



Filing ID #10015273

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Lloyd K. Smucker  
**Status:** Member  
**State/District:** PA16

## FILING INFORMATION

**Filing Type:** New Filer Report  
**Filing Year:** 2016  
**Filing Date:** 05/15/2017  
**Period Covered:** 01/01/2015– 12/31/2016

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Insurance ⇒ Prudential Life Insurance  DESCRIPTION: Whole Life Insurance	SP	\$1,001 - \$15,000	Dividends, Interest	\$1 - \$200	\$1 - \$200
Other Assets ⇒ Fulton Bank - Bank Accounts		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Other Assets ⇒ H2O To Go Inc., 50% Interest  LOCATION: MAYTOWN, PA, US DESCRIPTION: Water Filtration Business		\$1,000,001 - \$5,000,000	Dividends, Partial Sale	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
Other Investments ⇒ appMobi  DESCRIPTION: NON PUBLIC STOCK - MOBILE SECURITY BUSINESS IN LANCASTER, PA		\$15,001 - \$50,000	None		
Other Investments ⇒ INNOVENTURES FUND I, LLC  LOCATION: LANCASTER, PA, US DESCRIPTION: Holding company for Wylei - Digital Direct Marketing Business		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Other Investments ⇒ INNOVENTURES FUND II, LLC		\$1,001 - \$15,000	None		
LOCATION: LANCASTER, PA, US DESCRIPTION: Holding Company for MedStatix - Patient Experience Platform Business					
Other Investments ⇒ INNOVENTURES FUND THREE, LLC		None	None		
LOCATION: LANCASTER, PA, US DESCRIPTION: INVESTMENT FUND - No Assets					
PA State Pension ⇒ PA State Pension		Undetermined	Tax-Deferred		
TDAMERITRADE IRA ⇒ Activision Blizzard, Inc (ATVI)		\$15,001 - \$50,000	Tax-Deferred		
TDAMERITRADE IRA ⇒ Apple Inc. (AAPL)		\$100,001 - \$250,000	Tax-Deferred		
TDAMERITRADE IRA ⇒ Canadian National Railway Company (CNI)		\$1,001 - \$15,000	Tax-Deferred		
TDAMERITRADE IRA ⇒ Markel Corporation (MKL)		\$15,001 - \$50,000	Tax-Deferred		
TDAMERITRADE IRA ⇒ Procter & Gamble Company (PG)		\$1,001 - \$15,000	Tax-Deferred		
TDAMERITRADE IRA ⇒ TDAMERITRADE CASH ACCOUNT		\$1,001 - \$15,000	Tax-Deferred		
TDAMERITRADE IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH)		\$15,001 - \$50,000	Tax-Deferred		

\* Asset class details available at the bottom of this form.

**SCHEDULE C: EARNED INCOME**

Source	Type	Amount Current Year to Filing	Amount Preceding Year
STATE OF PENNSYLVANIA	SALARY	\$77,536.00	\$84,585.00
H2O TO GO	SALARY	\$19,500.00	\$18,000.00

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
	BRIGHTMAN ENTERPRISES	SEPTEMBER 2009	BUSINESS LOAN - Personal Guarantee	\$100,001 - \$250,000
COMMENTS: For H2O to Go, Inc. THIS LIABILITY HAS BEEN PAID OFF AS OF YEAR END 2016.				
	FULTON BANK	AUGUST 2013	BUSINESS LOAN - Personal Guarantee	\$250,001 - \$500,000
COMMENTS: For H2O to Go, Inc.				
	FULTON BANK	OCTOBER 2015	BUSINESS LINE OF CREDIT - Personal Guarantee	\$100,001 - \$250,000
COMMENTS: For H2O to Go, Inc.				
	WELLS FARGO BANK	OCTOBER 2016	CO-SIGNER - STUDENT LOAN	\$50,001 - \$100,000
	WELLS FARGO BANK	JUNE 2013	MORTGAGE ON PRINCIPAL RESIDENCE	\$250,001 - \$500,000
	AMERICAN EXPRESS	12/31/2016	REVOLVING CHARGE ACCOUNT	\$15,001 - \$50,000
	BANK OF AMERICA	12/31/2016	REVOLVING CHARGE ACCOUNT	\$10,000 - \$15,000
	CHASE	12/31/2016	REVOLVING CHARGE ACCOUNT	\$15,001 - \$50,000

**SCHEDULE E: POSITIONS**

Position	Name of Organization
Vice President	PA Higher Educational Facilities Authority
COMMENTS: Uncompensated Position NO LONGER ACTIVE IN THIS POSITION AS OF DEC 2016	
Vice President	State Public School Building Authority
COMMENTS: Uncompensated Position NO LONGER ACTIVE IN THIS POSITION AS OF DEC 2016	
MEMBER	Basic Education Funding Commission
COMMENTS: NO LONGER ACTIVE IN THIS POSITION AS OF DEC 2016	
MEMBER	State Board of Education
COMMENTS: NO LONGER ACTIVE IN THIS POSITION AS OF DEC 2016	
MEMBER	PHEAA - Pennsylvania Higher Education Assistance Agency
COMMENTS: NO LONGER ACTIVE IN THIS POSITION AS OF DEC 2016	

Position	Name of Organization
MEMBER	PennSERVE
COMMENTS: NO LONGER ACTIVE IN THIS POSITION AS OF DEC 2016	
MEMBER	Workforce Investment Board
COMMENTS: NO LONGER ACTIVE IN THIS POSITION AS OF DEC 2016	
MEMBER	Adult and Family Literacy Education Interagency Coordinating Council
COMMENTS: NO LONGER ACTIVE IN THIS POSITION AS OF DEC 2016	
MEMBER	Education Commission of the States
COMMENTS: NO LONGER ACTIVE IN THIS POSITION AS OF DEC 2016	

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2008	STATE OF PENNSYLVANIA AND ME	State Pension Plan payable to me upon retirement.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>Insurance (Owner: SP)</li><li>Other Assets LOCATION: US</li><li>Other Investments LOCATION: US</li><li>PA State Pension</li><li>TDAMERITRADE IRA</li></ul>
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Lloyd K. Smucker , 05/15/2017