Report Innual (May 15)	Filer  Member of the U.S.  Status				CALENDAR YEAR 2008 FINANCIAL DISCLOSURE STATEMENT	UNITED STATES HOUSE OF REPRESENTATIVES
,	tatives	(Full Name)	Christopher John Lee		NANCIAL DISCLOS	OLISE OF BEE
Amendment 📋 T	State: NY District: 26		Lee		SURE STATEMENT	DRESENTATIV
Termination Termination	Officer Or Employee					
Termination Date:	Employing Office:	(Daylime Telephone)	716-634-2324		For use by Members, officers, and employees	FORM A Page 1 of 57
more than 30 days late.	A \$200 penalty shall be assessed against anyone who files	(Office Use Only)	TO HOUSE OF DESCRIPTION	2009 JUN 15 PM 3: 33	K RESOURCE CENTER	HAND DELIVERED
		31360	TATIVES /	ુ: 33 <b>≈</b>	CENTER	

#### ? PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS Report Status Filer Type Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth If yes, complete and attach Schedule V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule IV. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? reportable asset in a transaction exceeding \$1,000 during the reporting Did you, your spouse, or dependent child purchase, sell, or exchange any If yes, complete and attach Schedule III. more than \$1,000 at the end of the period? If yes, complete and attach Schedule II. If yes, complete and attach Schedule I. Уes Ύes Ύes Yes Yes < **(** 중 8 중 Š Š < < < ⋝ VIII. current calendar year? ≦ ≤ Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise schedule attached for each "Yes" response. Each question in this part must be answered and the appropriate If yes, complete and attach Schedule IX. Did you have any reportable agreement or arrangement with an outside If yes, complete and attach Schedule VIII. Did you hold any reportable positions on or before the date of filing in the \$335 from one source)? If yes, complete and attach Schedule VII. If yes, complete and attach Schedule VI. Yes Yes Ύes Yes [-] Š Š S 8

# EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

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Exemptions	Trusts-
Exemptions— Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
Yes No 🗸	Yes No 🔾

	<del></del>							
DAVIS NEW YORK VENTURE	THORNBURG INTERMED MUNI	FIDELITY SHORT INTERM MUNI	FIDELITY INTERMEDIATE MUNI	FIDELITY MONEY MARKET	Individual Account (1):	If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S.  Government retirement programs.	Asset and/or Income Source  Asset and/or Income Source  Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.
None	\$15,001 \$50,000	\$15,001 \$50,000	\$15,001 \$50,000	\$1,00 \$5,00				Yelus at close year. If yaluatio than faii please a method asset winclude it is gen the valu."None."
W	001 - 000	001 <b>-</b> 000	)01 - )00	\$1,000,001 - \$5,000,000				BLOCK B Year-End Value of Asset at close of reporting year. If you use a yaluation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."
DIVIDENDS	INTEREST	INTEREST	INTEREST/CAPI TAL GAINS	INTEREST/CAPI TAL GAINS			N. S.	BLOCK C  Type of Income Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, Indicate the type of income by checking the appropriate box below. Dividends and Interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.
\$1 - \$200	\$201 - \$1,000	\$201 - \$1,000	\$201 - \$1,000	\$15,001 - \$50,000				Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.
S	0	ד	ס					BLOCK E  Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Christopher John Lee	her John Lee		Page 3 of 57
COLUMBIA ACORN INTL	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
EVERGREEN INTRINSIC VALUE	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	Ø
FIDELITY ADV NEW INSIGHTS	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
FIDELITY LEVERAGED CO STOCK	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
FIDELITY REAL ESTATE	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
FIDELITY SPART US EQTY	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	Ø
ISHARES COHEN & STEERS RLTY	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	တ
JANUS PERKINS MID CAP VL	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	Ø
DWS DREMAN S/C VALUE	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
LOOMIS SAYLES BOND FUND	None	DIVIDENDS	\$5,001 - \$15,000	တ
LAZARD EMERGING MKTS	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	တ
MASTERS SELECT INTERNATL	None	DIVIDENDS/CAPI TAL GAINS	\$2,501 - \$5,000	တ
MORGAN STANLEY INS US REAL	None	DIVIDENDS/CAPI TAL GAINS	\$5,001 - \$15,000	ဟ
OPPENHEIMER STRATEGIC	None	DIVIDENDS	\$201 - \$1,000	Ø

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	ME Name Christopher John Lee	her John Lee		Page 4 of 57
	PIMCO COMMODITY RR STRAT	None	DIVIDENDS/CAPI TAL GAINS	\$5,001 - \$15,000	S
	T ROWE PRICE GROWTH STK	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
	T ROWE PRICE HIGH YIELD	None	DIVIDENDS	\$2,501 - \$5,000	S
	RAINIER MID CAP EQUITY	None	CAPITAL GAINS	\$1 - \$200	S
	ROYCE VALUE PLUS	None	DIVIDENDS	\$201 - \$1,000	S
	FIDELITY HIGH INCOME	None	DIVIDENDS	\$2,501 - \$5,000	Ø
	THORNBURG INTL VALUE	None	DIVIDENDS	\$1,001 - \$2,500	Ø
	THORNBURG VALUE	None	DIVIDENDS	\$201 - \$1,000	S
	COLUMBIA VALUE & RESTRUCT	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	S
	IRA:				
	FIDELITY MONEY MARKET	\$100,001 - \$250,000	DIVIDENDS	\$1 - \$200	
	DODGE & COX INCOME	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	ס
	FIDELITY US BOND INDEX	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	<b>ס</b>
	PIMCO TOTAL RETURN	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	ס

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name	Christopher John Lee	2000	Page 5 of 57
COLUMBIA ACORN INTL	None	DIVIDENDS	\$1 - \$200	S
DAVIS NEW YORK VENTURE	None	DIVIDENDS	\$1 - \$200	Ø
FIDELITY EMERGING MARKET	None	DIVIDENDS	\$1 - \$200	S
FIDELITY ADV NEW INSIGHTS	None	DIVIDENDS	\$1 - \$200	S
FIDELITY SHORT TERM BOND	None	DIVIDENDS/CAPI TAL GAINS	\$1 - \$200	S
FIDELITY LEVERAGED CO STOCK	None	DIVIDENDS	\$1 - \$200	Ø
FIDELITY REAL ESTATE INVEST	None	DIVIDENDS	\$1 - \$200	S
DWS DREMAN S/C VALUE	None	DIVIDENDS	\$1 - \$200	S
LOOMIS SAYLES BOND	None	DIVIDENDS	\$1,001 - \$2,500	S
LAZARD EMERGING MKTS	None	DIVIDENDS	\$201 - \$1,000	S
MORGAN STANLEY INS CORE+	None	DIVIDENDS	\$1 - \$200	S
MASTERS SELECT INTERNATL	None	DIVIDENDS	\$201 - \$1,000	S
MORGAN STANLEY INS US REAL	None	DIVIDENDS	\$201 - \$1,000	S
PIMCO COMMODITY RR STRAT	None	DIVIDENDS	\$1,001 - \$2,500	S

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SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Christopher John Lee	er John Lee		Page 6 of 57
	T ROWE PRICE HIGH YIELD	None	DIVIDENDS	\$1 - \$200	S
	PIMCO HIGH YIELD FUND	None	DIVIDENDS	\$201 - \$1,000	Ø
	ROYCE VALUE PLUS	None	DIVIDENDS	\$1 - \$200	S
	FIDELITY HIGH INCOME	None	DIVIDENDS	\$201 - \$1,000	Ø
	THORNBURG INTL VALUE	None	DIVIDENDS	\$1 - \$200	S
	THORNBURG VALUE	None	DIVIDENDS	\$1 - \$200	S
	COLUMBIA VALUE & RESTRUCT	None	DIVIDENDS	\$1 - \$200	S
	VANGUARD S/T INVEST GR	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
	IRA:				
SP	FIDELITY MONEY MARKET	\$50,001 - \$100,000	DIVIDENDS	\$1 - \$200	
SP	DODGE & COX INCOME	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	ס
SP	FIDELITY US BOND INDEX	\$1,001 - \$15,000	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	PS(part)
SP	FIDELITY INTERMEDIATE BOND	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	PS(part)
SP	COLUMBIA ACORN INTL	None	DIVIDENDS	\$1 - \$200	· σ

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SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	IE Name Christopher John Lee	er John Lee		Page 7 of 57
SP	DAVIS NEW YORK VENTURE	None	DIVIDENDS	\$1 - \$200	S
SP	FIDELITY EMERGING MARKET	None	DIVIDENDS	\$1 - \$200	Ø
SP	FIDELITY LEVERAGED CO STOCK	None	DIVIDENDS	\$1 - \$200	S
SP	DWS DREMAN S/C VALUE	None	DIVIDENDS	\$1 - \$200	S
SP	LOOMIS SAYLES BOND	None	DIVIDENDS	\$201 - \$1,000	S
SP	MORGAN STANLEY INS US REAL	None	DIVIDENDS	\$201 - \$1,000	S
SP	PIMCO COMMODITY RR STRAT	None	DIVIDENDS	\$201 - \$1,000	S
SP	PIMCO LOW DURATION	None	DIVIDENDS	\$1 - \$200	S
SP	ROYCE VALUE PLUS	None	DIVIDENDS	\$1 - \$200	S
ЧS	FIDELITY HIGH INCOME	None	DIVIDENDS	\$201 - \$1,000	S
SP	THORNBURG INTL VALUE	None	DIVIDENDS	\$1 - \$200	S
SP	COLUMBIA VALUE & RESTRUCT	None	DIVIDENDS	\$1 - \$200	S
	PROCEEDS FROM 2007 INSTALLMENT SALE (INTERNATIONAL MOTION CONTROL INC) (Christopher Lee)	None	DIVIDENDS/INTE REST/CAPITAL GAINS	\$100,001 - \$1,000,000	

