ĕ ⊠	Yes	ependent child	lities of a spouse or dependent child Committee on Ethics.	, transactions, or liabil st consulted with the C	arned" income, ss you have firs	her assets, "une swer "yes" unle	his report any ot	EXEMPTION —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or obecause they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics	EXEMPTION—Ha
Ş ⊠	Yes	ot be	xcepted trusts" need no ant child?	s and certain other "ex spouse, or a depender	nittee on Ethics ting you, your s	ed by the Comr	nd Trusts" approv	TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	RUSTS - Details isclosed. Have yo
S	QUESTIONS	OF THESE (ANSWER EACH OF THESE QUESTIONS	1	T INFORM	OR TRUS	EPENDENT	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION	XCLUSION .
	esponse.	each "Yes" re	dule attached for	opropriate sched	and the ap	e answered	s part must t	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response	<u> </u>
N ₀	Yes 🔲	\$5,000 from	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	VI. Did you receive compensation of more a single source in the two prior years? If yes, complete and attach Schedule VI.	8 ⊠	Yes	have any report- orting period?	III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	. Did you, your spo ble liability (more tha yes, complete and
8 	Š Z	rangement	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	V. Did you have any reportable agreemer with an outside entity? If yes, complete and attach Schedule V.	S D	Yes	eceive "unearned" od or hold any end of the period?	II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	Did you, your spot come of more than sportable asset wort yes, complete and
S	8 8 8 9 1 1 1 1 1 1 1 1 1 1	pefore the date or two years?	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	IV. Did you hold any rep of filing in the current ca If yes, complete and at	<u>8</u>	¥es ⊠	e.g., salaries or porting period?	 Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I. 	Did you or your spees) of \$200 or more yes, complete and
				E QUESTIONS	EACH OF THESE		N — ANSWER	PRELIMINARY INFORMATION — ANSW	RELIMINAR
	1	more than 30 days late				Office:	Employing Office:	employee	
assessed who files	ן עי	A <i>\$200 penalty</i> shall be against any individual	Check if Amendment	NOV.4	Date of Election:	24	State: es District:	Candidate for the House of Representatives	Status
	(Office Use Only)	()							
		>		Daytime Telephone:	Daytime 1		BOCK	JOSEPH G	Name: Jo:
LERK	OFFICE OF THE CLERK U.S. HOUSE OF REPRESENTATIVES	0.S.HC				2014	- MAY 18,	2014	Period covered: January 1,
1: 1 : 14	2014 MAY 27 PM 1: 14	2011	M B	FORM		ATIVES	REPRESENT	UNITED STATES HOUSE OF REPRESENTATIVES	INANCIAL D
OF OFNITED	מייים מתפסווסה						:		

SCHEDULE I - EARNED INCOME (INCLUDING HONORARIA)

Name JOSEM G. Bock

Page 2 Str

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

7,17			
Source (include date of receipt for honoraria)	Type	Amount	unt
The second of th	.)	Current Year to Filing	Preceding Year
XYZ Corporation, Houston, TX	Salary	\$6,300	\$28,450
Examples: First Bank & Trust, Houston, TX	Director's Fee	\$400	\$3,200
XYZ Trade Association, Chicago, IL (Rec'd December 2)	Honorarium	0	\$1,000
Harris County, Texas Public Schools	Spouse Salary	NA	NA
UNITYERSITY OF NOTRE DAME	SALARY	9.845	66.382
SOUTH BEND COMM. SCHOOL CORP.	storke saway	6451	19.354
200 200 200 200 200 200 200 200 200 200		>	
NOTE WATER TO THE COLLEGE TROUBLEST	TWO INCIDES	0	6,500
THE WORLD BANK	consultants ree	0	3 410
DREW UNTKERSETY	this terminals are	0	2,046
TABITE FAMILY FOUNDATION	HONORARTYM	0	500
INSTITUTE FOR INT'L EDUCATION-FULBRIGHT	GRANT	0	4295
OHIO STATE UNIVERSITY	HONDRARTAM	0	500
			The state of the s

SCHEDULE II — ASSETS AND "UNEARNED" INCOME

Nemo JOSEPH 6 ひゅつへ Page w

200

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (CD) or is jointly half with your spouse (JT), in the optional column on the far left. the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearmed" income during the year. 2 % Income during the reporting period); any deposits total-ing \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a laderal retirement program, including the Thrift Savings Plan. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic For sell IRAe and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. of income with a fair market value exceeding \$1,000 at please refer to the instruction booklet. For a detailed discussion of Schedule if requirements Exclude: Your personal residence, including second homes and vacation homes (unless there was rental location in Block A. provide a complete address or a description, e.g., "rental property," and the city and state. For rental or other real property held for investment (do not use ticker symbols). Provide complete names of stocks and mutual funds identify (a) each asset held for investment or production WELLS FARGO BANK Asset and/or Income Source NOTE SAIR FRY CART 2. GUEDS TO NE (SEE DETAIL RAYMOND JAMES DETRIEL) TITAL - CASE (SEE METAL) KEDGLETY (SEE DEMIN) SP Mega Corp. Stock Simon & Schuster BLOCK A lst Benk of Paducah, KY accounts If an asset was sold during the report-ing year and is included only because it generated income, the value should be "None." by your spouse or dependent child. None > please specify the method used. reporting year. If you use a valuation method other than fair market value, Indicate value of asset at close of This column is for assets solely held Œ \$1 - \$1,000 O \$1,001 - \$15,000 O \$15,001 - \$50,000 Value of Asset m \$50,001 - \$100,000 BLOCK B 'n \$100,001 - \$250,000 \$250,001 - \$500,000 Ω I \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 ے. \$5,000,001 - \$25,000,000 ㅈ \$25,000,001 - \$50,000,000 _ Over \$50,000,000 Z Spouse/DC Asset over \$1,000,000* NONE interest, and capital gains, even if reinvested, must be disduring the reporting period. if the asset generated no income closed as income. Check 'None plens or IRAs), you may check the "Tax-Defensed" column. Dividends, allow you to choose specific Check all columns that apply. For deferred income (such as 401(k) Investments or that generate taxretirement accounts that do not DIVIDENDS Type of Income RENT × BLOCK C INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income (Specify: e.g., Partnership Income or Farm Income) None spouse or dependent child. This column is for income derived from assets solely held by your income. Check "None" if no income was earned or generated. and capital gains, even if reinvested, must be disclosed check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, For assets for which you checked "Tax-Deferred" in Block C, you may = $\boldsymbol{\prec}$ \$1 - \$200 ٥ \$201 - \$1,000 7 \$1,001 - \$2,500 < Current Year \$2,501 - \$5,000 VI VII VIII \$5,001 - \$15,000 \$15,001 -- \$50,000 \$50,001 - \$100,000 × \$100,001 - \$1,000,000 Amount of Income × \$1,000,001 - \$5,000,000 ठ Over \$5,000,000 BLOCK D Spouse/DC Income over \$1,000,000 Ä None \$1 - \$200 = \$201 - \$1,000 = Preceding Year ₹ \$1,001 - \$2,500 ٧ \$2,501 - \$5,000 ×× ¥ VIIVIII X \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 × Over \$5,000,000 × 8 × Spouse/DC Income over \$1,000,000*



