	Yes No 🗸	it child in Ethics.	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	ssets, "unearned" in ? Do not answer "y	m this report any other a three tests for exemption		Exemptions
	Yes No 🗸	be	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	oved by the Commit details of such a tru	alified Blind Trusts" appr excluded from this report	Details regarding "Quadisclosed. Have you e	Trusts
	Yes 🗌 No 🗸		tial Public Offering?	d as a part of an Ini	Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Did you purchase any	IPO
	2UESTIONS	IESE Q	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION ANSWER EACH OF THESE QUESTIONS	, OR TRUST I	SE, DEPENDENT	USION OF SPOU	PO and EXCL
		ě	schedule attached for each "Yes" response			If yes, complete and attach Schedule V.	If yes, complete
	the appropriate	red and t	Each question in this part must be answered and the appropriate	Yes No	e any reportable liability ?	Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	V. (more than \$10,000
			If yes, complete and attach Schedule IX.			If yes, complete and attach Schedule IV.	If yes, complete
	Yes No	an outside	Did you have any reportable agreement or arrangement with an outside IX. entity?	Yes 🗸 No 🗌	ase, sell, or exchange any 100 during the reporting	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting recipal?	Did you, your spou IV. reportable asset in period?
			If yes, complete and attach Schedule VIII.			If yes, complete and attach Schedule III.	If yes, complete
	the Yes ✓ No	of filing in th	Did you hold any reportable positions on or before the date of filing in the VIII. current calendar year?	Yes ✓ No	ive "unearned" income of iny reportable asset worth	Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Did you, your spot III. more than \$200 in more than \$1,000;
			If yes, complete and attach Schedule VII.			If yes, complete and attach Schedule II.	If yes, complete
	elor 50 Yes 🗸 No	rtable travel re than \$350	Did you, your spouse, or a dependent child receive any reportable travel or VII. reimbursements for travel in the reporting period (worth more than \$350 from one source)?	Yes No	tion to charity in Heu of paying eporting period?	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Did any individual II. you for a speech, a
			If yes, complete and attach Schedule VI.			If yes, complete and attach Schedule I.	If yes, complete
	in Yes 🗌 No 🗸	rtable gift in xt otherwise	Did you, your spouse, or a dependent child receive any reportable gift in VI. the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?	Yes 🕢 No 🗌	e.g., salaries or fees) of \$200 I7	Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Did you or your sp I. or more from any :
	:		QUESTIONS	OF THESE G	- ANSWER EACH	PRELIMINARY INFORMATION ANSWER EACH OF THESE	RELIMINARY
	late.	late.		☐ Termination	☐ Amendment	Annual (May 15)	Type
	ro than 30 days		Termination Date:	-			
	be assessed against	be a	Employee		atives District: 04	House of Representatives	<i></i>
•	A \$200 penalty shall	A \$2	Officer Or Employing Office:		State: IL	Member of the U.S.	Filer
710	(Office Use Only)	(6	(Daytime Telephone)		(Full Name)	(F	
	28 8 3 S S S S S S S S S S S S S S S S S	23 23			Rep. Luis V. Gutierrez	Rep. Lui	
-	Work WATAL BANGUENE BANK	3				:	
Ū	DELIVERED		FORM A Page 0 of 0 For use by Members, officers, and employees	ITATIVES EMENT	OF REPRESEN	UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT	UNITED ST
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SCHEDULE I - EARNED INCOME

Name Rep. Luis V. Gutierrez

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Туре	Amount
Gutierrez for Congress	Treasurer Spouse Salary	N/A

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. Luis V. Gutierrez

						£	
JT	ı	JT	JT	Ίľ		If you so choose, you may indiction spouse (SP) or dependent child optional column on the far left. For a detailed discussion of Sc instruction booklet.	ASSet identify (a) each ass value exceeding \$1, reportable asset or "unearned" income Provide complete n For all IRAs and othe each asset held in the For an ownership in a description, e.g., a description in Block A. Exclude: Your person, or income derive Savings Plan.
New York Life Insurance Annuity (fixed)	Great American Insurance Corporation Annuity (fixed) See note 2	615 Vistamar St Rio Grande, PR	615 Vistamar St Rio Grande, PR	535 Marina St Rio Grande, PR	BOOK (Norton)	If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule ill requirements, please refer to the instruction booklet.	ASSET and/or income Source Asset and/or investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property, and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.
\$100,001 - \$250,000	None	None	None	None	undetermined		Pear-End Year-End Value of Asset Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None." *This column is for assets held solely by your spouse or dependent child.
					ed		SSet at set at set at fair se se lused. I used. I used. I used the lone."
INTEREST	INTEREST	CAPITAL GAINS	RENT	None	None	ı	Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.
NONE	\$15,001 - \$50,000	\$15,001 - \$50,000	\$2,501 - \$5,000	[-\$100,000]	NONE		Amount of Income For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. * This column is for income generated by assets held solely by your spouse or dependent child.
	· · · ·	Ø	<i>σ</i>	Ø			BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.



SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. Luis V. Gutierrez

				_	
Pacific Life Annuity:					
1. DCA Plus 12-Month (fixed)	\$50,001 - \$100,000	01 -	None	NONE	ס
2.Port Opt Conservative (variable)	\$250,001 \$500,000	0001 -	None	NONE	יס
3. BR Global Allocation (variable)	None		None	NONE	Ø
4. FT Founding Funds (variable)	None		None	NONE	Ø
5. American Asset Alloc (variable)	None		None	NONE	တ
6. PIMCO Global Multi-Asset (variable)	None		None	NONE	Ø
7. Port Opt Growth (variable)	None		None	NONE	S
PNC Checking Account	\$15,001 \$50,000	01 -	INTEREST	\$1 - \$200	
American Express Savings Account	\$1 - \$	\$1 - \$1,000	INTEREST	\$1 - \$200	

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Name Luis V Gutierrez

		Halle care : Or	and it can		
	BLOCK A	вгоск в	BLOCK C	BLOCK D	BLOCK E
Ass identify (a) eac value exceedir reportable ass "unearned" in	Asset and/or income Source identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearmed" income during the year.	Year-End Value of Asset Indicate value of seed at close of reporting year. If		Amount of Income For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the	Transaction Indicate If asset had purchases (P), sales (S), or exchanges (E)
Provide compl For all IRAs an each asset had	Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.	method other than fair market value, please specify the method used.	(such as 481(k) plans or iRAs), you may check the "None" column. Dividends, interest, and capital gains, even if	the appropriate box below. Dividends, impreet, and capital gains, even if reinvested, must be declosed as income. Check	reporting year.
For rental or o	For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.	If an asset was sold during the reporting year and is included only because it	reinvented, make be disclosed as lecome. Check "None" if the asset generated no income	or generated.	
For an ownership in state the name of the location in Block A.	For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the acture of its activities, and its geographic location in Block A.	generated income, the value should be "Nors." * This column is for assets	rening her report of	generated by assets held solely by your apouse or dependent child.	<u>-</u>
Exclude: Your (unless there v \$6,000 or less h, or income of Savings Plan.	Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$6,800 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.	or dependent child.			
If you so choos spouse (\$P) or optional colum	If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.				
For a detailed a	For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.				
	Charles Schwab#1:		_		
ı	Bay Area Toll Auth	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	10
	California St for 5% 23	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	ס
	Calfornia St for 5%22	\$15,001 - \$50,000	INTEREST/I/CAP	\$201 - \$1,000	S(part)
	District of Columbia 5%19	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	יטר
	Massachusetts St 5%20	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	υ

