

# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Hon. Robert Pittenger

Status: Member State/District: NC09

## FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2014

**Filing Date:** 08/13/2015

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Albemarle Road - Howell Road, LLC	SP	\$50,001 - \$100,000	Partnership Income	None	
Location: Cabarrus County, NC, US Description: Investment Real Estate					
Albemarle Road - Howell Road, LLC		\$250,001 - \$500,000	Partnership Income	None	
Location: Cabarrus County, NC, US Description: Investment Real Estate					
Albemarle Road, LLC		\$250,001 - \$500,000	Partnership Income	None	П
Location: Cabarrus County, NC, US DESCRIPTION: Investment Real Estate					
Albemarle Road, LLC	SP	\$50,001 - \$100,000	Partnership Income	None	П
Location: Cabarrus County, NC, US DESCRIPTION: Investment Real Estate					
American Radio & Television 401K Plan		\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: Federated Prime Value Obligations 1					

Belmont #1 - US 321/74 Bypass, LLC  SP \$100,001 - Partnership Income  Location: Gaston County, NC, US Description: Investment Real Estate  Belmont #1 - US 321/74 Bypass, LLC  \$1,000,001 - Partnership Income  None  Location: Gaston County, NC, US Description: Investment Real Estate  Belmont #2 - US 321/74 Bypass, LLC  SP \$500,001 - Partnership Income  None  Location: Gaston County, NC, US Description: Investment Real Estate  Belmont #2 - US 321/74 Bypass, LLC  SP \$500,001 - Partnership Income  None  Location: Gaston County, NC, US Description: Investment Real Estate  Belmont #3 - US 321/74 Bypass, LLC  SP \$500,001 - Partnership None  Location: Gaston County, NC, US Description: Investment Real Estate  Belmont #3 - US 321/74 Bypass, LLC  SP \$50,001 - Partnership None  Location: Gaston County, NC, US Description: Investment Real Estate  Belmont #3 - US 321/74 Bypass, LLC  SP \$50,001 - Partnership None  Description: Investment Real Estate
DESCRIPTION: Investment Real Estate  Belmont #1 - US 321/74 Bypass, LLC \$1,000,001 - \$5,000,000 Income  LOCATION: Gaston County, NC, US DESCRIPTION: Investment Real Estate  Belmont #2 - US 321/74 Bypass, LLC SP \$500,001 - \$1,000,000 Income  LOCATION: Gaston County, NC, US DESCRIPTION: Investment Real Estate  Belmont #2 - US 321/74 Bypass, LLC \$500,001 - \$1,000,000 Income  LOCATION: Gaston County, NC, US DESCRIPTION: Investment Real Estate  Belmont #3 - US 321/74 Bypass, LLC SP \$50,001 - \$1,000,000 Income  LOCATION: Gaston County, NC, US DESCRIPTION: Investment Real Estate  LOCATION: Gaston County, NC, US DESCRIPTION: Investment Real Estate
\$5,000,000 Income  Location: Gaston County, NC, US DESCRIPTION: Investment Real Estate  Belmont #2 - US 321/74 Bypass, LLC  Span Span Span Span Span Span Span Span
DESCRIPTION: Investment Real Estate  Belmont #2 - US 321/74 Bypass, LLC  SP \$500,001 - Partnership Income  Location: Gaston County, NC, US  DESCRIPTION: Investment Real Estate  Belmont #2 - US 321/74 Bypass, LLC  \$500,001 - Partnership Income  None  Location: Gaston County, NC, US  DESCRIPTION: Investment Real Estate  Belmont #3 - US 321/74 Bypass, LLC  SP \$50,001 - Partnership Income  Location: Gaston County, NC, US  DESCRIPTION: Investment Real Estate  Location: Gaston County, NC, US  DESCRIPTION: Investment Real Estate
\$1,000,000 Income  Location: Gaston County, NC, US  DESCRIPTION: Investment Real Estate  Belmont #2 - US 321/74 Bypass, LLC \$500,001 - Partnership Income  Location: Gaston County, NC, US  DESCRIPTION: Investment Real Estate  Belmont #3 - US 321/74 Bypass, LLC SP \$50,001 - Partnership Income  Location: Gaston County, NC, US  DESCRIPTION: Gaston County, NC, US  DESCRIPTION: Investment Real Estate
Description: Investment Real Estate  Belmont #2 - US 321/74 Bypass, LLC \$500,001 - Partnership Income  Location: Gaston County, NC, US  Description: Investment Real Estate  Belmont #3 - US 321/74 Bypass, LLC SP \$50,001 - Partnership Income  Location: Gaston County, NC, US  Description: Investment Real Estate
\$1,000,000 Income  Location: Gaston County, NC, US  Description: Investment Real Estate  Belmont #3 - US 321/74 Bypass, LLC SP \$50,001 - Partnership None \$100,000 Income
Description: Investment Real Estate  Belmont #3 - US 321/74 Bypass, LLC  SP \$50,001 - Partnership None \$100,000 Income  Location: Gaston County, NC, US  Description: Investment Real Estate
\$100,000 Income  Location: Gaston County, NC, US  Description: Investment Real Estate
Description: Investment Real Estate
Belmont #3 - US 321/74 Bypass, LLC \$1,000,001 - Partnership None
\$5,000,000 Income
LOCATION: Gaston County, NC, US DESCRIPTION: Investment Real Estate
Beth Haven Church Road - NC 16 Bypass, \$250,001 - Partnership None LLC \$500,000 Income
LOCATION: Lincoln County, NC, US  DESCRIPTION: Investment Real Estate
Beth Haven Church Road - NC 16 Bypass, SP \$1,001 - \$15,000 Partnership None LLC
LOCATION: Lincoln County, NC, US  DESCRIPTION: Investment Real Estate
Bethel Church Road, LLC \$100,001 - Partnership None \$250,000 Income
LOCATION: Cabarrus County, NC, US  DESCRIPTION: Investment Real Estate

