UNITED STATES HOUSE OF REPRESENTATIVES 2018 FINANCIAL DISCLOSURE STATEMENT	Form A  Form A  Form S  For Use by Members, Officers, and Employees  2019 JUN 19. JAN 6-20.
Name: Fred Upton	Daytime Telephone: 225-326/ A \$200 penalty shall be assessed against any individual who files more than 30 days late.
FILER Member of the U.S. State:	Officer or Employing Office: Staff Filer Type: (If Applicable) Employee Shared Principal Assistant
TYPE 2018 Annual (Due: May 15, 2019)	Amendment Termination  Date of Termination:
PRELIMINARY INFORMATION ~ ANSWER EACH OF THE	THESE QUESTIONS / Sont of the This of which I have no
A. Did you, your spouse, or your dependent child:  a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or  b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	reportable agreement or arrangement with an the reporting period or in the current calendar date of filing?
ndent child purchase, sell, or eal estate in a transaction period?	Yes No S. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes No H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes No I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes No ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"
IPO AND EXCLUSION OF SPOUSE, DEPENDENT,	, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS
IPO - Did you purchase any shares that were allocated as a part of an Initial contact the Committee on Ethics for further guidance.	part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please
TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics from this report details of such a trust that benefits you, your spouse, or dependent child?	Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded  Yes No X
<b>EXEMPTION</b> – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spous all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	ned" income, transactions, or liabilities of a spouse or your dependent child because they meet  Yes No X

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ķ	77	1.111.1	Mr.	E	57	'n		Examples:		For a detailed discussion of Schedule A requirements please refer to the instruction booklet.	If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.	If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.	Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.	For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.	For rental and other real property held for investment provide a complete address or description, e.g., "rental property," and a city and state.	For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.	401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.	Provide complete names of stocks and mutual funds: (do not use only ticker symbols).  For all IRAs and other retirement plans (such as	and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year.	Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period.	Assets and/or Income Sources	1
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SCHEDULE A – ASSETS & "UNEARNED INCOME"	& "UNEARNED INCOME"	Name: lepton	Page 17 of	13/
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																	ļ		<u></u>		\$5,000,001- \$25,000,000		I	ĭ
	,							}							-				<u> </u>		\$25,000,001- \$50,000,000		-	<b> </b>
	_	<u> </u>	_				<u> </u>				<u> </u>										Over \$50,000	3,000	<u>د</u>	
																					Over \$1,000, (Spouse/DC		<b>~</b>	] <b>]</b>

Name:

Page LL of 3/

SCHEDULE B - TRANSACTIONS

If Menter fruit

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the	Type o	Type of Transaction	3		Date				An	nount	of Trai	Amount of Transaction	ĭ		ı,	
reporting period or any security or real property read by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction.				ceeded		*	В	C	D	m	п	6	I	-	د	*
Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose 'partial sale' as the type of the procedure.				tal Gain E	(MO/DAYR) or Ouerbedy											)
Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and	se	Sale	nge	Box if Cap	Monthly, or 8i- weekly, if applicable	0			01- 00					0,001- 0,000 ————	50,000,000	1,000,000° e/DC Asse
disclose the capital gain income on Schedule A.  * Column K is for specify a class that he was a proposed on the capital and the column K is for specify and the capital and t	Purch	Partial	Excha	Check \$200		\$1,00° \$15,00	\$15,00 \$50,00	\$50,00 \$100,0	\$100,0 \$250,0	\$250,0 \$500,0	\$500,0 \$1,000	\$1,000 \$5,000	\$5,000 \$25,00	\$25,00 \$50,00	Over \$	
SP, DC, JT Asset															_	
SP Exemple Mega Corp. Stock		×		×	39/18		×									
Mortenarch Allen	×				4/2 E/A	<b>×</b>							,			
Mayor Dearle	# ×				10/10	×										
LESSES Bunk at NY/Mos	<b>u</b> ×				19/10	×						<u> </u> 				
	×				10/10/14	×			_							
ſ	×		!		19/10/18	×							-			
unispec)	×		Ì		10/11/14	×										
which	×				11/18	X						<u></u>				
Coldren Sachs	×	,			12/24/18	×									-	
Predontal Fin.	×	 		<u></u>	12/10	7						_	<u> </u>		-	<u> </u>
who pail		×			12/18/18		×								-	
Whilpsel	×	<u> </u>			12/18/18		*									
Ocean Dynamics	×				2/17/21	×										
Ross Stores	×				1413/8	×										
Links	×				12/18	×						_		 		
Oske backer	×		j		12/13/18	×										
whi pod	×				10/5/h		×									
AMG Pickt	×	-			12/28/1K	×								·	_	
	-															

