

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

**FORM A** Page 1 of 21  
For use by Members, officers, and employees

**HAND  
DELIVERED**

John A Yarmuth

(Full Name)

(Daytime Telephone)

(Office Use Only)

2013 MAY 14 PM 4:01

*mc*

**Filer Status**

☒ Member of the U.S. House of Representatives

State: KY  
District: 3

☐ Officer Or Employee

Employing Office:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

**Report Type**

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>		<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
Almost Family		\$1,000,001 - \$5,000,000	DIVIDENDS/CAPITAL GAINS	\$100,001 - \$1,000,000	S(part)
BBT		\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$100,001 - \$1,000,000	S(part)
Northwestern Mutual Annuity		\$100,001 - \$250,000	None	NONE	
Penn Mutual Insurance policy		\$50,001 - \$100,000	None	NONE	
PNC checking account		\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Fifth Third Bank checking account		\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Republic Bank checking account	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
Rental property Doonbeg, Ireland	\$1,000,001 - \$5,000,000	RENT	\$5,001 - \$15,000	
Samuel Klein Trust	Unknown	EXCEPTED TRUST	\$5,001 - \$15,000	
Sonny's Barbeque Orlando, FL	\$5,000,001 - \$25,000,000	Other: royalties and income	\$1,000,001 - \$5,000,000	
Federated Treasury Obligations Fund Institutional shares	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
Oppenheimer International Bond Fund	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
T Rowe High Yield Fund	\$50,001 - \$100,000	INTEREST	\$5,001 - \$15,000	
Pimco Real Return Fund	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Ecolab Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Praxair Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Caterpillar Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Deere & Co.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
General Electric Co.	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$15,001 - \$50,000	S(part)
Honeywell International Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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United Technologies Corp.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Home Depot Inc.	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S(part)
McDonalds Corp.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Starbucks Corp.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
TJX Cos. Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
YUM! Brands Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Coca Cola Co.	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
Pepsico Inc.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Proctor & Gamble	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Walgreen Co.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Energy Select Sector Spdr	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Health Care Select Sector Spdr	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
American Express Co.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Automatic Data Processing Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Berkshire Hathaway Inc. CL B New	\$1,001 - \$15,000	None	NONE	
CHUBB Corp.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Citigroup Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JP Morgan Chase & Co.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
US Bancorp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
AT&T Inc.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Apple Inc.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Intel Corp.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
IBM Corp.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Microsoft Corp.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Accenture PLC Class A	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Nextera Energy Inc.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Touchstone Small Cap Core Fund	\$100,001 - \$250,000	DIVIDENDS	\$1,001 - \$2,500	
Europacific Growth Fund	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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Oppenheimer Developing Markets Fund	\$100,001 - \$250,000	DIVIDENDS	\$201 - \$1,000	
Vanguard REIT ETF	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	
Absolute Strategies Fund	\$100,001 - \$250,000	DIVIDENDS	\$1 - \$200	
SPDR Gold Trust	\$15,001 - \$50,000	None	NONE	

# SCHEDULE IV - TRANSACTIONS

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Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Europacific Growth Fund	P	N/A	3-30-12	\$15,001 - \$50,000
	Oppenheimer Developing Markets	P	N/A	3-30-12	\$15,001 - \$50,000
	Pimco Real Return Fund	P	N/A	3-30-12	\$1,001 - \$15,000
	T Rowe High Yield Fund	P	N/A	3-30-12	\$15,001 - \$50,000
	Oppenheimer International Bond Fund	P	N/A	3-30-12	\$15,001 - \$50,000
	Touchstone Small Cap Core Fund	P	N/A	3-30-12	\$15,001 - \$50,000
	Ford Motor Company	S(part)	Yes	4-2-12	\$15,001 - \$50,000
	Absolute Strategies Fund	P	N/A	4-2-12	\$15,001 - \$50,000
	General Electric Co.	S(part)	Yes	4-2-12	\$15,001 - \$50,000
	Accenture PLC Class A	P	N/A	4-3-12	\$1,001 - \$15,000
	AT&T Co.	P	N/A	4-3-12	\$1,001 - \$15,000

