8	Yes	pendent child	lities of a spouse or dependent child Committee on Ethics.	"unearned" income, transactions, or liabilit unless you have first consulted with the Con	arned" incomess you have fir	er "yes" unles	EXEMPTION —Have you excluded from this report any other assets, because they meet all three tests for exemption? Do not answer "yes"	G ##
No No	Yes	ot be	cepted trusts" need no	s and certain other "exc spouse, or a dependen	nittee on Ethic ing you, your	d by the Comn a trust benefit	TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	٥ ــا
S	QUESTION)F THESE (SWER EACH OF THESE QUESTIONS	AN	T INFORI	OR TRUS	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION —	l [M]
	esponse.	each "Yes" r	dule attached for each "Yes" response	and the appropriate schedu	and the a	answered	Each question in this part must be answered	
Š	Yes	\$5,000 from	pensation of more than § oprior years? tach Schedule VI.	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	<u>s</u>	Yes	III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	= a =
No Mo	Yes 🔲	rangement	ortable agreement or arriach Schedule V.	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	S S	Yes	II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	3 2 = =
N _O	Yes X	реfore the date or two years?	ortable positions on or blendar year or in the priotach Schedule IV.	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	N _S	Yes 🔀	I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	===
				ANSWER EACH OF THESE QUESTIONS	OF THES	R EACH	PRELIMINARY INFORMATION — ANSWE	╸
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who files	against any individual v more than 30 days late.	more than 3]	, ,		Hiro:	er or	
assessed		A \$200 pen	Check if Amendment	15/29/2012	Date of Election:	'X 2	Filer Candidate for the State:2 House of Representatives District:	
ENTATIVES	U.S. HOUSE OF REPRESENTATIVES	U.S.H						
111:09	2012 MAY -4 AM 11: 09	201	**	Daytime Telephor	Daytime	i	Name: JAMES SAMPSON DOUGHERTY	
ROE CENTER	LEGISLATIVE RESOURCE CENTER	LEGI						
23	APR 27 2012		M B s and new employees	FORM For use by candidates a		, 20/2	FINANCIAL DISCLOSURE STATEMENT Period covered: January 1, 2011 - March 31	
Page 1 of <u>/</u> O	7					TIVES	UNITED STATES HOUSE OF REPRESENTATIVES	Ξ7

SCHEDULE I — EARNED INCOME (INCLUDING HONORARIA)

Name JAMES SAMPSON DOUGHERTY

Page 2 of /0

ist the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or
exceeding \$1,000. See examples below.
Civility Military and American Control of December 2011 (1911) the Control of

Examples: Exclude: Military pay (such as National Guard or Heserve pay), federal retirement programs, and benefits received under the Social Security Act. DONGHERTY & DONGHERTY XYZ Corporation, Houston, TX
First Bank & Trust, Houston, TX
XYZ Trade Association, Chicago, IL (Rec'd December 2) Harris County, Texas Public Schools Source (include date of receipt for honoraria) Houston × Director's Fee Honorarium Salary Spouse Salary GROSS INCOME Type **Current Year to Filing** 72,586 \$6,300 \$400 NA 0 **Amount** 347,835 Preceding Year \$28,450 \$3,200 \$1,000 ΧĀ

· NUVEEN CREDIT STRATEGES INC	· Mc DONALDS STK	· FRANKLID MUTHAL A Fd.	· FRANKLIN IN COME C FO	· FRANKLIN INCOME A Fd.	USAA BROXERAGE:	JT 1st Bank of Paducah, KY accounts	Examples:	SP Mega Corp. Stock	ness, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule II requirements, please refer to the instruction booklet.	For an ownership interest in a privately-held business that is not publicly traded, state the name of the busi-	account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address.	Asset and/or income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account which are not self-directed, to self-directed.
×	×	*	>	×		×		×	None \$1 - \$1,000 \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$250,000 \$250,001 - \$500,000 \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 \$5,000,001 - \$50,000,000 \$25,000,001 - \$50,000,000 Over \$50,000,000		A В С D Е F G H I J K L	Value of Asset Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."
×	*	×	×	×		×	Royalties	×	NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income (Specify: e.g., Partnership Income or Farm	Income)	Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.
×	× ×	× ×	× × × × × × × × × × × × × × × × × × ×	× ×			×	×	None \$1 - \$200 \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$15,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000 None \$1 - \$200 \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$15,000 \$15,001 - \$15,000 \$15,001 - \$100,000 \$100,001 - \$1,000,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000		Current Year Preceding Year	Amount of Income For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

Continuation Sheet (if needed)

Name JAMES SAMESON DONGHERTY Page 4

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Continuation Sheet (if needed)

