

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

RECEIVED
DELIVERED

Steven R. Rothman

202-225-5061

(Full Name)

(Daytime Telephone)

Filer Status: ☒ Member of the U.S. House of Representatives State: NJ District: 09

☐ Officer Or Employee Employing Office:

Report Type: ☒ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

U.S. HOUSE OF REPRESENTATIVES
A \$200 penalty shall be assessed against anyone who files more than 30 days late.

LEGISLATIVE RESOURCE CENTER

2011 MAY 11 PM 5:23

(Office Use Only) MAIL ROOM

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name Steven R. Rothman

Page 2 of 9

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Twinks Company	Manager's Fee	\$26,500
Center for Food Action in New Jersey, Inc.	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

Page 3 of 9

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any debt-financed acquisition of an asset; any asset held in a qualified plan or IRA.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and its included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
DC 1	125 Louis Street, South Hackensack, NJ (5.5% owner)	\$15,001 - \$50,000	RENT	\$5,001 - \$15,000	N/A
DC 2	125 Louis Street, South Hackensack, NJ (5.5% owner)	\$15,001 - \$50,000	RENT	\$5,001 - \$15,000	N/A
I	125 Louis Street, South Hackensack, NJ (5.7% owner)	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	N/A
I	150 Louis Street, South Hackensack, NJ (16 2/3% owner)	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	N/A
I	175 Louis Street Hackensack, NJ; Sustar, LLC (Partial Owner)	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	N/A
Partner	17793 Southwick Way Boca Raton, FL (1/3 owner)	\$100,001 - \$250,000	N/A	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

Page 4 of 9

I	250 North Street Teterboro, NJ (33% owner)	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	N/A
I	30 Ruta Court, South Hackensack, NJ (33% owner)	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	N/A
I	4 E. Forest Avenue Englewood, NJ (33 1/3% owner)	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	N/A
I	40 Ruta Court, So. Hackensack, NJ (33 1/3%)	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	N/A
I	425 Victoria Terrace Ridgefield, NJ (16 2/3% owner)	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	N/A
DC 2	45 Ruta Court, S. Hackensack, NJ (6% owner)	\$15,001 - \$50,000	RENT	\$2,501 - \$5,000	N/A
DC 1	45 Ruta Court, South Hackensack, NJ (6% owner)	\$15,001 - \$50,000	RENT	\$2,501 - \$5,000	N/A
I	538-540 Huyler Street, South Hackensack, NJ (16 2/3% owner)	\$15,001 - \$50,000	RENT	\$5,001 - \$15,000	N/A
I	550 Huyler Street, South Hackensack, NJ (16 2/3% owner)	\$250,001 - \$500,000	RENT	\$5,001 - \$15,000	N/A
I	600 Hollister Road Teterboro, NJ (33 1/3%)	\$50,001 - \$100,000	RENT	\$15,001 - \$50,000	N/A
I	80 Wesley Street, South Hackensack, NJ; RNN LLC (33% owner)	\$100,001 - \$250,000	RENT	\$201 - \$1,000	N/A
SP IRA	Alliance Bernstein Int'l	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	N/A
SP IRA	Alliance Bernstein Global	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

SCHEDULE III - ASSETS AND "UNEARNED" INCOME				Name Steven R. Rothman		Page 5 of 9
SP IRA	American Capital Income	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	N/A	
SP IRA	American Capitol World Growth and Ind CJC	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	N/A	
SP IRA	Blackrock Equity	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	N/A	
DC2	CD Capmark Bank	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	N/A	
DC2	CD Capmark Bank	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	N/A	
DC1	CD Capmark Bank	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	S(part)	
IRA	CD Compass Bank	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	N/A	
DC1	CD Compass Bank	None	INTEREST	\$201 - \$1,000	S	
DC2	CD Compass Bank	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	S(part)	
IRA	CD Discover Bank	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	N/A	
DC2	CD Discover Bank	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	S(part)	
SP IRA	CGM Advisor Targeted	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	N/A	
SP IRA	Citibank Retirement Plan	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	N/A	
Partner	Citibank Savings Account (1/3 owner)	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	N/A	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

Page 6 of 9

SP	CMA NJ Municipal Money Market Fund	\$100,001 - \$250,000	DIVIDENDS	\$201 - \$1,000	N/A
DC 1	Columbia Bank Acct	\$1,001 - \$15,000	INTEREST	\$1 - \$200	N/A
DC 2	Columbia Bank Acct	\$1,001 - \$15,000	INTEREST	\$1 - \$200	N/A
I	Columbia Bank Acct	\$1,001 - \$15,000	NONE	NONE	N/A
SP	Columbia Bank Checking Account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	N/A
SP IRA	Columbia Marisco 21st	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	N/A
I	Dreyfus NJ Money Market Fund	\$1 - \$1,000	INTEREST	\$1 - \$200	N/A
Partner	Dreyfus NJ Money Mkt	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	N/A
DC 2	Dreyfus NJ Money Mkt Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	N/A
DC 1	Dreyfus NJ Money Mkt Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	N/A
Partner	Dreyfus NJ Money Mkt Fund (1/3 owner)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	N/A
SP IRA	Fidelity Adv Leveraged	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	N/A
Partner	Fidelity Mun. Money Mkt. Fund	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	N/A
SP IRA	Goldman Sachs	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

Page 7 of 9

SP IRA	Henderson Int'l	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	N/A
DC 1	I Shares MSCI Taiwan	None	DIVIDENDS	\$201 - \$1,000	S
DC 2	I Shares MSCI Taiwan	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	S(part)
SP IRA	Loomis Sayles Strategic	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	N/A
SP IRA	Merrill Lynch Bank USA RASP	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	N/A
IRA	Oppenheimer Global Fund (Public Employees Benefits Co.); Formerly Oppenheimer Global Fnd CIs A	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	N/A

Partner	Partner-Summit Checking a/c (1/3 owner)	\$1,001 - \$15,000	N/A	NONE	N/A
Partner	Roan Mun. Money Mkt. Fund (5.7% owner)	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	N/A
DC 1	Steven R. Rothman Irrev. Life Ins. Trust	\$1,001 - \$15,000	N/A	NONE	N/A
DC 2	Steven R. Rothman Irrev. Life Ins. Trust	\$1,001 - \$15,000	N/A	NONE	N/A
SP IRA	Templeton Global Bond Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	N/A
Partner	Twinks Checking Account-Columbia Bank	\$1,001 - \$15,000	INTEREST	\$1 - \$200	N/A
Partner	Twinks LLC Columbia Bank	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	N/A

SCHEDULE IV - TRANSACTIONS

Name Steven R. Rothman

Page 8 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC2	1 Shares MSCI Taiwan	S	Yes	07-06-10	\$1,001 - \$15,000
DC1	1 Shares MSCI Taiwan	S(part)	Yes	12-13-10	\$15,001 - \$50,000

SCHEDULE VIII - POSITIONS

Name Steven R. Rothman

Page 9 of 9

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	John Abraham Rothman 1989 Trust
Trustee	Karen Bena Rothman 1991 Trust
Partner	Twinks Company, LLC
Partner	Roan Partnership, LP
Twinks Company, LLC is a tenant in common in these two organizations	Folman Company; Rofol Company