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U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT

FORM B

For use by candidates and new employees

Period covered: January 1, 2013 - Dec. 31, 2013

Name:	Bert Miller	Daytime Telephone:	

Filer Status	☒ Candidate for the House of Representatives	State: — District: _I_	Date of Election: 3/8/2014	Check if Amendment ☐	A *$200 penalty* shall be assessed against any individual who files more than 30 days late.
	☐ New officer or employee	Employing Office:			

(Office Use Only)

In all sections, please type or print clearly in blue or black ink.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

	Yes	No		Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	☒	☐	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	☒	☐
II. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule II.	☒	☐	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	☐	☒
III. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule III.	☐	☒	VI. Did you receive compensation of more than $5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	☐	☒

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

	Yes	No
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	☐	☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	☐	☒

SCHEDULE I — EARNED INCOME (INCLUDING HONORARIA)

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Source (include date of receipt for honoraria)	Type	Amount	
		Current Year to Filing	Preceding Year
Examples:			
XYZ Corporation, Houston, TX	Salary	$6,300	$28,450
First Bank & Trust, Houston, TX	Director's Fee	$400	$3,200
XYZ Trade Association, Chicago, IL (Rec'd December 2)	Honorarium	0	$1,000
Harris County, Texas Public Schools	Spouse Salary	NA	NA
Phoenix Closures, Naperville IL	salary	$244,254	$308,960
Phoenix Closures, Naperville, IL	Director's fee	$36,600	$30,000
Wells Mfg. Co, Woodstock, IL	Director's fee	$5,250	$10,500
Precision Plastics Inc., Columbia City, IN	Director's fee	$8,000	$5,000

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SCHEDULE II – ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols).

For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceed the reporting thresholds.

For rental or other real property held for investment, provide a complete address or a description, e.g. "rental property" and the city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Examples: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule II requirements, please refer to the instruction booklet.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

"This column is for assets solely held by your spouse or dependent child.

BLOCK C — Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments at that genarate tax-deferred income (such as 401(k) plans or IRAs), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

BLOCK D — Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

* This column is for income derived from assets solely held by your spouse or dependent child.

	Block A — Asset and/or Income Source	Block B — Value of Asset													Block C — Type of Income								Block D — Current Year												Block D — Preceding Year											
		A None	B $1–$1,000	C $1,001–$15,000	D $15,001–$50,000	E $50,001–$100,000	F $100,001–$250,000	G $250,001–$500,000	H $500,001–$1,000,000	I $1,000,001–$5,000,000	J $5,000,001–$25,000,000	K $25,000,001–$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I None	II $1–$200	III $201–$1,000	IV $1,001–$2,500	V $2,501–$5,000	VI $5,001–$15,000	VII $15,001–$50,000	VIII $50,001–$100,000	IX $100,001–$1,000,000	X $1,000,001–$5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*	I None	II $1–$200	III $201–$1,000	IV $1,001–$2,500	V $2,501–$5,000	VI $5,001–$15,000	VII $15,001–$50,000	VIII $50,001–$100,000	IX $100,001–$1,000,000	X $1,000,001–$5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*
SP DC Example:	Micro Corp. Stock / Simon & Schuster / 1st Bank of Paducah, KY accounts			Indefinite	X	X									X			X	X			Royalties	X		X										X		X									
T	BMO Harris Bank Chk			X														X						X											X									
T	BMO Harris Bank CP				X	X												X							X											X								
T P 3	Northern Trust Chk																	X	X						X												X							
T	Ohio Commerce Bank CD										X							X										X										X						
	Ohio Commerce Bank.com / Phoenix closure's		X																		X		X												X									
	Phoenix closure's Employee Retirement	X																			X		X												X									

SCHEDULE II—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

BLOCK A — Asset and/or Income Source	BLOCK B — Value of Asset	BLOCK C — Type of Income	BLOCK D — Amount of Income (Current Year / Preceding Year)
Dow Chemical	C	NONE	Current: None; Preceding: None
FR Interest Bank Sys	B	TAX-DEFERRED	Current: None; Preceding: None
SP First Star Quality Care	C	TAX-DEFERRED	Current: None; Preceding: None
SP Commonwealth REIT Corm	C	TAX-DEFERRED	Current: None; Preceding: None
TD Ameritrade Cash	C	TAX-DEFERRED	Current: None; Preceding: None
SP FR Interest Bank Sys	C	TAX-DEFERRED	Current: None; Preceding: None
SP Home Depot Inc	C	TAX-DEFERRED	Current: None; Preceding: None
SP Powershares QQQ	C	TAX-DEFERRED	Current: None; Preceding: None
SP Richards Packaging	C	TAX-DEFERRED	Current: None; Preceding: None
SP SPDR Tr S&P 500	C	TAX-DEFERRED	Current: None; Preceding: None
SP TD Ameritrade Cash	C	TAX-DEFERRED	Current: None; Preceding: None
UBS Dep Acct / DuPont	C	NONE / DIVIDENDS	Current: $1–$200; Preceding: $1–$200
Williams Cos Inc	E	—	Current: $1,001–$2,500; Preceding: $1,001–$2,500

