



Filing ID #10012045

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Emily Cain
Status: Congressional Candidate
State/District: ME02

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2016
Filing Date: 05/15/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Allianz	SP	\$50,001 - \$100,000	None		
Growth Fund of America A-IRA ⇒ Growth Fund of America		\$15,001 - \$50,000	None		
Maine Public Employee Retirement System		\$1,001 - \$15,000	None		
Means Investments ⇒ American International Group, Inc. New (AIG)	SP	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
Means Investments ⇒ American International Group, Inc. Warrant expiring January 19, 2021 (AIG.W)	SP	\$1 - \$1,000	None		
Means Investments ⇒ Citigroup, Inc. (C)	SP	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
Means Investments ⇒ Fidelity Govt Mmkt Daily Money Class	SP	\$1 - \$1,000	None		
Means Investments ⇒	SP	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ford Motor Company (F)					
Means Investments ⇒ General Electric Company (GE)	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Single Family Rental	JT	\$100,001 - \$250,000	Rent	\$2,501 - \$5,000	\$5,001 - \$15,000
DESCRIPTION: Location: Orono/Penobscot, ME, US					
TIAA-CREF ⇒ CREF GLOBAL EQUITIES R3 (CREF V330746-8)		\$1,001 - \$15,000	Interest	None	\$1 - \$200
TIAA-CREF ⇒ CREF GROWTH R3 (CREF V330746-8)		\$1,001 - \$15,000	Interest	None	\$201 - \$1,000
TIAA-CREF ⇒ CREF STOCK R3 (CREF V330746-8		\$1,001 - \$15,000	Interest	\$1 - \$200	\$201 - \$1,000
TIAA-CREF ⇒ HARBOR CAPITAL APPR INST		\$1 - \$1,000	Interest	None	\$1 - \$200
TIAA-CREF ⇒ MFS INTERNATIONAL VAL R5		\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
TIAA-CREF ⇒ TIAA REAL ESTATE (TIWW D330746-1)		\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
TIAA-CREF ⇒ TIAA TRADITIONAL (TIAA C563267	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: MAINE COMMUNITY COLLEGE SYSTEM 403(B) ORP CONFIDENTIAL EMPLOYEE					
TIAA-CREF ⇒ TIAA TRADITIONAL (TIAA D330746-1)		\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
TIAA-CREF ⇒ VANG TARGET RET 2030 INV	SP	\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$1,001 - \$2,500
DESCRIPTION: UNIV OF MAINE SYS 403(B) BASIC PL FOR FAC & PROF					
Two Unit Rental	JT	\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	\$15,001 - \$50,000
DESCRIPTION: Location: Orono/Penobscot, ME, US					
UBS Retirement Account ⇒ FIRST EAGLE FUND OF AMERICA CLASS I (FEAIX)	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Retirement Account ⇒ JP MORGAN LARGE CAP GROWTH FUND SELECT (SEEGX)	SP	\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$2,501 - \$5,000
UBS Retirement Account ⇒ OAKMARK INTL FUND CLASS I (OAKIX)	SP	\$1,001 - \$15,000	Interest	None	None
UBS Retirement Account ⇒ SUN AMERICA FOCUSED DIVIDEND STRATEGY CLASS W (FDSWX)	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	None
UBS Retirement Account ⇒ T.ROWE PRICE GLOBAL TECH FUND (PRGTX)	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$201 - \$1,000
Valic 403B Pension (UM FOUNDATION) ⇒ FIXED INCOME	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Valic 403B Pension (UM FOUNDATION) ⇒ GLOBAL & INTL EQUITY	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Valic 403B Pension (UM FOUNDATION) ⇒ LARGE CAP	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Valic 403B Pension (UM FOUNDATION) ⇒ MID-CAP	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Valic 403B Pension (UM FOUNDATION) ⇒ SMALL CAP	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Valic 403B Pension (UM FOUNDATION) ⇒ SPECIALTY	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
VALIC 403B PENSION PLAN (UMaine SYSTEM) ⇒ FIXED INCOME	SP	\$1 - \$1,000	Dividends	\$1 - \$200	None
VALIC 403B PENSION PLAN (UMaine SYSTEM) ⇒ GLOBAL & INTL EQUITY	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
VALIC 403B PENSION PLAN (UMaine SYSTEM) ⇒ LARGE CAP	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
VALIC 403B PENSION PLAN (UMaine SYSTEM) ⇒ MID CAP	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
VALIC 403B PENSION PLAN (UMaine SYSTEM) ⇒ SMALL CAP	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
VALIC 403B PENSION PLAN (UMaine SYSTEM) ⇒ SPECIALTY	SP	\$1 - \$1,000	Dividends	\$1 - \$200	None
Valic 457 Def Comp (MCCS) ⇒ FIXED INCOME	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Valic 457 Def Comp (MCCS) ⇒ GLOBAL & INTL EQUITY	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Valic 457 Def Comp (MCCS) ⇒ LARGE CAP	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Valic 457 Def Comp (MCCS) ⇒ MID CAP	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Valic 457 Def Comp (MCCS) ⇒ SMALL CAP	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Valic 457 Def Comp (MCCS) ⇒ SPECIALTY	SP	\$1 - \$1,000	Dividends	\$1 - \$200	None

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Jobs for Maine's Graduates COMMENTS: Contract work was completed in the second half of 2015.	Consulting Contract		\$10,000.00
University of Maine COMMENTS: I completed my employment at UMaine in December 2014. The payment in January 2015 represents unused benefits that were paid out.	Salary/Benefits Owed	\$.00	\$3,833.00
University of Maine	Spouse Salary	\$39,670.00	\$117,000.00

Source	Type	Amount Current Year to Filing	Amount Preceding Year
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SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Chase	March 2013	Mortgage on Two-Unit in Orono, ME	\$100,001 - \$250,000
JT	University Credit Union	November 2010	Mortgage on Single Family in Orono, ME	\$50,001 - \$100,000
	COMMENTS: Forest Avenue Home - Under contract as of April 2016 and scheduled to close on sale in June 2016			
JT	University Credit Union	October 2012	Home Equity Line on Personal Residence	\$10,000 - \$15,000
JT	University Credit Union	December 2008	Mortgage on Single Family in Orono, ME	\$100,001 - \$250,000
JT	University Credit Union	March 2016	Single Family Home in Orono, ME	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Chair	New England Board of Higher Education
COMMENTS: My term of service ended in March, 2015	
Vice President	Univ. of Maine, Class of 2002
Associate Member	National Education Association, UMaine Professional Staff Assoc.
Member	Maine National Education for Women (NEW) Leadership Program, UMaine

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2009	Myself, State of Maine	Legislative Pension Plan Participation, Vested 2009

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none"> Growth Fund of America A-IRA
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- Means Investments (Owner: SP)
LOCATION: US
- TIAA-CREF (Owner: SP)
- TIAA-CREF
- UBS Retirement Account (Owner: SP)
- Valic 403B Pension (UM FOUNDATION) (Owner: SP)
DESCRIPTION: UNIV OF MAINE FOUNDATION
- VALIC 403B PENSION PLAN (UMaine SYSTEM) (Owner: SP)
DESCRIPTION: UMaine SYSTEM
- Valic 457 Def Comp (MCCS) (Owner: SP)
DESCRIPTION: MAINE COMMUNITY COLLEGE SYSTEM

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Emily Cain , 05/15/2016