



Filing ID #10002718

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Ms. Barbara Lee
Status: Member
State/District: CA13

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2013
Filing Date: 06/23/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ Sun America Secure Value Account		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Dynamic Allocation Portfolio		\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Dynamic Strategy Portfolio		\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
ARC Healthcare Trust, Inc.		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Bank of America Checking Account		\$1,001 - \$15,000	None		<input type="checkbox"/>
Book contract with Rowman & Littlefield Publishers, Inc. (Approved by Committee) DESCRIPTION: Book contract		Undetermined	None		<input type="checkbox"/>
Charles Schwab Money Market Account		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
East West Bank Accounts, Oakland, CA		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Federated Capital Reserves Money Market Account		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Fidelity SEP IRA ⇒ Delaware SMID Cap Growth Class C Fund		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Fidelity SEP IRA ⇒ Fidelity Freedom 2020 Fund		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Fidelity SEP IRA ⇒ Mass Investors Growth Fund		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Franklin California Tax-Free Income Fund		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Franklin Templeton Franklin Money Fund Class A		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Met Life Growth Strategy Portfolio		\$15,001 - \$50,000	None		<input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Met/Franklin Low Duration Total Return Fund		\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Met Life Variable Annuity Fund ⇒ PIMCO Total Return Fund (Class B)		None	None		<input checked="" type="checkbox"/>
Met Life Variable Annuity Fund ⇒ RCM Technology Portfolio Fund		None	None		<input checked="" type="checkbox"/>
Met Life Variable Annuity Fund ⇒ T. Rowe Price Large Cap Growth Portfolio		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Vanguard Inflation Protected Securities Fund		None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Dynamic Allocation Portfolio		08/1/2013	P	\$100,001 - \$250,000	
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Dynamic Strategy Portfolio		08/1/2013	P	\$100,001 - \$250,000	
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Secure Value Account		08/1/2013	P	\$50,001 - \$100,000	
Franklin California Tax-Free Income Fund DESCRIPTION: Sold off monthly through 6/2013.		Monthly	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Franklin Templeton Franklin Money Fund Class A		07/2/2013	P	\$250,001 - \$500,000	
Franklin Templeton Franklin Money Fund Class A		08/1/2013	E	\$250,001 - \$500,000	
Met Life Variable Annuity Fund ⇒ Met/Franklin Low Duration Total Return Fund		06/17/2013	P	\$50,001 - \$100,000	
Met Life Variable Annuity Fund ⇒ PIMCO Total Return Portfolio Fund (Class B)		06/17/2013	S	\$50,001 - \$100,000	<input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ RCM Technology Portfolio Fund		04/26/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ T. Rowe Price Large Cap Growth Portfolio		04/26/2013	P	\$15,001 - \$50,000	
Met Life Variable Annuity Fund ⇒ Vanguard Inflation Protected Securities Fund DESCRIPTION: Sold off monthly through 6/2013.		Monthly	S	\$1,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- AIG Polaris Choice IV Variable Annuity (formerly SunAmerica)
- Fidelity SEP IRA
LOCATION: US
- Met Life Variable Annuity Fund

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Ms. Barbara Lee , 06/23/2014