

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

Page 1 of 5

LEGISLATIVE RESOURCE CENTER

**HAND
DELIVERED**

LEONARD LANCE

732-248-4178

2012 JUL 10 AM 10:40

(Full Name)

(Daytime Telephone)

OFFICE USE ONLY
U.S. HOUSE OF REPRESENTATIVES

Filer Status
☒ Member of the U.S. House of Representatives
State: NJ District: 07

☐ Officer Or Employee
Employing Office:

Report Type
☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name LEONARD LANCE

Page 2 of 5

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
State of NJ	Pension	\$23,544
John Hancock Life Insurance	Spouse Retirement Pmt	N/A
American Funds Retirement Funds	Spouse Retirement Pmt	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name LEONARD LANCE

Page 3 of 5

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p>		<p>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP	American Funds Retirement Funds	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
JT	Bank of America	\$1 - \$1,000	INTEREST	\$1 - \$200	
	Bank of America Checking	\$1,001 - \$15,000	None	NONE	
	Bank of America Common Stock (BAC)	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
	Bank of America MMA	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
SP	Estate of Adele Rohrbach	\$100,001 - \$250,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

		Name LEONARD LANCE		Page 4 of 5
	Estate of Mae Anderson	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000
	Estate of Wesley Lance	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200
SP	John Hancock Venture Annuity	\$50,001 - \$100,000	DIVIDENDS	\$5,001 - \$15,000
SP	JP Morgan Chase 401k	\$500,001 - \$1,000,000	DIVIDENDS/Capital Gains	NONE
SP	JP Morgan Retirement Plan	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000
	Office Building- 36 Center St Clinton NJ - 50% ownership	\$100,001 - \$250,000	None	NONE
	Scotttrade MMA	\$15,001 - \$50,000	DIVIDENDS/INTE REST	\$1 - \$200
	UBS Financial Services	\$500,001 - \$1,000,000	DIVIDENDS	\$5,001 - \$15,000
SP	Vanguard IRA	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000
	Vanguard ROTH IRA	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000

SCHEDULE IX - AGREEMENTS

Name LEONARD LANCE

Page 5 of 5

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
12/31/09	State of NJ	Pension Annuity

Hon. Leonard Lance - NJ-07
Statement of Underlying Assets
Calendar Year 2011 Financial Disclosure Statement

Candidate - UBS Financial Services - Underlying Assets

	Asset Range	Income 2011
American Funds Euro Pacific Growth	\$50,001-\$100,000	\$1,001-\$2500
FT Templeton Foreign Sm Co A	\$15,001-\$50,000	\$201-\$1,000
FT Templeton Global Bond	\$15,001-\$50,000	\$2,501-\$5,000
Ivy Global Natural Resources Fund	\$15,001-\$50,000	\$0
JP Morgan Mid Cap Value	\$50,001-\$100,000	\$201-\$1,000
Oppenheimer Developing Markets	\$15,001-\$50,000	\$201-\$1,000
UBS Pace Intl Emerging Markets	\$1,001-\$15,000	\$1-\$200
Alliance Bernstein Growth Fund of America	\$50,001-\$100,000	\$201-\$1,000
American Funds Growth Fund of America	\$15,001-\$50,000	\$201-\$1,000
American Funds Fundamental Investors	\$50,001-\$100,000	\$1,001-\$2500
American Funds Washington Mutual Investors	\$15,001-\$50,000	\$201-\$1,000
Invesco Van Kampen Mid Growth Fund Class A	\$15,001-\$50,000	\$201-\$1,000
Keeley Small Cap Value Fund	\$15,001-\$50,000	0
Eaton Vance Large Cap Value Fund Class A	\$15,001-\$50,000	\$201-\$1,000
Total	\$500,001-\$1,000,000	\$5,001-\$15,000

Candidate - Vanguard ROTH IRA

	Asset Range	Income 2011
Vanguard Capital Opportunity Investment	\$15,001-\$50,000	\$201-\$1,000
Vanguard Emerging Markets Stock Index	\$1,001-\$15,000	\$201-\$1,000
Vanguard Energy Fund Admiral	\$50,001-\$100,000	\$1,001-\$2500
Vanguard Precious Metals and Mining	\$15,001-\$50,000	\$201-\$1,000
Vanguard Primecap Fund Investor	\$1,001-\$15,000	\$1-\$200
Vanguard Windsor II Fund Investment	\$1,001-\$15,000	\$1-\$200
Total	\$100,001-250,000	\$2,501-\$5,000

