



Filing ID #10001103

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Ms. Rosa L. DeLauro  
**Status:** Member  
**State/District:** CT03

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2013  
**Filing Date:** 05/14/2014

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
American Express FX Fee Litigation Settlement Fd	SP	None	Litigation Proceeds	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 2013 Settlement from American Express for foreign currency fee litigation.					
Bank of America	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Greenberg Quinlan Rosner Research Inc: Polling/Consulting, 67% Interest	SP	\$5,000,001 - \$25,000,000	None		<input type="checkbox"/>
LOCATION: Washington, DC, US DESCRIPTION: Greenberg Quinlan Rosner Research Inc - Ownership value based on an independent business valuation.					
Greenberg Research Inc: Strategic Consulting, 100% Interest	SP	\$1 - \$1,000	None		<input type="checkbox"/>
LOCATION: Washington, DC, US DESCRIPTION: Greenberg Research Inc.-Ownership value based on an independent business valuation.					
John Hancock Retirement Lifecycle 2015(EIF)	SP	\$100,001 - \$250,000	Tax-Deferred	Not Applicable	<input checked="" type="checkbox"/>
Liberty Bank Checking	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Liberty Bank Escrow	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Mass Mutual Whole Life Insurance Policy	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
ML-PIMCO Total Return Fund(EIF)	JT	None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
Pacific Life Universal Life Insurance Policy	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Sun Surveys LLC: Phone Surveys, 60% Interest	SP	None	None		<input type="checkbox"/>
LOCATION: Miami, FL, US DESCRIPTION: The LLC was terminated in 2013.					
TIAA CREF Retirement:CREF Money Market	SP	\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<input checked="" type="checkbox"/>
TIAA CREF Retirement:CREF Stock(EIF)		\$100,001 - \$250,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
TIAA CREF Retirement:TIAA Traditional(EIF)	SP	\$50,001 - \$100,000	Tax-Deferred	Not Applicable	<input checked="" type="checkbox"/>
TIAA CREF Retirement:TIAA Tradtional(EIF)		\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
United Bank Checking	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
United Bank Checking		\$1 - \$1,000	None		<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
John Hancock Retirement Lifecycle 2015(EIF)	SP	Bi-weekly	P	\$15,001 - \$50,000	
ML-PIMCO Total Return Fund(EIF)	JT	01/16/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
TIAA CREF Retirement:CREF Money Market	SP	Quarterly	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TIAA CREF Retirement:TIAA Traditional(EIF)	SP	Quarterly	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

**SCHEDULE C: EARNED INCOME**

Source	Type	Amount
Greenberg Quinlan Rosner Research Inc.	Spouse Salary	N/A
Politico LLC	Spouse Fee	N/A

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	TIAA CREF Carol Stream, IL 60197	12/15/2009 and 8/4/2010	Loans	\$15,001 - \$50,000
SP	Bank of America Wilmington, DE 29886	2009	Loan	\$50,001 - \$100,000
SP	John Hancock	9/24/2010	Loan	\$15,001 - \$50,000
JT	Liberty Bank Middleton, CT	1/8/2011	Mortgage on Personal Residence New Haven, CT	\$500,001 - \$1,000,000
JT	Bank of America Wilmington, DE	7/29/1999	Mortgage on Personal Residence Washington, DC	\$500,001 - \$1,000,000
JT	Diners Club Des Moines, IA 50368	12/31/2013	Revolving Charge Account	\$15,001 - \$50,000
SP	Card Services Philadelphia, PA 19101	12/31/2013	Revolving Charge Account	\$15,001 - \$50,000

**SCHEDULE E: POSITIONS**

Position	Name of Organization
Honorary Board Member	Women's Campaign School at Yale University
Honorary Board Member	Special Olympics
Honorary Board Member	Sisters Journey
National Advisory Board	Roosevelt Institution
National Advisory Board	National Research Center for Women & Families

<b>Position</b>	<b>Name of Organization</b>
Member	CT International Women's Forum
Honorary Board Member	Women's Health Research at Yale
Distinguished Board Member	National Organization of Italian American Women
Board of Trustees	Kennedy Center
Board of Directors(ex-officio)	The Governor's Prevention Partnership
Honorary Board of Directors	Cancer Schmancer Movement
Congressional Advisory Council	The Faith and Politics Institute
Advisory Board	The Perry House: Doorway to Stratford
Member	Italian American Historical Society of CT
Member	NAACP
Member	Delta Kappa Gamma Society(Honor Society of Women Educators)
Life Member	Hadassah, Wapawuag,CT Valley-West Rock Chapter
Honorary Board Member	The Center for WorkLife Law (WLL)
Honorary Member, Advisory Committee	Diapers for Older Children with Special Healthcare Needs
Honorary Baord	National Women's History Museum
Honorary Board	National Student Leadership Conference
Honorary Member, Advisory Committee	Diaper Bank

## **SCHEDULE F: AGREEMENTS**

None disclosed.

## **SCHEDULE G: GIFTS**

None disclosed.

## **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Ms. Rosa L. DeLauro , 05/14/2014