



Filing ID #10025036

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Joseph Crowley
Status: Member
State/District: NY14

FILING INFORMATION

Filing Type: Terminated Filer Report
Filing Year: 2019
Filing Date: 04/3/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Congressional FCU [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Congressional FCU DC#1 [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Congressional FCU DC#2 [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Congressional FCU DC#3 [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
ING ReliaStar Life Insurance Co [FN]	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Maspeth Federal Savings & Loan [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
New York State & Local Retirement Plan [PE]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
North Shore - LIJ [PE]	SP	Undetermined	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual IRA ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ABALX American Balanced Fund [MF]					
Northwestern Mutual IRA ⇒ AEPGX Europacific Growth Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual IRA ⇒ AGTHX The Growth Fund of America [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA ⇒ AHITX American High Income Trust [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual IRA ⇒ AWSHX Washington Mutual Investors [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual IRA ⇒ FMDCX Federated Mid Cap Index [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA ⇒ FSCDX Fidelity Advisor Small Cap [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual IRA ⇒ PCVAX Alliance NFI Small Cap Value [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
NY's 529 ⇒ Aggressive Age Based Conservative Portfolio [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
NY's 529 ⇒ Aggressive Age Based Growth Portfolio [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
NY's 529 ⇒ Aggressive Age Based Moderate Growth [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
NY's 529 ⇒ Aggressive Age-Based Blended Growth Portfolio [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
NY's 529 ⇒ Aggressive Age-Based Conservative Growth [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
T.Rowe Price - Virginia Hospital Center 401(K) ⇒ TRP Stable Return Fund N [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
VA UTMA FBO DC#1 ⇒ Altria Group, Inc. (MO) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
VA UTMA FBO DC#1 ⇒ Coca-Cola Company (KO) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VA UTMA FBO DC#1 ⇒ McDonald's Corporation (MCD) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VA UTMA FBO DC#1 ⇒ Microsoft Corporation (MSFT) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VA UTMA FBO DC#1 ⇒ Philip Morris International Inc (PM) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VA UTMA FBO DC#1 ⇒ Procter & Gamble Company (PG) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VA UTMA FBO DC#1 ⇒ Walt Disney Company (DIS) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VA UTMA FBO DC#2 ⇒ Altria Group, Inc. (MO) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VA UTMA FBO DC#2 ⇒ Coca-Cola Company (KO) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VA UTMA FBO DC#2 ⇒ McDonald's Corporation (MCD) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VA UTMA FBO DC#2 ⇒ Microsoft Corporation (MSFT) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VA UTMA FBO DC#2 ⇒ Philip Morris International Inc (PM) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VA UTMA FBO DC#2 ⇒ Procter & Gamble Company (PG) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VA UTMA FBO DC#2 ⇒ Walt Disney Company (DIS) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VA UTMA FBO DC#3 ⇒ Altria Group, Inc. (MO) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VA UTMA FBO DC#3 ⇒ Coca-Cola Company (KO) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
VA UTMA FBO DC#3 ⇒ McDonald's Corporation (MCD) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VA UTMA FBO DC#3 ⇒ Microsoft Corporation (MSFT) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VA UTMA FBO DC#3 ⇒ Philip Morris International Inc (PM) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VA UTMA FBO DC#3 ⇒ Procter & Gamble Company (PG) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VA UTMA FBO DC#3 ⇒ Walt Disney Company (DIS) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Northwestern Mutual IRA ⇒ AGTHX The Growth Fund of America [MF]	SP	12/24/2018	P	\$1,001 - \$15,000	
Northwestern Mutual IRA ⇒ FMDCX Federated Mid Cap Index [MF]	SP	12/7/2018	P	\$1,001 - \$15,000	
NY's 529 ⇒ Aggressive Age Based Conservative Portfolio [MF]	SP	09/6/2018	P	\$1,001 - \$15,000	
NY's 529 ⇒ Aggressive Age Based Growth Portfolio [MF]	SP	06/20/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY's 529 ⇒ Aggressive Age Based Growth Portfolio [MF]	SP	09/6/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
NY's 529 ⇒ Aggressive Age-Based Blended Growth Portfolio [MF]	SP	09/6/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
NY's 529 ⇒ Aggressive Age-Based Moderate Growth Portfolio [MF]	SP	09/6/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
T.Rowe Price - Virginia Hospital Center 401(K) ⇒ TRP Stable Return Fund N [MF]	SP	01/1/2018	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Virginia Hospital Center	Spouse Salary	N/A
NYS & Local Employees Retirement System	Pension	\$8,814.60

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Citizens One Home Loans- Citizens Bank NA	March 2017	Residential Mortgage	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 1987	New York State & Local Retirement System	Congressman Crowley is a vested participant in the New York State & Local Retirement System plan. He received his first pension payment in CY 2017.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Northwestern Mutual IRA (Owner: SP)
- NY's 529 (Owner: SP)
LOCATION: NY
DESCRIPTION: FBO DC#1
- NY's 529
LOCATION: NY
DESCRIPTION: FBO DC#2
- NY's 529 (Owner: SP)
LOCATION: NY
DESCRIPTION: FBO DC#3
- T.Rowe Price - Virginia Hospital Center 401(K) (Owner: SP)
- VA UTMA FBO DC#1 (Owner: DC)
LOCATION: US
- VA UTMA FBO DC#2 (Owner: DC)
LOCATION: US
- VA UTMA FBO DC#3 (Owner: DC)
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Joseph Crowley , 04/3/2019