

**HAND  
DELIVERED**

Page 1 of 56

**UNITED STATES HOUSE OF REPRESENTATIVES  
2017 FINANCIAL DISCLOSURE STATEMENT**

Form A  
For Use by Members, Officers, and Employees

JUL 26 2018 10:19

(Office Use Only)

*ML*

Name: LAURENCE FRANCIS ROONEY Daytime Telephone: \_\_\_\_\_

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS ☒ Member of the U.S. House of Representatives State FLORIDA District 19 Officer or Employee ☐ Employing Office ☐ Staff Filer Type (If Applicable) ☐ Shared ☐ Principal Assistant ☐  
REPORT TYPE ☒ 2017 Annual (Due May 15, 2018) ☐ Amendment ☐ Termination ☐ Date of Termination \_\_\_\_\_

**PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS**

- A. Did you, your spouse, or your dependent child:  
a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or  
b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?  
Yes ☒ No ☐
- B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?  
Yes ☒ No ☐
- C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?  
Yes ☒ No ☐
- D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?  
Yes ☐ No ☒
- E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?  
Yes ☒ No ☐
- F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?  
Yes ☐ No ☒
- G. Did you, your spouse, or your dependent child receive any reportable gifts totaling more than \$390 in value from a single source during the reporting period?  
Yes ☐ No ☒
- H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?  
Yes ☐ No ☒
- I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?  
Yes ☐ No ☒
- ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"**

**IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.  
Yes ☐ No ☒

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?  
Yes ☐ No ☒

EXEMPTION - Have you excluded from this report any other assets, unearned income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.  
Yes ☐ No ☒

## Page 2 of 56

Use additional sheets if more space is required.

**MADE - LAURENCE FRANTZ BOOHEY**

Page 3 of 56

SCHEDULE A - ASSETS & UNREALIZED INCOME

(NAME: LAURENCE FRANK'S MOOREY)

BLOCK A		BLOCK B													BLOCK C										BLOCK D												BLOCK E			
Assets and/or Income Sources		Value of Asset													Type of Income										Amount of Income												Transaction			
		A	B	C	D	E	F	G	H	I	J	K	L											Current Year																
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify e.g. Partnership Income or Farm Income)		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII					
39.	DC.	None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify e.g. Partnership Income or Farm Income)		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII					
Brown Advisory - Dallas-Fort Worth TX International Airport - (2350424215) 000% Due 11/01/2027					X																																			P
Brown Advisory - Salt Lake City UT Airport - (795786665) 000% Due 07/01/2029					X																																			P
Brown Advisory - Coccolino Co AZ Pollution Control Corp - (1918584215) 125% Due 10/01/2032					X																																			P
Brown Advisory - LA State Local Government Environmental Inc & Cmty Dev Auth - (54648254015) 000% Due 10/01/2026																																								P
Brown Advisory - Bogle Valley MN Senior Living Revenue - (3318910641) 000% Due 07/01/2023																																								P
Brown Advisory - Tarrant Co TX Cultural Education Facilities Finance Corp - (876381171) 500% Due 12/01/2018																																								P
Brown Advisory - IL State Financing Authority - (452047815) 000% Due 08/15/2024																																								P
Brown Advisory - MD State Health & Higher Ed Facilities Authority - (5142182465) 500% Due 08/01/2026																																								P
Brown Advisory - Clinch-Burman GA Memorial Hospital - (380317215) 000% Due 08/01/2034																																								P
Brown Advisory - MD State Health & Higher Ed Facilities Authority - (5142182465) 500% Due 07/01/2026																																								P
Brown Advisory - Hammonville NJ GO - (4086304615) 000% Due 07/01/2021																																								P
Brown Advisory - Mineral Springs AR School District - (6030475171) 000% Due 06/01/2021																																								P
Brown Advisory - Frederick CO MD GO - (3556941215) 000% Due 08/01/2025																																								P
Brown Advisory - Grundy ET IL GO - (40010861815) 875% Due 08/01/2028																																								P
Brown Advisory - Sevier CO US United School District # 480 GO - (81845114615) 000% Due 09/01/2027																																								P
Brown Advisory - Sugar Land TX GO - (8648540715) 000% Due 02/15/2022																																								P
Brown Advisory - Frederick Co MD GO - (3556941215) 000% Due 08/01/2027																																								P
Brown Advisory - Wythe TX Independent School District GO - (983087174) 000% Due 08/01/2022																																								P
Brown Advisory - CO State Educational & Cultural Facilities Authority - (1964584815) 000% Due 09/01/2026																																								P
Brown Advisory - WA State Health Care P/R B/L/J/B @ 100 - (9317810215) 250% Due 08/01/2036																																								P
Brown Advisory - Houston TX Utility System P/R S/L/J/B @ 100 - (44244241615) 750% Due 11/15/2035																																								P
Brown Advisory - IL State Financing Auth P/R B/L/J/B @ 100 - (452047815) 000% Due 11/01/2028																																								P
Brown Advisory - Cincinnati OH Economic Development P/R B/L/J/B @ 100 - (1122540215) 000% Due 07/01/2023																																								P
Brown Advisory - IL State Financing Authority P/R B/L/J/B @ 100 - (452047815) 875% Due 08/01/2028																																								P
Brown Advisory - Grundy ET IL GO P/R B/L/J/B @ 100 - (40010861815) 875% Due 08/01/2028																																								P
Brown Advisory - WA State GO - (6041281415) 000% Due 02/01/2028																																								P
Brown Advisory - MN State GO - (6041281415) 000% Due 12/01/2022																																								P
Brown Advisory - Hamilton Co OH Sales Tax - (4072811715) 000% Due 12/01/2024																																								P
Brown Advisory - Park Creek Co MO District - (70038715) 000% Due 12/01/2027																																								P
Brown Advisory - New York City NY TIA Future Tax - (48317101215) 000% Due 11/01/2027																																								P
Brown Advisory - Tobacco Settlement Authority WA - (8888810415) 000% Due 06/01/2020																																								P
Brown Advisory - Golden State Tobacco Securitization Corp CA - (3812210715) 000% Due 06/01/2025																																								P
Brown Advisory - WA State Turnpike Commission - (7092244015) 000% Due 12/01/2034																																								P
Brown Advisory - Money Market Account																																								P
Brown Advisory - Best Buy Co. Inc - (bby)																																								P

## NAME: ALPINE FRANCIS ROONEY

Page 5 of 56

## NAME, LAST FIRST MIDDLE &amp; FRANCIS BOONEY

Page 6 of 56

## NAME: LAURENCE FRANCIS ROONEY

Page 7 of 56

**NAME: LAURENCE FRANCIS ROONEY**

Page 8 of 56



SCHEDULE A - ASSETS & "UNEARNED INCOME"

NAME: LAURENCE FRANCIS ROONEY

BLOCK A Assets and/or Income Sources			BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction					
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX DEFERRRD	Other Type of Income (Specify e.g. Partnership Income or Farm Income)	Current Year												P	S	5	(Part)	E	
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII						
	GS Brokerage - CARDINAL HEALTH, INC. CMN (CAH)			X												X																									P
	GS Brokerage - CARLISLE COS INC CMN (CSL)			X												X																								P	
	GS Brokerage - CARMAX, INC. CMN (KMX)			X												X																								P	
	GS Brokerage - CARNIVAL CORPORATION CMN (CCL)			X												X																								P	
	GS Brokerage - CATERPILLAR INC (DELAWARE) CMN (CAT)			X												X																								P	
	GS Brokerage - CBRE GROUP, INC. CMN CLASS A (CBRE)			X												X																								P	
	GS Brokerage - CEIGENE CORPORATION CMN (CEIG)			X												X																								P	
	GS Brokerage - CENTENE CORPORATION CMN (CNC)			X												X																								P	
	GS Brokerage - CENTERPOINT ENERGY, INC CMN (CNP)			X												X																								P	
	GS Brokerage - CENTURYLINK INC CMN (CTL)			X												X																								P	
	GS Brokerage - CERNER CORPORATION CMN (CERN)			X												X																								P	
	GS Brokerage - CHARLES SCHWAB CORPORATION CMN (SCHW)			X												X																								P	
	GS Brokerage - CHARTER COMMUNICATIONS, INC. CMN (CHTR)			X												X																								P	
	GS Brokerage - CHESAPEAKE ENERGY CORPORATION CMN (CHK)			X												X																								P	
	GS Brokerage - CHEVRON CORPORATION CMN (CVX)			X												X																								P	
	GS Brokerage - CHUBB LIMITED CMN (CB)			X												X																								P	
	GS Brokerage - CHURCH & DWIGHT CO., INC. CMN (CHD)			X												X																								P	
	GS Brokerage - CIGNA CORPORATION CMN (CI)			X												X																								P	
	GS Brokerage - CIMAREX ENERGY CO. CMN (CEC)			X												X																								P	
	GS Brokerage - CINCINNATI FINANCIAL GRP CMN (CNF)			X												X																								P	
	GS Brokerage - CISCO SYSTEMS, INC. CMN (CSCO)			X												X																								P	
	GS Brokerage - CITIGROUP INC. CMN (C)			X												X																								P	
	GS Brokerage - CITIZENS FINANCIAL GROUP, INC. CMN (CFG)			X												X																								P	
	GS Brokerage - CITRIX SYSTEMS INC CMN (CTXS)			X												X																								P	
	GS Brokerage - CLOHCO CO (THE) (DELAWARE) CMN (CLX)			X												X																								P	
	GS Brokerage - CME GROUP INC. CMN CLASS A (CME)			X												X																								P	
	GS Brokerage - CMS ENERGY CORPORATION CMN (CMS)			X												X																								P	
	GS Brokerage - COCA-COLA COMPANY (THE) CMN (KO)			X												X																								P	
	GS Brokerage - COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (CTSH)			X												X																								P	
	GS Brokerage - COLGATE-PALMOLIVE CO CMN (CL)			X												X																								P	
	GS Brokerage - COMCAST CORPORATION CMN CLASS A VOTING (COMSCA)			X												X																								P	
	GS Brokerage - COMERICA INCORPORATED CMN (CMA)			X												X																								P	
	GS Brokerage - COMAGRA BRANDS INC CMN (CAG)			X												X																								P	
	GS Brokerage - CONCHO RESOURCES INC. CMN (CXO)			X												X																								P	
	GS Brokerage - CONDUENT INCORPORATED CMN (CNT)			X												X																								P	

