

UNITED STATES HOUSE OF REPRESENTATIVES

CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

Page 1 of 7

LEGISLATIVE RESOURCE CENTER
2010 JUN 15 AM 9:07

ADAM HUGHES PUTNAM
(Full Name)

202-225-1252
(Daytime Telephone)

**HAND
DELIVERED**

MC

Filer ☒ Member of the U.S. House of Representatives
State: FL District: 12

Officer Or Employee
Employing Office:

Report Type ☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. If yes, complete and attach Schedule I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. If yes, complete and attach Schedule VI. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. If yes, complete and attach Schedule II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. If yes, complete and attach Schedule VII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. If yes, complete and attach Schedule III. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. If yes, complete and attach Schedule VIII. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. If yes, complete and attach Schedule IV. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	X. If yes, complete and attach Schedule IX.	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ADAM HUGHES PUTNAM

Page 2 of 7

BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source	Year-End Value of Asset	Type of Income	Amount of Income	Transaction
Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.	Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.				
If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.				
PUTNAM GROVES, INC. OWNERSHIP SHARE FARMING-CITRUS & CATTLE BARTOW, FLORIDA BOOK VALUE	\$1,000,001 - \$5,000,000	S CORPORATION INCOME/None	\$100,001 - \$1,000,000	
DUDLEY PUTNAM, INC. OWNERSHIP SHARE CARETAKING-CITRUS & CATTLE BARTOW, FLORIDA BOOK VALUE	\$15,001 - \$50,000	None	NONE	
UNITED STATES SAVINGS BONDS	\$1,001 - \$15,000	INTEREST	DEFERRED TO MATURITY	
FLORIDA PREPAID COLLEGE PLAN	\$15,001 - \$50,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ADAM HUGHES PUTNAM

Page 3 of 7

PARTIAL INTEREST-OFFICE
AND SHOP
2310 80 FOOT ROAD
BARTOW, FL 33830

\$15,001 - \$50,000 RENT \$2,501 - \$5,000

VANGUARD VALUE INDEX FUND

\$250,001 - \$500,000 DIVIDENDS \$1,001 - \$2,500 P

SUN TRUST BANK ACCOUNTS

\$50,001 - \$100,000 INTEREST \$201 - \$1,000

VANGUARD HIGH YIELD T/E FUND

None INTEREST \$2,501 - \$5,000 PS

VANGUARD LONG TERM T/E FUND

\$50,001 - \$100,000 INTEREST \$2,501 - \$5,000 P

VANGUARD T/E MONEY MARKET FUND

\$250,001 - \$500,000 INTEREST \$1,001 - \$2,500

VANGUARD GROWTH INDEX FUND

\$250,001 - \$500,000 DIVIDENDS \$201 - \$1,000 P

VANGUARD MID-CAP INDEX FUND

\$100,001 - \$250,000 DIVIDENDS \$1,001 - \$2,500 P

VANGUARD SMALL CAP GROWTH INDEX FUND

\$50,001 - \$100,000 DIVIDENDS \$201 - \$1,000

VANGUARD TOTAL INT'L STOCK INDEX FUND FKA TOTAL INT'L INDEX FUND

\$100,001 - \$250,000 DIVIDENDS \$2,501 - \$5,000 P

VANGUARD 529 PLANS

\$50,001 - \$100,000 None NONE

T ROWE PRICE BLUE CHIP GROWTH

\$50,001 - \$100,000 DIVIDENDS \$1 - \$200

T ROWE PRICE EQUITY INCOME

\$50,001 - \$100,000 DIVIDENDS \$1,001 - \$2,500 P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ADAM HUGHES PUTNAM

Page 4 of 7

VANGUARD INT-TERM T/E FUND ADM	\$100,001 - \$250,000	INTEREST	\$2,501 - \$5,000	P
VANGUARD LTD-TERM T/E FUND ADM	\$100,001 - \$250,000	INTEREST	\$2,501 - \$5,000	P
VANGUARD LARGE CAP INDEX FUND	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
VANGUARD PRIME MONEY MARKET FUND	\$50,001 - \$100,000	DIVIDENDS	\$1 - \$200	
VANGUARD TOTAL STOCK MARKET INDEX FUND ADM	None	DIVIDENDS/CAPITAL GAINS	\$100,001 - \$1,000,000	S
VANGUARD SMALL CAP INDEX FUND	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
VANGUARD SMALL CAP VALUE INDEX FUND	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	P
COMMUNITY NATIONAL BANK ACCOUNTS	\$500,001 - \$1,000,000	INTEREST	\$5,001 - \$15,000	
BRANCH BANKING & TRUST CO BANK ACCOUNTS	\$1 - \$1,000	INTEREST	\$15,001 - \$50,000	
PARTIAL INTEREST, HOUSE, LAKE WALES, FLORIDA	\$15,001 - \$50,000	RENT	\$1,001 - \$2,500	
VANGUARD SHORT TERM TAX EXEMPT FUND	\$50,001 - \$100,000	INTEREST	\$1 - \$200	P

