

UNITED STATES HOUSE OF REPRESENTATIVES  
2016 FINANCIAL DISCLOSURE STATEMENT

Form A  
For Use by Members, Officers, and Employees

LEGISLATIVE RESOURCE CENTER  
7 AUG 11 PM 4: 11  
Page 1 of 1

Name: FIL E MON VELA Daytime Telephone: 202-225-9920

(Office Use Only)  
OFFICE OF REPRESENTATIVES  
U.S. HOUSE OF REPRESENTATIVES

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>TX</u> District: <u>34</u>	Officer or Employee	Employing Office:	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
REPORT TYPE	<input checked="" type="checkbox"/> 2016 Annual (Due: May 15, 2017)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination:	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Filomeno Vela

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BLOCK A			BLOCK B													BLOCK C								BLOCK D												BLOCK E
Assets and/or Income Sources			Value of Asset													Type of Income								Amount of Income												Transaction
<p>Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use only ticker symbols).</p> <p>For all IRAs and other retirement plans (such as 401(k) plans), provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.</p> <p>For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.</p>			<p>Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."</p> <p>*Column M is for assets held by your spouse or dependent child in which you have no interest.</p>													<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.</p>								<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.</p> <p>*Column XII is for assets held by your spouse or dependent child in which you have no interest.</p>												<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period.</p> <p>If only a portion of an asset was sold, please indicate as follows: (S (part)).</p> <p>Leave this column blank if there are no transactions that exceeded \$1,000.</p>
SP, DC, JT	EIF		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income over \$1,000,000*	
		Example: Mega Corp. Stock																																		
		Example: Simon & Schuster																																		
		Example: ABC Hedge Fund																																		
		Prosperity Bond Club																																		
		Hedge Club Members																																		
		Artwork																																		
		Staples Inventory Buffer																																		
		Long Longier Debt																																		
		SP VELA, LTD OFFICES																																		
		SP VELA, LTD OFFICES																																		

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**Use additional sheets if more space is required.**



# SCHEDULE C - EARNED INCOME

Name: Filenon Vela

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2016 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,495. The 2017 limit is \$27,765. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

Source (include date of receipt for honoraria)	Type	Amount
<b>Examples:</b>	<b>Keene State</b>	<b>\$6,000</b>
State of Maryland	Approved Teaching Fee	\$18,000
Omni Ware Roundtable (Oct. 2)	Legislative Retention	\$1,000
Ottawa County Board of Education	Spouse Speech	N/A
	Spouse Salary	
Filenon Vela (Congressman's Salary)	Spouse	174,000
Vela Law Offices PLLC	Spouse	N/A
STATE OF TEXAS	Spouse	N/A
Hidalgo County Texas	Spouse	N/A
Nueces County Texas	Spouse	N/A
Cameron County Texas	Spouse	N/A
Starr County Texas	Spouse	N/A

# SCHEDULE D - LIABILITIES

Name: Eileen Vela

Page 6 of 11

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
JT	PLATINUS Capital Bank	1/2010	FDIC Mortgage			X								
JT	TEXAS NATIONAL Bank	6/2015	Personal Note						X					
JT	AMERICAN EXPRESS	5/2002		X										
JT	LOANS STATE Bank	1/2015	Mortgage					X						
JT	LOAN Star Bank	10/2016	LOC		X									

## SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations), and positions solely of an honorary nature.

Position	Name of Organization
LIMITED Partner	STAPLES LEARNING BLUCCHE LLP
LIMITED Partner	F & R PROPERTIES L.P.

# **SCHEDULE D - LIABILITIES**

Name: Riemon Vela

Page 7 of 11

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/08	Mortgage on Rental Property, Dover, DE				X							
JT	PLAINS CAPITAL BANK	4/2011	275 CAGLE STREET BIRMINGHAM AL 35202				X							
SP	TEYAN NATIONAL BANK	10/2016	BEANESS LOC			X								

# **SCHEDULE E - POSITIONS**

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization

# **SCHEDULE F - AGREEMENTS**

Name: Fleming Vela Page 8 of 11

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

# **SCHEDULE G - GIFTS**

Report the source (by name), a brief description, and the value of all gifts totaling more than \$375 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$150 or less need not be added towards the \$375 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Silver Pralier (determination of personal friendship received from the Ethics Committee)	\$400

Use additional sheets if more space is required.



