

# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Hon. Eric Ivan Cantor

Status: Member State/District: VA07

#### FILING INFORMATION

**Filing Type:** Amendment Report

Filing Year: 2013

**Filing Date:** 07/21/2014

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alcoa Inc. (AA)	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Alternative Investment Management, LLC  Location: New York, NY, US  Description: Asset Management	\$15,001 - \$50,000	Partnership Income	\$15,001 - \$50,000	<b>V</b>
Alternative Investment Management, LLC 401K ⇒ American Beacon Small Cap Value Fund	\$15,001 - \$50,000	Tax-Deferred	None	
Alternative Investment Management, LLC 401K ⇒ Vanguard Small Cap Index Fund	\$15,001 - \$50,000	Tax-Deferred	None	
Alternative Investment Management, LLC 401K ⇒ Vanguard Target Retirement 2025 Fund	\$50,001 - \$100,000	Tax-Deferred	None	
Alternative Investment Management, LLC 401K ⇒ Vanguard Target Retirement Income Fund	\$15,001 - \$50,000	Tax-Deferred	None	
Altria Group, Inc. (MO)	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	П

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Amgen Inc. (AMGN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	П
ANADIGICS, Inc. (ANAD)		\$1 - \$1,000	None		
API Efficient Frontier Growth Fund		\$15,001 - \$50,000	None		
DESCRIPTION: Formerly called API Trust Growth Fund					
Archer-Daniels-Midland Company (ADM)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Ashland Inc. (ASH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	П
Bank of America bank accounts		\$250,001 - \$500,000	Interest	\$1 - \$200	
BB&T bank accounts		\$1,001 - \$15,000	Interest	\$1 - \$200	
BlackRock Credit Allocation Income Trust (BTZ)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Cameco Corporation (CCJ)		None	Dividends	\$1 - \$200	<b>✓</b>
Cantor & Cantor Note Receivable		\$50,001 - \$100,000	None		
DESCRIPTION: Law firm, Richmond, VA					
Capitol Bancorp Ltd. (CBCRQ)		\$1 - \$1,000	None		
CEPHEID (CPHD)		\$15,001 - \$50,000	None		
Cerus Corporation (CERS)		\$1,001 - \$15,000	None		
Chubb Corporation (CB)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	П
CLM Capital Co.		\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	
DESCRIPTION: Account Factoring, Richmond, VA					
CLM Financial Co.		\$1 - \$1,000	Dividends	\$201 - \$1,000	
DESCRIPTION: Account Factoring, Richmond, VA					

Asset	Owner V	alue of Asset	Income Type(s)	Income	Tx. > \$1,000?
CMR, LLC	U	ndetermined	Royalties (donated to charity)	\$2,501 - \$5,000	
Description: Member's share of royalty income from book p	publishing agre	eement for approve	d expenses and charit	able donations	
CollegeAmerica ⇒ American Balanced Fund Location: VA		50,001 - 100,000	Tax-Deferred	None	
CollegeAmerica ⇒ Bond Fund of America Location: VA	\$1	15,001 - \$50,000	Tax-Deferred	None	П
CollegeAmerica ⇒ Capital Income Builder Location: VA	\$1	1,001 - \$15,000	Tax-Deferred	None	
CollegeAmerica ⇒ Growth Fund of America Location: VA	\$1	15,001 - \$50,000	Tax-Deferred	None	
CollegeAmerica ⇒ Investment Company of America Location: VA	\$1	1,001 - \$15,000	Tax-Deferred	None	
CollegeAmerica ⇒ New Perspective Fund Location: VA	\$1	15,001 - \$50,000	Tax-Deferred	None	
CollegeAmerica ⇒ Short-Term Bond Fund of America Location: VA	\$1	1,001 - \$15,000	Tax-Deferred	None	
CollegeAmerica ⇒ Washington Mutual Investors Fund	\$1	15,001 - \$50,000	Tax-Deferred	None	
Compugen Ltd Ordinary Shares (CGEN)	\$1	1,001 - \$15,000	None		
Consolidated Edison, Inc. (ED)	\$1	15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
Davenport IRA ⇒ Federated Prime Cash Trust Fund	\$1	1,001 - \$15,000	Tax-Deferred	None	
Davenport IRA ⇒	\$1	1,001 - \$15,000	Tax-Deferred	None	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Guggenheim S&P 500 Equal Weight ETF (RSP)					
DESCRIPTION: Formerly named Rydex Guggenheim S&P 500	ETF				
Davenport IRA ⇒ Williamsburg Davenport Equity Opportunities Fund		\$100,001 - \$250,000	Tax-Deferred	None	
Director's Deferred Compensation Plan ⇒ Media General Inc. Deferred Stock Units  DESCRIPTION: Deferred Stock Units		\$500,001 - \$1,000,000	Tax-Deferred	None	П
Director's Deferred Compensation Plan ⇒ Universal Corporation Restricted Stock Units  Description: Director's Restricted Stock Units		\$100,001 - \$250,000	None		П
Dominion Resources, Inc. (D)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	П
Domino's Pizza Inc (DPZ)		\$1,000,001 - \$5,000,000	Dividends	\$5,001 - \$15,000	
Domino's Pizza Inc. Stock Options  Description: Grant price \$12.43, expiration date 2/25/20		\$250,001 - \$500,000	None		П
DESCRIPTION. Grant price \$12.43, expiration date 2/25/20					
Dynex Capital, Inc. (DX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
E.I. du Pont de Nemours and Company (DD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Emigrant Incentive Savings PLan ⇒ PIMCO Investment Grade Corp Bond		\$1,001 - \$15,000	Tax-Deferred	None	<u>~</u>
Emigrant Incentive Savings PLan ⇒ Vanguard Interm-Term Bond Index		\$1,001 - \$15,000	Tax-Deferred	None	<u>~</u>
Emigrant Incentive Savings PLan ⇒ Vanguard Short-Term Treasury		\$15,001 - \$50,000	Tax-Deferred	None	<b>▽</b>
Fidelity Cash Account		\$15,001 - \$50,000	Interest	\$1 - \$200	
First Eagle Global Fund		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	
General Electric Company (GE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	

