

<b>UNITED STATES HOUSE OF REPRESENTATIVES</b> 2014 FINANCIAL DISCLOSURE STATEMENT		Form A For Use by Members, Officers, and Employees	
Name: <u>William R. Keating</u> Daytime Telephone: _____			
<b>FILER STATUS</b>	<input checked="" type="checkbox"/> Member of or Candidate for U.S. House of Representatives	State: <u>MA</u> District: <u>9</u>	<input type="checkbox"/> Officer or Employee Employing Office: _____
<b>REPORT TYPE</b>	<input checked="" type="checkbox"/> 2014 Annual (Due: May 15, 2015)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination Date of Termination: _____

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DELIVERED

LEGISLATIVE RESOURCE CENTER

2015 MAY -5 PM 3:29

OFFICE (Use Only)

U.S. HOUSE OF REPRESENTATIVES

**PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS**

<b>A. Did you, your spouse, or your dependent child:</b> a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Make more than \$200 in unearned income from any reportable asset during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?</b> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?</b> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period?</b> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?</b> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period?</b> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<b>D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?</b> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<b>I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?</b> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?</b> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</b>

**IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

<b>IPO</b> - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>TRUSTS</b> - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or your dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>EXEMPTION</b> - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: William Richard Kanting

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BLOCK A			BLOCK B													BLOCK C										BLOCK D												BLOCK E
Asset and/or Income Source			Value of Asset													Type of Income										Amount of Income												Transaction
			A	B	C	D	E	F	G	H	I	J	K	L	M																							
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	\$50,000,001-\$100,000,000	Over \$100,000,000	Special-use Asset over \$1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED AND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
SP, CP, JT, JT-CP	ASSET NAME	EF																																				
	Fidelity Cash Reserve			X																X						X												
	Fidelity Contra Fund							X													X					X											S (Part)	
	Vanguard 500 Index							X													X					X											S (Part)	
	Pimco Total Return							X													X					X											S (Part)	
	Greenbriar Intl Bond						X														X					X											P	
	Wasatch Long Short					X															X					X												
	Vanguard Prime Cap Adv						X														X					X											S (Part)	
	Thornburg Int Val	X																			X					X											S	
	T Rowe Price Mid Cap Stk					X															X					X											S (Part)	
	RS Global Net Res Fnd					X															X					X												
	Metwest Asian Geo Inv					X															X					X												
	BMA Small Cap Gro	X																			X					X											S	
	Loomis Sayles Bond					X															X					X												
	JPMorgan Core Bond Stk						X														X					X												
	Goldman Sachs Mid Cap Vcl					X															X					X												
	Drayfus Boston Co Sm Cap	X																			X					X											S	

Use additional sheets if more space is required.

**SCHEDULE A - ASSETS & "UNEARNED INCOME"**

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income										BLOCK D Amount of Income												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																							
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SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: William Richard Keating

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SCHEDULE B - TRANSACTIONS

Name: William Richard Keating

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.			Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
Purchase	Sale	Partial Sale	Exchange	A \$1,001- \$4,999	B \$5,000- \$9,999	C \$10,000- \$19,999			D \$20,000- \$29,999	E \$30,000- \$39,999	F \$40,000- \$49,999	G \$50,000- \$59,999	H \$60,000- \$69,999	I \$70,000- \$79,999	J \$80,000- \$89,999	K Over \$90,000			
SP, DC, JT	Asset																		
SP	Example	Mega Corp. Stock		X		X	3/14		X										
	Vanguard 500 Index			X			4-12-14		X										
	Vanguard Primecap Admiral			X			4-12-14		X										
	Thornburg Int. Value		X				4-12-14		X										
	T. Rowe Price Midcap Value			X			4-12-14	X											
	AMG Times Square Midcap Gr.		X				4-12-14		X										
	Dreyfus Berkshire Sm Cap Val		X				4-12-14		X										
	Rodgers & Son Stock			X			4-12-14		X										
	BMO Sm Cap. Gr.		X				4-12-14		X										
	William Blair Int. Growth		X				4-12-14		X										
	Artisan Sm. Cap. Val		X				4-12-14		X										
	Fidelity Contra Fund			X			4-12-14	X											
	American Beacon Lg Cap Val			X			4-12-14												
	Pimco Total Return			X			9-26-14			X									
	Pimco Total Return		X				9-26-14		X										
	Columbia Acorn Int		X				10-27-14		X										
	1 Shares Core U.S. Agg Bond			X			12-12-14			X									
	1 Shares Core U.S. Agg Bond		X				12-12-14		X										
	Nuveen High Yield Muni		X				1-31-14		X										
	Stearns Ridge Reins Risk		X				2-3-14		X										

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: William R. Keating

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.			Type of Transaction				Check box if Capital Gain Exceeded \$200	Date (MO/DA/YR or Quarterly, Monthly, or Weekly, if applicable)	Amount of Transaction										
			Purchase	Sale	Partial Sale	Exchange			A	B	C	D	E	F	G	H	I	J	K
Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was in a tax-deferred account, and disclose the capital gain income on Schedule A.																			
* Column K is for assets solely held by your spouse or dependent child																			
SP, DC, JT	Asset																		
SP	Example	Mega Corp. Stock			X		X	2014		X									
	Watsch Emory Mkts Sm Cap		X					4-2-14		X									
	Waltham Sm Cap Val		X					4-2-14			X								
	Trans Price Mkt Cap Gr		X					4-2-14		X									
	John Hancock Global Absc Ret		X					4-2-14		X									
	Gilman Sachs Sngl Inc Fund		X					4-2-14			X								
	Fidelity Real Estate Inc		X					4-2-14	X										
	Columbia Acorn Int.		X					4-2-14		X									
	Brown Capital Mgt Sm Co Inst		X					4-2-14		X									
	Andison Int. Investor Cl		X					4-2-14		X									
	Pear Tree Polaris Fnd. Val		X					6-12-14	X										
	Oppenheimer Int Bond Fund		X					6-26-14		X									
	John Hancock Gl. Absc. Ret		X					7-10-14	X										
	Fidelity R. Estate Inc		X					7-18-14	X										
	1 Shares Core US Agg Bond		X					9-29-14			X								
	1 Shares Core US Agg Bond		X					9-29-14		X									
	Brandine Int Sm Cap Sg		X					10-28-14		X									
	Baird Agg Bond Fund		X					12-15-14			X								
	Baird Agg Bond Fund		X					12-15-14		X									
	Fidelity Real Estate Inc		X					4-3-14		X									

Use additional sheets if more space is required.

**SCHEDULE C – EARNED INCOME**

Name: William Lebert Keating

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

**EXCLUDE:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

**INCOME LIMITS AND PROHIBITED INCOME:** The 2014 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,955. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

[illegible]

Use additional sheets if more space is required.



# SCHEDULE D – LIABILITIES

Name: William Richard Kenting

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							

## SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization

Use additional sheets if more space is required.

**SCHEDULE F – AGREEMENTS**

Name:

*William Richard Keating*

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

**SCHEDULE G – GIFTS**

Report the source (by name), a brief description, and the value of all gifts totaling more than \$375 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$150 or less need not be added towards the \$375 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	\$400

Use additional sheets if more space is required.

Name: <u>William Richard Kenting</u>	Page <u>11</u> of <u>13</u>
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EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

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Use additional sheets if more space is required.

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Name: William Richard Kenting

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Name: William Richard Kautz

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