

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

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ROBERT RANDOLPH NEUGEBAUER

(Full Name)

202-225-4005

(Daytime Telephone)

Filer Status ☒ Member of the U.S. House of Representatives

State: TX District: 19

Officer Or Employee

Employing Office:

Report Type ☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

2012 MAY 11 AM 10:35
LEGISLATIVE RESOURCE CENTER
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

HAND
DELIVERED

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule I.		If yes, complete and attach Schedule VI.	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule II.		If yes, complete and attach Schedule VII.	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule III.		If yes, complete and attach Schedule VIII.	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule IV.		If yes, complete and attach Schedule IX.	
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	
If yes, complete and attach Schedule V.			

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p>	<p>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and its included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
<p>JT (1) COMPASS BANK, LUBBOCK, TEXAS, ACCOUNTS</p>	None	INTEREST	\$1 - \$200	OTHER, FUNDS WITH-DRAWN
<p>JT (2) CONGRESSIONAL FEDERAL CREDIT UNION, WASHINGTON, DC, ACCOUNTS</p>	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
<p>JT (3) FIRST BANK & TRUST CO, LUBBOCK, TEXAS, ACCOUNTS</p>	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
<p>JT (4) HSBC BANK USA, ACCOUNTS</p>	\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP (5) RETIREMENT ACCOUNT-
ING LIFE AND ANNUITY
COMPANY

HOLDINGS LISTED BELOW:

	(a) ING INTERMEDIATE FUND - A	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	(b) ING VAN KAMPEN GROWTH & INCOME-CIS	\$1,001 - \$15,000	None	NONE	
	(c) ING PIONEER MID CAP VALUE PORT-SVC	\$1,001 - \$15,000	None	NONE	
JT	(6) OLD TOWN, INC., LUBBOCK, TEXAS, PROPERTY MANAGEMENT COMPANY - SEE FOOTNOTE 1				

HOLDINGS:

	(a) REAL ESTATE 2716 85TH, LUBBOCK, TEXAS, VALUED AT LUBBOCK CENTRAL APPRAISAL DISTRICT VALUE	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
	(b) PARTNERSHIP INTEREST - SKYTOP HOLDINGS, LLC, HOUSTON, TEXAS, PRIVATE EQUITY FUND	\$250,001 - \$500,000	ORDINARY INCOME/RENT/ INTEREST/ DIVIDENDS/ CAPITAL GAINS/ROY- ALTIES	\$15,001 - \$50,000	
	(c) PARTNERSHIP INTEREST - LUBBOCK LAND COMPANY EIGHT, LTD, LUBBOCK, TEXAS	\$15,001 - \$50,000	ORDINARY INCOME/ INTEREST	\$5,001 - \$15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	(d) HCSB, PLAINVIEW, TEXAS, ACCOUNT	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
JT	(7) OLD TOWN PROPERTIES, LTD, LUBBOCK, TEXAS - PARTNERSHIP HOLDS RENTAL PROPERTIES:				
	HOLDINGS:				
	(a) 5717 88TH STREET, LUBBOCK, TEXAS, VALUED AT LUBBOCK CENTRAL APPRAISAL DISTRICT VALUE	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
	(b) 5731 90TH STREET, LUBBOCK, TEXAS, VALUED AT LUBBOCK CENTRAL APPRAISAL DISTRICT VALUE	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
	(c) 2013-2019 S. LOOP 289, LUBBOCK, TEXAS, VALUED AT LUBBOCK CENTRAL APPRAISAL DISTRICT VALUE	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	(d) FIRST BANK & TRUST CO, LUBBOCK, TEXAS, ACCOUNTS	\$100,001 - \$250,000	INTEREST	\$201 - \$1,000	OTHER, DEPOSIT OF FUNDS
JT	(8) KINGDOM ENTERPRISES, LTD, LUBBOCK, TEXAS - INVESTMENT PARTNERSHIP HOLDINGS:				
	(a) COMPASS BANK, LUBBOCK, TEXAS, ACCOUNTS	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	OTHER, DEPOSIT OF FUNDS
	(b) CITY BANK TEXAS, LUBBOCK, TEXAS, ACCOUNTS	\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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 (c) FIRST BANK & TRUST
CO, LUBBOCK, TEXAS,
ACCOUNTS