**NAME: LAURENCE FRANCIS ROONEY**

Page 10 of 56

**NAME: LAURENCE FRANCIS ROONEY**

Page 11 of 56

**NAME:** LAURENCE FRANCIS ROONEY

Page 12 of 56

**NAME: LAURENCE FRANCIS ROONEY**

Page 13 of 56

SCHEDULE A - ASSETS & "UNEARNED INCOME"

NAME: LAURENCE FRANCIS ROONEY

BLOCK A		BLOCK B		BLOCK C													BLOCK D											BLOCK E											
Assets and/or Income Sources		Value of Asset													Type of Income													Amount of Income											Transaction
SP	DC																											Current Year											
JT	ASSET NAME	None	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX DEFERRED	Other Type of Income (Specify e.g. Partnership Income or Farm income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI					
	GS Brokerage - LINCOLN NATL CORP INC CMN (LINC)			X																																		P	
	GS Brokerage - LIONS GATE ENTERTAINMENT CORP CMN CLASS A (LGEA)			X																																			
	GS Brokerage - LKQ CORPORATION CMN (LKQ)				X																																		
	GS Brokerage - LOCKHEED MARTIN CORPORATION CMN (LMT)					X																																	
	GS Brokerage - LOWES COMPANIES INC CMN (LOW)					X																																	
	GS Brokerage - LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (LYB)					X																																	
	GS Brokerage - M&T BANK CORPORATION CMN (MTB)					X																																	
	GS Brokerage - MACYS INC CMN (M)					X																																	
	GS Brokerage - MANPOWER GROUP CMN (MAN)					X																																	
	GS Brokerage - MARATHON OIL CORPORATION CMN (MRO)					X																																	
	GS Brokerage - MARATHON PETROLEUM CORPORATION CMN (MPC)					X																																	
	GS Brokerage - MARRIOTT INTERNATIONAL INC CMN CLASS A (MAR)					X																																	
	GS Brokerage - MARSH & MCLENNAN CO INC CMN (MMC)					X																																	
	GS Brokerage - MARTIN MARIETTA MATERIALS INC CMN (MLM)					X																																	
	GS Brokerage - MASCO CORPORATION CMN (MAS)					X																																	
	GS Brokerage - MASTERCARD INCORPORATED CMN CLASS A (MA)					X																																	
	GS Brokerage - MATTEL INC CMN (MAT)					X																																	
	GS Brokerage - MC DONALDS CORP CMN (MCD)					X																																	
	GS Brokerage - MCCORMICK & CO MON VEG SHRS CMN (MNC)					X																																	
	GS Brokerage - MEDICAL PROPERTIES TRUST INC CMN (MPW)					X																																	
	GS Brokerage - MEDNAX INC CMN (MDO)					X																																	
	GS Brokerage - MEDTRONIC PUBLIC LIMITED COMPA CMN (MDT)					X																																	
	GS Brokerage - MERCK & CO. INC CMN (MR)					X																																	
	GS Brokerage - METLIFE INC CMN (MET)					X																																	
	GS Brokerage - MGM RESORTS INTERNATIONAL CMN (MGM)					X																																	
	GS Brokerage - MICHAEL KORS HOLDINGS LIMITED CMN (KORS)					X																																	
	GS Brokerage - MICRO FOCUS INTERNATIONAL PLC SPONSORED ADR CMN (MFGP)					X																																	
	GS Brokerage - MICROCHIP TECHNOLOGY INCORPORA CMN (MCHP)					X																																	
	GS Brokerage - MICROSOFT TECHNOLOGY INC CMN (MSI)					X																																	
	GS Brokerage - MICROSOFT CORPORATION CMN (MSFT)					X																																	
	GS Brokerage - MOHAWK INDUSTRIES INC COMMON STOCK (MHI)					X																																	
	GS Brokerage - MOLSON COORS BREWING CO CMN CLASS B (TAP)					X																																	
	GS Brokerage - MONDELEZ INTERNATIONAL INC CMN (MDZ)					X																																	
	GS Brokerage - MONSANTO COMPANY CMN (MON)					X																																	
	GS Brokerage - MONSTER BEVERAGE CORPORATION CMN (MAST)					X																																	

**NAME: LAURENCE FRANCIS ROONEY**

Page 15 of 56

**NAME: LAURENCE FRANCIS RODNEY**

Page 16 of 56



## NAME: LAURENCE FRANCIS ROONEY

Page 17 of 56

## NAME: LAURENCE FRANCIS ROONEY

Page 18 of 56

**NAME: LAURENCE FRANCIS ROONEY**

Page 19 of 56

## NAME: LAURENCE FRANCIS ROONEY

Page 20 of 56

**NAME: LAURENCE FRANCIS ROONEY**

Page 21 of 56

NAME: LAURENCE FRANCIS ROONEY

Page 22 of 56

**NAME** LAURENCE PRANCIS ROONEY

Amount of income

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**NAME: LAURENCE FRANCIS RODNEY**

Page 24 of 56



SCHEDULE A - ASSETS & "UNEARNED INCOME"

NAME: LAURENCE FRANK'S ROOM 1

BLOCK A		BLOCK B													BLOCK C										BLOCK D													BLOCK E																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																												
Assets and/or Income Sources		Value of Asset													Type of Income										Amount of Income													Transactions																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																												
SP	DC	JT	ASSET NAME	EIF	Value of Asset													Type of Income										Amount of Income													P																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																									
					A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify, e.g. Partnership Income or Farm Income)	Current Year																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								
					None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																	

**NAME: LAURENCE FRANCIS RODNEY**

Page 26 of 56

**NAME: LAURENCE + FRANCIS ROONEY**

Page 27 of 56

SCHEDULE A - ASSETS & "UNEARNED INCOME"

NAME: LAURENCE FRANCIS ROONEY

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
SP, DC, JT	ASSET NAME	EIF	Value of Asset													Type of Income								Amount of Income												P, S, J (Part), T																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																			
			A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify e.g. Partnership Income or Farm Income)	Current Year																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																											
	CISCO SYSTEMS, INC. CMN. (CSGO)	X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					

NAME: LAURINCE FRANCIS ROONEY

Page 29 of 56 .

## NAME: LAURENCE FRANCIS ROONTY

Page 30 of 56

**NAME: LAURENCE FRANCIS ROONEY**

Page 31 of 56

## Page 32 of 56

**Use additional sheets if more space is required**



SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

JT SP DC		FULL ASSET NAME  Provide full name, not ticker symbol	Type of transaction				Check Box if Capital Gain Exceeded \$200	Date of Transaction		Amount of Transaction															
			PURCHASE	SALE	PARTIAL SALE	EXCHANGE		(MM/DD/YY)	Amount of Transaction																
									A	B	C	D	E	F	G	H	I	J	K						
		University of IL - VRDN JPM LOC 0.55% DUE 03-01-2017	X					1/15/2017	X																
		MA State Health & Ed Facilities Authority 0.64% DUE 03-01-2017		X				2/7/2017			X														
		Dallas TX Performing Arts Cultural Facilities Corp - VRDN JPM LOC 0.64% DUE 03-01-2017		X				2/7/2017				X													
		IL State Health Facilities Authority - VRDN JPM LOC 0.64% DUE 03-01-2017			X			2/7/2017					X												
		South Miami FL Health Facilities Authority 5% DUE 08-15-2032	X					1/3/2017		X															
		Tarrant Co. TX Cultural Education Facilities Finance Corp. 2.5% DUE 12-01-2018	X					1/5/2017		X															
		Harris Co. - Houston TX Sports Authority 5% DUE 11-15-2025	X					1/9/2017		X															
		Frederick Co. MD GO 5% DUE 08-01-2025	X					1/11/2017		X															
		Austin TX Independent School District GO 5% DUE 08-01-2026	X					1/25/2017		X															
		MD State Health & Higher Ed Facilities Authority 5.25% DUE 07-01-2026	X					2/2/2017		X															
		Dallas-Fort Worth TX International Airport 5% DUE 11-01-2027	X					2/6/2017		X															
		CO State Educational & Cultural FACS Authority 5% DUE 09-01-2026	X					2/9/2017		X															
		Salt Lake City UT Airport 5% DUE 07-01-2029	X					2/9/2017		X															
		American Tower Corp.	X					1/30/2017		X															
		Praxair, Inc.	X					1/31/2017		X															
		Qualcomm, Inc.	X					1/31/2017		X															
		PACCAR, Inc.		X				1/31/2017		X															
		Suncor Energy, Inc.	X					2/7/2017		X															
		Willis Towers Watson PLC	X					2/7/2017		X															
		Cisco Systems, Inc.	X					2/7/2017		X															
		General Dynamics Corp.	X					2/7/2017		X															
		Nielsen Holdings PLC	X					2/7/2017		X															
		Ernie Indemnity Co.	X					2/7/2017		X															
		Apple, Inc.	X					2/7/2017		X															
		AbbVie, Inc.	X					2/7/2017		X															
		W.P. Carey, Inc.	X					2/7/2017		X															
		Weyerhaeuser Co.	X					2/7/2017		X															
		Automatic Data Processing, Inc.	X					2/7/2017		X															
		Best Buy Co., Inc.	X					2/7/2017		X															
		Ameriprise Financial, Inc.	X					2/7/2017		X															
		Cincinnati Financial Corp.	X					2/7/2017		X															
		Home Depot, Inc.	X					2/7/2017		X															
		Qualcomm, Inc.	X					2/7/2017		X															
		Unilever NV	X					2/7/2017		X															
		United Technologies Corp.	X					2/7/2017		X															
		Accenture PLC	X					2/7/2017		X															