Name: Fleming Vela

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**EXCLUDE:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342), political travel that is required to be reported under the Federal Election Campaign Act, travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]

**Use additional sheets if more space is required.**

Name: Filomon Vela

Page 10 of 11

[illegible]

Name: Fleming Vela

Page 11 of 11

[illegible]

**Use additional sheets if more space is required.**

Exhibit a

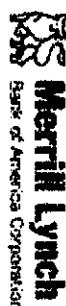


Exhibit a  
Primary Account: 0000000000

# YOUR MERRILL LYNCH REPORT

October 01, 2016 - December 30, 2016

MALPF & S CUST FPO  
ROSEMARY WELA IRA  
FBO ROSEMARY WELA  
BRONXVILLE TX

## PORTFOLIO SUMMARY

	December 30	September 30	Quarter Change
Net Portfolio Value	\$0.50	\$8.77	(\$8.27) ▼
Your assets	\$0.50	\$8.77	(\$8.27) ▼
Your liabilities	-	-	-
Your Net Cash Flow (Inflows/Outflows)	-	-	-
Securities You Transferred In/Out	-	-	-
Subtotal Net Contributions	-	-	-
Your Dividends/Interest Income	(\$8.27)	(\$4.55)	(\$3.72)
Your Market Gains/Losses	(\$8.27)	(\$4.55)	(\$3.72)
Subtotal Investment Earnings	(\$8.27)	(\$4.55)	(\$3.72)

If you have questions on your statement, call 24-Hour Assistance:  
(800) MERRILL  
(800) 637-7455  
Access Code:

Investment Advice and Guidance:  
Call Your Financial Advisor

Your Financial Advisor:  
MICHAEL A BERTUZZI  
17802 W INTERSTATE 10 STE 201  
SAN ANTONIO TX 78257  
michael\_bertuzzi@merrill.com  
1-210-278-3800

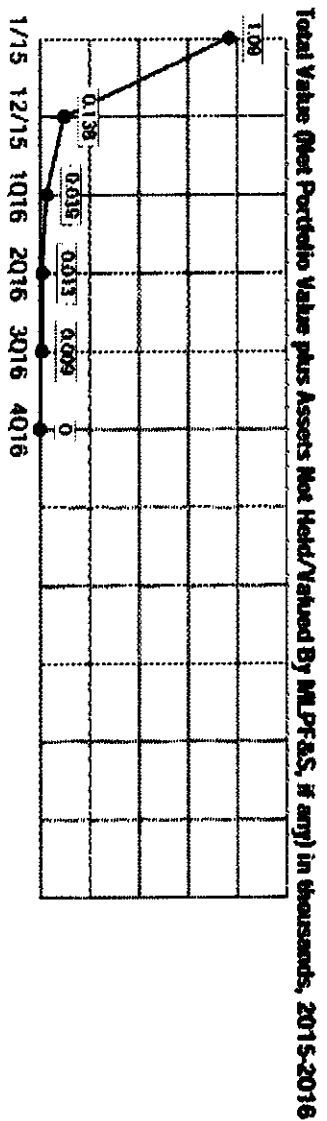
Up-to-date account information can be viewed at: [www.mymerrill.com](http://www.mymerrill.com), where your statements are archived for three or more years.

Questions about MyMerrill? Click the "Help" tab at the top of the screen once you log in.

## LOOKING FOR YOUR TAX DOCUMENTS?

We will begin mailing the 1099 tax reporting statement to eligible clients during the last week of January. Most statements will be mailed or posted online before February 15. Enroll in online delivery to access your tax documents before they arrive in the mail.

