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	Brad Schneider		202	202-225-4835							• •)	?	! :)
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	Did you purcanse any shares that were allocated as part of an										<				
	Initial Public Offering? Yes X No			X Initial Report	ort 🗆	₽	nendment				(F	r Officia	(For Official Use Only)	₹	
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	WELLS FARGO & CO PERPETUAL PFD SER K FXD/VAR CPN 7.980% DTD														
SP	02/08/08 FC 09/15/08 CALL1 03/15/18 @ 100.000	<u>×</u>	\vdash	8/22/14	9/9/14	×									
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모 유 ㅋ	Provide full name, not ticker symbol	Purchase	Sale	Exchante	(MM/DD/YY) (MM/DD/YY)	(MM/DD/YY)	1,000- 15,000 ≻	15,001 - \$50,000	50,001 - 100,000	100,001 - 250,000	250,001 - 500,000	500,001 - 1,000,000	1,000,001 - 5,000,000	5,000,001 - 25,000,000 ±	25,000,001 - 50,000,000	Over
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SP	BANK AMER CORP DEP SHS REPSTG 1/25TH SER M PFD PERPETUAL CPN 8.125% DTD 04/30/08 FC 11/15/08 CALL1 05/15/18 @ 100.000		×		8/18/14	9/9/14	×									
SP	BANK AMER CORP DEP SHS REPSTG 1/25TH SER M PFD PERPETUAL CPN 8.125% DTD 04/30/08 FC 11/15/08 CALL1 05/15/18 @ 100.000	×			8/18/14	9/9/14	×									
SP	TYSON FOODS INC SR NOTE CPN 4.875% DUE 08/15/34 DTD 08/08/14 FC 02/15/15 CALL1 02/15/34 @ 100.000	×			8/18/14	9/9/14	×									
SP	WELLS FARGO & CO PERPETUAL PFD SER K FXD/VAR CPN 7.980% DTD 02/08/08 FC 09/15/08 CALL1 03/15/18 @ 100.000		×		8/18/14	9/9/14	×									
SP	WELLS FARGO & CO PERPETUAL PFD SER K FXD/VAR CPN 7.980% DTD 02/08/08 FC 09/15/08 CALL1 03/15/18 @ 100.000	×			8/18/14	9/9/14	×									
SP	CALIFORNIA ST VARIOU PURP BABS B/E TXBL CPN 7.550% DUE 04/01/39 DTD 04/28/09 FC 10/01/09		×		8/13/14	9/9/14	×									
SP	CONSOL ENERGY SR NOTE CPN 6.375% DUE 03/01/21 DTD 03/01/12 FC 09/01/12 CALL1 03/01/16 @ 103.188		×		8/13/14	9/9/14	×									
SP	FEDL NATL MTG ASSN POOL #725027 CPN 5.000% DUE 11/01/33 DTD 12/01/03 FC 01/25/04		×		8/13/14	9/9/14	×									
3	FEDL NATL MTG ASSN POOL #725027 CPN 5.000% DUE 11/01/33 DTD	<			9/13/1/	0/0/1/	×									
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	GAP INC NOTE CPN 5.950% DUE 04/12/21 DTD 04/12/11 FC 10/12/11															
Ş	CALL 101/12/21 @ 100.000		ľ	$\frac{1}{1}$	8/13/14	9/9/14	ľ	1				†	T	1	\dagger	\dagger
ê	L-3 COMNS CORP SK NOTE CPN 4:950% DUE 02/15/21 DTD 02/07/11 FC		<u>×</u>		8/13/14	9/9/14	×									
	METROPCS WIRELESS IN NOTE CPN 6.625% DUE 11/15/20 DTD 11/17/10			_												
Ş	FC 05/15/11 CALL1 11/15/15 @ 103.313	L	<u>×</u>	<u> </u>	8/13/14	9/9/14	×					T		t		\dagger
6	NEW JERSEY ECON DEV ST PENSION FDG REV NPFG SER 97A B/E TXBL CPN		× 		8/13/14	9/9/14	×									
