

# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Hon. Austin Scott

Status: Member State/District: GA08

#### FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2014

**Filing Date:** 08/13/2015

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
A-C Scott LLLP, 49% Interest	\$500,001 - \$1,000,000	None		
LOCATION: Tifton, GA, US  DESCRIPTION: Investment partnership with direct family me	embers. Father and brother.			
A-C SCOTT LLLP ⇒ 3F Properties Loan Albany GA	None	Interest	\$5,001 - \$15,000	
A-C SCOTT LLLP ⇒ A-C Scott Checking	\$250,001 - \$500,000	None		
A-C SCOTT LLLP ⇒ Aus Cur Scott, LLC  DESCRIPTION: General Partnership shares managing A-C Sco	<b>\$1 - \$1,000</b> ott, LLLP	None		
A-C SCOTT LLLP ⇒ Danville Tract  Location: Sumnter, GA, US DESCRIPTION: Family farm	None	None		
A-C SCOTT LLLP ⇒ Shell Point Loan	\$100,001 - \$250,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
B Branch 10 Banner Elk N.C.	SP	None	None		<u> </u>
LOCATION: Banner Elk, NC, US					
DESCRIPTION: 1/2 Interest in condo B Branch 10 Banner Ell	k N.C.				
J. W. Scott Family Limited Partnership ⇒ Thronateeska Farms, 49% Interest		\$1,000,001 - \$5,000,000	None		
LOCATION: Sumnter, GA, US  DESCRIPTION: Limited Partnership shares of family farm					
James A Scott Checking Account / SunTrust		\$1,001 - \$15,000	None		
Description: SunTrust					
James A Scott IRA ⇒ Arotech Corporation (ARTX)		\$1,001 - \$15,000	Tax-Deferred		<u></u>
James A Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)		None	Tax-Deferred		V
James A Scott IRA ⇒ Best Buy Co., Inc. (BBY)		None	Tax-Deferred		<b>V</b>
James A Scott IRA ⇒ Capstone Turbine Corporation (CPST)		\$1,001 - \$15,000	Tax-Deferred		<u></u>
James A Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)		None	Tax-Deferred		<u> </u>
James A Scott IRA ⇒ Clean Energy Fuels Corp. (CLNE)		None	Tax-Deferred		<u> </u>
James A Scott IRA $\Rightarrow$ FuelCell Energy, Inc. (FCEL)		\$1,001 - \$15,000	Tax-Deferred		<u> </u>
James A Scott IRA ⇒ James A Scott IRA		\$50,001 - \$100,000	IRA distribution	\$15,001 - \$50,000	
James A Scott IRA $\Rightarrow$ Neah Power Systems, Inc. New (NPWZ)		\$1 - \$1,000	Tax-Deferred		
James A Scott IRA ⇒ Pershing Government Account Money Market		\$1,001 - \$15,000	Tax-Deferred		
James A Scott IRA ⇒		None	Tax-Deferred		<u> </u>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Plug Power, Inc. (PLUG)					
James A Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)		None	Tax-Deferred		<u> </u>
James A Scott IRA ⇒ Sirius XM Holdings Inc. (SIRI)		None	Tax-Deferred		<b>V</b>
James A Scott IRA $\Rightarrow$ ZBB Energy Corporation (ZBB)		\$1,001 - \$15,000	Tax-Deferred		<b>V</b>
James A Scott VUL $\Rightarrow$ American Bond		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	П
James A Scott VUL ⇒ American Growth		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
James A Scott VUL $\Rightarrow$ American Growth and Income Fund		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	П
James A Scott VUL $\Rightarrow$ American International		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Joint checking / Ameris Bank  Description: Ameris Bank	JT	\$1,001 - \$15,000	None		
MCA Family, LLC ⇒ Edgefield Lot Location: Tifton, GA, US		\$15,001 - \$50,000	None		П
