

**UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT**

Form A
For use by Members, officers, and employees

HAND DELIVERED
Page 1 of 7

2012 AUG 10 PM 3:06

Name: SHEILA JACKSON LEE Daytime Telephone: (202) 225-3816

OFFICE RESOURCE CENTER
(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>Texas</u>	District: <u>18th</u>	<input type="checkbox"/> Officer or Employee	Employing Office:	Termination Date:
Report Type	<input checked="" type="checkbox"/> Annual (May 15, 2012)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination			

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Additional information may be provided in an amendment form to this financial disclosure Statement, if needed. 8/8/11 SJL

Name Sheila Jackson Lee Page 2 of 7

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

[illegible]

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name Sheila Jackson Lee

Page 3 of 7

BLOCK A		BLOCK B													BLOCK C							BLOCK D											BLOCK E	
Asset and/or Income Source		Value of Asset													Type of Income							Amount of Income											Transaction	
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols).</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving account; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>		<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p>													<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>							<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>											<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>	
SP	DC	SP	A	B	C	D	E	F	G	H	I	J	K	L	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	S (partial)
JT	Examples:	1st Bank of Paducah, KY Accounts	None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000								None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		
	SP	Mega Corp Stock																																
	DC	Simon & Schuster																																
	JT	1st Bank of Paducah, KY Accounts																																
	SP	First Investors Fund																																
	SP	Woodforest Bank acct																																
	SP	ING (403b) Annuity																																
	SP	MMI Annuity																																
	SP	Pioneer Mutual Fund																																

Continuation Sheet (if needed)

Sheila Jackson Lee

Page 9 of 1

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Page 5 of 7

Type of Transaction

Check Box if Capital Gain Exceeded \$200

Date _____

(MO/DAY/YR,
or
Quarterly,
Monthly, or
Bi-weekly, if
applicable

Amount of Transaction

1,001- \$15,000	A
15,001- \$50,000	B
50,001- \$100,000	C
100,001- \$250,000	D
250,001- \$500,000	E
500,001- \$1,000,000	F
1,000,001- \$5,000,000	G
5,000,001- \$25,000,000	H
25,000,001- \$50,000,000	I
Over \$50,000,000	J

Asset

Asset	
SP, DC, JT	
SP	Example: Mega Corporation Common Stock (partial sale)

X

10-12-11

X

57	Purchase of a lot -
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2012

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Page 7

[illegible]

Report the source, a brief description, and the value of all gifts totalling more than \$350 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

[illegible]

SCHEDULE VIII—POSITIONS

Name Sheila Jackson Lee

Page 7 of 7

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
SP V.P.	University of Houston
SP BOARD Mem	March of Dimes
SP Board Member	Sam Houston Galveston Bay Scouts
Advisory Board	Spaulding for Children / Houston Grand Opera
Board of Directors	Ensemble Theatre / Sam Houston Area Bay Scouts
Honorary Chairs	Temporary Positions for Non-Profit Events or Projects

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1/95	Congresswoman Sheila Jackson Lee and City of Houston - I am a Former Houston City Council member	Payment of Pension at time of my retirement. I was vested in the city system before leaving for Congress according to my understanding

SCHEDULE III.

ING 403b Annuity. – My financial advisor has informed me that the ING (403b) is technically, not an annuity, but a TSI, tax sheltered investment. It is a (403b) with has the assets invested in two accounts, one growth, and one moderate risk.:

VT 2566 --- a Growth portfolio
VT 2164 ---- a Moderate portfolio

These funds have dozens of various stocks and bonds which may change daily. They are not fixed. Neither Mr. Kasser, nor I have any control over what is bought or sold in each portfolio nor when these purchases or sales are made. (See Attachment B)

MML Annuity – This account is valued at about \$23,000 and is technically a traditional IRA. In Attachment C you will see the current listing of the "Model E" fund asset allocation model. Please note the document is labeled about three inches from the top: "Annuity-MassMutual Transitions Select". There are 10 different funds listed in the account. Neither my financial advisor nor I have control over when or what stocks, bonds, etc. are moved in or out of the funds comprising the account.

First Investor Mutual Fund – There are six funds in this asset. They are all growth funds and are listed in the Attachment D. Again, neither my financial advisor nor I have control over when or what stocks, bonds, etc. are moved in or out of these accounts.