 \$250,001 -
\$500,000

INTEREST

\$1,001 - \$2,500

 OTHER,
DEPOSIT
OF FUNDS

 (d) BROKERAGE
ACCOUNT - GOLDMAN
SACHS

HOLDINGS LISTED BELOW:

 (1) BANK DEPOSIT
FORMERLY GOLDMAN
SACHS INSTITUTIONAL
MONEY MARKET FUND

 \$250,001 -
\$500,000

INTEREST

\$1 - \$200

 OTHER,
DEPOSIT
OF FUNDS

 (2) WINNEBAGO CNTY
ILL REV BOND

(3) VANCOUVER
WASHINGTON REV BOND

 \$100,001 -
\$250,000

INTEREST

\$5,001 - \$15,000

 OTHER,
DECREASE
IN VALUE

 (4) NORTH EAST TEXAS
IND SCH DIST BOND

 \$250,001 -
\$500,000

INTEREST

\$5,001 - \$15,000

 (5) CLARK COUNTY
WASHINGTON SCHL BOND

 \$100,001 -
\$250,000

INTEREST

\$5,001 - \$15,000

 (6) CLARK CNTY NEVADA
SCHOOL DIST BOND

None

INTEREST

\$5,001 - \$15,000

S

 (7) BOULDER CITY NEV
REV BOND

 \$50,001 -
\$100,000

INTEREST

\$2,501 - \$5,000

 (8) CHICAGO ILLINOIS
BRD OF EDU GO BOND

 \$250,001 -
\$500,000

INTEREST

\$5,001 - \$15,000

 OTHER,
INCREASE
IN VALUE

 (9) CLEVELAND OHIO
WATERWORKS REV BOND

 \$50,001 -
\$100,000

INTEREST

\$1,001 - \$2,500

 (10) NEW RICHMOND
WIS GO BOND

 \$50,001 -
\$100,000

INTEREST

\$1,001 - \$2,500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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(11) TEX TRANSN COMMON ST HWY FD REV BOND	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	
(12) MASS (COMMON WEALTH OF) GO BOND	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	OTHER, INCREASE IN VALUE
(13) MEMPHIS TENNESSEE (CITY OF) GO BOND	\$100,001 - \$250,000	INTEREST	\$2,501 - \$5,000	
(14) NEW MEXICO FINANCE AUTHORITY REV BOND	\$100,001 - \$250,000	INTEREST	\$2,501 - \$5,000	
(15) MASSACHUSETTS (COMMONWEALTH OF) GO BOND	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	
(16) CHANDLER ARIZ GO BOND	\$100,001 - \$250,000	INTEREST	\$2,501 - \$5,000	
(17) GARFIELD PITKIN & EAGLE CNTYS GO BOND	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	P
(e) BROKERAGE ACCOUNT - GOLDMAN SACHS				
HOLDINGS LISTED BELOW:				
(1) BANK DEPOSITS	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
(2) GS HIGH YIELD MUNICIPAL FUND	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	P, S(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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(3) LIMITED LIABILITY COMPANY INVESTMENT IN GS HEDGE FUND OPPORTUNITIES - THE COMPANY IS A PUBLICLY TRADED ENTITY, OWNERSHIP IS LESS THAN .09%

ORDINARY INCOME/ INTEREST/DIVID ENDS/CAPITAL GAINS/RENT

(4) GOLDMAN SACHS GROUP, INC BOND	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	
(5) GS HIGH YIELD FUND	\$1,001 - \$15,000	DIVIDENDS/CAP -ITAL GAINS	\$1,001 - \$2,500	P
(6) LIMITED PARTNERSHIP INVESTMENT IN OR ENERGY, LP - THE COMPANY IS A PUBLICLY TRADED ENTITY, OWNERSHIP IS < .01%	\$15,001 - \$50,000	ORDINARY INCOME	\$1 - \$200	P