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) and other subsidiaries of Bank of America Corporation. MLPF&S is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products: ☐ Are Not FDIC Insured ☐ Are Not Bank Guaranteed ☐ May Lose Value



# YOUR PORTFOLIO REVIEW

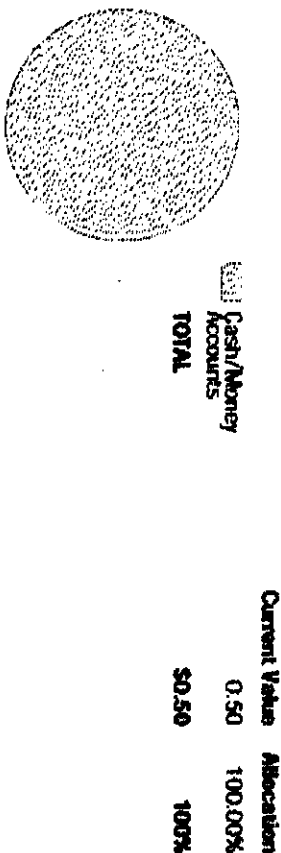
Primary Account:

24-Hour Assistance: (800) MERRILL  
Access Code:

October 01, 2016 - December 30, 2016

## ASSET ALLOCATION\*

\* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%.



## FINANCIAL MARKET INDICATORS

	This Report	Last Report	Previous Year End
S&P 500	2238.83	2168.27	2043.94
Three-Month Treasury Bills	.50%	.27%	.16%
Long-Term Treasury Bonds	3.07%	2.32%	3.02%
One-Month LIBOR	.76%	.53%	.42%
MMSDAQ	5383.12	5312.00	5007.41

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Online at: [www.mymerrill.com](http://www.mymerrill.com)

Account Number:

24-Hour Assistance: (800) MERRILL

Access Code:

MLPF&S CUST FPO  
ROSEMARIE VELA IRRA  
FBO ROSEMARIE VELA

Net Portfolio Value:

\$0.50

BROWNSVILLE TX

Your Financial Advisor:  
MICHAEL A BERTUZZI  
17802 W INTERSTATE 10 STE 201  
SAN ANTONIO TX 78257  
[michael\\_bertuzzi@merrill.com](mailto:michael_bertuzzi@merrill.com)  
1-210-278-3800

## ROSEMARIE IRRA

October 01, 2016 - December 30, 2016

	This Statement	Year to Date
Total Credits	\$0.77	
Total Debits	-	
Securities You Transferred In/Out	-	
Market Gains/(Losses)	(8.27)	(137.80)

ASSETS	December 30	September 30
Cash/Money Accounts	0.50	0.50
Fixed Income	-	-
Equities	-	8.27
Mutual Funds	-	-
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	0.50	8.77
TOTAL ASSETS	\$0.50	\$8.77

LIABILITIES	December 30	September 30
Debt Balance	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	\$0.50	\$8.77

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FBO ROSEMARIE VELA

Account Number:

24-Hour Assistance: (800) MERCILL  
Access Code:

# ROSEMARIE IRRA

October 01, 2016 - December 30, 2016

## CASH FLOW

This Statement

Year to Date

Opening Cash/Money Accounts \$0.50

### CREDITS

Funds Received

Electronic Transfers

Other Credits

Subtotal

### DEBITS

Electronic Transfers

Other Debits

Subtotal

Net Cash Flow

## OTHER TRANSACTIONS

Dividends/Interest Income

Security Purchases/Debits

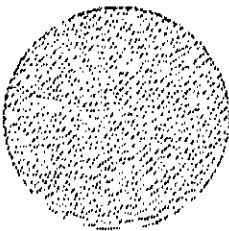
Security Sales/Credits

Closing Cash/Money Accounts \$0.50

Securities You Transferred In/Out

## ASSET ALLOCATION\*

\* Estimated. Accrued interest not included; may not reflect all holdings; does not include asset categories less than 1%.

  
Cash/Money Accounts Allocation 100.00%  
TOTAL 100%

Having an asset allocation that reflects your profile and goals is key to achieving the right outcome. Consult with your advisor to determine an appropriate allocation across all your holdings.

## DOCUMENT PREFERENCES THIS PERIOD

	Mail	Online Delivery
Statements	X	
Performance Reports	X	
Trade Confirmations	X	
Shareholders Communication	X	
Prospectus	X	
Service Notices	X	
Tax Statements	X	



FBO ROSEMARIE VELA

Account Number:

## ACCOUNT INVESTMENT OBJECTIVE

October 01, 2016 - December 30, 2016

**INCOME:** Objective is to obtain a continuing stream of income from investments. In order to satisfy current yield requirements, the investor should be willing to accept the risk of principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

## YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Est. Annual Yield%	Current Yield%
CASH	0.50	0.50							
LONG PORTFOLIO									
TOTAL		0.50	0.50						

