

# UNITED STATES HOUSE OF REPRESENTATIVES 2013 FINANCIAL DISCLOSURE STATEMENT

Form A  
For Use by Members, Officers, and Employees

**HAND DELIVERED**  
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2014 MAY 14 AM 10: 53

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

Name: Steve Daines Daytime Telephone: 202-225-3211

MEMBER STATUS	Member of or Candidate for U.S. House of Representatives	State: <u>MT</u> District: <u>AL</u>	Officer or Employee	Employing Office:
REPORT TYPE	<input checked="" type="checkbox"/> 2013 Annual (Due: May 15, 2014)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination Date:	

## PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <b>OR</b> b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

## IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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\* See attached for details!  
Use additional sheets if more space is required.

Additional sheets if more space is required.

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Steve Daines

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income over \$1,000,000*		
	Jointlife IRA Ridenour																																			
	St Bridget Technology S corporation																																			
	St American Bank Ridenour																																			

\* See attached for detail

Use additional sheets if more space is required.

# SCHEDULE B - TRANSACTIONS

Name: Steve Daines

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period for any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

\* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, or Bi- weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/6/13		X									
JT	Sale of 4-Flexes Bedroom		X			X	9/13									X		
JT	Sale of Generali Artwork		X			X	9/13			X								
SP	Gifted IRA	X	X															
JT	Gifted Savings	X	X															
	See attached details																	

# **SCHEDULE D - LIABILITIES**

Name: Steve Daines

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A Up to \$5,000	B \$5,001-\$15,000	C \$15,001-\$50,000	D \$50,001-\$100,000	E \$100,001-\$250,000	F \$250,001-\$500,000	G \$500,001-\$1,000,000	H \$1,000,001-\$5,000,000	I \$5,000,001-\$25,000,000	J \$25,000,001-\$50,000,000	K Over \$50,000,000
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
JT	Yellowstone Bank, Bozeman 1912		Mortgage on home						X					
JT	National City Mortgage	1/98	Mortgage on rental						X					

# **SCHEDULE E - POSITIONS**

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Member	Genesis Partners

# SCHEDULE F – AGREEMENTS

Name: Steve Paines

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
1/1/13	Oracle Corporation	CORBA for Month of January 2013

# SCHEDULE G – GIFTS

Report the source (including name, city, and state), a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	\$400

5/2014 IRA

Asset Type	Description	Symbol	Market Value
Cash Equivalents	Insured Bank Program	9999435	\$212,110
Mutual Fund	Amcap CI F2	AMCFX	\$66,495
Mutual Fund	American High Income CI F2	AHIFX	\$37,196
Mutual Fund	American U S Government Securities C GVTFX	AGVTFX	\$66,762
Mutual Fund	Artisan Mid Cap Value Investor CI	ARTQX	\$36,679
Mutual Fund	Columbia Contrarian Core CI Z	SMGIX	\$59,049
Mutual Fund	Europacific Growth CI F2	AEPFX	\$70,434
Mutual Fund	Harbor Bond Instl CI	HABDX	\$111,328
Mutual Fund	Heartland Value Plus Instl CI	HNVIX	\$21,845
Mutual Fund	Mfs Intl Growth CI I	MQGIX	\$72,317
Mutual Fund	Nationwide Geneva Mid Cap Growth Ir NWHYX	NWGHYX	\$35,475
Mutual Fund	Oppenheimer Developing Markets CI Y ODVYX	ODVYX	\$38,213
Mutual Fund	Oppenheimer Intl Bond CI Y	OIBYX	\$29,962
Mutual Fund	Pioneer Oak Ridge Sm Cap Growth CI Y ORIXX	ORIXX	\$21,426
Mutual Fund	Washington Mutual Investors CI F2	WMFFX	\$59,866
			\$939,155