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Johnathan Lee)

DC PROCEEDS FROM 2007
INSTALLMENT SALE
(INTERNATIONAL MOTION
CONTROL INC) (Trust FBO None Name Christopher John Lee DIVIDENDS/INTE \$5,001 - \$15,000 REST/CAPITAL GAINS Page 8 of 57

INTEREST \$1,001 - \$2,500
DIVIDENDS/CAPI \$1 - \$200 TAL GAINS
DIVIDENDS/CAPI \$201 - \$1,000 TAL GAINS
DIVIDENDS/CAPI \$1 - \$200 TAL GAINS
DIVIDENDS/CAPI \$1 - \$200 TAL GAINS
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DIVIDENDS/CAPI \$1 - \$200 TAL GAINS
INTEREST \$1 - \$200

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SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	E Name Christopher John Lee	er John Lee		Page 9 of 57
DC	COLUMBIA ACORN INTL	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
DC	FIDELITY ADV NEW INSIGHTS	None	DIVIDENDS/CAPI TAL GAINS	\$1 - \$200	S
DC	FIDELITY INTERMEDIATE MUNI	None	CAPITAL GAINS/INTERES T	\$201 - \$1,000	S
DC	FIDELITY LEVERAGED CO STOCK	None	DIVIDENDS/CAPI TAL GAINS	\$1 - \$200	S
DC	FIDELITY REAL ESTATE INVEST	None	DIVIDENDS/CAPI TAL GAINS	\$1 - \$200	S
DC	FIDELITY SHORT INTERM	None	INTEREST	\$201 - \$1,000	S
DC	FIDELITY SPART US EQTY	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
D.	ISHARES COHEN & STEERS RLTY	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	Ø
DC	DWS DREMAN S/C VALUE FND	None	DIVIDENDS/CAPI TAL GAINS	\$1 - \$200	S
DC	LOOMIS SAYLES BOND FUND	None	DIVIDENDS	\$1 - \$200	S
DC	THORNBURG LTD TERM MUNICIPAL	None	INTEREST	\$201 - \$1,000	S
DC	LOOMIS SAYLES SMALL CAP GROWTH	None	DIVIDENDS	\$2,501 - \$5,000	S
DC	LAZARD EMERGING MKTS PORT	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
DC	MORGAN STANLEY INS US REAL	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	S

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SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Christopher John Lee	pher John Lee		Page 10 of 57
DC	PIMCO COMMODITY RR STRAT	None	DIVIDENDS	\$1,001 - \$2,500	S
DC	T ROWE PRICE HIGH YIELD	None	DIVIDENDS	\$201 - \$1,000	S
DC	FIDELITY HIGH INCOME FUND	None	DIVIDENDS	\$201 - \$1,000	S
DC	FIDELITY HIGH INCOME FUND	None	DIVIDENDS	\$201 - \$1,000	S
DC	THORNBURG INTL VALUE	None	DIVIDENDS	\$201 - \$1,000	S
DC	THORNBURG INTERMED MUNI	None	INTEREST	\$1,001 - \$2,500	S
DC	THORNBURG VALUE FUND	None	DIVIDENDS	\$201 - \$1,000	S
DC	COLUMBIA VALUE & RESTRUCT	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	တ
	CHARITABLE TRUST FBO CHRISTOPHER J LEE				
	FIDELITY MONEY MARKET	None	DIVIDENDS/INTE REST	\$5,001 - \$15,000	S
	COLUMBIA ACORN INTL	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	တ
	DODGE & COX INCOME	None	DIVIDENDS	\$5,001 - \$15,000	S
	FIDELITY ADV NEW INSIGHTS	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
	FIDELITY LEVERAGED CO STOCK	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Christopher John Lee	her John Lee		Page 11 of 57
FIDELITY REAL ESTATE INVEST	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	S
DWS DREMAN S/C VALUE	None	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	တ
LOOMIS SAYLES BOND FUND	None	DIVIDENDS/CAPI TAL GAINS	\$15,001 - \$50,000	တ
LAZARD EMERGING MKTS	None	DIVIDENDS/CAPI TAL GAINS	\$2,501 - \$5,000	S
MASTERS SELECT I	None	DIVIDENDS/CAPI TAL GAINS	\$2,501 - \$5,000	S
MORGAN STANLEY INS US REAL	None	DIVIDENDS/CAPI TAL GAINS	\$5,001 - \$15,000	တ
MORGAN STANLEY CORE PLUS FIXED INC	None	DIVIDENDS	\$2,501 - \$5,000	တ
PIMCO COMMODITY RR STRAT	None	DIVIDENDS	\$5,001 - \$15,000	ဟ
PIMCO LOW DURATION	None	DIVIDENDS	\$5,001 - \$15,000	တ
PIMCO TOTAL RETURN	None	DIVIDENDS	\$5,001 - \$15,000	S
T ROWE PRICE HIGH YIELD	None	DIVIDENDS	\$2,501 - \$5,000	S
FIDELITY HIGH INCOME	None	DIVIDENDS	\$2,501 - \$5,000	ω
THORNBURG INTL VALUE	None	DIVIDENDS	\$1,001 - \$2,500	S
THORNBURG VALUE FUND	None	DIVIDENDS	\$1,001 - \$2,500	S

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME		Name Christopher John Lee		Page 12 of 57
COLUMBIA VALUE & RESTRUCT	None	DIVIDENDS	\$1,001 - \$2,500	S
VANGUARD SHORT TERM INVESTMENT GRADE	None	DIVIDENDS	\$5,001 - \$15,000	S
TRUST FBO CHRISTOPHER J. LEE	,			
FIDELITY MONEY MARKET	None	INTEREST	\$5,001 - \$15,000	S
COLUMBIA ACORN INTL	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	S
COLUMBIA ACORN	None	DIVIDENDS/CAPI TAL GAINS	\$2,501 - \$5,000	S
FIDELITY ADV NEW INSIGHTS	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	S
FIDELITY INTERMEDIATE MUNI	None	INTEREST/CAPI TAL GAINS	\$2,501 - \$5,000	S
FIDELITY LEVERAGED CO STOCK	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	S
FIDELITY REAL ESTATE INVEST	None	DIVIDENDS/CAPI TAL GAINS	\$1,001 - \$2,500	S
FIDELITY SPART US EQTY	None	DIVIDENDS	\$1,001 - \$2,500	S
ISHARES COHEN & STEERS RLTY	None	DIVIDENDS/CAPI TAL GAINS	\$5,001 - \$15,000	S
DWS DREMAN S/C VALUE	None	DIVIDENDS/CAPI TAL GAINS	CAPI \$1,001 - \$2,500	S
LOOMIS SAYLES BOND FUND	None	DIVIDENDS	\$15,001 - \$50,000	Ø

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME 00 000 DC PIMCO COMMODITY RR STRAT ISHARES COHEN & STEERS RLTY PORT THORNBURG VALUE FUND FIDELITY HIGH INCOME REAL COMMODITY FIDELITY MONEY MARKET CAPITAL LLC Trust FBO Johnathan Lee (1)-INTEREST IN LEE FAMILY RESTRUCT COLUMBIA VALUE & THORNBURG INTERMED **IPATH DOW JONES AIG** MUNI FD THORNBURG INTL VALUE FD T ROWE PRICE HIGH YIELD MORGAN STANLEY INS US INTERNATL MASTERS SELECT LAZARD EMERGING MKTS None None None \$1,001 - \$15,000 None None None None None None None \$1,001 - \$15,000 DIVIDENDS \$1 - \$1,000 Name Christopher John Lee CAPITAL DIVIDENDS/CAPI TAL GAINS DIVIDENDS/CAPI \$1,001 - \$2,500 DIVIDENDS DIVIDENDS DIVIDENDS/CAPI DIVIDENDS/CAPI TAL GAINS **GAINS/INTERES** INTEREST DIVIDENDS DIVIDENDS DIVIDENDS TAL GAINS TAL GAINS NONE \$5,001 - \$15,000 \$1 - \$200 \$1 - \$200 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$5,001 - \$15,000 \$5,001 - \$15,000 \$5,001 - \$15,000 \$5,001 - \$15,000 \$2,501 - \$5,000 v σ S ഗ S S S S က S S S Page 13 of 57

