| N _O | Yes | ependent child | ies of a spouse or de ommittee on Ethics. | EXEMPTION —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | arned" income, ss you have firs | er assets, "une wer "yes" unle | s report any other | luded from this | - Have you excl | EXEMPTION because they I |
|----------------|--|-------------------------|---|--|--------------------------------------|-----------------------------------|--|---|---|--|
| No No | Yes 🔲 | ot be | epted trusts" need not child? | TRUSTS —Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child? | nittee on Ethics ting you, your s | d by the Comn า a trust benefi | Trusts" approve | Qualified Blind from this repo | ails regarding "C e you excluded t | TRUSTS - Del disclosed. Hav |
| S | QUESTION |)F THESE | SWER EACH OF THESE QUESTIONS | - AN | TINFORM | OR TRUS | EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION | USE, DEI | N OF SPO | EXCLUSIO |
| | response. | each "Yes" | le attached for | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response | and the ap | e answerec | part must b | tion in this | Each quest | |
| No X | Yes | \$5,000 from | pensation of more than a pensation of more than a pensation years? | VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI. | No | Yes | ive any report- ting period? | pendent child ha during the report pdule III. | III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III. | III. Did you, you able liability (moi if yes, complete |
| S | Yes 🔀 | rangement | oortable agreement or an | V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V. | S □ | Yes | eive "unearned" or hold any nd of the period? | endent child receporting period \$1,000 at the er | II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II. | II. Did you, your income of more reportable asset if yes, complete |
| × | Yes | pefore the date | ortable positions on or bendar year or in the price tach Schedule IV. | IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV. | Š | Yes | e.g., salaries or xrting period? | arned" income (urce in the repo adule t. | Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I. | I. Did you or you fees) of \$200 or If yes, complete |
| , | | | | ANSWER EACH OF THESE QUESTIONS | OF THESI | ER EACH | l e | int clearly in bl | In all sections, please type or print clearly in blue or black ink. PRELIMINARY INFORMATION — ANSW | In all sections, I |
| who files | against any individual w more than 30 days late. | against au more than | Amendment | 101 | Election: | Office: | Employing | House of Hepresentatives New officer or employee | | Status |
| assessed | • | A \$200 pe | Check if | 8/9/2014 | Date of | NS | State: F | for the | X Candidate for the | Filor |
| NTATIVES | OFFICE OF THE CLERK U.S. HOUSE OF REPRESENTATIVES (Office Use Only) | L W.S.H | | Daytime Telephone | Daytime | | MERCAPO KIM | 全 RCA | DNNA Z | Name: |
| H: O | ZUISDECTT ETHES. | 701. | | | | | , | | | |
| age 1 of 5 | LEGISLATIVE RESOURCE CENTER | LEGIS | ∄ B and new employees | FORM For use by candidates a | 2013 F | +0' | UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT Period covered: January 1, 2013- NOVEMBER 26 | JSE OF RE URE STAT | UNITED STATES HOUSE OF REPRESE FINANCIAL DISCLOSURE STATEMENT Period covered: January 1, 2013 - NOVE | UNITED S FINANCIA Period cove |
| | | | | | | | | | | |

SCHEDULE I — EARNED INCOME (INCLUDING HONORARIA)

Name DONNA MERCADO KIM

Page 2 of 5

more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military may (such as National Guard or Beserve nav), foderal retirement programs, and benefits received under the Social Security Act List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or

