



Filing ID #10030020

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. John W. Rose
Status: Member
State/District: TN06

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2018
Filing Date: 11/14/2019
Period Covered: 01/01/2017– 12/31/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
430 East Packwood Partners, LP, 6% Interest [OL]		\$1,000,001 - \$5,000,000	K-1, LP Income	Not Applicable	\$50,001 - \$100,000
LOCATION: Maitland, FL, US DESCRIPTION: Limited partner in entity that owns multi-family residential development. The amount of income represents the aggregate distributions from the LP. The K-1 from this LP reflects a net income loss for the year.					
Anthem CityLine/Bay Capital Partners, LP, 1% Interest [OL]		\$50,001 - \$100,000	K-1, LP Income	Not Applicable	\$2,501 - \$5,000
LOCATION: Richardson, TX, US DESCRIPTION: Limited partner in entity that owns multi-family residential development. The amount of income represents the aggregate distributions from the LP. The K-1 from this LP reflects a lower net income for the year.					
Boson Holdings, LLC 401K Plan ⇒ American Funds 2030 TRGT Date Retire R2E RBEEEX [MF]		\$250,001 - \$500,000	Tax-Deferred		
DESCRIPTION: Investments held in company 401K and Roth 401K plan. 401K investments are managed by American Funds.					
Broad River/MP, LP, 1% Interest [OL]		\$50,001 - \$100,000	K-1, LP Income	Not Applicable	\$5,001 - \$15,000
LOCATION: Beaufort, SC, US DESCRIPTION: Limited partner in entity that owns multi-family residential development. The amount of income represents the aggregate					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
distributions from the LP. The K-1 from this LP reflects a net income loss for the year.					
Chelsea Brooke Rose IRA ⇒ JPMorgan Investor Growth Fund CL C M/F [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Mutual fund held within wife's IRA.					
Chelsea Brooke Rose IRA ⇒ Raymond James Bank Deposit Program [BA]	SP	\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: Money market portion of Wife's IRA account.					
CHIPS III AC, LP ⇒ Anthem CityLine, 13% Interest [OL]		\$1,001 - \$15,000	K-1, LP Income	Not Applicable	\$1,001 - \$2,500
LOCATION: Richardson, TX, US DESCRIPTION: This property is owned by CHIPS III AC, LP. Income for the LP is reported in aggregate. Percent interest is percent of total partnership capital that this property represents.					
CHIPS III AC, LP ⇒ Brookson Resident Flats, 7% Interest [OL]		\$1,001 - \$15,000	K-1, LP Income	Not Applicable	\$1,001 - \$2,500
LOCATION: Huntersville, NC, US DESCRIPTION: This property is owned by CHIPS III AC, LP. Income for the LP is reported in aggregate. Percent interest is percent of total partnership capital that this property represents.					
CHIPS III AC, LP, 1% Interest [OL]		\$50,001 - \$100,000	Capital Gains, K-1, LP Income	Not Applicable	\$15,001 - \$50,000
LOCATION: Nashville, TN, US DESCRIPTION: This represents the aggregate value of the investment in the LP. The amount of income represents the aggregate distributions from the LP for all assets in the associated Asset Class. The K-1 from this LP reflects a net loss for the year.					
CHIPS III AC, LP ⇒ Legacy at Wakefield, 11% Interest [OL]		\$1,001 - \$15,000	K-1, LP Income	Not Applicable	\$1,001 - \$2,500
LOCATION: Raleigh, NC, US DESCRIPTION: This property is owned by CHIPS III AC, LP. Income for the LP is reported in aggregate. Percent interest is percent of total partnership capital that this property represents.					
CHIPS III AC, LP ⇒ Lofts at Savannah Park, 8% Interest [OL]		\$1,001 - \$15,000	K-1, LP Income	Not Applicable	\$1,001 - \$2,500
LOCATION: Sanford, FL, US DESCRIPTION: This property is owned by CHIPS III AC, LP. Income for the LP is reported in aggregate. Percent interest is percent of total partnership capital that this property represents.					
