

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT**

Form A  
For use by Members, officers, and employees

**HAND  
DELIVERED**  
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2013 MAY 15 PM 3:02

Name: **Joseph P. Kennedy III**

Daytime Telephone:

(Office Use Only)

*MC*

<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>MA</u> District: <u>4</u>	<input type="checkbox"/> Officer or Employee	Employing Office:	Termination Date:
<b>Report Type</b>	<input checked="" type="checkbox"/> Annual (May 15, 2013)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination		

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

**PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS**

IPO—Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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**Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.**

**For payments to charity in lieu of honoraria, use Schedule II.**

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

[illegible]

# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name **Joseph P. Kennedy III**

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BLOCK A		BLOCK B													BLOCK C								BLOCK D												BLOCK E
Asset and/or Income Source		Value of Asset													Type of Income								Amount of Income												Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving account; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>		<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p>													<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>								<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>												<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP, DC, JT	SP, DC, JT	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	S (partial)
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*								None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*		
	SP Mega Corp. Stock														X																				
	DC Examples: Simon & Schuster																																		
	JT 1st Bank of Paducah, KY Accounts																																		
	Bank of America																																		
	Commonwealth of Massachusetts																																		
	457 Deferred Comp. Plan (SMART)																																		
	Underlying assets include:																																		
	SSGA Intl Index Sec Lending																																		
	Series C1																																		
	SSGA Russell Small Cap Index																																		
	Sec Lnd Ser 1																																		
	SSGA S&P 500 Index Lnd Ser 1																																		
	T. Rowe Price Structured Research																																		
	Comm Trust Fund																																		

# SCHEDULE III—ASSETS AND “UNEARNED” INCOME

Continuation Sheet (if needed)

Name **Joseph P. Kennedy III**

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SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII		
		None	\$1 – \$1,000	\$1,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$250,000	\$250,001 – \$500,000	\$500,001 – \$1,000,000	\$1,000,001 – \$5,000,000	\$5,000,001 – \$25,000,000	\$25,000,001 – \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 – \$200	\$201 – \$1,000	\$1,001 – \$2,500	\$2,501 – \$5,000	\$5,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$1,000,000	\$1,000,001 – \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000*		
	Fidelity Equity Income Fund			X												X																					S (Partial)
	SSGA Inst US Treas MM INV CL FD							X										X																			
	Abbott Labs				X												X																				
	Agilent Technologies Inc.			X													X																				P
	Anheuser Busch			X													X																				P
	Avalonbay Communities Inc. Com			X													X																				
	BHP Billiton Ltd ADR	X															X																				S
	Chubb Corp. Com			X													X																				
	CVS/Caremark Corp. Com			X													X																				P
	Cyprus Semi Conductor Corp.			X													X																				P
	Deere & Co. Com			X													X																				
	Disney Co.			X													X																				
	ECOLAB Inc. Com				X												X																				
	Exxon Mobil Corp. (Sold)	X															X																				S
	General Electric Co.			X													X																				
	Gilead Sciences Inc.			X													X																				P
	Google Inc. A				X												X																				
	Honeywell Int'l Inc.			X													X																				
	Intel Corp.			X													X																				
	JP Morgan Chase&Co Com (Sold)	X															X																				S

# SCHEDULE III—ASSETS AND “UNEARNED” INCOME

Continuation Sheet (if needed)