Block B — Value of Asset key:
A None; B $1–$1,000; C $1,001–$15,000; D $15,001–$50,000; E $50,001–$100,000; F $100,001–$250,000; G $250,001–$500,000; H $500,001–$1,000,000; I $1,000,001–$5,000,000; J $5,000,001–$25,000,000; K $25,000,001–$50,000,000; L Over $50,000,000; M Spouse/DC Asset over $1,000,000*

Block C — Type of Income key: NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; TAX-DEFERRED; Other Type of Income--(Specify: e.g., Partnership Income or Farm Income)

Block D — Amount of Income key: I None; II $1–$200; III $201–$1,000; IV $1,001–$2,500; V $2,501–$5,000; VI $5,001–$15,000; VII $15,001–$50,000; VIII $50,001–$100,000; IX $100,001–$1,000,000; X $1,000,001–$5,000,000; XI Over $5,000,000; XII Spouse/DC Income over $1,000,000*

SCHEDULE II — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

SR. __ JT. __ DC __

Asset and/or Income Source (BLOCK A)	Value of Asset (BLOCK B)	Type of Income (BLOCK C)	Amount of Income — Current Year (BLOCK D)	Amount of Income — Preceding Year (BLOCK D)
WPX Energy Inc	C ($1,001–$15,000)	Dividends	None	$1–$200
Nationwide JW/VBS Global Eq A	A (None)		None	None
UBS Global Alliance C	F ($100,001–$250,000)	Dividends	V ($2,501–$5,000)	V ($2,501–$5,000)
Northern Tr Mon Mrk	E ($50,001–$100,000) / F	Dividends	IV ($1,001–$2,500)	IV ($1,001–$2,500)
iShares US Pref Stk E	D ($15,001–$50,000) / E	Dividends	IV ($1,001–$2,500)	None
JPMorgan Chase			None	None
Alerian MLP Ind ex	E ($50,001–$100,000)	Interest	IV ($1,001–$2,500)	None
Wells Fargo IRA	C ($1,001–$15,000)	Tax-Deferred	None	None
Bank Dep Sweep		Tax-Deferred		None
First Interest Bancs		Tax-Deferred	None	None
Wisdom Tree Intl	D ($15,001–$50,000)	Tax-Deferred	None	None
RBB FD Inc Robeco	C ($1,001–$15,000)	Tax-Deferred	None	None
ADT Corp	B ($1–$1,000)	Tax-Deferred	None	None
Associates First Cap	C ($1,001–$15,000)	Tax-Deferred	None	None
Commywlth REIT	A (None) / C	Tax-Deferred	None	None
Covidien PLC	C ($1,001–$15,000)	Capital Gains	None	None

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SCHEDULE II – ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

BLOCK A — Asset and/or Income Source	BLOCK B — Value of Asset													BLOCK C — Type of Income								BLOCK D — Amount of Income (Current Year)												Amount of Income (Preceding Year)											
	None (A)	$1–$1,000 (B)	$1,001–$15,000 (C)	$15,001–$50,000 (D)	$50,001–$100,000 (E)	$100,001–$250,000 (F)	$250,001–$500,000 (G)	$500,001–$1,000,000 (H)	$1,000,001–$5,000,000 (I)	$5,000,001–$25,000,000 (J)	$25,000,001–$50,000,000 (K)	Over $50,000,000 (L)	Spouse/DC Asset over $1,000,000 (M)	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other	None (I)	$1–$200 (II)	$201–$1,000 (III)	$1,001–$2,500 (IV)	$2,501–$5,000 (V)	$5,001–$15,000 (VI)	$15,001–$50,000 (VII)	$50,001–$100,000 (VIII)	$100,001–$1,000,000 (IX)	$1,000,001–$5,000,000 (X)	Over $5,000,000 (XI)	Spouse/DC Income over $1,000,000 (XII)	None (I)	$1–$200 (II)	$201–$1,000 (III)	$1,001–$2,500 (IV)	$2,501–$5,000 (V)	$5,001–$15,000 (VI)	$15,001–$50,000 (VII)	$50,001–$100,000 (VIII)	$100,001–$1,000,000 (IX)	$1,000,001–$5,000,000 (X)	Over $5,000,000 (XI)	Spouse/DC Income over $1,000,000 (XII)
SP.																																													
JT.																																													
DC																																													
Five Star Qual Care	X																			X		X												X											
Jones Lang Lasalle		X			X															X		X												X											
Mallinkrodt PLC		X																		X		X												X											
Microsoft Corp		X																		X		X												X											
Pentair LTD		X																		X		X												X											
San Ivan Basin Realty		X																		X		X												X											
Senior Housing PtrRA			X																	X		X												X											
Siemens AG ADR			X																	X		X												X											
TE Connectvty LTD			X																	X		X												X											
Tyco Intl LTD			X																	X		X												X											
Universal Health			X	X																X		X												X											
Wells Fargo			X											X	X									X												X									
Vanguard Indx Tr			X											X						X		X												X											
Frankl Temp Frontier				X										X						X		X												X											

