|     | Yes No X                                  | ý.                        | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | sets, "unearned" inco                            | - 1  | Exemptions-                 | ŀ     |
|-----|---|---------------------------|--|--|--|-----------------------------|-------|
|     | Yes No                                    |                           | e on Ethics and certain other "excepted trusts" need not be<br>t benefiting you, your spouse, or dependent child?  | wed by the Committed<br>details of such a trust  | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse   | Trusts-                     |       |
|     | Yes No 🗸                                  |                           | Public Offering?   | d as a part of an Initia                         | Did you purchase any shares that were allocated as a part of an Initial Public Offering?   | IPO                         |       |
|     | UESTIONS                                  | SE QI                     | TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS   |  | EXCLUSION OF SPOUSE, DEPENDENT, OR   | IPO and EXCL                | =     |
|     | tne appropriate                           | י מחמינו<br>י             | schedule attached for each "Yes" response.   | ies<br>K   | If yes, complete and attach Schedule V.  | l                           |       |
|     |   |                           |  | 3  | Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?   | V. (more than \$10.00       | _     |
|     | [   |                           | If yes, complete and attach Schedule IX.   | (  | period?<br>If yes, complete and attach Schedule IV.  | period?<br>If yes, complete | i     |
|     | Yes No 🗸                                  | 1 outside                 | Did you have any reportable agreement or arrangement with an outside IX. entity?   | Yes 🗸 No 🗍 🏻 I                                   | Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting  | IV. reportable asset i      |       |
|     | €<br>  <b>\</b>                           |                           | If yes, complete and attach Schedule VIII.   | Tes 🗸 No   | more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.  | 1                           |       |
|     | <b>K</b>                                  | filing in the             | Did you hold any reportable positions on or before the date of filing in the VIII.   | ]<br>[   | Did you, your spouse, or a dependent child receive "uneamed" income of more than \$200 in the reporting period or hold any reportable asset worth  | Did you, your spo           | _     |
|     | !<br>!<br>: [                             |                           | from one source)? If yes, complete and attach Schedule VII.  | {  | If yes, complete and attach Schedule II.   | If yes, complete            | 1     |
|     | Yes V No                                  | ible travel of than \$350 | Did you, your spouse, or a dependent child receive any reportable travel or VII. reimbursements for travel in the reporting period (worth more than \$350  | Yes No 🗸   | Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?  | II. you for a speech,       | _     |
|     |   |                           | If yes, complete and attach Schedule VI.   |  | If yes, complete and attach Schedule I.  | If yes, complete            | 1     |
|     | Yes No 🔇                                  | uble gift in<br>otherwise | Did you, your spouse, or a dependent child receive any reportable gift in VI. the reporting period (i.e., aggregating more than \$350 and not otherwise exemnt)?   | Yes   No K                                       | Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?   | Did you or your s           | _     |
|     |   |                           | JESTIONS   | OF THESE QUESTIONS                               | PRELIMINARY INFORMATION - ANSWER EACH OF   | PRELIMINAR                  | I 70. |
|     | more than 30 days late.                   | more late.                | Termination Date:<br>on  | ☐ Termination                                    | Annual (May 15)  | Report<br>Type              |       |
|     | A \$200 penalty shall be assessed against | A \$20<br>be as           | Officer Or Employing Office: Employee  |  | Member of the U.S. State: WV House of Representatives District: 3rd  | Filer Status                | -     |
| 14/ | (Office Use Only)                         | (O                        | (Daytime Telephone)  |  | (Full Name)  |                             |       |
| M   | 2013 AUG -9 FILIZ: 12                     | <del>ت</del><br>3         | 20   |  | Nick Joe Rahall, II  |                             |       |
| ë   | DELIVERED                                 |                           | FORM A Page 1 of 8 For use by Members, officers, and employees   | TATIVES  | UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT   | UNITED ST                   |       |
|     | HAND                                      | 4                         |  |  |  |                             | 1     |
|     |   |                           | manne pristo. Doublisto V des taxa o majabijdan niratan anna denemano a materian i titiriya. Esti volt si Vitit dio oo man liisi   | an majerty — — — — — — — — — — — — — — — — — — — | t to a man and a summer month depends a trade two demonstrated with the control of the section o |                             |       |

| SCHED     |
|-----------|
| ULE III - |
| ASSETS.   |
| AND "U    |
| NEARNE    |
| D" INCOM  |
| m         |

SP optional column on the far left. (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest Exclude: Your personal residence, including second homes and vacation homes For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic each asset held in the account that exceeds the reporting thresholds. For all IRAs and other retirement plans (such as 401(k) plans) provide the value for Provide complete names of stocks and mutual funds (do not use ticker symbols.) For a detalled discussion of Schedule III requirements, please refer to the spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the If you so choose, you may indicate that an asset or income source is that of your Savings Plan. in, or income derived from, a federal retirement program, including the Thrift location in Block A. a description, e.g., "rental property," and a city and state. For rental or other real property held for investment, provide a complete address or value exceeding \$1,000 at the end of the reporting period, and (b) any other identify (a) each asset held for investment or production of income with a fair market Instruction booklet. reportable asset or sources of income which generated more than \$200 in "unearned income during the year. Asset and/or Income Source Wachovia Bank NA Checking Hayne, NC Marathon Avenue, 10 Acres Real Estate - 3533 Attachment #6 Unitrust Interest) - See Remainder Unit Trust (5% Nick Rahall Charitable Sampson Co., Honeycutts Attachment #11. Wells Fargo Advisors IRA -Township, Salemburg, NC 76.57, .82 & 2.2 Acre Tracts **BLOCK A** Castle Ш \$15,000 \$500,000 \$250,001 -\$15,001 -\$1,001 -\$500,000 \$250,001 -\$500,000 \$250,001 -\$50,000 held solely by your spouse or dependent child. Indicate value of asset at \* This column is for assets value should be "None." generated income, the included only because it the reporting year and is If an asset was sold during specify the method used. market value, please method other than fair you use a valuation close of reporting year. If Value of Asset Year-End **BLOCK B** Name Nick Joe Rahall, If Other: Trust Other: None GAINS REST/CAPITAL DIVIDENDS/INTE column. Dividends, Interest, (such as 401(k) plans or IRAs) specific investments or that do not allow you to choose Check all columns that apply NTEREST nvestment during the reporting period. the asset generated no income as income. Check "None" if reinvested, must be disclosed and capital gains, even if you may check the "None" generate tax-deferred income For retirement accounts that Type of Income BLOCK C generated by assets held solely by your spouse or dependent child. NONE \$1 - \$200 \$15,001 - \$50,000 \$201 - \$1,000 generated. " if no income was earned or gains, even if reinvested, must be disclosed as income. Check "None NONE Dividends, interest, and capital the appropriate box below. category of income by checking For all other assets, indicate the "Tax-Deferred" in Block C, you For assets for which you checked \* This column is for income may check the "None" column Amount of Income BLOCK D #13 and #14 ses under Attachment \$1,000 Sales/purcha exceeding \$1,000 in reporting year. exchariges (E) sales (S), or had purchases (P), indicate if asset Transaction BLOCKE Page 2 of 8

| SCHEDULE III |
|--------------|
| - ASSETS AND |
| "UNEARNED"   |
| INCOME       |

Property - 2 week peak season Hilton Head SC Timeshare and #2. Account - See Attachment #1 Wells Fargo Advisors Account (\*\*\*487). See See Attachment #4. 5.38% Interest SNI Attachment #3. Morgan Stanley Access #2 Morgan Stanley IRA Account Rental, NY Partnership - Real Estate Development Company Partnership - Real Estate Heights Apartments 2.80 % Interest Cambridge Estate Rental FL, MO, SC MN Partnerships) Stanley Spectrum Limited Rental, MS IRA - See Attachment #5 Wells Fargo Advisors SEP account were 3 Morgan Limited Partnership - Real .006449% Interest SB Partners (\*\*\*916) (Major holdings of the Morgan Stanley Account \$100,001 -\$250,000 \$50,000 2012. \$15,000 \$1,001 -\$1,000,000 closed May 15, 2012 \$15,001 \$1 - \$1,000 \$1 - \$1,000 closed June \$500,001 -None - Account \$1 - \$1,000 None - Acct Name Nick Joe Rahall, II Other: RENT Other: Other: REST/CAPITAL GAINS REST/CAPITAL REST/CAPITAL **DIVIDENDS/INTE** Partnership Partnership Partnership **REST/CAPITAL** GAINS DIVIDENDS/INTE **DIVIDENDS/INTE** GAINS GAINS **DIVIDENDS/INTE** GAINS/Partnershi INTEREST/CAPI NONE NONE NONE \$15,001 - \$50,000 \$1 - \$200 \$201 - \$1,000 \$201 - \$1,000 \$5,001 - \$15,000 \$5,001 - \$15,000 Attachment #10 Attachment #8 费 #12 Attachment Attachment Attachment Page 3 of 8

| SCHEDULE III - ASSETS AND "UNEARNED" INCOME |  |
|---|--|
| Name Nick Joe Rahall, II                    |  |

EGR Industries, Inc. Investment Bldg & Land ,
Beckley, WV - 1/2 with brother
(building tenants are Co-Mac
and AT&T)

\$250,001 - RI \$500,000

RENT

\$5,001 - \$15,000

Page 4 of 8

## SCHEDULE IV - TRANSACTIONS

Name Nick Joe Rahall, II Page 5 of 8

between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for

\* This column is for assets solely held by your spouse or dependent child. is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

| SP,<br>DC,<br>JT | Asset  | Type of Transaction | Capital<br>Gain in<br>Excess<br>of \$200? | Date         | Amount of Transaction   |
|------------------|--|---------------------|---|--------------|-------------------------|
|                  | Wells Fargo Advisors NJR Charitable Remainder Unit Trust - See Attachment #14    | Р                   | A/N                                       | See Attached | \$500,000 - \$1,000,000 |
|                  | Wells Fargo Advisors NJR Charitable<br>Remainder Unit Trust - See Attachment #13 | S                   | Yes                                       | See Attached | \$500,001 - \$1,000,000 |
| ,                | Morgan Stanley Account ***916 - See<br>Attachment #12                            | S                   | Yes                                       | See Attached | \$50,001 - \$100,000    |
|                  | Wells Fargo Advisors SEP IRA - See<br>Attachment #10                             | S                   | Yes                                       | See Attached | \$50,001 - \$100,000    |
|                  | Wells Fargo Advisors SEP IRA - See<br>Attachment #10                             | Р                   | N/A                                       | See Attached | \$15,001 - \$50,000     |
| -                | Morgan Stanley IRA - See Attachment #9   | ס                   | N/A                                       | See Attached | \$1,001 - \$15,000      |
|                  | Morgan Stanley Account ***487 - See<br>Attachment #8                             | S                   | Yes                                       | See Attached | \$50,001 - \$100,000    |
|                  | Wells Fargo Advisors Account - See<br>Attachment #7                              | P<br>·              | N/A                                       | See Attached | \$1,001 - \$15,000      |
|                  | Wells Fargo Advisors Account - See<br>Attachment #7                              | S                   | Yes                                       | See Attached | \$50,001 - \$100,000    |

## SCHEDULE V - LIABILITIES

Name Nick Joe Rahall, il

Page 6 of 8

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: for liabilities held solely by your spouse or dependent child. liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. \*This column is Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances;

| 42,54 | Creditor Citi Cards Chase Home Finance LLC, Columbus, OH | Date Liability Incurred December 2012 June 2001 |     |   |
|-------|--|---|-----|---|
|       | Chase Home Finance LLC, Columbus, OH                     | June 2001                                       | > < | fortgage on 3533 Marathon<br>venue, Castle Hayne, NC  |
|       | American Express   | December<br>2012                                |     | Credit Charges  |
|       | Chase #2130  | December<br>2012                                |     | Credit Charges  |
|       | Discover   | December<br>2012                                | C   | Credit Charges  |
|       | Morgan Stanley, Ft. Worth, TX                            | January<br>2007                                 |     | Home Equity Loan on personal residence at Beckley, WV |
|       | GMAC Mortgage, Waterloo, IA                              | October<br>2006                                 |     | Mortgage on Washington, DC personal residence         |

# SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Nick Joe Rahall, II

spouse or dependent child that is totally independent of his or her relationship to you. the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you,

| None                          | ~   | ~ | ~                 | DC - Sao Paulo, Brazil - DC                        | 03/30 -<br>04/05 | Aspen Institute Congressional Program  |
|-------------------------------|---|---|-------------------|--|------------------|--|
|                               |   |   |                   |  |                  | Association; Maryland Ready Mix Concrete Association; Maryland Asphalt Association |
| None                          | Z   | ~ | ~                 | DC - Cambridge, MD - DC                            | 06/24 -<br>06/25 | Maryland Transportation Builders and materials                                     |
| Days not at sponsor's expense | Was a Family Food? Member Included? (Y/N) (Y/N) |   | Lodging?<br>(Y/N) | Point of Departure—<br>Destination—Point of Return | Date(s)          | Source   |

## SCHEDULE VIII - POSITIONS

Name Nick Joe Rahali, II

Page 8 of 8

honorary nature; and positions listed on Schedule I. representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor,

| Position                                   | Name of Organization   |
|--|--|
| Vice President & Secretary (Uncompensated) | EGR Industries, Inc.   |
| Member (Uncompensated)                     | Professional Advisory Board of ALSAC/St. Jude's Children's Research Hospital |
| Member (Uncompensated)                     | American Task Force for Lebanon Board of Directors                           |
| Partner (Uncompensated)                    | Atlantic Equine Services, LLC (Family Partnership)                           |
|  | , management   |

SNAPSHOT

DECEMBER 1 - DECEMBER 31, 2012

NICK JOE RAHALL II



#### Progress summary

| Closing value | Opening value Cash deposited Tourities deposited Jeh withdrawn Securities withdrawn Income earned Change in value |
|---------------|---|
| \$773,964,03  | THIS PERIOD<br>\$777,140.50<br>33,000.00<br>0.00<br>-20,000.00<br>0.00<br>2,486.03<br>-13,002.50                  |
| \$778.964.00  | THIS YEAR<br>\$742,388.3.<br>51,485.0<br>0.00<br>-85,236.0<br>11,253.1<br>59,131.65                               |

Portfolio summary

CURRENT

Cash and away balances

Stocks, options & ETFs

Saprage

\$777,140,50

100%

\$778,964.03

\$10,242

259,420.00 444,690.00 73,030.50 0.00

57.23 9.45 20.86

274,906,03 431,185.00 72,873.00 0.00

0.35.35 0.36 0.36

27 10,815

ASSET TYPE

VALUE ON NOV 30

×

CURRENT VALUE ON DEC 31

ESTIMATED ANN. INCOME

|   | 8 | <b>B</b> ≒8 | 3888           | 3 <b>k</b> : | <b>X</b> |                 |
|---|---|-------------|----------------|--------------|----------|-----------------|
| 9   | 0 | 278.000     | <b>38</b> ,000 | 854,000      | 872,000  | Value over time |
| 72  |   |             | <del> </del>   | 17           | $\Box$   | <u> </u>        |
| 02/12<br>2  |   |             |                |              |          | ਛੋ              |
| 03/12   |   |             |                |              |          |                 |
| 9<br>512  |   |             |                |              |          |                 |
| 85.   |   |             |                |              |          |                 |
| 06512   |   |             |                |              |          |                 |
| 972   |   |             |                |              |          |                 |
| 200   |   |             |                |              |          |                 |
| 2   |   |             |                |              |          |                 |
| 3   |   |             |                |              |          |                 |
| סוח מבח מבח משום משום משום משום משום משום משום משום |   |             |                |              |          |                 |

| 3.0  | 718,000<br>718,000 | <b>9</b> |
|--|--------------------|----------|
| 3  |                    |          |
| 8  |                    |          |
| 09/12  |                    |          |
| 0<br>5<br>2  |                    |          |
| 85.<br>12  |                    |          |
| 08712  |                    |          |
| 9/12   |                    |          |
| 08/12  |                    |          |
| 09<br>72   |                    |          |
| 0  <br>01/12 02/12 03/12 04/12 05/12 05/12 07/12 05/12 06/12 10/12 11/12 12/12 |                    |          |
| 11/12  |                    |          |
| 12/12  |                    | 1        |

Attachment 1 - p. 1

DECEMBER 1 - DECEMBER 31, 2012

#### Additional information

Exempt accrued interest on sales

0.00 SERIE

1788 YEAR 358.67

Gross proceeds

#### 77455 PEPRODO 0.00

#### . ortfolio detail

## Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

| Total Cash and Sweep Balances | BANK DEPOSIT SWEEP Interest Period 12/01/12 - 12/31/12 | Cash   | DESCRIPTION            |
|-------------------------------|--|--------|------------------------|
| u.z                           | 35.16  | 0.13   | ACCOUNT                |
| :                             | 0.01   | 0.00   | AGRICAL PERCENTAGE     |
| \$274,908.03                  | 273,912.28   | 983.75 | CURRENT<br>NAMED VALUE |
| 6£ ZZ\$                       | 27.39  | 0.00   | ESTMATED ANNUAL BROOME |
|                               |  |        |                        |

<sup>\*</sup> APYE messures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 355 day year.

#### Stocks, options & ETFs

#### ocks and ETFs

| WALMART STORES INC WANT Amplified Offisies Life Amplified 10725/08 Life Amplified 10725/08 Life Amplified 11/05/08 Life Amplified 02/12/10 Life Amplified 02/12/10 Life | NCDONALDS CORP<br>NCD<br>Augured 08/2284 Line | AMBREN RYC AMBN Aequired 01/01/01 L no | DESCRIPTION                         |
|---|---|--|-------------------------------------|
|   | 1.<br>22                                      | 213                                    | ACCOUNT<br>NO.8                     |
| 200<br>120<br>100<br>100  | 1.000   | 2,000                                  | QUANTITY                            |
| 50.45<br>50.45<br>52.74   | 13.1 <del>8</del>                             | 2<br>2                                 | OF PROCES                           |
| 2,852.08<br>7,887.50<br>10,054.80<br>5,313.60   | 13,352.58                                     | 127,880.00                             | 1300 COST/<br>ORGO COST/            |
|   | 88.2100                                       | 86.2000                                | CURRENT                             |
| 139,871,50<br>10,234,50<br>13,646,00<br>6,823,00  | 88,210.00                                     | 172,400.00                             | CURRENT<br>MARKET VALUE             |
| 137,219,44<br>2,567,00<br>3,591,40<br>1,508,40  | 74,857.42                                     | 44,520.00                              | UNINGALIZZED<br>GANNUCISS           |
|   | 3,080.00                                      | 3,780.00                               | SETHANTED<br>AMPLAL A<br>PACCEME YE |
|   | 3.49  | 2.18                                   | AGGANT<br>WILED                     |



#### NICK JOE RAHALL II

DECEMBER 1 - DECEMBER 31, 2012

1

#### Stocks and ETF's continued Stocks, options & ETFs

|  |         |                    |                         |                           |          |              |                         | det.sa           | VIEC                    |
|--|---------|--------------------|-------------------------|---------------------------|----------|--------------|-------------------------|------------------|-------------------------|
| DESCRIPTION  | ACCOUNT | QUARTITY           | ADJ PRICE               | ABJ COSTI                 | CUMPRENT | CLANGENT I   | UNREALIZED<br>GANNILOSS | HOSPITAL ABERTAL | (sc) gradu.<br>Terresor |
| Total  | 21.90   | 2,500              |                         | \$25,667,76               |          | \$170,575.00 | \$144,887.24            | \$3,973.00       | 2.33                    |
| otal Stocks and ETFs   |         |                    |                         | \$106,920.34              |          | \$431,185.00 | \$264,264.66            | \$10,815.00      | 2.51                    |
| Total Stocks, options & ETFs   |         | i<br>:             | į                       | \$166,920.34              |          | \$431,185.00 | \$254,284.66            | \$10,815.00      | 2.51                    |
| no Cost information for this tax let in not covered by ING reporting requirements. Unlarge indicated, cost for all other has will be rescaled to the ING |         | erting requirement | . Univers indicated, co | A for all other last sale |          | N.           |                         |                  |                         |

#### Fixed income Securities

Corporate and municipal bends and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

#### Municipal Bonds

| 1                             |                    |           |                   |                            |         |                  |                         |                     | ESTRUCTED |                           |
|-------------------------------|--------------------|-----------|-------------------|----------------------------|---------|------------------|-------------------------|---------------------|-----------|---------------------------|
| NOTERIORS                     | ACCOUNT<br>ACCOUNT | YITTHALLO | ACLIFACE!         | A0J COST/<br>ORGS COST     | CURPARY | CLANSONT CONTROL | UNITERALIZED GANALOSIS  | NTEXEST<br>INTEXEST | MCCM.     | (sc) crissive<br>recrease |
| HUNTINGTON CHARLESTON WY      |                    |           |                   |                            |         |                  |                         |                     |           |                           |
| SH WITG BEA OTHER SK          |                    |           |                   |                            |         |                  |                         |                     |           |                           |
| OTO CENSEA. SEP NR            |                    | •         |                   |                            |         |                  |                         |                     |           |                           |
| rioquired @1/15/86 L no       |                    | 50,000    | 79.83             | 39,918.05                  | •       | 48,582.00        | 8,003,95                |                     |           |                           |
| Acquired 05/20/86 Line        |                    | 25,000    | :58<br><b>188</b> |                            |         | 24,291.00        | 4,300.25                |                     |           |                           |
| Total                         | 9.36               | 75,000    |                   | \$48,300,30<br>\$23,382,38 | 97.1940 | \$72,873.00      | \$72,873,00 \$13,063.20 | NA                  | AIN       | AW                        |
| Total Municipal Bonds         | 34.6               | 75,000    |                   | SETTACTES<br>SETTACTOR     |         | \$72,873.00      | \$72,873.00 \$13,083.20 |                     |           |                           |
| Total Fixed Income Securities | 35.6               |           | ,                 | SCTSK'LCS                  |         | \$72,873.00      | \$72,873.00 \$13,063.20 |                     |           |                           |

ion for this tax let it not constraid by IRSS reporting rat ed, east for all other late will be reported to the INS.

MEN THE MEN COS COS COS TO-134543 TO-153628.1.4

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NICK JOE RAHALL II

#### Realized Gain/Loss

This information is provided for courtery purposed only. Each individual taxpayer should consult with a Tax Advisor as to any additional reporting requirements or adjustments. This information is not verified or guaranteed by finds clearing, i.e. to be accurate for each taxpayer's unique reporting requirements. The information, you should never assume this statement is accurate in Lieu of Completing Your tax return and consulting WITH YOUR TAX ADVISOR.

Federal tax reporting requirements will create differences between the information presented here and what appears on your Form 1099-B, including but not limited to following situations:

\* Options activity is not reportable on the Form 1099-B, but is included in a separate section of the Realized Gain/Loss Statement. Since options activity may, in some circumstances, be reportable on some circums, we have included that information below.

\* Cost basis for many fixed income tax lots has been amentized (for securities purchased at a premium) or accreted (for securities purchased at a discount), when possible, for applicable securities. For securities that were purchased at an Original issue Discount (OID), only those positions whose cost basis has been adjusted will reflect the impacts of the OID accruals on the editional cost basis. The original issue discount reported on your Form 1099-OID is not adjusted for market discount, acquiration premium or been premium. Therefore, the americans and accretion adjustments used on this statement may not be consistent with the Form 1099-OID amount because the reporting requirements on the Form 1099-OID arount because the reporting

The Original Price represents the unselfusted price of the security. The Original Price can be used to calculate the original unselfusted cost of the security.

ders or jaurnals w邪 not be reflected in the Realized Gain/Loss Statement, or on the Form 1099-B.

\* Long-term capital gains reported by a RIC or REIT appear on Form 1089-DIV only, as noted in the instructions for that form.

| Total - Realized Gain/Loss | Index eptions  | Unicown term   | Long term   | Short term | HEALIZED GAINLOBS SUMMAR  |
|----------------------------|----------------|----------------|-------------|------------|---------------------------|
|                            |                |                |             |            | •                         |
| \$0.00                     | Not applicable | Net applicable | ocres       | 00.00      | BASIS REPORTED TO THE IRS |
| \$22,783.43                | \$0.00         | \$5.00         | \$31,804.72 | \$1,178.71 | ONCOVERED SECURITIES      |
| \$12,781.43                | \$0.00         | 00.00          | \$31,804.72 | \$1,178.71 | TOTAL                     |

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**2012 ENHANCED 1099** 

NICK JOE RAHALL II

#### Realized Gain/Loss

| TOTAL LON   |           |           |           | CUSIP 86579Y101 | COMMINITY FACE NEW MOUNTAIN ST BIG BIE OF CPN 4-400% DUE 1201/04 DTD 94/15/04 PC 06/01/04 CALL 1201/12 @ 102.000 CUBP 75/1062AL4                  | Realize  |
|---|-----------|-----------|-----------|-----------------|---|--|
| TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES | Subtestal |           |           | 7101            | COLMEN UNIT FACE REV<br>MCKINTAIN ST BAC SHE CID<br>CPN 4.400% DUE 1201/14<br>DTD 8415/94 FC 06/01/94<br>CALL 126/1/2 @ 102.000<br>CUMP 751082AL4 | Realized Gain/Loss Detail LONG TERM GAINS OF LOSSES FOR NONCOVERED SECURITIES Check box B on Form 8949 Share Check box B on Form 8949 Check box B on Form 8949 |
| SES FOR NONCOVE   | 500.00000 | 200,00000 | 100,00000 | 200.00000       | 20,000,00000  | ail<br>FOR NONCOVERI   |
| RED SECURITIES  |           | 24.7800   | 24.7800   | 24.7800         | <b>93</b> ,0000   | ED SECURITIES  |
|   |           | 11/25/92  | 11/25/92  | 11/25/12        | 3675/10   | And Company  |
|   |           | 08/01/12  | 07/13/12  | 08/25/12        | 05/07/12  | O See  |
| 365,787.29  | 43,900.29 | 18,178,11 | 8,651.60  | 17,070.58       | 19,387.00   | Proceeds   |
| \$52,182.57   | 12,382.57 | 4,953.02  | 2,476.52  | 4,953.03        | 19,800.00   | Adjusted Cost/<br>Crafted Cost/  |
| \$31,804,72   | 31,517.72 | 13,225.09 | 6,175,08  | 12,117.55       | <b>87.00</b>  | Cain et Less Amount  |

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NICK JOE RAHALL II

#### Realized Gain/Loss

## Option Activity Gain/Loss Detail for Year

| 28.1              | 2,999,00                        | 3,265.84  | 04/27/12                    | 04/26/12   | 29.3900 | 1.00000 | P AAPL 051912 630                 |
|-------------------|---------------------------------|-----------|-----------------------------|--|---------|---------|-----------------------------------|
| 187.97            | 992.00                          | 1,179,97  | OWINI2                      | 04/19/12   | 9,4790  | 1,00000 | P AUPL 042112 830                 |
| -75.02            | 1.085.00                        | 1.019.98  | OWINI2                      | 04/17/12   | 10.5000 | 1,0000  | P AAPL 042112 608                 |
| 309.97            | 825.00                          | 1,134,97  | 04/11/12                    | 04/11/12   | 7,8000  | 1,00000 | F AAPL 041312 635                 |
| 294.97            | 786.00                          | 1,089.97  | 04/13/12                    | 94/1/1/2   | 7.5000  | 1.00000 | P AAPL 041312 430                 |
| 183.86            | 1,911,90                        | 1.204.98  | 03/07/12                    | \$2,07712  | 2,6400  | 1,00000 | P AAPL 030912 S40                 |
| in or Legs Amount | Adjusted Coast<br>Ordinal Coast | Prospects | Des of sale<br>of materials | Age Common Commo |         | 9       | Check box C on Form 8949 Describe |

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#### Tax Year 2012 1099 Consolidated Tax Statement x Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL 109 MAIN STREET BECKLEY WY 25801-4610

Plaza 2 2nd Floor Jersey City, NJ 07311 Identification Number: Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center

Account Number: 87

25-4310632

Customer Service: 866-324-6088

Attachment 3

|  |          |                | See instructions on the back.  |   |
|--|----------|----------------|--|---|
|  |          |                | "This may not be the correct figure to report on your income tax return.   | _ |
| WASH SALE LOSS DISALLOWED \$0.00   | ζη 🌶     |                |  |   |
|  | μ        |                |  |   |
| COVERED SECURITIES \$0.00  |          | \$0,00         | 7. ENVESTMENT EXPENSES   |   |
| \$72.13  |          | 8.8            |  |   |
| SALES PRICE OF STOCKS, BONDS, ETC  | 22       | 20.00          | A FRIDERAL INCOME TAX WITHHELD   |   |
|  | g        | \$5.50         | 2. OTHER PERIODIC INTEREST   |   |
| 2012 FORM 1089-8 - PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTION  | 3        | .00:00         | 1. ORIGINAL ISSUE DISCOUNT FOR 2012  |   |
| N LIEU OF DIVIDENDS OR INTEREST  | 90       |                | 1  |   |
| FRORRAL SUCCESS TAX WITHHELD   | <b>~</b> |                | IRS 2012 FORM 1099-OND - OFFICINAL ISSUE DISCOUNT  |   |
| OTHER INCOME   | 44       |                |  | I |
| ROYALTIES  | Ŋ        |                |  |   |
| RB476  | ;÷       | \$0.00         | 11. SPECIFIED PRIVATE ACTIVITY BOND INTEREST DIVIDENDS   |   |
| 1  |          | 800            | 10. EXEMPT-NTEREST DIVIDENDS   |   |
|  | Sã       | 808            | 9. NON-CASH LIQUIDATION DISTRIBUTIONS  | _ |
|  | 1        | 8              | 8. CASH LIQUIDATION DISTRIBUTIONS  |   |
| 8  | ភ        | \$0.00         | 6. FOREIGN TAX PAID  | - |
| TIVITY BOND INTEREST   | 'n       | 8              | 5. INVESTMENT EXPENSES   |   |
|  | œ        | <b>80.08</b>   | A FEDERAL INCOME TAX WITHHELD  |   |
| FOREIGN TAX PAID   | gn.      | \$0.00         | 3. NON-DIVIDEND DISTRIBUTIONS  |   |
|  | çn       | <b>\$</b> 0.00 | 2d. COLLECTIBLES (28%) GAIN  |   |
| -  | •        | \$0.00         | 2b. UNRECAP. SEC. 1250 GAN   |   |
| S BONDS AND TREASURY OBLIGATIONS   | çω       | \$0.00         | 28. TOTAL CAPITAL GAIN DISTRIBUTIONS   |   |
| AL PENALTY   | Ņ        | \$0.08         | 1b. OUALIFIED DIVIDENDS  |   |
| WIEREST NCOME  |          | \$11.78        | 1a. TOTAL ORDINARY DIVIDENDS   |   |
| S 2012 FORM 1090-RVT - INTEREST INCOME  XX OMS NO. 1545-0112   | Ž        |                | BOX OMB NO. 1945-0110  |   |
| 11   | 1        | Man enn n      | The second secon | ח |
| Personal and the 1000 Made and then there is the first the first the first fir | į        | キナず ぎく         | tion up precious eq Asia upitatisa latino de Alistana  |   |

#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

THE HONORABLE NICK JOE RAHALL 109 MAIN STREET BECKLEY WY 25801-4610

Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center

Plaza 2 2nd Floor

Jersey City, NJ 07311 Identification Number: 26-4310632

Account Number:

**4**87

p. 2

Customer Service: 866-324-5088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

|                              |                | -                | - Commonweal | Anna Change of (Assessed | and the same of th | ł              | Man Con Colon Sand La   | Charles and the colonial control of the control of |
|------------------------------|----------------|------------------|--------------|--------------------------|--|----------------|-------------------------|---|
|                              | OLANITY .      | ACCUMENTS.       | DATE OF      | SALES PROCE              | ADJUSTED COST<br>OR OTHER BASIS  | MASH SALE LOSS | GAIN(LOSS)              | FEDERAL BYCOME<br>TAX WITHHELD  |
| BERDEEN WIL EQ A             | 2              | 3445 OCTO18448   |              | (Box 16): GIGAX          | The second secon |                |                         |   |
|                              | 0.215          | 1761/20          | 01/06/12     | E, E                     | S S S S S S S S S S S S S S S S S S S  | octos          | 85.19                   | 88  |
|                              | 0.750          | 08/17/11         | 01/06/12     |                          | #C01\$   | 80.08          | 8                       | 8.8   |
|                              | 220            | 09191            | 01/01/12     | T to                     | 20.00  | \$0.00         | 8.8                     | 8.8   |
|                              | 510            | 12/20/11         | 01/09/12     |                          | ar is  | <b>100</b>     | 25                      | 8   |
| Security Subtracti           | 1.386          |                  |              | \$11.2                   | SILEZ  | 20-08<br>00-08 | 30.60                   | 20.00   |
| AMER CENT INFLATION ADJ 80 A | ន              | CUSIF: 025081821 | 35 Symbol    | (Bez 16): AIAVX          |  | -              | •                       |   |
|                              | 118277         | 10/1/11          | 01/09/12     | \$1,454,07               | \$1,450,65   | \$0.00         | i.                      | 8   |
|                              | 0.585          | 12/09/11         | 01/09/12     | \$7,48                   | \$7.52   | \$0.00         | (30.04)                 | 58  |
|                              | 0. <b>86</b> 7 | 120071           | 01/01/12     |                          |  | \$0.00         | 88                      | \$0.00  |
|                              | 22.20          | 1227/11          | 01/09/12     | 200                      |  | 88             | K                       | B   |
| Security Subsection          | 117.246        |                  |              | N. ARAM                  | COMPLE   | 90.00          | 21.24                   | 200   |
| VALLIO GITORYT HIGH INCOME V | 8              | CUBIP: DOISSE    | 78 Symbo     | (Dex 1d): BURNOX         |  |                |                         |   |
|                              | 200,518        | 1721780          | 01/00/12     | 11.384.11                | 81,505,12  |                | (Section)               | <b>56</b> ,00   |
|                              | 25.57          | 1145290          | 91/09/12     | # A 2 5                  |  |                | 15.50                   | \$  |
|                              | ķ              | 102011           | 01/04/12     | RCE15                    | \$13.47  |                | <b>26.4</b> .0 <b>8</b> | <b>8</b> 8  |
|                              |                | 11/29/11         | 91/03/12     | ST.                      | \$18.42  |                | <b>8</b> .13            | 88  |
|                              | 3.50           | 122011           | 01/04/12     | 25.25                    |  |                | 25.25                   | 8.8   |
|                              | F              | 12221            | 01/09/12     | ETT                      | STETS  | 8              | 21.08                   | B   |
|                              |                |                  |              | E LL CA                  | 27.77.18   |                |                         |   |

