



Filing ID #10029669

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Alan Khazei
Status: Congressional Candidate
State/District: MA04

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2019
Filing Date: 01/22/2020
Period Covered: 01/01/2018– 12/31/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank of America Accounts [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200
Davis Funds 403(b) Plan ⇒ Davis Global Fund Class A [MF]		\$15,001 - \$50,000	Tax-Deferred		
Davis Funds 403(b) Plan ⇒ Davis Government Money Market Class A [MF]		\$1,001 - \$15,000	Tax-Deferred		
Davis Funds 403(b) Plan ⇒ Davis New York Venture Fund Class A [MF]		\$50,001 - \$100,000	Tax-Deferred		
Fidelity 529 Plan (1) ⇒ Massachusetts Portfolio 2021 (Fidelity Funds) [MF]		\$100,001 - \$250,000	Tax-Deferred		
Fidelity 529 Plan (2) ⇒ Massachusetts Portfolio 2027 (Fidelity Funds) [MF]		\$100,001 - \$250,000	Tax-Deferred		
Fidelity IRA ⇒ AQR Managed Futures Fund Class N [MF]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity IRA ⇒ ASG Managed Futures Strategy Fund Class A [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity IRA ⇒ Eaton Vance Global Macro Absolute Return Advantage Fund (A) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity IRA ⇒ Fidelity Investments Money Market Government Portfolio- Institutional Class [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity IRA ⇒ Fidelity SAI Emerging Markets Index [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity IRA ⇒ Fidelity SAI International Index Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity IRA ⇒ Fidelity SAI U.S. Large Cap Index Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity IRA ⇒ PIMCO Commodity Real Return INST [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity IRA ⇒ Strategic Advisers Core Fund [MF]		\$100,001 - \$250,000	Tax-Deferred		
Fidelity IRA ⇒ Strategic Advisers Core Income Fund [MF]		\$100,001 - \$250,000	Tax-Deferred		
Fidelity IRA ⇒ Strategic Advisers Emerging Markets [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity IRA ⇒ Strategic Advisers Growth Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		
Fidelity IRA ⇒ Strategic Advisers Income Opportunities [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity IRA ⇒ Strategic Advisers International Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		
Fidelity IRA ⇒ Strategic Advisers Short Duration Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity IRA ⇒ Strategic Advisers Small-Mid Cap Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity IRA ⇒ Strategic Advisers Value Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		
Fidelity Joint Brokerage Account ⇒ Fidelity Capital Appreciation Fund [MF]	JT	\$500,001 - \$1,000,000	Capital Gains, Dividends	\$50,001 - \$100,000	\$15,001 - \$50,000
Fidelity SEP IRA ⇒ Fidelity SAI Emerging Markets Index [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity SEP IRA ⇒ Fidelity SAI International index [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity SEP IRA ⇒ Fidelity SAI U.S. Large Cap Index [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity SEP IRA ⇒ Strategic Advisers Core Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity SEP IRA ⇒ Strategic Advisers Core Income Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity SEP IRA ⇒ Strategic Advisers Emerging Markets Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity SEP IRA ⇒ Strategic Advisers Growth Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity SEP IRA ⇒ Strategic Advisers International Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity SEP IRA ⇒ Strategic Advisers Short Duration Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity SEP IRA ⇒ Strategic Advisers Small-Mid Cap Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity SEP IRA ⇒ Strategic Advisers Value Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
Merrill CMA Account (1) ⇒		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]					
Merrill CMA Account (2) ⇒ Cash Account [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200
Merrill CMA Account (2) ⇒ CD Bank of China New York [BA]		None	Interest	\$201 - \$1,000	None
Merrill IRA ⇒ Cash Account [BA]		\$1,001 - \$15,000	Tax-Deferred		
U.S. Treasury Notes [GS]		None	Interest	\$201 - \$1,000	\$201 - \$1,000
USAA IRA ⇒ USAA Growth Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Voya 401(k) ⇒ American Funds New Perspective R6 [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
Voya 401(k) ⇒ BlackRock Mid-Cap Growth Equity Portfolio Class K [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		
Voya 401(k) ⇒ Voya Large Cap Growth Portfolio R6 [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Issue One	Consulting Fee	\$160,000.00	\$84,469.00
Bloomberg Philanthropies	Consulting Fees	N/A	\$25,000.00
New Profit, Inc.	Spouse Salary	N/A	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Davis Funds 403(b) Plan
- Fidelity 529 Plan (1)
LOCATION: MA
- Fidelity 529 Plan (2)
LOCATION: MA
- Fidelity IRA
- Fidelity Joint Brokerage Account (Owner: JT)
LOCATION: US
- Fidelity SEP IRA
- Merrill CMA Account (1)
LOCATION: US
- Merrill CMA Account (2)
LOCATION: US
- Merrill IRA
- USAA IRA (Owner: SP)
- Voya 401(k) (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the

best of my knowledge and belief.

Digitally Signed: Alan Khazei , 01/22/2020