



Filing ID #10018958

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Sue Zwahlen
Status: Congressional Candidate
State/District: CA10

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2017
Filing Date: 09/29/2017
Period Covered: 01/01/2016– 10/8/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank of the West	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200
CAMPAIGN LOAN		\$15,001 - \$50,000	None		
DESCRIPTION: LOAN MADE TO CAMPAIGN SEPT. 6, 2017					
Dental Building	JT	\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	\$15,001 - \$50,000
LOCATION: MODESTO/STANISLAUS, CA, US					
DENTAL PRACTICE	SP	\$500,001 - \$1,000,000	None		
LOCATION: MODESTO/STANISLAUS, CA, US					
DESCRIPTION: SPOUSE DENTAL PRACTICE					
LIVING TRUST ⇒ LIVING TRUST CASH	JT	\$1 - \$1,000	None		
DESCRIPTION: LIVING TRUST/INSURANCE CASH/ MONEY ACCOUNT					
LORD ABBETT INT MUNI ⇒ ALLEGHENY CNTY PA HOSP MUNI	JT	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
BOND					
DESCRIPTION: MUNICIPAL SECURITY					
LORD ABBETT INT MUNI ⇒ CALIFORNIA HEALTH FACS MUNI BOND	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: MUNICIPAL SECURITY					
LORD ABBETT INT MUNI ⇒ CALIFORNIA ST UNIV REV MUNI BOND	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	None
DESCRIPTION: CALIF ST UNIV MUNI BOND PURCHASE 01/12/2017					
LORD ABBETT INT MUNI ⇒ COLUMBUS OHIO VARIOUS MUNI BOND	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: MUNICIPAL BOND					
LORD ABBETT INT MUNI ⇒ CONNECTICUT ST MUNI BOND	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: MUNCIIPAL BOND					
LORD ABBETT INT MUNI ⇒ HAWAII ST HWY REV MUNI BOND	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	None
DESCRIPTION: MUNICIPAL BOND					
LORD ABBETT INT MUNI ⇒ INDIANA MUN PWR AGY PWR MUNI BOND	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	None
DESCRIPTION: SECURITY					
LORD ABBETT INT MUNI ⇒ LAFAYETTE LA UTILS REV MUNI BOND	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$201 - \$1,000
DESCRIPTION: MUNICAL SECURITY					
LORD ABBETT INT MUNI ⇒ LORD ABBETT INT MUNI CASH ACCT	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: CASH ACCOUNT					
LORD ABBETT INT MUNI ⇒ MASSACHUSETTS ST MUNI BOND	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	None
DESCRIPTION: MUNICIPAL BOND PURCHASE 07/18/2017					
LORD ABBETT INT MUNI ⇒ MIAMI-DADE CNTY FLA MUNI BOND	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$201 - \$1,000
DESCRIPTION: MUNICIPAL SECURITY					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LORD ABBETT INT MUNI ⇒ NEW JERSEY ENVIRONMENTAL MUNI BOND DESCRIPTION: MUNICIPAL SECURITY	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$201 - \$1,000
LORD ABBETT INT MUNI ⇒ NEW YORK FISCAL 2016 MUNI BOND DESCRIPTION: NEW YORK NY FISCAL 2016 SOLD 08/10/17	JT	None	Capital Gains	\$1,001 - \$2,500	\$201 - \$1,000
