

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. John A. Yarmuth

Status: Member State/District: KY03

FILING INFORMATION

Filing Type: Annual Report

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SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|------------------------------|-----------------------------|----------------------------|-------------------|
| 3M Company (MMM) | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | <u>~</u> |
| Absolute Strategies Fund-I | None | None | | ∀ |
| Accenture plc. Class A Ordinary Shares (ACN) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| Allergan plc Ordinary Shares (AGN) | \$1,001 - \$15,000 | None | | ~ |
| Almost Family Inc (AFAM) | \$1,000,001 - \$5,000,000 | Capital Gains | \$100,001 - \$1,000,000 | <u>~</u> |
| Alphabet Inc Class A (GOOGL) | \$1,001 - \$15,000 | None | | V |
| Altria Group, Inc. (MO) | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | |
| American International Group, Inc. New (AIG) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | V |
| Apple Inc. (AAPL) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | $\overline{\vee}$ |

| Asset | Owner Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|--------------------------|-------------------|---------------------|----------------|
| | | | | |
| AT&T Inc. (T) | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | |
| Automatic Data Processing, Inc. (ADP) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| Axis Capital Holdings Limited (AXS) | None | Capital Gains | \$1 - \$200 | <u>~</u> |
| Bank of America Corporation (BAC) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| Berkshire Hathaway Inc. New (BRK.B) | \$1,001 - \$15,000 | None | | ▽ |
| Boeing Company (BA) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| Capital One Financial Corporation (COF) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <u>~</u> |
| Chevron Corporation (CVX) | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | |
| Cisco Systems, Inc. (CSCO) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | ▽ |
| Coca-Cola Company (KO) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| Comcast Corporation - Class A (CMCSA) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| CVS Health Corporation (CVS) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| Dana Large Cap Equity | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | ~ |
| Doonbeg Property | \$250,001 - \$500,000 | Rent | \$15,001 - \$50,000 | |
| LOCATION: Doonbeg, IE | | | | |
| E*TRADE Financial Corporation (ETFC) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <u>~</u> |
| Ecolab Inc. (ECL) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| Estee Lauder Companies, Inc. (EL) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|--------------------------|-----------------------------|-------------------|----------------|
| Europacific Growth FD CL F-2 | | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | П |
| Exxon Mobil Corporation (XOM) | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | |
| Federated Treasury Obligation Fund | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | |
| Federated Treasury Obligation Fund | | \$100,001 - \$250,000 | Interest | \$1 - \$200 | П |
| General Electric Company (GE) | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| Gilead Sciences, Inc. (GILD) | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| Google Inc Class C Capital Stock (GOOG) | | None | None | | П |
| Home Depot, Inc. (HD) | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | П |
| Honeywell International Inc. (HON) | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | П |
| Intel Corporation (INTC) | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | П |
| Johnson & Johnson (JNJ) | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | ~ |
| JP Morgan Chase & Co. (JPM) | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | <u>~</u> |
| McDonald's Corporation (MCD) | | None | Dividends | \$1 - \$200 | V |
| McKesson Corporation (MCK) | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | П |
| Merck & Company, Inc. Common Stock (MRK) | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | П |
| Microsoft Corporation (MSFT) | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | ∀ |
| | | | | | |

| Asset | Owner Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-----------------------------------|-----------------------------|------------------------------|----------------|
| Morgan Stanley (MS) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <u>~</u> |
| NextEra Energy, Inc. (NEE) | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | П |
| Northwestern Mutual annuity | \$100,001 - \$250,000 | None | | |
| Oppenheimer DVLPING MKTS-Y | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | ▽ |
| Oracle Corporation (ORCL) | None | Capital Gains, Dividends | \$201 - \$1,000 | ✓ |
| Packaging Corporation of America (PKG) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | П |
| Penn Mutual insurance policy Description: This is an insurance policy. | \$100,001 - \$250,000 | None | | |
| PNC Checking Account | \$1,001 - \$15,000 | Interest | \$1 - \$200 | П |
| Praxair, Inc. (PX) | None | Capital Gains, Dividends | \$201 - \$1,000 | ~ |
| Procter & Gamble Company (PG) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| Sameul Klein Trust DESCRIPTION: Beneficiary of trust established by grandpa | Undetermined arents for education | Excepted/Blind Trust | \$5,001 - \$15,000 | П |
| Schlumberger N.V. (SLB) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | П |
| Seafarer Overseas GR & Income Institutional Shares | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | |
| Sonny's Barbeque Description: Interest in subchapter S corporation | \$5,000,001 - \$25,000,000 | Royalties and income | \$1,000,001 - \$5,000,000 | |
| Starbucks Corporation (SBUX) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | |
| | | | | |