7	NUSTAR LOGISTICS L P SR NOTE CPN 6,750% DUE 02/01/21 DTD 08/19/13	4	\dashv	+				7				7	7	7	1	\dashv
SP	FC 02/01/14	×	Ĥ		8/13/14	9/9/14	×									T
	PEABODY ENERGY CORP SR NOTE CPN 6.250% DUE 11/15/21 DTD		· 			266	•									
ŞÞ	05/15/12 FC 11/15/12	×	╀	+	8/13/14	9/9/14	ľ	Ī			T	T	T		T	\dagger
}	SCIENTIFIC GAMES INT SR SUB NOTE CPN 6.250% DUE 09/01/20 DTD	<u> </u>	_		0/12/14	0/0/1/	Y									•
۲	TRONOX FIN LLC GTD SR NOTE CPN 6.375% DUE 08/15/20 DTD 08/15/13	+	+	+	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	11.11	,				_	1			1	\dashv
Ş	FC 02/15/14 CALL1 08/15/15 @ 104.781	×	Ĥ		8/13/14	9/9/14	×						T			T
ĵ	VERIZON COMMUNICATIO INC NOTE CPN 5.150% DUE 09/15/23 DTD	<u> </u>	_		8/13/1/	9/9/14	×									
٤	WELLS FARGO & CO PERPETUAL PFD SER K FXD/VAR CPN 7.980% DTD	4	+	\dashv	4								1	1		\dashv
ê	02/08/08 EC 09/15/08 CALL1 03/15/18 @ 100.000	_	<u>×</u>		8/13/14	9/9/14	×									

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CHESAPEAKE ENERGY CO SR NOTE CPN 6.125% DUE 02/15/21 DTD	AMERICAN TOWER CORP SR NOTE CPN 7.250% DUE 05/15/19 DTD 05/15/10 FC 11/15/10	FEDL HOME LOAN MTG C POOL #G14676 GOLD CPN 4.500% DUE 09/01/26 DTD 01/01/13 FC 02/15/13	FEDL NATL MTG ASSN POOL #889844 CPN 6.000% DUE 12/01/34 DTD 08/01/08 FC 09/25/08	FEDL NATL MTG ASSN POOL #725027 CPN 5.000% DUE 11/01/33 DTD 12/01/03 FC 01/25/04	ADT CORP NOTE CPN 3.500% DUE 07/15/22 DTD 01/15/13 FC 07/15/13	TEREX CORP NEW SR NOTE CPN 6.500% DUE 04/01/20 DTD 03/27/12 FC 10/01/12 CALL1 04/01/16 @ 103.250	BANK AMER CORP DEP SHS REPSTG 1/25TH SER M PFD PERPETUAL CPN 8.125% DTD 04/30/08 FC 11/15/08 CALL1 05/15/18 @ 100.000	WILLIAMS COS SR NOTE CPN 4.550% DUE 06/24/24 DTD 06/24/14 FC 12/24/14 CALL1 03/24/24 @ 100.000	WEYERHAEUSER CO DEBENTURES CPN 7.375% DUE 03/15/32 DTD 09/15/02 FC 03/15/03	Provide full name, not ticker symbol		FULL ASSET NAME		Initial Public Offering? Yes X No	Did you purcanse any shares that were allocated as part of an	A \$200 penalty shall be assessed against anyone who files more than 30 days late	State II. District 10 File an original and 2 copies	Member of the U.S. House o	Brad Schneider	VIE:
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ê ê	CIMAREX ENERGY CO SR NOTE CPN 4.375% DUE 06/01/24 DTD 06/04/14		×		8/8/14	9/9/14	×								
	COMCAST CORP NOTE CPN 7.050% DUE 03/15/33 DTD 03/14/03 FC														
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SP	CHS CMNTY HLTH SYS I SR NOTE CPN 7.125% DUE 07/15/20 DTD 07/18/12 FC 01/15/13 CALL1 07/15/16 @ 100.000		×		8/8/14	9/9/14	×								
dS.	CHS CMNTY HLTH SYS I SR NOTE CPN 7.125% DUE 07/15/20 DTD 07/18/12 FC 01/15/13 CALL1 07/15/16 @ 100.000	×			8/8/14	9/9/14	×								