(7) GS LOCAL EMERGING MARKETING DEBT FUND CLASS A SHARES

None

DIVIDENDS

\$1,001 - \$2,500

P, S

(9) LIMITED PARTNER INVESTMENT IN WINDROCK PRIVATE EQUITY, LTD, A LIMITED PARTNERSHIP LOCATED IN HOUSTON, TEXAS - THE LIMITED PARTNERSHIP IS A PRIVATE EQUITY FUND

\$50,001 - \$100,000

None

NONE

(9) LAND BLK E2 SEC 25 AB 269 TR B SW/R 18.13 ACRES, LUBBOCK, TEXAS - VALUED AT PURCHASE PRICE 04/2002

\$100,001 - \$250,000

None

NONE

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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(h) INVESTMENT IN
LUBBOCK LAND COMPANY
EIGHT, LTD, LUBBOCK,
TEXAS

OTHER: LAND
DEVELOPMENT \$15,001 - \$50,000

HOLDINGS:

(1) VARIOUS LOTS
BETWEEN 624-659 REGAL
PARK, 89TH AND 90TH
STREETS B/N HOMESTEAD
AND IOLA, LUBBOCK,
TEXAS, - VALUED AT
PURCHASE PRICE IN 2004
AND DEVELOPMENT COSTS
2008

\$15,001 -
\$50,000

ORDINARY
INCOME

REPORTED IN
TOTAL ABOVE

S(part)

(2) AMERICAN BANK OF
COMMERCE, LUBBOCK,
TEXAS, ACCOUNTS

\$50,001 -
\$100,000

INTEREST

\$5,001 - \$15,000

OTHER,
FUNDS
WITH-
DRAWN

(i) INVESTMENT IN
LUBBOCK LAND
INVESTMENT I, LP,
LUBBOCK, TEXAS
HOLDINGS:

(1) LAND - 68.044
ACRES, PREVIOUSLY 72.76
ACRES, BLK AK SEC 28 AB
750 TR ASA NW/3, LUBBOCK
COUNTY, TEXAS - VALUED
AT PURCHASE PRICE IN 2007

\$50,001 -
\$100,000

OTHER: LAND -
FARM AND
INVESTMENTS/
CAPITAL
GAINS/INT-
EREST

\$5,001 - \$15,000

S(part)

(2) LAND - 3.747 ACRES,
BLK E2 AK SEC 25 AB 269 TR
B2 SW/4, LUBBOCK
COUNTY, TEXAS - VALUED
AT PURCHASE PRICE IN 2007

\$1,001 -
\$15,000

None

NONE

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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(3) AMERICAN BANK OF COMMERCE, LUBBOCK, TEXAS, ACCOUNTS	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
(j) INVESTMENT IN PANHANDLE LAND INVESTMENTS I, LP, LUBBOCK, TEXAS				
HOLDINGS:				
(1) LAND - 1288.64 ACRES, SEC 37 B S & F, LOT BLOCK 0009, RANDALL COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007 AND DEVELOPMENT COSTS 2008	\$250,001 - \$500,000	OTHER: LAND-FARM AND INVESTMENT/ INTEREST	\$201 - \$1,000	
(2) AMERICAN BANK OF COMMERCE, LUBBOCK, TEXAS, ACCOUNTS	\$1 - \$1,000	INTEREST	\$1 - \$200	
(k) INVESTMENT IN LUBBOCK LAND INVESTMENTS II, LP, LUBBOCK, TEXAS				
HOLDINGS:				
(1) LAND - BLK E2 SEC 19 AB 104 & BLK E2 SEC 20 AB 752 TR A2 OF S/2 OF 19 & 20, LUBBOCK COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007 AND DEVELOPMENT COSTS 2008	\$50,001 - \$100,000	OTHER: LAND-FARM AND INVESTMENT/ CAPITAL GAINS/ INTEREST	\$2,501 - \$5,000	S(part)
(2) AMERICAN BANK OF COMMERCE, LUBBOCK, TEXAS, ACCOUNTS	\$1 - \$1,000	INTEREST	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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(l) LIMITED PARTNER
INVESTMENT IN
FREESTONE SAWMILL
PARTNERS II, LP, HOUSTON,
TEXAS

