

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 11
For use by Members, officers, and employees

Andy Harris

(Full Name)

2022256311

(Daytime Telephone)

HAND DELIVERED
(Office Use Only)

LEGISLATIVE RESOURCE CENTER
2011 JUN -3 PM 2:58 *MC*

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: MD District: 01	<input type="checkbox"/> Officer Or Employee	Employing Office:
	Termination Date:			
Report Type	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name Andy Harris

Page 2 of 11

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Johns Hopkins University	Salary	\$123,705
State of Maryland	Salary	\$40,011
Tidewater Anesthesia Associates	Anesthesia Services	\$27,000
Medical Mutual Liability Insurance Society of Maryland	Consulting	\$5,500
Maryland Right to Life	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Andy Harris

Page 3 of 11

BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source	Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any derivative holding; 529 plans or plans in a qualified plan or IRA.</p>	<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP First mariner Bank CD	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT M and T Bank Checking	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC1 529, College Savings Plan of MD, Portfolio for College	\$1,001 - \$15,000	None	NONE	
DC2 529, College Savings Plan of MD, Portfolio for College	\$15,001 - \$50,000	None	NONE	P
DC2 529, Maryland Prepaid College Trust	\$1,001 - \$15,000	None	NONE	
DC3 529, College Savings Plan of MD, Portfolio for College	\$15,001 - \$50,000	None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Andy Harris

Page 4 of 11

DC3	529, Maryland Prepaid College Trust	\$1,001 - \$15,000	None	NONE	
DC4	529, College Savings Plan of Maryland, Portfolio 2012	\$15,001 - \$50,000	None	NONE	P
DC4	529, Maryland Prepaid College Trust	\$15,001 - \$50,000	None	NONE	
DC5	529, College Savings Plan of MD, Portfolio 2018	\$15,001 - \$50,000	None	NONE	P
DC5	529, Maryland Prepaid College Trust	\$15,001 - \$50,000	None	NONE	
	Keogh, T Rowe Price, International Discovery	\$15,001 - \$50,000	None	NONE	
	Keogh, T Rowe Price, Money Market	\$15,001 - \$50,000	None	NONE	
	403b, American Century, Emerging Markets	\$50,001 - \$100,000	None	NONE	
	403b, American Century, Global Gold	\$100,001 - \$250,000	None	NONE	
	403b, American Century, International Discovery	\$100,001 - \$250,000	None	NONE	
	403b, American Century, Money Market	\$250,001 - \$500,000	None	NONE	
	403b, American Century, Strategic Allocation	\$50,001 - \$100,000	None	NONE	
	403b, TIAA Traditional	\$100,001 - \$250,000	None	NONE	
	403b, CREF Equity Index	\$100,001 - \$250,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Andy Harris

Page 5 of 11

403b, CREF Global Equity	\$100,001 - \$250,000	None	NONE	
MD State Retirement, 457, 401K, Investment Contract Pool	\$100,001 - \$250,000	None	NONE	P
MD State Retirement, 457, 401K, Large Cap Value	\$100,001 - \$250,000	None	NONE	P
403b Vanguard, Mid Cap Index	\$15,001 - \$50,000	None	NONE	
403b Vanguard, Capital Opportunity	\$15,001 - \$50,000	None	NONE	
403b Vanguard, Life Strategy Growth	\$15,001 - \$50,000	None	NONE	
403b Vanguard, REIT Index	\$15,001 - \$50,000	None	NONE	
403b Vanguard, Money Market	\$100,001 - \$250,000	None	NONE	P
403b Vanguard, Emerging Markets	\$50,001 - \$100,000	None	NONE	
403b Vanguard, Energy	\$15,001 - \$50,000	None	NONE	
403b Vanguard, Health Care	\$50,001 - \$100,000	None	NONE	
403b Vanguard, Pacific Index	\$15,001 - \$50,000	None	NONE	
403b Vanguard, Selected Value	\$15,001 - \$50,000	None	NONE	
403b Vanguard, 500 Index	\$1,001 - \$15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Andy Harris

Page 6 of 11

SP	IRA Ameritrade, Money Market	\$1,001 - \$15,000	None	NONE	
	IRA Ameritrade, Money Market	\$1,001 - \$15,000	None	NONE	
DC3	Vanguard Wellington	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
DC3	Vanguard Money Market	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
DC4	Vanguard Tax-managed Growth and Income	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
DC4	Vanguard Wellington	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
DC4	Vanguard Money Market	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC5	Vanguard 500 Index	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC5	Vanguard MidCap Index	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC5	Vanguard SmallCap Value	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC5	Vanguard Money Market	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	IRA Abbott Labs	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S
	IRA Bank of America	None	None	NONE	S
	IRA Baxter Labs	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Andy Harris

Page 7 of 11

	IRA Merck Labs	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	IRA Comcast	None	DIVIDENDS	\$1 - \$200	S
	IRA GE	None	DIVIDENDS	\$1 - \$200	S
	IRA Glaxo	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S
	IRA Joseph A Bank	\$1,001 - \$15,000	None	NONE	
	IRA McCormick	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	IRA Oclaro	None	None	NONE	S
	IRA Sinclair Broadcast	None	DIVIDENDS	\$1 - \$200	S
JT	Fidelity Charitable Gift Fund	\$15,001 - \$50,000	None	NONE	
JT	T Rowe Price Charitable Gift Fund	\$15,001 - \$50,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name Andy Harris

Page 8 of 11

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	403b Vanguard, Money Market	P	N/A	monthly	\$15,001 - \$50,000
DC4	529, College Savings Plan of Maryland, Portfolio 2012	P	N/A	monthly	\$1,001 - \$15,000
DC5	529, College Savings Plan of MD, Portfolio 2018	P	N/A	monthly	\$1,001 - \$15,000
DC2	529, College Savings Plan of MD, Portfolio for College	P	N/A	monthly	\$1,001 - \$15,000
DC3	529, College Savings Plan of MD, Portfolio for College	P	N/A	monthly	\$1,001 - \$15,000
	IRA Abbott Labs	S	Yes	12-31-10	\$1,001 - \$15,000
	IRA Bank of America	S	No	12-31-10	\$1,001 - \$15,000
	IRA Baxter Labs	S	Yes	12-31-10	\$1,001 - \$15,000
	IRA Comcast	S	No	12-31-10	\$1,001 - \$15,000
	IRA GE	S	No	12-31-10	\$1,001 - \$15,000
	IRA Glaxo	S	Yes	12-31-10	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Andy Harris

Page 9 of 11

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	IRA Sinclair Broadcast	S	No	12-31-10	\$1,001 - \$15,000
	MD State Retirement, 457, 401K, Investment Contract Pool	P	N/A	monthly	\$1,001 - \$15,000
	MD State Retirement, 457, 401K, Large Cap Value	P	N/A	monthly	\$1,001 - \$15,000

SCHEDULE IX - AGREEMENTS

Name Andy Harris

Page 10 of 11

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
01-05-11	Johns Hopkins	Leave of Absence

FOOTNOTES

Name Andy Harris

Page 11 of 11

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Trust Fund that was not included on last year's filing	T Rowe Price Charitable Gift Fund
2	Schedule III	Trust Fund that was not included on last year's filing	Fidelity Charitable Gift Fund