

# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Hon. Howard Coble

Status: Member State/District: NC06

### FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2013

**Filing Date:** 05/15/2014

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
78 acre farm		\$50,001 - \$100,000	None		
LOCATION: Henry County, VA, US COMMENTS: A non-working farm.					
Allianz Life Insurance Annuity		\$15,001 - \$50,000	Dividends	None	
DESCRIPTION: This is a variable annuity.					
American Equity Insurance Annuity		\$15,001 - \$50,000	Dividends	None	
DESCRIPTION: This is a variable annuity.					
Atmel Corporation (ATML)		\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	<b>✓</b>
Description: In the UBS Financial Services IRA					
Bank of America Checking Account		\$15,001 - \$50,000	Interest	\$1 - \$200	П
Bank of North Carolina Stock		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	П
Description: Common stock					

Asset	ner Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Baxter International Inc. (BAX)  DESCRIPTION: In the UBS Financial Services Resource Management Acco	\$1,001 - \$15,000 unt	Capital Gains	\$1,001 - \$2,500	~
BB&T Savings Account	\$1,001 - \$15,000	Interest	\$1 - \$200	
Capital World Growth and Income Mutual Fund	\$1,001 - \$15,000	Dividends	None	
Cisco Systems, Inc. (CSCO)  DESCRIPTION: In the UBS Financial Services Resource Management Acco	\$1,001 - \$15,000 unt	Capital Gains	\$201 - \$1,000	<b>▽</b>
Condo  Location: Watauga County, NC, US  Comments: Personal vacation home. Not rented.	\$250,001 - \$500,000	None		П
Dreyfus Premier Worldwide Growth Fund	\$15,001 - \$50,000	Dividends	None	
Federated Bond Fund	\$1,001 - \$15,000	Dividends	\$1 - \$200	П
Federated Clover Value Fund	\$15,001 - \$50,000	Dividends	\$1 - \$200	
Federated MDT Large Cap Fund	\$1,001 - \$15,000	Dividends	\$1 - \$200	
General Electric Company (GE)  DESCRIPTION: In the UBS Financial Services Resource Management Acco	\$1,001 - \$15,000 unt	Capital Gains	\$1,001 - \$2,500	<b>V</b>
Hartford insurance Variable Annuity  Description: This is a variable annuity.	\$100,001 - \$250,000	Dividends	None	
Intel Corporation (INTC)  DESCRIPTION: In the UBS Financial Services Resource Management Acco	\$1,001 - \$15,000 unt	Capital Gains	\$201 - \$1,000	
Invesco VanKampen Comstock Mutual Fund	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	П
Invesco VanKampen Equity and Income Mutual Fund	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MassMutal Insurance Transitions Annuity		\$100,001 - \$250,000	Dividends	None	
Description: This is a variable annuity.					
MassMutal Insurance Transitions Select Variable Annuity		\$100,001 - \$250,000	Dividends	None	
DESCRIPTION: This is a variable annuity.					
MassMutal Insurance Variable Annuity		\$1,001 - \$15,000	Dividends	None	
Description: This is a variable annuity.					
MassMutual Insurance Flex Extra Annuity		\$50,001 - \$100,000	Dividends	None	
DESCRIPTION: This is a variable annuity.					
New World Mutual Fund		\$1,001 - \$15,000	Dividends	None	
NewBridge Bank Stock		\$1,001 - \$15,000	Dividends	None	
Description: Common stock					
North Carolina Medicare Community Bond		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<b>▽</b>
DESCRIPTION: North Carolina Medicare Community Bond was called	d/redeem	ed on October 1, 2013.			
Oppenheimer Developing Markets Mutual Fund		\$1,001 - \$15,000	Dividends	None	
Oppenheimer Limited Term Municipal Fund		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	
Phoenix Life Insurance Annuity		\$15,001 - \$50,000	Dividends	None	
Description: This is a variable annuity.					
R.R. Donnelley & Sons Company (RRD)		\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	<u> </u>
DESCRIPTION: In the UBS Financial Services Resource Management	Account				
RS Investments Mutual Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	
State Employees Credit Union Savings Account		\$1,001 - \$15,000	Interest	\$1 - \$200	
Sterling NC Intermediate Tax Free Investment Fund		\$15,001 - \$50,000	Dividends	\$201 -	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
				\$1,000	
Sterling Select Equity Mutual Fund		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	
Sterling Select Mid Cap Growth Mutual Fund		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	П
UBS Financial Services IRA		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<b>✓</b>
UBS Financial Services Resource Management Account		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<b>▽</b>
Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD)		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<b>✓</b>
DESCRIPTION: In the UBS Financial Services Resource Managemen	nt Account				
Warner Chilcott Plc (WCRX)		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<b>✓</b>
DESCRIPTION: In the UBS Financial Services Resource Managemer Comments: This is a common stock and the online form does not		ne stock symbol.			
Wells Fargo Advisors Cap Asset Management Account		\$15,001 - \$50,000	Dividends, Interest	\$1,001 - \$2,500	
Wells Fargo Advisors Investment Account		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Western Carolina University R&D Bond		\$1,001 - \$15,000	Dividends	None	
DESCRIPTION: Bond purchased through Edward D. Jones Financia	l Services ir	Greensboro, NC			
Wright Patman Federal Credit Union Savings Account		\$15,001 - \$50,000	Interest	\$1 - \$200	
Yadkin Bank Financial Corporation Stock		\$1,001 - \$15,000	Dividends	None	
Description: Common stock Comments: This stock was renamed from Yadkin Valley Financial	Corporatio	n Stock in May 2013.			