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

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		PURCHASE	SALE	PARTIAL SALE	EXCHANGE			(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J	K																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																							
							\$1,000-\$15,000		\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Transaction in a Spouse or Dependent Child Asset over \$1,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								
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SCHEDULE B - TRANSACTIONS

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FULL ASSET NAME		Type of transaction				Date of Transaction	Amount of Transaction												
JT SP DC  Provide full name, not ticker symbol		PURCHASE	SALE	PARTIAL SALE	EXCHANGE		Check Box if Capital Gain Exceeded \$200	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J	K
	MCKESSON CORPORATION CMN (MCK)	X					1/4/2017	X											
	MEDTRONIC PUBLIC LIMITED COMPA CMN (MDT)		X				1/4/2017	X											
	COLGATE-PALMOLIVE CO CMN (CL)		X				1/4/2017	X											
	COMPASS GROUP PLC SPONSORED ADR CMN (CMPGY)						1/10/2017	X											
	AMBEV S A SPONSORED ADR CMN (ABEV)	X					1/13/2017	X											
	WISDOM TREE JAPAN HEDGED EQUITY FUND (DX)		X				1/13/2017				X								
	H & R BLOCK INC. CMN (HRB)						1/24/2017	X											
	ALTRIA GROUP, INC. CMN (MO)	X					1/24/2017	X											
	AAA GROUP LIMITED SPONSORED ADR CMN SERIES (AAGV)	X					1/24/2017		X										
	EXPERIAN PLC SPONSORED ADR CMN (EXPGV)	X					1/24/2017	X											
	SHORITE HOLDINGS LTD SPONSORED ADR CMN (SRGHV)		X				1/24/2017	X											
	ALTRIA GROUP, INC. CMN (MO)	X					1/25/2017	X											
	H & R BLOCK INC. CMN (HRB)		X				1/25/2017	X											
	SHORITE HOLDINGS LTD SPONSORED ADR CMN (SRGHV)		X				1/25/2017		X										
	BLACKROCK, INC. CMN (BLK)		X				1/27/2017		X										
	CARNIVAL CORPORATION CMN (CCL)		X				1/27/2017		X										
	CA, INC. CMN (CA)		X				1/27/2017		X										
	CISCO SYSTEMS, INC. CMN (CSCO)		X				1/27/2017		X										
	CHEVRON CORPORATION CMN (CVX)		X				1/27/2017		X										
	BERKSHIRE HATHAWAY INC. CLASS B (BRKB)		X				1/27/2017		X										
	DOMINION RESOURCES, INC. CMN (D)		X				1/27/2017	X											
	CINCINNATI FINANCIAL CRP CMN (CINF)		X				1/27/2017		X										
	DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CMN (DEO)		X				1/27/2017		X										
	DUKE ENERGY CORPORATION CMN (DUK)		X				1/27/2017	X											
	GENERAL MILLS INC CMN (GIS)		X				1/27/2017		X										
	GENERAL ELECTRIC CO CMN (GE)		X				1/27/2017		X										
	EU LILLY & CO CMN (LLY)		X				1/27/2017		X										
	LOWES COMPANIES INC CMN (LOW)		X				1/27/2017		X										
	GENERAL DYNAMICS CORP. CMN (GD)		X				1/27/2017			X									
	COCA-COLA COMPANY (THE) CMN (KO)		X				1/27/2017		X										
	KINDER MORGAN INC CMN CLASS P (KMI)		X				1/27/2017												
	ALTRIA GROUP, INC. CMN (MO)		X				1/27/2017				X								
	HASBRO, INC. CMN (HAS)		X				1/27/2017	X											
	MICROSOFT CORPORATION CMN (MSFT)		X				1/27/2017		X										
	MERCK & CO., INC. CMN (MRK)		X				1/27/2017		X										
	THE MOSAIC COMPANY CMN (MOS)		X				1/27/2017		X										

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

IT SP DC	FULL ASSET NAME  Provide full name, not ticker symbol	Type of transaction				Check Box if Capital Gain Exceeded \$200	Date of Transaction  (MM/DD/YY)	Amount of Transaction										
		PURCHASE	SALE	PARTIAL SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K
	NEWMARKET CORP CMN (NEU)	X					1/27/2017		X									
	REYNOLDS AMERICAN INC. CMN (RAI)		X				1/27/2017		X									
	PRIZER INC. CMN (PFE)		X				1/27/2017		X									
	PAYCHEX, INC. CMN (PAYX)		X				1/27/2017		X									
	VERIZON COMMUNICATIONS INC. CMN (VZ)		X				1/27/2017		X									
	WELLS FARGO & CO (NEW) CMN (WFC)		X				1/27/2017		X									
	NORFOLK SOUTHERN CORPORATION CMN (NSC)		X				1/27/2017		X									
	INTEL CORPORATION CMN (INTC)		X				1/27/2017		X									
	GS SHORT DURATION TAX-FREE FUND INSTITUTIONAL SHARES (GSDUX)		X				1/27/2017		X									
	HIDALGO CNTY TEX DB 5% 08/15/21 FA (MUNI)						2/1/2017			X								
	GEORGIA MUN ELEC AUTH PWR REV 5% 01/01/22 JJ (MUN)						2/2/2017			X								
	KINDER MORGAN INC CMN CLASS P (KMI)		X				2/3/2017		X									
	ONEOK INC CMN (OKE)		X				2/3/2017		X									
	ENERGY TRANSFER PARTNERS, L.P. CMN (ETP)		X				2/3/2017		X									
	ENBRIDGE INC. CMN (ENB)		X				2/3/2017		X									
	TESORO LOGISTICS LP CMN (TLLP)		X				2/3/2017		X									
	ONEOK PARTNERS, L.P. LIMITED PARTNERS INTEREST (OKS)		X				2/3/2017		X									
	WILLIAMS PARTNERS L.P. CMN (WPZ)		X				2/3/2017		X									
	THE WILLIAMS COMPANIES, INC. CMN (WMB)		X				2/3/2017		X									
	MPLX LP CMN (MPLX)		X				2/3/2017		X									
	ENTERPRISE PRODUCTS PART L.P. CMN (EPD)		X				2/3/2017		X									
	BUCKEYE PARTNERS LP UNITS CMN (BPL)		X				2/3/2017		X									
	TARGA RESOURCES CORP. CMN (TRGP)		X				2/3/2017		X									
	PLAINS ALL AMERICAN PIPELINE L.P. COMMON UNITS (PAA)		X				2/3/2017		X									
	SUNOCO LOGISTICS PARTNERS L.P. CMN (SXL)		X				2/3/2017		X									
	SEMGROUP CORPORATION CMN CLASS A (SEMG)		X				2/3/2017		X									
	ANTERO MIDSTREAM PARTNERS LP CMN (AM)		X				2/3/2017		X									
	EQT MIDSTREAM PARTNERS LP CMN (EQM)		X				2/3/2017		X									
	MAGELLAN MIDSTREAM PARTNERS LP CMN (MMMP)		X				2/3/2017		X									
	TRANSCANADA CORP CMN (TRP)		X				2/3/2017		X									
	VALERO ENERGY PARTNERS LP CMN (VLV)		X				2/3/2017		X									
	RICE MIDSTREAM PARTNERS LP CMN (RMP)		X				2/3/2017		X									
	PHILLIPS 66 PARTNERS LP CMN (PSXP)		X				2/3/2017		X									
	ENTERPRISE PRODUCTS PART L.P. CMN (EPD)		X				2/6/2017		X									
	TRANSCANADA CORP CMN (TRP)		X				2/6/2017		X									
	TESORO LOGISTICS LP CMN (TLLP)		X				2/6/2017		X									