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Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

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109 MAIN STREET BECKLEY WV 25801-4610 THE HONORABLE NICK JOE RAHALL

> Plaza 2 2nd Floor Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center Identification Number: Jersey City, NJ 07311 26-4310632

Account Number:

Customer Service: 866-324-6088

or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty

# 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities 4 (Constant Box 84 (Noncovered Security) as being chedied for this section. These transactions should be reported on Form 8549 Part I with box 8 checked.) (Continued)

| DESCRIPTION (Bex 8)  | CUANTITY<br>(Bex 10) | DATE<br>ACQUIRED<br>(Box 15) | DATE OF<br>SALE<br>(Bux 1a) | SALES PRICE<br>(Bet 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--|----------------------|------------------------------|-----------------------------|-------------------------|--|-----------------------------------|-----------------------|-------------------------------------|
| BLACKROCK SMALL CAP GRW II A   | Ω                    | CUBIF: 05253J103             | 03 Symbol (                 | (Bex 1d): MDSWX         |  |                                   |                       | 1000                                |
|  | 28,688               | 01/19/11                     | 01/09/12                    | 20, LESS                | 16.66£\$                                   | \$0.00                            | (35 ag)               | 8                                   |
|  |                      | 07/27/71                     | 01/09/12                    | \$17.24                 | \$18.36                                    | \$0.00                            | (\$1.14)              | \$0.00                              |
|  | 9                    | 11/11/01                     | 21450/10                    |                         | 573.23                                     |                                   | 20118                 | 8                                   |
| The same of the same   | 30.0                 |                              |                             |                         | 242.52                                     |                                   | 2 2                   | 3                                   |
| CAMBIAN SWALL CAP INV  | Ω                    | CUSIP: 0075W0817             |                             | Symbol (Box 1d): CAMSX  |  |                                   |                       | 1                                   |
|  | 0.540                | 10/11/11                     | 01/09/12                    | 1                       | <b>98.59</b>                               | 20,00                             | 8                     | 8                                   |
|  | 0,50                 | 12/15/11                     | 01/09/12                    | 17.65                   | \$8.66                                     | 90.00                             | 5                     | 3 3                                 |
|  | 2                    | 1215/11                      | 01/09/12                    | **                      | 101  | <b>20.00</b>                      | 30.30                 | 8                                   |
| CONTRACTOR OF THE PROPERTY OF  |                      |                              |                             |                         | \$21.29                                    | Seles                             | 2                     | 3 (                                 |
| PROBLEM ANY CARRON A   | g                    | CUSE: 315610471              |                             | Symbol (Box 1d): FACK   | !  |                                   |                       |                                     |
|  | 3,50%                | 10/11/11                     | 01/09/12                    | \$185.61                | \$192.79                                   | \$0.00                            | S S                   | 8                                   |
|  | 0.191                | 11/20/21                     | 01/03/12                    | \$9.61                  | 59.60                                      | \$0.00                            | \$0.01                | \$0.00                              |
| Committee Continuent   |                      | 100011                       | ZLABOVIO                    | STORE                   | STORES                                     | 00.00                             | 808                   | 20.00                               |
| CARRIED STATE OF THE STATE OF T |                      |                              | ı                           | ST. N.                  | 25.125                                     | 30.00                             | BB                    | 8 8                                 |
| GARACTI ECOLI I INCOME   | g                    | CUSIP: 38CS91848             |                             | Symbol (Box 1d): GCIEX  |  |                                   |                       |                                     |
|  | 8323                 | 03/03/11                     | 01/09/12                    | \$1,430,00              | \$1,477.10                                 |                                   | (\$47.10)             |                                     |
|  | 0.744                | 27771                        | 01/09/12                    |                         | 23.13                                      |                                   | 30.10                 | 8                                   |
|  | 10,980               | LINGSAND                     | 01/01/12                    | \$857.82                | L6'YOSS                                    |                                   | 348.98                | 600                                 |
|  | 0,19                 | 04/2//11                     | 01/01/12                    | 22.03                   | #.38                                       |                                   | (SOL)                 | 2000                                |
|  | 9.1                  | 09/20/11                     | 01/01/12                    | 7.7                     | rr   | 00'06                             | (\$0.22)              | \$0.00                              |
|  |                      | 08/28/11                     | 21/00/10                    | i i                     | \$4.37                                     |                                   | (\$0.14)              | 90.08                               |
|  | 0.20                 | 11/12/10                     | ZIABOVEO                    | ¥4.27                   | 85.16                                      |                                   | (\$0.11)              | \$0.00                              |
|  |                      |                              |                             |                         |  |                                   | •                     | CONTINUED ON NEXT PAGE              |
|  |                      |                              |                             |                         |  |                                   |                       |                                     |

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## 1099 Consolidated Tax Statement Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL 109 MAIN STREET BECKLEY WY 25801-4610

> Morgan Stariley Smith Barney Holdings LLC Harborside Financial Center Plaza 2 2nd Floor Jersey City, NJ 07311 Identification Number: 26-4310632

Account Number:

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Customer Service: 866-324-6088

p. 4

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

OMB NO. 1545-0715

Short Term - Noncovered Securities \* (Carabar Box to (Nancovered Security) as being checked for this section. These transactions should be reported on Form 5949 Part I with box B checked.) (Continued)

|                              |                    | 3                     | 1                 |                          |                 |            |            |   |
|------------------------------|--------------------|-----------------------|-------------------|--------------------------|-----------------|------------|------------|---|
| DESCRIPTION (Bert 8)         | QUANTITY (Best 1e) | ACQUIRED<br>(Best 13) | SALE<br>(Ben 1a)  | SALES PRICE<br>(Best Sa) | Off OTHER BASIS | DISALLOWED | GAIN(LOSS) | CTRACTION XYL                           |
| GARELLI EQUITY INCOME!       | 277                | 11/62/80              | 01/00/12          | ***                      | Zrrs            | 90,08      |            | *************************************** |
|                              | 02                 | 0002011               | 01/04/12          | <b>17.18</b>             | gr              | 8.8        | 13         | # 8                                     |
|                              | 0.1 <b>9</b> 5     | 1027711               | 91/04/12          | 10.77                    | er              | \$0.00     |            |   |
|                              | 0.1 <b>83</b>      | 11/28/11              | 01/01/12          | rr                       | K to            | \$0.00     |            |   |
|                              | 212                | 12/20/11              | 01/ <b>09/</b> 12 | P                        | E E             |            |            |   |
| Security Subject             | 111.22             |                       | -                 | 17.27.09<br>(C. 182.02   | SE ASS 15       |            |            |   |
| GATEWAY FUND A               | C                  | CERTAL SELECTOR       | 207 Symbol        | (Box 14): GATEX          |                 |            |            |   |
|                              | 0.218              | 03/24/11              | 01/00/12          | 5.2                      |                 |            | 20.00      | 80.08                                   |
|                              | 0.230              | 26.257.1              | 01/09/12          | <b>3</b> .11             |                 |            | \$0.07     | 80.00                                   |
|                              | 0243               | 03/22/11              | 01/09/12          | S &                      |                 |            | 85.08      | 8                                       |
|                              | B                  | 12/20/11              | 01/09/12          | 1025                     |                 | 20.02      | 20 CE      | 8                                       |
| Secrety Subtrail             | 2120               |                       |                   | 17.00                    |                 |            | \$0.52     | 20.00                                   |
| GOLDMAN SACHS ABSLT RET TRCX | 0                  | CUSHE SELECTED        | 220 Symbol (Bax ) | ş                        |                 |            |            |   |
|                              | 0.434              | 12/07/11              | 01/00/12          | r s                      |                 | \$0.00     | (\$0.03)   | \$0.00                                  |
|                              | 5                  | 12/07/11              | 01/08/12          | 2313                     | OF THE          | 20.02      |            |   |
| Security Substitution        | 2013               |                       |                   | STEAS                    |                 | \$0.00     | (30,12)    |   |
| GOLDMAN SACHS GRW OPPORT I   | O                  | CHART SELECTION       | 601 Symbol        | (Box 14): 0000X          |                 |            |            |   |
|                              | 17.385             | 97.5                  | 01/08/12          | \$3 <b>9</b> 7.45        | \$427.78        |            | (35.853)   | <b>5</b> .8                             |
|                              | 2.328              | 1971/71               | 01/09/12          | 75 T                     | \$50,97         |            | 2.6        | 8                                       |
|                              | 0.110              | 1207/11               | 91/04/12          | 27                       | 9-75<br>9-75    |            | 00.0\$     | 8                                       |
|                              | 123                | 12/07/11              | 01/06/12          | R Care                   | 1014            | <b>8</b>   | 21.5       | 8                                       |
| Security Systems             | 12024              |                       |                   | 2000                     | 15 15S          |            | (17,000)   | 20.00                                   |

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## 1099 Consolidated Tax Statement Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL 109 MAIN STREET BECKLEY WV 25801-4610

> Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center Plaza 2 2nd Floor Jersey City, NJ 07311

Account Number:

Identification Number:

26-4310632

Customer Service: 856-324-6088

3 - p. 5

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# 1099-B PROCEEDS FROM BROKER AND BAHTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities 4 (Consider Bar Ba (Noncovered Security) as being checked for this section. These ransactions should be reported on Form 2949 Part I with box B checked.) (Continued)

| DESCRIPTION (Bex 8)           | QUANTITY<br>(Bex 1e) | DATE<br>ACCUMBED<br>(Bex 1b) | DATTE OF  | SALES PRICE<br>(Bex 2a)                 | ADJUSTED COST WOOD OF OTHER BASIS | WASH SALE LOSS DISALLOWED (Box 5) | GAIN(LOSS)       | FEDERAL INCOME TAX WITHHELD (Box 4) |
|-------------------------------|----------------------|------------------------------|-----------|---|-----------------------------------|-----------------------------------|------------------|-------------------------------------|
| GOLDMAN SACHS STRATEGIC INC I | C                    | CUSIP: 38148C846             | - 1       | Symbol (Box 16): GSZIX                  |                                   |                                   |                  |                                     |
|                               | 316.745              | 03/03/11                     | 01/08/12  | \$3,021.74                              | \$3,208.43                        | \$0.00                            | (\$186.69)       |                                     |
|                               | 0.916                | 03/31/11                     | 01/00/12  | \$8.74                                  | \$5.19                            | 90.00                             | 8.6              |                                     |
|                               | i de                 | 2,782,70                     | 01/09/12  | \$10.08                                 | \$10.85                           | 90.00                             | (\$0.59)         |                                     |
|                               | 1,161                | 05/31/11                     | 01/04/12  | \$11.08                                 | \$11.72                           | 90.00                             | 15 E             |                                     |
|                               | ij                   | 110030                       | 01/04/12  | \$1204                                  | \$12.56                           | \$0.00                            | (10 kg)          |                                     |
|                               | 1,473                | 07/29/11                     | 01/00/12  | 87.8                                    | \$14.74                           | 80.00                             | (\$5.0%)         |                                     |
|                               | 1,312                | 08/31/11                     | 01/00/12  | \$12.52                                 | \$12.83                           | \$0.00                            | (16.05)          |                                     |
|                               | 1,378                | 08/30/11                     | 01/09/12  | . \$13.15                               | \$13.15                           | 20.00                             | 8.8              | 50.00                               |
|                               | C.ES                 | 104151                       | 9/09/12   | \$2.19                                  | \$2.38<br>\$2.38                  | 80.00<br>80.00                    | ( <b>35</b> .10) | ,                                   |
|                               | 0.141                | 11/00/11                     | 01/04/12  | <b>#</b> #                              | *:3                               | 80.00<br>80.00                    | \$0.01           |                                     |
|                               | 25                   | 1200/11                      | 01/04/12  | arets<br>arets                          | OF SIS                            | 2000                              | of the           |                                     |
| TATAL LINE                    | 13. X7               |                              |           | Batta                                   | STATES                            | 20 CG                             | (\$186.25)       |                                     |
| HATTERAS ALPHA HEDGED STRAT   | Ω                    | CUSIF: 41802V401             |           | Symbol (Box 14): ALPHX                  |                                   |                                   | :                |                                     |
|                               | 0.104                | 12/22/11                     | 01/04/12  | \$1.10                                  | \$1.09                            | \$0.00                            | \$0.01           | \$0.00                              |
| ING GLOBAL REAL ESTATE FOI    | Ω                    | USIP: 448800                 | 302 Symbo | CUSIP: 44880C302 Symbol (Box 14): KSLIX |                                   |                                   |                  |                                     |
|                               | 0.286                | 1230/11                      | 01/09/12  | Ers                                     | \$4.29                            | 90.00                             | 00.0\$           | \$0.00                              |
| IO ALPHA HEDGE STRAT INST     | 0                    | CUSIP: 454078200             | 1         | Symbol (Box 14): ICHIX                  |                                   |                                   |                  |                                     |
|                               | 13.130               | 04/20/11                     | 01/00/12  | \$132.22                                | \$137.87                          | 90.00                             | (35.65)          | \$5.00                              |
|                               | 1.487                | 12/30/11                     | 01/08/12  | \$14,97                                 | \$14.87                           | \$0.00                            | \$0.10           | 90.00                               |
|                               | 145                  | 12/30/11                     | 01/09/12  | 27.5                                    | 200                               | 800                               | S0.25            | 20.00                               |
| Specify Subjects!             | 19.591               |                              |           | 11,2815                                 | 197815                            | 858                               | (35,38)          | 50.00                               |

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#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

BECKLEY WV 25801-4610 THE HONORABLE NICK JOE RAHALL 109 MAIN STREET

> Plaza 2 2nd Floor Jersey City, NJ 07311 Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center Identification Number: 28-4310832

Account Number: Ŝ

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**Customer Service: 866-324-6088** 

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other senction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

OMB NO. 1545-0715

Short Term - Noncovered Securities \* (Consider Box to (Nencovered Security) as being checked for this section. These transactions should be reported on Form 2949 Part I wish box 8 checked.) (Continued)

| DESCRIPTION (Box 8) ISHARES BARCLAYS AGG. 80 PD | COUAMITTY (Box 1s) | DATE DATE DACED (Dec 1b) (Dec 1b) CUSP: 49437228 OWYSTI 1971/11 | DATE OF SALE (Bex 1a) 25 Symbol Olivaria olivaria olivaria olivaria | SALES PRICE<br>(Best 20)<br>(Com 10): AGG<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>SEASON<br>S | ADJUSTED COST<br>OR OTHER BASIS<br>(Bex 3)<br>\$2,633.78<br>\$1,555.73 | MASH SALE LOSS (Bex 5) (Bex 5) | GAIN(LOSS) AMOUNT  9.18 S14.25 | FEDERAL INCOME TAX WITHHELD SOLO SOLO SOLO SOLO SOLO SOLO SOLO SO |
|---|--------------------|---|---|--|--|--------------------------------|--------------------------------|---|
| ISHARES MSCI ASIA EX-JAPAN                      | C                  | CUSE: 464368182   | 1   | Symbol (Bex 14): AAXL  |  |                                |                                |   |
|   | 5.000              | 01/19/11  | 01/04/12  | <b>17807</b>   | \$383.52   | \$0.00                         | (\$79.81)                      |   |
|   | T DE               | 10/11/11  | 01/08/12  | 20.00  |  | 30.00                          | 21.15                          |   |
| Security States                                 | 7,000              |   |   | 27.23  | \$472.W  | 50.00                          | (STATES)                       |   |
| JUNARES MICHAUST PROEX FUND                     | n                  | CUSIP: 46435103   |   | Symbol (Bex 1d): ENA   |  |                                |                                |   |
| ,   | 7.000              | 01/19/11  | 01/00/12  | \$152.27   | \$178.11   | . 50.00                        | (\$23.80)                      |   |
| ISHARES RUBSELL MOCAP INDX FD                   | C                  | CUSIF: 464257489  |   | Symbol (Bez 14): IVAR  |  |                                |                                | •   |
|   | 3.000              | 01/19/11  | 01/00/12  | \$300,77   | \$310.92   | \$0.00                         | (\$10.15)                      |   |
| OF XEOM DOOK TRESTAL SELVINS                    | 0                  | CUSIP: 484287865  |   | Symbol (Box 14): WM  |  |                                |                                |   |
|   | 4.000              | 01/19/11  | 01/08/12  | \$300.36   | \$318.51   | \$0.00                         | (\$16.16)                      |   |
| ISHAPIES SEP 500 GRWTH BUDEX                    | C                  | CUSP: 454257308   | 1   | Symbol (Box 14): IVW   |  |                                |                                |   |
|   | 4,500              | 01/19/11  | 01/09/12  | LE LES   | <b>5267.75</b>   | \$0.00                         | 27.23                          |   |
|   | 12.000             | 1,1,02,70   | 01/00/12  |  | SCORES.  | <b>60.00</b>                   | CALOUED                        |   |
| Secretary Substitute                            | 16.686             |   |   | 21.44.10   | \$1,000.00   | \$0.00                         | (E. M.)                        |   |
| XECHN BUTYA 009 495 SELEVASI                    | C                  | CUSSP: 44-037-408   | OS Symbol   | ( Xed)   |  |                                |                                |   |
|   | 5.000              | 01/19/11  | 01/08/12  | *****  | \$305.45   | \$0.00                         | (10.6%)                        |   |
|   | 14.000             | 04/20/11  | 01/09/12  | <b>30000</b>   | C'MES.   | 20,03                          | (57.85X)                       |   |
| Search Subtrial                                 | 19.000             |   |   | 21.13  | 1132   | 3                              |                                |   |

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IMPORTANT TAX IMPORMATION - PLEASE RETAIN FOR YOUR RECORDS

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#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

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THE HONORABLE NICK JOE RAHALL 109 MAIN STREET BECKLEY WV 25801-4610

Plaza 2 2nd Floor Jersey City, NJ 07311 Morgan Stanley Smith Barney Holdings LLC Harbonside Financial Center Identification Number: 26-4310632

Account Number:

Customer Service: 856-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

# 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

OMB NO. 1545-0715

Short Term - Noncovered Securities 4 (Consider Box & (Nencovered Security) as being discined for this section. These transactions should be reported on Form 8945 Part I with box 8 checked.) (Centinued)

| DESCRIPTION (Bex 8)            | QUANTITY<br>(Best 10) | DATE<br>ACCURRED<br>(Bux 1b) | DATE OF<br>SALE<br>(Box 1s) | SALES PRICE<br>(Bex 2s)                 | ADJUSTED COST<br>OR OTHER BASIS<br>(Bex 3) | WASH SALE LOSS DISALLOWED (Bax 5) | GAIN(LOSS)<br>AMOUNT  | FEDERAL BICOME<br>TAX WITHMELD<br>(Box 4) |
|--------------------------------|-----------------------|------------------------------|-----------------------------|---|--|-----------------------------------|-----------------------|---|
| NY LIMITED TERM BOND!          | Ω                     | 1187: 488001401              |                             | (Box 1c): LTX                           |  |                                   |                       |   |
|                                | 151,563               | 00/15/11                     | 01/01/12                    | \$1,586,78                              | \$1,082.80                                 |                                   | (36.07)               | \$0.00                                    |
|                                | 0.061                 | 09/27/11                     | 01/00/12                    | 89°05                                   |  |                                   | \$0.00                | \$0.00                                    |
|                                | 308.052               | 10/11/11                     | 01/00/12                    | 57.000.75<br>57.000.75                  | \$3,427.38                                 | \$0.00                            | \$12.37               | \$0.00                                    |
|                                | 2                     | 1230/11                      | 01/09/12                    |   |  |                                   | 10,02                 | <b>35.0</b>                               |
| Security Subjects              | 451.088               |                              |                             | 26.131.20                               |  |                                   | 3631                  | 90.00                                     |
| JP MORGAN HIBRG DYN COMM STR A | Ω                     | 应P: 48121A                   | 396 Symbo                   | CUSIP: 45121A696 Symbol (Box 14): HDSAX |  |                                   |                       |   |
|                                | 3.757                 | 10/11/11                     | 01/09/12                    | Grysk                                   |  |                                   | (\$5.52)              | \$0.00                                    |
|                                | 0.238                 | 12/15/11                     | 01/01/12                    | # # # # # # # # # # # # # # # # # # #   |  |                                   | (\$6.13)              | . \$6.00                                  |
|                                | 3.116                 | 12/22/11                     | 91/00/12                    | 1111                                    |  |                                   | <b>\$</b> 0,13        | 20.00                                     |
|                                | 213                   | 12/22/11                     | 01/00/12                    | 253                                     | E C  | 00.08                             | 89                    | <b>35.5</b> 0                             |
| Secretary Secretar             | 7275                  |                              |                             | ET EUS                                  |  |                                   | (35,52)               | 2.3                                       |
| JP MORGAN MID CAP VALUE A      | Ω                     | CUSTP: 339128308             | OS Symbo                    | Symbel (Box 1d): JAMCX                  |  |                                   |                       |   |
|                                | 18.802                | 10/11/11                     | 01/09/12                    | #F0#05                                  |  |                                   | roces                 | \$3.00                                    |
|                                | e in                  | 12/20/11                     | 01/00/12                    | 134                                     | 22   | 20.00                             | <b>30.03</b>          | 84  |
| Security Systems               | 16,716                |                              |                             | 2017                                    |  |                                   | 12 13                 | 20.00                                     |
| JP MORGAN STRAT INC OPPORT A   | Ω                     | CUBIP: 481244345             | MS Symbo                    | Symbol (Box 14): JSOAX                  |  | :                                 |                       |   |
|                                | 264,567               | 11/20/20                     | 01/00/12                    | \$3,037.50                              | \$3,220.12                                 |                                   | (\$182,62)            | \$5,00                                    |
|                                | 0.710                 | 171620                       | 01/00/12                    | 12 CS                                   | \$8.49                                     | 90.00                             | (\$0.4 <del>6</del> ) | \$0.00                                    |

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#### Tax Year 2012 1099 Consolidated Tax Statement Vear 2012 Copy B For Recipient

BECKLEY WV 25801-4610 109 MAIN STREET THE HONORABLE NICK JOE RAHALL

> Plaza 2 2nd Floor Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center Jersey City, NJ 07311 Identification Number: 26-4310632

Account Number:

487

**Customer Service: 866-324-6088** 

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

OMB NO. 1545-0715

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.) (Continued)

|                        |          |            |          |            | METROPOLITAN WST TOT RET BD M |          | MATTHEWS ASIA GROWTH INV | Security Subtotal |          |          |          |            |          |            | LEGG MASON WA CORE PLUS BD I | Security Subtotal |          |          |          |          |          |          | JP MORGAN STRAT INC OPPORT A | DESCRIPTION (Box 8)                        |
|------------------------|----------|------------|----------|------------|-------------------------------|----------|--------------------------|-------------------|----------|----------|----------|------------|----------|------------|------------------------------|-------------------|----------|----------|----------|----------|----------|----------|------------------------------|--|
|                        | 1.191    | 115.606    | 0.320    | 215.625    | SC.                           | 0.299    | 5                        | 316.026           | 0.621    | 0.882    | 0.805    | 108.704    | 0.286    | 204.728    | 2                            | 279.875           | 1.158    | 0.325    | 3.781    | 0.846    | 0.726    | 0.603    | 0.618                        | QUANTITY<br>(Box 1e)                       |
|                        | 10/31/11 | 10/11/11   | 09/30/11 | 09/15/11   | CUSIP: 592905103              | 12/08/11 | CUSIP: 577130867         |                   | 12/30/11 | 11/30/11 | 10/31/11 | 10/11/11   | 09/30/11 | 09/15/11   | CUSIP: 957663503             |                   | 12/30/11 | 12/15/11 | 12/15/11 | 11/30/11 | 10/31/11 | 09/30/11 | 08/31/11                     | DATE<br>ACQUIRED<br>(Box 1b)               |
|                        | 01/09/12 | 01/09/12   | 01/09/12 | 01/09/12   |                               | 01/09/12 |                          |                   | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12   | 01/09/12 | 01/09/12   |                              |                   | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12                     | DATE OF<br>SALE<br>(Box 1a)                |
|                        | \$12.37  | \$1,201.15 | \$3.32   | \$2,240.34 | Symbol (Box 1d): MWTRX        | \$4.59   | Symbol (Box 1d): MPACX   | \$3,511.05        | \$6.90   | \$9.80   | \$8.94   | \$1,207.70 | \$3.18   | \$2,274.53 | Symbol (Box 1d): WACPX       | \$3,165.39        | \$13.09  | \$3.68   | \$42.76  | \$9.57   | \$8.21   | \$6.82   | \$6.99                       | SALES PRICE<br>(Box 2a)                    |
|                        | \$12.47  | \$1,198.83 | \$3.35   | \$2,264.06 | ^                             | \$4.62   |                          | \$3,487.54        | \$6.90   | \$9.71   | \$8.96   | \$1,192.47 | \$3.15   | \$2,266.35 |                              | \$3,350,41        | \$13.10  | \$3.67   | \$42.73  | \$9.67   | \$8.40   | \$6.81   | \$7.15                       | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) |
|                        | \$0.00   | \$0.00     | \$0.00   | \$0.00     |                               | \$0.00   |                          | \$0.00            | \$0.00   | \$0.00   | \$0.00   | \$0.00     | \$0.00   | \$0.00     |                              | \$0.00            | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00                       | WASH SALE LOSS DISALLOWED (Box 5)          |
| 0                      | (\$0.10) | \$2.32     | (\$0.03) | (\$23.72)  |                               | (\$0.03) |                          | \$23.51           | \$0.00   | \$0.09   | (\$0.02) | \$15.23    | \$0.03   | \$8.18     |                              | (\$185.02)        | (\$0.01) | \$0.01   | \$0.03   | (\$0.10) | (\$0.19) | \$0.01   | (\$0.16)                     | GAIN/(LOSS)<br>AMOUNT                      |
| CONTINUED ON NEXT PAGE | \$0.00   | \$0.00     | \$0.00   | \$0.00     |                               | \$0.00   |                          | \$0.00            | \$0.00   | \$0.00   | \$0.00   | \$0.00     | \$0.00   | \$0.00     |                              | \$0.00            | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00                       | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4)  |

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

THE HONORABLE NICK JOE RAHALL 109 MAIN STREET BECKLEY WV 25801-4610

Plaza 2 2nd Floor Jersey City, NJ 07311 Harborside Financial Center Morgan Stanley Smith Barney Holdings LLC

Identification Number: 26-4310632

Account Number:

1487

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Customer Service: 866-324-6088

or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported This is important tax information and is being furnished to the internal Revenue Service. If you are required to file a return, a negligence penalty

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

|                        | Security Subtotal |          |          |          |          | THORNBURG INTL VALUE I | Security Subtotal |          |          |          |          |            | TEMPLETON GLOBAL BD FD ADV |          | OPPENHEIMER DEVELOPING MKTS A | Security Subtotal |                |          |          | METROPOLITAN WST TOT RET BD | DESCRIPTION (Box 8) (F                     |
|------------------------|-------------------|----------|----------|----------|----------|------------------------|-------------------|----------|----------|----------|----------|------------|----------------------------|----------|-------------------------------|-------------------|----------------|----------|----------|-----------------------------|--|
|                        | 0.407             | 0.026    | 0.135    | 0.195    | 0.051    | 5                      | 198,746           | 3.945    | 1.247    | 0.751    | 0.740    | 192.063    | 5                          | 2.299    | 5                             | 338,416           | 1.271          | 1.744    | 1.321    | 1.338                       | QUANTITY<br>(Box 1e)                       |
|                        |                   | 12/23/11 | 09/26/11 | 06/24/11 | 03/25/11 | CUSIP: 885215566       |                   | 12/15/11 | 12/15/11 | 11/15/11 | 10/17/11 | 09/15/11   | CUSIP: 880208400           | 12/09/11 | CUSIP: 683974109              |                   | 12/30/11       | 12/12/11 | 12/12/11 | 11/30/11                    | DATE<br>ACQUIRED<br>(Box 1b)               |
|                        |                   | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 |                        |                   | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12   |                            | 01/09/12 |                               |                   | 01/09/12       | 01/09/12 | 01/09/12 | 01/09/12                    | DATE OF<br>SALE<br>(Box 1a)                |
|                        | \$10.11           | \$0.64   | \$3.35   | \$4.85   | \$1.27   | Symbol (Box 1d): TGVIX | \$2,470.41        | \$49.04  | \$15.50  | \$9.33   | \$9.20   | \$2,387.34 | Symbol (Box 1d): TGBAX     | \$68.35  | Symbol (Box 1d): ODMAX        | \$3,516,14        | \$13.21        | \$18.12  | \$13.73  | \$13.90                     | SALES PRICE<br>(Box 2a)                    |
|                        | \$10.97           | \$0.64   | \$3.19   | \$5.65   | \$1.49   |                        | \$2,633,13        | \$48.37  | \$15.29  | \$9.62   | \$9.58   | \$2,550.27 |                            | \$68.80  |                               | \$3,537.47        | <u>\$13.18</u> | \$18.03  | \$13.66  | \$13.89                     | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) |
|                        |                   |          |          |          | \$0.00   |                        | \$0.00            | \$0.00   |          |          | \$0.00   | \$0.00     |                            | \$0.00   |                               | \$0.00            |                |          |          | \$0.00                      | WASH SALE LOSS DISALLOWED (Box 5)          |
|                        | (\$0.86)          | \$0.00   | \$0.16   | (\$0.80) | (\$0.22) |                        | (\$162.72)        | \$0.67   | \$0.21   | (\$0.29) | (\$0.38) | (\$162.93) |                            | (\$0.45) |                               | (\$21.33)         | \$0.03         | \$0.09   | \$0.07   | \$0.01                      | GAIN/(LOSS)<br>AMOUNT                      |
| CONTINUED ON NEXT PAGE | \$0.00            | \$0.00   | \$0.00   | \$0.00   | \$0.00   |                        | \$0.00            | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00     |                            | \$0.00   |                               | \$0,00            | \$0.00         | \$0.00   | \$0.00   | \$0.00                      | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4)  |

#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

BECKLEY WV 25801-4610 THE HONORABLE NICK JOE RAHALL 109 MAIN STREET

> Plaza 2 2nd Floor Jersey City, NJ 07311 Identification Number: Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center 26-4310632

Account Number:

487

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Customer Service: 866-324-6088

or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.) (Continued)

| Total Short Term Noncovered Securities |            | WISDOMTREE MGD FUTURES STRAT           | Security Subtotal |          |           |            | WELLS FARGO GROWTH A                    |          | VANGUARD MSCI EMERGING MARKETS | Security Subtotal |          |           | VAN ECK INT'L INV GOLD FD A             | DESCRIPTION (Box 8)                        |
|--|------------|--|-------------------|----------|-----------|------------|---|----------|--------------------------------|-------------------|----------|-----------|---|--|
| rities                                 | 93.000     | 5                                      | 98.254            | 1.475    | 23.370    | 73,409     | ်ဥ                                      | 4.000    |                                | 9.316             | 0.934    | 8.382     | CL                                      | QUANTITY<br>(Box 1e)                       |
|  | 12/15/11   | JSIP: 97717W                           |                   | 12/09/11 | 04/20/11  | 03/03/11   | JSIP: 949915                            | 10/11/11 | CUSIP: 922042858               |                   | 12/21/11 | 10/11/11  | JSIP: 921075                            | DATE<br>ACQUIRED<br>(Box 1b)               |
|  | 01/09/12   | /125 Symbol                            |                   | 01/09/12 | 01/09/12  | 01/09/12   | 672 Symbol                              | 01/09/12 | 858 Symbol                     |                   | 01/09/12 | 01/09/12  | 503 Symbol                              | DATE OF<br>SALE<br>(Box 1s)                |
| \$44,784.75                            | \$4,205.37 | CUSIP: 97717W125 Symbol (Box 1d): WDTI | \$3,408,43        | \$51.17  | \$810.70  | \$2,546.56 | CUSIP: 949915672 Symbol (Box 1d): SGRAX | \$155.80 | Symbol (Box 1d): VWO           | \$185.96          | \$18.66  | \$167.30  | CUSIP: 921075503 Symbol (Box 1d): INIVX | SALES PRICE<br>(Box 2a)                    |
| \$45,868.65                            | \$4,342.90 |  | \$3,491,43        | \$51.85  | \$860.72  | \$2,578.86 |   | \$152.91 |                                | \$201.42          | \$18.19  | \$183.23  |   | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) |
| \$0.00                                 | \$0.00     |  |                   | \$0.00   |           |            | :                                       | \$0.00   |                                |                   | \$0.00   |           |   | WASH SALE LOSS DISALLOWED (Box 5)          |
| (\$1,083.90)                           | (\$137.53) |  | (\$83.00)         | (89.0%)  | (\$50.02) | (\$32.30)  |   | \$2.69   |                                | (\$15.46)         | \$0.47   | (\$15.93) |   | GAIN/(LOSS)<br>AMOUNT                      |
| \$0.00                                 | \$0.00     |  | \$0.00            | \$0.00   | \$0.00    | \$0.00     |   | \$0.00   |                                | \$0.00            | \$0.00   | \$0.00    |   | FEDERAL INCOME TAX WITHHELD (Box 4)        |

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)

|                        |                   | ABERDEEN INTL EQ A                      | DESCRIPTION (Box 8)                  |
|------------------------|-------------------|---|--------------------------------------|
|                        | 22,890            | C                                       | QUANTITY<br>(Box 1e)                 |
|                        | 10/14/09 01/09/12 | USIP: 0030194                           | DATE<br>ACQUIRED<br>(Box 1b)         |
|                        | 01/09/12          | 445 Symbo                               | DATE OF<br>SALE<br>(Box 1a)          |
|                        | \$290.93          | CUSIP: 003019445 Symbol (Box 1d): GIGAX | SALES PRICE<br>(Box 2a)              |
|                        | \$273.99          |   | ADJUSTED COST OR OTHER BASIS (Box 3) |
|                        | \$0.00            |   | WASH SALE LOSS DISALLOWED (Box 5)    |
|                        | \$16.94           |   | GAIN/(LOSS)<br>AMOUNT                |
| CONTINUED ON NEXT PAGE | \$0.00            |   | FEDERAL INCOME TAX WITHHELD (Box 4)  |

