more than 30 da	Termination Date:	Termination	X	☐ Amendment	Annual (May 15)	Report
be assessed aga		Employee	9	District: 09	House of Representatives	Status
A \$200 penalty s	Employing Office:	Officer Or	٠	State: NJ	Member of the U.S.	Filer
S. H. Office Use O	(Daytime Yelephone) U			ne)	(Full Name)	
ZUIJ JAN 16 P				othman	Steven R. Rothman	
SOLATIVE KESOL						
EGISI ATIVE S-	For use by Members, officers, and employees		TEMENT	LOSURE STA	CALENDAR YEAR 20 CHANGIAL DISCLOSURE STATEMENT	CALENDAR
INSIDE	FORM A Page 1 of 8	-	ENTATIVE	REPRESE	UNITED STATES HOUSE OF REPRESENTATIVES	UNITED

			schedule attached for each "Yes" response.				If yes, complete and attach Schedule V.	if yes, comp	
	d the appropriate	d and		Yes No []		ve any report xd?	Did you, your spouse, or a dependent child have any reportable Hability (more than \$10,000) during the reporting period?		.<
			If yes, complete and attach Schedule IX.		•		H yes, complete and attach Schedule IV.	H yes, comp	
	Yes X	n outside	Did you have any reportable agreement or arrangement with an outside LX. entity $\hat{\tau}$	¥8 €S No □	₹	:hase, sell, or ,600 during th	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting reports.	IV. reportable ass	3
			If yes, complete and attach Schedule VIII.				If yes, complete and attach Schedule III.	If yes, comp	
	Yes (S)	filing in t	Did you hold any reportable positions on or before the data of filing in the VIII. current calendar year?	Yes SI No D		ceive "uneam i any reportab	Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$4.060 at the end of the period?		.
			If yes, complete and attach Schedule VII.				If yes, complete and attach Schedule II.	If yes, comp	
	velor No S	than \$35	Did you, your apouse, or a dependent child receive any reportable travel or VII. reimbursements for travel in the reporting period (worth more than \$350 from one source)?	Y 88 U No KI	ileu of paying	ation to chari reporting per	Did say Individual or organization make a donation to charity in iteu of paying you for a speech, appearance, or article in the reporting period?		#
			If yes, complete and attach Schedule VI.				If yes, complete and attach Schedule I.	If yes, comp	
	¥	able gift i otherwis	Did you, your spouse, or a dependent child receive any reportable gift in VI. the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?	Yes No	j	(e.g., salarle: od?	Old you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Old you or you or more from a	ï
			JESTIONS	THESE Q	NER EACH Ö	- ANS	PRELIMINARY INFORMATION ANSWER EACH OF THESE QUESTIONS	RELIMINA	
	ate.	late		ermination	☐ Amendment	 	[] Annual (May 15)	Type	
	your mile mos		Termination Date:	>					Ī
	be assessed against	be :	Employee	<u> </u>	District: 09		House of Representatives	Status	
, ksi	A \$200 penalty shall	A S:	Employing Office:	0 0	State: NJ		Member of the U.S.	Filer	
-	US HOLOTION USE ONLY)	P HO	(Daythne Telephone)			(Full Name)			
7.7.2	2013 JAN 16 PM 1: 45	2013			nan	Steven R. Rothman	Steve		
r R	CONTAINE RESOURCE CENTER								П
	INSIDE MAIL	F	FORM A Page 1 of 8 For use by Members, officers, and employees	TIVES	PRESENTA:	OF RE	UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2015 SHIANCIAL DISCLOSURE STATEMENT	UNITED S	
									1

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

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Exemptions--

CHEDULE I - EARNED INCOME

Name Steven R. Rothman

Page 2 of 8

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	ing the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source	t the source, type, and amount of earned income from any source (other than the filer's current employment by t	
	or a s	LUSC.	
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	te for other spouse earned income exceeding	the U.S. Government) totaling \$200 or more	
	e exc	or OC	
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Source	Туре	Amount
winks Company	Managers Fee	\$26,500

