CALENDAR	CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT	SCLOSURE STATEN	MENT	For us	For use by Members, officers, and employees	
						TEOTO SORUÇUS ELECTROS CENTER
	Richard A.	Richard A. (Rick) Berg				1813 11 -3 LT IN: 27
	(Full	(Full Name)			(Daytime Telephone)	(Office Use Only)
Filer	Member of the U.S.	State: ND		Officer Or	Employing Office:	
Status	House of Representatives	ves District: 1		Employee		be assessed against
Report)		Termination Date:	more than 30 days
Type	☐ Annual (May 15)	☐ Amendment	(🗹) Termination	tion	1/2/2013	late.
PRELIMIN/	PRELIMINARY INFORMATION ANSWER EACH		OF THESE QUESTIONS	UESTIO	SN	
l. Or more from	Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?		Yes 🗸 No 🗌	VI. the repo	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?	table gift in totherwise Yes ☐ No 🕢
If yes, comp	If yes, complete and attach Schedule I.			If yes, co	If yes, complete and attach Schedule VI.	
Did any indivi	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	lleu of paying	Yes No 🗸	VII. reimbur	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)?	able travel or athan \$350 Yes No
If yes, comp	If yes, complete and attach Schedule II.			If yes,	If yes, complete and attach Schedule VII.	
ill. more than \$20	Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?		Yes V No	VIII. current	Did you hold any reportable positions on or before the date of filing in the VIII. current calendar year?	filling in the Yes ✓ No
If yes, comp	If yes, complete and attach Schedule III.			If yes,	If yes, complete and attach Schedule VIII.	
IV. reportable as:	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting	Ŋ	Yes V No	IX. entity?	Did you have any reportable agreement or arrangement with an outside entity?	an outside Yes ☐ No ☑
If yes, comp	If yes, complete and attach Schedule IV.			If yes,	If yes, complete and attach Schedule IX.	
V. (more than \$1	Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?		Yes ✓ No	Each	Each question in this part must be answered and the appropriate	ed and the appropriate
If yes, comp	If yes, complete and attach Schedule V.			sched	schedule attached for each "Yes" response.	e.
EXCLUSIO	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION ANSWE	NDENT, OR TRU	ST INFORM/	NOITA	ANSWER EACH OF THESE QUESTIONS	ESTIONS
Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain disclosed. Have you excluded from this report details of such a trust benefiting you, your s	ied Blind Trusts" approv	ed by the Commit	tee on Ethics st benefiting	and certain other "excepted trusts" need not be you, your spouse, or dependent child?	be Yes ☐ No ☑
Exemptions		this report any other ass ree tests for exemption?	ets, "unearned" in Do not answer "y	come, trans	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	child Ethics. Yes No

UNITED STATES HOUSE OF REPRESENTATIVES

FORM A

Page 1 of 19 HAND DELIVERED

SCHEDULE I - EARNED INCOME

Name Richard A. (Rick) Berg

Page 2 of 19

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Туре	Amount
U.S. House of Representatives	Salary	\$152,353
Independent Family Doctors, Ltd.	Spouse Salary	N/A
Cattle Ranch - Oliver County, ND	Spouse Cattle Ranch	N/A

SCHEDULE
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SP SP vacation homes (unless there was rental income during the reporting Exclude: Your personal residence, including second homes and of the institution holding the account and its value at the end of the generated more than \$200 in "unearned" income during the year. a fair market value exceeding \$1,000 at the end of the reporting period, activities, and its geographic location in Block A. publically traded, state the name of the business, the nature of its For an ownership interest in a privately-held business that is not For rental or other real property held for investment, provide a complete reporting period. retirement accounts which are not self-directed, provide only the name asset held in the account that exceeds the reporting thresholds. For exercised, to select the specific investments), provide the value for each self-directed (i.e., plans in which you have the power, even if not For all IRAs and other retirement plans (such as 401(k) plans) that are symbols.) Provide complete names of stocks and mutual funds (do not use ticker and (b) any other reportable asset or sources of income which Identify (a) each asset held for investment or production of income with ariadle anu dancaita tatalina EE 1111 ar lasa in a naveanal abaabina Asset and/or Income Source Trust Fargo, ND Farmland-Oliver County, ND 2302 30 1/2 Ave So Fargo, ND Weekare Bldg. (30%) Independent Family Doctors INREIT Real Estate Investment Residential Land-Washburn. Farmland-Benson County, ND **BLOCK A** \$15,001 -\$50,000 \$250,000 \$100,001 -\$50,000 \$15,001 -\$1,000,000 \$500,001 -\$1,000,000 \$500,001 -\$250,000 \$100,001 other than fair market at close of reporting the value should be it is generated income asset was sold and is the method used. If an value, please specify valuation method year. If you use a included only because Value of Asset Year-End Name Richard A. (Rick) Berg **BLOCK B** Income S Corp None RENT RENT RENT generated no income plans or IRAs), you may DIVIDENDS during the reporting even if reinvested, must column. Dividends, specific investments or accounts that do not Check all columns that Check "None" if the asset be disclosed as income. interest, and capital gains check the "None" income (such as 401(k) allow you to choose apply. For retirement that generate tax-deferred Type of Income BLOCK C \$15,001 - \$50,000 capital gains, even if of income by checking the \$15,001 - \$50,000 \$5,001 - \$15,000 NONE \$5,001 - \$15,000 \$15,001 - \$50,000 earned or generated. disclosed as income. Check reinvested, must be Dividends, interest, and appropriate box below. assets, indicate the category generate tax-deferred income specific investments or that do not allow you to choose "None" column. For all other IRAs), you may check the (such as 401(k) plans or For retirement accounts that "None" if no income was Amount of Income BLOCKD Transaction reporting year. exceeding exchanges (E) \$1,000 in (P), sales (S), or had purchases Indicate if asset Page 3 of 19 BLOCK E

SCHEDULE
III - ASSETS /
AND "UNEAR!
NED" INCOME

Fargo, ND Loans to David Gille Fargo, ND Loans to Westwind Properties-Loans to Jesse Craig-Fargo, Loan to Amber Holdings, LLC-Ramsey National Bank account Loan to Kelly Zander-Fargo, ND Starion Financial accounts **Growth Portfolio** Bismarck, ND Ntractive, LLC-Software-Grand Forks, ND Software-Fargo, ND Fargo, ND College Save Section 529 Plan-**Dacotah Cattle Growers LLC** Partners-Venture Capital-(Cow Share LLP)-Loan to Campaign Intelligent InSites, LLC-Goldmark Schlossman VC \$500,001 -\$1,000,000 \$15,001 -\$50,000 \$1,001 -\$15,000 \$100,001 -\$250,000 \$50,001 -\$100,000 \$50,001 -\$100,000 \$50,001 -\$100,000 \$50,000 \$50,001 -\$100,000 \$100,001 -\$250,000 \$100,001 -\$500,000 \$250,001 -\$250,000 \$100,001 -\$15,001 -Name Richard A. (Rick) Berg None None LLC Income LLC Income Income **Partnership** LLC Income INTEREST INTEREST INTEREST INTEREST INTEREST INTEREST INTEREST NONE NONE NONE NONE NONE \$1 - \$200 \$15,001 - \$50,000 \$15,001 - \$50,000 \$15,001 - \$50,000 \$5,001 - \$15,000 \$5,001 - \$15,000 \$201 - \$1,000 NONE Page 4 of 19

Fargo, ND

\$15,000 \$1,001 -

INTEREST

\$1 - \$200

\$250,000

Choice Financial account-

Moorhead, MN

HEDULE III - ASSETS AND "UNEARNED" INCOME Nan		SC
III - ASSETS AND "UNEARNED" II	1	
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SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Richard A. (Rick) Berg	\ (Rick) Berg		Page 5 of 19
	Bremer Bank account-Fargo, ND	\$1 - \$1,000	INTEREST	NONE	
- 11	Dakota Real Estate Investment Trust-Fargo, ND	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
	Bell State Bank & Trust accounts	\$250,001 - \$500,000	INTEREST	\$201 - \$1,000	
SP	401(k) Plan account-SBT Income With Growth	\$50,001 - \$100,000	None	NONE	
SP	Cattle-Oliver County, ND	\$500,001 - \$1,000,000	Spouse Earned Income-Sch I	NONE	
SP	Ranch Equipment-Oliver County, ND	\$500,001 - \$1,000,000	Spouse Earned Income-Sch I	NONE	
	State Bankshares, Inc stock	\$250,001 - \$500,000	DIVIDENDS	\$2,501 - \$5,000	
JT	Irrevocable Life Insurance Trust-Bell State Bank & Trust	\$15,001 - \$50,000	None	NONE	
	UBS Sep account-See attached statement	\$100,001 - \$250,000	None	NONE	ס
SP	UBS Sep account-See attached statement	\$250,001 - \$500,000	None	NONE	סר
	INREIT Properties LLLP-Minot, ND-Real Estate	\$1,000,001 - \$5,000,000	Partnership Income	\$50,001 - \$100,000	
	Marelius Apartments-Apt. Building-Horace, ND	\$50,001 - \$100,000	RENT	\$5,001 - \$15,000	
	Northern Plains Capital stock- Bismarck, ND	\$50,001 - \$100,000	None	NONE	
	Summit Partners, LLP-Apt. Building-Fargo, ND	\$15,001 - \$50,000	RENT	\$5,001 - \$15,000	

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name	Richard A. (Rick) Berg		Page 6 of 19
	Reanaissance Ventures, LLC- Fargo, ND-Business Investments	None	LLC Income	NONE	Ø
	Braaten Apartments, LLP-Apt. Building-West Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	SG Five, LLP-Sign-Fargo, ND	\$15,001 - \$50,000	RENT	\$2,501 - \$5,000	
	Jimberg Partners, LLP-Comm. Building-Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Jimberg Partners, LLP-Net Value Real Estate Mortgages	\$1,000,001 - \$5,000,000	Partnership Income	\$100,001 - \$1,000,000	
	Westwind Properties, LLP-Apt. Bldg. #1-West Fargo, ND	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
	Westwind Properties, LLP-Apt. Bldg. #2-Fargo, ND	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
	Westwind Properties, LLP-Apt. Bldg. #3-Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Westwind Properties, LLP-Apt. Bldg. #4-Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Westwind Properties, LLP-Apt. Bldg. #5-Fargo, ND	\$50,001 - \$100,000	RENT	\$15,001 - \$50,000	
	Westwind Properties, LLP- Apt. Bldg. #6-Fargo, ND	\$50,001 - \$100,000	RENT	\$5,001 - \$15,000	
	Westwind Properties II, LLP- Apt. Bldg. #1-Moorhead, MN	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Westwind Properties II, LLP- Apt. Bldg. #2-Moorhead, MN	None	RENT	\$15,001 - \$50,000	S
	Westwind Properties II, LLP- Apt. Bldg. #3-Moorhead, MN	None	RENT	\$15,001 - \$50,000	S

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Kenwood Rosewood Apartments, LLC-Apt. Bldg. #1- Des Moines, IA	Wells Fargo Bank account	ND Natural Beef, LLC-Beef Processor-Fargo, ND (Dissolved in 2012)	TAG, LLC-Comm. Bldg. #2- Fargo, ND	TAG, LLC-Comm. Bldg. #1- Fargo, ND	GF Corporation-Comm. Bldg. #2-Fargo, ND	GF Corporation-Comm. Bldg. #1-Fargo, ND	Red Gate Apartments, LLP- Apt. Bldg. #2-West Fargo, ND	Red Gate Apartments, LLP- Apt. Bldg. #1-West Fargo, ND	High Point Partners, LLP-Apt. BldgFargo, ND	Farm House Partners, LLP-Apt. BldgFargo, ND	Fay Land Partners, LLP-Land- Fargo, ND	Wheelberg Partners, LLP- Airplane-Fargo, ND	SCHEDULE III - ASSETS AND "UNEARNED" INCOME
\$250,001 - \$500,000	\$100,001 - \$250,000	None	\$500,001 - \$1,000,000	\$250,001 - \$500,000	\$250,001 - \$500,000	\$250,001 - \$500,000	\$100,001 - \$250,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$100,001 - \$250,000	OME Name Richard A. (Rick) Berg
RENT	INTEREST	LLC Income	RENT	RENT	RENT	RENT	RENT	RENT	RENT	RENT	Partnership Income	Partnership Income	A. (Rick) Berg
\$100,001 - \$1,000,000	NONE	NONE	\$15,001 - \$50,000	\$15,001 - \$50,000	\$5,001 - \$15,000	\$50,001 - \$100,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$15,001 - \$50,000	\$50,001 - \$100,000	NONE	
													Page 7 of 19

