

**HOWARD COBLE**SIXTH DISTRICT  
NORTH CAROLINA2188 RAYBURN HOUSE OFFICE BUILDING  
WASHINGTON, DC 20515-3306  
PHONE: (202) 225-3065  
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www.coble.house.gov**Congress of the United States****House of Representatives****Washington, DC 20515-3306**

COMMITTEES:

**JUDICIARY**

CHAIRMAN,

SUBCOMMITTEE ON COURTS,  
INTELLECTUAL PROPERTY AND THE INTERNET**TRANSPORTATION AND****LEGISLATIVE RESOURCE CENTER**PRINTED ON RECYCLED PAPER  
2014 JUL 16 PM 4:40OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

July 16, 2014

**HAND  
DELIVERED**

The Honorable K. Michael Conaway

Chairman

The Honorable Linda T. Sanchez

Ranking Member

Committee on Ethics

1015 Longworth House Office Building

Washington, D.C. 20515-6328

Dear Chairman Conaway and Ranking Member Sanchez,

This letter is my response to your letter of June 17, 2014, asking for additional information concerning my 2013 Financial Disclosure Statement (FDS). This letter will also serve to amend the same FDS.

The FDS should be amended in two places under Schedules A and B. The first involves the asset listed on Schedule A as North Carolina Medicare Bond. It was redeemed on October 1, 2013. It should have been listed under Schedule A with a value of "None." I should have also listed it on Schedule B as a transaction on October 1, 2013.

There were a number of questions involving assets listed under Schedule A, and I will respond to those here, as well. The first two involve my UBS Financial Services IRA and my UBS Financial Services Resource Management Account. In the past, I had listed these two accounts as assets, but under the new online reporting system now used by the House, I should have listed both as "asset classes" with the items contained within these two financial entities as "assets." I have attached reports that show all of the holdings within these two accounts, and while I had listed all of the 2013 transactions under Schedule B on my FDS, I have also attached the report that shows all activity from these two accounts.

The other questions concern a number of cash management accounts and variable annuities that I listed under Schedule A. The cash management accounts include the Wells Fargo Advisors Cap Asset Management Account and the Wells Fargo Advisors Cap Investment Account. These are two separate accounts with different financial advisors. There are not new accounts, and I have listed them in previous years' FDS.

124 WEST ELM STREET  
POST OFFICE BOX 812  
GRAHAM, NC 27253-0812  
PHONE: (336) 229-0159  
FAX: (336) 228-79742102 NORTH ELM STREET  
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GREENSBORO, NC 27408-5100  
PHONE: (336) 333-5005  
FAX: (336) 333-50481634 NORTH MAIN STREET  
SUITE 101  
HIGH POINT, NC 27262-2644  
PHONE: (336) 886-5106  
FAX: (336) 886-8740107 MIDTOWN COMMONS  
MADISON, NC 27025-1519  
PHONE: (336) 427-0040  
FAX: (336) 427-0480

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Howard Coble amendment letter/Page 2

LEGISLATIVE RESOURCE CENTER

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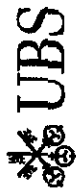
There was request for additional information about a number of variable annuities that I own. You asked for additional information about the Allianz Life Insurance Annuity, American Equity Insurance Annuity, Hartford Insurance Variable Annuity, MassMutual Insurance Transitions Annuity, MassMutual Insurance Transitions Select Annuity, and MassMutual Insurance Flex Extra Annuity. You did not seek additional information concerning the Phoenix Life Insurance Annuity, but it the same type of investment as the others, so I have included information concerning this account, as well. Again, none of these are new accounts, and I have listed them in previous years' FDS.

I have attached statements from all of these accounts that I hope will answer any questions that you may have. If you need additional information, please do not hesitate to contact me immediately. I stand ready to assist in any way, and I appreciate your attention to this matter.

Sincerely,



HOWARD COBLE  
Member of Congress



UBS Financial Services Inc  
3200 Northline Avenue  
Suite 100  
Greensboro NC 27408-7600

# Retirement Account

December 2013

Account name: J HOWARD COBLE  
TRADITIONAL IRA

Account type: Individual Retirement Account  
Account number:

Your Financial Advisor:  
ROBERT C KETNER  
Phone: 336-854-7000/800-821-0355

Visit our website:  
[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

## Items for your attention

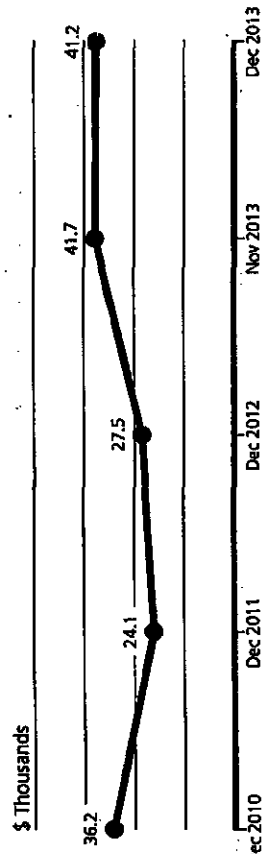
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J HOWARD COBLE  
TRADITIONAL IRA

## Value of your account

	on November 29 (\$)	on December 31 (\$)
Your assets	41,739.25	41,186.05
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$41,739.25</b>	<b>\$41,186.05</b>

## Tracking the value of your account



## Sources of your account growth during 2013

Value of your account at year end 2012	\$27,525.20
Net deposits and withdrawals	-\$1,758.15
Your Investment return:	
Dividend and interest income	\$765.82
Change in market value	\$14,653.18
<b>Value of your account on Dec 31, 2013</b>	<b>\$41,186.05</b>



Retirement Account  
December 2013

Account name:  
Account type:  
Account number:

J HOWARD COBLE  
Individual Retirement Account

Your Financial Advisor:  
ROBERT C KETNER  
336-854-7000/800-821-0355

## Your account balance sheet

### Summary of your assets

	Value on December 31 (\$)	Percentage of your account
<b>A</b> Cash and money balances	462.85	1.12%
<b>B</b> Cash alternatives	0.00	0.00%
<b>C</b> Equities	40,723.20	98.88%
<b>D</b> Fixed income	0.00	0.00%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$41,186.05</b>	<b>100.00%</b>

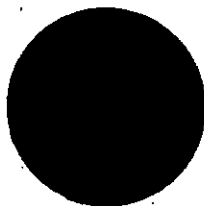
Value of your account

**\$41,186.05**

➤ Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

### Your current asset allocation

A



C

## Eye on the markets

Index	Percentage change	
	December 2013	Year to date
S&P 500	2.53%	32.39%
Russell 3000	2.64%	33.55%
MSCI - Europe, Australia & Far East	1.51%	23.29%
Barclays Capital U.S. Aggregate Bond Index	-0.57%	-2.02%

Interest rates on December 31, 2013

3-month Treasury bills: 0.07%

One-month LIBOR: 0.17%



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December 2013

Account name:  
Account type:  
Account number:

J HOWARD COBLE  
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336-854-7000/800-821-0355

## Change in the value of your account

	December 2013 (\$)	Year to date (\$)
Opening account value	\$41,739.25	\$27,525.20
Withdrawals and fees, including investments transferred out	-1,758.15	-1,758.15
Dividend and interest income	294.45	765.82
Change in market value	910.50	14,653.18
Closing account value	\$41,186.05	\$41,186.05

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2013 (\$)	Year to date (\$)
Dividends	294.45	638.62
Private investment distributions	0.00	127.20
Total current year	\$294.45	\$765.82
Total dividend & interest	\$294.45	\$765.82

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	December 2013 (\$)	Year to date (\$)	
Short term	0.00	2,247.85	4,341.54
Long term	0.00	-2,531.59	-861.89
Total	\$0.00	-\$283.74	\$3,479.65

## Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See Important information about your statement on the last two pages of this document for details.

	December 2013 (\$)	Year to date (\$)
Opening balances	\$495.55	\$702.10
Additions		
Dividend and interest income	294.45	765.82
Proceeds from investment transactions	0.00	18,049.77
Total additions	\$294.45	\$18,815.59
Subtractions		
Annual fee	-75.00	-75.00
Funds withdrawn for investments bought	-252.15	-18,979.84
Total subtractions	-\$327.15	-\$19,054.84
Net cash flow	-\$32.70	-\$239.25
Closing balances	\$462.85	\$462.85



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## UBS Bank USA Deposit Account APY

Interest period Nov 7 - Dec 5

Opening UBS Bank USA Deposit balance Nov 7	\$353.67
Closing UBS Bank USA Deposit balance Dec 5	\$520.25
Number of days in interest period	29
Average daily balance	\$409.58
Interest earned	\$0.00
Annual percentage yield earned	0.00%

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

### Your return objective:

Current income & capital appreciation

### Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

## Your account instructions

- UBS Financial Services Inc. is your IRA custodian.
- Your account is approved for normal distributions.
- Your account cost basis default closing method is FIFO, First In, First Out.

## Retirement services information

### 2013 Year-End valuation statement - Form 5498

Retain this statement as your year-end valuation. If your Asset portfolio includes insurance products, unpriced Private Investments or if you own Outside assets that do not appear on this statement, we will automatically provide you with an updated year-end valuation in January 2014. This 2013 year-end value of your IRA (or the updated value, if applicable) will be furnished to the Internal Revenue Service.

December 31 value \$41,186.05

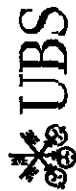
### Beneficiaries you've named for this account

The beneficiaries listed below reflect the information in our records as of the end of this statement period. If this information is not correct, or you wish to change your beneficiaries, please contact your Financial Advisor. Beneficiary changes are not effective unless you give them to us in writing and we accept them. If you live in a community property state, your beneficiary designation may be subject to community property laws.

Percentage	Beneficiary	Contingent beneficiaries
100.00%	Ray Holt Coble	Primary Beneficiaries Estate (100.00%)

### Summary of your distributions

Distribution type	Current period (\$)	Tax year 2013 (\$)	Tax year 2012 (\$)
Normal distributions	1,683.15	1,683.15	1,399.50



Retirement Account  
December 2013

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Account number:

J HOWARD COBLE  
Individual Retirement Account

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336-854-7000/800-821-0355

## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/interest period	Days in period	Cap amount (\$)
UBS BANK USA DEP ACCT	495.55	462.85					250,000.00

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMERICAN INTL GROUP INC COM NEW								
Symbol: AIG Exchange: NYSE EAI: \$1 Current yield: 0.65%	Mar 26, 08	3,000	917.613	2,752.84	51.050	153.15	-2,599.69	LT
ARCH COAL INC								
Symbol: ACI Exchange: NYSE EAI: \$56 Current yield: 2.68%	Nov 20, 13	470,000	4.502	2,116.12	4.450	2,091.50	-24.62	ST
BANK OF AMER CORP								
Symbol: BAC Exchange: NYSE EAI: \$13 Current yield: 0.26%	Nov 15, 10	320,000	12.541	4,013.16	15.570	4,982.40	969.24	LT
CITIGROUP INC								
Symbol: C Exchange: NYSE EAI: \$2 Current yield: 0.09%	Jul 7, 10	45,000	41.120	1,850.43	52.110	2,344.95	494.52	LT

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Retirement Account  
December 2013

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Account number:

J HOWARD COBLE  
Individual Retirement Account

Your Financial Advisor:  
ROBERT C KETNER  
336-854-7000/800-821-0355

## Your assets > Equities > Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
DONNELLEY (R.R.) & SONS CO Symbol: RRD Exchange: OTC EAI: \$99 Current yield: 5.14%	Mar 26, 08	95,000	30.457	2,893.50	20.280	1,926.60	-966.90	LT
EDWARDS LIFESCIENCES CORP Symbol: EW Exchange: NYSE	Nov 20, 13	30,000	66.198	1,985.94	65.760	1,972.80	-13.14	ST
ENDO HEALTH SOLUTIONS INC Symbol: ENDP Exchange: OTC	Feb 19, 13	67,000	29.833	1,998.87	67.460	4,519.82	2,520.95	ST
HARTFORD FINCL SERVICES GROUP INC Symbol: HIG Exchange: NYSE EAI: \$96 Current yield: 1.66%	Feb 23, 12	160,000	21.124	3,379.89	36.230	5,796.80	2,416.91	LT
NUANCE COMMUNICATIONS INC Symbol: NUAN Exchange: OTC	Apr 17, 13	240,000	21.232	5,095.85	15.200	3,648.00	-1,447.85	ST
VERIFONE SYSTEMS INC Symbol: PAY Exchange: NYSE	Jun 18, 13	325,000	16.680	5,421.14	26.820	8,716.50	3,295.36	ST
<b>Total</b>				<b>\$31,507.74</b>		<b>\$36,152.52</b>	<b>\$4,644.78</b>	
<b>Total estimated annual income: \$267</b>								

## Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
DAVIS NEW YORK VENTURE FD CL A Symbol: NYVTX Total reinvested EAI: \$6 Current yield: 0.48%	30,286	29.582		895.93	41.410	1,254.14	358.21	1,254.14	
FEDERATED KAUFMAN FUND CLASS A									

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Retirement Account  
December 2013

Account name:  
Account type:  
Account number:

Your Financial Advisor:  
ROBERT C KEITNER  
336-854-7000/800-821-0355

## Your assets > Equities > Mutual funds (continued)

Holding	Symbol: KAUAX	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Jan 14, 04		9,000	5.393	48.54	48.54	6.160	55.44	6.90		LT
Total reinvested		248,970	5.549		1,381.67	6.160	1,533.65	151.98		
Security total		257,970	5.544	48.54	1,430.21		1,589.09	158.88	1,540.55	
Total				\$48.54	\$2,326.14		\$2,843.23	\$517.09	\$2,794.69	
Total estimated annual income: \$6										

## Other equity investments

Cost basis and gains and losses have not been adjusted automatically for return of capital payments. Restricted security values are estimated for informational purposes. See important information about your statement at the end of this document for additional information.

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ALLIANCE BERNSTEIN HLDG LP MLP								
Symbol: AB Exchange: NYSE								
EAL: \$128 Current yield: 7.50%	Sep 19, 08	80,000	42.417	3,393.38	21.340	1,707.20	-1,686.18	LT

## Warrants

Holding	Trade date	Number of warrants	Purchase price per warrant (\$)	Cost basis (\$)	Price per warrant on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
WARRANTS AMERICAN NTL GROUP INC								
Expires: Jan 19, 21	Jan 20, 11	1,000	16.290	16.29	20.250	20.25	3.96	LT

## Your total assets

Cash	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances					
Common stock	36,152.52	1.12%	31,507.74	267.00	4,644.78
Mutual funds	2,843.23		2,326.14	6.00	517.09
Other equity investments	1,707.20		3,393.38	128.00	-1,686.18
Warrants	20.25		16.29		3.96
Total equities	40,723.20	98.88%	37,243.55	401.00	3,479.65
Total	\$41,186.05	100.00%	\$37,706.40	\$401.00	\$3,479.65



Retirement Account  
December 2013

Account name: J HOWARD COBLE  
Account type: Individual Retirement Account  
Account number:

Your Financial Advisor:  
ROBERT C KETNER  
336-854-7000/800-821-0355

## Account activity this month

Date	Activity	Description	Amount (\$)
<b>Dividend and interest income</b>			
<b>Dividends</b>			
Dec 2	Dividend	DONNELLEY (R.R.) & SONS CO PAID ON 95	24.70
Dec 13	Dividend	ARCH COAL INC PAID ON 470	14.10
Dec 19	Dividend	AMERICAN INTL GROUP INC COM NEW PAID ON 3	0.30
Dec 20	St Cap Gain	DAVIS NEW YORK VENTURE FD CL A SHORT TERM CAPITAL GAIN	1.65
Dec 20	Dividend	DAVIS NEW YORK VENTURE FD CL A	2.03
Dec 20	Lt Cap Gain	DAVIS NEW YORK VENTURE FD CL A LONG TERM CAPITAL GAIN	49.81
Dec 27	Dividend	BANK OF AMER CORP PAID ON 320	3.20
Dec 30	Lt Cap Gain	FEDERATED KAUFMAN FUND CLASS A LONG TERM CAPITAL GAIN	198.66
<b>Total dividends</b>			<b>\$294.45</b>
<b>Total dividend and interest income</b>			<b>\$294.45</b>
<b>Fees</b>			
Dec 5	Fee Charge	ANNUAL FEE CHARGE	-75.00
<b>Total annual fees</b>			<b>-75.00</b>

### Investment transactions

For more information about the price/value shown for restricted securities, see *Important Information* about your statement at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 18	Transfer	FEDERATED KAUFMAN FUND CLASS A	-245.000	-1,683.15				
Dec 20	Reinvestment	DAVIS NEW YORK VENTURE FD CL A LT CAP GAINS REINVESTED AT 40.37 NAV ON 12/19/13	1.234				-49.81	
Dec 20	Reinvestment	DAVIS NEW YORK VENTURE FD CL A DIVIDEND REINVESTED AT 40.37 NAV ON 12/19/13	0.050				-2.03	
Dec 20	Reinvestment	DAVIS NEW YORK VENTURE FD CL A ST CAP GAINS REINVESTED AT 40.37 NAV ON 12/19/13	0.041				-1.65	
Dec 30	Reinvestment	FEDERATED KAUFMAN FUND CLASS A LT CAP GAINS REINVESTED AT 6.13 NAV ON 12/27/13	32.408				-198.66	
<b>Total Securities transferred out</b>				<b>-\$1,683.15</b>			<b>-\$252.15</b>	

**UBS**  
Retirement Account  
December 2013

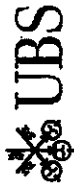
**Account name:** J HOWARD COBLE  
**Account type:** Individual Retirement Account  
**Account number:**

**Your Financial Advisor:**  
ROBERT C KETNER  
336-854-7000/800-821-0355

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
<b>Money balance activities</b>			
Nov 29	Balance forward		\$495.55
Dec 3	Deposit	UBS BANK USA DEPOSIT ACCOUNT	24.70
Dec 6	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT	-75.00
Dec 16	Deposit	UBS BANK USA DEPOSIT ACCOUNT	14.10
Dec 20	Deposit	UBS BANK USA DEPOSIT ACCOUNT	0.30
Dec 30	Deposit	UBS BANK USA DEPOSIT ACCOUNT	3.20
Dec 31	Closing	UBS Bank USA Deposit Account	\$462.85

The UBS Bank USA Deposit Account is your primary sweep option.



UBS Financial Services Inc.  
3200 Northline Avenue  
Suite 100  
Greensboro NC 27408-7600

# Retirement Account

December 2013

J HOWARD COBLE  
TRADITIONAL IRA

Account name: J HOWARD COBLE  
TRADITIONAL IRA

Account type: Individual Retirement Account  
Account number:

Your Financial Advisor:  
ROBERT C KETNER  
Phone: 336-854-7000/800-821-0355

Visit our website:  
[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

## Items for your attention

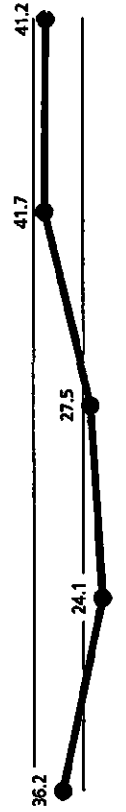
► If you use UBS Online Services, consider changing your User Name and Password regularly to protect your personal data. Not enrolled? Go to [ubs.com/online-services](http://ubs.com/online-services).

## Value of your account

	on November 29 (\$)	on December 31 (\$)
Your assets	41,739.25	41,186.05
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$41,739.25</b>	<b>\$41,186.05</b>

## Tracking the value of your account

\$ Thousands



Dec 2010      Dec 2011      Dec 2012      Nov 2013      Dec 2013

<b>Sources of your account growth during 2013</b>	
Value of your account at year end 2012	\$27,525.20
Net deposits and withdrawals	-\$1,758.15
<b>Your investment return:</b>	
Dividend and interest income	\$765.82
Change in market value	\$14,653.18
<b>Value of your account on Dec 31, 2013</b>	<b>\$41,186.05</b>

Home Voice 1: 336-852-4956

Short Name: COBLE Type: IRA Status: Active FA: ADRK Features: HH

Address: 3 Howard Coble Traditional IRA Money Mgr: N/A Objective: Curr Income &amp; Cap App Risk: Moderate Activity as of (Intra): N/A Activity as of: 04/30/14 Last Stmt: 03/14

Total Value:	Equity:	Money Funds/Bank Sweep:	Debit/Credit Balance:	Funds Available:	Buying Power:
43,580.54	42,987.72	592.28	0.00	592.28	0.00

Generated On: 05/01/2014

Account# In View: AD17088

UBS Financial Services

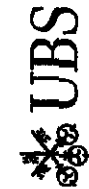
Filtered by: Entry Date 01/01/2013-12/31/2013, All Securities Transactions, Money Market Excluded, Exclude Debit Card

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
12/30/13	12/30/13	REINVESTMENT	FEDERATED KAUFMAN FUND CLASS A	MFSKA-51VAY0	1	32.408	REINVEST RIV	-\$198.66
12/20/13	12/20/13	REINVESTMENT	DAVIS NEW YORK VENTURE FD CL A	MFVNA-544UF9	1	1.234	REINVEST RIV	-\$49.81
12/20/13	12/20/13	REINVESTMENT	DAVIS NEW YORK VENTURE FD CL A	544U77	1	0.041	REINVEST SCG	-\$1.65
12/20/13	12/20/13	REINVESTMENT	DAVIS NEW YORK VENTURE FD CL A	544U77	1	0.050	REINVEST DIV	-\$2.03
12/18/13	12/18/13	TRANSFER	FEDERATED KAUFMAN FUND CLASS A	MFSKA-51VAY0	1	-245.000	MFTFR	\$0.00
11/20/13	11/25/13	BOUGHT	EDWARDS LIFESCIENCES CORP Trade#:25845 Bid:60	EW-141586	1	30.000		-\$1,985.94
11/20/13	11/25/13	BOUGHT	ARCH COAL INC Trade#:26223 Bid:60	ACI-016636	1	470.000		-\$2,116.12
11/20/13	11/25/13	SOLD	ENDO HEALTH SOLUTIONS INC Trade#:14509 Bid:36	ENDH-131AK1	1	-68.000		\$4,207.63
11/20/13	11/25/13	SOLD	SAVIENT PHARMACEUTICALS INC Trade#:15042 Bid:36	SVNTQ-410284	1	-400.000		\$3.86
07/02/13	07/02/13	REINVESTMENT	DAVIS NEW YORK VENTURE FD CL A	MFVNA-544UF9	1	1.952	REINVEST RIV	-\$73.16
07/02/13	07/02/13	REINVESTMENT	DAVIS NEW YORK VENTURE FD CL A	544U77	1	0.114	REINVEST SCG	-\$4.29
07/02/13	07/02/13	REINVESTMENT	DAVIS NEW YORK VENTURE FD CL A	544U77	1	0.097	REINVEST DIV	-\$3.62
06/18/13	06/21/13	BOUGHT	VERIFONE SYSTEMS INC Trade#:57040 Bid:85	PAY-4912L3	1	325.000		-\$5,421.14
06/18/13	06/21/13	SOLD	WARNER CHILCOTT PLC CL A Trade#:50621 Bid:85	WCRX-4993Y2	1	-110.000		\$2,059.56
06/18/13	06/21/13	SOLD	HARTFORD FINCL SERVICES GROUP INC Trade#:51219 Bid:60	HIG-1988E4	1	-115.000		\$3,348.72
04/17/13	04/22/13	BOUGHT	NUANCE COMMUNICATIONS INC Trade#:84941 Bid:38	NUAN-346LX3	1	240.000		-\$5,095.85
04/17/13	04/22/13	SOLD	ELECTRONICS INTL LTD Trade#:79478 Bid:36	FLEX-153867	1	-210.000		\$1,323.31
04/17/13	04/22/13	SOLD	SCHWAB CHARLES CORP NEW Trade#:79362 Bid:36	SCHW-412775	1	-215.000		\$3,421.67
02/19/13	02/22/13	BOUGHT	ENDO HEALTH SOLUTIONS INC Trade#:39065 Bid:85	ENDH-131AK1	1	135.000		-\$4,027.57
02/19/13	02/22/13	SOLD	GENL ELECTRIC CO Trade#:38395 Bid:85	GE-174408	1	-160.000		\$3,685.02

Page: 1 of 1 Rows: 1 - 20 of 20

2 Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. Commission and fees for Equity trades are estimates, and may not match what is



UBS Financial Services Inc.  
3200 Northline Avenue  
Suite 100  
Greensboro NC 27408-7600

# Resource Management Account

December 2013

J HOWARD COBLE

Account name: J HOWARD COBLE  
Account number:

Your Financial Advisor:  
ROBERT C KETNER  
Phone: 336-854-7000/800-821-0355

Questions about your statement?  
Call your Financial Advisor or the  
RMA ResourceLine at 800-RMA-1000,  
account 011017085.

Visit our website:  
[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

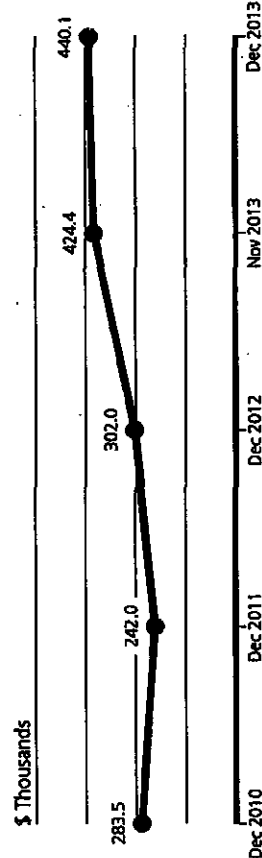
## Items for your attention

► If you use UBS Online Services, consider  
changing your User Name and Password  
regularly to protect your personal data.  
Not enrolled? Go to  
[ubs.com/online-services](http://ubs.com/online-services).

## Value of your account

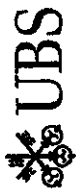
	on November 29 (\$)	on December 31 (\$)
Your assets	424,426.82	440,102.93
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$424,426.82</b>	<b>\$440,102.93</b>

## Tracking the value of your account



## Sources of your account growth during 2013

Value of your account at year end 2012	\$301,985.47
Net deposits and withdrawals	\$1,525.05
Your investment return:	
Dividend and interest income	\$23,299.49
Change in market value	\$113,292.92
<b>Value of your account on Dec 31, 2013</b>	<b>\$440,102.93</b>



Resource Management Account  
December 2013

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## Your account balance sheet

### Summary of your assets

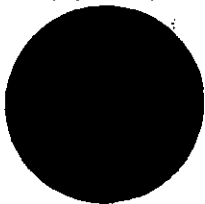
	Value on December 31 (\$)	Percentage of your account
A Cash and money balances	274.93	0.06%
B Cash alternatives	0.00	0.00%
C Equities	439,828.00	99.94%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$440,102.93	100.00%

Value of your account

\$440,102.93

### Your current asset allocation

A



C

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important Information* about your statement on the last two pages of this statement for details about those balances.

## Eye on the markets

Index	Percentage change	
	December 2013	Year to date
S&P 500	2.53%	32.39%
Russell 3000	2.64%	33.55%
MSCI - Europe, Australia & Far East	1.51%	23.29%
Barclays Capital U.S. Aggregate Bond Index	-0.57%	-2.02%

### Interest rates on December 31, 2013

3-month Treasury bills: 0.07%

One-month LIBOR: 0.17%



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## Change in the value of your account

	December 2013 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$424,426.82</b>	<b>\$301,985.47</b>
Deposits, including investments transferred in	1,683.15	1,683.15
Withdrawals and fees, including Investments transferred out	-150.00	-158.10
Dividend and interest income	12,665.57	23,299.49
Change in market value	1,477.39	113,292.92
<b>Closing account value</b>	<b>\$440,102.93</b>	<b>\$440,102.93</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2013 (\$)	Year to date (\$)
Taxable dividends	12,568.93	22,276.90
Private investment distributions	96.64	1,022.56
<b>Total current year</b>	<b>\$12,665.57</b>	<b>\$23,299.46</b>
Prior year adjustment	0.00	0.03
<b>Total dividend &amp; interest</b>	<b>\$12,665.57</b>	<b>\$23,299.49</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	December 2013 (\$)	Year to date (\$)	
Short term	638.63	12,117.90	19,769.18
Long term	-4,544.08	-18,433.73	108,499.38
<b>Total</b>	<b>-\$3,905.45</b>	<b>-\$6,315.83</b>	<b>\$128,268.56</b>

## Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See important information about your statement on the last two pages of this document for details.

	December 2013 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$513.27</b>	<b>\$2,683.72</b>
<b>Additions</b>		
Dividend and interest income	12,665.57	23,299.49
Proceeds from investment transactions	15,537.73	107,985.25
<b>Total additions</b>	<b>\$28,203.30</b>	<b>\$131,284.74</b>
<b>Subtractions</b>		
Annual fee	-150.00	-150.00
Other fees	0.00	-8.10
Funds withdrawn for investments bought	-28,291.64	-133,535.43
<b>Total subtractions</b>	<b>-\$28,441.64</b>	<b>-\$133,693.53</b>
<b>Net cash flow</b>	<b>-\$238.34</b>	<b>-\$2,408.79</b>
<b>Closing balances</b>	<b>\$274.93</b>	<b>\$274.93</b>





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December 2013

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## UBS Bank USA Deposit Account APY

Interest period Nov 7 - Dec 5

Opening UBS Bank USA Deposit balance Nov 7	\$5,690.78
Closing UBS Bank USA Deposit balance Dec 5	\$923.97
Number of days in interest period	29
Average daily balance	\$3,818.76
Interest earned	\$0.00
Annual percentage yield earned	0.00%

## My Choice Rewards Points from UBS

Rewards points are reflected as of the 26th of the month or the prior business day if the 26th falls on a weekend/holiday. Rewards point activity details can be found on [www.ubs.com/online/services](http://www.ubs.com/online/services)

	December 2013	Year to date
Opening balance	0	0
Closing balance	0	0

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

### Your return objective:

Current income & capital appreciation

### Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

## Your account instructions

- Your account cost basis default dosing method is FIFO, First In, First Out.



