# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Hon. Suzan K. DelBene

Status: Member State/District: WA01

#### FILING INFORMATION

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# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Acuity Brands Inc (AYI)	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<b>▽</b>
AIG 5.85% DUE 01/16/18  DESCRIPTION: NA	JT	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<u>~</u>
ARIZONA STATE BRD REGENTS UNIV SYS REV 5.000% DUE 07/01/2018	JT	None	Capital Gains, Interest	\$5,001 - \$15,000	<b>▽</b>
AUSTIN TEXAS WATER & SEWER SYS REF REV 4.000% DUE 11/15/16	JT	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	
Badger Meter, Inc. (BMI)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<b>▽</b>
BALFOUR PLACE INVESTORS, LLC  Description: Co-investment in multi-family housing building	JT	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000	<b>▽</b>
BAY AREA TOLL RV 1.5% DUE 04/01/47  DESCRIPTION: Callable 10/01/17 at 100.00	JT	\$500,001 - \$1,000,000	Interest	\$2,501 - \$5,000	<b>▽</b>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
BEAR STEARNS CO 5.55% DUE 01/22/17  DESCRIPTION: NA	JT	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	<u>~</u>
BioAmber Inc. (BIOA)	JT	\$15,001 - \$50,000	Dividends	None	<u>~</u>
BOISE CITY IDAHO AIRPORT PKG PACS REV 4.000% DUE 09/01/17	JT	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	
BOISE CITY IDAHO RENEWAL LEASE REF REV 5.000% DUE 08/15/17	JT	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	
BorgWarner Inc. (BWA)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<u>~</u>
Calgon Carbon Corporation (CCC)	JT	\$15,001 - \$50,000	Dividends	None	<b>V</b>
Canadian Solar Inc common shares (CSIQ)	JT	\$15,001 - \$50,000	Dividends	None	<u>~</u>
CHICAGO IL BOE REF GO 4.25% DUE 12/01/18	JT	None	Capital Gains, Interest	\$5,001 - \$15,000	<u> </u>
CT ST HSG FA HSG 2.75% DUE 05/15/26	JT	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000	<u> </u>
DENVER CO CITY AND COUNTY AIRPORT REV 5.000% DUE 11/15/18	JT	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000	
Dialight (3305779)  DESCRIPTION: Foreign Exchange Position Symbol DIA LN	JT	\$15,001 - \$50,000	Dividends	None	<u>~</u>
DIGNITY HEALTH 3.812% DUE 11/01/24	JT	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<u> </u>
Description: NA					
DOUBLELINE TOTAL RETURN	DC	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<b>▽</b>
Electro Rent Corporation (ELRC)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
ENERGY NORTHWEST WA ELEC REF REV 5.000%	JT	\$100,001 -	Interest	\$2,501 -	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DUE 07/01/18		\$250,000		\$5,000	
ENERGY NORTHWEST WA ELEC REF REV 5.250% DUE 07/01/16	JT	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	<b>▽</b>
Energy Recovery, Inc. (ERII)	JT	\$15,001 - \$50,000	Dividends	None	
Energy Recovery, Inc. (ERII)	JT	None	Capital Gains	\$1,001 - \$2,500	~
FHLB .375% DUE 06/24/16	JT	\$250,001 - \$500,000	Interest	\$1,001 - \$2,500	~
FIDELITY GROWTH CO POOL	SP	\$500,001 - \$1,000,000	None		<u>~</u>
Description: Exchanged out Fidelity Growth Co Units with Fi	delity Grov	vth Co Pool on 03/14/	14		
FIDELITY MUNICIPAL MONEY MARKET	JT	\$100,001 - \$250,000	Dividends	\$1 - \$200	
FIDELITY MUNICIPAL MONEY MARKET	JT	\$100,001 - \$250,000	Dividends	\$1 - \$200	
FIDELITY SPARTAN 500 INDEX FUND ADVANTAGE CLASS		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<b>▽</b>
First Solar, Inc. (FSLR)	JT	\$15,001 - \$50,000	Dividends	None	<b>✓</b>
Fleetmatics Group PLC Ordinary Shares (FLTX)	JT	\$15,001 - \$50,000	Dividends	None	<b>▽</b>
FLORIDA STATE BOE LOTTERY REF REV 5.000% DUE 07/01/17	JT	None	Capital Gains, Interest	\$15,001 - \$50,000	<b>▽</b>
FLORIDA STATE BOE PUB EDUC REF GO 5.000% DUE 06/01/24	JT	None	Capital Gains, Interest	\$5,001 - \$15,000	~
Flowserve Corporation (FLS)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<b>✓</b>
GLOBAL PARTNERSHIPS MICROFINANCE FUND 2008, 100% Interest	SP	None	Dividends	\$15,001 - \$50,000	
GLOBAL PARTNERSHIPS SIF 5.0 (3% 03/25/19)	JT	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000	<u>~</u>

