

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND
DELIVERED

Louis J. Barletta

(Full Name)

(Daytime Telephone)

U.S. (Office Use Only)

2013 MAY 15 AM 11:21

MC

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: PA District: 11	<input type="checkbox"/> Officer Or Employee	Employing Office:
	Report Type	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule I.		If yes, complete and attach Schedule VI.	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule II.		If yes, complete and attach Schedule VII.	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule III.		If yes, complete and attach Schedule VIII.	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule IV.		If yes, complete and attach Schedule IX.	
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	
If yes, complete and attach Schedule V.			

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>		<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below.</p> <p>Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
JT	322 Rocky Road Hazleton PA	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
JT	Cash- Goldman Sachs Bank USA	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
JT	Altria Group Inc	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Apple Inc	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
JT	BCE Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
JT	ConocoPhillips	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	Google Inc	\$1,001 - \$15,000	None	NONE	
JT	International Business Machines Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
JT	Linn Energy LLC	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	McDonalds Corp	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
JT	Market Vectors Mortgage REIT	None	DIVIDENDS	\$1,001 - \$2,500	S
JT	Market Vectors Preferred Stock ETF	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P, S(part)
JT	Proshares Ultrashort 20+ Yrs Treasury ETF	\$1,001 - \$15,000	None	NONE	
JT	SPDR Series Trust S&P Dividend ETF	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	S(part)
JT	Vanguard Mega Cap 300 Growth ETF	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
JT	Wisdomtree Dividend EX Financials ETF	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
JT	American Realty Capital Trust Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Cash- Goldman Sachs Bank USA	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
JT	American Electric Power Company Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Dunkin Brands GRP Inc	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P

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JT	Ishares Gold Trust	\$15,001 - \$50,000	None	NONE	P
JT	Leucadia National Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Linn Energy LLC	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Verizon Communications Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
JT	Alps ETF Trust Alerian MLP ETF	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P, S(part)
JT	First Trust Morningstar Dividend Leaders Index	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
JT	Powershares Trust II S&P 500 Low Volatility ETF	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	S(part)
JT	Proshares Ultrashort 20+ Yrs Treasury ETF	\$1,001 - \$15,000	None	NONE	P
JT	SPDR Series Trust S&P Dividend ETF	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Vanguard Mega Cap 300 Growth ETF	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
JT	Wisdomtree Dividend EX Financials ETF	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
JT	Kinder Morgan Energy Partners	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	Market Vectors Mortgage REIT	None	DIVIDENDS	\$1,001 - \$2,500	S
JT	Cash- Morgan Stanley Bank N.A.	\$1,001 - \$15,000	INTEREST	\$1 - \$200	

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SP	IRA- Cash- LPL Financial	\$1,001 - \$15,000	INTEREST	NONE	
SP	IRA- Linn Energy LLC	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	IRA- Alps Alerian MLP ETF	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	IRA- Market Vectors Preferred Securities EX Financials ETF	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
SP	IRA- SPDR Series Trust	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	IRA- Wells Fargo Advantage Utilities & High Income Fund	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	IRA- Western Asset High Yield Defined Opportunity Fund Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	IRA- Cash- LPL Financial	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	IRA- Intrepid Potash Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
	IRA- Ishares MSCI Brazil Index ETF	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	P
	IRA- Market Vectors Preferred Securities EX Financials ETF	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
	IRA- SPDR Series Trust S&P Dividend ETF	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
	IRA- Vanguard Mega Cap 300 Growth ETF	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	IRA- Western Asset High Yield Defined Opportunity Fund Inc	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	

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IRA- Wisdomtree Dividend EX Financials ETF	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	P
IRA- Lazard Emerging Markets	\$1,001 - \$15,000	None	NONE	
IRA- Lazard Mid Cap Equity	\$1,001 - \$15,000	None	NONE	
IRA- MCM Nasdaq 25	\$15,001 - \$50,000	None	NONE	
IRA- Eastspring Inv China-India	\$1,001 - \$15,000	None	NONE	
IRA- MCM JNL 5	\$15,001 - \$50,000	None	NONE	
IRA- American Global Bond	\$1,001 - \$15,000	None	NONE	P
IRA- PPM America Float Rate Income	\$15,001 - \$50,000	None	NONE	P
IRA- PPM American High Yield Bond	\$1,001 - \$15,000	None	NONE	
IRA- MCM Small Cap Index	\$1,001 - \$15,000	None	NONE	
IRA- BlackRock Comm Securities	\$1,001 - \$15,000	None	NONE	
IRA- MCM Oil & Gas Sector	\$1,001 - \$15,000	None	NONE	
IRA- Red Rocks Listed Pvt Eqt	\$1,001 - \$15,000	None	NONE	
IRA- Jackson S&P 4	\$1,001 - \$15,000	None	NONE	

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IRA- Jackson S&P Dividend Income	\$1,001 - \$15,000	None	NONE	
IRA- Lazard Emerging Markets	\$15,001 - \$50,000	None	NONE	
IRA- Lazard Mid Cap Equity	\$1,001 - \$15,000	None	NONE	
IRA- MCM Nasdaq 25	\$15,001 - \$50,000	None	NONE	
IRA- Eastspring Inv China-India	\$1,001 - \$15,000	None	NONE	
IRA- MCM JNL 5	\$15,001 - \$50,000	None	NONE	
IRA- American Global Bond	\$1,001 - \$15,000	None	NONE	P
IRA- PPM America Float Rate Income	\$15,001 - \$50,000	None	NONE	P
IRA- PPM America High Yield Bond	\$15,001 - \$50,000	None	NONE	
IRA- MCM Small Cap Index	\$1,001 - \$15,000	None	NONE	
IRA- Blackrock Comm Securities	\$1,001 - \$15,000	None	NONE	
IRA- MCM Oil & Gas Sector	\$1,001 - \$15,000	None	NONE	
IRA- Red Rocks Listed Pvt Eqt	\$1,001 - \$15,000	None	NONE	
IRA- Jackson S&P 4	\$1,001 - \$15,000	None	NONE	

