

**UNITED STATES HOUSE OF REPRESENTATIVES  
FINANCIAL DISCLOSURE STATEMENT**

**FORM B**  
For use by candidates and new employees

**NOV 29 2013**  
Page 1 of 5  
LEGISLATIVE RESOURCE CENTER  
2013 DEC 11 PM 4:32

**Name:** BONNA MERCADO KIM **Daytime Telephone** \_\_\_\_\_

*UC*  
OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES  
(Office Use Only)

<b>Filer Status</b>	<input checked="" type="checkbox"/> Candidate for the House of Representatives	State: <u>HAWAII</u>	Date of Election: <u>8/9/2014</u>	Check if Amendment <input type="checkbox"/>	<b>A \$200 penalty shall be assessed against any individual who files more than 30 days late.</b>
	<input type="checkbox"/> New officer or employee	District: <u>FIRST</u>	Employing Office: _____		

In all sections, please type or print clearly in blue or black ink.

**PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? <b>If yes, complete and attach Schedule I.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? <b>If yes, complete and attach Schedule IV.</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? <b>If yes, complete and attach Schedule II.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	V. Did you have any reportable agreement or arrangement with an outside entity? <b>If yes, complete and attach Schedule V.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? <b>If yes, complete and attach Schedule III.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? <b>If yes, complete and attach Schedule VI.</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS**

<b>TRUSTS</b> —Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>EXEMPTION</b> —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Page 5

**Exclude:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

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Page 2 of 5[illegible]

# SCHEDULE III — LIABILITIES

Name **DONNA MERCADO LIM**

Page **4** of **5**

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. **Exclude:** Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred mo/year	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001— \$15,000	\$15,001— \$50,000	\$50,001— \$100,000	\$100,001— \$250,000	\$250,001— \$500,000	\$500,001— \$1,000,000	\$1,000,001— \$5,000,000	\$5,000,001— \$25,000,000	\$25,000,001— \$50,000,000	Over \$50,000,000	Spouse/DC Liability over \$1,000,000
	<i>Example:</i> First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main Street, Dover, DE				X							
	BANK OF HAWAII HONOLULU, HI	1979	MORTGAGE ON 1528 ONIHA ST. HONOLULU HI		X									

# SCHEDULE IV — POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

Position	Name of Organization



## Morgan Stanley

CLIENT STATEMENT | For the Period July 1- August 31, 2013

Page 9 of 24

## Account Detail

Choice Select Retirement Account 7 DONNA ANN KIM

## CASH, DEPOSITS AND MONEY MARKET FUNDS

Cash, Deposits and Money Market Funds are generally displayed on a settlement date basis. However, Global Currency Deposits may include both settled and unsettled positions. Estimated Annual Income, Accrued Interest and APY% will only be displayed for fully settled positions. In the event, all or a portion of a Global Currency Deposit position is unsettled as of month end, this data will not be available.

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
MORGAN STANLEY PRIVATE BANK NA #	\$16,921.35	\$2.00	—	0.010

CASH, DEPOSITS AND MONEY MARKET FUNDS	Percentage of Assets %	Market Value	Estimated Annual Income	Estimated Annual Percentage Yield %
	20.0%	\$16,921.35	\$2.00	0.010

# Bank Deposits are held at either: (1) Morgan Stanley Bank, N.A., and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC, or (2) Citibank, N.A., each a national bank and FDIC member.

## STOCKS

## COMMON STOCKS

Morgan Stanley & Co. LLC (Morgan Stanley), Cit Research, and Standard & Poor's equity research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the applicable research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Where a particular company is covered by both Morgan Stanley and Cit Research, you can and should view both research reports. Cit Research's equity research ratings are (1) Buy, (2) Neutral and (3) Sell. For ease of comparison, Morgan Stanley and Standard & Poor's equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell). Please refer to the quarter month-end statement (or your first statement, if you have not yet received a statement at the quarter-end) for a summary guide describing Morgan Stanley, Cit Research and Standard & Poor's ratings. Morgan Stanley Smith Barney LLC does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of research prepared for Standard & Poor's.

