

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Mr. John A. Yarmuth

Status: Member State/District: KY03

FILING INFORMATION

Filing Type: Amendment Report

Filing Year: 2013

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SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Absolute Strategies Fund-I	\$100,001 - \$250,000	Dividends	\$1 - \$200	V
Accenture plc. Class A Ordinary Shares (ACN)	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	V
Almost Family Inc (AFAM)	\$1,000,001 - \$5,000,000	None		П
American Express Company (AXP)	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	V
Apple Inc. (AAPL)	\$1,001 - \$15,000	Dividends	\$1 - \$200	П
AT&T Inc. (T)	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<u>~</u>
Automatic Data Processing, Inc. (ADP)	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	V
Berkshire Hathaway Inc. New (BRK.B)	\$1,001 - \$15,000	None		~

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chubb Corporation (CB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	✓
Citigroup, Inc. (C)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Coca-Cola Company (KO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	▽
Deere & Company (DE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Eaton Vance Mutual Funds		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	✓
Ecolab Inc. (ECL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	▽
Federal Treasury Obligations Fund		\$1,001 - \$15,000	Interest	\$1 - \$200	П
Federal Treasury Obligations Fund		\$1,001 - \$15,000	Interest	\$1 - \$200	П
Fifth Third checking account		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	
General Electric Company (GE)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	✓
Google Inc Class C Capital Stock (GOOG)		\$1,001 - \$15,000	None		▽
Home Depot, Inc. (HD)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	✓
Honeywell International Inc. (HON)		\$1,001 - \$15,000	Dividends	\$1 - \$200	▽
Intel Corporation (INTC)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	~
International Business Machines Corporation (IBM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	✓
JP Morgan Chase & Co. (JPM)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	▽
McDonald's Corporation (MCD)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	~

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Microsoft Corporation (MSFT)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	✓
NextEra Energy, Inc. (NEE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Northwestern Mutual annuity		\$100,001 - \$250,000	None		П
Oppenheimer Developing Markets		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<u>~</u>
Oracle Corporation (ORCL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<u>~</u>
Penn Mutual insurance policy		\$100,001 - \$250,000	None		П
Pepsico, Inc. (PEP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<u> </u>
PNC checking account		\$1,001 - \$15,000	Interest	\$1 - \$200	П
Praxair, Inc. (PX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	П
Procter & Gamble Company (PG)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	▽
Rental property Location: Doonbeg, IE		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	П
Republic Bank checking account		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	П
Samuel Klein Trust		Undetermined	Excepted/Blind Trust	\$5,001 - \$15,000	
Description: Beneficiary of trust established by grand	dparents f	or education			
Sonny's Barbeque, Orlando FL DESCRIPTION: Interest in subchapter S corporation		\$5,000,001 - \$25,000,000	Royalties and income	\$1,000,001 - \$5,000,000	П
SPDR Select Sector Fund - Energy Select Sector (XLE)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SPDR Select Sector Fund - Health Care (XLV)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	V
Starbucks Corporation (SBUX)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<u>~</u>
T Rowe High Yield		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<u>~</u>
TCW Funds		\$1,001 - \$15,000	Interest	\$201 - \$1,000	
TJX Companies, Inc. (TJX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Touchstone Small Cap Core Fund		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	~
UBS E-Tracs Alerian MLP Infrastructure		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<u>~</u>
United Technologies Corporation (UTX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	▽
Vanguard REIT ETF		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	~
Walgreen Co. (WAG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	▽

SCHEDULE B: TRANSACTIONS

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Absolute Strategies Fund	08/12/2013	P	\$1,001 - \$15,000	
Accenture plc. Class A Ordinary Shares (ACN)	08/14/2013	S (partial)	\$1,001 - \$15,000	
Accenture plc. Class A Ordinary Shares (ACN)	09/16/2013	S (partial)	\$1,001 - \$15,000	
American Express Company (AXP)	08/14/2013	S (partial)	\$1,001 - \$15,000	П
Apple Inc. (AAPL)	08/14/2013	S	\$1,001 - \$15,000	

