

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

### FILER INFORMATION

Name: Hon. Kathy Castor

Status: Member State/District: FL14

## FILING INFORMATION

**Filing Type:** Amendment Report

Filing Year: 2016

**Filing Date:** 05/18/2017

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	· Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alcoa Inc. (AA)	JT	None	None		<u> </u>
American International Group, Inc. New (AIG)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	П
Apple Inc. (AAPL)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<b>V</b>
Arconic Inc. (ARNC)	JT	None	None		<u>~</u>
AT&T Inc. (T)	JT	None	None		<u>~</u>
Bank of America Checking	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	
Bank of America Corporation (BAC)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<b>▽</b>
Bank of America Savings	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	
Boeing Company (BA)	JT	\$15,001 - \$50,000	Dividends	\$201 -	<b>V</b>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
				\$1,000	
Capitol One 360 Savings	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	П
Caterpillar, Inc. (CAT)	JT	None	None		<b>▽</b>
CL 529 ⇒ Vanguard 500 Index 529 Location: FL	DC	\$50,001 - \$100,000	None		
$CL 529 \Rightarrow$ Vanguard Conserv Growth (Agg Age-Based) Location: FL	DC	\$15,001 - \$50,000	None		П
$CL 529 \Rightarrow$ Vanguard Small Cap Index Location: FL	DC	\$15,001 - \$50,000	None		П
$CL 529 \Rightarrow$ Vanguard Total Inter Stock LOCATION: FL	DC	\$15,001 - \$50,000	None		
$CL 529 \Rightarrow$ Vanguard Windsor Fund Location: FL	DC	\$15,001 - \$50,000	None		
Dow Chemical Company (DOW)	JT	None	None		~
E*Trade Savings	JT	\$15,001 - \$50,000	None		
Franklin Resources, Inc. (BEN)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	П
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income	JT	None	Capital Gains, Dividends	\$5,001 - \$15,000	<b>▽</b>
Franklin Templeton Joint Retirement ⇒ Franklin Growth	JT	None	Capital Gains, Dividends	\$2,501 - \$5,000	~
Franklin Templeton Joint Retirement $\Rightarrow$ Franklin Income Fund - A	JT	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	~
Franklin Templeton Joint Retirement ⇒	JT	\$100,001 -	Capital Gains,	\$2,501 -	<b>✓</b>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Franklin Rising Dividends		\$250,000	Dividends	\$5,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small Cap	JT	None	Capital Gains, Dividends	\$5,001 - \$15,000	<u>~</u>
Franklin Templeton Joint Retirement ⇒ Franklin U.S. Gov Securities Fund	JT	\$500,001 - \$1,000,000	Dividends	\$1,001 - \$2,500	<b>✓</b>
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	None	Dividends	\$2,501 - \$5,000	<b>▽</b>
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z	JT	None	Capital Gains, Dividends	\$1,001 - \$2,500	<b>▽</b>
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z	JT	None	Capital Gains, Dividends	\$1,001 - \$2,500	<u> </u>
General Electric Company (GE)	JT	None	None		<b>∀</b>
Intel Corporation (INTC)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<u>~</u>
$IRA \Rightarrow AT&T Inc. (T)$		None	Dividends	\$1,001 - \$2,500	<b>▽</b>
$\begin{array}{c} \text{IRA} \Rightarrow \\ \text{T Rowe Price Blue Chip Growth} \end{array}$		None	None		<b>▽</b>
$\begin{array}{l} \text{IRA} \Rightarrow \\ \text{T Rowe Price Diversified Small Cap Growth} \end{array}$		None	None		<b>▽</b>
$\begin{array}{l} \text{IRA} \Rightarrow \\ \text{T Rowe Price Equity Index} \end{array}$		None	Dividends	\$1,001 - \$2,500	<b>✓</b>
$\begin{array}{c} \text{IRA} \Rightarrow \\ \text{T Rowe Price New Era} \end{array}$		None	None		<b>▽</b>
IRA ⇒ US Treasury Money Fund		\$250,001 - \$500,000	Interest	\$1 - \$200	<b>▽</b>
$IRA \Rightarrow$ Verizon Communications Inc. (VZ)		None	Dividends	\$201 - \$1,000	<u>~</u>
JL $529$ ⇒ Vanguard 500 Index 529	DC	\$50,001 - \$100,000	None		<b>▽</b>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Location: FL					
$JL 529 \Rightarrow$ Vanguard Age Based Growth Location: FL	DC	None	None		<b>▽</b>
$JL 529 \Rightarrow$ Vanguard Income (Agg Age-Based)	DC	\$1,001 - \$15,000	None		<b>▽</b>
$JL 529 \Rightarrow$ Vanguard Small Cap Index Location: FL	DC	\$15,001 - \$50,000	None		<b>▽</b>
$JL 529 \Rightarrow$ Vanguard Total Inter Stock Location: FL	DC	\$15,001 - \$50,000	None		<b>▽</b>
$JL 529 \Rightarrow$ Vanguard Windsor Fund Location: FL	DC	\$15,001 - \$50,000	None		<b>▽</b>
JL Retirement ⇒ Vanguard Index 500 Fund	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Merck & Company, Inc. Common Stock (MRK)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<u>~</u>
Pfizer, Inc. (PFE)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<b>✓</b>
Spouse 401K ⇒ Morley Stable Value V Fund	SP	\$1,000,001 - \$5,000,000	None		<u>~</u>
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index	SP	\$1,001 - \$15,000	None		<b>▽</b>
Spouse 401K ⇒ Principal MidCap Separate Account	SP	\$1,001 - \$15,000	None		<b>▽</b>
Spouse 401K ⇒ Principal US Property Sep Acct	SP	\$1,001 - \$15,000	None		<u>~</u>
The Blackstone Group L.P. Common Units Representing Limited Partnership Interests (BX)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	П

