



Filing ID #10020087

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Suneel Gupta
Status: Congressional Candidate
State/District: MI11

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2017
Filing Date: 04/2/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank of America checking account	JT	\$100,001 - \$250,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Calm.com		\$100,001 - \$250,000	None		
DESCRIPTION: Stock held by Suneel Gupta in private technology company and San Francisco-based meditation application Calm.com. This stock was issued in exchange for an equity investment.					
Citibank checking account	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Fidelity 401K ⇒ Fidelity Emerging Markets Fund		\$15,001 - \$50,000	Tax-Deferred		
Fidelity 401K ⇒ Janus Henderson Enterprise N Fund		\$50,001 - \$100,000	Tax-Deferred		
Fidelity 529 Education fund for DC1	DC	\$100,001 - \$250,000	Tax-Deferred		
LOCATION: MI					
Fidelity 529 Education fund for DC2	DC	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LOCATION: MI					
Gupta Family Limited Partnership ⇒ Suneel Gupta Family Partnership I		\$5,000,001 - \$25,000,000	Dividends, Interest	\$1,000,001 - \$5,000,000	\$1,000,001 - \$5,000,000
DESCRIPTION: This is a joint trust					
Gupta Family Limited Partnership ⇒ Suneel Gupta Family Partnership II		\$5,000,001 - \$25,000,000	Dividends, Interest	\$1,000,001 - \$5,000,000	\$1,000,001 - \$5,000,000
DESCRIPTION: This is a joint trust					
One Life Healthcare Inc ⇒ One Medical cash payment for acquisition of Rise		\$250,001 - \$500,000	Capital Gains	None	None
DESCRIPTION: Cash payments related to acquisition of Rise Labs by One Medical.					
One Life Healthcare Inc ⇒ Stock in One Life Healthcare Inc		\$1,000,001 - \$5,000,000	Capital Gains	None	None
DESCRIPTION: Stock held by Suneel Gupta in private San Francisco-based healthcare company One Life Healthcare, aka One Medical. Stock was part of acquisition of Rise Labs.					
Rise Labs		\$5,000,001 - \$25,000,000	None		
LOCATION: San Francisco, CA, US					
DESCRIPTION: Suneel Gupta's interest from Rise Labs, a health technology company he started, was sold in January 2016 to One Life Health Healthcare for stock and cash.					
Spouse Roth IRA Morgan Stanley ⇒ First Trust Preferred Securities and Income ETF ETF (FPE)	SP	\$1,001 - \$15,000	Tax-Deferred		
Spouse Roth IRA Morgan Stanley ⇒ First Trust VL Dividend (FVD)	SP	\$1,001 - \$15,000	Tax-Deferred		
Spouse Roth IRA Morgan Stanley ⇒ iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	SP	\$1,001 - \$15,000	Tax-Deferred		
Spouse Roth IRA Morgan Stanley ⇒ iShares MSCI EAFE ETF (EFA)	SP	\$1,001 - \$15,000	Tax-Deferred		
Spouse Roth IRA Morgan Stanley ⇒ iShares MSCI Emerging Index Fund (EEM)	SP	\$1,001 - \$15,000	Tax-Deferred		
Spouse Roth IRA Morgan Stanley ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK)	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Spouse Roth IRA Morgan Stanley ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ)	SP	\$1,001 - \$15,000	Tax-Deferred		
Spouse Roth IRA Morgan Stanley ⇒ iShares S&P SmallCap 600 Value ETF (IJS)	SP	\$1,001 - \$15,000	Tax-Deferred		
Spouse Roth IRA Morgan Stanley ⇒ Morgan Stanley (MS)	SP	\$1,001 - \$15,000	Tax-Deferred		
Spouse Roth IRA Morgan Stanley ⇒ PowerShares QQQ Trust, Series 1 (QQQ)	SP	\$1,001 - \$15,000	Tax-Deferred		
Spouse Roth IRA Morgan Stanley ⇒ SPDR S&P 500 (SPY)	SP	\$1,001 - \$15,000	Tax-Deferred		
Spouse Roth IRA Morgan Stanley ⇒ Vanguard Small-Cap Growth ETF (VBK)	SP	\$1,001 - \$15,000	Tax-Deferred		
Suneel and Leena Gupta Trust Morgan Stanley ⇒ Gupta Family Trust DESCRIPTION: Joint trust		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
Suneel Gupta Morgan Stanley account ⇒ Apache Corporation (APA)		\$1,001 - \$15,000	Dividends, Interest	None	None
Suneel Gupta Morgan Stanley account ⇒ California Municipal Finance Authority Revenue Bonds		\$50,001 - \$100,000	Interest	None	None