	Type(s) \$1,00
A PARTNERSHIPS SIF 5.0 (5% 3/25/23) JT \$250,001 - \$500,000	None
CREEK TX C IS 2.0% DUE 02/15/40 JT \$100,001 - \$250,000	Interest \$2,501 - \$5,000
COUNTY WA PUD #2 HYDRO ELEC REF JT \$250,001 - \$500,000	Interest \$15,001 - \$50,000
Residence JT \$5,000,001 Residence \$25,000,000 Medina, WA, US on: Personal Residence in Medina Washington	
ton D.C. Residence  Washington, DC, US  on: Personal Residence in Washington D.C.  JT \$1,000,001 \$5,000,000	
Armstrong Sustainable Infrastructure Capital, JT \$15,001 - \$5 SI)	50,000 Dividends \$2,501 - \$5,000
R INTERNATIONAL JT \$1,000,001 \$5,000,000	
ON TEXAS PUB IMPT REF GO 4.000% DUE JT None	Capital Gains, \$15,001 - Interest \$50,000
NGTON CAPITAL FUND III JT \$100,001 - \$250,000	None
\$500,001 - \$1,000,000	Interest \$15,001 - \$50,000
STATE UNIV REF GEN REVS 4.000% DUE JT None	Interest \$5,001 - \$15,000
Controls, Inc. (JCI) JT \$15,001 - \$5	50,000 Dividends \$201 - \$1,000
OUNTY ILLINOIS FOREST PRESV DIST REF JT None 0% DUE 12/15/15	Capital Gains, \$15,001 - Interest \$50,000
### DUE 01/01/20 ### \$500,000 ### \$500,000 ### \$500,000 ### \$500,000 ### \$500,000 ### \$5,000,000 ### \$5,000,000 ### \$5,000,000 ### \$5,000,000 ### \$5,000,000 ### \$5,000,000 ### \$5,000,000 ### \$1,000,00	\$50,000  None  None  None  So,000  Dividends  \$2,501 - \$5,000  Dividends  \$15,001 - \$50,000  Capital Gains, \$15,001 - \$50,000  None  Interest  \$50,000  Interest  \$50,000  Capital Gains, \$15,001 - \$50,000  None  Capital Gains, \$15,001 - \$50,000  Capital Gains, \$15,001 - \$15,000  Capital Gains, \$15,001 - \$15,000

Asset	Owner	Value of Asset	Income Type(s)		Tx. > \$1,000?
Kingspan Grp PLC (4491235)	JT	\$15,001 - \$50,000	Dividends	None	<b>✓</b>
Description: Foreign Exchange Position Symbol KSP ID					
LIGHTHOUSE GLOBAL LONG SHORT FUND	JT	\$1,000,001 - \$5,000,000	None		<b>▽</b>
LKQ Corporation (LKQ)	JT	\$15,001 - \$50,000	Dividends	None	<b>✓</b>
LOWER CO RIV TX AUTH REF REV 5.000% DUE 05/15/22	JT	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	П
LumenPulse Inc (CDA)	JT	\$15,001 - \$50,000	Dividends	None	$\checkmark$
DESCRIPTION: Foreign Exchange Position Symbol LMP CN					
MATTHEWS ASIA DIVIDEND	JT	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000	V
Meyer Burger (B5NCoDo)	JT	\$15,001 - \$50,000	Dividends	None	<b>~</b>
Description: Foreign Exchange Position Symbol MBTN SW					
MiX Telematics Limited American Depositary Shares, each representing 25 Ordinary Shares (MIXT)	JT	\$15,001 - \$50,000	Dividends	None	<u> </u>
Mobileye N.V. Ordinary Shares (MBLY)	JT	\$1,001 - \$15,000	Dividends	None	$\checkmark$
MONSANTO COMPANY 1.15% DUE 06/30/2017  DESCRIPTION: NA	JT	\$100,001 - \$250,000	None		
	ITE	Фоло оот	Testament	Φ= 004	_
MORGAN STANLEY 4.75% DUE 03/22/17	JT	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	$\overline{\vee}$
Description: NA					
MYR Group, Inc. (MYRG)	JT	\$15,001 - \$50,000	Dividends	None	<b>▽</b>
NASDAQ OMX GRP 5.25% DUE 01/16/18	JT	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<b>✓</b>
Description: NA		<b>4</b> ,000,000		ψ±0,000	
ND ST HSG FA 1.3% DUE 07/01/18	JT	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	$\checkmark$

