



Filing ID #10007305

# FINANCIAL DISCLOSURE REPORT

---

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

---

## FILER INFORMATION

**Name:** Hon. Jackie Speier  
**Status:** Member  
**State/District:** CA14

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2014  
**Filing Date:** 08/13/2015

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
American Century (IRA) Vista	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ameriprise (IRA) International Fund	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Bank of America	SP	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Charles Schwab	SP	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: Charles Schwab - DWS Emerging Market Mutual Fund					
Charles Schwab - Cisco Systems Inc.		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Charles Schwab (IRA) - Cisco Systems, Inc. Stock					
Charles Schwab - Electric Arts		\$1,001 - \$15,000	Tax-Deferred	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Charles Schwab - Electronic Arts (401k) - Allianz GI Technology Institutional					
Charles Schwab - Electronic Arts (401k)		\$1,001 - \$15,000	Tax-Deferred	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Charles Schwab - Electronic Arts (401k) - American Funds Investment Co. of America					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Charles Schwab - Electronic Arts (401k)		\$1,001 - \$15,000	Tax-Deferred	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Charles Schwab - Electronic Arts (401k) - Dodge & Cox International Stock					
Charles Schwab - Electronic Arts (401k)		\$1 - \$1,000	Tax-Deferred	None	<input type="checkbox"/>
DESCRIPTION: Charles Schwab - Electronic Arts (401k) - Vanguard Wellington Adm					
Charles Schwab - Electronic Arts (401k)		\$15,001 - \$50,000	Tax-Deferred	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Charles Schwab - Electronic Arts (401k) - American Funds Growth Fund of America					
Charles Schwab - First Medical Group	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Mutual Fund					
Charles Schwab - Ford Motor Company		\$1,001 - \$15,000	None		<input type="checkbox"/>
Charles Schwab - General Electric Co.		\$1,001 - \$15,000	None		<input type="checkbox"/>
Charles Schwab - Schwab Money Market Fund		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Charles Schwab - XYLEM, Inc.		\$1,001 - \$15,000	None		<input type="checkbox"/>
Charles Schwab (401k) - MedAmerica, Inc.		\$50,001 - \$100,000	Tax-Deferred	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Charles Schwab (401k) - MedAmerica, Inc. - American Funds Euro Pacific GR R5					
Charles Schwab (401k) - MedAmerica, Inc.		\$15,001 - \$50,000	Tax-Deferred	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Charles Schwab (401k) - MedAmerica, Inc. - PIMCO Total Return Instl.					
Charles Schwab (401k) - MedAmerica, Inc.		\$15,001 - \$50,000	Tax-Deferred	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Charles Schwab (401k) - MedAmerica, Inc. - Schwab Total Stock Market Index					
Charles Schwab (401k) - MedAmerica, Inc.		\$15,001 - \$50,000	Tax-Deferred	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Charles Schwab (401k) - MedAmerica, Inc. - Value ADV Money INSG					
Charles Schwab (401k) MedAmerica, Inc.		\$15,001 - \$50,000	Tax-Deferred	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Charles Schwab (401k) - MedAmerica, Inc. - Investo Equity Real Estate Security					
Charles Schwab (401k) -MedAmerica,		\$15,001 - \$50,000	Tax-Deferred	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Inc.					
DESCRIPTION: Charles Schwab (401k) - MedAmerica, Inc. - Vanguard Explorer ADM					
Charles Schwab (Strategic) - Schwab Money Market Fund	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Charles Schwab (Strategic) Money Market	SP	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Money Market fund					
Charles Schwab (Trust)	DC	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Charles Schwab (Trust) - McKesson Corp. Stock					
Charles Schwab (Trust)	DC	\$1 - \$1,000	None		<input type="checkbox"/>
DESCRIPTION: Charles Schwab (Trust) - Pac-West Telecomm., Inc. Stock					
Charles Schwab (Trust)	DC	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Charles Schwab (Trust) - Walt Disney Co. Stock					
Charles Schwab (Trust) - Comcast Corp. Stock	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Stock					
Charles Schwab Community Property	SP	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Charles Schwab Community Property Money Market					
Charles Schwab Corporation (SCHW)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Charles Schwab (Strategic) Danos Corp. Stock					
Charles Schwab Corporation (SCHW)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Charles Schwab (Strategic) Dell, Inc. Stock					
Charles Schwab Corporation (SCHW)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Charles Schwab - Graham-Field Health, PR Stock					
Charles Schwab Corporation (SCHW)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Charles Schwab - Healthsport, Inc. Stock					
Charles Schwab Corporation (SCHW)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Charles Schwab - Liberty Media Interactive Stock					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Charles Schwab Corporation (SCHW)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Charles Schwab - Mphase Technologies, Inc. - Stock					
Charles Schwab Corporation (SCHW)	SP	\$1 - \$1,000	Dividends	None	<input type="checkbox"/>
DESCRIPTION: Ascent Stock					
Charles Schwab Corporation (SCHW)	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Charles Schwab - Black Diamond, formerly known as Clarus Corp. Stock					