Name **Joseph P. Kennedy III**

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SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII		
		None	\$1 – \$1,000	\$1,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$250,000	\$250,001 – \$500,000	\$500,001 – \$1,000,000	\$1,000,001 – \$5,000,000	\$5,000,001 – \$25,000,000	\$25,000,001 – \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 – \$200	\$201 – \$1,000	\$1,001 – \$2,500	\$2,501 – \$5,000	\$5,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$1,000,000	\$1,000,001 – \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000*		
	Johnson & Johnson Com			X											X											X											P
	Johnson Controls Inc. Com				X											X										X										.	
	McDonalds Corp. Com				X											X										X										.	
	Medtronic Inc. Com	(Sold)	X													X			X							D			C							S	
	Metlife Inc.			X												X										X										.	
	Microsoft Corp. Com			X												X										X										.	
	Monsanto Co.	(Sold)	X													X			X							D		C								S	
	Nestle S A Sponsored ADR			X												X										X										.	
	Novartis AG ADR				X											X										D		C								S	
	Occidental Pete Corp Com	(Sold)	X													X			X																	.	
	Oracle Corp. Com				X											X																				.	
	PepsiCo Inc.	(Sold)	X													X			X							D		C								S	
	Plum Creek Timber Co. Inc.			X												X										X										.	
	Qualcomm			X												X										X										P	
	Sanoft-Aventis AR			X												X										X										P	
	Schlumberger Ltd.	(Sold)	X													X			X							D										S	
	Suncor Energy, Inc.	(Sold)	X													X										X										S	
	Sysco Corp.	(Sold)	X													X										X										S	
	Texas Instruments Inc. Com			X												X										X										.	
	United Parcel Service CLB			X												X										X										P	
	United Technologies Corp.			X												X										X										.	

# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name **Joseph P. Kennedy III**

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BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction
	A	B	C	D	E	F	G	H	I	J	K	L	M		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
	None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*		None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	
SP, DC, JT																											
Verizon Communications Inc(Sold)	X													X													S
Vodafone Group PLC ADR			X											X													P
Wal Mart Stores Inc. Com (Sold)	X													X													S
Waste Mgmt Inc. Del Com			X											X													
Wells Fargo Co.			X											X													
Trust U/IND Frances B. Rauch *													X														
FBO Sheila R. Kennedy																											
Assets Include:																											
SSGA Inst US Treas MM INV CL Fd				X																							
Abbott Labs				X																							
Agilent Technologies Inc.				X																							
Analog Devices Inc.				X																							
Becton Dickinson & Co.				X																							
BHP Billiton Ltd ADR				X																							
Chevron Corp.					X																						
Chubb Corp. Com				X																							
Coca Cola Co.					X																						
CVS/Caremark Corp. Com				X																							
Cyprus Semi Conductor Corp.				X																							
Deere & Co. Com			X																								

This page may be copied if more space is required.

\* Filer is a discretionary beneficiary and has never received any income from this trust. Filer has disclosed 100% of the assets of the trust that have a value in excess of \$1,000.

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**Continuation Sheet (if needed)**

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**SCHEDULE III—ASSETS AND “UNEARNED” INCOME**  
Continuation Sheet (if needed)

Name **Joseph P. Kennedy III**

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SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII	
		None	\$1 – \$1,000	\$1,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$250,000	\$250,001 – \$500,000	\$500,001 – \$1,000,000	\$1,000,001 – \$5,000,000	\$5,000,001 – \$25,000,000	\$25,000,001 – \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 – \$200	\$201 – \$1,000	\$1,001 – \$2,500	\$2,501 – \$5,000	\$5,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$1,000,000	\$1,000,001 – \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000*	
	Anheuser Busch (Sold)	X																																		P, S
	Chubb Corp. Com (Sold)	X																																		S
	Disney Co. (Sold)	X																																		P, S
	Exxon Mobil (Sold)	X																																		S
	General Electric Co. (Sold)	X																																		S
	Google Inc. A. (Sold)	X																																		S
	HJ Heinz Co. (Sold)	X																																		S
	Marriott Intl. Inc. A. (Sold)	X																																		S
	McDonalds Corp. Com (Sold)	X																																		P, S
	Mellife Inc. (Sold)	X																																		S
	Merck and Co. Inc. (Sold)	X																																		S
	Microsoft Corp. Com (Sold)	X																																		S
	Monsanto Co. (Sold)	X																																		P, S
	Nestle SA Sponsored ADR (Sold)	X																																		P, S
	Occidental Pete Corp. Com (Sold)	X																																		S
	Omnicom Group Inc. (Sold)	X																																		S
	Plum Creek Timber Co. Inc. (Sold)	X																																		P, S
	Qualcomm (Sold)	X																																		S
	Sanoft-Aventis AR (Sold)	X																																		P, S
	Suncor Energy Inc. (Sold)	X																																		S
	T. Rowe Price Group Inc. (Sold)	X																																		P, S

