| Name: (C) (Stell) (Octobrolidate for State: Flortida  | idates, and New Employees   | EGISLATIVE RESOURCE CENTER.  18 MAY 23 PM 3: 22  4005E OF REPRESENTATIVES                                     |
|---|---|---|
| State: F District: Avg 26 Staff Shan  | Check if Amendment  Period Covered: January 1, 2011 to Aka rch 31, 2018   | (Office Use Only)  A \$200 penalty shall be assessed against any individual who files more than 30 days late. |
| PRELIMINARY INFORMATION – ANSWER <u>EACH</u> OF THESE QUESTIONS   | TIONS   |   |
| A. Did you, your spouse, or your dependent child:  a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? Or  b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?  | E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?                                       | ng the reporting Yes No No  |
| C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the Yes No Pension/IRA distributions (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the Yes No Pension/IRA distributions (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the Yes No Pension/IRA distributions (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the Yes No Pension/IRA distributions (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the Yes No Pension/IRA distributions (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the Yes No Pension/IRA distributions (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the Yes No Pension/IRA distributions (e.g., salaries, honoraria, h | F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? | arrangement with an Yes No No   |
| D. Did you, your spouse, or your dependent child have any reportable Yes No iability (more than \$10,000) at any point during the reporting period?   | J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?  | n \$5,000 from a Yes No No  |
| ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES" THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE   | HEDULE IF YOU ANSWER "YES   | S" COMPLETE   |
| EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH  | <b>1</b>  | OF THESE QUESTIONS  |
| TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not from this report details of such a trust that benefits you, your spouse, or dependent child?   | ther "excepted trusts" need not be disclosed.   | be disclosed. Have you excluded Yes No 3  |
| <b>EXEMPTION</b> – Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child be exemption? Do not answer 'yes' unless you have first consulted with the Committee on Ethics.  |   | cause they meet all three tests for Yes No 1  |

| EDULE A – ASSETS          | EDULE A - ASSETS & "UNEARNED INCOME" | Name: KRISten  | sten C CARlson   | Page_l_ofl     |
|---------------------------|--------------------------------------|----------------|--|----------------|
|                           |                                      |                |  |                |
| вгоск у                   | BLOCK B                              | BLOCK C        | BLOCK D  |                |
| ets and/or income Sources | Value of Asset                       | Type of Income | Amount of income   | come           |
|                           |                                      |                | Current Year   |                |
|                           |                                      |                |  | Preceding Year |
|                           |                                      |                | THE TOTAL TO THE TOTAL TO A MINISTER TO THE TOTAL TOTA | Preceding Year |

|          |  |  |  |              |  |  |                | ,               |  |  | ,  |  |  |  |  |  |                |                              |         |              |
|----------|--|--|--|--------------|--|--|----------------|-----------------|--|--|--|--|--|--|--|--|----------------|------------------------------|---------|--------------|
|          |  |  |  |              |  | <u> </u>   |                |                 |  |  |  |  |  |  | 788  |  |                |                              |         |              |
|          |  | >  | Ø  | 2            | 4  | D  | I              | $ \mathcal{Q} $ | 12.  | D  | 5  | J.   | -  | 74   |  |  |                | Assets and/or Income Sources |         |              |
| ĺ        |  |  | BB\$1  | Ā            | ackson   |  |                | B               | 0  | ۵  | hate OFFIC                                       | 1  |  |  |  |  |                | 8                            |         | l            |
| 1        |  | Motophide  | 12   | =            | W,   | 40   | 9/             | 20              | 7  | euare  | 2  | 4  | 7  | llen   |  |  |                | 8                            |         | ]            |
| )        |  | <u> </u>   | , '  |              | 8  | etilemen   | chromeni       | 17              | 20   | 8  | K  | RADE   | 80.  | B  | <u>}</u>   |  |                | Q.                           | 8       | 1            |
| - 1      | i  | 5  | ションナストラン・  | western      |  | -  | 0              | 1               | twe  | 7  | D  | Ž  |  |  | asset name                                       |  |                | , i                          | BLOCK V | Í            |
| 1        |  | 2  | S  | 3            | <u></u>  | 3  | 3              |                 | 0  | 10   | 7  | 1U   | 9  | U  | ž  |  |                | ğ                            | ×       | •            |
| - 1      |  | ā  | *,   | 4            | 7  | O  | 7              |                 | 1  |  | B  |  | W/   | 17   | A  |  |                | 콯                            |         | ļ            |
| - 1      |  | 0  | 7  | 7            | 7  | 7  | 6              | ]               | 1  |  |  |  | 17   | 0  |  |  |                | 8                            |         | Į            |
|          |  |  | <b>5</b> ′                                       |              | <u>~ :</u>                                       | 5  | 3              |                 | ~g~  | P,   | Cerr   |  | S  | D  |  |  |                | 2                            |         |              |
|          |  | ightharpoonup                                    | <b>2</b>   | 7            | 1  | 12,  | Ķ,             | <u> </u>        |  | ۳_   | 3  |  | N  | L_   |  |  |                | \$                           |         | L            |
|          |  |  |  | 3            | 10   | X.   | 1              |                 |  |  | 6  |  | <u> </u>   |  | EFF  |  |                |                              |         |              |
|          |  |  |  | 1            |  | 3  |                |                 |  |  |  |  |  |  |  | None >=  | Т              |                              | ·       |              |
| 2.       | , į  |  | ( , " ,  | 7            |  | \$* S  | X              | 4               | X  | 1  | 180  | 13   | Z  | *  |  | \$1-\$1,000°   |                |                              | İ       |              |
|          | ,  | 1  | F  | 1            |  | 1  | 4              | 7               | 1  |  | P  | 7  | 1  | 14.14  |  | \$1,001-\$15,000   |                |                              |         |              |
|          | ₹,* ,  |  | 7  | 1            | J  | J  | 13             | 3               | X  |  | 44 14  | Ž  | 3  | 72   |  | \$16,001-\$10,000 P  |                |                              | i       |              |
|          |  | 1  | 7  | 7            | Z.   | 4  | 14             | 0               | 7  | 5  | 1  | 7  | *  | 7  |  | \$50,001-\$100,000 m   |                | <                            |         |              |
| ř.,      | 3 4 7 6 7 7                                      | <b>A</b>   | 2  | 7            | 3  | 0  | 3              | 1               |  | 1  | 6  | 2  | 3  |  |  | \$100,001-\$250,000 m  |                |                              | en.     | <del> </del> |
|          |  | £  | 4  | 8            | 2  | 3  | Ź              | 3               | ↸  | <b>3</b>   | 7  | 4  | 3  | 3  | <u> </u>   |  |                | 9                            | BLOCK B | <b>!</b>     |
| 73       |  | 1  | 3  | d-           | 3  | 7  | 4              | 30              | 7  | 7  | 9  | 3-   | 3  | 20   | ļi   | \$250,001-\$500,000 co   |                | Value of Asset               | ×       | <b> </b>     |
| <u></u>  | 15 1 18  | 7  | 7  | 3            | 6  | 0  | >              | 2               | D  | 10   | 100  | 17   | 1.   | 130  | <u> </u>   | \$500,001-\$1,000,000  |                | 95<br>6                      |         | <b> -</b> -  |
|          | ····   | 10   | -  | 5            | 7  | <b>-</b>   | H              | ~               | <u> </u>   | 8  | 7  | W  | V  | 17   | <b>]</b>   | \$1,000,001-\$5,000,000  |                | *                            |         | ļ            |
|          | ينسنين   | N.   | -  | 2            | 1  | 4  | w.             | 1               | 1  | 4  | 1  | F.   | <u>Ļ</u>   | -  | <u> </u>   | \$2,000.001-\$55.000.000   |                |                              |         | <b> </b>     |
| <u> </u> | y: - J.  | 1  | 1  | 17           | 2  | 72   | CA.            | يلحل            | 0  | 1.   | K,   | L  | 0 1  | <u>جي</u>  | <del></del>                                      | \$25,000,001-\$50,000,000 ×  |                |                              |         | <b></b>      |
|          |  |  |  | 2            | O  | <u> </u>   | ļ              |                 |  | 107  | 6  |  | F  | Z.   |  | Over \$80,000,000  |                |                              |         | <b> </b>     |
|          |  | <u> </u>   | L  |              |  |  |                | $\Sigma_{-}$    |  | 5  | B  | 2  | 7  | 2  |  | Spouge/DC Asset over \$1,000,000° R  | _              |                              | مستنع   | <u>_</u>     |
| *        | ar , etya  | -  | . <sup>2</sup> g h., 4                           | 6            |  | <u> </u>   | ,              | A)              | V <sub>x</sub>                                   | م  |  | jt.<br>F   |  | Şe. 17   | 3.7  | AND THE PROPERTY OF THE PARTY O | ٠, ۳           |                              |         |              |
|          |  | w  | Ī  | 1 7          |  |  |                |                 |  |  |  |  |  |  |  | DIVIDENOS  |                |                              |         | ĺ            |
| 1        |  |  | 1  | 1            |  | T  | 1              | 1               | ļ  | 1  |  |  |  | 1  |  | PRINT A SECULIAR SECULIAR  | ~,             | ••••                         |         |              |
|          | ***  |  | <del>                                     </del> | ┼──          | 1  | 1  | <del> </del>   | 1               | -  | 1  | <del>                                     </del> | <del> </del>                                     | <del>                                     </del> | ╅┷   |  | WTEREST  | -              | Ą                            |         | -            |
|          |  | 2.00   | <del> </del>                                     | -            | <del> </del>                                     | <del>                                     </del> | <del> </del>   | ╁               | 100 j. i   | 1 %  | 1.35   | -  | ╁  | ,  |  | <u></u>  |                | Type of Income               | BLOCK C | <u> </u>     |
| C 12     | <u> </u>   |  | # .  | <del> </del> | -  | 1 =  | <u>  `</u>     | 1-              | 16   | -  | 1 124  | -  | 1-   |  | 1315-2   | COMPLICATE   | -1             | Ž                            | Š       | <u> </u>     |
|          |  | <u> </u>   | ļ  |              | <b>-</b>   | <u> </u>   | <del> </del>   | <del></del>     | ļ  | <u> </u>   | <u> </u>   |  |  |  |  | EXCEPTED/BLIND TRUST   |                | ğ                            | 0       | <u> </u>     |
|          |  | <u>L</u>   | <u> </u>   | <u> </u>     |  | <u> </u>   | <u> </u>       | <u> </u>        |  | <u> </u>   |  | ļ  | 1  | <u> </u>   |  | TAX DEFENNED   |                | \$                           |         |              |
| i        |  |  |  | 1            |  |  |                | į<br>Į          |  |  | ļ  |  |  |  |  | Other Type of Income (Specify: e.g.,   |                |                              |         | l            |
|          |  |  |  |              |  |  |                |                 |  |  | İ  |  |  |  |  | Pietnership Income or Farm Income)   | ı              |                              |         |              |
| ķ ,      | 1  | 60   |  | 1.           |  |  | 1              |                 | 13   | 1.   |  |  |  | \$ P   | 9 V.   |  | 7              |                              |         |              |
|          |  | 1  | 1  | <u> </u>     | 1  | 1-   | 1              | <b>†</b>        |  | 1  | 1  | 1-   | 1  | <del>                                     </del> | <b></b> -  | \$1-\$200  | 1              |                              |         |              |
|          |  | <del>                                     </del> |  | T            | 1.   | 1  | 1 -            | 1               |  | 1  | 1  |  | 1  |  |  | 370131,000   | 1              |                              |         |              |
|          |  | 1  | <del> </del>                                     | <del> </del> | <del>                                     </del> | 1  | 1              | <del> </del>    | 1  | 1  | <del>                                     </del> | <del>                                     </del> | 1  | †**-   | <u> </u>   | \$1,001-\$2,500 ≥  |                |                              |         | <b>I</b>     |
|          | -  | <del>                                     </del> | 1  | -            | †  | <del>                                     </del> | <del> </del> - | †               | <del>                                     </del> | t  | <del>                                     </del> | ┪—   | +  | ┪  | <b>†</b>   |  | ဂ္ဂ            |                              |         | <b>-</b>     |
|          | <del> </del>                                     | ├  | -  | +-           | ┼  | +  | +              | +               | -  | ┼─   | +  | +-   | +-   | <del> </del>                                     | <del> </del>                                     | 35,001-\$15,000  | <b>ā</b>       |                              |         | ┞            |
|          | <del>                                     </del> | ├-   | <del>                                     </del> | <u>i</u>     | <del>                                     </del> | +  | ╁╌╴            | <del>-</del>    | <del>  -</del>                                   | +-   | +-   | ┼┈   | <del>i</del> -                                   | +-   | $ar{}$   | \$10,001.580,000 S   | Current Year   |                              |         | $\vdash$     |
|          | <del>                                     </del> | ┼  | -  | <del> </del> | +  | <del> </del>                                     | +              | <del> </del>    | +  | <del>                                     </del> | <del> </del>                                     | -  | <del> </del>                                     | +  | <del> </del>                                     | 150,001.\$100,000 §  | ě              |                              |         | $\vdash$     |
|          | <del> </del>                                     | ╁  | <del> </del>                                     | <del> </del> | ╁  | ┼  | +-             | +               | ┼  | ┼─   | +  | +  | 1-   |  | <del>                                     </del> | \$100,001.\$1,000,000 ×  | 5              |                              |         | -            |
|          |  | <del> </del>                                     | <del>} -</del>                                   | <del> </del> | ┼  | ╀╌   | ┼              | 1               | <del>                                     </del> | ┼─   | 1-   | -  | +-   |  | <del>                                     </del> | \$1,000,001-\$5,000,000 ×  |                | >                            |         | -            |
|          |  | +-   | <del>-</del>                                     | <del> </del> | +-   | +  | <del> </del>   | +               | +  | ╁  | +-   | +  | +-   | +  | 1-   | Over \$2,000,000   | 1              | Amount of Income             |         | <b> </b>     |
|          | -  | -  | -  | ļ            | +-   | +  | +-             | +-              | -  | +  | +  | -  | +-   | +  | 1  | <del></del>  |                | <u> </u>                     | 멸       | $\vdash$     |
| _        | -  | -  | -  | <u>:</u>     |  | +-   | +-             | +               | +  | +-   | +  | +  | +-   | +  | <del>                                     </del> |  | $\dashv$       | ğ                            | BLOCKD  | <b> </b>     |
|          | <del> </del>                                     | <b>├</b>   | <del> </del>                                     | -            | -  | $\vdash$   | +              | <del>-</del>    | -  | ┼-   | -  | +-   | +  | +-   | <b> </b>   |  |                | กี                           | 6       | -            |
| _        | <del> </del>                                     | -  | -  | <del>-</del> | -  | ┼  | ┼-             | -               |  | ╁  | <del> </del>                                     | +  | +-   | <del> </del>                                     | <del> </del>                                     | \$1-\$200  |                | Юm                           |         | -            |
|          | <del> </del>                                     | -  | <u> </u>   | <u> </u>     | ــــــ   | +  | +-             | -               | -  |  | ┼-   | +  | +-   | +-   | 1-   | \$201-\$1,900 ==   |                | . <b>a</b>                   |         | -            |
|          | ļ  | ┼  | <del> </del>                                     | <del></del>  | <del></del>                                      |  | +              | +               | -  | -  | -  | +-   | +-   | <u> </u>   | <b> </b>   | \$1,001-\$2,500 <b>२</b>   | ַ קַ           |                              |         | -            |
|          | <del> </del>                                     | ├  |  | }            | -  | -  | <del> </del>   | <del></del>     | -  | -  | +-   | -  | .  |  | <b> </b>   | 12,501-15,000  | Preceding      |                              |         | -            |
|          |  | -  | -  | <del>-</del> | <del>-</del>                                     | -  | -              | +               | -  | +-   | -  | -  | -  | -  | <del> </del>                                     | \$5,001-\$15,000 \$  | <b>5</b>       |                              |         | 1-           |
|          | <del> </del>                                     | -  | -  | -            | -  | <del> </del>                                     | <del> </del>   | <del></del>     | -  |  | -  | <del> </del>                                     | +-   |  | 1  | 515,001-\$50,000 S   | ۲ <sub>۱</sub> |                              |         | -            |
|          | <del> </del>                                     | <u> </u>   | -  | -            | -  | +  | <del></del>    | <del>-</del>    |  | +-   | -  | -  | +  |  | <b></b>  | \$50,001-\$100,000 <u>§</u>  | Year           |                              |         | }-           |
|          | <del> </del>                                     |  | <u> </u>   | ┿            | <del></del>                                      | +-   | <del> </del>   | <del> </del>    | -  | ╁  | +-   | }  |  |  | <b>-</b>   | \$100,001-\$1,000,000  |                |                              |         | }_           |
|          | <del> </del>                                     |  | <del></del>                                      | -            | <del>-</del>                                     | -  | -              | <del>-</del>    | ╁  | <del> </del>                                     | <del> </del>                                     | <del></del>                                      | +  | <del> </del>                                     | <b> </b>   | \$1,000,001-\$5,000,000  |                |                              |         | -            |
|          | <u> </u>   |  | !  | <u> </u>     |  | 4_   | ļ              | <del></del>     | <del> </del>                                     | <del> </del>                                     | <del> </del>                                     | -  | <del></del>                                      |  | <b>_</b>   | Over \$5,000,000 S   |                |                              |         | -            |
|          |  |  |  |              |  |  | t.             |                 | 4  |  |  |  |  |  | -  | Spouse/DC Income over \$1,000,000° ≧   |                |                              |         |              |

# SCHEDULE C - EARNED INCOME

| Name:          |   |
|----------------|---|
| $\overline{C}$ |   |
| risten 1       |   |
| Parls          |   |
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| Pag            | 1 |
| 9              |   |
| 9              |   |
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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding \$1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act

INCOME LIMITS and PROHIBITED INCOME: Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2017 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,765. The 2018 limit is \$28,050. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

|  |                              | A.W.                   |  |
|--|------------------------------|------------------------|--|
| Source (include date of receipt for honoraria)   | Туре                         | Current Year to Filing | Preceding Year   |
| ABC Trade Association, Battimore, MD (key, 15)   | Honorarium                   | \$0                    | \$500  |
| Examples: Sate of Maryland Cot. 2)  Outside County Read of Education   | Spouse Speech Scourse Select | N/A<br>20000           | \$1,000<br>NA  |
| Macfanlane Ferguson + McMullen   | salary                       | £33, 3⊅8.              | 142 561  |
| Florida Retirement System  | Rension                      | 158,6 %                | Ra9, 190   |
| Florida Retirement Sytem (DORO)  | Pension                      | \$6,320                | 18,685   |
| WELLS FARGO CLEARING IRA WECS  | IRA                          | £5,600                 | \$15,400   |
| Protective Life Ins. Co.   | 1RA                          | *3,057                 | \$12,230   |
| Nationwide Retirement Solutions  | IRA/DEFERRED                 | 178/13                 | ¢7, 222  |
|  |                              |                        |  |
|  |                              |                        |  |
|  |                              |                        |  |
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### SCHEDULE D - LIABILITIES

| Name: スケーペーグン しゅうこっと | フィー・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・ |  |
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| rage I of            |   |  |
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liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child. Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. New Members: Members are required to report all liabilities secured by real properly including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and

|   |          |   |   |    |  | DC. JT                                 |          |                     |
|---|----------|---|---|----|--|--|----------|---------------------|
|   |          |   |   |    | Example                                |  |          |                     |
|   |          |   |   | NA | First Bank of Wilmington, DE           | Creditor                               |          |                     |
|   |          |   |   |    | 5/98                                   | Date<br>Liability<br>Incurred<br>MO/YR |          |                     |
|   |          |   |   |    | Mortgage on Rental Property, Dover, DE | Type of Liability                      |          | !                   |
|   |          | , |   |    |  | \$10,001-<br>\$15,000                  |          |                     |
|   |          |   |   |    |  | \$15,001-<br>\$50,000                  | 99       |                     |
|   |          |   |   |    |  | \$50,001-<br>\$100,000                 | G        |                     |
|   |          |   |   |    | ×                                      | \$100,001-<br>\$250,000                | 0        |                     |
| - |          |   |   |    |  | \$250,001-<br>\$500,000                | na .     | moun                |
|   |          |   |   |    |  | \$500,001-<br>\$1,000,000              | 4.       | Amount of Liability |
| , |          |   |   |    |  | \$1,000,001-<br>\$5,000,000            | <b>6</b> | ability             |
|   |          |   |   |    |  | \$5,000,001-<br>\$25,000,000           | ×        |                     |
|   | <u> </u> |   |   |    |  | \$25,000,001-<br>\$50,000,000          | ~        |                     |
| 1 | +        | + | + | +  | 1                                      | Over \$50,000,000                      |          |                     |
|   |          |   |   |    |  | 0444 420,000,000                       | د.       | 1                   |

### SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or period and the current calendar year. First-year candidates and new employees report positions held in the current calendar year and two previous years. political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report positions held in the reporting

| Position            | Name of Organization                         |
|---------------------|--|
| Employee            | Mx Fax lane Ferguson & MS Mullens TAMPA FL   |
| Evel Will Divisions | Propriation Descriptions Associated          |
| Beard Member: Secy  | Banca Member: Secy I akeland Rocks, com Inc. |
| 100m d 1100m        |  |
|                     |  |
|                     |  |

# **SCHEDULE F - AGREEMENTS**

| Name:            |  |
|------------------|--|
| KRISTENC Carlson |  |
| Page/            |  |
| of<br>-          |  |

| identify the data, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer. |  |
|---|--|
| on or deferral of payments by a former or current employer other than the U.S. government; or continuing participation is   | identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; |
| employer:   | continuation or deferral of payments by a former or current employer other than the U.S. government, or continuing participation in an employee welfare or benefit plan maintained by a former |
|   | empkoyer.  |

| Date | Parties to Agreement | Terms of Agreement   |
|------|----------------------|--|
|      | N/A                  |  |
|      |                      |  |
|      |                      |  |
|      |                      |  |
|      |                      |  |
|      |                      |  |
|      |                      | The state of the s |

# SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

|   | •                           |
|---|-----------------------------|
| Source (Name and City/State)                    | Brief Description of Duties |
| Exemple: Doe Jones & Smith, Hometown, Homestate | Accounting Services         |
| NA  |                             |
|   |                             |
|   |                             |
|   |                             |
|   |                             |
| ,   |                             |
|   |                             |
|   |                             |

# Attachmentilline





**SNAPSHOT** 

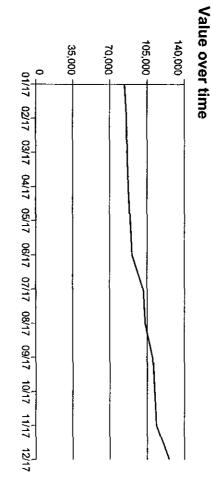
KRISTEN E CARLSON TOD REGISTRATION

Page 1 of 9

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

### **Progress summary**

| \$125.619.42 | \$125,619,42 | Closing value        |
|--------------|--------------|----------------------|
| 23,966.39    | 1,894.22     | Change in value      |
| 0.00         | 0.00         | Securities withdrawn |
| 0.00         | 0.00         | Cash withdrawn       |
| 0.00         | 0.00         | Securities deposited |
| 20,224.17    | 10,000.00    | Cash deposited       |
| \$81,428.86  | \$113,725.20 | Opening value        |
| THIS YEAR    | THIS PERIOD  |                      |



#### Portfolio summary

CURRENT

**ASSETS** Unit investment trusts Cash and sweep balances Stocks, options & ETFs Fixed income securities Asset value Mutual funds ASSET TYPE PREVIOUS VALUE ON NOV 30 \$113,725.20 1,528.00 51,507.30 0.00 100% 1.34 45.29 0.00 53.37 0.00 % CURRENT VALUE ON DEC 31 \$125,619.42 1,906.31 51,962.15 0.00 61,580.66 10,170.30 100% 1.52 41.36 0.00 49.02 8.10 % ESTIMATED ANN. INCOME \$6,278 2,001 3,775 501





#### **SNAPSHOT**

KRISTEN E CARLSON TOD REGISTRATION

Page 2 of 9

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

| Casl              |
|-------------------|
| Cash flow summary |
| / sum             |
| ımar              |

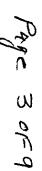
Income summary \*

|              |                                   | TAX-EXEMPT Dividends |                      |                         |                     |   | TAXABLE                          |             |  |                            |                      |                       |                 |                              |                          |           |  | Υ.          |
|--------------|-----------------------------------|----------------------|----------------------|-------------------------|---------------------|---|----------------------------------|-------------|--|----------------------------|----------------------|-----------------------|-----------------|------------------------------|--------------------------|-----------|--|-------------|
| Total income | Total federally tax-exempt income | Dividends            | Total taxable income | Long term capital gains | Qualified dividends | Ordinary dividends and ST capital gains | TAXABLE Money market/sweep funds |             | Closing value of cash and sweep balances | Net subtractions from cash | Securities purchased | Net additions to cash | Other additions | Securities sold and redeemed | Income and distributions | Deposits  | Opening value of cash and sweep balances |             |
| \$1,330.23   | \$53.32                           | 53.32                | \$1,276.91           | 565.63                  | 267.75              | 443.34                                  | 0.19                             | THIS PERIOD | \$1,906.31                               | -\$10,951.92               | -10,951.92           | \$11,330.23           | 0.00            | 0.00                         | 1,330.23                 | 10,000.00 | \$1,528.00                               | THIS PERIOD |
| \$5,316.53   | \$583.75                          | 583.75               | \$4,732.78           | 565.63                  | 1,312.80            | 2,853.71                                | 0.64                             | THIS YEAR   |  | -\$52,361.88               | -52,361.88           | \$45,753.59           | 23.17           | 19,829.59                    | 5,699.83                 | 20,201.00 |  | THIS YEAR   |

<sup>\*</sup> Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.

### Gain/loss summary

| Total        | Long term (L) | Short term (S) |                      |
|--------------|---------------|----------------|----------------------|
| \$10,884.39  | -545.29       | 11,429.68      | UNREALIZED           |
| \$0.00       | 0.00          | 0.00           | THIS PERIOD REALIZED |
| -\$23,896.13 | -23,896.13    | 0.00           | THIS YEAR REALIZED   |





#### **SNAPSHOT**

KRISTEN E CARLSON TOD REGISTRATION

Page 3 of 9

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

### Your Financial Advisor

Phone: 863-688-9000 KARIN MCKNIGHT/BOB SULLIVAN

LAKELAND FL 33803 1401 SOUTH FLORIDA AVENUE

#### Account profile

Full account name:

Brokerage account number: Account type:

Tax status:

Time horizon: Investment objective/Risk tolerance:

Cost Basis Election: Liquidity needs:

Sweep option:

Standard Brokerage KRISTEN E CARLSON TOD REGISTRATION

Please Provide MODERATE GROWTH & INCOME Taxable

First in, First out STANDARD BANK DEPOSIT Please Provide

×××××aper

Statements:
Trade confirmations:
Tax documents:
Shareholder communications:
Other documents:

Document delivery status

**Electronic** 

SNAPSHOT 183 LK LKZR

### Attachence

Page 4 of 9

Page 4 of 9



#### KRISTEN E CARLSON

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

### Additional information

Gross proceeds

THIS PERIOD 0.00

THIS YEAR 19,829.59

### Portfolio detail

### Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep Program(s) or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep Program(s) reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep Program(s). In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawais.

Standard Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A., which is affiliated with the Clearing Agent and (if amounts exceed \$250,000) at another bank affiliated with the Clearing Agent.

Expanded Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more banks affiliated with the Clearing Agent or

nonaffiliated banks.
Standard Bank Deposit Sweep and Expanded Bank Deposit Sweep assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep Programs for your account, please contact Your Financial Advisor.

| Total Cash and Sweep Balances | Interest Period 12/01/17 - 12/31/17 | STANDARD BANK DEPOSIT | Cash  | DESCRIPTION                |
|-------------------------------|-------------------------------------|-----------------------|-------|----------------------------|
| 1.52                          |                                     | 1.45                  | 0.07  | % OF<br>ACCOUNT            |
| \$1,906.31                    |                                     | 1,816.12              | 90.19 | CURRENT<br>MARKET VALUE    |
| \$1.81                        |                                     | 1.81                  | 0.00  | ESTIMATED<br>ANNUAL INCOME |

<sup>\*</sup> APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Page 5 of 9

Page 5 of 9



#### KRISTEN E CARLSON TOD REGISTRATION

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

### Stocks, options & ETFs

#### Stocks and ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars. The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars. The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated. Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings.

| Total Stocks, options & ETFs 41.36 \$40,707.59 | Total Stocks and ETFs 41.36 \$40,707.59 \$5 | 9.76 200 56.07 11,369.63 61.2800 | BRISTOL MYERS SOUIBB | BP PLC SPONS ADR<br>BP<br>Acquired 03/08/17 S 15.06 450 33.55 15,330.98 42.0300 1 | 16.55 215 64.38 14,006.98 96.7100 | DESCRIPTION ACCOUNT QUANTITY ORIG PRICE ORIG COST PRICE MARK |           |
|--|---|----------------------------------|----------------------|---|-----------------------------------|--|-----------|
| \$40,707.59                                    | \$40,707.59                                 | }                                |                      |   |                                   |  |           |
| \$51,962.1                                     | \$51,962.15                                 | .2800 12,256.00                  |                      | <br>  | 3.7100 20,792.65                  | RRENT CURRENT PRICE MARKET VALUE                             |           |
| \$51,962.15 \$11,254.56                        | 5 \$11,254.56                               | 0 886.37                         |                      | 0 3,582.52  | 5 6,785.67                        | UNREALIZED JE GAIN/LOSS                                      |           |
| \$2,001.60                                     | \$2,001.60                                  | 320.00                           |                      | 1,071.00  | 610.60                            | ANNUAL   | EST       |
| 3.85   | 3.85  | 2.61                             |                      | 5.66  | 2.93                              | ANNUAL<br>YIELD (%)  | ESTIMATED |

#### Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

### **Open End Mutual Funds**

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

| LORD ABBETT TAX FREE INCOME FUND NATL SER A LANSX On Reinvestment Acquired 04/26/13 L Reinvestments L Reinvestments S | DESCRIPTION                               |
|---|---|
|   | % OF                                      |
| 1,489.54000<br>210.81700<br>56.67900  | QUANTITY                                  |
| 11.95<br>11.19<br>11.23   | ADJ PRICE/<br>ORIG PRICE                  |
| 17,805.75<br>2,360.84<br>636.61   | ADJ COST/<br>ORIG COST                    |
|   | CURRENT                                   |
| 16,995.65<br>2,405.41<br>646.72   | CURRENT<br>MARKET VALUE                   |
| -810.10<br>44.57<br>10.11   | UNREALIZED<br>GAIN/LOSS                   |
|   |   |
| İ   | ESTIMATED  ANNUAL ANNUAL INCOME YIELD (%) |
| j   | ATED ANNUAL YIELD (%)                     |

Page Gof 9

Page 6 of 9



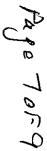
#### KRISTEN E CARLSON TOD REGISTRATION

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

#### **Mutual Funds**

# Open End Mutual Funds continued

| EATON VANCE TAX ADVANTGD<br>GLOBAL DIVIDEND OPPS FD<br>ETO<br>Acquired 10/19/15 L | CALAMOS CONVERTIBLE OPPORTUNITIES AND INCOME CHI Acquired 01/26/07 L nc | DESCRIPTION              | Closed End Mutual Funds  Closed End Fund shares are priced at the market which may be more or less than its net asset value. | <b>Total Open End Mutual Funds</b> |  | Total       | OPPENHEIMER RISING DIV<br>FDS INC CL A<br>OARDX<br>On Reinvestment<br>Acquired 01/30/14 L<br>Reinvestments L<br>Reinvestments S |  | Total       | DESCRIPTION                   |
|---|---|--------------------------|--|------------------------------------|--|-------------|---|--|-------------|-------------------------------|
| 10.11   | 3.38  | ACCOUNT                  | ed at the mar  | 24.87                              | Client Inves<br>Gain/Loss o  | 8.91        |   | Client Inves<br>Gain/Loss o  | 15.96       | % OF<br>ACCOUNT               |
| 500   | 380   | QUANTITY                 | ket which may  |                                    | tment (Excludin  | 578.45900   | 379.91300<br>146.22500<br>52.32100  | tment (Excludin  | 1,757.03600 | QUANTITY                      |
| 22.30   | 19.49<br>20.34  | ADJ PRICE/<br>ORIG PRICE | be more or less  |                                    | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | \$19.11     | 18.99<br>19.40<br>19.15   | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | \$11.84     | ADJ PRICE/<br>ORIG PRICE      |
| 11,280.75   | 7,407.82<br>7,877.01  | ADJ COST/<br>ORIG COST   | than its net asser   | \$31,857.58                        | ts)<br>Reinvestments)  | \$11,054.38 | 7,214.54<br>2,837.89<br>1,001.95  | ls)<br>Reinvestments)  | \$20,803.20 | ADJ COST/<br>ORIG COST        |
| 25.4000   | 11.1900   | CURRENT                  | t value.   |                                    |  | 19.3500     |   |  | 11.4100     | CURRENT<br>PRICE              |
| 12,700.00   | 4,252.20  | CURRENT<br>MARKET VALUE  |  | \$31,240.96                        | \$7,214.54<br>\$3,978.64   | \$11,193.18 | 7,351.32<br>2,829.47<br>1,012.39  | \$17,805.75<br>\$2,242.03  | \$20,047.78 | CURRENT<br>MARKET VALUE       |
| 1,419.25  | -3,155.62   | UNREALIZED<br>GAIN/LOSS  |  | -\$616.62                          | 4.54<br>8.64   | \$138.80    | 136.78<br>-8.42<br>10.44  | 5.75<br>2.03   | -\$755.42   | UNREALIZED<br>GAIN/LOSS       |
| 1,080.00  | 433.20  | ANNUAL AINCOME YII       |  | \$817.25                           |  | \$168.91    |   |  | \$648.34    | ESTIMATED  ANNUAL 4 INCOME YI |
| 8.50  | 10.18   | ANNUAL<br>YIELD (%)      | <b>j</b>   | 2.62                               |  | 1.51        |   |  | 3.23        | ANNUAL<br>YIELD (%)           |



Page 7 of 9



#### KRISTEN E CARLSON TOD REGISTRATION

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

#### **Mutual Funds**

# Closed End Mutual Funds continued

|   |                 |          |                          |                            |         |                         |                         | ESTIMATED                      | ATED                |
|---|-----------------|----------|--------------------------|----------------------------|---------|-------------------------|-------------------------|--------------------------------|---------------------|
| DESCRIPTION                             | % OF<br>ACCOUNT | QUANTITY | ADJ PRICE/<br>ORIG PRICE | ADJ COST/<br>ORIG COST     | CURRENT | CURRENT<br>MARKET VALUE | UNREALIZED<br>GAIN/LOSS | ANNUAL ANNUAL INCOME YIELD (%) | ANNUAL<br>YIELD (%) |
| LIBERTY ALL-STAR EQUITY FUND SH BEN INT |                 |          |                          |                            |         |                         | 4 4 4                   |                                |                     |
| Acquired 10/19/15 L                     | 10.66           | 2,125    | 5.37                     | 11,559.25                  | 6.3000  | 13,387.50               | 1,828.25                | 1,445.00                       | 10.79               |
| Total Closed End Mutual Funds 24.15     | 24.15           |          |                          | \$30,247.82<br>\$30,717.01 |         | \$30,339.70             | \$91.88                 | \$2,958.20                     | 9.75                |
| Total Mutual Funds                      | 49.02           |          |                          | \$62,105.40<br>\$62,574.59 |         | \$61,580.66             | -\$524.74               | \$3,775.45                     | 6.13                |

nc Cost information for this tax tot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

### **Unit Investment Trusts**

#### **Equity Trusts**

|   |      |            |                          |                          |                  |                         |                           | ESTIMATED                      | TED                 |
|---|------|------------|--------------------------|--------------------------|------------------|-------------------------|---------------------------|--------------------------------|---------------------|
| DESCRIPTION   | % OF | QUANTITY   | ADJ PRICE/<br>ORIG PRICE | ADJ COST/<br>ORIG COST   | CURRENT<br>PRICE | CURRENT<br>MARKET VALUE | UNREALIZED<br>GAIN/LOSS   | ANNUAL ANNUAL INCOME YIELD (%) | ANNUAL<br>YIELD (%) |
| FIRST TRUST TARGET GLOBAL DVD 4Q '17 REINVEST CUSIP 30304W638 Acquired 12/11/17 S | ;    | 8.10 1,002 | 9.99                     | 10,015.73                | 10.1500          | 10,170.30               | 154.57                    | 501.00                         | 4.92                |
| Total Equity Trusts   |      | 1,002      | 9.99                     | 10,015.73<br>\$10,015.73 | 10.1500          | \$10,170.30             | 154.57<br><b>\$154.57</b> | \$501.00                       | 4.92                |
| Total Unit Investment Trusts  | 8.10 |            | •                        | \$10,015.73              |                  | \$10,170.30             | \$154.57                  | \$501.00                       | 4.93                |
|   |      |            |                          |                          |                  |                         |                           |                                |                     |

#### **Activity detail**

| 12/01  | 12/01             | DATE                         |
|--|-------------------|------------------------------|
| Cash   |                   | ACCOUNT TYPE                 |
| DIVIDEND   |                   | TRANSACTION/<br>CHECK NUMBER |
|  |                   | QUANTITY                     |
| LORD ABBETT TAX FREE<br>INCOME FUND NATL SER A<br>113017 1,752.31700<br>AS OF 11/30/17 | BEGINNING BALANCE | QUANTITY DESCRIPTION         |
|  |                   | PRICE                        |
| 53.32  |                   | AMOUNT                       |
|  | 1,528.00          | CASH AND SWEEP<br>BALANCES   |

Page sor 9

Page 8 of 9

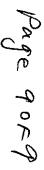


#### KRISTEN E CARLSON TOD REGISTRATION

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

| COMPANY ST. 1932 |
|------------------|
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| 9.9900 |
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|        |
|        |
|        |
|        |
| PRICE  |



Page 9 of 9



#### KRISTEN E CARLSON TOD REGISTRATION

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

### Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These sweep transactions may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions

| 12/11<br>12/13                              | 12/01                 | 12/01                 | DATE             | display   |
|---|-----------------------|-----------------------|------------------|---|
| TRANSFER TO<br>TRANSFER FROM                | TRANSFER TO           |                       | DATE TRANSACTION | ed here are Transfe   |
| STANDARD BANK DEPOSIT STANDARD BANK DEPOSIT | STANDARD BANK DEPOSIT | BEGINNING BALANCE     | DESCRIPTION      | displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary |
| 10,000.00<br>-10,015.73                     | 90.11                 | 1,437.89              | AMOUNT           | ds and interest. These  |
| 12/31                                       | 12/22                 | 12/18                 | DATE             | transactio  |
|   | TRANSFER TO           | TRANSFER TO           | DATE TRANSACTION | n amounts are not   |
| ENDING BALANCE                              | STANDARD BANK DEPOSIT | STANDARD BANK DEPOSIT | DESCRIPTION      | included in your cash flow summary.   |
| 1,816.12                                    | 267.75                | 36.10                 | AMOUNT           |   |



### **COMBINED SNAPSHOT**

#### KRISTEN E CARLSON TOD REGISTRATION

April 1, 2018 - April 30, 2018 PRIMARY ACCOUNT NUMBER:

### **Your Financial Advisor**

KARIN MCKNIGHT/BOB SULLIVAN Phone: 863-688-9000

1401 SOUTH FLORIDA AVENUE LAKELAND FL 33803

# What's inside your Combined Snapshot ...

| \$612,573.62    | \$2,591.77 | \$609,981.85                |               |                   |                       | Total   |
|-----------------|------------|-----------------------------|---------------|-------------------|-----------------------|---|
| 486,398.15      | 1,614.71   | 484,783.44                  | Retirement    |                   | Yes                   | KRISTEN E. CARLSON (IRA)<br>WFCS AS CUSTODIAN |
| 126,175.47      | 977.06     | 125,198.41                  | Taxable       |                   | Yes                   | KRISTEN E CARLSON<br>TOD REGISTRATION         |
| VALUE ON APR 30 | NET CHANGE | PREVIOUS<br>VALUE ON MAR 31 | TAX<br>STATUS | ACCOUNT<br>NUMBER | STATEMENT<br>ENCLOSED | ACCOUNT NAME                                  |



### COMBINED SNAPSHOT

#### KRISTEN E CARLSON TOD REGISTRATION

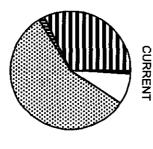
April 1, 2018 - April 30, 2018 PRIMARY ACCOUNT NUMBER:

# Combined progress summary

### Value over time

|   | Closing value | Opening value Cash deposited Securities deposited Cash withdrawn Securities withdrawn Change in value |
|---|---------------|---|
|   | \$612,573.62  | THIS PERIOD<br>\$609,981.85<br>0.00<br>-1,400.00<br>0.00<br>3,991.77                                  |
|   | \$612,573.62  | THIS YEAR<br>\$627,243.08<br>0.00<br>0.00<br>-5,600.00<br>0.00<br>-9,069.46                           |
| 05/<br>05/  | 176,000       | 704,000<br>528,000<br>352,000   |
| 05/17 06/17 07/17 08/17 09/17 10/17 11/17 12/17 01/18 02/18 03/18 04/18 |               |   |

# Combined portfolio summary



ASSETS Unit investment trusts Mutual funds Fixed income securities Stocks, options & ETFs Cash and sweep balances ASSET TYPE Annuities/insurance # PREVIOUS VALUE ON MAR 31 196,816.04 345,909.17 51,242.75 9,842.55 6,171.34 0.00 8.40 0.00 56.71 1.61 32.27 × CURRENT VALUE ON APR 30 348,795.85 196,897.34 51,249.75 9,990.48 5,640.20 0.00 56.94 1.63 32.14 0.92 8.37 0.00 % ANN. INCOME **ESTIMATED** 19,632 2,216

# Certain assets in this category may not be held in your account. None of the positions are protected by SIPC. If you no longer own any of these investments, please tell us so we can update this section. For important additional information please refer to the disclosures contained in this statement.

