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U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES		FORM B	
FINANCIAL DISCLOSURE STATEMENT		For New Members, Candidates, and New Employees	
Name: <u>Subcommittee Reijo Kishanwarthi</u> Daytime Telephone: <u>312-371-8898</u>			
FILER STATUS	<input checked="" type="checkbox"/> New Member of or Candidate for U.S. House of Representatives	State: <u>IL</u> District: <u>8</u>	<input type="checkbox"/> Check if Amendment
	<input type="checkbox"/> Candidates - Date of Election		
New Officer or Employee	<input type="checkbox"/> Employing Office	Staff Filer Type (If Applicable): <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant	Period Covered: January 1, <u>2016</u> to <u>December 31, 2016</u>
A \$200 penalty shall be assessed against any individual who files more than 30 days late.			

(Office Use Only)

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>OR</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"  
THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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Name: S. Raja Krishnamoorthy

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Use additional sheets if more space is required.

Name: S. Raja Krishnamoorthi Page 5 of 9

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**Use additional sheets if more space is required.**

Name: S. Raia Krishnamoorthi Page 7 of 9

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# SCHEDULE D -- LIABILITIES

Name: S. Raja Krishnamoorthi

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. New Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. Column K is for liabilities held solely by your spouse or dependent child.

SP or DC	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
	Example: First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
	Sierra Pacific	8/12	Home equity mortgage on 918 Lafayette St, San Francisco, CA 94109				X							
	Mobistar	7/12	Home equity mortgage on 3100 N. Clark, Chicago, IL 60637				X							
SR	Fidelity Securities	7/00	Medical School loans				X							
JT	CITIZENS OFC	6/13	Home Mortgage					X						

## SCHEDULE E -- POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report positions held in the reporting period and the current calendar year. First-year candidates and new employees report positions held in the current calendar year and two previous years.

Position	Name of Organization
President	Siemenshan Laboratories, Inc.
President, Board member	Egisensor, Inc.
President, Board member	Epsidac, Inc.
President, Board member	Toshiba Foundation
Advisory Board member	HealthPass, LLC

Use additional sheets if more space is required.



# SCHEDULE F - AGREEMENTS

Name: S. Raja Krishnamoorthi Page 9 of 9

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
Ongoing	State of Illinois Defined Contribution Plan	Through 3 no longer make any contributions to the plan, I am still a participant.
Ongoing	Kirkland & Ellis LLP - Randolph Street Investment Partners LP, 7007 PEG	As of my last contribution, I am not fully vested in the plan, I am still a participant. Although I no longer make any contributions to the plan, I am still a participant. Due to my past contributions, which amount to \$1,023.96, I am still a participant.

## SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

Source (Name and City/State)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting Services
NONE	NONE

**- S. Raja Krishnamoorthi Reddier IRA LC Capital  
App Taxable**

	Open Date	Units	Cost Basis	Amortization Acquired	Market Value	Short Term Gain	Long Term Gain	Total Gain	% Loss
SHOX	12/3/2015	320.491	2,548.28	0.00	3,073.51	505.83	19.40	525.23	20.61
AMZN	1/14/2014	117.279	1,060.29	0.00	1,315.33	126.03	128.99	255.04	24.05
ASAX	11/6/2014	1,828.170	15,173.25	0.00	17,377.12	427.92	1,775.94	2,203.87	14.52
BSBX	11/6/2014	2,457.425	22,879.80	0.00	23,738.73	379.74	379.38	759.13	3.30
DFEX	11/6/2014	615.015	10,911.21	0.00	10,676.66	372.45	-607.00	-234.55	-2.15
DFEX	11/6/2014	1,553.502	23,810.58	0.00	26,828.98	1,493.29	1,525.10	3,018.40	12.68
DFEX	11/6/2014	287.309	5,048.36	0.00	6,320.80	121.79	1,150.65	1,272.44	25.20
DFEX	11/6/2014	3,268.046	53,986.88	0.00	62,990.66	2,322.85	6,688.84	9,003.68	16.68
DFEX	12/20/2016	1,632.410	1,632.41	0.00	1,632.41	0.00	0.00	0.00	0.00
DFEX	9/26/2016	113.225	1,284.86	0.00	1,282.46	-22.40	0.00	-22.40	-1.74
DFEX	3/31/2015	423.959	5,010.04	0.00	5,218.88	175.29	33.55	208.84	4.17
DFEX	11/6/2014	763.179	5,134.85	0.00	5,321.18	201.97	-95.86	106.31	3.63
DFEX	12/14/2016	126.900	878.15	0.00	884.61	6.46	0.00	6.46	0.74
DFEX	11/6/2014	116.001	1,635.14	0.00	1,793.45	76.06	82.25	158.31	9.68
DFEX	9/26/2016	221.754	2,143.01	0.00	2,209.85	66.84	0.00	66.84	3.12
DFEX	8/31/2015	381.234	4,603.89	0.00	5,387.45	545.45	238.11	783.56	17.02

