



Filing ID #10015252

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Peter A. DeFazio
Status: Member
State/District: OR04

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 04/20/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1/2 ownership share 53880 Pine Grove rd Lapine oregon LOCATION: deschutes, OR, US	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
ameritrade ⇒ Antigenics Inc (ANTI)		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
ameritrade ⇒ Marrone Bio Innovations, Inc. (MBII)		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
ameritrade ⇒ oakmark equity income	SP	\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
bank of new zealand		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
bank of new zealand	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
ING/VOYA ⇒ American funds euro pacific growth COMMENTS: Manager of plan ING changed name to VOYA	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ING/VOYA ⇒ Dodge and cox international stock fund	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
ING/VOYA ⇒ Fidelity Contra fund	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
ING/VOYA ⇒ fixed COMMENTS: Manager of plan ING changed name to voya	SP	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
ING/VOYA ⇒ jp morgan mid cap value	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
ING/VOYA ⇒ MFS Value Fund R5	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
ING/VOYA ⇒ Parnassus Core Equity Fund Inst	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
ING/VOYA ⇒ Vanguard Institutional index fund COMMENTS: This fund was previously held but holdings were increased when another fund within the plan was sold	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
ING/VOYA ⇒ Vanguard mid cap index COMMENTS: Plan manager ING changed name to Voya	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
ING/VOYA ⇒ Vanguard small cap index	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
oakmark select		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Pentagon Federal Credit Union		\$1 - \$1,000	None		<input type="checkbox"/>
selco credit union		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
selco credit union	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
sentinel balanced fund	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
wright patman federal credit union		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ING/VOYA ⇒ Fidelity Contra	SP	02/16/2016	P	\$1,001 - \$15,000	
ING/VOYA ⇒ Metropolitan west total return be fd DESCRIPTION: Moved to voya fixed	SP	02/16/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
ING/VOYA ⇒ Vanguard gnma DESCRIPTION: moved to voya fixed	SP	02/16/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
ING/VOYA ⇒ Vanguard institutional index DESCRIPTION: moved to voya fixed and purch fidelity contra.	SP	02/16/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
ING/VOYA ⇒ Vanguard NMNA DESCRIPTION: Moved to fixed	SP	02/17/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
ING/VOYA ⇒ Voya fixed	SP	02/16/2016	P	\$50,001 - \$100,000	

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
City of Eugene Oregon	Spouse salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	ArcHome	1/2/13	Principal residence mortgage	\$100,001 - \$250,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Penn Fed Credit Union	5/15/15	Loan secured by boat which is my DC residence	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Ripon Society - Franklin Center Global Policy Exchange	11/9/2016	11/14/2016	Eugene Oregon - London - Washington DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none">ameritradeameritrade (Owner: SP)ING/VOYA (Owner: SP) DESCRIPTION: 457 plan ING changed its name to voya

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Peter A. DeFazio , 04/20/2017