MCA Family, LLC ⇒ Magnolia Lot Location: Tifton, GA, US		\$50,001 - \$100,000	None		П
MCA Family, LLC, 33% Interest		\$1,000,001 - \$5,000,000	None		
LOCATION: Tifton, GA, US  DESCRIPTION: Investment partnership with direct family m	embers. Fa		sister		
MCA Family, LLC ⇒ Mt. Olive and Old Ocilla Road Location: Tifton, GA, US		\$50,001 - \$100,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MCA Family, LLC ⇒ Sco Jac		\$100,001 - \$250,000	None		
LOCATION: Del Norte, CO, US					
MCA Family, LLC ⇒ Shell Point		\$100,001 - \$250,000	None		
LOCATION: Wakulla County, FL, US					
Principal Pension		Undetermined	None		П
Rental property	JT	\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	
LOCATION: ASHBURN, GA, US					
Rental Property		\$50,001 - \$100,000	Rent	\$5,001 - \$15,000	
LOCATION: Tifton, GA, US					
The Southern Group, LLC, 100% Interest	SP	\$100,001 - \$250,000	Earnings	\$50,001 - \$100,000	
Description: Insurance brokerage that is owned and open	rated by my	wife			
Vivien G Scott 401 (K) ⇒ Capital Research and Management International Equity		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	П
Vivien G Scott 401 (K) $\Rightarrow$ Principal Global Investors Large U.S. Equity		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Vivien G Scott 401 (K) $\Rightarrow$ Principal Global Investors Mid Cap Equity		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Vivien G Scott 401 (K) $\Rightarrow$ Principal Global Investors Small Cap Equity		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	П
Vivien G Scott 401 (K) ⇒ PrivateBancorp, Inc. Stock		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Description: Stock was employer match in 401(K). Rema	ins in 401(k	) account.			
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	\$15,001 - \$50,000	Tax-Deferred		<u>~</u>
Vivien G Scott IRA ⇒ Aruba Networks, Inc. (ARUN)	SP	\$15,001 - \$50,000	Tax-Deferred		<u> </u>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vivien G Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)	SP	\$15,001 - \$50,000	Tax-Deferred	Not Applicable	П
Vivien G Scott IRA ⇒ Best Buy Co., Inc. (BBY)	SP	None	Tax-Deferred		<u> </u>
Vivien G Scott IRA $\Rightarrow$ Capstone Turbine Corporation (CPST)	SP	\$1,001 - \$15,000	Tax-Deferred		<u></u>
Vivien G Scott IRA ⇒ Caterpillar, Inc. (CAT)	SP	None	Tax-Deferred		<b>▽</b>
Vivien G Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)	SP	\$1,001 - \$15,000	Tax-Deferred	Not Applicable	
Vivien G Scott IRA ⇒ Clean Energy Fuels Corp. (CLNE)	SP	\$15,001 - \$50,000	Tax-Deferred		<u>~</u>
Vivien G Scott IRA ⇒ Coca-Cola Company (KO)	SP	None	Tax-Deferred		<u>~</u>
Vivien G Scott IRA $\Rightarrow$ FuelCell Energy, Inc. (FCEL)	SP	\$15,001 - \$50,000	Tax-Deferred		<b>▽</b>
Vivien G Scott IRA ⇒ Garmin Ltd. (GRMN)	SP	None	Tax-Deferred		<b>▽</b>
Vivien G Scott IRA $\Rightarrow$ Microsoft Corporation (MSFT)	SP	None	Tax-Deferred		<u> </u>
Vivien G Scott IRA $\Rightarrow$ Neah Power Systems, Inc. New (NPWZ)	SP	\$1 - \$1,000	Tax-Deferred		<u> </u>
Vivien G Scott IRA ⇒ Pershing Government Account Money Market  Description: Cash account in IRA	SP	\$15,001 - \$50,000	Tax-Deferred		<u> </u>
Vivien G Scott IRA ⇒ Plug Power, Inc. (PLUG)	SP	None	Tax-Deferred		V
Vivien G Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)	SP	\$1,001 - \$15,000	Tax-Deferred		<u> </u>