Asset	Owner	Value of Asset	Income Type(s)		Tx. > \$1,000?
ORL & ORG CO XPWY F 5% DUE 07/01/25	JT	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<b>V</b>
Osram Licht AG Namens-Aktien	JT	\$15,001 - \$50,000	Dividends	None	<b>V</b>
DESCRIPTION: Foreign Exchange Position Symbol OSRN DE					
Ozner Water (BN7Q3G8)	JT	\$15,001 - \$50,000	Dividends	None	<b>~</b>
Description: Foreign Exchange Position Symbol 2014 HK					
PACCAR Inc. (PCAR)	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	
PACIFIC WEST LAND REAL ESTATE INCOME	JT	\$100,001 - \$250,000	None		<b>V</b>
Description: Opportunistic real-estate strategy with income	focus	¥-0-,			
PARAMETRIC TAX MGD EMRG	DC	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<u>~</u>
PARAMETRIC TAX MGD EMRG	JT	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000	<u> </u>
PIMCO TOTAL RETURN BOND		\$15,001 - \$50,000	None		
PORT SEATTLE WA INTERMED LIEN REF REV 4.000% DUE 03/01/17	JT	None	Capital Gains, Interest	\$15,001 - \$50,000	<u> </u>
PORTLAND OREGON URBAN RENEWAL & REDEV TAX ALLOC 5.000% DUE 06/15/20	JT	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	П
PSI AG (5522714)	JT	\$15,001 - \$50,000	Dividends	None	$\overline{\vee}$
Description: Foreign Exchange Position Symbol PSAN GR					
PUT SPDR S&P 500 ETF EXP 01/17/15	JT	\$1,001 - \$15,000	None		
DESCRIPTION: Put Option for S&P 500.					
Raven Industries, Inc. (RAVN)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<u> </u>
REDWOOD-KAIROS REAL ESTATE VALUE FUND IV  DESCRIPTION: Diversified real estate private investment fund	JT	\$100,001 - \$250,000	None		<b>✓</b>
2200 II 1911 Diversifica fear estate private investment fund					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
RYDEX NOVA INV	SP	None	Capital Gains	\$50,001 - \$100,000	<b>▽</b>
SCHWAB GOVERNMENT MONEY FUND SWGXX	JT	\$1,000,001 - \$5,000,000	Interest	None	
SCHWAB GOVT MONEY FUND SWGXX	SP	\$1,001 - \$15,000	None		
SCHWAB GOVT MONEY FUND SWGXX		\$1,001 - \$15,000	None		
SCHWAB GOVT MONEY FUND SWGXX	DC	\$15,001 - \$50,000	None		
SCHWAB GOVT MONEY FUND SWGXX	SP	\$100,001 - \$250,000	None		
SCHWAB GOVT MONEY FUND SWGXX		\$100,001 - \$250,000	None		
SCHWAB MONEY MARKET FUND SWMXX	SP	\$1,001 - \$15,000	Dividends	None	
SEATTLE WASHINGTON REF GO 5.000% DUE 05/01/22	JT	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	
COMMENTS: Bond was titled SEATTLE WASH LTD TAX GO II	MP1 KEF D	DS SER 5.0000% 05/	01/22 2009 on the 20	12 report.	
SERVICE CENTER ROLOC FT WORTH TX LEASE REV 4.250% DUE 03/01/19	JT	None	Interest	\$2,501 - \$5,000	~
SGS SA-REG (4824778)	JT	\$1,001 - \$15,000	Dividends	None	<u>~</u>
Description: Foreign Exchange Position Symbol SGSN VX					
Silver Spring Networks, Inc. (SSNI)	JT	\$1,001 - \$15,000	Dividends	None	~
Southwestern Energy Company (SWN)	JT	\$15,001 - \$50,000	Dividends	None	<b>✓</b>
SPARTAN INTL INDEX FD FID ADVTG	JT	None	Capital Gains	\$15,001 - \$50,000	<u></u>
