



Filing ID #10010101

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Judy Chu
Status: Member
State/District: CA27

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2015
Filing Date: 05/15/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Athene Annuity and Life Company Fixed Annuity		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
California Public Employees Retirement System		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
California State Teachers Retirement System		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Capital One Certificate of Deposit	SP	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Chase Bank Certificate of Deposit	SP	\$250,001 - \$500,000	Interest	None	<input type="checkbox"/>
Citibank Savings Acct		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Citibank IRA--Day to Day Savings Acct	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Comerica Bank Certificate of Deposit	SP	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
East West Bank Certificate of Deposit	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Investment Account ⇒ Allstate Corporation (ALL)		\$50,001 - \$100,000	Dividends	None	<input type="checkbox"/>
Fidelity Investment Account ⇒ American Airlines Group, Inc. (AAL)		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
Fidelity Investment Account ⇒ Cash		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: Cash reserve					
Fidelity Investment Account ⇒ Discover Financial Services (DFS)		\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>
Fidelity Investment Account ⇒ International Business Machines Corporation (IBM)		\$50,001 - \$100,000	Dividends	None	<input type="checkbox"/>
Fidelity Investment Account ⇒ Lands' End, Inc. (LE)		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
Fidelity Investment Account ⇒ Morgan Stanley (MS)		\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>
Judy M. Chu Trust-"excepted trust"-Chu Lim Investments LLC-		Undetermined	Excepted/Blind Trust	\$15,001 - \$50,000	<input type="checkbox"/>
Law Offices of Eng & Nishimura	SP	\$250,001 - \$500,000	Partnership income	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Partnership income. Located in Los Angeles, CA.					
Lincoln Financial Group ⇒ 403B- Delaware VIP REIT		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Lincoln Financial Group ⇒ 403B--Delaware VIP Diversified Income		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Lincoln Financial Group ⇒ Annuity Amer Legacy US--Fixed		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Lincoln Financial Group ⇒ Annuity Amer Legacy US--Large Blend-Growth Income Fund		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LSW-Life Insurance Co of the Southwest 403B		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
New Omni Bank Certificate of Deposit	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
NuVision Federal Credit Union Savings Account	SP	\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Preferred Bank Certificate of Deposit	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Savings Plus ⇒ 401K International Fund		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Large Cap Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Large Cap Index Fund		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Large Cap Socially Responsible Fund		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Mid Cap Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Short Term Investment Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Short Term Investment Fund- Cash		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Small Cap Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 International Fund		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Large Cap Socially Responsible Fund		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Large Cap Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Savings Plus ⇒ 457 Large Cap Index Fund		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Mid Cap Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Short Term Investment Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Short Term Investment Fund- Cash		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Small Cap Fund		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST AUG 7 108.5 CALL DESCRIPTION: CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST DEC 18 105.5 CALL DESCRIPTION: CALL	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>	
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST DEC 18 105.5 CALL DESCRIPTION: CALL	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>	
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST DEC 31 107 CALL DESCRIPTION: CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST DEC 4 105 PUT DESCRIPTION: PUT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST DEC 4 105 PUT DESCRIPTION: PUT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CURRENCY SHARES EURO TRUST DEC 4 107 CALL DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST JUL 17 110 CALL DESCRIPTION: CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST JUL 24 107.5 PUT DESCRIPTION: PUT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST JUL 31 107 PUT DESCRIPTION: PUT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST JUN 19 109 PUT DESCRIPTION: PUT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST JUN 5 109 PUT DESCRIPTION: PUT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST JUN 5 109.5 PUT DESCRIPTION: PUT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST MAY 15 110 CALL DESCRIPTION: CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST MAY 15 110 PUT DESCRIPTION: PUT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST MAY 22 111 CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST MAY 22 111 CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST MAY 22 111 PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST MAY 8 111 CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST NO 6 108.5 PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST NOV 20 108 PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST OCT 2 109.5 PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST OCT 2 109.5 PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST OCT 23 111.5 CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST OCT 23 111.5 CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST SEP 25 110 CALL DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST SEP 25 110 CALL DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST SEP 25 110.5 CALL DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST SEP 25 111 CALL DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST SEP 4 110 CALL DESCRIPTION: CALL		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF AUG 21 123 PUT DESCRIPTION: PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF DEC 24 123.5 CALL DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF DEC 4 121 CALL DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF JUN 12 122 CALL DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF JUN 19 117 PUT DESCRIPTION: PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF MAY 15 123 CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF MAY 29 121.5 PUT	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF NOV 13 119 PUT	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF NOV 13 119.5 PUT	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF OCT 9 124.5 CALL	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF OCT 9 125 CALL	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF SEP 18 126 CALL	None		Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF SEP 4 121 PUT	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF AUG 28 75 CALL	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF DEC 18 110 CALL	None		Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF DEC 18 110 CALL	None		Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒	None		Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
PROSHARES ULTA VIX SHRT TERM ETF DEC 18 130 CALL DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF DEC 18 130 CALL DESCRIPTION: CALL	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>	
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF DEC 18 130 CALL DESCRIPTION: CALL	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>	
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF JUL 10 60 CALL DESCRIPTION: CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF JUL 17 70 CALL DESCRIPTION: CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF JUL 17 82 CALL DESCRIPTION: CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF JUL 17 82 CALL DESCRIPTION: CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF JUL 2 50 CALL DESCRIPTION: CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF JUL 24 90 CALL DESCRIPTION: CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF JUL 24 90 CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF JUN 53 CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF SEP 1842 PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF SEP 1895 CALL		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 14 1950 PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 14 1950 PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 14 2175 CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 28 1975 CALL		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 28 1975 PUT		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 AUG 28 1975 PUT		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 DEC 31 1925 PUT		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
S&P 500 DEC 31 1925 PUT					
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 DEC 31 1935 PUT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>	
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 DEC 31 1975 PUT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>	
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 DEC 31 1975 PUT	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>	
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 DEC 31 2175 CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 DEC 31 2175 CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 FEB 12 2175 CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 FEB 29 2175 CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 JAN 22 1925 PUT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 JAN 29 1900 PUT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>	
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 JAN 29 1950 PUT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>	
