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U.S. HOUSE OF ...

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A — For use by Members, officers, and employees

Charles B. Rangel
(Full Name)

(Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representative — State: NY, District: 15	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $350 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☑ No ☐
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $350 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO--	Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes ☐ No ☑
Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Charles B. Rangel

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Congressional Federal Credit Union	IRA Distribution	$5,103
Congressional Federal Credit Union	Spouse IRA Distribution	$896

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles B. Rangel

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year. Provide complete names of stocks and mutual funcs (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	BLOCK B **Year-End Value of Asset** Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None." * This column is for assets held solely by your spouse or dependent child.	BLOCK C **Type of Income** Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D **Amount of Income** For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. * This column is for income generated by assets held solely by your spouse or dependent child.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
74 West 132nd Street NY, NY 10037	None	Other: Past due rent collection	$201 - $1,000	
Blackrock Muni Enhanced	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
Capital One Checking (former North Fork Bank)	$250,001 - $500,000	INTEREST	$1 - $200	
Congressional Federal Credit Union	$250,001 - $500,000	INTEREST/DIVIDENDS	$1,001 - $2,500	
Empty Lots, Glassboro, New Jersey (tax assessment $3,000)	$1,001 - $15,000	NONE	NONE	
Ishares Barclays 1-3 Yr. Treasurey Index Fund	$15,001 - $50,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles B. Rangel

Ishares Barclays Tips BO Protected Secs FD	None	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S
Merrill Lynch Cash & Money Accts	$50,001 - $100,000	INTEREST	$1 - $200	
New York New York City Municipal Water Financial Auth Bonds	None	INTEREST/CAPITAL GAINS	$1,001 - $2,500	S
New York State Dorm Auth Bonds	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
New York State Power Auth Bonds	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
Nuveen Muni Value	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Nuveen NY Investment Qual. Muni	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
Nuveen NY Prefered PLS Muni	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
Nuveen Select Qualified Muni	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Nuveen Select Tax Free CL P	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
PepsiCo, Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Pimco Total Return Fd. Cl P (formerly A)	$250,001 - $500,000	DIVIDENDS/DISTRIBUTIONS	$15,001 - $50,000	
Protective Life Insurance Co. of New York (Fixed Annuity)	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
Triborough Bridge & Tunnel Auth Bonds	$50,001 - $100,000	INTEREST	$1,001 - $2,500	

Vanguard Short Term Bond	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
Yum! Brands	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Charles B. Rangel

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.
*** This column is for assets solely held by your spouse or dependent child.**

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
	Blackrock Muni Enhanced	S	Yes	08-21-12	$15,001 - $50,000
	Ishares Barclays Tips BO Protected Secs FD	S	Yes	08-21-12	$50,001 - $100,000
	New York, New York City Municipal Water Financial Auth. Bonds	S	Yes	06-15-12	$15,001 - $50,000
	Nuveen Muni Value	S	Yes	08-21-12	$15,001 - $50,000
	Nuveen NY Investment Qual. Muni	S	Yes	08-21-12	$15,001 - $50,000
	Nuveen Select Tax Free CL P	S	No	08-21-12	$1,001 - $15,000
	Vanguard Short Term Bond	P	No	08-21-12	$100,001 - $250,000

SCHEDULE VI - GIFTS

Name Charles B. Rangel

Report the source, a brief description, and the value of all gifts totaling more than $350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $134 or less need not be added towards the $350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Leonard Riggio (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	$10,000
Heyward B. Davenport (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	$2,500
Daniel Rose (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	$500
Herbert Pardes (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	$1,000
Harry P. Kamen (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	$1,600
Jacob J. Worenklein (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	$1,000
George Kaufman (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	$2,500
IBE Trade Corp. (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	$5,000
Barbara Minch (New York, NY)	Donation to Charles B. Rangel Legal Expense Trust	$250

SCHEDULE VIII - POSITIONS

Name Charles B. Rangel

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Ex Officio Member of the Board	New York City Empowerment Zone
Member of the Board	The Kheel Foundation