\$1,001 -
\$15,000

None

NONE

(m) MORTGAGE NOTE
RECEIVABLE - ROBERT
RANDOLPH NEUGEBAUER

\$500,001 -
\$1,000,000

INTEREST

\$15,001 - \$50,000

(n) LIMITED PARTNER
INVESTMENT IN
FREESTONE SAWMILL
PARTNERS, LP

None

None

NONE

(9) OIL AND GAS ROYALTY
INTERESTS, VARIOUS
HOLDINGS

\$1,001 -
\$15,000

OTHER: OIL &
GAS
ROYALTIES/
RENT

\$15,001 - \$50,000

(10) GOLDMAN SACHS
BROKERAGE RETIREMENT
ACCOUNT
HOLDINGS:

(a) CASH ON DEPOSIT

\$50,001 -
\$100,000

INTEREST

\$1 - \$200

(b) LIMITED PARTNER
INVESTMENT IN QR
ENERGY, LP - THE
COMPANY IS A PUBLICLY
TRADED ENTITY,
OWNERSHIP IS LESS THAN
01%

None

None

NONE

OTHER,
SEE
FOOTNOTE
#2

(c) SPDR S&P 500 ETF
TRUST

\$1,001 -
\$15,000

DIVIDENDS

\$1 - \$200

P

(d) ISHARES TR - ISHARES
MSCI EAFE INDEX FUND

\$1,001 -
\$15,000

DIVIDENDS

\$1 - \$200

P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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(11) 224 9TH STREET SE,
WASHINGTON, DC - VALUED
AT ESTIMATED FAIR
MARKET VALUE

\$1,000,001 -
\$5,000,000

RENT

\$15,001 - \$50,000

(12) GOLDMAN SACHS
BROKERAGE RETIREMENT
ACCOUNT

HOLDINGS:

(a) CASH ON DEPOSIT

\$100,001 -
\$250,000

INTEREST

\$201 - \$1,000

(b) LIMITED PARTNER
INVESTMENT IN QR
ENERGY, LP - THE
COMPANY IS A PUBLICLY
TRADED ENTITY,
OWNERSHIP IS LESS THAN
.01%

None

None

NONE

OTHER,
SEE
FOOTNOTE
#2

(c) SPDRS S&P 500 ETF
TRUST

\$1,001 -
\$15,000

DIVIDENDS

\$1 - \$200

P

(d) ISHARES TR - ISHARES
MSCI EAFE INDEX FUND

\$1,001 -
\$15,000

DIVIDENDS

\$1 - \$200

P

(13) BROKERAGE
ACCOUNT - GOLDMANS
SACH HOLDINGS:

(a) CASH ON DEPOSIT

\$1,001 -
\$15,000

INTEREST

\$1 - \$200

OTHER,
FUNDS
WITH-
DRAWN

(b) THE GOLDMAN SACHS
GROUP INC.

\$100,001 -
\$250,000

INTEREST

\$2,501 - \$5,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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(c) LIMITED PARTNER
INVESTMENT IN QR
ENERGY, LP - THE
COMPANY IS A PUBLICLY
TRADED ENTITY,
OWNERSHIP IS LESS THAN
01%

\$15,001 -
\$50,000

None

NONE

OTHER,
SEE
FOOTNOTE
#2

JT

(14) EMPOWERMENT
ENTERPRISES, LLC,
LUBBOCK, TEXAS -
PARTNERSHIP HOLDS
RENTAL EQUIPMENT
HOLDINGS:

