



Filing ID #10006992

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Daniel Lipinski
Status: Member
State/District: IL03

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2014
Filing Date: 05/14/2015

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
361 Managed Futures Strat	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
401K AETNA INC, COMMON STOCK	SP	\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
401K FIDELITY CONTRAFUND		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
401K FIDELITY LOW PRICE STOCK FUND	SP	None	Tax-Deferred		<input type="checkbox"/>
401K FIDELITY PURITAN FUND		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
401K HARBOR INTERNATIONAL FUND	SP	None	Tax-Deferred		<input type="checkbox"/>
401K Intl Developed Mkt Index Fund	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
401K MARSH & MCLENNAN STOCK FUND	SP	\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
401K NEUBERGER GENESIS FUND	SP	None	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
401K PIMCO TOTAL RETURN INST'L	SP	None	Tax-Deferred		<input type="checkbox"/>
401K PUTNAM S&P 500 INDEX FUND	SP	None	Tax-Deferred		<input type="checkbox"/>
401K S&P 500 Index Fund	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: PURCHASE					
401K S&P Midcap Index Fund	SP	\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: PURCHASE					
401K Stable Value Option	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
401K SUMMIT CASH RESERVE	SP	None	Tax-Deferred		<input type="checkbox"/>
401K T ROWE PRICE DIVIDEND GROWTH	SP	None	Tax-Deferred		<input type="checkbox"/>
401K T ROWE PRICE RETIREMENT FUND 2030	SP	None	Tax-Deferred		<input type="checkbox"/>
401K T ROWE PRICE RETIREMENT FUND 2035	SP	None	Tax-Deferred		<input type="checkbox"/>
401K Target Retirement 2035 Fund	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
401K TRP BLUE CHIP GROWTH	SP	None	Tax-Deferred		<input type="checkbox"/>
401K TRP MIDCAP	SP	None	Tax-Deferred		<input type="checkbox"/>
401K US Bond Index fund	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
401K VANGUARD INSTITUTIONAL INDEX	SP	None	Tax-Deferred		<input type="checkbox"/>
401K VANGUARD MIDCAP STOCK INDEX	SP	None	Tax-Deferred		<input type="checkbox"/>
401K VANGUARD PRIME CAP	SP	None	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Aetna Inc. (AET)	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
AQR MANAGED FUTURES - INST'L	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: asset sold					
BBH CORE SELECT	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
CALDWELL & ORKIN MARKETT OPP FUND	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
COHEN & STEERS PREF SEC INC.-1	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Delaware Healthcare Instl	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
E-TRADE BANK DEPOSIT ACCOUNT (CASH)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
FIRST EAGLE GLOBAL	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
FIRST NATIONAL BANK OF LAGRANGE	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
INVESCO BALANCED - RISK ALLOCATION - Y	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
IRA ANGEL OAK MULTI STRAT INCOME	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA COHEN & STEERS PREF	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
IRA DOUBLELINE TOTAL RETURN - N	SP	\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
IRA EATON VANCE FLOATING RATE INST'L	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
IRA GUGGENHEIM MACRO OPP	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
IRA Guggenheim Macro Opp.	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: PURCHASE					
IRA IRONCLAD MANAGED RISK	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA Loomis Sayles Gen Floating Rate Y	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA PIMCO ALL ASSET ALL AUTHORITY	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
IRA TD BANK USA	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA TEMPLETON GLOBAL BOND ADV	SP	None	Tax-Deferred		<input type="checkbox"/>
IRA VANGUARD 500 INDEX FUND		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
IRONCLAD MANAGED RISK	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
LEUTHOLD CORE	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
MAINSTAY MARKET FIELD	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Marsh & McLennan Companies, Inc. (MMC)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
MB FINANCIAL		\$250,001 - \$500,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
METLIFE TCA MONEY MARKET ACCOUNT	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MetLife, Inc. (MET)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
METROPOLITAN WEST TOTAL RETURN	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
OSTERWEIS STRATEGIC INCOME	SP	None	Dividends, CAPITAL LOSS	\$201 - \$1,000	<input type="checkbox"/>
PIMCO ALL ASSET ALL AUTHORITY INSTL	SP	\$15,001 - \$50,000	Dividends, CAPITAL LOSS	None	<input type="checkbox"/>
PIMCO SR. FLOATING	SP	None	Dividends, CAPITAL LOSS	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: SOLD					
RETIREMENT CREF BOND MARKET		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
RETIREMENT CREF EQUITY INDEX		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
RETIREMENT CREF GROWTH		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
RETIREMENT CREF STOCK		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
RETIREMENT TIAA TRADITIONAL		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
T. Rowe Price Real Estate	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
TD BANK USA	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
VANGUARD 500		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO	SP	\$250,001 - \$500,000	Interest	\$201 - \$1,000	<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
361 Managed Futures Strat	SP	12/17/2014	P	\$15,001 - \$50,000	
DESCRIPTION: Purchase					
401K Intl Developed Mkt Index Fund	SP	09/2/2014	E	\$15,001 - \$50,000	
DESCRIPTION: company converted funds					
401K S&P 500 Index Fund	SP	09/2/2014	E	\$100,001 - \$250,000	
DESCRIPTION: company converted funds					
401K S&P Mid Cap Index Fund	SP	09/2/2014	E	\$250,001 - \$500,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: company converted funds					
401K Stable Value Option	SP	09/2/2014	E	\$15,001 - \$50,000	
DESCRIPTION: company converted funds					
401K Target Retirement 2035 Fund	SP	Quarterly	P	\$1,001 - \$15,000	
401K Target Retirement 2035 Fund	SP	09/2/2014	E	\$100,001 - \$250,000	
DESCRIPTION: company converted funds					
401K US Bind Index Fund	SP	09/2/2014	E	\$15,001 - \$50,000	
DESCRIPTION: company converted funds					
AQR Managed Futures Instl	SP	12/9/2014	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Capital Gains					
BBH Core Select	SP	12/9/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Cohen & Steers Pref Sec Inc.-1	SP	12/11/2014	P	\$1,001 - \$15,000	
Delaware Healthcare Instl	SP	12/11/2014	P	\$1,001 - \$15,000	
Eaton Vance Floating Rate Instl	SP	06/17/2014	P	\$1,001 - \$15,000	
First Eagle Global	SP	12/9/2014	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
IRA Angel Oak Multi Strat Income	SP	06/17/2014	P	\$1,001 - \$15,000	
IRA Eaton Floating Rate Instl	SP	12/9/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA Eaton Vance Floating Rate Instl	SP	12/9/2014	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA Guggenheim Macro Opp.	SP	12/11/2014	P	\$1,001 - \$15,000	
IRA Loomis Sayles Sen Floating Rate Y	SP	12/11/2014	P	\$15,001 - \$50,000	
IRA Templeton Global Bon Adv	SP	12/9/2014	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Mainstay Market Field	SP	12/9/2014	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Osterweis Strategic Income	SP	12/9/2014	S	\$15,001 - \$50,000	<input type="checkbox"/>
Pimco All Asset All Authority Instl	SP	12/9/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Pimco All Asset All Authority Instl	SP	06/17/2014	P	\$1,001 - \$15,000	
Pimco Sr. Floating	SP	12/9/2014	S	\$15,001 - \$50,000	<input type="checkbox"/>
T. Rowe Price Real Estate	SP	12/11/2014	P	\$15,001 - \$50,000	

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Daniel Lipinski , 05/14/2015