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

FULL ASSET NAME		Type of transaction				Date of Transaction	Amount of Transaction											
Provide full name, not ticker symbol		PURCHASE	SALE	PARTIAL SALE	EXCHANGE		Check Box if Capital Gain Exceeded \$200	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J
JT																		
SP																		
DC																		
	EQT MIDSTREAM PARTNERS LP CMN (EQM)	X					2/6/2017	X										
	PLAINS ALL AMERICAN PIPELINE L.P. COMMON UNITS (PAA)	X					2/6/2017	X										
	WISCONSIN ST FOR ISSUES DTD GO 5% 05/01/20 MN (MUNI)	X					2/6/2017				X							
	PHILLIPS 66 PARTNERS LP CMN (PSXP)	X					2/7/2017	X										
	TULSA CNTY OKLA INDP SCH DIST GO 2.0000% 03/01/19 MS (MUNI)	X					2/7/2017					X						
	UNIVERSITY ILL UNIV REVS REV 5.0000% 04/01/21-CA AO (MUNI)	X					2/7/2017						X					
	VERTEX PHARMACEUTICALS INC CMN (VRTX)	X					2/8/2017	X										
	MACERICH COMPANY CMN (MAC)	X					2/8/2017	X										
	SIMON PROPERTY GROUP INC CMN (SPG)		X				2/8/2017	X										
	FIRSTENERGY CORP CMN (FE)	X					2/8/2017	X										
	CONSOLIDATED EDISON INC CMN (ED)	X					2/8/2017	X										
	VERIZON COMMUNICATIONS INC. CMN (VZ)		X				2/8/2017	X										
	CVS HEALTH CORP CMN (CVS)	X					2/8/2017	X										
	MCKESSON CORPORATION CMN (MCK)		X				2/8/2017	X										
	PERRIGO CO PLC CMN (PRGO)	X					2/8/2017	X										
	COLGATE-PALMOLIVE CO CMN (CL)	X					2/8/2017	X										
	REGENERON PHARMACEUTICAL INC CMN (REGN)		X				2/8/2017	X										
	COCA-COLA COMPANY (THE) CMN (KO)		X				2/8/2017	X										
	CENTURYLINK INC CMN (CTL)	X					2/8/2017	X										
	L BRANDS, INC. CMN (LB)		X				2/8/2017	X										
	SCANA CORP CMN (SCG)		X				2/8/2017	X										
	MEDTRONIC PUBLIC LIMITED COMPA CMN (MDT)	X					2/8/2017	X										
	KENTUCKY ST PPTY & BLDG REV 5.0000% 10/01/17 AO (MUNI)	X					2/8/2017						X					
	Rooney Capital, LLC (Private Investments, Naples, FL):																	
	Morgan Stanley - TESORO CORP REGS RATE:BAZ/BBB(+) 5.125% DUE 2026-12-15 (Cusip US8149AL0)	X					2/8/2017	X										
	Cardinal Small Cap Value Fund Cl Inst	X					02/14/2017		X									
	Artisan Global Value Fund Cl Adv	X					02/14/2017			X								
	Brown Advisory Strategic Bond Fund Cl Inv	X					02/14/2017				X							
	Tobacco Settlement Authority WA 5% DUE 06-01-2020	X					03/02/2017					X						
	Occidental Petroleum Corp.	X					02/28/2017	X										
	Suncor Energy, Inc.	X					02/28/2017	X										
	Target Corp		X				02/28/2017	X										

SCHEDULE B - TRANSACTIONS

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JT SP DC	Rooney Family Investments, Ltd. (Private Investments, Naples, FL):																	
	BALTIMORE CNTY MD GO 3% 03/16/18 MS	X				3/12/2017					X							
	WHITEWATER WIS UNI SCH DIST GO 4.0000% 04/01/22 AO	X				2/28/2017				X								
	WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPTG SER V SHS	X				2/27/2017				X								
	WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPTG SER V SHS	X				2/24/2017				X								
	CITY OF BILLOXI MS GO 3.0000% 03/01/19 MS	X				2/15/2017				X								
	TAIWAN SEMICONDUCTOR MFG ADS LTD ADS 1ADS EQUALS 50RDS		X			2/13/2017		X										
	Rooney Capital, LLC (Private Investments, Naples, FL):																	
	WHOLE FOODS MARKET INC RATE: BAA3/BBB- 5.200% DUE: 2025-12-03	X				3/9/2017		X										
	MORGAN STANLEY RATE: BAA2/BBB- 4.875% DUE: 2022-11-01	X				3/9/2017		X										
	HEWLETT PACKARD ENTERPRISE COMPANY RATE: BAA2/BBB 4.900% DUE: 2025-10-15	X				3/9/2017		X										
	SES, LLC RATE: B2/BB- 7.125% DUE: 2021-12-15	X				2/22/2017		X										
	DANA HOLDING CORP RATE: B1/BB+ 5.500% DUE: 2024-12-15	X				2/15/2017		X										
	Artisan Global Value Fund CI Adv	X				03/22/2017				X								
	Cardinal Small Cap Value Fund CI Inst	X				03/17/2017			X									
	Artisan Global Value Fund CI Adv	X				03/17/2017			X									
	Brown Advisory Strategic Bond Fund CI Inv	X				03/17/2017			X									
	University of IL - VRDN JPM LOC 0.55% DUE 05-01-2017		X			03/22/2017				X								
	San Jose CA Airport AMT 5% DUE 03-01-2037		X			4/11/2017			X									
	Rooney Family Investments, Ltd. (Private Investments, Naples, FL):																	
	ATLAS COPCO AB SPONS ADR NEW REPTG COM SER-A (ATLKY)	X				4/13/2017		X										
	ATLAS COPCO AB SPONS ADR NEW REPTG COM SER-A (ATLKY)	X				4/12/2017			X									
	OCCIDENTAL PETROLEUM CORP CMN (OXY)	X				4/11/2017			X									
	TARGET CORPORATION CMN (TGT)	X				4/11/2017			X									
GILEAD SCIENCES CMN (GILD)	X				4/11/2017			X										
MCKESSON CORPORATION CMN (MCK)	X				4/11/2017			X										
REGENERON PHARMACEUTICAL INC CMN (REGN)	X				4/11/2017			X										
WELLS FARGO & CO (NEW) CMN (WFC)	X				4/11/2017			X										
COCA-COLA COMPANY (THE) CMN (KO)	X				4/11/2017			X										
SIMON PROPERTY GROUP INC CMN (SPG)	X				4/11/2017			X										
ALLERGAN PLC CMN (AGN)	X				4/11/2017			X										
NIELSEN HLDGS PLC CMN (NLSN)	X		X		4/11/2017			X										
VERIZON COMMUNICATIONS INC CMN (VZ)	X				4/11/2017			X										

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

		FULL ASSET NAME		Type of transaction				Date of Transaction	Amount of Transaction												
		Provide full name, not ticker symbol		PURCHASE	SALE	PARTIAL SALE	EXCHANGE		Check Box if Capital Gain Exceeded \$200	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J	K
JT	SP	DC	CVS HEALTH CORP CMN (CVS)		X				4/11/2017	X											
			GGP INC CMN (GGP)	X						4/11/2017	X										
			FORD MOTOR COMPANY CMN (F)		X				4/11/2017	X											
			MACERICH COMPANY CMN (MAC)		X				4/11/2017	X											
			Rooney Capital, LLC (Private Investments, Naples, FL):																		
			Private Bank Certificate of Deposits		X				4/1/2017	X											
			HILLSBOROUGH CNTY FLA SCH BRD CTFB PARTN REV REF-A RATE:AAZ/AA- 5.000% DUE:2029-07-01	X					3/20/2017	X											
			Brown Advisory - Hillsborough Co. FL Community Investment Tax P/R 11/1/17 @ 100.5% DUE 11-01-2019		X				4/25/2017		X										
			Brown Advisory - Cocorino Co. AZ Pollution Control Corp. 5.125% DUE 10-01-2032	X					4/19/2017		X										
			Rooney Family Investments, Ltd. (Private Investments, Naples, FL):																		
			GS Brokerage - LVNH MOET HENNESSY LOUIS VUITTON S.A. ADR CMN (LVNMUY)		X				5/8/2017	X											
			GS Brokerage - YANDEX N.V. CMN (YNDX)		X				5/5/2017	X											
			GS Brokerage - COLOPLAST A/S SPONSORED ADR CMN (CLPBV)		X				4/19/2017	X											
			GS Brokerage - DSV AS UNSPONSORED ADR CMN (DSOVY)		X				4/19/2017	X											
			GS Brokerage - CANADIAN PACIFIC RAILWAY LTD CMN (CP)		X				4/19/2017	X											
			GS Brokerage - CTRIP.COM INTERNATIONAL, LTD. ADR CMN (CTRP)		X				4/19/2017	X											
			GS Brokerage - RECKITT BENCKISER GROUP PLC SPONSORED ADR CMN (RBGLV)		X				4/19/2017	X											
			GS Brokerage - HDPC BANK LIMITED ADR CMN (HDB)		X				4/19/2017	X											
			GS Brokerage - CSL LIMITED SPONSORED ADR CMN (CSLY)		X				4/19/2017	X											
			GS Brokerage - SGS S.A. ADR CMN (SGSOY)		X				4/19/2017	X											
			GS Brokerage - NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (NSRGV)		X				4/19/2017	X											
			GS Brokerage - NOVOZYMES AS UNSPONSORED ADR CMN (NVZMY)		X				4/19/2017	X											
			GS Brokerage - CORE LABORATORIES N.V. CMN (CLB)		X				4/19/2017	X											
			GS Brokerage - CHUBB LTD CMN (CB)		X				4/19/2017	X											
			Rooney Capital, LLC (Private Investments, Naples, FL):																		
			Morgan Stanley - NORTH TEX TWY AUTH REV RATE:A1/A 5.000% DUE:2032-01-01 (66285WPL4)	X					5/9/2017	X											
			Brown Advisory - New Haven CT Solid Waste Authority P/R 6/1/18 @ 100.5.375% DUE 06-01-2028		X				6/1/2017	X											
			Brown Advisory - Golden State Tobacco Securitization Corp. CA 5% DUE 06-01-2025	X					5/18/2017		X										

