



Filing ID #10027223

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Stephen Kuzmich
Status: Congressional Candidate
State/District: TX24

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2019
Filing Date: 05/13/2019
Period Covered: 01/01/2018– 05/12/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|---------------------|----------------|-------------------------------|-----------------------|
| AgroFresh Solutions, Inc. (AGFS) [ST] | | \$1,001 - \$15,000 | None | | |
| AIG Annuity [FN] | | \$15,001 - \$50,000 | None | | |
| Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA) [ST] | | \$15,001 - \$50,000 | Capital Gains | \$2,501 - \$5,000 | None |
| Amarin [OP] | | None | Capital Gains | None | \$1,001 - \$2,500 |
| DESCRIPTION: Call 10-5-2018 \$20 Non comp package or employee stock option plan | | | | | |
| Amarin [OP] | | None | Capital Gains | None | \$1,001 - \$2,500 |
| DESCRIPTION: Put 10-19-2018 \$19.5 Non comp package or employee stock option plan | | | | | |
| Amarin [OP] | | None | Capital Gains | None | \$5,001 - \$15,000 |
| DESCRIPTION: Call 10-26-2018 \$20 Non comp package or employee stock option plan | | | | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|----------------------|--------------------------|-------------------------------|-----------------------|
| Amazon [OP] | | None | Capital Gains | None | \$2,501 - \$5,000 |
| DESCRIPTION: Call 1-17-2020 \$1,610 Non comp package or employee stock option plan | | | | | |
| American Water Works Company, Inc. (AWK) [ST] | | \$15,001 - \$50,000 | Dividends, Interest | \$5,001 - \$15,000 | None |
| Apple Inc. (AAPL) [ST] | | \$50,001 - \$100,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | None |
| Apple Inc. (AAPL) [ST] | | None | Capital Gains | None | \$201 - \$1,000 |
| ARK Web x.o ETF (ARKW) [ST] | | None | Capital Gains | None | \$2,501 - \$5,000 |
| Bank of the West [BA] | | \$15,001 - \$50,000 | Interest | \$1 - \$200 | \$1 - \$200 |
| Century Communities, Inc. (CCS) [ST] | | None | Capital Gains | None | \$1,001 - \$2,500 |
| Charles Schwab [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | \$1 - \$200 |
| Chase [BA] | | \$15,001 - \$50,000 | Interest | \$1 - \$200 | \$1 - \$200 |
| Cirrus Logic, Inc. (CRUS) [ST] | | None | Capital Gains | None | \$201 - \$1,000 |
| Coeur Mining inc [OP] | | None | Capital Gains | None | \$5,001 - \$15,000 |
| DESCRIPTION: Put 12-21-18 \$5 Non comp package or employee stock option plan | | | | | |
| Coeur Mining, Inc. (CDE) [ST] | | None | Capital Gains | None | \$1,001 - \$2,500 |
| Colgate-Palmolive Company (CL) [ST] | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | None |
| Denbury Resources Inc. (DNR) [ST] | | None | Capital Gains | None | \$201 - \$1,000 |
| Electrameccanica Vehicles Corp. Ltd. (SOLO) [ST] | | \$1,001 - \$15,000 | None | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|-----------------------|----------------|-------------------------------|-----------------------|
| Ennis, Inc. (EBF) [ST] | | None | Dividends | None | \$201 - \$1,000 |
| Fidelity Gov. Money Market [BA] | | \$250,001 - \$500,000 | Dividends | \$1,001 - \$2,500 | \$2,501 - \$5,000 |
| Fidelity MA 529 [5P] LOCATION: MD | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Fidelity NH 529 [5P] LOCATION: NH | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Fidelity NH 529 (Second account) [5P] LOCATION: NH | | \$1,001 - \$15,000 | Tax-Deferred | | |
| First Data Corp [OP] DESCRIPTION: Call 7-20-18 \$20 Non comp package or employee stock option plan | | None | Capital Gains | None | \$50,001 - \$100,000 |
| First Data Corp [OP] DESCRIPTION: Call 8-17-18 \$24 Non comp package or employee stock option plan | | None | Capital Gains | None | \$2,501 - \$5,000 |
| First Data Corp [OP] DESCRIPTION: Call 10-19-18 \$25 Non comp package or employee stock option plan | | None | Capital Gains | None | \$2,501 - \$5,000 |