Security Description	Quantity	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
AMAZON COM INC (AMZN)	10,000	\$2,609.98	\$2,809.80	\$199.82	—	—
Share Price: \$280.980; Rating: Morgan Stanley: 1, Citigroup: 1, S&P: 2						
AMERICAN EXPRESS CO (AXP)	150,000	2,034.20	10,786.50	8,752.30	138.00	1.27
Share Price: \$71.910; Rating: Morgan Stanley: 2, Citigroup: 1, S&P: 1; Next Dividend Payable 11/2013						
APPLE INC (AAPL)	55,000	6,360.51	26,796.88	20,436.37	671.00	2.50
Share Price: \$487.216; Rating: Morgan Stanley: 1, Citigroup: 2, S&P: 1; Next Dividend Payable 11/2013						
CONOCOPHILLIPS (COP)	50,000	2,567.48	3,315.00	747.52	138.00	4.16
Share Price: \$66.300; Rating: Morgan Stanley: 3, Citigroup: 1, S&P: 1; Next Dividend Payable 09/03/13						
E-SMART TECH INC NEW	500,000	2,200.00	N/A	N/A	—	—
Share Price: N/A						
EBAY INC (EBAY)	50,000	2,666.30	2,499.50	(166.80)	—	—
Share Price: \$49.990; Rating: Morgan Stanley: 1, Citigroup: 1, S&P: 2						
GERDAU S.A. ADR (GGB)	50,000	836.00	359.00	(477.00)	1.87	0.52
Share Price: \$7.180						
HOLGIC INC (HOLX)	104,000	2,729.00	2,219.36	(509.64)	—	—
Share Price: \$21.340; Rating: Morgan Stanley: 1, Citigroup: 1, S&P: 2						

CLIENT STATEMENT | For the Period July 1- August 31, 2013

Page 10 of 24

## Account Detail

Choice Select Retirement Account

MORGAN STANLEY

## Morgan Stanley

## STOCKS

## COMMON STOCKS (CONTINUED)

Security Description	Quantity	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
JDS UNIPHASE CP NEW (JDSU)	12.000	343.68	153.96	(189.72)	—	—
Share Price: \$12.830; Rating: S&P: 1						
LEVEL 3 COMMUNICATIONS INC NEW (LVL)	2.000	170.70	44.72	(125.98)	—	—
Share Price: \$22.360; Rating: Morgan Stanley: 1, Citigroup: 2, S&P: 2						
LIFEVANTAGE CORP (LFVN)	1,000.000	2,074.89	2,410.00	335.11	—	—
Share Price: \$2,410						
NETEASE.COM INC ADS (NTES)	100.000	1,899.00	7,074.00	5,175.00	—	—
Share Price: \$70.740; Rating: Morgan Stanley: 1, Citigroup: 1						
PATRIOT SCIENTIFIC CORP (PTSC)	200.000	314.00	22.80	(291.20)	—	—
Share Price: \$0.114						
PHILLIPS 66 COM (PSX)	25.000	763.02	1,427.50	664.48	31.25	2.18
Share Price: \$57.100; Rating: Morgan Stanley: 1, Citigroup: 2, S&P: 1; Next Dividend Payable 09/03/13						
SIRIUS XM RADIO INC COM (SIRI)	300.000	1,914.00	1,074.00	(840.00)	—	—
Share Price: \$3.580; Rating: Morgan Stanley: 2, Citigroup: 1, S&P: 1						
<b>STOCKS</b>	<b>Percentage of Assets %</b>	<b>Total Cost</b>	<b>Market Value</b>	<b>Unrealized Gain/(Loss)</b>	<b>Estimated Annual Income</b>	<b>Yield %</b>
	72.2%	\$29,482.76	\$60,993.02	\$33,710.26	\$980.12	1.61%
					\$0.00	

## MUTUAL FUNDS

## OTHER MUTUAL FUNDS

"Total Purchases vs Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash; due to but not limited to the following: Investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account.

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, plus the cash distributions shown, and the current value of the fund's shares. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes.

