



Filing ID #10027434

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Tom Malinowski
Status: Member
State/District: NJ07

FILING INFORMATION

Filing Type: New Filer Report
Filing Year: 2018
Filing Date: 08/13/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Air Lease Corporation Class A (AL) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Alpha and Omega Semiconductor Limited - Common Shares (AOSL) [ST]		\$15,001 - \$50,000	None		
Amber Road, Inc. (AMBR) [ST]		\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	None
Ameresco, Inc. Class A (AMRC) [ST]		\$50,001 - \$100,000	Capital Gains	\$1,001 - \$2,500	None
Apollo Endosurgery, Inc. (APEN) [ST]		\$15,001 - \$50,000	None		
athenahealth, Inc. (ATHN) [ST]		None	Capital Gains	\$1,001 - \$2,500	None
athenahealth, Inc. (ATHN) [ST]		\$15,001 - \$50,000	Capital Gains	None	\$5,001 - \$15,000
Bank of America checking account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
BlackLine, Inc. (BL) [ST]		\$15,001 - \$50,000	None		
Canadian Energy Service Common Shares (CESDF) [ST]		None	Capital Gains	\$5,001 - \$15,000	None
Canadian Energy Service Common Shares (CESDF) [ST]		\$15,001 - \$50,000	None		
CareDx, Inc. (CDNA) [ST]		\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	None
Carnival Corporation (CCL) [ST]		None	Capital Gains	\$1,001 - \$2,500	None
Castlight Health, Inc. Class B (CSLT) [ST]		\$15,001 - \$50,000	None		
Celcuity Inc. (CELC) [ST]		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	None
Chembio Diagnostics, Inc. (CEMI) [ST]		\$1,001 - \$15,000	None		
CNFinance Holdings Limited American Depositary Shares, each representing twenty (CNF) [ST]		\$1,001 - \$15,000	None		
Colgate-Palmolive Company (CL) [ST]		None	Capital Gains	\$201 - \$1,000	None
CommVault Systems, Inc. (CVLT) [ST]		None	Capital Gains	\$15,001 - \$50,000	None
CommVault Systems, Inc. (CVLT) [ST]		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	None
CREF-Bond Market [PE]		\$15,001 - \$50,000	None		
CREF-Social Choice [PE]		\$50,001 - \$100,000	None		
CREF-Stock [PE]		\$100,001 - \$250,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
e.l.f. Beauty, Inc. (ELF) [ST]		None	Capital Gains	\$1 - \$200	None
Equinix, Inc. (EQIX) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$15,001 - \$50,000
Everbridge, Inc. (EVBG) [ST]		\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	None
Five9, Inc. (FIVN) [ST]		\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000	\$15,001 - \$50,000
Flexion Therapeutics, Inc. (FLXN) [ST]		\$1,001 - \$15,000	None		
General Finance Corporation (GFN) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$201 - \$1,000
General Finance Corporation - Cumulative Redeemable Perpetual Preferred Series C (GFNCP) [ST]		\$1,001 - \$15,000	None		
Health Insurance Innovations, Inc. - Class A (HIIQ) [ST]		None	Capital Gains	None	\$5,001 - \$15,000
Ingles Markets, Incorporated - Class A (IMKTA) [ST]		None	Capital Gains	\$201 - \$1,000	None
Instructure, Inc. (INST) [ST]		\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	None
Instructure, Inc. (INST) [ST]		\$15,001 - \$50,000	None		
Lumber Liquidators Holdings, Inc (LL) [ST]		\$15,001 - \$50,000	Capital Gains	None	\$15,001 - \$50,000
Macerich Company (MAC) [ST]		None	Capital Gains	\$201 - \$1,000	None
Navigator Holdings Ltd. Ordinary Shares (NVGS) [ST]		\$15,001 - \$50,000	None		
Neovasc Inc. - Common Shares (NVCN) [ST]		\$1,001 - \$15,000	None		
New Relic, Inc. (NEWR) [ST]		\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	\$5,001 - \$15,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Norwegian Cruise Line Holdings Ltd. Ordinary Shares (NCLH) [ST]		None	Capital Gains	\$5,001 - \$15,000	None
Pan Orient Energy Corp Ordinary Shares (POEFF) [ST]		\$1,001 - \$15,000	None		
Power Solutions International, Inc. (PSIX) [ST]		\$15,001 - \$50,000	None		
Profound Medcial Corporation (stock) [OT] DESCRIPTION: Stock ticker is PRFMF (not recognized by this system)		\$1,001 - \$15,000	None		
Red Hat, Inc. (RHT) [ST]		\$50,001 - \$100,000	Capital Gains	\$201 - \$1,000	\$15,001 - \$50,000
Rudolph Technologies, Inc. (RTEC) [ST]		\$15,001 - \$50,000	Capital Gains	None	\$15,001 - \$50,000
SecureWorks Corp. - Class A (SCWX) [ST]		\$15,001 - \$50,000	None		
Sonos, Inc. (SONO) [ST]		None	Capital Gains	\$5,001 - \$15,000	None
Sprouts Farmers Market, Inc. (SFM) [ST]		None	Capital Gains	\$1,001 - \$2,500	None
Suncor Energy Inc. (SU) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Talend S.A. - American Depositary Shares (TLND) [ST]		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	None
TIAA-CREF Pension Plan [PE]		\$100,001 - \$250,000	None		
TIAA-Real Estate [PE]		\$15,001 - \$50,000	None		
United Natural Foods, Inc. (UNFI) [ST]		None	Capital Gains	\$2,501 - \$5,000	None
WPX Energy, Inc. (WPX) [ST]		\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	None