SCHEDUI	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Christopher John Lee	er John Lee		Page 14 of 57
DC	ISHARES RUSSELL 3000 INDEX	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	<b>ס</b>
DC	ISHARES MSCI ACWI EX US	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	<b>ס</b>
DC	BERSTEIN BROKERAGE CASH BALANCE	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	FEDERATED MONEY MARKET	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	NEW YORK ST EVNRMNTL FACS CORP BOND	\$1 - \$1,000	None		ס
DC	OREGON HLTH SCIENCES UNIV BOND	\$1 - \$1,000	None		ס
DC	NEW YORK NY HLTH & HOSP CORP BOND	\$1 - \$1,000	None		<b>ס</b>
DC	CALIFORNIA STATEWIDE CDA BOND	\$1 - \$1,000	None		<b>ס</b>
DC	WEST VIRGINIA ECON DEV AUTH SO BOND	\$1 - \$1,000	None		Ū
DC	NEW YORK ST TWY AUTH SPL TAX BOND	\$1 - \$1,000	None		Р
DC	NY ENVIRONMENTAL NYC MUNI WTR BOND	\$1 - \$1,000	None	_	ס
DC	PUERTO RICO COMWLTH BOND	\$1 - \$1,000	None		ס
DC	PUERTO RICO COMWLTH BOND	\$1 - \$1,000	None		<b>ט</b>
DC	LONG ISLAND PWR AUTH N Y ELEC BOND	\$1 - \$1,000	None		ט

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00 00 00 00 DC DC 00 8 DC 00 D C 00 00 Trust FBO Johnathan Lee (2)-INTEREST IN LEE FAMILY Summit Rock Balanced Reserves LP (Cash) Summit Rock Real Assets LP Strategies LP Summit Rock Diversified Summit Rock Select Equity LP Summit Rock Strategic Fixed MUNI ELECTRIC AUTH OF GA BOND CHICAGO IL TRNSP AUTH FED GAN BOND NEW YORK NY TRNSL FIN AUTH BOND Summit Rock Private EquityLP Summit Rock Hedged Equity LP OHIO AIR QUALITY DEV AUTH BOND TRIBOROUGH BRDG & TUNL AUTH NY BOND CAPITAL LLC Income LP NEW YORK N Y G/O BOND \$1,001 - \$15,000 \$1,001 - \$15,000 \$1 - \$1,000 \$1 - \$1,000 \$1 - \$1,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1 - \$1,000 \$1 - \$1,000 \$1 - \$1,000 \$1 - \$1,000 Name Christopher John Lee None None None None None None None None None None None None None v v 70 ס v U v ס 70 T Q σ Page 15 of 57

SCHEDULE III - ASSETS AND "UNEARNED" INCOME Name Christopher John Lee Page 16 of 57

ס		None	\$1,001 - \$15,000		NY ENVIRONMENTAL NYC	DC
ּס	:	None	\$1,001 - \$15,000		NEW YORK ST TWY AUTH	DC
ס		None	\$1 - \$1,000	ECON DEV	WEST VIRGINIA ECC	DC
ס		None	\$1 - \$1,000		CALIFORNIA STATEWIDE CDA BOND	DC
ס		None	\$1,001 - \$15,000		NEW YORK NY HLTH & HOSP CORP BOND	DC
יי		None	\$1 - \$1,000		OREGON HLTH SCIENCES	DC
P		None	\$1,001 - \$15,000	-	NEW YORK ST EVNRMNTL FACS CORP BOND	DC
	\$1 - \$200	DIVIDENDS	\$50,001 - \$100,000		FEDERATED MONEY MARKET	DC
	\$1 - \$200	INTEREST	\$1 - \$1,000		BERSTEIN BROKERAGE CASH BALANCE	DC
<b>י</b>	\$201 - \$1,000	DIVIDENDS	\$50,001 - \$100,000		ISHARES MSCI ACWI EX US	DC
P	\$201 - \$1,000	DIVIDENDS	\$100,001 - \$250,000		ISHARES RUSSELL 3000 INDEX	DC
י <b>ט</b>	\$201 - \$1,000	DIVIDENDS	\$15,001 - \$50,000		ISHARES COHEN & STEERS RLTY	DC
ס		None	\$1,001 - \$15,000		IPATH DOW JONES AIG	C
	\$1 - \$200	INTEREST/CAPI TAL GAINS	\$50,001 - \$100,000		FIDELITY MONEY MARKET	DC

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Christopher John Lee	er John Lee	Page 17 of 57
DC	PUERTO RICO COMWLTH BOND	\$1 - \$1,000	None	ש
DC	PUERTO RICO COMWLTH BOND	\$1,001 - \$15,000	None	ס
DC	LONG ISLAND PWR AUTH N Y ELEC BOND	\$1,001 - \$15,000	None	ס
DC	NEW YORK N Y G/O BOND	\$1,001 - \$15,000	None	ּס
DC	NEW YORK NY TRNSL FIN AUTH BOND	\$1,001 - \$15,000	None	ס
DC	TRIBOROUGH BRDG & TUNL AUTH NY BOND	\$1,001 - \$15,000	None	ס
DC	CHICAGO IL TRNSP AUTH FED GAN BOND	\$1,001 - \$15,000	None	יסר
DC	MUNI ELECTRIC AUTH OF GA BOND	\$1,001 - \$15,000	None	יס
DC	OHIO AIR QUALITY DEV AUTH BOND	\$1 - \$1,000	None	סר
DC	Summit Rock Strategic Fixed Income LP	\$1,001 - \$15,000	None	יס
DC	Summit Rock Select Equity LP	\$15,001 - \$50,000	None	יטר
DC	Summit Rock Hedged Equity LP	\$50,001 - \$100,000	None	סד
DC	Summit Rock Diversified Strategies LP	\$100,001 - \$250,000	None	סי
DC	Summit Rock Real Assets LP	\$1,001 - \$15,000	None	ס

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SCHEDL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	OME Name Christopher John Lee	er John Lee		Page 18 of 57
DC	Summit Rock Private Equity LP	\$15,001 - \$50,000	None		q
DC	Summit Rock Balanced Reserves LP (Cash)	\$15,001 - \$50,000	None	         	
	TRUST FBO CHRISTOPHER J LEE -INTEREST IN LEE FAMILY CAPITAL LLC				
	FIDELITY MONEY MARKET	\$500,001 - \$1,000,000	CAPITAL GAINS/INTERES T	\$1,001 - \$2,500	
ļ	IPATH DOW JONES AIG COMMODITY	\$50,001 - \$100,000	None		Ū
	ISHARES COHEN & STEERS RLTY	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	ט
	ISHARES RUSSELL 3000 INDEX	\$500,001 - \$1,000,000	DIVIDENDS	\$5,001 - \$15,000	ס
	ISHARES MSCI ACWI EX US INDEX	\$500,001 - \$1,000,000	DIVIDENDS	\$2,501 - \$5,000	ם
	BERSTEIN BROKERAGE CASH BALANCE	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	FEDERATED MONEY MARKET	\$250,001 - \$500,000	DIVIDENDS	\$201 - \$1,000	
	NEW YORK ST EVNRMNTL FACS CORP BOND	\$15,001 - \$50,000	None		יס
	OREGON HLTH SCIENCES UNIV BOND	\$1,001 - \$15,000	None		ד
	NEW YORK NY HLTH & HOSP CORP BOND	\$15,001 - \$50,000	None		ֿס
	CALIFORNIA STATEWIDE CDA BOND	\$1,001 - \$15,000	None		70

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Summit Rock Select Equity LP	Summit Rock Strategic Fixed Income LP	OHIO AIR QUALITY DEV AUTH BOND	MUNI ELECTRIC AUTH OF GA BOND	CHICAGO IL TRNSP AUTH FED GAN BOND	TRIBOROUGH BRDG & TUNL AUTH NY BOND	NEW YORK NY TRNSL FIN AUTH BOND	NEW YORK N Y G/O BOND	LONG ISLAND PWR AUTH N Y ELEC BOND	PUERTO RICO COMWLTH BOND	PUERTO RICO COMWLTH BOND	NY ENVIRONMENTAL NYC MUNI WTR BOND	NEW YORK ST TWY AUTH SPL TAX BOND	WEST VIRGINIA ECON DEV AUTH SO BOND	SCHEDULE III - ASSETS AND "UNEARNED" INCOME
\$100,001 - \$250,000	\$50,001 - \$100,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$1,001 - \$15,000	E Name Christopher John Lee
None	None	None	None	None	None	None	None	None	None	None	None	None	None	ier John Lee
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FEDERATED MONEY MARKET	BERSTEIN BROKERAGE CASH BALANCE	ISHARES MSCI ACWI EX US INDEX	ISHARES RUSSELL 3000 INDEX	ISHARES COHEN & STEERS RLTY	IPATH DOW JONES AIG COMMODITY	FIDELITY MONEY MARKET	CHARITABLE TRUST FBO CHRISTOPHER J LEE - INTEREST IN LEE CHARITABLE CAPITAL LLC	Summit Rock Balanced Reserves LP (Cash)	Summit Rock Private Equity LP	Summit Rock Real Assets LP	Summit Rock Diversified Strategies LP	Summit Rock Hedged Equity LP	SCHEDULE III - ASSETS AND "UNEARNED" INCOME
\$500,001 - \$1,000,000	\$1,001 - \$15,000	\$500,001 - \$1,000,000	\$500,001 - \$1,000,000	\$100,001 - \$250,000	\$50,001 - \$100,000	\$50,001 - \$100,000		\$250,001 - \$500,000	\$100,001 - \$250,000	\$50,001 - \$100,000	\$500,001 - \$1,000,000	\$250,001 - \$500,000	Name Christopher John Lee
DIVIDENDS	INTEREST	DIVIDENDS/CAPI TAL GAINS	DIVIDENDS	DIVIDENDS	None	INTEREST		None	None	None	None	None	er John Lee
\$1,001 - \$2,500	\$1 - \$200	\$5,001 - \$15,000	\$2,501 - \$5,000	\$2,501 - \$5,000		\$1 - \$200							
		סי	סד	ס	ס				ס	ט־	ס	P	Page 20 of 57

