



Filing ID #10005024

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Lou Barletta  
**Status:** Member  
**State/District:** PA11

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2013  
**Filing Date:** 04/7/2015

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1110 Balwag Lane  LOCATION: Ft Myers, FL, US	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
322 Rocky Road  LOCATION: Hazleton, PA, US	JT	\$500,001 - \$1,000,000	Rent	\$50,001 - \$100,000	<input type="checkbox"/>
Jackson Account 2321 ⇒ MC 400 MC Index		\$1,001 - \$15,000	None		<input type="checkbox"/>
Jackson Account 2321 ⇒ MC Financial Sector		\$1,001 - \$15,000	None		<input type="checkbox"/>
Jackson Account 2321 ⇒ MC Healthcare Sector		\$1,001 - \$15,000	None		<input type="checkbox"/>
Jackson Account 2321 ⇒ MC Nasdaq 25		\$15,001 - \$50,000	None		<input type="checkbox"/>
Jackson Account 2321 ⇒ MC Oil & Gas Sector		\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Jackson Account 2321 ⇒ MC S&P 500 Index		\$15,001 - \$50,000	None		<input type="checkbox"/>
Jackson Account 2321 ⇒ MC Small Cap Index		\$1,001 - \$15,000	None		<input type="checkbox"/>
Jackson Account 2321 ⇒ PPM America Floating Rate Income		\$15,001 - \$50,000	None		<input type="checkbox"/>
Jackson Account 2321 ⇒ PPM America High Yield Bond		\$15,001 - \$50,000	None		<input type="checkbox"/>
Jackson Account 2321 ⇒ S&P Comp Adv		\$15,001 - \$50,000	None		<input type="checkbox"/>
Jackson IRA Account 1579 ⇒ MC 400 MC Index	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Jackson IRA Account 1579 ⇒ MC Financial Sector	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Jackson IRA Account 1579 ⇒ MC Nasdaq 25	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Jackson IRA Account 1579 ⇒ MC Oil & Gas Sector	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Jackson IRA Account 1579 ⇒ MC S&P 500 Index	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Jackson IRA Account 1579 ⇒ MC Small Cap Index	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Jackson IRA Account 1579 ⇒ MC Tech Sector	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Jackson IRA Account 1579 ⇒ PPM America Floating Rate Income	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Jackson IRA Account 1579 ⇒ PPM America High Yield Bond	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Jackson IRA Account 1579 ⇒ S&P Comp Adv	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Jackson IRA Account 8522 ⇒ MC 400 MC Index		\$1,001 - \$15,000	None		<input type="checkbox"/>
Jackson IRA Account 8522 ⇒ MC Financial Sector		\$1,001 - \$15,000	None		<input type="checkbox"/>
Jackson IRA Account 8522 ⇒ MC Nasdaq 25		\$15,001 - \$50,000	None		<input type="checkbox"/>
Jackson IRA Account 8522 ⇒ MC Oil & Gas Sector		\$1,001 - \$15,000	None		<input type="checkbox"/>
Jackson IRA Account 8522 ⇒ MC S&P 500 Index		\$15,001 - \$50,000	None		<input type="checkbox"/>
Jackson IRA Account 8522 ⇒ MC Small Cap Index		\$15,001 - \$50,000	None		<input type="checkbox"/>
Jackson IRA Account 8522 ⇒ MC Tech Sector		\$1,001 - \$15,000	None		<input type="checkbox"/>
Jackson IRA Account 8522 ⇒ PPM America Floating Rate Income		\$50,001 - \$100,000	None		<input type="checkbox"/>
Jackson IRA Account 8522 ⇒ PPM America High Yield Bond		\$15,001 - \$50,000	None		<input type="checkbox"/>
Jackson IRA Account 8522 ⇒ S&P Comp Adv		\$1,001 - \$15,000	None		<input type="checkbox"/>
LB, LLC- Edgewood in the Pines Golf Course		\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: drums, PA, US DESCRIPTION: (Investment in Make it Better, LP)					
LPL Account 0930 ⇒ American Electric Power Company, Inc. (AEP)	JT	None	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
LPL Account 0930 ⇒ Cash- Goldman Sachs Bank USA	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
