



Filing ID #10009952

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Zoe Lofgren
Status: Member
State/District: CA19

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2015
Filing Date: 05/16/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
California Public Employee Retirement System		\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ California State General Obligation Bond	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Cash / Bank Deposit Sweep	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Chevron Corporation (CVX) DESCRIPTION: Sale of Chevron stock.	JT	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Industry Urban Development Agency Tax Allocation Municipal Bond	JT	None	Capital Gains, Interest	\$1 - \$200	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Roseville Electric System Municipal Bond	JT	None	Interest	\$1 - \$200	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Southern Company (SO)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Collins/Lofgren Family Trust ⇒ Stockton Unified School District Municipal Bond	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Tesla Motors, Inc. (TSLA)	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Wells Fargo & Company (WFC)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Shares of this stock were donated to charity.					
Collins/Lofgren Family Trust ⇒ Yosemite Community College District Municipal Bonds	JT	None	Interest	\$201 - \$1,000	<input type="checkbox"/>
ICMARC ⇒ ASTON/Fairpointe Mid Cap Fund I		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
ICMARC ⇒ Fidelity Contrafund		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
ICMARC ⇒ Fidelity Diversified Int'l		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
ICMARC ⇒ T Rowe Price Small-Cp Stk		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
ICMARC ⇒ T Rowe Price Small-Cp Value		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
ICMARC ⇒ Vanguard Institutional Index		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
ICMARC ⇒ VantageTrust PLUS Fund		\$100,001 - \$250,000	None		<input type="checkbox"/>
ICMARC ⇒ Victory Established Value I		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
John Marshall Collins PC, 100% Interest	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: San Jose, CA, US DESCRIPTION: Law firm.					
John Marshall Collins Retirement Account ⇒ Alger Spectra I Fund	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
John Marshall Collins Retirement Account ⇒ Artisan Fund International Fund	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Cash / Bank Deposit Sweep	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Delaware Pooled Trust Diversified Income Fund Class A	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Dodge & Cox Funds International Stock Fund	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Dodge & Cox Income Fund	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Fleming Cap Mutual Fund Group JP Morgan Mid Cap Value Fund Class I	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Gateway Fund	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Goldman Sachs Trust Strategic Growth Opportunities Fund Institutional	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Harbor Fund Cap Appreciation Fund Institutional Class	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Hartford Floating Rate Fund	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Hartford Mutual Funds Cap Appreciation Fund Class A	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Janus Venture Fund Class I	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase of asset.					
John Marshall Collins Retirement Account ⇒ John Hancock Funds International Growth Fund Class I	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase of asset.					
John Marshall Collins Retirement Account ⇒ Morgan Stanley Institutional Fund, Inc. Global	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Opportunity Portfolio Class I					
DESCRIPTION: Purchase of asset.					
John Marshall Collins Retirement Account ⇒ Oppenheimer Developing Markets Fund Class Y	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Partial sale of asset.					
John Marshall Collins Retirement Account ⇒ PIMCO Funds Total Return Fund Class P	SP	None	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Sale of asset.					
John Marshall Collins Retirement Account ⇒ PIMCO Funds Total Return Fund Institutional Class	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Sale of asset.					
John Marshall Collins Retirement Account ⇒ PIMCO Income Fund Class P	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase of asset.					
John Marshall Collins Retirement Account ⇒ PIMCO Investment Grade Corporate Bond Fund Institutional Class	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase of asset.					
John Marshall Collins Retirement Account ⇒ PowerShares DB Commodity Index Tracking Fund ETF	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Sale of asset.					
John Marshall Collins Retirement Account ⇒ Principal Funds High Yield Institutional Class	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Partial sale of asset.					
John Marshall Collins Retirement Account ⇒ Royce Fund Pennsylvania Mutual Fund Investment Class	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Sale of asset.					
John Marshall Collins Retirement Account ⇒ RS Select Growth Y	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ SPDR Index Shares Fund Dow Jones International Real Estate ETF	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Templeton Global Investment Trust Global Bond Fund	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Advisor Class					
DESCRIPTION: Partial sale of asset.					
John Marshall Collins Retirement Account ⇒ Vanguard REIT ETF	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
John Marshall Collins Retirement Account ⇒ Vanguard Short-Term Bond Index Fund	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Partial sale of asset.					
John Marshall Collins Retirement Account ⇒ Wells Fargo International Equity Fund - Class Inst	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase of asset.					
John Marshall Collins Retirement Account ⇒ Yacktman Fund Service Class A	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Wells Fargo Accounts	JT	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Zoe Lofgren Trade IRA ⇒ Delaware Diversified Income Fund Class C		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Collins/Lofgren Family Trust ⇒ Chevron Corporation (CVX)	JT	07/30/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Sale of asset.					
Collins/Lofgren Family Trust ⇒ Wells Fargo & Company (WFC)	JT	12/23/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: This is not a sale. This is a donation of Wells Fargo & Company shares to a 501(c)(3) charitable organization.					
Collins/Lofgren Family Trust ⇒ Wells Fargo & Company (WFC)	JT	12/23/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: This is not a sale. This is a donation of Wells Fargo & Company shares to a 501(c)(3) charitable organization.					
John Marshall Collins Retirement Account ⇒ Janus Venture Class I	SP	03/30/2015	P	\$15,001 - \$50,000	
DESCRIPTION: Purchase of asset.					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
John Marshall Collins Retirement Account ⇒ John Hancock Funds Intl Growth Fund Class I	SP	07/30/2015	P	\$15,001 - \$50,000	
DESCRIPTION: Purchase of asset.					
John Marshall Collins Retirement Account ⇒ Morgan Stanley Institutional Fund Inc. Global Opportunity Portfolio Class I	SP	12/22/2015	P	\$15,001 - \$50,000	
DESCRIPTION: Purchase of asset.					
John Marshall Collins Retirement Account ⇒ Oppenheimer Developing Markets Fund Class Y	SP	12/22/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Partial sale of asset.					
John Marshall Collins Retirement Account ⇒ PIMCO Funds Total Return Fund Class P	SP	03/30/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Sale of asset.					
John Marshall Collins Retirement Account ⇒ PIMCO Funds Total Return Fund Institutional Class	SP	03/30/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Sale of asset.					
John Marshall Collins Retirement Account ⇒ PIMCO Income Fund Class P	SP	03/30/2015	P	\$15,001 - \$50,000	
DESCRIPTION: Purchase of asset.					
John Marshall Collins Retirement Account ⇒ PIMCO Investment Grade Corp Bond Fund Institutional Class	SP	03/30/2015	P	\$15,001 - \$50,000	
DESCRIPTION: Purchase of asset.					
John Marshall Collins Retirement Account ⇒ PowerShares DB Commodity Index Tracking Fund ETF	SP	12/22/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Sale of asset.					
John Marshall Collins Retirement Account ⇒ Principal Funds High Yield Institutional Class	SP	12/22/2015	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Partial sale of asset.					
John Marshall Collins Retirement Account ⇒ Royce Fund Pennsylvania Mutual Fund Investment Class	SP	03/30/2015	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Sale of asset.					
John Marshall Collins Retirement Account ⇒ Templeton Global Investment Trust Global Bond Fund	SP	12/22/2015	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Advisor Class DESCRIPTION: Partial sale of asset.					
John Marshall Collins Retirement Account ⇒ Vanguard Short-Term Bond Index Fund	SP	07/31/2015	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Partial sale of asset.					
John Marshall Collins Retirement Account ⇒ Wells Fargo Intl Equity Fund - Class Institutional	SP	07/30/2015	P	\$15,001 - \$50,000	
DESCRIPTION: Purchase of asset.					