# SCHEDULE B: TRANSACTIONS

Asset Owne	er Date	Tx. Type	Amount	Cap. Gains > \$200?
Actavis plc Ordinary Shares (ACT)  DESCRIPTION: In the UBS Financial Services Resource Management Account	10/1/2013 nt	E	\$1,001 - \$15,000	
Applied Materials, Inc. (AMAT)  DESCRIPTION: In the UBS Financial Services Resource Management Account	04/17/2014 nt	S (partial)	\$1,001 - \$15,000	
Arch Coal, Inc. (ACI)  DESCRIPTION: In the UBS Financial Services Resource Management Account	11/20/2013 nt	P	\$1,001 - \$15,000	
Atmel Corporation (ATML)  DESCRIPTION: In the UBS Financial Services Resource Management Account	02/19/2013 at	S (partial)	\$1,001 - \$15,000	<u> </u>
Baxter International Inc. (BAX)  Description: In the UBS Financial Services Resource Management Account	02/19/2013	S (partial)	\$1,001 - \$15,000	<u> </u>
Boston Scientific Corporation (BSX)  Description: In the UBS Financial Services Resource Management Account	04/17/2013 at	S (partial)	\$1,001 - \$15,000	
Charles Schwab Corporation (SCHW)  DESCRIPTION: In the UBS Financial Services IRA	04/17/2013	S (partial)	\$1,001 - \$15,000	
Charles Schwab Corporation (SCHW)  DESCRIPTION: In the UBS Financial Services Resource Management Account	04/17/2013 nt	S (partial)	\$1,001 - \$15,000	
Cisco Systems, Inc. (CSCO)  DESCRIPTION: In the UBS Financial Services Resource Management Account	06/18/2013	S (partial)	\$1,001 - \$15,000	V
Corning Incorporated (GLW)  DESCRIPTION: In the UBS Financial Services Resource Management Account	<b>04/17/2013</b>	S (partial)	\$1,001 - \$15,000	
eBay Inc. (EBAY)  DESCRIPTION: In the UBS Financial Services Resource Management Account	12/23/2013 nt	P	\$1,001 - \$15,000	
Edwards Lifesciences Corporation (EW)  DESCRIPTION: In the UBS Financial Services Resource Management Account	08/28/2013 nt	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
EMC Corporation (EMC)  DESCRIPTION: In the UBS Financial Services Resource Management.	Account	04/17/2013	P	\$1,001 - \$15,000	
Endo International plc - Ordinary Shares (ENDP)  Description: In the UBS Financial Services Resource Management	Account	02/19/2013	P	\$1,001 - \$15,000	
Endo International plc - Ordinary Shares (ENDP)  Description: In the UBS Financial Services Resource Management	Account	11/20/2013	S (partial)	\$1,001 - \$15,000	П
Fidelity & Guaranty Life (FGL)  DESCRIPTION: Fidelity VIP Contrafund		04/3/2013	P	\$1,001 - \$15,000	
Flextronics International Ltd Ordinary Shares (FLEX)  DESCRIPTION: In the UBS Financial Services IRA		04/17/2013	S (partial)	\$1,001 - \$15,000	П
Flextronics International Ltd Ordinary Shares (FLEX)  DESCRIPTION: In the UBS Financial Services Resource Management	Account	04/17/2013	S (partial)	\$1,001 - \$15,000	П
General Electric Company (GE)  DESCRIPTION: In the UBS Financial Services IRA		02/19/2013	S (partial)	\$1,001 - \$15,000	
General Electric Company (GE)  DESCRIPTION: In the UBS Financial Services Resource Management	Account	08/28/2013	S (partial)	\$1,001 - \$15,000	<b>▽</b>
Hartford Financial Services Group, Inc. (HIG)  DESCRIPTION: In the UBS Financial Services IRA		06/18/2013	S (partial)	\$1,001 - \$15,000	
Hartford Financial Services Group, Inc. (HIG)  DESCRIPTION: Hartford Value HLS Fund		04/3/2013	P	\$1,001 - \$15,000	
Hartford Financial Services Group, Inc. (HIG)  DESCRIPTION: Hartford US Government Securities HLS Fund		04/3/2013	P	\$1,001 - \$15,000	
Hillshire Brands Company (HSH)  DESCRIPTION: In the UBS Financial Services Resource Management	Account	10/7/2013	P	\$1,001 - \$15,000	
Impax Laboratories, Inc. (IPXL)		12/23/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: In the UBS Financial Services Resource Management	Account				
Intel Corporation (INTC)  DESCRIPTION: In the UBS Financial Services Resource Management	Account	08/28/2013	S (partial)	\$1,001 - \$15,000	<u>~</u>
KCG Holdings, Inc. Class A (KCG)	120000111	04/17/2013	S (partial)	\$1,001 - \$15,000	
DESCRIPTION: In the UBS Financial Services Resource Management Comments: Listed as Knight Capital Group, Inc., in the previously-f					
Linn Energy, LLC - Common Units representing limited liability company interests (LINE)		08/28/2013	P	\$1,001 - \$15,000	
DESCRIPTION: In the UBS Financial Services Resource Management	Account				
Morgan Stanley (MS)  DESCRIPTION: In the UBS Financial Services Resource Management	Account	08/28/2013	S (partial)	\$1,001 - \$15,000	
Nuance Communications, Inc. (NUAN)		04/17/2013	P	\$1,001 - \$15,000	
DESCRIPTION: In the UBS Financial Services IRA					
Nuance Communications, Inc. (NUAN)  DESCRIPTION: In the UBS Financial Services Resource Management	Account	11/20/2013	P	\$1,001 - \$15,000	
Nuance Communications, Inc. (NUAN)		12/23/2013	S (partial)	\$1,001 - \$15,000	П
DESCRIPTION: In the UBS Financial Services Resource Management	Account				
Nuance Communications, Inc. (NUAN)  DESCRIPTION: In the UBS Financial Services Resource Management	Account	04/17/2013	P	\$1,001 - \$15,000	
R.R. Donnelley & Sons Company (RRD)  DESCRIPTION: In the UBS Financial Services Resource Management	Account	02/19/2013	P	\$1,001 - \$15,000	
R.R. Donnelley & Sons Company (RRD)		11/20/2013	S (partial)	\$1,001 - \$15,000	
DESCRIPTION: In the UBS Financial Services Resource Management	Account				
R.R. Donnelley & Sons Company (RRD)		12/23/2013	S (partial)	\$1,001 - \$15,000	<b>▽</b>
DESCRIPTION: In the UBS Financial Services Resource Management	Account				