Resource Management Account  
December 2013

J HOWARD COBLE

Account name:  
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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/interest period	Days in period	Cap amount (\$)
UBS BANK USA DEP ACCT	513.27	274.93					250,000.00

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ACTAVIS PLC Symbol: ACT Exchange: NYSE	Aug 15, 12	32,000	111.780	3,576.98	168.000	5,376.00	1,799.02	LT
ALCOA INC. Symbol: AA Exchange: NYSE EAI: \$58 Current yield: 1.13%	May 19, 09	485,000	10.127	4,911.76	10.630	5,155.55	243.79	LT
ARCH COAL INC. Symbol: ACT Exchange: NYSE EAI: \$274 Current yield: 2.70%	Nov 20, 13	2,280,000	4.392	10,014.17	4.450	10,146.00	131.83	ST
AVON PRODUCTS INC. Symbol: AVP Exchange: NYSE EAI: \$163 Current yield: 1.39%	Dec 21, 12	680,000	14.824	10,080.58	17.220	11,709.60	1,629.02	LT
BANK OF AMER CORP Symbol: BAC Exchange: NYSE EAI: \$30 Current yield: 0.26%	Aug 15, 12	750,000	8.100	6,075.26	15.570	11,677.50	5,602.24	LT

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Resource Management Account  
December 2013

J HOWARD COBLE

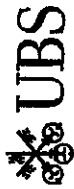
Account name:  
Account number:

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>CITIGROUP INC</b>								
Symbol: C Exchange: NYSE								
EAI: \$9 Current yield: 0.07%	Aug 15, 12	235,000	29.538	6,941.44	52.110	12,245.85	5,304.41	LT
<b>DONNELLEY (R.R.) &amp; SONS CO</b>								
Symbol: RRD Exchange: OTC								
EAI: \$546 Current yield: 5.13%	Feb 19, 13	525,000	10.367	5,442.91	20.280	10,647.00	5,204.09	ST
<b>EBAY INC</b>								
Symbol: EBAY Exchange: OTC								
Symbol: EW Exchange: NYSE	Dec 23, 13	145,000	56.341	8,169.48	54.865	7,955.42	-214.06	ST
<b>EDWARDS LIFESCIENCES CORP</b>								
Symbol: EW Exchange: NYSE	Aug 28, 13	140,000	72.409	10,137.26	65.760	9,206.40	-930.86	ST
<b>EMC CORP MASS</b>								
Symbol: EMC Exchange: NYSE								
EAI: \$206 Current yield: 1.59%	Nov 2, 06	175,000	12.607	2,206.26	25.150	4,401.25	2,194.99	LT
<b>Security total</b>								
	Apr 17, 13	340,000	23.379	7,948.95	25.150	8,551.00	602.05	ST
		515,000	19.719	10,155.21		12,952.25	2,797.04	
<b>ENDO HEALTH SOLUTIONS INC</b>								
Symbol: ENDP Exchange: OTC	Feb 19, 13	165,000	29.711	4,902.35	67.460	11,130.90	6,228.55	ST
<b>FOREST LABORATORIES</b>								
Symbol: FRX Exchange: NYSE	Oct 22, 08	215,000	23.155	4,978.51	60.030	12,906.45	7,927.94	LT
<b>FRONTIER COMMUNICATIONS CORP</b>								
Symbol: FTR Exchange: OTC								
EAI: \$13 Current yield: 8.74%	Feb 19, 08	32,000	8.884	284.31	4.650	148.80	-135.51	LT
<b>GOODYEAR TIRE &amp; RUBBER CO</b>								
Symbol: GT Exchange: OTC								
EAI: \$76 Current yield: 0.84%	May 18, 10	380,000	13.241	5,031.60	23.850	9,063.00	4,031.40	LT
<b>HARTFORD FINCL SERVICES GROUP INC</b>								
Symbol: HIG Exchange: NYSE								
EAI: \$174 Current yield: 1.66%	Feb 23, 12	290,000	21.112	6,122.62	36.230	10,506.70	4,384.08	LT
<b>HEWLETT PACKARD CO</b>								
Symbol: HPQ Exchange: NYSE								
EAI: \$250 Current yield: 2.08%	Sep 14, 12	430,000	18.706	8,043.85	27.980	12,031.40	3,987.55	LT
<b>HILLSHIRE BRANDS CO</b>								
Symbol: HSH Exchange: NYSE								
EAI: \$224 Current yield: 2.09%	Oct 7, 13	320,000	31.617	10,117.72	33.440	10,700.80	583.08	ST

continued next page



Resource Management Account  
December 2013

Account name:  
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J HOWARD COBLE

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336-854-7000/800-821-0355

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
IMPAX LABORATORIES INC								
Symbol: IPXL Exchange: OTC	Dec 23, 13	315.000	25.811	8,130.63	25.140	7,919.10	-211.53	ST
KEYCORP NEW								
Symbol: KEY Exchange: NYSE								
EAI: \$180 Current yield: 1.64%	Dec 14, 09	820.000	6.316	5,179.84	13.420	11,004.40	5,824.56	LT
LINCOLN NATL CORP IND								
Symbol: LNC Exchange: NYSE								
EAI: \$115 Current yield: 1.24%	May 19, 09	180.000	18.617	3,351.19	51.620	9,291.60	5,940.41	LT
NUANCE COMMUNICATIONS INC								
Symbol: NUAN Exchange: OTC	Nov 20, 13	610.000	16.474	10,049.69	15.200	9,272.00	-777.69	ST
PROTECTIVE LIFE CORP								
Symbol: PL Exchange: NYSE								
EAI: \$140 Current yield: 1.58%	Jan 8, 10	175.000	18.522	3,241.45	50.660	8,865.50	5,624.05	LT
SYMANTEC CORP								
Symbol: SYMC Exchange: OTC								
EAI: \$168 Current yield: 2.54%	Mar 24, 11	280.000	18.389	5,149.06	23.580	6,602.40	1,453.34	LT
TEXTRON INC								
Symbol: TXT Exchange: NYSE								
EAI: \$14 Current yield: 0.22%	Sep 8, 10	175.000	19.238	3,366.80	36.760	6,433.00	3,066.20	LT
VERIFONE SYSTEMS INC								
Symbol: PAY Exchange: NYSE	Jun 18, 13	605.000	16.588	10,035.74	26.820	16,226.10	6,190.36	ST
WEATHERFORD INTL LTD CHF								
Symbol: WFT Exchange: NYSE								
CHF Exchange rate: 0.88935	Dec 21, 12	915.000	10.975	10,042.33	15.490	14,173.35	4,131.02	LT
Total				\$173,532.74		\$253,347.07	\$79,814.33	

Total estimated annual income: \$2,640



Resource Management Account  
December 2013

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## Your assets • Equities (continued)

### Mutual funds

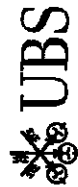
**Total reinvested** is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

**Cost basis** is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

**Unrealized (tax) gain or loss** is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

**Investment return** is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
DAVIS NEW YORK VENTURE									
FD CL A									
Symbol: NYVTX									
Trade date: Feb 9, 96	317,000	15.834	5,019.50	5,019.50	41.410	13,126.97	8,107.47		LT
Trade date: Oct 10, 97	225,000	25.026	5,631.04	5,631.04	41.410	9,317.25	3,686.21		LT
Trade date: Oct 31, 97	210,000	23.755	4,988.65	4,988.65	41.410	8,696.10	3,707.45		LT
Trade date: Mar 23, 98	991,000	25.205	24,978.55	24,978.55	41.410	41,037.31	16,058.76		LT
Trade date: Dec 14, 05	40,000	33.940	1,357.60	1,357.60	41.410	1,656.40	298.80		LT
Trade date: Dec 14, 06	41,000	38.260	1,568.66	1,568.66	41.410	1,697.81	129.15		LT
Trade date: Nov 27, 07	13,000	38.670	502.71	502.71	41.410	538.33	35.62		LT
	43,000	— This information was unavailable —			41.410	1,780.63			
Total reinvested	673,620	34.270	23,085.33	23,085.33	41.410	27,894.60	4,809.27		
EAI: \$523 Current yield: 0.49%									
Security total	2,553,620	26.739	44,046.71	67,132.04		105,745.40	36,832.73		
FEDERATED KAUFMAN									
FUND CLASS A									
Symbol: KAUAX									
Trade date: Jan 14, 04	5,148,000	5.381	27,701.59	27,701.59	6.160	31,711.67	4,010.08		LT
Trade date: Nov 27, 07	163,000	5.900	961.70	961.70	6.160	1,004.08	42.38		LT
Trade date: Dec 18, 13	245,000	6.870	1,683.15	1,683.15	6.160	1,509.20	-173.95		ST
Total reinvested	4,158,779	5.618	23,367.71	23,367.71	6.160	25,618.07	2,250.36		
Security total	9,714,779	5.529	30,346.44	53,714.15		59,843.03	6,128.87	29,496.58	
Total			\$74,393.15	\$120,846.19		\$165,588.43	\$42,961.60	\$29,496.58	
Total estimated annual income: \$523									



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## Your assets • Equities (continued)

### Other equity investments

Cost basis and gains and losses have not been adjusted automatically for return of capital payments. Restricted security values are estimated for informational purposes. See *Important information about your statement* at the end of this document for additional information.

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>ALLIANCE BERNSTEIN HLDG LP MLP</b>								
Symbol: AB Exchange: NYSE								
EAT: \$640 Current yield: 7.50%	Aug 15, 12	400,000	13.297	5,319.10	21.340	8,536.00	3,216.90	LT
<b>LINN ENERGY LLC UNITS REPSIG LTD LIABILITY CO INTS</b>								
Symbol: LINE Exchange: OTC								
EAT: \$1,160 Current yield: 9.42%	Aug 28, 13	400,000	25.120	10,048.19	30.790	12,316.00	2,267.81	ST
<b>Total</b>				<b>\$15,367.29</b>		<b>\$20,852.00</b>	<b>\$5,484.71</b>	

Total estimated annual income: \$1,800

### Warrants

Holding	Trade date	Number of warrants	Purchase price per warrant (\$)	Cost basis (\$)	Price per warrant on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>WARRANTS AMERICAN INTL GROUP INC</b>								
Expires: Jan 19, 21	Jan 20, 11	2,000	16.290	32.58	20.250	40.50	7.92	LT

## Your total assets

Cash	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
<b>Equities</b>						
Common stock		253,347.07		173,532.74	2,640.00	79,814.33
* Mutual funds		165,588.43		120,846.19	523.00	42,961.60
Other equity investments		20,852.00		15,367.29	1,800.00	5,484.71
Warrants		40.50		32.58		7.92
<b>Total equities</b>		<b>439,828.00</b>	<b>99.94%</b>	<b>309,778.80</b>	<b>4,963.00</b>	<b>128,268.56</b>
<b>Total</b>		<b>\$440,102.93</b>	<b>100.00%</b>	<b>\$310,053.73</b>	<b>\$4,963.00</b>	<b>\$128,268.56</b>

\* Missing cost basis information.



Resource Management Account  
December 2013

Account name:  
Account number:

J HOWARD COBLE

Your Financial Advisor:  
ROBERT C KETNER  
336-854-7000/800-821-0355

## Account activity this month

Date	Activity	Description	Amount (\$)
<b>Dividend and Interest Income</b>			
<i>Taxable dividends</i>			
Dec 2	Dividend	AVON PRODUCTS INC PAID ON	40.80
Dec 2	Dividend	DONNELLY (R.R.) & SONS CO PAID ON	315.90
Dec 2	Dividend	GOODYEAR TIRE & RUBBER CO PAID ON	19.00
Dec 2	Dividend	PROTECTIVE LIFE CORP PAID ON	35.00
Dec 13	Dividend	ARCH COAL INC PAID ON	68.40
Dec 13	Dividend	KEYCORP NEW PAID ON	45.10
Dec 18	Dividend	SYMANTEC CORP PAID ON	42.00
Dec 19	Dividend	AMERICAN INTL GROUP INC COM NEW PAID ON	0.50
Dec 20	St Cap Gain	DAVIS NEW YORK VENTURE FD CL A SHORT TERM CAPITAL GAIN	139.19
Dec 20	Dividend	DAVIS NEW YORK VENTURE FD CL A	170.93
Dec 20	Lt Cap Gain	DAVIS NEW YORK VENTURE FD CL A LONG TERM CAPITAL GAIN	4,200.07
Dec 27	Dividend	BANK OF AMER CORP PAID ON	7.50
Dec 30	Lt Cap Gain	FEDERATED KAUFMAN FUND CLASS A LONG TERM CAPITAL GAIN	7,481.34
Dec 30	Dividend	FRONTIER COMMUNICATIONS CORP PAID ON	3.20
<b>Total taxable dividends</b>			<b>\$12,568.93</b>
Dec 17	Dividend	LINN ENERGY LLC UNITS REPSTG LTD LIABILITY CO INTS PAID ON	96.64
<b>Total miscellaneous</b>			<b>\$96.64</b>
<b>Total dividend and interest income</b>			<b>\$12,665.57</b>
<b>Fees</b>			
Dec 5	Fee Charge	ANNUAL FEE CHARGE	-150.00
<b>Total annual fees</b>			<b>-\$150.00</b>

### Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 18	Transfer	FEDERATED KAUFMAN FUND CLASS A TRANSFER FROM AD-17088	245,000	1,683.15				
Dec 20	Reinvestment	DAVIS NEW YORK VENTURE FD CL A ST CAP GAINS REINVESTED AT 40.37 NAV ON 12/19/13	3,448				-139.19	

continued next page



Resource Management Account  
December 2013

Account name:  
Account number:

J HOWARD COBLE

Your Financial Advisor:  
ROBERT C KETNER  
336-854-7000/800-821-0355

### Account activity this month (continued)

#### Investment transactions (continued)

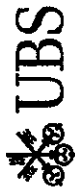
Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 20	Reinvestment	DAVIS NEW YORK VENTURE FD CL A DIVIDEND REINVESTED AT 40.37 NAV ON 12/19/13	4.234				-170.93	
Dec 20	Reinvestment	DAVIS NEW YORK VENTURE FD CL A LT CAP GAINS REINVESTED AT 40.37 NAV ON 12/19/13	104.039				-4,200.07	
Dec 27	Sold	AMERICAN INTL GROUP INC COM NEW	-5.000		51.271000	238.28		
Dec 27	Sold	DONNELLEY (R.R.) & SONS CO	-445.000		19.164000	8,292.02		
Dec 27	Bought	EBAY INC	145.000		54.795310		-8,169.48	
Dec 27	Bought	IMPAX LABORATORIES INC	315.000		25.102333		-8,130.63	
Dec 27	Sold	NUANCE COMMUNICATIONS INC	-475.000		15.194000	7,007.43		
Dec 30	Reinvestment	FEDERATED KAUFMAN FUND CLASS A LT CAP GAINS REINVESTED AT 6.13 NAV ON 12/27/13	1,220.447				-7,481.34	
Total				\$1,683.15		\$15,537.73	-\$28,291.64	

#### Securities transferred in

Date	Activity	Description	Amount (\$)
Nov 29	Balance forward		\$513.27
Dec 3	Deposit	UBS BANK USA DEPOSIT ACCOUNT	410.70
Dec 6	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT	-150.00
Dec 16	Deposit	UBS BANK USA DEPOSIT ACCOUNT	113.50
Dec 18	Deposit	UBS BANK USA DEPOSIT ACCOUNT	96.64
Dec 19	Deposit	UBS BANK USA DEPOSIT ACCOUNT	42.00
Dec 27	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT	-761.88
Dec 30	Deposit	UBS BANK USA DEPOSIT ACCOUNT	7.50
Dec 31	Deposit	UBS BANK USA DEPOSIT ACCOUNT	3.20
Dec 31	Closing UBS Bank USA Deposit Account		\$274.93

The UBS Bank USA Deposit Account is your primary sweep option.





Resource Management Account  
December 2013

Account name:  
Account number:

J HOWARD COBLE

Your Financial Advisor:  
ROBERT C KETNER  
336-854-7000/800-821-0355

## Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See Important Information about your statement at the end of this document for more

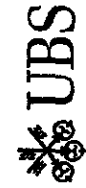
information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

### Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
DONNELLEY (R.R.) & SONS CO	FIFO	445,000	Feb 19, 13	Dec 23, 13	8,292.02	4,613.51			3,678.51
NUANCE COMMUNICATIONS INC	FIFO	475,000	Apr 17, 13	Dec 23, 13	7,007.43	10,047.31		-3,039.88	
<b>Total</b>					<b>\$15,299.45</b>	<b>\$14,660.82</b>		<b>-\$3,039.88</b>	<b>\$3,678.51</b>
<b>Net short-term capital gains and losses</b>									<b>\$638.63</b>

### Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AMERICAN INTL GROUP INC COM NEW	FIFO	5,000	Mar 26, 08	Dec 23, 13	238.28	4,782.36		-4,544.08	
<b>Net capital gains/losses:</b>								<b>-\$3,905.45</b>	



UBS Financial Services Inc.  
3200 Northline Avenue  
Suite 100  
Greensboro NC 27408-7600

# Resource Management Account

December 2013

J HOWARD COBLE

Account name: J HOWARD COBLE

Account number:

Your Financial Advisor:

ROBERT C KETNER

Phone: 336-854-7000/800-821-0355

Questions about your statement?

Call your Financial Advisor or the  
RMA ResourceLine at 800-RMA-1000,  
account 011017085.

Visit our website:

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

Items for your attention

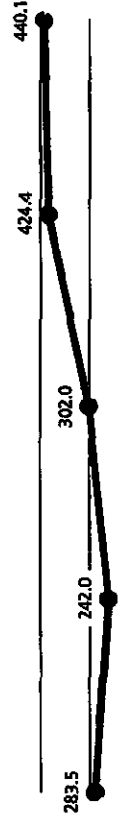
► If you use UBS Online Services, consider  
changing your User Name and Password  
regularly to protect your personal data.  
Not enrolled? Go to  
[ubs.com/online services](http://ubs.com/online services).

## Value of your account

	on November 29 (\$)	on December 31 (\$)
Your assets	424,426.82	440,102.93
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$424,426.82</b>	<b>\$440,102.93</b>

## Tracking the value of your account

\$ Thousands



### Sources of your account growth during 2013

Value of your account at year end 2012	\$301,985.47
Net deposits and withdrawals	\$1,525.05
Your investment return:	
Dividend and interest income	\$23,299.49
Change in market value	\$113,292.92
<b>Value of your account on Dec 31, 2013</b>	<b>\$440,102.93</b>

## Home Voice 1: 336-852-4956

Short Name: COBLE Type: RMA Status: Active FA: ADRK Features: HH, Premier

Address: J Howard Coble Money Mgr: N/A Objective: Curr Income &amp; Cap App Risk: Moderate Activity as of (Intra): N/A Activity as of: 04/30/14 Last Stmt: 03/14

Total Value:	Equity:	Money Funds/Bank Sweep:	Debit/Credit Balance:	Funds Available:	Buying Power:
470,844.73	468,902.75	1,940.47	0.00	161,254.47	318,628.00

Generated On: 05/01/2014

Account# in View: AD17085

UBS Financial Services

Filtered by: Entry Date 01/01/2013-12/31/2013, All Securities Transactions, Money Market Excluded, Exclude Debit Card

Entry Date	Settle Date	Activity	Description	Symbol / Security#	Type	Quantity	Price/Detail	Amount
12/30/13	12/30/13	REINVESTMENT	FEDERATED KAUFMAN FUND CLASS A	MFPSKA-54VAV0	1	1,220.447	REINVEST RIV	-\$7,481.34
12/23/13	12/27/13	BOUGHT	IMPAX LABORATORIES INC Trade#:77262 Blot:85	IPXL-22LAB1	2	315.000	\$25.102	-\$8,130.63
12/23/13	12/27/13	BOUGHT	EBAY INC Trade#:71469 Blot:85	EBAY-1405L8	2	145.000	\$54.795	-\$8,169.48
12/23/13	12/27/13	SOLD	DONNELLEY (R.R.) & SONS CO Trade#:89774 Blot:60	RRD-132365	2	-445.000	\$19.164	\$8,292.02
12/23/13	12/27/13	SOLD	NUANCE COMMUNICATIONS INC Trade#:88268 Blot:36	NUAN-346LX3	2	-475.000	\$15.194	\$7,007.43
12/23/13	12/27/13	SOLD	AMERICAN INTL GROUP INC COM NEW Trade#:88585 Blot:60	AUG-029QV8	2	-5.000	\$51.271	\$238.28
12/20/13	12/20/13	REINVESTMENT	DAVIS NEW YORK VENTURE FD CL A	MPVNA-544UF9	1	104.039	REINVEST RIV	-\$4,200.07
12/20/13	12/20/13	REINVESTMENT	DAVIS NEW YORK VENTURE FD CL A	MPVNA-544UF9	1	3.448	REINVEST SCG	-\$139.19
12/20/13	12/20/13	REINVESTMENT	DAVIS NEW YORK VENTURE FD CL A	MPVNA-544UF9	1	4.234	REINVEST DIV	-\$170.93
12/18/13	12/18/13	TRANSFER	FEDERATED KAUFMAN FUND CLASS A	MFPSKA-54VAV0	1	245.000	MIFTR	\$0.00
11/20/13	11/25/13	BOUGHT	NUANCE COMMUNICATIONS INC Trade#:21626 Blot:38	NUAN-346LX3	2	610.000	\$16.047	-\$10,049.69
11/20/13	11/25/13	BOUGHT	ARCH COAL INC Trade#:21996 Blot:60	ACT-016616	2	2,280.000	\$4.278	-\$10,014.17
11/20/13	11/25/13	SOLD	SAVIENT PHARMACEUTICALS INC Trade#:14220 Blot:36	SVNTQ-410284	2	-940.000	\$0.024	\$16.17
11/20/13	11/25/13	SOLD	DONNELLEY (R.R.) & SONS CO Trade#:17933 Blot:60	RRD-132365	2	-245.000	\$16.842	\$3,978.44
11/20/13	11/25/13	SOLD	ENDO HEALTH SOLUTIONS INC Trade#:14100 Blot:36	ENDP-131AK1	2	-170.000	\$64.114	\$10,618.20
10/07/13	10/10/13	BOUGHT	HILLSHIRE BRANDS CO Trade#:18885 Blot:85	HSB-2053X1	2	320.000	\$30.798	-\$10,117.72
10/07/13	10/10/13	SOLD	VODAFONE GROUP PLC NEW SPON ADR Trade#:18598 Blot:60	VOI-4953X9	2	-270.000	\$35.144	\$9,233.68
10/01/13	10/01/13	EXCHANGE	WARNER CHILCOTT PLC *MERGER-EFF-10/20/13*	4993Y2	2	-200.000	EXCHANGE	\$0.00
10/01/13	10/01/13	EXCHANGE	ACTAVIS PLC	ACT-075V58	2	32.000	EXCHANGE	\$0.00
08/28/13	09/03/13	BOUGHT	EDWARDS LIFESCIENCES CORP Trade#:44630 Blot:85	EW-141566	2	140.000	\$70.532	-\$10,137.26
08/28/13	09/03/13	BOUGHT	LINN ENERGY LLC UNITS REPSTG LTD LIABILITY CO Trade#:44766 Blot:38	LINE-2565U4	2	400.000	\$24.468	-\$10,048.19
08/28/13	09/03/13	SOLD	MORGAN STANLEY Trade#:44231 Blot:60	MS-2906K9	2	-355.000	\$25.593	\$8,838.40
08/28/13	09/03/13	SOLD	GENL ELECTRIC CO Trade#:43982 Blot:85	GE-174408	2	-305.000	\$23.201	\$6,869.71

08/28/13	09/03/13	SOLD	INTEL CORP Trade#:43944 Biot:36	INTC-226254	1	-250.000	\$22.283	\$5,393.98
07/02/13	07/02/13	REINVESTMENT	DAVIS NEW YORK VENTURE FD CL A	MPVNA-544UF9	1	8.139	REINVEST DIV	-\$305.04
07/02/13	07/02/13	REINVESTMENT	DAVIS NEW YORK VENTURE FD CL A	MPVNA-544UF9	1	164.582	REINVEST DIV	-\$5,168.52
07/02/13	07/02/13	REINVESTMENT	DAVIS NEW YORK VENTURE FD CL A	MPVNA-544UF9	1	9.646	REINVEST SCG	-\$351.53
06/18/13	06/21/13	BOUGHT	VERIFONE SYSTEMS INC Trade#:56897 Biot:85	PAY-491213	2	605.000	\$16.157	-\$10,035.74
06/18/13	06/21/13	SOLD	WARNER CHILCOTT PLC CL A Trade#:49944 Biot:36	WCRX-499372	2	-200.000	\$19.723	\$3,800.39
06/18/13	06/21/13	SOLD	CISCO SYSTEMS INC Trade#:50199 Biot:85	CSCO-091430	2	-220.000	\$24.850	\$5,292.52
04/17/13	04/22/13	BOUGHT	EMC CORP MASS Trade#:85047 Biot:60	EMC-137499	2	340.000	\$22.796	-\$7,948.95
04/17/13	04/22/13	BOUGHT	NULANCE COMMUNICATIONS INC Trade#:85336 Biot:38	NUAN-346LX3	2	475.000	\$20.638	-\$10,047.31
04/17/13	04/22/13	SOLD	SCHWAB CHARLES CORP NEW Trade#:79096 Biot:36	SCHW-412775	2	-270.000	\$16.504	\$4,319.28
04/17/13	04/22/13	SOLD	KNIGHT CAPITAL GROUP INC CL A Trade#:78966 Biot:85	KCG-241889	2	-500.000	\$3.652	\$1,740.71
04/17/13	04/22/13	SOLD	APPLIED MATERIALS INC Trade#:78293 Biot:36	AMAT-029611	2	-200.000	\$13.083	\$2,509.91
04/17/13	04/22/13	SOLD	BOSTON SCIENTIFIC CORP Trade#:79206 Biot:60	BSX-048003	2	-380.000	\$7.254	\$2,631.57
04/17/13	04/22/13	SOLD	PLETRONICS INTL LTD Trade#:78580 Biot:85	FLEX-153867	2	-550.000	\$6.722	\$3,532.70
04/17/13	04/22/13	SOLD	CORNING INC Trade#:78388 Biot:60	GLW-098191	2	-245.000	\$12.974	\$3,061.14
02/19/13	02/22/13	BOUGHT	ENDO HEALTH SOLUTIONS INC Trade#:37956 Biot:38	ENDP-131AK1	2	335.000	\$29.030	-\$9,953.25
02/19/13	02/22/13	BOUGHT	DONNELLY (R.R.) & SONS CO Trade#:38080 Biot:60	RRO-132365	2	970.000	\$10.068	-\$10,056.42
02/19/13	02/22/13	SOLD	BAXTER INTL INC Trade#:37670 Biot:60	BAX-064378	2	-110.000	\$68.243	\$7,334.91
02/19/13	02/22/13	SOLD	ATMEL CORP Trade#:37385 Biot:36	ATML-015342	2	-1,875.000	\$7.302	\$13,275.81

Page: 1 of 1 Rows: 1 - 42 of 42

2 Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

Intraday updates for Equity, Fixed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. Commission and fees for Equity trades are estimates, and may not match what is charged to the account. Fixed Income agency trades may not include commission and fees. Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund transfers, some trade corrections and some fees are not included intraday.

This report is for informational purposes only and may or may not include all holdings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The Firm's periodic account statements and official tax documents are the only official record of client accounts and are not superseded, replaced, or amended by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, for tax purposes or otherwise.



**SNAPSHOT**

**Current period ending December 31, 2013**

ACCOUNT NAME: HOWARD COBLE  
TOD REGISTRATION

ACCOUNT NUMBER: [REDACTED]

Your Financial Advisor:  
DON M WEBB 1725 N MAIN STREET  
Phone: 336-889-5588 / 800-929-0648 HIGH POINT NC 27262

If you have more than one account with us, why not link them and receive summary information for your entire household? Contact Your Financial Advisor for more details.

HOWARD COBLE  
TOD REGISTRATION  
5741 L BRAWLEGATE DRIVE  
GREENSBORO NC 27409-3032

**Message from Wells Fargo Advisors**

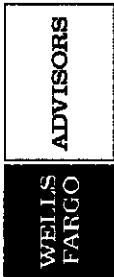
HOW COULD ECONOMIC AND MARKET CHALLENGES AFFECT YOUR PORTFOLIO IN 2014? IF YOU'RE LOOKING FOR THE ANSWER TO THIS AND OTHER IMPORTANT QUESTIONS, VISIT [WELLSFARGOADVISORS.COM/2014OUTLOOK](http://WELLSFARGOADVISORS.COM/2014OUTLOOK) TO VIEW OUR ON-DEMAND PRESENTATION AND REQUEST OUR SPECIAL REPORT.

Wells Fargo Advisors, LLC, brokerage account(s) carried by First Clearing, LLC.  
Wells Fargo Advisors, LLC and First Clearing, LLC, Members FINRA/SIPC are separate registered broker-dealers and non-bank affiliates of Wells Fargo & Company.