LPL Account 0930 ⇒ Crimson Wine Group Ltd. (CWGL)	JT	\$1 - \$1,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LPL Account 0930 ⇒ Dunkin' Brands Group, Inc. (DNKN)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LPL Account 0930 ⇒ First Trust Exchange-Traded Fund VI First Trust NASDAQ Technology Dividend Index Fund (TDIV)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 0930 ⇒ First Trust Morningstar ETF (FDL)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
LPL Account 0930 ⇒ Global X Junior MLP ETF (MLPJ)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 0930 ⇒ iShares International Select Dividend ETF (IDV)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 0930 ⇒ iShares MSCI Brazil Capped Index Fund (EWZ)	JT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 0930 ⇒ Kinder Morgan Energy Partners, L.P. (KMP)	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
LPL Account 0930 ⇒ Leucadia National Corporation (LUK)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LPL Account 0930 ⇒ Market Vectors Biotech ETF (BBH)	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LPL Account 0930 ⇒ PowerShares QQQ Trust, Series 1 (QQQ)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 0930 ⇒ PowerShares S&P 500 High Dividend Portfolio (SPHD)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 0930 ⇒ PowerShares S&P 500 Low Volatility Portfolio ETF (SPLV)	JT	None	Capital Gains	\$2,501 - \$5,000	<input type="checkbox"/>
LPL Account 0930 ⇒ ProShares UltraShort Lehman 20 Year Treasury (TBT)	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
LPL Account 0930 ⇒ SPDR Barclays Short Term High Yield Bond ETF (SJNK)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 0930 ⇒	JT	\$15,001 - \$50,000	Capital Gains,	\$201 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SPDR S&P Dividend ETF (SDY)			Dividends	\$1,000	
LPL Account 0930 ⇒ Vanguard Mega Cap Growth ETF (MGK)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 0930 ⇒ Verizon Communications Inc. (VZ)	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
LPL Account 0930 ⇒ WisdomTree Dividend Ex-Financials Fund (DTN)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 0930 ⇒ WisdomTree Japan Hedged Equity Fund (DXJ)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 1107 ⇒ Apple Inc. (AAPL)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
LPL Account 1107 ⇒ Apple Inc. (AAPL)	JT	None	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
LPL Account 1107 ⇒ BCE, Inc. (BCE)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 1107 ⇒ Cash- Goldman Sachs Bank USA	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
LPL Account 1107 ⇒ Colgate-Palmolive Company (CL)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
LPL Account 1107 ⇒ ConocoPhillips (COP)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 1107 ⇒ First Trust VL Dividend (FVD)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LPL Account 1107 ⇒ Gigamon Inc. (GIMO)	JT	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
LPL Account 1107 ⇒ Google Inc. - Class A (GOOGL)	JT	None	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
LPL Account 1107 ⇒ Google Inc. - Class A (GOOGL)	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LPL Account 1107 ⇒ Highland/iBoxx Senior Loan ETF (SNLN)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 1107 ⇒ Home Depot, Inc. (HD)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
LPL Account 1107 ⇒ International Business Machines Corporation (IBM)	JT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 1107 ⇒ iShares MSCI Japan Index Fund (EWJ)	JT	None	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
LPL Account 1107 ⇒ Market Vectors Biotech ETF (BBH)	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LPL Account 1107 ⇒ McDonald's Corporation (MCD)	JT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 1107 ⇒ Realty Income Corporation (O)	JT	None	Capital Gains	\$1 - \$200	<input type="checkbox"/>
