

UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT

FORM B

For use by candidates and new employees

Period covered: January 1, 2011 - Oct 17, 2012

OCT 18 2012

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Name: **BETO O'ROURKE**

Daytime Telephone

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U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

[Signature]

Filer Status	<input checked="" type="checkbox"/> Candidate for the House of Representatives	State: <u>TX</u> District: <u>16</u>	Date of Election: <u>Nov 6, 2012</u>	Check if Amendment <input type="checkbox"/>	A \$200 penalty shall be assessed against any individual who files more than 30 days late.
	<input type="checkbox"/> New officer or employee	Employing Office: _____			

In all sections, please type or print clearly in blue or black ink.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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Source (include date of receipt for honoraria)

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Statement Period : 07/01/12 to 09/30/12



STATEMENT

FMTC CUSTODIAN SIMPLE IRA
FBO ROBERT OROURKERep. Name: DUCHOUQUETTE
Rep. Number: DM75331Dealer Number: 833
Branch Number: 0125

FIDELITY ADV FREEDOM 2040-CL A							
Fund# 1199				Account # 1000000000		CUSIP # 315792747	
Transaction Description	Front-End Sales Charge	Confirm Date	Trade Date	Dollar Amount	Share Price	Share Amount	Share Balance
Beginning Balance							274.296
Salary Reduction Contrib By Ck	3.55%	07/03/12	07/03/12	\$ 37.50	\$ 12.73	2.946	277.242
Employer Contribution By Check	3.55%	07/03/12	07/03/12	37.50	12.73	2.946	280.188
Salary Reduction Contrib By Ck	3.52%	08/27/12	08/27/12	37.50	13.05	2.874	283.062
Employer Contribution By Check	3.52%	08/27/12	08/27/12	37.50	13.05	2.874	285.936
Salary Reduction Contrib By Ck	3.52%	08/29/12	08/29/12	37.50	13.06	2.871	288.807
Employer Contribution By Check	3.52%	08/29/12	08/29/12	37.50	13.06	2.871	291.678
Salary Reduction Contrib By Ck	3.49%	09/18/12	09/18/12	37.50	13.50	2.778	294.456
Employer Contribution By Check	3.49%	09/18/12	09/18/12	37.50	13.50	2.778	297.234
Salary Reduction Contrib By Ck	3.49%	09/24/12	09/24/12	37.50	13.47	2.784	300.018
Employer Contribution By Check	3.49%	09/24/12	09/24/12	37.50	13.47	2.784	302.802
2012 Retirement Custodial Fee		09/28/12	09/28/12	(30.00)	12.90	(2.326)	300.476
Ending Balance							300.476
FIDELITY ADV FREEDOM 2040-CL B							
Fund# 1201				Account # 1000000000		CUSIP # 315792739	
Transaction Description	Front-End Sales Charge	Confirm Date	Trade Date	Dollar Amount	Share Price	Share Amount	Share Balance
Beginning Balance							1,151.569
No Transaction Activity For This Period							
Ending Balance							1,151.569

Statement Period : 07/01/12 to 09/30/12



STATEMENT

Env # 8 088105847 D
FMTC CUSTODIAN SIMPLE IRA
FBO ROBERT OROURKE

Account # ~~2000000000~~

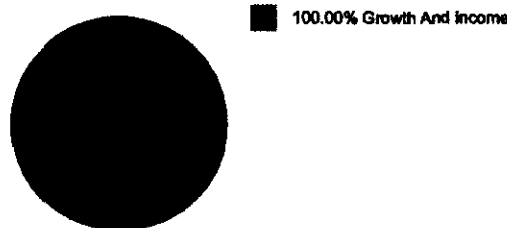
TOWER SQUARE SECURITIES INC
419 EXECUTIVE CENTER BLVD
EL PASO, TX 79902-1003

Rep. Name: DUCHOUQUETTE
Rep. Number: DM75331

Dealer Number: 833
Branch Number: 0125

Plan Name : STANTON STREET TECHN
Plan Number : R7149

PORTFOLIO ALLOCATION BY ASSET CLASS



PORTFOLIO SUMMARY

Portfolio Value as of 06/30/12	\$	17,146.04
Additions	\$	375.00
Withdrawals	\$	0.00
Change In Investment Value	\$	1,026.09
Ending Value as of 09/30/12	\$	18,547.13

Change in investment value represents any appreciation or depreciation of your portfolio as well as any sales load paid.

MUTUAL FUND ACCOUNT SUMMARY

Fund Name	Fund - Account #	Market Value		Shares Held	NAV	% of
		06/30/12	09/30/12	09/30/12	09/30/12	Total
FIDELITY ADV FREEDOM 2040-CL A	1196 XXXXXXXXXX	3,327.21	3,876.14	300.476	12.90	20.80
FIDELITY ADV FREEDOM 2040-CL B	1201 XXXXXXXXXX	13,818.83	14,670.99	1,151.569	12.74	79.20
TOTAL		\$17,146.04	\$18,547.13			100.00