# **SCHEDULE III—ASSETS AND “UNEARNED” INCOME** Continuation Sheet (if needed)

Name **Joseph P. Kennedy III**

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BLOCK A Asset and/or Income Source  SP, DC, JT	BLOCK B Year-End Value of Asset													BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction
	A	B	C	D	E	F	G	H	I	J	K	L	M		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
	None	\$1 – \$1,000	\$1,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$250,000	\$250,001 – \$500,000	\$500,001 – \$1,000,000	\$1,000,001 – \$5,000,000	\$5,000,001 – \$25,000,000	\$25,000,001 – \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*		None	\$1 – \$200	\$201 – \$1,000	\$1,001 – \$2,500	\$2,501 – \$5,000	\$5,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$1,000,000	\$1,000,001 – \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	
United Technologies Corp (Sold)	X																										S
Verizon Communications Inc.(Sold)		X																									S
Wal Mart Stores Inc. Com (Sold)		X																									S
Kinder Morgan (Sold)		X																									P, S
END of Trust U/W																											
Rudolph S. Rauch																											
Tax Exempt Trust U/W of																											
Francis B. Rauch f/b/o																											
Sheila Rauch Kennedy and																											
Her Descendants *																											
Assets include:																											
State Street Bank & Trust				X																							
BrandyTrust Multi-Strategy																											
Common Trust Fund						X																					
BrandyTrust Structured Index Fo																											
BrandyTrust Global Common																											
Trust Fund						X																					
BrandyTrust Special Equities																											
Partners, LP																											
END of Trust U/W of																											
Francis B. Rauch																											

This page may be copied if more space is required.  
\* Filer is a discretionary beneficiary and has never received any income from this trust. Filer has disclosed 100% of the assets of the trust that have a value in excess of \$1,000.

**Continuation Sheet (if needed)**Page 12 of 33

BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction
SP, DC, JT		A None	B \$1 - \$1,000	C \$1,001 - \$15,000	D \$15,001 - \$50,000	E \$50,001 - \$100,000	F \$100,001 - \$250,000	G \$250,001 - \$500,000	H \$500,001 - \$1,000,000	I \$1,000,001 - \$5,000,000	J \$5,000,001 - \$25,000,000	K \$25,000,001 - \$50,000,000	L Over \$50,000,000	M Spouse/DC Asset over \$1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II \$1 - \$200	III \$201 - \$1,000	IV \$1,001 - \$2,500	V \$2,501 - \$5,000	VI \$5,001 - \$15,000	VII \$15,001 - \$50,000	VIII \$50,001 - \$100,000	IX \$100,001 - \$1,000,000	X \$1,000,001 - \$5,000,000	XI Over \$5,000,000	XII Spouse/DC Income over \$1,000,000*	P, S, W
	Tax Exempt Trust U/W of														X								X												
	R. Stewart Rauch f/b/o																																		
	Shella Rauch Kennedy and Her Descendants *																																		
	Assets include:																																		
	State Street Bank & Trust			X																															
	Brandy Trust Multi-Strategy																																		
	Common Trust Fund						X																												
	Brandy Trust Structured Index Fd						X																												
	Brandy Trust Global Common																																		
	Trust Fund						X																												
	Brandy Trust Special Equities																																		
	Partners, LP				X																														
	END of Trust U/W of																																		
	R. Stewart Rauch																																		
	Lines 16-21 intentionally left blank																																		

**\* Filer is a discretionary beneficiary and has never received any income from this trust. Filer has disclosed 100% of the assets of the trust that have a value in excess of \$1,000.**

# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name **Joseph P. Kennedy III**

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BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction
	A	B	C	D	E	F	G	H	I	J	K	L	M		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
	None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*		None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*	
SP DC JT																											P, S, E
Eleanor G. Brewster Trust *																											.
U/A dated 12/19/1936, as																											.
Appointed U/W Frances B. Rauch																											.
W/o Sheila Rauch Kennedy and																											.
Her Descendants																											.
Assets Include:																											.
State Street Bank & Trust							X																				.
Dreyfus Treasury Prime Cash																											.
Management Fund								X																			.
Eaton Vance Small Cap Fund I					X																						P
Abbott Labs				X																							.
Accenture Plc Ireland				X																							P
Agilent Technologies				X																							.
Analog Devices Inc.				X																							.
Anheuser Busch				X																							P
Avalonbay Communities Inc.				X																							.
Chubb Corp.				X																							.
Coca Cola Company				X																							.
CVS Caremark Corp.				X																							.
Cypress Semiconductor Corp.				X																							.
Deere & Co.				X																							P

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\* Represents a distribution to filer from Eleanor G. Brewster Trust U/A dated 12/19/36. Filer has disclosed 100% of the assets of the trust that have a value in excess of \$1,000.

# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name **Joseph P. Kennedy III**

Page **14** of **33**

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																	
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		
		None	\$1 – \$1,000	\$1,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$250,000	\$250,001 – \$500,000	\$500,001 – \$1,000,000	\$1,000,001 – \$5,000,000	\$5,000,001 – \$25,000,000	\$25,000,001 – \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 – \$200	\$201 – \$1,000	\$1,001 – \$2,500	\$2,501 – \$5,000	\$5,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$1,000,000	\$1,000,001 – \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		
	Disney Walt Co.				X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															

# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Joseph P. Kennedy III

Page 15 of 33

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																			
		None	\$1 – \$1,000	\$1,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$250,000	\$250,001 – \$500,000	\$500,001 – \$1,000,000	\$1,000,001 – \$5,000,000	\$5,000,001 – \$25,000,000	\$25,000,001 – \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 – \$200	\$201 – \$1,000	\$1,001 – \$2,500	\$2,501 – \$5,000	\$5,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$1,000,000	\$1,000,001 – \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																			
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**Continuation Sheet (if needed)**

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# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name **Joseph P. Kennedy III**

Page **17** of **33**

BLOCK A Asset and/or Income Source  SP, DC, JT		BLOCK B Year-End Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
		A	B	C	D	E	F	G	H	I	J	K	L	M																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																									

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\* Filer is a discretionary beneficiary and has never received any income from this trust. Filer has disclosed 100% of the assets of the trust that have a value in excess of \$1,000.

# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name **Joseph P. Kennedy III**

Page **18** of **33**

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII	
		None	\$1 – \$1,000	\$1,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$250,000	\$250,001 – \$500,000	\$500,001 – \$1,000,000	\$1,000,001 – \$5,000,000	\$5,000,001 – \$25,000,000	\$25,000,001 – \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 – \$200	\$201 – \$1,000	\$1,001 – \$2,500	\$2,501 – \$5,000	\$5,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$1,000,000	\$1,000,001 – \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000*	
	General Electric Co.			X																																S(Partial)
	Gilead Sciences Inc.			X																															P	
	Google Inc.				X																															.
	Johnson Controls Inc.			X																																.
	Kinder Morgan Inc.			X																																P
	Linear Technology Corp			X																																.
	McDonalds Corp.			X																																.
	Medlife Inc.			X																																.
	Microsoft Corp.			X																																.
	Monsanto Company			X																																.
	Occidental Petroleum Corp			X																																.
	Oracle Systems Corp.			X																																.
	Plum Creek Timber Co. Inc.			X																																.
	Procter & Gamble Co.			X																																.
	Qualcomm Inc.			X																																.
	Schlumberger Ltd			X																																.
	Suncor Energy Inc.			X																																.
	Sysco Corp. (Sold)	X																																		S
	United Parcel Service			X																																.
	Verizon Communications (Sold)	X																																		S
	Wal Mart Stores			X																																.

**Continuation Sheet (if needed)**

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## Continuation Sheet (if needed)

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\* Flier is a discretionary beneficiary and has never received any income from this trust. Flier has disclosed 100% of the assets of the trust that have a value in excess of \$1,000.

# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name **Joseph P. Kennedy III**

Page **21** of **33**

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction			
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII				
		None	\$1 – \$1,000	\$1,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$250,000	\$250,001 – \$500,000	\$500,001 – \$1,000,000	\$1,000,001 – \$5,000,000	\$5,000,001 – \$25,000,000	\$25,000,001 – \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 – \$200	\$201 – \$1,000	\$1,001 – \$2,500	\$2,501 – \$5,000	\$5,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$1,000,000	\$1,000,001 – \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*				
	First Republic Bank San Fran				X																																	P
	General Electric Co.							X																													S(Partial)	
	Gilead Sciences Inc.					X																															P	
	Google Inc.						X																															
	Intel Corp.					X																																
	Johnson & Johnson								X																												S(Partial)	
	Johnson Controls Inc.					X																																
	Kinder Morgan Inc.					X																															P	
	Linear Technology Corp				X																																	
	McDonalds Corp.					X																																
	Mellife Inc.						X																															
	Microsoft Corp.						X																															
	Mondelez Intl.						X																														P	
	Monsanto Company					X																																
	Oracle Systems Corp.						X																															
	Plum Creek Timber Co. Inc.							X																														
	Qualcomm Inc.							X																														
	Schlumberger Ltd								X																													
	Sysco Corp. (Sold)																																				S	
	Verizon Communications (Sold)																																				S	
	Wal Mart Stores								X																													

**Continuation Sheet (if needed)**

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# SCHEDULE IV—TRANSACTIONS

Name **Joseph P. Kennedy III**

Page **23** of **33**

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example: Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Agilent Technologies Inc. (page 5, item 5)	X				08-23-12	X										
	Anheuser Busch (page 5, item 6)	X				02-27-12	X										
	BHP Billiton Ltd (page 5, item 8)		X		X	08-23-12	X										
	CVS/Caremark Corp. (page 5, item 10)	X				08-07-12	X										
	Cypress Semiconductor (page 5, item 11)	X				08-07-12	X										
	Exxon Mobil Corp. (page 5, item 15)		X		X	08-07-12	X										
	Gilead Sciences Inc. (page 5, item 17)	X				08-07-12	X										
	JP Morgan Chase & Co. (page 5, item 21)		X		X	08-07-12	X										
	Johnson & Johnson (page 6, item 1)	X				02-27-12	X										
	MedTronic Inc. (page 6, item 4)		X		X	08-07-12	X										
	Monsanto Co. (page 6, item 7)		X		X	08-23-12	X										
	Occidental Pete Corp. (page 6, item 10)		X		X	08-23-12	X										
	Pepsico Inc. (page 6, item 12)		X		X	02-27-12	X										
	Qualcomm Inc. (page 6, item 14)	X				08-23-12	X										
	Sanofi-Aventis (page 6, item 15)	X				02-27-12	X										
	Schlumberger Ltd (page 6, item 16)		X		X	08-23-12		X									

# SCHEDULE IV—TRANSACTIONS

Name **Joseph P. Kennedy III**

Page **24** of **33**

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

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SP, DC, JT SP	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
Example:	Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	SunCor Energy, Inc. (page 6, item 17)		X			05-30-12	X										
	Sysco Corp. (page 6, item 18)		X			02-27-12	X										
	United Parcel Service CL B (page 6, item 20)	X				08-07-12	X										
	Verizon Communications (page 7, item 1)		X		X	08-07-12	X										
	Vodafone Group PLC (page 7, item 2)	X				08-23-12	X										
	Wal Mart Stores Inc. (page 7, item 3)		X		X	5-30&8-19-12	X										
	General Dynamics Corp. (page 8, item 3)		X		X	03-01-12			X								
	Johnson & Johnson (page 8, item 8)	X				03-01-12	X										
	Kinder Morgan (page 8, item 10)	X				08-10-12	X										
	Monsanto Co. (page 8, item 14)	X				03-01-12	X										
	Nestle SA Sponsored (page 8, item 15)	X				03-01-12	X										
	Sanoft-Aventis AR (page 9, item 1)	X				03-01-12		X									
	Verizon Communications (page 9, item 6)		X		X	08-10-12	X										
	BNY Mellon Intl Fund (page 9, item 18)		X			12-20-12		X									
	BNY Mellon Mid Cap Stock (page 9, item 19)		X		X	12-20-12		X									
	BNY Mellon Small Cap Stock (page 9, item 20)		X			12-20-12	X										