LPL Account 1107 ⇒ SPDR Barclays Short Term High Yield Bond ETF (SJNK)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 1107 ⇒ SPDR S&P Dividend ETF (SDY)	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 1107 ⇒ The ExOne Company (XONE)	JT	None	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
LPL Account 1107 ⇒ Vanguard Financials ETF - DNQ (VFH)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 1107 ⇒ Vanguard Mega Cap Growth ETF (MGK)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 1107 ⇒ Vanguard Mega Cap Value ETF (MGV)	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 1107 ⇒ WisdomTree Dividend Ex-Financials Fund (DTN)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Account 1107 ⇒ WisdomTree Japan Hedged Equity Fund (DXJ)	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LPL Retirement Account 4101 ⇒ Alerian MLP ETF (AMLP)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Retirement Account 4101 ⇒ First Trust VL Dividend (FVD)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Retirement Account 4101 ⇒ IRA- Cash- LPL Financial	SP	\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
LPL Retirement Account 4101 ⇒ LMP Capital and Income Fund Inc. (SCD)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Retirement Account 4101 ⇒ SPDR S&P Dividend ETF (SDY)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Retirement Account 4101 ⇒ Vanguard Financials ETF - DNQ (VFH)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LPL Retirement Account 4101 ⇒ Wells Fargo Advantage Utilities and High Income Fund (ERH)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LPL Retirement Account 4101 ⇒ Western Asset High Yield Defined Opportunity Fund Inc. (HYI)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Retirement Account 8261 ⇒ 3D Systems Corporation (DDD)		\$15,001 - \$50,000	None		<input type="checkbox"/>
LPL Retirement Account 8261 ⇒ First Trust Exchange-Traded Fund VI First Trust NASDAQ Technology Dividend Index Fund (TDIV)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Retirement Account 8261 ⇒ First Trust VL Dividend (FVD)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Retirement Account 8261 ⇒ Intrepid Potash, Inc (IPI)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
LPL Retirement Account 8261 ⇒ IRA- Cash- LPL Financial		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
LPL Retirement Account 8261 ⇒ iShares MSCI Brazil Capped Index Fund (EWZ)		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LPL Retirement Account 8261 ⇒ LMP Capital and Income Fund Inc. (SCD)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
LPL Retirement Account 8261 ⇒ RevenueShares Large Cap (RWL)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Retirement Account 8261 ⇒ RevenueShares Ultra Dividend Fund (RDIV)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Retirement Account 8261 ⇒ SPDR S&P Dividend ETF (SDY)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Retirement Account 8261 ⇒ Vanguard Financials ETF - DNQ (VFH)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Retirement Account 8261 ⇒ Vanguard Mega Cap Growth ETF (MGK)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Retirement Account 8261 ⇒ WisdomTree Dividend Ex-Financials Fund (DTN)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

### SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Jackson Account 2321 ⇒ American Global Bond		04/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson Account 2321 ⇒ Blackrock Comm Securities		04/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson Account 2321 ⇒ Eastspring Inv China-India		04/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson Account 2321 ⇒ Jackson S&P 4		04/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson Account 2321 ⇒ Jackson S&P Dividend Income		04/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson Account 2321 ⇒ Lazard Emerging Markets		04/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Jackson Account 2321 ⇒ Lazard Mid Cap Equity		04/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson Account 2321 ⇒ MC 400 MC Index		04/1/2013	P	\$1,001 - \$15,000	
Jackson Account 2321 ⇒ MC Financial Sector		04/1/2013	P	\$1,001 - \$15,000	
Jackson Account 2321 ⇒ MC Healthcare Sector		04/1/2013	P	\$1,001 - \$15,000	
Jackson Account 2321 ⇒ MC JNL 5		04/1/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
Jackson Account 2321 ⇒ MC Oil & Gas Sector		04/1/2013	P	\$1,001 - \$15,000	
Jackson Account 2321 ⇒ MC S&P 500 Index		04/1/2013	P	\$15,001 - \$50,000	
Jackson Account 2321 ⇒ PPM America Floating Rate Income		04/1/2013	P	\$1,001 - \$15,000	
Jackson Account 2321 ⇒ PPM America High Yield Bond		04/1/2013	P	\$1,001 - \$15,000	
Jackson Account 2321 ⇒ Red Rocks Listed Prvt Eqt		04/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson Account 2321 ⇒ S&P Comp Adv		04/1/2013	P	\$15,001 - \$50,000	
Jackson IRA Account 1579 ⇒ American Global Bond	SP	04/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson IRA Account 1579 ⇒ Blackrock Comm Securities	SP	04/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson IRA Account 1579 ⇒ Eastspring Inv China-India	SP	04/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson IRA Account 1579 ⇒	SP	04/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Jackson S&P 4					
Jackson IRA Account 1579 ⇒ Jackson S&P Dividend Income	SP	04/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson IRA Account 1579 ⇒ Lazard Emerging Markets	SP	04/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson IRA Account 1579 ⇒ Lazard Mid Cap Equity	SP	04/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson IRA Account 1579 ⇒ MC 400 MC Index	SP	04/11/2013	P	\$1,001 - \$15,000	
Jackson IRA Account 1579 ⇒ MC Financial Sector	SP	04/11/2013	P	\$1,001 - \$15,000	
Jackson IRA Account 1579 ⇒ MC JNL 5	SP	04/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson IRA Account 1579 ⇒ MC Oil & Gas Sector	SP	04/11/2013	P	\$1,001 - \$15,000	
Jackson IRA Account 1579 ⇒ MC S&P 500 Index	SP	04/11/2013	P	\$15,001 - \$50,000	
Jackson IRA Account 1579 ⇒ MC Small Cap Index	SP	04/11/2013	P	\$1,001 - \$15,000	
Jackson IRA Account 1579 ⇒ MC Tech Sector	SP	04/11/2013	P	\$1,001 - \$15,000	
Jackson IRA Account 1579 ⇒ PPM America Floating Rate Income	SP	04/11/2013	P	\$1,001 - \$15,000	
Jackson IRA Account 1579 ⇒ PPM America High Yield Bond	SP	04/11/2013	P	\$1,001 - \$15,000	
Jackson IRA Account 1579 ⇒ Red Rocks Listed Prvt Eqt	SP	04/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson IRA Account 1579 ⇒ S&P Comp Adv	SP	04/11/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Jackson IRA Account 8522 ⇒ American Global Bond		04/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson IRA Account 8522 ⇒ Blackrock Comm Securities		04/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson IRA Account 8522 ⇒ Eastspring Inv China-India		04/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson IRA Account 8522 ⇒ Jackson S&P 4		04/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson IRA Account 8522 ⇒ Jackson S&P Dividend Income		04/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson IRA Account 8522 ⇒ Lazard Emerging Markets		04/11/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
Jackson IRA Account 8522 ⇒ Lazard Mid Cap Equity		04/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson IRA Account 8522 ⇒ MC 400 MC Index		04/11/2013	P	\$1,001 - \$15,000	
Jackson IRA Account 8522 ⇒ MC Financial Sector		04/11/2013	P	\$1,001 - \$15,000	
Jackson IRA Account 8522 ⇒ MC Oil & Gas Sector		04/11/2013	P	\$1,001 - \$15,000	