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BLOCK A — Asset and/or Income Source	BLOCK B — Value of Asset	BLOCK C — Type of Income	BLOCK D — Amount of Income (Current Year)	BLOCK D — Amount of Income (Preceding Year)
SP Frankl Templ Frontier	B ($1–$1,000)	NONE	I (None)	I (None)
SP Wells Fargo Depsweep	A (None)	DIVIDENDS	II ($1–$200)	II ($1–$200)
SP Barclays Capital	A (None); D ($15,001–$50,000)	NONE	I (None)	I (None)
SP Treas Fund Cap Res	C ($1,001–$15,000)	DIVIDENDS	III ($201–$1,000)	III ($201–$1,000)
SP Five Star Qual Care	C ($1,001–$15,000); D ($15,001–$50,000)	NONE; CAPITAL GAINS	I (None)	I (None)
SP Ford Motor	C ($1,001–$15,000)	DIVIDENDS	II ($1–$200)	II ($1–$200)
SP WVA St Hosp Fin Auth	B; D ($15,001–$50,000)	INTEREST	IV ($1,001–$2,500)	IV ($1,001–$2,500)
SP Monongalia WVA Bldg Con	E ($50,001–$100,000)	INTEREST	V ($2,501–$5,000)	V ($2,501–$5,000)
SP WVA Ec Dev Auth	D ($15,001–$50,000)	INTEREST	IV ($1,001–$2,500)	IV ($1,001–$2,500)
SP WVA Ec Dev Auth	C ($1,001–$15,000)	INTEREST	III ($201–$1,000)	III ($201–$1,000)
SP WVA St Hosp Fin Auth lease	C ($1,001–$15,000)	INTEREST	III ($201–$1,000)	III ($201–$1,000)
SP CabCoM Marion Cy WVA	D ($15,001–$50,000)	INTEREST	IV ($1,001–$2,500)	IV ($1,001–$2,500)
SP Cherstn WVA Wtrwrks	C ($1,001–$15,000)	INTEREST	III ($201–$1,000)	III ($201–$1,000)
SP Ohio Cy WVA Com l Dev	D ($15,001–$50,000)	INTEREST	V ($2,501–$5,000)	IV ($1,001–$2,500)
SP Wood Cy WV Com Rev	D ($15,001–$50,000)	INTEREST	V ($2,501–$5,000)	V ($2,501–$5,000)

SCHEDULE II—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

BLOCK A — Asset and/or Income Source

Line	Asset and/or Income Source
DC	
JT	
SP	
SP	Harrison Cnty WV Com Solid
SP	Pleasants Cy WVA Pollut Contr Rev
SP	Community BOIT Com Sh Ben Int
SP	Senior Housing Prop Trust
SP	Jackson Cy WVA Hosp Rev Tempt
SP	Jackson Cy WVA Hosp Rev Tempt
SP	Princeton WVA Hosp Rev Bonds
SP	Princeton WVA Hosp Rev Bonds
SP	Washington Cy Ohio Hlthcare FACs
SP	Washington Cy Ohio deposit Sweep
	Wells Fargo
	AFLAC Inc
	Air Products
	Analog Devices

BLOCK B — Value of Asset

Columns: A None / B $1–$1,000 / C $1,001–$15,000 / D $15,001–$50,000 / E $50,001–$100,000 / F $100,001–$250,000 / G $250,001–$500,000 / H $500,001–$1,000,000 / I $1,000,001–$5,000,000 / J $5,000,001–$25,000,000 / K $25,000,001–$50,000,000 / L Over $50,000,000 / M Spouse/DC Asset over $1,000,000*

BLOCK C — Type of Income

Columns: NONE / DIVIDENDS / RENT / INTEREST / CAPITAL GAINS / EXCEPTED/BLIND TRUST / TAX-DEFERRED / Other Type of Income—(Specify: e.g., Partnership Income or Farm Income)

BLOCK D — Amount of Income (Current Year)

Columns: I None / II $1–$200 / III $201–$1,000 / IV $1,001–$2,500 / V $2,501–$5,000 / VI $5,001–$15,000 / VII $15,001–$50,000 / VIII $50,001–$100,000 / IX $100,001–$1,000,000 / X $1,000,001–$5,000,000 / XI Over $5,000,000 / XII Spouse/DC Income over $1,000,000*

BLOCK D — Amount of Income (Preceding Year)

Columns: I None / II $1–$200 / III $201–$1,000 / IV $1,001–$2,500 / V $2,501–$5,000 / VI $5,001–$15,000 / VII $15,001–$50,000 / VIII $50,001–$100,000 / IX $100,001–$1,000,000 / X $1,000,001–$5,000,000 / XI Over $5,000,000 / XII Spouse/DC Income over $1,000,000*

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BLOCK A Asset and/or Income Source	BLOCK B Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income — Current Year	BLOCK D Amount of Income — Preceding Year
AT&T Inc	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
ADP	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
Baxter Int'l	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
Becton Dickinson	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	II ($1 – $200)
Chevron Corp	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
Chubb Corp	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
Clorox Comp	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
Colgate-Palmolive	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
Conoco Phillips	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
Eaton Vance	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	II ($1 – $200)
Emerson Electric	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
Exxon Mobile	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
Factset Research	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
General Mills	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)

Value of Asset column key: A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000; M Spouse/DC Asset over $1,000,000*

Type of Income key: NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; TAX-DEFERRED; Other Type of Income—(Specify: e.g., Partnership Income or Farm Income)

Amount of Income key: I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000; XII Spouse/DC Income over $1,000,000*

