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Financial Disclosure Report

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Filer Information

Name: Ms. Diana DeGette

Status: Member

State/District: CO01

Filing Information

Filing Type: Annual Report

Filing Year: 2013

Filing Date: 04/29/2014

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DeGette IRA ⇒ Fidelity Cash Reserves		$1 - $1,000	Tax-Deferred	Not Applicable	
DeGette IRA ⇒ Fidelity Growth Discovery Fund		$15,001 - $50,000	Tax-Deferred	Not Applicable	
DeGette IRA ⇒ Fidelity Growth Strategies Fund		$1,001 - $15,000	Tax-Deferred	Not Applicable	
DeGette IRA ⇒ Fidelity Leveraged Co. Stock Fund		$15,001 - $50,000	Tax-Deferred	Not Applicable	
Janus Global Select Fund	JT	$1,001 - $15,000	Dividends	$1 - $200	
Public Employees Retirement Ass'n		$1,001 - $15,000	Tax-Deferred	Not Applicable	
Spouse IRA ⇒ Baron Partners Fund	SP	$15,001 - $50,000	Tax-Deferred	Not Applicable	
Spouse IRA ⇒ Federated Kaufmann Fund	SP	$15,001 - $50,000	Tax-Deferred	Not Applicable	✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Spouse IRA ⇒ Fidelity Cash Reserves	SP	$1,001 - $15,000	Tax-Deferred	Not Applicable	☐
Spouse IRA ⇒ Fidelity Contrafund	SP	$1,001 - $15,000	Tax-Deferred	Not Applicable	☐
Comments: The 2012 Disclosure Statement incorrectly identified this asset as a holding in Rep. DeGette's IRA. It is a holding in her spouse's IRA.					
Spouse IRA ⇒ Fidelity Growth Discovery Fund	SP	$1,001 - $15,000	Tax-Deferred	Not Applicable	☐
Spouse IRA ⇒ Fidelity Independence Fund	SP	$15,001 - $50,000	Tax-Deferred	Not Applicable	☐
Spouse IRA ⇒ Fidelity Leveraged Co. Stock Fund	SP	$50,001 - $100,000	Tax-Deferred	Not Applicable	☐
Spouse IRA ⇒ Fidelity New Millennium	SP	$15,001 - $50,000	Tax-Deferred	Not Applicable	☑
Spouse IRA ⇒ Hennessy Cornerstone Mid-Cap 30 Fund	SP	$15,001 - $50,000	Tax-Deferred	Not Applicable	☑
Spouse IRA ⇒ Janus Enterprise Fund	SP	$15,001 - $50,000	Tax-Deferred	Not Applicable	☑
Spouse IRA ⇒ Kinetics Paradigm Fund	SP	$15,001 - $50,000	Tax-Deferred	Not Applicable	☐
Spouse IRA ⇒ Marisco 21st Century Fund	SP	$1,001 - $15,000	Tax-Deferred	Not Applicable	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ AM Funds EuroPacific Growth	SP	$100,001 - $250,000	Tax-Deferred	Not Applicable	☑
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ American Funds Balanced	SP	$50,001 - $100,000	Tax-Deferred	Not Applicable	☑
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ GS Small Cap Value	SP	$15,001 - $50,000	Tax-Deferred	Not Applicable	☑
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K	SP	$15,001 - $50,000	Tax-Deferred	Not	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
⇒ ING Mid Cap Opportunities				Applicable	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Invesco Small Cap Growth	SP	$15,001 - $50,000	Tax-Deferred	Not Applicable	✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ PIMCO Total Return	SP	$50,001 - $100,000	Tax-Deferred	Not Applicable	✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ SSgA S&P 500 Index Fund	SP	$100,001 - $250,000	Tax-Deferred	Not Applicable	✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Cash Balance Account ⇒ McKenna Long & Aldridge LLP Cash Balance Plan DESCRIPTION: McKenna Long & Aldridge LLP Cash Balance Plan	SP	$250,001 - $500,000	Dividends, Tax-Deferred	Not Applicable	✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ AM Funds EuroPacific Growth	SP	$100,001 - $250,000	Dividends, Tax-Deferred	Not Applicable	✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ American Funds Balanced	SP	$100,001 - $250,000	Tax-Deferred	Not Applicable	✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ GS Small Cap Value	SP	$50,001 - $100,000	Dividends, Tax-Deferred	Not Applicable	✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ ING Mid Cap Opportunities	SP	$50,001 - $100,000	Dividends, Tax-Deferred	Not Applicable	✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Invesco Small Cap Growth	SP	$15,001 - $50,000	Dividends, Tax-Deferred	Not Applicable	✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ PIMCO Total Return	SP	$50,001 - $100,000	Tax-Deferred	Not Applicable	✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ SSgA S&P 500 Index Fund	SP	$100,001 - $250,000	Tax-Deferred	Not Applicable	✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Wells Fargo Bank West, N.A.	JT	$15,001 - $50,000	Interest	$1 - $200	☐