| Asset | Owner Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------------------------|-----------------------------|-------------------|----------------|
| T Rowe Price High Yield FD-INV | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | |
| Target Corporation (TGT) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <u>~</u> |
| TCW Emerging Markets Income CL I | None | Dividends | \$201 - \$1,000 | |
| Touchstone Small Cap Core Fund Class Y | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | ▽ |
| U.S. Bancorp (USB) | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <u>~</u> |
| Union Pacific Corporation (UNP) | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | |
| UnitedHealth Group Incorporated Common Stock (UNH) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <u>~</u> |
| Vanguard REIT ETF | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | П |
| Visa Inc. (V) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <u></u> |
| Walt Disney Company (DIS) | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | П |
| William Blair FDS Alloc I | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <u></u> |
| WisdomTree Europe Hedged Equity Fund (HEDJ) | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | ▽ |

SCHEDULE B: TRANSACTIONS

| Asset | Owner Date | Tx. Type | Amount | Cap. Gains > \$200? |
|------------------------------|------------|-------------|-------------------------|---------------------|
| 3M Company (MMM) | 07/31/2015 | S | \$1,001 - \$15,000 | ✓ |
| Absolute Strategies Fund - I | 09/17/2015 | S | \$50,001 - \$100,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------------|-------------------------|---------------------|
| Allergan plc Ordinary Shares (AGN) | | 12/1/2015 | P | \$1,001 - \$15,000 | |
| Almost Family Inc (AFAM) | | 09/17/2015 | S (partial) | \$50,001 - \$100,000 | ▽ |
| Almost Family Inc (AFAM) | | 09/18/2015 | S (partial) | \$50,001 - \$100,000 | ∀ |
| Almost Family Inc (AFAM) | | 09/21/2015 | S (partial) | \$50,001 - \$100,000 | ▽ |
| Almost Family Inc (AFAM) | | 09/22/2015 | S (partial) | \$50,001 - \$100,000 | ~ |
| Almost Family Inc (AFAM) | | 09/23/2015 | S (partial) | \$50,001 - \$100,000 | <u>~</u> |
| Alphabet Inc Class A (GOOGL) | | 12/1/2015 | P | \$1,001 - \$15,000 | |
| American International Group, Inc. New (AIG) | | 07/31/2015 | P | \$1,001 - \$15,000 | |
| Apple Inc. (AAPL) | | 12/1/2015 | P | \$1,001 - \$15,000 | |
| Axis Capital Holdings Limited (AXS) | | 03/10/2015 | S | \$1,001 - \$15,000 | |
| Berkshire Hathaway Inc. (BRK.A) | | 07/30/2015 | S (partial) | \$1,001 - \$15,000 | П |
| Boeing Company (BA) | | 07/31/2015 | P | \$1,001 - \$15,000 | |
| Cisco Systems, Inc. (CSCO) | | 07/30/2015 | P | \$1,001 - \$15,000 | |
| Cisco Systems, Inc. (CSCO) | | 12/1/2015 | S (partial) | \$1,001 - \$15,000 | П |
| Dana Large Cap Equity | | 09/17/2015 | P | \$15,001 - \$50,000 | |
| E*TRADE Financial Corporation (ETFC) | | 03/10/2015 | P | \$1,001 - \$15,000 | |
| General Electric Company (GE) | | 07/31/2015 | P | \$1,001 - \$15,000 | |