SCHEDULE III - ASSETS AND "UNEARNED" INCOME CORP BOND **NEW YORK NY HLTH & HOSP AUTH BOND** NEW YORK NY TRNSL FIN FACS CORP BOND **NEW YORK ST EVNRMNTL** \$15,001 -\$50,000 \$15,001 -\$50,000 \$1,001 - \$15,000 Name Christopher John Lee None None None U U ס Page 21 of 57

FEU GAN BOND	CHICAGO IL TRNSP AUTH
\$50,000	\$15,001 -
	None

**AUTH BOND** 

NEW YORK NY TRNSL FIN

**AUTH NY BOND** 

TRIBOROUGH BRDG & TUNL

\$15,001 -\$50,000

None

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\$15,001 -\$50,000

None

BOND

NY ST TWY AUTH ST PERS

\$15,001 -\$50,000

None

\$15,001 -\$50,000

None

\$15,001 -\$50,000

None

NY ENVIRONMENTAL NYC MUNI WTR BOND

SPL TAX BOND

NEW YORK ST TWY AUTH

WEST VIRGINIA ECON DEV AUTH SO BOND

\$1,001 - \$15,000

None

\$1,001 - \$15,000 None

CDA BOND

**CALIFORNIA STATEWIDE** 

PUERTO RICO COMWLTH BOND

Y ELEC BOND

NEW YORK N Y G/O BOND

\$15,001 -\$50,000

None

\$15,001 -\$50,000

None

\$50,000

\$15,001 -

None

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Summit Rock Balanced Reserves LP (Cash) Summit Rock Diversified Strategies LP OHIO AIR QUALITY DEV AUTH BOND Summit Rock Hedged Equity LP Summit Rock Select Equity LP Summit Rock Strategic Fixed Income LP **GA BOND** MUNI ELECTRIC AUTH OF \$100,001 -\$250,000 \$100,001 -\$250,000 \$100,001 -\$250,000 \$100,001 -\$250,000 \$15,001 **-**\$50,000 \$15,001 -\$50,000 \$1,001 - \$15,000 None Name Christopher John Lee None None None None None None T U U T σ v Page 22 of 57

Name Christopher John Lee

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out. If on	out. If only a portion of an asset is sold, please so indicate (i.e., partial sale ). See example below	artial sale ). See example below.		100
SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Inidividual Account (1):			
	AMERICAN BEACON L/C VALU 3 PURCHASES, 2 SALES	PS	VARIOUS	\$50,001 - \$100,000
	ALLIANCEBERNSTEIN INT VAL 2 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	COLUMBIA ACORN INTL 6 PURCHASEs, 2 SALES	PS	VARIOUS	\$15,001 - \$50,000
	AMERICAN EUROPACIFIC GRTH	PS	PURCHASE 10/3/08, SALE 12/16/08	\$50,001 - \$100,000 
	RVS MID CAP VALUE	PS	PURCHASE 1/23/08, SALE 10/3/08	\$1,001 - \$15,000
	ARTISAN MID CAP VALUE 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
	WILLIAM BLAIR INTL GROWTH	ဟ	9/23/08, 12/16/08	\$50,001 - \$100,000
!	DAVIS NEW YORK VENTURE 2 PURCHASES, 2 SALES	PS	VARIOUS	\$50,001 - \$100,000
	DODGE & COX INTL STOCK 2 PURCHASE, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
	EVERGREEN INTRINSIC VALUE 5 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000

Name Christopher John Lee

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		•		
SP, DC,		Type of	7	
	FIDELITY VALUE	PS	PURCHASE 1/2/08, SALES 8/28/08	\$15,001 - \$50,000
	FIDELITY EMERGING MARKET 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
	FIDELITY ADV NEW INSIGHTS 4 PURCHASES, 2 SALES	PS	VARIOUS	\$100,001 - \$250,000
	FIDELITY INTL REAL ESTATE	PS	PURCAHSE 1/2/08, SALE 9/23/08	\$15,001 - \$50,000
	FIDELITY INTERMEDIATE MUNI 16 PURCHASES	P	VARIOUS	\$15,001 - \$50,000
	FIDELITY LEVERAGED CO STOCK 4 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
	FIDELITY REAL ESTATE INVEST 4 PURCHASES, 1 SALES	PS	VARIOUS	\$15,001 - \$50,000
	FIDELITY INTL SMALL CAP OPP 2 PURCHASES, 1 SALES	PS	VARIOUS	\$15,001 - \$50,000
	FIDELITY SHORT INTERM MUNI 4 PURCHASES	PS	VARIOUS	\$15,001 - \$50,000
	FIDELITY SPART US EQTY 7 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	AMERICAN GRW FD OF AMER 2 PURCHASES, 2 SALES	PS	VARIOUS	\$50,001 - \$100,000

Name Christopher John Lee

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SP, DC,		Type of		
1	Asset	Transaction	Date	Amount of Transaction
	HARBOR INTERNATIONAL GROTH	PS	PURCHASE 9/23/08, SALES 12/16/08	\$50,001 - \$100,000
	HARBOR INTERNATIONAL	PS	PURCHASE 9/23/08, SALE 12/16/08	\$50,001 - \$100,000
	1 PURCHASE, 2 SALES	PS	VARIOUS	\$50,001 - \$100,000
	ARTIO INTERNATIONAL EQY II 2 PURCHASES, 1 SALES	PS	VARIOUS	\$15,001 - \$50,000
	JANUS PERKINS MID CAP VL 3 PURCHASES, 1 SALES	PS	VARIOUS	\$1,001 - \$15,000
	DWS DREMAN S/C VALUE	PS	PURCHASE 1/3/08, SALES 12/17/08	\$15,001 - \$50,000
	KEELEY SMALL CAP VAL	PS	PURCHASE 1/3/08, SALE 12/17/08	\$15,001 - \$50,000
	LAUDUS ROSENB INTERN DISC 3 PURCHASES, 2 SALES	PS	VARIOUS	\$15,001 - \$50,000
	LOOMIS SAYLES BOND 10 PURCHASES, 3 SALES	PS	VARIOUS	\$100,001 - \$250,000
	LAZARD EMERGING MKTS PORT 4 PURCHASES, 1 SALES	PS	VARIOUS	\$50,001 - \$100,000

Name Christopher John Lee

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SP, DC,		Type of		
JT	Asset	Transaction	Date	Amount of Transaction
· <del></del>	MASTERS SELECT INTERNATL 5 PURCHASES, 1 SALES	PS	VARIOUS	\$50,001 - \$100,000
	MORGAN STANLEY INS US REAL 7 PURCHASES, 1 SALES	PS	VARIOUS	\$100,001 - \$250,000
	OPPENHEIMER STRATEGIC INC 5 PURCHASES, 1 SALES	PS	VARIOUS	\$50,001 - \$100,000
_	PIMCO COMMODITY RR STRAT 6 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	T ROWE PRICE GROWTH STK 3 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	T ROWE PRICE HIGH YIELD 1 PURCHASE, 2 SALES	PS	VARIOUS	\$15,001 - \$50,000
	FORWARD INTL SMALL COMPAN	PS	PURCHASE 10/3/08, SALE 12/16/08	\$15,001 - \$50,000
	RAINIER MID CAP EQUITY 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
	RS PARTNERS FUND	<b>σ</b>	12/17/08	\$15,001 - \$50,000
-	RS VALUE FUND	Ø	12/17/08	\$15,001 - \$50,000
	ROYCE VALUE PLUS FUND 3 PURCHASES, 1 SALES	PS	VARIOUS	\$15,001 - \$50,000

Name Christopher John Lee

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SP,		Type of		
٦	Asset	Transaction	Date	Amount of Transaction
	FIDELITY HIGH INCOME 17 PURCHASES, 1 SALES	PS	VARIOUS	\$15,001 - \$50,000
	THORNBURG INTL VALUE 4 PURCHASES, 1 SALES	PS	VARIOUS	\$15,001 - \$50,000
	THORNBURG INTERMED MUNI 2 PURCHASES, 1 SALES	PS	VARIOUS	\$15,001 - \$50,000
	THORNBURG VALUE 3 PURCHASES, 1 SALES	PS	VARIOUS	\$50,001 - \$100,000
	COLUMBIA VALUE & RESTRUCT 5 PURCHASES, 2 SALES	PS	VARIOUS	\$50,001 - \$100,000
:	IRA:			
	AMERICAN BEACON L/C VALU	တ	12/16/08	\$1,001 - \$15,000
	ALLIANCEBERNSTEIN INT VAL	S	12/16/08	\$1,001 - \$15,000
	COLUMBIA ACORN INTL	PS	PURCHASE 6/12/08, SALE 12/16/08	\$1,001 - \$15,000
	ARTISAN MID CAP VALUE	S	12/16/08	\$1,001 - \$15,000
	WILLIAM BLAIR INTL GROWTH	Ø	12/16/08	\$1,001 - \$15,000

