

FEB 10 2014

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**UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT**

Period covered: January 1, 2013 - January 31, 2014

FORM B

For use by candidates and new employees

2014 FEB 18 PM12:09

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVESName: **Mark C. Alliegro**

Daytime Telephone: 774-392-5514

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(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Candidate for the House of Representatives <input type="checkbox"/> New officer or employee	State: <u>MA</u> District: <u>9</u>	Date of Election: <u>9-9-2014</u>	Check if Amendment <input type="checkbox"/>
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A \$200 penalty shall be assessed against any individual who files more than 30 days late.

In all sections, please type or print clearly in blue or black ink.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS**TRUSTS**—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?Yes No **EXEMPTION**—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.Yes No

SCHEDULE I – EARNED INCOME (INCLUDING HONORARIA)Name **Mark C. Allegro**Page **2** of **550**

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Source (include date of receipt for honoraria)**Type****Current Year to Filing****Preceding Year**

XYZ Corporation, Houston, TX

First Bank & Trust, Houston, TX

XYZ Trade Association, Chicago, IL (Rec'd December 2)

Harris County, Texas Public Schools

Salary

\$6,300

\$28,450

Director's Fee

\$400

\$3,200

Honorary

0

\$1,000

Spouse Salary

NA

NA

Salary

\$ 9,865

\$93,159

Spouse Salary

NA

NA

Spouse Salary

NA

NA

Town of Falmouth

SCHEDULE II – ASSETS AND “UNEARNED” INCOME

BLOCK A												BLOCK B												BLOCK C												BLOCK D																							
Asset and/or Income Source												Value of Asset												Type of Income												Amount of Income																							
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in “unearned” income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols).</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., “rental property,” and the city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule II requirements, please refer to the instruction booklet.</p>												<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be “None.”</p>												<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the “Tax-Deferred” column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check “None” if the asset generated no income during the reporting period.</p>												<p>For assets for which you checked “Tax-Deferred” in Block C, you may check the “None” column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, Interest, and Capital Gains, even if reinvested, must be disclosed as income. Check “None” if no income was earned or generated.</p>																							
A	B	C	D	E	F	G	H	I	J	K	L	M	X	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	XIII	XIV	XV	XVI	XVII	XVIII	XIX	X	XI	XII	XIII	XIV	XV	XVI	XVII	XVIII	XIX	X	XI	XII	XIII	XIV	XV	XVI	XVII	XVIII	XIX							
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SCHEDULE II – ASSETS AND “UNEARNED” INCOME

Continuation Sheet (if needed)

Name **Mark C. Allegro**

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SP, JT, DC	BLOCK A	BLOCK B	BLOCK C	BLOCK D	Asset and/or Income Source																						
					Value of Asset												Type of Income										
					None																						
					\$1 – \$1,000																						
					\$1,001 – \$15,000																						
					\$15,001 – \$50,000																						
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					CAPITAL GAINS																						
					EXCEPTED/BLIND TRUST																						
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					Other Type of Income--(Specify: e.g., Partnership Income or Farm Income)																						
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SCHEDULE III – LIABILITIES

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. **Exclude:** Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

SCHEDULE IV – POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

Use additional sheets if more space is required.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2013

Account # **[REDACTED]**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

ACCOUNT SUMMARY**Total Account Value:** **\$132,973.18****CHANGE IN ACCOUNT VALUE**

	This Month 1/1/13 - 1/31/13	Year to Date 1/1/13 - 1/31/13	
BEGINNING VALUE	\$130,949.64	\$130,949.64	Market Appreciation/Depreciation
Dividends and Interest	245.12	245.12	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Market Appreciation/(Depreciation)	2,187.42	2,187.42	
Other Income or Expense	(409.00)	(409.00)	
ENDING VALUE	\$132,973.18	\$132,973.18	
CHANGE IN VALUE	\$2,023.54	\$2,023.54	

YOUR INDEPENDENT ADVISOR
GRIMES & COMPANY INC
110 TURNPIKE RD
STE 100
WESTBOROUGH MA 01581-
(508) 366-3883

Questions about your statement
(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 1/31/13	Percent of Account
Cash and Cash Alternatives	\$4,490.94	3.38%
Exchange Traded Funds (ETFs)	4,421.50	3.33
Mutual Funds	124,060.74	93.30
TOTAL VALUE	\$132,973.18	100.0%

Other Income or Expenses
Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. AllegroPage **7** of 550**MONTHLY STATEMENT**

Reporting Period: January 1 - 31, 2013

ACCOUNT SUMMARY (continued)**RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	Year to Date 1/1/13 - 1/31/13		
	This Month	Reported for 2013	Reported for 2012
CONTRIBUTIONS	\$ -	\$ -	\$126,737.41
Direct Transfer			

Contributions to your IRA
The amount of money you have deposited into your IRA.

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 368-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

 Name **Mark C. Allegro** Page **8** of 550

 Account **XXXXXXXXXX**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA
MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2013

HOLDINGS DETAIL
CASH AND CASH ALTERNATIVES

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$4,490.94
TOTAL CASH & CASH ALTERNATIVES			\$4,490.94

EXCHANGE TRADED FUNDS (ETFs)

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ISHARES EEM	EEM	5/26/09	100	\$44.215	\$4,421.50	\$3,226.00	\$1,195.50	\$74.50 1.7%
TOTAL EXCHANGE TRADED FUNDS (ETFs)				\$4,421.50	\$3,226.00	\$1,195.50	\$74.50	
TOTAL EXCHANGE TRADED FUNDS- LONG POSITION				4,421.50				

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	814.057	\$10.05	\$8,181.27	\$-	\$-	\$61.05 0.7%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	1,063.521	6.04	6,423.67	-	-	421.15 6.6%
EATON VANCE FDS GBL MACRO ABSOLUTE RET A	EAGMX	-	810.151	9.92	8,036.70	-	-	290.03 3.6%
FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL	FFRHX	-	924.35	9.97	9,215.77	-	-	294.87 3.2%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	297.976	27.60	8,224.14	8,202.63	21.51	139.15 1.7%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	370.931	9.93	3,683.34	-	-	172.85 4.7%

 Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883
TD Ameritrade

Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

Page **C1** of 550Account #**XXXXXXXXXX**
MARY ANNE ALLEGRO ROLLOVER IRA**TD AMERITRADE CLEARING, CUSTODIAN**
ROLLOVER IRA**MONTHLY STATEMENT**

Reporting Period: January 1 - 31, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
HARTFORD EQ INC CL A	HQIAX	9/7/12	858.614	15.46	13,274.17	12,811.47	462.70	2.0%
LOOMIS SAYLES FDS I BOND FUND RETAIL CL	LSBRX	-	431.628	15.24	6,578.01	-	-	358.25 5.4%
METROPOLITAN WEST TOTAL RETURN BD FDD	MWTRX	-	2,694.053	10.89	29,338.24	-	-	1,112.64 3.8%
PIMCO FDS ALL ASSET ALL AUTHORITY D	PAUDX	-	671.672	11.06	7,428.69	-	-	362.70 4.9%
TROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV	PAWAX	-	361.505	37.05	13,383.76	-	-	39.77 0.3%
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	143.194	28.72	4,112.53	-	-	38.95 0.9%
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	557.907	11.06	6,170.45	-	-	-
TOTAL MUTUAL FUNDS				\$124,060.74	\$21,014.10	\$484.21	\$3,552.45	
TOTAL HOLDINGS				\$132,973.18	\$24,240.10	\$1,679.71	\$3,626.95	
TOTAL ACCOUNT VALUE				\$132,973.18				

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2013

Name **Mark C. Allegro** Page **10** of 550
 Account # **100-0000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
01/02	01/02	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 14.07	GAMDX	-	\$ -	\$14.07
01/02	01/02	Dividends and Interest	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL PAYABLE: 01/02/2013 ORDINARY DIVIDENDS 25.54	FFRHX	-	-	25.54
01/02	01/02	Buy	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL REINVESTED AT 9.92 PER SHARE	FFRHX	2.575	9.92	(25.54)
01/02	01/02	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CLA PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 32.79	EVIBX	-	-	32.79
01/02	01/02	Buy	EATON VANCE INCOME FUND OF BOSTON CLA REINVESTED AT 6.00 PER SHARE	EVIBX	5.465	6.00	(32.79)
01/02	01/02	Dividends and Interest	EATON VANCE FDS GBL MACRO ABSOLUTE RET A PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 24.49	EAGMX	-	-	24.49
01/02	01/02	Buy	EATON VANCE FDS GBL MACRO ABSOLUTE RET A REINVESTED AT 9.84 PER SHARE PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 43.14	EAGMX	2.489	9.84	(24.49)
01/02	01/02	Dividends and Interest	PIMCO FDS ALL ASSET ALL AUTHORITY D PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 43.14	PAUDX	-	-	43.14
01/02	01/02	Buy	PIMCO FDS ALL ASSET ALL AUTHORITY D REINVESTED AT 11.04 PER SHARE	PAUDX	3.908	11.04	(43.14)

Questions? Consult your independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
 Institutional

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro** Page | 1 of 550
 Account # **10000000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/CUSIP	Quantity	Price	Transaction Amount
01/02	01/02	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 14.07	GAMDX	-	-	14.07
01/02	01/02	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 9.86 PER SHARE	GAMDX	1.427	9.86	(14.07)
01/02	01/02	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 81.86	MWTRX	-	-	81.86
01/02	01/02	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.90 PER SHARE	MWTRX	7.51	10.90	(81.86)
01/03	01/03	Dividends and Interest	ISHARES MSCI EMERGING MKTS PAYABLE: 01/03/2013 ORDINARY DIVIDENDS 1.42	EEM	-	-	1.42
01/08	01/08	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 14.07	GAMDX	-	-	(14.07)
01/11	01/11	Other Income or Expense	QUARTERLY MGMT FEE GRIMES & COMPANY INC 9179863041	-	-	-	(409.00)
01/29	01/29	Dividends and Interest	LOOMIS SAYLES FDS I BOND FUND RETAIL CL PAYABLE: 01/29/2013 ORDINARY DIVIDENDS 21.77	LSBRX	-	-	21.77

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 386-3883

TD Ameritrade
 Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **12** of 550

Account **1234567890**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
01/29	01/29	Buy	LOOMIS SAYLES FDS I BOND FUND RETAIL CL REINVESTED AT 15.26 PER SHARE	LSBRX	1.427	15.26	(21.77)
01/31	01/31	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .04 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 01/31/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.04	MMDA12	0.04	-	0.04

TD AMERITRADE CASH INTEREST CREDIT/EXPENSE

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
01/02	\$-	\$14.07	1	0.0100	-	\$ -
TOTAL INTEREST INCOME/(EXPENSE)					-	-

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # **510000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
01/01	\$4,898.48	2	0.0100	\$-	\$-	\$ -
01/03	4,913.97	5	0.0100	0.01	0.01	-
01/08	4,899.90	3	0.0100	-	0.01	-
01/11	4,490.90	21	0.0100	0.03	0.04	0.04
TOTAL INTEREST INCOME					\$0.04	

Reporting Period: January 1 - 31, 2013

MONTHLY STATEMENT

Questions? Consult your **Independent Advisor**:
GRIMES & COMPANY INC. (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name	Mark C. Allegro	Page	14	of 550
Account				
MARY ANNE ALLEGRO ROLLOVER IRA				
TD AMERITRADE CLEARING, CUSTODIAN				
ROLLOVER IRA				

Reporting Period: January 1 - 31, 2013

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
			Opening Balance		
01/03	01/03	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	\$15.49	4,913.97
01/09	01/09	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(14.07)	4,899.90
01/14	01/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(409.00)	4,490.90
01/31	01/31	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.04	4,490.94
			Closing Balance	\$4,490.94	
			TD Bank NA		
			<i>FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.</i>		

****** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ******

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # **24200000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT
Reporting Period: February 1 - 28, 2013**ACCOUNT SUMMARY****Total Account Value:** **\$139,021.81****CHANGE IN ACCOUNT VALUE**

	This Month 2/1/13 - 2/28/13	Year to Date 1/1/13 - 2/28/13	
BEGINNING VALUE	\$132,973.18	\$130,949.64	Market Appreciation/Depreciation
Deposits to Account	5,996.21	5,996.21	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Dividends and Interest	189.99	435.11	
Market Appreciation/(Depreciation)	(137.57)	2,049.85	
Other Income or Expense	-	(409.00)	
ENDING VALUE	\$139,021.81	\$139,021.81	Other Income or Expense
CHANGE IN VALUE	\$6,048.63	\$8,072.17	Miscellaneous expenses

Questions about your statement
(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 2/28/13	Percent of Account
Cash and Cash Alternatives	\$10,487.20	7.54%
Exchange Traded Funds (ETFs)	4,320.50	3.11
Mutual Funds	124,214.11	89.35
TOTAL VALUE	\$139,021.81	100.0%

Other Income or Expense
Miscellaneous expenses
including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **16** of 550**MONTHLY STATEMENT**Reporting Period: **February 1 - 28, 2013****ACCOUNT SUMMARY (continued)****RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	Year to Date 1/1/13 - 2/28/13		
	This Month	Reported for 2013	Reported for 2012
CONTRIBUTIONS	\$ -	\$ -	\$126,737.41
Direct Transfer			
Rollover	5,996.21	5,996.21	-

Contributions to your IRA
The amount of money you have deposited into your IRA.

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #210000000000
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: February 1 - 28, 2013

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$10,487.20
TOTAL CASH & CASH ALTERNATIVES			\$10,487.20

EXCHANGE TRADED FUNDS (ETFs)

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ISHARES EEM	EEM	5/26/09	100	\$43.205	\$4,320.50	\$3,226.00	\$1,094.50	\$74.50 1.7%
TOTAL EXCHANGE TRADED FUNDS (ETFs)				\$4,320.50	\$3,226.00	\$1,094.50		\$74.50
TOTAL EXCHANGE TRADED FUNDS- LONG POSITION				4,320.50				

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMINX	-	814.057	\$9.93	\$8,083.59	\$-	\$-	\$61.05 0.8%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	1,068.968	6.04	6,456.57	-	-	417.97 6.5%
EATON VANCE FDS GBL MACRO ABSOLUTE RET A	EAGMX	-	812.625	9.89	8,036.86	-	-	290.92 3.6%
FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL	FFRHX	-	926.869	9.95	9,222.35	-	-	296.60 3.2%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	297.976	27.83	8,292.67	8,202.63	90.04	139.15 1.7%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	372.519	9.82	3,658.14	-	-	174.34 4.8%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade

Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #**24222454**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: February 1 - 28, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
HARTFORD EQ INC CL A	HQIAZ	9/7/12	858.614	15.72	13,497.41	12,811.47	685.94	261.02 1.9%
LOOMIS SAYLES FDS I BOND FUND RETAIL CL	LSBRX	-	433.225	15.11	6,546.03	-	-	358.28 5.5%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	-	2,700.255	10.92	29,486.78	-	-	1,082.80 3.7%
PIMCO FDS ALL ASSET ALL AUTHORITY D	PAUDX	-	671.672	11.02	7,401.83	-	-	362.70 4.9%
TROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV	PAWAX	-	361.505	37.05	13,393.76	-	-	39.77 0.3%
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	143.194	28.02	4,012.30	-	-	38.95 1.0%
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	557.907	10.98	6,125.82	-	-	-
TOTAL MUTUAL FUNDS				\$124,214.11	\$21,014.10	\$775.98	\$3,523.55	
TOTAL HOLDINGS				\$139,021.81	\$24,240.10	\$1,870.48	\$3,598.05	
TOTAL ACCOUNT VALUE				\$139,021.81				

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: February 1 - 28, 2013

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/CUSIP	Quantity	Price	Transaction Amount
02/01	02/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 01/31/2013 ORDINARY DIVIDENDS 32.90	EVIBX	-	\$ -	\$32.90
02/01	02/01	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 6.04 PER SHARE	EVIBX	5.447	6.04	(32.90)
02/01	02/01	Dividends and Interest	EATON VANCE FDS GBL MACRO ABSOLUTE RET A PAYABLE: 01/31/2013 ORDINARY DIVIDENDS 24.54	EAGMX	-	-	24.54
02/01	02/01	Buy	EATON VANCE FDS GBL MACRO ABSOLUTE RET A REINVESTED AT 9.92 PER SHARE	EAGMX	2.474	9.92	(24.54)
02/01	02/01	Dividends and Interest	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL PAYABLE: 02/01/2013 ORDINARY DIVIDENDS 25.11	FFRHX	-	-	25.11
02/01	02/01	Buy	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL REINVESTED AT 9.97 PER SHARE	FFRHX	2.519	9.97	(25.11)
02/01	02/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 01/31/2013 ORDINARY DIVIDENDS 15.77	GAMDX	-	-	15.77
02/01	02/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 9.93 PER SHARE	GAMDX	1.588	9.93	(15.77)
02/01	02/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 01/31/2013 ORDINARY DIVIDENDS 67.54	MWTRX	-	-	67.54

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # 201300000000
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: February 1 - 28, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
02/01	02/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.89 PER SHARE	MWTRX	6.202	10.89	(67.54)
02/20	02/20	Deposits to Account	CASH RECEIVED DIRECT ROLLOVER RDC 4702046170	-	-	-	780.67
02/20	02/20	Deposits to Account	CASH RECEIVED DIRECT ROLLOVER RDC 4702046182	-	-	-	5,215.54
02/26	02/26	Dividends and Interest	LOOMIS SAYLES FDS I BOND FUND RETAIL CL PAYABLE: 02/26/2013 ORDINARY DIVIDENDS 24.08	LSBRX	-	-	24.08
02/26	02/26	Buy	LOOMIS SAYLES FDS I BOND FUND RETAIL CL REINVESTED AT 15.08 PER SHARE	LSBRX	1.597	15.08	(24.08)
02/28	02/28	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .05 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 02/28/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.05	MMDA12	0.05	-	0.05

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 386-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # **[REDACTED]**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: February 1 - 28, 2013

TD AMERITRADE CASH INTEREST CREDIT/EXPENSE

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
02/20	\$-	\$5,996.21	1	0.0100	-	\$ -
TOTAL INTEREST INCOME(EXPENSE)					-	-

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
02/01	\$4,490.94	20	0.0100	\$0.02	\$0.02	\$ -
02/21	10,487.15	8	0.0100	0.03	0.05	0.05
TOTAL INTEREST INCOME					\$0.05	

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 386-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # 
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: February 1 - 28, 2013

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					
02/21	02/21	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	\$5,996.21	\$4,480.94
Closing Balance					
TD Bank NA	02/28	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.05	10,487.20
				\$10,487.20	\$10,487.20

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

***** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT *****

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT

Reporting Period: March 1 - 31, 2013

ACCOUNT SUMMARY

Total Account Value: \$140,291.31

CHANGE IN ACCOUNT VALUE

	This Month 3/1/13 - 3/31/13	Year to Date 1/1/13 - 3/31/13	
BEGINNING VALUE	\$139,021.81	\$130,949.64	Market Appreciation/Depreciation
Deposits to Account	-	5,996.21	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Dividends and Interest	281.02	716.13	
Market Appreciation/(Depreciation)	988.48	3,038.33	
Other Income or Expense	-	(409.00)	
ENDING VALUE	\$140,291.31	\$140,291.31	Other Income or Expenses
CHANGE IN VALUE	\$1,269.50	\$9,341.67	Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 3/31/13	Percent of Account
Cash and Cash Alternatives	\$10,487.29	7.48%
Exchange Traded Funds (ETFs)	4,277.00	3.05
Mutual Funds	125,527.02	89.48
TOTAL VALUE	\$140,291.31	100.0%

YOUR INDEPENDENT ADVISOR
GRIMES & COMPANY INC
110 TURNPIKE RD
STE 100
WESTBOROUGH MA 01581-
(508) 366-3883

Questions about your statement
(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **24** of 550

Account **XXXXXXXXXX**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENTReporting Period: **March 1 - 31, 2013****ACCOUNT SUMMARY (continued)****RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	This Month	Year to Date 1/1/13 - 3/31/13	
		Reported for 2013	Reported for 2012
CONTRIBUTIONS			
Direct Transfer	\$ -	\$ -	\$126,737.41
Rollover	-	5,996.21	-

Contributions to your IRA
The amount of money you have deposited into your IRA.

Questions? Consult your **Independent Advisor**:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # **300000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: March 1 - 31, 2013

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$10,487.29
TOTAL CASH & CASH ALTERNATIVES			\$10,487.29

EXCHANGE TRADED FUNDS (ETFs)

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ISHARES EEM	5/26/09	100	\$42.77	\$4,277.00	\$3,226.00	\$1,051.00	\$74.50 1.7%	
TOTAL EXCHANGE TRADED FUNDS (ETFs)				\$4,277.00	\$3,226.00	\$1,051.00		\$74.50
TOTAL EXCHANGE TRADED FUNDS- LONG POSITION				4,277.00				

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	814.057	\$10.01	\$8,148.71	\$-	\$-	\$61.05 0.7%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	1,073.928	6.07	6,518.74	-	-	414.54 6.4%
EATON VANCE FDS GBL MACRO ABSOLUTE RET A	EAGMX	-	817.391	9.89	8,084.00	-	-	291.81 3.6%
FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL FUND CLASS A	FFRHX	-	929.116	9.99	9,281.87	-	-	296.39 3.2%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	298.957	28.02	8,376.78	8,229.98	146.80	136.32 1.6%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	373.839	9.71	3,629.98	-	-	172.71 4.8%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #217743
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: March 1 - 31, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
HARTFORD EQ INC CL A	HQIAX	9/7/12	858.614	16.16	13,875.20	12,811.47	1,063.73	261.02 1.9%
LOOMIS SAYLES FDS I BOND FUND RETAIL CL	LSBRX	-	434.809	15.23	6,622.14	-	-	358.28 5.4%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	-	2,706.663	10.92	29,556.76	-	-	1,066.43 3.6%
PIMCO FDS ALL ASSET ALL AUTHORITY D	PAUDX	-	675.728	10.90	7,365.44	-	-	349.35 4.7%
TROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV	PAWAX	-	361.505	38.43	13,892.64	-	-	39.77 0.3%
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	143.296	28.10	4,026.62	-	-	35.11 0.9%
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	557.907	11.02	6,148.14	-	-	-
TOTAL MUTUAL FUNDS				\$125,527.02	\$21,041.45	\$1,210.53	\$3,482.78	
TOTAL HOLDINGS				\$140,291.31	\$24,267.45	\$2,281.53	\$3,557.28	
TOTAL ACCOUNT VALUE				\$140,291.31				

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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MONTHLY STATEMENT

Reporting Period: March 1 - 31, 2013

Account #
**MARY ANNE ALLEGRO ROLLOVER IRA
 TD AMERITRADE CLEARING, CUSTODIAN
 ROLLOVER IRA**

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
03/01	03/01	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 02/28/2013 ORDINARY DIVIDENDS 22.36	EAGMX	-	\$ -	\$22.36
03/01	03/01	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.89 PER SHARE	EAGMX	2.261	9.89	(22.36)
03/01	03/01	Dividends and Interest	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL REINVESTED AT 9.95 PER SHARE	FFRHX	-	-	22.36
03/01	03/01	Buy	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL REINVESTED AT 9.95 PER SHARE	FFRHX	2.247	9.95	(22.36)
03/01	03/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 02/28/2013 ORDINARY DIVIDENDS 29.96	EVIBX	-	-	29.96
03/01	03/01	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 6.04 PER SHARE	EVIBX	4.96	6.04	(29.96)
03/01	03/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 02/28/2013 ORDINARY DIVIDENDS 12.96	GAMDX	-	-	12.96
03/01	03/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 9.82 PER SHARE	GAMDX	1.32	9.82	(12.96)
03/01	03/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 02/28/2013 ORDINARY DIVIDENDS 69.97	MWTRX	-	-	69.97

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro** Page **28 of 550**Account **TD Ameritrade**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA**MONTHLY STATEMENT**

Reporting Period: March 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
03/01	03/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.92 PER SHARE	MWTRX	6.408	10.92	(69.87)
03/22	03/22	Dividends and Interest	PIMCO FDS ALL ASSET ALL AUTHORITY D PAYABLE: 03/21/2013 ORDINARY DIVIDENDS 44.21	PAUDX	-	-	44.21
03/22	03/22	Buy	PIMCO FDS ALL ASSET ALL AUTHORITY D PAYABLE: 03/21/2013 ORDINARY DIVIDENDS 44.21 REINVESTED AT 10.90 PER SHARE	PAUDX	4.056	10.90	(44.21)
03/25	03/25	Dividends and Interest	GATEWAY TR FUND CLASS A PAYABLE: 03/22/2013 ORDINARY DIVIDENDS 27.35	GATEX	-	-	27.35
03/25	03/25	Buy	GATEWAY TR FUND CLASS A PAYABLE: 03/22/2013 REINVESTED AT 27.89 PER SHARE	GATEX	0.981	27.89	(27.35)
03/26	03/26	Dividends and Interest	THORNBURG INTERNATIONAL VALUE A PAYABLE: 03/22/2013 ORDINARY DIVIDENDS 2.86	TGVAX	-	-	2.86
03/26	03/26	Buy	THORNBURG INTERNATIONAL VALUE A REINVESTED AT 28.06 PER SHARE	TGVAX	0.102	28.06	(2.86)
03/27	03/27	Dividends and Interest	LOOMIS SAYLES FDS I BOND FUND RETAIL CL PAYABLE: 03/27/2013 ORDINARY DIVIDENDS 24.13	LSBRX	-	-	24.13
03/27	03/27	Buy	LOOMIS SAYLES FDS I BOND FUND RETAIL CL REINVESTED AT 15.23 PER SHARE	LSBRX	1.584	15.23	(24.13)

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: March 1 - 31, 2013

TRANSACTIONS DETAIL (*continued*)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
03/28	03/28	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 03/27/2013 ORDINARY DIVIDENDS 24.77	EAGMX	-	-	24.77

INSURED DEPOSIT ACCOUNT TO AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
03/01	\$10,487.20	31	0.0100	\$0.09	\$0.09	\$ 0.09
TOTAL INTEREST INCOME				\$0.09		

Questions? Consult your Independent Advisor
GRIMES & COMPANY INC (508) 366-3883

ACCOUNT
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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MONTHLY STATEMENT

Reporting Period: March 1 - 31, 2013

Account # **100-000000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					
03/28	03/28	Received	INTEREST: INSURED DEPOSIT ACCOUNT	\$0.09	10,487.29
Closing Balance				\$10,487.29	\$10,487.29

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

IMPORTANT INFORMATION

Required Annual FINRA Information: FINRA maintains a toll-free public disclosure hotline where investors may call to request disclosable background information on any licensed broker or broker-dealer. To call the hotline, dial 1-800-289-9999. FINRA also maintains an Internet web site where investors may obtain useful information concerning FINRA policies, procedures and services. The web site can be accessed at www.finra.org. Additionally, FINRA has a brochure which describes the investor education and protection program. You may request this brochure either through the FINRA web site or by calling the toll-free public disclosure hotline.

Certain purchases of Class A Mutual Funds may be eligible for a breakpoint discount on the sales charge. To learn more about breakpoint discounts, go to http://www.finra.org/web/groups/rules_regs/documents/rules_regs/p010543.pdf

If you are currently receiving a periodic distribution from your IRA and you would like to change or revoke your current federal tax withholding elections on file, please contact your Advisor or call TD Ameritrade Institutional at 800-431-3500, option 2. **Your current withholding will continue automatically if you do not specifically request a change.**

Please note that even if you choose not to have federal income tax withheld from your distribution, you still may owe federal taxes on the taxable portion of your withdrawal. You may also be subject to tax penalties in the event that your withholding is not adequate. We recommend you discuss your election with your accountant or tax advisor before making any changes.

TD Ameritrade Institutional does not provide tax advice. Please consult a tax-planning professional with regard to your personal circumstances.

***** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT *****

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 386-3883

TD Ameritrade
 Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # **10000000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT
Reporting Period: April 1 - 30, 2013**ACCOUNT SUMMARY**

Total Account Value: **\$141,844.47**

CHANGE IN ACCOUNT VALUE

	This Month 4/1/13 - 4/30/13	Year to Date 1/1/13 - 4/30/13	
BEGINNING VALUE	\$140,291.31	\$130,949.64	
Deposits to Account	-	5,996.21	Market Appreciation/Depreciation The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Dividends and Interest	241.90	958.03	
Market Appreciation/(Depreciation)	1,749.26	4,787.59	
Other Income or Expense	(438.00)	(847.00)	
ENDING VALUE	\$141,844.47	\$141,844.47	
CHANGE IN VALUE	\$1,553.16	\$10,894.83	

Questions about your statement
(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 4/30/13	Percent of Account
Cash and Cash Alternatives	\$10,049.37	7.08%
Exchange Traded Funds (ETFs)	4,329.00	3.05
Mutual Funds	127,466.10	89.86
TOTAL VALUE	\$141,844.47	100.0%

Other Income or Expenses
Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

ACCOUNT SUMMARY (continued)

RETIREMENT ACCOUNT ACTIVITY OVERVIEW	
	This Month
Year to Date 1/1/13 - 4/30/13	
Reported for 2013	
Reported for 2012	

CONTRIBUTIONS

Direct Transfer	\$ -	\$ -	\$126,737.41
Rollover	-	5,996.21	-

Contributions to your IRA
The amount of money you have deposited into your IRA.

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

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Account #
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **33 of 550**Account **MARY ANNE ALLEGRO ROLLOVER IRA**
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA**MONTHLY STATEMENT**

Reporting Period: April 1 - 30, 2013

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$10,049.37
TOTAL CASH & CASH ALTERNATIVES			\$10,049.37

EXCHANGE TRADED FUNDS (ETFs)

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ISHARES EEM		5/26/09	100	\$43.29	\$4,329.00	\$3,226.00	\$1,103.00	\$74.50 1.7%
TOTAL EXCHANGE TRADED FUNDS (ETFs)				\$4,329.00	\$3,226.00	\$1,103.00		\$74.50
TOTAL EXCHANGE TRADED FUNDS- LONG POSITION				4,329.00				

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	614.057	\$10.43	\$8,490.61	\$-	\$-	\$61.05 0.7%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	1,079.402	6.15	6,638.32	-	-	411.25 6.2%
EATON VANCE FDS GBL MACRO ABSOLUTE RET A	EAGMX	-	819.828	9.85	8,075.31	-	-	292.68 3.6%
FIDELITY ADVISOR FLOATING RT HI INC FDD RETAIL	FFRHX	-	931.53	10.02	9,333.93	-	-	297.16 3.2%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	298.957	28.22	8,436.57	8,229.98	206.59	136.32 1.6%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	375.157	10.03	3,762.82	-	-	169.95 4.5%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 386-3883**TD Ameritrade**

Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
HARTFORD EQ INC CL A	HQIAX	97/12	861.95	16.56	14,273.89	12,865.15	1,408.74	262.03 1.8%
LOOMIS SAYLES FDS I BOND FUND RETAIL CL	LSBRX	-	436.346	15.57	6,793.91	-	-	357.80 5.3%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	-	2,713.093	11.02	29,898.28	-	-	1,033.69 3.5%
PIMCO FDS ALL ASSET ALL AUTHORITY D	PAUDX	-	675.728	11.11	7,507.34	-	-	349.35 4.7%
TROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV	PAWAX	-	361.505	38.72	13,997.47	-	-	39.77 0.3%
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	143.296	28.99	4,154.15	-	-	35.11 0.8%
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	557.907	10.94	6,103.50	-	-	-
TOTAL MUTUAL FUNDS				\$127,466.10	\$21,095.13	\$1,615.33	\$3,446.16	
TOTAL HOLDINGS				\$141,844.47	\$24,321.13	\$2,718.33	\$3,520.66	
TOTAL ACCOUNT VALUE				\$141,844.47				

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE I-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

Account #**10000000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
04/01	04/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 03/28/2013 ORDINARY DIVIDENDS 12.80	GAMDX	-	\$ -	\$12.80
04/01	04/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 9.71 PER SHARE	GAMDX	1.318	9.71	(12.80)
04/01	04/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 03/28/2013 ORDINARY DIVIDENDS 70.22	MWTRX	-	-	70.22
04/01	04/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.92 PER SHARE	MWTRX	6.43	10.92	(70.22)
04/01	04/01	Dividends and Interest	HARTFORD EQ INC CL A PAYABLE: 03/27/2013 ORDINARY DIVIDENDS 53.68	HQIAK	-	-	53.68
04/01	04/01	Buy	HARTFORD EQ INC CL A REINVESTED AT 16.09 PER SHARE	HQIAK	3.336	16.09	(53.68)
04/01	04/01	Dividends and Interest	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL PAYABLE: 04/01/2013 ORDINARY DIVIDENDS 24.12	FFRHX	-	-	24.12
04/01	04/01	Buy	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL REINVESTED AT 9.99 PER SHARE	FFRHX	2.414	9.99	(24.12)
04/01	04/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CLA PAYABLE: 03/28/2013 ORDINARY DIVIDENDS 33.23	EVIBX	-	-	33.23

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro** Page **36** of **550**
 Account **REDACTED**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
04/01	04/01	Buy	EATON VANCE INCOME FUND OF BOSTON CLA REINVESTED AT 6.07 PER SHARE	EVIBX	5.474	6.07	(33.23)
04/16	04/16	Other Income or Expense	QUARTERLY MGMT FEE GRIMES & COMPANY INC 9179863041	-	-	-	(438.00)
04/26	04/26	Dividends and Interest	LOOMIS SAYLES FDS I BOND FUND RETAIL CL PAYABLE: 04/26/2013 ORDINARY DIVIDENDS 23.74	LSBRX	-	-	23.74
04/26	04/26	Buy	LOOMIS SAYLES FDS I BOND FUND RETAIL CL REINVESTED AT 15.45 PER SHARE	LSBRX	1.537	15.45	(23.74)
04/30	04/30	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 04/29/2013 ORDINARY DIVIDENDS 24.03	EAGMX	-	-	24.03
04/30	04/30	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.86 PER SHARE	EAGMX	2.437	9.86	(24.03)
04/30	04/30	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .08 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 04/30/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.08	MMDA12	0.08	-	0.08

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account **XXXXXXXXXX**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
04/01	\$10,487.29	15	0.0100	\$0.04	\$0.04	\$ -
04/16	10,049.29	15	0.0100	0.04	0.08	0.08
TOTAL INTEREST INCOME					\$0.08	

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					\$10,487.29
04/17	04/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	\$(438.00)	10,049.29
04/30	04/30	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.08	10,049.37
Closing Balance				\$10,049.37	
TD Bank NA					

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 386-3883

TD Ameritrade
Institutional

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account 
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN

GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013
ACCOUNT SUMMARY**Total Account Value:****\$140,995.56****CHANGE IN ACCOUNT VALUE**

	This Month 5/1/13 - 5/31/13	Year to Date 1/1/13 - 5/31/13	
BEGINNING VALUE	\$141,844.47	\$130,949.64	Market Appreciation/Depreciation
Deposits to Account	-	5,996.21	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Dividends and Interest	215.85	1,173.88	
Market Appreciation/(Depreciation)	(1,064.76)	3,722.83	
Other Income or Expense	-	(847.00)	
ENDING VALUE	\$140,995.56	\$140,995.56	Other Income or Expense
CHANGE IN VALUE	\$(848.91)	\$10,045.92	Miscellaneous expenses

Questions about your statement
(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 5/31/13	Percent of Account
Cash and Cash Alternatives	\$10,049.46	7.13%
Exchange Traded Funds (ETFs)	4,119.50	2.92
Mutual Funds	126,826.60	89.95
TOTAL VALUE	\$140,995.56	100.0%

SUMMARY OF HOLDINGS (does not represent an asset allocation)
Market Value
as of 5/31/13
Percent of
Account
including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Alliegro** Page **39** of 550Account **[REDACTED]**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA**MONTHLY STATEMENT**Reporting Period: **May 1 - 31, 2013****ACCOUNT SUMMARY (continued)****RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	This Month	Year to Date 1/1/13 - 5/31/13	
		Reported for 2013	Reported for 2012
CONTRIBUTIONS			
Direct Transfer	\$ -	\$ -	\$126,737.41
Rollover	-	5,996.21	-

Contributions to your IRA
*The amount of money you have deposited into your IRA.***Questions? Consult your Independent Advisor:**
GRIMES & COMPANY INC (508) 366-3883

Page 2 of 7

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. AllegroPage **40** of 550

Account #**1234567890**
MARY ANNE ALLEGRO ROLLOVER IRA,
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENTReporting Period: **May 1 - 31, 2013****HOLDINGS DETAIL****CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$10,049.46
TOTAL CASH & CASH ALTERNATIVES			\$10,049.46

EXCHANGE TRADED FUNDS (ETFs)

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ISHARES EEM		5/26/09	100	\$41.195	\$4,119.50	\$3,226.00	\$893.50	\$74.50 1.8%
TOTAL EXCHANGE TRADED FUNDS (ETFs)				\$4,119.50	\$3,226.00	\$893.50	\$74.50	
TOTAL EXCHANGE TRADED FUNDS- LONG POSITION				4,119.50				

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	814.057	\$10.21	\$8,311.52	\$-	\$-	\$61.05 0.7%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	1,084.67	6.08	6,594.79	-	-	408.92 6.2%
EATON VANCE FDS GBL MACRO ABSOLUTE RET A	EAGMX	-	822.366	9.82	8,075.63	-	-	293.58 3.6%
FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL	FFRHX	-	933.79	10.00	9,337.90	-	-	296.95 3.2%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	298.957	28.21	8,433.58	8,229.98	203.60	136.32 1.6%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	376.501	9.31	3,505.22	-	-	168.67 4.8%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade

Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **41** of 550

Account **REMOVED**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
HARTFORD EQ INC CL A	HQIAX	9/7/12	861.95	16.87	14,541.10	12,865.15	1,675.95	262.03 1.8%
LOOMIS SAYLES FDS I BOND FUND RETAIL CL	LSBRX	-	438.136	15.33	6,716.62	-	-	361.02 5.4%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	-	2,721.686	10.85	29,530.29	-	-	1,031.52 3.5%
PIMCO FDS ALL ASSET ALL AUTHORITY D	PAUDX	-	675.728	10.71	7,237.05	-	-	349.35 4.8%
TROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV	PAWAX	-	361.505	39.49	14,275.83	-	-	39.77 0.3%
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	143.296	28.90	4,141.25	-	-	35.11 0.8%
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	557.907	10.98	6,125.82	-	-	-
TOTAL MUTUAL FUNDS				\$126,826.60	\$21,095.13	\$1,879.55	\$3,444.30	
TOTAL HOLDINGS				\$140,995.56	\$24,321.13	\$2,773.05	\$3,518.80	
TOTAL ACCOUNT VALUE				\$140,995.56				

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013

Name **Mark C. Allegro** Page **42** of 550
 Account **100-0000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
05/01	05/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CLA PAYABLE: 04/30/2013 ORDINARY DIVIDENDS 32.40	EVIBX	-	\$ -	\$32.40
05/01	05/01	Buy	EATON VANCE INCOME FUND OF BOSTON CLA REINVESTED AT 6.15 PER SHARE	EVIBX	5.268	6.15	(32.40)
05/01	05/01	Dividends and Interest	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL PAYABLE: 05/01/2013 ORDINARY DIVIDENDS 22.65	FFRHX	-	-	22.65
05/01	05/01	Buy	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL REINVESTED AT 10.02 PER SHARE	FFRHX	2.26	10.02	(22.65)
05/01	05/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 04/30/2013 ORDINARY DIVIDENDS 13.48	GAMDX	-	-	13.48
05/01	05/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 10.03 PER SHARE	GAMDX	1.344	10.03	(13.48)
05/01	05/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 04/30/2013 ORDINARY DIVIDENDS 94.69	MWTRX	-	-	94.69
05/01	05/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 11.02 PER SHARE	MWTRX	8.593	11.02	(94.69)
05/29	05/29	Dividends and Interest	LOOMIS SAYLES FDS I BOND FUND RETAIL CL PAYABLE: 05/29/2013 ORDINARY DIVIDENDS 27.62	LSBRX	-	-	27.62

Questions? Consult your Independent Advisor:
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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # **10000000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA,
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
05/29	05/29	Buy	LOOMIS SAYLES FDS I BOND FUND RETAIL CL REINVESTED AT 15.43 PER SHARE	LSBRX	1.79	15.43	(27.62)
05/31	05/31	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 05/31/2013 ORDINARY DIVIDENDS 24.92	EAGMX	-	-	24.92
05/31	05/31	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.82 PER SHARE	EAGMX	2.538	9.82	(24.92)
05/31	05/31	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .09 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 05/31/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.09	MMDA12	0.09	-	0.09

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
05/01	\$10,049.37	31	0.0100	\$0.09	\$0.09	\$ 0.09
TOTAL INTEREST INCOME				\$0.09		

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name Mark C. Allegro Page 44 of 550

MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN

MONTHLY STATEMENT Reporting Period: May 1 - 31, 2013

Reporting Period: May 1 - 31, 2013

INSURED DEPOSIT ACCOUNT ACTIVITY

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction Description	Amount	Balance
Opening Balance				\$10,049.37
05/31	05/31	Received	\$0.09	10,049.46
		INTEREST: INSURED DEPOSIT ACCOUNT		

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

IMPORTANT INFORMATION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <http://www.tdameritrade.com/financialstatement.html> on or before 6/1/13, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692. This number is for inquiries regarding the financial statement only. As of 3/31/13, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$1.2 billion and \$215.2 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission.

*** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ***



Questions? Consult your Independent Advisor.
GRIMES & COMPANY INC (508) 366-3883

GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT

Reporting Period: June 1 - 30, 2013

Account # 123456
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

ACCOUNT SUMMARY

Total Account Value:

		This Month 6/1/13 - 6/30/13	Year to Date 1/1/13 - 6/30/13	
BEGINNING VALUE		\$140,995.56	\$130,949.64	Market Appreciation/ Depreciation
Deposits to Account		-	5,996.21	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Dividends and Interest		306.90	1,480.78	
Market Appreciation/(Depreciation)		(3,039.30)	683.53	
Other Income or Expense		-	(847.00)	
ENDING VALUE		\$138,263.16	\$138,263.16	
CHANGE IN VALUE		(\$2,732.40)	\$7,313.52	
SUMMARY OF HOLDINGS (does not represent an asset allocation)				
	Market Value as of 6/30/13	Percent of Account		
Cash and Cash Alternatives	\$3,049.51	2.21%		Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.
Exchange Traded Funds (ETFs)	3,850.00	2.78		
Mutual Funds	131,363.65	95.01		
TOTAL VALUE	\$138,263.16	100.0%		

YOUR INDEPENDENT ADVISOR

GRIMES & COMPANY INC
110 TURNPIKE RD
STE 100
WESTBOROUGH MA 01581-
(508) 366-3883

Questions about your statement
(800) 431-3500

TD Ameritrade Clearing, Inc., Member S

TD Ameritrade Clearing, Inc., Member SIPC
(800) 431-3500

(800) 431-3500

WESTBOROUGH MA 01581-
(508) 366-3883

110 TUBNPKE RD.

YOUR INDEPENDENT ADVISOR

A REGISTERED INVESTMENT ADVISOR

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **47** of 550

Account **XXXXXXXXXX**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: June 1 - 30, 2013

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$3,049.51
TOTAL CASH & CASH ALTERNATIVES			\$3,049.51

EXCHANGE TRADED FUNDS (ETFs)

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
iSHARES EEM	5/26/09	100	\$38.50	\$3,850.00	\$3,226.00	\$624.00	\$76.90	2.0%
TOTAL EXCHANGE TRADED FUNDS (ETFs)				\$3,850.00	\$3,226.00	\$624.00	\$76.90	
TOTAL EXCHANGE TRADED FUNDS- LONG POSITION				3,850.00				

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	814.057	\$10.04	\$8,173.13	\$-	\$-	\$60.86 0.7%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	1,090.185	5.90	6,432.09	-	-	407.73 6.3%
EATON VANCE FDS GBL MACRO ABSOLUTE RET A	EAGMX	-	824.872	9.67	7,976.51	-	-	294.48 3.7%
FIDELITY ADVISOR FLOATING RT HI INC FDF RETAIL	FFRHX	-	936.092	9.89	9,257.95	-	-	295.81 3.2%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	406.939	27.90	11,353.60	11,272.31	81.29	186.79 1.6%
GOldman Sachs LOCAL EMERGING MKTS DEBT A	GAMDX	-	377.876	8.85	3,344.20	-	-	166.27 5.0%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

ID Ameritrade

Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **48** of 550

Account **TD AMERITRADE**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: June 1 - 30, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
HARTFORD EQ INC CL A	HQIAZ	9/7/12	980.574	16.68	16,355.97	14,865.15	1,490.82	1.8%
LOOMIS SAYLES BOND FUND RETAIL CL	LSBRX	-	439.698	14.84	6,525.12	-	-	360.11
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	-	2,728.821	10.58	28,870.93	-	-	1,020.58
PMCO FDS ALL ASSET ALL AUTHORITY D	PAUDX	-	680.544	10.19	6,934.74	-	-	368.17
TROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV	PAWAX	-	412.081	39.13	16,124.73	-	-	45.33
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	144.103	27.49	3,961.39	-	-	0.3%
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	557.907	10.85	6,053.29	-	-	35.31
TOTAL MUTUAL FUNDS				\$131,363.65	\$26,137.46	\$1,572.11	\$3,541.48	
TOTAL HOLDINGS				\$138,283.16	\$29,363.46	\$2,196.11	\$3,618.38	
TOTAL ACCOUNT VALUE				\$138,263.16				

Questions? Consult your Independent Advisor:
 GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
 Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # **[REDACTED]**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: June 1 - 30, 2013

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
06/03	06/03	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 05/31/2013 ORDINARY DIVIDENDS 33.53	EVIBX	-	\$ -	\$33.53
06/03	06/03	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 6.08 PER SHARE	EVIBX	5.515	6.08	(33.53)
06/03	06/03	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 05/31/2013 ORDINARY DIVIDENDS 12.80	GAMDX	-	-	12.80
06/03	06/03	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 9.31 PER SHARE	GAMDX	1.375	9.31	(12.80)
06/03	06/03	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 05/31/2013 ORDINARY DIVIDENDS 77.42	MWTRX	-	-	77.42
06/03	06/03	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.85 PER SHARE	MWTRX	7.135	10.85	(77.42)
06/03	06/03	Dividends and Interest	FIDELITY ADVISOR FLOATING RT HI INC FDI RETAIL PAYABLE: 06/03/2013 ORDINARY DIVIDENDS 23.02	FFRHX	-	-	23.02
06/03	06/03	Buy	FIDELITY ADVISOR FLOATING RT HI INC FDI RETAIL REINVESTED AT 10.00 PER SHARE	FFRHX	2.302	10.00	(23.02)
06/11	06/12	Buy	TROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV	PAWAX	50.576	39.07	(2,000.00)
06/11	06/12	Buy	GATEWAY TR GATEWAY TR FUND CLASS A	GATEX	106.458	28.18	(3,000.00)

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 368-3883

TD Ameritrade
Institutional

Page 5 of 8

SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: June 1 - 30, 2013

Name	Mark C. Allegro	Page
Account		50 of 550
MARY ANNE ALLEGRO ROLLOVER IRA, TD AMERITRADE CLEARING, CUSTODIAN ROLLOVER IRA		

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
06/11	06/12	Buy	HARTFORD EQ INC CLA	HQIAX	118.624	16.86	(2,000.00)
06/21	06/21	Dividends and Interest	PIMCO FDS ALL ASSET ALL AUTHORITY D PAYABLE: 06/20/2013 ORDINARY DIVIDENDS 49.12	PAUDX	-	-	49.12
06/21	06/21	Buy	PIMCO FDS ALL ASSET ALL AUTHORITY D PAYABLE: 06/21/2013 ORDINARY DIVIDENDS 49.12	PAUDX	4.816	10.20	(49.12)
06/24	06/24	Dividends and Interest	GATEWAY TR FUND CLASS A PAYABLE: 06/21/2013 ORDINARY DIVIDENDS 42.33	GATEX	-	-	42.33
06/24	06/24	Buy	GATEWAY TR FUND CLASS A PAYABLE: 06/24/2013 REINVESTED AT 27.77 PER SHARE	GATEX	1.524	27.77	(42.33)
06/26	06/26	Dividends and Interest	THORNBURG INTERNATIONAL VALUE A PAYABLE: 06/24/2013 ORDINARY DIVIDENDS 21.49	TGVAX	-	-	21.49
06/26	06/26	Buy	THORNBURG INTERNATIONAL VALUE A PAYABLE: 06/26/2013 REINVESTED AT 26.62 PER SHARE	TGVAX	0.807	26.62	(21.49)
06/26	06/26	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 06/26/2013 ORDINARY DIVIDENDS 22.96	LSBRX	-	-	22.96
06/26	06/26	Buy	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 06/26/2013 REINVESTED AT 14.70 PER SHARE	LSBRX	1.562	14.70	(22.96)

Questions? Consult your independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: June 1 - 30, 2013

Account # **XXXXXXXXXX**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/CUSIP	Quantity	Price	Transaction Amount
06/28	06/28	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 06/28/2013 ORDINARY DIVIDENDS 24.18	EAGMX	-	-	24.18
06/28	06/28	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.65 PER SHARE	EAGMX	2.506	9.65	(24.18)
06/28	06/28	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .05 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 06/30/2013	MMDA12	0.05	-	0.05
			INSURED DEPOSIT ACCOUNT INTEREST 0.05				

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
06/01	\$10,049.46	11	0.0100	\$0.03	\$0.03	\$ -
06/12	3,049.46	19	0.0100	0.02	0.05	0.05
TOTAL INTEREST INCOME					\$0.05	

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3863

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. AllegroPage **52** of 550

Account # **XXXXXXXXXX**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: June 1 - 30, 2013

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					
06/12	06/12	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	\$(-7,000.00)	3,049.46
Closing Balance					
TD Bank NA		Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.05	\$3,049.51
				\$3,049.51	

FDC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

IMPORTANT INFORMATION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <http://www.tdameritrade.com/financialstatement.html> on or before 6/14/13, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692. This number is for inquiries regarding the financial statement only. As of 3/31/13, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$1.2 billion and \$215.2 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission.

Required Annual FINRA Information: FINRA maintains a toll-free public disclosure hotline where investors may call to request discloseable background information on any licensed broker or broker-dealer. To call the hotline, dial 1-800-289-9999. FINRA also maintains an Internet web site where investors may obtain useful information concerning FINRA policies, procedures and services. The web site can be accessed at www.finra.org. Additionally, FINRA has a brochure which describes the investor education and protection program. You may request this brochure either through the FINRA web site or by calling the toll-free public disclosure hotline.

Please note that there has been a change to the supplemental insurance coverage on your account. For more information, please see "Account Protection" under "Terms and Conditions" on this statement.

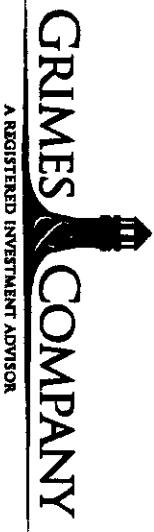
****** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ******

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 368-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. AllegroPage **53** of 550
MONTHLY STATEMENT
 Reporting Period: July 1 - 31, 2013

ACCOUNT SUMMARY
Total Account Value: **\$140,778.67**
CHANGE IN ACCOUNT VALUE

	This Month 7/1/13 - 7/31/13	Year to Date 1/1/13 - 7/31/13	
BEGINNING VALUE	\$138,263.16	\$130,949.64	Market Appreciation/Depreciation
Deposits to Account	-	5,996.21	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Dividends and Interest	321.13	1,801.91	
Market Appreciation/(Depreciation)	2,626.38	3,309.91	
Other Income or Expense	(432.00)	(1,279.00)	
ENDING VALUE	\$140,778.67	\$140,778.67	Other Income or Expense
CHANGE IN VALUE	\$2,515.51	\$9,829.03	Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 7/31/13	Percent of Account
Cash and Cash Alternatives	\$2,666.79	1.89%
Exchange Traded Funds (ETFs)	3,901.00	2.77
Mutual Funds	134,210.88	95.33
TOTAL VALUE	\$140,778.67	100.0%

 Account **TD-Ameritrade**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA
TD Ameritrade
 Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **54** of 550Account **[REDACTED]**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

Reporting Period: July 1 - 31, 2013

MONTHLY STATEMENT**ACCOUNT SUMMARY (continued)****RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	Year to Date 1/1/13 - 7/31/13		
	This Month	Reported for 2013	Reported for 2012
CONTRIBUTIONS	\$ -	\$ -	\$126,737.41
Direct Transfer	-	5,996.21	-
Rollover	-	-	-

Contributions to your IRA
*The amount of money you have deposited into your IRA.*Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

 Name **Mark C. Allegro** Page **55** of 550

 Account **5242100000**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA
MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

HOLDINGS DETAIL
CASH AND CASH ALTERNATIVES

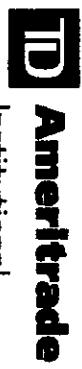
Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$2,666.79
TOTAL CASH & CASH ALTERNATIVES			\$2,666.79

EXCHANGE TRADED FUNDS (ETFs)

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ISHARES EEM		5/26/09	100	\$39.01	\$3,901.00	\$3,226.00	\$675.00	\$76.90
MSCI EMERGING MKTS ETF								2.0%
TOTAL EXCHANGE TRADED FUNDS (ETFs)				\$3,901.00	\$3,226.00	\$675.00	\$76.90	
TOTAL EXCHANGE TRADED FUNDS- LONG POSITION				3,901.00				

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	814.057	\$10.11	\$8,230.12	\$-	\$-	\$60.86 0.7%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	1,095.726	5.99	6,563.40	-	-	406.51 6.2%
EATON VANCE FDS GBL MACRO ABSOLUTE RET A	EAGMX	-	827.479	9.61	7,952.07	-	-	296.24 3.7%
FIDELITY ADVISOR FLOATING RT HI INC FDF RETAIL	FFRHX	-	938.368	9.98	9,364.91	-	-	294.65 3.1%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	406.939	28.23	11,487.89	11,272.31	215.58	186.79 1.6%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	379.229	8.82	3,344.80	-	-	164.59 4.9%

 Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883


Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. AllegroPage **56** of 550

Account **XXXXXXXXXX**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
HARTFORD EQ INC CL A	HQIAX	9/7/12	986.089	17.48	17,236.84	14,957.52	2,279.32	301.74 1.8%
LOOMIS SAYLES BOND FUND RETAIL CL	LSBRX	-	441.342	14.99	6,615.72	-	-	360.14 5.4%
METROPOLITAN WEST TOTAL RETURN BD FDD	MWTRX	-	2,734.734	10.60	28,988.18	-	-	984.50 3.4%
PIMCO FDS ALL ASSET ALL AUTHORITY D	PAUDX	-	680.544	10.24	6,968.77	-	-	368.17 5.3%
TROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV	PAWAX	-	412.081	41.59	17,138.45	-	-	45.33 0.3%
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	144.103	28.91	4,166.02	-	-	35.31 0.8%
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	557.907	11.03	6,153.71	-	-	-
TOTAL MUTUAL FUNDS				\$134,210.88	\$26,229.83	\$2,494.90	\$3,504.82	
TOTAL HOLDINGS				\$140,778.67	\$29,455.83	\$3,169.90	\$3,581.72	
TOTAL ACCOUNT VALUE				\$140,778.67				

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

Account # 100-00000000
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
07/01	07/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CLA PAYABLE: 06/28/2013 ORDINARY DIVIDENDS 32.69	EVIBX	-	\$ -	\$32.69
07/01	07/01	Buy	EATON VANCE INCOME FUND OF BOSTON CLA REINVESTED AT 5.90 PER SHARE	EVIBX	5.541	5.90	(32.69)
07/01	07/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 06/28/2013 ORDINARY DIVIDENDS 11.97	GAMDX	-	-	11.97
07/01	07/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 8.85 PER SHARE	GAMDX	1.353	8.85	(11.97)
07/01	07/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 06/28/2013 ORDINARY DIVIDENDS 62.56	MWTRX	-	-	62.56
07/01	07/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.58 PER SHARE	MWTRX	5.913	10.58	(62.56)
07/01	07/01	Dividends and Interest	HARTFORD EQ INC CL A PAYABLE: 06/27/2013 ORDINARY DIVIDENDS 92.37	HQJAX	-	-	92.37
07/01	07/01	Buy	HARTFORD EQ INC CL A REINVESTED AT 16.75 PER SHARE	HQJAX	5.515	16.75	(92.37)
07/01	07/01	Dividends and Interest	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL PAYABLE: 07/01/2013 ORDINARY DIVIDENDS 22.51	FFRHX	-	-	22.51

Questions? Consult your Independent Advisor:

GRIMES & COMPANY INC (508) 366-3883



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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. AllegroPage **58** of 550

Account **XXXXXXXXXX**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
07/01	07/01	Buy	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL REINVESTED AT 9.89 PER SHARE	FFRHX	2.276	9.89	(22.51)
07/05	07/05	Dividends and Interest	ISHARES MSCI EMERGING MKTS ETF PAYABLE: 07/05/2013 ORDINARY DIVIDENDS 49.26	EEM	-	-	49.26
07/19	07/19	Other Income or Expense	QUARTERLY MGMT FEE GRIMES & COMPANY INC 9179863041	-	-	-	(432.00)
07/29	07/29	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 07/29/2013 ORDINARY DIVIDENDS 24.67	LSBRX	-	-	24.67
07/29	07/29	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.01 PER SHARE	LSBRX	1.644	15.01	(24.67)
07/31	07/31	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 07/31/2013 ORDINARY DIVIDENDS 25.08	EAGMX	-	-	25.08
07/31	07/31	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.62 PER SHARE	EAGMX	2.607	9.62	(25.08)
07/31	07/31	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .02 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 07/31/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.02	MMDA12	0.02	-	0.02

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Alliegro

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MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

Account #
**MARY ANNE ALLIEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA**

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
07/01	\$3,049.51	4	0.0100	\$-	\$-	\$ -
07/05	3,098.77	14	0.0100	0.02	0.02	-
07/19	2,666.77	13	0.0100	-	0.02	0.02
TOTAL INTEREST INCOME						\$0.02

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					
07/05	07/05	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	\$49.26	\$3,049.51
					3,098.77
07/22	07/22	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(432.00)	2,666.77
07/31	07/31	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.02	2,666.79
Closing Balance				\$2,666.79	\$2,666.79
TD Bank NA					
<i>FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.</i>					

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name	Mark C. Allegro	Page	60 of 550
Account	██████████		
MARY ANNE ALLEGRO ROLLOVER IRA			
TD AMERITRADE CLEARING, CUSTODIAN			
ROLLOVER IRA			

MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **61** of 550Account **MARY ANNE ALLEGRO ROLLOVER IRA**
TD AMERITRADE CLEARING, CUSTODIAN**MONTHLY STATEMENT**

Reporting Period: August 1 - 31, 2013

Total Account Value:**\$139,040.90****GRIMES COMPANY**
A REGISTERED INVESTMENT ADVISOR**ACCOUNT SUMMARY**

YOUR INDEPENDENT ADVISOR
GRIMES & COMPANY INC
110 TURNPIKE RD
STE 100
WESTBOROUGH MA 01581-
(508) 366-3883

Questions about your statement
(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

CHANGE IN ACCOUNT VALUE		This Month 8/1/13 - 8/31/13	Year to Date 1/1/13 - 8/31/13
BEGINNING VALUE		\$140,778.67	\$130,949.64
Deposits to Account		-	5,996.21
Dividends and Interest		197.08	1,998.99
Market Appreciation/(Depreciation)		(1,934.85)	1,375.06
Other Income or Expense		-	(1,279.00)
ENDING VALUE		\$139,040.90	\$139,040.90
CHANGE IN VALUE			\$8,091.26

Market Appreciation/Depreciation
The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments), and your own additions or withdrawals.

Other Income or Expense
Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 8/31/13	Percent of Account
Cash and Cash Alternatives	\$2,666.81	1.92%
Exchange Traded Funds (ETFs)	3,802.00	2.73
Mutual Funds	132,572.09	95.35
TOTAL VALUE	\$139,040.90	100.0%

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **62** of 550Account **TD-00000000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA**MONTHLY STATEMENT**

Reporting Period: August 1 - 31, 2013

ACCOUNT SUMMARY (continued)**RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	<u>This Month</u>		<u>Year to Date 1/1/13 - 8/31/13</u>	
	<u>Reported for 2013</u>	<u>Reported for 2012</u>		
CONTRIBUTIONS	\$ -	\$ -	\$126,737.41	
Direct Transfer	-	5,996.21	-	
Rollover	-	-	-	

Contributions to your IRA
*The amount of money you have deposited into your IRA.***Questions? Consult your Independent Advisor:**
GRIMES & COMPANY INC (508) 366-3883

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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**MARY ANNE ALLEGRO ROLLOVER IRA
TRAMERITRADE CLEARING, CUSTODIAN**

MONTHLY STATEMENT

Reporting Period: August 1 - 31, 2013

HOLDINGS DETAIL

CASH AND CASH ALTERNATIVES

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$2,666.81
TOTAL CASH & CASH ALTERNATIVES			\$2,666.81

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income
ISHARES MSCI EMERGING MKTS ETF	EEM	5/26/09	100	\$38.02	\$3,802.00	\$3,226.00	\$576.00	\$76.90
TOTAL EXCHANGE TRADED FUNDS (ETFs)				\$3,802.00	\$3,226.00	\$576.00		2.0%
TOTAL EXCHANGE TRADED FUNDS- LONG POSITION								
				3,802.00				\$76.90

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income
AQR MANAGED FUTURES N	AQMNX	-	814.057	\$10.06	\$8,189.41	\$-	\$-	\$60.86 0.7%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	1,101.41	5.92	6,520.35	-	-	407.52 6.3%
EATON VANCE FDS GLBL MACRO ABSOLUTE RET A	EAGMX	-	830.139	9.47	7,861.42	-	-	297.19 3.8%
FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL	FFRHX	-	940.802	9.94	9,351.57	-	-	294.47 3.1%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	406.939	27.91	11,357.67	11,272.31	85.36	186.79 1.6%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	380.536	8.35	3,177.48	-	-	162.87 5.1%

Questions? Consult your independent Advisor.
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account **100-0000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: August 1 - 31, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
HARTFORD EQ INC CL A	HQIAX	9/7/12	986.089	16.86	16,625.46	14,957.52	1,667.94	301.74 1.8%
LOOMIS SAYLES BOND FUND RETAIL CL	LSBRX	-	443.154	14.68	6,505.50	-	-	360.28 5.5%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	-	2,741.844	10.53	28,871.62	-	-	965.13 3.3%
PIMCO FDS ALL ASSET ALL AUTHORITY D	PAUDX	-	680.544	10.09	6,866.69	-	-	368.17 5.4%
TROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV	PAWAX	-	412.081	41.16	16,961.25	-	-	45.33 0.3%
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	144.103	28.35	4,085.32	-	-	35.31 0.9%
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	557.907	11.11	6,198.35	-	-	-
TOTAL MUTUAL FUNDS				\$132,572.09	\$26,229.83	\$1,753.30	\$3,485.66	
TOTAL HOLDINGS				\$139,040.90	\$29,455.83	\$2,329.30	\$3,562.56	
TOTAL ACCOUNT VALUE				\$139,040.90				

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: August 1 - 31, 2013

Account # 202470000
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
08/01	08/01	Dividends and Interest	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL PAYABLE: 08/01/2013 ORDINARY DIVIDENDS 24.29	FFRHX	-	\$ -	\$24.29
08/01	08/01	Buy	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL REINVESTED AT 9.98 PER SHARE	FFRHX	2.434	9.98	(24.29)
08/01	08/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CLA PAYABLE: 07/31/2013 ORDINARY DIVIDENDS 34.05	EVIBX	-	-	34.05
08/01	08/01	Buy	EATON VANCE INCOME FUND OF BOSTON CLA REINVESTED AT 5.99 PER SHARE	EVIBX	5.684	5.99	(34.05)
08/01	08/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 07/31/2013 ORDINARY DIVIDENDS 11.53	GAMDX	-	-	11.53
08/01	08/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 8.82 PER SHARE	GAMDX	1.307	8.82	(11.53)
08/01	08/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 07/31/2013 ORDINARY DIVIDENDS 75.37	MWTRX	-	-	75.37
08/01	08/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.60 PER SHARE	MWTRX	7.11	10.60	(75.37)
08/28	08/28	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 08/28/2013 ORDINARY DIVIDENDS 26.66	LSBRX	-	-	26.66

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 396-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. AllegroPage **66** of 550

Account **7245222**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: August 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
08/28	08/28	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 14.71 PER SHARE	LSBRX	1,812	14.71	(26.66)
08/30	08/30	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 08/30/2013	EAGMX	-	-	25.16
08/30	08/30	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.46 PER SHARE	EAGMX	2.66	9.46	(25.16)
08/30	08/30	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .02 SHARES	MMDA12	0.02	-	0.02
			INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 08/31/2013				
			INSURED DEPOSIT ACCOUNT INTEREST .02				

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
08/01	\$2,666.79	31	0.0100	\$0.02	\$0.02	\$ 0.02
TOTAL INTEREST INCOME					\$0.02	

Questions? Consult your independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #**10000000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA,
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

Reporting Period: August 1 - 31, 2013

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
			INTEREST: INSURED DEPOSIT ACCOUNT		
08/30	08/30	Received		\$0.02	\$2,666.79
			CLOSING BALANCE		
			TD Bank NA		
				\$2,666.81	\$2,666.81

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

****** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ******

Questions? Consult your independent Advisor:
GRIMES & COMPANY INC (508) 386-3883



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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

Account **TD-Ameritrade**
MARY ANNE ALLEGRE ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

ACCOUNT SUMMARY**Total Account Value:** **\$142,153.27****CHANGE IN ACCOUNT VALUE**

	This Month 9/1/13 - 9/30/13	Year to Date 1/1/13 - 9/30/13	
BEGINNING VALUE	\$139,040.90	\$130,949.64	Market Appreciation/Depreciation
Deposits to Account	-	5,996.21	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Dividends and Interest	364.58	2,363.57	
Market Appreciation/(Depreciation)	2,747.79	4,122.85	
Other Income or Expense	-	(1,279.00)	
ENDING VALUE	\$142,153.27	\$142,153.27	Other Income or Expense
CHANGE IN VALUE	\$3,112.37	\$11,203.63	Miscellaneous expenses, including management fees, as well as TD Ameritrade fees (such as wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 9/30/13	Percent of Account
Cash and Cash Alternatives	\$2,666.83	1.88%
Exchange Traded Funds (ETFs)	4,075.50	2.87
Mutual Funds	135,410.94	95.26
TOTAL VALUE	\$142,153.27	100.0%

YOUR INDEPENDENT ADVISOR
GRIMES & COMPANY INC
110 TURNPIKE RD
STE 100
WESTBOROUGH MA 01581-
(508) 366-3883

Questions about your statement
(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC



Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #**10000000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

ACCOUNT SUMMARY (continued)**RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	This Month	Year to Date 1/1/13 - 9/30/13	
		Reported for 2013	Reported for 2012
CONTRIBUTIONS	\$ -	\$ -	\$126,737.41
Direct Transfer	-	5,996.21	-
Rollover	-	-	-

Contributions to your IRA
The amount of money you have deposited into your IRA.

Questions? Consult your independent Advisor:
GRIMES & COMPANY INC (508) 398-3883

TD Ameritrade
Institutional

SCHEDULE I-HASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **70** of 550

Account **TD AMERITRADE**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$2,666.83
TOTAL CASH & CASH ALTERNATIVES			\$2,666.83

EXCHANGE TRADED FUNDS (ETFs)

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ISHARES EEM		5/26/09	100	\$40.755	\$4,075.50	\$3,226.00	\$849.50	\$76.90 1.9%
TOTAL EXCHANGE TRADED FUNDS (ETFs)				\$4,075.50	\$3,226.00	\$849.50	\$76.90	
TOTAL EXCHANGE TRADED FUNDS- LONG POSITION				4,075.50				

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMINX	-	814.057	\$9.89	\$8,051.02	\$-	\$-	\$60.86 0.8%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	1,107.17	5.95	6,587.66	-	-	407.44 6.2%
EATON VANCE FDS GBL MACRO ABSOLUTE RET A	EAGMX	-	832.728	9.43	7,852.63	-	-	298.12 3.8%
FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL	FFRHX	-	943.312	9.92	9,357.66	-	-	292.43 3.1%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	408.445	28.12	11,485.47	11,314.84	170.63	188.29 1.6%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	381.912	8.68	3,315.00	-	-	160.79 4.9%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

ID Ameritrade
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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. AllegroPage **71** of 550

Account **TDAMERITRADE**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
HARTFORD EQ INC CL A	HQIAZ	9/7/12	989.998	17.29	17,117.07	15,025.74	2,091.33	302.94 1.8%
LOOMIS SAYLES BOND FUND RETAIL CL	LSBRX	-	444.6	15.02	6,677.89	-	-	360.13 5.4%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	-	2,749.067	10.62	29,195.09	-	-	948.43 3.2%
PMCO FDS ALL ASSET ALL AUTHORITY D	PAUDX	-	685.5	10.28	7,046.94	-	-	368.11 5.2%
TROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV	PAWAX	-	412.081	43.85	18,069.75	-	-	45.33 0.3%
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	144.436	30.12	4,350.41	-	-	34.66 0.8%
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	557.907	11.30	6,304.35	-	-	-
TOTAL MUTUAL FUNDS				\$135,410.94	\$26,340.58	\$2,261.98	\$3,467.52	
TOTAL HOLDINGS				\$142,153.27	\$29,586.58	\$3,111.46	\$3,544.42	
TOTAL ACCOUNT VALUE				\$142,153.27				

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

Name

Mark C. Allegro

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Account **[REDACTED]**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
09/03	09/03	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CLA PAYABLE: 08/30/2013 ORDINARY DIVIDENDS 34.10	EVIBX	-	\$ -	\$34.10
09/03	09/03	Buy	EATON VANCE INCOME FUND OF BOSTON CLA REINVESTED AT 5.92 PER SHARE	EVIBX	5.76	5.92	(34.10)
09/03	09/03	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 08/30/2013 ORDINARY DIVIDENDS 11.49	GAMDX	-	-	11.49
09/03	09/03	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 8.35 PER SHARE	GAMDX	1.376	8.35	(11.49)
09/03	09/03	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 08/30/2013 ORDINARY DIVIDENDS 76.06	MWTRX	-	-	76.06
09/03	09/03	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.53 PER SHARE	MWTRX	7.223	10.53	(76.06)
09/03	09/03	Dividends and Interest	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL PAYABLE: 09/03/2013 ORDINARY DIVIDENDS 24.95	FFRHX	-	-	24.95
09/03	09/03	Buy	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL REINVESTED AT 9.94 PER SHARE	FFRHX	2.51	9.94	(24.95)
09/20	09/20	Dividends and Interest	PIMCO FDS ALL ASSET ALL AUTHORITY D PAYABLE: 09/19/2013 ORDINARY DIVIDENDS 50.95	PAUDX	-	-	50.95

Questions? Consult your Independent Advisor:
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TD Ameritrade
Institutional

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

ACCOUNT
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

TRANSACTIONS DETAIL (*continued*)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/CUSIP	Quantity	Price	Transaction Amount
09/20	09/20	Buy	PIMCO FDS ALL ASSET ALL AUTHORITY D REINVESTED AT 10.28 PER SHARE	PAUDX	4,956	10.28	(50.95)
09/26	09/26	Dividends and Interest	GATEWAY TR FUND CLASS A PAYABLE: 09/25/2013 ORDINARY DIVIDENDS 42.53	GATEX	-	-	42.53
09/26	09/26	Buy	GATEWAY TR FUND CLASS A REINVESTED AT 28.24 PER SHARE	GATEX	1,506	28.24	(42.53)
09/26	09/26	Dividends and Interest	THORNBURG INTERNATIONAL VALUE A PAYABLE: 09/24/2013 ORDINARY DIVIDENDS 10.09	TGVAX	-	-	10.09
09/26	09/26	Buy	THORNBURG INTERNATIONAL VALUE A REINVESTED AT 30.34 PER SHARE	TGVAX	0.333	30.34	(10.09)
09/26	09/26	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 09/26/2013 ORDINARY DIVIDENDS 21.76	LSBRX	-	-	21.76
09/26	09/26	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.05 PER SHARE	LSBRX	1,446	15.05	(21.76)
09/30	09/30	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 09/30/2013 ORDINARY DIVIDENDS 24.41	EAGMX	-	-	24.41
09/30	09/30	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.43 PER SHARE	EAGMX	2,589	9.43	(24.41)

**Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883**

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/CUSIP	Quantity	Price	Transaction Amount
09/30	09/30	Dividends and Interest	HARTFORD EQ INC CL A PAYABLE: 09/26/2013 ORDINARY DIVIDENDS 68.22	HQIAK	-	-	68.22
09/30	09/30	Buy	HARTFORD EQ INC CL A REINVESTED AT 17.45 PER SHARE	HQIAK	3.909	17.45	(68.22)
09/30	09/30	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .02 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 09/30/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.02	MMDA12	0.02	-	0.02

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
09/01	\$2,666.81	30	0.0100	\$0.02	\$0.02	\$ 0.02
TOTAL INTEREST INCOME						\$0.02

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GRIMES & COMPANY INC (508) 366-3883



INSURED DEPOSIT ACCOUNT ACTIVITY

Reporting Period: September 1 - 30, 2013

ACCOUNT
MARY ANNE ALLEGRE ROOLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					
09/30	09/30	Received	INTEREST: INSURED DEPOSIT ACCOUNT	\$0.02	\$2,666.81 2,666.83

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IRA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

IMPORTANT INFORMATION

Please note that we have made a change to the Asset Protection Guarantee on your account. To see the updated guarantee and to learn more about what we do to safeguard your account, please visit tdameritrade.com/security.page

If you are currently receiving a periodic distribution from your IRA and you would like to change or revoke your current federal tax withholding elections on file, please contact your Advisor or call TD Ameritrade Institutional at 800-431-3500, option 2. **Your current withholding will continue automatically if you do not specifically request a change.**

Please note that even if you choose not to have federal income tax withheld from your distribution, you still may owe federal taxes on the taxable portion of your withdrawal. You may also be subject to tax penalties in the event that your withholding is not adequate. We recommend you discuss your election with your accountant or tax advisor before making any changes.

TD Ameritrade Institutional does not provide tax advice. Please consult a tax-planning professional with regard to your personal circumstances.

*** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ***



Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro** Page **76** of 550

Account **MARY ANNE ALLEGRO ROLLOVER IRA**
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

ACCOUNT SUMMARY **Total Account Value:** **\$144,517.19**

CHANGE IN ACCOUNT VALUE

	This Month 10/1/13 - 10/31/13	Year to Date 1/1/13 - 10/31/13	
BEGINNING VALUE	\$142,153.27	\$130,949.64	Market Appreciation/Depreciation
Deposits to Account	-	5,996.21	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Dividends and Interest	195.23	2,558.80	
Market Appreciation/(Depreciation)	2,612.69	6,735.54	
Other Income or Expense	(444.00)	(1,723.00)	
ENDING VALUE	\$144,517.19	\$144,517.19	Other Income or Expense
CHANGE IN VALUE	\$2,363.92	\$13,567.55	Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 10/31/13	Percent of Account
Cash and Cash Alternatives	\$2,222.85	1.54%
Exchange Traded Funds (ETFs)	4,245.50	2.94
Mutual Funds	138,048.84	95.52
TOTAL VALUE	\$144,517.19	100.0%

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

ACCOUNT SUMMARY (continued)**RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	This Month	Year to Date 1/1/13 - 10/31/13	
		Reported for 2013	Reported for 2012
CONTRIBUTIONS			
Direct Transfer	\$ -	\$ -	\$126,737.41
Rollover	-	5,996.21	-

Contributions to your IRA
The amount of money you have deposited into your IRA.

Reporting Period: October 1 - 31, 2013

MONTHLY STATEMENT

Questions? Consult your independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



Page 2 of 7

SCHEDULE II-ASSETS AND "UNEARNED" INCOME:

Name **Mark C. Allegro** Page **78** of 550

Account # **100-0000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA,
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$2,222.85
TOTAL CASH & CASH ALTERNATIVES			\$2,222.85

EXCHANGE TRADED FUNDS (ETFs)

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ISHARES EEM		5/26/09	100	\$42.45	\$4,245.50	\$3,226.00	\$1,019.50	\$76.90 1.8%
TOTAL EXCHANGE TRADED FUNDS (ETFs)				\$4,245.50	\$3,226.00	\$1,019.50	\$76.90	
TOTAL EXCHANGE TRADED FUNDS- LONG POSITION				4,245.50				

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	814.057	\$9.99	\$8,132.43	\$-	\$-	\$60.86 0.7%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	1,112.757	6.06	6,743.31	-	-	407.27 6.0%
EATON VANCE FDS GBL MACRO ABSOLUTE RET A	EAGMX	-	835.412	9.42	7,869.58	-	-	299.08 3.8%
FIDELITY ADVISOR FLOATING RT HI INC FDD RETAIL	FFRHX	-	945.746	9.98	9,436.55	-	-	293.18 3.1%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	408.445	28.56	11,665.19	11,314.84	350.35	188.29 1.6%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	383.331	8.86	3,396.31	-	-	159.08 4.7%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

HOLDINGS DETAIL (*continued*)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
HARTFORD EQ INC CL A	HQIAZ	9/7/12	989.998	17.83	17,651.66	15,025.74	2,625.92	302.94 1.7%
LOOMIS SAYLES BOND FUND RETAIL CL	LSBRX	-	446.219	15.26	6,809.30	-	-	359.65 5.3%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	-	2,756.17	10.71	29,518.58	-	-	939.85 3.2%
PIMCO FDS ALL ASSET ALL AUTHORITY D	PAUDX	-	685.5	10.41	7,136.06	-	-	368.11 5.2%
TROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV	PAWAX	-	412.081	45.87	18,902.16	-	-	45.33 0.2%
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	144.436	30.37	4,386.52	-	-	34.66 0.8%
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	557.907	11.47	6,399.19	-	-	-
TOTAL MUTUAL FUNDS				\$138,048.84	\$26,340.58	\$2,976.27	\$3,458.32	
TOTAL HOLDINGS				\$144,517.19	\$29,566.58	\$3,995.77	\$3,535.22	
TOTAL ACCOUNT VALUE				\$144,517.19				

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name Mark C. Allegro Page 80 of 550

Account # 100000000000
 MARY ANNE ALLEGRO ROLLOVER IRA
 TD AMERITRADE CLEARING, CUSTODIAN
 ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
10/01	10/01	Dividends and Interest	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL PAYABLE: 10/01/2013 ORDINARY DIVIDENDS 24.15	FFRHX	-	\$ -	\$24.15
10/01	10/01	Buy	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL REINVESTED AT 9.92 PER SHARE	FFRHX	2.434	9.92	(24.15)
10/01	10/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 09/30/2013 ORDINARY DIVIDENDS 33.24	EVIBX	-	-	33.24
10/01	10/01	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 5.95 PER SHARE	EVIBX	5.587	5.95	(33.24)
10/01	10/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 09/30/2013 ORDINARY DIVIDENDS 12.32	GAMDX	-	-	12.32
10/01	10/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 8.68 PER SHARE	GAMDX	1.419	8.68	(12.32)
10/01	10/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 09/30/2013 ORDINARY DIVIDENDS 75.43	MWTRX	-	-	75.43
10/01	10/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.62 PER SHARE	MWTRX	7.103	10.62	(75.43)
10/15	10/15	Other Income or Expense	QUARTERLY MGMT FEE GRIMES & COMPANY INC 9179863041	-	-	-	(444.00)

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
 Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
10/29	10/29	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 10/29/2013 ORDINARY DIVIDENDS 24.76	LSBRX	-	-	24.76
10/29	10/29	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.29 PER SHARE	LSBRX	1.619	15.29	(24.76)
10/31	10/31	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 10/31/2013 ORDINARY DIVIDENDS 25.31	EAGMX	-	-	25.31
10/31	10/31	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.43 PER SHARE	EAGMX	2.684	9.43	(25.31)
10/31	10/31	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .02 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 10/31/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.02	MMDA12	0.02	-	0.02

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #222285
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
10/01	\$2,666.83	14	0.0100	\$0.01	\$0.01	\$ -
10/15	2,222.83	17	0.0100	0.01	0.02	0.02
TOTAL INTEREST INCOME					\$0.02	

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					\$2,666.83
10/16	10/16	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	\$(444.00)	2,222.83
10/31	10/31	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.02	2,222.85
Closing Balance				\$2,222.85	
TD Bank NA				\$2,222.85	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

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GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**Page **83** of 550
MONTHLY STATEMENT

 Reporting Period: November 1 - 30, 2013

ACCOUNT SUMMARY

Total Account Value: **\$145,790.64**

CHANGE IN ACCOUNT VALUE

	This Month 1/1/13 - 11/30/13	Year to Date 1/1/13 - 11/30/13	
BEGINNING VALUE	\$144,517.19	\$130,949.64	Market Appreciation/Depreciation
Deposits to Account	-	5,996.21	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Dividends and Interest	191.61	2,750.41	
Market Appreciation/(Depreciation)	1,081.84	7,817.38	
Other Income or Expense	-	(1,723.00)	
ENDING VALUE	\$145,790.64	\$145,790.64	Other Income or Expense
CHANGE IN VALUE	\$1,273.45	\$14,841.00	Miscellaneous expenses

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TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 11/30/13	Percent of Account
Cash and Cash Alternatives	\$2,222.87	1.52%
Exchange Traded Funds (ETFs)	4,235.00	2.90
Mutual Funds	139,332.77	95.57
TOTAL VALUE	\$145,790.64	100.0%

(including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.)

Account **TD-Ameritrade**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # 100-000000000
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

Reporting Period: November 1 - 30, 2013

ACCOUNT SUMMARY (continued)**RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	This Month	Year to Date 1/1/13 - 11/30/13	
		Reported for 2013	Reported for 2012
CONTRIBUTIONS	\$ -	\$ -	\$126,737.41
Direct Transfer	-	5,996.21	-
Rollover	-	-	-

Contributions to your IRA
The amount of money you have deposited into your IRA.

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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Account # **13100000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: November 1 - 30, 2013

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT (DA12 NOT COVERED BY SIPC)	-	\$ -	\$2,222.87
TOTAL CASH & CASH ALTERNATIVES			\$2,222.87

EXCHANGE TRADED FUNDS (ETFs)

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ISHARES EEM	EEM	5/26/09	100	\$42.35	\$4,235.00	\$3,226.00	\$1,009.00	\$76.90 1.8%
TOTAL EXCHANGE TRADED FUNDS (ETFs)				\$4,235.00	\$3,226.00	\$1,009.00		\$76.90
TOTAL EXCHANGE TRADED FUNDS- LONG POSITION				4,235.00				

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	814.057	\$10.34	\$8,417.35	\$-	\$-	\$60.86 0.7%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	1,118.442	6.06	6,777.76	-	-	408.23 6.0%
EATON VANCE FDS GBL MACRO ABSOLUTE RET A	EAGMX	-	838.028	9.41	7,885.84	-	-	300.01 3.8%
FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL	FFRHX	-	948.31	9.99	9,473.62	-	-	293.98 3.1%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	408.445	28.77	11,750.96	11,314.84	436.12	188.29 1.6%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	384.884	8.50	3,271.51	-	-	159.73 4.9%

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 **TD Ameritrade**

Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: November 1 - 30, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
HARTFORD EQ INC CL A	HQIAX	9/7/12	989.998	18.29	18,107.06	15,025.74	3,081.32	302.94 1.7%
LOOMIS SAYLES BOND FUND RETAIL CL	LSBRX	-	447.811	15.16	6,788.81	-	-	360.94 5.3%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	-	2,762.621	10.68	29,504.79	-	-	914.43 3.1%
PIMCO FDS ALL ASSET ALL AUTHORITY D	PAUDX	-	685.5	10.23	7,012.67	-	-	368.11 5.2%
TROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV	PAWAX	-	412.081	47.21	19,454.34	-	-	45.33 0.2%
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	144.436	31.04	4,483.29	-	-	34.66 0.8%
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	557.907	11.48	6,404.77	-	-	-
TOTAL MUTUAL FUNDS				\$139,332.77	\$26,340.58	\$3,517.44	\$3,437.51	
TOTAL HOLDINGS				\$145,790.64	\$29,566.58	\$4,526.44	\$3,514.41	
TOTAL ACCOUNT VALUE				\$145,790.64				

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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MONTHLY STATEMENT

Reporting Period: November 1 - 30, 2013

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
11/01	11/01	Dividends and Interest	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL PAYABLE: 11/01/2013 ORDINARY DIVIDENDS 25.59	FFRHX	-	\$ -	\$25.59
11/01	11/01	Buy	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL REINVESTED AT 9.98 PER SHARE	FFRHX	2.564	9.98	(25.59)
11/01	11/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 10/31/2013 ORDINARY DIVIDENDS 34.45	EVIBX	-	-	34.45
11/01	11/01	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 6.06 PER SHARE	EVIBX	5.685	6.06	(34.45)
11/01	11/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 10/31/2013 ORDINARY DIVIDENDS 69.09	MWTRX	-	-	69.09
11/01	11/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.71 PER SHARE	MWTRX	6.451	10.71	(69.09)
11/01	11/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 10/31/2013 ORDINARY DIVIDENDS 13.76	GAMDX	-	-	13.76
11/01	11/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 8.86 PER SHARE	GAMDX	1.553	8.86	(13.76)
11/27	11/27	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 11/27/2013 ORDINARY DIVIDENDS 24.14	LSBRX	-	-	24.14

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name	Mark C. Allegro	Page	88	of 550
Account	 TD AMERITRADE	MARY ANNE ALLEGRO ROLLOVER IRA		
		TD AMERITRADE CLEARING, CUSTODIAN		
		ROLLOVER IRA		

MONTHLY STATEMENT

Reporting Period: November 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
11/27	11/27	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.16 PER SHARE	LSBRX	1.592	15.16	(24.14)
11/29	11/29	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 11/29/2013 ORDINARY DIVIDENDS 24.56	EAGMX	-	-	24.56
11/29	11/29	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.39 PER SHARE	EAGMX	2.616	9.39	(24.56)
11/29	11/29	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .02 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 11/30/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.02	MMDA12	0.02	-	0.02

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
11/01	\$2,222.85	30	0.0100	\$0.02	\$0.02	\$ 0.02
TOTAL INTEREST INCOME					\$0.02	

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **89** of 550

Account **TD AMERITRADE**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT Reporting Period: November 1 - 30, 2013**INSURED DEPOSIT ACCOUNT ACTIVITY**

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					
11/29	11/29	Received	INTEREST: INSURED DEPOSIT ACCOUNT	\$0.02	2,222.87
Closing Balance					
TD Bank NA				\$2,222.87	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #~~XXXXXXXXXX~~
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING,CUSTODIAN
ROLLOVER IRA

GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

ACCOUNT SUMMARY

Total Account Value: **\$147,208.15**

CHANGE IN ACCOUNT VALUE

	This Month 12/1/13 - 12/31/13	Year to Date 1/1/13 - 12/31/13	
BEGINNING VALUE	\$145,790.64	\$130,949.64	
Deposits to Account	-	5,996.21	Market Appreciation/ Depreciation
Dividends and Interest	3,418.26	6,168.67	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Market Appreciation/(Depreciation)	(2,000.75)	5,816.63	
Other Income or Expense	-	(1,723.00)	
ENDING VALUE	\$147,208.15	\$147,208.15	
CHANGE IN VALUE	\$1,417.51	\$16,258.51	

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TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 12/31/13	Percent of Account
Cash and Cash Alternatives	\$2,259.47	1.53%
Exchange Traded Funds (ETFs)	4,179.50	2.84
Mutual Funds	140,769.18	95.63
TOTAL VALUE	\$147,208.15	100.0%

SUMMARY OF HOLDINGS (does not represent an asset allocation)
Market Value
as of 12/31/13
Percent of
Account
Cash and Cash Alternatives
\$2,259.47
1.53%
Exchange Traded Funds (ETFs)
4,179.50
2.84
Mutual Funds
140,769.18
95.63
TOTAL VALUE
\$147,208.15
100.0%

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Alliegro** Page **Q1** of 550

Account **TD AMERITRADE**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING,CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

ACCOUNT SUMMARY (continued)**RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	This Month	Year to Date 1/1/13 - 12/31/13	
		Reported for 2013	Reported for 2012
CONTRIBUTIONS			
Direct Transfer	\$ -	\$ -	\$126,737.41
Rollover	-	5,996.21	-

Contributions to your IRA
The amount of money you have deposited into your IRA.

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TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name _____

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**MARY ANNE ALLEGRO ROLLOVER IRA
TRANSFERTRADE CLEARING CUSTOMER**

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

CASH AND CASH ALTERNATIVES HOLDINGS DETAIL

Investment Description	Quantity
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-
TOTAL CASH & CASH ALTERNATIVES	-

TOTAL CASH & CASH ALTERNATIVES

EXCHANGE TRADED FUNDS (ETFs)						
Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis
ISHARES EEM	EEM	5/26/09	100	\$41.795	\$4,179.50	\$3,226.00
MSCI EMERGING MKTS ETF						
TOTAL EXCHANGE TRADED FUNDS (ETFs)				\$4,179.50	\$3,226.00	\$953.50
TOTAL EXCHANGE TRADED FUNDS- LONG POSITION				4,179.50		\$85.80
						2.1%
						\$85.80

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	822.528	\$10.51	\$8,644.77	\$-	\$-	\$-
EATON VANCE INCOME FUND OF BOSTON CLA	EVIBX	-	1,123.987	6.06	6,811.36	-	-	410.26 6.0%
EATON VANCE FDS	EAGMX	-	840.733	9.43	7,928.11	-	-	300.98 3.8%
GBL MACRO ABSOLUTE RET A	FFRHX	-	954.178	9.96	9,503.61	-	-	294.84 3.1%
FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL								
GATEWAY TR FUND CLASS A	GATEX	10/2/12	409.595	29.00	11,878.26	11,347.96	530.30	156.47 1.3%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	386.516	8.47	3,273.79	-	-	160.79 4.9%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING,CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
HARTFORD EQ INC CL A	HQIAX	9/7/12	1,021.661	18.07	18,461.41	15,576.99	2,884.42	315.69 1.7%
LOOMIS SAYLES BOND FUND RETAIL CL	LSBRX	-	453.065	15.09	6,836.75	-	-	300.38 4.4%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	-	2,785.227	10.55	29,384.14	-	-	902.41 3.1%
PIMCO FDS ALL ASSET ALL AUTHORITY D	PAUDX	-	706.947	9.87	6,977.57	-	-	369.03 5.3%
TROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV	PAWAX	-	461.031	43.58	20,091.73	-	-	-
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	144.436	31.39	4,533.85	-	-	34.66 0.8%
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	557.907	11.55	6,443.83	-	-	-
TOTAL MUTUAL FUNDS				\$140,769.18	\$26,924.95	\$3,414.72	\$3,245.51	
TOTAL HOLDINGS				\$147,208.15	\$30,150.95	\$4,368.22	\$3,331.31	
TOTAL ACCOUNT VALUE				\$147,208.15				

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

Account **12002**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING,CUSTODIAN
ROLLOVER IRA

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/02	12/02	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 11/29/2013 ORDINARY DIVIDENDS 33.60	EVIBX	-	\$ -	\$33.60
12/02	12/02	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 6.06 PER SHARE	EVIBX	5.545	6.06	(33.60)
12/02	12/02	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 11/29/2013 ORDINARY DIVIDENDS 60.88	MWTRX	-	-	60.88
12/02	12/02	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.68 PER SHARE	MWTRX	5.7	10.68	(60.88)
12/02	12/02	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 11/29/2013 ORDINARY DIVIDENDS 13.87	GAMDX	-	-	13.87
12/02	12/02	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 8.50 PER SHARE	GAMDX	1.632	8.50	(13.87)
12/02	12/02	Dividends and Interest	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL PAYABLE: 12/02/2013 ORDINARY DIVIDENDS 24.26	FFRHX	-	-	24.26
12/02	12/02	Buy	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL REINVESTED AT 9.99 PER SHARE	FFRHX	2.428	9.99	(24.26)
12/09	12/09	Dividends and Interest	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL PAYABLE: 12/09/2013 SHORT TERM CAP GAINS 34.23	FFRHX	-	-	34.23

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name	Mark C. Allegro	Page	95	of 550
Account #		MARY ANNE ALLEGRO ROLLOVER IRA		
TD AMERITRADE CLEARING,CUSTODIAN		ROLLOVER IRA		

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/09	12/09	Buy	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL REINVESTED AT 9.95 PER SHARE	FFRHX	3.44	9.95	(34.23)
12/16	12/16	Dividends and Interest	T ROWE PRICE NEW AM GROWTH HF NEW AMER GROWTH ADV PAYABLE: 12/16/2013 SHORT TERM CAP GAINS 527.46	PAWAX	-	-	527.46
12/16	12/16	Buy	T ROWE PRICE NEW AM GROWTH HF NEW AMER GROWTH ADV REINVESTED AT 41.84 PER SHARE	PAWAX	12.607	41.84	(527.46)
12/16	12/16	Dividends and Interest	T ROWE PRICE NEW AM GROWTH HF NEW AMER GROWTH ADV PAYABLE: 12/16/2013 LONG TERM CAP GAINS 1520.58	PAWAX	-	-	1,520.58
12/16	12/16	Buy	T ROWE PRICE NEW AM GROWTH HF NEW AMER GROWTH ADV REINVESTED AT 41.84 PER SHARE	PAWAX	36.343	41.84	(1,520.58)
12/16	12/16	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 12/13/2013 LONG TERM CAP GAINS 178.70	MWTRX	-	-	178.70
12/16	12/16	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.57 PER SHARE	MWTRX	16.906	10.57	(178.70)
12/17	12/17	Dividends and Interest	HARTFORD EQ INC CL A PAYABLE: 12/13/2013 SHORT TERM CAP GAINS 34.23	HQIAK	-	-	34.23
12/17	12/17	Buy	HARTFORD EQ INC CL A REINVESTED AT 17.41 PER SHARE	HQIAK	1.966	17.41	(34.23)

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #
MARY ANNE ALLEGRO ROLLOVER IRA,
TD AMERITRADE CLEARING,CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/17	12/17	Dividends and Interest	HARTFORD EQ INC CLA PAYABLE: 12/13/2013 LONG TERM CAP GAINS 517.02	HQIAK	-	-	517.02
12/17	12/17	Buy	HARTFORD EQ INC CLA REINVESTED AT 17.41 PER SHARE	HQIAK	29.697	17.41	(517.02)
12/17	12/17	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 12/17/2013 ORDINARY DIVIDENDS 48.95	LSBRX	-	-	48.95
12/17	12/17	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.02 PER SHARE	LSBRX	3.259	15.02	(48.95)
12/17	12/17	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 12/17/2013 LONG TERM CAP GAINS 29.96	LSBRX	-	-	29.96
12/20	12/20	Dividends and Interest	GATEWAY TR FUND CLASS A PAYABLE: 12/19/2013 ORDINARY DIVIDENDS 33.12	GATEX	1.995	15.02	(29.96)
12/20	12/20	Buy	GATEWAY TR FUND CLASS A REINVESTED AT 28.80 PER SHARE	GATEX	1.15	28.80	(33.12)
12/26	12/26	Dividends and Interest	AQR MANAGED FUTURES N PAYABLE: 12/23/2013 LONG TERM CAP GAINS 78.29	AQMIX	-	-	78.29

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING,CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/26	12/26	Buy	AQR MANAGED FUTURES N REINVESTED AT 10.32 PER SHARE	AQMNX	7.586	10.32	(78.29)
12/26	12/26	Dividends and Interest	AQR MANAGED FUTURES N PAYABLE: 12/23/2013 SHORT TERM CAP GAINS 9.13	AQMNX	-	-	9.13
12/30	12/30	Buy	AQR MANAGED FUTURES N REINVESTED AT 10.32 PER SHARE	AQMNX	0.885	10.32	(9.13)
12/31	12/31	Dividends and Interest	ISHARES MSCI EMERGING MKTS ETF PAYABLE: 12/30/2013 ORDINARY DIVIDENDS 36.58	EEM	-	-	36.58
12/31	12/31	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 12/31/2013 ORDINARY DIVIDENDS 25.48	EAGMX	-	-	25.48
12/31	12/31	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.42 PER SHARE	EAGMX	2.705	9.42	(25.48)
12/31	12/31	Dividends and Interest	PIMCO FDS ALL ASSET ALL AUTHORITY D PAYABLE: 12/30/2013 ORDINARY DIVIDENDS 211.90	PAUDX	-	-	211.90

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **98** of 550

Account **12310000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING,CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/31	12/31	Buy	PIMCO FDS ALL ASSET ALL AUTHORITY D REINVESTED AT 9.88 PER SHARE	PAUDX	21.447	9.88	(211.90)
12/31	12/31	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .02 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 12/31/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.02	MMDA12	0.02	-	0.02

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
12/01	\$2,222.87	29	0.0100	\$0.02	\$0.02	\$ -
12/30	2,259.45	2	0.0100	-	0.02	0.02
TOTAL INTEREST INCOME					\$0.02	

Questions? Consult your **Independent Advisor**:
GRIMES & COMPANY INC (508) 366-3883



MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					
12/30	12/30	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	\$36.58	2,259.45
12/31	12/31	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.02	2,259.47

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured. Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

IMPORTANT INFORMATION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <https://www.tdameritrade.com/financial-statement#financial-statement> on or before 12/27/2013, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692. As of 9/30/2013, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$1.3 billion and \$222.6 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission.

Annual Margin Disclosure
Securities purchased on margin are the firm's collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan. As a result, the firm can take action, such as issuing a margin call and/or selling securities or other assets in any of your accounts held with TD Ameritrade, in order to maintain the required equity in the account.

It is important that you fully understand the risks involved in trading securities on margin. These risks include the following: You can lose more funds than you deposit in the margin account. The firm can force the sale of securities or other assets in your account(s). The firm can sell your securities or other assets without contacting you. You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call. The firm can increase its "house" maintenance requirements at any time and is not required to provide you advance written notice. You are not entitled to an extension of time on a margin call.

Questions? Consult your Independent Advisor
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name	Mark C. Allegro	Page	100 of 550
Account	 TD AMERITRADE MARY ANNE ALLEGRO ROLLOVER IRA TD AMERITRADE CLEARING,CUSTODIAN ROLLOVER IRA		

MONTHLY STATEMENT

IMPORTANT INFORMATION

Reporting Period: December 1 - 31, 2013

Anti-Money Laundering Requirements

The USA PATRIOT Act is designed to detect, deter, and punish terrorists and/or terrorist groups in the United States and abroad. The Act requires U.S. financial institutions and brokerage firms to implement comprehensive anti-money laundering programs.

What is money laundering?

Money laundering is the process of disguising illegally obtained funds so that they appear to come from legitimate sources or activities. Money laundering occurs in connection with a wide variety of crimes, including (but not limited to) illegal arms sales, drug trafficking, fraud, terrorism, embezzlement, and political corruption.

How big is the problem and why is it important?

According to the U.S. Department of State, one recent estimate puts the amount of worldwide money laundering activity at roughly \$1 trillion per year. The use of the U.S. financial system by criminals to facilitate terrorism or other crimes could taint our financial markets.

What is TD Ameritrade required to do?

As required by the USA PATRIOT Act, our anti-money laundering program designates a special Compliance Officer to ensure compliance with applicable laws, maintains policies and procedures to detect and report suspicious transactions, performs employee training, and conducts independent audits. Federal law also requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. As part of this requirement, we may ask you to provide various identification documents or other information. Until you provide the information or documents required, we may not be able to open an account or process transactions for you.

We thank you for your patience and look forward to your support in our efforts to deny terrorist groups access to America's financial system.

**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Alliegro**Page **| 0 |** of 550**MONTHLY STATEMENT**

Account # **XXXXXXXXXX**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

Reporting Period: January 1 - 31, 2014

ACCOUNT SUMMARY**Total Account Value:** **\$144,923.89****GRIMES COMPANY**

A REGISTERED INVESTMENT ADVISOR

CHANGE IN ACCOUNT VALUE

	This Month 1/1/14 - 1/31/14	Year to Date 1/1/14 - 1/31/14	
BEGINNING VALUE	\$147,208.15	\$147,208.15	Market Appreciation/Depreciation
Dividends and Interest Market Appreciation/(Depreciation)	243.77 (2,068.03)	243.77 (2,068.03)	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Other Income or Expense	(460.00)	(460.00)	
ENDING VALUE	\$144,923.89	\$144,923.89	Other Income or Expense
CHANGE IN VALUE	(\$2,284.26)	(\$2,284.26)	Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 1/31/14	Percent of Account
Cash and Cash Alternatives	\$4,939.04	3.41%
Exchange Traded Funds (ETFs)	3,819.00	2.64
Mutual Funds	136,165.85	93.96
TOTAL VALUE	\$144,923.89	100.0%

YOUR INDEPENDENT ADVISOR
GRIMES & COMPANY INC
110 TURNPIKE RD
STE 100
WESTBOROUGH MA 01581-
For questions regarding the services provided by your Independent Advisor call (508) 366-3883

Questions? - Contact us.

(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC



SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**Page **102** of 550

Account # **100-10000000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

ACCOUNT SUMMARY (continued)**RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	This Month	Year to Date 1/1/14 - 1/31/14	Reported for 2014	Reported for 2013
CONTRIBUTIONS	\$ -	\$ -	\$ -	\$5,996.21
Rollover				

Contributions to your IRA
The amount of money you have deposited into your IRA.