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	IRA- Jackson S&P Dividend Income	\$1,001 - \$15,000	None	NONE	
SP	IRA- Lazard Emerging Markets	\$1,001 - \$15,000	None	NONE	
SP	IRA- Lazard Mid Cap Equity	\$1,001 - \$15,000	None	NONE	
SP	IRA- MCM Nasdaq 25	\$1,001 - \$15,000	None	NONE	
SP	IRA- Eastspring Inv China-India	\$1,001 - \$15,000	None	NONE	
SP	IRA- MCM JNL 5	\$1,001 - \$15,000	None	NONE	
SP	IRA- American Global Bond	\$1,001 - \$15,000	None	NONE	P
SP	IRA- PPM America Float Rate Income	\$15,001 - \$50,000	None	NONE	P
SP	IRA- PPM America High Yield Bond	\$1,001 - \$15,000	None	NONE	
SP	IRA- MCM Small Cap Index	\$1,001 - \$15,000	None	NONE	
SP	IRA- BlackRock Comm Securities	\$1,001 - \$15,000	None	NONE	
SP	IRA- MCM Financial Sector	\$1,001 - \$15,000	None	NONE	
SP	IRA- Red Rocks Listed Pvt Eqt	\$1,001 - \$15,000	None	NONE	
SP	IRA- Jackson S&P 4	\$1,001 - \$15,000	None	NONE	

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SP	IRA- Jackson Dividend Income	\$1,001 - \$15,000	None	NONE	
JT	Alps Alerian MLP ETF	None	CAPITAL GAINS	\$201 - \$1,000	
JT	Cabot Oil & Gas Corp	None	CAPITAL GAINS	\$201 - \$1,000	
JT	Cabot Oil & Gas Corp	None	CAPITAL GAINS	\$1 - \$200	
JT	Caterpillar Inc.	None	CAPITAL GAINS	\$2,501 - \$5,000	
JT	Cincinnati Financial Corp	None	CAPITAL GAINS	\$1,001 - \$2,500	
JT	Du Pont E.I. DE Nemour & Co	None	CAPITAL GAINS	\$1,001 - \$2,500	
JT	Intl Business Machs Corp	None	CAPITAL GAINS	\$201 - \$1,000	
JT	Ishares High Dividend Equity	None	CAPITAL GAINS	\$201 - \$1,000	
JT	Market Vectors Mortgage REIT Income	None	CAPITAL GAINS	\$1,001 - \$2,500	
JT	Philip Morris Intl Inc	None	CAPITAL GAINS	\$1,001 - \$2,500	
JT	Polaris Industries Inc	None	CAPITAL GAINS	\$2,501 - \$5,000	
JT	Realty Income Corp	None	CAPITAL GAINS	\$201 - \$1,000	
JT	Realty Income Corp	None	CAPITAL GAINS	\$1,001 - \$2,500	

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JT	Sandridge Energy Inc	None	CAPITAL GAINS	\$1 - \$200	
JT	Tractor Supply Company	None	CAPITAL GAINS	\$2,501 - \$5,000	
JT	Seadrill Ltd	None	CAPITAL GAINS	\$1,001 - \$2,500	
JT	NXP Semiconductors	None	CAPITAL GAINS	\$1,001 - \$2,500	
JT	NXP Semiconductors	None	CAPITAL GAINS	\$1,001 - \$2,500	
JT	Nucor Corp	None	CAPITAL GAINS	\$201 - \$1,000	
JT	Powershares Financial Preferred ETF	None	CAPITAL GAINS	\$2,501 - \$5,000	
JT	SPDR S&P Dividend ETF	None	CAPITAL GAINS	\$201 - \$1,000	
JT	AT&T Inc	None	CAPITAL GAINS	\$201 - \$1,000	
JT	AT&T Inc	None	CAPITAL GAINS	\$1,001 - \$2,500	
JT	Clean Energy Fuels Corp	None	CAPITAL GAINS	\$1 - \$200	
JT	Clean Energy Fuels Corp	None	CAPITAL GAINS	\$201 - \$1,000	
JT	Google Inc	None	CAPITAL GAINS	\$201 - \$1,000	
JT	Google Inc	None	CAPITAL GAINS	\$1,001 - \$2,500	

