SCHEDUME A - ASSETS & "UNEARNED INCOME"

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ise additional sheets if more space is required.		Collis Family TRUGE	DANK STOCK	ABC H	Examples: Simon 8	Magain	For bank and other cash accounts, total the amount in all interest-bearing accounts, if the total is over \$5,000, let every finencial incitiution where there is more than \$1,000 in interest-bearing accounts. For rental and other real property held for investment, provide a complets address or description, e.g., Tental property; and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was ential income during the reporting period); and sny financial interest in, or income derived from, including the Thrift Savings Plan. If you report a privately-readed fund that is an Europted Investment Faint, please check the "Eirbox or choice, you may indicate that an eased or income source is that of your spease (SP) or department or of check with anyone (JT), in the optional column on the far left. For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.	For all IRAs and other retirement plans (such as 401/k) plans provide the value for each asset held in the account that exceeds the reporting thresholds.	Provide complete names of stocks and mutual funds (do not use only ticker symbols).	Identify (a) each asset held for investment or production of income and with a fair market value accessing \$1,000 at the eyoff of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in unearmed income during the year.	Assets and/or income Sources	BLO
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SCHEDULE C - EARNED INCOME

Name: Noel D Pag

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding \$1,000. See examples below. EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

				Salary Spows Saway N/A	GROSS 75,000 Est	CAY Remil Store Ner (Loss) (30 moss or	Spouse Speech S0 N/A C2	ABC Trade Association, Battimore, MD (July 15) Honorarium \$0 State of Maryland \$20,000	ot for nonorana) lype Current Year to Filing		INCOME LIMITS and PROHIBITED INCOME: Be advised that the outside earned income limit and prohibitions on types of income may apply to you after you are on House payroll. The 2018 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$28,050. The 2019 limit is \$28,440. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.
				NA	CLOSS 100 809	New (Loss) (61,838)	\$1,000 N/A	\$76,000	Preceding Year	int	ouse payroll. The 2018 limit on one (notably honoraria, director's

Name: Noel D. Collis

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period. New Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. "Column K is for liabilities held solely by your spouse or dependent child. Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting

		SP DC, JT		
Pau	Example			
Paul Collis	First Bank of Wilmington, DE	Creditor		
1/2015	5/16	Date Liability Incurred MO/YR		
1/2015 Contract on C-Store	Mortgage on Rental Property, Dover, DE	Type of Liability		
		\$10,001- \$15,000	>	
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		Over \$50,000,000	_	
		Over \$1,000,000* (Spouse/DC Liability)	~	

SCHEDULE E - POSITIONS

political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report positions held in the reporting period and the current calendar year. First-year candidates and new employees report positions held in the current calendar year and two previous years. or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership,

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			のいいだれ	OWNER	Position	Politica and The state of the s
			DR. Collis (Medical Practice)	Collis C Store + BAKERY	Name of Organization	PALIS ALL ALL ALL ALL ALL ALL ARE ARE AREA AREA

SCHEDULE F - AGREEMENTS

Name: NOEL D. Collis

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.	Terms of Agreement	Date Parties to Agreement
entify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; antinuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former	-	employer.
entify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service;	ernment; or continuing participation in an employee weffare or benefit plan maintained by a former	continuation or deferral of payments by a former or current employer other than the U.S. gov
	e with respect to: future employment; a leave of absence during the period of government service;	identify the date, parties to, and general terms of any agreement or arrangement that you ha

Date	Parties to Agreement	Terms of Agreement
	NONE	

SCHEDULE J -- COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

·	Source (Name and City/State)	Brief Description of Duties
Example:	Doe Jones & Smith, Hometown, Homestate	Accounting Services
	NoNE	

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Account Holder(s) Noel D Collis
Account Number and

Financial Adviso..., 20-632-1019 302 First Street S E, Little Falls, MN 56345

Statement Date Dec 7 - Dec 31, 2018

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12-31-18



We're Here to Serve

Your client team is dedicated to understanding what's important to you, providing personalized strategies to help you achieve your goals, and partnering with you to keep you on track. Your financial advisor can help with your investment-related needs, and your branch office administrator can help with your administrative needs. Don't hesitate to contact them with questions.



Account Value	
\$705,265.78	
1 Month Ago	\$799,168.85
1 Year Ago	\$1,050,530,47
3 Years Ago	\$799,301.30
5 Years Ago	\$1,442,436.04

Value Summary		
	This Period	This Yeer
Beginning value	\$799,168.85	\$1,050,530.47
Assets added to account	0.00	0.00
Assets withdrawn from account	0.00	-198,314.11
Fees and charges	0.00	-50.17
Change in value	-93,903.07	-146,900.41
Ending Value	\$705,265.78	

For more information regarding the Value Summary section, please visit www.edwardjenes.com/mystatementquide.

Rate of Return					
Your Personal Rate of Return for	This Quarter	Year to Date	Last 12 Months	3 Years Annualized	5 Years
Assets Held at Edward Jones		100.000	MORUIS	Annuanzeg	Annualized
Assets field at Edward Johes	-22.95%	-15.46%	-15.46%	62,54%	6.08%

Your Personal Rate of Return: Your Personal Rate of Return measures the investment performance of your account. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing Your Personal Rate of Return is important to help ensure you're on track to achieving your financial goals.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan.1, 2009. This also includes investments you owned during this time period but have since sold. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit www.edwardjones.com/rateofretum.

Arrachments Page



Account Holder(s) Noel D Collis
Account Number and account Number account Holder(s) Noel D Collis
Financial Advisor raying a range account Number account Number account Holder(s) Noel D Collis
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Statement Date Dec 1 - Dec 31, 2018

Page 2 of 4

Asset Details (as of Dec 31, 2018) Assets Held At Edward Jones		additional details at www.edwa	rejones.com.com.co
	Beginning Balance	Activity	Ending Balance
Money Market 1.77%*	19,647.53		24,839.58
Total Deposits		5,200.11	
Total Withdrawals		-8.06	
* The average yield on the money market fund for	the past seven days.		
Stocks	Price	Quantity	Value
Contrafect Corp	1.53	9,783	14,967.99
Electromed Inc	5.09	114,881	584,744.29
Ensco INTL PLC New CI A Ord	3.56	807.60185	2,875.06
Eog Resources Inc	87.21	103.03808	8,985.9
McDermott International Inc	6.54	1,930	12,622.20
Medicines Co	19.14	500	9,570.00
Oasis Petroleum Inc New	5.53	750	4,147.5
Total SA Sponsored ADR	52.18	414.04341	21,604.79
Mutual Funds	Price	Quantity	Valu
Integrity Will Mid-N Amer A	3.76	5,560.749	20,908.4

Summary of Realized Gain/Loss	
	This Year
Short Term (assets held 1 year or less)	\$0.00
Long Term (held over 1 year)	45,004.12
Total	\$45,004.12

Summary totals may not include proceeds from uncosted securities or certain corporate actions.

Inve	stment and Other Activity by Date		
Date	Description	Quantity	Amount
12/14	Dividend on Ensco INTL PLC New CI A Ord on 805.62486 Shares @ 0.01		\$8.06
12/17	Reinvestment into Ensco INTL PLC New Cl A Ord @ 4.0769 Reinvestment Fee \$0.00	1.97699	-8.06
12/21	Sell Electromed Inc @ 5.2883	-1,000	5,151.14
12/28	Dividend on Integrity Will Mid-N Amer A on 5,527.38 Shares @ 0.022		124.80



Account Holder(s) Noel D Collis
Account Number
Financial Advisor Kyle T. Hoggarth, 320-632-1019
302 First Street S E, Little Falls, MN 56345



Statement Date Dec 1 - Dec 31, 2018

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Inve	stment and Other Activity by Date (continued)		
Date	Description	Quantity	Amount
12/28	Reinvestment into Integrity Will Mid-N Amer A @ 3.74	33.369	-124.80

Beginning Balance on Dec 1 \$19,6					\$19,647.53
Date	Transaction	Description	Deposits	Withdrawals	Balance
12/17	Deposit		8.06		\$19,655.59
12/18	Withdrawal			-8.06	\$19,647.53
12/21	Deposit		5,151.14		\$24,798.67
12/31	Income	Dividend on Money Market for 41 Days @ 1.63%	40.91	_	\$24,839.58
Total			\$5,200.11	-\$8.06	<u>-</u>
Endino	Balance on Dec 3	1		 -	\$24,839.58

Open Orders		
Date	Description	Price
10/18	Open sell of Electromed Inc 1,000.00 shares as of 10/18/2018	\$7.00 LMT
11/05	Open sell of Electromed Inc 736.00 shares as of 11/5/2018	6.75 LMT

Your Relationship and Mailing Group(s)

<u>Helationship</u> - You have asked us to combine the accounts listed below for planning purposes as we work with you to achieve your financial goals. This means that information about these accounts and your goals and objectives may be shared with and accessible by each owner and authorized party in the relationship, including through Edward Jones Online Access and Edward Jones reports.

<u>Mailing Group</u> - You have also asked us to combine certain information about the accounts listed below into the mailing group(s) below for delivery purposes. Information for accounts within the same mailing group may be included in one envelope and mailed to the mailing group address. We may still send certain information directly to the account owners, as we believe appropriate.

Account Number	Account Owner(s)	Account Type	Malling Group Address
	el D Collis	Single Account	Mark a series a serie
·	oel D Collis	Simplified Employee Pension Account Select	



Account Holder(s) Noel D Collis
Account Number
Financial Advisor Kyle T. Hoggarth, 320-632-1019
302 First Street S E, Little Falls, MN 56345



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Statement Date Aug 31 - Sep 27, 2019

Noel D Collis

Easy Access to Our Latest Market Guidance

We're committed to providing you with the information you need to make informed decisions. Your financial advisor has instant access to more than 5,000 companies and detailed recommendations on the nearly 300 stocks our Research analysts follow. He or she can also offer timely information on a variety of topics, including economic updates, market conditions and industry and company reports. To learn more, contact your financial advisor or visit edwardjones.com/guidance.

9-27-19



Account Value	
\$819,982.29)
1 Month Ago	\$733,174.58
1 Year Ago	\$952,356.66
3 Years Ago	\$1,282,03 6 .64
5 Years Ago	\$834,486.70

eginning value	\$733,174.58	\$705,265.78
ssets added to account	0.00	0.00
ssets withdrawn from account	-8,526.96	-39,158.00
ees and charges	0.00	0.00
hange in value	95,334.67	153,874.51

For more information regarding the Value Summary section, please visit www.edwardiones.com/mvstatementquide.

Rate of Return					
Your Personal Rate of Return for	This Quarter	Year to Date	Last 12 Months	3 Years Annualized	5 Years Annualized
Assets Held at Edward Jones	14.09%	22.34%	-10.34%	10,14%	28.73%

Your Personal Rate of Return: Your Personal Rate of Return measures the investment performance of your account. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing Your Personal Rate of Return is important to help ensure you're on track to achieving your financial goals.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan.1, 2009. This also includes investments you owned during this time period but have since sold. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit www.edwardjones.com/rateofretum.