Asset	Owner Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Genie Energy Ltd. Class B Common Stock Stock (GNE)	\$1,001 - \$15,000	None		П
Goldman Sachs 401K ⇒ Goldman Sachs Bank USA	\$50,001 - \$100,000	Tax-Deferred	None	П
Goldman Sachs 401K ⇒ Goldman Sachs Financial Square Money Market Fund	\$100,001 - \$250,000	Tax-Deferred	None	П
Goldman Sachs 401K ⇒ Omega Advisors, Inc. Multi-Market Hedge Fund	\$15,001 - \$50,000	o Tax-Deferred	None	П
Goldman Sachs 401K ⇒ Vanguard TIPS Fund	\$50,001 - \$100,000	Tax-Deferred	None	П
Goldman Sachs Group, Inc. (GS)	\$15,001 - \$50,000	o Dividends	\$201 - \$1,000	П
Guggenheim Canadian Energy Income ETF (ENY)	\$1,001 - \$15,000	Dividends	\$1 - \$200	П
Hudson James Group, LLC  LOCATION: Richmond, VA, US  DESCRIPTION: Consulting Services	\$15,001 - \$50,000	o Partnership	\$5,001 - \$15,000	П
IDT Corporation New Preferred Stock (IDT)	\$1,001 - \$15,000	Dividends	\$1 - \$200	
iShares U.S. Home Construction ETF (ITB)  DESCRIPTION: Formerly called Ishares Tr DJ Home Constn Fu	<b>\$1,001 - \$15,000</b> nd	Dividends	\$1 - \$200	<u>~</u>
Ladenburg Thalmann Financial Services Inc (LTS)	\$1,001 - \$15,000	None		
Lincoln National Corporation (LNC)	\$1,001 - \$15,000	Dividends	\$1 - \$200	П
Marathon Oil Corporation (MRO)	\$1,001 - \$15,000	Dividends	\$1 - \$200	П
Markel Corporation (MKL)	\$50,001 - \$100,000	None		
Market Vectors Agribusiness ETF (MOO)	\$15,001 - \$50,000	o Dividends	\$201 - \$1,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Market Vectors Rare Earth Strategic Metals ETF (REMX)		\$1 - \$1,000	Dividends	\$201 - \$1,000	<u> </u>
DESCRIPTION: Formerly called Market Vectors ETF Trust Min	or Metals				
Media General, Inc. (MEG)		\$1,001 - \$15,000	None		П
Merck & Company, Inc. Common Stock (MRK)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	П
Montpelier Re Holdings Ltd. (MRH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Newmont Mining Corporation (NEM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Northwestern Mutual Whole Life Insurance Policy		\$15,001 - \$50,000	None		
Pfizer, Inc. (PFE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Philip Morris International Inc (PM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Plum Creek Timber Company, Inc. (PCL)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
PowerShares CEF Income Composite Portfolio (PCEF)		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<b>V</b>
QUALCOMM Incorporated (QCOM)		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	
Raymond James Bank Deposit Program		\$15,001 - \$50,000	Interest	\$1 - \$200	П
Raymond James IRA $\Rightarrow$ Morgan Stanley Emerging Markets Fund, Inc. (MSF)		\$1,001 - \$15,000	Tax-Deferred	None	П
Raymond James IRA ⇒ Oncothyreon Inc Common Shares (ONTY)		\$1,001 - \$15,000	None		
Raymond James IRA ⇒ Rite Aid Corporation (RAD)		\$1,001 - \$15,000	None		П