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

BECKLEY WV 25801-4610 THE HONORABLE NICK JOE RAHALL 109 MAIN STREET

> Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center Plaza 2 2nd Floor Jersey City, NJ 07311

Account Number:

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dentification Number:

26-4310632

487

Customer Service: 866-324-6088

OMB NO. 1545-0715

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box 8 checked.)

|                       | GATEWAY FUND A         | Security Subtotal |          |          |          |          |          |          | FIDELITY ADV CANADA A  |          | CAMBIAR SMALL CAP INV                   |          | BLACKROCK SMALL CAP GRW II A | Security Subtotal |          |          |          |          |          |          | ABERDEEN INTL EQ A | DESCRIPTION (Box 8)               |
|-----------------------|------------------------|-------------------|----------|----------|----------|----------|----------|----------|------------------------|----------|---|----------|------------------------------|-------------------|----------|----------|----------|----------|----------|----------|--------------------|-----------------------------------|
| 0.365                 |                        | 25.157            | 800.0    | 0.360    | 0.276    | 16.918   | 0.254    | 7.341    | Ω                      | 22.591   | ō                                       | 24.789   | Ω                            | 27,558            | 0.270    | 0.620    | 0.266    | 1.828    | 1.217    | 0.141    | 0.326              | QUANTITY<br>(Box 1e)              |
| 09/26/08              | CUSIP: 367829207       |                   | 12/30/10 | 12/03/10 | 12/03/10 | 01/13/10 | 12/04/09 | 10/14/09 | CUSIP: 315910471       | 01/13/10 | USIP: 0075W0                            | 10/14/09 | CUSIP: 09253J103             |                   | 12/17/10 | 11/30/10 | 09/17/10 | 08/03/10 | 06/18/10 | 03/19/10 | 12/18/09           | DATE<br>ACQUIRED<br>(Box 1b)      |
| 01/09/12              | 2                      |                   | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 |                        | 01/09/12 | 817 Symbol                              | 01/09/12 |                              |                   | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12           | DATE OF<br>SALE<br>(Box 1a)       |
| \$2/1.60<br>\$9.70    | Symbol (Box 1d): GATEX | \$1,266.66        | \$0.40   | \$18.13  | \$13.90  | \$851.82 | \$12.79  | \$369.62 | Symbol (Box 1d): FACNX | \$387.93 | CUSIP: 0075W0817 Symbol (Box 1d): CAMSX | \$337.88 | Symbol (Box 1d): MDSWX       | \$350.25          | \$3,43   | \$7.88   | \$3.38   | \$23.23  | \$15.47  | \$1.79   | \$4.14             | SALES PRICE<br>(Box 2a)           |
| \$286.93<br>\$10.16   |                        | \$1,253.21        | \$0.47   | \$20.37  | \$15.61  | \$841.01 | \$12.08  | \$363.67 | :                      | \$307.24 |   | \$271.19 | ^                            | \$330.31          | \$3.59   | \$7.76   | \$3.23   | \$22.25  | \$13.89  | \$1.71   | \$3.89             | ADJUSTED COST OR OTHER BASIS      |
| \$0.00<br>\$0.00      | <b>?</b>               | \$0.00            | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   |                        | \$0.00   | -                                       | \$0.00   |                              | \$0.00            | \$0,00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00             | WASH SALE LOSS DISALLOWED (Box 5) |
| (\$15.33)<br>(\$0.46) |                        | \$13,45           | (\$0,07) | (\$2.24) | (\$1.71) | \$10.81  | \$0.71   | \$5.95   |                        | \$80.69  |   | \$66.69  |                              | \$19,94           | (\$0.16) | \$0.12   | \$0.15   | \$0.98   | \$1.58   | \$0.08   | \$0.25             | GAIN/(LOSS)<br>AMOUNT             |
| \$0.00<br>\$0.00      |                        | \$0.00            | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   |                        | \$0.00   |   | \$0.00   |                              | \$0.00            | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00             | TAX WITHHELD (Box 4)              |

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#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

BECKLEY WV 25801-4610 THE HONORABLE NICK JOE RAHALL 109 MAIN STREET

> Plaza 2 2nd Floor Harborside Financial Center Morgan Stanley Smith Barney Holdings LLC

Jersey City, NJ 07311

Identification Number:

26-4310632

Account Number:

Customer Service: 866-324-6088

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or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

OMB NO. 1545-0715

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.) (Continued)

| DESCRIPTION (Box 8)            | QUANTITY<br>(Box 1e) | ACQUIRED<br>(Box 1b) | SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | OR OTHER BASIS<br>(Box 3) | DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | TAX WITHHELD<br>(Box 4) |
|--------------------------------|----------------------|----------------------|------------------|-------------------------|---------------------------|-----------------------|-----------------------|-------------------------|
| GATEWAY FUND A                 | 0.176                | 12/31/08             | 01/09/12         | \$4.68                  | \$4.26                    | \$0.00                | \$0.42                | \$0.00                  |
|                                | 0.195                | 03/27/09             | 01/09/12         | \$5,18                  | \$4,41                    | \$0.00                | \$0.77                | \$0.00                  |
|                                | 31.977               | 06/04/09             | 01/09/12         | \$849.63                | \$758.81                  |                       | \$90.82               | \$0.00                  |
|                                | 0.304                | 06/26/09             | 01/09/12         | \$8.08                  | \$7.19                    |                       | \$0.89                | \$0.00                  |
|                                | 0.256                | 09/25/09             | 01/09/12         | \$6.80                  | \$6.28                    |                       | \$0.52                | \$0.00                  |
|                                | 2.240                | 10/14/09             | 01/09/12         | \$59.52                 | \$55,41                   |                       | \$4.11                | \$0.00                  |
|                                | 0.217                | 12/22/09             | 01/09/12         | \$5.77                  | \$5.48                    |                       | \$0.29                | \$0.00                  |
|                                | 0.182                | 03/25/10             | 01/09/12         | \$4.84                  | \$4.63                    |                       | \$0.21                | \$0.00                  |
|                                | 0.212                | 06/24/10             | 01/09/12         | \$5.63                  | \$5.20                    |                       | \$0.43                | \$0.00                  |
|                                | 1.026                | 08/03/10             | 01/09/12         | \$27.26                 | \$25.67                   |                       | \$1.59                | \$0.00                  |
|                                | 0.215                | 09/23/10             | 01/09/12         | \$5.71                  | \$5.44                    |                       | \$0.27                | \$0.00                  |
|                                | 0.945                | 11/30/10             | 01/09/12         | \$25.11                 | \$24.28                   |                       | \$0.83                | \$0.00                  |
|                                | 0.263                | 12/21/10             | 01/09/12         | 96.32                   | \$6.85                    |                       | \$0.14                | \$0.00                  |
| Security Subtotal              | 48.795               |                      |                  | \$1,296,50              | \$1,211.00                | \$0.00                | \$85.50               | \$0.00                  |
| GOLDMAN SACHS ABSLT RET TRCK I | Ω                    | CUSIP: 38145N220     |                  | Symbol (Box 1d): GJRTX  |                           |                       |                       |                         |
|                                | 63,126               | 10/14/09             | 01/09/12         | \$564.34                | \$579.66                  | \$0.00                | (\$15.32)             | \$0.00                  |
|                                | 0.075                | 12/08/09             | 01/09/12         | \$0.67                  | \$0.69                    | \$0.00                | (\$0.02)              | \$0.00                  |
|                                | 0.050                | 12/30/09             | 01/09/12         | \$0.45                  | \$0.46                    | \$0.00                | (\$0.01)              | \$0.00                  |
|                                | 66.467               | 01/13/10             | 01/09/12         | \$594.21                | \$613.70                  | \$0.00                | (\$19.49)             | \$0.00                  |
|                                | 4.476                | 08/03/10             | 01/09/12         | \$40.02                 | \$40.61                   | \$0.00                | (\$0.59)              | \$0.00                  |
|                                | 5.310                | 11/30/10             | 01/09/12         | \$47.47                 | \$48.87                   | \$0.00                | (\$1.40)              | \$0.00                  |
|                                | 0.755                | 12/08/10             | 01/09/12         | \$6.75                  | \$7.00                    | \$0.00                | (\$0.25)              | \$0.00                  |
|                                | 0.243                | 12/08/10             | 01/09/12         | \$2.17                  | \$2.26                    | \$0.08                | (80.08)               | \$0.00                  |
| Security Subtotal              | 140.502              |                      |                  | \$1,256.08              | \$1,293,25                | \$0.00                | (\$37.17)             | \$0.00                  |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

THE HONORABLE NICK JOE RAHALL 109 MAIN STREET BECKLEY WV 25801-4610

> Harborside Financial Center Jersey City, NJ 07311 Identification Number: Plaza 2 2nd Floor Morgan Stanley Smith Barney Holdings LLC 26-4310632

Account Number:

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Customer Service: 866-324-6088

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

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OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc

| LONG   ETTI - NONCOVERED SECUTITIES : (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box 8 checked.)  (Continued) | (Continued)          | (Consider Box 6a             | : (Noncovered Se            | cunty) as being check   | ed for this section. These           | transactions should be repo       | rted on Form 8949 Pa | art II with box B checked.)         |
|--|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------|-----------------------------------|----------------------|-------------------------------------|
| DESCRIPTION (Box 8)  | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS)          | FEDERAL INCOME TAX WITHHELD (Box 4) |
| GOLDMAN SACHS GRW OPPORT I   | CI                   | CUSIP: 38142Y401             | l                           | Symbol (Box 1d): GGOIX  |                                      |                                   |                      |                                     |
|  | 12.974               | 01/13/10                     | 01/09/12                    | \$292.17                | \$274.54                             | \$0.00                            | \$17.63              | \$0.00                              |
|  | 0.172                | 12/08/10                     | 01/09/12                    | \$3.87                  | <b>公</b> .15                         | \$0.00                            | (\$0.28)             | \$0.00                              |
| Security Subtotal  | 13,146               |                              |                             | \$296.04                | \$278.69                             | \$0.00                            | \$17.35              | \$0.00                              |
| HATTERAS ALPHA HEDGED STRAT  | CI                   | CUSIP: 41902V401             |                             | Symbol (Box 1d): ALPHX  |                                      |                                   |                      |                                     |
|  | 121,433              | 09/14/10                     | 01/09/12                    | \$1,279.90              | \$1,208.26                           | \$0.00                            | \$71.64              | -                                   |
|  | 1.495                | 11/30/10                     | 01/09/12                    | \$15.76                 | \$15.22                              | \$0.00                            | \$0.54               |                                     |
|  | 0.338                | 12/30/10                     | 01/09/12                    | \$3.56                  | \$3.53                               | \$0.00                            | \$0.03               | \$0,00                              |
| Security Subtotal  | 123.266              |                              |                             | \$1,299.22              | \$1,227.01                           | \$0.00                            | \$72,21              |                                     |
| ING GLOBAL REAL ESTATE FD I  | Ω                    | CUSIP: 44980Q302             |                             | Symbol (Box 1d): IGLIX  |                                      |                                   |                      |                                     |
|  | 47.922               | 01/13/10                     | 01/09/12                    | \$719.79                | \$707.31                             | \$0.00                            | \$12.48              | \$0.00                              |
|  | 0.936                | 04/01/10                     | 01/09/12                    | \$14,06                 | \$13.97                              | 30.08                             | <b>£0.0</b> \$       | \$0.00                              |
| Security Subtotal  | 48.858               |                              |                             | \$733.85                | \$721.28                             | \$0.00                            | \$12.57              | \$0.00                              |
| IQ ALPHA HEDGE STRAT INST  | Ω                    | CUSIP: 454076209             |                             | Symbol (Box 1d): IQHIX  |                                      |                                   |                      |                                     |
|  | 187.879              | 08/03/10                     | 01/09/12                    | \$1,891.94              | \$1,959.58                           | \$0.00                            | (\$67.64)            | \$0.00                              |
|  | 9.127                | 11/30/10                     | 01/09/12                    | \$91.91                 | \$96.02                              | \$0.00                            | (\$4.11)             | \$0.00                              |
|  | 0.041                | 12/29/10                     | 01/09/12                    | \$0.41                  | \$0.42                               | \$0.00                            | (\$0.01)             | \$0.00                              |
|  | 0.189                | 12/29/10                     | 01/09/12                    | \$1.90                  | \$1.96                               | \$0.00                            | (\$0.06)             | \$0.00                              |
|  | 4,255                | 12/29/10                     | 01/09/12                    | \$42.85                 | \$44.00                              | 80.00                             | (\$1.15)             | \$0.00                              |
| Security Subtotal  | 201.491              |                              |                             | \$2,029.01              | \$2,101.98                           | \$0.00                            | (\$72.97)            | \$0.00                              |
| ISHARES RUSSELL MIDCAP INDX FD   |                      | CUSIP: 464287499             |                             | Symbol (Box 1d): IWR    |                                      |                                   |                      |                                     |
|  | 1 000                | 10/14/09                     | 01/09/12                    | \$100.26                | \$80.20                              | \$0.00                            | \$20.06              | \$0.00                              |

#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

THE HONORABLE NICK JOE RAHALL 109 MAIN STREET BECKLEY WV 25801-4610

Plaza 2 2nd Floor Jersey City, NJ 07311 Identification Number: Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center

Account Number:

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26-4310632

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box 8 checked.)

OMB NO. 1545-0715

| (0                             | (Continued)          |                              |                             |                         |  |                                   |                       |                                     |
|--------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--|-----------------------------------|-----------------------|-------------------------------------|
| DESCRIPTION (Box 8)            | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
| ISHARES RUSSELL 2000 INDEX FD  | 0                    | CUSIP: 464287655             | i                           | Symbol (Box 1d): IWM    |  |                                   |                       |                                     |
|                                | 2.000                | 01/13/10                     | 01/09/12                    | \$150.18                | \$128.70                                   | \$0.00                            | \$21.48               | \$0.00                              |
| ISHARES S&P 500 GRWTH INDEX    | C                    | CUSIP: 464287309             |                             | Symbol (Box 1d): IVW    |  |                                   |                       |                                     |
|                                | 13.000               | 06/04/09                     | 01/09/12                    | \$888.14                | \$635.57                                   | \$0.00                            | \$252.57              | \$0.00                              |
|                                | 21.000               | 08/03/10                     | 01/09/12                    | \$1,434.69              | \$1,208.11                                 | \$0.00                            | \$226.58              | \$0.00                              |
| Security Subtotal              | 34,000               |                              |                             | \$2,322.83              | \$1,843.68                                 |                                   | \$479.15              | \$0.00                              |
| ISHARES S&P 500 VALUE INDEX    | Ω                    | CUSIP: 464287408             |                             | Symbol (Box 1d): IVE    |  |                                   |                       |                                     |
|                                | 20.000               | 06/04/09                     | 01/09/12                    | \$1,185.78              | \$894.60                                   | \$0.00                            | \$291.18              | \$0.00                              |
|                                | 1.000                | 11/30/10                     | 01/09/12                    | \$59.29                 | \$55.42                                    | \$0,00                            | \$3.87                | \$0.00                              |
| Security Subtotal              | 21.000               |                              |                             | \$1,245.07              | \$950.02                                   |                                   | \$295.05              | \$0.00                              |
| JP MORGAN HIBRG DYN COMM STR A |                      | CUSIP: 48121A696             |                             | Symbol (Box 1d): HDSAX  |  |                                   |                       |                                     |
|                                | 62.658               | 08/03/10                     | 01/09/12                    | \$1,073.96              | \$872.83                                   | \$0.00                            | \$201.13              | \$0.00                              |
|                                | 0.551                | 12/14/10                     | 01/09/12                    | \$9.44                  | \$10.23                                    | \$0.00                            | (\$0.79)              | \$0.00                              |
|                                | 1.135                | 12/14/10                     | 01/09/12                    | \$19,45                 | \$21.07                                    | \$0.00                            | (\$1.62)              | \$0.00                              |
| Security Subtotal              | 64,344               |                              |                             | \$1,102.85              | \$904.13                                   |                                   | \$198.72              | \$0.00                              |
| JP MORGAN HIBRG STAT MKT NEU A |                      | CUSIP: 4812A2454             |                             | Symbol (Box 1d): HSKAX  |  |                                   |                       |                                     |
|                                | 77.317               | 06/04/09                     | 01/09/12                    | \$1,143.52              | \$1,238.62                                 | \$0.00                            | (\$95.10)             | \$0.00                              |
|                                | 2.381                | 08/03/10                     | 01/09/12                    | \$35.21                 | \$36.43                                    | \$0.00                            | (\$1.22)              | \$0.00                              |
|                                | 6.544                | 11/30/10                     | 01/09/12                    | \$96.79                 | \$98.23                                    |                                   | (\$1.44)              | \$0.00                              |
| Security Subtotal              | 86.242               |                              |                             | \$1.275.52              |  |                                   | /207 70)              | ***                                 |

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#### Tax Year 2012 1099 Consolidated Tax Statement x Year 2012 Copy B For Recipient

BECKLEY WV 25801-4610 THE HONORABLE NICK JOE RAHALL 109 MAIN STREET

> Jersey City, NJ 07311 Plaza 2 2nd Floor Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center

Account Number:

Identification Number:

26-4310632

3

p. <sub>15</sub>

**Customer Service: 866-324-6088** 

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty

OMB NO. 1545-0715

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

| DESCRIPTION (Box 8)            | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a)                 | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|--------------------------------|----------------------|------------------------------|-----------------------------|---|--|-----------------------------------|-------------|---|
| MATTHEWS ASIA GROWTH INV       | C                    | CUSIP: 577130867             |                             | Symbol (Box 1d): MPACX                  |  |                                   |             |   |
|                                | 10.478               | 01/13/10                     | 01/09/12                    | \$160.62                                | \$154.76                                   | \$0.00                            | \$5.86      | \$0.00                                    |
|                                | 1,053                | 12/09/10                     | 01/09/12                    | \$16,14                                 | \$18.61                                    | \$0.00                            | (\$2.47)    | 20.03                                     |
| Security Subtotal              | 11.531               |                              |                             | \$176.76                                | \$173.37                                   | \$0.00                            | \$3,39      | \$0.00                                    |
| OPPENHEIMER DEVELOPING MKTS A  |                      | CUSIP: 683974109             |                             | Symbol (Box 1d): ODMAX                  |  |                                   |             |   |
|                                | 107.127              | 08/03/10                     | 01/09/12                    | \$3,184.89                              | \$3,315.58                                 | \$0.00                            | (\$130.69)  | \$0.00                                    |
|                                | 0.171                | 12/21/10                     | 01/09/12                    | \$5.08                                  | \$6.05                                     | \$0.00                            | (\$0.97)    | \$0,00                                    |
| Security Subtotal              | 107.298              |                              |                             | \$3,189.97                              | \$3,321.63                                 |                                   | (\$131.66)  | \$0.00                                    |
| PRUDENTIAL JENNISON MKT NEUT Z | 0                    | USIP: 74440K8                | 327 Symbo                   | CUSIP: 74440K827 Symbol (Box 1d): PJNZX |  |                                   |             |   |
|                                | 72.215               | 08/03/10                     | 01/09/12                    | \$697.60                                | \$703.30                                   | \$0.00                            | (\$5.70)    | \$0.00                                    |
|                                | 1.643                | 11/30/10                     | 01/09/12                    | <b>\$15.87</b>                          | \$16.48                                    | \$0.00                            | (\$0.61)    | \$0.00                                    |
| Security Subtotal              | 73,858               |                              |                             | \$713,47                                | \$719.78                                   |                                   | (\$6.31)    | \$0.00                                    |
| SPDR GOLD TR GOLD SHS          | Q                    | CUSIP: 78463V107             | 107 Symbo                   | Symbol (Box 1d): GLD                    |  |                                   |             |   |
|                                | 7.000                | 01/13/10                     | 01/09/12                    | \$1,093.86                              | \$780.69                                   | \$0.00                            | \$313,17    | \$0.00                                    |
| SPOR S&P INTL SMALL C          | C                    | CUSIP: 78463X871             |                             | Symbol (Box 1d): GWX                    |  |                                   |             |   |
|                                | 26.000               | 01/13/10                     | 01/09/12                    | \$657.78                                | \$696.49                                   | \$0.00                            | (\$38.71)   | \$0.00                                    |
|                                | 2,000                | 08/03/10                     | 01/09/12                    | \$50.60                                 | \$52.56                                    | \$0.00                            | (\$1.96)    | \$0,0\$                                   |
| Security Subtotal              | 28.000               |                              |                             | \$708.38                                | \$749.05                                   | \$0.00                            | (\$40.67)   | \$0.00                                    |
| THORNBURG INTL VALUE I         | C                    | CUSIP: 885215566             |                             | Symbol (Box 1d): TGVIX                  | :  |                                   |             |   |
|                                | 14.335               | 09/14/10                     | 01/09/12                    | \$356.22                                | \$375.86                                   | \$0.00                            | (\$19.64)   | \$0.00                                    |
|                                | 0.152                | 09/24/10                     | 01/09/12                    | \$3.78                                  | \$4.06                                     | \$0.00                            | (\$0.28)    | \$0.00                                    |

CONTINUED ON NEXT PAGE

Security Subtotal

0.049

12/27/10

01/09/12

\$1,22 \$361,22

\$1.40 \$381.32

\$0.00

(\$0.18) (\$20.10)

#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

THE HONORABLE NICK JOE RAHALL 109 MAIN STREET BECKLEY WV 25801-4610

Plaza 2 2nd Floor Harborside Financial Center Morgan Stanley Smith Barney Holdings LLC Identification Number: Jersey City, NJ 07311 26-4310632

Account Number:

487

Customer Service: 866-324-6088

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or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box 8 checked.)
(Continued)

| DESCRIPTION (Box 8)            | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS | WASH SALE LOSS DISALLOWED | GAIN/(LOSS) | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|---------------------------------|---------------------------|-------------|-------------------------------------|
| VAN ECK INT'L INV GOLD FD A    | 0                    | CUSIP: 921075503             | Į                           | Symbol (Box 1d): INIVX  |                                 |                           |             |                                     |
|                                | 29.919               | 10/21/10                     | 01/09/12                    | \$597.18                | \$734.81                        | \$0.00                    | (\$137.63)  | \$0.00                              |
|                                | 11.462               | 11/30/10                     | 01/09/12                    | \$228.78                | \$315.08                        | \$0.00                    | (\$86.30)   | \$0.00                              |
|                                | 0.403                | 12/21/10                     | 01/09/12                    | \$8,04                  | \$9.72                          | \$0.00                    | (\$1.68)    | \$0.00                              |
|                                | 3.415                | 12/21/10                     | 01/09/12                    | \$68.16                 | \$82.33                         | \$0.00                    | (\$14.17)   | \$0.00                              |
|                                | 4.735                | 12/21/10                     | 01/09/12                    | \$94.51                 | \$114,17                        | \$0.00                    | (\$19.66)   | \$0.00                              |
| Security Subtotal              | 49.934               |                              |                             | \$996.67                | \$1,256.11                      | \$0.00                    | (\$259.44)  | \$0.00                              |
| VANGUARD EUROPEAN MSCI ETF     | Ω                    | CUSIP: 922042874             |                             | Symbol (Box 1d): VGK    |                                 |                           |             |                                     |
|                                | 10.000               | 06/04/09                     | 01/09/12                    | \$411.09                | \$412.57                        | \$0.00                    | (\$1.48)    | \$0.00                              |
|                                | 1.000                | 01/13/10                     | 01/09/12                    | \$41.11                 | \$50.64                         | \$0.00                    | (\$9.53)    | \$0.00                              |
|                                | 3.000                | 08/03/10                     | 01/09/12                    | \$123.33                | \$142.35                        | \$0.00                    | (\$19.02)   | \$0.00                              |
|                                | 3.000                | 11/30/10                     | 01/09/12                    | \$123.32                | \$141.92                        | \$0,00                    | (\$18.60)   | \$0.00                              |
| Security Subtotal              | 17,000               |                              |                             | \$698.85                | \$747.48                        | \$0.00                    | (\$48.63)   | \$0.00                              |
| VANGUARD MSCI EMERGING MARKETS |                      | CUSIP: 922042858             |                             | Symbol (Box 1d): VWO    |                                 |                           |             |                                     |
|                                | 38.000               | 06/04/09                     | 01/09/12                    | \$1,478.17              | \$1,241.84                      | \$0.00                    | \$236,33    | \$0.00                              |
|                                | 24.000               | 10/14/09                     | 01/09/12                    | \$933.58                | \$981.55                        | \$0.00                    | (\$47.97)   | \$0.00                              |
|                                | 19,000               | 08/03/10                     | 01/09/12                    | \$739,08                | \$809.38                        | \$0.00                    | (\$70.30)   | \$0.00                              |
| Security Subtotal              | 81.000               |                              |                             | \$3,150,83              | \$3,032.77                      | \$0.00                    | \$118.06    | \$0.00                              |

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#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

BECKLEY WV 25801-4610 THE HONORABLE NICK JOE RAHALL

Morgan Stanley Smith Bamey Holdings LLC Harborside Financial Center

Jersey City, NJ 07311 Plaza 2 2nd Floor

Identification Number: 26-4310632

Account Number:

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Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)

| (Continued)  | (Continued)          | (Odraider box be             | a (monocratica de           | ounty) as bonig cross                 | (veriance) box de (serievereno occuris) de penig michael de line equipir. Higog | Provider of Yor Haw it to a secolification and remaining cumplements. | s see the see         | at it will box to discover.)              |
|--|----------------------|------------------------------|-----------------------------|---------------------------------------|---|---|-----------------------|---|
| DESCRIPTION (Box 8)  | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a)               | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3)                                      | WASH SALE LOSS DISALLOWED (Box 5)                                     | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
| VANGUARD REIT ETF  | 0                    | USIP: 9229085                | 553 Symbol                  | CUSIP: 922908553 Symbol (Box 1d): VNQ |   |   |                       |   |
|  | 14.000               | 09/14/10                     | 01/09/12                    | \$805.12                              | \$735.11  | \$0.00  | \$70.01               | \$0.00                                    |
| Total Long Term Noncovered Securities                        | Securities           |                              | -                           | \$27,345.26                           | \$26,172.48   | \$0.00  | \$1,172.78            | \$0.00                                    |
| Total Short and Long Term, Covered and Noncovered Securities | Covered and Nor      | covered Seci                 | urities                     | \$72,130.01                           | \$72,041.13   | \$0.00  | \$8.88                | \$0.00                                    |
| Form 1099-B Total Reportable Amounts                         | ortable Amour        | its                          |                             |                                       |   |   |                       | •   |
| Total Sales Price (Box 2)                                    |                      |                              |                             | \$72,130.01                           |   |   |                       |   |
| Total Cost or Other Basis (Box 3)                            | )х 3)                |                              |                             |                                       | \$0.00  |   |                       |   |
| Total Wash Sale Loss Disallowed (Box 5)                      | wed (Box 5)          |                              |                             |                                       |   | \$0.00  |                       |   |
| Total Fed Tax Withheld (Box 4)                               | 4)                   |                              |                             |                                       |   |   |                       | \$0.00                                    |

<sup>\*</sup> Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS



**CLIENT STATEMENT** | For the Period December 1-31, 2012

#### Account Summary

Portfolio Management Retirement Account

NICK JOE RAHALL 109 MAIN STREET

**Investment Advisory Account** 

Householding Anniversary Date: 5/29/03

Investment Objectives 1: Capital Appreciation, Income, Aggressive Income, Speculation

## **CHANGE IN VALUE OF YOUR ACCOUNT**

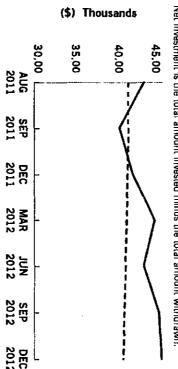
| \$44,844.54                         | \$44,844.54                    | Total Ending Value(includes accrued interest)    |
|-------------------------------------|--------------------------------|--|
| 3,820,70                            | 441.12                         | Change in Value                                  |
| ¢/517 56)                           | \$1.00                         | Not Contributions Mithodrawale                   |
|                                     |                                | Security Transfers                               |
| (535.00)                            | 1                              | Withdrawals                                      |
| 17.44                               | 1.00                           | Contributions                                    |
| \$41,541.40                         | \$44,402.42                    | Total Beginning Value(includes accrued interest) |
| This Year (1/1/12 <u>-12/31/12)</u> | This Period (12/1/12-12/31/12) |  |
|                                     |                                |  |

## CHANGE IN VALUE OVER TIME

Net investment is the total amount invested minus the total amount withdrawn. This graph compares the total value of your account to the net amount invested

Attachment 4 -

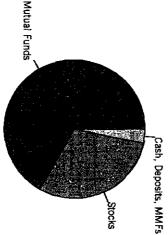
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Total Market Value " Net Invested Capital Since 08/31/11

delay in the reporting of your Market Value. Please speak to your Financial Advisor if you have any questions. include transactions for investments in Annuities or where Morgan Stanley Smith Barney LLC is not the custodian. This may affect the reported Net Invested Capital. If we are not the custodian there may also be a accuracy and inform us if your investment objectives change. Net Contributions / Withdrawals include investment advisory fees to the extent applicable. Please see the activity section of your statement for more specific details on any advisory fees. † See the Expanded Disclosures for more information about investment objectives. Please review for This exhibit may not

### ALLOCATION OF HOLDINGS



| TOTAL VALUE | Mutual Funds | Stocks    | Cash, Deposits, MMFs* |              |
|-------------|--------------|-----------|-----------------------|--------------|
| \$44,844.54 | 29,925.38    | 13,419.67 | \$1,499.49            | Market Value |
| 100.0%      | 66.7         | 29.9      | ນ.<br>ພ               | Percentage % |

coverage. Please see Expanded Disclosures. serve as a suitability analysis. This allocation represents holdings on a trade date basis, and projected settled Cash/Deposits/MMF balances; and may differ from Asset Allocation reports you might receive. These classifications are not intended to \* Bank Deposits are eligible for FDIC insurance; FDIC rules apply and deposits are not SIPC insured. Cash and securities (including Money Market Funds) are eligible for SIPC

RETIREMENT ACCOUNTS BUSINESS ACCOUNTS

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## CLIENT STATEMENT | For the Period December 1-31, 2012



Holdings

Portiolio Management Retirement Account

NICK JOE RAHALL 109 MAIN STREET

Morgan Stanley

Activity" section for more information. This section reflects the impact of positions purchased/sold on a trade date basis, and will include positions purchased and omit positions sold in the current month. Please see the "Unsettled Purchases/Sales

in the market. See "Pricing of Securities" in the Expanded Disclosures. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. The "Market Value" and "Unrealized Gain/(Loss)" figures shown are representative values as of the last business day of the statement period indicated, which may not reflect the value that could actually be obtained Estimated annual income and estimated yield for certain securities can include return of principal or capital gains which could overstate such estimates. Estimated yield and estimated annual income are estimates

estimated yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) assume specified accrual conditions are met during the relevant observation Structured products appear in various statement product categories and are identified as "Structured Products" in the Security Description column. Estimated accrued interest, estimated annual income and period and payment in full of all contingent interest. If you hold structured products, please see "Special Considerations Regarding Structured Products" in the Disclosures and the actual income or yield may be lower or higher than the estimated yield reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your New Treasury regulations require that we report on Form 1099-B after the close of the tax year your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities. additional information. Form 1099-B. Cost basis is reflected on monthly statements for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Expanded Disclosures for

## CASH, DEPOSITS AND MONEY MARKET FUNDS

Cash, Deposits and Money Market Funds are generally displayed on a settlement date basis. However, Global Currency Deposits may include both settled and unsettled positions. Estimated Annual Income, Accrued Interest and APY% will only be displayed for fully settled positions. In the event, all or a portion of a Global Currency Deposit position is unsettled as of month end, this data will not be available.

| # Bank Deposits are at either: (1) Morgan Stanley Bank, N.A., and Morgan Stanley Private Bank, National Association, or (2) Citibank, N.A., each a national bank, FDIC member and an affiliate of Morgan Stanley | CASH, DEPOSITS AND MONEY MARKET FUNDS 3.3% \$1,499.49 | Percentage                        | MORGAN STANLEY BANK N.A. # 1,493.11 1.00 | Description Value Estimated Annual Income 7-Day Current Yield % CASH \$6.38 |
|--|---|-----------------------------------|--|---|
| ) a national bank. FDIC теп  |   |                                   |  | 7-Day Current Yield %   |
| ber and an affiliate of Morgan Stanley   | Accrued Interest \$1.00                               | Estimated<br><u>Annual income</u> | 0.050                                    | Annual Percentage Yield %   |

Smith Barney LLC.