SCHEDULE II – ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

BLOCK A — Asset and/or Income Source	BLOCK B — Value of Asset	BLOCK C — Type of Income	BLOCK D — Current Year	BLOCK D — Preceding Year
General Dynamics	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	II ($1 – $200)
Graingur WW Inc	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	II ($1 – $200)
Harris Corp	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
ITW Inc	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
IBM	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
JM Smucker	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
Johnson & Johnson	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	II ($1 – $200)
Kellogg Co	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
Lowes Cos	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
McDonalds Corp	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
Medtronic Inc	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	II ($1 – $200)
Microsoft Corp	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
Nextera Energy	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)
Nordstrom Inc	C ($1,001 – $15,000)	DIVIDENDS	II ($1 – $200)	I (None)

Value of Asset legend (BLOCK B):
A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000; M Spouse/DC Asset over $1,000,000*

Type of Income legend (BLOCK C):
NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; TAX-DEFERRED; Other Type of Income—(Specify: e.g., Partnership Income or Farm Income)

Amount of Income legend (BLOCK D):
I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000; XII Spouse/DC Income over $1,000,000*

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SCHEDULE II – ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

BLOCK A — Asset and/or Income Source

SP.
JT.
DC

Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income — Current Year	Block D: Amount of Income — Preceding Year
Norfolk Southern	C ($1,001–$15,000)	DIVIDENDS	II ($1–$200)	I (None)
Northeast Utilities	C ($1,001–$15,000)	DIVIDENDS	II ($1–$200)	I (None)
Novartis AG	C ($1,001–$15,000)	DIVIDENDS	II ($1–$200)	II ($1–$200)
Paychex Inc	C ($1,001–$15,000)	DIVIDENDS	II ($1–$200)	I (None)
Pepsico Inc	C ($1,001–$15,000)	DIVIDENDS	II ($1–$200)	I (None)
Phillips 66	C ($1,001–$15,000)	DIVIDENDS	II ($1–$200)	II ($1–$200)
Polaris Inds Inc	C ($1,001–$15,000)	DIVIDENDS	II ($1–$200)	I (None)
Praxair Inc	C ($1,001–$15,000)	DIVIDENDS	II ($1–$200)	I (None)
Proctor & Gamble Co	C ($1,001–$15,000)	DIVIDENDS	II ($1–$200)	I (None)
SCANA Corp	C ($1,001–$15,000)	DIVIDENDS	II ($1–$200)	I (None)
Sigma Aldrich	C ($1,001–$15,000)	DIVIDENDS	II ($1–$200)	I (None)
Sysco Corp	C ($1,001–$15,000)	DIVIDENDS	II ($1–$200)	I (None)
Target Corp	C ($1,001–$15,000)	DIVIDENDS	II ($1–$200)	I (None)
The Southern Co	C ($1,001–$15,000)	DIVIDENDS	II ($1–$200)	I (None)

Block B — Value of Asset
A None; B $1–$1,000; C $1,001–$15,000; D $15,001–$50,000; E $50,001–$100,000; F $100,001–$250,000; G $250,001–$500,000; H $500,001–$1,000,000; I $1,000,001–$5,000,000; J $5,000,001–$25,000,000; K $25,000,001–$50,000,000; L Over $50,000,000; M Spouse/DC Asset over $1,000,000*

Block C — Type of Income
NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; TAX-DEFERRED; Other Type of Income—(Specify: e.g., Partnership Income or Farm Income)

Block D — Amount of Income (Current Year / Preceding Year)
I None; II $1–$200; III $201–$1,000; IV $1,001–$2,500; V $2,501–$5,000; VI $5,001–$15,000; VII $15,001–$50,000; VIII $50,001–$100,000; IX $100,001–$1,000,000; X $1,000,001–$5,000,000; XI Over $5,000,000; XII Spouse/DC Income over $1,000,000*

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SCHEDULE II—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

BLOCK A — Asset and/or Income Source

BLOCK B — Value of Asset
A. None · B. $1 – $1,000 · C. $1,001 – $15,000 · D. $15,001 – $50,000 · E. $50,001 – $100,000 · F. $100,001 – $250,000 · G. $250,001 – $500,000 · H. $500,001 – $1,000,000 · I. $1,000,001 – $5,000,000 · J. $5,000,001 – $25,000,000 · K. $25,000,001 – $50,000,000 · L. Over $50,000,000 · M. Spouse/DC Asset over $1,000,000*

BLOCK C — Type of Income
None · Dividends · Rent · Interest · Capital Gains · Excepted/Blind Trust · Tax-Deferred · Other Type of Income—(Specify: e.g., Partnership Income or Farm Income)

BLOCK D — Amount of Income (Current Year / Preceding Year)
I. None · II. $1 – $200 · III. $201 – $1,000 · IV. $1,001 – $2,500 · V. $2,501 – $5,000 · VI. $5,001 – $15,000 · VII. $15,001 – $50,000 · VIII. $50,001 – $100,000 · IX. $100,001 – $1,000,000 · X. $1,000,001 – $5,000,000 · XI. Over $5,000,000 · XII. Spouse/DC Income over $1,000,000*

