



Filing ID #10025397

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Francis X. De Luca
Status: Congressional Candidate
State/District: NC03

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2019
Filing Date: 03/29/2019
Period Covered: 01/01/2018– 03/31/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
401k Civitas ⇒ American Funds Balanced [MF]		\$50,001 - \$100,000	Tax-Deferred		
401k Civitas ⇒ Federated Capital Preservation [MF]		\$50,001 - \$100,000	Tax-Deferred		
401k Civitas ⇒ Fidelity Advisor Bond [MF]		\$50,001 - \$100,000	Tax-Deferred		
401k Civitas ⇒ Ivy International Core [MF]		\$50,001 - \$100,000	Tax-Deferred		
401k Civitas ⇒ MFS Mid Cap Value Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		
401k Civitas ⇒ T Rowe Price 2020 Active [MF]		\$50,001 - \$100,000	Tax-Deferred		
401k Civitas ⇒ T Rowe Price 2030 [MF]		\$50,001 - \$100,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
401k Civitas ⇒ T Rowe Price Blue Chip [MF]		\$50,001 - \$100,000	Tax-Deferred		
401k Civitas ⇒ Vanguard Equity [MF]		\$50,001 - \$100,000	Tax-Deferred		
401k Civitas ⇒ Vanguard Small Cap [MF]		\$50,001 - \$100,000	Tax-Deferred		
BB&T 401k ⇒ BB&T Associate Deposit [BA]	SP	\$50,001 - \$100,000	Tax-Deferred		
BB&T 401k ⇒ BB&T Common Stock Fund [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
BB&T 401k ⇒ L & G S&P 500 Collective [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
BB&T 401k ⇒ Sterling Capital Total Bond Fund [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
BB&T 401k ⇒ T Rowe Price 2020 Active [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
BB&T Corporation (BBT) [ST]	SP	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
BB&T Deferred Compensation ⇒ BB&T Associate Deposit [BA]	SP	\$250,001 - \$500,000	None		
BB&T Deferred Compensation ⇒ Vanguard Ind Index [MF]	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	None
DESCRIPTION: Deferred Comp payouts begin 2/2019					
BB&T personal Checking account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
BB&T Rental Account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Boeing Company (BA) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
Cary NC [RP]	JT	\$250,001 - \$500,000	Rent	\$2,501 - \$5,000	\$5,001 - \$15,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LOCATION: Cary/Wake, NC, US					
General Electric Company (GE) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Jacksonville NC [RP]	JT	\$100,001 - \$250,000	Rent	\$1,001 - \$2,500	\$5,001 - \$15,000
LOCATION: Jacksonville/Onslow, NC, US					
JBG SMITH Properties Common Shares (JBGS) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Microsoft Corporation (MSFT) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Roth IRA ⇒ Altria Group, Inc. (MO) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ Ford Motor Company (F) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ KKR & Co. Inc. Class A (KKR) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ Senior Housing Properties Trust - Common Shares of Beneficial Interest (SNH) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ TriplePoint Venture Growth BDC Corp. (TPVG) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ Verizon Communications Inc. (VZ) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 3946 ⇒ Alpine Riverfront [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 3946 ⇒ Cap World GRW [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 3946 ⇒ Euro pacific F3 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 3946 ⇒ Fidelity Health Care [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Scott and Stringfellow 3946 ⇒ Fidelity MSCI [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 3946 ⇒ Fidelity Tech [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 3946 ⇒ JP Morgan Large Cap [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 3946 ⇒ Lattice Hartford [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 3946 ⇒ Lord ABB FLT [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 3946 ⇒ New World Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 3946 ⇒ Scott and Stringfellow money market [BA]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 3946 ⇒ T Rowe Price Mid Cap [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 3946 ⇒ Temple GLB [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 3946 ⇒ TIAA BD [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 3946 ⇒ Vanguard Mid Cap [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 3946 ⇒ Virtus KAR [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Abbott Laboratories (ABT) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ AbbVie Inc. (ABBV) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Scott and Stringfellow 7306 ⇒ Analog Devices, Inc. (ADI) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Anthem, Inc. (ANTM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ AT&T Inc. (T) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Carnival Corporation (CCL) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Chubb Limited (CB) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Crown Castle International Corp. (CCI) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ CVS Health Corporation (CVS) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Delta Air Lines, Inc. (DAL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Discover Financial Services (DFS) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Enbridge Inc (ENB) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ General Motors Company (GM) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Honeywell International Inc. (HON) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Lowe's Companies, Inc. (LOW) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Maxim Integrated Products, Inc. (MXIM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Scott and Stringfellow 7306 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Morgan Stanley (MS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Nasdaq, Inc. (NDAQ) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Occidental Petroleum Corporation (OXY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Pepsico, Inc. (PEP) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Pfizer, Inc. (PFE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Phillips 66 (PSX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Scott and Stringfellow money market account [BA]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ United Parcel Service, Inc. (UPS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒ Wells Fargo & Company (WFC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow 7306 ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Weyerhaeuser Company (WY) [ST]					
Scott and Stringfellow Francis IRA ⇒ ALPS Riverfront [MF]		\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow Francis IRA ⇒ Capworld [MF]		\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow Francis IRA ⇒ Euro pacific GRW [MF]		\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow Francis IRA ⇒ Fidelity Healthcare [MF]		\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow Francis IRA ⇒ Fidelity Info Tech [MF]		\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow Francis IRA ⇒ Fidelity MSCI [MF]		\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow Francis IRA ⇒ Fundamental F3 [MF]		\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow Francis IRA ⇒ JP Morgan Value Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow Francis IRA ⇒ Lattice Hartford [MF]		\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow Francis IRA ⇒ New World F 3 [MF]		\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow Francis IRA ⇒ T Rowe Price Mid Cap [MF]		\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow Francis IRA ⇒ Temple GLB [MF]		\$1,001 - \$15,000	Tax-Deferred		
Scott and Stringfellow Francis IRA ⇒ TIAA BD PLSI [MF]		\$15,001 - \$50,000	Tax-Deferred		
Scott and Stringfellow Francis IRA ⇒ Vanguard Mid Cap [MF]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Scott and Stringfellow Francis IRA ⇒ Virtus KAR SMCP [MF]		\$1,001 - \$15,000	Tax-Deferred		
State Employees Credit Union [BA]	JT	\$100,001 - \$250,000	Interest	\$201 - \$1,000	\$1,001 - \$2,500
Topsail Beach NC [RP]	JT	\$500,001 - \$1,000,000	Rent	None	\$50,001 - \$100,000
LOCATION: Topsail Beach/Pender County, NC, US					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Civitas Institute	salary	N/A	\$43,153.00
BB&T Corporation	Spouse salary	N/A	N/A
BB&T Corporation	Spouse pension	N/A	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	BB&T Mortgage	September 2014	Mortgage on Investment Property at 609 Maritime Way, Topsail Beach NC	\$250,001 - \$500,000
JT	BB&T Mortgage	September 2017	Mortgage on Investment Property at 114 Cumberland Green Drive, Cary NC	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- 401k Civitas
- BB&T 401k (Owner: SP)
- BB&T Deferred Compensation (Owner: SP)
- Roth IRA
- Scott and Stringfellow 3946 (Owner: SP)
- Scott and Stringfellow 7306 (Owner: SP)
- Scott and Stringfellow Francis IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Francis X. De Luca , 03/29/2019