Jackson IRA Account 8522 ⇒ MC S&P 500 Index		04/11/2013	P	\$15,001 - \$50,000	
Jackson IRA Account 8522 ⇒ MC Small Cap Index		04/11/2013	P	\$1,001 - \$15,000	
Jackson IRA Account 8522 ⇒ MC Tech Sector		04/11/2013	P	\$1,001 - \$15,000	
Jackson IRA Account 8522 ⇒ MCM JNL 5		04/11/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
Jackson IRA Account 8522 ⇒ PPM America Floating Rate Income		04/11/2013	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Jackson IRA Account 8522 ⇒ PPM America High Yield Bond		04/11/2013	P	\$15,001 - \$50,000	
Jackson IRA Account 8522 ⇒ Red Rocks Listed Prvt Eqt		04/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Jackson IRA Account 8522 ⇒ S&P Comp Adv		04/11/2013	P	\$1,001 - \$15,000	
LPL Account 0930 ⇒ Alerian MLP ETF (AMLPL)	JT	02/21/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Account 0930 ⇒ Alerian MLP ETF (AMLPL)	JT	06/18/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Account 0930 ⇒ Alerian MLP ETF (AMLPL)	JT	06/24/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Account 0930 ⇒ American Electric Power Company, Inc. (AEP)	JT	01/4/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Account 0930 ⇒ First Trust Exchange-Traded Fund VI First Trust NASDAQ Technology Dividend Index Fund (TDIV)	JT	06/11/2013	P	\$15,001 - \$50,000	
LPL Account 0930 ⇒ First Trust Morningstar ETF (FDL)	JT	05/6/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Account 0930 ⇒ First Trust Morningstar ETF (FDL)	JT	05/6/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Account 0930 ⇒ Global X Junior MLP ETF (MLPJ)	JT	02/21/2013	P	\$1,001 - \$15,000	
LPL Account 0930 ⇒ ishares Gold Trust (IAU)	JT	04/4/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
LPL Account 0930 ⇒ iShares International Select Dividend ETF (IDV)	JT	03/6/2013	P	\$1,001 - \$15,000	
LPL Account 0930 ⇒ iShares MSCI Brazil Capped Index Fund (EWZ)	JT	06/11/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LPL Account 0930 ⇒ iShares MSCI Brazil Capped Index Fund (EWZ)	JT	01/4/2013	P	\$15,001 - \$50,000	
LPL Account 0930 ⇒ Linn Energy, LLC - Common Units representing limited liability company interests (LINE)	JT	08/9/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
LPL Account 0930 ⇒ Linn Energy, LLC - Common Units representing limited liability company interests (LINE)	JT	08/9/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
LPL Account 0930 ⇒ Linn Energy, LLC - Common Units representing limited liability company interests (LINE)	JT	06/18/2013	P	\$1,001 - \$15,000	
LPL Account 0930 ⇒ Market Vectors Biotech ETF (BBH)	JT	04/26/2013	P	\$15,001 - \$50,000	
LPL Account 0930 ⇒ PowerShares QQQ Trust, Series 1 (QQQ)	JT	10/9/2013	P	\$15,001 - \$50,000	
LPL Account 0930 ⇒ PowerShares S&P 500 High Dividend Portfolio (SPHD)	JT	03/6/2013	P	\$15,001 - \$50,000	
LPL Account 0930 ⇒ PowerShares S&P 500 Low Volatility Portfolio ETF (SPLV)	JT	03/6/2013	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LPL Account 0930 ⇒ SPDR Barclays High Yield Bond ETF (JNK)	JT	12/23/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
LPL Account 0930 ⇒ SPDR Barclays Short Term High Yield Bond ETF (SJNK)	JT	03/6/2013	P	\$1,001 - \$15,000	
LPL Account 0930 ⇒ Verizon Communications Inc. (VZ)	JT	03/6/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Account 0930 ⇒ WisdomTree Dividend Ex-Financials Fund (DTN)	JT	06/24/2013	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LPL Account 0930 ⇒ WisdomTree Japan Hedged Equity Fund (DXJ)	JT	06/11/2013	P	\$15,001 - \$50,000	
LPL Account 1107 ⇒ Altria Group, Inc. (MO)	JT	06/24/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LPL Account 1107 ⇒ Altria Group, Inc. (MO)	JT	05/9/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Account 1107 ⇒ Apple Inc. (AAPL)	JT	01/24/2013	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LPL Account 1107 ⇒ Apple Inc. (AAPL)	JT	01/24/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Account 1107 ⇒ Apple Inc. (AAPL)	JT	06/3/2013	P	\$1,001 - \$15,000	