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 JAN 29 1950 PUT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 JAN 29 1975 PUT		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 JAN 29 1975 PUT		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 JAN 29 2000 PUT		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 JAN 29 2175 CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 JAN 29 2175 CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 JAN 8 1875 PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 JAN 8 1925 PUT		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 JUL 10 2190 CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 JUL 10 2190 CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 JUL 17 2025 PUT		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 JUL 17 2110 CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TD Ameritrade Investment Account ⇒ S&P 500 JUL 17 2110 CALL DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 JUL 17 2120 CALL DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 JUL 17 2175 CALL DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 JUL 17 2175 CALL DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 JUL 17 2180 CALL DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 JUL 2 2185 CALL DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 JUL 31 2090 PUT DESCRIPTION: PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 JUL 31 2095 CALL DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 JUL 31 2150 CALL DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 JUN 19 2175 CALL DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 JUN 19 2175 CALL DESCRIPTION: CALL		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
S&P 500 JUN 19 2185 CALL					
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 OCT 16 2085 CALL	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 OCT 2 2075 CALL	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 OCT 30 2065 PUT	None		Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 OCT 30 2065 PUT	None		Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 OCT 9 2085 CALL	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 SEP 18 2175 CALL	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 SEP 25 2085 CALL	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 SEP 25 2175 CALL	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 SEP 25 2175 CALL	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 1850 PUT	None		Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ S&P 500 SEP 4 1625 PUT	None		Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS ETF DEC 11 102 PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS ETF DEC 11 102.PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS ETF DEC 11 103.5 CALL		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS ETF DEC 4 102.5 PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS ETF NOV 20 104 PUT		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS ETF OCT 30 114 CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
United Pacific Bank Certificate of Deposit	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard Investment Account ⇒ CA Intermediate Term Bond Tax-Exempt Mutual Fund		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Vanguard Investment Account ⇒ Mid-Cap Index Fund		\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>
Vanguard Investment Account ⇒ Total Retirement 2025 Fund		None	Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Vanguard Investment Account ⇒ Windsor II Mutual Fund		\$250,001 - \$500,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Wells Fargo Bank Checking Account	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Zurich Advantage III ⇒ 403B--Balanced- DWS Global Income Builder VIP		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Zurich Advantage III ⇒ 403B--Large Cap U.S. Stock-DWS Cap Growth VA		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Zurich Advantage III ⇒ 403B--Misc. Fixed-DWS High Income VIP		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS





Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TD Ameritrade Investment Account ⇒ CBOE MARKET VOLATILITY INDEX SEP 16 30 CALL LOCATION: US DESCRIPTION: CALL		08/24/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST AUG 28 110 CALL LOCATION: US DESCRIPTION: CALL		08/26/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST 100 SH LOCATION: US DESCRIPTION: ASSIGNMENT		09/14/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST 100 SH LOCATION: US DESCRIPTION: CLOSE ASSIGNMENT		09/14/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST AUG 21 108.5 CALL LOCATION: US DESCRIPTION: CALL		08/20/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST AUG 21 110 CALL LOCATION: US		08/21/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST DEC 04 105.5 CALL		12/4/2015	P	\$1,001 - \$15,000	
LOCATION: US					
DESCRIPTION: call					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST DEC 11 105.5 CALL		12/4/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US					
DESCRIPTION: call					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST DEC 11 105.5 CALL		12/11/2015	P	\$1,001 - \$15,000	
LOCATION: US					
DESCRIPTION: call					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST DEC 18 105.5 CALL		12/11/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US					
DESCRIPTION: call					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST DEC 4 105.5 PUT		11/18/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US					
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST DEC 4 105.5 PUT		11/25/2015	P	\$1,001 - \$15,000	
LOCATION: US					
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST JUN 5 109.5 PUT		05/27/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US					
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST MAY 29 110 PUT		05/27/2015	P	\$1,001 - \$15,000	
LOCATION: US					