\$609,981.85

100%

\$612,573.62

100%

\$22,380

525

Asset value



### **COMBINED SNAPSHOT**

KRISTEN E CARLSON TOD REGISTRATION

April 1, 2018 - April 30, 2018 PRIMARY ACCOUNT NUMBER:

| COMBINED SNAPSHOT TOTALS Total income on all accounts | RETIREMENT ACCOUNTS                    |                                     |                                       |           |                                    |  | TAXABLE ACCOUNTS         | Combined income summary | COMBINED SNAPSHOT TOTALS        | RETIREMENT ACCOUNTS           |                            | TAXABLE ACCOUNTS             | Combined gain/loss summary |  |                            |                      |                       |                              |  | Combined cash flow summary |
|---|--|-------------------------------------|---------------------------------------|-----------|------------------------------------|--|--------------------------|-------------------------|---------------------------------|-------------------------------|----------------------------|------------------------------|----------------------------|--|----------------------------|----------------------|-----------------------|------------------------------|--|----------------------------|
| Total income on all accounts                          | Subtotal income on retirement accounts | Subtotal income on taxable accounts | Tax exempt income on taxable accounts | Dividends | Taxable income on taxable accounts | Ordinary dividends and ST capital gains  Qualified dividends | Money market/sweep funds |                         | Total gain/loss on all accounts | Total for retirement accounts | Total for taxable accounts | Short term (S) Long term (L) |                            | Closing value of cash and sweep balances | Net subtractions from cash | Securities purchased | Net additions to cash | Securities sold and redeemed | Opening value of cash and sweep balances |                            |
|   |  | :                                   |                                       |           |                                    |  |                          |                         | -\$1,229.63                     | -\$10,658.95                  | \$9,429.32                 | -1,144.72<br>10,574.04       | UNREALIZED                 |  |                            |                      |                       |                              |  |                            |
| \$980.82  | \$742.29                               | \$238.53                            | \$53.11                               | 53.11     | \$185.42                           | 184.95<br>0.00   | 0.47                     | THIS PERIOD             | \$0.00                          | \$0.00                        | \$0.00                     | 0.00<br>0.00                 | THIS PERIOD REALIZED       | \$5,640.20                               | -\$1,641.23                | -1,400.00<br>-241.23 | \$1,110.09            | 980.82<br>129.27             | \$6,171.34                               | THIS PERIOD                |
| \$6,143.46  | \$4,484.90                             | \$1,658.56                          | \$161.45                              | 161.45    | \$1,497.11                         | 995.47<br>500.40   | 1.24                     | THIS YEAR               | \$0.00                          | \$0.00                        | \$0.00                     | 0.00<br>0.00                 | THIS YEAR REALIZED         |  | -\$6,155.93                | -5,500.00<br>-555.93 | \$7,626.16            | 7,496.89<br>129.27           |  | THIS YEAR                  |

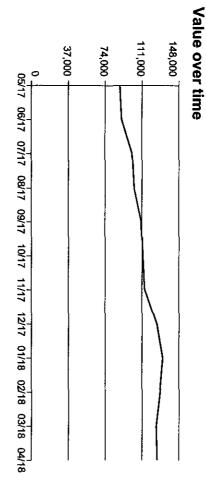
APRIL 1, 2018 - APRIL 30, 2018 ACCOUNT NUMBER:

### Progress summary

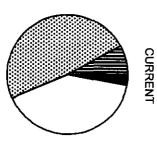
| \$126,175.47 | \$126,175.47 | Closing value        |
|--------------|--------------|----------------------|
| 556.05       | 977.06       | Change in value      |
| 0.00         | 0.00         | Securities withdrawn |
| 0.00         | 0.00         | Cash withdrawn       |
| 0.00         | 0.00         | Securities deposited |
| 0.00         | 0.00         | Cash deposited       |
| \$125,619.42 | \$125,198.41 | Opening value        |

THIS PERIOD

THIS YEAR



### Portfolio summary



Cash and sweep balances

ASSETS

ASSET TYPE

PREVIOUS VALUE ON MAR 31

%

CURRENT VALUE ON APR 30

%

ESTIMATED ANN. INCOME

| \$6,507 | 100%  | \$126,175.47 | 100%  | \$125,198.41 100% | Asset value             |
|---------|-------|--------------|-------|-------------------|-------------------------|
| 525     | 7.92  | 9,990.48     | 7.86  | 9,842.55          | Unit investment trusts  |
| 3,761   | 48.57 | 61,279.14    | 48.39 | 60,583.58         | Mutual funds            |
| 0       | 0.00  | 0.00         | 0.00  | 0.00              | Fixed income securities |
| 2,216   | 40.62 | 51,249.75    | 40.93 | 51,242.75         | Stocks, options & ETFs  |
| 5       | 2.90  | 3,656.10     | 2.82  |                   | Cash and sweep balances |



#### **SNAPSHOT**

#### KRISTEN E CARLSON TOD REGISTRATION

APRIL 1, 2018 - APRIL 30, 2018 ACCOUNT NUMBER:

|              |                                   |                      |                      |                     |   |                                  | Income summary * |  |                            |                      |                       |                              |                          |  | Cash flow summary |
|--------------|-----------------------------------|----------------------|----------------------|---------------------|---|----------------------------------|------------------|--|----------------------------|----------------------|-----------------------|------------------------------|--------------------------|--|-------------------|
| Total income | Total federally tax-exempt income | TAX-EXEMPT Dividends | Total taxable income | Qualified dividends | Ordinary dividends and ST capital gains | TAXABLE Money market/sweep funds |                  | Closing value of cash and sweep balances | Net subtractions from cash | Securities purchased | Net additions to cash | Securities sold and redeemed | Income and distributions | Opening value of cash and sweep balances |                   |
| \$238.53     | \$53.11                           | 53.11                | \$185.42             | 0.00                | 184.95                                  | 0.47                             | THIS PERIOD      | \$3,656.10                               | -\$241.23                  | -241.23              | \$367.80              | 129.27                       | 238.53                   | \$3,529.53                               | THIS PERIOD       |
| \$1,658.56   | \$161.45                          | 161.45               | \$1,497.11           | 500.40              | 995.47                                  | 1.24                             | THIS YEAR        |  | -\$555.93                  | -555.93              | \$2,305.72            | 129.27                       | 2,176.45                 |  | THIS YEAR         |

<sup>\*</sup> Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.

### Gain/loss summary

| \$0.00             | \$0.00               | \$9,429.32 | Total          |
|--------------------|----------------------|------------|----------------|
| 0.00               | 0.00                 | 10,574.04  | Long term (L)  |
| 0.00               | 0.00                 | -1,144.72  | Short term (S) |
| THIS YEAR REALIZED | THIS PERIOD REALIZED | UNREALIZED |                |



#### **SNAPSHOT**

KRISTEN E CARLSON TOD REGISTRATION

APRIL 1, 2018 - APRIL 30, 2018 ACCOUNT NUMBER: '

### **Your Financial Advisor**

KARIN MCKNIGHT/BOB SULLIVAN Phone: 863-688-9000

LAKELAND FL 33803 1401 SOUTH FLORIDA AVENUE

#### Account profile

Full account name:

Account type:

Tax status: Brokerage account number:

Investment objective/Risk tolerance:

Time horizon:

Liquidity needs: Cost Basis Election:

Sweep option:

Standard Brokerage KRISTEN E CARLSON TOD REGISTRATION

Taxable

MODERATE GROWTH & INCOME

Please Provide Please Provide

First in, First out STANDARD BANK DEPOSIT

### Document delivery status

Statements:
Trade confirmations:
Tax documents:
Shareholder communications:
Other documents:

×××××aper

Electronic



APRIL 1, 2018 - APRIL 30, 2018 ACCOUNT NUMBER:

### Additional information

Return of principal

THIS PERIOD

THIS YEAR

#### Portfolio detail

### Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep Program(s) or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep Program(s) reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep Program(s). In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawais.

Standard Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A., which is affiliated with the Clearing Agent and (if amounts exceed \$250,000) at another bank affiliated with the Clearing Agent.

Expanded Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more banks affiliated with the Clearing Agent or

Standard Bank Deposit Sweep and Expanded Bank Deposit Sweep assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep Programs for your account, please contact Your Financial Advisor. nonaffiliated banks.

| DESCRIPTION  | ACCOUNT  | ANNUAL PERCENTAGE | CURRENT    | ESTIMATED  ANNIAL INCOME |  |
|--|----------|-------------------|------------|--------------------------|--|
| OF COUNTY AND ADDRESS OF THE PARTY OF THE PA | 11000011 | יוברמ מיייאנים    |            | THE COUNTY OF THE COUNTY |  |
| Cash   | 0.07     | 0.00              | 90.47      | 0.00                     |  |
| STANDARD BANK DEPOSIT  | 2.83     | 0.16              | 3,565.63   | 5.70                     |  |
| Interest Period 04/01/18 - 04/30/18  |          |                   |            |                          |  |
| Total Cash and Sweep Balances  | 2.90     |                   | \$3,656.10 | \$5.70                   |  |

<sup>\*</sup> APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.



APRIL 1, 2018 - APRIL 30, 2018 ACCOUNT NUMBER:

### Stocks, options & ETFs

#### Stocks and ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars. The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars. The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated. Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings.

|                              |         |          |           |                        |                  |                         |                         | ESTIN   | ESTIMATED           |
|------------------------------|---------|----------|-----------|------------------------|------------------|-------------------------|-------------------------|---------|---------------------|
| DESCRIPTION                  | ACCOUNT | QUANTITY | ADJ PRICE | ADJ COSTI<br>ORIG COST | CURRENT<br>PRICE | CURRENT<br>MARKET VALUE | UNREALIZED<br>GAIN/LOSS | ANNUAL  | ANNUAL<br>YIELD (%) |
| ABBVIE INC                   |         |          |           |                        | i                | i                       | i                       |         |                     |
| Acquired 03/08/17 L          | 16.45   | 215      | 64.38     | 14,006.98              | 96.5500          | 20,758.25               | 6,751.27                | 826     | 3.97                |
| BP PLC SPONS ADR             |         |          |           |                        |                  | i                       |                         |         | ,                   |
| Acquired 03/08/17 L          | 15.90   | 450      | 33.55     | 15,330.98              | 44.5900          | 20,065.50               | 4,734.52                | 1,071   | 5.33                |
| BRISTOL MYERS SQUIBB         | i       |          | j         |                        | İ                |                         |                         |         | i                   |
| BMY<br>Acquired 07/05/17 S   | 8.26    | 200      | 56.07     | 11,369.63              | 52.1300          | 10,426.00               | -943.63                 | 320     | 3.06                |
| Total Stocks and ETFs        | 40.62   |          |           | \$40,707.59            |                  | \$51,249.75             | \$51,249.75 \$10,542.16 | \$2,217 | 4.33                |
| Total Stocks, options & ETFs | 40.62   |          |           | \$40,707.59            |                  | \$51,249.75             | 51,249.75 \$10,542.16   | \$2,217 | 4.33                |
|                              |         |          |           |                        |                  |                         |                         |         |                     |

#### **Mutual Funds**

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

### Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

|   |                 |                                      |                         |                                 |                  |                                 |                              | EST    | ESTIMATED           |
|---|-----------------|--------------------------------------|-------------------------|---------------------------------|------------------|---------------------------------|------------------------------|--------|---------------------|
| DESCRIPTION   | % OF<br>ACCOUNT | QUANTITY                             | ADJ PRICE               | ADJ COST/<br>ORIG COST          | CURRENT<br>PRICE | CURRENT<br>MARKET VALUE         | UNREALIZED<br>GAIN/LOSS      | ANNUAL | ANNUAL<br>YIELD (%) |
| LORD ABBETT TAX FREE INCOME FUND NATL SER A LANSX On Reinvestment Acquired 04/26/13 L |                 | 1 489 54000                          | 1105                    | 17 805 75                       |                  | 16 563 68                       | 1 242 07                     |        |                     |
| Acquired 04/26/13 L Reinvestments L Reinvestments S                                   |                 | 1,489.54000<br>230.26600<br>56.29700 | 11.95<br>11.18<br>11.29 | 17,805.75<br>2,576.35<br>635.66 |                  | 16,563.68<br>2,560.57<br>626.01 | -1,242.07<br>-15.78<br>-9.65 |        |                     |



APRIL 1, 2018 - APRIL 30, 2018 ACCOUNT NUMBER: 3734-7203

#### **Mutual Funds**

# **Open End Mutual Funds continued**

| uired 01/26/07 L nc 3.41 380 19.10 7,259.62 11.3300 20.34 7,877.01 | CALAMOS CONVERTIBLE OPPORTUNITIES AND INCOME | DESCRIPTION ACCOUNT QUANTITY ORIG PRICE ORIG COST PRICE MARKET VALUE | Closed End Mutual Funds  Closed End Fund shares are priced at the market which may be more or less than its net asset value. | Total Open End Mutual Funds 24.46 \$30,867.49 | Client Investment (Excluding Reinvestments)  Gain/Loss on Client Investment (Including Reinvestments)  \$3,9 | Total 8.81 579.92900 \$19.11 \$11,082.20 19.1700 \$11,117.22 |  | Client Investment (Excluding Reinvestments)  Sain/Loss on Client Investment (Including Reinvestments)  \$1,5 | Total 15.65 1,776.10300 \$11.83 \$21,017.76 11.1200 \$19,750.20 | DESCRIPTION ACCOUNT QUANTITY ORIG PRICE ORIG COST PRICE MARKET VALUE | Open Circ mutual culturated |
|--|--|--|--|---|--|--|--|--|---|--|-----------------------------|
|  |  | <br> <br>  | or less than its net a   | \$32,099.9                                    | estments)<br>cluding Reinvestmen   | İ  | 8.99 7,214.5<br>9.40 2,874.8<br>9.15 992.8 | estments)<br>cluding Reinvestmen   |   | !  |                             |
|  |  | C  | sset value.  | 6   | ts)  | i  | 400  | ts)  |   |  |                             |
|  | 4,305.40                                     | CURRENT<br>MARKET VALUE  |  | \$30,867.49                                   | \$7,214.54<br>\$3,902.69   | \$11,117.23  | 7,282.93<br>2,840.48<br>993.82             | \$17,805.75<br>\$1,944.51  | \$19,750.26   | CURRENT<br>MARKET VALUE  |                             |
|  | -2,954.22                                    | UNREALIZED<br>GAIN/LOSS  |  | -\$1,232.47                                   | .54<br>.69   | \$35.03  | 68.39<br>-34.32<br>0.96                    | .75<br>.51   | -\$1,267.50   | UNREALIZED<br>GAIN/LOSS  |                             |
|  | 433  | ANNUAL INCOME  |  | \$803   |  | \$157  |  |  | \$647   | ANNUAL   | ESTIA                       |
| ļ  | 10.06  | ESTIMATED  AL ANNUAL  YE YIELD (%)                                   |  | 2.60  |  | 1.41   |  |  | 3.27  | ANNUAL<br>YIELD (%)  | ESTIMATED                   |



APRIL 1, 2018 - APRIL 30, 2018 ACCOUNT NUMBER:

#### **Mutual Funds**

# **Closed End Mutual Funds continued**

|   |                 |          |                          |                            |         |                         |                         | ESTIMATED | ATED                |
|---|-----------------|----------|--------------------------|----------------------------|---------|-------------------------|-------------------------|-----------|---------------------|
| DESCRIPTION                                 | % OF<br>ACCOUNT | QUANTITY | ADJ PRICE/<br>ORIG PRICE | ADJ COST/<br>ORIG COST     | CURRENT | CURRENT<br>MARKET VALUE | UNREALIZED<br>GAIN/LOSS | ANNUAL    | ANNUAL<br>YIELD (%) |
| LIBERTY ALL-STAR EQUITY FUND SH BEN INT USA | ĺ               |          |                          |                            |         |                         |                         |           | į                   |
| Acquired 10/19/15 L                         | - 1             | 2,125    | 5.37                     | 11,559.25                  | 6.2500  | 13,281.25               | 1,722.00                | 1,445     | 10.88               |
| Total Closed End Mutual Funds 24.10         | \$ 24.10        |          |                          | \$30,099.62<br>\$30,717.01 |         | \$30,411.65             | \$312.03                | \$2,958   | 9.73                |
| Total Mutual Funds                          | 48.57           |          |                          | \$62,199.58<br>\$62,816.97 |         | \$61,279.14             | -\$920.44               | \$3,761   | 6.14                |

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

### **Unit Investment Trusts**

#### **Equity Trusts**

| 5.26                | \$525     | -\$192.40               | \$9,990.48              |         | \$10,329.28<br>\$10,182.88<br>\$10,329.28 | 4                        |             | 7.92            | Total Unit Investment Trusts                  |
|---------------------|-----------|-------------------------|-------------------------|---------|---|--------------------------|-------------|-----------------|---|
|                     | \$525     | -\$192.40               | \$9,990.48              |         | \$10,182.88                               |                          |             | 7.92            | Total Equity Trusts                           |
| !                   | \$525     | -\$192.40               | \$9,990.48              | 9.6600  | \$10,182.88<br>\$10,329.28                | \$9.85<br><b>\$</b> 9.99 | 1,034.21200 | 7.92            | Total   |
|                     |           | -0.76                   | 311.17                  |         | 311.93<br>313.55                          | 9.68<br>9.73             | 32.21200    |                 | Reinvestments S                               |
|                     |           | -191.64                 | 9,679.31                |         | 9,870.95<br>10,015,73                     | 9.85<br>9.85             | 1,002       |                 | CUSIP 30304W638<br>Acquired 12/11/17 S        |
|                     |           |                         |                         |         |   |                          |             |                 | FIRST TRUST TARGET GLOBAL DVD 4Q '17 REINVEST |
| ANNUAL<br>YIELD (%) | ANNUAL    | UNREALIZED<br>GAIN/LOSS | CURRENT<br>MARKET VALUE | CURRENT | ADJ COSTI<br>ORIG COST                    | ADJ PRICE/<br>ORIG PRICE | QUANTITY    | % OF<br>ACCOUNT | DESCRIPTION                                   |
| ATE                 | ESTIMATED |                         |                         |         |   |                          |             |                 |   |



APRIL 1, 2018 - APRIL 30, 2018 ACCOUNT NUMBER:

#### Activity detail

| DATE  | ACCOUNT TYPE | TRANSACTION/<br>CHECK NUMBER | QUANTITY | DESCRIPTION   | PRICE  | AMOUNT  | CASH AND SWEEP BALANCES |
|-------|--------------|------------------------------|----------|---|--------|---------|-------------------------|
| 04/01 |              |                              |          | BEGINNING BALANCE   |        |         | 3,529.53                |
| 04/02 | Cash         | DIVIDEND                     |          | LORD ABBETT TAX FREE<br>INCOME FUND NATL SER A<br>032918 1,771.35700<br>AS OF 3/29/18 |        | 53.11   |                         |
| 04/02 | Cash         | REINVEST DIV                 | 4.74600  | LORD ABBETT TAX FREE<br>INCOME FUND NATL SER A<br>REINVEST AT 11.190                  |        | -53.11  | 3,529.53                |
| 04/20 | Cash         | DIVIDEND                     |          | CALAMOS CONVERTIBLE OPPORTUNITIES AND INCOME 042018 380                               |        | 36.10   | 3,565.63                |
| 04/25 | Cash         | DIVIDEND                     |          | STOR  |        | 58.85   |                         |
| 04/25 | Cash         | RET OF CAPTL                 |          | FIRST TRUST TARGET GLOBAL DVD 4Q '17 REINVEST 042518 1,014.69600                      |        | 129.27  |                         |
| 04/25 | Cash         | REINVEST DIV                 | 13.41100 | FIRST TRUST TARGET GLOBAL DVD 4Q'17 REINVEST  | 9.6390 | -129.27 |                         |
| 04/25 | Cash         | REINVEST DIV                 | 6.10500  | FIRST TRUST TARGET GLOBAL DVD 4Q '17 REINVEST REINVEST AT 9.639                       |        | -58.85  | 3,565.63                |
| 04/30 | Cash         | DIVIDEND                     |          | EATON VANCE TAX ADVANTGD<br>GLOBAL DIVIDEND OPPS FD<br>043018 500                     |        | 90.00   |                         |
| 04/30 | Cash         | INTEREST                     |          | STANDARD BANK DEPOSIT<br>043018 3,565.63000   |        | 0.47    | 3,656.10                |



APRIL 1, 2018 - APRIL 30, 2018 ACCOUNT NUMBER:

### Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These sweep transactions may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions

| 04/01<br>04/02                             | DATE        | display  |
|--|-------------|--|
| 04/01<br>04/02 TRANSFER TO                 |             | ed here are Transfei   |
| BEGINNING BALANCE<br>STANDARD BANK DEPOSIT | DESCRIPTION | displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts |
| 3,171.45<br>358.08                         | AMOUNT      | d interest. These  |
| 04/23<br>04/30                             | DATE        | e transaction  |
| TRANSFER TO                                | TRANSACTION | n amounts are not i  |
| STANDARD BANK DEPOSIT ENDING BALANCE       | DESCRIPTION | are not included in your cash flow summary.  |
| 36.10<br>3,565.63                          | AMOUNT      |  |

Page 1 of 12



#### **SNAPSHOT**

KRISTEN E. CARLSON (IRA) WFCS AS CUSTODIAN

Page 1 of 12

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

### **Progress summary**

| \$501,623.66 | \$501,623.66 | Closing value        |
|--------------|--------------|----------------------|
| 39,593.29    | 5,131.87     | Change in value      |
| 0.00         | 0.00         | Securities withdrawn |
| -15,400.00   | -1,400.00    | Cash withdrawn       |
| 0.00         | 0.00         | Securities deposited |
| 0.00         | 0.00         | Cash deposited       |
| \$477,430.37 | \$497,891.79 | Opening value        |
| THIS YEAR    | THIS PERIOD  |                      |

| 138,000 | 276,000 - | 414,000 - | 552,000                       | Value over time               |
|---------|-----------|-----------|-------------------------------|-------------------------------|
|         |           |           |                               | time                          |
|         |           |           |                               |                               |
|         |           |           |                               |                               |
|         | 138,000   | 138,000   | 414,000<br>276,000<br>138,000 | 552,000<br>414,000<br>276,000 |

01/17 02/17 03/17 04/17 05/17 06/17 07/17 08/17 09/17 10/17 11/17 12/17

#### Portfolio summary

CURRENT

ASSETS

Annuities/insurance #

Asset value

Cash and sweep balances Mutual funds Stocks, options & ETFs Fixed income securities ASSET TYPE PREVIOUS VALUE ON NOV 30 292,399.76 1,366.62 0.00 0.00 58.73 41.00 0.27 0.00 0.00 % CURRENT VALUE ON DEC 31 294,897.09 204,462.91 2,263.66 0.00 0.00 58.79 0.45 0.00 % ESTIMATED ANN. INCOME

# Certain assets In this category may not be held in your account. None of the positions are protected by SIPC. If you no longer own any of these investments, please tell us so we can update this section. For important additional information please refer to the disclosures contained in this statement.

\$497,891.79 204,125.41

100%

\$501,623.66

100%

\$16,433

16,433

40.76

Page 2 OF 12



**SNAPSHOT** 

KRISTEN E. CARLSON (IRA) WFCS AS CUSTODIAN

Page 2 of 12

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

### Cash flow summary

| THIS P \$1 2 -1 -\$1 THIS P   | \$16,246.23  | \$2,826.14  | Total income                             |
|---|--------------|-------------|--|
| THIS PERIOD \$1,366.62 2,826.14 0.00 \$2,826.14 -1,400.00 -529.10 -\$1,929.10 \$2,263.66  THIS PERIOD 0.11 2,296.93                     | 1,571.69     | 529.10      | Long term capital gains                  |
| THIS PERIOD<br>\$1,366.62<br>2,826.14<br>0.00<br>\$2,826.14<br>-1,400.00<br>-529.10<br>-\$1,929.10<br>\$2,263.66<br>THIS PERIOD<br>0.11 | 14,673.71    | 2,296.93    | Dividends and short term capital gains   |
| THIS PERIOD<br>\$1,366.62<br>2,826.14<br>0.00<br>\$2,826.14<br>-1,400.00<br>-529.10<br>-\$1,929.10<br>\$2,263.66<br>THIS PERIOD         | 0.83         | 0.11        | Money market/sweep funds                 |
| THIS PERIOD<br>\$1,366.62<br>2,826.14<br>0.00<br>\$2,826.14<br>-1,400.00<br>-529.10<br>-\$1,929.10<br>\$2,263.66                        | THIS YEAR    | THIS PERIOD |  |
| THIS PERIOD<br>\$1,366.62<br>2,826.14<br>0.00<br>\$2,826.14<br>-1,400.00<br>-529.10<br>-\$1,929.10                                      |              | \$2,263.66  | Closing value of cash and sweep balances |
| THIS PERIOD<br>\$1,366.62<br>2,826.14<br>0.00<br>\$2,826.14<br>-1,400.00<br>-529.10   | -\$52,463.86 | -\$1,929.10 | Net subtractions from cash               |
| THIS PERIOD<br>\$1,366.62<br>2,826.14<br>0.00<br>\$2,826.14<br>-1,400.00  | -37,063.86   | -529.10     | Securities purchased                     |
| THIS PERIOD<br>\$1,366.62<br>2,826.14<br>0.00<br>\$2,826.14   | -15,400.00   | -1,400.00   | Withdrawais                              |
| THIS PERIOD<br><b>\$1,366.62</b><br>2,826.14<br>0.00  | \$51,441.80  | \$2,826.14  | Net additions to cash                    |
| THIS PERIOD<br><b>\$1,366.62</b><br>2,826.14  | 34,084.6     | 0.00        | Securities sold and redeemed             |
| THIS PERIOD \$1,366.62  | 17,357.19    | 2,826.14    | Income and distributions                 |
|   |              | \$1,366.62  | Opening value of cash and sweep balances |
|   | THIS YEAF    | THIS PERIOD |  |

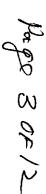
<sup>\*</sup> Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.

### Gain/loss summary

Income summary \*

| Total        | Long term (L) | Short term (S) |                      |
|--------------|---------------|----------------|----------------------|
| -\$3,278.57  | -5,933.75     | 2,655.18       | UNREALIZED           |
| \$0.00       | 0.00          | 0.00           | THIS PERIOD REALIZED |
| -\$11,913.62 | -11,986.97    | 73.35          | THIS YEAR REALIZED   |

### 4 Hachment 2





SNAPSHOT

KRISTEN E. CARLSON (IRA) WFCS AS CUSTODIAN

Page 3 of 12

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

**CUSTODIAN EIN 23-2384840** 

### Retirement summary

IRA Plan Value \$501,623.66

A portion of these assets may not be covered by SIPC. Bank products are eligible for FDIC insurance up to \$250,000 in accordance with FDIC rules

This is your individual retirement account (IRA) Fair Market Value statement. The amount reflected in the "IRA Fair Market Value" is reported to the IRS on Form 5498 in May. Any corrections made to your market value after December 31, 2017 will result in a corrected "IRA Portfolio Holding Valuation" or Form 5498 being issued to you.

| HARRISON R. CHADWELL 50.00% ROBERT R. CHADWELL 50.00%                            | PRIMARY BENEFICIARY INFORMATION % ENTITLEMENT | provided as a service to you. In the event of death, your most recent beneficiary designation on file will govern | This beneficiary information is based upon the most recent data available and is being | Account Holder Birthdate: 02/13/54 Attained Age as of 12/31/17: 63.5 | IRA Fair Market Value: \$501,623.66 | ACCOUNT INFORMATION     |
|--|---|---|--|--|-------------------------------------|-------------------------|
| Contact us if information on this page requires updates. Your Financial Advisor: |   | Net Distributions Federal Withholding   | 2017 DISTRIBUTION SUMMARY  | Contributions<br>2017 FOR 2017                                       | CONTRIBUTION SUMMARY                | RETIREMENT TRANSACTIONS |
|  | -\$15,400.00                                  | -13,090.00<br>-2,310.00   | AMOUNT   | \$0.00   | AMOUNT                              |                         |

KARIN MCKNIGHT/BOB SULLIVAN Your Financial Advisor:

## Attach ment a

Page 4 of 12

Page 4 of 12



**SNAPSHOT** 

KRISTEN E. CARLSON (IRA) WFCS AS CUSTODIAN

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER

### Your Financial Advisor

Phone: 863-688-9000 KARIN MCKNIGHT/BOB SULLIVAN

LAKELAND FL 33803 1401 SOUTH FLORIDA AVENUE

Account profile

Full account name:

Liquidity needs: Cost Basis Election: Sweep option:

NONE

Retirement

First in, First out STANDARD BANK DEPOSIT

Investment objective/Risk tolerance: Time horizon:

Brokerage account number:

Tax status:

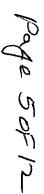
Account type:

### Document delivery status

MODERATE GROWTH & INCOME LONG TERM (10+ YEARS) KRISTEN E. CARLSON (IRA) WFCS AS CUSTODIAN Individual Retirement Account Statements:
Trade confirmations:
Tax documents:
Shareholder communications: Other documents:

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Electronic



Page 5 of 12



### WFCS AS CUSTODIAN

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

### Additional information

Gross proceeds

THIS PERIOD 0.00

34,084.61 THIS YEAR

#### Portfolio detail

### Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep Program(s) or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep Program(s) reserve the right to require seven days prior notice before permitting the the sweep Program(s). In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

Standard Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A., which is affiliated with the Clearing Agent and (if amounts exceed \$250,000) at another bank affiliated with the Clearing Agent.

Expanded Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more banks affiliated with the Clearing Agent or

nonaffiliated banks.