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Joint Retirement ⇒ Vanguard Index 500	JT	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	~
Vanguard Joint Retirement ⇒ Vanguard US Value Fund	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<b>▽</b>
Walt Disney Company (DIS)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<u>~</u>
Wells Fargo Checking	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	
Wells Fargo Savings	DC	\$1,001 - \$15,000	None		

<sup>\*</sup> Asset class details available at the bottom of this form.

# SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alcoa Corporation (AA)	SP	11/1/2016	S	\$1,001 - \$15,000	
Apple Inc. (AAPL)	JT	02/26/2016	P	\$1,001 - \$15,000	
Arconic Inc. (ARNC)	SP	11/1/2016	P	\$1,001 - \$15,000	
Arconic Inc. (ARNC)	SP	12/15/2016	S	\$1,001 - \$15,000	
AT&T Inc. (T)	SP	12/9/2016	S	\$1,001 - \$15,000	
Bank of America Corporation (BAC)	JT	02/12/2016	P	\$1,001 - \$15,000	
Boeing Company (BA)	JT	02/12/2016	P	\$1,001 - \$15,000	
Caterpillar, Inc. (CAT)	SP	12/9/2016	S	\$1,001 - \$15,000	
Dow Chemical Company (DOW)	JT	05/2/2016	S	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income	JT	10/6/2016	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income	JT	12/15/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income	JT	12/16/2016	S	\$100,001 - \$250,000	~
Franklin Templeton Joint Retirement ⇒ Franklin Growth	JT	10/4/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Growth	JT	12/1/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Growth	JT	12/16/2016	S	\$100,001 - \$250,000	<u>~</u>
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A	JT	Bi-weekly	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends	JT	10/3/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends	JT	12/1/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small Cap	JT	10/5/2016	P	\$15,001 - \$50,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small Cap	JT	12/15/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small Cap	JT	12/16/2016	S	\$50,001 - \$100,000	V
Franklin Templeton Joint Retirement ⇒ Franklin U.S. Gov Securities Fund	JT	12/16/2016	P	\$500,001 - \$1,000,000	
Franklin Templeton Joint Retirement ⇒ Franklin U.S. Gov Securities Fund	JT	12/29/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	03/1/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	06/1/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	09/26/2016	S (partial)	\$1,001 - \$15,000	П
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	10/3/2016	S (partial)	\$1,001 - \$15,000	П
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	10/4/2016	S (partial)	\$1,001 - \$15,000	П
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	10/5/2016	S (partial)	\$15,001 - \$50,000	П
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	10/6/2016	S (partial)	\$1,001 - \$15,000	П
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	12/16/2016	S	\$100,001 - \$250,000	П
Franklin Templeton Joint Retirement $\Rightarrow$ Mutual Global Discovery Fund - Class Z	JT	10/5/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement $\Rightarrow$ Mutual Global Discovery Fund - Class Z	JT	10/6/2016	P	\$15,001 - \$50,000	
Franklin Templeton Joint Retirement $\Rightarrow$ Mutual Global Discovery Fund - Class Z	JT	12/16/2016	S	\$50,001 - \$100,000	<u>~</u>