DIVIDEND AND CAPITAL GAIN SUMMARY

Fund Name	Fund - Account #	Dividend	Short Term Capital Gain	Long Term Capital Gain	Total
FIDELITY ADV FREEDOM 2040-CL A	1196 XXXXXXXXXX				
DIVIDEND OPTION : REINVEST	CURRENT PERIOD	0.00	0.00	0.00	0.00
CAPITAL GAIN OPTION : REINVEST	YEAR TO DATE	3.25	0.00	0.00	3.25
TOTAL	CURRENT PERIOD \$	0.00	0.00	0.00	0.00
	YEAR TO DATE	3.25	0.00	0.00	3.25

FMTC CUSTODIAN SIMPLE IRA
FBO ROBERT OROURKE

MUTUAL FUND ACTIVITY

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M # 092812 1300 088105847

Web Address: advisor.fidelity.com

Fidelity Distributors Corporation (General Distribution Agent)

Regular Mail: Fidelity Investments Institutional Operations Company, Inc., PO Box 770002, Cincinnati, OH 45277-0063

Overnight Delivery: Fidelity Investments Institutional Operations Company, Inc., 100 Crosby Parkway Mail Zone KC1G, Covington, KY 41015-4325

Statement Period : 01/01/11 to 12/31/11



STATEMENT

FMTC CUSTODIAN SIMPLE IRA
FBO ROBERT OROURKERep. Name: DUCHOUQUETTE
Rep. Number: DM75331Dealer Number: 833
Branch Number: 0125

FIDELITY ADV FREEDOM 2040-CL A							
Fund# 1199				Account # 0000000000		CUSIP # 315792747	
Transaction Description	Front-End Sales Charge	Confirm Date	Trade Date	Dollar Amount	Share Price	Share Amount	Share Balance
Employer Contribution By Check	3.52%	08/22/11	08/22/11	37.50	11.65	3.219	149.391
Salary Reduction Contrib By Ck	3.52%	09/06/11	09/06/11	37.50	11.90	3.151	152.542
Employer Contribution By Check	3.52%	09/06/11	09/06/11	37.50	11.90	3.151	155.893
Salary Reduction Contrib By Ck	3.52%	09/20/11	09/20/11	37.50	11.97	3.133	158.826
Employer Contribution By Check	3.52%	09/20/11	09/20/11	37.50	11.97	3.133	161.959
2011 Retirement Custodial Fee		09/30/11	09/30/11	(30.00)	10.90	(2.752)	159.207
Salary Reduction Contrib By Ck	3.55%	10/03/11	10/03/11	37.50	11.02	3.403	162.610
Employer Contribution By Check	3.55%	10/03/11	10/03/11	37.50	11.02	3.403	166.013
Salary Reduction Contrib By Ck	3.52%	10/24/11	10/24/11	37.50	12.26	3.059	169.072
Employer Contribution By Check	3.52%	10/24/11	10/24/11	37.50	12.26	3.059	172.131
Salary Reduction Contrib By Ck	3.47%	11/03/11	11/03/11	37.50	12.38	3.029	175.160
Employer Contribution By Check	3.47%	11/03/11	11/03/11	37.50	12.38	3.029	178.189
Salary Reduction Contrib By Ck	3.47%	11/16/11	11/16/11	37.50	12.12	3.094	181.283
Employer Contribution By Check	3.47%	11/16/11	11/16/11	37.50	12.12	3.094	184.377
Salary Reduction Contrib By Ck	3.52%	12/05/11	12/05/11	37.50	12.26	3.059	187.436
Employer Contribution By Check	3.52%	12/05/11	12/05/11	37.50	12.26	3.059	190.495
Salary Reduction Contrib By Ck	3.49%	12/19/11	12/19/11	37.50	11.76	3.189	193.684
Employer Contribution By Check	3.49%	12/19/11	12/19/11	37.50	11.76	3.189	196.873
Salary Reduction Contrib By Ck	3.47%	12/29/11	12/29/11	37.50	11.83	3.170	200.043
Employer Contribution By Check	3.47%	12/29/11	12/29/11	37.50	11.83	3.170	203.213
Reinvested Dividend		12/29/11	12/29/11	29.33	11.42	2.568	205.781
St Capital Gain Reinvest		12/29/11	12/29/11	35.04	11.42	3.068	208.849
Ending Balance							208.849
FIDELITY ADV FREEDOM 2040-CL B							
Fund# 1201				Account # 0000000000		CUSIP # 0000000000	
Transaction Description	Front-End Sales Charge	Confirm Date	Trade Date	Dollar Amount	Share Price	Share Amount	Share Balance
Beginning Balance							1,125.067
St Capital Gain Reinvest		05/06/11	05/06/11	\$ 21.38	\$ 12.86	1.663	1,126.730
Reinvested Dividend		12/29/11	12/29/11	81.12	11.34	7.153	1,133.883
St Capital Gain Reinvest		12/29/11	12/29/11	200.56	11.34	17.686	1,151.569
Ending Balance							1,151.569

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M # 123011 1300 088055520

Web Address: advisor.fidelity.com

Fidelity Distributors Corporation (General Distribution Agent)

Regular Mail: Fidelity Investments Institutional Operations Company, Inc., PO Box 770002, Cincinnati, OH 45277-0083

Overnight Delivery: Fidelity Investments Institutional Operations Company, Inc., 100 Crosby Parkway Mail Zone KC1G, Covington, KY 41015-4325

