

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

Page 1 of 13

Charles B. Rangel

(Full Name)

(202)225-4365

(Daytime Telephone)

Filer Status
☒ Member of the U.S. House of Representative

State: NY
District: 15

☐ Officer Or Employee

Employing Office

Report Type
☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

MAY 15 PM 5:42

OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

SCHEDULE I - EARNED INCOME

Name Charles B. Rangel

Page 2 of 13

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Congressional Federal Credit Union	IRA Distribution	\$889

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles B. Rangel

Page 3 of 13

BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source	Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p>	<p>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
74 West 132nd Street NY, NY 10037	None	Other: Past due rent collection	\$1,001 - \$2,500	
Alliance Municipal Inc. Fd. New York Port	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S
Blackrock Muni Enhanced	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
Capital One Checking (former North Fork Bank)	\$250,001 - \$500,000	INTEREST	\$201 - \$1,000	
Congressional Federal Credit Union	\$250,001 - \$500,000	INTEREST/DIVIDENDS	\$2,501 - \$5,000	
Empty Lots, Glassboro, New Jersey (tax assessment \$3,000)	\$1,001 - \$15,000	NONE	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles B. Rangel

Page 4 of 13

Ishares Barclays 1-3 Yr. Treasury Index Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Ishares Barclays Tips BO Protected Secs FD	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	
Merrill Lynch Cash & Money Accts	\$50,001 - \$100,000	INTEREST	NONE	
New York New York City Municipal Water Financial Auth Bonds	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
New York State Dorm Auth Bonds	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
New York State Power Auth Bonds	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	P
Nuveen Muni Value	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
Nuveen NY Investment Qual. Muni	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
Nuveen NY Preferred PLS Muni	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
Nuveen Select Qualified Muni	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
Nuveen Select Tax Free CL P	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
PepsiCo, Inc.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Pimco GNMA Fd. CL P (formerly A)	None	DIVIDENDS/DISTRIBUTIONS/CAPITAL GAINS	\$1,001 - \$2,500	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles B. Rangel

Page 5 of 13

	Pimco Total Return Fd. Cl P (formerly A)	\$250,001 - \$500,000	DIVIDENDS/DIST RIBUTIONS	\$5,001 - \$15,000	
	Protective Life Insurance Co. of New York (Fixed Annuity)	\$100,001 - \$250,000	None	NONE	P
	Rochester Municipal Fund Class C	None	DIVIDENDS/CAPIT AL GAINS	\$201 - \$1,000	S
	Triborough Bridge & Tunnel Auth Bonds	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	P
	Yum! Brands	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

SCHEDULE IV - TRANSACTIONS

Name Charles B. Rangel

Page 6 of 13

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	Alliance Municipal Inc. Fd. New York Port	S	No	10-18-11	\$50,001 - \$100,000
JT	NY NYC Mun Wtr Fin Auth Bonds	P	N/A	03-03-11	\$15,001 - \$50,000
JT	NY St Dorm Auth Bonds	P	N/A	03-03-11	\$15,001 - \$50,000
JT	NY St Power Auth Bonds	P	N/A	03-03-11	\$15,001 - \$50,000
JT	Pimco GNMA Fd. CL P (formerly A)	S	Yes	03-03-11	\$100,001 - \$250,000
JT	Protective Life Insurance Co. of New York (Fixed Annuity)	P	N/A	10-18-11	\$50,001 - \$100,000
JT	Rochester Municipal Fund Class C	S	No	10-18-11	\$15,001 - \$50,000
JT	Triborough Brdg & Tunn Auth Bonds	P	N/A	03-03-11	\$50,001 - \$100,000

SCHEDULE VI - GIFTS

Name Charles B. Rangel

Page 7 of 13

Report the source, a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Victor Kovner (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Frank Calamari (Pehlam Manor, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500
George Kaufman (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$2,000
Michael Brescia (Yorktown Heights, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Loida Lewis (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Frank Guarini (Jersey City, NJ)	Donation to Charles B. Rangel Legal Expense Trust	\$2,500
IBE Trade Corp (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Mel Watt for Congress (Charlotte, NC)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Larry Silverstein (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$2,500
David Isenberg (Palm Beach, FL)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Rosamond Isenberg (Palm Beach, FL)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Herbert Pardes (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,500

SCHEDULE VI - GIFTS

Name Charles B. Rangel

Page 8 of 13

Report the source, a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Leonard Riggio (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Robert Ginsberg (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Sy Sternberg (Purchase, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$4,000
H. Patrick Swygert (Washington, DC)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Robert Green (Austin, TX)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Anthony L. Watson (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Daniel Rose (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$2,500
Clarence Avant (Beverly Hills, CA)	Donation to Charles B. Rangel Legal Expense Trust	\$2,000
Maureen W. Rover (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
James C. Bretton (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Maurice Tempelsman (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Kirk August Radke (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000

SCHEDULE VI - GIFTS

Name Charles B. Rangel

Page 9 of 13

Report the source, a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Howard J. Rubenstein (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500
David R. Jones (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$750
Lloyd Williams (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Basil A. Paterson (Stewart Manor, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$3,000
David Dinkins (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Lynette K. Gill (Brooklyn, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
New York County Democratic Cmtee (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$2,000
Full Spectrum of NY, LLC (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Robert J. S. Haris (Valley Forge, PA)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
John Marmo (Bellmore, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Victor G. Alicea (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Deleeres Richards (Mount Vernon, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,250

SCHEDULE VI - GIFTS

Name Charles B. Rangel

Page 10 of 13

Report the source, a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
The Connell Company (Berkeley Heights, NJ)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Robert Dunham (Watchung, NJ)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Roscoe C. Brown, Jr. (Riverdale, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Jacob J. Worenklein (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Eugene Denegall (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$700
Friends of Weiner (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$2,000
Maurice Sonnenberg (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Mike Stoller (Los Angeles, CA)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Bernard Schwartz (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Edward Gersh (Huntington, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$2,000
West Hills Day Camp, Inc. (Huntington, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
Earl Keller (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500

SCHEDULE VI - GIFTS

Name Charles B. Rangel

Page 11 of 13

Report the source, a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Ruben Hinojosa for Congress (McAllen, TX)	Donation to Charles B. Rangel Legal Expense Trust	\$2,000
Robert L. Johnson (Monroe, CT)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
E.M. Eikerenkoetter (Rye, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Oceanshipholdings Inc PAC (Houston, TX)	Donation to Charles B. Rangel Legal Expense Trust	\$2,500
Mannkraft (Newark, NJ)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
District No. 1 - MEBA (Washington, DC)	Donation to Charles B. Rangel Legal Expense Trust	\$1,000
U.S. Corragated Inc. (Newark, NJ)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Seafarers Political Activity Donation (Camp Springs, MD)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Master, Mates & Pilots (Linthicum Heights, MD)	Donation to Charles B. Rangel Legal Expense Trust	\$2,500
Center Development Corporation (Port Chester, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Overseas Shipping Group Inc PAC (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000
Arthur Barnes (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$5,000

SCHEDULE VI - GIFTS

Name Charles B. Rangel

Page 12 of 13

Report the source, a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Corky Hale Stoller (Los Angeles, CA)	Donation to Charles B. Rangel Legal Expense Trust	\$500
Henry Greenup (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	\$500

SCHEDULE VIII - POSITIONS

Name Charles B. Rangel

Page 13 of 13

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Ex Officio Member of the Board	New York City Empowerment Zone