

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Mick Mulvaney

Status: Member State/District: SC05

FILING INFORMATION

Filing Type: Amendment Report

Filing Year: 2015

Filing Date: 05/16/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
519 Marvin Road Property	SP	\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	
LOCATION: Indian Land/Lancaster, SC, US DESCRIPTION: Real estate and rental property in Indian Land	l, SC				
Agnico Eagle Mines Limited (AEM)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	П
Alerian MLP ETF (AMLP)	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
Button River LLC, 33% Interest		\$500,001 - \$1,000,000	None		
LOCATION: Lancaster/Lancaster, SC, US DESCRIPTION: This is my ownership interest in a land holding	g and tree fa	arming company			
Duff & Phelps Global Utility Income Fund Inc. (DPG)	JT	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	∀
First Trust ISE-Revere Natural Gas (FCG)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	
Founders Federal Credit Union		\$1 - \$1,000	Interest	\$1 - \$200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Global X Uranium ETF (URA)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Goldcorp Inc. (GG)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
IRA ⇒ Adams Natural Resources Fund, Inc. (PEO) DESCRIPTION: FKA Petroleum Resources Corporation; stock	symbol did	\$1,001 - \$15,000 not change	Dividends	\$201 - \$1,000	
IRA ⇒ Agnico Eagle Mines Limited (AEM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
$IRA \Rightarrow$ Alerian MLP ETF (AMLP)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
IRA ⇒ Barrick Gold Corporation (ABX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
$IRA \Rightarrow$ Central Fund of Canada Limited (CEF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
$IRA \Rightarrow$ Duff & Phelps Global Utility Income Fund Inc. (DPG)		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<u> </u>
$IRA \Rightarrow$ Eldorado Gold Corporation Ordinary Shares (EGO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
$IRA \Rightarrow$ First Trust ISE-Revere Natural Gas (FCG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
$IRA \Rightarrow$ Global X Uranium ETF (URA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
$IRA \Rightarrow$ Goldcorp Inc. (GG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
IRA ⇒ Kinross Gold Corporation (KGC)		\$1 - \$1,000	None		
$IRA \Rightarrow$ Market Vectors Gold Miners ETF (GDX)		\$1,001 - \$15,000	None		
IRA ⇒		\$1,001 - \$15,000	None		

Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
	\$1,001 - \$15,000	None		П
	\$1,001 - \$15,000	Dividends	\$1 - \$200	П
	\$1 - \$1,000	None		П
	\$1,001 - \$15,000	Dividends	\$1 - \$200	П
	\$1 - \$1,000	None		
	\$1 - \$1,000	None		П
	\$1,001 - \$15,000	None		П
	\$1,001 - \$15,000	None		П
	\$1,001 - \$15,000	Dividends	\$1 - \$200	П
	\$1,001 - \$15,000	Dividends	\$1 - \$200	П
	\$15,001 - \$50,000	Capital Gains, Dividends, Interest	\$201 - \$1,000	
	\$1 - \$1,000	None		
	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	
	\$1,001 - \$15,000	Dividends	\$1 - \$200	П
	Owner	\$1,001 - \$15,000 \$1,001 - \$15,000 \$1 - \$1,000 \$1 - \$1,000 \$1 - \$1,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$50,000 \$15,001 - \$50,000 \$1 - \$1,000	\$1,001 - \$15,000 None \$1,001 - \$15,000 Dividends \$1 - \$1,000 None \$1,001 - \$15,000 Dividends \$1 - \$1,000 None \$1 - \$1,000 None \$1,001 - \$15,000 None \$1,001 - \$15,000 Dividends \$15,001 - \$50,000 Capital Gains, Dividends, Interest \$1 - \$1,000 None \$50,001 - \$100,000 Interest	\$1,001 - \$15,000 None \$1 - \$1,000 None \$1,001 - \$15,000 None \$1,001 - \$15,000 Dividends \$1,001 - \$15,000 Dividends \$1 - \$200 \$1,001 - \$15,000 Dividends \$1 - \$200 \$1,001 - \$50,000 Capital Gains, Dividends, Interest \$1 - \$1,000 None \$1 - \$1,000 None \$1 - \$1,000 None \$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
RA ⇒ Vamana Gold Inc. Ordinary Shares (AUY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	
RA-Pam ⇒ Capital World Growth Fund (CWGIX)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	П
RA-Pam ⇒ Polymet Mining Corporation Ordinary Shares (PLM)	SP	\$1 - \$1,000	None		П
RA-Pam ⇒ Putnam International Growth Fund (PINOX)	SP	\$1,001 - \$15,000	None		П
RA-Pam ⇒ RBC Federated Money Market	SP	\$1 - \$1,000	Interest	\$1 - \$200	П
RA-Pam ⇒ Van Eck Global Fund (GHAAX)	SP	\$1,001 - \$15,000	None		П
RA-Pam ⇒ Van Eck International Gold Invs Fund (INIVX)	SP	\$1,001 - \$15,000	None		П
Shares U.S. Medical Devices ETF (IHI)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	П
Shares U.S. Pharmaceutical ETF (IHE)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	П
Shares U.S. Utilities ETF (IDU)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	П
Kids College Plans ⇒ Growth Funds America (AGTHX) DESCRIPTION: This fund is part of my children's 529 College	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	П
Kids College Plans ⇒ NG Int'l Small Cap Fund (NTKLX)	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	П
DESCRIPTION: This fund is part of my children's 529 College	Savings Pla	n			
Kids College Plans ⇒ John Hancock Freedom 529 (2017-2020)	DC	\$250,001 - \$500,000	Capital Gains, Dividends, Interest	\$5,001 - \$15,000	
DESCRIPTION: This fund is part of my children's 529 College	Savings Pla	n			
Kids College Plans ⇒	DC	\$1 - \$1,000	Capital Gains,	\$201 - \$1,000	

