

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A

Page 1 of 9

For use by Members, officers, and employees

Nicola S. Tsongas

202-225-3411

(Full Name)

(Daytime Telephone)

U.S. HOUSE OF REPRESENTATIVES

2011 MAY 13 PM 3:57

LEGISLATIVE RESOURCE CENTER

OFFICE USE ONLY

Filer Status ☒ Member of the U.S. House of RepresentativesState: MA
District: 5☐ Officer Or Employee

Employing Office:

Report Type

☒ Annual (May 15)☐ Amendment☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nicola S. Tsongas

Page 2 of 9

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any taxable trading account or lease in a personal checking or	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
26 AUBURN ST, CHARLESTOWN MA	\$500,001 - \$1,000,000	RENT	\$5,001 - \$15,000	
455 SHORE ROAD, CHATHAM MA	\$1,000,001 - \$5,000,000	RENT	\$15,001 - \$50,000	
Artio Intl Equity Fund II	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
Aston Montag & Calwell Growth Fund	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	P
Bank of America Money Market formerly Columbia Treasury Reserves	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nicola S. Tsongas

Page 3 of 9

BOFA TAX-EXEMPT RESERVES formerly COLUMBIA TAX EXEMPT RESERVES)	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
Columbia Acorn Fund	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
COLUMBIA ACORN INTERNATIONAL FUND	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
COLUMBIA ACORN INTL SELECT	None	None	NONE	S
COLUMBIA CONSERVATIVE HIGH YIELD FUND	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
COLUMBIA CORE BOND FUND	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
COLUMBIA DIVIDEND INCOME FUND	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	P
COLUMBIA ENERGY AND NATURAL RESOURCES FUND	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
COLUMBIA INTERNATIONAL STOCK FUND	None	None	NONE	S
COLUMBIA LARGE CAP CORE FUND	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
COLUMBIA LARGE CAP GROWTH FUND	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
COLUMBIA MA INTER MUNI BOND FUND	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	
COLUMBIA MARISCO 21ST CENTURY FUND	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nicola S. Tsongas

Page 4 of 9

COLUMBIA MARSICO GROWTH FUND	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
COLUMBIA MID CAP GROWTH FUND	\$1,001 - \$15,000	None	NONE	
COLUMBIA MULTI-ADVISOR INTERNATIONAL EQUITY FUND	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
COLUMBIA SELECT SMALL CAP FUND	\$1,001 - \$15,000	None	NONE	
COLUMBIA SHORT TERM MUNICIPAL BOND FUND	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	S(part)
COLUMBIA SHORT-INTERMEDIATED BOND FUND	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	

COLUMBIA US TREASURY INDEX FUND	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
COLUMBIA VALUE AND RESTRUCTURING FUND	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
ENTERPRISE BANK & TRUST	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
ENTERPRISE BANK & TRUST	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
FEDERAL HOME LN BKS DUE 7/16/10	None	INTEREST	\$2,501 - \$5,000	S
FOXBOROUGH MASS GO SCH BDS	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
HARBOR INTERNATIONAL FUND	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
INTERNATIONAL BUSINESS MACHS	None	DIVIDENDS/CAPITAL GAINS	\$15,001 - \$50,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nicola S. Tsongas

Page 5 of 9

ISHARES BARCLAYS 1-3 YR CR BD FUND	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	P
ISHARES BARCLAYS 1-3 YR TREAS BOND FUND	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
ISHARES BARCLAYS INTERMEDIATE CR BD FUND	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P

ISHARES TR S&P 500 INDEX FUND	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
JP MORGAN US LARGE CAP CORE PLUS FUND	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
LAZARD FUNDS INC EMERGING MKTS	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
MASSACHUSETTS BAY TRANS AUTHORITY	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
MASSACHUSETTS ST GO BONDS 2014	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
MASSACHUSETTS ST HEALTH & EDL Due 10/1/12	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	

MASSACHUSETTS ST WTR RES AUTH	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
NSTAR ELECTRICAL & GAS CORP.	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
PIMCO FOREIGN BOND FUND UNHEDGED	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
PIMCO TOTAL RETURN FUND	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
TD Bank	None	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name		Nicola S. Tsongas		Page 6 of 9	
THORNBURG INTL VALUE FUND	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P	
UNITED STATES TREAS NT	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000		
VANGUARD INTL EQUITY INDEX FDS	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P	
WELLESLEY MASS Due 6/15/13	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500		

SCHEDULE IV - TRANSACTIONS

Name Nicola S. Tsongas

Page 7 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Artio Intl Equity Fund II	P	N/A	4/26/10 7/26/10	\$1,001 - \$15,000
	Aston Montag & Calwell Growth Fund	P	N/A	4/28/10 4/29/10	\$1,001 - \$15,000
	COLUMBIA ACORN INTL SELECT FUND	S	No	4/8/10	\$1,001 - \$15,000
	COLUMBIA DIVIDEND INCOME FUND	P	N/A	4/27/10	\$1,001 - \$15,000
	COLUMBIA INTERNATIONAL STOCK FUND	S	No	8/16/10	\$1,001 - \$15,000
	COLUMBIA SHORT TERM MUNI BD FUND	S(part)	Yes	4/20/10 7/7/10 10/29/10	\$15,001 - \$50,000
	FEDERAL HONE LN BKS DUE 7/16/10	S	No	7/16/10	\$100,001 - \$250,000
	INTERNATIONAL BUSINESS MACHINES	S	Yes	4/30/10	\$15,001 - \$50,000
	ISHARES BARCLAYS 1-3 YR CR BD FUND	P	N/A	7/22/10 7/28/10	\$50,001 - \$100,000
	ISHARES BARCLAYS INTERMEDIATE CR BD FUND	P	N/A	7/22/10 7/28/10	\$15,001 - \$50,000
	ISHARES TR S&P 500 INDEX FUND	P	N/A	7/22/10 9/29/10	\$15,001 - \$50,000

SCHEDULE IV - TRANSACTIONS

Name Nicola S. Tsongas

Page 8 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	LAZARD FUNDS INC EMERGING MKTS	P	N/A	4/28/10	\$1,001 - \$15,000
	THORNBURG INTL VALUE FUND	P	N/A	8/16/10	\$1,001 - \$15,000
	VANGUARD INTL EQUITY INDEX FDS	P	N/A	7/22/10 9/29/10	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

Name Nicola S. Tsongas

Page 9 of 9

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	ENTERPRISE BANK AND TRUST		LINE OF CREDIT ON 455 SHORE RD, CHATHAM MA	\$100,001 - \$250,000
	TD Bank	July 2003	Mortgage on 26 Auburn St., Charlestown MA	\$500,001 - \$1,000,000