Account Holder(s) Noel D Collis
Account Number
Financial Advisor Kyle 1. Hoggarth, 320-632-1019
302 First Street S E, Little Falls, MN 56345



Statement Date Aug 31 - Sep 27, 2019

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Asset Details (as of Sep 27, 2019)	additional details at www.edwa	.edwardiones.com/access	
Assets Held At Edward Jones			
	Beginning Balance	Activity	Ending Balance
Money Market 1.35%*	4,979.67		43,689.92
Total Deposits		47,237.21	
Total Withdrawais		-8,526.96	
* The average yield on the money market fund for	the past seven days.		
Stocks	Price	Quantity	Value
Contrafect Corp	0.37	9,783	3,619.71
Electromed Inc	6.55	105,145	688,699.75
Eog Resources Inc	74.98	103.85066	7,786.72
McDermott International Inc	2.00	1,930	3,860.00
Medicines Co	48.20	500	24,100.00
Oasis Petroleum Inc New	3.46	750	2,595.00
Total SA Sponsored ADR	51.86	425.35079	22,058.69
Valaris PLC	4.93	202	995.86
Mutual Funds	Price	Quantity	Value
Integrity Will Mid-N Amer A	4.06	5,560.749	22,576.64
Total Account Value			\$819,982.29

Summary of Realized Gain/Loss	
	This Year
Short Term (assets held 1 year or less)	\$0.00
Long Term (held over 1 year)	39,537.90
Total	\$39,537.90

Summary totals may not include proceeds from uncosted securities or certain corporate actions.

Investment and Other Activity by Date				
Date	Description	Quantity	Amount	
9/04	Sell Electromed Inc @ 5.70	-1,000	\$5,552.55	
9/04	Transfer to 9081671910		-8,526.96	
9/06	Sell Electromed Inc @ 5.84	-1,000	5,689.05	
9/13	Sell Electromed inc @ 6.193	-1,000	6,060.36	
9/13	Sell Electromed Inc @ 6.11	-510	3,046.68	
9/13	Sell Electromed Inc @ 6.10	-373	2,224.49	



Account Holder(s) Noel D Collis
Account Number **
Financial Advisor Kyle T. Hoggarth, 320-632-1019
302 First Street S E, Little Falls, MN 56345



Statement Date Aug 31 - Sep 27, 2019

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Date	Description	Quantity	Amount
9/13	Sell Electromed Inc @ 6.05	-117	691.39
9/20	Sell Electromed Inc @ 6.2611	-1,000	6,100.93
9/25	Sell Electromed inc @ 6.3176	-1,000	6,156.30
9/27	Sell Electromed Inc @ 7.00	-1,000	6,848.86
9/27	Sell Electromed Inc @ 6.75	-736	4,854.67

Beginning Balance on Aug 31					
Date	Transaction	Description	Deposits	Withdrawals	\$4,979.67 Balance
9/04	Deposit		5,552.55		\$10,532.22
9/04	Withdrawal	Money Market Sale		-8,526.96	\$2,005.26
9/06	Deposit		5,689.05		\$7,694.31
9/13	Deposit		12,022.92		\$19,717.23
9/20	Deposit		6,100.93		\$25,818.16
9/20	Income	Dividend on Money Market for 33 Days @ 1.54%	11.93		\$25,830.09
9/25	Deposit		6,156.30		\$31,986.39
9/27	Deposit		11,703.53		\$43,689.92
Total	•		\$47,237.21	-\$8,526.96	
Ending	Balance on Sep 2	7			\$43,689.92

Pend	iing Trades		
Date	Description	Settlement Date	Total Amount
9/26	Pending sell of Electromed Inc 75.00 shares @ 7.00	9/30/2019	\$470.05

Oper	n Orders	
Date	Description	Price
9/26	Open sell of Electromed Inc 925.00 shares as of 9/26/2019	\$7.00 LMT



Account Holder(s) Noel D Collis
Account Number
Financial Advisor Kyle T. Hoggarth, 320-632-1019
302 First Street S E, Little Falls, MN 56345

Statement Date Oct 27 - Dec 31, 2018

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12-31-18

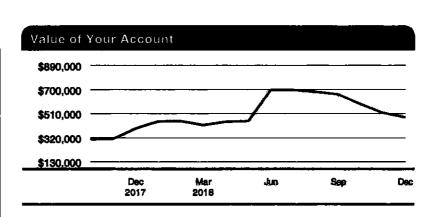
Edward Jones Trust Co As Cust Noel Collis MD FBO Noel D Collis SEP

One Strategy, Many Choices

It's good to have choices. With Edward Jones, you choose the account options that fit your goals and how you communicate with your client team. No matter which options you choose, you and your financial advisor will work together to develop a strategy to help you work toward achieving your long-term goals. Talk with your financial advisor today to discuss your choices and which may be best-suited to you.

Simplified Employee Pension - Select Custodian: Edward Jones Trust Company Portfolio Objective - Account: All Equity Focus

Account Value	
\$480,622.20	
1 Month Age	\$515,862.88
1 Year Ago	\$393,298.67
3 Years Ago	\$0.00
5 Years Ago	\$0.00



	This Period	This Year
Beginning Value	\$586,191.43	\$393,298.67
Assets Added to Account	0.00	0.00
Assets Withdrawn from Account	0.00	-311.31
Fees and Charges	0.00	-0.15
Change In Value	-105,569.23	87,634.99
Ending Value	\$480,622.20	

For more information regarding the Value Summary section, please visit www.edwardiones.com/mystatementguide.

Rate of Return					
Your Personal Rate of Return for	This Quarter	Year to Date	Last 12 Months	3 Years Annualized	5 Years Annualized
Assets Held at Edward Jones	-27.48%	22.28%	22.28%		
					120



Account Holder(s) Neel D Collis
Account Numba
Financial Advisor Kyle T. Hoggarth, 320-632-1019
302 First Street S E, Little Falls, MN 56345



Statement Date Oct 27 - Dec 31, 2018

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Rate of Return (continued)

Your Personal Rate of Return: Your Personal Rate of Return measures the investment performance of your account. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing Your Personal Rate of Return is important to help ensure you're on track to achieving your financial goals.

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For the most current information, contact your financial advisor or visit www.edwardjones.com/rateofretum.

Asset Details (as of Dec 31, 2018)

additional details at www.edwardjones.cem/access

Assets Held At Edward Jones

	Beginning Balance	Deposits	Withdrawais	Ending Balance
Retirement Money Market 1.75%*	\$92,990.49	\$271.14	-\$21,975.92	\$71,285.71

* The average yield on the money market fund for the past seven days.

Stocks	Price	Quantity	Value	Rate of Return*
Acadia Pharmaceuticals Inc Symbot ACAD Asset Category: Growth Research Rating: None	16.17	1,000	16,179.00	-16.26%
Anthera Pharmaceuticals Inc Symbol: ANTH Asset Category: Aggressive Research Rating: None	0.026	125	3.25	-96.87%
Canadian National Railway Co Symbol: CNI Asset Category: Growth & Income Research Rating: Hold Estimated Yield: 1.80%	74.11	542.51789	40,208.00	-5.96%
Esperion Therapeutics Inc Symbol: ESPR Asset Category: Growth Research Rating: None	46.00	600	27,600.00	0.58%
Madrigat Pharmaceuticals Inc Symbol: MDGL Asset Category: Growth Research Rating: None	112.72	900	101,448.00	
McDermott International Inc Symbol: MDR Asset Category: Growth Research Rating: None	6.54	3,000	19,620.00	-15.56%



Account Holder(s) Noel D Collis Account Number Financial Advisor Kyle T. Hoggarth, 320-632-1019 302 First Street S E, Little Falls, MN 56345



Statement Date Oct 27 - Dec 31, 2018

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Asset Details (continued)				
Stocks	Price	Quantity	Value	Rate of Return
Nektar Therapeutics Symbol: NKTR Asset Category: Growth Research Rating: None	32.87	1,000	32,870.00	-40.98%
Osisko Gold Royalties Limited Symbol: OR Asset Category: Growth Research Rating: None Estimated Yield: 1.73%	8.78	1,500	13,170.00	18.46%
Sangamo Therapeutics Inc Symbol: SGMO Asset Category: Growth Research Rating: None	11.48	2,000	22,960.00	-32.00%
Seabridge Gold Inc Symbol: SA Asset Category: Growth Research Rating: None	13.23	1,500	19,845.00	12.36%
Suncor Cda Inc New Symbol: SU Asset Category: Growth & Income Research Rating: Buy Estimated Yield: 3.78%	27.97	1,360.73272	38,059.69	-9.97%
Torex Gold Resources Inc Common Symbol: TORXF Asset Category: Growth Research Rating: None	9.52	2,500	23,800.00	36.57%
Total S A Sponsored ADR Symbol: TOT Asset Category: Growth & Income Research Rating: Buy Estimated Yield: 5.66%	52.18	357.70982	18,665.30	1.90%
Transocean Ltd Symbol: RIG Asset Category: Growth Research Rating: None	6.94	2,747.54131	19,067.94	-27.35%
Williams Companies Inc Symbol: WMB Asset Category: Growth & Income Research Rating: Hold Estimated Yield: 6.17%	22.05	634.07312	13,981.31	-14.68%





Account Holder(s) Noel D Collis Account Number Financial Advisor Kyle T. Hoggarth, 320-662-1018 302 First Street & E. Little Falls, MN 56845



Statement Date Oct 27 - Dec 31, 2018

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Asset Details (continued)				
Stocks	Price	Quantity	Value,	Rate of Return*
Ziopharm Oncolegy Symbol: ZIOP				
Asset Category: Aggressive				
Research Rating: None	1.87	1,000	1,870.00	-42.49%

Estimated Yield

The Estimated Yield (EY) in the preceding section compares the anticipated earnings on your investments in the coming year to the current price of the investments. It is based on past interest and dividend payments made by the securities held in your account. Changes in the price of a security over time or in the amount of the investment held in your account will cause the EY to vary. The EY is only an estimate and cannot be guaranteed by Edward Jones or the issuers of the securities, Your actual yield may be higher or lower than the estimated amounts. Estimates for any securities that have a return of principal or capital gain may be overstated. Income cannot be estimated for any securities that do not have an annual payment amount or frequency available at the time of estimation, Yield to Maturity is typically reported for Zero Coupon Bonds as these securities do not have an annual payment.

The Edward Jones' Research Rating referenced in this document does not take into account your particular investment profile and is not intended as an express recommendation to purchase, hold or sell particular securities, financial instruments or strategies. You should contact your Edward Jones financial advisor before acting upon the Edward Jones Research Rating referenced. You can access full research reports including additional disclosures via Online Access or from your financial advisor.

Total Account Value \$480,622,20

"Your Rate of Return for each individual asset above is as of December 31, 2018, Returns greater than 12 months are annualized.

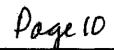
Your Rate of Return in the Asset Details section above measures the investment performance of each of your individual assets. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing your flate of fletum is important to help ensure you're on track to achieving your financial goals.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2009. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit www.edwardiones.com/performance

Retirement Summary		
	This Period	Cumulative
2018 Contributions	\$0.00	\$0.00
2017 Confributions	9.00	0.00





Account Holder(s) Noel D Collis
Account Number
Financial Advisor Name 1. Hoggarth, 320-632-1019
302 First Street S E, Little Falls, MN 56345



Statement Date Oct 27 - Dec 31, 2018

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Date	Description	Quantity	Amount
12/11	Buy McDermott International Inc @ 7.5162	1,000	-\$7,701.47
12/21	Buy McDermott International Inc @ 6.9899	2,000	-14,274.45
12/24	Dividend on Suncor Cda Inc New on 1,347.301 Shares @ 0.284747		356.69
12/24	Reinvestment into Suncor Cda Inc New @ 26.5558 Reinvestment Fee \$0.00	13.43172	-356.69
12/28	Dividend on Canadian National Railway Co on 540.0807 Shares @ 0.333536		180.14
12/28	Reinvestment into Canadian National Railway Co @ 73.913 Reinvestment Fee \$0.00	2.43719	-180.14
12/31	Dividend on Williams Cos Inc on 623.82951 Shares @ 0.34		212.10
12/31	Reinvestment into Williams Cos Inc @ 20.7056 Reinvestment Fee \$0.00	10.24361	-212.10

Beginn	ing Balance on Oc	t 27			\$92,990.49
Date	Transaction	Description	Deposits	Withdrawais	Balance
11/20	Income	Dividend on Retirement Money Market for 29 Days @ 1.53%	113.15		\$93,103.64
12/11	Withdrawal			-7,701.47	\$85,402.17
12/21	Withdrawal			-14,274.45	\$71,127.72
12/31	Income	Dividend on Retirement Money Market for 41 Days @ 1.62%	157.99		\$71,285.71
Total			\$271.14	-\$21,975.92	
Ending	Balance on Dec 3	1			\$71,285.71

Custodian: Edward Jones Trust Company

This Edward Jones brokerage statement also serves as the Edward Jones Trust Company custodial account statement. No other account statement will be provided by Edward Jones Trust company for the period of time reflected on this statement.