LORD ABBETT INT MUNI ⇒ NEW YORK N Y FISCAL 2018 MUNI BOND DESCRIPTION: MUNICIPAL BOND PURCHASED 08/10/2017	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	None
LORD ABBETT INT MUNI ⇒ NEW YORK NY MUN WFA MUNI BOND DESCRIPTION: MUNICIPAL SECURITY	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
LORD ABBETT INT MUNI ⇒ NEW YORK ST URBAN DEV MUNI BOND DESCRIPTION: MUNICIPAL BOND	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
LORD ABBETT INT MUNI ⇒ OMAHA PUB PWR DIST NEB MUNI BOND DESCRIPTION: MUNICIPAL SECURITY	JT	\$50,001 - \$100,000	Interest	\$201 - \$1,000	\$1,001 - \$2,500
LORD ABBETT INT MUNI ⇒ PENNSYLVANIA ST TPK MUNI BOND DESCRIPTION: MUNICIPAL SECURITY	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
LORD ABBETT INT MUNI ⇒ PHOENIX ARIZ CIVIC IMPT MUNI BOND DESCRIPTION: SECURITY	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
LORD ABBETT INT MUNI ⇒ WISCONSIN ST MUNI BOND DESCRIPTION: MUNICIPAL BOND PURCHASE 03/07/2017	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	None
Medical Building LOCATION: MODESTO/STANISLAUS, CA, US	JT	\$500,001 - \$1,000,000	Rent	None	None
MFA MOD CONSERVATIVE ⇒ BLACKROCK EQUITY DIVIDEND	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MFA MOD CONSERVATIVE ⇒ CLEARBRIDGE LARGE CAP	SP	\$1,001 - \$15,000	Tax-Deferred		
MFA MOD CONSERVATIVE ⇒ ISHARES RUSSELL 1000	SP	\$1 - \$1,000	Tax-Deferred		
MFA MOD CONSERVATIVE ⇒ ISHARES RUSSELL 1000	SP	\$1,001 - \$15,000	Tax-Deferred		
MFA MOD CONSERVATIVE ⇒ ISHARES TR RUSSELL 2000	SP	\$1 - \$1,000	Tax-Deferred		
MFA MOD CONSERVATIVE ⇒ ISHARES US TREASURY BOND	SP	\$1,001 - \$15,000	Tax-Deferred		
MFA MOD CONSERVATIVE ⇒ LAZARD EMERGING MKTS	SP	\$1,001 - \$15,000	Tax-Deferred		
MFA MOD CONSERVATIVE ⇒ MFA MOD CONSERVATIVE CASH/MONEY ACCT	SP	\$1,001 - \$15,000	Tax-Deferred		
MFA MOD CONSERVATIVE ⇒ MFS VALUE FD CL 1	SP	\$1,001 - \$15,000	Tax-Deferred		
MFA MOD CONSERVATIVE ⇒ OPPENHEIMER INTERNATL	SP	\$1,001 - \$15,000	Tax-Deferred		
MFA MOD CONSERVATIVE ⇒ PIMCO FOREIGN BOND FD	SP	\$1,001 - \$15,000	Tax-Deferred		
MFA MOD CONSERVATIVE ⇒ SPDR BLMBRG BRCLY	SP	\$1,001 - \$15,000	Tax-Deferred		
MFA MOD CONSERVATIVE ⇒ TCW TOTAL RETURN	SP	\$1,001 - \$15,000	Tax-Deferred		
MFA MOD CONSERVATIVE ⇒ THE OAKMARK INTL FUND	SP	\$1,001 - \$15,000	Tax-Deferred		
MFA MOD CONSERVATIVE ⇒ VANGUARD SHORT-TERM GOV	SP	\$1,001 - \$15,000	Tax-Deferred		
PERSPECTIVE L SERIES	SP	\$50,001 -	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
		\$100,000			
PERSPECTIVE L SERIES	SP	\$100,001 - \$250,000	None		
PIA GALLO 3 BALANCED ⇒ ALLSTATE CORP DEL EQUITY DESCRIPTION: EQUITIES	JT	\$1,001 - \$15,000	Dividends	\$2,501 - \$5,000	\$1 - \$200
PIA GALLO 3 BALANCED ⇒ ALPHABET INC SHS EQUITIES DESCRIPTION: EQUITIES	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$1 - \$200
PIA GALLO 3 BALANCED ⇒ ALPS ALERIAN MLP ETF	JT	\$15,001 - \$50,000	Capital Gains, Dividends	None	None
PIA GALLO 3 BALANCED ⇒ BLACKROCK HL SC OPP INST	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
