

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

**FORM A**  
For use by Members, officers, and employees

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BARTON JENNINGS GORDON

202-225-4231

(Full Name)

(Daytime Telephone)

Filer Status

☒ Member of the U.S. House of Representatives

State: TN  
District: 6

☐ Officer Or Employee

Employing Office:

Report Type

☒ Annual (May 15)

☒ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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U.S. HOUSE OF REPRESENTATIVES  
(Office Use Only)

**SCHEDULE I - EARNED INCOME**

Name **BARTON JENNINGS GORDON**

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
KORNFERRY INTERNATIONAL	SPOUSE SALARY	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name BARTON JENNINGS GORDON

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>		at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.	Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
	CONGRESSIONAL FCU - CASH	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
DC	MORGAN STANLEY SMITH BARNEY IRREV TRUST - CITIBANK NA - BANK DEPOSIT PROGRAM	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	MORGAN STANLEY SMITH BARNEY IRREV TRUST - SHERMAN TEX INDPT SCH DIST BOND	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
DC	MORGAN STANLEY SMITH BARNEY IRREV TRUST - TEXAS TPK AU CENTRAL TEXAS PTK BOND	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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DC	MORGAN STANLEY SMITH BARNEY IRREV TRUST - DIAMOND TRUST SER 1	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
DC	MORGAN STANLEY SMITH BARNEY UTMA - BANK DEPOSIT PROGRAM	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	MORGAN STANLEY SMITH BARNEY UTMA JP MORGAN STOCK	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
DC	MORGAN STANLEY SMITH BARNEY UTMA UTS SPDR TRUST SER 1	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
DC	MORGAN STANLEY SMITH BARNEY UTMA STRIPS TINTS GENERIC INT PMT	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
DC	MORGAN STANLEY SMITH BARNEY UTMA - SOUTH DAKOTA - BANK DEPOSIT	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	MORGAN STANLEY SMITH BARNEY 11025 MONEY MARKET	\$1 - \$1,000	INTEREST	\$1 - \$200	
SP	MORGAN STANLEY SMITH BARNEY 04311	None	INTEREST/CAP TAL GAINS	\$5,001 - \$15,000	PS
SP	WELLS FARGO 401K STABLE RETURN FUND	\$250,001 - \$500,000	None	NONE	
SP	KORN/FERRY WEALTH ACUMULATION PLAN - WHOLE LIFE INSURANCE	\$50,001 - \$100,000	NONE	NONE	
SP	N.W. MUTUAL LIFE INSURANCE - WHOLE LIFE INSURANCE	\$15,001 - \$50,000	NONE	NONE	
SP	WELLS FARGO DEF. COMP. - MFS TOTAL RETURN FUND	\$50,001 - \$100,000	None	NONE	S

