



Filing ID #10019759

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Max Harold Della Pia  
**Status:** Congressional Candidate  
**State/District:** NY23

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2017  
**Filing Date:** 03/16/2018  
**Period Covered:** 01/01/2016– 12/31/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Alex A. Della Pia NML		\$1,001 - \$15,000	None		
Max H. & Nancy K. Della Pia JTWROS ⇒ Astrazeneca PLC (AZN)	JT	\$15,001 - \$50,000	None		
Max H. & Nancy K. Della Pia JTWROS ⇒ Pfizer, Inc. (PFE)	JT	\$15,001 - \$50,000	None		
Max H. & Nancy K. Della Pia JTWROS ⇒ UBS AG FI Enhanced Europe 50 ETN due February 12, 2026 (FIEE)	JT	\$1,001 - \$15,000	None		
Max H. Della Pia IRA ⇒ Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA)		\$15,001 - \$50,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Max H. Della Pia IRA ⇒ Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Alphabet Inc. - Class A (GOOGL)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Amazon.com, Inc. (AMZN)		\$50,001 - \$100,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ American Express Company (AXP)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Anheuser-Busch Inbev SA Sponsored ADR (BUD)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Apple Inc. (AAPL)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Banco Santander, S.A. Sponsored ADR (SAN)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Banco Santander, S.A. Sponsored ADR (SAN)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ BASF SE American Depositary Shares (BASFY)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ BASF SE American Depositary Shares (BASFY)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Bayer Aktiengesellschaft American Depositary Shares (BAYRY)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Berkshire Hathaway Inc. New (BRK.B)		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Max H. Della Pia IRA ⇒ BNP Paribas American Depositary Shares (BNPQY)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ BNP Paribas American Depositary Shares (BNPQY)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Chevron Corporation (CVX)		\$1 - \$1,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Cisco Systems, Inc. (CSCO)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Citigroup, Inc. (C)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Citigroup, Inc. (C)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Comcast Corporation - Class A (CMCSA)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Costco Wholesale Corporation (COST)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Credit Suisse FI Large Cap Growth Enhanced ETN (FLGE)		\$50,001 - \$100,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Credit Suisse FI Large Cap Growth Enhanced ETN (FLGE)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Daimler AG Sponsored ADR (DDAIY)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Exxon Mobil Corporation (XOM)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ General Electric Company (GE)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ GlaxoSmithKline PLC (GSK)		\$1,001 - \$15,000	Tax-Deferred		

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Max H. Della Pia IRA ⇒ Home Depot, Inc. (HD)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ HSBC Holdings, plc. (HSBC)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ ING Group, N.V. (ING)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Intel Corporation (INTC)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Intesa Sanpaolo S.P.A. Sponsored ADR (ISNPY)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Johnson & Johnson (JNJ)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ JP Morgan Chase & Co. (JPM)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Lloyds Banking Group Plc American Depositary Shares (LYG)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ L'Oreal Co. American Depositary Shares (LRLCY)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ LVMH Moet Hennessy Louis Vuitton Un-sponsored American Depositary Receipt (LVMUY)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Merck & Company, Inc. Common Stock (MRK)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Microsoft Corporation (MSFT)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Mitsubishi Electric Corp ADR (MIELY)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY)		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Max H. Della Pia IRA ⇒ Novartis AG (NVS)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Oracle Corporation (ORCL)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Pepsico, Inc. (PEP)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Procter & Gamble Company (PG)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Reckitt Benckiser Plc Sponsored ADR (RBGLY)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Sanofi American Depositary Shares (SNY)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ SAP SE ADS (SAP)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Siemens AG American Depositary Shares (SIEGY)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Softbank Corp Un-sponsored ADR (SFTBY)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Sumitomo Mitsui Financial Group Inc Un-sponsored American Depositary Shares (SMFG)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Tencent Holdings Limited Un-sponsored ADR Representing B Shares (TCEHY)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ UBS AG UBS AG FI Enhanced Global High Yield ETN due March 3, 2026 (FIHD)		\$50,001 - \$100,000	Tax-Deferred		
Max H. Della Pia IRA ⇒		\$15,001 - \$50,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
UBS Group AG Registered Ordinary Shares (UBS)					
Max H. Della Pia IRA ⇒ United Technologies Corporation (UTX)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Vinci SA ADR (VCISY)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Visa Inc. (V)		\$50,001 - \$100,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Wal-Mart Stores, Inc. (WMT)		\$15,001 - \$50,000	Tax-Deferred		
Max H. Della Pia IRA ⇒ Yaskawa Electric Corp Unsponsored ADR (YASKY)		\$1,001 - \$15,000	Tax-Deferred		
Max H. Della Pia NML		\$100,001 - \$250,000	None		
Nancy K. Della Pia IRA ⇒ Alphabet Inc. - Class A (GOOGL)	SP	\$15,001 - \$50,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Apple Inc. (AAPL)	SP	\$15,001 - \$50,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ ASML Holding N.V. - ADS represents 1 ordinary share (ASML)	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Chevron Corporation (CVX)	SP	\$15,001 - \$50,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Exxon Mobil Corporation (XOM)	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
FI Enhanced Europe 50 ETN (FIEU)					
Nancy K. Della Pia IRA ⇒ Novo Nordisk A/S (NVO)	SP	\$5,000,001 - \$25,000,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Pfizer, Inc. (PFE)	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY)	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Societe Generale France Sponsored ADR (SCGLY)	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ Softbank Corp Unsponsored ADR (SFTBY)	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia IRA ⇒ United Technologies Corporation (UTX)	SP	\$1,001 - \$15,000	Tax-Deferred		
Nancy K. Della Pia Thrivent (SP)		\$15,001 - \$50,000	None		

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
The Goat Boy	spouse's salary	N/A	\$8,435.40
Katie's Kreations	spouse salary	\$316.25	\$316.25

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Bank of America, N.A., EIN 941687665	Feb 6, 2009	Home mortgage on primary residence	\$50,001 - \$100,000

SCHEDULE E: POSITIONS

None disclosed.

## **SCHEDULE F: AGREEMENTS**

None disclosed.

## **SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

None disclosed.

## **SCHEDULE A ASSET CLASS DETAILS**

- Max H. & Nancy K. Della Pia JTWROS (Owner: JT)  
LOCATION: US
- Max H. Della Pia IRA
- Nancy K. Della Pia IRA (Owner: SP)

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Max Harold Della Pia , 03/16/2018