* Asset class details available at the bottom of this form.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ GS Small Cap Value	SP	01/15/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ GS Small Cap Value	SP	02/15/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Invesco Small Cap Growth	SP	02/21/2013	S (partial)	$50,001 - $100,000	☑
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ ING Mid Cap Opportunity	SP	02/21/2013	S (partial)	$15,001 - $50,000	☑
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ GS Small Cap Value	SP	02/21/2013	S (partial)	$100,001 - $250,000	☑
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ PIMCO Total Return	SP	02/21/2013	P	$15,001 - $50,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Am Funds EuroPacific Growth	SP	02/21/2013	P	$50,001 - $100,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ American Funds Balanced	SP	02/21/2013	P	$15,001 - $50,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ PIMCO Total Return	SP	03/15/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K	SP	02/21/2013	P	$50,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
⇒ SSgA S&P 500 Index Fund				$100,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Am Funds EuroPacific Growth	SP	03/15/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ American Funds Balanced	SP	03/15/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ SSgA S&P 500 Index Fund	SP	03/21/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Am Funds EuroPacific Growth	SP	04/15/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ SSgA S&P 500 Index Fund	SP	04/15/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Invesco Small Cap Growth	SP	02/21/2013	S (partial)	$100,001 - $250,000	☑
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ GS Small Cap Value	SP	02/21/2013	S (partial)	$100,001 - $250,000	☑
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ ING Mid Cap Opportunities	SP	02/21/2013	S (partial)	$50,001 - $100,000	☑
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ PIMCO Total Return	SP	02/21/2013	P	$50,001 - $100,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Am Funds EuroPacific Growth	SP	02/21/2013	P	$100,001 - $250,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ American Funds Balance	SP	02/21/2013	P	$50,001 - $100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ SSgA S&P 500 Index Fund	SP	02/21/2013	P	$100,001 - $250,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ PIMCO Total Return	SP	02/27/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Invesco Small Cap Growth	SP	02/27/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Am Funds EuroPacific Growth	SP	02/27/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ American Funds Balanced	SP	02/27/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ GS Small Cap Value	SP	02/27/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ ING Mid Cap Opportunities	SP	02/27/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ SSgA S&P 500 Index Fund	SP	02/27/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Cash Balance Account ⇒ McKenna Long & Aldridge LLP Cash Balance Plan LOCATION: US DESCRIPTION: Annual contribution to the McKenna Long & Aldridge LLP Cash Balance Plan	SP	01/25/2013	P	$15,001 - $50,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Invesco Small Cap Growth	SP	12/13/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ ING Mid Cap Opportunities	SP	07/1/2013	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ GS Small Cap Value	SP	12/5/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Am Funds EuroPacific Growth	SP	12/26/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Am Funds EuroPacific Growth	SP	04/15/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ SSgA S&P 500 Index Fund	SP	04/15/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ GS Small Cap Value	SP	12/5/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Invesco Small Cap Growth	SP	12/13/2013	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Am Funds EuroPacific Growth	SP	12/26/2013	P	$1,001 - $15,000	
Spouse IRA ⇒ Fidelity New Millennium	SP	12/13/2013	P	$1,001 - $15,000	
Spouse IRA ⇒ Janus Enterprise Fund	SP	12/17/2013	P	$1,001 - $15,000	
Spouse IRA ⇒ Federated Kaufmann	SP	12/30/2013	P	$1,001 - $15,000	
Spouse IRA ⇒ Hennessy Cornerstone Mid Cap	SP	12/10/2013	P	$1,001 - $15,000	

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

Source	Type	Amount
McKenna Long & Aldridge LLP	Spouse share of partnership income	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Chase (Mileage Plus credit card)	December 2013	Revolving charge account debt	$10,000 - $15,000
	Comments: The account is paid in full each month.			
JT	Wells Fargo Home Mortgage	December 2010	First mortgage on Denver home	$100,001 - $250,000
JT	Wells Fargo Bank, N.A.	August 2010	Home equity line of credit	$50,001 - $100,000
JT	Wells Fargo Home Mortgage	May 2013	Mortgage on D.C. condo	$250,001 - $500,000
	Comments: This was a refinancing of the Columbia Bank and Sovereign Bank mortgage loans.			
JT	Sovereign Bank	January 2009	Mortgage on D.C. condo	$250,001 - $500,000
	Comments: This mortgage loan was paid in full through a refinancing in May 2013.			
JT	Columbia Bank	January 2009	Second mortgage on D.C. condo	$15,001 - $50,000
	Comments: This mortgage loan was paid in full through a refinancing in May 2013.			

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- DeGette IRA
  Description: Diana DeGette's IRA
- Spouse IRA (Owner: SP)
  Description: Lino Lipinsky's IRA
- Spouse's Law Firm Retirement Accounts (Owner: SP)
  Description: Lino Lipinsky's accounts through McKenna Long & Aldridge LLP
- Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K (Owner: SP)
  Description: Spouse's 401K
- Spouse's Law Firm Retirement Accounts ⇒ Spouse's Cash Balance Account (Owner: SP)
- Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account (Owner: SP)
  Description: Spouse's Profit-Sharing Account

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

◉ Yes ○ No

Comments

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Ms. Diana DeGette , 04/29/2014