

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

#### FILER INFORMATION

Name: Hon. Austin Scott

Status: Member State/District: GA08

#### FILING INFORMATION

**Filing Type:** Amendment Report

Filing Year: 2015

**Filing Date:** 09/26/2017

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
A-C Scott LLLP, 49% Interest		\$500,001 - \$1,000,000	None		
LOCATION: Tifton, GA, US  DESCRIPTION: Investment partnership with direct family me	embers. Fa	ther and brother.			
A-C SCOTT LLLP ⇒ 3F Properties Loan Albany GA		\$100,001 - \$250,000	Interest	\$15,001 - \$50,000	
Description: Loan to 3F properties. The first loan disclosed	d on previo	ous disclosures was pa	id. This is a second lo	oan to the same entity	у.
A-C SCOTT LLLP ⇒ A-C Scott Checking/Flint Community Bank		\$100,001 - \$250,000	None		
DESCRIPTION: Flint Community Bank Checking Account					
A-C SCOTT LLLP $\Rightarrow$ Aus Cur Scott, LLC Description: General Partnership shares managing A-C Sc	ott, LLLP	\$1 - \$1,000	None		
A-C SCOTT LLLP ⇒ Shell Point Loan		\$100,001 - \$250,000	None		П
J. W. Scott Family Limited Partnership ⇒ Thronateeska Farms, 49% Interest		\$1,000,001 - \$5,000,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: Sumnter, GA, US DESCRIPTION: Limited Partnership shares of family farm					
James A Scott Checking Account / SunTrust  Description: SunTrust		\$1,001 - \$15,000	None		
James A Scott IRA ⇒ Capstone Turbine Corporation (CPST)		\$1 - \$1,000	Tax-Deferred		<u>~</u>
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		None	Tax-Deferred		<u>~</u>
James A Scott IRA ⇒ James A Scott IRA		\$50,001 - \$100,000	IRA distribution	\$5,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Neah Power Systems, Inc. New (NPWZ)		\$1 - \$1,000	Tax-Deferred		
James A Scott IRA $\Rightarrow$ Pershing Government Account Money Market		\$1 - \$1,000	Tax-Deferred		
James A Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)		\$1,001 - \$15,000	Tax-Deferred		V
James A Scott IRA $\Rightarrow$ ZBB Energy Corporation (ZBB)		None	Tax-Deferred		<u> </u>
James A Scott VUL $\Rightarrow$ American Bond		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
James A Scott VUL $\Rightarrow$ American Growth		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
James A Scott VUL ⇒ American Growth and Income Fund		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
James A Scott VUL ⇒ American International		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Joint checking / Ameris Bank	JT	\$1,001 - \$15,000	None		
DESCRIPTION: Ameris Bank  MCA Family, LLC ⇒		\$15,001 - \$50,000	None		
many, mo		φ10,001 ψ00,000	1.0110		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Edgefield Lot					
LOCATION: Tifton, GA, US					
MCA Family, LLC ⇒ Magnolia Lot		\$50,001 - \$100,000	None		
LOCATION: Tifton, GA, US					
MCA Family, LLC, 33% Interest		\$1,000,001 - \$5,000,000	None		
LOCATION: Tifton, GA, US  DESCRIPTION: Investment partnership with direct family n	nembers. Fa	ther, brother, and /or	sister		
MCA Family, LLC ⇒ Mt. Olive and Old Ocilla Road		\$50,001 - \$100,000	None		
LOCATION: Tifton, GA, US					