Statement Period : 01/01/11 to 12/31/11



STATEMENT

FMTC CUSTODIAN SIMPLE IRA
FBO ROBERT OROURKERep. Name: DUCHOUQUETTE
Rep. Number: DM75331Dealer Number: 833
Branch Number: 0125

FMTC CUSTODIAN SIMPLE IRA FBO ROBERT OROURKE		MUTUAL FUND ACTIVITY			IRA Fair Market Value as of 12/31/11:		
					\$ 15,432.34		
FIDELITY ADV FREEDOM 2040-CL A		Fund# 1199	Account #	CUSIP #			
Transaction Description	Front-End Sales Charge	Confirm Date	Trade Date	Dollar Amount	Share Price	Share Amount	Share Balance
Beginning Balance							55.419
Salary Reduction Contrib By Ck	3.49%	01/05/11	01/05/11	\$ 52.50	\$ 12.93	4.060	59.479
Employer Contribution By Check	3.49%	01/05/11	01/05/11	52.50	12.93	4.060	63.539
Salary Reduction Contrib By Ck	3.52%	01/19/11	01/19/11	37.50	13.03	2.878	66.417
Employer Contribution By Check	3.52%	01/19/11	01/19/11	37.50	13.03	2.878	69.296
Salary Reduction Contrib By Ck	3.47%	02/10/11	02/10/11	37.50	13.26	2.828	72.123
Employer Contribution By Check	3.47%	02/10/11	02/10/11	37.50	13.26	2.828	74.951
Salary Reduction Contrib By Ck	3.49%	03/11/11	03/11/11	37.50	13.17	2.847	77.798
Employer Contribution By Check	3.49%	03/11/11	03/11/11	37.50	13.17	2.847	80.645
Salary Reduction Contrib By Ck	3.49%	03/22/11	03/22/11	37.50	13.16	2.850	83.495
Employer Contribution By Check	3.49%	03/22/11	03/22/11	37.50	13.16	2.850	86.345
Salary Reduction Contrib By Ck	3.47%	03/28/11	03/28/11	37.50	13.25	2.830	89.175
Employer Contribution By Check	3.47%	03/28/11	03/28/11	37.50	13.25	2.830	92.005
Salary Reduction Contrib By Ck	3.55%	04/08/11	04/08/11	37.50	13.58	2.761	94.766
Employer Contribution By Check	3.55%	04/08/11	04/08/11	37.50	13.58	2.761	97.527
Salary Reduction Contrib By Ck	3.49%	05/08/11	05/08/11	37.50	13.45	2.788	100.315
Employer Contribution By Check	3.49%	05/08/11	05/08/11	37.50	13.45	2.788	103.103
Reinvested Dividend		05/08/11	05/08/11	0.88	12.98	0.068	103.171
St Capital Gain Reinvest		05/08/11	05/08/11	2.83	12.98	0.218	103.389
Salary Reduction Contrib By Ck	3.49%	05/11/11	05/11/11	37.50	13.47	2.784	106.173
Employer Contribution By Check	3.49%	05/11/11	05/11/11	37.50	13.47	2.784	108.957
Salary Reduction Contrib By Ck	3.49%	06/07/11	06/07/11	37.50	13.17	2.847	111.804
Employer Contribution By Check	3.49%	06/07/11	06/07/11	37.50	13.17	2.847	114.651
Salary Reduction Contrib By Ck	3.52%	07/01/11	07/01/11	37.50	13.40	2.799	117.450
Employer Contribution By Check	3.52%	07/01/11	07/01/11	37.50	13.40	2.799	120.249
Salary Reduction Contrib By Ck	3.47%	07/19/11	07/19/11	37.50	13.28	2.824	123.073
Employer Contribution By Check	3.47%	07/19/11	07/19/11	37.50	13.28	2.824	125.897
Salary Reduction Contrib By Ck	3.52%	07/20/11	07/20/11	37.50	13.32	2.815	128.712
Employer Contribution By Check	3.52%	07/20/11	07/20/11	37.50	13.32	2.815	131.527
Salary Reduction Contrib By Ck	3.49%	07/25/11	07/25/11	37.50	13.44	2.790	134.317
Employer Contribution By Check	3.49%	07/25/11	07/25/11	37.50	13.44	2.790	137.107
Salary Reduction Contrib By Ck	3.52%	08/03/11	08/03/11	37.50	12.83	2.923	140.030
Employer Contribution By Check	3.52%	08/03/11	08/03/11	37.50	12.83	2.923	142.953
Salary Reduction Contrib By Ck	3.52%	08/22/11	08/22/11	37.50	11.65	3.219	146.172

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Statement Period : 01/01/11 to 12/31/11



Your IRA Fair Market Value (FMV) is reported on this Fidelity Advisor Funds Customer Statement for the period 1/1/11-12/31/11. The 12/31/11 FMV will also be reported to the IRS. You will not receive an IRS Form 5498 in January. If you make or have made a contribution to your IRA, including a recharacterization or Roth IRA conversion contribution for tax year 2011, or a rollover contribution, you will receive IRS Form 5498 in May.