#### STOCKS

#### COMMON STOCKS

| Security Description ISHARES BARCLAYS AGG.BD FD (AGG)  | Trade Date 9/15/11 | Quantity<br>15,000 | Unit Cost<br>\$109,740 | Total Cost<br>\$1,646.10 | Market Value<br>\$1,666.20 | Unrealized<br>Gain/(Loss)<br>\$20.10 LT | Estimated<br>Annual Income | Dividend<br>Yield % |
|--|--------------------|--------------------|------------------------|--------------------------|----------------------------|---|----------------------------|---------------------|
|  | 10/11/11           | 7.000              | 109.049                | 763,34                   | 777.56                     | 14.22 LT                                |                            |                     |
|  | 9/21/12            | 1.000              | 111.880                | 111.88                   | 111.08                     | (0.80) ST                               |                            |                     |
|  | Total              | 23,000             |                        | 2,521.32                 | 2,554.84                   | 34.32 LT<br>(0.80) ST                   | 65,04 2.54                 |                     |
| Share Price: \$111.080: Next Dividend Payable 01/02/13 | ble 01/02/13       |                    |                        |                          |                            |   |                            |                     |

CONTINUED

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PERSONAL ACCOUNTS

CONSOLIDATED SUMMARY

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RETIREMENT ACCOUNTS

EDUCATION ACCOUNTS

TRUST ACCOUNTS

BUSINESS ACCOUNTS

Portfolio Management Retirement Account

NICK JOE RAHALL 109 MAIN STREET

STOCKS Holdings

| STOCKS   | WISDOMTREE MGD<br>Share Price: \$40.303  | VANGUARD REIT ETF (VNQ) Share Price: \$65.800; Next I                         | VANGUARD MSCI EMERGING MARKETS (VWO)  Share Price: \$44.530; Next Dividend Pays                   | Share Price: \$48.840                                      | VANGUARD EUROPEAN MSCI ETF (VGK) | Share Price: \$162.020   | SPDR GOLD TR GOLD SHS (GLD) | ISHARES S&P 500 VALUE INDEX (IVE) Share Price: \$66.390; Next Dividend Pa                         | ISHARES S&P 500 GRWTH INDEX (IVW) Share Price: \$75.740; Next Dividend Paya                       | Security Description       | COMMON STOCKS (CONTINUED) | SAUCES |
|--|--|---|---|--|----------------------------------|--------------------------|-----------------------------|---|---|----------------------------|---------------------------|--------|
|  | WISDOMTREE MGD FUTURES STRAT (WDTI) 12/15/11<br>Share Price: \$40.303, Next Dividend Payable 12/2013   | VANGUARD REIT ETF (VNQ)  Share Price: \$65.800; Next Dividend Payable 03/2013 | VANGUARD MSCI EMERGING MARKETS 8/12/11 (VWO) Share Price: \$44.530; Next Dividend Payable 03/2013 | Total Share Price: \$48.840; Next Dividend Payable 03/2013 | AN MSCI ETF (VGK)                | 20                       | D SHS (GLD)                 | ISHARES S&P 500 VALUE INDEX (IVE) 8/12/11<br>Share Price: \$66.390; Next Dividend Payable 03/2013 | ISHARES S&P 500 GRWTH INDEX (IVW) 8/12/1:<br>Share Price: \$75.740; Next Dividend Payable 03/2013 |                            | S (CONTINUED)             |        |
|  | I) 12/15/11<br>12/2013   | 8/12/11<br>03/2013  | 8/12/11<br>03/2013  | Total 03/2013  | 8/12/11<br>9/21/12               | Total                    | 8/12/11<br>9/21/12          | 8/12/11<br>03/2013  | 8/12/11<br>03/2013  | Trade Date                 |                           |        |
| Percentage<br>of Assets %<br>29.9%                       | 52.000   | 8.000   | 49.000  | 18.000   | 10.000<br>8.000                  | 9.000                    | 4.000<br>5.000              | 23.000  | 29.000  | Quantity                   |                           |        |
|  | 46.698   | 54.790  | 42.220  |  | 45.480<br>48.059                 |                          | 167,910<br>171,908          | 54.160  | 63.820  | Unit Cost                  |                           |        |
| Total Cost<br>\$12,923.62                                | 2,428.29   | 438.32  | 2,068.78  | 839.27   | 454.80<br>384.47                 | 1,531.18                 | 671.64<br>859.54            | 1,245.68  | 1,850.78  | Total Cost                 |                           |        |
| Market Value<br>\$13,419.67                              | 2,095.73   | 526.40  | 2,181.97  | 879.12   | 488.40<br>390.72                 | 1,458.18                 | 648.08<br>810.10            | 1,526.97  | 2,196.46  | Market Value               |                           |        |
| Unrealized<br>Gain/(Loss)<br>\$540.04 LT<br>\$(43,99) ST | (332,56) LT  | 88.08 LT  | 113.19 LT   | 33.60 LT<br>6.25 ST  | 33.60 LT<br>6.25 ST              | (23.56) LT<br>(49.44) ST | (23.56) LT<br>(49.44) ST    | 281.29 רו   | 345.68 LT   | Unrealized<br>Gain/(Loss)  |                           |        |
| Estimated Annual Income Accrued Interest \$233.66 \$0.00 |  | 18.74   | 47.78   | 26,44  |                                  |                          |                             | 35.70   | 39.96   | Estimated<br>Annual Income |                           |        |
| <u>Ујејд %</u><br>1.74%                                  | And the second s | 3.56  | 2.18  | 3.00   | wayou Jacobs Hodge               |                          | and the soil is             | 2.33  | 1.81  | Dividend 4                 | p.                        | 3      |

Security Mark at Right

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**CLIENT STATEMENT** | For the Period December 1-31, 2012

Holdings

Portfolio Management Retirement Account

NICK JOE RAHALL 109 MAIN STREET

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#### MUTUAL FUNDS

#### OTHER MUTUAL FUNDS

"Total Purchases vs Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash; due to but not limited to the following: investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account.

and does not reflect your total unrealized gain or loss nor should it be used for tax purposes. "Net Value Increase) (Decrease)" reflects the difference between your total purchases, plus the cash distributions shown, and the current value of the fund's shares. This calculation is for informational purposes only

|           |                       | Short Term Reinvestments | Long Term Reinvestments |           | AMER CENT INFLAT ADJ BD A (AIAVX) | Share Price: \$39.340; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest | Net Value (ncrease/(Decrease) | Total Purchases vs Market Value |            | Short Term Reinvestments |            | (QUAYX) | ALLIANCEBER SMALL CAP GRW ADV | Share Price: \$14.280; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest | Net Value increase/(Decrease) | Total Purchases vs Market Value |         |          | Short Term Reinvestments | Long Term Reinvestments |           | ABERDEEN INTL EQ A (GIGAX) | Security Description       |
|-----------|-----------------------|--------------------------|-------------------------|-----------|-----------------------------------|---|-------------------------------|---------------------------------|------------|--------------------------|------------|---------|-------------------------------|---|-------------------------------|---------------------------------|---------|----------|--------------------------|-------------------------|-----------|----------------------------|----------------------------|
|           | Total                 |                          |                         | Purchases | 10/11/11                          | end Reinvestment; Caj   |                               |                                 | Total      |                          | Purchases  |         | 9/21/12                       | end Reinvestment; Cap   |                               |                                 |         | Total    |                          |                         | Purchases | 8/12/11                    | Trade Date                 |
|           | 68.158                | 1.902                    | 1.876                   | 64.380    | 64.380                            | oital Gains Reinvest  |                               |                                 | 10.973     | 0.350                    | 10.623     |         | 10.623                        | oital Gains Reinvest  |                               |                                 |         | 16.694   | 0.353                    | 0.163                   | 16.178    | 16.178                     | Quantity                   |
|           |                       |                          |                         |           | 12.750                            |   |                               |                                 |            |                          |            |         | 42.119                        |   |                               |                                 |         |          |                          |                         |           | \$12.690                   | Unit Cost                  |
|           | 869.93                | 25.21                    | 23.87                   | 820.85    | 820.85                            |   |                               | 447,43                          | 460.97     | 13.54                    | 447.43     |         | 447.43                        |   |                               | 205.30                          |         | 211.97   | 4.64                     | 2.03                    | 205.30    | \$205.30                   | Total Cost                 |
| İ         | 894,91                | 24,97                    | 24.63                   | 845,31    | 845.31                            |   | (15.75)                       | 431.68                          | 431,68     | 13.77                    | 417,91     |         | 417.91                        |   | 33.09                         | 238.39                          |         | 238,39   | 5.04                     | 2.33                    | 231,02    | \$231,02                   | Market Value               |
|           | 25.22 LT<br>(0.24) ST | (0.24) ST                | 0.76 LT                 | 24.46 LT  | 24.46 LT                          |   |                               |                                 | (29.29) ST | 0.23 ST                  | (29.52) ST |         | (29.52) ST                    |   |                               |                                 | 0.40 ST | 26.02 LT | 0.40 ST                  | 0.30 LT                 | 25.72 נד  | \$25.72 LT                 | Unrealized<br>Gain/(Loss)  |
| C         | 18.00                 |                          |                         |           |                                   |   |                               |                                 | 1          |                          |            |         |                               |   |                               |                                 |         | 5.00     |                          |                         |           |                            | Estimated<br>Annual Income |
| CONTINUED | 2.01                  |                          |                         |           |                                   |   |                               |                                 | 1          |                          | :          |         |                               |   |                               |                                 |         | 2.09     |                          |                         |           |                            | Dividend<br>Yield %        |

CONSOLIDATED SUMMARY

PERSONAL ACCOUNTS

RETIREMENT ACCOUNTS

EDUCATION ACCOUNTS

TRUST ACCOUNTS

BUSINESS ACCOUNTS

**CLIENT STATEMENT** | For the Period December 1-31, 2012

Holdings

Portfolio Management Rethrement Account

NICK JOE RAHALL 109 MAIN STREET

| Track Date   Quantity   Unit Cost   Total Cost   Market Value   Capital Gains Reinvest   |                     | 13.00  | (22.99) ST                | 919.13       | 942.12     |           | 41,106               | Total               |  |
|--|---------------------|--------|---------------------------|--------------|------------|-----------|----------------------|---------------------|--|
| Unit Cost         Todal Cost         Market Value         Unrealized GainV(Loss)         Estimated Annual Income           9.563         1.288.63         1.339.42         50.79 [T]           9.563         1.288.63         1.339.42         50.79 [T]           1.288.63         1.339.42         50.79 [T]           1.288.63         1.339.42         50.79 [T]           1.288.63         1.339.42         50.79 [T]           1.09.58         112.47         2.89 ST           1.454.90         1.512.20         54.41 [T]           1.288.63         1.512.20         2.89 ST           1.289.72         2.89 ST         11.4 UT           7.29         8.53         3.71 LT           1.2.91.39         248.10         37.71 LT           210.39         248.10         37.71 LT           1.8.50         3.8.53         3.73 LT           2.997 ST         2.997 ST  |                     |        | 0.77 ST                   | 48,03        | 47.26      |           | 2.148                |                     | Short Term Reinvestments                     |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           9.563         1,288.63         1,339.42         50.79 LT           9.569         1,288.63         1,339.42         50.79 LT           1,09.58         1112.47         2.89 ST           1,454.90         1,512.20         2.89 ST           1,288.63         1.512.20         2.89 ST           1,288.63         1.512.20         2.89 ST           1,29.93         248.10         37.71 LT           15.959         210.39         248.10         37.71 LT           7.29         8.53         1.24 LT           3.85         3.93         0.08 ST           221.53         260.56         38.95 LT           210.39         260.56         38.95 LT           21.230.45         1.220.48         (9.97) ST           18.500         1.230.45         1.220.48         (9.97) ST           1.230.45         1.220.48         (9.97) ST           1.230.45         1.220.48         (9.97) ST           4.29         0.03 ST         4.00           1.230.45         1.224.77         (9.94) ST           4.00         1.230.45   |                     |        | (23.76) ST                | 871.10       | 894.86     |           | 38.958               | Purchases           |  |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           9.563         1,288.63         1,339.42         50.79 LT           9.569         1,288.63         1,339.42         50.79 LT           1,68.69         60.31         3.62 LT           1,09.58         1112.47         2.89 ST           1,288.63         1,512.20         2.89 ST           1,454.90         1,512.20         2.89 ST           1,288.63         1,512.20         2.89 ST           1,28.63         1,512.20         2.89 ST           1,512.20         37.71 LT         114.00           1,512.20         37.71 LT         1,24 LT           0,08 ST         3.85 ST         3.93 ST           0,08 ST   |                     |        | (23.76) ST                | 871.10       | 894.86     | 22.970    | 38.958               | 9/21/12             | FIRST EAGLE OVERSEAS I (SGOIX)               |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           820.85         820.85         894.91         Annual Income           9.563         1,288.63         1,339.42         50.79 LT         50.79 LT           1,288.63         1,339.42         50.79 LT         50.79 LT         109.58         112.47         2.89 ST           1,454.90         1,512.20         54.41 LT         114.00         2.89 ST         114.00           1,586.63         1,512.20         54.41 LT         114.00         2.89 ST         114.00           1,288.63         1,512.20         54.41 LT         114.00         2.89 ST         114.00           1,288.63         1,512.20         54.41 LT         114.00         2.89 ST         114.00           1,5959         210.39         248.10         37.71 LT         37.71 LT         114.00           210.39         248.10         37.71 LT         124 LT         -0.08 ST           3.85         3.93         0.08 ST         -0.08 ST         -0.08 ST           210.39         260.56         38.95 LT             1,230.45         1,220.48         (9.97) ST  |                     |        |                           |              |            |           | pital Gains Reinvest | nd Reinvestment; Ca | are Price: \$18.350; Enrolled In MS Divide:  |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           8f         820.85         894.91         Annual Income           9.563         1.288.63         1.339.42         50.79 LT           1.288.63         1.339.42         50.79 LT           1.288.63         1.339.42         50.79 LT           1.586.69         1.12.47         2.89 ST           1.288.63         1.512.20         54.41 LT         114.00           1.586.69         1.512.20         54.41 LT         114.00           1.288.63         1.512.20         54.41 LT         114.00           1.58.69         1.512.20         54.41 LT         114.00           1.58.63         1.512.20         54.41 LT         114.00           2.89 ST         1.288.63         1.512.20         2.89 ST           1.59.99         210.39         248.10         37.71 LT           7.29         8.53         1.24 LT         37.71 LT           9.95         221.53         260.56         38.95 LT  |                     |        |                           | (5.68)       |            |           |                      |                     | Net Value Increase/(Decrease)                |
| Unit Cost         Total Cost         Market Value         Unresilized Gain/(Loss)         Estimated Annual Income           82         B20.85         B94.91         Annual Income         Estimated Gain/(Loss)         Annual Income           82         9.563         1.288.63         1.339.42         50.79 LT         50.79 LT           1,286.63         1,339.42         50.79 LT         50.79 LT           1,586.69         1,339.42         50.79 LT         12.89 ST           1,454.90         1,512.20         2.89 ST         114.00           1,288.63         1,512.20         2.89 ST         114.00           2,98.63         1,512.20         2.89 ST         114.00           1,29.93         248.10         37.71 LT         114.00           3,93         0.08 ST         37.71 LT   |                     |        |                           | 1,224.77     | 1,230.45   |           |                      |                     | Total Purchases vs Market Value              |
| Unit Cost         Total Cost         Market Value         Unresilized Gain/(Loss)         Estimated Annual Income           st         820,85         894.91         4         Annual Income           st         1,288.63         1,339.42         50.79 LT         50.79 LT           1,288.63         1,339.42         50.79 LT         3.62 LT           1,99.58         1,12.47         2.89 ST         112.47           1,454.90         1,512.20         2.89 ST         114.00           1,288.63         1,512.20         2.89 ST         114.00           1,288.63         1,512.20         2.89 ST         114.00           1,29.99         210.39         248.10         37.71 LT         114.00           1,5959         210.39         248.10         37.71 LT         37.71 LT         7.29         8.53         1.24 LT         1.24 LT         0.08 ST         -0.08 ST  | 0.32                | 4.00   | (9.94) ST                 | 1,224.77     | 1,234.71   |           | 66.745               | Total               |  |
| Unit Cost         Total Cost         Market Value         Unresilized Gain/(Loss)         Estimated Annual Income           st         820,85         894.91         50.79 LT         Annual Income           st         9.563         1,288.63         1,339.42         50.79 LT         50.79 LT           1,288.63         1,339.42         50.79 LT         50.79 LT         50.79 LT         10.9.58 LT         3.93 LT         3.93 LT         11.00         11.454.90         11.512.20         2.89 ST         114.00         2.89 ST         2.89 ST         2.89 ST         2.89 ST         2.89 ST         2.89 ST         2.89 ST |                     |        | 0.03 ST                   | 4.29         | 4.26       |           | 0.234                |                     | Short Term Reinvestments                     |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           st         820.85         894.91         44.06           9.563         1,288.63         1,339.42         50.79 LT           1,288.63         1,339.42         50.79 LT         56.69           1,288.63         1,339.42         50.79 LT         3.62 LT           1,958         112.47         2,89 ST         112.47           1,454.90         1,512.20         54.41 LT         114.00           2,89 ST         1,288.63         1,512.20         2,89 ST           15.959         210.39         248.10         37.71 LT         114.00           15.959         210.39         248.10         37.71 LT         37.71 LT           10.08 ST         3.93         0.08 ST         0.08 ST           210.39         260.56         38.95 LT         38.5           210.39         260.56         38.95 LT   |                     |        | (9.97) ST                 | 1,220,48     | 1,230,45   |           | 66.511               | Purchases           |  |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           820.85         894.91         440.06           820.85         894.91         50.79 LT           9.563         1,288.63         1,339.42         50.79 LT           1,288.63         1,124.7         2,89 ST           1,288.63         1,512.20         54.41 LT         114.00           2,89 ST         1,288.63         1,512.20         2,89 ST         114.00           1,288.63         1,512.20         54.41 LT         114.00           2,89 ST         1,288.63         37.71 LT         114.00           2,89 ST         37.71 LT         114.00           3,93         248.10         37.71 LT         114.00           3,95         3,93         0.08 ST  |                     |        | (9.97) ST                 | 1,220.48     | 1,230,45   | 18.500    | 66.511               | 9/21/12             | DIAMOND HILL LONG-SHORT I (DHLSX)            |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           st         820,85         894.91         74.06           9,563         1,288.63         1,339.42         50.79 LT           1,288.63         1,339.42         50.79 LT           1,09.58         112.47         2,89 ST           1,454.90         1,512.20         54.41 LT         114.00           1,288.63         1,512.20         2.89 ST         115.959 ST         210.39         248.10         37.71 LT         114.00           15.959         210.39         248.10         37.71 LT         37.71 LT         17.72 LT         38.5         3.93 LT         1.24 LT           3,85         3,93         1,08 ST         0.08 ST         -0.08 ST         -0.08 ST           210.39         260.56         38.95 LT         -0.08 ST         -0.08 ST  |                     |        |                           |              |            |           | pital Gains Reinvest | nd Reinvestment; Ca | are Price: \$18.820; Enrolled In MS Divider  |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           st         820.85         894.91         Annual Income           y.563         1,288.63         1,339.42         50.79 LT           1,288.63         1,339.42         50.79 LT           1,288.63         1,339.42         50.79 LT           1,95.69         60.31         3.62 LT           1,99.58         112.47         2.89 ST           1,454.90         1,512.20         54.41 LT         114.00           2,89 ST         1,288.63         1,512.20         2.89 ST           1,288.63         1,512.20         2.89 ST         114.00           2,959         210.39         248.10         37.71 LT         114.00           15,959         210.39         248.10         37.71 LT         37.71 LT           7,29         8.53         1.24 LT         1.24 LT           3,85         3,93         0.08 ST         0.08 ST           221.53         260.56         38.95 LT         -           210.39         260.56         0.08 ST         -   |                     |        |                           | 50.17        |            |           |                      |                     | Net Value Increase/(Decrease)                |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           st         820.85         894.91         74.06         Annual Income           st         1.288.63         1,339.42         50.79 LT         50.79 LT           1.288.63         1,339.42         50.79 LT         3.62 LT           109.58         112.47         2.89 ST         11.454.90           1,454.90         1,512.20         54.41 LT         114.00           2,89 ST         1,288.63         1,512.20         2.89 ST           15.959         210.39         248.10         37.71 LT         114.00           210.39         248.10         37.71 LT         7.29         8.53         1.24 LT           3.85         3.93         0.08 ST          0.08 ST   |                     |        |                           | 260.56       | 210.39     |           |                      |                     | Total Purchases vs Market Value              |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           820.85         894.91         74.06         Annual Income           st         1,288.63         1,339.42         50.79 LT           9.563         1,288.63         1,339.42         50.79 LT           1,288.63         1,339.42         50.79 LT           1,288.63         1,339.42         50.79 LT           1,09.58         112.47         2.89 ST           1,454.90         1,512.20         2.89 ST           1,288.63         1,512.20         2.89 ST           1,29         2,28.57         37.71 LT           15.959         210.39         248.10         37.71 LT           7.29         8.53         1.24 LT           3.85         3.93         0.08 ST            221.53         260.56  |                     |        | 0.08 ST                   |              |            |           |                      |                     |  |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           820.85         894.91         74.06         Annual Income           st         1.288.63         1,339.42         50.79 LT         50.79 LT           1,288.63         1,339.42         50.79 LT         56.69 LT         56.69 LT         109.58 LT         112.47         2.89 ST           1,454.90         1,512.20         2.89 ST         2.89 ST         114.00         2.89 ST           1,288.63         1,512.20         2.89 ST         2.89 ST         114.00         2.89 ST           1,288.63         1,512.20         2.89 ST         3.771 LT         114.00         2.89 ST           1,512.39         248.10         37.71 LT         37.71 LT         3.85         3.93         0.08 ST  | ı                   | 1      | 38.95 LT                  | 260.56       | 221.53     |           | 13.845               | Total               |  |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           820.85         894.91         4820.85         894.91           9.563         1,288.63         1,339.42         50.79 LT           1,288.63         1,339.42         50.79 LT           1,288.63         1,339.42         50.79 LT           1,295.86         112.47         2.89 ST           1,454.90         1,512.20         54.41 LT         114.00           2,89 ST         1,288.63         1,512.20         2.89 ST           1,288.63         1,512.20         2.89 ST         114.00           15.959         210.39         248.10         37.71 LT           7.29         8.53         1.24 LT  |                     |        | 0.08 ST                   | 3,93         | 3.85       |           | 0.209                |                     | Short Term Reinvestments                     |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual income           820.85         894.91         40.91                                     |                     |        | 1.24 LT                   | 8.53         | 7.29       |           | 0.453                |                     | Long Term Reinvestments                      |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual income           820.85         894.91         40.91                                     |                     |        | 37.71 LT                  | 248.10       | 210.39     |           | 13.183               | Purchases           |  |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual income           820.85         894.91         4         Annual income           9.563         1,288.63         1,339.42         50.79 LT         50.79 LT           1,288.63         1,339.42         50.79 LT         56.69         60.31         3.62 LT           109.58         112.47         2.89 ST         2.89 ST         114.00           1,288.63         1,512.20         54.41 LT         114.00           2.89 ST         1,512.20         2.89 ST         114.00   |                     |        | 37.71 LT                  | 248.10       | 210.39     | 15.959    | 13.183               | 8/12/11             | CAMBIAR SMALL CAP INST (CAMZX)               |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           9.563         1,288.63         1,339.42         50.79 LT         50.79 LT           1,288.63         1,339.42         50.79 LT         56.69         60.31         3.62 LT           109.58         112.47         2.89 ST         2.89 ST         114.00           1,288.63         1,512.20         54.41 LT         114.00           223.57         223.57         114.00  |                     |        |                           |              |            |           | ital Gains Reinvest  | d Reinvestment; Cap | are Price: \$9.940; Enrolled In MS Dividence |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           9.563         1,288.63         1,339.42         50.79 LT           1,288.63         1,339.42         50.79 LT           1,288.63         1,339.42         50.79 LT           56.69         60.31         3.62 LT           109.58         112.47         2.89 ST           1,454.90         1,512.20         54.41 LT         114.00           2.89 ST         1,288.63         1,512.20  |                     |        |                           | 223.57       |            |           |                      |                     | Net Value Increase/(Decrease)                |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           9.563         1,288.63         1,339.42         50.79 LT           1,288.63         1,339.42         50.79 LT           1,288.63         1,339.42         50.79 LT           56.69         60.31         3.62 LT           109.58         112.47         2.89 ST           1,454.90         1,512.20         54.41 LT           2.89 ST         114.00  |                     |        |                           | 1,512,20     | 1,288.63   |           |                      |                     | Total Purchases vs Market Value              |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           9.563         1,288.63         1,339.42         50.79 LT           9.56.9         1,288.63         1,339.42         50.79 LT           109.58         112.47         2.89 ST           1,454.90         1,512.20         54.41 LT         114.00  |                     |        | 2.89 ST                   |              |            |           |                      |                     |  |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           820.85         894.91         74.06           9.563         1,288.63         1,339.42         50.79 LT           1,288.63         1,339.42         50.79 LT           56.69         60.31         3.62 LT           109.58         112.47         2.89 ST   | 7.53                | 114.00 | 54.41 LT                  | 1,512,20     | 1,454.90   |           | 152.133              | Total               |  |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           820.85         894.91         74.06           9.563         1,288.63         1,339.42         50.79 LT           1,288.63         1,339.42         50.79 LT           56.69         60.31         3.62 LT   |                     |        | 2,89 ST                   | 112.47       | 109.58     |           | 11.315               |                     | Short Term Reinvestments                     |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           820.85         894.91         74.06           9.563         1,288.63         1,339.42         50.79 LT           1,288.63         1,339.42         50.79 LT   |                     |        | 3.62 LT                   | 60.31        | 56.69      |           | 6,067                |                     | Long Term Reinvestments                      |
| Unit Cost         Total Cost         Market Value         Unrealized Gain/(Loss)         Estimated Annual Income           820.85         894.91           74.06           9.563         1,288.63         1,339.42         50.79 LT  |                     |        | 50.79 LT                  | 1,339.42     | 1,288.63   |           | 134.751              | Purchases           |  |
| Unit Cost Total Cost Market Value Unrealized 820.85 894.91 74.06   |                     |        | 50.79 LT                  | 1,339.42     | 1,288.63   | 9.563     | 134.751              | 9/15/11             | ARTIO GLB HI INC I (JHYIX)                   |
| L FUNDS (CONTINUED)  Estimated Unrealized Estimated Unrealized Continue Unrealized Continue Unrealized Continue Unrealized Continue Unit Cost Market Value Gain/(Loss) Annual Income 820.85 894.91  1.25 (Decrease) 820.85 74.06   |                     |        |                           |              |            |           | pital Gains Reinvest | nd Reinvestment; Ca | are Price: \$13.130; Enrolled In MS Divide:  |
| L FUNDS (CONTINUED)  Estimated Trade Date Quantity Unit Cost Total Cost Market Value Gain/(Loss) Annual Income sys Market Value 820.85 894.91  |                     |        |                           | 74.06        |            |           |                      |                     | Net Value Increase/(Decrease)                |
| L FUNDS (CONTINUED)  Estimated  Trade Date  Quantity  Unit Cost  Total Cost  Market Value  Gain/(Loss)  Annual Income  |                     |        |                           | 894.91       | 820.85     |           |                      |                     | Total Purchases vs Market Value              |
| IL FUNDS (CONTINUED)   | Dividend<br>Yield % |        | Unrealized<br>Gain/(Loss) | Market Value | Total Cost | Unit Cost | Quantity             | Trade Date          | Security Description                         |
|  |                     |        |                           |              |            |           |                      |                     | OTHER MUTUAL FUNDS (CONTINUED)               |
| NUS  |                     |        |                           |              |            |           |                      |                     | MUTUAL FUNDS                                 |

Security Mark at Right

**CLIENT STATEMENT** | For the Period December 1-31, 2012

#### Holdings

Portfolio Management Retirement Account

NICK JOE RAHALL 109 MAIN STREET

### MUTUAL FUNDS

|           |         | Short Term Reinvestments | Long Term Reinvestments |           | GOLDMAN SACHS GRW OPPORT I (GGOIX) | Share Price: \$27.110; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest | Net Value Increase/(Decrease) | Total Purchases vs Market Value |           |          | Short Term Reinvestments | Long Term Reinvestments |           |           |           | GATEWAY FUND Y (GTEYX) | Share Price: \$23.080; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest | Net Value Increase/(Decrease) | Total Purchases vs Market Value |         |           | Short Term Reinvestments | Long Term Reinvestments |           | GABELLI EQUITY INCOME I (GCIEX) | Share Price: \$22,360; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest | Net Value Increase/(Decrease) | Total Purchases vs Market Value | Security Description | OTHER MUTUAL FUNDS (CONTINUED) | MUTUAL FUNDS |   |
|-----------|---------|--------------------------|-------------------------|-----------|------------------------------------|---|-------------------------------|---------------------------------|-----------|----------|--------------------------|-------------------------|-----------|-----------|-----------|------------------------|---|-------------------------------|---------------------------------|---------|-----------|--------------------------|-------------------------|-----------|---------------------------------|---|-------------------------------|---------------------------------|----------------------|--------------------------------|--------------|---|
|           | lotal   |                          |                         | Purchases |                                    | dend Reinvestment; Ca   |                               |                                 | ļ         | Total    |                          |                         |           | Purchases | 9/21/12   | 8/12/11                | dend Reinvestment; Ca,  |                               |                                 |         | Total     |                          |                         | Purchases | 8/12/11                         | dend Reinvestment; Ca   |                               |                                 | Trade Date           | 0)                             |              |   |
|           | 21,333  | 1.368                    | 1.165                   | 18.800    | 18.800                             | pital Gains Reinvest  |                               |                                 |           | 45,013   | 0.541                    | 0.251                   |           | 44.221    | 16,307    | 27.914                 | oital Gains Reinvest  |                               |                                 |         | 64.091    | 1.031                    | 0.508                   | 62.552    | 62.552                          | pital Gains Reinvest  |                               |                                 | Quantity             |                                |              |   |
| İ         |         |                          |                         |           | 22.129                             |   |                               |                                 |           |          |                          |                         |           |           | 27.610    | 25.330                 |   |                               |                                 |         |           |                          |                         |           | 19.620                          |   |                               |                                 | Unit Cost            |                                |              |   |
|           | 4/5.10  | 32.91                    | 26.17                   | 416.02    | 416.02                             |   |                               | 1,157.30                        | 1         | 1.178.42 | 14.69                    | 6.43                    |           | 1,157.30  | 450.24    | 707.06                 |   |                               | 1,227.27                        |         | 1,260.31  | 22.87                    | 10.17                   | 1,227.27  | 1,227.27                        |   |                               | 894,86                          | Total Cost           |                                |              |   |
|           | 527.14  | 33,80                    | 28.79                   | 464.55    | 464.55                             |   | 63.00                         | 1,220.30                        |           | 1,220,30 | 14.67                    | 6.80                    |           | 1,198,83  | 442.08    | 756.75                 |   | 251.95                        | 1,479.22                        |         | 1,479.22  | 23.80                    | 11.72                   | 1,443.70  | 1,443.70                        |   | 24.27                         | 919.13                          | Market Value         |                                |              |   |
|           | 0.89 ST | 0.89 ST                  | 2.62 LT                 | 48.53 LT  | 48.53 LT                           |   |                               |                                 | (8.18) ST | 50.06 LT | (0.02) ST                | 0.37 נו                 | (8.16) ST | 49.69 LT  | (8.16) ST | 49.69 LT               |   |                               |                                 | 0.93 ST | 217.98 נו | 0.93 ST                  | 1.55 LT                 | 216.43 LT | 216.43 LT                       |   |                               |                                 | Gain/(Loss)          |                                |              |   |
| 0         |         |                          |                         |           |                                    |   |                               |                                 |           | 24.00    |                          |                         |           |           |           |                        |   |                               |                                 |         | 23,00     |                          |                         |           |                                 |   |                               |                                 | Annual Income        |                                |              | , |
| CONTINUED | 1       |                          | n katawa                |           |                                    |   |                               |                                 |           | -<br>8   |                          |                         |           |           |           |                        |   |                               |                                 |         | 1.55      |                          |                         |           |                                 |   |                               | į                               | Yield % 4            | !<br>- p                       | <b>.</b> .   | 6 |

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CONSOLIDATED SUMMARY

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# CLIENT STATEMENT | For the Period December 1-31, 2012

Holdings

Portfolio Management Retirement Account

NICK JOE RAHALL 109 MAIN STREET

MUTUAL FUNDS

| IVY LIMITED TERM BOND I (ILTIX) 9/15/11 10/11/11 | Share Price: \$10.280; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest | Net Value Increase/(Decrease) | Total Purchases vs Market Value | Total    | Long Term Reinvestments | Purchases | IQ ALPHA HEDGE STRAT INST (IQHIX) 8/12/11 | Share Price: \$18.050; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest | Net Value Increase/(Decrease) | Total Purchases vs Market Value | Oral    |        | Short Term Reinvestments | one Term Reinvestments | Purchases | ING GLOBAL REAL ESTATE FD I (IGLIX) 8/12/11 | Share Price: \$10.340; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest | Net Value Increase/(Decrease) | Total Purchases vs Market Value |         | Total     | Short Term Reinvestments | Long Term Reinvestments | Purchases  | 9/15/11  | GOLDMAN SACHS STRATEGIC INC (GSZIX) 8/12/11 | 10                  | Net Value Increase/(Decrease) | Total Purchases vs Market Value | Security Description Trade Date |      |   |
|--|---|-------------------------------|---------------------------------|----------|-------------------------|-----------|---|---|-------------------------------|---------------------------------|---------|--------|--------------------------|------------------------|-----------|---|---|-------------------------------|---------------------------------|---------|-----------|--------------------------|-------------------------|------------|----------|---|---------------------|-------------------------------|---------------------------------|---------------------------------|------|---|
| 75.052<br>183.913                                | ital Gains Keinvest   | ;<br>;<br>;                   |                                 | 124,369  | 2.806                   | 121.563   | 121.563                                   | ital Gains Reinvest   |                               |                                 | 28.538  | 20.020 | 0.523                    | 0.454                  | 27,561    | 27.561                                      | ital Gains Reinvest   |                               |                                 |         | 191.958   | 6.091                    | 2,463                   | 183,404    | 0.721    | 182,683                                     | ital Gains Reinvest |                               |                                 | Quantity                        |      |   |
| 11.170<br>11.090                                 |   |                               |                                 |          |                         |           | 10.150                                    |   |                               |                                 |         |        |                          |                        |           | 15.501                                      |   |                               |                                 |         |           |                          |                         |            | 9.695    | 9.790                                       |                     |                               |                                 | Unit Cost                       |      |   |
| 838.33<br>2,039.59                               |   |                               | 1,233.87                        | 1,261.93 | 28.06                   | 1,233.87  | 1,233.87                                  |   |                               | 427.21                          | 442.50  | 0.00   | 20 G                     | 6.41                   | 427.21    | 427.21                                      |   |                               | 1,795.40                        |         | 1,879.64  | 60.68                    | 23.56                   | 1,795.40   | 6,99     | 1,788,41                                    |                     |                               | 416.02                          | Total Cost                      |      |   |
| 840.58<br>2,059.83                               |   | 44.64                         | 1,278.51                        | 1,278.51 | 28.84                   | 1,249.66  | 1,249.66                                  |   | 87.90                         | 515.11                          | 11.616  | 3,77   | 044                      | 0                      | 497,48    | 497.48                                      |   | 189.45                        | 1,984.85                        |         | 1,984,85  | 62.98                    | 25.47                   | 1,896.40   | 7.46     | 1,888.94                                    |                     | 111.12                        | 527.14                          | Market Value                    |      |   |
| 2.25 LT<br>20.24 LT                              |   |                               |                                 | 16.57 LT | 0.78 LT                 | 15.79 LT  | 15.79 LT                                  |   |                               |                                 | 0.56 ST | 0.00   | 0 56 ST                  | 1 78 17                | 70.27 LT  | 70.27 LT                                    |   |                               |                                 | 2.30 ST | 102.91 LT | 2.30 ST                  | 1.91 נד                 | 101.00 רַל | 0.47 רַד | 100.53 LT                                   |                     |                               |                                 | Gain/(Loss)                     |      |   |
|  |   |                               |                                 | 1        |                         |           |   |   |                               |                                 | 12.00   |        |                          |                        |           |   |   |                               |                                 |         | 73.00     |                          |                         |            |          |   |                     |                               |                                 | Annual Income                   | F-41 |   |
| CONTINIED  |   |                               |                                 | 1        |                         |           |   |   |                               |                                 | 2.32    |        |                          |                        |           |   |   |                               |                                 |         | 3.67      |                          |                         |            |          |   |                     |                               |                                 | Yield % 4                       | !    | _ |