Pioneer Mutual Fund – The three (3) funds listed in this asset are set forth in Attachment E. There are as follow: (1) International/Global Growth (Pioneer Emerging Markets Fund B, (2) Income (Pioneer Government Income Fund B, and (3) Money Market (Pioneer Cash Reserve Fund B). The account currently has a little more than \$3400 dollars. See Attachment E.

SCHEDULE V

The question asked the month and year in which each listed loan was incurred.

- a. Wells Fargo Bank – This loan was incurred May, 2002.
- b. Sallie Mae – This educational loan was incurred January, 1999.
- c. Chase Manhattan – This mortgage loan was incurred June 2001.

MAY
E.C. fu

ELWYN C LEE

April 01, 2011 - June 30, 2011

Page 2 of 5

At Your Service:

>www.ingretirementplans.com

ING

PO Box 990067

Hartford, CT 06199-0067

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>Customer Service: 1-800-282-3882

Automated Voice Response System available 24/7

Representatives available Monday thru Friday,
8 a.m. - 9 p.m. ET

About Your Plan

Plan Number	Plan Type	Balance on 04/01/2011	Contributions	Withdrawals	Investment Earnings	Balance on 06/30/2011
VT2164	403B	\$643,838.63	\$5,411.86	\$0.00	\$6,714.73	\$655,965.22
VT2566	403B	\$54,091.45	\$3,000.00	\$0.00	\$309.09	\$57,400.54

Activity Statement for Your 403(B) Plan VT2164

Investment Objective	Balance on 04/01/2011	Total Activity	Investment Earnings	Balance on 06/30/2011	Number of Units/Shares	Unit/Share Price
Asset Allocation						
ING Strategic Alloc Moderate Port I	\$643,838.63	\$5,411.86	\$6,714.73	\$655,965.22	36,420.7937	\$18.0107
Total	\$643,838.63	\$5,411.86	\$6,714.73	\$655,965.22		

Unit/Share prices are displayed to four decimal places.

ING Strategic Alloc Moderate Port I

100%

→ ECL contribution

Total

100%

→ 100% ORA - 100% monthly contribution →

	Balance on 04/01/2011	Contributions	Withdrawals	Investment Earnings	Balance on 06/30/2011
Employee Contributions -EE	\$39,086.22	\$2,375.50	\$0.00	\$395.70	\$41,857.42
Employer Contributions -ER	\$49,941.66	\$3,036.36	\$0.00	\$505.59	\$53,483.61
Rollover -RO	\$550,806.32	\$0.00	\$0.00	\$5,771.48	\$556,577.80
Optional Retirement Plan 2 -TX	\$4,004.43	\$0.00	\$0.00	\$41.96	\$4,046.39
Total	\$643,838.63	\$5,411.86	\$0.00	\$6,714.73	\$655,965.22

Transaction Type	Process Date	Money Source	Investment	Number of Units/Shares	Unit/Share Price	Transaction Amount
Contribution	05/09/2011	Employee Contributions -EE	ING Strategic Alloc Moderate Port I	65.2927	\$18.1911	\$1,187.75
Contribution	05/09/2011	Employer Contributions -ER	ING Strategic Alloc Moderate Port I	83.4570	\$18.1911	\$1,518.18
Contribution	06/01/2011	Employee Contributions -EE	ING Strategic Alloc Moderate Port I	65.8316	\$18.0422	\$1,187.75
Contribution	06/01/2011	Employer Contributions -ER	ING Strategic Alloc Moderate Port I	84.1450	\$18.0422	\$1,518.18
Total		Contribution				\$5,411.86

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Activity Statement for Your 403(B) Plan VT2566

Investment Objective	Balance on 04/01/2011	Total Activity	Investment Earnings	Balance on 06/30/2011	Number of Units/Shares	Unit/Share Price
Asset Allocation						
ING Strategic Alloc Growth Port I	\$54,091.45	\$3,000.00	\$309.09	\$57,400.54	3,182.0689	\$18.0387
Total	\$54,091.45	\$3,000.00	\$309.09	\$57,400.54		

Unit/Share prices are displayed to four decimal places.