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	Name Luis V Gunerrez	Gutierrez		
Metropolitan Transn5%24	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
Montana FAC 5%22	\$1,001 - \$15,000	INTEREST	\$1 - \$200	; ס
Nebraska PUB5%19	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	יי סד יי סד יי
New Jersey Econ	\$1,001 - \$15,000	INTEREST	\$1 - \$200	: U
New Jersey St TPK	\$1,001 - \$15,000	INTEREST	\$1 - \$200	·
New Jersey St TR	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
New York NY	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	ס י
North Carolina Mun	\$1,001 - \$15,000	INTEREST	\$1 - \$200	·
Ohio State-4%	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	ס
Oklahoma St-WTR	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	י ס

Orange County FL

Virginia St Res

\$15,001 -\$50,000 \$15,001 -\$50,000

INTEREST

\$201 - \$1,000

Rhode Island Clean

Pennsylvania Econ

\$15,001 -\$50,000

INTEREST

\$201 - \$1,000

INTEREST

\$201 - \$1,000

\$15,001 -\$50,000

INTEREST

\$201 - \$1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME Name Luis V Gutierrez

	Washington State-FOR	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	ס
	AT&T	None	CAPITAL GAINS	\$1,001 - \$2,500	Ġ
	Ameren Corp	None	CAPITAL GAINS	\$201 - \$1,000	o ·
	Charle Schwab#2			;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;	
	TIAA Cref High Yield	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	'
9	Charles Schwab#3	·			
	· -				
	Guggenheim Exchg	\$1,001 \$15,000	CAPITAL GAINS	\$1 - \$200	יסי
,	Ishares Etf	\$1,001 - \$15,000	CAPITAL GAINS	NONE	ט
I .	ISHARES fixe	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	70
	Ishares Gold Trust	\$1,001 - \$15,000	CAPITAL GAINS	\$1-\$200	ס
	Ishares MSCI	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	70
	Ishares Hong Kong	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	TO .
	Ishares TR Barclays 1-3yrs	\$1,001 - \$15,000	CAPITAL GAINS	NONE	PS(part)

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Name Luis V Gutierrez

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Charles Schwab#4:	Vanguard Total	Vanguard Reit	Vanguard Div Apprciation	Vanguard Bond	SPDR Barclays	Powershs QQQ	Powershs Exch	Powershs DB	Powershares S&P	Pimco Exch	Ishares Barclays Tip	Ishares Barclays 7-10yrs
· · ·	\$1,001 - \$15,000	\$15,001 - \$50,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$1,001 - \$15,000						
	CAPITAL GAINS	CAPITAL GAINS	CAPITAL GAINS	CAPITAL GAINS	CAPITAL GAINS	CAPITAL GAINS	CAPITAL GAINS	CAPITAL GAINS	CAPITAL GAINS	CAPITAL GAINS	CAPITAL GAINS	CAPITAL GAINS
 	\$1 - \$200	\$1 - \$200	\$1 - \$200	NONE	NONE	NONE	\$1 - \$200	NONE	NONE	\$1-\$200	\$1 - \$200	NONE
	; 	<u> </u>	PS(part)	· •	; ; ; •	: 		ס	PS(part)	PS(part)	PS(part)	, , ,

Egshares ETF	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200
Ishares ETF	\$1,001 - \$15,000	CAPITAL GAINS	NONE
Ishares FTSE	\$15,001 - \$50,000	CAPITAL GAINS	\$1,001 - \$2,500
Ishares Gold Trust	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000
Ishares MSCI Grmny	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000
Ishares Hong Kong	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000
Ishares TR Barclays	\$1,001 - \$15,000	CAPITAL GAINS	NONE
Ishares TR Barclay Tips	\$15,001 - \$50,000	CAPITAL GAINS	\$1 - \$200
Ishares TR Russell	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000
Powershares S&P ETF	\$1,001 - \$15,000	CAPITAL GAINS	NONE
Powershares DB	\$1,001 - \$15,000	CAPITAL GAINS	NONE
Powershares Exch Trad	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200
Powershares QQQ	\$15,001 - \$50,000	CAPITAL GAINS	NONE
SPDR Barclays ETF	\$15,001 - \$50,000	CAPITAL GAINS	NONE

7		Name Luis V Gutierrez	Sutierrez		
	Spdr Gold Tr	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	יייי דייי סיי
	Vanguard Bond Index Fund	\$15,001 - \$50,000	CAPITAL GAINS	NONE	ָּ טּ
	Vanguard Div Appr	\$15,001 - \$50,000	CAPITAL GAINS	\$201 - \$1,000	'
	Vanguard Msci	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	,
· · · · · · · · · · · · · · · · · · ·	Vanguard MSCI	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	ָּ סר
	Vanguard REIT	\$15,001 - \$50,000	CAPITAL GAINS	\$1 - \$200	ָ ס ר
	Vanguard Total Stock	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	, o
	Wisdomtree Asia	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	70
4S S	Charle Schwab#5	;	:		
	Egshares ETF	\$1,001 - \$15,000	CAPITAL GAINS		
	Ishares ETF	\$1,001 - \$15,000	CAPITAL GAINS	NONE	סר
	m İ	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	· · ·
	Ishares Gold	\$1,001 - \$15,000		NONE	סד
· —	Ishares MSCI Germany	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	ם

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OCTICOOLE III - ASSETS AND "UNEARNED" INCOME	NCOME Name Luis V Gutierrez	V Gutienez			·
Ishares Hong Kong	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	ס	ل د سر ن د د د د د
Ishares TR Barclays Bond	\$1,001 \$15,000	CAPITAL GAINS	NONE	טר	<u> </u>
Ishares TR Russell	\$1,001 - \$15,000	CAPITAL GAINS	NONE	סר	<u></u>
Market Vectors	\$1,001 - \$15,000	CAPITAL GAINS	NONE	.	<u>, </u>
Powershares S&P	\$1,001 - \$15,000	CAPITAL GAINS	NONE		
Powershs DB	\$1,001 - \$15,000	CAPITAL GAINS	NONE	7	
Powershs Exch Trad	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	v	
Powershs QQQTrust	\$1,001 - \$15,000	CAPITAL GAINS	NONE	70	<u>:</u>
Spdr Gold Trust	\$1,001 - \$15,000	CAPITAL GAINS	NONE	v	
Vanguard Div Appr	\$1,001 - \$15,000	CAPITAL GAINS	NONE	ס	<u></u> ,
Vanguard MSCI EAFE	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	ָ ס	
Vanguard MSCI Emerging	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	ט	-
Vanguard REIT	\$1,001 - \$15,000	CAPITAL GAINS	NONE	0	
Vanguard Total Stock	\$1,001 - \$15,000	CAPITAL GAINS	NONE	ับ	

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ASSETS
S AND "
JNEARNED
ED" INC
OME

Name Luis V Gutierrez

		Name Luis V Gutierrez	utierrez		
	Wisdomtree Asia	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	P
န	Charles Schwab#6;				:
•	American Century Inter	\$100,001 \$250,000	CAPITAL GAINS	NONE	ָ סר י
	Pirnco Total return	\$1,001 - \$15,000	CAPITAL GAINS	NONE	יסר : :
	Ishares IBOXX	\$1,001 - \$15,000	CAPITAL GAINS	NONE	יסר
	American FD New	None	CAPITAL GAINS	\$201 - \$1,000	S
	Amerisourcecebergen	None	CAPITAL GAINS	\$201 - \$1,000	S
	American Eagle	None	CAPITAL GAINS	\$201 - \$1,000	Ø
	Eaton Corp	None	CAPITAL GAINS	\$201 - \$1,000	G
•	Hebalife Ltd	None	CAPITAL GAINS	\$201 - \$1,000	S
	Home Depot	None	/CAPITAL GAINS	\$1,001 - \$2,500	(
-	International Paper	None	CAPITAL GAINS	\$201 - \$1,000	· ·
	IBM	None	CAPITAL GAINS	\$201 - \$1,000	σ.
	KLA Tencor	None	CAPITAL GAINS	\$201 - \$1,000	Ø
		_		_	

