



Filing ID #10017888

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Julia Brownley
Status: Member
State/District: CA26

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2015
Filing Date: 07/14/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|-----------------------------------|-------|---------------------|-----------------------------|-------------------|-------------------------------------|
| IRA ⇒ Amer Funds Washington | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| IRA ⇒ American Growth Fund | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| IRA ⇒ Eaton Vance Floating | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| IRA ⇒ Franklin Small Cap Value | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| IRA ⇒ Franklin Sm-Mid Cap Gr | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| IRA ⇒ Hartford Growth | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| IRA ⇒ Mainstay High Yield | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| IRA ⇒ | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|-----------------------------|---------------------|-------------------------------------|
| MFS Total Return Bond | | | | | |
| DESCRIPTION: Formerly MFS Research Bond Fund | | | | | |
| IRA ⇒ MFS Value Fund Cl I | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| IRA ⇒ Oppenheimer Int'l Bond Fund | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| IRA ⇒ Oppenheimer Int'l Growth | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| IRA ⇒ Pimco Low Duration | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| IRA ⇒ Pimco Total Return Fund | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| IRA ⇒ The Oakmark Int'l Small Fund | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| IRA ⇒ Thornburg International | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| IRA ⇒ Victory Large Cap Growth | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ Amer Funds Washington | | \$100,001 - \$250,000 | Capital Gains, Dividends | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ American Century Hi Yld | | \$50,001 - \$100,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ American Growth Fund | | \$100,001 - \$250,000 | Capital Gains, Dividends | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ Artisan International | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ Cambiar Small Cap Fund | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Merrill Lynch ⇒ Cash and Money Accounts | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|--------------------------|---------------------|-------------------------------------|
| Merrill Lynch ⇒ Franklin California Tax Free | | \$250,001 - \$500,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ Franklin Sm-Mid Cap Gr | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ Invesco Int'l Growth | | \$50,001 - \$100,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ Mainstay Large Cap | | \$100,001 - \$250,000 | Capital Gains, Dividends | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ MFS Value Fund CI I | | \$50,001 - \$100,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ Oppenheimer Int'l Growth DESCRIPTION: Sold at a loss | | None | Capital Gains | None | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ Pimco CA Short Duration | | None | Capital Gains, Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ Thornburg International | | None | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ Vanguard FTSE Developed Markets | | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| Other Securities ⇒ AIG Annuity - Fixed DESCRIPTION: Annuity | | \$15,001 - \$50,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Other Securities ⇒ AT&T Inc. (T) | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Other Securities ⇒ CenturyLink, Inc. (CTL) | | \$1 - \$1,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Other Securities ⇒ Comcast Corporation - Class A (CMCSA) | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Other Securities ⇒ Hartford Annuity - Fixed DESCRIPTION: Annuity | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-------------------------|----------------|---------------------|--------------------------|
| Other Securities ⇒ Verizon Communications Inc. (VZ) | | \$1 - \$1,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| COMMENTS: Recently discovered as California unclaimed property. | | | | | |
| Other Securities ⇒ Vodafone Group Plc Ordinary Shares (VODPD) | | \$1 - \$1,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Real Estate ⇒ 10 acres in Kern County | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| LOCATION: Kern County, CA, US | | | | | |
| Real Estate ⇒ Santa Monica, California | | \$500,001 - \$1,000,000 | Rent | \$15,001 - \$50,000 | <input type="checkbox"/> |
| LOCATION: Santa Monica / Los Angeles, CA, US | | | | | |