Asset	Owner	Value of Asset	Income Type(s)		Tx. > \$1,000?
Vivien G Scott IRA ⇒ Sirius XM Holdings Inc. (SIRI)	SP	\$15,001 - \$50,000	Tax-Deferred		~
Vivien G Scott IRA ⇒ vivien G Scott IRA	SP	\$250,001 - \$500,000	IRA distribution	\$5,001 - \$15,000	
Vivien G Scott IRA ⇒ ZBB Energy Corporation (ZBB)	SP	\$1,001 - \$15,000	Tax-Deferred		~
Vivien Scott Checking / Heritage Bank of the South	SP	\$50,001 - \$100,000	None		
Description: Checking account					
Wells A Scott UTMA/GA	DC	\$1,001 - \$15,000	UGMA account for son	Not Applicable	
DESCRIPTION: Account owns less than \$1000 in each of the	following r	nutual funds Aim Con	stellation Aim Charter	Aim Global Growth	

 $<sup>\</sup>sp{*}$  Asset class details available at the bottom of this form.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
B Branch 10 Banner Elk N.C.  LOCATION: Banner Elk / Avery, NC, US	SP	04/1/2014	S	\$50,001 - \$100,000	
James A Scott IRA ⇒ Arotech Corporation (ARTX)		03/4/2014	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ Arotech Corporation (ARTX)		03/7/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Arotech Corporation (ARTX)		03/14/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Arotech Corporation (ARTX)		03/24/2014	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ Arotech Corporation (ARTX)		03/27/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Arotech Corporation (ARTX)		04/4/2014	S	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
James A Scott IRA ⇒ Arotech Corporation (ARTX)		06/4/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Arotech Corporation (ARTX)		06/20/2014	S	\$1,001 - \$15,000	
James A Scott IRA ⇒ Arotech Corporation (ARTX)		07/10/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Arotech Corporation (ARTX)		09/19/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Arotech Corporation (ARTX)		11/11/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Aruba Networks, Inc. (ARUN)		07/15/2014	P	\$15,001 - \$50,000	
James A Scott IRA $\Rightarrow$ Aruba Networks, Inc. (ARUN)		08/8/2014	S	\$1,001 - \$15,000	П
James A Scott IRA $\Rightarrow$ Aruba Networks, Inc. (ARUN)		08/22/2014	S	\$1,001 - \$15,000	П
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)		01/3/2014	S	\$15,001 - \$50,000	
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)		01/7/2014	P	\$15,001 - \$50,000	
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)		01/8/2014	P	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Ballard Power Systems, Inc Common Shares (BLDP)		01/9/2014	S	\$15,001 - \$50,000	П
James A Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)		01/27/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)		01/31/2014	S	\$15,001 - \$50,000	
James A Scott IRA ⇒		01/16/2014	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Best Buy Co., Inc. (BBY)					
James A Scott IRA ⇒ Best Buy Co., Inc. (BBY)		01/17/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Best Buy Co., Inc. (BBY)		01/27/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Capstone Turbine Corporation (CPST)		06/25/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Capstone Turbine Corporation (CPST)		08/8/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Capstone Turbine Corporation (CPST)		08/8/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Capstone Turbine Corporation (CPST)		09/10/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Capstone Turbine Corporation (CPST)		10/13/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)		01/3/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)		01/7/2014	S	\$1,001 - \$15,000	
James A Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)		01/16/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)		01/29/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)		02/12/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)		02/14/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Clean Energy Fuels Corp. (CLNE)		03/4/2014	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
James A Scott IRA ⇒ Clean Energy Fuels Corp. (CLNE)		03/7/2014	S	\$1,001 - \$15,000	
James A Scott IRA ⇒ Clean Energy Fuels Corp. (CLNE)		03/21/2014	S	\$1,001 - \$15,000	
James A Scott IRA ⇒ Clean Energy Fuels Corp. (CLNE)		04/4/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Clean Energy Fuels Corp. (CLNE)		10/9/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Clean Energy Fuels Corp. (CLNE)		11/11/2014	S	\$1,001 - \$15,000	
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		01/2/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		01/3/2014	S	\$15,001 - \$50,000	
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		01/7/2014	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		01/7/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		01/8/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		01/8/2014	S	\$1,001 - \$15,000	
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		01/9/2014	S	\$15,001 - \$50,000	П
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		01/16/2014	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		01/17/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		01/24/2014	S	\$1,001 - \$15,000	П