Standard Bank Deposit Sweep and Expanded Bank Deposit Sweep assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep Programs for your account, please contact Your Financial Advisor.

| DESCRIPTION                         | % OF<br>ACCOUNT | CURRENT<br>MARKET VALUE | ESTIMATED ANNUAL INCOME |  |
|-------------------------------------|-----------------|-------------------------|-------------------------|--|
| Cash                                | 0.34            | 1,687.00                | 0.00                    |  |
| STANDARD BANK DEPOSIT               | 0.11            | 576.66                  | 0.74                    |  |
| Interest Period 12/01/17 - 12/31/17 |                 |                         |                         |  |
| Total Cash and Sweep Balances       | 0.45            | \$2,263.66              | \$0.74                  |  |
|                                     |                 |                         | :                       |  |

<sup>\*</sup> APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Page 6 0512

Page 6 of 12



#### KRISTEN E. CARLSON (IRA) WFCS AS CUSTODIAN

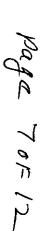
DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

#### **Mutual Funds**

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return. **Open End Mutual Funds** 

|                                       |               | ).13<br>).21             | \$16,439.13<br>\$1,420.21       |         | ts)<br>Reinvestments)           | Reinvestmenent (Including  | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inves<br>Gain/Loss c |   |
|---------------------------------------|---------------|--------------------------|---------------------------------|---------|---------------------------------|----------------------------|--|-----------------------------|---|
| N/A                                   | N/A           | \$377.62                 | \$17,859.34                     | 20.0900 | \$17,481.72                     | \$19.67                    | 888.96700  | 3.56                        | Total   |
|                                       |               | 351.00<br>26.62          | 16,790.13<br>1,069.21           |         | 16,439.13<br>1,042.59           | 19.67<br>19.59             | 835.74600<br>53.22100  |                             | LORD ABBETT VALUE OPPORTUNITIES FUND CL A LVOAX On Reinvestment Acquired 03/08/17 S Reinvestments S |
|                                       |               | 1.22                     | \$18,144.22<br>\$3,390.04       |         | ts)<br>Reinvestments)           | Reinvestmen                | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inves<br>Gain/Loss c |   |
| 1.56                                  | \$335.96      | \$522.32                 | \$21,534.26                     | 13.1400 | \$21,011.94                     | \$12.82                    | 1,638.83300  | 4.29                        | Total   |
|                                       |               | 223.66<br>298.66         | 18,367.88<br>3,166.38           |         | 18,144.22<br>2,867.72           | 12.98<br>11.90             | 1,397.86000<br>240.97300   |                             | LORD ABBET INVT TR CONVERTIBLE FD CL A LACFX On Reinvestment Acquired 01/30/14 L Reinvestments L    |
|                                       |               | 5.75<br>3.92             | \$18,385.75<br>\$3,206.92       |         | ts)<br>Reinvestments)           | Reinvestmen ent (Including | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inves<br>Gain/Loss o |   |
| 3.18                                  | \$687.44      | \$924.36                 | \$21,592.67                     | 62.8200 | \$20,668.31                     | \$60.13                    | 343.72300  | 4.30                        | Total   |
|                                       |               | 759.20<br>163.77<br>1.39 | 19,144.95<br>2,177.47<br>270.25 |         | 18,385.75<br>2,013.70<br>268.86 | 60.31<br>58.09<br>62.49    | 304.75900<br>34.66200<br>4.30200   |                             | CAPITAL INCOME BLDR CL A CAIBX On Reinvestment Acquired 04/26/13 L Reinvestments L Reinvestments S  |
| ESTIMATED  ANNUAL ANNUAL YE YIELD (%) | ANNUAL INCOME | UNREALIZED<br>GAIN/LOSS  | CURRENT<br>MARKET VALUE         | CURRENT | ADJ COST/<br>ORIG COST          | ADJ PRICE/<br>ORIG PRICE   | QUANTITY   | % OF                        | DESCRIPTION   |





KRISTEN E. CARLSON (IRA) WFCS AS CUSTODIAN

Page 7 of 12

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

#### Mutual Funds

# Open End Mutual Funds continued

| \$3,530.12       | -\$1,167.33                            | \$140,179.21 -\$                            |         | \$141,346.54                                | ent (Including                   | 27.95 Sain/Loss on Client investment (including Keinvestments)                                       | 27.95                     | Total Open End Mutual Funds  |
|------------------|--|---|---------|---|----------------------------------|--|---------------------------|--|
|                  | .50<br>97                              | \$25,831.50<br>\$4,325,97                   |         | s)<br>Reinvestments)                        | Reinvestment                     | Client Investment (Excluding Reinvestments)  | Client Inves              |  |
| \$1,025.68       | -\$1,993.77                            | \$30,157.47                                 | 20.1700 | \$32,151.24                                 | \$21.50                          | 1,495.16500  | 6.01                      | Total  |
|                  | -1,545.64<br>-559.05<br>110.09<br>0.83 | 16,880.11<br>6,846.70<br>6,310.81<br>119.85 |         | 18,425.75<br>7,405.75<br>6,200.72<br>119.02 | 22.01<br>21.80<br>19.81<br>20.03 | 836.89200<br>339.45000<br>312.88100<br>5.94200   |                           | MFS UTILITIES FUND CLASS A MMUFX On Reinvestment Acquired 04/26/13 L Acquired 08/14/13 L Reinvestments L Reinvestments S   |
|                  | .50<br>.78                             | \$25,831.50<br>\$4,035.78                   |         | s)<br>Reinvestments)                        | Reinvestmentent                  | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inves<br>Gain/Loss | The second secon |
| \$888.65         | \$57.01                                | \$29,867.28                                 | 12.5700 | \$29,810.27                                 | \$12.55                          | 2,376.07700  | 5.95                      | Total  |
|                  | -208.64<br>113.90<br>150.95<br>0.80    | 18,217.11<br>7,519.65<br>3,988.50<br>142.02 |         | 18,425.75<br>7,405.75<br>3,837.55<br>141.22 | 12.71<br>12.37<br>12.09<br>12.49 | 1,449,25300<br>598,22200<br>317,30400<br>11,29800  |                           | MFS SER TR XIII MFS DIVERSIFIED INCOME FD CL A DIFAX On Reinvestment Acquired 04/26/13 L Acquired 08/14/13 L Reinvestments L Reinvestments S   |
|                  | .75<br>.44                             | \$18,465.75<br>\$702.44                     |         | ts)<br>Reinvestments)                       | Reinvestmen                      | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inves              | Sometime is a second of the se |
| \$592.39         | -\$1,054.87                            | \$19,168.19                                 | 14.1400 | \$20,223.06                                 | \$14.92                          | 1,355.60100  | 3.82                      | Total  |
|                  | -1,087.30<br>32.43                     | 17,378.45<br>1,789.74                       |         | 18,465.75<br>1,757.31                       | 15.02<br>13.88                   | 1,229.02800<br>126.57300   |                           | MFS SER TRUST IX CORPORATE BOND FUND A MFBFX On Reinvestment Acquired 04/26/13 L Reinvestments L   |
| ANNUAL<br>INCOME | UNREALIZED<br>GAIN/LOSS                | CURRENT<br>MARKET VALUE                     | CURRENT | ADJ COST/<br>ORIG COST                      | ADJ PRICE/<br>ORIG PRICE         | QUANTITY   | % OF                      | DESCRIPTION  |
|                  |  |   |         |   |                                  |  |                           |  |

Page 8 of 12

Page 8 of 12



KRISTEN E. CARLSON (IRA) WFCS AS CUSTODIAN

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

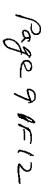
#### **Mutual Funds**

### **Closed End Mutual Funds**

Closed End Fund shares are priced at the market which may be more or less than its net asset value.

|                               |               | -2,985.38<br>-1,466.32<br>-805.26 | 6,526.80<br>3,175.20<br>1,764.00 |                  | 9,512.18<br>4,641.52<br>2,569.26  | 12.64<br>12.68<br>12.63           | 740<br>360<br>200  |                         | JOHN HANCOCK IAX ADVANTAGE GLOBAL SHAREHOLDER YIELD FUND HTY Acquired 04/26/13 L Acquired 04/26/13 L Acquired 04/26/13 L Acquired 04/26/13 L |
|-------------------------------|---------------|-----------------------------------|----------------------------------|------------------|-----------------------------------|-----------------------------------|--|-------------------------|--|
|                               |               | .85                               | \$18,144.85<br>\$2,032.94        |                  | ts)<br>Reinvestments)             | g Reinvestment<br>nent (Including | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inv<br>Gain/Loss |  |
| 9.47                          | \$1,910.91    | -\$3,396.77                       | \$20,177.79                      | 9.6300           | \$23,574.56                       | \$11.25                           | 2,095.30600  | 4.02                    | Total  |
|                               |               | -1,541.60<br>-1,195.25<br>-659.92 | 8,667.00<br>6,741.00<br>4,769.79 |                  | 10,208.60<br>7,936.25<br>5,429.71 | 11.14<br>11.15<br>10.96           | 900<br>700<br>495.30600  |                         | Acquired 04/26/13 L<br>Acquired 04/26/13 L<br>Reinvestments L  |
|                               |               |                                   |                                  |                  |                                   |                                   |  |                         | EATON VANCE RISK MANAGED<br>DIVERSIFIED EQUITY<br>INCOME   |
|                               |               | ).58<br> .47                      | \$18,260.58<br>\$8,880.47        |                  | ts)<br>Reinvestments)             | g Reinvestmen:<br>ent (Including  | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inv<br>Gain/Loss |  |
| 8.30                          | \$2,253.22    | \$3,554.74                        | \$27,141.05                      | 12.1900          | \$23,586.31                       | \$10.59                           | 2,226.50200  | 5.41                    | Total  |
| į                             |               | 3,071.91<br>482.83                | 21,332.49<br>5,808.56            |                  | 18,260.58<br>5,325.73             | 10.25<br>11.17                    | 1,750<br>476.50200   |                         | EATON VANCE FONDS TAX MANAGED DIVERSIFIED EQUITY ETY Acquired 04/26/13 L Reinvestments L   |
|                               |               | ).75<br>).38                      | \$18,449.75<br>\$10,448.38       |                  | ts)<br>Reinvestments)             | g Reinvestmen<br>ent (Including   | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inv<br>Gain/Los  |  |
| 6.07                          | \$1,753.64    | \$5,275.98                        | \$28,898.13                      | 16.3800          | \$23,622.15                       | \$13.39                           | 1,764.23300  | 5.76                    | Total  |
|                               |               | 4,482.24<br>793.74                | 22,931.99<br>5,966.14            |                  | 18,449.75<br>5,172.40             | 12.96<br>14.20                    | 1,400<br>364.23300   |                         | Acquired 04/26/13 L<br>Reinvestments L   |
|                               |               |                                   |                                  |                  |                                   |                                   |  |                         | BLACKROCK ENHANCED CAPITAL AND INCOME FD   |
| ESTIMATED AL ANNUAL PIELD (%) | ANNUAL INCOME | UNREALIZED<br>GAIN/LOSS           | CURRENT<br>MARKET VALUE          | CURRENT<br>PRICE | ADJ COST/<br>ORIG COST            | ADJ PRICE/<br>ORIG PRICE          | QUANTITY   | % OF<br>ACCOUNT         | DESCRIPTION  |

### Attachmens 2



Page 9 of 12



#### KRISTEN E. CARLSON (IRA) WFCS AS CUSTODIAN

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

#### **Mutual Funds**

# **Closed End Mutual Funds continued**

| 5.57                | \$16,433.50  | -\$3,278.57                               | \$294,897.09                                  |         | \$298,175.66                                  |                                  |  | 58.79                     | Total Mutual Funds  |
|---------------------|--|---|---|---------|---|----------------------------------|--|---------------------------|---|
| 8.34                | \$12,903.38  | -\$2,111.24                               | \$154,717.88                                  |         | \$156,829.12                                  |                                  |  | 30.84                     | Total Closed End Mutual Funds   |
|                     |  | ).10<br>).77                              | \$18,270.10<br>\$4,423.77                     |         | s)<br>Reinvestments)                          | Reinvestment<br>ent (Including   | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inves<br>Gain/Loss |   |
| 8.88                | \$2,015.51   | -\$442.64                                 | \$22,693.87                                   | 11.7100 | \$23,136.51                                   | \$11.94                          | 1,937.99100  | 4.52                      | Total   |
|                     |  | -412.35<br>-30.29                         | 17,857.75<br>4,836.12                         |         | 18,270.10<br>4,866.41                         | 11.77<br>11.78                   | 1,525<br>412,99100   |                           | MADISON STRATEGIC SECTOR PREMIUM FUND MSP Acquired 04/26/13 L Reinvestments L   |
|                     | And Andread Control of the Control o | .13<br>.33                                | \$17,347.13<br>\$3,129.33                     |         | s)<br>Reinvestments)                          | Reinvestment<br>ent (Including   | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments  | Client Inves              |   |
| 10.79               | \$2,210.15   | \$2,274.54                                | \$20,476.46                                   | 6.3000  | \$18,201.92                                   | \$5.60                           | 3,250.23200  | 4.08                      | Total   |
|                     |  | 2,170.27<br>104.27                        | 19,517.40<br>959.06                           |         | 17,347.13<br>854.79                           | 5.49<br>5.61                     | 3,098<br>152.23200   |                           | LIBERTY ALL-STAR EQUITY FUND SH BEN INT USA Acquired 03/08/17 S Reinvestments S   |
|                     |  | .85<br>.79                                | \$18,153.85<br>\$482.79                       |         | s)<br>Reinvestments)                          | Reinvestment<br>ent (Including   | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inves              |   |
| 5.87                | \$1,094.35   | -\$2,428.64                               | \$18,636.64                                   | 14.8500 | \$21,065.28                                   | \$16.79                          | 1,254.99300  | 3.72                      | Total   |
|                     |  | -1,947.92<br>-369.86<br>-243.57<br>132.71 | 11,880.00<br>2,227.50<br>1,485.00<br>3,044.14 |         | 13,827.92<br>2,597.36<br>1,728.57<br>2,911.43 | 16.99<br>17.03<br>17.00<br>14.20 | 800<br>150<br>100<br>204.99300   |                           | HANCOCK JOHN INCOME SECS<br>TR SBI<br>JHS<br>Acquired 04/26/13 L<br>Acquired 04/26/13 L<br>Acquired 04/26/13 L<br>Reinvestments L |
|                     |  | .14                                       | \$18,013.14<br>-\$1,319.20                    |         | s)<br>Reinvestments)                          | Reinvestment<br>ent (Including   | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inves<br>Gain/Loss |   |
| 9.98                | \$1,665.60   | -\$6,948.45                               | \$16,693.94                                   | 8.8200  | \$23,642.39                                   | \$12.49                          | 1,892.73800  | 3.33                      | Total   |
|                     |  | -408.18<br>-1,283.31                      | 882.00<br>4,345.94                            | -       | 1,290.18<br>5,629.25                          | 12.63<br>11.42                   | 100<br>492.73800   |                           | Acquired 04/26/13 L Reinvestments L   |
| ANNUAL<br>YIELD (%) | ANNUAL   | UNREALIZED<br>GAIN/LOSS                   | CURRENT<br>MARKET VALUE                       | CURRENT | ADJ COST/<br>ORIG COST                        | ADJ PRICE/<br>ORIG PRICE         | QUANTITY   | % OF<br>ACCOUNT           | DESCRIPTION   |
| ESTIMATED           | ESTI   |   |   |         |   |                                  |  | 21611266                  |   |

### Attachmens 2



Page 10 of 12



#### KRISTEN E. CARLSON (IRA) WFCS AS CUSTODIAN

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

### Annuities/Insurance

These positions are not held in your account and are not protected by SIPC. These positions are held directly by the issuing insurance company. Annuity and insurance information is provided directly from the insurance companies. Accuracy is not guaranteed and surrender charges may apply. IRA holders will receive a separate IRS Form 5498/FMV Statement in compliance with IRS requirements. Total premium(s) represents the gross amount of premiums made since the contract issue date and is not reduced by any withdrawals. Elected benefit rider information may not be available from all insurance companies. Verify contract and rider information prior to taking action on your contract as values may have changed. Please contact Your Financial Advisor should you have any questions about the contracts you own.

#### Variable Annuities

|                            |        |                  | 00   | 120117 1,489,22300   |  |  |   |                |
|----------------------------|--------|------------------|--|--|--|--|---|----------------|
| 1,586.20                   | 67.02  |                  | , 0  | <b>₽</b> 🛱   |  | DIVIDEND   | Cash  | 12/01          |
|                            |        |                  | 8  | 113017 2,364.77900<br>AS OF 11/30/17   |  |  |   |                |
|                            | 85.16  |                  | COME   | MFS SER TR XIII MFS DIVERSIFIED INCOME   |  | DIVIDEND   | Cash  | 12/01          |
|                            | 47,40  |                  | FUND A   | MF3 SER FROST IX<br>CORPORATE BOND FUND A<br>113017 1,355.60100<br>AS OF 11/30/17    |  | טאוספאס  | Cay   | 12/01          |
| 1,366.62                   |        |                  | ľ  | BEGINNING BALANCE  |  |  |   | 12/01          |
| CASH AND SWEEP<br>BALANCES | AMOUNT | PRICE            |  | DESCRIPTION  | QUANTITY   | TRANSACTION/<br>CHECK NUMBER                             | ACCOUNT TYPE  | DATE           |
|                            |        |                  |  |  |  |  | Activity detail   | Activ          |
| \$204,462.91               |        |                  |  |  |  | 40.76  | Total Annuities/Insurance   | Total A        |
| \$204,462.91               |        |                  |  |  |  | 40.76  | Total Variable Annuities  | Total V        |
| · ·                        |        |                  | % Market Value<br>14.70<br>9.90<br>4.90<br>9.70<br>9.70<br>25.50 | Estimated Value 29,987.22 20,304.93 9,956.24 20,171.60 19,923.54 52,076.51 52,042.87 | Units<br>3,246.96700<br>1,458.72100<br>1,458.72100<br>1,967.88100<br>1,800.83000<br>4,353.99700<br>4,116.63400 | MENT MONEY F BENTURE ENT SECURIT AL TRENDS AL FIED ALLOC | Sub Funds OPPENHEIMER GOVERNMENT MONEY F LORD ABBETT BOND-DEBENTURE FRANKLIN US GOVERNMENT SECURIT PIMCO REAL RETURN PIMCO TOTAL RETURN GOLDMAN SACHS GLOBAL TRENDS AL PIMCO GLOBAL DIVERSIFIED ALLOC |                |
| 204,462.91                 |        | 12/28/17         |  |  |  | 40.76  | PROTECTIVE LIFE INS ANN INS PRODS PROTECTIVE VAR ANNUMITY B SER CONTR# BA2502639 ISSUE DATE 4/29/13 TOTAL PREMIUM(S) \$200,000.00   | PROTECINS PROI |
| ESTIMATED MARKET VALUE     | ESTI   | AS OF VALUE DATE |  |  |  | % OF ACCOUNT   | TION  | DESCRIPTION    |

# Attachmenta

Page 11 01=12





### KRISTEN E. CARLSON (IRA) WFCS AS CUSTODIAN

ECFMBER 31, 2017

-210.00 -1,190.00

CASH AND SWEEP BALANCES

191.42

357.62

141.22

|                        | EQUITY<br>122917 2,226.50200                |          |              |                           |        |  |
|------------------------|---|----------|--------------|---------------------------|--------|--|
|                        | EATON VANCE FUNDS TAX MANAGED DIVERSIFIED   |          | DIVIDEND     | Cash                      | 12/29  |  |
|                        | CAPITAL AND INCOME FD<br>122917 1,764.23300 |          | CIVICEND     | Cag                       | 2772   |  |
|                        | REINVEST AT 62.300                          |          |              | 2                         | 2000   |  |
|                        | CLA   | 1.00200  |              | Casin                     | 1      |  |
|                        | CAPITAL INCOME BLDR                         | 4 30200  | REINVESTMENT | Cash                      | 12/26  |  |
|                        | CL A 339 42100                              |          |              |                           |        |  |
|                        | Ž   |          | LT CAP GAIN  | Cash                      | 12/26  |  |
|                        | 122617 343.72300                            |          |              |                           |        |  |
|                        | CAPITAL INCOME BLDR                         |          | DIVIDEND     | Cash                      | 12/26  |  |
|                        | REINVEST AT 20.030                          |          |              |                           |        |  |
|                        | MFS UTILITIES FUND                          | 5.94200  | REINVESTMENT | Cash                      | 12/22  |  |
|                        | REINVEST AT 12.500                          |          |              |                           |        |  |
|                        | MES DIVERSIFIED INCOME                      |          |              |                           |        |  |
|                        | MFS SER TR XIII                             | 11.29800 | REINVESTMENT | Cash                      | 12/22  |  |
|                        | 12211/ 1,489.22300<br>AS OF 12/21/17        |          |              |                           |        |  |
|                        | CLASS A                                     |          | :            |                           | į      |  |
|                        | MFS UTILITIES FUND                          |          | LT CAP GAIN  | Cash                      | 12/22  |  |
|                        | 122117 2,364,77900<br>AS OF 12/21/17        |          |              |                           |        |  |
|                        | FDCLA                                       |          |              |                           |        |  |
|                        | MES DIVERSIFIED INCOME                      |          | LT CAP GAIN  | Cash                      | 12/22  |  |
|                        | AS OF 12/15/17                              |          |              |                           |        |  |
|                        | CONVERTIBLE FD CL A                         |          |              |                           |        |  |
|                        | LORD ABBET INVT TR                          |          | DIVIDEND     | Cash                      | 12/18  |  |
|                        | TRACE # 121000242008880                     |          |              |                           |        |  |
|                        | REGULAR DIST PARTIAL                        |          | DISTRIBUTION | Cash                      | 12/18  |  |
|                        | FED TAX WIH REG DIST                        |          | IRS WIH      | Cash                      | 12/18  |  |
| PRICE                  | DESCRIPTION                                 | QUANTITY | CHECK NUMBER | ACCOUNT TYPE              | DATE   |  |
|                        |   |          |              |                           |        |  |
|                        |   |          | nued         | Activity detail continued | Activi |  |
| ACCOUNT NUMBER:        |   |          |              |                           |        |  |
| DECEMBER 1, 2017 - DEC |   |          | EST. 1932    | EST                       |        |  |
|                        |   | j        | COMPANY      |                           |        |  |
| WFCS AS CUSTODIAN      |   |          |              | 187                       |        |  |

-119.02

-141.22

119.02

-268.86

187.69

146.08

576.55

268.86

218.93

357.62

## Attachment a

Page 120512

Page 12 of 12



KRISTEN E. CARLSON (IRA) WFCS AS CUSTODIAN

DECEMBER 1, 2017 - DECEMBER 31, 2017 ACCOUNT NUMBER:

## Activity detail continued

| 12/29                               | 12/29  | 12/29  | 12/29  | 12/29  | DATE                         |
|-------------------------------------|--|--|--|--|------------------------------|
| Cash                                | Cash   | Cash   | Cash   | Cash   | ACCOUNT TYPE                 |
| INTEREST                            | DIVIDEND   | DIVIDEND   | DIVIDEND   | DIVIDEND   | TRANSACTION/<br>CHECK NUMBER |
|                                     |  |  |  |  | QUANTITY                     |
| STANDARD BANK DEPOSIT<br>122917 576 | MADISON STRATEGIC SECTOR PREMIUM FUND 122917 1,937.99100 | HANCOCK JOHN INCOME SECS<br>TR SBI<br>122917 1,254.99300 | JOHN HANCOCK TAX<br>ADVANTAGE GLOBAL<br>SHAREHOLDER YIELD FUND<br>122917 1,892.73800 | EATON VANCE RISK MANAGED<br>DIVERSIFIED EQUITY<br>INCOME<br>122917 2,095.30600 | DESCRIPTION                  |
|                                     |  |  |  |  | PRICE                        |
| 0.11                                | 503.88   | 273.71   | 416.40   | 159.24   | AMOUNT                       |
| 2,263.66                            |  |  |  |  | CASH AND SWEEP<br>BALANCES   |

## Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

| 12/18                 | 12/04                 | 12/01                 | 12/01                 | DATE        |
|-----------------------|-----------------------|-----------------------|-----------------------|-------------|
| TRANSFER FROM         | TRANSFER TO           | TRANSFER TO           |                       | TRANSACTION |
| STANDARD BANK DEPOSIT | STANDARD BANK DEPOSIT | STANDARD BANK DEPOSIT | BEGINNING BALANCE     | DESCRIPTION |
| -1,400.00             | 199.58                | 493.01                | 873.61                | AMOUNT      |
| 12/31                 | 12/29                 | 12/27                 | 12/19                 | DATE TRANSA |
|                       | REINVEST INT          | TRANSFER TO           | TRANSFER TO           | CTION       |
| ENDING BALANCE        | STANDARD BANK DEPOSIT | STANDARD BANK DEPOSIT | STANDARD BANK DEPOSIT | DESCRIPTION |
| 576.66                | 0.11                  | 218.93                | 191.42                | AMOUNT      |



**SNAPSHOT** 

KRISTEN E. CARLSON (IRA) WFCS AS CUSTODIAN

Page 3 of 11

APRIL 1, 2018 - APRIL 30, 2018 ACCOUNT NUMBER:

## Retirement summary

**IRA Plan Value** \$486,398.15

A portion of these assets may not be covered by SIPC. Bank products are eligible for FDIC insurance up to \$250,000 in accordance with FDIC rules.

| most recent data available and is being | This heneficiary information is based upon the most recent data available and is being |
|---|--|
| 64.5                                    | Attained Age as of 12/31/18:   |
| 02/13/54                                | Account Holder Birthdate:  |
|   | ACCOUNT INFORMATION  |

designation on file will govern. provided as a service to you. In the event of death, your most recent beneficiary Inis beneficiary information is based upon the most recent data available and is being

| CATA SHADISTIN | MARY BENEFICIARY INFORMATION |
|----------------|------------------------------|
|                | % ENTITLEMENT                |

| RETIREMENT TRANSACTIONS   |              |
|---------------------------|--------------|
| CONTRIBUTION SUMMARY      | AMOUNT       |
| Contributions             |              |
| 2018 FOR 2018             | \$0.00       |
| 2018 DISTRIBUTION SUMMARY | AMOUNT       |
| Net Distributions         | -4,760.00    |
| Federal Withholding       | -840.00      |
| Gross Distributions       | -\$5,600.00  |
| 2017 DISTRIBUTION SUMMARY | AMOUNT       |
| Gross Distributions       | -\$15,400.00 |

Contact us if information on this page requires updates.
Your Financial Advisor:
KARIN MCKNIGHT/BOB SULLIVAN



### WFCS AS CUSTODIAN

APRIL 1, 2018 - APRIL 30, 2018 ACCOUNT NUMBER:

### Portfolio detail

## Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep Program(s) or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep Program(s) reserve the right to require seven days prior notice before permitting the banks in the sweep Program(s). In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting

Standard Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A., which is affiliated with the Clearing Agent and (if amounts exceed \$250,000) at another bank affiliated with the Clearing Agent.

Expanded Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more banks affiliated with the Clearing Agent or

nonaffiliated banks.

Standard Bank Deposit Sweep and Expanded Bank Deposit Sweep assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep Programs for your account, please contact Your Financial Advisor.

|                                     | %<br>OF | ANNUAL PERCENTAGE | CURRENT      | ESTIMATED     |  |
|-------------------------------------|---------|-------------------|--------------|---------------|--|
| DESCRIPTION                         | ACCOUNT | YIELD EARNED*     | MARKET VALUE | ANNUAL INCOME |  |
| Cash                                | 0.10    | 0.00              | 493.01       | 0.00          |  |
| STANDARD BANK DEPOSIT               | 0.31    | 0.16              | 1,491.09     | 2.38          |  |
| Interest Period 04/01/18 - 04/30/18 |         |                   |              |               |  |
| Total Cash and Sweep Balances       | 0.41    |                   | \$1,984.10   | \$2.38        |  |

<sup>\*</sup> APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

### Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions

### Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

| 18,385.75<br>2,013.70            | DESCRIPTION ACCOUNT QUANTITY ORIG PRICE ORIG COST PRICE MARKET VALUE |
|----------------------------------|--|
| 860                              |  |
| 58.09<br>58.09                   | JU PRICE/<br>IG PRICE  |
| 18,385.75<br>2,013.76            | ADJ COST/<br>ORIG COST   |
|                                  | CURRENT  |
| 18,514.11<br>2,105.72<br>2,61.37 | CURRENT<br>MARKET VALUE  |
| 128.36<br>92.02                  | UNREALIZED   |
|                                  | ANNUAL   |
|                                  | ANNUAL ANNUAL INCOME YIELD (%)                                       |



APRIL 1, 2018 - APRIL 30, 2018 ACCOUNT NUMBER:

### **Mutual Funds**

# **Open End Mutual Funds continued**

|            |        | .75<br>.03              | \$18,465.75<br>-\$138.03  |                  | s)<br>Reinvestments)   | , Reinvestment<br>ent (Including | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inves<br>Gain/Loss o  |  |
|------------|--------|-------------------------|---------------------------|------------------|------------------------|----------------------------------|--|------------------------------|--|
| 3.11       | \$571  | -\$1,895.34             | \$18,327.72               | 13.5200          | \$20,223.06            | \$14.92                          | 1,355.60100  | 3.77                         | Total  |
|            |        | -1,849.30<br>-46.04     | 16,616.45<br>1,711.27     |                  | 18,465.75<br>1,757.31  | 15.02<br>13.88                   | 1,229,02800<br>126,57300   |                              | CORPORATE BOND FUND A MFBFX On Reinvestment Acquired 04/26/13 L Reinvestments L                  |
|            |        | .13                     | \$16,439.13<br>\$851.27   |                  | s)<br>Reinvestments)   | Reinvestmentent (Including       | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inves<br>Gain/Loss of | ATO OFF TRICTIV  |
| N/A        | N/A    | -\$191.32               | \$17,290.40               | 19.4500          | \$17,481.72            | \$19.67                          | 888.96700  | 3.55                         | Total  |
|            |        | -183.88<br>-7.44        | 16,255.25<br>1,035.15     |                  | 16,439.13<br>1,042.59  | 19.67<br>19.59                   | 835.74600<br>53.22100  |                              | OPPORTUNITIES FUND CLA LVOAX On Reinvestment Acquired 03/08/17 L Reinvestments S                 |
|            |        | .76                     | \$18,144.22<br>\$3,504.76 |                  | s)<br>Reinvestments)   | Reinvestment ent (Including      | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inves<br>Gain/Loss of |  |
| 1.40       | \$303  | \$637.04                | \$21,648.98               | 13.2100          | \$21,011.94            | \$12.82                          | 1,638.83300  | 4.45                         | Total  |
|            |        | 321.51<br>315.53        | 18,465.73<br>3,183.25     |                  | 18,144.22<br>2,867.72  | 12.98<br>11.90                   | 1,397.86000<br>240.97300   |                              | LORD ABBET INVT TR CONVERTIBLE FD CL A LACFX On Reinvestment Acquired 01/30/14 L Reinvestments L |
|            |        | .75<br>.42              | \$18,385.75<br>\$2,495.42 |                  | s)<br>Reinvestments)   | Reinvestment ent (Including      | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inves<br>Gain/Loss of |  |
| 3.29       | \$687  | \$212.86                | \$20,881.17               | 60.7500          | \$20,668.31            | \$60.13                          | 343.72300  | 4.29                         | Total  |
| ANNUAL (%) | ANNUAL | UNREALIZED<br>GAIN/LOSS | CURRENT<br>MARKET VALUE   | CURRENT<br>PRICE | ADJ COST/<br>ORIG COST | ADJ PRICE/<br>ORIG PRICE         | QUANTITY   | % OF<br>ACCOUNT              | DESCRIPTION  |
| ESTIMATED  | ESTIN  |                         |                           |                  |                        |                                  |  | Collinaca                    | Chen the metagn alles continued  |



APRIL 1, 2018 - APRIL 30, 2018 ACCOUNT NUMBER:

### **Mutual Funds**

# Open End Mutual Funds continued

| 2.33                | \$3,190   | -\$4,394.93                           | \$136,951.61                                |         | \$141,346.54                                | ,                                |  | 28.16                        | Total Open End Mutual Funds  |
|---------------------|-----------|---------------------------------------|---|---------|---|----------------------------------|--|------------------------------|--|
|                     |           | .50<br>.31                            | \$25,831.50<br>\$4,221.31                   |         | s)<br>Reinvestments)                        | Reinvestment<br>ent (Including F | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inves<br>Gain/Loss of |  |
| 2.29                | \$688     | -\$2,098.43                           | \$30,052.81                                 | 20.1000 | \$32,151.24                                 | \$21.50                          | 1,495.16500  | 6.18                         | Total  |
|                     |           | -1,604.22<br>-582.81<br>88.18<br>0.42 | 16,821.53<br>6,822.94<br>6,288.90<br>119.44 |         | 18,425.75<br>7,405.75<br>6,200.72<br>119.02 | 22.01<br>21.80<br>19.81<br>20.03 | 836.89200<br>339.45000<br>312.88100<br>5.94200   |                              | Acquired 08/14/13 L Acquired 08/14/13 L Reinvestments L Reinvestments S  |
|                     |           |                                       |   |         |   |                                  |  |                              | MFS UTILITIES FUND CLASS A MMUST On Beingermant  |
|                     |           | .50<br>).03                           | \$25,831.50<br>\$2,919.03                   |         | s)<br>Reinvestments)                        | Reinvestment<br>ent (Including F | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inves<br>Gain/Loss of |  |
| 3.27                | \$941     | -\$1,059.74                           | \$28,750.53                                 | 12.1000 | \$29,810.27                                 | \$12.55                          | 2,376.07700  | 5.91                         | Total  |
|                     |           | -889.79<br>-167.26<br>1.83<br>-4.52   | 17,535.96<br>7,238.49<br>3,839.38<br>136.70 |         | 18,425.75<br>7,405.75<br>3,837.55<br>141.22 | 12.71<br>12.37<br>12.09<br>12.49 | 1,449.25300<br>598.22200<br>317.30400<br>11.29800  |                              | MFS DIVERSIFIED INCOME FD CL A DIFAX On Reinvestment Acquired 04/26/13 L Acquired 08/14/13 L Reinvestments L Reinvestments S |
| ANNUAL<br>YIELD (%) | ANNUAL    | UNREALIZED<br>GAIN/LOSS               | CURRENT<br>MARKET VALUE                     | CURRENT | ADJ COST/<br>ORIG COST                      | ADJ PRICE/<br>ORIG PRICE         | QUANTITY   | % OF<br>ACCOUNT              | DESCRIPTION  |
| ATED                | ESTIMATED |                                       |   |         |   |                                  |  | ntinued                      | Open End Mutual Funds continued  |



APRIL 1, 2018 - APRIL 30, 2018 ACCOUNT NUMBER: /

### **Mutual Funds**

### Closed End Mutual Funds

Closed End Fund shares are priced at the market which may be more or less than its net asset value.



APRIL 1, 2018 - APRIL 30, 2018 ACCOUNT NUMBER:

### **Mutual Funds**

# Closed End Mutual Funds continued

| 5.52                | \$15,871  | -\$10,658.95                               | \$287,516.71                                  |  | \$298,175.66                                  |                                  |  | 59.11                   | Total Mutual Funds  |
|---------------------|-----------|--|---|--|---|----------------------------------|--|-------------------------|---|
| 8.42                | \$12,681  | -\$6,264.02                                | \$150,565.10                                  | e de la constante de la consta | \$156,829.12                                  | j                                |  | 30.96                   | <b>Total Closed End Mutual Funds</b>  |
|                     |           | ).10<br>).33                               | \$18,270.10<br>\$4,656.33                     |  | s)<br>Reinvestments)                          | Reinvestment                     | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inver            |   |
| 8.79                | \$2,016   | -\$210.08                                  | \$22,926.43                                   | 11.8300  | \$23,136.51                                   | \$11.94                          | 1,937.99100  | 4.71                    | Total   |
|                     |           | -229.35<br>19.27                           | 18,040.75<br>4,885.68                         |  | 18,270.10<br>4,866.41                         | 11.77<br>11.78                   | 1,525<br>412.99100   |                         | PREMIUM FUND MSP Acquired 04/26/13 L Reinvestments L  |
|                     |           | 7.13<br>3.82                               | \$17,347.13<br>\$2,966.82                     |  | s)<br>Reinvestments)                          | Reinvestment                     | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Investigain/Loss | MADISON STRATEGIC SECTOR  |
| 10.88               | \$2,210   | \$2,112.03                                 | \$20,313.95                                   | 6.2500   | \$18,201.92                                   | \$5.60                           | 3,250.23200  | 4.18                    | Total   |
|                     |           | 2,015.37<br>96.66                          | 19,362.50<br>951.45                           |  | 17,347.13<br>854.79                           | 5.49<br>5.61                     | 3,098<br>152.23200   |                         | LIBERTY ALL-STAR EQUITY FUND SH BEN INT USA Acquired 03/08/17 L Reinvestments S   |
|                     |           | 3.85                                       | \$18,153.85<br>-\$1,073.40                    |  | s)<br>Reinvestments)                          | Reinvestment                     | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inve             |   |
| 5.11                | \$872     | -\$3,984.83                                | \$17,080.45                                   | 13.6100  | \$21,065.28                                   | \$16.79                          | 1,254.99300  | 3.51                    | Total   |
|                     |           | -2,939.92<br>-555.86<br>-367.57<br>-121.48 | 10,888.00<br>2,041.50<br>1,361.00<br>2,789.95 |  | 13,827.92<br>2,597.36<br>1,728.57<br>2,911.43 | 16.99<br>17.03<br>17.00<br>14.20 | 800<br>150<br>100<br>204.99300   |                         | HANCOCK JOHN INCOME SECS<br>TR SBI<br>JHS<br>Acquired 04/26/13 L<br>Acquired 04/26/13 L<br>Acquired 04/26/13 L<br>Reinvestments L |
| \$                  |           | 3.14                                       | \$18,013.14<br>-\$2,006.45                    |  | s)<br>Reinvestments)                          | Reinvestment                     | Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments) | Client Inves            |   |
| 10.41               | \$1,666   | -\$7,635.70                                | \$16,006.69                                   | 8.4569   | \$23,642.39                                   | \$12.49                          | 1,892.73800  | 3.29                    | Total   |
|                     |           | -444.49<br>-1,462.21                       | 845.69<br>4,167.04                            |  | 1,290.18<br>5,629.25                          | 12.63<br>11.42                   | 100<br>492.73800   |                         | Acquired 04/26/13 L<br>Reinvestments L  |
| ANNUAL<br>YIELD (%) | ANNUAL    | UNREALIZED<br>GAIN/LOSS                    | CURRENT<br>MARKET VALUE                       | CURRENT<br>PRICE   | ADJ COST/<br>ORIG COST                        | ADJ PRICE/<br>ORIG PRICE         | QUANTITY   | % OF<br>ACCOUNT         | DESCRIPTION   |
| ATED                | ESTIMATED |  |   |  |   |                                  |  | Attinued                | Closed End Mutual Funds continued   |



### WFCS AS CUSTODIAN

APRIL 1, 2018 - APRIL 30, 2018 ACCOUNT NUMBER:

### Annuities/Insurance

These positions are not held in your account and are not protected by SIPC. These positions are held directly by the issuing insurance company. Annuity and insurance information is provided directly from the insurance companies. Accuracy is not guaranteed and surrender charges may apply. IRA holders will receive a separate IRS Form 5498/FMV Statement in compliance with IRS requirements. Total premium(s) represents the gross amount of premiums made since the contract issue date and is not reduced by any withdrawals. Elected benefit rider information may not be available from all insurance companies. Verify contract and rider information prior to taking action on your contract as values may have changed. Please contact Your Financial Advisor should you have any questions about the contracts you own.