Spouse - Vanguard IRA

	Asset Range	Income 2011
Admiral Energy Fund	\$50,001-\$100,000	\$2,501-\$5,000

Spouse - John Hancock Venture Annuity

	Asset Range	Income 2011
MFC Global Investment Mgmt Lifestyle Balance	\$50,001-\$100,000	\$5,001-\$15,000

Spouse - JP MorganChase 401(k)

	Asset Range	Income 2011
JP Morgan Chase Common Stock	\$100,001-\$250,000	\$0
JPMC ST Fixed Income Fund	\$100,001-\$250,000	\$201-\$1,000
JPMC Stable Value Fund	\$50,001-\$100,000	\$1,001-\$2500
JPMC Growth and Income Fund	\$50,001-\$100,000	0
JPMC S&P 500 Index Fund	\$50,001-\$100,000	\$1,001-\$2500
JPMC Small Cap Core Fund	\$50,001-\$100,000	0
JPMC Intl Large Cap Core Fund	\$15,001-\$50,000	0
Total	\$500,001-\$1,000,000	\$ -

Hon. Leonard Lance - NJ-07
Statement of Underlying Assets - Page 2
Calendar Year 2011 Financial Disclosure Statement

<u>Spouse - American Funds Retirement Funds</u>	Asset Range	Income 2011
Fund Investment A	\$1,001-\$15,000	\$201-\$1,000
Cap World Growth & Income Fund A	\$1,001-\$15,000	\$201-\$1,000
Cap Income Builder A	\$1,001-\$15,000	\$201-\$1,000
Income Fund of America A	\$15,001-\$50,000	\$201-\$1,000
Bond Fund of America-Class A	\$50,001-\$100,000	\$1,001-\$2500
Total	\$100,001-250,000	\$2,501-\$5,000

<u>Candidate - Estate of Wesley L. Lance</u>	Candidate Share Asset Range	Income 2011
Candidate has 50% share in all underlying assets still undistributed at this time		
MetLife Inc Stock	\$1-\$1,000	\$1-\$200
Bank of America Stock	\$1,001-\$15,000	\$1-\$200
Total	\$15,001-\$50,000	\$1-\$200

<u>Candidate - Estate of Mae Anderson</u>	Candidate Share Asset Range	Income 2011
Candidate has 50% share in all underlying assets still undistributed at this time, less fees dues Executor. Candidate is not the executor nor administrator.		
DWS Mutual Fund	\$1,001-\$15,000	\$1-\$200
Dreyfus Mutual Fund	\$15,001-\$50,000	\$201-\$1,000
Putnam Mutual Fund	\$15,001-\$50,000	\$201-\$1,000
Nuveen Mutual Fund	\$1,001-\$15,000	\$201-\$1,000
ATT Common Stock	\$15,001-\$50,000	\$2,501-\$5,000
Comcast Common Stock	\$1,001-\$15,000	\$1-\$200
Qwest/CenturyLink Common Stock	\$1,001-\$15,000	\$1-\$200
Verizon Common Stock	\$1,001-\$15,000	\$201-\$1,000
Vodafone Common Stock	\$1,001-\$15,000	\$201-\$1,000
Xcel Common Stock	\$1,001-\$15,000	\$1-\$200
Frontier Communications Common Stock	\$1-\$1,000	\$1-\$200
Total	\$100,001-\$250,000	\$5,001-\$15,000

<u>Spouse - Estate of Adele Rohrbach</u>	Spouse Share Asset Range	Income 2011
Spouse has 50% share in all underlying assets still undistributed at this time, less fees dues Executor. Candidate is not the executor nor administrator.		
General Mills Stock	\$15,001-\$50,000	\$201-\$1,000
John Hancock Annuity	\$15,001-\$50,000	\$201-\$1,000
Property at 905 Mill Rd East Aurora, NY	\$50,001-\$100,000	0
Total	\$100,001-\$250,000	\$201-\$1,000