

# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Mr. Steven A. Horsford

Status: Member State/District: NV04

#### FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2013

**Filing Date:** 05/14/2014

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owne	r Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Amana Mutual Funds Trust Income	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<b>▽</b>
American Century Heritage Cl A	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<b>▽</b>
Facebook, Inc Class A (FB)	JT	\$1,001 - \$15,000	None		
Fidelity Independence	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<b>▽</b>
GSV Capital Corp (GSVC)	JT	\$1,001 - \$15,000	None		<b>▽</b>
Inland American	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Ivy Asset Strategy Cl A	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<u>~</u>
Janus Forty Class A	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	
John Hancock Balanced Cl A	JT	\$1,001 - \$15,000	Capital Gains,	\$201 - \$1,000	<u>~</u>

Asset	Owner	Value of Asset	Type(s)	Income	Tx. > \$1,000?
			Dividends		
Pimco Commodity Real Return Strategy A	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<u></u>
Retail Properties of America, Inc. Class A (RPAI)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Amana Mutual Funds Trust Income	JT	08/26/2013	S	\$1,001 - \$15,000	<b>▽</b>
American Century Heritage Cl A	JT	08/26/2013	S	\$1,001 - \$15,000	<b>▽</b>
Fidelity Independence	JT	08/26/2013	S	\$1,001 - \$15,000	<b>∀</b>
GSV Capital Corp (GSVC)	JT	12/12/2013	S	\$1,001 - \$15,000	
Ivy Asset Strategy Cl A	JT	08/26/2013	S	\$1,001 - \$15,000	<b>▽</b>
John Hancock Balanced Cl A	JT	08/26/2013	S	\$1,001 - \$15,000	<b>✓</b>
Pimco Commodity Real Return Strategy A	JT	08/26/2013	S	\$1,001 - \$15,000	

# SCHEDULE C: EARNED INCOME

Source	Туре	Amount
University of Nevada Las Vegas	Spouse Salary	N/A
George Mason University	Spouse Salary	N/A
Teachers College Press	Spouse Royalties	N/A

# SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Туре	Amount of Liability
JT	Green Tree Mortgage	May 2005	Personal Residence	\$250,001 - \$500,000

SCHEDULE E: Positions

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

C Yes No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ○ No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

#### COMMENTS

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Steven A. Horsford, 05/14/2014