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
John Marshall Collins PC	spouse salary	N/A
Santa Clara Law School	spouse salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	UBS AG	January 2012	Current mortgage on primary residence (not rented)	\$250,001 - \$500,000
JT	PHH Mortgage Corporation	January 2012	Current mortgage on residence in Washington, D.C. (not rented)	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Santa Clara University School of Law Board of Visitors
Board Member	Santa Clara University School of Law Dean's High Tech Advisory Council
Advisory Board Member	Santa Clara Computer & High Technology Law Journal, Santa Clara University
Advisory Board Member	Pacific Community Ventures
Advisory Board Member	Silicon Valley Education Foundation
Advisory Board Member	SJ2020 Executive Committee

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
1981	California Public Employees Retirement System (through County of Santa Clara)	Continuing membership (vested) - no continuing contribution by employer or employee
1981	ICMARC (through County of Santa Clara)	Savings plan - no contribution by employer or employee

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Aspen Institute Congressional Program	05/26/2015	05/31/2015	San Francisco, CA - Montreal, Canada - Washington, D.C.	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Collins/Lofgren Family Trust (Owner: JT)
- ICMARC
- John Marshall Collins Retirement Account (Owner: SP)
- Zoe Lofgren Trade IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Zoe Lofgren , 05/16/2016