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JT	Market Vector Retail ETF	None	CAPITAL GAINS	\$1,001 - \$2,500	
JT	Market Vector Retail ETF	None	CAPITAL GAINS	\$1,001 - \$2,500	
JT	Market Vector Mortgage REIT ETF	None	CAPITAL GAINS	\$201 - \$1,000	
JT	Powershares S&P 500 Low Volatility ETF	None	CAPITAL GAINS	\$201 - \$1,000	
JT	Schlumberger Ltd	None	CAPITAL GAINS	\$1,001 - \$2,500	
JT	Utilities Select Sector SPDR ETF	None	CAPITAL GAINS	\$1,001 - \$2,500	
JT	Titanium Metals Corp New	None	CAPITAL GAINS	\$201 - \$1,000	
JT	Titanium Metals Corp New	None	CAPITAL GAINS	\$201 - \$1,000	
JT	PPL Corp	None	CAPITAL GAINS	\$1,001 - \$2,500	
JT	Ishares Canada Index ETF	None	CAPITAL GAINS	\$1 - \$200	
	Make It Better LP	\$100,001 - \$250,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	LPL Financial 6259-1107 (see attached Schedule 1)	P	N/A	Various	\$100,001 - \$250,000
JT	LPL Financial 6259-1107 (see attached Schedule 1)	S(part)	Yes	Various	\$250,001 - \$500,000
JT	LPL Financial 1545-0715 (see attached Schedule 2)	P	N/A	Various	\$100,001 - \$250,000
JT	LPL Financial 1545-0715 (see attached Schedule 2)	S(part)	Yes	Various	\$100,001 - \$250,000
	IRA- T. Rowe Price S-T Bond	S	No	10-12-12	\$15,001 - \$50,000
	IRA- American Global Bond	P	N/A	10-12-12	\$1,001 - \$15,000
	IRA- PPM America Float Rate Income	P	N/A	10-12-12	\$15,001 - \$50,000
	IRA- T. Rowe Price S-T Bond	S	No	10-12-12	\$15,001 - \$50,000
	IRA- American Global Bond	P	N/A	10-12-12	\$1,001 - \$15,000
	IRA- PPM America Float Rate Income	P	N/A	10-12-12	\$15,001 - \$50,000
SP	IRA- T. Rowe Price S-T Bond	S	No	10-12-12	\$15,001 - \$50,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
SP	IRA- American Global Bond	P	N/A	10-12-12	\$1,001 - \$15,000
SP	IRA- PPM America Float Rate Income	P	N/A	10-12-12	\$15,001 - \$50,000
SP	IRA- Market Vectors Preferred Securities EX Financials ETF	P	N/A	08-30-12	\$1,001 - \$15,000
SP	IRA- Altria Group Inc	S	No	09-29-12	\$1,001 - \$15,000
SP	IRA- PPL Corp	S	No	08-30-12	\$1,001 - \$15,000
SP	IRA- Unilever	S	No	06-01-12	\$1,001 - \$15,000
SP	IRA- Oppenheimer Main Str Cap Fund	S	No	01-20-12	\$1,001 - \$15,000
SP	IRA- Powershares ETF Fund	S	No	08-30-12	\$1,001 - \$15,000
	IRA- Intrepid Potash Inc	P	N/A	03-02-12	\$1,001 - \$15,000
	IRA- Ishares MSCI Brazil Index ETF	P	N/A	09-19-12	\$15,001 - \$50,000
	IRA- Market Vectors Preferred Securities EX Financials ETF	P	N/A	08-30-12	\$15,001 - \$50,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
	IRA- Vanguard Mega Cap 300 Growth ETF	P	N/A	11-29-12	\$1,001 - \$15,000
	IRA- Wisdomtree Dividend EX Financials ETF	P	N/A	11-29-12	\$1,001 - \$15,000
	IRA- CVS Caremark Corp	S	No	02-09-12	\$1,001 - \$15,000
	IRA- Google Inc Class A	S	No	08-07-12	\$1,001 - \$15,000
	IRA- International Business Machines Corp	S(part)	No	03-05-12	\$1,001 - \$15,000
	IRA- International Business Machines Corp	S	No	09-25-12	\$1,001 - \$15,000
	Merck & Company Inc	S(part)	No	03-05-12	\$1,001 - \$15,000
	Merck & Company Inc	S	No	09-25-12	\$1,001 - \$15,000
	Seadrill Limited	S	No	02-14-12	\$1,001 - \$15,000
	Blackrock Funds II Strategic Income Opportunities	S	No	02-10-12	\$15,001 - \$50,000
	Powershares ETF Fund Senior Loan Port	S	No	09-19-12	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
	Sector SPDR Trust SBI Industrial	S	No	06-27-12	\$1,001 - \$15,000
	SPDR Series Trust S&P Oil & Gas Exploration & Production ETF	S	No	06-01-12	\$1,001 - \$15,000
	Make It Better LP	P	N/A	04-12-12	\$100,001 - \$250,000
	Energy Transfer Partners LP	P	N/A	01-01-12	\$1,001 - \$15,000
	Energy Transfer Partners LP	S	No	12-31-12	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

Name Louis J. Barletta

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	First National Bank	December 2003	Mortgage on 322 Rocky Road Hazleton PA	\$100,001 - \$250,000
JT	Wells Fargo	December 2003	Line of credit, secured by 322 Rocky Road, Hazleton, PA	\$50,001 - \$100,000
JT	Wells Fargo	May 2004	Line of credit, secured by Bethany Beach, DE vacation residence	\$250,001 - \$500,000
JT	Wells Fargo	March 2005	Line of credit, secured by Bethany Beach, DE vacation residence	\$50,001 - \$100,000
JT	Wells Fargo	November 2003	Mortgage on 1529 Terrace Blvd. Hazleton, PA	\$250,001 - \$500,000
JT	Wells Fargo	May 2007	Mortgage on Bethany Beach, DE vacation residence	\$1,000,001 - \$5,000,000
JT	Bank of America	September 2011	Mortgage on 461 Carleton Avenue Hazleton, PA	\$50,001 - \$100,000

SCHEDULE VIII - POSITIONS

Name Louis J. Barletta

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	National Board of Advisors- Federation for American Immigration Reform
Member	Elderwish Foundation

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

The proceeds stated on this page are reported net of option premiums. However, option premiums are built into the gain or loss amounts computed below. If you have securities sold because of the exercise of an option granted or acquired before 2013, we have included option premium information as a separate column on this page, to help you reconcile to the gain/loss amount shown on this statement. Should you elect to report net of option premiums, you must adjust your gain/loss amounts on your Form 8949.

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)		COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS) (BOX 4)	OPTION PREMIUM (BOX 5)	WASH SALE AMOUNT ADJUSTMENT TO BASIS ¹ (BOX 6)	WASH SALE LOSS DISALLOWED ² (BOX 7)	COLLECTIBLES ALLOCATION FACTOR (CAF) ³
SHORT-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD ONE YEAR OR LESS (BOX 1C)											
COVERED (BOX 8B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS											
ALPS ALERIAN MLP ETF QTY (BOX 1E): 200.000	00162Q866	VARIOUS	04/10/12	32,619.26	32,036.68	582.58	0.00	0.00	0.00	0.00	
CABOT OIL & GAS CORP QTY (BOX 1E): 100.000	127087103	12/21/11	05/18/12	3,417.82	3,855.48	(437.66)	0.00	0.00	0.00	0.00	
CABOT OIL & GAS CORP QTY (BOX 1E): 100.000	127087103	12/21/11	05/18/12	3,418.02	3,855.48	(437.46)	0.00	0.00	0.00	0.00	
CABOT OIL & GAS CORP QTY (BOX 1E): 200.000	127087103	01/11/12	06/28/12	7,388.83	6,988.98	409.84	0.00	0.00	0.00	0.00	
CABOT OIL & GAS CORP QTY (BOX 1E): 100.000	127087103	12/21/11	07/23/12	3,883.41	3,855.47	27.94	0.00	0.00	0.00	0.00	
CATERPILLAR INC QTY (BOX 1E): 200.000	148123101	08/15/11	03/28/12	20,741.73	18,238.84	2,501.89	0.00	0.00	0.00	0.00	
CINCINNATI FINL CORP QTY (BOX 1E): 250.000	172082101	08/10/11	02/03/12	8,304.84	6,000.00	2,304.84	0.00	0.00	0.00	0.00	
DU PONT E.L.DE NEMOUR&CO QTY (BOX 1E): 200.000	263534109	12/22/11	09/25/12	10,119.77	9,111.52	1,008.25	0.00	0.00	0.00	0.00	
INTL BUSINESS MACHS CORP QTY (BOX 1E): 50.000	458200101	10/17/11	08/25/12	10,287.77	9,302.14	985.63	0.00	0.00	0.00	0.00	
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464286106	01/27/12	12/31/12	16,320.83	16,871.75	(550.92)	0.00	0.00	0.00	0.00	
ISHS HI DIVEQ ETF QTY (BOX 1E): 200.000	464286863	07/08/11	03/08/12	11,219.81	10,570.00	649.81	0.00	0.00	0.00	0.00	
KELLOGG COMPANY QTY (BOX 1E): 250.000	487836108	03/08/12	08/08/12	12,122.47	13,104.75	(982.28)	0.00	0.00	0.00	0.00	