Franklin Templeton Joint Retirement $\Rightarrow$ Mutual Shares - Z	JT	09/2/2016	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement $\Rightarrow$ Mutual Shares - Z	JT	10/5/2016	P	\$15,001 - \$50,000	
Franklin Templeton Joint Retirement $\Rightarrow$ Mutual Shares - Z	JT	12/16/2016	S	\$100,001 - \$250,000	<b>▽</b>
General Electric Company (GE)	SP	12/9/2016	S	\$1,001 - \$15,000	
Intel Corporation (INTC)	JT	02/12/2016	P	\$1,001 - \$15,000	
$\begin{array}{c} IRA \Rightarrow \\ AT\&T \text{ Inc. (T)} \end{array}$		12/14/2016	S	\$50,001 - \$100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
$\begin{array}{l} \text{IRA} \Rightarrow \\ \text{T Rowe Price Blue Chip Growth} \end{array}$		12/12/2016	S	\$100,001 - \$250,000	П
$IRA \Rightarrow$ T Rowe Price Blue Chip Growth		03/29/2016	P	\$15,001 - \$50,000	
$IRA \Rightarrow$ T Rowe Price Diversified Small Cap Growth		12/12/2016	S	\$50,001 - \$100,000	П
$\begin{array}{l} \text{IRA} \Rightarrow \\ \text{T Rowe Price Equity Index} \end{array}$		12/12/2016	S	\$50,001 - \$100,000	
$IRA \Rightarrow$ T Rowe Price New Era		12/12/2016	S	\$1,001 - \$15,000	
$\begin{array}{l} \text{IRA} \Rightarrow \\ \text{T Rowe Price New Era} \end{array}$		03/29/2016	P	\$1,001 - \$15,000	
$IRA \Rightarrow$ US Treasury Money Fund		12/13/2016	P	\$250,001 - \$500,000	
$IRA \Rightarrow$ Verizon Communications Inc. (VZ)		03/28/2016	S	\$15,001 - \$50,000	
$JL_{529} \Rightarrow Vanguard 500 Index 529$	DC	03/17/2016	S (partial)	\$1,001 - \$15,000	П
$JL_{529} \Rightarrow$ Vanguard 500 Index 529	DC	03/30/2016	S (partial)	\$1,001 - \$15,000	
$JL_{529} \Rightarrow$ Vanguard 500 Index 529	DC	04/22/2016	S (partial)	\$1,001 - \$15,000	
JL $529 \Rightarrow$ Vanguard 500 Index 529	DC	07/8/2016	S (partial)	\$1,001 - \$15,000	П
$JL 529 \Rightarrow$ Vanguard 500 Index 529	DC	08/12/2016	S (partial)	\$1,001 - \$15,000	П
JL $529 \Rightarrow$ Vanguard 500 Index 529	DC	12/12/2016	S (partial)	\$1,001 - \$15,000	П
JL 529 ⇒	DC	04/4/2016	S	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Age Based Growth					
$JL_{529} \Rightarrow$ Vanguard Income (Agg Age-Based)	DC	04/4/2016	P	\$1,001 - \$15,000	
$JL_{529} \Rightarrow$ Vanguard Income (Agg Age-Based)	DC	07/8/2016	S (partial)	\$1,001 - \$15,000	П
$JL 529 \Rightarrow$ Vanguard Income (Agg Age-Based)	DC	12/12/2016	S (partial)	\$1,001 - \$15,000	П
$JL 529 \Rightarrow$ Vanguard Small Cap Index	DC	07/8/2016	S (partial)	\$1,001 - \$15,000	П
$JL_{529} \Rightarrow$ Vanguard Small Cap Index	DC	08/12/2016	S (partial)	\$1,001 - \$15,000	
$JL_{529} \Rightarrow$ Vanguard Small Cap Index	DC	12/12/2016	S (partial)	\$1,001 - \$15,000	П
$JL_{529} \Rightarrow$ Vanguard Total Inter Stock	DC	07/8/2016	S (partial)	\$1,001 - \$15,000	
$JL_{529} \Rightarrow$ Vanguard Total Inter Stock	DC	08/12/2016	S (partial)	\$1,001 - \$15,000	
$JL_{529} \Rightarrow$ Vanguard Total Inter Stock	DC	12/12/2016	S (partial)	\$1,001 - \$15,000	
$JL_{529} \Rightarrow$ Vanguard Windsor Fund	DC	07/8/2016	S (partial)	\$1,001 - \$15,000	
$JL_{529} \Rightarrow$ Vanguard Windsor Fund	DC	12/12/2016	S (partial)	\$1,001 - \$15,000	
JL Retirement ⇒ Vanguard Index 500 Fund	DC	01/20/2016	P	\$1,001 - \$15,000	
JL Retirement ⇒ Vanguard Index 500 Fund	DC	02/17/2016	P	\$1,001 - \$15,000	
Merck & Company, Inc. Common Stock (MRK)	JT	02/12/2016	P	\$1,001 - \$15,000	