CHIPS III AC, LP ⇒ Parc at Broad River, 15% Interest [OL]		\$1,001 - \$15,000	K-1, LP Income	Not Applicable	\$1,001 - \$2,500
LOCATION: Beaufort, SC, US DESCRIPTION: This property is owned by CHIPS III AC, LP. Income for the LP is reported in aggregate. Percent interest is percent of total partnership capital that this property represents.					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CHIPS III AC, LP ⇒ Preserve at Windsor Lake, 9% Interest [OL]		\$1,001 - \$15,000	K-1, LP Income	Not Applicable	\$1,001 - \$2,500
LOCATION: Columbia, SC, US DESCRIPTION: This property is owned by CHIPS III AC, LP. Income for the LP is reported in aggregate. Percent interest is percent of total partnership capital that this property represents.					
CHIPS III AC, LP ⇒ Retreat at PCB, 12% Interest [OL]		\$1,001 - \$15,000	K-1, LP Income	Not Applicable	\$1,001 - \$2,500
LOCATION: Panama City Beach, FL, US DESCRIPTION: This property is owned by CHIPS III AC, LP. Income for the LP is reported in aggregate. Percent interest is percent of total partnership capital that this property represents.					
CHIPS III AC, LP ⇒ Riverhouse, 9% Interest [OL]		\$1,001 - \$15,000	K-1, LP Income	Not Applicable	\$1,001 - \$2,500
LOCATION: Little Rock, AR, US DESCRIPTION: This property is owned by CHIPS III AC, LP. Income for the LP is reported in aggregate. Percent interest is percent of total partnership capital that this property represents.					
CHIPS III AC, LP ⇒ San Miguel del Bosque, 3% Interest [OL]		\$1,001 - \$15,000	K-1, LP Income	Not Applicable	\$201 - \$1,000
LOCATION: Albuquerque, NM, US DESCRIPTION: This property is owned by CHIPS III AC, LP. Income for the LP is reported in aggregate. Percent interest is percent of total partnership capital that this property represents.					
CHIPS III AC, LP ⇒ Whitepalm, 13% Interest [OL]		\$1,001 - \$15,000	K-1, LP Income	Not Applicable	\$1,001 - \$2,500
LOCATION: Port Orange, FL, US DESCRIPTION: This property is owned by CHIPS III AC, LP. Income for the LP is reported in aggregate. Percent interest is percent of total partnership capital that this property represents.					
Citizens Bank, Carthage, TN Accounts [BA]		\$100,001 - \$250,000	Interest	Not Applicable	\$1 - \$200
DESCRIPTION: Personal and farm operating, interest bearing checking accounts and checking account of spouse.					
Citizens Bank, Carthage, TN Stock [PS]		\$500,001 - \$1,000,000	Dividends	Not Applicable	\$15,001 - \$50,000
DESCRIPTION: Stock in community bank.					
Danny Conger Farm Note, Liberty, TN [DO]		\$250,001 - \$500,000	Interest	Not Applicable	None
DESCRIPTION: Note secured by deed of trust on farm real estate. Liberty, TN.					
Darrin and Jenny Drake Farm Note, Hickman, TN [DO]		\$15,001 - \$50,000	Interest	Not Applicable	\$1,001 - \$2,500
DESCRIPTION: Note secured by deed of trust on farm real estate. Hickman, TN.					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Farm Trust [RP]		\$100,001 - \$250,000	None		
LOCATION: DeKalb, TN, US DESCRIPTION: Beneficial owner of farm real estate held in trust.					
First Farmers and Merchants Corp., Columbia, TN Stock [PS]		\$50,001 - \$100,000	Dividends	Not Applicable	\$1,001 - \$2,500
DESCRIPTION: Stock in community bank.					
First Freedom Bancshares, Lebanon, TN Stock [PS]		\$500,001 - \$1,000,000	Dividends	Not Applicable	None
DESCRIPTION: Stock in community bank.					
First Freedom Bank, Lebanon, TN Account [BA]		\$1,000,001 - \$5,000,000	Interest	Not Applicable	\$15,001 - \$50,000
DESCRIPTION: Personal money market account.					
First National Bank of Tennessee, Livingston, TN Accounts [BA]		\$100,001 - \$250,000	Interest	Not Applicable	\$1 - \$200
DESCRIPTION: Personal interest bearing checking account and personal savings account of dependent child.					
First National Bank of Tennessee, Livingston, TN Stock [PS]		None	Dividends	Not Applicable	\$201 - \$1,000
DESCRIPTION: This asset was divested prior to 12/31/2018. Income reflects reported K-1 income for 2018. There was no distribution of income in 2018.					