March 1, 2014 - March 31, 2014

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JOSEPH G BOCK 1749 W NORTH SHORE DR SOUTH BEND IN 46617-1065

Your Advisor

NORTHCOAST ASSET MGMT LLC

6 GLENVILLE STREET
GREENWICH CT 06831

Phone: (203)532-7000

Brokerage JOSEPH G BOCK - ROTH INDIVIDUAL RETIREMENT ACCOUNT - FMTC CUSTODIAN

		\$0.00 \$0.00	Accrued Interest (AI) Change in AI from last statement
4		494.75 \$184,671.64	Change in investment value Ending value as of Mar 31
This Period	Tax-free	\$185,221.10 -54.71	Beginning value as of Mar. 1 Transaction costs, loads and fees
	Income Summary		Account Summary

800-544-6666. Brokerage Accounts carried with National Financial Services LLC, Member NYSE, SIPC Your Advisor is an independent organization and is not affiliated with Fidelity Investments. Brokerage services provided by Fidelity Brokerage Services LLC, Member NYSE, SIPC.

Holdings (Symbol) as of March 31, 2014	Quantity March 31, 2014	Price per Unit March 31, 2014	Cost	Total Value March 1, 2014	Total Value March 31, 2014	Unreelized Gain (Loss) March 31, 2014
Stocks 74% of holdings						;
TE CONNECTIVITY LTD COM CHF0.57 (TEL)	55.502	\$60.210	\$2,852.23	\$3,237.30	\$3,341.77	\$ 489.54
EAI: \$55.50, EY: 1.66%						
ABBOTT LABORATORIES (ABT)	152,016	38.510	5,653.91	6,047.19	5,854.13	200.22
EAI: \$133.77, EY: 2.29%						
AFFILIATED MANAGERS GROUP (AMG)	17.000	200.050	3,222.75		3,400.85	178.10
BANK OF AMERICA CORP (BAC)	373.215	17.200	6, 199.36		6,419.29	219.93
EAI: \$14.93, EY: 0.23%						



March 1, 2014 - March 31, 2014

Brokerage J	JOSEPH G BOCK - ROTH INDIVIDUAL RETIREMENT ACCOUNT - FMTC CUSTODIAN	IDIVIDUAL RETIR	EMENT ACCOUN	T - FMTC CUSTO	DIAN	
Holdings (Symbol) as of March 31, 2014	Quantity March 31, 2014	Price per Unit March 31, 2014	Cost	Total Value March 1, 2014	Total Value March 31, 2014	Unrealized Gein (Loss) March 31, 2014
CABOT OIL & GAS CP COM (COG.)	156.174	33.880	5,713.85	5,466.09	5,291.17	- 422.68
EAI: \$12.49, EY: 0.24%						
CANADIAN SOLAR INC COM STK NPV (CSIQ)	86,000	32,040	2,867,43		2,755.44	- 111.99
CARDINAL HEALTH INC (CAH)	89.000	69.980	5,944.24	6,366.17	6,228.22	283.98
EAI: \$107.69, EY: 1.73%						
CELGENE CORP (CELG)	34.000	139.600	4,053.93	5,465.50	4,746.40	692.47
CITIGROUP INC COM NEW (C)	111.068	47.600	5,372.92	5,401.23	5,286.83	- 86.09
DELTA AIR LINES INC DEL COM NEW (DAL)	163.370	34.650	4,522.91	7,206.57	5,660.77	1,137.86
EAI: \$39.21, EY: 0.69%						
EOG RESOURCES INC (EOG)	36.041	196.170	5,679.49	6,826.88	7,070.16	1,390.67
EAI: \$36.04, EY: 0.51%						
FACTSET RESEARCH SYS INC (FDS)	27.090	107.810	2,934.47	2,842.83	2,920.57	- 13.90
EAI: \$37.93, EY: 1.30%						
GILEAD SCIENCES INC (GILD)	80.000	70.860	6,076.14		5,668.80	- 407.34
HOME DEPOT INC (HD)	74.172	79.130	5,477.26	6,048.64	5,869.23	391.97
EAI: \$139.44, EY: 2.38%						
KEURIG GREEN MTN INC (GMCR)	31.180	105.590	2,028.08	3,422.94	3,292.29	1,264.21
EAI: \$31.18, EY: 0.95%						
METHANEX CORP COM NPV	56.000	63.940	3,724.57		3,580.64	- 143.93
ISIN #CA59151K1084 SEDOL #2654416 (MEOH)	EOH)					
EAI: \$44.80, EY: 1.25%						
MICRON TECHNOLOGY (MU)	254.000	23.660	5,953.58	6,144.26	6,009.64	56.06
NETAPP INC COM (NTAP)	164,000	36.900	6,130.92		6,051.60	- 79.32
EAI: \$98.40, EY: 1.63%						
PPG INDUSTRIES (PPG)	29.448	193.460	3,890.10	5,807.79	5,697.01	1,806.91
EAI: \$71.85, EY: 1.26%						
PEPSICO INC (PEP)	68.933	83.500	5,353.54	5,482.07	5,755.90	402.36
EAI: \$156.48, EY: 2.72%						
ROCK-TENN CO CL A (RKT)	28.090	105.570	2,815.07	3,135.40	2,965.46	150.39
EAI: \$39.33, EY: 1.33%						
SLM CORP COM (SLM)	199.223	24.480	3,863.95	4,740.95	4,876.97	1,013.02
EAI: \$119.53, EY: 2.45%						
SCHLUMBERGER LIMITED COM USD0.01 (SLB)) 68.000	97.500	6,085.04		6,630.00	544.96
EAI: \$108.80, EY: 1.64%						