SPDR S&P 500 (SPY)	DC	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	
SPDR S&P 500 (SPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SPDR S&P 500 (SPY)		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	П
SPDR S&P 500 (SPY)	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	
SPDR S&P 500 (SPY)	JT	\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000	<b>✓</b>
SunEdison, Inc. (SUNE)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<b>▽</b>
SunPower Corporation (SPWR)	JT	\$15,001 - \$50,000	Dividends	None	<u>~</u>
TCG PRIVATE SELECT PARTNERS  Description: Diversified private investment fund of funds	JT	\$1,000,001 - \$5,000,000	None		<b>▽</b>
Toray Ind Inc (6897143)  DESCRIPTION: Foreign Exchange Position Symbol 3402 JP	JT	\$15,001 - \$50,000	Dividends	None	<u> </u>
TRILINC GLOBAL IMPACT FUND	JT	\$1,000,001 - \$5,000,000	None		~
TX ST PUB FIN AUTH UNEMPLOYMENT COMP REV 5.000% DUE 01/01/18	JT	None	Interest	\$15,001 - \$50,000	<b>✓</b>
UNIV ID 5.25% EDUC PUB DUE 04/01/41	JT	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000	
UNIV OF CO ENTERPRISE REF REV 5.000% DUE 06/01/22	JT	None	Capital Gains, Interest	\$5,001 - \$15,000	<u>~</u>
UNIV TX FIN SYS REV 5.000% DUE 08/15/21	JT	None	Capital Gains, Interest	\$15,001 - \$50,000	<u>~</u>
US TREASURY NT 1.5% DUE 07/31/16	JT	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000	<u>~</u>
US TREASURY NT 2% DUE 07/31/20	JT	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000	<u>~</u>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
VA PUB BLDG AUTH 5% 15 AUTH BLD DUE 08/01/15	JT	None	Interest	\$5,001 - \$15,000	<b>▽</b>
Valmont Industries, Inc. (VMI)	JT	None	Capital Gains	\$201 - \$1,000	<u>~</u>
VANGUARD 500 INDEX FUND	DC	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	
VANGUARD FTSE AW INDEX EX-US	SP	None	Capital Gains	\$100,001 - \$1,000,000	<u></u>
Vanguard FTSE Emerging Markets ETF (VWO)	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	
VANGUARD INST INDEX PLUS	SP	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	
VANGUARD INST INDEX PLUS	SP	None	Capital Gains	\$1,000,001 - \$5,000,000	<u> </u>
VANGUARD INST INDEX PLUS		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
WASHING ST GO 0% DUE 12/01/17	JT	\$250,001 - \$500,000	None		
WASHINGTON ST AND LOCAL AGENCY R/E PPTY COPS 4.000% DUE 01/01/18	JT	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000	<u> </u>
WASHINGTON ST COPS UNREF 3.250% DUE 01/01/14	JT	None	Interest	\$1,001 - \$2,500	<b>▽</b>
Wasion Group Hldgs LTD (BoT4J94)  Description: Foreign Exchange Position Symbol 3393 HK	JT	\$15,001 - \$50,000	Dividends	None	<u></u>
Watts Water Technologies, Inc. Class A (WTS)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<b>▽</b>
WELLS FARGO & CO 4.6% DUE 04/01/21	JT	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<u> </u>
Description: NA					
WISDOMTREE EUROPE ETF	JT	\$500,001 -	Dividends	\$5,001 -	$\checkmark$

