# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Hon. Ralph Lee Abraham

Status: Member State/District: LA05

#### FILING INFORMATION

**Filing Type:** Amendment Report

Filing Year: 2015

**Filing Date:** 06/26/2018

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset   | Owner Value of Asset         | Income<br>Type(s)           | Income             | Tx. > \$1,000? |
|---|------------------------------|-----------------------------|--------------------|----------------|
| A & B of Richland, LLC-Note Receivable [DO]                                   | \$15,001 - \$50,000          | Interest                    | \$1,001 - \$2,500  | П              |
| Abraham Farms, LLC [OL]  LOCATION: Rayville, LA, US  DESCRIPTION: Farm        | \$1,000,001 -<br>\$5,000,000 | None                        |                    |                |
| Air 2 There, LLC, 100% Interest [OL]  | None                         | None                        |                    |                |
| Location: Rayville, LA, US Description: Flight Company                        |                              |                             |                    |                |
| Air 2 There, LLC- Note Receivable [DO]  | \$50,001 -<br>\$100,000      | Interest                    | \$1 - \$200        |                |
| Allied Rehab Staffing, LLC [OL]   | \$15,001 - \$50,000          | Partnership<br>Income       | \$1,001 - \$2,500  |                |
| Location: Rayville, LA, US Description: Rehab Services                        |                              |                             |                    |                |
| AXA Advisors Brokerage Account ⇒ Franklin Growth Allocation Fund Class A [MF] | \$100,001 -<br>\$250,000     | Capital Gains,<br>Dividends | \$5,001 - \$15,000 |                |

| Asset   | Owner | Value of Asset               | Income<br>Type(s) | Income             | Tx. > \$1,000? |
|---|-------|------------------------------|-------------------|--------------------|----------------|
| AXA Advisors Brokerage Account ⇒ Franklin Income Fund Class A [MF]                    |       | \$100,001 -<br>\$250,000     | Dividends         | \$5,001 - \$15,000 |                |
| AXA Athena Universal Life Insurance [WU]  |       | \$100,001 -<br>\$250,000     | Tax-Deferred      |                    |                |
| AXA Equitable Retirement Cornerstone ⇒ AXA Moderate Growth Strategy Fund #03075 [MF]  | SP    | \$250,001 -<br>\$500,000     | Tax-Deferred      |                    | П              |
| AXA Equitable Retirement Cornerstone ⇒ AXA Moderate Growth Strategy Fund #03075 [MF]  |       | \$1,000,001 -<br>\$5,000,000 | Tax-Deferred      |                    |                |
| AXA Equitable Rollover IRA $\Rightarrow$ AXA/ Large Cap Value Managed Volatility [MF] | SP    | \$1,001 - \$15,000           | Tax-Deferred      |                    |                |
| AXA Equitable Rollover IRA ⇒ AXA/ Mid Cap Value Managed Volatility [MF]               | SP    | \$1,001 - \$15,000           | Tax-Deferred      |                    | П              |
| AXA Equitable Rollover IRA $\Rightarrow$ EQ/ Alliance Bernstein Small Cap Growth [MF] | SP    | \$1,001 - \$15,000           | Tax-Deferred      |                    |                |
| AXA Equitable Rollover IRA $\Rightarrow$ Eq/ Common Stock Index [MF]                  | SP    | \$1,001 - \$15,000           | Tax-Deferred      |                    |                |
| AXA Equitable SEP $\Rightarrow$ AXA/ Franklin Balanced Managed Volatility [MF]        |       | \$1,001 - \$15,000           | Tax-Deferred      |                    |                |
| AXA Equitable SEP $\Rightarrow$ AXA/ Global Equity Managed Volatility [MF]            |       | \$1,001 - \$15,000           | Tax-Deferred      |                    | П              |
| AXA Equitable SEP $\Rightarrow$ AXA/ Large Cap Value Managed Volatility [MF]          |       | \$1,001 - \$15,000           | Tax-Deferred      |                    |                |
| AXA Equitable SEP ⇒ AXA/ Mutual Large Cap Managed Volatility [MF]                     |       | \$1,001 - \$15,000           | Tax-Deferred      |                    |                |
| AXA Equitable SEP $\Rightarrow$ AXA/ Templeton Global Equity Managed Volatility [MF]  |       | \$1,001 - \$15,000           | Tax-Deferred      |                    |                |
| AXA Equitable SEP $\Rightarrow$ Eq/ Common Stock Index [MF]                           |       | \$1,001 - \$15,000           | Tax-Deferred      |                    |                |
|   |       |                              |                   |                    |                |