March 1, 2014 - March 31, 2014

\$ 10,272.61	\$184,671.64		\$ 126,638.49			Total
	47,760.54					Subtotal of Core Account
not applicable	47,760.54	68,608.43	not applicable	1.000	47,760.540	Core Account 26% of holdings FIDELITY CASH RESERVES (FDRXX) 7-day Vield: 0.01%
10,272.61	136,911.10		126,638.49			Subtotal of Stocks
						EAI: \$37.06, EY: 0.74%
853.78	4,999.31	5,223.50	4,145.53	215.860	23.160	VISA INC COM CL A (V)
						EAI: \$84.00, EY: 1.41%
29.45	5,949.30	6,141.10	5,919.85	84.990	70.000	VIACOM INC NEW CL B (VIAB)
						EAI: \$102.56, EY: 1.94%
1,006.67	5,287.50	6,705.80	4,280.83	187.660	28.176	UNION PACIFIC CORP (UNP)
						EAI: \$75.14, EY: 1.42%
- 574.69	5,301.85	5,127.00	5,876.54	73.380	72.252	STARBUCKS CORP (SBUX)
March 31, 2014	March 31, 2014	March 1, 2014	Cost	March 31, 2014	Rearch 31, 2014	Holdings (Symbol) as of March 31, 2014
		UNT - FMTC CUSTODIAN	TREMENT ACCOL	IDIVIDUAL RET	JOSEPH G BOCK - ROTH INDIVIDUAL RETIREMENT ACCO	Brokerage

All positions held in cash account unless indicated otherwise.

Total Cost does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.

current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. Estimated Annual Income (EAI) & Estimated Yield (EY) - EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the performance of a security. For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement. income and yield might be lower or higher. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational



April 1, 2014 - April 30, 2014

Envelope 021534507

JOSEPH G BOCK 1749 W NORTH SHORE DR SOUTH BEND IN 46617-1065

Your Advisor

NORTHCOAST ASSET MGMT LLC 6 GLENVILLE STREET GREENWICH CT 06831

Phone: (203)532-7000

Account Sum	Brokerage
mmarv	
Income Summery	JOSEPH G BOCK - ROTH INDIVIDUAL RETIREMENT ACCOUNT - FMTC CUSTODIAN

Accrued Interest (AI) Change in AI from last statement	Change in investment value Ending value as of Apr 30	Transaction costs, loads and fees	Account Summary
\$0.00 \$0.00	472.28 \$184,368.69	-775.23	¢184 671 64
		Tax-free	Income Summary
		\$113.47	
		\$569.67	

800-544-6666. Brokerage Accounts carried with National Financial Services LLC, Member NYSE, SIPC Your Advisor is an independent organization and is not affiliated with Fidelity Investments. Brokerage services provided by Fidelity Brokerage Services LLC, Member NYSE, SIPC.

Holdings (Symbol) as of April 30, 2014	Quantity April 30, 2014	Price per Unit April 30, 2014	Cost	Total Value April 1, 2014	Total Value April 30, 2014	Unrealized Gain (Loss) April 30, 2014
Stocks 77% of holdings						
TE CONNECTIVITY LTD COM CHF0.57 (TEL.)	55.502	\$58.980	\$2,852.23	\$3,341.77	\$3,273.50	\$ 421.27
EAI: \$55.50, EY: 1.70%						
ACUITY BRANDS INC (AYI)	23.000	124.570	2,926.50		2,865.11	- 61.39
EAI: \$11.96, EY: 0.42%						
AFFILIATED MANAGERS GROUP (AMG)	17.000	198.200	3,222.75	3,400.85	3,369.40	146.65
BANK OF AMERICA CORP (BAC)	373.215	15.140	6,199.36	6,419.29	5,650.47	- 548.89
EAI: \$14.93, EY: 0.26%						



April 1, 2014 - April 30, 2014

Brokerade	NI HILUA - BUCK - BUTH IN	אויוטוואו סבדופ	NI IOCOV TNEVIO	T - EMTC CHISTOI	NVIC	
Holdings (Symbol) and April 30, 2014	Quantity Price per Unit Total Value April 30, 2014 April 30, 2014 Cost April 1, 2014 A	Price per Unit April 30, 2014	Cost	Total Value April 1, 2014	Total Value April 30, 2014	Unrealized Gain (Loss) April 30, 2014
CABOT OIL & GAS CP COM (COG.)	156.174	39.280	5,713.85	5,291.17	6,134.51	420,66
EAI: \$12.49, EY: 0.20%						
CARDINAL HEALTH INC (CAH)	89.391	69.510	5,944.24	6,228.22	6,213.56	269.32
EAI: \$108.16, EY: 1.74%						
CELGENE CORP (CELG)	34.000	147.010	4,053.93	4,746.40	4,998.34	944.41
CITIGROUP INC COM NEW (C)	111.068	47.910	5,372.92	5,286.83	5,321.26	- 51.66
DELTA AIR LINES INC DEL COM NEW (DAL.)		36.830	4,522.91	5,660.77	6,016.91	1,494.00
EAI: \$39.21, EY: 0.65%						
EOG RESOURCES INC (EOG)	54.150	98.000	4,259.62	7,070.16	5,306.70	1,047.08
EAI: \$27.08, EY: 0.51%						
FACTSET RESEARCH SYS INC (FDS)	27.090	106.500	2,934.47	2,920.57	2,885.08	- 49.39
EAI: \$37.93, EY: 1.31%						
GILEAD SCIENCES INC (GILD)	80.000	78.490	6,076.14	5,668.80	6,279.20	203.06
HOME DEPOT INC (HD)	74.172	79.510	5,477.26	5,869.23	5,897.41	420.15
EAI: \$139.44, EY: 2.36%						
KEURIG GREEN MTN INC (GMCR)	31.180	93.680	2,028.08	3,292.29	2,920.94	892.86
EAI: \$31.18, EY: 1.07%						
KIRBY CORP FORMERLY KIRBY EXPL	29.000	100.620	2,960.81		2,917.98	- 42.83
INC (KEX)						
METHANEX CORP COM NPV	56.000	62.000	3,724.57	3,580.64	3,472.00	- 252.57
ISIN #CA59151K1084 SEDOL #2654416 (MEOH)	(MEOH)					
EAI: \$44.80, EY: 1.29%						
MICRON TECHNOLOGY (MU)	254.000	26.120	5,953.58	6,009.64	6,634.48	680.90
NETAPP INC COM (NTAP)	164.683	35.610	6,130.92	6,051.60	5,864.36	- 266.56
EAI: \$98.81, EY: 1.68%						
PEPSICO INC (PEP)	68.933	85.890	5,353.54	5,755.90	5,920.65	567.11
EAI: \$156.48, EY: 2.64%						
PRUDENTIAL FINL INC (PRU)	74.000	80.680	5,984.53		5,970.32	- 14.21
EAI: \$156.88, EY: 2.63%						
ROCK-TENN CO CL A (RKT)	28.090	95.610	2,815.07	2,965.46	2,685.68	- 129.39
EAI: \$39.33, EY: 1.46%						
SLM CORP COM (SLM)	199.223	25.750	3,863.95	4,876.97	5,129.99	1,266.04
EAI: \$119.53, EY: 2.33%						



