

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

#### FILER INFORMATION

Name: Mrs. Susan A. Davis

Status: Member State/District: CA53

#### FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2013

**Filing Date:** 05/15/2014

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
4113-15 Arbor Vitae San Diego, CA		\$100,001 - \$250,000	None		
Location: San Diego, CA, US					
AFLAC Incorporated (AFL)	JT	None	None		<u>~</u>
AGCO Corporation (AGCO)	SP	None	None		<b>∀</b>
American Century Inflation-Adjusted	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	П
American Water Works Company, Inc. (AWK)	SP	None	None		<b>V</b>
Apple Inc. (AAPL)	SP	None	None		<u>~</u>
CAL-Amer INC Prop IV	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	
Description: Private Investment					
Cohen & Steers Realty Shares Fund	SP	\$15,001 - \$50,000	Capital Gains,	\$1,001 -	

Asset	Owner	Value of Asset	Type(s)	Income	Tx. > \$1,000?
			Dividends	\$2,500	
Cohen & Steers Realty Shares Fund	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	
Costco Wholesale Corporation (COST)	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	
EmersonEquity Money Market		\$1,001 - \$15,000	Interest	\$1 - \$200	
EmersonEquity Money Market	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	
EmersonEquity Money Market	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	
Fidelity Contrafund	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	
General Electric Company (GE)	JT	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	
Icon Energy Fund	SP	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	
Indexiq ETF TR IQ Global Agribusiness	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Indexiq ETF TR IQ Hedge Multi-Strategy		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Intercontinental Hotels Group American Depositary Shares (IHG)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
IShares TR Core Total US BD Mkt ETF	SP	None	None		
iShares TR MSCI EAFE Index Fd	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<u>~</u>
iShares TR Nasdaq Biotechnology Index	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	П
Loomis Sayles Bond Fund Retail	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	
		\$15,001 - \$50,000 \$50,001 -	Capital Gains,	\$1 - \$200 \$1,001 -	П

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Lord Abbett Short Duration Inc Fd		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	
Lord Abbett Short Duration Income Fd	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	
Lord Abbett Short Duration Income FD	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	
MFS Moderate Allocation Fund	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
MFS Moderate Allocation Fund	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
NTT DOCOMO, Inc American Depositary Shares (DCM)	SP	None	None		<u>~</u>
Nuveen Tradewinds Emerging Markets	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	П
Oppenheimer Developing Markets		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	
Pimco Low Duration Fd	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	
Pimco Real Return FD		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	
Powershares Exchange Traded FD	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	
Powershares Exchange- Traded Fd - TR Dynamic Bldg & Constr Portfolio	SP	\$50,001 - \$100,000	Dividends	None	
QUALCOMM Incorporated (QCOM)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	П
Ridgeworth Total Return Bond	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	
Select Sector SPDR TR Financial	SP	None	None		<b>▽</b>
Select Sector SPDR TR Utils	SP	None	None		<u> </u>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SPDR Index SHS FDS Dow Jones Intl Real	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	
T Rowe Mid Cap Growth	SP	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	
T Rowe Price Health Sciences	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	
T Rowe Price Mid Cap Growth		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	
Templeton Global Bond Fund		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	
Templeton Global Bond Fund	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	
Vanguard 500 Index Fd	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	П
Vanguard Energy Fund	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	
Vanguard Short-Term Investment Grade	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	П
Vanguard World FDS Vangaurd Information	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Weyerhaeuser Company (WY)	SP	None	None		<u> </u>

# SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AFLAC Incorporated (AFL)	JT	03/8/2013	S	\$15,001 - \$50,000	
AGCO Corporation (AGCO)	SP	08/7/2013	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AGCO Corporation (AGCO)		11/12/2013	S	\$15,001 - \$50,000	
American Century Inflation-Adjusted	SP	03/8/2013	S (partial)	\$15,001 - \$50,000	П
American Water Works Company, Inc. (AWK)	SP	08/7/2013	S	\$15,001 - \$50,000	
Apple Inc. (AAPL)	SP	01/15/2013	S	\$15,001 - \$50,000	
Cohen & Steers Realty Shares Fund	SP	11/12/2013	S (partial)	\$1,001 - \$15,000	П
Harding Loevner Emerging Markets	SP	11/12/2013	P	\$15,001 - \$50,000	
IShares TR Core Total US BD Mkt ETF	SP	08/7/2013	S	\$50,001 - \$100,000	П
iShares TR MSCI EAFE Index Fd	SP	03/8/2013	P	\$15,001 - \$50,000	
iShares TR Nasdaq Biotechnology Index	SP	11/12/2013	S (partial)	\$15,001 - \$50,000	П
Lord Abbett Floating Rate Fund	SP	11/12/2013	P	\$50,001 - \$100,000	
NTT DOCOMO, Inc American Depositary Shares (DCM)	SP	03/8/2013	S	\$15,001 - \$50,000	
Powershares Exchange Traded FD	JT	03/8/2013	P	\$15,001 - \$50,000	
Powershares Exchange Traded FD	SP	11/12/2013	P	\$15,001 - \$50,000	
Select Sector SPDR TR Financial	SP	11/12/2013	S	\$15,001 - \$50,000	
Select Sector SPDR TR Utils	SP	03/8/2013	S	\$15,001 - \$50,000	
T Rowe Media & Telecommunications	SP	11/14/2013	P	\$15,001 - \$50,000	
Vanguard Energy Fund	SP	03/8/2013	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard World FDS Vangaurd Information		03/8/2013	P	\$1,001 - \$15,000	
Weyerhaeuser Company (WY)	SP	08/7/2013	S	\$15,001 - \$50,000	

# SCHEDULE C: EARNED INCOME

Source	Туре	Amount
Self Employment	Spouse Salary	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Туре	Amount of Liability
JT	JPMorgan Chase	Jan 2012	Mortgage on San Diego Residence	\$250,001 - \$500,000
JT	JPMorgan Chase	Jan 2012	Mortgage on Washington DC Residence	\$250,001 - \$500,000

### **S**CHEDULE **E**: **P**OSITIONS

None disclosed.

### SCHEDULE F: AGREEMENTS

None disclosed.

### SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions	
Source	Start Date	End Date	Itinerary	Lodging?	Food?	Family?
Aspen Institute Congressional Program	08/10/2013	08/19/2013	Washington, DC - Addis Ababa, Ethiopia - Washington, DC	<u></u>	<b>V</b>	<b>V</b>
Aspen Institute Congressional Program	02/16/2013	02/24/2013	Washington, DC - New Dehli, India - Bangalore, India - Washington, DC	<u>~</u>	<u>~</u>	<b>∀</b>

#### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

None disclosed.

#### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not

be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

∇es No

#### **COMMENTS**

#### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mrs. Susan A. Davis, 05/15/2014