

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

**FORM A** Page 1 of 13  
For use by Members, officers, and employees

**HAND  
DELIVERED**

Hon. Connie Mack

(Full Name)

(Daytime Telephone)

(Office Use Only)

2013 MAR 26 PM 3:46

(Office Use Only)

**Filer Status**  
☒ Member of the U.S. House of Representatives  
State: FL District: 14

☐ Officer Or Employee  
Employing Office:

**Report Type**  
☐ Annual (May 15) ☐ Amendment ☒ Termination

Termination Date: 1/2/2013

U.S. HOUSE OF REPRESENTATIVES  
A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? <b>If yes, complete and attach Schedule I.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? <b>If yes, complete and attach Schedule VI.</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? <b>If yes, complete and attach Schedule II.</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? <b>If yes, complete and attach Schedule VII.</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? <b>If yes, complete and attach Schedule III.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? <b>If yes, complete and attach Schedule VIII.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? <b>If yes, complete and attach Schedule IV.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? <b>If yes, complete and attach Schedule IX.</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? <b>If yes, complete and attach Schedule V.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<b>Trusts--</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
JP MORGAN CHASE BANK NA TEFRA ACCOUNT	SPOUSE PENSION	\$6,024
PAUL BROWNSTEIN PRODUCTIONS	SPOUSE SALARY	\$1,286

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p>		<p>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP	SOUNDEXCHANGE, INC.	INDEFINITE	ROYALTIES	\$1,001 - \$2,500	
SP	UNIVERSAL	INDEFINITE	SETTLEMENT	\$50,001 - \$100,000	
SP	WARNER MUSIC	INDEFINITE	NONE	NONE	
	WESTERFIELD SCOTCH, LLC RENTAL PROPERTY IN COLORADO PARTNER SHARE 16.67%	\$1 - \$1,000	NONE	NONE	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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WESTERFIELD SCOTCH, LLC  
RENTAL PROPERTY IN COLORADO  
PARTNER SHARE 16.67%

SP		\$50,001 - \$100,000	NONE	NONE	
SP	WIXEN MUSIC PUBLISHING, INC	INDEFINITE	ROYALTIES/ INTEREST	\$50,001 - \$100,000	
SP	IRRA - AMEX TECHNLOGY SELECT SPDR	None	TAX DEFERRED	NONE	S
SP	IRRA - CONSUMER DISCRETIONARY SPDR	\$15,001 - \$50,000	TAX DEFERRED	NONE	PS(part)
SP	IRRA - HEALTH CARE SELECT SPDR	\$15,001 - \$50,000	TAX DEFERRED	NONE	PS(part)
SP	IRRA - MATERIALS SELECT SECTOR SPDR FUND	\$1,001 - \$15,000	TAX DEFERRED	NONE	S(part)
SP	IRRA - MERRILL LYNCH - CASH ACCOUNT	\$1,001 - \$15,000	TAX DEFERRED	NONE	
SP	IRRA - SECTOR SPDR CONSUMERS STPL	\$15,001 - \$50,000	TAX DEFERRED	NONE	P
SP	IRRA - SECTOR SPDR ENERGY	\$15,001 - \$50,000	TAX DEFERRED	NONE	PS(part)
SP	IRRA - SECTOR SPDR FINANCIAL	None	TAX DEFERRED	NONE	PS
SP	IRRA - SECTOR SPDR INDUSTRIAL	\$15,001 - \$50,000	TAX DEFERRED	NONE	PS(part)
SP	IRRA - SECTOR SPDR UTILITIES	\$15,001 - \$50,000	TAX DEFERRED	NONE	P
SP	IRRA - VANGUARD FINANCIALS ETF	\$15,001 - \$50,000	TAX DEFERRED	NONE	P

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	IRRA - VANGUARD INFORMATION TECH ETF	\$15,001 - \$50,000	TAX DEFERRED	NONE	P
SP	IRRA - VANGUARD TELECOMM SRVCS ETF	\$1,001 - \$15,000	TAX DEFERRED	NONE	P
SP	CK - CALIFORNIA ST ECONOMIC RECOVERY LT SER A RF NOV 09 05000% JUL01 18	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	P

SP	CK - CALIFORNIA ST FEB07 05.000% DEC01 17	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
SP	CK - CALIFORNIA ST JUL 08 03.500% AUG01 13	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
SP	CK - CALIFORNIA ST NOV 03 05.25% NOV01 19	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
SP	CK - CALIFORNIA ST NOV07 03.800% NOV01 14	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
SP	CK - CALIFORNIA ST VAR PURP APR09 05.000% APR01 15	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	