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

JT SP DC		FULL ASSET NAME  Provide full name, not ticker symbol)	Type of transaction				Check Box if Capital Gain Exceeded \$200	Date of Transaction  (MM/DD/YY)	Amount of Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
			PURCHASE	SALE	PARTIAL SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																											
Rooney Family Investments, Ltd. (Private Investments, Naples, FL):				X				6/8/2017	X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					



SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

FULL ASSET NAME		Type of transaction				Date of Transaction	Amount of Transaction												
Provide full name, not ticker symbol		PURCHASE	SALE	PARTIAL SALE	EXCHANGE		Check Box if Capital Gain Exceeded \$200	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J	K
SP	GS Brokerage - GENERAL MILLS INC CMN (GIS)	X					7/7/2017	X											
DC	GS Brokerage - EXPRESS SCRIPTS HOLDINGS CMN (ESRX)	X					7/7/2017	X											
	GS Brokerage - TYSON FOODS INC CL A CMN CLASS A (TSM)	X					7/7/2017	X											
	GS Brokerage - GGP INC CMN (GGP)	X					7/7/2017	X											
	GS Brokerage - VERIZON COMMUNICATIONS INC CMN (VZ)	X					7/7/2017	X											
	GS Brokerage - SCHLUMBERGER LTD CMN (SLB)	X					7/7/2017	X											
	GS Brokerage - SCANA CORP CMN (SCG)	X					7/7/2017	X											
	GS Brokerage - AIA GROUP LIMITED SPONSORED ADR CMN SERIES (AAGV)	X					6/22/2017	X											
	GS Brokerage - ATLAS CORP AB SPONS ADR NEW REPSTG COM SER-A (ATLKY)	X					6/22/2017	X											
	Rooney Capital, LLC (Private Investments, Naples, FL)																		
	GS Brokerage - HOUSTON TEX GO 3.5000% 03/01/23 MS (HMUN)	X					7/10/2017	X											
	Morgan Stanley - AMERICAN MUN PWR OHIO INC REV RATE:A1/A 5.250% DUE:2026-02-15 (02765U5F6)	X					7/14/2017	X											
	Morgan Stanley - MADISON WIS AREA TECHNICAL COLLEGE DIST SCH IMPRT SER-12-A RATE:AAA/AAA 4.500% DUE:2029-03-01 (55844PKC1)	X					7/12/2017	X											
	Morgan Stanley - IDAHO HEALTH FACs AUTH REV-ID RATE:AA3/AA- 5.000% DUE:2031-12-01 (451295XP9)	X					7/11/2017	X											
	Morgan Stanley - NORTH PORT FLA TRANSN IMPRT ASSMFT REV RATE:A1/AA 5.000% DUE:2030-07-01 (66171PAC7)	X					7/10/2017	X											
	Morgan Stanley - HARRIS CNTY TEX HOSP DIST REV RATE:A2/N/A 5.000% DUE:2030-02-15 (41415WCH3)	X					7/3/2017	X											
	Morgan Stanley - HARRIS CNTY TEX CULTURAL ED FACs FIN CORP REV-A RATE:A1/A+ 5.000% DUE:2030-12-01 (4140091C5)	X					6/21/2017	X											
	Morgan Stanley - WHOLE FOODS MARKET INC RATE:BA3(+)/BBB-(+) 5.200% DUE:2025-12-03 (966837AE6)	X					6/20/2017	X											
	Prival Securities Inc. Corporate Bonds - Ideal Living Corp (IDCA0650000622R) Due 6-30-22	X					6/28/2017	X											
	Energy Holdings, LLC (Naples, FL) oil and gas well investments - Sale of wells	X					6/19/2017	X											
	Due from Energy Holdings, LLC - Partial payment received	X					6/27/2017	X											
	Brown Advisory - Grundy ETC II GO 5.875% DUE 08-01-2028	X					8/3/2017	X											
	Brown Advisory - North TX Tollway Authority P/R 1/1/18 @ 100 6% DUE 01-01-2025	X					7/25/2017	X											
	Brown Advisory - LA State Local Government Environmental Facs & Cmnty Dev Auth 5% DUE 10-01-2026	X					7/20/2017	X											
	Brown Advisory - Teva Pharmaceutical Industries, Ltd. Sponsored ADR	X					8/3/2017	X											
	Brown Advisory - Bank of America Corp	X					8/3/2017	X											
	Brown Advisory - Weyerhaeuser Co	X					7/31/2017	X											
	Brown Advisory - Altria Group, Inc.	X					7/28/2017	X											
	Brown Advisory - Public Storage, Inc. 5.90% Pfd Series S	X					7/26/2017	X											
	Brown Advisory - Home Depot, Inc.	X					7/20/2017	X											
	Rooney Family Investments, Ltd. (Private Investments, Naples, FL)																		
	GS Brokerage - FERRARI N.V. CMN (RACE)	X					7/31/2017	X											
	GS Brokerage - ATLAS CORP AB SPONS ADR NEW REPSTG COM SER-A (ATLKY)	X					7/19/2017	X											

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

FULL ASSET NAME		Type of transaction				Check Box if Capital Gain Exceeded \$200	Date of Transaction	Amount of Transaction										
		PURCHASE	SALE	PARTIAL SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K
IT	SP	DC	Provide full name, not ticker symbol				(MM/DD/YY)	\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Transaction in a Spouse or Dependent Child Asset over \$1,000,000
			Rooney Capital, LLC (Private Investments, Naples, FL):				8/3/2017	X										
			Morgan Stanley - DISTRICT COLUMBIA GENL OBLIG SER-A RATE:AA/AA 5.000% DUE:2030-06-01 (25476FMW5	X			8/3/2017		X									
			Morgan Stanley - WISCONSIN ST HEALTH & EDL FACSAUTH REV RATE:A2/AA 5.000% DUE:2034-08-01 (97710B8A9)		X		8/3/2017		X									
			Morgan Stanley - WASHINGTON HLTH CARE PACS AUTHREV-A PROVIDENCE HLTH & SVCS RATE:AA3/AA-5.000% DUE:2028-10-01 (93978HFR3)	X			8/2/2017		X									
			Morgan Stanley - ALASKA MUNICIPAL BOND BANK SERIES-1 RATE:A1/N/A 5.000% DUE:2028-02-01 (01179BLP6	X			7/31/2017		X									
			Morgan Stanley - MIAMI-DADE CO FLA SCH BRD COPPREV SER-A RATE:A1/A+ 4.000% DUE:2028-08-01 (59333N	X			7/20/2017		X									
			Energy Holdings, LLC (Naples, FL): oil and gas well investments - Sale of wells		X		8/2/2017		X									
			Brown Advisory - Halifax FL Hospital Medical Center 6/1/18 @ 100 5.5% DUE 06-01-2038		X		9/13/2017			X								
			Brown Advisory - Harris Co. - Houston TX Sports Authority 5% DUE 11-15-2025		X		9/13/2017			X								
			Brown Advisory - CA State Statewide Community Development Auth P/R 7/1/18 @ 100 5.75% DUE 07-01-2047		X		9/13/2017			X								
			Brown Advisory - New Haven CT Solid Waste Authority P/R 6/1/18 @ 100 5.375% DUE 06-01-2028		X		9/13/2017			X								
			Brown Advisory - Tampa Bay FL Water Regional Water Supply Authority P/R 10/1/18 @ 100 5% DUE 10-01-2034		X		9/13/2017			X								
			Brown Advisory - Apple, Inc.		X		9/13/2017			X								
			Brown Advisory - Abbvie, Inc.		X		9/13/2017			X								
			Brown Advisory - Accenture PLC		X		9/13/2017			X								
			Brown Advisory - Automatic Data Processing, Inc.		X		9/13/2017			X								
			Brown Advisory - Ameriprise Financial, Inc.		X		9/13/2017			X								
			Brown Advisory - Bank of America Corp.		X		9/13/2017			X								
			Brown Advisory - Cincinnati Financial Corp.		X		9/13/2017			X								
			Brown Advisory - Cisco Systems, Inc.		X		9/13/2017			X								
			Brown Advisory - Erie Indemnity Co.		X		9/13/2017			X								
			Brown Advisory - General Electric Co.		X		9/13/2017			X								
			Brown Advisory - Healthcare Services Group, Inc.		X		9/13/2017			X								
			Brown Advisory - McDonald's Corp.		X		9/13/2017			X								
			Brown Advisory - Altria Group, Inc.		X		9/13/2017			X								
			Brown Advisory - Merck & Co, Inc.		X		9/13/2017			X								
			Brown Advisory - Microsoft Corp.		X		9/13/2017			X								
			Brown Advisory - Johnson & Johnson		X		9/13/2017			X								
			Brown Advisory - JPMorgan Chase & Co.		X		9/13/2017			X								
			Brown Advisory - Coca-Cola Co.		X		9/13/2017			X								
			Brown Advisory - Nielsen Holdings PLC		X		9/13/2017			X								
			Brown Advisory - Novartis AG Sponsored ADR		X		9/13/2017			X								
			Brown Advisory - Occidental Petroleum Corp.		X		9/13/2017			X								
			Brown Advisory - Philip Morris International, Inc.		X		9/13/2017			X								
			Brown Advisory - Praxair, Inc.		X		9/13/2017			X								