Unrealized Gain Loss Summary

Taxable	Roth IRA LC Capital Appreciation	Open Date	Units	Cost Basis	Amortization Accretion	Market Value	Short Term Gain	Long Term Gain	Total Gain	% Gain Loss
SHOX	AMERICAN BEACON SM HIGH YLD OPS INSTL	12/29/2015	40.214	320.33	0.00	385.65	62.29	3.03	65.32	20.39
ANGX	ANGEL OAK MULTI-STRATEGY INCOME INSTL	11/6/2014	14.709	141.04	0.00	164.96	15.71	8.20	23.92	16.96
ASMX	AQR MULTI STRIGY ALTERNATIVE CL I	11/6/2014	229.502	1,889.31	0.00	2,180.27	53.71	237.25	290.96	15.40
BSBX	BAIRD FDS INC SWRT TRM BD I	11/6/2014	306.306	2,660.53	0.00	2,957.95	47.39	58.03	97.42	3.41
DFCX	DFA EMERGING MARKETS CORE EQUITY PORTFOLIO	11/6/2014	77.164	1,378.72	0.00	1,339.57	40.11	-79.26	-39.15	-2.84
DFCX	DFA INTL SMALL COMPANY PORTFOLIO	11/6/2014	194.275	2,980.59	0.00	3,355.13	176.14	108.48	374.63	12.57
DFJX	DFA JAPANESE SMALL CO FD	11/6/2014	37.462	659.60	0.00	824.60	15.88	159.12	164.00	25.21
DFJX	DFA U.S. CORE EQUITY 1 PORTFOLIO	11/6/2014	410.148	6,782.99	0.00	7,903.55	253.72	866.84	1,120.56	16.52
FDJX	FIDELITY CASH RESERVES	12/30/2016	204.500	204.50	0.00	204.50	0.00	0.00	0.00	0.00
OMBX	PRIMORIAN MORTGAGE-BACKED SECURITIES SEL	9/26/2016	14.208	161.22	0.00	158.42	-2.80	0.00	-2.80	-1.74
LITX	LORD ABBETT INTL FOCUSED BOND FUND 1	8/31/2015	53.446	631.09	0.00	654.85	22.22	1.54	23.76	3.76
POUX	PIMCO COMMODITY REAL RETURN 1	5/31/2013	93.448	678.47	0.00	667.66	26.25	-37.06	-10.81	-1.59
PEUX	PIMCO BRNG LOCAL BOND	12/14/2016	15.923	110.19	0.00	110.99	0.80	0.00	0.80	0.73
FSVX	SPARTAN REAL ESTATE INDEX FID ADV CLASS	11/6/2014	14.585	204.98	0.00	225.03	9.57	10.48	20.05	9.78
HFHX	THE HARTFORD FLOATING RATE HIGH INCOME FUND CLASS 1	9/26/2016	27.825	268.63	0.00	277.28	8.45	0.00	8.45	3.14
TORX	TORTOISE MLP AND PIPELINE FUND INSTITUTIONAL CLASS	11/6/2014	47.853	575.85	0.00	676.24	65.03	35.36	100.39	17.43

Account		Open Date	Units	Cost Basis	Realization Accrual	Market Value	Short Term Gain	Long Term Gain	Total Gain	% Gain Loss
CITICORP INC		5/12/2016	10.000	419.95	0.00	594.30	0.00	174.35	174.35	41.52
FIDELITY CASH RESERVES		12/30/2016	7.300	7.30	0.00	7.30	0.00	0.00	0.00	0.00

Fund Name	Open Date	Units	Cost Basis	Amortization		Market Value	Short		Long		Total Gain	% Gain Loss
				Accrual	Depletion		Term Gain	Term Loss	Term Gain	Term Loss		
Equity			29,159.25	0.00		32,879.32	994.68		2,725.39		3,720.07	12.75
ROCEX	DFA EMERGING MARKETS CORE EQUITY PORTFOLIO	11/9/2014	171.740	3,094.68	0.00	2,981.41	76.90		-190.18		-113.27	-3.66
OFISX	DFA INTL SMALL COMPANY PORTFOLIO	11/9/2014	494.667	7,002.11	0.00	7,852.10	410.63		439.36		849.99	12.14
OFISX	DFA JAPANESE SMALL CO FD	11/9/2014	75.496	1,323.32	0.00	1,660.91	32.01		305.58		337.59	25.51
DFEOX	DFA U.S. CORE EQUITY 1 PORTFOLIO	11/9/2014	1,046.818	17,536.42	0.00	20,172.18	475.14		2,170.62		2,645.76	15.10
FDIOX	FIDELITY CASH RESERVES	12/30/2016	212.720	212.72	0.00	212.72	0.00		0.00		0.00	0.00

- S. Raja Krishnamoorthi Roth IRA L/C Growth Focused Equity									
	Open Date	Units	Cost Basis	Amortization Accretion	Market Value	Short Term Gain	Long Term Gain	Total Gain	% Gain Loss
DFCEX	DFA EMERGING MARKETS CORE EQUITY PORTFOLIO	11/6/2014	32,838	591.74	0.00	570.07	14.68	-36.36	-21.67
DFISX	DFA INTL SMALL COMPANY PORTFOLIO	11/6/2014	86,924	1,338.51	0.00	1,501.18	78.51	84.16	162.67
DFJSX	DFA JAPANESE SMALL CO FD	11/6/2014	14,433	252.96	0.00	317.53	6.32	58.45	64.57
DF60K	DFA U.S. CORE EQUITY 1 PORTFOLIO	11/6/2014	199,906	3,346.35	0.00	3,852.19	91.22	414.62	505.84
FDROX	FIDELITY CASH RESERVES	12/30/2016	44,860	44.86	0.00	44.86	0.00	0.00	0.00