| Asset | Owner Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|------------|----------------|---------------------|---------------------|
| Google Inc Class C Capital Stock (GOOG) | 01/27/2015 | P | \$1,001 - \$15,000 | |
| Google Inc Class C Capital Stock (GOOG) | 01/12/2015 | S | \$1,001 - \$15,000 | |
| Johnson & Johnson (JNJ) | 01/13/2015 | S (partial) | \$1,001 - \$15,000 | П |
| Johnson & Johnson (JNJ) | 01/20/2015 | S (partial) | \$1,001 - \$15,000 | |
| JP Morgan Chase & Co. (JPM) | 01/20/2015 | S (partial) | \$1,001 - \$15,000 | <u></u> |
| JP Morgan Chase & Co. (JPM) | 07/31/2015 | P | \$1,001 - \$15,000 | |
| McDonald's Corporation (MCD) | 03/10/2015 | S (partial) | \$1,001 - \$15,000 | П |
| Merck & Company, Inc. Common Stock (MRK) | 01/20/2015 | P | \$1,001 - \$15,000 | |
| Microsoft Corporation (MSFT) | 03/10/2016 | S | \$1,001 - \$15,000 | <u>~</u> |
| Morgan Stanley (MS) | 07/31/2015 | P | \$1,001 - \$15,000 | |
| Morgan Stanley (MS) | 12/1/2015 | S (partial) | \$1,001 - \$15,000 | П |
| Mylan N.V. (MYL) | 07/30/2015 | S | \$1,001 - \$15,000 | |
| Mylan N.V. (MYL) | 12/1/2015 | S (partial) | \$1,001 - \$15,000 | П |
| Oppenheimer Developing Markets - Y | 09/17/2015 | S (partial) | \$15,001 - \$50,000 | П |
| Oracle Corporation (ORCL) | 07/29/2015 | S | \$1,001 - \$15,000 | ▽ |
| Praxair, Inc. (PX) | 03/10/2015 | S | \$1,001 - \$15,000 | ~ |
| SanDisk Corporation (SNDK) | 01/27/2015 | S | \$1,001 - \$15,000 | |

| Asset | Owner Date | | Tx. Type | Amount | Cap. Gains > \$200? |
|--|------------|--------|----------------|---------------------|---------------------|
| Seafarer Overseas GR & Income Institutional Shares | 09/17 | /2015 | P | \$15,001 - \$50,000 | |
| Target Corporation (TGT) | 03/10 | /2015 | P | \$1,001 - \$15,000 | |
| TCW Emerging Markets Income CL-1 | 09/17 | /2015 | S | \$15,001 - \$50,000 | |
| Touchstone Small Cap Core Fund Class-Y | 09/17 | /2015 | P | \$15,001 - \$50,000 | |
| United Rentals Inc Trust I UNITED RENTALS TRUST 1 6.50 PFD (URIRP) | 01/12 | /2015 | S | \$1,001 - \$15,000 | П |
| UnitedHealth Group Incorporated (UNH) | 01/12 | /2015 | P | \$1,001 - \$15,000 | |
| UnitedHealth Group Incorporated (UNH) | 01/13, | /2015 | P | \$1,001 - \$15,000 | |
| US Bancorp Del DEPOSITARY SH S G (USB\$N) | 01/13, | /2015 | S (partial) | \$1,001 - \$15,000 | |
| US Bancorp Del DEPOSITARY SH S G (USB\$N) | 07/31 | /2015 | P | \$1,001 - \$15,000 | |
| Visa Inc. (V) | 01/12 | /2015 | P | \$1,001 - \$15,000 | |
| Visa Inc. (V) | 01/13, | /2015 | P | \$1,001 - \$15,000 | |
| William Blair FDS Alloc I | 09/17 | /2015 | P | \$15,001 - \$50,000 | |
| WisdomTree Europe Hedged Equity Fund (HEDJ) | 09/22 | 2/2015 | P | \$15,001 - \$50,000 | |

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

| Owner Creditor | Date Incurred | Туре | Amount of Liability |
|------------------|---------------|--|--------------------------|
| Fifth Third Bank | December 2011 | Mortgage loan on Louisville, KY property | \$250,001 - \$500,000 |
| Fifth Third Bank | March 2012 | Demand note | \$500,001 - |

| Owner Creditor | Date Incurred Type | Amount of Liability |
|----------------|--------------------|------------------------|
| | | \$1,000,000 |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|-------------------|---------------------------|
| Director | First Tee of Louisville |
| Director Emeritus | Kentucky Golf Association |

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details | | | | | Inclusions | | |
|--|---------------|------------|--|---------------------|------------|----------|----------|
| Source | Start Date | End Date | Itinerary | Days at Own Exp. | Lodging? | Food? | Family? |
| Aspen Institute Congressional program | 05/26/2015 | 05/31/2015 | Louisville, KY - Montreal, Quebec - Louisville, KY | 0 | V | V | <u> </u> |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ○ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. John A. Yarmuth, 07/15/2016