### Variable Annuities

| DESCRIPTION         ACCOUNT         ACCOUNT         AS OF VALUE DATE         ESTIMATED MARKET VALUE PATE         AS OF VALUE DATE         ESTIMATED MARKET VALUE PATE         PAGE TO ALUE PATE         AS OF VALUE DATE         ESTIMATED MARKET VALUE PATE         AS OF VALUE DATE         ESTIMATED MARKET VALUE PATE         AS OF VALUE DATE         ESTIMATED MARKET VALUE PATE         AS OF VALUE DATE         AS OF VALUE DATE         AS OF VALUE DATE         AS OF VALUE PATE         AS OF VALUE PATE </th <th>44.69</th> <th></th> <th>UND A</th> <th>AS OF 3/29/18 MFS SER TRUST IX CORPORATE BOND FUND A 032918 1,355.60100</th> <th></th> <th>DIVIDEND</th> <th>DIV</th> <th>Cash</th> <th>04/02</th>  | 44.69  |                  | UND A   | AS OF 3/29/18 MFS SER TRUST IX CORPORATE BOND FUND A 032918 1,355.60100              |   | DIVIDEND               | DIV  | Cash  | 04/02  |
|--|--------|------------------|---|--|---|------------------------|--|---|--|
| ### ACCOUNT TYPE INS ANN ACCOUNT A 40.48 ACCOUNT TYPE RASSACTION/ ACCOUNT OF RANKARIA ACCOUNT TYPE RASSACTION/ ACCOUNT TYPE RASSACTION/ ACCOUNT TYPE RASSACTION/ ACCOUNT TYPE RASSACTION/ ACCOUNT TYPE RASSACTION/ ACCOUNT TYPE CHECK NUMBER ACCOUNT TYPE CHECK NUMBER ACCOUNT TYPE RASSACTION/ ACCOUNT TYPE CHECK NUMBER ACCOUNT TYPE CHECK NUMBER ACCOUNT TYPE CHECK NUMBER ACCOUNT TYPE CHECK NUMBER ACCOUNT TYPE CHECK NUMBER ACCOUNT TYPE CHECK NUMBER ACCOUNT TYPE CHECK NUMBER ACCOUNT TYPE CHECK NUMBER ACCOUNT TYPE CHECK NUMBER ACCOUNT TYPE CHECK NUMBER COURS ACCOUNT TYPE COURS ACCOUNT TYPE COURS ACCOUNT TYPE COURS ACCOUNT TYPE COURS ACCOUNT TYPE COURS ACCOUNT TYPE COURS ACCOUNT TYPE COURS ACCOUNT TYPE COURS ACCOUNT TYPE COURS ACCOUNT TYPE COURS ACCOUNT TYPE COURS ACCOUNT TYPE COURS ACCOUNT TYPE COURS ACCOUNT TYPE COURS ACCOUNT TYPE COURS ACCOUNT TYPE COURS ACCOUNT TYPE COURS ACCOUNT TYPE COURS ACCOUNT TYPE COURS ACCOUNT TYP | 73.75  |                  | - <b>A</b>  | LORD ABBET INVT TR<br>CONVERTIBLE FD CL.<br>032918 1.638.83300                       |   | IDEND                  | DIV  | Cash  | 04/02  |
| ACOUNT  ACOUNT  ACOUNT  ACOUNT  ACOUNT  AS OF VALUE DATE  AS OF VA |        |                  |   | BEGINNING BALANCE  |   |                        |  |   | 04/01  |
| ANN 40.48 AS OF VALUE DATE ACCOUNT ACCOUNT ACCOUNT ACCOUNT ACCOUNT ACCOUNT AS OF VALUE DATE AS OF VALUE DATE AS OF VALUE DATE AS OF VALUE DATE ACCOUNT OUT BOND.000.00  Units Estimated Value % Market Value 14.29,48100 19.588.68 9.90 11.829,48100 19.588.68 9.90 11.821.50800 19.472.73 1.921.50800 19.472.73 9.90 AL RETURN 1.789,84500 19.343.18 9.80 AL TRENDS AL 4.217.01600 AL,217.01600 AMOUNT | PRICE            |   | DESCRIPTION  | QUANTITY  | NSACTION/<br>CK NUMBER | TRA<br>CHE   | ACCOUNT TYPE  | DATE   |
| # ACCOUNT # ACCOUNT # ACCOUNT # ACCOUNT # AS OF VALUE DATE # AS OF VALUE DATE # AS OF VALUE DATE # ACCOUNT |        |                  |   |  |   |                        |  | ity detail  | Activ  |
| ## ACCOUNT ## ACCOUNT ## AS OF VALUE DATE ## AS OF VALUE DATE ## ACCOUNT ## A |        |                  | !   |  | :   | 40.48                  | Ö  | nnuities/Insuranc   | Total A  |
| #ACCOUNT ## ACCOUNT AS OF VALUE DATE ANN ## 40.48 ## ACCOUNT ## AC |        |                  |   |  |   | 40.48                  |  | ariable Annuities   | Total V  |
| % OF ACCOUNT AS OF VALUE DATE ANN 40.48 04/27/18 VE 00,000.00  |        |                  | % Market Value<br>14.90<br>9.90<br>4.90<br>9.90<br>9.80<br>25.30<br>25.20 | Estimated Value 29,289.33 19,588.68 9,697.23 19,472.73 19,473.18 49,859.28 49,646.91 | Units 3,174.67900 1,429.48100 993.04400 1,921.50800 1,789.84500 4,217.01600 3,983.42800 | EY F                   | ERNMENT MON DEBENTURE RNMENT SECUI N RN LOBAL TRENDS RSIFIED ALLOC | Sub Funds OPPENHEIMER GOVI LORD ABBEIT BOND FRANKLIN US GOVEI PIMCO REAL RETURI PIMCO TOTAL RETURI GOLDMAN SACHS GI PIMCO GLOBAL DIVE |  |
| % OF ACCOUNT AS OF VALUE DATE  |        | 04/27/18         |   |  |   | 40.48                  |  | IVE LIFE INS ANN IS PROTECTIVE UNITY B SER BA2502639 TE 4/29/13 TE 4/29/13  | PROTECTINS PROFEST |
|  | ESTIMA | AS OF VALUE DATE |   |  |   | % OF<br>ACCOUNT        |  | TION  | DESCRIP  |

AS OF 3/29/18



### WFCS AS CUSTODIAN

APRIL 1, 2018 - APRIL 30, 2018 ACCOUNT NUMBER:

## Activity detail continued

| 1,984.10                   | 0.27      |       | 043018 2,095.30600<br>STANDARD BANK DEPOSIT<br>043018 1,490                     |          | INTEREST                     | Cash         | 04/30 |
|----------------------------|-----------|-------|---|----------|------------------------------|--------------|-------|
|                            | 159.24    | J     | 043018 2,226.50200 EATON VANCE RISK MANAGED DIVERSIFIED EQUITY INCOME           | ·        | DIVIDEND                     | Cash         | 04/30 |
|                            | 187.69    |       | <b>Z</b> <  |          | DIVIDEND                     | Cash         | 04/30 |
|                            | 146.08    |       | BLACKROCK ENHANCED CAPITAL AND INCOME FD 043018 1764 23300                      |          | DIVIDEND                     | Cash         | 04/30 |
| 1,490.82                   | -1,190.00 |       | REGULAR DIST PARTIAL MONTHLY DISTRIBUTION TRACE # 121000242028628               |          | DISTRIBUTION                 | Cash         | 04/16 |
|                            | -210.00   |       | FED TAX W/H REG DIST  |          | IRS W/H                      | Cash         | 04/16 |
| 2,890.82                   | 54.57     |       | MFS UTILITIES FUND<br>CLASS A<br>040218 1,495,16500                             |          | DIVIDEND                     | Cash         | 04/02 |
|                            | 76.00     |       | MFS SER TR XIII MFS DIVERSIFIED INCOME FD CL A 032918 2,376.07700 AS OF 3/29/18 |          | DIVIDEND                     | Cash         | 04/02 |
| CASH AND SWEEP<br>BALANCES | AMOUNT    | PRICE | DESCRIPTION   | QUANTITY | TRANSACTION/<br>CHECK NUMBER | ACCOUNT TYPE | DATE  |

## Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

| 04/03                 | 04/02                 | 04/01                                     | DATE                                     |
|-----------------------|-----------------------|---|--|
| TRANSFER TO           | TRANSFER TO           |   | TRANSACTION                              |
| STANDARD BANK DEPOSIT | STANDARD BANK DEPOSIT | BEGINNING BALANCE                         | DATE TRANSACTION DESCRIPTION AMOUNT DATE |
| 249.01                | 1,631.28              | 1,010.53                                  | AMOUNT                                   |
| 04/30                 | 04/30                 | 04/16                                     | DATE                                     |
|                       | REINVEST INT          | TRANSFER FROM                             | DATE TRANSACTION                         |
| ENDING BALANCE        | STANDARD BANK DEPOSIT | 04/16 TRANSFER FROM STANDARD BANK DEPOSIT | DESCRIPTION                              |
| 1,491.09              | 0.27                  | -1,400.00                                 | AMOUNT                                   |

Jode 1 06-8

**Investment Account EXTRADE** Securities

Customer Update:

When to expect your 2017 tax documents

tax documents, you'll receive Forms 1099, 5498, and other Visit etrade.com/taxschedule to see when

INDIVIDUAL Account Type: 1696-6819 Account Number: November 1, 2017 - December 31, 2017

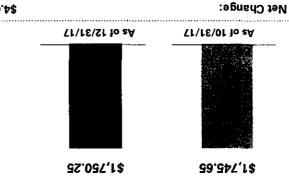
P.O. Box 484 SATRADE Securities LLC

Odi2\ARMin nedmeM moc.ebsite 1-800-ETRADE-1 (1-800-387-2331) Jersey City, NJ 07303-0484

KRISTEN E CARLSON

Visit etrade.com/tools to get going. on track. E\*TRADE has the tools to make it easy. Make 2018 the year to get your retirement planning New year, new focus on retirement

### Account At A Glance



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DETACH HERE

**FAKELAND FL 33803-2601** 189 KENMILH CL

KBIZTEN E CARLSON

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Please do not send cash

Make checks payable to E\*TRADE Securities LLC

| <br> |               |
|------|---------------|
|      |               |
|      |               |
|      | TOTAL DEPOSIT |

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Jersey City, NJ 07303-0484 P.O. Box 484 ETRADE SECURITIES LLC ZU/01 - 09/Z/FM1S

Free Credit Balances. Any cash balances in your securities account, which represent an obligation of ETS, is payable to you upon demand ("free credit balances"). Your cash balances: 1) can be maintained in the securities account and will each interest his prough the "Cash Balance Program"s armort built described at: www.etrade.com/cashbalance, and 2) are held unsegregated and may be used by ETS in the conduct of its business, and 2) are held unsegregated and may be used by ETS in the conduct of its business, above the limitations of Rule 15c3-3 under the Securities Excitange Act of 1934.

Margin Accounts. The amount of margin required will be the greater of (1) the amount required by applicable laws, regulations, the rules of applicable self-regulationy amount required by applicable laws, regulations, the rules of applicable self-regulations of self-regulations and destinghouses, or (2) has amount required by ETS in its sole discretion. Who will be stranged interest on a daily basis on all debit belances that you owe to ETS, the applicable interest rate for a feet of the purpose of purchasing, carrying, or trading the prevaling basis of the supplicable interest rate for a solid process. Except as otherwise agreed by you and ETS, the applicable interest rate for a subject to change without notice during each period the secondance of the determined by your average of ally debit accoptable. About a stated the expension of the secondance with fluctuations in your average daily debit between and changes to the base rate of the stated threat rate of the subject to change without notice during each period in base in the rederest for ETS. For more information on thow ETS calculates interest tale account and about a statement is a combined to any other accounts. If you have a margin account, this statement is a combined control of the separate account and special memoratum account. The permanent record of the separate account and special memoratum account. The permanent record of the separate account and special memoratum account. The permanent is a combined as available for your imaperonon.

Payment for Order Flow. The SEC (as well as FINRA) requires that all broker-dealers inform their customers when a new account is opened, and on an annual basis thereafter, or payment for order flow profession received to placing orders through a specialists on national securities exclanges, over-the-counter market malkers, alternative profession consistent with the overnding training systems, and ECN's (collediver). Trailes contain market centers ETS received trailers are contained as the contained to the counter order to various market centers. ETS receives principle of best execution, ETS routes orders to various market centers. ETS receives tentunes allowed to controlled the counter of the counter o วดยและละ รูแรบดิลเมลมละ

SIPC and other Insurance Coverage. ETS is a member of the Securities Investor Protection Corporation ("SIPC"). SIPC currently protects the seaset in each of your securities accounts at ETS up to \$500,000 (including \$250,000 for claims for cash). Visit wave-specing or cash (\$202) 371-8300 for marker mutual fund belances are not screen once this money marker mutual fund belances are not considered to be securities.) Additional protection for ETS considered to the securities.) Additional protection for ETS considered to the securities.) Additional protection for ETS be found at https://us.etsac.on/customer-service/fag. The market risks associated with investing and any teaching state consideration account.

interest/Dividends. We are required by taw to report annually to you and to the Internal Revenue Service on Form 1099 any taxas withheld. The year-to-date figures shown on credited to you account, as well as any taxes withheld. The best of our current knowledge. Your statement reflect these amounts classified to the best of our current knowledge. However, some payments are subject to reclassification, which will be reflected on subsequent statements if we are subject to reclassification, which will be reflected on subsequent statements if we are advised oil them prior to the end of the calendar year.

Securities Pricing. The amounts printed in the total market value column of the Account Holdings abedion, or any amounts puritied heritary the based on U.S., month and price as and services actions to any amounts derived therefore, rate based on the remaining and use in your accounts, horises of municipal bonds, certain over-the-counter securities, and used are based on the last reported its nascifion known to the quotation services or the last reported its nascifion known to the quotation services or the waste as the last reported its nascifion known to the quotation services or the last reported its nascifion known to the quotation services or the last reported its nascifion known to the quotation services or the last reported its nascifion known to the quotation services or the last reported its nascification to the quotation accounts to the last reported its nascification to the quotation of the last reported its nascification to the properties of the last reported its nascification to the control of the last reported its nascification to the last reported its nascification to the last reported its nascification to the last reported its nascification to the control of the last reported its nascification to the last reported its nascification to the last reported its nascification to the control of the last reported in the last reported its nascification to the last reported its nascification to the last reported its nascification to the last reported its nascification to the last reported its nascification to the last reported its nascification to the last reported its nascification to the last reported its nascification to the last reported its nascification to the last reported its nascification to the last reported its nascification to the last reported its nascification to the last reported its nascification to the last reported its nascification to the last reported its nascification to the last reported its national to the last reported its national to the last reported its national to the last report

Applicable Rules and Regulations. All transcitions in your account shall be subject be fulles and Regulations, customs, and usages of the exchange subject to the constitution, rules, regulations, customs, and usages of the VETS on market, and its cleating house, where the transactions are executed by ETS of agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities of contract of the Exchange Commission. For Securities and Exchange Commission (FECT), the Board of Oovernors of the Federal Reserve System, and any applicable seal regulation organization. For information should Think's Broket Check Program, including an investor brochure, pleasecontact FINRA at 1-800-

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Symbol/CUSIP. The symbol or identification number for each security.

Activity/ rade Date. Trade date or transaction date of other entries.
Total Portfolio Percent. Percentage of your holding by issue of security.
Div/CPN% Vield. Annual dividend or bond % yeld.
Open Orders. Buy or sell orders for securities that have not yet been executed or canceled.

ETS is an indirect subsidiary of E\*TRADE Financial Corporation. If you have a complaint, please call 1-800-ETRADE1, or write to: E\*TRADE Securities LLC, P.O. Box 484, Jersey City, NJ 07303-0484.

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notal Statement. A financial statement of ETS is available for your inspection at fices or at etrade.com or will be mailed to you upon your written request.

Random Allocation of Options hashing Notices. Assignment notices for short control and options and options are contacts are afformed and an anong customer short option option options as foreign and a foreign method. A definited description of ETS random allocation method is available at etrade.com and a hard copy of the allocation procedures is available at entrade.com and a hard copy of the allocation procedures is available to entrade.

Options Thading. If you are approved for options trading, you are responsible for advance ETS of any material foranges in your wirewstern of other charges invantations and other charges related to the security of option transactions has been included in the confirmations of such included in the confirmations of such increascitions previously provided to you. Such information will also be made available promptly upon request.

Sweep Programs. You may have the option to have free credit balances in your securities account automatically transferred to either: 1) a money market multial fund securities account automatically transferred to either: 1) an account at a bank (or banks, or solicitively, "Program Banks") whose deposits are insured by the FDIC (collectively with the money market multial fund products. "Sweep Program") but which are not into products available through the Sweep Program go to have a products available through the Sweep Program go to make the change at any time. Additionally, you may at any time change your estection may at any time change your estection as to the products available in the Sweep Program. You may all any selection may at any time change your estection as to be products available in the Sweep Program Schould or without limitation, program as to be products available in the Sweep Program. You may all any selection program why change at any time. Additionally, you may at any time change your estection as a second or set only a products available in the Sweep Program Schould in which you may select to any second account any selection and the proceed returned, as applicable, to the second tenarish of seven days notice of the money market mutual time in which you have a beneficial parking level which the proceeds returned, as applicable, to the secunities account in the proceed or the proceeds returned, as applicable, to the secunities account in serving to the proceeds returned, as applicable, to the secunities account in the proceed and the proceeds returned in which you have a beneficial or serving to the proceeds returned any secunities of the money market mutual time in which you have a condition or the proceed or the money market mutual time the credit balance in you are responsible tor moriting trace in the excepting in the expecting the same capacity at any Program Bank in the expecting the proceed or the proceed or the mutual time.

ETS directly by you. Inquiries concerning the positions and balances in your account may be directed to ETS at 1-800-503-9260. All other inquiries reconfirm any oral communication in writing to the continum and oral communication in writing to the continum and oral communication in writing to the continum and oral communication in writing to the continum and oral communication in writing to the continum and oral communication in writing to the continum and oral communication in writing to the continum and oral communication in writing to the continum and oral communication in writing to the continum and oral communication in writing to the continum and oral communication in writing to the continum and provided Securities products and services are offered by ETS, Member FINHA/SIPC. Your account is carried by ETS, Member FINHA/SIPC, which maintains your funds and securities deposited with

We will investigate your comptaint and will correct any error promptly, if we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

3. Tet us the dollar amount of the suspected error.

5. Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe there is an error or why you need more information.

Please refer to the E\*TRADE Securities LLC (\*ETS\*) Brokerage Customer Agreement (the \*Customer Agreement\*) for a complete discussion of the terms and conditions governing your account. If you have questions regarding the Customer Agreement or your account, please e-mail us through etrade.com or call 1-800-ETRADE. THE INFORMATION CONTAINED IN ACCOUNTY STATEMENT SARETE BE BINDING UPON YOU IF YOU DO NOT DEBCET, ETRADELED IN WHITHING OR VIR ELECTRADE. MINIMATIVE (5) DAYS AFTER THE ACCOUNTY STATEMENT IS FIRST RECEIVED BY YOU. In case of errors or questions about your electronic iteraters please elephone us at 1-800-ETRADE. I immediately ownite us at 1-800-ETRADE. I immediately ownite us at 1-800-ETRADE. I immediately ownite us at 1-800-ETRADE. I immediately ownite us at 1-800-ETRADE. I immediately ownite us at 1-800-ETRADE. I immediately ownite us at 1-800-ETRADE. I immediately ownite us at 1-800-ETRADE. I in the statement or receipt is wrong or if you need more information about a transfer on the statement or exemply on which the error or the problem appeared. When you contact us, you must:

1. Tell us your name, account number, and explain as obstrain as clearly as you can why you believe there is an error or why you need more information.

2. Describe the error or the transfer about, and explain as clearly as you can why you need more information.

9 10 / 86 1 Attachment 3

## E\*TRADE

### **EXTRADE** Securities

Investment Account

Account Number:

Statement Period: November 1, 2017 - December 31, 2017

Account Type: INDIVIDUAL

### Customer Update:

All your tax info in one place Forms 1099 for 2017, FAQs, key deadlines, cost basis info, and more-find them all in our Tax Center at etrade.com/tax.

### **ACCOUNT OVERVIEW**



For current rates, please visit etrade.com/rates

Ending Account Value (On 12/31/17): Beginning Account Value (On 10/31/17):

1,750.25 1,745.65

Net Change:

# ASSET ALLOCATION (AS OF 12/31/17)



92.27% - Stocks, Options & ETF (Long)

## **ACCOUNT VALUE SUMMARY**

| Net Account Value | Stocks, Options & ETF (Long) Total Value of Securities | Cash & Equivalents<br>Total Cash/Margin Debt |  |
|-------------------|--|--|--|
| ₩                 | ₩₩   | es es  |  |
| 1,750.25          | 1,615.00<br>1, <b>615.00</b>                           | 135.25<br><b>135.25</b>                      |  |
| ₩                 | <del>69 69</del>                                       | <del>↔ ↔</del>                               |  |
| 1,745.65          | 1,610.40<br>1,610.40                                   | 135.25<br><b>135.25</b>                      |  |
| 0.26%             | 0.29%<br><b>0.29</b> %                                 | 0.00%<br><b>0.00</b> %                       |  |

to investment risk, including possible loss of the principal invested. not FDIC-insured, are not guaranteed deposits or obligations of E\*TRADE Bank, and are subject \$250,000. Securities products and cash balances other than Sweep Deposit Account funds are Member FDIC. Sweep deposit accounts at each bank are FDIC-insured up to a maximum of Sweep Deposit Account is a bank deposit account with E\*TRADE Bank, a Federal savings bank, Securities products and services are offered by E\*TRADE Securities LLC, Member FINRA/SIPC.

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PAGE 3 OF 6

Attachning

## EXTRADE:

### **EXTRADE** Securities

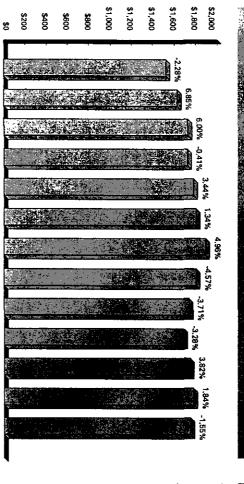
**Investment Account** 

Account Number:

Statement Period: November 1, 2017 - December 31, 2017

Account Type: INDIVIDUAL

# **NET ACCOUNT VALUE BY MONTH END**



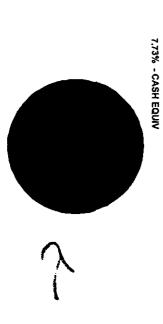
# **ACCOUNT TRANSACTION SUMMARY**

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| A STATE OF S |

| Taxable        | <b>Dividends Received</b> |  |
|----------------|---------------------------|--|
| <del>49</del>  |                           |  |
| 0.00           |                           |  |
| <del>(/)</del> |                           |  |
| 35,60          |                           |  |

# TOP 10 ACCOUNT HOLDINGS (AS OF 12/31/17)

DEC-16 JAN-17 FEB-17 MAR-17 APR-17 MAY-17 JUN-17 JUL-17 AUG-17 SEP-17 OCT-17 NOV-17 DEC-17



I one stock

medironic

92,27% - MDT

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## E\*TRADE:

### **Investment Account** EXTRADE Securities



Account Number:

Statement Period: November 1, 2017 - December 31, 2017

Account Type: INDIVIDUAL

**ACCOUNT HOLDINGS** 

CASH & CASH EQUIVALENTS (7.73% of Holdings)

|          |         |  | \$37.00    | TOTAL ESTIMATED ACCOUNT HOLDINGS ANNUAL INCOME   |
|----------|---------|--|------------|--|
| 2.29%    | \$37.00 | 92.27%   | \$1,615.00 | TOTAL STOCKS, OPTIONS & ETF  |
|          |         | 1.00<br>2.00<br>2.00<br>2.00<br>2.00<br>2.00<br>2.00<br>2.00 |            | CON DIRONGORIO   |
|          |         |  |            | DESCRIPTION STREET STRE |
|          |         |  |            | STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (92.27% of Holdings)   |
| \$135.25 | 7.73%   |  |            | TOTAL CASH & CASH EQUIVALENTS  |

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PAGE 5 OF 6

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PAGE 6 OF 6

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Attachment 3

## E\*TRADE

### **Investment Account**



Account Number:

Statement Period: April 1, 2018 - April 30, 2018

Account Type: INDIVIDUAL

### Customer Update:

secure your account. Visit the E\*TRADE Security Center at us.etrade.com/security-center to learn more about how we work to

## **ACCOUNT OVERVIEW**

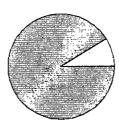
Last Statement Date: March 31, 2018 STATE OF STA

Net Change: Beginning Account Value (On 03/31/18): Ending Account Value (On 04/30/18): 1,756.25 1,748.85

For current rates, please visit etrade.com/rates

# ASSET ALLOCATION (AS OF 04/30/18)

8.75% - Cash & Equivalents



91.25% - Stocks, Options & ETF (Long)

## **ACCOUNT VALUE SUMMARY**

AS DE DATORIA

AS OF 03/31/18

| 0.42%                  | 1,748.85                     | ₩                | 1,756.25                    | 4          | Net Account Value                                      |
|------------------------|------------------------------|------------------|-----------------------------|------------|--|
| -0.11%<br>-0.11%       | 1,604.40<br>1, <b>604.40</b> | <del>69</del> 69 | 1,602.60<br><b>1,602.60</b> | <b>↔</b> ↔ | Stocks, Options & ETF (Long) Total Value of Securities |
| 6.37%<br><b>6.37</b> % | 144.45<br><b>144.45</b>      | <b>↔ ↔</b>       | 153.65<br><b>153.65</b>     | ₩₩         | Cash & Equivalents Total Cash/Margin Debt              |
|                        |                              |                  |                             |            |  |

\$250,000. Securities products and cash balances other than Sweep Deposit Account funds are to investment risk, including possible loss of the principal invested. not FDIC-insured, are not guaranteed deposits or obligations of E\*TRADE Bank, and are subject Sweep Deposit Account is a bank deposit account with E\*TRADE Bank, a Federal savings bank, Securities products and services are offered by E\*TRADE Securities LLC, Member FINRA/SIPC Member FDIC. Sweep deposit accounts at each bank are FDIC-insured up to a maximum of

## EXTRADE:

### EXTRADE Securities Investment Account



Account Number:

Statement Period: April 1, 2018 - April 30, 2018

Account Type: INDIVIDUAL

### **ACCOUNT HOLDINGS**

# CASH & CASH EQUIVALENTS (8.75% of Holdings)

| m  | Opening Balance   | TÜ:                                      | 0                                      |
|--|---|--|--|
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| Average Balance Average Balance Sweep Deposit Account Balance by Balting of April 30, 2018 | G Z   | Extended Insurance Sweep Deposit Account | Ω                                      |
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| 147  |   |  | <b>DW</b>                              |
| 147.4  |   |  | AMOUN                                  |
| 147.42   | 14.45<br>80.00  |  | MOUNT                                  |

Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC-insured up to an aggregate of at least \$1,250,000. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit www.etrade.com/esdaagreement or call us at 1-800-ETRADE-1

8.75%

\$153.65

153,65

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (91.25% of Holdings)

TOTAL CASH & CASH EQUIVALENTS

**ETRADE BANK** 

| 5 5  | <b>78</b> €                         | 8  |
|--|-------------------------------------|--|
| TOTAL PRICED PORTFOLIO HOLDINGS (ON 04/30/18) TOTAL ESTIMATED ACCOUNT HOLDINGS ANNUAL INCOME | COM MDI TOTAL STOCKS, OPTIONS & ETF | 9  |
| RICE   | TOC                                 | ₽ 2  |
| D PO   | KS, C                               |  |
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| \$1,750<br>\$37  | 1,602.60<br>\$1,602.60              | SŽ   |
| 56.25<br>37.00   | 260                                 | 듀축   |
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|  | 91                                  | 8  |
|  | 91.25<br>91.25%                     | Z Z  |
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|  | 6                                   | 25   |
|  | 37.00<br>\$37.00                    | 88   |
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|  | 3                                   | #<br>₩ ≥   |
|  | 231%<br>2.31%                       | 5€   |
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### TRANSACTION HISTORY

## **DIVIDENDS & INTEREST ACTIVITY**

|  |  | Cren r W        |          |           | _   |
|--|--|-----------------|----------|-----------|-----|
| Z  | <b>TOTAL DIVIDENDS &amp; INTEREST ACTIVITY</b> |                 |          | 9         | 12  |
| <b>NET DIVIDENDS &amp; INTEREST ACTIVITY</b> | 3  |                 |          | 5         |     |
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### **Investment Account EXTRADE** Securities



Account Number:

Statement Period: April 1, 2018 - April 30, 2018

Account Type: INDIVIDUAL

Under the Extended Insurance Sweep Deposit Account (ESDA) ACTIVITY (U.UIW) ATTIVITY ATTIVITY AND INTERPRETATION ON Program bank to another on a Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC-insured up to an aggregate of at least \$1,250,000. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit www.etrade.com/esdaagreement or call us at 1-800-ETRADE-1 (1-800-387-2331).

TRANSACTION ANNOYATION AND ANNOYATION ANNOYATION AND ANNOYATION ANNOYATION AND AND ANNOYATION AND ANNOYATION AND ANNOYATION AND ANNOYATION AND AND ANNOYATION AND ANNOYATION AND ANNOYATION AND ANNOYATION AND AND ANNOYATION AND ANNOYATION AND ANNOYATION AND ANNOYATION AND ANNOYATION AND ANNOYATION AND ANNOYATION AND ANNOYATION AND AN

| \$153.65      | CLOSING BALANCE                              |                 | 04/30/18 |
|---------------|--|-----------------|----------|
| 9.20          | it EXTND INS SWEEP ACCT(FDIC-INS)            | Deposit         | 04/16/18 |
| \$144.45      | OPENING BALANCE                              |                 | 04/01/18 |
| ACTON/AMPUNIT | N. L. C. C. C. C. C. C. C. C. C. C. C. C. C. | TRANSACTION TYP | DATE     |



### STATE OF FLORIDA DEFERRED COMPENSATION PLAN PO BOX 182797 COLUMBUS OH 43218-2797



Acct#: October 1, 2017 - December 31, 2017

### KRISTEN E CARLSON

Please review your statement carefully. Corrections may not be accepted more than 45 days after the closing date of the statement.

### Questions? Contact Us!

**CUSTOMER SERVICE: (800)949-4457** 

(ESPANOL):

(800)949-4457

TTY:

(800)949-4457 Website: www.nrsflorida.com

Write us at: NATIONWIDE RETIREMENT

SOLUTIONS

PO BOX 182797

COLUMBUS OH 43218-2797

Nationwide Investment Services Corporation, member FINRA, In Michigan Only: Nationwide Investment Svcs. Corporation

### Activity at a Glance

Balance as of 10-01-17 Contributions/Transfers In\* Interest/Dividend/Cap Gain/Reimb Gain/Loss Withdrawais/Transfers Out\*\* Charges/Fees Balance as of 12-31-17

457(b) Plan \$118,270.80 \$0.00 \$6,508,22 \$2,716.63 \$0.00 \$120,256,99

### Total Gain Loss Since Joining

| Plan Type   |
|-------------|
|             |
| 457(b) Plan |

Contributions Since Joining/

Withdrawals/Transfers Out/Loan Disbursement

Gain/Loss/Fees

Total Balance as of 12-31-17

Transfers In/Loan Payment \$55,653.00 ^

-\$13,221.60

\$77,825.59

\$120,256.99

### Contributions and Distributions Summary - October 1, 2017 to December 31, 2017

|             | Last          | Contributions | Contributions | Last         | Distributions |
|-------------|---------------|---------------|---------------|--------------|---------------|
|             | Contribution  | This          | Year          | Distribution | Year          |
|             | <u>Amount</u> | <u>Period</u> | to Date       | Amount       | to Date       |
| 457(b) Plan |               | \$0.00        | \$0.00        | -\$601.80    | (-\$7,221.60  |
| • •         |               |               |               | \ ~          |               |
|             |               |               |               |              |               |

### Your Asset Allocation - October 1, 2017 to December 31, 2017

To the right is your Account Allocation. Your Account Allocation is how the money in your account is divided among the investment options you have selected.

For more information on contribution and account allocation, log on to WWW.NRSFLORIDA.COM

\*Percentages and totals may not be exact due to rounding.

### Your Account Allocation



### **Investment Type**

| E. | 41%  | Large-Cap Stocks     | \$48,781.42  |
|----|------|----------------------|--------------|
|    | 20%  | Fixed Assets/Cash    | \$24,600.03  |
|    | 16%  | International Stocks | \$19,528.38  |
|    | 12%  | Mid-Cap Stocks       | \$13,874.79  |
|    |      | Small-Cap Stocks     | \$13,472.37  |
|    | 100% | Total Percent/Value  | \$120,256.99 |

<sup>\*</sup>Including, but not limited to, Employee and Employer Contributions, Rollovers and Transfers In.

<sup>\*\*</sup>Including, but not limited to, Rollovers and Transfers Out, Partial and Lump Sum Payments.

<sup>&</sup>quot;Since Joining" refers to the period of time your account has been administered by Nationwide. If applicable, the above data is inclusive of Self Directed Option balance. For more information about asset fees, contact your customer service center.

### Investment Option Summary - October 1, 2017 to December 31, 2017

Ending Price is as of 12/31/2017

| 100.00%  |   |   | \$120,256,99  |
|----------|---|---|---|
| 25.00%   |   |   | \$24,600.03   |
| 25.00%   |   |   | \$24,600.03   |
|          |   |   |   |
| 25.00%   |   |   | \$48,781.42   |
|          | 26.9700   | 1,236.6703  | \$33,353.00   |
|          |   |   | \$15,428.42   |
|          | 4 /   |   | #15 180 IO  |
| 25,00%   |   |   | \$13,874.79   |
|          | 39.8200   | 348.4376  | \$13,874.79   |
|          |   |   |   |
| 0.00%    |   |   | \$19,528.38   |
| 0.00%    | 28.5700   | 683.5275  | \$19,528.38   |
|          |   |   |   |
| 25,00%   |   |   | \$13,472.37   |
| 25.00%   | 57.6500   | 233.6924  | \$13,472.37   |
|          |   | <b>***</b> *********************************  | A. 海绵珠桃湖 北人鄉族。  |
| Election | Ending Price  |   | and the same of the same  |
|          | - " -   | 11 2 (6)  | 1.0   |
|          | 25,00%  0.00%  25.00%  25.00%  25.00%  25.00%  25.00%  25.00% | 25.00% 25.00%  0.00% 28.5700  0.00%  25.00%  39.8200  25.00%  122.4700 0.00% 25.00%  25.00%  25.00% | 25.00% 57.6500 233.6924 25.00% 28.5700 683.5275 0.00% 39.8200 348.4376 25.00% 122.4700 125.9771 0.00% 26.9700 1,236.6703 25.00% |

<sup>\*</sup> Fund is valued in shares rather than units

Your Account Values by Investment Option - October 1, 2017 to December 31, 2017

| 457(b) 8alary Reduction (8050915001)        | Beginning<br><u>Balance</u> | Contributions/<br>Transfers In | Exchanges        | Withdrawals/<br>Transfers Out | Charges/<br>Credits/Fees | Interest/<br>Dividend/<br>Cap Gain/<br>Reimb | Gain/Loss                      | Ending<br><u>Balance</u>   |
|---|-----------------------------|--------------------------------|------------------|-------------------------------|--------------------------|--|--------------------------------|----------------------------|
| Small-Cap Stocks *NeuBer Genesis Tr         | <b>\$</b> 13,435,84         | \$0.00                         | \$0.00           | \$0.00                        | \$0,00                   | \$1,628,43                                   | -\$1,591,90                    | <b>\$</b> 13,472,37        |
| Small-Cap Stocks Total                      | \$13,435.84                 | \$0.00                         | \$0.00           | \$0.00                        | \$0.00                   | \$1,628.43                                   | -\$1,591.90                    | \$13,472.37                |
| International Stocks                        | 412.275.12                  | 40.00                          |                  |                               | ****                     | 4570.00                                      | ****                           | #40 CD0 D0                 |
| *Okmrk Intl I<br>International Stocks Total | \$19,075.13<br>\$19,075.13  | \$0.00<br><b>\$0.00</b>        | \$0,00<br>\$6,00 | \$0.00<br>\$0.06              | \$0.00<br>\$0.00         | \$576.63<br><b>\$576.63</b>                  | -\$123.38<br>- <b>\$123.38</b> | \$19,528.38<br>\$19,528.38 |
| Mid-Cap Stocks                              |                             |                                |                  |                               |                          |  |                                |                            |
| *;PM MdCap Val I<br>Mid-Cap Stocke Total    | \$13,264.17<br>\$13,264.17  | \$0.00<br>\$0,00               | \$0,00<br>\$0,00 | \$0.00<br>\$0.00              | 00.00<br>00.02           | \$341.66<br>\$341.66                         | \$268,96<br>\$268,96           | \$13,874,79<br>\$13,874,79 |
|   | \$13,204.11                 | 40.00                          | \$0.00           | \$0.00                        | 40.00                    | 45-1.00                                      | <b>42.00.50</b>                | \$10,014.10                |
| Large-Cap Stocks *Fid Contra                | P44 463 76                  | \$0.00                         | 20.00            | \$0.00                        | \$0.00                   | \$820.09                                     | \$155.08                       | \$15,428,42                |
| *Invsco Gr Inc A                            | \$14,453.25<br>\$31,858.34  | \$0.00                         | \$0.00<br>\$0.00 | \$0.00                        | \$0.00                   | \$3,141,41                                   | -\$1,646,75                    | \$33,353.00                |
| Large-Cap Stocks Total                      | \$46,311.59                 | \$0.00                         | \$0.00           | \$0.00                        | \$0.00                   | \$3,961.50                                   | -\$1,491.67                    | \$48,781.42                |
| Fixed Assets/Cash                           |                             |                                |                  |                               |                          |  |                                |                            |
| Nrs Florida Fixed Fund                      | \$26,184.07                 | \$0.00                         | \$0.00           | \$1,805.40                    | \$0.00                   | \$0.00                                       | \$221.36                       | \$24,600.03                |
| Fixed Assats/Cash Total                     | \$26,184.07                 | \$0.00                         | \$0.00           | -\$1,805.40                   | \$0.00                   | \$0.00                                       | \$221.36                       | \$24,600.03                |
| TOTAL                                       | \$118,270.80                | \$0.00                         | \$0.00           | -\$1,805.40                   | \$0.00                   | \$6,508.22                                   | -\$2,716.63                    | \$128,256.99               |

<sup>\*</sup> Fund is valued in shares rather than units

Gain/Loss is the difference between the account balance on the first and last day of the period, after allowing for the effects of transactions.