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MASS MUTUAL (VARIABLE ANDWITE). MERRILL LYNCH - SEP 5: Asset and/or Income Source FRANKUND STRATEGIC IDC. TO EQUITY RESTIN PROP BLACKER BASIC VAL. N N C FIDELITY BLUE CHIP FO MML Equity Fo. RSB CITIZENS BK - SWEET FIDELITY HIGH NOC FO INVERCE ASIA PACIFIC HENLITT FACKARO CO. STK FIDELITY KURITAD WELLS FARGO BK - Sweep BUENS BLOCK A र <u>(6)</u> 1 \mathcal{F} ર્જ None 8 1 - 1,000 $\overline{\times}$ >< ~ $\overline{\times}$ C \times \$1,001 - \$15,000 O Value of Asset **×** × \$15,001 - \$50,000 Ш \$50,001 - \$100,000 BLOCK B $\overline{\mathbf{x}}$ \$100,001 - \$250,000 ଦ \$250,001 - \$500,000 I \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 ㅈ \$25,000,001 - \$50,000,000 Over \$50,000,000 NONE **DIVIDENDS** RENT Type of Income INTEREST **CAPITAL GAINS** BLOCK C **EXCEPTED/BLIND TRUST** >< × TAX-DEFERRED × \times \times Other Type of Income (Specify: e.g., Partnership Income or Farm Income) $\overline{\times}$ \times ~ \times \times 1 >< 1 × None \times \$1 - \$200 XI III IV V VI VII IX JAMES \$201 - \$1,000 Current Year \$1,001 - \$2,500 \$2,501 ~ \$5,000 \$5,001 - \$15,000 JAMPSON \$15,001 - \$50,000 \$50,001 - \$100,000 Amount of Income \$100,001 - \$1,000,000 × \$1,000,001 - \$5,000,000 BLOCK D × Over \$5,000,000 YONG HER TY None \prec × × \times \times × >< \times = \$1 -- \$200 \$201 - \$1,000 Preceding Year X VI VIIVIIX \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 \$1,000,001 -- \$5,000,000 Over \$5,000,000

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Continuation Sheet (if needed)

Name JAMES SAMROOD DOUGHERTY Page 6 of 10

Asset and/or Income Source
None St 51,000 Dt.
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JAMES SAM Road

MUGHATY

Continuation Sheet (if needed)

8 Ή SP MASS MUTUAL - WHOLE LIFE -U.S. SANING Bodgs MERRILL LYNCH - KOTH'S: · Slemans #G STK · GENERAL ELECTRIC Asset and/or Income Source **TRUDENTIAL** WHOLF UNITED PARCEL SERV. STX FIA CARD SORVICES AT-SWED YUM BRANDS IN C Putnan Diversified Int. IR PIMCO Total Return FD. INDET CO EUROPEAN GRANTH GENERAL ELECTRIC STX **BLOCK A** FORDS STK MSKASTK None Œ \$1 - \$1,000× × $>\!\!<$ × \times \$1,001 ~ \$15,000 O **>>** \times \sim Value of Asset \$15,001 - \$50,000 ø × Ш \$50,001 - \$100,000 **BLOCK B** \$100,001 - \$250,000 71 \$250,001 ~ \$500,000 G \$500,001 - \$1,000,000 I \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 ㅈ \$25,000,001 ~ \$50,000,000 Over \$50,000,000 NONE \times DIVIDENDS \times RENT Type of Income INTEREST **CAPITAL GAINS** BLOCK C EXCEPTED/BLIND TRUST × TAX-DEFERRED × × \times \times \times \times Other Type of Income (Specify: e.g., Partnership Income or Farm Income) メ $\overline{\mathbf{x}}$ × \times \times × \times \times >< \$1 - \$200 | XI | III V | V | V | V | V | V | V | \$201 - \$1,000 Current Year \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 Amount of Income \$100,001 - \$1,000,000 × \$1,000,001 - \$5,000,000 BLOCK D × Over \$5,000,000 × \prec × None \sim メ > <\$1 - \$200 A III IV V VI VIIVII IX \sim Preceding \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 Year \$50,001 - \$100,000 \$100,001 - \$1,000,000 × \$1,000,001 ~ \$5,000,000 Over \$5,000,000

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Name JAMES JAMESON DONGHERTY

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SCHEDULE III — LIABILITIES

Name JAMES SAMPSOND DOUGHEATY

Page 9 of 10

owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000. ture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sib-Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount

				SP, DC, JT
		BANK OF AMERICA	Example: First Bank of Wilmington, DE	Creditor
		March 2008	May 1998	Date Liability Incurred mo/year
		Mark 2008 CONTINGENT LIAB, ON WIGTIN MORTG.	Mortgage on 123 Main Street, Dover, DE	Type of Liability
				\$10,001— \$15,000
				\$15,001— \$50,000
			L	\$50,001— \$100,000 O
		×	×	\$250,001— D
				\$500,000 m at 9.
		 	_	\$250,001— F S500,001— S1,000,001— G S5,000,000
			-	\$5,000,000 * \$5,000,001— ± \$25,000,000 ±
				\$25,000,001—_ \$50,000,000
				Over \$50,000,000

SCHEDULE IV — POSITIONS

any nonprofit organization, any labor organization, or any educational or other institution other than the United States. cer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an offi-

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

and positions solely of an inclination of material	
Position	Name of Organization
DIRECTOR	MEMORIAL PARK CONSORVANCY
DIRECTOR	HOUSTON INTERNATIONAL DANCE COAUTION
PARTNER	DOUGHORTY & DOUGHERTY

SCHEDULE V -- AGREEMENTS

Name VAMES SAMP SON DOUGHERLTY

Page 10 of 10

efit plan maintained by a former employer.	service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or ben-	Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government	
	n employee welfare or ben-	g the period of government	

Date	Parties To	Terms of Agreement
	/\ (\	
	10/17	

SCHEDULE VI — COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the *two* prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule I.

Source (Name and Address)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting services
Maurice Kidd, Houston, TX	Legal Services
Damian O'Grady, Honston, Tx	Legal Services
Eric Hauptheisch, Honoton, TX	legal services
Norman Hord, Honston, Tx	Legal Services

GPO: 2012 72-584 (mac)