



Filing ID #10029434

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Claire Chase
Status: Congressional Candidate
State/District: NM02

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2019
Filing Date: 11/25/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Chance Chase Exempt Trust ⇒ Bank of Texas Checking Account [BA]	SP	\$100,001 - \$250,000	None		
Chance Chase Exempt Trust ⇒ Chase Argent LLC [OT] DESCRIPTION: Membership interest in coin collection investment	SP	\$1,000,001 - \$5,000,000	Partnership Income	None	None
Chance Chase Exempt Trust ⇒ Frost Bank Checking Account [BA]	SP	\$1,001 - \$15,000	None		
Chance Chase Exempt Trust ⇒ San Saba Pecan LP, 10% Interest [OL] LOCATION: San Saba, TX, US DESCRIPTION: Pecan processing plant	SP	\$500,001 - \$1,000,000	Partnership Income	None	None
Innovation Tools LLC [OL] LOCATION: Oklahoma City, OK, US DESCRIPTION: Oilfield services	SP	\$1,001 - \$15,000	Partnership Income	None	None
Mack Energy Corporation DB Plan [DB] COMMENTS: Amount determined at retirement		Undetermined	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Mack Energy Corporation DB Plan [DB]	SP	Undetermined	Tax-Deferred		
Merrill Lynch #10260 IRA ⇒ Vanguard Growth ETF (VUG) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch Brokerage #10255 ⇒ Altria Group, Inc. (MO) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Merrill Lynch Brokerage #10255 ⇒ Fidelity Advisor New Insights [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$2,501 - \$5,000
Merrill Lynch Brokerage #10255 ⇒ First Eagle Global Fund [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$2,501 - \$5,000
Merrill Lynch Brokerage #10255 ⇒ FPA Crescent Fund [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1,001 - \$2,500
Merrill Lynch Brokerage #10255 ⇒ Guggenheim Floating Rate Strategies Fd [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Merrill Lynch Brokerage #10255 ⇒ Invesco Buyback Achievers ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill Lynch Brokerage #10255 ⇒ Invesco S&P 500 High Div Low Volatility ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill Lynch Brokerage #10255 ⇒ Invesco S&P Low Volatility ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill Lynch Brokerage #10255 ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Merrill Lynch Brokerage #10255 ⇒ Loomis Sayles Strategic Fd Cl Y [MF]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
Merrill Lynch Brokerage #10255 ⇒ Mondelez International, Inc. - Class A (MDLZ) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill Lynch Brokerage #10255 ⇒ Philip Morris International Inc (PM) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Merrill Lynch Brokerage #10255 ⇒ Solaris Oilfield Infrastructure, Inc. Class A (SOI) [ST]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	\$2,501 - \$5,000
Merrill Lynch Brokerage #10255 ⇒ Vanguard 500 Index Fund [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill Lynch Brokerage #10255 ⇒ Vanguard Dividend Appreciation ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill Lynch Brokerage #11917 ⇒ Apple Inc. (AAPL) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill Lynch Brokerage #11917 ⇒ Eli Lilly and Company (LLY) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill Lynch Brokerage #11917 ⇒ First Eagle Global Fund Cl A [MF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
Merrill Lynch Brokerage #11917 ⇒ FPA Crescent Fund [MF]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
Merrill Lynch Brokerage #11917 ⇒ General Electric Company (GE) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill Lynch Brokerage #11917 ⇒ Guggenheim Floating Rate Strategies [MF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Merrill Lynch Brokerage #11917 ⇒ Home Depot, Inc. (HD) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill Lynch Brokerage #11917 ⇒ Invesco Buyback Achievers ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill Lynch Brokerage #11917 ⇒ McDonalds Corp Com [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill Lynch Brokerage #11917 ⇒ Nuveen High Yield Municipal Bond Fund [MF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Merrill Lynch Brokerage #11917 ⇒ Select Spector SPDR Trust ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Merrill Lynch Brokerage #11917 ⇒ Vanguard High Dvd Yield ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill Lynch Brokerage #11917 ⇒ Verizon Communications Inc. (VZ) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill Lynch Brokerage #11917 ⇒ Visa Inc. (V) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade Brokerage ⇒ Alcentra Capital Corp. (ABDC) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade Brokerage ⇒ American Airlines Group, Inc. (AAL) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
TD Ameritrade Brokerage ⇒ Cisco Systems, Inc. (CSCO) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade Brokerage ⇒ Sirius XM Holdings Inc. (SIRI) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade Brokerage ⇒ Visa Inc. (V) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
TD Ameritrade Brokerage ⇒ Weight Watchers International Inc (WTW) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
Tortuga Water LLC ⇒ KLR Seawolf Fund LP [OL] LOCATION: Artesia, NM, US DESCRIPTION: Investment in ground water and salt water disposal wells in Loving County, TX.	SP	\$100,001 - \$250,000	Partnership Income	None	None
Transamerica 401K ⇒ Vanguard Target Retirement 2045 Inv [MF]		\$100,001 - \$250,000	Tax-Deferred		
Transamerica 401K Spouse ⇒ American Funds EuroPacific Gr R6 [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Transamerica 401K Spouse ⇒ American Funds Growth Fund of Amer R6 [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Transamerica 401K Spouse ⇒ American Funds Washington Mutual R6	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
[MF]					
Transamerica 401K Spouse ⇒ Fidelity 500 Index Institutional Prem [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Transamerica 401K Spouse ⇒ Fidelity Extended Market Index [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Transamerica 401K Spouse ⇒ JPMorgan Mid Cap Value R6 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Transamerica 401K Spouse ⇒ JPMorgan Small Cap Equity R6 [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Transamerica 401K Spouse ⇒ MFS International Intrinsic Value R6 [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Transamerica 401K Spouse ⇒ PIMCO High Yield Instl [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Transamerica 401K Spouse ⇒ PIMCO Real Return Instl [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Transamerica 401K Spouse ⇒ PIMCO Total Return Instl [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: PHIYX					
Wells Fargo Cash Accounts [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
X Companeros LLC [OL]	SP	\$1,001 - \$15,000	Partnership Income	None	None
LOCATION: Midland, TX, US					
DESCRIPTION: Winery in Argentina					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Mack Energy Corporation	Salary	\$122,909.00	\$175,349.00
Mack Energy Corporation	Spouse Salary	N/A	N/A

Source	Type	Amount Current Year to Filing	Amount Preceding Year
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SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Chase #3052	Revolving	Charge Card	\$50,001 - \$100,000
SP	Chase #7494	Revolving	Charge Card	\$15,001 - \$50,000
	Merrill Lynch #9323	Revolving	Charge Card	\$15,001 - \$50,000
SP	Merrill Lynch #13936	9/4/2018	Loan Management Account	\$50,001 - \$100,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Chairman	New Mexico Oil & Gas Association
President	Independent Producers Association - New Mexico

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Chance Chase Exempt Trust (Owner: SP)
- Merrill Lynch #10260 IRA (Owner: SP)
- Merrill Lynch Brokerage #10255 (Owner: SP)
- Merrill Lynch Brokerage #11917 (Owner: JT)
- TD Ameritrade Brokerage (Owner: SP)
- Tortuga Water LLC (Owner: SP)
LOCATION: US
- Transamerica 401K
- Transamerica 401K Spouse (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Claire Chase , 11/25/2019