### Transaction Details - October 1, 2017 to December 31, 2017

|            |            |               |             |               |                        |              |              | Amount      |
|------------|------------|---------------|-------------|---------------|------------------------|--------------|--------------|-------------|
| Pay Period | Effective  | Total         | Transaction | Money         | Investment             |              |              | Per         |
| End Date   | Date       | <u>Amount</u> | Type        | Source        | <u>Option</u>          | <u>Price</u> | Units/Shares | <u>Fund</u> |
|            | 10/23/2017 | -\$601.80     | Withdrawal  | 457(b) Salary | Nrs Florida Fixed Fund |              |              | -\$601.80   |
|            |            |               |             | Reduction     |                        |              |              |             |



### STATE OF FLORIDA DEFERRED COMPENSATION PLAN PO BOX 182797 COLUMBUS OH 43218-2797

Attachment

Nationwide'

January 1, 2018 - March 31, 2018

### KRISTEN E CARLSON

LAKELAND FL 33803-2601

Please review your statement carefully. Corrections may not be accepted more than 45 days after the closing date of the statement.

### Questions? Contact Us!

**CUSTOMER SERVICE: (800)949-4457** 

(ESPANOL): (800)949-4457

TTY: (800)949-4457

Website: www.nrsflorida.com

Write us at: NATIONWIDE RETIREMENT

**SOLUTIONS** 

PO BOX 182797

COLUMBUS OH 43218-2797

Nationwide Investment Services Corporation, member FINRA, In Michigan Only: Nationwide Investment Svcs. Corporation

### **Activity at a Glance**

Balance as of 01-01-18 Contributions/Transfers In\* Interest/Dividend/Cap Gain/Reimb Gain/Loss Withdrawals/Transfers Out\*\* Charges/Fees Balance as of 03-31-18

457(b) Plan \$120,256,99 \$0.00 \$339.06 \$549.85 \$1,841.52 \$0.00 8,204,68

### **Total Gain Loss Since Joining**

Plan Type 457(b) Plan Contributions Since Joining/ Transfers In/Loan Payment \$55,653.00

Withdrawals/Transfers Out/Loan Disbursement

-\$15,063.12

Gain/Loss/Fees

Total Balance as

\$77,614.80

of 03-31-18 \$118,204,68

### Contributions and Distributions Summary - January 1, 2018 to March 31, 2018

|             |               |               | <u>,                                    </u> |              | - <del></del> |
|-------------|---------------|---------------|--|--------------|---------------|
|             | Last          | Contributions | Contributions                                | Last         | Distributions |
|             | Contribution  | This          | Year   | Distribution | Year          |
|             | <u>Amount</u> | <u>Period</u> | to Date                                      | Amount       | to Date       |
| 457(b) Plan | <del>-</del>  | \$0.00        | \$0.00                                       | -\$613.84    | \$1,841.52    |

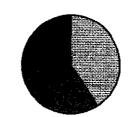
### Your Asset Allocation - January 1, 2018 to March 31, 2018

To the right is your Account Allocation. Your Account Allocation is how the money in your account is divided among the investment options you have selected.

For more information on contribution and account allocation, log on to WWW.NRSFLORIDA.COM

\*Percentages and totals may not be exact due to rounding.

### Your Account Allocation



### investment Type

|                              | 12% Mid-Cap Stocks 100% Total Percent/Value | \$13,630.88<br>\$118,204.68 |
|------------------------------|---|-----------------------------|
|                              |   |                             |
|                              | 12% Small-Cap Stocks                        | \$14,286.94                 |
|                              | 16% International Stocks                    | \$18,988.39                 |
|                              | 19% Fixed Assets/Cash                       | \$22,961.62                 |
| 2250<br>2250<br>2750<br>2750 | 41% Large-Cap Stocks                        | \$48,336.85                 |

<sup>\*</sup>Including, but not limited to, Employee and Employer Contributions, Rollovers and Transfers In. \*\*Including, but not limited to, Rollovers and Transfers Out, Partial and Lump Sum Payments.

<sup>&</sup>quot;Since Joining" refers to the period of time your account has been administered by Nationwide. If applicable, the above data is inclusive of Self Directed Option balance. For more information about asset fees, contact your customer service center.

### Investment Option Summary - January 1, 2018 to March 31. 2019

Ending Price is as of 3/31/2018

| nvestment Option                             | Election                | Ending Price        | Units/Shares           | Value                                  |
|--|-------------------------|---------------------|------------------------|--|
| 457(b) Salary Reduction<br>Small-Cap Stocks  |                         |                     |                        |  |
| "NeuBer Genesis Tr<br>Small-Cap Stocks Total | 25.00%<br>25.00%        | 58.0200             | 246.2417               | \$14,286.01<br><b>\$14,286.94</b>      |
| International Stocks                         |                         | . 27 7800           | 683,5275               | \$18,988.39                            |
| *Okmrk Inti I<br>International Slocks Total  | 0.00%<br>0.90%          | 21.7600             | 663.3273               | \$18,988.39                            |
| Mid-Cap Stocks                               |                         | 00.4000             | 040 4070               | \$40 E90 BB                            |
| *JPM MdCap Val I<br>Mid-Cap Stocks Total     | 25.00%<br><b>25.00%</b> | 39.1200             | 348.4376               | \$13,630.88<br><b>\$13,630.88</b>      |
| Large-Cap Stocks                             |                         | 404 6700            | 407 0000               | * ** * * * * * * * * * * * * * * * * * |
| *Fid Contra *Invaco Gr Inc A                 | 25.90%<br>0.00%         | 124.2700<br>26.1500 | 127.8932<br>1,240.5716 | \$15,893,29<br>\$32,443.56             |
| Large-Cap Stocks Total                       | 25.00%                  |                     |                        | \$48,336.85                            |
| Fixed Assets/Cash Nrs Florida Fixed Fund     | 25.00%                  |                     |                        | <b>\$22,96</b> 1.62                    |
| Fixed Assets/Cash Total                      | 25.00%                  |                     |                        | \$22,961.62                            |
| TOTAL  | 100,00%                 |                     |                        | \$118.204.60                           |

<sup>\*</sup> Fund is valued in shares rather than units

Your Account Values by Investment Option - January 1, 2018 to March 31, 2018

| 457/b) Sulary Reduction (9032915901)  | Beginning<br>Balance                      | Contributions/<br>Transfers in | Exchanges                  | Withdrawals/<br>Transfers Out      | Charges/<br>Credits/Fees   | Interesti<br>Dividendi<br>Cap Gain/<br><u>Raimb</u> | Gain/Lose                            | Ending<br>Balance                         |
|---|---|--------------------------------|----------------------------|------------------------------------|----------------------------|---|--------------------------------------|---|
| Smull-Cap Stocks *NeuBor Gameis Tr<br>Smull-Cap Stocks Total                | \$13,472.37<br>\$13,472.37                | \$0,00<br>\$8.66               | \$0.00<br>\$8.66           | \$0.00                             | \$0.00<br>\$0.00           | \$0.00<br>\$9.00                                    | \$814,57<br>\$814.57                 | \$14,286.94<br><b>\$14,286.9</b> 4        |
| international Stocks "Okrafk Irili I<br>International Stocks Total          | \$19,525.38<br>\$19,528.38                | \$0,00<br>\$9,90               | \$0.00<br>\$8.66           | \$0,00<br>\$6.00                   | \$0,00<br>\$0,00           | \$0.00<br>\$0.00                                    | -\$530.00<br>-\$538.99               | \$18,968.39<br>\$18,968.39                |
| Mid-Cap Stocks<br>"JPM MdCap Val i<br>Mid-Cap Stocks Total                  | \$13,874.79<br>\$13,874.79                | \$0.00<br>\$6.60               | \$0,00<br>\$0.00           | \$0,00<br>\$0.00                   | \$0.00<br>\$0.00           | <b>20,00</b>  | -\$243,91<br><b>-\$243,91</b>        | \$13,630,88<br>\$13,638.88                |
| Large-Cap Stocks "Fid Cortins "Invect Gr Inc A Large-Cap Stocks Total       | \$15,428.42<br>\$33,353.00<br>\$46,781.42 | \$0,00<br>\$0.00<br>\$0.00     | \$0.00<br>\$0.00<br>\$0.00 | \$0.00<br>\$0.00<br>\$0.00         | \$0,00<br>\$0.00<br>\$0.00 | \$234.07<br>\$104.99<br>\$339.66                    | \$230.60<br>-\$1,014.43<br>-\$783.63 | \$15,893.29<br>\$32,443.56<br>\$48,336.85 |
| Fixed AssessarCosin<br>Nrs Florida Fixed Fixed<br>Fixed AssessarCosts Total | \$24,600.03<br>\$24,600.03                | \$0.00<br>\$0.00               | \$0.00<br>\$8.60           | -\$1,841,52<br>- <b>\$1,841.52</b> | \$0.00<br>\$8.00           | \$0,00<br>\$8,60                                    | \$203.11<br>\$293.11                 | \$22,961.62<br>\$22,961.62                |
| TOTAL   | \$120,250.99                              | \$8.00                         | \$0.00                     | -\$1,841.52                        | \$0.00                     | \$339.00  | -8549.85                             | \$118,204.66                              |

<sup>\*</sup> Fund is valued in shares rather than units.

Gain/Loss is the difference between the account balance on the first and last day of the period, after allowing for the effects of transactions.

### Transaction Details - January 1, 2018 to March 31, 2018

|                        |                                       |                                     |                                    |   | <del></del>   | <del></del> |       | <del></del>  | Amount                          |
|------------------------|---------------------------------------|-------------------------------------|------------------------------------|---|---|-------------|-------|--------------|---------------------------------|
| Pay Period<br>End Date | Effective<br><u>Date</u><br>1/23/2018 | Total<br><u>Amount</u><br>-\$613.84 | Transaction <u>Type</u> Withdrawal | Money<br><u>Source</u><br>457(b) Salary | Investment<br><u>Option</u><br>Nrs Florida Fixed Fund |             | Price | Units/Shares | Per<br><u>Fund</u><br>-\$613.84 |

### Transaction Details (continued)

| Pay Period<br>End Date | Effective<br><u>Date</u><br>2/9/2018 | Total<br>Amount<br>\$234.07 | Transaction <u>Type</u> Capital Gain | Money<br><u>Source</u><br>457(b) Salary<br>Reduction | Investment<br><u>Option</u><br>Fid Contra | <u>Price</u><br>122.1600 | Units/Shares<br>1.9161 | Amount<br>Per<br><u>Fund</u><br>\$234.07 |
|------------------------|--------------------------------------|-----------------------------|--------------------------------------|--|---|--------------------------|------------------------|--|
|                        | 2/23/2018                            | <b>-\$</b> 613.84           | Withdrawal                           | 457(b) Salary<br>Reduction                           | Nrs Florida Fixed Fund                    |                          |                        | <b>-\$6</b> 13.84                        |
|                        | 3/22/2018                            | \$104.99                    | Dividend                             | 457(b) Salary<br>Reduction                           | Invaco Gr Inc A                           | 26.2400                  | 4.0013                 | \$104.99                                 |
|                        | 3/23/2018                            | <b>-\$</b> 613.84           | Withdrawal                           | 457(b) Salary<br>Reduction                           | Nrs Florida Fixed Fund                    |                          |                        | <b>-\$</b> 613.84                        |
|                        | 3/28/2018                            | \$716.69                    | Unit Adjustment                      | 457(b) Salary<br>Reduction                           | NeuBer Genesis Tr                         | 57.1100                  | 12,5493                | \$716.69                                 |

### Fixed Account/Cash

457(b) Plan

| Investment                             | <u>Yield</u> |
|--|--------------|
| NW BANK LIQUID SAVINGS                 | 2.11%        |
| Nationwide Bank 1-Year CD 2nd Qtr 2018 | 2.39%        |
| Nationwide Bank 3-Year CD 2nd Qtr 2018 | 2.78%        |
| Nationwide Bank 5-Year CD 2nd Qtr 2018 | 2.98%        |

### **IMPORTANT DISCLOSURES**

1st Qtr. Yield = 3.50%; 2nd Qtr. Yield = 3.50%; Floor through 2018 = 3.50% All bi-weekly deferrals applied to accounts effective March 29th will be displayed on the next quarterly statement. You may also check the website www.nrsflorida.com to confirm your up-to-date transaction history information.

Delaware Life Insurance Company P.O. Box 758581 Topeka, KS 66675-8581



KRISTEN ELIZABETH CARLSON

### T = 601

### **Quarterly Statement**

Your Representative KARIN DAY MCKNIGHT ALLEN & COMPANY OF FLORIDA INC 1401 S FLORIDA AVE LAKELAND FL 33803-2291

### **Contract Information**

Masters Choice Variable Annuity
As of December 31, 2017

Annuitant(s):

KRISTEN ELIZABETH CARLSON

Death Benefit:

\$194,248.63

Cash Surrender Value: \$194,248.63

Owner(s):

KRISTEN ELIZABETH

**CARLSON** 

Contract Number:

Contract Type:

IRA-SEP

Contract Issue Date: February 17, 2010

### **Contract Summary**

### October 1, 2017 through December 31, 2017

| Beginning Account Value  | \$191,130.35 |
|--------------------------|--------------|
| Purchase Payments        | \$0.00       |
| Payment Interest (PMI)   | \$0.00       |
| Earnings (Gain/Loss)     | \$3,766.43   |
| Fees <sup>1</sup>        | -\$648.15    |
| Withdrawals <sup>2</sup> | \$0.00       |
| Ending Account Value     | \$194,248.63 |

Please read this statement carefully. Any errors must be reported within 30 days.



<sup>&</sup>lt;sup>1</sup> Fees reflect the combined total of any applicable Annual Account Fees and Optional Benefit Fees.

<sup>&</sup>lt;sup>2</sup> Withdrawals include any applicable surrender charges and annual charge. This may not be the amount you received.



Contract Number:

Total:

### **Quarterly Statement**

### **Contract Portfolio Summary**

### As of December 31, 2017

| Account                                  | Units        | Unit Value  | Amount      | Percent of<br>Total* |
|--|--------------|-------------|-------------|----------------------|
| BlackRock Global Alloc V.I. Fund CL 3    | 1,137.342389 | \$17.092108 | \$19,439.58 | 10.01%               |
| Fidelity VIP Mid Cap Port Serv CL 2      | 449.241652   | \$21.662046 | \$9,731.49  | 5.01%                |
| First Eagle Overseas Variable Fund       | 612.130608   | \$16.018602 | \$9,805.48  | 5.05%                |
| Franklin Small Cap Value VIP Fund CL 2   | 241.052490   | \$40.053279 | \$9,654.94  | 4.97%                |
| MFS Global Tactical Allocation Port S CL | 2,875.513781 | \$13.503446 | \$38,829.35 | 19.99%               |
| MFS VIT I Value Series Service Class     | 508.771485   | \$19.054920 | \$9,694.60  | 4.99%                |

\$11.144601

\$12.971342

\*Percent may not be exact due to rounding.

\$58,325.70

\$38,767.49

\$194,248.63

30.03%

19.96%

100%

### **Contract Transaction History**

MFS VIT Total Return Bond Series SC

PIMCO VIT Global Multi Assets Man Alloc

### October 1, 2017 through December 31, 2017

5,233.539001

2,988.703333

| Date       | Description             | Investment Option                          | # of Units  | Unit Price  | Transaction<br>Amount |
|------------|-------------------------|--|-------------|-------------|-----------------------|
| 11/17/2017 | Optional Benefit<br>Fee | Franklin Small Cap Value VIP Fund CL<br>2  | (0.875518)  | \$38.879820 | (\$34.04)             |
|            |                         | BlackRock Global Alloc V.I. Fund CL 3      | (3.840536)  | \$16.802340 | (\$64.53)             |
|            |                         | PIMCO VIT Global Multi Assets Man<br>Alloc | (10.211690) | \$12.750092 | (\$130.20)            |
|            |                         | MFS VIT I Value Series Service Class       | (1.778556)  | \$18.239512 | (\$32.44)             |
|            |                         | Fidelity VIP Mid Cap Port Serv CL 2        | (1.600135)  | \$20.723248 | (\$33.16)             |
|            |                         | MFS VIT Total Return Bond Series SC        | (17.329739) | \$11.119035 | (\$192.69)            |
|            |                         | MFS Global Tactical Allocation Port S      | (9.636986)  | \$13.353759 | (\$128.69)            |
|            |                         | First Eagle Overseas Variable Fund         | (2.040248)  | \$15.880414 | (\$32.40)             |
|            |                         | Total                                      |             |             | (\$648.15)            |
|            |                         |  |             |             |                       |

Delaware Life Insurance Company A7
P.O. Box 758581

### ATTACHMENT 5



Contract Number:

P.O. Box 758581 Topeka, KS 66675-8581

**Quarterly Statement** 

### **Contract Transaction History (Continued)**

### October 1, 2017 through December 31, 2017

| Date       | Description    | Investment Option                          | # of Units  | Unit Price  | Transaction<br>Amount |
|------------|----------------|--|-------------|-------------|-----------------------|
| 12/18/2017 | Rebalance From | MFS VIT I Value Series Service Class       | (16.468745) | \$19.099816 | (\$314.55)            |
|            |                | Fidelity VIP Mid Cap Port Serv CL 2        | (23.397244) | \$21.630752 | (\$506.10)            |
|            |                | Franklin Small Cap Value VIP Fund CL<br>2  | (17.539968) | \$40.312500 | (\$707.08)            |
|            |                | PIMCO VIT Global Multi Assets Man<br>Alloc | (27.318330) | \$13.005553 | (\$355.29)            |
|            |                | Total                                      |             |             | (\$1,883.02)          |
| 12/18/2017 | Rebalance To   | BlackRock Global Alloc V.I. Fund CL 3      | 3.096331    | \$17.087966 | \$52.91               |
|            |                | First Eagle Overseas Variable Fund         | 9.462810    | \$15.874776 | \$150.22              |
|            |                | MFS Global Tactical Allocation Port S      | 29.273175   | \$13.517495 | \$395.70              |
|            |                | MFS VIT Total Return Bond Series SC        | 115.271470  | \$11.140570 | \$1,284.19            |
|            |                | Total                                      |             |             | \$1,883.02            |

### **Contract Living Benefit Summary**

For complete information regarding the features, benefits, fees and restrictions that apply to your living benefit rider, please refer to your contract and prospectus. Please contact our customer service center if you have additional questions or need further assistance.

Income Riser® (SIR)



Attachment 5

Delaware Life Insurance Company P.O. Box 758581 Topeka, KS 66675-8581



Contract Number:

**Quarterly Statement** 

### **Contract Death Benefit Summary**

The value(s) below may be subject to certain age restrictions and market fluctuations. In addition, any withdrawals will reduce the death benefit value.

Your death benefit value is based on the greatest of the following amounts:

Account Value \$194,248.63
Adjusted Purchase Payments \$149,717.90
Cash Surrender Value \$194,248.63

Death Benefit Value \$194,248.63

### Additional Information

Once per year, we will provide you with a breakdown of the Surrender Value which is the amount you would receive if you were to surrender this annuity.

As of the date of this statement, the Surrender Value is calculated as follows:

| Account Value           | <b>\$ 194,248.63</b> |
|-------------------------|----------------------|
| Surrender Charges       | \$ 0.00              |
| Account Fee             | \$ 0.00              |
| Market Value Adjustment | \$0.00               |
| Surrender Value         | <b>\$ 194,248.63</b> |

For a secure way of accessing your Contract, and tracking your investment you can log on to www.delawarelife.com. Our website offers on-line access to your Contract 24 hours a day. Manage your Contract, review current values, and download forms at your fingertips.

If you have any questions regarding this statement, please contact our Service Center at 1-877-253-2323. Our service representatives are available to assist you from 7:30 AM to 5:00 PM Central Time.

Issued by Delaware Life Insurance Company. Delaware Life Insurance Company provides this confirmation on behalf of the broker/dealer identified herein, which is acting as an agent of Delaware Life Insurance Company.

### **Quarterly Statement**

KRISTEN ELIZABETH CARLSON

LAKELAND FL 33803-2601

Your Representative

KARIN DAY MCKNIGHT ALLEN & COMPANY OF FLORIDA INC 1401 S FLORIDA AVE LAKELAND FL 33803-2291

### **Contract Information**

### Masters Choice Variable Annuity

As of March 31, 2018

Annuitant(s):

KRISTEN ELIZABETH

Owner(s):

KRISTEN ELIZABETH

**CARLSON** 

Death Benefit:

**CARLSON** \$190,652.15

Contract Number:

IRA-SEP

Cash Surrender Value: \$190,652.15

Contract Type:

Contract Issue Date: February 17, 2010

### **Contract Summary**

### January 1, 2018 through March 31, 2018

| Beginning Account Value  | <b>\$194,248.63</b>    |
|--------------------------|------------------------|
| Purchase Payments        | \$0.00                 |
| Payment Interest (PMI)   | \$0.00                 |
| Earnings (Gain/Loss)     | -\$2,948.33            |
| Fees 1                   | -\$ <del>64</del> 8.15 |
| Withdrawals <sup>2</sup> | \$0.00                 |
| Ending Account Value     | <b>\$190,652.15</b>    |

Please read this statement carefully. Any errors must be reported within 30 days.



<sup>&</sup>lt;sup>1</sup> Fees reflect the combined total of any applicable Annual Account Fees and Optional Benefit Fees.

<sup>&</sup>lt;sup>2</sup> Withdrawals include any applicable surrender charges and annual charge. This may not be the amount you received.



**Contract Number:** 

**Quarterly Statement** 

### **Contract Transaction History (Continued)**

### January 1, 2018 through March 31, 2018

| Transaction |                 |  |             |             |              |
|-------------|-----------------|--|-------------|-------------|--------------|
| Date        | Description     | Investment Option                          | # of Units  | Unit Price  | Amount       |
|             |                 | MFS Global Tactical Allocation Port S      | (15.187932) | \$13.498874 | (\$205.02)   |
|             |                 | MFS VIT I Value Series Service Class       | (1.732900)  | \$19.037442 | (\$32.99)    |
|             |                 | Franklin Small Cap Value VIP Fund CL 2     | (1.060634)  | \$40.221210 | (\$42.66)    |
|             |                 | Total                                      |             |             | (\$1,032.03) |
| 03/18/20    | 18 Rebalance To | MFS VIT Total Return Bond Series SC        | 88.429482   | \$10.881778 | \$962.27     |
|             |                 | PIMCO VIT Global Multi Assets Man<br>Alloc | 5.409686    | \$12.895386 | \$69.76      |
|             |                 | Total                                      |             |             | \$1,032.03   |





**Contract Number:** 

### **Quarterly Statement**

| Contract Portfolio Summary               | er (             |             |                    |           |
|--|------------------|-------------|--------------------|-----------|
| •  | f March 31, 2018 |             |                    | Percent   |
| Account                                  | units 🕯          | Unit Value  | Amount             | of Total* |
| BlackRock Global Alloc V.I. Fund CL 3    | 1,117.622665     | \$16.954722 | \$18,948.98        | 9.94%     |
| Fidelity VIP Mid Cap Port Serv CL 2      | 429.160658       | \$21.652468 | \$9,292.39         | 4.87%     |
| First Eagle Overseas Variable Fund       | 606.225447       | \$15.792297 | <b>\$</b> 9,573.69 | 5.02%     |
| Franklin Small Cap Value VIP Fund CL 2   | 239.183612       | \$39.133239 | \$9,360.03         | 4.91%     |
| MFS Global Tactical Allocation Port S CL | 2,850.683682     | \$13.441381 | \$38,317.13        | 20.10%    |
| MFS VIT I Value Series Service Class     | 505.332835       | \$18.381341 | \$9,288.70         | 4.87%     |
| MFS VIT Total Return Bond Series SC      | 5,304.420732     | \$10.919279 | \$57,920.45        | 30.38%    |
| PIMCO VIT Global Multi Assets Man Alloc  | 2,984.091867     | \$12.717699 | \$37,950.78        | 19.91%    |
| Total:                                   |                  |             | \$190,652.15       | 100%      |

\*Percent may not be exact due to rounding.

### **Contract Transaction History**

| January 1, 2018 | through March 31, 2018 |
|-----------------|------------------------|
|-----------------|------------------------|

| Date       | Description          | Investment Option                           | # of Units  | Unit Price  | Transaction<br>Amount |
|------------|----------------------|---|-------------|-------------|-----------------------|
| 02/17/2018 | Optional Benefit Fee | MFS Global Tactical Allocation Port S<br>CL | (9.642166)  | \$13.538451 | (\$130.54)            |
|            |                      | Fidelity VIP Mid Cap Port Serv CL 2         | (1.506222)  | \$22.194589 | (\$33.43)             |
|            |                      | Franklin Small Cap Value VIP Fund CL<br>2   | (0.808243)  | \$39.233209 | (\$31.71)             |
|            |                      | MFS VIT Total Return Bond Series SC         | (17.547751) | \$10.910230 | (\$191.45)            |
|            |                      | PIMCO VIT Global Multi Assets Man<br>Alloc  | (10.021152) | \$12.927654 | (\$129.55)            |
|            |                      | First Eagle Overseas Variable Fund          | (2.052387)  | \$15.937533 | (\$32.71)             |
|            |                      | MFS VIT I Value Series Service Class        | (1.705748)  | \$19.270133 | (\$32.87)             |
|            |                      | BlackRock Global Alloc V.I. Fund CL 3       | (3.813196)  | \$17.279467 | (\$65.89)             |
|            |                      | Total                                       |             |             | (\$648.15)            |
| 03/18/2018 | Rebalance From       | First Eagle Overseas Variable Fund          | (3.852772)  | \$15.869089 | (\$61.14)             |
|            |                      | BlackRock Global Alloc V.I. Fund CL 3       | (15.906528) | \$17.215572 | (\$273.84)            |
|            |                      | Fidelity VIP Mid Cap Port Serv CL 2         | (18.574771) | \$22.416426 | (\$416.38)            |

LIFE AND ANNUITY DIVISION PO BOX 1928 BIRMINGHAM AL 35201-1928

### ADDRESS SERVICE REQUESTED

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KRISTEN E. CARLSON

Your Financial Representative:

000Q0P1930 KARIN D MCKNIGHT ALLEN & CO. OF FLORI 1401 S FLORIDA AVE LAKELAND FL 33803

### Contact Information:

Toll-Free: 800-456-6330

Monday - Thursday, 7:30 a.m. - 6:00 p.m. Central Time and

Friday, 7:30 a.m. - 5:00 p.m. Central Time

Online Anytime: www.myaccount.protective.com

### Protective Variable Annuity B Series

Transaction Summary

|                              | <b>Current Quarter</b> | Since Inception |
|------------------------------|------------------------|-----------------|
| Beginning Contract Value     | \$203,763.36           |                 |
| Purchase Payments            | \$0.00                 | \$200,000,00    |
| Variable Account Performance | \$4,291.34             | \$37,436,73     |
| Contract Charges and Fees    | \$492,14-              | 401,100.10      |
| Withdrawais                  | <b>\$3</b> ,057.51-    | \$20.383.40-    |
| Ending Contract Value        | \$204,505.05           | 723,723.10      |

### Account Information

Contract Number: |

Owner 1:

KRISTEN E. CARLSON

Annuitant:

KRISTEN E. CARLSON IRA

Plan Type: Inception Date:

04/29/2013

### Optional Benefits and Features:

Portfolio Rebalancing Partial Automatic Withdrawals SecurePay Benefit

### Death Benefit as of Ending Date: \$204,505.05

Contract Surrender Value as of Ending Date: \$196,820.33

A surrender charge equal to \$7,684.72 would have been deducted from the contract value if the contract had been surrendered as of the Ending Date. These charges <u>have not</u> been applied to the contract.

Your contract value will be rebalanced to the Payment Allocation QUARTERLY on the 1st day of the month.

### SecurePay Lifetime Guaranteed Withdrawal Benefit

Your SecurePay Withdrawal Benefit guarantees lifetime annual withdrawals, even if the contract value falls to zero as a result of poor market performance. And, your benefit base can still increase on any contract anniversary the SecurePay Anniversary Value exceeds the current benefit base.

Withdrawals in excess of the guaranteed withdrawal amount, called 'excess withdrawals', will result in a permanent reduction in future guaranteed withdrawal amounts. If you would like to make an excess withdrawal and are uncertain how an excess withdrawal will reduce your future guaranteed withdrawal amounts, then you may contact us prior to requesting the withdrawal to obtain a personalized, transaction-specific calculation showing the effect of the excess withdrawal.

| 73 | SecurePay Lifetime Withdrawal Benefit   |
|----|---|
| Š. | SecurePay Option: SecurePay 6   |
|    | SecurePay Benefit Base on   |
|    | The Ending Date: \$244,602.49   |
|    | Next SecurePay Benefit Base Review Date: 04/20/19   |
| 3  | Base Review Date: 04/29/2018 SecurePay Annual Withdrawal  |
| 9. | Amount for this Contract Year: \$12,230,12  |
| ,  | The SecurePay Annual Willscriwal Amount is the amount that was  |
|    | available as of the most recent Contract Anniversary, and does not include Secure Pay with drawals you have received this contract year, if |

### Attachment 6

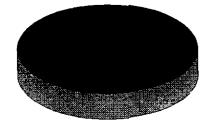
### **Current Contract Allocation by Risk Category**

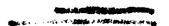
Page 2 of 4

Your contract value allocation by risk category as of the ending date, rounded to the nearest whole percent\*. A detailed summary of your current holdings is shown below. Information on the contract's funds can be viewed in the Fund Prospectuses at www.myaccount.protective.com or by requesting a paper copy from us.

Conservative

\$204,505.05 100%





### **Current Contract Allocation Detail**

| Fund<br>Number | Payment<br>Allocation | Fund Name                           | Current<br>Allocation | Number<br>of Units | Interest Rate/<br>Unit Value | Value        |
|----------------|-----------------------|-------------------------------------|-----------------------|--------------------|------------------------------|--------------|
| 69006          | 15.00%                | Oppenheimer Government Money Fund   | 14.64%                | 3,243.0670         | 9.234851                     | \$29,949.24  |
| 69037          | 10.00%                | Lord Abbett Bond-Debenture          | 9.92%                 | 1,456.9680         | 13.928420                    | \$20,293.26  |
| 69057          | 5.00%                 | Franklin US Government Securities   | 4.86%                 | 998.0130           | 9.962287                     | \$9,942.49   |
| 69080          | 10.00%                | PIMCO Real Return                   | 9.87%                 | 1,965.5150         | 10.265143                    | \$20,176.29  |
| 69082          | 10.00%                | PIMCO Total Return                  | 9.73%                 | 1,798.6660         | 11.063945                    | \$19,900.34  |
| 69091          | 25.00%                | Goldman Sachs Global Trends Alloc   | 25.49%                | 4,348.7650         | 11.987256                    | \$52,129.76  |
| 69099          | 25.00%                | PIMCO Global Diversified Allocation | 25.49%_               | 4,111.6850         | 12.674530                    | \$52,113.67  |
|                | 100.00%               | Total                               | 100.00%               |                    |                              | \$204,505.05 |

**Quarterly Transaction Detail** 

| Date       | Fund<br>Number | Fund Name                           | Transaction          | Number<br>of Units | Unit Value | Dollar Amount |
|------------|----------------|-------------------------------------|----------------------|--------------------|------------|---------------|
|            |                |                                     |                      |                    |            |               |
| 09/30/2017 |                | Oppenheimer Government Money Fund   | =                    | 3.9080             | 9.250648   | \$36.15       |
|            | 69037          | Lord Abbett Bond-Debenture          | SecurePay Fee        | 1.8000             | 13.727332  | •             |
|            | 69057          | Franklin US Government Securities   | SecurePay Fee        | 1.2110             | 10.020016  | •             |
|            | 69080          | PIMCO Real Return                   | SecurePay Fee        | 2.3840             | 10.175584  | \$24.26       |
|            | 69082          | PIMCO Total Return                  | SecurePay Fee        | 2.2000             | 11.119490  | \$24.46       |
|            | 69091          | Goldman Sachs Global Trends Alloc   | SecurePay Fee        | 5.3720             | 11.497682  | \$61.76       |
|            | 69099          | PIMCO Global Diversified Allocation | SecurePay Fee        | 5.1480             | 12.160658  | \$62.60       |
| 10/01/2017 | 69006          | Oppenheimer Government Money Fund   | Port Rebal to        | 3,304.0390         | 9.250648   | \$30,564.50   |
|            | 69037          | Lord Abbett Bond-Debenture          | Port Rebal to        | 1,484.3630         | 13.727332  | \$20,376.34   |
|            | 69057          | Franklin US Government Securities   | Port Rebai to        | 1,016.7820         | 10.020016  | \$10,188.17   |
|            | 69080          | PIMCO Real Return                   | Port Rebal to        | 2,002.4740         | 10.175584  | \$20,376.34   |
|            | 69082          | PIMCO Total Return                  | Port Rebal to        | 1,832.4890         | 11.119490  | \$20,376.34   |
|            | 69091          | Goldman Sachs Global Trends Alloc   | Port Rebal to        | 4,430.5310         | 11.497682  | \$50,940.84   |
|            | 69099          | PIMCO Global Diversified Allocation | Port Rebal to        | 4,188.9860         | 12.160658  | \$50,940.83   |
|            | 69006          | Oppenheimer Government Money Fund   | Port Rebal from      | 3,235.2470         | 9.250648   | \$29,928.13   |
|            | 69037          | Lord Abbett Bond-Debenture          | Port Rebal from      | 1,489.8400         | 13.727332  | \$20,451.5    |
|            | 69057          | Franklin US Government Securities   | Port Rebai from      | 1,002.5770         | 10.020016  | \$10,045.84   |
|            | 69080          | PIMCO Real Return                   | Port Rebal from      | 1.974.9720         | 10.175584  | \$20,096.49   |
|            | 69082          | PIMCO Total Return                  | Port Rebal from      | 1,822.0660         | 11.119490  | \$20,260.4    |
|            | 69091          | Goldman Sachs Global Trends Alloc   | Port Rebal from      | 4,448.3490         | 11.497682  | \$51,145.7    |
|            | 69099          | PIMCO Global Diversified Allocation | Port Rebal from      | 4,262.5350         | 12.160658  | \$51,835.2    |
| 10/02/2017 | 69006          | Oppenheimer Government Money Fund   | SecurePay Withdrawal | 16.5260            | 9.250648   | \$152.8       |
|            | 69037          | Lord Abbett Bond-Debenture          | SecurePay Withdrawal | 7.4250             | 13.727332  | \$101.9       |
|            | 69057          | Franklin US Government Securities   | SecurePay Withdrawal | 5.0860             | 10.020016  | •             |
|            | 69080          | PIMCO Real Return                   | SecurePay Withdrawal | 10.0160            | 10.175584  | •             |
|            | 69082          | PIMCO Total Return                  | SecurePay Withdrawal | 9.1660             | 11.119490  | •             |
|            | 69091          | Goldman Sachs Global Trends Alloc   | SecurePay Withdrawal | 22,1600            | 11.497682  | •             |

continued on next page

<sup>\*</sup> Total may not equal 100% due to rounding

|            | Fund   |                                     |                      | Number   |            | <u> </u>      |
|------------|--------|-------------------------------------|----------------------|----------|------------|---------------|
| Date       | Number | Fund Name                           | Transaction          | of Units | Unit Value | Dollar Amound |
|            | 69099  | PIMCO Global Diversified Allocation | SecurePay Withdrawal | 20.9510  | 12.160658  | \$254.7       |
| 10/30/2017 | 69006  | Oppenheimer Government Money Fund   | SecurePay Fee        | 3.9610   | 9.245354   | \$36.6        |
|            | 69037  | Lord Abbett Bond-Debenture          | SecurePay Fee        | 1.7790   | 13.831154  | \$24.6        |
|            | 69057  | Franklin US Government Securities   | SecurePay Fee        | 1.2180   | 10.001698  | \$12.1        |
|            | 69080  | PIMCO Real Return                   | SecurePay Fee        | 2.4010   | 10,208398  | \$24.5        |
|            | 69082  | PIMCO Total Return                  | SecurePay Fee        | 2.1960   | 11.094348  | \$24,3        |
|            | 69091  | Goldman Sachs Global Trends Alloc   | SecurePay Fee        | 5.3110   | 11.673079  | \$61.9        |
|            | 69099  | PIMCO Global Diversified Allocation | SecurePay Fee        | 5.0210   | 12,308621  | \$61.8        |
| 1/02/2017  | 69006  | Oppenheimer Government Money Fund   | SecurePay Withdrawal | 16,3590  | 9.244802   | \$151.2       |
| •          | 69037  | Lord Abbett Bond-Debenture          | SecurePay Withdrawal | 7.3490   | 13,840355  | \$101,7       |
|            | 69057  | Franklin US Government Securities   | SecurePay Withdrawal | 5.0350   | 10.000623  | \$50,3        |
|            | 69080  | PIMCO Real Return                   | SecurePay Withdrawal | 9.9170   | 10,236173  | \$101.        |
|            | 69082  | PIMCO Total Return                  | SecurePay Withdrawal | 9.0770   | 11.105052  | \$100,8       |
|            | 69091  | Goldman Sachs Global Trends Alloc   | SecurePay Withdrawal | 21.9340  | 11,727897  | \$257.        |
|            | 69099  | PIMCO Global Diversified Allocation | SecurePay Withdrawal | 20.7300  | 12.364491  | \$256,3       |
| 11/30/2017 | 69006  | Oppenheimer Government Money Fund   | SecurePay Fee        | 3.9330   | 9.239732   | \$36,3        |
|            | 69037  | Lord Abbett Bond-Debenture          | SecurePay Fee        | 1.7660   | 13,847837  | \$24.4        |
|            | 69057  | Franklin US Government Securities   | SecurePay Fee        | 1,2100   | 9,965808   | \$12.0        |
|            | 69080  | PIMCO Real Return                   | SecurePay Fee        | 2.3840   | 10.209475  | \$24.:        |
|            | 69082  | PIMCO Total Return                  | SecurePay Fee        | 2.1800   | 11.039578  | \$24.0        |
|            | 69091  | Goldman Sachs Global Trends Alloc   | SecurePay Fee        | 5.2740   | 11.837509  | \$62.4        |
|            | 69099  | PIMCO Global Diversified Allocation | SecurePay Fee        | 4.9850   | 12.512072  | \$62.3        |
| 12/02/2017 | 69006  | Oppenheimer Government Money Fund   | SecurePay Withdrawal | 16.2930  | 9.239016   | \$150.        |
|            | 69037  | Lord Abbett Bond-Debenture          | SecurePay Withdrawal | 7.3230   | 13.835176  | \$101.3       |
|            | 69057  | Franklin US Government Securities   | SecurePay Withdrawal | 5.0190   | 9.989169   | \$50.1        |
|            | 69080  | PIMCO Real Return                   | SecurePay Withdrawal | 9.8750   | 10.259234  | \$101.3       |
|            | 69082  | PIMCO Total Return                  | SecurePay Withdrawal | 9,0400   | 11,060223  | \$99.9        |
|            | 69091  | Goldman Sachs Global Trends Alloc   | SecurePay Withdrawal | 21.8550  | 11.798475  | \$257.        |
|            | 69099  | PIMCO Global Diversified Allocation | SecurePay Withdrawal | 20.6650  | 12.487429  | \$258.0       |

### Important Information

Please review this statement carefully and contact us or your financial advisor promptly if you have questions, or need to advise us of any error in the information presented. Within thirty (30) days of receiving this Statement, you should review it and advise Protective in writing of any omission or inaccuracy in the transactions or positions reported. Except for any omission or inaccuracy resulting from Protective's failure to comply with our obligations under your Contract(s) with us, or that would not be readily apparent from a reasonable review of your Statement, if you do not advise us in writing of any errors, omissions or inaccuracies within the thirty (30) day period, we will presume that your Statement is correct, absent manifest errors or omissions. We will not process corrections more than thirty (30) days after you receive your Statement.

