

**JAN 31 2013** Page 1 of 5

**UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT**

Form A  
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

2013 FEB -4 PM 1:26

U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

Name: Kathleen C. Hoady Daytime Telephone: \_\_\_\_\_

<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>NY</u> District: <u>26</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Termination Date: <u>1/3/13</u>
<b>Report Type</b>	<input type="checkbox"/> Annual (May 15, 2012)	<input type="checkbox"/> Amendment	<input checked="" type="checkbox"/> Termination		

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

**PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? <b>If yes, complete and attach Schedule I.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? <b>If yes, complete and attach Schedule VI.</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? <b>If yes, complete and attach Schedule II.</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? <b>If yes, complete and attach Schedule VII.</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? <b>If yes, complete and attach Schedule III.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? <b>If yes, complete and attach Schedule VIII.</b>	Yes <input type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? <b>If yes, complete and attach Schedule IV.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? <b>If yes, complete and attach Schedule IX.</b>	Yes <input type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? <b>If yes, complete and attach Schedule V.</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b>	

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS**

<b>TRUSTS</b> —Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<b>EXEMPTION</b> —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Name Kathleen C. Hobbs	Page 2 of 5
---------------------------	-------------

**Source**

[illegible]

# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name

Kathleen C. Hobbs

Page 3 of 5

## Asset and/or Income Source

BLOCK A

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

**Exclude:** Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving account; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.

## Value of Asset

BLOCK B

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

## Type of Income

BLOCK C

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

## Amount of Income

BLOCK D

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

## Transaction

BLOCK E

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

A None  
B \$1 - \$1,000  
C \$1,001 - \$15,000  
D \$15,001 - \$50,000  
E \$50,001 - \$100,000  
F \$100,001 - \$250,000  
G \$250,001 - \$500,000  
H \$500,001 - \$1,000,000  
I \$1,000,001 - \$5,000,000  
J \$5,000,001 - \$25,000,000  
K \$25,000,001 - \$50,000,000  
L Over \$50,000,000

NONE  
DIVIDENDS  
RENT  
INTEREST  
CAPITAL GAINS  
EXCEPTED/BLIND TRUST  
TAX-DEFERRED  
Other Type of Income  
(Specify: e.g., Partnership Income or Farm Income)  
Royalties

I None  
II \$1 - \$200  
III \$201 - \$1,000  
IV \$1,001 - \$2,500  
V \$2,501 - \$5,000  
VI \$5,001 - \$15,000  
VII \$15,001 - \$50,000  
VIII \$50,001 - \$100,000  
IX \$100,001 - \$1,000,000  
X \$1,000,001 - \$5,000,000  
XI Over \$5,000,000

If only a portion of an asset is sold, please indicate as follows:  
(S) (partial)  
See below for example.

R, S, E

SP Mega Corp. Stock  
Simon & Schuster  
1st Bank of Paducah, KY Accounts

DC, Examples: JT

NYS College S&P - Vanguard

75% Total Bond Fund / 25% Individual Stock Fund

NYS College S&P Vanguard

75% Total Bond / 25% Individual Stock Fund

Openheim Int'l

Openheim Dividend Fund

Openheim Discovery Fund

Openheim Stock Fund

Fidelity Adv Small Cap

# SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name

Kathleen C. Hobbs

Page

4 of 5

BLOCK A		BLOCK B													BLOCK C								BLOCK D											BLOCK E		
Asset and/or Income Source		Year-End Value of Asset													Type of Income								Amount of Income											Transaction		
SP	DC	JT	A	B	C	D	E	F	G	H	I	J	K	L	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	P, S, E		
															None																					
															\$1 - \$1,000																					
															\$1,001 - \$15,000																					
															\$15,001 - \$50,000																					
															\$50,001 - \$100,000																					
															\$100,001 - \$250,000																					
															\$250,001 - \$500,000																					
															\$500,001 - \$1,000,000																					
															\$1,000,001 - \$5,000,000																					
															\$5,000,001 - \$25,000,000																					
															\$25,000,001 - \$50,000,000																					
															Over \$50,000,000																					
															NONE																					
															DIVIDENDS																					
															RENT																					
															INTEREST																					
															CAPITAL GAINS																					
															EXCEPTED/BLIND TRUST																					
															TAX-DEFERRED																					
															Other Type of Income (Specify: e.g., Partnership Income or Farm Income)																					
															None																					
															\$1 - \$200																					
															\$201 - \$1,000																					
															\$1,001 - \$2,500																					
															\$2,501 - \$5,000																					
															\$5,001 - \$15,000																					
															\$15,001 - \$50,000																					
															\$50,001 - \$100,000																					
															\$100,001 - \$1,000,000																					
															\$1,000,001 - \$5,000,000																					
															Over \$5,000,000																					

## Page 5 of 5

**Capital Gains** — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

**This page may be copied if more space is required**