| First Data Corp [OP] DESCRIPTION: Call 9-21-18 \$25 Non comp package or employee stock option plan | | None | Capital Gains | None | \$1,001 - \$2,500 |
| First Data Corp [OP] DESCRIPTION: Put 11-16-18 \$23 Non comp package or employee stock option plan | | None | Capital Gains | None | \$15,001 - \$50,000 |
| First Data Corp [OP] DESCRIPTION: Put 1-18-19 \$25 Non comp package or employee stock option plan | | None | Capital Gains | None | \$5,001 - \$15,000 |
| First Data Corp [OP] DESCRIPTION: Call 1-17-20 \$17 Non comp package or employee stock option plan | | None | Capital Gains | None | \$15,001 - \$50,000 |
| | | | | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|----------------------|----------------|-------------------------------|-----------------------|
| First Data Corp [OP] | | None | Capital Gains | \$5,001 - \$15,000 | None |
| DESCRIPTION: Call 1-15-21 \$18 Non comp package or employee stock option plan | | | | | |
| First Data Corporation Class A (FDC) [ST] | | None | Capital Gains | \$5,001 - \$15,000 | None |
| | | | | | |
| Fiserv, Inc. (FISV) [ST] | | \$50,001 - \$100,000 | Capital Gains | \$15,001 - \$50,000 | None |
| | | | | | |
| Fiserve Inc [OP] | | None | Capital Gains | \$15,001 - \$50,000 | None |
| DESCRIPTION: Call 3-15-19 \$80 Non comp package or employee stock option plan | | | | | |
| Invesco QQQ Trust, Series 1 (QQQ) [ST] | | None | Capital Gains | None | \$2,501 - \$5,000 |
| | | | | | |
| Investco QQQ [OP] | | None | Capital Gains | None | \$5,001 - \$15,000 |
| DESCRIPTION: Call 11-16-18 \$170 Non comp package or employee stock option plan | | | | | |
| Investco QQQ [OP] | | None | Capital Gains | None | \$5,001 - \$15,000 |
| DESCRIPTION: Put 1-18-19 \$175 Non comp package or employee stock option plan | | | | | |
| Investco QQQ [OP] | | None | Capital Gains | None | \$15,001 - \$50,000 |
| DESCRIPTION: Put 1-18-19 \$176 Non comp package or employee stock option plan | | | | | |
| Investco QQQ [OP] | | None | Capital Gains | None | \$5,001 - \$15,000 |
| DESCRIPTION: Put 1-18-19 \$180 Non comp package or employee stock option plan | | | | | |
| Investco QQQ [OP] | | None | Capital Gains | None | \$5,001 - \$15,000 |
| DESCRIPTION: Put 1-18-19 \$185 Non comp package or employee stock option plan | | | | | |
| Investco QQQ [OP] | | None | Capital Gains | None | \$5,001 - \$15,000 |
| DESCRIPTION: Put 1-17-20 \$170 Non comp package or employee stock option plan | | | | | |
| Investco QQQ [OP] | | None | Capital Gains | None | \$201 - \$1,000 |
| DESCRIPTION: Put 1-17-20 \$175 Non comp package or employee stock option plan | | | | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|-----------------------|--------------------------|-------------------------------|-----------------------|
| Johnson & Johnson (JNJ) [ST] | | None | Capital Gains | None | \$5,001 - \$15,000 |
| Law Office of Nicole Gordillo PLLC [OL] LOCATION: Lewisville/Denton, TX, US DESCRIPTION: Provides Legal Services S-Corp | | None | None | | |
| Law Office of Steve Kuzmich [OL] LOCATION: Lewisville/Denton, TX, US DESCRIPTION: All income reported on Schedule C Provides Legal services S Corp | | \$250,001 - \$500,000 | Capital Gains, Salary | Not Applicable | Not Applicable |
| LGI Homes, Inc. (LGIH) [ST] | | None | Capital Gains | None | \$201 - \$1,000 |
| M and N Holdings LLC, 26% Interest [OL] LOCATION: Lewisville/Denton, TX, US DESCRIPTION: For real estate investment. Owned and sold land at NW corner of Main Street and Henrietta Street in Lewisville Texas. Was the only property holding of the entity. Sold the land in 2018. S-corp. Entity has been dissolved. | | None | Capital Gains | None | \$15,001 - \$50,000 |
| Microsoft Corporation (MSFT) [ST] | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | None |
| New York Mortgage Trust, Inc. (NYMT) [ST] | | None | Capital Gains, Dividends | \$1,001 - \$2,500 | \$201 - \$1,000 |
| Nintendo Co Ltd ADR (NTDOY) [ST] | | None | Capital Gains | None | \$2,501 - \$5,000 |