| Exclude: Military pay (such as National Guard or Heserve pay), tederal retirement programs, and benefits received under the Social Security Act. | ement programs, and benefits rec | ceived under the Social a | Security Act. |
|--|----------------------------------|---------------------------|----------------|
| Source (include date of receipt for honoraria) | Type | Amount | unt |
| Course (montes date of receipt for increasing) | 1,36% | Current Year to Filing | Preceding Year |
| XYZ Corporation, Houston, TX | Salary | \$6,300 | \$28,450 |
| First Bank & Trust, Houston, TX | Director's Fee | \$400 | \$3,200 |
| | Honorarium | 0 | \$1,000 |
| Harris County, Texas Public Schools | Spouse Salary | NA. | NA |
| STATE LEGISLATURE - SPATE OF HAWAII | SALARY (SENATOR) | #51,743.39 | *59,625 |
| LIFE VANTAGE | SALARY (PISTRIBUTER) | 3,748 | 6,474 |
| SEND OUT CARDS | SALARY (DETRIBUTOR) | 289 | 426 |
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| GUARDIAN LIFE INS. | MORGAN STANLEY MORGAN STANLEY | | DC, Examples: Simon & Schuster | SP, SP Mega Corp. Stock | homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule II requirements, please refer to the instruction booklet. | location in Block A. | For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic | Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and the city and state. |
|---------------------------------------|--------------------------------|---|--------------------------------|-------------------------|--|----------------------|--|---|
| × | × | × | Indefinite | X | None \$1 - \$1,000 \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$250,000 \$250,001 - \$500,000 \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 \$5,000,001 - \$5,000,000 \$25,000,001 - \$50,000,000 Over \$50,000,000 Spouse/DC Asset over \$1,000,000* | | А В С В Е Е В В В В В В В В В В В В В В В | Value of Asset Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None." *This column is for assets solely held by your spouse or dependent child. |
| × × × × × × × × × × × × × × × × × × × | × | × | Royaties | X | NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income (Specify: e.g., Partnership Income or Farm Income | me) | | Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "tax-Deferred" column. Dividends, Interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period. |
| × | × | | × | X | None \$1 - \$200 \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000 Spouse/DC Income over \$1,000,000* None \$1 - \$200 \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$15,000 \$15,001 - \$15,000 \$100,001 - \$1,000,000 \$1,000,001 - \$1,000,000 \$1,000,001 - \$1,000,000 | | Current Year Preceding Year | For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. * This column is for income derived from assets solely held by your spouse or dependent child. |

SCHEDULE III — LIABILITIES

Name DONNA MERCADO KIM

Page 4 of 5

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furnitively. ling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000. ture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sib-

| | | | | | | | | 71100 | VIIIONIII OI | | 4 | | | |
|-----------|---------------------------------------|---------------------|--|----------------------|-----------------------|-----------------------|-------------------------|---------------------------------------|-------------------------------------|-----------------------------|------------------------------|----------------------|---------------------------|-------------------------------|
| 3.S | | Date Liability | | A | В | ဂ | 0 | m | | ۵ | | • | ٦ | X |
| JT DC, | Creditor | Incurred mo/year | Type of Liability | \$10,001 \$15,000 | \$15,001— \$50,000 | \$50,001 \$100,000 | \$100,001— \$250,000 | \$250,001— \$500,000 \$500,001— | \$1,000,000 \$1,000,00 1— | \$5,000,000 \$5,000,001— | \$25,000,000 \$25,000,001 | \$50,000,000 Over | \$50,000,000 Spouse/DC | Liability over \$1.000.000 |
| | Example: First Bank of Wilmington, DE | May 1998 | Mortgage on 123 Main Street, Dover, DE | | | | | | | | | | | |
| | HANAH 30 ANVE | | NO BENDLAON | | • | | | | | | | | | |
| | HONOLULA, HI | 1979 | 1979 1528 ONIFA ST. HOWWILL !! !! | | Χ | | | | | | | | | |
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SCHEDULE IV — POSITIONS

any nonprofit organization, any labor organization, or any educational or other institution other than the United States cer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an offi-

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization);

| _ | _ | _ | _ | - |
|---|---|---|----------------------|---|
| | | | Position | and positions solely of an nonorary nature. |
| | | | | ature. |
| | | | Name of Organization | |
| | | | | |