SCHED	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name	Richard A. (Rick) Berg		Page 8 of 19
	Kenwood Rosewood Apartments, LLC-Apt. Bldg. # 2- Des Moines, IA	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
	1520 Partners, LLP-Comm. BldgWest Fargo, ND	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
	Ag Foundation Building, LLP- Comm. BldgBismarck, ND	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
	Case Plaza, LLC-Comm. Bldg Fargo, ND	\$1,000,001 - \$5,000,000	RENT	\$100,001 - \$1,000,000	,
	Northwestern Mutual Life-Life Insurance Cash Value-Whole Life	\$1,001 - \$15,000	None	NONE	
	Midland Insurance-Life Insurance Cash Value-Universal Life	\$15,001 - \$50,000	None	NONE	
	Waddell & Reed-Section 529 Plan-InvestEd Balanced Portfolio A	\$1,001 - \$15,000	None	NONE	
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #1- Anthon, IA	\$50,001 - \$100,000	RENT	\$5,001 - \$15,000	
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #2- Bismarck, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #3- Blairstown, IA	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #4- Britton, SD	\$15,001 - \$50,000	RENT	\$2,501 - \$5,000	
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #5- Casselton, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name	Richard A. (Rick) Berg		Page 9 of 19
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #6- Des Moines, IA	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #7- Elbow Lake, MN	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #8- Fergus Falls, MN	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. # 9- Graceville, MN	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #10- Grand Forks, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #11- Grundy Center, IA	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #12- Highmore, SD	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #13- Huron, SD	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #14- Kulm, ND	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #15- LaMoure, ND	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #16- Lidgerwood, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	

Old Abe Capital LLP/Old Abe Two Capital-Comm.Bldg. #27- Sioux Falls, SD \$100,001	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #26- Sioux City, IA \$50,001 - \$100,000	Old Abe Capital LLP/Old Abe \$250,001 Two Capital-Comm. Bldg. #25- \$500,000 Roseau, MN	Old Abe Capital LLP/Old Abe \$100,001 Two Capital-Comm. Bldg. #24- \$250,000 Richland, SD	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #23- Pipestone, MN \$100,000	Old Abe Capital LLP/Old Abe \$500,001 - Two Capital-Comm. Bldg. #22- \$1,000,000 Moorhead, MN	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #21- Miller, SD \$250,000	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #20- Marshall, MN	Old Abe Capital LLP/Old Abe \$250,001 Two Capital-Comm. Bldg. # 19- Mandan, ND	Old Abe Capital LLP/Old Abe \$250,001 Two Capital-Comm. Bldg. #18- \$500,000 Lisbon, ND	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #17- Lincoln, NE \$250,000	SCHEDULE III - ASSETS AND "UNEARNED" INCOME Name
00 RENT	00 RENT	01 - RENT	01 - RENT	00 RENT	01 - RENT	01 - RENT	RENT	01 - RENT	01 - RENT	01 - RENT 00	me Richard A. (Rick) Berg
\$15,001 - \$50,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$5,001 ~ \$15,000	\$100,001 - \$1,000,000	\$5,001 - \$15,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$15,001 - \$50,000	
							w				Page 10 of 19

SCHEDULE III - ASSETS AND "UNEARNED" INCOME	
Name Richard A. (Rick) Berg	

Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #38- Aberdeen, SD \$1,000,001 - RENT \$100,001 - \$1,000,000 \$1,000,000	Old Abe Capital LLP/Old Abe \$1,000,001 - RENT \$100,001 - Two Capital-Comm. Bldg. #37- \$5,000,000 \$1,000,000 \$1,000,000	Old Abe Capital LLP/Old Abe \$100,001 - RENT \$5,001 - \$15,000 Two Capital-Comm. Bldg. #36- \$250,000 lowa Falls, IA	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #35- \$100,000 \$50,001 - RENT \$5,001 - \$15,000 Oskaloosa, IA	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #34- Centerpoint, IA \$250,001 - \$500,000 RENT \$15,001 - \$50,000	Old Abe Capital LLP/Old Abe	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #32- Crookston, MN \$250,001 - RENT \$15,001 - \$50,000 \$500,000	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #31- \$250,000 \$250,000 \$5,001 - \$15,000 \$5,001 - \$15,000	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #30- Winger, MN \$250,000 RENT \$5,001 - \$15,000 \$250,000	Old Abe Capital LLP/Old Abe \$100,001 - RENT \$15,001 - \$50,000	Old Abe Capital LLP/Old Abe \$250,001 - RENT \$15,001 - \$50,000 Two Capital-Comm. Bldg. #28- \$500,000 Wahpeton, ND	SCHEDULE III - ASSETS AND "UNEARNED" INCOME Name Richard A. (Rick) Berg
					00						Page 11 of 19

SCHEDULE III - ASSETS AND "UNEARNED" INCOME Name Richard A. (Rick) Berg	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. # 39- \$500,000 RENT \$15,001 - \$50,000 Aberdeen, SD	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #40- Dickinson, ND None RENT \$5,001 - \$15,000	Old Abe Capital LLP/Old Abe	Old Abe Capital LLP/Old Abe	Old Abe Capital LLP/Old Abe \$500,001 - RENT \$50,001 - \$100,000 Shakopee, MN	Old Abe Capital LLP/Old Abe \$100,001 - RENT \$15,001 - \$50,000 Two Capital-Comm. Bldg. #44- \$250,000 Williston, ND	Old Abe Capital LLP/Old Abe \$50,001 - RENT \$201 - \$1,000 Bowdle, SD	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #46- Ft Collins, CO \$100,001 - RENT \$5,001 - \$15,000 \$250,000	Old Abe Capital LLP/Old Abe \$100,001 - RENT \$5,001 - \$15,000 Imperial, NE	Old Abe Capital LLP/Old Abe \$100,001 - RENT \$15,001 - \$50,000 LaCrosse, WI	Old Abe Capital LLP/Old Abe \$100,001 - RENT \$2,501 - \$5,000 Two Capital-Comm. Bldg.#49- \$250,000 McCook, NE
Page 12 of 19	00	S	8	000	000	00	ס	o U	0 -	00 P	ס

SCHEDUI	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Richard A. (Rick) Berg	A. (Rick) Berg		Page 13 of 19
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #50- Missoula, MT	\$250,001 - \$500,000	RENT	\$1,001 - \$2,500	<u>ס</u>
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #51- Fargo, ND	None	RENT	\$15,001 - \$50,000	PS
	Skyview Partners, LLP-Apt. Bldgs. #1 & #2-Fargo, ND	\$250,001 - \$500,000	RENT	\$50,001 - \$100,000	
	Skyview Partners, LLP-Apt. Bldgs. #3 & #4-Fargo, ND	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
	Skyview Partners, LLP-Apt. Bldgs. #5 & #6-Fargo, ND	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
	Amber Holdings, LLP-Comm. Bldg. #1-Fargo, ND	\$1,000,001 - \$5,000,000	RENT	\$100,001 - \$1,000,000	
	Amber Holdings, LLP-Comm. Bldg. #2-Fargo, ND	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
	JJR Properties, LLP-Apt. Bldg Fargo, ND	\$1,000,001 - \$5,000,000	RENT	\$100,001 - \$1,000,000	
	714 Partners, LLP-Airplane- Fargo, ND	\$50,001 - \$100,000	Partnership Income	NONE	
	TFRE, LLC-Real Estate-Grand Forks, ND	\$15,001 - \$50,000	LLC Income	NONE	
	Toasted Frog West, LLC- Restaurant-Grand Forks, ND	\$1,001 - \$15,000	LLC Income	\$1 - \$200	
	Big Bend Development, LLP- Twin Homes-Washburn, ND	\$50,001 - \$100,000	Partnership Income	\$15,001 - \$50,000	
	Bauer Private Equity Fund III	\$1,001 - \$15,000	LLC Income	NONE	
	Bauer Private Equity Fund IV	\$1,001 - \$15,000	LLC Income	NONE	

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Richard A. (Rick) Berg	\. (Rick) Berg		Page 14 of 19
	Loan to Camping Adventures, LLP-Fargo, ND	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
	Loan to Lori Ibach-Fargo, ND	\$50,001 - \$100,000	INTEREST	\$5,001 - \$15,000	
	Loan to Ag Foundation Building, LLP-Bismarck, ND	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	3451 Partners, LLP-Comm. BldgFargo, ND	\$250,001 - \$500,000	RENT	NONE	ס

SCHEDULE IV - TRANSACTIONS

Name Richard A. (Rick) Berg

Page 15 of 19

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
!	UBS Sep-See Attached Statement	P	A/N	See Statement	See Statement
SP	UBS Sep-See Attached Statement	Ū	N/A	See Statement	See Statement
	Renaissance Ventures, LLC	S	No	12-31-12	\$1,001 - \$15,000
	Westwind Properties II, LLP-Apt. Bldg. #2, Moorhead, MN	S	Yes	12-28-12	\$100,001 - \$250,000
	Westwind Properties II, LLP-Apt. Bldg. #3, Moorhead, MN	S	Yes	12-28-12	\$100,001 - \$250,000
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg.#20-Marshall, MN	S	Yes	05-01-12	\$100,001 - \$250,000
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #40-Dickinson, ND	S	Yes	03-30-12	\$250,001 - \$500,000
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg.#45-Bowdle, SD	ס	N/A	12-01-12	\$50,001 - \$100,000
	Old Abe Capital LLP/Old Abe Two Capital-Comm.Bldg. #46-Ft. Collins, CO	Р	N/A	07-19-12	\$100,001 - \$250,000
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #47-Imperial, NE	ס	N/A	12-01-12	\$100,001 - \$250,000
	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #48-LaCrosse, WI	ס	N/A	01-26-12	\$100,001 - \$250,000

SCHEDULE IV - TRANSACTIONS

Name Richard A. (Rick) Berg

Page 16 of 19

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

12-01-12	N/A	ס	3451 Partners, LLP-Comm. BldgFargo, ND	
10-01-12	Yes	Ø	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #51-Fargo, ND	
04-01-12 \$250,001 - \$500,000	N/A	ס	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #51-Fargo, ND	
12-01-12 \$250,001 - \$500,000	N/A	ס	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #50-Missoula, MT	
09-01-12 \$100,001 - \$250,000	N/A	ס	Old Abe Capital LLP/Old Abe Two Capital-Comm. Bldg. #49-McCook, NE	
Date	Capital Gain in Excess of \$2007	Type of Transaction	Asset	SP, DC,

SCHEDULE V - LIABILITIES

Name Richard A. (Rick) Berg

Page 17 of 19

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

carus) on	calus) only it the balance at the close of the preceding calendar year exceeded \$10,000.	o'o' & panaaaxa IBS		
SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
SP	Wells Fargo Equipment Finance	June 2009	Farm Equipment Loan	\$10,001 - \$15,000
	Starion Financial	February 2009	Business Line of Credit	\$250,001 - \$500,000
	Ramsey National Bank	February 2005	Business and Real Estate Loans	\$500,001 - \$1,000,000
-	Bremer Bank	June 2007	Business Loan	\$15,001 - \$50,000
SP	Wells Fargo Bank	August 2010	Farm Line of Credit	\$15,001 - \$50,000

SCHEDULE VIII - POSITIONS

Name Richard A. (Rick) Berg

Page 18 of 19

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	See Attached Listing-Partnerships
Member in Limited Liability Company	See Attached Listing-LLCs
Director	GF Corporation-Fargo, ND