	GOLDMAN SACHS GROUP SR NOTE MAKE WHOLE CALL +20BP CPN														
۲	DILIZEN DUE 02/15/33 DID 02/15/03 FC 06/15/03 IDMORGAN CHASE & CO DEP SHS REPSTG 1/10 PED SER I PERPETUAL	\downarrow	<u> </u>	1	0/0/14	1012	`								T
SP	FXD/VAR CPN 7.900% DTD 04/23/08 FC 10/30/08 CALL1 04/30/18 @		×		8/8/14	9/9/14	×								1
	U S TREASURY NOTE CPN 3.500% DUE 05/15/20 DTD 05/15/10 FC				•										
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ŞP	UNITED CONTL HLDGS INC SR NOTE CPN 6.375% DUE 06/01/18 DTD 05/07/13 FC 12/01/13		×		8/8/14	9/9/14	×								
Ð	VALERO ENERGY CORP N NOTE CPN 9.375% DUE 03/15/19 DTD 03/17/09 FC 09/15/09		×		8/8/14	9/9/14	×								
	BURLINGTON NTHN SANT CORP DEBENTURE CPN 6.150% DUE 05/01/37	_													
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G	WEATHERFORD INTL LTD INTL LTD GTD CPN 9.625% DUE 03/01/19 DTD		×		8/7/14	9/9/14											
e l	CENTURYLINK INC SR NOTE SER P CPN 7.600% DUE 09/15/39 DTD		<		8/6/14	9/9/1/	A										
	CITIGROUP INC NOTE CPN 8.500% DUE 05/22/19 DTD 05/22/09 FC																
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ê	CHS CMNTY HLTH SYS I SR NOTE CPN 7.125% DUE 07/15/20 DTD		×		8/6/14	9/9/14	×										
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Ş	11/01/12 CALL1 05/01/17 @ 100.000		×	L	8/6/14	9/9/14	×							T			
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Ş	11/01/19 DTD 05/01/13 FC 11/01/13 CALL1 11/01/15 @ 105.625	1	<u> </u> *	+	8/6/14	9/9/14	×		T	1	t	\dagger	\dagger	\dagger	\dagger	\dagger	\bot
3	REGAL EN IMNI CORP GR SR NOTE CPN 5.750% DUE 02/01/25 010	_	<u> </u>		9/6/14	9/9/1/	<										
¥	RSC FOLIER RENT INCHIDGS III LLC SR NOTE CPN 8 250% DIJE 02/01/21		+	+	0/0/14	2) 2) 14	^			Ť		\dagger	\top	T	\dagger	\dagger	l_
Ş	DTD 01/19/11 FC 08/01/11 CALL1 02/01/16 @ 104.125		×		8/6/14	9/9/14	×										L
	REYNOLDS GRP ISSUER INCILIC SR SECD NOTE CPN 5.750% DUE 10/15/20																
ş	DTD 09/28/12 FC 04/15/13 CALL1 10/15/15 @ 104.313		ľ	╀	8/6/14	9/9/14	×				\dagger		 	t		\dagger	
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ING GROEP NV 06.375%	MONTPELIER RE HLDGS L 8.875%	INTL FLAVORS & FRAGRANCES	HCA HOLDINGS	TAYLOR CAPITAL GP (redemption following merger with MB Financial)		SPRINT CAP CORP GTD NOTE MAKE WHOLE CALL +25BP CPN 6.900% DUE 05/01/19 DTD 05/06/99 FC 11/01/99	Provide full name, not ticker symbol	FULL ASSET NAME		Initial Public Offering?	A \$200 penalty shall be assessed against anyone who files more than 30 days late Did you purcahse any shares that were allocated as part of an	Member of the U.S. House of Representatives State II. District 10 File an original and 2 copies	Brad Schneider
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