



Filing ID #10019202

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** James D. Gunning  
**Status:** Congressional Candidate  
**State/District:** CO04

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2017  
**Filing Date:** 02/2/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Charles Schwab Brokerage ⇒ Charles Schwab Money Market		\$50,001 - \$100,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Charles Schwab IRA ⇒ Charles Schwab Money Market		\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab IRA ⇒ Cohen and Steers Pfd Securities and Income Fund		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab IRA ⇒ Gabelli Equity Trust Pfd		\$15,001 - \$50,000	Tax-Deferred		
First Bank ⇒ Checking Account  DESCRIPTION: Checking		\$15,001 - \$50,000	None		
HCA 401K ⇒ 25 To Go Fund	SP	\$50,001 - \$100,000	Tax-Deferred		
Kindred 401K ⇒ Stable Value Fund	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Pharmerica 401K ⇒ Vanguard Target Retirement 2025	SP	\$50,001 - \$100,000	Tax-Deferred		
United Airlines Retirement Account ⇒ 3M Company (MMM)		\$15,001 - \$50,000	Tax-Deferred		
United Airlines Retirement Account ⇒ American Express CD		\$1,001 - \$15,000	Tax-Deferred		
United Airlines Retirement Account ⇒ Archer-Daniels-Midland Company (ADM)		\$1,001 - \$15,000	Tax-Deferred		
United Airlines Retirement Account ⇒ Charles Schwab Money Market		\$50,001 - \$100,000	Tax-Deferred		
United Airlines Retirement Account ⇒ Chevron Corporation (CVX)		\$15,001 - \$50,000	Tax-Deferred		
United Airlines Retirement Account ⇒ Cohen and Steers Pfd Security and Income		\$1,001 - \$15,000	Tax-Deferred		
United Airlines Retirement Account ⇒ Domtar Corporation (UFS)		\$1,001 - \$15,000	Tax-Deferred		
United Airlines Retirement Account ⇒ ETFs Gold Trust		\$15,001 - \$50,000	Tax-Deferred		
United Airlines Retirement Account ⇒ Gabelli Equity Trust Pfd		\$15,001 - \$50,000	Tax-Deferred		
United Airlines Retirement Account ⇒ Goldman Sachs CD		\$15,001 - \$50,000	Tax-Deferred		
United Airlines Retirement Account ⇒ Johnson & Johnson (JNJ)		\$15,001 - \$50,000	Tax-Deferred		
United Airlines Retirement Account ⇒ Pfizer, Inc. (PFE)		\$15,001 - \$50,000	Tax-Deferred		
United Airlines Retirement Account ⇒ Powershares S&P International Developed Low Volatility		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
United Airlines Retirement Account ⇒ Schwab Monthly Income		\$15,001 - \$50,000	Tax-Deferred		
United Airlines Retirement Account ⇒ Stable Value Fund		\$100,001 - \$250,000	Tax-Deferred		
United Airlines Retirement Account ⇒ Target Date 2020		\$250,001 - \$500,000	Tax-Deferred		
United Airlines Retirement Account ⇒ VanEck Vector Preferred Securities		\$1,001 - \$15,000	Tax-Deferred		
United Airlines Retirement Account ⇒ Vanguard FTSE Europe		\$15,001 - \$50,000	Tax-Deferred		
United Airlines Retirement Account ⇒ Verizon Communications Inc. (VZ)		\$1,001 - \$15,000	Tax-Deferred		
United Airlines Retirement Account ⇒ Wells Fargo CD		\$1,001 - \$15,000	Interest	None	None
United Airlines Retirement Account ⇒ Xcel Energy Inc. (XEL)		\$1,001 - \$15,000	Tax-Deferred		

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
United Airlines	Salary	\$271,623.00	\$281,742.00
Amerita Inc.	Spouse Salary	\$139,200.00	\$138,268.00
City of Lone Tree Colorado	Salary	N/A	\$5,848.00
Tau Consulting, Inc	Salary	\$15,000.00	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Mayor	City of Lone Tree Colorado

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- Charles Schwab Brokerage  
LOCATION: US
- Charles Schwab IRA
- First Bank  
LOCATION: US
- HCA 401K (Owner: SP)
- Kindred 401K (Owner: SP)
- Pharmerica 401K (Owner: SP)
- United Airlines Retirement Account

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** James D. Gunning , 02/2/2018