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UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT

FORM B
For use by candidates and new employees

Period covered: January 1, 2013 - December 15, 2013

Name: Edward J. Martin

Daytime Telephone:

Filer Status

☒ Candidate for the House of Representatives — State: Arizona District: 2 — Date of Election: 11/2014

☐ New officer or employee — Employing Office:

Check if Amendment ☐

A *$200 penalty* shall be assessed against any individual who files more than 30 days late.

In all sections, please type or print clearly in blue or black ink.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior <u>two</u> years? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐
II. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule II.**	Yes ☒	No ☐	V. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule III.**	Yes ☐	No ☒	VI. Did you receive compensation of more than $5,000 from a single source in the <u>two</u> prior years? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐	No ☒

SCHEDULE I — EARNED INCOME (INCLUDING HONORARIA)

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Source (include date of receipt for honoraria)		**Type**	**Amount**	
			Current Year to Filing	**Preceding Year**
Examples:	XYZ Corporation, Houston, TX	Salary	$6,300	$28,450
	First Bank & Trust, Houston, TX	Director's Fee	$400	$3,200
	XYZ Trade Association, Chicago, IL (Rec'd December 2)	Honorarium	0	$1,000
	Harris County, Texas Public Schools	Spouse Salary	NA	NA
Association of Racing Commissioners International, Lexington, KY		Salary	$150,000	$155,000
Cetera Financial Specialists, Schaumberg, Illinois		Spouse Salary	N/A	N/A
Province of Ontario, Canada (Ministry of Agriculture)		Consulting Fee for assessment of government agency functions.	$ 11,488.00	N/A

This page may be copied if more space is required.

SCHEDULE II – ASSETS AND "UNEARNED" INCOME

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BLOCK A
Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols).

For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For rental or other real property held for investment, provide a complete address or a description, *e.g.*, "rental property," and the city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (*unless* there was rental income during the reporting period); any deposits totaling $5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule II requirements, please refer to the instruction booklet.

BLOCK B
Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

*This column is for assets solely held by your spouse or dependent child.

BLOCK C
Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments *or* that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

BLOCK D
Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if no income was earned or generated.

* This column is for income derived from assets solely held by your spouse or dependent child.

SP, DC, JT	BLOCK A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)
Examples: SP	Mega Corp. Stock					X									X							
Examples:	Simon & Schuster			Indefinite																		Royalties
Examples:	1st Bank of Paducah, KY accounts						X											X				
	Chase Bank Account				X													X				
	Key Bank Account			X														X				
SP	Key Bank Accounts			X														X				
	Saratoga Springs, NY Rental Property							X									X					
	(see pages 4-6).																					

SP, DC, JT	BLOCK A	Current Year I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*	Preceding Year I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*
Examples: SP	Mega Corp. Stock				X											X									
Examples:	Simon & Schuster					X												X							
Examples:	1st Bank of Paducah, KY accounts					X												X							
	Chase Bank Account		X												X										
	Key Bank Account		X												X										
SP	Key Bank Accounts		X												X										
	Saratoga Springs, NY Rental Property						X													X					
	(see pages 4-6).																								

For additional assets and unearned income, use next page.

Schedule II - Assets and Unearned Income.

BLOCK A:	BLOCK B:	BLOCK C:	BLOCK D:	
Asset and/or Income Source	Asset Value	Type of Income	Current	Previous
Wells Fargo 401K Account:				
ADI - ANALOG DEVICES INC	C	Dividend	II	I
ADP - AUTOMATIC DATA	C	Dividend	II	I
AFL - AFLAC INC	C	Dividend	II	I
APD - AIR PRODUCTS & CHEMICALS	C	Dividend	II	I
BAX - BAXTER INTERNATIONAL INC	C	Dividend	II	I
BDX - BECTON DICKINSON & CO	C	Dividend	II	I
CB - CHUBB CORP	C	Dividend	II	I
CL - COLGATE-PALMOLIVE CO	C	Dividend	II	I
CLX - CLOROX COMPANY	C	Dividend	II	I
COP - CONOCOPHILLIPS	C	Dividend	II	I
CVX - CHEVRON CORPORATION	C	Dividend	II	I
EMR - EMERSON ELECTRIC CO	C	Dividend	II	I
EV - EATON VANCE CORP NON VTG	C	Dividend	II	I
FDS - FACTSET RESEARCH SYSTEMS	C	Dividend	II	I
GD - GENL DYNAMICS CORP COM	C	Dividend	II	II
GIS - GENERAL MILLS INC	C	Dividend	II	I
GWW - GRAINGER W W INC	C	Dividend	II	I
HRS - HARRIS CORP DEL	C	Dividend	II	I
IBM - INTERNATIONAL BUSINESS	C	Dividend	II	I
ITW - ILLINOIS TOOL WORKS INC	C	Dividend	II	II
JNJ - JOHNSON & JOHNSON	C	Dividend	II	I
JWN - NORDSTROM INC	C	Dividend	II	I
K - KELLOGG COMPANY	C	Dividend	II	I
LOW - LOWES COMPANIES INC	C	Dividend	II	I
MCD - MCDONALDS CORP	C	Dividend	II	I
MDT - MEDTRONIC INC	C	Dividend	II	II