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Philips 66	Pfizer Inc	Merck & Co	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	
None	None	None		
	;		Name Luis V Gutierrez	
CAPITAL GAINS \$201 - \$1,000	CAPITAL GAINS \$201 - \$1,000	CAPITAL GAINS \$1,001 - \$2,500	tierrez	
\$201 - \$1,000	\$201 - \$1,000	\$1,001 - \$2,500	3 700	
	'. <u>.</u>	.: - -	တ	

CHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Luis V Gutierrez			,
Merck & Co	None	CAPITAL GAINS	\$1,001 - \$2,500	G
Pfizer Inc	None	CAPITAL GAINS	\$201 - \$1,000	S
Philips 66	None	CAPITAL GAINS	\$201 - \$1,000	Ø
SCHW US Caps	None	CAPITAL GAINS	\$2,501 - \$5,000	ග
Time Warner	None :	CAPITAL GAINS	\$1,001 - \$2,500	S
	None	CAPITAL GAINS	\$1,001 - \$2,500	S
		CAPITAL GAINS	\$201 - \$1,000	Ø
	None	CAPITAL GAINS	\$201 - \$1,000	o
	Z	CAPITAL GAINS	\$1,001 - \$2,500	o
Wells Fargo	Total		1	 ທ
Williams Co	None	CAPITAL GAINS	\$1,001 - \$2,500	÷ • • • • • • • • • • • • • • • • • • •
Arizona St Trans	None	CAPITAL GAINS	\$201 - \$1,000	Ø
		CAPITAL GAINS	\$201 - \$1,000	 တ
Delafield Fund	None	CAPITAL GAINS		-
SCHW US Scap	None	CAPITAL GAINS	\$201 - \$1,000	<u>.</u>
Sector SPDR Health	None	CAPITAL GAINS	\$ \$201 - \$1,000	·- ຜ

SCHEDULE III - ASSETS AND "UNEARNED" INCOME	INCOME Name Luis V Gutierrez		
Cohen & Steers Realty	None	CAPITAL GAINS	\$1,001 - \$2,500
Health Care REIT	None	ICAPITAL GAINS	\$2,501 - \$5,000
Manager Real estate	None	CAPITAL GAINS	\$201 - \$1,000
Ridgeworth High Incom	None	CAPITAL GAINS	\$201 - \$1,000
SCHW US Lcap	None	CAPITAL GAINS	\$5,001 - \$15,000
Ishares TR Barclays Tips	None	CAPITAL GAINS	\$201 - \$1,000
lshares TR Barclays Tips	None	CAPITAL GAINS	\$201 - \$1,000
Prudential Annuity (Note 1) Retirement Reallocation:			
Cohen & Steers Realty	\$1,001 - \$15,000	TAL GAINS	
JP Morgan Intl Equity	\$15,001 - \$50,000	DIVIDENDS/CAPI TAL GAINS	
Pimco Total return bond	\$50,001 - \$100,000	DIVIDENDS/CAPI TAL GAINS	: _ ···
T.Rowe Price Asset	\$50,001 - \$100,000	CAPITAL GAINS/DIVIDEN DS	<u>!</u>
Flordía St	\$15,001 - \$50,000	CAPITAL GAINS	
California St PUB	\$15,001	CAPITAL GAINS	SNONE

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Luis V Gutierrez	V Gutierrez	
California GO	\$1,001 -	CAPITAL GAINS	NONE
	\$15,000	i	200
T. Rowe Price Lage Cap	None	CAPITAL GAINS	201
Mid-Small Cap	None	CAPITAL GAINS	NONE
International growth	None	CAPITAL GAINS	NONE
	None	CAPITAL GAINS	NONE
Bonds-Lord Abbett	None	CAPITAL GAINS	NONE

Name Rep. Luis V. Gutierrez

is sold, please so indicate (i.e., "partial sale"). See example below. Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. * This column is for assets solely held by your spouse or dependent child.

							JŢ	JT	JT	SP, DC, JT
7. Port Opt Growth (variable)	6. PIMCO Global Multi-Asset (variable)	5. American Asset Alloc (variable)	4. FT Founding Funds (variable)	3. BR Global Allocation (variable)	2.Port Opt Conservative (variable)	Pacific Life Annuity: 1. DCA Plus 12-Month (fixed)	Great American Insurance Corporation Annuity (fixed)	535 Marina St Rio Grande, PR	615 Vistamar St Rio Grande, PR	Asset
Ø		S	S	S	סי	ס	S	တ	S	Type of Transaction
o	No	N _o	N _o	No	N/A	N/A	No	No	Yes	Capital Gain in Excess of \$200?
12-14-12	8-14-12	8-14-12	8-14-12	8-14-12	8-14-12	9-17-2012	09-11-2012	10-15-12	06-27-12	Date
\$50,001 - \$100,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$100,001 - \$250,000	\$100,001 - \$250,000	\$100,001 - \$250,000	\$100,001 - \$250,000	\$100,001 - \$250,000	Amount of Transaction



Name Luis V Gutierrez

between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. * This column is for assets solely held by your spouse ordependent child.

78,8	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Chales Scwab #1		<u> </u>		
	Affac Inc	÷	N N	02-27-12	\$1,001 - \$15,000
	Aflac Inc	'	- No	07-27-12	\$1,001 - \$15,000
	AT&T Inc	ס	N/A	01-10-12	\$1,001 - \$15,000
	AT&T	(Yes	08-06-12	\$1,001 - \$15,000
	Activisosn Blizard	ס	N A	02-09-12	\$1,001 - \$15,000
	Activision Blizzard	<u>o</u>	8	02-14-12	\$1,001 - \$15,000
,	Ameren Corp	· · · · · · · · · · · · · · · · · · ·	NA :	03-15-12	\$1,001 - \$15,000
	Ameren Corp	Ø	Yes	08-06-12	\$1,001 - \$15,000
•	American FD CAP World		NA NA	01-05-12 02-27-12	\$15,001 - \$50,000
	American FD CAP World	<u>.</u>	8	06-19-12	\$15,001 - \$50,000

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset s sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. This column is for assets solely held by your spouse ordependent child.

			1				•			77, 20, 38,
Auto Data Processing	Auto Data Processing	Anadarko Ptero	Anadarko Petro	American Eagle	American Eagle	Amerisource bergen	Amerisourcebergen	American FD New	American FD New	Asset
	· ·	;	i	•	:		,			·
Ø	.	Ø	70	w	ט ר	ິທີ່	7	G	סד	Type of Transaction
·	N N	8	N N	Yes	N i	Yes	N	Yes	N N	Capital Gain in Excess of \$2007
07-26-12	01-26-12 09-12-12	03-06-12	01-06-12	07-26-12	06-13-12	08-06-12	01-05-12	06-19-12	01-05-12- 02-27-12	Date
\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$15,001 - \$50,000	Amount of Transaction
	S No 07-26-12	P N/A 01-26-12 09-12-12 No 07-26-12	S No 03-06-12 P N/A 01-26-12 09-12-12 S No 07-26-12	P N/A 01-06-12 P N/A 01-26-12 S No 03-06-12 NO 07-26-12	S Yes 07-26-12 S No 03-06-12 P N/A 01-26-12 S No 07-26-12	P N/A 06-13-12 P N/A 01-06-12 S NO 03-06-12 P N/A 01-26-12 NO 03-06-12	S Yes 08-06-12 S Yes 07-26-12 N/A 01-26-12 N/A 01-26-12 N/A 01-26-12 N/A 01-26-12	P N/A 01-05-12 S Yes 08-06-12 S Yes 07-26-12 P N/A 01-06-12 NO 03-06-12 S NO 07-26-12	P N/A 01-05-12 S Yes 08-06-12 P N/A 06-13-12 N/A 01-26-12 N/A 01-26-12 N/A 01-26-12 N/A 01-26-12	P N/A 01-05-12- P N/A 01-05-12- S Yes 06-19-12 S Yes 08-06-12 P N/A 06-13-12 S Yes 07-26-12 No 03-06-12 P N/A 01-26-12 S No 07-26-12