Asset and/or Income Source	Value (Block B)	Type of Income (Block C)	Current Year (Block D)	Preceding Year (Block D)
United Technologies	C	Dividends	II	II
VF Corp	C	Dividends	II	II
Wal-Mart Stores	C	Dividends	II	II
Wisconsin Energy	C	Dividends	II	II
3M Co	C	Dividends	II	None
SP TD Ameritrade Dep Acct	E	Dividends	II	None
SP CSX Corp	C	None, Dividends	II	II
SP ER Interest Bancsystem	C	None, Dividends	II, VI	V
TD Ameritrade Dep Acct	D	Interest	III	III
TD Ameritrade Cash	E	None	II	None
TD Ameritrade Dep Acct	None	None, Dividends	None	None
Chesapeake Energy	D	Dividends, Interest	III	—
Amerigas Partners	E	Dividends, Other: Partnership Distr	VI	IV
Aon PLC	E	Dividends	IV	III
Aon Corp	X (None)	Dividends	None	None

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Ownership codes present: DC, JT, SP

Block A — Asset and/or Income Source	Block B — Value of Asset	Block C — Type of Income	Block D — Current Year	Block D — Preceding Year
Aon Corp	A (None)	Capital Gains	I (None)	VIII ($50,001–$100,000)
Bank of NY Mellon	D ($15,001–$50,000)	Dividends	III ($201–$1,000)	III ($201–$1,000)
Barclays Bank	C ($1,001–$15,000)	Dividends	III ($201–$1,000)	III ($201–$1,000)
Barrick Gold Corp	E ($50,001–$100,000)	Dividends	III ($201–$1,000)	III ($201–$1,000)
Boeing	E ($50,001–$100,000)	Dividends	III ($201–$1,000)	III ($201–$1,000)
CCL Inds Inc Conv	D ($15,001–$50,000)	Dividends	II ($1–$200)	II ($1–$200)
Centurylink Inc	C ($1,001–$15,000)	Dividends	III ($201–$1,000)	IV ($1,001–$2,500)
Chesapeake Energy	C ($1,001–$15,000)	Dividends	III ($201–$1,000)	III ($201–$1,000)
Cisco Systems Inc	C ($1,001–$15,000)	Dividends	III ($201–$1,000)	IV ($1,001–$2,500)
Commonwealth REIT	D ($15,001–$50,000)	Dividends	IV ($1,001–$2,500)	V ($2,501–$5,000)
Conoco Phillips	E ($50,001–$100,000)	Dividends	V ($2,501–$5,000)	IV ($1,001–$2,500)
CVR Partners LP	C ($1,001–$15,000)	Other — Partnership Distr.	III ($201–$1,000)	III ($201–$1,000)
Dean Foods	D ($15,001–$50,000)	None	I (None)	II ($1–$200)
Devon Energy Corp	E ($50,001–$100,000)	Dividends	III ($201–$1,000)	III ($201–$1,000)

Block B — Value of Asset legend: A None; B $1–$1,000; C $1,001–$15,000; D $15,001–$50,000; E $50,001–$100,000; F $100,001–$250,000; G $250,001–$500,000; H $500,001–$1,000,000; I $1,000,001–$5,000,000; J $5,000,001–$25,000,000; K $25,000,001–$50,000,000; L Over $50,000,000; M Spouse/DC Asset over $1,000,000*

Block C — Type of Income: NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; TAX-DEFERRED; Other Type of Income—(Specify: e.g., Partnership Income or Farm Income)

Block D — Amount of Income legend (Current Year / Preceding Year): I None; II $1–$200; III $201–$1,000; IV $1,001–$2,500; V $2,501–$5,000; VI $5,001–$15,000; VII $15,001–$50,000; VIII $50,001–$100,000; IX $100,001–$1,000,000; X $1,000,001–$5,000,000; XI Over $5,000,000; XII Spouse/DC Income over $1,000,000*

BLOCK A – Asset and/or Income Source

SP
JT.
DC

#	Asset and/or Income Source
1	DeVry Educa Group
2	Dow Chemical
3	DuPont
4	Energy Transf Patnrs
5	Dean Foods
6	First Interst Bancsys
7	PAA Natural Gas Stora
8	Treehouse Foods
9	Verizon Comm
10	Waste Mangt Inc
11	Whitewave Foods
12	Whitewave Foods
13	Putnam Cy WEA Poly
14	Mass St Dev Fin Agency

BLOCK B – Value of Asset

Code	Range
A	None
B	$1 – $1,000
C	$1,001 – $15,000
D	$15,001 – $50,000
E	$50,001 – $100,000
F	$100,001 – $250,000
G	$250,001 – $500,000
H	$500,001 – $1,000,000
I	$1,000,001 – $5,000,000
J	$5,000,001 – $25,000,000
K	$25,000,001 – $50,000,000
L	Over $50,000,000
M	Spouse/DC Asset over $1,000,000*

BLOCK C – Type of Income: NONE, DIVIDENDS, RENT, INTEREST, CAPITAL GAINS, EXCEPTED/BLIND TRUST, TAX-DEFERRED, Other Type of Income--(Specify: e.g., Partnership Income or Farm Income)

BLOCK D – Amount of Income (Current Year / Preceding Year)

Code	Range
I	None
II	$1 – $200
III	$201 – $1,000
IV	$1,001 – $2,500
V	$2,501 – $5,000
VI	$5,001 – $15,000
VII/VIII	$15,001 – $50,000
VIII	$50,001 – $100,000
IX	$100,001 – $1,000,000
X	$1,000,001 – $5,000,000
XI	Over $5,000,000
XII	Spouse/DC Income over $1,000,000*