(a) FIRST BANK & TRUST
CO, LUBBOCK, TEXAS,
ACCOUNTS

\$1 - \$1,000

None

NONE

OTHER,
FUNDS
WITH-
DRAWN

(b) WATERCRAFT

\$500,001 -
\$1,000,000

RENT

\$5,001 - \$15,000

(15) INVESTMENT IN
PANHANDLE LAND
DEVELOPMENT, INC., S
CORPORATION - FORMED
TO PURCHASE AND
DEVELOP REAL ESTATE.
NO REAL ESTATE HELD
DURING REPORT PERIOD

\$1,001 -
\$15,000

None

NONE

(a) LUBBOCK NATIONAL
BANK, LUBBOCK, TEXAS,
ACCOUNT

\$1 - \$1,000

None

NONE

(16) FARM LAND - CROSBY
COUNTY, TEXAS, SEC 7 SVY
8 BLK 11 #479

\$50,001 -
\$100,000

OTHER: COOP
DIVIDENDS/
CROP SALES/
AG PROG
PAYMENTS

\$5,001 - \$15,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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(17) OIL AND GAS ROYALTY INTERESTS, VARIOUS HOLDINGS	\$1,001 - \$15,000	Other: OIL AND GAS ROYALTIES	\$1,001 - \$2,500	
(18) INVESTMENT IN LLI-II DEVELOPMENT, INC., S CORPORATION, LUBBOCK, TEXAS - FORMED TO PURCHASE AND DEVELOP REAL ESTATE, HOLDINGS:		Other: LAND DEVELOPMENT/ INTEREST	\$2,501 - \$5,000	
(a) LOTS 2-8 COOPER STONE, NORTH SIDE OF 108TH STREET, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009	\$1,001 - \$15,000	None	NONE	
(b) 3 LOTS IN COOPER RIDGE, VARIOUS LOTS BETWEEN #7 AND #95, ON 108TH STREET, 109TH STREET, 110TH STREET, AND 111TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009	\$1,001 - \$15,000	Other: LAND DEVELOPMENT	REPORTED IN ABOVE TOTAL	
(c) 3 LOTS IN COOPER CREEK, VARIOUS LOTS BETWEEN #67 AND #135, ON 111TH STREET, 112TH STREET, CANTON AVENUE, AND DETROIT AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009	\$1,001 - \$15,000	Other: LAND DEVELOPMENT	REPORTED IN ABOVE TOTAL	S(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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(d) LUBBOCK NATIONAL BANK, LUBBOCK, TX, ACCOUNTS	\$1 - \$1,000	None	NONE	
(e) 8 LOTS IN COOPER RIDGE, PREVIOUSLY 17, VARIOUS LOTS BETWEEN #97 AND #113, ON 111TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2010 AND DEVELOPMENT COSTS 2010	\$15,001 - \$50,000	Other: LAND DEVELOPMENT	REPORTED IN ABOVE TOTAL	S(part)
(f) LOTS IN COOPER RIDGE, VARIOUS LOTS BETWEEN #114-147, ON BLK E2 SEC 19 & 20 AB 104 & 752 TR A2A OF S/2, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2011 & DEVELOPMENT COSTS 2011	\$50,001 - \$100,000	None	NONE	P
(19) GOLD, SILVER & BULLION	\$50,001 - \$100,000	None	NONE	
(20) INVESTMENT IN THE TRAILS AT REGAL PARK DEVELOPMENT, INC., S CORPORATION, LUBBOCK, TEXAS - FORMED TO PURCHASE AND DEVELOP REAL ESTATE, HOLDINGS:		Other: LAND DEVELOPMENT	NONE	
(a) LUBBOCK NATIONAL BANK, LUBBOCK, TX, ACCOUNTS	\$100,001 - \$250,000	INTEREST	\$1 - \$200	OTHER, DEPOSIT OF FUNDS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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(b) 6 LOTS, PREVIOUSLY 30, IN THE TRAILS AT REGAL PARK, VARIOUS LOTS BETWEEN #1 AND #40, ON IOLA AVENUE, IRONTON AVENUE, JUNEAU AVENUE, 91ST STREET, 90TH STREET, KEWANEE AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2010 AND DEVELOPMENT COSTS 2010	\$50,001 - \$100,000	Other: LAND DEVELOPMENT	REPORTED IN ABOVE TOTAL	S(part)
(c) LOTS IN THE TRAILS AT REGAL PARK, VARIOUS LOTS BETWEEN #41 AND #56, ON 89TH STREET, 91ST STREET, AND JUNEAU AVENUE	\$100,001 - \$250,000	Other: LAND DEVELOPMENT	REPORTED IN ABOVE TOTAL	P, S(part)
(21) MASS MUTUAL - WHOLE LIFE INSURANCE POLICIES	\$1,001 - \$15,000	NA		