Investments and insurance products are:

NOT FDIC-INSURED	NO BANK GUARANTEE	MAY LOSE VALUE
------------------	-------------------	----------------

SNAPSHOT  
001 NO NDDW



# SNAPSHOT

HOWARD COBLE  
TOD REGISTRATION

DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: [REDACTED]

## Progress summary

	THIS PERIOD	THIS YEAR
Opening value	\$42,665.28	\$37,661.64
Cash deposited	0.00	0.00
Securities deposited	0.00	0.00
Cash withdrawn	0.00	0.00
Securities withdrawn	0.00	0.00
Income earned	122.37	1,931.63
Change in value	374.48	3,568.86
Closing value	\$43,162.13	\$43,162.13

As a Wells Fargo Advisors Client, you can easily simplify your finances by combining all your investing and banking into a single, easy-to-manage relationship. By upgrading to the Command Asset Program, you'll have access to many more features and benefits to help you manage your finances. You'll see all your investing and banking activity on one comprehensive monthly statement. It's as simple as talking with Your Financial Advisor. Ask them today about the Command Asset Program.

## Portfolio summary

ASSETS	ASSET TYPE	PREVIOUS VALUE ON NOV 30	%	CURRENT VALUE ON DEC 31	%	ESTIMATED ANN. INCOME
Cash and sweep balances		1,771.82	4.15	1,771.84	4.11	0
Stocks, options & ETFs		26,641.34	62.44	27,086.02	62.75	494
Fixed income securities		0.00	0.00	0.00	0.00	0
Mutual funds		14,252.12	33.40	14,304.27	33.14	95
Asset value		\$42,665.28	100%	\$43,162.13	100%	\$589

# **SNAPSHOT**

HOWARD COBLE  
TOD REGISTRATION

DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: [REDACTED]

## **Cash flow summary**

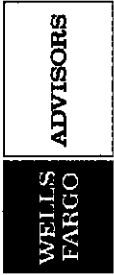
	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	\$1,771.82	
Income and distributions	122.37	1,931.63
<b>Net additions to cash</b>	<b>\$122.37</b>	<b>\$1,931.63</b>
Securities purchased	-122.35	-1,931.40
<b>Net subtractions from cash</b>	<b>-\$122.35</b>	<b>-\$1,931.40</b>
<b>Closing value of cash and sweep balances</b>	<b>\$1,771.84</b>	

## **Income summary**

	THIS PERIOD	THIS YEAR
<b>TAXABLE</b>		
Money market/sweep funds	0.02	0.23
Ordinary dividends and ST capital gains	91.69	541.28
Long term capital gains	30.66	1,379.31
<b>Total taxable income</b>	<b>\$122.37</b>	<b>\$1,920.82</b>
<b>Total federally tax-exempt income</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>Total income</b>	<b>\$122.37</b>	<b>\$1,920.82</b>

## **Gain/loss summary**

	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term (S)	0.00	0.00
Long term (L)	0.00	0.00
<b>Total</b>	<b>\$0.00</b>	<b>\$0.00</b>



HOWARD COBLE  
TOD REGISTRATION

DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: [REDACTED]

Your Financial Advisor

DON M WEBB  
Phone: 336-889-5588 / 800-929-0648

1725 N MAIN STREET  
HIGH POINT NC 27262

Please visit us at [www.wellsfargoadvisors.com](http://www.wellsfargoadvisors.com).

Account profile

Full account name:

Account type:

Brokerage account number:

Tax status:

Investment objective/Risk tolerance:

Time horizon:

Liquidity needs:

Cost Basis Election:

Sweep option:

HOWARD COBLE  
TOD REGISTRATION  
Standard Brokerage  
5982-6912  
Taxable  
LONG TERM GROWTH  
MODERATE (5-10 YEARS)  
NONE  
First in, First out  
BANK DEPOSIT SWEEP

\*For more information, go to [www.wellsfargoadvisors.com/disclosures](http://www.wellsfargoadvisors.com/disclosures).

For your consideration

Go paperless. Accessing all of your account documents online is easy and costs nothing. To participate, go to [wellsfargoadvisors.com](http://wellsfargoadvisors.com) and click on the Access Online "Client Login" link in the upper right hand corner of the homepage. If you already have a User ID and Password, please log in and click on the "Delivery Preferences" link found under the Accounts & Services tab to turn off paper delivery of your account documents. If you do not have a User ID and Password, please click on the "Sign up online" link on the right side of the Access Online login page or call 877-879-2495 for assistance.

Document delivery status

Statements:  
Trade confirmations:  
Tax documents:  
Shareholder communications:

Paper	Electronic
X	
X	
X	
X	



**HOWARD COBLE  
TOD REGISTRATION**

DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: [REDACTED]

**Portfolio detail**

**Cash and Sweep Balances**

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals. Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	0.01	1,771.84	0.17
Interest Period 12/01/13 - 12/31/13			
<b>Total Cash and Sweep Balances</b>		<b>\$1,771.84</b>	<b>\$0.17</b>

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

**Stocks, options & ETFs**

**Stocks and ETFs**

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
FIRST TRST HLTH CAREE nc ALPHADEX FUND FXH	85	28.46**	2,419.58		4,085.95	1,666.37		
Reinvestments	0.38600	32.17**	12.42		18.55	6.13		
<b>Total</b>	<b>85.38600</b>		<b>\$2,432.00</b>	<b>48.0700</b>	<b>\$4,104.50</b>	<b>\$1,672.50</b>	<b>\$0.46</b>	<b>0.01</b>
FIRST TRUST ET UTILITIES ALPHADEX FUND FXU	21	16.31	342.52		425.88	83.36		
Acquired 10/05/11 nc	1	16.58	16.58		20.28	3.70		
Acquired 10/07/11 nc	15	17.22	258.45		304.20	45.75		
Acquired 10/20/11 nc	25	17.53	438.33		506.99	68.66		
Reinvestments	4.71600	18.91	89.20		95.64	6.44		
<b>Total</b>	<b>66.71600</b>		<b>\$1,145.08</b>	<b>20.2800</b>	<b>\$1,352.99</b>	<b>\$207.91</b>	<b>\$55.97</b>	<b>4.14</b>



HOWARD COBLE  
TOD REGISTRATION

DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: [REDACTED]

Stocks, options & ETFs  
Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
FIRST TRUST CONSUMERS DISCRETIONARY ALPHADEX FUND	114	15.09**	1,720.78		3,676.50	1,955.72		
FXD Reinvestments	2,16200	23.26**	50.30		69.72	19.42		
<b>Total</b>	<b>116.16200</b>		<b>\$1,771.08</b>	<b>32.2500</b>	<b>\$3,746.22</b>	<b>\$1,975.14</b>	<b>\$13.12</b>	<b>0.35</b>
FIRST TRUST CONSUMER STAPLES ALPHADEX FUND	93	22.95**	2,135.10		3,316.37	1,181.27		
FXG Reinvestments	2,77000	27.54**	76.31		98.78	22.47		
<b>Total</b>	<b>95.77000</b>		<b>\$2,211.41</b>	<b>35.6600</b>	<b>\$3,415.15</b>	<b>\$1,203.74</b>	<b>\$55.06</b>	<b>1.61</b>
FIRST TRUST DOW JONES INTERNET INDEX FUND	45	25.01**	1,125.48	59.8600	2,693.70	1,568.22	N/A	N/A
FDN Reinvestments	57	21.56**	1,229.38		1,439.81	210.43		
<b>Total</b>	<b>1.16400</b>	<b>20.63**</b>	<b>24.02</b>	<b>25.2600</b>	<b>\$1,469.21</b>	<b>\$215.81</b>	<b>\$11.98</b>	<b>0.82</b>
FIRST TRUST LARGE ET CAP CORE ALPHADEX FUND	7	27.47	192.34		288.39	96.05		
FEX Acquired 11/09/11 nc Reinvestments	0.18600	32.74	6.09		7.66	1.57		
<b>Total</b>	<b>7.18600</b>		<b>\$198.43</b>	<b>41.2000</b>	<b>\$296.05</b>	<b>\$97.62</b>	<b>\$3.03</b>	<b>1.02</b>
FIRST TRUST MATERIAL nc ALPHADEX FUND	36	18.48**	665.57		1,166.91	501.34		
FXZ Reinvestments	1,45700	25.48**	37.13		47.23	10.10		
<b>Total</b>	<b>37.45700</b>		<b>\$702.70</b>	<b>32.4147</b>	<b>\$1,214.14</b>	<b>\$511.44</b>	<b>\$10.89</b>	<b>0.90</b>
FIRST TRUST S&P REIT nc INDEX FUND	80	14.22**	1,137.87		1,403.20	265.33		
FRI Reinvestments	4,33400	17.87**	77.46		76.01	-1.45		
<b>Total</b>	<b>84.33400</b>		<b>\$1,215.33</b>	<b>17.5400</b>	<b>\$1,479.21</b>	<b>\$263.88</b>	<b>\$45.79</b>	<b>3.10</b>

HOWARD COBLE  
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DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: [REDACTED]

**Stocks, options & ETFs**  
**Stocks and ETFs continued**

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
FIRST TRUST TECHNOLOGY ET								
ALPHADEX FUND								
FXL								
Acquired 08/01/11 nc	10	21.70	217.08		298.48	81.40		
Reinvestments	0.07400	23.37	1.73		2.21	0.48		
Total	10.07400		\$218.81	29.8500	\$300.69	\$81.88	\$0.97	0.32
ISHARES IBOXX \$ ET								
HIGH YIELD CORP BOND								
HYG								
Acquired 01/06/10 nc	1	89.15	89.16		92.88	3.72		
Acquired 05/20/10 nc	3	82.15	246.48		278.64	32.16		
Acquired 10/06/10 nc	2	89.10	178.21		185.76	7.55		
Acquired 12/15/10 nc	3	89.08	267.24		278.64	11.40		
Acquired 03/01/11 nc	1	91.93	91.93		92.88	0.95		
Reinvestments	1.24800	92.04	114.87		115.91	1.04		
Total	11.24800		\$987.89	92.8800	\$1,044.71	\$56.82	\$63.73	6.10
POWERSHARES DWA nc								
EMERGING MARKETS	131	14.98**	1,962.99		2,380.26	417.27		
MOMENTUM								
PIE								
Reinvestments	2.59500	17.79**	46.17		47.15	0.98		
Total	133.59500		\$2,009.16	18.1700	\$2,427.41	\$418.25	\$29.79	1.23
POWERSHARES FD TR II ET								
CEF INCM COMPOSITE PORT								
PCEF								
Acquired 11/16/10 nc	8	24.97	199.76		194.72	-5.04		
Acquired 12/15/10 nc	2	24.69	49.39		48.68	-0.71		
Acquired 02/14/11 nc	18	25.76	463.84		438.12	-25.72		
Acquired 02/16/11 nc	20	25.67	513.51		486.80	-26.71		
Acquired 10/25/11 nc	17	23.54	400.19		413.78	13.59		
Reinvestments	10.22000	25.04	255.93		248.75	-7.18		
Total	75.22000		\$1,882.62	24.3400	\$1,830.85	-\$51.77	\$148.93	8.13
POWERSHARES SENIOR ET								
LOAN PORTFOLIO								
BKLN								
Acquired 08/04/11 nc	10	24.32	243.26		248.80	5.54		



HOWARD COBLE  
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DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: [REDACTED]

## Stocks, options & ETFs

### Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 08/09/11 nc	20	23.16	463.39		497.59	34.20		
Acquired 09/22/11 nc	9	23.17	208.62		223.92	15.30		
Reinvestments	3,60700	24.76	89.33		89.74	0.41		
<b>Total</b>	<b>42,60700</b>		<b>\$1,004.60</b>	<b>24.8800</b>	<b>\$1,060.05</b>	<b>\$55.45</b>	<b>\$46.65</b>	<b>4.40</b>
SPDR BARCLAYS ET INTERNATIONAL TREASURY BOND								
BWX								
Acquired 08/30/11 nc	3	63.48	190.46		173.22	-17.24		
Acquired 09/01/11 nc	4	62.73	250.94		230.96	-19.98		
Reinvestments	0,25500	59.09	15.07		14.72	-0.35		
<b>Total</b>	<b>7,25500</b>		<b>\$456.47</b>	<b>57.7400</b>	<b>\$418.90</b>	<b>-\$37.57</b>	<b>\$7.77</b>	<b>1.85</b>
SPDR GOLD TRUST ET GLD								
Acquired 09/22/11 nc	1	168.63	168.64		116.12	-52.52		
Acquired 10/20/11 nc	1	157.03	157.04		116.12	-40.92		
<b>Total</b>	<b>2</b>		<b>\$325.68</b>	<b>116.1200</b>	<b>\$232.24</b>	<b>-\$93.44</b>	<b>N/A</b>	<b>N/A</b>
<b>Total Stocks and ETFs</b>			<b>\$18,940.14</b>		<b>\$27,086.02</b>	<b>\$8,145.88</b>	<b>\$494.14</b>	<b>1.82</b>
			<b>\$18,940.52</b>					
<b>Total Stocks, options &amp; ETFs</b>			<b>\$18,940.14</b>		<b>\$27,086.02</b>	<b>\$8,145.88</b>	<b>\$494.14</b>	<b>1.82</b>

\*\* Because you have more than 6 tax lots, we are showing the average cost per share.  
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

**HOWARD COBLE  
TOD REGISTRATION**

DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: [REDACTED]

**Mutual Funds**

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

**Open End Mutual Funds**

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return. ESTIMATED

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME	ANNUAL YIELD (%)
RS INVT TR EMERGING MKTS FD CL C REMGX Acquired 12/23/09 nc Reinvestments m	390.97500 94.74900	19.17 15.96	7,500.00 1,512.29		6,032.74 1,461.98	-1,467.26 -50.31		
<b>Total</b>	<b>485.72400</b>		<b>\$9,012.29</b>	<b>15.4300</b>	<b>\$7,494.72</b>	<b>-\$1,517.57</b>	<b>N/A</b>	<b>N/A</b>
Client Investment (Excluding Reinvestments)								
Gain/Loss on Client Investment (Including Reinvestments)								
OPPENHEIMER DEVELOPING MARKETS FUNDS CL C ODVCX Acquired 10/21/10 nc Reinvestments m	150.86100 2.57300	33.11 30.85	5,000.00 79.40		5,465.69 93.22	465.69 13.82		
<b>Total</b>	<b>153.43400</b>		<b>\$5,079.40</b>	<b>36.2300</b>	<b>\$5,558.91</b>	<b>\$479.51</b>	<b>N/A</b>	<b>N/A</b>
Client Investment (Excluding Reinvestments)								
Gain/Loss on Client Investment (Including Reinvestments)								
<b>Total Open End Mutual Funds</b>			<b>\$14,091.69</b>		<b>\$13,053.63</b>	<b>-\$1,038.06</b>		

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS.  
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.



HOWARD COBLE  
TOD REGISTRATION

DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: [REDACTED]

### Mutual Funds

#### Closed End Mutual Funds

Closed End Fund shares are priced at the market which may be more or less than its net asset value.

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
EATON VANCE SENIOR FLOATING RATE FUND EFR								
Acquired 10/20/11 nc Reinvestments	45 5.92400	14.14 16.09	636.32 95.33		677.70 89.21	41.38 -6.12		
<b>Total</b>	<b>50.92400</b>		<b>\$731.65</b>	<b>15.0600</b>	<b>\$766.91</b>	<b>\$35.26</b>	<b>\$50.72</b>	<b>6.61</b>
Client Investment (Excluding Reinvestments)								
Gain/Loss on Client Investment (Including Reinvestments)								
					\$636.32			
					\$130.59			

EATON VANCE FUNDS TAX MANAGED DIVERSIFIED EQUITY ETY								
Acquired 08/09/11 nc	22	8.92	183.76		240.24	56.48		
Acquired 10/03/11 nc	13	8.36	105.03		141.96	36.93		
Reinvestments m	9.29800	9.62	89.45		101.53	12.08		
<b>Total</b>	<b>44.29800</b>		<b>\$378.24</b>	<b>10.9200</b>	<b>\$483.73</b>	<b>\$105.49</b>	<b>\$44.82</b>	<b>9.27</b>
Client Investment (Excluding Reinvestments)								
Gain/Loss on Client Investment (Including Reinvestments)								
			<b>\$379.75</b>		\$288.79			
					\$194.94			

<b>Total Closed End Mutual Funds</b>			<b>\$1,109.89</b>		<b>\$1,250.64</b>	<b>\$140.75</b>	<b>\$95.54</b>	<b>7.64</b>
			<b>\$1,111.40</b>					
<b>Total Mutual Funds</b>			<b>\$15,201.58</b>		<b>\$14,304.27</b>	<b>-\$897.31</b>	<b>\$95.54</b>	<b>0.67</b>
			<b>\$15,203.09</b>					

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS.  
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

HOWARD COBLE  
TOD REGISTRATION

DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: [REDACTED]

### Activity detail

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01				BEGINNING BALANCE			1,771.82
12/06	Cash	DIVIDEND		ISHARES IBOXX \$ ETF HIGH YIELD CORP BOND 120613 11.19400		5.04	
12/06	Cash	REINVEST DIV	0.05400	ISHARES IBOXX \$ ETF HIGH YIELD CORP BOND REINVEST AT 92.684		-5.04	
12/09	Cash	LT CAP GAIN		OPPENHEIMER DEVELOPING MARKETS FUNDS CL C 120913 .23400		27.36	
12/09	Cash	REINVESTMENT	0.76500	OPPENHEIMER DEVELOPING MARKETS FUNDS CL C REINVEST AT 35.780		-27.36	
12/10	Cash	DIVIDEND		SPDR BARCLAYS ETF INTERNATIONAL TREASURY BOND 121013 7.24200		0.74	
12/10	Cash	REINVEST DIV	0.01300	SPDR BARCLAYS ETF INTERNATIONAL TREASURY BOND REINVEST AT 58.296		-0.74	
12/20	Cash	LT CAP GAIN		RS INVT TR EMERGING MKTS FD CL C 122013 485.50600		3.30	
12/20	Cash	REINVESTMENT	0.21800	RS INVT TR EMERGING MKTS FD CL C REINVEST AT 15.150		-3.30	
12/31	Cash	DIVIDEND		EATON VANCE SENIOR FLOATING RATE FUND 123113 50.65500		4.05	
12/31	Cash	DIVIDEND		EATON VANCE FUNDS TAX MANAGED DIVERSIFIED EQUITY 123113 43.96000		3.71	
12/31	Cash	DIVIDEND		FIRST TRUST TECHNLOGY ETF ALPHADEX FUND 123113 10.06700		0.22	
12/31	Cash	DIVIDEND		FIRST TRUST S&P REIT ETF INDEX FUND 123113 83.46500		15.40	
							1,771.82



HOWARD COBLE  
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DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: [REDACTED]

Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/31	Cash	DIVIDEND		FIRST TRUST CONSUMERETF DISCRETIONARY ALPHADEX FUND 123113 116		5.23	
12/31	Cash	DIVIDEND		FIRST TRUST MATERIAL ETF ALPHADEX FUND 123113 37.36200		3.09	
12/31	Cash	DIVIDEND		FIRST TRUST LARGE ETF CAP CORE ALPHADEX FUND 123113 7.16600		0.83	
12/31	Cash	DIVIDEND		FIRST TRUST ENERGY ETF ALPHADEX FUND 123113 58.03600		3.22	
12/31	Cash	DIVIDEND		FIRST TRUST CONSUMER ETF STAPLES ALPHADEX FUND 123113 95.48600		10.12	
12/31	Cash	DIVIDEND		FIRST TRUST ETF UTILITIES ALPHADEX FUND 123113 66.15900		11.31	
12/31	Cash	DIVIDEND		POWERSHARES DWA ETF EMERGING MARKETS MOMENTUM 123113 132.93500		11.96	
12/31	Cash	DIVIDEND		POWERSHARES FD TR II ETF CEF INCM COMPOSITE PORT 123113 74.67800		13.20	
12/31	Cash	DIVIDEND		POWERSHARES SENIOR ETF LOAN PORTFOLIO 123113 42.46300		3.57	
12/31	Cash	INTEREST		BANK DEPOSIT SWEEP 123113 1.771		0.02	
12/31	Cash	REINVEST DIV	0.26900	EATON VANCE SENIOR FLOATING RATE FUND REINVEST AT 15.071		-4.05	
12/31	Cash	REINVEST DIV	0.33800	EATON VANCE FUNDS TAX MANAGED DIVERSIFIED EQUITY REINVEST AT 10.988		-3.71	
12/31	Cash	REINVEST DIV	0.00700	FIRST TRUST TECHNLOGY ETF ALPHADEX FUND REINVEST AT 29.710		-0.22	



**HOWARD COBLE  
TOD REGISTRATION**

DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: [REDACTED]

**Activity detail continued**

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/31	Cash	REINVEST DIV	0.86900	FIRST TRUST S&P REIT ETF INDEX FUND REINVEST AT 17.721		-15.40	
12/31	Cash	REINVEST DIV	0.16200	FIRST TRUST CONSUMERETF DISCRETIONARY ALPHADEX FUND REINVEST AT 32.290		-5.23	
12/31	Cash	REINVEST DIV	0.09500	FIRST TRUST MATERIAL ETF ALPHADEX FUND REINVEST AT 32.566		-3.09	
12/31	Cash	REINVEST DIV	0.02000	FIRST TRUST LARGE ETF CAP CORE ALPHADEX FUND REINVEST AT 41.102		-0.83	
12/31	Cash	REINVEST DIV	0.12800	FIRST TRUST ENERGY ETF ALPHADEX FUND REINVEST AT 25.075		-3.22	
12/31	Cash	REINVEST DIV	0.28400	FIRST TRUST CONSUMERETF STAPLES ALPHADEX FUND REINVEST AT 35.689		-10.12	
12/31	Cash	REINVEST DIV	0.55700	FIRST TRUST ETF UTILITIES ALPHADEX FUND REINVEST AT 20.301		-11.31	
12/31	Cash	REINVEST DIV	0.66000	POWERSHARES DWA ETF EMERGING MARKETS MOMENTUM REINVEST AT 18.115		-11.96	
12/31	Cash	REINVEST DIV	0.54200	POWERSHARES FT II ETF CEF INCM COMPOSITE PORT REINVEST AT 24.360		-13.20	
12/31	Cash	REINVEST DIV	0.14400	POWERSHARES SENIOR ETF LOAN PORTFOLIO REINVEST AT 24.860		-3.57	
							1,771.84



HOWARD COBLE  
TOD REGISTRATION

DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: [REDACTED]

### Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
12/01	REINVEST INT	BEGINNING BALANCE	1,771.82	12/31	ENDING BALANCE		1,771.84
12/31		BANK DEPOSIT SWEEP	0.02				

### Specific instructions and disclosures

#### Cost basis - To add or update information or modify your reporting options, please contact Your Financial Advisor.

This statement presents estimated unrealized or realized gains or losses for your information only. If acquisition or other information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information is not verified by FCC or Wells Fargo Advisors and should not be relied upon for legal or tax purposes. Revisions to this information (due to corporate mergers, tenders and other reorganizations) may be required from time to time.

Cost basis for factored bonds (GNMA, CMO, etc.) will be adjusted for payoff of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average.

Your account statement should not be used for tax preparation without assistance from your tax consultant. We do not report capital gains or losses for non-covered securities to the IRS.

Cost basis options  
Unless specific tax lots are selected at trade time, sales of tax lots will occur using the cost basis election reflected in the Account profile section.

## Account Workbook

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WELLS FARGO

NO. 963 P. 2

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Filter Set: 01/01/2013 - 12/31/2013

ACCT: [REDACTED]

## Household

## Account Information

5982-6912 NDDW

HOWARD COBLE

TOD REGISTRATION

[REDACTED]

## Details

Registration A-Individual  
Product Type STANDARD BROKERAGE  
CSI 4C-Street Name-Hold/Hold All Funds  
MMF Code BDS-FDIC-Insured Bank Deposit  
Investment Obj E-Long Term Growth

## Balances

Total Acct Val 43,564.33  
Total Market Val 41,792.41  
Funds Available 1,771.92  
Total Cash W/O Borrowing 1,771.92  
Cash Bal  
Margin Bal  
MMF Bal 1,771.92  
Free CR/Misc DR  
Fed Call

Birth Date 3/18/1931 (83)

## Contact Information

Home Phone 336/852-4956 Business Phone 336/886-5106  
Fax Phone 336/886-8740

	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
1	12/31/2013	12/31/2013	1		EATON VANCE FUNDS ED P TAX MANAGED DIVERSIFIED EQUITY	ETY		TREI	0.00
2	12/31/2013	12/31/2013	1		FIRST TRUST TECH-INGVDP ALPHADEX FUND	FXL		TREI	
3	12/31/2013	12/31/2013	1		FIRST TRUST S&P REITDP INDEX FUND	FRI		TREI	
4	12/31/2013	12/31/2013	1		FIRST TRUST CONSUMEREDP DISCRETIONARY ALPHADEX FUND	FXD		TREI	
5	12/31/2013	12/31/2013	1		FIRST TRUST MATERIALEDP ALPHADEX FUND	FXZ		TREI	
6	12/31/2013	12/31/2013	1						

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## Account Workbook

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Filter Set: 01/01/2013 - 12/31/2013

ACT:	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
									0.00
7	12/31/2013	12/31/2013	1		FIRST TRUST LARGE EDP	FEX		TREI	
					CAP CORE ALPHADEX FUND				
8	12/31/2013	12/31/2013	1		FIRST TRUST ENERGY EDP	FXN		TREI	
					ALPHADEX FUND				
9	12/31/2013	12/31/2013	1		FIRST TRUST CONSUMEREDP	FXG		TREI	
					STAPLES ALPHADEX FUND				
10	12/31/2013	12/31/2013	1		FIRST TRUST EDP	FXU		TREI	
					UTILITIES ALPHADEX FUND				
11	12/31/2013	12/31/2013	1		POWERSHARES DWA EDP	PIE		TREI	
					EMERGING MARKETS				
					MOMENTUM				
12	12/31/2013	12/31/2013	1		POWERSHARES FD TR IIEDP	PCBF		TREI	
					CEF INCM COMPOSITE PORT				
13	12/31/2013	12/31/2013	1		POWERSHARES SENIOR EDP	BKLN		TREI	
					LOAN PORTFOLIO				
14	12/31/2013	12/31/2013	1		EATON VANCE SENIOR	EFR		DIV	4.05
					FLOATING RATE FUND				
15	12/31/2013	12/31/2013	1	0.26900	EATON VANCE SENIOR EDP	EFR		RDIV	-4.05
					FLOATING RATE FUND				
16	12/31/2013	12/31/2013	1		EATON VANCE FUNDS	ETY		DIV	3.71
					TAX MANAGED DIVERSIFIED				
					EQUITY				
					123113 43.96000				
17	12/31/2013	12/31/2013	1	0.33800	EATON VANCE FUNDS EDP	ETY		RDIV	-3.71
					TAX MANAGED DIVERSIFIED				
					EQUITY				
18	12/31/2013	12/31/2013	1		FIRST TRUST TECHNLGY	FXL		DIV	0.22
					ALPHADEX FUND				
					123113 10.06700				

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Filter Set: 01/01/2013 - 12/31/2013

ACCT:	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
19	12/31/2013	12/31/2013	1	0.00700	FIRST TRUST TECHNLGY EDP ALPHADEX FUND	FXL		RDIV	0.00
20	12/31/2013	12/31/2013	1		FIRST TRUST S&P REIT INDEX FUND	FRI		DIV	-0.22
21	12/31/2013	12/31/2013	1	0.86900	83.46500 FIRST TRUST S&P REIT EDP INDEX FUND	FRI		RDIV	15.40
22	12/31/2013	12/31/2013	1		FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FUND	FXD		DIV	-15.40
23	12/31/2013	12/31/2013	1	0.16200	116 FIRST TRUST CONSUMER EDP DISCRETIONARY ALPHADEX FUND	FXD		RDIV	5.23
24	12/31/2013	12/31/2013	1		FIRST TRUST MATERIAL ALPHADEX FUND	FXZ		DIV	-5.23
25	12/31/2013	12/31/2013	1	0.09500	37.36200 FIRST TRUST MATERIAL EDP ALPHADEX FUND	FXZ		RDIV	3.09
26	12/31/2013	12/31/2013	1		FIRST TRUST LARGE CAP CORE ALPHADEX FUND	FEX		DIV	-3.09
27	12/31/2013	12/31/2013	1	0.02000	7.16600 FIRST TRUST LARGE EDP CAP CORE ALPHADEX FUND	FEX		RDIV	0.83
28	12/31/2013	12/31/2013	1		FIRST TRUST ENERGY ALPHADEX FUND	FXN		DIV	-0.83
29	12/31/2013	12/31/2013	1	0.12800	58.03600 FIRST TRUST ENERGY EDP ALPHADEX FUND	FXN		RDIV	3.22
									-3.22