LPL Account 1107 ⇒ Colgate-Palmolive Company (CL)	JT	05/9/2013	P	\$1,001 - \$15,000	
LPL Account 1107 ⇒ First Trust VL Dividend (FVD)	JT	07/11/2013	P	\$1,001 - \$15,000	
LPL Account 1107 ⇒ Gigamon Inc. (GIMO)	JT	06/12/2013	P	\$1,001 - \$15,000	
LPL Account 1107 ⇒ Google Inc. - Class A (GOOGL)	JT	05/17/2013	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LPL Account 1107 ⇒ Highland/iBoxx Senior Loan ETF (SNLN)	JT	03/6/2013	P	\$15,001 - \$50,000	
LPL Account 1107 ⇒ Home Depot, Inc. (HD)	JT	05/23/2013	P	\$15,001 - \$50,000	
LPL Account 1107 ⇒ Home Depot, Inc. (HD)	JT	04/23/2013	P	\$15,001 - \$50,000	
LPL Account 1107 ⇒ International Business Machines Corporation (IBM)	JT	06/24/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Account 1107 ⇒ iShares MSCI Japan Index Fund (EWJ)	JT	05/23/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Account 1107 ⇒ iShares MSCI Japan Index Fund (EWJ)	JT	06/18/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Account 1107 ⇒ iShares MSCI Japan Index Fund (EWJ)	JT	01/22/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LPL Account 1107 ⇒ Linn Energy, LLC - Common Units representing limited liability company interests (LINE)	JT	07/2/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
LPL Account 1107 ⇒ Linn Energy, LLC - Common Units representing limited liability company interests (LINE)	JT	07/2/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
LPL Account 1107 ⇒ Linn Energy, LLC - Common Units representing limited liability company interests (LINE)	JT	06/18/2013	P	\$1,001 - \$15,000	
LPL Account 1107 ⇒ Market Vectors Biotech ETF (BBH)	JT	07/11/2013	P	\$15,001 - \$50,000	
LPL Account 1107 ⇒ Market Vectors Preferred Securities ex Financials ETF (PFXF)	JT	07/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
LPL Account 1107 ⇒ McDonald's Corporation (MCD)	JT	01/4/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Account 1107 ⇒ ProShares Short 20+ Year Treasury (TBF)	JT	08/30/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Account 1107 ⇒ Realty Income Corporation (O)	JT	03/8/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Account 1107 ⇒ SPDR Barclays Short Term High Yield Bond ETF (SJNK)	JT	03/6/2013	P	\$15,001 - \$50,000	
LPL Account 1107 ⇒ SPDR S&P Dividend ETF (SDY)	JT	06/3/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Account 1107 ⇒ The ExOne Company (XONE)	JT	05/15/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Account 1107 ⇒ The ExOne Company (XONE)	JT	02/8/2013	P	\$1,001 - \$15,000	
LPL Account 1107 ⇒ Vanguard Financials ETF - DNQ (VFH)	JT	01/4/2013	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LPL Account 1107 ⇒ Vanguard FTSEEuropean ETF (VGK)	JT	06/24/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
LPL Account 1107 ⇒ Vanguard FTSEEuropean ETF (VGK)	JT	01/4/2013	P	\$1,001 - \$15,000	
LPL Account 1107 ⇒ Vanguard Mega Cap Growth ETF (MGK)	JT	06/12/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Account 1107 ⇒ Vanguard Mega Cap Growth ETF (MGK)	JT	01/4/2013	P	\$1,001 - \$15,000	
LPL Account 1107 ⇒ Vanguard Mega Cap Value ETF (MGV)	JT	08/30/2013	P	\$1,001 - \$15,000	
LPL Account 1107 ⇒ WisdomTree Japan Hedged Equity Fund (DXJ)	JT	05/24/2013	P	\$1,001 - \$15,000	
LPL Retirement Account 4101 ⇒ First Trust VL Dividend (FVD)	SP	07/11/2013	P	\$1,001 - \$15,000	
LPL Retirement Account 4101 ⇒ Linn Energy, LLC - Common Units representing limited liability company interests (LINE)	SP	08/9/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
LPL Retirement Account 4101 ⇒ Linn Energy, LLC - Common Units representing limited liability company interests (LINE)	SP	08/9/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LPL Retirement Account 4101 ⇒ Market Vectors Preferred Securities ex Financials ETF (PFXF)	SP	07/11/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