SCHEDULE V — AGREEMENTS

Name DUNA MERCADO KIM

Page 5 of 5

| | # 0000 Ld# | Date | Identify the date, p service; continuation efit plan maintaine |
|--|---|--------------------|---|
| | APT 2000 HEALTH BENEFIT TRUST FUND (EUTF) | Parties To | Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future emplo service; continuation or deferral of payments by a former or current employer other than the U.S. Government effit plan maintained by a former employer. |
| | HEALTH INSURANCE | Terms of Agreement | Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer. |

SCHEDULE VI — COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the *two* prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule I.

| Q | |
|---|-----------------------------|
| Source (Name and Address) | Brief Description of Duties |
| Example: Doe Jones & Smith, Hometown, Homestate | Accounting services |
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Morgan Stanley



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CLIENT STATEMENT | For the Period July 1- August 31, 2013

Account Detail

Choice Select Belimment Asset t DONNA ANN KIM

CASH, DEPOSITS AND MONEY MARKET FUNDS

Cash, Deposits and Money Market Funds are generally displayed on a settlement date basis. However, Global Currency Deposits may include both settled and unsettled positions. Estimated Annual Income, Accrued Interest and APY% will only be displayed for fully settled positions. In the event, all or a portion of a Global Currency Deposit position is unsettled as of month end, this data will not be available.

| Description MORGAN STANLEY PRIVATE BANK NA # | Value \$16,921.35 | Estimated Annual Income \$2.00 | 7-Day Current Yield % | Annual Percentase Yield 0.010 |
|---|------------------------------------|-----------------------------------|-----------------------|---|
| CASH, DEPOSITS AND MONEY MARKET FUNDS | Percentage of Assets % 20.0% | Market Value \$16,921.35 | | Estimated Annual Income Accrued Interest \$2.00 |

bank and FDIC member. # Bank Deposits are held at either: (1) Morgan Stanley Bank, N.A., and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC, or (2) Citibank, N.A., each a national

STOCKS

COMMON STOCKS

are not representations or guarantees of performance. Because the applicable research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not inter its contents from the rating. Where a particular company is covered by both Morgan Stanley and Citi Research, you can and should view both research reports. Citi Research's equity research ratings are (1) Buy, (2) Neutral and (3) Sell. For ease of comparison, Morgan Stanley and Standard & Poor's equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell). Please refer to the quarter Smith Barney LLC does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of research prepared for Standard & Poor's. month-end statement (or your first statement, if you have not yet received a statement at the quarter-end) for a summary guide describing Morgan Stanley, Citi Research and Standard & Poor's ratings. Morgan Stanley Morgan Stanley & Co. LLC (Morgan Stanley), Citi Research, and Standard & Poor's equity research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and

| Security Description | Quantity | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|--|--|------------|--------------|------------------------|----------------------------|---------------------|
| AMAZON COM INC (AMZN) | 10.000 | \$2,609.98 | \$2,809.80 | \$199.82 | J | ı |
| Share Price: \$280.980; Rating: Morgan Stanley: 1, Citigroup: 1, S&P: 2 | up: 1, S&P: 2 | | | | - | |
| AMERICAN EXPRESS CO (AXP) | 150.000 | 2,034.20 | 10,786.50 | 8,752.30 | 138.00 | 1.27 |
| Share Price: \$71.910; Rating: Morgan Stanley: 2, Citigroup: 1, S&P: 1; Next Dividend Payable 11/2013 | p: 1, S&P: 1; Next Dividend Payable 11/2013 | | | | | |
| APPLE INC (AAPL) | 55.000 | 6,360.51 | 26,796.88 | 20,436.37 | 671.00 | 2.50 |
| Share Price: \$487.216; Rating: Morgan Stanley: 1, Citigroup: 2, S&P: 1; Next Dividend Payable 11/2013 | up: 2, S&P: 1; Next Dividend Payable 11/2013 | | | | | |
| CONOCOPHILLIPS (COP) | 50.000 | 2,567.48 | 3,315.00 | 747.52 | 138.00 | 4.16 |
| Share Price: \$66.300; Reting: Morgan Stanley: 3, Citigroup: 1, S&P: 1; Next Dividend Payable 09/03/13 | p: 1, S&P: 1; Next Dividend Payable 09/03/13 | | | J | | |
| E-SMART TECH INC NEW | 500.000 | 2,200.00 | A/N | N/A | , | 1 |
| Share Price: N/A | | | | | | |
| EBAY INC (EBAY) | 50,000 | 2,666.30 | 2,499.50 | (166.80) | , |] |
| Share Price: \$49.990; Rating: Morgan Stanley: 1, Citigroup: 1, S&P: 2 | p: 1, S&P: 2 | | | | | |
| GERDAU S.A. ADR (GGB) | 50.000 | 836,00 | 359,00 | (477.00) | 1.87 | 0.52 |
| Share Price: \$7.180 | | | | | | |
| HOLOGIC INC (HOLX) | 104.000 | 2,729.00 | 2,219.36 | (509.64) | , | |
| Share Price: \$21.340; Rating: Morgan Stanley: 1, Citigroup: 1, S&P: 2 | p: 1, S&P: 2 | | | | | |
| | | | | | | |