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST MAY 29 110.5 PUT		05/27/2015	P	\$1,001 - \$15,000	
LOCATION: US					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST MAY 8 109 CALL		05/6/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST NOV 20 106 PUT		11/18/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST NOV 20 108 PUT		11/4/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST NOV 20 108 PUT		11/11/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST NOV 20 108 PUT		11/12/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST NOV 6 108.5 PUT		11/4/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST NOV 6 108.5 PUT		11/5/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST OCT 30 110 PUT		10/29/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ CURRENCY SHARES EURO TRUST SEP 4 110 CALL		08/26/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF JUN 19 120 PUT		05/14/2015	S	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF JUN 19 120 PUT		06/10/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF JUN 5 121 PUT		06/5/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF MAY 15 122 PUT		05/4/2015	S	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF MAY 15 122 PUT		05/12/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF MAY 15 122 PUT		05/14/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF MAY 15 124 PUT		05/4/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF MAY 22 121.5 PUT		05/5/2015	S	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF MAY 22 124 PUT		05/5/2015	P	\$1,001 - \$15,000	
LOCATION: US					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ ISHARES 20 YR T BOND ETF SEP 18 126 CALL		05/12/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ PROSHARES SHT VIX ST FUTURES ETF SEP 11 71 PUT		08/28/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ PROSHARES SHT VIX ST FUTURES ETF SEP 11 71 PUT		09/3/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ PROSHARES SHT VIX ST FUTURES ETF SEP 4 72 PUT		08/28/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF DEC 18 110 CALL		09/4/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF DEC 18 110 CALL		09/24/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF DEC 18 130 CALL		09/8/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF DEC 18 130 CALL		09/9/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF DEC 18 48 PUT LOCATION: US DESCRIPTION: PUT		09/8/2015	S	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF DEC 18 48 PUT LOCATION: US DESCRIPTION: PUT		09/18/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF DEC 18 90 CALL LOCATION: US DESCRIPTION: CALL		09/4/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF DEC 18 90 CALL LOCATION: US DESCRIPTION: CALL		09/18/2015	S	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF SEP 18 42 PUT LOCATION: US DESCRIPTION: PUT		09/17/2015	S	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF SEP 18 42 PUT LOCATION: US DESCRIPTION: PUT		09/17/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF SEP 18 42 PUT LOCATION: US DESCRIPTION: PUT		09/17/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF SEP 18 42 PUT LOCATION: US DESCRIPTION: PUT		09/17/2015	S	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF SEP 18 90 CALL LOCATION: US		09/8/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF SEP 18 95 CALL		08/21/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF SEP 4 65 PUT		09/3/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF DEC 18 130 CALL		09/1/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ PROSHARES ULTA VIX SHRT TERM ETF SEP 18 95 CALL		09/1/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ PROSHARES ULTRA VIX SHRT TERM ETF DEC 24 15 40.0 CALL		12/14/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: call					
TD Ameritrade Investment Account ⇒ PROSHARES ULTRA VIX SHRT TERM ETF MAR 18 110.0 CALL		12/14/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: call					
TD Ameritrade Investment Account ⇒ S&P 500 DEC 31 1975 PUT		12/21/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: put					
TD Ameritrade Investment Account ⇒ S&P 500 Dec 31 1975.0 PUT		12/21/2015	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: put					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TD Ameritrade Investment Account ⇒ S&P 500 DEC 31 2000 PUT LOCATION: US DESCRIPTION: put		12/21/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ S&P 500 Dec 31 2000.0 put LOCATION: US DESCRIPTION: put		12/21/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ S&P 500 JAN 22 1950 PUT LOCATION: US DESCRIPTION: put		12/22/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ S&P 500 JUN 19 2175 CALL LOCATION: US DESCRIPTION: CALL		05/8/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 AUG 28 1950 PUT LOCATION: US DESCRIPTION: PUT		08/14/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 AUG 28 1950 PUT LOCATION: US DESCRIPTION: PUT		08/25/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ S&P 500 AUG 28 1950 PUT LOCATION: US DESCRIPTION: PUT		08/26/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ S&P 500 AUG 28 1975 CALL LOCATION: US DESCRIPTION: CALL		08/24/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 AUG 28 1975 CALL LOCATION: US DESCRIPTION: CALL		08/26/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TD Ameritrade Investment Account ⇒ S&P 500 AUG 28 1975 PUT LOCATION: US DESCRIPTION: PUT		08/26/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 DEC 31 1925 PUT LOCATION: US DESCRIPTION: PUT		10/30/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 DEC 31 1925 PUT LOCATION: US DESCRIPTION: PUT		10/30/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 DEC 31 1925 PUT LOCATION: US DESCRIPTION: PUT		10/30/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 DEC 31 1935 PUT LOCATION: US DESCRIPTION: PUT		11/3/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 DEC 31 1975 PUT LOCATION: US DESCRIPTION: PUT		11/16/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 DEC 31 2000 PUT LOCATION: US DESCRIPTION: put		12/17/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 DEC 31 2000 PUT LOCATION: US DESCRIPTION: PUT		11/16/2015	P	\$15,001 - \$50,000	