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Partner				DC 2	DC 1	Exclude: You vacation hor	For an owner publically tra- activities, and	For rental or address.	For all IRAs and a self-directed (i.e., self-directed (i.e., exercised, to self asset held in the ratherment account of the institution reporting period.	Provide com symbols.)	identify (a) ea a fair market and (b) any o generated mo	>	SCHEDUL
17793 Southwick Way Boca Raton, FL (1/3 owner)	175 Louis Street Hackensack, NJ (Partial Owner)	150 Louis Street, South Hackensack, NJ (16 2/3% owner)	125 Louis Street, South Hackensack, NJ (5.7% owner)	125 Louis Street, South Hackensack, NJ (5.5% owner)	125 Louis Street, South Hackensack, NJ (5.5% owner)	Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting market); and describe to the best of the personal above to the person	For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.	For rental or other real property held for investment, provide a complete address.	For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.	Provide complete names of stocks and mutual funds (do not use ticker symbols.)	generated more than \$200 in "unearned" income during the year.	SLOCK A	SCHEDULE III - ASSETS AND "UNEARNED" INCOME
\$100,001 - \$250,000	\$100,001 - \$250,000	\$100,001 - \$250,000	\$100,001 - \$250,000	\$15,001 - \$50,000	\$15,001 - \$50,000				asset was sold and is included only because it is generated income, the value should be "None."	other than fair market value, please specify the method used. If an	Value of Asset at close of reporting year. If you use a valuation method	BLOCK B	<u> </u>
N/A	RENT	RENT	RENT	RENT	RENT			period.	check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the proportion	that generate tax-deferred income (such as 401(k)	Check all columns that apply. For retirement accounts that do not allow you to choose appecific investments or	Type of Income	Rothman
NONE	\$15,001 - \$50,000	\$15,001 - \$50,000	\$5,001 - \$15,000	\$5,001 - \$15,000	\$5,001 - \$15,000			g	of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was serined or cenerated.	iRAs), you may check the "None" column. For all other	For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or	Amount of Income	
N/A	N/A	NA	N/A	N/A	N/A					\$1,000 in reporting year.	Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding	Transaction	Page 3 of 8

	·					
91	SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	IE Name Steven R. Rothman	Rothman		Page 4 of 8
3	Advan	250 North Street Teterboro, NJ (33% owner)	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	N/A
		30 Ruta Court; South Hackensack, NJ (33% owner)	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	N/A
	-	4 E. Forest Avenue Englewood, NJ (33 1/3% owner)	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	N/A
	-	40 Ruta Court, So. Hackensack, NJ (33 1/3%)	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	N/A
		425 Victoria Terrace Ridgefield, NJ (16 2/3% owner)	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	N/A
	DC 2	45 Ruta Court, S. Hackensack, NJ (6% owner)	\$15,001 - \$50,000	RENT	\$2,501 - \$5,000	N/A
	DC 1	45 Ruta Court, South Hackensack, NJ (6% owner)	\$15,001 - \$50,000	RENT	\$2,501 - \$5,000	N/A
	_	538-540 Huyler Street, South Hackensack, NJ (16 2/3% owner)	\$15,001 - \$50,000	RENT	\$5,001 - \$15,000	N/A
		550 Huyler Street, South Hackensack, NJ (16 2/3% owner)	\$250,001 - \$500,000	RENT	\$5,001 - \$15,000	N/A
	_	600 Hollister Road Teterboro, NJ (33 1/3%)	\$50,001 - \$100,000	RENT	\$15,001 - \$50,000	N/A
	_	80 Wesley Street, South Hackensack, NJ (33% owner)	\$100,001 - \$250,000	RENT	\$201 - \$1,000	N/A
	DC2	CD Ally Bank	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	P/S(part)
	}		1			

311)	SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name	Steven R. Rothman		Page 5 of 8
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	IRA	CD Discover Bank	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	- 2/A
	IRA	CD GE Money Bank	\$15,001 ~ \$50,000	INTEREST	\$201 - \$1,000	N/A
	DC1	CD National City Bank	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	N/A
	Partner	Citibank Savings Account (1/3 owner)	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	N/A
		Columbia Bank Acct	\$1,001 - \$15,000	NONE	NONE	N/A
•	DC 2	Columbia Bank Acct	\$1,001 - \$15,000	INTEREST	\$1 - \$200	N/A
	DC 1	Columbia Bank Acct	\$1,001 - \$15,000	INTEREST	\$1 - \$200	N/A
		Dreyfus NJ Money Market Fund	\$1 - \$1,000	INTEREST	\$1 - \$200	N/A

	J					
7-1	SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Steven R. Rothman	Rothman	-	Page 6 of 8
	Partner	Dreyfus NJ Money Mkt	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	N/A
	DC 2	Dreyfus NJ Money Mkt Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	N/A
	DC 1	Dreyfus NJ Money Mkt Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	N/A
	Partner	Dreyfus NJ Money Mkt Fund (1/3 owner)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	N/A
	DC2	Elizabeth NJ General Bond	\$50,001 - \$100,000	INTEREST	\$2,501 - \$5,000	
	Partner	Fidelity Mun. Money Mkt. Fund	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	N/A
	IRA	Oppenheimer Global Fund (Public Employees Benefits Co.); Formerly Oppenheimer Global Fnd Cls A	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	N/A
	Partner	Partner-Summit Checking a/c (1/3 owner)	\$1,001 - \$15,000	N/A	NONE	NIA