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
BNC Bancorp (BNCN)		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	
DESCRIPTION: Formerly First Trust Bank					
Charleston-Berkeley County, LLC	SP	\$100,001 - \$250,000	Partnership Income	None	
LOCATION: Berkeley County, SC, US DESCRIPTION: Investment Real Estate					
Cy N. Bahakel Family Excepted Trust II dtd 3/26/1999 C		None	Excepted Trust	\$201 - \$1,000	
DESCRIPTION: Information withheld by trustee					
High Shoals - Highway 321, LLC	SP	\$50,001 - \$100,000	Partnership Income	None	
LOCATION: Gaston County, NC, US DESCRIPTION: Investment Real Estate					
High Shoals - Highway 321, LLC		\$250,001 - \$500,000	Partnership Income	None	
LOCATION: Gaston County, NC, US DESCRIPTION: Investment Real Estate					
Highway 321 - Forbes Road, LLC		\$250,001 - \$500,000	Partnership Income	None	
LOCATION: Gaston County, NC, US DESCRIPTION: Investment Real Estate					
Highway 321 - Forbes Road, LLC	SP	\$15,001 - \$50,000	Partnership Income	None	
LOCATION: Gaston County, NC, US DESCRIPTION: Investment Real Estate					
Highway 321 - Stagecoach Road, LLC		\$100,001 - \$250,000	Partnership Income	None	
Location: Gaston County, NC, US DESCRIPTION: Investment Real Estate					
Highway 321 - Stagecoach Road, LLC	SP	\$1,001 - \$15,000	Partnership Income	None	
Location: Gaston County, NC, US DESCRIPTION: Investment Real Estate					
Highway 49 - St. Stephens Church Road, LLC		\$500,001 - \$1,000,000	Partnership Income	None	П
LOCATION: Cabarrus County, NC, US					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Description: Investment Real Estate					
Highway 49 - St. Stephens Church Road, LLC	SP	\$100,001 - \$250,000	Partnership Income	None	
LOCATION: Cabarrus County, NC, US DESCRIPTION: Investment Real Estate					
I-85 - Highway 29/74 Bypass, LLC		\$500,001 - \$1,000,000	Partnership Income	None	
LOCATION: Gaston County, NC, US DESCRIPTION: Investment Real Estate					
Kidville Road - NC 16 Bypass, LLC	SP	\$15,001 - \$50,000	Partnership Income	None	
LOCATION: Lincoln County, NC, US DESCRIPTION: Investment Real Estate					
Legislative Retirement System of NC		Undetermined	Tax-Deferred	\$2,501 - \$5,000	
Lincoln County - Orchard Road, LLC		\$250,001 - \$500,000	Partnership Income	None	
LOCATION: Lincoln County, NC, US DESCRIPTION: Investment Real Estate					
Lincoln County - Orchard Road, LLC	SP	\$100,001 - \$250,000	Partnership Income	None	
LOCATION: Lincoln County, NC, US DESCRIPTION: Investment Real Estate					
Little River - Highway 57 & Highway 111, LLC		\$100,001 - \$250,000	Partnership Income	None	
LOCATION: Horry County, SC, US DESCRIPTION: Investment Real Estate					
Little River - Highway 57 & Highway 111, LLC	SP	\$15,001 - \$50,000	Partnership Income	None	
LOCATION: Horry County, SC, US DESCRIPTION: Investment Real Estate					
Little River - Hwy 50, LLC	SP	\$100,001 - \$250,000	Partnership Income	None	
LOCATION: Horry County, SC, US DESCRIPTION: Investment Real Estate					
Locust - Highway 200, LLC	SP	\$100,001 - \$250,000	Partnership Income	None	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Location: Cabarrus County, NC, US DESCRIPTION: Investment Real Estate					