Harper's Point Land Partnership, LP, 9% Interest [OL]		\$100,001 - \$250,000	K-1, LP Income	Not Applicable	None
LOCATION: Murfreesboro, TN, US DESCRIPTION: Limited partner in entity that owns undeveloped land in Murfreesboro, TN. There was no income from this partnership for the year. The K-1 from this LP reflects no net income for the year.					
Hunter's Creek Apartments, LP, 1% Interest [OL]		\$15,001 - \$50,000	K-1, LP Income	Not Applicable	\$5,001 - \$15,000
LOCATION: Lebanon, TN, US DESCRIPTION: Limited partner in entity that owns multi-family residential development. The amount of income represents the aggregate distributions from the LP. The K-1 from this LP reflects a net income loss for the year.					
John Rose for Tennessee Campaign Loan, Cookeville, TN [DO]		\$250,001 - \$500,000	None		
DESCRIPTION: Loan to John Rose for Tennessee campaign for Tennessee's 6th District seat in the United States House of Representatives. No interest is presently being charged.					
John Williams Rose RIRA ⇒		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
AB Relative Value Fund Class A M/F [MF]					
DESCRIPTION: Investment held in personal Roth IRA Account.					
John Williams Rose RIRA ⇒ Bank of America Corporation (BAC) [ST]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Investment held in personal Roth IRA Account.					
John Williams Rose RIRA ⇒ Continental Resources, Inc. (CLR) [ST]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Investment held in personal Roth IRA Account.					
John Williams Rose RIRA ⇒ Fidelity Advisor Small Cap Fund Class M M/F [MF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Investment held in personal Roth IRA Account.					
John Williams Rose RIRA ⇒ Finisar Corporation (FNSR) [ST]		\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: Investment held in personal Roth IRA Account.					
John Williams Rose RIRA ⇒ INVESCO International Growth Fund Class C M/F [MF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Investment held in personal Roth IRA Account.					
John Williams Rose RIRA ⇒ INVESCO Low Volatility Equity Yield Fund Class A [MF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Investment held in personal Roth IRA Account.					
John Williams Rose RIRA ⇒ Massachusetts Investors Growth Stock Fund Class C M/F [MF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Investment held in personal Roth IRA Account.					
John Williams Rose RIRA ⇒ MFS Growth Fund Class A M/F [MF]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Investment held in personal Roth IRA Account.					
John Williams Rose RIRA ⇒ MFS Mid Cap Growth Fund Class C M/F [MF]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Investment held in personal Roth IRA Account.					
John Williams Rose RIRA ⇒ Microsoft Corporation (MSFT) [ST]		\$50,001 - \$100,000	Tax-Deferred		
DESCRIPTION: Investment held in personal Roth IRA Account.					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
John Williams Rose RIRA ⇒ Raymond James Bank Deposit Program [BA]					
		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Investment held in personal Roth IRA Account.					
John Williams Rose RIRA ⇒ Thornburg Value Fund Class C M/F [MF]					
		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Investment held in personal Roth IRA Account.					
John Williams Rose RIRA ⇒ VOC Energy Trust Units of Beneficial Interest (VOC) [ST]					
		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Investment held in personal Roth IRA Account.					
Legacy at Wakefield/HF, LP, 1% Interest [OL]					
		\$50,001 - \$100,000	K-1, LP Income	Not Applicable	\$5,001 - \$15,000
LOCATION: Raleigh, NC, US					
DESCRIPTION: Limited partner in entity that owns multi-family residential development. The amount of income represents the aggregate distributions from the LP. The K-1 from this LP reflects a lower net income for the year.					
Nationwide Life Insurance Whole Life Policy [WU]					
		\$1,001 - \$15,000	Interest	Not Applicable	\$1 - \$200
DESCRIPTION: Cash surrender value of whole life policy on the life of John W. Rose.					
Packwood Financial Partners, LLC, 9% Interest [OL]					
		\$1,001 - \$15,000	K-1, LLC Income	Not Applicable	None
LOCATION: Nashville, TN, US					
DESCRIPTION: LLC organized to hold certain financial interests relating to ownership of a multi-family residential development in Maitland, FL. There were no distributions and no K-1 income.					
Pavilion Land Partnership, LP, 1% Interest [OL]					
		\$1,001 - \$15,000	K-1, LP Income	Not Applicable	None
LOCATION: Columbia, SC, US					
DESCRIPTION: Limited partner in entity that owns undeveloped land in Columbia, SC. There was no income from this partnership for the year. The K-1 from this LP reflects no net income for the year.					