Name Christopher John Lee

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

*******				
SP, DC,		Type of		
JT	Asset	Transaction	Date	Amount of Transaction
	DAVIS NEW YORK VENTURE	PS	PURCHASE 12/2/08, SALE 12/16/08	\$1,001 - \$15,000
	DODGE & COX INCOME 5 PURCHASES	ס	VARIOUS	\$1,001 - \$15,000
	DODGE & COX INTL STOCK	S	12/16/08	\$1,001 - \$15,000
	FIDELITY US BOND INDEX 4 PURCHASES	טר	VARIOUS	\$15,001 - \$50,000
	FIDELITY CAPITAL APPREC	S	2/25/08	\$1,001 - \$15,000
	FIDELITY EMERGING MARKET	PS	PURCHASE 12/5/08, SALES 12/16/08	\$1,001 - \$15,000
	FIDELITY ADV NEW INSIGHTS 2 PURCHASES, 1 SALES	PS	VARIOUS	\$1,001 - \$15,000
	FIDELITY INTL REAL ESTATE	Ø	12/16/08	\$1,001 - \$15,000
	FIDELITY LEVERAGED CO STOCK 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
	FIDELITY REAL ESTATE INVEST 3 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
	FIDELITY SHORT TERM BOND 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000

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SP, DC,		Type of		
-	ASSET	Transaction	Date	Amount of Transaction
	AMERICAN GRW FD OF AMER	S	2/25/08, 12/16/08	\$1,001 - \$15,000
	ARTIO INTERNATIONAL EQY II	PS	PURCHASED 2/25/08, SALE 12/16/08	\$1,001 - \$15,000
	DWS DREMAN S/C VALUE 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
	KEELEY SMALL CAP VAL	S	2/25/08, 12/16/08	\$1,001 - \$15,000
	LAUDUS ROSENB INTERN DISC	S	12/16/08	\$1,001 - \$15,000
	LOOMIS SAYLES BOND 9 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
į	LAZARD EMERGING MKTS 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
	MASTERS SELECT INTERNATL	PS	PURCHASE 11/5/08, SALE 12/16/08	\$1,001 - \$15,000
] 	MORGAN STANLEY INS CORE+	PS	PURCHASE 4/2/08, SALE 5/16/08	\$1,001 - \$15,000
	MORGAN STANLEY INS US REAL 3 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC,		Type of		
JŢ	Asset	Transaction	Date	Amount of Transaction
	PIMCO COMMODITY RR STRAT 5 PURCHASES, 1 SLAE	PS	VARIOUS	\$1,001 - \$15,000
	PIMCO TOTAL RETURN 14 PURCHASES	<b>ס</b>	VARIOUS	\$15,001 - \$50,000
	PIMCO HIGH YIELD 8 PURCHASES	PS	VARIOUS	\$1,001 - \$15,000
	T ROWE PRICE HIGH YIELD 4 PURCHASES, 2 SALES	PS	VARIOUS	\$1,001 - \$15,000
	RAINIER MID CAP EQUITY	S	12/16/08	\$1,001 - \$15,000
	RS PARTNERS	S	12/16/08	\$1,001 - \$15,000
	RS VALUE	S	12/16/08	\$1,001 - \$15,000
i	ROYCE VALUE PLUS	PS	PURCHASE 12/9/08, SALE 12/16/08	\$1,001 - \$15,000
	FIDELITY HIGH INCOME 12 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
!	THORNBURG INTL VALUE 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
	THORNBURG VALUE 4 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC,		Type of		
٦	Asset	Iransaction	Date	Amount of fransaction
	COLUMBIA VALUE & RESTRUCT 3 PURCHASES, 2 SALES	PS	VARIOUS	\$1,001 - \$15,000
	VANGUARD S/T INVEST GR	PS	PURCHASE 1/31/08, SALE 2/25/08	\$1,001 - \$15,000
	IRA:			
SP	AMERICAN BEACON L/C VALU	ω	12/16/08	\$1,001 - \$15,000
SP	ALLIANCEBERNSTEIN INT VAL	Ø	12/16/08	\$1,001 - \$15,000
SP	COLUMBIA ACORN INTL	PS	PURCHASE 6/12/08, SALE 12/16/08	\$1,001 - \$15,000
SP	ARTISAN MID CAP VALUE	တ	12/16/08	\$1,001 - \$15,000
SP	WILLIAM BLAIR INTL GROWTH	S	12/16/08	\$1,001 - \$15,000
dS dS	DAVIS NEW YORK VENTURE	PS	PURCHASE 12/2/08, SALE 12/16/08	\$1,001 - \$15,000
SP	DODGE & COX INCOME 4 PURCHASES	ם-	VARIOUS	\$1,001 - \$15,000

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DC,		Type of		
ΤĽ	Asset	Transaction	Date	Amount of Transaction
SP	FIDELITY US BOND INDEX 4 PURCHASES, 1 SALE	PS(part)	VARIOUS	\$1,001 - \$15,000
SP	FIDELITY EMERGING MARKET 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
SP	FIDELITY ADV NEW INSIGHTS	PS	PURCHASE 2/25/08, SALES 12/16/08	\$1,001 - \$15,000
SP	FIDELITY INTL REAL ESTATE	S	12/16/08	\$1,001 - \$15,000
SP	FIDELITY LEVERAGED CO STOCK 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
SP	FIDELITY INTERMEDIATE BOND 13 PURCHASES, 1 SALE	PS(part)	VARIOUS	\$1,001 - \$15,000
SP	AMERICAN GRW FD OF AMER	S	2/15/08, 12/16/08	\$1,001 - \$15,000
ЧS	ARTIO INTERNATIONAL EQY II	S	12/16/08	\$1,001 - \$15,000
Sp	DWS DREMAN S/C VALUE 1 PURCHASE, 2 SALES	PS	VARIOUS	\$1,001 - \$15,000
SP	KEELEY SMALL CAP VAL	တ	2/22/08, 12/16/08	\$1,001 - \$15,000
SP	LOOMIS SAYLES BOND 9 PURCHASE, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000

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SP,		Type of		
JT	Asset	Transaction	Date	Amount of Transaction
SP	MORGAN STANLEY INS US REAL 4 PURCHASE, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
SP	PIMCO COMMODITY RR STRAT 6 PURCHASE, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
SP	PIMCO LOW DURATION	PS	PURCHASE 1/31/08, SLAE 2/25/08	\$1,001 - \$15,000
SP	RAINIER MID CAP EQUITY	S	12/16/08	\$1,001 - \$15,000
SP	RS PARTNERS	တ	2/25/08, 12/16/08	\$1,001 - \$15,000
SP	RS VALUE	S	8/28/08, 12/16/08	\$1,001 - \$15,000
δb	ROYCE VALUE PLUS 1 PURCHASE, 2 SALES	PS	VARIOUS	\$1,001 - \$15,000
SP	FIDELITY HIGH INCOME 12 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
SP	THORNBURG INTL VALUE 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
SP	COLUMBIA VALUE & RESTRUCT 5 PURCHASES, 2 SALES	PS	VARIOUS	\$1,001 - \$15,000
	Trust FBO Johnathan Lee (1)			

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

DC DC DC 54 CC, SF,	Asset  ALLIANCEBERNSTEIN INT VAL  COLUMBIA ACORN INTL  ARTISAN MID CAP VALUE FD  DAVIS NEW YORK VENTURE ED		Type of Transaction S PS PS	Type of Transaction
) ŏ	ARTISAN MID CAP VALUE FD	PS		PURCHASE 1/22/08, SALE 11/6/08
DC	DAVIS NEW YORK VENTURE FD	PS		PURCHASE 1/2/08, SALE 11/6/08
DC	FIDELITY EMERGING MARKET FD 2 PURCHASES, 1 SALE	PS		VARIOUS
DC	FIDELITY ADV NEW INSIGHTS 3 PURCHASES, 1 SALE	PS		VARIOUS
DC	FIDELITY INTL REAL ESTATE	PS		PURCHASE 1/2/08, SALE 11/6/08
DC	FIDELITY LEVERAGED CO STOCK 2 PURCHASES, 1 SALE	PS		VAROUS
DC	FIDELITY REAL ESTATE INVEST 5 PURCHASES, 1 SALE	PS		VARIOUS
DC	FIDELITY INTL SMALL CAP OPP 2 PURCHASES, 1 SALE	PS		VARIOUS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC,		Type of		
JT	Asset	Transaction	Date	Amount of Transaction
DC	FIDELITY SPART US EQTY IN 4 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	AMERICAN GRW FD OF AMER	S	11/6/08	\$1,001 - \$15,000
DC	ARTIO INTERNATIONAL EQY II 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	DWS DREMAN S/C VALUE FND 3 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	KEELEY SMALL CAP VAL FD 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	LOOMIS SAYLES BOND FUND 9 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	RAINIER MID CAP EQUITY	PS	PURCHASE 1/2/08, 11/6/08	\$1,001 - \$15,000
DC	RS PARTNERS FUND	Ø	11/6/08	\$1,001 - \$15,000
DC	RS VALUE FUND	S	11/6/08	\$1,001 - \$15,000
DC	ROYCE VALUE PLUS FUND 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	COLUMBIA VALUE & RESTRUCT 5 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC,	Asset	Type of Transaction	Date	Amount of Transaction
	Trust FBO Johnathan Lee (2)			
DC	AMERICAN BEACON L/C VALUE- 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	ALLIANCEBERNSTEIN INT VAL 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	COLUMBIA ACORN INTL 3 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
S	RVS MID CAP VALUE FUND	PS	PURCHASE 1/22/08, SALE 11/6/08	\$1,001 - \$15,000
R	ARTISAN MID CAP VALUE FD	PS	PURCHASE 1/2/08, SALE 11/6/08	\$1,001 - \$15,000
DC	WILLIAM BLAIR INTL GROWTH 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	DAVIS NEW YORK VENTURE FD	PS	PURCHASE 1/2/08, SALE 11/6/08	\$15,001 - \$50,000
DC	DODGE & COX INTL STOCK FUND 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	FIDELITY CAPITAL APPREC	S	4/24/08	\$1,001 - \$15,000