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		01/27/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		02/12/2014	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		02/14/2014	S	\$15,001 - \$50,000	П
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		02/27/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		03/4/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		03/12/2014	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		03/12/2014	S	\$15,001 - \$50,000	П
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		03/13/2014	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		03/21/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		06/26/2014	S	\$1,001 - \$15,000	
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		07/10/2014	S	\$1,001 - \$15,000	
James A Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)		11/18/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Neah Power Systems, Inc. New (NPWZ)		01/24/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ Plug Power, Inc. (PLUG)		02/27/2014	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
James A Scott IRA $\Rightarrow$ Plug Power, Inc. (PLUG)		02/27/2014	S	\$1,001 - \$15,000	
James A Scott IRA $\Rightarrow$ Plug Power, Inc. (PLUG)		03/4/2104	S	\$15,001 - \$50,000	П
James A Scott IRA $\Rightarrow$ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)		05/6/2014	P	\$15,001 - \$50,000	
James A Scott IRA $\Rightarrow$ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)		05/7/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)		05/7/2014	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)		05/7/2014	S	\$15,001 - \$50,000	П
James A Scott IRA $\Rightarrow$ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)		06/12/2014	S	\$1,001 - \$15,000	П
James A Scott IRA $\Rightarrow$ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)		06/20/2014	S	\$1,001 - \$15,000	П
James A Scott IRA $\Rightarrow$ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)		07/10/2014	S	\$1,001 - \$15,000	П
James A Scott IRA $\Rightarrow$ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)		11/18/2014	S	\$1,001 - \$15,000	П
James A Scott IRA $\Rightarrow$ Sirius XM Holdings Inc. (SIRI)		04/8/2014	P	\$15,001 - \$50,000	
James A Scott IRA $\Rightarrow$ Sirius XM Holdings Inc. (SIRI)		04/10/2014	S	\$15,001 - \$50,000	
James A Scott IRA ⇒ Sirius XM Holdings Inc. (SIRI)		05/6/2014	S	\$15,001 - \$50,000	П