Pinnacle National Bank, Nashville, TN Account [BA]					
		\$1,001 - \$15,000	Interest	Not Applicable	\$1 - \$200
DESCRIPTION: Personal money market account.					
Raymond James Brokerage Account ⇒ Alphabet Inc. - Class A (GOOGL) [ST]					
		\$100,001 - \$250,000	None		
Raymond James Brokerage Account ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]					
		\$100,001 - \$250,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Raymond James Brokerage Account ⇒ AMCAP Fund Class A-American Funds M/F [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	Not Applicable	\$15,001 - \$50,000
Raymond James Brokerage Account ⇒ Bank of America Corporation (BAC) [ST]		\$100,001 - \$250,000	Dividends	Not Applicable	\$2,501 - \$5,000
Raymond James Brokerage Account ⇒ Capital World Growth & Income Fund Class A-American FD M/F [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	Not Applicable	\$5,001 - \$15,000
Raymond James Brokerage Account ⇒ Caterpillar, Inc. (CAT) [ST]		\$250,001 - \$500,000	Dividends	Not Applicable	\$5,001 - \$15,000
Raymond James Brokerage Account ⇒ Core Laboratories N.V. (CLB) [ST]		\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
Raymond James Brokerage Account ⇒ Europacific Growth Fund Class A-American Funds M/F [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	Not Applicable	\$5,001 - \$15,000
Raymond James Brokerage Account ⇒ Growth Fund of America Class A-American Funds M/F [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	Not Applicable	\$15,001 - \$50,000
Raymond James Brokerage Account ⇒ Investment Company of America Class A-American Funds M/F [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	Not Applicable	\$15,001 - \$50,000
Raymond James Brokerage Account ⇒ Microsoft Corporation (MSFT) [ST]		\$500,001 - \$1,000,000	Dividends	Not Applicable	\$5,001 - \$15,000
Raymond James Brokerage Account ⇒ Pinnacle Financial Partners, Inc. (PNFP) [ST]		\$100,001 - \$250,000	Dividends	Not Applicable	\$1,001 - \$2,500
Raymond James Brokerage Account ⇒ Smallcap World Fund Class A-American Funds M/F [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	Not Applicable	\$15,001 - \$50,000
Raymond James Brokerage Account ⇒ Wells Fargo & Company (WFC) [ST]		\$100,001 - \$250,000	Dividends	Not Applicable	\$5,001 - \$15,000
Raymond James Brokerage Account Money Market [BA]		None	Interest	Not Applicable	None
DESCRIPTION: Money market portion of brokerage account.					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Retreat at PCB/RP-Bay, LLC, 1% Interest [OL]		\$15,001 - \$50,000	K-1, LP Income	Not Applicable	\$2,501 - \$5,000
LOCATION: Panama City Beach, FL, US DESCRIPTION: Limited partner in entity that owns multi-family residential development. The amount of income represents the aggregate distributions from the LLC. The K-1 from this LP reflects a lower net income for the year.					
Riverhouse/ML-BWP, LP, 1% Interest [OL]		\$50,001 - \$100,000	K-1, LP Income	Not Applicable	\$2,501 - \$5,000
LOCATION: Little Rock, AR, US DESCRIPTION: Limited partner in entity that owns multi-family residential development. The amount of income represents the aggregate distributions from the LP. The K-1 from this LP reflects a lower net income for the year.					
Rose Farm, 100% Interest [FA]		\$5,000,001 - \$25,000,000	Farm Income	Not Applicable	\$50,001 - \$100,000
LOCATION: DeKalb / Smith / Putnam, TN, US DESCRIPTION: The farm incurred a net loss in the reporting year. Income reported is gross revenue for the calendar year.					
Simmons Bank, TN Accounts [BA]		\$50,001 - \$100,000	Interest	Not Applicable	\$1 - \$200
DESCRIPTION: Personal money market and checking account.					
Smith Fork Ventures, Inc. Demand Note, Nashville, TN [DO]		\$5,000,001 - \$25,000,000	Interest	Not Applicable	\$100,001 - \$1,000,000
DESCRIPTION: Demand note to business.					
Smith Fork Ventures, Inc., Nashville, TN, 92% Interest [OL]		\$5,000,001 - \$25,000,000	K-1, S Corp Income	Not Applicable	None
LOCATION: Nashville, TN, US DESCRIPTION: Smith Fork Ventures, Inc. wholly owns Boson Holdings, LLC which in turn wholly owns Boson Software, LLC and Boson Training, LLC. All of the Boson LLCs are disregarded entities for tax purposes.					
