

JARED HUFFMAN  
2ND DISTRICT, CALIFORNIA

COMMITTEE ON  
NATURAL RESOURCES  
COMMITTEE ON BUDGET

**HAND  
DELIVERED**

WASHINGTON OFFICE  
1630 LONGWORTH HOUSE OFFICE BUILDING  
WASHINGTON, DC 20515  
PHONE: (202) 225-5161  
FAX: (202) 225-5163

WEBSITE: huffman.house.gov

**Congress of the United States**  
**House of Representatives**  
**Washington, DC 20515-0502**

2013 JUL -3 PM 3:03

July 9, 2013

Clerk, Committee on Ethics  
United States House of Representatives  
Room B-106, Canon Office Building  
Washington, DC 20515

Dear Clerk:

Thank you for your letter of June 21, 2013 regarding errors in my 2012 Financial Disclosure Statement. I've carefully reviewed the statement and my supporting records and here is my response to the two items identified by the committee:

1. The committee indicates that I listed an asset purchase on Schedule IV – "Child 2 529 College Savings Account – Scholarshare Passive Age-based Portfolio 9-10" but did not list that asset as being owned on Schedule III. Response: With all due respect, the committee is in error. This exact asset is listed on my Schedule III, at the top of page 4 of my disclosure statement. I have listed the asset identically on both Schedules III and IV, just as I listed the similar asset for Child 1's college savings plan. There was no error in my statement on this item.
2. The committee indicates that I listed another asset as being purchased on Schedule IV – "Rollover IRA-Fidelity Government Money Market" – but did not list it as being owned on Schedule III. Response: Looking into this item revealed an error in my disclosure that I have corrected in the attached amended disclosure statement. Specifically, I failed to specify in Schedule III that "Columbia Acorn Fund" which was sold during the calendar year was part of the Rollover IRA account, and this sale was used to then purchase shares in the "Fidelity Government Money Market" fund you have flagged. I have re-designated the "Columbia Acorn Fund" in both Schedules III and IV as "Rollover IRA – Columbia Acorn Fund," and also corrected the reference in Schedule IV to clarify that the transaction was in the range of \$15,001 - \$50,000 (the exact amount was \$19,203.50 but I had erroneously listed it on Schedule IV as in the range of \$1,001-\$15,000). With these corrections and clarifications, my Schedule IV is now fully reconciled with my Schedule III. I apologize for the oversight and appreciate the committee calling this to my attention.

Should you have any other questions or require any further information, please do not hesitate to contact me.

Sincerely,

Jared Huffman

**SAN RAFAEL**  
999 FIFTH AVENUE, SUITE 290  
SAN RAFAEL, CA 94901  
PHONE: (415) 258-9557  
FAX: (415) 258-9913

**PETALUMA**  
206 G STREET, #3  
PETALUMA, CA 94952  
PHONE: (707) 981-8967

**FORT BRAGG**  
430 NORTH FRANKLIN STREET  
P.O. BOX 2208  
FORT BRAGG, CA 95437  
PHONE: (707) 962-0933  
FAX: (707) 962-0905

**EUREKA**  
317 THIRD STREET, SUITE 1  
EUREKA, CA 95501  
PHONE: (707) 407-3585  
FAX: (707) 407-3559

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

**FORM A**  
For use by Members, officers, and employees

Page 1 of 8

**HAND  
DELIVERED**

Jared Huffman

(Full Name)

(202) 225-5161

(Daytime Telephone)

(Office Use Only)

**Filer Status**

☒ Member of the U.S. House of Representatives

State: CA  
District: 02

☐ Officer Or Employee

Employing Office:

**Report Type**

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE I - EARNED INCOME

Name Jared Huffman

Page 2 of 8

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
State of California	Legislative Salary	\$74,209.11
City of San Rafael	Spouse contractor earnings	N/A
Marin Academy	Spouse wages	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jared Huffman

Page 3 of 8

BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>		<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
Adhesive Coatings Co., Ltd.		\$15,001 - \$50,000	None	NONE	
Assembly 401(k) Account -- Asset Allocation Fund - Moderate		\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	P
SP	CalSTRS Teachers Defined Benefit Account	\$15,001 - \$50,000	None	NONE	
DC	Child 1 529 College Savings Account -- Fidelity NH Portfolio 2018	\$15,001 - \$50,000	None	NONE	
DC	Child 1 Savings Account -- ING Direct CD	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P

# SCHEDULE IV - TRANSACTIONS

Name Jared Huffman

Page 7 of 8

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
DC	Child 1 529 College Savings Account -- Fidelity NH Portfolio 2018	P	N/A	01-30-12	\$1,001 - \$15,000
DC	Child 2 529 College Savings Account -- Scholarshare Passive Age-Based Portfolio 9- 10	P	N/A	01-31-12	\$1,001 - \$15,000
	Rollover IRA -- Columbia Acorn Fund	S	No	9/12/12	\$15,001 - \$50,000
	Rollover IRA -- Fidelity Government Money Market	P	N/A	9/12/12	\$15,001 - \$50,000

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Jared Huffman

Page 5 of 8

JT	Redwood Credit Union checking account	\$1,001 - \$15,000	None	NONE	
JT	Redwood Credit Union Savings	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	Rollover IRA -- Columbia Acorn Fund	None	None	NONE	S
	Rollover IRA -- Fidelity Capital Appreciation Fund	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	P
	Rollover IRA -- Fidelity Government Money Market	\$15,001 - \$50,000	INTEREST	\$1 - \$200	P
	Rollover IRA -- Neuberger Berman Social Responsive TR	\$15,001 - \$50,000	None	NONE	
	Roth IRA -- Alger Green Fund	\$1,001 - \$15,000	None	NONE	
	Roth IRA -- Fidelity Cash Reserves	\$1 - \$1,000	INTEREST	\$1 - \$200	P
SP	Roth IRA - Fidelity Small Cap Stock Fund	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	P
	Roth IRA -- New Alternatives FD	\$1,001 - \$15,000	None	NONE	
	Roth IRA -- Vanguard FTSE Social Index Invest	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
JT	Spartan Extended Mkt Index Fid Adv Class	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	P
JT	SPDR S&P500 ETF Trust	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
SP	Spouse 403(b) Account - CREF Stock	\$15,001 - \$50,000	None	NONE	

# SCHEDULE V - LIABILITIES

Name Jared Huffman

Page 8 of 8

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. \*This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	Wells Fargo Bank	10-24-2012	Mortgage on personal residence (not rented)	\$250,001 - \$500,000