

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Mr. Ronald A. DiNicola Status: Congressional Candidate

State/District: PA16

FILING INFORMATION

Filing Type: Candidate Report

Filing Year: 2018

Filing Date: 04/16/2018

Period Covered: 01/01/2017- 04/3/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
529 Plan - DC1 ⇒ Wells Fargo Money Market		\$15,001 - \$50,000	Tax-Deferred		
Individual Investment Account ⇒ Anadarko Petroleum Corporation (APC) DESCRIPTION: n/a		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	None
Individual Investment Account ⇒ Apollo Global Management, LLC 6.375% Series B Preferred Shares (APO\$B)		\$15,001 - \$50,000	Dividends	None	Not Applicable
Individual Investment Account ⇒ Banc One Corp DESCRIPTION: n/a		\$15,001 - \$50,000	Interest	None	\$1,001 - \$2,500
Individual Investment Account ⇒ First Trust 30305Y-44-3 DESCRIPTION: n/a		\$15,001 - \$50,000	Interest	None	Not Applicable
Individual Investment Account ⇒		\$15,001 - \$50,000	Interest	\$201 -	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ford Motor Company				\$1,000	
Description: n/a					
Individual Investment Account ⇒ Kimco Realty Corporation Class M Depositary Shares, each of which represents a one-one thousandth fr (KIM\$M)		\$15,001 - \$50,000	None		
Individual Investment Account ⇒ Kroger Company (KR) DESCRIPTION: n/a		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	None
Individual Investment Account ⇒ Pacific Bell Description: n/a		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	None
Individual Investment Account ⇒ Phillips Petroleum Description: n/a		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	None
Individual Investment Account ⇒ SunAmerica Description: n/a		\$15,001 - \$50,000	Interest	None	Not Applicable
Individual Investment Account ⇒ Union Pacific Resources Description: n/a		\$15,001 - \$50,000	Interest	None	Not Applicable
Individual Investment Account ⇒ United Technologies Corporation (UTX) DESCRIPTION: n/a		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	None
Individual Investment Account ⇒ Weyerhaueser Description: n/a		\$15,001 - \$50,000	Interest	\$201 - \$1,000	None
Individual IRA ⇒ 21st Century Fox America Description: n/a		\$15,001 - \$50,000	None		
Individual IRA \Rightarrow Alphabet Inc Class C Capital Stock (GOOG)		\$15,001 - \$50,000	None		
Individual IRA ⇒ Amerada 7.125% 3/15/33		\$15,001 - \$50,000	Interest	\$201 - \$1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Description: n/a					
Individual IRA \Rightarrow American Express Company (AXP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Individual IRA ⇒ Apple Inc. (AAPL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Individual IRA \Rightarrow AXA Financal Inc Description: N/A		\$15,001 - \$50,000	None		
Individual IRA ⇒ Bank of America Corporation (BAC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Individual IRA ⇒ Boeing Company (BA)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
Individual IRA ⇒ Delta Air Lines, Inc. (DAL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Individual IRA ⇒ Eli Lilly & Co DESCRIPTION: n/a		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Individual IRA ⇒ First Trust 30306F575 Description: n/a		\$15,001 - \$50,000	None		
Individual IRA ⇒ General Electric Cap Corp DESCRIPTION: n/a		\$1,001 - \$15,000	Interest	None	\$201 - \$1,000
Individual IRA ⇒ Georgia Pacific Co DESCRIPTION: NA		\$15,001 - \$50,000	Interest	None	\$1,001 - \$2,500
Individual IRA ⇒ Hess Corp		\$1,001 - \$15,000	Interest	None	\$201 - \$1,000
DESCRIPTION: n/a Individual IRA ⇒ HP Enterprise Co		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Description: n/a					
Individual IRA \Rightarrow IBM Corp DESCRIPTION: n/a		\$15,001 - \$50,000	Interest	None	Not Applicable
Individual IRA ⇒ SPDR S&P 500 (SPY)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
Individual IRA ⇒ Viacom Inc Description: n/a		\$1,001 - \$15,000	Interest	None	\$201 - \$1,000
Individual IRA ⇒ W.R. Berkley Corporation 5.70% Subordinated Debentures due 2058 (WRB\$E)		\$15,001 - \$50,000	Dividends	None	Not Applicable
Individual IRA ⇒ Walt Disney Co DESCRIPTION: n/a		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Individual SEP IRA ⇒ Aetna Inc. (AET)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Individual SEP IRA ⇒ Amerada Hess Corp Description: n/a		\$15,001 - \$50,000	Interest	None	\$1,001 - \$2,500