STATEMENT

Env #
FMTC CUSTODIAN SIMPLE IRA
FBO ROBERT O'ROURKE

Account # ~~1199-0111111111~~

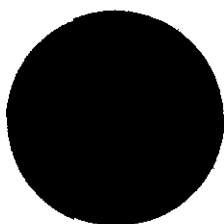
TOWER SQUARE SECURITIES INC
419 EXECUTIVE CENTER BLVD
EL PASO, TX 79902-1003

Rep. Name: DUCHOUQUETTE
Rep. Number: DM75331

Dealer Number: 833
Branch Number: 0125

Plan Name : STANTON STREET TECHN
Plan Number : R7149

PORTFOLIO ALLOCATION BY ASSET CLASS



100.00% Growth And Income

PORTFOLIO SUMMARY

Portfolio Value as of 12/31/10	\$	14,478.30
Additions	\$	1,905.00
Withdrawals	\$	0.00
Change in Investment Value	\$	(950.96)
Ending Value as of 12/31/11	\$	15,432.34

Change in Investment value represents any appreciation or depreciation of your portfolio as well as any sales load paid.

MUTUAL FUND ACCOUNT SUMMARY

Fund Name	Fund - Account #	Market Value		Shares Held 12/31/11	NAV 12/31/11	% of Total
		12/31/10	12/31/11			
FIDELITY ADV FREEDOM 2040-CL A	1199-0111111111	684.96	2,385.06	208.849	11.42	15.40
FIDELITY ADV FREEDOM 2040-CL B	1201-0111111111	13,793.32	13,047.28	1,151.569	11.33	84.60
TOTAL		\$14,478.30	\$15,432.34			100.00

DIVIDEND AND CAPITAL GAIN SUMMARY

Fund Name	Fund - Account #	Dividend	Short Term Capital Gain	Long Term Capital Gain	Total
FIDELITY ADV FREEDOM 2040-CL A	1199-0111111111				
DIVIDEND OPTION : REINVEST	CURRENT PERIOD	30.21	37.87	0.00	68.08
CAPITAL GAIN OPTION : REINVEST	YEAR TO DATE	30.21	37.87	0.00	68.08
FIDELITY ADV FREEDOM 2040-CL B	1201-0111111111				
DIVIDEND OPTION : REINVEST	CURRENT PERIOD	81.12	221.94	0.00	303.06
CAPITAL GAIN OPTION : REINVEST	YEAR TO DATE	81.12	221.94	0.00	303.06
TOTAL	CURRENT PERIOD \$	111.33	259.81	0.00	371.14
	YEAR TO DATE	111.33	259.81	0.00	371.14

Continuation Sheet (if needed)