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

Account # 123456789
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$4,939.04
TOTAL CASH & CASH ALTERNATIVES			\$4,939.04

EXCHANGE TRADED FUNDS (ETFs)

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ISHARES EEM		5/26/09	100	\$38.19	\$3,819.00	\$3,226.00	\$593.00	\$85.80 2.2%
TOTAL EXCHANGE TRADED FUNDS (ETFs)				\$3,819.00	\$3,226.00		\$593.00	\$85.80
TOTAL EXCHANGE TRADED FUNDS- LONG POSITION				\$3,819.00				

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	822.528	\$10.18	\$8,373.34	\$-	\$-	\$-
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	1,129.725	6.06	6,846.13	-	-	412.35 6.0%
EATON VANCE FDS GBL MACRO ABSOLUTE RET A	EAGMX	-	843.467	9.35	7,886.42	-	-	301.96 3.8%
FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL	FFRHX	-	956.75	9.98	9,548.37	-	-	294.68 3.1%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	409.595	28.54	11,689.84	11,347.96	341.88	156.47 1.3%
HARTFORD EQ INC CL A	HQJAX	9/7/12	1,026.393	17.33	17,787.39	15,662.26	2,125.13	317.16 1.8%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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Account # 
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
LOOMIS SAYLES BOND FUND RETAIL CL	LSBRX	-	454.399	15.04	6,834.16	-	-	323.08 4.7%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	-	2,788.941	10.69	29,813.78	-	-	856.20 2.9%
PIMCO FDS ALL ASSET ALL AUTHORITY D	PAUDX	-	706.947	9.80	6,928.08	-	-	369.03 5.3%
TROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV	PAWAX	-	461.031	42.80	19,732.13	-	-	-
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	144.436	29.34	4,237.75	-	-	34.66 0.8%
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	557.907	11.63	6,488.46	-	-	-
TOTAL MUTUAL FUNDS				\$136,165.85	\$27,010.22	\$2,467.01	\$3,065.58	
TOTAL HOLDINGS				\$144,923.89	\$30,236.22	\$3,060.01	\$3,151.38	
TOTAL ACCOUNT VALUE				\$144,923.89				

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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Account # 0000000000
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
01/02	01/02	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CLA PAYABLE: 12/31/2013 ORDINARY DIVIDENDS 34.77	EVIBX	-	\$ -	\$34.77
01/02	01/02	Buy	EATON VANCE INCOME FUND OF BOSTON CLA REINVESTED AT 6.06 PER SHARE	EVIBX	5.738	6.06	(34.77)
01/02	01/02	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 12/31/2013 ORDINARY DIVIDENDS 39.18	MWTRX	-	-	39.18
01/02	01/02	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.55 PER SHARE	MWTRX	3.714	10.55	(39.18)
01/02	01/02	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 12/31/2013 ORDINARY DIVIDENDS 13.23	GAMDX	-	-	13.23
01/02	01/02	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 8.47 PER SHARE	GAMDX	1.562	8.47	(13.23)
01/02	01/02	Dividends and Interest	HARTFORD EQ INC CL A PAYABLE: 12/30/2013 ORDINARY DIVIDENDS 85.27	HQIAK	-	-	85.27
01/02	01/02	Buy	HARTFORD EQ INC CL A REINVESTED AT 18.02 PER SHARE	HQIAK	4.732	18.02	(85.27)
01/02	01/02	Dividends and Interest	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL PAYABLE: 01/02/2014 ORDINARY DIVIDENDS 25.62	FFRHX	-	-	25.62

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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Account #222-123456
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
01/02	01/02	Buy	FIDELITY ADVISOR FLOATING RT HI INC FD RETAIL REINVESTED AT 9.96 PER SHARE	FFRHX	2.572	9.96	(25.62)
01/16	01/16	Other Income or Expense	QUARTERLY MGMT FEE GRIMES & COMPANY INC 9179863041	-	-	-	(460.00)
01/24	01/27	Sell	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A SHORT TERM RDM FEE 0.00	GAMDX	(388.078)	8.09	3,139.55
01/29	01/29	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 01/29/2014 ORDINARY DIVIDENDS 2012	LSBRX	-	-	20.12
01/29	01/29	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.08 PER SHARE	LSBRX	1.334	15.08	(20.12)
01/31	01/31	Dividends and Interest	EATON VANCE FDS GBL MACRO ABSOLUTE RET A PAYABLE: 01/31/2014 ORDINARY DIVIDENDS 25.56	EAGMX	-	-	25.56
01/31	01/31	Buy	EATON VANCE FDS GBL MACRO ABSOLUTE RET A REINVESTED AT 9.35 PER SHARE	EAGMX	2.734	9.35	(25.56)
01/31	01/31	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .02 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 01/31/2014 INSURED DEPOSIT ACCOUNT INTEREST 0.02	MMDA12	0.02	-	0.02

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
 Institutional

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**Page **109** of 550

Account # **10000000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
01/01	\$2,259.47	15	0.0100	\$0.01	\$0.01	\$ -
01/16	1,799.47	11	0.0100	-	0.01	-
01/27	4,939.02	5	0.0100	0.01	0.02	0.02
TOTAL INTEREST INCOME					\$0.02	

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					\$2,259.47
01/17	01/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	\$(460.00)	1,799.47
01/27	01/27	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	3,139.55	4,939.02
01/31	01/31	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.02	4,939.04
Closing Balance				\$4,939.04	
TD Bank NA				\$4,939.04	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro**

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Account # **00000000000000000000000000000000**
MARY ANNE ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

Reporting Period: January 1 - 31, 2014

MONTHLY STATEMENT

**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

Page 109 of 550

Account # **MARK ALLEGRO ROLLOVER IRA**
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

GRIMES COMPANY
 A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT
 Reporting Period: January 1 - 31, 2013

ACCOUNT SUMMARY Total Account Value: **\$293,107.82**

CHANGE IN ACCOUNT VALUE

	This Month 1/1/13 - 1/31/13	Year to Date 1/1/13 - 1/31/13	
BEGINNING VALUE	\$288,021.89	\$288,021.89	Market Appreciation/Depreciation: The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Dividends and Interest	488.66	488.66	
Market Appreciation/(Depreciation)	5,498.27	5,498.27	
Other Income or Expense	(901.00)	(901.00)	
ENDING VALUE	\$293,107.82	\$293,107.82	Other Income or Expense: Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.
CHANGE IN VALUE	\$5,085.93	\$5,085.93	

Questions about your statement
(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 1/31/13	Percent of Account
Cash and Cash Alternatives	\$5,562.19	1.90%
Mutual Funds	287,545.63	98.10
TOTAL VALUE	\$293,107.82	100.0%

Other Income or Expense
Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

TD Ameritrade
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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **110** of 550**MONTHLY STATEMENT**

Reporting Period: January 1 - 31, 2013

ACCOUNT SUMMARY (continued)**RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	<u>This Month</u>	<u>Year to Date 1/1/13 - 1/31/13</u>	<u>Reported for 2013</u>	<u>Reported for 2012</u>
CONTRIBUTIONS Direct Transfer	\$ -	\$ -	\$278,247.91	

*Contributions to your IRA
The amount of money you have deposited into your IRA.*

Account # **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

Questions? Consult your independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

Page // / of 550

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2013

HOLDINGS DETAIL
CASH AND CASH ALTERNATIVES

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$5,562.19
TOTAL CASH & CASH ALTERNATIVES			\$5,562.19

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	1,170.618	\$10.05	\$11,764.71	\$-	\$-	\$87.80 0.7%
BLACKROCK STRAT INCM OPPTYS A	BASIX	-	828.756	10.16	8,420.16	-	-	260.23 3.1%
DIAMOND HILL FDS LRG CAP FD CLA	DHLAX	-	861.846	17.77	15,315.00	-	-	180.13 1.2%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	2,127.09	6.04	12,847.62	-	-	842.33 6.6%
EATON VANCE FDS GLBL MACRO ABSOLUTE RET A	EAGMX	-	1,238.018	9.92	12,281.14	-	-	443.21 3.6%
EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I	EIFHX	-	2,264.728	9.02	20,427.85	-	-	978.36 4.8%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	684.647	27.60	18,344.26	18,296.25	48.01	310.39 1.7%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	1,377.306	9.93	13,676.65	-	-	641.82 4.7%
HARBOR FD CAPITAL APPRECIATION INV	HCAIX	9/7/12	351.055	43.37	15,225.26	15,010.86	214.40	10.88 0.1%
HARTFORD EQ INC CL A	HQIAX	9/7/12	824.269	15.46	12,743.20	12,299.00	444.20	250.58 2.0%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



Institutional

Account **MARK ALLEGRO ROLLOVER IRA**
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2013

Name	Mark C. Allegro	Page 12 of 550
Account #	MARK ALLEGRO ROLLOVER IRA	
TD AMERITRADE CLEARING, CUSTODIAN		
ROLLOVER IRA		

HOLDINGS DETAIL (continued)

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
LAZARD FDS	LZOEX	-	652.092	20.18	13,159.22	-	-	194.98 1.5%
EMERG MKT OPEN								
LOOMIS SAYLES FDS I	LSBRX	-	290.872	15.24	4,432.89	-	-	241.42 5.4%
BOND FUND RETAIL CL								
METROPOLITAN WEST	MWTRX	-	2,587.05	10.89	28,172.97	-	-	1,068.45 3.8%
TOTAL RETURN BD FD								
PIMCO FDS	PAUDX	-	1,218.028	11.06	13,471.39	-	-	657.74 4.9%
ALL ASSET ALL AUTHORITY D								
SELECTED AMERN SHS COM	SLASX	-	169.118	44.27	7,486.85	-	-	101.64 1.4%
TROWE PRICE NEW AM GROWTH ADV	PAWAX	-	416.911	37.05	15,446.55	-	-	45.86 0.3%
NEW AMER GROWTH F								
TFS CAPITAL FDS	TFSMX	-	895.124	16.01	14,330.94	-	-	-
MARKET NEUTRAL FD								
THORNBURG LTD TERM INCOME FD CLA	THIFX	-	2,142.664	13.70	29,354.50	-	-	854.92 2.9%
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	272.418	28.72	7,823.84	-	-	74.10 0.9%
TURNER FDS								
SPECTRUM FUND INVESTOR	TSPCX	-	1,159.189	11.06	12,820.63	-	-	-
TOTAL MUTUAL FUNDS								
	\$287,545.63			\$45,606.11		\$706.61		\$7,244.83

TOTAL HOLDINGS

\$293,107.82	\$45,606.11	\$706.61	\$7,244.83
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TOTAL ACCOUNT VALUE

\$293,107.82

Questions? Consult your independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2013

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
01/02	01/02	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 52.20	GAMDX	-	\$ -	\$52.20
01/02	01/02	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 65.39	EVIBX	-	-	65.39
01/02	01/02	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 6.00 PER SHARE	EVIBX	10.898	6.00	(65.39)
01/02	01/02	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 37.45	EAGMX	-	-	37.45
01/02	01/02	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.84 PER SHARE	EAGMX	3.806	9.84	(37.45)
01/02	01/02	Dividends and Interest	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 77.52	EIFHX	-	-	77.52
01/02	01/02	Buy	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I REINVESTED AT 8.97 PER SHARE	EIFHX	8.642	8.97	(77.52)
01/02	01/02	Dividends and Interest	BLACKROCK STRAT INCM OPTY'S A PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 18.41	BASIX	-	-	18.41
01/02	01/02	Buy	BLACKROCK STRAT INCM OPTY'S A REINVESTED AT 10.10 PER SHARE	BASIX	1.823	10.10	(18.41)

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



Ameritrade
Institutional

Account #
MARK ALLIEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: January 1 - 31, 2013

Name **Mark C. Allegro**
 Account # **10000000000000000000**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
01/02	01/02	Dividends and Interest	PIMCO FDS ALL ASSET ALL AUTHORITY D PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 78.24	PAUDX	-	-	78.24
01/02	01/02	Buy	PIMCO FDS ALL ASSET ALL AUTHORITY D REINVESTED AT 11.04 PER SHARE	PAUDX	7.087	11.04	(78.24)
01/02	01/02	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 52.20	GAMDX	-	-	52.20
01/02	01/02	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 9.86 PER SHARE	GAMDX	5.294	9.86	(52.20)
01/02	01/02	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 78.63	MWTRX	-	-	78.63
01/02	01/02	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.90 PER SHARE	MWTRX	7.214	10.90	(78.63)
01/03	01/03	Dividends and Interest	THORNBURG LTD TERM INCOME FD CL A PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 66.10	THIFX	-	-	66.10
01/03	01/03	Buy	THORNBURG LTD TERM INCOME FD CL A REINVESTED AT 13.73 PER SHARE	THIFX	4.814	13.73	(66.10)
01/08	01/08	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 12/31/2012 ORDINARY DIVIDENDS -52.20	GAMDX	-	-	(52.20)

Questions? Consult your independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
 Institutional

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 Page 6 of 9

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2013

**TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA**

TRANSACTIONS DETAIL (*continued*)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/CUSIP	Quantity	Price	Transaction Amount
01/11	01/11	Other Income or Expense	QUARTERLY MGMT FEE GRIMES & COMPANY INC 9179865511	-	-	-	(901.00)
01/29	01/29	Dividends and Interest	LOOMIS SAYLES FDS I BOND FUND RETAIL CI	LSBRX	-	-	14.67

TD AMERITRADE CASH INTEREST CREDIT/EXPENSE

Questions? Consult your Independent Advisor
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name	Mark C. Allegro	Page	116	of 550
Account		MARK ALLIEGRO ROLLOVER IRA		
		TD AMERITRADE CLEARING, CUSTODIAN		
		ROLLOVER IRA		

Reporting Period: January 1 - 31, 2013

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
01/01	\$6,463.14	2	0.0100	\$-	\$-	\$ -
01/03	6,515.34	5	0.0100	0.01	0.01	-
01/08	6,463.14	3	0.0100	0.01	0.02	-
01/11	5,562.14	21	0.0100	0.03	0.05	0.05
TOTAL INTEREST INCOME				\$0.05		

Questions? Consult your **Independent Advisor**:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name _____

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**MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN**

INSURED DEPOSIT ACCOUNT ACTIVITY

Reporting Period: January 1 - 31, 2013

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					
01/03	01/03	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	\$52.20	6,515.34
01/09	01/09	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(52.20)	6,463.14
01/14	01/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(901.00)	5,562.14
01/31	01/31	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.05	5,562.19

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

*** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ***

**Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883**



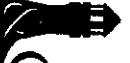
SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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ACCOUNT NUMBER:
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

0. GRIMES COMPANY

 A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT

Reporting Period: February 1 - 28, 2013

ACCOUNT SUMMARY**Total Account Value:** **\$293,529.61****CHANGE IN ACCOUNT VALUE**

	This Month 2/1/13 - 2/28/13	Year to Date 1/1/13 - 2/28/13	
BEGINNING VALUE	\$293,107.82	\$288,021.89	Market Appreciation/ Depreciation
Dividends and Interest	404.26	892.92	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Market Appreciation/(Depreciation)	17.53	5,515.80	
Other Income or Expense	-	(901.00)	
ENDING VALUE	\$293,529.61	\$293,529.61	
CHANGE IN VALUE	\$421.79	\$5,507.72	

TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 2/28/13	Percent of Account
Cash and Cash Alternatives	\$5,562.23	1.89%
Mutual Funds	287,967.38	98.11
TOTAL VALUE	\$293,529.61	100.0%

Other Income or Expense
 Miscellaneous expenses
 including management fees, as
 well as TD Ameritrade fees (such
 as for wire transfer or returned
 check(s)) and/or miscellaneous
 income credited to the account
 such as a margin interest
 adjustment, royalties, etc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **119** of **550**

Account Type
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENTReporting Period: **February 1 - 28, 2013****ACCOUNT SUMMARY (continued)****RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	<u>Year to Date 1/1/13 - 2/28/13</u>	
	<u>This Month</u>	<u>Reported for 2013</u>
CONTRIBUTIONS	\$ -	\$ -
Direct Transfer		\$278,247.91

Contributions to your IRA
The amount of money you have deposited into your IRA.

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: February 1 - 28, 2013

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$5,562.23
TOTAL CASH & CASH ALTERNATIVES			\$5,562.23

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	1,170.618	\$9.93	\$11,624.24	\$-	\$-	\$87.80 0.8%
BLACKROCK STRAT INCM OPPTYS A	BASIX	-	830.554	10.16	8,438.43	-	-	257.47 3.1%
DIAMOND HILL FDS LRG CAP FD CLA	DHLAX	-	861.846	17.88	15,409.81	-	-	180.13 1.2%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	2,138.012	6.04	12,913.59	-	-	835.96 6.5%
EATON VANCE FDS GLBL MACRO ABSOLUTE RET A	EAGMX	-	1,241.804	9.89	12,281.44	-	-	444.57 3.6%
GATEWAY TR FLOATING RATE HIGH INC CL I	EIFHX	-	2,273.388	9.01	20,483.23	-	-	982.10 4.8%
FUND CLASS A	GATEX	10/2/12	664.647	27.83	18,497.13	18,296.25	200.88	310.39 1.7%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	1,383.195	9.82	13,582.97	-	-	647.34 4.8%
HARBOR FD CAPITAL APPRECIATION INV	HCAIX	9/7/12	351.055	43.48	15,263.87	15,010.86	253.01	10.88 0.1%
HARTFORD EQ INC CL A	HQIAZ	9/7/12	824.269	15.72	12,957.51	12,299.00	658.51	250.58 1.9%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

Page 3 of 7

SCHEDULE OF ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **| 2 |** of 550Account **MARK ALLEGRO ROLLOVER IRA**
TD AMERITRADE CLEARING, CUSTODIAN**MONTHLY STATEMENT**

Reporting Period: February 1 - 28, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
LAZARD FDS	LZOEX	-	652.092	20.25	13,204.86	-	-	194.98 1.5%
EMERG MKT OPEN								
LOOMIS SAYLES FDS I	LSBRX	-	291.948	15.11	4,411.33	-	-	241.44 5.5%
BOND FUND RETAIL CL								
METROPOLITAN WEST	MWTRX	-	2,593.007	10.92	28,315.64	-	-	1,039.80 3.7%
TOTAL RETURN BD FD								
PIMCO FDS	PAUDX	-	1,218.028	11.02	13,422.67	-	-	657.74 4.9%
ALL ASSET ALL AUTHORITY D								
SELECTED AMERN SHS	SLASX	-	169.118	44.66	7,552.81	-	-	101.64 1.3%
COM								
TROWE PRICE NEW AM GROWTH F	PAWAX	-	416.911	37.05	15,446.55	-	-	45.86 0.3%
NEW AMER GROWTH ADV								
TFS CAPITAL FDS	TFSMX	-	895.124	15.97	14,295.13	-	-	-
MARKET NEUTRAL FD								
THORNBURG	THIFX	-	2,147.389	13.74	29,505.12	-	-	843.92 2.9%
LTD TERM INCOME FD CLA								
THORNBURG	TGVAX	-	272.418	28.02	7,633.15	-	-	74.10 1.0%
INTERNATIONAL VALUE A								
TURNER FDS	TSPCX	-	1,159.189	10.96	12,727.90	-	-	-
SPECTRUM FUND INVESTOR								
TOTAL MUTUAL FUNDS					\$287,967.38	\$45,606.11	\$1,112.40	\$7,206.68
TOTAL HOLDINGS					\$293,529.61	\$45,606.11	\$1,112.40	\$7,206.68
TOTAL ACCOUNT VALUE					\$293,529.61			

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883
 **Ameritrade**
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page | 22 of 550

Account # **700000000000000000**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: February 1 - 28, 2013

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
02/01	02/01	Dividends and Interest	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I PAYABLE: 01/31/2013 ORDINARY DIVIDENDS 78.11	EIVHX	-	\$ -	\$78.11
02/01	02/01	Buy	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I REINVESTED AT 9.02 PER SHARE	EIVHX	8.66	9.02	(78.11)
02/01	02/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 01/31/2013 ORDINARY DIVIDENDS 65.97	EVIBX	-	-	65.97
02/01	02/01	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 6.04 PER SHARE	EVIBX	10.922	6.04	(65.97)
02/01	02/01	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 01/31/2013 ORDINARY DIVIDENDS 37.56	EAGMX	-	-	37.56
02/01	02/01	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.92 PER SHARE	EAGMX	3.786	9.92	(37.56)
02/01	02/01	Dividends and Interest	BLACKROCK STRAT INCM OPPTYS A PAYABLE: 01/31/2013 ORDINARY DIVIDENDS 18.27	BASIX	-	-	18.27
02/01	02/01	Buy	BLACKROCK STRAT INCM OPPTYS A REINVESTED AT 10.16 PER SHARE	BASIX	1.798	10.16	(18.27)
02/01	02/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 01/31/2013 ORDINARY DIVIDENDS 58.48	GAMDX	-	-	58.48

Questions? Consult your Independent Advisor:

GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
 Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #~~REDACTED~~
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: February 1 - 28, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
02/01	02/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 9.93 PER SHARE	GAMDX	5.889	9.93	(58.48)
02/01	02/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 01/31/2013 ORDINARY DIVIDENDS 64.87	MWTRX	-	-	64.87
02/01	02/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.89 PER SHARE	MWTRX	5.957	10.89	(64.87)
02/04	02/04	Dividends and Interest	THORNBURG LTD TERM INCOME FD CL A PAYABLE: 01/31/2013 ORDINARY DIVIDENDS 64.73	THIFX	-	-	64.73
02/04	02/04	Buy	THORNBURG LTD TERM INCOME FD CL A REINVESTED AT 13.70 PER SHARE	THIFX	4.725	13.70	(64.73)
02/26	02/26	Dividends and Interest	LOOMIS SAYLES FDS I BOND FUND RETAIL CL PAYABLE: 02/26/2013 ORDINARY DIVIDENDS 16.23	LSBRX	-	-	16.23
02/26	02/26	Buy	LOOMIS SAYLES FDS I BOND FUND RETAIL CL REINVESTED AT 15.08 PER SHARE	LSBRX	1.076	15.08	(16.23)
02/28	02/28	Dividends and Interest	FDC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .04 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 02/28/2013 INSURED DEPOSIT ACCOUNT INTEREST .04	MMDA12	0.04	-	0.04

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # [REDACTED]
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: February 1 - 28, 2013

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
02/01	\$5,562.19	28	0.0100	\$0.04	\$0.04	\$ 0.04
TOTAL INTEREST INCOME						\$ 0.04

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					\$5,562.19
02/28	02/28	Received	INTEREST: INSURED DEPOSIT ACCOUNT	\$0.04	5,562.23
Closing Balance				\$5,562.23	\$5,562.23
TD Bank NA					

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

Questions? Consult your Independent Advisor:
 GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
 Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**Page **125** of 550

O. GRIMES COMPANY
A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT
Reporting Period: March 1 - 31, 2013

ACCOUNT SUMMARY

Total Account Value: **\$296,234.55**

CHANGE IN ACCOUNT VALUE

	This Month 3/1/13 - 3/31/13	Year to Date 1/1/13 - 3/31/13	
BEGINNING VALUE	\$293,529.61	\$288,021.89	Market Appreciation/Depreciation
Dividends and Interest	560.97	1,453.89	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Market Appreciation/(Depreciation)	2,143.97	7,659.77	
Other Income or Expense	-	(901.00)	
ENDING VALUE	\$296,234.55	\$296,234.55	Other Income or Expenses
CHANGE IN VALUE	\$2,704.94	\$8,212.66	Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

YOUR INDEPENDENT ADVISOR
GRIMES & COMPANY INC
110 TURNPIKE RD
STE 100
WESTBOROUGH MA 01581-
(508) 366-3883

Questions about your statement
(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)		
	Market Value as of 3/31/13	Percent of Account
Cash and Cash Alternatives	\$5,562.28	1.88%
Mutual Funds	290,672.27	98.12
TOTAL VALUE	\$296,234.55	100.0%

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **126** of **550**

Account # **XXXXXXXXXX**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENTReporting Period: **March 1 - 31, 2013****ACCOUNT SUMMARY (continued)****RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	Year to Date 1/1/13 - 3/31/13		
	This Month	Reported for 2013	Reported for 2012
CONTRIBUTIONS	\$ -	\$ -	\$278,247.91
Direct Transfer			

Contributions to your IRA
The amount of money you have deposited into your IRA.

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

 Name **Mark C. Allegro** Page **127** of 550

 Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA
MONTHLY STATEMENT

Reporting Period: March 1 - 31, 2013

HOLDINGS DETAIL
CASH AND CASH ALTERNATIVES

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$5,562.28
TOTAL CASH & CASH ALTERNATIVES			\$5,562.28

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR	AQMNX	-	1,170.618	\$10.01	\$11,717.89	\$-	\$-	\$87.80
MANAGED FUTURES N	BASIX	-	832.241	10.14	8,438.92	-	-	0.7%
BLACKROCK STRAT INCM OPPTYS A	DHLAX	-	861.846	18.44	15,892.44	-	-	252.17
DIAMOND HILL FDS LRG CAP FD CLA	EVIBX	-	2,147.926	6.07	13,037.91	-	-	3.0%
EATON VANCE INCOME FUND OF BOSTON CLA	EIFHX	-	1,249.082	9.89	12,353.42	-	-	180.13
GLBL MACRO ABSOLUTE RET A	EAGMX	-	2,281.463	9.06	20,670.05	-	-	1.1%
EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I	GATEX	10/2/12	666.835	28.02	18,684.72	18,357.26	327.46	445.92
GATEWAY TR FUND CLASS A	GAMDX	-	1,388.101	9.71	13,478.46	-	-	3.6%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	HCAIX	9/7/12	351.055	44.82	15,734.29	15,010.86	723.43	974.18
HARBOR FD CAPITAL APPRECIATION INV	HQJAX	9/7/12	824.269	16.16	13,320.19	12,299.00	1,021.19	4.7%
HARTFORD EQ INC CL A								10.88
								0.1%
								250.58
								1.9%

 Questions? Consult your Independent Advisor:
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ID Ameritrade
 Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: March 1 - 31, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
LAZARD FDS EMERG MKT OPEN	LZOEX	-	652.092	19.78	12,898.38	-	-	194.98 1.5%
LOOMIS SAYLES FDS I BOND FUND RETAIL CL	LSBRX	-	293.016	15.23	4,462.63	-	-	241.45 5.4%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	-	2,599.159	10.92	28,382.82	-	-	1,024.07 3.6%
PIMCO FDS ALL ASSET ALL AUTHORITY D	PAUDX	-	1,225.383	10.90	13,356.67	-	-	633.52 4.7%
SELECTED AMERN SHS COM	SLASX	-	169.118	46.05	7,787.88	-	-	101.64 1.3%
TROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV	PAWAX	-	416.911	38.43	16,021.89	-	-	45.86 0.3%
TF'S CAPITAL FDS MARKET NEUTRAL FD	TFSMX	-	895.124	16.10	14,411.50	-	-	-
THORNBURG LTD TERM INCOME FD CL A	THIFX	-	2,151.822	13.75	29,587.55	-	-	830.60 2.8%
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	272.612	28.10	7,660.40	-	-	66.79 0.9%
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	1,159.189	11.02	12,774.26	-	-	-
TOTAL MUTUAL FUNDS				\$290,672.27	\$45,667.12	\$2,072.08	\$7,115.04	
TOTAL HOLDINGS				\$296,234.55	\$45,667.12	\$2,072.08	\$7,115.04	
TOTAL ACCOUNT VALUE				\$296,234.55				

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **129** of **550**Account # **10000000000000000000**MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA**MONTHLY STATEMENT**

Reporting Period: March 1 - 31, 2013

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
03/01	03/01	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 02/28/2013 ORDINARY DIVIDENDS 34.12	EAGMX	-	\$ -	\$34.12
03/01	03/01	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.89 PER SHARE	EAGMX	3.45	9.89	(34.12)
03/01	03/01	Dividends and Interest	EATON VANCE MUT FD STR FLOATING RATE HIGH INC CL I PAYABLE: 02/28/2013 ORDINARY DIVIDENDS 72.76	EIFHX	-	-	72.76
03/01	03/01	Buy	EATON VANCE MUT FD STR FLOATING RATE HIGH INC CL I REINVESTED AT 9.01 PER SHARE	EIFHX	8.075	9.01	(72.76)
03/01	03/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 02/28/2013 ORDINARY DIVIDENDS 59.88	EVIBX	-	-	59.88
03/01	03/01	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 6.04 PER SHARE	EVIBX	9.914	6.04	(59.88)
03/01	03/01	Dividends and Interest	BLACKROCK STRAT INCM OPPY'S A PAYABLE: 02/28/2013 ORDINARY DIVIDENDS 17.14	BASIX	-	-	17.14
03/01	03/01	Buy	BLACKROCK STRAT INCM OPPY'S A REINVESTED AT 10.16 PER SHARE	BASIX	1.687	10.16	(17.14)
03/01	03/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 02/28/2013 ORDINARY DIVIDENDS 48.18	GAMDX	-	-	48.18

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: March 1 - 31, 2013

Name **Mark C. Allegro** Page **130 of 550**
 Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
03/01	03/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 9.82 PER SHARE	GAMDX	4,906	9.82	(48.18)
03/01	03/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 02/28/2013 ORDINARY DIVIDENDS 67.18	MWTRX	-	-	67.18
03/04	03/04	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.92 PER SHARE	MWTRX	6.152	10.92	(67.18)
03/04	03/04	Dividends and Interest	THORNBURG LTD TERM INCOME FD CLA PAYABLE: 02/28/2013 ORDINARY DIVIDENDS 60.91	THIFX	-	-	60.91
03/04	03/04	Buy	THORNBURG LTD TERM INCOME FD CLA REINVESTED AT 13.74 PER SHARE	THIFX	4.433	13.74	(60.91)
03/22	03/22	Dividends and Interest	PIMCO FDS ALL ASSET ALL AUTHORITY D PAYABLE: 03/21/2013 ORDINARY DIVIDENDS 80.17	PAUDX	-	-	80.17
03/22	03/22	Buy	PIMCO FDS ALL ASSET ALL AUTHORITY D PAYABLE: 03/22/2013 REINVESTED AT 10.90 PER SHARE	PAUDX	7.355	10.90	(80.17)
03/25	03/25	Dividends and Interest	GATEWAY TR FUND CLASS A PAYABLE: 03/22/2013 ORDINARY DIVIDENDS 61.01	GATEX	-	-	61.01
03/25	03/25	Buy	GATEWAY TR FUND CLASS A PAYABLE: 03/22/2013 REINVESTED AT 27.89 PER SHARE	GATEX	2.188	27.89	(61.01)

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: March 1 - 31, 2013

Name **Mark C. Allegro** Page **131** of 550
 Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
03/26	03/26	Dividends and Interest	THORNBURG INTERNATIONAL VALUE A PAYABLE: 03/22/2013 ORDINARY DIVIDENDS 5.45	TGVAX	-	-	5.45
03/26	03/26	Buy	THORNBURG INTERNATIONAL VALUE A REINVESTED AT 28.06 PER SHARE	TGVAX	0.194	28.06	(5.45)
03/27	03/27	Dividends and Interest	LOOMIS SAYLES FDS I BOND FUND RETAIL CL PAYABLE: 03/27/2013 ORDINARY DIVIDENDS 16.26	LSBRX	-	-	16.26
03/27	03/27	Buy	LOOMIS SAYLES FDS I BOND FUND RETAIL CL REINVESTED AT 15.23 PER SHARE	LSBRX	1.068	15.23	(16.26)
03/28	03/28	Dividends and Interest	EATON VANCE FDS GBL MACRO ABSOLUTE RET A PAYABLE: 03/27/2013 ORDINARY DIVIDENDS 37.86	EAGMX	-	-	37.86
03/28	03/28	Buy	EATON VANCE FDS GBL MACRO ABSOLUTE RET A REINVESTED AT 9.89 PER SHARE	EAGMX	3.828	9.89	(37.86)
03/28	03/28	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .05 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 03/31/2013 INSURED DEPOSIT ACCOUNT INTEREST .005	MMDA12	0.05	-	0.05

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **132 of 550**

Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: March 1 - 31, 2013

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
03/01	\$5,562.23	31	0.0100	\$0.05	\$0.05	\$ 0.05
TOTAL INTEREST INCOME						\$0.05

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					\$5,562.23
03/28	03/28	Received	INTEREST: INSURED DEPOSIT ACCOUNT	\$0.05	5,562.28
Closing Balance					\$5,562.28

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

IMPORTANT INFORMATION

Required Annual FINRA Information: FINRA maintains a toll-free public disclosure hotline where investors may call to request disclosable background information on any licensed broker or broker-dealer. To call the hotline, dial 1-800-289-9999. FINRA also maintains an Internet web site where investors may obtain useful information concerning FINRA policies, procedures and services. The web site can be accessed at www.finra.org. Additionally, FINRA has a brochure which describes the investor education and protection program. You may request this brochure either through the FINRA web site or by calling the toll-free public disclosure hotline.

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **i 33** of 550

Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

Reporting Period: **March 1 - 31, 2013****IMPORTANT INFORMATION**

Certain purchases of Class A Mutual Funds may be eligible for a breakpoint discount on the sales charge. To learn more about breakpoint discounts, go to http://www.finra.org/web/groups/rules_regs/documents/rules_regs/p010543.pdf

If you are currently receiving a periodic distribution from your IRA and you would like to change or revoke your current federal tax withholding elections on file, please contact your Advisor or call TD Ameritrade Institutional at 800-431-3500, option 2. **Your current withholding will continue automatically if you do not specifically request a change.**

Please note that even if you choose not to have federal income tax withheld from your distribution, you still may owe federal taxes on the taxable portion of your withdrawal. You may also be subject to tax penalties in the event that your withholding is not adequate. We recommend you discuss your election with your accountant or tax advisor before making any changes.

TD Ameritrade Institutional does not provide tax advice. Please consult a tax-planning professional with regard to your personal circumstances.

**** **THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ******

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **134** of 550

Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA



A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

ACCOUNT SUMMARY**Total Account Value:** **\$299,086.30****CHANGE IN ACCOUNT VALUE**

	BEGINNING VALUE	This Month	Year to Date
	\$296,234.55	\$288,021.89	4/1/13 - 4/30/13
Dividends and Interest		436.55	1,890.44
Market Appreciation/(Depreciation)		3,341.20	11,000.97
Other Income or Expense		(926.00)	(1,827.00)
ENDING VALUE	\$299,086.30	\$299,086.30	
CHANGE IN VALUE	\$2,851.75	\$11,064.41	

YOUR INDEPENDENT ADVISOR
GRIMES & COMPANY INC
110 TURNPIKE RD
STE 100
WESTBOROUGH MA 01581-
(508) 366-3883

Questions about your statement
(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 4/30/13	Percent of Account
Cash and Cash Alternatives	\$4,636.32	1.55%
Mutual Funds	294,449.98	98.45
TOTAL VALUE	\$299,086.30	100.0%

Other Income or Expense
Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **135** of 550**MONTHLY STATEMENT**

Reporting Period: April 1 - 30, 2013

ACCOUNT SUMMARY (continued)**RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	Year to Date 1/1/13 - 4/30/13		
	This Month	Reported for 2013	Reported for 2012
CONTRIBUTIONS Direct Transfer	\$ -	\$ -	\$278,247.91

Contributions to your IRA
The amount of money you have deposited into your IRA.

ACCOUNT NUMBER: **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

Account: **[REDACTED]**
MARK ALLIEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$4,636.32
TOTAL CASH & CASH ALTERNATIVES			\$4,636.32

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	1,170.618	\$10.43	\$12,209.55	\$-	\$-	\$87.80 0.7%
BLACKROCK STRAT INCM OPPTYS A	BASIX	-	834.098	10.24	8,541.16	-	-	249.40 2.9%
DIAMOND HILL FDS LRG CAP FD CLA	DHLAX	-	861.846	18.81	16,211.32	-	-	180.13 1.1%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	2,158.898	6.15	13,277.22	-	-	822.54 6.2%
EATON VANCE FDS GLBL MACRO ABSOLUTE RET A	EAGMX	-	1,252.806	9.85	12,340.14	-	-	447.25 3.6%
EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I	EIFHX	-	2,289.657	9.09	20,812.98	-	-	966.24 4.6%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	666.835	28.22	18,818.08	18,357.26	460.82	304.08 1.6%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	1,393	10.03	13,971.79	-	-	631.03 4.5%
HARBOR FD CAPITAL APPRECIATION INV	HCAIX	9/7/12	351.055	45.87	16,102.89	15,010.86	1,092.03	10.88 0.1%
HARTFORD EQ INC CL A	HQIAZ	9/7/12	827.472	16.56	13,702.94	12,350.53	1,352.41	251.55 1.8%

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TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Alliegro** Page **i30** of 550**MONTHLY STATEMENT**

Reporting Period: April 1 - 30, 2013

Acco [REDACTED]
MARK ALLIEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
LAZARD FDS EMERG MKT OPEN	LZOEX	-	652.092	20.00	13,041.84	-	-	194.98 1.5%
LOOMIS SAYLES FDS I BOND FUND RETAIL CL	LSBRX	-	294.052	15.57	4,578.39	-	-	241.12 5.3%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	-	2,605.335	11.02	28,710.79	-	-	992.63 3.5%
PIMCO FDS ALL ASSET ALL AUTHORITY D	PAUDX	-	1,225.383	11.11	13,614.01	-	-	633.52 4.7%
SELECTED AMERN SHS COM	SLASX	-	169.118	46.94	7,938.40	-	-	101.64 1.3%
TROWE PRICE NEW AM GROWTH HF NEW AMER GROWTH ADV	PAWAX	-	416.911	38.72	16,142.79	-	-	45.86 0.3%
TFS CAPITAL FDS MARKET NEUTRAL FD	TFSMX	-	895.124	15.75	14,098.20	-	-	-
THORNBURG LTD TERM INCOME FD CL A	THIFX	-	2,156.01	13.80	29,752.94	-	-	814.97 2.7%
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	272.612	28.99	7,903.02	-	-	66.79 0.8%
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	1,159.189	10.94	12,681.53	-	-	-
TOTAL MUTUAL FUNDS				\$294,449.98	\$45,718.65	\$2,905.26	\$7,042.40	

TOTAL HOLDINGS

TOTAL ACCOUNT VALUE

\$299,086.30	\$45,718.65	\$2,905.26	\$7,042.40
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Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: April 1 - 30, 2013

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
04/01	04/01	Dividends and Interest	BLACKROCK STRAT INCM OPPRTYS A PAYABLE: 03/28/2013 ORDINARY DIVIDENDS 18.83	BASIX	-	\$ -	\$18.83
04/01	04/01	Buy	BLACKROCK STRAT INCM OPPRTYS A PAYABLE: 03/28/2013 RENVESTED AT 10.14 PER SHARE	BASIX	1.857	10.14	(18.83)
04/01	04/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 03/28/2013 ORDINARY DIVIDENDS 47.57	GAMDX	-	-	47.57
04/01	04/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 9.71 PER SHARE PAYABLE: 03/28/2013 ORDINARY DIVIDENDS 67.44	GAMDX	4.899	9.71	(47.57)
04/01	04/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 03/28/2013 ORDINARY DIVIDENDS 67.44	MWTRX	-	-	67.44
04/01	04/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.92 PER SHARE PAYABLE: 03/27/2013 ORDINARY DIVIDENDS 51.53	MWTRX	6.176	10.92	(67.44)
04/01	04/01	Dividends and Interest	HARTFORD EQ INC CL A PAYABLE: 03/27/2013 ORDINARY DIVIDENDS 51.53	HQIAK	-	-	51.53
04/01	04/01	Buy	HARTFORD EQ INC CL A REINVESTED AT 16.09 PER SHARE PAYABLE: 03/28/2013 ORDINARY DIVIDENDS 66.60	HQIAK	3.203	16.09	(51.53)
04/01	04/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 03/28/2013 ORDINARY DIVIDENDS 66.60	EVIBX	-	-	66.60

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account [REDACTED]
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
04/01	04/01	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 6.07 PER SHARE	EVIBX	10.972	6.07	(66.60)
04/01	04/01	Dividends and Interest	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I PAYABLE: 03/28/2013 ORDINARY DIVIDENDS 74.24	EIFHX	-	-	74.24
04/01	04/01	Buy	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I REINVESTED AT 9.06 PER SHARE	EIFHX	8.194	9.06	(74.24)
04/02	04/02	Dividends and Interest	THORNBURG LTD TERM INCOME FD CL A PAYABLE: 03/28/2013 ORDINARY DIVIDENDS 57.58	THIFX	-	-	57.58
04/02	04/02	Buy	THORNBURG LTD TERM INCOME FD CL A REINVESTED AT 13.75 PER SHARE	THIFX	4.188	13.75	(57.58)
04/16	04/16	Other Income or Expense	QUARTERLY MGMT FEE GRIMES & COMPANY INC 9179865511	-	-	-	(926.00)
04/26	04/26	Dividends and Interest	LOOMIS SAYLES FDS I BOND FUND RETAIL CL PAYABLE: 04/26/2013 ORDINARY DIVIDENDS 16.00	LSBRX	-	-	16.00
04/26	04/26	Buy	LOOMIS SAYLES FDS I BOND FUND RETAIL CL REINVESTED AT 15.45 PER SHARE	LSBRX	1.036	15.45	(16.00)
04/30	04/30	Dividends and Interest	EATON VANCE FDS GLOB MACRO ABSOLUTE RET A PAYABLE: 04/29/2013 ORDINARY DIVIDENDS 36.72	EAGMX	-	-	36.72

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
04/30	04/30	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.86 PER SHARE	EAGMX	3.724	9.86	(36.72)
04/30	04/30	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .04 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 04/30/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.04	MMDA12	0.04	-	0.04

TRADES PENDING SETTLEMENT

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
04/30	05/01	Sell	THORNBURG LTD TERM INCOME FD CL A	THIFX	(963.768)	\$13.80	\$13,300.00
04/30	05/03	Buy	VANGUARD SHORT TERM BOND FUND	BSV	164	81.1214	(13,311.91)

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # [REDACTED]
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
04/01	\$5,562.28	15	0.0100	\$0.02	\$0.02	\$ -
04/16	4,636.28	15	0.0100	0.02	0.04	0.04
TOTAL INTEREST INCOME						\$0.04

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
			Opening Balance		
04/17	04/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	\$926.00)	\$5,562.28
04/30	04/30	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.04	4,636.32
			Closing Balance	\$4,636.32	
			TD Bank NA		
			<i>FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.</i>		

***** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT *****

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME



MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013

ACCOUNT SUMMARY

Total Account Value: **\$306,052.57**

Name

Mark C. Allegro

Account

MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

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YOUR INDEPENDENT ADVISOR

GRIMES & COMPANY INC
110 TURNPIKE RD
STE 100
WESTBOROUGH MA 01581-
(508) 366-3883

Questions about your statement

TD Ameritrade Clearing, Inc., Member SIPC
(800) 431-3500

CHANGE IN ACCOUNT VALUE		This Month	Year to Date
BEGINNING VALUE		\$289,086.30	\$288,021.89
Deposits to Account		8,197.53	8,197.53
Dividends and Interest		428.74	2,319.18
Market Appreciation/(Depreciation)		(1,660.00)	9,340.97
Other Income or Expense		-	(1,827.00)
ENDING VALUE		\$306,052.57	\$306,052.57
CHANGE IN VALUE			\$18,030.68

*Market Appreciation/
Depreciation
The change in value of
investments due to the market
assessment of their worth, which
is separate from value added by
corporate actions (such as the
issuance of dividend or interest
payments) and your own
additions or withdrawals.*

*Other Income or Expense
Miscellaneous expenses
including management fees, as
well as TD Ameritrade fees (such
as for wire transfer or returned
checks) and/or miscellaneous
income credited to the account
such as a margin interest
adjustment, royalties, etc.*

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 5/31/13	Percent of Account
Cash and Cash Alternatives	\$12,565.32	4.11%
Mutual Funds	293,487.25	95.89
TOTAL VALUE	\$306,052.57	100.0%

TD Ameritrade

Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **143 of 550**Account # **XXXXXXXXXX**MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA**MONTHLY STATEMENT**

Reporting Period: May 1 - 31, 2013

ACCOUNT SUMMARY (continued)**RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

CONTRIBUTIONS	This Month	Year to Date 1/1/13 - 5/31/13	Reported for 2013	Reported for 2012
	\$ -	\$ -		
Direct Transfer			\$278,247.91	
Rollover	8,197.53	8,197.53	-	

Contributions to your IRA
The amount of money you have deposited into your IRA.

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **144** of 550

Account **XXXXXXXXXX**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$12,565.32
TOTAL CASH & CASH ALTERNATIVES			\$12,565.32

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	1,170.618	\$10.21	\$11,952.01	\$-	\$-	\$87.80 0.7%
BLACKROCK STRAT INCM OPRTYS A	BASIX	-	836.452	10.21	8,540.17	-	-	255.12 3.0%
DIAMOND HILL FDS LRG CAP FD CLA	DHLAX	-	861.846	19.70	16,978.37	-	-	180.13 1.1%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	2,169.435	6.08	13,190.16	-	-	817.88 6.2%
EATON VANCE FDS	EAGMX	-	1,256.685	9.82	12,340.65	-	-	448.64 3.6%
GLBL MACRO ABSOLUTE RET A								
EATON VANCE MUT FD STR FLOATING RATE HIGH INC CL I	EIFHX	-	2,297.569	9.06	20,815.98	-	-	964.98 4.6%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	666.835	28.21	18,811.42	18,357.26	454.16	304.08 1.6%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	1,397.99	9.31	13,015.29	-	-	626.30 4.8%
HARBOR FD CAPITAL APPRECIATION INV	HCAIX	9/7/12	351.055	46.68	16,387.25	15,010.86	1,376.39	10.88 0.1%
HARTFORD EQ INC CL A	HQIAZ	9/7/12	827.472	16.87	13,959.45	12,350.53	1,608.92	251.55 1.8%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro** Page **145** of 550

ACCOUNT # **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT
 Reporting Period: **May 1 - 31, 2013**

HOLDINGS DETAIL *(continued)*

MUTUAL FUNDS							
Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)
LAZARD FDS	LZOEX	-	652.092	19.44	12,676.67	-	194.98 1.5%
EMERG MKT OPEN							
LOOMIS SAYLES FDS I	LSBRX	-	295.258	15.33	4,526.31	-	243.29 5.4%
BOND FUND RETAIL CL							
METROPOLITAN WEST	MWTRX	-	2,613.587	10.85	28,357.42	-	990.55 3.5%
TOTAL RETURN BD FD							
PIMCO FDS	PAUDX	-	1,225.383	10.71	13,123.85	-	633.52 4.8%
ALL ASSET ALL AUTHORITY D							
SELECTED AMERN SHS	SLASK	-	169.118	48.25	8,159.94	-	101.64 1.2%
COM							
STONE RIDGE	VRPIX	5/23/13	1,350	9.96	13,446.00	13,524.00	(78.00) - 45.86 0.3%
US MASTER VAR RISK PERM CL I	PAWAX	-	416.911	39.49	16,463.82	-	
TROWE PRICE NEW AM GROWTH F							
NEW AMER GROWTH ADV							
TFS CAPITAL FDS	TFSMX	-	895.124	15.41	13,793.86	-	
MARKET NEUTRAL FD							
THORNBURG	THIFX	10/6/09	1,196.357	13.66	16,342.24	13,953.25	2,388.99 442.65 2.7%
LTD TERM INCOME FD CL A							
THORNBURG	TGVAX	-	272.612	28.90	7,878.49	-	66.79 0.8%
INTERNATIONAL VALUE A							

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro** Page **146** of 550

Account # **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013

HOLDINGS DETAIL (continued)

MUTUAL FUNDS						
Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis
TURNER FDS	TSPCX	-	1,159.189	10.98	12,727.90	-
SPECTRUM FUND INVESTOR						-
TOTAL MUTUAL FUNDS						-

TOTAL HOLDINGS	\$306,052.57	\$73,195.90	\$5,750.46	\$6,666.63
TOTAL ACCOUNT VALUE	\$306,052.57			

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
04/30	05/01	Sell	THORNBURG LTD TERM INCOME FD CL A SHORT TERM RDM FEE 0.00	THIFX	(963.768)	\$13.80	\$13,300.00
05/01	05/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 04/30/2013 ORDINARY DIVIDENDS 64.80	EVIBX	-	-	64.80
05/01	05/01	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 6.15 PER SHARE	EVIBX	10.537	6.15	(64.80)

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
 Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page 147 of 550

Account #

**MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN****MONTHLY STATEMENT**

Reporting Period: May 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
05/01	05/01	Dividends and Interest	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I PAYABLE: 04/30/2013	EIFHX	-	-	71.92
05/01	05/01	Buy	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I ORDINARY DIVIDENDS 71.92 REINVESTED AT 9.09 PER SHARE	EIFHX	7.912	9.09	(71.92)
05/01	05/01	Dividends and Interest	BLACKROCK STRAT INCM OPPTYS A PAYABLE: 04/30/2013	BASIX	-	-	24.11
05/01	05/01	Buy	BLACKROCK STRAT INCM OPPTYS A LOCAL EMERGING MKTS DEBT A REINVESTED AT 10.24 PER SHARE	BASIX	2.354	10.24	(24.11)
05/01	05/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 04/30/2013	GAMDX	-	-	50.05
05/01	05/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 10.03 PER SHARE	GAMDX	4.99	10.03	(50.05)
05/01	05/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 04/30/2013	MWTRX	-	-	90.94
05/01	05/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 11.02 PER SHARE	MWTRX	8.252	11.02	(90.94)
05/02	05/02	Dividends and Interest	THORNBURG LTD TERM INCOME FD CL A PAYABLE: 04/30/2013	THIFX	-	-	56.79
			ORDINARY DIVIDENDS 56.79				

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # **10000000000000000000**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
05/02	05/02	Buy	THORNBURG LTD TERM INCOME FD CL A REINVESTED AT 13.80 PER SHARE	THIFX	4,115	13.80	(56.79)
04/30	05/03	Buy	VANGUARD SHORT TERM BOND FUND PAYABLE: 05/07/2013 ORDINARY DIVIDENDS 13.35	BSV	164	81.1214	(13,311.91)
05/07	05/07	Dividends and Interest	VANGUARD SHORT TERM BOND FUND PAYABLE: 05/07/2013 ORDINARY DIVIDENDS 13.35	BSV	-	-	13.35
05/17	05/17	Deposits to Account	CASH RECEIVED DIRECT ROLLOVER RDC 5101615041	-	-	-	7,510.57
05/21	05/21	Deposits to Account	CASH RECEIVED DIRECT ROLLOVER RDC 5101616302	-	-	-	686.96
05/20	05/23	Sell	VANGUARD SHORT TERM BOND FUND STONE RIDGE US MASTER VAR RISK PERM CL I	VRPIX	(164)	80.8674	13,253.95
05/23	05/24	Buy	LOOMIS SAYLES FDS I BOND FUND RETAIL CL PAYABLE: 05/29/2013 ORDINARY DIVIDENDS 18.61	LSBRX	-	-	18.61
05/29	05/29	Dividends and Interest	LOOMIS SAYLES FDS I BOND FUND RETAIL CL PAYABLE: 05/29/2013 ORDINARY DIVIDENDS 18.61	LSBRX	-	-	18.61
05/29	05/29	Buy	LOOMIS SAYLES FDS I BOND FUND RETAIL CL REINVESTED AT 15.43 PER SHARE	LSBRX	1,206	15.43	(18.61)
05/31	05/31	Dividends and Interest	EATON VANCE FDS GBL MACRO ABSOLUTE RET A PAYABLE: 05/31/2013 ORDINARY DIVIDENDS 38.09	EAGMX	-	-	38.09

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
05/31	05/31	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.82 PER SHARE	EAGMX	3.879	9.82	(38.09)

FDIC INSURED DEPOSIT ACCOUNT
 IDA12 NOT COVERED BY SIPC
 ON .08 SHARES
 INTEREST: INSURED
 DEPOSIT ACCOUNT
 PAYABLE: 05/31/2013
 INSURED DEPOSIT ACCOUNT INTEREST: 0.08

TD AMERITRADE CASH INTEREST CREDIT/EXPENSE

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
05/17	\$-	\$7,510.57	3	0.0100	-	\$ -
05/21	-	686.96	1	0.0100	-	-
TOTAL INTEREST INCOME/(EXPENSE)					-	-

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **150** of 550

Account # **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENTReporting Period: **May 1 - 31, 2013****INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE**

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
05/01	\$17,936.32	2	0.0100	\$0.01	\$0.01	\$ -
05/03	4,634.41	4	0.0100	-	0.01	-
05/07	4,637.76	13	0.0100	0.02	0.03	-
05/20	12,148.33	2	0.0100	0.01	0.04	-
05/22	12,835.29	1	0.0100	-	0.04	-
05/23	26,089.24	1	0.0100	0.01	0.05	-
05/24	12,565.24	8	0.0100	0.03	0.08	0.08
TOTAL INTEREST INCOME				\$0.08		

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

Page | 51 of 550

Account # [REDACTED]
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

INSURED DEPOSIT ACCOUNT ACTIVITY

Reporting Period: May 1 - 31, 2013

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					
05/01	05/01	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	\$13,300.00	\$13,300.00
05/03	05/03	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(13,311.91)	4,624.41
05/07	05/07	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	13.35	4,637.76
05/20	05/20	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	7,510.57	12,148.33
05/22	05/22	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	686.96	12,835.29
05/23	05/23	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	13,253.95	26,089.24
05/24	05/24	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(13,524.00)	12,565.24

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **152** of 550

Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013

INSURED DEPOSIT ACCOUNT ACTIVITY (continued)

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
05/31	05/31	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.08	12,565.32
				\$12,565.32	\$12,565.32

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

IMPORTANT INFORMATION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <http://www.tdameritrade.com/financialstatement.html> on or before 6/14/13, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692. This number is for inquiries regarding the financial statement only. As of 3/31/13, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$1.2 billion and \$215.2 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission.

*** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ***

Questions? Consult your independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **153** of **550**

Account #

**MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA****MONTHLY STATEMENT**
Reporting Period: June 1 - 30, 2013**ACCOUNT SUMMARY****Total Account Value:** **\$300,644.16****CHANGE IN ACCOUNT VALUE**

	This Month 6/1/13 - 6/30/13	Year to Date 1/1/13 - 6/30/13	
BEGINNING VALUE	\$306,052.57	\$288,021.89	Market Appreciation/Depreciation
Deposits to Account	-	8,197.53	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Dividends and Interest	593.42	2,912.60	
Market Appreciation/(Depreciation)	(6,001.83)	3,339.14	
Other Income or Expense	-	(1,827.00)	
ENDING VALUE	\$300,644.16	\$300,644.16	
CHANGE IN VALUE	\$15,408.41	\$12,622.27	

Questions about your statement
(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 6/30/13	Percent of Account
Cash and Cash Alternatives	\$6,565.39	2.18%
Mutual Funds	294,078.77	97.82
TOTAL VALUE	\$300,644.16	100.0%

Other Income or Expense
Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.



SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **154** of 550**MONTHLY STATEMENT**

Reporting Period: June 1 - 30, 2013

ACCOUNT SUMMARY (continued)**RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	Year to Date 1/1/13 - 6/30/13		
	This Month	Reported for 2013	Reported for 2012
CONTRIBUTIONS	\$ -	\$ -	\$278,247.91
Direct Transfer	-	8,197.53	-
Rollover			

Contributions to your IRA
The amount of money you have deposited into your IRA.

MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

Questions? Consult your independent Advisor:
GRIMES & COMPANY INC. (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **155** of 550Account # **██████████****MARK ALLEGRO ROLLOVER IRA**
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA**MONTHLY STATEMENT**

Reporting Period: June 1 - 30, 2013

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$6,565.39
TOTAL CASH & CASH ALTERNATIVES			

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	1,170.618	\$10.04	\$11,753.00	\$-	\$-	\$87.52 0.7%
BLACKROCK STRAT INCM OPPTYS A	BASIX	-	838.818	9.99	8,379.79	-	-	256.68 3.1%
DIAMOND HILL FDS LRG CAP FD CLA	DHLAX	-	861.846	19.57	16,866.33	-	-	180.13 1.1%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	2,180.499	5.90	12,864.94	-	-	815.51 6.3%
EATON VANCE FDS GLBL MACRO ABSOLUTE RET A	EAGMX	-	1,260.514	9.67	12,189.17	-	-	450.00 3.7%
EATON VANCE MUT FD STR FLOATING RATE HIGH INC CL I	EIFHX	-	2,305.747	8.95	20,636.44	-	-	956.89 4.6%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	883.06	27.90	24,637.37	24,449.11	188.26	405.32 1.6%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	1,403.092	8.85	12,417.36	-	-	617.36 5.0%
HARBOR FD CAPITAL APPRECIATION INV	HCAIX	9/7/12	351.055	45.83	16,088.85	15,010.86	1,077.99	10.87 0.1%
HARTFORD EQ INC CL A	HQIAZ	9/7/12	827.472	16.68	13,802.23	12,350.53	1,451.70	253.21 1.8%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

SCHEDULE II-ASSETS AND "UNEARNED" INCOME
Name
Mark C. Allegro
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Account #
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT
Reporting Period: June 1 - 30, 2013
HOLDINGS DETAIL (continued)
MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
LAZARD FDS	LZOEX	-	652.092	18.31	11,939.80	-	-	194.98 1.6%
EMERG MKT OPEN								
LOOMIS SAYLES	LSBRX	-	296.31	14.84	4,397.24	-	-	242.68 5.5%
BOND FUND RETAIL CL								
METROPOLITAN WEST	MWTRX	-	2,620.439	10.58	27,724.24	-	-	980.04 3.5%
TOTAL RETURN BD FD								
PMCO FDS	PAUDX	-	1,284.115	10.19	12,575.63	-	-	667.66 5.3%
ALL ASSET ALL AUTHORITY D								
SELECTED	SLASK	-	189.118	47.62	8,053.40	-	-	101.64 1.3%
AMERICAN SHARES S	VRPIX	5/23/13	1,350	9.92	13,392.00	13,524.00	(132.00)	-
STONE RIDGE								
US MASTER VAR RISK PERM CL I	PAWAX	-	416.911	39.13	16,313.73	-	-	45.86 0.3%
TROWE PRICE NEW AM GROWTH F								
NEW AMER GROWTH ADV								
TFS CAPITAL FDS	TFSMX	-	895.124	15.51	13,883.37	-	-	-
MARKET NEUTRAL FD								
THORNBURG	THIFX	10/6/09	1,198.682	13.39	16,050.35	13,985.01	2,065.34	435.12 2.7%
LTD TERM INCOME FD CL A								
THORNBURG	TGVAX	-	274.148	27.49	7,536.33	-	-	67.17 0.9%
INTERNATIONAL VALUE A								

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GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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Account [REDACTED]
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

HOLDINGS DETAIL *(continued)*

Reporting Period: June 1 - 30, 2013

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
TURNER FD\$ SPECTRUM FUND INVESTOR	TSPCX	-	1,159.189	10.85	12,577.20	-	-	-
TOTAL MUTUAL FUNDS				\$284,078.77	\$79,319.51	\$4,651.29	\$6,768.61	

TOTAL HOLDINGS	\$300,644.16	\$79,319.51	\$4,651.29	\$6,768.61
TOTAL ACCOUNT VALUE	\$300,644.16			

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
06/03	06/03	Dividends and Interest	EATON VANCE MUT FD\$ TR FLOATING RATE HIGH INC CL I PAYABLE: 05/31/2013 ORDINARY DIVIDENDS 74.09	EIFHX	-	\$ -	\$74.09
06/03	06/03	Buy	EATON VANCE MUT FD\$ TR FLOATING RATE HIGH INC CL I REINVESTED AT 9.06 PER SHARE	EIFHX	8.178	9.06	(74.09)
06/03	06/03	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 05/31/2013 ORDINARY DIVIDENDS 67.27	EVIBX	-	-	67.27

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro** Page **158** of 550
 Account **[REDACTED]**
 MARK ALLEGRO ROLLOVER IRA
 TD AMERITRADE CLEARING, CUSTODIAN
 ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: June 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
06/03	06/03	Buy	EATON VANCE INCOME FUND OF BOSTON CLA REINVESTED AT 6.08 PER SHARE	EVIBX	11.064	6.08	(67.27)
06/03	06/03	Dividends and Interest	BLACKROCK STRAT INCM OPPTYS A PAYABLE: 05/31/2013 ORDINARY DIVIDENDS 24.16	BASIX	-	-	24.16
06/03	06/03	Buy	BLACKROCK STRAT INCM OPPTYS A REINVESTED AT 10.21 PER SHARE	BASIX	2.366	10.21	(24.16)
06/03	06/03	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 05/31/2013 ORDINARY DIVIDENDS 47.50	GAMDX	-	-	47.50
06/03	06/03	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 9.31 PER SHARE	GAMDX	5.102	9.31	(47.50)
06/03	06/03	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 05/31/2013 ORDINARY DIVIDENDS 74.34	MWTRX	-	-	74.34
06/03	06/03	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.85 PER SHARE	MWTRX	6.852	10.85	(74.34)
06/04	06/04	Dividends and Interest	THORNBURG LTD TERM INCOME FD CLA PAYABLE: 05/31/2013 ORDINARY DIVIDENDS 31.76	THIFX	-	-	31.76
06/04	06/04	Buy	THORNBURG LTD TERM INCOME FD CLA REINVESTED AT 13.66 PER SHARE	THIFX	2.325	13.66	(31.76)

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GRIMES & COMPANY INC (508) 366-3883

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **159** of 550Account # **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA**TD AMERITRADE CLEARING, CUSTODIAN**
ROLLOVER IRA**MONTHLY STATEMENT**

Reporting Period: June 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
06/11	06/12	Buy	GATEWAY TR FUND CLASS A	GATEX	212.91	28.18	(6,000.00)
06/21	06/21	Dividends and Interest	PIMCO FDS ALL ASSET ALL AUTHORITY D PAYABLE: 06/20/2013 ORDINARY DIVIDENDS 89.07	PAUDX	-	-	89.07
06/21	06/21	Buy	PIMCO FDS ALL ASSET ALL AUTHORITY D REINVESTED AT 10.20 PER SHARE	PAUDX	8.732	10.20	(89.07)
06/24	06/24	Dividends and Interest	GATEWAY TR FUND CLASS A PAYABLE: 06/21/2013 ORDINARY DIVIDENDS 91.85	GATEX	-	-	91.85
06/24	06/24	Buy	GATEWAY TR FUND CLASS A REINVESTED AT 27.77 PER SHARE	GATEX	3.308	27.77	(91.85)
06/26	06/26	Dividends and Interest	THORNBURG INTERNATIONAL VALUE A PAYABLE: 06/24/2013 ORDINARY DIVIDENDS 40.89	TGVAX	-	-	40.89
06/26	06/26	Buy	THORNBURG INTERNATIONAL VALUE A REINVESTED AT 26.62 PER SHARE	TGVAX	1.536	26.62	(40.89)
06/26	06/26	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 06/26/2013 ORDINARY DIVIDENDS 15.47	LSBRX	-	-	15.47
06/26	06/26	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 14.70 PER SHARE	LSBRX	1.052	14.70	(15.47)

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GRIMES & COMPANY INC (508) 366-3883**ID Ameritrade**
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **160** of 550

Account # **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: June 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
06/28	06/28	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET. A PAYABLE: 06/28/2013 ORDINARY DIVIDENDS 36.95	EAGMX	-	-	36.95
06/28	06/28	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET. A REINVESTED AT 9.65 PER SHARE	EAGMX	3.829	9.65	(36.95)
06/28	06/28	Dividends and Interest	FDC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON 0.7 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 06/30/2013	MMDA12	0.07	-	0.07
			INSURED DEPOSIT ACCOUNT INTEREST 0.07				

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
06/01	\$12,565.32	11	0.0100	\$0.04	\$0.04	\$ -
06/12	6,565.32	19	0.0100	0.03	0.07	0.07
TOTAL INTEREST INCOME					\$0.07	

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **161** of 550

Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: June 1 - 30, 2013

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					
06/12	06/12	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	\$(-6,000.00)	6,565.32
Closing Balance					
TD Bank NA			INTEREST: INSURED DEPOSIT ACCOUNT	0.07	6,565.39
				\$6,565.39	

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

IMPORTANT INFORMATION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <http://www.tdameritrade.com/financialstatement.html> on or before 6/14/13, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692. This number is for inquiries regarding the financial statement only. As of 3/31/13, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$1.2 billion and \$215.2 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission.

Required Annual FINRA Information: FINRA maintains a toll-free public disclosure hotline where investors may call to request disclosable background information on any licensed broker or broker-dealer. To call the hotline, dial 1-800-289-9999. FINRA also maintains an Internet web site where investors may obtain useful information concerning FINRA policies, procedures and services. The web site can be accessed at www.finra.org. Additionally, FINRA has a brochure which describes the investor education and protection program. You may request this brochure either through the FINRA web site or by calling the toll-free public disclosure hotline.

Please note that there has been a change to the supplemental insurance coverage on your account. For more information, please see "Account Protection" under "Terms and Conditions" on this statement.

*** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ***

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GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro** Page **162** of 550



MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

ACCOUNT SUMMARY

Total Account Value: **\$306,297.44**

CHANGE IN ACCOUNT VALUE

	This Month 7/1/13 - 7/31/13	Year to Date 1/1/13 - 7/31/13	
BEGINNING VALUE	\$300,644.16	\$288,021.89	Market Appreciation/Depreciation
Deposits to Account	-	8,197.53	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Dividends and Interest	947.10	3,859.70	
Market Appreciation/(Depreciation)	5,646.18	8,985.32	
Other Income or Expense	(940.00)	(2,767.00)	
ENDING VALUE	\$306,297.44	\$306,297.44	Other Income or Expense
CHANGE IN VALUE	\$5,653.28	\$18,275.55	Miscellaneous expenses

Questions about your statement
(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 7/31/13	Percent of Account
Cash and Cash Alternatives	\$5,625.44	1.84%
Mutual Funds	300,672.00	98.16
TOTAL VALUE	\$306,297.44	100.0%

including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **163** of 550

Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

ACCOUNT SUMMARY (continued)**RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	Year to Date 1/1/13 - 7/31/13		
	This Month	Reported for 2013	Reported for 2012
CONTRIBUTIONS			
Direct Transfer	\$ -	\$ -	\$278,247.91
Rollover	-	8,197.53	-

Contributions to your IRA
The amount of money you have deposited into your IRA.

Questions? Consult your independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page | 64 of 550

Account # **XXXXXXXXXX**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$5,625.44
TOTAL CASH & CASH ALTERNATIVES			\$5,625.44

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	1,170.618	\$10.11	\$11,834.95	\$-	\$-	\$87.52 0.7%
BLACKROCK STRAT INCM OPPRTYS A	BASIX	-	840.612	10.01	8,414.53	-	-	251.34 3.0%
DIAMOND HILL FDS LRG CAP FD CLA	DHLAX	-	861.846	20.60	17,754.03	-	-	180.13 1.0%
EATON VANCE INCOME FUND OF BOSTON CLA	EVIBX	-	2,191.58	5.98	13,127.56	-	-	813.08 6.2%
EATON VANCE FDS GLBL MACRO ABSOLUTE RET A	EAGMX	-	1,264.497	9.61	12,151.82	-	-	452.69 3.7%
EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I	EIFHX	-	2,313.652	9.02	20,869.14	-	-	950.91 4.6%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	883.06	28.23	24,928.78	24,449.11	479.67	405.32 1.6%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	1,408.106	8.82	12,419.49	-	-	611.12 4.9%
HARBOR FD CAPITAL APPRECIATION INV	HCAIX	9/7/12	351.055	48.74	17,110.42	15,010.86	2,099.56	10.87 0.1%
HARTFORD EQ INC CL A	HQIAX	9/7/12	832.126	17.48	14,545.56	12,428.48	2,117.08	254.63 1.8%

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GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro** Page **165** of 550

Account **XXXXXXXXXX**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT
 Reporting Period: July 1 - 31, 2013

HOLDINGS DETAIL (continued)

MUTUAL FUNDS								
Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
LAZARD FDS	LZOEX	-	652.092	18.63	12,148.47	-	-	194.98 1.6%
EMERG MKT OPEN								
LOOMIS SAYLES	LSBRX	-	297.417	14.99	4,458.28	-	-	242.69 5.4%
BOND FUND RETAIL CL								
METROPOLITAN WEST	MWTRX	-	2,626.113	10.60	27,836.80	-	-	945.40 3.4%
TOTAL RETURN BD FD								
PIMCO FDS	PAUDX	-	1,234.115	10.24	12,637.34	-	-	667.66 5.3%
ALL ASSET ALL AUTHORITY D								
SELECTED AMERICAN SHARES S	SLASX	-	179.547	47.35	8,501.55	-	-	142.02 1.7%
STONE RIDGE	VRPIX	5/29/13	1,355.424	10.15	13,757.55	13,577.81	179.74	54.03 0.4%
US MASTER VAR RISK PERM CL I	PAWAX	-	416.911	41.59	17,339.33	-	-	45.86 0.3%
TROWE PRICE NEW AM GROWTH F								
NEW AMER GROWTH ADV	TFSMX	-	895.124	15.69	14,044.50	-	-	-
TFS CAPITAL FDS								
MARKET NEUTRAL FD								
THORNBURG LTD TERM INCOME FD CL A	THIFX	10/6/09	1,200.928	13.39	16,080.43	14,015.08	2,065.35	426.33 2.7%
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	274.148	28.91	7,925.62	-	-	67.17 0.8%

Questions? Consult your Independent Advisor:
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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # **██████████**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	1,159.189	11.03	12,785.85	-	-	-
TOTAL MUTUAL FUNDS					\$300,672.00	\$79,481.34	\$6,941.40	\$6,803.73

TOTAL HOLDINGS**TOTAL ACCOUNT VALUE**

\$306,297.44 **\$79,481.34** **\$6,941.40** **\$6,803.73**

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
07/01	07/01	Dividends and Interest	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I PAYABLE: 06/28/2013 ORDINARY DIVIDENDS 70.75	EIFHX	-	\$ -	\$70.75
07/01	07/01	Buy	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I REINVESTED AT 8.95 PER SHARE	EIFHX	7.905	8.95	(70.75)
07/01	07/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 06/28/2013 ORDINARY DIVIDENDS 65.38	EVIBX	-	-	65.38

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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Account # 
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
07/01	07/01	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 5.90 PER SHARE	EVIBX	11.081	5.90	(65.38)
07/01	07/01	Dividends and Interest	BLACKROCK STRAT INCM OPPTYS A PAYABLE: 06/28/2013 ORDINARY DIVIDENDS 17.92	BASIX	-	-	17.92
07/01	07/01	Buy	BLACKROCK STRAT INCM OPPTYS A REINVESTED AT 9.99 PER SHARE	BASIX	1.794	9.99	(17.92)
07/01	07/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 06/28/2013 ORDINARY DIVIDENDS 44.37	GAMDX	-	-	44.37
07/01	07/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 8.85 PER SHARE	GAMDX	5.014	8.85	(44.37)
07/01	07/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 06/28/2013 ORDINARY DIVIDENDS 60.03	MWTRX	-	-	60.03
07/01	07/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.58 PER SHARE	MWTRX	5.674	10.58	(60.03)
07/01	07/01	Dividends and Interest	HARTFORD EQ INC CL A PAYABLE: 06/27/2013 ORDINARY DIVIDENDS 77.95	HQJAX	-	-	77.95
07/01	07/01	Buy	HARTFORD EQ INC CL A REINVESTED AT 16.75 PER SHARE	HQJAX	4.654	16.75	(77.95)

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro** Page **168 of 550**
 Account **12345678901234567890**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
07/02	07/02	Dividends and Interest	STONE RIDGE US MASTER VAR RISK PERM CL I PAYABLE: 06/28/2013 SHORT TERM CAP GAINS 53.81	VRPIX	-	-	53.81
07/02	07/02	Buy	STONE RIDGE US MASTER VAR RISK PERM CL I REINVESTED AT 9.92 PER SHARE	VRPIX	5.424	9.92	(53.81)
07/02	07/02	Dividends and Interest	THORNBURG LTD TERM INCOME FD CL A PAYABLE: 06/28/2013 ORDINARY DIVIDENDS 30.07	THIFX	-	-	30.07
07/02	07/02	Buy	THORNBURG LTD TERM INCOME FD CL A REINVESTED AT 13.39 PER SHARE	THIFX	2.246	13.39	(30.07)
07/02	07/02	Dividends and Interest	SELECTED AMERICAN SHARES S PAYABLE: 07/02/2013 LONG TERM CAP GAINS 421.10	SLASX	-	-	421.10
07/02	07/02	Buy	SELECTED AMERICAN SHARES S REINVESTED AT 45.24 PER SHARE	SLASX	9.308	45.24	(421.10)
07/02	07/02	Dividends and Interest	SELECTED AMERICAN SHARES S PAYABLE: 07/02/2013 ORDINARY DIVIDENDS 32.13	SLASX	-	-	32.13
07/02	07/02	Buy	SELECTED AMERICAN SHARES S REINVESTED AT 45.24 PER SHARE	SLASX	0.71	45.24	(32.13)
07/02	07/02	Dividends and Interest	SELECTED AMERICAN SHARES S PAYABLE: 07/02/2013 SHORT TERM CAP GAINS 18.60	SLASX	-	-	18.60

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro** Page | 69 of 550

Account # **XXXXXXXXXX**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
07/02	07/02	Buy	SELECTED AMERICAN SHARES S REINVESTED AT 45.24 PER SHARE	SLASX	0.411	45.24	(18.60)
07/19	07/19	Other Income or Expense	QUARTERLY MGMT FEE GRIMES & COMPANY INC 9179665511	-	-	-	(940.00)
07/29	07/29	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 07/29/2013 ORDINARY DIVIDENDS 16.62	LSBRX	-	-	16.62
07/29	07/29	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.01 PER SHARE	LSBRX	1.107	15.01	(16.62)
07/31	07/31	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 07/31/2013 ORDINARY DIVIDENDS 38.32	EAGMX	-	-	38.32
07/31	07/31	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.62 PER SHARE	EAGMX	3.983	9.62	(38.32)
07/31	07/31	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .05 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 07/31/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.05	MMDA12	0.05	-	0.05

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name _____

Mark C. Allegretti

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ACCOUNT: MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT EXPENSE

Reporting Period: July 1 - 31, 2013

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					
07/22	07/22	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	\$(940.00)	5,625.39
07/31	07/31	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.05	5,625.44

***** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT *****

Questions? Consult your Independent Advisor
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **(7)** of 550
MONTHLY STATEMENT

 Reporting Period: August 1 - 31, 2013

ACCOUNT SUMMARY
Total Account Value: **\$302,438.18**
CHANGE IN ACCOUNT VALUE

	This Month 8/1/13 - 8/31/13	Year to Date 1/1/13 - 8/31/13	
BEGINNING VALUE	\$306,297.44	\$288,021.89	Market Appreciation
Deposits to Account	-	8,197.53	Depreciation
Dividends and Interest	421.37	4,281.07	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Market Appreciation/(Depreciation)	(4,260.63)	4,704.69	
Other Income or Expense	-	(2,767.00)	
ENDING VALUE	\$302,438.18	\$302,438.18	
CHANGE IN VALUE	\$(-3,859.26)	\$14,416.29	

Questions about your statement
 (800) 431-3500
 TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 8/31/13	Percent of Account
Cash and Cash Alternatives	\$5,625.49	1.86%
Mutual Funds	296,812.69	98.14
TOTAL VALUE	\$302,438.18	100.0%

Other Income or Expense
 Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page 172 of 550**MONTHLY STATEMENT**

Reporting Period: August 1 - 31, 2013

ACCOUNT SUMMARY (continued)**RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

CONTRIBUTIONS	Year to Date 1/1/13 - 8/31/13		
	This Month	Reported for 2013	Reported for 2012
Direct Transfer	\$ -	\$ -	\$278,247.91
Rollover	-	8,197.53	-

Contributions to your IRA
The amount of money you have deposited into your IRA.

Account # [REDACTED]
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

Questions? Consult your independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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Account # 
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: August 1 - 31, 2013

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$5,625.49
TOTAL CASH & CASH ALTERNATIVES			
\$5,625.49			

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	1,170.618	\$10.06	\$11,776.42	\$-	\$-	\$87.52 0.7%
BLACKROCK STRAT INCM OPPTYS A	BASIX	-	842.129	9.95	8,379.18	-	-	243.38 2.9%
DIAMOND HILL LARGE CAP A	DHLAX	-	861.846	19.95	17,193.83	-	-	180.13 1.0%
EATON VANCE INCOME FUND OF BOSTON CLA	EVIBX	-	2,202.914	5.92	13,041.25	-	-	815.08 6.3%
EATON VANCE FDS GLBL MACRO ABSOLUTE RET A	EAGMX	-	1,268.56	9.47	12,013.26	-	-	454.14 3.8%
EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I	EIFHX	-	2,321.597	8.99	20,871.16	-	-	942.57 4.5%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	883.06	27.91	24,646.20	24,449.11	197.09	405.32 1.6%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	1,412.956	8.35	11,798.18	-	-	604.75 5.1%
HARBOR FD CAPITAL APPRECIATION INV	HCAIX	9/7/12	351.055	48.45	17,008.61	15,010.86	1,997.75	10.87 0.1%
HARTFORD EQ INC CL A	HQJAX	9/7/12	832.126	16.86	14,029.64	12,428.48	1,601.16	254.63 1.6%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade

Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Alliegero

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Account # **XXXXXXXXXX**
MARK ALLIEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT						
Reporting Period: August 1 - 31, 2013						
HOLDINGS DETAIL (continued)						

MUTUAL FUNDS						
Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis
LAZARD FDS	LZOEX	-	652.092	18.13	11,822.43	-
EMERG MKT OPEN						194.98 1.6%
LOOMIS SAYLES	LSBRX	-	298.638	14.68	4,384.01	-
BOND FUND RETAIL CL						242.79 5.5%
METROPOLITAN WEST	MWTRX	-	2,632.939	10.53	27,724.85	-
TOTAL RETURN BD FD						926.79 3.3%
PIMCO FDS	PAUDX	-	1,234.115	10.09	12,452.22	-
ALL ASSET ALL AUTHORITY D						667.66 5.4%
SELECTED	SLASX	-	179.547	46.10	8,277.12	-
AMERICAN SHARES S						142.02 1.7%
STONE RIDGE	VRPIX	5/23/13	1,361.779	10.03	13,658.64	13,642.31
US MASTER VAR RISK PERM CL I						16.33 0.9%
TROWE PRICE NEW AM GROWTH F	PAWAX	-	416.911	41.16	17,160.06	-
NEW AMER GROWTH ADV						45.86 0.3%
TFS CAPITAL FDS	TFSMX	-	895.124	15.54	13,910.23	-
MARKET NEUTRAL FD						-
THORNBURG	THIFX	10/6/09	1,203.209	13.31	16,014.71	14,045.62
LTD TERM INCOME FD CL A						1,969.09 2.6%
THORNBURG	TGVAX	-	274.148	28.35	7,772.10	-
INTERNATIONAL VALUE A						67.17 0.9%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **175** of 550Account **[REDACTED]****MARK ALLEGRO ROLLOVER IRA**
TD AMERITRADE CLEARING, CUSTODIAN**ROLLOVER IRA****MONTHLY STATEMENT**

Reporting Period: August 1 - 31, 2013

HOLDINGS DETAIL (continued)

MUTUAL FUNDS						
Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Cost Basis	Unrealized Gain/(Loss)
TURNER FDS	TSPCX	-	1,159.189	11.11	12,878.59	-
SPECTRUM FUND INVESTOR						-
TOTAL MUTUAL FUNDS						-

TOTAL HOLDINGS

TOTAL ACCOUNT VALUE	\$302,438.18	\$79,576.38	\$5,781.42	\$6,824.65
	\$302,438.18			

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
08/01	08/01	Dividends and Interest	EATON VANCE MUT FD STR FLOATING RATE HIGH INC CL I PAYABLE: 07/31/2013 ORDINARY DIVIDENDS 71.66	EIFHX	-	\$ -	\$71.66
08/01	08/01	Buy	EATON VANCE MUT FD STR FLOATING RATE HIGH INC CL I REINVESTED AT 9.02 PER SHARE	EIFHX	7.945	9.02	(71.66)
08/01	08/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 07/31/2013 ORDINARY DIVIDENDS 67.89	EVIBX	-	-	67.89

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # [REDACTED]
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: August 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
08/01	08/01	Buy	EATON VANCE INCOME FUND OF BOSTON CLA REINVESTED AT 5.99 PER SHARE	EVIBX	11.334	5.99	(67.89)
08/01	08/01	Dividends and Interest	BLACKROCK STRAT INCM OPRTY'S A PAYABLE: 07/31/2013 ORDINARY DIVIDENDS 15.19	BASIX	-	-	15.19
08/01	08/01	Buy	BLACKROCK STRAT INCM OPRTY'S A REINVESTED AT 10.01 PER SHARE	BASIX	1.517	10.01	(15.19)
08/01	08/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 07/31/2013 ORDINARY DIVIDENDS 42.78	GAMDX	-	-	42.78
08/01	08/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 8.82 PERSHARE	GAMDX	4.85	8.82	(42.78)
08/01	08/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 07/31/2013 ORDINARY DIVIDENDS 72.36	MWTRX	-	-	72.36
08/01	08/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.60 PER SHARE	MWTRX	6.826	10.60	(72.36)
08/02	08/02	Dividends and Interest	STONE RIDGE US MASTER VAR RISK PERM CL I PAYABLE: 07/31/2013 ORDINARY DIVIDENDS 64.50	VRPIX	-	-	64.50
08/02	08/02	Buy	STONE RIDGE US MASTER VAR RISK PERM CL I REINVESTED AT 10.15 PER SHARE	VRPIX	6.355	10.15	(64.50)

Questions? Consult your Independent Advisor:
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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **177** of 550

Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: August 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
08/02	08/02	Dividends and Interest	THORNBURG LTD TERM INCOME FD CL A PAYABLE: 07/31/2013 ORDINARY DIVIDENDS 30.54	THIFX	-	-	30.54
08/02	08/02	Buy	THORNBURG LTD TERM INCOME FD CL A REINVESTED AT 13.39 PER SHARE	THIFX	2.281	13.39	(30.54)
08/28	08/28	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 08/28/2013 ORDINARY DIVIDENDS 17.96	LSBRX	-	-	17.96
08/28	08/28	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 14.71 PER SHARE	LSBRX	1.221	14.71	(17.96)
08/30	08/30	Dividends and Interest	EATON VANCE FDS GBL MACRO ABSOLUTE RET A PAYABLE: 08/30/2013 ORDINARY DIVIDENDS 38.44	EAGMX	-	-	38.44
08/30	08/30	Buy	EATON VANCE FDS GBL MACRO ABSOLUTE RET A REINVESTED AT 9.46 PER SHARE	EAGMX	4.063	9.46	(38.44)
08/30	08/30	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .05 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 08/31/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.05	MMDA12	0.05	-	0.05

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page 178 of 550

Account #**XXXXXXXXXX**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: August 1 - 31, 2013

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
08/01	\$5,625.44	31	0.0100	\$0.05	\$0.05	\$ 0.05
TOTAL INTEREST INCOME						\$0.05

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					\$5,625.44
08/30	08/30	Received	INTEREST: INSURED DEPOSIT ACCOUNT	\$0.05	5,625.49
Closing Balance				\$5,625.49	
TD Bank NA					

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

****** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ******

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **109** of 550

Account **MARK ALLEGRO ROLLOVER IRA**
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

O.**GRIMES COMPANY**

A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT
Reporting Period: September 1 - 30, 2013**ACCOUNT SUMMARY****Total Account Value:** **\$309,833.31****CHANGE IN ACCOUNT VALUE**

	This Month 9/1/13 - 9/30/13	Year to Date 1/1/13 - 9/30/13	
BEGINNING VALUE	\$302,438.18	\$288,021.89	Market Appreciation/Depreciation
Deposits to Account	-	8,197.53	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Dividends and Interest	677.53	4,958.60	
Market Appreciation/(Depreciation)	6,717.60	11,422.29	
Other Income or Expense	-	(2,767.00)	
ENDING VALUE	\$309,833.31	\$309,833.31	Other Income or Expense
CHANGE IN VALUE	\$7,395.13	\$21,811.42	Miscellaneous expenses

Questions about your statement
(800) 431-3500
TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 9/30/13	Percent of Account
Cash and Cash Alternatives	\$5,625.54	1.82%
Mutual Funds	304,207.77	98.18
TOTAL VALUE	\$309,833.31	100.0%

Including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page 180 of 550

Account # [REDACTED]

MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN

ROLLOVER IRA

ACCOUNT SUMMARY (continued)**RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	Year to Date 1/1/13 - 9/30/13		
	This Month	Reported for 2013	Reported for 2012
CONTRIBUTIONS			
Direct Transfer	\$ -	\$ -	\$278,247.91
Rollover	-	8,197.53	-

*Contributions to your IRA
The amount of money you have deposited into your IRA.*Questions? Consult your independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **181** of 550

Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$5,625.54
TOTAL CASH & CASH ALTERNATIVES			\$5,625.54

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	1,170.618	\$9.89	\$11,577.41	\$-	\$-	\$87.52 0.8%
BLACKROCK STRAT INCM OPPRTYS A	BASIX	-	843.415	10.02	8,451.02	-	-	234.47 2.8%
DIAMOND HILL LARGE CAP A	DHLAX	-	861.846	20.63	17,779.88	-	-	180.13 1.0%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	2,214.443	5.95	13,175.94	-	-	814.92 6.2%
EATON VANCE FDS GLBL MACRO ABSOLUTE RET A	EAGMX	-	1,272.515	9.43	11,999.82	-	-	455.56 3.8%
EATON VANCE MUT FD STR FLOATING RATE HIGH INC CL I	EIFHX	-	2,329.488	8.99	20,942.10	-	-	929.47 4.4%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	886.328	28.12	24,923.54	24,541.39	382.15	408.60 1.6%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	1,418.065	8.68	12,308.80	-	-	597.01 4.9%
HARBOR FD CAPITAL APPRECIATION INV	HCAIX	9/7/12	351.055	51.46	18,065.29	15,010.86	3,054.43	10.87 0.1%
HARTFORD EQ INC CL A	HQIAZ	9/7/12	835.425	17.29	14,444.50	12,486.05	1,958.45	255.64 1.8%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

HOLDINGS DETAIL (continued)

MUTUAL FUNDS								
Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
LAZARD FDS	LZOEX	-	652.092	19.48	12,702.75	-	-	194.98 1.5%
EMERG MKT OPEN								
LOOMIS SAYLES	LSBRX	-	299.612	15.02	4,500.17	-	-	242.69 5.4%
BOND FUND RETAIL CL								
METROPOLITAN WEST	MWTRX	-	2,639.877	10.62	28,035.49	-	-	910.76 3.2%
TOTAL RETURN BD FD								
PIMCO FDS	PAUDX	-	1,243.103	10.28	12,779.10	-	-	667.55 5.2%
ALL ASSET ALL AUTHORITY D								
SELECTED AMERICAN SHARES S	SLASK	-	179.547	47.47	8,523.10	-	-	142.02 1.7%
STONE RIDGE	VRPIX	5/23/13	1,368.311	10.26	14,038.87	13,707.83	331.04	253.14 1.8%
US MASTER VAR RISK PERM CL I	PAWAX	-	416.911	43.86	18,281.55	-	-	45.86 0.3%
TFS CAPITAL FDS	TFSMX	-	895.124	15.78	14,125.06	-	-	-
MARKET NEUTRAL FD								
THORNBURG LTD TERM INCOME FD CL A	THIFX	10/6/09	1,205.527	13.42	16,178.17	14,076.47	2,101.70	412.29 2.5%
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	274.78	30.12	8,276.37	-	-	65.95 0.8%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # **██████████**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

HOLDINGS DETAIL (continued)

MUTUAL FUNDS						
Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	1,159.189	11.30	13,098.84	-
TOTAL MUTUAL FUNDS					\$304,207.77	\$79,822.60
TOTAL HOLDINGS				\$309,833.31	\$79,822.60	\$7,827.77
TOTAL ACCOUNT VALUE				\$309,833.31		\$6,909.38

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
09/03	09/03	Dividends and Interest	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I PAYABLE: 08/30/2013 ORDINARY DIVIDENDS 70.94	EIFHX	-	\$ -	\$70.94
09/03	09/03	Buy	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I REINVESTED AT 8.99 PER SHARE	EIFHX	7.891	8.99	(70.94)
09/03	09/03	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 08/30/2013 ORDINARY DIVIDENDS 68.25	EVIBX	-	-	68.25

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3683

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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Account # **MARK ALLEGRO ROLLOVER IRA**
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
09/03	09/03	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 5.92 PER SHARE	EVIBX	11.529	5.92	(68.25)
09/03	09/03	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 08/30/2013	GAMDX	-	-	42.66
09/03	09/03	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 8.35 PER SHARE	GAMDX	5.109	8.35	(42.66)
09/03	09/03	Dividends and Interest	BLACKROCK STRAT INCM OPPY'S A PAYABLE: 08/30/2013	BASIX	-	-	12.80
09/03	09/03	Buy	BLACKROCK STRAT INCM OPPY'S A REINVESTED AT 9.95 PER SHARE	BASIX	1.286	9.95	(12.80)
09/03	09/03	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 08/30/2013	MWTRX	-	-	73.06
09/03	09/03	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.53 PER SHARE	MWTRX	6.938	10.53	(73.06)
09/04	09/04	Dividends and Interest	STONE RIDGE US MASTER VAR RISK PERM CL I PAYABLE: 08/30/2013	VRPIX	-	-	65.52
09/04	09/04	Buy	STONE RIDGE US MASTER VAR RISK PERM CL I REINVESTED AT 10.03 PER SHARE	VRPIX	6.532	10.03	(65.52)

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
 Institutional

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #

MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
09/04	09/04	Dividends and Interest	THORNBURG LTD TERM INCOME FD CL A PAYABLE: 08/30/2013 ORDINARY DIVIDENDS 30.85	THIFX	-	-	30.85
09/04	09/04	Buy	THORNBURG LTD TERM INCOME FD CL A REINVESTED AT 13.31 PER SHARE	THIFX	2.318	13.31	(30.85)
09/20	09/20	Dividends and Interest	PIMCO FDS ALL ASSET ALL AUTHORITY D PAYABLE: 09/19/2013 ORDINARY DIVIDENDS 92.40	PAUDX	-	-	92.40
09/20	09/20	Buy	PIMCO FDS ALL ASSET ALL AUTHORITY D PAYABLE: 09/19/2013 ORDINARY DIVIDENDS 92.40 REINVESTED AT 10.28 PER SHARE	PAUDX	8.988	10.28	(92.40)
09/26	09/26	Dividends and Interest	GATEWAY TR FUND CLASS A PAYABLE: 09/25/2013 ORDINARY DIVIDENDS 92.28	GATEX	-	-	92.28
09/26	09/26	Buy	GATEWAY TR FUND CLASS A PAYABLE: 09/24/2013 REINVESTED AT 28.24 PER SHARE	GATEX	3.268	28.24	(92.28)
09/26	09/26	Dividends and Interest	THORNBURG INTERNATIONAL VALUE A PAYABLE: 09/24/2013 ORDINARY DIVIDENDS 19.19	TGVAX	-	-	19.19
09/26	09/26	Buy	THORNBURG INTERNATIONAL VALUE A PAYABLE: 09/24/2013 REINVESTED AT 30.34 PER SHARE	TGVAX	0.632	30.34	(19.19)
09/26	09/26	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 09/26/2013 ORDINARY DIVIDENDS 14.66	LSBRX	-	-	14.66

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883**TD Ameritrade**

Institutional

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
09/26	09/26	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.05 PER SHARE	LSBRX	0.974	15.05	(14.66)
09/30	09/30	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 09/30/2013 ORDINARY DIVIDENDS 37.30	EAGMX	-	-	37.30
09/30	09/30	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.43 PER SHARE	EAGMX	3.955	9.43	(37.30)
09/30	09/30	Dividends and Interest	HARTFORD EQ INC CL A PAYABLE: 09/26/2013 ORDINARY DIVIDENDS 57.57	HQIAK	-	-	57.57
09/30	09/30	Buy	HARTFORD EQ INC CL A REINVESTED AT 17.45 PER SHARE	HQIAK	3.299	17.45	(57.57)
09/30	09/30	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .05 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 09/30/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.05	MMDA12	0.05	-	0.05

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name Mark C. Allegro Page | 87 | of 550

Account # [REDACTED]
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
09/01	\$5,625.49	30	0.0100	\$0.05	\$0.05	\$ 0.05
TOTAL INTEREST INCOME						\$0.05

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					\$5,625.49
09/30	09/30	Received	INTEREST: INSURED DEPOSIT ACCOUNT	\$0.05	5,625.54
Closing Balance				\$5,625.54	

TD Bank NA
FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

IMPORTANT INFORMATION

Please note that we have made a change to the Asset Protection Guarantee on your account. To see the updated guarantee and to learn more about what we do to safeguard your account, please visit ameritrade.com/security.

If you are currently receiving a periodic distribution from your IRA and you would like to change or revoke your current federal tax withholding elections on file, please contact your Advisor or call TD Ameritrade Institutional at 800-431-3500, option 2. Your current withholding will continue automatically if you do not specifically request a change.

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade Institutional
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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name	Mark C. Allegro	Page	188	of 550
Account	MARK ALLEGRO ROLLOVER IRA			
	TD AMERITRADE CLEARING, CUSTODIAN			
	ROLLOVER IRA			

Reporting Period: September 1 - 30, 2013

IMPORTANT INFORMATION

Please note that even if you choose not to have federal income tax withheld from your distribution, you still may owe federal taxes on the taxable portion of your withdrawal. You may also be subject to tax penalties in the event that your withholding is not adequate. We recommend you discuss your election with your accountant or tax advisor before making any changes.

TD Ameritrade Institutional does not provide tax advice. Please consult a tax-planning professional with regard to your personal circumstances.

**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME
MONTHLY STATEMENT
 Reporting Period: October 1 - 31, 2013

ACCOUNT SUMMARY
Total Account Value: **\$315,742.19**
CHANGE IN ACCOUNT VALUE

	This Month 10/1/13 - 10/31/13	Year to Date 1/1/13 - 10/31/13	
BEGINNING VALUE	\$309,833.31	\$288,021.89	Market Appreciation/ Depreciation
Deposits to Account	-	8,197.53	The change in value of investments due to the market assessment of their worth, which is separate from their added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Dividends and Interest	421.84	5,380.44	
Market Appreciation/(Depreciation)	6,456.04	17,878.33	
Other Income or Expense	(969.00)	(3,736.00)	
ENDING VALUE	\$315,742.19	\$315,742.19	Other Income or Expense
CHANGE IN VALUE	\$5,908.88	\$27,720.30	Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

YOUR INDEPENDENT ADVISOR
GRIMES & COMPANY INC
110 TURNPIKE RD
STE 100
WESTBOROUGH MA 01581.
 For questions regarding the services provided by your Independent Advisor call (508) 366-3883

Questions? - Contact us.

(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)		
	Market Value as of 10/31/13	Percent of Account
Cash and Cash Alternatives	\$4,656.58	1.47%
Mutual Funds	311,085.61	98.53
TOTAL VALUE	\$315,742.19	100.0%

ACCOUNT # **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

Name **Mark C. Allegro** **Page** **189** **of** **550**

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page 190 of 550**MONTHLY STATEMENT**

Reporting Period: October 1 - 31, 2013

ACCOUNT SUMMARY (continued)**RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

CONTRIBUTIONS	This Month	Year to Date 1/1/13 - 10/31/13	Reported for 2013	Reported for 2012
	\$ -	\$ -		
Direct Transfer	\$ -	\$278,247.91	-	
Rollover	-	8,197.53	-	

Contributions to your IRA
The amount of money you have deposited into your IRA.

Account # **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

 Name **Mark C. Allegro** Page **| 9 |** of 550

 Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA
MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

HOLDINGS DETAIL
CASH AND CASH ALTERNATIVES

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$4,656.58
TOTAL CASH & CASH ALTERNATIVES			\$4,656.58

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	1,170.618	\$9.99	\$11,694.47	\$-	\$-	\$87.52 0.7%
BLACKROCK STRAT INCM OPRTY S	BASIX	-	844.737	10.09	8,523.40	-	-	226.39 2.7%
DIAMOND HILL LARGE CAP A	DHLAX	-	861.846	21.50	18,529.69	-	-	180.13 1.0%
EATONVANCE INCOME FUND OF BOSTON CL A	EVIBX	-	2,225.591	6.06	13,487.08	-	-	814.57 6.0%
EATON VANCE FDS GLBL MACRO ABSOLUTE RET A	EAGMX	-	1,276.617	9.42	12,025.73	-	-	457.03 3.8%
GATEWAY TR FLOATING RATE HIGH INC CL I	EIFHX	-	2,337.11	9.04	21,127.47	-	-	909.14 4.3%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	886.328	28.56	25,313.53	24,541.39	772.14	408.60 1.6%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	1,423.332	8.86	12,610.72	-	-	590.68 4.7%
HARBOR FD CAPITAL APPRECIATION INV	HCAIX	9/7/12	351.055	53.82	18,893.78	15,010.86	3,882.92 0.1%	10.87
HARTFORD EQ INC CL A	HQIAZ	9/7/12	835.425	17.83	14,895.63	12,486.05	2,409.58 1.7%	255.64

 Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883


SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **192 of 550**

Account # **XXXXXXXXXX**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

HOLDINGS DETAIL (continued)

MUTUAL FUNDS								
Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
LAZARD FDS	LZOEX	-	652.092	20.55	13,400.49	-	-	194.98 1.5%
EMERG MKT OPEN								
LOOMIS SAYLES	LSBRX	-	300.704	15.26	4,588.74	-	-	242.37 5.3%
BOND FUND RETAIL CL								
METROPOLITAN WEST	MWTRX	-	2,646.699	10.71	28,346.15	-	-	902.52 3.2%
TOTAL RETURN BD FD								
PIMCO FDS	PAUDX	-	1,243.103	10.41	12,940.70	-	-	667.55 5.2%
ALL ASSET ALL AUTHORITY D								
SELECTED AMERICAN SHARES S	SLASK	-	179.547	49.33	8,857.05	-	-	142.02 1.6%
STONE RIDGE	VRPIX	5/23/13	1,374.923	10.40	14,299.20	13,775.67	523.53	321.73 2.3%
US MASTER VAR RISK PERM CL I	PAWAX	-	416.911	45.87	19,123.71	-	-	45.86 0.2%
TFS CAPITAL FDS	TFSMX	-	895.124	16.19	14,492.06	-	-	-
MARKET NEUTRAL FD								
THORNBURG LTD TERM INCOME FD CL A	THIFX	10/6/09	1,207.935	13.49	16,295.04	14,108.79	2,186.25	405.87 2.5%
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	274.78	30.37	8,345.07	-	-	65.95 0.8%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro** Page **193** of **550**

Account # **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

HOLDINGS DETAIL (*continued*)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
TURNER FDS	TSPCX	-	1,159.189	11.47	13,295.90	-	-	-
SPECTRUM FUND INVESTOR								
TOTAL MUTUAL FUNDS					\$311,085.61	\$79,922.76	\$9,774.42	\$6,929.39

TOTAL HOLDINGS

TOTAL ACCOUNT VALUE	\$315,742.19	\$79,922.76	\$9,774.42	\$6,929.39
	\$315,742.19			

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
10/01	10/01	Dividends and Interest	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I PAYABLE: 09/30/2013 ORDINARY DIVIDENDS 68.52	EIVHX	-	\$ -	\$68.52
10/01	10/01	Buy	EATON VANCE MUT FDSTR FLOATING RATE HIGH INC CL I REINVESTED AT 8.99 PER SHARE	EIVHX	7.622	8.99	(68.52)
10/01	10/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 09/30/2013 ORDINARY DIVIDENDS 66.33	EVIBX	-	-	66.33

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade

Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: October 1 - 31, 2013

Name **Mark C. Allegro** Page **194** of 550
 Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
10/01	10/01	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 5.95 PER SHARE	EVIBX	11.148	5.95	(66.33)
10/01	10/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 09/30/2013	GAMDX	-	-	45.72
10/01	10/01	Buy	ORDINARY DIVIDENDS 45.72 GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 8.68 PER SHARE	GAMDX	5.267	8.68	(45.72)
10/01	10/01	Dividends and Interest	BLACKROCK STRAT INCM OPPYTS A PAYABLE: 09/30/2013	BASIX	-	-	13.25
10/01	10/01	Buy	ORDINARY DIVIDENDS 13.25 BLACKROCK STRAT INCM OPPYTS A REINVESTED AT 10.02 PER SHARE	BASIX	1.322	10.02	(13.25)
10/01	10/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 09/30/2013	MWTRX	-	-	72.45
10/01	10/01	Buy	ORDINARY DIVIDENDS 72.45 METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.62 PER SHARE	MWTRX	6.822	10.62	(72.45)
10/02	10/02	Dividends and Interest	STONE RIDGE US MASTER VAR RISK PERM CL I PAYABLE: 09/30/2013	VRPIX	-	-	67.84
10/02	10/02	Buy	ORDINARY DIVIDENDS 67.84 STONE RIDGE US MASTER VAR RISK PERM CL I REINVESTED AT 10.26 PER SHARE	VRPIX	6.612	10.26	(67.84)

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
 Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT
TRANSACTIONS DETAIL (continued)

Reporting Period: October 1 - 31, 2013

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
10/02	10/02	Dividends and Interest	THORNBURG LTD TERM INCOME FD CL A PAYABLE: 09/30/2013 ORDINARY DIVIDENDS 32.32	THIFX	-	-	32.32
10/02	10/02	Buy	THORNBURG LTD TERM INCOME FD CL A REINVESTED AT 13.42 PER SHARE	THIFX	2.408	13.42	(32.32)
10/15	10/15	Other Income or Expense	QUARTERLY MGMT FEE GRIMES & COMPANY INC 9179865511	-	-	-	(969.00)
10/29	10/29	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 10/29/2013 ORDINARY DIVIDENDS 16.69	LSBRX	-	-	16.69
10/29	10/29	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.29 PER SHARE	LSBRX	1.092	15.29	(16.69)
10/31	10/31	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 10/31/2013 ORDINARY DIVIDENDS 38.68	EAGMX	-	-	38.68
10/31	10/31	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.43 PER SHARE	EAGMX	4.102	9.43	(38.68)
10/31	10/31	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .04 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 10/31/2013 INSURED DEPOSIT ACCOUNT INTEREST .04	MMDA12	0.04	-	0.04

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # [REDACTED]
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
10/01	\$5,625.54	14	0.0100	\$0.02	\$0.02	\$ -
10/15	4,656.54	17	0.0100	0.02	0.04	0.04
TOTAL INTEREST INCOME					\$0.04	

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					\$5,625.54
10/16	10/16	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(\$969.00)	4,656.54
10/31	10/31	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.04	4,656.58
Closing Balance				\$4,656.58	
TD Bank NA					

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

****** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ******

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



Page 8 of 8

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

Page 197 of 550

Account # **██████████**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

O.
GRIMES COMPANY
A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT
Reporting Period: November 1 - 30, 2013

ACCOUNT SUMMARY

Total Account Value: **\$318,064.46**

CHANGE IN ACCOUNT VALUE

	This Month 1/1/13 - 11/30/13	Year to Date 1/1/13 - 11/30/13
BEGINNING VALUE	\$315,742.19	\$288,021.89
Deposits to Account	-	8,197.53
Dividends and Interest	551.26	5,931.70
Market Appreciation/(Depreciation)	1,771.01	19,649.34
Other Income or Expense	-	(3,736.00)
ENDING VALUE	\$318,064.46	\$318,064.46
CHANGE IN VALUE	\$2,322.27	\$30,042.57

Market Appreciation/Depreciation
The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.

Other Income or Expense

Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 11/30/13	Percent of Account
Cash and Cash Alternatives	\$4,656.62	1.46%
Mutual Funds	313,407.84	98.54
TOTAL VALUE	\$318,064.46	100.0%

YOUR INDEPENDENT ADVISOR
GRIMES & COMPANY INC
110 TURNPIKE RD
STE 100
WESTBOROUGH MA 01581-
For questions regarding the services provided by your Independent Advisor call (508) 366-3883

Questions? - Contact us. (800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page | 98 of 550Account # [REDACTED]
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN**MONTHLY STATEMENT**

Reporting Period: November 1 - 30, 2013

ACCOUNT SUMMARY (continued)**RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	Year to Date 11/1/13 - 11/30/13		
	This Month	Reported for 2013	Reported for 2012
CONTRIBUTIONS	\$ -	\$ -	\$278,247.91
Direct Transfer	-	8,197.53	-
Rollover	-	-	-

Contributions to your IRA
The amount of money you have deposited into your IRA.