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Fund		Open Date	Units	Cost Basis	Amortization Accrual	Market Value	Short Term Gain	Long Term Gain	Total Gain	% Gain Less Loss
Fidelity - S&P 500 Vanguard 2040 Retirement				9,852.83	0.00	10,695.69	16.72	826.05	842.76	8.55
DFEX	DFA EMERGING MARKETS CORE EQUITY PORTFOLIO	9/3/2015	55.868	902.43	0.00	969.87	5.12	62.32	67.44	7.47
DFISX	DFA INTL SMALL COMPANY PORTFOLIO	9/3/2015	147.903	2,553.04	0.00	2,554.28	4.02	2.77	1.24	0.05
DFISX	DFA JAPANESE SMALL CO FD	9/3/2015	24.813	484.84	0.00	545.89	0.00	61.05	61.05	12.59
DFIOX	DFA U.S. CORE EQUITY 1 PORTFOLIO	9/3/2015	340.118	5,841.04	0.00	6,554.07	7.59	705.44	713.03	12.21
FDROX	FIDELITY CASH RESERVES	12/30/2016	71.580	71.58	0.00	71.58	0.00	0.00	0.00	0.00

Customer does not provide tax lot level breakdown for positions using Average Cost methodology



2008 Declaration of Trust LC									
Growth Equity									
	Open Date	Units	Cost Basis	Amortization Accretion	Market Value	Short Term Gain	Long Term Gain	Total Gain	% Gain Loss
DCEX	N/A	718,409	12,247.88	0.00	12,471.58	22.85	201.05	223.90	1.83
DFISX	N/A	1,900,396	33,626.56	0.00	32,819.84	-82.05	-724.67	-806.72	-2.40
DFISX	N/A	311,360	6,186.21	0.00	6,849.92	30.09	633.62	663.71	10.73
DFEOX	N/A	4,382,524	77,217.93	0.00	84,451.24	430.32	6,782.98	7,213.31	9.37
FDROX	12/30/2016	944,500	944.50	0.00	944.50	0.00	0.00	0.00	0.00

Date Range: 5/3/2015 - 12/31/2016

\*\*\*\*\* S. Raja Krishnamoorthi & Associates  
JTWROS Member

	Open Date	Units	Cost Basis	Amortization Accretion	Market Value	Short Term Gain	Long Term Gain	Total Gain	% Gain Loss
FDRX	12/30/2016	810.950	810.95	0.00	810.95	0.00	0.00	0.00	0.00
FIDELITY CASH RESERVES									

2008 Declaration of Trust		Open Date	Units	Cost Basis	Amortization Accretion	Market Value	Short Term Gain	Long Term Gain	Total Gain	% Gain Loss
BOND FIDUC	BAIRD INTERMEDIATE TERM BOND CLASS I FIDELITY CASH RESERVES	N/A	4,621,680	53,710.34	0.00	53,355.42	-243.25	-111.67	-354.92	-0.66
		12/30/2016	529,620	529.62	0.00	529,625.00	-243.25	-111.67	-354.92	-0.67

03/10/16

Date Range: 5/11/2005 - 12/31/2016

**2008 Declaration of Trust Margin Account**

	Open Date	Units	Cost Basis	Acquisition	Market Value	Short Term Gain	Long Term Gain	Total Gain	% Gain
			186.72	0.00	0.00	0.00	0.00	0.00	0.00
FOOO FIDELITY CASH RESERVES	12/30/2016	186.720	186.72	0.00	0.00	0.00	0.00	0.00	0.00

Subject: RE: Randolph Street Investment Partners 2007 PEF  
From: Loberg, Bradley E (b7c)(b7d)(b7f)(b7g)(b7h)(b7i)(b7j)(b7k)(b7l)(b7m)(b7n)(b7o)(b7p)(b7q)(b7r)(b7s)(b7t)(b7u)(b7v)(b7w)(b7x)(b7y)(b7z)  
To: srkrishnamoorthi (b7c)(b7d)(b7f)(b7g)(b7h)(b7i)(b7j)(b7k)(b7l)(b7m)(b7n)(b7o)(b7p)(b7q)(b7r)(b7s)(b7t)(b7u)(b7v)(b7w)(b7x)(b7y)(b7z)  
Cc: nmurray (b7c)(b7d)(b7f)(b7g)(b7h)(b7i)(b7j)(b7k)(b7l)(b7m)(b7n)(b7o)(b7p)(b7q)(b7r)(b7s)(b7t)(b7u)(b7v)(b7w)(b7x)(b7y)(b7z); christopher.fus (b7c)(b7d)(b7f)(b7g)(b7h)(b7i)(b7j)(b7k)(b7l)(b7m)(b7n)(b7o)(b7p)(b7q)(b7r)(b7s)(b7t)(b7u)(b7v)(b7w)(b7x)(b7y)(b7z); frubel (b7c)(b7d)(b7f)(b7g)(b7h)(b7i)(b7j)(b7k)(b7l)(b7m)(b7n)(b7o)(b7p)(b7q)(b7r)(b7s)(b7t)(b7u)(b7v)(b7w)(b7x)(b7y)(b7z)  
Date: Tuesday, July 18, 2017 11:56 AM

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Noted below are the private equity funds in which the 2007 PEF holds limited partnership interests and the estimated value of your indirect interest as of 12/31/16.

It is important to note the following:

The 2007 PEF is widely held.

The 2007 PEF has less than a one percent ownership interest in any fund and generally less than one half of one percent.

The 2007 PEF's interest in each of these funds is passively held.

Your ownership interest in the 2007 PEF is 0.023%.