¹ These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2012 1099 Tax Guide online for more information on covered securities.

² Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

³ The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

⁴ Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UTT grantor trusts you sold in 2012 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2012 WHEFT Guide online for details.

1099 continued

Account Number: 107

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 6)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS) (BOX 4)	OPTION PREMIUM (BOX 5)	WASH SALE AMOUNT ADJUSTMENT TO BASIS ² (BOX 6)	WASH SALE LOSS DISALLOWED ³ (BOX 7)	COLLECTIBLES ALLOCATION FACTOR (CAF) ⁴
COVERED (BOX 6B): FORM 8849 (BOX A) WITH BASIS REPORTED TO THE IRS, continued										
MKT VCTR MTG REIT ETF QTY (BOX 1E): 100.000	57080L324	01/20/12	10/15/12	25,729.42	24,189.95	1,539.47	0.00	0.00	0.00	
PHILIP MORRIS INTL INC QTY (BOX 1E): 100.000	718172109	01/09/12	09/28/12	9,034.79	7,703.90	1,330.89	0.00	0.00	0.00	
PHILLIPS 66 QTY (BOX 1E): 100.000	718546104	01/09/12	05/30/12	3,053.94	3,332.94	(279.00)	0.00	0.00	0.00	
POLARIS INDUSTRIES INC QTY (BOX 1E): 200.000	731068102	07/29/11	04/04/12	14,409.69	11,765.56	2,644.13	0.00	0.00	0.00	
REALTY INCOME CORP QTY (BOX 1E): 100.000	756109104	10/28/11	05/23/12	3,840.35	3,319.79	520.56	0.00	0.00	0.00	
REALTY INCOME CORP QTY (BOX 1E): 200.000	756109104	10/28/11	05/30/12	7,882.58	6,632.43	1,250.15	0.00	0.00	0.00	
SANDRIDGE ENERGY INC QTY (BOX 1E): 200.000	80007P307	05/30/12	09/25/12	1,410.24	1,251.98	158.26	0.00	0.00	0.00	
SANDRIDGE ENERGY INC QTY (BOX 1E): 1800.000	80007P307	VARIOUS	09/25/12	12,691.64	14,187.82	(1,496.18)	0.00	0.00	0.00	
TRACTOR SUPPLY COMPANY QTY (BOX 1E): 100.000	892356106	07/29/11	04/04/12	9,206.80	8,531.70	2,675.10	0.00	0.00	0.00	
UNILEVER N V NY SHS NEW QTY (BOX 1E): 750.000	904784709	VARIOUS	04/10/12	24,411.95	24,614.63	(202.68)	0.00	0.00	0.00	
SEADRILL LTD QTY (BOX 1E): 200.000	97945E105	08/16/11	02/14/12	7,833.86	8,061.00	1,732.86	0.00	0.00	0.00	
NXP SEMICONDUCTORS NV QTY (BOX 1E): 250.000	N6596X109	05/18/12	09/14/12	5,947.36	4,904.50	1,042.86	0.00	0.00	0.00	
NXP SEMICONDUCTORS NV QTY (BOX 1E): 250.000	N6596X109	05/18/12	09/25/12	6,052.39	4,904.50	1,147.89	0.00	0.00	0.00	
TOTAL SHORT-TERM COVERED				271,170.67	253,214.00	17,956.67	0.00	0.00	0.00	

¹ These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislation passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2012 1099 Tax Guide online for more information on covered securities.

² Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

³ The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

⁴ Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UBT grantor trusts you sold in 2012 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2012 WHFT Guide online for details.

1099, continued

Account Number: 1107

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 3)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS) (BOX 4)	OPTION PREMIUM (BOX 5)	WASH SALE AMOUNT ADJUSTMENT TO BASIS ¹ (BOX 6)	WASH SALE LOSS DISALLOWED ² (BOX 7)	COLLECTIBLES ALLOCATION FACTOR (CAF) ³ (BOX 8)
NON-COVERED (BOX 6A): FORM 8849 (BOX B) WITH BASIS NOT REPORTED TO THE IRS										
AMERICAN REALTY CAP TR QTY (BOX 1E): 500.000	02817L101	03/07/12	08/08/12	6,094.91	5,082.48	1,002.43	0.00	0.00	0.00	0.00
ENERGY TRANSFER PARTNRS LP QTY (BOX 1E): 250.000	28273R109	02/16/12	12/31/12	10,809.79	12,164.74	(1,354.95)	0.00	0.00	0.00	0.00
TOTAL SHORT-TERM NON-COVERED ⁴				16,704.70	17,267.22	(562.52)	0.00	0.00	0.00	0.00
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
NON-COVERED (BOX 6A): FORM 8849 (BOX B) WITH BASIS NOT REPORTED TO THE IRS										
MARKWEST ENERGY PARTNRS LP QTY (BOX 1E): 100.000	570759100	12/13/11	12/31/12	5,027.88	5,500.00	(472.12)	0.00	0.00	0.00	0.00
MARKWEST ENERGY PARTNRS LP QTY (BOX 1E): 50.000	570759100	12/13/11	12/31/12	2,512.94	2,750.00	(237.06)	0.00	0.00	0.00	0.00
MARKWEST ENERGY PARTNRS LP QTY (BOX 1E): 100.000	570759100	12/13/11	12/31/12	5,024.88	5,500.00	(475.12)	0.00	0.00	0.00	0.00
NUCOR CORP QTY (BOX 1E): 100.000	870346105	10/08/10	04/04/12	4,207.85	3,994.89	212.96	0.00	0.00	0.00	0.00
PWRSH FINL FPD ETF QTY (BOX 1E): 1500.000	73835X229	VARIOUS	03/09/12	26,546.67	21,578.59	4,971.08	0.00	0.00	0.00	0.00
SPDR S&P DIVIDEND ETF QTY (BOX 1E): 100.000	78464A763	03/24/10	04/10/12	5,478.87	4,903.53	575.34	0.00	0.00	0.00	0.00
TOTAL LONG-TERM NON-COVERED ⁴				48,802.19	44,227.11	4,575.08	0.00	0.00	0.00	0.00
TOTAL SALE TRADES OR EXCHANGES (BOX 2A)				336,677.56	314,699.33	21,978.23	0.00	0.00	0.00	0.00