Lower Rocky River - Morrison Road, LLC	SP	\$500,001 - \$1,000,000	Partnership Income	None	
Location: Cabarrus County, NC, US Description: Investment Real Estate					
Lower Rocky River Road, LLC	SP	\$15,001 - \$50,000	Partnership Income	None	
Location: Cabarrus County, NC, US Description: Investment Real Estate					
Monroe - Independence, LLC	SP	\$15,001 - \$50,000	Partnership Income	\$1 - \$200	
LOCATION: Union County, NC, US DESCRIPTION: Investment Real Estate					
Mount Pleasant - Highway 200, LLC	SP	\$100,001 - \$250,000	Partnership Income	None	
Location: Cabarrus County, NC, US DESCRIPTION: Investment Real Estate					
Mount Pleasant - Highway 73, LLC		\$500,001 - \$1,000,000	Partnership Income	None	
Location: Cabarrus County, NC, US Description: Investment Real Estate					
Mount Pleasant - Long Run Farm Road, LLC	SP	\$15,001 - \$50,000	Partnership Income	None	
Location: Cabarrus County, NC, US DESCRIPTION: Investment Real Estate					
Mount Pleasant - Long Run Farm Road, LLC		\$500,001 - \$1,000,000	Partnership Income	None	
LOCATION: Cabarrus County, NC, US DESCRIPTION: Investment Real Estate					
Mt. Pleasant - Monroe, LLC		\$15,001 - \$50,000	Partnership Income	None	
LOCATION: Cabarrus County, NC, US DESCRIPTION: Investment Real Estate					
Mt. Pleasant - Monroe, LLC	SP	\$100,001 - \$250,000	Partnership Income	None	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: Cabarrus County, NC, US DESCRIPTION: Investment Real Estate					
Nashville - Highway 96, LLC	SP	\$1,001 - \$15,000	Partnership Income	None	П
LOCATION: Rutherford County, TN, US DESCRIPTION: Investment Real Estate					
Nashville - Williamson County, LLC	SP	\$100,001 - \$250,000	Partnership Income	None	
LOCATION: Williamson County, TN, US DESCRIPTION: Investment Real Estate					
Old Waxhaw - Monroe Road Joint Venture	SP	\$100,001 - \$250,000	Partnership Income	None	
LOCATION: Union County, NC, US DESCRIPTION: Investment Real Estate					
Pioneer Mill Road, LLC	SP	\$1,001 - \$15,000	Partnership Income	None	
LOCATION: Cabarrus County, NC, US DESCRIPTION: Investment Real Estate					
Pittenger Land Investments, Inc.	SP	Spouse/DC Over \$1,000,000	S Corporation Income	Spouse/DC Over \$1,000,000	
LOCATION: Charlotte, NC, US DESCRIPTION: Real Estate Management Company					
Raleigh - Highway 55, LLC	SP	\$1,001 - \$15,000	Partnership Income	None	П
LOCATION: Wake County, NC, US DESCRIPTION: Investment Real Estate					
Regions Financial Corporation (RF)		\$1 - \$1,000	Dividends	\$1 - \$200	
St. James Church Road - NC 16 Bypass, LLC	SP	\$1,001 - \$15,000	Partnership Income	None	
LOCATION: Lincoln County, NC, US DESCRIPTION: Investment Real Estate					
Stagecoach Road, LLC		\$100,001 - \$250,000	Partnership Income	None	
LOCATION: Gaston County, NC, US DESCRIPTION: Investment Real Estate					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Union New Hope Road, LLC	SP	\$100,001 - \$250,000	Partnership Income	None	
LOCATION: Gaston County, NC, US					
Description: Investment Real Estate					
Union New Hope Road, LLC		\$250,001 - \$500,000	Partnership Income	None	
Location: Gaston County, NC, US					
Description: Investment Real Estate					
Wells Fargo & Company (WFC)		\$15,001 - \$50,000	Dividends	\$1 - \$200	П

