



Filing ID #10015547

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. David E. Price  
**Status:** Member  
**State/District:** NCo4

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2016  
**Filing Date:** 05/9/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
*****Westview Press		None	Royalties	\$1 - \$200	<input type="checkbox"/>
*****Merrill Lynch Bank Deposit Program	JT	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
*****Sun Trust Bank Account	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
****Metropolitan Life Insurance Policy		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Whole Life Insurance Policy					
****Northwest Mutual Life Insurance Policy		\$15,001 - \$50,000	Distribution	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Whole Life Insurance Policy					
****BB&T IRA	SP	\$1,001 - \$15,000	Distribution	\$201 - \$1,000	<input type="checkbox"/>
****North Carolina Local Government Employees 401(K) plan ⇒	SP	\$1,001 - \$15,000	Distribution	\$201 - \$1,000	<input type="checkbox"/>
****North Carolina Stable Value Fund					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
****North Carolina Local Government Employees Retirement System	SP	Undetermined	Distribution	\$2,501 - \$5,000	<input type="checkbox"/>
***TIAA/CREF Retirement Plan ⇒ ***CREF Bond Market Fund		\$100,001 - \$250,000	Distribution	\$5,001 - \$15,000	<input type="checkbox"/>
***TIAA/CREF Retirement Plan ⇒ ***CREF Stock Fund		\$500,001 - \$1,000,000	Distribution	\$15,001 - \$50,000	<input type="checkbox"/>
***TIAA/CREF Retirement Plan ⇒ ***TIAA Real EstateFund		\$100,001 - \$250,000	Distribution	\$2,501 - \$5,000	<input type="checkbox"/>
***TIAA/CREF Retirement Plan ⇒ ***TIAA Traditional Fund		\$100,001 - \$250,000	Distribution	\$5,001 - \$15,000	<input type="checkbox"/>
***TIAA/CREF Retirement Plan		None	Distribution	None	<input type="checkbox"/>
DESCRIPTION: Includes the aforementioned CREF Bond Market Fund, CREF Stock Fund, TIAA Real Estate Fund, and TIAA Traditional Fund.					
**Appalachian State University Bonds	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Bonds: CUSIP 037777QU6					
**Duke University Capital Facilities Bonds	JT	None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Bonds: 65819GDR6					
**Duke University Capital Facilities Bonds	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Bonds: 65819GFTO					
*Cultural Arts Bonds - Charlotte NC	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Bonds: 161037YZ3					
*Municipal Bonds - High Point, NC	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Bonds: 429740P64					
*Municipal Bonds - Mooresville, NC	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Bonds: 616108BR6					
*Municipal Bonds - Raleigh, NC	JT	None	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Bonds: 751100JX7					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
*N.C. Capital Facilities Bonds - Duke University	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Bonds: 65819GKX5					
*N.C. Capital Facilities Bonds - Duke University	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Bonds: 65819GKZO					
*NC Capital Facilities Bonds - Wake Forest University	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Bonds: 65818PHB8					
*NC Community Health System Bonds	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Bonds: 65820PGA7 & 65820PFS9					
*NC Community Hospital Bonds	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Bonds: 65820HD67					
*NC Health Care Facilities Bonds	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Bonds: 65821DBWO					
*NC Health Care Facilities Bonds - Novant	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Bonds: 65821DFV8					
*NC Health Care Facilities Bonds - Vidant	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Bonds: 65821DKH3 & 65821DSP7					
*NC Hospital Bonds - Johnston County	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Bonds: 47938RAZ1					
*NC Hospital Bonds - Wake County	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Bonds: 930866CG1					
*Stormwater Bonds - Charlotte, NC	JT	None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Bonds: 16104PDQ5					
3M Company (MMM)	JT	\$15,001 - \$50,000	Dividends	\$201 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
				\$1,000	
ABB Ltd (ABB)	JT	\$1,001 - \$15,000	Distribution	\$201 - \$1,000	<input type="checkbox"/>
AdvanSix Inc. (ASIX)	JT	\$1 - \$1,000	Dividends	None	<input type="checkbox"/>
Air Products and Chemicals, Inc. (APD)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Alcoa Corporation (AA)	JT	\$1 - \$1,000	Dividends	None	<input type="checkbox"/>
American Tower REIT	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Amgen Inc. (AMGN)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Apache Corporation (APA)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Apple Inc. (AAPL)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Arconic Inc. (ARNC)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Bank of America Corporation (BAC)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
BB&T Corporation (BBT)	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Briggs & Stratton Corporation (BGG)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Caterpillar, Inc. (CAT)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Chevron Corporation (CVX)	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Cisco Systems, Inc. (CSCO)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Coca-Cola Company (KO)	JT	\$50,001 -	Dividends	\$1,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$100,000		\$2,500	
Communications Sales & Leasing, Inc. (CSAL)	JT	\$1,001 - \$15,000	Dividends, Distribution	\$1 - \$200	<input type="checkbox"/>
Corning Incorporated (GLW)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Costco Wholesale Corporation (COST)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Cummins Inc. (CMI)	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Devon Energy Corporation (DVN)	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DTE Energy Company (DTE)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Emerson Electric Company (EMR)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Energy Transfer Partners, L.P. ENERGY TRANSFER PARTNERS (ETP)	JT	None	Capital Gains, Dividends, Interest, Distribution	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Enterprise Products Partners L.P. (EPD)	JT	\$15,001 - \$50,000	Interest, Distribution	\$1,001 - \$2,500	<input type="checkbox"/>
Exxon Mobil Corporation (XOM)	JT	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Fifth Third Bancorp (FITB)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