		Gains > \$200?
2016 P	\$1,001 - \$15,000	
016 P	\$1,000,001 - \$5,000,000	
2016 P	\$1,001 - \$15,000	
2016 S (partial)	\$1,001 - \$15,000	
ly P	\$1,001 - \$15,000	
016 S (partial)	\$500,001 - \$1,000,000	П
2016 S (partial)	\$1,001 - \$15,000	П
016 S (partial)	\$100,001 - \$250,000	
2016 P	\$1,001 - \$15,000	
2016 S (partial)	\$1,001 - \$15,000	
016 S (partial)	\$250,001 - \$500,000	П
2016 P	\$1,001 - \$15,000	
2016 S (partial)	\$1,001 - \$15,000	П
016 P	\$1,001 - \$15,000	
'2016 P	\$1,001 - \$15,000	
	016 P  2016 P  2016 S (partial)  1y P  016 S (partial)  2016 S (partial)  2016 P  2016 S (partial)  2016 P  2016 S (partial)  016 S (partial)  016 S (partial)  016 P	016 P \$1,000,001 - \$5,000,000  2016 P \$1,001 - \$15,000  2016 S \$1,001 - \$15,000  1y P \$1,001 - \$15,000  1016 S \$500,001 - \$1,000,000  2016 S \$1,001 - \$15,000  2016 S \$100,001 - \$250,000  2016 P \$1,001 - \$15,000  2016 S \$250,000  2016 P \$1,001 - \$15,000  2016 S \$1,001 - \$15,000  2016 P \$1,001 - \$15,000  2016 P \$1,001 - \$15,000

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Joint Retirement ⇒ Vanguard Index 500	JT	06/20/2016	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500	JT	09/12/2016	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500	JT	12/21/2016	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard US Value Fund	JT	01/7/2016	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard US Value Fund	JT	12/23/2016	P	\$1,001 - \$15,000	
Walt Disney Company (DIS)	JT	06/6/2016	P	\$1,001 - \$15,000	

<sup>\*</sup> Asset class details available at the bottom of this form.

# SCHEDULE C: EARNED INCOME

Source	Туре	Amount
Butler Weihmuller Katz Craig	Spouse Salary	N/A

## SCHEDULE D: LIABILITIES

None disclosed.

# SCHEDULE E: Positions

Position	Name of Organization
Vice President/Director	Castor-Bell-Lewis Family Foundation

## SCHEDULE F: AGREEMENTS

None disclosed.

# SCHEDULE G: GIFTS

Source	Description	Value
Bunting Charity Lodge (New York, NY, US)	College Scholarship for Dependent Child	\$600.00

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details		Inclusions					
Source	Start Date	<b>End Date</b>	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Center for Democracy in the Americas (CDA)	02/12/2016	02/16/2016	Tampa, FL - Havana, Cuba - Miami, FL	0	<u> </u>	<b>✓</b>	

#### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

• CL 529 (Owner: DC) Location: FL

• Franklin Templeton Joint Retirement (Owner: JT)

IRA

• JL 529 (Owner: DC) Location: FL

• JL Retirement (Owner: DC)
Description: Julia's Vanguard Retirement Account

• Spouse 401K (Owner: SP)

• Vanguard Joint Retirement (Owner: JT)

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

C Yes No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

© Yes © No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

© Yes © No

### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Kathy Castor, 05/18/2017