Asset	Block B (Value)	Block C (Type)	Current Year	Preceding Year
DeVry Educa Group	C	DIVIDENDS	III	III
Dow Chemical	D	DIVIDENDS	III	III
DuPont	C→E	DIVIDENDS	IV	IV
Energy Transf Patnrs	D	DIVIDENDS		
Dean Foods	D	NONE	IV	IV
First Interst Bancsys	C	DIVIDENDS	V	VI
PAA Natural Gas Stora	G	NONE / Other: Partnership Distr	I	I
Treehouse Foods	D	NONE	I	I
Verizon Comm	D	DIVIDENDS	III	III
Waste Mangt Inc	D	DIVIDENDS	IV	IV
Whitewave Foods	D	NONE	I / II	I / II
Whitewave Foods		INTEREST	II	
Putnam Cy WEA Poly	A	INTEREST	III	III
Mass St Dev Fin Agency	A	INTEREST	III	IV

SCHEDULE II—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (If needed)

	BLOCK A — Asset and/or Income Source	BLOCK B — Value of Asset	BLOCK C — Type of Income	BLOCK D — Current Year	Preceding Year
DC	Mass St Dev Fin Ag Rev	A (None)	Interest	III ($201–$1,000)	—
JT	WVa Econ Dev Auth	C ($1,001–$15,000)	Interest	III ($201–$1,000)	I (None)
SP	WVa Econ Dev Auth	C ($1,001–$15,000)	Interest	III ($201–$1,000)	I (None)
	WVa Econ Dev Auth	C ($1,001–$15,000)	Interest, Capital Gains	II ($1–$200)	III ($201–$1,000)
	WVa Econ Dev Auth	C ($1,001–$15,000)	Interest	IV ($1,001–$2,500)	I (None)
	WVa Econ Dev Auth	D ($15,001–$50,000)	Interest	IV ($1,001–$2,500)	I (None)
	Greenbrier Cy WVa Cnty Commn Tax	D ($15,001–$50,000)	Interest	IV ($1,001–$2,500)	III ($201–$1,000)
	WVa St. Hosp Fin Auth	D ($15,001–$50,000)	Interest	IV ($1,001–$2,500)	V ($2,501–$5,000)
	WVa St. Hosp Fin Auth	F ($100,001–$250,000)	Interest	VI ($5,001–$15,000)	V ($2,501–$5,000)
	WVa St Hsg Dev Fd Rev / Charlestown WVa / Wtrwrks & sewer sys	E ($50,001–$100,000)	Interest	V ($2,501–$5,000)	V ($2,501–$5,000)
	Monongalia Cy WVd Bldg Commn Rev	F ($100,001–$250,000)	Interest	V ($2,501–$5,000), VI ($5,001–$15,000)	VI ($5,001–$15,000)
	Matagorda, with Cy, TX Nav Dist #1	D ($15,001–$50,000)	Interest	IV ($1,001–$2,500)	IV ($1,001–$2,500)
	Berkeley Cy WVa Bldg Commn Lease	D ($15,001–$50,000)	Interest	IV ($1,001–$2,500)	IV ($1,001–$2,500)
	WVA St Hosp Fin Auth	D ($15,001–$50,000)	Interest	IV ($1,001–$2,500)	V ($2,501–$5,000)

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Asset and/or Income Source (BLOCK A)	Value of Asset (BLOCK B)	Type of Income (BLOCK C)	Amount of Income — Current Year (BLOCK D)	Amount of Income — Preceding Year (BLOCK D)
Cy Comn Marion Cy WVa Hosp Rev	E ($50,001 – $100,000)	INTEREST	V ($2,501 – $5,000)	IV ($1,001 – $2,500)
Charleston Town WVa Wtrwrks & Sew Sys	D ($15,001 – $50,000)	INTEREST	VI ($5,001 – $15,000)	V ($2,501 – $5,000)
Arizona Hsg Fin Auth	E ($50,001 – $100,000)	INTEREST	VI ($5,001 – $15,000)	VI ($5,001 – $15,000)
Ohio Cy WVa Cnty Coml Devel	E ($50,001 – $100,000)	INTEREST	VI ($5,001 – $15,000)	VI ($5,001 – $15,000)
Wood Cy WVa Cnty Comm Rev Refg	D ($15,001 – $50,000)	INTEREST	V ($2,501 – $5,000)	VI ($5,001 – $15,000)
Harrison Cy WVa Cnty Comm Solid	E ($50,001 – $100,000)	INTEREST	VI ($5,001 – $15,000)	VI ($5,001 – $15,000)
Pleasants Cy WVa Cnty Comm Solid	F ($100,001 – $250,000)	INTEREST	VI ($5,001 – $15,000)	VI ($5,001 – $15,000)
Pleasants Cy WVa Pollution Cntrl Rev		INTEREST		
Pleasants Cy WVa Pollution Cntrl Rev	D ($15,001 – $50,000)	DIVIDENDS	IV ($1,001 – $2,500)	III ($201 – $1,000)
Senior Housing PeTr		INTEREST	None	
Columbus GA Hsg Auth		INTEREST, CAPITAL GAINS	None	VI ($5,001 – $15,000)
East Palestine Ohio Sewer Sys Rev		INTEREST	None	III ($201 – $1,000)
East Palestine Ohio Sewer Sys Rev		CAPITAL GAINS	None	III ($201 – $1,000)
Princeton WVa Hosp Rev		INTEREST	None	V ($2,501 – $5,000)

