

**HAND
DELIVERED**

**UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT**

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FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

Ralph Bradley Miller

(Full Name)

(202) 225-3032

(Daytime Telephone)

2011 MAR -8 PM 4: 26

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Filer Status
☒ Member of the U.S. House of Representatives

State: NC
District: 13

☐ Officer Or Employee

Employing Office:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

Report Type
☐ Annual (May 15)

☒ Amendment

☐ Termination

Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$35 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

SCHEDULE I - EARNED INCOME

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
MetLife Insurance Co. Of Connecticut	Attorney Fee from structured settlement	\$1,650

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source <small>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</small>	BLOCK B Year-End Value of Asset <small>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</small>	BLOCK C Type of Income <small>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</small>	BLOCK D Amount of Income <small>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</small>	BLOCK E Transaction <small>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</small>
Morgan Stanley Smith Barney (SEP)	\$15,001 - \$50,000			
1. Dreyfus Liquid Assets Inc.	\$1,001 - \$15,000	None	NONE	
2. Tyco Intl Ltd	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
3. Adobe Systems Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
4. Apple Inc	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
5. Automated Data Processing Inc	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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6. Baxter Intl Inc	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
7. Bristol Myers Squibb Co	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
8. British American Tobacco	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
9. CVS Caremark Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
10. Cisco Systems Inc	\$1,001 - \$15,000	None	NONE	
11. Cognizant Tech Solutions Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	
12. Corning Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
13. EOG Resources Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
14. Enterprise Products Partners	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
15. Exxon Mobil Corp	\$1 - \$1,000	None	NONE	
16. General Mills Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
17. HCC Insurance Holdings Inc	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
18. Haliburton Co	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
19. Honeywell Intl Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	20. JP Morgan Chase & Co	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	21. Kimberly Clark Corp	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	22. Lowes Companies Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	23. Medtronic	\$1,001 - \$15,000	None	NONE	
	24. Monsanto Co	\$1 - \$1,000	None	NONE	
	25. Pepsico	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	26. Raytheon Company	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	27. Charles Swab Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	28. Sempra Energy	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	29. Western Union	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	30. Ishares Nasdaq Biotechnology Index Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Legislative Retirement System (North Carolina)	\$1,001 - \$15,000	None	NONE	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
German Marshall Fund (GMF)	Feb. 13-21	DC-Elmau, Germany-Berlin, Germany-Moscow, Russia- DC-Raleigh, NC	Y	Y	N	None
Tobin Project	Dec. 4-5	DC-Charlotte, NC	Y	Y	N	None