SP	CK - CASH ACCOUNT	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	CK - LOS ANGELES CALIF UNI SCH DIST SER A AGM PRF 13 MAR03 0.5000% JUL01 22	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	

SP	IRA - FEDERAL FARM CREDIT BANK	\$15,001 - \$50,000	TAX DEFERRED	NONE	
SP	IRA - FEDERAL FARM CREDIT BANK NOTES 04.875% DEC 16 2015	\$15,001 - \$50,000	TAX DEFERRED	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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SP	IRA - JP MORGAN CHASE & CO NOTES SER 2 01.650% SEP 30 2013	\$15,001 - \$50,000	TAX DEFERRED	NONE	
SP	IRA - JP MORGAN CHASE & CO SUBORDINATED GLB 05.750% JAN02 2013	None	TAX DEFERRED	NONE	S
SP	AMEX TECHNOLOGY SELECT SPDR	None	DIVIDENDS/CAP ITAL GAINS	\$5,001 - \$15,000	S
SP	CONSUMER DISCRETIONARY SPDR	\$1,001 - \$15,000	DIVIDENDS/CAP ITAL GAINS	\$1,001 - \$2,500	PS(part)
SP	HEALTH CARE SELECT SPDR	\$1,001 - \$15,000	DIVIDENDS/CAP ITAL GAINS	\$1,001 - \$2,500	S(part)
SP	MATERIALS SELECT SECTOR SPDR FUND	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
SP	SECTOR SPDR CONSUMERS STPL	\$1,001 - \$15,000	DIVIDENDS/CAP ITAL GAINS	\$1,001 - \$2,500	PS(part)
SP	SECTOR SPDR ENERGY	\$1,001 - \$15,000	NONE	NONE	PS(part)
SP	SECTOR SPDR INDUSTRIAL	\$15,001 - \$50,000	DIVIDENDS/CAP ITAL GAINS	\$201 - \$1,000	PS(part)
SP	SECTOR SPDR UTILITIES	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	P
SP	VANGUARD FINANCIALS ETF	\$15,001 - \$50,000	DIVIDENDS/CAP ITAL GAINS	\$1 - \$200	PS(part)
SP	VANGUARD INFORMATION TECH ETF	\$15,001 - \$50,000	DIVIDENDS/CAP ITAL GAINS	\$1 - \$200	PS(part)
SP	VANGUARD TELECOMM SRVCS	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	IRRA - AMEX TECHNLOGY SELECT SPDR	S	No	2/9/12 10/4/12	\$50,001 - \$100,000
SP	IRRA - CONSUMER DISCRETIONARY SPDR	S(part)	No	10/4/12	\$1,001 - \$15,000
SP	IRRA - CONSUMER DISCRETIONARY SPDR	P	N/A	2/9/12 12/10/12	\$1,001 - \$15,000
SP	IRRA - HEALTH CARE SELECT SPDR	S(part)	No	10/4/12	\$1,001 - \$15,000
SP	IRRA - HEALTH CARE SELECT SPDR	P	N/A	12/10/12	\$1,001 - \$15,000
SP	IRRA - MATERIALS SELECT SECTOR SPDR FUND	S(part)	No	2/9/12 10/4/12	\$1,001 - \$15,000
SP	IRRA - SECTOR SPDR CONSUMERS STPL	P	N/A	2/9/12 10/4/12 12/10/12	\$1,001 - \$15,000
SP	IRRA - SECTOR SPDR ENERGY	S(part)	No	2/9/12	\$1,001 - \$15,000
SP	IRRA - SECTOR SPDR ENERGY	P	N/A	10/4/12 12/10/12	\$1,001 - \$15,000
SP	IRRA - SECTOR SPDR FINANCIAL	P	N/A	2/9/12	\$1,001 - \$15,000
SP	IRRA - SECTOR SPDR FINANCIAL	S	No	10/4/12	\$15,001 - \$50,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	IRRA - SECTOR SPDR INDUSTRIAL	S(part)	No	2/9/12 12/10/12	\$1,001 - \$15,000
SP	IRRA - SECTOR SPDR INDUSTRIAL	P	N/A	10/4/12	\$15,001 - \$50,000
SP	IRRA - SECTOR SPDR UTILITIES	P	N/A	10/4/12 12/10/12	\$15,001 - \$50,000
SP	IRRA - VANGUARD FINANCIALS ETF	P	N/A	10/4/12 12/10/12 1/2/13	\$15,001 - \$50,000
SP	IRRA - VANGUARD INFORMATION TECH ETF	P	N/A	10/4/12 12/10/12	\$15,001 - \$50,000
SP	IRRA - VANGUARD TELECOMM SRVCS ETF	P	N/A	10/4/12 12/10/12	\$1,001 - \$15,000
SP	CK - CALIFORNIA ST ECONOMIC RECOVERY LT SER A RF NOV09 0.5000% JUL01 18	P	N/A	2/13/12	\$1,001 - \$15,000
SP	IRA - FEDERAL HOME LOAN BANK BONDS 04.625% OCT 10 2012	S	No	10/10/12	\$15,001 - \$50,000
SP	IRA - JP MORGAN CHASE & CO SUBORDINATED GLB 05.750% JAN02 2013	S	No	1/2/13	\$1,001 - \$15,000



# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	AMEX TECHNLOGY SELECT SPDR	S	Yes	2/9/12 3/20/12 3/26/12 7/13/12 10/4/12	\$15,001 - \$50,000

SP	CONSUMER DISCRETIONARY	S(part)	Yes	3/26/12 7/13/12 10/4/12 10/29/12	\$1,001 - \$15,000
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SP	CONSUMER DISCRETIONARY	P	N/A	2/9/12	\$1,001 - \$15,000
SP	HEALTH CARE SELECT SPDR	S(part)	Yes	2/9/12 3/26/12 7/13/12 10/29/12	\$1,001 - \$15,000

SP	MATERIALS SELECT SECTOR SPDR FUND	S(part)	No	2/13/12 10/4/12	\$1,001 - \$15,000
SP	SECTOR SPDR CONSMRS STPL	P	N/A	2/9/12 1/2/13	\$1,001 - \$15,000
SP	SECTOR SPDR CONSMRS STPL	S(part)	Yes	3/26/12 10/29/12	\$1,001 - \$15,000
SP	SECTOR SPDR ENERGY	S(part)	No	2/9/12 3/26/12	\$1,001 - \$15,000
SP	SECTOR SPDR FINANCIAL	S	No	3/26/12 7/13/12 10/4/12	\$15,001 - \$50,000

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	SECTOR SPDR INDUSTRIAL	S(part)	Yes	2/9/12 3/26/12 10/29/12 12/10/12	\$15,001 - \$50,000

SP	SECTOR SPDR INDUSTRIAL	P	N/A	10/4/12	\$1,001 - \$15,000
SP	SECTOR SPDR UTILITIES	P	N/A	10/4/12	\$1,001 - \$15,000
SP	VANGUARD FINANCIALS ETF	P	N/A	10/4/12 12/10/12	\$15,001 - \$50,000
SP	VANGUARD FINANCIALS ETF	S(part)	No	10/29/12 1/2/13	\$1,001 - \$15,000
SP	VANGUARD INFORMATION TECH ETF	P	N/A	10/4/12 12/10/12	\$15,001 - \$50,000
SP	VANGUARD INFORMATION TECH ETF	S(part)	No	10/29/12	\$1,001 - \$15,000
SP	VANGUARD TELECOMM SRVCS	P	N/A	10/4/12	\$1,001 - \$15,000

# SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	WELLS FARGO BANK NA	OCT 2010	MORTGAGE ON RENTAL PROPERTY DURANGO, CO (WESTERFIELD SCOTCH, LLC)	\$250,001 - \$500,000
	JP MORGAN CHASE BANK NA	JAN 2003	MORTGAGE ON PRINCIPAL RESIDENCE PALM SPRINGS, CA	\$500,001 - \$1,000,000
	JP MORGAN CHASE BANK NA	2009	MORTGAGE ON ADDITIONAL RESIDENCE ALEXANDRIA, VA	\$250,001 - \$500,000
SP	IBERIA BANK	2005	MORTGAGE ON PRINCIPAL RESIDENCE FT. MYERS, FL	\$100,001 - \$250,000

**SCHEDULE VIII - POSITIONS**

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
LLC MANAGER	WESTERFIELD SCOTCH, LLC

**FOOTNOTES**

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	FORMERLY LISTED AS IRA - MERRIL LYNCH - CMA ACCOUNT	IRA - MERRILL LYNCH - CASH ACCOUNT
2	Schedule III	BONO COLLECTION TRUST HAS BEEN INCORPORATED INTO WIXEN MUSIC PUBLISHING, INC.	WIXEN MUSIC PUBLISHING, INC