Individual SEP IRA ⇒ Anadarko Petroleum Corp DESCRIPTION: n/a		\$15,001 - \$50,000	Interest	\$201 - \$1,000	None
Individual SEP IRA \Rightarrow Apple Inc. (AAPL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Individual SEP IRA ⇒ Bed Bath and Beyond DESCRIPTION: n/a		\$15,001 - \$50,000	None		
Individual SEP IRA ⇒ Boeing Company (BA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Individual SEP IRA ⇒ Bristol-Myers Squibb Company (BMY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Individual SEP IRA ⇒ Carnival PLC		\$15,001 - \$50,000	None		
Description: n/a					
Individual SEP IRA \Rightarrow Chubb Limited (CB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Individual SEP IRA ⇒ Delta Air Lines, Inc. (DAL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Individual SEP IRA ⇒ First Trust FUZVCX		\$15,001 - \$50,000	Dividends	\$1 - \$200	Not Applicable
Individual SEP IRA ⇒ First Trust Senior Loan Fund ETF (FTSL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Individual SEP IRA ⇒ General Dynamics Corporation (GD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Individual SEP IRA \Rightarrow iShares 1-3 Year Treasury Bond ETF (SHY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Individual SEP IRA \Rightarrow iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Individual SEP IRA ⇒ Microsoft Corp DESCRIPTION: n/a		\$15,001 - \$50,000	Interest	None	\$201 - \$1,000
Individual SEP IRA ⇒ PowerShares QQQ Trust, Series 1 (QQQ)		\$1,001 - \$15,000	Dividends	None	\$1 - \$200
Individual SEP IRA ⇒ PowerShares Taxable Municipal Bond Portfolio (BAB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Individual SEP IRA ⇒ Puget Sound Energy Inc Description: 2018		\$15,001 - \$50,000	Interest	\$201 - \$1,000	Not Applicable
Individual SEP IRA ⇒ SPDR Bloomberg Barclays 1-3 Month T-Bill ETF (BIL)		\$1,001 - \$15,000	Dividends	None	\$1 - \$200
Individual SEP IRA ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
SPDR S&P 500 (SPY)					
Individual SEP IRA \Rightarrow SPDR Select Sector Fund - Industrial (XLI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Individual SEP IRA \Rightarrow SPDR Select Sector Fund - Technology (XLK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Individual SEP IRA ⇒ Time Warner Cable Inc		\$15,001 - \$50,000	Interest	None	Not Applicable
DESCRIPTION: n/a Individual SEP IRA ⇒ W.R. Berkley Corporation 5.70% Subordinated Debentures due 2058 (WRB\$E)		\$15,001 - \$50,000	None		
Joint Investment Account ⇒ Apple Inc. (AAPL)	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Joint Investment Account ⇒ Boeing Company (BA)	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
Joint Investment Account ⇒ iShares Select Dividend ETF (DVY)	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
Joint Investment Account ⇒ John Hancock Tax Advantaged Dividend Income Fund Common Shares of Beneficial Interest (HTD)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Joint Investment Account ⇒ Walt Disney 7% 3/01/32	JT	\$15,001 - \$50,000	Interest	None	\$201 - \$1,000
Description: n/a					
Wells Fargo Investment Accounts		\$100,001 - \$250,000	None		

 $[\]ensuremath{^*}$ Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Туре	Amount Current Year to Filing	Amount Preceding Year
DiNicola Law Offices	Salary	\$100,000.00	\$195,433.00

Source	Туре	Amount Current Year to Filing	Amount Preceding Year	
DiNicola Law Offices	Spouse Salary	N/A	N/A	

SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Туре	Amount of Liability
US Bank	March 2018	credit card	\$10,000 - \$15,000

SCHEDULE **E**: Positions

Position	Name of Organization
Chairman	Empower Erie
Chairman	Western Pennsylvania Policy Forum
Co-Chair	Erie Police Athletic League
Co-Chair	Pre-K for PA, Northwest Pennsylvania
Partner	DiNicola Law Offices

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Confidential (Erie, PA, US)	Legal Services

SCHEDULE A ASSET CLASS DETAILS

o 529 Plan - DC1 LOCATION: PA

o Individual Investment Account LOCATION: US

o Individual IRA

o Individual SEP IRA

• Joint Investment Account (Owner: JT)

LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ○ No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Ronald A. DiNicola, 04/16/2018