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Verifone Systems, Inc. (PAY)		06/18/2013	P	\$1,001 - \$15,000	
DESCRIPTION: In the UBS Financial Services IRA  COMMENTS: Listed twice here. Combined as one listing in the PTR Separately, it is in the category of \$1-15 thousand.	filed on 6/2	25/13 because total wa	as in the ca	tegory of \$15-50 thou	sand.
Verifone Systems, Inc. (PAY)		06/18/2013	P	\$1,001 - \$15,000	
DESCRIPTION: In the UBS Financial Services Resource Management Comments: Listed twice here. Combined as one listing in the PTR Separately, it is in the category of \$1-15 thousand.		25/13 because total wa	as in the ca	tegory of \$15-50 thou	sand.
Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD)		10/7/2013	S (partial)	\$1,001 - \$15,000	<u>~</u>
Description: In the UBS Financial Services Resource Managemen	t Account				
Warner Chilcott Plc (WCRX)		06/18/2013	S (partial)	\$1,001 - \$15,000	<b>∀</b>
LOCATION: US  DESCRIPTION: In the UBS Financial Services Resource Management  COMMENTS: This is a common stock and the online form did not rewith a combined value of \$1-15 thousand.		e stock symbol. Combi	ned as one	listing in the PTR file	ed on 6/25/1
Warner Chilcott Plc (WCRX)		10/1/2013	E	\$1,001 - \$15,000	
LOCATION: US  DESCRIPTION: In the UBS Financial Services Resource Management  COMMENTS: This is a common stock and the online form does not re-		ne stock symbol.			
Warner Chilcott, Plc (WCRX)		06/18/2013	S (partial)	\$1,001 - \$15,000	
LOCATION: US  DESCRIPTION: In the UBS Financial Services IRA.  COMMENTS: This is a common stock but form did not recognize sto	ck ticker. C	Combined as one listin	g in the PT	'R filed on 6/25/13 wi	th a combin

# SCHEDULE C: EARNED INCOME

None disclosed.

value of \$1-15 thousand.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: Positions

Position	Name of Organization
Member, Board of Visitors	Guilford College, Greensboro, North Carolina
Member, Congressional Advisory Board	Greensboro College, Greensboro, North Carolina
Member, Congressional Advisory Board	DECA: An Association of Marketing Students, Reston, Virginia
Member, Congressional Council	Faith & Politics Institute, Washington, D.C.
Member, Congressional Advisory Council	American Conservative Union, Alexandria, Virginia
Member, Congressional Advisory Board	ThanksUSA, McLean, Virginia
Member, Board of Visitors	United States Coast Guard Academy, New London, Connecticut

#### SCHEDULE F: AGREEMENTS

None disclosed.

#### SCHEDULE G: GIFTS

None disclosed.

#### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

C Yes No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ○ No

#### **COMMENTS**

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Howard Coble, 05/15/2014