¹ These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislation passed by Congress on October 3, 2008. Therefore the cost basis, acquisition data, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2012 1099 Tax Guide online for more information on covered securities.

² Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

³ The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

⁴ Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2012 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units by over 1 year are disposed. Please refer to the 2012 WFTT Guide online for details.

1099-

Account Number: 623-1107

DETAILS - PRINCIPAL PAYMENTS FROM NON-MORTGAGE WIDELY HELD FIXED INVESTMENT TRUSTS

The issuers for the payments below include Unit Investment Trusts, Holding Company Depositary Receipts Trusts, Commodity Trusts and Royalty Trusts, which are treated as grantor trusts and fall under WHTIT regulations, as defined by § 1.671-5. The information provided to you in this section was obtained from the issuer, its trustee or an agent of the issuer. Some or all of a distribution paid as late as March 2013 may be recognized as income for the 2012 tax year.

With these trusts, sales are reported by when the trust sells the assets within its portfolio. In some cases, proceeds from these sales were used to pay the trust's expenses, which may have resulted in no distributions paid to you. Expenses and other adjustments associated with these assets can be found in the Non-Federally Reported Items section, which may be deductible on your tax return on Schedules A or E (if applicable) or may impact your cost basis on Schedule D. Please review this information with your tax advisor.

SECURITY DESCRIPTION (BOX 9)	CUSIP	DATE ¹ (BOX 1A)	PROCEEDS AMOUNT (BOX 2)	TRANSACTION TYPE	COST BASIS ALLOCATION FACTOR ² (IF APPLICABLE)
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	02/29/12	3.30	PRINCIPAL	0.0002198
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	03/30/12	3.49	PRINCIPAL	0.000213
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	04/30/12	3.30	PRINCIPAL	0.000203
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	05/31/12	3.32	PRINCIPAL	0.000212
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	06/29/12	3.16	PRINCIPAL	0.000203
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	07/31/12	3.27	PRINCIPAL	0.000211
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	08/31/12	3.28	PRINCIPAL	0.000198
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	09/29/12	3.36	PRINCIPAL	0.000193
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	10/31/12	3.56	PRINCIPAL	0.000213
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	11/30/12	3.38	PRINCIPAL	0.000201
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	12/31/12	3.45	PRINCIPAL	0.000210
TOTAL PRINCIPAL PAYMENTS FROM NON-MORTGAGE WIDELY HELD FIXED INVESTMENT TRUSTS (BOX 2A)			36.87	40.00	

¹ Date:² Cost Basis Allocation Factor:

Proceeds from WHTITs are reportable by when they are received by the trust, regardless of when they are paid to you.

The factor represents the ratio of assets sold divided by the total net asset value of the trust, and tells you what portion of your current basis in the trust is to be applied to the proceeds for capital gains reporting.

The factor allows you to calculate your new adjusted basis. Under § 1.671-5 (f) (1) (E) the factor is calculated as follows:

NON-FEDERALLY REPORTED ITEMS SECTION **DETAILS - NON-FEDERALLY REPORTED ITEMS**

Account Number: 1 1107

A Form Schedule K-1 should be mailed to you directly from the General Partner, reporting your pro rata share of the partnership's taxable income. Although the partnership generally is not subject to income tax, you are liable for tax on your share of partnership income, whether or not distributed. Please use the Schedule K-1 to include your share of income on your tax return. The information on this statement only represents cash distributions you received from the partnership, but is not classified as taxable or dividend income, and is instead considered a return of capital (adjustment to your basis).

This section lists non-federally reported items, which may be useful when preparing your tax return. These items may or may not be taxable at the state or local level. Please review this information with your tax advisor.

If you have OID shortfall listed, this calculation is based on the period you held these assets with LPL Financial during tax year 2012. Please consult with your tax professional to determine if an adjustment may be required.

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	OPTION PREMIUM	COLLECTIBLES ALLOCATION FACTOR (CAF)	NFR BOX
ENERGY TRANSFR PRTRNS LP	28273R109	05/15/12	PARTNERSHIP DIST	250.000	0.00	223.44			8
ENERGY TRANSFR PRTRNS LP	28273R109	08/14/12	PARTNERSHIP DIST	250.000	0.00	223.44			8
ENERGY TRANSFR PRTRNS LP	28273R109	11/15/12	PARTNERSHIP DIST	250.000	0.00	223.44			8
SUBTOTAL						670.32			
ISHS GOLD TRUST ETF	464285105	02/29/12	INV EXP-PROCEEDS	1,000.000	0.00	3.30			7
ISHS GOLD TRUST ETF	464285105	03/30/12	INV EXP-PROCEEDS	1,000.000	0.00	3.49			7
ISHS GOLD TRUST ETF	464285105	04/30/12	INV EXP-PROCEEDS	1,000.000	0.00	3.30			7
ISHS GOLD TRUST ETF	464285105	05/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.32			7
ISHS GOLD TRUST ETF	464285105	06/29/12	INV EXP-PROCEEDS	1,000.000	0.00	3.16			7
ISHS GOLD TRUST ETF	464285105	07/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.27			7
ISHS GOLD TRUST ETF	464285105	08/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.28			7
ISHS GOLD TRUST ETF	464285105	09/28/12	INV EXP-PROCEEDS	1,000.000	0.00	3.36			7
ISHS GOLD TRUST ETF	464285105	10/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.56			7
ISHS GOLD TRUST ETF	464285105	11/30/12	INV EXP-PROCEEDS	1,000.000	0.00	3.38			7
ISHS GOLD TRUST ETF	464285105	12/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.45			7
SUBTOTAL						36.87			
LINN ENERGY LLC UNIT	536020100	02/14/12	PARTNERSHIP DIST	200.000	0.00	136.00			8
LINN ENERGY LLC UNIT	536020100	05/16/12	PARTNERSHIP DIST	200.000	0.00	146.00			8
LINN ENERGY LLC UNIT	536020100	08/14/12	PARTNERSHIP DIST	200.000	0.00	145.00			8