# SCHEDULE IV—TRANSACTIONS

Name **Joseph P. Kennedy III**

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

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SP, DC, JT SP	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
Example:	Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Abbott Labs (page 9, item 21)		X		X	12-24-12		X									
	Anheuser Busch (page 10, item 1)		X		X	12-24-12	X										
	Anheuser Busch (page 10, item 1)	X				03-01-12	X										
	Chubb Corp Com (page 10, item 2)		X		X	03-01-12		X									
	Chubb Corp Com (page 10, item 2)		X		X	12-24-12		X									
	Disney Co. (page 10, item 3)		X		X	12-24-12	X										
	Disney Co. (page 10, item 3)	X				03-01-12	X										
	Exxon Mobil Corp. (page 10, item 4)		X		X	12-24-12		X									
	General Electric Co. (page 10, item 5)		X		X	12-24-12	X										
	Google Inc. A (page 10, item 6)		X		X	12-24-12	X										
	HJ Heinz Co. (page 10, item 7)		X		X	12-24-12	X										
	Marriott Intl. Inc. A (page 10, item 8)		X		X	03-01-12	X										
	Marriott Intl. Inc. A (page 10, item 8)		X		X	12-24-12	X										
	McDonalds Corp Com (page 10, item 9)		X		X	12-24-12	X										
	McDonalds Corp Com (page 10, item 9)	X				03-01-12	X										
	MetLife Inc. (page 10, item 10)		X			12-24-12	X										

# SCHEDULE IV—TRANSACTIONS

Name **Joseph P. Kennedy III**

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (e.g., "partial sale"). See example below.

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SP, DC, JT	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example: Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Merck and Co. Inc. (page 10, item 11)		X		X	12-24-12			X								
	Microsoft Corp. Com (page 10, item 12)		X		X	12-24-12	X										
	Monsanto Co. (page 10, item 13)		X		X	12-24-12	X										
	Mosanto Co. (page 10, item 13)	X				03-01-12	X										
	Nestle SA Sponsored ADR (page 10, item 14)		X		X	12-24-12	X										
	Nestle SA Sponsored ADR (page 10, item 14)	X				03-01-12	X										
	Occidental Pete Group Corp Com(page10, item15)		X			12-24-12	X										
	Omnicom Group Inc. (page 10, item 16)		X		X	03-01-12	X										
	Omnicom Group Inc. (page 10, item 16)		X		X	12-24-12	X										
	Plum Creek Timber Co. Inc. (page 10, item 17)		X		X	12-24-12	X										
	Plum Creek Timber Co. Inc. (page 10, item 17)	X				03-01-12	X										
	Qualcomm (page 10, item 18)		X		X	12-24-12		X									
	Sanoft-Aventis AR (page 10, item 19)		X		X	12-24-12	X										
	Sanoft-Aventis AR (page 10, item 19)	X				03-01-12	X										
	SunCor Energy Inc. (page 10, item 20)		X			12-24-12	X										
	T Rowe Price Group Inc. (page 10, item 21)		X		X	12-24-12	X										

# SCHEDULE IV—TRANSACTIONS

Name **Joseph P. Kennedy III**

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT SP	Asset Example: Mega Corporation Common Stock (partial sale)	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
	T Rowe Price Group Inc. (page 10, item 21)	X				03-01-12	X										
	United Technologies Corp. (page 11, item 1)		X		X	12-24-12	X										
	Verizon Communications Inc. (page 11, item 2)		X		X	08-10-12	X										
	Wal Mart Stores Inc. Com (page 11, item 3)		X		X	12-24-12	X										
	Kinder Morgan (page 11, item 4)		X			12-24-12	X										
	Kinder Morgan (page 11, item 4)	X				08-10-12	X										
	Eaton Vance Small Cap Fund I (page 13, item 10)	X				12-27-12			X								
	Accenture PLC Ireland (page 13, item 12)	X				12-24-12		X									
	Anheuser Busch (page 13, item 15)	X				12-24-12		X									
	Deere & Co. (page 13, item 21)	X				12-24-12		X									
	Ell Lilly & Co. (page 14, item 3)		X		X	12-24-12				X							
	First Republic Bank San Fran (page 14, item 5)	X				12-24-12		X									
	General Electric Co. (page 14, item 6)		X		X	12-24-12				X							
	Gilead Sciences Inc. (page 14, item 7)	X				12-24-12		X									
	Hewlett Packard Co. (page 14, item 9)		X		X	12-24-12		X									
	Kinder Morgan (page 14, item 12)	X				08-07-12		X									