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ATTACHMENT 2 - Page 1 of 7

1099-DIV DIVIDENDS & DISTRIBUTIONS

Total Ordinary Dividends

DESCRIPTION	CUSIP	PAY DATE	ORDINARY DIVIDENDS	QUALIFIED DIVIDENDS	FEDERAL INCOME TAX WITHHELD	FOREIGN TAX PAID	COUNTRY
ALLIANCEBER INTL VALUE A	018913103	12/22/11	\$139.05	\$139.09	\$0.00	\$14.98	VARIOUS
AMERICAN GR FD OF AMERICA F1	399874403	12/20/11	\$22.57	\$22.57	\$0.00	\$0.00	
BLACKROCK EQUITY DIVIDEND A	09251M108	07/21/11	\$13.98	\$13.98	\$0.00	\$0.00	
BLACKROCK EQUITY DIVIDEND A	09251M108	10/20/11	\$12.82	\$12.82	\$0.00	\$0.00	
BLACKROCK EQUITY DIVIDEND A	09251M108	12/08/11	\$17.83	\$17.83	\$0.00	\$0.00	
BLACKROCK US OPPORTUNITIES SVC	091929778	12/12/11	\$11.22	\$7.13	\$0.00	\$0.00	
DELAWARE SMALL CAP VAL A	248097109	12/22/11	\$10.13	\$10.13	\$0.00	\$0.00	
DWS DREMAN SMALL CAP VAL A	23338F820	03/24/11	\$1.16	\$0.79	\$0.00	\$0.00	
DWS DREMAN SMALL CAP VAL A	23338F820	12/19/11	\$10.54	\$7.17	\$0.00	\$0.00	
E V INCOME FUND OF BOSTON A	277807101	01/31/11	\$12.07	\$0.00	\$0.00	\$0.00	
E V INCOME FUND OF BOSTON A	277807101	02/28/11	\$10.92	\$0.00	\$0.00	\$0.00	
E V INCOME FUND OF BOSTON A	277807101	03/31/11	\$11.90	\$0.00	\$0.00	\$0.00	
E V INCOME FUND OF BOSTON A	277807101	04/29/11	\$12.30	\$0.00	\$0.00	\$0.00	
E V INCOME FUND OF BOSTON A	277807101	05/31/11	\$12.75	\$0.00	\$0.00	\$0.00	
E V INCOME FUND OF BOSTON A	277807101	06/30/11	\$12.56	\$0.00	\$0.00	\$0.00	
E V INCOME FUND OF BOSTON A	277807101	07/28/11	\$13.02	\$0.00	\$0.00	\$0.00	
E V INCOME FUND OF BOSTON A	277807101	08/31/11	\$12.75	\$0.00	\$0.00	\$0.00	
E V INCOME FUND OF BOSTON A	277807101	09/30/11	\$12.35	\$0.00	\$0.00	\$0.00	
E V INCOME FUND OF BOSTON A	277807101	10/31/11	\$12.97	\$0.00	\$0.00	\$0.00	
E V INCOME FUND OF BOSTON A	277807101	11/30/11	\$12.60	\$0.00	\$0.00	\$0.00	
E V INCOME FUND OF BOSTON A	277807101	12/30/11	\$12.85	\$0.00	\$0.00	\$0.00	
INVESTCO DEVELOPING MARKETS A	001411577	12/09/11	\$5.02	\$5.02	\$0.00	\$0.00	
INVESTCO INTL GROWTH A	008882102	12/09/11	\$38.99	\$38.99	\$0.00	\$4.99	VARIOUS
INVESTCO PREMIER PTF INSTL	00142W868	11/30/11	\$0.17	\$0.00	\$0.00	\$0.00	
INVESTCO PREMIER PTF INSTL	00142W868	12/30/11	\$0.23	\$0.00	\$0.00	\$0.00	
JP MORGAN INTREPID VALUE A	4812A0284	03/31/11	\$12.70	\$12.73	\$0.00	\$0.00	
JP MORGAN INTREPID VALUE A	4812A0284	06/30/11	\$7.20	\$7.20	\$0.00	\$0.00	
JP MORGAN MID CAP VALUE A	339128308	12/20/11	\$6.63	\$6.63	\$0.00	\$0.00	
METROPOLITAN WST TOT RET BD M	582805103	01/31/11	\$12.14	\$0.00	\$0.00	\$0.00	
METROPOLITAN WST TOT RET BD M	582805103	02/28/11	\$10.32	\$0.00	\$0.00	\$0.00	
METROPOLITAN WST TOT RET BD M	582805103	03/31/11	\$12.18	\$0.00	\$0.00	\$0.00	
METROPOLITAN WST TOT RET BD M	582805103	04/28/11	\$11.70	\$0.00	\$0.00	\$0.00	
METROPOLITAN WST TOT RET BD M	582805103	05/31/11	\$12.47	\$0.00	\$0.00	\$0.00	
METROPOLITAN WST TOT RET BD M	582805103	06/30/11	\$11.33	\$0.00	\$0.00	\$0.00	
METROPOLITAN WST TOT RET BD M	582805103	07/29/11	\$13.23	\$0.00	\$0.00	\$0.00	
METROPOLITAN WST TOT RET BD M	582805103	08/31/11	\$13.47	\$0.00	\$0.00	\$0.00	
METROPOLITAN WST TOT RET BD M	582805103	09/30/11	\$12.82	\$0.00	\$0.00	\$0.00	
METROPOLITAN WST TOT RET BD M	582805103	10/31/11	\$13.06	\$0.00	\$0.00	\$0.00	
METROPOLITAN WST TOT RET BD M	582805103	11/30/11	\$12.31	\$0.00	\$0.00	\$0.00	
METROPOLITAN WST TOT RET BD M	582805103	12/12/11	\$15.98	\$0.00	\$0.00	\$0.00	

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1099-DIV DIVIDENDS & DISTRIBUTIONS (continued)

Capital Gain Distributions (continued)

DESCRIPTION	CUSIP	PAY DATE	CAPITAL GAIN DISTRIBUTIONS	UNRECAPTURED 1250 GAIN	FEDERAL INCOME TAX WITHHELD	COLLECTIBLE 28% GAIN
INVESCO DEVELOPING MARKETS A	001411577	12/09/11	\$12.98	\$0.00	\$0.00	\$0.00
METROPOLITAN WST TOT RET BDM	592905103	12/12/11	\$12.10	\$0.00	\$0.00	\$0.00
Total Capital Gain Distributions 1099-DIV box 2a			\$172.02			
Total Unrecaptured 1250 Gain 1099-DIV box 2b				\$0.00		
Total Collectible 28% Gain 1099-DIV box 2d						\$0.00
Total Federal Income Tax Withheld 1099-DIV box 4					\$0.00	

1099-INT INTEREST INCOME

Interest Income

DESCRIPTION	CUSIP	PAY DATE	AMOUNT	FEDERAL INCOME TAX WITHHELD
MORGAN STANLEY BANK N.A.	061870903	01/28/11	\$0.18	\$0.00
MORGAN STANLEY BANK N.A.	061870903	02/25/11	\$0.19	\$0.00
MORGAN STANLEY BANK N.A.	061870903	03/30/11	\$0.22	\$0.00
MORGAN STANLEY BANK N.A.	061870903	04/28/11	\$0.20	\$0.00
MORGAN STANLEY BANK N.A.	061870903	05/27/11	\$0.17	\$0.00
MORGAN STANLEY BANK N.A.	061870903	06/29/11	\$0.20	\$0.00
MORGAN STANLEY BANK N.A.	061870903	07/27/11	\$0.16	\$0.00
Total Interest Income 1099-INT box 1			\$1.32	
Total Federal Income Tax Withheld 1099-INT box 4				\$0.00

The amount of tax-exempt interest paid to you in 2011 must be reported on the applicable Form 1040, U.S. Individual Income Tax Return, for 2011. The amount of tax-exempt AMT interest paid to you in 2011 must be taken into account in computing the alternative minimum tax reported on Form 1040 for 2011.