FOOTNOTES Number Schedule V Section / Schedule are not included pursuant to specific reporting instructions-page 26 investment property held in a partnership, LLC or S Corporation Liabilities of business entities and mortgages/loans on rental or Name Richard A. (Rick) Berg Footnote the following item This note refers to Page 19 of 19

4	ω	2
Schedule III	Schedule III	Schedule III
Amount of income is not required to be reported, since it is spouse's earned income from a cattle ranch-see Schedule I	Amount of income is not required to be reported, since it is spouse's earned income frrm a cattle ranch-see Schedule I	Asset values from partnerships, LLCs and S Corporations reflect the filer's percentage of ownership interest.
Ranch Equipment- Oliver County, ND	Cattle-Oliver County, ND	

Richard A. Berg Schedule VIII - Positions Attachment

Camping Adventures, LLP Old Abe Two Capital 3451 Partners, LLP

Cow Share LLP

Big Bend Development, LLP

Member in LLCs (Limited Liability Companies)

Intelligent InSites, LLC
Mtractive, LLC
Dacotah Cattle Growers, LLC
Renaissance Ventures, LLC
TAG, LLC
Case Plaza LLC
TFRE, LLC

Toasted Frog West LLC

Bauer Private Equity Fund IV Bauer Private Equity Fund III 714 Partners LLP JJR Properties LLP Amber Holdings LLP Skyview Partners LLP Old Abe Capital, LLP Ag Foundation Building LLP 1220 Partners LLP Kenwood-Rosewood Apartments LLP Red Gate Apartments LLP High Point Partners LLP Farm House Partners Fay Land Partners, LLP Wheelberg Partners LLP Westwind Properties II, LLP Westwind Properties LLP Jimberg Partners LLP SG Five LLP Braaten Apartments, LLP Summitt Partners LLP Marelius Apartments INREIT Properties, LLLP Goldmark Schlossman VC Partnership, LLP Partner in Partnerships



UBS FINANCIAI Services Inc. \$1 Broadway Fargo ND \$8102-4933

December 2012

UBS Strategic Advisor

Retirement Account

AR220049(3)967 1212 1125 8D 0



Your Financial Advisor.

account 211094770. RMA Resourcetine at 800-RMA-1000, Call your Financial Advisor or the Questions about your statement?

Account type: Simplified Employee Pension

Account number:

Account name: RICHARD A BERG

Visit our website:

www.ubs.com/financialservices

Your investment objectives:

statement at the end of this document. can find a full description of the Financial Advisor or Branch Manager, You change them, please contact your objectives, disagree with them, or wish to investment objectives for this account of You have identified the following important information about your alternative investment objectives in you have questions about these

Your return objective:

Your risk profile: Capital appreciation

Phraary - Appressive Secondary - None selected

Value of your account

\$219,839.02	\$214,944,15	Value of your account
0.00	0.00	Your liabilities
219,839.02	214,944.15 219,839.02	Your assets 214,944.15 215,839.02
on December 31 (1)	on November 30 (\$)	

Your account instructions

- L805 Hinaricual Services Int., 15 your
- Your account cost basis default closing method is FIFO, First in, First Out

Change in the value of your account

	December 2012 (\$)	Year to date (\$)
Opening account value	\$214,944.15	\$196,022.67
Withdrawals and fees, including investments transferred out	0.00	-2,807.96
Dividend and interest income	5,041.65	7,208.80
Change in market value	-146.78	19,415.51
Closing account value	\$219,839,02	\$219,839.02

To:

To:



UBS Strategic Advisor December 2012

Account type: Account number: Account name:

RICHARD A BERG Simplified Employee Pension



Cash activity summary

See the section. Account activity this month for detains, titls Bauk USA deposit account balances are included in the opposity of charing behances value, are insured by the FOIC within applicable limits, but are found in the opposity of the comparant information about your statement at the end of this document for grant and by Section 1997. See impuritant information about your statement at the end of this document for grants about those balances.

\$23,178.93 \$ \$5,041.65 \$5,041.65 \$5,041.65 \$5,041.65 \$5,041.65 \$5,041.65 \$5,041.65	\$23,181.84	\$23,181.84	Closing balances
\$23,178.93 \$23,178.93 5,041.65 \$5,041.65 \$5,041.65 0.00 ents -5,038.74 -55,038.74	-\$2,776.23	\$2.91	Net cash flow
\$23,178.93 \$: \$23,178.93 \$: \$,041.65 \$5,041.65 \$5,041.65 6nts and 0.00	-\$9,985.03	-55,038.74	Total subtractions
\$23,178.93 \$3 \$23,178.93 \$3 5,041.65 \$5,041.65	-7,177.07	-5,038.74	Funds withdrawn for investments bought
\$23,178.93 \$23,178.93 5,041.65 \$5,041.65	-2,807.96	0.00	Professional management fees and related services
\$23,178.93 \$23,178.93 5,041.65 \$5,041.65			Subtractions
\$23,178.93 \$23,041.65	\$7,208.80	\$5,041.65	Total additions
 	7,208.80	5,041.65	Dividend and interest income
			Additions
	\$25,958.07	\$23,178.93	Opening balances
	Year to date (\$)	December 2017 (5)	The state of the s

Dividend and interest income earned

For purposes of this statement, brightly of intenest and dividend income has been determined from a LB bar reporting perspective. Based upon the residence of the account louder, account lying, or product type, as the intended perspective. Based upon the residence of the account louder, account lying, or product type, or the applicable as reporting forms they make type type, or the product type, or the applicable as reporting forms accurately casely forms with the louder type, and the forms accurately casely or product type, and the product type, or product type individual tax preparer

37,208.80	\$5,041.65	(ota) dividend & interest
155.06	0.00	Prior year adjustment
\$7,053.74	\$5,041.65	Total current year
7,053.74	5,041.65	Dividends
(4) eign () (49)	Cataline core	

Summary of gains and losses

Values repurted below exclude products for which gains and lesses are not canalised

\$18,250.05	\$0.00	\$0.00	Total
18,092.03	ì	000	Long term
0.90 168.02	0.90	Short term 9.00	Short term
gains and losse (3)	scember 2012 (\$) Year to date (\$) gains and losser (\$)	December 2012 (\$)	Village Bright and Market State Co.
(Janealizeri	Realized gain; and lostes	Realized gains and losses	

Retirement services information

2012 Year-End valuation statement - Form 5498

furnished to the Internal Revenue Service. 2013. This 2012 year-end value of your IRA for the updated value, if applicable) will be Statement, we will automatically position you with an updated year-endividuation in January products, unpriced Private investments or if you own Outside assets that do not appear on this Retain this statement as your year end valuation. If your Asset portfolio includes insurance

December 31 value

\$219,839.02

Cost Statis is the total purchase cost of the security, including relinested denderiots. The cost basis may need to be adjusted for return of capital beyintents in order to determine the adjusted cost basis for its has repositing



December 2012 **UBS Strategic Advisor**

Account type: Account number: Account name:

RICHARD A BERG Simplified Employee Pension



Your assets

Some prices, income and curent values shown may be approximate. As a result, gains and losses may not be accurately referred, See Important information about jour stripment at the end of this document for

Cash Cash and money balances Holding UBS LIQUID ASSETS FUND Equities	Onemny belance on Der 1 (5) 23,178.93	Closing balance on Dec 21 (1) 23.181.84	Pice por share on Dec 31 (3)
DBS LIQUID ASSETS FUND	ì	23.161.84	10
Equities Mutual funds			
This homessed is the total of all helimested dividends, it does not archide any cast dividends it is not a tax for for the purposes of determining hording periods or cost biss. The shares you receive each time you request rhodereds become a separate tax fol.	ds, it does not archide any pash divi or cost basis. The shains you receiv	idensis ar is not a tax e each time you	Unresident (tax) generally be you may need to be

Average rate 0.15%

Dividend/nases, period Nov 26 to Der, 23

Days in

(renextined raw) gain or loss is the difference between the current value and the cust bask and would generably be your tabelle gain or loss if the escupity was sold on this date. The innestited (tak) gain or loss may need to be adjusted for return of canital payments in order to determine the realized gain or loss for law reporting purposes.

Investment return is, the current value manus the anxious you meested, it shees not include shares that are not reflected on your statement, including shares that have been instituted as within a gain or a licis. It also does not include cash dividents that were not removated.

riculding	Number of shares	Purchase price/ Average price per share (5)	Client investment (\$)	(\$) suad	Price per share	Sylle on	Unrealized (lax)	ealized stax) investment. Houding the foreign (5) tempolises.	X E
ALLIANZ NEI DOVIDEND					and the second s				:
VALUE FUND CLASS A									
Symbol PNEAX									
Trade date: Dec 30, C5	1,674,129	14,230	25.82286	23,822.86	12,640	21,160.99	-2.661.87		-
Total reinvested	656 809	12 384		8,134.47	12.640	8,302.06	167.59		
6At \$727 Current yield: 2,47%									
Security total	2,330.938	13.710	23,822.86	31,957.33		29,463.05	-2,494.28 5,640.19	5,640.19	
AMERICAN FUNOS									
SMALL CAP WORLD F-1									
Symbal: SCWFX									
Trade date: Aug 21, 03	351.339	22,770	8,000.00	8,000.00	39.570	13,900,48	5,302.48		2
Trade date: May 24, 04	97.269	26,729	2,600 00	2,600.00	39,570	F6.848.E	1,248.93		נד
Trade date: Nov 9, 04	137.979	28,939	4,000 01	4,000.01	39,570	5,459.83	1,459.82		ធ
								continued next page	page

Your Financial Advisor



UBS Strategic Advisor December 2012

Account name: Account type: Account number:

RICHARD A BERG Simplified Employee Pension

Your Financial Advisor:

Your assets . Equities . Mutual funds (continued)



UBS Strategic Advisor December 2012

Account name:
Account type:
Account number:

RICHARD A BERG Simplified Employee Pension

Your Financial Advisor:

December 2012

Your assets , Equities , Mutual funds (continued)

estimated annual income: \$2,113	Total	le ci	Holding
	215.456	97.62-1	Number of shares
	215.456 11.984	11 083	Purchase price Average price per share (\$)
\$95,422.87 \$152,691.32	1,500 00		Purchase price: Number Average price: (Lient of shares parshare (3) investment (3)
\$152,691.32	2,582.06	1,082.06	Cost basis (5)
		1,082.06 11.9%	Cost Price per share hasis (5) on The 31 (\$)
\$169,339.01	2,574.69	1,166.60	(5) 15 250 20 31 (5)
0.01 \$16,647.68	.7 37	84 54	Unrealized (tex)
0.01 \$16,547.68 \$73,915.14	1,074 69	.60 84 54	investment Hoding

Fixed income

Mutual funds

Ford festive sted is the total of all reinvested devidends it does not include any cash dividents. It is not a faultot for the surposms of determining holding periods or root basis. The shares you receive each time you reinvest dividence become a separate tax but

Cost basis is the total prunhage cost of the security, including reinvisited dividends. The cost basis may named to be objected for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrestined that gain or fost is the difference between the current relies and the cost base and would generally be your tarable gain or loss if the society-way wild on the date. The unrealized (has) gain or loss may need to be adjusted for return of capital payments in societ to deformine the relativel gain or loss for ray reporting purposes.
nd would x) gain or loss may loss for tax

eventiment return is the current while names the process invented. It stees not include phases that are not reflected on your statement, including shares that have been realized as either a gain or a kips. It was note most include cash dividents that were not reinvested.

