

HAND
DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

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James A. Himes

(Full Name)

(Daytime Telephone)

2013 MAY 15 PM 1:00

(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: CT District: 4	<input type="checkbox"/> Officer Or Employee	Employing Office:	A \$200 penalty shall be assessed against anyone who files more than 30 days late.
Report Type	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date:	

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A				
Asset and/or Income Source				
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>				
BLOCK B				
Year-End Value of Asset				
<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p>				
BLOCK C				
Type of Income				
<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>				
BLOCK D				
Amount of Income				
<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>				
BLOCK E				
Transaction				
<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>				
DC	CHET High Equity Option 529 Plan	\$100,001 - \$250,000	None	NONE
DC	CHET High Equity Option 529 Plan	\$100,001 - \$250,000	None	NONE
SP	Fidelity Magellan IRA	\$15,001 - \$50,000	None	NONE
	Fidelity Intl Cap Appreciation Fund IRA	\$15,001 - \$50,000	None	NONE
	Spartan Total Market Index Fund IRA	\$15,001 - \$50,000	None	NONE
	Fidelity Capital & Income IRA	\$50,001 - \$100,000	None	NONE

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	Fidelity Total Bond IRA	\$50,001 - \$100,000	None	NONE	
	Fidelity Growth Strategies Fund IRA	\$50,001 - \$100,000	None	NONE	
	Fidelity Value Discovery IRA	\$50,001 - \$100,000	None	NONE	
JT	Cisco Systems Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Columbia Value and Restructuring Fund	\$50,001 - \$100,000	DIVIDENDS	\$5,001 - \$15,000	
JT	NGP Capital Resources Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	Microsoft Corp Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Hennessy Cornerstone Growth IRA	\$15,001 - \$50,000	None	NONE	
JT	Mondelez Intl Stock (formerly Kraft Foods)	\$1,001 - \$15,000	None	NONE	S(part)
JT	Intel Corp Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	Fidelity Intl Discovery Fund	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
JT	Alcatel Lucent ADR	\$1,001 - \$15,000	None	NONE	
	Columbia Large Cap Core 401k (previously Columbia Blended Equity)	\$1,001 - \$15,000	None	NONE	
	Fidelity Export & Multinational 401k	\$1,001 - \$15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	LSI Corp Common Stock 401k	\$1,001 - \$15,000	None	NONE
	Spartan Total Mkt Index Fund	\$1,000,001 - \$5,000,000	DIVIDENDS/CAPITAL GAINS	\$15,001 - \$50,000
	Fidelity Real Estate Income IRA	\$50,001 - \$100,000	None	NONE
	Fidelity Blue Chip Value IRA	\$50,001 - \$100,000	None	NONE
	Fidelity Blue Chip Value 401k	\$1,001 - \$15,000	None	NONE
DC	GS Private Equity Partners 2002 LP	\$15,001 - \$50,000	CAPITAL GAINS/INTEREST	\$2,501 - \$5,000
	Chase Savings	\$1,001 - \$15,000	INTEREST	\$1 - \$200
JT	Chase Moneymarket Savings	\$100,001 - \$250,000	INTEREST	\$1 - \$200
JT	Chase Workplace Checking	\$15,001 - \$50,000	None	NONE
JT	Financial Tracking LLC Stock (Private Co, Greenwich, CT)	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500
	GS Cash Deposits	\$15,001 - \$50,000	INTEREST	\$1 - \$200
	Enterprise 401k-Jennison 20/20	\$1,001 - \$15,000	None	NONE
JT	Great West Money Mark Fund IRA (Rolled over from Great West Maxim Money Mkt 401k)	\$50,001 - \$100,000	None	NONE
	Stone Street Asia Fund LP	\$1 - \$1,000	None	NONE

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	Stone Street PEP Technology Fund	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	
	Whitehall Street Global Employee Fund	\$1,001 - \$15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	\$1,001 - \$2,500	
JT	Fidelity Intl Small Cap Fund	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
	Stone Street Real Estate Fund 1999	\$1,001 - \$15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	\$1 - \$200	
JT	Fidelity Intl Cap Appreciation Fund	\$100,001 - \$250,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
	GS Distressed Opportunities Employee LP	\$15,001 - \$50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	\$1,001 - \$2,500	
	GS Vintage II Employee Fund LP	\$1,001 - \$15,000	INTEREST/CAPITAL GAINS	\$201 - \$1,000	
	GS Capital Partners 2000 Employees LP	\$50,001 - \$100,000	DIVIDENDS/INTEREST/CAPITAL GAINS	\$50,001 - \$100,000	
	GS Direct Investment Fund 2000 LP	\$15,001 - \$50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	\$15,001 - \$50,000	
	Stone Street Fund 1999 LP	\$1,001 - \$15,000	None	NONE	
JT	Fidelity CT Muni Market Fund	\$50,001 - \$100,000	INTEREST	\$1 - \$200	
JT	GS Small Cap Value Fund	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	
JT	GS Asia Equity Fund	\$100,001 - \$250,000	DIVIDENDS	\$1,001 - \$2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	Fidelity Intl Real Estate Fund	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	
JT	Fidelity Cap Appreciation Fund	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
	Stone Street Real Estate Fund 2000	\$1,001 - \$15,000	None	NONE	
JT	Facebook Stock	\$50,001 - \$100,000	None	NONE	P

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	Facebook Stock	P	N/A	06-06-12	\$50,001 - \$100,000
JT	Kraft Foods Stock	S(part)	No	Various	\$1,001 - \$15,000
JT	Spartan Total Market Index Fund	P	N/A	11-09-12	\$15,001 - \$50,000

SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	JP Morgan Chase	October 1998	Mortgage on 197 Valley Rd., Cos Cob, CT	\$250,001 - \$500,000
JT	JP Morgan Chase Home Equity	January 2012	Home Equity Loan on 197 Valley Rd., Cos Cob, CT	\$100,001 - \$250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Kingdom of Bahrain (MECEA)	Mar 31- Apr 4	NYC-Manama-NYC	Y	Y	N	None

SCHEDULE VIII - POSITIONS

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Advisory Board Member	Greenwich Alliance for Education