Charles Schwab Corporation (SCHW)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Charles Schwab - AngloGold Ashanti Lt Stock					
Charles Schwab Corporation (SCHW)	JT	\$500,001 - \$1,000,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Charles Schwab - Muni Bond Fund					
Charles Schwab Corporation (SCHW)	SP	\$1 - \$1,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Charles Schwab - Trimedyne, Inc. - Stock					
Charles Schwab Corporation (SCHW)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Charles Schwab - Discovery Holding Co. Stock					
Charles Schwab Money Market (SARSEP)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Money Market Fund					
City National Bank		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Conceptus		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Stock Options					
Fidelity - EDU - CA Guaranteed Option Fund	DC	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: College Fund					
Gabelli Asset Fund	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Money Market Fund					
Gabelli Small Capital Growth	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Money Market Fund					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Guardian (Whole Life Insurance Policy)	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
Nationwide Retirement Solution (401k)		\$15,001 - \$50,000	Tax-Deferred	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Nationwide Retirement Solutions (401k)) International Fund					
Nationwide Retirement Solutions (401k)		\$1,001 - \$15,000	Tax-Deferred	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Nationwide Retirement Solutions (401k)) Short-term Investment Fund					
Nationwide Retirement Solutions (401k)		\$50,001 - \$100,000	Tax-Deferred	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Nationwide Retirement Solutions (401k)) Large Cap Fund					
Nuveen California Ins. Muni A Fund		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Nuveen California Insurance Muni A Fund					
PG & E Stock	DC	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: PG & E Stock (one share) - COMMENTS: Dividends - \$1 - \$200 (\$4.00)					
Red Wheel/Weiser/Conari - Book		Undetermined	Dividends/None/Other:Royalties	None	<input type="checkbox"/>
DESCRIPTION: Book Contract					
Rental Property	JT	\$500,001 - \$1,000,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Palm Springs, CA, US					
Rental Property		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Burlingame, CA, US COMMENTS: (DC owns a percentage of rental property)					
Rental Property #1		\$500,001 - \$1,000,000	Rent	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Sacramento, CA, US DESCRIPTION: Rental Property #1 - Sacramento, CA 95814 - Partial Inheritance					
Rental Property #2		\$500,001 - \$1,000,000	Rent	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Sacramento, CA, US DESCRIPTION: Rental Property #2 - Sacramento, CA 95814 - Partial Inheritance					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Rental Property #3		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Sacramento, CA, US DESCRIPTION: Rental Property #3 - Inheritance - Sacramento, CA 95814					
S. San Francisco Property		\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: South San Francisco, CA, US					
Schwab Fundamental U.S. Large Company Index ETF (FNDX)	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Schwab - Microsoft Stock					
Schwab Fundamental U.S. Large Company Index ETF (FNDX)	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Schwab - INTEL stock					
Schwab U.S. Small-Cap ETF (SCHA)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Sterling Bank	DC	\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Sterling Bank		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Sterling Bank - Speier Family Partners (Assets)		\$15,001 - \$50,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Sterling Trust Serpah Partners II Stock	SP	\$100,001 - \$250,000	Dividends	None	<input type="checkbox"/>
DESCRIPTION: Stock					
Strategic Investment Solutions (CA)	SP	\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
DESCRIPTION: Stock Options					
U.S. Bank		\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
U.S. Bank - Speier Family Partners		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Vanguard (IRA) - International Grownth Adm Fund	SP	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard (IRA) Explorer Fund Admiral	SP	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard (IRA) Federal Money Market Fund	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Vanguard (IRA) Growth Equity Fund	SP	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard (IRA) International Value Fund	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Vanguard (IRA) Windsor II Fund Adm	SP	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
VIRsSys Corp. (Stock) VA	SP	\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>
DESCRIPTION: Stock					

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Schwab U.S. Small-Cap ETF (SCHA)	SP	11/20/2014	P	\$50,001 - \$100,000	

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Capitol Hill Towers - Co-Op, Washington, D.C.	November 2008	Mortgage in Washington, D.C.	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Director	Philanthropic Ventures Foundation (PVF), 1222 Presentation Park Way, Oakland, CA 94612-1201

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
March 2006	Red Wheel/Weiser/Conari, 65 Parker Street, Suite 7, Newburypost, MD 01959	Book agreement
November 2006	CA State Legislative Pension Fund	Continued participation

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Aspen Institute	02/17/2014	02/22/2014	DCA - Columbia - SFO	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Jackie Speier , 08/13/2015