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ACT:	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
30	12/31/2013	12/31/2013	1		FIRST TRUST CONSUMER STAPLES ALPHADEX FUND 123113 95.48600	FXG		DIV	0.00
31	12/31/2013	12/31/2013	1	0.28400	FIRST TRUST CONSUMEREDP STAPLES ALPHADEX FUND	FXG		RDIV	10.12
32	12/31/2013	12/31/2013	1		FIRST TRUST UTILITIES ALPHADEX FUND 123113 66.15900	FXU		DIV	-10.12
33	12/31/2013	12/31/2013	1	0.55700	FIRST TRUST EDP UTILITIES ALPHADEX FUND	FXU		RDIV	11.31
34	12/31/2013	12/31/2013	1		POWERSHARES DWA EMERGING MARKETS MOMENTUM 123113 132.93500	PIE		DIV	-11.31
35	12/31/2013	12/31/2013	1	0.66000	POWERSHARES DWA EDP EMERGING MARKETS MOMENTUM	PIE		RDIV	11.96
36	12/31/2013	12/31/2013	1		POWERSHARES FD TR II CEF INCM COMPOSITE PORT 123113 74.67800	PCEF		DIV	-11.96
37	12/31/2013	12/31/2013	1	0.54200	POWERSHARES FD TR IIEEP CEF INCM COMPOSITE PORT	PCEF		RDIV	13.20
38	12/31/2013	12/31/2013	1		POWERSHARES SENIOR LOAN PORTFOLIO 123113 42.46300	BKLN		DIV	-13.20
39	12/31/2013	12/31/2013	1	0.14400	POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		RDIV	3.57
40	12/31/2013	12/31/2013	1		BANK DEPOSIT SWEEP 123113 1,771			INT	-3.57
41	12/31/2013	12/31/2013	1		BANK DEPOSIT SWEEP EDP			RINT	0.02
									-0.02

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ACCT:	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
42	12/20/2013	12/20/2013	1		RS INVT TR EMERGING MKTS FD CL C 122013 485.50600	REMGX		LCAP	0.00
43	12/20/2013	12/20/2013	1		RS INVT TR EMERGING MKTS FD CL C	REMGX		TREI	3.30
44	12/20/2013	12/20/2013	1	0.21800	RS INVT TR EMERGING MKTS FD CL C	REMGX		RLCP	-3.30
45	12/10/2013	12/10/2013	1		SPDR BARCLAYS INTERNATIONAL TREASURY BOND	BWX		TREI	
46	12/10/2013	12/10/2013	1		SPDR BARCLAYS INTERNATIONAL TREASURY BOND	BWX		DIV	0.74
47	12/10/2013	12/10/2013	1	0.01300	121013 7.24200 SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND	BWX		RDIV	-0.74
48	12/09/2013	12/09/2013	1		OPPENHEIMER DEVELOPED MARKETS FUNDS CL C	ODVOX		TREI	
49	12/09/2013	12/09/2013	1	0.76500	OPPENHEIMER DEVELOPED MARKETS FUNDS CL C	ODVOX		RLCP	-27.36
50	12/09/2013	12/09/2013	1		OPPENHEIMER DEVELOPI MARKETS FUNDS CL C 120913 23400	ODVOX		LCAP	27.36
51	12/06/2013	12/06/2013	1		ISHARES IBOX \$ EDP HIGH YIELD CORP BOND	HYG		TREI	
52	12/06/2013	12/06/2013	1		ISHARES IBOX \$ HIGH YIELD CORP BOND 120613 11.19400	HYG		DIV	5.04

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ACCT:	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
53	12/06/2013	12/06/2013	1	0.05400	ISHARES IBOX \$ EDP HIGH YIELD CORP BOND	HYG		RDIV	0.00
54	11/29/2013	11/29/2013	1		EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		TREI	-5.04
55	11/29/2013	11/29/2013	1		EATON VANCE RUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		TREI	
56	11/29/2013	11/29/2013	1		POWERSHARES FD TR II EDP CEF INCM COMPOSITE PORT	PCEF		TREI	
57	11/29/2013	11/29/2013	1		POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		TREI	
58	11/29/2013	11/29/2013	1		EATON VANCE SENIOR FLOATING RATE FUND 112913 50.39600	EFR		DIV	3.98
59	11/29/2013	11/29/2013	1	0.25900	EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		RDIV	-3.98
60	11/29/2013	11/29/2013	1		EATON VANCE RUNDS TAX MANAGED DIVERSIFIED EQUITY	ETY		DIV	3.68
61	11/29/2013	11/29/2013	1	0.34000	EATON VANCE RUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		RDIV	-3.68
62	11/29/2013	11/29/2013	1		POWERSHARES FD TR II CEF INCM COMPOSITE PORT 112913 74.16100	PCEF		DIV	12.37
63	11/29/2013	11/29/2013	1	0.51700	POWERSHARES FD TR II EDP CEF INCM COMPOSITE PORT	PCEF		RDIV	-12.37

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ACCT:	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
64	11/29/2013	11/29/2013	1		POWERSHARES SENIOR LOAN PORTFOLIO 112913 42.31900	BKLN		DIV	0.00
65	11/29/2013	11/29/2013	1	0.14400	POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		RDIV	3.57
66	11/29/2013	11/29/2013	1		BANK DEPOSIT SWEEP 112913 1.771			INT	-3.57
67	11/29/2013	11/29/2013	1		BANK DEPOSIT SWEEP EDP SPDR BARCLAYS EDP			RUNT	0.02
68	11/12/2013	11/12/2013	1		INTERNATIONAL TREASURY BOND	BMX		TREI	-0.02
69	11/12/2013	11/12/2013	1		SPDR BARCLAYS INTERNATIONAL TREASURY BOND	BMX		DIV	0.85
70	11/12/2013	11/12/2013	1	0.01500	SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND	BMX		RDIV	-0.85
71	11/07/2013	11/07/2013	1		ISHARES IBOX \$ EDP HIGH YIELD CORP BOND	HYG		TREI	5.01
72	11/07/2013	11/07/2013	1		ISHARES IBOX \$ HIGH YIELD CORP BOND	HYG		DIV	-5.01
73	11/07/2013	11/07/2013	1	0.05400	ISHARES IBOX \$ EDP HIGH YIELD CORP BOND	HYG		RDIV	
74	10/31/2013	10/31/2013	1		EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		TREI	
75	10/31/2013	10/31/2013	1		EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		TREI	

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ACCT:	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
76	10/31/2013	10/31/2013	1		POWERSHARES FD TR 11EDP CEF INCM COMPOSITE PORT	PCEF		TREI	0.00
77	10/31/2013	10/31/2013	1		POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		TREI	
78	10/31/2013	10/31/2013	1		EATON VANCE SENIOR FLOATING RATE FUND 103113 50.14100	EFR		DIV	4.01
79	10/31/2013	10/31/2013	1	0.25500	EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		RDIV	-4.01
80	10/31/2013	10/31/2013	1		EATON VANCE FUNDS TAX MANAGED DIVERSIFIED EQUITY	ETY		DIV	3.65
81	10/31/2013	10/31/2013	1	0.33900	EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		RDIV	-3.65
82	10/31/2013	10/31/2013	1		POWERSHARES FD TR II CEF INCM COMPOSITE PORT 103113 73.66000	PCEF		DIV	12.25
83	10/31/2013	10/31/2013	1	0.50100	POWERSHARES FD TR 11EDP CEF INCM COMPOSITE PORT	PCEF		RDIV	-12.25
84	10/31/2013	10/31/2013	1		POWERSHARES SENIOR LOAN PORTFOLIO 103113 42.17800	BKLN		DIV	3.50
85	10/31/2013	10/31/2013	1	0.14100	POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		RDIV	-3.50
86	10/31/2013	10/31/2013	1		BANK DEPOSIT SWEEP 103113 1.771			INT	0.02
87	10/31/2013	10/31/2013	1		BANK DEPOSIT SWEEP EDP			RINT	-0.02

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MAY. 9. 2014 2:10PM

WELLS FARGO

NO. 963

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ACCT	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
88	10/09/2013	10/09/2013	1		SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND	BWX		TREI	0.00
89	10/09/2013	10/09/2013	1		SPDR BARCLAYS INTERNATIONAL TREASURY BOND 100913 7.21300	BWX		DIV	0.81
90	10/09/2013	10/09/2013	1	0.01400	SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND	BWX		RDIV	-0.81
91	10/07/2013	10/07/2013	1		ISHARES IBOX \$ EDP HIGH YIELD CORP BOND	HYG		TREI	
92	10/07/2013	10/07/2013	1		ISHARES IBOX \$ HIGH YIELD CORP BOND 100713 11.08600	HYG		DIV	4.93
93	10/07/2013	10/07/2013	1	0.05400	ISHARES IBOX \$ EDP HIGH YIELD CORP BOND	HYG		RDIV	-4.93
94	09/30/2013	09/30/2013	1		EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		TREI	
95	09/30/2013	09/30/2013	1		EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		TREI	
96	09/30/2013	09/30/2013	1		FIRST TRUST TECHNOLOGY EDP ALPHADEX FUND	FXL		TREI	
97	09/30/2013	09/30/2013	1		FIRST TRUST S&P 500 EDP INDEX FUND	FR1		TREI	
98	09/30/2013	09/30/2013	1		FIRST TRUST CONSUMER EDP DISCRETIONARY ALPHADEX FUND	FXD		TREI	

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Filter Set: 01/01/2013 - 12/31/2013

ACCT	Date	Sell Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
99	09/30/2013	09/30/2013	1		FIRST TRUST MATERIAL EDP ALPHADEX FUND	FZ		TREI	0.00
100	09/30/2013	09/30/2013	1		FIRST TRUST LARGE EDP CAP CORE ALPHADEX FUND	FEX		TREI	
101	09/30/2013	09/30/2013	1		FIRST TRUST ENERGY EDP ALPHADEX FUND	FXN		TREI	
102	09/30/2013	09/30/2013	1		FIRST TRUST CONSUMER EDP STAPLES ALPHADEX FUND	FXG		TREI	
103	09/30/2013	09/30/2013	1		FIRST TRUST EDP UTILITIES ALPHADEX FUND	FXU		TREI	
104	09/30/2013	09/30/2013	1		POWERSHARES DWA EMER EDP MAR	PIE		TREI	
105	09/30/2013	09/30/2013	1		POWERSHARES FD TR I EDP CEF INCH COMPOSITE PORT	PCEF		TREI	
106	09/30/2013	09/30/2013	1		POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		TREI	
107	09/30/2013	09/30/2013	1		EATON VANCE SENIOR FLOATING RATE FUND	EFR		DIV	3.99
108	09/30/2013	09/30/2013	1	0.25900	EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		RDIV	-3.99
109	09/30/2013	09/30/2013	1		EATON VANCE FUNDS TAX MANAGED DIVERSIFIED EQUITY	ETY		DIV	3.62
110	09/30/2013	09/30/2013	1	0.34800	EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		RDIV	-3.62

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ACCT:	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
111	09/30/2013	09/30/2013	1		FIRST TRUST TECHNOLOGY ALPHADEX FUND 093013 10.06300	FXL		DIV	0.00
112	09/30/2013	09/30/2013	1	0.00400	FIRST TRUST TECHNOLOGY ALPHADEX FUND	FXL		RDIV	0.10
113	09/30/2013	09/30/2013	1		FIRST TRUST S&P REIT INDEX FUND 093013 82.69500	FRI		DIV	-0.10
114	09/30/2013	09/30/2013	1	0.76900	FIRST TRUST S&P REIT INDEX FUND	FRI		RDIV	13.84
115	09/30/2013	09/30/2013	1		FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FUND 093013 115.91400	FXD		DIV	-13.84
116	09/30/2013	09/30/2013	1	0.08600	FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FUND	FXD		RDIV	2.52
117	09/30/2013	09/30/2013	1		FIRST TRUST MATERIAL ALPHADEX FUND 093013 37.28700	FXZ		DIV	-2.52
118	09/30/2013	09/30/2013	1	0.07500	FIRST TRUST MATERIAL ALPHADEX FUND	FXZ		RDIV	2.17
119	09/30/2013	09/30/2013	1		FIRST TRUST LARGE CAP CORE ALPHADEX FUND 093013 7.14600	FEX		DIV	-2.17
120	09/30/2013	09/30/2013	1	0.02000	FIRST TRUST LARGE CAP CORE ALPHADEX FUND	FEX		RDIV	0.76
121	09/30/2013	09/30/2013	1		FIRST TRUST ENERGY ALPHADEX FUND 093013 57.89100	FXW		DIV	-0.76
									3.31

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ACCT: [REDACTED]	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
122	09/30/2013	09/30/2013	1	0.14500	FIRST TRUST ENERGY EDP ALPHADEX FUND	FXW		RDIV	0.00
123	09/30/2013	09/30/2013	1		FIRST TRUST CONSUMER STAPLES ALPHADEX FUND 093013 95.19500	FXG		DIV	-3.31
124	09/30/2013	09/30/2013	1	0.29100	FIRST TRUST CONSUMER EDP STAPLES ALPHADEX FUND	FXG		RDIV	9.54
125	09/30/2013	09/30/2013	1		FIRST TRUST UTILITIES ALPHADEX FUND 093013 65.25100	FXU		DIV	-9.54
126	09/30/2013	09/30/2013	1	0.90800	FIRST TRUST EDP UTILITIES ALPHADEX FUND	FXU		RDIV	18.03
127	09/30/2013	09/30/2013	1		POWERSHARES DWA EMER MAR 093013 132.35000	PIE		DIV	-18.03
128	09/30/2013	09/30/2013	1	0.56500	POWERSHARES DWA EMER EDP MAR 093013	PIE		RDIV	10.59
129	09/30/2013	09/30/2013	1		POWERSHARES FD TR II CEF INCM COMPOSITE PORT 093013 73.16000	PCEF		DIV	-10.59
130	09/30/2013	09/30/2013	1	0.50000	POWERSHARES FD TR II EDP CEF INCM COMPOSITE PORT 093013	PCEF		RDIV	12.00
131	09/30/2013	09/30/2013	1		POWERSHARES SENIOR LOAN PORTFOLIO 093013 42.03700	BKLN		DIV	-12.00
132	09/30/2013	09/30/2013	1	0.14100	POWERSHARES SENIOR EDP LOAN PORTFOLIO 093013	BKLN		RDIV	3.49
133	09/30/2013	09/30/2013	1		BANK DEPOSIT SWEEP 093013 1.771			INT	-3.49
134	09/30/2013	09/30/2013	1		BANK DEPOSIT SWEEP EDP			RINT	0.02
									-0.02

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MAY. 9. 2014 2:11PM

WELLS FARGO

NO. 963

P. 14

ACCT:	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
135	09/11/2013	09/11/2013	1		SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND	BWX		TREI	0.00
136	09/11/2013	09/11/2013	1		SPDR BARCLAYS INTERNATIONAL TREASURY BOND	BWX		DIV	0.47
137	09/11/2013	09/11/2013	1	0.00800	SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND	BWX		RDIV	-0.47
138	09/09/2013	09/09/2013	1		ISHARES IBOX \$ EDP HIGH YIELD CORP BOND	HYG		TREI	
139	09/09/2013	09/09/2013	1		ISHARES IBOX \$ HIGH YIELD CORP BOND	HYG		DIV	5.29
140	09/09/2013	09/09/2013	1	0.05800	ISHARES IBOX \$ EDP HIGH YIELD CORP BOND	HYG		RDIV	-5.29
141	08/30/2013	08/30/2013	1		EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		TREI	
142	08/30/2013	08/30/2013	1		EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		TREI	
143	08/30/2013	08/30/2013	1		POWERSHARES FD TR IEDP CEF INCM COMPOSITE PORT	PCEF		TREI	
144	08/30/2013	08/30/2013	1		POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		TREI	
145	08/30/2013	08/30/2013	1		EATON VANCE SENIOR FLOATING RATE FUND	EFR		DIV	4.07

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ACCT	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
146	08/30/2013	08/30/2013	1	0.25500	EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		RDIV	0.00
147	08/30/2013	08/30/2013	1		EATON VANCE FUNDS TAX MANAGED DIVERSIFIED EQUITY	ETY		DIV	-4.07
148	08/30/2013	08/30/2013	1	0.35300	42.58000 EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		RDIV	3.59
149	08/30/2013	08/30/2013	1		POWERSHARES FD TR II CEF INCM COMPOSITE PORT	PCEF		DIV	-3.59
150	08/30/2013	08/30/2013	1	0.49800	72.66200 POWERSHARES FD TR II EDP CEF INCM COMPOSITE PORT	PCEF		RDIV	11.81
151	08/30/2013	08/30/2013	1		POWERSHARES SENIOR LOAN PORTFOLIO	BKLN		DIV	-11.81
152	08/30/2013	08/30/2013	1	0.15200	41.88500 POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		RDIV	3.77
153	08/30/2013	08/30/2013	1		BANK DEPOSIT SWEEP 083013 1,771			INT	-3.77
154	08/30/2013	08/30/2013	1		BANK DEPOSIT SWEEP EDP			RINT	0.02
155	08/09/2013	08/09/2013	1		SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND	BWX		TREI	-0.02
156	08/09/2013	08/09/2013	1		SPDR BARCLAYS INTERNATIONAL TREASURY BOND	BWX		DIV	0.27
					080913 7.20000				

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ACCT: [REDACTED]	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
157	08/09/2013	08/09/2013	1	0.00500	SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND	BWX		RDIV	0.00
158	08/07/2013	08/07/2013	1		ISHARES IBOX \$ EDP HIGH YIELD CORP BOND	HYG		TREI	-0.27
159	08/07/2013	08/07/2013	1		ISHARES IBOX \$ HIGH YIELD CORP BOND 080713 10.97400	HYG		DIV	4.97
160	08/07/2013	08/07/2013	1	0.05400	ISHARES IBOX \$ EDP HIGH YIELD CORP BOND	HYG		RDIV	-4.97
161	07/31/2013	07/31/2013	1		EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		TREI	
162	07/31/2013	07/31/2013	1		EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		TREI	
163	07/31/2013	07/31/2013	1		POWERSHARES FD TRIEDP CEF INCM COMPOSITE PORT	PCEF		TREI	
164	07/31/2013	07/31/2013	1		POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		TREI	
165	07/31/2013	07/31/2013	1		EATON VANCE SENIOR FLOATING RATE FUND 073113 49.37300	EFR		DIV	4.10
166	07/31/2013	07/31/2013	1	0.25400	EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		RDIV	-4.10
167	07/31/2013	07/31/2013	1		EATON VANCE FUNDS TAX MANAGED DIVERSIFIED EQUITY 073113 42.24300	ETY		DIV	3.56

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ACCT:	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
168	07/31/2013	07/31/2013	1	0.33700	EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		RDIV	0.00
169	07/31/2013	07/31/2013	1		POWERSHARES FD TR II CEF INCM COMPOSITE PORT 073113 72.18400	PCEF		DIV	-3.56
170	07/31/2013	07/31/2013	1	0.47800	POWERSHARES FD TR II EDP CEF INCM COMPOSITE PORT	PCEF		RDIV	11.68
171	07/31/2013	07/31/2013	1		POWERSHARES SENIOR LOAN PORTFOLIO	BKLN		DIV	-11.68
172	07/31/2013	07/31/2013	1	0.15200	POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		RDIV	3.78
173	07/31/2013	07/31/2013	1		BANK DEPOSIT SWEEP 073113 1.771			INT	-3.78
174	07/31/2013	07/31/2013	1		BANK DEPOSIT SWEEP EDP			RINT	0.02
175	07/10/2013	07/10/2013	1		SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND	BWX		TREI	-0.02
176	07/10/2013	07/10/2013	1		SPDR BARCLAYS INTERNATIONAL TREASURY BOND	BWX		DIV	0.55
177	07/10/2013	07/10/2013	1	0.01000	SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND	BWX		RDIV	-0.55
178	07/08/2013	07/08/2013	1		ISHARES IBOX \$ EDP HIGH YIELD CORP BOND	HYG		TREI	

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ACC	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
179	07/08/2013	07/08/2013	1		ISHARES IBOX \$ HIGH YIELD CORP BOND 070813 10.91600	HYG		DIV	0.00
180	07/08/2013	07/08/2013	1	0.05800	ISHARES IBOX \$ EDP HIGH YIELD CORP BOND	HYG		RDIV	5.24
181	06/28/2013	06/28/2013	1		EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		TREI	-5.24
182	06/28/2013	06/28/2013	1		EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETV		TREI	
183	06/28/2013	06/28/2013	1		FIRST TRUST TECHNLGY EDP ALPHADEX FUND	FXL		TREI	
184	06/28/2013	06/28/2013	1		FIRST TRUST S&P REIT EDP INDEX FUND	FRI		TREI	
185	06/28/2013	06/28/2013	1		FIRST TRST HLTH CARE EDP ALPHADEX FUND	FXH		TREI	
186	06/28/2013	06/28/2013	1		FIRST TRUST CONSUMER EDP DISCRETIONARY ALPHADEX FUND	FXD		TREI	
187	06/28/2013	06/28/2013	1		FIRST TRUST MATERIALE EDP ALPHADEX FUND	FXZ		TREI	
188	06/28/2013	06/28/2013	1		FIRST TRUST LARGE EDP CAP CORE ALPHADEX FUND	FEX		TREI	
189	06/28/2013	06/28/2013	1		FIRST TRUST ENERGY EDP ALPHADEX FUND	FXN		TREI	
190	06/28/2013	06/28/2013	1		FIRST TRUST CONSUMER EDP STAPLES ALPHADEX FUND	FXG		TREI	
191	06/28/2013	06/28/2013	1		FIRST TRUST EDP UTILITIES ALPHADEX FUND	FXU		TREI	

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Filter Set: 01/01/2013 - 12/31/2013

Account	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
192	06/28/2013	06/28/2013	1		POWERSHARES DWA EMERD P MAR	PTE		TREI	0.00
193	06/28/2013	06/28/2013	1		POWERSHARES FD TRIEDP CEF INOM COMPOSITE PORT	PCEF		TREI	
194	06/28/2013	06/28/2013	1		POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		TREI	
195	06/28/2013	06/28/2013	1		EATON VANCE SENIOR FLOATING RATE FUND 062813 49.12200	EFR		DIV	4.13
196	06/28/2013	06/28/2013	1	0.25100	EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		RDIV	-4.13
197	06/28/2013	06/28/2013	1		EATON VANCE FUNDS TAX MANAGED DIVERSIFIED EQUITY 062813 41.89900	ETY		DIV	3.53
198	06/28/2013	06/28/2013	1	0.34400	EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		RDIV	-3.53
199	06/28/2013	06/28/2013	1		FIRST TRUST TECHNLOGY ALPHADEX FUND 062813 10.04000	PXL		DIV	0.55
200	06/28/2013	06/28/2013	1	0.02300	FIRST TRUST TECHNLOGY ALPHADEX FUND	PXL		RDIV	-0.55
201	06/28/2013	06/28/2013	1		FIRST TRUST S&P REIT INDEX FUND 062813 82.16500	FRI		DIV	9.89
202	06/28/2013	06/28/2013	1	0.53100	FIRST TRUST S&P REITEDP INDEX FUND	FRI		RDIV	-9.89

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ACCT	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
203	06/28/2013	06/28/2013	1		FIRST TRST HLTH CARE ALPHADEX FUND 062813 85.37400	FXH		DIV	0.00
204	06/28/2013	06/28/2013	1	0.01200	FIRST TRST HLTH CAREEDP ALPHADEX FUND	FXH		RDIV	0.47
205	06/28/2013	06/28/2013	1		FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FUND 062813 115.77100	FXD		DIV	-0.47
206	06/28/2013	06/28/2013	1	0.14300	FIRST TRUST CONSUMEREDP DISCRETIONARY ALPHADEX FUND	FXD		RDIV	3.87
207	06/28/2013	06/28/2013	1		FIRST TRUST MATERIAL ALPHADEX FUND 062813 37.14300	FXZ		DIV	-3.87
208	06/28/2013	06/28/2013	1	0.14400	FIRST TRUST MATERIAL ALPHADEX FUND	FXZ		RDIV	3.83
209	06/28/2013	06/28/2013	1		FIRST TRUST LARGE CAP CORE ALPHADEX FUND 062813 7.12200	FEX		DIV	-3.83
210	06/28/2013	06/28/2013	1	0.02400	FIRST TRUST LARGE EDP CAP CORE ALPHADEX FUND	FEX		RDIV	0.85
211	06/28/2013	06/28/2013	1		FIRST TRUST ENERGY ALPHADEX FUND 062813 57.77300	FXN		DIV	-0.85
212	06/28/2013	06/28/2013	1	0.11800	FIRST TRUST ENERGY EDP ALPHADEX FUND	FXN		RDIV	2.56
213	06/28/2013	06/28/2013	1		FIRST TRUST CONSUMER STAPLES ALPHADEX FUND 062813 94.93600	FXG		DIV	-2.56
									7.98

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## Activity - Activity

Filter Set: 01/01/2013 - 12/31/2013

Account	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
214	06/28/2013	06/28/2013	1	0.25900	FIRST TRUST CONSUMERDP STAPLES ALPHADEX FUND	FXG		RDIV	0.00
215	06/28/2013	06/28/2013	1		FIRST TRUST UTILITIES ALPHADEX FUND 062813 64.36400	FXU		DIV	-7.98
216	06/28/2013	06/28/2013	1	0.88700	FIRST TRUST EDP UTILITIES ALPHADEX FUND	FXU		RDIV	17.40
217	06/28/2013	06/28/2013	1		POWERSHARES DWA EMER MAR	PIE		DIV	-17.40
218	06/28/2013	06/28/2013	1	0.39200	POWERSHARES DWA EMERDP MAR	PIE		RDIV	7.02
219	06/28/2013	06/28/2013	1		POWERSHARES FD TR II CEF INCM COMPOSITE PORT 062813 71.70600	PCEF		DIV	-7.02
220	06/28/2013	06/28/2013	1	0.47800	POWERSHARES FD TR IEDP CEF INCM COMPOSITE PORT	PCEF		RDIV	11.81
221	06/28/2013	06/28/2013	1		POWERSHARES SENIOR LOAN PORTFOLIO	BKLN		DIV	-11.81
222	06/28/2013	06/28/2013	1	0.15200	POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		RDIV	3.75
223	06/28/2013	06/28/2013	1		BANK DEPOSIT SWEEP 062813 1.771			INT	-3.75
224	06/28/2013	06/28/2013	1		BANK DEPOSIT SWEEP EDP SPDR BARCLAYS EDP			RINT	0.02
225	06/11/2013	06/11/2013	1		INTERNATIONAL TREASURY BOND	BMX		TREI	-0.02

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ACCT.	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
226	06/11/2013	06/11/2013	1		SPDR BARCLAYS INTERNATIONAL TREASURY BOND	BWX		DIV	0.00
					061113 7.18000				0.60
227	06/11/2013	06/11/2013	1	0.01000	SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND	BWX		RDIV	-0.60
228	06/07/2013	06/07/2013	1		ISHARES IBOX \$ YIELDP CORPORATE BOND FUND	HYG		TREI	
229	06/07/2013	06/07/2013	1		ISHARES IBOX \$ YEL CORPORATE BOND FUND	HYG		DIV	5.20
					D60713 10.86000				
230	06/07/2013	06/07/2013	1	0.05600	ISHARES IBOX \$ YIELDP CORPORATE BOND FUND	HYG		RDIV	-5.20
231	05/31/2013	05/31/2013	1		EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		TREI	
232	05/31/2013	05/31/2013	1		EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		TREI	
233	05/31/2013	05/31/2013	1		POWERSHARES FD TR LIEDP CEF INCM COMPOSITE PORT	PCEF		TREI	
234	05/31/2013	05/31/2013	1		POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		TREI	
235	05/31/2013	05/31/2013	1		EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		DIV	4.11
					053113 48.87500				
236	05/31/2013	05/31/2013	1	0.24700	EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		RDIV	-4.11

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ACCT	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
	05/31/2013	05/31/2013	1		EATON VANCE FUNDS TAX MANAGED DIVERSIFIED EQUITY	ETY		DIV	0.00
237					053113 41.57000				3.50
	05/31/2013	05/31/2013	1	0.32900	EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		RDIV	-3.50
238									
	05/31/2013	05/31/2013	1		RS INVT TR EMERGING MKTS FD CL C	REMGX		LCAP	1,348.65
239					053113 398.38400				
	05/31/2013	05/31/2013	1		RS INVT TR EDP EMERGING MKTS FD CL C	REMGX		TREI	
240									
	05/31/2013	05/31/2013	1	87.12200	RS INVT TR EDP EMERGING MKTS FD CL C	REMGX		RLCP	-1,348.65
241									
	05/31/2013	05/31/2013	1		POWERSHARES FD TR II CEF INCM COMPOSITE PORT	PCEF		DIV	10.37
242					053113 71.30500				
	05/31/2013	05/31/2013	1	0.40100	POWERSHARES FD TR II EDP CEF INCM COMPOSITE PORT	PCEF		RDIV	-10.37
243									
	05/31/2013	05/31/2013	1		POWERSHARES SENIOR LOAN PORTFOLIO	BKLN		DIV	3.82
244					053113 41.42900				
	05/31/2013	05/31/2013	1	0.15200	POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		RDIV	-3.82
245									
	05/31/2013	05/31/2013	1		BANK DEPOSIT SWEEP 053113 1,771			INT	0.02
246									
	05/31/2013	05/31/2013	1		BANK DEPOSIT SWEEP EDP SPDR BARCLAYS EDP	BWX		RINT	-0.02
247					INTERNATIONAL TREASURY BOND			TREI	
248									

