

UNITED STATES HOUSE OF REPRESENTATIVES

Periodic Transaction Report

HAND DELIVERED

Page 1 of 3

NAME:

ALAN GARCIA

OFFICE TELEPHONE:

202-225-9889

☒ Member of the U.S. House of Representatives

State: FL District: 9

File an original and 2 copies.

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

Did you purchase any shares that were allocated as a part of an Initial Public Offering? ☐ YES ☒ NO

☐ Officer or Employee

Employing Office: _____

File an original and 1 copy.

Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending.

☒ Initial Report ☐ Amendment

Date of Report being Amended: _____

LEGISLATIVE RESOURCE CENTER

2014 SEP 19 AM 11:08

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HL

(For Official Use Only)

JT SP DC	FULL ASSET NAME	TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION											
		PURCHASE	SALE	EXCHANGE			A \$1,000- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000		
JT	Example: Mega Corp. Common Stock	X			8/14/12	8/14/12		X										
	Collection Universe Stock	X			9/20/14	9/24/14		X										
	" " "	X			8/22/14	9/27/14	X											
	" " "	X			9/3/14	9/3/14				X								
	" " "	X			9/5/14	9/5/14			X									
	" " "	X			9/13/14	9/13/14			X									

(This page will be publicly disclosed.)

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		PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	
	Provide full name, not ticker symbol.				(MM/DD/YY)	(MM/DD/YY)	\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	
	Collectors Universe Stock	X			9/9/14	9/9/14		X									
	" " "	X			9/10/14	9/10/14		X									
	" " "	X			9/11/14	9/10/14				X							
	" " "	X			9/12/14	9/12/14			X								
	" " "	X			9/15/14	9/15/14			X								
	" " "	X			9/16/14	9/16/14			X								

FOOTNOTE
NUMBER

FILER NOTES (optional)

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JT SP DC	Provide full name, not ticker symbol.	PURCHASE	SALE	EXCHANGE	(MM/DD/YY)	(MM/DD/YY)	A \$1,000-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000
	Collectors Universe Fund	X			9/17/14	9/17/14				X						
	" " "	X			9/18/14	9/18/14			X							
	Gilead Fund	X			9/3/14	9/3/14						X				
	" "	X			9/18/14	9/18/14					X					
	" "	X			9/18/14	9/18/14				X						
	Investment Sanborn Fund	X			9/30/14	9/30/14		X								

FOOTNOTE
NUMBER

FILER NOTES (optional)

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