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
James A Scott IRA ⇒ ZBB Energy Corporation (ZBB)		03/14/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ ZBB Energy Corporation (ZBB)		03/21/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ ZBB Energy Corporation (ZBB)		03/28/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ ZBB Energy Corporation (ZBB)		04/3/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ ZBB Energy Corporation (ZBB)		04/4/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ ZBB Energy Corporation (ZBB)		04/15/2014	P	\$15,001 - \$50,000	
James A Scott IRA ⇒ ZBB Energy Corporation (ZBB)		04/28/2014	S	\$1,001 - \$15,000	
James A Scott IRA ⇒ ZBB Energy Corporation (ZBB)		05/9/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ ZBB Energy Corporation (ZBB)		05/19/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ ZBB Energy Corporation (ZBB)		05/20/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ ZBB Energy Corporation (ZBB)		06/17/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ ZBB Energy Corporation (ZBB)		07/10/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒ ZBB Energy Corporation (ZBB)		08/22/2014	P	\$1,001 - \$15,000	
James A Scott IRA ⇒ ZBB Energy Corporation (ZBB)		09/10/2014	S	\$1,001 - \$15,000	П
James A Scott IRA ⇒		09/19/2014	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ZBB Energy Corporation (ZBB)					
James A Scott IRA ⇒ ZBB Energy Corporation (ZBB)		10/2/2014	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	01/24/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	03/7/2014	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	03/11/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	06/2/2014	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	06/24/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	07/11/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	09/10/2014	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	09/19/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	10/10/2014	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	10/21/2014	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Arotech Corporation (ARTX)	SP	11/10/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Aruba Networks, Inc. (ARUN)	SP	05/8/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Aruba Networks, Inc. (ARUN)	SP	06/17/2014	S	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vivien G Scott IRA ⇒ Aruba Networks, Inc. (ARUN)	SP	07/9/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Aruba Networks, Inc. (ARUN)	SP	08/8/2014	S	\$15,001 - \$50,000	П
Vivien G Scott IRA ⇒ Aruba Networks, Inc. (ARUN)	SP	09/10/2014	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Aruba Networks, Inc. (ARUN)	SP	12/18/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)	SP	01/10/2014	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)	SP	03/4/2014	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)	SP	10/1/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Ballard Power Systems, Inc Common Shares (BLDP)	SP	10/21/2014	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Best Buy Co., Inc. (BBY)	SP	01/17/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Best Buy Co., Inc. (BBY)	SP	06/17/2014	S	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Best Buy Co., Inc. (BBY)	SP	09/10/2014	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)	SP	06/25/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)	SP	07/8/2014	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)	SP	07/17/2014	P	\$1,001 - \$15,000	
Vivien G Scott IRA $\Rightarrow$ Capstone Turbine Corporation (CPST)	SP	08/8/2014	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)	SP	09/10/2014	S	\$15,001 - \$50,000	П
Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)	SP	10/21/2014	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Capstone Turbine Corporation (CPST)	SP	10/31/2014	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Caterpillar, Inc. (CAT)	SP	06/17/2014	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Caterpillar, Inc. (CAT)	SP	10/10/2014	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)	SP	01/17/2014	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)	SP	01/29/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)	SP	02/12/2014	S	\$15,001 - \$50,000	П
Vivien G Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)	SP	07/9/2014	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)	SP	09/10/2014	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)	SP	10/30/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Cirrus Logic, Inc. (CRUS)	SP	12/16/2014	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Clean Energy Fuels Corp. (CLNE)	SP	03/4/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Clean Energy Fuels Corp. (CLNE)	SP	03/7/2014	S	\$1,001 - \$15,000	П