Name Luis V Gutierrez

is soid, please so indicate (i.e., "partial sale"). See example below. Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Boeing Co	·	No	08-06-12	\$1,001 - \$15,000
	CA inc	ָ י	N	03-20-12	\$1,001 - \$15,000
	CA inc	G	No !	08-06-12	\$1,001 - \$15,000
	Cardinal Health	יסד י	NA	01-05-12	\$1,001 - \$15,000
	Cardinal Health	Ø	8	03-06-12	\$1,001 - \$15,000
	Centerpoint Energy	TO .	NA	01-05-12	\$1,001 - \$15,000
	Centerpoint Energy	G	8	03-06-12	\$1,001 - \$15,000
· 	Commerce Bancshares	7	NA	02-27-12	\$1,001 - \$15,000
	Commerce Bancshares	Ø	8	02-27-12	\$1,001 - \$15,000
	Conagra Foods	TO .	NA	05-25-12	\$1,001 - \$15,000
	Conagra Foods	Ø	S	07-26-12	\$1,001 - \$15,000

Name Luis V Gutierrez

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for is sold, please so indicate (i.e., "partial sale"). See example below. between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset investment that exceeded \$1,000, include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. This column is for assets solely held by your spouse or dependent child.

48.8	Asset	Type of Transaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
	Conocophilips	ס	N/A	01-05-12 01-13-12	\$1,001 - \$15,000
	Conocophillips	ග	- N	08-06-12	\$1,001 - \$15,000
•	Diebold inc		NA .	03-01-12	\$1,001 - \$15,000
	Diebold inc		:- o ;	07-27-12	\$1,001 - \$15,000
	Dover Corp		NA .	03-14-12	\$1,001 - \$15,000
	Dover Corp	<u>.</u>	8	07-26-12	\$1,001 - \$15,000
	EOG Resource Inc		NA	03-13-12	\$1,001 - \$15,000
	EOG Resource Inc	S	8	07-26-12	\$1,001 - \$15,000
	Eaton Corp	- . • .	N/A	01-05-12	\$1,001 - \$15,000
	Eaton Corp	, ()	Yes	01-17-12	\$1,001 - \$15,000
	Herbalife		NA NA	01-05-12 05-09-12	\$1,001 - \$15,000

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Name Luis V Gutierrez

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or rest property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions is sold, please so indicate (i.e., "partial sale"). See example below. between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. This column is for assets solely held by your spouse or dependent child.

SP,	Asset	Type of Transaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
	Herbalife	S	Yes	04-12-12 07-26-12	\$15,001 - \$50,000
	Home Depot	י סר	N/A	01-20-12	\$1,001 - \$15,000
	Home Depot	S -	Yes	04-12-12 07-26-12	\$1,001 - \$15,000
	International paper	v	N/A	01-05-12	\$1,001 - \$15,000
	International paper	S	Yes	07-27-12	\$1,001 - \$15,000
	Interpublic Grp	T	N.	04-17-12	\$1,001 - \$15,000
	Interpublic Grp	()	8	08-09-12	\$1,001 - \$15,000
· :	- IBM	7	¥;	01-05-12	\$1,001 - \$15,000
	BM	6	Yes	03-13-12	\$1,001 - \$15,000
1	Jabil Circuit	· ·	N .	01-05-12	\$1,001 - \$15,000
_	Jabil Circuit	ω	8	07-26-12	\$1,001 - \$15,000

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Capital Gains --- if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. This column is for assets solely held by your spouse ordependent child.

JC, SP,	Asset	Type of	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	KLA Tencor	ס	N/A	01-13-12	\$1,001 - \$15,000
	K	Ø :	Yes	07-27-12	\$1,001 - \$15,000
	Kroger Co	U	N.	01-05-12 02-09-12	\$1,001 - \$15,000
	Kroger Co	(8	08-06-12	\$1,001-\$15,000
	Marathon Oil	0	N/A	01-05-12	\$1,001 - \$15,000
	Marathon Oil	S	8 :	07-26-12	\$1,001 - \$15,000
	Merck Co	v	NA :	01-13-12 02-09-12	\$1,001 - \$15,000
,	Merck Co	Ø i	Yes	07-27-12	\$1,001 - \$15,000
	Mutual Global		N N	01-05-12 02-27-12	\$15,001 - \$50,000
	Mutual Global		8	06-19-12	\$15,001 - \$50,000
	Norfolk Southern		X	01-13-12 02-09-12	\$1,001 - \$15,000

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Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. This column is for assets solely held by your spouse ordependent child.

SP, DC,	Type of	Capital Gain in Excess		
Norfolk Southern	<u> </u>	8	03-06-12	\$1,001 - \$15,000
Pfizer inc	ס	N/A	03-14-12	\$1,001 - \$15,000
Pfizer inc	S	Yes	07-27-12	\$1,001 - \$15,000
Phillip 66		NA	01-05-12 01-13-12	\$1,001 - \$15,000
Phillip 66	() () () () () () () () () ()	Yes	08-0612	\$1,001 - \$15,000
SCH US REIT		N N	07-27-12	\$1,001 - \$15,000
SCH US REIT	· •	No.	08-06-12	\$1,001 - \$15,000
SCHW US		! A :	07-27-12	\$1,001 - \$15,000
SCHWUS	i	N	08-06-12	\$1,001 - \$15,000
SCHW US Lcap	<u>-</u> - T	NA	07-19-12 07-27-12	\$50,001 - \$100,000
SCHW US Lcap	- .	Yes	08-06-12	\$100,001 - \$250,000

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Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. This column is for assets solely held by your spouse ordependent child.

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4 5	Asset	Type of Transaction	Gain in Excess	Date	Amount of Transaction
	SCHW US smallcap	ס	N/A	07-27-12	\$15,001 - \$50,000
· ·	SCHW US small cap	·	8	08-06-12	\$15,001 - \$50,000
	Time Warner	70 :	N/A	01-15-12	\$1,001 - \$15,000
-	Time Warner	σ	Yes	07-26-12	\$1,001 - \$15,000
<u></u> .	US Bancorp		NA	02-27-12	\$1,001 - \$15,000
i	US Bancorp	·	Yes	08-07-12	\$1,001 - \$15,000
	United Parcel	'	N/A	01-05-12	\$1,001 - \$15,000
<u></u> -	United Parcel	Ø	Yes	08-06-12	\$1,001 - \$15,000
	Unitedhealth	70	>;	01-05-12 02-09-12	\$1,001 - \$15,000
سم	United Health	6	8	08-06-12	\$1,001 - \$15,000
	VF Corp	ָּטּ	NA	01-19-12	\$1,001 - \$15,000

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is soid, please so indicate (i.e., "partial sale"). See sxample below. Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset

* This column is for assets solely held by your spause ordependent child. Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

ı	The series and the second series and the second control of the sec	At Child.				
SP, SP,	Asset	Type of	Capital Gain in Excess of \$200?	Dans	Amount of Transaction	
	VF Corp	- <u>-</u> <u>-</u>	Ύes	06-12-12	\$1,001 - \$15,000	
	Vectren Corp	<u>ס</u>	NA	01-13-12	\$1,001 - \$15,000	
	Vectren Corp	Ø	8	07-27-12	\$1,001 - \$15,000	
	Wells Fargo	ס	N/A	02-27-12	\$1,001 - \$15,000	
	Wells Fargo	·	Yes	08-09-12	\$1,001 - \$15,000	
	Williams Co	ט	NA	01-05-12	\$1,001 - \$15,000	
	Williams Co	Ø	Yes	07-27-12	\$1,001 - \$15,000	¥.
	Williams Sonoma		N	01-05-12 02-09-12	\$1,001 - \$15,000	
	Williams Sonoma	 	8	04-17-12	\$1,001 - \$15,000	
	Appleseed FD	ω	No	01-19-12	\$1,001 - \$15,000	
	Arizona St	70 :	NA	08-22-12	\$15,001 - \$50,000	

Name Luis V Gutierrez

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Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. This column is for assets solely held by your spouse ordependent child.