## YOUR RETIREMENT ACCOUNT TRANSACTIONS

SECURITY TRANSACTIONS							
Settlement Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
10/14	SANBRIDGE ENERGY INC	Abandoned	689				
	PAY DATE 10/16/2016						
Subtotal (Other Security Transactions)							
TOTAL							



FBO ROSEMARIE VELA

Account Number:

24-Hour Assistance: (800) MERRILL

Access Code:

## YOUR RETIREMENT ACCOUNT TRANSACTIONS

October 01, 2016 - December 30, 2016

### REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired/Liquidation		Sale Amount	Cost Basis	Gains/(Losses)	
		Date	Date			This Statement	Year to Date
SANDRIDGE ENERGY INC	689.0000	10/26/11	10/14/16	.00	5,181.28	(5,181.28)	(5,181.28)
Subtotal (Long-Term)						(5,181.28)	(5,181.28)
TOTAL					5,181.28	(5,181.28)	(5,181.28)

• Excludes transactions for which we have insufficient data.

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2016 tax return. These reportable transactions will appear on your January statement.

## YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

Year-End Plan Value as of December 31, 2016: \$ .50

Contributions after December 31, 2015 for 2015: \$ .00

For IRA, IRRA, SEP/IRA, SIMPLE/IRA, ROTH IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

Primary Account:

Exhibit B

## YOUR MERRILL LYNCH REPORT

October 01, 2016 - December 30, 2016

ML PF & S CUST FPO  
FILMON B VELA JR IRRA  
FBO FILMON B VELA JR  
BROWNSVILLE TX

### PORTFOLIO SUMMARY

	December 30	September 30	Quarter Change
<b>Net Portfolio Value</b>	<b>\$3,223.11</b>	<b>\$3,097.14</b>	<b>\$125.97 ▲</b>
Your assets	\$3,223.11	\$3,097.14	\$125.97 ▲
Your liabilities	-	-	-
Your Net Cash Flow (Inflows/Outflows)	-	(\$50.00)	-
Securities You Transferred In/Out	-	-	-
<b>Subtotal Net Contributions</b>	<b>-</b>	<b>(\$50.00)</b>	<b>-</b>
Your Dividends/Interest Income	\$0.03	-	-
Your Market Gains/Losses	\$125.94	\$670.02	-
<b>Subtotal Investment Earnings</b>	<b>\$125.97</b>	<b>\$670.02</b>	<b>-</b>

If you have questions on your statement, call 24-hour Assistance:  
(800) MERRILL  
(800) 637-7455  
Access Code:

Investment Advice and Guidance:  
Call Your Financial Advisor

Your Financial Advisor:  
MICHAEL A BERTUZZI  
17802 WINTERSTATE RD STE 201  
SAN ANTONIO TX 78257  
michael\_bertuzzi@ml.com  
1-210-278-3800

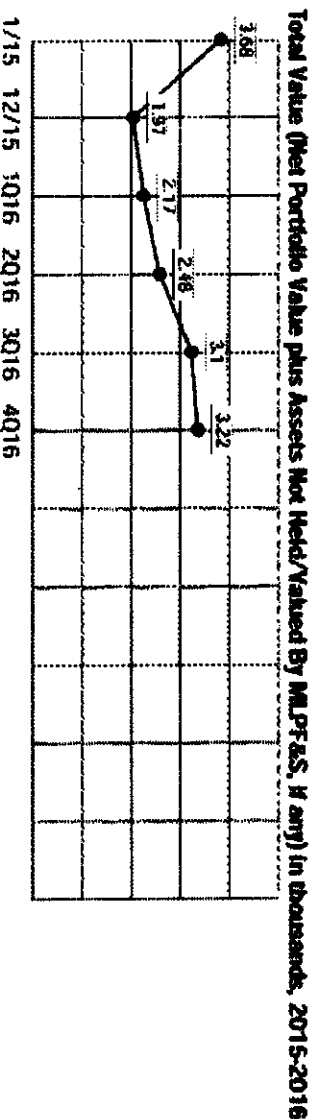
Up-to-date account information can be viewed at: [www.mymerrill.com](http://www.mymerrill.com), where your statements are archived for three or more years.

Questions about MyMerrill? Click the "help" tab at the top of the screen once you log in.