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$1,000,000		\$15,000	
Xylem Inc. Common Stock New (XYL)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<u> </u>
Zumtobel Group AG (B13WZ26)	JT	\$15,001 - \$50,000	Dividends	None	$\checkmark$
Description: Foreign Exchange Position Symbol ZAG AV					

<sup>\*</sup> Asset class details available at the bottom of this form.

# SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ABB Ltd (ABB)	JT	05/12/2014	P	\$15,001 - \$50,000	
ABB Ltd (ABB)	JT	10/17/2014	S	\$15,001 - \$50,000	
Acuity Brands Inc (AYI)	JT	05/12/2014	P	\$15,001 - \$50,000	
Acuity Brands Inc (AYI)	JT	09/19/2014	P	\$1,001 - \$15,000	
AIG 5.85% Due 01/16/18	JT	11/7/2014	P	\$250,001 - \$500,000	
Description: Corporate Bond					
ARIZONA BOARD 5% EDUC DUE 07/01/18	JT	01/27/2014	P	\$15,001 - \$50,000	
ARIZONA STATE BRD REGENTS 5.0% DUE 07/01/18	JT	05/12/2014	S	\$250,001 - \$500,000	<b>V</b>
Badger Meter, Inc. (BMI)	JT	05/12/2014	P	\$15,001 - \$50,000	
BALFOUR PLACE INVESTORS, LLC	JT	01/28/2014	P	\$250,001 - \$500,000	
Description: Co-investment in multi-family housing building					
BAY AREA TOLL AUT 1.5% DUE 04/01/47	JT	07/16/2014	P	\$500,001 - \$1,000,000	
BEAR STEARNS CO 5.55% DUE 01/22/17	JT	10/22/2014	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Description: Corporate Bond					
BioAmber Inc. (BIOA)	JT	07/24/2014	P	\$15,001 - \$50,000	
BioAmber Inc. (BIOA)	JT	10/9/2014	P	\$1,001 - \$15,000	
BioAmber Inc. (BIOA)	JT	10/10/2014	P	\$1,001 - \$15,000	
BOE CHICAGO 4.25 DUE 12/01/18	JT	05/15/2014	S	\$250,001 - \$500,000	<u> </u>
BorgWarner Inc. (BWA)	JT	09/26/2014	P	\$15,001 - \$50,000	
BorgWarner Inc. (BWA)	JT	10/17/2014	P	\$1,001 - \$15,000	
Calgon Carbon Corporation (CCC)	JT	05/12/2014	P	\$15,001 - \$50,000	
Canadian Solar Inc common shares (CSIQ)	JT	05/20/2014	P	\$15,001 - \$50,000	
Chicago Bridge & Iron Company N.V. (CBI)	JT	05/12/2014	P	\$15,001 - \$50,000	
Chicago Bridge & Iron Company N.V. (CBI)	JT	06/18/2014	S	\$15,001 - \$50,000	
CITY OF HOUSTON 4% GO DUE 03/01/17	JT	07/17/2015	S	\$500,001 - \$1,000,000	<u>~</u>
CONNECTICUT HSG 2.75% DUE 05/15/26	JT	09/16/2014	P	\$500,001 - \$1,000,000	
COUNTY OF KANE 4% DUE 12/15/15	JT	11/18/2014	S	\$500,001 - \$1,000,000	<u>~</u>
COUNTY OF KANE GO 4.0% DUE 12/15/15	JT	06/27/2014	P	\$15,001 - \$50,000	
COUNTY OF KANE GO 4.0% DUE 12/15/15	JT	07/11/2014	P	\$15,001 - \$50,000	
Cree, Inc. (CREE)	JT	05/12/2014	P	\$15,001 - \$50,000	
Cree, Inc. (CREE)	JT	06/27/2014	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Cree, Inc. (CREE)	JT	09/19/2014	S (partial)	\$15,001 - \$50,000	
Cree, Inc. (CREE)	JT	10/9/2014	S	\$1,001 - \$15,000	
DIALIGHT  DESCRIPTION: Foreign Exchange position. Ticker DIA LN	JT	05/13/2014	P	\$15,001 - \$50,000	
DIGNITY HEALTH 2014 3.8% DUE 11/01/24  DESCRIPTION: Corporate Bond	JT	10/7/2014	P	\$500,001 - \$1,000,000	
DOUBLELINE TOTAL RETURN BD FD	DC	04/21/2014	P	\$15,001 - \$50,000	
ENERGY NW WA ELE 5.25% DUE 07/01/16	JT	11/12/2014	P	\$1,001 - \$15,000	
Energy Recovery, Inc. (ERII)	JT	05/12/2014	P	\$15,001 - \$50,000	
