



Filing ID #10003844

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Mr. Keith J. Rothfus
Status: Member
State/District: PA12

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2013
Filing Date: 08/1/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3M Company (MMM)	SP	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
AGL Resources, Inc. (GAS)	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
AT&T Inc. (T)	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
BNY Mellon Bond Fund	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
BNY Mellon Emerging Market Fund		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
BNY Mellon International Fund		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
BNY Mellon Mid Cap Fund		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Dividends: \$2,501 - \$5,000 Capital Gains: \$1,001 - \$2,500					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
BNY Mellon Small Cap Fund		\$1,001 - \$15,000	None		<input type="checkbox"/>
Campbell Soup Company (CPB)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Caterpillar, Inc. (CAT)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Charles Schwab Money Market Account		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Chevron Corporation (CVX)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Cisco Systems, Inc. (CSCO)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Citibank Account	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Citibank Accounts		\$500,001 - \$1,000,000	Interest	\$1 - \$200	<input type="checkbox"/>
Citizens Bank	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Citizens Bank Account		None	None		<input type="checkbox"/>
Coca-Cola Company (KO)	SP	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Cons Staples Sel Sect SPDR		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Dollar Bank Account	SP	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Dollar Bank Accounts		\$500,001 - \$1,000,000	Interest	\$1 - \$200	<input type="checkbox"/>
Dow Chemical Company (DOW)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Dreyfus Disc Stock Fund	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Energy Sel Sect SPDR XLE		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Express Scripts Holding Company (ESRX)	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
Frontier Communications Corporation (FTR)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
HJ Heinz Stock		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Interest - \$1 - \$200 Capital Gains - \$5,001 - \$15,000					
HJ Heinz Stock	SP	None	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
DESCRIPTION: Interest Income: \$201 - \$1000 Capital Gains: \$100,001 - \$1,000,000					
Intel Corporation (INTC)	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Intel Corporation (INTC)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Ishares S&P Small Cap Fund		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Lewis Farms Minnesota, LP, 33% Interest	SP	Spouse/DC Over \$1,000,000	Interest, Farm Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Marshall, MN, US DESCRIPTION: Interest Income - \$201 - \$1,000 Farm Income - \$100,001 - \$1,000,000 Produces Corn, Soy Bean & Sugar Beets					
Merck & Company, Inc. Common Stock (MRK)	SP	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Microsoft Corporation (MSFT)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
PP&L Corporation (PPL)	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Procter & Gamble Company (PG)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Procter & Gamble Company (PG)	SP	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A)	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Southern Company (SO)	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SPDR Trust Series 1 Fund		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Spouse's Trust ⇒ 3M Company (MMM)	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Spouse's Trust ⇒ BNYM Money Market	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Spouse's Trust ⇒ BNYM Natl Inmdt Muni Bond Fund	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse's Trust ⇒ Chevron Corporation (CVX)	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Spouse's Trust ⇒ Eli Lilly and Company (LLY)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Spouse's Trust ⇒ Exxon Mobil Corporation (XOM)	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Spouse's Trust ⇒ General Electric Company (GE)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Spouse's Trust ⇒ International Business Machines Corporation (IBM)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse's Trust ⇒ JP Morgan Chase & Co. (JPM)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse's Trust ⇒ Microsoft Corporation (MSFT)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse's Trust ⇒ Procter & Gamble Company (PG)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Texas Instruments Incorporated (TXN)	SP	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Small Cap Vipers Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Verizon Communications Inc. (VZ)	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Washington Mutual Investment Fund		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Caterpillar, Inc. (CAT)	SP	06/24/2013	P	\$15,001 - \$50,000	
Dreyfus Disciplined Stock Fund	SP	12/31/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
HJ Heinz Stock		06/10/2013	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: HJ Heinz Stock was delisted from the New York Stock Exchange on June 7, 2013.					
HJ Heinz Stock	SP	06/10/2013	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
DESCRIPTION: HJ Heinz stock was delisted from New York Stock Exchange on June 17, 2013.					
XLE Energy Sel Sect SPDR Fund		06/24/2013	P	\$1,001 - \$15,000	
XLP Cons Staples Sel Sect SPDR Fund		06/24/2013	P	\$1,001 - \$15,000	

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Morgan Stanley	June 2012	Personal Loan	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Veterans :Leadership Program of Western Pennsylvania

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details				Inclusions		
Source	Start Date	End Date	Itinerary	Lodging?	Food?	Family?
The Club for Growth	03/7/2013	03/9/2013	Washington, DC - West Palm Beach, FL - Pittsburgh, PA	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
The Heritage Foundation	02/6/2013	02/8/2013	Washington, DC - Baltimore - Washington, DC	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<div><div>◦ Spouse's Trust (Owner: SP)</div><div>DESCRIPTION: Spouse is a 1/3 beneficiary</div></div>

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Keith J. Rothfus , 08/1/2014