Security total	Trade date: Nov 21, 02 Trade date: Mar 18, 03 Total revovested EAL \$1,903 Current yield: 6,60%	OF BOSTON CLASS A Symbol: EVBX	EATON VANCE INCOME SUND
4,553,029	1.113.173 892.857 2.546.999		Number of shares
5.645	5.389 5.600 5.773		Purchase price/ Number Average price/ of draws, per share (1) investment
11,000.00	6,000,00 5,000,00		Digit (3)
25,705,80	6,000.00 5,000.00 14,705.80		Cast basis (\$)
	6.000 6.000		Price pur share on Dec 31 (§)
27,318,17	6,579,04 5,357,14 15,281,99		98 31 (5) 00 31 (5)
1,617,37	679 04 357,14 576,19		Unrealized (tax) Dairt or loss (\$)
78 818,31	in the second se		Value on Unrealized (tag) investment Helding Oct 31 (5) 2 (in or loss (5) return (5) period



UBS Strategic Advisor December 2012

Account name:
Account type:
Account number:

RICHARD A BERG Simplified Employee Pension

Your Financial Advisor.

Your assets (continued)

	Fixed Income Mutual funds	1	Cash and money balances		Your total assets
	27,318.17	169,339.01	Cash and money balances 23,181.84	Yake on Dec 31 (\$)	
	ì		23,181.84 10.54%	your account	
	2	152,691.32	23,181,84	(a) speq	
\$201.578.96 \$3.916.00 \$18.260.94	1,803.00 1,612.3			ennus) acome (1)	
\$18,260.05	1,612.37	2,113.00 15,647.58		्द्रीः इष्टा स्व जाहरू विकास स्वतान	

Account activity this month

To more information, about the prival/value allowin for restricted socialities, see important information about your statement, as the end of this document.

	17.71				GAIN AS OF 12/04/12	St Cap Gam	DR. 7
	9,37		0.661		ROYCE MICRO-CAF FUND ST CAP GAINS REMWESTED AT 14, 17 NAV ON 12/06/12 AS OF 12/06/12	Reinvestment	Dec 7
	80 14		0.603		ROYCE MICRO-CAP FUND LT CAP GAINS REINVESTED AT 14.17 NAV ON 12/06/12 A5 OF 12/06/12	Reinvestrient	Dec 7
	-109 53		9 591		ROYCE OPPORTUNITY FUND LT CAF GAINS REINVESTED AT 11.42 MAY ON 12/06/12 AS OF 12/06/12	Reinvestment	! R
	-26.80		2347		ROYCE OPPORTABITY FUND STICAP GAINS REINVESTED AT 11.42 MAY ON 12/06/12 AS OF 12/06/12	Relavestalent	Dec)
	109 53				ROYCE OPPORTUNITY FUND LONG TERM CAPITAL GAIN AS OF 12/06/12	Lt Cap Gem	DAX 7
	26.80				ROYCE OPPORTUNITY FUND SHORT TERM CAPITAL GAIN AS OF 12/106/12	sir Cap Gain	(F)
	-135.56		22.783		EATON VALICE INCOME FUND OF BOSTON CLASS A DIVIDEND REINVESTED AT 5.95 NAV ON 11/50/12 AS OF 11/30/12	Reinvestment	Dec 3
	135.56		The street with the same of the street of the same of the street of the same of the street of the same	مارية والمارية والمراجعة و	AS OF 1/133/17	Dividend	Dec 3
\$23,178.93					Cash and money balance		Nov 30
is) a suspend for some	#SINCANTE	Price/Value (\$)	Face value	expense code	Description	Activity	U=*



UBS Strategic Advisor

Account name: Account type: Account number:

RICHARO A BERG Simplified Employee Pension



U)
December 2012	

Account activity this month (continued)

Frice/value (3) 1,142 15 -28 34 -1,133.51 -1,133.51 -28 18 1,520.07 -231.80 -263.00 -263.00 -176.49 -176.49 -176.49 -176.49 -182.79		581.41		AMERICAN FUNDS SMALLCAP WORLD F-1			De.
Martinsky Description De	23,191	2.79		WID ASSETS FUND AS OF 12/24/12		1	9
Activity Description Processing Description Desc	23,179	182.79		ENFEDIVIDEND VALUE FUND CLASS A 3D REINVESTED AT 12 B1 NAV ON 12/20/12 2/20/12			8
Arthory Description Desc		182.79		Z NF) DIVIDEND VALUE FUND CLASS A AS OF	!		Oec.
Activity Description Provide	,	-176,49	5 145	AN FUNDS GROWTH FUND OF AMER F-1 ID REINVESTED AT 34 30 NAY ON 12/19/12			Dec
Activity Description		176,49	The same of the same same same same same same same sam	AN FUNDS GROWTH FUND OF AMER F-1			Dec.
Activity Disciption Provide Provide District	23,179,	-263,00	12.142	NGLE OVERSEAS FUND CLASS A LT CAP VEHIVESTED AT 21.66 NAV ON 12/13/12 AS 4/12			X
Artivity Description Prove Power Pow	i	263 00		IGHE OVERSEAS FUND CLASS A LONG TERM GAIN AS OF 12/10/12		ļ) D
Activity Description Provide Provided Provide	24,179	.1,520.07		EINVESTED AT 21.66 NAV ON 12/13/12			2
Activity Description Des		i		IGHE OVERSEAS FUND CLASS A D'VIDEND IED AT 21.66 NAV ON 12/13/12		, 1 1	D.
Activity Description Procession Disability Procession Disability Description Description Disability Description Disability Description Disability Description Descriptio			10.702	EINVESTED AT 21 66 NAV ON 12/13/12		:	Dec.
Activity Description Proceeds Overtity Open Code (Code of Code		1,520,07		GAIN CLASS A LONG TERM			, O
Activity Description Proceeding Description Proceeding Description Descr		585 18		(OLE OVERSEAS FUND CLASS A			0.0
### Activity Description Price Pri	į	231.80		IGHE OVERSEAS FUND CLASS A SHORT TERM			8
Activity Description Process Description Process Description Process Description Process Description Description Description Process Description Process Description	23,179	.12		UID ASSETS PLIND AS DE 12/11/12		i !	De.
Activity Description Price of Page value Price of Price o				MICRO-CAP FUND IT CAP GAMS TED AT 14.17 NAV ON 12/06/12 AS OF P			2
Artivity Description Cash Operation		-28 34		NICRO-CAP FUND ST CAP GAINS TED AT 14.17 NAV ON 1206/12 AS OF ?			8
Activity Description expense code Face value Price/value (1) arount (1)		1,142 15		MICRO-CAP FUND LONG TERM CAPITAL OF 12:06/12			\ \f\{\gamma}
	arite)	(x) luncane	Quantity/ Face value Frice-Velue (\$)		· · · · · · · · · · · · · · · · · · ·	Árta	A RJ

Page 11 of 12

continued next page



UBS Strategic Advisor

Account name:
Account type:
Account number:

RICHARD A BERG Simplified Employee Pension



ζ	Ĵ
December 2012	Con prioredic waston

	\$23,181.84		Assets Fund	Closing UBS Liquid Assets Fund	Dec 31		
	2.79	4/12	UBS LIQUID ASSETS FUND AS OF 12/24/12	Bought	Dec 26		
	0.12	1/12	UBS LIQUID ASSETS FUND AS OF 12/11/12	Bought	08.5		
	\$23,178.93		The second secon	Balance forward	Nov 30	Money balance activities	Money ba
	Amount (5)		Description	ACTIVILY	Date		
-55,038.74				ransactions	Funds used for investment transactions		
\$23,181.84				Bince	Closing cash and money balance		Dec 31
23.181.64	-28.97		2 000	AP GAINS 12/28/12 AS OF	ROYCE MICRO-CAF FUND ST CAP GAINS REINVESTED AT 14 48 NAV DM 12/28/12 AS OF 12/28/12	Reinvestment	Dec 31
	37.03			DRT TERM CAPITAL	ROYCE MICRO-CAP FUND SHORT TERM CAPITAL GAIN AS OF 12/28/12	St Cap Gain	¥ 32
	7.32			DF 12/28/12	RUYCE MICRO-CAP FUND AS OF 12/28/12	Dividend	DX 31
	·5:95		0519	AP GAINS 12/28/12 AS OF	ROYCE MICRO-CAP FUND ST CAP GAINS REINVESTED AT 14.48 NAV ON 12/28/12 AS OF 12/28/12	Reinvestment	D 2 3 3
	7 32	minut provide confirmation of the control between the con-	0.506	12/28/12	ROYCE MICRO-CAP FUND DIVIDEND HEINVESTED AT 14.48 NAV ON 12/28/17 AS OF 12/28/17	Reinvestment	DRC 31
23,181 84	-581,41		14,900	WORLD F-1 DIVIDEND	AMERICAN FUNDS SMALLCAP WORLD F-1 DIVIDEND REINVESTED AT 39.02 HAV ON 12/26/12	Reinvestment	Dec 27
nioney balance (5)	arnound (\$)	FrowValue (\$)	Your Quantity expense code Face value	(ka	Description	Activity	940

