



Filing ID #10005127

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Carl Andrew Millard
Status: Congressional Candidate
State/District: NC10

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2015
Filing Date: 04/24/2015

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|---------------------------|----------------|-------------------------------|-----------------------|
| First Citizens Bank ⇒ Cash, 50% Interest DESCRIPTION: Checking account with spouse. | JT | \$1,001 - \$15,000 | Interest | \$1 - \$200 | Not Applicable |
| Millard & Company, Inc., 100% Interest LOCATION: Tryon, NC, US DESCRIPTION: Registered Investment Advisor firm. COMMENTS: No dividends are currently being paid. | | \$1,000,001 - \$5,000,000 | None | | |
| State Employees Credit Union ⇒ Cash, 50% Interest DESCRIPTION: Joint with wife. | JT | \$1,001 - \$15,000 | Interest | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Trust FBO Spouse ⇒ Barclays ETN S&P VEQTOR ETN (VQT) | SP | \$1,001 - \$15,000 | None | | |
| TD Ameritrade - Trust FBO Spouse ⇒ Cash | SP | \$1,001 - \$15,000 | Interest | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Trust FBO Spouse ⇒ DFA Commodity Strategy | SP | \$1,001 - \$15,000 | None | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|----------------------|----------------|-------------------------------|-----------------------|
| TD Ameritrade - Trust FBO Spouse ⇒ DFA Intl Core Equity | SP | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Trust FBO Spouse ⇒ DFA Short Duration Real Return Instl | SP | \$50,001 - \$100,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Trust FBO Spouse ⇒ DFA US Core I | SP | \$50,001 - \$100,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Trust FBO Spouse ⇒ iShares Core MSCI Emerging Markets | SP | \$1,001 - \$15,000 | None | | |
| TD Ameritrade - Trust FBO Spouse ⇒ Vanguard REIT Index ETF | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Trust FBO Spouse ⇒ Vanguard Short Term Bond | SP | \$50,001 - \$100,000 | Interest | \$201 - \$1,000 | Not Applicable |
| TD Ameritrade - Trust FBO Spouse ⇒ Vanguard Value | SP | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Andrew Millard Roth IRA ⇒ Barclays ETN S&P Veqtor | | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Andrew Millard Roth IRA ⇒ Cash | | \$1 - \$1,000 | Interest | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Andrew Millard Roth IRA ⇒ DFA US Core Equity 2 | | \$15,001 - \$50,000 | Tax-Deferred | | |
| TD Ameritrade - Andrew Millard Roth IRA ⇒ iShares Core MSCI Emerging Markets | | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Andrew Millard Roth IRA ⇒ iShares Core Total US Bond Market | | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Andrew Millard Roth IRA ⇒ PIMCO CommoditiesPlus Strategy D | | \$1,001 - \$15,000 | Tax-Deferred | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|--------------|-----------------------|-----------------------|--------------------------------------|------------------------------|
| TD Ameritrade - Andrew Millard Roth IRA ⇒ Vanguard FTSE Developed Markets | | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Andrew Millard Roth IRA ⇒ Vanguard REIT Index ETF | | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Andrew Millard Roth IRA ⇒ Vanguard Short Term Bond | | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Andrew Millard SEP IRA ⇒ Barclays ETN S&P VEQTOR ETN (VQT) | | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Andrew Millard SEP IRA ⇒ Cash | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Andrew Millard SEP IRA ⇒ DFA Commodity Strategy | | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Andrew Millard SEP IRA ⇒ DFA Intl Core Equity | | \$15,001 - \$50,000 | Tax-Deferred | | |
| TD Ameritrade - Andrew Millard SEP IRA ⇒ DFA Short Duration Real Return Instl | | \$50,001 - \$100,000 | Tax-Deferred | | |
| TD Ameritrade - Andrew Millard SEP IRA ⇒ DFA US Core Equity 2 | | \$50,001 - \$100,000 | Tax-Deferred | | |
| TD Ameritrade - Andrew Millard SEP IRA ⇒ iShares Core MSCI Emerging Markets | | \$15,001 - \$50,000 | Tax-Deferred | | |
| TD Ameritrade - Andrew Millard SEP IRA ⇒ Vanguard REIT Index ETF | | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Andrew Millard SEP IRA ⇒ Vanguard Short Term Bond | | \$50,001 - \$100,000 | Tax-Deferred | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|---------------------|----------------|-------------------------------|-----------------------|
| TD Ameritrade - Andrew Millard SEP IRA ⇒ Vanguard Value | | \$15,001 - \$50,000 | Tax-Deferred | | |
| TD Ameritrade - Joint with Son ⇒ Barclays ETN S&P VEQTOR ETN (VQT) | JT | \$1,001 - \$15,000 | None | | |
| TD Ameritrade - Joint with Son ⇒ Cash | JT | \$1 - \$1,000 | Interest | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Joint with Son ⇒ iShares Core MSCI Emerging Markets | JT | \$1,001 - \$15,000 | None | | |
| TD Ameritrade - Joint with Son ⇒ iShares Core Total US Bond Market | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Joint with Son ⇒ PIMCO CommoditiesPlus Strategy D | JT | \$1,001 - \$15,000 | None | | |
| TD Ameritrade - Joint with Son ⇒ Vanguard FTSE Developed Markets | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Joint with Son ⇒ Vanguard REIT Index ETF | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Joint with Son ⇒ Vanguard Short Term Bond | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Joint with Son ⇒ Vanguard Total Stock Market | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Joint with Son ⇒ Vanguard Value | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Joint with Spouse ⇒ Barclays ETN S&P VEQTOR ETN (VQT) | JT | \$1 - \$1,000 | None | | |