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Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.  
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SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
	American Express Co.	P	N/A	4-3-12	\$1,001 - \$15,000
	Apple Inc.	P	N/A	4-3-12	\$1,001 - \$15,000
	BB&T Corp.	S(part)	Yes	4-3-12	\$100,001 - \$250,000
	Berkshire Hathaway Inc.	P	N/A	4-3-12	\$1,001 - \$15,000
	Caterpillar Inc.	P	N/A	4-3-12	\$1,001 - \$15,000
	CHUBB Corp.	P	N/A	4-3-12	\$1,001 - \$15,000
	Citigroup Inc.	P	N/A	4-3-12	\$1,001 - \$15,000
	Coca Cola Co.	P	N/A	4-3-12	\$1,001 - \$15,000
	Deere & Co.	P	N/A	4-3-12	\$1,001 - \$15,000
	Du Pont E I De Nemours Inc.	P	N/A	4-3-12	\$1,001 - \$15,000
	Ford Motor	S(part)	Yes	4-3-12	\$15,001 - \$50,000



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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	General Electric Co.	S(part)	Yes	4-3-12	\$15,001 - \$50,000
	Home Depot Inc.	P	N/A	4-3-12	\$1,001 - \$15,000
	Intel Corp.	P	N/A	4-3-12	\$1,001 - \$15,000
	IBM Corp.	P	N/A	4-3-12	\$1,001 - \$15,000
	JP Morgan Chase & Co.	P	N/A	4-3-12	\$1,001 - \$15,000
	McDonalds Corp.	P	N/A	4-3-12	\$1,001 - \$15,000
	Microsoft Corp.	P	N/A	4-3-12	\$1,001 - \$15,000
	Nextera Energy Inc.	P	N/A	4-3-12	\$1,001 - \$15,000
	Pepsico Inc.	P	N/A	4-3-12	\$1,001 - \$15,000
	Praxair Inc.	P	N/A	4-3-12	\$1,001 - \$15,000
	Proctor & Gamble Co.	P	N/A	4-3-12	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	SPDR Gold Trust	P	N/A	4-3-12	\$1,001 - \$15,000
	Starbucks Corp.	P	N/A	4-3-12	\$1,001 - \$15,000
	US Bancorp	P	N/A	4-3-12	\$1,001 - \$15,000
	United Technologies Corp.	P	N/A	4-3-12	\$1,001 - \$15,000
	Walgreen Co.	P	N/A	4-3-12	\$1,001 - \$15,000
	YUM! Brands Inc.	P	N/A	4-3-12	\$1,001 - \$15,000
	Europacific Growth Fund	P	N/A	4-16-12	\$1,001 - \$15,000
	Absolute Strategies Fund	P	N/A	4-16-12	\$1,001 - \$15,000
	Oppenheimer Developing Markets Fund	P	N/A	4-16-12	\$1,001 - \$15,000
	T Rowe High Yield Fund	P	N/A	4-16-12	\$1,001 - \$15,000
	Touchstone Small Cap Core	P	N/A	4-16-12	\$15,001 - \$50,000