	The state aciety here by your spouse or dependent child.	ent child.			
7, C, S	Asset	Type of Transaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
	Arizona St	S	Yes	11-28-12	\$15,001 - \$50,000
	California St	 סי	NA	09-25-12	\$1,001 - \$15,000
	California St	S(part)	No	12-10-12	\$1,001 - \$15,000
	Chicago ILL	- '	NA	03-05-12	\$15,001 - \$50,000
····	Chicago, ILL	<u>.</u>	Z	08-06-12	\$15,001 - \$50,000
	Chicago ILL	סי	NA	06-27-12	\$15,001 - \$50,000
	Chicago ILL	S	8	08-06-12	\$15,001 - \$50,000
	Chicago ILL	7	Z S	01-05-12 06-07-12	\$15,001 - \$50,000
<u>-</u> -	Chicago, ILL	G	8	08-06-12	\$15,001 - \$50,000
	Chicago Brd ED	"ט	N	01-05-12	\$15,001 - \$50,000
	Chicago Brd of ED	S S	8	08-06-12	\$15,001 - \$50,000

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Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disciose this income on Schedule III. "This column is for assets solely held by your spouse or dependent child.

7,7,4	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Data	Amount of Transaction
i	Chicago Brd ED 5%	Q	N/A	06-22-12	\$1,001 - \$15,000
	Chicago Brd ED 5%	o	8	08-06-12	\$1,001 - \$15,000
	Chicago Tran		N/A	01-11-12	\$15,001 - \$50,000
	Chicago Trans	ග	V ,	08-06-12	\$15,001 - \$50,000
	Cobb Cnty GA	1	N.	01-06-12	\$1,001 - \$15,000
	Cobb Cnty GA	Ø	8	08-06-12	\$1,001 - \$15,000
	Columbus Ohio	i :	N .	05-22-12	\$1,001 - \$15,000
	Columbus Ohio	o	No	08-06-12	\$1,001 - \$15,000
	Columbus Ohio	"0 ".	N	06-29-12	\$1,001 - \$15,000
-	Columbus Ohio	ω .	8	08-06-12	\$1,001 - \$15,000
1	Delafield Fund	• · · · · · · · · · · · · · · · · · · ·	Yes	01-18-12	\$1,001 - \$15,000

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is sold, please so indicate (i.e., "pertial sale"). See example below.
Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.
* This column is for assets solely held by your spouse or dependent child. Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is said placed an include the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset

T C SE	Asset	Type of Transaction	Capital Gain in Excess of \$2007	Distriction of the second	Amount of Transaction
	DU Page Cnty	9	N/A	06-22-12	\$1,001 - \$15,000
	DU Page Cnty	S i	8	08-06-12	\$1,001 - \$15,000
·	Fayette Cnty GA	ָּט ּ	NA NA	03-01-12	\$1,001 - \$15,000
:	Fayette Cnty GA	S	8	08-06-12	\$1,001 - \$15,000
	FMI Large Cap Fund	S	8	01-24-12	\$1,001 - \$15,000
	Glenmede Small Cap	S	8	01-24-12	\$1,001 - \$15,000
. —	Gwinnett Cnty GA		N/A	03-26-12	\$1,001 - \$15,000
	Gwinnett Cnty GA	0	8	08-06-12	\$1,001 - \$15,000
·	ILLFin Auth	**************************************	N/A	03-05-12	\$15,001 - \$50,000
=	ILL Fin Auth	S	8	08-06-12	\$15,001 - \$50,000
- =	ILL Fin Auth	,	NA	06-11-12	\$1,001 - \$15,000

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Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. This column is for assets solely held by your spouse ordependent child.

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JT,	Asset	Type of	Capital Gain in Excess		
	ILL Fin Auth	S	No	08-06-12	\$1,001 - \$15,000
	ILL St For ISS	- TO	N	03-12-12	\$1,001 - \$15,000
	ILL St For ISS	- 6	N	08-06-12	\$1,001 - \$15,000
	ILL State Sale 5%	:	N/A	03-08-12	\$15,001 - \$50,000
_ .	ILL State Sale 5%	%	Z	08-06-12	\$15,001 - \$50,000
····	Indiana Tran	•	NA	01-12-12	\$1,001 - \$15,000
	Indiana Trans	S	8	08-06-12	\$1,001 - \$15,000
٠ يـ	Janus Balanced	S	No	01-26-12	\$1,001 - \$15,000
_ پ	Jensen Quality Grwth	S	8	01-24-12	\$1,001 - \$15,000
ر ج	Johnson CNTY Kans	יטי	NA	01-13-12	\$1,001 - \$15,000
الم	Johnson CNTY Kans	· ·	8	08-06-12	\$1,001 - \$15,000

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Name Luis V Gutierrez

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J DC,	Asset	Type of Transaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
	JP Morgan Lg Cap	S	No	01-24-12	\$1,001 - \$15,000
	Lafayette LA PUB		NA	01-13-12	\$1,001 - \$15,000
	Lafayette LA PUB	· · · · · · · · · · · · · · · · · · ·	8	08-06-12	\$1,001 - \$15,000
	Lake CNTY ILL	- TO 7	N I	05-17-12	\$15,001 - \$50,000
- <u>-</u> 1	Lake CNTY ILL	S	8	08-06-12	\$15,001 - \$50,000
~~·_ <u>~</u> ~	Manning & Napier	(Š	01-24-12	\$1,001 - \$15,000
· · · · · · · · · · · · · · · · · · ·	Mooresville NC	D	N.	01-12-12	\$15,001 - \$50,000
•	Mooresville NC	o	8	08-06-12	\$15,001 - \$50,000
	Oakmark Intl	o	8	01-18-12	\$1,001 - \$15,000
·	Ohio St Bldg Auth	• • • • • • • • • • • • • • • • • • •	NA	01-06-12	\$15,001 - \$50,000
:	Ohio St Bldg Auth	o	8	08-06-12	\$15,001 - \$50,000
-			•		

Name Luis V Gutierrez

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000, include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. is sold, please so indicate (i.e., "partial sale"). See example below. between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset This column is for assets solely held by your spouse ordependent child.

B					
<u> </u>	Asset	Type of	Capital Gain in Excess		
	Ohio St for ISSU	P	of \$2007	07-02-12	\$1,001 - \$15,000
• -	Ohio St for ISSU	<i>∞</i> :	S	08-06-12	\$1,001 - \$15,000
·_ ·	Ohio Maria Indiana		 - -	;	
· · <u>-</u>	Onio State Issue 3.5	\overline{\pi}	N.	01-20-12	\$1,001 - \$15,000
··	Ohio State issue 3.5	G -	8	08-06-12	\$1,001 - \$15,000
- O	Ohio State 4.5	 	NA	03-02-12	\$15,001 - \$50,000
	Ohio State 4.5	ω .	8	08-06-12	\$15,001 - \$50,000
 C				, at Pi	
_ <u>-</u> ∑∶ c	Onio St Univ	ס	N.A.	01-17-12	\$15,001 - \$50,000
	Chio St Univ	Ø	No	08-06-12	\$15,001 - \$50,000
 Q	Ohio St Wtr	V :	NA	07-02-12	\$15,001 - \$50,000
<u></u>	Ohio St Wtr	Ø	8	08-06-12	\$15,001 - \$50,000
- P	Palatine ILL		N	03-08-12	\$1,001 - \$15,000