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ACCT:	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
249	05/09/2013	05/09/2013	1		SPDR BARCLAYS INTERNATIONAL TREASURY BOND	BWX		DIV	0.00
250	05/09/2013	05/09/2013	1	0.00600	050913 SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND 7.17400	BWX		RDIV	0.34
251	05/07/2013	05/07/2013	1		ISHARES IBOX \$ YIELDP CORPORATE BOND FUND	HYG		TREI	-0.34
252	05/07/2013	05/07/2013	1		ISHARES IBOX \$ YIEL CORPORATE BOND FUND	HYG		DIV	5.06
253	05/07/2013	05/07/2013	1	0.05300	050713 10.80700 ISHARES IBOX \$ YIELDP CORPORATE BOND FUND	HYG		RDIV	-5.06
254	04/30/2013	04/30/2013	1		EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		TREI	
255	04/30/2013	04/30/2013	1		EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETV		TREI	
256	04/30/2013	04/30/2013	1		POWERSHARES FD TR IEDP CEF INOM COMPOSITE PORT	PCEF		TREI	
257	04/30/2013	04/30/2013	1		POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		TREI	
258	04/30/2013	04/30/2013	1		EATON VANCE SENIOR FLOATING RATE FUND	EFR		DIV	4.18
259	04/30/2013	04/30/2013	1	0.23900	043013 48.63600 EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		RDIV	-4.18

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ACCT: [REDACTED]	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
260	04/30/2013	04/30/2013	1		EATON VANCE FUNDS TAX MANAGED DIVERSIFIED EQUITY 043013 41.23300	ETY		DIV	0.00
261	04/30/2013	04/30/2013	1	0.33700	EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		RDIV	3.48
262	04/30/2013	04/30/2013	1		POWERSHARES FD TR II CEF INCOM COMPOSITE PORT 043013 70.86600	PCEF		DIV	-3.48
263	04/30/2013	04/30/2013	1	0.43900	POWERSHARES FD TR II EDP CEF INCOM COMPOSITE PORT	PCEF		RDIV	11.58
264	04/30/2013	04/30/2013	1		POWERSHARES SENIOR LOAN PORTFOLIO 043013 41.27300	BKLN		DIV	-11.58
265	04/30/2013	04/30/2013	1	0.15600	POWERSHARES SENIOR 3C: LOAN PORTFOLIO	BKLN		RDIV	3.94
266	04/30/2013	04/30/2013	1		BANK DEPOSIT SWEEP 043013 1.771			INT	-3.94
267	04/30/2013	04/30/2013	1		BANK DEPOSIT SWEEP EDP N&A OHG CLS			RINT	0.02
268	04/10/2013	04/10/2013	1		SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND	BWX		TREI	-0.02
269	04/09/2013	04/09/2013	1		SPDR BARCLAYS INTERNATIONAL TREASURY BOND 040913 7.16600	BWX		DIV	0.50
270									

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ACT:	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
271	04/09/2013	04/09/2013	1	0.00800	SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND	BWX		RDIV	0.00
272	04/05/2013	04/05/2013	1		ISHARES IBOX \$ YIELD CORPORATE BOND FUND	HYG		TREI	-0.50
273	04/05/2013	04/05/2013	1		ISHARES IBOX \$ YIEL CORPORATE BOND FUND	HYG		DIV	5.25
274	04/05/2013	04/05/2013	1	0.05600	040513 10.75100 ISHARES IBOX \$ YIEL:HC CORPORATE BOND FUND	HYG		RDIV	-5.25
275	03/28/2013	03/28/2013	1		EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		TREI	
276	03/28/2013	03/28/2013	1		EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		TREI	
277	03/28/2013	03/28/2013	1		FIRST TRUST TECHNOLOGY ALPHADEX FUND	FXL		TREI	
278	03/28/2013	03/28/2013	1		FIRST TRUST S&P REIT EDP INDEX FUND	FRI		TREI	
279	03/28/2013	03/28/2013	1		FIRST TRUST CONSUMER EDP DISCRETIONARY ALPHADEX FUND	FXD		TREI	
280	03/28/2013	03/28/2013	1		FIRST TRUST MATERIAL EDP ALPHADEX FUND	FXZ		TREI	
281	03/28/2013	03/28/2013	1		FIRST TRUST LARGE EDP CAP CORE ALPHADEX FUND	FEX		TREI	
282	03/28/2013	03/28/2013	1		FIRST TRUST ENERGY EDP ALPHADEX FUND	FXN		TREI	
283	03/28/2013	03/28/2013	1		FIRST TRUST CONSUMER EDP STAPLES ALPHADEX FUND	FXS		TREI	

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ACCT#	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
									0.00
284	03/28/2013	03/28/2013	1		FIRST TRUST EDP UTILITIES ALPHADEX FUND	FXU		TREI	
285	03/28/2013	03/28/2013	1		POWERSHARES FD TR INEDP CEF INCM COMPOSITE PORT	PCEF		TREI	
286	03/28/2013	03/28/2013	1		POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		TREI	
287	03/28/2013	03/28/2013	1		EATON VANCE SENIOR FLOATING RATE FUND	EFR		DIV	4.26
288	03/28/2013	03/28/2013	1	0.25300	032813 48.38300 EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		RDIV	-4.26
289	03/28/2013	03/28/2013	1		EATON VANCE FUNDS TAX MANAGED DIVERSIFIED EQUITY	ETY		DIV	3.45
290	03/28/2013	03/28/2013	1	0.33700	032813 40.89600 EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		RDIV	-3.45
291	03/28/2013	03/28/2013	1		FIRST TRUST TECHNLOGY ALPHADEX FUND	FXL		DIV	0.10
292	03/28/2013	03/28/2013	1	0.00400	032813 10.03600 FIRST TRUST TECHNOLOGY ALPHADEX FUND	FXL		RDIV	-0.10
293	03/28/2013	03/28/2013	1		FIRST TRUST S&P REIT INDEX FUND	FRI		DIV	5.82
294	03/28/2013	03/28/2013	1	0.30500	032813 81.86000 FIRST TRUST S&P REITEDP INDEX FUND	FRI		RDIV	-5.82

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## Account Workbook

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Filter Set: 01/01/2013 - 12/31/2013

ACCT	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
295	03/28/2013	03/28/2013	1		FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FUND	FXD		DIV	0.00
					032813 115.71400				1.45
296	03/28/2013	03/28/2013	1	0.05700	FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FUND	FXD		RDIV	-1.45
297	03/28/2013	03/28/2013	1		FIRST TRUST MATERIAL ALPHADEX FUND	FXZ		DIV	1.76
					032813 37.07800				
298	03/28/2013	03/28/2013	1	0.06500	FIRST TRUST MATERIAL ALPHADEX FUND	FXZ		RDIV	-1.76
299	03/28/2013	03/28/2013	1		FIRST TRUST LARGE CAP CORE ALPHADEX FUND	FEX		DIV	0.57
					032813 7.10500				
300	03/28/2013	03/28/2013	1	0.01700	FIRST TRUST LARGE EDP CAP CORE ALPHADEX FUND	FEX		RDIV	-0.57
301	03/28/2013	03/28/2013	1		FIRST TRUST ENERGY ALPHADEX FUND	FXN		DIV	2.85
					032813 57.64500				
302	03/28/2013	03/28/2013	1	0.12700	FIRST TRUST ENERGY EDP ALPHADEX FUND	FXN		RDIV	-2.85
303	03/28/2013	03/28/2013	1		FIRST TRUST CONSUMER STAPLES ALPHADEX FUND	FXG		DIV	4.53
					032813 94.78400				
304	03/28/2013	03/28/2013	1	0.15200	FIRST TRUST CONSUMER STAPLES ALPHADEX FUND	FXG		RDIV	-4.53
305	03/28/2013	03/28/2013	1		FIRST TRUST UTILITIES ALPHADEX FUND	FXU		DIV	7.76
					032813 63.96000				

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## Account Workbook

Activity - Activity

Filter Set: 01/01/2013 - 12/31/2013

ACCT	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
306	03/28/2013	03/28/2013	1	0.40400	FIRST TRUST EDP UTILITIES ALPHADEX FUND	FXU		RDIV	0.00
307	03/28/2013	03/28/2013	1		POWERSHARES FD TR II CEF INCH COMPOSITE PORT	PCEF		DIV	-7.76
308	03/28/2013	03/28/2013	1	0.44300	70.42300 POWERSHARES FD TR II EDP CEF INCH COMPOSITE PORT	PCEF		RDIV	11.62
309	03/28/2013	03/28/2013	1		POWERSHARES SENIOR LOAN PORTFOLIO	BKLN		DIV	-11.62
310	03/28/2013	03/28/2013	1	0.15700	41.11600 POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		RDIV	3.93
311	03/28/2013	03/28/2013	1		BANK DEPOSIT SWEEP 032813 1.771			INT	-3.93
312	03/28/2013	03/28/2013	1		BANK DEPOSIT SWEEP EDP			RINT	0.02
313	03/11/2013	03/11/2013	1		SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND	BWX		TREI	-0.02
314	03/11/2013	03/11/2013	1		SPDR BARCLAYS INTERNATIONAL TREASURY BOND	BWX		DIV	1.18
315	03/11/2013	03/11/2013	1	0.02000	031113 7.14600 SPDR BARCLAYS 3C INTERNATIONAL TREASURY BOND	BWX		RDIV	-1.18
316	03/07/2013	03/07/2013	1		ISHARES IBOX \$ YIELDP CORPORATE BOND FUND	HYG		TREI	
317	03/07/2013	03/07/2013	1		ISHARES IBOX \$ YIEL CORPORATE BOND FUND 030713 10.69300	HYG		DIV	5.41

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## Account Workbook

Activity - Activity

Filter Set: 01/01/2013 - 12/31/2013

ACCT	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
318	03/07/2013	03/07/2013	1	0.05800	ISHARES IBOX \$ YIELDP CORPORATE BOND FUND	HYG		RDIV	0.00
319	02/28/2013	02/28/2013	1		EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		TREI	-5.41
320	02/28/2013	02/28/2013	1		EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		TREI	
321	02/28/2013	02/28/2013	1		POWERSHARES FD TR IEDP CEF INCM COMPOSITE PORT	PCEF		TREI	
322	02/28/2013	02/28/2013	1		POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		TREI	
323	02/28/2013	02/28/2013	1		EATON VANCE SENIOR FLOATING RATE FUND 022813 48.13100	EFR		DIV	4.33
324	02/28/2013	02/28/2013	1	0.25200	EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		RDIV	-4.33
325	02/28/2013	02/28/2013	1		EATON VANCE FUNDS TAX MANAGED DIVERSIFIED EQUITY	ETY		DIV	3.42
326	02/28/2013	02/28/2013	1	0.34100	EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		RDIV	-3.42
327	02/28/2013	02/28/2013	1		POWERSHARES FD TR IJ CEF INCM COMPOSITE PORT 022813 69.95800	PCEF		DIV	12.16
328	02/28/2013	02/28/2013	1	0.46500	POWERSHARES FD TR IEDP CEF INCM COMPOSITE PORT	PCEF		RDIV	-12.16

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## Account Workbook

Activity - Activity

Filter Set: 01/01/2013 - 12/31/2013

ACCT	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
329	02/28/2013	02/28/2013	1		POWERSHARES SENIOR LOAN PORTFOLIO 022813 40.95200	BKLN		DIV	0.00
330	02/28/2013	02/28/2013	1	0.16400	POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		RDIV	4.11
331	02/28/2013	02/28/2013	1		BANK DEPOSIT SWEEP 022813 1.771			INT	-4.11
332	02/28/2013	02/28/2013	1		BANK DEPOSIT SWEEP EDP			RINT	0.01
333	02/11/2013	02/11/2013	1		SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND	BWX		TREI	-0.01
334	02/11/2013	02/11/2013	1		SPDR BARCLAYS INTERNATIONAL TREASURY BOND	BWX		DIV	0.71
335	02/11/2013	02/11/2013	1	0.01200	021113 7.13400 SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND	BWX		RDIV	-0.71
336	02/07/2013	02/07/2013	1		ISHARES IBOX \$ YIELD CORPORATE BOND FUND	HYG		TREI	
337	02/07/2013	02/07/2013	1		ISHARES IBOX \$ YIELD CORPORATE BOND FUND 020713 10.63600	HYG		DIV	5.31
338	02/07/2013	02/07/2013	1	0.05700	ISHARES IBOX \$ YIELD CORPORATE BOND FUND	HYG		RDIV	-5.31
339	01/31/2013	01/31/2013	1		EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		TREI	
340	01/31/2013	01/31/2013	1		POWERSHARES FD TR IEDP CEF INCM COMPOSITE PORT	PCEF		TREI	

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Filter Set: 01/01/2013 - 12/31/2013

Account	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
									0.00
341	01/31/2013	01/31/2013	1		POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		TREI	
	01/31/2013	01/31/2013	1		EATON VANCE FUNDS TAX MANAGED DIVERSIFIED EQUITY	ETY		DIV	3.39
342					013113 40.21900				
343	01/31/2013	01/31/2013	1	0.33600	EATON VANCE FUNDS EDP TAX MANAGED DIVERSIFIED EQUITY	ETY		RDIV	-3.39
	01/31/2013	01/31/2013	1		POWERSHARES FORTRESS CEF INCM COMPOSITE PORT	PCEF		DIV	11.74
344					013113 69.50700				
345	01/31/2013	01/31/2013	1	0.45100	POWERSHARES FORTRESS CEF INCM COMPOSITE PORT	PCEF		RDIV	-11.74
	01/31/2013	01/31/2013	1		POWERSHARES SENIOR LOAN PORTFOLIO	BKLN		DIV	4.34
346					013113 40.78000				
347	01/31/2013	01/31/2013	1	0.17200	POWERSHARES SENIOR EDP LOAN PORTFOLIO	BKLN		RDIV	-4.34
	01/31/2013	01/31/2013	1		BANK DEPOSIT SWEEP			INT	0.02
348					013113 1.771				
349	01/31/2013	01/31/2013	1		BANK DEPOSIT SWEEP EDP			RINT	-0.02
	01/11/2013	01/11/2013	1		EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		TREI	
350					011113 47.86100				
351	01/11/2013	01/11/2013	1		EATON VANCE SENIOR FLOATING RATE FUND	EFR		DIV	4.45
	01/11/2013	01/11/2013	1	0.27000	EATON VANCE SENIOR EDP FLOATING RATE FUND	EFR		RDIV	-4.45

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Filter Set: 01/01/2013 - 12/31/2013

ACCT:	Date	Settle Date	Account Type	Quantity	Description	Symbol	Order Price	Source	Amount
	01/07/2013	01/07/2013	1		SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND	BWX		TREI	0.00
353									
	01/07/2013	01/07/2013	1		SPDR BARCLAYS INTERNATIONAL TREASURY BOND	BWX		DIV	1.02
354									
	01/07/2013	01/07/2013	1		010713 7.11700 SPDR BARCLAYS EDP INTERNATIONAL TREASURY BOND	BWX		RDIV	-1.02
355									
	01/02/2013	01/02/2013	1		ISHARES IBOX \$ YIELD CORPORATE BOND FUND	HYG		TREI	
356									
	01/02/2013	01/02/2013	1		010213 10.57900 ISHARES IBOX \$ YEL CORPORATE BOND FUND	HYG		DIV	5.34
357									
	01/02/2013	01/02/2013	1		010213 10.57900 ISHARES IBOX \$ YIELD CORPORATE BOND FUND	HYG		RDIV	-5.34
358									

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**SNAPSHOT****Current period ending December 31, 2013**

ACCOUNT NAME: J HOWARD COBLE

ACCOUNT NUMBER: [REDACTED]

Your Financial Advisor:  
JOHN COBLEY  
Phone: 800-328-2288

100 NORTH MAIN STREET, 6TH FL  
WINSTON-SALEM, NC 27150

If you have more than one account with us, why not link them and receive summary information for your entire household? Contact Your Financial Advisor for more details.

**Message from Wells Fargo Advisors**

HOW COULD ECONOMIC AND MARKET CHALLENGES AFFECT YOUR PORTFOLIO IN 2014? IF YOU'RE LOOKING FOR THE ANSWER TO THIS AND OTHER IMPORTANT QUESTIONS, VISIT [WELLSFARGOADVISORS.COM/2014OUTLOOK](http://WELLSFARGOADVISORS.COM/2014OUTLOOK) TO VIEW OUR ON-DEMAND PRESENTATION AND REQUEST OUR SPECIAL REPORT.

**Command Asset Program News**

GET MORE DONE IN LESS TIME WITH WELLS FARGO MOBILE DEPOSIT. YOU CAN NOW DEPOSIT CHECKS DIRECTLY INTO YOUR COMMAND ACCOUNT USING THE WELLS FARGO MOBILE APP ON YOUR MOBILE DEVICE. IT'S FAST, EASY AND SECURE.

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Investments and Insurance products are:

NOT FDIC-INSURED	NO BANK GUARANTEE	MAY LOSE VALUE
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SNAPSHOT  
02/01/14 NCN

J HOWARD COBLE  
5741 BRAMBLEGATE DR UNIT L  
GREENSBORO NC 27409-3032



# **SNAPSHOT** **J HOWARD COBLE**

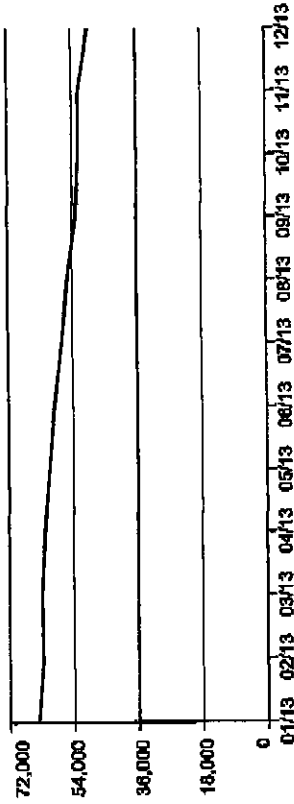
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DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: [REDACTED]

## **Progress summary**

	THIS PERIOD	THIS YEAR
Opening value	\$52,168.55	\$65,496.56
Cash deposited	355.73	6,650.86
Securities deposited	0.00	0.00
Cash withdrawn	-2,964.35	-21,171.85
Securities withdrawn	0.00	0.00
Income earned	162.33	3,642.29
Change in value	-356.34	-5,251.94
<b>Closing value</b>	<b>\$49,365.92</b>	<b>\$49,365.92</b>

## **Value over time**

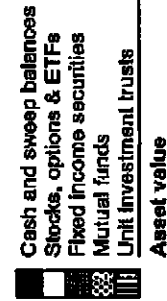


## **Portfolio summary**

CURRENT



ASSET TYPE



	PREVIOUS VALUE ON NOV 30	%	CURRENT VALUE ON DEC 31	%	ESTIMATED ANNU. INCOME
Cash and sweep balances	22,375.97	42.89	19,932.51	40.38	1
Stocks, options & ETFs	0.00	0.00	0.00	0.00	0
Fixed income securities	0.00	0.00	0.00	0.00	0
Mutual funds	0.00	0.00	0.00	0.00	0
Unit investment trusts	29,792.58	57.11	29,433.41	59.62	1,946
<b>Asset value</b>	<b>\$52,168.55</b>	<b>100%</b>	<b>\$49,365.92</b>	<b>100%</b>	<b>\$1,947</b>

**SNAPSHOT**

Page 2 of 9

J HOWARD COBLE

DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: [REDACTED]**Cash flow summary**

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	\$22,375.97	6,650.86
Deposits	355.73	3,642.29
Income and distributions	162.33	54,108.69
Securities sold and redeemed	2.83	\$64,401.84
Net additions to cash	\$320.89	-20,921.76
Withdrawals by check	-2,864.35	-34,027.46
Securities purchased	0.00	-250.09
Other subtractions	0.00	-\$55,199.31
Net subtractions from cash	-\$2,864.35	
Closing value of cash and sweep balances	\$19,932.51	

**Income summary**

	THIS PERIOD	THIS YEAR
TAXABLE Money market/sweep funds	0.18	1.14
Ordinary dividends and ST capital gains	162.15	3,641.15
Total taxable income	\$162.33	\$3,642.29
Total federally tax-exempt income	\$0.00	\$0.00
Total income	\$162.33	\$3,642.29

**Gain/loss summary**

	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term (S)	-3,534.01	0.00	0.00
Long term (L)	0.00	0.00	-2,452.54
Total	-\$3,534.01	\$0.00	-\$2,452.54

SNAPSHOT  
020 IN NASH



# **SNAPSHOT**

**J HOWARD COBLE**

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DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: [REDACTED]

## **Your Financial Advisor**

**JOHN COPLEY**  
Phone: 800-326-2268

100 NORTH MAIN STREET, 6TH FL  
WINSTON-SALEM, NC 27150

## **Client service information**

Client service: 800-733-8812  
En español: 800-326-8977  
Website: [www.wellsfargoadvisors.com](http://www.wellsfargoadvisors.com)

## **Account profile**

Full account name:  
Account type:  
Brokerage account number:  
Command account number:  
Tax status:  
Investment objective/Risk tolerance:  
Time horizon:  
Liquidity needs:  
Cost Basis Election:  
Sweep option:

**J HOWARD COBLE**  
Command Asset Program  
2058-3911  
4002630445  
Taxable  
MODERATE GROWTH  
MODERATE (5-10 YEARS)  
MODERATE  
First in, First out  
BANK DEPOSIT SWEEP

\*For more information, go to [www.wellsfargoadvisors.com/disclosures](http://www.wellsfargoadvisors.com/disclosures).

## **Available funds**

Cash	0.00
Money market and sweep funds	19,932.51
Available for loan	0.00
<b>Your total available funds</b>	<b>\$19,932.51</b>

## **For your consideration**

Go paperless. Accessing all of your account documents online is easy and costs nothing. To participate, log in to Online Brokerage through [wellsfargoadvisors.com](http://wellsfargoadvisors.com). Click on the Delivery Preferences link found under Statements & Documents to turn off paper delivery of your account documents. If you are not an Online Brokerage user and need to sign up, go to [wellsfargoadvisors.com/signup](http://wellsfargoadvisors.com/signup) or call 866-281-7436 for assistance.

## **Document delivery status**

	Paper	Electronic
Statements:	X	
Trade confirmations:	X	
Tax documents:	X	
Shareholder communications:	X	

J HOWARD COBLE

DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: [REDACTED]**Additional Information**

	THIS PERIOD	THIS YEAR	Gross proceeds	THIS PERIOD	THIS YEAR
Accrued interest on sales	0.00	49.30		0.00	48,703.18
Return of principal	2.83	5,356.21			

**Portfolio detail****Cash and Sweep Balances**

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	40.38	0.01	19,932.51	1.99
Interest Period 12/01/13 - 12/31/13				
Total Cash and Sweep Balances	40.38		\$19,932.51	\$1.99

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

**Unit Investment Trusts****Equity Trusts**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
GUGGENHEIM DIVERSIFIED CREDIT PORT OF ETF SER 1 CASH CUSIP 40168E469 Acquired 01/24/13 S nc	31.87	1,665	10.21 10.21	17,009.14 17,011.97	9.4500	15,794.25	-1,274.89	727.60	4.62
GUGGENHEIM FLAHERTY & CRUMRINE PREFERRED PORT 30 CASH									



J HOWARD COBLE

DECEMBER 1 - DECEMBER 31, 2013  
ACCOUNT NUMBER: [REDACTED]

### Unit Investment Trusts

#### Equity Trusts continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
CUSIP 40186G407									
Acquired 01/24/13 S		1,718	9.26	15,925.19		13,675.28	-2,249.91		
Acquired 01/24/13 S		3	9.88	16,980.54		23.88	-9.21		
			11.03	33.09					
			9.98	34.85					
<b>Total</b>	<b>27.75</b>	<b>1,721</b>		<b>\$15,958.28</b>	<b>7.9800</b>	<b>\$13,689.16</b>	<b>-\$2,259.12</b>	<b>\$1,218.46</b>	<b>8.89</b>
				<b>\$17,015.49</b>					
<b>Total Equity Trusts</b>	<b>59.62</b>			<b>\$32,967.42</b>		<b>\$29,433.41</b>	<b>-\$3,534.01</b>	<b>\$1,946.06</b>	<b>6.61</b>
				<b>\$34,027.48</b>					
<b>Total Unit Investment Trusts</b>	<b>59.62</b>			<b>\$32,967.42</b>		<b>\$29,433.41</b>	<b>-\$3,534.01</b>	<b>\$1,946.06</b>	<b>6.61</b>
				<b>\$34,027.46</b>					

no Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

### Activity detail

#### Deposits

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/11	Cash	DEPOSIT		TRANSFER TO BROKERAGE		355.73
				<b>Total Deposits:</b>		<b>\$355.73</b>

#### Income and distributions

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/28	Cash	DIVIDEND		GUGGENHEIM DIVERSIFIED CREDIT PORT OF ETF SER 1 CASH 12/25/13 1.685 AS OF 12/25/13		60.61
12/26	Cash	DIVIDEND		GUGGENHEIM FLAHERTY & CRUMRINE PREFERRED PORT 30 CASH 12/25/13 1.721 AS OF 12/25/13		101.54





J HOWARD COBLE

 DECEMBER 1 - DECEMBER 31, 2013  
 ACCOUNT NUMBER: [REDACTED]

### Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These "sweep transactions" may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
12/01	TRANSFER FROM	BEGINNING BALANCE	22,375.97	12/18	TRANSFER FROM	BANK DEPOSIT SWEEP	-127.79
12/03	TRANSFER FROM	BANK DEPOSIT SWEEP	-307.36	12/19	TRANSFER FROM	BANK DEPOSIT SWEEP	-80.00
12/04	TRANSFER FROM	BANK DEPOSIT SWEEP	-40.00	12/23	TRANSFER FROM	BANK DEPOSIT SWEEP	-1,000.00
12/09	TRANSFER FROM	BANK DEPOSIT SWEEP	-89.00	12/24	TRANSFER FROM	BANK DEPOSIT SWEEP	-1,000.00
12/11	TRANSFER TO	BANK DEPOSIT SWEEP	355.73	12/27	TRANSFER TO	BANK DEPOSIT SWEEP	164.98
12/11	TRANSFER FROM	BANK DEPOSIT SWEEP	-70.20	12/31	REINVEST INT	BANK DEPOSIT SWEEP	0.18
12/16	TRANSFER FROM	BANK DEPOSIT SWEEP	-200.00	12/31	ENDING BALANCE		19,932.51
12/17	TRANSFER FROM	BANK DEPOSIT SWEEP	-50.00				

### Bank Deposits Through Teller

December 1 - December 31

Wells Fargo Bank, N.A. (Member FDIC)

Account number 4002630445

Questions? Call us at 1-800-266-6263

Deposits made in a bank branch on the last business day of the month will typically appear on your next statement.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	BANK BALANCE
12/01		BEGINNING BALANCE		\$0.00
12/10	DEPOSIT	BANK DEPOSIT	355.73	355.73
12/10	TRANSFER	TRANSFER TO BROKERAGE	-355.73	0.00



## 2013 ENHANCED 1099

Page 1 of 19

Your Financial Advisor :

JOHN COPLEY  
100 NORTH MAIN STREET, 6TH FL  
WINSTON-SALEM, NC 27150  
(800) 326-2266

J HOWARD COBLE

This package contains your official IRS Form(s) 1099. Please retain this package for tax preparation purposes.

### Enclosed within this package

#### Tax Statement

Summary of Reportable Tax Information ..... 3  
1099-B Proceeds from Broker and Barter Exchange Transactions ..... 5  
Details of Reportable Tax Information ..... 7  
Federal Non-Reportable Information ..... 9  
The information below is for courtesy purposes only, and is not reported to the IRS.  
Annual Statement Information

Summary Page ..... 12  
Activity Detail ..... 13

If you receive an amended Form 1099, the Annual Statement Information will not be included. BE SURE TO RETAIN THIS FOR FUTURE USE.

Wells Fargo Advisors, LLC, brokerage account(s) carried by First Clearing, LLC, Wells Fargo Advisors, LLC and First Clearing, LLC, Members FINRA/SIPC are separate registered broker-dealers and not bank affiliates of Wells Fargo & Company. We are not a legal or tax advisor. However, our advisors will be glad to work with you, your accountant, tax advisor and/or attorney to help you meet your financial goals.

Investments and Insurance products are:

NOT FDIC-INSURED NO BANK GUARANTEE MAY LOSE VALUE

## 2013 ENHANCED 1099

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As of Date: 2/07/14

**Payer:**  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

**Your Financial Advisor:**  
JOHN COPLEY  
100 NORTH MAIN STREET, 6TH FL  
WINSTON-SALEM, NC 27150  
(800) 326-2268

J HOWARD COBLE

Account Number: [REDACTED]  
Your Federal Identification Number: [REDACTED]

## Summary of Reportable Tax Information

1099-DIV Dividends and Distributions for 2013		OMB No. 1545-0110	1099-INT Interest Income for 2013		OMB No. 1545-0112
IRS Box	Amount		IRS Box	Amount	
1a Total Ordinary Dividends	2,404.34		1 Interest Income	1.14	
1b Qualified Dividends	1,212.95		3 Interest on U.S. Savings Bonds and Treasury Obligations	0.00	
2a Total Capital Gain Distributions	583.11		4 Federal Income Tax Withheld	0.00	
2b Unrecaptured Sec. 1250 Gain	3.45		5 Investment Expenses	0.00	
2c Section 1202 Gain	0.00		6 Foreign Tax Paid	0.00	
2d Collectibles (28%) Gain	0.00		7 Foreign Country or U.S. Possession	See Detail Section	
3 Nondividend Distributions	6,050.03		8 Tax-exempt Interest	0.00	
4 Federal Income Tax Withheld	0.00		9 Specified Private Activity Bond Interest	0.00	
5 Investment Expenses	43.20		10 Tax-exempt Bond Cusip No.	See Detail Section	
6 Foreign Tax Paid	0.00				
7 Foreign Country or U.S. Possession	See Detail Section				
8 Cash Liquidation Distributions	0.00				
9 Noncash Liquidation Distributions	0.00				
10 Exempt-Interest Dividends	34.54				
11 Specified Private Activity Bond Interest Dividends	3.01				

## 1099-B Proceeds from Broker and Barter Exchange for 2013

IRS Box	Amount
2a Sales Price Less Commissions and Option Premiums	48,752.48
4 Federal Income Tax Withheld	0.00

The amount in IRS Box 2a is composed of the item(s) reported on the FORM 1099-B on a later page in this package. Each item is reported to the Internal Revenue Service individually.

