



Filing ID #10001842

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Mr. Jim Matheson  
**Status:** Member  
**State/District:** UT04

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2013  
**Filing Date:** 05/12/2014

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alpine Dynamic Dividend Fund		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Baron Partners Mutual Fund		\$15,001 - \$50,000	None		<input type="checkbox"/>
Coin Collection		\$1,001 - \$15,000	None		<input type="checkbox"/>
Equitable Variable Life Insurance ⇒ AXA Moderate Allocation Fund		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Equitable Variable Life Insurance ⇒ AXA Moderate Plus Allocation Fund		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Asset Manager 50%		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Fidelity Balanced Fund		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Fidelity Cash Reserves		\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity Export and Multinational Fund		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Fidelity Freedom Fund 2035		None	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Fidelity Low Priced Stock Fund		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Fidelity Spartan US Equity Fund		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Fidelity Strategic Dividend and Income Fund		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Fidelity Unique 2015 Portfolio		\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
LOCATION: NH					
Garmin Ltd. (GRMN)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northeast Investors Trust		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
QUALCOMM Incorporated (QCOM)		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TIAA CREF Lifecycle 2035		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TIAA CREF Real Estate		\$15,001 - \$50,000	None		<input type="checkbox"/>
Utah Educational Savings Plan		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
LOCATION: UT					
Vanguard Emerging Markets Index		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Short Term Treasury Fund		\$100,001 - \$250,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Small Cap Stock Index		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Wasatch Micro Cap Fund		\$50,001 - \$100,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Zions Bank Checking Account		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Equitable Variable Life Insurance ⇒ AXA Moderate Allocation Fund		Quarterly	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment - annual total in the \$1,001 - \$15,000 category					
Equitable Variable Life Insurance ⇒ AXA Moderate Plus Allocation Fund		Quarterly	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment - annual total in the \$1,001 - \$15,000 category					
Fidelity Asset Manager 50%		Bi-weekly	P	\$1,001 - \$15,000	
DESCRIPTION: Semi-monthly purchases - annual total in the \$1,001 - \$15,000 category					
Fidelity Asset Manager 50%		Quarterly	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment - annual total in the \$1,001 - \$15,000 category					
Fidelity Balanced Fund		Quarterly	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment - annual total in the \$1,001 - \$15,000 category					
Fidelity Export and Multinational Fund		Bi-weekly	P	\$1,001 - \$15,000	
DESCRIPTION: Semi-monthly purchases annual total in the \$1,001 - \$15,000 category					
Fidelity Export and Multinational Fund		10/4/2013	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Fidelity Freedom Fund 2035		Quarterly	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment annual total in the \$1,001 - \$15,000 category					
Fidelity Freedom Fund 2035		10/4/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Low Priced Stock Fund		Quarterly	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment annual total in the \$1,001 - \$15,000 category					
Fidelity Small Cap Discovery Fund		10/4/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity Spartan US Equity Fund		Bi-weekly	P	\$1,001 - \$15,000	
DESCRIPTION: Semi-monthly purchases annual total in the \$1,001 - \$15,000 category					
Fidelity Spartan US Equity Fund		Quarterly	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment annual total in the \$1,001 - \$15,000 category					
Fidelity Spartan US Equity Fund		10/4/2013	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Fidelity Unique 2015 Portfolio		Quarterly	P	\$1,001 - \$15,000	
LOCATION: NH DESCRIPTION: Periodic Purchases annual total in the \$1,001 - \$15,000 category					
Fidelity Value Discovery Fund		10/4/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
Northeast Investors Trust		Quarterly	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment annual total in the \$1,001 - \$15,000 category					
QUALCOMM Incorporated (QCOM)		Quarterly	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment annual total in the \$1,001 - \$15,000 category					
TIAA CREF Lifecycle 2035		Bi-weekly	P	\$1,001 - \$15,000	
DESCRIPTION: Semi-monthly purchases annual total in the \$1,001 - \$15,000 category					
TIAA CREF Lifecycle 2035		Quarterly	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment annual total in the \$1,001 - \$15,000 category					
Utah Educational Savings Plan		Quarterly	P	\$1,001 - \$15,000	
LOCATION: UT DESCRIPTION: Dividend Reinvestment annual total in the \$1,001 - \$15,000 category					
Utah Educational Savings Plan		Quarterly	P	\$1,001 - \$15,000	
LOCATION: UT DESCRIPTION: Periodic Purchases annual total in the \$1,001 - \$15,000 category					
Vanguard Short Term Treasury Fund		Bi-weekly	P	\$1,001 - \$15,000	
DESCRIPTION: Semi-monthly purchases annual total in the \$1,001 - \$15,000 category					

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
University of Utah	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Provident Funding	May 2013	Mortgage on Personal Residence, Salt Lake City, UT	\$100,001 - \$250,000
	Wells Fargo Home Mortgage	July 2013	Mortgage on Personal Residence, Washington, DC	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

◦ Equitable Variable Life Insurance
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

☐ Yes ☒ No

## COMMENTS

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Mr. Jim Matheson , 05/12/2014