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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ACCOUNT # **XXXXXXXXXX**
MARK ALLIEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN

MONTHLY STATEMENT

Reporting Period: November 1 - 30, 2013

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$4,656.62
TOTAL CASH & CASH ALTERNATIVES			\$4,656.62

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	1,170.618	\$10.34	\$12,104.19	\$-	\$-	\$87.52 0.7%
BLACKROCK STRAT INCM OPPRTYS A	BASIX	-	846.104	10.11	8,554.11	-	-	217.45 2.5%
DIAMOND HILL LARGE CAP A	DHLAX	-	861.846	22.11	19,055.42	-	-	180.13 0.9%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	2,236.992	6.06	13,556.17	-	-	816.50 6.0%
EATON VANCE FDS GLBL MACRO ABSOLUTE RET A	EAGMX	-	1,280.614	9.41	12,050.58	-	-	458.46 3.8%
EATON VANCE MUT FD STR FLOATING RATE HIGH INC CL I	EIFHX	-	2,344.58	9.04	21,195.00	-	-	897.97 4.2%
GATEWAY TR FUND CLASS A	GATEX	10/21/12	886.328	28.77	25,499.66	24,541.39	958.27	408.60 1.6%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	1,429.096	8.50	12,147.32	-	-	593.07 4.9%
HARBOR FD CAPITAL APPRECIATION INV	HCAIX	9/7/12	351.055	55.59	19,515.15	15,010.86	4,504.29	10.87 0.1%
HARTFORD EQ INC CL A	HQIAZ	9/7/12	835.425	18.29	15,279.92	12,486.05	2,793.87	255.64 1.7%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account [REDACTED]
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: November 1 - 30, 2013

HOLDINGS DETAIL (continued)

MUTUAL FUNDS							
Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)
LAZARD FDS EMERG MKT OPEN	LZOEX	-	652.092	19.94	13,002.71	-	-
LOOMIS SAYLES BOND FUND RETAIL CL	LSBRX	-	301.777	15.16	4,574.94	-	-
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	-	2,652.896	10.68	28,332.93	-	-
PIMCO FDS ALL ASSET ALL AUTHORITY D SELECTED AMERICAN SHARES S	PAUDX	-	1,243.103	10.23	12,716.94	-	-
STONE RIDGE US MASTER VAR RISK PERM CL I TROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV	VRPIX	5/23/13	1,381.353	10.48	14,476.58	13,842.61	633.97
TFS CAPITAL FDS MARKET NEUTRAL FD	TFSMX	-	895.124	16.06	14,375.69	-	-
THORNBURG LTD TERM INCOME FD CL A	THIFX	10/6/09	1,220.087	13.37	16,312.56	14,271.42	2,041.14
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	274.78	31.04	8,529.17	-	-

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page 201 of 550

Account # [REDACTED]
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: November 1 - 30, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	1,159.189	11.48	13,307.49	-	-	-
TOTAL MUTUAL FUNDS								

TOTAL HOLDINGS

TOTAL ACCOUNT VALUE	\$318,064.46	\$80,152.33	\$10,931.54	\$6,958.99

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
11/01	11/01	Dividends and Interest	STONE RIDGE US MASTER VAR RISK PERM CL I PAYABLE: 10/30/2013 ORDINARY DIVIDENDS 66.94	VRPIX	-	\$ -	\$66.94
11/01	11/01	Buy	STONE RIDGE US MASTER VAR RISK PERM CL I REINVESTED AT 10.41 PER SHARE	VRPIX	6.43	10.41	(66.94)
11/01	11/01	Dividends and Interest	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I PAYABLE: 10/31/2013 ORDINARY DIVIDENDS 67.53	EIFHX	-	-	67.53

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account

**MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA****MONTHLY STATEMENT**

Reporting Period: November 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
11/01	11/01	Buy	EATON VANCE MUT FD STR FLOATING RATE HIGH INC CL I REINVESTED AT 9.04 PER SHARE	EIFHX	7.47	9.04	(67.53)
11/01	11/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 10/31/2013 ORDINARY DIVIDENDS 69.09	EVIBX	-	-	69.09
11/01	11/01	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 6.06 PER SHARE	EVIBX	11.401	6.06	(69.09)
11/01	11/01	Dividends and Interest	BLACKROCK STRAT INCM OPPRTYS A PAYABLE: 10/31/2013 ORDINARY DIVIDENDS 13.79	BASIX	-	-	13.79
11/01	11/01	Buy	BLACKROCK STRAT INCM OPPRTYS A REINVESTED AT 10.09 PER SHARE	BASIX	1.367	10.09	(13.79)
11/01	11/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 10/31/2013 ORDINARY DIVIDENDS 66.37	MWTRX	-	-	66.37
11/01	11/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.71 PER SHARE	MWTRX	6.197	10.71	(66.37)
11/01	11/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 10/31/2013 ORDINARY DIVIDENDS 51.07	GAMDX	-	-	51.07
11/01	11/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 8.86 PER SHARE	GAMDX	5.764	8.86	(51.07)

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **203** of **550**Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN**MONTHLY STATEMENT**

Reporting Period: November 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
11/04	11/04	Dividends and Interest	THORNBURG LTD TERM INCOME FD CL A PAYABLE: 10/31/2013 ORDINARY DIVIDENDS 29.57	THIFX	-	-	29.57
11/04	11/04	Buy	THORNBURG LTD TERM INCOME FD CL A REINVESTED AT 13.49 PER SHARE	THIFX	2.192	13.49	(29.57)
11/21	11/21	Dividends and Interest	THORNBURG LTD TERM INCOME FD CL A PAYABLE: 11/19/2013 SHORT TERM CAP GAINS 43.04	THIFX	-	-	43.04
11/21	11/21	Buy	THORNBURG LTD TERM INCOME FD CL A REINVESTED AT 13.36 PER SHARE	THIFX	3.222	13.36	(43.04)
11/21	11/21	Dividends and Interest	THORNBURG LTD TERM INCOME FD CL A PAYABLE: 11/19/2013 LONG TERM CAP GAINS 90.02	THIFX	-	-	90.02
11/27	11/27	Buy	THORNBURG LTD TERM INCOME FD CL A REINVESTED AT 13.36 PER SHARE	THIFX	6.738	13.36	(90.02)
11/27	11/27	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 11/27/2013 ORDINARY DIVIDENDS 16.27	LSBRX	-	-	16.27
11/27	11/27	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.16 PER SHARE	LSBRX	1.073	15.16	(16.27)
11/29	11/29	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 11/29/2013 ORDINARY DIVIDENDS 37.53	EAGMX	-	-	37.53

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #

MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN**ROLLOVER IRA****MONTHLY STATEMENT**

Reporting Period: November 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
11/29	11/29	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.39 PER SHARE	EAGMX	3.997	9.39	(37.53)

TOTAL INTEREST INCOME

FDIC INSURED DEPOSIT ACCOUNT

IDA12 NOT COVERED BY SIPC

ON .04 SHARES

INTEREST: INSURED
DEPOSIT ACCOUNT

PAYABLE: 11/30/2013

INSURED DEPOSIT ACCOUNT INTEREST .04

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
11/01	\$4,656.58	30	0.0100	\$0.04	\$0.04	\$0.04
TOTAL INTEREST INCOME					\$0.04	

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **205** of 550

Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: November 1 - 30, 2013

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
			OPENING BALANCE		
11/29	11/29	Received	INTEREST: INSURED DEPOSIT ACCOUNT	\$0.04	\$4,656.58
			CLOSING BALANCE	\$4,656.62	\$4,656.62

TD Bank NA
FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

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Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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MONTHLY STATEMENT
 Reporting Period: December 1 - 31, 2013

ACCOUNT SUMMARY Total Account Value: **\$320,989.53**
CHANGE IN ACCOUNT VALUE

	This Month 12/1/13 - 12/31/13	Year to Date 1/1/13 - 12/31/13	
BEGINNING VALUE	\$318,064.46	\$288,021.89	<i>Market Appreciation/Depreciation</i>
Deposits to Account	-	8,197.53	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Dividends and Interest	6,428.56	12,360.26	
Market Appreciation/(Depreciation)	(3,503.49)	16,145.85	
Other Income or Expense	-	(3,736.00)	
ENDING VALUE	\$320,989.53	\$320,989.53	
CHANGE IN VALUE	\$2,925.07	\$32,967.64	

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SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 12/31/13	Percent of Account
Cash and Cash Alternatives	\$4,656.66	1.45%
Mutual Funds	316,332.87	98.55
TOTAL VALUE	\$320,989.53	100.0%

Other Income or Expense
Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

 Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

ACCOUNT SUMMARY (continued)

CONTRIBUTIONS	RETIREMENT ACCOUNT ACTIVITY OVERVIEW		
	This Month	Year to Date 1/1/13 - 12/31/13	Reported for 2013
Direct Transfer	\$ -	\$ -	\$278,247.91
Rollover	-	8,197.53	-

Contributions to your IRA
The amount of money you have deposited into your IRA.

Questions? Consult your Independent Advisor:
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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # 
MARK ALLIEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$4,656.66
TOTAL CASH & CASH ALTERNATIVES			\$4,656.66

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	1,182.799	\$10.51	\$12,431.22	\$-	\$-	\$-
BLACKROCK STRAT INCM OPPTYS A	BASIX	-	847.43	10.16	8,609.89	-	-	210.16 2.4%
DIAMOND HILL LARGE CAP A	DHLAX	-	897.334	21.49	19,283.71	-	-	148.96 0.8%
EATON VANCE INCOME FUND OF BOSTON CLA	EVIBX	-	2,248.071	6.06	13,623.31	-	-	820.55 6.0%
EATON VANCE FDS GLBL MACRO ABSOLUTE RET A	EAGMX	-	1,284.747	9.43	12,115.16	-	-	459.94 3.8%
EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I	EIFHX	-	2,355.215	9.04	21,291.14	-	-	833.75 3.9%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	888.824	29.00	25,775.90	24,613.27	1,162.63	339.53 1.3%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A	GAMDX	-	1,435.149	8.47	12,155.71	-	-	597.02 4.9%
HARBOR FD CAPITAL APPRECIATION INV	HCAIX	9/7/12	362.365	55.75	20,201.85	15,617.86	4,583.99	-
HARTFORD EQ INC CL A	HQJAX	9/7/12	862.144	18.07	15,578.94	12,951.23	2,627.71	266.40 1.7%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro**

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Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
LAZARD FDS	LZOEX	-	674.652	19.14	12,912.84	-	-	206.44 1.6%
EMERG MKT OPEN								
LOOMIS SAYLES	LSBRX	-	305.317	15.09	4,607.23	-	-	202.43 4.4%
BOND FUND RETAIL CL								
METROPOLITAN WEST	MWTRX	-	2,674.607	10.55	28,217.10	-	-	866.57 3.1%
TOTAL RETURN BD FD								
PIMCO FDS	PAUDX	-	1,281.997	9.87	12,653.31	-	-	669.20 5.3%
ALL ASSET ALL AUTHORITY D								
SELECTED AMERICAN SHARES S	SLASX	-	186.771	50.29	9,392.71	-	-	50.61 0.5%
STONE RIDGE	VRPIX	5/23/13	1,388.079	10.61	14,727.52	13,913.10	814.42	395.60 2.7%
US MASTER VAR RISK PERM CL I	PAWAX	-	466.435	43.58	20,327.24	-	-	-
TROWE PRICE NEW AM GROWTH F								
NEW AMER GROWTH ADV								
TFS CAPITAL FDS	TFSMX	-	929.31	15.26	14,181.27	-	-	-
MARKET NEUTRAL FD								
THORNBURG LTD TERM INCOME FD CL A	THIFX	10/6/09	1,222.353	13.28	16,292.85	14,301.72	1,931.13	393.60 2.4%
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	274.78	31.39	8,625.34	-	-	65.95 0.8%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**

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Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
TURNER FDS	TSPCX	-	1,159.189	11.55	13,388.63	-	-	-
SPECTRUM FUND INVESTOR								
TOTAL MUTUAL FUNDS					\$316,332.87	\$81,397.18	\$11,119.88	\$6,526.71

TOTAL HOLDINGS

TOTAL ACCOUNT VALUE	\$320,989.53	\$81,397.18	\$11,119.88	\$6,526.71
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TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/02	12/02	Dividends and Interest	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I PAYABLE: 1/12/2013 ORDINARY DIVIDENDS 69.56	EIFHX	-	\$ -	\$69.56
12/02	12/02	Buy	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I REINVESTED AT 9.04 PER SHARE	EIFHX	7.695	9.04	(69.56)
12/02	12/02	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 1/12/2013 ORDINARY DIVIDENDS 67.14	EVIBX	-	-	67.14

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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Account [REDACTED]
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/02	12/02	Buy	EATON VANCE INCOME FUND OF BOSTON CLA REINVESTED AT 6.06 PER SHARE	EVIBX	11.079	6.06	(67.14)
12/02	12/02	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 1/12/2013 ORDINARY DIVIDENDS 58.48	MWTRX	-	-	58.48
12/02	12/02	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.68 PER SHARE	MWTRX	5.476	10.68	(58.48)
12/02	12/02	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 1/12/2013 ORDINARY DIVIDENDS 51.45	GAMDX	-	-	51.45
12/02	12/02	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 8.50 PER SHARE	GAMDX	6.053	8.50	(51.45)
12/02	12/02	Dividends and Interest	BLACKROCK STRAT INCM OPPRTYS A PAYABLE: 1/12/2013 ORDINARY DIVIDENDS 13.41	BASIX	-	-	13.41
12/02	12/02	Buy	BLACKROCK STRAT INCM OPPRTYS A REINVESTED AT 10.11 PER SHARE	BASIX	1.326	10.11	(13.41)
12/02	12/02	Dividends and Interest	STONE RIDGE US MASTER VAR RISK PERM CL I PAYABLE: 1/12/2013 ORDINARY DIVIDENDS 70.49	VRPIX	-	-	70.49
12/02	12/02	Buy	STONE RIDGE US MASTER VAR RISK PERM CL I REINVESTED AT 10.48 PER SHARE	VRPIX	6.726	10.48	(70.49)

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/03	12/03	Dividends and Interest	THORNBURG LTD TERM INCOME FD CLA PAYABLE: 11/29/2013 ORDINARY DIVIDENDS 30.30	THIFX	-	-	30.30
12/03	12/03	Buy	THORNBURG LTD TERM INCOME FD CLA REINVESTED AT 13.37 PER SHARE	THIFX	2.266	13.37	(30.30)
12/16	12/16	Dividends and Interest	T ROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV PAYABLE: 12/16/2013 SHORT TERM CAP GAINS 533.65	PAWAX	-	-	533.65
12/16	12/16	Buy	T ROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV REINVESTED AT 41.84 PER SHARE	PAWAX	12.755	41.84	(533.65)
12/16	12/16	Dividends and Interest	T ROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV PAYABLE: 12/16/2013 LONG TERM CAP GAINS 1538.40	PAWAX	-	-	1,538.40
12/16	12/16	Buy	T ROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV REINVESTED AT 41.84 PER SHARE	PAWAX	36.769	41.84	(1,538.40)
12/16	12/16	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 12/13/2013 LONG TERM CAP GAINS 171.60	MWTRX	-	-	171.60
12/16	12/16	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.57 PER SHARE	MWTRX	16.285	10.57	(171.60)
12/17	12/17	Dividends and Interest	HARTFORD EQ INC CL A PAYABLE: 12/13/2013 SHORT TERM CAP GAINS 28.89	HQJAX	-	-	28.89

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # [REDACTED]
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/17	12/17	Buy	HARTFORD EQ INC CL A REINVESTED AT 17.41 PER SHARE	HQIAK	1.659	17.41	(28.89)
12/17	12/17	Dividends and Interest	HARTFORD EQ INC CL A PAYABLE: 12/13/2013 LONG TERM CAP GAINS 436.29	HQIAK	-	-	436.29
12/17	12/17	Buy	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 12/17/2013 REINVESTED AT 17.41 PER SHARE	LSBRX	25.06	17.41	(436.29)
12/17	12/17	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL ORDINARY DIVIDENDS 32.98	LSBRX	-	-	32.98
12/17	12/17	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.02 PER SHARE	LSBRX	2.196	15.02	(32.98)
12/17	12/17	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 12/17/2013 LONG TERM CAP GAINS 20.19	LSBRX	-	-	20.19
12/17	12/17	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.02 PER SHARE	LSBRX	1.344	15.02	(20.19)
12/18	12/18	Dividends and Interest	HARBOR FD CAPITAL APPRECIATION INV PAYABLE: 12/17/2013 LONG TERM CAP GAINS 607.00	HCAIX	-	-	607.00
12/18	12/18	Buy	HARBOR FD CAPITAL APPRECIATION INV REINVESTED AT 53.67 PER SHARE	HCAIX	11.31	53.67	(607.00)

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GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **214** of **550**

Account # **XXXXXXXXXX**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/18	12/18	Dividends and Interest	DIAMOND HILL LARGE CAP A PAYABLE: 12/18/2013 SHORT TERM CAP GAINS 88.58	DHLAX	-	-	88.58
12/18	12/18	Buy	DIAMOND HILL LARGE CAP A REINVESTED AT 20.82 PER SHARE	DHLAX	4.255	20.82	(88.58)
12/18	12/18	Dividends and Interest	DIAMOND HILL LARGE CAP A PAYABLE: 12/18/2013 LONG TERM CAP GAINS 650.28	DHLAX	-	-	650.28
12/20	12/20	Buy	DIAMOND HILL LARGE CAP A REINVESTED AT 20.82 PER SHARE	DHLAX	31.233	20.82	(650.28)
12/20	12/20	Dividends and Interest	GATEWAY TR FUND CLASS A PAYABLE: 12/19/2013 ORDINARY DIVIDENDS 71.88	GATEX	-	-	71.88
12/20	12/20	Buy	GATEWAY TR FUND CLASS A REINVESTED AT 28.80 PER SHARE	GATEX	2.496	28.80	(71.88)
12/20	12/20	Dividends and Interest	SELECTED AMERICAN SHARES S PAYABLE: 12/20/2013 LONG TERM CAP GAINS 320.49	SLASX	-	-	320.49
12/20	12/20	Buy	SELECTED AMERICAN SHARES S REINVESTED AT 49.01 PER SHARE	SLASX	6.539	49.01	(320.49)
12/20	12/20	Dividends and Interest	SELECTED AMERICAN SHARES S PAYABLE: 12/20/2013 ORDINARY DIVIDENDS 14.54	SLASX	-	-	14.54

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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ACCOUNT #**10000000000000000000**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/20	12/20	Buy	SELECTED AMERICAN SHARES S REINVESTED AT 49.01 PER SHARE	SLASX	0.297	49.01	(14.54)
12/20	12/20	Dividends and Interest	SELECTED AMERICAN SHARES S PAYABLE: 12/20/2013 SHORT TERM CAP GAINS 19.03	SLASX	-	-	19.03
12/23	12/23	Buy	SELECTED AMERICAN SHARES S REINVESTED AT 49.01 PER SHARE	SLASX	0.388	49.01	(19.03)
12/23	12/23	Dividends and Interest	TFS CAPITAL FDS MARKET NEUTRAL FD PAYABLE: 12/20/2013 SHORT TERM CAP GAINS 104.73	TFSMX	-	-	104.73
12/23	12/23	Buy	TFS CAPITAL FDS MARKET NEUTRAL FD REINVESTED AT 15.43 PER SHARE	TFSMX	6.787	15.43	(104.73)
12/23	12/23	Dividends and Interest	TFS CAPITAL FDS MARKET NEUTRAL FD PAYABLE: 12/20/2013 LONG TERM CAP GAINS 422.77	TFSMX	-	-	422.77
12/23	12/23	Buy	TFS CAPITAL FDS MARKET NEUTRAL FD REINVESTED AT 15.43 PER SHARE	TFSMX	27.399	15.43	(422.77)
12/24	12/24	Dividends and Interest	LAZARD FDS EMERG MKT OPEN PAYABLE: 12/24/2013 ORDINARY DIVIDENDS 199.45	LZOEX	-	-	199.45
12/24	12/24	Buy	LAZARD FDS EMERG MKT OPEN REINVESTED AT 19.10 PER SHARE	LZOEX	10.442	19.10	(199.45)

Questions? Consult your independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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Account # [REDACTED]
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/24	12/24	Dividends and Interest	LAZARD FDS EMERG MKT OPEN PAYABLE: 12/24/2013 LONG TERM CAP GAINS 231.45	LZOEX	-	-	231.45
12/24	12/24	Buy	LAZARD FDS EMERG MKT OPEN REINVESTED AT 19.10 PER SHARE	LZOEX	12.118	19.10	(231.45)
12/26	12/26	Dividends and Interest	AQR MANAGED FUTURES N PAYABLE: 12/23/2013 LONG TERM CAP GAINS 112.58	AQMNX	-	-	112.58
12/26	12/26	Buy	AQR MANAGED FUTURES N REINVESTED AT 10.32 PER SHARE	AQMNX	10.909	10.32	(112.58)
12/26	12/26	Dividends and Interest	AQR MANAGED FUTURES N PAYABLE: 12/23/2013 SHORT TERM CAP GAINS 13.13	AQMNX	-	-	13.13
12/31	12/31	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 12/31/2013 ORDINARY DIVIDENDS 38.93	EAGMX	1.272	10.32	(13.13)
12/31	12/31	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.42 PER SHARE	EAGMX	-	-	38.93
12/31	12/31	Dividends and Interest	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I PAYABLE: 12/31/2013 ORDINARY DIVIDENDS 26.58	EIFHX	-	-	26.58

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**

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Account #24170000
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/CUSIP	Quantity	Price	Transaction Amount
12/31	12/31	Buy	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I REINVESTED AT 9.04 PER SHARE	EIFHX	2.94	9.04	(26.58)
12/31	12/31	Dividends and Interest	PIMCO FDS ALL ASSET ALL AUTHORITY D PAYABLE: 12/30/2013 ORDINARY DIVIDENDS 384.27	PAUDX	-	-	384.27
12/31	12/31	Buy	PIMCO FDS ALL ASSET ALL AUTHORITY D REINVESTED AT 9.88 PER SHARE FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .04 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 12/31/2013 INSURED DEPOSIT ACCOUNT INTEREST .004	PAUDX	38.894	9.88	(384.27)
				MMDA12	0.04	-	0.04

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
12/01	\$4,656.62	31	0.0100	\$0.04	\$0.04	\$ 0.04
TOTAL INTEREST INCOME					\$0.04	

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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Account

MARK ALLIEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					
12/31	12/31	Received	INTEREST: INSURED DEPOSIT ACCOUNT	\$0.04	\$4,656.62
Closing Balance				\$4,656.66	\$4,656.66
TD Bank NA					

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

IMPORTANT INFORMATION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <https://www.tdameritrade.com/financial-statement#financial-statement> on or before 12/27/2013, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-9692. As of 9/30/2013, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$1.3 billion and \$22.6 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission.

Annual Margin Disclosure

Securities purchased on margin are the firm's collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan. As a result, the firm can take action, such as issuing a margin call and/or selling securities or other assets in any of your accounts held with TD Ameritrade, in order to maintain the required equity in the account.

It is important that you fully understand the risks involved in trading securities on margin. These risks include the following: You can lose more funds than you deposit in the margin account. The firm can force the sale of securities or other assets in your account(s). The firm can sell your securities or other assets without contacting you. You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call. The firm can increase its "house" maintenance requirements at any time and is not required to provide you advance written notice. You are not entitled to an extension of time on a margin call.

Anti-Money Laundering Requirements

The USA PATRIOT Act is designed to detect, deter, and punish terrorists and/or terrorist groups in the United States and abroad. The Act requires U.S. financial institutions and brokerage firms to implement comprehensive anti-money laundering programs.

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GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name	Mark C. Allegro	Page 219 of 550
Account	MARK ALLEGRO ROLLOVER IRA TD AMERITRADE CLEARING, CUSTODIAN ROLLOVER IRA	

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

IMPORTANT INFORMATION

What is money laundering?

Money laundering is the process of disguising illegally obtained funds so that they appear to come from legitimate sources or activities. Money laundering occurs in connection with a wide variety of crimes, including (but not limited to) illegal arms sales, drug trafficking, fraud, terrorism, embezzlement, and political corruption.

How big is the problem and why is it important?

According to the U.S. Department of State, one recent estimate puts the amount of worldwide money laundering activity at roughly \$1 trillion per year. The use of the U.S. financial system by criminals to facilitate terrorism or other crimes could taint our financial markets.

What is TD Ameritrade required to do?

As required by the USA PATRIOT Act, our anti-money laundering program designates a special Compliance Officer to ensure compliance with applicable laws, maintains policies and procedures to detect and report suspicious transactions, performs employee training, and conducts independent audits. Federal law also requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. As part of this requirement, we may ask you to provide various identification documents or other information. Until you provide the information or documents required, we may not be able to open an account or process transactions for you.

We thank you for your patience and look forward to your support in our efforts to deny terrorist groups access to America's financial system.

**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**

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Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

ACCOUNT SUMMARY

Total Account Value: **\$315,048.15**

CHANGE IN ACCOUNT VALUE

	This Month 1/1/14 - 1/31/14	Year to Date 1/1/14 - 1/31/14	
BEGINNING VALUE	\$320,989.53	\$320,989.53	Market Appreciation/ Depreciation
Dividends and Interest	562.29	562.29	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Market Appreciation/(Depreciation)	(5,499.67)	(5,499.67)	
Other Income or Expense	(1,004.00)	(1,004.00)	
ENDING VALUE	\$315,048.15	\$315,048.15	Other Income or Expenses
CHANGE IN VALUE	\$(-5,941.38)	\$(-5,941.38)	Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 1/31/14	Percent of Account
Cash and Cash Alternatives	\$15,310.07	4.86%
Mutual Funds	299,738.08	95.14
TOTAL VALUE	\$315,048.15	100.0%

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page 221 of 550**MONTHLY STATEMENT**

Reporting Period: January 1 - 31, 2014

ACCOUNT SUMMARY (continued)**RETIREMENT ACCOUNT ACTIVITY OVERVIEW**

	Year to Date 1/1/14 - 1/31/14		
	This Month	Reported for 2014	Reported for 2013
CONTRIBUTIONS	\$ -	\$ -	\$8,197.53
Rollover			

Contributions to your IRA
The amount of money you have deposited into your IRA.

Account # **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

Questions? Consult your Independent Advisor:
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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account 917-986551
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT (DA12 NOT COVERED BY SIPC)	-	\$ -	\$15,310.07
TOTAL CASH & CASH ALTERNATIVES			\$15,310.07

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES N	AQMNX	-	1,182.799	\$10.18	\$12,040.89	\$-	\$-	\$-
BLACKROCK STRAT INCM OPPORTYS A	BASIX	-	848.697	10.16	8,622.76	-	-	204.54 2.4%
DIAMOND HILL LARGE CAP A	DHLAX	-	904.285	20.69	18,709.66	-	-	150.11 0.8%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	-	2,259.573	6.06	13,693.01	-	-	824.74 6.0%
EATON VANCE FDS GLBL MACRO ABSOLUTE RET A	EAGMX	-	1,288.925	9.35	12,051.45	-	-	461.44 3.8%
EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I	EIFHX	-	2,364.796	9.05	21,401.40	-	-	924.64 4.3%
GATEWAY TR FUND CLASS A	GATEX	10/2/12	888.824	28.54	25,367.04	24,613.27	753.77	339.53 1.3%
HARBOR FD CAPITAL APPRECIATION INV	HCAIX	9/7/12	362.365	55.05	19,948.19	15,617.86	4,330.33	-
HARTFORD EQ INC CL A	HQJAX	9/7/12	866.137	17.33	15,010.15	13,023.19	1,986.96	267.64 1.8%
LAZARD FDS EMERG MKT OPEN	LZOEX	-	674.652	17.60	11,873.88	-	-	206.44 1.7%

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TD Ameritrade

Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**

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Account **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
LOOMIS SAYLES BOND FUND RETAIL CL	LSBRX	-	306.216	15.04	4,605.49	-	-	217.72 4.7%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	-	2,678.171	10.69	28,629.65	-	-	822.20 2.9%
PIMCO FDS ALL ASSET ALL AUTHORITY D	PAUDX	-	1,281.997	9.80	12,563.57	-	-	669.20 5.3%
SELECTED AMERICAN SHARES S	SLASX	-	186.771	48.19	9,000.49	-	-	50.61 0.6%
STONE RIDGE US MASTER VAR RISK PERM CL I	VRPIX	5/23/13	1,388.079	10.44	14,491.54	13,913.10	578.44	463.62 3.2%
TROWE PRICE NEW AM GROWTH F NEW AMER GROWTH ADV	PAWAX	-	466.435	42.80	19,963.42	-	-	-
TFS CAPITAL FDS MARKET NEUTRAL FD	TFSMX	-	929.31	14.86	13,809.55	-	-	-
THORNBURG LTD TERM INCOME FD CL A	THIFX	10/6/09	1,224.815	13.40	16,412.52	14,334.42	2,078.10	388.27 2.4%
THORNBURG INTERNATIONAL VALUE A	TGVAX	-	274.78	29.34	8,062.05	-	-	65.95 0.8%
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	-	1,159.189	11.63	13,481.37	-	-	-
TOTAL MUTUAL FUNDS			\$299,738.08	\$81,501.84	\$9,727.60	\$6,056.64		
TOTAL HOLDINGS			\$315,048.15	\$81,501.84	\$9,727.60	\$6,056.64		
TOTAL ACCOUNT VALUE			\$315,048.15					

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**

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MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

Account # **7222222222222222**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
01/02	01/02	Dividends and Interest	EATON VANCE MUT FDS TR FLOATING RATE HIGH INC CL I PAYABLE: 12/31/2013 ORDINARY DIVIDENDS 86.61	EIFHX	-	\$ -	\$86.61
01/02	01/02	Buy	EATON VANCE MUT FD STR FLOATING RATE HIGH INC CL I REINVESTED AT 9.04 PER SHARE	EIFHX	9.581	9.04	(86.61)
01/02	01/02	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 12/31/2013 ORDINARY DIVIDENDS 69.70	EVIBX	-	-	69.70
01/02	01/02	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 6.06 PER SHARE	EVIBX	11.502	6.06	(69.70)
01/02	01/02	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 12/31/2013 ORDINARY DIVIDENDS \$37.60	MWTRX	-	-	37.60
01/02	01/02	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.55 PER SHARE	MWTRX	3.564	10.55	(37.60)
01/02	01/02	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A PAYABLE: 12/31/2013 ORDINARY DIVIDENDS 49.21	GAMDX	-	-	49.21
01/02	01/02	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A REINVESTED AT 8.47 PER SHARE	GAMDX	5.81	8.47	(49.21)
01/02	01/02	Dividends and Interest	DIAMOND HILL LARGE CAP A PAYABLE: 12/31/2013 ORDINARY DIVIDENDS 148.97	DHLAX	-	-	148.97

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Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN

Rollover IRA

MONTHLY STATEMENT Reporting Period: January 1 - 31, 2014**TRANSACTIONS DETAIL (continued)**

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
01/02	01/02	Buy	DIAMOND HILL LARGE CAP A REINVESTED AT 21.43 PER SHARE	DHLAX	6.951	21.43	(148.97)
01/02	01/02	Dividends and Interest	BLACKROCK STRAT INCM OPPRTYS A PAYABLE: 12/31/2013 ORDINARY DIVIDENDS 12.87	BASIX	-	-	12.87
01/02	01/02	Buy	HARTFORD EQ INC CL A PAYABLE: 12/30/2013 ORDINARY DIVIDENDS 71.96	HQJAX	1.267	10.16	(12.87)
01/02	01/02	Buy	HARTFORD EQ INC CL A REINVESTED AT 18.02 PER SHARE	HQJAX	-	-	71.96
01/03	01/03	Dividends and Interest	THORNBURG LTD TERM INCOME FD CLA PAYABLE: 12/31/2013 ORDINARY DIVIDENDS 32.70	THIFX	3.983	18.02	(71.96)
01/03	01/03	Buy	THORNBURG LTD TERM INCOME FD CLA REINVESTED AT 13.28 PER SHARE	THIFX	2.462	13.28	32.70
01/16	01/16	Other Income or Expense	QUARTERLY MGMT FEE GRIMES & COMPANY INC 9179865511	-	-	-	(1,004.00)
01/24	01/27	Sell	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT A SHORT TERM RDM FEE 0.00	GAMDX	(1,440.959)	8.09	11,657.36

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # **10000000000000000000**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
01/29	01/29	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 01/29/2014 ORDINARY DIVIDENDS 13.56	LSBRX	-	-	13.56
01/29	01/29	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.08 PER SHARE	LSBRX	0.899	15.08	(13.56)
01/31	01/31	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A PAYABLE: 01/31/2014 ORDINARY DIVIDENDS 39.06	EAGMX	-	-	39.06
01/31	01/31	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RET A REINVESTED AT 9.35 PER SHARE	EAGMX	4.178	9.35	(39.06)
01/31	01/31	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .05 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 01/31/2014 INSURED DEPOSIT ACCOUNT INTEREST 0.05	MMDA12	0.05	-	0.05

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**

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MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

Account: **[REDACTED]**
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
01/01	\$4,656.66	15	0.0100	\$0.02	\$0.02	\$ -
01/16	3,652.66	11	0.0100	0.01	0.03	-
01/27	15,310.02	5	0.0100	0.02	0.05	0.05
TOTAL INTEREST INCOME						\$0.05

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance	01/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	\$(1,004.00)	\$4,656.66
01/27	01/27	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	11,657.36	15,310.02
01/31	01/31	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.05	15,310.07
Closing Balance				\$15,310.07	\$15,310.07
TD Bank NA					

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account [REDACTED]
MARK ALLEGRO ROLLOVER IRA
TD AMERITRADE CLEARING, CUSTODIAN
ROLLOVER IRA

Reporting Period: January 1 - 31, 2014

**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

MONTHLY STATEMENT

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



MARYANNE ALLEGRO
41 METOXIT RD
EAST FALMOUTH MA 02536-7720



FINANCIAL SERVICES
730 Third Avenue New York, NY 10017-3206

Quarterly Retirement Portfolio Statement

January 01, 2013 - March 31, 2013

For
MARYANNE ALLEGRO

Customer Service

Website:	tiaa-cref.org
Automated 24-hour Information and Personal Assistance (Español disponible)	800 842-2252
Hearing Impaired (TTY phone users only)	800 842-2755
Monday through Friday, 8:00 a.m. - 10:00 p.m. (ET)	
Saturday, 9:00 a.m. - 6:00 p.m. (ET)	
To view your most current account information, go to our website at tiaa-cref.org and log-in with your user ID and password or call our 24-hour automated system.	

Portfolio Summary

	This Period	Year-to-Date
Beginning Balance	\$61,099.26	\$61,099.26
Additions	1,622.60	1,622.60
Reductions	-5,996.21	-5,996.21
Gain/Loss	3,111.42	3,111.42
Ending Balance	\$59,837.07	\$59,837.07

Retirement Income Projection

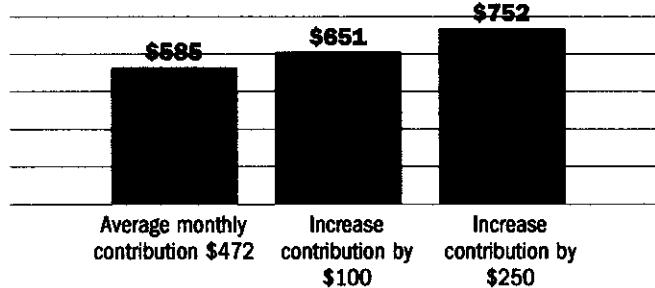
As part of your retirement savings planning, have you considered how much you need to retire? Saving a little more now can add up by the time you retire. **These charts are purely hypothetical and do not illustrate past or projected performance.**

To raise your savings rate or further personalize the retirement income projection, visit us online at tiaa-cref.org or call TIAA-CREF at 800-842-2252. Recent changes to your contribution amounts may not be reflected on this statement.

What can you expect from Retirement Income?

Average Monthly Contribution	Monthly Contribution Increased by	Sample Lifetime Retirement Monthly Income at Age 65
\$472	\$0	\$585
\$572	\$100	\$651
\$722	\$250	\$752

Example of Monthly Income at Age 65



Message Board

If you are invested in mutual funds in your retirement plans or IRAs, please review the frequent trading policy at www.tiaa-cref.org/tradingpolicy.

Please refer to the back of this statement for Glossary Terms.

Quarterly Retirement Portfolio Statement

MARYANNE ALLIEGRO

January 01, 2013 - March 31, 2013

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Name Mark C. Allegro

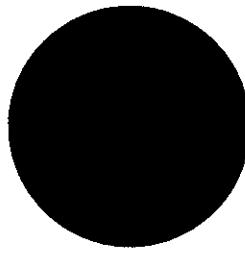
SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Personalized Rate of Return

This Period
Year-to-Date

5.4% This figure is an estimate of the performance of the assets in your retirement portfolio, as reflected on this statement, that are maintained at TIAA-CREF during the period(s) specified. Past performance is not a guarantee of future results. Please refer to the Disclosures Section for more information.

Asset Allocation Summary



If you are invested in more than one asset class, the Asset Allocation percentages may not be exact due to rounding.

	Current Percent	Asset Class	Current Value
	37%	Guaranteed	\$22,292.52
	63%	Equities	37,544.55
	100%	Total	\$59,837.07

Activity Summary by Asset Class

Asset Class/ Investment	Beginning Balance as of 01/01/2013	Additions	Reductions	Gain/Loss	Ending Balance as of 03/31/2013
Guaranteed					
TIAA Traditional	\$28,048.42	\$0.00	-\$5,996.21	\$240.31	\$22,292.52
Equities					
CREF Stock	\$19,625.67	\$973.56	\$0.00	\$1,650.56	\$22,249.79
CREF Growth	13,425.17	649.04	0.00	1,220.55	15,294.76
Total Equities	\$33,050.84	\$1,622.60	\$0.00	\$2,871.11	\$37,544.55
Total Account Value	\$61,099.26	\$1,622.60	-\$5,996.21	\$3,111.42	\$59,837.07

To view current performance for your specific investments, log in to your account at tiaa-cref.org or you can visit www.tiaa-cref.org/performance for general performance information.

Portfolio Breakdown

	Beginning Balance as of 01/01/2013	Ending Balance as of 03/31/2013
Plans		
MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN	\$33,477.04	\$37,975.13
LOUISIANA PUBLIC INSTITUTIONS OPTIONAL RETIREMENT PLAN	24,023.75	19,013.66
UNIVERSITY SYSTEM OF MD OPTIONAL RETIREMENT PLAN	3,598.47	2,848.28
Total Plans	\$61,099.26	\$59,837.07
Annuities In Your Plans		
TIAA	\$426.20	\$430.58
CREF	33,050.84	37,544.55
TIAA	24,023.75	19,013.66
TIAA	3,598.47	2,848.28
Total Annuities In Your Plans	\$61,099.26	\$59,837.07

Quarterly Retirement Portfolio Statement

MARYANNE ALLEGRO

January 01, 2013 - March 31, 2013

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MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contracts (TIAA-CREF)	100%	\$37,975.13
Employer	100%	
Total		\$37,975.13

Plan Investment Detail						
Asset Class/ Investment	Beginning Balance as of 01/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 03/31/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional	\$426.20	n/a	n/a	\$430.58	n/a	n/a
TIAA-CREF						
Equities						
CREF Stock	\$19,625.67	73.0546	\$268.6438	\$22,249.79	76.4741	\$290.9454
CREF						
CREF Growth	13,425.17	160.1059	83.8518	15,294.76	167.3961	91.3687
CREF						
Total Equities	\$33,050.84			\$37,544.55		
Total Pre-Tax Investments	\$33,477.04			\$37,975.13		
Total	\$33,477.04			\$37,975.13		

Plan Transaction Detail						
Processing Date	Effective Date	Transaction Description	Investment	Number of Units/Shares	Unit/Share Price	Amount
Additions						
		Employer				
01/22/2013	01/22/2013	Contribution	CREF Stock	0.8689	\$280.1286	\$243.39
		CREF				
01/22/2013	01/22/2013	Contribution	CREF Growth	1.8555	87.4503	162.26
		CREF				
02/01/2013	02/01/2013	Contribution	CREF Stock	0.8568	284.0611	243.39
		CREF				
02/01/2013	02/01/2013	Contribution	CREF Growth	1.8343	88.4584	162.26
		CREF				
03/04/2013	03/04/2013	Contribution	CREF Stock	0.8573	283.9102	243.39
		CREF				
03/04/2013	03/04/2013	Contribution	CREF Growth	1.8245	88.9335	162.26
		CREF				
03/28/2013	03/28/2013	Contribution	CREF Stock	0.8365	290.9454	243.39
		CREF				
03/28/2013	03/28/2013	Contribution	CREF Growth	1.7759	91.3687	162.26
		CREF				
		Total Employer				\$1,622.60
Total Additions						
						\$1,622.60

To view or change your current asset allocation and allocation of future contributions visit tiaa-cref.org and sign in to the secure portion of the website.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

**Quarterly Retirement
Portfolio Statement**

MARYANNE ALLEGRO

January 01, 2013 - March 31, 2013

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LOUISIANA PUBLIC INSTITUTIONS OPTIONAL RETIREMENT PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contract (TIAA)		
Employee	100%	\$10,142.38
Employer	100%	8,871.28
Total Annuity Contract (TIAA)	100%	\$19,013.66
Total	100%	\$19,013.66

Plan Investment Detail

Asset Class/ Investment	Beginning Balance as of 01/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 03/31/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional	\$24,023.75	n/a	n/a	\$19,013.66	n/a	n/a
TIAA						
Total Pre-Tax Investments	\$24,023.75			\$19,013.66		
Total	\$24,023.75			\$19,013.66		

Plan Transaction Detail

Processing Date	Effective Date	Transaction Description	Investment	Number of Units/Shares	Unit/Share Price	Amount
Reductions						
		Distributions/Other Debits				
02/01/2013	02/01/2013	Annual transfer to other financial institution	TIAA Traditional	n/a	n/a	-\$5,215.54
		TIAA				
		Total Reductions				-\$5,215.54

UNIVERSITY SYSTEM OF MD OPTIONAL RETIREMENT PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contract (TIAA)		
Employer	100%	\$2,848.28
Total	100%	\$2,848.28

Plan Investment Detail

Asset Class/ Investment	Beginning Balance as of 01/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 03/31/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional	\$3,598.47	n/a	n/a	\$2,848.28	n/a	n/a
TIAA						
Total Pre-Tax Investments	\$3,598.47			\$2,848.28		
Total	\$3,598.47			\$2,848.28		

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Quarterly Retirement Portfolio Statement

MARYANNE ALLEGRO

January 01, 2013 - March 31, 2013

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UNIVERSITY SYSTEM OF MD OPTIONAL RETIREMENT PLAN

(Continued)

Plan Transaction Detail

Processing Date	Effective Date	Transaction Description	Investment	Number of Units/Shares	Unit/Share Price	Amount
Reductions						
02/01/2013	02/01/2013	Distributions/Other Debits Annual transfer to other financial institution	TIAA Traditional	n/a	n/a	-\$780.67
		TIAA [REDACTED]				
Total Reductions						-\$780.67

The Annuity Contracts are shown to provide you with an alternative view of your Investments with TIAA-CREF.

ANNUITY CONTRACTS IN YOUR PLANS

Investment Summary by Contract

Name	Contract/ Investment	Beginning Balance as of 01/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 03/31/2013	# of Units/ Shares	Unit/Share Price
	TIAA 372555A-0						
	TIAA Traditional	\$426.20	n/a	n/a	\$430.58	n/a	n/a
	Total TIAA	\$426.20			\$430.58		
	CREF						
	CREF Stock	\$19,625.67	73.0546	\$268.6438	\$22,249.79	76.4741	\$290.9454
	CREF Growth	13,425.17	160.1059	83.8518	15,294.76	167.3961	91.3687
	Total CREF	\$33,050.84			\$37,544.55		
	TIAA						
	TIAA Traditional	\$24,023.75	n/a	n/a	\$19,013.66	n/a	n/a
	Total TIAA	\$24,023.75			\$19,013.66		
	TIAA						
	TIAA Traditional	\$3,598.47	n/a	n/a	\$2,848.28	n/a	n/a
	Total TIAA	\$3,598.47			\$2,848.28		
	Total	\$61,099.26			\$59,837.07		

Glossary

TIAA Traditional: A guaranteed annuity account, guarantees your principal and contractually specified interest rate. It also offers the opportunity for higher returns through additional amounts above the guaranteed interest rate, which may be declared annually by the TIAA Board of Trustees. Additional amounts are not guaranteed for future years. If you have a Retirement Annuity contract, TIAA Traditional does not allow for lump sum withdrawals or transfers. All cash withdrawals and transfers must be made in 10 annual installments. If you have a Group Retirement Annuity or Retirement Choice contract, lump-sum cash withdrawals are available from TIAA Traditional within 120 days after termination of employment and are subject to a 2.5% surrender charge. For Group Retirement Annuity contracts, all other withdrawals and transfers must be made in 10 annual installments (or five annual installments for withdrawals after termination of employment). And for Retirement Choice contracts, they must be spread over 84 months (7 years).

Effective Date: The date as of which the contribution unit/share price, transfer or payment began or ceased participating in the investment results of the investment option or account.

Processing Date: The date on which the transaction (contribution, transfer or payment) is processed by TIAA-CREF. We will furnish you, upon written request, the time when the transaction took place. "Processed" means when amounts are credited (for purchase) or debited (for redemptions) to you. Any transactions processed after the close of this quarter will appear on your next quarterly statement.

Gain/Loss: The change in portfolio balances due to : (i) Unrealized Gains/Losses from investment holdings (including variable annuity accounts) after expenses are deducted, (ii) Other Gains/Losses and (iii) TIAA Interest. Only Other Gains/Losses are shown in the Transaction Detail sections of this statement.

Quarterly Retirement Portfolio Statement

MARYANNE ALLIEGRO

January 01, 2013 - March 31, 2013

Page 234 of 550

Mark C. Allegro

SCHEDULE II ASSETS AND "UNEARNED" INCOME

Glossary (Continued)

Portfolio Summary: A high-level overview that totals all your retirement and savings assets together and shows you how this value changed from the beginning January 01, 2013, and from the beginning of the year to, March 31, 2013.

Asset Allocation: A breakdown of how your total retirement portfolio is allocated across six major asset classes - equities, fixed income, real estate, multi-asset, money market and guaranteed. For the illustrative pie chart asset class percentages may be rounded to the nearest full number percentage.

Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among your investment choices are also shown as Additions and Reductions. For example, if you transferred money from one investment choice to another, the money going into an account is an Addition and the money leaving an account is a Reduction. Other adjustments to your portfolio are also included in the Additions and Reductions total.

Disclosures

Please review your statement and let us know promptly of any inaccuracies. To protect your rights, you should also notify us in writing. Unless we receive written notification within 60 days, we will assume our information is correct.

With respect to financial services provided by TIAA-CREF Individual & Institutional Services, LLC and Teachers Personal Investors Services, Inc., please note that FINRA BrokerCheck is available to help you check the background of brokers and brokerage firms. FINRA has published an investor brochure that includes information regarding FINRA BrokerCheck. To learn more, please visit www.finra.org or call (800) 289-9999.

Effective as of the close of business on March 28th, 2013 CREF actuarial present values (as described in the CREF Rules of the Fund) are calculated using mortality based on the CREF Progressive Annuitant Mortality Table, a merged gender table initially derived from CREF mortality experience through 2011 and updated annually thereafter as necessary to incorporate CREF's most recently available mortality experience. This basis will be phased in over three annual valuation cycles, on the last valuation days in March 2013, 2014 and 2015.

Upon request, you may be eligible under limited circumstances to receive a one-time advance of an annual Transfer Payout Annuity payment. This advance is available only once during the life of this contract.

Diversified and Well-Balanced Portfolio: To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly.

It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals. For more information or additional resources regarding individual investing and diversification, visit the Internet website of the Department of Labor at www.dol.gov/ebsa/investing.html.

If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk. In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk.

Fees and Expenses: The cumulative effect of fees and expenses can substantially reduce the growth of your retirement savings. Visit the Department of Labor's Web site for an example showing the long-term effect of fees and expenses at http://www.dol.gov/ebsa/publications/401k_employee.html. Fees and expenses are only one of many factors to consider when you decide to invest in an option. You may also want to think about whether an investment in a particular option, along with your other investments, will help you achieve your financial goals. Expenses to pay for the administration of your plan(s) may be paid directly by you through the reduction of your account balance and reflected in the Plan Transaction Detail section of your quarterly statement. For the preceding quarter, plan administration expenses may also be paid from the total annual operating expenses of one or more of the plan's designated investment alternatives.

Portions of this statement provide information about specific transactions that have occurred during the quarter. Other portions of the statement provide information about the total number of units or shares held in your account, gains and losses during the quarter, account balances and other account related information. Each entity listed in this statement may contract with other entities for services related to the described activities. Annuity products are issued by TIAA (Teachers Insurance and Annuity Association), New York, NY.

Transactions in securities, including variable annuities, are effected by TIAA-CREF Individual & Institutional Services, LLC ("TC Services").

Account information for TIAA-CREF fixed and variable annuities is provided by TIAA as issuer, or by TIAA on behalf of CREF as issuer.

Quarterly Retirement Portfolio Statement

MARYANNE ALLIEGRO

January 01, 2013 - March 31, 2013

Page 235 of 550

Name Mark C. Allegro

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Disclosures (Continued)

Your right to direct investments or transfer funds may be subject to certain limitations and/or restrictions under your employer's plan, if applicable and the terms of any funding options. Contact your Benefits Manager or call TIAA-CREF at 800 842-2776 if you have questions.

Personalized Rate of Return is an estimate of the performance of the assets in your retirement portfolio maintained by TIAA-CREF during the period specified. The figure: (1) includes expenses, interest and dividend payments; (2) includes contributions and withdrawals, weighted by the number of days between the date of contribution or withdrawal and the end of the quarter; (3) excludes the performance of products purchased through TIAA-CREF's brokerage window and retirement healthcare program; and (4) may be different from the return of the individual funds or other investment options included in the portfolio. If your retirement portfolio included cash outflows or inflows the figure may differ from your actual rate of return depending on market volatility following these cash flows. The Personalized Rate of Return is based on the Modified Dietz Method of evaluating performance. Past performance is not a guarantee of future results.

TIAA-CREF Individual & Institutional Services, LLC (Services) is a broker/dealer registered with the Securities and Exchange Commission (SEC) and must comply with SEC Net Capital Rule 15c3-1. At December 31, 2012, Services had net capital of \$54,487,231 which exceeded its required net capital of \$1,232,494 by \$53,254,737. Services' Audited Statement of Financial Condition as of December 31, 2012 can be obtained free of charge by visiting tiaa-cref.org and clicking the link at the bottom of the page or by calling 800 842-2252.

Retirement Income Projection Assumptions: Sample Lifetime Retirement Monthly Income at age 65 is not based upon your current asset allocation. It is based on your ending balance (excluding Minimum Distribution Option contracts and Transfer Payout Annuity contracts that are withdrawn in cash or transferred to other financial institutions) from your Portfolio Summary and does not consider assets outside those identified in this Quarterly Report. The Ending Balance is projected to grow according to the following assumptions: the 12-month average of your total employer and personal contributions shown in the chart, each projected to grow 3% annually (rounded to the nearest year, using your birthday) reflecting assumed inflation increases, as well as a non-guaranteed hypothetical annual growth rate of 6% until age 65. Accumulations in Interest Only contracts are assumed to remain at their current levels.

Sample Lifetime Retirement Monthly Income is based on using a single life annuity with a 10 year guarantee period starting at age 65. The amount of lifetime income reflects an annuity payout rate based upon an assumed interest rate of 4% and the mortality assumptions used in computing current total income under TIAA pension payout annuities. This projected income at retirement was discounted 3% annually to reflect the income amount in today's dollars. Your actual account performance will differ, and may be higher or lower. These charts are for informational and educational purposes only and do not constitute advice. Sample values shown are estimates and not guarantees and do not reflect federal/state taxes or investment fees and charges.

The minimum guaranteed annual interest rate for TIAA Traditional in IRA contracts issued prior to 10/11/2010, most Retirement Annuity, Group Retirement Annuity, Supplemental Retirement Annuity and Group Supplemental Retirement Annuity contracts is 3%.

The minimum guaranteed annual interest rate for TIAA Traditional in most IRA contracts* issued on or after 10/11/2010 and in all Retirement Choice, Retirement Choice Plus and TIAA Stable Return Annuity contracts ranges from 1% to 3%. The current minimum rate for Retirement Choice contracts is 1.00%, is effective through December 31, 2013 and continues to be guaranteed for contributions and transfers made in 2013 through December 31, 2022. The current minimum rate for most IRA contracts* issued on or after 10/11/2010 and in all Retirement Choice Plus and TIAA Stable Return Annuity contracts is 1.00% and is guaranteed through February 28, 2014.

*All IRA Minimum Distribution Option contracts and IRA contracts issued as a result of a divorce settlement have the same guarantee and rate as the originating contract.

TIAA Traditional also offers the opportunity for additional amounts above the guaranteed rate. These additional amounts can be declared every month and remain in effect for the "declaration year," which begins each March 1 and are not guaranteed for future years.



MARYANNE ALLEGRO
41 METOXIT RD
EAST FALMOUTH MA 02536-7720



FINANCIAL SERVICES

730 Third Avenue New York, NY 10017-3206

Quarterly Retirement Portfolio Statement

April 01, 2013 - June 30, 2013

For
MARYANNE ALLEGRO

Customer Service

Website:	tiaa-cref.org
Automated 24-hour Information and Personal Assistance (Español disponible)	800 842-2252
Hearing Impaired (TTY phone users only)	800 842-2755
Monday through Friday, 8:00 a.m. - 10:00 p.m. (ET)	
Saturday, 9:00 a.m. - 6:00 p.m. (ET)	
To view your most current account information, go to our website at tiaa-cref.org and log-in with your user ID and password or call our 24-hour automated system.	

Portfolio Summary

	This Period	Year-to-Date
Beginning Balance	\$59,837.07	\$61,099.26
Additions	1,014.12	2,636.72
Reductions	0.00	-5,996.21
Gain/Loss	729.49	3,840.91
Ending Balance	\$61,580.68	\$61,580.68

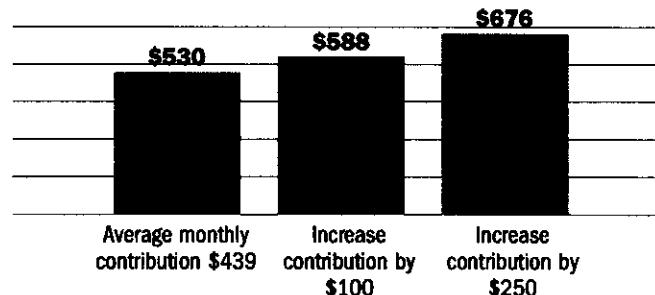
Retirement Income Projection

As part of your retirement savings planning, have you considered how much you need to retire? Saving a little more now can add up by the time you retire. **These charts are purely hypothetical and do not illustrate past or projected performance.**

What can you expect from Retirement Income?

Average Monthly Contribution	Monthly Contribution Increased by	Sample Lifetime Retirement Monthly Income at Age 65
\$439	\$0	\$530
\$539	\$100	\$588
\$689	\$250	\$676

To raise your savings rate or further personalize the retirement income projection, visit us online at tiaa-cref.org or call TIAA-CREF at 800-842-2252. Recent changes to your contribution amounts may not be reflected on this statement.

Example of Monthly Income at Age 65**Message Board**

Keep things simple when you manage your accounts online. Get a complete picture of your finances with 360 Financial View, use online tools like Retirement Advisor, and more. Visit us at www.tiaa-cref.org/now to register.

Please refer to the back of this statement for Glossary Terms.

Quarterly Retirement Portfolio Statement

April 01, 2013 - June 30, 2013

MARYANNE ALLIEGRO

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Name Mark C. Allegro

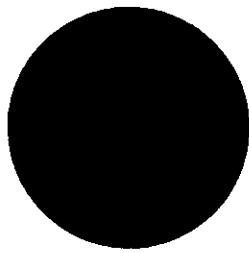
SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Personalized Rate of Return

This Period
Year-to-Date

1.2% This figure is an estimate of the performance of the assets in your retirement portfolio, as reflected on this statement, that are maintained at TIAA-CREF during the period(s) specified. Past performance is not a guarantee of future results. Please refer to the Disclosures Section for more information.

6.6%



If you are invested in more than one asset class, the Asset Allocation percentages may not be exact due to rounding.

Asset Allocation Summary

	Current Percent	Asset Class	Current Value
	37%	Guaranteed	\$22,532.17
	63%	Equities	39,048.51
	100%	Total	\$61,580.68

Activity Summary by Asset Class

Asset Class/ Investment	Beginning Balance as of 04/01/2013	Additions	Reductions	Gain/Loss	Ending Balance as of 06/30/2013
Guaranteed					
TIAA Traditional	\$22,292.52	\$0.00	\$0.00	\$239.65	\$22,532.17
Equities					
CREF Stock	\$22,249.79	\$608.47	\$0.00	\$181.68	\$23,039.94
CREF Growth	15,294.76	405.65	0.00	308.16	16,008.57
Total Equities	\$37,544.55	\$1,014.12	\$0.00	\$489.84	\$39,048.51
Total Account Value	\$59,837.07	\$1,014.12	\$0.00	\$729.49	\$61,580.68

To view current performance for your specific investments, log in to your account at tiaa-cref.org or you can visit www.tiaa-cref.org/performance for general performance information.

Portfolio Breakdown

	Beginning Balance as of 04/01/2013	Ending Balance as of 06/30/2013
Plans		
MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN	\$37,975.13	\$39,483.59
LOUISIANA PUBLIC INSTITUTIONS OPTIONAL RETIREMENT PLAN	19,013.66	19,217.72
UNIVERSITY SYSTEM OF MD OPTIONAL RETIREMENT PLAN	2,848.28	2,879.37
Total Plans	\$59,837.07	\$61,580.68
Annuities In Your Plans		
TIAA [REDACTED]	\$430.58	\$435.08
CREF [REDACTED]	37,544.55	39,048.51
TIAA [REDACTED]	19,013.66	19,217.72
TIAA [REDACTED]	2,848.28	2,879.37
Total Annuities In Your Plans	\$59,837.07	\$61,580.68

**Quarterly Retirement
Portfolio Statement**

MARYANNE ALLEGRO

April 01, 2013 - June 30, 2013

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MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contracts (TIAA-CREF)		
Employer	100%	\$39,483.59
Total	100%	\$39,483.59

Plan Investment Detail

Asset Class/ Investment	Beginning Balance as of 04/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 06/30/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional	\$430.58	n/a	n/a	\$435.08	n/a	n/a
TIAA CREF						
Equities						
CREF Stock	\$22,249.79	76.4741	\$290.9454	\$23,039.94	78.5197	\$293.4288
CREF Growth						
CREF	15,294.76	167.3961	91.3687	16,008.57	171.7315	93.2186
Total Equities	\$37,544.55			\$39,048.51		
Total Pre-Tax Investments	\$37,975.13			\$39,483.59		
Total	\$37,975.13			\$39,483.59		

Plan Transaction Detail

Processing Date	Effective Date	Transaction Description	Investment	Number of Units/Shares	Unit/Share Price	Amount
Additions						
04/25/2013	04/25/2013	Employer Contribution	CREF Stock	0.8276	\$294.0769	\$243.39
04/25/2013	04/25/2013	CREF	CREF Growth	1.7623	92.0703	162.26
06/04/2013	06/04/2013	Contribution	CREF Stock	1.2180	299.7292	365.08
06/04/2013	06/04/2013	CREF	CREF Growth	2.5731	94.5892	243.39
		Total Employer				\$1,014.12
						\$1,014.12
Total Additions						
To view or change your current asset allocation and allocation of future contributions visit tiaa-cref.org and sign in to the secure portion of the website.						

LOUISIANA PUBLIC INSTITUTIONS OPTIONAL RETIREMENT PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contract (TIAA-CREF)		
Employee	100%	\$10,251.20
Employer	100%	8,966.52
Total Annuity Contract (TIAA-CREF)	100%	\$19,217.72
Total	100%	\$19,217.72

SCHEDULE I-HASSETS AND "UNEARNED" INCOME

**Quarterly Retirement
Portfolio Statement**

MARYANNE ALLEGRO

April 01, 2013 - June 30, 2013

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LOUISIANA PUBLIC INSTITUTIONS OPTIONAL RETIREMENT PLAN

(Continued)

Plan Investment Detail

Asset Class/ Investment	Beginning Balance as of 04/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 06/30/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional	\$19,013.66	n/a	n/a	\$19,217.72	n/a	n/a
TIAA CREF Stock						
Total Pre-Tax Investments	\$19,013.66			\$19,217.72		
Total	\$19,013.66			\$19,217.72		

Plan Transaction Detail

There are no transactions this quarter.

UNIVERSITY SYSTEM OF MD OPTIONAL RETIREMENT PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contract (TIAA-CREF)		
Employer	100%	\$2,879.37
Total	100%	\$2,879.37

Plan Investment Detail

Asset Class/ Investment	Beginning Balance as of 04/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 06/30/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional	\$2,848.28	n/a	n/a	\$2,879.37	n/a	n/a
TIAA CREF Stock						
Total Pre-Tax Investments	\$2,848.28			\$2,879.37		
Total	\$2,848.28			\$2,879.37		

Plan Transaction Detail

There are no transactions this quarter.

*The Annuity Contracts are shown to provide you
with an alternative view of your investments with TIAA-CREF.*

ANNUITY CONTRACTS IN YOUR PLANS**Investment Summary by Contract**

Contract/ Investment	Beginning Balance as of 04/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 06/30/2013	# of Units/ Shares	Unit/Share Price
TIAA						
TIAA Traditional	\$430.58	n/a	n/a	\$435.08	n/a	n/a
Total TIAA	\$430.58			\$435.08		
CREF						
CREF Stock	\$22,249.79	76.4741	\$290.9454	\$23,039.94	78.5197	\$293.4288

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Quarterly Retirement Portfolio Statement

MARYANNE ALLIEGRO

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Name
Mark C. Allegro

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

ANNUTY CONTRACTS IN YOUR PLANS (Continued)

Investment Summary by Contract (Continued)

Contract/ Investment	Beginning Balance as of 04/01/2013	# of Units/ Shares	Unit/Share Price as of 06/30/2013	Ending Balance as of 06/30/2013	# of Units/ Shares	Unit/Share Price
CREF [REDACTED]						
CREF Growth	15,294.76	167.3961	91.3687	16,008.57	171.7315	93.2186
Total CREF [REDACTED]	\$37,544.55			\$39,048.51		
TIAA [REDACTED]						
TIAA Traditional	\$19,013.66	n/a	n/a	\$19,217.72	n/a	n/a
Total TIAA [REDACTED]	\$19,013.66			\$19,217.72		
TIAA [REDACTED]						
TIAA Traditional	\$2,848.28	n/a	n/a	\$2,879.37	n/a	n/a
Total TIAA [REDACTED]	\$2,848.28			\$2,879.37		
Total [REDACTED]	\$59,837.07			\$61,580.68		

Glossary

TIAA Traditional: A guaranteed annuity account, guarantees your principal and contractually specified interest rate. It also offers the opportunity for higher returns through additional amounts above the guaranteed interest rate, which may be declared annually by the TIAA Board of Trustees. Additional amounts are not guaranteed for future years. If you have a Retirement Annuity contract, TIAA Traditional does not allow for lump sum withdrawals or transfers. All cash withdrawals and transfers must be made in 10 annual installments. If you have a Group Retirement Annuity or Retirement Choice contract, lump-sum cash withdrawals are available from TIAA Traditional within 120 days after termination of employment and are subject to a 2.5% surrender charge. For Group Retirement Annuity contracts, all other withdrawals and transfers must be made in 10 annual installments (or five annual installments for withdrawals after termination of employment). And for Retirement Choice contracts, they must be spread over 84 months (7 years).

Effective Date: The date as of which the contribution unit/share price, transfer or payment began or ceased participating in the investment results of the investment option or account.

Processing Date: The date on which the transaction (contribution, transfer or payment) is processed by TIAA-CREF. We will furnish you, upon written request, the time when the transaction took place. "Processed" means when amounts are credited (for purchase) or debited (for redemptions) to you. Any transactions processed after the close of this quarter will appear on your next quarterly statement.

Gain/Loss: The change in portfolio balances due to : (i) Unrealized Gains/Losses from investment holdings (including variable annuity accounts) after expenses are deducted, (ii) Other Gains/Losses and (iii) TIAA Interest. Only Other Gains/Losses are shown in the Transaction Detail sections of this statement.

Portfolio Summary: A high-level overview that totals all your retirement and savings assets together and shows you how this value changed from the beginning April 01, 2013, and from the beginning of the year to, June 30, 2013.

Asset Allocation: A breakdown of how your total retirement portfolio is allocated across six major asset classes - equities, fixed income, real estate, multi-asset, money market and guaranteed. For the illustrative pie chart asset class percentages may be rounded to the nearest full number percentage.

Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among your investment choices are also shown as Additions and Reductions. For example, if you transferred money from one investment choice to another, the money going into an account is an Addition and the money leaving an account is a Reduction. Other adjustments to your portfolio are also included in the Additions and Reductions total.

Disclosures

Please review your statement and let us know promptly of any inaccuracies. To protect your rights, you should also notify us in writing. Unless we receive written notification within 60 days, we will assume our information is correct.

With respect to financial services provided by TIAA-CREF Individual & Institutional Services, LLC and Teachers Personal Investors Services, Inc., please note that FINRA BrokerCheck is available to help you check the background of brokers and brokerage firms. FINRA has published an investor brochure that includes information regarding FINRA BrokerCheck. To learn more, please visit www.finra.org or call (800) 289-9999.

Quarterly Retirement Portfolio Statement

MARYANNE ALLIEGRO

April 01, 2013 - June 30, 2013

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Name Mark C. Allegro

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Disclosures (Continued)

Diversified and Well-Balanced Portfolio: To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly.

It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals. For more information or additional resources regarding individual investing and diversification, visit the Internet website of the Department of Labor at www.dol.gov/ebsa/investing.html.

If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk. In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk.

Fees and Expenses: The cumulative effect of fees and expenses can substantially reduce the growth of your retirement savings. Visit the Department of Labor's Web site for an example showing the long-term effect of fees and expenses at http://www.dol.gov/ebsa/publications/401k_employee.html. Fees and expenses are only one of many factors to consider when you decide to invest in an option. You may also want to think about whether an investment in a particular option, along with your other investments, will help you achieve your financial goals. Expenses to pay for the administration of your plan(s) may be paid directly by you through the reduction of your account balance and reflected in the Plan Transaction Detail section of your quarterly statement. For the preceding quarter, plan administration expenses may also be paid from the total annual operating expenses of one or more of the plan's designated investment alternatives.

Portions of this statement provide information about specific transactions that have occurred during the quarter. Other portions of the statement provide information about the total number of units or shares held in your account, gains and losses during the quarter, account balances and other account related information. Each entity listed in this statement may contract with other entities for services related to the described activities. Annuity products are issued by TIAA (Teachers Insurance and Annuity Association), New York, NY.

Transactions in securities, including variable annuities, are effected by TIAA-CREF Individual & Institutional Services, LLC ("TC Services").

Account information for TIAA-CREF fixed and variable annuities is provided by TIAA as issuer, or by TIAA on behalf of CREF as issuer.

Your right to direct investments or transfer funds may be subject to certain limitations and/or restrictions under your employer's plan, if applicable and the terms of any funding options. Contact your Benefits Manager or call TIAA-CREF at 800 842-2776 if you have questions.

Personalized Rate of Return is an estimate of the performance of the assets in your retirement portfolio maintained by TIAA-CREF during the period specified. The figure: (1) includes expenses, interest and dividend payments; (2) includes contributions and withdrawals, weighted by the number of days between the date of contribution or withdrawal and the end of the quarter; (3) excludes the performance of products purchased through TIAA-CREF's brokerage window and retirement healthcare program; and (4) may be different from the return of the individual funds or other investment options included in the portfolio. If your retirement portfolio included cash outflows or inflows the figure may differ from your actual rate of return depending on market volatility following these cash flows. The Personalized Rate of Return is based on the Modified Dietz Method of evaluating performance. Past performance is not a guarantee of future results.

Retirement Income Projection Assumptions: Sample Lifetime Retirement Monthly Income at age 65 is not based upon your current asset allocation. It is based on your ending balance (excluding Minimum Distribution Option contracts and Transfer Payout Annuity contracts that are withdrawn in cash or transferred to other financial institutions) from your Portfolio Summary and does not consider assets outside those identified in this Quarterly Report. The Ending Balance is projected to grow according to the following assumptions: the 12-month average of your total employer and personal contributions shown in the chart, each projected to grow 3% annually (rounded to the nearest year, using your birthday) reflecting assumed inflation increases, as well as a non-guaranteed hypothetical annual growth rate of 6% until age 65. Accumulations in Interest Only contracts are assumed to remain at their current levels.

Sample Lifetime Retirement Monthly Income is based on using a single life annuity with a 10 year guarantee period starting at age 65. The amount of lifetime income reflects an annuity payout rate based upon an assumed interest rate of 4% and the mortality assumptions used in computing current total income under TIAA pension payout annuities. This projected income at retirement was discounted 3% annually to reflect the income amount in today's dollars. Your actual account performance will differ, and may be higher or lower. These charts are for informational and educational purposes only and do not constitute advice. Sample values shown are estimates and not guarantees and do not reflect federal/state taxes or investment fees and charges.

MARYANNE ALLEGRO
41 METOXIT RD
EAST FALMOUTH MA 02536-7720



FINANCIAL SERVICES
730 Third Avenue New York, NY 10017-3206

Quarterly Retirement Portfolio Statement

July 01, 2013 - September 30, 2013

For
MARYANNE ALLEGRO

Customer Service

Website:	tiaa-cref.org
Automated 24-hour Information and Personal Assistance (Español disponible)	800 842-2252
Hearing Impaired (TTY phone users only)	800 842-2755
Monday through Friday, 8:00 a.m. - 10:00 p.m. (ET)	
Saturday, 9:00 a.m. - 6:00 p.m. (ET)	
To view your most current account information, go to our website at tiaa-cref.org and log-in with your user ID and password or call our 24-hour automated system.	

Portfolio Summary

	This Period	Year-to-Date
Beginning Balance	\$61,580.68	\$61,099.26
Additions	1,216.95	3,853.67
Reductions	0.00	-5,996.21
Gain/Loss	3,433.30	7,274.21
Ending Balance	\$66,230.93	\$66,230.93

Retirement Income Projection

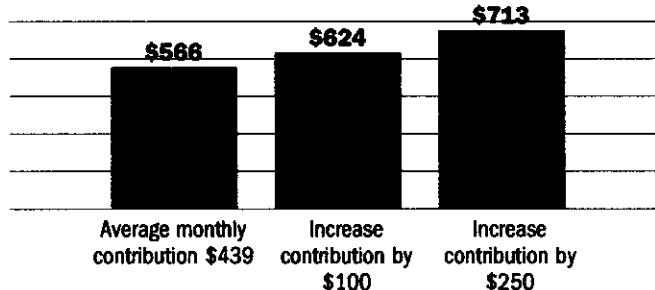
As part of your retirement savings planning, have you considered how much you need to retire? Saving a little more now can add up by the time you retire. **These charts are purely hypothetical and do not illustrate past or projected performance.**

What can you expect from Retirement Income?

Average Monthly Contribution	Monthly Contribution Increased by	Sample Lifetime Retirement Monthly Income at Age 65
\$439	\$0	\$566
\$539	\$100	\$624
\$689	\$250	\$713

To raise your savings rate or further personalize the retirement income projection, visit us online at tiaa-cref.org or call TIAA-CREF at 800-842-2252. Recent changes to your contribution amounts may not be reflected on this statement.

Example of Monthly Income at Age 65



Message Board

Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among the investment choices within your account are also shown as Additions and Reductions.

Fast and convenient on-line delivery of your TIAA-CREF Quarterly Retirement Portfolio Statement is only a click away. Eliminate mailing time and paper clutter by signing up for eDelivery. Visit us at www.tiaa-cref.org/eDelivery.

Please refer to the back of this statement for Glossary Terms.

Quarterly Retirement Portfolio Statement

MARYANNE ALLIEGRO

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Name Mark C. Alliegro

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

This Period
Year-to-Date

5.5%
12.5% This figure is an estimate of the performance of the assets in your retirement portfolio, as reflected on this statement, that are maintained at TIAA-CREF during the period(s) specified. Past performance is not a guarantee of future results. Please refer to the Disclosures Section for more information.

Personalized Rate of Return

Asset Allocation Summary

	Current Percent	Asset Class	Current Value
	34%	Guaranteed	\$22,776.92
	66%	Equities	43,454.01
	100%	Total	\$66,230.93

If you are invested in more than one asset class, the Asset Allocation percentages may not be exact due to rounding.

Activity Summary by Asset Class

Asset Class/ Investment	Beginning Balance as of 07/01/2013	Additions	Reductions	Gain/Loss	Ending Balance as of 09/30/2013
Guaranteed					
TIAA Traditional	\$22,532.17	\$0.00	\$0.00	\$244.75	\$22,776.92
Equities					
CREF Stock	\$23,039.94	\$730.17	\$0.00	\$1,758.04	\$25,528.15
CREF Growth	16,008.57	486.78	0.00	1,430.51	17,925.86
Total Equities	\$39,048.51	\$1,216.95	\$0.00	\$3,188.55	\$43,454.01
Total Account Value	\$61,580.68	\$1,216.95	\$0.00	\$3,433.30	\$66,230.93

Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among your investment choices are also shown as Additions and Reductions. For example, if you transferred money from one investment choice to another, the money going into an account is an Addition and the money leaving an account is a Reduction. Other adjustments to your portfolio are also included in the Additions and Reductions total.

To view current performance for your specific investments, log in to your account at tiaa-cref.org or you can visit www.tiaa-cref.org/performance for general performance information.

Portfolio Breakdown

	Beginning Balance as of 07/01/2013	Ending Balance as of 09/30/2013
Plans		
MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN	\$39,483.59	\$43,893.63
LOUISIANA PUBLIC INSTITUTIONS OPTIONAL RETIREMENT PLAN	19,217.72	19,426.15
UNIVERSITY SYSTEM OF MD OPTIONAL RETIREMENT PLAN	2,879.37	2,911.15
Total Plans	\$61,580.68	\$66,230.93

Quarterly Retirement Portfolio Statement

MARYANNE ALLIEGRO

July 01, 2013 - September 30, 2013

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Mark C. Allegro

SCHEDULE II ASSETS AND "UNEARNED" INCOME

Portfolio Breakdown (Continued)

	Beginning Balance as of 07/01/2013	Ending Balance as of 09/30/2013
Annuities In Your Plans		
TIAA	\$435.08	\$439.62
CREF	39,048.51	43,454.01
TIAA	19,217.72	19,426.15
TIAA	2,879.37	2,911.15
Total Annuities In Your Plans	\$61,580.68	\$66,230.93

MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contracts (TIAA/CREF)		
Employer	100%	\$43,893.63
Total	100%	\$43,893.63

Plan Investment Detail

Asset Class/ Investment	Beginning Balance as of 07/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 09/30/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional	\$435.08	n/a	n/a	\$439.62	n/a	n/a
Equities						
CREF Stock	\$23,039.94	78.5197	\$293.4288	\$25,528.15	80.8807	\$315.6272
CREF						
CREF Growth	16,008.57	171.7315	93.2186	17,925.86	176.6512	101.4760
CREF						
Total Equities	\$39,048.51			\$43,454.01		
Total Pre-Tax Investments	\$39,483.59			\$43,893.63		
Total	\$39,483.59			\$43,893.63		

Plan Transaction Detail

Processing Date	Effective Date	Transaction Description	Investment	Number of Units/Shares	Unit/Share Price	Amount
Additions						
		Employer				
07/10/2013	07/10/2013	Contribution	CREF Stock	0.8077	\$301.3188	\$243.39
		CREF				
07/10/2013	07/10/2013	Contribution	CREF Growth	1.6846	96.3207	162.26
		CREF				
08/05/2013	08/05/2013	Contribution	CREF Stock	0.7764	313.4978	243.39
		CREF				
08/05/2013	08/05/2013	Contribution	CREF Growth	1.6218	100.0491	162.26
		CREF				
09/12/2013	09/12/2013	Contribution	CREF Stock	0.7769	313.2694	243.39
		CREF				

**Quarterly Retirement
Portfolio Statement**

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July 01, 2013 - September 30, 2013

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Mark C. Allegro

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN (Continued)**Plan Transaction Detail (Continued)**

Processing Date	Effective Date	Transaction Description	Investment	Number of Units/Shares	Unit/Share Price	Amount
Additions						
09/12/2013	09/12/2013	Employer Contribution	CREF Growth	1.6133	100.5747	162.26
		CREF Growth				\$1,216.95
		Total Employer				\$1,216.95
Total Additions						
To view or change your current asset allocation and allocation of future contributions visit tiaa-cref.org and sign in to the secure portion of the website.						

LOUISIANA PUBLIC INSTITUTIONS OPTIONAL RETIREMENT PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contract (TIAA)		
Employee	100%	\$10,362.38
Employer	100%	9,063.77
Total Annuity Contract (TIAA)	100%	\$19,426.15
Total	100%	\$19,426.15

Plan Investment Detail

Asset Class/ Investment	Beginning Balance as of 07/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 09/30/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional	\$19,217.72	n/a	n/a	\$19,426.15	n/a	n/a
Total Pre-Tax Investments	\$19,217.72			\$19,426.15		
Total	\$19,217.72			\$19,426.15		

Plan Transaction Detail

There are no transactions this quarter.

UNIVERSITY SYSTEM OF MD OPTIONAL RETIREMENT PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contract (TIAA)		
Employer	100%	\$2,911.15
Total	100%	\$2,911.15

Quarterly Retirement Portfolio Statement

MARYANNE ALLIEGRO

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UNIVERSITY SYSTEM OF MD OPTIONAL RETIREMENT PLAN

(Continued)

Plan Investment Detail

Asset Class/ Investment	Beginning Balance as of 07/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 09/30/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional	\$2,879.37	n/a	n/a	\$2,911.15	n/a	n/a
Total Pre-Tax Investments	\$2,879.37			\$2,911.15		
Total	\$2,879.37			\$2,911.15		

Plan Transaction Detail

There are no transactions this quarter.

The Annuity Contracts are shown to provide you with an alternative view of your investments with TIAA-CREF.

ANNUITY CONTRACTS IN YOUR PLANS

Investment Summary by Contract

Contract/ Investment	Beginning Balance as of 07/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 09/30/2013	# of Units/ Shares	Unit/Share Price
TIAA						
TIAA Traditional	\$435.08	n/a	n/a	\$439.62	n/a	n/a
Total TIAA	\$435.08			\$439.62		
CREF						
CREF Stock	\$23,039.94	78.5197	\$293.4288	\$25,528.15	80.8807	\$315.6272
CREF Growth	16,008.57	171.7315	93.2186	17,925.86	176.6512	101.4760
Total CREF	\$39,048.51			\$43,454.01		
TIAA						
TIAA Traditional	\$19,217.72	n/a	n/a	\$19,426.15	n/a	n/a
Total TIAA	\$19,217.72			\$19,426.15		
TIAA						
TIAA Traditional	\$2,879.37	n/a	n/a	\$2,911.15	n/a	n/a
Total TIAA	\$2,879.37			\$2,911.15		
Total	\$61,580.68			\$66,230.93		

Glossary

TIAA Traditional: A guaranteed annuity account, guarantees your principal and contractually specified interest rate. It also offers the opportunity for higher returns through additional amounts above the guaranteed interest rate, which may be declared annually by the TIAA Board of Trustees. Additional amounts are not guaranteed for future years. If you have a Retirement Annuity contract, TIAA Traditional does not allow for lump sum withdrawals or transfers. All cash withdrawals and transfers must be made in 10 annual installments. If you have a Group Retirement Annuity or Retirement Choice contract, lump-sum cash withdrawals are available from TIAA Traditional within 120 days after termination of employment and are subject to a 2.5% surrender charge. For Group Retirement Annuity contracts, all other withdrawals and transfers must be made in 10 annual installments (or five annual installments for withdrawals after termination of employment). And for Retirement Choice contracts, they must be spread over 84 months (7 years).

Name Mark C. Allegro

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Quarterly Retirement Portfolio Statement

MARYANNE ALLIEGRO

July 01, 2013 - September 30, 2013

Glossary (Continued)

Effective Date: The date as of which the contribution unit/share price, transfer or payment began or ceased participating in the investment results of the investment option or account.

Processing Date: The date on which the transaction (contribution, transfer or payment) is processed by TIAA-CREF. We will furnish you, upon written request, the time when the transaction took place. "Processed" means when amounts are credited (for purchase) or debited (for redemptions) to you. Any transactions processed after the close of this quarter will appear on your next quarterly statement.

Gain/Loss: The change in portfolio balances due to : (i) Unrealized Gains/Losses from investment holdings (including variable annuity accounts) after expenses are deducted, (ii) Other Gains/Losses and (iii) TIAA Interest. Only Other Gains/Losses are shown in the Transaction Detail sections of this statement.

Portfolio Summary: A high-level overview that totals all your retirement and savings assets together and shows you how this value changed from the beginning July 01, 2013, and from the beginning of the year to, September 30, 2013.

Asset Allocation: A breakdown of how your total retirement portfolio is allocated across six major asset classes - equities, fixed income, real estate, multi-asset, money market and guaranteed. For the illustrative pie chart asset class percentages may be rounded to the nearest full number percentage.

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Disclosures

Please review your statement and let us know promptly of any inaccuracies. To protect your rights, you should also notify us in writing. Unless we receive written notification within 60 days, we will assume our information is correct.

With respect to financial services provided by TIAA-CREF Individual & Institutional Services, LLC and Teachers Personal Investors Services, Inc., please note that FINRA BrokerCheck is available to help you check the background of brokers and brokerage firms. FINRA has published an investor brochure that includes information regarding FINRA BrokerCheck. To learn more, please visit www.finra.org or call (800) 289-9999.

Diversified and Well-Balanced Portfolio: To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly.

It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals. For more information or additional resources regarding individual investing and diversification, visit the Internet website of the Department of Labor at www.dol.gov/ebsa/investing.html.

If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk. In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk.

Fees and Expenses: The cumulative effect of fees and expenses can substantially reduce the growth of your retirement savings. Visit the Department of Labor's Web site for an example showing the long-term effect of fees and expenses at http://www.dol.gov/ebsa/publications/401k_employee.html. Fees and expenses are only one of many factors to consider when you decide to invest in an option. You may also want to think about whether an investment in a particular option, along with your other investments, will help you achieve your financial goals. Expenses to pay for the administration of your plan(s) may be paid directly by you through the reduction of your account balance and reflected in the Plan Transaction Detail section of your quarterly statement. For the preceding quarter, plan administration expenses may also be paid from the total annual operating expenses of one or more of the plan's designated investment alternatives.

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Transactions in securities, including variable annuities, are effected by TIAA-CREF Individual & Institutional Services, LLC ("TC Services").

Quarterly Retirement Portfolio Statement

MARYANNE ALLIEGRO

July 01, 2013 - September 30, 2013

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Mark C. Alliegro

Name

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Disclosures (Continued)

Account information for TIAA-CREF fixed and variable annuities is provided by TIAA as issuer, or by TIAA on behalf of CREF as issuer.

Your right to direct investments or transfer funds may be subject to certain limitations and/or restrictions under your employer's plan, if applicable and the terms of any funding options. Contact your Benefits Manager or call TIAA-CREF at 800 842-2776 if you have questions.

Personalized Rate of Return is an estimate of the performance of the assets in your retirement portfolio maintained by TIAA-CREF during the period specified. The figure: (1) includes expenses, interest and dividend payments; (2) includes contributions and withdrawals, weighted by the number of days between the date of contribution or withdrawal and the end of the quarter; (3) excludes the performance of products purchased through TIAA-CREF's brokerage window and retirement healthcare program; and (4) may be different from the return of the individual funds or other investment options included in the portfolio. If your retirement portfolio included cash outflows or inflows the figure may differ from your actual rate of return depending on market volatility following these cash flows. The Personalized Rate of Return is based on the Modified Dietz Method of evaluating performance. Past performance is not a guarantee of future results.

TIAA-CREF Individual & Institutional Services, LLC (Services) is a broker/dealer registered with the Securities and Exchange Commission (SEC) and must comply with SEC Net Capital Rule 15c3-1. At August 31, 2013, Services had net capital of \$56,191,950 which exceeded its required net capital of \$2,306,652 by \$53,885,298. Services' Unaudited Statement of Financial Condition as of June 30, 2013 can be obtained free of charge by visiting tiaa-cref.org and clicking the link at the bottom of the page or by calling 800 842-2252.

Retirement Income Projection Assumptions: Sample Lifetime Retirement Monthly Income at age 65 is not based upon your current asset allocation. It is based on your ending balance (excluding Minimum Distribution Option contracts and Transfer Payout Annuity contracts that are withdrawn in cash or transferred to other financial institutions) from your Portfolio Summary and does not consider assets outside those identified in this Quarterly Report. The Ending Balance is projected to grow according to the following assumptions: the 12-month average of your total employer and personal contributions shown in the chart, each projected to grow 3% annually (rounded to the nearest year, using your birthday) reflecting assumed inflation increases, as well as a non-guaranteed hypothetical annual growth rate of 6% until age 65. Accumulations in Interest Only contracts are assumed to remain at their current levels.

Sample Lifetime Retirement Monthly Income is based on using a single life annuity with a 10 year guarantee period starting at age 65. The amount of lifetime income reflects an annuity payout rate based upon an assumed interest rate of 4% and the mortality assumptions used in computing current total income under TIAA pension payout annuities. This projected income at retirement was discounted 3% annually to reflect the income amount in today's dollars. Your actual account performance will differ, and may be higher or lower. These charts are for informational and educational purposes only and do not constitute advice. Sample values shown are estimates and not guarantees and do not reflect federal/state taxes or investment fees and charges.

MARYANNE ALLEGRO
41 METOXIT RD
EAST FALMOUTH MA 02536-7720



FINANCIAL SERVICES
730 Third Avenue New York, NY 10017-3208

Quarterly Retirement Portfolio Statement

October 01, 2013 - December 31, 2013

For
MARYANNE ALLEGRO

Customer Service

Website:	tiaa-cref.org
Automated 24-hour Information and Personal Assistance (Español disponible)	800 842-2252
Hearing Impaired (TTY phone users only)	800 842-2755
Monday through Friday, 8:00 a.m. - 10:00 p.m. (ET)	
Saturday, 9:00 a.m. - 6:00 p.m. (ET)	
To view your most current account information, go to our website at tiaa-cref.org and log-in with your user ID and password or call our 24-hour automated system.	

Portfolio Summary

	This Period	Year-to-Date
Beginning Balance	\$66,230.93	\$61,098.28
Additions	1,419.77	5,273.44
Reductions	0.00	-5,996.21
Gain/Loss	4,637.85	11,912.06
Ending Balance	\$72,288.55	\$72,288.55

Retirement Income Projection

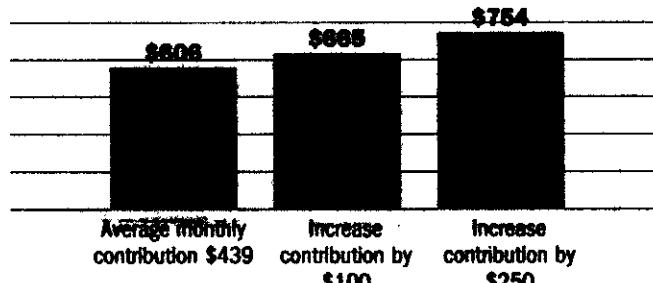
As part of your retirement savings planning, have you considered how much you need to retire? Saving a little more now can add up by the time you retire. These charts are purely hypothetical and do not illustrate past or projected performance.

What can you expect from Retirement Income?

Average Monthly Contribution	Monthly Contribution Increased by	Sample Lifetime Retirement Monthly Income at Age 65
\$439	\$0	\$606
\$539	\$100	\$665
\$689	\$250	\$754

To raise your savings rate or further personalize the retirement income projection, visit us online at tiaa-cref.org or call TIAA-CREF at 800-842-2252. Recent changes to your contribution amounts may not be reflected on this statement.

Example of Monthly Income at Age 65



Message Board

Tax forms will be mailed and available online by January 31, 2014. To access online, log in at tiaa-cref.org, click: MANAGE MY PORTFOLIO / STATEMENTS & REPORTS / TAX REPORTING FORMS. Please note that a Form 1099 will be generated only if you have a taxable transaction related to your account.

Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among the investment choices within your account are also shown as Additions and Reductions.

Please refer to the back of this statement for Glossary Terms.

Quarterly Retirement Portfolio Statement

MARYANNE ALLEGRO

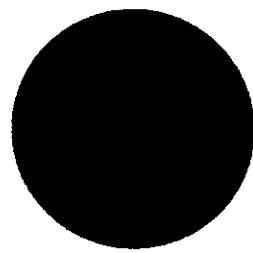
October 01, 2013 - December 31, 2013

Personalized Rate of Return

This Period
Year-to-Date

6.9% This figure is an estimate of the performance of the assets in
20.3% your retirement portfolio, as reflected on this statement, that
are maintained at TIAA-CREF during the period(s) specified.
Past performance is not a guarantee of future results. Please
refer to the Disclosures Section for more information.

Asset Allocation Summary



If you are invested in more than one asset class, the Asset Allocation percentages may not be exact due to rounding.

	Current Percent	Asset Class	Current Value
	32%	Guaranteed	\$23,024.36
	68%	Equities	49,264.19
	100%	Total	\$72,288.55

Activity Summary by Asset Class

Asset Class/ Investment	Beginning Balance as of 10/01/2013	Additions	Reductions	Gain/Loss	Ending Balance as of 12/31/2013
Guaranteed					
TIAA Traditional	\$22,776.92	\$0.00	\$0.00	\$247.44	\$23,024.36
Equities					
CREF Stock	\$25,528.15	\$851.86	\$0.00	\$2,284.95	\$28,664.96
CREF Growth	17,925.86	567.91	0.00	2,105.46	20,599.23
Total Equities	\$43,454.01	\$1,419.77	\$0.00	\$4,390.41	\$49,264.19
Total Account Value	\$66,230.93	\$1,419.77	\$0.00	\$4,637.85	\$72,288.55

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To view current performance for your specific investments, log in to your account at tiaa-cref.org or you can visit www.tiaa-cref.org/performance for general performance information.

Portfolio Breakdown

	Beginning Balance as of 10/01/2013	Ending Balance as of 12/31/2013
Plans		
MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN	\$43,893.63	\$49,708.45
LOUISIANA PUBLIC INSTITUTIONS OPTIONAL RETIREMENT PLAN	19,426.15	19,636.86
UNIVERSITY SYSTEM OF MD OPTIONAL RETIREMENT PLAN	2,911.15	2,943.24
Total Plans	\$66,230.93	\$72,288.55

Quarterly Retirement Portfolio Statement

MARYANNE ALLEGRO

October 01, 2013 - December 31, 2013

Portfolio Breakdown (Continued)

	Beginning Balance as of 10/01/2013	Ending Balance as of 12/31/2013
Annuities In Your Plans		
TIAA [REDACTED]	\$439.62	\$444.26
CREF [REDACTED]	43,454.01	49,264.19
TIAA [REDACTED]	19,426.15	19,636.86
TIAA [REDACTED]	2,911.15	2,943.24
Total Annuities In Your Plans	\$66,230.93	\$72,288.55

MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contracts (TIAA [REDACTED] CREF [REDACTED])		
Employer	100%	\$49,708.45
Total	100%	\$49,708.45

Plan Investment Detail

Asset Class/ Investment	Beginning Balance as of 10/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 12/31/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional	\$439.62	n/a	n/a	\$444.26	n/a	n/a
Equities						
CREF Stock	\$25,528.15	80.8807	\$315.6272	\$28,664.96	83.4716	\$343.4097
CREF Growth	17,925.86	176.6512	101.4760	20,599.23	181.9659	113.2038
Total Equities	\$43,454.01			\$49,264.19		
Total Pre-Tax Investments	\$43,893.63			\$49,708.45		
Total	\$43,893.63			\$49,708.45		

Plan Transaction Detail

Processing Date	Effective Date	Transaction Description	Investment	Number of Units/Shares	Unit/Share Price	Amount
Additions						
10/07/2013	10/07/2013	Employer Contribution	CREF Stock	0.7726	\$315.0366	\$243.39
10/07/2013	10/07/2013	CREF [REDACTED]	CREF Growth	1.6026	101.2483	162.26
11/13/2013	11/13/2013	Contribution	CREF Stock	1.1059	330.1061	365.08
11/13/2013	11/13/2013	CREF [REDACTED]	CREF Growth	2.2711	107.1675	243.39
12/27/2013	12/27/2013	Contribution	CREF Stock	0.7124	341.6585	243.39
		CREF [REDACTED]				

Quarterly Retirement Portfolio Statement

MARYANNE ALLEGRO

October 01, 2013 - December 31, 2013

MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN (Continued)

Plan Transaction Detail (Continued)

Processing Date	Effective Date	Transaction Description	Investment	Number of Units/Shares	Unit/Share Price	Amount
Additions						
12/27/2013	12/27/2013	Employer Contribution CREF Growth Total Employer	CREF Growth	1.4410	112.6044	162.26
						\$1,419.77
		Total Additions				\$1,419.77
		To view or change your current asset allocation and allocation of future contributions visit tiaa-cref.org and sign in to the secure portion of the website.				

LOUISIANA PUBLIC INSTITUTIONS OPTIONAL RETIREMENT PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contract (TIAA [REDACTED])		
Employee	100%	\$10,474.74
Employer	100%	9,162.12
Total Annuity Contract (TIAA [REDACTED])	100%	\$19,636.86
Total	100%	\$19,636.86

Plan Investment Detail

Asset Class/ Investment	Beginning Balance as of 10/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 12/31/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional [REDACTED]	\$19,426.15	n/a	n/a	\$19,636.86	n/a	n/a
Total Pre-Tax Investments	\$19,426.15			\$19,636.86		
Total	\$19,426.15			\$19,636.86		

Plan Transaction Detail

There are no transactions this quarter.

UNIVERSITY SYSTEM OF MD OPTIONAL RETIREMENT PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contract (TIAA [REDACTED])		
Employer	100%	\$2,943.24
Total	100%	\$2,943.24

Quarterly Retirement Portfolio Statement

October 01, 2013 - December 31, 2013

MARYANNE ALLEGRO

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Mark C. Allegro

Name

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

UNIVERSITY SYSTEM OF MD OPTIONAL RETIREMENT PLAN

(Continued)

Plan Investment Detail

Asset Class/ Investment	Beginning Balance as of 10/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 12/31/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional	\$2,911.15	n/a	n/a	\$2,943.24	n/a	n/a
Total Pre-Tax Investments	\$2,911.15			\$2,943.24		
Total	\$2,911.15			\$2,943.24		

Plan Transaction Detail

There are no transactions this quarter.

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ANNUITY CONTRACTS IN YOUR PLANS

Investment Summary by Contract

Contract/ Investment	Beginning Balance as of 10/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 12/31/2013	# of Units/ Shares	Unit/Share Price
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Total TIAA	\$439.62			\$444.26		
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CREF Stock	\$25,528.15	80.8807	\$315.6272	\$28,664.96	83.4716	\$343.4097
CREF Growth	17,925.86	176.6512	101.4760	20,599.23	181.9659	113.2038
Total CREF	\$43,454.01			\$49,264.19		
TIAA						
TIAA Traditional	\$19,426.15	n/a	n/a	\$19,636.86	n/a	n/a
Total TIAA	\$19,426.15			\$19,636.86		
TIAA						
TIAA Traditional	\$2,911.15	n/a	n/a	\$2,943.24	n/a	n/a
Total TIAA	\$2,911.15			\$2,943.24		
Total	\$68,230.93			\$72,268.55		

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October 01, 2013 - December 31, 2013

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It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals. For more information or additional resources regarding individual investing and diversification, visit the Internet website of the Department of Labor at www.dol.gov/ebsa/investing.html.

If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk. In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk.

Fees and Expenses: The cumulative effect of fees and expenses can substantially reduce the growth of your retirement savings. Visit the Department of Labor's Web site for an example showing the long-term effect of fees and expenses at http://www.dol.gov/ebsa/publications/401k_employee.html. Fees and expenses are only one of many factors to consider when you decide to invest in an option. You may also want to think about whether an investment in a particular option, along with your other investments, will help you achieve your financial goals. Expenses to pay for the administration of your plan(s) may be paid directly by you through the reduction of your account balance and reflected in the Plan Transaction Detail section of your quarterly statement. For the preceding quarter, plan administration expenses may also be paid from the total annual operating expenses of one or more of the plan's designated investment alternatives.

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Quarterly Retirement Portfolio Statement

October 01, 2013 - December 31, 2013

MARYANNE ALLEGRO

Disclosures (Continued)

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Your right to direct investments or transfer funds may be subject to certain limitations and/or restrictions under your employer's plan, if applicable and the terms of any funding options. Contact your Benefits Manager or call TIAA-CREF at 800 842-2776 if you have questions.

Personalized Rate of Return is an estimate of the performance of the assets in your retirement portfolio maintained by TIAA-CREF during the period specified. The figure: (1) includes expenses, interest and dividend payments; (2) includes contributions and withdrawals, weighted by the number of days between the date of contribution or withdrawal and the end of the quarter; (3) excludes the performance of products purchased through TIAA-CREF's brokerage window and retirement healthcare program; and (4) may be different from the return of the individual funds or other investment options included in the portfolio. If your retirement portfolio included cash outflows or inflows the figure may differ from your actual rate of return depending on market volatility following these cash flows. The Personalized Rate of Return is based on the Modified Dietz Method of evaluating performance. Past performance is not a guarantee of future results.

Retirement Income Projection Assumptions: Sample Lifetime Retirement Monthly Income at age 65 is not based upon your current asset allocation. It is based on your ending balance (excluding Minimum Distribution Option contracts and Transfer Payout Annuity contracts that are withdrawn in cash or transferred to other financial institutions) from your Portfolio Summary and does not consider assets outside those identified in this Quarterly Report. The Ending Balance is projected to grow according to the following assumptions: the 12-month average of your total employer and personal contributions shown in the chart, each projected to grow 3% annually (rounded to the nearest year, using your birthday) reflecting assumed inflation increases, as well as a non-guaranteed hypothetical annual growth rate of 6% until age 65. Accumulations in Interest Only contracts are assumed to remain at their current levels.

Sample Lifetime Retirement Monthly Income is based on using a single life annuity with a 10 year guarantee period starting at age 65. The amount of lifetime income reflects an annuity payout rate based upon an assumed interest rate of 4% and the mortality assumptions used in computing current total income under TIAA pension payout annuities. This projected income at retirement was discounted 3% annually to reflect the income amount in today's dollars. Your actual account performance will differ, and may be higher or lower. These charts are for informational and educational purposes only and do not constitute advice. Sample values shown are estimates and not guarantees and do not reflect federal/state taxes or investment fees and charges.





Financial Services

MARYANNE ALLIEGRO**Daily Statement**

As of 01/31/14

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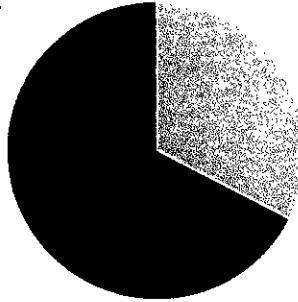
My Portfolio**\$71,050.72**

INVESTMENT	ASSET CLASS	UNITS/SHARES	PRICE	BALANCE	CHANGE SINCE 12/31/13
TIAA Traditional	Guaranteed	N/A	N/A	\$23,108.37	+\$84.01
CREF Stock	Equities	84.0051	\$330.9900	\$27,804.85	-\$860.11
CREF Growth	Equities	183.0422	\$110.0156	\$20,137.50	-\$461.73
			TOTAL	\$71,050.72	-\$1,237.83

Mark C. Allegro

Asset Class:

Name



ASSET CLASS	PERCENT	BALANCE	CHANGE SINCE 12/31/13
Guaranteed	32.52%	\$23,108.37	+\$84.01
Equities	67.48%	\$47,942.35	-\$1,321.84
TOTAL		\$71,050.72	-\$1,237.83

My Accounts**TEACHERS RETIREMENT SYSTEM OF LA - LOUISIANA PUBLIC INSTITUTIONS OPTIONAL RETIREMENT PLAN - Transfer Payout Annuity (as of 01/31/14)**

INVESTMENT	ASSET CLASS	UNITS/SHARES	PRICE	BALANCE	CHANGE SINCE 12/31/13
TIAA Traditional	Guaranteed	N/A	N/A	\$19,708.33	+\$71.47
			TOTAL	\$19,708.33	+\$71.47

UNIVERSITY SYSTEM OF MARYLAND - UNIVERSITY SYSTEM OF MD OPTIONAL RETIREMENT PLAN - Transfer Payout Annuity (as of 01/31/14)

INVESTMENT	ASSET CLASS	UNITS/SHARES	PRICE	BALANCE	CHANGE SINCE 12/31/13
TIAA Traditional	Guaranteed	N/A	N/A	\$2,954.17	+\$10.93

SCHEDULE II-ASSETS AND "UNEARNED" INCOME



TOTAL \$2,954.17 + \$10.93

MARINE BIOLOGICAL LABORATORY - MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN (as of
01/31/14)

INVESTMENT	ASSET CLASS	UNITS/SHARES	PRICE	BALANCE	CHANGE SINCE 12/31/13
TIAA Traditional	Guaranteed	N/A	N/A	\$445.87	+\$1.61
CREF Stock	Equities	84.0051	\$330.9900	\$27,804.85	-\$860.11
CREF Growth	Equities	183.0422	\$110.0156	\$20,137.50	-\$461.73
			TOTAL	\$48,388.22	-\$1,320.23

Performance Of Retirement Investments (as of 01/31/14)

CHANGE IN BALANCE AND PERSONAL RATE OF RETURN

DATE	BALANCE	CHANGE SINCE PRIOR QUARTER	RETURN SINCE PRIOR QUARTER	RETURN YTD
12/31/13	\$72,288.55	+ \$6,057.62	6.9%	20.3%
09/30/13	\$66,230.93	+ \$4,650.25	5.5%	12.5%
06/30/13	\$61,580.68	+ \$1,743.61	1.2%	6.6%
03/31/13	\$59,837.07	-\$1,262.19	5.4%	5.4%
12/31/12	\$61,099.26	+ \$1,996.47	1.0%	9.9%
09/30/12	\$59,102.79	+ \$3,292.42	3.7%	8.8%
06/30/12	\$55,810.37	+ \$357.97	-1.9%	5.0%
03/31/12	\$55,452.40	-\$900.03	7.0%	7.0%

LIFE TO DATE CONTRIBUTIONS

SOURCE	LIFE-TO-DATE CONTRIBUTIONS
Employee	\$3,692.37
Employer	\$35,800.00
TOTAL	\$39,492.37

Important note about your personal rate of return: Your personal rate of return is an estimate of the performance of all your Retirement Investments over the specified period. It's an estimate rather than an exact rate of return because we approximate the impact your cash flows have on your performance. The figure: (1) includes expenses, interest and dividend payments; (2) includes contributions and withdrawals, weighted by the number of days between the date of contribution or withdrawal and the end of the quarter; (3) excludes the performance of products purchased through TIAA-CREF's brokerage window and Retirement Healthcare Savings product; and (4) may be different from the return of the individual funds or other investment options included in the portfolio. If your retirement portfolio included cash outflows or inflows, the figure may differ from your actual rate of return depending on market volatility following these cash flows. Past performance is not a guarantee of future results.

Daily Statement Printed on 02/03/14 at 07:49 AM ET

TIAA-CREF Individual & Institutional Services, LLC, and Teachers Personal Investors Services, Inc., members FINRA distribute securities products.



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Name **Mark C. Allegro**

SCHEDULE II-ASSETS AND "UNEARNED" INCOME



FINANCIAL SERVICES

730 Third Avenue New York, NY 10017-3206

MARK C ALLIEGRO
41 METOXIT RD
EAST FALMOUTH MA 02536-7720

Quarterly Retirement Portfolio Statement

January 01, 2013 - March 31, 2013

For
MARK C ALLIEGRO

Customer Service

Website:	tiaa-cref.org
Automated 24-hour Information and Personal Assistance (Español disponible)	800 842-2252
Hearing Impaired (TTY phone users only)	800 842-2755
Monday through Friday, 8:00 a.m. - 10:00 p.m. (ET)	
Saturday, 9:00 a.m. - 6:00 p.m. (ET)	
To view your most current account information, go to our website at tiaa-cref.org and log-in with your user ID and password or call our 24-hour automated system.	