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**CLIENT STATEMENT** | For the Period December 1-31, 2012

Holdings

Portfolio Management Retirement Account

NICK JOE RAHALL 109 MAIN STREET

|           | JP MORGAN STRAT INC OPP SEL (JSOSX) | Share Price: \$27.760; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest | Net Value Increase/(Decrease) |           |          | Short Term Reinvestments | Long Term Reinvestments |           | JP MORGAN MID CAP VALUE S (JMVSX) | Share Price: \$13.970; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest | Net Value Increase/(Decrease) | Total Purchases vs Market Value |             | Long Term Reinvestments |             | (HDCSX) | JP MORGAN HIBRG DYN COMM STRT | Share Price: \$11.200; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest | Net Value increase/(Decrease) | Total Purchases vs Market Value |           |          | Short Term Reinvestments | Long Term Reinvestments |           | Security Description       | OTHER MUTUAL FUNDS (CONTINUED) | MUTUAL FUNDS |
|-----------|-------------------------------------|---|-------------------------------|-----------|----------|--------------------------|-------------------------|-----------|-----------------------------------|---|-------------------------------|---------------------------------|-------------|-------------------------|-------------|---------|-------------------------------|---|-------------------------------|---------------------------------|-----------|----------|--------------------------|-------------------------|-----------|----------------------------|--------------------------------|--------------|
|           | 8/12/11                             | d Reinvestment; Capit   |                               |           | Total    |                          |                         | Purchases | 10/11/11                          | d Reinvestment; Capi  |                               |                                 | Total       |                         | Purchases   |         | 10/11/11                      | d Reinvestment; Capi  |                               |                                 |           | Total    |                          |                         | Purchases | Trade Date                 |                                |              |
|           | 153.712                             | tal Gains Reinvest  |                               |           | 9.607    | 0.193                    | 0.065                   | 9.349     | 9.349                             | tal Gains Reinvest  |                               |                                 | 40.456      | 1.988                   | 38,468      |         | 38,468                        | tal Gains Reinvest  |                               |                                 |           | 266.548  | 5.491                    | 2.092                   | 258.965   | Quantity                   |                                |              |
|           | 11.540                              |   |                               |           |          |                          |                         |           | 21.950                            |   |                               |                                 |             |                         |             |         | 18.671                        |   |                               |                                 |           |          |                          |                         |           | Unit Cost                  |                                |              |
|           | 1,773.83                            |   | 205.21                        |           | 212.08   | 5.38                     | 1,49                    | 205,21    | 205.21                            |   |                               | 718.24                          | 752.43      | 34.19                   | 718.24      |         | 718.24                        |   |                               | 2,877.92                        |           | 2,962.74 | 61.53                    | 23.29                   | 2,877.92  | Total Cost                 |                                |              |
| -         | 1,818.41                            |   | 266.69<br>61.48               | }         | 266.69   | 5.36                     | 1.80                    | 259.53    | 259.53                            |   | (153,07)                      | 565,17                          | 565.17      | 27.77                   | 537.40      |         | 537,40                        |   | 107.42                        | 2,985.34                        |           | 2,985.34 | 61.50                    | 23.43                   | 2,900.41  | Market Value               |                                |              |
|           | 44,58 LT                            |   |                               | (0.02) ST | 54.63 LT | (0.02) ST                | 0.31 LT                 | 54,32 LT  | 54.32 LT                          |   |                               |                                 | (187.26) LT | (6,42) LT               | (180.84) LT |         | (I80,84) LT                   |   |                               |                                 | (0.03) ST | 22.63 LT | (0.03) ST                | 0.14 בד                 | 22.49 LT  | Unrealized Gain/(Loss)     |                                |              |
| Q         |                                     |   |                               |           | 3.00     |                          |                         |           |                                   |   |                               |                                 | 1           |                         |             |         |                               |   |                               |                                 | !         | 61.00    |                          |                         |           | Estimated<br>Annual Income |                                |              |
| CONTINUED | i                                   |   |                               |           | 1.12     |                          |                         |           |                                   |   |                               |                                 | ı           |                         |             |         |                               |   |                               |                                 | !         | 2.04     |                          |                         |           | Dividend 4<br>Yield %      | _                              | p.           |



CONSOLIDATED SUMMARY

PERSONAL ACCOUNTS

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**CLIENT STATEMENT** | For the Period December 1-31, 2012

Holdings

Portfolio Management Retirement Account

NICK JOE RAHALL 109 MAIN STREET

|           | Total     | Short Term Reinvestments | Long Term Reinvestments | Purchases | (ODVYX)   | Share Price: \$10.890; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest | Total Purchases vs Market Value  Net Value Increase/(Decrease) | Total               | Short Term Reinvestments | Long Term Reinvestments | Purchases | (MWTIX) 10/11/11 | METROPOLITAN WEST TOT RET BD 1 9/15/11 | Share Price: \$15.830; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest | Net Value increase/(Decrease) |          | Total      | Short Term Reinvestments | Purchases  | MERGER FUND (MERFX) 9/21/12 | Share Price: \$11.830; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest | Net Value Increase/(Decrease) | Total Purchases vs Market Value |         | Total    | Short Term Reinvestments | Long Term Reinvestments | Purchases | Security Description Trade Date | OTHER MUTUAL FUNDS (CONTINUED) | MUTUAL FUNDS |
|-----------|-----------|--------------------------|-------------------------|-----------|-----------|---|--|---------------------|--------------------------|-------------------------|-----------|------------------|--|---|-------------------------------|----------|------------|--------------------------|------------|-----------------------------|---|-------------------------------|---------------------------------|---------|----------|--------------------------|-------------------------|-----------|---------------------------------|--------------------------------|--------------|
|           | 63.333    | 0,464                    | 1.157                   | 61.712    | 01./12    | Capital Gains Keinvest  |  | 202.199             | 10.881                   | 3.604                   | 187.714   | 57.971           | 129.743                                | Capital Gains Reinvest  |                               |          | 78.623     | 1.575                    | 77.048     | 77.048                      | Capital Gains Reinvest  |                               |                                 |         | 163.084  | 5,092                    | 4.280                   | 153.712   | Quantity                        |                                |              |
|           |           |                          |                         |           | 91.000    | 21 550  |  |                     |                          |                         |           | 10.370           | 10.500                                 |   |                               |          |            |                          |            | 15.970                      |   |                               |                                 |         |          |                          |                         |           | Unit Cost                       |                                |              |
|           | 1,996.88  | 15.68                    | 34.20                   | 1,947.00  | 1,347.00  | 3   | 1,963.46   | 2,118.60            | 117.74                   | 37.40                   | 1,963.46  | 601.16           | 1,362.30                               |   | 1,800.40                      | 1 220 /8 | 1.255.29   | 24.84                    | 1,230.45   | 1,230.45                    |   |                               | 1,773.83                        |         | 1,881.77 | 59.21                    | 48.73                   | 1,773.83  | Total Cost                      |                                |              |
|           | 2,209.06  | 16.18                    | 40.35                   | 2,152.51  | 16.761,7  | 0.150.51  | 2,201.95<br>238.49   | 2,201.95            | 118.49                   | 39.24                   | 2,044.20  | 631.30           | 1,412.90                               |   | 1,244.60                      | 3 344 60 | 1.244.60   | 24.93                    | 1,219.67   | 1,219.67                    |   | 155.45                        | 1,929.28                        |         | 1,929.28 | 60.24                    | 50.63                   | 1,818.41  | Market Value                    |                                |              |
|           | 211.66 LT | 0,50 ST                  | 6.15 LT                 | 205.51 LT | 702.91 L. | 200   |  | 82.58 LT<br>0.75 ST | 0.75 ST                  | 1.84 LT                 | 80.74 LT  | 30.14 LT         | 50.60 LT                               |   |                               |          | (10.69) ST | 0.09 ST                  | (10.78) ST | (10.78) ST                  |   |                               |                                 | 1.03 ST | 46.48 LT | 1.03 ST                  | 1.90 LT                 | 44.58 LT  | Unrealized<br>Gain/(Loss)       | :                              |              |
| -         | 16.00     |                          |                         |           |           |   |  | 89.00               |                          |                         |           |                  |  |   |                               | 1        | 20.00      |                          |            |                             |   |                               |                                 |         | 68.00    |                          |                         |           | Estimated<br>Annual Income      | <b>1</b>                       | -            |
| CONTINUED | 0.72      |                          |                         |           |           |   |  | 4.04                |                          |                         |           |                  |  |   |                               | ;        | 1 60       |                          |            |                             |   |                               |                                 |         | 3.52     |                          |                         |           | Dividend<br>Yield %             | 4 -                            | - p          |

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**CLIENT STATEMENT** | For the Period December 1-31, 2012

Holdings

OTHER MUTUAL FUNDS (CONTINUED)

MUTUAL FUNDS

Portfolio Management Retirement Account

NICK JOE RAHALL 109 MAIN STREET

| Security Description  | Trade Date           | Quantity             | Unit Cost | Total Cost | Market Value       | Unrealized          | Estimated | Dividend 4 |
|---|----------------------|----------------------|-----------|------------|--------------------|---------------------|-----------|------------|
| Total Purchases vs Market Value   |                      |                      |           | 1,947.00   | 2,209.06           |                     |           | 11990      |
| Net Value Increase/(Decrease)   |                      |                      |           |            | 262,06             |                     |           |            |
| Share Price: \$34.880; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest | nd Reinvestment; Cap | oital Gains Reinvest |           |            |                    |                     |           |            |
| TEMPLETON GLOBAL BD FD ADV (TGBAX)  | 9/15/11              | 95,480               | 13.278    | 1,267.81   | 1,273.70           | 5.89 LT             |           |            |
|   | Purchases            | 95.480               |           | 1,267.81   | 1,273.70           | 5.89 LT             |           |            |
| Long Term Reinvestments   | •                    | 3.323                |           | 41.19      | 44,33              | 3.14 LT             |           |            |
| Short Term Reinvestments  |                      | 7.616                |           | 99.59      | 101.60             | 2.01 ST             |           |            |
|   | Total                | 106.419              |           | 1,408.59   | 1,419.63           | 9,03 LT             | 63.00     | 4.43       |
|   |                      |                      |           |            |                    | 2,013               |           |            |
| Total Purchases vs Market Value Net Value Increase/(Decrease)                       |                      |                      |           | 1,267.81   | 1,419.63<br>151.82 |                     |           |            |
| Share Price: \$13,340; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest | nd Reinvestment; Cap | vital Gains Reinvest |           |            |                    |                     |           |            |
| THORNBURG INTL VALUE I (TGVIX)  | 8/12/11              | 8,392                | 26.140    | 219.37     | 235.73             | 16.36 LT            |           |            |
|   | Purchases            | 8,392                |           | 219.37     | 235.73             | 16.36 LT            |           |            |
| Long Term Reinvestments   |                      | 0,087                |           | 2.05       | 2.44               | 0.39 LT             |           |            |
| Short Term Reinvestments  |                      | 0,116                |           | 3.00       | 3.26               | 0.26 ST             |           |            |
|   | Total                | 8,595                |           | 224.42     | 241,43             | 16.75 LT<br>0.26 ST | 3.00      | 1.24       |
| Total Purchases vs Market Value   |                      |                      |           | 219.37     | 241.43             |                     |           |            |
| Net Value Increase/(Decrease)   |                      |                      |           |            | 22.06              |                     | ,         |            |
| Share Price: \$28.090; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest | nd Reinvestment; Cap | vital Gains Reinvest |           |            |                    |                     |           |            |
| WELLS FARGO ADV GW ADMIN (SGRKX)  | 8/12/11              | 52,473               | 34,534    | 1,812.10   | 2,196.52           | 384.42 LT           |           |            |
|   | Purchases            | 52,473               |           | 1,812,10   | 2,196.52           | 384.42 LT           |           |            |
| Long Term Reinvestments   |                      | 0,699                |           | 25.78      | 29.26              | 3,48 LT             |           |            |
|   | Total                | 53,172               |           | 1,837.88   | 2,225.78           | 387.90 LT           | 1         |            |
| Total Purchases vs Market Value   |                      |                      |           | 1,812.10   | 2,225.78           |                     |           |            |
| Net Value Increase/(Decrease)   |                      |                      |           |            | 413.68             |                     |           |            |
| Share Price: \$41.860; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest | nd Reinvestment; Cap | ital Gains Reinvest  |           |            |                    |                     |           |            |
| WESTERN ASSET CORE PLUS BD I (WACPX) 9/15/11  | ) 9/15/11            | 123,062              | 11.070    | 1,362.30   | 1,436.13           | 73.83 LT            |           |            |
|   |                      | 54 514               | 10 970    | E00 01     | 606.10             | 38 17 1 T           |           |            |



CONSOLIDATED SUMMARY

PERSONAL ACCOUNTS

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Holdings

Portfolio Management Retirement Account

NICK JOE RAHALL 109 MAIN STREET

| Unit Cost Total Cost Market Value GainV(Loss)  1,960.31 2,072.31 112.00 LT  14.71 15.50 0.79 LT  60.87 61.87 1.00 ST  2,035.89 2,149.68 112.79 LT  1,960.31 2,149.68 189.37  St Unrealized Unrealized Unrealized  | \$677.00<br>\$670.00 | \$1,412.51 LT<br>\$1,67.78) ST | Marxe, value<br>\$29,925.38 | \$28,580,60 |           | 01 ASSES 76<br>66.7%  |                       | MUTUAL FUNDS                               |
|---|----------------------|--------------------------------|-----------------------------|-------------|-----------|-----------------------|-----------------------|--|
| L. FUNDS (CONTINUED)  Trade Date Quantity Unit Cost Total Cost Market Value Gainv(Loss)  Purchases 177.576 1,960.31 2,072.31 112.00 LT 1.328 14.71 15.50 0.79 LT nvestments 5.302 60.87 61.87 1.00 ST nvestments 5.302 60.87 61.87 1.00 ST s vs Market Value 70tal 184.206 2,035.89 2,149.68 112.79 LT s vs Market Value 1,960.31 2,149.68 112.79 LT 1.00 ST  | Ann                  | Unrealized                     |                             | Total Cost  |           | Percentage            |                       |  |
| L_FUNDS (CONTINUED)  Trade Date  Quantity Unit Cost Trade Date  Quantity Unit Cost Trade Date  Quantity Unit Cost Trade Date  Quantity Unit Cost Trade Date  Quantity Unit Cost Trade Date  Quantity Unit Cost Trade Date  Quantity Unit Cost Trade Date  Quantity Unit Cost Trade Date  Quantity Unit Cost Trade Date  Quantity Unit Cost Trade Date  Quantity Trade Date Trade Date  Quantity Unit Cost Trade Date Trade Date  Quantity Unit Cost Trade Date Date Trade Date Unrealized Market Value Gain/(Loss)  112.00 LT 1.00 ST |                      |                                |                             |             |           | apital Gains Reinvest | idend Reinvestment; C | Share Price: \$11.670; Enrolled In MS Divi |
| L FUNDS (CONTINUED)  Trade Date  Quantity  Unit Cost  Total Cost  Narket Value  Gainv(Loss)  1,328  1,349,68   |                      |                                | 189.37                      |             |           |                       |                       | Net Value Increase/(Decrease)              |
| L FUNDS (CONTINUED)  Trade Date  Quantity  177.576  Purchases  1.328  |                      |                                | 2,149.68                    | 1,960.31    |           |                       |                       | Total Purchases vs Market Value            |
| L FUNDS (CONTINUED)  Trade Date  Quantity  Trade Date  1,328  Purchases  1,328  1,49,68  1,12,79 LT   |                      | 1.00 ST                        |                             |             |           |                       |                       |  |
| L FUNDS (CONTINUED)  Trade Date  Quantity 177.576  Purchases 177.576  1.328  |                      | 112.79 LT                      | 2,149.68                    | 2,035.89    |           | 184.206               | Total                 |  |
| L FUNDS (CONTINUED)  Trade Date Quantity Unit Cost Total Cost Market Value Gain/(Loss)  Purchases 177.576 1,960.31 2,072.31 112.00 LT  1.328 14.71 15.50 0.79 LT  |                      | 1.00 ST                        | 61.87                       | 60.87       |           | 5.302                 |                       | Short Term Reinvestments                   |
| L FUNDS (CONTINUED)  Trade Date Quantity Unit Cost Total Cost Market Value Gainy(Loss)  Purchases 177.576 1,960.31 2,072.31 112.00 LT   |                      | 0.79 LT                        | 15.50                       | 14.71       |           | 1.328                 |                       | Long Term Relavestments                    |
| UDS (CONTINUED)  Trade Date Quantity Unit Cost Total Cost Market Value Gain/(Loss)  |                      | 112.00 LT                      | 2,072.31                    | 1,960.31    |           | 177.576               | Purchases             |  |
| MUTUAL FUNDS (CONTINUED)  | l<br>Annu            | Unrealized<br>Gain/(Loss)      | Market Value                | Total Cost  | Unit Cost | Quantity              | Trade Date            | Security Description                       |
| MUTUAL FUNDS  |                      |                                |                             |             |           |                       | (D)                   | OTHER MUTUAL FUNDS (CONTINUE               |
|   |                      |                                |                             |             |           |                       |                       | MUTUAL FUNDS                               |
|   |                      |                                |                             |             |           |                       |                       |  |

Transactions in mutual fund positions held directly at the Fund Company are not included in the total above and are not reflected on the Summary Page. For more information about the pricing of Money Market Funds, please see the Expanded Disclosures.

|        | TOTAL MARKET VALUE  |
|--------|---|
| :<br>: |   |
|        | Percentage<br>of Assets %<br>100.0%                         |
|        | Total Cost<br>\$41,504.22                                   |
| ****   | Market Value<br>\$44,844.54                                 |
|        | Unrealized<br>Gain/(Loss)<br>\$1,952,55 LT<br>\$(111.77) ST |
|        | Annual Income Accrued Interest \$911.66 \$0.00              |
|        | Yield %<br>2.03%  |

TOTAL VALUE (includes accrued interest)

\$44,844.54

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

Security Mark at Right

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Progress summary

THIS PERIOD

\$167,739.34 THIS YEAR

SNAPSHOT

NICK JOE RAHALL (SEP IRA) FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2012

Page 1 of 10

### Value over time

| 180,024.83   | 5,378.39<br>6,907.10 | 0.00<br>0.00<br>0.00 | 167,739.34<br>0.00 | THIS YEAR |                  |
|--|----------------------|----------------------|--------------------|-----------|------------------|
| 01/  | 50,000 -             | 100,000              | 150,000            | 200,000   | Aging CAG: Mills |
| 12 02  |                      |                      |                    |           | 5                |
| 12 03/1  |                      |                      |                    |           |                  |
| 0<br>01/12 02/12 03/12 04/12 05/12 06/12 07/12 08/12 09/12 10/12 11/12 12/12 |                      |                      |                    |           |                  |
| 2 05/12  |                      |                      |                    |           |                  |
| 06/12  |                      |                      |                    |           |                  |
| 07/12  |                      |                      |                    |           |                  |
| 08/12  |                      |                      |                    |           | ٠                |
| 09/12  |                      |                      |                    |           |                  |
| 10/12  |                      |                      |                    |           |                  |
| 11/12  |                      |                      |                    |           |                  |
| 12/12  |                      |                      |                    |           |                  |

Opening value
Cash deposited
Securities deposited
Cash withdrawn
Securities withdrawn
Income earned

\$179,350.83 0.00 0.00 0.00 0.00 0.00 1,492.57 -818.57

Closing value

\$180,024.83

\$180,024.83

Change in value

### Portfolio summary

CURRENT

Asset value

\$179,350.83

100%

\$180,024.83

100%

\$2,877

10 216

ASSETS

Cash and sweep balances Stocks, options & ETFs ASSET TYPE Fixed income securities Mutual funds 105,218.56 5,141.25 33,534.76 35,456.26 58.67 2.87 18.70 19.77 105,311.18 5,153.75 33,888.26 35,671.64

PREVIOUS VALUE ON NOV 30 ፠ CURRENT VALUE ON DEC 31 58.50 2.86 18.82 19.81 % ESTIMATED ANN. INCOME 2,353 298



### NICK JOE RAHALL (SEP IRA) FCC AS CUSTODIAN

1

DECEMBER 1 - DECEMBER 31, 2012

### Additional information

Accrued interest on purchases Accrued interest on sales

> THIS PERIOD 0.00 0,0 THIS YEAR 58.00 -243.64

Grass proceeds

THIS PERIOD 0.00

THIS YEAR 61,099.07

Portfolio detail

# Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

|                                     | %<br>Q  | ANNUAL PERCENTAGE | CURRENT      | ESTIMATED     |  |
|-------------------------------------|---------|-------------------|--------------|---------------|--|
| DESCRIPTION                         | ACCOUNT | YIELD EARNED*     | MARKET VALUE | ANNUAL INCOME |  |
| BANK DEPOSIT SWEEP                  | 58.50   | 0.01              | 105,311.18   | 10.53         |  |
| Interest Period 12/01/12 - 12/31/12 |         |                   |              |               |  |
| Total Cash and Sweep Balances       | 58.50   |                   | \$105,311.18 | \$10.53       |  |

<sup>\*</sup> APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

### Stocks, options & ETFs

#### Stocks and ETFs

|   |         |          |                  |                         | ESTIMATED                      | ATED                |
|---|---------|----------|------------------|-------------------------|--------------------------------|---------------------|
|   | ACCOUNT | QUANTITY | CURRENT<br>PRICE | CURRENT<br>MARKET VALUE | ANNUAL ANNUAL NICOME YIELD (%) | ANNUAL<br>YIELD (%) |
| ISHARES S&P GLOBAL<br>UTILITIES INDEX FUND<br>JX/ | 2.86    | 125      | 41.2300          | 5,153.75                | 216.12                         | 4, 19               |
| Total Stocks and ETFs                             | 2.86    |          |                  | \$5,153.75              | \$216.12                       | 4.19                |
| Total Stocks, options & ETFs                      | 2.86    |          |                  | \$5,153.75              | \$216.12                       | 4.19                |

### NICK JOE RAHALL (SEP IRA) FCC AS CUSTODIAN

DECEMBER 1 - DECEMBER 31, 2012

### **Fixed Income Securities**

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

| 7.06                | \$2,028.75    | \$475.95            | \$28,753.76             |                  | 29,000   | 15.97 | Total Corporate Bonds   |
|---------------------|---------------|---------------------|-------------------------|------------------|----------|-------|---|
| 5.96                | 472.50        | 118.13              | 7,927.36                | 113.2480         | 7,000    | 4.40  | GOLDMAN SACHS GROUP INC<br>SUBORDINATE NOTES<br>CPN 6.750% DUE 10/01/37<br>DTD 10/03/07 FC 04/01/08<br>Moody BAA1 , S&P BBB+<br>CUSIP 38141GFD1             |
| 6.22                | 393.75        | 98.44               | 6,321.40                | 126.4280         | 5,000    | 3.51  | UNION CARBIDE<br>GLOBAL NOTES<br>NON CALL LIFE<br>CPN 7.875% DUE 04/01/23<br>DTD 04/01/93 FC 10/01/93<br>Moody BAA2 , S&P BBB<br>CUSIP 905572AD5            |
| N/A                 | NA            | N/A                 | 2,625.00                | 52.5000          | 5,000    | 1,46  | EDISON MISSION ENERGY<br>SR NOTES<br>CALLABLE<br>CPN 7.750% DUE 06/15/16<br>DTD 06/06/06 FC 12/15/06<br>Moody CA , S&P D<br>CUSIP 281023AR2                 |
| 11.36               | 787.50        | 196.88              | 6,930.00                | 99.0000          | 7,000    | 3.85  | FIRST DATA CORPORATION COMPANY GTD CALLABLE CPN 11.250% DUE 03/31/16 DTD 03/31/09 FC 09/30/09 CALL 03/30/13 @ 102.813 Moody CAA2 , S&P CCC+ CUSIP 319963AV6 |
| 7.57                | 375.00        | 62.50               | 4,950.00                | 99.0000          | 5,000    | 2.75  | CHIQUITA BRANDS INTL<br>SENIOR NOTES<br>CALLABLE<br>CPN 7.500% DUE 11/01/14<br>DTD 09/28/04 FC 05/01/05<br>Moody CAA1 , S&P B-<br>CUSIP 170032AQ9           |
| ANNUAL<br>YIELD (%) | ANNUAL INCOME | ACCRUED<br>INTEREST | CURRENT<br>MARKET VALUE | CURRENT<br>PRICE | QUANTITY | % OF  | Corporate Bonds DESCRIPTION   |



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### NICK JOE RAHALL (SEP IRA) FCC AS CUSTODIAN

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DECEMBER 1 - DECEMBER 31, 2012

### Fixed Income Securities

#### Foreign Bonds

Foreign Fixed Income securities may be denominated in currencies other than US dollars. If you own securities denominated in a foreign currency, the figures displayed for "Estimated Accrued Interest" and "Estimated Annual Income" below are in the foreign currency, not US dollars. As a result, the figures shown in "Estimated Annual Yield" are not accurate for bonds denominated in foreign currency. Please contact Your Financial Advisor if you own a Foreign Fixed Income security that is denominated in other than US dollars and have additional questions.

|   |       |          |                  |                         |                     | ESTIMATED  |                     |
|---|-------|----------|------------------|-------------------------|---------------------|------------|---------------------|
| DESCRIPTION   | % OF  | QUANTITY | CURRENT<br>PRICE | CURRENT<br>MARKET VALUE | ACCRUED<br>INTEREST | ANNUAL     | ANNUAL<br>YIELD (%) |
| ANGLOGOLD HOLDINGS PLC<br>SENIOR NOTES<br>CPN 6.500% DUE 04/15/40<br>DTD 04/28/10 FC 10/15/10<br>Moody BAA2 , S&P BBB-<br>CUSIP 03512TAB7 | 2.85  | 5,000    | 102.6900         | 5,134.50                | 68.61               | 325.00     | 6.32                |
| Total Foreign Bonds   | 2.85  | 5,000    |                  | \$5,134.50              | \$68.61             | \$325.00   | 6.33                |
| Total Fixed Income Securities   | 18.82 | ·        |                  | \$33,888.26             | \$544.56            | \$2,353.75 | 6.95                |
|   |       |          |                  |                         |                     |            |                     |

#### **Mutual Funds**

### **Open End Mutual Funds**

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return. **ESTIMATED** 

| DESCRIPTION ACCOUNT QUANTITY PRICE MARKET VALUE   |  |  | ANNUAL AI | ANNUAL |
|---|--|--|-----------|--------|
| ASTON FUNDS 23.8400 6,010.49 MONTAG & CALDWELL GROWTH FD CL N MCGFX On Reinvestment   |  |  | j         | N/A    |
| ALLIANCEBERNSTEIN FDS 3.42 208.21400 29.6000 6,163.13 SMALL CAP GROWTH CL-B QUABX On Reinvestment   |  | 3.13   | N/A       | N/A    |
| AMF LARGE CAP EQUITY         9.46         1,873.36100         9.0900         17,028.85           FUND CLASS AMF           IICAX           On Reinvestment |  | The state of the s | 222.92    | 1.30   |

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### NICK JOE RAHALL (SEP IRA) FCC AS CUSTODIAN

# DECEMBER 1 - DECEMBER 31, 2012

**Mutual Funds** 

| Total Mutual Funds | Total Open End Mutual Funds | CL-C<br>JCLGX<br>On Reinvestment  | JOHN HANCOCK II LIFESTYLE GROWTH FUND | DESCRIPTION              | Open End Mutual Funds continued |
|--------------------|-----------------------------|---|---------------------------------------|--------------------------|---------------------------------|
| 19.81              | 19.81                       |   | 3.59                                  | % OF<br>ACCOUNT          | ntinued                         |
|                    | 1                           |   | 477.07800                             | QUANTITY                 |                                 |
|                    |                             | 10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>1 | 13.5600                               | CURRENT<br>PRICE         |                                 |
| \$35,671.64        | \$35,671.64                 |   | 6,469.17                              | T CURRENT E MARKET VALUE |                                 |
| \$298.77           | \$298.77                    |   |                                       | ANNUAL                   | ESTINATED                       |
| 0.84               | 0.84                        |   | 1.17                                  | ANNUAL<br>YIELD (%)      | TED                             |

### **SNAPSHOT**

NICK RAHALL CHARITABLE
REMAINDER UNIT TR
RICK J RAHALL II TTEE
U/A DTD 12/16/99
DECEMBER 1 - DECEMBER 31, 2012

Page 1 of 13

### **Progress summary**

| \$327,039.36 | \$327,039.36 |
|--------------|--------------|
| -5.171.47    | -13.480.71   |
| 6,806.22     | 743.03       |
| \$325,404.61 | \$339,777.04 |

THIS PERIOD

THIS YEAR

Opening value Income earned ange in value

Closing value

As a Wells Fargo Advisors Client, you can easily simplify your finances by combining all your investing and banking into a single, easy-to-manage relationship. By upgrading to the command Asset Program, you'll have access to many more features and benefits to help to you manage your finances. You'll see all your investing and banking activity on one at comprehensive monthly statement. It's as simple as talking with Your Financial Advisor.

S Ask them today about the Command Asset Program.