ATTACHMENT 2 - Page 3 of 7

1099-DIV DIVIDENDS & DISTRIBUTIONS (continued)

Total Ordinary Dividends (continued)

DESCRIPTION	CUSIP	PAY DATE	ORDINARY DIVIDENDS	QUALIFIED DIVIDENDS	FEDERAL INCOME TAX WITHHELD	FOREIGN TAX PAID	COUNTRY
METROPOLITAN WST TOT RET BD M	592905103	12/30/11	\$12.31	\$0.00	\$0.00	\$0.00	
PRUDENTIAL GLB REAL ESTATE A	744336108	08/25/11	\$1.06	\$0.48	\$0.00	\$0.00	
PRUDENTIAL GLB REAL ESTATE A	744336108	06/17/11	\$4.47	\$2.04	\$0.00	\$0.00	
PRUDENTIAL GLB REAL ESTATE A	744336108	09/16/11	\$3.69	\$1.68	\$0.00	\$0.00	
PRUDENTIAL GLB REAL ESTATE A	744336108	12/16/11	\$3.51	\$1.60	\$0.00	\$0.00	
VIRTUS MULTI SECT SHT TRM BD A	92828R644	01/31/11	\$27.84	\$0.00	\$0.00	\$0.00	
VIRTUS MULTI SECT SHT TRM BD A	92828R644	02/28/11	\$30.24	\$0.00	\$0.00	\$0.00	
VIRTUS MULTI SECT SHT TRM BD A	92828R644	03/31/11	\$31.00	\$0.00	\$0.00	\$0.00	
VIRTUS MULTI SECT SHT TRM BD A	92828R644	04/29/11	\$32.24	\$0.00	\$0.00	\$0.00	
VIRTUS MULTI SECT SHT TRM BD A	92828R644	05/31/11	\$30.25	\$0.00	\$0.00	\$0.00	
VIRTUS MULTI SECT SHT TRM BD A	92828R644	06/30/11	\$31.52	\$0.00	\$0.00	\$0.00	
VIRTUS MULTI SECT SHT TRM BD A	92828R644	07/29/11	\$31.94	\$0.00	\$0.00	\$0.00	
VIRTUS MULTI SECT SHT TRM BD A	92828R644	08/31/11	\$32.54	\$0.00	\$0.00	\$0.00	
VIRTUS MULTI SECT SHT TRM BD A	92828R644	09/30/11	\$34.81	\$0.00	\$0.00	\$0.00	
VIRTUS MULTI SECT SHT TRM BD A	92828R644	10/31/11	\$30.74	\$0.00	\$0.00	\$0.00	
VIRTUS MULTI SECT SHT TRM BD A	92828R644	11/30/11	\$33.00	\$0.00	\$0.00	\$0.00	
VIRTUS MULTI SECT SHT TRM BD A	92828R644	12/30/11	\$38.26	\$0.00	\$0.00	\$0.00	
Total Ordinary Dividends 1099-DIV box 1a			\$1,016.74				

Total Qualified Dividends 1099-DIV box 1b

\$306.88

Total Foreign Tax Paid 1099-DIV box 6

\$19.97

Capital Gain Distributions

DESCRIPTION	CUSIP	PAY DATE	CAPITAL GAIN DISTRIBUTIONS	UNRECAPTURED GAIN	FEDERAL INCOME TAX WITHHELD	COLLECTIBLE 28% GAIN
BLACKROCK EQUITY DIVIDEND A	08251M108	12/08/11	\$1.28	\$0.00	\$0.00	\$0.00
BLACKROCK US OPPORTUNITIES SVC	091929778	12/12/11	\$96.60	\$0.00	\$0.00	\$0.00
DELAWARE SMALL CAP VALUE A	246097109	12/22/11	\$40.28	\$0.00	\$0.00	\$0.00
DWS DREMAN SMALL CAP VAL A	23338F820	12/19/11	\$9.38	\$0.00	\$0.00	\$0.00