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	(8)(d)(6) CLARK CNTY NEVADA SCHOOL DIST BOND	S	No	12-15-11	\$100,001 - \$250,000
	(8)(d)(17) GARFIELD PITKIN & EAGLE CNTYS GO BOND	P	N/A	9-21-11	\$50,001 - \$100,000
	(8)(e)(2) GS HIGH YIELD MUNICIPAL FUND	P	N/A	1-31-11, 2-28-11, 3-31-11, 4-29-11, 5-31-11, 6-30-11, 7-29-11, 8-31-11, 9-30-11, 10-31-11, 11-30-11, 12-30-11	\$15,001 - \$50,000

	(8)(e)(2) GS HIGH YIELD MUNICIPAL FUND	S(part)	No	5-27-11	\$15,001 - \$50,000
	(8)(e)(5) GS HIGH YIELD FUND	P	N/A	5-27-11, 12-14-11	\$1,001 - \$15,000
	(8)(e)(6) LIMITED PARTNERSHIP INVESTMENT IN OR ENERGY, LP - THE COMPANY IS A PUBLICLY TRADED ENTITY, OWNERSHIP IS < .01%	P	N/A	6-8-11	\$15,001 - \$50,000

	(8)(e)(7) GS LOCAL EMERGING MARKETING DEBT FUND CLASS A SHARES	P	N/A	5-27-11	\$1,001 - \$15,000
	(8)(e)(7) GS LOCAL EMERGING MARKETING DEBT FUND CLASS A SHARES	S	No	8-11-11	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	(8)(h)(1) VARIOUS LOTS BETWEEN 624-659 REGAL PARK, 89TH AND 90TH STREETS B/N HOMESTEAD AND IOLA, LUBBOCK, TEXAS - VALUED AT PURCHASE PRICE IN 2004 AND DEVELOPMENT COSTS 2008	S(part)	No	1-31-11, 2-24-11, 6-23-11, 7-19-11	\$50,001 - \$100,000
	(8)(i)(1) LAND - 68.044 ACRES, PREVIOUSLY 72.76 ACRES, BLK AK SEC 28 AB 750 TR ASA NW/3, LUBBOCK COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007	S(part)	Yes	9-1-11	\$15,001 - \$50,000
	(8)(k)(1) LAND - BLK E2 SEC 19 AB 104 & BLK E2 SEC 20 AB 752 TR A2 OF S/2 OF 19 & 20, LUBBOCK COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007 AND DEVELOPMENT COSTS 2008	S(part)	Yes	12-15-11	\$15,001 - \$50,000
	(10)(c) SPDR S&P 500 ETF TRUST	P	N/A	5-27-11	\$1,001 - \$15,000
	(10)(d) ISHARES TR - ISHARES MSCI EAFE INDEX FUND	P	N/A	5-27-11	\$1,001 - \$15,000
	(12)(c) SPDRS S&P 500 ETF TRUST	P	N/A	5-27-11	\$1,001 - \$15,000
	(12)(d) ISHARES TR - ISHARES MSCI EAFE INDEX FUND	P	N/A	5-27-11	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	(18)(c) 3 LOTS IN COOPER CREEK, VARIOUS LOTS BETWEEN #67 AND #135, ON 111TH STREET, 112TH STREET, CANTON AVENUE, AND DETROIT AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009	S(part)	No	1-20-11, 3-1-11, 4-6-11, 8-16-11, 9-26-11	\$1,001 - \$15,000
	(18)(e) 8 LOTS IN COOPER RIDGE, PREVIOUSLY 17, VARIOUS LOTS BETWEEN #97 AND #113, ON 111TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2010 AND DEVELOPMENT COSTS 2010	S(part)	No	1-24-11, 5-26-11, 6-6-11, 7-18-11, 7-27-11, 8-25-11, 9-19-11, 9-26-11	\$15,001 - \$50,000
	(18)(f) LOTS IN COOPER RIDGE, VARIOUS LOTS BETWEEN #114-147, ON BLK E2 SEC 19 & 20 AB 104 & 752 TR A2A OF S/2; LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2011 & DEVELOPMENT COSTS 2011	P	N/A	12-15-11	\$15,001 - \$50,000
	(20)(b) 6 LOTS, PREVIOUSLY 30, IN THE TRAILS AT REGAL PARK, VARIOUS LOTS BETWEEN #1 AND #40, ON IOLA AVENUE, IRONTON AVENUE, JUNEAU AVENUE, 91ST STREET, 90TH STREET, KEWANEE AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2010 AND DEVELOPMENT COSTS 2010	S(part)	No	JAN 2011, FEB 2011, MAY 2011, JUNE 2011, JULY 2011, SEPT 2011, OCT 2011, NOV 2011, DEC 2011	\$250,001 - \$500,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	(20)(c) LOTS IN THE TRAILS AT REGAL PARK, VARIOUS LOTS BETWEEN #41 AND #56, ON 89TH STREET, 91ST STREET, AND JUNEAU AVENUE	P	N/A	9-01-11	\$15,001 - \$50,000
	(20)(c) LOTS IN THE TRAILS AT REGAL PARK, VARIOUS LOTS BETWEEN #41 AND #56, ON 89TH STREET, 91ST STREET, AND JUNEAU AVENUE	S(part)	No	10-13-11, 10- 17-11, 10-27- 11, 11-9-11, 11- 15-11, 12-6-11	\$50,001 - \$100,000