	Value of Asset	Type(s)	Income	Tx. > \$1,000?
		Dividends, Interest		
Savings Pla	n			
DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Savings Pla	n			
JT	\$1,001 - \$15,000	None		
JT	\$1,001 - \$15,000	None		
JT	\$1,001 - \$15,000	None		
	\$1 - \$1,000	None		
	None	None		
d land in In	dian Land, SC.			
	\$15,001 - \$50,000	None		
	\$1,001 - \$15,000	Rent	\$2,501 - \$5,000	
JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	
	\$100,001 - \$250,000	None		
JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
	\$50,001 - \$100,000	None		П
	DC Savings Pla JT JT JT JT	Savings Plan JT \$1,001 - \$15,000 JT \$1,001 - \$15,000 JT \$1,001 - \$15,000 None None None \$1 - \$1,000 \$15,001 - \$50,000 \$1,001 - \$15,000 JT \$1,001 - \$15,000 JT \$1,001 - \$15,000 \$100,001 - \$250,000 JT \$1,001 - \$15,000	Dividends Interest	Dividends, Interest Dividends Savings Plan

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Description: Gold and Silver					
RBC Money Market (TMRXX)	JT	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	
SC General Assembly Retirement		\$1 - \$1,000	None		
Description: I continue to maintain a small account with the legislature	e SC Genera	al Assembly Retiremen	nt Service related to m	ny service in the SC	Cstate
SCB&T Checking		\$1,001 - \$15,000	Interest	\$1 - \$200	
Silver Wheaton Corp Common Shares (SLW)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	
Southern First Bank CDs		\$50,001 - \$100,000	Interest	\$201 - \$1,000	
SPDR Select Sector Fund - Technology (XLK)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
TD Ameritrade		\$1,001 - \$15,000	Interest	\$1 - \$200	
The Mulvaney Company, Inc., 100% Interest		None	None		
LOCATION: Indian Land/Lancaster, SC, US DESCRIPTION: This is holding company that owns the LLC int	erest in the	e other entities disclos	ed here		
The Mulvaney Group, Ltd., 3% Interest		\$250,001 - \$500,000	None		
LOCATION: Charlotte/Mecklenburg, NC, US DESCRIPTION: This is my ownership interest in a real estate de	evelopmen	t/land holding compa	ny		
Wren Development LLC, 100% Interest	JT	\$250,001 - \$500,000	None		
LOCATION: Indian Land/Lancaster, SC, US DESCRIPTION: This entity owns rental property in Indian Land	d, SC				

^{*} Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Duff & Phelps Global Utility Income Fund Inc. (DPG)	JT	06/5/2015	P	\$15,001 - \$50,000	
$IRA \Rightarrow \\ Duff \& Phelps Global Utility Income Fund Inc. (DPG)$		06/5/2015	P	\$15,001 - \$50,000	
iShares U.S. Medical Devices ETF (IHI)		02/4/2015	S (partial)	\$1,001 - \$15,000	<u> </u>
iShares U.S. Pharmaceutical ETF (IHE)		02/4/2015	S (partial)	\$15,001 - \$50,000	<u>~</u>
Kinross Gold Corporation (KGC)		02/4/2015	S	\$1,001 - \$15,000	
Market Vectors Junior Gold Miners ETF (GDXJ)		02/4/2015	P	\$1,001 - \$15,000	
Pan American Silver Corp. (PAAS)		02/4/2015	S	\$1,001 - \$15,000	
Silvercorp Metals Inc Ordinary Shares (SVM)		02/4/2015	S	\$1,001 - \$15,000	

^{*} Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Туре	Amount of Liability
JT	SCBT&T /SouthState Bank	July 2005	Commercial Mortgage	\$250,001 - \$500,000
	Comments: This is the mortgage on rental p SCB&T to South State Bank	property owned by Wr	en Development, LLC; the name of the lender w	vas changed from
	Southern First Bank	December 2014	SC State Senate Campaign Debt	\$100,001 - \$250,000
	COMMENTS: This loan was used to refinance	the old campaign deb	t with SCB&T/South State Bank	
JT	Wells Fargo	November 2011	Mortgage on Primary Residence	\$250,001 - \$500,000
	COMMENTS: This loan was refinanced in Ma	y 2015. The liability w	as zero at the end of the year.	

Owner	Creditor	Date Incurred	Туре	Amount of Liability
JT	Sun Trust Mortgage Comments: This loan, along with the South residence.	May 2015 ern First HELOC, was	Mortgage on primary residence used to refinance the prior Wells Fargo mortga	\$100,001 - \$250,000 ge on our primary
JT	Southern First Bank Comments: This loan was used, along with	May 2015 the Sun Trust loan, to	Home Equity Line refinance the prior Wells Fargo mortgage on ou	\$15,001 - \$50,000 or primary residence.

SCHEDULE E: POSITIONS

Position	Name of Organization
President	The Mulvaney Company, Inc.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2007	SC General Assembly Retirement Service	I continue to maintain a small account with the SC General Assembly Retirement Service related to my service in the SC state legislature.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- o IRA
- IRA-Pam (Owner: SP)
- Kids College Plans (Owner: DC)

LOCATION: SC

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

C Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Mick Mulvaney, 05/16/2016