

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

FORM A  
For use by Members, officers, and employees

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**HAND  
DELIVERED**

Robert William Goodlatte  
(Full Name)

2022255431  
(Daytime Telephone)

LEGISLATIVE RESOURCE CENTER

2012 MAY 15 PM 5:04  
(Office Use Only)

Filer ☒ Member of the U.S. House of Representative  
State: VA District: 06

☐ Officer Or Employee  
Employing Office:

U.S. HOUSE OF REPRESENTATIVES

Report Type ☒ Annual (May 15) ☐ Amendment ☐ Termination  
Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Glenn, Feldman, Darby and Goodlatte, P.C., Roanoke, VA	Spouse Salary	N/A
RGC Resources	Spouse Directors Comp	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p>		<p>At close of reporting year.</p> <p>If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply.</p> <p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below.</p> <p>Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP	American Cap Inc BLD R IRA	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
JT	American Fund Tax Exempt Bonds	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
JT	Bank of America, Roanoke, VA-Savings	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	Bankers Trust Market Lnk 401k	\$50,001 - \$100,000	TAX-DEFERRED	NONE	
JT	BlackRock Equity TR	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
SP	BlackRock Global IRA	\$15,001 - \$50,000	TAX-DEFERRED	NONE	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Bond Fund of America 401k	\$50,001 - \$100,000	TAX-DEFERRED	NONE
SP	Calamos Convertible FD 401k	\$50,001 - \$100,000	TAX-DEFERRED	NONE
JT	Davenport Prime Cash Trust	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000
	Davenport Prime Cash Trust IRA	\$1,001 - \$15,000	TAX-DEFERRED	NONE
SP	Davis NY Venture A 401k	\$50,001 - \$100,000	TAX-DEFERRED	NONE
SP	DNP Select Income	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000
SP	Eaton Vance Ltd Duration 401k	\$15,001 - \$50,000	TAX-DEFERRED	NONE
JT	First Citizens Bank, Roanoke, VA-Savings/Checking	\$50,001 - \$100,000	INTEREST	\$1 - \$200
SP	First Eagle Global Fund 401k	\$50,001 - \$100,000	TAX-DEFERRED	NONE
SP	Glenn Feldman Darby and Goodlatte	\$15,001 - \$50,000	None	NONE
JT	Greenwood Trust Co. DE	\$1,001 - \$15,000	INTEREST	\$1 - \$200
SP	Hartford Cap Apprec. Fd 401k	\$50,001 - \$100,000	TAX-DEFERRED	NONE
SP	Hartford Cap Appreciation C	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000
JT	Independent Natl Mortgage Corp CT	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	Investment Co of America IRA	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
	Janus Fund IRA	\$50,001 - \$100,000	TAX-DEFERRED	NONE	
SP	Loomis Sayles Investment Grade Bond C	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	
SP	Loomis Sayles Strategic Income Fd 401k	\$100,001 - \$250,000	TAX-DEFERRED	NONE	
	Mass Mutual Life - Life Insurance	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Merrill Lynch Retr Res IRA	\$1 - \$1,000	TAX-DEFERRED	NONE	
JT	MFS Virginia Muni Bond Fund	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
SP	New Persp Fund 401k	\$50,001 - \$100,000	TAX-DEFERRED	NONE	
SP	Nicholas Fund IRA	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
SP	Pimco Total Return FD 401k	\$50,001 - \$100,000	TAX-DEFERRED	NONE	
SP	Pioneer Global High Yld 401k	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
SP	RGC Resources	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	
SP	Seligman Communications 401k	\$100,001 - \$250,000	TAX-DEFERRED	NONE	
	SunTrust Bank, IRA, Roanoke, VA	\$15,001 - \$50,000	TAX-DEFERRED	NONE	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	SunTrust Bank, IRA, Roanoke, VA	\$1,001 - \$15,000	TAX-DEFERRED	NONE
JT	T Rowe Price Int Stock Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000
JT	T Rowe Price S&P Fund	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000
	Templeton Foreign Fund IRA	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000
	UTS Government Secs Inc IRA	\$15,001 - \$50,000	TAX-DEFERRED	NONE
	Valley Bank Roanoke CD	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000
	Valley Bank Roanoke, VA - CD	\$15,001 - \$50,000	INTEREST	\$1 - \$200
JT	Valley Bank, Roanoke, VA - Checking	\$1,001 - \$15,000	INTEREST	\$1 - \$200

# **SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name Robert William Goodlatte

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Heritage Foundation	Jan. 27-29	Roanoke, VA-Simi Valley, CA- Roanoke, VA	Y	Y	Y	None
The German Marshall Fund of the United States	Aug. 28- Sept. 4	Roanoke, VA-New Delhi, India-Mumjai, India-Roanoke, VA	Y	Y	N	1 Day