Portfolio Summary

	This Period	Year-to-Date
Beginning Balance	\$48,086.67	\$48,086.67
Additions	2,716.96	2,716.96
Gain/Loss	2,281.27	2,281.27
Ending Balance	\$53,084.90	\$53,084.90

Retirement Income Projection

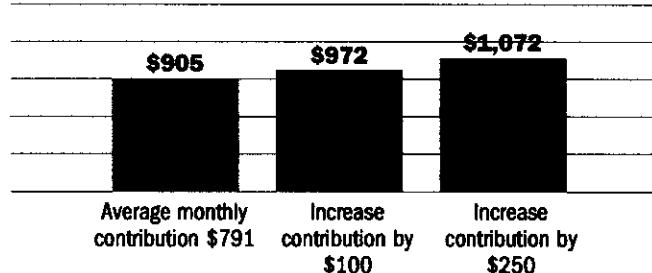
As part of your retirement savings planning, have you considered how much you need to retire? Saving a little more now can add up by the time you retire. **These charts are purely hypothetical and do not illustrate past or projected performance.**

To raise your savings rate or further personalize the retirement income projection, visit us online at tiaa-cref.org or call TIAA-CREF at 800-842-2252. Recent changes to your contribution amounts may not be reflected on this statement.

What can you expect from Retirement Income?

Average Monthly Contribution	Monthly Contribution Increased by	Sample Lifetime Retirement Monthly Income at Age 65
\$791	\$0	\$905
\$891	\$100	\$972
\$1,041	\$250	\$1,072

Example of Monthly Income at Age 65



Message Board

If you are invested in mutual funds in your retirement plans or IRAs, please review the frequent trading policy at www.tiaa-cref.org/tradingpolicy.

Please refer to the back of this statement for Glossary Terms.

Quarterly Retirement Portfolio Statement

MARK C ALLIEGRO

January 01, 2013 - March 31, 2013

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Name Mark C. Allegro

Asset Class/ Investment	Beginning Balance as of 01/01/2013	Additions	Reductions	Gain/Loss	Ending Balance as of 03/31/2013
Guaranteed					
TIAA Traditional	\$12,554.78	\$543.40	\$0.00	\$120.46	\$13,218.64
Equities					
CREF Stock	\$24,981.37	\$1,358.48	\$0.00	\$2,103.62	\$28,443.47
Real Estate					
TIAA Real Estate	\$3,472.64	\$271.68	\$0.00	\$67.43	\$3,811.75
Fixed Income					
CREF Bond Market	\$7,077.88	\$543.40	\$0.00	-\$10.24	\$7,611.04
Total Account Value	\$48,086.67	\$2,716.96	\$0.00	\$2,281.27	\$53,084.90

To view current performance for your specific investments, log in to your account at tiaa-cref.org or you can visit www.tiaa-cref.org/performance for general performance information.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

	Beginning Balance as of 01/01/2013	Ending Balance as of 03/31/2013
Plans		
MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN	\$35,681.86	\$40,070.36
NATIONAL SCIENCE FOUNDATION 403(B) TDA PLAN	12,404.81	13,014.54
Total Plans	\$48,086.67	\$53,084.90
Annuities In Your Plans		
TIAA [REDACTED]	\$5,718.75	\$5,773.43
CREF [REDACTED]	6,686.06	7,241.11
TIAA [REDACTED]	10,308.67	11,256.96
CREF [REDACTED]	25,373.19	28,813.40
Total Annuities In Your Plans	\$48,086.67	\$53,084.90

**Quarterly Retirement
Portfolio Statement**

MARK C ALLIEGRO

January 01, 2013 - March 31, 2013

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MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contracts (TIAA-CREF)		
Employer	100%	\$40,070.36
Total	100%	\$40,070.36

Plan Investment Detail

Asset Class/ Investment	Beginning Balance as of 01/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 03/31/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional	\$6,836.03	n/a	n/a	\$7,445.21	n/a	n/a
TIAA Real Estate						
Equities						
CREF Stock	\$18,295.31	68.1025	\$268.6438	\$21,202.36	72.8740	\$290.9454
CREF Bond Market						
Real Estate						
TIAA Real Estate	\$3,472.64	12.7404	\$272.5692	\$3,811.75	13.7265	\$277.6926
TIAA Bond Fund						
Fixed Income						
CREF Bond Market	\$7,077.88	64.4946	\$109.7437	\$7,611.04	69.4610	\$109.5728
Total Pre-Tax Investments	\$35,681.86			\$40,070.36		
Total	\$35,681.86			\$40,070.36		

Plan Transaction Detail

Processing Date	Effective Date	Transaction Description	Investment	Number of Units/Shares	Unit/Share Price	Amount
Additions						
01/22/2013	01/22/2013	Employer Contribution	TIAA Traditional	n/a	n/a	\$135.85
01/22/2013	01/22/2013	TIAA Traditional				
01/22/2013	01/22/2013	Contribution	TIAA Real Estate	0.2478	274.1386	67.92
01/22/2013	01/22/2013	TIAA Real Estate				
01/22/2013	01/22/2013	Contribution	CREF Stock	1.2124	280.1286	339.62
01/22/2013	01/22/2013	CREF Stock				
01/22/2013	01/22/2013	Contribution	CREF Bond Market	1.2392	109.6247	135.85
01/22/2013	01/22/2013	CREF Bond Market				
02/01/2013	02/01/2013	Contribution	TIAA Traditional	n/a	n/a	135.85
02/01/2013	02/01/2013	TIAA Traditional				
02/01/2013	02/01/2013	Contribution	TIAA Real Estate	0.2472	274.7067	67.92
02/01/2013	02/01/2013	TIAA Real Estate				
02/01/2013	02/01/2013	Contribution	CREF Stock	1.1956	284.0611	339.62
02/01/2013	02/01/2013	CREF Stock				
02/01/2013	02/01/2013	Contribution	CREF Bond Market	1.2472	108.9223	135.85
02/01/2013	02/01/2013	CREF Bond Market				
03/04/2013	03/04/2013	Contribution	TIAA Traditional	n/a	n/a	135.85
03/04/2013	03/04/2013	TIAA Traditional				
03/04/2013	03/04/2013	Contribution	TIAA Real Estate	0.2465	275.5583	67.92
03/04/2013	03/04/2013	TIAA Real Estate				

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

**Quarterly Retirement
Portfolio Statement**

MARK C ALLIEGRO

January 01, 2013 - March 31, 2013

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MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN *(Continued)*

Plan Transaction Detail (Continued)

Processing Date	Effective Date	Transaction Description	Investment	Number of Units/Shares	Unit/Share Price	Amount
Additions						
03/04/2013	03/04/2013	Employer Contribution CREF [REDACTED]	CREF Stock	1.1962	283.9102	339.62
03/04/2013	03/04/2013	Contribution CREF [REDACTED]	CREF Bond Market	1.2402	109.5424	135.85
03/28/2013	03/28/2013	Contribution TIAA [REDACTED]	TIAA Traditional	n/a	n/a	135.85
03/28/2013	03/28/2013	Contribution TIAA [REDACTED]	TIAA Real Estate	0.2446	277.6926	67.92
03/28/2013	03/28/2013	Contribution CREF [REDACTED]	CREF Stock	1.1673	290.9454	339.62
03/28/2013	03/28/2013	Contribution CREF [REDACTED]	CREF Bond Market	1.2398	109.5728	135.85
Total Employer						\$2,716.96
Total Additions						\$2,716.96
To view or change your current asset allocation and allocation of future contributions visit tiaa cref.org and sign in to the secure portion of the website.						

NATIONAL SCIENCE FOUNDATION 403(B) TDA PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contracts (TIAA [REDACTED] CREF [REDACTED])		
Employer	100%	\$13,014.54
Total	100%	\$13,014.54

Plan Investment Detail

Asset Class/ Investment	Beginning Balance as of 01/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 03/31/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional	\$5,718.75	n/a	n/a	\$5,773.43	n/a	n/a
TIAA [REDACTED]						
Equities						
CREF Stock	\$6,686.06	24.8882	\$268.6438	\$7,241.11	24.8882	\$290.9454
CREF [REDACTED]						
Total Pre-Tax Investments	\$12,404.81			\$13,014.54		
Total	\$12,404.81			\$13,014.54		

Plan Transaction Detail

There are no transactions this quarter.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Quarterly Retirement Portfolio Statement

MARK C ALLIEGRO

January 01, 2013 - March 31, 2013

The Annuity Contracts are shown to provide you with an alternative view of your investments with TIAA-CREF.

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Name Mark C. Alliegro

ANNUITY CONTRACTS IN YOUR PLANS

Investment Summary by Contract

Contract/ Investment	Beginning Balance as of 01/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 03/31/2013	# of Units/ Shares	Unit/Share Price
TIAA Traditional	\$5,718.75	n/a	n/a	\$5,773.43	n/a	n/a
Total TIAA Traditional	\$5,718.75			\$5,773.43		
CREF Stock	\$6,686.06	24.8882	\$268.6438	\$7,241.11	24.8882	\$290.9454
Total CREF Stock	\$6,686.06			\$7,241.11		
TIAA Real Estate	\$6,836.03	n/a	n/a	\$7,445.21	n/a	n/a
TIAA Real Estate	3,472.64	12.7404	272.5692	3,811.75	13.7265	277.6926
Total TIAA Real Estate	\$10,308.67			\$11,256.96		
CREF Bond Market	\$18,295.31	68.1025	\$268.6438	\$21,202.36	72.8740	\$290.9454
CREF Bond Market	7,077.88	64.4946	109.7437	7,611.04	69.4610	109.5728
Total CREF Bond Market	\$25,373.19			\$28,813.40		
Total	\$48,086.67			\$53,084.90		

Glossary

TIAA Traditional: A guaranteed annuity account, guarantees your principal and contractually specified interest rate. It also offers the opportunity for higher returns through additional amounts above the guaranteed interest rate, which may be declared annually by the TIAA Board of Trustees. Additional amounts are not guaranteed for future years. If you have a Retirement Annuity contract, TIAA Traditional does not allow for lump sum withdrawals or transfers. All cash withdrawals and transfers must be made in 10 annual installments. If you have a Group Retirement Annuity or Retirement Choice contract, lump-sum cash withdrawals are available from TIAA Traditional within 120 days after termination of employment and are subject to a 2.5% surrender charge. For Group Retirement Annuity contracts, all other withdrawals and transfers must be made in 10 annual installments (or five annual installments for withdrawals after termination of employment). And for Retirement Choice contracts, they must be spread over 84 months (7 years).

Effective Date: The date as of which the contribution unit/share price, transfer or payment began or ceased participating in the investment results of the investment option or account.

Processing Date: The date on which the transaction (contribution, transfer or payment) is processed by TIAA-CREF. We will furnish you, upon written request, the time when the transaction took place. "Processed" means when amounts are credited (for purchase) or debited (for redemptions) to you. Any transactions processed after the close of this quarter will appear on your next quarterly statement.

Gain/Loss: The change in portfolio balances due to : (i) Unrealized Gains/Losses from investment holdings (including variable annuity accounts) after expenses are deducted, (ii) Other Gains/Losses and (iii) TIAA Interest. Only Other Gains/Losses are shown in the Transaction Detail sections of this statement.

Portfolio Summary: A high-level overview that totals all your retirement and savings assets together and shows you how this value changed from the beginning January 01, 2013, and from the beginning of the year to, March 31, 2013.

Asset Allocation: A breakdown of how your total retirement portfolio is allocated across six major asset classes - equities, fixed income, real estate, multi-asset, money market and guaranteed. For the illustrative pie chart asset class percentages may be rounded to the nearest full number percentage.

Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among your investment choices are also shown as Additions and Reductions. For example, if you transferred money from one investment choice to another, the money going into an account is an Addition and the money leaving an account is a Reduction. Other adjustments to your portfolio are also included in the Additions and Reductions total.

Quarterly Retirement Portfolio Statement

MARK C ALLIEGRO

January 01, 2013 - March 31, 2013

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Name
Mark C. Allegro

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Disclosures

Please review your statement and let us know promptly of any inaccuracies. To protect your rights, you should also notify us in writing. Unless we receive written notification within 60 days, we will assume our information is correct.

Effective as of the close of business on March 28th, 2013 CREF actuarial present values (as described in the CREF Rules of the Fund) are calculated using mortality based on the CREF Progressive Annuitant Mortality Table, a merged gender table initially derived from CREF mortality experience through 2011 and updated annually thereafter as necessary to incorporate CREF's most recently available mortality experience. This basis will be phased in over three annual valuation cycles, on the last valuation days in March 2013, 2014 and 2015.

With respect to financial services provided by TIAA-CREF Individual & Institutional Services, LLC and Teachers Personal Investors Services, Inc., please note that FINRA BrokerCheck is available to help you check the background of brokers and brokerage firms. FINRA has published an investor brochure that includes information regarding FINRA BrokerCheck. To learn more, please visit www.finra.org or call (800) 289-9999.

Diversified and Well-Balanced Portfolio: To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly.

It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals. For more information or additional resources regarding individual investing and diversification, visit the Internet website of the Department of Labor at www.dol.gov/ebsa/investing.html.

If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk. In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk.

Fees and Expenses: The cumulative effect of fees and expenses can substantially reduce the growth of your retirement savings. Visit the Department of Labor's Web site for an example showing the long-term effect of fees and expenses at http://www.dol.gov/ebsa/publications/401k_employee.html. Fees and expenses are only one of many factors to consider when you decide to invest in an option. You may also want to think about whether an investment in a particular option, along with your other investments, will help you achieve your financial goals. Expenses to pay for the administration of your plan(s) may be paid directly by you through the reduction of your account balance and reflected in the Plan Transaction Detail section of your quarterly statement. For the preceding quarter, plan administration expenses may also be paid from the total annual operating expenses of one or more of the plan's designated investment alternatives.

Portions of this statement provide information about specific transactions that have occurred during the quarter. Other portions of the statement provide information about the total number of units or shares held in your account, gains and losses during the quarter, account balances and other account related information. Each entity listed in this statement may contract with other entities for services related to the described activities. Annuity products are issued by TIAA (Teachers Insurance and Annuity Association), New York, NY.

Transactions in securities, including variable annuities, are effected by TIAA-CREF Individual & Institutional Services, LLC ("TC Services").

Account information for TIAA-CREF fixed and variable annuities is provided by TIAA as issuer, or by TIAA on behalf of CREF as issuer.

Your right to direct investments or transfer funds may be subject to certain limitations and/or restrictions under your employer's plan, if applicable and the terms of any funding options. Contact your Benefits Manager or call TIAA-CREF at 800 842-2776 if you have questions.

Personalized Rate of Return is an estimate of the performance of the assets in your retirement portfolio maintained by TIAA-CREF during the period specified. The figure: (1) includes expenses, interest and dividend payments; (2) includes contributions and withdrawals, weighted by the number of days between the date of contribution or withdrawal and the end of the quarter; (3) excludes the performance of products purchased through TIAA-CREF's brokerage window and retirement healthcare program; and (4) may be different from the return of the individual funds or other investment options included in the portfolio. If your retirement portfolio included cash outflows or inflows the figure may differ from your actual rate of return depending on market volatility following these cash flows. The Personalized Rate of Return is based on the Modified Dietz Method of evaluating performance. Past performance is not a guarantee of future results.

TIAA-CREF Individual & Institutional Services, LLC (Services) is a broker/dealer registered with the Securities and Exchange Commission (SEC) and must comply with SEC Net Capital Rule 15c3-1. At December 31, 2012, Services had net capital of \$54,487,231 which exceeded its required net capital of \$1,232,494 by \$53,254,737. Services' Audited Statement of Financial Condition as of December 31, 2012 can be obtained free of charge by visiting tiaa-cref.org and clicking the link at the bottom of the page or by calling 800 842-2252.

Quarterly Retirement Portfolio Statement

MARK C ALLIEGRO

January 01, 2013 - March 31, 2013

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Mark C. Allegro

Name

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Disclosures (Continued)

Retirement Income Projection Assumptions: Sample Lifetime Retirement Monthly Income at age 65 is not based upon your current asset allocation. It is based on your ending balance (excluding Minimum Distribution Option contracts and Transfer Payout Annuity contracts that are withdrawn in cash or transferred to other financial institutions) from your Portfolio Summary and does not consider assets outside those identified in this Quarterly Report. The Ending Balance is projected to grow according to the following assumptions: the 12-month average of your total employer and personal contributions shown in the chart, each projected to grow 3% annually (rounded to the nearest year, using your birthday) reflecting assumed inflation increases, as well as a non-guaranteed hypothetical annual growth rate of 6% until age 65. Accumulations in Interest Only contracts are assumed to remain at their current levels.

Sample Lifetime Retirement Monthly Income is based on using a single life annuity with a 10 year guarantee period starting at age 65. The amount of lifetime income reflects an annuity payout rate based upon an assumed interest rate of 4% and the mortality assumptions used in computing current total income under TIAA pension payout annuities. This projected income at retirement was discounted 3% annually to reflect the income amount in today's dollars. Your actual account performance will differ, and may be higher or lower. These charts are for informational and educational purposes only and do not constitute advice. Sample values shown are estimates and not guarantees and do not reflect federal/state taxes or investment fees and charges.

The minimum guaranteed annual interest rate for TIAA Traditional In IRA contracts issued prior to 10/11/2010, most Retirement Annuity, Group Retirement Annuity, Supplemental Retirement Annuity and Group Supplemental Retirement Annuity contracts is 3%.

The minimum guaranteed annual interest rate for TIAA Traditional in most IRA contracts* issued on or after 10/11/2010 and in all Retirement Choice, Retirement Choice Plus and TIAA Stable Return Annuity contracts ranges from 1% to 3%. The current minimum rate for Retirement Choice contracts is 1.00%, is effective through December 31, 2013 and continues to be guaranteed for contributions and transfers made in 2013 through December 31, 2022. The current minimum rate for most IRA contracts* issued on or after 10/11/2010 and in all Retirement Choice Plus and TIAA Stable Return Annuity contracts is 1.00% and is guaranteed through February 28, 2014.

*All IRA Minimum Distribution Option contracts and IRA contracts issued as a result of a divorce settlement have the same guarantee and rate as the originating contract.

TIAA Traditional also offers the opportunity for additional amounts above the guaranteed rate. These additional amounts can be declared every month and remain in effect for the "declaration year," which begins each March 1 and are not guaranteed for future years.



FINANCIAL SERVICES

730 Third Avenue New York, NY 10017-3206

MARK C ALLIEGRO
41 METOXIT RD
EAST FALMOUTH MA 02536-7720

Quarterly Retirement Portfolio Statement

April 01, 2013 - June 30, 2013

For
MARK C ALLIEGRO

Customer Service

Website:	tiaa-cref.org
Automated 24-hour Information and Personal Assistance (Español disponible)	800 842-2252
Hearing Impaired (TTY phone users only)	800 842-2755
Monday through Friday, 8:00 a.m. - 10:00 p.m. (ET)	
Saturday, 9:00 a.m. - 6:00 p.m. (ET)	
To view your most current account information, go to our website at tiaa-cref.org and log-in with your user ID and password or call our 24-hour automated system.	

Portfolio Summary

	This Period	Year-to-Date
Beginning Balance	\$53,084.90	\$48,086.67
Additions	7,620.62	10,337.58
Reductions	-13,995.88	-13,995.88
Gain/Loss	447.75	2,729.02
Ending Balance	\$47,157.39	\$47,157.39

Retirement Income Projection

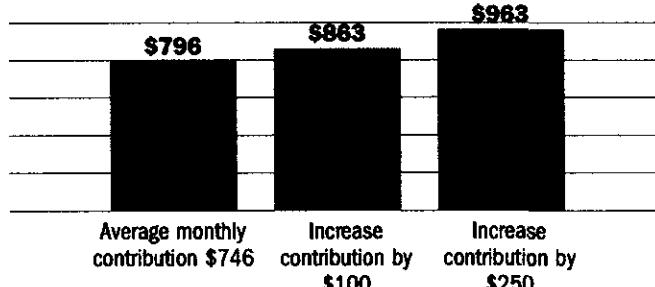
As part of your retirement savings planning, have you considered how much you need to retire? Saving a little more now can add up by the time you retire. **These charts are purely hypothetical and do not illustrate past or projected performance.**

What can you expect from Retirement Income?

Average Monthly Contribution	Monthly Contribution Increased by	Sample Lifetime Retirement Monthly Income at Age 65
\$746	\$0	\$796
\$846	\$100	\$863
\$996	\$250	\$963

To raise your savings rate or further personalize the retirement income projection, visit us online at tiaa-cref.org or call TIAA-CREF at 800-842-2252. Recent changes to your contribution amounts may not be reflected on this statement.

Example of Monthly Income at Age 65



Message Board

Keep things simple when you manage your accounts online. Get a complete picture of your finances with 360 Financial View, use online tools like Retirement Advisor, and more. Visit us at www.tiaa-cref.org now to register. C10465

Please refer to the back of this statement for Glossary Terms.

Quarterly Retirement Portfolio Statement

MARK C ALLIEGRO

April 01, 2013 - June 30, 2013

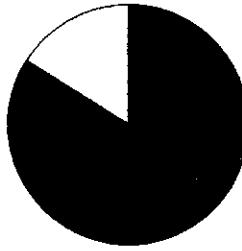
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Name Mark C. Allegro

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

This Period
Year-to-Date

0.9% This figure is an estimate of the performance of the assets in
5.6% your retirement portfolio, as reflected on this statement, that
are maintained at TIAA-CREF during the period(s) specified.
Past performance is not a guarantee of future results. Please
refer to the Disclosures Section for more information.



If you are invested in more than one asset class, the Asset Allocation percentages may not be exact due to rounding.

Current Percent	Asset Class	Current Value
28%	Guaranteed	\$13,020.51
47%	Equities	22,283.16
9%	Real Estate	4,092.67
16%	Fixed Income	7,761.05
100%	Total	\$47,157.39

Activity Summary by Asset Class

Asset Class/ Investment	Beginning Balance as of 04/01/2013	Additions	Reductions	Gain/Loss	Ending Balance as of 06/30/2013
Guaranteed					
TIAA Traditional	\$13,218.64	\$6,162.81	-\$6,485.31	\$124.37	\$13,020.51
Equities					
CREF Stock	\$28,443.47	\$911.13	-\$7,510.57	\$439.13	\$22,283.16
Real Estate					
TIAA Real Estate	\$3,811.75	\$182.22	\$0.00	\$98.70	\$4,092.67
Fixed Income					
CREF Bond Market	\$7,611.04	\$364.46	\$0.00	-\$214.45	\$7,761.05
Total Account Value	\$53,084.90	\$7,620.62	-\$13,995.88	\$447.75	\$47,157.39

To view current performance for your specific investments, log in to your account at tiaa-cref.org or you can visit www.tiaa-cref.org/performance for general performance information.

Portfolio Breakdown

	Beginning Balance as of 04/01/2013	Ending Balance as of 06/30/2013
Plans		
MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN	\$40,070.36	\$42,017.84
NATIONAL SCIENCE FOUNDATION 403(B) TDA PLAN	13,014.54	5,139.55
Total Plans	\$53,084.90	\$47,157.39
Annuities In Your Plans		
TIAA	\$5,773.43	\$0.00
CREF	7,241.11	0.00
TIAA	11,256.96	11,973.63
CREF	28,813.40	30,044.21
TIAA	0.00	5,139.55
Total Annuities In Your Plans	\$53,084.90	\$47,157.39

**Quarterly Retirement
Portfolio Statement**

MARK C ALLIEGRO

April 01, 2013 - June 30, 2013

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MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contracts (TIAA-CREF, CREF, TIAA)		
Employer	100%	\$42,017.84
Total	100%	\$42,017.84

Plan Investment Detail

Asset Class/ Investment	Beginning Balance as of 04/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 06/30/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional	\$7,445.21	n/a	n/a	\$7,880.96	n/a	n/a
TIAA [REDACTED]						
Equities						
CREF Stock	\$21,202.36	72.8740	\$290.9454	\$22,283.16	75.9406	\$293.4288
CREF [REDACTED]						
Real Estate						
TIAA Real Estate	\$3,811.75	13.7265	\$277.6926	\$4,092.67	14.3732	\$284.7433
TIAA [REDACTED]						
Fixed Income						
CREF Bond Market	\$7,611.04	69.4610	\$109.5728	\$7,761.05	72.7898	\$106.6228
CREF [REDACTED]						
Total Pre-Tax Investments	\$40,070.36			\$42,017.84		
Total	\$40,070.36			\$42,017.84		

Plan Transaction Detail

Processing Date	Effective Date	Transaction Description	Investment	Number of Units/Shares	Unit/Share Price	Amount
Additions						
04/25/2013	04/25/2013	Employer Contribution	TIAA Traditional	n/a	n/a	\$167.15
04/25/2013	04/25/2013	TIAA [REDACTED]				
04/25/2013	04/25/2013	Contribution	TIAA Real Estate	0.2968	281.6171	83.57
04/25/2013	04/25/2013	TIAA [REDACTED]				
04/25/2013	04/25/2013	Contribution	CREF Stock	1.4209	294.0769	417.86
04/25/2013	04/25/2013	CREF [REDACTED]				
04/25/2013	04/25/2013	Contribution	CREF Bond Market	1.5116	110.5748	167.15
04/25/2013	04/25/2013	CREF [REDACTED]				
06/04/2013	06/04/2013	Contribution	TIAA Traditional	n/a	n/a	197.31
06/04/2013	06/04/2013	TIAA [REDACTED]				
06/04/2013	06/04/2013	Contribution	TIAA Real Estate	0.3499	281.9643	98.65
06/04/2013	06/04/2013	TIAA [REDACTED]				
06/04/2013	06/04/2013	Contribution	CREF Stock	1.6457	299.7292	493.27
06/04/2013	06/04/2013	CREF [REDACTED]				
06/04/2013	06/04/2013	Contribution	CREF Bond Market	1.8172	108.5785	197.31
		Total Employer				\$1,822.27
Total Additions						
To view or change your current asset allocation and allocation of future contributions visit tiaa-cref.org and sign in to the secure portion of the website.						

Mark C. Allegro

Name

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

**Quarterly Retirement
Portfolio Statement**

MARK C ALLIEGRO

April 01, 2013 - June 30, 2013

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NATIONAL SCIENCE FOUNDATION 403(B) TDA PLAN

Asset Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contracts (TIAA [REDACTED] CREF [REDACTED])		
Annuity Contract (TIAA [REDACTED] Employer	100%	\$5,139.55
Total	100%	\$5,139.55

Plan Investment Detail						
Asset Class/ Investment	Beginning Balance as of 04/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 06/30/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional [REDACTED]	\$5,773.43	n/a	n/a	\$5,139.55	n/a	n/a
TIAA [REDACTED]						
TIAA [REDACTED]						
Equities						
CREF Stock [REDACTED]	\$7,241.11	24.8882	\$290.9454	\$0.00	0.0000	\$293.4288
CREF [REDACTED]						
Total Pre-Tax Investments	\$13,014.54			\$5,139.55		
Total	\$13,014.54			\$5,139.55		

Plan Transaction Detail						
Processing Date	Effective Date	Transaction Description	Investment	Number of Units/Shares	Unit/Share Price	Amount
Additions						
		Other Additions				
05/13/2013	05/10/2013	Transfer from Retirement Annuity TIAA [REDACTED]	TIAA Traditional	n/a	n/a	\$5,798.35
		Total Additions				\$5,798.35
Reductions						
		Distributions/Other Debits				
05/09/2013	05/09/2013	Rollover CREF [REDACTED]	CREF Stock	-24.8882	\$301.7725	-\$7,510.57
05/13/2013	05/10/2013	Annual transfer to other financial institution TIAA [REDACTED]	TIAA Traditional	n/a	n/a	-686.96
05/13/2013	05/10/2013	Transfer to Transfer Payout Annuity TIAA [REDACTED]	TIAA Traditional	n/a	n/a	-5,798.35
		Total Distributions/Other Debits				-\$13,995.88
						-\$13,995.88
		Total Reductions				

Quarterly Retirement Portfolio Statement

MARK C ALLIEGRO

April 01, 2013 - June 30, 2013

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The Annuity Contracts are shown to provide you with an alternative view of your investments with TIAA-CREF.

ANNUITY CONTRACTS IN YOUR PLANS

Investment Summary by Contract

Contract/ Investment	Beginning Balance as of 04/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 06/30/2013	# of Units/ Shares	Unit/Share Price
TIAA Traditional	\$5,773.43	n/a	n/a	\$0.00	n/a	n/a
Total TIAA	\$5,773.43			\$0.00		
CREF Stock	\$7,241.11	24.8882	\$290.9454	\$0.00	0.0000	\$293.4288
Total CREF	\$7,241.11			\$0.00		
TIAA Real Estate	\$7,445.21	n/a	n/a	\$7,880.96	n/a	n/a
TIAA Real Estate	3,811.75	13.7265	277.6926	4,092.67	14.3732	284.7433
Total TIAA	\$11,256.96			\$11,973.63		
CREF Bond Market	\$21,202.36	72.8740	\$290.9454	\$22,283.16	75.9406	\$293.4288
CREF Bond Market	7,611.04	69.4610	109.5728	7,761.05	72.7898	106.6228
Total CREF	\$28,813.40			\$30,044.21		
TIAA Bond Fund	\$0.00	n/a	n/a	\$5,139.55	n/a	n/a
Total TIAA	\$0.00			\$5,139.55		
Total	\$53,084.90			\$47,157.39		

Glossary

TIAA Traditional: A guaranteed annuity account, guarantees your principal and contractually specified interest rate. It also offers the opportunity for higher returns through additional amounts above the guaranteed interest rate, which may be declared annually by the TIAA Board of Trustees. Additional amounts are not guaranteed for future years. If you have a Retirement Annuity contract, TIAA Traditional does not allow for lump sum withdrawals or transfers. All cash withdrawals and transfers must be made in 10 annual installments. If you have a Group Retirement Annuity or Retirement Choice contract, lump-sum cash withdrawals are available from TIAA Traditional within 120 days after termination of employment and are subject to a 2.5% surrender charge. For Group Retirement Annuity contracts, all other withdrawals and transfers must be made in 10 annual installments (or five annual installments for withdrawals after termination of employment). And for Retirement Choice contracts, they must be spread over 84 months (7 years).

Effective Date: The date as of which the contribution unit/share price, transfer or payment began or ceased participating in the investment results of the investment option or account.

Processing Date: The date on which the transaction (contribution, transfer or payment) is processed by TIAA-CREF. We will furnish you, upon written request, the time when the transaction took place. "Processed" means when amounts are credited (for purchase) or debited (for redemptions) to you. Any transactions processed after the close of this quarter will appear on your next quarterly statement.

Gain/Loss: The change in portfolio balances due to : (i) Unrealized Gains/Losses from investment holdings (including variable annuity accounts) after expenses are deducted, (ii) Other Gains/Losses and (iii) TIAA Interest. Only Other Gains/Losses are shown in the Transaction Detail sections of this statement.

Portfolio Summary: A high-level overview that totals all your retirement and savings assets together and shows you how this value changed from the beginning April 01, 2013, and from the beginning of the year to, June 30, 2013.

Asset Allocation: A breakdown of how your total retirement portfolio is allocated across six major asset classes - equities, fixed income, real estate, multi-asset, money market and guaranteed. For the illustrative pie chart asset class percentages may be rounded to the nearest full number percentage.

Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among your investment choices are also shown as Additions and Reductions. For example, if you transferred money from one investment choice to another, the money going into an account is an Addition and the money leaving an account is a Reduction. Other adjustments to your portfolio are also included in the Additions and Reductions total.

Quarterly Retirement Portfolio Statement

MARK C ALLIEGRO

April 01, 2013 - June 30, 2013

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Name Mark C. Allegro

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Disclosures

Please review your statement and let us know promptly of any inaccuracies. To protect your rights, you should also notify us in writing. Unless we receive written notification within 60 days, we will assume our information is correct.

With respect to financial services provided by TIAA-CREF Individual & Institutional Services, LLC and Teachers Personal Investors Services, Inc., please note that FINRA BrokerCheck is available to help you check the background of brokers and brokerage firms. FINRA has published an investor brochure that includes information regarding FINRA BrokerCheck. To learn more, please visit www.finra.org or call (800) 289-9999.

Diversified and Well-Balanced Portfolio: To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly.

It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals. For more information or additional resources regarding individual investing and diversification, visit the Internet website of the Department of Labor at www.dol.gov/ebsa/investing.html.

If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk. In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk.

Fees and Expenses: The cumulative effect of fees and expenses can substantially reduce the growth of your retirement savings. Visit the Department of Labor's Web site for an example showing the long-term effect of fees and expenses at http://www.dol.gov/ebsa/publications/401k_employee.html. Fees and expenses are only one of many factors to consider when you decide to invest in an option. You may also want to think about whether an investment in a particular option, along with your other investments, will help you achieve your financial goals. Expenses to pay for the administration of your plan(s) may be paid directly by you through the reduction of your account balance and reflected in the Plan Transaction Detail section of your quarterly statement. For the preceding quarter, plan administration expenses may also be paid from the total annual operating expenses of one or more of the plan's designated investment alternatives.

Portions of this statement provide information about specific transactions that have occurred during the quarter. Other portions of the statement provide information about the total number of units or shares held in your account, gains and losses during the quarter, account balances and other account related information. Each entity listed in this statement may contract with other entities for services related to the described activities. Annuity products are issued by TIAA (Teachers Insurance and Annuity Association), New York, NY.

Transactions in securities, including variable annuities, are effected by TIAA-CREF Individual & Institutional Services, LLC ("TC Services").

Account information for TIAA-CREF fixed and variable annuities is provided by TIAA as issuer, or by TIAA on behalf of CREF as issuer.

Your right to direct investments or transfer funds may be subject to certain limitations and/or restrictions under your employer's plan, if applicable and the terms of any funding options. Contact your Benefits Manager or call TIAA-CREF at 800 842-2776 if you have questions.

Personalized Rate of Return is an estimate of the performance of the assets in your retirement portfolio maintained by TIAA-CREF during the period specified. The figure: (1) includes expenses, interest and dividend payments; (2) includes contributions and withdrawals, weighted by the number of days between the date of contribution or withdrawal and the end of the quarter; (3) excludes the performance of products purchased through TIAA-CREF's brokerage window and retirement healthcare program; and (4) may be different from the return of the individual funds or other investment options included in the portfolio. If your retirement portfolio included cash outflows or inflows the figure may differ from your actual rate of return depending on market volatility following these cash flows. The Personalized Rate of Return is based on the Modified Dietz Method of evaluating performance. Past performance is not a guarantee of future results.

Retirement Income Projection Assumptions: Sample Lifetime Retirement Monthly Income at age 65 is not based upon your current asset allocation. It is based on your ending balance (excluding Minimum Distribution Option contracts and Transfer Payout Annuity contracts that are withdrawn in cash or transferred to other financial institutions) from your Portfolio Summary and does not consider assets outside those identified in this Quarterly Report. The Ending Balance is projected to grow according to the following assumptions: the 12-month average of your total employer and personal contributions shown in the chart, each projected to grow 3% annually (rounded to the nearest year, using your birthday) reflecting assumed inflation increases, as well as a non-guaranteed hypothetical annual growth rate of 6% until age 65. Accumulations in Interest Only contracts are assumed to remain at their current levels.

**Quarterly Retirement
Portfolio Statement**

MARK C ALLIEGRO

April 01, 2013 - June 30, 2013

Page 2 of 550

Mark C. Allegro

Name

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Disclosures (Continued)

Sample Lifetime Retirement Monthly Income is based on using a single life annuity with a 10 year guarantee period starting at age 65. The amount of lifetime income reflects an annuity payout rate based upon an assumed interest rate of 4% and the mortality assumptions used in computing current total income under TIAA pension payout annuities. This projected income at retirement was discounted 3% annually to reflect the income amount in today's dollars. Your actual account performance will differ, and may be higher or lower. These charts are for informational and educational purposes only and do not constitute advice. Sample values shown are estimates and not guarantees and do not reflect federal/state taxes or investment fees and charges.

MARK C ALLIEGRO
41 METOXIT RD
EAST FALMOUTH MA 02536-7720



FINANCIAL SERVICES

730 Third Avenue New York, NY 10017-3206

Quarterly Retirement Portfolio Statement

July 01, 2013 - September 30, 2013

For
MARK C ALLIEGRO

Customer Service

Website:	tiaa-cref.org
Automated 24-hour Information and Personal Assistance (Español disponible)	800 842-2252
Hearing Impaired (TTY phone users only)	800 842-2755
Monday through Friday, 8:00 a.m. - 10:00 p.m. (ET)	
Saturday, 9:00 a.m. - 6:00 p.m. (ET)	
To view your most current account information, go to our website at tiaa-cref.org and log-in with your user ID and password or call our 24-hour automated system.	

Portfolio Summary

	This Period	Year-to-Date
Beginning Balance	\$47,157.39	\$48,086.67
Additions	2,411.54	12,749.12
Reductions	0.00	-13,995.88
Gain/Loss	2,027.67	4,756.69
Ending Balance	\$51,596.60	\$51,596.60

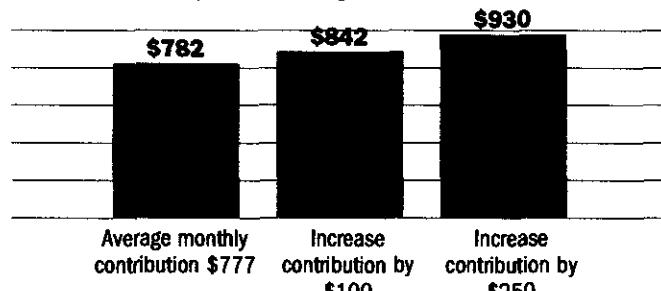
Retirement Income Projection

As part of your retirement savings planning, have you considered how much you need to retire? Saving a little more now can add up by the time you retire. **These charts are purely hypothetical and do not illustrate past or projected performance.**

What can you expect from Retirement Income?

Average Monthly Contribution	Monthly Contribution Increased by	Sample Lifetime Retirement Monthly Income at Age 65
\$777	\$0	\$782
\$877	\$100	\$842
\$1,027	\$250	\$930

To raise your savings rate or further personalize the retirement income projection, visit us online at tiaa-cref.org or call TIAA-CREF at 800-842-2252. Recent changes to your contribution amounts may not be reflected on this statement.

Example of Monthly Income at Age 65**Message Board**

Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among the investment choices within your account are also shown as Additions and Reductions.

Fast and convenient on-line delivery of your TIAA-CREF Quarterly Retirement Portfolio Statement is only a click away. Eliminate mailing time and paper clutter by signing up for eDelivery. Visit us at www.tiaa-cref.org/eDelivery.

Please refer to the back of this statement for Glossary Terms.

Quarterly Retirement Portfolio Statement

MARK C ALLIEGRO

July 01, 2013 - September 30, 2013

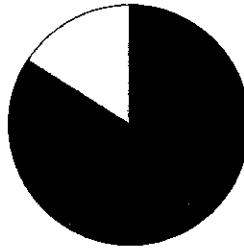
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Name Mark C. Allegro

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

This Period
Year-to-Date

4.2%
10.0% This figure is an estimate of the performance of the assets in your retirement portfolio, as reflected on this statement, that are maintained at TIAA-CREF during the period(s) specified. Past performance is not a guarantee of future results. Please refer to the Disclosures Section for more information.



If you are invested in more than one asset class, the Asset Allocation percentages may not be exact due to rounding.

	Current Percent	Asset Class	Current Value
	26%	Guaranteed	\$13,630.28
	49%	Equities	25,196.55
	9%	Real Estate	4,473.33
	16%	Fixed Income	8,296.44
	100%	Total	\$51,596.60

Activity Summary by Asset Class

Asset Class/ Investment	Beginning Balance as of 07/01/2013	Additions	Reductions	Gain/Loss	Ending Balance as of 09/30/2013
Guaranteed					
TIAA Traditional	\$13,020.51	\$482.31	\$0.00	\$127.46	\$13,630.28
Equities					
CREF Stock	\$22,283.16	\$1,205.76	\$0.00	\$1,707.63	\$25,196.55
Real Estate					
TIAA Real Estate	\$4,092.67	\$241.16	\$0.00	\$139.50	\$4,473.33
Fixed Income					
CREF Bond Market	\$7,761.05	\$482.31	\$0.00	\$53.08	\$8,296.44
Total Account Value	\$47,157.39	\$2,411.54	\$0.00	\$2,027.67	\$51,596.60

Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among your investment choices are also shown as Additions and Reductions. For example, if you transferred money from one investment choice to another, the money going into an account is an Addition and the money leaving an account is a Reduction. Other adjustments to your portfolio are also included in the Additions and Reductions total.

To view current performance for your specific investments, log in to your account at tiaa-cref.org or you can visit www.tiaa-cref.org/performance for general performance information.

Portfolio Breakdown

	Beginning Balance as of 07/01/2013	Ending Balance as of 09/30/2013
Plans		
MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN	\$42,017.84	\$46,405.93
NATIONAL SCIENCE FOUNDATION 403(B) TDA PLAN	5,139.55	5,190.67
Total Plans	\$47,157.39	\$51,596.60

**Quarterly Retirement
Portfolio Statement**

MARK C ALLIEGRO

July 01, 2013 - September 30, 2013

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Portfolio Breakdown (Continued)

	Beginning Balance as of 07/01/2013	Ending Balance as of 09/30/2013
Annuities In Your Plans		
TIAA	\$11,973.63	\$12,912.94
CREF	30,044.21	33,492.99
TIAA	5,139.55	5,190.67
Total Annuities In Your Plans	\$47,157.39	\$51,596.60

MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contracts (TIAA [REDACTED] CREF [REDACTED])		
Employer	100%	\$46,405.93
Total	100%	\$46,405.93

Plan Investment Detail

Asset Class/ Investment	Beginning Balance as of 07/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 09/30/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional	\$7,880.96	n/a	n/a	\$8,439.61	n/a	n/a
Equities						
CREF Stock	\$22,283.16	75.9406	\$293.4288	\$25,196.55	79.8301	\$315.6272
Real Estate						
TIAA Real Estate	\$4,092.67	14.3732	\$284.7433	\$4,473.33	15.2095	\$294.1139
Fixed Income						
CREF Bond Market	\$7,761.05	72.7898	\$106.6228	\$8,296.44	77.3331	\$107.2819
Total Pre-Tax Investments	\$42,017.84			\$46,405.93		
Total	\$42,017.84			\$46,405.93		

Plan Transaction Detail

Processing Date	Effective Date	Transaction Description	Investment	Number of Units/Shares	Unit/Share Price	Amount
Additions						
07/10/2013	07/10/2013	Employer Contribution	TIAA Traditional	n/a	n/a	\$131.54
07/10/2013	07/10/2013	TIAA [REDACTED]	TIAA Real Estate	0.2305	285.3740	65.77
07/10/2013	07/10/2013	Contribution	CREF Stock	1.0913	301.3188	328.84
07/10/2013	07/10/2013	TIAA [REDACTED]	CREF Bond Market	1.2416	105.9449	131.54
08/05/2013	08/05/2013	Contribution	TIAA Traditional	n/a	n/a	175.39
		TIAA [REDACTED]				

Mark C. Allegro

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Quarterly Retirement

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Portfolio Statement

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MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN **(Continued)****Plan Transaction Detail (Continued)**

Processing Date	Effective Date	Transaction Description	Investment	Number of Units/Shares	Unit/Share Price	Amount
Additions						
08/05/2013	08/05/2013	Employer Contribution TIAA [REDACTED]	TIAA Real Estate	0.3052	287.3624	87.69
08/05/2013	08/05/2013	Contribution CREF [REDACTED]	CREF Stock	1.3986	313.4978	438.46
08/05/2013	08/05/2013	Contribution CREF [REDACTED]	CREF Bond Market	1.6428	106.7657	175.39
09/12/2013	09/12/2013	Contribution TIAA [REDACTED]	TIAA Traditional	n/a	n/a	175.38
09/12/2013	09/12/2013	Contribution TIAA [REDACTED]	TIAA Real Estate	0.3006	291.7545	87.70
09/12/2013	09/12/2013	Contribution CREF [REDACTED]	CREF Stock	1.3996	313.2694	438.46
09/12/2013	09/12/2013	Contribution CREF [REDACTED]	CREF Bond Market	1.6589	105.7201	175.38
Total Employer						\$2,411.54
Total Additions						\$2,411.54
To view or change your current asset allocation and allocation of future contributions visit tiaa-cref.org and sign in to the secure portion of the website.						

NATIONAL SCIENCE FOUNDATION 403(B) TDA PLAN

Annuit Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contract (TIAA [REDACTED])		
Employer	100%	\$5,190.67
Total	100%	\$5,190.67

Plan Investment Detail

Asset Class/Investment	Beginning Balance as of 07/01/2013	# of Units/Shares	Unit/Share Price as of 09/30/2013	Ending Balance as of 09/30/2013	# of Units/Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional	\$5,139.55	n/a	n/a	\$5,190.67	n/a	n/a
TIAA [REDACTED]						
Total Pre-Tax Investments	\$5,139.55			\$5,190.67		
Total	\$5,139.55			\$5,190.67		

Plan Transaction Detail

There are no transactions this quarter.

Quarterly Retirement Portfolio Statement

MARK C ALLIEGRO

July 01, 2013 - September 30, 2013

The Annuity Contracts are shown to provide you with an alternative view of your investments with TIAA-CREF.

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Mark C. Allegro

Name

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

ANNUITY CONTRACTS IN YOUR PLANS

Investment Summary by Contract

Contract/ Investment	Beginning Balance as of 07/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 09/30/2013	# of Units/ Shares	Unit/Share Price
TIAA						
TIAA Traditional	\$7,880.96	n/a	n/a	\$8,439.61	n/a	n/a
TIAA Real Estate	4,092.67	14.3732	284.7433	4,473.33	15.2095	294.1139
Total TIAA	\$11,973.63			\$12,912.94		
CREF						
CREF Stock	\$22,283.16	75.9406	\$293.4288	\$25,196.55	79.8301	\$315.6272
CREF Bond Market	7,761.05	72.7898	106.6228	8,296.44	77.3331	107.2819
Total CREF	\$30,044.21			\$33,492.99		
TIAA						
TIAA Traditional	\$5,139.55	n/a	n/a	\$5,190.67	n/a	n/a
Total TIAA	\$5,139.55			\$5,190.67		
Total	\$47,157.39			\$51,596.60		

Glossary

TIAA Traditional: A guaranteed annuity account, guarantees your principal and contractually specified interest rate. It also offers the opportunity for higher returns through additional amounts above the guaranteed interest rate, which may be declared annually by the TIAA Board of Trustees. Additional amounts are not guaranteed for future years. If you have a Retirement Annuity contract, TIAA Traditional does not allow for lump sum withdrawals or transfers. All cash withdrawals and transfers must be made in 10 annual installments. If you have a Group Retirement Annuity or Retirement Choice contract, lump-sum cash withdrawals are available from TIAA Traditional within 120 days after termination of employment and are subject to a 2.5% surrender charge. For Group Retirement Annuity contracts, all other withdrawals and transfers must be made in 10 annual installments (or five annual installments for withdrawals after termination of employment). And for Retirement Choice contracts, they must be spread over 84 months (7 years).

Effective Date: The date as of which the contribution unit/share price, transfer or payment began or ceased participating in the investment results of the investment option or account.

Processing Date: The date on which the transaction (contribution, transfer or payment) is processed by TIAA-CREF. We will furnish you, upon written request, the time when the transaction took place. "Processed" means when amounts are credited (for purchase) or debited (for redemptions) to you. Any transactions processed after the close of this quarter will appear on your next quarterly statement.

Gain/Loss: The change in portfolio balances due to : (i) Unrealized Gains/Losses from investment holdings (including variable annuity accounts) after expenses are deducted, (ii) Other Gains/Losses and (iii) TIAA Interest. Only Other Gains/Losses are shown in the Transaction Detail sections of this statement.

Portfolio Summary: A high-level overview that totals all your retirement and savings assets together and shows you how this value changed from the beginning July 01, 2013, and from the beginning of the year to, September 30, 2013.

Asset Allocation: A breakdown of how your total retirement portfolio is allocated across six major asset classes - equities, fixed income, real estate, multi-asset, money market and guaranteed. For the illustrative pie chart asset class percentages may be rounded to the nearest full number percentage.

Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among your investment choices are also shown as Additions and Reductions. For example, if you transferred money from one investment choice to another, the money going into an account is an Addition and the money leaving an account is a Reduction. Other adjustments to your portfolio are also included in the Additions and Reductions total.

Disclosures

Please review your statement and let us know promptly of any inaccuracies. To protect your rights, you should also notify us in writing. Unless we receive written notification within 60 days, we will assume our information is correct.

Quarterly Retirement Portfolio Statement

MARK C ALLIEGRO

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Disclosures (Continued)

With respect to financial services provided by TIAA-CREF Individual & Institutional Services, LLC and Teachers Personal Investors Services, Inc., please note that FINRA BrokerCheck is available to help you check the background of brokers and brokerage firms. FINRA has published an investor brochure that includes information regarding FINRA BrokerCheck. To learn more, please visit www.finra.org or call (800) 289-9999.

Diversified and Well-Balanced Portfolio: To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly.

It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals. For more information or additional resources regarding individual investing and diversification, visit the Internet website of the Department of Labor at www.dol.gov/ebsa/investing.html.

If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk. In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk.

Fees and Expenses: The cumulative effect of fees and expenses can substantially reduce the growth of your retirement savings. Visit the Department of Labor's Web site for an example showing the long-term effect of fees and expenses at http://www.dol.gov/ebsa/publications/401k_employee.html. Fees and expenses are only one of many factors to consider when you decide to invest in an option. You may also want to think about whether an investment in a particular option, along with your other investments, will help you achieve your financial goals. Expenses to pay for the administration of your plan(s) may be paid directly by you through the reduction of your account balance and reflected in the Plan Transaction Detail section of your quarterly statement. For the preceding quarter, plan administration expenses may also be paid from the total annual operating expenses of one or more of the plan's designated investment alternatives.

Portions of this statement provide information about specific transactions that have occurred during the quarter. Other portions of the statement provide information about the total number of units or shares held in your account, gains and losses during the quarter, account balances and other account related information. Each entity listed in this statement may contract with other entities for services related to the described activities. Annuity products are issued by TIAA (Teachers Insurance and Annuity Association), New York, NY.

Transactions in securities, including variable annuities, are effected by TIAA-CREF Individual & Institutional Services, LLC ("TC Services").

Account information for TIAA-CREF fixed and variable annuities is provided by TIAA as issuer, or by TIAA on behalf of CREF as issuer.

Your right to direct investments or transfer funds may be subject to certain limitations and/or restrictions under your employer's plan, if applicable and the terms of any funding options. Contact your Benefits Manager or call TIAA-CREF at 800 842-2776 if you have questions.

Personalized Rate of Return is an estimate of the performance of the assets in your retirement portfolio maintained by TIAA-CREF during the period specified. The figure: (1) includes expenses, interest and dividend payments; (2) includes contributions and withdrawals, weighted by the number of days between the date of contribution or withdrawal and the end of the quarter; (3) excludes the performance of products purchased through TIAA-CREF's brokerage window and retirement healthcare program; and (4) may be different from the return of the individual funds or other investment options included in the portfolio. If your retirement portfolio included cash outflows or inflows the figure may differ from your actual rate of return depending on market volatility following these cash flows. The Personalized Rate of Return is based on the Modified Dietz Method of evaluating performance. Past performance is not a guarantee of future results.

TIAA-CREF Individual & Institutional Services, LLC (Services) is a broker/dealer registered with the Securities and Exchange Commission (SEC) and must comply with SEC Net Capital Rule 15c3-1. At August 31, 2013, Services had net capital of \$56,191,950 which exceeded its required net capital of \$2,306,652 by \$53,885,298. Services' Unaudited Statement of Financial Condition as of June 30, 2013 can be obtained free of charge by visiting tiaa-cref.org and clicking the link at the bottom of the page or by calling 800 842-2252.

Quarterly Retirement Portfolio Statement

MARK C ALLIEGRO

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Disclosures (Continued)

Retirement Income Projection Assumptions: Sample Lifetime Retirement Monthly Income at age 65 is not based upon your current asset allocation. It is based on your ending balance (excluding Minimum Distribution Option contracts and Transfer Payout Annuity contracts that are withdrawn in cash or transferred to other financial institutions) from your Portfolio Summary and does not consider assets outside those identified in this Quarterly Report. The Ending Balance is projected to grow according to the following assumptions: the 12-month average of your total employer and personal contributions shown in the chart, each projected to grow 3% annually (rounded to the nearest year, using your birthday) reflecting assumed inflation increases, as well as a non-guaranteed hypothetical annual growth rate of 6% until age 65. Accumulations in Interest Only contracts are assumed to remain at their current levels.

Sample Lifetime Retirement Monthly Income is based on using a single life annuity with a 10 year guarantee period starting at age 65. The amount of lifetime income reflects an annuity payout rate based upon an assumed interest rate of 4% and the mortality assumptions used in computing current total income under TIAA pension payout annuities. This projected income at retirement was discounted 3% annually to reflect the income amount in today's dollars. Your actual account performance will differ, and may be higher or lower. These charts are for informational and educational purposes only and do not constitute advice. Sample values shown are estimates and not guarantees and do not reflect federal/state taxes or investment fees and charges.

MARK C ALLIEGRO
41 METOXIT RD
EAST FALMOUTH MA 02536-7720



FINANCIAL SERVICES

730 Third Avenue New York, NY 10017-3206

Quarterly Retirement Portfolio Statement

October 01, 2013 - December 31, 2013

For
MARK C ALLIEGRO

Customer Service

Website:	tiaa-cref.org
Automated 24-hour Information and Personal Assistance (Español disponible)	800 842-2252
Hearing Impaired (TTY phone users only)	800 842-2755
Monday through Friday, 8:00 a.m. - 10:00 p.m. (ET)	
Saturday, 9:00 a.m. - 6:00 p.m. (ET)	
To view your most current account information, go to our website at tiaa-cref.org and log-in with your user ID and password or call our 24-hour automated system.	

Portfolio Summary

	This Period	Year-to-Date
Beginning Balance	\$51,596.60	\$48,086.67
Additions	2,411.54	15,160.66
Reductions	0.00	-13,995.88
Gain/Loss	2,501.75	7,258.44
Ending Balance	\$56,509.89	\$56,509.89

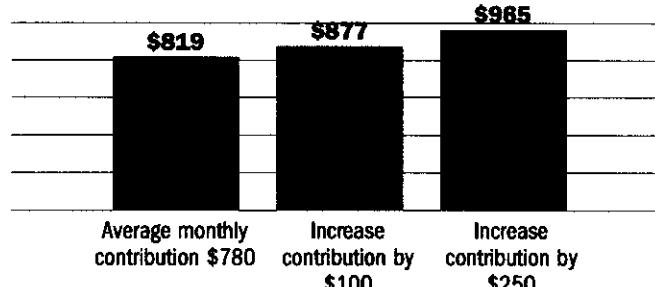
Retirement Income Projection

As part of your retirement savings planning, have you considered how much you need to retire? Saving a little more now can add up by the time you retire. **These charts are purely hypothetical and do not illustrate past or projected performance.**

What can you expect from Retirement Income?

Average Monthly Contribution	Monthly Contribution Increased by	Sample Lifetime Retirement Monthly Income at Age 65
\$780	\$0	\$819
\$880	\$100	\$877
\$1,030	\$250	\$965

To raise your savings rate or further personalize the retirement income projection, visit us online at tiaa-cref.org or call TIAA-CREF at 800-842-2252. Recent changes to your contribution amounts may not be reflected on this statement.

Example of Monthly Income at Age 65**Message Board**

Tax forms will be mailed and available online by January 31, 2014. To access online, log in at tiaa-cref.org, click: MANAGE MY PORTFOLIO / STATEMENTS & REPORTS / TAX REPORTING FORMS. Please note that a Form 1099 will be generated only if you have a taxable transaction related to your account.

Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among the investment choices within your account are also shown as Additions and Reductions.

Please refer to the back of this statement for Glossary Terms.

Quarterly Retirement Portfolio Statement

MARK C ALLIEGRO

October 01, 2013 - December 31, 2013

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Mark C. Allegro

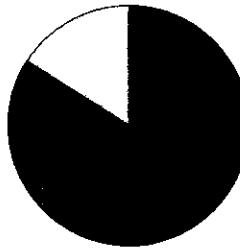
Name

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Personalized Rate of Return

This Period	4.7%	This figure is an estimate of the performance of the assets in your retirement portfolio, as reflected on this statement, that are maintained at TIAA-CREF during the period(s) specified. Past performance is not a guarantee of future results. Please refer to the Disclosures Section for more information.	
Year-to-Date	15.2%		

Asset Allocation Summary



If you are invested in more than one asset class, the Asset Allocation percentages may not be exact due to rounding.

	Current Percent	Asset Class	Current Value
	25%	Guaranteed	\$14,245.94
	51%	Equities	28,676.32
	8%	Real Estate	4,789.21
	16%	Fixed Income	8,798.42
	100%	Total	\$56,509.89

Activity Summary by Asset Class

Asset Class/ Investment	Beginning Balance as of 10/01/2013	Additions	Reductions	Gain/Loss	Ending Balance as of 12/31/2013
Guaranteed					
TIAA Traditional	\$13,630.28	\$482.31	\$0.00	\$133.35	\$14,245.94
Equities					
CREF Stock	\$25,196.55	\$1,205.77	\$0.00	\$2,274.00	\$28,676.32
Real Estate					
TIAA Real Estate	\$4,473.33	\$241.15	\$0.00	\$74.73	\$4,789.21
Fixed Income					
CREF Bond Market	\$8,296.44	\$482.31	\$0.00	\$19.67	\$8,798.42
Total Account Value	\$51,596.60	\$2,411.54	\$0.00	\$2,501.75	\$56,509.89

Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among your investment choices are also shown as Additions and Reductions. For example, if you transferred money from one investment choice to another, the money going into an account is an Addition and the money leaving an account is a Reduction. Other adjustments to your portfolio are also included in the Additions and Reductions total.

To view current performance for your specific investments, log in to your account at tiaa-cref.org or you can visit www.tiaa-cref.org/performance for general performance information.

Portfolio Breakdown

	Beginning Balance as of 10/01/2013	Ending Balance as of 12/31/2013
Plans		
MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN	\$46,405.93	\$51,267.55
NATIONAL SCIENCE FOUNDATION 403(B) TDA PLAN	5,190.67	5,242.34
Total Plans	\$51,596.60	\$56,509.89

Quarterly Retirement Portfolio Statement

MARK C ALLIEGRO

October 01, 2013 - December 31, 2013

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Mark C. Allegro

Name

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Portfolio Breakdown (Continued)

	Beginning Balance as of 10/01/2013	Ending Balance as of 12/31/2013
Annuities In Your Plans		
TIAA [REDACTED]	\$12,912.94	\$13,792.81
CREF [REDACTED]	33,492.99	37,474.74
TIAA [REDACTED]	5,190.67	5,242.34
Total Annuities In Your Plans	\$51,596.60	\$56,509.89

MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contracts (TIAA [REDACTED], CREF [REDACTED])		
Employer	100%	\$51,267.55
Total	100%	\$51,267.55

Plan Investment Detail

Asset Class/ Investment	Beginning Balance as of 10/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 12/31/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional	\$8,439.61	n/a	n/a	\$9,003.60	n/a	n/a
TIAA [REDACTED]						
Equities						
CREF Stock	\$25,196.55	79.8301	\$315.6272	\$28,676.32	83.5047	\$343.4097
CREF [REDACTED]						
Real Estate						
TIAA Real Estate	\$4,473.33	15.2095	\$294.1139	\$4,789.21	16.0243	\$298.8717
TIAA [REDACTED]						
Fixed Income						
CREF Bond Market	\$8,296.44	77.3331	\$107.2819	\$8,798.42	81.8182	\$107.5362
CREF [REDACTED]						
Total Pre-Tax Investments	\$46,405.93			\$51,267.55		
Total	\$46,405.93			\$51,267.55		

Plan Transaction Detail

Processing Date	Effective Date	Transaction Description	Investment	Number of Units/Shares	Unit/Share Price	Amount
Additions						
10/07/2013	10/07/2013	Employer Contribution	TIAA Traditional	n/a	n/a	\$153.46
10/07/2013	10/07/2013	TIAA [REDACTED]				
10/07/2013	10/07/2013	Contribution	TIAA Real Estate	0.2607	294.3087	76.73
10/07/2013	10/07/2013	TIAA [REDACTED]				
10/07/2013	10/07/2013	Contribution	CREF Stock	1.2178	315.0366	383.66
10/07/2013	10/07/2013	CREF [REDACTED]				
10/07/2013	10/07/2013	Contribution	CREF Bond Market	1.4290	107.3898	153.46
11/13/2013	11/13/2013	CREF [REDACTED]				
11/13/2013	11/13/2013	Contribution	TIAA Traditional	n/a	n/a	197.31
		TIAA [REDACTED]				

**Quarterly Retirement
Portfolio Statement**

MARK C ALLIEGRO

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Mark C. Allegro
Name

MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN (Continued)

Plan Transaction Detail (Continued)

Processing Date	Effective Date	Transaction Description	Investment	Number of Units/Shares	Unit/Share Price	Amount
Additions						
		Employer				
11/13/2013	11/13/2013	Contribution [REDACTED]	TIAA Real Estate	0.3339	295.4777	98.65
11/13/2013	11/13/2013	Contribution [REDACTED]	CREF Stock	1.4943	330.1061	493.27
11/13/2013	11/13/2013	Contribution [REDACTED]	CREF Bond Market	1.8319	107.7079	197.31
12/27/2013	12/27/2013	Contribution [REDACTED]	TIAA Traditional	n/a	n/a	131.54
12/27/2013	12/27/2013	Contribution [REDACTED]	TIAA Real Estate	0.2202	298.6460	65.77
12/27/2013	12/27/2013	Contribution [REDACTED]	CREF Stock	0.9625	341.6585	328.84
12/27/2013	12/27/2013	Contribution [REDACTED]	CREF Bond Market	1.2242	107.4484	131.54
		Total Employer				\$2,411.54
		Total Additions				\$2,411.54
To view or change your current asset allocation and allocation of future contributions visit tiaa-cref.org and sign in to the secure portion of the website.						

NATIONAL SCIENCE FOUNDATION 403(B) TDA PLAN

Annuity Contract(s) & Other Investments In This Plan	Vested Percentage	Vested Balance
Annuity Contract (TIAA [REDACTED])		
Employer	100%	\$5,242.34
Total	100%	\$5,242.34

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Asset Class/ Investment	Beginning Balance as of 10/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 12/31/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Guaranteed						
TIAA Traditional	\$5,190.67	n/a	n/a	\$5,242.34	n/a	n/a
TIAA [REDACTED]						
Total Pre-Tax Investments	\$5,190.67			\$5,242.34		
Total	\$5,190.67			\$5,242.34		

Plan Investment Detail

There are no transactions this quarter.

Quarterly Retirement Portfolio Statement

MARK C ALLIEGRO

October 01, 2013 - December 31, 2013

The Annuity Contracts are shown to provide you
with an alternative view of your Investments with TIAA-CREF.

ANNUITY CONTRACTS IN YOUR PLANS

Investment Summary by Contract

Contract/ Investment	Beginning Balance as of 10/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 12/31/2013	# of Units/ Shares	Unit/Share Price
TIAA						
TIAA Traditional	\$8,439.61	n/a	n/a	\$9,003.60	n/a	n/a
TIAA Real Estate	4,473.33	15.2095	294.1139	4,789.21	16.0243	298.8717
Total TIAA	\$12,912.94			\$13,792.81		
CREF						
CREF Stock	\$25,196.55	79.8301	\$315.6272	\$28,676.32	83.5047	\$343.4097
CREF Bond Market	8,296.44	77.3331	107.2819	8,798.42	81.8182	107.5362
Total CREF	\$33,492.99			\$37,474.74		
TIAA						
TIAA Traditional	\$5,190.67	n/a	n/a	\$5,242.34	n/a	n/a
Total TIAA	\$5,190.67			\$5,242.34		
Total	\$51,596.60			\$56,509.89		

Glossary

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Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among your investment choices are also shown as Additions and Reductions. For example, if you transferred money from one investment choice to another, the money going into an account is an Addition and the money leaving an account is a Reduction. Other adjustments to your portfolio are also included in the Additions and Reductions total.

Disclosures

Please review your statement and let us know promptly of any inaccuracies. To protect your rights, you should also notify us in writing. Unless we receive written notification within 60 days, we will assume our information is correct.

Quarterly Retirement Portfolio Statement

MARK C ALLIEGRO

October 01, 2013 - December 31, 2013

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Mark C Alliegro

Name

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Disclosures (Continued)

With respect to financial services provided by TIAA-CREF Individual & Institutional Services, LLC and Teachers Personal Investors Services, Inc., please note that FINRA BrokerCheck is available to help you check the background of brokers and brokerage firms. FINRA has published an investor brochure that includes information regarding FINRA BrokerCheck. To learn more, please visit www.finra.org or call (800) 289-9999.

Diversified and Well-Balanced Portfolio: To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly.

It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals. For more information or additional resources regarding individual investing and diversification, visit the Internet website of the Department of Labor at www.dol.gov/ebsa/investing.html.

If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk. In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk.

Fees and Expenses: The cumulative effect of fees and expenses can substantially reduce the growth of your retirement savings. Visit the Department of Labor's Web site for an example showing the long-term effect of fees and expenses at http://www.dol.gov/ebsa/publications/401k_employee.html. Fees and expenses are only one of many factors to consider when you decide to invest in an option. You may also want to think about whether an investment in a particular option, along with your other investments, will help you achieve your financial goals. Expenses to pay for the administration of your plan(s) may be paid directly by you through the reduction of your account balance and reflected in the Plan Transaction Detail section of your quarterly statement. For the preceding quarter, plan administration expenses may also be paid from the total annual operating expenses of one or more of the plan's designated investment alternatives.

Portions of this statement provide information about specific transactions that have occurred during the quarter. Other portions of the statement provide information about the total number of units or shares held in your account, gains and losses during the quarter, account balances and other account related information. Each entity listed in this statement may contract with other entities for services related to the described activities. Annuity products are issued by TIAA (Teachers Insurance and Annuity Association), New York, NY.

Transactions in securities, including variable annuities, are effected by TIAA-CREF Individual & Institutional Services, LLC ("TC Services").

Account information for TIAA-CREF fixed and variable annuities is provided by TIAA as issuer, or by TIAA on behalf of CREF as issuer.

Your right to direct investments or transfer funds may be subject to certain limitations and/or restrictions under your employer's plan, if applicable and the terms of any funding options. Contact your Benefits Manager or call TIAA-CREF at 800 842-2776 if you have questions.

Personalized Rate of Return is an estimate of the performance of the assets in your retirement portfolio maintained by TIAA-CREF during the period specified. The figure: (1) includes expenses, interest and dividend payments; (2) includes contributions and withdrawals, weighted by the number of days between the date of contribution or withdrawal and the end of the quarter; (3) excludes the performance of products purchased through TIAA-CREF's brokerage window and retirement healthcare program; and (4) may be different from the return of the individual funds or other investment options included in the portfolio. If your retirement portfolio included cash outflows or inflows the figure may differ from your actual rate of return depending on market volatility following these cash flows. The Personalized Rate of Return is based on the Modified Dietz Method of evaluating performance. Past performance is not a guarantee of future results.

Retirement Income Projection Assumptions: Sample Lifetime Retirement Monthly Income at age 65 is not based upon your current asset allocation. It is based on your ending balance (excluding Minimum Distribution Option contracts and Transfer Payout Annuity contracts that are withdrawn in cash or transferred to other financial institutions) from your Portfolio Summary and does not consider assets outside those identified in this Quarterly Report. The Ending Balance is projected to grow according to the following assumptions: the 12-month average of your total employer and personal contributions shown in the chart, each projected to grow 3% annually (rounded to the nearest year, using your birthday) reflecting assumed inflation increases, as well as a non-guaranteed hypothetical annual growth rate of 6% until age 65. Accumulations in Interest Only contracts are assumed to remain at their current levels.

Quarterly Retirement Portfolio Statement

MARK C ALLIEGRO

October 01, 2013 - December 31, 2013

Mark C. Alliegro

Name

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Page 2 of 550

Disclosures (Continued)

Sample Lifetime Retirement Monthly Income is based on using a single life annuity with a 10 year guarantee period starting at age 65. The amount of lifetime income reflects an annuity payout rate based upon an assumed interest rate of 4% and the mortality assumptions used in computing current total income under TIAA pension payout annuities. This projected income at retirement was discounted 3% annually to reflect the income amount in today's dollars. Your actual account performance will differ, and may be higher or lower. These charts are for informational and educational purposes only and do not constitute advice. Sample values shown are estimates and not guarantees and do not reflect federal/state taxes or investment fees and charges.



Financial Services

MARK C. ALLIEGRO

Daily Statement

As of 01/31/14

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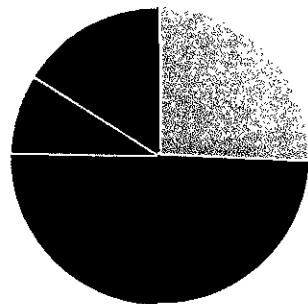
Mark C. Allegro

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

My Portfolio**\$56,334.10**

INVESTMENT	ASSET CLASS	UNITS/SHARES	PRICE	BALANCE	CHANGE SINCE 12/31/13
TIAA Traditional	Guaranteed	N/A	N/A	\$14,423.61	+ \$177.67
CREF Stock	Equities	84.4657	\$330.9900	\$27,957.30	-\$719.02
TIAA Real Estate	Real Estate	16.2430	\$301.6409	\$4,899.55	+ \$110.34
CREF Bond Market	Fixed Income	83.0301	\$109.0404	\$9,053.64	+ \$255.22
			TOTAL	\$56,334.10	-\$175.79

Asset Class:

**My Accounts**

NATIONAL SCIENCE FOUNDATION - NATIONAL SCIENCE FOUNDATION 403(B) TDA PLAN - Transfer Payout Annuity (as of 01/31/14)

INVESTMENT	ASSET CLASS	UNITS/SHARES	PRICE	BALANCE	CHANGE SINCE 12/31/13
TIAA Traditional	Guaranteed	N/A	N/A	\$5,259.88	+ \$17.54
			TOTAL	\$5,259.88	+ \$17.54

NATIONAL SCIENCE FOUNDATION - NATIONAL SCIENCE FOUNDATION 403(B) TDA PLAN (as of 01/31/14)

MARINE BIOLOGICAL LABORATORY - MARINE BIOLOGICAL LABORATORY DEFINED CONTRIBUTION PLAN (as of



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INVESTMENT	ASSET CLASS	UNITS/SHARES	PRICE	BALANCE	CHANGE SINCE 12/31/13
TIAA Traditional	Guaranteed	N/A	N/A	\$9,163.73	+ \$160.13
CREF Stock	Equities	84.4657	\$330.9900	\$27,957.30	-\$719.02
TIAA Real Estate	Real Estate	16.2430	\$301.6409	\$4,899.55	+ \$110.34
CREF Bond Market	Fixed Income	83.0301	\$109.0404	\$9,053.64	+ \$255.22
			TOTAL	\$51,074.22	-\$193.33

Performance Of Retirement Investments (as of 01/31/14)

CHANGE IN BALANCE AND PERSONAL RATE OF RETURN

DATE	BALANCE	CHANGE SINCE PRIOR QUARTER	RETURN SINCE PRIOR QUARTER	RETURN YTD
12/31/13	\$56,509.89	+ \$4,913.29	4.7%	15.2%
09/30/13	\$51,596.60	+ \$4,439.21	4.2%	10.0%
06/30/13	\$47,157.39	-\$5,927.51	0.9%	5.6%
03/31/13	\$53,084.90	+ \$4,998.23	4.6%	4.6%
12/31/12	\$48,086.67	+ \$3,116.85	1.6%	11.2%
09/30/12	\$44,969.82	+ \$3,693.79	3.9%	9.4%
06/30/12	\$41,276.03	+ \$1,646.12	-1.7%	5.3%
03/31/12	\$39,629.91	+ \$4,910.39	7.2%	7.2%

LIFE TO DATE CONTRIBUTIONS

SOURCE	LIFE-TO-DATE CONTRIBUTIONS
Employee	\$0.00
Employer	\$45,746.83
TOTAL	\$45,746.83

Important note about your personal rate of return: Your personal rate of return is an estimate of the performance of all your Retirement Investments over the specified period. It's an estimate rather than an exact rate of return because we approximate the impact your cash flows have on your performance. The figure: (1) includes expenses, interest and dividend payments; (2) includes contributions and withdrawals, weighted by the number of days between the date of contribution or withdrawal and the end of the quarter; (3) excludes the performance of products purchased through TIAA-CREF's brokerage window and Retirement Healthcare Savings product; and (4) may be different from the return of the individual funds or other investment options included in the portfolio. If your retirement portfolio included cash outflows or inflows, the figure may differ from your actual rate of return depending on market volatility following these cash flows. Past performance is not a guarantee of future results.

Daily Statement Printed on 02/03/14 at 07:51 AM ET

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro** Page **289** of **550**

MONTHLY STATEMENT

Account #
**MARK ALLIEGRO &
MARY ANNE ALLIEGRO - IT TEN**

JOINT TENANTS WROS

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Reporting Period: January 1 - 31, 2013

GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

REGISTERED INVESTMENT ADVISOR

VOIR IR INDEPENDENT ADVISOR

YOUR INDEPENDENT ADVISOR
GRIMES & COMPANY INC
110 TURNPIKE RD
STE 100
WESTBOROUGH MA 01581-1
(508) 366-3883

**Questions about your statement
(800) 431-3500**

TD Ameritrade Clearing, Inc., Member SIPC

CHANGE IN ACCOUNT VALUE		This Month 1/1/13 - 1/31/13	Year to Date 1/1/13 - 1/31/13
BEGINNING VALUE		\$678,679.31	\$678,679.31
Dividends and Interest		1,323.19	1,323.19
Market Appreciation/(Depreciation)		17,422.32	17,422.32
Other Income or Expense		(2,011.00)	(2,011.00)
ENDING VALUE		\$695,413.82	\$695,413.82
CHANGE IN VALUE		\$16,734.51	\$16,734.51
SUMMARY OF HOLDINGS (does not represent an asset allocation)			
	Market Value as of 1/31/13	Percent of Account	
Cash and Cash Alternatives	\$12,166.85	1.75%	Other Income or Expense Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.
Exchange Traded Funds (ETFs)	23,249.30	3.34	
Fixed Income	62,248.55	8.95	
Mutual Funds	315,991.18	45.44	
Stocks	281,757.94	40.52	
TOTAL VALUE	\$695,413.82	100.0%	



Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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Account #
MARK ALLIEGRO &
MARY ANNE ALLIEGRO JT TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2013

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$12,166.85
TOTAL CASH & CASH ALTERNATIVES			\$12,166.85

EXCHANGE TRADED FUNDS (ETFs)

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
VANGUARD								
SHORT TERM CORP BOND ETF	VCSH	12/28/11	290	\$80.17	\$23,249.30	\$22,529.35	\$719.95	\$493.87 2.1%
TOTAL EXCHANGE TRADED FUNDS (ETFs)				\$23,249.30	\$22,529.35	\$719.95	\$493.87	
TOTAL EXCHANGE TRADED FUNDS- LONG POSITION				23,249.30				

FIXED INCOME

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
GENERAL ELECTRIC CAPITAL CORP	36962G4T8	11/5/10	15	\$103.395	\$15,509.25	\$15,008.75	\$500.50	\$337.50 2.2%
SR UNSECURED 2.25% 11/09/2015								
HILLENBRAND INC	431571AA6	9/1/10	10	108.334	10,833.40	10,563.81	269.59	550.00 5.1%
SR UNSECURED M-W CALL 5.5% 07/15/2020								
LEHMAN BROTHERS HOLDINGS	525ESCFW4	-	25	N/P	NP	-	-	-
ESCROW 0% 01/22/2020								
RYDER SYS MTN BE	78355HJM2	2/20/08	20	100.385	20,077.00	20,301.40	(224.40)	1,200.00 6.0%
FR SRS MTN MAKE WHOLE 6% 03/01/2013								

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 386-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name	Mark C. Allegro	Page 291 of 550
Account #	242-2000000000000000	
MARK ALLEGRO &		
MARY ANNE ALLEGRO JT TEN		
JOINT TENANTS WROS		

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2013

HOLDINGS DETAIL (continued)

FIXED INCOME

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
WELLS FARGO BANK NA CD VARIABLE QRTRLY FLT 3.25% 06/30/2016	949748SC1	6/12/09	15	105.526	15,828.90	15,000.00	828.90	487.50
TOTAL FIXED INCOME					\$62,248.55	\$60,873.98	\$1,374.59	\$2,575.00

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES I	AQMIX	11/17/11	3,170.269	\$10.10	\$32,019.72	\$30,498.41	\$1,521.31	\$237.77 0.7%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	8/12/09	5,046.753	6.04	30,482.39	26,615.72	3,866.67	1,998.51 6.6%
EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I	EIGMX	5/6/08	3,671.191	9.91	36,981.50	37,694.65	(1,313.15)	1,424.42 3.9%
EATON VANCE MUT FD STR FLOATING RATE I	EIBLX	1/15/08	3,501.854	9.17	32,112.00	31,847.96	264.04	1,421.75 4.4%
FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS	FLSIX	11/17/11	3,945.344	9.03	35,626.46	31,585.39	4,041.07	990.28 2.8%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I	GIMDX	4/15/10	3,119.064	9.93	30,972.31	29,646.17	1,326.14	1,553.29 5.0%
LOOMIS SAYLES FDS I BOND FUND RETAIL CL	LSBRX	12/12/08	2,042.107	15.24	31,121.71	29,381.30	1,740.41	1,694.95 5.4%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	12/17/12	2,298.731	10.89	25,033.18	25,033.21	(0.03)	949.38 3.8%
PIMCO FDS ALL ASSET ALL AUTH FD INSTL	PAUX	8/12/09	3,834.926	11.12	42,644.38	39,777.96	2,866.42	2,304.79 5.4%

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TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #~~7120166~~
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
TURNER FDS	TSPCX	4/19/11	1,771.929	11.06	19,597.53	20,799.19	(1,201.66)	-
SPECTRUM FUND INVESTOR								
TOTAL MUTUAL FUNDS				\$315,991.18	\$302,879.96	\$13,111.22	\$12,575.15	

STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ACCENTURE LTD	ACN	1/15/08	150	\$71.89	\$10,783.50	\$5,043.50	\$5,740.00	\$243.00 2.3%
ORD								
ACTIVISION BLIZZARD INC	ATVI	3/4/11	470	11.40	5,358.00	5,288.78	69.22	84.60 1.6%
COM								
ANGLOGOLD ASHANTI LIMITED ADR	AU	12/20/12	175	28.02	4,903.50	5,232.94	(329.44)	90.30 1.8%
ADR								
ASTRAZENECA PLC	AZN	4/15/08	125	48.18	6,022.50	5,248.00	774.50	356.25 5.9%
SPONS ADR								
AUTOLIV INC	ALV	8/23/12	90	65.80	5,922.00	5,507.81	414.19	180.00 3.0%
COM								
BLACKROCK INC	BLK	12/2/10	30	236.28	7,088.40	5,107.89	1,980.51	201.60 2.8%
COM								
BOEING CO	BA	8/19/11	80	73.87	5,909.60	4,669.69	1,239.91	155.20 2.6%
COM								
C.H. ROBINSON WORLDWIDE INC	CHRW	6/8/12	85	66.15	5,622.75	4,901.85	720.90	119.00 2.1%
COM								
CISCO SYSTEMS INC	CSCO	1/15/08	290	20.57	5,965.30	6,814.67	(849.37)	162.40 2.7%
COM								
COACH INC	COH	8/29/12	100	51.00	5,100.00	5,552.70	(452.70)	120.00 2.4%
COM								

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Institutional

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro**
 Account # **1000000000000000000**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

Reporting Period: January 1 - 31, 2013

MONTHLY STATEMENT**HOLDINGS DETAIL (continued)****STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income	Yield
CSX CORP COM	CSX	12/20/12	275	22.03	6,058.25	5,529.21	529.04	154.00	2.5%
DIRECTV COM	DTV	3/7/12	115	51.14	5,881.10	5,315.95	565.15	-	-
DOLLAR TREE INC COM	DLTR	12/20/12	150	39.99	5,998.50	5,951.60	46.90	-	-
DR PEPPER SNAPPLE GROUP INC COM	DPS	3/7/12	140	45.07	6,309.80	5,274.00	1,035.80	190.40	3.0%
DU PONT E I DE NEMOURS & CO COM	DD	1/24/08	115	47.45	5,456.75	5,180.82	275.93	197.80	3.6%
EMC CORP MASS COM	EMC	6/8/12	215	24.61	5,291.15	5,282.38	8.77	-	-
EMERSON ELEC CO COM	EMR	2/26/09	125	57.25	7,156.25	3,494.06	3,662.19	205.00	2.9%
GENERAL DYNAMICS CORP COM	GD	12/20/12	80	66.30	5,304.00	5,558.32	(254.32)	163.20	3.1%
GLOBAL PAYMENTS INC COM	GPN	6/8/12	120	49.26	5,911.20	5,077.27	833.93	9.60	0.2%
GOLD FIELDS LTD SPON ADR	GFI	12/20/12	450	11.62	5,229.00	5,233.46	(4.46)	222.75	4.3%
GOOGLE INC CL A	GOOG	5/31/11	11	755.69	8,312.59	5,799.13	2,513.46	-	-
INFOSYS LTD ADR	INFY	8/19/11	140	52.72	7,380.80	6,475.77	905.03	88.20	1.2%
INTEL CORP COM	INTC	2/28/08	250	21.04	5,260.00	5,127.30	132.70	225.00	4.3%
MCDONALDS CORP COM	MCD	12/20/12	60	95.29	5,717.40	5,382.49	334.91	184.80	3.2%
MEDTRONIC INC COM	MDT	1/15/08	150	46.60	6,990.00	6,548.26	441.74	156.00	2.2%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # 123456789
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2013

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
NOVARTIS AG ADR	NVS	1/15/08	90	67.82	6,103.80	5,118.20	985.60	189.54 3.1%
OCCIDENTAL PETROLEUM CORP COM	OXY	12/20/12	70	88.27	6,178.90	5,513.59	665.31	151.20 2.4%
ORACLE CORP COM	ORCL	2/28/08	250	35.51	8,877.50	4,924.74	3,952.76	60.00 0.7%
PRICE T ROWE GROUP INC COM	TROW	12/28/12	85	71.41	6,069.85	5,530.98	538.87	115.60 1.9%
QUALCOMM INC COM	QCOM	12/20/09	110	66.02	7,262.20	4,967.16	2,295.04	110.00 1.5%
RESMED INC COM	RMD	3/7/12	175	43.80	7,665.00	5,133.90	2,531.10	119.00 1.6%
ROBERT HALF INTL INC COM	RHI	12/20/12	185	35.24	6,519.40	5,742.62	776.78	111.00 1.7%
SASOL LTD LIMITED SPNSRD ADR	SSL	3/4/11	130	43.23	5,619.90	6,866.22	(1,246.32)	241.67 4.3%
SCRIPPS NETWORKS INTERACTIVE COM	SNI	3/7/12	115	61.77	7,103.55	5,059.71	2,043.84	55.20 0.8%
SMITH & NEPHEW PLC ADR	SNN	6/1/11	75	57.65	4,323.75	4,236.38	87.37	75.38 1.7%
SUPERIOR ENERGY SERVICES INC COM	SPN	3/7/12	255	24.97	6,367.35	6,677.91	(310.56)	-
TARGET CORP COM	TGT	5/31/11	110	60.41	6,645.10	5,461.53	1,183.57	158.40 2.4%
TEVA PHARMACEUTICAL IND LTD ADR	TEVA	3/4/11	140	37.99	5,318.60	6,614.94	(1,296.34)	112.56 2.1%
THE MOSAIC CO. COM	MOS	12/20/12	95	61.25	5,818.75	5,225.31	593.44	95.00 1.6%
TUPPERWARE BRANDS CORP COM	TUP	6/8/12	95	76.20	7,239.00	5,162.57	2,076.43	235.60 3.3%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **295** of 550

Account **MARK ALLEGRO & MARY ANNE ALLEGRO JT TEN**
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2013

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
UNITED PARCEL SERVICE CL B	UPS	1/15/08	75	79.29	5,946.75	5,192.44	754.31	171.00 2.9%
UNITEDHEALTH GROUP INC COM	UNH	8/23/12	100	55.21	5,521.00	5,416.56	104.44	85.00 1.5%
VERIZON COMMUNICATIONS COM	VZ	12/2/09	150	43.61	6,541.50	4,604.45	1,937.05	309.00 4.7%
WESTERN UNION COM	WU	5/16/08	425	14.23	6,047.75	8,046.16	(1,998.41)	212.50 3.5%
XILINX INC COM	XLNX	6/8/12	155	36.49	5,655.95	5,035.35	620.60	136.40 2.4%
TOTAL STOCKS				\$281,757.94	\$245,128.57	\$36,629.37	\$6,253.15	
TOTAL STOCKS- LONG POSITION								
TOTAL HOLDINGS				\$695,413.82	\$631,411.84	\$51,835.13	\$21,897.16	
TOTAL ACCOUNT VALUE				\$695,413.82				

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
01/02	01/02	Dividends and Interest	EATON VANCE MUT FDS TR FLOATING RATE I PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 116.58	EIBLX	-	\$ -	\$116.58

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

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Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **296** of **550**Account # **2411111111111111**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN**JOINT TENANTS WROS****MONTHLY STATEMENT**

Reporting Period: January 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
01/02	01/02	Buy	EATON VANCE MUT FDS TR FLOATING RATE I REINVESTED AT 9.12 PER SHARE	EIBLX	12.783	9.12	(116.58)
01/02	01/02	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I PAYABLE: 12/31/2012	EIGMX	-	-	120.25
01/02	01/02	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I REINVESTED AT 9.83 PER SHARE	EIGMX	12.233	9.83	(120.25)
01/02	01/02	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CLA PAYABLE: 12/31/2012	EVIBX	-	-	155.20
01/02	01/02	Buy	ORDINARY DIVIDENDS 155.20				
01/02	01/02	Buy	EATON VANCE INCOME FUND OF BOSTON CLA REINVESTED AT 6.00 PER SHARE	EVIBX	25.867	6.00	(155.20)
01/02	01/02	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I PAYABLE: 12/31/2012	GIMDX	-	-	127.02
01/02	01/02	Buy	ORDINARY DIVIDENDS 127.02				
01/02	01/02	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I REINVESTED AT 9.86 PER SHARE	GIMDX	12.882	9.86	(127.02)
01/02	01/02	Buy	PIMCO FDS ALL ASSET ALL AUTH FD INSTL PAYABLE: 12/31/2012	PAUX	-	-	345.23
01/02	01/02	Buy	ORDINARY DIVIDENDS 345.23				
01/02	01/02	Buy	PIMCO FDS ALL ASSET ALL AUTH FD INSTL REINVESTED AT 11.09 PER SHARE	PAUX	31.13	11.09	(345.23)

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro**
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MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

Reporting Period: January 1 - 31, 2013

MONTHLY STATEMENT
TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
01/02	01/02	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 12/31/2012 ORDINARY DIVIDENDS 33.21	MWTRX	-	-	33.21
01/02	01/02	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.90 PER SHARE	MWTRX	3.047	10.90	(33.21)
12/28	01/03	Buy	PRICE T ROWE GROUP INC COM	TROW	85	65.0703	(5,530.98)
12/28	01/03	Sell	KELLOGG CO COM	K	(140)	55.3707	7,751.72
01/04	01/04	Dividends and Interest	DR PEPPER SNAPPLE GROUP INC COM PAYABLE: 01/04/2013 QUALIFIED DIVIDENDS 47.60	DPS	-	-	47.60
01/11	01/11	Other Income or Expense	QUARTERLY MGMT FEE GRIMES & COMPANY INC 9170605732	-	-	-	(2,011.00)
01/15	01/15	Dividends and Interest	HILLENBRAND INC SR UNSECURED M-W CALL 5.5% 07/15/2020 PAYABLE: 01/15/2013 TAXABLE INT CREDITED 275.00	431571AA6	-	-	275.00
01/17	01/17	Deliver	METROPOLITAN WEST TOTAL RETURN BD FD TRANSFER TO 917060573-2	MWTRX	(2,295.684)	-	-
01/17	01/17	Receive	METROPOLITAN WEST TOTAL RETURN BD FD TRANSFER FROM 917060573-1	MWTRX	2,295.684	-	-
01/22	01/22	Deliver	METROPOLITAN WEST TOTAL RETURN BD FD TRANSFER TO 917060573-2	MWTRX	(3.047)	-	-

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MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS**MONTHLY STATEMENT**

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
01/22	01/22	Receive	METROPOLITAN WEST TOTAL RETURN BD FD TRANSFER FROM 917060573-1	MWTRX	3.047	-	-
01/29	01/29	Dividends and Interest	LOOMIS SAYLES FDS I BOND FUND RETAIL CL PAYABLE: 01/29/2013 ORDINARY DIVIDENDS 102.99	LSBRX	-	-	102.99
01/29	01/29	Buy	LOOMIS SAYLES FDS I BOND FUND RETAIL CL REINVESTED AT 15.26 PER SHARE	LSBRX	6.749	15.26	(102.99)
01/31	01/31	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .11 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 01/31/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.11	MMDA12	0.11	-	0.11

TRADES PENDING SETTLEMENT

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
01/30	02/04	Sell	VANGUARD SHORT TERM CORP BOND ETF	VCSH	(190)	\$80.1961	\$15,236.91

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MONTHLY STATEMENT

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TD AMERITRADE CASH INTEREST CREDIT/EXPENSE

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
01/01	\$-	\$131.41	1	0.0100	-	\$ -
TOTAL INTEREST INCOME(EXPENSE)					-	-

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
01/01	\$11,502.99	1	0.0100	\$-	\$-	\$ -
01/02	11,634.40	1	0.0100	0.01	0.01	-
01/03	13,855.14	1	0.0100	-	0.01	-
01/04	13,902.74	7	0.0100	0.03	0.04	-
01/11	11,891.74	4	0.0100	0.01	0.05	-
01/15	12,166.74	17	0.0100	0.06	0.11	0.11
TOTAL INTEREST INCOME						\$0.11

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MONTHLY STATEMENT

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INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					
01/02	01/02	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	\$131.41	11,634.40
01/03	01/03	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	2,220.74	13,855.14
01/04	01/04	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	(2,011.00)	13,902.74
01/14	01/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	275.00	12,166.74
01/15	01/15	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	0.11	12,166.85
Closing Balance				\$12,166.85	
TD Bank NA					

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

MONTHLY STATEMENT

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**

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JOINT TENANTS WROS

GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT
 Reporting Period: February 1 - 28, 2013

ACCOUNT SUMMARY

Total Account Value: **\$695,137.44**

CHANGE IN ACCOUNT VALUE

	This Month 2/1/13 - 2/28/13	Year to Date 1/1/13 - 2/28/13	
BEGINNING VALUE	\$695,413.82	\$678,679.31	Market Appreciation/Depreciation
Securities Transferred In	642.88	642.88	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Dividends and Interest	927.96	2,251.15	
Market Appreciation/(Depreciation)	(1,850.22)	15,572.10	
Other Income or Expense	3.00	(2,008.00)	
ENDING VALUE	\$695,137.44	\$695,137.44	Other Income or Expense
CHANGE IN VALUE	\$(276.38)	\$16,458.13	Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 2/28/13	Percent of Account	
Cash and Cash Alternatives	\$12,585.28	1.81%	Fixed Income includes Corporate, Municipal, Agency, Treasury, CMOS, CDs, Structured Products, etc.
Exchange Traded Funds (ETFs)	8,031.00	1.16	
Fixed Income	62,455.35	8.98	
Mutual Funds	330,331.94	47.52	
Stocks	281,733.87	40.53	
TOTAL VALUE	\$695,137.44	100.0%	

Fixed Income includes Corporate, Municipal, Agency, Treasury, CMOS, CDs, Structured Products, etc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Account

MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEM

MONTHLY STATEMENT

Reporting Period: February 1 - 28, 2013

HOLDINGS DETAILS

CASH AND CASH ALTERNATIVES

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$12,585.28
TOTAL CASH & CASH ALTERNATIVES			\$12,585.28

EXCHANGE TRADED FUNDS (ETFs)

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
VANGUARD								
SHORT TERM CORP BOND ETF	VCSH	12/28/11	100	\$80.31	\$8,031.00	\$7,768.74	\$262.26	\$168.10 2.1%
TOTAL EXCHANGE TRADED FUNDS (ETFs)								
TOTAL EXCHANGE TRADED FUNDS- LONG POSITION								
				\$8,031.00	\$7,768.74	\$262.26		
				8,031.00				\$168.10

FIXED INCOME

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Gain/(Loss)	Unrealized Gain/(Loss)	Estimated Income Yield
GENERAL ELECTRIC CAPITAL CORP SR UNSECURED 2.25% 11/09/2015	36962G4T8	11/5/10	15	\$103.623	\$15,543.45	\$15,008.75	\$534.70	\$337.50 2.2%	
HILLENBRAND INC SR UNSECURED M-W CALL 5.5% 07/15/2020	431571AA6	9/1/10	10	110.836	11,083.60	10,563.81	519.79	550.00 5.0%	
LEHMAN BROTHERS HOLDINGS ESCROW 0% 01/22/2020	525ESCFW4	-	25	NP	NP	-	-	-	
RYDER SYS MTN BE FR SRS MTN MAKE WHOLE 6% 03/01/2013	78355HJM2	2/20/08	20	100.00	20,000.00	20,301.40	(301.40)	1,200.00 6.0%	

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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JOINT TENANTS WROS**HOLDINGS DETAIL (continued)****FIXED INCOME**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
WELLS FARGO BANK NA CD VARIABLE QRTRLY FLT 3.25% 06/30/2016	949748SQ1	6/1/2009	15	105.522	15,828.30	15,000.00	828.30	487.50
TOTAL FIXED INCOME				\$62,455.35	\$60,873.96	\$1,581.39	\$2,575.00	

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
STONE RIDGE REINSURANCE RISK PREMIUM I	SREIX	2/1/13	1,071	\$9.98	\$10,688.58	\$10,734.00	\$(-45.42)	\$-
STONE RIDGE HIGH YLD REINSUR RISK PREM I	SHRIX	2/1/13	428.5	9.98	4,276.43	4,309.00	(32.57)	-
AQR MANAGED FUTURES I	AQMIX	11/1/11	3,170.269	9.98	31,639.28	30,498.41	1,140.87	237.77 0.8%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	8/12/09	5,072.659	6.04	30,638.86	26,772.19	3,866.67	1,983.41 6.5%
EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I	EIGMX	5/6/08	3,683.393	9.88	36,391.92	37,815.57	(1,423.65)	1,429.16 3.9%
EATON VANCE MUT FDS TR FLOATING RATE I	EIBLX	1/15/08	3,514.505	9.16	32,192.87	31,963.97	228.90	1,430.40 4.4%
FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS	FLSIX	11/17/11	3,945.344	9.03	35,626.46	31,585.39	4,041.07	990.28 2.8%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I	GIMDX	4/15/10	3,133.311	9.83	30,800.45	29,787.64	1,012.81	1,566.66 5.1%
LOOMIS SAYLES FDS I BOND FUND RETAIL CL	LSBRX	12/12/08	2,049.663	15.11	30,970.41	29,495.25	1,475.16	1,695.07 5.5%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	12/17/12	2,304.022	10.92	25,159.92	25,090.83	69.09	923.91 3.7%
PIMCO FDS ALL ASSET ALL AUTH FD INSTL	PAUIX	8/12/09	3,834.926	11.08	42,490.98	39,777.96	2,713.02	2,304.79 5.4%
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	4/19/11	1,771.929	10.98	19,455.78	20,799.19	(1,343.41)	-
TOTAL MUTUAL FUNDS				\$330,331.94	\$318,629.40	\$11,702.54	\$12,561.45	

STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ACCENTURE LTD ORD	ACN	1/15/08	150	\$74.36	\$11,154.00	\$5,043.50	\$6,110.50	\$243.00 2.2%
ACTIVISION BLIZZARD INC COM	ATVI	3/4/11	470	14.295	6,718.65	5,288.78	1,429.87	89.30 1.3%
ANGLOGOLD ASHANTI LIMITED ADR ADR	AU	12/20/12	175	24.24	4,242.00	5,232.94	(990.94)	52.50 1.2%
ASTRAZENECA PLC SPONS ADR	AZN	4/15/08	125	45.44	5,680.00	5,248.00	432.00	350.00 6.2%
AUTOLIV INC COM	ALV	8/23/12	90	65.18	5,866.20	5,507.81	358.39	180.00 3.1%
BLACKROCK INC COM	BLK	12/2/10	30	239.75	7,192.50	5,107.89	2,084.61	201.60 2.8%
BOEING CO COM	BA	8/19/11	80	76.90	6,152.00	4,669.69	1,482.31	155.20 2.5%
C.H. ROBINSON WORLDWIDE INC COM	CHRW	6/8/12	85	57.02	4,846.70	4,901.85	(55.15)	119.00 2.5%

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JOINT TENANTS WROS

HOLDINGS DETAIL (continued)

STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income	Estimated Yield
CISCO SYSTEMS INC COM	CSCO	1/15/08	290	20.855	6,047.95	6,814.67	(766.72)	162.40	2.7%
COACH INC COM	COH	8/23/12	100	48.33	4,833.00	5,552.70	(719.70)	120.00	2.5%
CSX CORP COM	CSX	12/20/12	275	22.94	6,308.50	5,529.21	779.29	154.00	2.4%
DIRECTV COM	DTV	3/7/12	115	48.17	5,539.55	5,315.95	223.60	-	-
DOLLAR TREE INC COM	DLTR	12/20/12	150	45.185	6,777.75	5,951.60	826.15	-	-
DR PEPPER SNAPPLE GROUP INC COM	DPS	3/7/12	140	43.62	6,106.80	5,274.00	832.80	212.80	3.5%
DU PONT E I DE NEMOURS & CO COM	DD	1/24/08	115	47.90	5,508.50	5,180.82	327.68	197.80	3.6%
EMC CORP MASS COM	EMC	6/8/12	215	23.01	4,947.15	5,282.38	(335.23)	-	-
EMERSON ELEC CO COM	EMR	2/26/09	125	56.70	7,087.50	3,494.06	3,593.44	205.00	2.9%
GENERAL DYNAMICS CORP COM	GD	12/20/12	80	67.97	5,437.60	5,558.32	(120.72)	163.20	3.0%
GLOBAL PAYMENTS INC COM	GPN	6/8/12	120	48.21	5,785.20	5,077.27	707.93	9.60	0.2%
GOLD FIELDS LTD SPON ADR	GFI	12/20/12	450	8.29	3,730.50	4,492.07	(761.57)	118.80	3.2%
GOOGLE INC CL A	GOOG	5/31/11	11	801.20	8,813.20	5,799.13	3,014.07	-	-
INFOSYS LTD ADR	INFY	8/19/11	140	53.93	7,550.20	6,475.77	1,074.43	88.20	1.2%
INTEL CORP COM	INTC	2/28/08	250	20.88	5,220.00	5,127.30	92.70	225.00	4.3%

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HOLDINGS DETAIL (continued)

STOCKS		Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income	Yield
Investment Description										
MCDONALDS CORP		MCD	12/20/12	60	95.90	5,754.00	5,382.49	371.51	184.80	3.2%
COM										
MEDTRONIC INC		MDT	1/15/08	150	44.96	6,744.00	6,548.26	195.74	156.00	2.3%
COM										
NOVARTIS AG		NVS	1/15/08	90	67.80	6,102.00	5,118.20	983.80	147.96	2.4%
ADR										
OCCIDENTAL PETROLEUMCORP		OXY	12/20/12	70	82.33	5,763.10	5,513.59	249.51	179.20	0.7%
COM										
ORACLE CORP		ORCL	2/28/08	250	34.24	8,560.00	4,924.74	3,635.26	60.00	0.7%
COM										
PRICE T ROWE GROUP INC		TROW	12/28/12	85	71.19	6,051.15	5,530.98	520.17	129.20	2.1%
COM										
QUALCOMM INC		QCOM	12/2/09	110	65.64	7,220.40	4,967.16	2,253.24	110.00	1.5%
COM										
RESMED INC COM		RMD	3/7/12	175	44.49	7,785.75	5,133.90	2,651.85	119.00	1.8%
COM										
ROBERT HALF INTL INC		RHI	12/20/12	185	35.55	6,576.75	5,742.62	834.13	118.40	-
COM										
SASOL LTD LIMITED SPNSRD ADR		SSL	3/4/11	130	42.75	5,557.50	6,866.22	(1,308.72)	241.67	4.3%
COM										
SCRIPPS NETWORKS INTERACTIVE		SNI	3/7/12	115	63.05	7,250.75	5,059.71	2,191.04	69.00	1.0%
COM										
SIBANYE GOLD LTD		SBGL	12/20/12	112	5.66	633.92	738.09	(104.17)	-	-
ADR										
SMITH & NEPHEW PLC		SNN	6/1/11	75	53.69	4,026.75	4,236.38	(209.63)	75.38	1.9%
ADR										
SUPERIOR ENERGY SERVICES INC		SPN	3/7/12	255	26.45	6,744.75	6,677.91	66.84	-	-
COM										
TARGET CORP		TGT	5/31/11	110	62.96	6,925.60	5,461.53	1,464.07	158.40	2.3%
COM										

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GRIMES & COMPANY INC (508) 366-3883

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name	Mark C. Allegro	Page	308 of 550
Account	MARK ALLEGRO & MARY ANNE ALLEGRO JT TEN		
JOINT TENANTS WROS			

MONTHLY STATEMENT

Reporting Period: February 1 - 28, 2013

HOLDINGS DETAIL (continued)

STOCKS								
Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
TEVA PHARMACEUTICAL IND'S LTD ADR	TEVA	3/4/11	140	37.40	5,236.00	6,614.94	(1,378.94)	122.36 2.3%
THE MOSAIC CO. COM	MOS	12/20/12	95	58.54	5,561.30	5,225.31	335.99	95.00 1.7%
TUPPERWARE BRANDS CORP COM CL B	TUP	6/8/12	95	78.23	7,431.85	5,162.57	2,269.28	235.60 3.2%
UNITED PARCEL SERVICE	UPS	1/15/08	75	82.65	6,198.75	5,192.44	1,006.31	186.00 3.0%
UNITEDHEALTH GROUP INC COM	UNH	8/23/12	100	53.45	5,345.00	5,416.56	(71.56)	85.00 1.6%
VERIZON COMMUNICATIONS COM	VZ	12/20/09	150	46.53	6,979.50	4,604.45	2,375.05	309.00 4.4%
WESTERN UNION COM	WU	5/16/08	425	14.03	5,962.75	8,046.16	(2,083.41)	212.50 3.6%
XILINX INC COM	XLNX	6/8/12	155	37.27	5,776.85	5,035.35	741.50	136.40 2.4%
TOTAL STOCKS				\$281,733.87	\$245,125.27	\$36,608.60	\$6,178.27	
TOTAL STOCKS- LONG POSITION								
TOTAL HOLDINGS				\$695,137.44	\$632,397.37	\$50,154.79	\$21,482.82	
TOTAL ACCOUNT VALUE				\$695,137.44				

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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Mark C. Allegro

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ACCOUNT #
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN**MONTHLY STATEMENT**