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Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Vanguard REIT ETF	P	N/A	4-18-12	\$1,001 - \$15,000
	Europacific Growth Fund	P	N/A	5-10-12	\$1,001 - \$15,000
	Absolute Strategies Fund	P	N/A	5-10-12	\$1,001 - \$15,000
	Oppenheimer Developing Markets Fund	P	N/A	5-10-12	\$1,001 - \$15,000
	T Rowe High Yield Fund	P	N/A	5-10-12	\$1,001 - \$15,000
	Touchstone Small Cap Core	P	N/A	5-10-12	\$15,001 - \$50,000
	SPDR Gold Trust	P	N/A	5-14-12	\$1,001 - \$15,000
	Vanguard REIT ETF	P	N/A	5-14-12	\$1,001 - \$15,000
	Europacific Growth Fund	P	N/A	5-24-12	\$1,001 - \$15,000
	Absolute Strategies Fund	P	N/A	5-24-12	\$1,001 - \$15,000
	Oppenheimer Developing Markets Fund	P	N/A	5-24-12	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	American Express Co.	P	N/A	5-14-12	\$1,001 - \$15,000
	Apple Inc.	P	N/A	5-14-12	\$1,001 - \$15,000
	SPDR Gold Trust	P	N/A	5-14-12	\$1,001 - \$15,000
	T Rowe High Yield Fund	P	N/A	5-24-12	\$1,001 - \$15,000
	Vanguard REIT ETF	P	N/A	5-29-12	\$1,001 - \$15,000
	Europacific Growth Fund	P	N/A	5-31-12	\$1,001 - \$15,000
	Absolute Strategies Fund	P	N/A	5-31-12	\$1,001 - \$15,000
	Oppenheimer International Bond Fund	P	N/A	5-31-12	\$1,001 - \$15,000
	Oppenheimer Developing Markets Fund	P	N/A	5-31-12	\$1,001 - \$15,000
	Pimco Real Return Fund	P	N/A	5-31-12	\$1,001 - \$15,000
	T Rowe High Yield Fund	P	N/A	5-31-12	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Touchstone Small Cap Core	P	N/A	5-31-12	\$1,001 - \$15,000
	AT&T Inc.	P	N/A	6-4-12	\$1,001 - \$15,000
	Accenture PLC	P	N/A	6-4-12	\$1,001 - \$15,000
	Apple Inc.	P	N/A	6-4-12	\$1,001 - \$15,000
	Caterpillar Inc.	P	N/A	6-4-12	\$1,001 - \$15,000
	CHUBB Corp.	P	N/A	6-4-12	\$1,001 - \$15,000
	Coca Cola Co.	P	N/A	6-4-12	\$1,001 - \$15,000
	Deere & Co.	P	N/A	6-4-12	\$1,001 - \$15,000
	General Electric Co.	P	N/A	6-4-12	\$1,001 - \$15,000
	Home Depot Inc.	P	N/A	6-4-12	\$1,001 - \$15,000
	IBM Corp.	P	N/A	6-4-12	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	McDonalds Corp.	P	N/A	6-4-12	\$1,001 - \$15,000
	Microsoft Corp.	P	N/A	6-4-12	\$1,001 - \$15,000
	Nextera Energy Inc.	P	N/A	6-4-12	\$1,001 - \$15,000
	Pepsico Inc.	P	N/A	6-4-12	\$1,001 - \$15,000
	Praxair Inc.	P	N/A	6-4-12	\$1,001 - \$15,000
	Proctor & Gamble Co.	P	N/A	6-4-12	\$1,001 - \$15,000
	Health Care Select Sector Spdr	P	N/A	6-4-12	\$1,001 - \$15,000
	Energy Select Sector Spdr	P	N/A	6-4-12	\$1,001 - \$15,000
	Starbucks Corp.	P	N/A	6-4-12	\$1,001 - \$15,000
	United Technologies Corp.	P	N/A	6-4-12	\$1,001 - \$15,000
	Vanguard REIT ETF	P	N/A	6-4-12	\$1,001 - \$15,000