PIA GALLO 3 BALANCED ⇒ BLACKROCK HLTH SCIENCES	JT	\$15,001 - \$50,000	Capital Gains, Dividends	None	\$1,001 - \$2,500
PIA GALLO 3 BALANCED ⇒ BROADCOM LTD EQUITY DESCRIPTION: EQUITY PURCHASED 2017	JT	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	None
PIA GALLO 3 BALANCED ⇒ CSX CORP EQUITY DESCRIPTION: EQUITIES PURCHASED 08/2017	JT	\$15,001 - \$50,000	Capital Gains	\$1 - \$200	None
PIA GALLO 3 BALANCED ⇒ DB X-TRACKERS HARVEST CS	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	None
PIA GALLO 3 BALANCED ⇒ DOUBLELINE TOTAL RETURN	JT	\$100,001 - \$250,000	Capital Gains, Dividends	None	\$2,501 - \$5,000
PIA GALLO 3 BALANCED ⇒ ISHARES MSCI EMERGING	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	None
PIA GALLO 3 BALANCED ⇒ JANUS HENDERSON GLOBAL	JT	\$50,001 - \$100,000	Capital Gains, Dividends	None	\$2,501 - \$5,000
PIA GALLO 3 BALANCED ⇒ PIA GALLO 3 BALANCED CASH/MONEY ACCT	JT	\$50,001 - \$100,000	Interest	\$201 - \$1,000	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
PIA GALLO 3 BALANCED ⇒ SPDR US FINANCIAL SECTOR	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$201 - \$1,000
PIA GALLO 3 BALANCED ⇒ VANECK VECTORS CHINAAMC	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1 - \$200
PIA GALLO 3 BALANCED ⇒ VIACOM INC NEW CL B EQUITY DESCRIPTION: EQUITIES PURCHASED 2017	JT	\$1,001 - \$15,000	Dividends	None	None
PIA GALLO 3 BALANCED ⇒ WISDOMTREE TRUST JAPAN	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$1,001 - \$2,500
PIA GALLO 3 BALANCED ⇒ WSTN DIGITAL CORP DEL EQUITY DESCRIPTION: EQUITIES	JT	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	None
PIA GALLO 3 BALANCED IRA ⇒ ALLSTATE CORP DEL COM EQUITY DESCRIPTION: EQUITIES	SP	\$15,001 - \$50,000	Tax-Deferred		
PIA GALLO 3 BALANCED IRA ⇒ ALPHABET INC SHS CL C EQUITY DESCRIPTION: EQUITIES	SP	\$15,001 - \$50,000	Tax-Deferred		
PIA GALLO 3 BALANCED IRA ⇒ ALPS ALERIAN MLP ETF	SP	\$15,001 - \$50,000	Tax-Deferred		
PIA GALLO 3 BALANCED IRA ⇒ BLACKROCK HL SC OPP INST	SP	\$15,001 - \$50,000	Tax-Deferred		
PIA GALLO 3 BALANCED IRA ⇒ BLACKROCK HLTH SCIENCES	SP	\$1,001 - \$15,000	Tax-Deferred		
PIA GALLO 3 BALANCED IRA ⇒ BROADCOM LTD EQUITY DESCRIPTION: EQUITIES	SP	\$15,001 - \$50,000	Tax-Deferred		
PIA GALLO 3 BALANCED IRA ⇒ CASH/MONEY ACCOUNT DESCRIPTION: CASH/MONEY ACCOUNT	SP	\$50,001 - \$100,000	Tax-Deferred		
PIA GALLO 3 BALANCED IRA ⇒	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CXS CORP EQUITY					
DESCRIPTION: EQUITIES					
PIA GALLO 3 BALANCED IRA ⇒ DB X-TRACKERS HARVEST CS	SP	\$50,001 - \$100,000	Tax-Deferred		
PIA GALLO 3 BALANCED IRA ⇒ DOUBLELINE TOTAL RETURN	SP	\$100,001 - \$250,000	Tax-Deferred		
PIA GALLO 3 BALANCED IRA ⇒ ISHARES MSCI EMERGING	SP	\$100,001 - \$250,000	Tax-Deferred		
PIA GALLO 3 BALANCED IRA ⇒ JANUS HENDERSON GLOBAL	SP	\$50,001 - \$100,000	Tax-Deferred		
PIA GALLO 3 BALANCED IRA ⇒ SPDR US FINANCIAL SECTOR	SP	\$50,001 - \$100,000	Tax-Deferred		