Energy Recovery, Inc. (ERII)	JT	06/24/2014	S (partial)	\$1,001 - \$15,000	<b>V</b>
Energy Recovery, Inc. (ERII)	JT	06/30/2014	S	\$1,001 - \$15,000	<u> </u>
Energy Recovery, Inc. (ERII)	JT	12/4/2014	P	\$1,001 - \$15,000	
Energy Recovery, Inc. (ERII)	JT	12/5/2014	P	\$1,001 - \$15,000	
EOG Resources, Inc. (EOG)	JT	05/12/2014	P	\$15,001 - \$50,000	
EOG Resources, Inc. (EOG)	JT	12/1/2014	S	\$15,001 - \$50,000	
FEDERAL HOME LOAN BANKS .375% DUE 06/24/16	JT	12/24/2014	S	\$15,001 - \$50,000	
FHLB .375% DUE 06/24/16	JT	11/19/2014	P	\$500,001 - \$1,000,000	
FIDELITY CONTRAFUND POOL  DESCRIPTION: Exchanged Fidelity Contrafund units		03/14/2014	P	\$15,001 - \$50,000	
FIDELITY CONTRAFUND UNITS		03/14/2014	S	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Description: Exchanged for Fidelity Contrafund Pool Units					
FIDELITY GROWTH CO POOL	SP	03/14/2014	P	\$500,001 - \$1,000,000	
FIDELITY GROWTH CO UNITS	SP	03/14/2014	S	\$500,001 - \$1,000,000	
DESCRIPTION: Exchanged Fidelity Growth Co units for Fidelity Gro	wth Co Poo	ol units.			
FIDELITY SPARTAN INTL INDEX FD ADVTG	JT	04/16/2014	P	\$1,001 - \$15,000	
First Solar, Inc. (FSLR)	JT	05/12/2014	P	\$15,001 - \$50,000	
FL DEPT EDUCATION 5% DUE 07/01/17	JT	07/11/2014	P	\$50,001 - \$100,000	
FL STATE B/E LOTTERY 5% DUE 07/01/17	JT	11/6/2014	S	\$500,001 - \$1,000,000	<b>▽</b>
FL STATE BRD ED REV 5% DUE 06/01/24	JT	10/8/2014	S	\$250,001 - \$500,000	<b>▽</b>
Fleetmatics Group PLC Ordinary Shares (FLTX)	JT	05/12/2014	P	\$1,001 - \$15,000	
Flowserve Corporation (FLS)	JT	10/17/2014	P	\$1,001 - \$15,000	
Global Partnership SIF 5.0 3%  LOCATION: US  DESCRIPTION: Promissory Note	JT	10/31/2014	P	\$250,001 - \$500,000	
Global Partnerships Microfinance Fund 2008  Location: US  Description: Promissory Note	SP	10/31/2014	S	\$100,001 - \$250,000	П
Global Partnerships SIF 5.0 3% 03/25/2023  LOCATION: US  DESCRIPTION: Limited Recourse Note	SP	11/28/2014	P	\$100,001 - \$250,000	
GOOSE CREEK CONSL 2% GO DUE 02/15/40	JT	02/4/2014	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
GRRTS LTD ⇒ Washington D.C. Residence Location: Washington, DC, US	JT	08/18/2015	P	\$1,000,001 - \$5,000,000	
Hannon Armstrong Sustainable Infrastructure Capital, Inc. (HASI)	JT	05/12/2014	P	\$15,001 - \$50,000	
HARBOR INTERNATIONAL	JT	01/17/2014	P	\$1,000,001 - \$5,000,000	
HUNTINGTON CAPITAL FUND III  DESCRIPTION: Offers subordinated small loans to underserved com	JT panies.	12/29/2014	P	\$100,001 - \$250,000	
Hyflux Ltd (6320058)  Description: Foreign Exchange Position. Ticker HYF SP	JT	05/14/2014	P	\$1,001 - \$15,000	
Hyflux Ltd (6320058)  Description: Foreign Exchange Position	JT	09/22/2014	S	\$1,001 - \$15,000	
IDAHO ST UNIV 4% DUE 04/01/15	JT	12/3/2014	S	\$100,001 - \$250,000	
Johnson Controls, Inc. (JCI)	JT	05/12/2014	P	\$15,001 - \$50,000	
Kingspan Grp PLC (4491235)  DESCRIPTION: Foreign Exchange Position. Ticker KSP ID	JT	05/13/2014	P	\$15,001 - \$50,000	
LIGHTHOUSE GLOBAL LONG SHORT  DESCRIPTION: Fund of long-short managed accounts	JT	03/4/2014	P	\$1,000,001 - \$5,000,000	
LKQ Corporation (LKQ)	JT	05/12/2014	P	\$15,001 - \$50,000	