### LOOKING FOR YOUR TAX DOCUMENTS?

We will begin mailing the 1099 tax reporting statement to eligible clients during the last week of January. Most statements will be mailed or posted online before February 15. Enroll in online delivery to access your tax documents before they arrive in the mail.

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Primary Account:

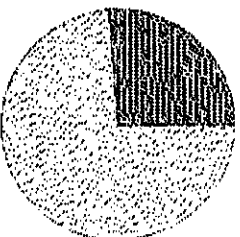
24-Hour Assistance: (800) MERRILL  
Access Code:

October 01, 2016 - December 30, 2016

## YOUR PORTFOLIO REVIEW

### ASSET ALLOCATION\*

\* Estimated Annual Interest not included; may not reflect all holdings; does not include asset categories less than 1%.



	Current Value	Allocation
Equities	2,378.70	73.80%
Cash/Money Accounts	844.41	26.20%
<b>TOTAL</b>	<b>\$3,223.11</b>	<b>100%</b>

### CURRENT INCOME

	This Report	Year To Date
Interest Income	0.03	0.03
Dividend Income	0.03	0.03
Capital Gains	0.03	0.03
<b>Total</b>	<b>\$0.09</b>	<b>\$0.09</b>

Your Estimated Annual Income

\$0.08

### TOP FIVE PORTFOLIO HOLDINGS

Based on Estimated Market Value

	Current Value	% of Portfolio
PETROLEO BRAS SA ADR	2,378.70	73.80%
+BANK OF AMERICA NA RASP	844.41	26.20%
+FDIC INSURED NOT SPC COVERED		

### FINANCIAL MARKET INDICATORS

	This Report	Last Report	Previous Year End
S&P 500	2238.83	2168.27	2043.94
Three-Month Treasury Bills	.50%	.27%	.16%
Long-Term Treasury Bonds	3.07%	2.32%	3.02%
One-Month LIBOR	.76%	.53%	.42%
MASDAQ	5383.12	5312.00	5007.41



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MLPF&S CUST FPO  
FILEMON B VELA JR IRRA  
FBO FILEMON B VELA JR

Net Portfolio Value:

\$3,223.11

BROWNSVILLE TX

Your Financial Advisor:  
MICHAEL A BERTUZZI  
17802 W INTERSTATE 10 STE 201  
SAN ANTONIO TX 78257  
[michael\\_bertuzzi@merrill.com](mailto:michael_bertuzzi@merrill.com)  
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## FILEMON IRRA

October 01, 2016 - December 30, 2016

This Statement		Year to Date
Total Credits	0.03	0.03
Total Debits	-	(\$5.40)
Securities You Transferred In/Out	-	-
Market Gains/(Losses)	125.94	1,309.70

ASSETS		December 30	September 30
Cash/Money Accounts		844.41	844.38
Fixed Income		-	-
Equities		2,378.70	2,252.76
Mutual Funds		-	-
Options		-	-
Other		-	-
Subtotal (Long Portfolio)		3,223.11	3,097.14
TOTAL ASSETS		\$3,223.11	\$3,097.14

LIABILITIES		December 30	September 30
Debt Balance		-	-
TOTAL LIABILITIES		-	-
NET PORTFOLIO VALUE		\$3,223.11	\$3,097.14

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FBO FILEMON B VELA JR

Account Number:

24-Hour Assistance: (800) MERRILL  
Access Code:

# FILEMON IRRA

October 01, 2016 - December 30, 2016

## CASH FLOW

This Statement

Year to Date

Opening Cash/Money Accounts **\$844.38**

### CREDITS

Funds Received

Electronic Transfers

Other Credits

Subtotal

### DEBITS

Electronic Transfers

Other Debits

Subtotal

Net Cash Flow

## OTHER TRANSACTIONS

Dividends/Interest Income

Security Purchases/Debits

Security Sales/Credits

Closing Cash/Money Accounts

Securities You Transferred In/Out

0.03

0.03

\$844.41

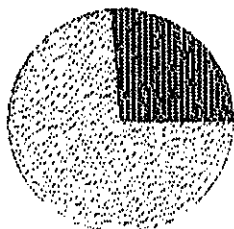
(55.40)

(55.40)

(55.40)

## ASSET ALLOCATION\*

\* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%.



