



Filing ID #10012663

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Philip Martin Pidot
Status: Congressional Candidate
State/District: NY03

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2016
Filing Date: 05/29/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
AllianceBernstein CollegeBoundfund ⇒ Age-Based Moderate Growth Fund		\$15,001 - \$50,000	Tax-Deferred		
American Civics Exchange		\$1,000,001 - \$5,000,000	None		
LOCATION: New York, NY, US DESCRIPTION: Financial services					
CapitalOne account		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Citi accounts		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Jean Derbes Ratte Family Trust ⇒ Blackstone GSO Strategic		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Jean Derbes Ratte Family Trust ⇒ Cash and money market		\$15,001 - \$50,000	None		
Jean Derbes Ratte Family Trust ⇒ CHS Inc - 8% Cumulative Redeemable Preferred Stock (CHSCP)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Jean Derbes Ratte Family Trust ⇒ DoubleLine Total Return Bond Fund Class N		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	None
Jean Derbes Ratte Family Trust ⇒ FS Investment Corporation (FSIC)		\$15,001 - \$50,000	Capital Gains, Dividends	None	None
Jean Derbes Ratte Family Trust ⇒ iShares MSCI ACWI ex U.S.		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	None
Jean Derbes Ratte Family Trust ⇒ iShares Russell 1000		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	None
Jean Derbes Ratte Family Trust ⇒ iShares Russell 2000		\$15,001 - \$50,000	Capital Gains, Dividends	None	\$201 - \$1,000
Jean Derbes Ratte Family Trust ⇒ iShares U.S. Preferred Stock		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	None
Jean Derbes Ratte Family Trust ⇒ JPMorgan Chase Capital XVI JP Morgan Alerian MLP ETN (AMJ)		\$15,001 - \$50,000	Capital Gains, Interest	\$201 - \$1,000	None
Jean Derbes Ratte Family Trust ⇒ KKR & Co. L.P. Common Units Representing Limited Partnership Interest (KKR)		\$1,001 - \$15,000	Capital Gains, Dividends	None	None
Jean Derbes Ratte Family Trust ⇒ NexPoint Credit Strategies Fund		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	None
Jean Derbes Ratte Family Trust ⇒ NexPoint Residential Trust, Inc. (NXRT)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	None
Jean Derbes Ratte Family Trust ⇒ Schwab Hedged Equity Fund		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	None
Jean Derbes Ratte Family Trust ⇒ SPDR Barclays Convertible Securities		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	None
Jean Derbes Ratte Family Trust ⇒ SPDR S&P 500		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Jean Derbes Ratte Family Trust ⇒ SPDR S&P MIDCAP 400		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Jean Derbes Ratte Family Trust ⇒ Swan Defined Risk Fund Class A Shares		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	None
Jean Derbes Ratte Family Trust ⇒ WisdomTree Dividend ex-Financials Fund		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	None
Paul Hastings 401k ⇒ American Funds EuroPacific Growth Fund Class R-6		\$50,001 - \$100,000	Tax-Deferred		
Paul Hastings 401k ⇒ Pioneer Fundamental Growth Fund Class Y		\$100,001 - \$250,000	Tax-Deferred		
Paul Hastings 401k ⇒ Prudential Stable Value		\$50,001 - \$100,000	Tax-Deferred		
Paul Hastings 401k ⇒ Vanguard Institutional Index Fund		\$100,001 - \$250,000	Tax-Deferred		
Schwab IRA		\$1,001 - \$15,000	None		

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Aristotle, Inc.	Consulting services	\$21,407.00	\$47,280.00
Paul Hastings, LLP	Spouse salary	N/A	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Navient	June 2004	Student loan	\$15,001 - \$50,000
	OneAmerica Retirement Services	April 2016	401k Loan	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Officer	American Civics Exchange

Position	Name of Organization
Director	American Civics Exchange
Consultant	Aristotle, Inc.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- o AllianceBernstein CollegeBoundfund
LOCATION: RI
- o Jean Derbes Ratte Family Trust (25% Interest)
- o Paul Hastings 401k

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Philip Martin Pidot , 05/29/2016