Reporting Period: February 1 - 28, 2013

JOINT TENANTS WROS

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
02/01	02/01	Dividends and Interest	VERIZON COMMUNICATIONS COM PAYABLE: 02/01/2013 QUALIFIED DIVIDENDS 77.25	VZ	-	\$ -	\$77.25
02/01	02/01	Dividends and Interest	EATON VANCE FDS GBL MACRO ABSOLUTE RETURN I PAYABLE: 01/31/2013 ORDINARY DIVIDENDS 120.92	EIGMX	-	-	120.92
02/01	02/01	Buy	EATON VANCE FDS GBL MACRO ABSOLUTE RETURN I REINVESTED AT 9.91 PER SHARE	EIGMX	12.202	9.91	(120.92)
02/01	02/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 01/31/2013 ORDINARY DIVIDENDS 156.47	EVIBX	-	-	156.47
02/01	02/01	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 6.04 PER SHARE	EVIBX	25.906	6.04	(156.47)
02/01	02/01	Dividends and Interest	EATON VANCE MUT FDS TR FLOATING RATE I PAYABLE: 01/31/2013 ORDINARY DIVIDENDS 116.01	EIBLX	-	-	116.01
02/01	02/01	Buy	EATON VANCE MUT FDS TR FLOATING RATE I REINVESTED AT 9.17 PER SHARE	EIBLX	12.651	9.17	(116.01)
02/01	02/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I PAYABLE: 01/31/2013 ORDINARY DIVIDENDS 141.47	GIMDX	-	-	141.47
02/01	02/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I REINVESTED AT 9.93 PER SHARE	GIMDX	14.247	9.93	(141.47)

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: February 1 - 28, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
02/01	02/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 01/31/2013 ORDINARY DIVIDENDS 57.62	MWTRX	-	-	57.62
02/01	02/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.89 PER SHARE	MWTRX	5.291	10.89	(57.62)
01/30	02/04	Sell	VANGUARD SHORT TERM CORP BOND ETF	VCSH	(190)	80.1961	15,236.91
02/04	02/04	Buy	STONE RIDGE REINSURANCE RISK PREMIUM I	SREIX	1,071	10.00	(10,734.00)
02/04	02/04	Buy	HIGH YLD REINSUR RISK PREM I	SHRIX	428.5	10.00	(4,309.00)
02/05	02/05	Other Income or Expense	MOVE CASH BALANCE TO MARGIN	-	-	-	(15,043.00)
02/05	02/05	Other Income or Expense	MOVE CASH BALANCE TO MARGIN	-	-	-	15,043.00
02/06	02/06	Dividends and Interest	VANGUARD SHORT TERM CORP BOND ETF PAYABLE: 02/06/2013 ORDINARY DIVIDENDS 12.80	VCSH	-	-	12.80
02/06	02/06	Dividends and Interest	VODAFONE GROUP PLC ADR PAYABLE: 02/06/2013 QUALIFIED DIVIDENDS 71.12	VOD	-	-	71.12
02/21	02/21	Dividends and Interest	THE MOSAIC CO. COM PAYABLE: 02/21/2013 QUALIFIED DIVIDENDS 23.75	MOS	-	-	23.75

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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Mark C. Allegro

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MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN**MONTHLY STATEMENT**

Reporting Period: February 1 - 28, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
02/21	02/21	Securities Transferred In	SIBANYE GOLD LTD ADR STOCK DIVSPLIT ON 450 SHARES SPINOFF FROM GOLD FIELDS LTD SPONS ADR CUSIP 38059T106 PAYABLE: 02/21/2013	SBGL	112	-	-
02/26	02/26	Dividends and Interest	LOOMIS SAYLES FDS I BOND FUND RETAIL CL PAYABLE: 02/26/2013 ORDINARY DIVIDENDS 113.95	LSBRX	-	-	113.95
02/26	02/26	Buy	LOOMIS SAYLES FDS I BOND FUND RETAIL CL REINVESTED AT 15.08 PER SHARE	LSBRX	7.556	15.08	(113.95)
02/27	02/27	Dividends and Interest	XILINX INC COM PAYABLE: 02/27/2013 QUALIFIED DIVIDENDS 34.10	XLNX	-	-	34.10
02/27	02/27	Other Income or Expense	SIBANYE GOLD LTD ADR STOCK DIVSPLIT CASH IN LIEU. PRICE \$6.0025 PAYABLE: 02/21/2013	SBGL	-	-	3.00

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLEGRO &
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JOINT TENANTS WROS

MONTHLY STATEMENT

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/CUSIP	Quantity	Price	Transaction Amount
02/28	02/28	Dividends and Interest	GLOBAL PAYMENTS INC COM PAYABLE: 02/28/2013 QUALIFIED DIVIDENDS 2.40	MMDA12	0.1	-	0.10
02/28	02/28	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON 1 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 02/28/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.10				2.40

TD AMERITRADE CASH INTEREST CREDIT/EXPENSE

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
02/06	\$-	\$71.12	1	0.0100	-	\$ -
02/27	-	3.00	1	0.0100	-	-
TOTAL INTEREST INCOME(EXPENSE)						

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page 3/3 of 550Account # **10000000000000000000**

MARK ALLEGRO & MARY ANNE ALLEGRO JT TEN

JOINT TENANTS WROS

Reporting Period: February 1 - 28, 2013

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
02/01	\$12,244.10	3	0.0100	\$0.01	\$0.01	\$ -
02/04	12,438.01	2	0.0100	0.01	0.02	-
02/06	12,450.81	1	0.0100	-	0.02	-
02/07	12,521.93	14	0.0100	0.05	0.07	-
02/21	12,545.68	6	0.0100	0.02	0.09	-
02/27	12,579.78	1	0.0100	-	0.09	-
02/28	12,585.18	1	0.0100	0.01	0.10	0.10
TOTAL INTEREST INCOME				\$0.10		

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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MONTHLY STATEMENT

Reporting Period: February 1 - 28, 2013

Account #	500-1000000000000000
MARK ALLEGRO &	
MARY ANNE ALLEGRO JT TEN	
JOINT TENANTS WROS	

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					
02/01	02/01	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	\$77.25	12,244.10
02/04	02/04	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	15,236.91	27,481.01
02/05	02/05	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(15,043.00)	12,438.01
02/06	02/06	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	12.80	12,450.81
02/07	02/07	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	71.12	12,521.93
02/21	02/21	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	23.75	12,545.68
02/27	02/27	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	34.10	12,579.78

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Account

MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
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MONTHLY STATEMENT

Reporting Period: February 1 - 28, 2013

INSURED DEPOSIT ACCOUNT ACTIVITY (continued)

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
02/28	02/28	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	5.40	12,585.18
02/28	02/28	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.10	12,585.28
Closing Balance				\$12,585.28	
TD Bank NA					

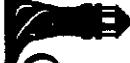
FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

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GRIMES & COMPANY INC (508) 366-3883



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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

GRIMES COMPANY
 A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT
 Reporting Period: March 1 - 31, 2013
ACCOUNT SUMMARY**Total Account Value:** **\$707,931.64****CHANGE IN ACCOUNT VALUE**

	This Month 3/1/13 - 3/31/13	Year to Date 1/1/13 - 3/31/13	
BEGINNING VALUE	\$695,137.44	\$678,679.31	Market Appreciation/Depreciation
Securities Transferred In	-	642.88	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Dividends and Interest	2,387.16	4,638.31	
Market Appreciation/(Depreciation)	10,419.14	25,991.24	
Other Income or Expense	(12.10)	(2,020.10)	
ENDING VALUE	\$707,931.64	\$707,931.64	Other Income or Expense
CHANGE IN VALUE	\$12,794.20	\$29,252.33	Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 3/31/13	Percent of Account	
Cash and Cash Alternatives	\$17,754.75	2.51%	<i>Fixed Income includes Corporate, Municipal, Agency, Treasury, CMOS, CDs, Structured Products, etc.</i>
Exchange Traded Funds (ETFs)	8,033.50	1.13	
Fixed Income	42,416.00	5.99	
Mutual Funds	346,306.24	48.92	
Stocks	293,421.15	41.45	
TOTAL VALUE	\$707,931.64	100.0%	

 Name **Mark C. Allegro** Page **3/6** of **550**
 Account # **1000000000000000000**
 MARK ALLEGRO &
 MARY ANNE ALLEGRO JT/TEN
 JOINT TENANTS WHO/S

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page 317 of 550**MONTHLY STATEMENT**

Reporting Period: March 1 - 31, 2013

Account # **742-0000000000000000**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$17,754.75
TOTAL CASH & CASH ALTERNATIVES			\$17,754.75

EXCHANGE TRADED FUNDS (ETFs)

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
VANGUARD SHORT TERM CORP BOND ETF	VCSH	12/28/11	100	\$80.335	\$8,033.50	\$7,768.74	\$264.76	\$166.40 2.1%
TOTAL EXCHANGE TRADED FUNDS (ETFs)				\$8,033.50	\$7,768.74	\$264.76		\$166.40
TOTAL EXCHANGE TRADED FUNDS- LONG POSITION				8,033.50				

FIXED INCOME

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
GENERAL ELECTRIC CAPITAL CORP SR UNSECURED 2.25% 11/09/2015	36962G4T8	11/5/10	15	\$103.419	\$15,512.85	\$15,008.75	\$504.10	\$337.50 2.2%
HILLENBRAND INC SR UNSECURED M-W CALL 5.5% 07/15/2020	431571AA6	9/1/10	10	110.93	11,093.00	10,563.81	529.19	550.00 5.0%
LEHMAN BROTHERS HOLDINGS ESCROW 0% 01/22/2020	525ESCFW4	-	25	NP	NP	-	-	-

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME
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JOINT TENANTS WROS

MONTHLY STATEMENT
Reporting Period: March 1 - 31, 2013
HOLDINGS DETAIL (continued)
FIXED INCOME

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
WELLS FARGO BANK NA CD VARIABLE QRTRLY FLT 3.25% 06/30/2016	949748SQ1	6/1/2009	15	105.401	15,810.15	15,000.00	810.15	487.50
TOTAL FIXED INCOME				\$42,416.00	\$40,572.56	\$1,843.44	\$1,375.00	

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
STONE RIDGE REINSURANCE RISK PREMIUM I	SREIX	2/1/13	1,074.223	\$9.97	\$10,710.00	\$10,750.13	\$(-40.13)	\$-
STONE RIDGE HIGH YLD REINSUR RISK PREM I	SHRIX	2/1/13	429.359	9.99	4,289.30	4,313.31	(24.01)	-
AQR MANAGED FUTURES I	AQMIX	11/17/11	3,170.269	10.07	31,924.61	30,498.41	1,426.20	237.77
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	8/1/2009	5,096.169	6.07	30,933.75	26,914.19	4,019.56	1,967.12 6.4%
EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I	EIGMX	5/6/08	3,706.837	9.88	36,623.55	38,047.20	(1,423.65)	1,434.55 3.9%
EATON VANCE MUT FD STR FLOATING RATE I	EIBLX	1/15/08	3,525.86	9.21	32,473.17	32,067.98	405.19	1,427.97
FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS	FLSIX	11/17/11	3,978.116	8.92	35,484.79	31,878.04	3,606.75	998.51 2.8%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I	GIMDX	4/15/10	3,145.249	9.71	30,540.37	29,904.99	635.38	1,553.75 5.1%
LOOMIS SAYLES FDS I BOND FUND RETAIL CL	LSBRX	12/12/08	2,057.159	15.23	31,330.53	29,609.42	1,721.11	1,695.10 5.4%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

MONTHLY STATEMENT Reporting Period: March 1 - 31, 2013

HOLDINGS DETAIL (continued)

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	12/17/12	2,309.489	10.92	25,219.62	25,150.53	69.09	909.94 3.6%
PIMCO FDS ALL ASSET ALL AUTH FD INSTL	PAUIX	8/12/09	3,869.286	10.93	42,291.30	40,153.51	2,137.79	2,329.31 5.5%
STONE RIDGE REINSURANCE RISK PREMIUM I	SREIX	2/1/13	1,071	9.97	10,677.87	10,717.87	(40.00)	-
STONE RIDGE HIGH YLD REINSUR RISK PREM I	SHRIX	2/1/13	428.5	9.99	4,280.72	4,304.69	(23.97)	-
TURNER FDS SPECTRUM FUND INVESTOR	TPRCX	4/19/11	1,771.929	11.02	19,526.66	20,799.19	(1,272.53)	-
TOTAL MUTUAL FUNDS				\$346,306.24	\$335,109.46	\$11,196.78	\$12,554.02	

STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ACCENTURE LTD ORD	ACN	1/15/08	85	\$75.97	\$6,457.45	\$2,857.98	\$3,599.47	\$137.70 2.1%
ANGLOGOLD ASHANTI LIMITED ADR ADR	AU	12/20/12	175	23.55	4,121.25	5,232.94	(1,111.69)	52.50 1.3%
APPLE INC COM	AAPL	3/15/13	13	442.66	5,754.58	5,734.94	19.64	137.80 2.4%
ASTRAZENECA PLC SPONS ADR	AZN	4/15/08	125	49.98	6,247.50	5,248.00	999.50	350.00 5.6%
AUTOLIV INC COM	ALV	8/23/12	90	69.14	6,222.60	5,507.81	714.79	180.00 2.9%
BLACKROCK INC COM	BLK	12/2/10	30	256.88	7,706.40	5,107.89	2,598.51	201.60 2.6%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Alliegro

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Account #**10000000000000000000**
MARK ALLIEGRO &
MARY ANNE ALLIEGRO JT TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: March 1 - 31, 2013

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Gain/(Loss)	Unrealized Income	Estimated Income Yield
BOEING CO COM	BA	8/19/11	80	85.85	6,868.00	4,669.69	2,198.31	155.20	2.3%
C.H. ROBINSON WORLDWIDE INC COM	CHRW	6/8/12	85	59.46	5,054.10	4,901.85	152.25	119.00	2.4%
CA INCORPORATED COM	CA	3/15/13	230	25.18	5,791.40	5,869.60	(78.20)	230.00	4.0%
CISCO SYSTEMS INC COM	CSCO	1/15/08	290	20.895	6,059.55	6,814.67	(755.12)	162.40	2.7%
COACH INC COM	COH	8/23/12	100	49.99	4,999.00	5,552.70	(553.70)	120.00	2.4%
CSX CORP COM	CSX	12/20/12	275	24.63	6,773.25	5,529.21	1,244.04	154.00	2.3%
DIRECTV COM	DTV	3/7/12	115	56.59	6,507.85	5,315.95	1,191.90	-	-
DOLLAR TREE INC COM	DLTR	12/20/12	150	48.43	7,264.50	5,951.60	1,312.90	-	-
DR PEPPER SNAPPLE GROUP INC COM	DPS	3/7/12	140	46.95	6,573.00	5,274.00	1,299.00	212.80	3.2%
DU PONT E.I. DE NEMOURS & CO COM	DD	1/24/08	115	49.16	5,653.40	5,180.82	472.58	197.80	3.5%
EMC CORP MASS COM	EMC	6/8/12	315	23.89	7,525.35	7,826.64	(301.29)	-	-
EMERSON ELEC CO COM	EMR	2/26/09	125	55.87	6,983.75	3,494.06	3,489.69	205.00	2.9%
GOLD FIELDS LTD SPON ADR	GFI	12/20/12	580	7.75	4,495.00	5,531.77	(1,036.77)	151.38	3.4%
GOOGLE INC CL A	GOOG	5/31/11	7	794.188	5,559.32	3,690.36	1,868.96	-	-
INFOSYS LTD ADR	INFY	8/19/11	140	53.91	7,547.40	6,475.77	1,071.63	88.20	1.2%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page 32 | of 550Account # MARK ALLEGRO &
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JOINT TENANTS WROS

MONTHLY STATEMENT

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HOLDINGS DETAIL (continued)

STOCKS		Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
Investment Description									
INTEL CORP COM		INTC	2/28/08	250	21.835	5,458.75	5,127.30	331.45	225.00 4.1%
MCDONALDS CORP COM		MCD	1/20/12	60	99.69	5,981.40	5,382.49	598.91	184.80 3.1%
MEDTRONIC INC COM		MDT	1/15/08	150	46.96	7,044.00	6,548.26	495.74	156.00 2.2%
MICROSOFT CORP COM		MSFT	3/15/13	300	28.605	8,581.50	8,409.00	172.50	276.00 3.2%
NATIONAL-OILWELL VARCO INC COM		NOV	3/15/13	85	70.75	6,013.75	5,912.59	101.16	44.20 0.7%
NORTHROP GRUMMAN CORP COM		NOC	3/15/13	90	70.15	6,313.50	6,106.03	207.47	198.00 3.1%
NOVARTIS AG ADR		NVS	1/15/08	90	71.24	6,411.60	5,118.20	1,293.40	193.05 3.0%
Occidental Petroleum Corp COM		OXY	12/20/12	70	78.37	5,485.90	5,513.59	(27.69)	179.20 3.3%
ORACLE CORP COM		ORCL	2/28/08	250	32.33	8,082.50	4,924.74	3,157.76	60.00 0.7%
PRICE T ROWE GROUP INC COM		TROW	12/28/12	85	74.87	6,363.95	5,530.98	832.97	129.20 2.0%
QUALCOMM INC COM		QCOM	12/20/09	110	66.94	7,363.40	4,967.16	2,396.24	110.00 1.5%
RESMED INC COM		RMD	3/7/12	175	46.36	8,113.00	5,133.90	2,979.10	119.00 1.5%
ROBERT HALF INTL INC COM		RHI	12/20/12	185	37.53	6,943.05	5,742.62	1,200.43	118.40 1.7%
SASOL LTD LIMITED SPNSRD ADR		SSL	3/4/11	130	44.34	5,764.20	6,866.22	(1,102.02)	216.06 3.7%
SCRIPPS NETWORKS INTERACTIVE COM		SNI	3/7/12	115	64.34	7,399.10	5,059.71	2,339.39	69.00 0.9%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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HOLDINGS DETAIL (continued)

STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
SMITH & NEPHEW PLC ADR	SNN	6/1/11	75	57.73	4,329.75	4,236.38	93.37	95.63 2.2%
SUPERIOR ENERGY SERVICES INC COM	SPN	3/7/12	255	25.97	6,622.35	6,677.91	(55.56)	-
TARGET CORP COM	TGT	5/3/11	110	68.45	7,529.50	5,461.53	2,067.97	158.40 2.1%
TEVA PHARMACEUTICAL IND'S LTD ADR	TEVA	3/4/11	140	39.68	5,555.20	6,614.94	(1,059.74)	122.36 2.2%
THE MOSAIC CO. COM	MOS	12/20/12	95	59.61	5,662.95	5,225.31	437.64	95.00 1.7%
TUPPERWARE BRANDS CORP COM	TUP	6/8/12	95	81.74	7,765.30	5,162.57	2,602.73	235.60 3.0%
UNITED PARCEL SERVICE CL B	UPS	1/15/08	75	85.90	6,442.50	5,192.44	1,250.06	186.00 2.9%
UNITEDHEALTH GROUP INC COM	UNH	8/23/12	100	57.21	5,721.00	5,416.56	304.44	85.00 1.5%
WESTERN UNION COM	WU	5/16/08	425	15.04	6,392.00	8,046.16	(1,654.16)	212.50 3.3%
XILINX INC COM	XILNX	6/8/12	155	38.17	5,916.35	5,035.35	881.00	155.00 2.6%
TOTAL STOCKS				\$293,421.15	\$255,180.19	\$38,240.96	\$6,478.78	
TOTAL HOLDINGS				\$707,931.64	\$638,630.95	\$51,545.94	\$20,574.19	
TOTAL ACCOUNT VALUE				\$707,931.64				

TOTAL STOCKS- LONG POSITION

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TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
03/01	03/01	Dividends and Interest	INTEL CORP COM PAYABLE: 03/01/2013 QUALIFIED DIVIDENDS 56.25	INTC	-	\$ -	\$56.25
03/01	03/01	Dividends and Interest	EATON VANCE FDS GBL MACRO ABSOLUTE RETURN I PAYABLE: 02/28/2013 ORDINARY DIVIDENDS 109.71	EIGMX	-	-	109.71
03/01	03/01	Buy	EATON VANCE FDS GBL MACRO ABSOLUTE RETURN I REINVESTED AT 9.88 PER SHARE	EIGMX	11.104	9.88	(109.71)
03/01	03/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 02/28/2013 ORDINARY DIVIDENDS 142.00	EVIBX	-	-	142.00
03/01	03/01	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 6.04 PER SHARE	EVIBX	23.51	6.04	(142.00)
03/01	03/01	Dividends and Interest	EATON VANCE MUT FDS TR FLOATING RATE I PAYABLE: 02/28/2013 ORDINARY DIVIDENDS 104.01	EIBLX	-	-	104.01
03/01	03/01	Buy	EATON VANCE MUT FDS TR FLOATING RATE I REINVESTED AT 9.16 PER SHARE	EIBLX	11.355	9.16	(104.01)
03/01	03/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I PAYABLE: 02/28/2013 ORDINARY DIVIDENDS 117.35	GIMDX	-	-	117.35
03/01	03/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I REINVESTED AT 9.83 PER SHARE	GIMDX	11.938	9.83	(117.35)

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
03/01	03/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 02/28/2013 ORDINARY DIVIDENDS 59.70	MWTRX	-	-	59.70
03/01	03/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.92 PER SHARE	MWTRX	5.467	10.92	(59.70)
03/01	03/01	Sell	RYDER SYS MTN BE FR SRS MTN MAKE WHOLE 6% 03/01/2013 ACCRUED INTEREST \$600.00 REORG- MATURITY PRESENTMENT AUTO REORG#183242TRICASH PAYMENT	78355HJM2	(20)	100.00	20,600.00
03/06	03/06	Dividends and Interest	VANGUARD SHORT TERM CORP BOND ETF PAYABLE: 03/06/2013 ORDINARY DIVIDENDS 12.10	VCSH	-	-	12.10
03/06	03/06	Deliver	STONE RIDGE REINSURANCE RISK PREMIUM I TRANSFER TO 917060573-2	SREIX	(1,071)	-	-
03/06	03/06	Receive	STONE RIDGE REINSURANCE RISK PREMIUM I TRANSFER FROM 917060573-1	SREIX	1,071	-	-
03/06	03/06	Deliver	STONE RIDGE HIGH YLD REINSUR RISK PREM I TRANSFER TO 917060573-2	SHRIX	(428.5)	-	-
03/06	03/06	Receive	STONE RIDGE HIGH YLD REINSUR RISK PREM I TRANSFER FROM 917060573-1	SHRIX	428.5	-	-

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
03/07	03/07	Dividends and Interest	AUTOLIV INC COM PAYABLE: 03/07/2013 QUALIFIED DIVIDENDS 45.00	ALV	-	-	45.00
03/07	03/07	Dividends and Interest	TEVA PHARMACEUTICAL IND S LTD ADR PAYABLE: 03/07/2013 QUALIFIED DIVIDENDS 43.95	TEVA	-	-	(6.59)
03/08	03/08	Other Income or Expense	TEVA PHARMACEUTICAL IND S LTD ADR CASH DIVIDEND FOREIGN TAX ADJUSTMENT PAYABLE: 03/07/2013	TEVA	-	-	38.80
03/08	03/08	Dividends and Interest	BOEING CO COM PAYABLE: 03/08/2013 QUALIFIED DIVIDENDS 38.80	BA	-	-	38.80
03/08	03/08	Dividends and Interest	SCRIPPS NETWORKS INTERACTIVE COM PAYABLE: 03/08/2013 QUALIFIED DIVIDENDS 17.25	SNI	-	-	17.25
03/11	03/11	Dividends and Interest	TARGET CORP COM PAYABLE: 03/10/2013 QUALIFIED DIVIDENDS 39.60	TGT	-	-	39.60
03/11	03/11	Dividends and Interest	EMERSON ELEC CO COM PAYABLE: 03/11/2013 QUALIFIED DIVIDENDS 51.25	EMR	-	-	51.25
03/12	03/12	Dividends and Interest	UNITED PARCEL SERVICE CLB PAYABLE: 03/12/2013 QUALIFIED DIVIDENDS 46.50	UPS	-	-	46.50

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
03/14	03/14	Dividends and Interest	DU PONT E I DE NEMOURS & CO COM PAYABLE: 03/14/2013 QUALIFIED DIVIDENDS 49.45	DD	-	-	49.45
03/15	03/15	Dividends and Interest	CSX CORP COM PAYABLE: 03/15/2013 QUALIFIED DIVIDENDS 38.50	CSX	-	-	38.50
03/15	03/15	Dividends and Interest	MCDONALDS CORP COM PAYABLE: 03/15/2013 QUALIFIED DIVIDENDS 46.20	MCD	-	-	46.20
03/15	03/15	Dividends and Interest	ROBERT HALF INTL INC COM PAYABLE: 03/15/2013 QUALIFIED DIVIDENDS 29.60	RHI	-	-	29.60
03/18	03/18	Dividends and Interest	ASTRAZENECA PLC SPONS ADR COM PAYABLE: 03/18/2013 QUALIFIED DIVIDENDS 29.75	AZN	-	-	29.75
03/19	03/19	Dividends and Interest	RESMED INC COM PAYABLE: 03/19/2013 QUALIFIED DIVIDENDS 29.75	RMD	-	-	29.75
03/15	03/20	Buy	APPLE INC COM PAYABLE: 03/19/2013 QUALIFIED DIVIDENDS 29.75	AAPL	13	441.1495	(5,734.94)
03/15	03/20	Sell	ACTIVISION BLIZZARD INC COM EMC CORP MASS COM	ATVI	(470)	14.7579	6,936.05
03/15	03/20	Buy	GENERAL DYNAMICS CORP COM	EMC	100	25.4426	(2,544.26)
03/15	03/20	Sell	GENERAL DYNAMICS CORP COM	GD	(80)	69.1534	5,532.14

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
03/15	03/20	Buy	GOLD FIELDS LTD SPON ADR	GFI	130	7.9977	(1,039.70)
03/15	03/20	Sell	GLOBAL PAYMENTS INC COM	GPN	(120)	47.4742	5,696.77
03/15	03/20	Buy	NORTHROP GRUMMAN CORP COM	NOC	90	67.8448	(6,106.03)
03/15	03/20	Sell	SIBANYE GOLD LTD ADR	SBGL	(112)	6.0899	682.05
03/15	03/20	Sell	VERIZON COMMUNICATIONS COM	VZ	(150)	47.6679	7,150.02
03/15	03/20	Sell	GOOGLE INC CL A	GOOG	(4)	814.5501	3,258.12
03/15	03/20	Buy	MICROSOFT CORP COM	MSFT	300	28.03	(8,409.00)
03/15	03/20	Buy	NATIONAL-OILWELL VARCO INC COM	NOV	85	69.5599	(5,912.59)
03/15	03/20	Sell	ACCENTURE LTD ORD	ACN	(65)	77.4701	5,035.44
03/15	03/20	Buy	CA INCORPORATED COM	CA	230	25.52	(5,869.60)
03/21	03/21	Dividends and Interest	GOLD FIELDS LTD SPON ADR	GFI	-	-	36.71
			PAYABLE: 03/21/2013 QUALIFIED DIVIDENDS 36.71				(5.51)
03/21	03/21	Other Income or Expense	GOLD FIELDS LTD SPON ADR	GFI	-	-	
		CASH DIVIDEND FOREIGN TAX ADJUSTMENT PAYABLE: 03/21/2013					

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
03/22	03/22	Dividends and Interest	PIMCO FDS ALL ASSET ALL AUTH FDINSTL PAYABLE: 03/21/2013 ORDINARY DIVIDENDS 375.55	PAUX	-	-	375.55
03/22	03/22	Buy	PIMCO FDS ALL ASSET ALL AUTH FD INSTL REINVESTED AT 10.93 PER SHARE	PAUX	34.36	10.93	(375.55)
03/22	03/25	Buy	STONE RIDGE HIGH YLD REINSUR RISK PREMIUM	SHRIX	429.359	9.98	(4,309.00)
03/22	03/25	Buy	STONE RIDGE REINSURANCE RISK PREMIUM 1	SREIX	1,074.223	9.97	(10,734.00)
03/25	03/25	Dividends and Interest	BLACKROCK INC COM PAYABLE: 03/25/2013 QUALIFIED DIVIDENDS 50.40	BLK	-	-	50.40
03/25	03/25	Other Income or Expense	MOVE CASH BALANCE TO MARGIN	-	-	-	(15,043.00)
03/25	03/25	Other Income or Expense	MOVE CASH BALANCE TO MARGIN	-	-	-	15,043.00
03/26	03/26	Dividends and Interest	UNITEDHEALTH GROUP INC COM PAYABLE: 03/26/2013 QUALIFIED DIVIDENDS 21.25	UNH	-	-	21.25
03/26	03/26	Dividends and Interest	FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS PAYABLE: 03/26/2013 ORDINARY DIVIDENDS 292.65	FLSIX	-	-	292.65
03/26	03/26	Buy	FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS REINVESTED AT 8.93 PER SHARE	FLSIX	32.772	8.93	(292.65)
03/27	03/27	Dividends and Interest	QUALCOMM INC COM PAYABLE: 03/27/2013 QUALIFIED DIVIDENDS 27.50	QCOM	-	-	27.50

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Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
03/27	03/27	Dividends and Interest	PRICE T ROWE GROUP INC COM PAYABLE: 03/27/2013	TROW	-	-	32.30
03/27	03/27	Dividends and Interest	LOOMIS SAYLES FDS I BOND FUND RETAIL CL PAYABLE: 03/27/2013 ORDINARY DIVIDENDS 32.30	LSBRX	-	-	114.17
03/27	03/27	Buy	LOOMIS SAYLES FDS I BOND FUND RETAIL CL REINVESTED AT 15.23 PER SHARE	LSBRX	7.496	15.23	(114.17)
03/28	03/28	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I PAYABLE: 03/27/2013 ORDINARY DIVIDENDS 121.92	EIGMX	-	-	121.92
03/28	03/28	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I REINVESTED AT 9.88 PER SHARE	EIGMX	12.34	9.88	(121.92)
03/28	03/28	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .24 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 03/31/2013 INSURED DEPOSIT ACCOUNT INTEREST: .24	MMDA12	0.24	-	0.24

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TD AMERITRADE CASH INTEREST CREDIT/EXPENSE

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
03/01	\$-	\$20,600.00	3	0.0100	-	\$ -
03/07	-	37.36	1	0.0100	-	-
03/18	-	237.50	1	0.0100	-	-
03/21	-	31.20	1	0.0100	-	-
TOTAL INTEREST INCOME/(EXPENSE)						

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
03/01	\$12,641.53	3	0.0100	\$0.01	\$0.01	\$ -
03/04	33,241.53	2	0.0100	0.02	0.03	-
03/06	33,253.63	1	0.0100	0.01	0.04	-
03/07	33,298.63	1	0.0100	0.01	0.05	-
03/08	33,392.04	3	0.0100	0.02	0.07	-
03/11	33,482.89	1	0.0100	0.01	0.08	-
03/12	33,529.39	2	0.0100	0.02	0.10	-
03/14	33,578.84	1	0.0100	0.01	0.11	-
03/15	33,693.14	4	0.0100	0.04	0.15	-
03/19	33,960.39	1	0.0100	0.01	0.16	-
03/20	32,634.86	2	0.0100	0.02	0.18	-
03/22	32,666.06	3	0.0100	0.02	0.20	-
03/25	17,673.46	1	0.0100	0.01	0.21	-
03/26	17,694.71	1	0.0100	-	0.21	-

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INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE (continued)

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
03/27	17,754.51	5	0.0100	0.03	0.24	0.24
						\$0.24

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
			Opening Balance		
03/01	03/01	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	\$56.25	\$12,585.28
03/04	03/04	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	20,600.00	33,241.53
03/06	03/06	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	12.10	33,253.63
03/07	03/07	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	45.00	33,298.63
03/08	03/08	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	93.41	33,392.04

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: March 1 - 31, 2013

Name **Mark C. Allegro**
 Account # **10000000000000000000**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

INSURED DEPOSIT ACCOUNT ACTIVITY (continued)

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
03/11	03/11	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	90.85	33,482.89
03/12	03/12	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	46.50	33,529.39
03/14	03/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	49.45	33,578.84
03/15	03/15	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	114.30	33,693.14
03/19	03/19	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	267.25	33,960.39
03/20	03/20	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(1,325.53)	32,634.86
03/22	03/22	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	31.20	32,666.06
03/25	03/25	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(14,992.60)	17,673.46

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # **100-0000000000000000**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WHO'S

MONTHLY STATEMENT

Reporting Period: March 1 - 31, 2013

INSURED DEPOSIT ACCOUNT ACTIVITY (continued)

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
03/26	03/26	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	21.25	17,694.71
03/27	03/27	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	59.80	17,754.51
03/28	03/28	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.24	17,754.75
Closing Balance				\$17,754.75	
TD Bank NA					
<i>FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.</i>					

IMPORTANT INFORMATION

Required Annual FINRA Information: FINRA maintains a toll-free public disclosure hotline where investors may call to request disclosable background information on any licensed broker or broker-dealer. To call the hotline, dial 1-800-289-9898. FINRA also maintains an Internet web site where investors may obtain useful information concerning FINRA policies, procedures and services. The web site can be accessed at www.finra.org. Additionally, FINRA has a brochure which describes the investor education and protection program. You may request this brochure either through the FINRA web site or by calling the toll-free public disclosure hotline.

Certain purchases of Class A Mutual Funds may be eligible for a breakpoint discount on the sales charge. To learn more about breakpoint discounts, go to http://www.finra.org/web/groups/rules_regs/documents/rules_regs/p010543.pdf

****** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ******

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**

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Account #**100-0000000000000000**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

ACCOUNT SUMMARY

Total Account Value: **\$716,953.77**

CHANGE IN ACCOUNT VALUE

	This Month 4/1/13 - 4/30/13	Year to Date 1/1/13 - 4/30/13	
BEGINNING VALUE	\$707,931.64	\$678,679.31	Market Appreciation/Depreciation
Dividends and Interest	2,724.95	7,363.26	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Market Appreciation/(Depreciation)	8,426.36	35,060.48	
Other Income or Expense	(2,129.18)	(4,149.28)	
ENDING VALUE	\$716,953.77	\$716,953.77	Other Income or Expenses
CHANGE IN VALUE	\$9,022.13	\$38,274.46	Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

YOUR INDEPENDENT ADVISOR
GRIMES & COMPANY INC
110 TURNPIKE RD
STE 100
WESTBOROUGH MA 01581-
(508) 366-3883

Questions about your statement
(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)		
	Market Value as of 4/30/13	Percent of Account
Cash and Cash Alternatives	\$33,699.22	4.70%
Fixed Income	42,482.75	5.93
Mutual Funds	343,620.22	47.93
Stocks	297,151.58	41.45
TOTAL VALUE	\$716,953.77	100.0%

Fixed Income includes Corporate, Municipal, Agency, Treasury, CMOS, CDs, Structured Products, etc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page 335 of 550Account # **10000000000000000000**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS**MONTHLY STATEMENT**

Reporting Period: April 1 - 30, 2013

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$33,699.22
TOTAL CASH & CASH ALTERNATIVES			\$33,699.22

FIXED INCOME

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
GENERAL ELECTRIC CAPITAL CORP SR UNSECURED 2.25% 11/09/2015	36962G4T8	11/5/10	15	\$103.501	\$15,525.15	\$15,008.75	\$516.40	2.2%
HILLENBRAND INC SR UNSECURED M-W CALL 5.5% 07/15/2020	431571AA6	9/1/10	10	112.052	11,205.20	10,563.81	641.39	550.00 4.9%
LEHMAN BROTHERS HOLDINGS ESCROW 0% 01/22/2020	525ESCFW4	-	25	NP	NP	-	-	-
WELLS FARGO BANK NA CD VARIABLE QTRLY FLT 3.25% 06/30/2016	949748SQ1	6/12/09	15	105.016	15,752.40	15,000.00	752.40	487.50
TOTAL FIXED INCOME				\$42,482.75	\$40,572.56	\$1,910.19	\$1,375.00	

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES I	AQMIX	1/17/11	3,170.269	\$10.49	\$33,256.12	\$30,498.41	\$2,757.71	\$237.77 0.7%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	8/12/09	5,122.205	6.15	31,501.56	27,072.23	4,429.33	1,951.56 6.2%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # **24741**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
EATON VANCE FDS	EIGMX	5/6/08	3,718.842	9.84	36,593.41	38,165.45	(1,572.04)	1,439.19 3.9%
GLBL MACRO ABSOLUTE RETURN I								
EATON VANCE MUT FDS TR	EIBLX	1/15/08	3,537.573	9.23	32,651.80	32,175.86	475.94	1,418.57 4.3%
FLOATING RATE I								
FORWARD FUNDS	FLSIX	11/17/11	3,978.116	8.99	35,763.26	31,878.04	3,885.22	998.51 2.8%
CREDIT ANALYSIS LONG/SHORT INS								
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I	GIMDX	4/15/10	2,353.247	10.03	23,603.07	22,377.28	1,225.79	1,143.68 4.8%
LOOMIS SAYLES FDS I BOND FUND RETAIL CL	LSBRX	12/12/08	2,064.429	15.57	32,143.16	29,721.74	2,421.42	1,692.83 5.3%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	12/17/12	2,314.978	11.02	25,511.06	25,210.47	300.59	882.01 3.5%
PMCO FDS ALL ASSET ALL AUTH FD INSTL	PAUIX	8/12/09	3,869.286	11.14	43,103.85	40,153.51	2,950.34	2,329.31 5.4%
STONE RIDGE REINSURANCE RISK PREMIUM I	SREIX	2/1/13	2,145.223	10.02	21,495.13	21,468.00	27.13	-
STONE RIDGE HIGH YLD REINSUR RISK PREM I	SHRIX	2/1/13	857.859	10.04	8,612.90	8,618.00	(5.10)	-
TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	4/19/11	1,771.929	10.94	19,384.90	20,799.19	(1,414.29)	-
TOTAL MUTUAL FUNDS					\$343,620.22	\$328,138.18	\$15,482.04	\$12,093.42

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GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

Account

**MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN**

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MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

JOINT TENANTS WROS**HOLDINGS DETAIL (continued)****STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ACCENTURE LTD	ACN	1/15/08	85	\$81.44	\$6,922.40	\$2,857.98	\$4,064.42	\$137.70 2.0%
ORD								
ANGLOGOLD ASHANTI LIMITED ADR	AU	12/20/12	175	19.50	3,412.50	5,232.94	(1,820.44)	52.15 1.5%
ADR								
APPLE INC	AAPL	3/15/13	13	442.73	5,756.14	5,734.94	21.20	158.60 2.8%
COM								
ASTRAZENECA PLC	AZN	4/15/08	125	51.92	6,490.00	5,248.00	1,242.00	350.00 5.4%
SPONS ADR								
AUTOLIV INC	ALV	8/23/12	90	76.42	6,877.80	5,507.81	1,369.99	180.00 2.6%
COM								
BLACKROCK INC	BLK	12/2/10	30	266.50	7,995.00	5,107.89	2,887.11	201.60 2.5%
COM								
BOEING CO	BA	8/19/11	80	91.41	7,312.80	4,669.69	2,643.11	155.20 2.1%
COM								
C.H. ROBINSON WORLDWIDE INC	CHRW	6/8/12	85	59.39	5,048.15	4,901.85	146.30	119.00 2.4%
COM								
CA INCORPORATED	CA	3/15/13	230	26.97	6,203.10	5,869.60	333.50	230.00 3.7%
COM								
CISCO SYSTEMS INC	CSCO	1/15/08	290	20.92	6,066.80	6,814.67	(747.87)	197.20 3.3%
COM								
COACH INC	COH	8/23/12	100	58.86	5,886.00	5,552.70	333.30	120.00 2.0%
COM								
CSX CORP	CSX	12/20/12	275	24.59	6,762.25	5,529.21	1,233.04	165.00 2.4%
COM								
DIRECTV	DTV	3/7/12	115	56.56	6,504.40	5,315.95	1,188.45	-
COM								
DOLLAR TREE INC	DLTR	12/20/12	150	47.56	7,134.00	5,951.60	1,182.40	-
COM								
DR PEPPER SNAPPLE GROUP INC	DPS	3/7/12	140	48.83	6,836.20	5,274.00	1,562.20	212.80 3.1%
COM								

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Institutional

MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

Name **Mark C. Allegro**
 Account # **██████████**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT-TEN
JOINT TENANTS WROS

HOLDINGS DETAIL (continued)

STOCKS		Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
Investment Description									
DU PONT E I DE NEMOURS & CO	DD	1/24/08	115	54.51	6,268.65	5,180.82	1,087.83	3.3%	207.00
EMC CORP MASS	EMC	6/8/12	315	22.43	7,065.45	7,826.64	(761.19)	-	-
EMERSON ELEC CO	EMR	2/26/09	125	55.51	6,938.75	3,494.06	3,444.69	205.00	3.0%
GOLD FIELDS LTD	GFI	12/20/12	580	7.46	4,326.80	5,459.06	(1,132.26)	151.38	3.5%
INFOSYS LTD	INFY	8/19/11	140	41.74	5,843.60	6,475.77	(632.17)	102.90	1.8%
INTEL CORP	INTC	2/28/08	250	23.95	5,987.50	5,127.30	860.20	225.00	3.8%
MCDONALDS CORP	MCD	12/20/12	60	102.14	6,128.40	5,382.49	745.91	184.80	3.0%
MEDTRONIC INC	MDT	1/15/08	150	46.68	7,002.00	6,548.26	453.74	156.00	2.2%
MICROSOFT CORP	MSFT	3/15/13	300	33.10	9,930.00	8,409.00	1,521.00	276.00	2.8%
NATIONAL-OILWELL VARCO INC	NOV	3/15/13	85	65.22	5,543.70	5,912.59	(368.89)	44.20	0.8%
NORTHROP GRUMMAN CORP	NOC	3/15/13	90	75.74	6,816.60	6,106.03	710.57	198.00	2.9%
NOVARTIS AG	NVS	1/15/08	90	73.76	6,638.40	5,118.20	1,520.20	185.13	2.8%
OCCIDENTAL PETROLEUM CORP	OXY	12/20/12	70	89.26	6,248.20	5,513.59	734.61	179.20	2.9%
ORACLE CORP	ORCL	2/28/08	250	32.78	8,195.00	4,924.74	3,270.26	60.00	0.7%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account **[REDACTED]**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income	Yield
PRICE T ROWE GROUP INC COM	TROW	12/28/12	85	72.50	6,162.50	5,530.98	631.52	129.20	2.1%
QUALCOMM INC COM	QCOM	12/20/09	110	61.60	6,776.00	4,967.16	1,808.84	154.00	2.3%
RESMED INC COM	RMD	3/7/12	175	48.02	8,403.50	5,133.90	3,269.60	119.00	1.4%
ROBERT HALF INTL INC COM	RHI	12/20/12	185	32.82	6,071.70	5,742.62	329.08	118.40	2.0%
SASOL LTD LIMITED SPNSRD ADR	SSL	3/4/11	130	43.42	5,644.60	6,866.22	(1,221.62)	216.58	3.8%
SCRIPPS NETWORKS INTERACTIVE COM	SNI	3/7/12	115	66.58	7,656.70	5,059.71	2,596.99	69.00	0.9%
SMITH & NEPHEW PLC ADR	SNN	6/1/11	75	57.14	4,285.50	4,236.38	49.12	95.63	2.2%
SUPERIOR ENERGY SERVICES INC COM	SPN	3/7/12	255	27.59	7,035.45	6,677.91	357.54	-	-
TARGET CORP COM	TGT	5/31/11	110	70.56	7,761.60	5,461.53	2,300.07	158.40	2.0%
TEVA PHARMACEUTICAL INDLS LTD ADR	TEVA	3/4/11	140	38.29	5,360.60	6,614.94	(1,254.34)	122.36	2.3%
THE MOSAIC CO. COM	MOS	12/20/12	95	61.59	5,851.05	5,225.31	625.74	95.00	1.6%
TUPPERWARE BRANDS CORP COM	TUP	6/8/12	95	80.30	7,628.50	5,162.57	2,465.93	235.60	3.1%
UNITED PARCEL SERVICE CL B	UPS	1/15/08	75	85.84	6,438.00	5,192.44	1,245.56	186.00	2.9%
UNITEDHEALTH GROUP INC COM	UNH	8/23/12	100	59.93	5,993.00	5,416.56	576.44	85.00	1.4%
WESTERN UNION COM	WU	5/16/08	425	14.81	6,294.25	8,046.16	(1,751.91)	212.50	3.4%

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GRIMES & COMPANY INC (508) 366-3883



Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

Name	Mark C. Allegro	Page 340 of 550
Account #	XXXXXXXXXX	
MARK ALLEGRO &		
MARY ANNE ALLEGRO JT TEN		
JOINT TENANTS WROS		

HOLDINGS DETAIL (continued)

STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
XILINX INC	XLNX	6/8/12	155	37.91	5,876.05	5,035.35	840.70	155.00
TOTAL STOCKS				\$297,151.58	\$255,107.48	\$42,044.10	\$6,605.53	2.6%

TOTAL STOCKS- LONG POSITION

TOTAL HOLDINGS	\$716,953.77	\$623,818.22	\$59,436.33	\$20,073.95
TOTAL ACCOUNT VALUE	\$716,953.77			

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
04/01	04/01	Dividends and Interest	WESTERN UNION COM PAYABLE: 03/29/2013 QUALIFIED DIVIDENDS 53.13	WU	-	\$ -	\$53.13
04/01	04/01	Dividends and Interest	COACH INC COM PAYABLE: 04/01/2013 QUALIFIED DIVIDENDS 30.00	COH	-	-	30.00
04/01	04/01	Dividends and Interest	C.H. ROBINSON WORLDWIDE INC COM PAYABLE: 03/29/2013 QUALIFIED DIVIDENDS 29.75	CHRW	-	-	29.75

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account #
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
04/01	04/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I PAYABLE: 03/28/2013 ORDINARY DIVIDENDS 116.69	GIMDX	-	-	116.69
04/01	04/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I PAYABLE: 03/28/2013 REINVESTED AT 9.71 PER SHARE	GIMDX	12.018	9.71	(116.69)
04/01	04/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 03/28/2013 ORDINARY DIVIDENDS 59.94	MWTRX	-	-	59.94
04/01	04/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.92 PER SHARE	MWTRX	5.489	10.92	(59.94)
04/01	04/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 03/28/2013 ORDINARY DIVIDENDS 158.04	EVIBX	-	-	158.04
04/01	04/01	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 6.07 PER SHARE	EVIBX	26.036	6.07	(158.04)
04/01	04/01	Dividends and Interest	EATON VANCE MUT FDS TR FLOATING RATE I PAYABLE: 03/28/2013 ORDINARY DIVIDENDS 107.88	EIBLX	-	-	107.88
04/01	04/01	Buy	EATON VANCE MUT FDS TR FLOATING RATE I REINVESTED AT 9.21 PER SHARE	EIBLX	11.713	9.21	(107.88)

Questions? Consult your independent Advisor:
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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account **[REDACTED]**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
04/01	04/01	Dividends and Interest	WELLS FARGO BANK NA CD VARIABLE QRTRLYFLT 3.25% 06/30/2016 PAYABLE: 03/31/2013 TAXABLE INT CREDITED 117.79	949748SQ1	-	-	117.79
04/04	04/04	Dividends and Interest	VANGUARD SHORT TERM CORP BOND ETF PAYABLE: 04/04/2013 ORDINARY DIVIDENDS 13.60	VCSH	-	-	13.60
04/05	04/05	Dividends and Interest	LEHMAN BROTHERS HOLDINGS ESCROW 0% 01/22/2020 REORG - 3RD DISTRIBUTION 4/4/13 PAYABLE: 04/04/2013 TAXABLE INT CREDITED 1254.30	525ESCFW4	-	-	1,254.30
04/05	04/05	Dividends and Interest	DR PEPPER SNAPPLE GROUP INC COM PAYABLE: 04/05/2013 QUALIFIED DIVIDENDS 53.20	DPS	-	-	53.20
04/05	04/05	Dividends and Interest	TUPPERWARE BRANDS CORP COM PAYABLE: 04/05/2013 QUALIFIED DIVIDENDS 58.90	TUP	-	-	58.90
04/05	04/05	Dividends and Interest	NOVARTIS AG ADR PAYABLE: 04/05/2013 QUALIFIED DIVIDENDS 217.85	NVS	-	-	217.85
04/05	04/05	Other Income or Expense	NOVARTIS AG ADR CASH DIVIDEND FOREIGN TAX ADJUSTMENT PAYABLE: 04/05/2013	NVS	-	(32.68)	

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

Account **[REDACTED]**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
04/08	04/08	Dividends and Interest	ANGLOGOLD ASHANTI LIMITED ADR ADR PAYABLE: 04/08/2013 QUALIFIED DIVIDENDS 9.34	AU	-	-	9.34
04/08	04/08	Other Income or Expense	ANGLOGOLD ASHANTI LIMITED ADR ADR CASH DIVIDEND FOREIGN TAX ADJUSTMENT PAYABLE: 04/08/2013	AU	-	-	(1.40)
04/15	04/15	Dividends and Interest	Occidental Petroleum Corp COM PAYABLE: 04/15/2013 QUALIFIED DIVIDENDS 44.80	OXY	-	-	44.80
04/16	04/16	Other Income or Expense	QUARTERLY MGMT FEE GRIMES & COMPANY INC 9170605732	-	-	-	(2,083.00)
04/24	04/24	Dividends and Interest	Cisco Systems Inc COM PAYABLE: 04/24/2013 QUALIFIED DIVIDENDS 49.30	CSCO	-	-	49.30
04/24	04/24	Deliver	STONE RIDGE HIGH YLD REINSUR RISK PREMI TRANSFER TO 917060573-2	SHRIX	(429.359)	-	-
04/24	04/24	Receive	STONE RIDGE HIGH YLD REINSUR RISK PREMI TRANSFER FROM 917060573-1	SHRIX	429.359	-	-
04/24	04/24	Deliver	STONE RIDGE REINSURANCE RISK PREMIUM I TRANSFER TO 917060573-2	SREIX	(1,074.223)	-	-
04/24	04/24	Receive	STONE RIDGE REINSURANCE RISK PREMIUM I TRANSFER FROM 917060573-1	SREIX	1,074.223	-	-

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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Account # **100000000000000000**
MARK ALLEGRO &
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MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
04/24	04/25	Sell	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I	GIMDX	(804.02)	9.95	7,976.00
04/25	04/25	Dividends and Interest	SASOL LTD LIMITED SPNSRD ADR CASH DIVIDEND FOREIGN TAX ADJUSTMENT PAYABLE: 04/25/2013 QUALIFIED DIVIDENDS 80.70	SSL	-	-	80.70
04/25	04/25	Other Income or Expense	SASOL LTD LIMITED SPNSRD ADR CASH DIVIDEND FOREIGN TAX ADJUSTMENT PAYABLE: 04/25/2013	SSL	-	-	(12.10)
04/26	04/26	Dividends and Interest	MEDTRONIC INC COM PAYABLE: 04/26/2013 QUALIFIED DIVIDENDS 39.00	MDT	-	-	39.00
04/26	04/26	Dividends and Interest	LOOMIS SAYLES FDS I BOND FUND RETAIL CL PAYABLE: 04/26/2013 ORDINARY DIVIDENDS 112.32	LSBRX	-	-	112.32
04/26	04/26	Buy	LOOMIS SAYLES FDS I BOND FUND RETAIL CL REINVESTED AT 15.45 PER SHARE	LSBRX	7.27	15.45	(112.32)
04/24	04/29	Sell	VANGUARD SHORT TERM CORP BOND ETF	VCSH	(100)	80.5401	8,045.82
04/30	04/30	Dividends and Interest	EATON VANCE FDS GBL MACRO ABSOLUTE RETURN I PAYABLE: 04/29/2013 ORDINARY DIVIDENDS 118.25	EIGMX	-	-	118.25

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GRIMES & COMPANY INC (508) 366-3883

Ameritrade
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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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Account #
**MARK ALLEGRO &
 MARY ANNE ALLEGRO JT TEN
 JOINT TENANTS WROS**

MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
04/30	04/30	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I REINVESTED AT 9.85 PER SHARE	EIGMX	12.005	9.85	(118.25)
04/30	04/30	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .17 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 04/30/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.17	MMDA12	0.17	-	0.17

TRADES PENDING SETTLEMENT

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
04/30	05/01	Sell	TURNER FDS SPECTRUM FUND INVESTOR	TSPCX	(1,771.929)	\$10.94	\$19,384.90
04/30	05/03	Buy	VANGUARD SHORT TERM BOND FUND	BSV	393	81.1214	(31,888.71)

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLEGRO &
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MONTHLY STATEMENT

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TD AMERITRADE CASH INTEREST CREDIT/EXPENSE

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
04/01	\$-	\$117.79	1	0.0100	-	\$ -
04/04	-	1,254.30	1	0.0100	-	-
04/05	-	185.17	3	0.0100	-	-
04/08	-	7.94	1	0.0100	-	-
04/25	-	68.60	1	0.0100	-	-
TOTAL INTEREST INCOME/(EXPENSE)						

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
04/01	\$17,867.63	1	0.0100	\$-	\$-	\$ -
04/02	17,985.42	2	0.0100	0.01	0.01	-
04/04	17,999.02	1	0.0100	0.01	0.02	-
04/05	19,365.42	3	0.0100	0.02	0.04	-
04/08	19,550.59	1	0.0100	-	0.04	-
04/09	19,558.53	6	0.0100	0.03	0.07	-
04/15	19,603.33	1	0.0100	0.01	0.08	-
04/16	17,520.33	8	0.0100	0.04	0.12	-
04/24	17,569.63	1	0.0100	-	0.12	-
04/25	25,545.63	1	0.0100	0.01	0.13	-
04/26	25,653.23	3	0.0100	0.02	0.15	-

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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Mark C. Allegro

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**MARK ALLEGRO &
 MARY ANNE ALLEGRO JT TEN
 JOINT TENANTS WROS**

MONTHLY STATEMENT

Reporting Period: April 1 - 30, 2013

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE (continued)

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
04/29	33,699.05	2	0.0100	0.02	0.17	0.17
TOTAL INTEREST INCOME					\$0.17	

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
			Opening Balance		
04/01	04/01	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	\$112.88	\$17,754.75
04/02	04/02	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	117.79	17,985.42
04/04	04/04	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	13.60	17,999.02
04/05	04/05	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	1,366.40	19,365.42
04/08	04/08	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	185.17	19,550.59

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: April 1 - 30, 2013

Name **Mark C. Allegro**
 Account # **100000000000000000**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

INSURED DEPOSIT ACCOUNT ACTIVITY (continued)

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
04/09	04/09	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	7.94	19,558.53
04/15	04/15	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	44.80	19,603.33
04/17	04/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(2,083.00)	17,520.33
04/24	04/24	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	49.30	17,569.63
04/25	04/25	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	7,976.00	25,545.63
04/26	04/26	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	107.60	25,653.23
04/29	04/29	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	8,045.82	33,699.05

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **349** of **550**Account **[REDACTED]**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN**JOINT TENANTS WROS****MONTHLY STATEMENT**

Reporting Period: April 1 - 30, 2013

INSURED DEPOSIT ACCOUNT ACTIVITY (continued)

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
04/30	04/30	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.17	33,699.22
				\$33,699.22	\$33,699.22

Closing Balance
TD Bank NA
FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

****** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ******

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account # **XXXXXXXXXX**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013

ACCOUNT SUMMARY

Total Account Value:

\$719,072.48

CHANGE IN ACCOUNT VALUE

	This Month	Year to Date	
BEGINNING VALUE	\$716,953.77	\$678,679.31	Market Appreciation/Depreciation
Dividends and Interest	1,149.49	8,512.75	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Market Appreciation/(Depreciation)	969.24	36,029.72	
Other Income or Expense	(0.02)	(4,149.30)	
ENDING VALUE	\$719,072.48	\$719,072.48	Other Income or Expense
CHANGE IN VALUE	\$2,118.71	\$40,393.17	Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

YOUR INDEPENDENT ADVISOR
GRIMES & COMPANY INC
110 TURNPIKE RD
STE 100
WESTBOROUGH MA 01581-
(508) 366-3883

Questions about your statement
(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 5/31/13	Percent of Account	
Cash and Cash Alternatives	\$21,382.66	2.97%	Fixed Income includes Corporate, Municipal, Agency, Treasury, CMOS, CDs, Structured Products, etc.
Fixed Income	41,982.85	5.84	
Mutual Funds	351,427.38	48.87	
Stocks	304,279.59	42.32	
TOTAL VALUE	\$719,072.48	100.0%	

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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Mark C. Allegro

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 Account # 2327386
 MARK ALLEGRO &
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 JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013

HOLDINGS DETAIL
CASH AND CASH ALTERNATIVES

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$21,382.66
TOTAL CASH & CASH ALTERNATIVES			\$21,382.66

FIXED INCOME

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
GENERAL ELECTRIC CAPITAL CORP SR UNSECURED 2.25% 11/09/2015	36962G4T8	11/5/10	15	\$103.259	\$15,488.85	\$15,008.75	\$480.10	\$337.50 2.2%
HILLENBRAND INC SR UNSECURED M-W CALL 5.5% 07/15/2020	431571AA6	9/1/10	10	108.748	10,874.80	10,563.81	310.99	550.00 5.1%
LEHMAN BROTHERS HOLDINGS ESCROW 0% 01/22/2020	525ESCFW4	-	25	NP	NP	-	-	-
WELLS FARGO BANK NA CD VARIABLE QTRLY FLT 3.25% 06/30/2016	949748SQ1	6/12/09	15	104.128	15,619.20	15,000.00	619.20	487.50
TOTAL FIXED INCOME				\$41,982.85	\$40,572.58	\$1,410.29	\$1,375.00	

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
STONE RIDGE US MASTER VAR RISK PERM CLI	VRPIX	5/23/13	3,200	\$9.96	\$31,872.00	\$32,024.00	\$(-152.00)	\$-
AQR MANAGED FUTURES I	AQMIX	11/17/11	3,170.269	10.27	32,558.66	30,498.41	2,060.25	237.77 0.7%

 Questions? Consult your Independent Advisor:
 GRIMES & COMPANY INC (508) 366-3883

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLIEGRO &
MARY ANNE ALLIEGRO JT TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013

HOLDINGS DETAIL (continued)

MUTUAL FUNDS							
Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	8/12/09	5,147.187	6.08	31,294.90	27,225.87	4,069.03 6.2%
EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I	EIGMX	5/6/08	3,731.352	9.81	36,804.56	38,288.17	(1,683.61) 1,444.03 3.9%
EATON VANCE MUT FDS TR FLOATING RATE I	EIBLX	1/15/08	3,549.076	9.20	32,651.50	32,282.03	369.47 4.3%
FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS	FLSIX	11/17/11	3,978.116	9.00	35,803.04	31,878.04	3,925.00 998.51 2.8%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I	GIMDX	4/15/10	2,364.851	9.31	22,016.76	22,493.67	(476.91) 1,137.49 5.2%
LOOMIS SAYLES FDS I BOND FUND RETAIL CL	LSBRX	12/12/08	2,072.898	15.33	31,777.53	29,852.42	1,925.11 1,708.07 5.4%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	12/17/12	2,322.309	10.85	25,197.05	25,291.26	(94.21) 880.16 3.5%
PIMCO FDS ALL ASSET ALL AUTH FD INSTL	PAUJX	8/12/09	3,869.286	10.75	41,594.82	40,153.51	1,441.31 2,329.31 5.6%
STONE RIDGE REINSURANCE RISK PREMIUM I	SREIX	2/1/13	2,145.223	10.00	21,452.23	21,468.00	(15.77) -
STONE RIDGE HIGH YLD REINSUR RISK PREM I	SHRIX	2/1/13	857.859	10.03	8,604.33	8,618.00	(13.67) -
TOTAL MUTUAL FUNDS				\$351,427.38	\$340,073.38	\$11,354.00	\$12,091.91

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**Page **353 of 550**

Account **XXXXXXXXXX**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ACCENTURE LTD ORD	ACN	1/15/08	85	\$82.11	\$6,979.35	\$2,857.98	\$4,121.37	\$137.70 2.0%
ANGLOGOLD ASHANTI LIMITED ADR ADR	AU	12/20/12	175	18.14	3,174.50	5,232.94	(2,058.44)	43.93 1.4%
APPLE INC COM	AAPL	3/15/13	13	449.735	5,846.56	5,734.94	111.62	158.60 2.7%
ASTRAZENECA PLC SPONS ADR	AZN	4/15/08	125	51.25	6,406.25	5,248.00	1,158.25	350.00 5.5%
AUTOLIV INC COM	ALV	8/23/12	90	78.46	7,061.40	5,507.81	1,553.59	180.00 2.5%
BLACKROCK INC COM	BLK	12/2/10	30	279.20	8,376.00	5,107.89	3,268.11	201.60 2.4%
BOEING CO COM	BA	8/19/11	80	99.02	7,921.60	4,669.69	3,251.91	155.20 2.0%
C.H. ROBINSON WORLDWIDE INC COM	CHRW	6/8/12	85	56.70	4,819.50	4,901.85	(82.35)	119.00 2.5%
CA INCORPORATED COM	CA	3/15/13	230	27.32	6,283.60	5,869.60	414.00	230.00 3.7%
CISCO SYSTEMS INC COM	CSCO	1/15/08	290	24.115	6,983.35	6,814.67	178.68	197.20 2.8%
COACH INC COM	COH	8/23/12	100	58.26	5,826.00	5,552.70	273.30	135.00 2.3%
CSX CORP COM	CSX	12/20/12	275	25.21	6,932.75	5,529.21	1,403.54	165.00 2.4%
DIRECTV COM	DTV	3/7/12	115	61.19	7,036.85	5,315.95	1,720.90	-
DOLLAR TREE INC COM	DLTR	12/20/12	150	48.04	7,206.00	5,951.60	1,254.40	-
DR PEPPER SNAPPLE GROUP INC COM	DPS	3/7/12	140	45.98	6,437.20	5,274.00	1,163.20	212.80 3.3%

Questions? Consult your Independent Advisor:**GRIMES & COMPANY INC (508) 366-3883**

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NON-UV STATEMENT

Reporting Period: May 1 - 31, 2013

JOINT TENANTS WROS

HOLDINGS DETAIL (*continued*)

Stocks

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized (Gain/Loss)	Estimated Income Yield
DU PONT E I DE NEMOURS & CO COM	DD	1/24/08	115	55.79	6,415.85	5,180.82	1,235.03	207.00 3.2%
E M C CORP MASS COM	EMC	6/8/12	315	24.76	7,799.40	7,826.64	(27.24) 1.6%	126.00
EMERSON ELEC CO COM	EMR	2/26/09	125	57.46	7,182.50	3,494.06	3,688.44 4.3%	205.00 2.9%
GOLD FIELDS LTD SPON ADR	GFI	12/20/12	580	6.07	3,520.60	5,459.06	(1,938.46) 151.38	
GOOGLE INC CLA	GOOG	5/31/11	7	871.218	6,098.53	3,690.36	2,408.17 -	
INFOSYS LTD ADR	INFY	8/19/11	140	41.75	5,845.00	6,475.77	(630.77) 102.90	
INTEL CORP COM	INTC	2/28/08	250	24.28	6,070.00	5,127.30	942.70 3.7%	225.00
MCDONALDS CORP COM	MCD	12/20/12	60	96.57	5,794.20	5,382.49	411.71 184.80	
MEDTRONIC INC COM	MDT	1/15/08	150	51.01	7,651.50	6,548.26	1,103.24 2.0%	156.00
MICROSOFT CORP COM	MSFT	3/15/13	300	34.90	10,470.00	8,409.00	2,061.00 2.6%	276.00
NATIONAL-OILWELL VARCO INC COM	NOV	3/15/13	85	70.30	5,975.50	5,912.59	62.91 1.5%	88.40
NORTHROP GRUMMAN CORP COM	NOC	3/15/13	90	82.39	7,415.10	6,106.03	1,309.07 3.0%	219.60
NOVARTIS AG ADR	NVS	1/15/08	90	71.76	6,458.40	5,118.20	1,340.20 2.9%	185.13
OCCIDENTAL PETROLEUM CORP COM	OXY	12/20/12	70	92.07	6,444.90	5,513.59	931.31 2.8%	179.20
ORACLE CORP COM	ORCL	2/28/08	250	33.78	8,445.00	4,924.74	3,520.26 0.7%	60.00

GRIMES & COMPANY INC (508) 366-3883



Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account 
MARK ALLEGRO &
MARY ANNE ALLEGRO-JT TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
PRICE TROWE GROUP INC COM	TROW	12/28/12	85	75.91	6,452.35	5,530.98	921.37	129.20 2.0%
QUALCOMM INC COM	QCOM	12/20/09	110	63.48	6,982.80	4,967.16	2,015.64	154.00 2.2%
RESMED INC COM	RMD	3/7/12	175	48.00	8,400.00	5,133.90	3,266.10	119.00 1.4%
ROBERT HALF INTL INC COM	RHI	12/20/12	185	34.76	6,430.60	5,742.62	687.98	118.40 1.8%
SASOL LTD LIMITED SPNSRD ADR	SSL	3/4/11	130	44.38	5,769.40	6,866.22	(1,096.82)	216.58 3.8%
SCRIPPS NETWORKS INTERACTIVE COM	SNI	3/7/12	115	67.36	7,746.40	5,059.71	2,686.69	69.00 0.9%
SMITH & NEPHEW PLC ADR	SNN	6/1/11	75	58.34	4,375.50	4,236.38	139.12	95.63 2.2%
SUPERIOR ENERGY SERVICES INC COM	SPN	3/7/12	255	26.68	6,803.40	6,677.91	125.49	-
TARGET CORP COM	TGT	5/31/11	110	69.50	7,645.00	5,461.53	2,183.47	158.40 2.1%
TEVA PHARMACEUTICAL INDLS LTD ADR	TEVA	3/4/11	140	38.20	5,348.00	6,614.94	(1,266.94)	132.44 2.5%
THE MOSAIC CO. COM	MOS	12/20/12	95	60.82	5,777.90	5,225.31	552.59	95.00 1.6%
TUPPERWARE BRANDS CORP COM	TUP	6/8/12	95	80.98	7,693.10	5,162.57	2,530.53	235.60 3.1%
UNITED PARCEL SERVICE CL B	UPS	1/15/08	75	85.90	6,442.50	5,192.44	1,250.06	186.00 2.9%
UNITEDHEALTH GROUP INC COM	UNH	8/23/12	100	62.63	6,263.00	5,416.56	846.44	85.00 1.4%
WESTERN UNION COM	WU	5/16/08	425	16.38	6,961.50	8,046.16	(1,084.66)	212.50 3.1%

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GRIMES & COMPANY INC (508) 366-3883**Ameritrade**

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
XILINX INC COM	XLNX	6/8/12	155	40.65	6,300.75	5,035.35	1,265.40	155.00 2.5%
TOTAL STOCKS					\$304,279.59	\$255,107.48	\$49,172.11	\$6,814.18

TOTAL STOCKS- LONG POSITION

TOTAL HOLDINGS	\$719,072.48	\$635,753.42	\$61,936.40	\$20,281.09
TOTAL ACCOUNT VALUE				

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
04/30	05/01	Sell	TURNER FDS SPECTRUM FUND INVESTOR SHORT TERM RDM FEE 0.00	TSRPCX	(1,771.929)	\$10.94	\$19,384.90
05/01	05/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CLA PAYABLE: 04/30/2013 ORDINARY DIVIDENDS 153.64	EVIBX	-	-	153.64
05/01	05/01	Buy	EATON VANCE INCOME FUND OF BOSTON CLA REINVESTED AT 6.15 PER SHARE	EVIBX	24.982	6.15	(153.64)
05/01	05/01	Dividends and Interest	EATON VANCE MUT FD STR FLOATING RATE I PAYABLE: 04/30/2013 ORDINARY DIVIDENDS 106.17	EIBLX	-	-	106.17

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GRIMES & COMPANY INC (508) 366-3883

ID Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013

Name **Mark C. Allegro**
 Account # **XXXXXXXXXX**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
05/01	05/01	Buy	EATON VANCE MUT FDS TR FLOATING RATE I REINVESTED AT 9.23 PER SHARE	EIBLX	11.503	9.23	(106.17)
05/01	05/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I PAYABLE: 04/30/2013 ORDINARY DIVIDENDS 116.39	GIMDX	-	-	116.39
05/01	05/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I REINVESTED AT 10.03 PER SHARE	GIMDX	11.604	10.03	(116.39)
05/01	05/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 04/30/2013 ORDINARY DIVIDENDS 80.79	MWTRX	-	-	80.79
05/01	05/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 11.02 PER SHARE	MWTRX	7.331	11.02	(80.79)
05/01	05/01	Dividends and Interest	ANGLOGOLD ASHANTI LIMITED ADR ADR PAYABLE: 04/08/2013 QUALIFIED DIVIDENDS 0.15	AU	-	-	0.15
05/01	05/01	Other Income or Expense	ANGLOGOLD ASHANTI LIMITED ADR ADR CASH DIVIDEND FOREIGN TAX ADJUSTMENT PAYABLE: 04/08/2013	AU	-	-	(0.02)
04/30	05/03	Buy	VANGUARD SHORT TERM BOND FUND	BSV	393	81.1214	(31,888.71)
05/07	05/07	Dividends and Interest	VANGUARD SHORT TERM BOND FUND PAYABLE: 05/07/2013 ORDINARY DIVIDENDS 31.99	BSV	-	-	31.99

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GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: May 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
05/08	05/08	Dividends and Interest	SMITH & NEPHEW PLC ADR PAYABLE: 05/08/2013 QUALIFIED DIVIDENDS 59.25	SNN	-	-	59.25
05/09	05/09	Dividends and Interest	GENERAL ELECTRIC CAPITAL CORP SR UNSECURED 2.25% 11/09/2015 PAYABLE: 05/09/2013 TAXABLE INT CREDITED 168.75	36962G4T8	-	-	168.75
05/15	05/15	Dividends and Interest	ACCENTURE LTD ORD PAYABLE: 05/15/2013 QUALIFIED DIVIDENDS 68.85	ACN	-	-	68.85
05/16	05/16	Dividends and Interest	APPLE INC COM PAYABLE: 05/16/2013 QUALIFIED DIVIDENDS 39.65	AAPL	-	-	39.65
05/16	05/16	Dividends and Interest	THE MOSAIC CO. COM PAYABLE: 05/16/2013 QUALIFIED DIVIDENDS 23.75	MOS	-	-	23.75
05/20	05/23	Sell	VANGUARD SHORT TERM BOND FUND	BSV	(393)	80.8674	31,772.17
05/23	05/24	Buy	STONE RIDGE US MASTER VAR RISK PERM CL I	VRPIX	3,200	10.00	(32,024.00)
05/24	05/24	Other Income or Expense	MOVE CASH BALANCE TO MARGIN	-	-	-	(32,024.00)
05/24	05/24	Other Income or Expense	MOVE CASH BALANCE TO MARGIN	-	-	-	32,024.00
05/29	05/29	Dividends and Interest	UNITED PARCEL SERVICE CL B PAYABLE: 05/29/2013 QUALIFIED DIVIDENDS 46.50	UPS	-	-	46.50

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
05/29	05/29	Dividends and Interest	LOOMIS SAYLES FDS I BOND FUND RETAIL CL PAYABLE: 05/29/2013 ORDINARY DIVIDENDS 130.68	LSBRX	-	-	130.68
05/29	05/29	Buy	LOOMIS SAYLES FDS I BOND FUND RETAIL CL REINVESTED AT 15.43 PER SHARE	LSBRX	8.469	15.43	(130.68)
05/31	05/31	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I PAYABLE: 05/31/2013 ORDINARY DIVIDENDS 122.72	EIGMX	-	-	122.72
05/31	05/31	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I REINVESTED AT 9.81 PER SHARE	EIGMX	12.51	9.81	(122.72)
05/31	05/31	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON 21 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 05/31/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.21	MMDA12	0.21	-	0.21

TD AMERITRADE CASH INTEREST CREDIT/EXPENSE

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
05/01	\$-	\$0.13	1	0.0100	-	\$ -
05/08	-	59.25	1	0.0100	-	-

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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Account # **[REDACTED]**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN

JOINT TENANTS WROS**TD AMERITRADE CASH INTEREST CREDIT/EXPENSE (continued)**

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
05/15	-	68.85	1	0.0100	-	-
TOTAL INTEREST INCOME/(EXPENSE)					-	-

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
05/01	\$53,084.12	1	0.0100	\$0.01	\$0.01	\$ -
05/02	53,084.25	1	0.0100	0.02	0.03	-
05/03	21,195.54	4	0.0100	0.02	0.05	-
05/07	21,227.53	2	0.0100	0.01	0.06	-
05/09	21,455.53	7	0.0100	0.05	0.11	-
05/16	21,587.78	7	0.0100	0.04	0.15	-
05/23	53,359.95	1	0.0100	0.01	0.16	-
05/24	21,335.95	5	0.0100	0.03	0.19	-
05/29	21,382.45	3	0.0100	0.02	0.21	0.21
TOTAL INTEREST INCOME						\$0.21

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MARK ALLIEGRO &
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JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
			Opening Balance		
05/01	05/01	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	\$19,384.90	\$33,699.22
05/02	05/02	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	0.13	53,084.12
05/03	05/03	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(31,888.71)	21,195.54
05/07	05/07	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	31.99	21,227.53
05/09	05/09	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	228.00	21,455.53
05/16	05/16	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	132.25	21,587.78
05/23	05/23	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	31,772.17	53,359.95

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**Page **362 of 550**

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MARK ALLEGRO &
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JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: May 1 - 31, 2013

INSURED DEPOSIT ACCOUNT ACTIVITY (continued)

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
05/24	05/24	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(32,024.00)	21,335.95
05/29	05/29	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	46.50	21,382.45
05/31	05/31	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.21	21,382.66
				\$21,382.66	\$21,382.66

Closing Balance**TD Bank NA**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

IMPORTANT INFORMATION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <http://www.tdameritrade.com/financialstatement.html> on or before 6/14/13, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692. This number is for inquiries regarding the financial statement only. As of 3/31/13, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$1.2 billion and \$215.2 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission.

**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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Account #
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT

Reporting Period: June 1 - 30, 2013

ACCOUNT SUMMARY

Total Account Value: **\$703,174.03**

CHANGE IN ACCOUNT VALUE		This Month 6/1/13 - 6/30/13	Year to Date 1/1/13 - 6/30/13	
BEGINNING VALUE		\$719,072.48	\$678,679.31	Market Appreciation/Depreciation
Dividends and Interest ¹		2,316.82	10,829.57	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Market Appreciation/(Depreciation)		(18,207.32)	17,822.40	
Other Income or Expense		(7.95)	(4,157.25)	
ENDING VALUE		\$703,174.03	\$703,174.03	
CHANGE IN VALUE		\$(15,898.45)	\$24,494.72	

YOUR INDEPENDENT ADVISOR
GRIMES & COMPANY INC
110 TURNPIKE RD
STE 100
WESTBOROUGH MA 01581-
(508) 366-3883

Questions about your statement
(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 6/30/13	Percent of Account	
Cash and Cash Alternatives	\$22,262.82	3.17%	Fixed Income includes Corporate, Municipal, Agency, Treasury, CMOS, CDs, Structured Products, etc.
Fixed Income	41,316.55	5.88	
Mutual Funds	342,928.76	48.77	
Stocks	296,665.90	42.19	
TOTAL VALUE	\$703,174.03	100.0%	

¹Other Income or Expense
Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLEGRO &
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JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: June 1 - 30, 2013

HOLDINGS DETAIL

CASH AND CASH ALTERNATIVES

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$22,262.82
TOTAL CASH & CASH ALTERNATIVES			\$22,262.82

FIXED INCOME

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
GENERAL ELECTRIC CAPITAL CORP SR UNSECURED 2.25% 11/09/2015	36962G4T8	11/5/10	15	\$102.474	\$15,371.10	\$15,008.75	\$362.35	\$337.50 2.2%
HILLENBRAND INC SR UNSECURED M-W CALL 5.5% 07/15/2020	431571AA6	9/1/10	10	105.796	10,579.60	10,563.81	15.79	550.00 5.2%
LEHMAN BROTHERS HOLDINGS ESCROW 0% 01/22/2020	525ESCFW4	-	25	NP	NP	-	-	-
WELLS FARGO BANK NA CD VARIABLE QTRFLY FLT 3.25% 06/30/2016	949748SQ1	6/12/09	15	102.439	15,365.85	15,000.00	365.85	487.50
TOTAL FIXED INCOME				\$41,316.55	\$40,572.56	\$743.99	\$1,375.00	

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES I	AQMIX	11/17/11	3,170.269	\$10.11	\$32,051.42	\$30,498.41	\$1,553.01	\$237.01 0.7%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	8/12/09	5,173.439	5.90	30,528.29	27,385.48	3,137.81	1,934.87 6.3%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro**
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JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: June 1 - 30, 2013

HOLDINGS DETAIL (continued)**MUTUAL FUNDS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
EATON VANCE FDS	EIGMX	5/6/08	3,743.7	9.66	36,164.14	38,407.20	(2,243.06)	1,452.56 4.0%
GLBL MACRO ABSOLUTE RETURN I								
EATON VANCE MUT FDS TR	EIBLX	1/15/08	3,560.902	9.12	32,475.43	32,390.83	84.60	1,406.56 4.3%
FLOATING RATE I								
FORWARD FUNDS	FLSIX	11/1/11	4,016.765	8.39	33,700.66	32,192.64	1,508.02	1,092.56 3.2%
CREDIT ANALYSIS LONG/SHORT INS								
GOLDMAN SACHS	GIMDX	4/15/10	2,374.21	8.86	21,035.50	22,580.80	(1,545.30)	1,123.00 5.3%
LOCAL EMERGING MKTS DEBT I								
LOOMIS SAYLES	LSBRX	12/12/08	2,080.287	14.84	30,871.46	29,961.04	910.42	1,703.76 5.5%
BOND FUND RETAIL CL								
METROPOLITAN WEST	MWTRX	12/17/12	2,328.397	10.58	24,634.44	25,357.32	(722.88)	870.82 3.5%
TOTAL RETURN BD FD								
PIMCO FDS	PAUIX	8/12/09	3,900.256	10.22	39,860.62	40,470.33	(609.71)	2,445.46 6.1%
ALL ASSET ALL AUTH FD INSTL								
STONE RIDGE	SREIX	2/1/13	2,145.223	9.94	21,323.52	21,468.00	(144.48)	-
REINSURANCE RISK PREMIUM I								
STONE RIDGE	SHRIX	2/1/13	857.859	9.96	8,544.28	8,618.00	(73.72)	-
HIGH YLD REINSUR RISK PREM I								
STONE RIDGE	VRPIX	5/23/13	3,200	9.92	31,744.00	32,024.00	(280.00)	-
US MASTER VAR RISK PERM CL I								
TOTAL MUTUAL FUNDS				\$342,928.76	\$341,354.05	\$1,574.71	\$12,266.58	

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Mark C. Allegro

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Account **MARK ALLEGRO & MARY ANNE ALLEGRO JT TEN**
JOINT TENANTS WROS

MONTHLY STATEMENT

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HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ACCENTURE LTD ORD	ACN	1/15/08	85	\$71.96	\$6,116.60	\$2,857.98	\$3,258.62	\$137.70 2.3%
APPLE INC COM	AAPL	3/15/13	13	396.53	5,154.89	5,734.94	(580.05)	158.60 3.1%
ASTRAZENECA PLC SPONS ADR	AZN	4/15/08	125	47.30	5,912.50	5,248.00	664.50	350.00 5.9%
AUTOLIV INC COM	ALV	8/23/12	90	77.39	6,965.10	5,507.81	1,457.29	180.00 2.6%
BLACKROCK INC COM	BLK	12/21/10	30	256.85	7,705.50	5,107.89	2,597.61	201.60 2.6%
BOEING CO COM	BA	8/19/11	80	102.44	8,195.20	4,669.69	3,525.51	155.20 1.9%
C.H. ROBINSON WORLDWIDE INC COM	CHRW	6/8/12	85	56.31	4,786.35	4,901.85	(115.50)	119.00 2.5%
CA INCORPORATED COM	CA	3/15/13	230	28.62	6,582.60	5,869.60	713.00	230.00 3.5%
CISCO SYSTEMS INC COM	CSCO	1/15/08	290	24.335	7,057.15	6,814.67	242.48	197.20 2.8%
COACH INC COM	COH	8/23/12	100	57.09	5,709.00	5,552.70	156.30	135.00 2.4%
COGNIZANT TECH SOLUTIONS CRP COM	CTSH	6/24/13	100	62.64	6,264.00	6,146.24	117.76	-
CORRECTIONS CORP OF AMERICA COM	CXW	6/24/13	190	33.87	6,435.30	6,226.53	208.77	364.80 5.7%
CSX CORP COM	CSX	12/20/12	275	23.19	6,377.25	5,529.21	848.04	165.00 2.6%
DIRECTV COM	DTV	3/7/12	115	61.64	7,088.60	5,315.95	1,772.65	-
DOLLAR TREE INC COM	DLTR	12/20/12	150	50.84	7,626.00	5,951.60	1,674.40	-

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: June 1 - 30, 2013

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
DR PEPPER SNAPPLE GROUP INC COM	DPS	3/7/12	140	45.93	6,430.20	5,274.00	1,156.20	212.80 3.3%
EMC CORP MASS COM	EMC	6/8/12	315	23.62	7,440.30	7,826.64	(386.34)	126.00 1.7%
GOOGLE INC CL A	GOOG	5/31/11	7	880.37	6,162.59	3,690.36	2,472.23	-
INFOSYS LTD ADR	INFY	8/19/11	140	41.19	5,766.60	6,475.77	(709.17)	97.72 1.7%
INTEL CORP COM	INTC	2/28/08	250	24.23	6,057.50	5,127.30	930.20	225.00 3.7%
LYONDELLBASELL INDUSTRIES CL A ORD	LYB	6/24/13	92	66.26	6,095.92	5,967.53	128.39	184.00 3.0%
MCDONALD'S CORP COM	MCD	12/20/12	60	99.00	5,940.00	5,382.49	557.51	184.80 3.1%
MEDTRONIC INC COM	MDT	1/15/08	150	51.47	7,720.50	6,548.26	1,172.24	168.00 2.2%
MICROSOFT CORP COM	MSFT	3/15/13	300	34.545	10,363.50	8,409.00	1,954.50	276.00 2.7%
NATIONAL-OILWELL VARCO INC COM	NOV	3/15/13	85	68.90	5,856.50	5,912.59	(56.09)	88.40 1.5%
NORTHROP GRUMMAN CORP COM	NOC	3/15/13	90	82.80	7,452.00	6,106.03	1,345.97	219.60 2.9%
NOVARTIS AG ADR	NVS	1/15/08	90	70.71	6,363.90	5,118.20	1,245.70	185.13 2.9%
OCCIDENTAL PETROLEUM CORP COM	OXY	12/20/12	70	89.23	6,246.10	5,513.59	732.51	179.20 2.9%
ORACLE CORP COM	ORCL	2/28/08	250	30.71	7,677.50	4,924.74	2,752.76	120.00 1.6%
PRICE T ROWE GROUP INC COM	TROW	12/28/12	85	73.20	6,222.00	5,530.98	691.02	129.20 2.1%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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**MARK ALLIEGRO &
 MARY ANNE ALLIEGRO JT-TEN
 JOINT TENANTS WROS**

MONTHLY STATEMENT

Reporting Period: June 1 - 30, 2013

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
QUALCOMM INC COM	QCOM	12/20/09	110	61.09	6,719.90	4,967.16	1,752.74	154.00 2.3%
RESMED INC COM COM	RMD	3/7/12	175	45.13	7,897.75	5,133.90	2,763.85	119.00 1.5%
ROBERT HALF INTL INC COM	RHI	12/20/12	185	33.23	6,147.55	5,742.62	404.93	118.40 1.9%
SASOL LTD LIMITED SPNSRD ADR	SSL	3/4/11	130	43.31	5,630.30	6,866.22	(1,235.92)	216.58 3.8%
SCRIPPS NETWORKS INTERACTIVE COM	SNI	3/7/12	115	66.76	7,677.40	5,059.71	2,617.69	69.00 0.9%
SMITH & NEPHEW PLC ADR	SNN	6/1/11	75	56.09	4,206.75	4,236.38	(29.63)	95.63 2.3%
SUPERIOR ENERGY SERVICES INC COM	SPN	3/7/12	255	25.94	6,614.70	6,677.91	(63.21)	-
TARGET CORP COM	TGT	5/31/11	110	68.86	7,574.60	5,461.53	2,113.07	189.20 2.5%
TEVA PHARMACEUTICAL INDLS LTD ADR	TEVA	3/4/11	140	39.20	5,488.00	6,614.94	(1,126.94)	132.44 2.4%
THE MOSAIC CO. COM	MOS	12/20/12	95	53.81	5,111.95	5,225.31	(113.36)	95.00 1.9%
TUPPERWARE BRANDS CORP COM	TUP	6/8/12	95	77.69	7,380.55	5,162.57	2,217.98	235.60 3.2%
UNITED PARCEL SERVICE CL B	UPS	1/15/08	75	86.48	6,486.00	5,192.44	1,293.56	186.00 2.9%
UNITEDHEALTH GROUP INC COM	UNH	8/23/12	100	65.43	6,548.00	5,416.56	1,131.44	112.00 1.7%
WESTERN UNION COM	WU	5/16/08	425	17.11	7,271.75	8,046.16	(774.41)	212.50 2.9%

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HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
XILINX INC COM	XLNX	6/8/12	155	39.61	6,139.55	5,035.35	1,104.20	155.00 2.5%
TOTAL STOCKS				\$296,865.90	\$254,980.90	\$42,585.00		\$6,880.30

TOTAL STOCKS- LONG POSITION

TOTAL HOLDINGS	\$703,174.03	\$636,007.51	\$44,903.70	\$20,521.88
TOTAL ACCOUNT VALUE				

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
06/03	06/03	Dividends and Interest	INTEL CORP COM PAYABLE: 06/01/2013 QUALIFIED DIVIDENDS 56.25	INTC	-	\$ -	\$56.25
06/03	06/03	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CLA PAYABLE: 05/31/2013 ORDINARY DIVIDENDS 159.61	EVIBX	-	-	159.61
06/03	06/03	Buy	EATON VANCE INCOME FUND OF BOSTON CLA REINVESTED AT 6.08 PER SHARE	EVIBX	26.252	6.08	(159.61)

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

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Name **Mark C. Allegro**
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MARK ALLEGRO &
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JOINT TENANTS WROS

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
06/03	06/03	Dividends and Interest	EATON VANCE MUT FDS TR FLOATING RATE! PAYABLE: 05/31/2013 ORDINARY DIVIDENDS 108.80	EIBLX	-	-	108.80
06/03	06/03	Buy	EATON VANCE MUT FD STR FLOATING RATE! REINVESTED AT 9.20 PER SHARE	EIBLX	11.826	9.20	(108.80)
06/03	06/03	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I PAYABLE: 05/31/2013 ORDINARY DIVIDENDS 87.13	GIMDX	-	-	87.13
06/03	06/03	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I REINVESTED AT 9.31 PER SHARE	GIMDX	9.359	9.31	(87.13)
06/03	06/03	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 05/31/2013 ORDINARY DIVIDENDS 66.06	MWTRX	-	-	66.06
06/03	06/03	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.85 PER SHARE	MWTRX	6.088	10.85	(66.06)
06/03	06/03	Dividends and Interest	TEVA PHARMACEUTICAL INDLS LTD ADR PAYABLE: 06/03/2013 QUALIFIED DIVIDENDS 44.18	TEVA	-	-	44.18
06/03	06/03	Other Income or Expense	TEVA PHARMACEUTICAL INDLS LTD ADR CASH DIVIDEND FOREIGN TAX ADJUSTMENT PAYABLE: 06/03/2013	TEVA	-	-	(6.63)

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
06/05	06/05	Dividends and Interest	XILINX INC COM PAYABLE: 06/05/2013 QUALIFIED DIVIDENDS 38.75	XILNX	-	-	38.75
06/07	06/07	Dividends and Interest	BOEING CO COM PAYABLE: 06/07/2013 QUALIFIED DIVIDENDS 38.80	BA	-	-	38.80
06/07	06/07	Dividends and Interest	AUTOLIV INC COM PAYABLE: 06/07/2013 QUALIFIED DIVIDENDS 38.80	ALV	-	-	45.00
06/10	06/10	Dividends and Interest	EMERSON ELEC CO COM PAYABLE: 06/10/2013 QUALIFIED DIVIDENDS 45.00	EMR	-	-	51.25
06/10	06/10	Dividends and Interest	SCRIPPS NETWORKS INTERACTIVE COM PAYABLE: 06/10/2013 QUALIFIED DIVIDENDS 51.25	SNI	-	-	17.25
06/10	06/10	Dividends and Interest	TARGET CORP COM PAYABLE: 06/10/2013 QUALIFIED DIVIDENDS 39.60	TGT	-	-	39.60
06/11	06/11	Dividends and Interest	CA INCORPORATED COM PAYABLE: 06/11/2013 QUALIFIED DIVIDENDS 57.50	CA	-	-	57.50
06/12	06/12	Dividends and Interest	DU PONT E I DE NEMOURS & CO COM PAYABLE: 06/12/2013 QUALIFIED DIVIDENDS 51.75	DD	-	-	51.75

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
06/12	06/12	Dividends and Interest	NORTHROP GRUMMAN CORP COM PAYABLE: 06/12/2013 QUALIFIED DIVIDENDS 54.90	NOC	-	-	54.90
06/13	06/13	Dividends and Interest	MICROSOFT CORP COM PAYABLE: 06/13/2013 QUALIFIED DIVIDENDS 69.00	MSFT	-	-	69.00
06/14	06/14	Dividends and Interest	CSX CORP COM PAYABLE: 06/14/2013 QUALIFIED DIVIDENDS 41.25	CSX	-	-	41.25
06/14	06/14	Dividends and Interest	ROBERT HALF INTL INC COM PAYABLE: 06/14/2013 QUALIFIED DIVIDENDS 41.25	RHI	-	-	29.60
06/17	06/17	Dividends and Interest	MCDONALDS CORP COM PAYABLE: 06/17/2013 QUALIFIED DIVIDENDS 29.60	MCD	-	-	46.20
06/18	06/18	Dividends and Interest	RESMED INC COM COM PAYABLE: 06/18/2013 QUALIFIED DIVIDENDS 46.20	RMD	-	-	29.75
06/19	06/19	Dividends and Interest	INFOSYS LTD ADR PAYABLE: 06/19/2013 QUALIFIED DIVIDENDS 61.98	INFY	-	-	61.98
06/21	06/21	Dividends and Interest	PIMCO FDS ALL ASSET ALL AUTH FD INSTL PAYABLE: 06/20/2013 ORDINARY DIVIDENDS 316.82	PAUIX	-	-	316.82

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
06/21	06/21	Buy	PIMCO FDS ALL ASSET ALL AUTH FD INSTL REINVESTED AT 10.23 PER SHARE	PAUX	30.97	10.23	(316.82)
06/24	06/24	Dividends and Interest	BLACKROCK INC COM PAYABLE: 06/24/2013 QUALIFIED DIVIDENDS 50.40	BLK	-	-	50.40
06/24	06/24	Deliver	STONE RIDGE US MASTER VAR RISK PERM CL I TRANSFER TO 917060573-2	VRPIX	(3,200)	-	-
06/24	06/24	Receive	STONE RIDGE US MASTER VAR RISK PERM CL I TRANSFER FROM 917060573-1	VRPIX	3,200	-	-
06/24	06/24	Dividends and Interest	ANGLOGOLD ASHANTI LIMITED ADR ADR PAYABLE: 06/24/2013 QUALIFIED DIVIDENDS 8.78	AU	-	-	8.78
06/24	06/24	Other Income or Expense	ANGLOGOLD ASHANTI LIMITED ADR ADR CASH DIVIDEND FOREIGN TAX ADJUSTMENT PAYABLE: 06/24/2013	AU	-	-	(1.32)
06/26	06/26	Dividends and Interest	QUALCOMM INC COM PAYABLE: 06/26/2013 QUALIFIED DIVIDENDS 38.50	QCOM	-	-	38.50
06/26	06/26	Dividends and Interest	UNITEDHEALTH GROUP INC COM PAYABLE: 06/26/2013 QUALIFIED DIVIDENDS 28.00	UNH	-	-	28.00

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
06/26	06/26	Dividends and Interest	FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS PAYABLE: 06/25/2013 ORDINARY DIVIDENDS 314.60	FLSIX	-	-	314.60
06/26	06/26	Buy	FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS REINVESTED AT 8.14 PER SHARE	FLSIX	36,649	8.14	(314.60)
06/26	06/26	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 06/26/2013 ORDINARY DIVIDENDS 108.62	LSBRX	-	-	108.62
06/26	06/26	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 14.70 PER SHARE	LSBRX	7,389	14.70	(108.62)
06/24	06/27	Sell	ANGLOGOLD ASHANTI LIMITED ADR ADR	AU	(175)	14.2559	2,486.73
06/24	06/27	Buy	COGNIZANT TECH SOLUTIONS CRP COM	CTSH	100	61.3824	(6,146.24)
06/24	06/27	Buy	CORRECTIONS CORP OF AMERICA COM	CXW	190	32.7291	(6,226.53)
06/24	06/27	Buy	LYONDELLBASELL INDUSTRIES CL A ORD	LYB	92	64.7775	(5,967.53)
06/24	06/27	Sell	EMERSON ELEC CO COM	EMR	(125)	53.8869	6,727.74
06/24	06/27	Sell	GOLD FIELDS LTD SPON ADR	GFI	(580)	5.1096	2,955.51
06/24	06/27	Sell	DU PONT E I DE NEMOURS & CO COM	DD	(115)	52.4382	6,022.28
06/27	06/27	Dividends and Interest	PRICE T ROWE GROUP INC COM PAYABLE: 06/27/2013 QUALIFIED DIVIDENDS 32.30	TROW	-	-	32.30

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
06/28	06/28	Dividends and Interest	NATIONAL OILWELL VARCO INC COM PAYABLE: 06/28/2013 QUALIFIED DIVIDENDS 22.10	NOV	-	-	22.10
06/28	06/28	Dividends and Interest	WESTERN UNION COM PAYABLE: 06/28/2013 QUALIFIED DIVIDENDS 53.13	WU	-	-	53.13
06/28	06/28	Dividends and Interest	CH. ROBINSON WORLDWIDE INC COM PAYABLE: 06/28/2013 QUALIFIED DIVIDENDS 29.75	CHRW	-	-	29.75
06/28	06/28	Dividends and Interest	EATON VANCE FDS GBL MACRO ABSOLUTE RETURN I PAYABLE: 06/28/2013 ORDINARY DIVIDENDS 119.03	EIGMX	-	-	119.03
06/28	06/28	Buy	EATON VANCE FDS GBL MACRO ABSOLUTE RETURN I REINVESTED AT 9.64 PER SHARE	EIGMX	12.348	9.64	(119.03)
06/28	06/28	Dividends and Interest	FDC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .18 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 06/30/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.18	MMDA12	0.18	-	0.18

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TD AMERITRADE CASH INTEREST CREDIT/EXPENSE

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
06/03	\$-	\$37.55	1	0.0100	-	\$ -
06/19	-	61.98	1	0.0100	-	-
06/24	-	7.46	1	0.0100	-	-
TOTAL INTEREST INCOME/(EXPENSE)					-	-

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
06/01	\$21,382.66	2	0.0100	\$0.01	\$0.01	\$ -
06/03	21,438.91	1	0.0100	0.01	0.02	-
06/04	21,476.46	1	0.0100	-	0.02	-
06/05	21,515.21	2	0.0100	0.02	0.04	-
06/07	21,559.01	3	0.0100	0.01	0.05	-
06/10	21,707.11	1	0.0100	0.01	0.06	-
06/11	21,764.61	1	0.0100	-	0.06	-
06/12	21,871.26	1	0.0100	0.01	0.07	-
06/13	21,940.26	1	0.0100	0.01	0.08	-
06/14	22,011.11	3	0.0100	0.02	0.10	-
06/17	22,057.31	1	0.0100	-	0.10	-
06/18	22,087.06	2	0.0100	0.01	0.11	-
06/20	22,149.04	4	0.0100	0.03	0.14	-
06/24	22,199.44	1	0.0100	-	0.14	-
06/25	22,206.90	1	0.0100	0.01	0.15	-

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INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE (continued)

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
06/26	22,273.40	1	0.0100	0.01	0.16	-
06/27	22,157.66	1	0.0100	-	0.16	-
06/28	22,262.64	3	0.0100	0.02	0.18	0.18
TOTAL INTEREST INCOME					\$0.18	

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					\$21,382.66
06/03	06/03	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	\$56.25	21,438.91
06/04	06/04	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	37.55	21,476.46
06/05	06/05	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	38.75	21,515.21
06/07	06/07	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	83.80	21,599.01

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**MARK ALLEGRO &
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INSURED DEPOSIT ACCOUNT ACTIVITY (continued)

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
06/10	06/10	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	108.10	21,707.11
06/11	06/11	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	57.50	21,764.61
06/12	06/12	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	106.65	21,871.26
06/13	06/13	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	69.00	21,940.26
06/14	06/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	70.85	22,011.11
06/17	06/17	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	46.20	22,057.31
06/18	06/18	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	29.75	22,087.06
06/20	06/20	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	61.98	22,149.04

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Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
06/24	06/24	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	50.40	22,199.44
06/25	06/25	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	7.46	22,206.90
06/26	06/26	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	66.50	22,273.40
06/27	06/27	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(115.74)	22,157.66
06/28	06/28	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	104.98	22,262.64
	06/28	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.18	22,262.82
Closing Balance				\$22,262.82	
TD Bank NA					\$22,262.82

FDC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Questions? Consult your Independent Advisor:

GRIMES & COMPANY INC (508) 366-3883

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Institutional

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name	Mark C. Allegro	Page 380 of 550
Account #	XXXXXXXXXX	
MARK ALLEGRO &		
MARY ANNE ALLEGRO JT TEN		
JOINT TENANTS WROS		

MONTHLY STATEMENT

Reporting Period: June 1 - 30, 2013

IMPORTANT INFORMATION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <http://www.tdameritrade.com/financialstatement.html> or before 6/14/13, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692. This number is for inquiries regarding the financial statement only. As of 3/31/13, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$1.2 billion and \$215.2 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission.

Required Annual FINRA Information: FINRA maintains a toll-free public disclosure hotline where investors may call to request disclosable background information on any licensed broker or broker-dealer. To call the hotline, dial 1-800-289-9999. FINRA also maintains an Internet web site where investors may obtain useful information concerning FINRA policies, procedures and services. The web site can be accessed at www.finra.org. Additionally, FINRA has a brochure which describes the investor education and protection program. You may request this brochure either through the FINRA web site or by calling the toll-free public disclosure hotline.

Please note that there has been a change to the supplemental insurance coverage on your account. For more information, please see "Account Protection" under "Terms and Conditions" on this statement.

**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

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GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Account **XXXXXXXXXX**
MARK ALLEGRO &
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JOINT TENANTS WROS

GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

ACCOUNT SUMMARY

Total Account Value: **\$716,802.50**

YOUR INDEPENDENT ADVISOR
GRIMES & COMPANY INC
110 TURNPIKE RD
STE 100
WESTBOROUGH MA 01581-
(508) 366-3883

CHANGE IN ACCOUNT VALUE		This Month 7/1/13 - 7/31/13	Year to Date 1/1/13 - 7/31/13
BEGINNING VALUE		\$703,174.03	\$678,679.31
Dividends and Interest		1,618.70	12,448.27
Market Appreciation/(Depreciation)		14,080.77	31,903.17
Other Income or Expense		(2,071.00)	(6,228.25)
ENDING VALUE		\$716,802.50	\$716,802.50
CHANGE IN VALUE		\$13,628.47	\$38,123.19

Questions about your statement
(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

Other Income or Expense
Miscellaneous expenses
including management fees, as
well as TD Ameritrade fees (such
as for wire transfer or returned
checks) and/or miscellaneous
income credited to the account
such as a margin interest
adjustment, royalties, etc.