MCA Family, LLC ⇒ Sco Jac		\$100,001 - \$250,000	None		
LOCATION: Del Norte, CO, US					
MCA Family, LLC ⇒ Shell Point		\$250,001 - \$500,000	None		
LOCATION: Wakulla County, FL, US					
Principal Pension		Undetermined	None		
Rental property	JT	\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	
LOCATION: ASHBURN, GA, US					
Rental Property		None	Capital Gains, Rent	\$5,001 - \$15,000	<b>~</b>
LOCATION: Tifton, GA, US					
The Southern Group, LLC, 100% Interest	SP	\$100,001 - \$250,000	Earnings	\$50,001 - \$100,000	
DESCRIPTION: Insurance brokerage that is owned and open	rated by my	wife			
Vivien G Scott 401 (K) $\Rightarrow$ Capital Research and Management International Equity		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Vivien G Scott 401 (K) ⇒ Principal Global Investors Large U.S. Equity		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vivien G Scott 401 (K) ⇒ Principal Global Investors Mid Cap Equity		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	П
Vivien G Scott 401 (K) $\Rightarrow$ Principal Global Investors Small Cap Equity		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Vivien G Scott 401 (K) ⇒ PrivateBancorp, Inc. Stock		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	П
Description: Stock was employer match in 401(K). Remain	ns in 401(k)	) account.			
Vivien G Scott IRA ⇒ ABB Ltd (ABB)	SP	None	Tax-Deferred		<u>~</u>
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	\$15,001 - \$50,000	Tax-Deferred		П
Vivien G Scott IRA ⇒ Aruba Networks, Inc. (ARUN)	SP	None	Tax-Deferred		<b>▽</b>
Vivien G Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)	SP	\$15,001 - \$50,000	Tax-Deferred	Not Applicable	
Vivien G Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)	SP	None	Tax-Deferred		<b>✓</b>
Vivien G Scott IRA ⇒ Clean Energy Fuels Corp. (CLNE)	SP	None	Tax-Deferred		<b>✓</b>
Vivien G Scott IRA ⇒ EnSync, Inc. (ESNC)	SP	\$1,001 - \$15,000	Tax-Deferred		<b>▽</b>
Vivien G Scott IRA ⇒ Garmin Ltd. (GRMN)	SP	\$50,001 - \$100,000	Tax-Deferred		<b>▽</b>
Vivien G Scott IRA ⇒ General Electric Company (GE)	SP	None	Tax-Deferred		<b>✓</b>
Vivien G Scott IRA ⇒ Neah Power Systems, Inc. New (NPWZ)	SP	\$1,001 - \$15,000	Tax-Deferred		
Vivien G Scott IRA ⇒ Pershing Government Account Money Market  DESCRIPTION: Cash account in IRA	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)		Tx. > \$1,000?
Vivien G Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)	SP	\$15,001 - \$50,000	Tax-Deferred		<b>▽</b>
Vivien G Scott IRA ⇒ Revolution Lighting Technologies, Inc. (RVLT)	SP	None	Tax-Deferred		<b>▽</b>
Vivien G Scott IRA ⇒ Sirius XM Holdings Inc. (SIRI)	SP	None	Tax-Deferred		<b>▽</b>
Vivien G Scott IRA ⇒ vivien G Scott IRA	SP	\$100,001 - \$250,000	IRA distribution	\$5,001 - \$15,000	
Vivien G Scott IRA ⇒ ZBB Energy Corporation (ZBB)	SP	None	Tax-Deferred		
Vivien Scott Checking / Heritage Bank of the South  Description: Checking account	SP	\$50,001 - \$100,000	None		П
Wells A Scott UTMA/GA	DC	\$1,001 - \$15,000	UGMA account for son	Not Applicable	
DESCRIPTION: Account owns less than \$1000 in each of the	following r	nutual funds Aim Con	stellation Aim Charter	Aim Global Growth	

