



Filing ID #10015582

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Xavier Becerra  
**Status:** Former Member  
**State/District:** CA34

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2013  
**Filing Date:** 05/3/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1005 Mooney Drive, Monterey Park, CA	JT	\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Monterey Park, CA, US					
101 North Carolina Place, SE, Apt. H, Washington, DC	JT	\$100,001 - \$250,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Washington, DC, US					
1633 Hill Drive, Los Angeles, CA	JT	\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Los Angeles, CA, US					
American Funds Money Fund - 529	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 529 College Savings Plan					
American Funds New Perspective (NPFFX)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
American Funds New Perspective (NPFFX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Barclays Bank - Bond	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Bond					
Capital One Bank - CD	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Capital World Bond Fund	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 529 College Savings Plan					
Capital World Growth & Income	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Capital World Growth & Income	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Chase - Checking	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Congressional Federal Credit Union - Checking & Savings	JT	\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Discover Bank - CD	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Franklin Mutual Discovery A	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Franklin Mutual Discovery A	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Fundamental Investors - 529	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 529 College Savings Plan					
Goldman Sachs Bank - CD	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Growth Fund of America Class F	DC	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Growth Fund of America Class F	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Health Care Reit Inc.	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Health Care Reit Inc.	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Howard County - Municipal Bond	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Municipal Bond					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
HSBC Finance - Bond	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Bond					
Huntington National Bank - Bond	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Bond					
Income Fund of America - 529	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 529 College Savings Plan					
ING Corporate Leaders	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
ING Corporate Leaders	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Invesco Van Kampen Amer (MSAVX)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Invesco Van Kampen Amer (MSAVX)	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Investment Company of America - 529	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 529 College Savings Plan					
JP Morgan Chase - Bond	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Bond					
JP Morgan Chase - Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Bond					
Landmark's Apartment Trust	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Marsico 21st Century Fund	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Marsico 21st Century Fund	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch - Bond	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Bond					
Morgan Stanley - Bond	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Bond					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
New Perspective Fund - 529	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 529 College Savings Plan					
Protective Life - Bond	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Bond					
Rainier Small / Mid Cap	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Rainier Small / Mid Cap	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Schwab Money Fund	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Schwab Money Market Fund	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Small Cap World Fund - 529	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 529 College Savings Plan					
The Bond Fund of America - 529	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 529 College Savings Plan					
Washington Mutual Investor Fund	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Washington Mutual Investor Fund	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Aegon NV - Bond	DC	06/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Credit Suisse NY - Bond	DC	08/15/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Credit Suisse NY - Bond	SP	05/15/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Credit Suisse USA - Bond	SP	08/15/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Credit Suisse USA - Bond	DC	08/15/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
GE Capital - Bond		09/20/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Healthcare Trust of America	JT	10/29/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Healthcare Trust of America B2	JT	10/29/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Healthcare Trust of America B3	JT	10/29/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo Bank - Bond	SP	01/31/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Bank - Bond	SP	10/16/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Virginia Hospital Center	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	OCWAN	June 2005	Mortgage on 101 North Carolina Place, SE Apt. H, Washington, DC	\$50,001 - \$100,000
JT	Green Tree	July 2003	Mortgage on 1633 Hill Drive, Los Angeles, CA	\$100,001 - \$250,000
JT	Sun Trust Mortgage	August 2009	Mortgage on 5406 Center Street, Chevy Chase, MD	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board of Advisors	Close Up Foundation
Advisory Council Member	Congressional Hispanic Caucus Institute

Position	Name of Organization
Regent	Smithsonian Institution
Board Member	Smithsonian Institution National Latino Board
Board Member	Center for the Advancement of Hispanics in Science and Engineering Education
Council Member	Smithsonian National Museum of African American History and Culture

## **SCHEDULE F: AGREEMENTS**

None disclosed.

## **SCHEDULE G: GIFTS**

None disclosed.

## **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Xavier Becerra , 05/3/2017