| Asset   | Owner | Value of Asset      | Income<br>Type(s) | Income | Tx. > \$1,000? |
|---|-------|---------------------|-------------------|--------|----------------|
| AXA Equitable SEP ⇒ Eq/ Equity 500 Index [MF]   |       | \$1,001 - \$15,000  | Tax-Deferred      |        |                |
| AXA Equitable SEP $\Rightarrow$ EQ/ Mid Cap Index [MF]                                      |       | \$1,001 - \$15,000  | Tax-Deferred      |        | П              |
| AXA Equitable Simple IRA ⇒ AXA/ Franklin Balanced Managed Volatility [MF]                   |       | \$15,001 - \$50,000 | Tax-Deferred      |        |                |
| AXA Equitable Simple IRA ⇒ AXA/ Franklin Balanced Managed Volatility [MF]                   | SP    | \$15,001 - \$50,000 | Tax-Deferred      |        | П              |
| AXA Equitable Simple IRA ⇒ AXA/ Global Equity Managed Volatility [MF]                       | SP    | \$15,001 - \$50,000 | Tax-Deferred      |        |                |
| AXA Equitable Simple IRA ⇒ AXA/ Global Equity Managed Volatility [MF]                       |       | \$15,001 - \$50,000 | Tax-Deferred      |        | П              |
| AXA Equitable Simple IRA ⇒ AXA/ Large Cap Value Managed Volatility [MF]                     |       | \$15,001 - \$50,000 | Tax-Deferred      |        |                |
| AXA Equitable Simple IRA ⇒ AXA/ Large Cap Value Managed Volatility [MF]                     | SP    | \$15,001 - \$50,000 | Tax-Deferred      |        | П              |
| AXA Equitable Simple IRA ⇒ AXA/ Mid Cap Value Managed Volatility [MF]                       | SP    | \$1,001 - \$15,000  | Tax-Deferred      |        |                |
| AXA Equitable Simple IRA ⇒ AXA/ Mid Cap Value Managed Volatility [MF]                       |       | \$15,001 - \$50,000 | Tax-Deferred      |        | П              |
| AXA Equitable Simple IRA ⇒ AXA/ Mutual Large Cap Managed Volatility [MF]                    |       | \$15,001 - \$50,000 | Tax-Deferred      |        |                |
| AXA Equitable Simple IRA ⇒ AXA/ Mutual Large Cap Managed Volatility [MF]                    | SP    | \$15,001 - \$50,000 | Tax-Deferred      |        |                |
| AXA Equitable Simple IRA $\Rightarrow$ AXA/ Templeton Global Equity Managed Volatility [MF] | SP    | \$1,001 - \$15,000  | Tax-Deferred      |        | П              |
| AXA Equitable Simple IRA $\Rightarrow$ AXA/ Templeton Global Equity Managed Volatility [MF] |       | \$15,001 - \$50,000 | Tax-Deferred      |        | П              |
| AXA Equitable Simple IRA ⇒  |       | \$50,001 -          | Tax-Deferred      |        |                |

| Asset  | Owner | Value of Asset           | Income<br>Type(s)          | Income                  | Tx. > \$1,000? |
|--|-------|--------------------------|----------------------------|-------------------------|----------------|
| EQ/ Alliance Bernstein Small Cap Growth [MF]   |       | \$100,000                |                            |                         |                |
| AXA Equitable Simple IRA $\Rightarrow$ EQ/ Alliance Bernstein Small Cap Growth [MF]                  | SP    | \$15,001 - \$50,000      | Tax-Deferred               |                         |                |
| AXA Equitable Simple IRA $\Rightarrow$ EQ/ Black Rock Basic Value Equity [MF]                        |       | \$15,001 - \$50,000      | Tax-Deferred               |                         |                |
| AXA Equitable Simple IRA $\Rightarrow$ Eq/ Common Stock Index [MF]                                   |       | \$15,001 - \$50,000      | Tax-Deferred               |                         |                |
| AXA Equitable Simple IRA $\Rightarrow$ Eq/ Common Stock Index [MF]                                   | SP    | \$1,001 - \$15,000       | Tax-Deferred               |                         |                |
| AXA Equitable Simple IRA ⇒ Eq/ Equity 500 Index [MF]   | SP    | \$15,001 - \$50,000      | Tax-Deferred               |                         |                |
| AXA Equitable Simple IRA ⇒ Eq/ Equity 500 Index [MF]   |       | \$50,001 -<br>\$100,000  | Tax-Deferred               |                         |                |
| AXA Equitable Simple IRA $\Rightarrow$ EQ/ Large Cap Growth Index [MF]                               |       | \$15,001 - \$50,000      | Tax-Deferred               |                         |                |
| AXA Equitable Simple IRA $\Rightarrow$ EQ/ Large Cap Growth Index [MF]                               | SP    | \$15,001 - \$50,000      | Tax-Deferred               |                         |                |
| BSJ Bancshares [PS]  |       | \$100,001 -<br>\$250,000 | Dividends,<br>Interest     | \$5,001 - \$15,000      |                |
| Description: Corporate Bank  |       |                          |                            |                         |                |
| Capital Bancorp Inc [PS]  DESCRIPTION: Corporate Bank  |       | \$15,001 - \$50,000      | Dividends,<br>Interest     | \$2,501 - \$5,000       |                |
| Clinic Pharmacy of Mangham, LLC- Note<br>Receivable [DO]   |       | \$250,001 -<br>\$500,000 | Capital Gains,<br>Interest | \$50,001 -<br>\$100,000 | П              |
| Cross Keys Bank CD [BA]  |       | \$100,001 -<br>\$250,000 | Interest                   | \$1,001 - \$2,500       |                |
| Drake's Landing, INC, 100% Interest [OL] LOCATION: Rayville, LA, US DESCRIPTION: Air Charter Service |       | None                     | None                       |                         | П              |

