



Filing ID #10004758

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Carolyn McCarthy
Status: Former Member
State/District: NY04

FILING INFORMATION

Filing Type: Terminated Filer Report
Filing Year: 2015
Filing Date: 05/1/2015

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
529 College Savings Plan-Funds ⇒ NY's 529 College Savings-grandchild 1 Aggressive Age-Based Option-Moderate Growth Portfolio LOCATION: NY		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
529 College Savings Plan-Funds ⇒ NY's 529 College Savings-grandchild 1 Aggressive Growth Portfolio LOCATION: NY		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
529 College Savings Plan-Funds ⇒ NY's 529 College Savings-grandchild 1- Bond Market Index Portfolio LOCATION: NY		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
529 College Savings Plan-Funds ⇒ NY's 529 College Savings-grandchild 1- Conservative Growth Portfolio LOCATION: NY		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
529 College Savings Plan-Funds ⇒ NY's 529 College Savings-grandchild 1- Income Portfolio LOCATION: NY		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
529 College Savings Plan-Funds ⇒ NY's 529 College Savings-grandchild 1- Inflation Protected Securities Portfolio		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
LOCATION: NY					
529 College Savings Plan-Funds ⇒ NY's 529 College Savings-grandchild 1 Moderate Age-Based Option: Conservative Growth Portfolio		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
LOCATION: NY					
529 College Savings Plan-Funds ⇒ NY's 529 College Savings-grandchild 1-Developed Markets Index Portfolio		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
LOCATION: NY					
529 College Savings Plan-Funds ⇒ NY's 529 College Savings-grandchild 2- Aggressive Age-Based Option: Moderate Growth Portfolio		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
LOCATION: NY					
529 College Savings Plan-Funds ⇒ NY's 529 College Savings-grandchild 2- Aggressive Growth Portfolio		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
LOCATION: NY					
529 College Savings Plan-Funds ⇒ NY's 529 College Savings-grandchild 2- Bond Market Index Portfolio		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
LOCATION: NY					
529 College Savings Plan-Funds ⇒ NY's 529 College Savings-grandchild 2- Conservative Growth Portfolio		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
LOCATION: NY					
529 College Savings Plan-Funds ⇒ NY's 529 College Savings-grandchild 2- Developed Markets Index Portfolio		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
LOCATION: NY					
529 College Savings Plan-Funds ⇒ NY's 529 College Savings-grandchild 2- Income Portfolio		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
LOCATION: NY					
529 College Savings Plan-Funds ⇒ NY's 529 College Savings-grandchild 2- Inflation Protected Securities Portfolio		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: NY					
529 College Savings Plan-Funds ⇒ NY's 529 College Savings-grandchild 2- Moderate Age-Based Option: Conservative Growth Portfolio		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
LOCATION: NY					
529 College Savings Plan-Funds ⇒ NY's 529 College Savings-grandchild 2- Value Stock Index Portfolio		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
LOCATION: NY					
Aberdeen Asia-Pac PR Inc FD		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Allianz NFJ Divid Int		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Alps Sector Div Dogs		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
AT&T Inc. (T)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Bethpage Federal Credit Union		\$1,001 - \$15,000	None		<input type="checkbox"/>
BP p.l.c. (BP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
C.H. Robinson Worldwide, Inc. (CHRW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Coach, Inc. (COH)		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Cohen and Steers Infrastructure FD		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Congressional Federal Credit Union Accounts		\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Cons Staples Sel Sect SPDR		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Covidien plc. Ordinary Shares (COV)		None	Capital Gains	\$1,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
				\$2,500	
Deutsche Muni Income Com		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Eaton Vance Tax-Mngd Glbl		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Eaton Vance Tax-Mngd Glbl		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Exxon Mobil Corporation (XOM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
GlaxoSmithKline PLC (GSK)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Government Pptys Inc TR		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
House-11A Brushy Neck Lane		\$1,000,001 - \$5,000,000	Rent	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: Westhampton, NY, US					
IRA-Cash ⇒ Morgan Stanley Bank		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA-Funds ⇒ Blackrock Intl Grwth & Inc TR		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA-Funds ⇒ Eaton Vance Tax Mgd Div Equ FD		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA-Funds ⇒ Eaton Vance Tax-Mngd Global		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA-Funds ⇒ Energy Sel Sect SPDR FD		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA-Funds ⇒ Guggenheim S&P 500		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA-Funds ⇒ Ishares 10+ Year Credit Bond		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA-Funds ⇒ Ishares Iboxx \$ H/Y Corp Bnd		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA-Funds ⇒ Ishares Intl Select Div ETF		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA-Funds ⇒ Ishares S&P Grow Allocation		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA-Funds ⇒ Vanguard Dividend Apprec		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA-Funds ⇒ Vanguard Index Fds S&P 500		None	Tax-Deferred		<input checked="" type="checkbox"/>
IRA-Funds ⇒ Wisdomtree Tot Div		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA-Stocks ⇒ American Tower Corporation (AMT)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA-Stocks ⇒ Duke Energy Corporation (DUK)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA-Stocks ⇒ Ford Motor Company (F)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA-Stocks ⇒ Great Plains Energy Incorporated (GXP)		None	Tax-Deferred		<input checked="" type="checkbox"/>
IRA-Stocks ⇒ INVESCO MORTGAGE CAPITAL INC (IVR)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA-Stocks ⇒ JPMorgan Chase Capital XVI JP Morgan Alerian MLP ETN (AMJ)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA-Stocks ⇒ Procter & Gamble Company (PG)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA-Stocks ⇒ U.S. Bancorp (USB)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ishares China Large Cap ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Ishares Core US Aggregate		\$15,001 - \$50,000	Dividends	\$201 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
