FINANCIAL DISCLOSURE STATEMENT **UNITED STATES HOUSE OF REPRESENTATIVES** Abel J. Tapia × Employing Office: New Officer or Employee Candidates - Date of Election: U.S. House of Representatives New Member of or Candidate for state: Colorado District: 3rd Daytime Telephone:_ For New Members, Candidates, and New Employees FORM B Period Covered: January 1, to July 31, 2014 Check if Amendment 2013 A \$200 penalty shall be assessed against any individual who files more than 30 days late. OFFICE OF THE CLERK

N.S. HOUSE OF REPRESENTATIVES

(Office Use Only) LEGISLATIVE RESOURCE CENTER 2014 AUG 25 AM 9: 47 AUG 1 5 2014
Page 1 of 9

Name:

FILER STATUS

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

| | S THAT YOU ARE REQUIRED TO COMPLETE | NLY THE SCHEDULES | THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE |
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| | CHEDULE IF YOU ANSWER "YES" | ATTACH THE CORRESPONDING SCHEDULE IF YOU | ATTACH THE |
| Yes No X | J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years? | Yes X No | D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? |
| Yes X No | F. Do you have any reportable agreements or arrangements with an outside entity? | Yes X No | C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? |
| Yes No X | E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? | Yes X No | A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period? |
| | | | |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

EXEMPTION – Have you excluded from this report any other assets, "uneamed" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Abel J. Tapia

Page 3

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| Colorado College Savings Plan | PERA Pension State of CO | Lot Bartlet, Pueblo CO | Lot Land, Colorado City | - Xilinx Inc. (75) | - Coca Cola (60) | Northwestern Mutual | SSFCU Money Mkt, Pueblo CO | PB&T Savings, Pueblo CO | ENT Savings, Pueblo CO | US Bank Money Mkt, Pueblo CO | Commercial Bldg, Pueblo CO | Condo Rental, Denver CO | - 1827 Elizabeth | - 2307 Elizabeth | Residential Rentals, Pueblo CO | ASSET NAME EF | | Assets and/or income Sources | BLOCK A |
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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Abel J. Tapia

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| | S & "UNEARNED INCOME" |
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| Name: Abel J. Tapia | |
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SCHEDULE C - EARNED INCOME

| Name: Abel J. Tapia | |
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| Page 6 of 9 | |

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding \$1,000. See examples below. EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

| 2014. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff. Amount | essional services involving a fidu | fiduciary relationship) are totally prohibited for Members and senior staff Amount | chibited for Members and senior staff. Amount |
|---|------------------------------------|---|--|
| Source (include date of receipt for honoraria) | Туре | Current Year to Filing | Preceding Year |
| ABC Trade Association, Beltimore, MD (July 15) | Honorarium | \$0 | \$500 |
| Examples: State of Maryland State of Maryland VA (Oct. 2) Ontario County Board of Education | Spouse Speech Spouse Salary | \$20,000 \$0 N/A | \$1,000 N/A |
| State of Colorado, Denver CO - Director Colorado Lottery | Salary | 12,000 | 134,822 |
| C & A Property Management, LLC, Pueblo, CO | Spouse Self - Employment | N/A | N/A |
| Public Employees Retirement Assn (PERA), Denver CO | Pension | 38,500 | N/A |
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SCHEDULE D - LIABILITIES

Name: Abel J. Tapia Page 7 of 9

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. New Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

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| Morgan (| H Mortg | HH Mortg | H Mortg | H Mortga | Example | | |
| JPMorgan Chase Bank, Columbus OH | PHH Mortgage, Mt Laurel, NJ | PHH Mortgage, Mt Laurel, NJ | PHH Mortgage, Mt Laurel, NJ | PHH Mortgage, Mt Laurel, NJ | First Bank of Wilmington, DE | Creditor | |
| 6/2005 | 8/2011 | 8/2011 | 8/2011 | 8/2011 | 5/98 | Date Liability Incurred MO/YR | |
| Rental Property, 2307 Elizabeth | Rental Property, Denver CO | Rental Property, 1505/1507 Elizabeth | Rental Property, 1509/1511 Elizabeth | Rental Property, 606/608 W 16th | Mortgage on Rental Property, Dover, DE | Type of Liability | |
| | | | | | 1967 to | \$10,001- \$15,000 | |
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| | | | | | | Ever \$1,000,000* (Spouse/DC Liability) | |

SCHEDULE E - POSITIONS

other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report positions held in the reporting period and the current calendar year. First-year candidates and new employees report positions held in the current calendar year and two previous years. Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or

| Position | Position Name of Organization |
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SCHEDULE D - LIABILITIES

Name: Abel J. Tapia Page 8 of 9

liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. New Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and *Column K is for liabilities held solely by your spouse or dependent child.

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| | | OCWEN | JPMorga | US Bank | Example | |
| | | OCWEN Loan Servicing LLC, Waterloo, IA | JPMorgan Chase Bank, Columbus OH | US Bank NA, St Paul MN | First Bank of Wilmington, DE | Creditor |
| | | 8/2011 | 6/2005 | 6/2005 | 5/98 | Date Liability Incurred MO/YR |
| | | Personal Residence | Rental Property, 2219 Spruce | Commercial Bldg, Pueblo CO | Mortgage on Rental Property, Dover, DE | Type of Liability |
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| | | | | | | \$15,001- \$50,000 |
| | | | | | | \$50,000 \$160,000 |
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SCHEDULE E -- POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report positions held in the current calendar year and two previous years.

| Position | Position Name of Organization |
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SCHEDULE F - AGREEMENTS

| r arrangement that you have with re | |
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| remonement that you have with respect to figure employment: a leave of sheeper during the period of povernment service. | Name: Abel J. Tapia |
| forcement service: | Page 9 of 9 |

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date | Parties to Agreement | Terms of Agreement |
|------------|---|---|
| 12/31/2013 | 12/31/2013 Abel J Tapia & State of Colorado | Continued participation in Public Employee Retirement Plan (PERA) |
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SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

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|---|-----------------------------|
| Source (Name and City/State) | Brief Description of Duties |
| Example: Doe Jones & Smith, Hometown, Homestate | Accounting Services |
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