SCHEDULE II—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

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BLOCK A — Asset and/or Income Source	SP / JT / DC	BLOCK B — Value of Asset	BLOCK C — Type of Income	BLOCK D — Current Year	Preceding Year
Princeton NJ Hosp Rev	DC (None – A)	—	Interest; Capital Gains	None (I)	(IV) $1,001–$2,500
Washington Cy Ohio Health Care FACS	JT (None – A)	—	Interest	None (I)	(VI) $5,001–$15,000
Washington Cy Ohio Health Care FAIS	SP (None – A)	—	Interest	None (I)	(VI) $5,001–$15,000 / (V) $2,501–$5,000
AT&T Inc		E ($50,001–$100,000)	Dividends	None (I) / (III) $201–$1,000	(III) $201–$1,000
TradeMonster Cash		F ($100,001–$250,000)	Dividends	(III) $201–$1,000	(III) $201–$1,000
Apple Inc		E ($50,001–$100,000)	Dividends	(III) $201–$1,000	(III) $201–$1,000
First Interstate Banks		E ($50,001–$100,000)	Dividends	(III) $201–$1,000	
IBM		E ($50,001–$100,000)	Dividends	None (I)	
3-D Systems Corp	X		Capital Gains	None (I) / (III) $201–$1,000 / (VI) $5,001–$15,000	(IV) $1,001–$2,500
iShares ETFs	X		Dividends	None (I)	
iShares ETFs	X		Dividends	None (I)	(VIII) $50,001–$100,000
Powershares ETFs	X		Dividends; Capital Gains	None (I)	(III) $201–$1,000
Powershares ETFs	X		Dividends	None (I)	(III) $201–$1,000
Rydex ETFs	X		Dividends; Capital Gains	None (I)	(IV) $1,001–$2,500

Block B — Value of Asset key: A None; B $1–$1,000; C $1,001–$15,000; D $15,001–$50,000; E $50,001–$100,000; F $100,001–$250,000; G $250,001–$500,000; H $500,001–$1,000,000; I $1,000,001–$5,000,000; J $5,000,001–$25,000,000; K $25,000,001–$50,000,000; L Over $50,000,000; M Spouse/DC Asset over $1,000,000*

Block C — Type of Income: None; Dividends; Rent; Interest; Capital Gains; Excepted/Blind Trust; Tax-Deferred; Other Type of Income—(Specify: e.g., Partnership Income or Farm Income)

Block D — Amount of Income key (Current Year / Preceding Year): I None; II $1–$200; III $201–$1,000; IV $1,001–$2,500; V $2,501–$5,000; VI $5,001–$15,000; VII $15,001–$50,000; VIII $50,001–$100,000; IX $100,001–$1,000,000; X $1,000,001–$5,000,000; XI Over $5,000,000; XII Spouse/DC Income over $1,000,000*

BLOCK A — Asset and/or Income Source	BLOCK B — Value of Asset	BLOCK C — Type of Income	BLOCK D — Current Year	BLOCK D — Preceding Year
SP.				
JT.				
DC	None (A) X	DIVIDENDS X	None (I) X	
Rydex ETFs	None (A) X	DIVIDENDS X	None (I) X	
SPDR ETFs	None (A) X	CAPITAL GAINS X	None (I) X	
SPDR ETFs	None (A) X	DIVIDENDS X; CAPITAL GAINS X	None (I) X	$201 – $1,000 (III) X
Vanguard ETF	None (A) X	DIVIDENDS X	None (I) X	$1,001 – $2,500 (IV) X
Vanguard ETF	None (A) X	CAPITAL GAINS X	None (I) X	$2,501 – $5,000 (V) X
First Trust ETF	None (A) X	DIVIDENDS X; CAPITAL GAINS X	None (I) X	None (I) X
First Trust ETF	None (A) X	DIVIDENDS X	None (I) X	$1,001 – $2,500 (IV) X
Wisdom Tree ETF	None (A) X	DIVIDENDS X; CAPITAL GAINS X	None (I) X	None (I) X
Northwestern Mutual Whole Life Insur	$15,001 – $50,000 (D) X	NONE X	None (I) X	
Northwestern Mutual (401k) Adjust Comp Life Adjust Comp Life	$15,001 – $50,000 (D) X	NONE X	None (I) X	
Loan to Penelope J. Coote	$1,000,001 – $5,000,000 (I) X	DIVIDENDS X; INTEREST X	None (I) X; $1 – $200 (II) X	$201 – $1,000 (III) X
Phoenix Closures – Injection molded closures, Naperville, IL			$5,001 – $15,000 (VI) X	$5,001 – $15,000 (VI) X

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SCHEDULE II – ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

