PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER <u>EACH</u> OF THESE QUESTIONS C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? Name: Bradley James 2016 FINANCIAL DISCLOSURE STATEMENT **UNITED STATES HOUSE OF REPRESENTATIVES** IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance. E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction **EXEMPTION** – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? exceeding \$1,000 during the reporting period? A. Did you, your spouse, or your dependent child: REPORT FILER STATUS b. Receive more than \$200 in unearned income from any reportable a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or asset during the reporting period? × 2016 Annual (Due: May 15, 2017) House of Representatives Member of the U.S. Sherman Daytime Telephone: 202-225-5911 District: 30 State: C.A. ž X ž X ¥es × No × s 존 N N Amendmen: 2 For Use by Members, Officers, and Employees × F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES" Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period? G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period? Form A **Employee** Officer or Employing Office: Termination Date of Termination: A \$200 penalty shall be assessed against any individual who files more than 30 days late. LC2017 (BANCOLUSE AMINO: 14 FEBISLATIVE FROCUERS CRIP DELIVER 1 of 15 Shared Staff Filer Type: (If Applicable) Yes ¥8 Yes Yes Yes **8**6 ğ Principal Assistant Z Š Š 중 중 충 중 \times × × × X ×

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1					α	ĺ	Examples:		For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.	If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent chad (DC), or jointly held with anyone (JT), in the optional column on the far left.	If you have a privately-traded fund that is an Excepted investment Fund, please check the "EIF" box.	Exclude: Your personal residence, including second homes and vecation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.	For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.	For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.	For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.	teno	For all IRAs and other retirement plans (such as 401/k) plans) provide the value for each asset held in	Provide complete names of stocks and mutual funds (do not use only ticker symbols).	excessing a j.vov at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year.	identify (a) each asset held for investment or production of income and with a fair market value	Assets and/or Income Sources
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SCHEDULE A - ASSETS & "UNEARNED INCOME" Name: Bradley James Thermatrage 3 5

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SCHEDULE A – ASSETS & "UNEARNED INCOME"	
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SCHEDULE A – ASSETS & "UNEARNED INCOME"
Name: Bradle
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Page 6 of 15

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Name: Bradley James

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SCHEDULE A – ASSETS & "UNEARNED INCOME"	
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Name: Bradley James

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SCHEDULE B – TRANSACTIONS

Name: Browley James Sherman Page 11 of 15

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the	Туре	of Tra	Type of Transaction	š		Date				۶	Amount of	-1	ransaction	ž			
dependent child for investment or the production of income, include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If					ain Exceeded	(MODAYR)	>	~	င	0	m	n	၈	I	1	<u>.</u>	*
purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.					apital Gain	(MODAYR) or Quarterly,											
Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless if was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.	chase	•	iai Sale	hange		weekly, if applicable	101- ,000	,001- ,000	,001- 0,000	0,001- 0,000	0,001- 0, 00 0	0,001- 000,000	000,001- 000,000	-100,000	,000,001- ,000,000	r \$50,000,0	r \$1,000,00 ouse/DC Ar
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SCHEDULE C - EARNED INCOME

Name: Bradley James Sherman Page 12 of 15

List the source, type, and amount of eamed income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse eamed income exceeding \$1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act INCOME LIMITS and PROHIBITED INCOME: The 2016 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,495. The 2017 limit is \$27,765. In addition certain types of income (notably honoraria director's fees, and navments for professional services involving a fidurator relationship) are trially prohibited.

In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary rela	relationship) are totally prohibited.	
Source (include date of receipt for honoraria)	Type	Amount
Keene State	Approved Teaching Fee	\$6,000
Examples: State of Maryland Civil War Roundtable (Oct. 2)	Legislative Pension Spouse Speech	\$18,000 \$1,000
Onlario County Board of Education	Spouse Salary	NIA
United States Government	Spouse Salary	Not required
CA Legislators Redignant System: Income shown not of four deductions	Annvity	240 /513
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SCHEDULE D - LIABILITIES

Name: Bradky James Sherman

Page 13 of 15

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

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SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude:

				_
			Position	Positions held in any religious, social, fraternal, or political en
			Name of Organization	Positions held in any religious, social, fratemal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Schedule of Transactions

Vanguard TIPS Fund (Not IRA)

\$4,976.27	Income Dividend Reinvested	12/23/2016
\$28.70	Income Dividend Reinvested	6/16/2016
Amount	Transaction	Date

Vanguard TIPS Fund-IRA

\$4,502.80	Income Dividend Reinvested	12/23/2016
\$25.97	Income Dividend Reinvested	6/16/2016
Amount	Transaction	Date

Vanguard CA Intermediate Tax Exempt Board Fund

\$16.95	Income- Dividend Reinvested	12/30/2016
\$75,000.00	Purchase	12/28/2016
Amount	Transaction	Date

FOOTNOTES

Number	Section/Schedule	Footnote
.	General Info	Filer held promissory notes payable by his principal campaign committee, Sherman for Congress. These represent non-interest bearing loans from filer to Committee. Per page 20 of 2016 Instruction Guide for Financial Disclosures, these promissory notes are not disclosed herin. These loans are disclosed on relevant FEC filings of Sherman for Congress.
1	General Info	loans are disclosed on relevant FEC filings of Sherman for Congress.
2	General Info	Filer owns a home in his district. Because that home is not subject to a mortgage, and does not produce rental income, it is not listed in this report.
		We have been advised that the phrase "trust benefiting you" does not apply to a trust from which the filer receives no benefit, but which will provide a benefit at some future time upon the death of
ω	General Info	the current beneficiary (but only if the trust happens to still have assets at that time).
4	General Info	Disclosure not required by law or regulation: in 2017 my campaign committee made significant investments in the US government bonds (TIPS). For details, see its FEC report.