| Paypal Holdings LLC [OP] DESCRIPTION: Call 1-17-20 \$80 Non comp package or employee stock option plan | | None | Capital Gains | None | \$1,001 - \$2,500 |
| Pfizer, Inc. (PFE) [ST] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | \$201 - \$1,000 |
| Point Bank [BA] | | \$1,001 - \$15,000 | None | | |
| Proshares ultra short oil (SCO) [OP] DESCRIPTION: Call 1-17-20 \$20 Non comp package or employee stock option plan | | None | Capital Gains | None | \$1,001 - \$2,500 |
| | | | | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|-----------------------|----------------|-------------------------------|-----------------------|
| ProShares UltraShort Dow30 (DXD) [ST] | | None | Capital Gains | None | \$201 - \$1,000 |
| Putnam Growth Opportunities Fund [MF] | | \$100,001 - \$250,000 | Tax-Deferred | | |
| Sentry Annuity [FN] | | \$100,001 - \$250,000 | None | | |
| SPDR Dow Jones Industrial Average ETF (DIA) [ST] | | None | Capital Gains | None | \$1,001 - \$2,500 |
| Spider Dow Jones Industrial Average ETF DIA [OP] | | None | Capital Gains | None | \$5,001 - \$15,000 |
| DESCRIPTION: Call 1-4-2019 \$230 Non comp package or employee stock option plan | | | | | |
| Spider Dow Jones Industrial Average ETF DIA [OP] | | None | Capital Gains | None | \$1,001 - \$2,500 |
| DESCRIPTION: Call 1-18-2019 \$220 Non comp package or employee stock option plan | | | | | |
| Spider Dow Jones Industrial Average ETF DIA [OP] | | None | Capital Gains | None | \$50,001 - \$100,000 |
| DESCRIPTION: Call 1-18-2019 \$245 Non comp package or employee stock option plan | | | | | |
| Spider Dow Jones Industrial Average ETF DIA [OP] | | None | Capital Gains | None | \$50,001 - \$100,000 |
| DESCRIPTION: Put 1-18-19 \$255 Non comp package or employee stock option plan | | | | | |
| Spider Dow Jones Industrial Average ETF DIA [OP] | | None | Capital Gains | None | \$15,001 - \$50,000 |
| DESCRIPTION: Call 1-17-20 \$245 Non comp package or employee stock option plan | | | | | |
| Spider Dow Jones Industrial Average ETF DIA [OP] | | None | Capital Gains | None | \$5,001 - \$15,000 |
| DESCRIPTION: Put 1-17-20 \$260 Non comp package or employee stock option plan | | | | | |
| Spider Dow Jones Industrial Average ETF DIA [OP] | | \$1,001 - \$15,000 | None | | |
| DESCRIPTION: Put Jun 7 2019 \$255 Non comp package or employee stock option plan | | | | | |
| Spider Dow Jones Industrial Average ETF DIA [OP] | | None | Capital Gains | \$50,001 - \$100,000 | None |
| DESCRIPTION: Put 1-18-19 \$255 Non comp package or employee stock option plan | | | | | |
| Spider Dow Jones Industrial Average ETF DIA [OP] | | None | Capital Gains | \$2,501 - | None |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|----------------|----------------|-------------------------------|-----------------------|
| DESCRIPTION: Call 1-25-19 \$232.5 Non comp package or employee stock option plan | | | | \$5,000 | |
| Spider Dow Jones Industrial Average ETF DIA [OP] | | None | Capital Gains | \$5,001 - \$15,000 | None |
| DESCRIPTION: Call 2-8-19 \$240 Non comp package or employee stock option plan | | | | | |
| Spider Dow Jones Industrial Average ETF DIA [OP] | | None | Capital Gains | \$1,001 - \$2,500 | None |
| DESCRIPTION: Put 4-26-19 \$260 Non comp package or employee stock option plan | | | | | |
| Spider Dow Jones Industrial Average ETF DIA [OP] | | None | Capital Gains | \$5,001 - \$15,000 | None |
| DESCRIPTION: Put 5-31-19 \$265 Non comp package or employee stock option plan | | | | | |
| Square inc [OP] | | None | Capital Gains | \$15,001 - \$50,000 | None |
| DESCRIPTION: Put 2-22-19 \$75 Non comp package or employee stock option plan | | | | | |
| Square inc [OP] | | None | Capital Gains | \$2,501 - \$5,000 | None |
| DESCRIPTION: Call 3-15-19 \$60 Non comp package or employee stock option plan | | | | | |
| Square inc [OP] | | None | Capital Gains | \$5,001 - \$15,000 | None |
| DESCRIPTION: Call 3-15-19 \$70 Non comp package or employee stock option plan | | | | | |
