Filing ID #10001039

Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

Filer Information

Name: Mr. Rick Larsen
Status: Member
State/District: WA02

Filing Information

Filing Type: Annual Report
Filing Year: 2013
Filing Date: 05/15/2014

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
AFI Cash Reserve Certificate	JT	$1,001 - $15,000	Interest	$1 - $200	☑
Ameriprise Financial One Account/CNL Healthcare Inc (widely diversified property investment fund)	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Ameriprise One Financial Account/Corporate Property Associates 18 Global Inc Cl A (widely diversifie	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Ameriprise One Financial Account/Wells Fargo Wealthbuilder Growth Balanced	JT	$50,001 - $100,000	Dividends	$1 - $200	☑
Ameriprise One Financial Account/Western Asset Intermediate Term Municipal Cl C	JT	$1,001 - $15,000	None		☑
DC1/John Hancock Freedom Fund Location: AK	DC	$1,001 - $15,000	None		☐
DC2/John Hancock Freedom Fund Location: AK	DC	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Federal Congressional Credit Union/Checking	JT	$1,001 - $15,000	Interest	$1 - $200	☐
Richard R Larsen IRA/Ameriprise Insured Money Market		$1,001 - $15,000	None		☑
Description: This fund rests within the Richard R Larsen IRA and held proceeds from the partial sale of the Richard R Larsen IRA/WF Wealthbuilder Growth Allocation. Proceeds were used in January 2014 to purchase additional mutual fund with this IRA					
Richard R Larsen IRA/Industrial Income Trust Inc (widely diversified property investment fund)		$15,001 - $50,000	None		☐
Richard R Larsen IRA/Wells Fargo WealthBuilder Growth Allocation		$1,001 - $15,000	None		☐
Richard R Larsen Roth IRA/Corporate Property Associates 17 Global Inc (widely diversified property i		$1,001 - $15,000	None		☐
Richard R Larsen Roth IRA/Wells Fargo Wealthbuilder Equity		$1,001 - $15,000	None		☐
Riversource RAVA 5 Advantage Variable Annuity/VP Moderate Portfolio Class 2		$1,001 - $15,000	None		☐
Description: Annuity that holds mutual fund. Retirement asset					
Riversource Variable Life Insurance/VP Moderate Aggressive Cl4		$50,001 - $100,000	None		☐
Tiia Ingrid Karlen IRA/Ameriprise Insured Money Market	SP	$1,001 - $15,000	None		☑
Description: This fund rests within the Tiia Ingrid Karlen IRA and held proceeds from the partial sale of the Tiia Ingrid Karlen IRA/WF Wealthbuilder Growth Allocation. Proceeds were used in January 2014 to purchase additional mutual fund with this IRA					
Tiia Ingrid Karlen IRA/Wells Fargo Wealth Builder Growth Allocation	SP	$1,001 - $15,000	None		☑
Tiia Ingrid Karlen TSCA/Columbia Contrarian Core Fund Class A	SP	$1,001 - $15,000	None		☐

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Ameriprise One Financial Account/CNL Healthcare Inc	JT	12/30/2013	P	$1,001 - $15,000	
Description: Purchase of CNL Healthcare Inc, a widely diversified non traded property fund, from partial sale of Legg Mason fund					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Ameriprise One Financial Account/Corporate Property Associates 18 Global Inc Class A	JT	12/30/2013	P	$1,001 - $15,000	
DESCRIPTION: Purchase of Corporate Property Associates 18 Global Inc Class A, a widely diversified non traded property fund from partial sale of WF Advantage Wealthbuilder Growth Balanced					
Ameriprise One Financial Account/Legg Mason Western Asset Immediate	JT	11/19/2013	S (partial)	$1,001 - $15,000	☐
DESCRIPTION: Partial sale of Legg Mason mutual fund to purchase CNL Healthcare Inc, a widely diversified property investment fund					
Ameriprise One Financial Account/Legg Mason Western Asset Intermediate Term Municipal Class C	JT	05/30/2014	P	$15,001 - $50,000	
DESCRIPTION: Used portion of AFI Cash Reserve to purchase Legg Mason Western Asset Intermediate Term Municipal Cl C, a mutual fund based in municipal bonds					
Ameriprise One Financial Account/Wells Fargo Adv Wealthbuilder Growth Balanced	JT	11/19/2013	S (partial)	$1,001 - $15,000	☐
DESCRIPTION: Partial sale of WF Advantage Wealthbuilder Growth Balanced fund to purchase Corporate Property Associates 18 Global Inc Cl A					
Richard R Larsen IRA/WF Wealthbuilder Growth Allocation		11/19/2013	S (partial)	$1,001 - $15,000	☐
DESCRIPTION: Partial sale of this mutual fund to purchase an additional mutual fund within this IRA. Purchase of that fund was not completed until January 2014. Meantime, proceeds of sale were held within a money market account within the IRA. Reflected on asset list					
RiverSource Variable Universal Life Insurance/VP Moderate Aggressive Cl4		Monthly	P	$420	
DESCRIPTION: monthly premium payment					
Tiia Ingrid Karlen IRA/Wells Fargo Wealthbuilder Growth Allocation	SP	11/19/2013	S (partial)	$1,001 - $15,000	☐
DESCRIPTION: Partial sale of this mutual fund to purchase an additional mutual fund within this IRA. Purchase of that fund was not completed until January 2014. Meantime, proceeds of sale were held within a money market account within the IRA. Reflected on asset list					

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Suburban Hospital	Spouse Salary	$1,200
CREF Single Life Annuity/TIAA Traditional Annuity,CREF Bond Market Account, CREF Stock Market Account, TIAA-CREF Real Estate Securities Fund	Income to spouse from an inherited annuity	$1,628

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	BAC Loans	December 2011	Mortgage	$250,001 - $500,000
JT	Everbank	March 2012	Mortgage	$15,001 - $50,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ● No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ● No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ● No

Comments

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Rick Larsen , 05/15/2014