS		Asset and/or
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Name: Richard Wayne Allen

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

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*Other	*Large US Equity	*Small/Mid US Equity	*Short Term Fixed Income	as follows:	Principal Financial IRA	amonto pugo	See schedule attached page 10	LPL Financial,		Rolled Over to LPL April 1, 2015	Merrill Edge IRA, Columbia		Georgia Bank & Trust, Bank Acct		Wells Fargo, Bank Account	ASSET NAME		Asset and/or Income Source
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SCHEDULE A - ASSETS & "UNEARNED INCOME"	
Name: Richard Wayne Allen	
Page 5 of 10	

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	As
ASSET NAME  1242-1244 Broad Street Rental Property, Augusta GA 50% Ownership (sold 4/15)  Principal Financial IRA Short Term, Fixed Income Fund Rolled Over to LPL April 1, 2015	Asset and/or Income Source
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### SCHEDULE B - TRANSACTIONS

Name: Richard Wayne Allen Page 6 of 10

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State   Stat	*Both IRA's were rolled over to LPL IRA on 4/13/15	AQR Style Premia Alternative	DFA U S Core Equity	DFA U S Core Equity	Columbia Marisco Focused Equities	DFA INTL Core Equity	DFA Emerging Markets Core Equity	Pimco Income CL P	Doubleline Total Return Bond	DFA US Core Equity 2	AQR Style Premia Alternative	AQR Large Cap Multi Style	AQR Small Cap Multi Style	AQR Managed Futures Strategy	Merrill Edge IRA & Principal IRA to LPL Financial IRA*		Rental Property, 50% Ownership	1242-1244 Broad Street, Augusta	Example		Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.  *Column K is for assets solely held by your spouse or dependent child.	dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.	
Exchange    Check Box if Capital Gain   Check Box if Capit						<	<	<	<	K	1	<	<	<	,						Purchase	`	
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### SCHEDULE C - EARNED INCOME

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XCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.	st the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list essurce and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.	
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Source (include date of receipt for honoraria)   Source (include date of receipt for honoraria)   Appendix Source (include date of	types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.	pensated at or above the senior starr rains totally prohibited.	ite was \$27,225. In addition, certain
Augusta, GA  Augus	Source (include date of receipt for honoraria)	Туре	Amount
Augusta, GA  Augus	Keene Slate	Approved Teaching Fee	\$6,000
Augusta, GA  Spouse Salary  NA  NA  NA		Spouse Speech	\$18,000 \$1,000
Augusta, GA Spouse Salary	RW Allen, LLC, Augusta, GA	Spouse Salary	
	RW Allen & Associates, Inc., Augusta, GA	Spouse Salary	NA

#### **SCHEDULE D - LIABILITIES**

Name: Richard Wayne Allen Page ω 잌 5

you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child. Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Nembers: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member), loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to

		n			SP. DC, JT		
Georgia	Wells Fa	A Dept of	Seorgia	Example			
Georgia Bank & Trust, Augusta, GA	Wells Fargo Bank, Augusta, GA	GA Dept of Community Affairs, Augusta, GA	Georgia Bank & Trust, Augusta, GA	First Bank of Wilmington, DE	Craditor		
12/14	10/10	11/08	11/08	5/98	Date Liability Incurred MO/YR		
Mortgage on Secondary Residence Washington, DC	Mortgage on Primary Residence Augusta, GA	Mortgage on Commercial Rental Property  Broad Street, Augusta, GA	Mortgage on Commercial Rental Property  Broad Street, Augusta, GA	Mortgage on Rental Property, Dover, DE	Type of Liability		
					\$10,001- \$15,000	>	
<u> </u>	-				\$15,001- \$50,000	В	
					\$50,001- \$100,000	ဂ	
		<	<u> </u>	×	\$100,001- \$250,000	D	≥
	<				\$250,001- \$500,000	W.	nount
<					\$500,001- \$1,000,000	ī	Amount of Liability
					\$1,000,001- \$5,000,000	a	bility
					\$5,000,001- \$25,000,000	Ι	
					\$25,000,001- \$50,000,000	-	
					Over \$50,000,000	٦	
					Over \$1,900,900* (Spouse/DC Liability)	<b>×</b>	

#### **SCHEDULE E - POSITIONS**

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions

			_	
		NA	Position	held in any religious, social, fratemal, or political entities (such
	•		Name of Organization	held in any religious, social, fratemal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

