

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

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For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER

Edward Randall Royce

(Full Name)

202-225-4111

(Daytime Telephone)

2011 MAY 13 AM 10:56

OFFICE (Use Only)

Filer Status ☒ Member of the U.S. House of Representatives State: CA District: 40

☐ Officer Or Employee Employing Office:

Report Type ☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Alcatel Lucent	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any derivative securities; EE, IAA, or IAA in a nominal allocation as provided in the instructions.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP	Alcatel Lucent Stock (Spouse IRA)	\$1 - \$1,000	None	NONE	
SP	Biotime Inc. Stock (Spouse IRA)	\$15,001 - \$50,000	None	NONE	P
SP	Blackrock Energy And Resources Fund (Spouse IRA)	\$1,001 - \$15,000	None	\$201 - \$1,000	P
SP	Blackrock Global Opportunity Equity Fund (Spouse IRA)	None	DIVIDENDS	\$201 - \$1,000	PS
JT	Cash/CFCU Savings	\$1,001 - \$15,000	None	NONE	
SP	Engendering Success (Spouse)	None	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Enterprise Products Partner (Spouse IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
SP	Fidelity Mutual Fund - International Equity (Spouse IRA)	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	
SP	Franklin India Growth Fund (Spouse IRA)	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	P
SP	Geron Corp Stock (Spouse IRA)	None	None	NONE	S
SP	I Share DowJones Index Fund (Spouse IRA)	None	DIVIDENDS	\$1 - \$200	PS
SP	I Shares Indonesia (Spouse IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	Janus Forty Fund (Spouse IRA)	\$1,001 - \$15,000	None	NONE	P
SP	Procter and Gamble Stock (Spouse IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Templeton Emerging Markets Fund (Spouse IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	UBS Bank USA Money Market Funds I (Spouse IRA) *split into 2 accounts	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	UBS Bank USA Money Market Funds II (Spouse IRA)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	Western Asset Global High Income Fund (Spouse IRA)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Biotime Inc. Stock (Spouse IRA)	P	N/A	11-8-10	\$1,001 - \$15,000
SP	Blackrock Energy And Resources Fund (Spouse IRA)	P	N/A	01-19-10	\$1,001 - \$15,000
SP	Blackrock Global Opportunity Equity Fund (Spouse IRA)	S	Yes	12-20-10	\$1,001 - \$15,000
SP	Blackrock Global Opportunity Equity Fund (Spouse IRA)	P	N/A	1-19-10	\$1,001 - \$15,000
SP	Enterprise Products Partner (Spouse IRA)	P	N/A	06-04-10 12-27-10	\$15,001 - \$50,000
SP	Franklin India Growth Fund (Spouse IRA)	P	N/A	4-27-10	\$1,001 - \$15,000
SP	Geron Corp Stock (Spouse IRA)	S	Yes	4-12-10	\$1,001 - \$15,000
SP	I Share DowJones Index Fund (Spouse IRA)	P	N/A	1-22-10	\$1,001 - \$15,000
SP	I Share DowJones Index Fund (Spouse IRA)	S	Yes	12-20-10	\$1,001 - \$15,000
SP	I Shares Indonesia (Spouse IRA)	P	N/A	11-18-10	\$1,001 - \$15,000
SP	Janus Forty Fund (Spouse IRA)	P	N/A	6-4-10	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Templeton Emerging Markets Fund (Spouse IRA)	P	N/A	1-19-10	\$1,001 - \$15,000
SP	Western Asset Global High Income Fund (Spouse IRA)	P	N/A	1-19-10	\$1,001 - \$15,000

FOOTNOTES

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	The decrease in the year end value of asset for the 2010 money market fund account was due to the fact that this fund was used to make all of the investment purchases in 2010.	UBS Bank USA Money Market Funds II (Spouse IRA)