

**HAND
DELIVERED**

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LEGISLATIVE RESOURCE CENTER

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**UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT**

Form A
For use by Members, officers, and employees

Name: Robert Miller Pittenger

Daytime Telephone:

(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>North Carolina</u>	District: <u>9th</u>	Officer or Employee	Employing Office:	Termination Date:
Report Type	<input checked="" type="checkbox"/> Annual (May 15, 2013)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination			

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

IPO—Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Name Robert Miller Pittenger

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Source

Type

Amount

[illegible]

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name Robert Miller Pittenger

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or savings account; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.		Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None." * This column is for assets held solely by your spouse or dependent child.													Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.							For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. * This column is for income generated by assets held solely by your spouse or dependent child.												Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.		
SP, DC, JT		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, E	
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*								None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*			
	SP Mega Corp. Stock					X										X					Royalties															
	DC, Examples: Simon & Schuster																																			
	JT 1st Bank of Paducah, KY Accounts																																			
	Robert Pittenger Company, Inc.																				S Corp	X														
	real estate investments																				Income															
	Legislative Ret. - North Carolina																				X Retirement															
	Wells Fargo																																			
	Robert Pittenger Company																																			
	Inc., defined benefit plan (terminated - 2012)																				Retirement															

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SCHEDULE M - ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name **Robert Miller Pitenger**

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BLOCK A		BLOCK B													BLOCK C							BLOCK D												BLOCK E						
Asset and/or Income Source		Year-End Value of Asset													Type of Income							Amount of Income												Transaction						
SP, DC, JT		A	B	C	D	E	F	G	H	I	J	K	L	M	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII						
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									NONE	None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - 100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000			Spouse/DC Income over \$1,000,000*			
	Albemarle Road - Howell Road, LLC													X								LUCK																		
	Cabarrus County, NC																					LUCK																		
	Albemarle Road, LLC													X								LUCK																		
	Cabarrus County, NC																					LUCK																		
	Belmont #1 - US 321/74 Bypass, LLC													X								LUCK																		
	Gaston County, NC																					LUCK																		
	Belmont #2 - US 321/74 Bypass, LLC													X								LUCK																		
	Gaston County, NC																					LUCK																		
	Belmont #3 - US 321/74 Bypass, LLC													X								LUCK																		
	Gaston County, NC																					LUCK																		
	Beth Haven Church Road - NC 16 Bypass, LLC													X								LUCK																		
	Lincoln County, NC																					LUCK																		
	Bethel Church Road, LLC													X								LUCK																		
	Cabarrus County, NC																					LUCK																		
	High Shoals - Highway 321, LLC													X								LUCK																		
	Gaston County, NC																					LUCK																		
	Highway 321 - Forbes Road, LLC													X								LUCK																		
	Gaston County, NC																					LUCK																		
	Prior year reported in Robert Pitenger Company, Inc. totals.																																							

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name **Robert Miller Pittenger**

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BLOCK A		BLOCK B													BLOCK C												BLOCK D												BLOCK E
SP, DC, JT	Asset and/or Income Source	Value of Asset - Year-End													Type of Income												Amount of Income												Transaction
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	None	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII					
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*											\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000*				
	Albemarle Road - Howell Road, LLC					X																	LLC																
	Cabarrus County, NC																						LLC																
	Albemarle Road, LLC					X																	LLC																
	Cabarrus County, NC																						LLC																
	Belmont #1 - US 321/74 Bypass, LLC						X																LLC																
	Gaston County, NC																						LLC																
	Belmont #2 - US 321/74 Bypass, LLC																						LLC																
	Gaston County, NC																						LLC																
	Belmont #3 - US 321/74 Bypass, LLC						X																LLC																
	Gaston County, NC																						LLC																
	Beth Haven Church Road - NC 16 Bypass, LLC			X																			LLC																
	Lincoln County, NC																						LLC																
	Charleston - Berkeley County, LLC								X														LLC																
	Berkeley County, SC																						LLC																
	High Shoals - Highway 321, LLC								X														LLC																
	Gaston County, NC																						LLC																
	Highway 321 - Forbes Road, LLC								X														LLC																
	Gaston County, NC																						LLC																
	Highway 321 - Stagecoach Road, LLC			X																			LLC																
	Gaston County, NC																						LLC																
	Gaston County, NC																						LLC																

SCHEDULE III - ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name **Robert Miller Pittenger**

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BLOCK A		BLOCK B													BLOCK C											BLOCK D											BLOCK E	
Asset and/or Income Source		Year-End Value of Asset													Type of Income											Amount of Income											Transactions	
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	P	Q	R	S	T	U	V	W	X	Y	Z					
SP, DC, JT		None																																				
	Highway 49 - St. Stephens Church Road LLC																																					
	Cabarrus County, NC																																					
	Kidville Road - NC 16 Bypass LLC																																					
	Lincoln County, NC																																					
	Lincoln County - Orchard Road, LLC																																					
	Lincoln County, NC																																					
	Little River-Hwy 50, LLC																																					
	Henry County, SC																																					
	Little River-Highway 57 and Highway 111 LLC																																					
	Henry County, SC																																					
	Locust - Highway 200, LLC																																					
	Cabarrus County, NC																																					
	Lower Rocky River Road, LLC																																					
	Cabarrus County, NC																																					
	Lower Rocky River-Morrison Road, LLC																																					
Cabarrus County, NC																																						
Morris - Independence, LLC																																						
Union County, NC																																						
Mount Pleasant - Highway 200, LLC																																						
Cabarrus County, NC																																						