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Morgan Stanley

CLIENT STATEMENT | For the Period July 1- August 31, 2013

Account Detail

Choice Select Retirement Account

DOMNA ANN VIN

STOCKS

COMMON STOCKS (CONTINUED)

| 1.61% | \$980,12 \$0,00 | \$33,710.26 | \$60,993.02 | \$29,482.76 | 72.2% | STOCKS |
|---------------------|--|---------------------------|--------------|-------------|------------------------------------|--|
| % PPA | Estimated Annual Income Accrued Interest | Unrealized Gain/(Loss) | Market Value | Total Cost | Percentage of Assets % | |
| | | | | | 1, S&P: 1 | Share Price: \$3,580; Rating: Morgan Stanley: 2, Citigroup: 1, S&P 1 |
| 1 | J | (840.00) | 1,074.00 | 1,914.00 | 300.000 | SIRIUS XM RADIO INC COM (SIRI) |
| | | | | 9/03/13 | 2, S&P: 1; Next Dividend Payable 0 | Share Price: \$57.100; Rating: Morgan Stanley: 1, Citigroup: 2, S&P: 1; Next Dividend Payable 09/03/13 |
| 2.18 | 31.25 | 664.48 | 1,427.50 | 763.02 | 25.000 | PHILLIPS 66 COM (PSX) |
| | | | | | | Share Price: \$0.114 |
| 1 | i | (291.20) | 22.80 | 314.00 | 200.000 | PATRIOT SCIENTIFIC CORP (PTSC) |
| | | | | | . 1 | Share Price: \$70.740; Rating: Morgan Stanley: 1, Citigroup: 1 |
| ı | 1 | 5,175.00 | 7,074,00 | 1,899.00 | 100.000 | NETEASE.COM INC ADS (NTES) |
| | | | | | | Share Price: \$2.410 |
| 1 | 1 | 335.11 | 2,410.00 | 2,074.89 | 1,000.000 | LIFEVANTAGE CORP (LFVN) |
| | | | | | : 2, S&P: 2 | Share Price: \$22.360; Rating: Morgan Stanley: 1, Citigroup: 2, S&P: 2 |
| | | | | | | (LVLT) |
| 1 | | (125.98) | 44,72 | 170.70 | 2.000 | LEVEL 3 COMMUNICATIONS INC NEW |
| | | | | | | Share Price: \$12.830; Rating: S&P: I |
| 1 | ı | (189.72) | 153,96 | 343.68 | 12.000 | JDS UNIPHASE CP NEW (JDSU) |
| Dividend Yield % | Estimated Annual Income | Unrealized Gairy(Loss) | Market Value | Total Cost | Quantity | Security Description |

MUTUAL FUNDS

OTHER MUTUAL FUNDS
"Total Purchases vs Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash; due to but not limited to the following: investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account.

