

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Austin Scott

Status: Member State/District: GA08

FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2018

Filing Date: 06/14/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
A-C Scott LLLP, 49% Interest [OL]	\$250,001 - \$500,000	Interest	\$1,001 - \$2,500	
LOCATION: Tifton, GA, US DESCRIPTION: Investment partnership with direct family mem	bers. Father and brother.			
A-C SCOTT LLLP ⇒ 3F Properties Loan Albany GA [DO]	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	
Description: Loan to 3F properties. The first loan disclosed of	n previous disclosures was paid	l. This is a second loa	an to the same en	tity.
A-C SCOTT LLLP ⇒ A-C Scott Checking/Flint Community Bank [BA]	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	П
A-C SCOTT LLLP ⇒ Aus Cur Scott, LLC [OT] DESCRIPTION: General Partnership shares managing A-C Scott	\$15,001 - \$50,000 , LLLP	None		
A-C SCOTT LLLP ⇒ Ballard Power Systems, Inc Common Shares (BLDP) [ST]	None	None		V
A-C SCOTT LLLP ⇒ Canyon Creek Place, LLC [RP]	None	Capital Gains	\$15,001 - \$50,000	<u></u>
Location: Tifton, GA, US				

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
A-C SCOTT LLLP \Rightarrow Edward Jones Money Market [BA]		\$1,001 - \$15,000	None		П
A-C SCOTT LLLP ⇒ FuelCell Energy, Inc. (FCEL) [ST]		\$1,001 - \$15,000	None		
A-C SCOTT LLLP ⇒ Invesco Muni Inc Fund C [MF]		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	П
A-C SCOTT LLLP \Rightarrow Invest High Yld Mun Fund Cl C [MF]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	П
A-C SCOTT LLLP \Rightarrow Plug Power, Inc. (PLUG) [ST]		None	None		П
FuelCell Energy, Inc. (FCEL) [ST]		\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	▽
J. W. Scott Family Limited Partnership ⇒ J. W. Scott Family Limited Partnership, 49% Interest [BA]		\$250,001 - \$500,000	None		
DESCRIPTION: Limited Partnership shares of family farm. Far Community Bank. Formerly listed as Thronateeska.	m was sold	for loss 8/16/2016. Ca	ash proceeds located i	in bank account at	Flint
James A Scott Checking Account / SunTrust [BA] Description: SunTrust		\$1,001 - \$15,000	None		П
James A Scott IRA \Rightarrow Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW) [ST]		\$1 - \$1,000	None		П
James A Scott VUL ⇒ American Bond [WU]		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	П
James A Scott VUL ⇒ American Growth [WU]		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
James A Scott VUL ⇒ American Growth and Income Fund [WU]		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	П
James A Scott VUL ⇒ American International [WU]		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
James A. Scott Ameris Money Market [BA]		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Money market account at Ameris Bank					
Joint checking / Ameris Bank [BA]	JT	\$1,001 - \$15,000	None		
Description: Ameris Bank					
MCA Family, LLC ⇒ Cash [BA]		\$1,001 - \$15,000	None		
MCA Family, LLC ⇒ Edgefield Lot [RP]		\$15,001 - \$50,000	None		
LOCATION: Tifton, GA, US					
MCA Family, LLC ⇒ Mt. Olive and Old Ocilla Road [RP] LOCATION: Tifton, GA, US		\$50,001 - \$100,000	None		
MCA Family, LLC ⇒ Sco Jac [RP] Leggraphy Del Norte CO US		\$100,001 - \$250,000	None		П
LOCATION: Del Norte, CO, US					
MCA Family, LLC ⇒ Shell Point [RP] LOCATION: Wakulla County, FL, US		\$250,001 - \$500,000	None		
Neah Power Systems, Inc. New (NPWZ) [ST]		None	None		
DESCRIPTION: liquidated 10/22/2018 total value of transaction	n under \$1	00.00			
Oakridge Global Energy Solutions, Inc. (OGES) [ST]		None	None		П
Principal Pension [PE]		Undetermined	None		
Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW) [ST]		None	None		
Description: Liquidated 10/22/2018 value of transaction un	der \$100.0	0			
Rental property [RP]	JT	\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	
LOCATION: ASHBURN, GA, US DESCRIPTION: 621 Mclendon Street Ashburn, GA					
The Southern Group, LLC, 100% Interest [OT]	SP	\$100,001 - \$250,000	None		
DESCRIPTION: Insurance brokerage that is owned and operate	ed by my wi	fe			

