

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A  
For use by Members, officers, and employees

Page 1 of 9

PAUL W. HODES

(Full Name)

202-225-5206

(Daytime Telephone)

Filer Status: ☒ Member of the U.S. House of Representatives  
State: NH District: 02

Officer Or Employee

Employing Office:

Report Type: ☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule I.		If yes, complete and attach Schedule VI.	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule II.		If yes, complete and attach Schedule VII.	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule III.		If yes, complete and attach Schedule VIII.	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule IV.		If yes, complete and attach Schedule IX.	
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	
If yes, complete and attach Schedule V.			

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

POSTMARK  
ILLEGIBLE

2010 MAY 25 AM 11:43

Office Use Only

**SCHEDULE I - EARNED INCOME**

Name PAUL W. HODES

Page 2 of 9

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Concord Community Music School	Spouse Salary	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL W. HODES

Page 3 of 9

BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source	Year-End Value of Asset	Type of Income	Amount of Income	Transaction
Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.	Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
<p><b>Exclude:</b> Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>				
UBS Financial as follows:				
Citigroup, Inc. Stock	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S
Apple Stock	None	None	NONE	PS
IBM Stock	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	PS
General Electric Stock	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	PS
Citizens Bank Account	\$1 - \$1,000	INTEREST	\$1 - \$200	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name PAUL W. HODES

Page 4 of 9

JT	Merrimack County Savings Bank account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	Merrimack County Savings Bank account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	Approx. 50 acres - Lyme, NH	\$500,001 - \$1,000,000	RENT	\$201 - \$1,000	
	Schwab Harvest Capital Management - transferred from Fidelity Investments as follows:				
	London Stock Exchange Group PLC Stock	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S
	Hong Kong Exchanges and Clearing Ltd. Shares	None	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	S
	Berkshire Hathaway Inc CL B	None	CAPITAL GAINS	\$1,001 - \$2,500	S
	Canadian Oil Sands Tr	None	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	S
	El Paso Corp Com	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S
	Luecadia Natl Corp	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S
	Henderson Land Development Co. Ltd	None	CAPITAL GAINS	\$1,001 - \$2,500	S

# SCHEDULE IV - TRANSACTIONS

Name PAUL W. HODES

Page 5 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Citigroup, Inc. Stock	S	Yes	4-1-09	\$1,001 - \$15,000
	Mueller Inds, Inc. Stock	S	No	8-12-09	\$1,001 - \$15,000
	Travelers Cos Inc. Stock	S	No	8-12-09	\$1,001 - \$15,000
	Apple Stock	P	N/A	3-4-09	\$1,001 - \$15,000
	Apple Stock	S	No	6-18-09	\$1,001 - \$15,000
	IBM Stock	P	N/A	3-4-09	\$1,001 - \$15,000
	IBM Stock	S	Yes	6-25-09	\$1,001 - \$15,000
	General Electric Stock	P	N/A	3-4-09	\$1,001 - \$15,000
	General Electric Stock	S	Yes	6-25-09	\$1,001 - \$15,000
	Allegheny Energy Inc.	S	No	9-3-09	\$1,001 - \$15,000
	Berkshire Hathaway Inc CL B	S	Yes	8-1-09	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name PAUL W. HODES

Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Brookfield Asset Mgmt Inc.	S	No	8-26-09	\$1,001 - \$15,000
	Canadian Oil Sands Tr	S	Yes	3-10-09	\$1,001 - \$15,000
	Gazprom O A O Sponsored ADR	S	No	8-31-09	\$1,001 - \$15,000
	Legg Mason	S	No	8-26-09	\$1,001 - \$15,000
	Reliant Energy Inc.	S	No	1-13-09	\$1,001 - \$15,000
	Deutsche Boerse AG Frankfurt Am Main	S	No	2-19-09	\$1,001 - \$15,000
	Burlington Northern Santa Fe Corp	S	No	2-9-09	\$1,001 - \$15,000
	CNOOC Ltd Sponsored ADR	S	No	8-31-09	\$1,001 - \$15,000
	Carnival Corp Paired CTF 1 Com	S	No	8-26-09	\$1,001 - \$15,000
	Huaneng Power Intl Inc.	S	No	1-13-09	\$1,001 - \$15,000
	Time Warner Inc.	S	No	8-31-09	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name PAUL W. HODES

Page 7 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
	Union Pacific Corp	S	No	9-21-09	\$1,001 - \$15,000
	VISA Inc Com Cl A	S	No	2-9-09	\$1,001 - \$15,000
	London Stock Exchange Group PLC Stock	S	Yes	8-27-09	\$1,001 - \$15,000
	Beijing Capital International Airport Ltd Shares	S	No	8-27-09	\$1,001 - \$15,000
	Hong Kong Exchanges and Clearing Ltd. Shares	S	Yes	8-27-09	\$1,001 - \$15,000
	El Paso Corp Com	S	Yes	9-25-09	\$1,001 - \$15,000
	ICICI Bk Ltd ADR	S	No	9-25-09	\$1,001 - \$15,000
	Luecadia Natl Corp	S	Yes	9-11-09	\$1,001 - \$15,000
	NASDAQ OMX Group, Inc.	S	No	9-14-09	\$1,001 - \$15,000
	Henderson Land Development Co. Ltd	S	Yes	8-27-09	\$1,001 - \$15,000
	Anglo American PLC ADR New York	S	No	9-21-09	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name PAUL W. HODES

Page 8 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	BHP Billiton Ltd Spon ADR	S	No	9-25-09	\$1,001 - \$15,000
	Disney Walt Co. Del	S	No	9-25-09	\$1,001 - \$15,000
	Encana Corp	S	No	8-21-09	\$1,001 - \$15,000
	Mastercard Inc Cl A	S	No	8-21-09	\$1,001 - \$15,000
	CME Group Inc.A	S	No	8-21-09	\$1,001 - \$15,000



# SCHEDULE VIII - POSITIONS

Name PAUL W. HODES

Page 9 of 9

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Big Round Records, Inc. (Inactive Corporation)
Member	Big Round Music, LLC