## SCHEDULE I — EARNED INCOME (INCLUDING HONORARIA)

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Page 2 of b

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

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Control (il piane date of teograph for Horioratia)	, year	Current Year to Filing	Preceding Year
XYZ Corporation, Houston, TX	Salary	\$6,300	\$28,450
First Bank & Trust, Houston, TX	Director's Fee	\$400	\$3,200
	Honorarium	0	\$1,000
Harris County, Texas Public Schools	Spouse Salary	NA	NA
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### Asset and/or Income Source BLOCK A

the end of the reporting period, and (b) any other reportable asset or sources of income which generated of income with a fair market value exceeding \$1,000 at more than \$200 in "unearned" income during the year. identify (a) each asset held for investment or production

(do not use ticker symbols). Provide complete names of stocks and mutual funds

have the power, even if not exercised, to select the spe-cific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. account and its value at the end of the reporting period. provide only the name of the institution holding the For all IRAs and other retirement plans (such as 401(k) For retirement accounts which are not self-directed plans) that are self-directed (i.e., plans in which you

provide a complete address. For rental or other real property held for investment,

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings location in Block A. Exclude: Your personal residence, including second

If you so choose, you may indicate that an asset or

the Thrift Savings Plan.

please refer to the instruction booklet For a detailed discussion of Schedule III requirements,

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Examples:

Simon & Schuster

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Mega Corp. Stock

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Indefinite

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Royalties

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the reporting period.

derived from, a federal retirement program, including accounts; and any financial interest in, or income

income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left. None

\$1 ~ \$1,000

\$1,001 - \$15,000

\$15,001 ~ \$50,000

\$50,001 - \$100,000

\$100,001 - \$250,000

\$250,001 - \$500,000

Over \$50,000,000

NONE

RENT

Over \$5,000,000

**DIVIDENDS** 

INTEREST

CAPITAL GAINS

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\$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000

\$5,000,001 - \$25,000,000

\$25,000,001 - \$50,000,000

EXCEPTED/BLIND TRUST

(Specify: e.g., Partnership Income or Farm Income)

Other Type of Income

### Value of Asset BLOCK B

market value, please specify the of reporting year. If you use a method used. valuation method other than fair Indicate value of asset at close

"None." only because it generated reporting year and is included income, the value should be If an asset was sold during the

### Type of Income BLOCK C

reinvested, must be disclosed as income. Check "None" if the est, and capital gains, even if deferred income (such as 401(k) allow you to choose specific investments or that generate tax-Check all columns that apply. For asset generated no income during retirement accounts that do plans or IRAs), you may check the 'None" column. Dividends, inter-₫

Amount of Income

BLOCK D

closed as income. Check "None" if no gains, even if reinvested, must be disbelow. or IRAs), you may check the "None" column. ate tax-deferred income (such as 401(k) plans income by checking the appropriate box For all other assets, indicate the category of to choose specific investments or that gener-For retirement accounts that do not allow you ncome was earned or generated Dividends, interest, and capital

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SCHEDULE II — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

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## SCHEDULE III — LIABILITIES

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owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furni-Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount ling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000. ture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sib-

Creditor  Creditor  Creditor  Incurred  mo/year  May 1998  Mortgage on 123 Main Street, Dover, DE	g, Dm \$10,001— \$15,000 ➤	\$10,001— > \$15,000 DE \$50,000 DE \$50,001— C	\$10,001— > \$15,000 B \$15,000 C \$100,000 C	Date   Liability   Type of Liability   A B C   Incurred   mo/year   May 1998   Mortgage on 123 Main Street, Dover, DE   \$10,000   \$15,000   \$100,000   \$	\$10,001— > \$15,000 B \$15,000 C \$100,000 C	\$10,001— A \$15,000 B \$15,000 C \$15,001— C \$50,000 C \$100,000 C \$250,000 m \$250,000 m \$500,000 T \$1,000,000 G \$1,000,000 G	\$10,001— \$15,000 B  \$15,001— \$50,000 C  \$50,001— \$100,001— \$250,000 m  \$500,001— \$1,000,000 T  \$1,000,001— \$1,000,000 T
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## SCHEDULE IV — POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

and positions solely of an honorary nature Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization);

and positions colory of an increase of increase.	
Position	Name of Organization
Proprietor	Dunal Executive Services
(mail funt	Flinam Enterprises.

SCHEDULE V -	
V - AGREEMENTS	
	Name
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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government
Identify the date, parties to, and general terms of any agreement or arrangement with respect to: tuture employment, a leave of absence during the period of government or service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or ben-
efit plan maintained by a former employer.

# SCHEDULE VI — COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the *two* prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule I.

Source (Name and Address)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting services
Toxas Observer	anninton, Sprvices