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vivien G Scott IRA $\Rightarrow$ Clean Energy Fuels Corp. (CLNE)	SP	09/19/2014	P	\$1,001 - \$15,000	
Vivien G Scott IRA $\Rightarrow$ Clean Energy Fuels Corp. (CLNE)	SP	10/2/2014	P	\$1,001 - \$15,000	
Vivien G Scott IRA $\Rightarrow$ Clean Energy Fuels Corp. (CLNE)	SP	10/21/2014	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Coca-Cola Company (KO)	SP	03/25/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Coca-Cola Company (KO)	SP	06/17/2014	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Coca-Cola Company (KO)	SP	10/2/2014	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)	SP	01/17/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)	SP	02/26/2014	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)	SP	03/4/2014	S	\$15,001 - \$50,000	
Vivien G Scott IRA $\Rightarrow$ FuelCell Energy, Inc. (FCEL)	SP	03/12/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ FuelCell Energy, Inc. (FCEL)	SP	10/21/2014	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Garmin Ltd. (GRMN)	SP	06/17/2014	S	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Garmin Ltd. (GRMN)	SP	10/10/2014	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ Microsoft Corporation (MSFT)	SP	07/9/2014	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Microsoft Corporation (MSFT)	SP	10/8/2014	S	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vivien G Scott IRA $\Rightarrow$ Neah Power Systems, Inc. New (NPWZ)	SP	01/24/2014	P	\$1,001 - \$15,000	
Vivien G Scott IRA $\Rightarrow$ Neah Power Systems, Inc. New (NPWZ)	SP	03/4/2014	S	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Plug Power, Inc. (PLUG)	SP	01/8/2014	S	\$15,001 - \$50,000	П
Vivien G Scott IRA $\Rightarrow$ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)	SP	05/6/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)	SP	05/7/2014	S	\$50,001 - \$100,000	
Vivien G Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)	SP	05/7/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)	SP	07/9/2014	S	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)	SP	10/21/2014	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)	SP	11/3/2014	S	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Quantum Fuel Systems Technologies Worldwide, Inc. (QTWW)	SP	12/8/2014	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ Sirius XM Holdings Inc. (SIRI)	SP	02/5/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ ZBB Energy Corporation (ZBB)	SP	03/14/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ ZBB Energy Corporation (ZBB)	SP	05/29/2014	S	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vivien G Scott IRA ⇒ ZBB Energy Corporation (ZBB)	SP	07/17/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ ZBB Energy Corporation (ZBB)	SP	08/22/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ ZBB Energy Corporation (ZBB)	SP	09/10/2014	S	\$1,001 - \$15,000	П
Vivien G Scott IRA ⇒ ZBB Energy Corporation (ZBB)	SP	09/19/2014	P	\$15,001 - \$50,000	
Vivien G Scott IRA ⇒ ZBB Energy Corporation (ZBB)	SP	10/2/2014	S	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ ZBB Energy Corporation (ZBB)	SP	10/21/2014	P	\$1,001 - \$15,000	
Vivien G Scott IRA ⇒ ZBB Energy Corporation (ZBB)	SP	12/18/2014	S	\$1,001 - \$15,000	П

<sup>\*</sup> Asset class details available at the bottom of this form.

## SCHEDULE C: EARNED INCOME

Source	Туре	Amount
James Austin ScottLife Insurance Agency	Renewal commissions on insurance sales	\$66,717.35
The Southern Group, LLC	Spouse income	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Туре	Amount of Liability
SP	Ameris Bank Tifton Georgia	March 2010	Line of credit	\$15,001 - \$50,000
	Ameris Bank Tifton Georgia	June 2006	Mortgage 621 McLendon Street Ashburn, GA	\$15,001 - \$50,000
	Everhome	September 2005	rental house Ashburn Turner County Georgia	\$100,001 - \$250,000
	Wells Fargo	September 2012	Mortgage primary residence	\$250,001 -

Owner	Creditor	Date Incurred	Туре	Amount of Liability
				\$500,000
SP	Ameris Bank Tifton Georgia	September 2012	Primary residence mortgage	\$50,001 - \$100,000
	Ameris Bank Tifton Georgia	March 2013	Real estate long pine lot	\$50,001 - \$100,000

#### **SCHEDULE E: POSITIONS**

Position	Name of Organization
Limited Partner	James W. Scott Family Limited Partnership
Limited Partner	MCA Family, LLC
Limited Partner / General Partner	A-C Scott, LLP and Aus Cur Scott, LLC

#### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2003	Principal Financial Group	vested pension benefit

#### SCHEDULE G: GIFTS

None disclosed.

# SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

#### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### SCHEDULE A AND B ASSET CLASS DETAILS

• A-C SCOTT LLLP

LOCATION: US

• J. W. Scott Family Limited Partnership LOCATION: US

James A Scott IRA

Description: Retirement Account

o James A Scott VUL

**DESCRIPTION: Life Insurance Contract** 

MCA Family, LLC

LOCATION: US

Vivien G Scott 401 (K)
 Description: 401 (k)

Vivien G Scott IRA (Owner: SP)
 Description: Retirement Account

#### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

C Yes No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

∇es No

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Austin Scott, 08/13/2015