Jouonna	Mated (solve)	Quantity	adyr	Symbol /	Description	ycqagA	Settle	Entry Date
ZE:Z\$	VIG	000.0	ī	PHEKANC-	ROYCE MICRO-CAP FUND AG OF 12/28/12	DIATOEND	स/स्ट्रहर	Z1/16/21
/6 8 / 15	DOS TZEVNIER	000.2	ı	ZYZMJZ WEKAWC:	Bence Wicko-CVB fond 21 CVB gring	REINVESTMENT	71/15/71	Z1/1E/Z1
95'8\$	REINVEST SCG	619'0	ī	864248	ROYCE MICRO-CAP FUND AS OF 12/28/12	BETHAEZIMENI	15/31/15	21/16/21
E.14-	ATCI 153ANTER	905'0	t	8EASIS	BOYCE MICRO-CAP PUND AS OF 12/28/12	REINVESTMENT	71/15/21	21/12/21
E6.7E2	908	000000	7	242M2D REEKHIC	CAPITAL GAIN AS OF 12/28/12 ROYCE MICRO-CAP FUND SHORT TERM	ST CAP GAIN	11/15/21	21/16/21
TV TAS\$	VIO	000.0	,	MFAMSO- SAURG3	AMERICAN FUNDS SMALLCAP WORLD F-1	DIAIDEND	71//2/21	Z1 <i>/L</i> Z/Z1
67.5 \$ 17.1928	DIVD INCOME	006.41	Į.	SAURG3	WERTCAN FUNDS SMALLCAP WORLD F-1	DIALIX-NO	21/27/21 15/53/25	21/22/21
		000.0	ļ <u>.</u>	MMLIRA-9194A1	VITTIVIS NET DIALDEND AVTOE LOND OBS FIGORID VERELZ LOND VS OF 13/24/15	DIAIDEAD		21/92/21
CZ7814- 521781\$	DIA DIA	69Z.F.1	r I	821045-DNIGHM	CLASS A AS OF 12/20/12 ALLIANZ NET DIVIDEND VALUE FUND	SEINVESTMENT REINVEND	73/37/15	Z1/17/21 [3/51/15
6b194T\$	VIG	000.3	τ	-dD/M-im	CLESS A SO OF 12/20/12 MERICAN FUNDS GROWTH FUND OF 1.4 STATE 1.7 STATE 1	OIVIDEND	71/02/27	71/07/75
6 7 9/15-	NET INVEST DIV	2 > 1.2	Ţ	2401729 Weakingd- 2401729	AMERICAN FUNDS GROWTH FUND OF AMERICAN FUNDS GROWTH FUND OF	REINVESTMENT	21/02/21	21/02/21
00.Eas2	ਗ਼ਾ	000.0	ļ:	SHWAE8 WEHZEO-	PIRST EAGLE OVERSEAS FUND CLASS A AS OF 12/14/12	LT CAP GAIN	21/61/51	71/21/7
00. 1.3 52-	ATH 153AMER	ZÞ1.21	1	SHINES WEHESO	FIRST EAGLE OVERSEAS FUND CLASS A AS	BETIANEZLIWEKL	21/21/21	21/11/2
81.882\$	AIG .	000.0	ı	ZYWAE8 WEHZZO-	A SZALD CIND CLASS A	DIAIDEND	21/41/21	71/+1/17
\$1,520.07	อเา	000.0	ı	PANAEB WEHZEO:	FIRST EAGLE OVERSEAS PUND CLASS A	LT CAP GAIN	21/41/21	Z1/ 1 -1/Z
31 98 5 1	VIO TESTVIESS	E90.7S	ı	MFHSSQ.	FIRST EAGLE OVERSEAS FUND CLASS A	KEINAESIJHENT	21/41/21	21/01/2
41,520.07	VISI TZZVNIZR	621'02	ī	SHWYE8	FIRST EAGLE OVERSEAS FUND CLASS A	REIMVESTMENT	12/14/15	Z1/ 5 1/2
09°75Z\$÷	REINVEST SCG	10.702	1	24WAE8 WEHEZO	FIRST EAGLE OVERSEAS FUND CLASS A	BEIMAEZIMENI.	12/14/15	Z1/#1/7
08.1.652	935	000.0	1	ZHINAEB WLHZZO:	FIRST EAGLE OVERSEAS FUND CLASS A	ST CAP GAIN	71/41/21	21/11/2
\$0.12	DIVD INCOME	000.3	1	IAPETE ASILIMM	SI/LITYING ASSETTS FUND AS OF 12/11/12	ST CAP GAIN	21/21/21	Z1/Z1/Z
SI'ZÞI'I\$	רופ	000.0	ı	SEARSES MI-BANC	CAPITAL GALVA COF 12/06/12 ROYCE MICRO-CAP FUND LONG TERM	LT CAP GAIN	12/07/12	21/20/2
£9:601 \$	רופ	000.0	ī	WHATE-SACACS	KOACE OBJORINILA EUNO 21 CAP KOACE OBJORINILA EUNO 71 COM KOACE OBJORINILA EUNO 71 COM	LT CAP GAIN	Z1/£9/ Z1	21/20/2
08 97\$	SOS LSBANTER	Z#E"Z	1	WEIKLE PACANCS	BOACE ONDORUMNIA EMID FL CV6 CVINS BEINAESTED VS OF 12/06/12	RETHVESTMENT	Z1/20/21	Z1/40/7
ES'601\$-	ADI ISBANIBI	185.6	ļ'	MERKII-RACAKSI	GAINS REINVESTED AS OF 12/06/12 ROYCE MICRO-CAP FIND 2T CAP GAINS	SEINVESTANIER	71/20/71	21/20/2
13.551,12-	MEINVEST RIV	000.09	 	WEBANC: 242M32	REHNYESTED AS OF 12/06/12 REHNYESTED AS OF 12/06/12	BEHNESIKEN:	21/20/21	21/20/2
\$5.85 \$6.87\$	REINVEST SCG	2,000	11	SEMSYS	RETINAES LED VE OF 12/06/12	BEINAEZINENI KEINAEZINENI	21/29/21 21/29/21	21/20/2
£5.5\$-	MEINAEST SOG	£ 09 '0	1	SEASVS	SOLCE MICHO-CVB LIND V2 OF 12/06/13	REINARGIANEMI REINARGIANEMI	21/03/21	Z1/20/Z Z1/20/Z
14.7E#	50s	9,000];	MERKING	ROYCE MICRO-CAP FUND SHORT TERM	21 CAP GAIN	21/10/21	21/20/2
08.97.5	exis	900'0	<u>t</u>	WESKIT-PACHCS 242M32	ROACE OSHOSTANTIA RAND SHORT TERM CAPITAL GARD AS OF 12/06/12	NING AND 12	12/67/12	27/20/1
95'521\$	Vta	000.0	1	WLEATH- 243H23	CAPITAL GAIN AS OF 12/30/12 CAPITAL GAIN AS OF 12/30/12	OVERDINO	21/E0/21	21/E0/
99 98 1 \$	REINVEST DIV	£87.55	ı	WLEATH-243H23	CLASS A AS OF 11/30/12 EATON VANCE INCOME FUND OF BOSTON	REDNYESTMENT	12/03/15	ZT/E9/
81.E \$	DIAD INCOME	000.0	ī	IANETE-ARLIMIA	Nes ciónto vesela enno ve de 11/15/15	DIAIDEND	73/22/17	21/27/
16,311	via	000:0	ī	MFEVIE-S43H53	NOTZOR PO GUND OF BOSTON CLIEFIGE PO DA A SZAD	DIAIDEND	21/19/11	71/19/
65'S71 5	VIO TESTVILISA	178'57	1	ESHEPS-HASHM	NOTZOB 20 CHUCHNE FUND OF BOSTON CLASA DA SOLITAN	KEJNAGZIMENI	21/10/11	71/15
89'2\$	OVD INCOME	(0011 0)	<u></u>	IANEIR-ASIJMM	URS LIQUID ASSETS FUND AS OF 16/25/12	DIAIDEND	71/92/01	71/92/15

Hibered by: Entry Date 01/01/2012-12/31/2012, All Securities Transactions, All Cash Transactions, Money Market Excluded, Exclude Debit Card

ting Financial Services Inc.

Generated On: 04/18/2013

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JE10047 - Client Inquiry - Activity for

JE10047 - Client Inquity - Activity for

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MuoniA	Price/Detail	Grauck	344	Security#	Description	YCEIAILY	altis2 sted	Date
E:E6/\$	Fee Charged	1	1		EVILOR AVAICE INCOME HAND OF BOSTON	FEE CHARGED	21/42/01	Z1/57/
5.121 2	VIQ Taweria	200.0	ī	MEASTE ENSHES	CLASS A SO 09/28/12 CLASS A AS OF 09/28/12	DIAIDEND	21/10/01	21/10/
5.121\$ 5.121\$	REMARKS ON	73.904	ļ,	MFEVIF-543H53	CT/92/60 ±0 5V V SSVTD	REINVESTMENT	Z1/10/01	21/19/
6.5 2 8.521 2	DIAD INCOME	000.0	1		UBS LIQUID ASSETS FUND AS OF 09/24/12 ALLIANZ NET DIVIDEND VALUE FUND	CHRITATO	21/52/60	71/32/
8 7 51\$- D:CCré	REINVEST DIV	038.11	,	BYLOAZ-DNIGAM BYLOAZ-DNIGAM	ALTIANZ NEJ DIVIDEND VALUE FUND CLASS A SOF 09/20/32	DIVIDEND	21/12/60	21/12
E,2P1 \$	VIQ	000.0	I.	MLEATE 243H23	CASS A AS OF 09/20/12 CASS A AS OF 09/20/12	DIAIDEND	71/10/60	21/60
E'SÞ1\$-	KEINNEST DIV	614,45	<u> </u>	WEENIE 243H23	CLASS A AS OF 08/31/12 EATON VANCE INCOME FUND OF BOSTON CLASS & AS OF 08/31/12	REINVESTMENT	21/10/60	71/60
\$5.6	DIAD INCOME	000.0	1	IAPETE-ASTIMM	CLASS A 25 OF 08/31/12 CLASS A 25 OF 08/31/12	DIAIDEND	21/92/80	71/87
9. PP.E	AIG DIA	000.0	1	WHEATH-243H23	EATON VANCE INCOME FUND OF BOSTON CLASS A AS OF 07/31/12	OIAIDEND	Z1/10/90	71/15
9'bp1\$-	RETUVEST DIV	989.4≤	ĩ	MFEVIF-543HS3	CLASS A AS OF 07/31/12 CLASS A AS OF 07/31/12	KETHAEZI WEHI.	Z1/10/80	21/15
E.S.	DIAD INCOME	000.0	Ī	IAPETE ARTIMM	UBS: LIQUID ASSETTS FUND AS OF 07/25/12	DIAIDENO	21/92/20	21/9
5'44 1\$ C'2664	Fee Charged	000.0	ī	EZHER-3N3HM	QUARTERLY FEE EATON VANCE INCOME FUND OF BOSTON	DIAIDEND	21/52/15	21/2
S birlis	VIO TERVIES	54,922		MEEVIF SABHSS	COVER AS OF D6/29/12	SEINVESTMENT	21/20//0	21/2
<u>/*7\$</u>	DIVD INCOME	000.0	<u> </u>	IAPERS-SSIMM	URS LIQUID ASSETS FUND AS OF 06/25/12	DIAIDEND	Z1/9Z/90	Z1/93
8.20S\$	ATO	000.0	<u> </u>	BYLONG-SACUTOR	ALLIANZ NEJ DIVIDENO VALUE FUND	OLAIDEND	71/77/90	21/2
B 502\$-	VIO TZEVNIER	258.71	ī	87(OP2 DNI93M	CTYZZ Y YZ OŁ OE\ST\TS CTYZZ Y YZ OŁ OE\ST\TS CTYZZ Y YZ OŁ OE\ST\TS	REINVESTMENT	21/22/90	Z1/Z3
P.BPI.2	AIG	000.0	t	KFEVTF-S43HS3	CIVES Y VE OF 05/31/12 ENTON VANCE INCOME FUND OF BOSTON	DIALDEND	Z1/1D/90	21/3
8715	REINVEST DIV	25.823	1	WEEVIF-543H53	CLASS A AS OF 05/31/12 CLASS A AS OF 05/31/12	REINVESTMENT	ZT/TO/90	1/15
1.5 <u>\$</u>	DIAD INCOME	000.0	ī	IAPRIE-ASIJMM	VRS LIQUID ASSETS FUND AS OF 05/24/12	DIAIDEND	Z1/5Z/S0	21/5
5'6 +1\$	ALO	000.0	1	HLEASE-PASH23	CIVES A AS OF 04/30/12 EATON VANCE INCOME FUND OF BOSTON	DIAIDEND	Z1/10/S0	21/1
6 6 p i \$-	REINVEST DIV	8Z9'5Z	ī	WEENIE-243H23	CLASS A AS OF UN(30/12) EATON VANCE INCOME FUND OF BOSTON	REINVESTIMENT	Z1/10/50	£1/1
817 2 -	DIVD INCOME	000.0	ī	IAPECE-ASTLIMM	UBS LIQUID ASSETS FUND AS OF ON/24/12	DIAZIDEND	04/53/15	21/S
0' 6 5!\$	AIG	000.0	1	WEEVIE-543H53	CLASS & AS OF 03/30/12 EATON VANCE INCOME FUND OF BOSTON	DIAIDEND	Z1/Z0/V0	21.75
0151\$-	REINVEST DIV	119'92	ļ <u>. </u>	MFEV1F-543H53	CLASS A AS OF 03/30/12 CLASS A AS OF 03/30/12	REINVESTMENT	21/20/10	Z1/Z
+ Z\$	SMOONS GVED	000'0	Ī	IANELE-AGLIMM	CITATA NO SA CINUT STEREA CILUOLI 280	DIATOEND	Z1/£Z/E0	71/15
(8.53.8)	VIG	0000	h	840045-ONIdaw	CIVER V VE OF 03/22/12 ALLIANZ NF DEVIDEND VALUE FUND	DIAIDEND	Z1/EZ/E0	7.1/E
8.F218	VIO TZEVVEST DIV	6 / }'71	1	82COPS-ONDAIN	EVLON ARNOE INCOME EIND DE BOZION CI PRE VE DE DE CEISS\15 VITIENES MED DIAIDEND ARTIE EIND	KEINAEZIWEKI.	Z1/EZ/EQ	Z1/E
e ercs	VIO	000.0	1	MEEVIE-SACHS3	ENTON WAYCE INCOME FUND OF BOSTON EATON WAYCE INCOME FUND OF BOSTON	ONECTATIO	21/10/E0	21/1
E.EPIZ-	VIO TZZVNIJA	59**+2	,	CEHERE EIVER	CIVES V V2 OI. 03/38/13	REMATSHACAT	21/10/E0	71/7
Z Z\$	BHOOME GAIG	0.000	1	TANGTO ANI JAM	DES LIQUID ASSETS FUND AS OF 02/23/12	DIAMOLAND	Z1/3-Z/Z0	21/4
2.52812	Aig	0000	<u> </u>	ESHERS-JIAJAH	CLASS AS OF 01/31/12 CLASS AS OF 01/31/12	ON3CIAIO	03/07/13	71/1
×2.521\$	ASO ISBANITU	286.3%		WLEATE 243H23	CL/15/10 FO 2A A 22AU)	TNEMTZEVNIER	21/19/20	21/1
6'25% 12'2\$	DIAD INCOME	000.0	1-	TARETE ASTUMM	ONWITERLY FEE UND ASSETS FUND AS OF 01/25/12	DIVIDEND DIVIDEND	21/32/10 21/32/13	71/s
96'2\$	DIND INCOME	0000	1	Harira gigari		GNAGIAIG	21/00/10	21/5
)] \$1\$	∧īŒ	0.000	ī	MFEVIF-S43H53	EATON VANCE INCOME FUND OF BOSTON	DIAIDEND	Z1/E0/10	21/6
	VIO TEENVIER	37.72	ļī.	MENTE PHENS	NOTZOB TO GRADIE FUND OF BOSTON (11/30/11)	REINVESTMENT	71/00/10	3/15

http://cwv2proxy.cwlh.pwj.com:8080/CICE/activity?formflag=Y&printAll=1&totRecCou... 4/18/2013