# SCHEDULE IV—TRANSACTIONS

Name **Joseph P. Kennedy III**

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
Example:	Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Kinder Morgan (page 14, item 12)	X				12-24-12		X									
	Mondelez Intl. (page 14, item 17)	X				12-24-12		X									
	Verizon Communications Inc. (page 15, item 5)		X		X	08-07-12		X									
	Vodafone Group PLC (page 15, item 10)	X				02-27-12		X									
	Eaton Vance Small Cap Fund I (page 17, item 10)	X				12-27-12	X										
	Accenture PLC Ireland (page 17, item 11)	X				12-24-12	X										
	Anheuser Busch (page 17, item 13)	X				12-24-12	X										
	First Republic Bank San Fran (page 17, item 21)	X				12-24-12	X										
	General Electric Co. (page 18, item 1)		X		X	12-24-12		X									
	Gilead Sciences Inc. (page 18, item 2)	X				12-24-12	X										
	Kinder Morgan (page 18, item 5)	X				08-07-12	X										
	Kinder Morgan (page 18, item 5)	X				12-24-12	X										
	Sysco Corp. (page 18, item 16)		X			02-27-12	X										
	Sanofi-Aventis Sponsored ADR (page 19, item 5)	X				02-27-12	X										
	Verizon Communications (page 18, item 20)		X		X	08-07-12	X										
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# SCHEDULE IV—TRANSACTIONS

Name **Joseph P. Kennedy III**

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

**Capital Gains** — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT SP	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
	Example: Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
	Eaton Vance Small Cap Fund I (page 20, item 10)	X				12-27-12			X								
	Accenture PLC Ireland (page 20, item 11)	X				12-24-12		X									
	Anheuser Busch (page 20, item 13)	X				12-24-12		X									
	Deere & Co. (page 20, item 18)	X				12-24-12		X									
	Exxon Mobil Corp. (partial sale) (page 20, item 21)		X		X	12-24-12				X							
	First Republic Bank San Fran (page 21, item 1)	X				12-24-12		X									
	General Electric Co. (partial sale)(page 21, item 2)		X		X	12-24-12			X								
	Gilead Sciences Inc. (page 21, item 3)	X				12-24-12		X									
	Johnson & Johnson (partial sale) (page 21, item 6)		X		X	12-24-12			X								
	Kinder Morgan (page 21, item 8)	X				08-07-12	X										
	Kinder Morgan (page 21, item 8)	X				12-24-12		X									
	Mondelez Intl (page 21, item 13)	X				12-24-12		X									
	Sysco Corp. (page 21, item 19)		X			02-27-12	X										
	Verizon Communications (page 21, item 20)		X		X	08-07-12	X										
	Vodafone Group PLC (page 22, item 6)	X				02-27-12		X									
	Fidelity Equity Income Fund (page 5, item 1)		X			07-02-12	X										

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**Capital Gains** — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

Asset	
SP, DC, JT	
SP	<i>Example:</i> Mega Corporation Common Stock (partial sale)

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# **SCHEDULE V— LIABILITIES**

Name **Joseph P. Kennedy III**

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. \*This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Yr	Type of Liability	Amount of Liability										
				A \$10,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Spouse/DC Liability Over \$1,000,000*
	<i>Example:</i> First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X							
SP	Sallie Mae, Wilkes Barre, PA	Nov 2006	Student Loan		X									
SP	Aspire Resources, Des Moines, IA	Sep 2006	Student Loan			X								
SP	Harvard University, Cambridge, MA	Sep 2006	Student Loan		X									

# **SCHEDULE VI— GIFTS**

Report the source, a brief description, and the value of all gifts totalling more than \$350 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold.

**Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
<i>Example:</i> Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	\$375
Not Applicable		

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**spouse or dependent child that is totally independent of his or her relationship to you.**

[illegible]



**SCHEDULE VIII—POSITIONS**Name **Joseph P. Kennedy III**Page **33** of **33**

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Member Board of Directors	Robert F. Kennedy Memorial
Director	Robert F. Kennedy Children's Action Corps.
Member Board of Directors	Sooner Foundation

**SCHEDULE IX—AGREEMENTS**

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	