DC 1

Steven R. Rothman Irrev. Life Ins. Trust

\$1,001 -\$15,000

X

NONE

Z X.≽

Partner

Twinks LLC Columbia Bank

\$15,001 -\$50,000

INTEREST

\$201 - \$1,000

N

Partner

Twinks Checking Account-Columbia Bank

\$1,001 -\$15,000

INTEREST

\$1 - \$200

N

DC 2

Steven R. Rothman Irrev. Life Ins. Trust

\$1,001 -\$15,000

> N N

NONE

N X Partner

Roan Mun. Money Mkt. Fund (5.7% owner)

\$15,001 -\$50,000

DIVIDENDS

\$1,001 - \$2,500

N/A

SCHEDULE IV - TRANSACTIONS Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. Name Steven R. Rothman Page 7 of 8

DC2	SP, DC, JT
Elizabeth NJ General Bond	Asset
· Partial	Type of Transaction
N/A	Capital Gain in Excess of \$200?
4/2/12	Date
# 10.001-15,000.°	Amount of Transaction

SCHEDULE VIII - POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature: and coalitions listed on Schodule ! Name Steven R. Rothman Page 8 of 8

honorary nature; and positions listed on Schedule I.	
Position	Name of Organization
Trustee	John Abraham Rothman 1989 Trust
Trustee	Karen Bena Rothman 1991 Trust
Partner	Twinks Company, LLC
Partner	Roan Partnership, LP
Twinks Company, LLC is a tenant in common in these two organizations	Folman Company, Rofol Company

Date: <u>January</u> 5, 2013

Ms. Karen L. Haas, Clerk U.S. House of Representatives Legislative Resource Center B-106 Cannon House Office Building Washington, DC 20515-6601

Dear Ms. Haas:

This is to notify you that I h	nave assumed a new position that	at requires	the filing of a
public Financial Disclosure Staten	nent under the Ethics in Govern	ment Act,	as amended
(5 U.S.C. app. 4, Sec. 101 et seq.).	My new position is with the	Sills	Cumnis
+Oross	effective	Jai	1.14,2013
which is within 30 days of leaving	my prior covered position.		

Signatufe:

Print Name:

R Rothman



January 5, 2013
The Honorable Karen L. Haas
Clerk, U.S. House of Representatives
Legislative Resource Center
B10 Cannon House Office Building
Washington, DC 20515

Re: Financial Disclosure Statement for 2012

Congressman Steven R. Rothman (NJ-9) Final Term Ending January 3, 2013

Dear Ms. Haas,

This letter is to inform you of the following inadvertent omissions to my various Financial Disclosure Statements. Please amend my Statements accordingly:

I purchased and used as my principal personal residence, a house at 41-29 Christine Court, Fair Lawn, New Jersey 07410 (hereinafter "my house") on June 14, 2005. Later, on approximately March 1, 2012, I moved my principal personal residence to a rental apartment at 20 West Palisade Avenue, Apt. 5120, Englewood, New Jersey 07631, where I continue to reside.

In purchasing said house on June 14, 2005, I relied upon a First Mortgage of \$260,000.00. That mortgage was with Columbia Bank, Fair Lawn, New Jersey 07410. On June 21, 2009, I opened a Home Equity Line of Credit on the house with Columbia Bank. The First Mortgage was, apparently, later transferred by Columbia Bank to CitiMortgage.

By December 23, 2010, I had liabilities under said First Mortgage and said Line of Credit of \$189,321.99 and \$138,806.22, respectively, totaling \$328,128.21. On that date, I both paid off my CitiMortgage First Mortgage and my Columbia Bank Line of Credit with a new Columbia Bank First Mortgage in the amount of \$325,000.00, and took out a new Line of Credit with Columbia Bank.

On November 30, 2012, I sold the house and used the proceeds to pay off my Columbia Bank First Mortgage and Line of Credit (\$291,678.20 and \$136,346.20, respectively, totaling \$428,024.40).

As of the date of this letter, I have no existing Liabilities.

Thank you for your assistance and I send my best personal regards.

Steven R. Rothman

Member of Congress (January 7, 1997 to January 3, 2013)