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JE10047 - Client Inquiry - Activity for

et isuduri	Pades may not indu	ұзиаде этгози	The House of I	14 to the accoun	tua) Fund trades, and some clash and seturit simates, and may not match what is charge cled following raise confirmation from the fu eas are not included mitteday.	one sabent Vielog ig Sign are sabert briuff in	sady pur does	CONDUISSION CONTIN
InnotaA	Price/Detail	Quantity	adkı	Symbol /	noidpt78e4G	Yhivith	stad stad	Yuluğ asad

This report is for informational purposes only are may not undude all holdings or chent accounts. All information presented is such account as and its entitle fram's periodic account statements and official has documents are the only obtain record of chent accounts send of the later than a periodic account statements, replaced, or amended by any of the information presented in these reports. Chents should not rely on this information or amended by any of the information presented in these reports. Chents should not rely on this information or amended by any of the information presents. The tax purposes or otherwise.

UBS Financial Services Inc. 51 Broadway Fargo ND 58102-4933

APZZ004901165 1212 X123 80 0

December 2012

Retirement Account



Your Financial Advisor:

Visit our website:

www.cbs.com/financialsurvices

Account type: Simplified Employee Pension

Account number:

Account name: TRACY I MARTIN

Financial Advisor or Branch Manager, You investment objectives for this account if Your investment objectives: You have identified the following important information about your alternative investment objectives in you have questions about these statement at the end of this document can find a full description of the thange them, please consact your objectives, disagree with them, or wish to

Your return objective:

Current income & capital appreciation Your risk profile:

Secondary - None selected Primary - Conservative

Your PACE return objective: Your PACE investment objectives:

Your PACE risk profile: inconversand capital appreciation

Moderately aggressive

Value of your account

\$303,015,35	sunt \$297,903.96	Value of your account
0 00	000	Your liabilities
303,015.35	our assets 297,903.96	Your assets
on Pecember 31 (\$)	on November 30 (\$)	

- Your account instructions

 U85 financial Services Inc. is your custodian.
- Your account cost basis default closing mathod is FiFO, First In, First Out

Change in the value of your account

	December 2012 (\$)	Year to date (1)
Opening account value	\$297,903.96	\$271,341.65
Withdrawais and fees, including investments transferred out	0.00	-3,825.89
Dividend and interest income	4,477.17	7,056.33
Change in market value	634.22	28,433.26
Closing account value	\$303,015.35	\$303,015.35

Fax: UBS

To:



December 2012 Retirement Account

Account type: Account number: Account name:

> Simplified Employee Pension TRACY I MARTIN



Cash activity summary

see the section. Account actempt the meanth for details, Libb Bank USA deposit account balances are included in the coming and closing balances value, are insured by the TDC within applicable limits, but are find properted by SRC. See important information amount your parameter at the end of this document for district about those balances.

\$0,00	\$0.00	Closing balances
-\$10,892.22	-\$4,477.17	Total subtractions
7,066.33	-4,477.17	Funds withdrawn for investments bought
-3,825 89	0.00	Professional management fees and related services
		Subtractions
\$10,892.22	\$4,477.17	Total additions
3,825.89	0.00	Proceeds from investment transactions
7,066.33	4,477.17	Dividend and interest income
		Additions
\$0.00	\$0.00	Opening balances
Year to date (\$)	December 2012 (\$)	and a second

Dividend and interest income earned

For purposer of this statement, tavability of interest and dividend income Stat teen determined from to US, tax reporting perspective. Based upon the resistence of the accusal holder, excount type, or produce type some interest another dividend payment may not be subject to United States (13), and/or hearth Records, income cases. The shent from his statement is not arisented to be used and counted be relied upon for lax purposes. Clients should refer to the applicable tax reporting forms they receive from 105 and as the forms 1009 and the forms 480, for an exporting efformation. It is the predicte of 1085 to file to applicable tax reporting before any of the state of the applicable tax reporting forms with the 15 interest forms of severe and PR Treatury Department, and in such forms accurately casely dividends and/or instituted as seeings or travelse income. Please consult your notificial tax prepare. ndividual tax preparer

7,066.32 \$7,066.32 0.01 \$7,066.33	4,477.17 \$4,477.17 0.00 \$4,477.17	Dividends Total current year Prior year adjustment Total dividend & interest
Year to date (1)	December 2012 (\$)	THE REPORT OF THE PARTY OF THE

Summary of gains and losses

Values reported below exclude products for which dans and losses are two classified.

\$35,371,30	\$0.00		Total
35,327.64	0.00	0.00	Long term
0.00 43,66	000	0.00	Short term
gains and losses (5)	Year to date (\$)	December 2012 (\$) Year to date (\$) gains and losses (\$)	
Ün ⊷ iizer	nd losses	Realized gains and losses	

Retirement services information

2012 Year-End valuation statement - Form 5498

2013. This 2012 year-end value of your IRA for the updated value, if applicable) will be statement, we will automatically provide you with an updated year end valuation in January products, unpriced Private investments or if you own Outside assets that do not appear on this Retain this statement as your year end valuation. If your Assot portfolio includes insurance

December 31 value furnished to the Internal Revenue Service.

\$303,015.35

CLASS 2

SECURITIES INVESTMENTS

PACE INTERNATIONAL EMERGING MARKETS EQUITY

Security total

1,619,730

5.033

7,500.00

8,151 70

10,317.66

2 165 98

2,817 68

1,506,024 113 706

5 731 4.980

7,500.00

7,500,00

651.70

5.370 6.370

9,593,37 724.31

2,093 37 72.61

_

EAT: \$452 Current yield: 4.38%

Total reinvested Trade date: Snp 26, 11

INVESTMENTS CLASS P



December 2012 Retirement Account

Account type:
Account number:

TRACY I MARTIN
Simplified Employee Pension



Your PACE assets

Sorra purces, usaime end current values shown may be approximate. As a result, gains and insies may not be accurately retismed. Gains and insies for zero-coupon investments are not shown. See important information about your statement at the end of this document for more information.

that were not reinvested Investment faction is the current value manus the amount you invested it does not include any cash dividends

Total respected is the total of all represted dividency. It does not include any cash dividency, it is not a tax but for the purposes of revenanting hedding periods of cost basis. The shares your receive nech time you retined dividently feedom in reparational by

Cash alternatives

Cost basis is the todat purchase cost of the security, including removasted dividends. The cost basis has may need to be adjusted for return of capital payments in only it determine like adjusted right basis for tax reporting purposes.

Unsealized gain or loss is the difference between the current valua and this sout basis. The unrealized gain or loss may need to be adjusted for return of capital payments in order in determine the realized gain or violation tax reporting purposes.

No.	Equities	Security total	Total rei	Trade di	PACE MON HAVESTMEN CLASS P	Haiding
Purchage tarket Number Average price Client Cost Price per share Number per share (3) investment (3) hass (3) on Dec 31 (4) Note that the share of the share (4) investment (4) hass (5) on Dec 31 (4)	Šės	Colad	Total reinvested	Trade date: Sep 26, 11	PACE MONEY MARKET HAVESTMENTS (LIND	Halding
Number of shares		770,960	0.040	770 920		Number of shares
Purchase sirked Average price per share (5)		1,000	1.009	1,000		Number Average price Cher of shares per share (3) investment (1)
Client investment (\$)		770.92		770.97		Client investment (\$)
Cost		770.96	0.04	770.92		0356 (S)
•			1.000	1,300		Price por share on Dec 31 ((\$)
Value on Dec 31 (5)		770 96	0.04	770 92		Value (2)
Value on University (fact) Investment Holding Dec 31 (5) gain or loss (5) resum (5), jurisd						Onealized (tax)
investment rejuri (5)		0,04				(\$) tumped beneficial
ported posted				r;		Loiding Penga

continued next page



Account name: Account type: Account number:

TRACY I MARTIN Simplified Employee Pension



Retirement Account December 2012

Your PACE assets . Equities (continued)

ribrand Trade date: Sep 26, 11 Total reinvested EAI \$98 Current yield: 0.74% Security total PACE INTERNATIONAL EQUITY INVESTMENTS CLASS F Trade date: Sep 26, 11 Total reinvested EAI \$853 Current yield: 2 41% Security total PACE LARGE CO GROWTH EQUITY INVESTMENTS CLASS P Trade date: Sep 26, 11 Total reinvested EAI \$190 Current yield: 0.54%	2,616.057 1,715.793 1,715.793	11.020 11.020 11.023 11.038 10.949 11.503 10.981 10.981	10,416.66 19,416.66 28,645.82 28,645.82 29,322.90	28,645.82 1,792.21 29,322.90 29,322.90 264.89	13.580 13.580 13.580 12.750 12.750 20.350 20.350	33,354.72 1,986.46 389.92 33,354.72 1,986.46 35,341.18 34,916.39 276.35	9an or (ss. 3) 2,479,83 56,17 2,476,90 4,708,90 194,25 4,913,15 1,593,49	2,809.75 6 695.35
Total reinvested Eat: \$190 Current yield: 0.54% Security total PACE LARGE COLUMN 119	1,729,373	17.109	29,322.90	29,587.79 264.89	20.350	34,916.39 276.36 35.192.74	5,604.95	78`69 8 5
PACE LANGE CO VALLE EQUITY INVESTMENTS CLASS F Trade date: Sep 26, 11 Total reinvested EAt. \$774 Current yield: 1.54%	2,648.304 85.823	14.749 16.584	39,062.48	39,062.48 1,449.10	18.340 18.340	48 559.89 1,573.99	9,507.41 124.89	
FACE SMALL / MEDRING CO GROWTH EQUITY INVESTMENTS CLASS P Trade date: Sep 26, 11 Total reinvested	707.654	14,719	10,416.66	10,416.66	17 980	12,723.61	2,306.95	**************************************
Total reinvested	719.765	17 979	10 416 66	210.45	17,980	12,934.07	2,306,96	2.517.41



Retirement Account

Account name: Account type: Account number:

TRACY I MARTIN Simplified Employee Pension



December 2012

Total estimated	PACE portfolio total	Security Lotal	EAI \$118 Current yield, 0,68%	Total reinvested	Tracte date: Sep 26, 11	INVESTMENTS CLASS P	VALUE EQUITY	PACE SMALL / MEDIUM CO	Holding	Your PACE a
Total estimated annual income: \$2,485)tal		yield. 0,68%		=	455		DUM CO		Your PACE assets , Equities (continued)
		925,416		5.515	94.8.901				Highton of Stiants	inued)
		14,201		18.578	14,170				Average price per share (1)	
	\$138,385.35	13,020.83			13,020.83			;	Client investment (S)	

13,141.87 \$143,208.49

17,259.00 **\$174,414.96**

\$31,206,47

4,117.13

4,238,17

13,020.83

18,650

17,137.50 121.50

4,116.67 0.45

ς

basis (\$)

Price per share on three 31 (4)