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SCHEDULE V— LIABILITIES

Name Robert Miller Pittenger

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE	\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Liability Over \$1,000,000
	Robert Pittenger Co, Inc.	8/08	Note Payable				X			X				
	George Moretz-Hickory, NC	3/18/09	Note Payable					X						
	Regions Bank-Mtg on personal residence-Charlotte, NC	8/8/08	Mortgage								X			
	Regions Bank-Mtg on vacation home-Kiawah Island, SC	9/6/07	Mortgage							X				
	Regions Bank-Mtg on vacant house-Grandfather Mtn. NC	8/22/07	Mortgage							X				

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$350 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives; gifts of personal hospitality of an individual; local meals; and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	\$375

SCHEDULE VII—POSITIONSName **Robert Miller Pittenger**Page **11** of **11**

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Chairman & CEO *	Robert Pittenger Company, Inc.
Managing Member	RPC, LLC (Single Member LLC Holding Real Estate Carried Interests)
	* Resigned positions in 2013

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
2011	Legislative System of North Carolina	Retirement Agreement - Pension

August 7, 2013

The Honorable Karen L. Haas
Clerk of the U.S. House of Representatives
Office of the Clerk
U.S. Capitol, Room H154
Washington, DC 20515-6601

RE: Financial Report Corrections to March 31, 2012 Financial Disclosure Statement

Dear Madame Clerk:

In preparing my calendar year 2012 Financial Disclosure Statement, it came to my attention that I overlooked several items on my March 31, 2012 Financial Disclosure Statement

1. On page 1 of 6, I checked the box on excepted trusts "no". I should have checked it "yes" and have done so for calendar 2012 reporting purposes. Additionally, I should have reported the C.Y. N. Bahakel Family Excepted Trust on Schedule II – Assets and "Unearned" income.

- Asset and/or Income Source – C.Y. N. Bahakel Family Excepted Trust – Information withheld by trustee
- Value of Asset – None
- Type of Income – Excepted/Blind Trust
- Amount of Income – Current Year – None, Preceding Year - None

2. A 401-K plan was left off the March 31, 2012 report. It should have been reported on Schedule II as follows:

- Asset and/or Income Source – American Radio and Television 401-K plan – Federated Prime Value Obligations 1
- Value of Asset - \$100,001 - \$250,000
- Type of Income – Other Type of Income – Tax-Deferred/Retirement
- Amount of Income – Current Year – None, Preceding Year - None

3. My balance in my Company's defined benefit plan which was invested in life insurance was excluded from the report and should have been reported as follows:

- Asset and/or Income source – Robert Pittenger Company Inc., defined benefit plan – Fixed income annuity
- Value of Asset - \$500,001 - \$1,000,000
- Type of Income – Other Type of Income – Tax-Deferred/Retirement

- Amount of Income -- Current Year -- None, Preceding Year - None

4. My direct ownership of some carried interests in Robert Pittenger Company properties were not reported separately but were reported in the total value for Robert Pittenger Company, Inc as real estate investments. They have been broken out separately in the December 31, 2012 report.

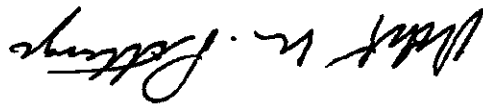
5. My wife and I had some direct ownership in some of the Robert Pittenger Company, Inc partnerships that were not reported. I have attached a list of these partnerships to report them correctly as of March 31, 2012 (see attached).

6. Schedule III Liabilities

Add to George Moretz Note Payable address -- Hickory, N.C.

Thank you for your attention and feel free to contact me if you have any questions regarding these corrections.

Sincerely,



Robert M. Pittenger

Member of Congress

SCHEDULE H - ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name **Robert Miller Pitenger**

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BLOCK A		BLOCK B													BLOCK C							BLOCK D																								
Asset and/or Income Source		Value of Asset													Type of Income							Amount of Income																								
		A	B	C	D	E	F	G	H	I	J	K	L	M								Current Year												Preceding Year												
		None	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	\$50,000,001 - \$100,000,000	\$100,000,001 - \$500,000,000	\$500,000,001 - \$1,000,000,000								I	II	III	IV	V	VI	VII	VIII	IX	X	I	II	III	IV	V	VI	VII	VIII	IX	X					
2005	Albemarle Road - Howell Road, LLC																																													
	Cabarrus County, NC																																													
	Albemarle Road, LLC																																													
	Cabarrus County, NC																																													
	Beltmont #1 - US 321/74 Bypass, LLC																																													
	Gaston County, NC																																													
	Beltmont #2 - US 321/74 Bypass, LLC																																													
	Gaston County, NC																																													
	Beltmont #3 - US 321/74 Bypass, LLC																																													
	Gaston County, NC																																													
	96th Haven Church Road - NC 18 Bypass, LLC																																													
	Lincoln County, NC																																													
	Charleston - Berkeley County, LLC																																													
	Belknap County, SC																																													
	High Shoals - Highway 321, LLC																																													
	Gaston County, NC																																													
	Highway 321 - Forbes Road, LLC																																													
	Gaston County, NC																																													
	Highway 321 - Sledgehorn Road, LLC																																													
	Gaston County, NC																																													
	Gaston County, NC																																													

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