Schedule II - Assets and Unearned Income.

BLOCK A:	BLOCK B:	BLOCK C:	BLOCK D:	
Asset and/or Income Source	**Asset Value**	**Type of Income**	**Current**	**Previous**
Wells Fargo 401K Account (continued):				
MMM - 3M CO	C	Dividend	II	I
MSFT - MICROSOFT CORP	C	Dividend	II	I
NEE - NEXTERA ENERGY INC	C	Dividend	II	I
NSC - NORFOLK SOUTHERN CORP	C	Dividend	II	I
NU - NORTHEAST UTILITIES	C	Dividend	II	II
NVS - NOVARTIS AG	C	Dividend	II	I
PAYX - PAYCHEX INC	C	Dividend	II	II
PEP - PEPSICO INCORPORATED	C	Dividend	II	I
PG - PROCTER & GAMBLE CO	C	Dividend	II	I
PII - POLARIS INDS INC	C	Dividend	II	I
PSX - PHILLIPS 66	C	Dividend	II	I
PX - PRAXAIR INC	C	Dividend	II	I
SCG - SCANA CORP COM	C	Dividend	II	I
SIAL - SIGMA ALDRICH CORP	C	Dividend	II	I
SJM - J M SMUCKER CO	C	Dividend	II	I
SO - THE SOUTHERN COMPANY	C	Dividend	II	I
SYY - SYSCO CORPORATION	C	Dividend	II	I
T - AT & T INC	C	Dividend	II	I
TGT - TARGET CORP	C	Dividend	II	I
UTX - UNITED TECHNOLOGIES CORP	C	Dividend	II	I
VFC - V F CORPORATION	C	Dividend	II	I
WEC - WISCONSIN ENERGY CORP	C	Dividend	II	I
WMT - WAL-MART STORES INC	C	Dividend	II	II
XOM - EXXON MOBIL CORP	C	Dividend	II	I
JCI - Johnson Controls	C	Capital Gains	II	I
EV - EATON VANCE CORP NON VTG	B	Capital Gains	II	I

Schedule II - Assets and Unearned Income.

BLOCK A: Asset and/or Income Source	BLOCK B: Asset Value	BLOCK C: Type of Income	BLOCK D: Current	Previous
(JT) ASSETMARK MANAGED ACCOUNT	F	Dividend	V	V
IRA Account - Pershing (2108)				
-- APIUX - API EFFICIENT FRONTIER INCOME FUN	C	None	I	I
-- OAS - Oasis Petroleum	C	None	I	I
SEP @ Pershing Brokerage (7973)				
-- PERSHING GOVERNMENT ACCOUNT	B	None	I	I
-- ALPS ETF TR ALERIAN MLP ETF	C	None	I	I
Pershing Brokerage Account				
-- FEDERATED CAPITAL RESERVES	C	Dividends&Gains	III	III
-- OASIS PETE INC NEW COM	C			
-- WISDOMTREE TR DIVID EX-FINANCIALS FD	D			

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SCHEDULE III — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. **Exclude:** Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts (i.e., credit cards)*** only if the balance at the close of the previous calendar year exceeded $10,000.

SP, DC, JT	Creditor	Date Liability Incurred mo/year	Type of Liability	Amount of Liability										
				A $10,001—$15,000	B $15,001—$50,000	C $50,001—$100,000	D $100,001—$250,000	E $250,001—$500,000	F $500,001—$1,000,000	G $1,000,001—$5,000,000	H $5,000,001—$25,000,000	I $25,000,001—$50,000,000	J Over $50,000,000	K Spouse/DC Liability over $1,000,000
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main Street, Dover, DE				X							
	None.													

SCHEDULE IV — POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

Position	Name of Organization
President/CEO	Association of Racing Commissioners International, Lexington, KY.
Board Member	Racing Medication and Testing Consortium, Lexington, KY.
Board Member	Racing Officials Accreditation Program, Lexington, KY.

Use additional sheets if more space is required.