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8				
7,00,	Asset	Type of Transaction	Date	Amount of Transaction
R	FIDELITY VALUE FUND	PS	PURCHASE 1/2/08, SALE 11/6/08	\$1,001 - \$15,000
S	FIDELITY EMERGING MARKET FD 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	FIDELITY ADV NEW INSIGHTS 4 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	FIDELITY INTL REAL ESTATE	PS	PURCHASE 1/2/08, SALE 11/6/08	\$1,001 - \$15,000
DC	FIDELITY INTERMEDIATE MUNI 12 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	FIDELITY LEVERAGED CO STOCK 3 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	FIDELITY REAL ESTATE INVEST 4 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	FIDELITY INTL SMALL CAP OPP 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	FIDELITY SHORT INTERM MUNI 11 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	FIDELITY SPART US EQTY 4 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	AMERICAN GRW FD OF AMER 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000

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4 C,	Asset	Type of Transaction	Date	Amount of Transaction
ЭG	ISHARES COHEN & STEERS RLTY	יטי 	1/22/08	\$15,001 - \$50,000
DC	ARTIO INTERNATIONAL EQY II 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	DWS DREMAN S/C VALUE FND 3 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
8	KEELEY SMALL CAP VAL FD-	PS	PURCHASE 1/2/08, SALE 11/6/08	\$15,001 - \$50,000
DC	LAUDUS ROSENB INTERN DISC 2 PURCHASES, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
DC	LOOMIS SAYLES BOND FUND 11 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	THORNBURG LTD TERM MUNICIPAL 10 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
	LOOMIS SAYLES SMALL CAP GROWTH	PS	PURCHASE 1/22/08, SALE 11/6/08	\$1,001 - \$15,000
DC	LAZARD EMERGING MKTS PORT 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
DC	MORGAN STANLEY INS US REAL 4 PURCHASE, 1 SALE	PS	VARIOUS	\$1,001 - \$15,000
000	PIMCO COMMODITY RR STRAT 4 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000

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<del>_</del>	DC	DC	DC	DC	DC	DC	DC	DC	DC	DC	SP, DC, JT
CHARITABLE TRUST FBO CHRISTOPHER J	COLUMBIA VALUE & RESTRUCT 5 PURCHASES, 1 SALE	THORNBURG VALUE FUND 4 PURCHASES, 1 SALE	THORNBURG INTERMED MUNI FD 11 PURCHASES, 1 SALE	THORNBURG INTL VALUE FD 3 PURCHASES, 1 SALE	FIDELITY HIGH INCOME FUND 12 PURCHASES, 1 SALE	ROYCE VALUE PLUS FUND	RS VALUE FUND	RS PARTNERS FUND	RAINIER MID CAP EQUITY 2 PURCHASES, 1 SALE	T ROWE PRICE HIGH YIELD 10 PURCHASES, 1 SALE	Asset
	PS	PS	PS	PS	PS	PS	S	S	PS	PS	Type of Transaction
	VARIOUS	VARIOUS	VARIOUS	VARIOUS	VARIOUS	PURCHASE 1/2/08, SALE 11/6/08	11/6/08	11/6/08	VARIOUS	VARIOUS	Date
	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$1,001 - \$15,000	Amount of Transaction

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Ţ,	Asset	Transaction	Date	Amount of Transaction
	AMERICAN BEACON L/C VALUE 2 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	ALLIANCEBERNSTEIN INT VAL 2 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	COLUMBIA ACORN INTL 3 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
İ	RVS MID CAP VALUE FUND	PS	PURCHASE 1/22/08, SALE 11/6/08	\$15,001 - \$50,000
ļ	ARTISAN MID CAP VALUE FD 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
	WILLIAM BLAIR INTL GROWTH 2 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	DAVIS NEW YORK VENTURE FD 2 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	DODGE & COX INTL STOCK FUND 2 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	DODGE & COX INCOME 5 PURCHASES, 1 SALE	PS	VARIOUS	\$250,001 - \$500,000
	FIDELITY CAPITAL APPREC	S	4/24/08	\$50,001 - \$100,000
	FIDELITY VALUE FUND	PS	PURCHASE 1/2/08, SALE 11/6/08	\$15,001 - \$50,000

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Sp				
JT DC	Asset	Type of Transaction	Date	Amount of Transaction
	FIDELITY EMERGING MARKET 2 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	FIDELITY ADV NEW INSIGHTS 4 PURCHASES, 2 SALES	PS	VARIOUS	\$100,001 - \$250,000
	FIDELITY INTL REAL ESTATE 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
	FIDELITY LEVERAGED CO STOCK 3 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	FIDELITY REAL ESTATE INVEST 5 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	FIDELITY INTL SMALL CAP OPP 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000
	AMERICAN GRW FD OF AMER 2 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	ARTIO INTERNATIONAL EQY 2 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	DWS DREMAN S/C VALUE FND 2 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	KEELEY SMALL CAP VAL FD	PS	PURCHASE 1/2/08, SALE 11/6/08	\$50,001 - \$100,000
į	LAUDUS ROSENB INTERN DISC 2 PURCHASES, 1 SALE	PS	VARIOUS	\$15,001 - \$50,000

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SP,		Type of		
JT	Asset	Transaction	Date	Amount of Transaction
	LOOMIS SAYLES BOND FUND 11 PURCHASES, 2 SALES	PS	VARIOUS	\$250,001 - \$500,000
	LOOMIS SAYLES SMALL CAP GROWTH	PS	PURCHASE 1/22/08, SALE 11/6/08	\$1,001 - \$15,000
	LAZARD EMERGING MKTS PORT 2 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	MASTERS SELECT INTERNATL FD 3 PURCHASES, 2 SALES	PS	VARIOUS	\$15,001 - \$50,000
	MORGAN STANLEY INS US REAL 5 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	MORGAN STANLEY CORE PLUS FIXED INC 3 PURCHASES, 1 SALE	PS	VARIOUS	\$250,001 - \$500,000
	PIMCO COMMODITY RR STRAT 4 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	PIMCO LOW DURATION 10 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	PIMCO TOTAL RETURN 7 PURCHASES, 1 SALE	PS	VARIOUS	\$250,001 - \$500,000
	T ROWE PRICE HIGH YIELD 11 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	RAINIER MID CAP EQUITY 2 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000

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SP, DC,	Asset	Type of Transaction	Date	Amount of Transaction
	RS PARTNERS FUND	S	11/6/08	\$15,001 - \$50,000
	RS VALUE FUND	S	11/6/08	\$15,001 - \$50,000
	ROYCE VALUE PLUS FUND	PS	PURCHASE 1/2/08, SALE 11/6/08	\$15,001 - \$50,000
	FIDELITY HIGH INCOME FUND 12 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	THORNBURG INTL VALUE FD 4 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	THORNBURG VALUE FUND 5 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	COLUMBIA VALUE & RESTRUCT 5 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	VANGUARD SHORT TERM INVESTMENT GRADE 10 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	TRUST FBO CHRISTOPHER J. LEE			
	AMERICAN BEACON L/C VALUE 2 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	ALLIANCEBERNSTEIN INT VAL 2 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000