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Holdings

Filter: TRAK Fund Solution - 9974 All Asset Classes, Ungrouped

TRAK Fund Solution - 9974 - ACCT 1

Market Value²: \$39,652.19 -Gain / Loss³: +\$4,246.27

Name/Symbol	Price	Quantity	Adjusted Cost/ Total Purchases ¹	Market Value	Accrued Interest	Gain/Loss/ Net Value Increase/Decrease ¹	Gain Loss %	% of Portfolio
AMERICAN GR FD OF AMERICA F1 [GFAFX]	10/12/2012 \$33.380 -	108.595	\$3,077.26 \$2,996.38	\$3,625.99 -	-	+\$548.73 +\$629.61	+17.83%	9.14
BANK DEPOSIT PROGRAM MORGAN STANLEY BANK N.A.	- -	-	-	\$3.23 -	-	-	-	0.01
BLACKROCK EQUITY DIVIDEND A [MDDVX]	10/12/2012 \$20.020 -	176.451	\$3,287.88 \$3,210.61	\$3,532.55 -	-	+\$244.67 +\$321.94	+7.44%	8.91
BLACKROCK US OPPORTUNITIES SVC [BMCSX]	10/12/2012 \$34.240 -	33.808	\$1,073.60 \$953.09	\$1,157.59 -	-	+\$83.99 +\$204.50	+7.82%	2.92
Cash	- -	-	-	-\$149.56 -	-	-	-	-0.38
DELAWARE SMALL CAP VALUE A [DEVLX]	10/12/2012 \$39.160 -	29.302	\$948.85 \$898.44	\$1,147.47 -	-	+\$198.62 +\$249.03	+20.93%	2.89
DWS DREMAN SMALL CAP VAL A [KDSAX]	10/12/2012 \$35.680 -	32.313	\$967.85 \$923.19	\$1,152.93 -	-	+\$185.08 +\$229.74	+19.12%	2.91
E V INCOME FUND OF BOSTON A [EVBX]	10/12/2012 \$5.920 -	399.053	\$2,005.78 \$1,397.42	\$2,362.39 -	-	+\$356.61 +\$964.97	+17.78%	5.96
INVESCO DEVELOPING MARKETS A [GTDDX]	10/12/2012 \$32.820 -	24.544	\$594.26 \$551.85	\$805.53 -	-	+\$211.27 +\$253.68	+35.55%	2.03
INVESCO INTL GROWTH A [AIIEX]	10/12/2012 \$27.970 -	114.423	\$2,929.96 \$2,821.05	\$3,200.41 -	-	+\$270.45 +\$379.36	+9.23%	8.07
INVESCO PREMIER INST [IPPXQ]	10/12/2012 \$1.000 -	2,004.840	N/A	\$2,004.84 -	-	N/A	N/A	5.06
JP MORGAN HIBRG STAT MKT NEU A [HSKAX]	10/12/2012 \$14.730 -	136.049	\$2,115.00 \$2,115.00	\$2,004.00 -	-	-\$111.00 -\$111.00	-5.25%	5.05
JP MORGAN MID CAP VALUE A [JAMCX]	10/12/2012 \$27.290 -	42.269	\$868.35 \$823.50	\$1,153.52 -	-	+\$285.17 +\$330.02	+32.84%	2.91
METROPOLITAN WST								

TOT RET BD M	10/12/2012 \$11.060	365.454	\$3,533.63 \$2,842.00	\$4,041.92	-	+\$508.29 +\$1,199.92	+14.38%	10.19
[MWTRX]								
PRUDENTIAL GLB REAL ESTATE A	10/12/2012 \$20.940	36.770	\$578.22 \$517.18	\$769.96	-	+\$191.74 +\$252.78	+33.16%	1.94
[PURAX]								
THORNBURG INTL VALUE A	10/12/2012 \$26.150	121.189	\$2,950.09 \$2,923.02	\$3,169.09	-	+\$219.00 +\$246.07	+7.42%	7.99
[TGVAX]								
VIRTUS MULTI SECT SHT TRM BD A	10/12/2012 \$4.940	1,957.556	\$8,616.68 \$7,232.32	\$9,670.33	-	+\$1,053.65 +\$2,438.01	+12.23%	24.39
[NARAX]								
Totals:			\$33,547.41*	\$39,652.19	-	+\$4,246.27*	+\$12.66%*	100.00

Short trade date balance: -

Cash account balance: -\$146.33

Accrued Interest balance: -

² This "Market Value" summary field includes accrued interest. If you have securities with accrued interest, the value represented here will be different from the Market Value displayed in other places within this site.

*Account contains securities for which cost basis and/or other values are not available (indicated by a "N/A" displaying in the cost and/or gain (loss) fields). Totals shown do not incorporate gains (or losses) for these securities. In addition, total cost or adjusted cost may also be unavailable and excluded from totals. Please contact your Financial Advisor for additional information. The accuracy of the purchase date, cost basis and other information you provide is not independently verified.

Current prices are available for Stocks and Options only. All other current pricing reflects the most recently available price for that security. For example, Mutual Fund current prices are the most recent business day's Net Asset Value.

Previous Close Values on this page reflect the closing prices of Friday, October 12, 2012

TLGP Debt: Bonds issued under the FDIC's Temporary Liquidity Guarantee Program are backed by the full faith and credit of the United States through the earlier of the maturity date of the debt or June 30, 2012 for securities issued prior to April 1, 2009, and the earlier of the maturity date of the debt or December 31, 2012 for securities issued on or after April 1, 2009. For more information visit www.fdic.gov/tlgp. The FDIC guarantee refers to the timely payment of interest and principal only. Guarantees do not eliminate market risk. Not all senior unsecured debt issued within the program time frame by eligible participants will qualify for the FDIC guarantee.

Important Notice Regarding Pricing of Auction Rate Securities

The following message applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you.

Due to recent market conditions, certain Auction Rate Securities are experiencing no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown on ClientServ may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction. There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security. The prices of any Auction Rate Securities on ClientServ are derived from various sources, and may differ from prices provided to Morgan Stanley Smith Barney by outside pricing services and/or from Morgan Stanley Smith Barney's own internal bookkeeping valuations. Please contact your Financial Advisor for more information about current conditions in the Auction Rate Securities market.

FDIC insurance

Detailed information on federal deposit insurance coverage can be found on the FDIC's website at: www.fdic.gov. For more information about the Bank Deposit Program, including Deposit Limits, please contact your Financial Advisor or review the Bank Deposit Disclosure Statement.