The 2007 PEF has no control over the investments made by the funds in which it invests, and the 2007 PEF has no control over the ultimate sale of the funds' investments nor the timing thereof.

Print

Investments held by Raja Krishnamoorthi's NAV @ 12/31/16	
Madax Private Equity III, L.P.	\$ 157
Madax Capital Fund X, L.P.	322
Madax Venture Partners III, L.P.	64
Madax Petty O'Keefe Fund III, L.P.	98
Madax Associates Private Equity IV, L.P.	84
Madax, Rosser, Sherrill & Co. III, L.P.	146
Madax Partners V-A, L.P.	16
Madax Strategic Partners II, L.P.	12
Madax Capital Partners II, L.P.	111
Madax Growth Partners II, L.P.	120
Madax Venture Partners, L.P.	31
Madax Capital Partners Asia Pacific III, L.P.	85
Madax Private Equity I, L.P.	39
Madax Beachfront Land Investment Fund, L.P.	18
Madax Floriday Preferred Fund LP	31
Madax Investment Fund V (Coinvestment Fund D) L.P.	131
Madax Investment Fund V (Fund D), L.P.	102
Madax Partners III-C Annex Fund, L.P.	5
Madax Europe Capital Partners, L.P.	585
Madax Equity Partners III, L.P.	182
Madax Ferry Capital Partners Fund, L.P.	173
Madax Gay Capital Partners Fund, L.P.	266
Madax Capital Fund IV (FF), L.P.	37
Madax Dearborn Capital Partners VI, L.P.	395
Madax Partners II, L.P.	17
Madax Equity II, L.P.	47
Madax Europa Fund III, L.P.	256
Madax Partners VI, L.P.	72
Madax Silk Route PE Asia Fund, L.P.	182
Madax Growth Fund V, L.P.	391
Madax Capital Partners IX, L.P.	44
Madax India Real Estate Fund I, L.P.	9
Madax Lake Sumner Fund, L.P.	244
Madax Oak Services Partners, L.P.	10
Madax Capital Partners V, L.P.	1,044
Madax Capital Fund II, L.P.	162
Madax Rim Capital Partners II, L.P.	300
Madax Partners, L.P.	61
Total	\$ 6,047

Stanley E. Loberg

Principal Analyst: Investment Partnerships

STANLEY E. LOBERG & ELLIS LLP

100 North LaSalle, Chicago, IL 60654

TEL 312 862 2875

TEL 312 660 0846

Prepared by Raja Krishnamoorthi, Principal Analyst: Investment Partnerships

Sent: Sunday, July 16, 2017 9:40 AM

Stanley E. Loberg

Krishnamoorthi: Att 12p. 2 of 2

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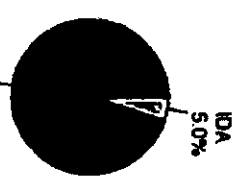


800-669-3900  
 TD AMERITRADE  
 DIVISION OF TD AMERITRADE INC.  
 PO BOX 2208  
 OMAHA, NE 68103-2208  
 TD Ameritrade Clearing, Inc. Member SIPC

Statement Reporting Period:  
 12/01/16 - 12/31/16

Statement for Account #  
 [REDACTED]

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Cash	\$ -	\$ -	\$ -	-	\$ -	-	
hard Dep Acct (IDA)	9.70	9.70	-	-	-	0.01%	IDA 5.0%
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	182.68	175.37	7.31	4.2%	2.91	1.6%	Stocks 85.0%
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	-	-	-	-	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$182.38</b>	<b>\$185.07</b>	<b>\$7.31</b>	<b>3.9%</b>	<b>\$2.91</b>	<b>1.6%</b>	



	Current	YTD	Reportable	Non Reportable	YTD	
Opening Balance	\$ 0.00	\$ -	Income	\$ -	\$ -	Cost Basis As Of - 12/31/16 **
Securities Purchased	-	(2.64)	Dividends	-	\$2.64	Unrealized Gains
Securities Sold	-	-	Interest	-	-	Unrealized Losses
Funds Deposited	-	-	Other	-	-	Funds Deposited/(Disbursed) **
Funds Disbursed	-	-	Expense	-	-	Income/(Expense) **
Income	-	2.64	Interest	-	-	Securities Received/(Delivered) **
Expense	-	-	Fees	-	-	
Other	-	-	Other	-	-	
<b>Closing Balance</b>	<b>\$ 0.00</b>	<b>\$0.00</b>	<b>Net</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$210.44</b>

\*\*To view realized gains and losses for your account, login at [www.tdameritrade.com](http://www.tdameritrade.com) and visit My Account > Gain/Loss.

Statement for Account ~~4-6-2016~~  
12/01/16 - 12/31/16

Description	Current	Year to Date
Qualified Dividends	\$ 0.00	\$ 2.64

\*This section displays current and year to date location values for the account. The current totals may not equal the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Investment Description	Symbol	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain/Loss	Estimated Income	Estimated Yield
Stocks - Cash										
AMERICAN EXPRESS CO	AXP	1.031	\$ 74.08	\$ 76.38	09/27/15	\$ 90.10	\$ 87.39	\$ (13.72)	\$ 1.32	1.7%
WALT DISNEY CO	DIS	1.02	104.22	106.30	04/16/15	120.34	117.98	(14.04)	1.58	1.5%
Total Stocks				\$182.68		\$210.44		\$ (27.76)	\$2.91	1.6%
Total Cash Account				\$182.68		\$210.44		\$ (27.76)	\$2.91	1.6%

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
12/01/16	\$ 9.70	31	0.0100	\$ -	\$ -	\$ -
Total Interest Income						\$0.00

Date	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
								\$9.70
								\$9.70

TD Bank NA  
FDIC Insured Deposit Account (DA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The DA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.



