Periodic Transaction Report



LEGISLATIVE RESOURCE CENTER

1.5 At +2.6	NAME:	NAME: DAVID PHILLIP ROE	OFFICE TELEPHONE: 423-929-7671	9-76			m m		Pleas	Please indicate whether this all him had report or an emended report. For amendments, please provide the date of the report you are amending. OF FILE OF THE CLERIF Initial Report DUSE OF Amendment Date of Report being Amended:	indicate whether this is an in ments, please provide the de la	hether this la	date of DEEC	the report of th	an emetal in the case of the c	debrepo e amendi N TATIVE	ing.	
-	State	Member of the U.S. House of Representatives TN District: 01	Office Employing Office:	Officer or Employee	파 역	ıploye			Did you Initial Pu	Did you purchase any initial Public Offering?	se any s fering?	hares th	nat were YES	allocate	X as a pa	purchase any shares that were allocated as a part of an while Offering?	-	
		File an original and 2 copies.			ile an	origina	File an original and 1 copy.											
		FULL ASSET NAME		TY AT	TYPE OF TRANS-ACTION	žΫ́̈́́́	DATE OF TRANS- ACTION	DATE NOTIFIED OF TRANS- ACTION			AMO	AMOUNT OF TRANSACTION	유	ANSA	стю			
				ŝΕ		ìΕ			>	8	ဂ	0	Æ	Ŧ	G	Ξ.	-	٦
	JT	Provide full name, not ticker symbol.		PURCHASE	SALE	EXCHANGE	(MO/DAYR)	(MO/DAYR)	\$1,000- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000
	ĴΪ	Example: Mega Corp. Common Stock			×		8/14/12	8/14/12		×				_			_	
	:	REGENERON PHARMACTCLS			X	è	12/16/13	1/6/14	X		orania de la companya	Average Average			Re c reservation	ARTY CANADAST		
		GAMESTOP CORP			X		12/16/13	1/6/14	X						1			, ,
<i>y</i> • •		GILEAD SCIENCES INC		X		-	12/16/13	1/6/14	X						·		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
	<u>.</u>	VERTEX PHARMCTLS INC			X		12/16/13	1/6/14	X	Place / Victoria	and the second	;,]			:		4	
	ř	Federal Home Loan Mortgage Callable Notes	Notes	X			12/3/13	1/6/14	X		[]					2		

	FOOTNOTE								JT	
	Ë P	VERTE	GILEAD	GAMES	REGEN	CAPITA	ELI LILLY & CO	AUTOZ		
		VERTEX PHARMCTLS INC	GILEAD SCIENCES INC	GAMESTOP CORP	REGENERON PHARMACTCLS	CAPITAL ONE FINL	_Y & CO	AUTOZONE INC	Provide full name, not ticker symbol.	FULL ASSET NAME
			X			X	X		PURCHASE	5 1 7
		X		X	X			X	SALE EXCHANGE	TYPE OF TRANS-ACTION
	FILE	12/16/13	12/16/13	12/16/13	12/16/13	12/9/13	12/11/13	12/11/13	(MO/DAYR)	DATE OF TRANS-
	FILER NOTES (optional)	3 1/6/14	3 1/6/14	3 1/6/14	3 1/6/14	1/6/14	3 1/6/14	3 1/6/14	(MO/DA/YR)	NOTIFIED OF TRANS-ACTION
	ional)	X	X	X	X	X	X	X	\$1,000- \$15,000	
			,						\$15,001- \$50,000	,
						.			\$50,001- \$100,000	A
									\$100,001- \$250,000	OUNT
									\$250,001- \$500,000	다 다 다 다 다 다 다 다 다 다 다 다 다 다 다 다 다 다 다
									\$500,001- \$1,000,000 \$1,000,001-	NSA N
									\$5,000,000 \$5,000,001-	NOLC
									\$25,000,000	
- 1 1 1	I		1	[i !]		L	[i]	l	\$50,000,000	

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FULL ASSET NAME		Provide full name, not ticker symbol.	Federal Home Loan Bank Bonds 4.125%	AUTOZONE INC	ELI LILLY & CO	CAPITAL ONE FINL	Federal Home Loan Bank Bonds 3.5%	ISHARES U. S. TECHNOLOGY	REGENERON PHARMACTCLS
≱⊒₹	Ē	PURCHASE	X	Too to Company	X	X	X	X	lan en array
TYPE OF TRANS- ACTION		SALE		X	· ·		ž m. rvavaga		X
	E	EXCHANGE	, ,	Š		L,,,,,,	Succession		
DATE OF TRANS- ACTION		(MO/DAYR)	12/9/13	12/11/13	12/11/13	12/9/13	12/5/13	12/17/13	12/16/13
DATE NOTIFIED OF TRANS- ACTION		(MO/DAYR)	1/6/14	1/6/14	1/6/14	1/6/14	1/6/14	1/6/14	1/6/14
	٨	\$1,000- \$15,000	X	X	X	X	X	X	X
	В	\$15,001- \$50,000		V 1000 / \ 1.0		.,,,,,	200 (00) 0 0 (0)		
A	၁	\$50,001- \$100,000		, , , , , , , , , , , , , , , , , , ,					
INUOI	0	\$100,001- \$250,000				V 144			
OF T	m	\$250,001- \$500,000				; ; \$/20/2 ()	10 v 11	1	
AMOUNT OF TRANSACTION	. 11	\$500,001- \$1,000,000	=						
)ACTI	Ð	\$1,000,001- \$5,000,000			7				
N N	π	\$5,000,001- \$25,000,000							
								 	
	_	\$25,000,001- \$50,000,000		4			<u> </u>		***************************************

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FILER	
FILER NOTES (optional)	

	FULL ASSET NAME	AĦ국	TYPE OF TRANS-ACTION		DATE OF TRANS- ACTION	DATE NOTIFIED OF TRANS- ACTION			A	AMOUNT OF TRANSACTION	OF TI	RANS	ACTIC	Ž	
				_			>	В	C	D	п	71)	ဖ	=	
JT	Provide full name, not ticker symbol.	PURCHASE	SALE	EXCHANGE	(MO/DAYR)	(MO/DAYR)	\$1,000- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	
	GAMESTOP CORP		X		12/16/13	1/6/14	X	A CONTRACTOR OF THE CONTRACTOR		;]			,		
	GILEAD SCIENCES INC	X			12/16/13	1/6/14	X				1				
	VERTEX PHARMCTLS INC	***************************************	X	***************************************	12/16/13	1/6/14	X	; ; ;]]					
	CAPITAL ONE FINL	X			12/9/13	1/6/14	X			:	\$ \$				i
	AUTOZONE INC		X	100 1000 100	12/11/13	1/6/14	X			;					ì
	ELI LILLY & CO	X			12/11/13	1/6/14	X								1
		X					X			,					