				\$1,000	
Ishares Europe ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Ishares MSCI Japan ETF		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Ishares N Amer Nat Res ETF		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ishares Nat AMT-FRE Mun BD ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Ishares S&P Mid-Cap 400 ETF		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Ishares S&P Smll-Cap 600 V ETF		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Ishares Tips Bond ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Ishares US Basic Materials ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Ishares US Technology ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JP Morgan Chase & Co. (JPM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
KeyCorp (KEY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
KKR & Co. L.P. Common Units Representing Limited Partnership Interest (KKR)		\$1,001 - \$15,000	Capital Gains, Dividends, Interest, 37	\$201 - \$1,000	<input checked="" type="checkbox"/>
Mallinckrodt plc Ordinary Shares (MNK)		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Market Vectors High Yield Muni		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Market Vectors High Yield Muni		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Monroe Cnty NY Pub Impt		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Bank		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
MTA Rev Ser-A		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
New York NY		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
New York NY City IDA Rev		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
New York State Dorm Auth		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
New York State TWY Auth		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Nuveen Eqty Premium Opportunity FD		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Pfizer, Inc. (PFE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Pioneer Muni Hi Incm Adv TR		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Powershares DB Comm Trk		\$1,001 - \$15,000	None		<input type="checkbox"/>
Powershares ETF TR II Build		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Powershares KBW High Dividend		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
PowerShares KBW High Dividend		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Powershares Nat AMT-FRE Mun Bd Pt		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Powershares NY AMT-Free Muni Prt		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Powershares NY AMT-Free Muni Prt		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
QUALCOMM Incorporated (QCOM)		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Revenueshares Large Cap ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Revenueshares Mid Cap ETF		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Revenueshares Small Cap ETF		\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Source Capital Inc		None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SPDR DJ Wilshire Intl Real Est		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SPDR S&P Dividend		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
United States Treasury Bond		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
United States Treasury Bond		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Vacant Land, 20% Interest, Unit 17, Blk 263, Lot 12		\$1 - \$1,000	None		<input type="checkbox"/>
LOCATION: Silver Springs Shores, FL, US					
Vanguard Dividend Appreciation		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Vanguard Index Fds S&P 500 ETF		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Large Cap ETF		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Mega Cap Value ETF		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Total Bond Market		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Wisdomtree Trust Emrg Mkt Eqt		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Aberdeen Asia-Pac PR Inc FD		09/9/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA)		10/7/2014	P	\$1,001 - \$15,000	
AT&T Inc. (T)		09/30/2014	P	\$1,001 - \$15,000	
BP p.l.c. (BP)		09/30/2014	P	\$1,001 - \$15,000	
Coach, Inc. (COH)		12/15/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Cons Staples Sel Sect SPDR		09/9/2014	P	\$1,001 - \$15,000	
Covidien plc. Ordinary Shares (COV)		01/17/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Eaton Vance Tax-Mngd Glbl		12/15/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA-Funds ⇒ Energy Sel Sect SPDR FD		09/9/2014	P	\$1,001 - \$15,000	
IRA-Funds ⇒ Vanguard Index Fds S&P 500		02/3/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA-Stocks ⇒ Ford Motor Company (F)		10/7/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA-Stocks ⇒ Great Plains Energy Incorporated (GXP)		09/9/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ishares MSCI Japan ETF		10/24/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Ishares S&P Mid-Cap 400 ETF		10/24/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Ishares S&P Smll-Cap 600 V ETF		02/5/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
KKR & Co. L.P. Common Units Representing Limited Partnership Interest (KKR)		10/24/2014	P	\$1,001 - \$15,000	
Mallinckrodt plc Ordinary Shares (MNK)		01/17/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Nuveen Eqty Premium Opportunity FD		12/15/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
PowerShares KBW High Dividend		09/9/2014	P	\$1,001 - \$15,000	
Powershares Nat AMT-FRE Mun Bd Pt		10/24/2014	P	\$1,001 - \$15,000	
QUALCOMM Incorporated (QCOM)		01/17/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Revenueshares Small Cap ETF		10/15/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Source Capital Inc		12/22/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard Index Fds S&P 500 ETF		09/9/2014	P	\$1,001 - \$15,000	
Vanguard Mega Cap Value ETF		10/24/2014	P	\$1,001 - \$15,000	
Wisdomtree Trust Emrg Mkt Eqt		12/15/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Wells Fargo Bank	May 2002	Mortgage on personal residence, 493 Nancy Road, Mineola, NY	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Honorary Board Member	New Yorkers Against Gun Violence
Honorary Board Member	Long Island Blood Service

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none">529 College Savings Plan-Funds LOCATION: NYIRA-CashIRA-FundsIRA-Stocks

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Carolyn McCarthy , 05/1/2015