Name Luis V Gutlerrez

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4 K K	Ange	Type of	Capital Gain in		
	Palatine ILL	S	of \$2007	08-06-12	Amount of Transaction \$1,001 - \$15,000
_	Peoria, ILL	· · · · · · · · · · · · · · · · · · ·	N N	05-21-12	\$15,001 - \$50,000
		1.			
	Peoria, ILL	O	8	08-06-12	\$15,001 - \$50,000
	Discourage of the contract of	· · · · · · · · · · · · · · · · · · ·	 -		
	r lexenngton Ohio	U	N/A	01-19-12	\$15,001 - \$50,000
-	Pickerington Ohio	- CO	8	08-06-12	\$15,001 - \$50,000
- <u>-</u>	Puerto Rico Comwith	Ū	Z D	09-37-13	915 001 - 850 000
: 				φ.	
·	Puerto Rico Comwith	Ø	8	08-06-12	\$15,001 - \$50,000
· · ·	Purerto Rico Pub	0	N/A	01-06-12	\$15,001 - \$50,000
 TD	Puerto Rico Pub	σ	Z	08-06-12	\$15 001 - \$50 000
-			-		
 עַ	Puerto Rico Pub	v	NA	03-01-12	\$1,001 - \$15,000
ַ ס	Puerto Rico Pub	6	8	08-06-12	\$1,001 - \$15,000
	,	_	-		

is sold, please so indicate (i.e., "partial sale"). See example below. Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset

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SP,		Type of	Capital Gain in		1
=	Asset	Iransacuon	or \$2007	3	07 Date
	University Tex Univ	S	N _O		08-06-12
	Wells Fargo	<u> </u>	8	·	01-25-12
	Yacktman Focused	S	8		01-24-12
	CHARLES SCHWAB #2(New):				·
	Cohen & Steers Realty	יס	N		02-28-12 09-28-12
	Cohen & Steers	S	_ ĭes		s 08-13-12 10-16-12
	Delafield Fund	 :	N N		01-19-12 05-10-12
	Delafield Fund	S	8	į	07-27-12 08-10-12
	Health Care REIT	;		ļ	02-16-12 02-28-12
•	Health Care REIT	Ø	Yes	;	10-05-12

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions is sold, please so indicate (i.e., "partial sale"). See example below. between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.
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SP,		Type of	Capital Gain in Excess	Date	Amount of Transaction
	JP Morgan Realty	P	N/A	02-06-12 02-09-12	\$1,001 - \$15,000
	JP Morgan Realty	Ø	8	02-23-12	\$1,001 - \$15,000
	Manager Real estate	ס	NA :	06-25-12 07-03-12	\$15,001 - \$50,000
-	Manager Real Estate	<u></u>	Yes	09-19-12	\$15,001 - \$50,000
	Powershs QQQ	0	NA I	01-26-12 05-01-12	\$15,001 - \$50,000
	Powershs QQQ	ω ⁻	8	06-19-12	\$15,001 - \$50,000
	Rideworth High Inc	ס	NA	08-07-12 10-31-12	\$50,001 - \$100,000
	Ridgeworth High Incom	S	Yes	11-06-12	\$50,001 - \$100,000
	Sch US Mid-cap	ס : : 	N/A	02-08-12 02-16-12	\$1,001 - \$15,000
	Sch US Mid-cap	Ø	8	03-01-12	\$1,001 - \$15,000
	Sch US REIT	סר	NA	02-03-12 02-08-12	\$1,001 - \$15,000

is sold, please so indicate (i.e., "partial sale"). See example below. between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions

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SP. DC,	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Sch US REIT		N _O	02-23-12	\$1,001 - \$15,000
ı	SCHW US Lcap	TO	- NA	02-03-12 03-26-12	\$250,001 - \$500,000
	SCHW US Lcap	G	Yes	06-19-12	\$250,001 - \$500,000
	SCHW US Lcap GRO	טר	NA!	02-03-12 02-09-12	\$1,001 - \$15,000
	SCHW US Lcap GRO	o !		02-17-12	\$1,001 - \$15,000
	SCHW US Lcap Val	T	N N	02-08-12 02-10-12	\$1,001 - \$15,000
	SCHW US Lcap Val	Ø	8	02-16-12	\$1,001 - \$15,000
	SCHW US Scap	<u>י</u>	N/A	02-03-12 02-21-12	\$15,001 - \$50,000
	SCHW US Scap	Ø	8	03-01-12	\$15,001 - \$50,000
	Sector SPDR Health	: : T	N/A	01-20-12 01-23-12	\$1,001 - \$15,000
	Sector SPDR Health	S	2	02-24-12	\$1,001 - \$15,000

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JT, SP,	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	CHARLE SCHWAB#3:			:	,
	Ishares TR Barclays Bon 1-3	"	N/A	08-13-12	\$1,001 - \$15,000
	Ishares TR Barclays Bon 1-3	S(part)	V	10-03-12	\$1,001 - \$15,000
	Ishares TR Barclay Tips	S(part)	8	08-13-12	\$1,001 - \$15,000
SP	Ishares TR Barclays Tips	S(part)	<u>.</u> .	10-03-12	\$1,001 - \$15,000
;	PIMCO Exch	S(part)	8	08-13-12	\$1,001 - \$15,000
 	PIMCO Exch	9	8	10-03-12	\$1,001 - \$15,000
	Powershares Global	TO .	AN	08-13-12	\$1,001 - \$15,000
	Powershares Global	~ ~ ~	NO ,	10-03-12	\$1,001 - \$15,000
	Vanguard Div Appro	<u> </u>	N/A	08-13-12	\$1,001 - \$15,000

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Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. This column is for assets solely held by your spouse ordependent child.

		Capital		
Asset	Type of Transaction	Gain in Excess of \$2007	Date	Amount of Transaction
Vanguard Div Apprc	S(part)	. V	10-03-12	\$1,001 - \$15,000
CHARLES SCHWAB#4:			· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·
Ishares Iboxx investop	• •	N	08-09-12	\$1,001 - \$15,000
Ishares Iboxx investop	6	8	10-03-12	\$1,001 - \$15,000
Ishares TR Barclays Tip	TO	N/A	08-09-12	\$1,001 - \$15,000
Ishares TR Barclays Tips	S(part)	Yes	10-03-12	\$1,001 - \$15,000
Pimco Exch Trd		NA :	08-09-12	\$1,001 - \$15,000
Pimco Exch Trd	%	8	10-03-12	\$1,001 - \$15,000
Powershares Global ET		NA	08-09-12	\$1,001 - \$15,000
Powershares Global ET	Ø	8	10-03-12	\$1,001 - \$15,000
	Vanguard Div Apprc CHARLES SCHWAB#4: Ishares Iboxx investop Ishares TR Barclays Tip Ishares TR Barclays Tips Pimco Exch Trd Powershares Global ET Powershares Global ET		Transaction S(part) S(part) P Tips S(part) S S S S S S S S S S S S S S S S S S S	S(part) P No P No Tips S(part) P No No No No No No No No No

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions is sold, please so indicate (i.e., "partial sale"). See example below. between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	SPDR Barclay ETF	ס	N/A	08-09-12	\$1,001 - \$15,000
	SPDR Barclay ETF	S(part)	8.	10-03-12	\$1,001 - \$15,000
	Vanguard FTSE Emerg	· · · · · · · · · · · · · · · · · ·	NA	08-09-12	\$1,001 - \$15,000
· · · · · · · · · · · · · · · · · · ·	Vanguard FTSE Emerg	() () () () () () () () () () () () () (S :	12-06-12	\$1,001 - \$15,000
SP	CHARLES SCHWAB#5:	· · · · · · · · · · · · · · · · · · ·		:	······································
i	Ishares Iboxx investop		NA :	09-24-12	\$1,001 - \$15,000
	Ishares Iboxx investop	() () () () () () () () () () () () () (8	10-03-12	\$1,001 - \$15,000
	Ishares TR Barclays Tip	: 	N/A	09-24-12	\$1,001 - \$15,000
	Ishares TR Barclays Tip		8	10-03-12	\$1,001 - \$15,000
·	Pimco Exch Traded		N/A	09-24-12	\$1,001 - \$15,000
			_	-	

