



Filing ID #10011130

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Niki Tsongas
Status: Member
State/District: MA03

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2014
Filing Date: 05/17/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
26 AUBURN ST		None	Capital Gains, Rent	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: CHARLESTOWN, MA, US					
455 SHORE ROAD		\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: CHATHAM, MA, US					
ASTON MONTAG & CALDWELL GROWTH FUND		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
AUBURN MASS GO MUN PURP LN BDS		\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Bank of America		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
BOFA TAX-EXEMPT RESERVES		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
COLUMBIA ACORN INTERNATIONAL FUND		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
COLUMBIA DIVIDEND INCOME FUND		\$50,001 -	Dividends	\$1,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$100,000		\$2,500	
COLUMBIA HIGH YIELD MUNICIPAL FD		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
COLUMBIA LARGE CAP GROWTH FUND		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
COLUMBIA MA INTER MUNI BD FUND		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
COLUMBIA MID CAP GROWTH FUND		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
COLUMBIA REAL ESTATE EQUITY FUND		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
COLUMBIA SELECT LARGE CAP EQUITY FUND		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: FORMERLY COLUMBIA LARGE CAP EQUITY FUND					
DELAWARE EMERGING MKTS FUND		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
FALMOUTH MASS GO MUN PURP LN BDS		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
GOLDMAN SACHS STRATEGIC INCOME FUND		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
ISHARES CORE S&P 500 ETF		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
ISHARES EMERGING MKTS INFRA ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
ISHARES INTERMEDIATE CR BD ETF		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
ISHARES INTL SELECT DIVIDEND EFT		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
ISHARES MSCI HONG KONG ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
IVY ASSET STRATEGY FUND		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
JP MORGAN US LARGE CAP CORE PLUS FUND		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LORD ABBETT INVESTMENT TR SHORT DURATION TAX FREE FUND		\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
MASSACHUSETTS ST GO BDS		None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
MASSACHUSETTS ST WTR POLLUNTN A WTR POLL BDS		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MFS EMERGING MKTS DEBT FUND		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
MFS INTERNATIONAL NEW DISCOVERY FUND		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
NATIXIS FDS TR II ASG GLOBAL ALTERNATIVES FUND		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Northeast Utilities (NU)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
PIMCO COMMODITIESPLUS STRATEGY FUND		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
PRUDENTIAL SHORT-TERM CORPORATE BD FUND		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
ROBECO BOSTON PARTNERS LONG/SHORT RES FUND		\$15,001 - \$50,000	None		<input type="checkbox"/>
SPDR BARCLAYS SHORT TERM HIGH YIELD BD ETF		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SPDR DJ WILSHIRE INTL REAL ESTATE ETF		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
TEMPLETON GLOBAL BD FUND		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Thermo Fisher Scientific Inc (TMO)		None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
THORNBURG INTL VALUE FUND		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
UNDISCOVERED MANAGERS FDS BEHAVIORAL VALUE FD		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
UNITED STS COMMODITY INDEX FUND ETF		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
VANGUARD FTSE DEVELOPED MARKETS EFT		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VANGUARD FTSE EMERGING MARKETS ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
VANGUARD MID-CAP EFT		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
VANGUARD MID-CAP GROWTH INDEX FUND		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
VANGUARD REIT ETF		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