"Net Value Increase)" reflects the difference between your total purchases, plus the cash distributions shown, and the current value of the fund's shares. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes.

| Security Description | | Quantity | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Na Dividend |
|---|----------------------|----------------------|------------|--------------|------------------------|----------------------------|-------------|
| ALLIANCEBER GROWTH A (AGREX) | Purchases | 42.920 | \$1,682.90 | \$2,064,88 | \$110.74 | | į |
| Reinvestments | | 12.701 | 597.75 | 611.05 | 13.30 | | |
| | Total | 55.621 | 2,280.65 | 2,675.93 | 124.04 | 1 | ı |
| Share Price: \$48.110; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest | end Reinvestment; Ca | pital Gains Reinvest | | | | | |

Morgan Stanley

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CLIENT STATEMENT | For the Period July 1- August 31, 2013

Account Detail

Choice Select Retirement Account

DONALA ANN PIL

| | MUTUAL! |
|---|--------------|
| ! | FUNDS |
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| OTHER MUTUAL FUNDS (CONTINUED) Security Description | | Quantity | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|--------------------|------------------------|------------|--------------|---------------------------|---|---------------------|
| FIDELITY ADV NEW INSIGHTS A (FNIAX) | Purchases | 140.449 | 2,500.00 | 3,693.81 | 1,193.81 | | |
| Reinvestments | | 5.536 | 114.96 | 145.60 | 30.64 | | |
| | Total | 145.985 | 2,614.96 | 3,839,41 | 1,224.45 | | 1 |
| Total Purchases vs Market Value | | | 2,500.00 | 3,839.41 | | | |
| Net Value Increase/(Decrease) | | | | 1,339.41 | | | |
| Share Price: \$26.300; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest | nd Reinvestment; C | apital Gains Reinvest | | | | | |
| | | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated <u>Annual Income</u> Accrued Interest | Yield % |

Transactions in mutual fund positions held directly at the Fund Company are not included in the total above and are not reflected on the Summary Page. For more information about the pricing of Money Market Funds, please see the Expanded Disclosures.

\$4,895.61

\$1,348.49

\$0,00

MUTUAL FUNDS

| TOTAL MARKET VALUE | |
|--------------------|--|
| 100.0% | Percentage of Assets % |
| \$34,378.37 | Total Cost |
| \$84,429.71 | Market Value |
| \$35,058.75 | Unrealized Gain/(Loss) |
| \$982,12 \$0,00 | Estimated Annual Income Accrued Interest |
| 1.16% | Yield % |

TOTAL VALUE (includes accrued interest)

\$84,429.71

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

ACTIVITY

CASH FLOW ACTIVITY BY DATE

| Transact | Transaction Settlement | | | | 3 | | |
|----------|------------------------|--------------------|--------------------------------|-------------------------------|----------|-------|------------------|
| Date | Date | Activity Type | Description | Comments | Quantity | Price | Credits/(Debits) |
| 7/30 | 7/30 | interest income | MORGAN STANLEY PRIVATE BANK NA | | | | \$0.15 |
| | | | (Period 06/28-07/30) | | | | |
| 8/9 | 8/9 | Qualified Dividend | AMERICAN EXPRESS CO | | | | 34,50 |
| 8/15 | 8/15 | Qualified Dividend | APPLE INC | | | | 167.75 |
| 8/28 | 8/28 | Dividend | GERDAU S.A. ADR | | | | 0.00 |
| | | | ADJ GROSS DIV AMOUNT 0.21 | | | | |
| | | | FOREIGN TAX PAID IS 0.21 | | | | |
| 8/28 | 8/28 | Qualified Dividend | GERDAU S.A. ADR | | | | 1.23 |
| 8/28 | 8/28 | Service Fee | GERDAU S.A. ADR | AGENT CUSTODY FEE \$0.0057/SH | | | (0.29) |

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CLIENT STATEMENT | For the Period August 1-31, 2013

Morgan Stanley

Active Assets Account

MICAH PK AIII e.