Retail Opportunity Investments Corp. (ROIC)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<b>V</b>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Richmond Resources Hickory Park, LLC Note Receivable		\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000	П
DESCRIPTION: Commercial Real Estate, Richmond, VA					
Richmond Resources, Ltd. Note Receivable		\$1,000,001 - \$5,000,000	None		
Description: Real Estate Development, Richmond, VA					
Rio Tinto Plc (RIO)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
San Juan Basin Royalty Trust (SJT)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Schlumberger N.V. (SLB)		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	
SK Holdco, LLC		\$250,001 - \$500,000	None		
Description: Collision repair centers, Dallas, TX					
SK Holdco, LLC stock options		\$100,001 - \$250,000	None		
Description: \$100 exercise price, no expiration					
SPDR S&P Metals & Mining ETF (XME)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
St. Joe Company (JOE)		\$1,001 - \$15,000	None		
Straight Path Communications Inc. Class B (STRP)		\$1,001 - \$15,000	None		
SunTrust Banks, Inc. (STI)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Telephone and Data Systems, Inc. Common Shares (TDS)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Thirteen Capital Associates, LLC		\$250,001 - \$500,000	Partnership Income	\$100,001 - \$1,000,000	<u> </u>
LOCATION: New York, NY, US					
Description: Asset Management					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
UNITIL Corporation (UTL)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	П
Verizon Communications Inc. (VZ)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Virginia 529 inVEST ⇒ Aggressive Portfolio Location: VA		\$1,001 - \$15,000	Tax-Deferred	None	
Virginia 529 inVEST ⇒ Blue Ridge Portfolio  LOCATION: VA		\$15,001 - \$50,000	Tax-Deferred	None	
Virginia 529 inVEST ⇒ Moderate Portfolio Location: VA		\$1,001 - \$15,000	Tax-Deferred	None	
Virginia 529 inVEST ⇒ Potomac Portfolio Location: VA		\$1,001 - \$15,000	Tax-Deferred	None	
Virginia 529 inVEST ⇒ Southside Portfolio  Location: VA		\$15,001 - \$50,000	Tax-Deferred	None	
Virginia 529 prePAID ⇒ Virginia prePAID  LOCATION: VA		\$15,001 - \$50,000	Tax-Deferred	None	
Virginia Credit Union		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	
Virginia Retirement System ⇒ Virginia Retirement System Bond Fund		\$15,001 - \$50,000	Tax-Deferred	None	
Virginia Retirement System ⇒ Virginia Retirement System Defined Benefit Plans		\$100,001 - \$250,000	Tax-Deferred	None	П
Virginia Retirement System ⇒ Virginia Retirement System Small/Mid Cap Stock Fund		\$1,001 - \$15,000	Tax-Deferred	None	
Virginia Retirement System ⇒ Virginia Retirement System Stable Value Fund		\$1,001 - \$15,000	Tax-Deferred	None	П

