



Filing ID #10010421

# FINANCIAL DISCLOSURE REPORT

---

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

---

## FILER INFORMATION

**Name:** Hon. Kathy Castor  
**Status:** Member  
**State/District:** FL14

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2015  
**Filing Date:** 05/13/2016

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alcoa Inc. (AA)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
American International Group, Inc. New (AIG)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
AT&T Inc. (T)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Bank of America Checking	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bank of America Corporation (BAC)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Bank of America Savings	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Capitol One 360 Savings	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Caterpillar, Inc. (CAT)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CL 529 ⇒ Vanguard 500 Index 529	DC	\$50,001 - \$100,000	None		<input type="checkbox"/>
LOCATION: FL					
CL 529 ⇒ Vanguard Age Based Growth	DC	None	None		<input checked="" type="checkbox"/>
LOCATION: FL					
CL 529 ⇒ Vanguard Conserv Growth (Agg Age-Based)	DC	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
LOCATION: FL					
CL 529 ⇒ Vanguard Small Cap Index	DC	\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: FL					
CL 529 ⇒ Vanguard Total Inter Stock	DC	\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: FL					
CL 529 ⇒ Vanguard Windsor Fund	DC	\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: FL					
Dow Chemical Company (DOW)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
E*TRADE Financial Corporation (ETFC)	JT	None	None		<input checked="" type="checkbox"/>
E*Trade Savings	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Everbank Checking	JT	None	Interest	\$1 - \$200	<input type="checkbox"/>
Everbank Money Market	DC	None	Interest	\$1 - \$200	<input type="checkbox"/>
Everbank Money Market	DC	None	Interest	\$1 - \$200	<input type="checkbox"/>
Everbank Savings	JT	None	Interest	\$1 - \$200	<input type="checkbox"/>
Franklin Resources, Inc. (BEN)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Growth	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A	JT	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Small Cap	JT	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
General Electric Company (GE)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
IRA ⇒ AT&T Inc. (T)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
IRA ⇒ T Rowe Price Blue Chip Growth		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Price Diversified Small Cap Growth		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Price Equity Index		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
IRA ⇒ Verizon Communications Inc. (VZ)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
JL 529 ⇒ Vanguard 500 Index 529	DC	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
LOCATION: FL					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
JL 529 ⇒ Vanguard Age Based Growth  LOCATION: FL	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
JL 529 ⇒ Vanguard Small Cap Index  LOCATION: FL	DC	\$15,001 - \$50,000	None		<input type="checkbox"/>
JL 529 ⇒ Vanguard Total Inter Stock  LOCATION: FL	DC	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
JL 529 ⇒ Vanguard Windsor Fund  LOCATION: FL	DC	\$15,001 - \$50,000	None		<input type="checkbox"/>
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index	SP	\$500,001 - \$1,000,000	None		<input checked="" type="checkbox"/>
Spouse 401K ⇒ Principal MidCap Separate Account	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
Spouse 401K ⇒ Principal US Property Sep Acct	SP	\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>
The Blackstone Group L.P. Common Units Representing Limited Partnership Interests (BX)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Index 500	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard US Value Fund	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Wells Fargo Checking	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Savings	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
CL 529 ⇒ Vanguard Age Based Growth  LOCATION: FL	DC	10/5/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
CL 529 ⇒ Vanguard Conserv Growth (Agg Age-Based)  LOCATION: FL	DC	10/5/2015	P	\$15,001 - \$50,000	
E*TRADE Financial Corporation (ETFC)	JT	02/6/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income	JT	01/9/2015	P	\$15,001 - \$50,000	
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income	JT	12/15/2015	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Growth	JT	12/1/2015	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A	JT	Monthly	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A	JT	01/8/2015	P	\$15,001 - \$50,000	
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A	JT	05/26/2015	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends	JT	12/1/2015	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small Cap	JT	12/15/2015	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	01/9/2015	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	03/2/2015	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	06/1/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	09/1/2015	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	12/1/2015	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Utilities	JT	12/1/2015	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z	JT	12/18/2015	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z	JT	12/18/2015	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z	JT	12/18/2015	P	\$1,001 - \$15,000	
IRA ⇒ T Rowe Price Blue Chip Growth		12/15/2015	P	\$1,001 - \$15,000	
IRA ⇒ T Rowe Price Diversified Small Cap Growth		12/15/2015	P	\$1,001 - \$15,000	
JL 529 ⇒ Vanguard 500 Index 529	DC	07/23/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard 500 Index 529	DC	09/1/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard 500 Index 529	DC	10/5/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard 500 Index 529	DC	11/2/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard 500 Index 529	DC	11/30/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard Total Inter Stock	DC	07/23/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index	SP	06/30/2015	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index	SP	07/31/2015	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index	SP	08/31/2015	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index	SP	09/30/2015	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index	SP	10/31/2015	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index	SP	11/20/2015	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index	SP	12/31/2015	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index	SP	12/31/2015	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal MidCap Separate Account	SP	12/31/2015	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal US Property Sep Acct	SP	12/31/2015	P	\$1,001 - \$15,000	
The Blackstone Group L.P. Common Units Representing Limited Partnership Interests (BX)	JT	02/6/2015	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500	JT	01/8/2015	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500	JT	03/20/2015	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500	JT	06/19/2015	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500	JT	09/18/2015	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒	JT	12/18/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Index 500					
Vanguard Joint Retirement ⇒ Vanguard US Value Fund	JT	01/8/2015	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard US Value Fund	JT	12/16/2015	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard US Value Fund	JT	12/16/2015	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form.

**SCHEDULE C: EARNED INCOME**

Source	Type	Amount
Butler, Pappas, Weihmuller and Craig	Spouse Salary	N/A

**SCHEDULE D: LIABILITIES**

None disclosed.

**SCHEDULE E: POSITIONS**

Position	Name of Organization
Vice President/Director	Castor-Bell-Lewis Family Foundation

**SCHEDULE F: AGREEMENTS**

None disclosed.

**SCHEDULE G: GIFTS**

Source	Description	Value
Bunting Charity Lodge (New York, NY, US)	College Scholarship for Dependent Child	\$580.00

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**



None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- CL 529 (Owner: DC)  
LOCATION: FL
- Franklin Templeton Joint Retirement (Owner: JT)
- IRA
- JL 529 (Owner: DC)  
LOCATION: FL
- Spouse 401K (Owner: SP)
- Vanguard Joint Retirement (Owner: JT)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Kathy Castor , 05/13/2016