Lumenpulse Inc (CDA)  DESCRIPTION: Foreign Exchange Position. Ticker LMP CN	JT	05/13/2014	P	\$1,001 - \$15,000	
LumenPulse Inc (CDA)  Description: Foreign Exchange Position	JT	09/17/2014	P	\$1,001 - \$15,000	
MATTHEWS ASIA DIVIDEND FUND	JT	03/20/2014	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MATTHEWS ASIA DIVIDEND FUND	JT	06/19/2014	P	\$1,001 - \$15,000	
MATTHEWS ASIA DIVIDEND FUND	JT	09/18/2014	P	\$1,001 - \$15,000	
Meyer Burger (B5NCoDo)  DESCRIPTION: Foreign Exchange Position. Ticker MBTN SW	JT	05/13/2014	P	\$15,001 - \$50,000	
MiX Telematics Limited American Depositary Shares, each representing 25 Ordinary Shares (MIXT)	JT	05/12/2014	P	\$15,001 - \$50,000	
Mobileye N.V. Ordinary Shares (MBLY)	JT	10/17/2014	P	\$1,001 - \$15,000	
MONSANTO COMPANY 1.15% DUE 06/30/2017  DESCRIPTION: NA	JT	12/5/2014	S	\$100,001 - \$250,000	П
MORGAN STANLEY SR NT 4.75% DUE 03/22/17  DESCRIPTION: Corporate Bond	JT	10/22/2014	P	\$250,001 - \$500,000	
MYR Group, Inc. (MYRG)	JT	05/12/2014	P	\$15,001 - \$50,000	
NASDAQ OMX GRP 5.25% DUE 01/16/18  Description: Corporate Bond	JT	10/22/2014	P	\$250,001 - \$500,000	
NDAKOTA HSG FIN A 1.3% Due 07/01/18	JT	05/14/2014	P	\$250,001 - \$500,000	
Nuverra Environmental Solutions, Inc. (NES)	JT	05/12/2014	P	\$15,001 - \$50,000	
Nuverra Environmental Solutions, Inc. (NES)	JT	10/10/2014	S	\$15,001 - \$50,000	П
ORLANDO & ORANGE CNTY EXPWY AUTH FLA 5% DUE 07/01/25	JT	05/12/2014	P	\$500,001 - \$1,000,000	
osram Licht AG Namens-Aktien (OSRN DE)  DESCRIPTION: Foreign Exchange Position. Ticker OSRN DE	JT	08/11/2014	P	\$15,001 - \$50,000	
Ozner Water (BN7Q3G8)  Description: Foreign Exchange Position	JT	09/22/2014	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PACIFIC WEST LAND REAL ESTATE INCOME  DESCRIPTION: Opportunistic real-estate strategy with an income for	JT cus	05/8/2014	P	\$100,001 - \$250,000	
PARAMETRIC TAX MGD EMERG MKTS	DC	04/21/2014	P	\$50,001 - \$100,000	
PARAMETRIC TAX MGD EMRG MKTS	DC	12/29/2014	P	\$1,001 - \$15,000	
PARAMETRIC TAX MGD EMRG MKTS INST	JT	12/30/2014	P	\$15,001 - \$50,000	
PORT OF SEATTLE 4% DUE 06/01/17	JT	10/21/2014	S	\$500,001 - \$1,000,000	<b>▽</b>
PSI AG (5522714)  DESCRIPTION: Foreign Exchange Position. Ticker PSAN GR	JT	05/13/2014	P	\$15,001 - \$50,000	
PUT SPDR S&P 500 ETF EXP 01/17/15  LOCATION: US  DESCRIPTION: Put option on SPY, expiration 01/17/2015	JT	11/14/2014	P	\$15,001 - \$50,000	
Raven Industries, Inc. (RAVN)	JT	05/12/2014	P	\$15,001 - \$50,000	
Raven Industries, Inc. (RAVN)	JT	12/1/2014	P	\$1,001 - \$15,000	
REDWOOD-KAIROS REAL ESTATE VALUE FUND IV  DESCRIPTION: Diversified real estate private investment fund	JT	11/28/2014	P	\$100,001 - \$250,000	
REGENTS UNIV IDA 5.25%	JT	04/1/2014	S (partial)	\$1,001 - \$15,000	П
RYDEX NOVA INV	SP	01/3/2014	S	\$250,001 - \$500,000	<b>✓</b>
SGS SA-REG (4824778)  DESCRIPTION: Foreign Exchange Position. Ticker SGSN VX	JT	05/13/2014	P	\$15,001 - \$50,000	
Silver Spring Networks, Inc. (SSNI)	JT	05/12/2014	P	\$1,001 - \$15,000	
Silver Spring Networks, Inc. (SSNI)	JT	08/11/2014	S	\$1,001 - \$15,000	П