Suneel Gupta Morgan Stanley account ⇒ California Municipal Finance Authority Revenue Bonds		\$100,001 - \$250,000	Interest	None	None
Suneel Gupta Morgan Stanley account ⇒ Colton California Public Financing Bons		\$50,001 - \$100,000	Interest	None	None
Suneel Gupta Morgan Stanley account ⇒ Eaton Vance Tax-Managed Global Diversified Equity Income Fund Eaton Vance Tax-Managed Global Diversi (EXG)		\$250,001 - \$500,000	Dividends, Interest	\$50,001 - \$100,000	\$50,001 - \$100,000
Suneel Gupta Morgan Stanley account ⇒ Facebook, Inc. - Class A (FB)		\$250,001 - \$500,000	Capital Gains	\$50,001 - \$100,000	\$100,001 - \$1,000,000
Suneel Gupta Morgan Stanley account ⇒		\$100,001 -	Dividends,	\$50,001 -	\$50,001 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Goldman Sachs Group, Inc. (GS)		\$250,000	Interest	\$100,000	\$100,000
Suneel Gupta Morgan Stanley account ⇒ GSV Capital Corp (GSVC)		\$15,001 - \$50,000	None		
Suneel Gupta Morgan Stanley account ⇒ Hartford Financial Services Group, Inc. (HIG)		\$15,001 - \$50,000	Dividends, Interest	\$15,001 - \$50,000	\$15,001 - \$50,000
Suneel Gupta Morgan Stanley account ⇒ iShares Core S&P Mid-Cap ETF (IJH)		\$100,001 - \$250,000	Dividends, Interest	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
Suneel Gupta Morgan Stanley account ⇒ iShares MSCI Emerging Index Fund (EEM)		\$15,001 - \$50,000	Dividends, Interest	\$5,001 - \$15,000	\$5,001 - \$15,000
Suneel Gupta Morgan Stanley account ⇒ NextEra Energy, Inc. (NEE)		\$100,001 - \$250,000	Dividends, Interest	\$50,001 - \$100,000	\$50,001 - \$100,000
Suneel Gupta Morgan Stanley account ⇒ PPL Corporation (PPL)		\$15,001 - \$50,000	Dividends, Interest	None	None
Suneel Gupta Morgan Stanley account ⇒ SPDR Dow Jones Industrial Average ETF (DIA)		\$15,001 - \$50,000	Dividends, Interest	\$5,001 - \$15,000	\$5,001 - \$15,000
Suneel Gupta Morgan Stanley account ⇒ SPDR Dow Jones Industrial Average ETF (DIA)		\$15,001 - \$50,000	Dividends, Interest	\$5,001 - \$15,000	\$5,001 - \$15,000
Suneel Gupta Morgan Stanley account ⇒ SPDR Dow Jones Industrial Average ETF (DIA)		\$15,001 - \$50,000	Dividends, Interest	\$5,001 - \$15,000	\$5,001 - \$15,000
Suneel Gupta Morgan Stanley account ⇒ State of California Bonds		\$100,001 - \$250,000	Interest	None	None
Suneel Gupta Morgan Stanley account ⇒ Welltower Inc. (WELL)		\$50,001 - \$100,000	Dividends, Interest	None	None
Suneel Gupta Roth IRA ⇒ First Trust Preferred Securities and Income ETF ETF (FPE)		\$1,001 - \$15,000	Tax-Deferred		
Suneel Gupta Roth IRA ⇒ First Trust VL Dividend (FVD)		\$1,001 - \$15,000	Tax-Deferred		
Suneel Gupta Roth IRA ⇒ iShares Core S&P Mid-Cap ETF (IJH)		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Suneel Gupta Roth IRA ⇒ iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)		\$1,001 - \$15,000	Tax-Deferred		
Suneel Gupta Roth IRA ⇒ iShares MSCI EAFE ETF (EFA)		\$1,001 - \$15,000	Tax-Deferred		
Suneel Gupta Roth IRA ⇒ iShares MSCI Emerging Index Fund (EEM)		\$1,001 - \$15,000	Tax-Deferred		
Suneel Gupta Roth IRA ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK)		\$1,001 - \$15,000	Tax-Deferred		
Suneel Gupta Roth IRA ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ)		\$1,001 - \$15,000	Tax-Deferred		
Suneel Gupta Roth IRA ⇒ iShares S&P SmallCap 600 Value ETF (IJS)		\$1,001 - \$15,000	Tax-Deferred		
Suneel Gupta Roth IRA ⇒ PowerShares QQQ Trust, Series 1 (QQQ)		\$1,001 - \$15,000	Tax-Deferred		
Suneel Gupta Roth IRA ⇒ SPDR S&P 500 (SPY)		\$1,001 - \$15,000	Tax-Deferred		
Suneel Gupta Roth IRA ⇒ Vanguard Small-Cap Growth ETF (VBK)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Accenture plc Class A Ordinary Shares (ACN)		\$15,001 - \$50,000	Tax-Deferred		