ING Strategic Alloc Growth Port I	100%
Total	100%

	Balance on 04/01/2011	Contributions	Withdrawals	Investment Earnings	Balance on 06/30/2011
Voluntary Contributions -VO	\$54,091.45	\$3,000.00	\$0.00	\$309.09	\$57,400.54
Total	\$54,091.45	\$3,000.00	\$0.00	\$309.09	\$57,400.54

Transaction Type	Process Date	Money Source	Investment	Number of Units/Shares	Unit/Share Price	Transaction Amount
Contribution	05/09/2011	Voluntary Contributions -VO	ING Strategic Alloc Growth Port I	82.1003	\$18.2703	\$1,500.00
Contribution	06/01/2011	Voluntary Contributions -VO	ING Strategic Alloc Growth Port I	83.1673	\$18.0359	\$1,500.00
Total		Contribution				\$3,000.00

YOUR PERSONAL PERFORMANCE The returns shown are estimated dollar-weighted rates of return in your account, assuming evenly distributed cashflow throughout the period. The actual timing of cash flows into and out of your account may cause your actual returns to differ from these estimates. Past performance is no guarantee of future results.

WWW users: point your browser to <http://www.orptx.com>, a website dedicated to the faculty and staff of Texas Higher Education. Features include customer account balance inquiry/account transactions, fund performance data, and more!

Important Please review the information on this statement carefully and report any discrepancies within 30 days to our customer service department. Reported values will be considered final and correct after 30 days.

ING Excessive Trading Policy ING has an Excessive Trading Policy and monitors fund transfer activity. To view ING's Excessive Trading Policy refer to www.ingretirementplans.com or your plan's website, or to obtain a copy of ING's Excessive Trading Policy contact our customer service department at the number on the front of this statement.

Agreements to Share Trading Information with Funds For information please refer to www.ingretirementplans.com or your plans website.

Redemption Fees For information please refer to www.ingretirementplans.com or your plan's website, or each fund's prospectus.

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April 01, 2011 - June 30, 2011

Page 3 of 5

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Agreements to Share Trading Information with Funds For information please refer to www.ingretirementplans.com or your plans website.

Redemption Fee For information please refer to www.ingretirementplans.com or your plan's website, or each fund's prospectus.

ACCOUNT HISTORY NOW AVAILABLE ON ING ACCESS You no longer have to wait until the arrival of your statement to track your account history. With the new "Account History" feature on ING Access, you can track your contributions, fund activity, exchanges, withdrawals, and disbursements quickly and conveniently. "Account History" also allows you to keep your records up-to-date with a history download capability. With "Account History," you are better informed about your retirement plan. Log on to ING Access today to see this latest enhancement, as well as many other features already available that make it easy to do business with ING.

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This statement is provided on behalf of ING Financial Advisers, LLC (member SIPC).

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April 01, 2011 - June 30, 2011

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FieldNet

Your Link To Success


MassMutual
 FINANCIAL GROUP

[Print Preview] [Print]

Portfolio Audit and Account Review

Today's date is October 12, 2011

For Producer Use Only. Not for Use with the Public

Information shown is as of 10/11/2011 and could differ based on the last premium payment received, the policy status, and any policy changes which may have occurred today.

ANNUITY - MASSMUTUAL TRANSITIONS SELECT

Contract Information	Premium Information	Loan Information
Contract ID: TRN44829772	Billed Premium: \$0.00	Available: \$0.00
Product Type: Transitions Select	Method: No Bill	Principal: N/A
Issued by: MML	Frequency: Single Prem or No Bill	Interest Rate: N/A
Status: Premium Paying	Annualized Premium: \$0.00	Payoff Amount: N/A
Market Type: Traditional IRA	Total Contributions: \$23,343.60	As of Date: N/A
Annuitant: ELWYN C LEE		Loan Status: N/A
Annuitant Sex: M	Contract Values	Surrender Charge Period
Date of Birth: 03/04/1949	Contract Value: \$19,935.57	Term: 7
Owner(s): ELWYN C LEE	Surrender Charges: \$1,072.58	
Payor: ELWYN C LEE	Surrender Value: \$18,823.01	Agency Information
Contract Status: In-Force	Free Withdrawal Amount: \$2,069.84	Servicing Agency: 043
Contract State: TX	Total Death Benefit Value: \$23,343.60	
Contract Dates		
Issue Date: 11/19/2007		
Maturity Date: 03/04/2049		

 Agent Name
 ALAN KASSER

 Agent ID Agent Role
 505062 Servicing/Writing

 Agent Agent
 Status Home Agy Commission
 Active 043 N/A

Additional Information

Rider Information	Effective Date	Benefit Value
Base Free Withdrawal	11/19/2007	N/A
Standard Death Benefit	11/19/2007	N/A