**THIS PACKAGE INCLUDES YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES**  
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

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## 2013 ENHANCED 1099

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As of Date: 2/07/14

## Your Financial Advisor:

JOHN COBLE  
100 NORTH MAIN STREET, 8TH FL  
WINSTON-SALEM, NC 27150  
(800) 326-2268

## Payer:

FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Account Number: [REDACTED]  
Your Federal Identification Number: [REDACTED]

## Reportable Tax Information

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. Where available, we have also provided additional information for courtesy purposes that is not provided to the IRS. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: TAXPAYERS ARE ULTIMATELY RESPONSIBLE FOR THE ACCURACY OF THEIR TAX RETURNS.

The 1099-B data shown is reported to the IRS. Individual tax lots for each trade, where applicable, have been broken out and shown in *italics*. This detailed information is not reported to the IRS, but may be helpful in completing Form 8949 of Schedule D of your tax return.

**LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED**  
**1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715**

8-Description / CUSIP	9-Date of Sale or Exchange	1a-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental information not reported to the IRS	
						Amount	Additional Information
GUGGENHEIM / 40187NG26							
FLAHERTY & CRUMRINE PFD							
PORT SERIES 26 CASH							
11/13/13		2,605.0000	01/13/12	14,712.26	16,463.82	-1,751.56	REDEMPTION
<b>TOTAL LONG TERM GAINS OR LOSSES FOR BASIS</b>							
					14,712.26	16,463.82	-1,751.56
<b>REPORTED TO IRS</b>							

**LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\***  
**1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715**

8-Description / CUSIP	9-Date of Sale or Exchange	1a-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental information not reported to the IRS	
						Amount	Additional Information
CLAYMORE SECURITIES / 18387K407							
GUGGENHEIM INT INVT GRD							
CORP SER 20 CASH							
01/22/13		34.0000	05/20/10	33,990.92	34,022.41	-31.49	SALE
<b>TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES</b>							
					33,990.92	34,022.41	-31.49

**THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES**

The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

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## 2013 ENHANCED 1099

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As of Date: 2/07/14

Payer:  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384940

Your Financial Advisor:  
JOHN COPLEY  
100 NORTH MAIN STREET, 8TH FL  
WINSTON-SALEM, NC 27150  
(800) 328-2288

J HOWARD COBLE

Account Number: [REDACTED]  
Your Federal Identification Number: [REDACTED]

## Reportable Tax Information

UNKNOWN TERM GAINS OR LOSSES\* - BASIS NOT REPORTED TO THE IRS.  
REPORT ON FORM 8949, WITH BOX B OR E CHECKED AS APPLICABLE\*\*

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

3-Description / CUSIP	1a-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information not reported to the IRS	
					Gain or Loss Amount	Additional Information
CLAYMORE SECURITIES / 18387K407						
GUGGENHEIM INT INVT GRD						
CORP SER 20 CASH						
01/22/13	34.0000	N/A	49.30	N/A	N/A	PRINCIPAL PAYMENT

TOTAL UNKNOWN TERM GAINS OR LOSSES FOR  
NONCOVERED SECURITIES

49.30 0.00 0.00

\*Box 2a: Sales price less commissions and option premiums

\*\*For noncovered securities, the following information is not reported to the IRS: COST OR OTHER BASIS (Box 3), DATE OF ACQUISITION (Box 4b), TYPE OF GAIN OR LOSS (Box 1e), WASH SALE LOSS AMOUNT DISALLOWED (Box 5).

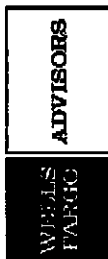
For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss columns, or Cost column. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.

#Investor must provide missing data to determine what box to check on Form 8949

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

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## 2013 ENHANCED 1099

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**As of Date: 2/07/14**

**Payer:**  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

**Your Financial Advisor :**  
JOHN COPLEY  
100 NORTH MAIN STREET, 6TH FL  
WINSTON-SALEM, NC 27150  
(800) 328-2268

J HOWARD COBLE

**Account Number:** [REDACTED]  
**Your Federal Identification Number:** [REDACTED]

## Details of Reportable Tax Information

While only the Form 1099 box totals are reported to the IRS, the following specific transaction detail is being provided to you for courtesy purposes. The IRS box numbers referenced for individual items listed below comprise the totals which appear on the actual Forms 1099, shown on the summary page of this package. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

Tax reporting requirements can create differences with the amounts previously reported in monthly client statements. If you have an investment in a mutual fund, regulated investment company (RIC), real estate investment trust (REIT), or unit investment trust (UIT), some of those issuers provide reclassification information after the original tax form is printed. We will issue an amended form for information received after your original tax forms are generated.

## 1099-DIV Dividends and Distributions

Description	Notes	Paid Date	# of Payments	Activity	Amount	IRS Box	County	CUSIP
CLYM GUGG INT INVT 20 CS		01/25/2013	1	DIVIDEND	115.23	1a		18387K407
GUGG DIVS CRDT ETF 1 CSH		VARIOUS	10	DIVIDEND	520.58	1a		40168E468
GUGG DIVS CRDT ETF 1 CSH		VARIOUS	11	QUALIFIED DIVIDEND	95.58	1a, 1b		40168E468
GUGG DIVS CRDT ETF 1 CSH		12/24/2013	1	SHORT TERM CAP GAIN	6.16	1a		40168E468
GUGG DIVS CRDT ETF 1 CSH		12/26/2013	3	DIVIDEND	52.34	1a		40168E468
GUGG DIVS CRDT ETF 1 CSH	U	12/31/2013	1	UNDISTRIBUTED INCOME	17.10	1a		40168E468
GUGG DIVS CRDT ETF 1 CSH	U	12/31/2013	1	UNDISTRIBUTED INCOME	2.85	1a, 1b		40168E468
GUGG FLAHC&CRUM PFD 30 C		VARIOUS	11	DIVIDEND	224.75	1a		40168G407
GUGG FLAHC&CRUM PFD 30 C		VARIOUS	11	QUALIFIED DIVIDEND	606.74	1a, 1b		40168G407
GUGG FLHRTY&CRMN PF26C		VARIOUS	12	DIVIDEND	255.22	1a		40167N625
GUGG FLHRTY&CRMN PF26C		VARIOUS	12	QUALIFIED DIVIDEND	507.78	1a, 1b		40167N625
<b>TOTAL ORDINARY DIVIDENDS (INCLUDING QUALIFIED DIVS AND SHORT TERM CAP GAINS)</b>					<b>2,404.94</b>	<b>1a</b>		
<b>TOTAL QUALIFIED DIVIDENDS</b>					<b>1,212.95</b>	<b>1b</b>		
CLYM GUGG INT INVT 20 CS		01/25/2013	1	CAPITAL GAINS	30.46	2a		18387K407
GUGG DIVS CRDT ETF 1 CSH		12/24/2013	1	CAPITAL GAINS	4.83	2a		40168E468
GUGG FLHRTY&CRMN PF26C		VARIOUS	8	CAPITAL GAINS	544.38	2a		40167N625
GUGG FLHRTY&CRMN PF26C		VARIOUS	8	UNRECAP 1250 GAIN	3.45	2a, 2b		40167N625
<b>TOTAL CAPITAL GAIN DISTRIBUTIONS (INCLUDING BOXES 2B, 2C, AND 2D, IF ANY)</b>					<b>583.11</b>	<b>2a</b>		
<b>TOTAL UNRECAPTURED SEC. 1250 GAIN</b>					<b>3.45</b>	<b>2b</b>		
CLYM GUGG INT INVT 20 CS		01/25/2013	1	RETURN OF CAPITAL	3.58	3		18387K407
GUGG DIVS CRDT ETF 1 CSH		VARIOUS	11	RETURN OF CAPITAL	6.80	3		40168E468

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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## 2013 ENHANCED 1099

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As of Date: 2/07/14

**Payer:**  
**FIRST CLEARING, LLC**  
 2801 MARKET STREET  
 SAINT LOUIS, MO 63103  
 Payer ID #: 23-2384840

**Your Financial Advisor:**  
**JOHN COPLEY**  
 100 NORTH MAIN STREET, 6TH FL  
 WINSTON-SALEM, NC 27150  
 (800) 328-2268

J HOWARD COBLE

Account Number: [REDACTED]  
 Your Federal Identification Number: [REDACTED]

## Details of Reportable Tax Information

## 1099-DIV Dividends and Distributions Continued

Description	Notes	Payment Date	# of Payments	Activity	Amount	IRS Box	Country	CUSIP
GUGG DIVS CRDT ETF 1 CSH	U	12/31/2013	1	UNDISTRIBUTED INCOME	0.20	3		40168E469
GUGG FLAH&CRUM PFD 30 C		VARIOUS	14	RETURN OF CAPITAL	1,126.67	3		40168G407
GUGG FLHRTY&CRMN PF26C		VARIOUS	20	RETURN OF CAPITAL	4,912.78	3		40167N625
<b>TOTAL NONDIVIDEND DISTRIBUTIONS</b>					<b>6,050.03</b>	<b>3</b>		
GUGG DIVS CRDT ETF 1 CSH		VARIOUS	11	INVESTMENT EXPENSE	43.20	5		40168E469
<b>TOTAL INVESTMENT EXPENSES</b>					<b>43.20</b>	<b>5</b>		
GUGG DIVS CRDT ETF 1 CSH		VARIOUS	11	EXEMPT INTEREST DIV	30.62	10		40168E469
GUGG DIVS CRDT ETF 1 CSH	@	VARIOUS	11	EXEMPT DIV - AMT	2.92	10,11		40168E469
GUGG DIVS CRDT ETF 1 CSH	U	12/31/2013	1	UNDISTRIBUTED INCOME	0.91	10		40168E469
GUGG DIVS CRDT ETF 1 CSH	U, @	12/31/2013	1	UNDISTRIBUTED INCOME	0.09	10,11		40168E469
<b>TOTAL EXEMPT-INTEREST DIVIDENDS</b>					<b>34.54</b>	<b>10</b>		
<b>TOTAL SPECIFIED PRIVATE ACTIVITY BOND INTEREST DIVIDENDS</b>					<b>3.01</b>	<b>11</b>		

U - Undistributed Income reported by Unit Investment Trust after December 31st that is reportable income for this tax year. Negative amount indicates reversal of undistributed income reported in prior tax year.  
 @ If you are subject to alternative minimum tax reporting, consult with your tax advisor about this amount.

## 1099-INT Interest Income

Description	Notes	Payment Date	# of Payments	Activity	Amount	IRS Box	Country	CUSIP (Box 10)
BANK DEPOSIT SWEEP		VARIOUS	12	INTEREST	1.14	1		
<b>TOTAL INTEREST INCOME NOT INCLUDED IN BOX 3</b>					<b>1.14</b>	<b>1</b>		

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

020 / N4 / N43M

## 2013 ENHANCED 1099

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## Your Financial Advisor :

JOHN COPLEY  
100 NORTH MAIN STREET, 6TH FL  
WINSTON-SALEM, NC 27150  
(800) 328-2288

## Payer:

FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

As of Date: 2/07/14

J HOWARD COBLE

Account Number: [REDACTED]  
Your Federal Identification Number: [REDACTED]

## Federal Non-Reportable Information

THE FOLLOWING INFORMATION IS NOT PROVIDED TO THE IRS. THIS IS SUPPLEMENTARY INFORMATION ONLY THAT MIGHT BE USEFUL IN THE PREPARATION OF YOUR FEDERAL, STATE OR LOCAL TAX RETURN. MUCH OF THIS INFORMATION IS BASED ON GENERAL INFORMATION THAT IS PROVIDED BY THE ISSUER. PLEASE REVIEW THIS INFORMATION WITH YOUR TAX ADVISOR.

## Miscellaneous Activity Summary

Line Ref	Type	Amount
1	Miscellaneous Interest	0.00
2	Municipal Bonds - OID Not Subject to AMT	0.00
3	Municipal Bonds - OID Subject to AMT	0.00
4	Expenses Subject to 2% of Adjusted Gross Income	0.00
5	Expenses Not Subject to 2% Adjusted Gross Income	0.00
6	Widely Held Fixed Investment Trusts - Other Items	0.00
7	Master Limited Partnership Distributions	See Detail
8	Investment Expense Withheld from Tax-Exempt Income	0.00
9	Federally Non-reportable Dividends and Interest	2.15
10	Accrued Interest on Purchases	0.00
11	Federal Tax Exempt Accrued Interest on Purchases	0.00
12	Other Supplementary Information	0.00
13	Option Premiums	0.00
14	Advisory Fees	0.00
15	American Depository Receipt (ADR) Fees	0.00

## Miscellaneous Activity Detail

Description	Notes	Payment Date	# of Payments	Activity	Amount	Line Ref	CUSIP
GUGG DIVS CRDT ETF 1 CSH		VARIOUS	11	INVESTMENT EXP	2.15	8	40166E469
TOTAL INVESTMENT EXPENSE WITHHELD FROM TAX-EXEMPT INCOME					2.15	8	

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

020 / N4 / N43M



**Allianz Life Insurance Company  
of North America**

PO Box 59060  
Minneapolis, MN 55459-0060

800.950.1962

#BW86FY



J HOWARD COBLE



**2013 Annual Contract Statement  
for your MasterDex 10 Annuity**

We are pleased to provide this annual statement for your MasterDex 10 Annuity. Your contract values as of your contract anniversary date are shown below. These values are based on your prior contract year. You may now access information regarding contract values, withdrawals and available forms at [www.allianzlife.com](http://www.allianzlife.com).

**Annuitant**  
J HOWARD COBLE

**Contract date**  
09/16/2005

**Contract number**

**Plan type**  
Non-Qualified

**Agent**  
ERNEST B WHICHARD JR

**Detail for contract year beginning 09/16/2012 and ending 09/15/2013**

**Annuitization Value**

The Annuitization Value is the greater value that is received when a qualifying annuity option is selected.

Beginning Annuitization Value	\$26,587.45
Index Adjustment	\$1,197.41
Ending Annuitization Value	\$27,784.86

Annualized Increase in Annuitization Value  
over premium (including 100% of the  
bonus amount and index and interest  
credits) less withdrawals. 4.54%

The Annuitization Value is equal to 100% of premium paid, plus the bonus and any interest earned, minus any reductions caused by applicable charges or withdrawals you take. The Annuitization Value is available only if you wait until the sixth contract year and then take annuity payments either for a period of at least 10 years or for the life of the annuitant.

Please refer to your contract for more specific information on how to receive the highest value possible.

**Cash Value**

The Cash Value is the amount available to you should you select a lump-sum payment from your contract.

Beginning Cash Value	\$19,249.57
Ending Cash Value	\$19,586.45

The Cash Value is equal to 87.5% of premium paid, minus any reductions caused by withdrawals you take, all accumulated at no less than 1.5% interest compounded annually. The Cash Value does not include the premium bonus or any of the fixed or indexed interest that is credited to the Annuitization Value.

**Death Benefit**

If the beneficiary elects to receive the Death Benefit as annuity payments for a period of at least five years, the Death Benefit is equal to the Annuitization Value. Otherwise, the Death Benefit is equal to the greater of (1) the Cash Value or (2) the premium paid minus any reductions caused by withdrawals you take.

*The Allianz Service Center can be reached at 800.950.1962 between the hours of 7am and 8pm Monday through Thursday, and 7am to 5pm on Friday, Central Time. To better assist you, please have your contract number available when you call.*

**Interim interest account for CONTRACT year beginning 09/16/2012 and ending 09/15/2013**

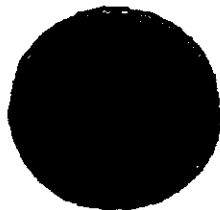
**TOTAL** \$0.00  
**Beginning Contract Year** 09/16/2013  
**New Current Interest Rate** 2.50%

The Interim Interest Account has been allocated according to your premium allocation percentages and is reflected in the Reallocated Amount below.

**Allocation detail for Contract year beginning 09/16/2012 and ending 09/15/2013**

	<b>S &amp; P 500 Index</b>	<b>Nasdaq - 100 Index</b>	<b>Interest Allocation</b>	
<b>Beginning Allocated Value</b>	\$26,587.45	\$0.00	<b>Beginning Allocated Value</b>	\$0.00
<b>New Premium Allocation</b>	100.00%	0.00%	<b>New Premium Allocation</b>	0.00%
<b>Initial Index Value</b>	1,465.77	2,855.23	<b>Ending Allocated Value</b>	\$0.00
<b>Ending Index Value</b>	1,687.99	3,178.27		
<b>Monthly Cap Rate</b>	2.40%	2.40%		
<b>Participation Rate</b>	100.00%	100.00%		
<b>Annual Index Rate</b>	4.50%	0.73%		
<b>Index Adjustment</b>	\$1,197.41	\$0.00		
<b>Reallocated Amount</b>	\$0.00	\$0.00		
<b>Ending Allocated Value</b>	\$27,784.86	\$0.00		

The following pie chart represents your allocated values.



<b>S &amp; P 500 Index Allocation</b>	<b>\$27,784.86</b>	<b>100%</b>
<b>Nasdaq - 100 Index Allocation</b>	<b>\$0.00</b>	
<b>Interest Allocation</b>	<b>\$0.00</b>	

Allocation percentages are rounded.

**Beginning contract year 09/16/2013**

If you would like to make changes to your premium allocation percentages, your reallocation percentages, and/or to reallocate your Annuitization value, please complete and return the enclosed reallocation form within 21 days following your policy anniversary. If notice is received after 21 days, changes will not be effective until the beginning of the next policy year.

	<b>S &amp; P 500 Index</b>	<b>Nasdaq - 100 Index</b>	<b>Interest Rates</b>	
<b>Monthly Cap Rate</b>	2.25%	2.25%	<b>New Current Interest Rate</b>	2.50%
<b>Participation Rate</b>	100.00%	100.00%		
<b>Initial Index Value</b>	1,687.99	3,178.27		

\*Standard & Poor's® "S&P 500," "S&P 500®," "Standard & Poor's 500," and "500" are trademarks of The McGraw-Hill Companies, Inc. and have been licensed for use by Allianz Life Insurance Company of North America. (The product is not sponsored, endorsed, sold or promoted by Standard & Poor's and Standard & Poor's makes no representation regarding the advisability of purchasing the product.)

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The following table shows the calculation of the sum of Capped Monthly Rates during the past year.

### S & P 500 Index

### Nasdaq - 100 Index

**Monthly Cap 2.40%**

**Monthly Cap 2.40%**

Policy Month	Monthly Index Rate	Capped Monthly Rate
1	-1.749%	-1.749%
2	-6.027%	-6.027%
3	4.452%	2.400%
4	4.157%	2.400%
5	3.223%	2.400%
6	2.682%	2.400%
7	-0.534%	-0.534%
8	6.855%	2.400%
9	-1.932%	-1.932%
10	3.428%	2.400%
11	-1.259%	-1.259%
12	1.605%	1.605%
Sum of Capped Monthly Rates:		4.50%
Annual Index Rate:		4.50%

Policy Month	Monthly Index Rate	Capped Monthly Rate
1	-4.040%	-4.040%
2	-7.866%	-7.866%
3	4.110%	2.400%
4	3.611%	2.400%
5	1.531%	1.531%
6	1.257%	1.257%
7	-0.069%	-0.069%
8	7.333%	2.400%
9	-1.957%	-1.957%
10	4.619%	2.400%
11	-0.118%	-0.118%
12	3.317%	2.400%
Sum of Capped Monthly Rates:		0.73%
Annual Index Rate:		8.73%



Signed in as: AUVS01

**Logoff****Account Detail for Contract 743568**

Last updated as of close of business on 5/17/2013

**Product:** Retirement Gold**Owner(s):** J COBLE  
[REDACTED]**Issue Date:** 6/19/2009**Anniversary Date:** 6/19/2013**Reallocation Deadline:** 6/26/2013**Contract Value\*:** \$19,003.95**Surrender Value\*:** \$15,270.94

For fixed interest rate see your annual statement

**11 Month Summary:** 5/18/2013**Annual Statement:** 6/19/2012**Agent:** ERNEST WHICHARD  
2102 NORTH ELM ST STE G  
GREENSBORO, NC 27408  
(336) 272-0181**Current Allocations**

Fund	Balance
Fixed	\$3,896.27
S & P Averaged Value with Cap	\$0.00
S & P Averaged Value	\$2,764.08
S & P Point to Point with Cap	\$0.00
S & P Point to Point with Participation	\$2,817.38
Monthly Point to Point	\$9,526.22
Total:	\$19,003.95

\*Values are subject to policy provisions. Contract Values on the anniversary date are not final

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## Annual Statement

Effective June 19, 2013

**RETIREMENT GOLD**

Contract #: 743568

Tax Status: NONQ

Issue Date: 06/19/2009

J HOWARD COBLE

**Your American Equity Agent**

ERNEST B WHICHARD  
2102 NORTH ELM ST STE G  
GREENSBORO, NC 27408  
336-272-0181

### CURRENT YEAR CONTRACT SUMMARY

(06/19/2012 to 06/19/2013)

Beginning Balance:	\$18,903.05
Vested Bonus: 8.33%	\$181.12
Withdrawals:	\$0.00
LIBR Fee:	\$0.00
Total Interest Credited:	\$1,391.26
Contract Value (1):	\$20,294.31
Minimum Guaranteed Surrender Value (2):	\$14,827.65
Cash Surrender Value (3):	\$16,489.04
Income Account Value (4):	\$20,924.81

### SINCE INCEPTION CONTRACT SUMMARY

Total Premiums Received: .....	\$15,616.34	Total Interest Credited: .....	\$2,804.01
Total Bonus: .....	\$1,873.96	Total Withdrawals: .....	\$0.00

- (1) Contract Value is the total value of Your Contract and equals the sum of Your Fixed Value and Your Indexed Value.
- (2) Minimum Guaranteed Surrender Value equals 87.5% of all First Year Premiums received; less any Withdrawal Proceeds, accumulated at the Minimum Guaranteed Interest Rate.
- (3) Cash Surrender Value is the amount of Proceeds payable if You Surrender this Contract during the Bonus Vesting Schedule Period, and is equal to the greater of:
  - a) Accrued Premium Amount minus any applicable Surrender Charges, plus the Vested portion of Your Accrued Bonus Amount; or
  - b) Minimum Guaranteed Surrender Value.
- (4) Income Account Value is used to calculate Lifetime Income Benefit payments and equals all Premiums plus Premium Bonus, less any withdrawals, accumulated at 5% annual interest. Must be age 50 or over to begin Lifetime Income Benefit payments. Call for actual monthly payments. Income Account Value is not part of underlying contract value or available in a lump sum.

Note: Please see your contract for detailed definitions and explanations.

1 of 4

American Equity Investment Life Insurance Company

PO Box 71216 • Des Moines, IA 50325 • 1-888-221-1234 • 515-221-0002 • 515-226-3129(Fax) • www.american-equity.com

**SPECIFICATIONS**

**CURRENT YEAR SPECIFICATIONS:**

Strategy	Beginning Value	Withdrawals	Add Premium / Transfers	\$ Credited	% Earned	Ending Value	Current Allocations	Asset Fee	CAP	PR
FIXED	\$3,795.37	\$0.00	\$0.00	\$118.00	2.90%	\$3,905.43	18.24%	N/A	N/A	N/A
S&PAVG(CAP)	\$0.00	\$0.00	\$0.00	\$0.00	6.00%	\$0.00	0.00%	N/A	6.00%	N/A
S&PAVG(PR)	\$2,764.08	\$0.00	\$0.00	\$83.20	3.01%	\$2,847.28	14.03%	N/A	N/A	30.00%
S&PAPT(CAP)	\$0.00	\$0.00	\$0.00	\$0.00	6.00%	\$0.00	0.00%	N/A	6.00%	N/A
S&PAPT(PR)	\$2,817.38	\$0.00	\$0.00	\$148.58	4.99%	\$2,957.97	14.58%	N/A	N/A	25.00%
S&P NPT(CAP)	\$9,526.22	\$0.00	\$0.00	\$1,857.41	11.10%	\$10,563.63	52.15%	0.00%	2.60%	N/A
<b>Total</b>	<b>\$18,903.65</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$1,391.26</b>		<b>\$20,284.31</b>				

If you exercise the Transfer of Values Option as described in your Contract, the values will be transferred on the day after your Contract Anniversary. The Transferred Values and associated interest & or bonus credits will be reflected in the values shown on the Annual Statement we issue on the next Contract Anniversary.

**SPECIFICATIONS FOR NEXT YEAR**

**Caps:**  
S&P AVERAGED VALUE (CAP): 6.00%  
S&P ANNUAL PT. TO PT. VALUE (CAP): 6.00%  
S&P MONTHLY PT. TO PT. VALUE (CAP): 2.60%

**Beginning S&P Index:** 1628.93  
**Fixed Interest Rate:** 2.90%\*

\* Applicable for Initial Premium only. Additional Premiums may be subject to different interest rates.  
New premiums under this contract currently earn 1.10%, subject to change.

**Asset Fee Rates:**  
S&P MONTHLY PT. TO PT. VALUE (CAP): 0.00%

**Participation Rates:**  
S&P AVERAGED VALUE (PARTICIPATION): 30.00%  
S&P ANNUAL PT. TO PT. VALUE (PARTICIPATION): 25.00%

Some states have recently enacted legislation recognizing a legal relationship between two persons of the same sex. According to the laws of those states, the parties to these relationships are entitled to the same legal obligations, responsibilities, protections and benefits that are afforded to spouses. Because of this, the term "spouse" in our contracts may have changed to include such parties. Please see <http://life.american-equity.com/main/links/client/links.asp> for more information. Federal law may impact how certain spousal rights and benefits within some insurance products are treated. Please consult your tax advisor with regard to any adverse tax consequences.

## CALCULATIONS

### S&P MONTHLY INDEX ON INDEX DATES

JUL:	1376.51	OCT:	1433.19	JAN:	1485.98	APR:	1555.25
AUG:	1418.16	NOV:	1386.89	FEB:	1530.94	MAY:	1667.47
SEP:	1461.05	DEC:	1435.81	MAR:	1548.34	JUN:	1628.93

S&P Beginning Index:	1357.98
Index Average:	1494.04
Year End Index:	1628.93

### S&P AVERAGED (PARTICIPATION) Calculation:

- Beginning Value is the Ending Value on the prior Anniversary.
- $$\text{Index Credit} = \text{Participation Rate} \left( \frac{\text{Index Average} - \text{Beginning Index}}{\text{Beginning Index}} \right) \times \begin{matrix} \text{(Beginning Value, plus or} \\ \text{minus any Transferred Values,} \\ \text{less Withdrawal Proceeds and} \\ \text{associated Surrender Charges)} \end{matrix}$$
- Ending Value is the Beginning Value, plus or minus any Transferred Values, less Withdrawal Proceeds and associated Surrender Charges, plus Index Credit.

### S&P ANNUAL PT. TO PT. (PARTICIPATION) Calculation:

- Beginning Value is the Ending Value on the prior Anniversary.
- $$\text{Index Credit} = \text{Participation Rate} \left( \frac{\text{Year End Index} - \text{Beginning Index}}{\text{Beginning Index}} \right) \times \begin{matrix} \text{(Beginning Value, plus or} \\ \text{minus any Transferred Values,} \\ \text{less Withdrawal Proceeds and} \\ \text{associated Surrender Charges)} \end{matrix}$$
- Ending Value is the Beginning Value, plus or minus any Transferred Values, less Withdrawal Proceeds and associated Surrender Charges, plus Index Credit.

### S&P ANNUAL PT. TO PT. (CAP) Calculation:

- Beginning Value is the Ending Value on the prior Anniversary.
- $$\text{Index Credit} = \left( \frac{\text{Year End Index} - \text{Beginning Index}}{\text{Beginning Index}} \right) \times \begin{matrix} \text{(Beginning Value, plus or} \\ \text{minus any Transferred Values,} \\ \text{less Withdrawal Proceeds and} \\ \text{associated Surrender Charges)} \end{matrix}$$

\* Not to Exceed Cap Rate
- Ending Value is the Beginning Value, plus or minus any Transferred Values, less Withdrawal Proceeds and associated Surrender Charges, plus Index Credit.

### S&P AVERAGED (CAP) Calculation:

- Beginning Value is the Ending Value on the prior Anniversary.
- $$\text{Index Credit} = \left( \frac{\text{Index Average} - \text{Beginning Index}}{\text{Beginning Index}} \right) \times \begin{matrix} \text{(Beginning Value, plus or} \\ \text{minus any Transferred Values,} \\ \text{less Withdrawal Proceeds and} \\ \text{associated Surrender Charges)} \end{matrix}$$

\* Not to Exceed Cap Rate
- Ending Value is the Beginning Value, plus or minus any Transferred Values, less Withdrawal Proceeds and associated Surrender Charges, plus Index Credit.