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 <u>T</u>		<u></u>	2		25		 70	2 C	3 C	SP, DC, JT	ממר וו סוווא ש
FIDELITY EMERGING MARKET FD 2 PURCHASES. 1 SALE	FIDELITY VALUE FUND	FIDELITY CAPITAL APPREC	DODGE & COX INTL STOCK FUND 2 PURCHASES, 1 SALE	DAVIS NEW YORK VENTURE FD	WILLIAM BLAIR INTL GROWTH 2 PURCHASES, 1 SALE	ARTISAN MID CAP VALUE FD	RVS MID CAP VALUE FUND	COLUMBIA ACORN 2 PURCHASES, 1 SALE	COLUMBIA ACORN INTL 3 PURCHASES, 1 SALE	Asset	out, it only a portion of all asset is sold, prease so indicate (i.e., partial safe ). See example below.
PS	PS	S	PS	PS	PS	PS	PS	PS	PS	Type of Transaction	i sale ). See example below.
VARIOUS	PURCHASE 1/2/08, SALE 11/6/08	4/24/08	VARIOUS	PURCHASE 1/2/08, SALE 10/16/08	VARIOUS	PURCHASE 1/2/08, SALE 11/6/08	PURCHASE 1/22/08, SALE 11/6/08	VARIOUS	VARIOUS	Date	į
\$100,001 - \$250,000	\$15,001 - \$50,000	\$100,001 - \$250,000	\$100,001 - \$250,000	\$100,001 - \$250,000	\$100,001 - \$250,000	\$50,001 - \$100,000	\$50,001 - \$100,000	\$50,001 - \$100,000	\$50,001 - \$100,000	Amount of Transaction	

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ļ											SP, DC,	סטיר וו סו
DWS DREMAN S/C VALUE FND 2 PURCHASES, 1 SALE	ARTIO INTERNATIONAL EQY II 2 PURCHASES, 1 SALE	ISHARES COHEN & STEERS RLTY	AMERICAN GRW FD OF AMER 2 PURCHASES, 1 SALE	FIDELITY SPART US EQTY 4 PURCHASES, 1 SALE	FIDELITY INTL SMALL CAP OPP 2 PURCHASES, 1 SALE	FIDELITY REAL ESTATE INVEST 4 PURCHASES, 1 SALE	FIDELITY LEVERAGED CO STOCK 3 PURCHASES, 1 SALE	FIDELITY INTERMEDIATE MUNI 11 PURCHASES, 1 SALE	FIDELITY INTL REAL ESTATE	FIDELITY ADV NEW INSIGHTS 4 PURCHASES, 1 SALE	Asset	out. II only a portion of an asset is sofu, please so indicate (i.e., pardarsale ). See example below
PS	PS	Р	PS	PS	PS	PS	PS	PS	PS	PS	Type of Transaction	ruai sale j. See example below.
VARIOUS	VARIOUS	1/22/08	VARIOUS	VARIOUS	VARIOUS	VARIOUS	VARIOUS	VARIOUS	PURCHASE 1/2/08, SALE 11/6/08	VARIOUS	Date	
\$50,001 - \$100,000	\$100,001 - \$250,000	\$100,001 - \$250,000	\$100,001 - \$250,000	\$100,001 - \$250,000	\$50,001 - \$100,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$50,001 - \$100,000	\$15,001 - \$50,000	\$250,001 - \$500,000	Amount of Transaction	

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SP,				
JT JT	Asset	Type of Transaction		Date
	KEELEY SMALL CAP VAL FD	PS	PURC 1/2/08 11/6/0	PURCHASE 1/2/08, SALE 11/6/08
	LAUDUS ROSENB INTERN DISC 2 PURCHASES, 1 SALE	PS	VARIOUS	ous
	LOOMIS SAYLES BOND FUND 10 PURCHASES, 1 SALE	PS	Ş AR	VARIOUS
	LOOMIS SAYLES SMALL CAP GROWTH	PS	PUI 1/2:	PURCHASE 1/22/08, SALE 11/6/08
	LAZARD EMERGING MKTS PORT 2 PURCHASES, 1 SALE	PS	× <sub>A</sub>	VARIOUS
	MASTERS SELECT INTERNATL 3 PURCHASES, 2 SALES	PS	Ş	VARIOUS
	MORGAN STANLEY INS US REAL 4 PURCHASES, 1 SALE	PS	S <sub>A</sub>	VARIOUS
	PIMCO COMMODITY RR STRAT 4 PURCHASES, 1 SALE	PS	<u></u>	VARIOUS
	T ROWE PRICE HIGH YIELD 10 PURCHASES, 1 SALE	PS	\$	VARIOUS
	RAINIER MID CAP EQUITY 2 PURCHASES, 1 SALE	PS	<b>\$</b>	VARIOUS
	RS PARTNERS FUND	S	10	10/16/08

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   				_
SP, DC,		Type of		
٦	Asset	Transaction	Date	Amount of Transaction
	RS VALUE FUND	S	11/6/08	\$50,001 - \$100,000
	FIDELITY HIGH INCOME FUND 11 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	THORNBURG INTL VALUE FD 4 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	THORNBURG INTERMED MUNI FD 10 PURCHASES, 1 SALE	PS	VARIOUS	\$50,001 - \$100,000
	THORNBURG VALUE FUND 4 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	COLUMBIA VALUE & RESTRUCT 5 PURCHASES, 1 SALE	PS	VARIOUS	\$100,001 - \$250,000
	Trust FBO Johnathan Lee (1)- INTEREST IN LEE FAMILY CAPITAL LLC			
DC	IPATH DOW JONES AIG COMMODITY	ס	11/6/08	\$1,001 - \$15,000
DC	ISHARES COHEN & STEERS RLTY	ס	11/6/08	\$1,001 - \$15,000
DC	ISHARES RUSSELL 3000 INDEX	ס   	11/6/08	\$1,001 - \$15,000
DC	ISHARES MSCI ACWI EX US INDEX	ָנסר	11/6/08	\$1,001 - \$15,000

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SP, DC,		Type of		
JŢ	Asset	Transaction	Date	Amount of Transaction
DC	NEW YORK ST EVNRMNTL FACS CORP BOND	ָ ן	12/29/2008	\$1,001 - \$15,000
DC	OREGON HLTH SCIENCES UNIV BOND	<b>י</b>	12/26/2008	\$1,001 - \$15,000
DC	NEW YORK NY TRNSL FIN AUTH BOND	PS	PURCHASED 12/24/2008, SOLD 12/31/08	\$1,001 - \$15,000
DC	NEW YORK NY HLTH & HOSP CORP BOND	סר	12/23/2008	\$1,001 - \$15,000
DC	CALIFORNIA STATEWIDE CDA BOND	<b>o</b>	12/18/2008	\$1,001 - \$15,000
DC	WEST VIRGINIA ECON DEV AUTH SO BOND	ָ ו	12/18/2008	\$1,001 - \$15,000
DC	NEW YORK ST TWY AUTH SPL TAX BOND	<b>ס</b> ר	12/16/2008	\$1,001 - \$15,000
DC	NY ENVIRONMENTAL NYC MUNI WTR BOND	<b>ס</b> ־	12/16/2008	\$1,001 - \$15,000
DC	PUERTO RICO COMWLTH BOND		12/12/2008	\$1,001 - \$15,000
DC	PUERTO RICO COMWLTH BOND	ט	12/11/2008	\$1,001 - \$15,000
DC	LONG ISLAND PWR AUTH N Y ELEC BOND	ָ ס־ 	12/4/2008	\$1,001 - \$15,000

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SP, DC,		Type of		
J	Asset	Transaction	Date	Amount of Transaction
DC	NEW YORK N Y G/O BOND	ט	12/3/2008	\$1,001 - \$15,000
DC	NEW YORK NY TRNSL FIN AUTH BOND	סי	12/2/2008	\$1,001 - \$15,000
R	TRIBOROUGH BRDG & TUNL AUTH NY BOND	ַ	12/1/2008	\$1,001 - \$15,000
DC	CHICAGO IL TRNSP AUTH FED GAN BOND	<b>ס</b> ד	11/21/2008	\$1,001 - \$15,000
DC	MUNI ELECTRIC AUTH OF GA BOND	ס	11/20/2008	\$1,001 - \$15,000
DC	OHIO AIR QUALITY DEV AUTH BOND	- ס -	11/20/2008	\$1,001 - \$15,000
DC	Summit Rock Strategic Fixed Income LP	<b>סד</b>	10/23/08	\$1,001 - \$15,000
DC	Summit Rock Select Equity LP	סד	10/23/08	\$1,001 - \$15,000
DC	Summit Rock Hedged Equity LP	ק	10/23/08	\$1,001 - \$15,000
DC	Summit Rock Diversified Strategies LP	ן <b>סר</b>   	11/17/08	\$1,001 - \$15,000
DC	Summit Rock Real Assets LP	<b>ט</b> ד	11/10/08	\$1,001 - \$15,000

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SP, DC,		Type of	7	Amount of Transaction
DC	Summit Rock Private Equity LP	י <b>ס</b> ר	11/5/08	\$1,001 - \$15,000
	Trust FBO Johnathan Lee (2)- INTEREST IN LEE FAMILY CAPITAL LLC			
DC	IPATH DOW JONES AIG COMMODITY	יסי ו	11/6/2008	\$1,001 - \$15,000
DC	ISHARES COHEN & STEERS RLTY	סר	11/6/2008	\$15,001 - \$50,000
DC	ISHARES RUSSELL 3000 INDEX	ס	11/6/2008	\$100,001 - \$250,000
DC	ISHARES MSCI ACWI EX US INDEX	ָ <b>ט־</b>	11/6/2008	\$50,001 - \$100,000
DC	NEW YORK ST EVNRMNTL FACS CORP BOND	יס	12/29/2008	\$1,001 - \$15,000
DC	OREGON HLTH SCIENCES UNIV BOND	ס	12/26/2008	\$1,001 - \$15,000
DC	NEW YORK NY TRNSL FIN AUTH BOND	<b>ס</b>	12/24/2008	\$1,001 - \$15,000
DC	NEW YORK NY HLTH & HOSP CORP BOND	ן       	12/23/2008	\$1,001 - \$15,000
DC	CALIFORNIA STATEWIDE CDA BOND	טר	12/18/2008	\$1,001 - \$15,000

