



Filing ID #10011710

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Daniel Lipinski
Status: Member
State/District: IL03

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2015
Filing Date: 05/16/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
361 Managed Futures Strat	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: P					
401K AETNA INC, COMMON STOCK	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
401K FIDELITY CONTRAFUND		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
401K FIDELITY PURITAN FUND		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
401K Intl Developed Mkt Index Fund	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
401K MARSH & MCLENNAN STOCK FUND	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
401K S&P 500 Index Fund	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
401K S&P Midcap Index Fund	SP	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: PURCHASE					
401K Stable Value Option	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
401K Target Retirement 2035 Fund	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
401K US Bond Index fund	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Aetna Inc. (AET)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
AMG Yacktman	SP	None	Capital Loss	None	<input type="checkbox"/>
DESCRIPTION: P,S					
BBH CORE SELECT	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
CALDWELL & ORKIN MARKET OPP FUND	SP	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
COHEN & STEERS PREF SEC INC.-1	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: S(part)					
Columbia Balanced Fund-RS	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: P					
Delaware Healthcare Instl	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Emerald Growth-Inst'l	SP	None	Capital Loss	None	<input type="checkbox"/>
DESCRIPTION: P,S					
E-TRADE BANK DEPOSIT ACCOUNT (CASH)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Eventide Gilead-Inst'l	SP	None	Capital Loss	None	<input type="checkbox"/>
DESCRIPTION: P,S					
FIRST EAGLE GLOBAL	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
FNBC Bank & Trust	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Forward Credit Analysis Long/Short	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: P					
Guggenheim Floating Rate Strategy	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: P					
Guggenheim Macro Opp.	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
INVESCO BALANCED - RISK ALLOCATION - Y	SP	None	Capital Loss	None	<input type="checkbox"/>
DESCRIPTION: S					
IRA ANGEL OAK MULTI STRAT INCOME	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA COHEN & STEERS PREF	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA Columbia Balanced Fund-RS	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: P					
IRA DOUBLELINE TOTAL RETURN - N	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: S(partial)					
IRA GUGGENHEIM MACRO OPP	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA Guggenheim Floating Rate Strategy	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: P					
IRA IRONCLAD MANAGED RISK	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA Loomis Sayles Gen Floating Rate Y	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA PIMCO ALL ASSET ALL AUTHORITY	SP	None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: S					
IRA TD BANK USA	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA VANGUARD 500 INDEX FUND		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRONCLAD MANAGED RISK	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
J. Hancock Disc. Val. Mid Cap	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: P					
JOHCM International Select	SP	None	Capital Loss	None	<input type="checkbox"/>
DESCRIPTION: P,S					
LEUTHOLD CORE	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Loomis Sayels Sen. Floating Rate-Y	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: P					
Marsh & McLennan Companies, Inc. (MMC)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
MB FINANCIAL		\$250,001 - \$500,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
METLIFE TCA MONEY MARKET ACCOUNT	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MetLife, Inc. (MET)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
METROPOLITAN WEST TOTAL RETURN	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: S					
PIMCO ALL ASSET ALL AUTHORITY INSTL	SP	None	Dividends, CAPITAL LOSS	None	<input type="checkbox"/>
DESCRIPTION: S					
Principal Real Estate Securities	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: P					
RETIREMENT CREF BOND MARKET		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
RETIREMENT CREF EQUITY INDEX		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
RETIREMENT CREF GROWTH		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
RETIREMENT CREF STOCK		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
RETIREMENT TIAA TRADITIONAL		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
T. Rowe Price Health Sciences	SP	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: P					
T. Rowe Price Real Estate	SP	\$1,001 - \$15,000	Dividends, Capital Loss	None	<input checked="" type="checkbox"/>
DESCRIPTION: S(partial)					
TD BANK USA	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
VANGUARD 500		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO	SP	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000	<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
361 Managed Futures Strat	SP	06/30/2015	P	\$1,001 - \$15,000	
AMG Yacktman	SP	01/14/2015	P	\$15,001 - \$50,000	
AMG Yacktman	SP	06/29/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
Cohen & Steers Pref Sec Inc.-1	SP	06/29/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Columbia Balanced Fund-RS	SP	01/14/2015	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Columbia Balanced Fund-RS	SP	06/30/2015	P	\$1,001 - \$15,000	
Emerald Growth-Inst'l	SP	06/30/2015	P	\$15,001 - \$50,000	
Emerald Growth-Ints'l	SP	12/11/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
Eventide Gilead-Inst'l	SP	06/30/2015	P	\$15,001 - \$50,000	
Eventide Gilead-Inst'l	SP	12/11/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
Forward Credit Analysis Long/Short	SP	01/14/2015	P	\$15,001 - \$50,000	
Guggenheim Floating Rate Strategy	SP	06/30/2015	P	\$1,001 - \$15,000	
Invesco Balanced-Risk Allocation-Y	SP	06/29/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA Columbia Balanced Fund-RS	SP	01/14/2015	P	\$1,001 - \$15,000	
IRA Doubleline Total Return-N	SP	06/29/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA Guggenheim Floating Rate Strategy	SP	06/29/2015	P	\$1,001 - \$15,000	
IRA Guggenheim Floating Rate Strategy	SP	06/30/2015	P	\$1,001 - \$15,000	
IRA Pimco All Asset All Authority	SP	06/29/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
J. Hancock Disc. Val. Mid Cap	SP	01/14/2015	P	\$15,001 - \$50,000	
JOHCM International Select	SP	06/30/2015	P	\$15,001 - \$50,000	
JOHCM International Select	SP	10/29/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JOHCM International Select	SP	12/11/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
Loomis Sayles Sen. Floating Rate-Y	SP	06/30/2015	P	\$1,001 - \$15,000	
Metropolitan West Total Return	SP	06/29/2015	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Pimco All Asset All Authority Instl	SP	06/29/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
Principal Real Estate Securities	SP	10/30/2015	P	\$1,001 - \$15,000	
T. Rowe Price Health Sciences	SP	01/14/2015	P	\$1,001 - \$15,000	
T. Rowe Price Health Sciences	SP	06/30/2015	P	\$1,001 - \$15,000	
T. Rowe Price Real Estate	SP	06/29/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	South Division Credit Union	12/31/2015	mortgage	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Duke University	09/20/2015	09/22/2015	Chicago - Raleigh-Durham - Chicago	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Alliance for Health Reform	02/20/2015	02/21/2015	Chicago - Houston - Chicago	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Daniel Lipinski , 05/16/2016