April 1, 2014 - April 30, 2014

\$ 8,821.63	\$184,368.69		\$ 133,659.13		É	Total All positions held in cash account unless indicated otherwise
	41,887.93					Subtotal of Core Account
not applicable	41,887.93	47,760.54	not applicable	1.000	41,887.930	Core Account 23% of holdings FIDELITY CASH RESERVES (FDRXX)
8,821.63	142,480.76		133,659.13			Subtotal of Stocks
546.91	4,692.44	4,999.31	4,145.53	202.610	23.160	VISA INC COM CL A (V) EAI: \$37.06 EY: 0.79%
49.99	5,969.84	5,949.30	5,919.85	84.980	70.250	EAL \$105.25, ET. 1.51% VIACOM INC NEW CL B (VIAB) EAL \$84.30, EY: 1.41%
1,119.76	5,400.59	5,287.50	4,280.83	190.430	28.360	UNION PACIFIC CORP (UNP)
- 103.57	2.982.21		3.085.78	38.730	77.000	EAI: \$30.00, EY: 0.53% UBIQUITI NETWORKS INC COM USD0.001 (UBNT.)
- 194.33	5,700.00		5,894.33	114.000	50.000	EAI: \$75.14, EY: 1.47% THERMO FISHER SCIENTIFIC INC (TMO)
- 774.11	5 ,102.43	5,301.85	5,876.54	70.620	72.252	EAI: \$108.80, EY: 1.58% STARBUCKS CORP (SBUX)
820,36	6,905.40	6,630.00	6,085.04	101.550	68.000	SCHLUMBERGER LIMITED COM USD0.01 (SLB.)
Unrealized Gain (Loss) April 30, 2014	April 30, 201-	April 1, 2014	Cost	Price per Unit April 39, 2014	Quantity April 30, 2014	Holdings (Symbol) as of April 30, 2014
		IT - FMTC CUSTO	JOSEPH G BOCK - ROTH INDIVIDUAL RETIREMENT ACCOUNT - FMTC CUSTODIAN	JDIVIDUAL RET	G BOCK - ROTH IN	Brokerage JOSEPH

All positions held in cash account unless indicated otherwise.

Total Cost does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.

performance of a security. For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement. purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. Estimated Annual Income (EAI) & Estimated Yield (EY) - EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the income and yield might be lower or higher. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational

NOTRE DAME DC PLAN

JOSEPH BOCK 1749 WEST NORTH SHORE DRIVE SOUTHBEND, IN 46617

Retirement Savings Statement

Customer Service: (800) 343-0860 Fidelity Investments Institutional Operations Company, Inc. 82 Devonshire Street Boston, MA 02109

Your Account Summary

Statement Period: 04/01/2014 to 04/30/2014

Beginning Salance Fees/Credits

\$1,101.17 \$1.02

Change in Account Value

-\$6.31

Endino Balance

\$1,095.88

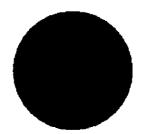
Your Personal Rate of Return

This Period

Your Personal Rate of Return is calculated with a time-weighted formula, widely used by financial analysts to calculate investment earnings. It reflects the results of your investment selections as well as any activity in the plan account(s) shown. There are other Personal Rate of Return formulas used that may yield different results. Remember that past performance is no guarantee of future results.

Your Asset Allocation

Statement Period: 04/01/2014 to 04/30/2014



■ 100,00% Stock Investments: \$1,095.88

Your account is allocated among the asset classes specified above as of 04/30/2014. Percentages and totals may not be exact due to rounding.

Account Value

Statement Period: 04/01/2014 to 04/30/2014

Displayed in this section is the value of your account for the statement period, in both shares and dollars.

Univ. of Notre Dame

investment	Shares as of 03/31/2014	Shares as of 04/30/2014	Price ea of 03/31/2014	Price as of 04/30/2014	Account Value as of 03/31/2014	Account Value as of 04/30/2014
Stock Investments					\$1,101.17	\$1,095.88
International FID Intl Discovery K	27.619	27.646	\$39.87	\$39.64	\$1,101.17	\$1,095.88
Account Totals					\$1,101.17	\$1,095.88

Remember that a dividend payment to fund shareholders reduces the share price of the fund, so a decrease in the share price for the statement period does not necessarily reflect lower fund performance.

Please refer to NetBenefits and other Plan information, such as your SPD, for a description of your right to direct investments under the Plan. For information on any plan restrictions or limitations on those rights visit NetBenefits and click on "Plan Information".

To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly. If you invest more than 20% of your retirement



DR JOSEPH G BOCK 1749 W NORTH SHORE DR SOUTH BEND IN 46617-1065

Quarterly Retirement Portfolio Statement

January 01, 2014 - March 31, 2014

For DR JOSEPH G BOCK

Customer Service

Website:	tiaa-cref.org
Automated 24-hour Information and	
Personal Assistance (Español disponible)	800 842-2252
Hearing Impaired (TTY phone users only)	800 842-2755
Monday through Friday, 8:00 a.m 10:00 p	o.m. (ET)
Saturday, 9:00 a.m 6:00 p.m. (ET)	

To view your most current account information, go to our website at tiaa-cref.org and log-in with your user ID and password or call our 24-hour automated system.

