

**HAND
DELIVERED**

**UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT**

FORM A

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For use by Members, officers, and employees

ACTIVE RESOURCE CENTER

Doris O. Matsui

(202) 225-7163

2011 MAY 14 PM 1:03

(Full Name)

(Daytime Telephone)

OFFICE OF THE CLERK
U.S. HOUSE (Office Use Only)

Filer Status
☒ Member of the U.S. House of Representatives

State: CA
District: 05

☐ Officer Or Employee

Employing Office:

Report Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Doris O. Matsui

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
Alliance BK Corp Fairfax VA CD		None	INTEREST	\$1,001 - \$2,500	
Banco Santander P R San Juan CTF DEP CD		None	INTEREST	\$1,001 - \$2,500	
Bloomfield ST BK IND CTF DEP ACT/365 CD		\$50,001 - \$100,000	INTEREST	\$2,501 - \$5,000	
Cash Management Account - Merrill Lynch		\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
Checking Acct. - Bank of America, Dinuba, CA		\$1,001 - \$15,000	INTEREST	\$1 - \$200	
First Fed BK Calif FSB Santa Monica CD		None	INTEREST	\$2,501 - \$5,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Liquid Insured Deposits (Brokerage) (Cash Acct., formerly known as Reserve Insured Deposits)	\$500,001 - \$1,000,000	INTEREST	\$201 - \$1,000	
Liquid Insured Deposits (Cash Acct., formerly known as Reserve Insured Deposits)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Natl. Financial Services LLC/Sun Trust Investment Services, Inc./Money Market Account	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
Pimco Commodity Real Return Strategy Fund	\$1,001 - \$15,000	CAPITAL GAINS/DIVIDENDS	\$201 - \$1,000	P
Sun Life Assur Co of Canada a.k.a Sun Financial Group Bank Acct.	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Vanguard Emerging Market Stock Index Fund Foreign	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
Vanguard European Stock Index Fund	\$15,001 - \$50,000	DIVIDENDS/CAP. GAINS	\$1,001 - \$2,500	PS(part)
Vanguard Inflation Protected Securities Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	PS(part)
Vanguard Intermediate-Term; Municipal Bond Fund	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	PS(part)
Vanguard Large Cap Index Fund	\$50,001 - \$100,000	DIVIDENDS/CAP. GAINS	\$1,001 - \$2,500	PS(part)
Vanguard Limited-Term Municipal Bond Fun	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	PS(part)
Vanguard Mid-Cap Index Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	PS(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Vanguard Pacific Stock Index Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	PS(part)
Vanguard Reit Index Fund	\$1,001 - \$15,000	DIVIDENDS/NON DIVIDEND DIST.	\$201 - \$1,000	PS(part)
Vanguard Small-Cap Index Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	PS(part)
Westmed Holding Company, Englewood, CA Stock in privately held medical development technology company	\$1,001 - \$15,000	None	NONE	
White House Fed. C.U.	\$100,001 - \$250,000	INTEREST	\$201 - \$1,000	
Wright Patman Congress. Federal Credit Union	\$100,001 - \$250,000	INTEREST	\$201 - \$1,000	

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	ALLIANCE BK CORP FAIRFAX VA CD	S	Yes	05/21/09	\$50,001 - \$100,000
	BANCO SANTANDER P R SAN JUAN	S	Yes	08/20/09	\$50,001 - \$100,000
	FIRST FED BK CALIF FSB SANTA MONICA	S	Yes	11/18/09	\$50,001 - \$100,000
	PIMCO COMMODITY REAL RETURNS STRATEGY FUND	P	N/A	03/11/09	\$1,001 - \$15,000
	VANGUARD 500 INDEX FUND	S	No	01/20/09	\$50,001 - \$100,000
	VANGUARD EMERGING MARKET STOCK	S(part)	No	05/07/09	\$1,001 - \$15,000
	VANGUARD EUROPEAN STOCK INDEX FUND	P	N/A	03/11/09	\$1,001 - \$15,000
	VANGUARD EUROPEAN STOCK INDEX FUND	S(part)	No	05/07/09	\$1,001 - \$15,000
	VANGUARD EUROPEAN STOCK INDEX FUND	S(part)	Yes	09/18/09	\$1,001 - \$15,000
	VANGUARD INFLATION PROTECTED SECURITIES FUND	P	N/A	05/07/09	\$1,001 - \$15,000
	VANGUARD INFLATION PROTECTED SECURITIES FUND	S(part)	No	03/11/09	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	VANGUARD INFLATION PROTECTED SECURITIES FUND	P	N/A	09/18/09	\$1,001 - \$15,000
	VANGUARD INTERMEDIATE-TERM; MUNICIPAL BOND FUND	S(part)	No	03/11/09	\$1,001 - \$15,000
	VANGUARD INTERMEDIATE-TERM; MUNICIPAL BOND FUND	P	N/A	05/07/09	\$1,001 - \$15,000
	VANGUARD INTERMEDIATE-TERM; MUNICIPAL BOND FUND	P	N/A	09/18/09	\$1,001 - \$15,000
	VANGUARD LARGE-CAP INDEX FUND	P	N/A	03/11/09	\$1,001 - \$15,000
	VANGUARD LARGE-CAP INDEX FUND	P	N/A	01/20/09	\$50,001 - \$100,000
	VANGUARD LARGE-CAP INDEX FUND	S(part)	Yes	05/07/09	\$1,001 - \$15,000
	VANGUARD LIMITED-TERM MUNICIPAL BOND FUND	P	N/A	05/07/09	\$1,001 - \$15,000
	VANGUARD LIMITED-TERM MUNICIPAL BOND FUND	S(part)	No	03/11/09	\$1,001 - \$15,000
	VANGUARD MID-CAP INDEX FUND	S(part)	No	05/07/09	\$1,001 - \$15,000
	VANGUARD MID-CAP INDEX FUND	P	N/A	03/11/09	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	VANGUARD PACIFIC STOCK INDEX FUND	P	N/A	03/11/09	\$1,001 - \$15,000
	VANGUARD PACIFIC STOCK INDEX FUND	S(part)	No	05/07/09	\$1,001 - \$15,000
	VANGUARD REIT INDEX FUND	P	N/A	03/11/09	\$1,001 - \$15,000
	VANGUARD REIT INDEX FUND	S(part)	No	05/07/09	\$1,001 - \$15,000
	VANGUARD SMALL-CAP INDEX FUND	P	N/A	03/11/09	\$1,001 - \$15,000
	VANGUARD SMALL-CAP INDEX FUND	S(part)	No	05/07/09	\$1,001 - \$15,000

SCHEDULE VII - POSITIONS

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Regent	Smithsonian Institution, Board of Regents
Board Member, (Not compensated)	National Symphony Orchestra