| TD Ameritrade - Joint with Spouse ⇒ Cash | JT | \$1,001 - \$15,000 | Interest | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Joint with Spouse ⇒ iShares Core Total US Bond Market | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | Not Applicable |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|--------------|-----------------------|-----------------------|--------------------------------------|------------------------------|
| TD Ameritrade - Joint with Spouse ⇒ PIMCO CommoditiesPlus Strategy D | JT | \$1 - \$1,000 | None | | |
| TD Ameritrade - Joint with Spouse ⇒ Vanguard Emerging Market | JT | \$1 - \$1,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Joint with Spouse ⇒ Vanguard FTSE Developed Markets | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Joint with Spouse ⇒ Vanguard REIT Index ETF | JT | \$1 - \$1,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Joint with Spouse ⇒ Vanguard Short Term Bond | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Joint with Spouse ⇒ Vanguard Total Stock Market | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Joint with Spouse ⇒ Vanguard Value | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Spouse Individual ⇒ Barclays ETN S&P VEQTOR ETN (VQT) | SP | \$1 - \$1,000 | None | | |
| TD Ameritrade - Spouse Individual ⇒ Cash | SP | \$1 - \$1,000 | Interest | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Spouse Individual ⇒ iShares Core Total US Bond Market | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Spouse Individual ⇒ PIMCO CommoditiesPlus Strategy D | SP | \$1,001 - \$15,000 | None | | |
| TD Ameritrade - Spouse Individual ⇒ Vanguard Emerging Market | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Spouse Individual ⇒ Vanguard FTSE Developed Markets | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Spouse Individual ⇒ Vanguard REIT Index ETF | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Spouse Individual ⇒ Vanguard Short Term Bond | SP | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | Not Applicable |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|---------------------|----------------|-------------------------------|-----------------------|
| TD Ameritrade - Spouse Individual ⇒ Vanguard Total Stock Market | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Spouse IRA ⇒ Barclays ETN S&P VEQTOR ETN (VQT) | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Spouse IRA ⇒ Cash | SP | \$1,001 - \$15,000 | Interest | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Spouse IRA ⇒ DFA Intl Core Equity | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Spouse IRA ⇒ DFA Short Duration Real Return Instl | SP | \$15,001 - \$50,000 | Tax-Deferred | | |
| TD Ameritrade - Spouse IRA ⇒ DFA US Core I | SP | \$15,001 - \$50,000 | Tax-Deferred | | |
| TD Ameritrade - Spouse IRA ⇒ iShares Core MSCI Emerging Markets | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Spouse IRA ⇒ iShares Trust Core MSCI EAFE | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Spouse IRA ⇒ PIMCO CommodityRealReturn Strategy D | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Spouse IRA ⇒ Third Avenue Real Estate Value Instl | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Spouse IRA ⇒ Vanguard Emerging Market | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Spouse IRA ⇒ Vanguard REIT Index ETF | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Spouse IRA ⇒ Vanguard Short Term Bond | SP | \$15,001 - \$50,000 | Tax-Deferred | | |
| TD Ameritrade - Spouse IRA ⇒ Vanguard Value | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Spouse Roth ⇒ Barclays ETN S&P VEQTOR ETN (VQT) | SP | \$1 - \$1,000 | Tax-Deferred | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|--------------------|----------------|-------------------------------|-----------------------|
| TD Ameritrade - Spouse Roth ⇒ Cash | SP | \$1 - \$1,000 | Interest | \$1 - \$200 | Not Applicable |
| TD Ameritrade - Spouse Roth ⇒ iShares Core Total US Bond Market | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Spouse Roth ⇒ PowerShares DB Commodity Index Tracking | SP | \$1 - \$1,000 | Tax-Deferred | | |
| TD Ameritrade - Spouse Roth ⇒ Vanguard All-World Ex-US | SP | \$1 - \$1,000 | Tax-Deferred | | |
| TD Ameritrade - Spouse Roth ⇒ Vanguard Emerging Market | SP | \$1 - \$1,000 | Tax-Deferred | | |
| TD Ameritrade - Spouse Roth ⇒ Vanguard REIT Index ETF | SP | \$1 - \$1,000 | Tax-Deferred | | |
| TD Ameritrade - Spouse Roth ⇒ Vanguard Short Term Bond | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| TD Ameritrade - Spouse Roth ⇒ Vanguard Total Stock Market | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Vanguard 401(k) - Spouse ⇒ Vanguard Emerging Mkt Stk Index Admiral | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Vanguard 401(k) - Spouse ⇒ Vanguard Prime Money Market Inv | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Vanguard 401(k) - Spouse ⇒ Vanguard Short-Term Bond Index Admiral | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Vanguard 401(k) - Spouse ⇒ Vanguard Total Bond Market Index Adm | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Vanguard 401(k) - Spouse ⇒ Vanguard Total Intl Bond Index Admiral | SP | \$1,001 - \$15,000 | Tax-Deferred | | |
| Vanguard 401(k) - Spouse ⇒ Vanguard Total Intl Stock Index Admiral | SP | \$1,001 - \$15,000 | Tax-Deferred | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|--------------------|----------------|-------------------------------|-----------------------|
| Vanguard 401(k) - Spouse ⇒ Vanguard Total Stock Mkt Index Admiral | SP | \$1,001 - \$15,000 | Tax-Deferred | | |