Statement for Account ~~12/01/16 - 12/31/16~~  
12/01/16 - 12/31/16

Important Information

# ANNUAL MARGIN DISCLOSURE

Securities purchased on margin are the firm's collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan. As a result, the firm can take action, such as issuing a margin call and/or selling securities or other assets in any of your accounts held with TD Ameritrade, in order to maintain the required equity in the account.

It is important that you fully understand the risks involved in trading securities on margin. These risks include the following: You can lose more funds than you deposit in the margin account. The firm can force the sale of securities or other assets in your account(s). The firm can sell your securities or other assets without contacting you. You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call. The firm can increase its "house" maintenance requirements at any time and is not required to provide you advance written notice. You are not entitled to an extension of time on a margin call.

## STATEMENT OF FINANCIAL CONDITION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <http://www.tdameritrade.com/financialstatement.html> or by contacting TD Ameritrade Clearing, Inc. at 1-800-888-3800. As of September 30, 2016, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$1.7 billion and \$0.3 billion, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the Securities and Exchange Commission in Washington DC and the Denver, CO office of the Commission.

## UPDATE TO CLIENT AGREEMENT

An update was recently made to the Client Agreement that governs your account. The following provision regarding beneficiary designations was added, and may require you to take action regarding your current beneficiary designations:

h. **Beneficiary Designation.** Changes in the relationship between the account owner and designated beneficiary (e.g., marriage, divorce or adoption) will not add or revoke beneficiary designations. For example, if an account owner designated their spouse as beneficiary and they were subsequently divorced, the former spouse will remain beneficiary on the Account unless the account owner submits a new beneficiary designation to you.

Your continued use of your account constitutes your consent to this update. A current version of the Client Agreement can be obtained on the website or by calling the phone number listed on page 1 of this statement.