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 7/31/13	Percent of Account
Cash and Cash Alternatives	\$20,979.43	2.93%
Fixed Income	41,386.45	5.77
Mutual Funds	344,761.24	48.10
Stocks	309,695.38	43.21
TOTAL VALUE	\$716,802.50	100.0%

Fixed Income Includes
Corporate, Municipal, Agency,
Treasury, CMOS, CDs, Structured
Products, etc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

HOLDINGS DETAIL

CASH AND CASH ALTERNATIVES

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIRC	-	\$ -	\$20,979.43
TOTAL CASH & CASH ALTERNATIVES			\$20,979.43

FIXED INCOME

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized (Loss)	Estimated Income Yield
GENERAL ELECTRIC CAPITAL CORP SR UNSECURED 2.25% 11/09/2015	36962G4T8	11/5/10	15	\$102.518	\$15,377.70	\$15,008.75	\$368.95	\$337.50 2.2%
HILLENBRAND INC SR UNSECURED M-W CALL 5.5% 07/15/2020	431571AA6	9/1/10	10	104.984	10,498.40	10,563.81	(65.41)	550.00 5.2%
LEHMAN BROTHERS HOLDINGS ESCROW 0% 01/22/2020	525ESCFW4	-	25	NP	NP	-	-	-
WELLS FARGO BANK NA CD VARIABLE QTRFLY FLT 2.87% 06/30/2016	949748SQ1	6/12/09	15	103.269	15,490.35	15,000.00	490.35	430.50
TOTAL FIXED INCOME				\$41,366.45	\$40,572.56	\$793.89	\$1,316.00	

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized (Loss)	Estimated Income Yield
AQR MANAGED FUTURES I	AQMIX	11/17/11	3,170.269	\$10.17	\$32,241.64	\$30,498.41	\$1,743.23	\$237.01 0.7%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	8/12/09	5,199.725	5.99	31,146.35	27,540.57	3,605.78	1,929.10 6.2%
TOTAL MUTUAL FUNDS					\$63,403.01	\$58,037.98	\$5,365.03	1.4%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

HOLDINGS DETAIL (continued)

MUTUAL FUNDS								
Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
EATON VANCE FDS	EIGMX	5/6/08	3,756.555	9.60	36,062.93	38,530.74	(2,467.81)	1,457.54 4.0%
GLBL MACRO ABSOLUTE RETURN I FLOATING RATE I	EIBLX	1/15/08	3,572.221	9.18	32,792.99	32,494.06	298.93	1,396.74 4.3%
FORWARD FUNDS	FLSIX	11/1/11	4,016.765	8.12	32,616.13	32,192.64	423.49	1,092.56 3.4%
CREDIT ANALYSIS LONG/SHORT INS	GIMDX	4/15/10	2,383.365	8.82	21,021.28	22,661.91	(1,640.63)	1,113.03 5.3%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I	LSBRX	12/12/08	2,088.062	14.99	31,300.05	30,077.74	1,222.31	1,703.86 5.4%
LOOMIS SAYLES BOND FUND RETAIL CL	MWTRX	12/17/12	2,333.44	10.60	24,734.46	25,410.67	(676.21)	840.04 3.4%
METROPOLITAN WEST TOTAL RETURN BD FD	PAUX	8/12/09	3,900.256	10.28	40,094.63	40,470.33	(375.70)	2,445.46 6.1%
PIMCO FDS ALL ASSET ALL AUTH FD INSTL	SREIX	2/1/13	2,148.662	10.01	21,508.11	21,502.25	5.86	34.29 0.2%
STONE RIDGE REINSURANCE RISK PREMIUM I	SHRIX	2/1/13	861.493	10.02	8,632.16	8,654.27	(22.11)	36.42 0.4%
STONE RIDGE HIGH YLD REINSUR RISK PREM I	VRPFX	5/23/13	3,212.858	10.15	32,610.51	32,151.55	458.96	128.06 0.4%
US MASTER VAR RISK PERM CL I					\$344,761.24	\$342,185.14	\$2,576.10	\$12,414.12
TOTAL MUTUAL FUNDS								

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
 Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro**
 Account # **500000000000000000**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

Reporting Period: July 1 - 31, 2013

MONTHLY STATEMENT**HOLDINGS DETAIL (continued)**

STOCKS								
Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ACCENTURE LTD ORD	ACN	1/15/08	85	\$73.81	\$6,273.85	\$2,857.98	\$3,415.87	\$137.70 2.2%
APPLE INC COM	AAPL	3/15/13	13	452.53	5,882.89	5,734.94	147.95	158.60 2.7%
ASTRAZENECA PLC SPONS ADR	AZN	4/1/508	125	50.72	6,340.00	5,248.00	1,092.00	350.00 5.5%
AUTOLIV INC COM	ALV	8/23/12	90	81.77	7,359.30	5,507.81	1,851.49	180.00 2.4%
BLACKROCK INC COM	BLK	12/2/10	30	281.96	8,458.80	5,107.89	3,350.91	201.60 2.4%
BOEING CO COM	BA	8/19/11	80	105.10	8,408.00	4,669.69	3,738.31	155.20 1.8%
C.H. ROBINSON WORLDWIDE INC COM	CHRW	6/8/12	85	59.62	5,067.70	4,901.85	165.85	119.00 2.3%
CA INCORPORATED COM	CA	3/15/13	230	29.74	6,840.20	5,869.60	970.60	230.00 3.4%
CISCO SYSTEMS INC COM	CSCO	1/15/08	290	25.59	7,421.10	6,814.67	606.43	197.20 2.7%
COACH INC COM	COH	8/23/12	100	53.13	5,313.00	5,552.70	(239.70)	135.00 2.5%
COGNIZANT TECH SOLUTIONS CRP COM	CTSH	6/24/13	100	72.39	7,239.00	6,146.24	1,092.76	-
CORRECTIONS CORP OF AMERICA COM	CXW	6/24/13	190	33.05	6,279.50	6,226.53	52.97	364.80 5.8%
CSX CORP COM	CSX	12/20/12	275	24.81	6,822.75	5,529.21	1,293.54	165.00 2.4%
DIRECTV COM	DTV	3/7/12	115	63.28	7,277.20	5,315.95	1,961.25	-
DOLLAR TREE INC COM	DLTR	12/20/12	150	53.65	8,047.50	5,951.60	2,095.90	-

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GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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**MARK ALLIEGRO &
 MARY ANNE ALLIEGRO JT TEN
 JOINT TENANTS WROS**

MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
DR PEPPER SNAPPLE GROUP INC	DPS	3/7/12	140	46.74	6,543.60	5,274.00	1,269.60	212.80 3.3%
EMC CORP MASS	EMC	6/8/12	315	26.15	8,237.25	7,826.64	410.61	126.00 1.5%
GOOGLE INC	GOOG	5/31/11	7	887.75	6,214.25	3,690.36	2,523.89	-
INFOSYS LTD	INFY	8/19/11	140	49.68	6,955.20	6,475.77	479.43	97.72 1.4%
INTEL CORP	INTC	2/28/08	250	23.35	5,833.75	5,127.30	706.45	225.00 3.9%
LYONDELLBASELL INDUSTRIES	LYB	6/24/13	92	68.71	6,321.32	5,967.53	353.79	184.00 2.9%
MCDONALDS CORP	MCD	12/20/12	60	98.08	5,884.80	5,382.49	502.31	184.80 3.1%
MEDTRONIC INC	MDT	1/15/08	150	55.24	8,286.00	6,548.26	1,737.74	168.00 2.0%
MICROSOFT CORP	MSFT	3/15/13	300	31.84	9,552.00	8,409.00	1,143.00	276.00 2.9%
NATIONAL-OILWELL VARCO INC	NOV	3/15/13	85	70.17	5,964.45	5,912.59	51.86	88.40 1.5%
NORTHROP GRUMMAN CORP	NOC	3/15/13	90	92.06	8,285.40	6,106.03	2,179.37	219.60 2.7%
NOVARTIS AG	NVS	1/15/08	90	71.61	6,444.90	5,118.20	1,326.70	185.13 2.9%
OCCIDENTAL PETROLEUM CORP	OXY	12/20/12	70	89.05	6,233.50	5,513.59	719.91	179.20 2.9%
ORACLE CORP	ORCL	2/28/08	250	32.35	8,087.50	4,924.74	3,162.76	120.00 1.5%
PRICE T ROWE GROUP INC	TROW	12/28/12	85	75.24	6,395.40	5,530.98	864.42	129.20 2.0%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME
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MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

MONTHLY STATEMENT
Reporting Period: July 1 - 31, 2013
HOLDINGS DETAIL (continued)
STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
QUALCOMM INC COM	QCOM	12/2/09	110	64.562	7,101.82	4,967.16	2,134.66	154.00 2.2%
RESMED INC COM COM	RMD	3/7/12	175	47.65	8,398.75	5,133.90	3,204.85	119.00 1.4%
ROBERT HALF INTL INC COM	RHI	12/20/12	185	37.24	6,889.40	5,742.62	1,146.78	118.40 1.7%
SASOL LTD LIMITED SPNSRD ADR	SSL	3/4/11	130	46.11	5,994.30	6,866.22	(871.92)	216.58 3.6%
SCRIPPS NETWORKS INTERACTIVE COM	SNI	3/7/12	115	70.77	8,138.55	5,059.71	3,078.84	69.00 0.8%
SMITH & NEPHEW PLC ADR	SNN	6/1/11	75	59.45	4,458.75	4,236.38	222.37	95.63 2.1%
SUPERIOR ENERGY SERVICES INC COM	SPN	3/7/12	255	25.62	6,533.10	6,677.91	(144.81)	-
TARGET CORP COM	TGT	5/31/11	110	71.25	7,837.50	5,461.53	2,375.97	189.20 2.4%
TEVA PHARMACEUTICAL INDLS LTD ADR	TEVA	3/4/11	140	39.70	5,558.00	6,614.94	(1,056.94)	132.44 2.4%
THE MOSAIC CO. COM	MOS	12/20/12	95	41.09	3,903.55	5,225.31	(1,321.76)	95.00 2.4%
TUPPERWARE BRANDS CORP COM	TUP	6/8/12	95	84.28	8,006.60	5,162.57	2,844.03	235.60 2.9%
UNITED PARCEL SERVICE CL B	UPS	1/15/08	75	86.80	6,510.00	5,192.44	1,317.56	186.00 2.9%
UNITEDHEALTH GROUP INC COM	UNH	8/23/12	100	72.85	7,285.00	5,416.56	1,868.44	112.00 1.5%
WESTERN UNION COM	WU	5/16/08	425	17.96	7,633.00	8,046.16	(413.16)	212.50 2.8%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

 **Ameritrade**
 Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

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MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

HOLDINGS DETAIL (continued)

STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
XILINX INC COM	XLNX	6/8/12	155	46.69	7,236.95	5,035.35	2,201.60	155.00 2.1%
TOTAL STOCKS					\$309,695.38	\$254,080.90	\$55,614.48	\$6,880.30

TOTAL STOCKS- LONG POSITION

TOTAL HOLDINGS	\$716,802.50	\$636,836.60	\$58,984.47	\$20,612.41
TOTAL ACCOUNT VALUE	\$716,802.50			

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
07/01	07/01	Dividends and Interest	WELLS FARGO BANK NA CD VARIABLE QRTRLY FLT 2.87% 06/30/2016 PAYABLE: 06/30/2013 TAXABLE INT CREDITED 107.77	949748SQ1	-	\$ -	\$107.77
07/01	07/01	Dividends and Interest	COACH INC COM PAYABLE: 07/01/2013 QUALIFIED DIVIDENDS 33.75	COH	-	-	33.75
07/01	07/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CLA PAYABLE: 06/28/2013 ORDINARY DIVIDENDS 155.09	EVIBX	-	-	155.09

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
07/01	07/01	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 5.90 PER SHARE	EVIBX	26,286	5.90	(155.09)
07/01	07/01	Dividends and Interest	EATON VANCE MUT FD STR FLOATING RATE! PAYABLE: 06/28/2013 ORDINARY DIVIDENDS 103.23	EIBLX	-	-	103.23
07/01	07/01	Buy	EATON VANCE MUT FD STR FLOATING RATE! PAYABLE: 06/28/2013 REINVESTED AT 9.12 PER SHARE	EIBLX	11,319	9.12	(103.23)
07/01	07/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT! PAYABLE: 06/28/2013 ORDINARY DIVIDENDS 81.11	GIMDX	-	-	81.11
07/01	07/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT! REINVESTED AT 8.86 PER SHARE	GIMDX	9.155	8.86	(81.11)
07/01	07/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 06/28/2013 ORDINARY DIVIDENDS 53.35	MWTRX	-	-	53.35
07/01	07/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.58 PER SHARE	MWTRX	5,043	10.58	(53.35)
07/01	07/01	Dividends and Interest	STONE RIDGE REINSURANCE RISK PREMIUM! PAYABLE: 06/27/2013 ORDINARY DIVIDENDS 34.25	SREIX	-	-	34.25
07/01	07/01	Buy	STONE RIDGE REINSURANCE RISK PREMIUM! REINVESTED AT 9.96 PER SHARE	SREIX	3,439	9.96	(34.25)

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

Account #
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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Reporting Period: July 1 - 31, 2013

MONTHLY STATEMENT

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/CUSIP	Quantity	Price	Transaction Amount
07/01	07/01	Dividends and Interest	STONE RIDGE HIGH YLD REINSUR RISK PREM! PAYABLE: 06/27/2013 ORDINARY DIVIDENDS 36.27	SHR IX	-	-	36.27
07/01	07/01	Buy	STONE RIDGE HIGH YLD REINSUR RISK PREM! REINVESTED AT 9.98 PER SHARE	SHR IX	3.634	9.98	(36.27)
07/02	07/02	Dividends and Interest	STONE RIDGE US MASTER VAR RISK PERM CL I PAYABLE: 06/28/2013 SHORT TERM CAP GAINS 127.55	VRPIX	-	-	127.55
07/02	07/02	Buy	STONE RIDGE US MASTER VAR RISK PERM CL I REINVESTED AT 9.92 PER SHARE	VRPIX	12.858	9.92	(127.55)
07/05	07/05	Dividends and Interest	DR PEPPER SNAPPLE GROUP INC COM PAYABLE: 07/05/2013 QUALIFIED DIVIDENDS 53.20	DPS	-	-	53.20
07/08	07/08	Dividends and Interest	TUPPERWARE BRANDS CORP COM PAYABLE: 07/08/2013 QUALIFIED DIVIDENDS 58.90	TUP	-	-	58.90
07/15	07/15	Dividends and Interest	Occidental Petroleum Corp COM PAYABLE: 07/15/2013 QUALIFIED DIVIDENDS 44.80	OXY	-	-	44.80
07/15	07/15	Dividends and Interest	Hillenbrand Inc SR UNSECURED M-W CALL 5.5% 07/15/2020 PAYABLE: 07/15/2013 TAXABLE INT CREDITED 275.00	431571AA6	-	-	275.00

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: July 1 - 31, 2013

Name **Mark C. Allegro** Page **390** of **550**
 Account # **██████████**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
07/15	07/15	Dividends and Interest	CORRECTIONS CORP OF AMERICA COM PAYABLE: 07/15/2013	CXW	-	-	91.20
07/19	07/19	Other Income or Expense	QUARTERLY MGMT FEE GRIMES & COMPANY INC 9170605732	-	-	-	(2,071.00)
07/23	07/23	Dividends and Interest	EMC CORP MASS COM PAYABLE: 07/23/2013	EMC	-	-	31.50
07/24	07/24	Dividends and Interest	CISCO SYSTEMS INC COM PAYABLE: 07/24/2013	CSCO	-	-	49.30
07/26	07/26	Dividends and Interest	MEDTRONIC INC COM PAYABLE: 07/26/2013	MDT	-	-	42.00
07/29	07/29	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 07/29/2013	LSBRX	-	-	116.70
07/29	07/29	Buy	ORDINARY DIVIDENDS 116.70 LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.01 PER SHARE	LSBRX	7.775	15.01	(116.70)
07/31	07/31	Dividends and Interest	EATON VANCE FDS GBL MACRO ABSOLUTE RETURN I PAYABLE: 07/31/2013	EIGMX	-	-	123.54
			ORDINARY DIVIDENDS 123.54				

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name	Mark C. Allegro	Page 39 / of 550
Account #	██████████	
MARK ALLEGRO &		
MARY ANNE ALLEGRO JT/TEN		
JOINT TENANTS WROS		

MONTHLY STATEMENT

Reporting Period: July 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/CUSIP	Quantity	Price	Transaction Amount
07/31	07/31	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I REINVESTED AT 9.61 PER SHARE	EIGMX	12.855	9.61	(123.54)
07/31	07/31	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON 19 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 07/31/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.19	MMDA12	0.19	-	0.19

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
07/01	\$22,404.34	4	0.0100	\$0.02	\$0.02	\$ -
07/05	22,457.54	3	0.0100	0.02	0.04	-
07/08	22,516.44	7	0.0100	0.05	0.09	-
07/15	22,927.44	4	0.0100	0.02	0.11	-
07/19	20,856.44	4	0.0100	0.02	0.13	-
07/23	20,887.94	1	0.0100	0.01	0.14	-
07/24	20,937.24	2	0.0100	0.01	0.15	-
07/26	20,979.24	6	0.0100	0.04	0.19	0.19
TOTAL INTEREST INCOME						\$0.19

Questions? Consult your Independent Advisor:
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SCHEDULE II ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro**
 Account # **██████████**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

Reporting Period: July 1 - 31, 2013

MONTHLY STATEMENT**INSURED DEPOSIT ACCOUNT ACTIVITY**

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					\$22,262.82
07/01	07/01	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	\$141.52	22,404.34
07/05	07/05	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	53.20	22,457.54
07/08	07/08	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	58.90	22,516.44
07/15	07/15	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	411.00	22,927.44
07/22	07/22	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(2,071.00)	20,856.44
07/23	07/23	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	31.50	20,887.94
07/24	07/24	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	49.30	20,937.24

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

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Name	Mark C. Allegro	Page 393 of 550
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MARK ALLEGRO &		
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INSURED DEPOSIT ACCOUNT ACTIVITY (continued)

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
07/26	07/26	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	42.00	20,979.24
07/31	07/31	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.19	20,979.43
				\$20,979.43	\$20,979.43

Closing Balance**TD Bank NA**

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MONTHLY STATEMENT

Reporting Period: August 1 - 31, 2013

GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

ACCOUNT SUMMARY

Total Account Value: **\$705,425.52**

YOUR INDEPENDENT ADVISOR
GRIMES & COMPANY INC
110 TURNPIKE RD
STE 100
WESTBOROUGH MA 01581-
(508) 366-3883

Questions about your statement

(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

CHANGE IN ACCOUNT VALUE		This Month 8/1/13 - 8/31/13	Year to Date 1/1/13 - 8/31/13	
BEGINNING VALUE		\$716,802.50	\$678,679.31	Market Appreciation/ Depreciation
Dividends and Interest ¹		945.03	13,393.30	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Market Appreciation/(Depreciation)		(12,322.01)	19,581.16	
Other Income or Expense		-	(6,228.25)	
ENDING VALUE		\$705,425.52	\$705,425.52	Other Income or Expense
CHANGE IN VALUE		\$11,376.98	\$26,746.21	Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 8/31/13	Percent of Account	
Cash and Cash Alternatives	\$21,117.63	2.99%	Fixed Income includes Corporate, Municipal, Agency, Treasury, CMOS, CDs, Structured Products, etc.
Fixed Income	41,398.35	5.87	
Mutual Funds	343,810.53	48.74	
Stocks	299,099.01	42.40	
TOTAL VALUE	\$705,425.52	100.0%	

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS/WROS

MONTHLY STATEMENT

Reporting Period: August 1 - 31, 2013

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$21,117.63
TOTAL CASH & CASH ALTERNATIVES			\$21,117.63

FIXED INCOME

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
GENERAL ELECTRIC CAPITAL CORP SR UNSECURED 2.25% 11/09/2015	36962G4T8	11/5/10	15	\$102.395	\$15,359.25	\$15,008.75	\$350.50	2.2%
HILLENBRAND INC SR UNSECURED M-W CALL 5.5% 07/15/2020	431571AA6	9/1/10	10	105.846	10,584.60	10,563.81	20.79	550.00 5.2%
LEHMAN BROTHERS HOLDINGS ESCROW 0% 01/22/2020	525ESCFW4	-	25	NP	NP	-	-	-
WELLS FARGO BANK NA CD VARIABLE QRTALY FLT 2.87% 06/30/2016	949748SQ1	6/12/09	15	103.03	15,454.50	15,000.00	454.50	430.50
TOTAL FIXED INCOME				\$41,398.35	\$40,572.56		\$825.79	\$1,318.00

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
TD ASSET MGMT US GOVT COMMERCIAL CL	TGCXX	8/6/13	3,895	\$1.00	\$3,895.00	\$3,895.00	\$ -	\$ 0.39 0.0%
AQR MANAGED FUTURES I	AQMIX	11/17/11	3,170.269	10.12	32,083.12	30,498.41	1,584.71	237.01 0.7%

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GRIMES & COMPANY INC (508) 366-3883

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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Mark C. Allegro

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MARK ALLEGRO &
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JOINT TENANTS WROS

MONTHLY STATEMENT

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HOLDINGS DETAIL (continued)

MUTUAL FUNDS								
Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
EATON VANCE INCOME FUND OF BOSTON CLA	EVIBX	8/12/09	5,226.637	5.92	30,941.69	27,701.77	3,239.92	1,933.86 6.3%
EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I	EIGMX	5/6/08	3,769.674	9.46	35,661.12	38,654.71	(2,993.59)	1,462.63 4.1%
EATON VANCE MUT FDS TR FLOATING RATE I	EIBLX	1/15/08	3,583.731	9.15	32,791.14	32,599.72	191.42	1,386.90 4.2%
FORWARD FUNDS	FLSIX	11/17/11	4,016.765	7.61	30,567.58	32,192.64	(1,625.06)	1,092.56 3.6%
CREDIT ANALYSIS LONG/SHORT INS								
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I	GIMDX	4/15/10	2,392.271	8.35	19,975.46	22,740.46	(2,765.00)	1,102.84 5.5%
LOOMIS SAYLES BOND FUND RETAIL CL	LSBRX	12/12/08	2,096.636	14.68	30,778.62	30,203.86	574.76	1,704.57 5.5%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	12/17/12	2,339.506	10.53	24,635.00	25,474.97	(839.97)	823.51 3.3%
PIMCO FDS ALL ASSET ALL AUTH FDS INSTL	PAUJX	8/12/09	3,900.256	10.13	39,509.59	40,470.33	(960.74)	2,445.46 6.2%
STONE RIDGE REINSURANCE RISK PREMIUM I	SREIX	2/1/13	2,148.662	10.15	21,808.92	21,502.25	306.67	34.29 0.2%
STONE RIDGE HIGH YLD REINSUR RISK PREM I	SHRIX	2/1/13	861.493	10.20	8,787.23	8,654.27	132.96	36.42 0.4%
STONE RIDGE US MASTER VAR RISK PERMCL I	VRPIX	5/23/13	3,227.922	10.03	32,376.06	32,304.45	71.61	282.28 0.9%
TOTAL MUTUAL FUNDS					\$343,810.53	\$346,892.84	\$(3,082.31)	\$12,542.72

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

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HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ACCENTURE LTD ORD	ACN	1/15/08	85	\$72.25	\$6,141.25	\$2,857.98	\$3,283.27	\$137.70 2.2%
APPLE INC COM	AAPL	3/15/13	13	487.216	6,333.81	5,734.94	598.87	158.60 2.5%
ASTRAZENECA PLC SPONS ADR								350.00 5.7%
AUTOLIV INC COM	AZN	4/15/08	125	49.21	6,151.25	5,248.00	903.25	180.00 2.5%
BLACKROCK INC COM	BLK	1/2/10	30	260.32	7,809.60	5,107.89	2,701.71	201.60 2.6%
BOEING CO COM	BA	8/19/11	80	103.92	8,313.60	4,669.69	3,643.91	155.20 1.9%
C.H. ROBINSON WORLDWIDE INC COM	CHRW	6/8/12	85	56.87	4,833.95	4,901.85	(67.90)	119.00 2.5%
CA INCORPORATED COM	CA	3/15/13	230	29.25	6,727.50	5,869.60	857.90	230.00 3.4%
CISCO SYSTEMS INC COM	CSCO	1/15/08	290	23.31	6,759.90	6,814.67	(54.77)	197.20 2.9%
COACH INC COM	COH	8/23/12	100	52.81	5,281.00	5,552.70	(271.70)	135.00 2.6%
COGNIZANT TECH SOLUTIONS CRP COM	CTSH	6/24/13	100	73.30	7,330.00	6,146.24	1,183.76	-
CORRECTIONS CORP OF AMERICA COM	CXW	6/24/13	190	32.94	6,258.60	6,226.53	32.07	364.80 5.8%
CSX CORP COM	CSX	12/20/12	275	24.61	6,767.75	5,529.21	1,238.54	165.00 2.4%
DIRECTV COM	DTV	3/7/12	115	58.18	6,690.70	5,315.95	1,374.75	-
DOLLAR TREE INC COM	DLTR	12/20/12	150	52.70	7,905.00	5,951.60	1,953.40	-

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GRIMES & COMPANY INC (508) 366-3883

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLEGRO &
MARY ANNE ALLEGRO-JT TEN
JOINT TENANTS WROS
MONTHLY STATEMENT

Reporting Period: August 1 - 31, 2013

HOLDINGS DETAIL (continued)
STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
DR PEPPER SNAPPLE GROUP INC COM	DPS	3/7/12	140	44.76	6,266.40	5,274.00	992.40	212.80 3.4%
EMC CORP MASS COM	EMC	6/8/12	315	25.78	8,120.70	7,826.64	294.06	126.00 1.6%
GOOGLE INC CL A	GOOG	5/31/11	7	846.90	5,928.30	3,690.36	2,237.94	-
INFOSYS LTD ADR	INFY	8/19/11	140	46.37	6,491.80	6,475.77	16.03	97.72 1.5%
INTEL CORP COM	INTC	2/28/08	250	21.98	5,495.00	5,127.30	367.70	225.00 4.1%
LYONDELLBASELL INDUSTRIES CL A ORD	LYB	6/24/13	92	70.15	6,453.80	5,967.53	486.27	184.00 2.9%
MCDONALDS CORP COM	MCD	12/20/12	60	94.36	5,661.60	5,382.49	279.11	184.80 3.3%
MEDTRONIC INC COM	MDT	1/15/08	150	51.75	7,762.50	6,548.26	1,214.24	168.00 2.2%
MICROSOFT CORP COM	MSFT	3/15/13	300	33.40	10,020.00	8,409.00	1,611.00	276.00 2.8%
NATIONAL OILWELL VARCO INC COM	NOV	3/15/13	85	74.30	6,315.50	5,912.59	402.91	88.40 1.4%
NORTHROP GRUMMAN CORP COM	NOC	3/15/13	90	92.27	8,304.30	6,106.03	2,198.27	219.60 2.6%
NOVARTIS AG ADR	NVS	1/15/08	90	72.98	6,568.20	5,118.20	1,450.00	185.13 2.8%
OCCIDENTAL PETROLEUM CORP COM	OXY	12/20/12	70	88.21	6,174.70	5,513.59	661.11	179.20 2.8%
ORACLE CORP COM	ORCL	2/28/08	250	31.86	7,965.00	4,924.74	3,040.26	120.00 1.5%
PRICE T ROWE GROUP INC COM	TROW	12/28/12	85	70.14	5,961.90	5,530.98	430.92	129.20 2.2%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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Mark C. Allegro

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**MARK ALLEGRO &
MARY ANNE ALLEGRO-JT TEN****MONTHLY STATEMENT**

Reporting Period: August 1 - 31, 2013

JOINT TENANTS WROS**HOLDINGS DETAIL (continued)****STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
QUALCOMM INC COM	QCOM	12/2/09	110	66.28	7,290.80	4,967.16	2,323.64	154.00 2.1%
RESMED INC COM COM	RMD	3/7/12	175	47.24	8,267.00	5,133.90	3,133.10	175.00 2.1%
ROBERT HALF INTL INC COM	RHI	12/20/12	185	35.27	6,524.95	5,742.62	782.33	118.40 1.8%
SASOL LTD LIMITED SPNSRD ADR	SSL	3/4/11	130	46.81	6,085.30	6,866.22	(780.92)	216.58 3.6%
SCRIPPS NETWORKS INTERACTIVE COM	SNI	3/7/12	115	73.53	8,455.95	5,059.71	3,396.24	69.00 0.8%
SMITH & NEPHEW PLC ADR	SNN	6/1/11	75	58.26	4,369.50	4,236.38	133.12	97.50 2.2%
SUPERIOR ENERGY SERVICES INC COM	SPN	3/7/12	255	24.56	6,262.80	6,677.91	(415.11)	-
TARGET CORP COM	TGT	5/31/11	110	63.31	6,964.10	5,461.53	1,502.57	189.20 2.7%
TEVA PHARMACEUTICAL INDLS LTD ADR	TEVA	3/4/11	140	38.22	5,350.80	6,614.94	(1,264.14)	141.54 2.6%
TUPPERWARE BRANDS CORP COM	TUP	6/8/12	95	80.77	7,673.15	5,162.57	2,510.58	235.60 3.1%
UNITED PARCEL SERVICE CL B	UPS	1/15/08	75	85.58	6,418.50	5,192.44	1,226.06	186.00 2.9%
UNITEDHEALTH GROUP INC COM	UNH	8/23/12	100	71.74	7,174.00	5,416.56	1,757.44	112.00 1.6%
WESTERN UNION COM	WU	5/16/08	425	17.53	7,450.25	8,046.16	(595.91)	212.50 2.9%

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 368-3883

Ameritrade
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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: August 1 - 31, 2013

Name **Mark C. Alliegro**
 ACCOUNT # **MARK ALLIEGRO &**
MARY ANNE ALLIEGRO JT TEN
JOINT TENANTS WROS

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
XILINX INC COM	XLNX	6/8/12	155	43.42	6,730.10	5,035.35	1,694.75	155.00 2.3%
TOTAL STOCKS					\$299,099.01	\$248,855.59	\$50,243.42	\$6,852.27
					299,099.01			

TOTAL STOCKS- LONG POSITION

TOTAL HOLDINGS	\$705,425.52	\$636,320.99	\$47,986.90	\$20,712.99
TOTAL ACCOUNT VALUE	\$705,425.52			

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
08/01	08/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CLA PAYABLE: 07/31/2013 ORDINARY DIVIDENDS 161.20	EVIBX	-	\$ -	\$161.20
08/01	08/01	Buy	EATON VANCE INCOME FUND OF BOSTON CLA REINVESTED AT 5.99 PER SHARE	EVIBX	26.912	5.99	(161.20)
08/01	08/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I PAYABLE: 07/31/2013 ORDINARY DIVIDENDS 78.55	GIMDX	-	-	78.55
08/01	08/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I REINVESTED AT 8.82 PER SHARE	GIMDX	8.906	8.82	(78.55)

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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 MARK ALLEGRO &
 MARY ANNE ALLEGRO JT/TEN
 JOINT TENANTS/WROS

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
08/01	08/01	Dividends and Interest	EATON VANCE MUT FD STR FLOATING RATE! PAYABLE: 07/31/2013 ORDINARY DIVIDENDS 105.66	EIBLX	-	-	105.66
08/01	08/01	Buy	EATON VANCE MUT FD STR FLOATING RATE! REINVESTED AT 9.18 PER SHARE	EIBLX	11.51	9.18	(105.66)
08/01	08/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 07/31/2013 ORDINARY DIVIDENDS 64.30	MWTRX	-	-	64.30
08/01	08/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.60 PER SHARE	MWTRX	6.066	10.60	(64.30)
08/02	08/02	Dividends and Interest	ORACLE CORP COM PAYABLE: 08/02/2013 QUALIFIED DIVIDENDS 30.00	ORCL	-	-	30.00
08/02	08/02	Dividends and Interest	STONE RIDGE US MASTER VAR RISK PERM CL I PAYABLE: 07/31/2013 ORDINARY DIVIDENDS 152.90	VRPIX	-	-	152.90
08/02	08/02	Buy	STONE RIDGE US MASTER VAR RISK PERM CL I REINVESTED AT 10.15 PER SHARE	VRPIX	15.064	10.15	(152.90)
08/02	08/07	Sell	THE MOSAIC CO. COM	MOS	(95)	41.1467	3,900.87
08/06	08/07	Buy	TD ASSET MGMT US GOVT COMMERCIAL CL	TGCXX	3,895	1.00	(3,895.00)
08/07	08/07	Other Income or Expense	MOVE CASH BALANCE TO MARGIN		-	-	(3,895.00)
08/07	08/07	Other Income or Expense	MOVE CASH BALANCE TO MARGIN		-	-	3,895.00

Questions? Consult your Independent Advisor:
 GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MONTHLY STATEMENT

Reporting Period: August 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
08/15	08/15	Dividends and Interest	APPLE INC COM PAYABLE: 08/15/2013 QUALIFIED DIVIDENDS 39.65	AAPL	-	-	39.65
08/28	08/28	Dividends and Interest	THE MOSAIC CO. COM PAYABLE: 08/15/2013 QUALIFIED DIVIDENDS 23.75	MOS	-	-	23.75
08/28	08/28	Dividends and Interest	XILINX INC COM PAYABLE: 08/28/2013 QUALIFIED DIVIDENDS 38.75	XLNK	-	-	38.75
08/28	08/28	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 08/28/2013 ORDINARY DIVIDENDS 126.12	LSBRX	-	-	126.12
08/28	08/28	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 14.71 PER SHARE	LSBRX	8.574	14.71	(126.12)
08/30	08/30	Dividends and Interest	EATONVANCE FDS GBL MACRO ABSOLUTE RETURN I PAYABLE: 08/30/2013 ORDINARY DIVIDENDS 123.97	EIGMX	-	-	123.97

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: August 1 - 31, 2013

Name **Mark C. Allegro** Page **403** of **550**
 Account # **XXXXXXXXXX**
 MARK ALLEGRO &
 MARY ANNE ALLEGRO JT/TEN
 JOINT TENANTS/WROS

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
08/30	08/30	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I REINVESTED AT 9.45 PER SHARE	EIGMX	13.119	9.45	(123.97)
08/30	08/30	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .18 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 08/31/2013 INSURED DEPOSIT ACCOUNT INTEREST: 0.18	MMDA12	0.18	-	0.18

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
08/01	\$20,979.43	1	0.0100	\$0.01	\$0.01	\$ -
08/02	21,009.43	5	0.0100	0.02	0.03	-
08/07	21,015.30	8	0.0100	0.05	0.08	-
08/15	21,078.70	13	0.0100	0.08	0.16	-
08/28	21,117.45	4	0.0100	0.02	0.18	0.18
TOTAL INTEREST INCOME					\$0.18	

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name	Mark C. Allegro	Page 404 of 550
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MARK ALLEGRO &		
MARY ANNE ALLEGRO JT/TEN		
JOINT TENANTS WROS		

MONTHLY STATEMENT

Reporting Period: August 1 - 31, 2013

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					
08/02	08/02	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	\$30.00	\$20,979.43
08/07	08/07	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	5.87	21,015.30
08/15	08/15	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	63.40	21,078.70
08/28	08/28	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	38.75	21,117.45
08/30	08/30	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.18	21,117.63
Closing Balance				\$21,117.63	
TD Bank, NA					
<i>FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.</i>					

***** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT *****

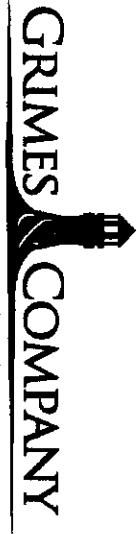
Questions? Consult your **Independent Advisor:**
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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name Mark C. Allegro Page 405 of 550
 Account # XXXXXXXXXX Page
 MARK ALLEGRO &
 MARY ANNE ALLEGRO JT/TEN
 JOINT TENANTS/WROS



MONTHLY STATEMENT
Reporting Period: September 1 - 30, 2013

ACCOUNT SUMMARY

Total Account Value: **\$702,225.55**

CHANGE IN ACCOUNT VALUE

	This Month 9/1/13 - 9/30/13	Year to Date 1/1/13 - 9/30/13	
BEGINNING VALUE	\$705,425.52	\$678,679.31	Market Appreciation/Depreciation
Dividends and Interest	2,745.03	16,138.33	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Market Appreciation/(Depreciation)	15,061.76	34,642.92	
Withdrawals from Account	(21,000.00)	(21,000.00)	
Other Income or Expense	(6.76)	(6,235.01)	
ENDING VALUE	\$702,225.55	\$702,225.55	Other Income or Expense
CHANGE IN VALUE	\$3,199.97	\$23,546.24	Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 9/30/13	Percent of Account	
Cash and Cash Alternatives	\$709.30	0.10%	Fixed Income includes Corporate, Municipal, Agency, Treasury, CMOS, CDs, Structured Products, etc.
Fixed Income	41,512.20	5.91	
Mutual Funds	344,324.17	49.03	
Stocks	315,679.88	44.95	
TOTAL VALUE	\$702,225.55	100.0%	

YOUR INDEPENDENT ADVISOR
GRIMES & COMPANY INC
110 TURNPIKE RD
STE 100
WESTBOROUGH MA 01581-
(508) 366-3883

Questions about your statement
 (800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

Name	Mark C. Allegro	Page 406 of 550
Account #	MARK ALLEGRO & MARY ANNE ALLEGRO JT TEN	
JOINT TENANTS WROS		

HOLDINGS DETAIL

CASH AND CASH ALTERNATIVES

Investment Description	Quantity	Price	Market Value
TD AMERITRADE CASH	-	\$ -	\$118.29
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	-	591.01
TOTAL CASH & CASH ALTERNATIVES			\$709.30

FIXED INCOME

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income
GENERAL ELECTRIC CAPITAL CORP SR UNSECURED 2.25% 11/09/2015	36962G4T8	11/5/10	15	\$102.665	\$15,399.75	\$15,008.75	\$391.00	\$337.50 2.2%
HILLENBRAND INC SR UNSECURED M-W CALL 5.5% 07/15/2020	431571AA6	9/1/10	10	106.581	10,658.10	10,563.81	94.29	550.00 5.2%
LEHMAN BROTHERS HOLDINGS ESCROW 0% 01/22/2020	5225ESCFW4	-	25	NP	NP	-	-	-
WELLS FARGO BANK NA CD VARIABLE QRTRLY FLT 2.87% 06/30/2016	949748SQ1	6/12/09	15	103.029	15,454.35	15,000.00	454.35	430.50
TOTAL FIXED INCOME				\$41,512.20	\$40,572.56	\$939.64		\$1,318.00

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GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro** Page **407** of 550
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 MARK ALLEGRO &
 MARY ANNE ALLEGRO JT/TEN
 JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

HOLDINGS DETAIL (continued)

MUTUAL FUNDS								
Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES I	AQMIX	11/17/11	3,170.269	\$9.96	\$31,575.88	\$30,498.41	\$1,077.47	\$237.01 0.8%
EATON VANCE INCOME FUND OF BOSTON CLA	EVIBX	8/12/09	5,254.015	5.95	31,261.39	27,863.85	3,397.54	1,933.48 6.2%
EATON VANCE FDS GBL MACRO ABSOLUTE RETURN I	EIGMX	5/6/08	3,782.439	9.42	35,630.58	38,774.96	(3,144.38)	1,467.59 4.1%
EATON VANCE MUT FDS TR FLOATING RATE I	EIBLX	1/15/08	3,595.138	9.14	32,859.56	32,704.09	155.47	1,366.15 4.2%
FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS	FLSIX	11/17/11	4,077.704	7.58	30,909.00	32,655.17	(1,746.17)	1,329.33 4.3%
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I	GIMDX	4/15/10	2,401.64	8.68	20,846.24	22,818.69	(1,972.45)	1,087.94 5.2%
LOOMIS SAYLES BOND FUND RETAIL CL	LSBRX	12/12/08	2,103.476	15.02	31,594.21	30,306.80	1,287.41	1,703.82 5.4%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	12/17/12	2,345.671	10.62	24,911.03	25,539.89	(628.86) 3.2%	809.26
PIMCO FDS ALL ASSET ALL AUTH FD INSTL	PAUIX	8/12/09	3,932.968	10.31	40,548.90	40,807.59	(258.69)	2,422.71 6.0%
STONE RIDGE REINSURANCE RISK PREMIUM I	SREIX	2/1/13	2,148.662	10.26	22,045.27	21,502.25	543.02	196.13 0.9%
STONE RIDGE HIGH YLD RENSUR RISK PREM I	SHRIX	2/1/13	861.493	10.29	8,864.76	8,654.27	210.49	124.06 1.4%
STONE RIDGE US MASTER VAR RISK PERM CL I	VRPIX	5/23/13	3,243.406	10.26	33,277.35	32,459.75	817.60	600.03 1.8%
TOTAL MUTUAL FUNDS				\$344,324.17	\$344,585.72	\$(261.55)	\$13,277.50	

Questions? Consult your Independent Advisor:
 GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name	Mark C. Allegro	Page 408 of 550
Account	██████████	
MARK ALLEGRO &		
MARY ANNE ALLEGRO JT TEN		
JOINT TENANTS WROS		

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

HOLDINGS DETAIL (continued)

STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ACCENTURE LTD ORD	ACN	1/15/08	85	\$73.64	\$6,259.40	\$2,857.98	\$3,401.42	\$158.10 2.5%
APPLE INC COM	AAPL	3/15/13	13	476.75	6,197.75	5,734.94	462.81	158.60 2.6%
ASTRAZENECA PLC SPONS ADR	AZN	4/15/08	125	51.93	6,491.25	5,248.00	1,243.25	350.00 5.4%
BLACKROCK INC COM	BLK	12/2/10	30	270.62	8,118.60	5,107.89	3,010.71	201.60 2.5%
BOEING CO COM	BA	8/19/11	80	117.50	9,400.00	4,669.69	4,730.31	155.20 1.7%
CH. ROBINSON WORLDWIDE INC COM	CHRW	6/8/12	85	59.59	5,065.15	4,901.85	163.30	119.00 2.3%
CA INCORPORATED COM	CA	3/15/13	230	29.67	6,824.10	5,869.60	954.50	230.00 3.4%
CISCO SYSTEMS INC COM	CSCO	1/15/08	290	23.431	6,794.99	6,814.67	(19.68)	197.20 2.9%
COACH INC COM	COH	8/23/12	100	54.53	5,453.00	5,552.70	(99.70)	135.00 2.5%
COGNIZANT TECH SOLUTIONS CRP COM	CTSH	6/24/13	100	82.12	8,212.00	6,146.24	2,065.76	-
CORRECTIONS CORP OF AMERICA COM	CXW	6/24/13	190	34.55	6,564.50	6,226.53	337.97	364.80 5.6%
CSX CORP COM	CSX	12/20/12	275	25.74	7,078.50	5,529.21	1,549.29	165.00 2.3%
DIRECTV COM	DTV	3/7/12	115	59.76	6,874.70	5,315.95	1,558.75	-
DOLLAR TREE INC COM	DLTR	12/20/12	150	57.16	8,574.00	5,951.60	2,622.40	-
DR PEPPER SNAPPLE GROUP INC COM	DPS	3/7/12	140	44.82	6,274.80	5,274.00	1,000.80	212.80 3.4%

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GRIMES & COMPANY INC (508) 366-3883

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name	Mark C. Allegro	Page	409 of 550
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MARK ALLEGRO &			
MARY ANNE ALLEGRO JT/TEN			
JOINT TENANTS WROS			

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
E M C CORP MASS COM	EMC	6/8/12	315	25.56	8,051.40	7,826.64	224.76	126.00 1.6%
FRANKLIN RES INC COM	BEN	9/19/13	135	50.55	6,824.25	7,140.94	(316.69)	54.00 0.8%
GOOGLE INC ADR	GOOG	5/31/11	7	875.91	6,131.37	3,690.36	2,441.01	- 1.5%
INFOSYS LTD COM	INFY	8/19/11	140	48.11	6,735.40	6,475.77	259.63	97.72 3.9%
INTEL CORP COM	INTC	2/28/08	250	22.921	5,730.25	5,127.30	602.95	225.00 2.7%
LYNDDELLBASELL INDUSTRIES CL A ORD	LYB	6/24/13	92	73.23	6,737.16	5,967.53	769.63	184.00 2.6%
MARATHON PETE CORP COM	MPC	9/19/13	100	64.32	6,432.00	6,568.60	(136.60)	168.00 3.4%
MCDONALDS CORP COM	MCD	12/20/12	60	96.21	5,772.60	5,382.49	390.11	194.40 2.1%
MEDTRONIC INC COM	MDT	1/15/08	150	53.25	7,987.50	6,548.26	1,439.24	168.00 2.1%
MICROSOFT CORP COM	MSFT	3/15/13	300	33.28	9,984.00	8,409.00	1,575.00	336.00 3.4%
NATIONAL-OILWELL VARCO INC COM	NOV	3/15/13	85	78.11	6,639.35	5,912.59	726.76	88.40 1.3%
NORDSTROM INC COM	JWN	9/19/13	120	56.20	6,744.00	6,936.54	(192.54)	144.00 2.1%
NOVARTIS AG ADR	NVS	1/15/08	90	76.71	6,903.90	5,118.20	1,785.70	185.13 2.7%
OCCIDENTAL PETROLEUM CORP COM	OXY	12/20/12	70	93.54	6,547.80	5,513.59	1,034.21	179.20 2.7%
ORACLE CORP COM	ORCL	2/28/08	250	33.17	8,292.50	4,924.74	3,367.76	120.00 1.4%

Questions? Consult your Independent Advisor:

GRIMES & COMPANY INC (508) 366-3883

SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: September 1 - 30, 2013

Name **Mark C. Alliegro**
 Account # **10000000000000000000**
MARK ALLIEGRO &
MARY ANNE ALLIEGRO JT TEN
JOINT TENANTS WROS

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
PRICE T ROWE GROUP INC COM	TROW	12/28/12	85	71.93	6,114.05	5,530.98	583.07	129.20 2.1%
QUALCOMM INC COM	QCOM	12/20/09	110	67.32	7,405.20	4,967.16	2,438.04	154.00 2.1%
RESMED INC COM COM	RMD	3/7/12	175	52.82	9,243.50	5,133.90	4,109.60	175.00 1.9%
ROBERT HALF INTL INC COM	RHI	12/20/12	185	39.03	7,220.55	5,742.62	1,477.93	118.40 1.6%
SASOL LTD LIMITED SPNSRD ADR	SSL	3/4/11	130	47.79	6,212.70	6,866.22	(653.52)	216.19 3.5%
SCRIPPS NETWORKS INTERACTIVE COM	SNI	3/7/12	115	78.11	8,982.65	5,059.71	3,922.94	69.00 0.8%
SMITH & NEPHEW PLC ADR	SNN	6/1/11	75	62.42	4,681.50	4,236.38	445.12	97.50 2.1%
SUPERIOR ENERGY SERVICES INC COM	SPN	3/7/12	255	25.04	6,385.20	6,677.91	(292.71)	-
TARGET CORP COM	TGT	5/31/11	110	63.98	7,037.80	5,461.53	1,576.27	189.20 2.7%
TEVA PHARMACEUTICAL INDLS LTD ADR	TEVA	3/4/11	140	37.76	5,289.20	6,614.94	(1,325.74)	141.54 2.7%
TUPPERWARE BRANDS CORP COM	TUP	6/8/12	95	86.37	8,205.15	5,162.57	3,042.58	235.60 2.9%
UNITED PARCEL SERVICE CL B	UPS	1/15/08	75	91.37	6,852.75	5,192.44	1,660.31	186.00 2.7%
UNITEDHEALTH GROUP INC COM	UNH	8/23/12	100	71.61	7,161.00	5,416.56	1,744.44	112.00 1.6%
WESTERN UNION COM	WU	5/16/08	425	18.66	7,930.50	8,046.16	(115.66)	212.50 2.7%

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GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
 Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

Name	Mark C. Allegro	Page 4// of 550
Account #	MARK ALLEGRO & MARY ANNE ALLEGRO JT TEN	JOINT TENANTS WROS

HOLDINGS DETAIL (continued)

STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
XILINX INC COM	XLNX	6/8/12	155	46.851	7,261.91	5,035.35	2,226.56	155.00 2.1%
TOTAL STOCKS					\$315,679.88	\$257,887.83	\$57,792.05	\$6,908.28
					315,679.88			

TOTAL STOCKS- LONG POSITION

TOTAL HOLDINGS	\$702,225.55	\$643,046.11	\$58,470.14	\$21,503.78
TOTAL ACCOUNT VALUE	\$702,225.55			

TRANSACTIONS DETAIL

STOCKS

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
09/03	09/03	Dividends and Interest	INTEL CORP COM PAYABLE: 09/01/2013 QUALIFIED DIVIDENDS 56.25	INTC	-	\$ -	\$56.25
09/03	09/03	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CLA PAYABLE: 08/30/2013 ORDINARY DIVIDENDS 162.08	EVIBX	-	-	162.08
09/03	09/03	Buy	EATON VANCE INCOME FUND OF BOSTON CLA REINVESTED AT 5.92 PER SHARE	EVIBX	27.378	5.92 (162.08)	

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade
Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

Name **Mark C. Allegro**
 ACCOUNT **[REDACTED]**
 MARK ALLEGRO &
 MARY ANNE ALLEGRO JT TEN
 JOINT TENANTS WROS

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/CUSIP	Quantity	Price	Transaction Amount
09/03	09/03	Dividends and Interest	EATON VANCE MUT FDS TR FLOATING RATE! PAYABLE: 08/30/2013 ORDINARY DIVIDENDS 104.37	EIBLX	-	-	104.37
09/03	09/03	Buy	EATON VANCE MUT FDS TR FLOATING RATE! REINVESTED AT 9.15 PER SHARE	EIBLX	11.407	9.15	(104.37)
09/03	09/03	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT! PAYABLE: 08/30/2013 ORDINARY DIVIDENDS 78.23	GIMDX	-	-	78.23
09/03	09/03	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT! REINVESTED AT 8.35 PER SHARE	GIMDX	9.369	8.35	(78.23)
09/03	09/03	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 08/30/2013 ORDINARY DIVIDENDS 64.92	MWTRX	-	-	64.92
09/03	09/03	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.53 PER SHARE	MWTRX	6.165	10.53	(64.92)
09/03	09/03	Dividends and Interest	TEVA PHARMACEUTICAL INDLS LTD ADR PAYABLE: 09/03/2013 QUALIFIED DIVIDENDS 45.07	TEVA	-	-	45.07
09/03	09/03	Other Income or Expense	TEVA PHARMACEUTICAL INDLS LTD ADR FOREIGN TAX ADJUSTMENT PAYABLE: 09/03/2013	TEVA	-	-	(6.76)

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 GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade

Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

Name **Mark C. Allegro**
Account # **XXXXXXXXXX**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
09/04	09/04	Dividends and Interest	UNITED PARCEL SERVICE CL B PAYABLE: 09/04/2013 QUALIFIED DIVIDENDS 46.50	UPS	-	-	46.50
09/04	09/04	Dividends and Interest	STONE RIDGE US MASTER VAR RISK PERM CL I PAYABLE: 08/30/2013 ORDINARY DIVIDENDS 155.30	VRPIX	-	-	155.30
09/04	09/04	Buy	STONE RIDGE US MASTER VAR RISK PERM CL I REINVESTED AT 10.03 PER SHARE	VRPIX	15.484	10.03	(155.30)
09/05	09/05	Dividends and Interest	AUTOLIV INC COM PAYABLE: 09/05/2013 QUALIFIED DIVIDENDS 45.00	ALV	-	-	45.00
09/05	09/06	Withdrawals from Account	ACH OUT - 09/05/2013 05:31PM	-	-	-	(21,000.00)
09/06	09/06	Dividends and Interest	BOEING CO COM PAYABLE: 09/06/2013 QUALIFIED DIVIDENDS 38.80	BA	-	-	38.80
09/06	09/06	Deliver	TD ASSET MGMT US GOVT COMMERCIAL CL TRANSFER TO 917060573-2	TGCXX	(3,895)	-	-
09/06	09/06	Receive	TD ASSET MGMT US GOVT COMMERCIAL CL TRANSFER FROM 917060573-1	TGCXX	3,895	-	-
09/10	09/10	Dividends and Interest	SCRIPPS NETWORKS INTERACTIVE COM PAYABLE: 09/10/2013 QUALIFIED DIVIDENDS 17.25	SNI	-	-	17.25

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GRIMES & COMPANY INC (508) 366-3883

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro** Page 414 of 550
 Account **[REDACTED]**
 MARK ALLEGRO &
 MARY ANNE ALLEGRO JT TEN
 JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
09/10	09/10	Dividends and Interest	CA INCORPORATED COM PAYABLE: 09/10/2013 QUALIFIED DIVIDENDS 57.50	CA	-	-	57.50
09/10	09/10	Dividends and Interest	TARGET CORP COM PAYABLE: 09/10/2013 QUALIFIED DIVIDENDS 47.30	TGT	-	-	47.30
09/12	09/12	Dividends and Interest	MICROSOFT CORP COM PAYABLE: 09/12/2013 QUALIFIED DIVIDENDS 69.00	MSFT	-	-	69.00
09/13	09/13	Dividends and Interest	CSX CORP COM PAYABLE: 09/13/2013 QUALIFIED DIVIDENDS 69.00	CSX	-	-	41.25
09/16	09/16	Dividends and Interest	ROBERT HALF INTL INC COM PAYABLE: 09/16/2013 QUALIFIED DIVIDENDS 41.25	RHI	-	-	29.60
09/16	09/16	Dividends and Interest	ASTRAZENECA PLC SPONS ADR PAYABLE: 09/16/2013 QUALIFIED DIVIDENDS 29.60	AZN	-	-	112.50
09/17	09/17	Dividends and Interest	MCDONALDS CORP COM PAYABLE: 09/17/2013 QUALIFIED DIVIDENDS 112.50	MCD	-	-	46.20
09/17	09/17	Dividends and Interest	RESMED INC COM COM PAYABLE: 09/17/2013 QUALIFIED DIVIDENDS 43.75	RMD	-	-	43.75

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: September 1 - 30, 2013

Name **Mark C. Allegro**
 Account # **100-0000000000000000**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN

Name **Mark C. Allegro**
 Account # **100-0000000000000000**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/CUSIP	Quantity	Price	Transaction Amount
09/13	09/18	Sell	NORTHROP GRUMMAN CORP COM	NOC	(90)	95.3109	8,569.83
09/13	09/18	Sell	AUTOLIV INC COM	ALV	(90)	84.7852	7,622.53
09/18	09/18	Dividends and Interest	NORTHROP GRUMMAN CORP COM PAYABLE: 09/18/2013 QUALIFIED DIVIDENDS 54.90	NOC	-	-	54.90
09/19	09/20	Sell	TD ASSET MGMT US GOVT COMMERCIAL CL SHORT TERM RDM FEE 0.00	TGCX	(3,895)	1.00	3,895.00
09/20	09/20	Dividends and Interest	PIMCO FDS ALL ASSET ALL AUTH FD INSTL PAYABLE: 09/19/2013 ORDINARY DIVIDENDS 337.26	PAUX	-	-	337.26
09/20	09/20	Buy	BLACKROCK INC COM REINVESTED AT 10.31 PER SHARE PAYABLE: 09/23/2013 QUALIFIED DIVIDENDS 50.40	BLK	32.712	10.31	(337.26)
09/23	09/23	Dividends and Interest	FRANKLIN RES INC COM MARATHON PETE CORP COM	BEN	135	52.8366	(7,140.94)
09/19	09/24	Buy	NORDSTROM INC COM	MPC	100	65.606	(6,568.60)
09/19	09/24	Buy	UNITEDHEALTH GROUP INC COM PAYABLE: 09/24/2013 QUALIFIED DIVIDENDS 28.00	JWN	120	57.7378	(6,936.54)
09/24	09/24	Dividends and Interest	UNH	UNH	-	-	28.00

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: September 1 - 30, 2013

Name **Mark C. Allegro**
 Account # **XXXXXXXXXX**
 Account Type **MARK ALLEGRO & MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS**

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
09/25	09/25	Dividends and Interest	QUALCOMM INC COM PAYABLE: 09/25/2013 QUALIFIED DIVIDENDS 38.50	QCOM	-	-	38.50
09/26	09/26	Dividends and Interest	FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS PAYABLE: 09/25/2013 ORDINARY DIVIDENDS 462.53	FLSIX	-	-	462.53
09/26	09/26	Buy	FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS REINVESTED AT 7.59 PER SHARE	FLSIX	60.939	7.59	(462.53)
09/26	09/26	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 09/26/2013 ORDINARY DIVIDENDS 102.94	LSBRX	-	-	102.94
09/26	09/26	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.05 PER SHARE	LSBRX	6.84	15.05	(102.94)
09/27	09/27	Dividends and Interest	NATIONAL OILWELL VARCO INC COM PAYABLE: 09/27/2013 QUALIFIED DIVIDENDS 22.10	NOV	-	-	22.10
09/27	09/27	Dividends and Interest	PRICE T ROWE GROUP INC COM PAYABLE: 09/27/2013 QUALIFIED DIVIDENDS 32.30	TROW	-	-	32.30
09/30	09/30	Dividends and Interest	COACH INC COM PAYABLE: 09/30/2013 QUALIFIED DIVIDENDS 33.75	COH	-	-	33.75

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

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Name	Mark C. Allegro	Page 417 of 550
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MARK ALLEGRO &		
MARY ANNE ALLEGRO JT TEN		
JOINT TENANTS WROS		

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
09/30	09/30	Dividends and Interest	WESTERN UNION COM PAYABLE: 09/30/2013 QUALIFIED DIVIDENDS 53.13	WU	-	-	53.13
09/30	09/30	Dividends and Interest	C.H. ROBINSON WORLDWIDE INC COM PAYABLE: 09/30/2013 QUALIFIED DIVIDENDS 29.75	CHRW	-	-	29.75
09/30	09/30	Dividends and Interest	EATON VANCE FDS GBL MACRO ABSOLUTE RETURN I PAYABLE: 09/30/2013 ORDINARY DIVIDENDS 120.25	EIGMX	-	-	120.25
09/30	09/30	Buy	EATON VANCE FDS GBL MACRO ABSOLUTE RETURN I REINVESTED AT 9.42 PER SHARE	EIGMX	12.765	9.42	(120.25)
09/30	09/30	Dividends and Interest	WELLS FARGO BANK NA CD VARIABLE QRTRLY FLT 2.87% 06/30/2016 PAYABLE: 09/30/2013 TAXABLE INT CREDITED 118.29	949748SQ1	-	-	118.29
09/30	09/30	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .06 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 09/30/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.06	MMDA12	0.06	-	0.06

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: September 1 - 30, 2013

Name **Mark C. Allegro**
 Account # **XXXXXXXXXX**
MARK ALLEGRO &
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JOINT TENANTS WROS

TD AMERITRADE CASH INTEREST CREDIT/EXPENSE

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
09/03	\$-	\$38.31	1	0.0100	-	\$ -
09/16	-	112.50	1	0.0100	-	-
09/30	-	118.29	1	0.0100	-	-
TOTAL INTEREST INCOME/(EXPENSE)					-	-

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
09/01	\$21,117.63	2	0.0100	\$0.01	\$0.01	\$ -
09/03	21,173.88	1	0.0100	0.01	0.02	-
09/04	21,258.69	1	0.0100	-	0.02	-
09/05	21,303.69	1	0.0100	0.01	0.03	-
09/06	342.49	4	0.0100	-	0.03	-
09/10	464.54	2	0.0100	-	0.03	-
09/12	533.54	1	0.0100	-	0.03	-
09/13	574.79	3	0.0100	-	0.03	-
09/16	604.39	1	0.0100	-	0.03	-
09/17	806.84	1	0.0100	-	0.03	-
09/18	17,054.10	2	0.0100	0.01	0.04	-
09/20	20,949.10	3	0.0100	0.02	0.06	-
09/23	20,999.50	1	0.0100	-	0.06	-
09/24	381.42	1	0.0100	-	0.06	-
09/25	419.92	2	0.0100	-	0.06	-

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GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: September 1 - 30, 2013

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE (continued)

Begin Date	Settlement Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
09/27		474.32	3	0.0100	-	0.06	-
09/30		590.95	1	0.0100	-	0.06	0.06
TOTAL INTEREST INCOME						\$0.06	

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
			Opening Balance		
09/03	09/03	Received			
09/04	09/04	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	\$56.25	\$21,117.63
09/05	09/05	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	45.00	21,1258.69
09/06	09/06	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(20,961.20)	342.49

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Name **Mark C. Allegro**
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MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: September 1 - 30, 2013

Name **Mark C. Allegro**
 Account # **XXXXXXXXXX**
 MARK ALLEGRO &
 MARY ANNE ALLEGRO JT/TEN
 JOINT TENANTS WROS

INSURED DEPOSIT ACCOUNT ACTIVITY (continued)

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
09/10	09/10	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	122.05	464.54
09/12	09/12	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	69.00	533.54
09/13	09/13	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	41.25	574.79
09/16	09/16	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	29.60	604.39
09/17	09/17	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	202.45	806.84
09/18	09/18	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	16,247.26	17,054.10
09/20	09/20	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	3,895.00	20,949.10
09/23	09/23	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	50.40	20,999.50

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: September 1 - 30, 2013

Name	Mark C. Allegro	Page 421 of 550
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MARK ALLEGRO &		
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JOINT TENANTS WROS		

INSURED DEPOSIT ACCOUNT ACTIVITY (continued)

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
09/24	09/24	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(20,618.08)	381.42
09/25	09/25	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	38.50	419.92
09/27	09/27	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	54.40	474.32
09/30	09/30	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	116.63	590.95
09/30	09/30	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.06	591.01
				\$591.01	
				\$591.01	

Closing Balance

TD Bank, NA

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A. or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

IMPORTANT INFORMATION

Please note that we have made a change to the Asset Protection Guarantee on your account. To see the updated guarantee and to learn more about what we do to safeguard your account, please visit tdameritrade.com/security.page

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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Reporting Period: September 1 - 30, 2013

**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

MONTHLY STATEMENT

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name	Mark C. Allegro	Page 423 of 550
Account Number	MARK ALLEGRO & MARY ANNE ALLEGRO JT TEN	JOINT TENANTS WROS

GRIMES COMPANY
A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT
Reporting Period: October 1 - 31, 2013

ACCOUNT SUMMARY

Total Account Value: \$717,672.43

CHANGE IN ACCOUNT VALUE

	This Month 10/1/13 - 10/31/13	Year to Date 1/1/13 - 10/31/13
BEGINNING VALUE	\$702,225.55	\$678,679.31
Dividends and Interest Market Appreciation/(Depreciation)	3,122.58 14,420.29	19,260.91 49,063.21
Withdrawals from Account	-	(21,000.00)
Other Income or Expense	(2,095.99)	(8,331.00)
ENDING VALUE	\$717,672.43	\$717,672.43
CHANGE IN VALUE	\$15,446.88	\$38,993.12

Other Income or Expense
Miscellaneous expenses
including management fees, as
well as TD Ameritrade fees (such
as for wire transfer or returned
checks) and/or miscellaneous
income credited to the account
such as a margin interest
adjustment, royalties, etc.

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 10/31/13	Percent of Account
Cash and Cash Alternatives	\$12,775.65	1.78%
Fixed Income	41,529.05	5.79
Mutual Funds	336,634.17	46.91
Stocks	326,733.56	45.53
TOTAL VALUE	\$717,672.43	100.0%

Fixed Income includes
Corporate, Municipal, Agency,
Treasury, CMOS, CDs, Structured
Products, etc.



Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name	Mark C. Allegro	Page 424 of 550
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MARK ALLEGRO &		
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JOINT TENANTS WROS		

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

HOLDINGS DETAIL
CASH AND CASH ALTERNATIVES

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$12,775.65
TOTAL CASH & CASH ALTERNATIVES			\$12,775.65

FIXED INCOME

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
GENERAL ELECTRIC CAPITAL CORP SR UNSECURED 2.25% 11/09/2015	36962G4T8	11/5/10	15	\$102.852	\$15,427.80	\$15,003.66	\$424.14	\$337.50 2.2%
HILLENBRAND INC SR UNSECURED M-W CALL 5.5% 07/15/2020	431571AA6	9/1/10	10	106.352	10,635.20	10,410.31	224.89	550.00 5.2%
LEHMAN BROTHERS HOLDINGS ESCROW 0%, 01/22/2020	525ESCFW4	-	25	NP	NP	-	-	-
WELLS FARGO BANK NA CD VARIABLE QRTRLY FLT 2.87% 06/30/2016	949748SQ1	6/12/09	15	103.107	15,466.05	15,000.00	466.05	430.50
TOTAL FIXED INCOME				\$41,529.05	\$40,413.97	\$1,115.08	\$1,318.00	

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
BLACKROCK STRAT INCM OPTYS A	BASIX	10/18/13	983.118	\$10.09	\$9,919.66	\$9,900.00	\$19.66	\$263.48 2.7%
EATON VANCE MUT FDSTR FLOATING RATE I	EIBLX	1/15/08	1,700.437	9.17	15,593.01	15,510.76	82.25	627.46 4.0%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro** Page **425** of 550
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 MARK ALLEGRO &
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 JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

HOLDINGS DETAIL (continued)

MUTUAL FUNDS						
Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost/ Basis
AQR MANAGED FUTURES I	AQMIX	11/17/11	3,170.269	10.06	31,892.91	30,498.41
EATON VANCE INCOME FUND OF BOSTON CLA	EVIBX	8/12/09	4,683.469	6.06	28,381.82	24,889.35
EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I	EIGMX	6/30/08	859.167	9.41	8,084.76	8,735.86
EATON VANCE MUT FDS TR FLOATING RATE I	EIBLX	1/15/08	3,605.989	9.17	33,066.92	32,892.51
FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS	FLSIX	11/17/11	4,077.704	7.55	30,786.67	32,655.17
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I	GIMDX	4/15/10	2,411.23	8.87	21,387.61	22,901.93
LOOMIS SAYLES BOND FUND RETAIL CL	LSBRX	12/12/08	2,111.139	15.26	32,215.98	30,423.96
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	12/17/12	1,761.843	10.71	18,869.34	19,183.16
PIMCO FDS ALL ASSET ALL AUTH FD INSTL	PAUIX	8/12/09	3,932.968	10.44	41,060.19	40,807.59
STONE RIDGE REINSURANCE RISK PREMIUM I	SREIX	2/1/13	2,164.449	10.36	22,423.69	21,664.07
STONE RIDGE HIGH YLD REINSUR RISK PREM I	SHRIX	2/1/13	870.047	10.41	9,057.19	8,742.20
STONE RIDGE US MASTER VAR RISK PERM CL I	VRPIX	5/23/13	3,259.079	10.40	33,894.42	32,620.56
TOTAL MUTUAL FUNDS				\$336,634.17	\$331,425.53	\$5,208.64
						\$12,723.77

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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JOINT TENANTS WROS		

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ACCENTURE LTD ORD	ACN	1/15/08	85	\$73.50	\$6,247.50	\$2,857.98	\$3,389.52	\$158.10 2.5%
APPLE INC COM	AAPL	3/15/13	13	522.702	6,795.13	5,734.94	1,060.19	158.60 2.3%
ASTRAZENECA PLC SPONS ADR	AZN	4/15/08	125	52.86	6,607.50	5,248.00	1,359.50	350.00 5.3%
BLACKROCK INC COM	BLK	12/2/10	30	300.81	9,024.30	5,107.89	3,916.41	201.60 2.2%
BOEING CO COM	BA	8/19/11	80	130.50	10,440.00	4,669.69	5,770.31	155.20 1.5%
CH. ROBINSON WORLDWIDE INC COM	CHRW	6/8/12	85	59.74	5,077.90	4,901.85	176.05	119.00 3.1%
CA INCORPORATED COM	CA	3/15/13	230	31.76	7,304.80	5,869.60	1,435.20	230.00 3.0%
CISCO SYSTEMS INC COM	CSCO	1/15/08	290	22.56	6,542.40	6,814.67	(272.27)	197.20 -3.0%
COACH INC COM	COH	8/23/12	100	50.68	5,068.00	5,552.70	(484.70)	135.00 2.7%
COGNIZANT TECH SOLUTIONS CRP COM	CTSH	6/24/13	100	86.93	8,693.00	6,146.24	2,546.76	-
CORRECTIONS CORP OF AMERICA COM	CXW	6/24/13	190	37.00	7,030.00	6,226.53	803.47	364.80 5.2%
CSX CORP COM	CSX	12/20/12	275	26.06	7,166.50	5,529.21	1,637.29	165.00 2.3%
DIRECTV COM	DTV	3/7/12	115	62.49	7,186.35	5,315.95	1,870.40	-
DOLLAR TREE INC COM	DLTR	12/20/12	150	58.40	8,760.00	5,951.60	2,808.40	-
DR PEPPER SNAPPLE GROUP INC COM	DPS	3/7/12	140	47.35	6,629.00	5,274.00	1,355.00	212.80 3.2%

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GRIMES & COMPANY INC (508) 366-3883

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

Name **Mark C. Allegro**
 Account **[REDACTED]**
 MARK ALLEGRO &
 MARY ANNE ALLEGRO JT TEN
 JOINT TENANTS WROS

HOLDINGS DETAIL (continued)

STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
EMC CORP MASS COM	EMC	6/8/12	315	24.071	7,582.37	7,826.64	(244.27)	126.00 1.7%
FRANKLIN RES INC COM	BEN	9/19/13	135	53.86	7,271.10	7,140.94	130.16	54.00 0.7%
GOOGLE INC CL A	GOOG	5/31/11	7	1,030.58	7,214.06	3,690.36	3,523.70	- -
INFOSYS LTD ADR	INFY	8/19/11	140	53.06	7,428.40	6,475.77	952.63	104.30 1.4%
INTEL CORP COM	INTC	2/28/08	250	24.47	6,117.50	5,127.30	990.20	225.00 3.7%
LYONDELLBASELL INDUSTRIES CL A ORD	LYB	6/24/13	92	74.60	6,863.20	5,967.53	895.67	184.00 2.7%
MARATHON PETE CORP COM	MPC	9/19/13	100	71.66	7,166.00	6,568.60	597.40	168.00 2.3%
MCDONALDS CORP COM	MCD	12/20/12	60	96.52	5,791.20	5,382.49	408.71	194.40 3.4%
MEDTRONIC INC COM	MDT	1/15/08	150	57.40	8,610.00	6,548.26	2,061.74	168.00 2.0%
MICROSOFT CORP COM	MSFT	3/15/13	300	35.405	10,621.50	8,409.00	2,212.50	336.00 3.2%
NATIONAL-OILWELL VARCO INC COM	NOV	3/15/13	85	81.18	6,900.30	5,912.59	987.71	88.40 1.3%
NORDSTROM INC COM	JWN	9/19/13	120	60.47	7,256.40	6,936.54	319.86	144.00 2.0%
NOVARTIS AG ADR	NVS	1/15/08	90	77.55	6,979.50	5,118.20	1,861.30	185.13 2.7%
OCCIDENTAL PETROLEUM CORP COM	OXY	12/20/12	70	96.08	6,725.60	5,513.59	1,212.01	179.20 2.7%
ORACLE CORP COM	ORCL	2/28/08	250	33.50	8,375.00	4,924.74	3,450.26	120.00 1.4%

Questions? Consult your Independent Advisor:
 GRIMES & COMPANY INC (508) 366-3883

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro** Page **428 of 550**
 Account **██████████**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
PRICE T ROWE GROUP INC COM	TROW	12/28/12	85	77.41	6,579.85	5,530.98	1,048.87	129.20 2.0%
QUALCOMM INC COM	QCOM	12/20/09	110	69.49	7,643.90	4,967.16	2,676.74	154.00 2.0%
RESMED INC COM COM	RMD	3/7/12	175	51.74	9,054.50	5,133.90	3,920.60	175.00 1.9%
ROBERT HALF INTL INC COM	RHI	12/20/12	185	38.53	7,128.05	5,742.62	1,385.43	118.40 1.7%
SASOL LTD LIMITED SPNSRD ADR	SSL	3/4/11	130	51.02	6,632.60	6,866.22	(233.62)	215.93 3.3%
SCRIPPS NETWORKS INTERACTIVE COM	SNI	3/7/12	115	80.50	9,257.50	5,059.71	4,197.79	69.00 0.7%
SMITH & NEPHEW PLC ADR	SNN	6/1/11	75	64.21	4,815.75	4,236.38	579.37	97.50 2.0%
SUPERIOR ENERGY SERVICES INC COM	SPN	3/7/12	255	26.83	6,841.65	6,677.91	163.74	-
TARGET CORP COM	TGT	5/31/11	110	64.79	7,126.90	5,461.53	1,665.37	189.20 2.7%
TEVA PHARMACEUTICAL IND LTD ADR	TEVA	3/4/11	140	37.09	5,192.60	6,614.94	(1,422.34)	141.54 2.7%
TUPPERWARE BRANDS CORP COM	TUP	6/8/12	95	89.65	8,516.75	5,162.57	3,354.18	235.60 2.8%
UNITED PARCEL SERVICE CL B	UPS	1/15/08	75	98.24	7,368.00	5,192.44	2,175.56	186.00 2.5%
UNITEDHEALTH GROUP INC COM	UNH	8/23/12	100	68.26	6,826.00	5,416.56	1,409.44	112.00 1.6%
WESTERN UNION COM	WU	5/16/08	425	17.02	7,233.50	8,046.16	(812.66)	212.50 2.9%

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GRIMES & COMPANY INC (508) 366-3883

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
XILINX INC COM	LNX	6/8/12	155	45.429	7,041.50	5,035.35	2,006.15	155.00 2.2%
TOTAL STOCKS				\$326,733.56	\$257,887.83	\$68,845.73	\$5,914.60	
				326,733.56				

TOTAL STOCKS- LONG POSITION

TOTAL HOLDINGS	\$717,672.43	\$629,727.33	\$75,169.45	\$20,956.37
TOTAL ACCOUNT VALUE				

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
10/01	10/01	Dividends and Interest	STONE RIDGE REINSURANCE RISK PREMIUM! PAYABLE: 09/27/2013 ORDINARY DIVIDENDS 161.82	SREIX	-	\$ -	\$161.82
10/01	10/01	Buy	STONE RIDGE REINSURANCE RISK PREMIUM! REINVESTED AT 10.25 PER SHARE	SREIX	15.787	10.25	(161.82)
10/01	10/01	Dividends and Interest	STONE RIDGE HIGH YLD REINSUR RISK PREM! PAYABLE: 09/27/2013 ORDINARY DIVIDENDS 87.93	SHRIX	-	-	87.93
10/01	10/01	Buy	STONE RIDGE HIGH YLD REINSUR RISK PREM! REINVESTED AT 10.28 PER SHARE	SHRIX	8.554	10.28	(87.93)

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GRIMES & COMPANY INC (508) 366-3883

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Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/CUSIP	Quantity	Price	Transaction Amount
10/01	10/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CLA PAYABLE: 09/30/2013 ORDINARY DIVIDENDS 157.49	EVIBX	-	-	157.49
10/01	10/01	Buy	EATON VANCE INCOME FUND OF BOSTON CLA REINVESTED AT 5.95 PER SHARE	EVIBX	26.469	5.95	(157.49)
10/01	10/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I PAYABLE: 09/30/2013 ORDINARY DIVIDENDS 83.24	GIMDX	-	-	83.24
10/01	10/01	Buy	EATON VANCE MUT FD\$ TR FLOATING RATE I PAYABLE: 09/30/2013 ORDINARY DIVIDENDS 99.18	EIBLX	9.59	8.68	(83.24)
10/01	10/01	Buy	EATON VANCE MUT FD\$ TR FLOATING RATE I REINVESTED AT 9.14 PER SHARE	EIBLX	10.851	9.14	(99.18)
10/01	10/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 09/30/2013 ORDINARY DIVIDENDS 64.36	MWTRX	-	-	64.36
10/01	10/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.62 PER SHARE	MWTRX	6.06	10.62	(64.36)
10/02	10/02	Dividends and Interest	STONE RIDGE US MASTER VAR RISK PERM CL I PAYABLE: 09/30/2013 ORDINARY DIVIDENDS 160.81	VRPWX	-	-	160.81

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GRIMES & COMPANY INC (508) 366-3883

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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 MARK ALLEGRO &
 MARY ANNE ALLEGRO JT TEN
 JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
10/02	10/02	Buy	STONE RIDGE US MASTER VAR RISK PERM CL I REINVESTED AT 10.26 PER SHARE	VPRIX	15.673	10.26	(160.81)
10/03	10/03	Dividends and Interest	LEHMAN BROTHERS HOLDINGS ESCROW 0% 01/22/2020 REORG - LEHMAN 4TH DISTRIBUTION 10/03/13 PAYABLE: 10/03/2013 CASHLIQUIDATION DIST 1447.95	525ESCFW4	-	-	1,447.95
10/04	10/04	Dividends and Interest	DR PEPPER SNAPPLE GROUP INC COM PAYABLE: 10/04/2013 QUALIFIED DIVIDENDS 53.20	DPS	-	-	53.20
10/04	10/04	Dividends and Interest	TUPPERWARE BRANDS CORP COM PAYABLE: 10/04/2013 QUALIFIED DIVIDENDS 58.90	TUP	-	-	58.90
10/07	10/07	Dividends and Interest	LYONDELLBASELL INDUSTRIES CL A ORD PAYABLE: 10/07/2013 QUALIFIED DIVIDENDS 46.00	LYB	-	-	46.00
10/11	10/11	Dividends and Interest	FRANKLIN RES INC COM PAYABLE: 10/11/2013 QUALIFIED DIVIDENDS 13.50	BEN	-	-	13.50
10/15	10/15	Dividends and Interest	Occidental Petroleum Corp COM PAYABLE: 10/15/2013 QUALIFIED DIVIDENDS 44.80	OXY	-	-	44.80
10/15	10/15	Dividends and Interest	CORRECTIONS CORP OF AMERICA COM PAYABLE: 10/15/2013 QUALIFIED DIVIDENDS 91.20	CXW	-	-	91.20

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GRIMES & COMPANY INC (508) 366-3883

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
10/15	10/15	Other Income or Expense	QUARTERLY MGMT FEE GRIMES & COMPANY INC 9170605732	-	-	-	(2,070.00)
10/18	10/21	Sell	METROPOLITAN WEST TOTAL RETURN BD FD SHORT TERM RDM FEE 0.00	MWTRX	(589.888)	10.68	6,300.00
10/18	10/21	Sell	EATONVANCE INCOME FUND OF BOSTON CL A SHORT TERM RDM FEE 0.00	EVIBX	(597.015)	6.03	3,600.00
10/18	10/21	Sell	EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I	EIGMX	(2,926.271)	9.44	27,600.00
10/18	10/21	Buy	EATON VANCE MUT FDS TR FLOATING RATE I	EIBLX	1,700.437	9.16	(15,600.00)
10/18	10/21	Buy	BLACKROCK STRAT INCM OPPTYS A	BASIX	983.118	10.07	(9,900.00)
10/21	10/21	Other Income or Expense	MOVE CASH BALANCE TO MARGIN	-	-	-	(25,500.00)
10/21	10/21	Other Income or Expense	MOVE CASH BALANCE TO MARGIN	-	-	-	25,500.00
10/23	10/23	Dividends and Interest	EMC CORP MASS COM PAYABLE: 10/23/2013 QUALIFIED DIVIDENDS 31.50	EMC	-	-	31.50
10/23	10/23	Dividends and Interest	CISCO SYSTEMS INC COM PAYABLE: 10/23/2013 QUALIFIED DIVIDENDS 49.30	CSCO	-	-	49.30
10/23	10/23	Dividends and Interest	INFOSYS LTD ADR PAYABLE: 10/23/2013 QUALIFIED DIVIDENDS 42.39	INFY	-	-	42.39
10/24	10/24	Dividends and Interest	SASOL LTD LIMITED SPNSRD ADR PAYABLE: 10/24/2013 QUALIFIED DIVIDENDS 173.30	SSL	-	-	173.30

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

TD Ameritrade

Institutional

Name **Mark C. Allegro**
Account #
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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 Account # **XXXXXXXXXX**
 MARK ALLEGRO &
 MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: October 1 - 31, 2013

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
10/24	10/24	Other Income or Expense	SASOL LTD LIMITED SPNSRD ADR FOREIGN TAX ADJUSTMENT PAYABLE: 10/24/2013	SSL	-	-	(25.99)
10/25	10/25	Dividends and Interest	MEDTRONIC INC COM PAYABLE: 10/25/2013 QUALIFIED DIVIDENDS 42.00	MDT	-	-	42.00
10/29	10/29	Dividends and Interest	ORACLE CORP COM PAYABLE: 10/29/2013 QUALIFIED DIVIDENDS 30.00	ORCL	-	-	30.00
10/29	10/29	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 10/29/2013 ORDINARY DIVIDENDS 117.16	LSBRX	-	-	117.16
10/29	10/29	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.29 PER SHARE	LSBRX	7.663	15.29	(117.16)
10/29	10/29	Dividends and Interest	SMITH & NEPHEW PLC ADR PAYABLE: 10/29/2013 QUALIFIED DIVIDENDS 38.25	SNN	-	-	38.25
10/31	10/31	Dividends and Interest	EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I PAYABLE: 10/31/2013 ORDINARY DIVIDENDS 28.25	EIGMX	-	-	28.25

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: October 1 - 31, 2013

Name **Mark C. Allegro**
 Account # **[REDACTED]**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
10/31	10/31	Buy	EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I REINVESTED AT 9.42 PER SHARE	EIGMX	2.999	9.42	(28.25)
10/31	10/31	Dividends and Interest	F-DIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .05 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 10/31/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.05	MMDA12	0.05	-	0.05

TD AMERITRADE CASH INTEREST CREDIT/EXPENSE

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
10/03	\$-	\$1,447.95	1	0.0100	-	\$ -
10/07	-	46.00	1	0.0100	-	-
10/23	-	42.39	1	0.0100	-	-
10/24	-	147.31	1	0.0100	-	-
10/29	-	38.25	1	0.0100	-	-
TOTAL INTEREST INCOME/(EXPENSE)						

Questions? Consult your Independent Advisor:
 GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

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Name	Mark C. Allegro	Page 435 of 550
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MARK ALLEGRO &		
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JOINT TENANTS WROS		

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
10/01	\$709.30	3	0.0100	\$-	\$-	\$ -
10/04	2,269.35	4	0.0100	-	-	-
10/08	2,315.35	3	0.0100	-	-	-
10/11	2,328.85	4	0.0100	0.01	0.01	-
10/15	394.85	6	0.0100	-	0.01	-
10/21	12,394.85	2	0.0100	-	0.01	-
10/23	12,475.65	1	0.0100	0.01	0.02	-
10/24	12,518.04	1	0.0100	-	0.02	-
10/25	12,707.35	4	0.0100	0.02	0.04	-
10/29	12,737.35	1	0.0100	-	0.04	-
10/30	12,775.60	2	0.0100	0.01	0.05	0.05
TOTAL INTEREST INCOME				\$0.05		

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

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Name **Mark C. Allegro** Page **436** of **550**
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MARK ALLEGRO &
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JOINT TENANTS WROS

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
			Opening Balance		
10/01	10/01	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	\$118.29	\$591.01
10/04	10/04	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	1,560.05	2,269.35
10/08	10/08	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	46.00	2,315.35
10/11	10/11	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	13.50	2,328.85
10/15	10/15	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	136.00	2,464.85
10/16	10/16	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(2,070.00)	394.85
10/21	10/21	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	12,000.00	12,394.85

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

Reporting Period: October 1 - 31, 2013

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INSURED DEPOSIT ACCOUNT ACTIVITY (continued)

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
10/23	10/23	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	80.80	12,475.65
10/24	10/24	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	42.39	12,518.04
10/25	10/25	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	189.31	12,707.35
10/29	10/29	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	30.00	12,737.35
10/30	10/30	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	38.25	12,775.60
10/31	10/31	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.05	12,775.65
Closing Balance				\$12,775.65	
TD Bank NA					\$12,775.65

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

Questions? Consult your independent Advisor:
GRIMES & COMPANY INC. (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLEGRO &
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 JOINT TENANTS WROS

GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT
 Reporting Period: November 1 - 30, 2013

ACCOUNT SUMMARY

Total Account Value: **\$694,321.75**

CHANGE IN ACCOUNT VALUE

	This Month 1/1/13 - 11/30/13	Year to Date 1/1/13 - 11/30/13
BEGINNING VALUE	\$717,672.43	\$678,679.31
Dividends and Interest	1,026.83	20,287.74
Market Appreciation/(Depreciation)	5,622.49	54,685.70
Withdrawals from Account	(30,000.00)	(51,000.00)
Other Income or Expense	-	(8,331.00)
ENDING VALUE	\$694,321.75	\$694,321.75
CHANGE IN VALUE	\$(-23,350.68)	\$15,642.44

Other Income or Expense
 Miscellaneous expenses, including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 11/30/13	Percent of Account	
Cash and Cash Alternatives	\$1,171.26	0.17%	Fixed Income includes Corporate, Municipal, Agency, Treasury, CMOS, CDs, Structured Products, etc.
Fixed Income	41,457.70	5.97	
Mutual Funds	318,614.79	45.89	
Stocks	333,078.00	47.97	
TOTAL VALUE	\$694,321.75	100.0%	

YOUR INDEPENDENT ADVISOR
GRIMES & COMPANY INC
 110 TURNPIKE RD
 STE 100
 WESTBOROUGH MA 01581-
 For questions regarding the services provided by your Independent Advisor call (508) 366-3883
Questions? - Contact us.
 (800) 431-3500
TD Ameritrade Clearing, Inc., Member SIPC

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MONTHLY STATEMENT

Reporting Period: November 1 - 30, 2013

HOLDINGS DETAIL
CASH AND CASH ALTERNATIVES

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$1,171.26
TOTAL CASH & CASH ALTERNATIVES			\$1,171.26

FIXED INCOME

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
GENERAL ELECTRIC CAPITAL CORP	36962G4T8	11/5/10	15	\$103.068	\$15,460.20	\$15,003.51	\$456.69	\$337.50 2.2%
HILLENBRAND INC	431571AA6	9/1/10	10	105.13	10,513.00	10,405.99	107.01	550.00 5.2%
SR UNSECURED M-W CALL 5.5% 07/15/2020	525ESCFW4	-	25	NP	NP	-	-	-
LEHMAN BROTHERS HOLDINGS ESCROW 0% 01/22/2020	949748SQ1	6/12/09	15	103.23	15,484.50	15,000.00	484.50	430.50
WELLS FARGO BANK NA CD VARIABLE QRTRLY FLT 2.87% 06/30/2016								
TOTAL FIXED INCOME				\$41,457.70	\$40,409.50	\$1,048.20	\$1,318.00	

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR	AQMIX	11/17/11	3,170.269	\$10.42	\$33,034.20	\$30,498.41	\$2,535.79	\$237.01 0.7%
MANAGED FUTURES I	BASIX	10/18/13	983.621	10.11	9,944.41	9,905.08	39.33	252.79 2.5%
BLACKROCK STRAT INCM OPPTYS A								

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GRIMES & COMPANY INC (508) 366-3883

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name	Mark C. Allegro	Page 440 of 550
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MARK ALLEGRO &		
MARY ANNE ALLEGRO JT TEN		
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MONTHLY STATEMENT

Reporting Period: November 1 - 30, 2013

HOLDINGS DETAIL (continued)

MUTUAL FUNDS						
Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	8/12/09	4,709.49	6.06	28,539.51	25,047.04
EATON VANCE MUT FDS TR FLOATING RATE I	EIBLX	1/15/08	5,319.342	9.18	48,831.56	48,521.71
FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS	FLSIX	11/17/11	2,734.004	7.41	20,258.97	21,894.52
GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I	GMIDX	4/15/10	2,421.69	8.51	20,608.58	22,994.71
LOOMIS SAYLES BOND FUND RETAIL CL	LSBRX	12/12/08	2,118.673	15.16	32,119.08	30,538.17
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	12/17/12	1,766.857	10.68	18,870.03	19,237.90
PMCO FDS ALL ASSET ALL AUTH FD INSTL	PAUIX	8/12/09	3,932.968	10.27	40,391.58	40,807.59
STONE RIDGE REINSURANCE RISK PREMIUM I	SREIX	2/1/13	2,164.449	10.43	22,575.20	21,664.07
STONE RIDGE HIGH YLD REINSUR RISK PREM I	SHRIX	2/1/13	870.047	10.49	9,126.79	8,742.20
STONE RIDGE						384.59
US MASTER VAR RISK PERM CL I	VRPIX	5/23/13	3,274.321	10.48	34,314.88	32,779.23
TOTAL MUTUAL FUNDS					\$318,614.79	\$312,630.63
					\$5,984.16	\$12,060.01

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLEGRO &
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HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ACCENTURE LTD ORD	ACN	1/15/08	85	\$77.47	\$6,584.95	\$2,857.98	\$3,726.97	\$158.10 2.4%
APPLE INC COM	AAPL	3/15/13	13	556.07	7,228.91	5,734.94	1,493.97	158.60 2.2%
ASTRAZENECA PLC SPONS ADR	AZN	4/15/08	125	57.19	7,148.75	5,248.00	1,900.75	350.00 4.9%
BLACKROCK INC COM	BLK	12/21/10	30	302.75	9,082.50	5,107.89	3,974.61	201.60 2.2%
BOEING CO COM	BA	8/19/11	80	134.25	10,740.00	4,669.69	6,070.31	155.20 1.4%
CH ROBINSON WORLDWIDE INC COM	CHRW	6/8/12	85	58.65	4,985.25	4,901.85	83.40	119.00 2.4%
CA INCORPORATED COM	CA	3/15/13	230	33.00	7,590.00	5,869.60	1,720.40	230.00 3.0%
CISCO SYSTEMS INC COM	CSCO	1/15/08	290	21.25	6,162.50	6,814.67	(652.17)	197.20 3.2%
COACH INC COM	COH	8/23/12	100	57.90	5,790.00	5,552.70	237.30	135.00 2.3%
COGNIZANT TECH SOLUTIONS CORP COM	CTSH	6/24/13	100	93.89	9,389.00	6,146.24	3,242.76	-
CORRECTIONS CORP OF AMERICA COM	CXW	6/24/13	190	33.35	6,336.50	6,226.53	109.97	364.80 5.8%
CSX CORP COM	CSX	12/20/12	275	27.27	7,499.25	5,529.21	1,970.04	165.00 2.2%
DIRECTV COM	DTV	3/7/12	115	66.11	7,602.65	5,315.95	2,286.70	-
DOLLAR TREE INC COM	DLTR	12/20/12	150	55.65	8,347.50	5,951.60	2,395.90	-
DR PEPPER SNAPPLE GROUP INC COM	DPS	3/7/12	140	48.26	6,756.40	5,274.00	1,482.40	212.80 3.2%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME
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 MARK ALLEGRO &
 MARY ANNE ALLEGRO JT TEN
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HOLDINGS DETAIL (continued)
STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
EMC CORP MASS COM	EMC	6/8/12	315	23.85	7,512.75	7,826.64	(313.89)	126.00 1.7%
FRANKLIN RES INC COM	BEN	9/19/13	135	55.39	7,477.65	7,140.94	336.71	54.00 0.7%
GOOGLE INC CL A	GOOG	5/31/11	7	1,059.59	7,417.13	3,690.36	3,726.77	- -
INFOSYS LTD ADR	INFY	8/19/11	140	54.02	7,562.80	6,475.77	1,087.03	104.30 1.4%
INTEL CORP COM	INTC	2/28/08	250	23.84	5,960.00	5,127.30	832.70	225.00 3.8%
LYONDELLBASELL INDUSTRIES CL A ORD	LYB	6/24/13	92	77.18	7,100.56	5,967.53	1,133.03	220.80 3.1%
MARATHON PETE CORP COM	MPC	9/19/13	100	82.74	8,274.00	6,568.60	1,705.40	168.00 2.0%
MCDONALDS CORP COM	MCD	12/20/12	60	97.37	5,842.20	5,382.49	459.71	194.40 3.3%
MEDTRONIC INC COM	MDT	1/15/08	150	57.32	8,598.00	6,548.26	2,049.74	168.00 2.0%
MICROSOFT CORP COM	MSFT	3/15/13	300	38.13	11,439.00	8,409.00	3,030.00	336.00 2.9%
NATIONAL-OILWELL VARCO INC COM	NOV	3/15/13	85	81.50	6,927.50	5,912.59	1,014.91	88.40 1.3%
NORDSTROM INC COM	JWN	9/19/13	120	62.21	7,465.20	6,936.54	528.66	144.00 1.9%
NOVARTIS AG ADR	NVS	1/15/08	90	79.12	7,120.80	5,118.20	2,002.60	185.13 2.6%
OCCIDENTAL PETROLEUM CORP COM	OXY	12/20/12	70	94.96	6,647.20	5,513.59	1,133.61	179.20 2.7%
ORACLE CORP COM	ORCL	2/28/08	250	35.29	8,822.50	4,924.74	3,897.76	120.00 1.4%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

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Name **Mark C. Allegro**
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MARK ALLEGRO &
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JOINT TENANTS WROS

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
PRICE T ROWE GROUP INC COM	TROW	12/28/12	85	80.46	6,839.10	5,530.98	1,308.12	129.20 1.9%
QUALCOMM INC COM	QCOM	12/20/09	110	73.58	8,093.80	4,967.16	3,126.64	154.00 1.9%
RESMED INC COM COM	RMD	3/7/12	175	48.81	8,541.75	5,133.90	3,407.85	175.00 2.0%
ROBERT HALF INTL INC COM	RHI	12/20/12	185	38.63	7,146.55	5,742.62	1,403.93	118.40 1.7%
SASOL LTD LIMITED SPNSRD ADR	SSL	3/4/11	130	49.54	6,440.20	6,866.22	(426.02)	215.93 3.4%
SCRIPPS NETWORKS INTERACTIVE COM	SNI	3/7/12	115	74.59	8,577.85	5,059.71	3,518.14	69.00 0.8%
SMITH & NEPHEW PLC ADR	SNN	6/1/11	75	66.88	5,016.00	4,236.38	779.62	97.50 1.9%
SUPERIOR ENERGY SERVICES INC COM	SPN	3/7/12	255	25.48	6,497.40	6,677.91	(180.51)	-
TARGET CORP COM	TGT	5/31/11	110	63.93	7,032.30	5,461.53	1,570.77	189.20 2.7%
TEVA PHARMACEUTICAL INDLS LTD ADR	TEVA	3/4/11	140	40.76	5,706.40	6,614.94	(908.54)	152.04 2.7%
TUPPERWARE BRANDS CORP COM	TUP	6/8/12	95	91.34	8,677.30	5,162.57	3,514.73	235.60 2.7%
UNITED PARCEL SERVICE CL B	UPS	1/15/08	75	102.38	7,678.50	5,192.44	2,486.06	186.00 2.4%
UNITEDHEALTH GROUP INC COM	UNH	8/23/12	100	74.48	7,448.00	5,416.56	2,031.44	112.00 1.5%
WESTERN UNION COM	WU	5/16/08	425	16.67	7,084.75	8,046.16	(961.41)	212.50 3.0%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

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Name **Mark C. Allegro**
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MARK ALLEGRO &
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JOINT TENANTS WROS

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
XILINX INC COM	XLNX	6/8/12	155	44.43	6,886.65	5,035.35	1,851.30	155.00 2.3%
TOTAL STOCKS					\$333,078.00	\$257,887.83	\$75,190.17	\$6,961.90

TOTAL STOCKS- LONG POSITION

TOTAL HOLDINGS	\$694,321.75	\$610,927.96	\$82,222.53	\$20,369.91
TOTAL ACCOUNT VALUE	\$694,321.75			

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
11/01	11/01	Dividends and Interest	STONE RIDGE US MASTER VAR RISK PERM CL I PAYABLE: 10/30/2013 ORDINARY DIVIDENDS 158.67	VRPIX	-	\$ -	\$158.67
11/01	11/01	Buy	STONE RIDGE US MASTER VAR RISK PERM CL I REINVESTED AT 10.41 PER SHARE	VRPIX	15,242	10.41	(158.67)
11/01	11/01	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 10/31/2013 ORDINARY DIVIDENDS 157.69	EVIBX	-	-	157.69
11/01	11/01	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 6.06 PER SHARE	EVIBX	26.021	6.06	(157.69)

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
11/01	11/01	Dividends and Interest	BLACKROCK STRAT INCM OPPRTYS A PAYABLE: 10/31/2013 ORDINARY DIVIDENDS 5.08	BASIX	-	-	5.08
11/01	11/01	Buy	BLACKROCK STRAT INCM OPPRTYS A REINVESTED AT 10.09 PER SHARE	BASIX	0.503	10.09	(5.08)
11/01	11/01	Dividends and Interest	EATON VANCE MUT FDS TR FLOATING RATE ¹ PAYABLE: 10/31/2013 ORDINARY DIVIDENDS 118.44	EIBLX	-	-	118.44
11/01	11/01	Buy	EATON VANCE MUT FDS TR FLOATING RATE ¹ REINVESTED AT 9.17 PER SHARE	EIBLX	12.916	9.17	(118.44)
11/01	11/01	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 10/31/2013 ORDINARY DIVIDENDS 53.70	MWTRX	-	-	53.70
11/01	11/01	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.71 PER SHARE	MWTRX	5.014	10.71	(53.70)
11/01	11/01	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT ¹ PAYABLE: 10/31/2013 ORDINARY DIVIDENDS 92.78	GIMDX	-	-	92.78
11/01	11/01	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT ¹ REINVESTED AT 8.87 PER SHARE	GIMDX	10.46	8.87	(92.78)
11/07	11/07	Withdrawals from Account	WIRE FUNDS WIRE SENT 11/07/2013 13:05:38	-	-	-	(10,000.00)

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
11/12	11/12	Dividends and Interest	GENERAL ELECTRIC CAPITAL CORP SR UNSECURED 2.25% 11/09/2015 PAYABLE: 11/12/2013 TAXABLE INT CREDITED 168.75	36962G4T8	-	-	168.75
11/14	11/14	Dividends and Interest	APPLE INC COM PAYABLE: 11/14/2013 QUALIFIED DIVIDENDS 39.65	AAPL	-	-	39.65
11/15	11/18	Sell	ACCENTURE LTD ORD PAYABLE: 11/15/2013 QUALIFIED DIVIDENDS 79.05	ACN	-	-	79.05
11/15	11/18	Sell	EATON VANCE FDS GLBL MACRO ABSOLUTE RETURN I FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS SHORT TERM RDM FEE 0.00	EIGMX	(859.167)	9.42	8,069.35
11/18	11/19	Withdrawals from Account	ACH OUT - 11/18/2013 06:41PM	FLSIX	(1,343.7)	7.46	10,000.00
11/20	11/20	Deliver	BLACKROCK STRAT INCM OPPTYS A TRANSFER TO 917060573-2	BASIX	(983.118)	-	(10,000.00)
11/20	11/20	Receive	BLACKROCK STRAT INCM OPPTYS A TRANSFER FROM 917060573-1	BASIX	983.118	-	-
11/20	11/20	Deliver	EATON VANCE MUT FDS TR FLOATING RATE I TRANSFER TO 917060573-2	EIBLX	(1,700.437)	-	-
11/20	11/20	Receive	EATON VANCE MUT FDS TR FLOATING RATE I TRANSFER FROM 917060573-1	EIBLX	1,700.437	-	-
11/25	11/26	Withdrawals from Account	ACH OUT - 11/25/2013 06:40PM	-	-	-	(10,000.00)

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
11/26	11/26	Deliver	BLACKROCK STRAT INCM OPPTYS A TRANSFER TO 917060573-2	BASIX	(0.503)	-	-
11/26	11/26	Receive	BLACKROCK STRAT INCM OPPTYS A TRANSFER FROM 917060573-1	BASIX	0.503	-	-
11/26	11/26	Deliver	EATON VANCE MUT FDS TR FLOATING RATE ¹ TRANSFER TO 917060573-2	EIBLX	(12.916)	-	-
11/26	11/26	Receive	EATON VANCE MUT FDS TR FLOATING RATE ¹ TRANSFER FROM 917060573-1	EIBLX	12.916	-	-
11/27	11/27	Dividends and Interest	XILINX INC COM PAYABLE: 11/27/2013 QUALIFIED DIVIDENDS 38.75	XLNX	-	-	38.75
11/27	11/27	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 11/27/2013 ORDINARY DIVIDENDS 114.21	LSBRIX	-	-	114.21
11/27	11/27	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.16 PER SHARE	LSBRIX	7.534	15.16	(114.21)
11/29	11/29	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON 06 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 11/30/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.06	MMDA12	0.06	-	0.06

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TD AMERITRADE CASH INTEREST CREDIT/EXPENSE

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
11/15	\$-	\$79.05	3	0.0100	-	\$ -
TOTAL INTEREST INCOME(EXPENSE)						

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
11/01	\$12,775.65	6	0.0100	\$0.02	\$0.02	\$ -
11/07	2,775.65	5	0.0100	-	0.02	-
11/12	2,944.40	2	0.0100	0.01	0.03	-
11/14	2,984.05	4	0.0100	-	0.03	-
11/18	21,132.45	1	0.0100	0.01	0.04	-
11/19	11,132.45	7	0.0100	0.02	0.06	-
11/26	1,132.45	1	0.0100	-	0.06	-
11/27	1,171.20	4	0.0100	-	0.06	0.06
TOTAL INTEREST INCOME						\$0.06

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INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
			Opening Balance		
11/08	11/08	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	\$(10,000.00)	\$12,775.65
11/12	11/12	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	168.75	2,944.40
11/14	11/14	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	39.65	2,984.05
11/18	11/18	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	18,148.40	21,132.45
11/19	11/19	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(10,000.00)	11,132.45
11/26	11/26	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(10,000.00)	1,132.45
11/27	11/27	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	38.75	1,171.20

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INSURED DEPOSIT ACCOUNT ACTIVITY (continued)

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
11/29	11/29	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.06	1,171.26
				\$1,171.26	\$1,171.26

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

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GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

MONTHLY STATEMENT
Reporting Period: December 1 - 31, 2013**ACCOUNT SUMMARY** Total Account Value: **\$708,184.74****CHANGE IN ACCOUNT VALUE**

	This Month 12/1/13 - 12/31/13	Year to Date 1/1/13 - 12/31/13	
BEGINNING VALUE	\$694,321.75	\$678,679.31	Market Appreciation/Depreciation
Dividends and Interest	5,086.14	25,373.88	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Market Appreciation/(Depreciation)	8,783.71	63,469.41	
Withdrawals from Account	-	(51,000.00)	
Other Income or Expense	(6.86)	(8,337.86)	
ENDING VALUE	\$708,184.74	\$708,184.74	Other Income or Expense
CHANGE IN VALUE	\$13,862.99	\$29,505.43	Miscellaneous expenses

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Questions? - Contact us.
(800) 431-3500

TD Ameritrade Clearing, Inc., Member SIPC

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 12/31/13	Percent of Account	
Cash and Cash Alternatives	\$43,169.62	6.10%	Fixed Income Includes Corporate, Municipal, Agency, Treasury, CMOS, CDs, Structured Products, etc.
Fixed Income	41,300.40	5.83	
Mutual Funds	279,720.95	39.50	
Stocks	343,993.77	48.57	
TOTAL VALUE	\$708,184.74	100.0%	

including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

Account [REDACTED]
MARK ALLIEGRO &
MARY ANNE ALLIEGRO JT TEN
JOINT TENANTS WROS

HOLDINGS DETAIL**CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$43,169.62
TOTAL CASH & CASH ALTERNATIVES			\$43,169.62

FIXED INCOME

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized (Loss)	Estimated Income Yield
GENERAL ELECTRIC CAPITAL CORP SENIOR MEDIUM TERM NOTE 2.25%	36962G4T8	11/5/10	15	\$103.061	\$15,459.15	\$15,003.37	\$455.78	\$337.50 2.2%
HILLENBRAND INC SR UNSECURED M-W CALL 5.5%	431571AA6	9/1/10	10	105.282	10,528.20	10,401.66	126.54	550.00 5.2%
LEHMAN BROTHERS HOLDINGS ESCROW 0% 01/22/2020	525ESCFW4	-	25	NP	NP	-	-	-
WELLS FARGO BANK NA CD VARIABLE QRTRLY FLT 2.87%	94974BSQ1	6/12/09	15	102.087	15,313.05	15,000.00	313.05	430.50
TOTAL FIXED INCOME				\$41,300.40	\$40,405.03	\$895.37	\$1,316.00	

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized (Loss)	Estimated Income Yield
AQR MANAGED FUTURES I	AQMIX	11/17/11	3,203.004	\$10.59	\$33,919.81	\$30,838.86	\$3,080.95	\$-

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GRIMES & COMPANY INC (508) 366-3883



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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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Mark C. Allegro Page 153 of 550

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

Account # **XXXXXXXXXX**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

HOLDINGS DETAIL (continued)

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
BLACKROCK STRAT INCM OPPTYS A	BASIX	10/18/13	985.162	10.16	10,009.25	9,920.66	88.59	244.32 2.4%
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	8/12/09	4,732.807	6.06	28,680.81	25,188.34	3,492.47	1,727.47 6.0%
EATON VANCE MUT FDS TR FLOATING RATE I	EIBLX	1/15/08	5,335.703	9.19	49,035.11	48,671.90	363.21	1,915.52 3.9%
LOOMIS SAYLES BOND FUND RETAIL CL	LSBRX	12/12/08	2,143.527	15.09	32,345.82	30,911.48	1,434.34	1,421.16 4.4%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	12/17/12	1,781.315	10.55	18,792.87	19,391.12	(598.25)	577.15 3.1%
PIMCO FDS ALL ASSET ALL AUTH FD INSTL	PAUFX	8/12/09	4,060.871	9.90	40,202.62	42,075.11	(1,872.49)	2,391.85 5.9%
STONE RIDGE REINSURANCE RISK PREMIUM I	SREIX	2/1/13	2,220.038	10.21	22,666.59	22,231.08	435.51	783.67 3.5%
STONE RIDGE HIGH YLD REINSUR RISK PREM I	SHRIX	2/1/13	896.121	10.22	9,158.36	9,008.15	150.21	403.25 4.4%
STONE RIDGE US MASTER VAR RISK PERM CL I	VRPIX	5/23/13	3,290.265	10.61	34,909.71	32,946.32	1,963.39	937.73 2.7%
TOTAL MUTUAL FUNDS				\$279,720.95	\$271,183.02	\$8,537.93	\$10,402.12	

STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ACCENTURE LTD ORD	ACN	1/15/08	85	\$82.22	\$6,988.70	\$2,857.98	\$4,130.72	\$158.10 2.3%
APPLE INC COM	AAPL	3/15/13	13	561.02	7,293.26	5,734.94	1,558.32	158.60 2.2%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

Name **Mark C. Allegro**
 Account # **██████████**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

HOLDINGS DETAIL (continued)

STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ASTRAZENECA PLC SPONS ADR	AZN	4/15/08	125	59.37	7,421.25	5,248.00	2,173.25	4.7% 350.00
BLACKROCK INC COM	BLK	12/2/10	30	316.47	9,494.10	5,107.89	4,386.21	201.60 2.1%
BOEING CO COM	BA	8/19/11	55	136.49	7,506.95	3,210.41	4,296.54	160.60 2.1%
C.H. ROBINSON WORLDWIDE INC COM	CHRW	6/8/12	85	58.35	4,959.75	4,901.85	57.90	119.00 3.0%
CA INCORPORATED COM	CA	3/15/13	230	33.65	7,739.50	5,869.60	1,869.90	230.00 2.4%
CISCO SYSTEMS INC COM	CSCO	1/15/08	290	22.43	6,504.70	6,814.67	(309.97)	197.20 3.0%
COACH INC COM	COH	8/23/12	100	56.13	5,613.00	5,552.70	60.30	135.00 2.4%
COGNIZANT TECH SOLUTIONS CRP COM	CTSH	6/24/13	100	100.98	10,098.00	6,146.24	3,951.76	-
CORRECTIONS CORP OF AMERICA COM	CXW	6/24/13	190	32.07	6,093.30	6,226.53	(133.23)	364.80 6.0%
CSX CORP COM	CSX	12/20/12	275	28.77	7,911.75	5,529.21	2,382.54	165.00 2.1%
DIRECTV COM	DTV	3/7/12	115	69.06	7,941.90	5,315.95	2,625.95	-
DOLLAR TREE INC COM	DLTR	12/20/12	150	56.42	8,463.00	5,951.60	2,511.40	-
DR PEPPER SNAPPLE GROUP INC COM	DPS	3/7/12	140	48.72	6,820.80	5,274.00	1,546.80	212.80 3.1%
E M C CORP MASS COM	EMC	6/8/12	315	25.15	7,922.25	7,826.64	95.61	126.00 1.6%
EXPRESS SCRIPTS HOLDING CO COM	ESRX	12/3/13	102	70.24	7,164.48	6,820.18	344.30	-

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

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Reporting Period: December 1 - 31, 2013

HOLDINGS DETAIL (continued)

STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
F5 NETWORKS INC COM	FFIV	12/3/13	80	90.86	7,268.80	6,585.08	683.72	-
FRANKLIN RES INC COM	BEN	9/19/13	135	57.73	7,793.55	7,140.94	652.61	64.80 0.8%
GOOGLE INC ADR	GOOG	5/31/11	7	1,120.71	7,844.97	3,690.36	4,154.61	-
INFOSYS LTD COM	INFY	8/19/11	140	56.60	7,924.00	6,475.77	1,448.23	104.30 1.3%
INTEL CORP COM	INTC	2/28/08	250	25.955	6,488.75	5,127.30	1,361.45	225.00 3.5%
LYONDELLBASELL INDUSTRIES CL A ORD	LYB	6/24/13	92	80.28	7,385.76	5,967.53	1,418.23	220.80 3.0%
MARATHON PETECORP COM	MPC	9/19/13	100	91.73	9,173.00	6,568.60	2,604.40	168.00 1.8%
MCDONALDS CORP COM	MCD	12/20/12	60	97.03	5,821.80	5,382.49	439.31	194.40 3.3%
MEDTRONIC INC COM	MDT	1/15/08	150	57.39	8,608.50	6,548.26	2,060.24	168.00 2.0%
MICROSOFT CORP COM	MSFT	3/15/13	200	37.41	7,482.00	5,606.00	1,876.00	224.00 3.0%
NATIONAL-OILWELL VARCO INC COM	NOV	3/15/13	85	79.53	6,760.05	5,912.59	847.46	88.40 1.3%
NORDSTROM INC COM	JWN	9/19/13	120	61.80	7,416.00	6,936.54	479.46	144.00 1.9%
NOVARTIS AG ADR	NVS	1/15/08	90	80.38	7,234.20	5,118.20	2,116.00	185.13 2.6%
occidental PETROLEUM CORP COM	OXY	12/20/12	70	95.10	6,657.00	5,513.59	1,143.41	179.20 2.7%
ORACLE CORP COM	ORCL	2/28/08	250	38.26	9,565.00	4,924.74	4,640.26	120.00 1.3%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLIEGRO &
MARY ANNE ALLIEGRO JT TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

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HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
PRICE T ROWE GROUP INC COM	TROW	12/28/12	85	83.77	7,120.45	5,530.98	1,589.47	129.20 1.8%
QUALCOMM INC COM	QCOM	12/20/09	110	74.25	8,167.50	4,967.16	3,200.34	154.00 1.9%
RESMED INC COM	RMD	3/7/12	175	47.08	8,239.00	5,133.90	3,105.10	175.00 2.1%
ROBERT HALF INTL INC COM	RHI	12/20/12	185	41.99	7,768.15	5,742.62	2,025.53	118.40 1.5%
SASOL LTD LIMITED SPNSRD ADR	SSL	3/4/11	130	49.45	6,428.50	6,866.22	(437.72)	215.93 3.4%
SCRIPPS NETWORKS INTERACTIVE COM	SNI	3/7/12	115	86.41	9,937.15	5,059.71	4,877.44	69.00 0.7%
SMITH & NEPHEW PLC ADR	SNN	6/1/11	75	71.74	5,380.50	4,236.38	1,144.12	97.50 1.8%
SUPERIOR ENERGY SERVICES INC COM	SPN	3/7/12	255	26.61	6,785.55	6,677.91	107.64	81.60 1.2%
TARGET CORP COM	TGT	5/31/11	110	63.27	6,959.70	5,461.53	1,498.17	189.20 2.7%
TUPPERWARE BRANDS CORP COM	TUP	6/8/12	95	94.53	8,980.35	5,162.57	3,817.78	235.60 2.6%
UNION PAC CORP COM	UNP	12/3/13	41	168.00	6,888.00	6,680.19	207.81	129.56 1.9%
UNITEDHEALTH GROUP INC COM	UNH	8/23/12	100	75.30	7,530.00	5,416.56	2,113.44	112.00 1.5%
WESTERN UNION COM	WU	5/16/08	425	17.25	7,331.25	8,046.16	(714.91)	212.50 2.9%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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Mark C. AllegroPage **45** of 550**MONTHLY STATEMENT**

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Account **[REDACTED]**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
XILINX INC COM	XLNX	6/8/12	155	45.92	7,117.60	5,035.35	2,082.25	155.00 2.2%
TOTAL STOCKS					\$343,993.77	\$261,903.62	\$82,090.15	\$6,739.22

TOTAL STOCKS- LONG POSITION

TOTAL HOLDINGS	\$708,184.74	\$573,491.67	\$91,523.45	\$18,459.34
TOTAL ACCOUNT VALUE	\$708,184.74			

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/02	12/02	Dividends and Interest	INTEL CORP COM PAYABLE: 12/01/2013 QUALIFIED DIVIDENDS 56.25	INTC	-	\$ -	\$56.25
12/02	12/02	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CL A PAYABLE: 11/29/2013 ORDINARY DIVIDENDS 141.30	EVIBX	-	-	141.30
12/02	12/02	Buy	EATON VANCE INCOME FUND OF BOSTON CL A REINVESTED AT 6.06 PER SHARE	EVIBX	23.317	6.06	(141.30)

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GRIMES & COMPANY INC (508) 366-3883

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MONTHLY STATEMENT

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/02	12/02	Dividends and Interest	EATON VANCE MUT FD STR FLOATING RATE I PAYABLE: 1/12/2013 ORDINARY DIVIDENDS 150.19	EIBLX	-	-	150.19
12/02	12/02	Buy	EATON VANCE MUT FD STR FLOATING RATE I REINVESTED AT 9.18 PER SHARE	EIBLX	16.361	9.18	(150.19)
12/02	12/02	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 1/12/2013 ORDINARY DIVIDENDS 38.93	MWTRX	-	-	38.93
12/02	12/02	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.68 PER SHARE	MWTRX	3.645	10.68	(38.93)
12/02	12/02	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I PAYABLE: 1/12/2013 ORDINARY DIVIDENDS 93.06	GIMDX	-	-	93.06
12/02	12/02	Buy	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I REINVESTED AT 8.51 PER SHARE	GIMDX	10.935	8.51	(93.06)
12/02	12/02	Dividends and Interest	BLACKROCK STRAT INC/M OPPTYS A PAYABLE: 1/12/2013 ORDINARY DIVIDENDS 15.58	BASIX	-	-	15.58
12/02	12/02	Buy	BLACKROCK STRAT INC/M OPPTYS A REINVESTED AT 10.11 PER SHARE	BASIX	1.541	10.11	(15.58)
12/02	12/02	Dividends and Interest	STONE RIDGE US MASTER VAR RISK PERM CL I PAYABLE: 1/12/2013 ORDINARY DIVIDENDS 167.09	VRPIX	-	-	167.09

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

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Name **Mark C. Allegro**
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MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/02	12/02	Buy	STONE RIDGE US MASTER VAR RISK PERM CL I REINVESTED AT 10.48 PER SHARE	VRPX	15.944	10.48	(167.09)
12/03	12/03	Dividends and Interest	TEVA PHARMACEUTICAL INDLS LTD ADR PAYABLE: 12/03/2013 QUALIFIED DIVIDENDS 45.71	TEVA	-	-	45.71
12/03	12/03	Other Income or Expense	TEVA PHARMACEUTICAL INDLS LTD ADR FOREIGN TAX ADJUSTMENT PAYABLE: 12/03/2013	TEVA	-	-	(6.86)
12/04	12/04	Dividends and Interest	UNITED PARCEL SERVICE CLB PAYABLE: 12/04/2013 QUALIFIED DIVIDENDS 46.50	UPS	-	-	46.50
12/03	12/06	Buy	EXPRESS SCRIPTS HOLDING CO COM	ESRX	102	66.7861	(6,820.18)
12/03	12/06	Buy	F5 NETWORKS INC COM	FFIV	80	82.2135	(6,585.08)
12/03	12/06	Sell	BOEING CO COM	BA	(25)	132.7009	3,309.46
12/03	12/06	Sell	MICROSOFT CORP COM	MSFT	(100)	38.2509	3,817.02
12/03	12/06	Sell	TEVA PHARMACEUTICAL INDLS LTD ADR	TEVA	(140)	40.06	5,600.30
12/03	12/06	Buy	UNION PAC CORP COM	UNP	41	162.7364	(6,680.19)
12/03	12/06	Sell	UNITED PARCEL SERVICE CLB	UPS	(75)	101.8564	7,631.09

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

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Account	[REDACTED]	MARK ALLIEGRO &		
		MARY ANNE ALLIEGRO JT TEN		
JOINT TENANTS WROS				

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/06	12/06	Dividends and Interest	BOEING CO COM PAYABLE: 12/06/2013 QUALIFIED DIVIDENDS 38.80	BA	-	-	38.80
12/09	12/09	Dividends and Interest	FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS PAYABLE: 12/06/2013 SHORT TERM CAP GAINS 237.37	FLSIX	-	-	237.37
12/09	12/09	Buy	FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS REINVESTED AT 7.20 PER SHARE PAYABLE: 12/06/2013	FLSIX	32.968	7.20	(237.37)
12/09	12/09	Dividends and Interest	FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS LONG TERM CAP GAINS 273.13	FLSIX	-	-	273.13
12/09	12/09	Buy	FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS REINVESTED AT 7.20 PER SHARE PAYABLE: 12/06/2013	FLSIX	37.935	7.20	(273.13)
12/09	12/09	Dividends and Interest	LYONDELLBASEL INDUSTRIES CL A ORD PAYABLE: 12/09/2013 QUALIFIED DIVIDENDS 55.20	LYB	-	-	55.20
12/10	12/10	Dividends and Interest	SCRIPPS NETWORKS INTERACTIVE COM PAYABLE: 12/10/2013 QUALIFIED DIVIDENDS 17.25	SNI	-	-	17.25
12/10	12/10	Dividends and Interest	CA INCORPORATED COM PAYABLE: 12/10/2013 QUALIFIED DIVIDENDS 57.50	CA	-	-	57.50

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MONTHLY STATEMENT

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/10	12/10	Dividends and Interest	MARATHON PETE CORP COM PAYABLE: 12/10/2013 QUALIFIED DIVIDENDS 42.00	MPC	-	-	42.00
12/10	12/10	Dividends and Interest	TARGET CORP COM PAYABLE: 12/10/2013 QUALIFIED DIVIDENDS 47.30	TGT	-	-	47.30
12/12	12/12	Sell	FORWARD FUNDS CREDIT ANALYSIS LONG/SHORT INS SHORT TERM RDM FEE 0.00	FSLIX	(2,804.907)	7.17	20,087.18
12/13	12/13	Dividends and Interest	MICROSOFT CORP COM PAYABLE: 12/12/2013 QUALIFIED DIVIDENDS 84.00	MSFT	-	-	84.00
12/16	12/16	Dividends and Interest	CSX CORP COM PAYABLE: 12/13/2013 QUALIFIED DIVIDENDS 41.25	CSX	-	-	41.25
12/16	12/16	Dividends and Interest	MCDONALDS CORP COM PAYABLE: 12/16/2013 QUALIFIED DIVIDENDS 48.60	MCD	-	-	48.60
12/16	12/16	Dividends and Interest	NORDSTROM INC COM PAYABLE: 12/16/2013 QUALIFIED DIVIDENDS 36.00	JWN	-	-	36.00
12/16	12/16	Dividends and Interest	ROBERT HALF INTL INC COM PAYABLE: 12/16/2013 QUALIFIED DIVIDENDS 29.60	RHI	-	-	29.60

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/16	12/16	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 12/13/2013 LONG TERM CAP GAINS 114.29	MWTRX	-	-	114.29
12/17	12/17	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.57 PER SHARE	MWTRX	10.813	10.57	(114.29)
12/17	12/17	Dividends and Interest	UNITEDHEALTH GROUP INC COM PAYABLE: 12/17/2013 QUALIFIED DIVIDENDS 28.00	UNH	-	-	28.00
12/17	12/17	Buy	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 12/17/2013 ORDINARY DIVIDENDS 231.57	LSBRX	-	-	231.57
12/17	12/17	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.02 PER SHARE	LSBRX	15.417	15.02	(231.57)
12/17	12/17	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 12/17/2013 LONG TERM CAP GAINS 141.74	LSBRX	-	-	141.74
12/17	12/17	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.02 PER SHARE	LSBRX	9.437	15.02	(141.74)
12/18	12/18	Dividends and Interest	RESMED INC COM PAYABLE: 12/18/2013 QUALIFIED DIVIDENDS 43.75	RMD	-	-	43.75

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GRIMES & COMPANY INC (508) 366-3883



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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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 Account **[REDACTED]**
 MARK ALLEGRO &
 MARY ANNE ALLEGRO JT TEN
 JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: December 1 - 31, 2013

TRANSACTIONS DETAIL *(continued)*

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/19	12/19	Dividends and Interest	QUALCOMM INC COM PAYABLE: 12/19/2013 QUALIFIED DIVIDENDS 38.50	QCOM	-	-	38.50
12/20	12/20	Dividends and Interest	NATIONAL-OILWELL VARCO INC COM PAYABLE: 12/20/2013 QUALIFIED DIVIDENDS 22.10	NOW	-	-	22.10
12/20	12/20	Dividends and Interest	STONE RIDGE REINSURANCE RISK PREMIUM I PAYABLE: 12/18/2013 ORDINARY DIVIDENDS 567.01	SREIX	-	-	567.01
12/20	12/20	Buy	STONE RIDGE REINSURANCE RISK PREMIUM I REINVESTED AT 10.20 PER SHARE	SREIX	55.589	10.20	(567.01)
12/20	12/20	Dividends and Interest	STONE RIDGE HIGH YLD REINSUR RISK PREMI PAYABLE: 12/18/2013 ORDINARY DIVIDENDS 265.95	SHRIX	-	-	265.95
12/20	12/20	Buy	STONE RIDGE HIGH YLD REINSUR RISK PREM I REINVESTED AT 10.20 PER SHARE	SHRIX	26.074	10.20	(265.95)
12/23	12/23	Dividends and Interest	BLACKROCK INC COM PAYABLE: 12/23/2013 QUALIFIED DIVIDENDS 50.40	BLK	-	-	50.40
12/26	12/26	Dividends and Interest	AQR MANAGED FUTURES I PAYABLE: 12/23/2013 LONG TERM CAP GAINS 304.88	AQMIX	-	-	304.88

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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 Account **██████████2**
MARK ALLEGRO &
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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/26	12/26	Buy	AQR MANAGED FUTURES I REINVESTED AT 10.40 PER SHARE	AQMIX	29.315	10.40	(304.88)
12/26	12/26	Dividends and Interest	AQR MANAGED FUTURES I PAYABLE: 12/23/2013 SHORT TERM CAP GAINS 35.57	AQMIX	-	-	35.57
12/27	12/27	Buy	AQR MANAGED FUTURES I REINVESTED AT 10.40 PER SHARE	AQMIX	3.42	10.40	(35.57)
12/27	12/27	Dividends and Interest	PRICE T ROWE GROUP INC COM PAYABLE: 12/27/2013 QUALIFIED DIVIDENDS 32.30	TROW	-	-	32.30
12/30	12/31	Sell	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I	GIMDX	(2,432.625)	8.48	20,604.66
12/31	12/31	Dividends and Interest	WESTERN UNION COM PAYABLE: 12/31/2013 QUALIFIED DIVIDENDS 53.13	WU	-	-	53.13
12/31	12/31	Dividends and Interest	C.H. ROBINSON WORLDWIDE INC COM PAYABLE: 12/31/2013 QUALIFIED DIVIDENDS 29.75	CHRW	-	-	29.75
12/31	12/31	Dividends and Interest	WELLS FARGO BANK NA CD VARIABLE QTRLY FLT 2.87% 06/30/2016 PAYABLE: 12/31/2013 TAXABLE INT CREDITED 96.93	949748SQ1	-	-	96.93

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TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/31	12/31	Dividends and Interest	PIMCO FDS ALL ASSET ALL AUTH FD INSTL PAYABLE: 12/30/2013 ORDINARY DIVIDENDS 1267.52	PAUIX	-	-	1,267.52
12/31	12/31	Buy	PIMCO FDS ALL ASSET ALL AUTH FD INSTL REINVESTED AT 9.91 PER SHARE FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .14 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 12/31/2013 INSURED DEPOSIT ACCOUNT INTEREST 0.14	PAUIX	127.903	9.91	(1,267.52)

TRADES PENDING SETTLEMENT

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/30	01/03	Buy	WISDOMTREE TRUST EMRG MKTS DEBT TRELD	ELD	440	\$45.9828	\$(20,240.43)

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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Mark C. AllegroPage **466** of 550**MONTHLY STATEMENT**

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Account **[REDACTED]**
MARK ALLEGRO &
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TD AMERITRADE CASH INTEREST CREDIT/EXPENSE

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
12/03	\$-	\$38.85	1	0.0100	-	\$ -
12/09	-	55.20	1	0.0100	-	-
TOTAL INTEREST INCOME/(EXPENSE)					-	-

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
12/01	\$1,171.26	1	0.0100	\$-	\$-	\$ -
12/02	1,227.51	2	0.0100	-	-	-
12/04	1,312.86	2	0.0100	-	-	-
12/06	1,624.08	4	0.0100	-	-	-
12/10	1,843.33	1	0.0100	-	-	-
12/11	21,930.51	1	0.0100	0.01	0.01	-
12/12	22,014.51	1	0.0100	0.01	0.02	-
12/13	22,055.76	3	0.0100	0.01	0.03	-
12/16	22,169.96	1	0.0100	0.01	0.04	-
12/17	22,197.96	1	0.0100	0.01	0.05	-
12/18	22,241.71	1	0.0100	-	0.05	-
12/19	22,280.21	1	0.0100	0.01	0.06	-
12/20	22,302.31	3	0.0100	0.02	0.08	-
12/23	22,352.71	4	0.0100	0.02	0.10	-
12/27	22,385.01	4	0.0100	0.03	0.13	-

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLEGRO &
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JOINT TENANTS WROS

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE (continued)

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
12/31	43,169.48	1	0.0100	0.01	0.14	0.14
TOTAL INTEREST INCOME					\$0.14	

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
			Opening Balance		
12/02	12/02	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	\$56.25	\$1,171.26
12/04	12/04	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	85.35	1,312.86
12/06	12/06	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	311.22	1,624.08
12/10	12/10	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	219.25	1,843.33
12/11	12/11	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	20,087.18	21,930.51

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME**MONTHLY STATEMENT**

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Name **Mark C. Allegro**
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MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

INSURED DEPOSIT ACCOUNT ACTIVITY (continued)

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
12/12	12/12	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	84.00	22,014.51
12/13	12/13	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	41.25	22,055.76
12/16	12/16	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	114.20	22,169.96
12/17	12/17	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	28.00	22,197.96
12/18	12/18	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	43.75	22,241.71
12/19	12/19	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	38.50	22,280.21
12/20	12/20	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	22.10	22,302.31
12/23	12/23	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	50.40	22,352.71

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLEGRO &
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INSURED DEPOSIT ACCOUNT ACTIVITY (continued)

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
12/27	12/27	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	32.30	22,385.01
12/31	12/31	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	20,784.47	43,169.48
			INTEREST: INSURED DEPOSIT ACCOUNT	0.14	43,169.62
				\$43,169.62	

Closing Balance

TD Bank NA

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A. or TD Bank USA, N.A. or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

IMPORTANT INFORMATION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <https://www.tdameritrade.com/financial-statement#financial-statement> on or before 12/27/2013, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692. As of 9/30/2013, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$1.3 billion and \$222.6 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission.

Annual Margin Disclosure

Securities purchased on margin are the firm's collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan. As a result, the firm can take action, such as issuing a margin call and/or selling securities or other assets in any of your accounts held with TD Ameritrade, in order to maintain the required equity in the account.

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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Account # MARK ALLEGRO & MARY ANNE ALLEGRO JT TEN JOINT TENANTS WROS		

IMPORTANT INFORMATION

It is important that you fully understand the risks involved in trading securities on margin. These risks include the following: You can lose more funds than you deposit in the margin account. The firm can force the sale of securities or other assets in your account(s). The firm can sell your securities or other assets without contacting you. You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call. The firm can increase its "house" maintenance requirements at any time and is not required to provide you advance written notice. You are not entitled to an extension of time on a margin call.

Anti-Money Laundering Requirements

The USA PATRIOT Act is designed to detect, deter, and punish terrorists and/or terrorist groups in the United States and abroad. The Act requires U.S. financial institutions and brokerage firms to implement comprehensive anti-money laundering programs.

What is money laundering?

Money laundering is the process of disguising illegally obtained funds so that they appear to come from legitimate sources or activities. Money laundering occurs in connection with a wide variety of crimes, including (but not limited to) illegal arms sales, drug trafficking, fraud, terrorism, embezzlement, and political corruption.

How big is the problem and why is it important?

According to the U.S. Department of State, one recent estimate puts the amount of worldwide money laundering activity at roughly \$1 trillion per year. The use of the U.S. financial system by criminals to facilitate terrorism or other crimes could taint our financial markets.

What is TD Ameritrade required to do?

As required by the USA PATRIOT Act, our anti-money laundering program designates a special Compliance Officer to ensure compliance with applicable laws, maintains policies and procedures to detect and report suspicious transactions, performs employee training, and conducts independent audits. Federal law also requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. As part of this requirement, we may ask you to provide various identification documents or other information. Until you provide the information or documents required, we may not be able to open an account or process transactions for you.

We thank you for your patience and look forward to your support in our efforts to deny terrorist groups access to America's financial system.

**** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ****

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLIEGRO &
MARY ANNE ALLIEGRO JT/TEN

MONTHLY STATEMENT

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GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

ACCOUNT SUMMARY Total Account Value: **\$682,527.77**

CHANGE IN ACCOUNT VALUE

	This Month 1/1/14 - 1/31/14	Year to Date 1/1/14 - 1/31/14	
BEGINNING VALUE	\$708,184.74	\$708,184.74	<i>Market Appreciation/Depreciation</i>
Dividends and Interest	1,290.55	1,290.55	The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.
Market Appreciation/(Depreciation)	(14,863.52)	(14,863.52)	
Withdrawals from Account	(10,000.00)	(10,000.00)	
Other Income or Expense	(2,084.00)	(2,084.00)	
ENDING VALUE	\$682,527.77	\$682,527.77	
CHANGE IN VALUE	\$(-25,656.97)	\$(-25,656.97)	

Other Income or Expense
Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.

SUMMARY OF HOLDINGS (does not represent an asset allocation)

	Market Value as of 1/31/14	Percent of Account	
Cash and Cash Alternatives	\$31,097.43	4.56%	<i>Fixed Income includes Corporate, Municipal, Agency, Treasury, CMOS, CDs, Structured Products, etc.</i>
Fixed Income	41,503.05	6.08	
Mutual Funds	278,690.11	40.83	
Stocks	331,237.18	48.53	
TOTAL VALUE	\$682,527.77	100.0%	

For questions regarding the services provided by your Independent Advisor call (508) 366-3883
Questions? - Contact us.
(800) 431-3500

TD Ameritrade Clearing, Inc. Member SIPC

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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MARK ALLEGRO &
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JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

HOLDINGS DETAIL

CASH AND CASH ALTERNATIVES

Investment Description

FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC

TOTAL CASH & CASH ALTERNATIVES

FIXED INCOME

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
GENERAL ELECTRIC CAPITAL CORP SENIOR MEDIUM TERM NOTE 2.25% 11/09/2015	36962G4T8	11/5/10	15	\$102.94	\$15,441.00	\$15,003.22	\$437.78	\$337.50 2.2%
HILLENBRAND INC SENIOR NOTE MW 5.5% 07/15/2020	431571AA6	9/1/10	10	106.767	10,676.70	10,397.29	279.41	550.00 5.2%
LEHMAN BROTHERS HOLDINGS ESCROW 0% 01/22/2020	525ESCFW4	-	25	NP	NP	-	-	-
WELLS FARGO BANK NA CD VARIABLE QRTRLY FLT 2.87% 06/30/2016	949748SQ1	6/1/2009	15	102.569	15,385.35	15,000.00	385.35	430.50 -
TOTAL FIXED INCOME				\$41,503.05	\$40,400.51	\$1,102.54	\$1,316.00	

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
AQR MANAGED FUTURES I	AQMIX	11/17/11	3,203.004	\$10.26	\$32,862.82	\$30,838.86	\$2,023.96	\$- -
BLACKROCK STRAT INCM OPPRTYS A	BASIX	10/18/13	986.635	10.16	10,024.21	9,935.63	88.58	237.78 2.4%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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Account **MARK ALLEGRO & MARY ANNE ALLEGRO JT TEN**
JOINT TENANTS WROS

HOLDINGS DETAIL (continued)

MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
EATON VANCE INCOME FUND OF BOSTON CL A	EVIBX	8/1/2009	4,757.008	6.06	28,827.47	25,335.00	3,492.47	1,736.31 6.0%
EATON VANCE MUT FD STR FLOATING RATE ¹	EIBLX	1/1/508	5,357.046	9.20	49,284.82	48,868.04	416.78	1,944.61 3.9%
LOOMIS SAYLES BOND FUND RETAIL CL	LSBRX	12/1/2008	2,149.838	15.04	32,368.56	31,006.65	1,326.91	1,528.53 4.7%
METROPOLITAN WEST TOTAL RETURN BD FD	MWTRX	12/1/712	1,783.688	10.69	19,067.62	19,416.15	(348.53)	547.59 2.9%
PIMCO FDS ALL ASSET ALL AUTH FD INSTL	PAUIX	8/1/2009	4,060.871	9.83	39,918.36	42,075.11	(2,156.75)	2,391.85 6.0%
STONE RIDGE REINSURANCE RISK PREMIUM ¹	SREIX	2/1/13	2,220.038	10.27	22,799.79	22,231.08	568.71	783.67 3.4%
STONE RIDGE HIGH YLD REINSUR RISK PREM ¹	SHRIX	2/1/13	896.121	10.29	9,221.09	9,008.15	212.94	403.25 4.4%
STONE RIDGE US MASTER VAR RISK PERM CL ¹	VRPIX	5/23/13	3,290.265	10.44	34,350.37	32,946.32	1,404.05	1,098.95 3.2%
TOTAL MUTUAL FUNDS				\$278,690.11	\$271,660.99	\$7,029.12	\$10,672.55	

STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
ACCENTURE LTD ORD	ACN	1/1/508	85	\$79.88	\$6,789.80	\$2,857.98	\$3,931.82	\$158.10 2.3%
APPLE INC COM	AAPL	3/15/13	13	500.60	6,507.80	5,734.94	772.86	158.60 2.4%
ASTRAZENECA PLC SPONS ADR	AZN	4/15/08	125	63.50	7,937.50	5,248.00	2,689.50	350.00 4.4%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**Page **474** of 550**MONTHLY STATEMENT**

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MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
BLACKROCK INC COM	BLK	12/21/10	30	300.47	9,014.10	5,107.89	3,906.21	231.60 2.6%
BOEING CO COM	BA	8/19/11	55	125.26	6,889.30	3,210.41	3,678.89	160.60 2.3%
CH. ROBINSON WORLDWIDE INC COM	CHRW	6/6/12	85	58.54	4,975.90	4,901.85	74.05	119.00 2.4%
CA INCORPORATED COM	CA	3/15/13	230	32.09	7,380.70	5,869.60	1,511.10	230.00 3.1%
CISCO SYSTEMS INC COM	CSCO	1/15/08	290	21.91	6,353.90	6,814.67	(460.77)	197.20 3.1%
COACH INC COM	COH	8/23/12	100	47.89	4,789.00	5,552.70	(763.70)	135.00 2.8%
COGNIZANT TECH SOLUTIONS CRP COM	CTSH	6/24/13	100	96.91	9,691.00	6,146.24	3,544.76	-
CORRECTIONS CORP OF AMERICA COM	CXW	6/24/13	190	33.57	6,378.30	6,163.25	215.05	364.80 5.7%
CSX CORP COM	CSX	12/20/12	275	26.91	7,400.25	5,529.21	1,871.04	165.00 2.2%
DIRECTV COM	DTV	3/7/12	115	69.43	7,984.45	5,315.95	2,668.50	-
DOLLAR TREE INC COM	DLTR	12/20/12	150	50.52	7,578.00	5,951.60	1,626.40	-
DR PEPPER SNAPPLE GROUP INC COM	DPS	3/7/12	140	47.88	6,703.20	5,274.00	1,429.20	212.80 3.2%
EMC CORP MASS COM	EMC	6/6/12	315	24.24	7,635.60	7,826.64	(191.04)	126.00 1.7%
EXPRESS SCRIPTS HOLDING CO COM	ESRX	12/3/13	102	74.69	7,618.38	6,820.18	798.20	-
F5 NETWORKS INC COM	FFIV	12/3/13	80	107.02	8,561.60	6,585.08	1,976.52	-

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**Page **475** of 550

Account **[REDACTED]**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
FRANKLIN RES INC COM	BEN	9/19/13	135	52.01	7,021.35	7,140.94	(119.59)	64.80 0.9%
GOOGLE INC CL A	GOOG	5/31/11	7	1,180.97	8,266.79	3,690.36	4,576.43	-
INFOSYS LTD ADR	INFY	8/19/11	140	58.53	8,201.20	6,475.77	1,725.43	104.30 1.3%
INTEL CORP COM	INTC	2/28/08	250	24.54	6,135.00	5,127.30	1,007.70	225.00 3.7%
LYONDELLBASELL INDUSTRIES CL A ORD	LYB	6/24/13	92	78.76	7,245.92	5,967.53	1,278.39	220.80 3.0%
MARATHON PETE CORP COM	MPC	9/19/13	100	87.05	8,705.00	6,568.60	2,136.40	168.00 1.9%
MCDONALDS CORP COM	MCD	12/20/12	60	94.17	5,650.20	5,382.49	267.71	194.40 3.4%
MEDTRONIC INC COM	MDT	1/15/08	150	56.56	8,484.00	6,548.26	1,935.74	168.00 2.0%
MICROSOFT CORP COM	MSFT	3/15/13	200	37.84	7,568.00	5,606.00	1,962.00	224.00 3.0%
NATIONAL-OILWELL VARCO INC COM	NOV	3/15/13	85	75.01	6,375.85	5,912.59	463.26	88.40 1.4%
NORDSTROM INC COM	JWN	9/19/13	120	57.45	6,894.00	6,936.54	(42.54)	144.00 2.1%
NOVARTIS AG ADR	NVS	1/15/08	90	79.07	7,116.30	5,118.20	1,998.10	185.13 2.6%
OCCIDENTAL PETROLEUM CORP COM	OXY	12/20/12	70	87.57	6,129.90	5,513.59	616.31	179.20 2.9%
ORACLE CORP COM	ORCL	2/28/08	250	36.90	9,225.00	4,924.74	4,300.26	120.00 1.3%
PRICE T ROWE GROUP INC COM	TROW	12/28/12	85	78.44	6,667.40	5,530.98	1,136.42	129.20 1.9%

Questions? Consult your **Independent Advisor**:

GRIMES & COMPANY INC (508) 366-3883

ID **Ameritrade**
 Institutional

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**Page **476** of 550

Account #
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
QUALCOMM INC COM	QCOM	12/20/09	110	74.22	8,164.20	4,967.16	3,197.04	154.00 1.9%
RESMED INC COM COM	RMD	3/7/12	175	43.61	7,631.75	5,133.90	2,497.85	175.00 2.3%
ROBERT HALF INTL INC COM	RHI	12/20/12	185	41.78	7,729.30	5,742.62	1,986.68	118.40 1.5%
SASOL LTD LIMITED SPNSRD ADR	SSL	3/4/11	130	48.19	6,264.70	6,866.22	(601.52)	215.93 3.4%
SCRIPPS NETWORKS INTERACTIVE COM	SNI	3/7/12	115	72.52	8,339.80	5,059.71	3,280.09	69.00 0.8%
SMITH & NEPHEW PLC ADR	SNN	6/1/11	75	72.24	5,418.00	4,236.38	1,181.62	97.50 1.8%
SUPERIOR ENERGY SERVICES INC COM	SPN	3/7/12	255	23.64	6,028.20	6,677.91	(649.71)	81.60 1.4%
TARGET CORP COM	TGT	5/31/11	110	56.64	6,230.40	5,461.53	768.87	189.20 3.0%
TUPPERWARE BRANDS CORP COM	TUP	6/8/12	95	78.36	7,444.20	5,162.57	2,281.63	258.40 3.5%
UNION PAC CORP COM	UNP	12/31/13	41	174.24	7,143.84	6,680.19	463.65	129.56 1.8%
UNITEDHEALTH GROUP INC COM	UNH	8/23/12	100	72.28	7,228.00	5,416.56	1,811.44	112.00 1.6%
WESTERN UNION COM	WU	5/16/08	425	15.40	6,545.00	8,046.16	(1,501.16)	212.50 3.2%

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**

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MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

Account **[REDACTED]**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

HOLDINGS DETAIL (continued)**STOCKS**

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)	Estimated Income Yield
XILINX INC COM	XLNX	6/8/12	155	46.42	7,195.10	5,035.35	2,159.75	155.00 2.2%
TOTAL STOCKS					\$331,237.18	\$261,840.34	\$69,396.84	\$6,792.02

TOTAL STOCKS- LONG POSITION**TOTAL HOLDINGS**

TOTAL ACCOUNT VALUE	\$682,527.77	\$573,901.84	\$77,528.50	\$18,782.57

TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
01/02	01/02	Dividends and Interest	EATON VANCE INCOME FUND OF BOSTON CLA PAYABLE: 12/31/2013 ORDINARY DIVIDENDS 146.66	EVIBX	-	\$ -	\$146.66
01/02	01/02	Buy	EATON VANCE INCOME FUND OF BOSTON CLA REINVESTED AT 6.06 PER SHARE	EVIBX	24.201	6.06	(146.66)
01/02	01/02	Dividends and Interest	EATON VANCE MUT FD STR FLOATING RATE! PAYABLE: 12/31/2013 ORDINARY DIVIDENDS 196.14	EIBLX	-	-	196.14
01/02	01/02	Buy	EATON VANCE MUT FD STR FLOATING RATE! REINVESTED AT 9.19 PER SHARE	EIBLX	21.343	9.19	(196.14)

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Institutional

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**Page **478** of 550**MONTHLY STATEMENT**

Reporting Period: January 1 - 31, 2014

Account # **XXXXXXXXXX**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/CUSIP	Quantity	Price	Transaction Amount
01/02	01/02	Dividends and Interest	METROPOLITAN WEST TOTAL RETURN BD FD PAYABLE: 12/31/2013 ORDINARY DIVIDENDS 25.03	MWTRX	-	-	25.03
01/02	01/02	Buy	METROPOLITAN WEST TOTAL RETURN BD FD REINVESTED AT 10.55 PER SHARE	MWTRX	2.373	10.55	(25.03)
01/02	01/02	Dividends and Interest	GOLDMAN SACHS LOCAL EMERGING MKTS DEBT I PAYABLE: 12/31/2013 ORDINARY DIVIDENDS 86.56	GIMDX	-	-	86.56
01/02	01/02	Dividends and Interest	BLACKROCK STRAT INCM OPPRTYS A PAYABLE: 12/31/2013 ORDINARY DIVIDENDS 14.97	BASIX	-	-	14.97
01/02	01/02	Buy	BLACKROCK STRAT INCM OPPRTYS A REINVESTED AT 10.16 PER SHARE	BASIX	1.473	10.16	(14.97)
12/30	01/03	Buy	WISDOMTREE TRUST EMRG MKTS DEBT TR ELD PAYABLE: 01/03/2014 QUALIFIED DIVIDENDS 33.75	ELD	440	45.9828	(20,240.43)
01/03	01/03	Dividends and Interest	COACH INC COM PAYABLE: 01/03/2014 QUALIFIED DIVIDENDS 53.20	COH	-	-	33.75
01/03	01/03	Dividends and Interest	DR PEPPER SNAPPLE GROUP INC COM PAYABLE: 01/03/2014 QUALIFIED DIVIDENDS 53.20	DPS	-	-	53.20
01/03	01/03	Other Income or Expense	MOVE CASH BALANCE TO MARGIN		-	-	(86.56)
01/03	01/03	Other Income or Expense	MOVE CASH BALANCE TO MARGIN		-	-	86.56

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

Account # **[REDACTED]**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT TEN
JOINT TENANTS WROS

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
01/06	01/06	Dividends and Interest	TUPPERWARE BRANDS CORP COM PAYABLE: 01/06/2014 QUALIFIED DIVIDENDS 58.90	TUP	-	-	58.90
01/10	01/10	Dividends and Interest	FRANKLIN RES INC COM PAYABLE: 01/10/2014 QUALIFIED DIVIDENDS 16.20	BEN	-	-	16.20
01/13	01/14	Withdrawals from Account	ACH OUT - 01/13/2014 06:39PM		-	-	(10,000.00)
01/15	01/15	Dividends and Interest	OCCIDENTAL PETROLEUM CORP COM PAYABLE: 01/15/2014 QUALIFIED DIVIDENDS 44.80	OXY	-	-	44.80
01/15	01/15	Dividends and Interest	CORRECTIONS CORP OF AMERICA COM PAYABLE: 01/15/2014 QUALIFIED DIVIDENDS 91.20	CXW	-	-	91.20
01/15	01/15	Dividends and Interest	HILLENBRAND INC SENIOR NOTE MW 5.5% 07/15/2020 PAYABLE: 01/15/2014 TAXABLE INT CREDITED 275.00		431571AA6	-	275.00
01/16	01/16	Other Income or Expense	QUARTERLY MGMT FEE GRIMES & COMPANY INC 9170605732		-	-	(2,084.00)
01/22	01/22	Dividends and Interest	CISCO SYSTEMS INC COM PAYABLE: 01/22/2014 QUALIFIED DIVIDENDS 49.30	CSCO	-	-	49.30
01/23	01/23	Dividends and Interest	EMC CORP MASS COM PAYABLE: 01/23/2014 QUALIFIED DIVIDENDS 31.50	EMC	-	-	31.50

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GRIMES & COMPANY INC (508) 366-3883

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro**Page **480** of 550**MONTHLY STATEMENT**

Reporting Period: January 1 - 31, 2014

Account **[REDACTED]**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

TRANSACTIONS DETAIL (continued)

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
01/24	01/24	Dividends and Interest	MEDTRONIC INC COM PAYABLE: 01/24/2014 QUALIFIED DIVIDENDS 42.00	MDT	-	-	42.00
01/28	01/28	Dividends and Interest	ORACLE CORP COM PAYABLE: 01/28/2014 QUALIFIED DIVIDENDS 30.00	ORCL	-	-	30.00
01/24	01/29	Sell	WISDOMTREE TRUST EMRG MKTS DEBT TH ELD	ELD	(440)	44.20	19,439.66
01/29	01/29	Dividends and Interest	LOOMIS SAYLES BOND FUND RETAIL CL PAYABLE: 01/29/2014 ORDINARY DIVIDENDS 95.17	LSBRX	-	-	95.17
01/29	01/29	Buy	LOOMIS SAYLES BOND FUND RETAIL CL REINVESTED AT 15.08 PER SHARE	LSBRX	6.311	15.08	(95.17)
01/31	01/31	Dividends and Interest	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC ON .17 SHARES INTEREST: INSURED DEPOSIT ACCOUNT PAYABLE: 01/31/2014 INSURED DEPOSIT ACCOUNT INTEREST 0.17	MMDA12	0.17	-	0.17

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**Page **481** of 550Account **[REDACTED]**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN

Joint Tenants WROS

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

TD AMERITRADE CASH INTEREST CREDIT/EXPENSE

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
01/02	\$-	\$86.56	1	0.0100	-	\$-
TOTAL INTEREST INCOME/(EXPENSE)						

INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD Paid
01/01	\$43,169.62	2	0.0100	\$0.02	\$0.02	\$ -
01/03	23,102.70	3	0.0100	0.02	0.04	-
01/06	23,161.60	4	0.0100	0.03	0.07	-
01/10	23,177.80	4	0.0100	0.02	0.09	-
01/14	13,177.80	1	0.0100	0.01	0.10	-
01/15	13,588.80	1	0.0100	-	0.10	-
01/16	11,504.80	6	0.0100	0.02	0.12	-
01/22	11,554.10	1	0.0100	-	0.12	-
01/23	11,585.60	1	0.0100	0.01	0.13	-
01/24	11,627.60	4	0.0100	0.01	0.14	-
01/28	11,657.60	1	0.0100	-	0.14	-
01/29	31,097.26	3	0.0100	0.03	0.17	0.17
TOTAL INTEREST INCOME						
						\$0.17

Questions? Consult your Independent Advisor:
GRIMES & COMPANY INC (508) 366-3883

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**Page **482** of **550****MONTHLY STATEMENT**

Reporting Period: January 1 - 31, 2014

Account # **32124200000000000000**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

INSURED DEPOSIT ACCOUNT ACTIVITY

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
Opening Balance					
01/03	01/03	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(20,066.92)	\$43,169.62
01/06	01/06	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	58.90	23,161.60
01/10	01/10	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	16.20	23,177.80
01/14	01/14	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(10,000.00)	13,177.80
01/15	01/15	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	411.00	13,588.80
01/17	01/17	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	(2,084.00)	11,504.80
01/22	01/22	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	49.30	11,554.10

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SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**Page **483** of 550

Account **[REDACTED]**
MARK ALLEGRO &
MARY ANNE ALLEGRO JT/TEN
JOINT TENANTS WROS

MONTHLY STATEMENT

Reporting Period: January 1 - 31, 2014

INSURED DEPOSIT ACCOUNT ACTIVITY (continued)

Transaction Date	Settlement Date	Transaction	Description	Amount	Balance
01/23	01/23	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	31.50	11,585.60
01/24	01/24	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	42.00	11,627.60
01/28	01/28	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	30.00	11,657.60
01/29	01/29	Received	FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	19,439.66	31,097.26
01/31	01/31	Received	INTEREST: INSURED DEPOSIT ACCOUNT	0.17	31,097.43
Closing Balance				\$31,097.43	
TD Bank NA					
FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.					

****** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ******

Questions? Consult your Independent Advisor:
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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Investment Report

January 1, 2013 - January 31, 2013

GRIMES & COMPANY
A REGISTERED INVESTMENT ADVISOR

Envelope:

MARK ALLEGRO
 MARY ANNE ALLEGRO
 41 METOXIT RD
 EAST FALMOUTH MA 02536-7720

Your Advisor
GRIMES & COMPANY, INC.
PREMIER CLIENT GROUP
110 TURNPIKE RD STE 100
WESTBOROUGH MA 01581-2864
 Phone: (508)366-3883

Brokerage MARK ALLEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVIVORSHIP**Account Summary**

Beginning value as of Jan 1
 Charge in investment value
 Ending value as of Jan 31

\$14,614.75
 137.25
 \$14,752.00

Taxable
 Dividends

This Period
 Year to Date
 \$9.00
 \$9.00

Holdings (Symbol) as of January 31, 2013

	Quantity January 31, 2013	Price per Unit January 31, 2013	Total Cost Basis January 1, 2013	Total Value January 31, 2013	Total Value January 31, 2013
Stocks 98% of holdings					
FORD MTR CO DEL COM (F)	1,000.000	\$12.950	\$8,247.32	\$12,950.00	\$12,950.00
HUNTINGTON Bancshares Inc COM(HBAN)	225.000	6.960	1,303.95	1,437.75	1,566.00
RAHAXI INC COM	20,000.000	-----	145.90	unavailable	unavailable
Subtotal of Stocks			9,697.17		14,516.00
Core Account 2% of holdings					
CASH	236.000	1.000	not applicable	227.00	236.00

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Investment Report

January 1, 2013 - January 31, 2013

Brokerage		MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVIVORSHIP				
Holdings	(Symbol) as of January 31, 2013	Quantity	Price per Unit	Total Value	Total Value	
		January 31, 2013	January 31, 2013	January 1, 2013	January 31, 2013	
						236.00
Total				\$ 9,697.17		\$14,752.00

All positions held in cash account unless indicated otherwise.

Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.

Transaction Details (for holdings with activity this period)

Brokerage Activity

Settlement Date	Security Description	Quantity	Price per Unit	Transaction Amount
1/02	HUNTINGTON BANCSHARES INC COM			\$9.00

Core Account - Cash

Description	Amount	Balance	Description	Amount	Balance
Beginning Income	\$9.00	\$227.00	Ending		
					\$236.00

Daily Additions and Subtractions Cash @ \$1 per share (the following is provided to you in accordance with industry regulations)

Date	Amount	Balance	Date	Amount	Balance
1/02	\$9.00	\$236.00			

Additional Information About Your Investment Report

A copy of your Investment Report is available to:

GRIMES & COMPANY, INC.
110 TURNPIKE RD STE 100
WESTBOROUGH MA 01581

MID ATLANTIC CAPITAL CORP
1251 WATERFRONT PLAZA
PITTSBURGH PA 15222

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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If you have a question about your account or require service, please call your investment advisor/authorized agent.

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Additional Investments with Fidelity - Please make non-retirement checks payable to Fidelity Management Trust Services LLC ("FBS") and for retirement accounts make checks payable to Fidelity Management Trust Company. Please include your account number on the check. Do not include trading instructions with check deposits. We mail statements at least quarterly for any account or the check. Please review your statement and report any inaccuracies or discrepancies immediately. Questions regarding your brokerage account or the activity therein should be directed to FBS at 800-544-8890, and National Financial Services LLC ("NFS"), who carries your brokerage accounts, at 800-800-8890. Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPA"). Please advise us promptly of any material changes in your investment objectives or financial situation related to your brokerage account(s). If you request a reprint of your statement, the disclosure information may not be the same as the original.

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Changes in Portfolio Value and Account Summary - Shows activity in your portfolio and in each of your accounts for the statement period. To confirm that an authorized, direct deposit was made to your Fidelity Account, call Fidelity at 1-800-544-8890.

Value by Account - Shows the value of your account(s), for the current and previous statement periods.

Income Summary - Shows income by tax status for the statement and year-to-date periods. Except for interest earned on, or distributed by, tax-exempt securities, Fidelity reports dividends and capital gains held in taxable accounts as taxable income. A portion of this income may be subject to state and local taxes and the federal alternative minimum tax. Fidelity reports earnings on investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings on Roth-IRAs are reported as tax-exempt income, since distributions may be tax-exempt after meeting the five-year aging requirement and certain other conditions.

Cost Basis, Gain/Loss, and Holding Period Information - Cost basis is the original amount paid to purchase a security, including the amount of reinvested dividends and capital gains. Generally, we adjust cost basis for events such as returns of capital (including dividend reclassifications) and disallowed losses on wash sales on information to the IRS on Form 1099-B. However, cost basis, realized or unrealized gain and loss, and holding period information may not reflect all adjustments required for your tax reporting purposes. NFS and Fidelity specifically disclaim any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information. Unless otherwise specified, NFS determines cost basis at the time of sale using its default methods of average cost for open-end mutual funds (except ETFS) and first-in, first-out (FIFO) for all other securities (including ETFS and shares held in dividend reinvestment plans). Customers should consult their tax advisors for further information.

Cost - Fidelity provides purchase cost information for securities held in retirement accounts. Such information may be adjusted for certain transactions and does not reflect reinvestments of dividends or capital gains. Fidelity reports transaction profit or loss information when securities are sold within a retirement account. Transaction profit or loss is calculated by subtracting purchase cost from sales proceeds using the FIFO method if shares were purchased at different times or prices.

Contributions/Distributions - Summarizes Traditional IRA and Roth IRA contributions, and taxable and non-taxable retirement distributions for these statement and year-to-date periods.

Total Value - The reported market value of an investment at the beginning or end of a statement period.

Unrealized Gain/Loss - For long positions, ending market value minus cost basis in non-retirement accounts and ending market value minus cost in retirement accounts. For short positions, proceeds minus ending market value. Unrealized gain/loss is calculated for pending sales because they are still in holdings. Unrealized gain/loss is not calculated for pending purchases because they are not in holdings.

Holding Type Percentage - Percentages by holding type only include long positions. Holding type percentages are rounded to the nearest percent and summed. If the sum is less than 100%, the difference between 100% and the sum is added to the holding type with the largest percentage.

Change in Investment Value - The appreciation or depreciation of your holdings due to price changes, plus any distribution and income earned during the statement period, less any transaction costs, sales charges or fees.

Account Protection - Securities in accounts carried by NFS, a Fidelity Investments company, are protected in accordance with the Securities Investor Protection Corporation (SIPC) up to \$500,000 (including cash claims limited to \$250,000). For more information, including the SIPC brochure, please see www.sipc.org or call 1-800-371-8300. NFS has arranged for additional protection for cash and covered securities to supplement its SIPC coverage. Neither coverage protects against a decline in the market value of securities.

Information About Mutual Funds and Their Performance - Before investing consider the fund's or insurance product's investment objectives, risks, charges and expenses. Contact Fidelity or visit Fidelity.com for a prospectus containing this information. Read it carefully before investing.

Performance data shown represents past performance, and is no guarantee of future results.

Investment return and principal value will fluctuate, so you may have a loss or a gain when shares are sold. Current performance may be higher or lower than that quoted. An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although a money market fund seeks to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in the fund. Visit Fidelity.com for performance for most recent month-end performance. Each fund reserves the right to terminate or modify its exchange privilege. Foreign investments, especially those in emerging markets, may involve greater risks than U.S. investments. In connection with the purchase and/or the on-going maintenance of positions in certain mutual fund shares and other investment products in your brokerage account, this additional compensation may be paid by the mutual fund or other investment product, its investment advisor or one of its affiliates. Additional information about the source(s) and amount(s) of compensation as well as other remuneration received by FBS or NFS will be furnished to you upon written request. Fund shares purchased with No Transaction Fee will be sold with No Transaction Fee. Fund shares purchased with a Transaction Fee will be sold with a Transaction Fee. Any applicable short-term redemption fees will apply.

Customer Free Credit Balance - You are entitled to your free credit balance in your brokerage account, subject to open commitments in your cash accounts. Free credit balances are not segregated and may be used in NFS's business in accordance with federal securities law. Retirement accounts do not qualify. Assets Separate From Your Brokerage Account - Only securities in the margin portion of your brokerage account contribute to margin and maintenance requirements. Other assets, which may be reported on your mutual fund assets outside our brokerage account (that may be reported on your statement) are not carried by NFS, are not covered by SIPC and do not count toward your margin and maintenance requirements.

Short Account Balances - Securities sold short are held in a segregated short account. These securities are marked-to-market for margin purposes and increase or decrease from the short sale price is transferred weekly to your margin account. Your short account balance is shown as of the last weekly mark-to-market, not statement end date.

Information About Your Option Transactions - Each transaction confirmation contains full information about commissions and other charges. If you require further information, contact Fidelity at 800-800-8890. Assignments of American and European-style options are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise and assignment only during the exercise period.

Equity Dividend Reinvestment - Shares credited to your account from transactions by FBS acting as agent for your account, or the Depository Trust Company (DTC). Price Information/Total Market Value - The Total Market Value has been calculated to 9 decimal places; however, the individual unit price is displayed in 5 decimal places. The Total Market Value represents prices obtained from various sources, may be impacted by the frequency with which such prices are reported and such prices are not guaranteed. Prices received from pricing vendors are generally based on current market quotes, but when such quotes are not available pricing vendors use a variety of techniques to estimate value. These estimates, particularly for fixed income securities, may be based on certain minimum principal amounts (e.g. \$1 million) and may not reflect all factors that affect the value of the security, including liquidity risk. The prices provided are net firm bids or offers. Certain securities may reflect N/A or unavailable where the price for such security is generally not available from a pricing source. The Market Value of a security, including those priced at par value, may differ from its purchase price and may not closely reflect the value at which the security may be sold or purchased based on various market factors. The sale or redemption of any fixed income security prior to maturity may result in a loss. Prices for Certificates of Deposit (CDs) are generally estimates and are not based on actual market prices. The secondary market for CDs is generally illiquid. You should always request a current valuation for your securities prior to making a financial decision or placing an order.

("A") Alternative Investments - Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate investment trusts which are not listed on any exchange), commodity pools, private equity, private debt and hedge funds are generally illiquid investments and their current values may differ from purchase price. Unless otherwise indicated, the values shown in this statement for such investments have been provided by the management, administrator or sponsor of each program or a third-party vendor without independent verification by Fidelity Brokerage Services (FBS) and represent their estimate of value of the investor's participation in the program, as of a date no greater than 18 months from the date of this statement. Thus, the estimated values shown herein may not necessarily reflect actual market values or be realized upon liquidation. If an estimated value is not provided, valuation information is not available. In executing orders on the Floor, the Floor Broker may permit the specialist to trade on parity with the order for some or all of the executions associated with filling that order, where such permission would not be inconsistent with the brokers best execution obligations.

Fidelity Investments - Fidelity Distributors Corporation (FDC) is the distributor for Fidelity Funds with marketing and shareholder services provided by FBS or NFS. Brokerage services are provided by FBS, which clears all transactions through its affiliate NFS. NFS carries all brokerage accounts. For customer complaints call 617-563-7000 or write to: Fidelity Institutional Wealth Services, P.O. Box 77000, Cincinnati, OH 45277-0045. FBS and NFS are members of the NYSE and SIPC. FBS and FDC are all direct or indirect subsidiaries of FMR LLC. Upon written request, Fidelity will mail a NFS financial statement, which is also available on Fidelity Investments (with pyramid logo) is a trademark of FMR LLC. Insurance products are distributed by FBS, Fidelity Insurance Agency, Inc., and Fidelity Investments Insurance Agency of Texas, Inc. Mutual Fund shares, other securities held in your account, and insurance products are neither deposits or obligations of nor endorsed or guaranteed by, any bank or other depositing institution, nor are they federally insured by the FDIC or any other agency.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME



Investment Report

February 1, 2013 - February 28, 2013

GRIMES COMPANY
A REGISTERED INVESTMENT ADVISOR

Envelope

MARK ALLEGRO
MARY ANNE ALLEGRO
41 METOXIT RD
EAST FALMOUTH MA 02536-7720

Your Advisor
GRIMES & COMPANY, INC.
PREMIER CLIENT GROUP
110 TURNPIKE RD STE 100
WESTBOROUGH MA 01581-2864
Phone: (508)366-3883

Brokerage

MARK ALLEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVIVORSHIP

Account Summary

Beginning value as of Feb 1	\$14,752.00
Change in investment value	-324.25
Ending value as of Feb 28	\$14,427.75

Income Summary

	This Period	Year to Date
Taxable	\$0.00	\$9.00
Dividends		

Holdings (Symbol) as of February 28, 2013

	Quantity	Price per Unit	Total Cost Basis	Total Value	Total Value
	February 28, 2013	February 28, 2013	February 1, 2013	February 1, 2013	February 28, 2013
Stocks 98% of holdings					
FORD MTR CODEL COM (F)	1,000.000	\$12.610	\$8,247.32	\$12,950.00	\$12,610.00
HUNTINGTON Bancshares Inc COM (HBAN)	225.000	7.030	1,303.95	1,566.00	1,581.75
RAHAKI INC COM	20,000.000	-----	145.90	unavailable	unavailable
Subtotal of Stocks		9,697.17			14,191.75
Core Account 2% of holdings					
CASH	236.000	1.000	not applicable	236.00	236.00

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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**Investment Report**

February 1, 2013 - February 28, 2013

Brokerage		MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVIVORSHIP			Total Value	
Holdings	Symbol	Quantity	Price per Unit	Total Cost Basis	February 1, 2013	February 28, 2013
Subtotal of Core Account						

Total*All positions held in cash account unless indicated otherwise.**Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.*

Core Account - Cash	Amount	Balance	Description	Amount	Balance	Description
Beginning	\$236.00		Ending	\$236.00		

Additional Information About Your Investment Report

A copy of your Investment Report is available to:

GRIMES & COMPANY, INC.
110 TURNPIKE RD STE 100
WESTBOROUGH MA 01581

MID ATLANTIC CAPITAL CORP
1251 WATERFRONT PLAZA
PITTSBURGH PA 15222

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name | Mark C. Allegro

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If you have a question about your account or require service, please call your investment advisor/authorized agent.

Lost or Stolen Cards - For Visa® Check (Debit/ATM) Cards, call 800-323-5353. For details refer to your Fidelity Check Card Agreement and Disclosure Statement.

Additional Investments with Fidelity - Please make non-retirement checks payable to Fidelity Management Trust Company. Please include your account number on the check. Do not include trading instructions with check deposits. We mail statements at least quarterly for any account with a balance. Please review your statement and report any inaccuracies or discrepancies immediately. Questions regarding your brokerage account or the activity therein should be directed to FBS at 800-564-6666 and National Financial Services LLC ("NFS"), who carries your brokerage accounts, at 800-800-6890. Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPA"). Please advise us promptly of any material changes in your investment objectives or financial situation related to your brokerage account(s). If you request a reprint of your statement, the disclosure information may not be the same as the original!

Terms and Conditions of the Statement - Fidelity does not endorse or recommend any particular investment, trading activity, or investment advisor/authorized agent. Fidelity has not verified, monitor or supervise suitability of trading by any investment advisor/authorized agent. Fidelity has not verified, accounts for the statement period. To confirm that an authorized, direct deposit was made to your Fidelity Account, call Fidelity at 1-800-564-5555.

Value by Account - Shows the value of your account(s), for the current and previous statement periods.

Income Summary - Shows income by tax status for the statement and year-to-date periods. Except for interest earned on, or distributed by, tax-exempt securities, Fidelity reports dividends and capital gains held in taxable accounts as taxable income. A portion of this income may be subject to state and local taxes and the federal alternative minimum tax. Fidelity reports earnings on investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings on Roth-IRAs are reported as tax-exempt income, since distributions may be tax-exempt after meeting the five-year aging requirement and certain other conditions.

Cost Basis, Gain/Loss, and Holding Period Information - Cost basis is the original amount paid to purchase a security, including the amount of reinvested dividends and capital gains. Generally, we adjust cost basis for events such as returns of capital (including dividend reclassifications) and disallowed losses on wash sales on identical securities within the same account. NFS is required to report certain cost basis and holding period information to the IRS on Form 1099-B. However, cost basis, realized or unrealized gain and loss, and holding period information may not reflect all adjustments required for your tax reporting purposes. NFS and Fidelity specifically disclaim any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information. Unless otherwise specified, NFS determines cost basis at the time of sale using its default methods of average cost for open-end mutual funds (except ETFs) and first-in, first-out ("FIFO") for all other securities (including ETFs and shares held in dividend reinvestment plans). Customers should consult their tax advisors for further information.

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Account Protection - Securities in accounts carried by NFS, a Fidelity Investments company, are protected in accordance with the Securities Investor Protection Corporation (SIPC) up to \$500,000 (including cash claims limited to \$250,000). For more information, including the SIPC brochure, please see www.sipc.org or call 1-800-321-8300. NFS has arranged for additional protection for cash and covered securities to supplement its SIPC coverage. Neither coverage protects against a decline in the market value of securities.

Information About Mutual Funds and Their Performance - Before investing consider the fund's or insurance product's investment objectives, risks, charges and expenses. Contact Fidelity or visit Fidelity.com for a prospectus containing this information. Read it carefully before investing.

Performance data shown represents past performance, and is no guarantee of future results.

Investment return and principal value will fluctuate, so you may have a loss or a gain when shares are sold. Current performance may be higher or lower than that quoted. An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although a money market fund seeks to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in the fund. Visit Fidelity.com for performance for most recent month-end performance. Each fund reserves the right to terminate or modify its exchange privilege. Foreign investments, especially those in emerging markets, may involve greater risks than U.S. investments. In addition to sales loads and 12b-1 fees described in the prospectus, FBS or NFS receives other compensation in connection with the purchase and/or the on-going maintenance of positions in certain mutual fund shares and other investment products in your brokerage account. This additional compensation may be paid by the mutual fund or other investment product, its investment advisor or one of its affiliates. Additional information about the source(s) and amount(s) of compensation as well as other termination received by FBS or NFS will be furnished to you upon written request. Fund shares purchased with No Transaction Fee will be sold with No Transaction Fee. Fund shares purchased with a Transaction Fee will be sold with a Transaction Fee.

Customer Free Credit Balance - You are entitled to your free credit balance in your brokerage account, subject to open commitments in your cash accounts. Free credit balances are not segregated and may be used in NFS's business in accordance with federal securities law. Retirement accounts do not qualify.

Assets Separate From Your Brokerage Account - Only securities in the margin portion of your brokerage account contribute to margin and maintenance requirements. Other assets, which may be reported on your statement, including insurance products that are distributed by FBS and Fidelity Insurance Agency, Inc. and mutual fund assets outside our brokerage account (that may be reported on your statement) are not carried by NFS, are not covered by SIPC and do not count toward your margin and maintenance requirements.

Short Account Balances - Securities sold short are held in a segregated short account. These securities are marked-to-market for margin purposes and increase or decrease from the short sale price is transferred weekly to your margin account. Your short account balance is shown as of the last weekly mark-to-market, not statement end date.

Information About Your Option Transactions - Each transaction confirmation contains full information about commissions and other charges. If you require further information, contact Fidelity at 800-800-6890. Assignments of American and European-style options are allocated among customer short positions pursuant to a random assignment procedure. A description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise and assignment only during the exercise period.

Equity Dividend Retirement - Shares credited to your account from transactions by FBS acting as agent for your account, or the Depository Trust Company (DTC). Price information/Total Market Value - The Total Market Value has been calculated to 9 decimal places; however, the individual unit price is displayed in 5 decimal places. The Total Market Value represents prices obtained from various sources, may be impacted by the frequency with which such prices are reported and such prices are not guaranteed. Prices received from pricing vendors are generally based on current market quotes, but when such quotes are not available pricing vendors use a variety of techniques to estimate value. These estimates, particularly for fixed income securities, may be based on certain minimum principal amounts (e.g. \$1 million) and may not reflect all factors that affect the value of the security, including liquidity risk. The prices provided are not firm bids or offers. Certain securities may reflect N/A or unavailable where the price for such security is generally not available from a pricing source. The Market Value of a security, including those priced at par value, may differ from its purchase price and may not closely reflect the value at which the security may be sold or purchased based on various market factors. The sale or redemption of any fixed income security prior to maturity may result in a loss. Prices for Certificates of Deposit (CDs) are generally estimates and are not based on actual market prices. The secondary market for CDs is generally illiquid. You should always request a current valuation for your securities prior to making a financial decision or placing an order.

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In executing orders on the Floor, the Floor Broker may permit the specialist to trade or party with the order for some or all of the executions associated with filling that order, where such permission would not be inconsistent with the broker's best execution obligations.

Fidelity Investments - Fidelity Distributors Corporation (FDC) is the distributor for Fidelity Funds with marketing and shareholder services provided by FBS or NFS. Brokerage services are provided by FBS, which clears all transactions through its affiliate NFS. NFS carries all brokerage accounts. For customer complaints call 617-563-7000 or write to: Fidelity Institutional Wealth Services, P.O. Box 770001, Cincinnati, OH 45277-0045.

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name | Mark C. Allegro | || Page 490 of 550



Investment Report

March 1, 2013 - March 31, 2013

GRIMES COMPANY
A REGISTERED INVESTMENT ADVISOR

Envelope

[REDACTED]
 MARK ALLEGRO
 MARY ANNE ALLEGRO
 41 METOXIT RD
 EAST FALMOUTH MA 02536-7720

Your Advisor
 GRIMES & COMPANY, INC.
 PREMIER CLIENT GROUP
 110 TURNPIKE RD STE 100
 WESTBOROUGH MA 01581-2864
 Phone: (508)366-3883

Brokerage [REDACTED] MARK ALLEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVIVORSHIP**Account Summary**

Beginning value as of Mar 1	\$14,427.75
Transaction costs, loads and fees	-73.87
Change in investment value	1,212.55
Ending value as of Mar 31	\$15,566.43

Income Summary

	This Period	Year to Date
Taxable		
Dividends	\$100.00	\$109.00

Realized Gain/Loss from Sales

	This Period	Year to Date
Long-term gain	\$221.81	\$221.81

Holdings (Symbol) as of March 31, 2013

	Quantity	Price per Unit	Total Cost Basis	Total Value	Total Value
	March 31, 2013	March 31, 2013	March 1, 2013	March 1, 2013	March 31, 2013
Stocks 99% of holdings					
LIVING CELL TECHNOLOGIES NPV ISIN #AU000000LCT6 SEDOL #B01SS30 (LVCLF)					
FORD MTR CO DEL COM (F)	10,000.000	\$0.050	\$507.95		\$500.40
HUNTINGTON Bancshares Inc COM (HBAN)	950.000	13.150	7,834.95	\$12,610.00	12,492.50
LAKE VICTORIA MNG INC COM (LVCA)	225.000	7.370	1,303.95	1,581.75	1,658.25
RAHAXI INC COM	10,000.000	0.080	346.95		800.00
Subtotal of Stocks	20,000.000	-----	145.90	unavailable	unavailable
			10,139.70		15,451.15
Core Account 1% of holdings	115.280	1.000	not applicable	236.00	115.28
CASH					

SCHEDULE II-ASSETS AND "UNEARNED" INCOME



Name

Mark C. Allegro

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Investment Report

March 1, 2013 - March 31, 2013

Brokerage Holdings	Symbol as of March 31, 2013	Quantity	Price per Unit	Total Cost Basis	Total Value	Total Value
Subtotal of Core Account						
Total				\$10,139.70	\$15,566.43	March 31, 2013

*All positions held in cash account unless indicated otherwise.**Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.*Transaction Details
(for holdings with activity this period)

Brokerage Activity

Settlement Date	Security Description	Quantity	Price per Unit	Cost Basis of Close	Transaction Amount	
3/01	FORD MTR CO DEL COM	Dividend received			\$100.00	
3/12	LIVING CELL TECHNOLOGIES NPV ISIN #AU0000000LCT6 SEDOL #B01S530	You bought	10,000.000	\$0.04500	-507.95	
3/12	FORD MTR CO DEL COM	Transaction cost: -\$7.97 Long-term gain: \$221.81	-50.000	12.84300	\$412.37f	
3/12	LAKE VICTORIA MNG INC COM	You bought	4,497.000	0.03500	-157.40	
3/12	LAKE VICTORIA MNG INC COM	You bought	5,503.000	0.03300	-189.55	
Transaction cost: -\$7.95						
<i>f - FIFO (First-In, First-Out) Cost basis and gain/loss information is provided as a service to our customers and is based on standards for filing US Federal Tax Returns as determined by Fidelity. This information is not intended to address tax law or reporting requirements applicable in your country of tax residence.</i>						
Core Account - Cash	Amount	Balance	Description	Amount	Balance	
Description			Beginning	100.00		
Securities bought	-\$854.90	\$236.00	Income	\$115.28		
Securities sold	634.18		Ending			

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName | **Mark C. Allegro** | | | Page 492 of 550**Investment Report**

March 1, 2013 - March 31, 2013

Brokerage

MARK ALLEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVIVORSHIP

Daily Additions and Subtractions Cash @ \$1 per share (the following is provided to you in accordance with industry regulations)

Date	Amount	Balance	Date	Amount	Balance
3/01	\$100.00	\$336.00	3/12	-220.72	115.28

Additional Information About Your Investment Report

A copy of your Investment Report is available to:

GRIMES & COMPANY, INC.
110 TURNPIKE RD STE 100
WESTBOROUGH MA 01581

MID ATLANTIC CAPITAL CORP
1251 WATERFRONT PLAZA
PITTSBURGH PA 15222

Cost Basis Information and Endnotes

Although Fidelity reports certain cost basis and holding period information to you and to the IRS on your annual Form 1099-B, Fidelity provided estimated cost basis, gain/loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes. Taxpayers should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption or exchange. Amortization, accretion and similar adjustments to cost basis are provided for many fixed income securities (and some bond-like equities); however, they are not provided for certain fixed income securities, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs).

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Lost or Stolen Cards - For Visa®/Check (DebitATM) Cards, call 800-323-5353. For details refer to your Fidelity Check Card Agreement and Disclosure Statement.

Additional Investments with Fidelity - Please make non-retirement checks payable to Fidelity Management Trust Services LLC (FBS), and for retirement accounts make checks payable to Fidelity Management Trust Company. Please include your account number on the check. Do not include trading instructions with check deposits. We mail statements at least quarterly for any account with a balance. Please review your statement and report any inaccuracies or discrepancies immediately. Questions regarding your brokerage account or the activity therein should be directed to FBS at 800-544-6890. Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPA"). Please advise us promptly of any material changes in your investment, the disclosure information may not be the same as the original.

Term and Conditions of the Statement - Fidelity does not endorse or recommend any particular investment, monitor or supervise suitability of trading by any investment advisor/authorized agent. Fidelity has not verified the content of any logo or artwork on this statement identifying a firm other than Fidelity. The firm represented by such a logo or artwork is not an agent of or affiliated with Fidelity.

Changes in Portfolio Value and Account Summary - Shows activity in your portfolio and in each of your accounts for the statement period. To confirm that an authorized direct deposit was made to your Fidelity Account, call Fidelity at 1-800-544-5555.

Value by Account - Shows the value of your account(s), for the current and previous statement periods, specifically disclosure any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information. Unless otherwise specified, NFS determines cost basis at the time of sale using its default methods of average cost for open-end mutual funds (except ETFs) and first-in, first-out (FIFO) for all other securities (including ETFs and shares held in dividend reinvestment plans). Customers should consult their tax information to the IRS on Form 1099-B. However, cost basis, realized or unrealized gain and loss, holding period information may not reflect all adjustments required for your tax reporting purposes. NFS and Fidelity

may be adjusted for certain transactions and does not reflect reinvestments or dividends or capital gains. Fidelity reports dividends and capital gains held in taxable accounts as taxable income. A portion of this income may be subject to state and local taxes and the federal alternative minimum tax. Fidelity reports earnings on investments in Traditional IRAs, Roth IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings on Roth-IRAs are reported as tax-exempt income, since distributions may be tax-exempt after meeting the five-year aging requirement and certain other conditions.

Cost Basis, Gain/Loss, and Holding Period Information - Cost basis is the original amount paid to purchase a security, including the amount of reinvested dividends and capital gains. Generally, we adjust cost basis to purchase events such as: return of capital (including dividend reclassifications) and disallowed losses on wash sales on identical securities within the same account. NFS is required to report certain cost basis and holding period information to the IRS on Form 1099-B. However, cost basis, realized or unrealized gain and loss, holding period information may not reflect all adjustments required for your tax reporting purposes. NFS and Fidelity

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Investment return and principal value will fluctuate, so you may have a loss or a gain when shares are sold. Current performance may be higher or lower than that quoted. An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although a money market fund seeks to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in the fund. Visit Fidelity.com for more recent month-end performance. Each fund reserves the right to terminate or modify its exchange privilege.

Foreign investment, especially those in emerging markets, may involve greater risks than U.S. investments. In connection with access to, purchase of, and/or maintenance of positions in mutual fund and other investment products ("funds"), FBS or NFS may receive the sales load and 12b-1 fees described in the prospectus as well as additional compensation, paid by the funds, their investment advisors or affiliates. Additional information about the source(s) and amount(s) of compensation as well as other remuneration received by FBS or NFS will be furnished to you upon written request. At the time you purchase shares of funds those shares will be assigned either a load, transaction fee ("TF") or no transaction fee ("NTF") status. When you subsequently sell those shares, any fees applicable to your transaction will be assessed based on the status assigned to the shares at the time of purchase.

Customer Free Credit Balance - You are entitled to your free credit balance in your brokerage account, subject to open commitments in your cash accounts. Free credit balances are not segregated and may be used in NFS's business in accordance with federal securities law. Retirement accounts do not qualify.

Assets Separate From Your Brokerage Account - Only securities in the margin portion of your brokerage accounts contribute to margin and maintenance requirements. Other assets, which may be reported on your statement, including insurance products that are distributed by FBS and Fidelity Insurance Agency, Inc. and mutual fund assets outside your brokerage account (that may be reported on your statement) are not carried by NFS. NFS is not covered by SIPC and do not count toward your margin and maintenance requirements.

Short Account Balances - Securities sold short are held in a segregated short account. These securities are marked-to-market for margin purposes and increase or decrease from the short sale price is transferred weekly to your margin account. Your short account balance is shown as of the last weekly mark-to-market, not statement end date.

Information About Your Option Transactions - Each transaction confirmation contains full information about commissions and other charges. If you require further information, contact Fidelity at 800-800-6890. Assignments of American and European-style options are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise and assignment only during the exercise period.

Equity Dividend Reinvestment - Shares credited to your account from transactions by FBS acting as agent for your account, or the Depository Trust Company (DTC).

Price Information/Total Market Value - The Total Market Value has been calculated to 9 decimal places; however, the individual unit price is displayed in 5 decimal places. The Total Market Value represents prices obtained from various sources, may be impacted by the frequency with which such prices are reported and such prices are not guaranteed. Prices received from pricing vendors are generally based on current market quotes, but when such quotes are not available pricing vendors use a variety of techniques to estimate value. These estimates are generally not available from a pricing source. The Market Value of a security, including liquidity risk. The prices provided are not firm bids or offers. Certain securities may reflect N/A or unavailable where the price for such security is generally not available from a pricing source. The Market Value of a security, including those priced at par value, may differ from its purchase price and may not closely reflect the value at which the security may be sold or purchased based on various market factors. The sale or redemption of any fixed income security prior to maturity may result in a loss. Prices for Certificates of Deposit (CDs) are generally estimates and are not based on actual market prices. The secondary market for CDs is generally illiquid. You should always request a current value for your securities prior to making a financial decision or placing an order.

(A) Alternative Investments - Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate investment trusts which are not listed on any exchange), commodity pools, private equity, private debt and hedge funds are generally illiquid investments and their current market value may differ from purchase price. Unless otherwise indicated, the values shown in this statement for such investments have been provided by the management, administrator, or sponsor of each program or a third-party vendor without independent verification by Fidelity Brokerage Services ("FBS") and represent their estimate of the statement. Thus, the estimated values shown herein may not necessarily reflect actual market values or be realized upon liquidation. If an estimated value is not provided, valuation information is not available.

Investments have been provided by the management, administrator, or sponsor of each program or a third-party vendor without independent verification by Fidelity Brokerage Services ("FBS") and represent their estimate of the statement. Thus, the estimated values shown herein may not necessarily reflect actual market values or be realized upon liquidation. If an estimated value is not provided, valuation information is not available.

In executing orders on the floor, the floor broker may request the specialist to trade on behalf of the order for some or all of the executions associated with filling that order, where such permission would not be inconsistent with the brokers best execution obligations.

Fidelity Investments - Fidelity Distributors Corporation (FDC) is the distributor for Fidelity Funds with marketing and shareholder services provided by FBS or NFS. Brokerage services are provided by FBS, which clears all transactions through its affiliate NFS. NFS carries all brokerage accounts. For customer complaints call 1-800-544-7000 or write to: Fidelity Institutional Wealth Services, P.O. Box 77001, Cincinnati, OH 45277-0045. FBS and NFS are members of the NYSE and SIPC. FBS, NFS and FDC are all direct or indirect subsidiaries of FMR LLC. Upon written request, Fidelity will mail a NFS financial statement, which is also available at its office. Fidelity Investments (with pyramid logo) is a trademark of FMR LLC. Insurance products are distributed by FBS, Fidelity Insurance Agency, Inc., and Fidelity Investments Insurance Agency of Texas, Inc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME



Investment Report

April 1, 2013 - April 30, 2013

GRIMES COMPANY
A REGISTERED INVESTMENT ADVISOR

Envelope

[REDACTED]

MARK ALLEGRO

MARY ANNE ALLEGRO

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EAST FALMOUTH MA 02536-7720

Your Advisor
GRIMES & COMPANY, INC.
PREMIER CLIENT GROUP
110 TURNPIKE RD STE 100
WESTBOROUGH MA 01581-2864
Phone: (508)366-3883

Brokerage MARK ALLEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVIVORSHIP

Account Summary		Income Summary			Realized Gain/Loss from Sales		
		This Period	Year to Date	This Period	Year to Date		
Beginning value as of Apr 1	\$15,566.43						
Change in investment value	73.10	Taxable					
Ending value as of Apr 30	\$15,639.53	Dividends	\$9.00	\$118.00	Long-term gain	\$0.00	\$221.81
Accrued Interest (AI)	\$0.00						
Holdings (Symbol) as of April 30, 2013		Quantity	Price per Unit	Total Cost Basis	Total Value	Total Value	
Stocks 99% of holdings		April 30, 2013	April 30, 2013		April 1, 2013	April 30, 2013	
LIVING CELL TECHNOLOGIES NPV	10,000.000		\$0.048	\$507.95	\$500.40	\$477.50	
ISBN #AU000000LC76 SEDOL #B01S530 (LVCLF)							
FORD MTR CO DEL COM (F)	950.000	13.710	7.834.95	12,492.50	13,024.50		
EAI: \$380.00, EY: 2.92%							
HUNTINGTON BANCSHARES INC COM (HBAN)	225.000	7.170	1,303.95	1,658.25	1,613.25		
EAI: \$45.00, EY: 2.79%							
LAKE VICTORIA MNG INC COM (LVCA)	10,000.000	0.040	346.95	800.00	400.00		
RAHAXI INC COM	20,000.000	-----	145.90	unavailable	unavailable		

The logo for Fidelity Investments. It features a circular emblem with a stylized sunburst or starburst pattern inside, positioned above the word "Fidelity". Below the emblem, the word "INVESTMENTS" is written in a smaller, sans-serif font, and "Fidelity" is written in a larger, bold, italicized, sans-serif font.

Investment Report

MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVIVORSHIP				
Holdings Quantity Symbol)	Price per Unit April 30, 2013	Total Value April 1, 2013	Total Value April 30, 2013	
Subtotal of Stocks		10,139.70	15,515.25	

Core Account 1% of holdings				
CASH	1.000	not applicable	115.28	124.28
Subtotal of Core Account				124.28
	124.280			

Total
All positions held in cash account unless indicated otherwise.

↓ 101,331.0	↓ 13,000.00
-------------	-------------

Estimated Annual Income (EAI) & Estimated Yield (EY) - EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate.

For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be higher or lower. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security. For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.



Investment Report

April 1, 2013 - April 30, 2013

Brokerage

MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVIVORSHIP

Estimated Cash Flow rolling as of April 30, 2013

The table below presents the estimated monthly interest and dividend income and return of principal that your current holdings may generate over the next rolling 12 months. The cash flows displayed are estimates provided for informational purposes only and there is no guarantee that you will actually receive any of the amounts displayed. These estimates should not be relied upon for making investment, trading or tax decisions. The estimates for fixed rate domestic bond and CD Income are calculated using the security's coupon rate. The estimates for domestic common stock and mutual fund income are calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. There are circumstances in which these estimates will not be presented for a specific security you hold. Please refer to Help/Glossary on Fidelity.com for additional information on these calculations.

<u>Month</u>	<u>Bond & CD Income</u>	<u>Bond & CD Principal</u>	<u>Stock Income</u>	<u>Mutual Fund Income</u>	<u>Total Estimated Cash Flow</u>
May 2013	--	--	--	--	--
Jun 2013	--	--	\$95	--	\$95
Jul 2013	--	--	\$11	--	\$11
Aug 2013	--	--	--	--	--
Sep 2013	--	--	\$95	--	\$95
Oct 2013	--	--	\$11	--	\$11
Nov 2013	--	--	--	--	--
Dec 2013	--	--	\$95	--	\$95
Jan 2014	--	--	\$11	--	\$11
Feb 2014	--	--	--	--	--
Mar 2014	--	--	\$95	--	\$95
<u>Apr 2014</u>	<u>--</u>	<u>--</u>	<u>\$11</u>	<u>\$11</u>	<u>\$424</u>
Total					

- not available

Bond & CD Income includes interest payments for fixed rate bonds and Certificates of Deposit (CDs).**Bond & CD Principal** includes maturing principal payments for CDs and the following bonds: fixed rate, floating rate, variable rate, discount, and zero coupon.**Stock Income** includes estimated dividend payments for common stock, ADRs, and REITs.**Mutual Fund Income** includes estimated dividend payments for Fidelity and non-Fidelity mutual funds.**The table above does not include cash flow from the following securities:** preferred stocks, international stocks, exchange trade products (ETF's & ETN's), UTs, variable rate bonds, and international bonds, but may be included in future enhancements.

Transaction Details

(for holdings with activity this period)

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page **4Q** of **550****Investment Report**

April 1, 2013 - April 30, 2013

Brokerage █ MARK ALLEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVIVORSHIP**Brokerage Activity**

Settlement Date	Security	Description	Quantity	Price per Unit	Transaction Amount
4/01	HUNTINGTON BANCSHARES INC COM	Dividend received			\$9.00

Core Account - Cash

Description	Amount	Balance	Description	Amount	Balance
Beginning Income	\$9.00	\$115.28	Ending	\$124.28	

Daily Additions and Subtractions Cash @ \$1 per share (*the following is provided to you in accordance with industry regulations*)

Date	Amount	Balance	Date	Amount	Balance
4/01	\$9.00	\$124.28			

Additional Information About Your Investment Report

A copy of your Investment Report is available to:

GRIMES & COMPANY, INC.
 110 TURNPIKE RD STE 100
 WESTBOROUGH MA 01581

MID ATLANTIC CAPITAL CORP
 1251 WATERFRONT PLAZA
 PITTSBURGH PA 15222



Name | Mark C. Allegro || Page 498 of 550

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April 1, 2013 - April 30, 2013

Additional Information and Endnotes

Although Fidelity reports certain cost basis and holding period information to you and to the IRS on your annual Form 1099-B, Fidelity-provided estimated cost basis, gain/loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes. Taxpayers should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption or exchange. Amortization, accretion and similar adjustments to cost basis are provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain fixed income securities, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs).

EA for fixed rate domestic bonds and CDs is calculated using the coupon rate. For all other securities, EA is calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. This third party data may not be promptly updated to reflect various corporate actions (for example, when an issuer has missed a scheduled dividend payment, announced changes to a payment schedule, or declared a stock split) and, as a result, the EA and EY may be over or understated. Interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political and business conditions. Please refer to the Help/Glossary on Fidelity.com for additional information regarding these calculations.

EAI and EY are not provided for: preferred stocks, exchange traded products (ETFs & ETNs), UITs, variable rate bonds, and international stocks & bonds, but may be included in the future. EAI is not displayed for amounts less than \$10.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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If you have a question about your account or require service, please call your investment advisor/authorized agent.

Lost or Stolen Cards - For Visa®/Check (Debit) ATM Cards, call 800-323-5353. For details refer to your Fidelity Check Card Agreement and Disclosure Statement.

Additional Investments with Fidelity - Please make non-retirement checks payable to Fidelity Management Trust Services LLC (FBS), and for retirement accounts make checks payable to Fidelity Brokerage Company. Please include your account number on the check. Do not include trading instructions with check deposits. We mail statements at least quarterly for any account with a balance. Please review your statement and report any inaccuracies or discrepancies immediately. Questions regarding your brokerage account or the activity therein should be directed to FBS at 800-544-8666, and National Financial Services LLC ("NFS"), who carries your brokerage accounts, at 800-800-8890. Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPA"). Please advise us promptly of any material changes in your investment objectives or financial situation related to your brokerage account(s). If you request a reprint of your statement, the disclosure information may not be the same as the original.

Term and Conditions of the Statement - Fidelity does not endorse or recommend any particular investment, trading activity, or investment advisor/authorized agent. Fidelity has no responsibility for and will not review, monitor or supervise suitability of trading by any investment advisor/authorized agent. Fidelity has not verified the content of any logo or artwork on this statement identifying a firm other than Fidelity. The firm represented by such a logo or artwork is not an agent of or affiliated with Fidelity.

Changes in Portfolio Value and Account Summary - Shows activity in your portfolio and in each of your accounts for the statement period. To confirm that an authorized, direct deposit was made to your Fidelity Account, call Fidelity at 1-800-544-8666.

Value by Account - Shows the value of your account(s), for the current and previous statement periods.

Income Summary - Shows income by tax status for the statement and year-to-date periods. Except for interest earned on, or distributed by, tax-exempt securities, Fidelity reports dividends and capital gains held in taxable accounts as taxable income. A portion of this income may be subject to state and local taxes and the federal alternative minimum tax. Fidelity reports earnings on investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings on Roth-IRAs are reported as tax-exempt income, since distributions may be tax-exempt after meeting the five-year aging requirement and certain other conditions.

Cost Basis, Gain/Loss, and Holding Period Information - Cost basis is the original amount paid to purchase a security, including the amount of reinvested dividends and capital gains. Generally, we adjust cost basis for events such as returns of capital (including dividend reclassifications) and disallowed losses on wash sales on information to the IRS on Form 1099-B. However, cost basis, realized or unrealized gain and loss, and holding period information may not reflect all adjustments required for your tax reporting purposes. NFS and Fidelity specifically disclaim any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information. Unless otherwise specified, NFS determines cost basis at the time of sale using its default methods of average cost for open-end mutual funds (except ETFs) and first-in, first-out (FIFO) for all other securities (including ETFs and shares held in dividend reinvestment plans). Customers should consult their tax advisors for further information.

Cost - Fidelity provides purchase cost information for securities held in retirement accounts. Such information may be adjusted for certain transactions and does not reflect reinvestments of dividends or capital gains. Fidelity reports transaction profit or loss information when securities are sold within a retirement account. Transaction profit or loss is calculated by subtracting purchase cost from sales proceeds using the FIFO method if shares were purchased at different times or prices.

Contributions/Distributions - Summarizes Traditional IRA and Roth IRA contributions, and taxable and non-taxable retirement distributions for these statement and year-to-date periods.

Total Value - The reported market value of an investment at the beginning or end of a statement period. Unrealized gain/loss - For long positions, ending market value minus cost basis in non-retirement accounts and ending market value minus cost in retirement accounts. For short positions, proceeds minus ending market value. Unrealized gain/loss is calculated for pending sales because they are still in holdings. Unrealized gain/loss is not calculated for pending purchases because they are not in holdings.

Holding Type Percentage - Percentages by holding type only include long positions. Holding type percentages are rounded to the nearest percent and summed. If the sum is less than 100%, the difference between 100% and the sum is added to the holding type with the largest percentage.

Change In Investment Value - The appreciation or depreciation of your holdings due to price changes, plus any distribution and income earned during the statement period, less any transaction costs, sales charges, or fees.

Account Protection - Securities in accounts carried by NFS, a Fidelity Investments company, are protected in accordance with the Securities Investor Protection Corporation (SIPC) up to \$500,000 (including cash claims limited to \$250,000). For more information, including the SIPC brochure, please see www.sipc.org or call 1-800-371-8300. NFS has arranged for additional protection for cash and covered securities to supplement its SIPC coverage. Neither coverage protects against a decline in the market value of securities. Mutual funds and/or other securities are not backed or guaranteed by any bank, nor are they insured by the FDIC and involve investment risk, including possible loss of principal. Bank deposits are not securities and are not covered by SIPC. Funds used to purchase or sweep to a bank deposit are SIPC protected until deposited to a Program Bank at which time funds may be eligible for FDIC insurance.

Information About Mutual Funds and Their Performance - Before investing consider the fund's or insurance product's investment objectives, risks, charges and expenses. Contact Fidelity or visit [Fidelity.com](http://www.fidelity.com) for a prospectus containing this information. Read it carefully before investing.

Performance data shown represents past performance, and is no guarantee of future results.

Investment return and principal value will fluctuate, so you may have a loss or gain when a share is sold. Current performance may be higher or lower than that quoted. An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although a money market fund seeks to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in the fund. Visit Fidelity.com/performance for most recent month-end performance. Each fund reserves the right to terminate or modify its exchange privilege. Foreign investment, especially those in emerging markets, may involve greater risks than U.S. investments. In connection with access to, purchase of, and/or maintenance of positions in mutual fund and other investment products ("funds"), FBS or NFS may receive the sales load and 12b-1 fees described in the prospectus as well as additional compensation, paid by the funds, their investment advisers or affiliates. Additional information about the source(s) and amount(s) of compensation as well as other remuneration received by FBS or NFS will be furnished to you upon written request. At the time you purchase shares of funds those shares will be assigned either a load, transaction fee ("TF") or no transaction fee ("NTF") status. When you subsequently sell these shares, any fees applicable to your transaction will be assessed based on the status assigned to the shares at the time of purchase.

Customer Free Credit Balance - You are entitled to your free credit balance in your brokerage account, subject to open commitments in your cash accounts. Free credit balances are not segregated and may be used in NFS's business in accordance with federal securities law. Retirement accounts do not qualify. Assets Separate From Your Brokerage Account - Only securities in the margin portion of your brokerage accounts contribute to margin and maintenance requirements. Other assets, which may be reported on your mutual fund assets outside of your brokerage account (that may be reported on your statement) are not carried by NFS, are not covered by SIPC and do not count toward your margin and maintenance requirements.

Short Account Balances - Securities sold short are held in a segregated short account. These securities are marked-to-market for margin purposes and increase or decrease from the short sale price is transferred weekly to your margin account. Your short account balance is shown as of the last weekly mark-to-market, not statement end date.

Information About Your Option Transactions - Each transaction confirmation contains full information about commissions and other charges. If you require further information, contact Fidelity at 800-800-8890. Assignments of American and European-style options are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise and assignment only during the exercise period.

Equity Dividend Reinvestment - Shares credited to your account from transactions by FBS acting as agent for your account, or the Depository Trust Company (DTC).

Price Information/Total Market Value - The Total Market Value has been calculated to 9 decimal places; however, the individual unit price is displayed in 5 decimal places. The Total Market Value represents prices obtained from various sources, may be impacted by the frequency with which such prices are reported and such prices are not guaranteed. Prices received from pricing vendors are generally based on current market quotes, but when such quotes are not available pricing vendors use a variety of techniques to estimate value. These estimates, particularly for fixed income securities, may be based on certain minimum principal amounts (e.g. \$1 million) and may not reflect all factors that affect the value of the security, including liquidity risk. The prices provided are not firm bids or offers. Certain securities may reflect N/A or unavailable where the price for such security is generally not available from a pricing source. The Market Value of a security, including those priced at par value, may differ from its purchase price and may not closely reflect the value at which the security may be sold or purchased based on various market factors. The sale or redemption of any fixed income security prior to maturity may result in a loss. Prices for Certificates of Deposit (CDs) are generally estimates and are not based on actual market prices. The secondary market for CDs is generally illiquid. You should always request a current valuation for your securities prior to making a financial decision or placing an order.

(A) Alternative Investments - Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate investment trusts which are not listed on any exchange), commodity pools, private equity, private debt and hedge funds are generally illiquid investments and their current values may differ from purchase price. Unless otherwise indicated, the values shown in this statement for such investments have been provided by the management, administrator or sponsor of each program or a third-party vendor without independent verification by Fidelity Brokerage Services (FBS) and represent their estimate of the value of the investor's participation in the program, as of a date no greater than 18 months from the date of this statement. Thus, the estimated values shown herein may not necessarily reflect actual market values or be realized upon liquidation. If an estimated value is not provided, valuation information is not available.

In executing orders on the Floor, the Floor broker may permit the specialist to trade on parity with the order for some or all of the executions associated with filling that order, where such permission would not be inconsistent with the brokers best execution obligations.

Fidelity Investments - Fidelity Distributors Corporation (FDC) is the distributor for Fidelity Funds with marketing and shareholder services provided by FBS or NFS. Brokerage services are provided by FBS, which clears all investments through its affiliate NFS. NFS carries all brokerage accounts. For customer complaints call 617-563-7000 or write to: Fidelity Institutional Wealth Services, P.O. Box 770001, Cincinnati, OH 45277-0045. FBS and NFS are members of the NYSE and SIPC. FMS and FDC are all direct or indirect subsidiaries of FMR LLC. Upon written request, Fidelity will mail NFS financial statement, which is also available at its office. Fidelity Investments (with pyramid logo) is a trademark of FMR LLC. Insurance products are distributed by FBS. Fidelity Insurance Agency, Inc., and Fidelity Investments Insurance Agency of Texas, Inc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Investment Report

May 1, 2013 - May 31, 2013

GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

Envelope

MARK ALLEGRO
MARY ANNE ALLEGRO41 METOXT RD
EAST FALMOUTH MA 02536-7720

Phone: (508)366-3883

Your Advisor

GRIMES & COMPANY, INC.

PREMIER CLIENT GROUP
110 TURNPIKE RD STE 100
WESTBOROUGH MA 01581-2864**Brokerage MARK ALLEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVORSHIP****Account Summary**

Beginning value as of May 1	\$15,639.53
Additions	26.76
Transaction costs, loads and fees	-23.89
Change in investment value	1,812.10
Ending value as of May 31	\$17,454.50

Accrued Interest (AII)
Change in AII from last statement**Holdings** (Symbol) as of May 31, 2013LIVING CELL TECHNOLOGIES NPV
ISIN #AU000000LCT6 SEDOL #B01SS520Quantity
May 31, 2013Price per Unit
May 31, 2013Total Cost Basis
May 1, 2013Total Value
May 31, 2013**Realized Gain/Loss from Sales**

This Period	Year to Date	This Period	Year to Date
Long-term gain		\$331.46	\$553.27

(LVCLF)	FORD MTR CO DEL COM (F)	LAKE VICTORIA MNG INC COM (LVCA)	RAHAXI INC COM	SIRIUS XM RADIO INC COM (SIRI)
	950.000	15.680	7,834.95	13,024.50
	12,500.00	0.030	442.40	400.00
	20,000.00	-----	145.90	unavailable
	500.00	3.485	1,691.00	unavailable
				1,742.50

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Alliegro** Page **501** of **550**

Investment Report

May 1, 2013 - May 31, 2013

<u>Brokerage Holdings</u>	<u>Symbol</u>	<u>Quantity</u>	<u>Price per Unit</u>	<u>Total Cost Basis</u>	<u>Total Value</u>	<u>Total Value</u>
						May 31, 2013
<u>Subtotal of Stocks</u>						
<u>Total</u>				\$10,622.20	\$17,454.50	

All positions held in cash account unless indicated otherwise.

Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.

Estimated Annual Income (EAI) & Estimated Yield (EY) - EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be higher or lower. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security. For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.

Estimated Cash Flow Rolling as of May 31, 2013

The table below presents the estimated monthly interest and dividend income and return of principal that your current holdings may generate over the next rolling 12 months. The cash flows displayed are estimates provided for informational purposes only and there is no guarantee that you will actually receive any of the amounts displayed. These estimates should not be relied upon for making investment, trading or tax decisions. The estimates for fixed rate domestic bond and CD income are calculated using the security's coupon rate. The estimates for domestic common stock and mutual fund income are calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. There are circumstances in which these estimates will not be presented for a specific security you hold. Please refer to Help/Glossary on Fidelity.com for additional information on these calculations.

<u>Month</u>	<u>Bond & CD Income</u>	<u>Bond & CD Principal</u>	<u>Stock Income</u>	<u>Mutual Fund Income</u>	<u>Total Estimated Cash Flow</u>
Jun 2013	--		\$95	--	\$95
Jul 2013	--		--	--	--
Aug 2013	--		--	--	--
Sep 2013	--		--	--	--
Oct 2013	--		--	--	--
Nov 2013	--		--	--	--
Dec 2013	--		--	--	--
Jan 2014	--		\$95	--	\$95
Feb 2014	--		--	--	--
Mar 2014	--		\$95	--	\$95
Apr 2014	--		\$95	--	\$95
<u>May 2014</u>					
<u>Total</u>					\$380

SCHEDULE I-HASSETS AND "UNEARNED" INCOME



Name | Mark C. Alliegro

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Investment Report

May 1, 2013 - May 31, 2013

Brokerage ~~MARK ALLIEGRO~~ MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVIVORSHIP

Estimated Cash Flow rolling as of May 31, 2013 (continued)

- not available

Bond & CD Income includes interest payments for fixed rate bonds and Certificates of Deposit (CDs).

Bond & CD Principal includes maturing principal payments for CDs and the following bonds: fixed rate, floating rate, variable rate, discount, and zero coupon.

Stock Income includes estimated dividend payments for common stock, ADRs, and REITs.

Mutual Fund Income includes estimated dividend payments for Fidelity and non-Fidelity mutual funds.

The table above does not include cash flow from the following securities: preferred stocks, international stocks, exchange trade products (ETF's & ETN's), UITS, variable rate bonds, and international bonds, but may be included in future enhancements.

Transaction Details (for holdings with activity this period)

Brokerage Activity

Settlement Date	Security	Description	Quantity	Price per Unit	Cost Basis of Close	Transaction Amount
5/09	HUNTINGTON BANCSHARES INC COM	You sold Transaction cost: -\$7.99 <i>Long-term gain: \$331.46</i>	-225,000	\$7.3040	\$1,303.95 ^f	\$1,635.41
5/09	SIRIUS XM RADIO INC COM	You bought Transaction cost: -\$7.95	500,000	3.36610		-1,691.00
5/28	LAKE VICTORIA MNG INC COM	You bought Transaction cost: -\$7.95	2,500,000	0.03500		-95.45
		<i>f - FIFO (First-In, First-Out) Cost basis and gain/loss information is provided as a service to our customers and is based on standards for filing US Federal Tax Returns as determined by Fidelity. This information is not intended to address tax law or reporting requirements applicable in your country or tax residence.</i>				

Core Account	- Cash	Amount	Balance	Description	Amount	Balance
Description				Deposits		
Beginning					26.76	
Securities bought		-\$1,786.45				\$0.00
Securities sold		1,635.41				

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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**Investment Report**

May 1, 2013 - May 31, 2013

Brokerage ~~MARK ALLEGRO~~ MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVORSHIP**Deposits (1)**

Date	Description	Amount	Date	Description	Amount
5/28	DEPOSIT RECEIVED	\$26.76			\$26.76

Daily Additions and Subtractions Cash @ \$1 per share (*the following is provided to you in accordance with industry regulations*)

Date	Amount	Balance	Date	Amount	Balance
5/09	-\$55.59	\$68.69	5/28	-68.69	0.00

Additional Information About Your Investment Report

A copy of your Investment Report is available to:

GRIMES & COMPANY, INC.
110 TURNPIKE RD STE 100
WESTBOROUGH MA 01581

MID ATLANTIC CAPITAL CORP
1251 WATERFRONT PLAZA
PITTSBURGH PA 15222



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Investment Report

May 1, 2013 - May 31, 2013

Additional Information and Endnotes

Although Fidelity reports certain cost basis and holding period information to you and to the IRS on your annual Form 1099-B, Fidelity-provided estimated cost basis, gain/loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes. Taxpayers should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption or exchange. Amortization, accretion and similar adjustments to cost basis are provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain fixed income securities, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs).

EA for fixed rate domestic bonds and CDs is calculated using the coupon rate. For all other securities, EAI is calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. This third party data may not be promptly updated to reflect various corporate actions (for example, when an issuer has missed a scheduled dividend payment, announced changes to a payment schedule, or declared a stock split) and, as a result, the EA and EY may be over or understated. Interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political and business conditions. Please refer to the Help/Glossary on Fidelity.com for additional information regarding these calculations.

EAI and EY are not provided for: preferred stocks, exchange traded products (ETFs & ETNs), UTIs, variable rate bonds, and international stocks & bonds, but may be included in the future. EAI is not displayed for amounts less than \$10.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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If you have a question about your account or require service, please call your investment advisor/authorized agent.

Lost or Stolen Cards - For Visa® Check (Debit/ATM) Cards, call 800-323-5353. For details refer to your Fidelity Check Card Agreement and Disclosure Statement.

Additional Investments with Fidelity - Please make non-retirement checks payable to Fidelity Management Trust Company. Please include your account number on the check. Do not include trading instructions with check deposits. We mail statements at least quarterly for any account held with a balance. Please review your statement and report any inaccuracies or discrepancies immediately. Questions regarding your brokerage account or the activity therein should be directed to FBS at 800-564-6866, and National Financial Services LLC ("NFS"), who carries your brokerage accounts, at 800-800-8890. Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPA"). Please advise us promptly of any material changes in your investment objectives or financial situation related to your brokerage account(s). If you request a reprint of your statement, the disclosure information may not be the same as the original.

Terms and Conditions of the Statement - Fidelity does not endorse or recommend any particular investment, monitor or supervise suitability of trading by any investment advisor/authorized agent. Fidelity has not verified the content of any logo or artwork on this statement identifying a firm other than Fidelity. The firm represented by such a logo or artwork is not an agent of or affiliated with Fidelity.

Changes in Portfolio Value and Account Summary - Shows activity in your portfolio and in each of your accounts for the statement period. To confirm that an authorized direct deposit was made to your Fidelity Account, call Fidelity at 1-800-564-6866.

Value by Account - Shows the value of your account(s), for the current and previous statement periods. Income Summary - Shows income by tax status for the statement and year-to-date periods. Except for interest earned on, or distributed by, tax-exempt securities, Fidelity reports dividends and capital gains held in taxable accounts as taxable income. A portion of this income may be subject to state and local taxes and the federal alternative minimum tax. Fidelity reports earnings on investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings on Roth-IRAs are reported as tax-exempt income, since distributions may be tax-exempt after meeting the five-year holding requirement and certain other conditions.

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Contributions/Distributions - Summarizes Traditional IRA and Roth IRA contributions, and taxable and non-taxable retirement distributions for these statement and year-to-date periods. Cost - Fidelity provides purchase cost information for securities held in retirement accounts. Such information may be adjusted for certain transactions and does not reflect reinvestments of dividends or capital gains. Fidelity reports transaction profit or loss information when securities are sold within a retirement account. Transaction profit or loss is calculated by subtracting purchase cost from sales proceeds using the FIFO method if shares were purchased at different times or prices.

Non-Retirement Distributions - Summarizes Traditional IRA and Roth IRA contributions, and taxable and non-taxable retirement distributions for these statement and year-to-date periods.

Total Value - The reported market value of an investment at the beginning or end of a statement period. Unrealized gain/loss - For long positions, ending market value minus cost basis in non-retirement accounts and ending market value minus cost in retirement accounts. For short positions, proceeds minus ending market value. Unrealized gain/loss is calculated for pending sales because they are still in holdings. Unrealized gain/loss is not calculated for pending purchases because they are not in holdings.

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Information About Mutual Funds and Their Performance - Before investing consider the fund's or insurance product's investment objectives, risks, charges and expenses. Contact Fidelity or visit Fidelity.com for a prospectus containing this information. Read it carefully before investing.