## CALCULATIONS

### S&P MONTHLY POINT TO POINT (CAP) Calculation:

1. Beginning Value is the Ending Value on the prior Anniversary.
2. Index Credit = (MPT Sum - Asset Fee Rate) X (Beginning Value, plus or minus any Transferred Values, less Withdrawal Proceeds and associated Surrender Charges)
3. Ending Value is the Beginning Value, plus or minus any Transferred Values, less Withdrawal Proceeds and associated Surrender Charges, plus Index Credit.

#### DETAILED CALCULATIONS OF MPT VALUE

End Date	Beginning Index	Ending Index	(4) MPT Ratio %
07/19/2012	1357.98	1376.51	1.36452
08/19/2012	1376.51	1418.16	2.60000
09/19/2012	1418.16	1461.05	2.60000
10/19/2012	1461.05	1433.19	1.90684-
11/19/2012	1433.19	1386.89	3.23055-
12/19/2012	1386.89	1435.81	2.60000
01/19/2013	1435.81	1485.98	2.60000
02/19/2013	1485.98	1530.94	2.60000
03/19/2013	1530.94	1548.34	1.13655
04/19/2013	1548.34	1555.25	0.44628
05/19/2013	1555.25	1667.47	2.60000
06/19/2013	1667.47	1628.93	2.31128-

MPT Sum = 11.10

4. MPT Ratio = ( Ending Index - Beginning Index ) \*  
Beginning Index

\* Not to Exceed Cap Rate

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Signed in as: AUVS01

**Logoff****Account Detail for Contract [REDACTED]**

Last updated as of close of business on 6/24/2013

**Product:** Retirement Gold**Owner(s):** J COBLE  
[REDACTED]  
[REDACTED]**Issue Date:** 6/19/2009**Anniversary Date:** 6/19/2013**Reallocation Deadline:** 6/26/2013**Contract Value\*:** \$20,296.15**Surrender Value\*:** \$16,671.89

For fixed interest rate see your annual statement

**11 Month Summary:** 5/18/2013**Annual Statement:** 6/19/2013**Agent:** ERNEST WHICHARD  
2102 NORTH ELM ST STE G  
GREENSBORO, NC 27408  
(336) 272-0181**Current Allocations**

Fund	Balance
Fixed	\$3,907.27
S & P Averaged Value with Cap	\$0.00
S & P Averaged Value	\$2,847.28
S & P Point to Point with Cap	\$0.00
S & P Point to Point with Participation	\$2,957.97
Monthly Point to Point	\$10,583.63
Total:	\$20,296.15

\*Values are subject to policy provisions. Contract Values on the anniversary date are not final

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## 11 Month Summary

Effective May 19, 2013

**RETIREMENT GOLD**

Contract #: [REDACTED]

Tax Status: NONQ

Issue Date: 06/19/2009

J HOWARD COBLE  
[REDACTED]  
[REDACTED]

**Your American Equity Agent**

ERNEST B WHICHARD  
2102 NORTH ELM ST STE G  
GREENSBORO, NC 27408  
336-272-0181

American Equity has a commitment to provide Service "Second to None". We are providing you with an 11-month Summary of your contract (your Annual Statement will be produced on your contract anniversary). This summary contains information that can assist you with your reallocation decision for the upcoming contract year.

This summary provides information such as anticipated Caps, Asset Fees, and Participation Rates for the new contract year, along with calculations to date. *The calculations to date do not reflect your index credits earned as of today and are only provided to help you make an informed decision on any allocation changes.*

**REALLOCATION REQUESTS ARE ACCEPTED NOW UNTIL 5 BUSINESS DAYS FOLLOWING YOUR CONTRACT ANNIVERSARY. REQUESTS RECEIVED AFTER THIS TIME WILL NOT BE HONORED. YOU MAY SUBMIT YOUR CHANGE REQUEST USING ONE OF THE FOLLOWING OPTIONS:**

1. American Equity Client Website ([www.american-equity.com](http://www.american-equity.com)).
2. Fax (515-226-3129).
3. Call toll free (1-866-233-8853).
4. Mail the enclosed Transfer of Values form in the self-addressed envelope provided.

***In order to ensure your request is received within the allotted time frame, we strongly recommend submitting your request via the client website, fax or phone.***

Carefully review this summary and consider your options. Please contact your American Equity agent to assist you with this decision. If you currently do not have a working relationship with an active American Equity agent, you may contact our office to help find an active agent in your area.

1 of 2

# SPECIFICATIONS

## CURRENT YEAR SPECIFICATIONS:

Strategy	Current Value	Beginning Index	Ending Index	Current Allocations	Calculation To Date	Asset Fee	CAP	PR
FIXED	\$3,895.96	N/A	N/A	20.50%	2.90%**	N/A	N/A	N/A
S&P AVERAGED VALUE (CAP)	\$0.00	1357.98	1481.78 (average)	0.00%	6.00%**	N/A	6.00%	N/A
S&P AVERAGED VALUE (PARTICIPATION)	\$2,764.08	1357.98	1481.78 (average)	14.55%	2.73%**	N/A	N/A	30.00%
S&P ANNUAL PT. TO PT. VALUE (CAP)	\$0.00	1357.98	1667.47	0.00%	6.00%**	N/A	6.00%	N/A
S&P ANNUAL PT. TO PT. VALUE (PARTICIPATION)	\$2,817.38	1357.98	1667.47	14.83%	5.70%**	N/A	N/A	25.00%
S&P MONTHLY PT. TO PT. VALUE (CAP)	\$9,526.22	1357.98	1667.47	50.12%	13.41%**	0.00%	2.60%	N/A
Contract Value	\$19,003.64							

\*\* Calculation to date reflects what interest would be at time this summary was generated and has not been credited to your annuity. This calculation is provided only as a summary to provide you with guidance should you decide to change your allocations.

This calculation is NOT a guarantee of what actual interest will be credited on your contract anniversary. Your actual interest credits may change between the date of this summary and your contract anniversary. Values shown do not include LIBR fees that may be applicable at anniversary.

## ANTICIPATED SPECIFICATIONS FOR NEXT YEAR

Caps:		Asset Fee Rates:	
S&P AVERAGED VALUE (CAP):	6.00%	S&P MONTHLY PT. TO PT. VALUE (CAP):	0.00%
S&P ANNUAL PT. TO PT. VALUE (CAP):	6.00%	Participation Rates:	
S&P MONTHLY PT. TO PT. VALUE (CAP):	2.60%	S&P AVERAGED VALUE (PARTICIPATION):	30.00%
Fixed Interest Rate:	2.90%*	S&P ANNUAL PT. TO PT. VALUE (PARTICIPATION):	25.00%

\* Applicable for Initial Premium only. Additional Premiums may be subject to different interest rates.

New premiums under this contract currently earn 1.10%, subject to change.

## Annual Report

# Hartford Insurance Variable Annuity

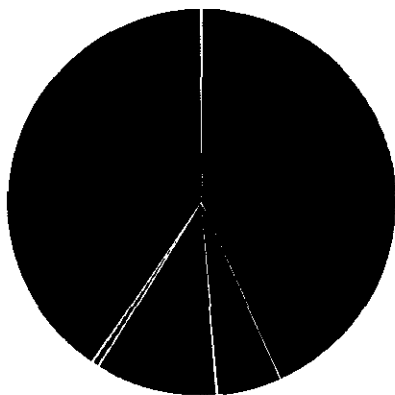
J. Howard Coble  
[REDACTED]  
[REDACTED]

GEORGE S MCCLELLAN LUTCF  
McClellan Financial  
3825 West Market Street  
Suite 102c  
Greensboro, NC 27407  
336-856-1897

Combined Account Portfolio  
Period: 12/31/2013  
Created: 07/14/2014

### Asset Allocation - Asset Type

100% = \$136,052



Asset Type	Amount	Percentage
BONDS	59,005.84	43.4
CASH	7,427.63	5.5
NON-US STOCKS	13,703.04	10.1
OTHER	885.30	0.7
US STOCKS	55,030.95	40.5
Total:	\$136,052.76	100.0%

## Annual Report

J. Howard Coble

GEORGE S MCCLELLAN LUTCF  
McClellan Financial  
3825 West Market Street  
Suite 102c  
Greensboro, NC 27407  
336-856-1897

Combined Account Portfolio  
Period: 01/01/2013-12/31/2013  
Created: 07/14/2014

### Comparative Holdings by Investor

J. Howard Coble

Acct Name: J. HOWARD COBLE 5741 BRAMBLEGATE RD UNIT L GREENSBORO, NC 27409

Acct No: 00712218057

Acct Type: Private Annuity

FIDELITY CONTRAFUND	1,327.91	13.24	17,586.15	1,398.80	17.02	23,802.58	28.49
FIDELITY MID CAP	500.98	14.02	7,024.54	534.24	18.69	9,986.46	33.34
HARTFORD INTL OPPORTUNITIES	4,401.29	1.44	6,334.01	4,744.27	1.72	8,142.88	19.54
HARTFORD SMALL CAP GROWTH	143.22	16.96	2,429.24	170.19	24.11	4,103.41	42.14
HARTFORD US GOVT SECURITIES	57,293.96	1.18	67,470.97	58,570.68	1.14	66,537.93	-3.55
HARTFORD VALUE	12,478.32	1.38	17,226.14	13,137.87	1.79	23,479.49	29.27
Account Total:			\$118,071.05			\$136,052.76	10.66%
Investor Total:			\$118,071.05			\$136,052.76	10.66%
Portfolio Total:			\$118,071.05			\$136,052.76	10.66%

## Annual Report

J. Howard Coble

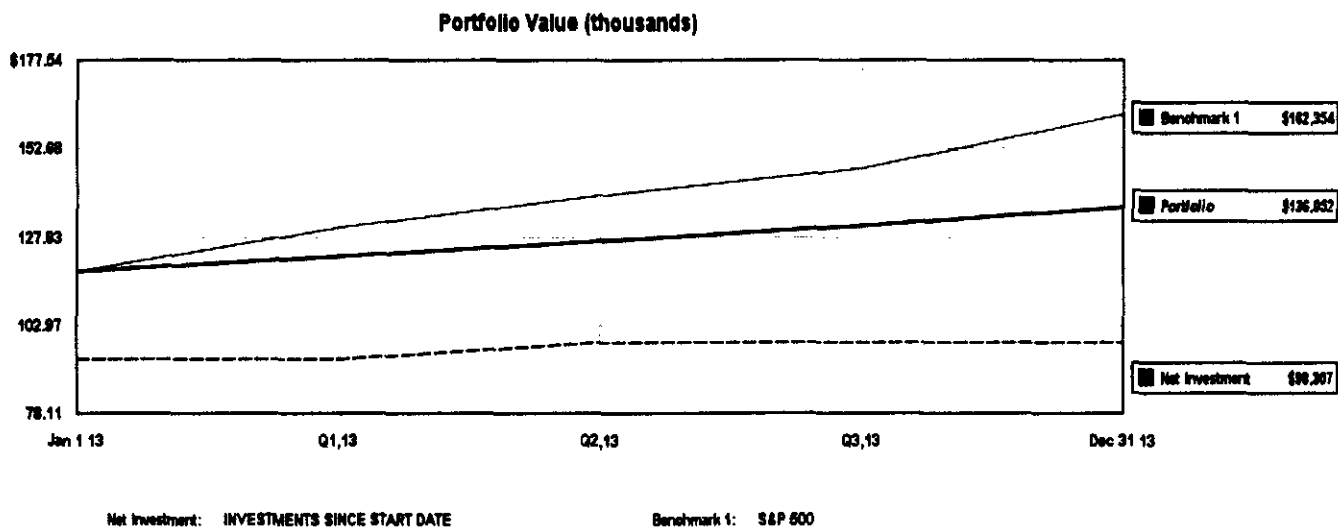
GEORGE S MCCLELLAN LUTCF  
McClellan Financial  
3825 West Market Street  
Suite 102c  
Greensboro, NC 27407  
336-856-1897

Combined Account Portfolio  
Period: 01/01/2013-12/31/2013  
Created: 07/14/2014

### Portfolio Value and Benchmark

#### Portfolio and Benchmark

Selected Period: 01/01/2013-12/31/2013



### Portfolio Performance

Period	Starting Value(\$)	Net Contribution (\$)	Ending Value(\$)	Portfolio(%)	
01/01/2013 - 12/31/2013	\$118,071.05	\$5,000.00	\$136,052.76	10.66%	32.29% -21.62%

# Annual Report

J. Howard Coble

GEORGE S MCCLELLAN LUTCF  
McClellan Financial  
3825 West Market Street  
Suite 102c  
Greensboro, NC 27407  
336-856-1897

Combined Account Portfolio  
Period: 01/01/2013-12/31/2013  
Created: 07/14/2014

## Portfolio Snapshot

As of: 12/31/2013

### Portfolio Value

**\$136,052.76**

### Gain/Loss

**\$16,882.38**

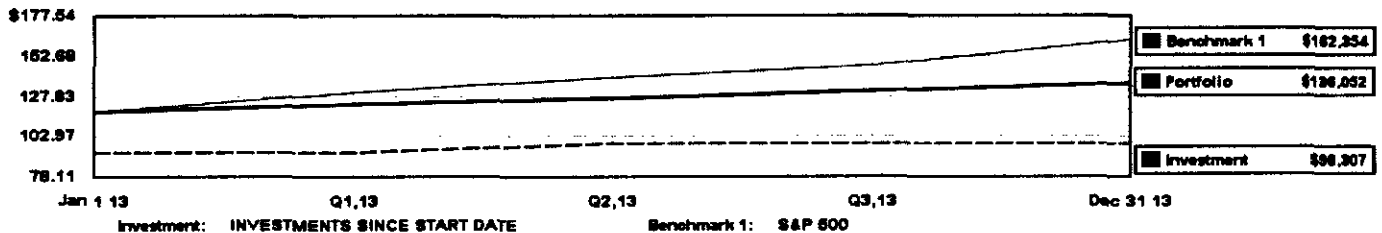
	01/01/2013	06/30/2013	12/31/13	01/01/13	06/30/13	12/31/13
Beginning Value	0.00	110,482.98	118,071.05	126,723.67	118,071.05	
Net Contributions	98,307.84	0.00	5,000.00	0.00	5,000.00	
Change in Value	37,744.92	7,588.07	12,981.71	3,982.42	12,981.71	
Ending Value	136,052.76	118,071.05	136,052.76	130,706.08	136,052.76	
Investment Return	3.94%	6.85%	10.66%	3.14%	10.66%	

### Performance By Account

Account	Start Date	Value	Index	Return	Return	Return	Return	Return
00712218057	08/29/2005	136,052.76	100.0	3.05	6.85	10.66	3.14	10.66
964001257	07/31/2002	0.00	0.0	8.24 <sup>9</sup>	N/A <sup>14</sup>	N/A <sup>14</sup>	N/A <sup>14</sup>	N/A <sup>14</sup>
EN5028708	07/31/2002	0.00	0.0	3.29 <sup>9</sup>	N/A <sup>14</sup>	N/A <sup>14</sup>	N/A <sup>14</sup>	N/A <sup>14</sup>
VA9003635	10/21/2003	0.00	0.0	6.64 <sup>9</sup>	N/A <sup>14</sup>	N/A <sup>14</sup>	N/A <sup>14</sup>	N/A <sup>14</sup>

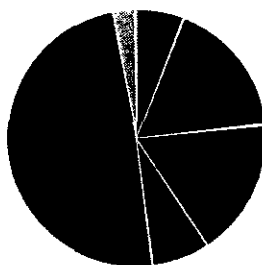
Portfolio and Benchmark Selected Period: 01/01/2013-12/31/2013

### Portfolio Value (thousands)

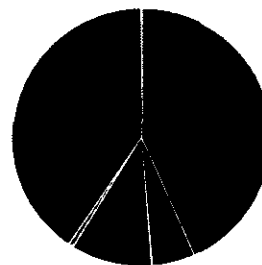


Asset Allocation As of: 12/31/13 100% = \$136,052

### Investment Objective



### Asset Type



Investment Objective	Amount (\$)	Alloc (%)	Asset Type	Amount (\$)	Alloc (%)
LARGE CAP - BLEND	8,142.88	6.0	BONDS	59,005.84	43.4
LARGE CAP - GROWTH	23,802.58	17.5	CASH	7,427.63	5.5
LARGE CAP - VALUE	23,479.49	17.3	NON-US STOCKS	13,703.04	10.1
MID CAP - GROWTH	9,986.46	7.3	OTHER	885.30	0.7
SHORT-TERM MEDIUM QUALITY	66,537.93	48.9	US STOCKS	55,030.95	40.4
SMALL CAP - GROWTH	4,103.41	3.0			



Transaction Detail

Contract Owner: **J. Howard Coble**  
 Contract Number: **[REDACTED]**

### Additional Contribution - Trade Date: April 3, 2013

Investment Choice Abbreviation	Units	Unit Value	Investment Choice Amount
Fidelity VIP Mid Cap Portfolio	33.263	15.031594	\$500.00
Hartford International Opportunities HLS Fund	347.977	1.457822	\$500.00
Hartford Small Cap Growth HLS Fund	26.972	18.537634	\$500.00
Fidelity VIP Contrafund Portfolio	70.886	14.107167	\$1,000.00
Hartford Value HLS Fund	659.554	1.516175	\$1,000.00
Hartford U.S. Government Securities HLS Fund	1276.721	1.174885	\$1,500.00

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Massachusetts Mutual Life Insurance Company  
and affiliates, Springfield MA 01111-0001  
[www.massmutual.com](http://www.massmutual.com)

# MassMutual TRANSITIONS® VARIABLE ANNUITY

## Annual Statement

For the Period Of:

01/01/2013 - 12/31/2013

J. HOWARD COBLE  
[REDACTED]  
[REDACTED]

Credits to Date: \$132.73

Owner/Participant:	J. HOWARD COBLE	Plan Design:	Transitions Custom Plan
Joint Owner/Participant:	N/A	Plan Type:	Non-Qualified
Annuitant:	J. HOWARD COBLE		
Contract/Certificate #:	[REDACTED]		
Issue Date:	12/27/2004		

### Contract/Certificate Values

	For This Quarter	Year To Date	From Issue Date
Beginning Balance	\$193,494.65	\$169,716.40	N/A
Payments	\$10,000.00	\$10,000.00	\$159,511.75
Withdrawals	\$0.00	\$0.00	\$0.00
Investment Performance*	\$15,180.98	\$38,959.23	\$59,163.88
Ending Balance	\$218,675.63		
Total Death Benefit	\$218,675.63		
Cash Surrender Value	\$218,675.63		

\* Investment Performance includes applicable credits and charges for contract/certificate features chosen under the Transitions Custom Plan.

Thank you for choosing MassMutual Annuities for your long-term investment needs. We are pleased to provide a persistency credit based on your contract/certificate value. Credits are noted in the Summary section following your contract/certificate anniversary and on year-end statements.

Registered Representative: RONALD, W ERICKSON  
CES INSURANCE AGENCY INC  
3511 W MARKET ST  
SUITE 150  
GREENSBORO NC 27403

Annuity Service Center: (800) 272-2216, Monday through Friday  
8:00 a.m. - 8:00 p.m. Eastern time

Owners may obtain up-to-date information on account values, unit values, fund performance and perform selected transactions by visiting:

Internet Site: [www.massmutual.com/asc](http://www.massmutual.com/asc)

### Rate of Return

Year-To-Date: + 22.84%

Last 10 years or since contract inception  
if less than 10 years: + 5.71%

Please visit [www.massmutual.com/asc](http://www.massmutual.com/asc) for further details.

## Investment Options Available by Asset Class

### ☐ ASSET ALLOCATION

- MML Conservative Allocation
- MML Balanced Allocation
- MML Moderate Allocation
- MML Growth Allocation
- MML Aggressive Allocation

### ☐ SHORT TERM/STABLE VALUE

- DCA 6 Month
- DCA 12 Month
- DCA 18 Month
- Fixed Account
- MML Money Market
- Oppenheimer Money
- 3 Yr Long Term Guar
- 5 Yr Long Term Guar
- 7 Yr Long Term Guar
- 10 Yr Long Term Guar

### ☐ FIXED INCOME

- MML Babson High Yield
- MML Babson Inflation-Protected Inc
- MML Babson Managed Bond
- MML Babson Short-Duration Bond
- MML Total Return
- Oppenheimer Global Strategic Income

### ☐ BALANCED

- MML Babson Blend

### ☐ LARGE CAP VALUE

- MML Fundamental Value
- MML Income & Growth
- MML Oppenheimer/Loomis Sayles Equity
- MML T. Rowe Price Equity Income

### ☐ LARGE CAP BLEND

- MML Equity Index
- MML Focused Equity
- MML Growth & Income
- Fidelity® VIP Contrafund®
- Invesco V.I. Diversified Dividend
- Oppenheimer Main Street

### ☐ LARGE CAP GROWTH

- MML Rainier Large Cap Growth
- MML Fundamental Growth
- MML T. Rowe Price Blue Chip Growth
- Oppenheimer Capital Appreciation

### ☐ SMALL/MID CAP VALUE

- MML AllianceBern Small/Mid Cap Val
- MML American Century Mid Cap Value

### ☐ SMALL/MID CAP BLEND

- MML Small Cap Equity

### ☐ SMALL/MID CAP GROWTH

- MML T. Rowe Price Mid Cap Growth
- MML W&R/Wellington Sm Cap Growth Equity
- Oppenheimer Discovery Mid Cap Growth

### ☐ INTERNATIONAL/GLOBAL

- MML MFS® Global
- MML Templeton Foreign
- Oppenheimer Global
- Oppenheimer International Growth

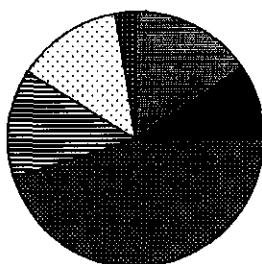
### ☐ SPECIALTY

- ING Clarion Global Real Estate
- Invesco V.I. Global Health Care
- Invesco V.I. Technology
- MML Managed Volatility
- PIMCO CommodityRealReturn Strategy

#### Please Note:

- The Long Term Guarantee funds are not available in FL, NY, OR, PA or WA.
- The Oppenheimer Money fund is only available as an investment choice for contracts issued prior to January 19, 2008.
- MML Small Cap Equity Fund formerly known as MML Small/Mid Cap Equity Fund.
- Oppenheimer Discovery Mid Cap Growth Fund/VA formerly known as Oppenheimer Small- & Mid- Cap Growth Fund/VA.
- Oppenheimer Global Fund/VA formerly known as Oppenheimer Global Securities Fund/VA.
- MML Managed Volatility Fund formerly known as MML Davis Large Cap Value Fund.

## Asset Allocation by Investment Option as of 12/31/2013



Investment Option	Previous # of Units 09/30/2013	Previous Unit Value 09/30/2013	Previous Value as of 09/30/2013	Current # of Units 12/31/2013	Current Unit Value 12/31/2013	Current Value as of 12/31/2013
<b>16% SHORT TERM/STABLE VALUE</b>						
MML Money Market	3,314.6219	9.6578	\$32,012.20	3,524.1782	9.6347	\$33,954.74

# Asset Allocation by Investment Option as of 12/31/2013 (continued)

<u>Investment Option</u>	<u>Previous # of Units 09/30/2013</u>	<u>Previous Unit Value 09/30/2013</u>	<u>Previous Value as of 09/30/2013</u>	<u>Current # of Units 12/31/2013</u>	<u>Current Unit Value 12/31/2013</u>	<u>Current Value as of 12/31/2013</u>
<b>10% LARGE CAP VALUE</b>						
MML Income & Growth	367.4079	15.3760	\$5,649.28	367.6320	16.8181	\$6,182.89
MML T. Rowe Price Equity Income	760.9966	17.9251	\$13,641.01	761.4609	19.4411	\$14,803.65
<b>45% LARGE CAP BLEND</b>						
MML Growth & Income	1,922.8158	17.6180	\$33,876.31	2,030.2736	19.4074	\$39,402.51
Fidelity® VIP Contrafund®	1,528.8714	22.2291	\$33,985.44	1,614.0136	24.4217	\$39,417.11
Oppenheimer Main Street	1,020.0212	16.6657	\$16,999.39	1,076.9301	18.3921	\$19,807.03
<b>14% LARGE CAP GROWTH</b>						
Oppenheimer Capital Appreciation	1,714.3685	14.9522	\$25,633.72	1,809.1495	16.5301	\$29,905.54
<b>13% INTERNATIONAL/GLOBAL</b>						
Oppenheimer Global	1,187.3425	21.9003	\$26,003.16	1,253.2878	23.5232	\$29,481.45
<b>3% SPECIALTY</b>						
ING Clarion Global Real Estate	437.0602	13.0282	\$5,694.14	437.3261	13.0811	\$5,720.71
<b>Total Contract/Certificate Balance</b>			<b>\$193,494.65</b>			<b>\$218,675.63</b>

## Summary of Credits and Charges for Optional Contract/Certificate Features

<b>Credits</b>	
7 Yr. CDSC	\$108.73
e-Documents <sup>SM</sup>	\$24.00
<b>Total Credits</b>	<b>\$132.73</b>

## A Message From MassMutual

### Are you prepared to celebrate your 80<sup>th</sup> or 90<sup>th</sup> birthday?

All those candles on your birthday cake will require sound planning to reduce the risk of outliving your assets. Learn how a deferred income annuity can help secure income for your future in this issue of *Expressions Newsletter*.

### Understanding the impact of withdrawals

If you have elected an optional guaranteed minimum accumulation benefit or a guaranteed minimum income benefit with your variable annuity contract and would like to understand the impact that a withdrawal may have on the benefit provided by those features, please contact us to obtain a personalized calculation demonstrating the effect of a withdrawal.

### Statement Reporting Period

Please note that if a statement reporting period ends on a non-business day, the unit values that we use to calculate contract values for that day as well as any transactions effective that day, (and accordingly, the rate of return shown on your statement) will be based on unit values for the next business day.

### Attention Tax Qualified Contract Owners:

The Fair Market Value (FMV) of your qualified contract is the amount used when filing IRS Form 8606 and in determining the amount of any required minimum distributions. IRS regulations require that certain additional benefits offered under an annuity be included in determining the FMV of your contract. Therefore, the FMV of your qualified contract may not be your year-end account value. The FMV for your contract will be provided to you in a separate mailing.

This statement is provided by Massachusetts Mutual Life Insurance Company. To the extent that this statement is also serving as a transaction confirmation, it is also being provided on behalf of MML Investors Services, LLC or an unaffiliated selling broker-dealer with a selling agreement with MML Distributors, LLC.

MassMutual Financial Group is a marketing name for Massachusetts Mutual Life Insurance Company (MassMutual) and its affiliated companies and sales representatives.

## Contract/Certificate Activity

<u>Date</u>	<u>Transaction Type</u>	<u>Investment Option</u>	<u>Unit Value*</u>	<u>Transaction Units</u>	<u>Transaction Gross Dollars</u>
12/27/2013	Credits	MML Money Market	9.6359	2.1482	\$20.70
		MML Income & Growth	16.7336	0.2241	\$3.75
		MML T. Rowe Price Equity Income	19.3840	0.4643	\$9.00
		MML Growth & Income	19.3128	1.2370	\$23.89
		Fidelity® VIP Contrafund®	24.2960	0.9837	\$23.90
		Oppenheimer Main Street	18.2995	0.6563	\$12.01
		Oppenheimer Capital Appreciation	16.4399	1.1028	\$18.13
		Oppenheimer Global	23.4262	0.7641	\$17.90
		ING Clarion Global Real Estate	12.9748	0.2659	\$3.45
		<b>Total</b>			<b>\$132.73</b>

**Contract/Certificate Activity (continued)**

<u>Date</u>	<u>Transaction Type</u>	<u>Investment Option</u>	<u>Unit Value*</u>	<u>Transaction Units</u>	<u>Transaction Gross Dollars</u>
01/01/2013	Rebalance from	Oppenheimer Global	19.0999	93.2797-	\$1,781.64-
	Rebalance from	Oppenheimer Main Street	14.4365	5.6918-	\$82.17-
	Rebalance from	MML Growth & Income	15.1345	52.7943-	\$799.02-
	Rebalance to	Fidelity® VIP Contrafund®	19.2828	0.4434	\$8.55
	Rebalance to	Oppenheimer Capital Appreciation	13.1816	17.4523	\$230.05
	Rebalance to	MML Money Market	9.7261	249.2474	\$2,424.23
		<b>Total</b>			<b>\$0.00</b>
07/01/2013	Rebalance from	Fidelity® VIP Contrafund®	20.9881	33.4208-	\$701.44-
	Rebalance from	Oppenheimer Main Street	15.7291	23.3344-	\$367.03-
	Rebalance from	MML Growth & Income	16.6880	67.6704-	\$1,129.29-
	Rebalance to	Oppenheimer Capital Appreciation	14.0382	0.3291	\$4.62
	Rebalance to	Oppenheimer Global	20.2690	4.4156	\$89.50
	Rebalance to	MML Money Market	9.6807	217.3006	\$2,103.64
		<b>Total</b>			<b>\$0.00</b>
11/29/2013	Purchase Payment	Fidelity® VIP Contrafund®	23.7646	84.1585	\$2,000.00
	Purchase Payment	Oppenheimer Capital Appreciation	16.0122	93.6782	\$1,500.00
	Purchase Payment	Oppenheimer Global	23.0127	65.1812	\$1,500.00
	Purchase Payment	Oppenheimer Main Street	17.7769	56.2526	\$1,000.00
	Purchase Payment	MML Growth & Income	18.8287	106.2208	\$2,000.00
	Purchase Payment	MML Money Market	9.6428	207.4081	\$2,000.00
		<b>Total</b>			<b>\$10,000.00</b>
12/26/2013	Annual Charge				Waived

\* For the fixed accounts, the interest rate shown is credited for one year. For the DCA Fixed Accounts and the Long Term Guarantee Accounts, subject to state availability, the interest rate shown is credited for the length of the term. The rate is only shown when a purchase payment is applied.

