



Filing ID #10028710

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Katherine Dubeau Landing  
**Status:** Congressional Candidate  
**State/District:** SC01

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2019  
**Filing Date:** 07/11/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Joe's Annuity ⇒ Joe's Annuity [OT]  DESCRIPTION: Spouse's tax-sheltered non-qualified annuity.	SP	\$15,001 - \$50,000	Tax-Deferred		
Joe's Genworth Policy [WU]  DESCRIPTION: Joe's whole life policy	SP	\$15,001 - \$50,000	None		
Joe's RJ IRA ⇒ Joe's RJ IRA [IH]	SP	\$250,001 - \$500,000	Tax-Deferred		
Joe's RJ Retirement Accounts ⇒ Joe's RJ Retirement Accounts, 100% Interest [OT]  DESCRIPTION: Spouse's 401(k), ESOP and PSP accounts together.	SP	\$250,001 - \$500,000	Tax-Deferred		
Loan to Campaign [DO]  DESCRIPTION: Loan made by me to campaign.		\$100,001 - \$250,000	None		
My Genworth Policy [WU]  DESCRIPTION: My whole life policy.		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Primary Residence [RP]	JT	\$500,001 - \$1,000,000	None		
LOCATION: Mount Pleasant/Charleston, SD, US					
RJ Accounts ⇒ Raymond James Financial, Inc. (RJF) [ST]		\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: My restricted stock with 5 year cliff vest ending in 11/20.					
RJ Accounts ⇒ Raymond James Financial, Inc. (RJF) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
DESCRIPTION: Joint brokerage account that holds shares of RJF. This account also holds cash which is listed separately as our checking account.					
RJ Accounts ⇒ RJ Checking Account [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Checking account.					
RJ IRA ⇒ My RJ IRA [IH]		\$500,001 - \$1,000,000	Tax-Deferred		
RJ Retirement Accounts ⇒ My RJ Retirement Accounts, 100% Interest [OT]		\$500,001 - \$1,000,000	Tax-Deferred		
DESCRIPTION: My 401(k), ESOP, LTIP and PSP					
Sailboat [OT]	JT	\$50,001 - \$100,000	None		
DESCRIPTION: Pleasure boat jointly owned.					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Raymond James	Commissions and fees	\$176,176.91	\$381,963.12
Town of Mount Pleasant	Salary	\$8,076.92	\$15,000.00
Raymond James	Spouse salary	\$43,076.92	\$140,274.98

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Director	Charleston Metro Chamber of Commerce Board
Governor	Operation Smile Carolinas Chapter Board of Governors
Treasurer	SC Society of Mayflower Descendants
Director	Charleston Convention and Visitors Bureau

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Joe's Annuity (Owner: SP)
- Joe's RJ IRA (Owner: SP)
- Joe's RJ Retirement Accounts (Owner: SP)
- RJ Accounts (Owner: JT)  
LOCATION: Mount Pleasant/Charleston, SC, US
- RJ Accounts  
LOCATION: Mount Pleasant/Charleston, SC, US  
DESCRIPTION: My restricted stock account - vests 11/20
- RJ IRA
- RJ Retirement Accounts

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

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Yes

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No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

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Yes

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No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Katherine Dubeau Landing , 07/11/2019