Your Relationship and Mailing Group(s)

<u>Relationship</u> - You have asked us to combine the accounts listed below for planning purposes as we work with you to achieve your financial goals. This means that information about these accounts and your goals and objectives may be shared with and accessible by each owner and authorized party in the relationship, including through Edward Jones Online Access and Edward Jones reports.

Mailing Group - You have also asked us to combine certain information about the accounts listed below into the mailing group(s) below for delivery purposes. Information for accounts within the same mailing group may be included in one envelope and mailed to the mailing group address. We may still send certain information directly to the account owners, as we believe appropriate.

Account Number	Account Owner(s)	Account Type	Mailing Group Address
1	Noel D Collis	l •	h
		SEP -	3



Account Heider(s) Neel D Collis Account Number Financial Advisor Kyle T. Hoggarth, 320-632-1019 302 First Street & E. Little Falls, MN 56345



Statement Date Jul 27 - Sep 27, 2019

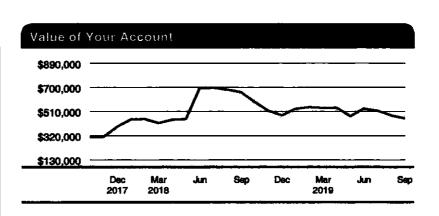
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Edward Jones Trust Co As Cust Noel Collis MD FBO Noel D Collis SEP A History of Serving You

Founded in 1922, Edward Jones has grown from 304 branch offices in 1980 to nearly 15,000 offices in the U.S. and through our affiliate in Canada. Today, we are the nation's largest financial services firm in terms of branch offices, and more than 7 million clients benefit from our highly personal approach to investing. The team at your Edward Jones office is supported by professionals at our headquarters dedicated to developing advice and guidance to help you reach your goals.

Simplified Employee Pension - Select Custodian: Edward Jones Trust Company Portfolio Objective - Account: All Equity Focus

Account Value	
\$455,934.22	
1 Month Ago	\$480,129.22
1 Year Ago	\$662 ,768.03
3 Years Ago	\$0.00
5 Years Ago	\$0.00



Value Summary		
	This Period	This Year
Beginning Value	\$515,955.38	\$480,622.20
Assets Added to Account	0.00	0.00
Assets Withdrawn from Account	0.00	-232.43
Fees and Charges	0.00	0.00
Change In Value	-60,021.16	-24,455.55
Ending Value	\$455,934.22	

For more information regarding the Value Summary section, please visit www.edwardiones.com/mystatementquide.

Rate of Return					
Your Personal Rate of Return for	This Quarter	Year to Date	Last 12 Months	3 Years Annualized	5 Years Annualized
Assets Held at Edward Jones	-14.04%	-4.84%	-30.98%		



Account Holder(s) Noel D Collis
Account Number
Financial Advisor Ryle 1. Hoggarth, 320-632-1019
302 First Street S E, Little Falls, MN 58345



Statement Date Jul 27 - Sep 27, 2019

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Rate of Return (continued)

Your Personal Rate of Return: Your Personal Rate of Return measures the investment performance of your account. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing Your Personal Rate of Return is important to help ensure you're on track to achieving your financial goals.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan.1, 2009. This also includes investments you owned during this time period but have since sold. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

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For the most current information, contact your financial advisor or visit www.edwardiones.com/rateofretum.

Asset Details (as of Sep 27, 2019)

additional details at www.edwardjones.com/accest

Assets Held At Edward Jones

	Beginning Balance	Déposits	Withdrawals	Ending Balance
Retirement Money Market 1.32%*	\$16.95			\$16.95

^{*} The average yield on the money market fund for the past seven days.

Stocks	Price	Quantity	Value	Rate of Return*
Acadia Pharmaceuticals Inc Symbol: ACAD Asset Category: Growth Research Rating: None	36.78	1,000	36,780.00	55.98%
Annaly Capital Management Inc Symbol: NLY Asset Category: Growth & Income Research Rating: None Estimated Yield: 11.44%	8.74	2,845	24,865.30	-4.73%
Anthera Pharmaceuticals Inc Symbol: ANTH Asset Category: Aggressive Research Rating: None	0.05	125	6.25	-83.34%
Canadian National Raitway Co Symbol: CNI Asset Category: Growth & Income Research Rating: Hold Estimated Yield: 1.81%	89.42	547.39237	48,947.83	6.91%
Electromed Inc Symbol: ELMD Asset Category: Aggressive Research Rating: None	6.55	3,000	19,650.00	19.91%



Account Holder(s) Noel D Collis
Account Number 100
Financial Advisor Kyle 17. Hoggarth, 320-632-1019
302 First Street S E, Little Falls, MN 56345



Statement Date Jul 27 - Sep 27, 2019

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Asset Details (continued)	Ţ,			
Stocks	Price	Quantity	Value	Rate of Return*
Esperion Therapeutics Inc Symbol: ESPR Asset Category: Growth Research Rating: None	37.78	600	22,668.00	-15.24%
Fortuna Mines Inc Symbol: FSM Asset Category: Aggressive Research Rating: None	3.27	2,000	6,540.00	-7.80%
Madrigal Pharmaceuticals Inc Symbol: MDGL Asset Category: Growth Research Rating: None	85.13	900	76,617.08	
Mag Silver Corp Symbol: MAG Asset Category: Growth Research Rating: None	11.09	1,000	11,090.00	45.74%
McDermott International Inc Symbol: MDR Asset Category: Growth Research Rating: None	2.00	5,000	10,000.00	-73.20%
Nektar Therapeutics Symbol: NKTR Asset Category: Growth Research Rating: None	17.90	1,000	17,900.00	-61.83%
Sangamo Therapeutics Inc Symbol: SGMO Asset Category: Growth Research Rating: None	9.19	3,500	32,165.00	-28.27%
Seabridge Gold Inc Symbol: SA Asset Category: Growth Research Rating: None	13.20	1,500	19,800.00	6.52%
Suncor Cda Inc New Symbol: SU Asset Category: Growth & Income Research Flating; Buy Estimated Yield: 3.99%	31.69	1,401.17788	44,403.33	1.56%
Torex Gold Resources Inc Common Symbol: TORXF Asset Category: Growth Research Rating: None	13.198	2,500	32,995.00	80.64%
Total S A Sponsored ADR Symbol: TOT Asset Category: Growth & Income Research Rating: Buy				
Estimated Yield: 5.46%	51.86	367.47876	19,057.45	2,90%



Account Holder(s) Noel D Collis
Account Number
Financial Advisor Kyre 1. Hoggarth, 320-632-1019
302 First Street S E, Little Falls, MN 56345



Statement Date Jul 27 - Sep 27, 2019

Page 4 of 5

Asset Details (continued)						
Stocks	Price	Quantity	Value	Rate of Return*		
Transocean Ltd Symbol: RIG Asset Category: Growth Research Rating: None	4.53	2,747.54131	12,446.36	-34.19%		
Williams Companies Inc Symbol: WMB Asset Category: Growth & Income Research Rating: Hold Estimated Yield: 6.28%	24.20	651.47744	15,765.75	-2.67%		
Ziopharm Oncology Symbol: ZIOP Asset Category: Growth Research Rating: None	4.22	1,000	4,220.00	23.89%		

Estimated Yield

The Estimated Yield (EY) in the preceding section compares the anticipated earnings on your investments in the coming year to the current price of the investments. It is based on past interest and dividend payments made by the securities held in your account. Changes in the price of a security over time or in the amount of the investment held in your account will cause the EY to vary. The EY is only an estimate and cannot be guaranteed by Edward Jones or the issuers of the securities. Your actual yield may be higher or lower than the estimated amounts. Estimates for any securities that have a return of principal or capital gain may be overstated. Income cannot be estimated for any securities that do not have an annual payment amount or frequency available at the time of estimation. Yield to Maturity is typically reported for Zero Coupon Bonds as these securities do not have an annual payment.

The Edward Jones' Research Rating referenced in this document does not take into account your particular investment profile and is not intended as an express recommendation to purchase, hold or sell particular securities, financial instruments or strategies. You should contact your Edward Jones financial advisor before acting upon the Edward Jones Research Rating referenced. You can access full research reports including additional disclosures via Online Access or from your financial advisor.

Total Account Value	\$455,934,22

"Your Rate of Return for each individual asset above is as of September 27, 2019. Returns greater than 12 months are annualized.

Your Rate of Return in the Asset Details section above measures the investment performance of each of your individual assets. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing your Rate of Return is important to help ensure you're on track to achieving your financial goals.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2009. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit www.edwardjones.com/performance.



Account Holder(s) Deborah Collis
Account Number
Financial Advisor Kyre T. Hoggarth, 320-632-1019
302 First Street S E, Little Falls, MN 58345

Statement Date Sep 29 Dec 31, 2018







Your 2018 Tax Forms from Edward Jones

Edward Jones will furnish all Forms 1099-R and 1099-Q by Jan. 31 and all Consolidated 1099 Tax Statements by Feb. 15, per IRS requirements. Your Consolidated 1099 Tax Statement may not be final as of Feb. 15 if we have not received final information from issuers. All forms will be finalized by March 15. Visit us at edwardjones.com/taxcenter to learn more about your Edward Jones tax forms.

Single

Account Value	
\$12,725.00	
1 Month Ago	\$14,250.00
1 Year Ago	\$15,175.00
3 Years Ago	\$4 _x 625.00
5 Years Ago	\$8,500.00

Value Summary		
	This Period	This Year
Beginning value	\$16,250.00	\$15,175.00
Assets added to account	0.00	0.00
Assets withdrawn from account	0.00	0.00
Fees and charges	0.00	0.00
Change in value	-3,525.00	-2,450.00
Ending Value	\$12,725.00	

For more information regarding the Value Summary section, please visit www.edwardiones.com/mystatementguide.

Asset Details (as of Dec 31, 2018) additional details at www.edwardjones.com/access						
Assets Held At Edward Jones				·		
Stocks	Price	Quantity	Cost Basis	Unrealized Gain/Loss	Value	
Electromed Inc	5.09	2,500			\$12,725.00	
Total Account Value			-		\$12,725.00	

Cost basis is the amount of your investment for tax purposes and is used to calculate gain or loss upon sale or other disposition of a security. It is not a measure of performance. The cost basis amounts on your statement should not be relied upon for tax preparation purposes. Cost basis information may be from outside sources and has not been verified for accuracy. Refer to your official tax documents for information about reporting cost basis. Consult a qualified tax advisor or an attorney regarding your situation. If you believe the cost basis information is inaccurate, contact Client Relations.