NON-FEDERALLY REPORTED ITEMS ACTION, continued

Account Number: 107

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	OPTION PREMIUM	COLLECTIBLES ALLOCATION FACTOR (CAF)	NFR BOX
LINN ENERGY LLC UNIT	638020100	11/14/12	PARTNERSHIP DIST	200.000	0.00	145.00			8
SUBTOTAL						573.00			
MARKWEST ENERGY PARTNRS LP	570759100	02/14/12	PARTNERSHIP DIST	250.000	0.00	190.00			8
MARKWEST ENERGY PARTNRS LP	570759100	05/15/12	PARTNERSHIP DIST	250.000	0.00	197.50			8
MARKWEST ENERGY PARTNRS LP	570759100	08/14/12	PARTNERSHIP DIST	250.000	0.00	200.00			8
MARKWEST ENERGY PARTNRS LP	570759100	11/14/12	PARTNERSHIP DIST	250.000	0.00	202.50			8
SUBTOTAL						790.00			
ADVISORY FEE	MANGT FEES	03/09/12	MGT ACCT FEES	0.000	0.00	799.36			7
ADVISORY FEE	MANGT FEES	06/08/12	MGT ACCT FEES	0.000	0.00	435.65			7
ADVISORY FEE	MANGT FEES	08/10/12	MGT ACCT FEES	0.000	0.00	570.46			7
ADVISORY FEE	MANGT FEES	12/07/12	MGT ACCT FEES	0.000	0.00	487.00			7
SUBTOTAL						2,291.47			
PURCHASES									
ALPS ALERIAN MLP ETF	00162Q868	01/25/12	PURCHASE	1,000.000	16.86	16,879.90			11
AMERICAN REALTY CAP TR	02917L101	03/07/12	PURCHASE	1,000.000	10.50	10,500.00			11
APPLE INC	037839100	10/02/12	PURCHASE	25.000	659.26	16,481.50			11
BOE INC NEW	065348760	03/09/12	PURCHASE	250.000	41.82	10,454.98			11
CABOT OIL & GAS CORP	127097103	01/11/12	PURCHASE	100.000	69.90	6,989.99			11
CONOCOPHILLIPS	20825C104	01/09/12	PURCHASE	200.000	72.74	14,547.98			11
ENERGY TRANSFR PARTNRS LP	28273R109	02/16/12	PURCHASE	202.000	48.66	9,829.30			11
ENERGY TRANSFR PARTNRS LP	28273R109	02/16/12	PURCHASE	48.000	48.66	2,335.44			11
SUBTOTAL						12,164.74			
ISHS GOLD TRUST ETF	464286105	01/27/12	PURCHASE	600.000	16.91	10,144.82			11
ISHS GOLD TRUST ETF	464286105	01/27/12	PURCHASE	400.000	16.91	6,764.00			11
SUBTOTAL						16,908.82			

NON-FEDERALLY REPORTED ITEMS - SECTION, continued

Account Number: 6-1107

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	OPTION PREMIUM	COLLECTIBLES ALLOCATION FACTOR (CAF)	NFR BOX
KELLOGG COMPANY	487836106	03/09/12	PURCHASE	250.000	52.42	13,104.75			11
MKT VCTR MFG REIT ETF	57060J324	01/20/12	PURCHASE	500.000	24.18	12,089.95			11
MKT VCTR MFG REIT ETF	57060J324	01/20/12	PURCHASE	500.000	24.20	12,100.00			11
SUBTOTAL						24,189.95			
MKT VCTR PFD EX FINL ETF	57061R781	08/08/12	PURCHASE	366.000	20.56	8,121.20			11
MCDONALDS CORP	590135101	08/09/12	PURCHASE	100.000	87.71	8,771.40			11
PHILIP MORRIS INTL INC	718172109	01/08/12	PURCHASE	100.000	77.04	7,703.80			11
PROSH ULTST 20+ TREES ETF	74347R287	06/30/12	PURCHASE	500.000	15.80	7,899.50			11
SANDRIDGE ENERGY INC	80007P307	01/05/12	PURCHASE	1,000.000	8.69	8,689.80			11
SANDRIDGE ENERGY INC	80007P307	02/03/12	PURCHASE	500.000	7.24	3,619.95			11
SANDRIDGE ENERGY INC	80007P307	06/30/12	PURCHASE	500.000	6.26	3,129.85			11
SUBTOTAL						15,439.80			
UNILEVER N V NY SHS NEW	904784709	01/11/12	PURCHASE	250.000	32.82	8,204.88			11
VNGRD MEGA CP 300 GR ETF	921810816	10/17/12	PURCHASE	200.000	57.22	11,444.50			11
WSDMTF DIV EX FINLS ETF	97717W406	10/17/12	PURCHASE	200.000	57.50	11,499.80			11
NXP SEMICONDUCTORS N V	N6598X109	05/18/12	PURCHASE	500.000	19.62	9,809.00			11