Page 27 of 3/

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the	Type of T	Type of Transaction	$\dashv$	Date	ヿ			,	Amount	옥 [	Transaction	<u>ا</u> 3			
reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of finceme. Include transactions that resulted in a capital toss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose 'partial sale' as the type of transaction.			apital Gain Exceeded	(MO/DAYR) or Quarterly,	>	<b>c</b> a	c	ם	т		စ	Ξ		00 _	
Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.	Purchase 	Partial Sale		weekly, if applicable	\$1,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,	Over \$1,000,0 Spouse/DC A
SP, DC, JT  Asset						1									
SP Example Mega Corp. Stock	•	×	×	3/9/18		×									
These Transactions done															
3								,						!	
la control															
CNO FINANCIAL	×			4-914	×										
Boein	×			7-18-F	×										
Castro	×			N-18-18	×			···	<b>†</b>						
whishood	×			5-30%	×										
Carpind Corp	×			5 30/8	7.										
Rosini	×			5-30-18	×										
Disney	×			8.25.18	×								1		
General Dynamics	×			5-30-16	×		<del></del>								
Honey well	*			5-30-17	×	<del>                                     </del>									
Mursal	×			8-25-5	X										
TIX	×		 	8-2-8	×										
Neeroh Park	×			9/11/18	×										
	į					<del>- </del>							•		

### **SCHEDULE C - EARNED INCOME**

Name: Page 25 of

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2018 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$28,050. The 2019 limit is \$28,440. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.	pensated at or above the "senior staff" rate was duciary relationship) are totally prohibited.	\$28,050. The 2019 limit is \$28,440.
Source (include date of receipt for honoraria)	Туре	Amount
Keene State	Approved Teaching Fee	\$6,000
Examples: Civil War Roundipble (Oct. 2) Ontario County Board of Education	Spouse Salary	\$1,000 N/A
Westminster Prestream Church	Sporse Solar	NA

#### SCHEDULE D - LIABILITIES

Name: Page\_

period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities of week to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting \*Column K is for liabilities held solely by your spouse or dependent child.

	7		SP, DC, JT		
	J.P.	Example			
	F.P. Myor Chase	First Bank of Wilmington, DE	Creditor		
	10/10	5/16	Date Liability Incurred MO/YR		
	materse	Mortgage on Rental Property, Dover, DE	Type of Liability		
			\$10,001- \$15,000	>	
			\$15,001- \$50,000	0	
			\$50,001- \$100,000	0	
	×	×	\$100,001- \$250,000	0	
			\$250,001- \$500,000	т	moun
			\$500,001- \$1,000,000	71	Amount of Liability
			\$1,000,001- \$5,000,000	G	ability
			\$5,000,001- \$25,000,000	x	
			\$25,000,001- \$50,000,000		
			Over \$50,000,000		
			Over \$1,000,000* (Spouse/DC Liability)	~	

#### SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude**: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Position Name of Organization
Owner	unter Asstact
owns w/specs	SEU Limikt Parhaustin
This has	SEU Limited Partnership
Trake	EM LLa (Family , or westing )
Tomon b w/snocs	Une or Downs. DV LLC
Board made	•

## SCHEDULE H - TRAVEL PAYMENTS and REIMBURSEMENTS

Name:	
upto	
Page 3/ of 3/	

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$390 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

**EXCLUDE**: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

	Source	Date(s)	City of Departure-Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
	Government of China (MECEA)	Aug. 6-11	DC-Bejjing, China-DC	٧	~	Z
Examples:	Habitat for Humanity (charity fundraiser)	Mar. 3-4	DC-Boston-DC	≺	~	۲
P	American Enterprise Inst.	3/10-2/11	20 C-12 1-1/18 .5C-20	Y	~	×
		/		•	•	
R:,	Ripon Society & Transalin Courte	11/7-11/13	Grand Raids -> Paris -> DC	Y	Y	Y
		, ,		· Bio		
				in the state of th		