

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

#### FILER INFORMATION

Name: Mr. Andy Harris

Status:MemberState/District:MD01

## FILING INFORMATION

**Filing Type:** Amendment Report

Filing Year: 2013

**Filing Date:** 07/10/2014

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
403b American Century Emerging Markets		\$50,001 - \$100,000	Tax-Deferred	None	
403b American Century Global Gold		\$15,001 - \$50,000	Tax-Deferred	None	
403b American Century Intl Discovery		\$100,001 - \$250,000	Tax-Deferred	None	
403b American Century Money Market		\$250,001 - \$500,000	Tax-Deferred	None	
403b American Century Strategic Allocation		\$50,001 - \$100,000	Tax-Deferred	None	
403b CREF Inflation Linked Bond		\$100,001 - \$250,000	Tax-Deferred	None	П
403b TIAA Traditional		\$100,001 - \$250,000	Tax-Deferred	None	
403b Vanguard 500 Index		\$15,001 - \$50,000	Tax-Deferred	None	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
403b Vanguard Capital Opportunity		\$15,001 - \$50,000	Tax-Deferred	None	
403b Vanguard Emerging Market		\$50,001 - \$100,000	Tax-Deferred	None	
403b Vanguard Energy		\$15,001 - \$50,000	Tax-Deferred	None	
403b Vanguard Life Strategy Growth		\$15,001 - \$50,000	Tax-Deferred	None	
403b Vanguard MidCap Index		\$15,001 - \$50,000	Tax-Deferred	None	
403b Vanguard Money Market		None	Tax-Deferred	None	<b>✓</b>
403b Vanguard Pacific Index		\$15,001 - \$50,000	Tax-Deferred	None	П
403b Vanguard REIT Index		\$50,001 - \$100,000	Tax-Deferred	None	П
403b Vanguard Selected Value		\$15,001 - \$50,000	Tax-Deferred	None	
403b Vanguard Short Term Investment Grade Bond Fund		\$100,001 - \$250,000	Tax-Deferred	None	<b>▽</b>
457 MD State Investment Contract Pool		\$100,001 - \$250,000	Tax-Deferred	None	<b>V</b>
529 - MD prepaid tuition  LOCATION: MD		\$15,001 - \$50,000	None		П
529 - Portfolio 2012  Description: 529	DC	\$15,001 - \$50,000	None		
529 College Savings Plan MD, Portfolio 2018  Description: 529	DC	\$15,001 - \$50,000	Tax-Deferred	None	П
529 College Savings Plan, Portfolio for College Description: 529	DC	\$15,001 - \$50,000	None		П
CREF 403b Equity Index		\$100,001 -	Tax-Deferred	None	

Asset	Owner	Value of Asset	Income Type(s)		Tx. > \$1,000?
		\$250,000			
Fidelity Charitable Gift Fund	JT	\$15,001 - \$50,000	None		
DESCRIPTION: Fidelity Charitable Gift Fund					
First Mariner Bank CD	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	
IRA Ameritrade Money Market	SP	\$1,001 - \$15,000	Tax-Deferred	None	
IRA Ameritrade Money Market		\$1,001 - \$15,000	Tax-Deferred	None	
Jos. A. Bank Clothiers, Inc. (JOSB)  DESCRIPTION: IRA		\$15,001 - \$50,000	Tax-Deferred	None	
Keogh T Rowe Price Intl Discovery		\$50,001 - \$100,000	Tax-Deferred	None	
Keogh T Rowe Price Money Market		\$15,001 - \$50,000	Tax-Deferred	None	
M & T Checking	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	
McCormick & Company, Incorporated (MKC)  DESCRIPTION: IRA		\$1,001 - \$15,000	Tax-Deferred	None	
MD 529 Prepaid Tuition  Location: MD	DC	\$15,001 - \$50,000	Tax-Deferred	None	П
MD State 457 Large Cap Value		\$100,001 - \$250,000	Tax-Deferred	None	П
Merck & Company, Inc. Common Stock (MRK)  DESCRIPTION: IRA		\$1,001 - \$15,000	Tax-Deferred	None	
Merrill IRA Money Market		\$1,001 - \$15,000	Tax-Deferred	None	
DESCRIPTION: Purchases from dividend payments from stock	s held in th	at IRA - none exceedi	ng the \$1,000 purchas	se reporting threshh	old
Rental Condo  Location: Cambridge, MD, US	JT	\$100,001 - \$250,000	Rent	\$2,501 - \$5,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Description: First Year of Rental Income					
State of Maryland Pension		Undetermined	None		
T Rowe Price Charitable Gift Fund	JT	\$15,001 - \$50,000	None		
DESCRIPTION: T Rowe Price Charitable Gift Fund					
Vanguard 500 Index	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<u>~</u>
Description: DC5					
Vanguard MidCap Index	DC	\$1,001 - \$15,000	Dividends, Tax- Deferred	\$1 - \$200	П
Vanguard Money Market	DC	\$15,001 - \$50,000	Dividends	\$1 - \$200	<b>✓</b>
Description: DC3					
Vanguard Money Market	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Description: DC5					
Vanguard Small Cap Value	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	
Description: DC5			211401140		
Vanguard Tax-Managed Growth and Income	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<b>✓</b>
Description: DC4			Dividends		
Vanguard Wellington	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	
Description: DC3					
Vanguard Wellington	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	
Description: DC4					

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
403b Vanguard Money Market		07/1/2013	S	\$100,001 - \$250,000	П
403b Vanguard ST Investment Grade Bond		07/1/2013	P	\$100,001 - \$250,000	
457 Maryland State Contract Pool  DESCRIPTION: Withdrew 14,000 from 457 plan		07/3/2013	S (partial)	\$1,001 - \$15,000	П
Vanguard 500 Index	DC	12/18/2013	P	\$15,001 - \$50,000	
Vanguard Prime Money Market	DC	12/23/2013	P	\$15,001 - \$50,000	
Vanguard Tax Managed Growth and Income	DC	12/16/2013	S (partial)	\$1,001 - \$15,000	П

# SCHEDULE C: EARNED INCOME

Source	Туре	Amount
Tidewater Anesthesia Associates	Medical Care	\$6,750
Philadelphia Medical Advisors	Medicolegal Review	\$1,225
Maryland Right to Life	Spouse Salary	N/A
Maryland 457 Deferred Compensation Plan	457 Distribution	\$14,000

# SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Туре	Amount of Liability
JT	Navy Federal Credit Union	July 2013	Mortgage on vacation home, Cambridge, Maryland (not rented)	\$100,001 - \$250,000
JT	Pentagon Federal Credit Union	July 2013	Mortgage on personal residence, Cockeysville, Maryland (not rented)	\$500,001 - \$1,000,000

# SCHEDULE E: Positions

Position	Name of Organization
Associate Professor on Leave	Johns Hopkins University

#### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2011	Johns Hopkins University	Leave of Absence, Dental Plan and Life Insurance Benefit

## SCHEDULE G: GIFTS

None disclosed.

#### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

© Yes © No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes No

#### **COMMENTS**

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Andy Harris, 07/10/2014