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1099-L, continued

Account Number: 1 1930

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 6)	CUSIP (BOX 10)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS ¹	WASH SALE LOSS DISALLOWED ² (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF) ³
COVERED (BOX 8B): FORM 8849 (BOX A) WITH BASIS REPORTED TO THE IRS, continued										
SCHLUMBERGER LTD QTY (BOX 1E): 100.000	808857108	08/30/11	07/31/12	7,182.83	6,035.70	1,127.23	0.00	0.00	0.00	0.00
UTILS SEL SECT SPDR ETF QTY (BOX 1E): 500.000	813697886	08/08/11	08/20/12	18,460.83	16,449.00	2,011.83	0.00	0.00	0.00	0.00
TITANIUM METALS CORP NEW QTY (BOX 1E): 100.000	888338207	08/27/12	09/25/12	1,284.19	1,074.70	219.49	0.00	0.00	0.00	0.00
TITANIUM METALS CORP NEW QTY (BOX 1E): 400.000	888338207	08/27/12	09/25/12	5,176.28	4,288.80	877.48	0.00	0.00	0.00	0.00
TOTAL SHORT-TERM COVERED ⁴				154,247.02	161,377.07	2,869.85	0.00	0.00	0.00	0.00
NON-COVERED (BOX 8A): FORM 8849 (BOX B) WITH BASIS NOT REPORTED TO THE IRS										
CORANO ENERGY LLC QTY (BOX 1E): 250.000	217202100	08/16/11	05/10/12	8,179.84	8,286.83	(106.99)	0.00	0.00	0.00	0.00
TOTAL SHORT-TERM NON-COVERED ⁴				8,179.84	8,286.83	(106.99)	0.00	0.00	0.00	0.00
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
COVERED (BOX 8B): FORM 8849 (BOX A) WITH BASIS REPORTED TO THE IRS										
PPL CORP QTY (BOX 1E): 500.000	683817106	08/16/11	08/30/12	14,681.02	13,384.00	1,297.02	0.00	0.00	0.00	0.00
TOTAL LONG-TERM COVERED ⁴				14,681.02	13,384.00	1,297.02	0.00	0.00	0.00	0.00
NON-COVERED (BOX 8A): FORM 8849 (BOX B) WITH BASIS NOT REPORTED TO THE IRS										
ISHS CANADA INDX ETF QTY (BOX 1E): 300.000	464286509	VARIOUS	07/08/12	8,082.88	8,011.88	40.80	0.00	0.00	0.00	0.00
TOTAL LONG-TERM NON-COVERED ⁴				8,082.88	8,011.88	40.80	0.00	0.00	0.00	0.00

¹ These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislation passed by Congress on October 3, 2008. Therefore the cost basis, acquisition data, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2012 1099 Tax Guide online for more information on covered securities.

² Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

³ The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

⁴ Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UBT grantor trusts you sold in 2012 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when held over 1 year are disposed. Please refer to the 2012 WFFIT Guide online for details.

1099, continued

Account Number: 10930

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)		COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS ²		WASH SALE LOSS DISALLOWED ³ (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF) ⁴

NON-COVERED¹ (BOX 8A): FORM 8849 (BOX B) WITH BASIS NOT REPORTED TO THE IRS, continued

TOTAL SALE TRADES OR EXCHANGES (BOX 2A)				185,160.58		181,028.78	4,130.78	0.00	0.00		0.00	
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¹ These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislation passed by Congress on October 3, 2008. Therefore the cost basis, acquisition data, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2012 1099 Tax Guide online for more information on covered securities.

² Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

³ The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

⁴ Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UBT grantor trusts you sold in 2012 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2012 WFTT Guide online for details.

1099.

Account Number: 1 0930

DETAILS - PRINCIPAL PAYMENTS FROM NON-MORTGAGE WIDELY HELD FIXED INVESTMENT TRUSTS

The issuers for the payments below include Unit Investment Trusts, Holding Company Depositary Receipts Trusts, Commodity Trusts and Royalty Trusts, which are treated as grantor trusts and fall under WHFIT regulations, as defined by § 1.671-5. The information provided to you in this section was obtained from the issuer, its trustee or an agent of the issuer. Some or all of a distribution paid as late as March 2013 may be recognized as income for the 2012 tax year.

With these trusts, sales are reported by when the trust sells the assets within its portfolio. In some cases, proceeds from these sales were used to pay the trust's expenses, which may have resulted in no distributions paid to you. Expenses and other adjustments associated with these assets can be found in the Non-Federally Reported Items section, which may be deductible on your tax return on Schedules A or E (if applicable) or may impact your cost basis on Schedule D. Please review this information with your tax advisor.

SECURITY DESCRIPTION (BOX 6)	CUSIP	DATE ¹ (BOX 1A)	PROCEEDS AMOUNT (BOX 2)	TRANSACTION TYPE	COST BASIS ALLOCATION FACTOR ² (IF APPLICABLE)
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	01/31/12	3.33	PRINCIPAL	0.000196
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	02/29/12	3.30	PRINCIPAL	0.000198
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	03/30/12	3.49	PRINCIPAL	0.000213
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	04/30/12	3.30	PRINCIPAL	0.000203
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	05/31/12	3.32	PRINCIPAL	0.000212
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	06/29/12	3.16	PRINCIPAL	0.000203
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	07/31/12	3.27	PRINCIPAL	0.000211
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	08/31/12	3.28	PRINCIPAL	0.000198
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	09/28/12	3.36	PRINCIPAL	0.000183
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	10/31/12	3.56	PRINCIPAL	0.000213
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	11/30/12	3.38	PRINCIPAL	0.000201
ISHS GOLD TRUST ETF QTY (BOX 1E): 1000.000	464285105	12/31/12	3.45	PRINCIPAL	0.000210

TOTAL PRINCIPAL PAYMENTS FROM NON-MORTGAGE WIDELY HELD FIXED INVESTMENT TRUSTS (BOX 2A) 40.20

60.00

¹ Date:² Cost Basis Allocation Factor:Proceeds from WHFITs are reportable by when they are received by the trust, regard¹ if when they are paid to you.

This factor represents the ratio of assets sold divided by the total net asset value of l

4, and tells you what portion of your current basis in the trust is to be applied to the proceeds for gain.

This factor allows you to calculate your new adjusted basis. Under § 1.671-5, LPL Financial is required to provide you this ratio for your adjusted basis calculation

Reporting.

