



Filing ID #20004906

# PERIODIC TRANSACTION REPORT

---

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

---

## FILER INFORMATION

**Name:** Hon. Lou Barletta**Status:** Member**State/District:** PA11

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
SP		Alerian MLP ETF (AMLPL)	S	12/9/2015	12/9/2015	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Ameriprise Financial IRA 5494				
		Berkshire Hathaway Inc. New (BRK.B)	P	09/22/2015	09/22/2015	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Ameriprise Financial IRA 3851				
SP		First Trust NASDAQ Technology Dividend Index Fund (TDIV)	P	01/27/2015	01/27/2015	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Ameriprise Financial IRA 5494				
		First Trust NASDAQ Technology Dividend Index Fund (TDIV)	P	01/27/2015	01/27/2015	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Ameriprise Financial IRA 3851				
JT		First Trust NASDAQ Technology Dividend Index Fund (TDIV)	P	01/27/2015	01/27/2015	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Ameriprise Financial 9039				
		iShares Global Telecom ETF (IXP)	S	06/30/2015	06/30/2015	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Ameriprise Financial IRA 3851				
JT		iShares Morningstar Multi-Asset Income ETF (IYLD)	P	03/30/2015	03/30/2015	\$15,001 - \$50,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		FILING STATUS: New SUBHOLDING OF: Ameriprise Financial 7802				
JT		iShares Morningstar Multi-Asset Income S ETF (IYLD)  FILING STATUS: New SUBHOLDING OF: Ameriprise Financial 7802	S	10/29/2015	10/29/2015	\$15,001 - \$50,000
		LMP Capital and Income Fund Inc. (SCD)  FILING STATUS: New SUBHOLDING OF: Ameriprise Financial IRA 3851	S	08/5/2015	08/5/2015	\$15,001 - \$50,000
SP		LMP Capital and Income Fund Inc. (SCD)  FILING STATUS: New SUBHOLDING OF: Ameriprise Financial IRA 5494	S	08/5/2015	08/5/2015	\$1,001 - \$15,000
JT		Oppenheimer Large Cap Revenue ETF (RWL)  FILING STATUS: New SUBHOLDING OF: Ameriprise Financial 9039	P	06/3/2015	06/3/2015	\$1,001 - \$15,000
JT		Oppenheimer Ultra Dividend Revenue ETF (RDIV)  FILING STATUS: New SUBHOLDING OF: Ameriprise Financial 7802	P	03/30/2015	03/30/2015	\$1,001 - \$15,000
JT		PowerShares Buyback Achievers (PKW)  FILING STATUS: New SUBHOLDING OF: Ameriprise Financial 7802	P	01/8/2015	01/8/2015	\$15,001 - \$50,000
		PowerShares Buyback Achievers (PKW)  FILING STATUS: New SUBHOLDING OF: Ameriprise Financial IRA 3851	P	01/8/2015	01/8/2015	\$1,001 - \$15,000
		SPDR Dow Jones Industrial Average ETF (DIA)  FILING STATUS: New SUBHOLDING OF: Ameriprise Financial IRA 3851	P	09/1/2015	09/1/2015	\$15,001 - \$50,000
SP		SPDR Dow Jones Industrial Average ETF (DIA)  FILING STATUS: New SUBHOLDING OF: Ameriprise Financial IRA 5494	P	09/1/2015	09/1/2015	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
JT		SPDR S&P Dividend ETF (SDY) FILING STATUS: New SUBHOLDING OF: Ameriprise Financial 9039	S	04/23/2015	04/23/2015	\$15,001 - \$50,000
JT		SPDR Select Sector Fund - Health Care (XLV) FILING STATUS: New SUBHOLDING OF: Ameriprise Financial 9039	P	01/27/2015	01/27/2015	\$1,001 - \$15,000
JT		Vanguard Financials ETF - DNQ (VFH) FILING STATUS: New SUBHOLDING OF: Ameriprise Financial 7802	P	12/4/2015	12/4/2015	\$1,001 - \$15,000
JT		Vanguard High Dividend Yield ETF - DNQ (VYM) FILING STATUS: New SUBHOLDING OF: Ameriprise Financial 7802	P	12/28/2015	12/28/2015	\$15,001 - \$50,000
SP		Vanguard High Dividend Yield ETF - DNQ (VYM) FILING STATUS: New SUBHOLDING OF: Ameriprise Financial IRA 5494	P	12/18/2015	12/18/2015	\$1,001 - \$15,000
		Vanguard High Dividend Yield ETF - DNQ (VYM) FILING STATUS: New SUBHOLDING OF: Ameriprise Financial IRA 3851	P	12/18/2015	12/18/2015	\$1,001 - \$15,000
		Vanguard Mega Cap Growth ETF (MGK) FILING STATUS: New SUBHOLDING OF: Ameriprise Financial IRA 3851	S	08/26/2015	08/26/2015	\$1,001 - \$15,000
JT		Vanguard Mega Cap Growth ETF (MGK) FILING STATUS: New SUBHOLDING OF: Ameriprise Financial 7802	S	09/11/2015	09/11/2015	\$1,001 - \$15,000

ASSET CLASS DETAILS

- Ameriprise Financial 7802 (Owner: JT)  
LOCATION: US
- Ameriprise Financial 9039 (Owner: JT)  
LOCATION: US
- Ameriprise Financial IRA 3851

- Ameriprise Financial IRA 5494 (Owner: SP)

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Lou Barletta , 04/15/2016