Portfolio Summary

	This Period	Year-to-Date
Beginning Balance	\$424,257.40	\$424,257.40
Additions	5,432.64	5,432.64
Reductions	-35.01	-35.01
Gain/Loss	7,955.97	7,955.97
Ending Balance	\$437,611.00	\$437,611.00

Retirement Income Projection

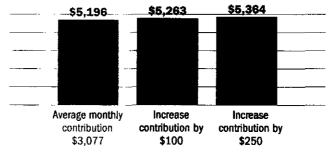
As part of your retirement savings planning, have you considered how much you need to retire? Saving a little more now can add up by the time you retire. These charts are purely hypothetical and do not illustrate past or projected performance.

What can you expect from Retirement Income?

Average Monthly	Monthly Contribution	Sample Lifetime Retirement Monthly
Contribution	Increased by	Income at Age 65
\$3,077	\$ 0	\$5,196
\$3,177	\$100	\$5,263
\$3,327	\$250	\$5,364

To raise your savings rate or further personalize the retirement income projection, visit us online at tiaa-cref.org or call TIAA-CREF at 800-842-2252. Recent changes to your contribution amounts may not be reflected on this statement.





Message Board

If you are invested in mutual funds in your retirement plans or IRAs, please review the frequent trading policy at www.tiaa-cref.org/tradingpolicy.

Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among the investment choices within your account are also shown as Additions and Reductions.

Please refer to the back of this statement for Glossary Terms.

Quarterly Retirement Portfolio Statement

January 01, 2014 - March 31, 2014

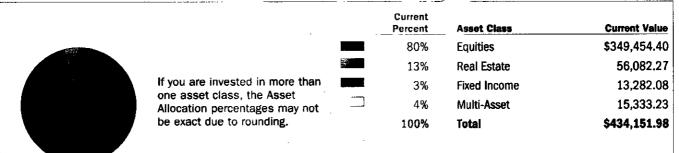
Personalized Rate of Return

This	Period
Year	-to-Date

1.9% This figure is an estimate of the performance of the assets in your retirement portfolio, as reflected on this statement but excluding your retirement healthcare program assets that are

excluding your retirement healthcare program assets that are maintained at TIAA-CREF during the period(s) specified. Past performance is not a guarantee of future results. Please refer to the Disclosures Section for more information.

Asset Allocation Summary



Note: Retirement healthcare program assets are not included in the asset allocation pie chart.

Activity Summary by Asset Class

Asset Class/ Investment	Beginning Balance as of 01/01/2014	Additions	Reductions	Gain/Loss	Ending Balance as of 03/31/2014
Equities					
T-C Intl Eq Idx-Rtmt	\$22,59 0.19	\$0.00	\$0.00	\$138.31	\$22,728.50
T-C Intl Eq-Inst	138,565.87	1,599.30	0.00	4,101.40	144,266.57
T-C Social Ch Eq-Inst	35,12 6.10	0.00	0.00	635.35	35,761.45
T-C Intl Eq Idx-Inst	141,734.58	3,833.34	0.00	1,129.96	146,697.88
Total Equities	\$338,016.74	\$5,432.64	\$0.00	\$6,005.02	\$349,454.40
Real Estate					
TIAA Real Estate	\$54,804.7 9	\$0.00	\$0.00	\$1,277.48	\$56,082.27
Fixed Income					
T-C High-Yield-Inst	\$12,892.76	\$0.00	\$ 0.0 0	\$389.32	\$13,282.08
Multi-Asset					
CREF Social Choice	\$15,074.34	\$0.00	\$0.00	\$258.89	\$15,333.23
T-C Lifecycle 2050-Rtmt	3,468.77	0.00	-35.01	25.26	3,459.02
Total Multi-Asset	\$18,543.11	\$0.00	-\$35.01	\$284.15	\$18,792.25
Total Account Value	\$424,257,40	\$5,432,64	-\$35.01	\$7,955.97	\$437,611.00

Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among your investment choices are also shown as Additions and Reductions. For example, if you transferred money from one investment choice to another, the money going into an account is an Addition and the money leaving an account is a Reduction. Other adjustments to your portfolio are also included in the Additions and Reductions total.

To view current performance for your specific investments, log in to your account at tiaa-cref.org or you can visit www.tiaa-cref.org/performance for general performance information.

Joseph Bock

From: GuideStone Email System < GuideStoneEmailSystem@Guidestone.org>

Sent: Friday, November 01, 2013 8:17 AM

To: Joseph Bock

Subject: GuideStone account balance as of October 31, 2013



Your GuideStone account balance as of October 31, 2013 is: \$11,428.11.

)	403(B):				-	
	Investment Fund	Ticker	NAV	Shares	Market Value	
	Aggressive Allocation (GGBZX)	GGBZX	\$15.21	368.6550	\$5,607.24	
	International Equity (GIEZX)	GIEZX	\$14.91	390. 4006	\$5,820.87	
			403(B)	SUBTOTAL	\$11,428.11	

GRAND TOTAL \$11,428.11

View Account

Mana**s S**ub**script**ions

Questions? Contact Us

Personal investment accounts and individual retirement accounts (IRAs) are offered through GuideStone Funds.

The totals above do not include any defined benefit accumulations (such as Plan A or IMB's pre-82).

If you would like to change your account balance email options, go to your profile on www.MyGuideStone.org.

Please do not reply to this email. Our <u>customer relations</u> representatives would be happy to assist with any comments or questions you may have.