5/2014

Asset Type	Description	Symbol	Market Value
Cash Equivalents*	Insured Bank Program	9999435	\$77,922
Mutual Fund	Amcap CI F2	AMCFX	\$17,324
Mutual Fund	American Tax Exempt Bond Fund Of America CI F2 TEAFX		\$43,952
Mutual Fund	Artisan Mid Cap Value Investor CI	ARTQX	\$8,601
Mutual Fund	Columbia Contrarian Core CI Z	SMGIX	\$12,115
Mutual Fund	Dws Managed Municipal Bond CI S	SCMBX	\$5,267
Mutual Fund	Europacific Growth CI F2	AEPFX	\$15,647
Mutual Fund	Heartland Value Plus Instl CI	HNPIX	\$5,122
Mutual Fund	Mfs Intl Growth CI I	MOGIX	\$16,065
Mutual Fund	Nationwide Geneva Mid Cap Growth Instl Svc CI	NWHYX	\$3,655
Mutual Fund	Oppenheimer Developing Markets CI Y	ODVXX	\$10,752
Mutual Fund	Pioneer Oak Ridge Sm Cap Growth CI Y	ORIXX	\$5,024
Mutual Fund	Thornburg Limited Term Mun CI I	LTMIX	\$8,688
Mutual Fund	Washington Mutual Investors CI F2	WMFFX	\$15,792
			\$248,926



Steve and Cindy Daines Joint -  
2013 Purchases and Sales of  
\$ 1,000.00 and over

Date	Action	Description	Settle Date	Symbol	Amount
1/3/2013	Buy	ARTISAN MID CAP VALUE INVESTOR CL	1/3/2013	ARTQX	-\$16,041
1/3/2013	Buy	GHMARK GENEVA MID CAP GROWTH FIDUCIARY	1/3/2013	PNMFX	-\$16,041
6/14/2013	Buy	AMCAP CL F2	6/19/2013	AMCFX	-\$50,811
6/14/2013	Buy	DWS STRATEGIC HIGH YIELD TAX FREE CL S	6/17/2013	SHYTX	-\$1,139
6/14/2013	Buy	DWS MANAGED MUNICIPA BOND CL S	6/17/2013	SCMBX	-\$1,673
6/14/2013	Sell	GROWTH FUND OF AMERI CL F2	6/19/2013	GFFFX	\$53,071
6/14/2013	Sell	PIONEER OAK RIDGE SMALL CAP GROWTH CL Y	6/19/2013	ORIYX	\$1,179
6/14/2013	Buy	TAX EXEMPT BOND AMERICA CL F2	6/19/2013	TEAFX	-\$2,957
6/14/2013	Buy	THORNBURG LIMITED TE MUNICIPAL CL I	6/19/2013	LTMIX	-\$1,305
6/14/2013	Sell	WASHINGTON MUTUAL INVESTORS CL F2	6/19/2013	WMFFX	\$4,097
6/17/2013	Sell	ARTISAN MID CAP VALUE INVESTOR CL	6/17/2013	ARTQX	\$1,595
9/17/2013	Buy	AMCAP CL F2	9/20/2013	AMCFX	-\$23,296
9/17/2013	Buy	ARTISAN MID CAP VALUE INVESTOR CL	9/18/2013	ARTQX	-\$8,103
9/17/2013	Buy	DWS STRATEGIC HIGH YIELD TAX FREE CL S	9/18/2013	SHYTX	-\$10,241
9/17/2013	Buy	DWS MANAGED MUNICIPA BOND CL S	9/18/2013	SCMBX	-\$13,837
9/17/2013	Buy	EUROPACIFIC GROWTH CL F2	9/20/2013	AEPFX	-\$12,929
9/17/2013	Buy	HEARTLAND VALUE PLUS INSTL CL	9/18/2013	HNVIX	-\$7,693
9/17/2013	Buy	MFS INTL GROWTH CL I	9/20/2013	MQGIX	-\$13,368
9/17/2013	Buy	WIDE MUTUAL NE GENEVA MID CAP GROWTH INS	9/18/2013	NWHYX	-\$7,116
9/17/2013	Buy	PIONEER OAK RIDGE SMALL CAP GROWTH CL Y	9/20/2013	ORIYX	-\$7,145
9/17/2013	Buy	TAX EXEMPT BOND AMERICA CL F2	9/20/2013	TEAFX	-\$27,465
9/17/2013	Buy	THORNBURG LIMITED TE MUNICIPAL CL I	9/20/2013	LTMIX	-\$13,114
9/17/2013	Buy	WASHINGTON MUTUAL INVESTORS CL F2	9/20/2013	WMFFX	-\$25,624
9/23/2013	Sell	AMCAP CL F2	9/24/2013	AMCFX	\$9,138
9/23/2013	Sell	DWS STRATEGIC HIGH YIELD TAX FREE CL S	9/24/2013	SHYTX	\$26,446
9/23/2013	Sell	DWS MANAGED MUNICIPA BOND CL S	9/24/2013	SCMBX	\$21,166
9/23/2013	Buy	EUROPACIFIC GROWTH CL F2	9/24/2013	AEPFX	-\$7,661
9/23/2013	Sell	HEARTLAND VALUE PLUS INSTL CL	9/24/2013	HNVIX	\$8,051
9/23/2013	Buy	MFS INTL GROWTH CL I	9/26/2013	MQGIX	-\$7,515
9/23/2013	Sell	PIONEER OAK RIDGE SMALL CAP GROWTH CL Y	9/24/2013	ORIYX	\$10,430
9/23/2013	Buy	TAX EXEMPT BOND AMERICA CL F2	9/24/2013	TEAFX	-\$57,895
9/23/2013	Sell	THORNBURG LIMITED TE MUNICIPAL CL I	9/26/2013	LTMIX	\$10,430
9/23/2013	Sell	WASHINGTON MUTUAL INVESTORS CL F2	9/24/2013	WMFFX	\$11,327
9/24/2013	Sell	ARTISAN MID CAP VALUE INVESTOR CL	9/24/2013	ARTQX	\$2,557
9/24/2013	Sell	WIDE MUTUAL NE GENEVA MID CAP GROWTH INS	9/24/2013	NWHYX	\$2,568
9/24/2013	Buy	OPPENHEIMER DEVELOPING MARKETS CL Y	9/26/2013	ODVYX	-\$28,981
12/9/2013	Sell	DWS MANAGED MUNICIPA BOND CL S	12/10/2013	SCMBX	\$15,959
12/9/2013	Sell	AMERICAN TAX EXEMPT BOND FUND OF AMERICAN C	12/10/2013	TEAFX	\$132,385
12/9/2013	Sell	THORNBURG LIMITED TERM MUN CL I	12/10/2013	LTMIX	\$26,526