<sup>\*</sup> Asset class details available at the bottom of this form.

## SCHEDULE B: TRANSACTIONS

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
James A Scott IRA ⇒ Arotech Corporation (ARTX)	10/1/2015	S	\$1,001 - \$15,000	
James A Scott IRA ⇒ Arotech Corporation (ARTX)	08/21/2015	S	\$1,001 - \$15,000	
James A Scott IRA ⇒ Arotech Corporation (ARTX)	08/11/2015	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Arotech Corporation (ARTX)	08/10/2015	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Arotech Corporation (ARTX)	05/27/2015	S	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
James A Scott IRA ⇒ Arotech Corporation (ARTX)		05/11/2015	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Capstone Turbine Corporation (CPST)		11/11/2015	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Capstone Turbine Corporation (CPST)		10/1/2015	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ Capstone Turbine Corporation (CPST)		08/11/2015	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Capstone Turbine Corporation (CPST)		08/7/2015	S	\$15,001 - \$50,000	П
James A Scott IRA ⇒ Capstone Turbine Corporation (CPST)		06/30/2015	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Capstone Turbine Corporation (CPST)		06/16/2015	S	\$1,001 - \$15,000	<u>~</u>
James A Scott IRA ⇒ Capstone Turbine Corporation (CPST)		06/1/2015	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ Capstone Turbine Corporation (CPST)		05/11/2015	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		08/11/2015	S	\$1,001 - \$15,000	<b>▽</b>
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		08/10/2015	S	\$1,001 - \$15,000	<b>▽</b>
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		08/7/2015	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		06/30/2015	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		06/16/2015	P	\$1,001 - \$15,000	
James A Scott IRA ⇒		06/1/2015	S	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
FuelCell Energy, Inc. (FCEL)					
James A Scott IRA $\Rightarrow$ FuelCell Energy, Inc. (FCEL)		05/27/2015	P	\$15,001 - \$50,000	
James A Scott IRA $\Rightarrow$ FuelCell Energy, Inc. (FCEL)		05/11/2015	S	\$1,001 - \$15,000	П
James A Scott IRA $\Rightarrow$ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)		11/12/2015	P	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)		10/1/2015	S	\$1,001 - \$15,000	П
James A Scott IRA $\Rightarrow$ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)		08/21/2015	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ ZBB Energy Corporation (ZBB)		05/11/2015	S	\$1,001 - \$15,000	<b>V</b>
Rental Property  Location: Tift, GA, US  Description: Property sold 11/4/2015 \$81,000 Property purchase	ed E/91/19 9	11/4/2015	S	\$50,001 - \$100,000	<b>▽</b>
	-, - , -				_
Vivien G Scott IRA ⇒ ABB Ltd (ABB)	SP	08/21/2015	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ ABB Ltd (ABB)	SP	05/1/2015	S	\$15,001 - \$50,000	V
Vivien G Scott IRA ⇒ ABB Ltd (ABB)	SP	03/10/2015	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	05/11/2015	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	05/1/2015	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	03/17/2015	S	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vivien G Scott IRA ⇒ Aruba Networks, Inc. (ARUN)	SP	02/25/2015	S	\$15,001 - \$50,000	<u>~</u>
Vivien G Scott IRA ⇒ Aruba Networks, Inc. (ARUN)	SP	02/23/2015	S (partial)	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Aruba Networks, Inc. (ARUN)	SP	01/14/2015	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Bank of America Corporation (BAC)	SP	05/1/2015	S	\$15,001 - \$50,000	П
Vivien G Scott IRA ⇒ Bank of America Corporation (BAC)	SP	03/12/2015	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)	SP	11/11/2015	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)	SP	10/5/2015	P	\$1,001 - \$15,000	
Vivien G Scott IRA $\Rightarrow$ Capstone Turbine Corporation (CPST)	SP	06/16/2015	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)	SP	05/28/2015	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)	SP	02/27/2015	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Caterpillar, Inc. (CAT)	SP	08/21/2015	S	\$15,001 - \$50,000	П
Vivien G Scott IRA ⇒ Caterpillar, Inc. (CAT)	SP	05/1/2015	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Caterpillar, Inc. (CAT)	SP	04/23/2015	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)	SP	02/27/2015	S	\$1,001 - \$15,000	<b>V</b>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vivien G Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)	SP	02/19/2015	S	\$1,001 - \$15,000	<u> </u>
Vivien G Scott IRA ⇒ Clean Energy Fuels Corp. (CLNE)	SP	10/15/2015	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Clean Energy Fuels Corp. (CLNE)	SP	05/1/2015	S	\$1,001 - \$15,000	<u>~</u>
Vivien G Scott IRA ⇒ Clean Energy Fuels Corp. (CLNE)	SP	02/27/2015	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)	SP	12/8/2015	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)	SP	11/4/2015	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)	SP	10/15/2015	S	\$15,001 - \$50,000	П
Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)	SP	10/5/2015	S	\$15,001 - \$50,000	П
Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)	SP	06/16/2015	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)	SP	06/8/2015	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)	SP	03/9/2015	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)	SP	02/27/2015	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Garmin Ltd. (GRMN)	SP	10/15/2015	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Garmin Ltd. (GRMN)	SP	10/5/2015	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Garmin Ltd. (GRMN)	SP	09/2/2015	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vivien G Scott IRA ⇒ General Electric Company (GE)	SP	05/1/2015	S (partial)	\$15,001 - \$50,000	<b>▽</b>
Vivien G Scott IRA ⇒ General Electric Company (GE)	SP	03/12/2015	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Lumber Liquidators Holdings, Inc (LL)	SP	03/4/2015	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Lumber Liquidators Holdings, Inc (LL)	SP	03/4/2015	P	\$15,001 - \$50,000	
Vivien G Scott IRA $\Rightarrow$ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)	SP	11/13/2015	P	\$1,001 - \$15,000	
Vivien G Scott IRA $\Rightarrow$ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)	SP	08/21/2015	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)	SP	02/27/2015	P	\$1,001 - \$15,000	
Vivien G Scott IRA $\Rightarrow$ Revolution Lighting Technologies, Inc. (RVLT)	SP	04/23/2015	S	\$1,001 - \$15,000	<u> </u>
Vivien G Scott IRA ⇒ Revolution Lighting Technologies, Inc. (RVLT)	SP	03/17/2015	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Sirius XM Holdings Inc. (SIRI)	SP	02/27/2015	S	\$15,001 - \$50,000	<b>▽</b>
Vivien G Scott IRA ⇒ ZBB Energy Corporation (ZBB)	SP	04/16/2015	S	\$1,001 - \$15,000	<u> </u>
Vivien G Scott IRA ⇒ ZBB Energy Corporation (ZBB)	SP	02/27/2015	P	\$1,001 - \$15,000	