2401 Cedar Springs Road, Dallas, TX 75201 1-888-984-8433 © 2013 GuideStone. All Rights Reserved.

My Accounts | Portfolio

column. Additionally, you can filter by a specific security type using the View drop-down box and sort columns by clicking the column headings. This tab displays the current value of the securities held in the selected accounts. To view details of any position, click the link or arrow icon in the Quantity

ACCOUNT LIST



Current Value

	\$6,251.51	\$3,521.70	\$513,027.17	- ^ 4400000				Total
0.00%	\$0.00		\$100,000.00		\$10.00*	10,000.000	NHBH	NHB HOLDINGS INCORPORATED PRIVATE PLACEMENT
8.41 %	\$1,920.00	\$96.70	\$22,906.70	\$0.07	\$ 22.91	1,000.000	DFP	FLAHERTY & CRUMRINE DYN PREFERRED SHS
6,42%	\$1,425.00	\$170,00	\$22,350.00	\$0.17	\$22.35	1,000.000	NNN.PRE	NATIONAL RETAIL PROPERTIES, INC CUMULATIVE REIT PFD
0.00%	\$0.00	\$(1,040,00)	\$41,040.00	\$(0.26)	\$10.26	4,000.000	MIXT	MIX TELEMATICS LIMITED SPONSORED ADR
10.53 %	\$2,889.00	\$(120.00)	\$27,400.00	\$(0.12)	\$27.40	1,000.000	LNCO	LINNCO LLC COMSHS LTD INT
0.00%	\$0.00	\$(270.00)	\$94,290.00	\$(0.04)	\$5.52	6,750.000^	HIS	HEALTH INS INNOVATIONS INCORPORATED COM
0.00 %	\$0.00		\$66,178.00	3	\$94.54"	70,000.000^	US064159CZ77	BANK OF NOVA SCOTIA LINKED NOTE RJ ANALYST CURRENT FAVORITE DUE 3/23/2017 ISIN US0604159CZ77 DUE 03/23/2017
0.00%	\$0.00	;	\$19,670.00	A000087000V 700V VIIIA	\$98.35*	20,000.000	US06366RSG10	SENIOR MEDIUM TERM NOTES SERIES BLINKED TO RJ ANALYSTS BEST PICKS FOR 2014 DUE JANUARY 28,2015 DUE 01/28/2015
0.00%	\$0.00	\$4,800.00	\$77,40	\$0.16	\$2.58	30,000.000^	BPZ	BPZ RESOURCES INCORPORATED
0.00 %	\$0.00	\$(85.00)	\$8,885.00	\$(0.05)	\$8.88	1,000.000	AMCC	APPLIED MICRO CIRCUITS CORPORATION COM NEW
0.01 %	\$7.51		\$75,080.85		\$1.00*	75,080.850°		RJ Bank Deposit Program
0.00%	\$0.00		\$(12,143.38)		\$1.00*	(12 143.380)^		Cash
Estimated Yidu	Estimpted Avoidables o	Daily Value Change	Current V.2.12	Daily Price	Delayed Price	Q _{to t} ⊶ety	Symbol OUSIP.	ن معظمات بسم

SCHEDULE II — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOSEPH G. BOCK

Pages/5 x28

None Source Sou									8 7 %	3	
S1 - \$1,000							CLEFROLLARSONALLEN	7			BLOCK A Asset and/or Income Source
\$25,000,001 - \$50,000,000 X Over \$60,000,000 T SpouseDC Asset over \$1,000,000* X NONE								×	\$1 - \$1,000 \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$250,000 \$250,001 - \$500,000 \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000	BCDEFGHI	BLOCK B Value of Asset
College 19 10 10 10 10 10 10 10									\$25,000,001 - \$50,000,000 Over \$50,000,000 Spouse/DC Asset over \$1,000,000 NONE DIVIDENDS RENT INTEREST	<u>х</u>	BL:
\$1,001 - \$2,500 \$2,501 - \$5,000 \$2,501 - \$5,000 \$2,501 - \$15,000 \$2,501 - \$15,000 \$2,501 - \$15,000 \$2,501 - \$1,000,000 \$2,500,000								LT	EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income—(Specify: Partnership Income or Farm Income None		OCK C
None									\$1,001 - \$2,500	Current Year	BL: Amount
								X	None - \$1 - \$200 = \$201 - \$1,000 ≡	Preceding Yes	of Income

CliftonLarsonAllen Wealth Advisors PORTFOLIO APPRAISAL Joseph Bock Roth Conversion IRA

Strategy: DFA Global Allocation 60/40
May 8, 2014

TOTAL PORTFOLIO		CASH CASH & EQUIVALENTS Money Ma		BOND FUNDS ALTERNATIV 2,102.710		EQUITY FUNDS OPPORTUNISTIC EQUITY 241.000 Market Vector	Quantity
OLLO		WALENTS Money Market Fund		DND FUNDS ALTERNATIVE FIXED INCOME 2,102.710 Principal Global Div Inc Inst		Y FUNDS ORTUNISTIC EQUITY 241.000 Market Vectors Gold Miners	Security
				14.03		54.03	Adjusted Unit Cost
45,919.10	3,398.80	3,398.80	29,500.00	29,500.00	13,020.30	13,020.30	Total Adjusted Cost
		ı		14.77	,	23.77	Price
40,184.40	3,398.80	3,398.80	31,057.03	31,057.03	5,728.57	5,728.57	Market Value
100.0	8.5	8.5	77.3	77.3	14.3	14.3	Pct. Assets



CliftonLarsonAllen Wealth Advisors PORTFOLIO APPRAISAL Joseph Bock Roth Contributory IRA

Strategy: DFA Global Allocation 60/40
May 8, 2014

TOTAL PORTROLIO		CASH & EQUIVALENTS		EQUITY FUNDS INTERNATIONAL EQUITY 259.000 Vanguard FTS Emerging Ma	Quantity
	TANDER LANGE	VALENTS Money Market Fund	¢	Y FUNDS ENATIONAL EQUITY 259.000 Vanguard FTSE Emerging Markets ETF	Security
				42.44	Adjusted Unit Cost
31,200.45	20,207.57	20 207 57	10,992.88	10,992.88	Total Adjusted Cost
			1	41.37	Price
30,922.40	20,207.57	20 207 57	10,714.83	. 10,714.83	Market Value
100.0	65.3	65 1	34.7	34.7	Pct.