## SCHEDULE B: TRANSACTIONS

None disclosed.

# SCHEDULE C: EARNED INCOME

Source	Туре	Amount
Bahakel Communications, Ltd.	Spouse Salary	N/A
Pittenger Land Investments, Inc.	Spouse Salary	N/A

# SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Туре	Amount of Liability
	Regions Bank	August 2007	Mortgage - House Grandfather Mtn. NC	\$1,000,001 - \$5,000,000
	Carolina Premier Bank	June 2013	Mortgage - Personal Residence Charlotte, NC	\$1,000,001 - \$5,000,000
	Pittenger Land Investments, Inc.	August 2008	Note Payable	\$1,000,001 - \$5,000,000
	George Moretz - Hickory, NC	March 2009	Note Payable	\$100,001 - \$250,000

# SCHEDULE E: Positions

Position	Name of Organization		
Managing Member	RPC, LLC		
COMMENTS: Single Member LLC holding real estate carried interests			

## **Position**

## Name of Organization

#### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
2011	Legislative System of North Carolina	Retirement Agreement - Pension

## SCHEDULE G: GIFTS

None disclosed.

#### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details			Inclusions				
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
German Marshall Fund of the United States	12/12/2014	12/14/2014	Charlotte, NC - Laguna Beach, CA - Charlotte, NC	0	<u> </u>	<b>V</b>	П
German Marshall Fund of the United States	04/11/2014	04/20/2014	Charlotte, NC - New Delhi, India - Mumbai, India - Rome, Italy - Washington, D.C.	0	<u>~</u>	<b>▽</b>	П

#### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO**: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

C Yes No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

• Yes • No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ○ No

#### **COMMENTS**

Filer listed certain investments differently this year to further clarify ownership belonging to his spouse. Filer has elected to make this change; however, this classification is not a requirement. The actual ownership interest, valuations, and income of the partnerships have not changed.

# CERTIFICATION AND SIGNATURE

 $\overline{\mathbb{M}}$  I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Robert Pittenger, 08/13/2015