BLOCK A — Asset and/or Income Source	BLOCK B — Value of Asset	BLOCK C — Type of Income	BLOCK D — Amount of Income (Current Year)	BLOCK D — Amount of Income (Preceding Year)
SP: / JT: / DC:				
$ DDMM Properties	F ($100,001 – $250,000)	RENT	IV ($1,001 – $2,500)	IV ($1,001 – $2,500)
– comm'l real est, Romeoville, Il				
Phoenix Closures	I ($1,000,001 – $5,000,000)	NONE	VII/VIII ($15,001 – $50,000)	VII/VIII ($15,001 – $50,000)
– injection molded closures, Naperville, Il				
Newport Ten LLC	G ($250,001 – $500,000)	DIVIDENDS	I (None)	II ($201 – $1,000)
– comm'l real est Newport, IN				
Cuatro LLC	D ($15,001 – $50,000)	DIVIDENDS	I (None)	II ($201 – $1,000)
– comm'l real est, Greencastle, IN				
Miller Dowel	D ($15,001 – $50,000)	DIVIDENDS	I (None)	II ($201 – $1,000)
– wooden dowel mnf Wilmette, Il				

Block B value options: A None, B $1–$1,000, C $1,001–$15,000, D $15,001–$50,000, E $50,001–$100,000, F $100,001–$250,000, G $250,001–$500,000, H $500,001–$1,000,000, I $1,000,001–$5,000,000, J $5,000,001–$25,000,000, K $25,000,001–$50,000,000, L Over $50,000,000, M Spouse/DC Asset over $1,000,000*

Block C type options: NONE, DIVIDENDS, RENT, INTEREST, CAPITAL GAINS, EXCEPTED/BLIND TRUST, TAX-DEFERRED, Other Type of Income—(Specify: e.g., Partnership Income or Farm Income)

Block D amount options: I None, II $1–$200, III $201–$1,000, IV $1,001–$2,500, V $2,501–$5,000, VI $5,001–$15,000, VII/VIII $15,001–$50,000, — $50,001–$100,000, IX $100,001–$1,000,000, X $1,000,001–$5,000,000, XI Over $5,000,000, XII Spouse/DC Income over $1,000,000*

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	
Asset and/or Income Source		**Value of Asset**	**Type of Income**	**Amount of Income**	
				Current Year	**Preceding Year**

	Asset and/or Income Source	Value of Asset	Type of Income	Current Year	Preceding Year
SP. JT. DC	Loan to DDMM Prop	A None	NONE	I None	I None
		B $1 – $1,000	DIVIDENDS	II $1 – $200	II $1 – $200
		C $1,001 – $15,000	RENT	III $201 – $1,000	III $201 – $1,000
		D $15,001 – $50,000	X INTEREST	IV $1,001 – $2,500	IV $1,001 – $2,500
		E $50,001 – $100,000	CAPITAL GAINS	V $2,501 – $5,000	V $2,501 – $5,000
		X F $100,001 – $250,000	EXCEPTED/BLIND TRUST	X VI $5,001 – $15,000	X VI $5,001 – $15,000
		G $250,001 – $500,000	TAX-DEFERRED	VII $15,001 – $50,000	VII $15,001 – $50,000
		H $500,001 – $1,000,000	Other Type of Income—(Specify: e.g., Partnership Income or Farm Income)	VIII $50,001 – $100,000	VIII $50,001 – $100,000
		I $1,000,001 – $5,000,000		IX $100,001 – $1,000,000	IX $100,001 – $1,000,000
		J $5,000,001 – $25,000,000		X $1,000,001 – $5,000,000	X $1,000,001 – $5,000,000
		K $25,000,001 – $50,000,000		XI Over $5,000,000	XI Over $5,000,000
		L Over $50,000,000		XII Spouse/DC Income over $1,000,000*	XII Spouse/DC Income over $1,000,000*
		M Spouse/DC Asset over $1,000,000*			

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SCHEDULE III — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded $10,000.

SP, DC, JT	Creditor	Date Liability Incurred mo/year	Type of Liability	Amount of Liability										
				A $10,001—$15,000	B $15,001—$50,000	C $50,000—$100,000	D $100,001—$250,000	E $250,001—$500,000	F $500,001—$1,000,000	G $1,000,001—$5,000,000	H $5,000,001—$25,000,000	I $25,000,001—$50,000,000	J Over $50,000,000	K Spouse/DC Liability over $1,000,000
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main Street, Dover, DE				X							
	First Midwest Bank -Itasca, Fl	Apr '90	Business loan to DDMM (joint and several liability)				X							

SCHEDULE IV — POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

Position	Name of Organization
Partner	Cuatro, LLC
Partner	Newport Ten LLC
President	Phoenix Closures, Inc
Director	Illinois Manufacturers Association
Director	National Association of Manufacturers

Use additional sheets if more space is required.

SCHEDULE III — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts (i.e., credit cards)* only if the balance at the close of the previous calendar year exceeded $10,000.

SP, DC, JT	Creditor	Date Liability Incurred mo/year	Type of Liability	A $10,001— $15,000	B $15,001— $50,000	C $50,001— $100,000	D $100,001— $250,000	E $250,001— $500,000	F $500,001— $1,000,000	G $1,000,001— $5,000,000	H $5,000,001— $25,000,000	I $25,000,001— $50,000,000	J Over $50,000,000	K Spouse/DC Liability over $1,000,000
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main Street, Dover, DE				X							
	See pg 21													

SCHEDULE IV — POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

Position	Name of Organization
Director	Big Shoulders Fund

Use additional sheets if more space is required.

SCHEDULE V – AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
None		

SCHEDULE VI – COMPENSATION IN EXCESS OF $5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than $5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule I.

Source (Name and Address)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting services
None	