1997, 1998, 1999, 2000, 2001, 2002, 2003, 2004, 2005, 2006, 2007, 2008, 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, 2019, 2020, 2021, 2022, 2023, 2024, 2025, 2026, 2027, 2028, 2029, 2030, 2031, 2032, 2033, 2034, 2035, 2036, 2037, 2038, 2039, 2040, 2041, 2042, 2043, 2044, 2045, 2046, 2047, 2048, 2049, 2050, 2051, 2052, 2053, 2054, 2055, 2056, 2057, 2058, 2059, 2060, 2061, 2062, 2063, 2064, 2065, 2066, 2067, 2068, 2069, 2070, 2071, 2072, 2073, 2074, 2075, 2076, 2077, 2078, 2079, 2080, 2081, 2082, 2083, 2084, 2085, 2086, 2087, 2088, 2089, 2090, 2091, 2092, 2093, 2094, 2095, 2096, 2097, 2098, 2099, 2100, 2101, 2102, 2103, 2104, 2105, 2106, 2107, 2108, 2109, 2110, 2111, 2112, 2113, 2114, 2115, 2116, 2117, 2118, 2119, 2120, 2121, 2122, 2123, 2124, 2125, 2126, 2127, 2128, 2129, 2130, 2131, 2132, 2133, 2134, 2135, 2136, 2137, 2138, 2139, 2140, 2141, 2142, 2143, 2144, 2145, 2146, 2147, 2148, 2149, 2150, 2151, 2152, 2153, 2154, 2155, 2156, 2157, 2158, 2159, 2160, 2161, 2162, 2163, 2164, 2165, 2166, 2167, 2168, 2169, 2170, 2171, 2172, 2173, 2174, 2175, 2176, 2177, 2178, 2179, 2180, 2181, 2182, 2183, 2184, 2185, 2186, 2187, 2188, 2189, 2190, 2191, 2192, 2193, 2194, 2195, 2196, 2197, 2198, 2199, 2200, 2201, 2202, 2203, 2204, 2205, 2206, 2207, 2208, 2209, 2210, 2211, 2212, 2213, 2214, 2215, 2216, 2217, 2218, 2219, 2220, 2221, 2222, 2223, 2224, 2225, 2226, 2227, 2228, 2229, 2230, 2231, 2232, 2233, 2234, 2235, 2236, 2237, 2238, 2239, 2240, 2241, 2242, 2243, 2244, 2245, 2246, 2247, 2248, 2249, 2250, 2251, 2252, 2253, 2254, 2255, 2256, 2257, 2258, 2259, 2260, 2261, 2262, 2263, 2264, 2265, 2266, 2267, 2268, 2269, 2270, 2271, 2272, 2273, 2274, 2275, 2276, 2277, 2278, 2279, 2280, 2281, 2282, 2283, 2284, 2285, 2286, 2287, 2288, 2289, 2290, 2291, 2292, 2293, 2294, 2295, 2296, 2297, 2298, 2299, 2300, 2301, 2302, 2303, 2304, 2305, 2306, 2307, 2308, 2309, 2310, 2311, 2312, 2313, 2314, 2315, 2316, 2317, 2318, 2319, 2320, 2321, 2322, 2323, 2324, 2325, 2326, 2327, 2328, 2329, 2330, 2331, 2332, 2333, 2334, 2335, 2336, 2337, 2338, 2339, 2340, 2341, 2342, 2343, 2344, 2345, 2346, 2347, 2348, 2349, 2350, 2351, 2352, 2353, 2354, 2355, 2356, 2357, 2358, 2359, 2360, 2361, 2362, 2363, 2364, 2365, 2366, 2367, 2368, 2369, 2370, 2371, 2372, 2373, 2374, 2375, 2376, 2377, 2378, 2379, 2380, 2381, 2382, 2383, 2384, 2385, 2386, 2387, 2388, 2389, 2390, 2391, 2392, 2393, 2394, 2395, 2396, 2397, 2398, 2399, 2400, 2401, 2402, 2403, 2404, 2405, 2406, 2407, 2408, 2409, 2410, 2411, 2412, 2413, 2414, 2415, 2416, 2417, 2418, 2419, 2420, 2421, 2422, 2423, 2424, 2425, 2426, 2427, 2428, 2429, 2430, 2431, 2432, 2433, 2434, 2435, 2436, 2437, 2438, 2439, 2440, 2441, 2442, 2443, 2444, 2445, 2446, 2447, 2448, 2449, 2450, 2451, 2452, 2453, 2454, 2455, 2456, 2457, 2458, 2459, 2460, 2461, 2462, 2463, 2464, 2465, 2466, 2467, 2468, 2469, 2470, 2471, 2472, 2473, 2474, 2475, 2476, 2477, 2478, 2479, 2480, 2481, 2482, 2483, 2484, 2485, 2486, 2487, 2488, 2489, 2490, 2491, 2492, 2493, 2494, 2495, 2496, 2497, 2498, 2499, 2500, 2501, 2502, 2503, 2504, 2505, 2506, 2507, 2508, 2509, 2510, 2511, 2512, 2513, 2514, 2515, 2516, 2517, 2518, 2519, 2520, 2521, 2522, 2523, 2524, 2525, 2526, 2527, 2528, 2529, 2530, 2531, 2532, 2533, 2534, 2535, 2536, 2537, 2538, 2539, 2540, 2541, 2542, 2543, 2544, 2545, 2546, 2547, 2548, 2549, 2550, 2551, 2552, 2553, 2554, 2555, 2556, 2557, 2558, 2559, 2560, 2561, 2562, 2563, 2564, 2565, 2566, 2567, 2568, 2569, 2570, 2571, 2572, 2573, 2574, 2575, 2576, 2577, 2578, 2579, 2580, 2581, 2582, 2583, 2584, 2585, 2586, 2587, 2588, 2589, 2590, 2591, 2592, 2593, 2594, 2595, 2596, 2597, 2598, 2599, 2600, 2601, 2602, 2603, 2604, 2605, 2606, 2607, 2608, 2609, 2610, 2611, 2612, 2613, 2614, 2615, 2616, 2617, 2618, 2619, 2620, 2621, 2622, 2623, 2624, 2625, 2626, 2627, 2628, 2629, 2630, 2631, 2632, 2633, 2634, 2635, 2636, 2637, 2638, 2639, 2640, 2641, 2642, 2643, 2644, 2645, 2646, 2647, 2648, 2649, 2650, 2651, 2652, 2653, 2654, 2655, 2656, 2657, 2658, 2659, 2660, 2661, 2662, 2663, 2664, 2665, 2666, 2667, 2668, 2669, 2670, 2671, 2672, 2673, 2674, 2675, 2676, 2677, 2678, 26

**800-669-3900**  
**TD AMERITRADE**  
**DIVISION OF TD AMERITRADE INC.**  
**PO BOX 2209**  
**OMAHA, NE 68103-2209**  
**TD Ameritrade Clearing, Inc., Member**

Statement for Account **RAID**  
**AMERITRADE CLEARING, CUSTODIAN**

**Statement for Account**

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Cash	\$ -	\$ -	\$ -	-	\$ -	-	
Instl Dep Acct (IDA)	681.14	1,231.12	(549.98)	(44.7)%	-	0.01%	IDA 0.7%
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	-	-	-	-	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	-	-	-	-	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	96,467.75	86,901.40	7,566.35	8.5%	1,971.23	2.0%	Mutual Funds 99.3%
Other	-	-	-	-	-	-	
<b>Total</b>	<b>\$97,148.89</b>	<b>\$90,132.52</b>	<b>\$7,016.37</b>	<b>7.9%</b>	<b>\$1,971.23</b>	<b>2.0%</b>	

Current		YTD		2016 PTD		2016 YTD		2015 YTD	
Opening Balance	\$ 0.00	\$ -	Contributions	\$5,500.00	\$5,500.00	\$5,500.00			
Securities Purchased	(8,232.33)	(112,897.05)	Distributions	-	-	-			
Securities Sold	-	15,503.47	Plan Contribution	-	-	-			
Contributions	6,500.00	95,546.71	Rollover	-	84,386.71	-			
Distributions	-	-	Direct Transfer	-	-	-			
Income	2,182.94	2,237.61	Tax Withheld	-	-	-			
Expense	-	-	Recharacter	-	-	-			
Other	549.89	(800.74)	Roth Conv.	-	-	-			
Closing Balance	\$ 0.00	\$0.00							

Cost Basis As Of: 12/31/16 **	\$97,332.31
Unrealized Gains	-
Unrealized Losses	(864.56)
Funds Deposited/(Disbursed) **	95,546.71
Income/(Expense) **	2,237.61
Securities Received/(Delivered) **	0.00

\*\*To view updated gains and losses for your account, login at [www.Mutualshare.com](http://www.Mutualshare.com) and visit My Account > Gainers/Losers.