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DC,		Type of	2	
3	WITCH VIDOW TOOK ALTERO TOOK	1	40/40/2008	\$1 001 \$15 000
DC	WEST VIRGINIA ECON DEV AUTH SO BOND	U	12/18/2008	\$1,001 - \$15,000
DC	NEW YORK ST TWY AUTH SPL TAX BOND	<b>ס</b>	12/16/2008	\$1,001 - \$15,000
DC	NY ENVIRONMENTAL NYC MUNI WTR BOND	ַּ	12/16/2008	\$1,001 - \$15,000
DC	PUERTO RICO COMWLTH BOND	יסי	12/12/2008	\$1,001 - \$15,000
DC	PUERTO RICO COMWLTH BOND	ּס	12/11/2008	\$1,001 - \$15,000
DC	LONG ISLAND PWR AUTH N Y ELEC BOND	ָּטר	12/4/2008	\$1,001 - \$15,000
DC	NEW YORK N Y G/O BOND	<b>י</b> ם	12/3/2008	\$1,001 - \$15,000
DC	NEW YORK NY TRNSL FIN AUTH BOND	סד	12/2/2008	\$1,001 - \$15,000
C	TRIBOROUGH BRDG & TUNL AUTH NY BOND	ן סד	12/1/2008	\$1,001 - \$15,000
DC	CHICAGO IL TRNSP AUTH FED GAN BOND	<b>סד</b>	11/21/2008	\$1,001 - \$15,000
DC	MUNI ELECTRIC AUTH OF GA BOND	ט	11/20/2008	\$1,001 - \$15,000

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SP.				_
JT ,	Asset	Type of Transaction		Date
DC	OHIO AIR QUALITY DEV AUTH BOND	ק ן	11/	11/20/2008
DC	Summit Rock Strategic Fixed Income LP	ם-	10	10/23/08
DC	Summit Rock Select Equity LP	ס	<del>=</del>	10/23/08
DC	Summit Rock Hedged Equity LP	סר		10/23/08
DC	Summit Rock Diversified Strategies LP	סד		11/17/08
DC	Summit Rock Real Assets LP	<b>ס</b>		11/10/08
DC	Summit Rock Private Equity LP	טר		11/5/08
	TRUST FBO CHRISTOPHER J LEE - INTEREST IN LEE FAMILY CAPITAL LLC			
	IPATH DOW JONES AIG COMMODITY	70		11/6/2008
	ISHARES COHEN & STEERS RLTY	ס		11/6/2008
	ISHARES RUSSELL 3000 INDEX	ס		11/6/2008

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SP, DC,		Type of		
٤	Asset	Iransaction	Date	Amount of Transaction
	ISHARES MSCI ACWI EX US INDEX	P	11/6/2008	\$500,001 - \$1,000,000
	NEW YORK ST EVNRMNTL FACS CORP BOND	ָּס	12/29/2008	\$15,001 - \$50,000
	OREGON HLTH SCIENCES UNIV BOND	ס	12/26/2008	\$1,001 - \$15,000
I I	NEW YORK NY TRNSL FIN AUTH BOND	PS	Purchase 12/24/2008, Sale 12/31/08	\$1,001 - \$15,000
	NEW YORK NY HLTH & HOSP CORP BOND	9	12/23/2008	\$15,001 - \$50,000
	CALIFORNIA STATEWIDE CDA BOND	ס	12/18/2008	\$1,001 - \$15,000
	WEST VIRGINIA ECON DEV AUTH SO BOND	<b>ס</b> ר	12/18/2008	\$1,001 - \$15,000
	NEW YORK ST TWY AUTH SPL TAX BOND	ָּטר	12/16/2008	\$15,001 - \$50,000
	NY ENVIRONMENTAL NYC MUNI WTR BOND	ן <b>סר</b>	12/16/2008	\$15,001 - \$50,000
	PUERTO RICO COMWLTH BOND	<b>טר</b>	12/12/2008	\$1,001 - \$15,000
	PUERTO RICO COMWLTH BOND	ס	12/11/2008	\$1,001 - \$15,000

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SP,		Type of		
JT	Asset	Transaction	Date	Amount of Transaction
	LONG ISLAND PWR AUTH N Y ELEC BOND	ם	12/4/2008	\$15,001 - \$50,000
Z	NEW YORK N Y G/O BOND	ס	12/3/2008	\$15,001 - \$50,000
Z	NEW YORK NY TRNSL FIN AUTH BOND	<b>ס</b>	12/2/2008	\$15,001 - \$50,000
굮	TRIBOROUGH BRDG & TUNL AUTH NY BOND	סר	12/1/2008	\$15,001 - \$50,000
오	CHICAGO IL TRNSP AUTH FED GAN BOND	ּס	11/21/2008	\$15,001 - \$50,000
M.	MUNI ELECTRIC AUTH OF GA BOND		11/20/2008	\$15,001 - \$50,000
우	OHIO AIR QUALITY DEV AUTH BOND	סד	11/20/2008	\$1,001 - \$15,000
Su	Summit Rock Strategic Fixed Income LP	<b>ס</b> ד	10/23/08	\$50,001 - \$100,000
Su	Summit Rock Select Equity LP	<b>ס</b>	10/23/08	\$100,001 - \$250,000
Su	Summit Rock Hedged Equity LP	ָ סד   	10/23/08	\$250,001 - \$500,000
Su	Summit Rock Diversified Strategies LP	ס	11/17/08	\$500,001 - \$1,000,000

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SP, DC,	Asset	Type of Transaction	Date	Amount of Transaction
	Summit Rock Real Assets LP	P	11/10/08	\$50,001 - \$100,000
	Summit Rock Private Equity LP	ָּד <b>ס</b>	11/5/08	\$100,001 - \$250,000
	CHARITABLE TRUST FBO CHRISTOPHER J LEE -INTEREST IN LEE CHARITABLE CAPITAL LLC			
-	IPATH DOW JONES AIG COMMODITY 1 PURCHASE, 2 SALES	PS(part)	VARIOUS	\$50,001 - \$100,000
	ISHARES COHEN & STEERS RLTY 1 PURCHASE, 2 SALES	PS(part)	VARIOUS	\$100,001 - \$250,000
	ISHARES RUSSELL 3000 INDEX 1 PURCHASE, 2 SALES	PS(part)	VARIOUS	\$1,000,001 - \$5,000,000
	ISHARES MSCI ACWI EX US INDEX 1 PURCHASE, 2 SALES	PS(part)	VARIOUS	\$500,001 - \$1,000,000
!	NEW YORK ST EVNRMNTL FACS CORP	ס	12/29/2008	\$15,001 - \$50,000
	NEW YORK NY TRNSL FIN AUTH	יס ו	12/24/2008	\$15,001 - \$50,000
	NEW YORK NY HLTH & HOSP CORP	ס	12/23/2008	\$15,001 - \$50,000
	CALIFORNIA STATEWIDE CDA	ס	12/18/2008	\$1,001 - \$15,000

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC,	Asset	Type of Transaction	Date	Amount of Transaction
	WEST VIRGINIA ECON DEV AUTH SO	ס	12/18/2008	\$1,001 - \$15,000
	NEW YORK ST TWY AUTH SPL TAX	סר	12/16/2008	\$15,001 - \$50,000
	NY ENVIRONMENTAL NYC MUNI WTR	ס	12/16/2008	\$15,001 - \$50,000
!	NY ST TWY AUTH ST PERS	דס	12/16/2008	\$15,001 - \$50,000
	PUERTO RICO COMWLTH	70	12/11/2008	\$15,001 - \$50,000
	LONG ISLAND PWR AUTH N Y ELEC	70	12/4/2008	\$15,001 - \$50,000
	NEW YORK N Y G/O	ס	12/3/2008	\$15,001 - \$50,000
	NEW YORK NY TRNSL FIN AUTH	<b>ס</b>	12/2/2008	\$15,001 - \$50,000
	TRIBOROUGH BRDG & TUNL AUTH NY	<b>ס</b>	12/1/2008	\$15,001 - \$50,000
Í	CHICAGO IL TRNSP AUTH FED GAN	<b>ס</b>	11/21/2008	\$15,001 - \$50,000
	MUNI ELECTRIC AUTH OF GA	טר	11/20/2008	\$15,001 - \$50,000

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7, C, S	Asset	Type of Transaction	Date	Amount of Transaction
	OHIO AIR QUALITY DEV AUTH	ָּט	11/20/2008	\$1,001 - \$15,000
	Summit Rock Strategic Fixed Income LP	ט	12/22/08	\$15,001 - \$50,000
	Summit Rock Select Equity LP	ס	12/22/08	\$100,001 - \$250,000
	Summit Rock Hedged Equity LP	ס	12/22/08	\$100,001 - \$250,000
	Summit Rock Diversified Strategies LP	ਹ	12/22/08	\$100,001 - \$250,000