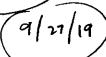


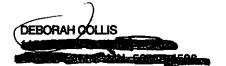
Account Holder(s) Deborah Collis
Account Numbe

Financial Advisor Kyle 1: 770-832-1019 302 First Street S E, Little Falls, MN 56345

Statement Date Jul 27 - Sep 27, 2019

Page 1 of 4





A Financial Strategy as Unique as You

At Edward Jones, your financial advisor works to understand what's most important to you. He or she will use an established process to build personalized strategies to help you reach your goals. Once you have an investment strategy in place, your financial advisor will partner together with you throughout your life to help keep you on track.

Single

Account Value	
\$42,595.00	
1 Month Ago	\$30,800.00
1 Year Ago	\$16,250.00
3 Years Ago	\$11,475.00
5 Years Ago	\$4,625.00

Value Summary		
	This Period	This Year
Beginning value	\$32,625.00	\$12,725.00
Assets added to account	8,526.96	27,419.86
Assets withdrawn from account	0.00	0.00
Fees and charges	0.00	0.00
Change in value	1,443.04	2,450.14
Ending Value	\$42,595.00	

For more information regarding the Value Summary section, please visit www.edwardiones.com/mystatementquide.

Asset Details (as of Sep 27, 2019)

additional details at www.edwardjones.com/access

Assets Held At Edward Jones

Stocks	Price	Quantity	Cost Basis	Unrealized Gain/Loss	Value
Annaly Capital Management Inc	8.74	3,000	27,419.86	-1,199.86	\$26,220.00
Electromed Inc	6.55	2,500	_	_	16,375.00

\$42,595.00

Cost basis is the amount of your investment for tax purposes and is used to calculate gain or loss upon sale or other disposition of a security. It is not a measure of performance. The cost basis amounts on your statement should not be relied upon for tax preparation purposes. Cost basis information may be from outside sources and has not been verified for accuracy. Refer to your official tax documents for information about reporting cost basis. Consult a qualified tax advisor or an attorney regarding your situation. If you believe the cost basis information is inaccurate, contact Client Relations.





Account Holder(s) Deborah Collis Account Number Financial Advisor Kyre (THoggarm; 320-632-1019 302 First Street S E, Little Falls, MN 56345



Statement Date Jul 27 - Sep 27, 2019

Page 2 of 4

Inve	stment and Other Activity by Date		
Date	Description	Quantity	Amount
9/04	Transfer from 9081069511		\$8,526.96
9/04	Buy Annaly Capital Management Inc @ 8.3255	1,000	-8,526.96



Plage 19

ETRADE SECURITIES LLC PO BOX 484 JERSEY CITY, NJ 07303-0484 ORIGINAL: Account Executive No: Taxpayer Identification Number: ***-* Account Name: Account No: Ξ 12/31/2018 NOEL D'COLLIS

RECIPIENT'S Name, Street Address, City, State, and Zip Gode NOEL D COLLIS

Copy 8 for recipient 2nd TiN Notice JERSEY CITY, NJ 07303-0484
 FATCA Filing Requirement Telephone Number: 800 387 2331 PO BOX 484

Payer's Federal identification Number: The Payer's Name, Street, City, State, Zip Code: E*TRADE SECURITIES LLC

2018 CONSOLIDATED FORMS 1099

	\$ 6.0 6	Specified private activity bond interest dividends (AMT)	
	\$0.00	 Exempt-interest dividends (includes Box 12) 	
	\$0.00	10. Noncash liquidation distributions	
14. Tax-exempt and tax credit bond CUSIP no.	\$0.00	9. Cash liquidation distributions	
13. Bond premium on tax-exempt bonds \$0.00	Various	8. Foreign country or U.S. possession	
12. Bond premium on Treasury obligations \$0.00	\$284.52	7. Foreign tax paid	
11. Bond premium \$0.00	\$0.00	6. Investment expenses	
•	\$0.00	5. Section 199A dividends	
9. Specified private activity bond interest	\$0.00	4. Federal income tax withheld	
tarest (includes Boy 9)	\$0.00	3. Nondividend distributions	
7. Foreign service and 2 presents	\$0.00	2d. Collectibles (28%) gain	
D. Investment expenses	\$0.00	2c. Section 1202 gain	
THE CAX WITTING HIS	\$0.00	2b. Unrecaptured section 1250 gain	
. Savings Bonds & Treasury obligations	\$0.00	Total capital gain distributions (includes Boxes 2b, 2c, 2d)	
al penalty	\$3,008.85	1b. Qualified dividends	
1. Interest income (not included in Box 3) \$26.25	\$3,230.28	1a. Total ordinary dividends (includes Boxes 1b, 5, 6)	

THIS IS YOUR FORM 1000 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES.

The above is important tax information and is being furnished to the informal Revenue Service (except so Indicated). If you are required to file a return, a negligence penalty or other sunction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

Pogeso

E'TRADE SECURITIES LLC PO BOX 484 JERSEY CITY, NJ 07303-0484

?

Account No:

Account Executive No: ET1

12/31/2018

ORIGINAL:

REALIZED GAIN / LOSS SUMMARY

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	Total Unknown Term
\$0,00	\$0.00	\$0.00	\$0.00	\$0.00	Box B or Box E - Ordinary - (basis not reported to IRS)
\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	Box B or Box E (basis not reported to IRS)
					UNKNOWN TERM - CODE (X) REPORT ON FORM 8949 PART I OR PART II
\$16,273.02	\$0.00	\$0.00	\$157,720.56	\$172,993.58	Total Long-Term
\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	Box E - Ordinary - (basis not reported to IRS)
(\$41,335,54)	\$0.00	\$0.00	\$78,049.51	\$36,713.97	Box E (basis not reported to IRS)
\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	Box D - Ordinary - (basis reported to IRS)
\$56,608.56	\$0.00	\$0.00	\$79,671.05	\$136,279.61	Box D (basis reported to IRS)
					LONG-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART II
911,657.75	\$0.00	\$0.00	\$25,884.19	\$37,641.94	Total Short-Term
\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	Box B - Ordinary - (basis not reported to IRS)
\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	Box B (basis not reported to IRS)
\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	Box A - Ordinary - (basis reported to IRS)
\$11,657.75	\$0.00	\$0.00	\$25,884.19	\$37,641.94	Box A (basis reported to IRS)
REALIZED GAIN OR (LOSS)	WASH SALE LOSS	MARKET DISCOUNT	COST BASIS	PROCEEDS	SHORT-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART I

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Page 21

JERSEY CITY, NJ 07303-0484 ETRADE SECURITIES LLC PO BOX 484 ORIGINAL Account Executive No: Taxpayer Identification Number Account Name: Account No: 四三 12/31/2018 NOEL D'CULLIO

RECIPIENT'S Name, Street Address, City, State, and Zip Code NOEL D COLLIS

ETRADE SECURITIES LLC Payer's Name, Street, City, State, Zip Code: Payer's Federal Identification Number: PO BOX 484

Copy B for recipient

☐ 2nd TiN Notice JERSEY CITY, NJ 07303-0484
☐ FATCA Filing Requirement Telephone Number: 800 387 2331

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: Taxpayers are ultimately responsible for the accuracy of their tax returns.

Box 6: Gross Proceeds Covered Short-Term Gains or Losses on Gross Proceeds Report on Form 8949, Part I with Box A checked

Box 5: Box Not Checked (Covered Security)

Box 3: Back Reported to the IRS

Box 2: Type of Gain or Loss -Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return

	CALL EOG 01/18/19 90 CUSIP: 8KQWFT1	-	CUSIP: 01627X108	COM.	***ALIO GOLD INC	CUSIP (Box 1s)	Security Description
4 ITEMS - TOTAL		totals					
	10.00000	3,700.00000	1,200.00000	1,200.0000	1,300.00000	Quantity Sold	
	08/13/2017 05/02/2018		03/16/201	12/05/2017	09/20/2017	Acquired (Box 1b)	
	06/02/2018		04/13/2018	04/13/2018	17 04/13/2018	or Disposed (Box 1c)	Date Sold
\$37,641.94	\$29,989.16	\$7,552.78	\$2,449.66	\$2,449.95	\$2,653.68	Proceeds (Box 1d)	1
\$25,884,19	\$13,014.70	\$12,869.49	\$2,898,95	\$3,567.59	\$6,402.95	Other Basis (Box 1e)	Cost or
\$0.00	\$0.00	\$0.00	\$0.00	\$ 0.00	\$0.00	Discount (Bex 11)	Market
\$0.00	\$0.00	\$ 0.00	\$ 9.00	\$0.00	\$0.00	Disallowed (Box 1g)	SEOTI
\$11,867.76	\$16,974.46	(\$6,316.71)	(\$449.40)	(\$1,118.04)	(\$3,749.27)	Amount	Quin/Loss
J					-	Additional information	

This is Your Form 1998 (COPY & POR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service (succest as indexted) if you are required to the a return, a negligence parally or other sanction may be imposed on you if the income is taxable and the IRS determined that it has not been experied.

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E"TRADE SECURITIES LLC PO BOX 484 JERSEY CITY, NJ 07303-0484

Account No: Account Name:

Taxpayer Identification Number: ***-**-NOEL D'COLLIS

Account Executive No: Ξ

ORIGINAL:

12/31/2018

Box 6: Gross Proceeds Covered Long-Term Gains or Losses on Gross Proceeds Report on Form 8949, Part II with Box D checked

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss -Long-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Accrued Wasts Sale

						ACCIUM	MES SERVE		
CUSIP	Quantity Sold	_	or Disposed	Proceeds	Other Basis	Discount	Disailowed	Amount	Additional information
(Box 1s)			(Box 1c)	(Box 1d)	(Box 1e)	(Box 11)	(Box 1g)		
***OCEANAGOLD CORPORATION	361,00000	10/10/2012	10/22/2018	\$1,092.18	\$1,545.35	\$0.00	\$0.00	(\$453.17)	
CUSIP: 675222103	482,00000	10/22/2012	10/22/2018	\$1,458.26	\$2,029.99	\$ 0.00	\$ 0.00	(\$571.73)	
	482,00000	10/24/2012	10/22/2018	\$1,458.26	\$2,009.99	\$ 0.00	\$6.00	(\$551.73)	
	482 00000	11/05/2012	10/22/2018	\$1,458.26	\$1,907.89	\$ 5.00	\$6.00	(\$449.73)	
	482,00000	11/08/2012	10/22/2018	\$1,458,26	\$2,149.99	\$ 0.08	\$ 0.00	(\$691.73)	
	482,00000	11/20/2012	10/22/2018	\$1,458,26	\$2,069.89	\$ 0.00	\$ 0.00	(\$611.73)	
	482.00000	11/23/2012	10/22/2018	\$1,488.26	\$2,109.99	\$0.00	\$0,00	(\$651.73)	
	723.00000	12/31/2012	10/22/2018	\$2,187.39	\$2,220.88	\$ 0.00	\$ 0.00	(\$33.60)	
	723,00000	02/22/2013	10/22/2018	\$2,187.39	\$2,343.88	\$ 0.00	\$ 0.00	(\$156.60)	
	28.00000	12/12/2013	10/22/2018	\$79.76	\$49.98	\$ 0.00	\$6.00	\$29.78	
Subtotals	4,727,00000			\$14,286,28	\$18,438,26	90,00	\$0.00	(\$4,141.97)	
PROSHARMS TR	50.00000	09/18/2012	08/06/2018	\$1,842.73	\$3,313.98	\$0.00	\$0.00	(\$1,471.26)	
CUSIP: 74347B201	25.00000	09/28/2012 08/06/2018	08/06/2018	\$921.36	\$1,553.98	\$6.00	\$0.00	(\$632.63)	
Subtotals	78.00000			\$2,764.09	\$4,867.98	\$0.00	\$0.00	(\$2,103.88)	
**************************************	925,00000	06/07/2018 03/16/2018	03/16/2018	\$8,308.49	\$8,195.50	\$0.00	\$0.00	\$112.99	
CUSIP: 784730103									
CALL ECG 01/18/19 80 CUSIP: 8KQWFT1	10.00000	01/03/2017 01/31/2018	01/31/2018	\$29,834.64	\$26,042,73	\$0.00	\$0,00	\$3,591.91	
CALL HFC 01/18/19 20 CUSIP: 8LF07Z8	5.0000		05/14/2018	\$24,546.89	\$7,375.53	\$0.00	86.8 86.8	\$17,171.38	
	3.0000	01/09/2017	05/22/2018	\$16.183.28	\$4,425,32	8	\$	\$11.767.96	
	5,0000		06/05/2018	\$29,742.07	\$7,378.63	\$0.00	\$0.00	\$22,366.54	
Subtotals	16.00000			\$81,276.11	\$22,128.69	\$0.00	\$0.00	\$69,149.62	
18 ITEMS - TOTAL				\$136,279.61	\$79,671.06	\$0.00	\$0.00	\$86,608,56	

This is Your PORM 1098 (COPY B FOR RECIPIENT) - PLASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important sax information and is being furnished to the Internal Revenue Service (except as Indicated) if you are required to file a return, a negligence penalty or other exection may be imported on you if the income is taxable and the IRS determines that it has not been reported.