# SCHEDULE H - TRAVEL PAYMENTS and REIMBURSEMENTS

Name: Richard Wayne Allen Page 9 of 10

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$375 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

**EXCLUDE**: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to

Source Date(s)  Covernment of China (MECEA)  Habitat for Humanity (charity fundration)  Sessional Prayer Caucus Foundation  August 8-16  August 8-16					Canluka	Earlo	Family Member
March 6-8 August 8-16		Source	Date(s)	City of Departure-Destination-City of Return	(V/N)	(V/N)	Included? (Y/N)
March 6-8 August 8-16	1	Government of China (MECEA)	Aug. 6-11	DC-Beijing, China-DC	Υ	Υ	z
March 6-8 August 8-16	Examples:	Habitat for Humanity (charity fundraiser)	Mar. 3-4	DC-Boslon-DC	٧	٧	*
August 8-16	Congress	sional Prayer Caucus Foundation	March 6-8	DC- Charlotte, NC -DC	<b>Y</b>	<b>Y</b>	Z
	Americar	srael Education Foundation	August 8-16	DC- Jerusalen- Tel Aviv- Tiberius- DC	<b>Y</b>	<b>Y</b>	<b>≺</b> ,

#### \$475,479.46 rolled over on 4/13/2015

Description SecurityID An AQR AQR AMANAGED FUTURES STRATEGY CL I AQMIX \$ AQR AQR AMALL CAP MULTI STYLE CL L QSMLX \$ AQR ALARGE CAP MULTI STYLE CL L QCELX \$ AQR ASTYLE PREMIA ALTERNATIVE CL I QCELX \$ AQR ASTYLE PREMIA ALTERNATIVE CL I QCELX \$ AD DFA U S ACORE EQUITY 2 CL I DFQTX \$ AD DFA EMERGING MARKETS ACORE EQUITY CL I PONPX \$ AD DFA INTL ACORE EQUITY CL I DFCEX \$ AD DFA INTL ACORE EQUITY 2 CL I DFA U S ACORE EQUITY 2 CL I DFQTX \$ AD DFA U S ACORE EQUITY 2 CL I DFQTX \$ AD DFA U S ACORE EQUITY 2 CL I DFQTX \$ AD DFA U S ACORE EQUITY 2 CL I QSPIX \$ AD DFA U S	SETT SETT SETT	SELL	BUY	BUY	BUY	BUY	BUY	BUY	BUY	BUY	BUY	Activity
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ription  ^ MANAGED FUTURES STRATEGY CL   AQMIX    ^ SMALL CAP MULTI STYLE CL L   QSMLX    ^ LARGE CAP MULTI STYLE CL L   QCELX    ^ STYLE PREMIA ALTERNATIVE CL I   QSPIX    BLELINE   ^ TOTAL RETURN BOND CL I   DFQTX    SO INCOME   ^ CL P   PONPX    EMERGING MARKETS ^ CORE EQUITY CL I   DFCEX    INTL   ^ CORE EQUITY CL I   DFCEX    JMBIA MARSICO   ^ FOCUSED EQUITIES CL A   DFQTX    US   ^ CORE EQUITY 2 CL I   DFQTX    STYLE PREMIA ALTERNATIVE CL I   QSPIX    OFQTX   STYLE PREMIA ALTERNATIVE CL I    OFQTX   STYLE PREMIA ALTERNATIVE CL I    OFGTX   STYLE PREMIA ALTER	76330015 ALLEN RICHARD 76330015 ALLEN RICHARD 76330015 ALLEN RICHARD	76330015 ALLEN RICHARD	76330015 ALLEN RICHARD	76330015 ALLEN RICHARD	76330015 ALLEN RICHARD	76330015 ALLEN RICHARD	76330015 ALLEN RICHARD	76330015 ALLEN RICHARD	76330015 ALLEN RICHARD	76330015 ALLEN RICHARD	76330015 ALLEN RICHARD	LPLAccount AccountName
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E ************************************	<ul><li>CORE EQUITY 2 CL I</li><li>CORE EQUITY 2 CL I</li><li>STYLE PREMIA ALTERNATIVE CL I</li></ul>	MARSICO ^ FOCUSED EQUITIES CL A	^ CORE EQUITY CL I				^ CORE EQUITY 2 CL I	^ STYLE PREMIA ALTERNATIVE CL I	^ LARGE CAP MULTI STYLE CL L	^ SMALL CAP MULTI STYLE CL L	^ MANAGED FUTURES STRATEGY CL I	
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