CliftonLarsonAllen Wealth Advisors PORTFOLIO APPRAISAL Joseph Bock and Susan Lyke

INTERNATION 2,372.479 (3,184.713 I	3,083.930	BOND FUNDS US FIXED INCOME 3,407.155 Aven		OPPORTUNISTIC EQUITY 1,033.926 Ivy Asset Str	INTERNATIONAL EQUITY 8,457.071 Pax World Int		1,929.553	2,693.700	EQUITY FUNDS US EQUITY 1,986.657	Quantity	
INTERNATIONAL FIXED INCOME 2,372.479 AllianceBernstein Global Gov Inc A		DWS Floating Rate S	Baird Short-Term Bond	OME Avenue Credit		ORTUNISTIC EQUITY 1,033.926 Ivy Asset Strategy A	ERNATIONAL EQUITY 8,457.071 Pax World International Inst		Income - Inst Parnassus Small-Cap	Parnassus Equity	Neuberger Berman	Security	
8.43		9.42	9.78	11.74		30.95	7.92		22.57	29.85	26.98	Adjusted Unit Cost	Strategy: Advisor Designed May 8, 2014
20,000.00	100,150.00	30,000.00	30,150.00	40,000.00	276,550.00	32,000.00	67,000.00	177,550.00	43,550.00	80,400.00	53,600.00	Total Adjusted Cost	isor Designed
8.46		9.43	9.74	11.79		30.58	9.00		26.44	38.14	34.90	Price	
20,071.17	100,239.68	30,031.84	30,037.48	40,170.36	330,820.52	31,617.46	76,113.64	223,089.43	51,017.38	102,737.72	69,334.33	Market Value	
3.7	18.4	5.5	5.5	7.4	60.8	5.8	14.0	41.0	9.4	18.9	12.7	Pct. Assets	



CliftonLarsonAllen Wealth Advisors PORTFOLIO APPRAISAL Joseph Bock and Susan Lyke

Strategy: Advisor Designed
May 8, 2014

Quantity	Quantity Security ALTERNATIVE FIXED INCOME	Adjusted Unit	Init Total Adjusted Cost	Price	Market Value	Pct. Assets
ALTERNATIVE 5,339.806 E	TERNATIVE FIXED INCOME 5,339.806 BlackRock Strategic Income Opportunities	10.30	55,000.00	10.33	55,160.20	10.1
1,260.000	1,260.000 Guggenheim Multi Asset Income	25.35	31,938.82	25.44	32,054.40	5.9
,			86,938.82	i I	87,214.60	16.0
			207,088.82		207,525.45	38.1
CASH & EQUIVALENTS Money Ma	VALENTS Money Market Fund		6,036.58	ı	6,036.58	1.1
			6,036.58		6,036.58	1.1
TOTAL PORTFOLIO	ПО		489,675.40		544,382.55	100.0



CliftonLarsonAllen Wealth Advisors PORTFOLIO APPRAISAL Susan Lyke Roth Conversion IRA

Strategy: DFA Base 80/20

TOTAL PORTFOLIO 64,140.77	4,564.17	CASH & EQUIVALENTS CASH & EQUIVALENTS Money Market Fund 4,564.17	20,450.00	### BOND FUNDS US FIXED INCOME 2,002.941 PIMCO Total Return III 10.21 20,450.00 9.56 19 Inst!	39,126.60	OPPORTUNISTIC EQUITY 969.000 Ishares Comex Gold 9.26 8,976.60 12.50 12	EQUITY FUNDS INTERNATIONAL EQUITY 926.837 Portfolio 21 R 32.53 30,150.00 40.04 37	Quantity Security Adjusted Unit Total Adjusted Market Cost Cost Price Value
72,935.34	4,564.17	4,564.17	19,148.12	.56 19,148.12	49,223.05	.50 12,112.50	.04 37,110.55	Market e Value
100.0		6.3	26.3	26.3	67.5	16.6	50.9	Pct. Assets



CliftonLarsonAllen Wealth Advisors PORTFOLIO APPRAISAL Susan Lyke Roth Contributory IRA

Strategy: DFA Global Allocation 60/40
May 8, 2014

TOTAL PORTFOLIO	CASH & B	INTERNATION 259.000	Quantity
(TFOLIO	ASH CASH & EQUIVALENTS Money Market Fund	INTERNATIONAL EQUITY INTERNATIONAL EQUITY 259.000 Vanguard FTSE Emerging Markets ETF	Security
		42.44	Adjusted Unit
31,200.44	20,207.56 20,207.56	10,992.88	Total Adjusted Cost
		41.37	Price
30,922.39	20,207.56 20,207.56	10,714.83 10,714.83	Market Value
100.0	65.3	34.7	Pct. Assets



SCHEDULE II — ASSETS AND "UNEARNED" INCOME

Name JOSEN G. BOCK

Continuation Sheet (if needed)

				SP		Ş	\$	38	DC 57, SP,		
				SCHOOL CORP.	CREF SOCTAL	ITAA-CREF EKAGE	PLAN - PORTECO	PRUDENTEN ANNUETY		Asset and/or income Source	BLOCK A
				×		×	X	×	None > \$1 - \$1,000	Value of Asset	BLOCK B
				×		×	×		NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income—(Specify: e.g., Partnership Income or Farm Income)	Type of Income	вьоск с
						*		X	None	Amount	BLO
						X		X	None	Amount of Income	BLOCK D

SCHEDULE II - ASSETS AND "UNEARNED" INCOME

JOSEPH

G. BOCK

Page 23 of 28

Continuation Sheet (If needed)

SP 40 Ŷ 8 Ξ HAREYOCABLE Asset and/or Income Source FAMILY TRUST PRENTING COMPANY ハイスに (Burcheng) **BLOCK A** CORP ş None 8 \$1 - \$1,000 C \$1,001 - \$15,000 O \$15,001 - \$50,000 Value of Asset Ш $\overline{\varkappa}$ \$50,001 -- \$100,000 'n BLOCK B \$100,001 - \$250,000 E E 区 \$250,001 - \$500,000 \$500,001 - \$1,000,000 又 \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000 $\boldsymbol{\mathsf{x}}$ Over \$50,000,000 Spouse/DC Asset over \$1,000,000* NONE $\times \times$ >< DIVIDENDS Type of Income RENT INTEREST **BLOCK C** \succ **CAPITAL GAINS EXCEPTED/BLIND TRUST** TAX-DEFERRED Other Type of Income-(Specify: e.g. Partnership income or Farm Income) × × None \$1 - \$200 \$201 - \$1,000 = \$1,001 - \$2,500 X XI MINIMIN N X **Current Year** 3 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 -- \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Amount of Income \$1,000,001 ~ \$5,000,000 × Over \$5,000,000 BLOCK D ×ii Spouse/DC income over \$1,000,000* \times メ None = \$1 - \$200 \$201 - \$1,000 XI IIIM IM IM A A IM **Preceding Year** \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 -- \$100,000 \$100,001 - \$1,000,000 × \$1,000,001 -- \$5,000,000 Over \$5,000,000 × Spousa/DC Income over \$1,000,000*