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Taxpayer Identification Number: ***-**-Account Name: Account No:

NOEL D COLLIS

E*TRADE SECURITIES LLC PO BOX 484 JERSEY CITY, NJ 07303-0484

Account Executive No: 9

ORIGINAL: 12/31/2018

Box 6: Gross Proceeds Noncovered Long-Term Gains or Losses on Gross Proceeds Report on Form 8949, Part II with Box E checked

Box 6: Box Checked (Noncovered Security)

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Accrued Wash Sale Box 3: Basis Not Reported to the IRS Box 2: Type of Gain or Loss -Long-Term

	(\$41,336.64)	\$0.00	\$0.00	\$78,049.61	\$36,713.87			_	13 ITEMS - TOTAL
	(\$46,176.34)	\$0.00	\$0,00	\$61,839.51	\$15,663.17			425.00000	Subtotals
	(\$2,461.12)	\$0.00	\$0.00	\$4,303.85	\$1,842.73	08/06/2018	10/14/2011	50.00000	
	(\$1,523.43)	\$ 0.08	\$0.00	\$2,444.79	\$921.36	08/06/2018	08/18/2011	25.00000	
	(\$4,909.06)	\$ 0.08	\$0.00	\$6,751.79	\$1,842.73	08/06/2018	08/07/2011	50.00000	
	(\$2,478.58)	\$0,08	\$0,00	\$3,399.94	\$921.36	08/06/2018	10/15/2010	25.00000	
	(\$2,306.02)	\$0.00	\$5,00	\$3,097.38	\$782.37	08/06/2018	07/06/2010	21.50000	
	(\$373.61)	\$ 6.9	\$0.00	\$502.60	\$128.89	08/06/2018	07/06/2010	3.50000	COGIT: 740470207
	(\$19,266.98)	\$0.00	\$0.00	\$24,797.16	\$5,528.18	08/06/2018	05/07/2010	150.00000	TROUBARTO OF TABLE TO THE TROUBARTOR OF TABLE
	(\$12,856.54)	\$0.00	\$ 0.00	\$16,541.99	\$3,685.45	08/06/2018	05/07/2010	100.00000	PROSHARES TR
	\$4,840.80	\$0.00	\$0.00	\$16,210.00	\$21,050.80			2,000.00000	Subtotals
	\$245.96	\$ 0.00	\$0.00	\$810.50	\$1,056.46	05/03/2018	12/28/2009	100.00000	
	\$1,785.97	6.00	\$0.00	\$6,078.75	\$7,874.72	05/03/2018	12/28/2009	750.00000	CORIE: /1646/108
	\$721.38	\$ 6.8	\$0.00	\$2,431.50	\$3,152.88	05/03/2018	12/28/2009	300,0000	EACH REPRESENTING 10 CL B SH
	\$977.85	\$ 0.00	90.00	\$3,242.00	\$4,219.85	05/03/2018	12/28/2009	400.0000	AMERICAN DEPOSITARY SHARES
	\$1,089.64	\$0.00	\$0.00	\$3,647.25	\$4,746.89	05/03/2018	12/28/2009	450,00000	***PETROBRAS ARGENTINA SA
					(Box 1d)	(Box 1c)			(Box 1a)
Additional information	Amount	Disaslowed	Discount	Other Basis	Reported to IRS	or Disposed	Acquired	Quantity Sold	CUSIF
		176371 0014	Market	Cost or	Proceeds	Data Sold			Security Description

FOOT NOTES.
SHORT SALE - Short sales covered in December that settle in January will be reported on your Form 1089-B in the year they are settled.

This is your FORM tost (COPY B FOR RECIPIENT) - REALER RETAIN FOR TAX PREPARATION PURPOSES
The above is important aux information and is being furnished to the informat Revenue Service (except as Indicated). If you are required to this a return, a negligence penalty or other exection may be imposed on you if the information and the RE determines that it has not been reported.

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01/19/18 ROYAL GOLD INC 04/20/18 ROYAL GOLD INC 07/20/18 ROYAL GOLD INC 10/19/18 ROYAL GOLD INC 04/27/18****OCEANAGOLD CORPORATION
04/27/18****OCEANAGOLD CORPORATION
08/14/18****OCEANAGOLD CORPORATION
08/14/18****OCEANAGOLD CORPORATION 01/19/18***TOTAL 8 A 1 ADR REPRESENTING 04/18/18***TOTAL 8 A 1 ADR REPRESENTING 07/08/18***TOTAL 8 A 1 ADR REPRESENTING 10/19/18***TOTAL 8 A 1 ADR REPRESENTING 04/02/18 BANK OF AMERICA CORP 06/29/18 BANK OF AMERICA CORP 08/28/18 BANK OF AMERICA CORP 03/28/18 PROSHARES TR PROSHARES ULTRASHORT 06/27/18 PROSHARES TR PROSHARES ULTRASHORT 10/03/18 PROSHARES TR PROSHARES ULTRASHORT 12/27/18 PROSHARES TR PROSHARES ULTRASHORT 07/26/18 *** EURO RESSOURCES S A ORDINARY SH 12/29/18 BANK OF AMERICA CORP Date Total Qualified Dividends (Box 1b included in Box 1a) Total Non-Qualified Dividends (included in Box 1a) Total Ordinary Dividends (Box 1a) Description Security 89151E109 89151E109 89151E109 89151E109 675222103 675222103 060505104 060505104 060505104 743478201 743478201 743478201 743478201 743478201 675222103 675222103 780287108 780287108 780287108 780287108 F3366F102 060505104 CUSIP NONQUALIFIED DIVIDEND NONQUALIFIED DIVIDEND NONQUALIFIED DIVIDEND NONQUALIFIED DIVIDEND QUALIFIED DIVIDEND
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QUALIFIED DIVIDEND QUALIFIED DIVIDEND QUALIFIED DIVIDEND QUALIFIED DIVIDEND QUALIFIED DIVIDEND QUALIFIED DIVIDEND Description Transaction Amount 13,008.85 \$300.00 \$300.00 \$300.00 \$137.03 \$372.65 \$380.87 \$358.17 \$369.18 \$10.92 \$10.92 \$13.88 1221.43 \$26.51 \$69.57 \$52.00 \$73.35 \$46.98 \$0.56 **\$**13.**6**6 **5**0,28 Tax Paid Foreign **2**1.11 \$7.05 \$7.05 \$14.10 \$55.90 \$57.13 \$53.73 \$55.38 . . . 1 1 1 1 1 **Federal Tax** Withheld ŧ 1 1 1 1 . . . 1 1 . . ı . 1 1 1 1 65 65 60 00 60 00 60 00 Box 8: 80 × 8: 80X 8 8 9 9 Application of the second second Additional information CANADA CANADA CANADA FRANCE FRANCE FRANCE FRANCE

E"TRADE SECURITIES LLC PO BOX 484 JERSEY CITY, NJ 07303-0484

Taxpayer Identification Number: ***-**

Account No: Account Name:

NOEL D'COLLIS

ORIGINAL

Account Executive No:

ET:

12/31/2018

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Description ORIGINAL: CUSIP Transaction
Description

Total Foreign Tax Paid (Box 7)

Amount

Foreign Tax Paid

Federal Tax Withheld

Additional information

\$284.62

Account No:
Account Name:
NOEL D COLLIS
Taxpayer identification Number: ***_*** Account Executive No: ĘŢ 12/31/2018

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E*TRADE SECURITIES LLC PO BOX 484 JERSEY CITY, NJ 07303-0484

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Account No:

Account Name:

NOEL D COLLIS

Taxpayer Identification Number: ***-***

12/31/2018

ORIGINAL:

Account Executive No:

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			\$26.25		Total interest income Not included in Box 9 (Box 1)
	'		\$0.21	2145605 TAXABLE INTEREST	12/26/18 EXTENDED INSURANCE SWEEP DEPOSIT A
		,	\$1.76	2145605 TAXABLE INTEREST	1/28/18 EXTENDED INSURANCE SWEEP DEPOSIT A
		1	\$5.93	2145605 TAXABLE INTEREST	0/28/18 EXTENDED INSURANCE SWEEP DEPOSIT A
		,	\$0.79	2145605 TAXABLE INTEREST	09/26/18 EXTENDED INSURANCE SWEEP DEPOSIT A
		ı	5 3.08	2145605 TAXABLE INTEREST	08/27/18 EXTENDED INSURANCE SWEEP DEPOSIT A
	•		** 35.36	2145606 TAXABLE INTEREST	07/26/18 EXTENDED INSURANCE SWEEP DEPOSIT A
		,	\$.29	2148605 TAXABLE INTEREST	06/26/18 EXTENDED INSURANCE SWEEP DEPOSIT A
	1	ı	\$2.78	2148605 TAXABLE INTEREST	05/29/18EXTENDED INSURANCE SWEEP DEPOSIT A
	1	,	55.38	2145605 TAXABLE INTEREST	04/26/18 EXTENDED INSURANCE SWEEP DEPOSIT A
			5.6	2148606 TAXABLE INTEREST	03/26/18 EXTENDED INSURANCE SWEEP DEPOSIT A
			\$5.61	2145605 TAXABLE INTEREST	02/26/18 EXTENDED INSURANCE SWEEP DEPOSIT A
	•		\$0.60	2145605 TAXABLE INTEREST	01/26/18 EXTENDED INSURANCE SWEEP DEPOSIT A
	Withheld	Tax Paid		Description	Description
Additional information	Federal Tax	Foreign	Amount	CUSIP Transaction	Date Security

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E*TRADE SECURITIES LLC PO BOX 484 JERSEY CITY, NJ 07303-0484

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Account No:

Account Name:

NOEL D COLLIS

Taxpayer Identification Number: ***_***

Account Executive No: Ę

ORIGINAL:

12/31/2018

2018 INVESTMENT DETAILS

Date	新工作区域的
Security Description	
CUSIP	end south a the
Transaction Description	Sell Consultation
Quantity	A Same Sail
Price	A Marin Marine
Amount	Lord Am Sand
Additional Information	Con de de de de de la constante