If you hold structured products, please refer to the Special Considerations Regarding Structured Products.

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1099-DIV DIVIDENDS & DISTRIBUTIONS

Total Ordinary Dividends

DESCRIPTION	CUSIP	PAY DATE	ORDINARY DIVIDENDS	QUALIFIED DIVIDENDS	FEDERAL INCOME TAX WITHHELD	NOTES
THL CREDIT INC	872438106	03/31/11	\$46.00	\$0.63	\$0.00	CR
THL CREDIT INC	872438106	06/30/11	\$50.00	\$0.68	\$0.00	CR
THL CREDIT INC	872438106	09/30/11	\$52.00	\$0.71	\$0.00	CR
Total Ordinary Dividends 1099-DIV box 1a			\$148.00			
Total Qualified Dividends 1099-DIV box 1b				\$2.02		
Total Federal Income Tax Withheld 1099-DIV box 4					\$0.00	

CR Subsequent to the mailing of your 1099 Consolidated Tax Statement, the issuer of this security has changed the tax reporting status of the distribution.

1099-INT INTEREST INCOME

Interest Income

DESCRIPTION	CUSIP	PAY DATE	AMOUNT	FEDERAL INCOME TAX WITHHELD
MORGAN STANLEY BANK N.A.	061870903	01/28/11	\$0.24	\$0.00
MORGAN STANLEY BANK N.A.	061870903	02/25/11	\$0.24	\$0.00
MORGAN STANLEY BANK N.A.	061870903	03/30/11	\$0.27	\$0.00
MORGAN STANLEY BANK N.A.	061870903	04/28/11	\$0.25	\$0.00
MORGAN STANLEY BANK N.A.	061870903	05/27/11	\$0.23	\$0.00
MORGAN STANLEY BANK N.A.	061870903	06/28/11	\$0.27	\$0.00
MORGAN STANLEY BANK N.A.	061870903	07/28/11	\$0.24	\$0.00
MORGAN STANLEY BANK N.A.	061870903	08/30/11	\$0.27	\$0.00
MORGAN STANLEY BANK N.A.	061870903	09/29/11	\$0.06	\$0.00
MORGAN STANLEY PRIVATE BANK NA	061871976	09/29/11	\$0.19	\$0.00
MORGAN STANLEY PRIVATE BANK NA	061871976	10/28/11	\$0.24	\$0.00
MORGAN STANLEY PRIVATE BANK NA	061871976	11/29/11	\$0.34	\$0.00
MORGAN STANLEY PRIVATE BANK NA	061871976	12/29/11	\$0.31	\$0.00
Total Interest Income 1099-INT box 1			\$3.15	
Total Federal Income Tax Withheld 1099-INT box 4				\$0.00

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ATTACHMENT 2 - page 7 of 7

Holdings

Filter: AAA - 4830 All Asset Classes, Ungrouped

AAA - 4830 - ACCT 2

Market Value²: \$48,620.06 -3.30

Gain / Loss: -\$11.40

Name/Symbol	Price	Quantity	Adjusted Cost	Market Value	Accrued Interest	Gain/Loss	Gain Loss %	% of Portfolio
BANK DEPOSIT PROGRAM MORGAN STANLEY PRIVATE BANK NA	-	-	-	\$48,331.24	0.22	-	-	99.41
MILLENNIAL MEDIA N/R [MM]	3:40 PM \$15.910 -0.110	10.000 M	\$130.00	\$159.10 -1.10	-	+\$29.10	+22.38%	0.33
US SILICA HLDGS INC N/R [SLCA]	3:40 PM \$12.950 -0.220	10.000 M	\$170.00	\$129.50 -2.20	-	-\$40.50	-23.82%	0.27
Totals:			\$300.00	\$48,619.84 -3.30	\$0.22	-\$11.40	-\$3.80%	100.00

Short trade date balance: -

Cash account balance: \$48,331.24

Accrued Interest balance: \$0.22

² This "Market Value" summary field includes accrued interest. If you have securities with accrued interest, the value represented here will be different from the Market Value displayed in other places within this site.

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SCHEDULE III - LIABILITIES

Name **BETO O'ROURKE**

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred mo/year	Type of Liability	Amount of Liability									
				A \$10,001 - \$15,000	B \$15,001 - \$50,000	C \$50,001 - \$100,000	D \$100,001 - \$250,000	E \$250,001 - \$500,000	F \$500,001 - \$1,000,000	G \$1,000,001 - \$5,000,000	H \$5,000,001 - \$25,000,000	I \$25,000,001 - \$50,000,000	J Over \$50,000,000
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main Street, Dover, DE				X						
	American Fidelity Assurance Co.	July 2012	Mortgage 1715-1717 N. Stanton El Paso, TX 79902							X			

SCHEDULE IV - POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

Position	Name of Organization
DIRECTOR	STANTON STREET TECHNOLOGY GROUP
PARTNER	IMPERIAL ARMS LLC
REPRESENTATIVE	DISTRICT 8, EL PASO CITY COUNCIL

Name BETO O'ROURKE Page 18 of 18

[illegible]

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule I.

[illegible]