Statement for Account  
12/01/15 - 12/31/16

Description		Current	Year to Date
Ordinary Dividends		\$ 1,752.79	\$ 1,752.79
Interest Income Credit Balance		0.00	0.07
Short Term Capital Gains		36.93	36.93
Long Term Capital Gains		392.62	392.62
Qualified Dividends		0.00	55.20
IDA Interest		0.01	0.43

This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Investment Description	Symbol	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain/(Loss)	Estimated Income	Yield
Mutual Funds - Cash										
VANGUARD TARGET RETIREMENT 2045 FUND	VTIAX	5,106.816	\$ 18.89	\$ 96,467.75	08/08/16	\$ 87,332.31	\$ 19.06	\$ (884.56)	\$ 1,971.23	2.0%
Total Mutual Funds				\$96,467.75		\$87,332.31		\$ (884.56)	\$1,971.23	2.0%
Total Cash Account				\$96,467.75		\$87,332.31		\$ (884.56)	\$1,971.23	2.0%

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity	Description	Symbol	Quantity	Price	Amount	Balance
12/27/16	12/27/16	Cash	Cash Rec'd - Contributions	CASH RECEIPT IRA CONTRIBUTION CURRENT YEAR CHECK#1758 Sp4 Check PURCHASE FIDC INSURED DEPOSIT ACCOUNT VANGUARD TARGET RETIREMENT 2045 FUND Payable: 12/28/2016 Ordinary Dividends 1752.79	VTIAX	-	\$ 0.00	\$ 5,500.00	\$ 0.00
12/28/16	12/28/16	Cash	Journal - Other			-	0.00	(5,500.00)	0.00
12/29/16	12/29/16	Cash	Divid - Income			-	0.00	1,752.79	1,752.79

Statement for Account  
12/01/16 - 12/30/16

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/29/16	12/29/16	Cash	Buy - Securities Purchased	VANGUARD TARGET RETIRED 2045 FUND REINVESTED AT 18.88 PER SHARE	VTVX	92.838	18.88	(1,752.79)	0.00
12/29/16	12/29/16	Cash	Div/Int - Income	VANGUARD TARGET RETIRED 2045 FUND Payable: 12/29/2016 Short Term Cap Gains 36.93	VTVX	-	0.00	36.93	36.93
12/29/16	12/29/16	Cash	Buy - Securities Purchased	VANGUARD TARGET RETIRED 2045 FUND REINVESTED AT 18.88 PER SHARE	VTVX	1.956	18.88	(36.93)	0.00
12/29/16	12/29/16	Cash	Div/Int - Income	VANGUARD TARGET RETIRED 2045 FUND Payable: 12/29/2016 Long Term Cap Gains 392.62	VTVX	-	0.00	392.62	392.62
12/29/16	12/29/16	Cash	Buy - Securities Purchased	VANGUARD TARGET RETIRED 2045 FUND REINVESTED AT 18.88 PER SHARE	VTVX	20.786	18.88	(392.62)	0.00
12/29/16	12/30/16	Cash	Buy - Securities Purchased	VANGUARD TARGET RETIRED 2045 FUND Misc Fee 49.99	VTVX	317.125	18.92	(6,049.99)	(6,049.99)
12/30/16	12/30/16	Cash	Journal - Other	REDEMPTION FDC INSURED DEPOSIT ACCOUNT		-	0.00	6,049.99	0.00
Closing Balance									\$ 0.00
For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.									
Begin Date	End Date	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID			
12/01/16	12/31/16	27	0.0100	\$ 0.01	\$ 0.01	\$ -			
12/28/16	12/31/16	2	0.0100	-	0.01	-			
12/30/16	12/30/16	2	0.0100	-	0.01	0.01			
Total Interest Income						\$0.01			

Date	Check	Date	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Cleared	Number	Written						
Opening Balance								
12/28/16	-	12/28/16	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	\$ 5,500.00	\$1,231.12
12/30/16	-	12/30/16	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	(8,049.99)	681.13
12/30/16	-	12/30/16	Received	Interest, Insured Deposit Account Bank NA	-	-	0.01	681.14
Closing Balance								
TD Bank NA							\$681.14	\$681.14

FDIC insured Deposit Account (DDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The DDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

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**UPDATE TO CLIENT AGREEMENT**

An update was recently made to the Client Agreement that governs your account. The following provision regarding beneficiary designations was added, and may require you to take action regarding your current beneficiary designations:

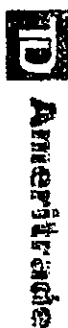
Statement for Account

12/01/16 - 12/31/16

Important Information

**h. Beneficiary Designation.** Changes in the relationship between the account owner and designated beneficiary (e.g., marriage, divorce or adoption) will not add or revoke beneficiary designations. For example, if an account owner designated their spouse as beneficiary and they were subsequently divorced, the former spouse will remain beneficiary on the Account unless the account owner submits a new beneficiary designation to you.