SCHEDULE V - LIABILITIES

Name ROBERT RANDOLPH NEUGEBAUER

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	ADVANTAGE CITI MASTERCARD	VARIOUS	REVOLVING CHARGE ACCOUNT	\$15,001 - \$50,000
	AMERICAN EXPRESS CREDIT CARD	VARIOUS	REVOLVING CHARGE ACCOUNT	\$10,001 - \$15,000
	KINGDOM ENTERPRISES, LTD.	APRIL 2010	MORTGAGE ON 224 9TH STREET SE, WASHINGTON, DC	\$500,001 - \$1,000,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name **ROBERT RANDOLPH NEUGEBAUER**

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
THE HERITAGE FOUNDATION	JAN 26-30	DC-REAGAN PRESIDENTIAL LIBRARY, CA-ORD	Y	Y	Y	1 DAY

SCHEDULE VIII - POSITIONS

Name ROBERT RANDOLPH NEUGEBAUER

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PARTNER	KINGDOM ENTERPRISES, LTD.
PARTNER	OLD TOWN PROPERTIES, LTD.
MEMBER	EMPOWERMENT ENTERPRISES, LLC
OFFICER	OLD TOWN, INC.
NONOFFICER SHAREHOLDER	PANHANDLE LAND DEVELOPMENT, INC.
NONOFFICER SHAREHOLDER	LL-II DEVELOPMENT, INC.
NONOFFICER SHAREHOLDER	THE TRAILS AT REGAL PARK DEVELOPMENT, INC.
PARTNER	QR ENERGY, LP

FOOTNOTES

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	The corporation is the general partner of Old Town Properties, Ltd., which is listed on Schedule III (Asset #7)	(6) OLD TOWN, INC.
2	Schedule III	Asset was listed as an investment in Goldman Sachs Brokerage retirement accounts: Asset 10(b) and Asset 12(b) in prior years, but was actually an investment in Asset 13(c)	10(b), 12(b), 13(c) - LTD PTR INVEST QR ENERGY, LP