 Fund Asset Allocation Model
 Model E

Account Information	Asset Class	Number of Units	Unit Price	Fund Balance	% of Total Fund Balance	Premium Allocation
MML Templeton Foreign	International/Global	248.9000	12.025918	\$2,993.25	15.01%	15.00%
Oppenheimer Global Securities	International/Global	124.1760	14.537945	\$1,805.26	9.06%	9.00%
MML W & R/Welington Small Cap Growth	Small/Mid Cap Growth	142.2130	14.246649	\$2,026.05	10.16%	10.00%
Oppenheimer Small & MidCap	Small/Mid Cap Growth	170.5720	11.714265	\$1,998.13	10.02%	10.00%
MML AllianceBern Small/Mid Cap Value	Small/Mid Cap Value	160.7670	11.253649	\$1,809.21	9.08%	9.00%
MML American Century						

MassMutual

Elwyn C. Lee

Elwyn C. Lee

Mid Cap Value	Small/Mid Cap Value	131.1380	13.443555	\$1,782.96	8.84%	9.00%
Oppenheimer Capital Appreciation	Large Cap Growth	182.2180	11.014332	\$2,007.01	10.07%	10.00%
Fidelity VIP Contrafund	Large Cap Blend	139.5040	14.223002	\$1,984.16	9.95%	10.00%
MML Davis Large Cap Value	Large Cap Value	164.4950	10.728034	\$1,764.38	8.85%	9.00%
MML T. Rowe Price Equity Income	Large Cap Value	155.2230	11.500581	\$1,785.16	8.95%	9.00%
Total:		\$19,935.57	100.00%	100.00%		

Beneficiary Information

SHEILA JACKSON LEE SPOUSE

Note: Beneficiary information may be incomplete. Please contact the Home Office for more information on this contract's beneficiary designation.

Address Information

Street Address:

City:

State, Zip:

Annuitant

4428 ROSENEATH DR

HOUSTON

TX, 77021

Owner

4428 ROSENEATH DR

HOUSTON

TX, 77021

Payor

4428 ROSENEATH DR

HOUSTON

TX, 77021

#999999-999
06/04

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Mass Mutual

Elwyn C. Lee

First Investors Mutual Fund

3 of 7



Mutual Fund Detail

January 1, 2009 - December 31, 2009

Retirement Investments

FIFES C/P 403(b) Plan
University of Houston
PRO Elwyn C Lee
Master Account # 1480619 - 002

Primary Beneficiary:
Shelia Jackson Lee, Edna S Lee, Jason D B Lee.

FI Total Return Fund - A
PV 68036 6 Employee 403(b)
Account Opened: 01/30/08

YTD Dividends: \$9.27

Ending Value: \$370.60

Date	Activity	Amount	Share Price	Number of Shares	Share Balance
	Beginning Balance		\$11.66		26.580
01/31/09	Prospectus Sent				26.580
03/31/09	Income Dividend	\$0.73	\$10.83	0.067	26.647
06/30/09	Income Dividend	\$1.60	\$12.09	0.132	26.779
09/30/09	Income Dividend	\$1.61	\$13.26	0.122	26.901
12/31/09	Income Dividend	\$2.33	\$18.89	0.170	27.071
	Ending Balance		\$13.69		27.071

FI Value Fund - A
36V 67386 6 Employee 403(b)
Account Opened: 01/30/08

YTD Dividends: \$3.32

Ending Value: \$343.61

Date	Activity	Amount	Share Price	Number of Shares	Share Balance
	Beginning Balance		\$5.36		53.967
01/31/09	Prospectus Sent				53.967
03/31/09	Income Dividend	\$1.82	\$4.71	0.323	53.720
06/30/09	Income Dividend	\$1.26	\$5.31	0.237	53.957
09/30/09	Income Dividend	\$1.27	\$6.01	0.211	54.168
12/31/09	Income Dividend	\$1.27	\$6.32	0.201	54.369
	Ending Balance		\$6.32		54.369

FI Blue Chip Fund - A
6V 147326 6 Employee 403(b)
Account Opened: 01/30/08

YTD Dividends: \$1.69

Ending Value: \$178.28

Date	Activity	Amount	Share Price	Number of Shares	Share Balance
	Beginning Balance		\$16.39		9.079
01/31/09	Prospectus Sent				9.079
03/31/09	Income Dividend	\$0.45	\$14.53	0.031	9.110
06/30/09	Income Dividend	\$0.39	\$16.39	0.024	9.134
09/30/09	Income Dividend	\$0.39	\$18.94	0.021	9.155
12/31/09	Income Dividend	\$0.65	\$18.51	0.033	9.188
	Ending Balance		\$18.51		9.188