If you had a distribution during 2017, 1099-R forms will be available online beginning in February 2018 for most contract holders. To access, go to www.myaccount.protective.com.

At Protective Life Insurance Company, we strive to provide our customers with high quality products and services.

For further information about your annuity contract, please visit <u>www.myaccount.protective.com</u> or call 800-456-6330 Monday through Thursday 7:30 a.m. through 6:00 p.m. Central Time and Friday 7:30 a.m. through 5:00 p.m. Central Time.

Annuities are not deposits or obligations of, or guaranteed by, any bank or financial institution. Annuities are not insured by the FDIC or any other agency and are subject to risk, including the loss of principal.

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Page 4 OF 4

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LIFE AND ANNUITY DIVISION PO BOX 1928 BIRMINGHAM AL 35201-1928

**ADDRESS SERVICE REQUESTED** 

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KRICTEN E CARLSON

LAKELAND FL 33803-2601

**QUARTERLY STATEMENT** 

JANUARY 1, 2018 - MARCH 31, 2018

Attachment 6B

Your Financial Representative:

000Q0P1930 KARIN D MCKNIGHT ALLEN & CO. OF FLORI 1401 S FLORIDA AVE LAKELAND FL 33803

Contact Information:

Toll-Free: 800-456-6330

Monday - Thursday, 7:30 a.m. - 6:00 p.m. Central Time and

Friday, 7:30 a.m. - 5:00 p.m. Central Time

Online Anytime: www.myaccount.protective.com

Protective Variable Annuity B Series

### Transaction Summary

|                              | Current Quarter | Since Inception |
|------------------------------|-----------------|-----------------|
| Beginning Contract Value     | \$204,505.05    |                 |
| Purchase Payments            | \$0.00          | \$200,000.00    |
| Variable Account Performance | \$4,674.21-     | \$33,008.59     |
| Contract Charges and Fees    | \$492.14-       | ·               |
| Withdrawals                  | \$3,057.51-     | \$23,440.91~    |
| Ending Contract Value        | \$196,281.19    |                 |

### Account Information

Contract Number:

Owner 1: Annuitant: KRISTEN E. CARLSON KRISTEN E. CARLSON

Plan Type:

IRA

Inception Date:

04/29/2013

Optional Benefits and Features:

Portfolio Rebalancing Partial Automatic Withdrawals SecurePay Benefit

Death Benefit as of Ending Date: \$196,281.19

Contract Surrender Value as of Ending Date: \$188,803.13

A surrender charge equal to \$7,478.06 would have been deducted from the contract value if the contract had been surrendered as of the Ending Date. These charges have not been applied to the contract.

Your contract value will be rebalanced to the Payment Allocation QUARTERLY on the 1st day of the month.

### SecurePay Lifetime Guaranteed Withdrawal Benefit

Your SecurePay Withdrawal Benefit guarantees lifetime annual withdrawals, even if the contract value falls to zero as a result of poor market performance. And, your benefit base can still increase on any contract anniversary the SecurePay Anniversary Value exceeds the current benefit base.

Withdrawals in excess of the guaranteed withdrawal amount, called 'excess withdrawals', will result in a permanent reduction in future guaranteed withdrawal amounts. If you would like to make an excess withdrawal and are uncertain how an excess withdrawal will reduce your future guaranteed withdrawal amounts, then you may contact us prior to requesting the withdrawal to obtain a personalized, transaction-specific calculation showing the effect of the excess withdrawal.

SecurePay Option
SecurePay Option
SecurePay Benefit Base on
the Ending Date:

Next SecurePay Benefit
Base Review Date:

SecurePay Annual Withdrawal
Amount for this Gorlinac Year:

\$244,602.49

The SecurePay Amuse Withdrawal Amount is the amount that was available as of the most recent Contract Amiversary, and does not include SecurePay withdrawals you have received this contract year, if any.

LIFE AND ANNUITY DIVISION PO BOX 1928 BIRMINGHAM AL 35201-1928

#### ADDRESS SERVICE REQUESTED

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# 

KRISTEN E CARLSON

LAKELAND FL 33803-2601

# TRANSACTION CONFIRMATION 04/02/2018

#### Your Financial Representative:

000Q0P1930 KARIN D MCKNIGHT ALLEN & CO. OF FLORI 1401 S FLORIDA AVE **LAKELAND FL 33803** 

#### Contact Information:

Protective Life Insurance Company PO Box 1928 Birmingham, Alabama 35201-1928

Toll-Free: 800-456-6330

Monday - Thursday, 7:30 a.m. - 6:00 p.m. Central and

Friday, 7:30 a.m. - 5:00 p.m. Central

Online Anytime: www.myaccount.protective.com

# Account Information

Contract Number:

Owner 1:

KRISTEN E. CARLSON

Annuitant:

KRISTEN E. CARLSON

Plan Type:

IRA

Inception Date: 04/29/2013

# **Current Contract Allocation Detail**

Protective Variable Annuity B Series

| Fund<br>Number | Payment<br>Allocation | Fund Name                           | Current<br>Allocation | Number<br>of Units | Interest Rate/<br>Unit Value | Value        |
|----------------|-----------------------|-------------------------------------|-----------------------|--------------------|------------------------------|--------------|
| 69006          | 15.00%                | Oppenheimer Government Money Fund   | 15.00%                | 3,174.6790         | 9.225908                     | \$29,289.30  |
| 69037          | 10.00%                | Lord Abbett Bond-Debenture          | 10.00%                | 1,429.4810         | 13.659645                    | \$19,526.20  |
| 69057          | 5.00%                 | Franklin US Government Securities   | 5.00%                 | 993.0440           | 9.831493                     | \$9,763.11   |
| 69080          | 10.00%                | PIMCO Real Return                   | 10.00%                | 1,921.5080         | 10.161915                    | \$19,526.20  |
| 69082          | 10.00%                | PIMCO Total Return                  | 10.00%                | 1,789,8450         | 10.909436                    | \$19,526.20  |
| 69091          | 25.00%                | Goldman Sachs Global Trends Alloc   | 25.00%                | 4,217.0160         | 11.575842                    | \$48,815.51  |
| 69099          | 25.00%                | PIMCO Global Diversified Allocation | _25.00%               | 3,983.4280         | 12.254651                    | \$48,815.52  |
|                | 100.00%               | Total                               | 100.00%               |                    | _                            | \$195,262,04 |

Transaction Summary

| Transaction | Transaction | Gross        | Surrender | Federal     | State       | Net          |
|-------------|-------------|--------------|-----------|-------------|-------------|--------------|
| Date        | Description | Amount       | Charge    | Withholding | Withholding | Amount       |
| 04/01/2018  | Port Rebal  | \$196,281.19 | \$0.00    | \$0.00      | \$0.00      | \$196,281.19 |

#### Transaction Detail

| Transaction<br>Date | Fund<br>Number | Fund Name                           | Transaction<br>Description | Number<br>of Units | Unit Value | Transaction Dollar Amount |
|---------------------|----------------|-------------------------------------|----------------------------|--------------------|------------|---------------------------|
| 04/01/2018          | 69006          | Oppenheimer Government Money Fund   | Port Rebal to              | 3,191.2500         | 9.225908   | <b>\$29,44</b> 2.18       |
|                     | 69037          | Lord Abbett Bond-Debenture          | Port Rebal to              | 1,436.9420         | 13.659645  | \$19,628.12               |
|                     | 69057          | Franklin US Government Securities   | Port Rebal to              | 998.2270           | 9.831493   | \$9,814.06                |
|                     | 69080          | PIMCO Real Return                   | Port Rebal to              | 1,931.5380         | 10.161915  | \$19,628,12               |
|                     | 69082          | PIMCO Total Return                  | Port Rebal to              | 1,799.1870         | 10.909436  | \$19,628.12               |
|                     | 69091          | Goldman Sachs Global Trends Alioc   | Port Rebal to              | 4,239.0260         | 11.575842  | \$49,070.30               |
|                     | 69099          | PIMCO Global Diversified Allocation | Port Rebal to              | 4,004.2180         | 12.254651  | \$49,070.29               |
|                     | 69006          | Oppenheimer Government Money Fund   | Port Rebal from            | 3,259.8650         | 9.225908   | \$30,075.21               |
|                     | 69037          | Lord Abbett Bond-Debenture          | Port Rebal from            | 1,440.9120         | 13.659645  | \$19,682,35               |
|                     | 69057          | Franklin US Government Securities   | Port Rebal from            | 1,007,2720         | 9.831493   | \$9.902.99                |
|                     | 69080          | PIMCO Real Return                   | Port Rebal from            | 1.955.1200         | 10.161915  | * *                       |
|                     | 69082          | PIMCO Total Return                  | Port Rebal from            | 1,813,9620         | 10.909436  |                           |
|                     | 69091          | Goldman Sachs Global Trends Alloc   | Port Rebal from            | 4,185.6100         | 11.575842  | ••                        |
|                     | 69099          | PIMCO Global Diversified Allocation | Port Rebal from            | 3,958,6290         | 12.254651  | \$48,511.62               |

Your contract value will be rebalanced to the Payment Allocation Quarterly on the 1ST day of the month.

04/02/2018

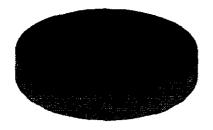
Transaction Confirmation

Contract # BA2502639

Your contract value allocation by risk category as of the ending date, rounded to the nearest whole percent\*. A detailed summary of your current holdings is shown below, information on the contract's funds can be viewed in the Fund Prospectuses at www.myaccount.protective.com or by requesting a paper copy from us.

Conservative

\$196,281.19 100%



<sup>\*</sup> Total may not equal 100% due to rounding

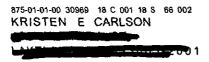
# **Current Contract Allocation Detail**

| Fund<br>Number | Payment<br>Allocation | Fund Name                           | Current<br>Alfocation | Number<br>of Units | Interest Rate/<br>Unit Value | Value        |
|----------------|-----------------------|-------------------------------------|-----------------------|--------------------|------------------------------|--------------|
| 69006          | 15.00%                | Oppenheimer Government Money Fund   | 15.32%                | 3,259,8650         | 9.225908                     | \$30,075.21  |
| 69037          | 10.00%                | Lord Abbett Bond-Debenture          | 10.03%                | 1,440.9120         | 13.659645                    | \$19,682.35  |
| 69057          | 5.00%                 | Franklin US Government Securities   | 5.05%                 | 1,007.2720         | 9.831493                     | \$9,902.99   |
| 69080          | 10.00%                | PIMCO Real Return                   | 10,12%                | 1,955,1200         | 10.161915                    | \$19,867.76  |
| 69062          | 10.00%                | PIMCO Total Return                  | 10.08%                | 1,813.9620         | 10.909436                    | \$19,789.30  |
| 69091          | 25.00%                | Goldman Sachs Global Trends Alloc   | 24.68%                | 4,185.6100         | 11.575842                    | \$48,451.96  |
| 69099          | 25.00%                | PIMCO Global Diversified Allocation | 24.72%                | 3,958.6290         | . 12.254651                  | \$48,511.62  |
|                | 100.00%               | Total                               | 100.00%               |                    | _                            | \$196,281.19 |

**Quarterly Transaction Detail** 

|           | Fund   |                                     |                      | Number     |            |                    |
|-----------|--------|-------------------------------------|----------------------|------------|------------|--------------------|
| Date      | Number | Fund Name                           | Transaction          | of Units   | Unit Value | Dollar Amoun       |
| 2/30/2017 | 69006  | Oppenheimer Government Money Fund   | SecurePay Fee        | 3.9000     | 9.234851   | \$36.0             |
|           | 69037  | Lord Abbett Bond-Debenture          | SecurePay Fee        | 1.7530     | 13.928420  | \$24.4             |
|           | 69057  | Franklin US Government Securities   | SecurePay Fee        | 1,2010     | 9.962287   | \$11.9             |
|           | 69080  | PIMCO Real Return                   | SecurePay Fee        | 2.3660     | 10.265143  | \$24.2             |
|           | 69082  | PIMCO Total Return                  | SecurePay Fee        | 2.1640     | 11.063945  | \$23.9             |
|           | 69091  | Goldman Sachs Globel Trends Alloc   | SecurePay Fee        | 5.2320     | 11.987256  | \$62.7             |
|           | 69099  | PIMCO Global Diversified Allocation | SecurePay Fee        | 4.9490     | 12.674530  | \$62.7             |
| 1/01/2018 | 69006  | Oppenheimer Government Money Fund   | Port Rebal to        | 3,321,7380 | 9.234851   | <b>\$30,675</b> .3 |
|           | 69037  | Lord Abbett Bond-Debenture          | Port Rebal to        | 1,468.2580 | 13.928420  | \$20,450.          |
|           | 69057  | Franklin US Government Securities   | Port Rebal to        | 1,026,3960 | 9.962287   | \$10,225.          |
|           | 69090  | PIMCO Real Return                   | Port Rebail to       | 1,992,2280 | 10.265143  | \$20,450.          |
|           | 69082  | PIMCO Total Return                  | Port Rebal to        | 1,848.3920 | 11.063945  | \$20,450.          |
|           | 69091  | Goldman Sachs Global Trends Alloc   | Port Rebal to        | 4,265.0510 | 11.987256  | \$51,126           |
|           | 69099  | PIMCO Global Diversified Allocation | Port Rebal to        | 4,033,7790 | 12.674530  | <b>\$</b> 51,126.  |
|           | 69006  | Oppenheimer Government Money Fund   | Port Rebal from      | 3,243.0670 | 9.234851   | \$29,949           |
|           | 69037  | Lord Abbett Bond-Debenture          | Port Rebai from      | 1,456.9680 | 13.928420  | \$20,293           |
|           | 69057  | Franklin US Government Securities   | Port Rebal from      | 998.0130   | 9.962287   | \$9,942            |
|           | 69080  | PIMCO Real Return                   | Port Rebal from      | 1,965.5150 | 10.265143  | \$20,176           |
|           | 69082  | PIMCO Total Return                  | Port Rebal from      | 1,798.6660 | 11.063945  | \$19,900           |
|           | 69091  | Goldman Sachs Global Trends Alloc   | Port Rebail from     | 4,348.7650 | 11.987256  | \$52,129           |
|           | 69099  | PIMCO Global Diversified Allocation | Port Rebal from      | 4,111.6850 | 12.674530  | \$52,113           |
| 1/02/2018 | 69006  | Oppenheimer Government Money Fund   | SecurePay Withdrawal | 16.5550    | 9.234851   | \$152              |
|           | 69037  | Lord Abbett Bond-Debenture          | SecurePay Withdrawai | 7.3170     | 13.928420  | \$101              |
|           | 69057  | Franklin US Government Securities   | SecurePay Withdrawal | 5.1150     | 9.962287   | \$50               |
|           | 39080  | PIMCO Real Return                   | SecurePay Withdrawal | 9,9290     | 10.265143  | \$101              |
|           | ±9082  | PIMCO Total Return                  | SecurePay Withdrawai | 9,2120     | 11.063945  |                    |
|           | 59091  | Goldman Sachs Global Trends Alloc   | SecurePay Withdrawal | 21.2550    | 11.987256  |                    |

continued on next trage



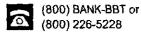
# Your consolidated statement

For 12/28/2017

# Contact us



BBT.com



### Check out the BB&T Financial Foundations program today!

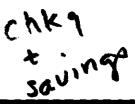
Our free BB&T Financial Foundations program can help you learn about budgeting, borrowing money, saving for the future and much more.

In just three to ten minutes, these interactive, online courses teach you hints and tips to achieve your financial goals. Visit BBT.com/Knowledge, click on "Get Started" and begin learning!

BB&T, Member FDIC.

# Summary of your accounts

| ACCOUNT NAME                       | ACCOUNT NUMBER  | BALANCE(\$) | DETAILS ON |
|------------------------------------|-----------------|-------------|------------|
| SENIOR CHECKING-MM                 | 0000240890364   | 26,408.93   | page 1     |
| INVESTORS DEPOSIT ACCOUNT          | 0000148456925   | 15,016.91   | page 2     |
| Total checking and money market sa | avings accounts | \$41,425.84 |            |





# Checking and money market savings accounts

#### SENIOR CHECKING-MM 0000240890364

#### Account summary

| Your previous balance as of 11/29/2017        | \$29,769.16   |
|---|---------------|
| Checks  | - 13,269.92   |
| Other withdrawals, debits and service charges | - 6,645.58    |
| Deposits, credits and interest                | + 16,555.27   |
| Your new halance as of 12/28/2017             | = \$26,408,93 |

Average Posted Balance in Statement Cycle

\$26,229.00

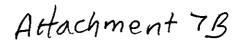
#### Checks

| DATE  | CHECK # | AMOUNT(\$) |
|-------|---------|------------|
| 12/04 | 1940~   |            |
| 12/04 | * 1944~ |            |
| 12/04 | 1945~   |            |
| 12/01 | *1947   | 274.13     |
| 12/01 | 1948    | 739.79     |

| DATE  | CHECK # | AMOUNT(\$) |
|-------|---------|------------|
| 12/04 | * 1951  | 80.00      |
| 12/08 | 1952    | 10,000.00  |
| 12/01 | 1953    | 120.00     |
| 12/04 | * 1956  | 100.00     |
| 12/05 | 1957    | 100.00     |
|       |         |            |

| DATE  | CHECK # | AMOUNT(\$) |
|-------|---------|------------|
| 12/18 | 1958    | 250.00     |
| 12/12 | 1959    | 150.00     |
| 12/11 | 1960    | 100.00     |
| 12/07 | 1961    | 125.00     |
| 12/12 | * 1963  | 100.00     |
|       |         |            |

continued





875-01-01-00 30969 8 C 001 18 S 66 002 KRISTEN E CARLSON

LAKELAND FL 33803-2601

# Your consolidated statement

For 03/28/2018

# Contact us



BBT.com



(800) BANK-BBT or (800) 226-5228

Zelle is now in U by BB&T. Zelle is the new way to send money directly between almost anyone in the US, typically within minutes. Using just an email address or mobile phone number, you will be able to quickly, and easily send money through the security of your U by BB&T account. Zelle will be fully integrated into U, so all you have to do is enroll in Zelle within U to take advantage of this exciting new feature.

You can even send money to someone who hasn't enrolled in Zelle. Just send a payment to their email address or mobile phone number, and they'll get a notification that money is waiting for them. Once they enroll in Zelle, they'll receive that payment within 2-3 days, but any future payments will typically be received within minutes.

BB&T, Member FDIC

If you are traveling outside of the USA and have concerns about accessing your account while you are traveling, please contact your Branch Banker or call us a 800-BANK BBT.

# Summary of your accounts

| ACCOUNT NAME                       | ACCOUNT NUMBER | BALANCE(\$) | DETAILS ON |
|------------------------------------|----------------|-------------|------------|
| SENIOR CHECKING-MM                 | 0000240890364  | 25,311.41   | page 1     |
| INVESTORS DEPOSIT ACCOUNT          | 0000148456925  | 25,018.45   | page 2     |
| Total checking and money market sa | \$50,329.86    |             |            |



# Checking and money market savings accounts

#### SENIOR CHECKING-MM 0000240890364

#### **Account summary**

| Your previous balance as of 02/27/2018        | \$26,659.20   |
|---|---------------|
| Checks  | - 1,420.96    |
| Other withdrawals, debits and service charges | - 13,371.26   |
| Deposits, credits and interest                | + 13,444.43   |
| Your new balance as of 03/28/2018             | = \$25,311,41 |

Average Posted Balance in Statement Cycle

\$27,995.00

|                           |  |                                     | AHach I                  | n (      | DATS<br>ED (if checke                        | d)                     |              | Page  | 100                | - 2  |
|---------------------------|--|-------------------------------------|--------------------------|----------|--|------------------------|--------------|---|--------------------|--|
|                           | ER'S name, street address,<br>stry, and ZIP or foreign posta | city or town, state or              |                          | ٦        |  |                        | OM           | B No. 1545-0119                               |                    | distributions From From Properties,                          |
|                           | FLORIDA RETIREMEI<br>DIVISION OF RETIRE<br>PO BOX 9000       | MENT                                |                          | \$<br>2a | 18,68<br>Taxable amour                       | nt                     | 4            | 2017  |                    | Retirement or<br>Profit-Sharing<br>Plans, IRAs,<br>Insurance |
| TALLAHASSEE FL 32315-9000 |  |                                     | \$_                      | 18,68    |  | F                      | orm 1099-R   | <u></u>                                       | Contracts, etc.    |  |
|                           |  |                                     |                          | 2b       | Taxable amour<br>not determined              |                        |              | Total<br>distributio                          | n 🔲                | Copy B<br>Report this  |
| PAY!                      | ER'S federal identification ber                              | RECIPIENT'S ident<br>number         | ification                | 3        | Capital gain (in in box 2a)                  | cluded                 | 4            | Federal income<br>withheld                    | tax                | income on your<br>federal tax                                |
|                           | 59-1354377   | XXX-XX-9054                         | ļ<br>                    | \$       |  |                        | \$           | 3,19  | 90.92              | return. If this<br>form shows<br>federal income              |
| REC                       | IPIENT'S name  |                                     |                          | 5        | Employee contributions or<br>insurance premi | ith<br>r               | 6            | Net unrealized appreciation in employer's sec |                    | tax withheld in<br>box 4, attach<br>this copy to             |
|                           | KRISTEN E CARLSON  | ٠ .                                 |                          | \$       | 0.00   | )                      | \$           |   |                    | your return.   |
|                           |  | 1                                   |                          | 7        | Distribution code(s)                         | IRA/<br>SEP/<br>SIMPLE | \$           | Other   | %                  | This information is being furnished to the Internal          |
|                           | •  |                                     |                          | 9a       | Your percentage distribution                 | of total<br>%          | 9b<br>\$     | Total employee con                            | tributions<br>0.00 | Revenue Service.   |
|                           | Amount allocable to IRR within 5 years                       | 11 1st year of desig. Roth contrib. | FATCA filing requirement | 12<br>\$ | State tax withhe                             | eld                    | 13           | State/Payer's s                               | tate no.           | 14 State distribution<br>\$                                  |
| \$                        |  |                                     |                          | \$       |  |                        |              |   |                    | \$   |
| Acco                      | ount number (see instructions)                               |                                     |                          | 15       | Local tax withhe                             | eid                    | 16           | Name of localit                               | ty                 | 17 Local distribution  |
|                           | XXX-XX   |                                     |                          | \$       | ***********                                  |                        | <del> </del> |   |                    | \$   |
|                           |  |                                     |                          |          |  |                        |              |   |                    |  |

www.irs.gov/form1099r

|   |                                     |                          | CTI      | ED (if checke  | d)                     |    |  |            |  |
|---|-------------------------------------|--------------------------|----------|--|------------------------|----|--|------------|--|
| PAYER'S name, street address, country, and ZIP or foreign posts |                                     | province,                | 1        | Gross distribut  | ion                    | ОМ | B No. 1545-0119  |            | Distributions From ensions, Annuities, |
| FLORIDA RETIREMEI   | NT SYSTEM                           |                          | \$       | 18,68  | 4.96                   | 4  | 2017   |            | Retirement or<br>Profit-Sharing        |
| DIVISION OF RETIRE  |                                     |                          | 2a       | Taxable amour  | nt                     | 4  |  |            | Plans, iRAs,                           |
| PO BOX 9000   |                                     |                          |          |  |                        |    |  |            | Insurance                              |
| TALLAHASSEE FL 32315-9000                                       |                                     |                          | \$       | 18,68  | 4.96                   | F  | om 1099-R  |            | Contracts, etc.                        |
|   |                                     |                          | 2b       | Taxable amou   |                        |    | Total  |            | Copy C                                 |
|   |                                     |                          | <u> </u> | not determined   | <u></u>                | ,  | distributio  |            | For Recipient's                        |
| PAYER'S federal identification number                           | RECIPIENT'S identi<br>number        | fication                 | 3        | Capital gain (in in box 2a)  | cluded                 | 4  | Federal income<br>withheld                                   | tax        | Records                                |
| 59-1354377  | XXX-XX-                             |                          |          |  |                        |    |  |            |  |
|   |                                     |                          | \$       |  |                        | \$ | 3,19   | 90.92      |  |
| RECIPIENT'S name  |                                     |                          | 5        | Employee contr<br>/Designated Ro<br>contributions or<br>insurance prem | ed Roth appoints or em |    | 6 Net unrealized<br>appreciation in<br>employer's securities |            |  |
| KRISTEN E CARLSOI   | V                                   |                          | \$       | 0.00   | )                      | \$ |  |            |  |
| SONE MATTER   |                                     |                          | 7        | Distribution code(s)   | IRA/<br>SEP/           | 8  | Other  |            | This information is                    |
|   |                                     |                          |          | 7  | SIMPLE                 | \$ |  | %          | being furnished to                     |
|   |                                     |                          | 9a       | Your percentage  | of total               | 9b | Total employee con   | tributions | Revenue Service.                       |
|   |                                     |                          | <u> </u> | distribution   | %                      | \$ |  | 0.00       | TIETCHUO GEITIGE.                      |
| 10 Amount allocable to IRR within 5 years                       | 11 1st year of desig. Roth contrib. | FATCA filing requirement | 12<br>\$ | State tax withho   | eld                    | 13 | State/Payer's s  | tate no.   | 14 State distribution \$               |
| <b> \$</b>  |                                     |                          | \$       |  |                        |    |  |            | \$                                     |
| Account number (see instructions)                               |                                     |                          | 15       | Local tax withh  | eld                    | 16 | Name of localit  | y          | 17 Local distribution                  |
| XXX-XX-8043   | }                                   |                          | \$       |  |                        | ļ  |  |            | \$                                     |
| 7,                        |                                     |                          | \$       |  |                        |    |  |            | \$                                     |

Form 1099-R

Department of the Treasury - Internal Revenue Service

# STATE OF FLORIDA

Payment Date 4/30/2018

### STATEMENT OF RETIREMENT BENEFIT PAYMENTS

A Hachment 8

| REMITTED BY                             |                | P.A                         | YEE          |           | **W          | ПННО    | LDING ST   | ATUS**               |
|---|----------------|-----------------------------|--------------|-----------|--------------|---------|------------|----------------------|
| DIVISION OF RETIREMENT                  | PA             | YEE : KRISTI                | N E CARLS    | ON        | MARITAL :    | STATUS  | 3 :        | S                    |
| P.O. BOX 9000<br>TALLAHASSEE, FLORIDA 3 | 2315 0000 PA   | PAYEE : XXX-XX              |              |           | ALLOWANCES : |         |            | 0                    |
| IALLAHASSEE, FLORIDA S                  |                | MBER : DANN                 | L GUNTER     |           | STATED V     | KAT H/I | <b>(</b> : |                      |
|   | ME             | MBER : XXX-X                | ·<br>•       |           | ADDL W/H     | TAX     | :          | \$100.00             |
|   |                |                             |              |           | W/H TAX      |         | :          | Y                    |
| SUMMARY OF BET                          | EFITS AND DEDL | JCTIONS                     | T            | MISC      | ELLANEOU     | S DED   | UCTIONS    |                      |
| BENEFIT DESCRIPTION                     | THIS PAYME     | NT CALENDAR<br>YEAR-TO-DATE | CODE         | DESCRI    | TION         | THIS    | PAYMENT    | CALENDAR<br>YEAR-TO- |
| Retirement Benefit                      | \$1,580.       | 09 \$6,320.3                | 5            |           |              |         |            | DATE                 |
| GROSS BENEFITS                          | \$1,580        | .09 \$6,320.3               | 6            |           |              |         | -          |                      |
| WITHHOLDING TAX                         | \$236.         | .77 \$947.0                 | в            |           |              |         |            |                      |
| MISC DEDUCTIONS                         |                |                             |              |           |              |         |            |                      |
| NET BENEFITS                            | \$1,343        | .32 \$5,373.2               | B TOTAL OF I | VISC DEDU | CTIONS       |         |            |                      |

If you have questions about this statement or your retirement: call toll free 1-844-377-1888 (or local 850-907-6500); visit our website frs.MyFlorida.com; write (see above) or email Retirement@dms.myflorida.com

THE DIVISION OF RETIREMENT, DEPARTMENT OF MANAGEMENT SERVICES FURNISHED THE ABOVE INFORMATION.

<sup>\*\*</sup>Refer to your online (Retiree) Account Information for current tax withholding status & allowances.

|   | {                                   | AH.                      | なり<br>CTE             | hMCNT (<br>ED (if checked   | g                      | - , ,           | Pa  | ge !   | OFZ.   |  |
|---|-------------------------------------|--------------------------|-----------------------|---|------------------------|-----------------|---|--|--|--|
| PAYER'S name, street address, country, and ZIP or foreign posta |                                     | province,                | 1                     | Gross distributi  | ion                    | ОМ              | B No. 1545-0119                                     | _  | histributions From nsions, Annuities,            |  |
|   |                                     |                          |                       | 29,18<br>Taxable amour  |                        | 4               | 2017  | Retirement or<br>Profit-Sharing<br>Plans, IRAs,<br>Insurance |  |  |
| TALLAHASSEE FL 32315-9000                                       |                                     |                          | \$                    | 29,18   | 9.76                   | F               | orm 1099-R  |  | Contracts, etc.                                  |  |
|   |                                     |                          | 2b                    | Taxable amour   |                        |                 | Total<br>distributio                                | n 🔲  | Copy B<br>Report this                            |  |
| PAYER'S federal identification number                           | RECIPIENT'S identi<br>number        | fication                 | 3                     | Capital gain (in in box 2a)   | cluded                 | 4               | Federal income withheld                             | tax  | income on your<br>federal tax                    |  |
| 59-1354377  | XXX-XX-                             |                          | \$                    |   |                        | s               | 15,73   | 30.80  | return. If this form shows federal income        |  |
| RECIPIENT'S name  |                                     |                          | 5                     | Employee contri<br>/Designated Ro-<br>contributions or<br>insurance premi | th                     | 6               | Net unrealized<br>appreciation in<br>employer's sec |  | tax withheld in<br>box 4, attach<br>this copy to |  |
| KRISTEN E CARLSON   | ١                                   |                          | \$                    | 0.00  |                        | \$              |   |  | your return.                                     |  |
| LAKELAND, FLOORS  | <del>-26</del> 01                   |                          | 7                     | Distribution code(s)  | IRA/<br>SEP/<br>SIMPLE | •               | Other   | %  | This information is being furnished to           |  |
|   |                                     |                          | 9a                    | Your percentage distribution  |                        |                 | Total employee con                                  |  | the Internal<br>Revenue Service.                 |  |
| 10 Amount allocable to IRR within 5 years                       | 11 1st year of desig. Roth contrib. | FATCA filing requirement | 12<br>\$              | State tax withhe  | eld                    | 13              | State/Payer's s                                     | tate no.   | 14 State distribution<br>\$                      |  |
| \$  |                                     |                          | \$                    |   |                        |                 |   |  | \$   |  |
| Account number (see instructions)                               |                                     |                          | 15 Local tax withheld |   | 16                     | Name of localit | у   | 17 Local distribution  |  |  |
| XXX-XX  |                                     |                          | \$                    |   |                        |                 |   |  | <b> \$</b><br>  <b>\$</b>                        |  |
| Form 1099-R   | www.irs.                            | gov/form1099r            |                       | ,   |                        | C               | epartment of the                                    | Freasury -   | Internal Revenue Service                         |  |

|  |                                     | CORRE                    | CII        | ±D (IT Checke                                | a)                     |                       |   |                    |   |
|--|-------------------------------------|--------------------------|------------|--|------------------------|-----------------------|---|--------------------|---|
| PAYER'S name, street address, country, and ZIP or foreign post |                                     | province,                | 1          | Gross distribut                              | ion                    | ОМ                    | B No. 1545-0119                                     |                    | Distributions From<br>ensions, Annuities, |
| FLORIDA RETIREME   | NT SYSTEM                           |                          | \$         | 29,18  | 9.76                   | a                     | 2 <b>017</b>  |                    | Retirement or<br>Profit-Sharing           |
| DIVISION OF RETIRE<br>PO BOX 9000                              |                                     |                          | 2a         | Taxable amour                                | nt                     | (                     | <u> </u>  |                    | Plans, IRAs,<br>Insurance                 |
| TALLAHASSEE FL 32  | 315-9000                            |                          | \$         | 29,18  | 9.76                   | Fo                    | m 1099-R  |                    | Contracts, etc.                           |
|  |                                     |                          | 2b         | Taxable amous                                |                        | Total<br>distribution |   |                    | Copy C<br>For Recipient's                 |
| PAYER'S federal identification number                          | RECIPIENT'S identifinumber          | ication                  | 3          | Capital gain (in in box 2a)                  | cluded                 | 4                     | Federal income<br>withheld                          | tax                | Records                                   |
| 59-1354377   | XXX-XX-                             |                          | \$         |  |                        | \$                    | 15,73   | 30.80              |   |
| RECIPIENT'S name   |                                     |                          | 5          | Employee contributions of insurance premium. | th<br>r                | 6                     | Net unrealized<br>appreciation in<br>employer's sec |                    |   |
| KRISTEN E CARLSO   | N                                   |                          | \$         | 0.00   |                        | \$                    |   |                    |   |
| LANGEAND, PL 6666  | <del>-200</del> 4                   |                          | 7          | Distribution code(s)                         | IRA/<br>SEP/<br>SIMPLE | 8                     | Other   | %                  | This information is being furnished to    |
|  |                                     |                          | 9a         | Your percentage<br>distribution              | of total               | 9b<br>\$              | Total employee con                                  | tributions<br>O.OO | the Internal<br>Revenue Service,          |
| 10 Amount allocable to IRR within 5 years                      | 11 1st year of desig. Roth contrib. | FATCA filing requirement | 12<br>\$   | State tax withh                              | eld                    | 13                    | State/Payer's s                                     | tate no.           | 14 State distribution \$                  |
| \$   | <u> </u>                            |                          | \$         |  |                        |                       |   |                    | \$  |
| Account number (see instructions                               | )                                   |                          | 15         | Local tax withh                              | eld                    | 16                    | Name of locali                                      | ty                 | 17 Local distribution                     |
| XXX-XX-9054  | 4                                   |                          | \$         |  |                        | ļ                     |   |                    | \$  |
| L  |                                     |                          | <u> \$</u> |  |                        | L_                    |   |                    | <u></u>                                   |
| Form 1099-R (keep for your re                                  | ecords)                             | www.irs.                 | gov/       | form1099r                                    |                        | E                     | epartment of the                                    | Treasury -         | Internal Revenue Service                  |

# STATE OF FLORIDA

Payment Date 4/30/2018

# STATEMENT OF RETIREMENT BENEFIT PAYMENTS

Attachment 9 20/2

| REMITTED BY                                      | PA               | YEE         | **WITHHO        | LDING | STATUS**    |
|--|------------------|-------------|-----------------|-------|-------------|
| DIVISION OF RETIREMENT                           | PAYEE : KRISTE   | N E CARLSON | MARITAL STATU   | S :   | S           |
| P.O. BOX 9000<br>TALLAHASSEE, FLORIDA 32315-9000 | PAYEE : XXX-XX   |             | ALLOWANCES      | :     | 0           |
| TALLET MODEL, FLORIDA OZOTO ODOO                 | MEMBER : KRISTE  | N E CARLSON | STATED W/H TAX  | X :   |             |
|  | MEMBER : XXX-XX  | ζ.          | ADDL W/H TAX    | :     | \$1,000.00  |
|  |                  |             | W/H TAX         | :     | Y           |
| SUMMARY OF BENEFITS AN                           | D DEDUCTIONS     | М           | SCELLANEOUS DED | UCTIO | NS          |
| RENEET DESCRIPTION THIS                          | DAVMENT CALENDAR | CODE DESC   | CDIDTION THIS   | DAVME | MT CALENDAE |

| SUMMARY OF BENE                | FITS AND DEDUC  | TIONS                    | MISCELLANEOUS DEDUCTIONS |                            |              |                      |  |  |  |
|--------------------------------|-----------------|--------------------------|--------------------------|----------------------------|--------------|----------------------|--|--|--|
| BENEFIT DESCRIPTION            | THIS PAYMENT    | CALENDAR<br>YEAR-TO-DATE | CODE                     | DESCRIPTION                | THIS PAYMENT | CALENDAR<br>YEAR-TO- |  |  |  |
| Retirement Benefit             | \$2,462.75      | \$9,851.00               |                          |                            |              | DATE                 |  |  |  |
| Health Insurance Subsidy (HIS) | <b>\$</b> 91,20 | \$364.80                 | 004                      | STATE HEALTH TRUST<br>FUND | \$692.84     | <b>\$</b> 2,771.36   |  |  |  |
|                                |                 |                          |                          |                            |              |                      |  |  |  |
| GROSS BENEFITS                 | \$2,553.95      | \$10,215.80              | 1                        |                            |              |                      |  |  |  |
| WITHHOLDING TAX                | \$1,253.63      | <b>\$</b> 5,014.52       |                          |                            |              |                      |  |  |  |
| MISC DEDUCTIONS                | \$692.84        | \$2,771.36               | l                        |                            |              |                      |  |  |  |
| NET BENEFITS                   | \$607.48        | \$2,429.92               | TOTAL                    | OF MISC DEDUCTIONS         | \$692.84     | \$2,771.36           |  |  |  |

If you have questions about this statement or your retirement: call toll free 1-844-377-1888 (or local 850-907-6500); visit our website frs.MyFlorida.com; write (see above) or email Retirement@dms.myflorida.com

THE DIVISION OF RETIREMENT, DEPARTMENT OF MANAGEMENT SERVICES FURNISHED THE ABOVE INFORMATION.

