



Filing ID #10013766

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Dr. Trey Baucum III
Status: Congressional Candidate
State/District: LA04

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2016
Filing Date: 08/13/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ark-La-Tex Cardiology, 5% Interest LOCATION: Shreveport/Caddo, LA, US DESCRIPTION: Medical Clinic		\$50,001 - \$100,000	S Corp Distributions	\$1 - \$200	\$1 - \$200
Bancorp South		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Accenture plc Class A Ordinary Shares (ACN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Alphabet Inc. - Class A (GOOGL)		\$1,001 - \$15,000	None		
Brokerage ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)		\$1,001 - \$15,000	None		
Brokerage ⇒ Amedisys Inc (AMED)		\$1,001 - \$15,000	None		
Brokerage ⇒ American Express Company (AXP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

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Brokerage ⇒ Apple Inc. (AAPL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Apple Inc. (AAPL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Argan, Inc. (AGX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Best Buy Co., Inc. (BBY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Booz Allen Hamilton Holding Corporation (BAH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Bossier Parish LA Parishwide SGO School		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Brocade Communications Systems, Inc. (BRCD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Buckle, Inc. (BKE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Cal-Maine Foods, Inc. (CALM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ CF Industries Holdings, Inc. (CF)		None	Capital Gains	None	\$201 - \$1,000
Brokerage ⇒ CGI Group, Inc. (GIB)		\$1,001 - \$15,000	None		
Brokerage ⇒ Cisco Systems, Inc. (CSCO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ CVS Health Corporation (CVS)		None	Capital Gains	None	\$2,501 - \$5,000
Brokerage ⇒ Davis New York Venture Fund		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Brokerage ⇒ Deluxe Corporation (DLX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Brokerage ⇒ Denbury Resources Inc. (DNR)		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Depomed, Inc. (DEPO)		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	\$201 - \$1,000
Brokerage ⇒ DGHM Aall Cap Value Fund		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Brokerage ⇒ Dolby Laboratories (DLB)		None	Capital Gains	None	\$201 - \$1,000
Brokerage ⇒ Enanta Pharmaceuticals, Inc. (ENTA)		\$1,001 - \$15,000	None		
Brokerage ⇒ Equinox Mutualhedge Futures Strategy		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Evolution Petroleum Corporation, Inc. (EPM)		\$1 - \$1,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Brokerage ⇒ Exxon Mobil Corporation (XOM)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Brokerage ⇒ Exxon Mobil Corporation (XOM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Federated Strategic Value Dividend Fund		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Brokerage ⇒ Federated Strategic Value Dividend Fund		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Brokerage ⇒ First Trust Amex Biotech Index Fund (FBT)		None	Capital Gains	None	\$201 - \$1,000
Brokerage ⇒ First Trust DJ Internet Index Fund (FDN)		None	Capital Gains	None	\$201 - \$1,000
Brokerage ⇒ First Trust Dow Jones Internet		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
First Trust ETF II Consumer Discretionary					
Brokerage ⇒ First Trust ETF II Consumer Staples		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ First Trust ETF VI Dorsey Wright Intl Focus		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ First Trust Health Care Alphasdex FD ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ First Trust NYSEarca Biotechnology Index		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ FPA Crescent Fund		None	Capital Gains	None	\$201 - \$1,000
Brokerage ⇒ Gamestop Corporation (GME)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ General Dynamics Corporation (GD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ General Electric Company (GE)		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Gilead Sciences, Inc. (GILD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Guggenheim S&P 500 Equal Weight Financials ETF (RYF)		None	Capital Gains	None	\$201 - \$1,000
Brokerage ⇒ H&R Block, Inc. (HRB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Hatteras Long Short Equity Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ HP Inc. (HPQ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Iberia Parish LA Parishwide SCBDS, Reg		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000