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vivien G Scott 401 (K) ⇒ Canadian Imp Bank of Commerce [PS]		\$1,001 - \$15,000	Tax-Deferred		П
Description: Stock was employer match in 401(K). Remains	in 401(k) a	ccount.			
Vivien G Scott 401 (K) ⇒ Capital Research and Management International Equity [MF]		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	П
Vivien G Scott 401 (K) ⇒ Principal Global Investors Large U.S. Equity [MF]		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Vivien G Scott 401 (K) \Rightarrow Principal Global Investors Mid Cap Equity [MF]		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Vivien G Scott 401 (K) \Rightarrow Principal Global Investors Small Cap Equity [MF]		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Vivien G Scott 401 (K) \Rightarrow Principle Lifetime Hybrid 20455 CIT [MF]		\$1,001 - \$15,000	None		
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX) [ST]	SP	\$15,001 - \$50,000	None		
Vivien G Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP) [ST]	SP	\$15,001 - \$50,000	None		П
Vivien G Scott IRA \Rightarrow Clean Energy Fuels Corp. (CLNE) [ST]	SP	\$15,001 - \$50,000	None		
Vivien G Scott IRA ⇒ EnSync, Inc. (ESNC) [ST]	SP	\$1,001 - \$15,000	None		
Vivien G Scott IRA ⇒ Fidelity Government Cash Reserves [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		
Description: Cash account in IRA					
Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL) [ST]	SP	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	П
Vivien G Scott IRA ⇒ General Electric Company (GE) [ST]	SP	\$1,001 - \$15,000	None		
Vivien G Scott IRA ⇒	SP	\$1 - \$1,000	None		

Asset	Owner	Value of Asset	Income I Type(s)	ncome	Tx. > \$1,000?
Neah Power Systems, Inc. New (NPWZ) [ST]					
Vivien G Scott IRA ⇒ Plug Power, Inc. (PLUG) [ST]	SP	\$1,001 - \$15,000	None		
Vivien G Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW) [ST]	SP	\$1 - \$1,000	None		П
Vivien G Scott IRA ⇒ SunEdison, Inc. (SUNE) [ST]	SP	\$1 - \$1,000	None		
Vivien Scott Checking / Heritage Bank of the South [BA] DESCRIPTION: Checking account	SP	\$50,001 - \$100,000	None		П
Wells A Scott UTMA/GA [MF]	DC	\$1,001 - \$15,000	UGMA account for N	Not Applicable	
DESCRIPTION: Account owns less than \$1000 in each of the fo	ollowing mu	itual funds Aim Const	ellation Aim Charter Ain	n Global Growth	