Steve Daines IRA -  
2013 Purchases and Sales of  
\$ 1,000.00 and over

Date	Action	Description	Settle Date	Symbol	Amount
1/3/2013	Buy	ARTISAN MID CAP VALUE INVESTOR CL	1/3/2013	ARTQX	-\$40,548
1/3/2013	Buy	HIGHMARK GENEVA MID CAP GROWTH FIDUCIARY CL	1/3/2013	PNMFX	-\$40,548
1/3/2013	Buy	VANGUARD SHORT TERM FEDERAL INVESTOR CL	1/3/2013	VSGBX	-\$40,548
3/18/2013	Buy	AMERICAN HIGH INCOME CL F2	3/21/2013	AHIFX	-\$1,135
3/19/2013	Sell	ARTISAN MID CAP VALUE INVESTOR CL	3/19/2013	ARTQX	\$3,100
6/14/2013	Buy	AMCAP CL F2	6/19/2013	AMCFX	-\$134,874
6/14/2013	Sell	GROWTH FUND OF AMERI CL F2	6/19/2013	GFFFX	\$134,146
9/23/2013	Sell	AMCAP CL F2	9/24/2013	AMCFX	\$39,554
9/23/2013	Buy	EUROPACIFIC GROWTH CL F2	9/24/2013	AEPFX	-\$18,045
9/23/2013	Buy	HARBOR BOND INSTL CL	9/24/2013	HABDX	-\$31,502
9/23/2013	Sell	HEARTLAND VALUE PLUS INSTL CL	9/24/2013	HMVIX	\$20,309
9/23/2013	Buy	MFS INTL GROWTH CL I	9/26/2013	MQGIX	-\$18,910
9/23/2013	Sell	PIONEER OAK RIDGE SMALL CAP GROWTH CL Y	9/24/2013	ORIYX	\$24,642
9/23/2013	Sell	WASHINGTON MUTUAL INVESTORS CL F2	9/24/2013	WMFFX	\$32,332
9/24/2013	Sell	NWIDE MUTUAL NE GENEVA MID CAP GROWTH INSTL	9/24/2013	NWHYX	\$3,419
9/24/2013	Buy	OPPENHEIMER DEVELOPING MARKETS CL Y	9/26/2013	ODVYX	-\$49,516
9/24/2013	Buy	OPPENHEIMER INTL BOND CL Y	9/26/2013	OIBYX	-\$36,054
9/24/2013	Sell	VANGUARD SHORT TERM FEDERAL ADMIRAL CL	9/24/2013	VSGDX	\$40,376