Equities

Cash/Money Accounts

TOTAL

Allocation

73.80%

26.20%

100%

Having an asset allocation that reflects your profile and goals is key to achieving the right outcome. Consult with your advisor to determine an appropriate allocation across all your holdings.

## DOCUMENT PREFERENCES THIS PERIOD

	Mail	Online Delivery
Statements	X	
Performance Reports	X	
Trade Confirmations	X	
Shareholders Communication	X	
Prospectus	X	
Service Notices	X	
Tax Statements	X	

FBO FLEMON B VELA JR

Account Number:

## ACCOUNT INVESTMENT OBJECTIVE

October 01, 2016 - December 30, 2016

**TOTAL RETURN:** Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

## YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%			
+BANK OF AMERICA NA RASP	844.00	844.00	1.0000	844.00		.01			
+FIDIC INSURED NOT SIPC COVERED									
(.4100 FRACTIONAL SHARE)		.41	1.0000	.41		.01			
<b>TOTAL</b>		844.41		844.41		.01			

EQUITIES									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
PETROLEO BRAS SA ADR	PBR4	01/27/09	270	20.8789	5,637.31	8.8100	2,378.70	(3,258.61)	
<b>TOTAL</b>					5,637.31		2,378.70	(3,258.61)	

LONG PORTFOLIO									
				Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Interest	Estimated Annual Income	Current Yield%
<b>TOTAL</b>				6,481.72	3,223.11	(3,258.61)			

## YOUR RETIREMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS									
Date	Transaction Type	Quantity	Description	Income	Year To Date				
10/31	Interest		BANK OF AMERICA NA RASP						
			0.01000 DN/INT REINVEST						
			PAY DATE 10/28/2016						
			FROM 09-30 THRU 10-28						

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Account Number:

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# YOUR RETIREMENT ACCOUNT TRANSACTIONS

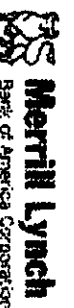
October 01, 2016 - December 30, 2016

## DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Income	Income Year To Date
11/30	Interest		CUSIP NUM: 55499U915 BANK OF AMERICA, NA RASP 0.01000 DV/INT REINVEST PAY DATE 11/29/2016 FROM 10-31 THRU 11-29 CUSIP NUM: 55499U915 BANK OF AMERICA, NA RASP 0.01000 DV/INT REINVEST PAY DATE 12/30/2016 FROM 11-30 THRU 12-30 CUSIP NUM: 55499U915 BANK OF AMERICA, NA RASP	.03	.03
12/30	Interest			.03	.03
	Income Total			.03	.03
	Subtotal (Tax-Exempt Interest)			.03	.03
	NET TOTAL			.03	.03

## SECURITY TRANSACTIONS

Settlement Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/Paid
10/14	SANDRIDGE ENERGY INC PAY DATE 10/14/2016	Abandoned	-755				
	Subtotal (Other Security Transactions)						
	TOTAL						



FBO FLEMON B VELA JR

Account Number:

## YOUR RETIREMENT ACCOUNT TRANSACTIONS

October 01, 2016 - December 30, 2016

### REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Liquidation		Sale Amount	Cost Basis	Gains/(Losses)	
		Date	Date			This Statement	Year to Date
SANDRIDGE ENERGY INC	755,0000	10/25/11	10/14/16	.00	5,956.59	(5,956.59)	(5,956.59)
Subtotal (Long-Term)						(5,956.59)	(5,956.59)
TOTAL					5,956.59	(5,956.59)	(5,956.59)

#### Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2016 tax return. These reportable transactions will appear on your January statement.

## YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

Year-End Plan Value as of December 31, 2016: \$3,223.11

Contributions after December 31, 2015 for 2016: \$ .00

For IRA, IRA, SEP/IRA, SIMPLE/IRA ROTH IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.



Exhibit C

Balance Comparison

Period  From  To

For the period of 08/08/2016 - 08/08/2017

Investment Option	08/08/2016 - Balance	08/08/2017 - Balance
Vanguard Growth Index I	\$346.83	\$0.00
Vanguard Institutional Index Inst Pl	\$351.75	\$0.00
Vanguard Wellington Adm	\$66,206.92	\$0.00
BlackRock Bond Index Fund	\$189,101.79	\$0.00
Total	\$256,009.29	