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount Current Year to Filing | Amount Preceding Year |
|-----------------------------------|---------------|-------------------------------|-----------------------|
| Millard & Company, Inc. | Own salary | \$120,000 | \$121,000 |
| Millard & Company, Inc. | Spouse salary | N/A | N/A |
| Hospice of the Carolina Foothills | Spouse salary | N/A | N/A |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|------------------------------|---------------|---------------------------------|----------------------|
| JT | State Employees Credit Union | April 2001 | Home equity line of credit. | \$10,000 - \$15,000 |
| JT | HomeTrust Bank | March 2011 | Mortgage on personal residence. | \$50,001 - \$100,000 |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|----------------------------|-------------------------|
| President | Millard & Company, Inc. |
| Director | Tryon Fine Arts Center |
| COMMENTS: Unpaid position. | |

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

| |
|--|
| |
|--|

- First Citizens Bank (50% Interest) (Owner: JT)
LOCATION: Columbus, NC, US
- State Employees Credit Union (100% Interest) (Owner: JT)
LOCATION: Columbus, NC, US
- TD Ameritrade - Trust FBO Spouse (100% Interest) (Owner: SP)
COMMENTS: Under terms of the trust, spouse is entitled to income from the trust but does not have access to the full trust value.
- TD Ameritrade - Andrew Millard Roth IRA
- TD Ameritrade - Andrew Millard SEP IRA
- TD Ameritrade - Joint with Son (50% Interest) (Owner: JT)
LOCATION: US
- TD Ameritrade - Joint with Spouse (50% Interest) (Owner: JT)
LOCATION: US
- TD Ameritrade - Spouse Individual (100% Interest) (Owner: SP)
LOCATION: NC, US
- TD Ameritrade - Spouse IRA (Owner: SP)
- TD Ameritrade - Spouse Roth (Owner: SP)
- Vanguard 401(k) - Spouse (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Carl Andrew Millard , 04/24/2015