

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Ms. Suzan K. DelBene

Status: Member **State/District:** WA01

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	JT	ABB Ltd (ABB) FILING STATUS: New	S	10/17/2014	11/4/2014	\$15,001 - \$50,000
	JT	Bear Stearns Co 5.55% Due 01/22/17 FILING STATUS: New	P	10/22/2014	10/22/2014	\$100,001 - \$250,000
	JT	BioAmber Inc. (BIOA) FILING STATUS: New	P	10/9/2014	11/4/2014	\$1,001 - \$15,000
	JT	BioAmber Inc. (BIOA) FILING STATUS: New	P	10/10/2014	11/4/2014	\$1,001 - \$15,000
	JT	BorgWarner Inc. (BWA) FILING STATUS: New	P	10/17/2014	11/4/2014	\$1,001 - \$15,000
	JT	Cree, Inc. (CREE) FILING STATUS: New	S	10/9/2014	11/4/2014	\$1,001 - \$15,000
	JT	Dignity Health 2014A 3.812% Due 11-01-24 FILING STATUS: New	P	10/7/2014	10/7/2014	\$500,001 - \$1,000,000
	JT	FL State Brd Ed Rev 5% Due 06/01/24 FILING STATUS: New	S	10/8/2014	10/8/2014	\$250,001 - \$500,000
	JT	Flowserve Corporation (FLS) FILING STATUS: New	P	10/17/2014	11/4/2014	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	Global Partnerships Microfinance Fund 2008 FILING STATUS: New LOCATION: US DESCRIPTION: Promissory Note	S	10/31/2014	10/31/2014	\$100,001 - \$250,000
	JT	Global Partnerships SIF 5.0 3% 03/25/2019 FILING STATUS: New LOCATION: US DESCRIPTION: Promissory Note	P	10/31/2014	10/31/2014	\$250,001 - \$500,000
	JT	Mobileye N.V. Ordinary Shares (MBLY) FILING STATUS: New	P	10/17/2014	11/4/2014	\$1,001 - \$15,000
	JT	Morgan Stanley Sr Nt-F 4.75% Due 03/22/17 FILING STATUS: New	P	10/22/2014	10/22/2014	\$250,001 - \$500,000
	JT	NASDAQ OMX grp 5.25% due $01/16/18$ FILING STATUS: New	P	10/22/2014	10/22/2014	\$250,001 - \$500,000
	JT	Nuverra Environmental Solutions, Inc. (NES) FILING STATUS: New	S	10/10/2014	11/4/2014	\$15,001 - \$50,000
	JT	Port of Seattle 4% Due 06/01/17 Filing Status: New	S	10/21/2014	10/21/2014	\$500,001 - \$1,000,000
	JT	SunEdison, Inc. (SUNE) FILING STATUS: New	P	10/17/2014	11/4/2014	\$1,001 - \$15,000
	JT	SunPower Corporation (SPWR) FILING STATUS: New	P	10/9/2014	11/4/2014	\$1,001 - \$15,000
	JT	Syngenta AG (SYT) FILING STATUS: New	S	10/17/2014	11/4/2014	\$15,001 - \$50,000
	JT	U Colorado Regents 5% Due 06/01/22 FILING STATUS: New	S	10/8/2014	10/8/2014	\$250,001 - \$500,000
	JT	VA Pub Bldg Auth 5% Due 08/01/15 FILING STATUS: New	S	10/22/2014	10/22/2014	\$100,001 - \$250,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	JT	Xylem Inc. Common Stock New (XYL) FILING STATUS: New	P	10/10/2014	11/4/2014	\$15,001 - \$50,000
	JT	Zumtobel Group AG (B13WZ26) FILING STATUS: New DESCRIPTION: Foreign Exchange Position	S	10/17/2014	11/4/2014	\$1,001 - \$15,000
	JT	Zumtobel Group AG (B13WZ26) FILING STATUS: New DESCRIPTION: Foreign Exchange Position	S	10/20/2014	11/4/2014	\$1,001 - \$15,000
	JT	Zumtobel Group AG (B13WZ26) FILING STATUS: New DESCRIPTION: Foreign Exchange Position	S	10/21/2014	11/4/2014	\$1,001 - \$15,000

COMMENTS

INITIAL PUBLIC OFFERINGS



CERTIFICATION AND SIGNATURE

 $\overline{\mathbb{M}}$ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Ms. Suzan K. DelBene, 11/20/2014