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Investment return and principal values will fluctuate, so you may have a loss or a gain when shares are sold. Current performance may be higher or lower than that quoted. An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although a money market fund seeks to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in the fund. Visit Fidelity.com for most recent month-end performance. Each fund reserves the right to terminate or modify its exchange privilege. Foreign investment, especially those in emerging markets, may involve greater risks than U.S. investments. In connection with access to, purchase of and/or maintenance of positions in mutual fund and other investment products ("funds"), FBS or NFS may receive the sales load and 12b-1 fees described in the prospectus as well as additional compensation, paid by the funds, their investment advisors or affiliates. Additional information about the source(s) and amount(s) of compensation, as well as other remuneration received by FBS or NFS will be furnished to you upon written request. At the time you purchase shares of funds those shares will be assigned either a load, transaction fee ("TF") or no transaction fee ("NTF") status. When you subsequently sell those shares, any fees applicable to your transaction will be assessed based on the status assigned to the shares at the time of purchase.

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In executing orders on the Floor, the Floor broker may permit the specialist to trade on parity with the order for some or all of the executions associated with filling that order, where such permission would not be inconsistent with the brokers best execution obligations.

Fidelity Investments - Fidelity Distributors Corporation (FDC) is the distributor for Fidelity Funds with marketing and shareholder services provided by FBS or NFS. Brokerage services are provided by FBS, which clears all transactions through its affiliate NFS. NFS carries all brokerage accounts. For customer complaints call 617-563-7000 or write to: Fidelity Institutional Wealth Services, P.O. Box 770001, Cincinnati, OH 45277-0045. FBS and NFS are members of the NYSE and SIPC. FBS and FDC are all direct or indirect subsidiaries of FMR, LLC. Upon written request, Fidelity will mail a NFS financial statement, which is also available at its office. Fidelity Investments (with pyramid logo) is a trademark of FMR LLC. Insurance products are distributed by Fidelity Insurance Agency, Inc., and Fidelity Investments Insurance Agency of Texas, Inc.

SCHEDULE OF ASSETS AND "UNEARNED" INCOME



Investment Report

June 1, 2013 - June 30, 2013

GRIMES & COMPANY
A REGISTERED INVESTMENT ADVISOR

Envelopes [REDACTED]

MARK ALLEGRO

MARY ANNE ALLEGRO

41 METOXIT RD

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Brokerage

MARK ALLEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVORSHIP

Account Summary

Beginning value as of Jun 1	\$17,454.50
Change in investment value	-187.80
Ending value as of Jun 30	\$17,266.70

Accrued Interest (A)
 Change in A from last statement

\$0.00
\$0.00

Income Summary

This Period	Year to Date
Taxable	Long-term gain
Dividends	\$95.00
	\$213.00

Realized Gain/Loss from Sales

This Period	Year to Date
\$0.00	\$553.27

Holdings (Symbol) as of June 30, 2013

	Quantity	Price per Unit	Total Cost Basis	Total Value	Total Value
	June 30, 2013	June 30, 2013	June 1, 2013	June 30, 2013	June 30, 2013
Stocks 99% of holdings					
LIVING CELL TECHNOLOGIES NPV ISIN #AU000000LCT6 SEDOL #B01SS30 (LVCLF)	10,000.000	\$0.038	\$507.95	\$441.00	\$375.20
FORD MOTOR CO DEL COM (F)	950.000	15.470	7,834.95	14,896.00	14,696.50
EAI: \$380.00, EV: 2.59%					
LAKE VICTORIA MNG INC COM (LVCA)	12,500.000	0.034	442.40	375.00	425.00
RAHAXI INC COM	20,000.000	-----	145.90	unavailable	unavailable
SIRIUS XM RADIO INC COM (SIRI)	500.000	3.350	1,691.00	1,742.50	1,675.00

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Investment Report

June 1, 2013 - June 30, 2013

Brokerage		MARK ALLIEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVIVORSHIP			Quantity		Price per Unit		Total Value	
					June 30, 2013		June 30, 2013		June 30, 2013	
Holdings <small>(Symbol as of June 30, 2013)</small>										
Subtotal of Stocks										
Core Account 1% of holdings										
CASH										
Subtotal of Core Account										
Total										
95.000					1.000		not applicable		95.00	
					</					



Name | Mark C. Allegro | | | | Page 508 of 550

Investment Report

June 1, 2013 - June 30, 2013

Brokerage



MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVIVORSHIP

Estimated Cash Flow rolling as of June 30, 2013

The table below presents the estimated monthly interest and dividend income and return of principal that your current holdings may generate over the next rolling 12 months. The cash flows displayed are estimates provided for informational purposes only and there is no guarantee that you will actually receive any of the amounts displayed. These estimates should not be relied upon for making investment, trading or tax decisions. The estimates for fixed rate, domestic bond and CD Income are calculated using the security's coupon rate. The estimates for domestic common stock and mutual fund income are calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. There are circumstances in which these estimates will not be presented for a specific security you hold. Please refer to Help/Glossary on Fidelity.com for additional information on these calculations.

<u>Month</u>	<u>Bond & CD Income</u>	<u>Bond & CD Principal</u>	<u>Stock Income</u>	<u>Mutual Fund Income</u>	<u>Total Estimated Cash Flow</u>
Jul 2013	--	--	--	--	--
Aug 2013	--	--	--	--	--
Sep 2013	--	--	\$95	--	\$95
Oct 2013	--	--	--	--	--
Nov 2013	--	--	--	--	--
Dec 2013	--	--	\$95	--	\$95
Jan 2014	--	--	--	--	--
Feb 2014	--	--	--	--	--
Mar 2014	--	--	\$95	--	\$95
Apr 2014	--	--	--	--	--
May 2014	--	--	--	--	--
<u>Jun 2014</u>	--	--	\$95	--	\$95
Total			\$380		\$380

-- not available

Bond & CD Income includes interest payments for fixed rate bonds and Certificates of Deposit (CDs).*Bond & CD Principal* includes maturing principal payments for CDs and the following bonds: fixed rate, floating rate, variable rate, discount, and zero coupon.*Stock Income* includes estimated dividend payments for common stock, ADRs, and REITs.*Mutual Fund Income* includes estimated dividend payments for Fidelity and non-Fidelity mutual funds.*The table above does not include cash flow from the following securities:* preferred stocks, international stocks, exchange trade products (ETF's & ETN's), UITs, variable rate bonds, and international bonds, but may be included in future enhancements.

Transaction Details

(for holdings with activity this period)

SCHEDEULE II-ASSETS AND "UNEARNED" INCOME



Name

Mark C. Alliegro

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Investment Report

June 1, 2013 - June 30, 2013

Brokerage ~~MARK ALLIEGRO~~ MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVORSHIP**Brokerage Activity**

Settlement Date	Security	Description	Quantity	Price per Unit	Transaction Amount
6/03	FORD MTR CO DEL COM	Dividend received			\$95.00

Trades Pending Settlement on June 30, 2013

Trade Date	Settlement Date	Security	Description	Quantity	Price per Unit	Settlement Amount
6/ 27	7/ 02	LAKE VICTORIA MNG INC COM (LVCA)	Bought	2,500.000	\$0.03400	-\$92.95

Core Account - Cash

Description	Amount	Balance	Description	Amount	Balance
Beginning Income	\$95.00	\$0.00	Ending	\$95.00	

Daily Additions and Subtractions Cash @ \$1 per share (*the following is provided to you in accordance with industry regulations*)

Date	Amount	Balance	Date	Amount	Balance
6/ 03	\$95.00	\$95.00			

Additional Information About Your Investment Report

A copy of your Investment Report is available to:

GRIMES & COMPANY, INC.
 110 TURNPIKE RD STE 100
 WESTBOROUGH MA 01581

MID ATLANTIC CAPITAL CORP
 1251 WATERFRONT PLAZA
 PITTSBURGH PA 15222

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name | **Mark C. Allegro** | | | Page 5/0 of 550



Investment Report

June 1, 2013 - June 30, 2013

Additional Information and Endnotes

Although Fidelity reports certain cost basis and holding period information to you and to the IRS on your annual Form 1099-B, Fidelity-provided estimated cost basis, gain/loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes. Taxpayers should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption or exchange. Amortization, accretion and similar adjustments to cost basis are provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain fixed income securities, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs).

EAI for fixed rate domestic bonds and CDs is calculated using the coupon rate. For all other securities, EAI is calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. This third party data may not be promptly updated to reflect various corporate actions (for example, when an issuer has missed a scheduled dividend payment, announced changes to a payment schedule, or declared a stock split) and, as a result, the EAI and EY may be over or understated. Interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political and business conditions. Please refer to the Help/Glossary on Fidelity.com for additional information regarding these calculations.

EAI and EY are not provided for: preferred stocks, exchange traded products (ETFs & ETNs), UTs, variable rate bonds, and international stocks & bonds, but may be included in the future. EAI is not displayed for amounts less than \$10.



GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

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Phone: (508)366-3883

Brokerage

MARK ALLIEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVIVORSHIP

Account Summary

Beginning value as of Jul 1	\$17,266.70
Transaction costs, loads and fees	-31.94
Change in investment value	1,759.75
Ending value as of Jul 31	\$18,994.51

Accrued Interest (A)

Accrued Interest (A)	\$0.00
Change in A from last statement	\$0.00

Income Summary

	This Period	Year to Date
Taxable		
Dividends	\$0.00	\$213.00

Realized Gain/Loss from Sales

	This Period	Year to Date
Long-term gain	\$3,837.47	\$4,390.74

Holdings (Symbol) as of July 31, 2013

	Quantity July 31, 2013	Price per Unit July 31, 2013	Total Cost Basis July 31, 2013	Total Value July 1, 2013	Total Value July 31, 2013
Stocks 98% of holdings					
LIVING CELL TECHNOLOGIES NPV (ISIN #AU000000LCT6 SEDOL #B01SS30 (LVCLF))	10,000.000	\$0.048	\$507.95	\$375.20	\$484.60
FORD MTR CO DEL COM (F) (EAI: \$200.00, EV: 2.37%)	500.000	16.880	4,123.66	14,696.50	8,440.00
LAKE VICTORIA MMG INC COM (LVCA)	25,000.000	0.017	873.30	425.00	425.00
RAHAXI INC COM	20,000.000	-----	145.90	unavailable	unavailable
SIRIUS XM RADIO INC COM (SIRI)	2,500.000	3.740	8,608.95	1,675.00	9,350.00

Investment Report
July 1, 2013 - July 31, 2013

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Alliegro**

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Investment Report

July 1, 2013 - July 31, 2013

Brokerage Holdings	MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVORSHIP			Total Value	
(Symbol) as of July 31, 2013	Quantity	Price per Unit	Total Cost Basis	July 1, 2013	July 31, 2013
Subtotal of Stocks			14,259.76		18,699.60
Core Account 2% of holdings					
CASH	294.910	1.000	not applicable	95.00	294.91
Subtotal of Core Account			\$ 14,259.76		\$ 18,994.51
Total					

All positions held in cash account unless indicated otherwise.

Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.

Estimated Annual Income (EAI) & Estimated Yield (EY) - EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be higher or lower. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security. For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.



Name | Mark C. Allegro | Page 574 of 550

Investment Report

July 1, 2013 - July 31, 2013

Brokerage

MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVORSHIP

Estimated Cash Flow

rolling as of July 31, 2013

The table below presents the estimated monthly interest and dividend income and return of principal that your current holdings may generate over the next rolling 12 months. The cash flows displayed are estimates provided for informational purposes only and there is no guarantee that you will actually receive any of the amounts displayed. These estimates should not be relied upon for making investment, trading or tax decisions. The estimates for fixed rate domestic bond and CD Income are calculated using the security's coupon rate. The estimates for domestic common stock and mutual fund income are calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. There are circumstances in which these estimates will not be presented for a specific security you hold. Please refer to Help/Glossary on Fidelity.com for additional information on these calculations.

<u>Month</u>	<u>Bond & CD Income</u>	<u>Bond & CD Principal</u>	<u>Stock Income</u>	<u>Mutual Fund Income</u>	<u>Total Estimated Cash Flow</u>
Aug 2013	--	--	--	--	--
Sep 2013	--	--	\$50	--	\$50
Oct 2013	--	--	--	--	--
Nov 2013	--	--	--	--	--
Dec 2013	--	--	--	--	--
Jan 2014	--	--	--	--	--
Feb 2014	--	--	--	--	--
Mar 2014	--	--	--	--	--
Apr 2014	--	--	\$50	--	\$50
May 2014	--	--	--	--	--
Jun 2014	--	--	\$50	--	\$50
<u>Jul 2014</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>\$200</u>
Total					\$200

-- not available

Bond & CD Income includes interest payments for fixed rate bonds and Certificates of Deposit (CDs).

Bond & CD Principal includes maturing principal payments for CDs and the following bonds: fixed rate, floating rate, variable rate, discount, and zero coupon.

Stock Income includes estimated dividend payments for common stock, ADR's, and REITs.

Mutual Fund Income includes estimated dividend payments for Fidelity and non-Fidelity mutual funds.

The table above does not include cash flow from the following securities: preferred stocks, international stocks, exchange trade products (ETF's & ETN's), UITs, variable rate bonds, and international bonds, but may be included in future enhancements.

Transaction Details

(for holdings with activity this period)

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Investment Report

July 1, 2013 - July 31, 2013

Brokerage

MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVIVORSHIP

Brokerage Activity

Settlement Date	Security	Description	Quantity	Price per Unit	Cost Basis of Close	Transaction Amount
7/02	LAKE VICTORIA MNG INC COM	You bought Transaction cost: -\$7.95	2,500.000	\$0.03400		-\$92.95
7/11	FORD MTR CO DEL COM	You sold Transaction cost: -\$8.09	-450.000	16.79300	\$3,711.29	7,548.76
7/11	LAKE VICTORIA MNG INC COM	<i>Long-term gain: \$3,837.47</i> You bought Transaction cost: -\$7.95	10,000.000	0.03300		-337.95
7/11	SIRIUS XM RADIO INC COM	You bought Transaction cost: -\$7.95	800.000	3.45500		-2,771.95
7/11	SIRIUS XM RADIO INC COM	You bought	1,200.000	3.45500		-4,146.00

*f - FIFO (First-In, First-Out)
Cost basis and gain/loss information is provided as a service to our customers and is based on standards for filing US Federal Tax Returns as determined by Fidelity. This information is not intended to address tax law or reporting requirements applicable in your country of tax residence.*

Core Account - Cash

Description	Amount	Balance	Description	Amount	Balance
Beginning		\$95.00	Securities sold	7,548.76	
Securities bought	-\$7,348.85		Ending	\$294.91	

Daily Additions and Subtractions Cash @ \$1 per share (the following is provided to you in accordance with industry regulations)

Date	Amount	Balance	Date	Amount	Balance
7/02	-\$92.95	\$2.05	7/11	292.86	294.91



Investment Report

July 1, 2013 - July 31, 2013

Additional Information About Your Investment Report

A copy of your Investment Report is available to:

GRIMES & COMPANY, INC.
110 TURNPIKE RD STE 100
WESTBOROUGH MA 01581

MID ATLANTIC CAPITAL CORP
1251 WATERFRONT PLAZA
PITTSBURGH PA 15222

- *Alert to fixed income investors (including mutual fund and ETF investors): A rise in interest rates will likely result in a decline in price. To learn about the impact of rising rates visit Fidelity.com/risingrates. 654908.1.83*

Additional Information and Endnotes

Although Fidelity reports certain cost basis and holding period information to you and to the IRS on your annual Form 1099-B, Fidelity-provided estimated cost basis, gain/loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes. Taxpayers should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption or exchange. Amortization, accretion and similar adjustments to cost basis are provided for many fixed income securities (and some bond-like equities); however, they are not provided for certain fixed income securities, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs).

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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If you have a question about your account or require service, please call your investment advisor/authorized agent.

Lost or Stolen Cards - For Visa® Check (DebitATM) Cards, call 800-323-5353. For details refer to your Fidelity Check Card Agreement and Disclosure Statement.

Additional Investments with Fidelity - Please make non-retirement checks payable to Fidelity Management Trust Company. Please include your account number on the check. Do not include trading instructions with check deposits. We mail statements at least quarterly for any account by mail. Please review your statement and report any inaccuracies or discrepancies immediately. Questions regarding your brokerage account or the activity therein should be directed to FBS at 800-544-8666, and National Financial Services LLC ("NFS"), who carries your brokerage accounts, at 800-408-1138. Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPA"). Please advise us promptly of any material changes in your investment objectives or financial situation related to your brokerage account(s). If you request a reprint of your statement, the disclosure information may not be the same as the original.

Terms and Conditions of the Statement - Fidelity does not endorse or recommend any particular investment, trading activity, or investment advisor/authorized agent. Fidelity has not verified the content of any logo or artwork on this statement identifying a firm other than Fidelity. The firm represented by such a logo or artwork is not an agent of or affiliated with Fidelity.

Changes In Portfolio Value and Account Summary - Shows activity in your portfolio and in each of your accounts for the statement period. To confirm that an authorized, direct deposit was made to your Fidelity Account, call Fidelity at 1-800-544-8666.

Value by Account - Shows the value of your account(s), for the current and previous statement periods. Income Summary - Shows income by tax status for the statement and year-to-date periods. Except for interest earned on, or distributed by, tax-exempt securities, Fidelity reports dividends and capital gains held in taxable accounts as taxable income. A portion of this income may be subject to state and local taxes and the federal alternative minimum tax. Fidelity reports earnings on investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings on Roth IRAs are reported as tax-exempt income. Since distributions may be tax-exempt after meeting the five-year holding requirement and certain other conditions, a security including the amount of reinvested dividends and capital gains. Generally, we adjust cost basis for events such as returns of capital (including dividend reclassifications) and disallowed losses on wash sales on identical securities within the same account. NFS is required to report certain cost basis and holding period information to the IRS on Form 1099-B. However, cost basis, realized or unrealized gain and loss, and holding period information may not reflect all adjustments required for your tax reporting purposes. NFS and Fidelity specifically disclose any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information. Unless otherwise specified, NFS determines cost basis at the time of sale using its default methods of average cost for open-end mutual funds (except ETFs) and first-in, first-out (FIFO) for all other securities (including ETFs and shares held in dividend reinvestment plans). Customers should consult their tax advisors for further information.

Cost Basis, Gain/Loss, and Holding Period Information - Cost basis is the original amount paid to purchase specific items held in a customer's account. We adjust cost basis for events such as returns of capital, distributions, and purchases or sales of identical securities within the same account. NFS is required to report certain cost basis and holding period information to the IRS on Form 1099-B. However, cost basis, realized or unrealized gain and loss, and holding period information may not reflect all adjustments required for your tax reporting purposes. NFS and Fidelity specifically disclose any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information. Unless otherwise specified, NFS determines cost basis at the time of sale using its default methods of average cost for open-end mutual funds (except ETFs) and first-in, first-out (FIFO) for all other securities (including ETFs and shares held in dividend reinvestment plans). Customers should consult their tax advisors for further information.

Total Value - The reported market value of an investment at the beginning or end of a statement period. Unrealized gain/loss - For long positions, ending market value minus cost basis in non-retirement accounts and profit or loss is calculated by subtracting purchase cost from sales proceeds using the FIFO method if shares were purchased at different times or prices. Contributions - Traditional IRA and Roth IRA contributions, and taxable and non-taxable retirement distributions for these statement and year-to-date periods.

Holding Type Percentage - Percentages by holding type only include long positions. Holding type percentages are rounded to the nearest percent and summed. If the sum is less than 100%, the difference between 100% and the sum is added to the holding type with the largest percentage.

Change In Investment Value - The appreciation or depreciation of your holdings due to price changes plus any distribution and income earned during the statement period, less any transaction costs, sales charges, or fees. Account Protection - Securities in accounts carried by NFS, a Fidelity Investments company, are protected in accordance with the Securities Investor Protection Corporation (SIPC) up to \$200,000 (including cash claims limited to \$250,000). For more information, including the SIPC brochure, please see www.sipc.org or call 1-202-371-8300. NFS has arranged for additional protection for cash and covered securities to supplement its SIPC coverage. Neither coverage protects against a decline in the market value of securities. Mutual funds and/or other securities are not backed or guaranteed by any bank, nor are they insured by the FDIC and involve investment risk including possible loss of principal. Bank deposits are not securities and are not covered by SIPC. Funds used to purchase or sweep to a bank deposit are SIPC protected until deposited to a Program Bank at which time funds may be eligible for FDIC insurance.

Information About Mutual Funds and Their Performance - Before investing consider the fund's or Fidelity.com for a prospectus containing this information. Read it carefully before investing. Performance data shown represents past performance, and is no guarantee of future results.

Investment return and principal value will fluctuate, so you may have a loss or a gain when shares are sold. Current performance may be higher or lower than that quoted. An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although a money market fund seeks to preserve the value of your investment at \$1.00 per recent month-end performance. Each fund reserves the right to terminate or modify its exchange privilege. Foreign investment, especially those in emerging markets, may involve greater risks than U.S. investments. In connection with access to, purchase of, and/or maintenance of positions in mutual fund and other investment products ("funds"), FBS or NFS may receive the sales load and 12b-1 fees described in the prospectus as well as additional compensation, paid by the funds, their investment advisers or affiliates. Additional information about the source(s) and compensation of compensation as well as other remuneration received by FBS or NFS will be furnished to you upon written request. At the time you purchase shares of funds those shares will be assigned either a load, transaction fee ("TF") or no transaction fee ("NTF") status. When you subsequently sell those shares, any fees applicable to your transaction will be assessed based on the status assigned to the shares at the time of purchase.

Customer Free Credit Balance - You are entitled to your free credit balance in your brokerage account, subject to open commitments in your cash accounts. Free credit balances are not segregated and may be used in NFS's business in accordance with federal securities law. Retirement accounts do not qualify.

Assets Separate From Your Brokerage Account - Only securities in the margin portion of your brokerage accounts contribute to margin and maintenance requirements. Other assets, which may be reported on your statement, including insurance products that are distributed by FBS and Fidelity Insurance Agency, Inc. and mutual fund assets outside your brokerage account (that may be reported on your statement) are not carried by NFS. NFS is not covered by SIPC and do not count toward your margin and maintenance requirements.

Short Account Balances - Securities sold short are held in a segregated short account. These securities are marked-to-market for margin purposes and increase or decrease from the short sale price is transferred weekly to your margin account. Your short account balance is shown as of the last weekly mark-to-market, not statement end date.

Information About Your Option Transactions - Each transaction confirmation contains full information about commissions and other charges. If you require further information, contact Fidelity at 800-800-8890. Assignments of American and European-style options are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise and assignment only during the exercise period.

Equity Dividend Reinvestment - Shares credited to your account from transactions by FBS acting as agent for your account, or the Depository Trust Company (DTC).

Price Information/Total Market Value - The Total Market Value has been calculated to 9 decimal places; however, the individual unit price is displayed in 5 decimal places. The Total Market Value represents prices obtained from various sources, may be impacted by the frequency with which such prices are reported and such prices are not guaranteed. Prices received from pricing vendors are generally based on current market quotes, but when such quotes are not available pricing vendors use a variety of techniques to estimate value. These estimates, particularly for fixed income securities, may be based on certain minimum principal amounts (e.g. \$1 million) and may not reflect all factors that affect the value of the security, including liquidity risk. The prices provided are not firm bids or offers. Certain securities may reflect N/A or unavailable where the price for such security is generally not available from a pricing source. The Market Value of a security, including those priced at par value, may differ from its purchase price and may not closely reflect the value at which the security may be sold or purchased based on various market factors. The sale or redemption of any fixed income security prior to maturity may result in a loss. Prices for Certificates of Deposit (CDs) are generally estimates and are not based on actual market prices. The secondary market for CDs is generally illiquid. You should always request a current valuation for your securities prior to making a financial decision or placing an order.

VALUATION - Alternative Investments - Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate investment trusts which are not listed on any exchange), commodity pools, private equity, private debt and hedge funds are generally illiquid investments and their current values may differ from purchase price. Unless otherwise indicated, the values shown in this statement for such investments have been provided by the management, administrator or sponsor of each program or a third-party vendor without independent verification by Fidelity Brokerage Services (FBS) and represent their estimate of the value of the investor's participation in the program, as of a date no greater than 18 months from the date of this statement. Thus, the estimated values shown herein may not necessarily reflect actual market values or be realized upon liquidation. If an estimated value is not provided, valuation information is not available.

EXECUTING ORDERS ON THE FLOOR - The Floor broker may permit the specialist to trade on behalf of the order for some or all of the executions associated with filling that order, where such permission would not be inconsistent with the brokers best execution obligations.

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME



Investment Report

August 1, 2013 - August 31, 2013

GRIMES & COMPANY
A REGISTERED INVESTMENT ADVISOR

Envelope
 [REDACTED]

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Your Advisor
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 WESTBOROUGH MA 01581-2864
 Phone: (508)366-3883

Brokerage Statement MARK ALLEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVIVORSHIP

Account Summary		Income Summary		Realized Gain/Loss from Sales	
Beginning value as of Aug 1	\$18,994.51	This Period	Year to Date	This Period	Year to Date
Change in investment value	-859.30	Taxable		Long-term gain	\$4,390.74
Ending value as of Aug 31	\$18,135.21	Dividends	\$0.00	\$213.00	\$0.00
Accrued Interest (A/I)	\$0.00				
Change in A/I from last statement	\$0.00				
Holdings (<small>Symbol</small>) as of August 31, 2013		Quantity	Price per Unit	Total Cost Basis	Total Value
Stocks 98% of holdings					
LIVING CELL TECHNOLOGIES NPY	10,000.000	\$0.045	\$507.95	\$484.60	\$445.30
ISIN #AU000000LCL6 SEDOL #B01SS530 (LVCLF)	500.000	16.190	4,123.66	8,440.00	8,095.00
EAI: \$200.00, EV: 2.47%					
LAKE VICTORIA MNG INC COM (LVCA)	25,000.000	0.014	873.30	425.00	350.00
RAHAXI INC COM	20,000.000	-----	145.90	unavailable	unavailable
SIRIUS XM RADIO INC COM (SIRI)	2,500.000	3.580	8,608.95	9,350.00	8,950.00

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Alliegro

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**Investment Report**

August 1, 2013 - August 31, 2013

Brokerage		MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVIVORSHIP			Total Value		
Holdings	(Symbol) as of August 31, 2013	Quantity	Price per Unit	Total Cost Basis	Total Value	August 31, 2013	
Subtotal of Stocks				14,259.76	17,840.30		
Core Account 2% of holdings							
CASH		294.910	1.000	not applicable	294.91	294.91	
Subtotal of Core Account							
Total				\$ 14,259.76	\$18,135.21		

All positions held in cash account unless indicated otherwise.

Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.

Estimated Annual Income (EAI) & Estimated Yield (EY) - EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be higher or lower. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security. For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME



Name | Mark C. Allegro | | Page 520 of 550

Investment Report

August 1, 2013 - August 31, 2013

Brokerage MARK ALLIEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVIVORSHIP

Estimated Cash Flow rolling as of August 31, 2013

The table below presents the estimated monthly interest and dividend income and return of principal that your current holdings may generate over the next rolling 12 months. The cash flows displayed are estimates provided for informational purposes only and there is no guarantee that you will actually receive any of the amounts displayed. These estimates should not be relied upon for making investment, trading or tax decisions. The estimates for fixed rate domestic bond and CD Income are calculated using the security's coupon rate. The estimates for domestic common stock and mutual fund income are calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. There are circumstances in which these estimates will not be presented for a specific security you hold. Please refer to Help/Glossary on Fidelity.com for additional information on these calculations.

<u>Month</u>	<u>Bond & CD Income</u>	<u>Bond & CD Principal</u>	<u>Stock Income</u>	<u>Mutual Fund Income</u>	<u>Total Estimated Cash Flow</u>
Sep 2013	--	--	\$50	--	\$50
Oct 2013	--	--	--	--	--
Nov 2013	--	--	--	--	--
Dec 2013	--	--	\$50	--	\$50
Jan 2014	--	--	--	--	--
Feb 2014	--	--	--	--	--
Mar 2014	--	--	\$50	--	\$50
Apr 2014	--	--	--	--	--
May 2014	--	--	--	--	--
Jun 2014	--	--	\$50	--	\$50
Jul 2014	--	--	--	--	--
<u>Aug 2014</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Total			\$200		\$200

- Not available

Bond & CD Income includes interest payments for fixed rate bonds and Certificates of Deposit (CDs).*Bond & CD Principal* includes maturing principal payments for CDs and the following bonds: fixed rate, floating rate, variable rate, discount, and zero coupon.*Stock Income* includes estimated dividend payments for common stock, ADRs, and REITs.*Mutual Fund Income* includes estimated dividend payments for Fidelity and non-Fidelity mutual funds.

The table above does not include cash flow from the following securities: preferred stocks, international stocks, exchange trade products (ETF's & ETN's), UITs, variable rate bonds, and international bonds, but may be included in future enhancements.

Core Account - Cash	Amount	Balance	Description	Amount	Balance
Description Beginning		\$294.91	Ending		\$294.91



Investment Report

August 1, 2013 - August 31, 2013

Additional Information About Your Investment Report

A copy of your Investment Report is available to:

GRIMES & COMPANY, INC.
110 TURNPIKE RD STE 100
WESTBOROUGH MA 01581

MID ATLANTIC CAPITAL CORP
1251 WATERFRONT PLAZA
PITTSBURGH PA 15222

- ***Alert to fixed income investors (including mutual fund and ETF investors): A rise in interest rates will likely result in a decline in price. To learn about the impact of rising rates visit Fidelity.com/risingrates. 654908. 1.83***

Additional Information and Endnotes

Although Fidelity reports certain cost basis and holding period information to you and to the IRS on your annual Form 1099-B, Fidelity-provided estimated cost basis, gain/loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes. Taxpayers should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption or exchange. Amortization, accretion and similar adjustments to cost basis are provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain fixed income securities, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs).

EAI for fixed rate domestic bonds and CDs is calculated using the coupon rate. For all other securities, EAI is calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. This third party data may not be promptly updated to reflect various corporate actions (for example, when an issuer has missed a scheduled dividend payment, announced changes to a payment schedule, or declared a stock split) and, as a result, the EAI and EY may be over or understated. Interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political and business conditions. **Please refer to the Help/Glossary on Fidelity.com for additional information regarding these calculations.**

EAI and EY are not provided for: preferred stocks, exchange traded products (ETFs & ETNs), UITs, variable rate bonds, and international stocks & bonds, but may be included in the future. EAI is not displayed for amounts less than \$10.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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If you have a question about your account or require service, please call your investment advisor/authorized agent.

Lost or Stolen Cards - For Visa®/Check (Debit/ATM) Cards, call 800-323-5353. For details refer to your Fidelity Check Card Agreement and Disclosure Statement.

Additional Investments with Fidelity - Please make non-retirement checks payable to Fidelity Management Trust Services LLC (FBS), and for retirement accounts make checks payable to Fidelity Management Trust Company. Please include your account number on the check. Do not include trading instructions with check deposits. We mail statements at least quarterly for any account you have. Please review your statement and report any inaccuracies or discrepancies immediately. Questions regarding your brokerage account, or the activity therein should be directed to FBS at 800-344-6868, and National Financial Services LLC ("NFS", who carries your brokerage accounts, at 800-406-1138. Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPAct"). Please advise us promptly of any material changes in your investment objectives or financial situation related to your brokerage account(s). If you request a reprint of your statement, the disclosure information may not be the same as the original.

Terms and Conditions of the Statement - Fidelity does not endorse or recommend any particular investment, monitor or supervise suitability of trading by any investment advisor/authorized agent. Fidelity has not verified the content of any logo or artwork on this statement identifying a firm other than Fidelity. The firm represented by such a logo or artwork is not an agent of or affiliated with Fidelity.

Changes in Portfolio Value and Account Summary - Shows activity in your portfolio and in each of your accounts for the statement period. To confirm that an authorized direct deposit was made to your Fidelity Account, call Fidelity at 1-800-544-5555.

Value by Account - Shows the value of your account(s), for the current and previous statement periods.

Income Summary - Shows income by tax status for the statement and year-to-date periods. Except for interest earned on, or distributed by, tax-exempt securities, Fidelity reports dividends and capital gains held in taxable accounts as taxable income. A portion of this income may be subject to state and local taxes and the federal alternative minimum tax. Fidelity reports earnings on investments in Traditional IRAs, Roth IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings on Roth-IRAs are reported as tax-exempt income, since distributions may be tax-exempt after meeting the five-year aging requirement and certain other conditions.

Cost Basis, Gain/Loss, and Holding Period Information - Cost basis is the original amount paid to purchase specifically disclosed any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information. Unless otherwise specified, NFS determines cost basis at the time of sale using its default methods of average cost for open-end mutual funds (except ETFs) and first-in, first-out (FIFO) for all other securities (including ETFs and shares held in dividend reinvestment plans). Customers should consult their tax advisors for further information.

Contributors/Distributions - Summarizes Traditional IRA and Roth IRA contributions, and taxable and non-taxable retirement distributions for these statement and year-to-date periods.

Total Value - The reported market value of an investment at the beginning or end of a statement period.

Unrealized Gain/Loss - For long positions, ending market value minus cost basis in non-retirement accounts and ending market value minus cost in retirement accounts. For short positions, proceeds minus ending market value. Unrealized gain/loss is calculated for pending sales because they are still in holdings. Unrealized gain/loss is not calculated for pending purchases because they are not in holdings.

Holding Type Percentage - Percentages by holding type only include long positions. Holding type percentages are rounded to the nearest percent and summed. If the sum is less than 100%, the difference between 100% and the sum is added to the holding type with the largest percentage.

Change in Investment Value - The appreciation or depreciation of your holdings due to price changes, plus any distribution and income earned during the statement period, less any transaction costs, sales charges, or fees.

Account Protection - Securities in accounts carried by NFS, a Fidelity Investments company, are protected in accordance with the Securities Investor Protection Corporation (SIPC), up to \$50,000 (including cash claims limited to \$250,000). For more information, including the SIPC brochure, please see www.sipc.org or call 1-800-371-6300. NFS has arranged for additional protection for cash and covered securities to supplement its SIPC coverage. Neither coverage protects against decline in the market value of securities. Mutual funds and/or other securities are not backed or guaranteed by any bank, nor are they insured by the FDIC and involve investment risk including possible loss of principal. Bank deposits are not securities and are not covered by SIPC. Funds used to purchase or sweep to a bank deposit are SIPC protected until deposited to a Program Bank at which time funds may be eligible for FDIC insurance.

Information About Mutual Funds and Their Objectives, Risks, Charges and Expenses - Before investing consider the fund's or insurance product's investment objectives, risks, charges and expenses. Contact Fidelity or visit Fidelity.com for a prospectus containing this information. Read it carefully before investing.

Performance data shown represents past performance, and is no guarantee of future results.

Investment return and principal value will fluctuate, so you may have a loss or a gain when shares are sold. Current performance may be higher or lower than that quoted. An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although a money market fund seeks to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in the fund. Visit Fidelity.com for most recent month-end performance. Each fund reserves the right to terminate or modify its exchange privilege. Foreign investment, especially those in emerging markets, may involve greater risks than U.S. investments. In connection with access to, purchase of, and/or maintenance of positions in mutual fund and other investment products ("funds"), FBS or NFS may receive the sales load and 12b-1 fees described in the prospectus as well as additional compensation, paid by the funds, their investment advisors or affiliates. Additional information about the source(s) and compensation(s) of compensation as well as other remuneration received by FBS or NFS will be furnished to you upon written request. At the time you purchase shares of funds those shares will be assigned either a load, transaction fee ("TF") or no transaction fee ("NTF") status. When you subsequently sell those shares, any fees applicable to your transaction will be assessed based on the status assigned to the shares at the time of purchase.

Customer Free Credit Balance - You are entitled to your free credit balance in your brokerage account, subject to open commitments in accordance with federal securities law. Retirement accounts do not qualify.

Assets Separate From Your Brokerage Account - Only securities in the margin portion of your brokerage accounts contribute to margin and maintenance requirements. Other assets, which may be reported on your statement, including insurance products that are distributed by FBS and Fidelity Insurance Agency, Inc. and mutual fund assets outside your brokerage account (that may be reported on your statement) are not carried by NFS. NFS are not covered by SIPC and do not count toward your margin and maintenance requirements.

Short Account Balances - Securities sold short are held in a segregated short account. These securities are marked-to-market for margin purposes and increase or decrease from the short sale price is transferred weekly to your margin account. Your short account balance is shown as of the last weekly mark-to-market, not statement end date.

Information About Your Option Transactions - Each transaction confirmation contains full information about your account, or the Depository Trust Company (DTC).

Price Information/Total Market Value - The Total Market Value has been calculated to 8 decimal places; however, the individual unit price is displayed in 5 decimal places. The Total Market Value represents prices obtained from various sources, may be impacted by the frequency with which such prices are reported and such prices are not guaranteed. Prices received from pricing vendors are generally based on current market quotes, but when such quotes are not available pricing vendors use a variety of techniques to estimate value. These estimates, particularly for fixed income securities, may be based on certain minimum principal amounts (e.g. \$1 million) and may not reflect all factors that affect the value of the security. Including liquidity risk. The prices provided are not firm bids or offers. Certain securities may remain N/A or unavailable where the price for such security is generally not available from a pricing source. The Market Value of a security, including those priced at par value, may differ from its purchase price and may not closely reflect the value at which the security may be sold or purchased based on various market factors. The sale or redemption of any fixed income security prior to maturity may result in a loss. Prices for Certificates of Deposit (CDs) are generally estimates and are not based on actual market prices. The secondary market for CDs is generally illiquid. You should always request a current valuation for your securities prior to making a financial decision or placing an order.

"A" Alternative Investments - Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate investment trusts which are not listed on any exchange), commodity pools, private equity, private debt and hedge funds are generally illiquid investments and their current values may differ from purchase price. Unless otherwise indicated, the values shown in this statement and for such investments have been provided by the management, administrator or sponsor of each program or a third-party vendor without independent verification by Fidelity Brokerage Services (FBS) and represent their estimate of the value of the investor's participation in the program, as of a date no greater than 18 months from the date of this statement. Thus, the estimated values shown herein may not necessarily reflect actual market values or be realized upon liquidation. If an estimated value is not provided, valuation information is not available. In executing orders on the floor, the floor broker may permit the specialist to trade on behalf of the order for some or all of the executions associated with filling that order, where such permission would not be inconsistent with the brokers best execution obligations.

Fidelity Investments - Fidelity Distributors Corporation (FDC) is the distributor for Fidelity Funds with marketing and shareholder services provided by FBS or NFS. Brokerage services provided by FBS, which clears all transactions through its affiliate NFS. NFS carries all brokerage accounts. FBS and NFS are members of the NYSE and SIPC. NFS and FDC are all direct or indirect subsidiaries of FMR LLC. Upon written request, Fidelity will mail a NFS financial statement, which is also available at its office. Fidelity Investments (with pyramid logo) is a trademark of FMR LLC. Insurance products are distributed by FBS, Fidelity Insurance Agency, Inc., and Fidelity Investments Insurance Agency of Texas, Inc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME



Investment Report

September 1, 2013 - September 30, 2013

GRIMES & COMPANY
A REGISTERED INVESTMENT ADVISOR

Envelope

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EAST FALMOUTH MA 02536-7720

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Your Advisor
GRIMES & COMPANY, INC.
PREMIER CLIENT GROUP
110 TURNPIKE RD STE 100
WESTBOROUGH MA 01581-2864
Phone: (508)366-3883

Brokerage**MARK ALLEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVIVORSHIP****Account Summary**

Beginning value as of Sep 1	\$18,135.21
Change in investment value	1,761.30
Ending value as of Sep 30	\$19,896.51

Accrued Interest (A/I)
Change in A/I from last statement

\$0.00
\$0.00

Realized Gain/Loss from Sales

This Period	Year to Date
Long-term gain	\$0.00
	\$4,390.74

Holdings (Symbol as of September 30, 2013)
Stocks 90% of holdings

	Quantity	Price per Unit	Total Cost Basis	Total Value	Total Value
	September 30, 2013	September 30, 2013	September 1, 2013	September 1, 2013	September 30, 2013
LIVING CELL TECHNOLOGIES NPV ISIN #AU000000LCT6 SEDOL #B01SS530 (LVCLF)	10,000.000	\$0.080	\$507.95	\$445.30	\$804.10
FORD MTR CO DEL COM (F)	500.000	16.870	4,123.66	8,095.00	8,435.00
EAI: \$200.00, EV: 2.37%					
LAKE VICTORIA MNG INC COM (LVCA)	25,000.000	0.025	873.30	350.00	625.00
RAHAKI INC COM	20,000.000	-----	145.90	unavailable	unavailable
SIRIUS XM RADIO INC COM (SIRI)	2,500.000	3.875	8,608.95	8,950.00	9,687.50

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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**Investment Report**

September 1, 2013 - September 30, 2013

Brokerage		MARK ALLEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVIVORSHIP			Total Value		
Holdings	(Symbol) as of September 30, 2013	Quantity	Price per Unit	Total Cost Basis	Total Value	September 30, 2013	
Subtotal of Stocks							
Core Account 2% of holdings							
CASH							
Subtotal of Core Account							
Total							

*All positions held in cash account unless indicated otherwise.**Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.*

Estimated Annual Income (EAI) & Estimated Yield (EY) - EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be higher or lower. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security. For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.



Investment Report

September 1, 2013 - September 30, 2013

Brokerage



MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVORSHIP

Estimated Cash Flow rolling as of September 30, 2013

The table below presents the estimated monthly interest and dividend income and return of principal that your current holdings may generate over the next rolling 12 months. The cash flows displayed are estimates provided for informational purposes only and there is no guarantee that you will actually receive any of the amounts displayed. These estimates should not be relied upon for making investment, trading or tax decisions. The estimates for fixed rate domestic bond and CD Income are calculated using the security's coupon rate. The estimates for domestic common stock and mutual fund Income are calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. There are circumstances in which these estimates will not be presented for a specific security you hold. Please refer to Help/Glossary on Fidelity.com for additional information on these calculations.

<u>Month</u>	<u>Bond & CD Income</u>	<u>Bond & CD Principal</u>	<u>Stock Income</u>	<u>Mutual Fund Income</u>	<u>Total Estimated Cash Flow</u>
Oct 2013	--	--	--	--	--
Nov 2013	--	--	--	--	--
Dec 2013	--	--	\$50	--	\$50
Jan 2014	--	--	--	--	--
Feb 2014	--	--	--	--	--
Mar 2014	--	--	--	\$50	\$50
Apr 2014	--	--	--	--	--
May 2014	--	--	--	\$50	\$50
Jun 2014	--	--	\$50	--	\$50
Jul 2014	--	--	--	--	--
Aug 2014	--	--	--	\$50	\$50
Sep 2014	--	--	\$50	--	\$50
Total			\$200		\$200

- not available

Bond & CD Income includes interest payments for fixed rate bonds and Certificates of Deposit (CDs).*Bond & CD Principal* includes maturing principal payments for CDs and the following bonds: fixed rate, floating rate, variable rate, discount, and zero coupon.*Stock Income* includes estimated dividend payments for common stock, ADR's, and REITs.*Mutual Fund Income* includes estimated dividend payments for Fidelity and non-Fidelity mutual funds.*The table above does not include cash flow from the following securities:* preferred stocks, international stocks, exchange trade products (ETF's & ETN's), UTs, variable rate bonds, and international bonds, but may be included in future enhancements.

Transaction Details

(for holdings with activity this period)

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Alliegro

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**Investment Report**

September 1, 2013 - September 30, 2013

Brokerage**MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVORSHIP****Brokerage Activity**

Settlement Date	Security Description	Quantity	Price per Unit	Transaction Amount
9/03	FORD MTR CO DEL COM			\$50.00

Core Account - Cash

Amount	Balance	Description	Amount	Balance
\$50.00	\$294.91	Beginning		

Daily Additions and Subtractions Cash @ \$1 per share (the following is provided to you in accordance with industry regulations)

Date	Amount	Balance	Date	Amount	Balance
9/03	\$50.00	\$344.91			

Additional Information About Your Investment Report

A copy of your Investment Report is available to:

GRIMES & COMPANY, INC.
110 TURNPIKE RD STE 100
WESTBOROUGH MA 01581

MID ATLANTIC CAPITAL CORP
1251 WATERFRONT PLAZA
PITTSBURGH PA 15222

- Alert to fixed income investors (including mutual fund and ETF investors): A rise in interest rates will likely result in a decline in price. To learn about the impact of rising rates visit Fidelity.com/risingrates. 654908.1.83

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name | **Mark C. Allegro** | Page 520 of 550



Investment Report

September 1, 2013 - September 30, 2013

Additional Information and Endnotes

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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If you have a question about your account or require service, please call your investment advisor/authorized agent.

Last or Stolen Cards - For Visa & Check (DebitATM) Cards, call 800-323-5353. For details refer to your Fidelity Check Card Agreement and Disclosure Statement.

Additional Investments with Fidelity - Please make non-retirement checks payable to Fidelity Management Trust Company. Please include your account number on the check. Do not include trading instructions with check deposits. We mail statements at least quarterly for any account, or by request. Please review your statement and report any inaccuracies or discrepancies immediately. Questions regarding your brokerage account, or the activity therein should be directed to FBS at 800-564-8866, and National Financial Services LLC ("NFS", who carries your brokerage accounts), at 800-408-1138. Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPA"). Please advise us promptly of any material changes in your investment objectives or financial situation related to your brokerage account(s). If you request a reprint of your statement, the disclosure information may not be the same as the original.

Terms and Conditions of the Statement - Fidelity does not endorse or recommend any particular investment, monitor or supervise suitability of trading by any investment advisor/authorized agent. Fidelity has not verified the content of any logo or artwork on this statement identifying a firm other than Fidelity. The firm represented by such a logo or artwork is not an agent or affiliated with Fidelity.

Changes In Portfolio Value and Account Summary - Shows activity in your portfolio and in each of your accounts for the statement period. To confirm that an authorized, direct deposit was made to your Fidelity Account, call Fidelity at 1-800-544-5555.

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Income Summary - Shows income by tax status for the statement and year-to-date periods. Except for interest earned on, or distributed by, tax-exempt securities, Fidelity reports dividends and capital gains held in taxable accounts as taxable income. A portion of this income may be subject to state and local taxes and the federal alternative minimum tax. Fidelity reports earnings on investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings on Roth IRAs are reported as tax-exempt income, since distributions may be tax-exempt after meeting the five-year holding requirement and certain other conditions.

Cost Basis, Gain/Loss, and Holding Period Information - Cost basis is the original amount paid to purchase a security, including the amount of reinvested dividends and capital gains. Generally, we adjust cost basis for events such as returns of capital (including dividend reclassifications) and disallowed losses on wash sales on identical securities within the same account. NFS is required to report certain cost basis and holding period information to the IRS on Form 1099-B. However, cost basis, realized or unrealized gain and loss and holding period information may not reflect all adjustments required for your tax reporting purposes. NFS and Fidelity specifically disclaim any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information. Unless otherwise specified, NFS determines cost basis at the time of sale using its default methods of average cost for open-end mutual funds (except ETFs) and first-in, first-out (FIFO) for all other securities (including ETFs and shares held in dividend reinvestment plans). Customers should consult their tax advisors for further information.

Cost - Fidelity provides purchase cost information for securities held in retirement accounts. Such information may be adjusted for certain transactions and does not reflect reinvestments of dividends or capital gains. Fidelity reports transaction profit or loss information when securities are sold within a retirement account. Transaction profit or loss is calculated by subtracting purchase cost from sales proceeds using the FIFO method if shares were purchased at different times or prices.

Contributions/Distributions - Summarizes Traditional IRA and Roth IRA contributions, and taxable and non-taxable retirement distributions for these statement and year-to-date periods.

Total Value - The reported market value of an investment at the beginning or end of a statement period.

Unrealized Gain/Loss - For long positions, ending market value minus cost basis in non-retirement accounts and ending market value minus cost in retirement accounts. For short positions, proceeds minus ending market value. Unrealized gain/loss is calculated for pending sales because they are still in holdings. Unrealized gain/loss is not calculated for pending purchases because they are not in holdings.

Holding Type Percentage - Percentages by holding type only include long positions. Holding type percentages are rounded to the nearest percent and summed. If the sum is less than 100%, the difference between 100% and the sum is added to the holding type with the largest percentage.

Change in Investment Value - The appreciation or depreciation of your holdings due to price changes, plus any distribution and income earned during the statement period, less any transaction costs, sales charges, or fees. Account Protection - Securities in accounts carried by NFS, a Fidelity Investments company, are protected in accordance with the Securities Investor Protection Corporation (SIPC) up to \$500,000 (including cash claims limited to \$250,000). For more information, including the SIPC brochure, please see www.sipc.org or call 1-800-371-8300. NFS has arranged for additional protection for cash and covered securities to supplement its SIPC coverage. Neither coverage protects against a decline in the market value of securities. Mutual funds and/or other securities are not backed or guaranteed by any bank, nor are they insured by the FDIC and involve investment risk including possible loss of principal. Bank deposits are not securities and are not covered by SIPC. Funds used to purchase or sweep to a bank deposit are SIPC protected until deposited to a Program Bank at which time funds may be eligible for FDIC insurance. Before investing consider the fund's or Fidelity.com for a prospectus containing this information. Read it carefully before investing.

Investment return and principal value will fluctuate, so you may have a loss or a gain when shares are sold. Current performance may be higher or lower than that quoted. An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although a money market fund seeks to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in the fund. Visit Fidelity.com for most recent month-end performances. Each fund reserves the right to terminate or modify its exchange privilege.

Foreign investment, especially those in emerging markets, may involve greater risks than U.S. investments. In connection with access to purchase of and/or maintenance of positions in mutual fund and other investment products ("funds"), FBS or NFS may receive the sales load and 12b-1 fees described in the prospectus as well as additional compensation, paid by the funds, their investment advisers or affiliates. Additional information about the source(s) and amount(s) of compensation as well as other remuneration received by FBS or NFS will be furnished to you upon written request. At the time you purchase shares of funds those shares will be assigned either a load, transaction fee ("LF") or no transaction fee ("NTF") status. When you subsequently sell those shares, any fees applicable to your transaction will be assessed based on the status assigned to the shares at the time of purchase.

Customer Free Credit Balance - You are entitled to your free credit balance in your brokerage account, subject to open commitments with federal securities law. Retirement accounts do not qualify. Accounts Separate From Your Brokerage Account - Only securities in the margin portion of your brokerage accounts contribute to margin and maintenance requirements. Other assets, which may be reported on your statement, including insurance products that are distributed by FBS and Fidelity Insurance Agency, Inc. and mutual fund assets outside your brokerage account (that may be reported on your statement) are not carried by NFS. NFS are not covered by SIPC and do not count toward your margin and maintenance requirements.

Short Account Balances - Securities sold short are held in a segregated short account. These securities are marketed-to-market for margin purposes and increase or decrease from the short sale price is transferred weekly to your margin account. Your short account balance is shown as of the last weekly mark-to-market, not statement end date.

Information About Your Option Transactions - Each transaction confirmation contains full information about commissions and other charges. If you require further information, contact Fidelity at 800-800-6890. Assignments of American and European-style options are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise and assignment only during the exercise period.

Equity Dividend Reinvestment - Shares credited to your account from transactions by FBS acting as agent for your account, or the Depository Trust Company (DTC).

Price Information/Total Market Value - The Total Market Value has been calculated to 9 decimal places; however, the individual unit price is displayed in 5 decimal places. The Total Market Value represents prices obtained from various sources, may be impacted by the frequency with which such prices are reported and such prices are not guaranteed. Prices received from pricing vendors are generally based on current market quotes, but when such quotes are not available pricing vendors use a variety of techniques to estimate value. These estimates, particularly for fixed income securities, may be based on certain minimum principal amounts (e.g. \$1 million) and may not reflect all factors that affect the value of the security, including liquidity risk. The prices provided are not firm bids or offers. Certain securities may reflect N/A or unavailable where the price for such security is generally not available or a pricing source. The Market Value of a security, including those priced at par value, may differ from its purchase price and may not closely reflect the value at which the security may be sold or purchased based on various market factors. The sale or redemption of any fixed income security prior to maturity may result in a loss. Prices for Certificates of Deposit (CDs) are generally estimates and are not based on actual market prices. The secondary market for CDs is generally illiquid. You should always request a current value for your securities prior to making a financial decision or placing an order.

(A) Alternative Investments - Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate investment trusts which are not listed on any exchange), commodity pools, private equity, private debt and hedge funds are generally illiquid investments and their current values may differ from purchase price. Unless otherwise indicated, the values shown in this statement for such investments have been provided by the management, administrator or sponsor of each program or a third-party vendor without independent verification by Fidelity Brokerage Services ("FBS") and represent their estimate of the value of the investor's participation in the program, as of a date no greater than 18 months from the date of this statement. Thus the estimated values shown herein may not necessarily reflect actual market values or be realized upon liquidation. If an estimated value is not provided, valuation information is not available.

Investments have been provided by the specialist to trade on parity with the order for some or all of the executions associated with filling that order, where such permission would not be inconsistent with the brokers best execution obligations.

Fidelity Investments - Fidelity Distributors Corporation (FDC) is the distributor for Fidelity Funds with marketing and shareholder services provided by FBS or NFS. Brokerage services are provided by FBS, which clears all transactions through its affiliate NFS. NFS carries all brokerage accounts. FBS and NFS are members of the NYSE and SIPC. NFS and FDC are all direct or indirect subsidiaries of FMR LLC. Upon written request, Fidelity will mail a NFS financial statement, which is also available at its office. Fidelity Investments (with pyramid logo) is a trademark of FMR LLC. Insurance products are distributed by FBS. Fidelity Insurance Agency, Inc. and Fidelity Investments Insurance Agency of Texas, Inc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME



Investment Report

October 1, 2013 - October 31, 2013

GRIMES COMPANY

A REGISTERED INVESTMENT ADVISOR

Envelope

MARK ALLEGRO

MARY ANNE ALLEGRO

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EAST FALMOUTH MA 02536-7720

Your Advisor

GRIMES & COMPANY, INC.

PREMIER CLIENT GROUP

110 TURNPIKE RD STE 100

WESTBOROUGH MA 01581-2864

Phone: (508)366-3883

Brokerage

MARK ALLEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVIVORSHIP

Account Summary

Beginning value as of Oct 1
Change in investment value
Ending value as of Oct 31

\$19,896.51
-181.90
\$19,714.61

Taxable

Dividends

\$0.00

Long-term gain

This Period

Year to Date

Total Value

October 31, 2013

Realized Gain/Loss from Sales

Income Summary

This Period

Long-term gain

This Period

Year to Date

October 31, 2013

Year to Date

Total Value

October 31, 2013

Accrued Interest (A/I)
Change in A/I from last statement

\$0.00
\$0.00

Holdings (Symbol as of October 31, 2013)

Quantity
October 31, 2013

Price per Unit
October 31, 2013

Total Cost Basis
October 1, 2013

Total Value
October 31, 2013

LIVING CELL TECHNOLOGIES NPV (ISIN #AU0000000000 CT6 SEDOL #B01SS530 (LVCLF))	10,000.000	\$0.085	\$507.95	\$804.10	\$852.20
FORD MTR CO DEL COM (F)	500.000	17.110	4,123.66	8,435.00	8,555.00
EAi: \$200.00, EV: 2.34%					
LAKE VICTORIA MNG INC COM (LVCA)	25,000.000	0.022	873.30	625.00	550.00
RAHAXI INC COM	20,000.000	-----	145.90	unavailable	unavailable
SIRIUS XM RADIO INC COM (SIRI)	2,500.000	3.765	8,608.95	9,687.50	9,412.50

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Alliegro

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Investment Report

October 1, 2013 - October 31, 2013

Brokerage	MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVIVORSHIP			Total Value October 31, 2013
Holdings (Symbol) as of October 31, 2013	Quantity October 31, 2013	Price per Unit October 31, 2013	Total Cost Basis October 1, 2013	
Subtotal of Stocks			14,259.76	\$19,369.70
Core Account 2% of holdings				
CASH			344.91	344.91
Subtotal of Core Account			344.91	344.91
Total			\$ 14,259.76	\$19,714.61

Total

*All positions held in cash account unless indicated otherwise.**Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.*

Estimated Annual Income (EAI) & Estimated Yield (EY) - EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be higher or lower. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security. For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.



Name | Mark C. Allegro | | | Page 53/ of 550

Investment Report

October 1, 2013 - October 31, 2013

Brokerage



MARK ALLIEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVORSHIP

Estimated Cash Flow rolling as of October 31, 2013

The table below presents the estimated monthly interest and dividend income and return of principal that your current holdings may generate over the next rolling 12 months. The cash flows displayed are estimates provided for informational purposes only and there is no guarantee that you will actually receive any of the amounts displayed. These estimates should not be relied upon for making investment, trading or tax decisions. The estimates for fixed rate domestic bond and CD Income are calculated using the security's coupon rate. The estimates for domestic common stock and mutual fund income are calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. There are circumstances in which these estimates will not be presented for a specific security you hold. Please refer to Help/Glossary on Fidelity.com for additional information on these calculations.

<u>Month</u>	<u>Bond & CD Income</u>	<u>Bond & CD Principal</u>	<u>Stock Income</u>	<u>Mutual Fund Income</u>	<u>Total Estimated Cash Flow</u>
Nov 2013	--	--	--	--	--
Dec 2013	--	--	\$50	--	\$50
Jan 2014	--	--	--	--	--
Feb 2014	--	--	--	--	--
Mar 2014	--	--	--	\$50	\$50
Apr 2014	--	--	--	--	--
May 2014	--	--	--	--	--
Jun 2014	--	--	\$50	--	\$50
Jul 2014	--	--	--	--	--
Aug 2014	--	--	--	--	--
Sep 2014	--	--	\$50	--	\$50
<u>Oct 2014</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>\$200</u>
Total					\$200

- not available

Bond & CD Income includes interest payments for fixed rate bonds and Certificates of Deposit (CDs).

Bond & CD Principal includes maturing principal payments for CDs and the following bonds: fixed rate, floating rate, variable rate, discount, and zero coupon.

Stock Income includes estimated dividend payments for common stock, ADR's, and REITs.

Mutual Fund Income includes estimated dividend payments for Fidelity and non-Fidelity mutual funds.

The table above does not include cash flow from the following securities: preferred stocks, international stocks, exchange trade products (ETF's & ETN's), UITs, variable rate bonds, and international bonds, but may be included in future enhancements.

Core Account - Cash

Description	Amount	Description	Amount
Beginning	\$344.91	Ending	\$344.91



Investment Report

October 1, 2013 - October 31, 2013

Additional Information About Your Investment Report

A copy of your Investment Report is available to:

GRIMES & COMPANY, INC.
110 TURNPIKE RD STE 100
WESTBOROUGH MA 01581

MID ATLANTIC CAPITAL CORP
1251 WATERFRONT PLAZA
PITTSBURGH PA 15222

Additional Information and Endnotes

Although Fidelity reports certain cost basis and holding period information to you and to the IRS on your annual Form 1099-B, Fidelity-provided estimated cost basis, gain/loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes. Taxpayers should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption or exchange. Amortization, accretion and similar adjustments to cost basis are provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain fixed income securities, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs).

EAI for fixed rate domestic bonds and CDs is calculated using the coupon rate. For all other securities, EAI is calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. This third party data may not be promptly updated to reflect various corporate actions (for example, when an issuer has missed a scheduled dividend payment, announced changes to a payment schedule, or declared a stock split) and, as a result, the EAI and EY may be over or understated. Interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political and business conditions. Please refer to the Help/Glossary on Fidelity.com for additional information regarding these calculations.

EAI and EY are not provided for: preferred stocks, exchange traded products (ETFs & ETNs), UTIs, variable rate bonds, and international stocks & bonds, but may be included in the future. EAI is not displayed for amounts less than \$10.



GRIMES & COMPANY

A REGISTERED INVESTMENT ADVISOR



Envelope

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Phone: (508)366-3883

Brokerage MARK ALLEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVIVORSHIP

Account Summary

Beginning value as of Nov 1	\$19,714.61
Change in investment value	4.80
Ending value as of Nov 30	\$19,719.41

Accrued Interest (AI)
Change in AI from last statement

\$0.00
\$0.00

Realized Gain/Loss from Sales

	This Period	Year to Date	This Period	Year to Date
Long-term gain			\$0.00	\$4,390.74

Holdings (symbol) as of November 30, 2013	Quantity	Price per Unit	Total Cost Basis	November 1, 2013	Total Value	November 30, 2013
Stocks 98% of holdings						
LIVING CELL TECHNOLOGIES NPY ISIN #AU000000LCT6 SEDOL #B01S530 (LVCLF)	10,000.000	\$0.096	\$507.95	\$852.20	\$959.50	
FORD MTR CO DEL COM (F) EAI: \$200.00, EV: 2.34%	500.000	17.080	4,123.66	8,555.00	8,540.00	
LAKE VICTORIA MNG INC COM (LVCA)	25,000.000	0.018	873.30	550.00	450.00	
RAHAXI INC COM	20,000.000	-----	145.90	unavailable	unavailable	
SIRIUS XM HLDGS INC COM (SIRI)	2,500.000	3.770	8,608.95	9,412.50	9,425.00	

Name | Mark C. Allegro | Page 534 of 550

Investment Report

November 1, 2013 - November 30, 2013

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name **Mark C. Allegro** Page **535** of **550****Investment Report**

November 1, 2013 - November 30, 2013

Brokerage		MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVORSHIP				Total Value November 30, 2013	
Holdings (Symbol) as of November 30, 2013	Quantity November 30, 2013	Price per Unit November 30, 2013	Total Cost Basis November 30, 2013	Total Value November 1, 2013	Quantity November 30, 2013	Price per Unit November 30, 2013	Total Value November 30, 2013
Subtotal of Stocks			14,259.76				19,374.50
Core Account 2% of holdings							
CASH	344.910	1.000	not applicable	344.91	344.91	344.91	344.91
Subtotal of Core Account				\$ 14,259.76			\$19,719.41
Total							

All positions held in cash account unless indicated otherwise.

Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.

Estimated Annual Income (EAI) & Estimated Yield (EY) - EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be higher or lower. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security. For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.



Investment Report

November 1, 2013 - November 30, 2013

Brokerage ~~MARK ALLIEGRO~~ MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVIVORSHIP**Estimated Cash Flow** rolling as of November 30, 2013

The table below presents the estimated monthly interest and dividend income and return of principal that your current holdings may generate over the next rolling 12 months. The cash flows displayed are estimates provided for informational purposes only and there is no guarantee that you will actually receive any of the amounts displayed. These estimates should not be relied upon for making investment, trading or tax decisions. The estimates for fixed rate domestic bond and CD Income are calculated using the security's coupon rate. The estimates for domestic common stock and mutual fund income are calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. There are circumstances in which these estimates will not be presented for a specific security you hold. Please refer to Help/Glossary on Fidelity.com for additional information on these calculations.

<u>Month</u>	<u>Bond & CD Income</u>	<u>Bond & CD Principal</u>	<u>Stock Income</u>	<u>Mutual Fund Income</u>	<u>Total Estimated Cash Flow</u>
Dec 2013	--	--	\$50	--	\$50
Jan 2014	--	--	--	--	--
Feb 2014	--	--	--	--	--
Mar 2014	--	--	\$50	--	\$50
Apr 2014	--	--	--	--	--
May 2014	--	--	--	--	--
Jun 2014	--	--	\$50	--	\$50
Jul 2014	--	--	--	--	--
Aug 2014	--	--	--	--	--
Sep 2014	--	--	\$50	--	\$50
Oct 2014	--	--	--	--	--
<u>Nov 2014</u>	--	--	--	--	--
Total			\$200		\$200

-- not available

Bond & CD Income includes interest payments for fixed rate bonds and Certificates of Deposit (CDs).**Bond & CD Principal** includes maturing principal payments for CDs and the following bonds: fixed rate, floating rate, variable rate, discount, and zero coupon.**Stock Income** includes estimated dividend payments for common stock, ADRs, and REITs.**Mutual Fund Income** includes estimated dividend payments for Fidelity and non-Fidelity mutual funds.

The table above does not include cash flow from the following securities: preferred stocks, international stocks, exchange trade products (ETF's & ETN's), UITs, variable rate bonds, and international bonds, but may be included in future enhancements.

Transaction Details

(for holdings with activity this period)

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro**

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Investment Report

November 1, 2013 - November 30, 2013

Brokerage ~~MARK ALLIEGRO~~ **MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVIVORSHIP****Brokerage Activity**

Settlement Date	Security	Description	Quantity	Price per Unit	Transaction Amount
11/15	SIRIUS XM RADIO INC COM INC TO 82968B103 #REOR M0050822040000	Name changed	-2,500.000	\$0.00	\$0.00
11/15	SIRIUS XM HLDGS INC COM INC FROM 82967N108 #REOR M005082204001	Name changed	2,500.000	0.00	0.00

Core Account - Cash

Description	Amount	Balance	Description	Amount	Balance
Beginning	\$344.91		Ending	\$344.91	

Additional Information About Your Investment Report

A copy of your Investment Report is available to:

GRIMES & COMPANY INC.
 110 TURNPIKE RD STE 100
 WESTBOROUGH MA 01581

MID ATLANTIC CAPITAL CORP
 1251 WATERFRONT PLAZA
 PITTSBURGH PA 15222

- Alert to fixed income investors (including mutual fund and ETF investors): A rise in interest rates will likely result in a decline in price. To learn about the impact of rising rates visit Fidelity.com/risingrates. 654908.1.83



Investment Report

November 1, 2013 - November 30, 2013

Additional Information and Endnotes

EAI for fixed rate domestic bonds and CDs is calculated using the coupon rate. For all other securities, EAI is calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. This third party data may not be promptly updated to reflect various corporate actions (for example, when an issuer has missed a scheduled dividend payment, announced changes to a payment schedule, or declared a stock split) and, as a result, the EAI and EY may be over or undersated. Interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political and business conditions. Please refer to the Help/Glossary on Fidelity.com for additional information regarding these calculations.

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SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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If you have a question about your account or require service, please call your investment advisor/authorized agent.

Lost or Stolen Cards - For Visa ® Check (DebitATM) Cards, call 800-323-5353. For details refer to your Fidelity Check Card Agreement and Disclosure Statement.

Additional Investments with Fidelity - Please make non-retirement checks payable to Fidelity Management Trust Services LLC (FBS) and for retirement accounts make checks payable to Fidelity Brokerage Service, Inc. (FBS).

Company. Please include your account number on the check. Do not include trading instructions with check deposits. We mail statements at least quarterly for any account with a balance. Please review your statement and report any inaccuracies or discrepancies immediately. Questions regarding your brokerage account, or the activity therein should be directed to FBS at 800-544-6866, and National Financial Services LLC ("NFS"), who carries your brokerage accounts, at 866-408-1138. Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPA"). Please advise us promptly of any material changes in your investment objectives or financial situation related to your brokerage account(s). If you request a reprint of your statement, the disclosure information may not be the same as the original.

Terms and Conditions of the Statement - Fidelity does not endorse or recommend any particular investment, trading activity, or investment advisor/authorized agent. Fidelity has not verified the content of any logo or artwork on this statement identifying a firm other than Fidelity. The firm represented by such a logo or artwork is not an agent of or affiliated with Fidelity.

Changes in Portfolio Value and Account Summary - Shows activity in your portfolio and in each of your accounts for the statement period. To confirm that an authorized direct deposit was made to your Fidelity Account, call Fidelity at 1-800-544-6866.

Value by Account - Shows the value of your account(s), for the current and previous statement periods. Income Summary - Shows income held by tax status for the statement and year-to-date periods. Except for interest earned on, or distributed by, tax-exempt securities, Fidelity reports dividends and capital gains held in taxable accounts as taxable income. A portion of this income may be subject to state and local taxes and the federal alternative minimum tax. Fidelity reports earnings on investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings on Roth-IRAs are reported as tax-exempt income, since distributions may be tax-exempt after meeting the five-year aging requirement and certain other conditions.

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Contributions/Distributions - Summarizes Traditional IRA and Roth IRA contributions, and taxable and non-taxable retirement distributions for these statement and year-to-date periods. Total Value - The reported market value of an investment at the beginning or end of a statement period. Unrealized Gain/Loss - For long positions, ending market value minus cost in non-retirement accounts and ending market value minus cost in retirement accounts. For short positions, proceeds minus ending market value. Unrealized gain/loss is calculated for pending sales because they are still in holdings. Unrealized gain/loss is not calculated for pending purchases because they are not in holdings.

Holding Type Percentage - Percentages by holding type only include long positions. Holding type percentages are rounded to the nearest percent and summed. If the sum is less than 100%, the difference between 100% and the sum is added to the holding type with the largest percentage.

Change In Investment Value - The appreciation or depreciation of your holdings due to price changes, plus any dividends, earned during the statement period, less any transaction costs, sales charges, or fees. Account Protection - Securities in accounts carried by NFS, a Fidelity Investments company, are protected in accordance with the Securities Investor Protection Corporation (SIPC) up to \$500,000 (including cash claims limited to \$250,000). For more information, including the SIPC brochure, please see www.sipc.org or call 1-800-371-8300. NFS has arranged for additional protection for cash and covered securities to supplement its coverage. Neither coverage protects against a decline in the market value of securities. Mutual funds and/or other securities are not backed or guaranteed by any bank, nor are they insured by the FDC and involve investment risk including possible loss of principal. Bank deposits are not securities and are not covered by SIPC. Funds used to purchase or sweep to a bank deposit are SIPC protected until deposited to a Program Bank at which time funds may be eligible for FDIC insurance.

Information About Mutual Funds and Their Performance - Before investing consider the fund's or Fidelity.com for a prospectus containing this information. Read it carefully before investing.

Investment return and principal value will fluctuate, so you may have a loss or a gain in when shares are sold. Current performance may be higher or lower than that quoted. An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. It is possible to lose money by investing in the fund. Visit Fidelity.com for more information about recent month-end performance. Each fund reserves the right to terminate or modify its exchange privilege. Foreign investment, especially those in emerging markets, may involve greater risks than U.S. investments. In connection with access to, purchase of, and/or maintenance of positions in mutual fund and other investment products ("funds"), FES or NFS may receive the sales load and 12b-1 fees described in the prospectus as well as additional compensation, paid by the funds, their investment advisors or affiliates. Additional information about the source(s) and amount(s) of compensation as well as other remuneration received by FES or NFS will be furnished to you upon written request. At the time you purchase shares of funds those shares will be assigned either a load, transaction fee ("LTF") or no transaction fee (NTF) status. When you subsequently sell those shares, any fees applicable to your transaction will be assessed based on the status assigned to the shares at the time of purchase.

Customer Free Credit Balance - You are entitled to your free credit balance in your brokerage account, subject to open commitments in your cash accounts. Free credit balances are not segregated and may be used in NFS's business in accordance with federal securities law. Retirement accounts do not qualify. Accounts Separate From Your Brokerage Account - Only securities in the margin portion of your brokerage statement contribute to margin and maintenance requirements. Other assets, which may be reported on your statement, including insurance products that are distributed by FBS and Fidelity Insurance Agency, Inc. and mutual fund assets outside your brokerage account (that may be reported on your statement) are not carried by NFS. NFS are not covered by SIPC and do not count toward your margin and maintenance requirements.

Short Account Balances - Securities sold short are held in a segregated short account. These securities are marked-to-market for margin purposes and increase or decrease from the short sale price is transferred weekly to your margin account. Your short account balance is shown as of the last weekly mark-to-market, not statement end date.

Information About Your Option Transactions - Each transaction confirmation contains full information about your account. The writer of a European-style option is subject to exercise and assignment only during the exercise period.

Equity Dividend Reinvestment - Shares credited to your account from transactions by FBS acting as agent for your account, or the Depository Trust Company (DTC).

Price Information/Total Market Value - The Total Market Value has been calculated to 9 decimal places; however, the individual unit price is displayed in 5 decimal places. The Total Market Value represents prices obtained from various sources, may be impacted by the frequency with which such prices are reported and such prices are not guaranteed. Prices received from pricing vendors are generally based on current market quotes, but when such quotes are not available pricing vendors use a variety of techniques to estimate value. These estimates for principal amounts (e.g. \$1 million) and may not reflect all factors that affect the value of the security, including liquidity risk. The prices provided are not firm bids or offers. Certain securities may reflect N/A or unavailable where the price for such security is generally not available from a pricing source. The Market Value of a security, including those priced at par value, may differ from its purchase price and may not closely reflect the value at which the security may be sold or purchased based on various market factors. The sale or redemption of any fixed income securities prior to maturity may result in a loss. Prices for Certificates of Deposit (CDs) are generally estimates and are not based on actual market prices. The secondary market for CDs is generally illiquid. You should always request a current valuation for your securities prior to making a financial decision or placing an order.

(A) Alternative Investments - Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate investment trusts which are not listed on any exchange), commodity pools, private equity, private debt and hedge funds are generally illiquid investments and their current values may differ from purchase price. Unless otherwise indicated, the values shown in this statement for such investments have been provided by the management, administrator or sponsor of each program or a third-party vendor without independent verification by Fidelity Brokerage Services (FBS) and represent their estimate of the value of the investor's participation in the program, as of a date no greater than 18 months from the date of this statement. Thus, the estimated values shown herein may not necessarily reflect actual market values or be reflected upon liquidation. If an estimated value is not provided, valuation information is not available.

In executing orders on the floor, the floor broker may permit the specialist to trade on behalf of the order for some or all of the executions associated with filling that order, where such permission would not be inconsistent with the brokers best execution obligations. Fidelity Investments' (FDC) is the distributor for Fidelity Funds with marketing and shareholder services provided by FBS or NFS. Brokerage services are provided by FBS, which clears all transactions through its affiliate NFS. NFS carries all brokerage accounts. FES and NFS are members of the NYSE and SIPC. FES, NFS and FDC are all direct or indirect subsidiaries of FMR LLC. Upon written request, Fidelity will mail a NFS financial statement, which is also available at its office. Fidelity Investments (with pyramid logo) is a trademark of FMR LLC. Insurance products are distributed by FES, Fidelity Insurance Agency, Inc., and Fidelity Investments Insurance Agency of Texas, Inc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME



Investment Report

December 1, 2013 - December 31, 2013

GRIMES & COMPANY
A REGISTERED INVESTMENT ADVISOR

Envelope

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Phone: (508)366-3883

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WESTBOROUGH MA 01581-2864
Phone: (508)366-3883

Brokerage MARK ALLEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVORSHIP

Account Summary

Beginning value as of Dec 1	\$19,719.41
Change in investment value	-1,911.70
Ending value as of Dec 31	\$17,807.71

Accrued Interest (A1)	\$0.00
Change in A1 from last statement	\$0.00

Realized Gain/Loss from Sales

This Period	Year to Date
Long-term gain	\$0.00
Dividends	\$4,390.74

Holdings (Symbol) as of December 31, 2013	Quantity	Price per Unit	Total Cost Basis	Total Value	Total Value
	December 31, 2013	December 31, 2013	December 1, 2013	December 1, 2013	December 31, 2013
Stocks 98% of holdings					
LIVING CELL TECHNOLOGIES NPV ISIN #AU000000LCT6 SEDOL #B01SS30 (LVCLF)	10,000.000	\$0.070	\$507.95	\$959.50	\$697.80
FORD MTR CO DEL COM (F)	500.000	15.430	4,123.66	8,540.00	7,715.00
EAI: \$200.00, EV: 2.59%					
LAKE VICTORIA MNG INC COM (LVCA)	25,000.000	0.011	873.30	450.00	275.00
RAXXI INC COM	20,000.000	-----	145.90	unavailable	unavailable
SIRIUS XM HOLDGS INC COM (SIRI)	2,500.000	3.490	8,608.95	9,425.00	8,725.00

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

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Investment Report

December 1, 2013 - December 31, 2013

Brokerage	MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVORSHIP			Total Value
Holdings (Symbol) as of December 31, 2013	Quantity December 31, 2013	Price per Unit December 31, 2013	Total Cost Basis December 1, 2013	Total Value December 31, 2013
Subtotal of Stocks			14,259.76	17,412.80
Core Account 2% of holdings				
CASH				
Subtotal of Core Account				
Total			\$ 14,259.76	\$17,807.71

All positions held in cash account unless indicated otherwise.
 Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.

Estimated Annual Income (EAI) & Estimated Yield (EY) - EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be higher or lower. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security. For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName | **Mark C. Allegro** | | Page 542 of 550**Investment Report**

December 1, 2013 - December 31, 2013

Brokerage**MARK ALLIEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVORSHIP****Estimated Cash Flow** rolling as of December 31, 2013

The table below presents the estimated monthly interest and dividend income and return of principal that your current holdings may generate over the next rolling 12 months. The cash flows displayed are estimates provided for informational purposes only and there is no guarantee that you will actually receive any of the amounts displayed. These estimates should not be relied upon for making investment, trading or tax decisions. The estimates for fixed rate, domestic bond and CD income are calculated using the security's coupon rate. The estimates for domestic common stock and mutual fund income are calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. There are circumstances in which these estimates will not be presented for a specific security you hold. Please refer to Help/Glossary on Fidelity.com for additional information on these calculations.

<u>Month</u>	<u>Bond & CD Income</u>	<u>Bond & CD Principal</u>	<u>Stock Income</u>	<u>Mutual Fund Income</u>	<u>Total Estimated Cash Flow</u>
Jan 2014	--	--	--	--	--
Feb 2014	--	--	--	--	--
Mar 2014	--	--	\$50	--	\$50
Apr 2014	--	--	--	--	--
May 2014	--	--	--	--	--
Jun 2014	--	--	\$50	--	\$50
Jul 2014	--	--	--	--	--
Aug 2014	--	--	--	--	--
Sep 2014	--	--	\$50	--	\$50
Oct 2014	--	--	--	--	--
Nov 2014	--	--	--	--	--
<u>Dec 2014</u>	--	--	--	--	--
Total			\$50	\$200	\$200

-- not available

Bond & CD Income includes interest payments for fixed rate bonds and Certificates of Deposit (CDs).**Bond & CD Principal** includes maturing principal payments for CDs and the following bonds: fixed rate, floating rate, variable rate, discount, and zero coupon.**Stock Income** includes estimated dividend payments for common stock, ADRs, and REITs.**Mutual Fund Income** includes estimated dividend payments for Fidelity and non-Fidelity mutual funds.**The table above does not include cash flow from the following securities:** preferred stocks, international stocks, exchange trade products (ETF's & ETN's), UITs, variable rate bonds, and international bonds, but may be included in future enhancements.**Transaction Details**

(for holdings with activity this period)



SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Investment Report

December 1, 2013 - December 31, 2013

Brokerage ~~MARK ALLIEGRO~~ MARK ALLIEGRO AND MARY A ALLIEGRO - WITH RIGHTS OF SURVIVORSHIP**Brokerage Activity**

Settlement Date	Security Description	Quantity	Price per Unit	Transaction Amount
12/02	FORD MTR CO DEL COM			\$50.00

Core Account - Cash

Description	Amount	Balance	Description	Amount	Balance
Beginning	\$344.91		Ending	\$394.91	
Income	\$50.00				

Daily Additions and Subtractions Cash @ \$1 per share (*the following is provided to you in accordance with industry regulations*)

Date	Amount	Balance	Date	Amount	Balance	Date	Amount	Balance
12/02	\$50.00	\$394.91						

Additional Information About Your Investment Report

A copy of your Investment Report is available to:

GRIMES & COMPANY, INC.
 110 TURNPIKE RD STE 100
 WESTBOROUGH MA 01581

MID ATLANTIC CAPITAL CORP
 1251 WATERFRONT PLAZA
 PITTSBURGH PA 15222

- If you utilize a free credit balance for your core position (designated on your statement as "FCASH") or in connection with an Earnings Automatic Withdrawal Plan (designated on your statement as "Credit Balance"), the amounts so designated are payable to you on demand. You can also liquidate balances held in any other core position at any time and the proceeds will be remitted to you, provided however that, in some cases, you may also have the option of holding such proceeds as a free credit balance in your account. Regardless of the core position, the foregoing is subject to any open commitments in your account. Please note that free credit balances are not segregated and may be used in NFS's business in accordance with federal securities law. 624911.1.11



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December 1, 2013 - December 31, 2013

Additional Information and Endnotes

EAI for fixed rate domestic bonds and CDs is calculated using the coupon rate. For all other securities, EAI is calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. This third party data may not be promptly updated to reflect various corporate actions (for example, when an issuer has missed a scheduled dividend payment, announced changes to a payment schedule, or declared a stock split) and, as a result, the EAI and EY may be over or understated. Interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political and business conditions. Please refer to the Help/Glossary on Fidelity.com for additional information regarding these calculations.

EAI and EY are not provided for: preferred stocks, exchange traded products (ETFs & ETNs), UITs, variable rate bonds, and international stocks & bonds, but may be included in the future. EAI is not displayed for amounts less than \$10.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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If you have a question about your account or require service, please call your investment advisor/authorized agent.

Lost or Stolen Cards - For Visa® Check (DobitATM) Cards, call 800-323-5353. For details refer to your Fidelity Check Card Agreement and Disclosure Statement.

Additional Investments with Fidelity - Please make non-retirement checks payable to Fidelity Management Trust Company. Please include your account number on the check. Do not include trading instructions with check deposits. We mail statements at least quarterly for any account with a balance.

Please review your statement and report any inaccuracies or discrepancies immediately. Questions regarding your brokerage account or the activity therein should be directed to FBS at 800-544-8666, and National Financial Services LLC ("NFS"), who carries your brokerage accounts, at 800-408-1388. Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPA"). Please advise us promptly of any material changes in your investment objectives or financial situation related to your brokerage account(s). If you request a reprint of your statement, the disclosure information may not be the same as the original.

Terms and Conditions of the Statement - Fidelity does not endorse or recommend any particular investment, trading activity, or investment advisor/authorized agent. Fidelity has not verified the content of any logo or artwork on this statement identifying a firm other than Fidelity. The firm represented by such a logo or artwork is not an agent of or affiliated with Fidelity.

Changes in Portfolio Value and Account Summary - Shows activity in your portfolio and in each of your accounts for the statement period. To confirm that an authorized, direct deposit was made to your Fidelity Account, call Fidelity at 1-800-544-8666.

Value by Account - Shows the value of your account(s), for the current and previous statement periods.

Income Summary - Shows income by tax status for the statement and year-to-date periods. Except for interest earned on, or distributed by, tax-exempt securities, Fidelity reports dividends and capital gains held in taxable accounts as taxable income. A portion of this income may be subject to state and local taxes and the federal alternative minimum tax. Fidelity exports earnings on investments in Traditional IRAs, Roth IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings on Roth-IRAs are reported as tax-exempt income, since distributions may be tax-exempt after meeting the five-year aging requirement and certain other conditions specifically disclaim any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information. Unless otherwise specified, NFS determines cost basis at the time of sale using its default methods of average cost for open-end mutual funds (except ETFs) and first-in, first-out (FIFO) for all other securities (including ETFs and shares held in dividend reinvestment plans). Customers should consult their tax advisors for further information.

Cost Basis, Gain/Loss, and Holding Period Information - Cost basis is the original amount paid to purchase a security, including the amount of reinvested dividends and capital gains. Generally, we adjust cost basis for events such as returns of capital (including dividend reclassifications) and disallowed losses on wash sales on identical securities within the same account. NFS is required to report certain cost basis and holding period information to the IRS on Form 1099-B. However, cost basis, realized or unrealized gain and loss, and holding period information may not reflect all adjustments required for your tax reporting purposes. NFS and Fidelity specifically disclaim any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information. Unless otherwise specified, NFS determines cost basis at the time of sale using its default methods of average cost for open-end mutual funds (except ETFs) and first-in, first-out (FIFO) for all other securities (including ETFs and shares held in dividend reinvestment plans). Customers should consult their tax advisors for further information.

Cost - Fidelity provides purchase cost information for securities held in retirement accounts. Such information may be adjusted for certain transactions and does not reflect reinvestments of dividends or capital gains. Fidelity reports transaction profit or loss information when securities are sold within a retirement account. Transaction profit or loss is calculated by subtracting purchase cost from sales proceeds using the FIFO method if shares were purchased at different times or prices.

Contributions/Distributions - Summarizes Traditional IRA and Roth IRA contributions, and taxable and non-taxable retirement distributions for these statement and year-to-date periods.

Total Value - The reported market value of an investment at the beginning or end of a statement period. Unrealized gain/loss - For long positions, ending market value minus cost basis in non-retirement accounts and ending market value minus cost basis in retirement accounts. For short positions, proceeds minus ending market value. Unrealized gain/loss is calculated for pending sales because they are still in holdings. Unrealized gain/loss is not calculated for pending purchases because they are not in holdings.

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Information About Mutual Funds and Their Performance - Before investing consider the fund's or information product's investment objectives, risks, charges and expenses. Contact Fidelity or visit Fidelity.com for a prospectus containing this information. Read it carefully before investing.

Performance data shown represents past performance, and is no guarantee of future results.

Investment return and principal value will fluctuate, so you may have a loss or a gain when shares are sold. Current performance may be higher or lower than that quoted. An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although a money market fund seeks to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in the fund. Visit Fidelity.com for performance at \$1.00 per recent month-end performance. Each fund reserves the right to terminate or modify its exchange privilege. Foreign investment, especially those in emerging markets, may involve greater risks than U.S. investments. In connection with access to, purchase of, and/or maintenance of positions in mutual fund and other investment products ("underlyings"), FBS or NFS may receive the sales load and 12b-1 fees described in the prospectus as well as additional compensation, paid by the funds, their investment advisors or affiliates. Additional information about the source(s) and amount(s) of compensation as well as other remuneration received by FBS or NFS will be furnished to you upon written request. At the time you purchase shares of funds those shares will be assigned either a load, transaction fee ("TF") or no transaction fee ("NTF") status. When you subsequently sell those shares, any fees applicable to your transaction will be assessed based on the status assigned to the shares at the time of purchase.

Customer Free Credit Balance - You are entitled to your free credit balance in your brokerage account, subject to open commitments in your cash accounts. Free credit balances are not segregated and may be used in NFS's business in accordance with federal securities law. If your free credit balance is automatically transferred to a money market fund or to an FDIC insured bank account you use as your brokerage account's core position, you can liquidate the shares of the money market fund or bank balances at any time and have the proceeds remitted to you or held in your brokerage account subject to the terms and conditions of your account agreement.

Assets Separate From Your Brokerage Account - Only securities in the margin portion of your brokerage statement contribute to margin and maintenance requirements. Other assets, which may be reported by Fidelity, include insurance products that are distributed by FBS and Fidelity Insurance Agency, Inc. and mutual fund assets outside your brokerage account that may be reported on your statement and not carried by NFS. NFS are not covered by SIPC and do not count toward your margin and maintenance requirements.

Short Account Balances - Securities sold short are held in a segregated short account. These securities are available for margin purposes and increase or decrease from the short sale price is transferred weekly to your margin account. Your short account balance is shown as of the last weekly mark-to-market, not statement end date.

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(A) Alternative Investments - Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate investment trusts which are not listed on any exchange), commodity pools, private equity, private debt and hedge funds are generally illiquid investments and their current values may differ from purchase price. Unless otherwise indicated, the values shown in this statement for such investments have been provided by the management, administrator or sponsor of each program or a third-party vendor without independent verification by Fidelity Brokerage Services (FBS) and represent their estimate of the value of the investor's participation in the program, as of a date no greater than 18 months from the date of this statement. Thus, the estimated values shown herein may not necessarily reflect actual market values or be reflected upon liquidation. If an estimated value is not provided, valuation information is not available. In executing orders on the floor, the floor broker may permit the specialist to trade on parity with the order for some or all of the executions associated with filling that order, where such permission would not be inconsistent with the brokers best execution obligations.

Fidelity Investments - Fidelity Distributors Corporation (FDC) is the distributor for Fidelity Funds with marketing and shareholder services provided by FBS or NFS. Brokerage services are provided by FBS, which clears all transactions through its affiliate NFS. NFS carries all brokerage accounts. FBS and NFS are members of the NYSE and SIPC. FBS, NFS and DDC are all direct or indirect subsidiaries of FMR LLC. Upon written request, Fidelity will mail a NFS financial statement, which is also available at its office. Fidelity Investments (with pyramid logo) is a trademark of FMR LLC. Insurance products are distributed by FBS. Fidelity Insurance Agency, Inc., and Fidelity Investments Insurance Agency of Texas, Inc.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name |

Mark C. Allegro |

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Investment Report

January 1, 2014 - January 31, 2014

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A REGISTERED INVESTMENT ADVISOR

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Phone: (508)366-3883

Brokerage MARK ALLEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVIVORSHIP**Account Summary**

Beginning value as of Jan 1	\$17,807.71
Change in investment value	517.50
Ending value as of Jan 31	\$18,325.21

Accrued Interest (A/I)

Change in A/I from last statement	\$0.00
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Holdings (Symbol) as of January 31, 2014

	Quantity	Price per Unit	Total Cost Basis	Total Value	Total Value
	January 31, 2014	January 31, 2014	January 1, 2014	January 1, 2014	January 31, 2014
LIVING CELL TECHNOLOGIES NPV					
ISIN #AU000000LC76 SEDOL #B01SS530 (LVCLF)					
FORD MTR CO DEL COM (F)					
EAI: \$250.00, EV: 3.34%					
LAKE VICTORIA MNG INC COM (LVCA)					
RAHAXI INC COM					
SIRIUS XM HLDGS INC COM (SIRI)					
Stocks 98% of holdings					
10,000.000	\$0.075	\$507.95	\$697.80	\$750.30	
500.000	14.960	4,123.66	7,715.00	7,480.00	
25,000.000	0.030	873.30	275.00	750.00	
20,000.000	-----	145.90	unavailable	unavailable	
2,500.000	3.580	8,608.95	8,725.00	8,950.00	

SCHEDULE II-ASSETS AND "UNEARNED" INCOMEName **Mark C. Allegro** Page 547 of 550**Investment Report**

January 1, 2014 - January 31, 2014

Brokerage		MARK ALLEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVIVORSHIP					
Holdings	(Symbol)	Quantity	Price per Unit	Total Value	Total Value		
		January 31, 2014	January 31, 2014	January 1, 2014	January 31, 2014		
Subtotal of Stocks							
Core Account	2% of holdings						
CASH							
Subtotal of Core Account							
Total							

*All positions held in cash account unless indicated otherwise.**Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.*

Estimated Annual Income (EAI) & Estimated Yield (EY) - EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be higher or lower. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security. For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME



Name | **Mark C. Allegro** | | | Page 54B of 550

Investment Report

January 1, 2014 - January 31, 2014

Brokerage

MARK ALLEGRO AND MARY A ALLEGRO - WITH RIGHTS OF SURVIVORSHIP

Estimated Cash Flow rolling as of January 31, 2014

The table below presents the estimated monthly interest and dividend income and return of principal that your current holdings may generate over the next rolling 12 months. The cash flows displayed are estimates provided for informational purposes only and there is no guarantee that you will actually receive any of the amounts displayed. These estimates should not be relied upon for making investment, trading or tax decisions. The estimates for fixed rate domestic bond and CD income are calculated using the security's coupon rate. The estimates for domestic common stock and mutual fund income are calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. There are circumstances in which these estimates will not be presented for a specific security you hold. Please refer to Help/Glossary on Fidelity.com for additional information on these calculations.

<u>Month</u>	<u>Bond & CD Income</u>	<u>Bond & CD Principal</u>	<u>Stock Income</u>	<u>Mutual Fund Income</u>	<u>Total Estimated Cash Flow</u>
Feb 2014	--	--	--	--	--
Mar 2014	--	--	\$63	--	\$63
Apr 2014	--	--	--	--	--
May 2014	--	--	--	--	--
Jun 2014	--	--	--	--	--
Jul 2014	--	--	\$63	--	\$63
Aug 2014	--	--	--	--	--
Sep 2014	--	--	--	\$63	\$63
Oct 2014	--	--	--	--	--
Nov 2014	--	--	--	--	--
Dec 2014	--	--	\$63	--	\$63
<u>Jan 2015</u>	<u>--</u>	<u>--</u>	<u>\$252</u>	<u>--</u>	<u>\$252</u>
Total					

-- not available

Bond & CD Income includes interest payments for fixed rate bonds and Certificates of Deposit (CDs).

Bond & CD Principal includes maturing principal payments for CDs and the following bonds: fixed rate, floating rate, variable rate, discount, and zero coupon.

Stock Income includes estimated dividend payments for common stock, ADRs, and REITs.

Mutual Fund Income includes estimated dividend payments for Fidelity and non-Fidelity mutual funds.

The table above does not include cash flow from the following securities: preferred stocks, international stocks, exchange trade products (ETF's & ETN's), UITs, variable rate bonds, and international bonds, but may be included in future enhancements.

Core Account - Cash	Amount	Balance	Description	Amount	Balance
Beginning	\$394.91		Ending		\$394.91

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

Name

Mark C. Allegro

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Investment Report

January 1, 2014 - January 31, 2014

Additional Information About Your Investment Report

A copy of your Investment Report is available to:

GRIMES & COMPANY, INC.
110 TURNPIKE RD STE 100
WESTBOROUGH MA 01581

MID ATLANTIC CAPITAL CORP
1251 WATERFRONT PLAZA
PITTSBURGH PA 15222

Additional Information and Endnotes

EAI for fixed rate domestic bonds and CDs is calculated using the coupon rate. For all other securities, EAI is calculated using an indicated annual dividend (IAD). The IAD is an estimate of a security's dividend payments for the next 12 months calculated based on prior and/or declared dividends for that security. IADs are sourced from third party vendors believed to be reliable, but no assurance can be made as to accuracy. This third party data may not be promptly updated to reflect various corporate actions (for example, when an issuer has missed a scheduled dividend payment, announced changes to a payment schedule, or declared a stock split) and, as a result, the EAI and EY may be over or understated. Interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political and business conditions. Please refer to the Help/Glossary on Fidelity.com for additional information regarding these calculations.

EAI and EY are not provided for: preferred stocks, exchange traded products (ETFs & ETNs), UTIs, variable rate bonds, and international stocks & bonds, but may be included in the future. EAI is not displayed for amounts less than \$10.

SCHEDULE II-ASSETS AND "UNEARNED" INCOME

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If you have a question about your account or require service, please call your investment advisor/authorized agent.

Lost or Stolen Cards - For Visa, Check (Debit/ATM) Cards, call 800-323-5353. For details refer to your Fidelity

Check Card Agreement and Disclosure Statement.

Additional Investments with Fidelity - Please make non-retirement checks payable to Fidelity Management Trust Company. Please include your account number on the check. Do not include tracing instructions with check

deposits. We mail statements at least quarterly for any account with a balance. Please review your statement and report any inaccuracies or discrepancies immediately. Questions regarding your brokerage account or the activity therein should be directed to FBS at 800-544-6656, and National Financial Services LLC ("NFS"), who carries your brokerage accounts, at 800-408-1138. Any oral communications regarding inaccuracies or discrepancies should be reconfirmed in writing to protect your rights, including those under the Securities Investor Protection Act ("SIPA"). Please advise us promptly of any material changes in your investment objectives or financial situation related to your brokerage accounts. If you request a reprint of your statement, the disclosure information may not be the same as the original.

Terms and Conditions of the Statement - Fidelity does not endorse or recommend any particular investment, trading activity, or investment advisor/authorized agent. Fidelity has no responsibility for and will not review, monitor or supervise suitability of trading by any investment advisor/authorized agent. The firm represented by the content of any logo or artwork on this statement identifies a firm other than Fidelity. The firm represented by such a logo or artwork is not an agent or affiliated with Fidelity.

Changes in Portfolio Value and Account Summary - Shows activity in your portfolio and in each of your accounts for the statement period. To confirm that an authorized, direct deposit was made to your Fidelity Account, call Fidelity at 1-800-544-5555.

Value by Account - Shows the value of your account(s) for the current and previous statement periods. Income Summary - Shows income for the statement and year-to-date periods. Except for interest earned on, or distributed by, tax-exempt securities, Fidelity reports dividends and capital gains held in taxable accounts as taxable income. A portion of this income may be subject to state and local taxes and the federal alternative minimum tax. Fidelity reports earnings or investments in Traditional IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings on Roth-IRAs are reported as tax-exempt income, since distributions may be tax-exempt after meeting the five-year aging requirement and certain other conditions.

Cost Basis, Gain/Loss, and Holding Period Information - Cost basis is the original amount paid to purchase such information, including the amount of any tax position taken in reliance upon, methods of average cost for open-end mutual funds (except ETFs) and first-in, first-out (FIFO) for all other events such as returns of capital (including dividend reclassifications) and disallowed losses on wash sales on identical securities within the same account. NFS is required to report certain cost basis and holding period information to the IRS on Form 1099-B. However, cost basis, realized or unrealized gain and loss, and holding period information may not reflect all adjustments required for your tax reporting purposes. NFS and Fidelity specifically disclaim any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information. Unless otherwise specified, NFS determines cost basis at the time of sale using its default methods of averaging cost for purchases from sales proceeds using the FIFO method if shares

advisors for further information.

Cost - Fidelity provides purchase cost information for securities held in retirement accounts. Such information may be adjusted for certain transaction and does not reflect reinvestments of dividends or capital gains. Fidelity reports transaction profit or loss information when securities are sold within a retirement account. Transaction profit or loss is calculated by subtracting purchase cost from sales proceeds using the FIFO method if shares were purchased at different times or prices.

Contributions/Distributions - Summarizes Traditional IRA and Roth IRA contributions, and taxable and non-taxable retirement distributions for these statement and year-to-date periods.

Total Value - The reported market value of an investment at the beginning or end of a statement period.

Unrealized Gains/Losses - For long positions, ending market value minus cost basis in non-retirement accounts and ending market value minus cost in retirement accounts. For short positions, proceeds minus ending market value. Unrealized gains/losses is calculated for pending sales because they are still in holdings. Unrealized gain/loss is not calculated for pending purchases because they are not in holdings.

Holding Type Percentage - Percentages by holding type only include long positions. Holding type percentages are rounded to the nearest percent and summed. If the sum is less than 100%, the difference between 100% and the sum is added to the holding type with the largest percentage.

Change in Investment Value - The appreciation or depreciation of your holdings due to price changes plus any distribution and income earned during the statement period, less any transaction costs, sales charges, or fees.

Account Protection - Securities in accounts carried by NFS, a Fidelity Investments company, are protected in accordance with the Securities Investor Protection Corporation (SIPC) up to \$500,000 (including cash claims limited to \$250,000). For more information, including the SIPC brochure, please see www.sipc.org or call 1-202-371-6300. NFS has arranged for additional protection for cash and covered securities to supplement its SIPC coverage. Neither coverage protects against a decline in the market value of securities. Mutual funds and/or other securities are not backed or guaranteed by any bank, nor are they insured by the FDIC and involve investment risk including possible loss of principal. Bank deposits are not securities and are not covered by SIPC. Funds used to purchase or sweep to a bank deposit are SIPC protected until deposited to a Program Bank at which time funds may be eligible for FDIC insurance.

Information About Mutual Funds and Their Performance - Before investing consider the fund's or information product's investment objectives, risks, charges and expenses. Contact Fidelity or visit Fidelity.com for a prospectus containing this information. Read it carefully before investing. Performance data shown represents past performance, and is no guarantee of future results.

Investment return and principal value will fluctuate, so you may have a loss or a gain when shares are sold. Current performance may be higher or lower than that quoted. An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although a money market fund seeks to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in the fund. Visit Fidelity.com for performance for most recent month-end performance. Each fund reserves the right to terminate or modify its exchange privilege.

Foreign investment, especially those in emerging markets, may involve greater risks than U.S. investments. In connection with purchases of, and/or maintenance of positions in mutual fund and other investment products ("funds"), FBS or NFS may receive the sales load and 12b-1 fees described in the prospectus as well as additional compensation, paid by the funds, their investment advisers or affiliates. Additional information about the source(s) and amount(s) of compensation as well as other remuneration received by FBS or NFS will be furnished to you upon written request. At the time you purchase shares of funds those shares will be assigned either a load, transaction fee ("TF") or no transaction fee ("NTF") status. When you subsequently sell those shares, any fees applicable to your transaction will be assessed based on the status assigned to the shares at the time of purchase.

Customer Free Credit Balance - You are entitled to your free credit balance in your brokerage account, subject to open commitments in your cash accounts. Free credit balances are not segregated and may be used in NFS's business in accordance with federal securities law. If your free credit balance is automatically transferred to a money market fund or to an FDC insured bank account, you use as your brokerage account's core position, you can liquidate the shares of the money market fund or bank balances at any time and have the proceeds remitted to you or held in your brokerage account subject to the terms and conditions of your brokerage agreement.

Assets Separate From Your Brokerage Account - Only securities in the margin portion of your brokerage statement, including insurance products that are distributed by FBS and Fidelity Insurance Agency, Inc. and mutual fund assets outside your brokerage account (that may be reported on your statement) are not carried by NFS, are not covered by SIPC and do not count toward your margin and maintenance requirements.

Short Account Balances - Securities sold short are held in a segregated short account. These securities are marketed-to-market for margin purposes and increase or decrease from the short sale price is transferred weekly to your margin account. Your short account balance is shown as of the last weekly mark-to-market, not statement end date.

Information About Your Option Transactions - Each transaction confirmation contains full information about commissions and other charges. If you require further information, contact Fidelity at 800-800-6650. Assignments are of American and European-style options are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise and assignment only during the exercise period.

Equity Dividend Reinvestment - Shares credited to your account from transactions by FBS acting as agent for your account, or the Depository Trust Company (DTC).

Price Information/TOTAL Market Value - The Total Market Value has been calculated to 9 decimal places; however, the individual unit price is displayed in 5 decimal places. The Total Market Value represents prices obtained from various sources, may be impacted by the frequency with which such prices are reported and such estimates, particularly for fixed income securities, may be based on certain minimum principal amounts (e.g. \$1 million) and may not reflect all factors that affect the value of the security, including liquidity risk. The prices provided are not firm bids or offers. Certain securities may reflect N/A or unavailable where the price for such security is generally not available from a pricing source. The Market Value of a security, including those priced at par value, may differ from its purchase price and may not closely reflect the value at which the security may be sold or purchased based on various factors. The sale or redemption of any fixed income security prior to maturity may result in a loss. Prices for Certificates of Deposit (CDs) are generally illiquid. You should always request a current valuation for your securities prior to making a financial decision or placing an order.

(A) Alternative Investments - Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate investment trusts which are not listed on any exchange), commodity pools, private equity, private debt and hedge funds are generally illiquid investments and their current values may differ from purchase price. Unless otherwise indicated, the values shown in this statement for such investments have been provided by the management, administrator or sponsor of each program or a third-party vendor without independent verification by Fidelity Brokerage Services (FBS) and represent their estimate of the value of the investor's participation in the program, as of a date no greater than 18 months from the date of this statement. Thus, the estimated values shown herein may not necessarily reflect actual market values or be realized upon liquidation. If an estimated value is not provided, valuation information is not available.

In executing orders on the Floor, the Floor Broker may permit the specialist to trade on parity with the order for some or all of the executions associated with filling that order, where such permission would not be inconsistent with the brokers best execution obligations.

Fidelity Investments - Fidelity Distributors Corporation (FDC) is the distributor for Fidelity Funds with marketing and shareholder services provided by FBS. Brokerage services are provided by FBS, which clears all

transactions through its affiliate NFS. NFS carries all brokerage accounts. FBS and NFS are members of the NYSE and SIPC. FBS, NFS and FDC are all direct or indirect subsidiaries of FMR LLC. Upon written request, Fidelity will mail a NFS financial statement, which is also available at its office. Fidelity Investments (with pyramid logo) is a trademark of FMR LLC. Insurance products are distributed by FBS, Fidelity Insurance Agency, Inc. and Fidelity Investments Insurance Agency of Texas, Inc.