

UNITED STATES HOUSE OF REPRESENTATIVES

FORM A Page 1 of 38
For use by Members, officers, and employees

Bruce Lowell Braley
(Full Name)

(Daytime Telephone)

Filer Status ☒ Member of the U.S. House of Representatives State: IA District: 01

☐ Officer Or Employee

Report Type ☐ Annual (May 15) ☒ Amendment

☐ Termination Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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SCHEDULE I - EARNED INCOME

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Waterloo Community School District	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.

BLOCK B

Year-End

Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

* This column is for assets held solely by your spouse or dependent child.

BLOCK C

Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

BLOCK D

Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

* This column is for income generated by assets held solely by your spouse or dependent child.

BLOCK E

Transaction

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

PEPSICO, INC	\$50,001 - \$100,000	TAX-DEFERRED	NONE	
COLUMBIA MID CAP VALUE FUND A	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
DAVIS NEW YORK VENTURE FD CL A	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
EUROPACIFIC GROWTH FUND CL F	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
AMERICAN FUNDS GROWTH FUND OF AMERICA CLASS F	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
HARTFORD MID CAP FUND CLASS A	\$1,001 - \$15,000	TAX-DEFERRED	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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LOOMIS SAYLES FUNDS II INVT GRADE BOND FD CL A	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
LOOMIS SAYLES FUNDS II INVT GRADE BOND FD CL Y	\$15,001 - \$50,000	TAX-DEFERRED	NONE	P
NEUBERGER BERMAN EQUITY TR SOCIALLY RESPONSIVE TR	\$15,001 - \$50,000	TAX-DEFERRED	NONE	

PIMCO FUNDS TOTAL RETURN FD CLASS P	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
PIMCO FUNDS TOTAL RETURN FD CLASS A	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
TEMPLETON GLOBAL BOND FD ADVISOR CLASS	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
TEMPLETON GLOBAL BOND FD CLASS A	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
THORNBURG INVT TR INTERNATIONAL VALUE FD CLASS A	\$15,001 - \$50,000	TAX-DEFERRED	NONE	

INSURED BANK PROGRAM/CASH/MONEY MARKET	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
AT&T	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
AKORN INC	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
AMERICAN TOWER CORP NEW	\$1,001 - \$15,000	TAX-DEFERRED	NONE	S (& P < 1k)
AMERICAN WATER WORKS	\$1,001 - \$15,000	TAX-DEFERRED	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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APPLE INC	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
ARIAD PHARMACEUTICALS	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
ASHLAND INC	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
AVIS BUDGET GROUP	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
BCE INC NEW	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
CMS ENERGY CORP	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
CVS CAREMARK CORP	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
CIRRUS LOGIC	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
CLIFFS NATURAL RES	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
CROWN CASTLE INTL	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
FINISH LINE INC	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
GRACE W R & CO	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
HALLIBURTON CO	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
INGREDION INC	\$1,001 - \$15,000	TAX-DEFERRED	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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INNPHOS HOLDINGS	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
LIONS GATE ENTERTAINMENT	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
LIVERPERSON INC	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
MCGRAW-HILL COMPANIES	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
NEWCASTLE INVESTMENT	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
NEXTERA ENERGY INC	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
NU SKIN ENTERPRISES	\$1 - \$1,000	TAX-DEFERRED	NONE	
NV ENERGY INC	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
OCWEN FINANCIAL CORP	\$1,001 - \$15,000	TAX-DEFERRED	NONE	S (partial)
POLYONE CORP	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
QUALCOMM INC	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
QUESTCOR PHARMACEUTICAL	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
REINSURANCE GROUP AMERICA INC	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
ROSETTA RESOURCES	\$1,001 - \$15,000	TAX-DEFERRED	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SBA COMMUNICATIONS	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
SCHLUMBERGER LTD	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SELECT COMFORT CORP	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
SERVICE CORP INT'L	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
SPECTRUM PHARMACEUTICALS	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
STEELCASE INC	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SYNOPSYS INC	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
TIMKEN CO	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
TITAN INTERNATIONAL	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
TWO HARBORS INVESTMENT	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
UGI CORP	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
UNITED RENTALS	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
UNIVERSAL HEALTH	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
VERIZON COMMUNICATIONS	\$1,001 - \$15,000	TAX-DEFERRED	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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WAL-MART STORES	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
WILLIAMS COS	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
ENERGY XXI BERMUDA LTD	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
ISHARES MSCI EAFE INDEX ETF	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
SPDR S&P 500 ETF	\$15,001 - \$50,000	TAX-DEFERRED	NONE	P,S,P
VANGAURD MSCI EMERGING MKT ETF	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
ISHARES BARCLAYS TIPS BOND ETF	\$1,001 - \$15,000	TAX-DEFERRED	NONE	S (partial)
ISHARES INVESTMENT BD FD	\$15,001 - \$50,000	TAX-DEFERRED	NONE	P
ISHARES BARCLAYS 20+ YR TREAS BD ETF	\$1,001 - \$15,000	TAX-DEFERRED	NONE	S (partial)
ISHARES BARCLAYS 1-3 YR TREAS BD ETF	\$15,001 - \$50,000	TAX-DEFERRED	NONE	P