Lec 31 ch

Unrealized (lau) gain or for (%)

heestoen ladding

Total removated 1.684.42 11.		Security total 1,748.001 9.624 14,973.95 16,822.57	Total reinvested 1.848.62 10.083 1.848.62 10.64 \$1, 199 Current yield: 6.52%	Trade date: Scp 26, 11 1,564.676 9 570 14,973.95 (4,973.95 ft).	PACE HIGH YIELD PAVESTMENTS CLASS P	Security total 1,417.224 13.421 17,968.74 19,020.27	EAI: \$492 Current yield 2.65%	Total reinvested 79.269 13.765 1,051.53 13.	Trade date: Sep 26, 11 1,337,955 13,430 17,968,74 17,968,74 13	SECURITYES FORED INCOME INVESTMENTS CLASS P
20,033.32		14,973.95		14,973.95		17,968.74			17,968,74	
11.500 1,707.51		18,388.97	10.520 1,928.58	10.520 16,460 39		18,593.97		13,120 1,040 01	13 120 17,553 96	
23.09	0000	1,566 40	79 96	1,486.44		0€'92P-		11.52	-414 78	
Abret part partugues	11	5,415 02		(7		625.23			17	



Retirement Account

Account name:

TRACY I MARTIN



Your PACE assets . Fixed income (continued)

4		
Cecentoer 2012	>	
Account number:	Account type:	
	Simplified Employee Pension	

PACE portfolio total

Security total

1,570.959

14.837

\$75,156.20 21,380.19

\$81,669.38 23,308.80

23,564,38 **\$82,104,3**0

5434,92

\$6,948,10

2,184,19

255.58

Total estimated annual income: \$3,102

EAt: \$774 Current yield: 3 28%

Trade date: Sep 26, 11

1,440,714

14,835 14,807

21,380.19

21,380.19

15,000 15,000

21,610.71 1,953.67

230 52 25.06

~

1,928.61

136.245

fold reinvested

CLASS P

PACE STRATEGIC FIXED INCOME INVESTMENTS

Security total

Holding EAI: \$637 Current yield: 2.95%

Number Average price of shares per share (\$)

Client (3)

basic (3)

on the 31 (S)

Oec 31 (\$)

gaw or (cos (s)

return (\$) perad

,874,520

12.013

20 833 32

22,517.74

21,556.48

37.039

773.66

8.999 41,666,64 41,666,64 9.740 45,395.20 5,728.96 117 9.700 328.58 9.740 329.93 1.35 8.545 41,666.64 41,995.22 45,725.13 3,729.91 4,058.49 8.545 64 41,995.22 45,725.13 3,729.91 4,058.49 Value on Dec.31 (8) Percentage of Con Estimated Unresulted Value on Dec.31 (8) Provention to String the Control of Contro	45	A Prince
41,666,64 41,666,64 9,740 45,395,20 3,728,96 328,58 9,740 329,93 1,35 41,666,64 41,995,22 45,725,13 3,729,91 41,666,64 41,995,22 45,725,13 3,729,91 Value on Dec 31 (\$) Percentage of Con Estimated basis (\$) annual income (\$) 770,96 0,25% 770,96 174,414,96 57,56% 143,208,49 2,485,00 82,104,30 27,10% 81,669,38 3,102,00	9	
41,666,64 41,666,64 9,740 45,395 20 5,728,56 328,58 9740 329 93 1,35 41,666,64 41,995,22 45,725 13 3,729 91 4,058 41,666,64 41,995,22 45,725 13 3,729 91 4,058 Value on Dec 31 (\$) Percentage of basis (\$) annual income (\$) your account basis (\$) annual income (\$) 170,96 0,25% 170,96 174,414,96 57,56% 143,208,49 2,485,00	20	Fixed income PACE
41,666,64 41,666,64 9,740 45,395.20 5,728,56 328,58 9.740 329.93 1,35 41,666,64 41,995.22 45,725.13 3,729.91 Value on Dec.31 (\$) Percentage of your account basis (\$) annual income (\$) 770.96 0.25% 770.96		PACE
41,666.64 41,666.64 9,740 45,395.20 5,728.56 328.58 9.740 329.93 1,35 41,666.64 41,995.22 45,725.13 3,729.91 Value on Dec.31 (§) Percentage of Contactoring (§) Percentage of Service (§) Annual income (§)		Cash alternatives PACE
41,666.64 41,666.64 9.740 45,395.20 5,728.96 328.58 9.740 329.93 1,35 1,35 41,666.64 41,995.22 45,725.13 3,729.91 4,058.49	Value of D	
41,666.64 41,666.64 9.740 45,395.20 5,728.96 328.58 9.740 329.93 1,35 1,35 41,666.64 41,995.22 45,725.13 3,729.91 4,058.49		Your total assets
41,666,64 41,666,64 9.740 45,395.20 5,728.56 328.58 9.740 929.93 1,35		Security total
41,666,64 41,666,64 9.740 45,395,20 5,728,96 328,58 9.740 329,93 1,35		£Al: \$333 Current yield: 0.73%
41,666.64 41,666.64 9.740 45,395.20 5,728.96	33.874 9 700	Total reinvested
		Trade date: Sep 26, 11
		PACE ALTERNATIVE STRATEGIES INVESTMENTS CLASS P
thatis tyrice Claim: Cost Price per share Value on Universitied that Investment Holding ar share (f) investment (f) basis (f) on Det 21 (f) Det 31 (f) gain or less (f) return (f) parcel	Number Furchaus price investigation of shares per share (f) investigation	Holding



Retirement Account December 2012

Account name:
Account type:
Account number:

TRACY J MARTIN
Simplified Employee Persion



Account activity this month

for more into mattern about the price/value shown for restricted securities, see important information about your statement, at the evid of this chocument,

Dane	Nov 30	Dec 20	Dec 20	Dec 20	Cec 20	Dec 20	Der 20	Dec 20	Dec 20	Dec 20	Dec 20	Dec 20	
Activity		Dividend	Repvestrent	Dividend	Reinvestment	Dwidend	Reimestment	Dividend	Reinvistment	Et Cap Gain	St Cap Gain	fleinvestmert	Reinvestment
Description	Cash and money balance	PACE INTERNATIONAL EMERGING MARKETS EQUITY INVESTMENTS CLASS P AS OF 12/18/12	PACE INTERNATIONAL EMERGING MAINEIS EQUITY INVESTMENTS CLASS P DIVIDEND REINVESTED AT 13.40 NAV. ON 12/18/12 AS OF 12/18/12	PACE INTERNATIONAL EQUITY INVESTMENTS CLASS PAS OF 12/18/12	PACE INTERNATIONAL FOURTY INVESTMENTS CLASS P INVIDEND REINVESTED AT 12.64 HAV ON 12/18/12 AS OF 12/18/12	PACE LARGE CO GROWTH EQUITY INVESTMENTS CLASS P AS OF 12/18/12	PACE LARGE CO GROWTH EQUITY HIVESTMENTS CLASS P DIVIDEND REMIVESTED AT 20.58 NAV ON 12/18/12 AS OF 12/18/12	PACE UAPCIE CO VAILUE FQUITY INVESTMENTS CLASS P AS DF 12/18/12	PACE LARGE CO VALUE EQUITY INVESTMENTS CLASS P DIVIDEND REINVESTED AT 18.54 NAV ON 12/18/12 AS OF 12/18/12	PACE SMALL / MEDIUM CO GROWTH EQUITY HIVESTMENTS CLASS P LONG TERM CAPITAL GAIN AS OF 12/18/12	PACE SMALL I MEDIUM CO GROWTH EQUITY INVESTMENTS CLASS P SHORT TERM CAPITAL GAIN AS OF 12/18/12	PACE SMALL / MEDIUM CO GROWTH EQUITY INVESTMENTS CLASS P.11 CAP GAIN'S REINVESTED AT 17.98 NAV ON 12/18/12 AS OF 12/18/12	
Your expense rade	The state of the s	A PARTIE OF THE				9 ************************************							
Quamity/ Face value		A series of the	7.286		55,894	and the Miller of the second s	9,186		41,150			5.7\5	5 000
Procession (5)		A THE PARTY OF THE	en ji Maye Mahadilaya ka nabiralaya ka nabiralaya ka nabiralaya ka nabiralaya ka nabiralaya ka nabiralaya ka n			van i ai namen um meriet de le urbe un labé des émes de joue un labé							
Cash arrount (%)		97 63	-97.63	832 90	-832.90	129.05	-189.05	762.92	762,92	102 75	107.70	-102.75	-107.70
(f) and whom	\$0.00												

continued next page



Retirement Account December 2012

Account name: Account type: Account number:

TRACY J MARTIN
Simplified Employee Ponsion



	25, 70				PACE STRAFEGIC FIXED INCOME INVESTMENTS CLASS PLONG TERM CAPITAL GAIN AS OF 12/20/12	Lt Cap Gain	Dec 24
	.302.04	and the state of t	23 G3R	The fact that the contract of	PACE GOVERNMENT SECURTIES FIXED INCOME INVESTMENTS CLASS P ST CAP GAIN'S REINVESTED AT 13,11 NAY ON 12/20/12 AS OF 12/20/12	Remyestment	Dex 24
	-41.92		3,198		PACE GOVERNMENT SECURITIES FIXED INCOME INVESTMENTS CLASS PIT CAP GAINS REINVESTED AT 13.11 NAV ON 12/20/12 AS OF 12/20/12	Reinvestment	Dec 2.4
	-38 86.		2.965		PACE GOVERNMENT SECURITIES FIXED INCOME INVESTMENTS CLASS P DIVIDEND REINVESTED AT 13.11 NAV ON 12/20/12 AS OF 12/20/12	Reinvestment	Dec 24
	302 (24				PACE GOVERNMENT SECURITIES FIXED INCOME INVESTMENTS CLASS P SHORT TERM CAPTAL GAIN AS OF 1000012	St Cap Gain	Dec 24
	41 92				PACE GOVERNMENT SECURITIES FIXED INCOME INVESTMENTS CLASS PLONG TERM CAPITAL GAIN AS OF 12/20/12	ut Cap Gam	Dec 24
	38. 9 5				INVESTMENTS CLASS P AS OF 12/20/12	Dividend	Dec 24
	-154.29		13.312		PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS P DIVIDEND REINVESTED AT 11.59 NAV ON 12/20/12 AS DE 12/20/12	Reinvestment	Dec 1st
	54.79				PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS P AS OF 12/20/12	Dividend	Dec 24
	431 97		69 226		PACE GLOBAL REAL ESTATE SECURITIES INVESTMENTS CLASS P DIVIDEND REINVESTED AT 6.24 NAV ON 12/18/17 AS OF 12/18/17	Reinvertment	Dec 20
	431 97				PACE GLOBAL REAL ESTATE SECURITIES INVESTIMENTS CLASS P AS (X 12/18/12	Diwdend	Dec 20
	-328.52		33.8/4		PACE ALTERNATIVE STRATEGILS INVESTMENTS CLASS P DIVIDUAD REINVESTED AT 9.70 NAV ON 12/11/1/2 AS OF 12/18/12	Reinvestment	Des. 20
	328.58				PACE ALTERNATIVE STRATEGIES INVESTMENTS CLASS P AS OF 12/18/12	Dividend	Dec 20
	-118.01		6.314		PACE SMALL / MEDIUM CO VALUE EQUITY INVESTMENTS CLASS P DIVIDEND REINVESTED AT 18.69 NAV ON 12/18/12 AS OF 12/18/12	Reinvestment	Pec 20
	118.01				PACE SMALL / NEDIUM CO VALUE EQUITY INVESTMENTS CLASS P AS OF 12/18/12	Dividend	Dex 20
money balance (%)	Smount (\$)	Price/Value (\$)	Quantity Face value	expense code	Description	Activity	Date

Page 12 of 14

Ta:



Retirement Account December 2012

Account name: Account type: Account number:

