

# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Hon. Anthony E. Gonzalez

Status: Member State/District: OH16

#### FILING INFORMATION

**Filing Type:** New Filer Report

Filing Year: 2018

**Filing Date:** 08/12/2019

**Period Covered:** 01/01/2017–12/31/2018

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Alphabet Inc Class A (GOOGL) [ST]		\$15,001 - \$50,000	Capital Gains	Not Applicable	\$15,001 - \$50,000
Amazon.com, Inc. (AMZN) [ST]		\$250,001 - \$500,000	None		
American Tower Corporation (AMT) [ST]		\$100,001 - \$250,000	Dividends	Not Applicable	\$2,501 - \$5,000
Anthony E. Gonzalez Trust ⇒ Gonzalez Enterprises, LLC [OL]  LOCATION: Wilder, KY, US  DESCRIPTION: Company is engaged in steel processing.		\$1,000,001 - \$5,000,000	Dividends	Not Applicable	\$100,001 - \$1,000,000
AppFolio, Inc Class A (APPF) [ST]  COMMENTS: No longer held		None	None		
Apple Inc. (AAPL) [ST]		\$50,001 - \$100,000	Dividends	Not Applicable	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Type(s)	Income Current Year to Filing	Income Preceding Year
Caesars Entertainment Corporation (CZR) [ST]  COMMENTS: No longer held		None	Capital Gains	Not Applicable	\$1,001 - \$2,500
Charter Communications, Inc Class A (CHTR) [ST]		None	Capital Gains	Not Applicable	\$50,001 - \$100,000
Energy Select Sector SPDR - XLE [EF]  Comments: No longer held		None	Capital Gains, Dividends	Not Applicable	\$2,501 - \$5,000
Ferrous Realty, Ltd. ⇒ Commercial Rental Property [RP] Location: Brooklyn, OH, US		\$100,001 - \$250,000	Rent	Not Applicable	\$15,001 - \$50,000
Fidelity IRA ⇒ American Express Company (AXP) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity IRA ⇒ Elastic N.V. Ordinary Shares (ESTC) [ST]		\$50,001 - \$100,000	Tax-Deferred		
Fidelity IRA ⇒ Equinix, Inc. (EQIX) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity IRA ⇒ Fidelity Cash Reserves [IH]		\$1 - \$1,000	Tax-Deferred		
Fidelity IRA ⇒ Liberty Media Corporation - Series A Liberty Braves (BATRA) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity IRA ⇒ Nike, Inc. (NKE) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity IRA ⇒ U.S. Treasury Notes [GS]		\$100,001 - \$250,000	Tax-Deferred		
Fidelity IRA ⇒ Vanguard Total Stock Market - VTI [EF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity IRA - Elizabeth ⇒ Fidelity Brokerage Sweep Account [BA]	SP	\$1,001 - \$15,000	Tax-Deferred		
Fidelity IRA - Elizabeth ⇒	SP	\$50,001 -	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity Freedom 2050 - FFFHX [MF]		\$100,000			
Fidelity Money Market Sweep Account [BA]		\$15,001 - \$50,000	Interest	Not Applicable	\$5,001 - \$15,000
Goldman Sachs Group, Inc. (GS) [ST]		\$50,001 - \$100,000	Dividends	Not Applicable	\$1,001 - \$2,500
Huntington Bank Checking Account [BA]	JT	\$50,001 - \$100,000	None		
IShares National Municipal Bond ETF - MUB [EF]		None	Dividends	Not Applicable	\$201 - \$1,000
Comments: No longer held					
Lincoln Village Value Center, LLC [OL]		\$100,001 - \$250,000	Dividends	Not Applicable	\$2,501 - \$5,000
LOCATION: Columbus, OH, US DESCRIPTION: Shopping center					
LLC for Personal Residence ⇒ Personal Residence [RP]		\$1,000,001 - \$5,000,000	None		
LOCATION: Washington, DC, US					
LPL Financial Holdings Inc. (LPLA) [ST]  COMMENTS: No longer held		None	Capital Gains, Dividends	Not Applicable	\$2,501 - \$5,000
National Football League 401k ⇒		\$1,001 - \$15,000	Tax-Deferred		
Stable Value Fund [MF]		φ1,001 <b>-</b> φ15,000	Tax-Deterred		
National Football League 401k ⇒ Target Date 2045 Fund [MF]		\$100,001 - \$250,000	Tax-Deferred		
National Football League 401k ⇒ Total International Equity Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
National Football League 401k ⇒ Total US Equity Index Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
National Football League 401k ⇒ US Large Company Equity Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
Note 1 to Gonzalez Enterprises, LLC [CS]		\$50,001 -	Interest	Not	\$1,001 -