Sun Life Assurance Company Whole Life Policy [WU]		\$250,001 - \$500,000	None		
DESCRIPTION: Cash surrender value of whole life policy on life of John W. Rose.					
Tennessee Farmers Assurance Co., Columbia, TN Stock [PS]		\$15,001 - \$50,000	None		
DESCRIPTION: Stock in Tennessee-based property and casualty insurance company affiliated with Tennessee Farm Bureau Federation.					
Tennessee Farmers Life Insurance Company Fixed Annuity [FN]		\$1,001 - \$15,000	Interest	Not Applicable	\$1 - \$200
DESCRIPTION: Fixed annuity.					
Tennessee Farmers Life Insurance Company Universal Life Policy [WU]		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Cash surrender value of universal life policy on life of John W. Rose.					
Tennessee Farmers Life Insurance Co., Columbia, TN Stock [PS]		\$100,001 - \$250,000	None		
DESCRIPTION: Stock in Tennessee-based life insurance company affiliated with the Tennessee Farm Bureau Federation.					
Windsor Lake/LP-WM, LP, 1% Interest [OL]		\$15,001 - \$50,000	Capital Gains, K-1, LP Income	Not Applicable	\$2,501 - \$5,000
LOCATION: Columbia, SC, US					
DESCRIPTION: Limited partner in entity that owns multi-family residential development. The amount of income represents the aggregate distributions from the LP. The K-1 from this LP reflects a lower net income for the year.					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Smith Fork Ventures, Inc.	Salary and related benefits from service as President	N/A	\$33,946.81
First National Bank of Tennessee, Livingston, TN	Director's Fee	N/A	\$6,500.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Raymond James Financial Services	March 2018	Margin account borrowing	\$1,000,001 - \$5,000,000
COMMENTS: Margin balance.				
	Discover Card	11-12/2018	Monthly balance on credit card	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Director	Tennessee Technological University Foundation, Inc. (TN Nonprofit)
Director	Tech Farms, LLC (TN Nonprofit LLC)
Chairman and Director	Tennessee State Fair Association

Position	Name of Organization
COMMENTS: TSFA is a Tennessee public benefit nonprofit organized to maintain, operate and support the Tennessee State Fair.	
Director	First National Bank of Tennessee
Limited Partner	Carter-Haston Investment Partners III AC, LP
Limited Partner	Bay Capital Partners, LP
Limited Partner	Harper's Point Land Partnership, LP
Limited Partner	Hunter's Creek Apartments, LP
Limited Partner	Legacy at Wakefield/HF, LP
Limited Partner	Windsor Lake/LP-WM, LP
Limited Partner	Pavilion Land Partnership, LP
Limited Partner	Riverhouse/ML-BWP, LP
Limited Partner	430 East Packwood Partners, LP
Limited Partner	Arbor Bend/SC, LP
Member	Packwood Financial Partners, LLC
Member	Packwood Financial Partners, LLC
Member	News Connection USA, LLC
President	Smith Fork Ventures, Inc.
Commissioner	Tennessee State Fair Commission
COMMENTS: I hold this position under Tennessee state statute as an ex-officio, voting member of the Commission by virtue of my position as Chairman of the Tennessee State Fair Association, a Tennessee, public benefit, nonprofit.	
Limited Partner	Broad River/MP, LP
Trustee	Boson Holdings, LLC 401K Plan
Sponsoring Committee Member	Tennessee Future Farmers of America Foundation, Inc.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

--

- Boson Holdings, LLC 401K Plan
DESCRIPTION: Boson Holdings, LLC is a single member LLC and wholly owned by Smith Fork Ventures, Inc. The 401k plan investments are managed by American Funds.
- Chelsea Brooke Rose IRA (Owner: SP)
DESCRIPTION: Raymond James Financial Services IRA of wife.
- CHIPS III AC, LP (1% Interest)
LOCATION: Nashville, TN, US
DESCRIPTION: Ownership % is 0.432%.
- John Williams Rose RIRA
DESCRIPTION: Raymond James Financial Services Roth IRA account.
- Raymond James Brokerage Account (100% Interest)
LOCATION: Nashville, TN, US
DESCRIPTION: John Williams Rose Brokerage Account.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. John W. Rose , 11/14/2019