<sup>\*</sup> Asset class details available at the bottom of this form.

## SCHEDULE C: EARNED INCOME

Source	Туре	Amount
James Austin Scott Life Insurance Agency	Renewal commission on insurance sales	\$40,801.00
The Southern Group, LLC	Spouse income	N/A

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Туре	Amount of Liability
SP	Ameris Bank Tifton Georgia	March 2010	Line of credit	\$15,001 - \$50,000
	Ameris Bank Tifton Georgia	June 2006	Mortgage 621 McLendon Street Ashburn, GA	\$15,001 - \$50,000
	Everhome	September 2005	rental house Ashburn Turner County Georgia	\$100,001 - \$250,000
	Wells Fargo	September 2012	Mortgage primary residence	\$250,001 - \$500,000
SP	Ameris Bank Tifton Georgia	September 2012	Primary residence mortgage	\$50,001 - \$100,000
	Ameris Bank Tifton Georgia	March 2013	Real estate long pine lot	\$50,001 - \$100,000

### **S**CHEDULE **E**: **P**OSITIONS

Position	Name of Organization
Limited Partner	James W. Scott Family Limited Partnership
Limited Partner	MCA Family, LLC
Limited Partner / General Partner	A-C Scott, LLP and Aus Cur Scott, LLC

# SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2003	Principal Financial Group	vested pension benefit

### SCHEDULE G: GIFTS

None disclosed.

# SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

#### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### SCHEDULE A AND B ASSET CLASS DETAILS

• A-C SCOTT LLLP

LOCATION: US

• J. W. Scott Family Limited Partnership

LOCATION: US

• James A Scott IRA

Description: Retirement Account

o James A Scott VUL

Description: Life Insurance Contract

o MCA Family, LLC

LOCATION: US

• Vivien G Scott 401 (K)

DESCRIPTION: 401 (k)

• Vivien G Scott IRA (Owner: SP)

Description: Retirement Account

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

© Yes © No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes No

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Austin Scott, 09/26/2017