TD Ameritrade Investment Account ⇒ S&P 500 DEC 31 2175 CALL LOCATION: US DESCRIPTION: CALL		10/30/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TD Ameritrade Investment Account ⇒ S&P 500 DEC 31 2175 CALL LOCATION: US DESCRIPTION: CALL		11/3/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ S&P 500 JAN 29 1900 PUT LOCATION: US DESCRIPTION: put		12/7/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 JAN 29 1975 PUT LOCATION: US DESCRIPTION: put		12/7/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 JAN 29 2000 PUT LOCATION: US DESCRIPTION: put		12/7/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ S&P 500 JAN 8 1925 PUT LOCATION: US DESCRIPTION: put		12/18/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 JAN 8 1950 PUT LOCATION: US DESCRIPTION: put		12/18/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ S&P 500 JUL 17 2020 PUT LOCATION: US DESCRIPTION: PUT		07/9/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ S&P 500 JUL 17 2025 PUT LOCATION: US DESCRIPTION: PUT		07/9/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 JUL 17 2105 CALL LOCATION: US DESCRIPTION: CALL		07/16/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TD Ameritrade Investment Account ⇒ S&P 500 JUL 17 2110 CALL LOCATION: US DESCRIPTION: CALL		07/16/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 JUL 17 2115 CALL LOCATION: US DESCRIPTION: CALL		07/17/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ S&P 500 JUL 31 2090 CALL LOCATION: US DESCRIPTION: CALL		07/31/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 NOV 13 2090 CALL LOCATION: US DESCRIPTION: CALL		10/30/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ S&P 500 NOV 7 2075 CALL LOCATION: US DESCRIPTION: CALL		10/23/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ S&P 500 OCT 30 2065 PUT LOCATION: US DESCRIPTION: PUT		10/23/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ S&P 500 OCT 30 2075 CALL LOCATION: US DESCRIPTION: CALL		10/23/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ S&P 500 OCT 30 2075 CALL LOCATION: US DESCRIPTION: CALL		10/30/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 1850 PUT LOCATION: US DESCRIPTION: PUT		08/25/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TD Ameritrade Investment Account ⇒ S&P 500 SEP 30 1850 PUT LOCATION: US DESCRIPTION: PUT		08/25/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS ETF DEC 04 103.0 CALL LOCATION: US DESCRIPTION: call		12/4/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS ETF DEC 11 103.5 CALL LOCATION: US DESCRIPTION: call		12/4/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS ETF DEC 4 103 PUT LOCATION: US DESCRIPTION: PUT		11/25/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS ETF NOV 20 104 PUT LOCATION: US DESCRIPTION: PUT		11/12/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS ETF NOV 20 106 PUT LOCATION: US DESCRIPTION: PUT		11/6/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS ETF NOV 20 106 PUT LOCATION: US DESCRIPTION: PUT		11/12/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS ETF NOV 27 103.5 PUT LOCATION: US DESCRIPTION: PUT		11/27/2015	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ SPDR GOLD TR GOLD SHS ETF NOV 6 107 PUT LOCATION: US DESCRIPTION: PUT		11/9/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Total Retirement 2025 Fund		08/19/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Total Retirement 2025 Fund		08/18/2015	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Vanguard Windsor II Mutual Fund		08/18/2015	P	\$50,001 - \$100,000	

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Los Angeles Community College District Board of Trustees	spouse salary	N/A
Law Office of Eng & Nishimura	spouse salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	BB&T	May 2010	Mortgage on personal residence, Washington DC (not rented)	\$50,001 - \$100,000
	Capitol Hill Tower Housing Cooperative	May 2010	Mortgage on personal residence, Washington DC (not rented)	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member (uncompensated)	Asian Pacific American Institute for Congressional Studies
Board Member (uncompensated)	APAICS Leadership Network

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
May 2001	California State Teachers Retirement System	Defined pension benefit plan for teaching position in the LA Community College District
May 2001	California Public Employees Retirement System	Defined pension benefit plan for service on Monterey Park City Council

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Fidelity Investment Account
LOCATION: US
- Lincoln Financial Group
- Savings Plus
- TD Ameritrade Investment Account
LOCATION: US
- Vanguard Investment Account
LOCATION: US
- Zurich Advantage III

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☒ Yes ☐ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Judy Chu , 05/15/2016