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7,7 <u>,</u> 8	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Pimco Exch Traded	Ø	8	10-03-12	\$1,001 - \$15,000
	SPDR Barclays ETF	; ; ;	*	09-24-12	\$1,001 - \$15,000
	SPDR Barclays ETF	o	8	10-03-12	\$1,001 - \$15,000
	Vanguard FTSE Emergin	, • • • • • • • • • • • • • • • • • • •	N.	09-24-12	\$1,001 - \$15,000
	Vanguard FTSE Emergin	o	8	12-06-12	\$1,001 - \$15,000
			- ;		
	Charles Schwab#1:			· · · · · · · · · · · · · · · · · · ·	
	Bay Area Toil Auth	7	NA .	09-20-12	\$15,001 - \$50,000
	California St for 5% 23		N/A	10-24-12	\$1,001 - \$15,000
	Lamar Tex Cons	· · · · · · · · · · · · · · · · · · ·	NA	08-15-12	\$15,001 - \$50,000
	District of Columbia 5%19	י פר	N/A	11-08-12	\$15,001 - \$50,000

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SP, DC, JT Asset	Type of Transaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
California St Pub	י י י י י י י י י י י י י י י י י י	N :	09-14-12	\$15,001 - \$50,000
California St GO		X	09-25-12	\$1,001 - \$15,000
Massachusetts St 5%20	0	N ;	10-12-12	\$15,001 - \$50,000
Metropolitan Transn5%24	- TO .	NA ;	09-20-12	\$1,001 - \$15,000
Montana FAC 5%22		NA A	09-17-12	\$1,001 - \$15,000
Nebraska PUB5%19		N.	10-17-12	\$15,001 - \$50,000
New Jersey Econ		- N/A	09-19-12	\$1,001 - \$15,000
New Jersey St TPK		N	08-24-12	\$1,001 - \$15,000
New Jersey St TR		X	11-05-12	\$1,001 - \$15,000
New York NY	: 	NA	10-04-12	\$15,001 - \$50,000

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is sold, please so indicate (i.e., "partial sale"). See example below. Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental Income. If only a portion of an asset

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₽,Ç,Ş	Asset	Type of Transaction	Gain in Excess of \$200?	Date	Amount of Transaction
	North Carolina Mun	ס	N/A	08-2712	\$1,001 - \$15,000
	Ohio State-4%	· · · · · · · · · · · · · · · · · · ·	NA .	01-06-12	\$15,001 - \$50,000
	Oklahoma St-WTR		N :	10-24-12	\$15,001 - \$50,000
	Orange County FL	.— 	N	09-10-12	\$15,001 - \$50,000
	Pennsylvania Econ		N	10-03-12	\$15,001 - \$50,000
٠	Rhode Island Clean	"	NA	11-05-12	\$15,001 - \$50,000
	Virginia St Res	ט	NA	09-26-12	\$15,001 - \$50,000
	Washington State-FOR	 0	N/A	08-15-12	\$15,001 - \$50,000
SP .	Cohen & Steers Realty		NA !	12-19-12	\$1,001 - \$15,000
	JP Morgan Intl Equity	- 	NA	12-19-72	\$15,001 - \$50,000
	Pimco Total return bond		NA	08-06-12	\$50,001 - \$100,000
		_		-	-

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SP, DC,	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	T.Rowe Price Asset	ָּט	N/A	12-19-12	\$50,001 - \$100,000
	T.Rowe Price Large Cap	σ	Z	06-15-12	\$1,001 - \$15,000
•	Mid-Small Cap	· · · · · · · · · · · · · · · · · · ·	8	06-15-12	\$1,001 - \$15,000
·	International growth	- · · · · · · · · · · · · · · · · · · ·	L	06-05-12	\$1,001 - \$15,000
	First Cap		Z	06-05-12	\$1,001 - \$15,000
	Bonds-Lord Abbett	S		06-15-12	\$1,001 - \$15,000
	Charles Schwab#3 contd:		 	· ··— · • • · · · · · · · · · · · · · · · · · · ·	:
	Guggenheim Exchg		NA I	08-13-12	\$1,001 - \$15,000
	ISHARES fise		A/N	08-16-13	\$1,001 - \$15,000
	Ishares Gold Trust	• • • • • • • • • • • • • • • • • • •	-NA	08-16-13	\$1,001 - \$15,000
	Ishares TR Barclays 1-3yrs	• · · · · · · · · · · · · · · · · · · ·	N	08-16-13	\$15,001 - \$50,000

Name Luis V Gutierrez

between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for

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크 R 유	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Ishares Barclays 7-10yrs	ס	N/A	08-16-13	\$1,001 - \$15,000
	Ishares Barclays Tip	; ; - TO ;	NA	08-16-12	\$15,001 - \$50,000
	Pimco Exch		N/A	08-13-12	\$1,001 - \$15,000
	Powershs Exch		N/A	10-03-12	\$1,001 - \$15,000
	Powershs QQQ	, , ,	NA	10-03-12	\$1,001 - \$15,000
	Powershs DB	•	N	08-13-12	\$1,001 - \$15,000
	Powershares S&P	- - - - - -	N/A	08-13-12	\$1,001 - \$15,000
	SPDR Barclays		N	10-03-12	\$1,001 - \$15,000
	Vanguard Bond	TO .	NA !	08-13-12	\$15,001 - \$50,000
	Vanguard Div Approiation	•	N	08-16-12	\$1,001 - \$15,000
	Vanguard Reit		N	10-03-12	\$1,001 - \$15,000

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DC, Asset	Type of Transaction	Gain in Excess	Date	Amount of Transaction
Vanguard Total	– · – ਹ	N/A	08-13-12	\$1,001 - \$15,000
Ishares Etf		X	10-03-12	\$1,001 - \$15,000
Ishares MSCI	· · · · · · · · · · · · · · · · · · ·	NA .	10-03-12	\$1,001 - \$15,000
Ishares Hong Kong	· · · · · · · · · · · · · · · · · · ·	N	10-0312	\$1,001 - \$15,000
Charles Schwab#4 contd:		<u> </u>	. !	
Egshares ETF		N	08-09-12	\$1,001 - \$15,000
Ishares ETF	; ; ; ;	NA:	08-09-12	\$1,001 - \$15,000
Ishares FTSE	; ; ; = !	N	08-09-12	\$15,001 - \$50,000
Ishares Gold Trust		N/A	08-09-12	\$1,001 - \$15,000
Ishares MSCI Grmny	- -	NA	08-09-12	\$1,001 - \$15,000

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for is sold, please so indicate (i.e., "partial sale"). See example below. investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset

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4 K K	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Ishares Hong Kong	ס	N/A	08-09-12	\$1,001 - \$15,000
i	Ishares TR Barclays		N/A	08-09-12	\$1,001 - \$15,000
	Ishares TR BarclayTips		N/A	08-09-12	\$15,001 - \$50,000
	Ishares TR Russell	- <mark>- 0</mark> -	N/A	08-09-12	\$1,001 - \$15,000
	Powershares S&P ETF	!- ; 0 ;	NA	08-09-12	\$1,001 - \$15,000
,	Powershares DB		N/A	08-09-12	\$1,001 - \$15,000
	Powershares Exch Trad	0	N/A	08-09-12	\$1,001 - \$15,000
!	Powershares QQQ	; 	NA	08-09-12	\$15,001 - \$50,000
}	Spdr Gold Tr		NA	08-09-12	\$1,001 - \$15,000
	Vanguard Bond Index Fund	- - 0	N/A	10-03-12	\$15,001 - \$50,000
	Vanguard Div Appr	• • • • • • • • • • • • • • • • • • •	NA	10-03-12	\$15,001 - \$50,000
		_			

Name Luis V Gutierrez

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset

is sold, please so indicate (i.e., "partial sale"). See example below. Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. * This column is for assets solely held by your spouse or dependent child.

