



Filing ID #10015848

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Earl Blumenauer  
**Status:** Member  
**State/District:** OR03

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2016  
**Filing Date:** 05/9/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
American Europacific Growth Fund - retirement	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Brown Advisory Sustainable Growth Winslow - retirement	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Campbell - Nob Hill NW 23rd and Hoyt		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Portland, OR, US					
Cohen & Steers Realty Share - retirement	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Congressional Credit Union		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
DFA US Large Cap Value - retirement	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Doubleline Total Return Bd Fd CLN - retirement	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Duplex - 6th St. SE		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: Washington, DC, US					
Federal Thrift Savings Plan		\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
Glenmede Small Cap Eqty Fund - retirement	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Glisan Street Apts, 1701 NW Glisan		\$50,001 - \$100,000	Rent	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: Portland, OR, US					
Ishares KLD Select Social Index Fund - retirement	SP	\$1 - \$1,000	None		<input type="checkbox"/>
Lazard Intl Strategic Equity OpenShares - retirement	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Lord Abbett Short Dur Income FD CL - retirement	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Metropolitan West Total Return Bond - retirement	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Northwest Natural Gas Company (NWN) - Common Stock (part of retirement and compensation package)	SP	\$1,000,001 - \$5,000,000	None		<input checked="" type="checkbox"/>
Northwest Natural Gas Company (NWN) - retirement	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
OnPoint Community Credit Union		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Oregon College Savings Plan for DC2 1-3 Years to College Portfolio	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: OR					
Oregon Public Employees Retirement System		Undetermined	None		<input type="checkbox"/>
Pax World High Yield Bond Fund - retirement	SP	\$1 - \$1,000	None		<input type="checkbox"/>
Pettygrove House, NW 23rd and Pettygrove		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Portland, OR, US					
PIMCO Foreign Bond Fund - retirement	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
PIMCO Foreign Bond Fund Class D - retirement	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
PIMCO Incm CLD - retirement	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
PIMCO Total Return III Fund - retirement	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Powershares ETF Fundamental High Yld CP Bond Port-retirement	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Sch US Div Equity ETF - retirement	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Sch US TIPS ETF - retirement	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Schw Emg Mkt EQ ETF - retirement	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Schw Intl EQ ETF - retirement	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Schwab Fundamental US Large Co Index - retirement	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
Schwab Intl Index Fund - retirement	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Schwab M Mkt Adv. Cash Reserves - retirement	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Schwab Money Market		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Schwab Money Market - retirement		\$1 - \$1,000	None		<input type="checkbox"/>
Schwab S+P 500 Index Fd - retirement	SP	\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>
Schwab S+P 500 Index Fd - retirement		\$15,001 - \$50,000	None		<input type="checkbox"/>
SEI Emerging Markets Equity - retirement	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
T. Rowe Price Retirement - Blackrock 2020 - retirement	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
The Joinery (part interest) - Company builds handcrafted solid wood furniture LOCATION: Portland, OR, US		\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>
Townhouse (1/2 interest) 2441 NE Weidler LOCATION: Portland, OR, US		\$100,001 - \$250,000	None		<input type="checkbox"/>
Vacation House (1/2 interest) LOCATION: Deer Isle, ME, US	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
Vanguard Short Term Inv Grade Fund - retirement		\$1,001 - \$15,000	None		<input type="checkbox"/>
Vanguard Short Term Inv Grade Fund - retirement	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Westcott LLC, 1701 NW Glisan LOCATION: Portland, OR, US		\$50,001 - \$100,000	Rent	\$2,501 - \$5,000	<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Brown Advisory Sustainable Growth Winslow- retirement DESCRIPTION: Dividend reinvestment	SP	12/30/2016	P	\$1,001 - \$15,000	
Northwest Natural Gas Company (NWN) DESCRIPTION: Dividend reinvestment	SP	02/12/2016	P	\$1,001 - \$15,000	
Northwest Natural Gas Company (NWN) DESCRIPTION: Dividend reinvestment	SP	05/13/2016	P	\$1,001 - \$15,000	
Northwest Natural Gas Company (NWN) DESCRIPTION: Dividend reinvestment	SP	08/15/2016	P	\$1,001 - \$15,000	
Northwest Natural Gas Company (NWN)	SP	11/15/2016	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Dividend reinvestment					
Northwest Natural Gas Company (NWN)	SP	11/16/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend reinvestment					
Schw Intl EQ ETF - retirement	SP	12/23/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend reinvestment					
Schwab Fundamental US Large Co Index - retirement	SP	12/19/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Capital gain reinvestment					
Schwab Fundamental US Large Co Index - retirement	SP	12/19/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend reinvestment					
Schwab Intl Index Fund - retirement	SP	12/19/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend reinvestment					
Schwab S+P 500 Index Fd - retirement	SP	12/19/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Capital gain reinvestment					
Schwab S+P 500 Index Fd - retirement	SP	12/19/2016	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend reinvestment					
The Joinery		12/9/2016	P	\$1,001 - \$15,000	
LOCATION: Portland, OR, US					
DESCRIPTION: Additional investment					

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Oregon Public Employees Retirement System	Pension	\$40,006.66
Northwest Natural Gas	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	M & T Realty, Buffalo, NY	February, 2004	Mortgage, Glisan Townhouses, 1701 NW Glisan, Portland, OR	\$15,001 - \$50,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
	M & T Realty, Buffalo, NY	January, 2010	Mortgage, Westcott LLC/Glisan Townhouses, 1701 NW Glisan, Portland, OR	\$15,001 - \$50,000
	Key Bank, Portland, OR	March, 2004	Mortgage, Campbell-Nob Hill, NW 23rd and Hoyt, Portland, OR	\$50,001 - \$100,000
	First Republic Bank, Portland, OR	October, 2012	Mortgage, Pettygrove House, NW 23rd and Pettygrove, Portland, OR	\$100,001 - \$250,000
	Stearns Lending, Inc., City of Industry, CA	April, 2012	Mortgage, Personal Residence	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Member of the Board of Directors	Rail~Volution
Member of the Board of Directors	The Joinery Holding Company

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
May 1996	Earl Blumenauer and the State of Oregon	Participant in defined benefit plan

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Aspen Institute	08/6/2016	08/16/2016	Portland, OR - London, England - Portland, OR	4	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Rail~Volution	10/9/2016	10/11/2016	Portland, OR - San Francisco, CA - Portland, OR	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Earl Blumenauer , 05/9/2017