General Electric Company (GE)	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Gilead Sciences, Inc. (GILD)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Halyard Health, Inc. (HYH)	JT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Home Depot, Inc. (HD)	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Honeywell International Inc. (HON)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Intel Corporation (INTC)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kimberly-Clark Corporation (KMB)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Lincoln National Corporation (LNC)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Lowe's Companies, Inc. (LOW)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Magellan Midstream Partners L.P. Limited Partnership (MMP)	JT	\$15,001 - \$50,000	Capital Gains, Distribution	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Marriott International - Class A (MAR)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
McDonald's Corporation (MCD)	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
MetLife, Inc. (MET)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
New York Community Bancorp, Inc. (NYCB)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Oracle Corporation (ORCL)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Pepsico, Inc. (PEP)	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Plains All American Pipeline, L.P. (PAA)	JT	\$15,001 - \$50,000	Interest, Distribution	\$1,001 - \$2,500	<input type="checkbox"/>
Pool Corporation (POOL)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Procter & Gamble Company (PG)	JT	\$15,001 - \$50,000	Dividends	\$201 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
				\$1,000	
Prudential Financial, Inc. (PRU)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
QUALCOMM Incorporated (QCOM)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SPDR S&P Dividend ETF (SDY)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stanley Black & Decker, Inc. (SWK)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Staples, Inc. (SPLS)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
TECO Energy, Inc. (TE)	JT	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Teva Pharmaceutical Industries Limited American Depositary Shares (TEVA)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Thermo Fisher Scientific Inc (TMO)	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Tuesday Morning Corp. (TUES)	JT	\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
United Technologies Corporation (UTX)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
V.F. Corporation (VFC)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Versum Materials, Inc. (VSM)	JT	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Walt Disney Company (DIS)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Wells Fargo & Company (WFC)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Western Union Company (WU)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Windstream Holdings, Inc. (WIN)	JT	\$1,001 - \$15,000	Distribution	\$201 - \$1,000	<input type="checkbox"/>
Xerox Corporation (XRX)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Yum China Holdings, Inc. (YUMC)	JT	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Yum! Brands, Inc. (YUM)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
American Tower REIT Inc. LOCATION: US DESCRIPTION: Real Estate Investment Trust shares		11/10/2016	P	\$1,001 - \$15,000	
American Tower REIT Inc. DESCRIPTION: Real Estate Investment Trust shares		08/3/2017	P	\$1,001 - \$15,000	
Apple Inc. (AAPL)		01/14/2016	P	\$1,001 - \$15,000	
Arconic Inc. (ARNC) DESCRIPTION: Stock dividend from Alcoa, Inc. spin-off.		11/1/2016	E	\$1,001 - \$15,000	
Costco Wholesale Corporation (COST)		05/6/2016	P	\$1,001 - \$15,000	
Energy Transfer Partners, L.P. ENERGY TRANSFER PARTNERS (ETP)		11/29/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Lincoln National Corporation (LNC)		01/14/2016	P	\$1,001 - \$15,000	
Magellan Midstream Partners L.P. Limited Partnership (MMP)		11/2/2016	P	\$1,001 - \$15,000	
MetLife, Inc. (MET)		01/14/2016	P	\$1,001 - \$15,000	
MetLife, Inc. (MET)		08/3/2016	P	\$1,001 - \$15,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
N.C. Capital Facilities Bonds - Duke University DESCRIPTION: CUSIP # 65819GKX5		08/3/2016	P	\$1,001 - \$15,000	
N.C. Capital Facilities Bonds - Duke University DESCRIPTION: # 65819GKZO		11/22/2016	P	\$1,001 - \$15,000	
NC Capital Facilities Bonds (Wake Forest University) DESCRIPTION: 10,000 units		04/18/2016	P	\$1,001 - \$15,000	
Thermo Fisher Scientific Inc (TMO)		08/3/2016	P	\$1,001 - \$15,000	
Thermo Fisher Scientific Inc (TMO)		10/13/2016	P	\$1,001 - \$15,000	
Thermo Fisher Scientific Inc (TMO)		12/19/2016	P	\$1,001 - \$15,000	
Treaty Energy Corporation (TECO)		07/1/2016	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
United Technologies Corporation (UTX)		01/14/2016	P	\$1,001 - \$15,000	
V.F. Corporation (VFC)		08/3/2016	P	\$1,001 - \$15,000	
Versum Materials, Inc. (VSM) DESCRIPTION: Stock dividend from Air Products & Chemicals spin-off.		10/6/2016	E	\$1,001 - \$15,000	
Walt Disney Company (DIS)		01/5/2016	P	\$1,001 - \$15,000	
Walt Disney Company (DIS)		04/18/2016	P	\$1,001 - \$15,000	
Walt Disney Company (DIS)		08/4/2016	P	\$1,001 - \$15,000	
Yum China Holdings, Inc. (YUMC) DESCRIPTION: Stock dividend from Yum! Brands, Inc. spin-off.		11/4/2016	E	\$1,001 - \$15,000	

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

Position	Name of Organization
Professor on Leave	Duke University
Advisory Board Member	NC Heroes Fund
Trustee	Open World Leadership Center, Library of Congress

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
2014	Duke University	Unpaid Leave of Absence

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Aspen Institute Congressional Program	03/28/2016	04/4/2016	Raleigh, North Carolina - New York, New York - Beijing, China - Nanjing, China - Raleigh, North Carolina	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Aspen Institute Congressional Program	08/8/2016	08/16/2016	Raleigh, North Carolina - London, United Kingdom - Raleigh, North Carolina	3	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Renaissance Weekend	12/28/2016	01/1/2017	Chapel Hill, North Carolina - Charleston, South Carolina - Chapel Hill, North Carolina	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- o \*\*\*\*North Carolina Local Government Employees 401(K) plan (Owner: SP)
- o \*\*\*TIAA/CREF Retirement Plan

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. David E. Price , 05/9/2017