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

FULL ASSET NAME		Type of transaction				Date of Transaction	Amount of Transaction												
Provide full name, not ticker symbol		PURCHASE	SALE	PARTIAL SALE	EXCHANGE		Check Box if Capital Gain Exceeded \$200	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J	K
J1 SP DC	Brown Advisory - Qualcomm, Inc.	X					9/13/2017	X											
	Brown Advisory - Suncor Energy, Inc.		X				9/13/2017	X											
	Brown Advisory - United Technologies Corp.		X				9/13/2017	X											
	Brown Advisory - VF Corp.		X				9/13/2017	X											
	Brown Advisory - Wells Fargo & Co.		X				9/13/2017	X											
	Brown Advisory - Willis Towers Watson PLC		X				9/13/2017	X											
	Brown Advisory - W.P. Carey, Inc.		X				9/13/2017	X											
	Brown Advisory - Weyerhaeuser Co.		X				9/13/2017	X											
	Brown Advisory - Unilever NV		X				9/13/2017	X											
	Brown Advisory - Bank of America Corp.	X					8/11/2017	X											
	Rooney Family Investments, Ltd. (Private Investments, Naples, FL):																		
	GS Brokerage - CHUBB LTD CMN (CB)	X					9/13/2017	X											
	GS Brokerage - LOCKHEED MARTIN CORPORATION CMN (LMT)	X					9/13/2017	X											
	GS Brokerage - KELLOGG COMPANY CMN (K)	X					9/13/2017	X											
	GS Brokerage - CHARTER COMMUNICATIONS, INC CMN (CHTR)	X					9/13/2017	X											
	GS Brokerage - GGP INC CMN (GGP)	X					9/13/2017	X											
	GS Brokerage - CENTURYLINK INC CMN (CTL)	X					9/13/2017	X											
	GS Brokerage - ALTRIA GROUP, INC CMN (MO)	X					9/13/2017	X											
	GS Brokerage - NOBLE ENERGY INC CMN (NBL)	X					9/13/2017	X											
	GS Brokerage - EXXON MOBIL CORPORATION CMN (XOM)	X					9/13/2017	X											
	GS Brokerage - GENERAL ELECTRIC CO CMN (GE)	X					9/13/2017	X											
	GS Brokerage - VERIZON COMMUNICATIONS INC. CMN (VZ)	X					9/13/2017	X											
GS Brokerage - ALLERGAN PLC CMN (AGN)	X					9/13/2017	X												
GS Brokerage - W.W. GRAINGER INCORPORATED CMN (GWW)	X					9/13/2017	X												
GS Brokerage - COMCAST CORPORATION CMN CLASS A VOTING (CMCSA)	X					9/13/2017	X												
GS Brokerage - SIMON PROPERTY GROUP INC CMN (SPG)	X					9/13/2017	X												
GS Brokerage - FASTENAL CO CMN (FAST)	X					9/13/2017	X												
GS Brokerage - ZIMMER BIOMET HOLDINGS INC (ZBH)	X					9/13/2017	X												
GS Brokerage - ATLANTA GA ARPT REV 5% 01/01/22 JJ (MUNI)	X					9/13/2017	X												
GS Brokerage - SGS S.A. ADR CMN (SGSOY)	X					8/31/2017	X												
GS Brokerage - SGS S.A. ADR CMN (SGSOY)	X					8/31/2017	X												
GS Brokerage - SGS S.A. ADR CMN (SGSOY)	X					8/30/2017	X												
GS Brokerage - SGS S.A. ADR CMN (SGSOY)	X					8/29/2017	X												
GS Brokerage - OCCIDENTAL PETROLEUM CORP CMN (OXY)	X					8/9/2017	X												
GS Brokerage - VERIZON COMMUNICATIONS INC. CMN (VZ)	X					8/9/2017	X												

SCHEDULE B - TRANSACTIONS

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		PURCHASE	SALE	PARTIAL SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K
	GS Brokerage - CHARTER COMMUNICATIONS, INC. CMN (CHTR)	X					8/9/2017	X										
	GS Brokerage - SIMON PROPERTY GROUP INC CMN (SPG)		X				8/9/2017	X										
	GS Brokerage - HCP, INC. CMN (HCP)		X				8/9/2017	X										
	GS Brokerage - BIOGEN INC. CMN (BIIB)	X					8/9/2017	X										
	GS Brokerage - KROGER COMPANY CMN (KR)	X					8/9/2017	X										
	GS Brokerage - SCHLUMBERGER LTD CMN (SLB)	X					8/9/2017	X										
	GS Brokerage - FORD MOTOR COMPANY CMN (F)	X					8/9/2017	X										
	GS Brokerage - SCANA CORP CMN (SCG)		X				8/9/2017	X										
	GS Brokerage - EXXON MOBIL CORPORATION CMN (XOM)		X				8/9/2017	X										
	GS Brokerage - CENTURYLINK INC CMN (CTL)		X				8/9/2017	X										
	GS Brokerage - COSTCO WHOLESALE CORPORATION CMN (COST)		X				8/9/2017	X										
	Rooney Capital, LLC (Private Investments, Naples, FL)																	
	GS Brokerage - VA COLLEGE BLDG AUTH VA EDL REV 5.0000% 02/01/21 FA (MVUN)	X					9/13/2017	X										
	Morgan Stanley - CLARK CNTY NEV SCH DIST FOR ISSUES DTD P RATE:A1/AA-4.000% DUE:2032-06-15 (181059)	X					8/29/2017	X										
	Morgan Stanley - FRANKLIN CNTY OHIO HOSP REV RATE:AAZ/N/A 4.750% DUE:2029-11-01 (3531867FO)		X				8/14/2017	X										
	Morgan Stanley - SIENNA PLANTATION MUN UTIL DIST NO 3 TEX RATE:A1/AA 5.000% DUE:2032-03-01 (82620)	X					8/14/2017	X										
	Morgan Stanley - COLORADO HEALTH PACS AUTH REV SER-B RATE:N/A/AA 6.000% DUE:2026-05-15 (19648AQB4)	X					8/11/2017	X										
	Morgan Stanley - MISSISSIPPI DEV BK SPL OBLIG RATE:AAZ/AA 5.000% DUE:2028-04-01 (60534TYE9)	X					8/10/2017	X										
	Brown Advisory - American Tower Corp.	X					09/18/2017	X										
	Brown Advisory - AvalonBay Communities, Inc.	X					09/18/2017	X										
	Brown Advisory - Easterly Government Properties, Inc.	X					09/18/2017	X										
	Brown Advisory - Federal Realty Investment Trust	X					09/18/2017	X										
	Brown Advisory - Public Storage	X					09/18/2017	X										
	Brown Advisory - W.P. Carey, Inc.	X					09/18/2017	X										
	Brown Advisory - AZ State Health Facilities - VRDN JPM LOC 0.93% DUE 11-01-2017		X				10/1/2017	X										
	Brown Advisory - Tarrant Co. TX Cultural Education Facilities Finance Corp. 2.5% DUE 12-01-2018		X				9/14/2017	X										
	Brown Advisory - Austin TX Independent School District GO 5% DUE 08-01-2026		X				10/9/2017	X										
	Brown Advisory - Medtronic PLC	X																
	Rooney Family Investments, Ltd. (Private Investments, Naples, FL)																	
	GS Brokerage - BOK FINANCIAL CORP (NEW) CMN (BOKF)		X			X	10/18/2017											
	GS Brokerage - ALLERGAN PLC CMN (AGN)	X					10/17/2017	X										
	GS Brokerage - CHARTER COMMUNICATIONS, INC. CMN (CHTR)	X					10/17/2017	X										
	GS Brokerage - PHILIP MORRIS INTL INC CMN (PM)	X					10/17/2017	X										
	GS Brokerage - AT&T INC CMN (T)	X					10/17/2017	X										

