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Congress of the United States
House of Representatives
Washington, DC 20515-0922

July 13, 2011

COMMITTEES:
ARMED SERVICES
SUBCOMMITTEES:
EMERGING THREATS AND CAPABILITIES
MILITARY PERSONNEL
SMALL BUSINESS
SUBCOMMITTEES:
INVESTIGATIONS, OVERSIGHT,
AND REGULATIONS
CONTRACTING AND WORKFORCE

The Honorable Karen L. Haas
Clerk of the House
United States House of Representatives
U.S. Capitol, Room H154
Washington, DC 20515

Dear Ms. Haas:

I am writing to you with regard to a letter dated June 13, 2011, I received from the House Committee on Ethics Chairman Jo Bonner and Ranking Member Linda T. Sanchez with regard to my 2010 Financial Disclosure Statement which requested the need for additional information.

In the "CY 2010 FORM A CHECKLIST: MEMBERS OFFICERS & EMPLOYEES" which was attached to the June 13th letter the Ethics Committee checked SCHEDULE III. ASSETS & "UNEARNED" INCOME - Box 7. Fund/IRA/401K/trust underlying assets not reported and Box 9. OTHER AND SCHEDULE IV. TRANSACTIONS - Box 8. Other.

A member of our congressional staff contacted the Ethics Committee and spoke with a member of the staff trying to get a better idea on what additional information the committee requires.

Below are the additional questions the Ethics Committee staff discussed with our Congressional staff:

- 1) 529 College Investment Fund DC 1 - Need the complete name of the fund. 529 College Investment Fund DC 2- Need the complete name of this fund and there needs to be a determination are their assets (stocks, bonds, etc that are over \$1000 and or produce \$200 of dividends or interest).
 - The 529 college fund is the Florida 529 college fund. It is a division of the Florida Pre-paid.
- 2) First Command Financial Services Life Insurance - What type of Insurance is this (Whole Life, Universal Life, Variable Life) - Further there needs to be a determination are their assets (stocks, bonds, etc that are over \$1000 and or produce \$200 of dividends or interest)
 - The First Command Life Insurance is Whole Life. There are no stocks and bonds in a whole life policy, it is always a fixed account in other words it is a savings account not a separate account within the life insurance.

✓
MC

U.S. HOUSE OF REPRESENTATIVES

2011 JUL 14 AM 9:47

HAND DELIVERED

3) Raymond James IRA - Further there needs to be a determination are their assets (stocks, bonds, etc that are over \$1000 and or produce \$200 of dividends or interest)

➤ The Raymond James 401K is just mutual funds within a retirement fund.

4) Sun Trust 401K - Further there needs to be a determination are their assets (stocks, bonds, etc that are over \$1000 and or produce \$200 of dividends or interest).

➤ The Sun Trust was converted and therefore did not produce dividends or interest.

I trust this additional information will address the concerns of the Ethics Committee.

Should you have any additional question, please feel free to contact me or a member of your staff can contact my Chief of Staff, Jonathan Blyth, at 202-225-3026.

Steadfast and Loyal,

A handwritten signature in black ink, appearing to read "Allen B. West". The signature is fluid and cursive, with the first letters of the first and last names being capitalized and prominent.

Allen B. West
Member of Congress

AW/AZ
Attachment

UNITED STATES HOUSE OF REPRESENTATIVES

CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

Allen Bernard West
(Full Name)

202-225-3026
(Daytime Telephone)

2011 JUL 14 AM 9:47
(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: FL District: 22	<input type="checkbox"/> Officer Or Employee	Employing Office:
Report Type	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name Allen Bernard West

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Calibre, Inc.	Salary	\$85,048
US Department of Defense	Military Pension	\$50,268
Raymond James Financial	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Allen Bernard West

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); non-qualified pension, profit sharing, or other deferred compensation plans; and non-qualified annuities.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
DC	529 College Investment Fund - DC1	None	None	NONE	S
DC	529 College Investment Fund - DC2	\$15,001 - \$50,000	None	NONE	
SP	First Command Financial - Savings and Checking Account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	First Command Financial Services - 401K/MAP Plan (with Aim Summit)	\$15,001 - \$50,000	None	NONE	
JT	First Command Financial Services Life Insurance	\$100,001 - \$250,000	None	NONE	
SP	Ford Motor Company	\$15,001 - \$50,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Allen Bernard West

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	Fort Sill Federal Credit Union - Savings and Checking Account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	PSE&G	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
SP	Raymond James IRA	\$15,001 - \$50,000	None	NONE	P
SP	Sun Trust 401K	None	None	NONE	S

SCHEDULE IV - TRANSACTIONS

Name Allen Bernard West

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC	529 College Investment Fund - DC1	S	No	12-01-10	\$15,001 - \$50,000
SP	Raymond James IRA	P	N/A	02-14-11	\$15,001 - \$50,000
SP	Sun Trust - 401K	S	No	02-14-11	\$15,001 - \$50,000

SCHEDULE V - LIABILITIES

Name Allen Bernard West

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	Bank of America	April 2004	Mortgage on 1641 NW 107th Lane, Plantation, Florida	\$100,001 - \$250,000
JT	Carmax	March 2007	Car Payment	\$10,001 - \$15,000
JT	Wells Fargo	February 2011	Car Payment	\$15,001 - \$50,000