VANGUARD SHORT-TERM CORPORATE BOND ETF		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
VANGUARD SMALL-CAP ETF		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
VANGUARD TOTAL WORLD STOCK INDEX FUND		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WISDOMTREE EMERGING MKTS SMALLCAP DIV FUND		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
26 Auburn Street		07/2/2014	S	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: Charlestown, MA, US					
ASTON MONTAG & CALDWELL GROWTH FUND		11/14/2014	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
ASTON MONTAG & CALDWELL GROWTH FUND		12/3/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
COLUMBIA ACORN FUND		11/14/2014	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
COLUMBIA ACORN FUND		12/3/2014	S	\$15,001 - \$50,000	<input type="checkbox"/>
COLUMBIA LARGE CAP GROWTH FUND		12/5/2014	P	\$1,001 - \$15,000	
COLUMBIA REAL ESTATE EQUITY FUND		03/4/2014	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
COLUMBIA REAL ESTATE EQUITY FUND		11/14/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
COLUMBIA SELECT LARGE CAP EQUITY FUND		11/14/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
COLUMBIA SMALL CAP CORE FUND		11/14/2014	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
COLUMBIA SMALL CAP CORE FUND		12/3/2014	S	\$15,001 - \$50,000	<input type="checkbox"/>
GOLDMAN SACHS STRATEGIC INCOME FUND		03/4/2014	P	\$15,001 - \$50,000	
GOLDMAN SACHS STRATEGIC INCOME FUND		11/17/2014	P	\$15,001 - \$50,000	
HARBOR INTERNATIONAL FUND		12/3/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
ISHARE EMERGING MKTS INFRA ETF		12/3/2014	P	\$1,001 - \$15,000	
ISHARES INTERMEDIATE CR BD EFT		11/19/2014	P	\$15,001 - \$50,000	
ISHARES NTERMEDIATE CR BD ETF		12/5/2014	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
IVY ASSET STRATEGY FUND	03/4/2014	P	\$1,001 - \$15,000	
IVY ASSET STRATEGY FUND	11/14/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JOHN HANCOCK FDS III	11/17/2014	P	\$1,001 - \$15,000	
LAZARD FUNDS INC EMERGING MKTS	12/3/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
LORD ABBETT INVETMENT TR SHORT DURATION TAX FREE FUND	12/3/2014	P	\$50,001 - \$100,000	
MAINSTAY EPCOH GLOBAL EQUITY YIELD FUND	11/17/2014	P	\$15,001 - \$50,000	
MASSACHUSETTS ST GO BDS	03/3/2014	S	\$15,001 - \$50,000	<input type="checkbox"/>
MFS EMERGING MKTS DEBT FUND	11/17/2014	P	\$1,001 - \$15,000	
NATIXIS FDS TR II ASG GLOBAL	11/14/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
PERMANENT PORTFOLIO MUTUAL FUND	11/14/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
POWERSHARES DB COMMODITY INDEX TRACKING FUND	12/5/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
PRUDENTIAL SHORT-TERM CORPORATE BD FUND	11/17/2014	P	\$15,001 - \$50,000	
SPDR BARCLAYS SHORT TERM HIGH YIELD BD EFT	03/6/2014	P	\$1,001 - \$15,000	
SPDR BARCLAYS SHORT TERM HIGH YIELD BD EFT	12/5/2014	S	\$15,001 - \$50,000	<input type="checkbox"/>
SPDR GOLD TR GOLD SHS	11/18/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SPDR GOLD TR GOLD SHS	12/5/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Thermo Fisher Scientific Inc (TMO)	03/13/2014	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
THORNBURG INTL VALUE FUND	12/3/2014	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
UNDISCOVERED MANAGER FDS BEHAVORAL VALUE FD		11/17/2014	P	\$15,001 - \$50,000	
UNITED STS COMMODITY INDEX FUND ETF		12/5/2014	P	\$1,001 - \$15,000	
VANGUARD FTSE EMERGING MKTS ETF		12/5/2014	P	\$1,001 - \$15,000	
VANGUARD MID-CAP ETF		12/5/2014	P	\$15,001 - \$50,000	
VANGUARD MID-CAP GROWTH INDEX FUND		11/19/2014	P	\$15,001 - \$50,000	
VANGUARD REIT EFT		03/6/2014	P	\$15,001 - \$50,000	
VANGUARD REIT EFT		11/19/2014	P	\$15,001 - \$50,000	
VANGUARD REIT ETF		12/5/2014	P	\$1,001 - \$15,000	
VANGUARD SHORT-TERM CORPORATE BOND ETF		12/5/2014	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
VANGUARD SMALL-CAP ETF		12/5/2014	P	\$15,001 - \$50,000	
VANGUARD TOTAL WORLD STOCK INDEX FUND		12/5/2014	P	\$15,001 - \$50,000	

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	ENTERPRISE BANK & TRUST	AUGUST 2001	LINE OF CREDIT ON 455 SHORE RD, CHATHAM	\$100,001 - \$250,000
	ENTERPRISE BANK & TRUST	JUNE 2012	MORTGAGE ON PERSONAL RESIDENCE	\$250,001 - \$500,000
	TD Bank	July 2003	MORTGAGE ON 26 AUBURN ST. CHARLESTOWN, MA	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Niki Tsongas , 05/17/2016