### Portfolio summary

| \$3,808                  | 100%  | \$327,039.36 100%          | 100%  | \$339,777.04 100%           | Asset value             |        |
|--------------------------|-------|----------------------------|-------|-----------------------------|-------------------------|--------|
| C                        | 0.00  | 0.00                       | 0.00  | 0.00                        | Mutual funds            |        |
| 1,865                    | 10.49 | 34,307.42                  | 11.95 | 40,598.03                   | Fixed income securities |        |
| 1,921                    | 20.37 | 66,618.94                  | 35.16 | 119,478.93                  | Stocks, options & ETFs  |        |
| 22                       | 69.14 | 226,113.00                 | 52.89 | 179,700.08                  | Cash and sweep balances | ASSETS |
| ESTIMATED<br>ANN. INCOME | %     | CURRENT<br>VALUE ON DEC 31 | 8     | PREVIOUS<br>VALUE ON NOV 30 | ASSET TYPE              |        |

SNAPSHOT 001 BK BKO8

THIS PERIOD 65,994.61 0.00

THIS YEAR 575,698.27

NICK RAHALL CHARITABLE
REMAINDER UNIT TR
NICK J RAHALL II TTEE
UIA DTD 12/16/99
DECEMBER 1 - DECEMBER 31, 2012

### Additional information

| Return of principal | Accrued interest on purchases | Accrued interest on sales |             |
|---------------------|-------------------------------|---------------------------|-------------|
| 406.13              | 0.00                          | 8.65                      | THIS PERIOD |
| 4,817.46            | -148.89                       | 649.21                    | THIS YEAR   |
|                     | Foreign withholding           | Gross proceeds            |             |

### Portfolio detail

# Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

| \$22.94                    | \$226,113.00            |                                 | Total Cash and Sweep Balances       |
|----------------------------|-------------------------|---------------------------------|-------------------------------------|
|                            |                         |                                 | Interest Period 12/01/12 - 12/31/12 |
| 22,94                      | 229,411.53              | 0.01                            | BANK DEPOSIT SWEEP                  |
| 0.00                       | -3,298.53               | 0.00                            | Cash                                |
| ESTIMATED<br>ANNUAL INCOME | CURRENT<br>MARKET VALUE | ANNUAL PERCENTAGE YIELD EARNED* | DESCRIPTION                         |

<sup>\*</sup> APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

### Stocks, options & ETFs

| Total       | Acquired 04/27/06 no<br>Acquired 12/14/06 no | BRUNSWICK CORP | Acquired 03/22/12 | BP PLC SPONS ADR | DESCRIPTION              | STOCKS and ETPS |
|-------------|--|----------------|-------------------|------------------|--------------------------|-----------------|
| 200         | 100<br>100                                   |                | 175               |                  | QUANTITY                 |                 |
|             | 38.44<br>32.22                               |                | 45.37             |                  | ADJ PRICE/<br>ORIG PRICE |                 |
| \$7,241.00  | 3,927.00<br>3,314.00                         |                | 8,045.10          |                  | ADJ COST/<br>ORIG COST   |                 |
| 29.0900     |  |                | 41.6400           |                  | CURRENT                  |                 |
| \$5,818.00  | 2,909.00<br>2,909.00                         |                | 7,287.00          |                  | CURRENT<br>MARKET VALUE  |                 |
| -\$1,423.00 | -1,018.00<br>-405.00                         |                | -758.10           |                  | UNREALIZED<br>GAIN/LOSS  |                 |
| \$10.00     |  |                | 378.00            |                  | ANNUAL                   | EST             |
| 0.17        |  |                | 5.18              |                  | ANNUAL<br>YIELD (%)      | ESTIMATED       |



NICK RAHALL CHARITABLE REMAINDER UNIT TR NICK J RAHALL II TTEE U/A DTD 12/16/99 DECEMBER 1 - DECEMBER 31, 2012



#### Stocks and ETFs continued Stocks, options & ETFs

| DESCRIPTION  CANADIAN NATURAL 30URCES LTD GNQ Acquired 03/20/12 Acquired 04/20/12  Total  CITIGROUP INC NEW C Acquired 11/06/07 nc Acquired 11/06/07 nc Acquired 10/08/08 nc Acquired 05/12/11  Total  GANNETT CO INC DEL GCI | 235<br>65<br>65<br>300<br>6.42105<br>7.42105<br>12.36842<br>19.78948<br>153 | ADJ PRICE<br>ORIG PRICE<br>35.24<br>32.35<br>351.80<br>351.80<br>351.90<br>279.33<br>148.56<br>41.99 | ADJ COSTI<br>ORIG COST<br>8,407.83<br>2,162.75<br>\$10,570.58<br>\$10,570.58<br>3,562.38<br>3,047.50<br>6,525.54<br>\$18,118.65 | CURRENT PRICE 28.8700                   |  | UNIREALIZED GAIN/LOSS -1,623.38 -2,86.20 -2,059.85 -2,375.78 -3,073.09 -2,264.63 -472.86 | ANNUAL AN | ANNUAL YIELD (%)  1.46 |
|---|---|--|---|---|--|--|--|------------------------|
| CITIGROUP INC NEW C Acquired 11/08/07 nc  | 8 42105   | 351 80   | 2313.87   | 28.8700                                 | \$8,661.00<br>254.02                             | -2 050 85  | \$120.54   | 1.40                   |
| Acquired 11/06/07 nc<br>Acquired 11/06/07 nc<br>Acquired 01/08/08 nc<br>Acquired 10/09/08 nc<br>Acquired 05/12/11   | 6.42105<br>7.42105<br>12.36842<br>19.78948<br>153                           | 351.80<br>351.90<br>279.33<br>148.56<br>41.99  | 2,313.87<br>2,669.36<br>3,562.38<br>3,047.50<br>6,525.54  | 777 T T T T T T T T T T T T T T T T T T | 254.02<br>293.58<br>489.29<br>782.87<br>6,052.68 | -2,059.85<br>-2,375.78<br>-3,073.09<br>-2,264.63<br>-472.86                              |  |                        |
| Total GANNETT CO INC DEL  | 199   | AMAZILL.   | \$18,118.65   | 39.5600                                 |  | \$10,246.21  | \$7.96   | 0.10                   |
| Acquired 09/29/04 no<br>Acquired 04/20/05 no<br>Acquired 03/27/06 no<br>Acquired 09/26/07 no  | 33333<br>8888   | 84.30<br>77.08<br>58.64<br>44.23   | 8,515.50<br>7,793.50<br>5,958.00<br>4,526.20  |   | 1,801.00<br>1,801.00<br>1,801.00                 | 6,714.50<br>5,992.50<br>4,157.00<br>-2,725.20  |  |                        |
| Total  VERAL ELECTRIC COMPANY   | 400   |  | \$26,793.20   | 18.0100                                 | \$7,204.00                                       | \$19,589.20  | \$320.00   | 4.44                   |
| VERAL ELECTRIC COMPANY  Acquired 04/11/08 nc  HEWLETT-PACKARD COMPANY   | 200   | 32.54  | 6,645.07  | 20.9900                                 | 4,198.00   | -2,447.07  | 152,00   | 3.62                   |
| Acquired 03/04/11<br>Acquired 08/17/11<br>Acquired 08/26/12   | 200<br>175<br>125   | 42.44<br>31.59<br>19.36  | 8,616.27<br>5,629.14<br>2,480.56  |   | 2,850.00<br>2,493.75<br>1,781.25                 | -5,766.27<br>-3,135.39<br>-699.31  |  | j                      |
| Total KRONOS WORLDWIDE INC  | 500   |  | \$16,725.97   | 14.2500                                 | \$7,125.00                                       | \$9,600.97   | \$264.00   | 3.71                   |
| Acquired 04/30/12 MEDIA GENERAL INC CL A  | 300   | 23.70  | 7,245.00  | 19.5000                                 | 5,850.00   | -1,395.00  | 180.00   | 3.07                   |
| MEG<br>Acquired 10/23/07 no<br>Acquired 12/18/07 no   | 100<br>100  | 27.25<br>20.90   | 1,395.62<br>2,193.49  |   | 215.00<br>430.00                                 | -1,180.62<br>-1,763.49   |  |                        |

NICK RAHALL CHARITABLE REMAINDER UNIT TR NICK J RAHALL II TTEE U/A DTD 12/16/99 DECEMBER 1 - DECEMBER 31, 2012

### Stocks, options & ETFs

Stocks and ETFs continued

|                              |          |                          |                        |         |                         |                         | EST.N.     | ESTIMATED           |
|------------------------------|----------|--------------------------|------------------------|---------|-------------------------|-------------------------|------------|---------------------|
| DESCRIPTION                  | QUANTITY | ADJ PRICE/<br>ORIG PRICE | ADJ COST/<br>ORIG COST | CURRENT | CURRENT<br>MARKET VALUE | UNREALIZED<br>GAIN/LOSS | ANNUAL     | ANNUAL<br>YIELD (%) |
| uired 04/04/08 nc            | 150      | 15.03                    | 2,359.53               |         | 645.00                  | -1,714.53               |            |                     |
| rotal                        | 300      |                          | \$5,948.64             | 4.3000  | \$1,290.00              | -\$4,658.64             | N/A        | N/A                 |
| OTTER TAIL CORPORATION       |          |                          |                        |         |                         |                         |            |                     |
| OTTR                         |          |                          |                        |         |                         |                         |            |                     |
| Acquired 09/18/08 nc         | 200      | 34.82                    | 7,091.74               |         | 5,000.00                | -2,091.74               |            |                     |
| Acquired 12/28/12            | 150      | 24.53                    | 3,739.50               |         | 3,750.00                | 10.50                   |            |                     |
| Total                        | 350      |                          | \$10,831.24            | 25.0000 | \$8,750.00              | \$2,081.24              | \$416.50   | 4.76                |
| WELLS FARGO COMPANY          | ,        |                          |                        |         |                         |                         |            |                     |
| Acquired 10/24/07 no         | 25 62500 | 229 10                   | 5 946 00               |         | 875 86                  | -5 070 <b>14</b>        |            |                     |
| Acquired 01/04/08 nc         | 19,75000 | 180.84                   | 3 644 69               |         | 675.06                  | -2.969.63               |            |                     |
| Acquired 06/24/08 nc         | 29.62500 | 90.27                    | 2 759 42               |         | 1,012.58                | -1,746.84               |            |                     |
| Total                        | 75       |                          | \$12,350.11            | 34.1800 | \$2,563.50              | -\$9,786.61             | \$66.00    | 2.57                |
| Total Stocks and ETFs        |          |                          | \$130,514.56           |         | \$66,618.94             | -\$63,895.62            | \$1,921.00 | 2.88                |
| Total Stocks, options & ETFs |          |                          | \$130,514.56           |         | \$66,618.94             | -\$63,895.62            | \$1,921.00 | 2.88                |

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

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NICK RAHALL CHARITABLE REMAINDER UNIT TR NICK J RAHALL II TTEE U/A DTD 12/16/99 DECEMBER 1 - DECEMBER 31, 2012

### Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

#### **Corporate Bonds**

|  |          |                          |  |                                       |                         |                         |                     | ESTIMATED |                     |
|--|----------|--------------------------|--|---------------------------------------|-------------------------|-------------------------|---------------------|-----------|---------------------|
| DESCRIPTION  | QUANTITY | ADJ PRICE/<br>ORIG PRICE | ADJ COST/<br>ORIG COST   | CURRENT                               | CURRENT<br>MARKET VALUE | UNREALIZED<br>GAIN/LOSS | ACCRUED<br>INTEREST | ANNUAL    | ANNUAL<br>YIELD (%) |
| AMERICAN GEN FIN CORP<br>CPN 6.750% DUE 07/15/13<br>DTD 06/26/08 FC 01/15/09<br>Moody CAA1, S&P CCC<br>CUSIP 02639EPR9<br>Acquired 06/23/08 nc | 10,000   | 100.00                   | 10,000.00  | 99.0860                               | 9,808,60                | -91.40                  | 311.25              | 675.00    | 6<br>8              |
| Total Corporate Bonds  | 10,000   |                          | \$10,000,00  |                                       | \$9,908.60              | \$91.40                 | \$311.25            | \$675.00  | 6.81                |
|  |          |                          | A TOTAL OF THE PARTY OF THE PAR | · · · · · · · · · · · · · · · · · · · | •                       |                         |                     |           |                     |

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

# **Government Asset Backed/CMO Securities**

| GNMA 05-5 EA REMIC MULTICLASS CMO CPN 5.500% DUE 07/20/34 DTD 01/01/05 FC 02/20/05 REMAIN BAL 10,511.37 DEC FACTOR 0.42045505 CUSIP 38374KNM1 Acquired 06/16/05 nc | GNMA 04-102 MA REMIC MULTICLASS CMO CPN 5.500% DUE 04/20/34 'D 11/01/04 FC 12/20/04 'MAIN BAL 10,802.38 DEC FACTOR 0.43209533 CUSIP 38374/266 Acquired 12/02/04 nc | DESCRIPTION              |
|--|--|--------------------------|
| 25,000   | 25,000   | QUANTITY                 |
| 99.59<br>99.75   | 99.47<br>99.75   | ADJ PRICE/<br>ORIG PRICE |
| 10,469.14<br>18,050.91   | 10,745.89<br>24,943.50   | ADJ COST/<br>ORIG COST   |
| 118.3090   | 109.3450   | CURRENT                  |
| 12,225.87  | 11,811.86  | CURRENT<br>MARKET VALUE  |
| 1,756.53   | 1,065.97   | UNREALIZED<br>GAIN/LOSS  |
| 48.18  | 49.51  | ACCRUED<br>INTEREST      |
| 578.12   | 594.13   | ANNUAL INCOME            |
| 4.72   | 5.02   | ANNUAL<br>YIELD (%)      |

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NICK RAHALL CHARITABLE
REMAINDER UNIT TR
NICK J RAHALL II TTEE
U/A DTD 12/16/99
DECEMBER 1 - DECEMBER 31, 2012

### **Fixed Income Securities**

# **Government Asset Backed/CMO Securities continued**

|   |          |            |             |         |              |            |                    | 10 1 MAZ 1 L L |           |
|---|----------|------------|-------------|---------|--------------|------------|--------------------|----------------|-----------|
|   |          | ADJ PRICE/ | ADJ COST/   | CURRENT | CURRENT      | UNREALIZED | ACCRUED            | ANNUAL         | ANNUAL    |
| DESCRIPTION                               | QUANTITY | ORIG PRICE | ORIG COST   | PRICE   | MARKET VALUE |            | INTEREST           | INCOME         | YIELD (%) |
| Tal Government Asset                      |          |            | \$21,215.03 |         | \$24,037.53  | \$2,822.50 | \$97.69 \$1,172.25 | \$1,172.25     | 4.88      |
| ⇒ked/CMO Securities                       |          |            | \$42,994.41 |         |              |            |                    |                |           |
| T-1-1 D-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1 |          | 1010       |             |         |              |            |                    |                |           |

Total Remaining Balance on all Government Asset Backed/CMO Securities: \$21,313.75

no Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

# Corp. Mortgage/Asset Backed Securities

|   |                   |                          |                        |          |                         |                         |                     | ESTIMATED  |                     |
|---|-------------------|--------------------------|------------------------|----------|-------------------------|-------------------------|---------------------|------------|---------------------|
| DESCRIPTION   | QUANTITY          | ADJ PRICE/<br>ORIG PRICE | ADJ COST/<br>ORIG COST | CURRENT  | CURRENT<br>MARKET VALUE | UNREALIZED<br>GAIN/LOSS | ACCRUED<br>INTEREST | ANNUAL     | ANNUAL<br>YIELD (%) |
| BANK OF AMER 04-1 1A4<br>MULTICLASS CMO<br>CPN 5.500% DUE 02/25/34              | :                 |                          |                        |          |                         |                         |                     |            |                     |
| DTD 01/01/04 FC 02/25/04<br>Moody NR , S&P A-                                   |                   |                          |                        |          |                         |                         |                     |            |                     |
| REMAIN BAL 338.45   |                   |                          |                        |          |                         |                         |                     |            |                     |
| DEC FACTOR 0.02417513<br>CUSIP 05948XV32  |                   |                          |                        |          |                         |                         |                     |            |                     |
| Acquired 07/26/05 nc  | 14,000            | 70.75<br>99.25           | 239.46<br>13,901.00    | 106.7480 | 361.29                  | 121.83                  | 1.55                | 18.61      | 5.15                |
| al Corp. Mortgage/Asset   | ,                 |                          | \$239.46               |          | \$361.29                | \$121.83                | \$1.55              | \$18.61    | 5.15                |
| uacked Securities   |                   |                          | \$13,901.00            |          |                         |                         |                     |            |                     |
| Total Remaining Balance on all Corp. Mortgage/Asset Backed Securities: \$338.45 | lortgage/Asset Ba | cked Securities:         | \$338.45               |          |                         |                         |                     |            |                     |
| Total Fixed Income Securities   |                   |                          | \$31,454.49            |          | \$34,307.42 \$2,852.93  | \$2,852.93              | \$410.49 \$1,865.86 | \$1,865.86 | 5.44                |
|   |                   |                          | \$66,895.41            |          |                         |                         |                     |            |                     |

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

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109 MAIN STREET BECKLEY WV 25801-4610

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
(304) 252-6221

FIRST CLEARING, LLC 2801 MARKET STREET SAINT LOUIS, MO 63103 Payer ID #: 23-2384840

Attachment 7 - p.

As of Date: 1/25/13

Page 5 of 16

# Reportable Tax Information

of these changes directed by your personal tax advisor or counsel. Please see the "Understanding your 2012 1099 statement" insert that was included in your 1099 package for a detail explanation basis for a sale, maturity or expiration of debt instruments, rights, warrants, puts and calls is not currently required. In addition, no cost basis reporting is required on the Form a regulated investment company (RIC) or as part of a dividend reinvestment plan (DRIP) and those shares are eligible for the average cost basis election. The reporting of the cost requirements regarding substitute tax forms. Consistent with that IRS guidance, we are providing information in a format similar to what you are now required to provide with your information on IRS Form 8937. As a result, IRS Form 8949 now provides you with the format to notify the IRS of any cost basis adjustments that you deem necessary or as provided cost basis information to the IRS, and when we did not. In accordance with Federal tax regulations, the cost basis information will not reflect tax accounting elections you securities as supplemental information to assist you with completing your tax return. When completing IRS Form 8949, you must check the correct box to indicate when we have made, positions that you hold elsewhere that can impact the information we have provided, or organizational actions (such as mergers) for which the issuer provided 1099-B for REMICs, CMOs and WHFITs, because they are subject to reporting under different rules. When it is available, we are providing cost basis information for some of those January 1, 2011, if it was issued by a corporation and not eligible for the average cost basis election; and for stock acquired by you on or after January 1, 2012, that was issued by individual tax return on IRS Form 8949 and Schedule D (Form 1040). Please Note: Cost basis information is being sent to the IRS only for stock acquired by you on or after Starting with Tax Year 2011, the Form 1099-B ("Proceeds From Broker and Barter Exchange Transactions") reporting was modified to comply with Internal Revenue Service (IRS) 70

|                          | 31,604.72                      | 32,182.57                     | 63,787.29                     |                         | CURITIES                   | COVERED SE   | OSSES FOR NON               | TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES                           |
|--------------------------|--------------------------------|-------------------------------|-------------------------------|-------------------------|----------------------------|--------------|-----------------------------|---|
| SALE                     | 13,225.09<br><b>31,517.72</b>  | 4,953.02<br>1 <b>2,382.57</b> | 18,178.11<br><b>43,900.29</b> | 08/01/12                | 11/25/92                   | 91.61760     | 200.0000<br><b>500.0000</b> | Subtotal  |
| SALE                     | 6,175.08                       | 2,476.52                      | 8,651.60                      | 07/13/12                | 11/25/92                   | 87.51800     | 100,0000                    |   |
| SALE                     | 12,117.55                      | 4,953.03                      | 17,070.58                     | 06/25/12                | 11/25/92                   | 85.85480     | 200.0000                    | 3M CO<br>CUSIP 88579Y101  |
| SALE                     | 87.00                          | 19,800.00                     | 19,887.00                     | 05/07/12                | 99,46000 01/04/06 05/07/12 | 99.46000     | 20,000.0000                 | COMMN UNIV FACS REV MOUNTAIN ST B/Q B/E OID CPN 4.400% DUE 12/01/14 CUSIP 751062AL4 |
| Description              | Amount                         | (Box 3)                       | (Box 2a)*                     | (Box 1b) (Box 1a)       | (Box 1b)                   |              | (Box 1e)                    | RAI FIGH COLINTY W VA RI DG   |
| Transaction              | Gain or Loss                   | Basis                         | Sales Price                   | Acquisition or Exchange | Acquisition                | Unit Price   | Quantity Sold               | Stack or Other Symbol   |
|                          |                                | Cost or Other                 |                               | Date of Date of Sale    | Date of                    |              |                             | Description (Box 8)   |
| SUPPLEMENTAL INFORMATION | SUPPLEMEN                      | Ü                             | OMB NO. 1545-0715             | 2012                    | tions for                  | inge Transai | and Barter Excha            | 1099-B Proceeds from Broker and Barter Exchange Transactions for 2012               |
| )**                      | FORM 8949 WITH BOX B CHECKED** | ORM 8949 WITI                 | IRS. REPORT ON F              |                         | REPORTE                    | BASIS NOT    | NONCOVERED)-                | LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON        |

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service (except as Indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

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### Realized Gain/Loss

# Option Activity Gain/Loss Detail for Year

| \$1,178.71          | \$7,717.00                      | \$8,895.71 |                             |                                |                                   |                   | TOTAL SHORT TERM OPTIONS                    |
|---------------------|---------------------------------|------------|-----------------------------|--------------------------------|-----------------------------------|-------------------|---|
| 266,84              | 2,999.00                        | 3,265,84   | 04/27/12                    | 04/26/12                       | 29.3900                           | 1.00000           | P AAPL 051912 630                           |
| 187.97              | 992.00                          | 1,179.97   | 04/18/12                    | 04/18/12                       | 9.4700                            | 1.00000           | P AAPL 042112 620                           |
| -75.02              | 1,095.00                        | 1,019.98   | 04/19/12                    | 04/17/12                       | 10.5000                           | 1.00000           | P AAPL 042112 605                           |
| 309.97              | 825.00                          | 1,134.97   | 04/11/12                    | 04/11/12                       | 7.8000                            | 1.00000           | P AAPL 041312 635                           |
| 294.97              | 795.00                          | 1,089.97   | 04/13/12                    | 04/11/12                       | 7.5000                            | 1.00000           | P AAPL 041312 630                           |
| 193.98              | 1,011.00                        | 1,204.98   | 03/07/12                    | 03/07/12                       | 9.6600                            | 1.00000           | P AAPL 030912 540                           |
| Gain or Loss Amount | Adjusted Cost/<br>Original Cost | Proceeds   | Date of Sale<br>or Exchange | Open Date/<br>Acquisition Date | Adjusted Price/<br>Original Price | Share<br>Quantity | Description                                 |
|                     |                                 |            |                             |                                |                                   |                   | SHORT TERM OPTIONS Check box C on Form 8949 |

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BK / BK08

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#### **Wells Fargo Advisors Account**

| Date  | Description                   | Amount             |
|-------|-------------------------------|--------------------|
| 00/07 | 4 Det A cale has Fee 20/20/40 | <b>A.</b> 3. 4. 5. |
| 03/07 | 1 Put Apple Inc Exp 03/09/12  | \$1,011.00         |
| 04/11 | 1 Put Apple Inc Exp 04/13/12  | 795.00             |
| 04/11 | 1 Put Apple Inc Exp 04/13/12  | 825.00             |
| 04/17 | 1 Put Apple Inc Exp 04/21/12  | 1,095.00           |
| 04/18 | 1 Put Apple Inc Exp 04/21/12  | 992.00             |
| 04/26 | 1 Put Apple Inc Exp 05/19/12  | 2,999.00           |
|       |                               |                    |
|       |                               | <u>\$7,717.00</u>  |

#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

BECKLEY WV 25801-4610 THE HONORABLE NICK JOE RAHALL 109 MAIN STREET

> Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center Plaza 2 2nd Floor Identification Number: Jersey City, NJ 07311

Account Number:

Customer Service: 866-324-6088

Attachment 8 - p. 1

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box 8 checked.)

CONTINUED ON NEXT PAGE

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# 1099 Consolidated Tax Statement Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL 109 MAIN STREET BECKLEY WV 25801-4610

> Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center Plaza 2 2nd Floor Jersey City, NJ 07311

Account Number:

Identification Number:

26-4310632

487

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**Customer Service: 866-324-6088** 

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

|                        |          |          |          |          |           |          |            | GABELLI EQUITY INCOME I | Security Subtotal |          |          |          | FIDELITY ADV CANADA A  | Security Subtotal |          |          |          | CAMBIAR SMALL CAP INV  | Security Subtotal | ).       |          |          | BLACKROCK SMALL CAP GRW II A | DESCRIPTION (Box 8)                    |
|------------------------|----------|----------|----------|----------|-----------|----------|------------|-------------------------|-------------------|----------|----------|----------|------------------------|-------------------|----------|----------|----------|------------------------|-------------------|----------|----------|----------|------------------------------|--|
|                        | 0.204    | 0.202    | 0.198    | 0.194    | 40.990    | 0.144    | 68.323     | Ω                       | 4.293             | 0.217    | 0.191    | 3.885    | ū                      | 1.344             | 0.253    | 0.542    | 0.549    | O                      | 36.549            | 6.596    | 1.265    | 28.688   | 0                            | QUANTITY<br>(Box 1e)                   |
|                        | 07/27/11 | 06/28/11 | 05/26/11 | 04/27/11 | 04/20/11  | 03/29/11 | 03/03/11   | CUSIP: 36239T848        |                   | 12/02/11 | 12/02/11 | 10/11/11 | CUSIP: 315910471       |                   | 12/15/11 | 12/15/11 | 10/11/11 | CUSIP: 0075W0817       |                   | 10/11/11 | 07/21/11 | 01/19/11 | CUSIP: 09253J103             | DATE<br>ACQUIRED<br>(Box 1b)           |
|                        | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12  | 01/09/12 | 01/09/12   |                         |                   | 01/09/12 | 01/09/12 | 01/09/12 |                        |                   | 01/09/12 | 01/09/12 | 01/09/12 |                        |                   | 01/09/12 | 01/09/12 | 01/09/12 |                              | DATE OF<br>SALE<br>(Box 1a)            |
|                        | \$4.27   | \$4.23   | \$4.14   | \$4.06   | \$857.92  | \$3.01   | \$1,430.00 | Symbol (Box 1d): GCIEX  | \$216,15          | \$10.93  | \$9.61   | \$195.61 | Symbol (Box 1d): FACNX | \$23.08           | \$4.34   | \$9.31   | \$9.43   | Symbol (Box 1d): CAMSX | \$498.16          | 288.90   | \$17.24  | \$391.02 | Symbol (Box 1d): MDSWX       | SALES PRICE<br>(Box 2a)                |
|                        | \$4.38   | \$4.37   | \$4,36   | \$4.36   | \$904.91  | \$3.11   | \$1,477.10 |                         | \$213.32          | \$10.93  | \$9.60   | \$192.79 |                        | \$21.29           | \$4.04   | \$8.66   | \$8.59   |                        | \$496.52          | \$78.23  | \$18.38  | \$399.91 | <b>`</b>                     | ADJUSTED COST WOOD OTHER BASIS (Box 3) |
|                        | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00    | \$0.00   | \$0.00     |                         | \$0.00            | \$0.00   | \$0.00   | \$0.00   |                        | \$0.00            | \$0.00   | \$0.00   | \$0.00   |                        | \$0.00            | \$0.00   | \$0.00   | \$0.00   |                              | ASH SALE LOSS DISALLOWED (Box 5)       |
| •                      | (\$0.11) | (\$0.14) | (\$0.22) | (\$0.30) | (\$46.99) | (\$0.10) | (\$47.10)  |                         | \$2.83            | \$0.00   | \$0.01   | \$2.82   | i                      | \$1.79            | \$0.30   | \$0.65   | \$0.84   |                        | \$1.64            | \$11.67  | (\$1.14) | (\$8.89) |                              | GAIN/(LOSS)<br>AMOUNT                  |
| CONTINUED ON NEXT PAGE | \$0.00   | \$0.00   | \$0,00   | \$0.00   | \$0.00    | \$0.00   | \$0.00     |                         | \$0.00            | \$0.00   | \$0.00   | \$0.00   |                        | \$0.00            | \$0,00   | \$0.00   | \$0.00   |                        | \$0.00            | \$0.00   | \$0.00   | \$0.00   |                              | FEDERAL INCOME TAX WITHHELD (Box 4)    |

#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

BECKLEY WV 25801-4610 THE HONORABLE NICK JOE RAHALL 109 MAIN STREET

Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center

Plaza 2 2nd Floor

Jersey City, NJ 07311

Identification Number: 26-4310632

Account Number:

p. 3

**Customer Service: 866-324-6088** 

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This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc

OMB NO. 1545-0715

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.) (Continued)

| Security Subtotal                                    | Security Subtotal GOLDMAN SACHS GRW OPPORT I                       | Security Subtotal  GOLDMAN SACHS ABSLT RET TRCK I   | GABELLI EQUITY INCOME I  Security Subtotal 1  GATEWAY FUND A   | QUAI DESCRIPTION (Box 8) (Bo               |
|--|--|---|--|--|
| 17.383<br>2.328<br>0.110<br>2.233<br>22.054          | 0.424<br>1.589<br>2.013<br>CU                                      | 0.218<br>0.230<br>0.243<br>0.227<br>0.918<br>CU   | 0.214<br>0.228<br>0.180<br>0.193<br>0.162<br>111.232   | QUANTITY<br>(Box 1e)                       |
| 01/19/11<br>10/11/11<br>12/07/11<br>12/07/11         | 12/07/11<br>12/07/11<br>CUSIP: 38142Y401                           | 03/24/11 (<br>06/23/11 (<br>06/22/11 (<br>09/22/11 (<br>12/20/11 (<br>12/20/11 (                                      | 08/29/11<br>09/28/11<br>10/27/11<br>11/28/11<br>11/28/11<br>12/28/11                                       | DATE<br>ACQUIRED<br>(Box 1b)               |
| 01/09/12<br>01/09/12<br>01/09/12<br>01/09/12         | 2 은  | 3333  | 22222  | DATE OF<br>SALE<br>(Box 1a)                |
| \$391.46<br>\$52.43<br>\$2.48<br>\$50.29<br>\$496.66 | 09/12 \$3.79<br>09/12 \$14.21<br>\$18.00<br>Symbol (Box 1d): GGOIX | \$5.79<br>\$6.11<br>\$6.12<br>\$6.46<br>\$6.9/12<br>\$6.46<br>\$6.9/12<br>\$6.01<br>\$24.37<br>Symbol (Box 1d): GJRTX | 09/12 \$4.48<br>09/12 \$4.77<br>09/12 \$3.77<br>09/12 \$4.04<br>09/12 \$2.328.09<br>Symbol (Box 1d): GATEX | SALES PRICE<br>(Box 2a)                    |
| \$427.78<br>\$50.97<br>\$2.48<br>\$50.14<br>\$531.37 | \$3.82<br><u>\$14.30</u><br>\$18.12                                | \$5.77<br>\$6.04<br>\$6.08<br>\$5.96<br>\$23.85   | \$4.32<br>\$4.33<br>\$3.79<br>\$3.79<br>\$3.33<br>\$2.422.15   | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) |
| \$0.00<br>\$0.00<br>\$0.00<br>\$0.00                 | \$0.00<br>\$0.00<br>\$0.00   | 00.03<br>00.03<br>00.03<br>00.03  |  | WASH SALE LOSS DISALLOWED (Box 5)          |
| (\$36.32)<br>\$1.46<br>\$0.00<br>\$0.15<br>(\$34.71) | (\$0.03)<br>( <u>\$0.09)</u><br>( <b>\$0.12</b> )                  | \$0.02<br>\$0.07<br>\$0.38<br>\$0.05<br>\$0.52  | \$0.16<br>\$0.44<br>(\$0.02)<br>\$0.25<br>\$0.07<br>(\$94.06)  | GAIN/(LOSS)<br>AMOUNT                      |
| \$0.00<br>\$0.00<br>\$0.00<br>\$0.00                 | \$0.00<br>\$0.00<br>\$0.00   | \$0.00<br>\$0.00<br>\$0.00  | \$0.00<br>\$0.00<br>\$0.00<br>\$0.00   | FEDERAL INCOME TAX WITHHELD (Box 4)        |

CONTINUED ON NEXT PAGE

#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

BECKLEY WV 25801-4610 THE HONORABLE NICK JOE RAHALL 109 MAIN STREET

> Plaza 2 2nd Floor Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center Jersey City, NJ 07311 Identification Number: 26-4310632

Account Number

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Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

OMB NO. 1545-0715

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued) DATE ACQUIRED DATE OF ADJUSTED COST WASH SALE LOSS OR OTHER BASIS DISALLOWED FEDERAL INCOME
TAX WITHHELD

| DESCRIPTION (Box 8)           | QUANTITY<br>(Box 1e) | ACQUIRED<br>(Box 1b) | SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | OR OTHER BASIS<br>(Box 3) | DISALLOWED (Box 5) | GAIN/(LOSS)<br>AMOUNT | TAX WITHHELD (Box 4) |
|-------------------------------|----------------------|----------------------|------------------|-------------------------|---------------------------|--------------------|-----------------------|----------------------|
| GOLDMAN SACHS STRATEGIC INC I | C                    | CUSIP: 38145C646     | 1                | Symbol (Box 1d): GSZIX  |                           |                    |                       |                      |
|                               | 316.745              | 03/03/11             | 01/09/12         | \$3,021.74              | \$3,208.43                | \$0.00             | (\$186.69)            | \$0.00               |
|                               | 0.916                | 03/31/11             | 01/09/12         | \$8.74                  | \$9.19                    | \$0.00             | (\$0.45)              | \$0.00               |
|                               | 1.055                | 04/29/11             | 01/09/12         | \$10.06                 | \$10.65                   | \$0.00             | (\$0.59)              | \$0.00               |
|                               | 1.161                | 05/31/11             | 01/09/12         | \$11.08                 | \$11.72                   |                    | (\$0.64)              | \$0.00               |
|                               | 1.262                | 06/30/11             | 01/09/12         | \$12.04                 | <b>\$12,56</b>            |                    | (\$0.52)              | \$0.00               |
|                               | 1.473                | 07/29/11             | 01/09/12         | \$14.05                 | \$14.74                   | \$0.00             | (\$0.69)              | \$0.00               |
|                               | 1.312                | 08/31/11             | 01/09/12         | \$12.52                 | \$12.83                   | \$0.00             | (\$0.31)              | \$0.00               |
|                               | 1.378                | 09/30/11             | 01/09/12         | \$13.15                 | \$13.15                   | \$0.00             | \$0.00                | \$0.00               |
|                               | 0.859                | 10/31/11             | 01/09/12         | \$8.19                  | \$8.29                    | \$0.00             | (\$0.10)              | \$0.00               |
|                               | 0.141                | 11/30/11             | 01/09/12         | \$1.35                  | \$1.34                    | \$0.00             | \$0.01                | \$0.00               |
|                               | 2.065                | 12/30/11             | 01/09/12         | \$19.70                 | \$19.60                   | \$0.00             | \$0.10                | \$0.00               |
| Security Subtotal             | 328,367              |                      |                  | \$3,132.62              | \$3,322,50                | \$0.00             | (\$189.88)            | \$0.00               |
| HATTERAS ALPHA HEDGED STRAT   | C                    | CUSIP: 41902V401     |                  | Symbol (Box 1d): ALPHX  |                           |                    |                       |                      |
|                               | 0.104                | 12/29/11             | 01/09/12         | \$1.10                  | \$1.09                    | \$0.00             | \$0.01                | \$0.00               |
| ING GLOBAL REAL ESTATE FD I   | C                    | CUSIP: 44980Q302     |                  | Symbol (Box 1d): IGLIX  |                           |                    |                       |                      |
|                               | 0.286                | 12/30/11             | 01/09/12         | \$4.29                  | \$4.29                    | \$0.00             | \$0.00                | \$0.00               |
| IQ ALPHA HEDGE STRAT INST     | C                    | CUSIP: 454076209     |                  | Symbol (Box 1d): IQHIX  |                           |                    |                       |                      |
|                               | 13.130               | 04/20/11             | 01/09/12         | \$132.22                | \$137.87                  | \$0.00             | (\$5.65)              | \$0.00               |
|                               | 1.487                | 12/30/11             | 01/09/12         | \$14.97                 | \$14.87                   | \$0.00             | \$0.10                | <b>\$</b> 0.00       |
|                               | 3.467                | 12/30/11             | 01/09/12         | \$34.92                 | \$34.67                   | \$0.00             | \$0.25                | \$0.00               |
| Security Subtotal             | 18.084               |                      |                  | \$182.11                | \$187.41                  | \$0.00             | (\$5.30)              | \$0.00               |

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#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

BECKLEY WV 25801-4610 109 MAIN STREET THE HONORABLE NICK JOE RAHALL

Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center Plaza 2 2nd Floor Jersey City, NJ 07311 Identification Number: 26-4310632

Account Number:

487

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.) (Continued)

| October 11 tops | Spellpity Subtata    |              |          | ISHARES S&P 500 VALUE INDEX | Security Subtotal |              |          |          | ISHARES S&P 500 GRWTH INDEX |           | ISHARES RUSSELL 2000 INDEX FD | -         | ISHARES RUSSELL MIDCAP INDX FD |           | ISHARES MSCI AUST INDEX FUND | Security Subtotal |          |           | ISHARES MSCI ASIA EX-JAPAN | Security Subtota |            |            | ISHARES BARCLAYS AGG.BD FD | DESCRIPTION (Box 8)                 |
|-----------------|----------------------|--------------|----------|-----------------------------|-------------------|--------------|----------|----------|-----------------------------|-----------|-------------------------------|-----------|--------------------------------|-----------|------------------------------|-------------------|----------|-----------|----------------------------|------------------|------------|------------|----------------------------|-------------------------------------|
|                 |                      | 1 000        | 5.000    | ဂ                           | 16,000            |              | 3        | 4.000    | c                           | 4.000     | C                             | 3.000     |                                | 7.000     | c                            | 7.000             | 1.000    | 6.000     | n                          | 39,000           | 15.000     | 24.000     | C                          | QUANTITY<br>(Box 1e)                |
|                 | 0                    | 04/20/11     | 01/19/11 | CUSIP: 464287408            |                   | 11/02/40     | 04/00/44 | 01/19/11 | CUSIP: 464287309            | 01/19/11  | CUSIP: 464287655              | 01/19/11  | CUSIP: 464287499               | 01/19/11  | CUSIP: 464286103             |                   | 10/11/11 | 01/19/11  | USIP: 464288182            |                  | 10/11/11   | 09/15/11   | CUSIP: 464287226           | DATE<br>ACQUIRED<br>(Box 1b)        |
|                 |                      | 01/09/10     | 01/09/12 | _                           |                   | 01/03/12     | 24 204 2 | 01/09/12 |                             | 01/09/12  |                               | 01/09/12  |                                | 01/09/12  |                              |                   | 01/09/12 | 01/09/12  |                            |                  | 01/09/12   | 01/09/12   |                            | DATE OF<br>SALE<br>(Box 1a)         |
| 81.169.74       | 87 361 13<br>1878888 | \$830.04     | \$296.44 | Symbol (Box 1d): IVE        | \$1,093.10        | CO. 8108     | 901000   | \$273.27 | Symbol (Box 1d): IVW        | \$300.35  | Symbol (Box 1d): IWM          | \$300.77  | Symbol (Box 1d): IWR           | \$152,31  | Symbol (Box 1d): EWA         | \$354.33          | \$50.62  | \$303.71  | Symbol (Box 1d): AAXJ      | \$4,289,91       | \$1.649.97 | \$2,639.94 | Symbol (Box 1d): AGG       | SALES PRICE<br>(Box 2a)             |
|                 | 71 100 PA            | SARS SO      | \$305.45 |                             | \$1,098.03        | 92,000       | ****     | \$267.75 |                             | \$316.51  |                               | \$310.92  |                                | \$176.11  |                              | \$432.99          | \$49.47  | \$383.52  |                            | \$4,269.48       | \$1,635,72 | \$2,633.76 |                            | ADJUSTED COST VOR OTHER BASIS       |
| 60.00           | 20.00                | *0.00        | \$0.00   |                             | \$0.00            | DOT THE      | ***      | \$0.00   |                             | \$0.00    |                               | \$0.00    |                                | \$0.00    |                              | \$0.00            | \$0.00   | \$0.00    |                            | \$0.00           | \$0.00     | \$0.00     |                            | WASH SALE LOSS DISALLOWED (Box 5)   |
| 100,000         | (enn en)             | (A) D D D D  | (\$9.01) |                             | (\$4.93)          | (CH.U.E)     | (A)      | \$5.52   |                             | (\$16.16) |                               | (\$10.15) |                                | (\$23.80) |                              | (\$78.66)         | \$1.15   | (\$79.81) |                            | \$20.43          | \$14.25    | \$6.18     |                            | GAIN/(LOSS)<br>AMOUNT               |
| 80.00           | 2000                 | <b>*</b> 000 | \$0.00   |                             | \$0.00            | <u>00.04</u> |          | \$0.00   |                             | \$0.00    |                               | \$0.00    |                                | \$0.00    | :                            | \$0.00            | \$0,00   | \$0.00    |                            | \$0.00           | \$0.00     | \$0.00     |                            | FEDERAL INCOME TAX WITHHELD (Box 4) |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

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109 MAIN STREET BECKLEY WV 25801-4610 THE HONORABLE NICK JOE RAHALL

> Plaza 2 2nd Floor Jersey City, NJ 07311 Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center Identification Number: 26-4310632

Account Number

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Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

OMB NO. 1545-0715

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box 8 checked.)
(Continued)

|          |          |          |          |          |            | JP MORGAN STRAT INC OPPORT A | Security Subtotal |          |          | JP MORGAN MID CAP VALUE A | Security Subjotal |          |          |          |          | JP MORGAN HIBRG DYN COMM STR A | Security Subtotal | ;        |            |          |            | IVY LIMITED TERM BOND I | DESCRIPTION (Box 8)                     |
|----------|----------|----------|----------|----------|------------|------------------------------|-------------------|----------|----------|---------------------------|-------------------|----------|----------|----------|----------|--------------------------------|-------------------|----------|------------|----------|------------|-------------------------|---|
| 0.695    | 0.569    | 0.655    | 0.622    | 0./10    | 268.567    | O                            | 16,716            | 0.114    | 16.602   | Ω                         | 7.276             | 0.165    | 3.116    | 0.238    | 3.757    |                                | 461,068           | 0.402    | 309.052    | 0.061    | 151.553    | C                       | QUANTITY<br>(Box 1e)                    |
| 07/29/11 | 06/30/11 | 05/31/11 | 04/29/11 | 11/16/60 | 03/03/11   | CUSIP: 4812A4385             |                   | 12/20/11 | 10/11/11 | CUSIP: 339128308          |                   | 12/22/11 | 12/22/11 | 12/15/11 | 10/11/11 | CUSIP: 48121A696               |                   | 12/30/11 | 10/11/11   | 09/27/11 | 09/15/11   | CUSIP: 466001401        | DATE<br>ACQUIRED<br>(Box 1b)            |
| 01/09/12 | 01/09/12 | 01/09/12 | 21/60/10 | 21/60/10 | 01/09/12   |                              |                   | 01/09/12 | 01/09/12 |                           |                   | 01/09/12 | 21/90/12 | 21/90/12 | 01/09/12 |                                | 1                 | 01/09/12 | 01/09/12   | 01/09/12 | 01/09/12   |                         | DATE OF<br>SALE<br>(Box 1a)             |
| \$7.86   | \$6,44   | \$7.41   | \$7.03   | \$8.03   | \$3,037.50 | Symbol (Box 1d): JSOAX       | \$396.67          | \$2.71   | \$393.96 | Symbol (Box 1d): JAMCX    | \$124.72          | \$2.83   | \$53,41  | \$4.08   | \$64.40  | Symbol (Box 1d): HDSAX         | \$5,131.69        | \$4.48   | \$3,439.75 | \$0.68   | \$1,686.78 | Symbol (Box 1d): ILTIX  | SALES PRICE<br>(Box 2a)                 |
| \$8.21   | \$6.77   | \$7.83   | \$7.46   | \$8.49   | \$3,220.12 |                              | \$364.54          | \$2.62   | \$361.92 |                           | \$130.24          | \$2.83   | \$53.28  | \$4.21   | \$69.92  |                                | \$5,125.38        | 路.42     | \$3,427.38 | \$0.68   | \$1,692.85 |                         | ADJUSTED COST WOR OTHER BASIS           |
| \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00     |                              | \$0.00            | \$0.00   | \$0.00   |                           | \$0.00            | \$0.00   | \$0.00   | \$0.00   | \$0.00   |                                | \$0.00            | \$0.00   | \$0.00     | \$0.00   | \$0.00     |                         | VASH SALE LOSS<br>DISALLOWED<br>(Box 5) |
| (\$0.35) | (\$0.33) | (\$0.42) | (\$0.43) | (\$0.46) | (\$182.62) |                              | \$32.13           | \$0.08   | \$32.04  |                           | (\$5.52)          | \$0.00   | \$0.13   | (\$0.13) | (\$5.52) |                                | \$6.31            | \$0.01   | \$12.37    | \$0.00   | (\$6.07)   |                         | GAIN/(LOSS)<br>AMOUNT                   |
| \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00     |                              | \$0.00            | \$0,00   | \$0.00   |                           | \$0.00            | 00.02    | \$0.00   | \$0.00   | \$0.00   |                                | \$0.00            | \$0,00   | \$0.00     | \$0.00   | \$0.00     |                         | FEDERAL INCOME TAX WITHHELD (Box 4)     |

CONTINUED ON NEXT PAGE

#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

THE HONORABLE NICK JOE RAHALL 109 MAIN STREET BECKLEY WV 25801-4610

Harborside Financial Center Morgan Stanley Smith Barney Holdings LLC

Plaza 2 2nd Floor

Jersey City, NJ 07311 Identification Number: 26-4310632

Account Number:

487

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Customer Service: 866-324-6088

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or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box 8 checked.)
(Continued)

|                        |          |            |          |            | METROPOLITAN WST TOT RET BD M |          | MATTHEWS ASIA GROWTH INV | Security Subtotal |          |          |          |            |          |            | LEGG MASON WA CORE PLUS BD ! | Security Subtotal |          |          |          |          |          |          | JP MORGAN STRAT INC OPPORT A | DESCRIPTION (Box 8)                        |
|------------------------|----------|------------|----------|------------|-------------------------------|----------|--------------------------|-------------------|----------|----------|----------|------------|----------|------------|------------------------------|-------------------|----------|----------|----------|----------|----------|----------|------------------------------|--|
|                        | 1.191    | 115.606    | 0.320    | 215.625    | Ω                             | 0.299    | Ω                        | 316.026           | 0.621    | 0.882    | 0.805    | 108.704    | 0.286    | 204.728    | Ω                            | 279.875           | 1.158    | 0.325    | 3.781    | 0.846    | 0.726    | 0.603    | 0.618                        | QUANTITY<br>(Box 1e)                       |
|                        | 10/31/11 | 10/11/11   | 09/30/11 | 09/15/11   | CUSIP: 592905103              | 12/08/11 | CUSIP: 577130867         |                   | 12/30/11 | 11/30/11 | 10/31/11 | 10/11/11   | 09/30/11 | 09/15/11   | CUSIP: 957663503             |                   | 12/30/11 | 12/15/11 | 12/15/11 | 11/30/11 | 10/31/11 | 09/30/11 | 08/31/11                     | DATE<br>ACQUIRED<br>(Box 1b)               |
|                        | 01/09/12 | 01/09/12   | 01/09/12 | 01/09/12   |                               | 01/09/12 |                          |                   | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12   | 01/09/12 | 01/09/12   |                              |                   | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12                     | DATE OF<br>SALE<br>(Box 1a)                |
|                        | \$12.37  | \$1,201.15 | \$3.32   | \$2,240.34 | Symbol (Box 1d): MWTRX        | \$4.59   | Symbol (Box 1d): MPACX   | \$3,511.05        | \$6.90   | \$9.80   | \$8.94   | \$1,207.70 | \$3.18   | \$2,274.53 | Symbol (Box 1d): WACPX       | \$3,165.39        | \$13.09  | \$3.68   | \$42.76  | \$9.57   | \$8.21   | \$6.82   | \$6.99                       | SALES PRICE<br>(Box 2a)                    |
|                        | \$12,47  | \$1,198.83 | \$3.35   | \$2,264.06 |                               | \$4.62   |                          | \$3,487,54        | \$6.90   | \$9.71   | \$8.96   | \$1,192.47 | \$3.15   | \$2,266.35 |                              | \$3,350.41        | \$13.10  | \$3.67   | \$42.73  | \$9.67   | \$8.40   | \$6.81   | \$7.15                       | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) |
|                        |          | \$0.00     | \$0.00   | \$0.00     |                               | \$0.00   |                          | \$0.00            | \$0.00   | \$0.00   | \$0.00   | \$0.00     |          | \$0.00     |                              |                   |          | \$0.00   |          |          |          |          |                              | WASH SALE LOSS DISALLOWED (Box 5)          |
| •                      | (\$0.10) | \$2.32     | (\$0.03) | (\$23.72)  |                               | (\$0.03) |                          | \$23.51           | \$0.00   | \$0.09   | (\$0.02) | \$15.23    | \$0.03   | \$8.18     |                              | (\$185.02)        | (10.03)  | \$0.01   | \$0.03   | (\$0.10) | (\$0.19) | \$0.01   | (\$0.16)                     | GAIN/(LOSS)<br>AMOUNT                      |
| CONTINUED ON NEXT PAGE | \$0.00   | \$0.00     | \$0.00   | \$0.00     |                               | \$0.00   |                          | \$0,00            | \$0.00   | \$0.00   | \$0.00   | \$0.00     | \$0.00   | \$0.00     |                              | \$0.00            | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00                       | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4)  |

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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# 1099 Consolidated Tax Statement Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL 109 MAIN STREET BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Account Number:

1487

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

OMB NO. 1545-0715

Short Term - Noncovered Securities \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box 8 checked.)
(Continued)

|                        | Security Subtotal 0,407 | 0.026 12          |                   | 0.195 06          | 0.051 03/         | THORNBURG INTL VALUE I CUSIP: | Security Subtotal 198,746 | 3.945 12          |                   |          | 0.740 10/ |            | TEMPLETON GLOBAL BD FD ADV CUSIP: | 2.299 12          | OPPENHEIMER DEVELOPING MKTS A CUSIP: | Security Subtotal 338,416 | 1.271 12          |                   | 1.321 12          | METROPOLITAN WST TOT RET BD 1.338 11 | DESCRIPTION (Box 8) QUANTITY ACQUIRED (Box 1e) (Box 1e) |
|------------------------|-------------------------|-------------------|-------------------|-------------------|-------------------|-------------------------------|---------------------------|-------------------|-------------------|----------|-----------|------------|-----------------------------------|-------------------|--------------------------------------|---------------------------|-------------------|-------------------|-------------------|--------------------------------------|---|
|                        |                         | 12/23/11 01/09/12 | 09/26/11 01/09/12 | 06/24/11 01/09/12 | 03/25/11 01/09/12 | CUSIP: 885215566 S            | l                         | 12/15/11 01/09/12 | 12/15/11 01/09/12 |          |           | _          | CUSIP: 880208400 S                | 12/09/11 01/09/12 | CUSIP: 683974109 S                   |                           | 12/30/11 01/09/12 | 12/12/11 01/09/12 | 12/12/11 01/09/12 | _                                    | (Box 1b) (Box 1a)                                       |
|                        | \$10.11                 |                   | /12 \$3.35        |                   |                   | Symbol (Box 1d): TGVIX        | \$2,470.41                |                   |                   |          |           | \$2,       | Symbol (Box 1d): TGBAX            | 112 \$68.35       | Symbol (Box 1d): ODMAX               | \$3,516.14                | /12 \$13.21       |                   | /12 \$13.73       |                                      | LE SALES PRICE (Box 2a)                                 |
|                        | \$10.97                 | \$0.64            | \$3.19            | \$5.65            | \$1.49            |                               | \$2,633,13                | \$48.37           | \$15.29           | \$9.62   | \$9.58    | \$2,550.27 | -                                 | \$68.80           | ^                                    | \$3,537.47                | \$13.18           | \$18.03           | \$13.66           | \$13.89                              | OR OTHER BASIS<br>(Box 3)                               |
|                        | \$0.00                  | \$0.00            | \$0.00            | \$0.00            | \$0.00            |                               | \$0.00                    | \$0.00            | \$0.00            | \$0.00   | \$0.00    | \$0.00     |                                   | \$0.00            |                                      | \$0.00                    | \$0.00            | \$0.00            | \$0.00            | \$0.00                               | DISALLOWED<br>(Box 5)                                   |
| •                      | (\$0.86)                | \$0.00            | \$0.16            | (\$0.80)          | (\$0.22)          |                               | (\$162.72)                | \$0.67            | \$0.21            | (\$0.29) | (\$0.38)  | (\$162.93) |                                   | (\$0.45)          |                                      | (\$21.33)                 | \$0,03            | \$0.09            | \$0.07            | \$0.01                               | GAIN/(LOSS)<br>AMOUNT                                   |
| CONTINUED ON NEXT PAGE | \$0.00                  | \$0.0\$           | \$0.00            | \$0.00            | \$0.00            |                               | \$0.00                    | \$0.00            | \$0.00            | \$0.00   | \$0.00    | \$0.00     |                                   | \$0.00            |                                      | \$0.00                    | \$0.00            | \$0.00            | \$0.00            | \$0.00                               | TAX WITHHELD (Box 4)                                    |

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### Tax Year 2012 1099 Consolidated Tax Statement x Year 2012 Copy B For Recipient

BECKLEY WV 25801-4610 THE HONORABLE NICK JOE RAHALL 109 MAIN STREET

> Plaza 2 2nd Floor Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center dentification Number: Jersey City, NJ 07311 26-4310632

Account Number:

Customer Service: 866-324-6088

8

p. 9

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

| \$0.00                              | (\$1,083.90)          | \$0.00                            | \$45,868.65                                | \$44,784.75                             |                             |                              | curities             | Total Short Term Noncovered Securities |
|-------------------------------------|-----------------------|-----------------------------------|--|---|-----------------------------|------------------------------|----------------------|--|
| \$0.00                              | (\$137.53)            | \$0.00                            | \$4,342.90                                 | \$4,205.37                              | 01/09/12                    | 12/15/11                     | 93.000               |  |
|                                     |                       |                                   |  | CUSIP: 97717W125 Symbol (Box 1d): WDTI  | V125 Symbo                  | SUSIP: 977171                | ·                    | WISDOMTREE MGD FUTURES STRAT           |
| \$0.00                              | (\$83.00)             |                                   | \$3,491,43                                 | \$3,408,43                              |                             |                              | 98,254               | Security Subtotal                      |
| 80,00                               | (83.08)               | \$0.00                            | \$51.85                                    | \$51.17                                 | 01/09/12                    | 12/09/11                     | 1.475                |  |
| \$0.00                              | (\$50.02)             | \$0.00                            | \$860.72                                   | \$810.70                                | 01/09/12                    | 04/20/11                     | 23.370               |  |
| \$0.00                              | (\$32.30)             | \$0.00                            | \$2,578.86                                 | \$2,546.56                              | 01/09/12                    | 03/03/11                     | 73,409               |  |
|                                     |                       |                                   | ^  | CUSIP: 949915672 Symbol (Box 1d): SGRAX | 672 <b>Symb</b>             | :USIP: 949915                | 0                    | WELLS FARGO GROWTH A                   |
| \$0,00                              | \$2.69                | \$0.00                            | \$152.91                                   | \$155.60                                | 01/09/12                    | 10/11/11                     | 4.000                |  |
|                                     |                       |                                   |  | CUSIP: 922042858 Symbol (Box 1d): VWO   | :858 Symbo                  | USIP: 922042                 |                      | VANGUARD MSCI EMERGING MARKETS         |
| \$0.00                              | (\$15.46)             |                                   | \$201.42                                   | \$185.96                                |                             |                              | 9.316                | Security Subtotal                      |
| \$0.00                              | \$0.47                | \$0.00                            | \$18.19                                    | \$18.56                                 | 01/09/12                    | 12/21/11                     | 0.934                |  |
| \$0.00                              | (\$15.93)             | \$0.00                            | \$183.23                                   | \$167.30                                | 01/09/12                    | 10/11/11                     | 8.382                |  |
|                                     |                       |                                   |  | CUSIP: 921075503 Symbol (Box 1d): INIVX | 503 <b>Symb</b>             | :USIP: 92107                 | _                    | VAN ECK INT'L INV GOLD FD A            |
| FEDERAL INCOME TAX WITHHELD (Box 4) | GAIN/(LOSS)<br>AMOUNT | WASH SALE LOSS DISALLOWED (Box 5) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | SALES PRICE<br>(Box 2a)                 | DATE OF<br>SALE<br>(Box 1a) | DATE<br>ACQUIRED<br>(Box 1b) | QUANTITY<br>(Box 1e) | DESCRIPTION (Box 8)                    |

LONG Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)

|                        |                          | ABERDEEN INTL EQ A | DESCRIPTION (Box 8)  |
|------------------------|--------------------------|--------------------|--|
|                        | 22,890                   | 0                  | QUANTITY<br>(Box 1e)   |
|                        | 22.890 10/14/09 01/09/12 | USIP: 003019       | QUANTITY ACQUIRED (Box 1e)   |
|                        | 01/09/12                 | 445 Symbo          | SALE<br>(Box 1a)   |
|                        | \$290.93                 | (Box 1d): GIGAX    | QUANTITY ACQUIRED SALE SALES PRICE (Box 1e) (Box 1b) (Box 1a) (Box 2a) |
|                        | \$273.99                 |                    | OR OTHER BASIS (Box 3)   |
|                        | \$0.00                   |                    | DISALLOWED GAIL (Box 5)  |
|                        | \$16.94                  |                    | N/(LOSS)   |
| CONTINUED ON NEXT PAGE | \$0.00                   |                    | TAX WITHHELD (Box 4)   |

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

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THE HONORABLE NICK JOE RAHALL 109 MAIN STREET BECKLEY WV 25801-4610

> Jersey City, NJ 07311 Plaza 2 2nd Floor Harborside Financial Center Morgan Stanley Smith Barney Holdings LLC

Account Number:

Identification Number:

26-4310632

487

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Customer Service: 866-324-6088

or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. This is important tax information and is being furnished to the internal Revenue Service. If you are required to file a return, a negligence penalty

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box 8 checked.)
(Continued)

|                        |          |           | GATEWAY FUND A         | Security Subtotal |          |          |          |          |          |          | FIDELITY ADV CANADA A  |          | CAMBIAR SMALL CAP INV                   |          | BLACKROCK SMALL CAP GRW II A | Security Subtotal |                |          |          |          |          |          | ABERDEEN INTL EQ A | DESCRIPTION (Box 8)     |
|------------------------|----------|-----------|------------------------|-------------------|----------|----------|----------|----------|----------|----------|------------------------|----------|---|----------|------------------------------|-------------------|----------------|----------|----------|----------|----------|----------|--------------------|-------------------------|
|                        | 0.365    | 10.222    | C                      | 25.157            | 800.0    | 0.360    | 0.276    | 16.918   | 0.254    | 7.341    | ဥ                      | 22.591   | ဥ                                       | 24.789   | ဥ                            | 27,558            | 0.270          | 0.620    | 0.266    | 1.828    | 1.217    | 0.141    | 0.326              | QUANTITY<br>(Box 1e)    |
|                        | 09/26/08 | 07/23/08  | CUSIP: 367829207       |                   | 12/30/10 | 12/03/10 | 12/03/10 | 01/13/10 | 12/04/09 | 10/14/09 | CUSIP: 315910471       | 01/13/10 | JSIP: 0075WO                            | 10/14/09 | CUSIP: 09253J103             |                   | 12/17/10       | 11/30/10 | 09/17/10 | 08/03/10 | 06/18/10 | 03/19/10 | 12/18/09           | ACQUIRED<br>(Box 1b)    |
|                        | 01/09/12 | 01/09/12  |                        |                   | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 |                        | 01/09/12 | 817 Symbol                              | 01/09/12 |                              |                   | 01/09/12       | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12 | 01/09/12           | SALE<br>(Box 1s)        |
|                        | \$9.70   | \$271.60  | Symbol (Box 1d): GATEX | \$1,266.66        | \$0.40   | \$18.13  | \$13.90  | \$851.82 | \$12.79  | \$369.62 | Symbol (Box 1d): FACNX | \$387.93 | CUSIP: 0075W0817 Symbol (Box 1d): CAMSX | \$337.88 | Symbol (Box 1d): MDSWX       | \$350.25          | \$3.43         | \$7.88   | \$3.38   | \$23.23  | \$15.47  | \$1.79   | \$4.14             | SALES PRICE<br>(Box 2a) |
|                        | \$10.16  | \$286.93  |                        | \$1,253.21        | \$0.47   | \$20.37  | \$15.61  | \$841.01 | \$12.08  | \$363.67 |                        | \$307.24 |   | \$271.19 |                              | \$330.31          | \$3.59         | \$7.76   | \$3.23   | \$22.25  | \$13.89  | \$1.71   | \$3.89             | OR OTHER BASIS (Box 3)  |
|                        | \$0.00   | \$0.00    |                        |                   |          |          |          | \$0.00   |          | \$0.00   |                        | \$0.00   |   | \$0.00   |                              | \$0,00            | 20.00<br>20.00 | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00             | DISALLOWED (Box 5)      |
| c                      | (\$0.46) | (\$15.33) |                        | \$13,45           | (\$0,07) | (\$2.24) | (\$1.71) | \$10.81  | \$0.71   | \$5.95   |                        | \$80.69  |   | \$66.69  |                              | \$19.94           | (\$0.16)       | \$0.12   | \$0.15   | \$0.98   | \$1.58   | \$0.08   | \$0.25             | GAIN/(LOSS)<br>AMOUNT   |
| CONTINUED ON NEXT PAGE | \$0.00   | \$0.00    |                        | \$0.00            | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0.00   |                        | \$0.00   |   | \$0.00   |                              | \$0.00            | \$0.00         | \$0.00   | \$0.00   | \$0.00   | \$0.00   | \$0,00   | \$0.00             | TAX WITHHELD (Sox 4)    |

#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

THE HONORABLE NICK JOE RAHALL 109 MAIN STREET BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center

Plaza 2 2nd Floor

Jersey City, NJ 07311 Identification Number: 26-4310632

Account Number:

487

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Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

| DESCRIPTION (Box 8)          | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |   |
|------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--|---|-----------------------|-------------------------------------|---|
| GATEWAY FUND A               | 0.176                | 12/31/08                     | 01/09/12                    | \$4.68                  | \$4,26                                     | \$0.00                                  | \$0,42                | \$0.00                              | H |
|                              | 0.195                | 03/27/09                     | 01/09/12                    | \$5.18                  |  | \$0.00                                  | \$0,77                | \$0.00                              |   |
|                              | 31.977               | 06/04/09                     | 01/09/12                    | \$849.63                |  |   | \$90.82               | \$0.00                              |   |
|                              | 0.304                | 06/26/09                     | 01/09/12                    | \$8.08                  |  |   | \$0.89                | \$0.00                              |   |
|                              | 0.256                | 09/25/09                     | 01/09/12                    | \$6.80                  |  |   | \$0.52                | \$0.00                              |   |
|                              | 2.240                | 10/14/09                     | 01/09/12                    | \$59.52                 |  |   | \$4,11                | \$0.00                              |   |
|                              | 0.217                | 12/22/09                     | 01/09/12                    | \$5.77                  |  |   | \$0.29                | \$0.00                              |   |
|                              | 0.182                | 03/25/10                     | 01/09/12                    | \$4.84                  |  | -                                       | \$0.21                | \$0.00                              |   |
|                              | 0.212                | 06/24/10                     | 01/09/12                    | \$5.63                  |  |   | \$0,43                | \$0.00                              |   |
|                              | 1.026                | 08/03/10                     | 01/09/12                    | \$27.26                 |  |   | \$1.59                | \$0.00                              |   |
|                              | 0.215                | 09/23/10                     | 01/09/12                    | \$5.71                  |  |   | \$0.27                | \$0.00                              |   |
|                              | 0.945                | 11/30/10                     | 01/09/12                    | \$25.11                 |  |   | \$0.83                | \$0.00                              |   |
|                              | 0.263                | 12/21/10                     | 01/09/12                    | 86.38                   | \$6.85                                     |   | \$0,14                | \$0.00                              |   |
| Security Subtotal            | 48,795               |                              |                             | \$1,296.50              |  |   | \$85.50               | \$0.00                              |   |
| GOLDMAN SACHS ABSLT RET TRCK |                      | CUSIP: 38145N220             |                             | Symbol (Box 1d): GJRTX  |  |   |                       |                                     |   |
|                              | 63.126               | 10/14/09                     | 01/09/12                    | \$564.34                | \$579.66                                   |   | (\$15.32)             | \$0.00                              |   |
|                              | 0.075                | 12/08/09                     | 01/09/12                    | \$0.67                  | \$0.69                                     |   | (\$0.02)              | \$0.00                              |   |
|                              | 0.050                | 12/30/09                     | 01/09/12                    | \$0.45                  | \$0.46                                     |   | (\$0.01)              | \$0.00                              |   |
|                              | 66.467               | 01/13/10                     | 01/09/12                    | \$594.21                | \$613.70                                   |   | (\$19.49)             | \$0.00                              |   |
|                              | 4.476                | 08/03/10                     | 01/09/12                    | \$40.02                 | \$40.61                                    |   | (\$0.59)              | \$0.00                              |   |
|                              | 5.310                | 11/30/10                     | 01/09/12                    | \$47.47                 | \$48.87                                    |   | (\$1.40)              | \$0.00                              |   |
|                              | 0.755                | 12/08/10                     | 01/09/12                    | \$6.75                  | \$7.00                                     | \$0.00                                  | (\$0.25)              | \$0.00                              |   |
|                              | 0.243                | 12/08/10                     | 01/09/12                    | \$2.17                  | \$2.26                                     |   | (\$0,08)              | \$0,00                              |   |
| Security Subtotal            | 140.502              |                              |                             | \$1,256,08              | \$1,293,25                                 |   | (\$37.17)             | 20.00                               |   |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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# 1099 Consolidated Tax Statement Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL 109 MAIN STREET BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center Plaza 2 2nd Floor Jersey City, NJ 07311

Account Number:

Identification Number:

26-4310632

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487

Customer Service: 866-324-6088

8 -

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

OMB NO. 1545-0715

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

|                                     |                       |                                   |  | Symbol (Box 1d): IWR    |                             | CUSIP: 464287499             |                      | ISHARES RUSSELL MIDCAP INDX FD |
|-------------------------------------|-----------------------|-----------------------------------|--|-------------------------|-----------------------------|------------------------------|----------------------|--------------------------------|
| \$0.00                              | (\$72.97)             | \$0.00                            | \$2,101.98                                 | \$2,029.01              |                             |                              | 201.491              | Security Subtotal              |
| 00.0\$                              | (\$1.15)              | \$0.00                            | \$44.00                                    | \$42.85                 | 01/09/12                    | 12/29/10                     | 4.255                |                                |
| \$0.00                              | (\$0.06)              | \$0.00                            | \$1.96                                     | \$1.90                  | 01/09/12                    | 12/29/10                     | 0.189                |                                |
| \$0.00                              | (\$0.01)              | \$0.00                            | \$0.42                                     | \$0.41                  | 01/09/12                    | 12/29/10                     | 0.041                |                                |
| \$0.00                              | (\$4.11)              | \$0.00                            | \$96.02                                    | \$91.91                 | 01/09/12                    | 11/30/10                     | 9.127                |                                |
| \$0.00                              | (\$67.64)             | \$0.00                            | \$1,959.58                                 | \$1,891.94              | 01/09/12                    | 08/03/10                     | 187.879              |                                |
|                                     | 7.                    |                                   |  | Symbol (Box 1d): IQHIX  |                             | CUSIP: 454076209             | Ω                    | IQ ALPHA HEDGE STRAT INST      |
| \$0.00                              | \$12.57               | \$0.00                            | \$721.28                                   | \$733.85                |                             |                              | 48.858               | Security Subtotal              |
| \$0.00                              | \$0.08                | \$0.00                            | \$13,97                                    | \$14.06                 | 01/09/12                    | 04/01/10                     | 0.936                |                                |
| \$0.00                              | \$12.48               | \$0.00                            | \$707.31                                   | \$719.79                | 01/09/12                    | 01/13/10                     | 47.922               |                                |
|                                     |                       |                                   |  | Symbol (Box 1d): IGLIX  |                             | CUSIP: 44980Q302             | C                    | ING GLOBAL REAL ESTATE FD I    |
| \$0.00                              | \$72.21               | \$0.00                            | \$1,227.01                                 | \$1,299.22              |                             |                              | 123.266              | Security Subtotal              |
| 00.03                               | \$0.03                | 00.00<br>00.00                    | \$3.53                                     | \$3.56                  | 01/09/12                    | 12/30/10                     | 0.338                |                                |
| 00.00                               | \$0.54                | \$0.00                            | \$15.22                                    | \$15.76                 | 01/09/12                    | 11/30/10                     | 1.495                |                                |
| \$0.00                              | \$71.64               | \$0.00                            | \$1,208.26                                 | \$1,279.90              | 01/09/12                    | 09/14/10                     | 121.433              |                                |
| į                                   |                       |                                   |  | Symbol (Box 1d): ALPHX  |                             | CUSIP: 41902V401             | Ω                    | HATTERAS ALPHA HEDGED STRAT    |
| \$0,00                              | \$17.35               | \$0.00                            | \$278.69                                   | \$296,04                |                             |                              | 13,146               | Security Subtotal              |
| \$0.00                              | (\$0.28)              | \$0.00                            | \$4.15                                     | \$3.87                  | 01/09/12                    | 12/08/10                     | 0.172                |                                |
| \$0.00                              | \$17.63               | \$0.00                            | \$274.54                                   | \$292.17                | 01/09/12                    | 01/13/10                     | 12.974               |                                |
|                                     |                       |                                   |  | Symbol (Box 1d): GGOIX  |                             | CUSIP: 38142Y401             | ဌ                    | GOLDMAN SACHS GRW OPPORT I     |
| FEDERAL INCOME TAX WITHHELD (Box 4) | GAIN/(LOSS)<br>AMOUNT | WASH SALE LOSS DISALLOWED (Box 5) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | SALES PRICE<br>(Box 2a) | DATE OF<br>SALE<br>(Box 1a) | DATE<br>ACQUIRED<br>(Box 1b) | QUANTITY<br>(Box 1e) | DESCRIPTION (Box 8)            |
|                                     |                       |                                   |  |                         |                             |                              |                      |                                |

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10/14/09

01/09/12

\$100.26

\$80.20

\$0.00

\$20.06

#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

BECKLEY WV 25801-4610 109 MAIN STREET THE HONORABLE NICK JOE RAHALL

> Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center Plaza 2 2nd Floor Jersey City, NJ 07311 Identification Number:

Account Number:

487

26-4310632

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.) Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

JP MORGAN HIBRG STAT MKT NEU A JP MORGAN HIBRG DYN COMM STR A Security Subtotal SHARES S&P 500 VALUE INDEX ISHARES S&P 500 GRWTH INDEX **DESCRIPTION (Box 8)** ISHARES RUSSELL 2000 INDEX FD Security Subtotal (Continued) QUANTITY (Box 1e) 13.000 20,000 34,000 77.317 64,344 62,658 21,000 2.381 6.544 0.551 1.135 2.000 1.000 CUSIP: 464287408 CUSIP: 48121A696 CUSIP: 464287309 CUSIP: 464287655 CUSIP: 4812A2454 DATE ACQUIRED 06/04/09 08/03/10 11/30/10 08/03/10 12/14/10 12/14/10 06/04/09 11/30/10 06/04/09 08/03/10 01/13/10 (Box 1b) DATE OF SALE 01/09/12 01/09/12 01/09/12 01/09/12 01/09/12 01/09/12 01/09/12 01/09/12 01/09/12 01/09/12 01/09/12 (Box 1a) Symbol Symbol (Box 1d): HDSAX Symbol (Box 1d): IVE Symbol (Box 1d): IVW Symbol (Box 1d): HSKAX (Box 1d): IWM SALES PRICE \$59.29 \$1,245,07 \$1,434,69 \$2,322,83 \$1,185.78 \$1,102.85 (Box 2a) \$1,143.52 \$1,073.96 \$150.18 \$35.21 \$19.45 \$9,44 ADJUSTED COST OR OTHER BASIS \$1,238.62 \$1,208,11 \$1,843.68 \$1,373,26 (Box 3) \$872.83 \$10.23 \$21.07 \$894.60 \$55.42 \$950.02 \$128.70 \$904,13 \$36.43 WASH SALE LOSS
DISALLOWED
(Box 5) \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 **\$0.00** \$0.00 \$0.00 **\$0.00** GAIN/(LOSS) AMOUNT (\$95.10) (\$1.22) (\$1.44) \$201.13 (\$0.79) (\$1.62) \$198.72 \$291.18 \$3.87 \$295.05 \$252.57 \$226.58 \$479.15 (\$97.76) \$21.48 FEDERAL INCOME TAX WITHHELD (Box 4) \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 **\$0.00** \$0.00 \$0.00 \$0.00 \$0.00 \$0.00