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Statement Reporting Period  
12/01/16 - 12/31/16

800-688-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2208  
OMAHA, NE 68103-2208  
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account  
SUBRAMANIAM R KRISHNAMOORTHY  
ROLLOVER IRA TD AMERITRADE  
CLEARING, CUSTODIAN

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Margin Loan	(\$37.17)	\$ -	(\$37.17)	-	\$ -	-	Margin Loan 0.8%
Hard Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	-	-	-	-	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	-	-	-	-	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	4,493.86	-	4,493.86	-	91.83	2.0%	Mutual Funds 99.2%
Other	-	-	-	-	-	-	
Total	\$4,456.69	\$ 0.00	\$4,456.69	-	\$91.83	2.1%	

Cash Activity Summary	Current	YTD	2016 PTD	2016 YTD	2015 YTD	Cost Basis As Of - 12/31/16
Opening Balance	\$ 0.00	\$ -	\$4,501.00	\$4,501.00	\$ -	\$4,550.99
Securities Purchased	(4,550.99)	(4,550.99)	-	-	-	Unrealized Gains
Securities Sold	-	-	-	-	-	Unrealized Losses
Contributions	4,513.82	4,513.82	12.82	12.82	-	Funds Deposited/(Disbursed)**
Distributions	-	-	-	-	-	Income/(Expense)**
Income	-	-	-	-	-	Securities Received/(Delivered)**
Expense	-	-	-	-	-	
Other	-	-	-	-	-	
Closing Balance	(\$37.17)	(\$37.17)	-	-	-	

\*\*To view realized gains and losses for your account, login at [www.tdameritrade.com](http://www.tdameritrade.com) and click My Account > Gains/Losses.

Statement for Account **[REDACTED]**  
12/01/16 - 12/31/16

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain/Loss	Estimated Income	Estimated Yield
Mutual Funds - Cash										
VANGUARD TARGET RETIREMENT 2045 FUND	VTWXX	237.896	\$ 18.89	\$4,483.86	12/29/16	\$ 4,550.99	\$ 18.13	\$ (67.13)	\$ 91.83	2.0%

Total Mutual Funds				\$4,483.86		\$4,550.99		\$ (67.13)	\$91.83	2.0%
Total Cash Account				\$4,483.86		\$4,550.99		\$ (67.13)	\$91.83	2.0%

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance	12/27/16	Cash	Cash Rec'd - Contributions	CASH RECEIPT IRA CONTRIBUTION CURRENT YEAR			\$ 0.00	\$ 4,501.00	\$ 0.00
			CHECK 1758 Spill Check						
12/28/16	12/28/16	Cash	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT			0.00	(4,501.00)	0.00
12/29/16	12/30/16	Cash	Buy - Securities Purchased	VANGUARD TARGET RETIREMENT 2045 FUND	VTWXX	237.896	18.92	(4,550.99)	(4,550.99)
				Misc Fee 48.89					
12/30/16	12/30/16	Cash	Journal - Other	MOVE CASH BALANCE TO MARGIN			0.00	4,550.99	0.00
12/29/16	12/29/16	Margin	Cash Rec'd - Contributions	CASH RECEIPT 60-DAY ROLL-OVER CHECK 126929 CHICAGO CLEARING CORP			0.00	12.82	12.82
12/30/16	12/30/16	Margin	Journal - Other	MOVE CASH BALANCE TO MARGIN			0.00	(4,550.99)	(4,538.17)
12/30/16	12/30/16	Margin	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT			0.00	4,501.00	(37.17)
Closing Balance									(637.17)

\*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.



Statement for Account  
12/01/16 - 12/31/16

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD PAID
12/28/16	\$ 4,501.00	2	0.0100	\$ .	\$ .
Total Interest Income					\$0.00

Date	Check Number	Date Written	Transaction	Description	Tracing Code	Expense Code	Amount	Balance
12/28/16		12/28/16	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT			\$ 4,501.00	\$ 0.00
12/30/16		12/30/16	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT			(4,501.00)	0.00

**Closing Balance** \$ 0.00  
FDIC Insured Deposit Account (DDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The DDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

**ANNUAL MARGIN DISCLOSURE**

Securities purchased on margin are the firm's collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan. As a result, the firm can take action, such as issuing a margin call and/or selling securities or other assets in any of your accounts held with TD Ameritrade, in order to maintain the required equity in the account.

It is important that you fully understand the risks involved in trading securities on margin. These risks include the following: You can lose more funds than you deposit in the margin account. The firm can force the sale of securities or other assets in your account(s). The firm can sell your securities or other assets without contacting you. You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call. The firm can increase its "house" maintenance requirements at any time and is not required to provide you advance written notice. You are not entitled to an extension of time on a margin call.

**STATEMENT OF FINANCIAL CONDITION**

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <http://www.tdameritrade.com/financialstatement.html> or by contacting TD Ameritrade Clearing, Inc. at 1-800-688-3600. As of September 30, 2016, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$1.7 billion and \$0.3 billion, respectively. A copy of the report and comments is currently available for customer inspection at the principal office of the Securities and Exchange Commission in Washington DC and the Denver, CO office of the Commission.

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Important Information

**UPDATE TO CLIENT AGREEMENT**

An update was recently made to the Client Agreement that governs your account. The following provision regarding beneficiary designations was added, and may require you to take action regarding your current beneficiary designations.

**h. Beneficiary Designation.** Changes in the relationship between the account owner and designated beneficiary (e.g., marriage, divorce or adoption) will not add or revoke beneficiary designations. For example, if an account owner designated their spouse as beneficiary and they were subsequently divorced, the former spouse will remain beneficiary on the Account unless the account owner submits a new beneficiary designation to you.

Your continued use of your account constitutes your consent to this update. A current version of the Client Agreement can be obtained on the website or by calling the phone number listed on page 1 of this statement.