Security Description	Quantity	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ALLIANCEBER GROWTH A (AGRFX)	42.920	\$1,682.90	\$2,064.88	\$110.74	—	—
Reinvestments	12.701	597.75	611.05	13.30	—	—
<b>Total</b>	<b>55.621</b>	<b>2,280.65</b>	<b>2,675.93</b>	<b>124.04</b>	<b>—</b>	<b>—</b>

Share Price: \$48.110; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest



# Morgan Stanley

CLIENT STATEMENT | For the Period July 1 - August 31, 2013

## Account Detail

Choice Select Retirement Account

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### MUTUAL FUNDS

#### OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Quantity	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
FIDELITY ADV NEW INSIGHTS A (FNMAX)	Purchases 140.449	2,500.00	3,693.81	1,193.81		
Reinvestments	5.536	114.96	145.60	30.64		
	Total 145.985	2,614.96	3,839.41	1,224.45		
Total Purchases vs Market Value		2,500.00	3,839.41			
Net Value Increase/(Decrease)			1,339.41			
Share Price: \$26.300; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest						

### MUTUAL FUNDS

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
	7.7%	\$4,895.61	\$6,515.34	\$1,348.49	\$0.00	—

Transactions in mutual fund positions held directly at the Fund Company are not included in the total above and are not reflected on the Summary Page. For more information about the pricing of Money Market Funds, please see the Expanded Disclosures.

### TOTAL MARKET VALUE

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
	100.0%	\$34,378.37	\$84,429.71	\$35,058.75	\$982.12	1.16%

### TOTAL VALUE (includes accrued interest)

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

\$84,429.71

### ACTIVITY

#### CASH FLOW ACTIVITY BY DATE

Transaction Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
7/30	Interest Income	MORGAN STANLEY PRIVATE BANK NA	(Period 06/28-07/30)			\$0.15
8/9	Qualified Dividend	AMERICAN EXPRESS CO				34.50
8/15	Qualified Dividend	APPLE INC				167.75
8/28	Dividend	GERDAU S.A. ADR				0.00
		ADJ GROSS DIV AMOUNT		0.21		
		FOREIGN TAX PAID IS		0.21		
8/28	Qualified Dividend	GERDAU S.A. ADR				1.23
8/28	Service Fee	GERDAU S.A. ADR	AGENT CUSTODY FEE \$0.00575H			(0.29)



## Morgan Stanley

CLIENT STATEMENT | For the Period August 1-31, 2013

Page 6 of 8

Active Assets Account MICAH P K ALLI E

## Account Detail

## STOCKS

## COMMON STOCKS

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Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
APPLE INC (AAPL)	12/6/05	18,000	\$74.000	\$1,332.00	\$8,769.88	\$7,437.88 LT		
	2/6/06	12,000	66.980	803.76	5,846.58	5,042.82 LT		
Purchases		30,000		2,135.76	14,616.46	12,480.70 LT		
Long Term Reinvestments		0.126		79.50	61.38	(18.12) LT		
Short Term Reinvestments		0.719		346.40	350.30	3.90 ST		
Total		30,845		2,561.66	15,028.17	12,462.58 LT 3.90 ST	376.31	2.50
Share Price: \$487.216; Rating: Morgan Stanley: 1, Citigroup: 2, S&P: 1; Next Dividend Payable 11/2013								
CENTURYLINK INC (CTL)	10/14/99	11,000	212.593	2,338.52	364.32	(1,974.20) LT	23.76	6.52
Share Price: \$33.120; Rating: Morgan Stanley: 1, Citigroup: 2H, S&P: 1; Next Dividend Payable 09/2013								
LIFEVANTAGE CORP (LFVN)	3/14/12	500,000	3.047	1,523.40	1,205.00	(318.40) LT	—	—
Share Price: \$2.410								
MICROSOFT CORP (MSFT)	11/8/99	50,000	43.583	2,179.13	1,670.00	(509.13) LT		
Purchases		50,000		2,179.13	1,670.00	(509.13) LT		
Long Term Reinvestments		1,057		30.22	35.30	5.08 LT		
Short Term Reinvestments		1,529		45.97	51.07	5.10 ST		
Total		52,586		2,255.32	1,756.37	(504.05) LT 5.10 ST	48.38	2.75
Share Price: \$33.400; Rating: Morgan Stanley: 1, Citigroup: 1, S&P: 2; Next Dividend Payable 09/12/13								
STOCKS			Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
			98.3%	\$8,678.90	\$18,353.86	\$9,665.93 LT \$9.00 ST	\$448.45 \$0.00	2.44%