PIA GALLO 3 BALANCED IRA ⇒ VANECK VECTORS CHINAAMC	SP	\$15,001 - \$50,000	Tax-Deferred		
PIA GALLO 3 BALANCED IRA ⇒ WISDOMTREE TRUST	SP	\$100,001 - \$250,000	Tax-Deferred		
PIA GALLO 3 BALANCED IRA ⇒ WSTN DIGITAL CORP DEL	SP	\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: EQUITIES					
PIA GALLO 3 BALANCED-DB	SP	\$100,001 - \$250,000	Tax-Deferred		
Rabobank	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Rabobank	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Rabobank	JT	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
RESIDENTIAL RENTAL	JT	\$250,001 - \$500,000	Rent	None	\$15,001 - \$50,000
LOCATION: MODESTO/STANISLAUS, CA, US					
SUSAN IRA		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
THE LINCOLN NATIONAL	SP	\$50,001 - \$100,000	None		
ZZM DENTAL MANAGEMENT	SP	\$1 - \$1,000	None		
LOCATION: MODESTO/STANISLAUS, CA, US DESCRIPTION: SPOUSE STOCKHOLDER OF PAYROLL CLEARING ACCOUNT					
ZZM DENTAL MGMT INC 401K	SP	\$250,001 - \$500,000	Tax-Deferred		
DESCRIPTION: ZZM DENTAL MANAGEMENT INC. 401K BLACKROCK LIFEPATH DYN, RETIRE A					

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Modesto City Schools	Salary	\$7,082.00	\$9,180.00
Doctors Medical Center	Salary	\$8,996.00	\$19,939.00
Dental Practice	Spouse business	N/A	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	MERRILL LYNCH LMA ACCOUNT	JUNE 2017	LOC FOR REMODEL OF PROPERTY	\$250,001 - \$500,000
JT	MERRILL LYNCH LMA ACCOUNT	JULY 2017	LOC TO PURCHASE BUILDING	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
TRUSTEE	MODESTO CITY SCHOOLS BOARD OF EDUCATION
BOARD VICE PRESIDENT 2015-2016	MODESTO CITY SCHOOLS BOARD OF EDUCATION
BOARD PRESIDENT 2016 TO PRESENT	MODESTO CITY SCHOOLS BOARD OF EDUCATION
DIRECTOR OF AREA 9	ASSOCIATION OF STANISLAUS COUNTY SCHOOL BOARD

Position	Name of Organization
COMMITTEE MEMBER	MODESTO CITY SCHOOLS DISTRICT AUDIT COMMITTEE
BOARD MEMBER	STUDENT ATTENDANCE AND REVIEW BOARD
COMMITTEE MEMBER	PARCEL TAX COMMITTEE
COMMITTEE MEMBER	HEAD START FEDERAL REVIEW COMMITTEE
COMMITTEE MEMBER	DISTRICT TECHNOLOGY COMMITTEE
COUNCIL MEMBER	ASSEMBLY MEMBER KRISTIN OLSEN EDUCATION LEADERS ADVISORY COUNCIL
MEMBER	CALIFORNIA SUBURBAN SCHOOL DISTRICTS ASSOCIATION
MEMBER	CITIZENSHIP POLICY COMMITTEE
MEMBER	DR. PARKER COMMITTEE
MEMBER	JOHANSEN HIGH SCHOOL PERFORMING ARTS NETWORK
MEMBER	FAMILY LIFE COMMITTEE
MEMBER	STANISLAUS COUNTY OFFICE OF EDUCATION ARTS EDUCATION LEADERSHIP TEAM
MEMBER	FRIENDS OF MODESTO LIBRARY
MEMBER	STANISLAUS COUNTY COMMISSION FOR WOMEN
CONSULTANT (UNCOMPENSATED) 2014 TO PRESENT	SIERRA VISTA CHILD AND FAMILY SERVICES
MEMBER	GIRLS ADVISORY COUNCIL, STANISLAUS COUNTY PROBATION DEPT.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- LIVING TRUST (Owner: JT)
- LORD ABBETT INT MUNI (Owner: JT)
LOCATION: US
- MFA MOD CONSERVATIVE (Owner: SP)
- PIA GALLO 3 BALANCED (Owner: JT)
LOCATION: US

- PIA GALLO 3 BALANCED IRA (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Sue Zwahlen , 09/29/2017