SP, DC,	Asset	Type of Transaction	Capital Gein in Excess of \$200?	Date	Amount of Transaction
i	Vanguard MSCI	ס	A'N	10-03-12	\$1,001 - \$15,000
	Vanguard REIT	U	NA .	10-03-12	\$15,001 - \$50,000
:	Vanguard Total Stock		N/A	10-03-12	\$1,001 - \$15,000
	Wisdomtree Asia	v	NA :	08-09-12	\$1,001 - \$15,000
	Charles Schwab#5 contd:		·· · · · · · · · · · · · · · · · · ·	! !	
	Egshares ETF	70	N .	12-06-12	\$1,001 - \$15,000
	Ishares ETF	V	N :	09-24-12	\$1,001 - \$15,000
	Ishares FTSE		X	09-24-12	\$1,001 - \$15,000
3 4 4 4	ishares Gold	70 .	N	09-24-12	\$1,001 - \$15,000
	Ishares MSCI Germany	· · · · · · · · · · · · · · · · · · ·	N	09-24-12	\$1,001 - \$15,000
	Ishares Hong Kong		NA .	09-24-12	\$1,001 - \$15,000

Name Luis V Gutierrez

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capitel Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. This column is for assets solely held by your spouse or dependent child.

JC,	Asset	Type of Transaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
	Ishares TR Barciays Bond	70	N/A	09-24-12	\$1,001 - \$15,000
	Ishares TR Russell	<u>-</u>	N N	09-24-12	\$1,001 - \$15,000
i	Market Vectors	• • • • • • • • • • • • • • • • • • •	NA	09-24-12	\$1,001 - \$15,000
	Powershares S&P	U ;	NNA -	09-24-12	\$1,001 - \$15,000
	Powershs DB	- - - - - - - - - - -	NA .	09-24-12	\$1,001 - \$15,000
	Powershs Exch Trad	ָּ סר	N A	09-24-12	\$1,001 - \$15,000
	Powershs QQQTrust	U ,	X	09-24-12	\$1,001 - \$15,000
-	Spdr Gold Trust	: :	N/A	09-24-12	\$1,001 - \$15,000
•	Vanguard Div Appr	TO (N/A	09-24-12	\$1,001 - \$15,000
	Vanguard MSCI EAFE	- : • :	NA	09-24-12	\$1,001 - \$15,000
	Vanguard MSCI Emerging	• · · · · · · · · · · · · · · · · · · ·	- N/A	09-24-12	\$1,001 - \$15,000

Name Luis V Gutierrez

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions is sold, please so indicate (i.e., "partial sale"). See example below. between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. This column is for assets solely held by your spouse ordependent child.

		•			
SP, DC,	Asset	Type of Transaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
	Vanguard REIT	P	N/A	09-24-12	\$1,001 - \$15,000
	VanguardTotal Stock	- I	N/A	09-24-12	\$1,001 - \$15,000
er amar-	Wisdomtree Asia	· · · · · · · · · · · · · · · · · · ·	N	09-24-12	\$1,001 - \$15,000
					_
	Charles Schwab#6 contd:		 	;	,
	American Century Inter		Z	12-07-12	\$100,001 - \$250,000
	Pimco Total return	ס	Z	12-12-12	\$1,001 - \$15,000
	ishares iBOXX	- V	Z >	10-03-12	\$1,001 - \$15,000
	Flordia St		N/A	08-30-12	\$15,001 - \$50,000
	TIAA Cref High Yield	D	Z	12-7-12	\$50,001 - \$100,000
			-		

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Rep. Luis V. Gutierrez

spouse or dependent child that is totally independent of his or her relationship to you. the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the

Source Date(s) Point of Departure— Destination-Point of Return Lodging? (Y/N) Food? (Y/N) Was a Family (Y/N) Days not at sponsor's exponsor's exponsor's exponsor's exponsor's exponsor's exponsor's (Y/N) Multicultural Education Forum Jan. 19-22 Chicago-Guadalajara, MX- (Chicago Y Y N None German Marshall Fund Jan. 28-31 Chicago-Delray Beach FL- (Chicago Y Y Y Y None St. Louis Univ May 22 Chicago-St. Louis, MO-Miami, FL-El (Wife) Chicago DC-Fajardo, PR-Chicago N N N None Puerto Rico Manufacturers Association June 1-4 (Wife) Chicago-Pajardo, PR-Chicago Y Y Y Y Y 1 day Univision June 15 Paso, TX St. Louis, MO-Miami, FL-El N N N None Franklin Center for Global Policago Nov. 7-14 (Wife) Chicago, Buenos Aires, ARG-(Wife) Chicago, Bue							
cation Jan. 19-22 Chicago-Guadalajara, MX- Y Y N Fund Jan. 28-31 Chicago Delray Beach FL- Y Y Y N Chicago Chicago	Source	Date(s)	Point of Departure DestinationPoint of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Fund Jan. 28-31 Chicago-Delray Beach FL- Y Y Y	Multicultural Education Forum	Jan. 19-22	Chicago-Guadalajara, MX- Chicago	Υ	Υ	Z	None
May 22 Chicago-St. Louis, MO- Ssociation June 1-4 (wife) Chicago-Fajardo, PR-Chicago Chicago St. Louis, MO-Miami, FL-EI Paso, TX Or Global Nov. 7-14 (wife) Chicago, Buenos Aires, ARG-Chicago ARG-Chicago	German Marshall Fund	Jan. 28-31	Chicago-Delray Beach FL- Chicago	~	~	~	None
Ssociation June 1-4 (wife) Chicago-Fajardo, PR-Chicago Chicago St. Louis, MO-Miami, FL-El N N N Paso, TX Or Global Nov. 7-14 Chicago-Buenos Aires, ARG-Washington, DC (wife) Chicago, Buenos Aires, ARG-Chicago	St. Louis Univ	May 22	Chicago-St. Louis, MO- Chicago	Z	Z	Z	None
June 15 St. Louis, MO-Miami, FL- EI N N N N N Chicago-Buenos Aires, ARG- Washington, DC (wife) Chicago, Buenos Aires, ARG-Chicago	Puerto Rico Manufacturers Association	June 1-4	DC-Fajardo, PR-Chicago (wife) Chicago-Fajardo, PR- Chicago	~	~	~	1 day
for Global Nov. 7-14 Chicago-Buenos Aires, ARG-YYY Washington, DC (wife) Chicago, Buenos Aires, ARG-Chicago	Univision	June 15	St. Louis, MO-Miami, FL- El Paso, TX	Z	Z	Z	None
	Franklin Center for Global Policy Exchange	Nov. 7-14	Chicago-Buenos Aires, ARG- Washington, DC (wife) Chicago, Buenos Aires, ARG-Chicago	~	→	Υ	1 day

SCHEDULE VIII - POSITIONS

Name Rep. Luis V. Gutierrez

honorary nature; and positions listed on Schedule I. Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an

Member of Advisory Council	Position	
Congressional Hispanic Caucus Institute	Name of Organization	

FOOTNOTES

Name Rep. Luis V. Gutierrez

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	PNC Investments is the holding company for the Prudential retirement account	Prudential Annuity (note 1)
2	Schedule III	Distribution (sale) of Great American Insurance Corp was deposited into the Pacific Life Annuity (subaccount DCA Plus 12-month fund - fixed)	Great American Insurance Corp (note 2)