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Brokerage ⇒ Iconix Brand Group, Inc. (ICON)		\$1 - \$1,000	None		
Brokerage ⇒ InterDigital, Inc. (IDCC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Ivy Asset Strategy Fund		None	Capital Gains	None	\$201 - \$1,000
Brokerage ⇒ JP Morgan Chase & Co. (JPM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Lannett Co Inc (LCI)		\$1,001 - \$15,000	None		
Brokerage ⇒ Louisiana St Univ & Agric & Mechanical College		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Brokerage ⇒ Magellan Midstream Partners L.P. Limited Partnership (MMP)		None	Capital Gains	None	\$2,501 - \$5,000
Brokerage ⇒ Mallinckrodt plc Ordinary Shares (MNK)		None	Capital Gains	None	\$201 - \$1,000
Brokerage ⇒ Matrix Service Company (MTRX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Meredith Corporation (MDP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Nationwide Geneva Mid Cap Growth Fund		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Brokerage ⇒ Neustar, Inc. Neustar, Inc. Class A (NSR)		\$1,001 - \$15,000	None		
Brokerage ⇒ NewLink Genetics Corporation (NLNK)		\$1,001 - \$15,000	None		
Brokerage ⇒ NextEra Energy, Inc. (NEE)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Brokerage ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Nu Skin Enterprises, Inc. (NUS)					
Brokerage ⇒ Oracle Corporation (ORCL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ OSI Systems, Inc. (OSIS)		None	Capital Gains	None	\$201 - \$1,000
Brokerage ⇒ Outerwall Inc. (OUTR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ PDL BioPharma, Inc. (PDLI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Pilgrim's Pride Corporation (PPC)		\$1,001 - \$15,000	None		
Brokerage ⇒ Powershares DWA Consumer Cyclical		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Powershares DWA Healthcare Momentum		\$1,001 - \$15,000	None		
Brokerage ⇒ PowerShares DWA Technology Momentum Portfolio (PTF)		\$1,001 - \$15,000	None		
Brokerage ⇒ Powershares Dynamic Consumer Staples		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Principal Large Cap Growth Fund		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Brokerage ⇒ QUALCOMM Incorporated (QCOM)		None	Capital Gains	None	\$201 - \$1,000
Brokerage ⇒ Realtime Cash		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Brokerage ⇒ RJ Bank Deposit Program		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Brokerage ⇒ RJ Bank Deposit Program		\$1 - \$1,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Brokerage ⇒ RPX Corporation (RPXC)		\$1,001 - \$15,000	None		
Brokerage ⇒ Sanofi American Depositary Shares (SNY)		None	Capital Gains	None	\$1,001 - \$2,500
Brokerage ⇒ SPDR S&P 500 (SPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Spectra Energy Partners, LP Common Units representing Limited Partner Interests (SEP)		\$1,001 - \$15,000	Partnership Distributions	\$1 - \$200	\$1 - \$200
Brokerage ⇒ St John the Baptist Parish LA Sale Dist		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Brokerage ⇒ St Mary Parish LA Cons Sch Dist		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Brokerage ⇒ Starwood Hotels & Resorts Worldwide, Inc. (HOT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Starz - Series A (STRZA)		\$1,001 - \$15,000	None		
Brokerage ⇒ Stringer Growth Fund		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Brokerage ⇒ Syntel, Inc. (SYNT)		\$1,001 - \$15,000	None		
Brokerage ⇒ Take-Two Interactive Software, Inc. (TTWO)		None	Capital Gains	None	\$201 - \$1,000
Brokerage ⇒ Thornburg Limited Term Municipal Fund		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Brokerage ⇒ United Therapeutics Corporation (UTHR)		None	Capital Gains	None	\$1,001 - \$2,500
Brokerage ⇒ UnitedHealth Group Incorporated Common Stock (UNH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

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Brokerage ⇒ USANA Health Sciences, Inc. (USNA)		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	\$201 - \$1,000
Brokerage ⇒ Vanguard Intermediate Term Tax Exempt Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Vanguard REIT ETF - DNQ (VNQ)		None	Capital Gains	None	\$201 - \$1,000
Brokerage ⇒ Viacom Inc. - Class B (VIAB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Visteon Corporation (VC)		\$1,001 - \$15,000	None		
Brokerage ⇒ Wabash National Corporation (WNC)		\$1,001 - \$15,000	None		
Brokerage ⇒ Webster Parish LA Sch Dist No Go School		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Brokerage ⇒ Wellcare Health Plans, Inc. (WCG)		None	Capital Gains	None	\$201 - \$1,000
Brokerage ⇒ Wells Fargo & Company (WFC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Western Refining Inc. Western Refining, Inc. (WNR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage ⇒ Weyerhaeuser Company (WY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Employer 401(k) ⇒ Federated Equity Income Fund		\$1,001 - \$15,000	Tax-Deferred		
Employer 401(k) ⇒ Goldman Sachs Small Cap Value Insights Fund (GSCTX)		\$1,001 - \$15,000	Tax-Deferred		
Employer 401(k) ⇒ Growth Fund of America (GFAFX)		\$1,001 - \$15,000	Tax-Deferred		
Employer 401(k) ⇒ Invesco Comstock Fund (ACSYX)		\$1,001 - \$15,000	Tax-Deferred		

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Employer 401(k) ⇒ MassMutual Retire Smart 2025 (MMISX)		\$1,000,001 - \$5,000,000	Tax-Deferred		
Employer 401(k) ⇒ Nuveen Mid Cap Value Fund (FACSX)		\$1,001 - \$15,000	Tax-Deferred		
Employer 401(k) ⇒ Templeton Foreign Fund (TEFTX)		\$1,001 - \$15,000	Tax-Deferred		
Other investments ⇒ Land		\$15,001 - \$50,000	None		
LOCATION: Webster, LA, US DESCRIPTION: Baucum Family LLC					
Other investments ⇒ Regions		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Shreve Syndicate					
Other investments ⇒ Regions		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Baucum Family LLC					
Other investments ⇒ Royalties		\$1,001 - \$15,000	Royalties	\$201 - \$1,000	\$201 - \$1,000
LOCATION: Webster, LA, US DESCRIPTION: Baucum Family LLC					
Other investments ⇒ Royalties		\$1,001 - \$15,000	Royalties	\$2,501 - \$5,000	\$2,501 - \$5,000
LOCATION: Caddo, LA, US					
Other investments ⇒ Timber		\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000	\$15,001 - \$50,000
LOCATION: Webster, LA, US DESCRIPTION: Baucum Family LLC					

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Cardiology Associates	Salary	\$168,602.00	\$168,602.00
Ark-La-Tex Cardiology	Salary	\$441,762.00	\$441,762.00
Lammico	Consulting	\$3,150.00	\$3,150.00
Louisiana Organ Procurement Agency	Consulting	\$2,840.00	\$2,840.00
Willis Knighton Medical Center	Consulting	\$720.00	\$720.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Manager	Baucum Family LLC

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none">◦ Brokerage LOCATION: US DESCRIPTION: Raymond James Accounts◦ Employer 401(k)◦ Other investments LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Dr. Trey Baucum III, 08/13/2016