02/22/16 NEKTAR THERAPEUTICS 06/05/18 NEKTAR THERAPEUTICS 06/05/18 NEKTAR THERAPEUTICS 06/11/18 NEKTAR THERAPEUTICS 06/21/18 NEKTAR THERAPEUTICS 07/02/18 NEKTAR THERAPEUTICS 10/22/18 ****OCEANAGOLD CORPORATION	08/08/18 JABON INDUSTRIES INC 11/20/18 JABON INDUSTRIES INC 12/03/18 JABON INDUSTRIES INC 08/01/18 ***MCDERMOTT INTERNATIONAL INC COMMON S 11/23/18 ***MCDERMOTT INTERNATIONAL INC COMMON S 11/29/18 MEDICINES OF	08/21/18 ESPERION THERAPEUTICS INC 08/21/18 ESPERION THERAPEUTICS INC 02/22/18 ***FORTUNA SILVER MINES INC 01/17/18 ****GOLDEN ARROW RES CORP NEW COM 04/08/18 INCYTE CORPORATION FORMERLY INCYTE GENO 04/02/18 INTREXON CORP COM 08/08/18 INTREXON CORP COM	08/22/18 ***BELO SUN MINING CORP 11/08/18 ***BELO SUN MINING CORP 11/19/18 ***BELO SUN MINING CORP 01/08/18 ESPERION THERAPEUTICS INC 05/03/18 ESPERION THERAPEUTICS INC 05/14/18 ESPERION THERAPEUTICS INC 05/29/18 ESPERION THERAPEUTICS INC	07/31/18ACADIA PHARMACEUTICALS INC 01/31/18***ALEXCO RESOURCE CORP 03/16/18***ALIO GOLD INC COM 04/13/18***ALIO GOLD INC COM 03/16/18***BELO SUN MINING CORP 04/02/18***BELO SUN MINING CORP 05/03/18***BELO SUN MINING CORP
940269108 940269108 940269108 940268108 940268108 940268108 975222103	471172108 471172108 471172108 550037703 554688108	2984W105 349615108 349615108 38080W102 45337C102 46122T102	08088109 08088109 080858109 29984W108 29984W108 29864W108 29864W108	004225108 01635P108 01627X108 01627X108 01627X109 080556109 080558109
PURCHASE PURCHASE PURCHASE PURCHASE PURCHASE PURCHASE	PURCHASE PURCHASE PURCHASE PURCHASE PURCHASE PURCHASE PURCHASE	PURCHASE PURCHASE PURCHASE PURCHASE PURCHASE	PURCHASE PURCHASE PURCHASE PURCHASE PURCHASE	PURCHASE BURCHASE BURCHASE BURCHASE PURCHASE PURCHASE PURCHASE
200.000 200.000 200.000 200.000 200.000 200.000 280.000	5,000,000 2,000,000 1,200,000 1,200,000	1,100,000 4,000,000 100,000 500,000	10,000,000 10,000,000 100,000 200,000 200,000 200,000	9,00,000 1,200,000 3,700,000 5,000,000 10,000,000
\$53.94 \$62.74 \$62.74 \$56.02 \$48.45	22.52 25.52	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	\$0.17 \$0.27 \$0.27 \$69.00 \$41.13 \$38.70	86555555555555555555555555555555555555
\$10,792.95 \$10,792.95 \$12,652.75 \$10,662.95 \$5,606.80 \$9,665.55 \$79.76	\$12,088.95 \$4,104.95 \$3,804.95 \$11.57 \$4,282.95 \$4,282.95	\$3,802.5 \$3,9802.95 \$2,188.95 \$6,947.45 \$7,428.95	\$1,971.85 \$2,704.95 \$8,906.95 \$10,834.95 \$7,206.85	\$7,474.95 \$4,566.95 \$2,886.95 \$7,552.79 \$1,256.45 \$2,046.95

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E*TRADE SECURITIES LLC PO BOX 484 JERSEY CITY, NJ 07303-0484 Account No:

Account Name:

NUTL D CULLIS
Taxpayer Identification Number: ***-**-**-*** Account Executive No: <u>m</u>

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ORIGINAL:

12/31/2018

	\$3,912.67 \$29,634.64 \$28,686.16 \$24,546.89 \$10,783.87 \$16,183.28 \$29,742.07	\$2.90 \$29.95 \$30.00 \$49.11 \$64.00	16.000 10.000 10.000 5.000 5.000 5.000	PURCHASE SELL SELL SELL SELL SELL SELL	8GZZGD3 8KQWFT1 8KQWFT1 8LFQTZ9 8LFQTZ9 8LFQTZ9 8LFQTZ9	10 MCDERMOTT IN 80 EOG RESOURCE 80 EOG RESOURCE 20 HOLLYFRONTIE 20 HOLLYFRONTIE 20 HOLLYFRONTIE 20 HOLLYFRONTIE	MDR 01/15/21 EOG 01/18/19 EOG 01/18/19 HFC 01/18/19 HFC 01/18/19 HFC 01/18/19	11/20/18 CALL MDR 01/31/18 CALL EOG 08/02/18 CALL EOG 08/14/18 CALL HFC 05/22/18 CALL HFC 05/22/18 CALL HFC 08/03/18 CALL HFC
Price Amount Additional Information	Amount		Quantity	CUSIP Transaction Description	CUSIP	Security Description		Date
	\$14,216.54 \$1,056.46 \$3,152.88 \$4,219.85 \$4,746.89 \$7,874.72 \$18,427.25 \$6,902.45 \$6,902.45 \$6,938.55 \$4,313.15 \$4,313.15 \$4,264.85 \$4,264.85 \$2,551.45 \$3,556.95			SELL SELL SELL SELL SELL SELL SELL SELL	678222103 71646J109 71646J109 71646J109 71646J109 71646J109 71646J109 743478201 784730103 800677108 800677108 811816105 98973P101 98973P101 98973P101 98973P101 98973P101	10/22/18 ****OCEANAGOLD CORPORATION 08/03/18 ****PETROBRAS ARGENTINA SA AMERICAN DEPO 08/03/18 PROSHARES TR PROSHARES ULTRASHORT TR TR 03/19/18 PROSHARES TR PROSHARES ULTRASHORT TR TR 03/19/18 PROSHARES TR PROSHARES ULTRASHORT TR TR 03/19/18 SANGAMO THERAPEUTICS INC COMMON STOCK 03/03/18 SANGAMO THERAPEUTICS INC COMMON STOCK 08/03/18 SANGAMO THERAPEUTICS INC COMMON STOCK 08/03/18 SANGAMO THERAPEUTICS INC COMMON STOCK 02/01/18 ****SEABRIDGE GOLD INC 02/01/18 ZIOPHARM ONCOLOGY INC 03/28/18 ZIOPHARM ONCOLOGY INC 05/03/18 ZIOPHARM ONCOLOGY INC 05/03/18 ZIOPHARM ONCOLOGY INC 06/03/18 ZIOPHARM ONCOLOGY INC 08/03/18 ZIOPHARM ONCOLOGY INC 08/03/18 ZIOPHARM ONCOLOGY INC	10/22/18****OCEANAGOLD CORPORATION COCKY18****PETROBRAS ARGENTINA SA A COCKY18***PETROBRAS ARGENTINA SA A COCKY18****PETROBRAS ARGENTINA SA A COCKY18****SER MINING INC COM COCKY18****SER MINING INC COM COCKY18***SEABRIDGE GOLD INC COCKY18***SIOPHARM ONCOLOGY INC COCKY18****SIOPHARM ONCOLOGY INC COCKY18****SIOPHARM ONCOLOGY INC COCKY18*****SIOPHARM ONCOLOGY INC COCKY18************************************	10/22/18 ****OCEANJ 08/03/18 ****PETROB 08/03/18 ****PETROB 08/03/18 ****PETROB 08/03/18 ****PETROB 08/03/18 ****PETROB 08/08/18 PROSHARI 03/18/18 SANGAMO 07/11/18 SANGAMO 07/11/18 SANGAMO 02/01/18 ****SEABRIII 01/17/18 ZIOPHARIM 02/01/18 ZIOPHARIM 03/28/18 ZIOPHARIM 03/28/18 ZIOPHARIM 03/28/18 ZIOPHARIM 03/28/18 ZIOPHARIM 03/28/18 ZIOPHARIM 03/28/18 ZIOPHARIM 03/28/18 ZIOPHARIM 03/28/18 ZIOPHARIM
Additional information	Amount	Price	Quentity	GUSIP Transaction Quantity Description	CUSIP	Security Description		Date

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EXTRADE" N. Collis **E#TRADE** Pro Elite **Investment Account**



Account Numbe.

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Statement Period: September 1, 2019 -

September 30, 2019

INDIVIDUAL

ACCOUNT HOLDINGS

CASH & CASH EQUIVALENTS (0.63% of Holdings)

PORTFOLIO % ANIOUNT DESCRIPTION Extended bussrance Sweep Deposit Account Opening Balance Closing Balance 105,151.16

Courng carerus

Average Balance

Extended Insurance Sweep Deposit Account Balance by Bank as of September 30, 2019 ETRADE BANK

2,554.93 35,646.49 2,564.93

Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit finits. Your ESDA Program cash balances will be FDIC-Insured up to an aggregate of at least \$1,250,000. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit www.strade.com/osdasgreement or call us at 1-800-ETRADE-1 (1-800-S87-2331).

TOTAL CASH & CASH EQUIVALENTS STOCKS, OPTIONS & EXCHANGE-TRADED FINDS (99.37% of Holdings) \$2,564.83

0.63

DESCRIPTION	SYMBOL/ CUSIP	AGCT TYPE	QUARTY	PRICE	TOTAL SECT VALUE	PORTFOLIO (%)	EST. ANNUAL DICOME	EST. AMRIAL VELO (%)
ACADIA PHARMACEUTECALS INC	ACAD	Margin	500	35.9900	17,995.00	4.44		
***ALEXCO RESOURCE CORP	AXU	Margin	8,000	1.7300	13,840.00	3.42		
ANI PHARMACEUTICALS, INC. CONTINGENT VALUE RTS RSTD EXP 06/18/2023		Margin	363		0.00	0.00		
BANK OF AMERICA CORP	BAC	Margin	91	29.1700	2.654.47	0.66	66.00	2.49%
***BELO SLIN MINING CORP	WHOLE	Margin	56,000	0.3720	29,832.00	5.14		
CALL CVS 01/15/21 55 CVS HEALTH CORPORATION EXP 01/15/2021		Margin	20	11.6000	23,200.90	5.73		
CALL MER 01/15/21 10 MCDERMOTT INTERNATIONAL INC		Margin	25	9,4090	1,000.00	0.25		
EXP 01/15/2021 ""CANARC RESOURCE CORP	CRCUF	Cash	10,100	0.0470	474.70	0.12		

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ENTRADE Pro Elite Investment Account



Account Num

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Statement Period : September 1, 2019 - September 30, 2019

Account Type: INDIVIDUAL

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (Continued)

DESCRIPTION	STANDOLF SUSP	AGET TYPE	CENTRY	FRACE	TELE MICT	PORTIGUES (%)	BET AND IN.	ENT. APPRILIE
ESPERION THE PAPELITIES INC.	ESPR	Margin	1,100	36.6600	40,326.00	9.85		
ELIRO RESSOURCES S A	ERRGF	Cash	800		0,09	0.00		
ORDINARY SHARES FINE PRIME THERAPELITICS INC COM	FPRX	Margin	403	3,9750	1,550,00	0.38		
TORTUNA SILVER	FSM:	وأززاعها	2,300	3.0900	7,107.00	1.75		
COMPNEW	CARNE	Magh	11,000	0.1140	1,254.00	e.a#		
GOLDEN STAR RESILTO CEDA COM NO PAR	GSS	Margin	400	2.8600	1,144.00	0.28		
INCYTE CORPORATION FORMERLY RICYTE GENOMICS INC	IBCY	Margin	100	74.2300	7,423.60	1.63		
INTREXON CORPORATION COMMON STOCK	XON	Margin	1,500	5.7208	9,580.00	2.12		
JASON NOLISTRIES INC	JASKI	Margin	24,500	0.3550	8,697.56	2.15		
""LEAGOLD MING CORP" COM NEW	LMCNF	Margin	3,000	1.9268	5,762.40	1.42		
MECERMOTT ONTERNATIONAL INC COMMON STOCK	MOR	Margin	1,585	2,0200	3,201.70	0.79		
MEDICINES CO	MDCO	Margin	1,200	50.9000	60,000.00	14.81		
NEXTAR THERAPEUTICS	METR	Maron	1.000	18.2180	18.215.00	4.50		
PACIFA BIOSCIENCES INC COMMON STOCK	PCRX.	Margin	500	38.0700	19,035.00	4.70		
PROSHARES TR PROSHARES ULTRASHORT TR TREAS	TBT	Margin	500	24,3600	\$2,180.00	3.04	288.50	2.36%
""ROYAL ROAD MINERALS LIMITED RSTD	779990753	Cash	89		0,00	0.00		
SANSAMO THERMPEUTICS ING COMMON STOCK	SEMO	Margin	2,006	8.0500	18,100.00	4.47		

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E*TRADE

E#TRADE Pro Elite **Investment Account**



Account Number.