Asset	Owner '	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Description: Note payable by Gonzalez Enterprises, LLC		\$100,000		Applicable	\$2,500
Note 2 to Gonzalez Enterprises, LLC [CS]  Description: Note payable by Gonzalez Enterprises, LLC		\$50,001 - \$100,000	Interest	Not Applicable	\$1,001 - \$2,500
Note 3 to Gonzalez Enterprises, LLC [CS]		\$50,001 - \$100,000	Interest	Not Applicable	\$1,001 - \$2,500
Description: Note payable by Gonzalez Enterprises, LLC  Penserra Financial Ventures, LLC [OL]		\$50,001 -	Dividends	Not	\$15,001 -
Location: New York, NY, US  Description: Privately traded financial management compar	;	\$50,001 - \$100,000	Dividends	Applicable	\$50,000 \$50,000
The Blackstone Group L.P. Common Units Representing Limited Partnership Interests (BX) [ST] Comments: No longer held	j	None	Capital Gains, Dividends	Not Applicable	\$5,001 - \$15,000
Vanguard Dividend Appreciation - VIG [EF]  Comments: No longer held	]	None	Capital Gains, Dividends	Not Applicable	\$5,001 - \$15,000
Vanguard FTSE Developed Markets - VEA [EF]  Comments: No longer held	]	None	Capital Gains, Dividends	Not Applicable	\$5,001 - \$15,000
Vanguard FTSE Emerging Markets - VWO [EF]	)	None	Capital Gains, Dividends	Not Applicable	\$5,001 - \$15,000
Vanguard Total Stock Market - VTI [EF]		\$500,001 - \$1,000,000	Capital Gains, Dividends	Not Applicable	\$50,001 - \$100,000
Veeva Systems Inc. Class A (VEEV) [ST]	;	\$15,001 - \$50,000	None		
Wells Fargo & Company (WFC) [ST]  Comments: No longer held	]	None	Dividends	Not Applicable	\$1,001 - \$2,500

# SCHEDULE C: EARNED INCOME

Source	Туре	Amount Current Year to Filing	Amount Preceding Year
Ferragon Corporation	Salary	N/A	\$12,400.00
National Football League	Disability Payments	N/A	\$42,000.00

# SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Туре	Amount of Liability
Huntington National Bank	November 2018	Mortgage on residential property	\$500,001 - \$1,000,000

#### **S**CHEDULE **E**: **P**OSITIONS

Position	Name of Organization
Chief Operating Officer	Emics, Inc.
Business Advisor	Ferragon Corporation

# SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
May 2012	National Football League, Filer	Pension plan at a defined benefit level starting as early as age 55
May 2012	National Football League, Filer	Continued participation in health savings account. No new contributions by candidate or NFL.
May 2012	National Football League, Filer	Disability benefits, amount disclosed in Schedule C.

# Schedule J: Compensation in Excess of \$5,000 Paid by One Source

Source (Name and Address)	Brief Description of Duties
Emics, Inc. (San Francisco, CA, US)	Chief Operating Officer
Ferragon Corporation (Brooklyn, OH, US)	Business Advisor
National Football League (New York, NY, US)	Ongoing payments earned as a player

# SCHEDULE A ASSET CLASS DETAILS

- Anthony E. Gonzalez Trust
- Ferrous Realty, Ltd.

LOCATION: US

- o Fidelity IRA
- Fidelity IRA Elizabeth (Owner: SP)

o LLC for Personal Residence

LOCATION: US

Description: Limited liability company formed for purpose of holding personal family residence

o National Football League 401k

#### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?



**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?



#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Anthony E. Gonzalez, 08/12/2019