SCHEDULE IV - TRANSACTIONS

Name ADAM HUGHES PUTNAM

Page 5 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	T ROWE PRICE EQUITY INCOME	P	N/A	QUARTERLY	\$1,001 - \$15,000
	VANGUARD GROWTH INDEX FUND	P	N/A	12/21/09	\$100,001 - \$250,000
	VANGUARD HIGH YIELD T/E FUND	P	N/A	MONTHLY	\$1,001 - \$15,000
	VANGUARD HIGH YIELD T/E FUND	S	No	12/21/09	\$50,001 - \$100,000
	VANGUARD INT-TERM T/E FUND ADM	P	N/A	MONTHLY	\$1,001 - \$15,000
	VANGUARD LONG TERM T/E FUND	P	N/A	MONTHLY	\$1,001 - \$15,000
	VANGUARD LTD-TERM T/E FUND ADM	P	N/A	MONTHLY	\$1,001 - \$15,000
	VANGUARD MID-CAP INDEX FUND	P	N/A	03/20/09 12/23/09	\$1,001 - \$15,000
	VANGUARD SHORT TERM TAX EXEMPT FUND	P	N/A	12/21/09	\$50,001 - \$100,000
	VANGUARD SMALL CAP VALUE INDEX FUND	P	N/A	03/20/09 12/23/09	\$1,001 - \$15,000
	VANGUARD TOTAL INT'L STOCK INDEX FUND FKA TOTAL INT'L INDEX FUND	P	N/A	12/30/09	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name ADAM HUGHES PUTNAM

Page 6 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	VANGUARD TOTAL STOCK MARKET INDEX FUND ADM	S	Yes	12/21/09	\$250,001 - \$500,000
	VANGUARD VALUE INDEX FUND	P	N/A	QUARTERLY	\$1,001 - \$15,000

SCHEDULE VIII - POSITIONS

Name ADAM HUGHES PUTNAM

Page 7 of 7

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

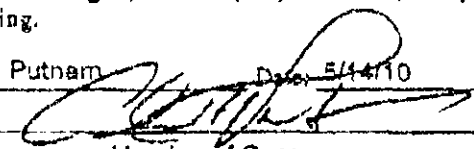
Position	Name of Organization
BOARD MEMBER	SUCCESS BY SIX
ADVISORY BOARD MEMBER	VISTE
BOARD MEMBER	POLK COUNTY FAMILY CAREGIVERS
BOARD MEMBER	YOUNG PATRIOTS FOUNDATION

U.S. HOUSE OF REPRESENTATIVES
COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

FINANCIAL DISCLOSURE EXTENSION REQUEST FORM

House Members, officers, or employees who are required to file a Financial Disclosure Statement (FD) under the Ethics in Government Act are permitted by federal law to request an extension of up to 90 days. Filers may request an extension for their 2009 Calendar Year FD by filing this form on or before the filing deadline of May 17, 2010. Filers may request an extension for filing other FD statements by filing this form before the applicable filing deadline. An FD filed under such an extension is not considered late. However, by law, an individual who files a report more than 30 days after it is due without having received an extension must pay a \$200 filing fee. Completed, signed extension request forms must be received by the Standards Committee on or before the filing deadline. Extension requests may be delivered by EMAIL to standardscommittee@mail.house.gov; FAX to (202) 225-3713; or by MAIL or HAND to 508 Ford House Office Building.

Name of Requester: Adam H. Putnam Date: 5/14/10

Signature of Requester: 

Employing Member/Committee/Office: Member of Congress

Office Address: 442 Cannon HOB, Washington, DC 20215-0912

Email Address: ahp@mail.house.gov

Telephone Number: 202-225-1252

Financial Disclosure Statement Report Type (check one):

☒ Annual ☐ Amendment ☐ New Employee ☐ Termination _____
Termination Date

Calendar Year for which extension is requested (check one):

☒ 2009 Calendar Year (report due 5/17/2010) ☐ 2010 Calendar Year ☐ Other _____
Specify year

The length of time for which extension is requested (check one):

☒ 30 days ☐ 60 days ☐ 90 days ☐ Other _____
Specify number of days or specific date

For Standards Committee Use Only

Your request for an extension of time in which to file the Financial Disclosure Statement referenced above is hereby granted. Your report must be filed on or before _____. The Standards Committee may grant additional requests, which may not, in the aggregate, exceed 90 days from the original due date.

R. Blake Chisam, Chief Counsel

Date

Zoe Lofgren, Chair

Jo Bonner, Ranking Republican Member