



Filing ID #10029966

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Frank Pallotta
Status: Congressional Candidate
State/District: NJ05

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2019
Filing Date: 11/16/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
3M Company (MMM) [ST]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Altria Group, Inc. (MO) [ST]	JT	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
American Express Company (AXP) [ST]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Annaly Capital Management Inc (NLY) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Apple Inc. (AAPL) [ST]	JT	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
AT&T Inc. (T) [ST]	JT	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$2,501 - \$5,000
Cheniere Energy Partners, LP Cheniere Energy Partners, LP Common Units (CQP) [HE]	JT	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Citizens Financial Group, Inc. (CFG) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Coca-Cola Company (KO) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Corning Incorporated (GLW) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Exxon Mobil Corporation (XOM) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
General Mills, Inc. (GIS) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Intel Corporation (INTC) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
iShares Healthcare Prov [EF]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
iShares MSCI [EF]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
iShares MSCI Emerging Index Fund (EEM) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
iShares Russell 1000 ETF (IWB) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
iShares Russell 1000 Value ETF (IWD) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
iShares Russell Mid-Cap ETF (IWR) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
John Hancock Life Insurance Policy [WU]	JT	\$250,001 - \$500,000	None		
Johnson & Johnson (JNJ) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
JP Morgan Chase & Co. (JPM) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
LVG Ownership [OL]	JT	\$100,001 - \$250,000	None		
LOCATION: Rumson, NJ, US DESCRIPTION: Partnership Interest					
Microsoft Corporation (MSFT) [ST]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Money Market Accts/Bank Accounts [BA]	JT	\$100,001 - \$250,000	None		
DESCRIPTION: Various Money Market Accounts					
Newell Brands Inc. (NWL) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
NextEra Energy Partners, LP Common Units representing limited partner interests (NEP) [HE]	JT	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Nike, Inc. (NKE) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Pfizer, Inc. (PFE) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Philip Morris International Inc (PM) [ST]	JT	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
Primary Residence [RP]	JT	\$1,000,001 - \$5,000,000	None		
LOCATION: Mahwah, NJ, US					
Procter & Gamble Company (PG) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Progressive Corporation (PGR) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Progressive Corporation (PGR) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Russell 1000 [EF]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Russell 2000 [EF]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Sports and other Memorabilia/Collectibles [CO]	JT	\$100,001 - \$250,000	None		
TCG BDC, Inc. (CGBD) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Uber Technologies, Inc. (UBER) [ST]	JT	\$15,001 - \$50,000	None		
United Parcel Service, Inc. (UPS) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Vanguard FTSE Emerging Markets ETF (VWO) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Vanguard Short-Term Bond ETF (BSV) [EF]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Vanguard Total Bond Market ETF (BND) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Verizon Communications Inc. (VZ) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Walmart Inc. (WMT) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Yum China Holdings, Inc. (YUMC) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Paradigm Quest	Consulting Fees	\$120,000.00	\$120,000.00

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Moore & Roche	Legal Services	\$30,000.00	\$30,000.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Federal National Mortgage Association	1993	Home Mortgage	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Frank Pallotta , 11/16/2019