TRACY I MARTIN Simplified Employee Pension



50.00					Closing cash and money balance		Dec 31
	204.03		19.413	The second secon	PACE HIGH YIELD INVESTMENTS CLASS PLT CAP CAINS REINVESTED AT 10.51 NAV ON 12/20/12 AS OF 12/20/12	Reinwatnent	Dec 24
	.99.h)		9.429		PACE HIGH YIRID INVESTMENTS CLASS P DIVIDEND REINVESTED AT 10'ST NAV ON 12/20/12 AS OF 12/20/12	Reurvestment	Dec 24
	-48.35	AND MATTER OF THE CASE OF THE	4.600	A COMMISSION OF THE PARTY AND	PACE HIGH YIELD INVESTMENTS CLASS P ST CAP GAINS REINVESTED AT 10.51 NAV ON 12/20/12 AS OF 12/20/12	Reinvestment	Dec 24
	204,03				PACE HIGH YIELD INVESTMENTS CLASS PLONG TERM CAPITAL GAIN AS OF 12/20/12	11 Cap Gain	Dec 24
	99 10				PACE HIGH YIELD INVESTMENTS CLASS P AS OF 12/20/12	Dividend	Dec 24
	48.35	£ 1 2 mm p is 1 is 1 in manual and 10 in m			PACE HIGH YIELD INVESTMENTS CLASS P SHORT TERM CAMITAL GAIN AS OF 12/28/12	St Cate Gate	Dec 24
	484 75	TOTAL TOTAL MANAGEMENT OF THE PROPERTY OF THE	32.447		PACE STRATEGIC FIXED INCOME INVESTMENTS CLASS P SY CAP GAINS REINVESTED AT 14 94 NAV ON 12/20/12 AS OF 12/20/12	Reinvestment	Dec 24
	105.62	des de la company de la compan	7.136	ely (allow a company — — — — — a - a - a - a - a - a - a - a	PACE STRATEGIC FIXED INCOME INVESTMENTS CLASS P DIVIDEND REINVESTED AT 14.94 MAY ON 12/20/12 AS OF 17/20/12	Reinvestment	Dec 24
	-25 70		1,720		PACE STRATEGIC FIXED INCOME INVESTMENTS CLASS PLT CAP GAINS REINVESTED AT 14 94 NAV ON 12/70/12 AS OF 12/20/12	Keinvestinent	UPC 24
	48475	And the second s			PACE STRATEGIC SIXED INCOME INVESTMENTS LLASS P SHORT TERM CAPITAL GAIN AS OF 12/20/12	St Cap Gam	Dec 24
	106 62				PACE STRATEGIC FIXED INCOME INVESTMENTS CLASS P AS OF 12/20/12	Dividend	Dec 24
Coch and collare (5)	armount (\$)	Prophalue (3)	Quantity/ Face value	sypense code	Description	Activity	Sale.

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PACE GLOBAL REAL ESTATE SECURITIES

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1975 1975	F.G22-	RETINAEST DIV	VSE.E	T	EXMOVE	INVESTMENTS AS OF 08/22/12	THEIMTESTANIENT	Z1/ >Z/2 0	\$1/ 5 Z/80
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17555 AG LSPANDER 2592 1	7.76\$	VIO TZEVNIES	₽61'5	Ţ	PINOVE	ZT/54/15 ±0	REINAESTMENT	21/92/20	Z1/9Z/L0
17555 AIG SEMINTEN 2752 1 CROWN OBUST STUDMENS DRAW STUDMENS DRA	(S'C#\$	REINVEST DIV	903.E	r	9/WOVE	INVESTMENT CLASS AS OF 07/24/12	KEINAEZIMENI	21/92/20	Z1/9Z//(
Michael Mich	9.054	REINVEST DIV	106.6	ı	EXMOVE	INVESTMENTS AS OF 07/24/12	REINVESTMENT	21/92/20	21/92/20
Michael P. Mic		····	1			IMCOME VE OF 07/24/12			21/56/15
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175525 175526 1	i\$ ₹ †\$ *	VIO T25VNI5A	508.€	1	MEPCGJ-	PACE INTERNATIONAL FIXED INCOME	REINVESTMENT	21/52/90	21/52/90
17555 1755	S8.584	REINVEST DIV	E71.P	1	MERCS]-	PACE STRATEGIC FIXED INCOME			21/52/90
MINCHE PRODESTINEMAL SECURITIES LINED STATES		REINAEST DIV	€20.€		MFPCGU-	PACE GOVERNMENT SECURITIES FIXED			Z1/5Z/9U
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	55-965-	VIC TZSVIEM	707.9	ī	MERCHC	PACE HIGH YIELD INVESTMENTS CLASS P AS	DETRIALEZAMENT		02/54/15
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75MWF /16/2/M 45 SP 346 74H			 	<u> </u>	-462947#	BNOE GOVERNMENT SECURITIES FIXED			71/97/K
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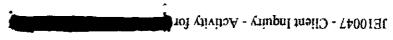
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JE10047 - Client Inquiry - Activity for

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Livi	VIC TRAVIER	Z91.P	<u> </u>	MERCE?	FACE STRATEGIC FIXED INCOME INVESTMENT CLASS AS OF 04/24/12	REINVESTIMENT	21/92/10	Z1/9Z/¥C
7695	NEINNEST DIV	E00.	1	EXMOVE	INVESTMENTS AS OF DAZZA/12	REINVESTMENT	21/92/40	74/26/12
0'SZ1\$ 0'101'1\$	bagistO seil briutali	000.0	T T	 	QUARTERLY FEE PALE REBATE	REFUND REFUND	21/62/40 21/62/40	71/53/15 14/53/15
5'946\$	000.13	066,272	1	NHOH!W	PACE MONEY MARKET INVESTMENTS FUND	G1105		4/53/15
C.722	AIG coo:rš	000'3	-	EdNOVE	Trade#:20850 BINCOME TRACE STRATEGIC FLYED INCOME	DIAIDEND	21/92/20	21/92/E
97.6\$	VIO	000.0	1	WEECHG-	BACE HIGH AJETD INVESTMENTS CLASS P AS INVESTMENTS AS OF CJ/22/12	DIATOGND	21/92/20	21/92/5
>	Ma	000.0	<u> </u>	MEECC)-	PACE INTERNATIONAL FIXED INCOME OF 03/22/12	DIVIDEND	21/92/50	3/56/15
0'1E\$	Wig	0.000	ļ	MFPCGU-	PACE GOVERNMENT SECURITIES FIXED INVESTMENT CLASS AS OF 03/22/12	CIVIDEND	21/92/20	71/92/15
O pri 6-	VEINVEST DIV	522.5	Ι	MFPCGU-	INCOME V2 OE 03/35/15	KEINAEZLMENT	03/56/15	7.56/15
b'65\$·	REINVEST DIV	156.5	[-	WFPC63-	INCOME AS OF USITALIZED INCOME NUCOME AS OF USITALIZED INCOME	BETWAESTMENT	21/97/20	/,56/15
57.53	REINVEST DIV	1E9.6	ı.	SCHOAS MEPCHQ-	DE DECEMBENT CLASS AS OF 03/22/12 INVESTMENT CLASS AS OF 03/22/12	THEMEST NEW T	Z1/9Z/E0	71/92/
Z ZS\$	REINVEST DIV	£96.E	1	SYNOVE WEPCSJ-	OF 03/22/12 DACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 03/22/12	NEINVESTMENT	03/56/15	21/92/
₹25\$	AIG	000.0	-	EXNOVE -ESCAJIN	INVESTMENTS AS OF 02/22/12 PAGE STRATEGIC FIXED INCOME	DIVIDEND	05/54/15	71/A-7/
E.£9 \$	VIG	000.0	<u> </u>	3VONT4 WELECHO-	OF 02/22/12	DIAIDEND	05\54\15	7.54/1.5
9.24\$	VIG	000.0	1	-ESCHIM	INVESTMENT CLASS AS OF 02/22/12 FOR CLASS AS OF 02/22/12	DIAIDEND	05\54\15	724/12
₹47 °0	AlG .	000.0	Į.	3VOMBS	INCOME AS OF 02/22/12 PACE GOVERNMENT SECURITIES FIXED	DIAIDEND	21/5-2/20	21/62/
0′1±\$-	VIO T25VNIESI	2,50.£	ļ <u>.</u>	3A0M92	PACE GOVERNMENT SECURITIES FIXED INCOME AS OF 03/22/12	REINVESTMENT	Z1/¥Z/Z0	71/17
6.2 h\$ -	REINVEST DIV	9 6 6€	1	9ZWDVC	PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF 02/22/12	REINAEZIMENI.	21/62/20	21/12
E E 55	VIO TZ3VNIER	19 2'6	ļī	SACING MEPCHQ.	OF 02/22/12 OF 02/22/12	REINVESTMENT	05\54\15	Z1/\$Z/
£779\$-	REINVEST DIV	909.€	I	·(SOd:#N	PACE STRATEGIC FIXED INCOME INVESTMENTS AS OF 02/22/12	REINVESTMENT	05\54\15	154/15
2.82\$	VIG	000.0	II.	ZWINK3 WE6C21 ZWINK3	INVESTMENTS AS OF 01/24/12 PACE STRATEGIC FIXED INCOME	DIAIDEND	21/92/10	76/15
±196\$	VIO	000.0	ļ-	-OHOGHM ALMOAS	OF 01/24/12	DIAIDEND	21/92/10	21/92/
7.8 + \$	Ma	000012	ļī ——	MFPGGJ-	PACE JUTERNATIONAL FIXED INCOME	DIAIDEND	01/56/12	Z1/9 Z /
18'55\$	Alo .	000.0	1	MPPCGU-	INVESTMENT GLASS AS OF 01/24/12 INVESTMENT GLASS AS OF 01/24/12	DIAIDEND	Z1/9Z/10	Z\$/9Z/
H 1+0\$	KEIMAEST DIV	EXE E	<u> </u>	WFPCGU-	INCOME V2 OF EITSAUS BYCE CONFEMMENT SECURITIES FIXED INCOME V2 OF 61/24/12	THEMTESTANIES	21/92/10	71/92/
Z 865	REJUNGEZI, DÎN	80E.P	1-	340M92 340M92	INCOME AS OF GLYZA/12 FACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS AS OF GLYZA/12	REDNYESTMENT	07/56/75	Z1/9Z/
b-06\$-	RETUREZL DIA	£21.8	1-	WFPCHQ.	OF 01/24/12 PAGE HIGH KIEFD INVESTMENTS CLASS P AS INVESTMENT LLASS AS OF 01/24/12	REINVESTMENT	21/52/15	Z1/97/
5.84\$	METINAEST DIV	ENO.P	-	NEWDAE (ZZ)47AM	PACE STRATEGIC PLXED INCOME	TAGINTZEVNEM	21/92/10	Z1/9Z/
5'1)Q'18-	Fee Charged	000.0	1	EXCHOUSE	OTIVISLEBILA LE E INVESTIVENTS AS OF 01/24/12	LEE CHANGED	61/24/12	राक्तर
£120.9	brithei	000.0	T		PACE MEBATE	REFUND	01/54/15	27/62/
10'168\$	000'7\$	010,148-	1	SANONE MEDONIA	FACE MONEY MARKET INVESTMENTS FUND THROUGH TOWNS	Onos	ZI/SZ/10	71/15
10'0\$	AIG .	000.0	1	EdNOVE	SA CINER TENENTE INVESTMENTS FUND AS OF DIVIBURE STUDY	ON3O1AIO	21/61/10	C1/61/
1019\$	VIO TZEVNIER	0.010	7	IOMBAE	CLASS P AS OF 01/18/12 PACE MONEY MARKET INVESTMENTS FOND	MEINVESTMENT	ZT/61/10	Z1/61/
0.02	Afa	000.0	1	3AGMP3	PACE MONEY MARKET INVESTMENTS FUND AS PLACE MONEY MARKET INVESTMENTS FUND AS	DIAZDENO	21/60/10	21/60
3.32 3.02	REINVEST DIV	0.0.0	jī.	SAGNOS	GYER BY CE IS/30/17 BY WOMEN WANTED INVESTMENTS FUND	KETIKNEZIWEKI	01/03/15	21/20

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