Statement Period: September 1, 2019 - September 30, 2019

Account Type: INDIVIDUAL

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (Continued)

DESCRIPTION	CHED SANDOFA	ACCT TYPE	QUARTITY	PRICE	TOTAL MICT VALUE	PORTFOLIO (%)	EST. ANDEJAL SICONE	EST. ANNUAL YIELD (%)
***SEABRIDGE GOLD INC	SA	Margin	1,000	12.6600	12,660.00	3.12		
SMTC CORPORATION	SMTX	Margin	18,052	2.1600	38,992.32	9.62		
***TOTAL S A 1 ADR REPRESENTING 1 ORD SHS	тот	Mergin	505.5258	52.0000	26,267.35	6.49	1,217.00	4.63%
ZIOPHARM ONCOLOGY INC	210P	Margin	7,500	4.2800	32,100.00	7.82		
TOTAL STOCKS, OPTIONS	A ETF				5402,811.44	99.37%	\$1,571.00	0.39%
TOTAL PRICED PORTFOLI	O HOLDINGS (ON	08/30/19)			\$405,176.37			
TOTAL ESTIMATED ACCOU	INT HOLDINGS AF	DOLAL DICOME			\$1,571.00			

TRANSACTION HISTORY

TRADE DATE	BETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSEP	TRANSACTION TYPE	QUARTITY	PROCE	AMOUNT PURCHASED	/AMOUNT 801.0
09/03/19 09:30	09/06/19	JASON INDUSTRIES INC	MŽAL	Bought	5,000	0.5200	2,604.95	
	CURITIES AC	TIVITY					\$2,604.95	
DIVIDEN	DS & INTER	EST ACTIVITY						
OATE	TRANSACTION TYPE	DESCRIPTION		SYMBOL! CUSP			ANKOUNT DEMTED	AMORDO CRIEDITED
09/26/19	Interest	EXTENDED INSURANCE S DEPOSIT ACCOUNT INTEREST	SWEEP					2.34
09 / 27/19	Dividend	BANK OF AMERICA CORF CASH DIV ON 91 SHS REC 09/05/19 PAY 09/27/1		BAC				16.38
TOTAL DI	VIDENDS & II	STEREST ACTIVITY						\$18.72
NET DIVI	DENDS & INTI	EREST ACTIVITY						\$18.72

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ENTRADE Pro Elite **Investment** Account



Account Number

: : :

Statement Period: September 1, 2019 - September 30, 2019

Account Type: DIDIVIDUAL

	& DEPOSITS

****	THE PERSON IN THE	0010		
DATE	TRANSACTION TYPE	DESCRIPTION	WITHERAWALS	CEPGRITE
09/04/19	Chk Disburse	CHECK DISBURSEMENT CO13333106 PAYEE NOEL D COLLIS CHECK PROCESSED	190,000.99	
09/05/19	Adjustment	TER CASH TO MARGIN	2,604.95	
09/05/19	Adjustment	TFR CASH TO MARGIN		2,604.95
09/27/19	Adjustment	TRINSFR FROM MARGIN TO CASH		16.38
09/27/19	Adjustment	TRINSFR FROM MARGIN TO CASH	16.38	
NET WITH	(DRAWALS & DI	POSITS	\$100,000.00	

EXTENDED INSURANCE SWEEP DEPOSIT ACCOUNT (ESDA) ACTIVITY (0.0100% APY/0.0800% APY /0.0800% APY /0.0800% APY /0.0800% APY /0.0800% APY /0.0800% APY/0.0800% APY/0.0

09/30/19		CLOSING BALANCE	\$2,564.93
09/27/19	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	16.38
09/26/19	Deposit	EXTNO INS SWEEP ACCT(FDIC-INS)	2.34
09/05/19	Withdrawal	EXTNO INS SWEEP ACCT(FDIC-INS)	-102,604.95
09/01/19		OPERING BALANCE	\$105,151.16
DATE	TRANSACTION TYPE	(IESCHPINON	TRANŞAÇIYDI AMDERIT

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PAGE SOF 3

n. Collis

EXTRADE Platinum Investment Account



Account Number:

ETRADE BANK

Statement Period: December 1, 2018 - December 31, 2018

Account Type: SEP IRA

ACCOUNT HOLDINGS

CASH & CASH EQUIVALENTS (0.26% of Holdings)

PORTFOLIO % ANNOUNT DESCRIPTION ent Sweep Deposit Account Program Opening Balance Closing Balance Average Balance 5,008.26 1,208.24 0.26 7,610.17 nce by Bank as of Dec est Sweep Deposit Account Program Bala er 31, 2018

1,203.24 The E*TRADE Financial Retirement Sweep Deposit Account Program (RSDAProgram) is a bunk sweep program associated with eligible E*TRADE Securities LLC retirement accounts. Cash belance held in retirement accounts are sweet to bank deposit accounts at E*RADE Bank and E*TRADE Sevings Bank, which are attilises of E*TRADE Securities LLC. The RSDA Program is designed to offer up to \$500,000 in FDIC deposit insurance coverage to eligible retirement accounts. RSDA Program balances held at the attilised banks are not covered by SIPC protection. Your between the RSDA Program is available to your retirement account for your investment and use upon your request. To learn more about the RSDA Program, please review your RSDA Program Customer Agreement, visit www.etrade.com, or call us at 1-890-387-2331.

TOTAL CASH & CASH EQUIVALENTS

\$1,208.24

STOCKS, OPTIONS & EXCHANGE-TRADED FINDS (99,74% of Holdings)

DESCRIPTION	SYMBOL/ CUSP	AOCT TYPE	QUANTITY	PRICE	TOTAL NIKT VALUE	PORTFOLIO (%)	EST. AJUNUAL DICOME	est. Annual Yeld (%)
***BELO SUN MINING CORP	VNN##F	Cash	40,000	0.2810	11,240.00	2.46		
DIRECTION SHS ETF TR DAILY 20+ YR TREASLIRY BEAR 3X SHS NEW	TMV	Cash	40	18.2300	729.20	0.16	5.00	0.89%
TENSOO PLG CLASS A CROINARY SHARE	ESV	Cash	4,800	3.5800	17,088.00	3.75	182.00	1.12%
ESPERION THERAPELITICS INC	ESPR	Cash	300	46.0000	13,800.00	3.03		
FIVE PRIME THERAPEUTICS INC COM	FPRX	Cash	1,200	9.3000	11,160.00	2.45		
***FORTLINA SILVER MINES INC	FSM	Cash	700	3.6400	2,548.00	0.56	-	
FRANCO-NEVADA CORPORATION	AV	Cash	900	70.1700	63, 153.00	13.65	264.00	1,37%
INCYTE CORPORATION FORMERLY INCYTE GENOMICS INC	INCY	Cash	100	63.5900	6,359.00	1.39		
JASON INDUSTRIES INC	MZAL	Cash	6,000	1.3700	8,220.00	1.80		

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Page 32 A

E#TRADE Platinum Investment Account



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Account Number:

Statement Period: December 1, 2018 - December 31, 2018

Account Type: SEP IRA

STOCKS, OPTIONS & EXCHANGE-TRADED FLINDS (Continued)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUARTITY	PRICE	TOTAL MECT VALUE	PORTFOLIO (%)	EST. ARMITAL DICTORE	EST. AMBUAL VIELD (%)
**KIRKILAND LAKE GOLD	K)_	Cash	1,000	26.0900	26,090.00	5.72	119.00	0.46%
COMMON SHARES "LEAGOLD MING CORP COM NEW	LMCNF	Cash	12,000	1.2864	15,436.80	3.38		
MEDICINES CO	MDCO	Cash	1,200	19.1400	22,968.00	5,04		
NOVAVAX INC	NVAX	Cash	5,200	1.8400	9,568.00	2.10		
***OSISKO GOLD ROYALTES LTD COM	OR	Cash		8.7800	0.00	0.00		
"SABINA GOLD & SILVER CORPORATION	SGSVF	Cash	15,000	0.8960	13,470.00	2.95		
SANGAMO THERAPEUTICS INC COMMON STOCK	SGMO	Cash	3,000	11.4800	34,440.00	7.56		
"SILVERCORP METALS INC.	SVM	Cash	5,000	2.1000	10,500.00	2.30	125.00	1.19%
SMTC CORPORATION	SMITX	Cash	28.385	4.0600	115,810,80	25.39		
""TOREX GOLD RES INC COM NEW	TORUF	Cash	3,630	9.5200	34,557.60	7.58		
TOTAL S A 1 ADR REPRESENTING 1	TOT	Cash	300	52.1800	15,654.00	3.43	741.00	4.73%
ORD SHS TRANSOCEAN LTD US LISTED	RIG	Cach -	2,400	6.9400	16,656.00	3.65		
ZIOPHARM ONCOLOGY INC	ZIOP	Cash	2,900	1.8700	5,423.00	1.19		
TOTAL STOCKS, OPTIONS	LETF				\$454,871.40	99.74%	\$2,045.00	0.45%
TOTAL PRICED PORTFOLIC	HOLDINGS (CN	12/31/18)			\$456,079.64			
TOTAL ESTIMATED ACCOU	NT HOLDINGS A	IDBUAL DECOME			\$2,046.00			

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EXTRADE Platinum Investment Account



