



Filing ID #10000741

# FINANCIAL DISCLOSURE REPORT

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Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

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## FILER INFORMATION

**Name:** Mr. Tim Walberg  
**Status:** Member  
**State/District:** MI07

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2013  
**Filing Date:** 05/15/2014

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Barclays ETN S&P VEQTOR ETN (VQT)		None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
CREF Global Equities		\$1,001 - \$15,000	Tax-Deferred	\$1,001 - \$2,500	<input type="checkbox"/>
CREF Growth		\$1,001 - \$15,000	Tax-Deferred	\$2,501 - \$5,000	<input type="checkbox"/>
CREF Stock		\$1,001 - \$15,000	Tax-Deferred	\$2,501 - \$5,000	<input type="checkbox"/>
Driehaus Active Income Fund		None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
iShares Floating Rate Bond ETF (FLOT)		None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
Profunds Short Precious Metal Inv		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Proshares Ultrashort Gold		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SPDR S&P 500		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>








Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SPDR S&P Oil & Gas Equipment & Services		None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SPDR S&P Regional Banking		None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
TIAA Real Estate		\$1,001 - \$15,000	Tax-Deferred	\$1 - \$200	<input type="checkbox"/>
TIAA Traditional		\$1,001 - \$15,000	Tax-Deferred	\$1 - \$200	<input type="checkbox"/>

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ashton/Optimum Mid Cap I		11/25/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Barclays ETN S&P VEQTOR ETN (VQT)		06/14/2013	P	\$1,001 - \$15,000	
Barclays ETN S&P VEQTOR ETN (VQT)		11/25/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
BlackRock Liquidity T-Fund Mgmt		06/17/2013	P	\$1,001 - \$15,000	
BlackRock Liquidity T-Fund Mgmt		06/19/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
BlackRock Liquidity T-Fund Mgmt		06/26/2013	P	\$1,001 - \$15,000	
BlackRock Liquidity T-Fund Mgmt		06/28/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
BlackRock Liquidity T-Fund Mgmt		07/9/2013	P	\$1,001 - \$15,000	
BlackRock Liquidity T-Fund Mgmt		07/11/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
BlackRock Liquidity T-Fund Mgmt		08/30/2013	P	\$1,001 - \$15,000	
BlackRock Liquidity T-Fund Mgmt		09/4/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Direxion Shares TR DLY JR Gold Miners		11/7/2013	P	\$1,001 - \$15,000	
Direxion Shares TR DLY JR Gold Miners		11/14/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Driehaus Active Income Fund		06/25/2013	P	\$1,001 - \$15,000	
Driehaus Active Income Fund		11/25/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Federated Shortterm Income Fund		11/25/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Global X Silver Miners ETF (SIL)		02/14/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Global X Uranium ETF		05/29/2013	P	\$1,001 - \$15,000	
Global X Uranium ETF		06/20/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
iPath S&P 500 VIX Shortterm Futures		06/25/2013	P	\$1,001 - \$15,000	
iShares Floating Rate Note Fund		11/25/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Markets Vector Gold Miners ETF		10/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
PIMCO Income Administrative		05/22/2013	P	\$1,001 - \$15,000	
PIMCO Income Adminstrative		11/25/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
PIMCO Low Duration Adminstrative		11/25/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Profund Short Precious Metal Inv		03/12/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Profund Short Precious Metal Inv		04/2/2013	P	\$1,001 - \$15,000	
Profund Short Precious Metal Inv		04/24/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Profund Short Precious Metal Inv		05/8/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Profund SShort Precious Metal Inv		02/20/2013	P	\$1,001 - \$15,000	
Proshares Short Russell 2000		08/30/2013	P	\$1,001 - \$15,000	
Proshares Short Russell 2000		11/25/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Proshares Short S&P 500		05/31/2013	P	\$1,001 - \$15,000	
Proshares Short S&P 500		06/21/2013	P	\$1,001 - \$15,000	
Proshares Short S&P 500		08/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Proshares Ultrashort Gold		05/15/2013	P	\$1,001 - \$15,000	
Proshares Ultrashort Gold		05/22/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Proshares Ultrashort Gold		05/31/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Proshares Ultrashort Gold		06/18/2013	P	\$1,001 - \$15,000	
Proshares Ultrashort Gold		06/28/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Proshares Ultrashort Gold		08/1/2013	P	\$1,001 - \$15,000	
Proshares Ultrashort Gold		08/8/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Proshares Ultrashort Gold		09/5/2013	P	\$1,001 - \$15,000	
Proshares Ultrashort Gold		09/18/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Proshares Ultrashort Gold		10/1/2013	P	\$1,001 - \$15,000	
Proshares Ultrashort Gold		10/11/2013	P	\$1,001 - \$15,000	
Proshares Ultrashort Gold		10/22/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Rydex US Govt Money Market		06/17/2013	P	\$1,001 - \$15,000	
Rydex US Govt Money Market		08/29/2013	S	\$1,001 - \$15,000	
SPDR Oil&Gas Equipment&Svcs		03/14/2013	P	\$1,001 - \$15,000	
SPDR S&P 500		06/25/2013	P	\$1,001 - \$15,000	
SPDR S&P 500		08/29/2013	P	\$1,001 - \$15,000	
SPDR S&P 500		11/25/2013	S	\$1,001 - \$15,000	
SPDR S&P Oil&Gas Equipment&Svcs		11/25/2013	S	\$1,001 - \$15,000	
SPDR S&P Regional Banking		11/14/2013	P	\$1,001 - \$15,000	
SPDR S&P Regional Banking		11/21/2013	P	\$1,001 - \$15,000	
SPDR S&P Regional Banking		11/25/2013	S	\$1,001 - \$15,000	
Sprott Physical Goldtr Units		08/14/2013	P	\$1,001 - \$15,000	
Sprott Physical Goldtr Units		08/22/2013	P	\$1,001 - \$15,000	
Sprott Physical Goldtr Units		09/19/2013	P	\$1,001 - \$15,000	
Sprott Physical Goldtr Units		10/24/2013	P	\$1,001 - \$15,000	
Sprott Physical Goldtr Units		10/25/2013	P	\$1,001 - \$15,000	
Sprott Physical Goldtr Units		11/20/2013	S (partial)	\$1,001 - \$15,000	
Sprott Physical Goldtr Units		11/25/2013	S	\$1,001 - \$15,000	
Sprott Physical Platinum/Palladium Trust		06/3/2013	P	\$1,001 - \$15,000	
Sprott Physical Platinum/Palladium Trust		06/21/2013	S	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Sprott Physical Silver Units		04/2/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>

### SCHEDULE C: EARNED INCOME

Source	Type	Amount
State of Michigan	legislative pension	\$61,083

### SCHEDULE D: LIABILITIES

None disclosed.

### SCHEDULE E: POSITIONS

None disclosed.

### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
April 2005	State of Michigan	pension for service in State House of Representatives

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

☐ Yes ☒ No

## COMMENTS

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Mr. Tim Walberg , 05/15/2014