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

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		PURCHASE	SALE	PARTIAL SALE	EXCHANGE			A \$1,000-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Transaction in a Spouse or Dependent Child Asset over \$1,000,000
	GS Brokerage - COSTCO WHOLESALE CORPORATION CMN (COST)	X					10/17/2017	X										
	GS Brokerage - VERIZON COMMUNICATIONS INC. CMN (VZ)	X					10/17/2017	X										
	GS Brokerage - ALTRIA GROUP, INC. CMN (MO)	X					10/17/2017	X										
	GS Brokerage - KROGER COMPANY CMN (KR)		X				10/17/2017	X										
	GS Brokerage - BRITISH AMERICAN TOBACCO PLC SPONS ADR (BTI)		X				10/17/2017	X										
	GS Brokerage - KELLOGG COMPANY CMN (K)		X				10/17/2017	X										
	GS Brokerage - WALGREENS BOOTS ALLIANCE, INC. CMN (WBA)		X				10/17/2017	X										
	GS Brokerage - HORMEL FOODS CORP CMN (HRL)	X					10/17/2017	X										
	GS Brokerage - EXPRESS SCRIPTS HOLDINGS CMN (ESRX)		X				10/17/2017	X										
	GS Brokerage - GENERAL MILLS INC CMN (GIS)		X				10/17/2017	X										
	GS Brokerage - CVS HEALTH CORP CMN (CVS)		X				10/17/2017	X										
	GS Brokerage - BOK FINANCIAL CORP (NEW) CMN (BOKF)		X			X	10/17/2017				X							
	GS Brokerage - BOK FINANCIAL CORP (NEW) CMN (BOKF)		X				10/16/2017				X							
	GS Brokerage - BOK FINANCIAL CORP (NEW) CMN (BOKF)		X			X	10/13/2017				X							
	GS Brokerage - BOK FINANCIAL CORP (NEW) CMN (BOKF)		X			X	10/12/2017				X							
	GS Brokerage - BOK FINANCIAL CORP (NEW) CMN (BOKF)		X			X	10/11/2017				X							
	GS Brokerage - BOK FINANCIAL CORP (NEW) CMN (BOKF)		X			X	10/10/2017				X							
	GS Brokerage - BOK FINANCIAL CORP (NEW) CMN (BOKF)		X				10/9/2017				X							
	GS Brokerage - BOK FINANCIAL CORP (NEW) CMN (BOKF)		X			X	10/6/2017				X							
	GS Brokerage - BOK FINANCIAL CORP (NEW) CMN (BOKF)		X			X	10/5/2017				X							
	GS Brokerage - BOK FINANCIAL CORP (NEW) CMN (BOKF)		X			X	10/4/2017				X							
	GS Brokerage - BOK FINANCIAL CORP (NEW) CMN (BOKF)		X			X	10/3/2017				X							
	GS Brokerage - BOK FINANCIAL CORP (NEW) CMN (BOKF)		X			X	10/2/2017				X							
	GS Brokerage - THE WILLIAMS COMPANIES, INC. CMN (WMB)		X				9/25/2017	X										
	GS Brokerage - ENERGY TRANSFER PARTNERS, L.P. CMN (ETP)		X				9/25/2017	X										
	GS Brokerage - ENERGY TRANSFER EQUITY L P CMN (ETE)	X					9/25/2017				X							
	GS Brokerage - ENERGY TRANSFER PARTNERS, L.P. CMN (ETP)		X				9/25/2017	X										
	GS Brokerage - ENERGY TRANSFER PARTNERS, L.P. CMN (ETP)		X				9/25/2017	X										
	Rooney Capital, LLC (Private Investments, Naples, FL):																	
	Prival Securities Inc. - Prival Bond Fund (PBFA:PP)						10/13/2017	X										
	GS Brokerage - WASH ST DB 5% 08/01/19 FA (MUNI)	X					10/11/2017	X										
	GS Brokerage - MARYLAND ST DEPT TRANSN CONS REV 5% 09/01/24 MS (MUNI)	X					9/15/2017	X										
	Brown Advisory - Monroe Co. GA Development Authority - VRDN JPM LOC 0.93% DUE 11-01-2017	X					8/28/2017	X										
	Solamere SV-SC Investments, LLC - Fund Manager Letter on file with the Committee on Ethics	X					10/4/2017	X										

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

FULL ASSET NAME		Type of transaction				Check Box if Capital Gain Exceeded \$200	Date of Transaction	Amount of Transaction										
Provide full name, not ticker symbol		PURCHASE	SALE	PARTIAL SALE	EXCHANGE		(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J	K
JT SP DC	Brown Advisory - Qualcomm, Inc.	X					11/9/2017	X										
	Brown Advisory - Hasbro, Inc.	X					11/9/2017	X										
	Brown Advisory - General Electric Co.		X				11/21/2017	X										
	Rooney Family Investments, Ltd. (Private Investments, Naples, FL):																	
	GS Brokerage - HAWAII ST FOR ISSUES DTD PRIOR GO 5.0000% 08/01/23 FA (MUNI)	X					11/9/2017				X							
	GS Brokerage - CTRIP COM INTERNATIONAL, LTD. ADR CMN (CTRP)		X				11/6/2017		X									
	GS Brokerage - WESTBROOK ME GO 5% 04/01/23 AO (MUNI)	X					10/25/2017				X							
	GS Brokerage - BOK FINANCIAL CORP (NEW) CMN (BOKF)		X				10/20/2017				X							
	GS Brokerage - BOK FINANCIAL CORP (NEW) CMN (BOKF)		X			X	10/19/2017				X							
	Rooney Capital, LLC (Private Investments, Naples, FL):																	
	Morgan Stanley - HARRIS CNTY TEX HOSP DIST REV RATE:A2/N/A 5.000% DUE:2030-02-15 (41415WCH3)		X				10/31/2017	X										
	Morgan Stanley - MIDLAND CNTY TEX FRESH WTR SUPPLY DIST REV ID 09-01-12 RATE:AA3/AA 5.000% DUE:20	X					10/31/2017	X										
	Energy Holdings, LLC (Naples, FL): oil and gas well investments - Sale of wells		X				11/3/2017	X										
	Brown Advisory - Brown Advisory Global Leaders Fund C Inv (BIALX)	X					12/01/2017			X								
	Brown Advisory - American Tower Corp. (AMT)	X					12/04/2017	X										
	Brown Advisory - Eastern Government Properties, Inc. (IDEA)	X					12/04/2017	X										
	Brown Advisory - Brown Advisory Strategic Bond Fund C Inv (BIABX)	X					12/01/2017		X									
	Brown Advisory - AvalonBay Communities, Inc. (AVB)	X					12/04/2017	X										
	Brown Advisory - Artisan Global Value Fund C Adv (APDGX)	X					12/01/2017			X								
	Brown Advisory - T. Rowe Price Tax-Free High Yield Bond Fund (PRFXH)	X					12/01/2017		X									
Brown Advisory - W.P. Carey, Inc. (WPC)	X					12/04/2017	X											
Brown Advisory - Public Storage (PSA)	X					12/04/2017	X											
Brown Advisory - Federated Municipal Ultrashort Bond Fund Inst (FMUSX)	X					12/1/2017	X											
Brown Advisory - Federal Realty Investment Trust (FRT)	X					12/4/2017	X											
Brown Advisory - Artisan Global Value Fund C Adv (APDGX)	X					11/21/2017	X											
Brown Advisory - Frederick Co. MD GO 2% DUE 08-01-2022	X					12/7/2017		X										
Brown Advisory - PA State Turnpike Commission 5% DUE 12-01-2034	X					12/8/2017		X										
Brown Advisory - Sugar Land TX GO 5% DUE 02-15-2022	X					12/6/2017		X										
Brown Advisory - WA State GO 5% DUE 02-01-2028	X					12/8/2017		X										
Brown Advisory - Seward Co. KS Unified School District # 480 GO 5% DUE 09-01-2027	X					12/5/2017		X										
Brown Advisory - Tarrant Co. TX Cultural Education Facilities Finance Corp. 2.5% DUE 12-01-2018		X				12/1/2017	X											
Brown Advisory - Lowe's Companies, Inc. (LOW)	X					12/5/2017	X											
Brown Advisory - McDonald's Corp. (MCD)	X					12/5/2017	X											

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

JT SP DC		FULL ASSET NAME  Provide full name, not ticker symbol	Type of transaction				Check Box if Capital Gain Exceeded \$200	Date of Transaction  (MM/DD/YY)	Amount of Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																							
			PURCHASE	SALE	PARTIAL SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																													
	Brown Advisory - Medtronic PLC (MDT)	X					12/5/2017	X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								</