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Suntrust Bank	1995	home mortgage	\$250,001 - \$500,000
	Gagnon Securities	2018	Anika Therapeutics, short position, open	\$50,001 - \$100,000
	Gagnon Securities	2018	Carnival Corp, short position, open	\$15,001 - \$50,000
	Gagnon Securities	2018	Dave & Busters, short position, open	\$15,001 - \$50,000
	Gagnon Securities	2018	Domo Inc, short position, open	\$15,001 - \$50,000
	Gagnon Securities	2018	General Mills, short position, open	\$15,001 - \$50,000
	Gagnon Securities	2018	Macerich, short position, open	\$10,000 - \$15,000
	Gagnon Securities	2018	Post Hldngs, short position, open	\$15,001 - \$50,000
	Gagnon Securities	2018	Regency Centers, short position, open	\$15,001 - \$50,000
	Gagnon Securities	2018	Simon Ppty Group, short position, open	\$15,001 - \$50,000
	Gagnon Securities	2018	Tanger Factory Outlet, short position, open	\$15,001 - \$50,000
	Gagnon Securities	2018	Taubman Centers, short position, open	\$10,000 - \$15,000
	Gagnon Securities	2018	Ishares 20 YR TR, short position, open	\$15,001 - \$50,000
	Gagnon Securities	2018	AMN Healthcare Services, short position, closed	\$15,001 - \$50,000
	Gagnon Securities	2018	Avalon Bay, short position, closed	\$15,001 - \$50,000
	Gagnon Securities	2018	Brinker Intl, short position, closed	\$15,001 - \$50,000
	Gagnon Securities	2018	Car Gurus, short position, closed	\$15,001 - \$50,000
	Gagnon Securities	2018	Dine Brands, short position, closed	\$15,001 - \$50,000
	Gagnon Securities	2018	Equity Residential, short position, closed	\$15,001 - \$50,000
	Gagnon Securities	2018	Essex Ppty, short position, closed	\$15,001 - \$50,000
	Gagnon Securities	2018	Federal Realty InvestTR, short position, closed	\$15,001 - \$50,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Gagnon Securities	2018	Kellogg Company, short position, closed	\$15,001 - \$50,000
	Gagnon Securities	2018	Natera Inc, short position, closed	\$15,001 - \$50,000
	Gagnon Securities	2018	Royal Carribean, short position, closed	\$15,001 - \$50,000
	Gagnon Securities	2018	Sonic Corp, short position, closed	\$15,001 - \$50,000
	Gagnon Securities	2018	UDR Inc, short position, closed	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Member, Board of Advisors	Inle Advisory Group

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
1992	Ford Foundation	Continuing participation in Employee Benefit Plan: I will continue to participate in Ford Foundation TIAA-CREF plan. Ford has not made further contributions since my resignation.
2001	Human Rights Watch	Continuing participation in Employee Benefit Plan: I will continue to participate in Human Rights Watch TIAA-CREF plan. Human Rights Watch has not made further contributions since my resignation.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Tom Malinowski , 08/13/2019