<sup>\*\*</sup>Refer to your online (Retiree) Account Information for current tax withholding status & allowances.

# Attachment 10

# **ULTIMATE II**

Statement Date: April 2, 2018

For the period April 1, 2017 to April 1, 2018





Your Representative:

GARY F FAIRCHILD 8415 SW 1ST AVE GAINESVILLE FL 32607-0000

#### Account Information

Owner(s):

Kristen C Gunter

Insured(s):

Kristen C Gunter

Policy Number:

0006799430

Policy Date: Premium Mode: 04/01/1985 ANNUAL

Modal Premium:

\$717.00

**Current Death Benefit:** 

\$100,000.00

Next Year's Projected Cost of Insurance: \$976.83

Guaranteed Interest Rate: 4.00%
This Year's Net Interest Rate: 4.00%

Next Year's Projected Net Interest Rate: 4.00%

Net Interest Rate Change: 0.00%

# **Activity Summary**

Beginning Value Accumulation on 4/1/2017 \$6,357.11

Amounts Added to Account

From Premiums (‡) \$0.00 From Interest \$219.22

From Interest
Amounts Deducted from Account

Cost of insurance \$876.53

**Ending Value Accumulation** 

Account on 4/1/2018 \$5,699.80

Loan Indebtedness Surrender Charge Net Cash Surrender Value on 4/1/2018

\$0.00

\$0.00

\$5,699.80

#### Loan Summary

**NO LOAN ACTIVITY** 

#### Important Information Notes

(‡) Option with respect to premium payment.

IMPORTANT POLICY OWNER NOTICE: You should consider requesting more detailed information about your policy to understand how it may perform in the future. You should not consider replacement of your policy or make changes in your coverage without requesting a current illustration. You may annually request, without charge, such an illustration by calling our Service Center at the time and hours printed below or by writing to us at the address provided or by contacting your representative. If you do not receive a current illustration of your policy within 30 days from your request, you should contact your state insurance department.

This status report is not complete without consideration of all page(s) of the report. Please keep this status report with your policy.

Questions? Please, contact your Jackson representative, or call us at 800-644-4565 Monday-Friday, 8:00 a.m. to 8:00 p.m. (ET).

Write us at Jackson Service Center, P.O. Box 24068, Lansing, MI 48909-4068

Email us at CustomerCare@jackson.com. Values at the speed of the internet! Register now at www.jackson.com.

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# Northwestern Mutual

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V27500E TO P455PD 1000 05E7615 P7P000<

# Variable Annuity Confirmation Statement

Contract No:

Annuitant:

Kristen E Carlson

Contract Date: 10/31/2001

B - RR Series front end design

Account Market:

Individual Retirement Annuity (IRA)

Risk Tolerance: Balanced

Page 1 of 2

Effective Date:

February 1, 2018

Transfer between funds according to portfolio rebalancing request

\$69,819.47

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Kristen E Carlson

Mason Street Advisors, LLC (MSA) is the principal investment adviser for all the Portfolios in the Northwestern Mutual Series Fund (Series Fund). (Portfolios in the Series Fund are identified by the parenthetical next to the portfolio name.) MSA has engaged and oversees sub-advisers who provide day-to-day management for certain of the Series Fund Portfolios. Each sub-adviser may be replaced without the approval of shareholders. Please see the prospectus for more information. Additional fund options are advised by Russell investments, Fidelity Investments® and Neuberger Berman Investment Advisers, LLC., and Credit Suisse Asset Management, LLC.

| मानाम् व्यक्तिः च्यातिकामानाम् हा       |            |            | <u></u>           |
|---|------------|------------|-------------------|
| /ariable Funds                          | Units      | Unit Value | Transaction Value |
| Equity Income (MSA/T Rowe Price)        | - 2,099.57 | \$3.43     | - \$7,207.40      |
| Equity Income (MSA/T Rowe Price)        | + 2,033.90 | 3.43       | + 6,981.95        |
| Neuberger Berman Socially Responsive    | - 2,032.13 | 2.12       | - 4,310.34        |
| Neuberger Berman Socially Responsive    | + 1,975.01 | 2.12       | + 4,189.17        |
| Fidelity VIP Contrafund                 | - 1,949.65 | 2.21       | - 4,310.66        |
| Fidelity VIP Contrafund                 | + 1,894.71 | 2.21       | + 4,189.17        |
| FocusedAppreciation(MSA/LoomisSayles)   | - 1,403.16 | 5.33       | - 7,486.10        |
| FocusedAppreciation(MSA/LoomisSayles)   | + 1,308.66 | 5.33       | + 6,981.94        |
| Mid Cap Value (MSA/Amer Century)        | - 668.50   | 4.21       | - 2,816.65        |
| Mid Cap Value (MSA/Amer Century)        | + 662.83   | 4.21       | + 2,792.78        |
| Fidelity VIP Mid Cap                    | - 525.95   | 5.57       | - 2,932.93        |
| Fidelity VIP Mid Cap                    | + 500.82   | 5.57       | + 2,792.78        |
| Small Cap Value (MSA/T Rowe Price)      | - 471.84   | 4.41       | - 2,084.93        |
| Small Cap Value (MSA/T Rowe Price)      | + 474.02   | 4.41       | + 2,094.58        |
| Research International Core (MSA/MFS)   | - 4,505.29 | 1.27       | - 5,744.31        |
| Research International Core (MSA/MFS)   | + 4,380.78 | 1.27       | + 5,585.56        |
| International Equity(MSA/Franklin Tmpl) | - 1,764.25 | 2.36       | - 4,170.12        |
| International Equity(MSA/Franklin Tmpl) | + 1,772.31 | 2.36       | + 4,189.17        |
| International Growth (MSA/FIAM LLC)     | - 1,823.89 | 2.35       | - 4,296.46        |
| International Growth (MSA/FIAM LLC)     | + 1,778.34 | 2.35       | + 4,189.17        |
| Emerging Markets Equity (MSA/Aberdeen)  | - 4,012.39 | 1.26       | - 5,060.41        |
| Emerging Markets Equity (MSA/Aberdeen)  | + 3,875.17 | 1.26       | + 4,887.36        |
| Select Bond (MSA/Wells Capital Mgmt)    | - 2,233.02 | 2.25       | - 5,045.71        |
| Select Bond (MSA/Wells Capital Mgmt)    | + 2,471.93 | 2.25       | + 5,585.56        |
| Strategic Bond (RIF)                    | - 1,134.48 | 2.22       | - 2,525.62        |
| Strategic Bond (RIF)                    | + 1,254.49 | 2.22       | + 2,792.78        |
| Inflation Protection (MSA/Amer Century) | - 928.61   | 1.38       | - 1,282.93        |
| Inflation Protection (MSA/Amer Century) | + 1,010.74 | 1.38       | + 1,396.39        |
| Multi Sector Bond (MSA/PIMCO)           | - 1,454.78 | 1.76       | - 2,574.65        |

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A-Hachment 11

Page 20F2.

# Variable Annuity **Confirmation Statement**

Annuitant: Kristen E Carlson

Contract No.

Page 2 of 2

1.396.39

2,792,78

1,396.39

3,490.97

3,490.97

\$69,819.47

| English of the complex of Addition                   |  |            |   |
|--|--|------------|---|
| Variable Funds                                       | Units  | Unit Value | Transaction Value   |
| Multi Sector Bond (MSA/PIMCO)                        | + 1,578.04   | 1.76       | **************************************  |
| High Yield Bond (MSA/Federated Inv.)                 | - 424.09   | 3.02       | - 1 <sub>*</sub> 284.07   |
| High Yield Bond (MSA/Federated Inv.)                 | + 461.19   | 3.02       | + 1,396,39  |
| Global Real Estate Securities (RIF)                  | - 661.16   | 4.94       | - 3,271.40  |
| Global Real Estate Securities (RIF)                  | + 705.54   | 4.94       | + 3,490.97  |
| Credit Suisse Commodity Strategy                     | - 690.31   | 4.94       | - 3,414.78  |
| Credit Suisse Commodity Strategy                     | + 705.71   | 4.94       | + 3,490.97  |
| เรื่องเล่า การ์ที่เรื่อง การเกิดเรื่อง เล่าเกิดเลือง | The special special section of the s |            | Superior of the second |
|  |  |            |   |
| Variable Funds                                       | Units  | Unit Value | Contract Value  |
| Equity Income (MSA/T Rowe Price)                     | 2,033.90   | \$3.43     | \$6,981.95  |
| Neuberger Berman Socially Responsive                 | 1,975.01   | 2.12       | 4,189.17  |
| Fidelity VIP Contrafund                              | 1,894,71   | 2.21       | 4,189.17  |
| FocusedAppreciation(MSA/LoomisSayles)                | 1,308.66   | 5.33       | 6,981,94  |
| Mid Cap Value (MSA/Amer Century)                     | 662.83   | 4.21       | 2,792.78  |
| Fidelity VIP Mid Cap                                 | 500.82   | 5.57       | 2,792.78  |
| Small Cap Value (MSA/T Rowe Price)                   | 474.02   | 4.41       | 2,094.58  |
| Research International Core (MSA/MFS)                | 4,380.78   | 1.27       | 5,585.56  |
| International Equity(MSA/Franklin Tmpl)              | 1,772.31   | 2.36       | 4,189.17  |
| International Growth (MSA/FIAM LLC)                  | 1,778.34   | 2.35       | 4,189.17  |
| Emerging Markets Equity (MSA/Aberdeen)               | 3,875.17   | 1.26       | 4,887.36  |
| Select Bond (MSA/Wells Capital Mgmt)                 | 2,471.93   | 2.25       | 5,585.56  |
| Strategic Bond (RIF)                                 | 1,254.49   | 2.22       | 2,792.78  |

1.010.74

1,578.04

461.19

705.54

705.71

Thank you for choosing a Northwestern Mutual Annuity. If we can be of service, please contact your

Financial Representative: Thomas G Pate, CLU, ChFC

Inflation Protection (MSA/Amer Century)

High Yield Bond (MSA/Federated Inv.)

Global Real Estate Securities (RIF)

Credit Suisse Commodity Strategy

**TOTAL CONTRACT VALUE** 

Multi Sector Bond (MSA/PIMCO)

Ste 201

4729 US Highway 98 S Lakeland FL 33812 Phone No. (863) 577-3267 **Network Office:** Thomas Goes Assoc Inc

1.38

1.76

3.02

4.94

4.94

1000 Legion Pl Ste 1400 Orlando FL 32801-1041

or call the Annuity Customer Service Center at 1-888-455-2232, or visit us at www.northwesternmutual.com.

The Select Variable Annuity offers access to funds that cover a wide range of asset classes and investment styles. The Select Variable Annuity also offers a variety of income options for flexibility in deciding how to receive income during retirement.

Includes all transactions processed through 02/01/18.

# Northwestern Mutual

# Variable Annuity **Summary Statement**

For the Period: 01/01/2018 through 03/31/2018

Contract No:

Annuitant:

Kristen E Carlson

Contract Date:

10/31/2001

Account Market

B - RR Series front end design individual Retirement Annuity (IRA)

Attachment 11 B

Page 1 of 2

V27500E X01 P455P0 4000 84P8552 1202000 Kristen E Carlson

Lakeland FL 33803

**Beginning Contract Value** Investment Return **Ending Contract Value** 

\$67,244,71 - 545.47 \$66,699.24

Mason Street Advisors, LLC (MSA) is the principal investment adviser for all the Portfolios in the Northwestern Mutual Series Fund (Series Fund). (Portfolios in the Series Fund are identified by the parenthetical next to the portfolio name.) MSA has engaged and oversees sub-advisers who provide day-to-day management for certain of the Series Fund Portfolios. Each sub-adviser may be replaced without the approval of shareholders. Please see the prospectus for more information. Additional fund options are advised by Russell Investments, Fidelity Investments® and Neuberger Berman Investment Advisers, LLC., and Credit Suisse Asset Management, LLC.

| Variable Funds                          | Percent of<br>Contract Value | Units    | Unit Value | Contract Value | Current Period<br>Investment Return |
|---|------------------------------|----------|------------|----------------|-------------------------------------|
| Equity Income (MSA/T Rowe Price)        | 10%                          | 2,033.90 | \$3.19     | \$6,501.96     | -\$151.39                           |
| Neuberger Berman Socially Responsive    | 6%                           | 1,975.01 | 2.00       | 3,961.27       | 22.18                               |
| Fidelity VIP Contrafund                 | 6%                           | 1,894.71 | 2.09       | 3,966.31       | 14.79                               |
| FocusedAppreciation(MSA/LoomisSayles)   | 10%                          | 1,308.66 | 4.99       | 6,540.84       | 77.17                               |
| Mid Cap Value (MSA/Amer Century)        | 4%                           | 662.83   | 3.96       | 2,629.07       | <b>-65.65</b>                       |
| Fidelity VIP Mid Cap                    | 4%                           | 500.82   | 5.29       | 2,650.07       | 11.80                               |
| Small Cap Value (MSA/T Rowe Price)      | 3%                           | 474.02   | 4.28       | 2,028.97       | -53.50                              |
| Research International Core (MSA/MFS)   | 8%                           | 4,380.78 | 1.20       | 5,284.56       | -24.48                              |
| International Equity(MSA/Franklin Tmpl) | 6%                           | 1,772.31 | 2.21       | 3,923.94       | <b>-64.06</b>                       |
| International Growth (MSA/FIAM LLC)     | 6%                           | 1,778.34 | 2.22       | 3,952.43       | -4.05                               |
| Emerging Markets Equity (MSA/Aberdeen)  | 7%                           | 3,875.17 | 1.17       | 4,550.92       | 18.88                               |
| Select Bond (MSA/Wells Capital Mgmt)    | 8%                           | 2,471.93 | 2.25       | 5,576.78       | -84.47                              |
| Strategic Bond (RIF)                    | 4%                           | 1,254.49 |            | 2,787.00       | -36.54                              |
| Inflation Protection (MSA/Amer Century) | 2%                           | 1,010.74 |            | 1,391.52       | -19. <del>44</del>                  |
| Multi Sector Bond (MSA/PIMCO)           | 4%                           | 1,578.04 | 1.76       | 2,777.82       | -30.36                              |
| High Yield Bond (MSA/Federated Inv.)    | 2%                           | 461.19   | 2.98       | 1,374.80       | -18.76                              |
| Global Real Estate Securities (RIF)     | 5%                           | 705.54   | 4.80       | 3,390.26       | -122.25                             |
| Credit Suisse Commodity Strategy        | 5%                           | 705.71   | 4.83       | 3,410.72       | -15.34                              |
| Totals                                  | 100%                         |          |            | \$66,699.24    | -\$545.47                           |

Cash surrender value at the beginning of the current period on 01/01/2018 was \$67,244.71. The cash surrender value at the end of the current period on 03/31/2018 was \$66,699.24.

| Date       | Fund<br>Number | Fund Name                           | Transaction          | Number<br>of Units | Unit Value  | Dollar Amount  |
|------------|----------------|-------------------------------------|----------------------|--------------------|-------------|----------------|
| Diffe      | MONIDE         | I did regite                        | Harisasion           | OI OIRS            | Cilit Varue | DONAL ASSOCIA  |
|            | 69099          | PIMCO Global Diversified Allocation | SecurePay Withdrawal | 20.1020            | 12.674530   | \$254.78       |
| 01/30/2018 | 69006          | Oppenheimer Government Money Fund   | SecurePay Fee        | 3.9400             | 9.231422    | <b>\$36.3</b>  |
|            | 69037          | Lord Abbett Bond-Debenture          | SecurePay Fee        | 1.7420             | 14.015451   | \$24.4         |
|            | 69057          | Franklin US Government Securities   | SecurePay Fee        | 1.2180             | 9.861596    | \$12.0         |
|            | 69080          | PIMCO Real Return                   | SecurePay Fee        | 2.3640             | 10.180360   | \$24.0         |
|            | 69082          | PIMCO Total Return                  | SecurePay Fee        | 2.1920             | 10.956891   | \$24.0         |
|            | 69091          | Goldman Sachs Global Trends Alloc   | SecurePay Fee        | 5.0600             | 12.368041   | \$62.5         |
|            | 69099          | PIMCO Global Diversified Allocation | SecurePay Fee        | 4.7880             | 13,077739   | \$62.6         |
| 02/02/2018 | 69006          | Oppenheimer Government Money Fund   | SecurePay Withdrawal | 16.4830            | 9.231497    | \$152.1        |
|            | 69037          | Lord Abbett Bond-Debenture          | SecurePay Withdrawal | 7.2840             | 13.935401   | \$101.5        |
|            | 69057          | Franklin US Government Securities   | SecurePay Withdrawal | 5.0960             | 9.819312    | \$50.0         |
|            | 69080          | PIMCO Real Return                   | SecurePay Withdrawal | 9.8820             | 10.137886   | \$100.1        |
|            | 69082          | PIMCO Total Return                  | SecurePay Withdrawai | 9.1760             | 10.917983   | \$100.1        |
|            | 69091          | Goldman Sachs Global Trends Alloc   | SecurePay Withdrawal | 21.1620            | 12.175122   | \$257.6        |
|            | 69099          | PIMCO Global Diversified Allocation | SecurePay Withdrawal | 20.0240            | 12.856847   | \$257.4        |
| 03/01/2018 | 69006          | Oppenheimer Government Money Fund   | SecurePay Fee        | 4.0450             | 9.228090    | \$37.3         |
|            | 69037          | Lord Abbett Bond-Debenture          | SecurePay Fee        | 1.7890             | 13.798621   | \$24.6         |
|            | 69057_         | Franklin US Government Securities   | SecurePay Fee        | 1.2490             | 9.809821    | \$12.2         |
|            | 69080          | PIMCO Real Return                   | SecurePay Fee        | 2.4260             | 10.111553   | \$24.5         |
|            | 69082          | PIMCO Total Return                  | SecurePay Fee        | 2.2500             | 10.902034   | \$24.5         |
|            | 69091          | Goldman Sachs Global Trends Alloc   | SecurePay Fee        | 5.1940             | 11.818807   | \$61.3         |
|            | 69099          | PIMCO Global Diversified Allocation | SecurePay Fee        | 4.9140             | 12.486635   | <b>\$</b> 61.3 |
| 03/02/2018 | 69006          | Oppenheimer Government Money Fund   | SecurePay Withdrawal | 16.7640            | 9.228469    | \$154.7        |
|            | 69037          | Lord-Abbett Bond-Debenture          | SecurePay Withdrawal | 7.4070             | 13.786917   | \$102.1        |
|            | 69057          | Franklin US Government Securities   | SecurePay Withdrawal | 5.1820             | 9.792996    | \$50.7         |
|            | 69080          | PIMCO Real Return                   | SecurePay Withdrawal | 10.0570            | 10,102923   | \$101.6        |
|            | 69082          | PIMCO Total Return                  | SecurePay Withdrawal | 9.3270             | 10.873103   | *              |
|            | 69091          | Goldman Sachs Global Trends Alloc   | SecurePay Withdrawal | 21.5240            | 11.818384   |                |
|            | 69099          | PIMCO Global Diversified Allocation | SecurePay Withdrawal | 20.3580            | 12,486188   | \$254.1        |

# Important Information

Please review this statement carefully and contact us or your financial advisor promptly if you have questions, or need to advise us of any error in the information presented. Within thirty (30) days of receiving this Statement, you should review it and advise Protective in writing of any omission or inaccuracy in the transactions or positions reported. Except for any omission or inaccuracy resulting from Protective's failure to comply with our obligations under your Contract(s) with us, or that would not be readily apparent from a reasonable review of your Statement, if you do not advise us in writing of any errors, omissions or inaccuracies within the thirty (30) day period, we will presume that your Statement is correct, absent manifest errors or omissions. We will not process corrections more than thirty (30) days after you receive your Statement.

If you had a distribution during 2017, 1099-R forms will be available online beginning in February 2018 for most contract holders. To access, go to www.myaccount.protective.com.

At Protective Life Insurance Company, we strive to provide our customers with high quality products and services.

For further information about your annuity contract, please visit www.myaccount.protective.com or call 800-456-6330 Monday through Thursday 7:30 a.m. through 6:00 p.m. Central Time and Friday 7:30 a.m. through 5:00 p.m. Central Time.

Annuities are not deposits or obligations of, or guaranteed by, any bank or financial institution. Annuities are not insured by the FDIC or any other agency and are subject to risk, including the loss of principal.



BRANCH BANKING & TRUST CO. 2501 WOOTEN BLVD SW WILSON NC 27893-4426 TELEPHONE NO. 1-800-394-1470

E.I.N. 56-1521960

# Attachment 12 1

page 1 of 2



Page 1 of 2

KRISTEN E CARLSON

THIS INFORMATION IS BEING PROVIDED TO THE INTERNAL REVENUE SERVICE.

FOR CALENDAR YEAR

2017

TAXPAYER ID NUMBER

265-08-9054

2017 - 1099-INT, INTEREST INCOME

ACCOUNT NUMBER

CHECKING

BOX 1 INTEREST INCOME

8.76

TOTAL INTEREST

8.76

2017 - 1099-INT, INTEREST INCOME

ACCOUNT NUMBER

US SAV/TREAS OB

BOX 3

INTEREST ON U.S. SAVINGS BONDS AND

TREAS. OBLIGATIONS

10,975.68

TOTAL U.S. TREASURY NOTES

10,975.68

1099-INT - Interest income (OMB No. 1545-0112) Copy B For Recipient

IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

Page 2 c

#### 1099-INT - Interest Income (OMB No. 1545-0112)

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer may report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

- Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified energy construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2017 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.
- Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.
- Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.
- **Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.
- Box 5. Any amount shown is your share of investment expenses of a single class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.
- Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.
- Box 7. Shows the country or U.S. possession to which the foreign tax was paid.
- Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See box 4. See the instructions above for a tax-exempt covered security acquired at a premium.

- Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.
- Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the instructions for Form 1040 or 1040A. Market discount on a tax-exempt security is includible in taxable income as interest income.
- Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).
- Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unifuou notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount In this box is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).
- Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in this box is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).
- Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).
- Boxes 15 17. State tax withheld reporting boxes.

Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099int.



# Northwestern Mutual

>00505L 5258945 0001 092249 10Z 30027SV

# Variable Annuity Summary Statement

For the Period: 01/01/2018 through 03/31/2018

Contract No:

Annuitant:

Kristen E Carlson

Contract Date:

10/31/2001

Account Market B - RR Series front end design Individual Retirement Annuity (IRA)

Attachment 11-B

Page 1 of 2

Beginning Contract Value investment Return

**Ending Contract Value** 

Kristen E Carlson

Lakeland FL 33803

\$67,244.71 - 545.47

\$66,699.24

Mason Street Advisors, LLC (MSA) is the principal investment adviser for all the Portfolios in the Northwestern Mutual Series Fund (Series Fund). (Portfolios in the Series Fund are identified by the parenthetical next to the portfolio name.) MSA has engaged and oversees sub-advisers who provide day-to-day management for certain of the Series Fund Portfolios. Each sub-adviser may be replaced without the approval of shareholders. Please see the prospectus for more information. Additional fund options are advised by Russell Investments, Fidelity Investments® and Neuberger Berman Investment Advisers, LLC., and Credit Suisse Asset Management, LLC.

| Variable Funds                          | Percent of<br>Contract Value | Units    | Unit Value | Contract Value           | Current Period<br>Investment Return |
|---|------------------------------|----------|------------|--------------------------|-------------------------------------|
| Equity Income (MSA/T Rowe Price)        | 10%                          | 2,033.90 | \$3.19     | \$6,501.96               | -\$151.39                           |
| Neuberger Berman Socially Responsive    | 6%                           | 1,975.01 | 2.00       | 3,961.27                 | 22.18                               |
| Fidelity VIP Contrafund                 | 6%                           | 1,894.71 | 2.09       | 3,966.31                 | 14.79                               |
| FocusedAppreciation(MSA/LoomisSayles)   | 10%                          | 1,308.66 | 4.99       | 6,540.84                 | 77.17                               |
| Mid Cap Value (MSA/Amer Century)        | 4%                           | 662.83   | 3.96       | 2,629.07                 | -65.65                              |
| Fidelity VIP Mid Cap                    | 4%                           | 500.82   | 5.29       | 2,650.07                 | 11.80                               |
| Small Cap Value (MSA/T Rowe Price)      | 3%                           | 474.02   | 4.28       | 2,028.97                 | -53.50                              |
| Research International Core (MSA/MFS)   | 8%                           | 4,380.78 | 1.20       | 5,284.56                 | -24.48                              |
| International Equity(MSA/Franklin Tmpl) | 6%                           | 1,772.31 | 2.21       | 3,923.94                 | -64.06                              |
| International Growth (MSA/FIAM LLC)     | 6%                           | 1,778.34 | 2.22       | 3,952.43                 | -4.05                               |
| Emerging Markets Equity (MSA/Aberdeen)  | 7%                           | 3,875.17 | 1.17       | 4,550.92                 | 18.88                               |
| Select Bond (MSA/Wells Capital Mgmt)    | 8%                           | 2,471.93 | 2.25       | 5, <b>576</b> .78        | -84.47                              |
| Strategic Bond (RIF)                    | 4%                           | 1,254.49 | 2.22       | 2,787.00                 | -36.54                              |
| Inflation Protection (MSA/Amer Century) | 2%                           | 1,010.74 | 1.37       | 1,391.52                 | -19.44                              |
| Multi Sector Bond (MSA/PIMCO)           | 4%                           | 1,578.04 | 1.76       | 2,777.82                 | -30.36                              |
| High Yield Bond (MSA/Federated Inv.)    | 2%                           | 461.19   | 2.98       | 1,374.80                 | -18.76                              |
| Global Real Estate Securities (RIF)     | 5%                           | 705.54   | 4.80       | 3,390.26                 | -122.25                             |
| Credit Suisse Commodity Strategy        | 5%                           | 705.71   | 4.83       | 3,410.72                 | <u>-15.34</u>                       |
| Totals                                  | 100%                         |          |            | \$66,69 <del>9</del> .24 | -\$545.47                           |

Cash surrender value at the beginning of the current period on 01/01/2018 was \$67,244.71. The cash surrender value at the end of the current period on 03/31/2018 was \$66,699.24.

# Quarterly Transaction Detail - continued

| Date       | Fund<br>Number | Fund Name                           | 7                    | Number   | 11-24.35-L | D                |
|------------|----------------|-------------------------------------|----------------------|----------|------------|------------------|
| Date       | MINIMON        | rung name                           | Transaction          | of Units | Unit Value | Dollar Amount    |
|            | 69099          | PIMCO Global Diversified Allocation | SecurePay Withdrawal | 20.1020  | 12.674530  | \$254.78         |
| 01/30/2018 | 69006          | Oppenheimer Government Money Fund   | SecurePay Fee        | 3.9400   | 9.231422   | \$36.37          |
|            | 69037          | Lord Abbett Bond-Debenture          | SecurePay Fee        | 1.7420   | 14.015451  | \$24.41          |
|            | 69057          | Franklin US Government Securities   | SecurePay Fee        | 1.2180   | 9.861596   | \$12.01          |
|            | 69080          | PIMCO Real Return                   | SecurePay Fee        | 2.3640   | 10.180360  | \$24.07          |
|            | 69082          | PIMCO Total Return                  | SecurePay Fee        | 2.1920   | 10.956891  | \$24.02          |
|            | 69091          | Goldman Sachs Global Trends Alloc   | SecurePay Fee        | 5.0600   | 12.368041  | \$62.58          |
|            | 69099          | PIMCO Global Diversified Allocation | SecurePay Fee        | 4.7880   | 13.077739  | \$62.61          |
| 02/02/2018 | 69006          | Oppenheimer Government Money Fund   | SecurePay Withdrawal | 16.4830  | 9.231497   | <b>\$1</b> 52.16 |
|            | 69037          | Lord Abbett Bond-Debenture          | SecurePay Withdrawal | 7.2840   | 13.935401  | \$101.5°         |
|            | 69057          | Franklin US Government Securities   | SecurePay Withdrawal | 5.0960   | 9.819312   | \$50.04          |
|            | 69080          | PIMCO Real Return                   | SecurePay Withdrawal | 9.8820   | 10.137886  | \$100.10         |
|            | 69082          | PIMCO Total Return                  | SecurePay Withdrawal | 9.1760   | 10.917983  | \$100.18         |
|            | 69091          | Goldman Sachs Global Trends Alloc   | SecurePay Withdrawal | 21,1620  | 12.175122  | \$257.6          |
|            | 69099          | PIMCO Global Diversified Allocation | SecurePay Withdrawal | 20.0240  | 12.856847  | \$257.4          |
| 03/01/2018 | 69006          | Oppenheimer Government Money Fund   | SecurePay Fee        | 4.0450   | 9.228090   | \$37.33          |
|            | 69037          | Lord Abbett Bond-Debenture          | SecurePay Fee '      | 1.7890   | 13.798621  | \$24.6           |
|            | 69057          | Franklin US Government Securities   | SecurePay Fee        | 1.2490   | 9.809821   | \$12.2           |
|            | 69080          | PIMCO Real Return                   | SecurePay Fee        | 2.4260   | 10.111553  | \$24.53          |
|            | 69082          | PIMCO Total Return                  | SecurePay Fee        | 2.2500   | 10.902034  | \$24.53          |
|            | 69091          | Goldman Sachs Global Trends Alloc   | SecurePay Fee        | 5.1940   | 11.818807  | <b>\$</b> 61.39  |
|            | 69099          | PIMCO Global Diversified Allocation | SecurePay Fee        | 4.9140   | 12.486635  | <b>\$</b> 61.36  |
| 03/02/2018 | 69006          | Oppenheimer Government Money Fund   | SecurePay Withdrawal | 16.7640  | 9.228469   | \$154.71         |
|            | 69037          | Lord-Abbett Bond-Debenture          | SecurePay Withdrawal | 7.4070   | 13.786917  | \$102.13         |
|            | 69057          | Franklin US Government Securities   | SecurePay Withdrawal | 5.1820   | 9.792996   | \$50.7           |
|            | 69080          | PIMCO Real Return                   | SecurePay Withdrawal | 10.0570  | 10.102923  | \$101.6°         |
|            | 69082          | PIMCO Total Return                  | SecurePay Withdrawal | 9.3270   | 10.873103  | \$101.4          |
|            | 69091          | Goldman Sachs Global Trends Alioc   | SecurePay Withdrawal | 21.5240  | 11.818384  | \$254.3          |
|            | 69099          | PIMCO Global Diversified Allocation | SecurePay Withdrawal | 20.3580  | 12.486188  | \$254.19         |

# Important Information

Please review this statement carefully and contact us or your financial advisor promptly if you have questions, or need to advise us of any error in the information presented. Within thirty (30) days of receiving this Statement, you should review it and advise Protective in writing of any omission or inaccuracy in the transactions or positions reported. Except for any omission or inaccuracy resulting from Protective's failure to comply with our obligations under your Contract(s) with us, or that would not be readily apparent from a reasonable review of your Statement, if you do not advise us in writing of any errors, omissions or inaccuracies within the thirty (30) day period, we will presume that your Statement is correct, absent manifest errors or omissions. We will not process corrections more than thirty (30) days after you receive your Statement.

If you had a distribution during 2017, 1099-R forms will be available online beginning in February 2018 for most contract holders. To access, go to www.myaccount.protective.com.

At Protective Life Insurance Company, we strive to provide our customers with high quality products and services.

For further information about your annuity contract, please visit www.myaccount.protective.com or call 800-456-6330 Monday through Thursday 7:30 a.m. through 6:00 p.m. Central Time and Friday 7:30 a.m. through 5:00 p.m. Central Time.

Annuities are not deposits or obligations of, or guaranteed by, any bank or financial institution. Annuities are not insured by the FDIC or any other agency and are subject to risk, including the loss of principal.

# A HACHMENT 13



STATE OF FLORIDA DEFERRED COMPENSATION PLAN PO BOX 182797 COLUMBUS OH 43218-2797



Acct#: October 1, 2017 - December 31, 2017

# KRISTEN E CARLSON

Please review your statement carefully. Corrections may not be accepted more than 45 days after the closing date of the statement.

#### **Questions? Contact Us!**

**CUSTOMER SERVICE: (800)949-4457** 

(ESPANOL): (800)949-4457

TTY: (800)949-4457

Website: www.nrsflorida.com

Write us at: NATIONWIDE RETIREMENT

SOLUTIONS

PO BOX 182797

COLUMBUS OH 43218-2797

Nationwide Investment Services Corporation, member FiNRA, In Michigan Only: Nationwide Investment Svcs. Corporation

#### **Activity at a Glance**

|                                  | 457(b) Plan  |
|----------------------------------|--------------|
| Balance as of 10-01-17           | \$118,270.80 |
| Contributions/Transfers In*      | \$0.00       |
| Interest/Dividend/Cap Gain/Reimb | \$6,508.22   |
| Gain/Loss                        | -\$2,716.63  |
| Withdrawals/Transfers Out**      | -\$1,805.40  |
| Charges/Fees                     | \$0.00       |
| Balance as of 12-31-17           | \$120,256.99 |

<sup>\*</sup>Including, but not limited to, Employee and Employer Contributions, Rollovers and Transfers In.

# **Total Gain Loss Since Joining**

|             | Contributions Since Joining/ | Withdrawals/Transfers |                | Total Balance as         |
|-------------|------------------------------|-----------------------|----------------|--------------------------|
| Plan Type   | Transfers In/Loan Payment    | Out/Loan Disbursement | Gain/Loss/Fees | of 12-31-17              |
| 457(b) Plan | \$55,653.00                  | -\$13,221.60          | \$77,825.59    | \$1 <del>20,256,99</del> |

<sup>&</sup>quot;Since Joining" refers to the period of time your account has been administered by Nationwide. If applicable, the above data is inclusive of Self Directed Option balance. For more information about asset fees, contact your customer service center.