LPL Retirement Account 4101 ⇒ Market Vectors Preferred Securities ex Financials ETF (PFXF)	SP	07/11/2013	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
LPL Retirement Account 8261 ⇒ 3D Systems Corporation (DDD)		01/23/2013	P	\$1,001 - \$15,000	
LPL Retirement Account 8261 ⇒ 3D Systems Corporation (DDD)		03/7/2013	P	\$1,001 - \$15,000	
LPL Retirement Account 8261 ⇒ 3D Systems Corporation (DDD)		03/21/2013	P	\$1,001 - \$15,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LPL Retirement Account 8261 ⇒ 3D Systems Corporation (DDD)		11/19/2013	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LPL Retirement Account 8261 ⇒ First Trust VL Dividend (FVD)		07/11/2013	P	\$15,001 - \$50,000	
LPL Retirement Account 8261 ⇒ Google Inc. - Class C Capital Stock (GOOG)		06/25/2013	P	\$15,001 - \$50,000	
LPL Retirement Account 8261 ⇒ Google Inc. - Class C Capital Stock (GOOG)		10/17/2013	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LPL Retirement Account 8261 ⇒ Intrepid Potash, Inc (IPI)		01/23/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LPL Retirement Account 8261 ⇒ iShares MSCI Brazil Capped Index Fund (EWZ)		06/11/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
LPL Retirement Account 8261 ⇒ Market Vectors Preferred Securities ex Financials ETF (PFXF)		07/11/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
LPL Retirement Account 8261 ⇒ RevenueShares Large Cap (RWL)		12/17/2013	P	\$15,001 - \$50,000	
LPL Retirement Account 8261 ⇒ RevenueShares Ultra Dividend Fund (RDIV)		12/17/2013	P	\$15,001 - \$50,000	
LPL Retirement Account 8261 ⇒ SPDR S&P Dividend ETF (SDY)		03/7/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LPL Retirement Account 8261 ⇒ Western Asset High Yield Defined Opportunity Fund Inc. (HYI)		03/21/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LPL Retirement Account 8261 ⇒ Western Asset High Yield Defined Opportunity Fund Inc. (HYI)		03/7/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LPL Retirement Account 8261 ⇒ Western Asset High Yield Defined Opportunity Fund Inc. (HYI)		03/21/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LPL Retirement Account 8261 ⇒		06/18/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Western Asset High Yield Defined Opportunity Fund Inc. (HYI)			(partial)		

\* Asset class details available at the bottom of this form.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo	May 2004	Line of credit, secured by Bethany Beach, DE vacation residence	\$250,001 - \$500,000
JT	Wells Fargo	May 2007	Mortgage on Bethany Beach, DE vacation residence	\$1,000,001 - \$5,000,000
JT	Wells Fargo	December 2013	commercial loan secured by 322 Rocky Road Hazleton PA	\$500,001 - \$1,000,000
JT	Bank of America	August 2011	Mortgage on 461 Carleton Avenue Hazleton PA	\$15,001 - \$50,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Member	LB, LLC
Member	Elderwish Foundation
Member	National Board of Advisors- Federation for American Immigration Reform

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
American Traffic Safety Services Association (ATSSA)	02/23/2013	02/25/2013	Washington, DC - San Diego, CA - Washington, DC		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Embry-Riddle Aeronautical University	09/3/2013	09/4/2013	Philadelphia, PA - Daytona Beach, FL - Philadelphia, PA		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Jackson Account 2321  
LOCATION: US
- Jackson IRA Account 1579 (Owner: SP)
- Jackson IRA Account 8522
- LPL Account 0930 (Owner: JT)  
LOCATION: US
- LPL Account 1107 (Owner: JT)  
LOCATION: US
- LPL Retirement Account 4101 (Owner: SP)
- LPL Retirement Account 8261

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Lou Barletta , 04/7/2015