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	AMANA MUTUAL FUNDS TRUST INCOME FUND	S	N/A	12/31/12	\$15,001 - \$50,000
	IVY FUNDS PACIFIC OPPORTUNITIES FUND CL A	S	N/A	12/31/12	\$1,001 - \$15,000
	LAZARD EMERGING MARKETS PORTFOLIO RETAIL SH	S	N/A	12/31/12	\$1,001 - \$15,000
	LOOMIS SAYLES FUNDS II INVT GRADE BOND FD CL Y	P	N/A	12/31/12	\$15,001 - \$50,000
	PIMCO TOTAL RETURN FD CL P	P	N/A	12/31/12	\$1,001 - \$15,000
	TEMPLETON GLOBAL BOND FD ADVISOR CLASS	P	N/A	12/31/12	\$1,001 - \$15,000
	AES CORP	P	N/A	4/9/12	\$1,001 - \$15,000
	AES CORP	S	N/A	5/2/12	\$1,001 - \$15,000
	AMERICAN EQUITY INVT LIFE	S	N/A	9/6/12	\$1,001 - \$15,000
	AMERICAN TOWER CORP NEW	S	N/A	4/9/12	\$1,001 - \$15,000
	ANCESTRY.COM	S	N/A	2/6/12	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	BE AEROSPACE INC	S	N/A	12/5/12	\$1,001 - \$15,000
	CARDTRONICS INC	S	N/A	4/9/12	\$1,001 - \$15,000
	CONCHO RESOURCES INC	S	N/A	6/5/12	\$1,001 - \$15,000
	EQT CORP	S	N/A	4/9/12	\$1,001 - \$15,000
	EZCORP INC CL A NON-VTG	S	N/A	3/6/12	\$1,001 - \$15,000
	GLOBAL SPECIALTY METALS	S	N/A	7/6/12	\$1,001 - \$15,000
	GREEN MOUNTAIN COFFEE ROASTERS	S	N/A	2/6/12	\$1,001 - \$15,000
	GULFPORT ENERGY CORP NEW	S	N/A	9/6/12	\$1,001 - \$15,000
	HARRIS CORP DEL	S	N/A	7/6/12	\$1,001 - \$15,000
	HARSCO CORP	S	N/A	3/6/12	\$1,001 - \$15,000
	ISHARES BARCLAYS 20+ YR (partial sale)	S	N/A	1/10/12	\$1,001 - \$15,000