#### Contributions and Distributions Summary - October 1, 2017 to December 31, 2017

|             | Last         | Contributions | Contributions | Last         | Distributions |
|-------------|--------------|---------------|---------------|--------------|---------------|
|             | Contribution | This          | Year          | Distribution | Year          |
|             | Amount       | Period        | to Date       | Amount       | to Date       |
| 457(b) Plan | <u> </u>     | \$0.00        | \$0.00        | -\$601.80    | -\$7,221.60   |

#### Your Asset Allocation - October 1, 2017 to December 31, 2017

To the right is your Account Allocation, Your Account Allocation is how the money in your account is divided among the investment options you have selected.

For more information on contribution and account allocation, log on to WWW.NRSFLORIDA.COM

\*Percentages and totals may not be exact due to rounding.

#### Your Account Allocation



# **Investment Type**

| <br>41% Large-Cap Stocks | \$48,781.42  |
|--------------------------|--------------|
| 20% Fixed Assets/Cash    | \$24,600.03  |
| 16% International Stocks | \$19,528.38  |
| 12% Mid-Cap Stocks       | \$13,874.79  |
| 11% Small-Cap Stocks     | \$13,472.37  |
| 100% Total Percent/Value | \$120,256.99 |
|                          |              |

<sup>\*\*</sup>Including, but not limited to, Rollovers and Transfers Out, Partial and Lump Sum Payments.

# ATTACHMENT 13 Page 20F5

#### **Statement Details**

# Investment Option Summary - October 1, 2017 to December 31, 2017

Ending Price is as of 12/31/2017

| TOTAL                               | 100.00%                               |              |              | \$120,256.99 |
|-------------------------------------|---------------------------------------|--------------|--------------|--------------|
| Fixed Assets/Cash Total             | 25.00%                                |              |              | \$24,600.03  |
| Nrs Florida Fixed Fund              | 25.00%                                |              |              | \$24,600.03  |
| Fixed Assets/Cash                   |                                       |              |              |              |
| Large-Cap Stocks Total              | 25.00%                                |              |              | \$48,781.42  |
| *Invsco Gr Inc A                    | 0.00%                                 | 26.9700      | 1,236.6703   | \$33,353.00  |
| *Fid Contra                         | 25.00%                                | 122.4700     | 125.9771     | \$15,428.42  |
| Large-Cap Stocks                    |                                       |              |              |              |
| Mid-Cap Stocks Total                | 25.00%                                |              |              | \$13,874.79  |
| *JPM MdCap Val I                    | 25.00%                                | 39.8200      | 348.4376     | \$13,874.79  |
| Mid-Cap Stocks                      |                                       |              |              |              |
| International Stocks Total          | 0.00%                                 |              |              | \$19,528.38  |
| *Okmrk Intl I                       | 0.00%                                 | 28.5700      | 683.5275     | \$19,528.38  |
| International Stocks                |                                       |              |              |              |
| Small-Cap Stocks Total              | 25.00%                                |              |              | \$13,472.37  |
| Small-Cap Stocks *NeuBer Genesis Tr | 25.00%                                | 57.6500      | 233.6924     | \$13,472.37  |
| 57(b) Salary Reduction              |                                       |              |              |              |
| nvestment Option                    | Current Investment<br><u>Election</u> | Ending Price | Units/Shares | <u>Value</u> |
|                                     | Current Investment                    |              |              |              |

<sup>\*</sup> Fund is valued in shares rather than units

# Your Account Values by Investment Option - October 1, 2017 to December 31, 2017

| Beginning<br><u>Balance</u> | Contributions/<br>Transfers In   | Exchanges  | Withdrawals/<br>Transfers Out  | Charges/<br>Credits/Fees  | Interest/<br>Dividend/<br>Cap Gain/<br><u>Reimb</u>  | Gain/Loss   | Ending<br><u>Balance</u>   |
|-----------------------------|--|--|--|---|--|---|--|
| \$13,435.84                 | \$0.00   | \$0.00   | \$0.00   | \$0.00  | \$1,628.43   | -\$1,591.90   | \$13,472.37  |
| <b>\$13,435.84</b>          | \$0.00   | <b>\$0.00</b>  | <b>\$0.00</b>  | <b>\$0.00</b>   | \$1,628.43   | - <b>\$1,591.90</b>   | \$13,472.37  |
| \$19,075,13                 | \$0,00   | \$0.00   | \$0.00   | \$0,00  | \$576.63   | -\$123,38   | \$19,528.38  |
| <b>\$19,075.13</b>          | <b>\$0</b> ,00   | <b>\$0,00</b>  | <b>\$0.00</b>  | <b>\$0,00</b>   | <b>\$576.63</b>  | -\$123,38   | \$19,528.38  |
| \$13,264,17                 | \$0.00   | \$0.00   | \$0.00   | \$0.00  | \$341.66   | \$268.96  | \$13,874.79  |
| \$13,264,17                 | \$0.00   | \$0.00   | \$0.00   | \$0.00  | \$341.66   | \$268.96  | <b>\$13,874.79</b>   |
| \$14,453.25                 | \$0.00   | \$0.00   | \$0.00   | \$0.00  | \$820.09   | \$155.08  | \$15,428.42  |
| \$31,858.34                 | \$0.00   | \$0.00   | \$0.00   | \$0.00  | \$3,141,41   | -\$1,648.75   | \$33,353.00  |
| \$26,184.07                 | \$0,00   | \$0.00   | -\$1,805.40  | \$0.00<br>\$0.00  | \$0.00   | -\$1,491.67<br>\$221.36   | \$48,781.42<br>\$24,600.03   |
| \$26,184.07                 | \$0.00   | \$0.00   | -\$1,805.40  | \$0.00  | \$0.00   | \$221,36  | \$24,600.03  |
| \$118,270.80                | \$0.00   |  | -\$1,805.40  | \$0.00  | \$6,508.22   | -\$2,716,63   | \$120,256.99   |
|                             | \$13,435.84<br>\$13,435.84<br>\$19,075.13<br>\$19,075.13<br>\$13,264.17<br>\$13,264.17<br>\$14,453.25<br>\$31,868.34<br>\$46,311.59<br>\$26,184.07 | \$13,435.84 \$0.00<br>\$13,435.84 \$0.00<br>\$19,075.13 \$0.00<br>\$19,075.13 \$0.00<br>\$13,264.17 \$0.00<br>\$13,264.17 \$0.00<br>\$14,453.25 \$0.00<br>\$31,858.34 \$0.00<br>\$46,311.59 \$0.00<br>\$26,184.07 \$0.00<br>\$26,184.07 \$0.00 | Balance         Transfers In         Exchanges           \$13,435.84         \$0.00         \$0.00           \$13,435.84         \$0.00         \$0.00           \$19,075.13         \$0.00         \$0.00           \$19,075.13         \$0.00         \$0.00           \$13,264.17         \$0.00         \$0.00           \$13,264.17         \$0.00         \$0.00           \$13,858.34         \$0.00         \$0.00           \$31,858.34         \$0.00         \$0.00           \$46,311.59         \$0.00         \$0.00           \$26,184.07         \$0.00         \$0.00           \$26,184.07         \$0.00         \$0.00           \$26,184.07         \$0.00         \$0.00 | Balance         Transfers In         Exchanges         Transfers Out           \$13,435.84         \$0.00         \$0.00         \$0.00           \$19,075.13         \$0.00         \$0.00         \$0.00           \$19,075.13         \$0.00         \$0.00         \$0.00           \$13,264.17         \$0.00         \$0.00         \$0.00           \$13,264.17         \$0.00         \$0.00         \$0.00           \$13,264.17         \$0.00         \$0.00         \$0.00           \$13,264.17         \$0.00         \$0.00         \$0.00           \$4,453.25         \$0.00         \$0.00         \$0.00           \$31,858.34         \$0.00         \$0.00         \$0.00           \$46,311.59         \$0.00         \$0.00         \$0.00           \$26,184.07         \$0.00         \$0.00         \$1,805.40           \$26,184.07         \$0.00         \$0.00         \$1,805.40 | Balance         Transfers In         Exchanges         Transfers Out         Credits/Fees           \$13,435.84         \$0.00         \$0.00         \$0.00         \$0.00           \$19,075.13         \$0.00         \$0.00         \$0.00         \$0.00           \$19,075.13         \$0.00         \$0.00         \$0.00         \$0.00           \$13,264.17         \$0.00         \$0.00         \$0.00         \$0.00           \$13,264.17         \$0.00         \$0.00         \$0.00         \$0.00           \$13,264.17         \$0.00         \$0.00         \$0.00         \$0.00           \$13,264.17         \$0.00         \$0.00         \$0.00         \$0.00           \$4,453.25         \$0.00         \$0.00         \$0.00         \$0.00           \$31,858.34         \$0.00         \$0.00         \$0.00         \$0.00           \$46,311.59         \$0.00         \$0.00         \$0.00         \$0.00           \$26,184.07         \$0.00         \$0.00         \$1,805.40         \$0.00           \$26,184.07         \$0.00         \$0.00         \$1,805.40         \$0.00 | Beginning Balance         Contributions/<br>Transfers. In         Exchanges         Withdrawals/<br>Transfers Out         Charges/<br>Credits/Fees         Dividend/<br>Cap Gain/<br>Reimb           \$13,435.84         \$0.00         \$0.00         \$0.00         \$0.00         \$1,628.43           \$13,435.84         \$0.00         \$0.00         \$0.00         \$0.00         \$1,628.43           \$19,075.13         \$0.00         \$0.00         \$0.00         \$0.00         \$576.63           \$19,075.13         \$0.00         \$0.00         \$0.00         \$576.63           \$13,264.17         \$0.00         \$0.00         \$0.00         \$576.63           \$13,264.17         \$0.00         \$0.00         \$0.00         \$341.66           \$14,453.25         \$0.00         \$0.00         \$0.00         \$341.66           \$14,853.25         \$0.00         \$0.00         \$0.00         \$0.00         \$3,141.41           \$46,311.59         \$0.00         \$0.00         \$0.00         \$0.00         \$3,961.50           \$26,184.07         \$0.00         \$0.00         \$1,805.40         \$0.00         \$0.00           \$26,184.07         \$0.00         \$0.00         \$1,805.40         \$0.00         \$0.00 | Beginning   Contributions/   Exchanges   Transfers Out   Charges/   Charges/   Cap Gain/ |

<sup>\*</sup> Fund is valued in shares rather than units

Gain/Loss is the difference between the account balance on the first and last day of the period, after allowing for the effects of transactions.

### Transaction Details - October 1, 2017 to December 31, 2017

| Pay Period<br>End Date | Effective<br><u>Date</u><br>10/23/2017 | Total<br><u>Amount</u><br>-\$601.80 | Transaction <u>Type</u> Withdrawal | Money<br><u>Source</u><br>457(b) Salary<br>Reduction | Investment<br><u>Option</u><br>Nrs Florida Fixed Fund | <u>Price</u> | Units/Shares | Amount<br>Per<br><u>Fund</u><br>-\$601.80 |
|------------------------|--|-------------------------------------|------------------------------------|--|---|--------------|--------------|---|
|------------------------|--|-------------------------------------|------------------------------------|--|---|--------------|--------------|---|

# Transaction Details (continued)

| Pay Period<br>End Date | Effective<br><u>Date</u><br>11/22/2017 | Total<br><u>Amount</u><br>-\$601.80 | Transaction <u>Type</u> Withdrawal | Money <u>Source</u> 457(b) Salary Reduction | Investment<br><u>Option</u><br>Nrs Florida Fixed Fund | Price              | Units/Shares       | Amount<br>Per<br><u>Fund</u><br>-\$601.80 |
|------------------------|--|-------------------------------------|------------------------------------|---|---|--------------------|--------------------|---|
|                        | 12/8/2017                              | \$807.69                            | Capital Gain                       | 457(b) Salary<br>Reduction                  | Fid Contra  | 121.9300           | 6.6242             | \$807.69                                  |
|                        | 12/8/2017                              | \$12.40                             | Dividend                           | 457(b) Salary<br>Reduction                  | Fid Contra  | 121,9300           | 0.1017             | \$12.40                                   |
|                        | 12/13/2017                             | \$3,112.25                          | Capital Gain                       | 457(b) Salary<br>Reduction                  | Invsco Gr Inc A<br>JPM MdCap Val I                    | 26.7000<br>39,7900 | 107,3625<br>6.1742 | \$2,866.58<br>\$245.67                    |
|                        | 12/13/2017                             | \$274.83                            | Dividend                           | 457(b) Salary<br>Reduction                  | Invsco Gr Inc A                                       | 26.7000            | 10.2933            | \$274.83                                  |
|                        | 12/14/2017                             | \$320.64                            | Capital Gain                       | 457(b) Salary<br>Reduction                  | Okmrk Inti I  | 28.1200            | 11.4025            | \$320.64                                  |
|                        | 12/14/2017                             | \$255.99                            | Dividend                           | 457(b) Salary<br>Reduction                  | Okmrk Inti i  | 28,1200            | 9.1036             | \$255,99                                  |
|                        | 12/15/2017                             | \$1,618.13                          | Capital Gain                       | 457(b) Salary<br>Reduction                  | NeuBer Genesis Tr                                     | 57.0700            | 28.3534            | \$1,618.13                                |
|                        | 12/15/2017                             | \$10.30                             | Dividend                           | 457(b) Salary<br>Reduction                  | NeuBer Genesis Tr                                     | 57.0700            | 0.1805             | \$10.30                                   |
|                        | 12/20/2017                             | \$95.99                             | Dividend                           | 457(b) Salary<br>Reduction                  | JPM MdCap Val I                                       | 39.7000            | 2.4178             | \$95,99                                   |
|                        | 12/22/2017                             | -\$601.80                           | Withdrawal                         | 457(b) Salary<br>Reduction                  | Nrs Florida Fixed Fund                                |                    |                    | -\$601.80                                 |

# Fixed Account/Cash

457(b) Plan

| Investment                             | <u>Yield</u> |
|--|--------------|
| NW BANK LIQUID SAVINGS                 | 0.37%        |
| Nationwide Bank 1-Year CD 1st Qtr 2018 | 2.07%        |
| Nationwide Bank 3-Year CD 1st Qtr 2018 | 2.32%        |
| Nationwide Bank 5-Year CD 1st Qtr 2018 | 2.55%        |

### **IMPORTANT DISCLOSURES**

4th Qtr. Yield = 3.50%; 1st Qtr. Yield = 3.50%; Floor through 2018 = 3.50%.

Page 4 OF 5

# Investment Performance Information - October 1, 2017 to December 31, 2017

The following are percentage changes in Net Assets (with capital gains and income dividends reinvested) for the funds under the Plan, for the respective periods ended 12/31/2017. The results shown represent past performance and do not represent expected future performance or experience. Past performance does not guarantee future results. Investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost. Current performance may be lower or higher than the data quoted. Performance data current to the most recent month-end may be obtained by visiting: WWW.NRSFLORIDA.COM. Please consider the fund's investment objectives, risks, and charges and expenses carefully before investing. The prospectus contains this and other important information about the investment company. Prospectuses are available by calling (800)949-4457. Read the prospectus carefully before investing.

#### Please see other important disclosures at the end of this report.

Fund names with rymbol indicate a fund in which you were invested as of 12/31/2017.

|               |                                  | Annualized Current     |         | Cu       | rrent Year | •              |           |           |         |
|---------------|----------------------------------|------------------------|---------|----------|------------|----------------|-----------|-----------|---------|
| VRU#          | Fixed Investment Option          | Quarter Yield          | G       | uarantee | d Minimur  | n Yield        |           |           |         |
| <b>≆</b> 365  | NRS Florida Fixed Fund           | 3.500%                 | _       |          | N/A        |                |           |           |         |
|               |                                  |                        |         |          |            |                |           |           | Gross   |
| Ticker        |                                  | Morningstar            |         | Average  | Annual F   | Return         | Since     | Inception | Expense |
| Symbol        | Variable Investment Options      | Category               | 3 Month | 1 Year   | 5 Year     | 10 Year        | Inception | Date      | Ratio   |
|               | Specialty                        |                        |         |          |            |                |           |           |         |
| VGSNX         | Vngrd REIT Indx Inst (r)         | Real Estate            | 1.39%   | 4.93%    | 9.26%      | 7.67%          | 9.40%     | 12/02/03  | 0.10%   |
| 100.01        | Small-Cap Stocks                 | riour Estato           | 1.0070  | 4.5570   | 0.2070     | 7.07.70        | 0.4070    | 12102100  | 0.1070  |
| VSEIX         | JPM SmCap Eq I                   | Small Blend            | 4.23%   | 15.59%   | 15.23%     | 11.64%         | 13.64%    | 12/20/94  | 1.02%   |
| <b>≠NBGEX</b> | NeuBer Genesis Tr                | Small Growth           | 5.66%   | 15.50%   | 13.26%     | 8.22%          | 12.58%    |           | 1.10%   |
| HOOLX         | International Stocks             | Onian Crown            | 3.0076  | 15.50 /6 | 13.2076    | 0.2270         | 12.5076   | 03/2//00  | 1.1076  |
| RNWEX         | AmFds New Wid R4                 | Diversified Emerging   | 5.50%   | 32.60%   | 6.58%      | 3.10%          | 8.38%     | 06/17/99  | 1.01%   |
| TO THE        | Alli do Non Trio 134             | Mkts                   | 5.50 %  | 32.0076  | 0.50 /6    | 3.1076         | 0.5076    | 00/11/33  | 1.0176  |
| <b> CAKIX</b> | Okmrk Intl I                     | Foreign Large Blend    | 2.38%   | 29.75%   | 10.50%     | 6.95%          | 10.40%    | 09/30/92  | 1.05%   |
| VTSNX         | Vngrd Ttl IntlStkindx Inst (r)   |                        |         |          |            | 0.93 /6<br>N/A |           |           |         |
| ATONY         | Mid-Cap Stocks                   | Foreign Large Blend    | 4.87%   | 27.55%   | 7.14%      | N/A            | 6.18%     | 11/29/10  | 0.09%   |
| a ILIVOV      |                                  | Mid Oon Bland          | 4 000/  | 40 440/  | 40.000/    | 0.040/         | 40.000/   | 4440107   | 4 0494  |
| <b>⊄JMVSX</b> | JPM MdCap Val I                  | Mid-Cap Blend          | 4.60%   | 13.41%   |            | 9.34%          | 12.66%    |           | 1.01%   |
| VSPMX         | Vngrd SPMdCap400 Indx Inst       | Mid-Cap Blend          | 6.24%   | 16.18%   |            | N/A            | 12.17%    |           | 0.08%   |
| FMDCX         | Fed MdCap Indx Inst Svc (a)      | Mid-Cap Blend          | 6.11%   | 15.57%   | 14.50%     | 9.51%          | 11.54%    |           | 0.66%   |
| TWHIX         | AmCent Hertg Inv                 | Mid-Cap Growth         | 5.58%   | 21.85%   | 12.67%     | 6.66%          | 11.48%    | 11/10/87  | 1.00%   |
|               | Large-Cap Stocks                 |                        |         |          |            |                |           |           |         |
| VINIX         | Vngrd Inst Indx Inst             | Large Blend            | 6.63%   | 21.79%   | 15.76%     | 8.50%          | 9.93%     |           | 0.04%   |
| BEQGX         | AmCent Eq Gr Inv                 | Large Blend            | 6.74%   | 21.85%   | 14.11%     | 7.74%          | 9.70%     |           | 0.67%   |
| FCNTX         | Fid Contra                       | Large Growth           | 6.75%   | 32.25%   | 16.43%     | 8,96%          | 12.54%    |           | 0.68%   |
| MSEGX         | MSIF Gr P                        | Large Growth           | 7.33%   | 43.45%   | 19.76%     | 10.50%         | 10.02%    |           | 0.92%   |
|               | Invsco Gr Inc A                  | Large Value            | 4.69%   | 14.21%   | 14.33%     | 7.60%          | 9.63%     | 08/01/46  | 0.83%   |
| DDFIX         | Invsco Divrs Divd R5             | Large Value            | 3.01%   | 8.53%    | 13.03%     | 8.62%          | 8.92%     | 10/25/05  | 0.54%   |
|               | Balanced                         |                        |         |          |            |                |           |           |         |
| FPURX         | Fid Puritan                      | Allocation50% to 70%   | 4.59%   | 18.74%   | 11.08%     | 7.09%          | 10.99%    | 04/16/47  | 0.55%   |
|               |                                  | Equity                 |         |          |            |                |           |           |         |
|               | Bonds                            | , ,                    |         |          |            |                |           |           |         |
| AGDKX         | AB Hi Inc K                      | High Yield Bond        | 0.22%   | 7.84%    | 5.58%      | 8.25%          | 10.41%    | 02/25/94  | 0.85%   |
| DODIX         | DodgeCox Inc                     | Intermediate-Term      | 0.48%   | 4.36%    | 3.07%      | 5.01%          | 6.80%     |           | 0.43%   |
|               | •                                | Bond                   | ******  |          |            |                |           |           | 07.1070 |
|               | Asset Allocation                 |                        |         |          |            |                |           |           |         |
| TLGRX         | TIAACRF LfCycInd2015 Rtrmt (b)   | Target-Date 2015       | 2.79%   | 11.97%   | 7.13%      | N/A            | 7.61%     | 09/30/09  | 0.49%   |
| TLWRX         | TIAACRF LfCycInd2020 Rtrmt (b)   | Target-Date 2020       | 3.12%   | 13.37%   | 8.03%      | N/A            | 8.26%     |           | 0.47%   |
| TLORX         | TIAACRF LfCycind2025 Rtrmt (b)   | Target-Date 2025       | 3.60%   | 15.09%   | 8.97%      | N/A            | 8.95%     |           | 0.47%   |
| TLHRX         | TIAACRF LfCycInd2030 Rtrmt (b)   | Target-Date 2030       | 4.01%   | 16.74%   | 9.90%      | N/A            | 9.62%     |           | 0.46%   |
| TLYRX         | TIAACRF LfCycInd2005 Rtrmt (b)   | Target-Date 2035       | 4.45%   | 18.43%   | 10.78%     | N/A            | 10.26%    |           | 0.46%   |
| TLZRX         | TIAACRF LfCycInd2040 Rtrmt (b)   | Target-Date 2040       | 4.89%   | 20,08%   | 11.47%     | N/A            | 10.26%    |           | 0.45%   |
| TLMRX         | TIAACRF LifCycInd2045 Rtrmt (b)  | Target-Date 2045       | 5.14%   | 20.89%   |            | N/A            | 10.70%    |           | 0.45%   |
| TLLRX         | TIAACRF LiCyclind2049 Rtimit (b) | Target-Date 2050       |         |          |            |                |           |           |         |
| TTIRX         | TIAACRF LICYCING2050 Rtmt (b)    |                        | 5.24%   | 21.18%   |            | N/A            | 10.88%    |           | 0.47%   |
| TVITX         |                                  | Target-Date 2055       | 5.29%   | 21,47%   |            | N/A            | 9.45%     |           | 0.56%   |
| TRCIX         | TIAACRE LfCycInd2060 Rtrmt (b)   | Target-Date 2060+      | 5.32%   | 21.61%   |            | N/A            | 9.38%     |           | 1.25%   |
| IRUX          | TIAACRF LfCyIndRtinc Rtrmt (b)   | Target-Date Retirement | 2.40%   | 10.24%   | 5.78%      | N/A            | 6.56%     | 09/30/09  | 0.61%   |

Attachmen 713 Page 5 of 5.

PERFORMANCE RESULTS

# Investment Performance Information - October 1, 2017 to December 31, 2017

#### **FEE DISCLOSURES**

The Standardized illustration represents performance based on a \$10,000 hypothetical investment. Fees and expenses listed are in addition to the fees disclosed in the underlying fund prospectus.

Gross expense ratios represent the fund's total operating expenses expressed as a percentage of the assets held in the fund. For more information about gross expense ratios, read the fund's prospectus.

Some mutual funds may impose a short term trade fee. Some funds may be subject to a trade restriction policy. Please read the underlying prospectus carefully.

#### **FOOTNOTES**

a) These funds are not open to new participants.

- b) These funds are designed to provide diversification and asset allocation across several types of investments and asset classes, primarily by investing in underlying funds. Therefore, in addition to the fund's expenses, you are indirectly paying a proportionate share of the applicable fees and expenses of the underlying funds.
- r) These funds have an asset management charge of up to 0.30% applied in addition to the fund fees charged by Vanguard. International investing involves additional risks including: political instability, currency fluctuations, differences in accounting standards, and foreign regulations.

#### **RISK DISCLOSURES**

Money market funds: Money market funds are not insured or guaranteed by the FDIC or any other government agency. Although the goal of a money market is to preserve the value of an investment at \$1 per share, it is still possible to lose money.

International/emerging markets funds: Funds that invest in international stocks face risks that funds investing only in U.S. stocks do not. Currency fluctuation, political risk, differences in accounting standards and the limited availability of information may make these funds less stable.

Small company funds: Small and emerging companies may have less liquidity than larger, established companies. Therefore, funds investing in stocks of small or emerging companies may face greater price volatility and risk.

High-yield bond funds: Funds that invest in high-yield securities may have more credit risk and changes in price than funds that invest in higher-quality securities.

**Non-diversified funds:** Funds that invest in a single industry or small number of securities may be more volatile than those that invest more broadly. **Government bond funds:** These funds invest mainly in securities of the U.S. government and its agencies; however, these entities do not guarantee the value of the funds.

Real estate funds: Funds that invest mainly in real estate are sensitive to economic and business cycles, changing demographic patterns and government actions.

#### IMPORTANT DISCLOSURES

"Inception Date" is the date the fund was established. The "Since Inception" column contains performance for the funds if the Inception Date was before the time period indicated.

Performance numbers in the "3 Month" and "1 Year" columns are not annualized returns and represent the total percentage change in share value for the time periods indicated.

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#### STATE OF FLORIDA DEFERRED COMPENSATION PLAN PO BOX 182797 COLUMBUS OH 43218-2797



Acct#: January 1, 2018 - March 31, 2018

Attachment 13 R Questions? Contact Us!

KRISTEN E CARLSON

LAKELAND FL 33003-2601

Please review your statement carefully. Corrections may not be accepted more than 45 days after the closing date of the statement.

**CUSTOMER SERVICE: (800)949-4457** 

(ESPANOL): (800)949-4457

TTY: (800)949-4457
Website: www.nrsflorida.com

Write us at: NATIONWIDE RETIREMENT

SOLUTIONS

PO BOX 182797

COLUMBUS OH 43218-2797

Nationwide Investment Services Corporation, member F1NRA, in Michigan Only: Nationwide Investment Svcs. Corporation

#### **Activity at a Glance**

|                                  | 401(0)(1 <u>a</u> 11 |
|----------------------------------|----------------------|
| Balance as of 01-01-18           | \$120,256.99         |
| Contributions/Transfers In*      | \$0.00               |
| Interest/Dividend/Cap Gain/Reimb | \$339.06             |
| Gain/Loss                        | -\$549.85            |
| Withdrawals/Transfers Out**      | -\$1,841.52          |
| Charges/Fees                     | \$0.00               |
| Balance as of 03-31-18           | \$118,204.68         |
|                                  |                      |

<sup>\*</sup>Including, but not limited to, Employee and Employer Contributions, Rollovers and Transfers In.

\*\*Including, but not limited to, Rollovers and Transfers Out, Partial and Lump Sum Payments.

# **Total Gain Loss Since Joining**

|             | Contributions Since Joining/ | Withdrawals/Transfers |                | Total Balance as |
|-------------|------------------------------|-----------------------|----------------|------------------|
| Plan Type   | Transfers In/Loan Payment    | Out/Loan Disbursement | Gain/Loss/Fees | of 03-31-18      |
| 457(b) Plan | \$55,653.00                  | -\$15,063.12          | \$77,614.80    | \$118,204.68     |

<sup>&</sup>quot;Since Joining" refers to the period of time your account has been administered by Nationwide. If applicable, the above data is inclusive of Self Directed Option balance. For more information about asset fees, contact your customer service center.

# Contributions and Distributions Summary - January 1, 2018 to March 31, 2018

|             |              |               | , -,          | ,             |                    |  |
|-------------|--------------|---------------|---------------|---------------|--------------------|--|
|             | Last         | Contributions | Contributions | Last          | Distributions      |  |
|             | Contribution | This          | Year          | Distribution  | Year               |  |
|             | Amount       | <u>Period</u> | to Date       | <u>Amount</u> | to Date            |  |
| 457(b) Plan |              | \$0.00        | \$0.00        | -\$613.84     | <b>-\$1,841.52</b> |  |

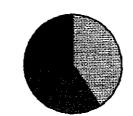
# Your Asset Allocation - January 1, 2018 to March 31, 2018

To the right is your Account Allocation. Your Account Allocation is how the money in your account is divided among the investment options you have selected.

For more information on contribution and account allocation, log on to **WWW.NRSFLORIDA.COM** 

\*Percentages and totals may not be exact due to rounding.

# **Your Account Allocation**



#### Investment Type

|  | International Stocks               | \$18,988.39<br>\$14,286.94  |
|--|------------------------------------|-----------------------------|
|  | Small-Cap Stocks                   | • • • • •                   |
|  | Mid-Cap Stocks Total Percent/Value | \$13,630.88<br>\$118,204.68 |

# Investment Option Summary - January 1, 2018 to March 31, 20%

Enging Price is as of 3/31/2018

| Investment Option   | Election                         | Ending Price        | Units/Shares           | Value                                     |
|---|----------------------------------|---------------------|------------------------|---|
| 457(b) Salary Reduction<br>Small-Cap Stocks<br>"NeuBer Genesis Tr<br>Small-Cap Stocks Total | 25.00%<br>25.00%                 | · 58.0200           | 246.2417               | \$14,285.01<br>\$14,286.94                |
| International Stocks "Okmrk Intl I<br>International Stocks Total                            | 0.00%<br><b>0.90%</b>            | 27.7800             | 683.5275               | \$18,988.39<br><b>\$18,988.39</b>         |
| Mid-Cap Stocks *JPM MdCap Val I Mid-Cap Stocks Total  | 25.00%<br>25.00%                 | 39.1200             | 348.4376               | \$13,630.88<br><b>\$13,630,88</b>         |
| Large-Cap Stocks  *Fid Contra  *Invsco Gr Inc A Large-Cap Stocks Total                      | 25.00%<br>0.00%<br><b>25.00%</b> | 124.2700<br>26.1500 | 127.8932<br>1,240.6716 | \$15,893,29<br>\$32,443,56<br>\$48,336,85 |
| Fixed Assets/Cash Nrs Florida Fixed Fund Fixed Assets/Cash Total                            | 25.00%<br><b>25.00%</b>          |                     | ·                      | \$22,961.62<br>\$22,961.62                |
| TOTAL.  | 100.00%                          |                     |                        | \$118.204.66                              |

<sup>\*</sup> Fund is valued in shares rather than units

# Your Account Values by Investment Option - January 1, 2018 to March 31, 2018

| 457(b) Salary Raduction (0950915001) | Beginning<br>Belance | Contributionsi<br>Transfers in | Exchange | Withdrawals/<br>Transfers Out | Charges/<br>Credits/Fees | Interesti<br>Dividendi<br>Cap Gain/<br><u>Reimb</u> | Gaigh con   | Ending<br>Belance |
|--------------------------------------|----------------------|--------------------------------|----------|-------------------------------|--------------------------|---|-------------|-------------------|
| •                                    |                      |                                |          |                               |                          |   |             |                   |
| Small-Cap Stocks                     |                      |                                |          |                               |                          |   |             |                   |
| *NeuBer Genseis Tr                   | \$13,472.37          | \$0,00                         | \$0,00   | \$0.00                        | \$0,00                   | \$0.00  | \$814.57    | \$14,286,94       |
| Smell-Cup Blocks Yotul               | \$13,472.37          | \$0.00                         | \$0.06   | \$8.00                        | \$9.96                   | \$0.00  | \$814,57    | \$14,286,94       |
| international Stocks                 |                      |                                |          |                               |                          |   |             |                   |
| *Olemek Intil 1                      | \$19,528.38          | \$0.00                         | \$0.00   | \$0,00                        | \$0.00                   | \$0,00  | -2530,90    | QC.889.812 ·      |
| Informational Stocks Total           | \$19,520.38          | \$8.00                         | \$0.80   | \$0.00                        | \$0.00                   | \$9.00  | \$530.90    | \$18,900.39       |
| Hid-Cap Shocks                       |                      |                                |          |                               |                          |   |             |                   |
| *JPM MdCap Val I                     | \$13,874,79          | \$0.00                         | \$0.00   | \$0,00                        | \$0.00                   | \$0,00  | -\$243.91   | \$13,630,88       |
| Mid-Cap Stucks Total                 | \$13,874.79          | \$9.00                         | \$9.00   | \$0.00                        | \$0.00                   | \$0.00  | -\$243.91   | \$13,638.88       |
| Large-Cap Stocks                     |                      |                                |          |                               |                          |   |             |                   |
| "Fid Contra                          | \$15,428.42          | \$0.00                         | \$0.00   | \$0.00                        | \$0.00                   | \$234.07  | \$230,80    | \$15,893,29       |
| *Invaco Gri Inc A                    | \$33,353.00          | \$0.00                         | \$0.00   | \$0,00                        | \$0.00                   | \$104.99  | -\$1,014,43 | \$32,443,56       |
| Lerge-Cap Stocks Total               | \$49,781.42          | \$9.00                         | \$9.00   | \$0.00                        | \$0.90                   | \$339,66  | -6793.63    | \$48,336,65       |
| Fixed Assets/Cash                    |                      |                                |          |                               |                          |   |             |                   |
| Nes Florida Fixed Fund               | \$24,600.03          | \$0.00                         | \$0.00   | -\$1,841.52                   | 30.00                    | \$0.00  | \$203.11    | \$22,961.62       |
| Fixed AmetryCont: Total              | \$24,600.03          | \$0.00                         | \$0.00   | 41,841.52                     | \$8.00                   | \$0.00  | \$203,11    | \$72,961.62       |
| TOTAL                                | \$120,256,99         | \$0.00                         | \$0.00   | -\$1,841.52                   | \$9.00                   | \$330,06  | -\$549,85   | \$118,204.06      |

<sup>\*</sup> Fund is valued in shares rather than units

# Transaction Details - January 1, 2018 to March 31, 2018

| Pay Period<br>End Date | Effective<br>Date | Total<br><u>Amount</u> | Transaction<br>Type | Money<br>Source | Investment<br>Option   | Price | Units/Shares | Amount<br>Per<br><u>Fund</u> |
|------------------------|-------------------|------------------------|---------------------|-----------------|------------------------|-------|--------------|------------------------------|
|                        | 1/23/2018         | \$613.84               | Withdrawai          | 457(b) Salary   | Nrs Florida Fixed Fund |       |              | -\$613.84                    |

Gain/Loss is the difference between the account balance on the first and last day of the period, after allowing for the effects of transactions.

# Transaction Details (continued)

| Pay Period<br>End Date | Effective<br><u>Date</u><br>2/9/2018 | Total<br>Amount<br>\$234.07 | Transaction <u>Type</u> Capital Gain | Money<br><u>Source</u><br>457(b) Salary<br>Reduction | Investment<br>Option<br>Fid Contra | <u>Price</u><br>122.1600 | Units/Shares<br>1,9161 | Amount<br>Per<br><u>Fund</u><br>\$234.07 |
|------------------------|--------------------------------------|-----------------------------|--------------------------------------|--|------------------------------------|--------------------------|------------------------|--|
|                        | 2/23/2018                            | -\$613.84                   | Withdrawal                           | 457(b) Salary<br>Reduction                           | Nrs Florida Fixed Fund             |                          |                        | -\$613.84                                |
|                        | 3/22/2018                            | \$104.99                    | Dividend                             | 457(b) Salary<br>Reduction                           | invsco Gr Inc A                    | 26.2400                  | 4.0013                 | \$104.99                                 |
|                        | 3/23/2018                            | <b>-\$</b> 613.84           | Withdrawal                           | 457(b) Salary<br>Reduction                           | Nrs Florida Fixed Fund             |                          |                        | <b>-\$</b> 613.84                        |
|                        | 3/28/2018                            | \$716.69                    | Unit Adjustment                      | 457(b) Salary<br>Reduction                           | NeuBer Genesis Tr                  | 57.1100                  | 12.5493                | \$716,69                                 |

# Fixed Account/Cash

457(b) Plan

| <u>Investment</u>                      | Yield |
|--|-------|
| NW BANK LIQUID SAVINGS                 | 2.11% |
| Nationwide Bank 1-Year CD 2nd Qtr 2018 | 2.39% |
| Nationwide Bank 3-Year CD 2nd Qtr 2018 | 2.78% |
| Nationwide Bank 5-Year CD 2nd Qtr 2018 | 2.98% |

### **IMPORTANT DISCLOSURES**

1st Qtr. Yield = 3.50%; 2nd Qtr. Yield = 3.50%; Floor through 2018 = 3.50% All bi-weekly deferrals applied to accounts effective March 29th will be displayed on the next quarterly statement. You may also check the website www.nrsflorida.com to confirm your up-to-date transaction history information.