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#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

BECKLEY WV 25801-4610 THE HONORABLE NICK JOE RAHALL 109 MAIN STREET

> Plaza 2 2nd Floor Identification Number: Jersey City, NJ 07311 Harborside Financial Center Morgan Stanley Smith Barney Holdings LLC 26-4310632

Account Number:

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

| 09/24/10 | 1 ::                 | 14,335 09/14/10 | THORNBURG INTL VALUE I CUSIP: 885215566 | Security Subtotal 28,000 | 2,000 08/03/10 | 26,000 01/13/10 ( | SPDR S&P INTL SMALL C CUSIP: 78463X871 | 7.000 01/13/10 | SPDR GOLD TR GOLD SHS CUSIP: 78463V107 | Security Subtotal 73.858 | <u>1,643</u> 11/30/10 | 72,215 08/03/10 1 | PRUDENTIAL JENNISON MKT NEUT Z CUSIP: 74440KB27 | Security Subtotal 107,298 | 0.171 12/21/10 | 08/03/10   | OPPENHEIMER DEVELOPING MKTS A CUSIP: 683974109 | Security Subtotal 11,531 | 1,053 12/09/10 ( | 10,478 01/13/10 ( | MATTHEWS ASIA GROWTH INV CUSIP: 577130867 | DESCRIPTION (Box 8)  QUANTITY ACQUIRED (Box 1s) (Box 1s) (Box 1s) |
|----------|----------------------|-----------------|---|--------------------------|----------------|-------------------|--|----------------|--|--------------------------|-----------------------|-------------------|---|---------------------------|----------------|------------|--|--------------------------|------------------|-------------------|---|---|
| 01/00/12 | 01/09/12             | 01/09/12        |   |                          | 01/09/12       | 01/09/12          | Symbol (                               | 01/09/12       |  |                          | 01/09/12              | 01/09/12          |   |                           | 01/09/12       | 01/09/12   |  |                          | 01/09/12         | 01/09/12          |   | DATE OF<br>SALE<br>(Box 1a)                                       |
| \$1 22   | \$3 78               | \$356.22        | Symbol (Box 1d): TGVIX                  | \$708.38                 | \$50.60        | \$657.78          | Symbol (Box 1d): GWX                   | \$1,093.86     | Symbol (Box 1d): GLD                   | \$713.47                 | \$15.87               | \$697.60          | Symbol (Box 1d): PJNZX                          | \$3,189.97                | \$5,08         | \$3,184.89 | Symbol (Box 1d): ODMAX                         | \$176.76                 | \$16.14          | \$160.62          | Symbol (Box 1d): MPACX                    | SALES PRICE<br>(Box 2a)   |
| \$1.40   | 70 A.                | \$375.86        |   | \$749.05                 | \$52.56        | \$696.49          |  | \$780.69       |  | \$719.78                 | \$16.48               | \$703.30          |   | \$3,321.63                | \$6.05         | \$3,315.58 |  | \$173.37                 | \$18.61          | \$154.76          |   | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3)                        |
| \$0.00   | \$0.00<br>0.00       | \$0.00          |   | \$0.00                   | \$0.00         | \$0.00            |  | \$0.00         |  | \$0.00                   | \$0.00                | \$0.00            |   | \$0.00                    | \$0.00         | \$0.00     | ;  | \$0.00                   | 20.02            | \$0.00            |   | WASH SALE LOSS DISALLOWED (Box 5)                                 |
| (\$0.18) | (80 0 <del>8</del> ) | (\$19.64)       |   | (\$40.67)                | (\$1.96)       | (\$38.71)         |  | \$313.17       | •                                      | (\$6.31)                 | (\$0.61)              | (\$5.70)          |   | (\$131.66)                | (\$0.97)       | (\$130.69) | İ  | \$3,39                   | (\$2.47)         | \$5.86            |   | GAIN/(LOSS)<br>AMOUNT   |
| \$0.00   |                      |                 |   |                          | \$0,00         |                   |  | \$0.00         |  | \$0.00                   | 00.03                 | \$0.00            |   |                           | 20.02          |            |  |                          | \$0.00           |                   |   | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4)                         |

CONTINUED ON NEXT PAGE

\$0.00

(\$20.10)

Security Subtotal

14,536

#### Tax Year 2012 1099 Consolidated Tax Statement Copy B For Recipient

THE HONORABLE NICK JOE RAHALL 109 MAIN STREET BECKLEY WV 25801-4610

> Jersey City, NJ 07311 Plaza 2 2nd Floor Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center

Account Number:

Identification Number:

26-4310632

487

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

| Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These (Continued) | Securities # (Continued) | (Consider Box 6a             | a (Noncovered Se            | curity) as being check  | ed for this section. These           | transactions should be reported on Form 8949 Part II with box B checked.) | rted on Form 8949 Pa | ırt II with box B checked.)         |
|---|--------------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------|---|----------------------|-------------------------------------|
| DESCRIPTION (Box 8)   | QUANTITY<br>(Box 1e)     | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5)   | GAIN/(LOSS)          | FEDERAL INCOME TAX WITHHELD (Box 4) |
| VAN ECK INT'L INV GOLD FD A   | C                        | CUSIP: 921075503             | Ì                           | Symbol (Box 1d): INIVX  |                                      |   |                      |                                     |
|   | 29.919                   | 10/21/10                     | 01/09/12                    | \$597.18                | \$734.81                             | \$0.00  | (\$137.63)           | \$0.00                              |
|   | 11.462                   | 11/30/10                     | 01/09/12                    | \$228.78                | \$315.08                             |   | (\$86.30)            | \$0.00                              |
|   | 0.403                    | 12/21/10                     | 01/09/12                    | \$8.04                  | \$9.72                               | \$0.00  | (\$1.68)             | \$0.00                              |
|   | 3.415                    | 12/21/10                     | 01/09/12                    | \$68.16                 | \$82.33                              |   | (\$14.17)            | \$0.00                              |
|   | 4.735                    | 12/21/10                     | 01/09/12                    | \$94.51                 | \$114.17                             |   | (\$19.66)            | \$0.00                              |
| Security Subtotal   | 49.934                   |                              |                             | \$996.67                | \$1,256,11                           | \$0,00  | (\$259.44)           | \$0.00                              |
| VANGUARD EUROPEAN MSCI ETF  | C                        | CUSIP: 922042874             |                             | Symbol (Box 1d): VGK    |                                      |   |                      |                                     |
|   | 10.000                   | 06/04/09                     | 01/09/12                    | \$411.09                | \$412.57                             | \$0.00  | (\$1.48)             | \$0.00                              |
|   | 1.000                    | 01/13/10                     | 01/09/12                    | \$41.11                 | \$50.64                              |   | (\$9.53)             | \$0.00                              |
|   | 3.000                    | 08/03/10                     | 01/09/12                    | \$123.33                | \$142.35                             | \$0.00  | (\$19.02)            | \$0.00                              |
|   | 3.000                    | 11/30/10                     | 01/09/12                    | \$123.32                | \$141.92                             |   | (\$18.60)            | \$0.00                              |
| Security Subtotal   | 17.000                   |                              |                             | \$698,85                | \$747.48                             |   | (\$48.63)            | \$0.00                              |
| VANGUARD MSCI EMERGING MARKETS  |                          | CUSIP: 922042858             |                             | Symbol (Box 1d): VWO    |                                      |   |                      |                                     |
|   | 38.000                   | 06/04/09                     | 01/09/12                    | \$1,478.17              | \$1,241.84                           |   | \$236.33             | \$0.00                              |
|   | 24.000                   | 10/14/09                     | 01/09/12                    | \$933.58                | \$981.55                             | \$0.00  | (\$47.97)            | \$0.00                              |
|   | 19.000                   | 08/03/10                     | 01/09/12                    | \$739.08                | \$809.38                             |   | (\$70,30)            | \$0.00                              |
| Security Subtotal   | 81,000                   |                              |                             | \$3,150.83              | \$3,032,77                           |   | \$118.06             | \$0,00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

# 1099 Consolidated Tax Statement Tax Year 2012 Copy B For Recipient

THE HONORABLE NICK JOE RAHALL 109 MAIN STREET BECKLEY WV 25801-4610

Morgan Stanley Smith Barney Holdings LLC Harborside Financial Center Plaza 2 2nd Floor Jersey City, NJ 07311

Identification Number:

26-4310632

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-

Account Number: 487

Customer Service: 866-324-6088

or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box 8 checked.) (Continued)

| Total Fed Tax Withheld (Box 4) | Total Wash Sale Loss Disallowed (Box 5) | Total Cost or Other Basis (Box 3) | Total Sales Price (Box 2) | Form 1099-B Total Reportable Amounts | Total Short and Long Term, Covered and Noncovered Securities | Total Long Term Noncovered Securities |                   | VANGUARD REIT ETF    | DESCRIPTION (Box 8)                        |
|--------------------------------|---|-----------------------------------|---------------------------|--------------------------------------|--|---------------------------------------|-------------------|----------------------|--|
| × 4)                           | lowed (Box 5)                           | 30x 3)                            |                           | ortable Amoun                        | Covered and Non  | ed Securities                         | 14.000            | C                    | QUANTITY<br>(Box 1e)                       |
|                                |   |                                   |                           | its                                  | covered Sec  |                                       | 09/14/10          | CUSIP: 922908553     | DATE<br>ACQUIRED<br>(Box 1b)               |
|                                |   |                                   |                           |                                      | uritles  |                                       | 09/14/10 01/09/12 |                      | DATE OF<br>SALE<br>(Box 1a)                |
|                                |   |                                   | \$72,130.01               |                                      | \$72,130.01  | \$27,345.26                           | \$805.12          | Symbol (Box 1d): VNQ | SALES PRICE<br>(Box 2a)                    |
|                                |   | \$0.00                            |                           |                                      | \$72,041.13  | \$26,172.48                           | \$735.11          |                      | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) |
|                                | \$0.00                                  |                                   |                           |                                      | \$0.00   | \$0.00                                | \$0.00            |                      | WASH SALE LOSS DISALLOWED (Box 5)          |
|                                |   |                                   |                           |                                      | \$88.88  | \$1,172.78                            | \$70.01           |                      | GAIN/(LOSS)<br>AMOUNT                      |
| \$0.00                         |   |                                   |                           |                                      | \$0.00   | \$0.00                                | \$0.00            |                      | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4)  |
|                                |   |                                   |                           |                                      |  |                                       |                   |                      |  |

<sup>\*</sup> Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS



#### Morgan Stanely IRA

#### 2012 Transactions

| Date             | Description                      | Amount            |
|------------------|----------------------------------|-------------------|
| <u>Purchases</u> |                                  |                   |
| 09/21            | 66.511 Diamond Hill Long-Short I | \$1,230.45        |
| 09/21            | 77.048 Merger Fund               | 1,230.45          |
|                  |                                  | <u>\$2,460.90</u> |

#### Wells Fargo SEP IRA

#### **2012 Transactions**

| Date             | Description  | Amount             |
|------------------|--|--------------------|
| <u>Purchases</u> |  |                    |
| 03/05            | 151 CVR Partners LP                                    | \$3,919.68         |
| 03/05            | 49 CVR Partners LP                                     | 1,268.90           |
| 03/21            | 34 Enterprise Products Partners                        | 1,762.80           |
| 03/22            | 76 Enterprise Products Partners                        | 3,925.12           |
| 03/22            | 7,000 Goldman Sachs Group Inc CPN 6.750% Due 10/01/3   | 6,944.10           |
| 06/26            | 125 United States Gasoline Fund LP                     | 5,912.50           |
| 10/24            | 5,000 Anglogold Holdings PLC Senior Notes Due 04/15/40 | 4.970.00           |
|                  |  |                    |
|                  |  | <u>\$28,703.10</u> |
| <u>Sales</u>     |  |                    |
| 03/26            | 200 CVR Partners LP                                    | \$5,533.92         |
| 05/01            | 300 Triangle Capital Corp                              | 5,992.86           |
| 05/01            | 5,000 MGM Mirage Inc                                   | 5,150.60           |
| 05/01            | 5,000 Supervalue Inc                                   | 5,180.80           |
| 05/02            | 110 Enterprise Products Partners                       | 5,665.37           |
| 06/27            | 400 Getty Realty Corp New                              | 7,167.84           |
| 07/13            | 125 United States Gasoline Fund LP                     | 6,387.35           |
| 07/17            | 250 Marine Pete Trust                                  | 6,044.86           |
| 07/18            | 667.28 Franklin Balanced Fd Class C                    | 6,852.97           |
| 09/27            | 7,000 Tesoro Corp Contra Senior Notes Due 11/01/15     | <u>7,122.50</u>    |
|                  |  | <b>***</b>         |
|                  | ·  | <u>\$61,099.07</u> |

#### SNAPSHOT

### MELINDA ROSS (IRA) FCC AS CUSTODIAN

Page 1 of 7

# Progress summary

| \$15,713.08 | \$15,713.08 | Closing value |
|-------------|-------------|---------------|
| 1,997.50    | 318.50      | ange in value |
| 183.91      | 95.58       | Income earned |
| \$13,531.67 | \$15,299.00 | Opening value |
| THIS YEAR   | THIS PERIOD |               |

OCTOBER 1 - DECEMBER 31, 2012

As a Wells Fargo Advisors Client, you can easily simplify your finances by combining all your investing and banking into a single, easy-to-manage relationship. By upgrading to the Command Asset Program, you'll have access to many more features and benefits to helpo you manage your finances. You'll see all your investing and banking activity on one-comprehensive monthly statement. It's as simple as talking with Your Financial Advisor. Ask them today about the Command Asset December 2019. Ask them today about the Command Asset Program.

### Portfolio summary

|                          | 100%  | \$15,713.08 100%           | 100%  | \$15,299.00 100%            | Asset value             |        |
|--------------------------|-------|----------------------------|-------|-----------------------------|-------------------------|--------|
|                          | 50.73 | 7,970.47                   | 51.15 | 7,825.06                    | Mutual funds            |        |
|                          | 0.00  | 0.00                       | 0.00  | 0.00                        | Fixed income securities |        |
|                          | 48.24 | 7,579.83                   | 48.14 | 7,365.42                    | Stocks, options & ETFs  |        |
|                          | 1.04  | 162.78                     | 0.71  | 108.52                      | Cash and sweep balances | ASSETS |
| ESTIMATED<br>ANN. INCOME | %     | CURRENT<br>VALUE ON DEC 31 | %     | PREVIOUS<br>VALUE ON SEP 30 | ASSET TYPE              |        |

### Portfolio detail

# Cash and Sweep Balances

Rank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not rered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank weposit Sweep for your account, please contact Your Financial Advisor.

| DESCRIPTION                         | ANNUAL PERCENTAGE YIELD EARNED* | CURRENT MARKET VALUE | ESTIMATED ANNUAL INCOME |  |
|-------------------------------------|---------------------------------|----------------------|-------------------------|--|
| BANK DEPOSIT SWEEP                  | 0.00                            | 162.78               | 0.00                    |  |
| Interest Period 12/01/12 - 12/31/12 |                                 |                      |                         |  |
| Total Cash and Sweep Balances       |                                 | \$162.78             | \$0.00                  |  |

<sup>\*</sup> APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

### Stocks, options & ETFs

#### Stocks and ETFs

| 710000000000000000000000000000000000000  |           |           | 1          |         |             |            |   |        |
|--|-----------|-----------|------------|---------|-------------|------------|---|--------|
| DESCRIPTION OF THE PROPERTY OF | GC/245111 | 011011401 | 0000       | FINCE   | SOUTH ADECE | GANTOGO    | INCOME                                  | (A)    |
| BEAM INC   |           |           |            |         |             |            |   |        |
| BEAM   |           |           |            |         |             |            |   |        |
| ^ :quired 11/09/11   | 75        | 49.19     | 3,714.25   | 61.0900 | 4,581.75    | 867.50     | 61.50                                   | 1.34   |
| PLC SPONS ADR  |           |           |            |         |             |            |   |        |
| 8F<br>Acquired 05/31/12  | 73        | 36 71     | 2 678 12   | 41 6400 | 2 998 08    | 310 OS     | 1,5,5,5,5                               | о<br>У |
| T-1-1 Ot ETT-  |           |           | *******    |         | ******      | ** 107 10  | *************************************** |        |
| Otal Stocks and Eirs   |           |           | 30,382,37  |         | \$7,579,83  | \$1,187.46 | \$217.02                                | 2.86   |
| Total Stocks, options & ETFs   |           |           | \$6,392.37 |         | \$7,579.83  | \$1.187.46 | \$217.02                                | 2.86   |

#### MELINDA ROSS (IRA) FCC AS CUSTODIAN

OCTOBER 1 - DECEMBER 31, 2012

#### **Mutual Funds**

### **Open End Mutual Funds**

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

ESTIMATED

|  |                       |                          |                        |                  |                         |                         |         | ָבְּבָּבְּבְּבָּבְּבְּבְּבְּבְּבְּבְּבְּבְּבְּבְּבְּבְּ |
|--|-----------------------|--------------------------|------------------------|------------------|-------------------------|-------------------------|---------|---|
| J.:SCRIPTION   | QUANTITY              | ADJ PRICE/<br>ORIG PRICE | ADJ COST/<br>ORIG COST | CURRENT<br>PRICE | CURRENT<br>MARKET VALUE | UNREALIZED<br>GAIN/LOSS | ANNUAL  | ANNUAL<br>YIELD (%)                                     |
| FRANKLIN TEMPLETON FD ALLOCATOR SER COREFOLIO ALLOCN FD CL C   |                       |                          |                        |                  |                         |                         |         |   |
| FTCLX Acquired 12/17/10 nc Reinvestments m   | 273.56900<br>1.87000  | 12.24<br>12.45           | 3,348.48<br>23.30      |                  | 3,679.50<br>25.15       | 331.02<br>1.85          |         |   |
| Total  | 275.43900             |                          | \$3,371.78             | 13.4500          | \$3,704.65              | \$332.87                | \$7.43  | 0.20  |
| JOHN HANCOCK II<br>LIFESTYLE GROWTH FUND<br>CL-C<br>JCLGX<br>Acquired 03/27/07 nc<br>Reinvestments m | 266.13400<br>48.45500 | 15.03<br>11.17           | 4,007.50<br>541.46     |                  | 3,608.77<br>657.05      | -398.73<br>115.59       |         |   |
| Total  | 314.58900             |                          | \$4,548.96             | 13.5600          | \$4,265.82              | \$283.14                | \$50.01 | 1.17  |
| Total Open End Mutual Funds  |                       |                          | \$7,920.74             |                  | \$7,970.47              | \$49.73                 | \$57.44 | 0.72  |
| Total Mutual Funds   |                       |                          | \$7,920.74             |                  | \$7,970.47              | \$49.73                 | \$57.44 | 0.72  |

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS.

Set information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

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2012 Annual Review

**CLIENT STATEMENT** 

Active Assets Account

NICK JOE RAHALL 109 MAIN STREET

We are pleased to enclose your 2012 Annual Review, which is provided for informational purposes only.

Those that are reportable for 2012, but not payable until 2013, are not included in this Annual Review. Clients with accounts subject to IRS reporting should note that the year-to-date amounts in this Review may differ from the amounts that will be reported on IRS Form(s) 1099, which will be mailed to you no later than February 15, 2013. We recommend that you wait for your IRS Form(s) 1099 before completing your tax returns. Additionally, please note that this Annual Review contains a recap of 2012 Income and Distributions.

Please remember that this 2012 Annual Review is not a substitute for the official account statements that you have received from us throughout the year, and certain information is subject to adjustment and correction. To the extent there are any discrepancies between your monthly account statement(s) and the information in this Annual Review, you should rely on the account statement(s) you have previously received. For more information please contact your Financial Advisor.

# INVESTMENT RELATED ACTIVITY

### SALES/REDEMPTIONS

| Transaction | on Settlement           | 14            |                         |                            |            |           | -                  |
|-------------|-------------------------|---------------|-------------------------|----------------------------|------------|-----------|--------------------|
| Date        | Date                    | Activity Type | Description             | Comments                   | Quantity   | Price     | Inflows/(Outflows) |
| 2/14        | 2/14                    | Sold          | MSSB SPCTRM STRATEGC    |                            | 1,796.192  | \$16.3900 | \$29,439.59        |
| 2/14        | 2/14                    | Sold          | MSSB SPCTRM TECH        |                            | 1,462,357  | 19.2200   | 28,106.50          |
| 2/14        | 2/14                    | Sold          | MSSB SPCTRM SELECT      |                            | 381.985    | 31.1400   | 11,895.01          |
| 6/1         | 6/1                     | Redemption    | WV ST GO 8E 5000 12JN01 | REDEMPTION OF MATURED BOND | 15,000,000 |           | 15,000.00          |
| TOTAL S.    | TOTAL SALES/REDEMPTIONS | MPTIONS       |                         |                            |            |           | \$84,441.10        |

#### 2012 Sales

| Date  | Description  | Amount    |
|-------|--|-----------|
| 03/23 | 115 Pepsico Incorporated                                 | 7,459.28  |
| 04/05 | 200 World Fuel Service Corp                              | 8,388.21  |
| 04/12 | 110 Cytec Ind Inc  | 6,941.14  |
| 04/13 | 150 Reynolds American Inc                                | 6,188.61  |
| 04/19 | 235 Carnival Corp  | 7,503.02  |
| 04/19 | 200 Ebay Inc   | 7,760.42  |
| 04/25 | 14,000 Bank of Amer Multiclass CPN 5.500% Due 02/25/34   | 1,249.59  |
| 04/30 | 235 Amcol Intl Corp                                      | 7,751.07  |
| 04/30 | 100 Costco Whsl Corp New Com                             | 8,854.91  |
| 04/30 | 100 Sigma Aldrich Corp                                   | 7,040.44  |
| 05/03 | 125 Beam Inc   | 7,386.52  |
| 05/03 | 150 Disney Walt Company                                  | 6,478.10  |
| 05/09 | 235 Consol Energy Inc Com                                | 8,164.49  |
| 05/24 | 100 Advance Auto Parts                                   | 7,145.28  |
| 05/25 | 200 Dow Chemical Company                                 | 6,230.86  |
| 06/13 | 100 United Parcel Service - B                            | 7,597.83  |
| 06/15 | 250 Phillips 66  | 8,350.94  |
| 06/15 | 200 World Fuel Service Corp                              | 7,629.73  |
| 06/20 | 150 Agrium Inc   | 12,916.71 |
| 06/26 | 200 NYSE Euronext  | 4,892.86  |
| 06/26 | 200 NYSE Euronext  | 4,892.84  |
| 06/26 | 20,000 Union Carbide Global Notes CPN 7.875% Due 04/01/; | 24,121.60 |
| 07/02 | 350 Conagra Foods Inc                                    | 8,947.30  |
| 07/03 | 200 Advance Auto Parts                                   | 13,536.49 |
| 07/09 | 235 Consol Energy Inc Com                                | 7,157.58  |
| 07/09 | 75 Wright Express Corp                                   | 4,578.65  |
| 07/13 | 375 Amcol Intl Corp                                      | 11,239.75 |
| 07/16 | 110 Royal Dutch Shell PLC Adr Cl A                       | 7,550.84  |
| 07/18 | 100 M & T Bank Corp                                      | 8,612.69  |
| 07/19 | 200 Canadian Natural Resources LTD                       | 5,607.77  |
| 07/19 | 100 Wright Express Corp                                  | 6,464.85  |
| 07/23 | 10,000 Consol Energy Inc CPN 8.000% Due 04/01/17         | 10,565.10 |
| 08/01 | 200 Kronos Worldwide Inc                                 | 3,504.66  |
| 08/02 | 75 Ryder System Inc                                      | 2,857.43  |
| 08/03 | 50 Murphy Oil Corp                                       | 2,699.94  |
| 08/03 | 375 Waste Mgmt Inc Del                                   | 12,962.84 |
| 08/08 | 125 BP PLC Spons Adr                                     | 5,223.97  |
| 08/09 | 150 Omnicom Group  | 7,688.73  |
| 08/17 | 105 Amerco   | 10,016.52 |
| 09/04 | 100 Amerco   | 9,619.30  |

#### 2012 Sales

| Date  | Description  | Amount          |
|-------|--|-----------------|
| 09/06 | 100 Deere & Co                                     | 7,628.55        |
| 09/06 | 150 Energizer Holdings Inc                         | 10,541.77       |
| 09/07 | 150 CME Group Inc                                  | 8,650.80        |
| 09/10 | 100 Factset Research Systems Inc                   | 9,487.24        |
| 09/10 | 200 Procter & Gamble Co                            | 13,579.69       |
| 09/13 | 150 ConocoPhillips                                 | 8,549.52        |
| 09/14 | 105 3M Co  | 9,783.95        |
| 09/14 | 300 Universal Health Svcs Inc CI B                 | 12,626.06       |
| 10/05 | 110 Allegiant Travel Co                            | 7,407.33        |
| 10/16 | 100 Lorillard Inc                                  | 11,627.14       |
| 10/16 | 200 Murphy Oil Corp                                | 12,738.23       |
| 10/16 | 175 Ryder System Inc                               | 7,591.08        |
| 10/17 | 300 Archer-Daniels-MidInd Co                       | 8,560.81        |
| 11/02 | 250 Brunswick Corp                                 | 6,085.81        |
| 11/02 | 110 3M Co  | 9,763.84        |
| 11/06 | 300 Otter Tail Corp                                | 7,339.83        |
| 11/07 | 200 Universal Health Svcs Inc CI B                 | 9,063.79        |
| 11/21 | 200 Universal Health Svcs Inc CI B                 | 8,614.60        |
| 11/23 | 100 Nike Class B                                   | 9,602.96        |
| 11/23 | 200 Reynolds American Inc                          | 8,455.81        |
| 11/30 | 175 Omnicom Group                                  | 8,612.14        |
| 12/04 | 100 Gannett Co Inc Del                             | 1,714.31        |
| 12/04 | 125 Regis Corp Minn                                | 2,004.16        |
| 12/04 | 90 United Parcel Service - B                       | 6,503.55        |
| 12/04 | 110 United Parcel Service - B                      | 7,995.15        |
| 12/05 | 150 Duke Energy Corp Com New                       | 9,583.00        |
| 12/06 | 125 Regis Corp Minn                                | 2,061.33        |
| 12/06 | 25,000 GNMA 04-69 GB Remic CPN 5.500% Due 04/20/34 | 5,666.37        |
| 12/11 | 200 Coca-Cola Company                              | 7,458.34        |
| 12/12 | 75 BP PLC Spons ADR                                | 3,063.68        |
| 12/12 | 65 Sensient Technologies                           | 2,316.35        |
| 12/14 | 500 Hewlett-Packard Company                        | 7,177.34        |
| 12/19 | 50 Brunswick Corp                                  | 1,320.47        |
| 12/26 | 235 Sensient Technologies                          | <u>8,418.56</u> |

\$575,372.42

| Date  | Description                        | Amount   |
|-------|------------------------------------|----------|
| 02/10 | 115 Pepsico Incorporated           | 7,426.65 |
| 02/10 | 150 Reynolds American Inc          | 6,058.00 |
| 02/21 | 235 Sensient Technologies          | 8,971.25 |
| 03/06 | 175 Universal Health Svcs Inc CI B | 7,606.25 |
| 03/07 | 135 World Fuel Service Corp        | 5,653.78 |
| 03/09 | 65 World Fuel Service Corp         | 2,806.90 |
| 03/20 | 235 Canadian Natural Resources LTD | 8,407.83 |
| 03/20 | 150 Omnicom Group                  | 7,586.50 |
| 03/22 | 175 BP PLC Spons Adr               | 8,045.10 |
| 03/26 | 100 Wright Express Corp            | 6,625.50 |
| 04/03 | 50 Murphy Oil Corp                 | 2,824.00 |
| 04/03 | 125 Procter & Gamble Co            | 8,524.44 |
| 04/04 | 235 Carnival Corp                  | 7,352.43 |
| 04/04 | 200 Ebay Inc                       | 7,227.00 |
| 04/09 | 150 Disney Walt Company            | 6,411.25 |
| 04/10 | 110 Cytec Ind Inc                  | 6,426.10 |
| 04/10 | 125 Beam Inc                       | 7,036.25 |
| 04/10 | 50 Murphy Oil Corp                 | 2,637.50 |
| 04/13 | 100 M & T Bank Corp                | 8,511.00 |
| 04/13 | 100 Sigma Aldrich Corp             | 7,230.00 |
| 04/17 | 235 Amcol Intl Corp                | 7,208.75 |
| 04/19 | 100 Agrium Inc                     | 8,620.00 |
| 04/19 | 100 Costco Whal Corp New Com       | 8,800.00 |
| 04/20 | 75 BP PLC Spons Adr                | 3,227.25 |
| 04/20 | 65 Canadian Natural Resources LTD  | 2,162.75 |
| 04/27 | 235 Consol Energy Inc Com          | 7,764.85 |
| 04/27 | 235 Waste Mgmt Inc Del             | 8,126.75 |
| 04/30 | 300 Kronos Worldwide Inc           | 7,245.00 |
| 04/30 | 75 Procter & Gamble Co             | 4,840.50 |
| 05/01 | 200 NYSE Euronext                  | 5,202.00 |
| 05/02 | 250 Phillips 66                    | 8,110.00 |
| 05/04 | 75 Wright Express Corp             | 4,382.25 |
| 05/07 | 235 Amcol Intl Corp                | 7,213.75 |
| 05/08 | 110 Royal Dutch Shell PLC Adr Cl A | 7,492.00 |
| 05/08 | 200 World Fuel Service Corp        | 7,545.00 |
| 05/16 | 200 Dow Chemical Company           | 6,200.00 |
| 05/17 | 50 Agrium Inc                      | 3,942.50 |
| 05/18 | 100 Advance Auto Parts             | 6,813.90 |
| 05/18 | 200 Kronos Worldwide Inc           | 3,414.79 |
| 05/18 | 100 United Parcel Service - B      | 7,535.00 |

| Date  | Description                                      | Amount    |
|-------|--|-----------|
| 05/22 | 235 Consol Energy Inc Com                        | 7,002.25  |
| 05/31 | 50 BP PLC Spons Adr                              | 1,882.50  |
| 06/05 | 10,000 Consol Energy Inc CPN 8.000% Due 04/01/17 | 9,992.50  |
| 06/11 | 175 Ryder System Inc                             | 7,498.75  |
| 06/13 | 100 Canadian Natural Resources LTD               | 2,715.58  |
| 06/20 | 200 Archer-Daniels-MidInd Co                     | 5,991.98  |
| 06/20 | 235 Conagra Foods Inc                            | 5,916.83  |
| 06/21 | 105 Advance Auto Parts                           | 7,313.50  |
| 06/22 | 75 Ryder System Inc                              | 2,711.24  |
| 06/25 | 140 Amco Intl Corp                               | 3,848.97  |
| 06/26 | 95 Advance Auto Parts                            | 6,120.15  |
| 06/26 | 50 Brunswick Corp                                | 1,056.00  |
| 06/26 | 100 Canadian Natural Resources LTD               | 2,651.00  |
| 06/26 | 100 Gannett Co Inc Del                           | 1,409.23  |
| 06/26 | 125 Hewlett-Packard Company                      | 2,480.56  |
| 06/26 | 125 Otter Tail Corporation                       | 2,841.25  |
| 06/26 | 125 Universal Health Svcs Inc Cl B               | 4,922.50  |
| 06/28 | 100 Conagra Foods Inc                            | 2,575.83  |
| 06/28 | 140 Waste Mgmt Inc Del                           | 4,594.91  |
| 07/31 | 65 Sensient Technologies                         | 2,370.10  |
| 08/01 | 110 Energizer Holdings Inc                       | 7,827.02  |
| 08/02 | 40 Energizer Holdings Inc                        | 2,711.80  |
| 08/03 | 100 Archer-Daniels-MidInd Co                     | 2,604.50  |
| 08/10 | 105 Amerco                                       | 9,859.75  |
| 08/28 | 105 Deere & Co                                   | 7,875.25  |
| 08/28 | 100 Factset Research Systems Inc                 | 9,325.00  |
| 08/28 | 110 United Parcel Service - B                    | 8,306.00  |
| 08/29 | 200 Coca-Cola Company                            | 7,649.00  |
| 08/31 | 105 Amerco                                       | 9,917.50  |
| 08/31 | 150 Duke Energy Corp Com New                     | 9,800.46  |
| 09/05 | 150 CME Group Inc                                | 8,290.00  |
| 09/05 | 150 ConocoPhillips                               | 8,351.75  |
| 09/10 | 105 3M Co  | 9,670.29  |
| 09/14 | 110 Allegian Travel Co                           | 7,200.10  |
| 09/21 | 90 United Parcel Service - B                     | 6,558.00  |
| 10/04 | 500 Hewlett-Packard Company                      | 7,298.00  |
| 10/11 | 100 Lorillard Inc                                | 11,510.00 |
| 10/23 | 75 BP PLC Spons Adr                              | 3,176.18  |
| 10/23 | 200 Reynolds American Inc                        | 8,245.20  |
| 10/25 | 110 3M Co  | 9,719.00  |

| Date                                      | Description   | Amount   |
|---|---|--|
| 10/26<br>10/26<br>11/08<br>11/15<br>12/28 | 175 Omnicom Group 200 Universal Health Svcs Inc CI B 100 Nike Inc Class B 200 Universal Health Svcs Inc CI B 150 Otter Tail Corporation | 8,491.58<br>8,588.20<br>9,452.00<br>8,387.00<br>3,739.50 |
|   |   | <u>\$539,663.48</u>                                      |