Asset	Owner Date	Type	Amount	Cap. Gains > \$200?
		(partial)		
AT&T Inc. (T)	08/14/2013	S (partial)	\$1,001 - \$15,000	П
Automatic Data Processing, Inc. (ADP)	08/14/2013	P	\$1,001 - \$15,000	
Automatic Data Processing, Inc. (ADP)	08/29/2013	P	\$1,001 - \$15,000	
Automatic Data Processing, Inc. (ADP)	09/16/2013	S (partial)	\$1,001 - \$15,000	▽
Berkshire Hathaway Inc. (BRK.A)	05/8/2013	P	\$1,001 - \$15,000	
Berkshire Hathaway Inc. New (BRK.B)	09/16/2013	S (partial)	\$1,001 - \$15,000	▽
Caterpillar, Inc. (CAT)	05/8/2013	P	\$1,001 - \$15,000	
Chubb Corporation (CB)	09/16/2013	S (partial)	\$1,001 - \$15,000	▽
Chubb Corporation (CB)	05/8/2013	P	\$1,001 - \$15,000	
Citigroup, Inc. (C)	08/22/2013	P	\$1,001 - \$15,000	
Citigroup, Inc. (C)	08/14/2013	S (partial)	\$1,001 - \$15,000	<u> </u>
Citigroup, Inc. (C)	09/16/2013	P	\$1,001 - \$15,000	
Coca-Cola Company (KO)	08/14/2013	S (partial)	\$1,001 - \$15,000	П
Eaton Vance Mutual Funds	08/21/2013	P	\$15,001 - \$50,000	
Ecolab Inc. (ECL)	08/14/2013	S (partial)	\$1,001 - \$15,000	П
Europacific Growth Fund	08/12/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Europacific Growth Fund		09/12/2013	P	\$1,001 - \$15,000	
General Electric Company (GE)		08/14/2013	S (partial)	\$1,001 - \$15,000	✓
Google Inc Class C Capital Stock (GOOG)		08/14/2013	P	\$1,001 - \$15,000	
Home Depot, Inc. (HD)		08/14/2013	P	\$1,001 - \$15,000	
Home Depot, Inc. (HD)		05/8/2013	P	\$1,001 - \$15,000	
Honeywell International Inc. (HON)		08/14/2013	S (partial)	\$1,001 - \$15,000	▽
Honeywell International Inc. (HON)		09/16/2013	S (partial)	\$1,001 - \$15,000	V
Honeywell International Inc. (HON)		05/8/2013	P	\$1,001 - \$15,000	
Intel Corporation (INTC)		08/14/2013	P	\$1,001 - \$15,000	
Intel Corporation (INTC)		09/16/2013	S (partial)	\$1,001 - \$15,000	∀
International Business Machines Corporation (IBM)		08/29/2013	S (partial)	\$1,001 - \$15,000	
JP Morgan Chase & Co. (JPM)		08/23/2013	P	\$1,001 - \$15,000	
JP Morgan Chase & Co. (JPM)		08/14/2013	S (partial)	\$1,001 - \$15,000	П
McDonald's Corporation (MCD)		08/14/2013	S (partial)	\$1,001 - \$15,000	
McDonald's Corporation (MCD)		05/8/2103	P	\$1,001 - \$15,000	
Microsoft Corporation (MSFT)		08/14/2013	S (partial)	\$1,001 - \$15,000	
Oppenheimer Developing Markets		04/2/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Oppenheimer International Bond fund		08/21/2013	S (partial)	\$1,001 - \$15,000	П
Oppenheimer International Bond Fund		04/2/2013	P	\$1,001 - \$15,000	
Oracle Corporation (ORCL)		08/14/2013	P	\$1,001 - \$15,000	
Oracle Corporation (ORCL)		08/29/2013	P	\$1,001 - \$15,000	
Oracle Corporation (ORCL)		09/16/2013	S (partial)	\$1,001 - \$15,000	П
Pepsico, Inc. (PEP)		08/14/2013	P	\$1,001 - \$15,000	
Pepsico, Inc. (PEP)		09/16/2013	S (partial)	\$1,001 - \$15,000	
Pimco Real Return Fund		04/2/2013	S	\$1,001 - \$15,000	
Procter & Gamble Company (PG)		09/16/2013	S (partial)	\$1,001 - \$15,000	П
SPDR Gold Trust (GLD)		04/4/2013	S	\$1,001 - \$15,000	
SPDR Select Sector Fund - Energy Select Sector (XLE)		08/14/2013	S (partial)	\$1,001 - \$15,000	~
SPDR Select Sector Fund - Health Care (XLV)		08/14/2013	S (partial)	\$1,001 - \$15,000	▽
Starbucks Corporation (SBUX)		08/14/2013	S (partial)	\$1,001 - \$15,000	▽
Starbucks Corporation (SBUX)		09/16/2013	S (partial)	\$1,001 - \$15,000	▽
Starbucks Corporation (SBUX)		05/8/2013	P	\$1,001 - \$15,000	
T Rowe High Yield Fund		08/20/2013	S (partial)	\$1,001 - \$15,000	П

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
TCW Funds Emerging Markets Income Fund	04/2/2013	P	\$1,001 - \$15,000	
TJX Companies, Inc. (TJX)	08/14/2013	S (partial)	\$1,001 - \$15,000	
TJX Companies, Inc. (TJX)	09/16/2013	S (partial)	\$1,001 - \$15,000	<u>~</u>
Touchstone Small Cap Core Fund	08/12/2013	P	\$1,001 - \$15,000	
Touchstone Small Cap Core Fund	09/12/2013	P	\$1,001 - \$15,000	
UBS E-Tracs Alerian MLP Infrastructure	08/23/2013	P	\$15,001 - \$50,000	
UBS E-Tracs Alerian MLP Infrastructure	09/16/2013	P	\$1,001 - \$15,000	
United Technologies Corporation (UTX)	05/8/2013	P	\$1,001 - \$15,000	
US Bancorp Del DEPOSITARY SH S G (USB\$N)	08/14/2013	S	\$1,001 - \$15,000	
Vanguard REIT ETF	08/14/2013	P	\$1,001 - \$15,000	
Yum! Brands, Inc. (YUM)	05/8/2013	S	\$1,001 - \$15,000	

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Туре	Amount of Liability
Ulster Bank of Scotland	June 2005	Mortgage on rental property in Ireland	\$250,001 - \$500,000
Fifth Third Bank	December 2011	Mortgage loan on Louisville KY property	\$250,001 - \$500,000
Fifth Third Bank	March 2012	Demand note	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Director	First Tee of Louisville
Director Emeritus	Kentucky Golf Association

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

© Yes © No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

∇es No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ○ No

COMMENTS

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. John A. Yarmuth, 08/28/2014