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Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	ISHARES BARCLAYS 20+ YR (partial sale)	S	N/A	7/2/12	\$1,001 - \$15,000
	ISHARES BARCLAYS TIPS BD (partial sale)	S	N/A	7/2/12	\$1,001 - \$15,000
	INCYTE CORP	S	N/A	1/10/12	\$1,001 - \$15,000
	LEGETT & PLATT INC	S	N/A	1/10/12	\$1,001 - \$15,000
	MADDEN STEVEN LTD	S	N/A	10/3/12	\$1,001 - \$15,000
	MONROE MUFFLER BRAKE INC	S	N/A	9/6/12	\$1,001 - \$15,000
	OCWEN FINANCIAL CORP NEW (partial sale)	S	N/A	10/3/12	\$1,001 - \$15,000
	PRICELINE.COM INC NEW	S	N/A	11/6/12	\$1,001 - \$15,000
	SPIDER S&P 500	P	N/A	1/3/12	\$15,001 - \$50,000
	SPIDER S&P 500	S	N/A	8/1/12	\$15,001 - \$50,000
	SIRONA DENTAL SYS INC	S	N/A	3/6/12	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	STARWOOD PROPERTY TRUST	P	N/A	4/9/12	\$1,001 - \$15,000
	STARWOOD PROPERTY TRUST	S	N/A	6/5/12	\$1,001 - \$15,000
	AKORN INC	P	N/A	1/10/12	\$1,001 - \$15,000
	ASHLAND INC NEW	P	N/A	7/6/12	\$1,001 - \$15,000
	AVIS BUDGET GROUP INC	P	N/A	12/5/12	\$1,001 - \$15,000
	CVS CAREMARK CORP	P	N/A	2/6/12	\$1,001 - \$15,000
	CIRRRUS LOGIC INC	P	N/A	7/6/12	\$1,001 - \$15,000
	CROWN CASTLE INTL CORP	P	N/A	6/7/12	\$1,001 - \$15,000
	ISHARES MSCI EAFE INDEX	P	N/A	1/10/12	\$1,001 - \$15,000
	ISHARES INVESTMENT BD FD	P	N/A	7/2/12	\$1,001 - \$15,000
	ISHARES BARCLAY 1-3 YR	P	N/A	7/2/12	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	LIONS GATE ENTMT CORP NEW	P	N/A	10/3/12	\$1,001 - \$15,000
	MCGRAW HILL COS	P	N/A	11/6/12	\$1,001 - \$15,000
	NEWCASTLE INVT CORP	P	N/A	9/6/12	\$1,001 - \$15,000
	SPIDER S&P 500	P	N/A	10/1/12	\$15,001 - \$50,000
	SBA COMMUNICATIONS CP	P	N/A	4/9/12	\$1,001 - \$15,000
	SELECT COMFORT CORP	P	N/A	9/6/12	\$1,001 - \$15,000
	SERVICE CORP INT'L	P	N/A	1/10/12	\$1,001 - \$15,000
	SPECTRUM PHARMACEUTICALS	P	N/A	3/6/12	\$1,001 - \$15,000
	SYNOPSISYS INC	P	N/A	2/6/12	\$1,001 - \$15,000
	TIMKEN COMPANY	P	N/A	3/6/12	\$1,001 - \$15,000
	UGI CORP NEW	P	N/A	5/2/12	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	VANGUARD FTSE EMG MKTS ETF	P	N/A	1/10/12	\$1,001 - \$15,000
	WILLIAMS COMPANIES INC	P	N/A	9/6/12	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	DOVENMUEHLE MORTGAGE (Note: Paid off in June 2012)	September 2003	Mortgage on Waterloo, IA home (not rented)	\$50,001 - \$100,000
JT	COMMUNITY NATIONAL BANK (Note: Paid off in June 2012)	June 2010	Home equity loan - Brooklyn	\$15,001 - \$50,000
JT	US DEPT OF EDUCATION (Note: Paid Off in June 2012)	August 2009	Student Loan	\$10,001 - \$15,000
JT	VERIDIAN CREDIT UNION	June 2012	Mortgage on Waterloo, IA home (not rented)	\$100,001 - \$250,000
JT	VERIDIAN CREDIT UNION	September 2010	Mortgage on Brooklyn, IA home (not rented)	\$50,001 - \$100,000
JT	COMMUNITY NATIONAL BANK	January 2008	Home equity loan - Waterloo	\$15,001 - \$50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
THE GREATEST GENERATION FOUNDATION	Mar. 9-15	Philadelphia-Los Angeles- Guam	Y	Y	N	None

FOOTNOTES

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule V	Paid off in June 2012	DOVENMUEHLE MORTGAGE
2	Schedule V	Paid off in June 2012	COMMUNITY NATIONAL BANK
3	Schedule V	Paid off in June 2012	US DEPT OF EDUCATION
7	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	AES CORP
8	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	AES CORP
9	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	AMERICAN EQUITY INVT LIFE
10	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	AMERICAN TOWER CORP NEW

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11	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	ANCESTRY.COM
12	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	BE AEROSPACE INC
13	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	CARDTRONICS INC
14	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	CONCHO RESOURCES INC
15	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	EQT CORP

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16	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	EZCORP INC CL A NON-VTG
16	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	AT&T
17	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	AKORN INC
17	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	GLOBAL SPECIALTY METALS
18	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	GREEN MOUNTAIN COFFEE ROASTERS

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18	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	AMERICAN TOWER CORP NEW
19	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	AMERICAN WATER WORKS
19	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	GULFPORT ENERGY CORP NEW
20	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	HARRIS CORP DEL
20	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	APPLE INC