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Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	YUM! Brands Inc.	P	N/A	6-4-12	\$1,001 - \$15,000
	Absolute Strategies Fund	P	N/A	6-13-12	\$1,001 - \$15,000
	T Rowe High Yield Fund	P	N/A	6-13-12	\$1,001 - \$15,000
	Absolute Strategies Fund	P	N/A	7-3-12	\$15,001 - \$50,000
	Oppenheimer Developing Markets Fund	P	N/A	7-3-12	\$1,001 - \$15,000
	Touchstone Small Cap Core Fund	P	N/A	7-3-12	\$1,001 - \$15,000
	SPDR Gold Trust	P	N/A	7-6-12	\$1,001 - \$15,000
	Vanguard REIT ETF	P	N/A	7-6-12	\$1,001 - \$15,000
	Absolute Strategies Fund	P	N/A	9-5-12	\$1,001 - \$15,000
	Oppenheimer International Bond Fund	P	N/A	9-5-12	\$1,001 - \$15,000
	Oppenheimer Developing Markets Fund	P	N/A	9-5-12	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name John A Yamuth

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.  
 \* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Touchstone Small Cap Core Fund	P	N/A	9-5-12	\$1,001 - \$15,000
	SPDR Gold Trust	P	N/A	9-7-12	\$1,001 - \$15,000
	American Express Co.	P	N/A	10-4-12	\$1,001 - \$15,000
	Caterpillar Inc.	S	No	10-4-12	\$1,001 - \$15,000
	Ford Motor Co.	S(part)	Yes	10-4-12	\$1,001 - \$15,000
	Honeywell International Inc.	P	N/A	10-4-12	\$1,001 - \$15,000
	DuPont E I De Nemours & Co.	P	N/A	10-4-12	\$1,001 - \$15,000
	McDonalds Corp.	S(part)	No	10-4-12	\$1,001 - \$15,000
	Praxair Inc.	S(part)	No	10-4-12	\$1,001 - \$15,000
	Proctor & Gamble Co.	S(part)	No	10-4-12	\$1,001 - \$15,000
	Starbucks Corp.	S(part)	No	10-4-12	\$1,001 - \$15,000



# SCHEDULE IV - TRANSACTIONS

Name John A Yarmuth

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.  
\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	TJX Cos. Inc.	P	N/A	10-4-12	\$1,001 - \$15,000
	United Technologies Inc.	S(part)	No	10-4-12	\$1,001 - \$15,000
	Walgreen Co.	P	N/A	10-4-12	\$1,001 - \$15,000
	Du Pont E I De Nemours & Co.	S	No	12-3-12	\$1,001 - \$15,000
	Ecolab Inc.	P	N/A	12-7-12	\$1,001 - \$15,000
	Absolute Strategies Fund	P	N/A	12-13-12	\$1,001 - \$15,000
	Automatic Data Processing Inc.	P	N/A	12-31-12	\$1,001 - \$15,000
	Home Depot Inc.	S(part)	Yes	12-31-12	\$1,001 - \$15,000
	McDonalds Corp.	S(part)	No	12-31-12	\$1,001 - \$15,000
	Microsoft Corp.	P	N/A	12-31-12	\$1,001 - \$15,000
	Praxair Inc.	S(part)	No	12-31-12	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Almost Family	S(part)	Yes	2-15-12	\$100,001 - \$250,000
	Almost Family	S(part)	Yes	3-15-12	\$100,001 - \$250,000
	BB&T Corp.	S(part)	Yes	2-22-12	\$100,001 - \$250,000
	BB&T Corp.	S(part)	Yes	3-16-12	\$50,001 - \$100,000

# SCHEDULE V - LIABILITIES

Name John A Yarmuth

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. \*This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	Ulster Bank of Scotland		Mortgage on rental property Doonbeg, Ireland	\$500,001 - \$1,000,000
	Fifth Third Bank	December 2011	Mortgage Loan	\$250,001 - \$500,000
	Fifth Third Bank	March 2012	Demand note	\$500,001 - \$1,000,000

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name John A Yarnuth

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute Congressional Program	Aug. 12-17	Dublin, Ireland to Brussels, Belgium to Louisville, KY	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name John A Yarmuth

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Kentucky Golf Association
Director	First Tee of Louisville