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	WELLS FARGO DEF. COMP. - PIMCO TOTAL RETURN FUND	\$100,001 - \$250,000	NONE	NONE	P
SP	WELLS FARGO DEF. COMP. - ADVANTAGE INDEX FUND	\$50,001 - \$100,000	NONE	NONE	
SP	WELLS FARGO DEF. COMP. - ADVANTAGE SMALL CO VALUE	\$15,001 - \$50,000	None	NONE	S
SP	WELLS FARGO DEF. COMP. - CONSERVATIVE HEDGE FUND	\$50,001 - \$100,000	None	NONE	S
SP	WELLS FARGO DEF. COMP. - DIVERSIFIED HEDGE FUND	\$50,001 - \$100,000	None	NONE	S
SP	WELLS FARGO DEF. COMP. - ADV INDEX (ADMIN)	\$50,001 - \$100,000	NONE	NONE	
SP	WELLS FARGO GOVT MONEY MARKET	\$15,001 - \$50,000	None	NONE	P
SP	WELLS FARGO JP MORGAN MID CAP VALUE	\$50,001 - \$100,000	None	NONE	P
	CREDIT SUISSE IRA - PERSHING GOVERNMENT ACCOUNT	\$15,001 - \$50,000	None	NONE	
	CREDIT SUISSE IRA - ISHARES TR MSCI EMERGING MKTS INDEX FUND	\$15,001 - \$50,000	None	NONE	
	CREDIT SUISSE IRA - ISHARES TR MSCI EAFE INDEX FUND	\$1,001 - \$15,000	None	NONE	
	CREDIT SUISSE IRA - ISHARES TR RUSSELL 2000 VALUE INDEX FUND	\$1,001 - \$15,000	NONE	NONE	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	CREDIT SUISSE IRA - ISHARES TR RUSSELL MIDCAP GROWTH INDEX FUND	\$1,001 - \$15,000	NONE	NONE	
JT	PARK CENTER PARTNERSHIPS (2) (OFFICE BUILDINGS IN BRENTWOOD TN)	\$250,001 - \$500,000	RENT/INTEREST	\$15,001 - \$50,000	
	CREDIT SUISSE IRA - STANDARD & POORS DEPOSITORY RECEIPTS	\$1,001 - \$15,000	None	NONE	
	CENTRE CAPITAL PARTNERSHIPS (7) INVESTORS (NOT SELF DIRECTED)	\$250,001 - \$500,000	INTEREST/CAPIT TAL GAINS	\$1,001 - \$2,500	
SP	UBS FINANCIAL SERVICES KORN/FERRY INTL STOCK AND OPTIONS	\$100,001 - \$250,000	None	NONE	
SP	CREDIT SUISSE IRA - PERSHING GOVERNMENT ACCOUNT	\$1,001 - \$15,000	None	NONE	
SP	CREDIT SUISSE IRA - ISHARES TR MSCI EMERGING MKTS INDEX FUND	\$1,001 - \$15,000	None	NONE	
SP	CREDIT SUISSE IRA - ISHARES TR MSCI EAFE INDEX FUND	\$1,001 - \$15,000	None	NONE	
SP	CREDIT SUISSE IRA - ISHARES TR RUSSELL MIDCAP GROWTH INDEX FUND	\$1,001 - \$15,000	None	NONE	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	CREDIT SUISSE IRA - ISHARES TR RUSSELL 2000 VALUE INDEX FUND	\$1,001 - \$15,000	INTEREST/None	NONE	
SP	CREDIT SUISSE IRA - STANDARD & POORS DEPOSITORY RECEIPTS	\$1 - \$1,000	None	NONE	
JT	PNC BANK NATIONAL ASSOCIATION CHECKING 9389	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	PNC BANK NATIONAL ASSOCIATION MM 1113	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	
	CREDIT SUISSE 307 - INSTL GOVT FUND CSAM CASH MGMT	None	INTEREST	\$1 - \$200	
	CREDIT SUISSE 307 - DREYFUS MUNI CASH PLUS	\$100,001 - \$250,000	INTEREST	\$1 - \$200	
	CREDIT SUISSE 307 - BLACKSTONE GROUP PARTNERSHIP	\$15,001 - \$50,000	ORDINARY INCOME	\$201 - \$1,000	P
	CREDIT SUISSE 307 - BROADPOINT SECURITIES GROUP	\$15,001 - \$50,000	None/CAPITAL GAINS	\$5,001 - \$15,000	S(part)

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	CREDIT SUISSE 307 - BROADPOINT SECURITIES GROUP	S	Yes	10-14-09	\$15,001 - \$50,000
SP	MORGAN STANLEY SMITH BARNEY 04311 - VARIOUS MUNICIPAL BONDS	S	Yes	09-29-09	\$100,001 - \$250,000
SP	MORGAN STANLEY SMITH BARNEY 04311 VARIOUS MUNICIPAL BONDS	P	N/A	07-21-09	\$100,001 - \$250,000
	CREDIT SUISSE 307 - BLACKSTONE GROUP PARTNERSHIP	P	N/A	3-23-09	\$15,001 - \$50,000
DC	MORGAN STANLEY SMITH BARNEY IRREV TRUST - DIAMOND TRUST SER 1	P	N/A	02-24-09	\$1,001 - \$15,000
SP	WELLS FARGO DEF. COMP. - MFS TOTAL RETURN FUND	S	No	N/A	N/A
SP	WELLS FARGO DEF. COMP. - ADVANTAGE SMALL CO VALUE	S	No	N/A	N/A
SP	WELLS FARGO DEF. COMP. - CONSERVATIVE HEDGE FUND	S	No	N/A	N/A
SP	WELLS FARGO DEF. COMP. - DIVERSIFIED HEDGE FUND	S	No	N/A	N/A
SP	WELLS FARGO GOVT MONEY MARKET	P	N/A	N/A	\$15,001 - \$50,000
SP	WELLS FARGO JP MORGAN MID CAP VALUE	P	N/A	N/A	\$50,001 - \$100,000



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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	WELLS FARGO DEF. COMP. - PIMCO	P	N/A	N/A	\$100,001 - \$250,000
	TOTAL RETURN FUND				