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21	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	HARSCO CORP
21	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	ARIAD PHARMACEUTICALS
22	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	ISHARES BARCLAYS 20+ YR
22	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	ASHLAND INC
23	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	ISHARES BARCLAYS 20+ YR

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23	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	AVIS BUDGET GROUP
24	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	ISHARES BARCLAYS TIPS BD
24	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	BCE INC NEW
25	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	INCYTE CORP
25	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	CMS ENERGY CORP

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26	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	LEGETT & PLATT INC
26	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	CVS CAREMARK CORP
27	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	MADDEN STEVEN LTD
27	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	CIRRUS LOGIC
28	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	MONROE MUFFLER BRAKE INC

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28	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	CLIFFS NATURAL RES
29	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	CROWN CASTLE INTL
29	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	OCWEN FINANCIAL CORP NEW
30	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	FINISH LINE INC
30	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	PRICELINE.COM INC NEW

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31	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	GRACE W R & CO
31	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	SPIDER S&P 500
32	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	HALLIBURTON CO
32	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	SPIDER S&P 500
33	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	INGREDION INC

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33	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	SIRONA DENTAL SYS INC
34	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	INNOPHOS HOLDINGS
34	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	STARWOOD PROPERTY TRUST
35	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	STARWOOD PROPERTY TRUST
35	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	LIONS GATE ENTERTAINMENT

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36	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	AKORN INC
36	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	LIVERPERSON INC
37	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	MCGRAW-HILL COMPANIES
37	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	ASHLAND INC NEW
38	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	NEWCASTLE INVESTMENT

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38	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	AVIS BUDGET GROUP INC
39	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	CVS CAREMARK CORP
39	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	NEXTERA ENERGY INC
40	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	CIRRUS LOGIC INC
40	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	NU SKIN ENTERPRISES

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41	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	NV ENERGY INC
41	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	CROWN CASTLE INTL CORP
42	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	OCWEN FINANCIAL CORP
42	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	ISHARES MSCI EAFE INDEX
43	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	POLYONE CORP

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43	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	ISHARES INVESTMENT BD FD
44	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	ISHARES BARCLAY 1-3 YR
44	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	QUALCOMM INC
45	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	QUESTCOR PHARMACEUTICAL
45	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	LIONS GATE ENTMT CORP NEW

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46	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	MCGRAW HILL COS
46	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	REINSURANCE GROUP AMERICA INC
47	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	NEWCASTLE INVT CORP
47	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	ROSETTA RESOURCES
48	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	SBA COMMUNICATIONS

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48	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	SPIDER S&P 500
49	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	SBA COMMUNICATIONS CP
49	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	SCHLUMBERGER LTD
50	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	SELECT COMFORT CORP
50	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	SELECT COMFORT CORP

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51	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	SERVICE CORP INT'L
51	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	SERVICE CORP INT'L
52	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	SPECTRUM PHARMACEUTICALS
52	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	SPECTRUM PHARMACEUTICALS
53	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	SYNOPSIS INC

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53	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	STEELCASE INC
54	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	SYNOPSIS INC
54	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	TIMKEN COMPANY
55	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	UGI CORP NEW
55	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	TIMKEN CO

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56	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	TITAN INTERNATIONAL
56	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	VANGUARD FTSE EMG MKTS ETF
57	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	TWO HARBORS INVESTMENT
57	Schedule IV	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	WILLIAMS COMPANIES INC
58	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	UGI CORP

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59	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	UNITED RENTALS
60	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	UNIVERSAL HEALTH
61	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	VERIZON COMMUNICATIONS
62	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	WAL-MART STORES
63	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	WILLIAMS COS

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64	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	ENERGY XXI BERMUDA LTD
65	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	ISHARES MSCI EAFE INDEX ETF
66	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	SPDR S&P 500 ETF
67	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	VANGUARD MSCI EMERGING MKT ETF
68	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	ISHARES BARCLAYS TIPS BOND ETF

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69	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	ISHARES INVESTMENT BD FD
70	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	ISHARES BARCLAYS 20+ YR TREAS BD ETF
71	Schedule III	Asset held within Equity Compass Managed Account, a separately managed account in which member delegated asset selection and discretion to portfolio manager. For this report, individual holdings are each listed separately. They were formerly grouped under the heading Equity Compass Managed Account.	ISHARES BARCLAYS 1-3 YR TREAS BD ETF