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|-------------------------------------|-------|------------|-------------|---------------------|-------------------------------------|
| IRA ⇒ Amer Funds Washington | | 04/17/2015 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| IRA ⇒ Amer Funds Washington | | 05/15/2015 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| IRA ⇒ Amer Funds Washington | | 06/9/2015 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| IRA ⇒ Amer Funds Washington | | Quarterly | P | \$1,001 - \$15,000 | |
| DESCRIPTION: Dividend reinvestments | | | | | |
| IRA ⇒ American Growth Fund | | 04/17/2015 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| IRA ⇒ American Growth Fund | | Quarterly | P | \$1,001 - \$15,000 | |
| DESCRIPTION: Dividend reinvestments | | | | | |
| IRA ⇒ Hartford Growth | | 04/17/2015 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------------|---------------------|-------------------------------------|
| IRA ⇒ Hartford Growth | | 07/17/2015 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| IRA ⇒ Hartford Growth Opportunities | | Quarterly | P | \$1,001 - \$15,000 | |
| DESCRIPTION: Dividend reinvestments | | | | | |
| IRA ⇒ MFS Value Fund | | 04/17/2015 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| IRA ⇒ Pimco Low Duration | | 04/17/2015 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| IRA ⇒ Thornburg International | | 04/17/2015 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| IRA ⇒ Victory Large Cap Growth | | 04/17/2015 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ Amer Funds Washington | | 04/17/2015 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ Amer Funds Washington | | Quarterly | P | \$1,001 - \$15,000 | |
| DESCRIPTION: Dividend reinvestments | | | | | |
| Merrill Lynch ⇒ American Century | | 04/17/2015 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ American Century High Yield | | 04/17/2015 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Merrill Lynch ⇒ American Century High Yield | | 07/10/2015 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Merrill Lynch ⇒ American Funds Washington | | 12/22/2015 | P | \$1,001 - \$15,000 | |
| DESCRIPTION: Dividend reinvestments | | | | | |
| Merrill Lynch ⇒ American Growth Fund | | 05/15/2015 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ American Growth Fund | | 07/10/2015 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------------|-----------------------|-------------------------------------|
| Merrill Lynch ⇒ American Growth Fund DESCRIPTION: Dividend reinvestments | | Quarterly | P | \$1,001 - \$15,000 | |
| Merrill Lynch ⇒ American Growth Fund DESCRIPTION: Dividend reinvestments | | 12/24/2015 | P | \$1,001 - \$15,000 | |
| Merrill Lynch ⇒ Artisan Intl Value Fund DESCRIPTION: Dividend reinvestments | | 11/20/2015 | P | \$1,001 - \$15,000 | |
| Merrill Lynch ⇒ Franklin CA Tax Free | | 07/10/2015 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ Franklin Calif Intm Trm | | 04/17/2015 | P | \$100,001 - \$250,000 | |
| Merrill Lynch ⇒ Franklin Calif Intm Trm | | 10/6/2015 | S | \$100,001 - \$250,000 | <input type="checkbox"/> |
| Merrill Lynch ⇒ Franklin Small Mid-Cap Growth | | 04/17/2015 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ Franklin Sm-Mid Cap DESCRIPTION: Dividend reinvestments | | 12/18/2015 | P | \$1,001 - \$15,000 | |
| Merrill Lynch ⇒ Invesco International | | 04/14/2015 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ Mainstay Large Cap | | 04/17/2015 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ Mainstay Large Cap | | 07/10/2015 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ Mainstay Large Cap Growth DESCRIPTION: Dividend reinvestments | | 12/4/2015 | P | \$1,001 - \$15,000 | |
| Merrill Lynch ⇒ MFS Value Fund | | 04/17/2015 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------------|-----------------------|-------------------------------------|
| Merrill Lynch ⇒ MFS Value Fund | | 07/10/2015 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ MFS Value Fund | | Quarterly | P | \$1,001 - \$15,000 | |
| DESCRIPTION: Dividend reinvestments | | | | | |
| Merrill Lynch ⇒ Oppenheimer Intl Growth | | 04/17/2015 | P | \$50,001 - \$100,000 | |
| Merrill Lynch ⇒ Oppenheimer Intl Growth | | 10/6/2015 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Merrill Lynch ⇒ Pimco CA Short Duration | | 04/17/2015 | S | \$100,001 - \$250,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch ⇒ Thornburg Intl Value | | 12/7/2015 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| COMMENTS: Sold at a loss | | | | | |
| Merrill Lynch ⇒ Thornburg Intl Value | | 11/20/2015 | P | \$1,001 - \$15,000 | |
| DESCRIPTION: Dividend reinvestments | | | | | |
| Merrill Lynch ⇒ Vanguard FTSE Developed Markets | | 12/7/2015 | P | \$15,001 - \$50,000 | |

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|---------------|---------------|---|-------------------------|
| | Merrill Lynch | Feb 2013 | Mortgage - Santa Monica, California | \$250,001 - \$500,000 |
| | Merrill Lynch | April 2013 | Mortgage - Westlake Village, California | \$500,001 - \$1,000,000 |
| | BB&T Mortgage | Nov 2015 | Mortgage | \$50,001 - \$100,000 |

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|---|--|---------------|----------|----------------------|
| COMMENTS: Inadvertently omitted from original filing. | | | | |
| | Capitol Hill Tower Housing Cooperative Inc | Nov 2015 | Mortgage | \$50,001 - \$100,000 |
| COMMENTS: Inadvertently omitted from original filing. | | | | |

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details | | | | | Inclusions | | |
|--|------------|------------|---|------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| Source | Start Date | End Date | Itinerary | Days at Own Exp. | Lodging? | Food? | Family? |
| Coop Assistance and Relief Everywhere / Gates Foundation | 05/22/2015 | 05/31/2015 | Washington DC - Lilongwe - Kasungu - Dowa - Washington DC | 3 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| COMMENTS: Additional destination: Nairobi | | | | | | | |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

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|--|
| <ul style="list-style-type: none"> IRA DESCRIPTION: ML 230-80737 Merrill Lynch (100% Interest) LOCATION: US DESCRIPTION: ML 230-18317 Other Securities LOCATION: US Real Estate LOCATION: US |
|--|

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Julia Brownley , 07/14/2017