Suneel IRA Roth ⇒ Alphabet Inc. - Class A (GOOGL)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Amazon.com, Inc. (AMZN)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Apple Inc. (AAPL)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Bank of America Corporation (BAC)		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Suneel IRA Roth ⇒ Berkshire Hathaway Inc. New (BRK.B)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Boeing Company (BA)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Chevron Corporation (CVX)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Constellation Brands, Inc. (STZ)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Digital Realty Trust, Inc. (DLR)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Essex Property Trust, Inc. (ESS)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Exxon Mobil Corporation (XOM)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Facebook, Inc. - Class A (FB)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ First Trust Health Care AlphaDEX (FXH)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ First Trust VL Dividend (FVD)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Global Blood Therapeutics, Inc. (GBT)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ iShares Core S&P Mid-Cap ETF (IJH)		\$15,001 - \$50,000	Tax-Deferred		
Suneel IRA Roth ⇒ iShares MSCI EAFE ETF (EFA)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ iShares MSCI Emerging Index Fund (EEM)		\$15,001 - \$50,000	Tax-Deferred		
Suneel IRA Roth ⇒ iShares MSCI Eurozone ETF (EZU)		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Suneel IRA Roth ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ iShares S&P SmallCap 600 Value ETF (IJS)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ iShares U.S. Aerospace & Defense ETF (ITA)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ iShares U.S. Energy ETF (IYE)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Johnson & Johnson (JNJ)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ JP Morgan Chase & Co. (JPM)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Lazard Global Total Return and Income Fund (LGI)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Microsoft Corporation (MSFT)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Netflix, Inc. (NFLX)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Nike, Inc. (NKE)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Occidental Petroleum Corporation (OXY)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Procter & Gamble Company (PG)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Salesforce.com Inc (CRM)		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Suneel IRA Roth ⇒ SPDR S&P Dividend ETF (SDY)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Starbucks Corporation (SBUX)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Vanguard Small-Cap Growth ETF (VBK)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Visa Inc. (V)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ Wells Fargo & Company (WFC)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ WisdomTree Emerging Markets High Dividend Fund (DEM)		\$1,001 - \$15,000	Tax-Deferred		
Suneel IRA Roth ⇒ WisdomTree Europe SmallCap Dividend Fund (DFE)		\$1,001 - \$15,000	Tax-Deferred		

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Worldwide Speakers Bureau	paid speeches in 2016	N/A	\$87,500.00
Time Inc	Spouse Salary	N/A	N/A
Creative Artists Agency	paid speeches in 2016 and 2017	\$12,500.00	\$20,000.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Navient Student loans	August 2007	student loans for graduate school at Northwestern University	\$10,000 - \$15,000

SCHEDULE E: POSITIONS

Position	Name of Organization
CEO and founder	Rise Labs
Head of Mobile Business	One Life Healthcare, One Medical
Entrepreneur in Residence	Kleiner Perkins Caufield and Byers
Visiting Scholar	Harvard University

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Fidelity 401K
- Gupta Family Limited Partnership (Owner: JT)
LOCATION: US
- One Life Healthcare Inc
LOCATION: US
- Spouse Roth IRA Morgan Stanley (Owner: SP)
- Suneel and Leena Gupta Trust Morgan Stanley (Owner: JT)
- Suneel Gupta Morgan Stanley account
LOCATION: US
- Suneel Gupta Roth IRA
- Suneel IRA Roth

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Suneel Gupta , 04/2/2018