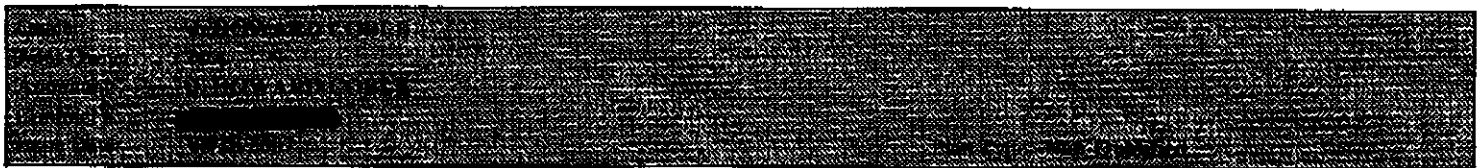


Massachusetts Mutual Life Insurance Company  
and affiliates, Springfield MA 01111-0001  
www.massmutual.com

# MassMutual TRANSITIONS SELECT<sup>SM</sup> VARIABLE ANNUITY

EDWIN WALTON  
155 NORTHPOINT AVE  
STE 200E  
HIGH POINT NC 27262

Annual Statement  
For the Period of:  
01/01/2013 - 12/31/2013



	<u>For this Quarter</u>	<u>Year to Date</u>	<u>From Issue Date</u>
Beginning Balance	\$197,324.92	\$180,606.17	N/A
Payments	\$0.00	\$0.00	\$160,000.00
Withdrawals <sup>②</sup>	\$0.00	\$0.00	\$0.00
Investment Performance <sup>①</sup>	\$8,865.62	\$25,584.37	\$46,190.54
Ending Balance	\$206,190.54		
Total Death Benefit	\$206,190.54		
Cash Surrender Value <sup>②</sup>	\$200,579.47		

① Investment Performance includes applicable credits and charges for contract riders chosen.

② If values are withdrawn from a Long Term Guarantee account, a contingent deferred sales charge (CDSC) and a market value adjustment (MVA), also known as an Interest Rate Factor adjustment, may apply. The MVA may increase or decrease the Contract Value.

Please note that if a statement reporting period ends on a non-business day, the unit values that we use to calculate contract values for that day as well as any transactions effective that day, (and accordingly, the rate of return shown on your statement) will be based on unit values for the next business day.

Registered Representative: EDWIN WALTON  
H.D. VEST INSURANCE AGENCY LLC  
155 NORTHPOINT AVE  
STE 200E  
HIGH POINT NC 27262

Annuity Service Center: (800) 272-2216, Monday through Friday  
8:00 a.m. - 8:00 p.m. Eastern time

Owners may obtain up-to-date information on account values, unit values, fund performance and perform selected transactions by visiting:

Internet Site: [www.massmutual.com/asc](http://www.massmutual.com/asc)

## Rate of Return

Year-To-Date: + 14.17%  
Last 10 years or since contract inception if less than 10 years: + 7.20%

Please visit [www.massmutual.com/asc](http://www.massmutual.com/asc) for further details.

1. The first part of the document is a title page. It contains the title "The Role of the State in the Development of the Economy" and the author's name "John Doe".

2. The second part of the document is an abstract. It summarizes the main points of the paper, including the role of the state in the development of the economy.

3. The third part of the document is the introduction. It discusses the importance of the state in the development of the economy and the role of the state in the development of the economy.

4. The fourth part of the document is the main body of the paper. It is divided into several sections, each discussing a different aspect of the role of the state in the development of the economy.

5. The fifth part of the document is the conclusion. It summarizes the main findings of the paper and discusses the implications of the findings.

6. The sixth part of the document is the bibliography. It lists the sources used in the paper.

7. The seventh part of the document is the appendix. It contains additional information related to the paper.

8. The eighth part of the document is the index. It lists the topics covered in the paper.

9. The ninth part of the document is the endpaper. It contains additional information related to the paper.

10. The tenth part of the document is the back cover. It contains additional information related to the paper.

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<u>Date</u>	<u>Transaction Type</u>	<u>Investment Option</u>	<u>Unit Value*</u>	<u>Accumulation Units</u>	<u>Gross Dollars</u>
03/31/2013	Rebalance from	MML Small Cap Growth Equity	18.5907	25.5122-	\$474.29-
03/31/2013	Rebalance from	Oppenheimer Discovery Mid Cap Growth	14.6544	14.4093-	\$211.16-
03/31/2013	Rebalance from	Oppenheimer Capital Appreciation	13.7223	36.0028-	\$494.04-
03/31/2013	Rebalance from	Oppenheimer Global	18.4716	7.3735-	\$136.20-
03/31/2013	Rebalance from	MML Equity Income	15.5820	44.0496-	\$686.38-
03/31/2013	Rebalance from	MML Small/Mid Cap Value	15.9986	35.0130-	\$560.16-
03/31/2013	Rebalance to	MML Managed Bond	14.4680	93.2835	\$1,349.63
03/31/2013	Rebalance to	Oppenheimer Global Strategic Income	15.8628	43.5403	\$690.67
03/31/2013	Rebalance to	MML Foreign	14.1572	37.3138	\$528.26
03/31/2013	Rebalance to	MML Inflation-Protected and Income	14.0254	103.9727	\$1,458.26
		<b>Total</b>			<b>\$0.00</b>
06/30/2013	Rebalance from	MML Mid Cap Value	19.1751	29.4471-	\$564.65-
06/30/2013	Rebalance from	Fidelity* VIP Contrafund*	18.8752	21.8795-	\$412.98-
06/30/2013	Rebalance from	MML Managed Volatility	14.2230	10.3164-	\$146.73-
06/30/2013	Rebalance from	MML Small Cap Growth Equity	20.2047	32.3380-	\$653.38-
06/30/2013	Rebalance from	Oppenheimer Discovery Mid Cap Growth	15.3009	26.9265-	\$412.00-
06/30/2013	Rebalance from	Oppenheimer Capital Appreciation	13.8941	16.2069-	\$225.18-
06/30/2013	Rebalance from	Oppenheimer Global	18.8352	9.5847-	\$180.53-
06/30/2013	Rebalance from	MML Equity Income	16.1350	28.6576-	\$462.39-
06/30/2013	Rebalance from	MML Foreign	14.4265	16.9050-	\$243.88-
06/30/2013	Rebalance from	MML Small/Mid Cap Value	16.8508	23.6784-	\$399.00-
06/30/2013	Rebalance to	MML Managed Bond	14.0566	58.8079	\$826.64
06/30/2013	Rebalance to	Oppenheimer Global Strategic Income	15.2582	48.1401	\$734.53
06/30/2013	Rebalance to	MML Inflation-Protected and Income	12.9789	164.8486	\$2,139.55
		<b>Total</b>			<b>\$0.00</b>
08/27/2013	Annual Charge				Waived
		<b>Total</b>			<b>\$0.00</b>
08/28/2013	Credits	MML Mid Cap Value	19.2618	0.0867	\$1.67
08/28/2013		Fidelity* VIP Contrafund*	19.2867	0.0757	\$1.46
08/28/2013		MML Managed Volatility	14.2174	0.0837	\$1.19
08/28/2013		MML Managed Bond	13.9535	0.2537	\$3.54
08/28/2013		MML Small Cap Growth Equity	21.0638	0.0470	\$0.99
08/28/2013		Oppenheimer Discovery Mid Cap Growth	16.1082	0.0776	\$1.25
08/28/2013		Oppenheimer Capital Appreciation	14.1769	0.1707	\$2.42
08/28/2013		Oppenheimer Global	19.1456	0.0632	\$1.21
08/28/2013		Oppenheimer Global Strategic Income	15.1476	0.1558	\$2.36
08/28/2013		MML Equity Income	16.2633	0.1033	\$1.68
08/28/2013		MML Foreign	15.0911	0.1153	\$1.74
08/28/2013		MML Small/Mid Cap Value	17.0819	0.0562	\$0.96
08/28/2013		MML Inflation-Protected and Income	12.8691	0.2743	\$3.53
		<b>Total</b>			<b>\$24.00</b>
08/28/2013	Charges	MML Mid Cap Value	19.2663	0.3462-	\$6.67-
08/28/2013		Fidelity* VIP Contrafund*	19.2767	0.3014-	\$5.81-
08/28/2013		MML Managed Volatility	14.2172	0.3334-	\$4.74-
08/28/2013		MML Managed Bond	13.9553	1.0118-	\$14.12-



<u>Date</u>	<u>Transaction Type</u>	<u>Investment Option</u>	<u>Unit Value*</u>	<u>Accumulation Units</u>	<u>Gross Dollars</u>
08/28/2013		MML Small Cap Growth Equity	21.0554	0.1876-	\$3.95-
08/28/2013		Oppenheimer Discovery Mid Cap Growth	16.1072	0.3098-	\$4.99-
08/28/2013		Oppenheimer Capital Appreciation	14.1748	0.6829-	\$9.68-
08/28/2013		Oppenheimer Global	19.1422	0.2518-	\$4.82-
08/28/2013		Oppenheimer Global Strategic Income	15.1432	0.6214-	\$9.41-
08/28/2013		MML Equity Income	16.2576	0.4115-	\$6.69-
08/28/2013		MML Foreign	15.0870	0.4600-	\$6.94-
08/28/2013		MML Small/Mid Cap Value	17.0807	0.2254-	\$3.85-
08/28/2013		MML Inflation-Protected and Income	12.8697	1.0956-	\$14.10-
		<b>Total</b>			<b>\$95.77-</b>
09/30/2013	Rebalance from	Fidelity* VIP Contrafund*	19.9812	10.1245-	\$202.30-
09/30/2013	Rebalance from	MML Small Cap Growth Equity	22.3019	21.4161-	\$477.62-
09/30/2013	Rebalance from	Oppenheimer Discovery Mid Cap Growth	17.1332	43.6697-	\$748.20-
09/30/2013	Rebalance from	Oppenheimer Capital Appreciation	14.7917	30.4921-	\$451.03-
09/30/2013	Rebalance from	Oppenheimer Global	20.3411	18.2384-	\$370.99-
09/30/2013	Rebalance from	MML Foreign	15.8822	50.2114-	\$797.47-
09/30/2013	Rebalance from	MML Small/Mid Cap Value	17.8419	7.6483-	\$136.46-
09/30/2013	Rebalance to	MML Mid Cap Value	19.8730	2.9452	\$58.53
09/30/2013	Rebalance to	MML Managed Volatility	14.3732	19.9538	\$286.80
09/30/2013	Rebalance to	MML Managed Bond	14.1136	74.0695	\$1,045.39
09/30/2013	Rebalance to	Oppenheimer Global Strategic Income	15.3300	44.6583	\$684.61
09/30/2013	Rebalance to	MML Equity Income	16.7281	3.2299	\$54.03
09/30/2013	Rebalance to	MML Inflation-Protected and Income	13.0273	80.9617	\$1,054.71
		<b>Total</b>			<b>\$0.00</b>
12/31/2013	Rebalance from	MML Mid Cap Value	21.3990	20.5603-	\$439.97-
12/31/2013	Rebalance from	Fidelity* VIP Contrafund*	21.9413	28.6843-	\$629.37-
12/31/2013	Rebalance from	MML Small Cap Growth Equity	24.4770	16.9608-	\$415.15-
12/31/2013	Rebalance from	Oppenheimer Discovery Mid Cap Growth	18.3626	14.4168-	\$264.73-
12/31/2013	Rebalance from	Oppenheimer Capital Appreciation	16.3444	72.4890-	\$1,184.79-
12/31/2013	Rebalance from	Oppenheimer Global	21.8377	12.9382-	\$282.54-
12/31/2013	Rebalance from	MML Equity Income	18.1334	29.7788-	\$539.99-
12/31/2013	Rebalance from	MML Foreign	16.7785	9.4657-	\$158.82-
12/31/2013	Rebalance from	MML Small/Mid Cap Value	19.3922	17.0811-	\$331.24-
12/31/2013	Rebalance to	MML Managed Volatility	14.8549	7.5800	\$112.60
12/31/2013	Rebalance to	MML Managed Bond	14.0736	100.4598	\$1,413.83
12/31/2013	Rebalance to	Oppenheimer Global Strategic Income	15.4871	44.1851	\$684.30
12/31/2013	Rebalance to	MML Inflation-Protected and Income	12.7165	160.0965	\$2,035.87
		<b>Total</b>			<b>\$0.00</b>

\* For the Fixed Account, if applicable, the interest rate shown is credited for one year. For the Dollar Cost Averaging (DCA) Fixed Accounts and the Long Term Guarantee Accounts, subject to state availability, the interest rate shown is credited for the length of the term. The rate is only shown when a purchase payment is applied.



**Massachusetts Mutual Life Insurance Company**  
**Spartanburg MA 01111-0001**

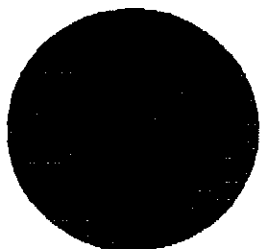
**J HOWARD COBLE**

**FLEX** *Extra*  
A Monomutual Variable Annuity

**STATEMENT of ACCOUNT**  
**For the period of 12/31/12 - 12/31/13**

Contract Number: [REDACTED]  
 Annuitant: J HOWARD COHLE  
 Contract Issue Date: 07/01/1996  
 Type of Plan: Non-Tax Qualified Annuity

	Previous Value as of 12/31/12	X	Current Unit Value =
MMI Blend	\$2,923.28		5.4586
<b>TOTAL CONTRACT VALUE</b>	<b>\$2,923.28</b>		
<b>DEATH BENEFIT</b>	as of 12/31/13		



■ MMI Blend 100.00%

For your current allocation of new premium, see page 2.

	Payments	Redemptions	Total Contract Value
FROM ORIGINAL ISSUE DATE (07/01/1996)	\$5,000.00	\$ 0.00	
FOR THIS PERIOD	\$ 0.00	\$ 0.00	\$10,558.91

Page 1

**Please return this portion with your payment**

## INTERESTED IN ADDING TO YOUR INVESTMENT?

Please complete the back of this coupon and return it with your payment. Make check payable to MassMutual. For overnight mail, please use the address below.

**MassMutual VA**

350 North Orleans St, Receipt & Dispatch, 8th Floor - Suite 2714, Chicago IL 60654



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PO Box 92714  
Chicago IL 60675-2714

**IS THERE A CHANGE OF ADDRESS?**

Please fill out the appropriate information and return this coupon to us.

**Street Address**

City

State


**Zip Code**

**Are you prepared to celebrate your 80th or 90th birthday?**

All those candles on your birthday cake will require sound planning to reduce the risk of outliving your assets. Learn how a deferred income annuity can help secure income for your future in this issue of *Expressions Newsletter*.

**Statement Reporting Period**

Please note that if a statement reporting period ends on a non-business day, the unit values that we use to calculate contract values for that day as well as any transactions effective that day, (and accordingly, the rate of return shown on your statement) will be based on unit values for the next business day.

Registered Representative:	
	
Annuity Service Center:	1-800-272-2216 Monday through Friday - 8 a.m. through 8 p.m. Eastern time
Internet Site:	<a href="http://www.massmutual.com/nec">www.massmutual.com/nec</a>
Massachusetts Mutual Life Insurance Company and affiliated insurance companies <a href="http://www.massmutual.com">www.massmutual.com</a>	

FlexExtra (Policy Form #: 933-1A-8880; 933-1A-8600; 933-1A-8881(NC)) is a variable annuity contract issued by Massachusetts Mutual Life Insurance Company, 1295 State Street, Springfield, MA 01111-0001. This Statement is being provided by Massachusetts Mutual Life Insurance Company. To the extent that the statement is also serving as a transaction confirmation, it is also being provided on behalf of MML Investors Services, LLC.

MassMutual Financial Group is a marketing name for Massachusetts Mutual Life Insurance Company (MassMutual) and the affiliated companies and sales representatives.

page 2

**ADDITIONAL INVESTMENT**

Contract Number: VA09946837

Contract Owner: J HOWARD COBLE

PAYMENT AMOUNT	\$ _____
----------------	----------

☐ Keep Current investment allocations the same.

For the following two options please complete the INVESTMENT ALLOCATION SECTION.

☐ Change investment allocations for this payment only.

☐ Change investment allocations for this and all future payments.
**INVESTMENT ALLOCATION**

Current allocations are listed below. To change investment allocations, use whole numbers only. Allocations must add up to 100%.

_____ %	%	MML Equity
_____ %	%	MML Money Market
_____ %	%	MML Managed Bond
_____ %	100%	MML Blend
_____ %	%	OPF Discovery Growth
_____ %	%	OPF Global Fund
_____ %	%	OPF Global St Income
_____ %	%	Over Principal Acct

OWNER'S  
SIGNATURE \_\_\_\_\_

For IRA contribution limits, see your tax advisor.

[REDACTED]

for the period 12/31/12 - 12/31/13 for J HOWARD COBLE (contract number [REDACTED])

Date	Transaction	Investment Option	Unit Value	Transaction Units	Gross Dollars	Net Dollars
07/01/13	ADMIN FEE	MML BLEND	4.9761	7.0335-		35.00-
		Total			35.00-	35.00-



Massachusetts Mutual Life Insurance Company  
Springfield MA 01111-0001



# STATEMENT of ACCOUNT

For the period of 12/31/12 - 12/31/13

J HOWARD COBLE

Contract Number: [REDACTED]  
Annuitant: J HOWARD COBLE  
Contract Issue Date: 03/25/1997  
Type of Plan: Non-Tax Qualified Annuity

	Previous Value as of 12/31/12	X	Current Unit Value =	
MML Equity	\$11,265.16		5.7750	
MML Bond	\$19,815.76		5.4586	
OFF Global Fund	\$18,626.13		4.5134	
OFF Discovery Growth	\$21,908.16		3.2430	
<b>TOTAL CONTRACT VALUE</b>	<b>\$71,615.21</b>			
<b>DEATH BENEFIT</b>	<b>as of 12/31/13</b>			

For your current allocation of new premium, see page 2.

	Payments	Redemptions	Total Contract Value
FROM ORIGINAL ISSUE DATE (03/25/1997)	350,000.00	\$ 0.00	
FOR THIS PERIOD	\$ 0.00	\$ 0.00	\$91,126.82

page 1

Please return this portion with your payment

## INTERESTED IN ADDING TO YOUR INVESTMENT?

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MassMutual VA

350 North Orleans St, Receipt & Dispatch, 8th Floor - Suite 2714, Chicago IL 60654



MassMutual VA  
PO Box 92714  
Chicago IL 60675-2714

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Please fill out the appropriate information and return this coupon to us.


\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
Street Address  
\_\_\_\_\_  
City  
\_\_\_\_\_  
State Zip Code

**Are you prepared to celebrate your 50th or 50th birthday?**

All those candles on your birthday cake will require sound planning to reduce the risk of outliving your assets. Learn how a deferred income annuity can help secure income for your future in this issue of *Expressions Newsletter*.

**Statement Reporting Period**

Please note that if a statement reporting period ends on a non-business day, the unit values that we use to calculate contract values for that day as well as any transactions effective that day, (and accordingly, the rate of return shown on your statement) will be based on unit values for the next business day.

<b>Registered Representative:</b>	
	
<b>Annuity Service Center:</b>	1-800-272-2216 Monday through Friday - 8 a.m. through 8 p.m. Eastern time
<b>Internet Site:</b>	<a href="http://www.massmutual.com/ann">www.massmutual.com/ann</a>
<small>Massachusetts Mutual Life Insurance Company and affiliated insurance companies      <a href="http://www.massmutual.com">www.massmutual.com</a></small>	

FlexExtra (Policy Form #: 933-1A-8400; 933-1A-8600; 933-1A-8801(NC)) is a variable annuity contract issued by Massachusetts Mutual Life Insurance Company, 1295 State Street, Springfield, MA 01111-0901. This Statement is being provided by Massachusetts Mutual Life Insurance Company. To the extent that the statement is also serving as a transaction confirmation, it is also being provided on behalf of MML Investors Services, LLC.

MassMutual Financial Group is a marketing name for Massachusetts Mutual Life Insurance Company (MassMutual) and the affiliated companies and sales representatives.

page 2

**ADDITIONAL INVESTMENT**

Contract Number: VA10010919

Contract Owner: J HOWARD COBLE

PAYMENT AMOUNT	\$ _____
----------------	----------

☐ Keep Current investment allocations the same.

For the following two options please complete the INVESTMENT ALLOCATION SECTION.

☐ Change investment allocations for this payment only.

☐ Change investment allocations for this and all future payments.
**INVESTMENT ALLOCATION**

Current allocations are listed below. To change investment allocations, use whole numbers only. Allocations must add up to 100%.

_____ %	20%	MML Equity
_____ %	%	MML Money Market
_____ %	%	MML Managed Bond
_____ %	30%	MML Bond
_____ %	30%	OPF Discovery Growth
_____ %	20%	OPF Global Fund
_____ %	%	OPF Global Str Income
_____ %	%	Over Principal Asset

**OWNER'S SIGNATURE** \_\_\_\_\_

For IRA contribution limits, see your tax advisor.

for the period 12/31/12 - 12/31/13 for J HOWARD COBLE (contract number VA10010919).

Date	Transaction	Investment Option	Unit Value	Transaction Units	Gross Dollars	Net Dollars
03/25/13	ADMIN FEE	MML BLEND	4.8755	7.1787-		35.00-
		Total			35.00-	35.00-



Phoenix Annuity Operations  
PO Box 8027  
Boston, MA 02266-8027

ROBERT B. PLYBON  
M HOLDINGS SECURITIES INC  
6518 AIRPORT CTR DR  
STE 101  
GREENSBORO, NC 27409

January 1, 2013 - June 28, 2013

## SEMI ANNUAL STATEMENT RETIREMENT PLANNERS EDGE

### CONTRACT NUMBER

### CONTRACT TYPE

Personal Investment

### DATE OF ISSUE

October 8, 1999

### FEATURES

Death Benefit Annual Step Up

**YOUR STATEMENT CONTAINS** time sensitive information. Please check your statement carefully and advise Phoenix of any errors within ten days.

### ACCOUNT INFORMATION

#### MAILING NAME & ADDRESS

JOHN HOWARD COBLE  
[REDACTED]

#### OWNER

JOHN HOWARD COBLE

#### ANNUITANT

JOHN HOWARD COBLE

### ACCOUNT SUMMARY

**FEES AND CHARGES** Include a combination of any applicable Premium Tax, Annual Administrative Charge, Transfer Charge, Market Value Adjustment, Rider Fee, or Surrender Charge.

**DEATH BENEFIT** Is as of the statement date. The actual Death Benefit may be more or less depending on market fluctuations, premium payments, partial withdrawals and other variables.

**SURRENDER VALUE** Is the Contract Value less any applicable Surrender Charge, Market Value Adjustment, and Premium Tax.

Beginning Balance  
Additions  
Surrenders  
Fees and Charges  
Change in Market Value  
Ending Balance

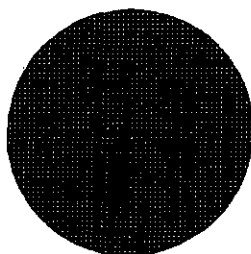
Year To Date	Since Issue
\$29,706.01	\$0.00
\$0.00	\$40,738.32
\$0.00	\$0.00
\$0.00	-\$455.00
\$204.89	-\$10,372.42
\$29,910.90	\$29,910.90

Surrender Value  
Death Benefit

\$29,875.90  
\$43,037.10

### INVESTMENT ALLOCATION SUMMARY

100% Asset Allocation



You are invested in an Ibbotson ETF Allocation Series portfolio invested in a diversified mix of exchange-traded funds (ETFs). Each portfolio's objective is to provide disciplined, diversified access to a variety of asset classes consistent with your risk profile and investment time horizon. For details about your current allocation strategy, contact your financial representative.

\*Percentages may not total to 100% due to rounding. Allocations of less than 1% will not be shown.

Agency no. 10574  
Agent no. 301418



## HOLDINGS SUMMARY

Variable Subaccounts	Current Future Allocations		Beginning Balance	Number of Units	Unit Value	Change in Value	Ending Balance
<b>Asset Allocation</b>	<b>100%</b>	<b>100%</b>					
lbb Income & Growth	100%	100%	\$29,706.01	27,718.2213	1.079106	\$204.89	\$29,910.90
<b>Total Asset Allocation</b>			<b>\$29,706.01</b>			<b>\$204.89</b>	<b>\$29,910.90</b>
<b>Total Variable Subaccounts</b>	<b>100%</b>	<b>100%</b>	<b>\$29,706.01</b>			<b>\$204.89</b>	<b>\$29,910.90</b>
<b>Total Holdings</b>	<b>100%</b>	<b>100%</b>					<b>\$29,910.90</b>

*Allocation percentages may not total 100% due to rounding.*

## CUSTOMER SERVICE

*With a history dating to 1851, The Phoenix Companies, Inc. (NYSE:PNX) helps meet diverse personal financial and business planning needs through a broad array of life insurance, annuities, and alternative retirement solutions.*

*Variable Products distributed through 1851 Securities Inc.*

**THANK YOU** for choosing Phoenix to meet your insurance and investment needs. We are committed to providing you with the highest level of service now and in the future.

**YOU MAY OBTAIN** information about Securities Investor Protection Corp. (SIPC) including the SIPC brochure by contacting SIPC at [www.SIPC.org](http://www.SIPC.org) or at 202-371-8300. You should promptly report any inaccuracy or discrepancy in your contract. You should re-confirm any oral communication in writing to further protect your rights, including rights under SIPC.

**phoenixwm.com** Visit our website for 24-hour access to current contract values, investment options and portfolio performance. Our interactive website makes subaccount transfers and premium allocation changes easy, and provides a wealth of other information for your convenience.

**1-800-341-0171**

**OUR AUTOMATED VOICE RESPONSE** System is available 24 hours a day, 7 days a week.

**CUSTOMER SERVICE** Representatives are available Monday through Friday, 8:30 a.m. to 6:00 p.m. eastern time.

**MAILING ADDRESS**  
Phoenix Annuity Operations  
PO Box 8027  
Boston, MA 02266-8027



Phoenix Annuity Operations  
PO Box 8027  
Boston, MA 02266-8027

ROBERT B. PLYBON  
M HOLDINGS SECURITIES INC  
6518 AIRPORT CTR DR  
STE 101  
GREENSBORO, NC 27409

June 29, 2013 - December 31, 2013

## SEMI ANNUAL STATEMENT RETIREMENT PLANNERS EDGE

### CONTRACT NUMBER

### CONTRACT TYPE

Personal Investment

### DATE OF ISSUE

October 8, 1999

### FEATURES

Death Benefit

Annual Step Up

**YOUR STATEMENT CONTAINS** time sensitive  
information. Please check your statement carefully and advise  
Phoenix of any errors within ten days.

### ACCOUNT INFORMATION

#### MAILING NAME & ADDRESS

JOHN HOWARD COBLE  
[REDACTED]

OWNER

JOHN HOWARD COBLE

ANNUITANT

JOHN HOWARD COBLE

### ACCOUNT SUMMARY

**FEES AND CHARGES** Include a combination of any  
applicable Premium Tax, Annual Administrative Charge,  
Transfer Charge, Market Value Adjustment, Rider Fee, or  
Surrender Charge.

**DEATH BENEFIT** Is as of the statement date. The actual  
Death Benefit may be more or less depending on market  
fluctuations, premium payments, partial withdrawals and other  
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**SURRENDER VALUE** Is the Contract Value less any  
applicable Surrender Charge, Market Value Adjustment, and  
Premium Tax.

Beginning Balance  
Additions  
Surrenders  
Fees and Charges  
Change in Market  
Value  
Ending Balance

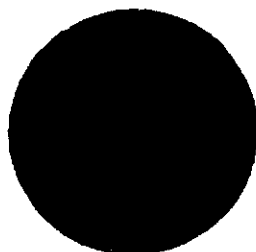
Year To Date	Since Issue
\$29,706.01	\$0.00
\$0.00	\$40,738.32
\$0.00	\$0.00
-\$35.00	-\$490.00
\$1,731.24	-\$8,846.07
\$31,402.25	\$31,402.25

Surrender Value  
Death Benefit

\$31,367.25  
\$43,037.10

### INVESTMENT ALLOCATION SUMMARY

100% Asset Allocation



You are invested in an Ibbotson ETF Allocation Series  
portfolio invested in a diversified mix of exchange-traded  
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consistent with your risk profile and investment time horizon.  
For details about your current allocation strategy, contact  
your financial representative.

\*Percentages may not total to 100% due to rounding. Allocations of less than 1% will not be shown.

Agency no. 10574  
Agent no. 301418

## HOLDINGS SUMMARY

Variable Subaccounts	Current Allocations	Future Allocations	Beginning Balance	Number of Units	Unit Value	Change in Value	Ending Balance
Asset Allocation	100%	100%					
Ibb Income & Growth	100%	100%	\$30,043.89	27,686.4985	1.134208	\$1,358.36	\$31,402.25
Total Asset Allocation			\$30,043.89			\$1,358.36	\$31,402.25
Total Variable Subaccounts	100%	100%	\$30,043.89			\$1,358.36	\$31,402.25
Total Holdings	100%	100%					\$31,402.25

Allocation percentages may not total 100% due to rounding.

## CUSTOMER SERVICE

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