| Asset  | Owner | Value of Asset               | Income<br>Type(s)          | Income                  | Tx. > \$1,000? |
|--|-------|------------------------------|----------------------------|-------------------------|----------------|
| Drake's Landing, INC- Note Receivable [DO]   |       | \$1,000,001 -<br>\$5,000,000 | Interest                   | \$5,001 - \$15,000      |                |
| Description: Charter Service   |       |                              |                            |                         |                |
| Ralph L Abraham MD APMC ⇒ Affinity Health Group, LLC- Note Receivable [DO]         |       | \$100,001 -<br>\$250,000     | Capital Gains,<br>Interest | \$50,001 -<br>\$100,000 |                |
| Ralph L Abraham MD APMC [OL]   |       | \$100,001 -<br>\$250,000     | Capital Gains,<br>Interest | \$50,001 -<br>\$100,000 |                |
| Location: Mangham, LA, US Description: Medical Services                            |       |                              |                            |                         |                |
| Rent House [RP]  |       | \$100,001 -<br>\$250,000     | None                       |                         |                |
| LOCATION: Fort Walton, FL, US  |       |                              |                            |                         |                |
| Vantage Health Plan 401k ⇒ Fidelity Investments Large Cap Permanent Portfolio [MF] |       | \$50,001 -<br>\$100,000      | Tax-Deferred               |                         |                |
| Vantage Holdings [PS]  |       | \$100,001 -<br>\$250,000     | Dividends                  | \$5,001 - \$15,000      |                |
| Description: Formerly Vantage Health Plan  |       |                              |                            |                         |                |

<sup>\*</sup> Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit  $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$ 

## SCHEDULE B: TRANSACTIONS

None disclosed.

## SCHEDULE C: EARNED INCOME

| Source                     | Туре   | Amount      |
|----------------------------|--------|-------------|
| Affinity Health Group, LLC | Salary | \$12,795.39 |

# SCHEDULE D: LIABILITIES

| Owner Cred | litor     | Date Incurred | Туре                   | Amount of<br>Liability   |
|------------|-----------|---------------|------------------------|--------------------------|
| Cross      | Keys Bank | November 2009 | Mortgage on Rent House | \$100,001 -<br>\$250,000 |

#### SCHEDULE E: POSITIONS

| Position               | Name of Organization    |
|------------------------|-------------------------|
| Managing Member        | Abraham Farms, LLC      |
| President and Director | Ralph L Abraham MD APMC |
| Managing Member        | Air 2 There, LLC        |
| President and Director | Drake's Landing, INC    |

#### SCHEDULE F: AGREEMENTS

| Date          | Parties To                                | Terms of Agreement                             |
|---------------|---|--|
| November 2011 | Ralph Lee Abraham and Vantage Health Plan | Participation in Vantage Health Plan 401k Plan |

#### SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details                                |               |          |   | Inclusions          |          |          |          |
|---|---------------|----------|---|---------------------|----------|----------|----------|
| Source                                      | Start<br>Date | End Date | Itinerary                                     | Days at<br>Own Exp. | Lodging? | Food?    | Family?  |
| The American Israel<br>Education Foundation | 08/8/2015     |          | Monroe, LA - Tel Aviv,<br>Israel - Monroe, LA | 0                   | <u> </u> | <b>V</b> | <u>~</u> |

#### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### SCHEDULE A AND B ASSET CLASS DETAILS

• AXA Advisors Brokerage Account (100% Interest) Location: San Diego, CA, US

Description: Franklin Income Fund Class A

- AXA Equitable Retirement Cornerstone Description: AXA Moderate Growth Stragety Fund #03075
- AXA Equitable Retirement Cornerstone (Owner: SP)
  Description: AXA Moderate Growth Strategy Fund #03075
- AXA Equitable Rollover IRA (Owner: SP)
  Description: EQ/Common Stock Index
- AXA Equitable SEP
  Description: AXA Large Cap Value Managed Volatility
- AXA Equitable Simple IRA
  DESCRIPTION: AXA Large Cap Value Managed Volatility

• AXA Equitable Simple IRA (Owner: SP)
DESCRIPTION: AXA Large Cap Value Managed Volatility

• Ralph L Abraham MD APMC (100% Interest) Location: Mangham, LA, US

o Vantage Health Plan 401k

Description: Fidelity Investments Large Cap Permanent Portfolio

#### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO**: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

© Yes © No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes No

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Ralph Lee Abraham, 06/26/2018