**SCHEDULE B - TRANSACTIONS**

**NAME: LAURENCE FRANCIS ROONEY**

		FULL ASSET NAME				Type of transaction				Check Box if Capital Gain Exceeded \$200	Date of Transaction		Amount of Transaction										
						PURCHASE	SALE	PARTIAL SALE	EXCHANGE				A	B	C	D	E	F	G	H	I	J	K
JT	SP	DC	Provide full name, not ticker symbol								(MM/DD/YY)												
			Rooney Family Investments, Ltd. (Private Investments, Naples, FL)																				
			GS Brokerage - RYANAIR HOLDINGS PLC SPONSORED ADR CMN (RYAAV)				X				12/8/2017	X											
			GS Brokerage - RYANAIR HOLDINGS PLC SPONSORED ADR CMN (RYAAV)				X				12/7/2017	X											
			GS Brokerage - RYANAIR HOLDINGS PLC SPONSORED ADR CMN (RYAAV)				X				12/6/2017	X											
			GS Brokerage - TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN (TCEHY)					X			12/6/2017		X										
			GS Brokerage - CHENIERE ENERGY INC CMN (LNG)				X				12/4/2017	X											
			GS Brokerage - CHENIERE ENERGY INC CMN (LNG)				X				12/4/2017	X											
			GS Brokerage - ANTERO MIDSTREAM PARTNERS LP CMN (AM)				X				12/1/2017	X											
			GS Brokerage - ANTERO MIDSTREAM PARTNERS LP CMN (AM)				X				11/30/2017	X											
			GS Brokerage - AIA GROUP LIMITED SPONSORED ADR CMN SERIES (AAGIV)				X				11/29/2017	X											
			GS Brokerage - COMCAST CORPORATION CMN CLASS A VOTING (CMCSA)				X				11/20/2017	X											
			GS Brokerage - CENTURYLINK INC CMN (CTL)				X				11/20/2017	X											
			GS Brokerage - CVS HEALTH CORP CMN (CVS)				X				11/20/2017	X											
			GS Brokerage - KIMBERLY CLARK CORP CMN (KMB)				X				11/20/2017	X											
			GS Brokerage - NIELSEN HLDGS PLC CMN (NLSN)					X			11/20/2017	X											
			GS Brokerage - EXXON MOBIL CORPORATION CMN (XOM)					X			11/20/2017	X											
			GS Brokerage - GENERAL ELECTRIC CO CMN (GE)					X			11/20/2017	X											
			GS Brokerage - JOHNSON CONTROLS INTERNATIONAL CMN (JCI)				X				11/20/2017	X											
			GS Brokerage - AT&T INC CMN (T)				X				11/20/2017	X											
			GS Brokerage - WALGREENS BOOTS ALLIANCE, INC. CMN (WBA)				X				11/20/2017	X											
			GS Brokerage - COTY, INC. CMN CLASS A (COTY)				X				11/20/2017	X											
			GS Brokerage - SCHLUMBERGER LTD CMN (SLB)					X			11/20/2017	X											
			GS Brokerage - EXPRESS SCRIPTS HOLDINGS CMN (ESRX)				X				11/20/2017	X											
			GS Brokerage - CHARTER COMMUNICATIONS, INC. CMN (CHTR)					X			11/20/2017	X											
			GS Brokerage - ZIMMER BIOMET HOLDINGS, INC. (ZBH)					X			11/20/2017	X											
			GS Brokerage - MCKESSON CORPORATION CMN (MCK)					X			11/20/2017	X											
			Brown Advisory - American Tower Corp. (AMT)				X				12/29/2017	X											
			Brown Advisory - Brown Advisory Strategic Bond Fund Cl Inv (BIBSX)				X				12/29/2017		X										
			Brown Advisory - Brown Advisory Global Leaders Fund Cl Inv (BALX)				X				12/29/2017			X									
			Brown Advisory - Artisan Global Value Fund Cl Adv (APDGX)				X				12/29/2017				X								
			Brown Advisory - AvalonBay Communities, Inc. (AVB)				X				12/29/2017	X											
			Brown Advisory - Eastern Government Properties, Inc. (DEA)				X				12/29/2017	X											
			Brown Advisory - Federated Municipal Ultrashort Bond Fund Inst (FMUSX)				X				12/29/2017	X											
			Brown Advisory - Federal Realty Investment Trust (FRT)				X				12/29/2017	X											
			Brown Advisory - Cardinal Small Cap Value Fund Cl Inst (CMSX)				X				12/29/2017		X										



SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

JT SP DC		FULL ASSET NAME  Provide full name, not ticker symbol	Type of transaction				Check Box if Capital Gain Exceeded \$200	Date of Transaction  (MM/DD/YY)	Amount of Transaction											
			PURCHASE	SALE	PARTIAL SALE	EXCHANGE			A \$1,000-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Transaction in a Spouse or Dependent Child Asset over \$1,000,000	
		Brown Advisory - W.P. Carey, Inc. (WPC)	X					12/29/2017	X											
		Brown Advisory - T. Rowe Price Tax-Free High Yield Bond Fund (PRFHX)	X					12/29/2017		X										
		Brown Advisory - Public Storage (PSA)	X					12/29/2017	X											
		Brown Advisory - Cardinal Small Cap Value Fund C Inst (CCMSX)	X					12/20/2017		X										
		Brown Advisory - Wylie TX Independent School District GO 4% DUE 08-15-2022 (98306877)	X					12/29/2017		X										
		Brown Advisory - WA State Health Care P/R 8/1/18 @ 100.6, 25% DUE 08-01-2036 (93978EV82)	X					12/14/2017		X										
		Brown Advisory - MN State GO 4% DUE 08-01-2029 (604129VH6)	X					12/12/2017		X										
		Brown Advisory - Ameriprise Financial, Inc. (AMP)	X					12/29/2017	X											
		Brown Advisory - American Tower Corp. (AMT)	X					12/29/2017	X											
		Brown Advisory - Best Buy Co., Inc. (BBY)	X					12/29/2017	X											
		Brown Advisory - Apple, Inc. (AAPL)	X					12/29/2017	X											
		Brown Advisory - Abbvie, Inc. (ABBV)	X					12/29/2017	X											
		Brown Advisory - Accenture PLC (ACN)	X					12/29/2017	X											
		Brown Advisory - Automatic Data Processing, Inc. (ADP)	X					12/29/2017	X											
		Brown Advisory - Bank of America Corp. (BAC)	X					12/29/2017	X											
		Brown Advisory - Cisco Systems, Inc. (CSCO)	X					12/29/2017	X											
		Brown Advisory - Erie Indemnity Co. (ERIE)	X					12/29/2017	X											
		Brown Advisory - General Dynamics Corp. (GD)	X					12/29/2017	X											
		Brown Advisory - Hasbro, Inc. (HAS)	X					12/29/2017	X											
		Brown Advisory - Cincinnati Financial Corp. (CINF)	X					12/29/2017	X											
		Brown Advisory - Sunco Energy, Inc. (SU)	X					12/29/2017	X											
		Brown Advisory - Unilever NV (UN)	X					12/29/2017	X											
		Brown Advisory - United Technologies Corp. (UTX)	X					12/29/2017	X											
		Brown Advisory - VF Corp. (VFC)	X					12/29/2017	X											
		Brown Advisory - Wells Fargo & Co. (WFC)	X					12/29/2017	X											
		Brown Advisory - Willis Towers Watson PLC (WLTW)	X					12/29/2017	X											
		Brown Advisory - W.P. Carey, Inc. (WPC)	X					12/29/2017	X											
		Brown Advisory - Weyerhaeuser Co. (WY)	X					12/29/2017	X											
		Brown Advisory - Nielsen Holdings PLC (NLSN)	X					12/29/2017	X											
		Brown Advisory - Novartis AG Sponsored ADR (NVS)	X					12/29/2017	X											
		Brown Advisory - Occidental Petroleum Corp. (OXY)	X					12/29/2017	X											
		Brown Advisory - Philip Morris International, Inc. (PM)	X					12/29/2017	X											
		Brown Advisory - Praxair, Inc. (PX)	X					12/29/2017	X											
		Brown Advisory - Qualcomm, Inc. (QCOM)	X					12/29/2017	X											
		Brown Advisory - Home Depot, Inc. (HD)	X					12/29/2017	X											
		Brown Advisory - Kinder Morgan, Inc. (KMI)	X					12/29/2017	X											

## NAME: LAURENCE FRANCIS ROONEY

Page 50 of 56

## Page 51 of 56

**EXCLUDE** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

**INCOME LIMITS AND PROHIBITED INCOME** The 2017 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,765. The 2018 limit is \$28,050. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

[illegible]

# **SCHEDULE D – LIABILITIES**

Name: **LAURENCE FRANCIS ROONEY**

Page **52** of **56**

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude** Any mortgage on your personal residence (unless you rent it out or are a Member), loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse DC Liability)
Example	First Bank of Wilmington, DE	5/15	Mortgage on Rental Property, Dover, DE				X							
None														

# **SCHEDULE E – POSITIONS**

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations), and positions solely of an honorary nature.

Position	Name of Organization
Manager	LFR Capital, LLC

# **SCHEDULE F – AGREEMENTS**

Name: **LAURENCE FRANCIS ROONEY**

Page **53** of **56**

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment, a leave of absence during the period of government service continuation or deferral of payments by a former or current employer other than the U.S. government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
None		

# **SCHEDULE G – GIFTS**

Report the source (by name), a brief description, and the value of all gifts totaling more than \$390 received by you, your spouse, or your dependent child from any source during the year. **Exclude:** Gifts from relatives; gifts of personal hospitality from an individual; local meals; and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$156 or less need not be added towards the \$390 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source	Description	Value
Example: Mt. Jefferson Smith, Arlington, VA	Shower Plates (great display, holder of 24) made from Fine Ceramics on Ethics	\$415
None		

Use additional sheets if more space is required.

## Page 54 of 56

**EXCLUDE** Travel related expenses provided by federal state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342) political travel that is required to be reported under the Federal Election Campaign Act (travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer)

[illegible]



**FILER NOTES**  
(Optional)

Name: LAURENCE FRANCIS ROONEY

Page 56 of 56

NOTE NUMBER	NOTES
1	Filer's portion of underlying holdings that comprise this asset are each less than the reporting thresholds.

Use additional sheets if more space is required.