Account Number: 10930

This section lists non-federally reported items, which may be useful when preparing your tax return. These items may or may not be taxable at the state or local level. Please review this information with your tax advisor.

If you have OID shortfall listed, this calculation is based on the period you held these assets with LPL Financial during tax year 2012. Please consult with your tax professional to determine if an adjustment may be required.

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES	
							OPTION PREMIUM	ALLOCATION FACTOR (CAF)
COPANO ENERGY LLC	217202100	02/08/12	PARTNERSHIP DIST	250.000	0.00	143.75		8
COPANO ENERGY LLC	217202100	06/10/12	PARTNERSHIP DIST	250.000	0.00	143.75		8
SUBTOTAL						287.50		
ISHS GOLD TRUST	ETF	01/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.33		7
ISHS GOLD TRUST	ETF	02/28/12	INV EXP-PROCEEDS	1,000.000	0.00	3.30		7
ISHS GOLD TRUST	ETF	03/30/12	INV EXP-PROCEEDS	1,000.000	0.00	3.49		7
ISHS GOLD TRUST	ETF	04/30/12	INV EXP-PROCEEDS	1,000.000	0.00	3.30		7
ISHS GOLD TRUST	ETF	05/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.32		7
ISHS GOLD TRUST	ETF	06/28/12	INV EXP-PROCEEDS	1,000.000	0.00	3.16		7
ISHS GOLD TRUST	ETF	07/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.27		7
ISHS GOLD TRUST	ETF	08/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.28		7
ISHS GOLD TRUST	ETF	09/28/12	INV EXP-PROCEEDS	1,000.000	0.00	3.36		7
ISHS GOLD TRUST	ETF	10/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.56		7
ISHS GOLD TRUST	ETF	11/30/12	INV EXP-PROCEEDS	1,000.000	0.00	3.38		7
ISHS GOLD TRUST	ETF	12/31/12	INV EXP-PROCEEDS	1,000.000	0.00	3.45		7
SUBTOTAL						40.20		
KINDER MORGAN ENERGY	484550106	02/14/12	PARTNERSHIP DIST	200.000	0.00	232.00		8
KINDER MORGAN ENERGY	484550106	05/15/12	PARTNERSHIP DIST	200.000	0.00	240.00		8
KINDER MORGAN ENERGY	484550106	08/14/12	PARTNERSHIP DIST	200.000	0.00	246.00		8

NON-FEDERALLY REPORTED ITEMS - SECTION, continued

Account Number: 10930

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	OPTION PREMIUM	COLLECTIBLES ALLOCATION FACTOR (CAF)	NFR BOX
KINDER MORGAN ENERGY SUBTOTAL	484550106	11/14/12	PARTNERSHIP DIST	200.000	0.00	252.00 970.00			8
LINN ENERGY LLC UNIT	536020100	02/14/12	PARTNERSHIP DIST	200.000	0.00	138.00			8
LINN ENERGY LLC UNIT	536020100	05/15/12	PARTNERSHIP DIST	200.000	0.00	145.00			8
LINN ENERGY LLC UNIT	536020100	08/14/12	PARTNERSHIP DIST	200.000	0.00	145.00			8
LINN ENERGY LLC UNIT	536020100	11/14/12	PARTNERSHIP DIST	200.000	0.00	145.00			8
SUBTOTAL						573.00			
ADVISORY FEE			MANGT FEES 03/08/12 MGT ACCT FEES	0.000	0.00	546.50			7
ADVISORY FEE			MANGT FEES 08/08/12 MGT ACCT FEES	0.000	0.00	522.71			7
ADVISORY FEE			MANGT FEES 09/10/12 MGT ACCT FEES	0.000	0.00	544.13			7
ADVISORY FEE			MANGT FEES 12/07/12 MGT ACCT FEES	0.000	0.00	456.15			7
SUBTOTAL						2,069.40			
PURCHASES									
ALPS ALERIAN MLP ETF	001620866	11/28/12	PURCHASE	1,000.000	16.32	16,316.50			11
AT&T INC	002066102	01/09/12	PURCHASE	250.000	28.65	7,411.50			11
BRCL Y S&P 500 VIX ETN	08740C261	07/31/12	PURCHASE	1,000.000	13.40	13,398.00			11
BRCL Y S&P 500 VIX ETN	08740C261	08/07/12	PURCHASE	500.000	12.07	6,034.50			11
BRCL Y S&P 500 VIX ETN	08740C261	08/15/12	PURCHASE	1,000.000	11.73	11,729.00			11
SUBTOTAL						31,162.50			
DUNKIN BRANDS GRP INC	285504100	08/27/12	PURCHASE	100.000	34.57	3,456.72			11
DUNKIN BRANDS GRP INC	285504100	08/27/12	PURCHASE	150.000	34.57	5,185.20			11
SUBTOTAL						8,641.92			
FT MSTAR DIVIDRS ETF	338917109	01/08/12	PURCHASE	1,000.000	17.37	17,369.80			11
ISHS GOLD TRUST ETF	484285105	01/25/12	PURCHASE	1,000.000	16.50	16,499.80			11
MKT VCTR MTG REIT ETF	57060J324	02/02/12	PURCHASE	1,000.000	24.89	24,889.80			11

NON FEDERALLY REPORTED ITEMS **SECTION, continued** Account Number: ...-40930 **DETAILS - NON-FEDERALLY REPORTED ITEMS**

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	OPTION PREMIUM	COLLECTIBLES ALLOCATION FACTOR (CAF)	NFR BOX
<u>PROSHULTST 20+ TREES ETF</u>	<u>74347R297</u>	<u>06/30/12</u>	<u>PURCHASE</u>	<u>500.000</u>	<u>15.80</u>	<u>7,990.50</u>			<u>11</u>
TITANIUM METALS CORP NEW	888339207	06/27/12	PURCHASE	500.000	10.75	5,375.50			11
VNGRD MEGA CP 300 GR ETF	921910816	05/10/12	PURCHASE	300.000	53.49	16,045.89			11
VERIZON COMMS INC	92343V104	01/06/12	PURCHASE	250.000	38.28	9,572.48			11
WSDMTR DIV EX FINLS ETF	97717W406	07/17/12	PURCHASE	500.000	54.30	27,149.85			11