Account Detail

STOCKS

COMMON STOCKS

Morgan Stanley & Co. LLC (Morgan Stanley), Citi Research, and Standard & Poor's equity research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the applicable research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Where a particular company is covered by both Morgan Stanley and Citi Research, you can and should view both research reports. Citi Research's equity research ratings month-end statement (or your first statement, if you have not yet received a statement at the quarter-end) for a summary guide describing Morgan Stanley, Citi Research and Standard & Poor's ratings. Morgan Stanley are (1) Buy, (2) Neutral and (3) Sell. For ease of comparison, Morgan Stanley and Standard & Poor's equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Self). Please refer to the quarter Smith Barney LLC does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of research prepared for Standard & Poor's. inraslizad Estimated

| 2.44% | \$448.45 \$0.00 | \$9,665.93 LT \$9.00 ST | \$18,353,86 | \$8,678.90 | | 98.3% | | STOCKS |
|---------|--|----------------------------|--------------|------------|--------------------|------------------------|----------------------------|--|
| Yield % | Estimated Angual Income Accrued Interest | Unrealized Gain/(Loss) | Market Value | Total Cost | | Percentage of Assets % | | |
| | | | | 3 | d Payable 09/12/1: | P: 2; Next Dividend | nley: 1, Citigroup: 1, S& | Share Price: \$33.400; Rating: Morgan Stanley: 1, Citigroup: 1, S&P: 2; Next Dividend Payable 09/12/13 |
| | | 5.10 ST | | | | | | |
| 2.75 | 48.38 | (504.05) LT | 1,756,37 | 2,255.32 | | 52.586 | Total | |
| | | 5,10 ST | 51.07 | 45.97 | | 1.529 | | Short Term Reinvestments |
| | | 5.08 LT | 35.30 | 30.22 | | 1.057 | | Long Term Reinvestments |
| | | (509.13) LT | 1,670.00 | 2,179.13 | | 50.000 | Purchases | |
| | | (509.13) LT | 1,670.00 | 2,179.13 | 43.583 | 50,000 | 11/8/99 | MICROSOFT CORP (MSFT) |
| | | | | | | | | Share Price: \$2.410 |
| 1 | 1 | (318.40) LT | 1,205.00 | 1,523,40 | 3.047 | 500.000 | 3/14/12 | LIFEVANTAGE CORP (LFVN) |
| | | | | 13 | nd Payable 09/20 | &P: 1; Next Divide | ınley: I, Citigroup: 2H, S | Share Price: \$33.120; Rating: Morgan Stanley: 1, Citigroup: 2H, S&P: 1; Next Dividend Payable 09/2013 |
| 6.52 | 23.76 | (1,974.20) LT | 364.32 | 2,338.52 | 212.593 | 11.000 | 10/14/99 | CENTURYLINK INC (CTL) |
| | | | | 3 | nd Payable 11/201 | &P: 1; Next Divide | tanley: 1, Citigroup: 2, S | Share Price: \$487.216; Rating: Morgan Stanley: 1, Citigroup: 2, S&P: 1; Next Dividend Payable 11/2013 |
| | | 3.90 ST | | | | | | |
| 2.50 | 376.31 | 12,462.58 LT | 15,028.17 | 2,561.66 | | 30.845 | Total | |
| | | 3.90 ST | 350.30 | 346.40 | | 0.719 | | Short Term Reinvestments |
| | | (18.12) נז | 61.38 | 79.50 | | 0.126 | | Long Term Reinvestments |
| | | 12,480.70 LT | 14,616,46 | 2,135.76 | | 30.000 | Purchases | |
| | | 5,042.82 LT | 5,846.58 | 803.76 | 66.980 | 12.000 | 2/6/06 | |
| | | \$7,437.88 LT | \$8,769.88 | \$1,332.00 | \$74.000 | 18.000 | 12/6/05 | APPLE INC (AAPL) |
| Dreid % | Annuai income | Unifealized Gain/(Loss) | Market Value | Total Cost | Unit Cost | Quantity | Trade Date | Security Description |