	AT&T INC COM	DONNELLEY R R & SONS	QUAD / GRAPHICS INC COM	NOVARTIS AG ADR	NATIONAL OILWELL VARCO INC	ALTRIA GROUP INC	GENERAL ELECTRIC CO	EXELON CORP	BERKSHIRE HATHAWAY INC DEL CL B	BANK OF MONTREAL COM	ASTRAZENECA ADR	FIDELITY TAX-FREE MONEY MARKET	Description
													Quantity
	500	1,500.00	1,000.00	400	500	700	500	800	100	500	100	149,461.53	
							•						Most Recent Price
	35.67	17.2	24.41	78.29	82.94	37.73	27.17	28.05	115.72	70.55	53.24	F- >	
404,892.73	17,835.00	25,800.00	24,410.00	31,316.00	41,470.00	26,410.30	13,582.50	22,436.40	11,572.00	35,275.00	5,324.00	149,461.53	Most Recent Value

SCHEDULE II — ASSETS AND "UNEARNED" INCOME

Conti	Continuation Sheet (if needed)	8d)	,	Name JOSEPH G. B	0C(C Page 25 of 28
	BLOCK A	вьоск в	BLOCK C	BLOCK D	CK D
	Asset and/or income Source	Value of Asset	Type of Income	Amount of Income	of Income
SP.	PRIATELY HOLD	2000, W	ity; e.g.,	Current Year	Preceding Year
Ę	INVESTMENTS	00 000 000,	UST (Speci	IX X XI IIV IV V V V V I III II I	
8		0,000 00,000 250,000 500,000 1,000,000 \$5,000,00 \$25,000,0	NS BLIND TR RED of Income	0 0 00 00 000 0,000 000,000 5,000,000	0 00 000 0,000 000,000 5,000,000
		None \$1 - \$1,000 \$1,001 - \$15,0 \$15,001 - \$20 \$50,001 - \$10 \$100,001 - \$2 \$250,001 - \$1 \$1,000,001 - \$2 \$5,000,001 - \$25,000,001 - \$25,000,001 - \$25,000,001 - \$25,000,001 - \$25,0000,000	NONE DIVIDENDS RENT INTEREST CAPITAL GAM EXCEPTED/B TAX-DEFERR Other Type of Partnership in	None \$1 - \$200 \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,00 \$15,001 - \$10,00 \$100,001 - \$1,00 \$1,000,001 - \$2 Over \$5,000,000 SpouseDC income of	None \$1 - \$200 \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,00 \$15,001 - \$10,00 \$100,001 - \$1,0 \$1,000,001 - \$5 Over \$5,000,000
9	E-CUSED DEAG	×	Х	X	×
	TH BEND				
Ž	HOREZOTAL WENDS	X	×	X	X
	MENNIA POLES, MN				
Ž	PRODUCTS OF	*	*	X	×
	20 / 20 / 20 / 20 / 20 / 20 / 20 / 20 /				
17	AMERICAN REGIONAL	A	×	×	×
	7 7				
	KANSAS CITY MD				
7	BATTERIES AN	×	×	×	
	LEE'S SUMMET, MO				
Г					

SCHEDULE III — LIABILITIES

Name JOSEPH S. BOCK

Page 26 of 28

ture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibowed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furni-Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount ling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

	ងខ្លួន
Nove.	Creditor Example: First Bank of Wilmington, DE
	Date Liability Incurred mo/year May 1998
	Type of Liability Mortgage on 123 Main Street, Dover, DE
	\$10,001 \$15,000
	\$15,001— \$50,000 00
	\$50,001 \$100,000
	×\$100,001— ×\$250,000
	\$250,001— m \$500,001— 7
	\$1,000,000
	\$1,000,901— \$6,000,000 G \$5,000,001—
	\$25,000,000 = <
	\$50,000,000 — Over
	Spouse/DC
	Liability over 大 \$1,000,000

SCHEDULE IV - POSITIONS

cer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an offi-

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature

Position	Name of Organization
MEHBER	ANTI-VIOLENCE COMMISSION, CITY OF SOUTH BEND
MEABER	SAFETY AND SECURITY COMMETTER SOUTH BEND COMMUNITY SCHOOL CORP.
MEMBER	INTERNATIONAL ADVISORY COMMITTEE AMERICAN RED CROSS, SMINT SOSEM
TREASURER	HOME OWNERS' ASSOCIATION, THE POINT AT NORTH SHORE WOODS, SONTH BEND
MEMBER	ADVISORY COMMITTEE, CENTER FORCONFLICT STUDIES, HONTEREY ENSTETHINE

(כאיז אישבא) SCHEDULE III — LIABILITIES

Name JOSEM G. BOCK

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owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furni-Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount ling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000. ture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or slb-

	J C SP
Noute.	Creditor Example: First Bank of Wilmington, DE
	Date Liability Incurred moyear
	Type of Liability Mortgage on 123 Main Street, Dover, DE
	\$10,001 \$15,000
	\$15,001— \$50,000 © \$60,001—
	\$100,000
	× \$100,001— \$250,000 □
	\$500,000 m \$1,000,000 m \$1,000,000 m \$1,000,000 m \$1,000,000 m \$5,000,000 m \$5,000,000 m \$5,000,000 m \$5,000,000 m \$1,000,000 m \$1,000,
	\$1,000,001— D \$5,000,000 D
	\$5,000,001— \$25,000,000 = \$
	\$25,000,001 \$50,000,000
	Over \$50,000,000
	Spouse/DC Liability over ス \$1,000,000

SCHEDULE IV — POSITIONS

cer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an offi-

and positions solely of an honorary nature **Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization):

and positions solely of all notionally flatting.	
Position	Name of Organization
EDETOREAL ADVISOR	DEVELOPMENT IN PRACTICE, OXFORD
MEMBER	EDETOREAL BOARD, SAGE
VISITING FELLOW	GONZAGA UNIVERSITY, SPOKANE
MEMBER OF ADVESORY GROUP	UNICEF AND GATES FOUNDATION

REMEDULE V - AGREEMENTS Commontive control of programment of the control of the
