



Filing ID #10016156

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Charles W. Dent
Status: Member
State/District: PA15

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 07/28/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
529 Prepaid Tuition Plan	DC	Undetermined	Tax-Deferred		<input type="checkbox"/>
LOCATION: PA DESCRIPTION: A 529 College Savings Account was set up by my children's maternal grandparents to benefit my children. I am not a beneficiary of the 529 College Savings Account. I have no control over the assets and do not know any specific details regarding the 529 College Savings Account assets.					
Alliance Bernstein Growth & Income Fund CL A	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Reinvestment					
Alliance Bernstein Large-Cap Growth Fund Class A	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Reinvestment					
American Bank Account	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
American Euro Pacific -- Growth Fund (IRA)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Bank of America (Checking)	JT	\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Blackrock Equity - Dividend Fund (IRA)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ellsworth Conv Growth & Income Fund	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ellsworth Conv Growth & Income Fund	DC	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Ellsworth Conv Growth & Income Fund	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ellsworth Fund Ltd (IRA)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
Gabelli Equity Trust (IRA)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
Gabelli Utilities Trust (IRA)		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
Invesco Bond Fund (IRA)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
I-Shares Global Telecom (IRA)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
I-Shares High Dividend Index Fund ETF (Roth IRA)	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
I-Shares Select Dividend DVY ETF (IRA)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
I-Shares Select Dividend DVY Index Fund ETF	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Lafayette-Ambassador Bank --savings	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Lafayette-Ambassador Bank --savings	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Lafayette-Ambassador Bank --savings	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch Cash Sweep (IRA)	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MFS Growth Fund CL C (IRA)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
PA Deferred Comp - Aggregate Bond Index (Not Self Directed)		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
PA Deferred Comp - Extended Market Fund (Not Self Directed)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
PA Deferred Comp - Stock Index Fund (Not Self Directed)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
PA State Employees Retirement System (Not Self Directed)		Undetermined	Tax-Deferred		<input type="checkbox"/>
PIMCO Low Duration Fund (IRA)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
SPDR S&P Dividend ET (IRA)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
TIAA-CREF Traditional 403(B) Plan Guaranteed		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
TIAA-CREF Stock Fund 403(B) Plan		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
Vanguard Consumer Staples ETF (ROTH IRA)	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Vanguard Dividend Appreciation ET	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Reinvestment					
Vanguard Dividend Appreciation VIG ET (IRA)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Vanguard Short-Term Bond ETF (IRA)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
Vanguard Utilities ETF (IRA)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Reinvestment					
Wells Fargo Advisors - Cash Sweep	JT	None	Interest	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
COMMENTS: Value is \$.01; no income.					
Wells Fargo Advisors - Cash Sweep (Beneficial IRA)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells-Fargo Advisors - Cash Sweep	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells-Fargo Advisors - Cash Sweep	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Wells-Fargo Advisors - Cash Sweep	DC	\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells-Fargo Advisors - Cash Sweep (IRA)		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Wells-Fargo Advisors -- Cash Sweep (Roth IRA)	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells-Fargo PMA Premier Checking		\$1 - \$1,000	Interest	None	<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ellsworth Conv Growth & Income Fund	DC	11/23/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wells-Fargo Advisors - Cash Sweep	DC	12/1/2016	P	\$1,001 - \$15,000	

SCHEDULE C: EARNED INCOME

Source	Type	Amount
PA Legislature Position	Salary	\$16,439.00
COMMENTS: Earned Income Source: Commonwealth of PA Employees Retirement System (Pension not self-directed)		

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	JPMorgan Chase Bank (loan was sold from “Ocwen Loan Servicing LLC”.)	October 2012	Mortgage on first & second residence	\$100,001 - \$250,000
JT	Wells Fargo Bank	December 2016	Home Equity Loan	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Crime Victims Council of the Lehigh Valley
Member	Advisory Board of the Minsi Trails Council Boy Scouts of America

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2016	Charles W Dent & Commonwealth of PA	Health Insurance provided by Highmark Blue Shield
January 2016	Charles W Dent & Commonwealth of PA	Continued Participation in Pension Plan (not self-directed)

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
U.S. Association of Former Members of Congress; Friedrich Eb	03/3/2016	03/6/2016	Washington, DC - Boca Raton, FL - Newark, NJ	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
The Ripon Society and the Franklin Center for Global Policy	11/9/2016	11/14/2016	Washington, DC - London, United Kingdom - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

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☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Charles W. Dent , 07/28/2017