First Investors

- Elwyn C. Lee



First Investors

4 of 7

Mutual Fund Detail

January 1, 2008 - December 31, 2008

FI Opportunity Fund - A
 35V 93471 8 Employee 403(b)
 Account Opened: 01/30/08

Ending Value: \$388.83

Date	Activity	Amount	Share Price	Number of Shares	Share Balance
01/31/08	Beginning Balance		\$18.82		18.788
	Prospectus Sent				18.788
	Ending Balance	\$21.87			18.788

growth fund

FI Global Fund - A
 NV 140089 3 Employee 403(b)
 Account Opened: 01/30/08

YTD Dividends: \$0.81

Ending Value: \$312.88

Date	Activity	Amount	Share Price	Number of Shares	Share Balance
01/31/08	Beginning Balance		\$4.80		52.587
01/31/08	Prospectus Sent				52.587
12/31/08	Income Dividend	\$0.81	\$5.94	0.086	52.673
	Ending Balance		\$5.94		52.673

growth fund

Other Investments

Elwyn C. Lee

Master Account # 1480818 - 001

FI Fund For Income - A
 IV 335348 0
 Account Opened: 02/02/08

YTD Dividends: \$354.81

Ending Value: \$4,583.30

Date	Activity	Amount	Share Price	Number of Shares	Share Balance
	Beginning Balance		\$1.92		1,705.509
01/30/08	Income Dividend	\$30.80	\$1.98	15.608	1,781.118
01/31/08	Prospectus Sent				1,781.118
02/27/08	Income Dividend	\$31.17	\$1.92	16.234	1,797.349
03/31/08	Income Dividend	\$31.48	\$1.92	16.380	1,813.729
04/30/08	Income Dividend	\$31.74	\$2.07	15.333	1,829.062
05/29/08	Income Dividend	\$27.98	\$2.13	13.138	1,842.199
06/30/08	Income Dividend	\$28.10	\$2.17	12.981	1,855.180
07/31/08	Income Dividend	\$28.38	\$2.25	12.613	1,867.802
08/31/08	Income Dividend	\$28.58	\$2.25	12.702	1,880.504
09/30/08	Income Dividend	\$28.77	\$2.32	12.401	1,892.905
10/30/08	Income Dividend	\$28.98	\$2.35	12.323	1,905.228
11/30/08	Income Dividend	\$29.15	\$2.35	12.404	1,917.632
12/31/08	Income Dividend	\$29.34	\$2.38	12.328	1,929.960
	Ending Balance		\$2.38		1,929.960

growth fund

First Investors

Elwyn C. Lee

Elwyn Lee

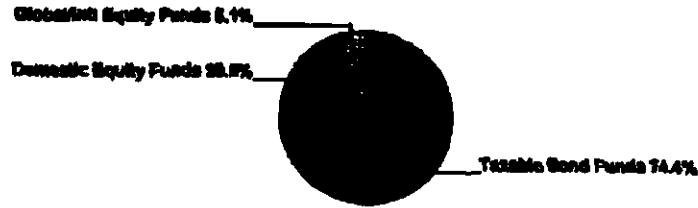


First Investors

5 of 7

Mutual Fund Detail

January 1, 2000 - December 31, 2000



Percentage of Total Mutual Fund Market Value



First Investors

Elwyn C. Lee

Retirement Statement

PO BOX 95150 BOSTON MA 02205-5150

January 1, 2010 through September 30, 2010

ELWYN C. LEE
4425 N ROSENEATH
HOUSTON TX 77021-1626

UNIVERSITY OF HOUSTON 4838
Plan ID 483111368

Plan Representative
HUCKIN DAVID
DAVID HUCKIN & ASSOCIATES INC
3700 BUFFALO SPEEDWAY STE 950
HOUSTON TX 77058-3718

Call Us (866) 822-7815
Visit Us Online www.pioneerinvestments.com

Retirement Plan Information

Did you know that if you are age 50 or older, you may be eligible to make a catch-up contribution to your plan? Before making any financial commitment regarding the issues discussed here, consult with the appropriate professional advisor.

Before investing, consider the fund's investment objectives, risks, charges and expenses. Call your advisor or Pioneer Investments for a prospectus containing this information. Please read it carefully.

