



Filing ID #10014697

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Ralph W. Norman Jr.  
**Status:** Congressional Candidate  
**State/District:** SC05

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2017  
**Filing Date:** 04/2/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
4Norm LLC		\$5,000,001 - \$25,000,000	Rent	\$15,001 - \$50,000	\$5,001 - \$15,000
LOCATION: York County, SC, US					
Americal Century MID Cap		\$1,001 - \$15,000	None		
American Funds Service company		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
American Funds Service company		\$100,001 - \$250,000	None		
Bank of America Corporation (BAC)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Bank of America Corporation (BAC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
BB&T Corporation (BBT)		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Coca-Cola Bottling Co. Consolidated (COKE)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Collenge Investment		\$500,001 - \$1,000,000	None		
LOCATION: Rock Hill, SC, US DESCRIPTION: raw land					
Cowland		\$100,001 - \$250,000	None		
LOCATION: Chester , SC, US					
Deere & Company (DE)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
DFRPX		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Dominion Resources, Inc. (D)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Dominion Resources, Inc. (D)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Dreyfus Mid Cap Index & small cap		\$1,001 - \$15,000	None		
DRW Ebinport LLC		\$250,001 - \$500,000	Capital Gains	\$5,001 - \$15,000	\$15,001 - \$50,000
LOCATION: Rock Hill, SC, US					
DTE Energy Company (DTE)		\$1,001 - \$15,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
First Land LLC		\$500,001 - \$1,000,000	R.E. holdings	\$15,001 - \$50,000	\$15,001 - \$50,000
LOCATION: York County, SC, US					
Four Belles LLC		\$1,000,001 - \$5,000,000	Rent	None	\$5,001 - \$15,000
LOCATION: Charleston, SC, US					
Icon Energy & Financial		\$1,001 - \$15,000	None		
Icon Healthcare CL C		\$1,001 - \$15,000	None		
India Hook Development LLC		\$500,001 - \$1,000,000	None		
LOCATION: Rock Hill, SC, US					
Kanawha Land, a Ltd Partnership		\$100,001 - \$250,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LOCATION: Rock Hill, SC, US					
Landover One Timber		\$250,001 - \$500,000	None		
LOCATION: Pender, NC, US					
LPL Financial		\$250,001 - \$500,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Metropolitan West Ultra Short Bond		\$1,001 - \$15,000	None		
DESCRIPTION: corporate bond					
MFS High Income CL		\$1,001 - \$15,000	None		
Norman Development LLC		\$25,000,001 - \$50,000,000	Rent	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
LOCATION: Rock Hill, SC, US					
Northern Small Cap		\$1,001 - \$15,000	None		
Park Sterling Bank	JT	\$250,001 - \$500,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Pfizer, Inc. (PFE)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
Piedmont Hills, a Limited Partnership		\$500,001 - \$1,000,000	Capital Gains	\$201 - \$1,000	\$201 - \$1,000
LOCATION: Rock Hill, SC, US					
Pioneer Dynamic Credit CL C		\$50,001 - \$100,000	None		
Pioneer Fundimental Growth CLC		\$50,001 - \$100,000	None		
PNC Bank, National Association		\$5,000,001 - \$25,000,000	Interest	\$1 - \$200	\$1 - \$200
R & E LLC		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	\$15,001 - \$50,000
LOCATION: Rock Hill, SC, US					
R D Corners		\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	\$100,001 - \$1,000,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LOCATION: Rock Hill, SC, US					
Ralph & Elaine Norman		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Ralph & Elaine Norman		\$15,001 - \$50,000	None		
Rock Ridge 2 LLC		\$500,001 - \$1,000,000	None		
LOCATION: York, SC, US					
Rock Ridge 3 LLC, 50% Interest		\$500,001 - \$1,000,000	None		
LOCATION: York, SC, US					
SCANA Corporation (SCG)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
South State Corporation (SSB)		\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000	\$15,001 - \$50,000
South State Corporation (SSB)		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	\$15,001 - \$50,000
Southern Company (SO)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
TIAA Cref Small Cap		\$1,001 - \$15,000	None		
Vacant Lots in Rock Hill		\$100,001 - \$250,000	None		
LOCATION: Rock Hill, SC, US					
Walt Disney Company (DIS)		\$15,001 - \$50,000	None		
Warren Norman Co. 401K Plan		\$500,001 - \$1,000,000	None		
Wasatch Cort Growth Investor CL		\$1,001 - \$15,000	None		
Wells Fargo Utility & Telecom Adm		\$1,001 - \$15,000	None		

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
S.C. House of Representatives-state Gov.	elected official	\$3,200.00	\$10,500.00
Warren Norman & CO	salary	\$38,000.00	\$98,000.00

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Park Sterling	2016	finance hotel, storage facility, & misc. R.E. Holdings	\$1,000,001 - \$5,000,000
	South State	2016	Line of Credit & hotel	\$1,000,001 - \$5,000,000
	Bank of York	2014	Finance strip shopping center	\$1,000,001 - \$5,000,000
	RGA	2010	finace shopping center	\$250,001 - \$500,000
	T D Bank	2010	retail shopping center	\$500,001 - \$1,000,000
	American National	2012	Retail center	\$50,001 - \$100,000
	Sheffield financial	2015	mule tractor	\$10,000 - \$15,000

**SCHEDULE E: POSITIONS**

Position	Name of Organization
Director	South State Bank

**SCHEDULE F: AGREEMENTS**

None disclosed.

**SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

None disclosed.

**EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Ralph W. Norman Jr., 04/2/2017