| Square inc [OP] | | None | Capital Gains | None | \$15,001 - \$50,000 |
| DESCRIPTION: Call 3-2-18 \$41 Non comp package or employee stock option plan | | | | | |
| Square inc [OP] | | None | Capital Gains | None | \$1,001 - \$2,500 |
| DESCRIPTION: Call 2-2-18 \$50 Non comp package or employee stock option plan | | | | | |
| Square inc [OP] | | None | Capital Gains | None | \$15,001 - \$50,000 |
| DESCRIPTION: Call 7-20-18 \$60 Non comp package or employee stock option plan | | | | | |
| Square inc [OP] | | None | Capital Gains | None | \$15,001 - \$50,000 |
| DESCRIPTION: Call 9-28-18 \$80 Non comp package or employee stock option plan | | | | | |
| Square inc [OP] | | None | Capital Gains | None | \$5,001 - |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|-----------------------|--------------------------|-------------------------------|-----------------------|
| DESCRIPTION: Call 1-18-19 \$55 Non comp package or employee stock option plan | | | | | \$15,000 |
| Square Inc [OP] | | None | Capital Gains | None | \$2,501 - \$5,000 |
| DESCRIPTION: Call 2-23-18 \$43.5 Non comp package or employee stock option plan | | | | | |
| Square Inc [OP] | | None | Capital Gains | None | \$5,001 - \$15,000 |
| DESCRIPTION: Call 2-23-18 \$44.5 Non comp package or employee stock option plan | | | | | |
| Square Inc [OP] | | None | Capital Gains | None | \$15,001 - \$50,000 |
| DESCRIPTION: Call 4-20-18 \$48 Non comp package or employee stock option plan | | | | | |
| Square Inc [OP] | | None | Capital Gains | None | \$1,001 - \$2,500 |
| DESCRIPTION: Call 5-25-18 \$55 Non comp package or employee stock option plan | | | | | |
| Square, Inc. Class A (SQ) [ST] | | None | Capital Gains | None | \$15,001 - \$50,000 |
| Tencent Holdings Limited Unsponsored ADR Representing B Shares (TCEHY) [ST] | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | None |
| Textron Inc. (TXT) [ST] | | None | Capital Gains | None | \$201 - \$1,000 |
| Tiffany & Co. (TIF) [ST] | | None | Capital Gains, Dividends | None | \$2,501 - \$5,000 |
| Twitter Inc [OP] | | None | Capital Gains | None | \$5,001 - \$15,000 |
| DESCRIPTION: Call 1-18-19 \$25 Non comp package or employee stock option plan | | | | | |
| Twitter, Inc. (TWTR) [ST] | | None | Capital Gains | None | \$2,501 - \$5,000 |
| USAA Annuity [FN] | | \$100,001 - \$250,000 | None | | |
| Waste Management, Inc. (WM) [ST] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | None |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|---------------------|--------------------------|-------------------------------|-----------------------|
| Wheaton Precious Metals Corp Common Shares (WPM) [ST] | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | None |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount Current Year to Filing | Amount Preceding Year |
|---|---------------|-------------------------------|-----------------------|
| Law Office of Steve Kuzmich P.C. | Salary | \$67,500.00 | \$161,413.32 |
| Surgical Associates of the Mid-Cities | Spouse Salary | N/A | N/A |
| Texas Health Surgery Center Bedford LLC | Spouse Salary | N/A | N/A |
| North Texas Specialty Physicians | Spouse Salary | N/A | N/A |

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|-----------------------|--|
| Advisory Board Member | CASA of Denton County |
| Member | M and N Holdings LLC |
| President/Owner | Law Office of Steve Kuzmich P.C. |
| Member | Law Office of Nicole Gordillo PLLC |
| Member | Gameday Calendar LLC/Cork Wine Company |
| Member | AIM Party Foundation |

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

| Source (Name and Address) | Brief Description of Duties |
|---|-----------------------------|
| Certain confidential clients are not reported (N/A, TX, US) | Legal services |

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
☒ Yes ☐ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Stephen Kuzmich , 05/13/2019