

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

**FORM A**  
For use by Members, officers, and employees

Page 1 of 2

**POSTMARK ILLEGIBLE**

LEGISLATIVE RESOURCE CENTER

Timothy Vincent Johnson

(Full Name)

(Daytime Telephone)

2/13/2013 MAY -1 AM 11:16

(Office Use Only)

**Filer Status**  
☒ Member of the U.S. House of Representative  
State: IL District: 15

☐ Officer Or Employee  
Employing Office:

**Report Type**  
☐ Annual (May 15) ☐ Amendment

☒ Termination

Termination Date:

1/3/2013

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

FH

**SCHEDULE I - EARNED INCOME**

Name Timothy Vincent Johnson

Page 2 of 9

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
State of Illinois	Legislative Pension	\$72,656

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy Vincent Johnson

Page 3 of 9

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans), provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving account; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>	<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
1st Mid-Illinois Bank & Trust Certificate of Deposit	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
Bank of Rantoul Certificate of Deposit	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
Busey Bank of St. Joseph Certificate of Deposit	None	INTEREST	\$201 - \$1,000	S
Central Illinois Bank of Sidney Certificate of Deposit	None	INTEREST	\$201 - \$1,000	S
DFA Emerging Markets Core Equity	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
DFA International Small- Company Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Timothy Vincent Johnson

Page 4 of 9

DFA International Small-Company Fund	\$15,001 - \$50,000	CAPITAL GAINS	\$1 - \$200	P
DFA Real Estate Securities Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	S(part)
DFA Tax-Managed International Value	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
DFA Tax-Managed US Marketwide Value	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	P
DFA Tax-Managed US Small-Cap Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
DFA US Core Equity 1 Portfolio	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	S(part)
Dreyfus Cash Management	\$15,001 - \$50,000	None	NONE	
First National Bank in Paxton Certificate of Deposit	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
First National Bank of Ogden Certificate of Deposit	None	INTEREST	\$1 - \$200	S
ISHARES TR S&P GSSI NAT RES INDEX	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
Longview State Bank Certificate of Deposit	None	INTEREST	\$1 - \$200	S
Philo Exchange Bank Certificate of Deposit	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
PIMCO Foreign Bond	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	P
PIMCO Foreign Bond	\$50,001 - \$100,000	CAPITAL GAINS	\$201 - \$1,000	P

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Timothy Vincent Johnson

Page 5 of 9

PIMCO Real Return Fund Institutional	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	PS(part)
PIMCO Real Return Fund Institutional	\$100,001 - \$250,000	CAPITAL GAINS	\$1,001 - \$2,500	PS(part)
Sidell State Bank Certificate of Deposit	None	INTEREST	\$201 - \$1,000	S
Tuscola National Bank Certificate of Deposit	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
Vanguard Intermediate Term Tax Exempt Admiral Class 878	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	E
Vanguard Intermediate-Term Tax Exempt Fund Investor Class 209	\$1,001 - \$15,000	INTEREST	\$1,001 - \$2,500	PS&E
Vanguard Intl Equity Index	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	P
Vanguard Limited Tax-Exempt Admiral Shares 886	\$100,001 - \$250,000	INTEREST	\$201 - \$1,000	E
Vanguard Limited-Term Tax Exempt Investor Class 704	None	INTEREST	\$1,001 - \$2,500	E
Vanguard World FDS	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
Villa Grove State Bank Certificate of Deposit	None	INTEREST	\$1,001 - \$2,500	S

# SCHEDULE IV - TRANSACTIONS

Name Timothy Vincent Johnson

Page 6 of 9

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	DFA Emerging Markets Core Equity	P	No	07-25-12	\$1,001 - \$15,000
	DFA International Small-Company Fund	P	No	07-25-12	\$1,001 - \$15,000
	DFA Real Estate Securities Fund	S(part)	Yes	07-25-12	\$1,001 - \$15,000
	DFA Tax-Managed International Value	P	No	07-25-12	\$1,001 - \$15,000
	DFA Tax-Managed US Marketwide	P	No	07-25-12	\$1,001 - \$15,000
	DFA Tax-Managed US Small-Cap Fund	P	No	07-25-12	\$1,001 - \$15,000
	DFA US Core Equity 1 Portfolio	S(part)	No	07-25-12	\$1,001 - \$15,000
	DFA US Core Equity 1 Portfolio	S(part)	Yes	05-08-12	\$15,001 - \$50,000
	I SHARES TR S&P GSSI NAT RES INDEX	P	No	07-25-12	\$1,001 - \$15,000
	PIMCO Foreign Bond	P	No	09-05-12	\$1,001 - \$15,000
	PIMCO Foreign Bond	P	No	07-25-12	\$50,001 - \$100,000

# SCHEDULE IV - TRANSACTIONS

Name Timothy Vincent Johnson

Page 7 of 9

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. \* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	PIMCO Real Return Fund Institutional	S(part)	Yes	05-08-12	\$1,001 - \$15,000
	PIMCO Real Return Fund Institutional	S(part)	Yes	07-25-12	\$50,001 - \$100,000
	PIMCO Real Return Fund Institutional	P	No	09-05-12	\$1,001 - \$15,000
	Vanguard Intermediate Term Tax Exempt Admiral Class 878	E	No	09-10-12	\$50,001 - \$100,000
	Vanguard Intermediate Term Tax Exempt Fund Investor Class 209	P	No	09-05-12	\$1,001 - \$15,000
	Vanguard Intermediate Term Tax Exempt Fund Investor Class 209	S(part)	Yes	05-08-12	\$1,001 - \$15,000
	Vanguard Intermediate Term Tax Exempt Fund Investor Class 209	S(part)	Yes	07-25-12	\$15,001 - \$50,000
	Vanguard Intermediate Term Tax Exempt Fund Investor Class 209	E	No	09-10-12	\$50,001 - \$100,000
	Vanguard Intl Equity Index	P	No	07-25-12	\$1,001 - \$15,000
	Vanguard Limited Tax-Exempt Admiral Shares 886	P	No	09-05-12	\$1,001 - \$15,000
	Vanguard Limited Tax-Exempt Admiral Shares 886	E	No	08-02-12	\$100,001 - \$250,000

**SCHEDULE IV - TRANSACTIONS**

Name Timothy Vincent Johnson

Page 8 of 9

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Vanguard Limited Term Tax-Exempt Investor Class 704	E	No	08-02-12	\$100,001 - \$250,000
	Vanguard Limited Term Tax-Exempt Investor Class 704	P	No	07-25-12	\$15,001 - \$50,000
	Vanguard World FDS	P	No	07-25-12	\$1,001 - \$15,000



# SCHEDULE IX - AGREEMENTS

Name Timothy Vincent Johnson

Page 9 of 9

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
3-19-2013	Illinois State University	Instructor
4-1-2013	The Law Offices of Frederick W. Nessler	Attorney (not of counsel)