Asset	Owner Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Virginia Retirement System ⇒ Virginia Retirement System Stock Fund	\$15,001 - \$50,000	Tax-Deferred	None	
Virginia Retirement System ⇒ Virginia Retirement System Target Date 2020 Portfolio Fund	\$50,001 - \$100,000	Tax-Deferred	None	П
Walt Disney Company (DIS)	\$15,001 - \$50,000	None		П
Water Tower Associates II, LLC	\$50,001 - \$100,000	Rent	\$5,001 - \$15,000	
LOCATION: Richmond, VA, US DESCRIPTION: Commercial Real Estate				
Water Tower Associates, LLC	\$250,001 - \$500,000	None		
Location: Richmond, VA, US Description: Commercial Real Estate				
Wells Fargo & Company (WFC)	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Weyerhaeuser Company (WY)	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	

<sup>\*</sup> Asset class details available at the bottom of this form.

# SCHEDULE B: TRANSACTIONS

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Alternative Investment Management, LLC LOCATION: New York, NY, US DESCRIPTION: Investment Management	02/27/2013	P	\$15,001 - \$50,000	
Alternative Investment Management, LLC ⇒ Thirteen Capital Associates, LLC  Location: New York, NY, US  Description: Investment Management	02/28/2013	P	\$100,001 - \$250,000	
Cameco Corporation (CCJ)	03/13/2013	S	\$1,001 - \$15,000	
Emigrant Incentive Savings PLan ⇒ PIMCO Investment Grade Corp Bond	11/15/2013	P	\$1,001 - \$15,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Emigrant Incentive Savings PLan ⇒ Vanguard GNMA Fund Inv	11/15/2013	S	\$1,001 - \$15,000	
Emigrant Incentive Savings PLan ⇒ Vanguard Interm-Term Bond Index	11/15/2013	P	\$1,001 - \$15,000	
Emigrant Incentive Savings PLan ⇒ Vanguard Interm-Term Invest-Gr Inv Fund	11/15/2013	S	\$1,001 - \$15,000	П
Emigrant Incentive Savings PLan ⇒ Vanguard Long-Term Invest-GR Inv Fund	11/15/2013	S	\$1,001 - \$15,000	П
Emigrant Incentive Savings PLan ⇒ Vanguard Short-Term Treasury Fund	11/15/2013	P	\$15,001 - \$50,000	
Goldman Sachs 401K $\Rightarrow$ Goldman Sachs Dwight Asset Management Stable Value SMA	05/31/2013	S	\$50,001 - \$100,000	П
iShares U.S. Home Construction ETF (ITB)	02/11/2013	P	\$1,001 - \$15,000	
Market Vectors Rare Earth Strategic Metals ETF (REMX)	02/11/2013	S (partial)	\$1,001 - \$15,000	П
PowerShares CEF Income Composite Portfolio (PCEF)	02/11/2013	P	\$1,001 - \$15,000	
Retail Opportunity Investments Corp. (ROIC)	02/11/2013	P	\$1,001 - \$15,000	

<sup>\*</sup> Asset class details available at the bottom of this form.

# SCHEDULE C: EARNED INCOME

Source	Туре	Amount
Alternative Investment Management, LLC	Spouse Salary	N/A
Domino's Pizza, Inc.	Spouse Director Fee	N/A
Media General, Inc.	Spouse Director Fee	N/A
Universal Corporation	Spouse Director Fee	N/A
Service King Holdings, LLC	Spouse Director Fee	N/A
Revlon, Inc.	Spouse Director Fee	N/A

Source	Туре	Amount
Knowledge Universe - US	Spouse Director Fee	N/A
Virginia Retirement System	Spouse Trustee Fee	N/A

## SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Type	Amount of Liability	
Wells Fargo Bank	April 2011	Mortgage on personal residence, Glen Allen, VA	\$500,001 - \$1,000,000	
Wells Fargo Bank	February 2010	Mortgage on personal residence, Arlington, VA	\$250,001 - \$500,000	

## **S**CHEDULE **E**: **P**OSITIONS

Position	Name of Organization	
Non-compensated President and Member	CMR, LLC	

# SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
March 2010	CMR, LLC and Simon and Schuster, Inc,	Book Publishing Agreement
1992	Commonwealth of Virginia	Participation in the Virginia Retirement System Plan for service in state legislature.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details		Inclusions				
Source	Start Date	<b>End Date</b>	Itinerary	Lodging?	Food?	Family?
American Israel Education Foundation	08/10/2013	08/18/2013	Richmond, VA - Israel - Richmond, VA	<u> </u>	<b>V</b>	<u> </u>

# SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### SCHEDULE A AND B ASSET CLASS DETAILS

0	Alternative Investment Management, LLC
	Location: US

- Alternative Investment Management, LLC 401K
- CollegeAmerica Location: VA
- Davenport IRA
- Director's Deferred Compensation Plan Location: US
- o Emigrant Incentive Savings PLan
- o Goldman Sachs 401K
- o Raymond James IRA
- Virginia 529 inVEST Location: VA
- Virginia 529 prePAID LOCATION: VA
- o Virginia Retirement System

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO**: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ○ No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ○ No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

∇es No

#### **COMMENTS**

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Eric Ivan Cantor, 07/21/2014