Account Number

Statement Period: December 1, 2018 - December 31, 2016

Account Type: SEP IRA

TRANSACTION HISTORY

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOUD
12/04/19 11:41	12/05/18	TOSISKO GOLD ROYALTIES LTD COM	ÓR	Sold	-500	7.3200		3,654.94
12/19/18 12:22	12/21/18	JASON INDUSTRIES INC	JASN	Bought	3,000	1 5900	4,774.95	
12/24/18 10:55	12/27/18	JASON INDUSTRIES INC	Maal	Bought	3,000	1.3000	3,904.95	
TOTAL 8	ECURITIES AC	TIVITY					\$8,679.90	\$3,654.94
DIVIDEN	IDS & INTER	EST ACTIVITY						
DATE	TRANSACTION TYPE	DESCRIPTION		SYMBOL/ CUSEP			AMOUNT DESITED	AMOUNT CREDITED
12/14/18	Dhildend	***ENSCO PLC CLASS A ORDINARY SHARE CASH DIV ON 4800 SHS REC 12/03/18 PAY 12/14/18		ESV				48.00
12/19/18	Dividend	***SILVERCORP METALS INC CASH DIV ON 5000 SHS REC 11/29/18 PAY 12/19/18		SVM				62.50
12/20/18	Dividend	***FRANCO-NEVADA C.C CASH DIV ON 900 SH REC 12/10/18 PAY 12/20	8	FNV				216.06
1 <i>2/</i> 26/18	Interest E*TRADE SAVINGS BANK RSDA INTEREBT						0.44	
TOTAL D	VIDENDS & III	ITEREST ACTIVITY						\$326.94
NET DIVE	DENDS & DITI	REST ACTIVITY						\$326.94

RETREMENT SWEEP DEPOSIT ACCOUNT PROGRAM (RSDA) ACTIVITY (0.0780% APY/0.0700%APY Earned as of 12/31/18)

The E*TRADE Financial Retirement Sweep Deposit Account Program (RSDA Program) is a bank sweep program associated with eligible E*TRADE Securities LLC retirement accounts. Cash belances held in retirement accounts are sweet to bank deposit accounts at E*TRADE Bank and E*TRADE Savings Bank, which are affiliates of E*TRADE Securities LLC. The RSDA Program is designed to offer up to \$500,000 in FDIC deposit insurance coverage to eligible retirement accounts. RSDA Program belances held at the affiliated banks are not covered by SPC protection. Your belance in the RSDA Program is available to your retirement account for your investment and use upon your request. To bear more about the RSDA Program, please review your RSDA Program Constormer Agreement, visit warm chade com, or call us at 1-800-387-2331.

Custon	<u>ver Agraement, visit www.etrado.com</u>	n, or cell us at 1-800-387-2331.	
DATE	TRANSACTION TYPE	DESCRIPTION	TRANSACTEON AMOUNT
12/01/	18	OPENING BALANCE	\$5,906.26
12/06/1		RETIREMENT SWEEP DEP FOIC-INS	3,654.94
12/17/1		RETIREMENT SWEEP DEP FDIC INIS	48.00
12/20/1	8 Deposit	RETIREMENT SWEEP DEP FDIC-INS	62.50

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EXTRADE Platinum Investment Account



Account Namber

- E. S.

Statement Period: December 1, 2018 - December 31, 2018

Account Type: SEP IRA

RETIREMENT	SWEEP DEPOSIT ACCC	XUNT PROGRAM (RSDA)	ACTIVITY (Continued)
0.470	TRANSACTION TOTAL	- CONTRACTOR	

12/21/18 Withdrawal RETIREMENT SWEEP DEP FOIC-PIS 4,568.96 12/26/18 Deposit RETIREMENT SWEEP DEP FOIC-PIS 0.44 12/27/18 Withdrawal RETIREMENT SWEEP DEP FOIC-PIS 3,904.96	DATE TRANSACTION TYPE	DESCRIPTION	TRANSACTION AMOUNT
	12/21/18 Withdrawal	RETUREMENT SWEEP DEP, FDIC-DIS	-4,558.95
12/27/18 Withdraud RETIREMENT SWEEP DSP FDICHNS 3,904.95			
	12/27/18 Withdrawal	RETIREMENT SWEEP DIEP FOIC-ONS	-3,904.96
12/31/16 CLOSING BALANCE \$1,208.24	12/31/18	CLOSING BALANCE	\$1,208.24

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N. Callis >

E#TRADE Pro Elite Investment Account



Account Number: 60

Statement Period: September 1, 2019 - September 30, 2019

Account Type: SEP IRA

ACCOUNT HOLDINGS

CASH & CASH EQUIVALENTS (1.10% of Holdings)

POSTFOUO % ANADUNT DESCRIPTION Rethrement Sweep Bejonst Account Program Opening Balance Closing Balance 8,898.08 5,708.32 1.10 Average Balance 8,775.12

edimment Sweep Deposit Account Program Salance by Sank as of September 30, 2019 ETRADE BANK

The E*TRADE Financial Retirement Sweep Deposit Account Program (RSDA Program) is a bank sweep program associated with eligible E*TRADE Securities LLC retirement accounts. Cash balances held in retirement accounts are sweet to bank deposit accounts at E*TRADE Bank and E*TRADE Savings Bank, which are efficience of E*TRADE Securities, LLC. The RSDA Program is designed to differ up to \$500,000 in FDC deposit insurance coverage to eligible retirement accounts. RSDA Program palances held at the efficience banks are not covered by SIPC protection. Your balance in the RSDA Program is available to your retirement account for your interment account for your retirement account. To learn more about the RSDA Program, please review your RSDA Program Customer Agreement, visit www.etrade.com, or cell us at 1-800-387-2351.

TOTAL CASH & CASH EQUIVALENTS STOCKS OFFICES & EVOUANCE TRANSPORTED SHAPE (OF ONL of MARRIAGE)

Cash

8,000

\$5,208.32

5.20R.32

DESCRIPTION	CRES. EANBOT	ACCT YYPE	QUANTITY	PRICE	TOTAL MICT VALUE	PORTFOLIO (%)	EST. ANNIAL PACCASE	EST. AMMULAL YIELD (%)
ANNALY CAPITAL MANAGEMENT INC	NLY	Cesh	1,006	8.8000	8,800.00	1.86	1,100.00	12.50%
""BELO SUN MINING CORP	VNNHF	Cash	40,000	0.3720	14,880.90	3.15		
DIRECTION SHIS ETF TR DAILY 20+ YR TREASLIRY BEAR 3X SHIS NEW	TMV	Cash	40	10.3900	415.60	0.00	9.00	2.17%
ELECTROMED INC	ELMD	Cash	2,520	6.6100	16.657.20	3.52		
ESPERION THERAPELITICS	ESPR	Cash	300	36.6600	10,998.00	2.33		
FIVE PRIME THERAPEUTICS INC COM	FPRX	Cash	1,200	3.8750	4,650.00	0.98		
***FORTUNA SILVER MINES INC	FSM	Cash	700	3.0900	2,163.00	0.46		
""FRANCO-NEVADA CORPORATION	FNV	Cash	506	91.1600	45,580.00	9.64	500.00	1.10%
INCYTE CORPORATION FORMERLY INCYTE GENOMICS INC	INCY	Cash	190	74.2300	7,423.00	1.57		
JASON INDUSTRIES INC	JASN	Cath	8.000	0.3550	2,840,00	0.60		

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0.3550

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TOTAL NETT ON PAY

2,840.00

0.60

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E#TRADE Pro Elite Investment Account



Account Number

Statement Period: September 1, 2019 - September 30, 2018

Account Type: SEP IRA

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (Continued)

DESCRIPTION	SYMBOL/ CUSP	ACCT 1YPE	YIIIKAUD	PRICE	TETAL SECT VALUE	PORTFOLIO (%)	EST. ANDIUAL DICONE	EST. ANDELAL YELD (%)
"KIRKE AND LAKE GOLD LTD	KI.	Cash	1,000	44.8000	44,800.00	9.48	160.00	0.36%
COMMON SHARES ""LEAGOLD MNG CORP COM NEW	LMCNF	Cash	12,000	1.9208	23,049.60	4.68		
MEDICINES CO	MDCO	Cash	1.200	50,0000	60.000.00	12.69		
NEKTAR THERAPELITICS	NKTR	Cash	750	18.2150	13,661.25	2.89		
***SARINA GOLD & SILVER CORPORATION	SGSVF	Cash	20,600	1.3000	26,000.00	5.50		_
SANGAMO THERAPEUTICS INC COMMON STOCK	SGMO	Cash	3,000	9.0500	27,150.00	5.74		
***SEABRIDGE GOLD INC	SA	Cash	500	12.6600	8:330.00	1.34		
SMITC CORPORATION	SMTX	Cash	30,385	2.1600	65,631,60	13.68		
"TOREX GOLD RESINC COM NEW	TORXF	Cash	3,000	12.4007	37,202.10	7.87		-
***TOTAL S A 1 ADR REPRESENTING 1 ORD SHS	TOT	Cach	300	52.0000	15,600.00	3.30	722.00	4.63%
TRANSOCEAN LTD	RIG	Cash	2,400	4.4700	10,728.00	2.27		
"VALARIS PLC CLASS A ORDINARY SHARES	VAL	Cash	2,200	4.8100	10,582.00	2.24		
ZIOPHARM ONCOLOGY INC.	210P	Cash	2,900	4.2800	12,412.00	2.63		
TOTAL STOCKS, OPTIONS &), ETF				\$467,553.35	98.90%	\$2,491.00	0.53%
TOTAL PRICED PORTFOLIC	HOLDINES (ON	09/30/15)			\$472,761.67			
TOTAL ESTIMATED ACCOU	NT HOLDINGS AI	NOWAL INCOME			\$2,491.00			

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E#TRADE Pro Elite Investment Account



Statement Period: September 1, 2019 - September 30, 2019

Account Type: SEP IRA

TRANSACTION HISTORY

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TRADE DATE	SETTLEMENT BATE	DESCRIPTION	EYMBOL/ CUSD	TRANSACTION TYPE	QUARTY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
09/23/19 08:30	09/25/19	SMTG CORPORATION	SMTX	Bought	1,500	2.5400	3,614.05	
	ECURITIES AC	TIMITY					\$3,814.95	
DIVIDEN	DS & INTER	EST ACTIVITY						
DATE	TRANSACTION TYPE	DESCRIPTION		SYNBOL/ CUSP			AMOUNT DEBITED	AMOUNT CREDITED
09/26/19	Dividend	***FRANCO-NEVADA CU CASH DIV ON 500 SH REC 09/13/19 PAY 09/26	15.	FRV				125.00
09/26/19	Interest	E*TRADE SAVINGS BAN	K RSDA					0.19
TOTAL D	IVIDENDS & H	ITEREST ACTIVITY						\$125.19
MET DIVI	DENIOR & INTE	REST ACTIVITY						\$125.19

RETIREMENT SWEEP DEPOSIT ACCOUNT PROGRAM (RSDA) ACTIVITY (0.0100% APV/0.0200%APV Earned as of 09/30/19)
The E*TRADE Financial Retirement Sweep Deposit Account Program (RSDA Program) is a bank sweep program associated with eligible E*TRADE Securities LLC retirement accounts. Cash belances held in retirement accounts are swept to bank deposit accounts at E*TRADE Bank and E*TRADE Savings Bank, which are affiliated of E*TRADE Securities LLC. The RSDA Program is designed to offer up to \$500,000 in FDIC deposit insurence overage to eligible retirement accounts. RSDA Program belances held at the affiliated banks are not covered by SIPC protection. Your belance in the RSDA Program is available to your retirement account for your investment and use upon your request. To learn more about the RSDA Program, please review your RSDA Program Customer Agreement, visit www.etrade.com, or call us at 1-600-387-2331.

DATE	TRANSACTION TYPE	DESCRIPTION	TRANSACTION AMOUNT
09/01/19		OPEICING BALANCE	\$2,896.08
09/25/19 09/26/19 09/27/19	Withdrawal Deposit Deposit	retirement sweep dep folcoms Retirement sweep dep folcoms Retirement sweep dep folcoms	-3,814,95 0.19 125,00
09/30/19		CLGSING BALANCE	\$5,208.82

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