

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

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FOR FILING
ILLEGIBLE

Stephen Ira Cohen

(Full Name)

202-225-3265

(Daytime Telephone)

U.S.H.

2011 MAY 26 PM 12:48

(Office Use Only)

Filer Status ☒ Member of the U.S. House of Representatives State: TN District: 09

☐ Officer Or Employee Employing Office:

Report Type ☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | | |
|--|---|--|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$336 and not otherwise exempt)? If yes, complete and attach Schedule VI. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response. | |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | |
|--|---|
| Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name **Stephen Ira Cohen**

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| BLOCK A | BLOCK B | BLOCK C | BLOCK D | BLOCK E |
|--|--|---|--|--|
| Asset and/or Income Source | Year-End Value of Asset | Type of Income | Amount of Income | Transaction |
| <p>(Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p> | <p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p> | <p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p> | <p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p> | <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p> |
| AMGEN INC | \$15,001 - \$50,000 | NONE | \$0 | |
| AMR CORP | \$1,001 - \$15,000 | NONE | \$0 | |
| AT&T INC | \$15,001 - \$50,000 | INTEREST/ DIVIDENDS | \$2,501 - \$5,000 | |
| BARRICK GOLD CORP CAD | \$15,001 - \$50,000 | DIVIDEND | \$1 - \$200 | |
| BERKSHIRE HATHAWAY INC CL | \$50,001 - \$100,000 | NONE | \$0 | |
| BOEING CO | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

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|--|----------------------|-----------|-------------------|--------------------|
| BOEING CO (ROTH IRA) | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| CALVERT INCOME FUND | \$1,001 - \$15,000 | NONE | \$0 | |
| CARNIVAL CORP | \$1,001 - \$15,000 | NONE | \$0 | |
| CHEVRON CORP | \$15,001 - \$50,000 | DIVIDENDS | \$1,001 - \$2,500 | P (DIV REINVESTED) |
| CITIBANK NA BANK DEPOSIT PROGRAM (ROTH IRA) | \$15,001 - \$50,000 | INTEREST | \$1 - \$200 | |
| CITIBANK NA SOUTH DAKOTA BANK ACCOUNT | \$50,001 - \$100,000 | INTEREST | \$201 - \$1,000 | |
| CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM | \$15,001 - \$50,000 | INTEREST | \$201 - \$1,000 | |
| CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM (ROTH IRA) | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |
| COCA-COLA CO | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | P (DIV REINVESTED) |
| COHEN & STEERS QUALITY INCOME REALTY FUND | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | P (DIV REINVESTED) |
| COHEN AND STEERS TOTAL RETURN REALTY FD INC | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| COMCAST CORP CL A | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| COMMERCIAL BANK & TRUST ACCOUNT | \$15,001 - \$50,000 | INTEREST | \$201 - \$1,000 | |

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

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|--|-----------------------|-----------|-------------------|--------------------|
| COVENTRY HEALTH CARE | \$15,001 - \$50,000 | NONE | \$0 | |
| DAIMLER AG | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | |
| DEVELOPERS DIVERSIFIED RLTY CP CORP | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| DIRECTV CL A | \$1,001 - \$15,000 | NONE | \$0 | |
| DUKE ENERGY CORP | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| ELI LILLY & CO | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | P (DIV REINVESTED) |
| EXXON MOBIL CORP | \$50,001 - \$100,000 | DIVIDENDS | \$1,001 - \$2,500 | |
| FEDEX CORP | \$50,001 - \$100,000 | DIVIDENDS | \$201 - \$1,000 | |
| FIDELITY CONTRAFUND | \$1,001 - \$15,000 | NONE | \$0 | |
| FIDELITY MAGELLAN FUND | \$50,001 - \$100,000 | NONE | \$0 | |
| FIDELITY OTC PORTFOLIO | \$1,001 - \$15,000 | NONE | \$0 | |
| FIDELITY PURITAN FUND | \$100,001 - \$250,000 | NONE | \$0 | |
| FIDELITY RETIREMENT GOVT MONEY MARKET FUND | \$15,001 - \$50,000 | NONE | \$0 | |
| FIRST HORIZON NATL CORP | \$50,001 - \$100,000 | NONE | \$0 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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|-----------------------|----------------------|---------------|-------------------|--------------------|
| FLUOR CORP | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | |
| GENERAL ELECTRIC CO | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | |
| GENERAL MOTORS | None | CAPITAL GAINS | \$201 - \$1,000 | S |
| GLAXOKLINE PLC SP ADR | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | |
| GOLD BULLION | \$15,001 - \$50,000 | NONE | \$0 | |
| HCP INC | \$50,001 - \$100,000 | DIVIDENDS | \$2,501 - \$5,000 | P (DIV REINVESTED) |

| | | | | |
|-----------------------------|-----------------------|-----------|-------------------|--------------------|
| HOST HOTELS & RESORTS INC | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | P |
| INGRAM MICRO INC CLASS A | \$1,001 - \$15,000 | NONE | \$0 | |
| INTL BUSINESS MACHINES CORP | \$100,001 - \$250,000 | DIVIDENDS | \$1,001 - \$2,500 | P (DIV REINVESTED) |

| | | | | |
|---|---------------------|---------------|--------------------|---|
| MARRIOTT INTL INC NEW CL A | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 | |
| MASSEY ENERGY COMPANY | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 | |
| MERCK & CO INC NEW (formerly Schering Plough) | \$1,001 - \$15,000 | CAPITAL GAINS | \$5,001 - \$15,000 | E |
| NATIONAL SEMICONDUCTOR CORP | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | |
| NORTHROP GRUMMAN CORP | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