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Solazyme, Inc. (SZYM)	JT	05/12/2014	P	\$1,001 - \$15,000	
Solazyme, Inc. (SZYM)	JT	11/6/2014	S	\$1,001 - \$15,000	
Southwestern Energy Company (SWN)	JT	05/12/2014	P	\$15,001 - \$50,000	
SPARTAN INTL INDEX FD FID ADVTG	JT	04/29/2014	S	\$100,001 - \$250,000	✓
SPDR S&P 500 (SPY)  Description: SPY Option purchase	JT	11/14/2014	P	\$15,001 - \$50,000	
STATE OF WASH 3.25% DUE 01/01/14	JT	01/1/2014	S	\$50,001 - \$100,000	П
SunEdison, Inc. (SUNE)	JT	05/12/2014	P	\$15,001 - \$50,000	
SunEdison, Inc. (SUNE)	JT	07/16/2014	S	\$15,001 - \$50,000	<b>~</b>
SunEdison, Inc. (SUNE)	JT	10/17/2014	P	\$1,001 - \$15,000	
SunPower Corporation (SPWR)	JT	05/12/2014	P	\$50,001 - \$100,000	
SunPower Corporation (SPWR)	JT	05/20/2014	S (partial)	\$15,001 - \$50,000	П
SunPower Corporation (SPWR)	JT	10/9/2014	P	\$1,001 - \$15,000	
SVC CTR RELOCATION 4.25%	JT	03/1/2014	S	\$100,001 - \$250,000	П
Syngenta AG (SYT)	JT	05/12/2014	P	\$15,001 - \$50,000	
Syngenta AG (SYT)	JT	10/17/2014	S	\$15,001 - \$50,000	
TCG PRIVATE SELECT PARTNERS, L.P.  LOCATION: US DESCRIPTION: Diversified private investment fund of funds	JT	08/27/2014	P	\$250,001 - \$500,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TCG PRIVATE SELECT PARTNERS, L.P.  Description: Diversified private investment fund of funds	JT	09/26/2014	P	\$250,001 - \$500,000	
TCG PRIVATE SELECT PARTNERS, L.P.  Description: Diversified private investment fund of funds	JT	12/1/2014	P	\$250,001 - \$500,000	
TEXAS PFA 5% TAX DUE 01/01/18	JT	12/3/2014	S	\$250,001 - \$500,000	П
Toray Ind Inc (6897143)  DESCRIPTION: Foreign Exchange Position. Ticker 3402 JP	JT	05/13/2014	P	\$15,001 - \$50,000	
TRILINC GLOBAL IMPACT FUND  DESCRIPTION: Dollar-denominated emerging markets and trade firm	JT	03/4/2014 ng strategy.	P	\$500,001 - \$1,000,000	
U COLORADO REGENTS 5% DUE 06/01/22	JT	10/8/2014	S	\$250,001 - \$500,000	<u></u>
UNIV TEXAS SYSTEM 5% DUE 08/15/21	JT	09/17/2014	S	\$250,001 - \$500,000	<b>▽</b>
US TREASURY NOTE 1.5% DUE 07/31/16	JT	11/13/2014	P	\$250,001 - \$500,000	
US TREASURY NOTE 2% DUE 07/31/20	JT	12/3/2014	P	\$500,001 - \$1,000,000	
VA PUB BLDG AUTH 5% DUE 08/01/15	JT	10/22/2014	S	\$100,001 - \$250,000	П
Valmont Industries, Inc. (VMI)	JT	05/22/2014	S	\$15,001 - \$50,000	<b>▽</b>
Valmont Industries, Inc. (VMI)	JT	05/12/2014	P	\$15,001 - \$50,000	
VANGUARD FTSE AW INDEX EX-US	SP	02/14/2014	S	\$500,001 - \$1,000,000	<b>▽</b>
VANGUARD INST INDEX PLUS	SP	02/14/2014	S	\$5,000,001 - \$25,000,000	<b>▽</b>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
WASHINGTON ST AND LOCAL AGENCY R/E PPTY COPS 4.000% DUE 01/01/18	JT	05/27/2014	P	\$15,001 - \$50,000	
Wasion Group Hldgs Ltd (BoT4J94)  Description: Foreign Exchange Position. Ticker 3393 HK	JT	05/13/2014	P	\$15,001 - \$50,000	
Watts Water Technologies, Inc. Class A (WTS)	JT	06/18/2014	P	\$15,001 - \$50,000	
WELLS FARGO & CO 4.6% DUE 04/01/21  DESCRIPTION: Corporate Bond	JT	12/24/2014	P	\$250,001 - \$500,000	
WisdomTree Europe Hedged Equity Fund (HEDJ)	JT	12/29/2014	P	\$250,001 - \$500,000	
Xylem Inc. Common Stock New (XYL)	JT	10/10/2014	P	\$15,001 - \$50,000	
Zumtobel AG (B13WZ26)  DESCRIPTION: Foreign Exchange Position. Ticker ZAG AV	JT	05/13/2014	P	\$15,001 - \$50,000	
Zumtobel Group AG (B13WZ26)  Description: Foreign Exchange Position	JT	10/17/2014	S (partial)	\$1,001 - \$15,000	П
Zumtobel Group AG (B13WZ26)  Description: Foreign Exchange Position	JT	10/20/2014	S (partial)	\$1,001 - \$15,000	
Zumtobel Group AG (B13WZ26)  Description: Foreign Exchange Position	JT	10/21/2014	S	\$1,001 - \$15,000	П

<sup>\*</sup> Asset class details available at the bottom of this form.

# SCHEDULE C: EARNED INCOME

Source	Туре	Amount
Madrona Venture Group	Spouse Salary	N/A

# SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: Positions
None disclosed.
Schedule F: Agreements
None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### SCHEDULE A AND B ASSET CLASS DETAILS

• GRRTS LTD (Owner: JT)
DESCRIPTION: Formerly reported as DBR Real Property

#### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO**: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

C Yes No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

© Yes © No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ○ No

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Suzan K. DelBene, 05/15/2015