Securities offered through Pioneer Funds Distributor, Inc., 60 State Street, Boston, MA 02189.
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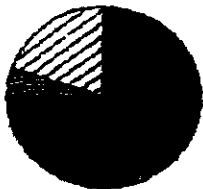
Participant Information

Date of Birth On File

Total Retirement Plan Value on 9/30/2010

\$3,419.75

Asset Allocation Summary



Percent of Current Assets	Your Current Fund Elections	Shares/Units Owned 9/30/2010	Shares/Units Price	Ending Balance 9/30/2010
5.19%	International/Global Growth			\$177.4
5.18%	Pioneer Emerging Markets Fund B	7.008	\$25.32	\$177.4
19.79%	Income			\$678.8
19.79%	Pioneer Government Income Fund B	65.972	\$10.26	\$678.8
76.82%	Money Market			\$2,585.4
76.82%	Pioneer Cash Reserves Fund B	2,585.410	\$1.00	\$2,585.4
100.00%	Total			\$3,419.75

This section lists your current investment elections by investment category, as well as by fund name. It also lists each of your elections as a percentage of your total retirement plan portfolio.

Pioneer Investments
- Elwyn C. Lee



Retirement Statement

PO BOX 55150 BOSTON MA 02205-5150

January 1, 2010 through September 30, 2010

Investment Activity Summary

This section summarizes your account activity by money type and investment election for the statement period.

Money Type/ Investment	Allocations for Future Contributions	Beginning Balance	Contributions/ Rollovers	Withdrawals and Fees	Exchange/ Loan Payment/ Other	Change in Value	Total Value
Retirement Contribution							
Pioneer Emerging Markets Fund B	0.00%	\$164.08	\$0.00	\$0.00	\$0.00	\$13.39	\$177.47
Pioneer Government Income Fund B	0.00%	\$836.64	\$0.00	\$0.00	\$0.00	\$40.23	\$876.87
Pioneer Cash Reserves Fund A	100.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Pioneer Cash Reserves Fund B	0.00%	\$2,580.64	\$0.00	\$0.00	\$0.00	-\$15.23	\$2,565.41
Total		\$3,381.36	\$0.00	\$0.00	\$0.00	\$38.39	\$3,419.75
Grand Total		\$3,381.36	\$0.00	\$0.00	\$0.00	\$38.39	\$3,419.75

Activity Detail

Pioneer Emerging Markets Fund B

Trade Date	Transaction Description	Dollar Amount	Share Price	Shares this Transaction	Total Shares Owned	Market Value
	Beginning Balance as of 1/01/2010		\$23.27		7.061	\$164.08
3/22/2010	Trustee Fee	-\$0.96	\$23.03	-0.042		
	Ending Balance as of 9/30/2010		\$25.32		7.009	\$177.47

Pioneer Government Income Fund B

Trade Date	Transaction Description	Dollar Amount	Share Price	Shares this Transaction	Total Shares Owned	Market Value
	Beginning Balance as of 1/01/2010		\$9.77		85.163	\$836.64
1/29/2010	Dividend Reinvest	\$1.45	\$9.88	0.147		
2/26/2010	Dividend Reinvest	\$1.44	\$9.88	0.146		
3/22/2010	Trustee Fee	-\$3.81	\$9.87	-0.386		
3/31/2010	Dividend Reinvest	\$1.32	\$9.81	0.135		
4/30/2010	Dividend Reinvest	\$1.25	\$9.87	0.127		
5/28/2010	Dividend Reinvest	\$1.40	\$9.97	0.140		
6/30/2010	Dividend Reinvest	\$1.27	\$10.10	0.126		
7/30/2010	Dividend Reinvest	\$1.25	\$10.15	0.123		
8/31/2010	Dividend Reinvest	\$1.33	\$10.28	0.129		
9/30/2010	Dividend Reinvest	\$1.25	\$10.26	0.122		
	Ending Balance as of 9/30/2010		\$16.28		86.972	\$876.87

Pioneer Cash Reserves Fund B

Trade Date	Transaction Description	Dollar Amount	Share Price	Shares this Transaction	Total Shares Owned	Market Value
	Beginning Balance as of 1/01/2010		\$1.00		2,580.640	\$2,580.64
3/22/2010	Trustee Fee	-\$15.23	\$1.00	-15.230		
	Ending Balance as of 9/30/2010		\$1.00		2,565.410	\$2,565.41

Pioneer Investments
- Evelyn C. Lee