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
A-C SCOTT LLLP ⇒ Ballard Power Systems, Inc Common Shares (BLDP) [ST]		12/20/2018	S	\$1,001 - \$15,000	
A-C SCOTT LLLP ⇒ Canyon Creek Place [RP] Location: Tifton / Tift, GA, US		06/14/2018	S	\$15,001 - \$50,000	V
A-C SCOTT LLLP ⇒ Plug Power, Inc. (PLUG) [ST]		12/20/2018	S	\$1,001 - \$15,000	
FuelCell Energy, Inc. (FCEL) [ST]		03/16/2018	S (partial)	\$1,001 - \$15,000	<u>~</u>
FuelCell Energy, Inc. (FCEL) [ST]		04/13/2018	S (partial)	\$1,001 - \$15,000	▽
FuelCell Energy, Inc. (FCEL) [ST]		10/1/2018	S	\$1,001 - \$15,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
		(partial)		
FuelCell Energy, Inc. (FCEL) [ST]	10/18/2018	S (partial)	\$1,001 - \$15,000	
FuelCell Energy, Inc. (FCEL) [ST]	10/22/2018	S (partial)	\$1,001 - \$15,000	
FuelCell Energy, Inc. (FCEL) [ST]	10/23/2018	S (partial)	\$1,001 - \$15,000	
FuelCell Energy, Inc. (FCEL) [ST]	06/12/2018	S S (partial)	\$1,001 - \$15,000	<u> </u>
FuelCell Energy, Inc. (FCEL) [ST]	07/17/2018	S (partial)	\$1,001 - \$15,000	▽
FuelCell Energy, Inc. (FCEL) [ST]	08/9/2018	P	\$1,001 - \$15,000	
FuelCell Energy, Inc. (FCEL) [ST]	08/10/2018	3 P	\$1,001 - \$15,000	
FuelCell Energy, Inc. (FCEL) [ST]	08/14/2018	P P	\$1,001 - \$15,000	
FuelCell Energy, Inc. (FCEL) [OP] DESCRIPTION: Jan 18'19 \$2 Call	09/27/2018	3 P	\$280.66	
FuelCell Energy, Inc. (FCEL) [OP] DESCRIPTION: April 18'19 \$2 Call	10/2/2018	P	\$1,001 - \$15,000	
FuelCell Energy, Inc. (FCEL) [OP] DESCRIPTION: Jan 18'19 \$2 Call	10/1/2018	P	\$1,001 - \$15,000	
FuelCell Energy, Inc. (FCEL) [OP] DESCRIPTION: April 18'19 \$1 Call	10/22/2018	Р	\$1,001 - \$15,000	
FuelCell Energy, Inc. (FCEL) [OP] DESCRIPTION: Close April 18'19 \$2 Call	10/23/2018	s s	\$1,001 - \$15,000	
FuelCell Energy, Inc. (FCEL) [OP] DESCRIPTION: April 18'19 \$1 Call	10/23/2018	P	\$1,001 - \$15,000	
Neah Power Systems, Inc. New (NPWZ) [ST]	10/22/2018	S	\$25.10	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Oakridge Global Energy Solutions, Inc. (OGES) [ST]	07/17/2018	S	\$14.44	П
Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW) [ST]	10/22/2018	S	\$94.45	

^{*} Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit $\underline{ \text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Туре	Amount of Liability
	Everhome	September 2005	rental house Ashburn Turner County Georgia	\$100,001 - \$250,000
	Wells Fargo	September 2012	Mortgage primary residence	\$250,001 - \$500,000
SP	Ameris Bank Tifton Georgia	September 2012	Primary residence mortgage	\$50,001 - \$100,000
	Ameris Bank Tifton Georgia	March 2013	Real estate long pine lot	\$50,001 - \$100,000
	First Port City Bank	August 2017	Loan	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Limited Partner	James W. Scott Family Limited Partnership
Limited Partner	MCA Family, LLC
Limited Partner / General Partner	A-C Scott, LLP and Aus Cur Scott, LLC

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2003	Principal Financial Group	vested pension benefit

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

• A-C SCOTT LLLP

LOCATION: US

• J. W. Scott Family Limited Partnership

LOCATION: US

o James A Scott IRA

Description: Retirement Account

o James A Scott VUL

Description: Life Insurance Contract

• MCA Family, LLC

LOCATION: US

Vivien G Scott 401 (K)

DESCRIPTION: 401 (k)

• Vivien G Scott IRA (Owner: SP)

Description: Retirement Account

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

© Yes © No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

© Yes © No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

© Yes © No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Austin Scott, 06/14/2019