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|----------------------|---------------------|-----------|-------------------|--------------------|
| PEPSICO INC | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| PFIZER INC | \$15,001 - \$50,000 | DIVIDENDS | \$1,001 - \$2,500 | |
| RAYTHEON COMPANY NEW | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | P (DIV REINVESTED) |

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|------------------------|---------------------|-----------|-----------------|--------------------|
| REGIONS FINANCIAL CORP | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |
| SCHLUMBERGER LTD | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | P (DIV REINVESTED) |

| | | | | |
|--|---------------------|----------|-----------------|--|
| SHELBY CO TN HLTH EDL&HSG FACS BRD REV RFDG ST JUDES CHILDS RESH HSP | \$15,001 - \$50,000 | INTEREST | \$201 - \$1,000 | |
|--|---------------------|----------|-----------------|--|

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|--------------------|--------------------|-----------|-------------|--------------------|
| SONY CORP SPON ADR | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | P (DIV REINVESTED) |
|--------------------|--------------------|-----------|-------------|--------------------|

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|--|----------------------|-----------|-------------|---|
| SPECTRA ENERGY CORP | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| TALBOTS | \$1,001 - \$15,000 | NONE | \$0 | |
| TENNESSEE HSG DEV AGY FIN PROG-SER A-1 | \$15,001 - \$50,000 | NONE | \$0 | P |
| TENNESSEE HSG DEV AGY HSG FIN PROG-SER A-1 | \$15,001 - \$50,000 | NONE | \$0 | P |
| TENNESSEE HSG DEV AGY HSG FIN PROG-SER A-1 | \$50,001 - \$100,000 | NONE | \$0 | P |
| TENNESSEE HSG DEV AGY HSG FIN PROG-SER A-1 | \$15,001 - \$50,000 | NONE | \$0 | P |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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|--|---|----------------------|-----------|-------------------|--------------------|
| | TIM HORTONS INC | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | P |
| | TIME WARNER CABLE INC | \$1,001 - \$15,000 | NONE | \$0 | |
| | TIME WARNER INC | \$1,001 - \$15,000 | DIVIDENDS | \$1,001 - \$2,500 | P (DIV REINVESTED) |
| | TIVO INC | \$1,001 - \$15,000 | NONE | \$0 | |
| | TRANSOCEAN LTD SWITZERLAND | \$1,001 - \$15,000 | NONE | \$0 | |
| | UNITED STATES STEEL CORP NEW | \$50,001 - \$100,000 | DIVIDENDS | \$201 - \$1,000 | |
| | WALT DISNEY CO | \$15,001 - \$50,000 | DIVIDENDS | \$1 - \$200 | P (DIV REINVESTED) |
| | WESTERN UNION COMPANY (THE) | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| | WEYERHAEUSER CO | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | P (DIV REINVESTED) |
| | WORLD WRESTLING ENTERTAINMENT INC CLASS A | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |

SCHEDULE IV - TRANSACTIONS

Name Stephen Ira Cohen

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------|--|---------------------|----------------------------------|-----------|-----------------------|
| | CHEVRON CORP | P | N/A | QUARTERLY | \$1,001 - \$15,000 |
| | EXXON MOBIL CORP | P | N/A | QUARTERLY | \$1,001 - \$15,000 |
| | HCP INC | P | N/A | QUARTERLY | \$1,001 - \$15,000 |
| | SCHERING PLOUGH exchanged for MERCK stock | E | N/A | 11-5-09 | \$1,001 - \$15,000 |
| | TENNESSEE HSG DEV AGY HSG FIN PROG-SER A-1 | P | N/A | 12-04-09 | \$15,001 - \$50,000 |
| | TENNESSEE HSG DEV AGY HSG FIN PROG-SER A-1 | P | N/A | 12-04-09 | \$50,001 - \$100,000 |
| | TENNESSEE HSG DEV AGY HSG FIN PROG-SER A-1 | P | N/A | 12-04-09 | \$15,001 - \$50,000 |
| | TENNESSEE HSG DEV AGY HSG FIN PROG-SER A-1 | P | N/A | 12-04-09 | \$15,001 - \$50,000 |
| | TIM HORTONS INC | P | N/A | 9-28-09 | \$15,001 - \$50,000 |

SCHEDULE VII - POSITIONS

Name Stephen Ira Cohen

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position | Name of Organization |
|--------------|-------------------------|
| Board Member | Circuit Playhouse, Inc. |