

UNITED STATES HOUSE OF REPRESENTATIVES

Periodic Transaction Report

LEGISLATIVE RESOURCE CENTER

2019 JAN 11 AM 10:20

OFFICE OF THE CLERK
J.S. HODGE OF REPRESENTATIVES

(For Official Use Only)

NAME: Rohit Khanna

X
Member of the U.S. House of Representatives
CA 17

File an original and 2 copies

Did you purchase any shares that were allocated as a part of an Initial Public Offering? ☐ Yes ☒ No

<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
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If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending.

☒ Initial Report ☐ Amendment

Date of Report Being Amended: _____

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

[illegible]

[illegible]

[illegible]

Full Asset Name		Type of Transaction	Date of Transaction	Date Modified of Transaction	Amount of Transaction											Transaction in a Spouse or Dependent Child Asset over
					A	B	C	D	E	F	G	H	I	J	K	
SP/DC/LIT	Provide full name, not ticker symbol.	Purchase	Sale	Exchange	MM/DD/YY	MM/DD/YY										
<i>Monie and Lela Ayala 2010 Irrev Trust FBO Grandchildren</i>																
DC	BMW TECHNOLOGIES, INC. CMN	X			12/07/18	01/07/19	X									
DC	BMW TECHNOLOGIES, INC. CMN		X		12/06/18	01/07/19	X									
DC	BMW TECHNOLOGIES, INC. CMN		X		12/19/18	01/07/19	X									
DC	BMW TECHNOLOGIES, INC. CMN		X		12/19/18	01/07/19	X									
DC	BMW TECHNOLOGIES, INC. CMN		X		12/11/18	01/07/19	X									
DC	BMW TECHNOLOGIES, INC. CMN		X		01/04/19	01/07/19	X									
DC	BMW TECHNOLOGIES, INC. CMN		X		12/21/18	01/07/19	X									
DC	BMW TECHNOLOGIES, INC. CMN		X		11/21/18	01/07/19	X									
DC	APPLE INC. CMN		X		01/09/19	01/07/19	X									
DC	DEVON ENERGY CORPORATION (NEW) CMN		X		12/13/18	01/07/19	X									
DC	LIONS GATE ENTERTAINMENT CORP. CMN CLASS B		X		12/19/18	01/07/19	X									
DC	WPP ENERGY, INC. CMN		X		12/13/18	01/07/19	X									
DC	UNITED PAPER SERVICE, INC. CLASS B COMMON STOCK			X	12/13/18	01/07/19	X									
DC	UNITED PAPER SERVICE, INC. CLASS B COMMON STOCK			X	12/12/18	01/07/19	X									
DC	UNITED PAPER SERVICE, INC. CLASS B COMMON STOCK			X	12/14/18	01/07/19	X									
DC	CORNING INCORPORATED CMN		X		12/12/18	01/07/19	X									
DC	CORNING INCORPORATED CMN		X		12/11/18	01/07/19	X									
DC	MOSAIC COMPANY (THE) CMN		X		12/15/18	01/07/19	X									
<i>Rita Ayala 1994 Trust</i>																
SP	HUDSON CNTY N.J. GO 4% 12/10/19 JD		X		12/24/18	01/07/19		X								
SP	TX ST PTY A BIDS. COMMON REV 5.0000% 08/01/19 FA		X		12/17/18	01/07/19		X								
SP	PENN HIGH EDU ASSIST AGCY REV 5.0000% 09/01/19 MS		X		12/17/18	01/07/19		X								
SP	METROPOLITAN WASH D.C. CAPTS REV 4% 10/01/19 MO		X		12/14/18	01/07/19		X								
SP	ILLINOIS FIN AUTH REV 6.8750% 08/15/18 FA		X		12/19/18	01/07/19		X								
SP	ATLANTA GA WTR & WASTEWR REV 5.3500% 11/01/14 MN		X		12/20/18	01/07/19		X								
SP	BUTLER CNT OHIO GO 4% 12/01/19 JD		X		12/20/18	01/07/19		X								
SP	DOVODPOINT INC. CMN		X		12/17/18	01/07/19		X								
SP	3M COMPANY CMN		X		12/17/18	01/07/19		X								
SP	FACTBOOK, INC. CMN CLASS A		X		12/17/18	01/07/19		X								
SP	CITIGROUP INC. CMN		X		12/17/18	01/07/19		X								
SP	CHERON CORPORATION CMN		X		12/17/18	01/07/19		X								
SP	HAYS CNTY TEX 0% 5% 02/15/19 FA		X		12/14/18	01/07/19		X								
SP	AT&T INC. CMN		X		12/17/18	01/07/19		X								
SP	BANK OF AMERICA CORP CMN		X		12/17/18	01/07/19		X								
SP	CLEVELAND CNTY OHIA ED. FACS A REV 5% 07/01/19 CA II		X		12/20/18	01/07/19		X								
SP	MASSACHUSETTS ST GO 4% 12/01/21 JD		X		12/19/18	01/07/19		X								
SP	LARGO TEX DB 5.0000% 02/15/19 FA		X		12/04/18	01/07/19		X								
SP	INTL BUSINESS MACHINES CORP CMN		X		12/17/18	01/07/19		X								
SP	ALPHABET INC. CMN CLASS A		X		12/17/18	01/07/19		X								
SP	MULTIBERG PA SCH DIST GO 4% 09/01/19 MS		X		12/20/18	01/07/19		X								
SP	FORT WAVERE IND REVY AUTH REV 7% 02/01/19 FA		X		12/07/18	01/07/19		X								
SP	EXCON MOBIL CORPORATION CMN		X		12/17/18	01/07/19		X								
SP	JPMORGAN CHASE & CO CMN		X		12/17/18	01/07/19		X								
SP	INTEL CORPORATION CMN		X		12/17/18	01/07/19		X								
SP	UNITED TECHNOLOGIES CORPORATO CMN		X		12/17/18	01/07/19		X								
SP	BMW TECHNOLOGIES, INC. CMN		X		12/17/18	01/07/19		X								
SP	BMW TECHNOLOGIES, INC. CMN		X		12/06/18	01/07/19		X								
SP	BMW TECHNOLOGIES, INC. CMN		X		12/10/18	01/07/19		X								
SP	EXETER TWP PA SCH DIST GO 4% 09/01/19 MS		X		12/20/18	01/07/19		X								
SP	THE KRAFT HEWZ CO CMN		X		12/17/18	01/07/19		X								
SP	ABBVIE INC CMN		X		12/17/18	01/07/19		X								
SP	DEVON ENERGY CORPORATION (NEW) CMN		X		12/13/18	01/07/19		X								
SP	BMW TECHNOLOGIES, INC. CMN		X		12/07/18	01/07/19		X								
SP	LIONS GATE ENTERTAINMENT CORP. CMN CLASS B		X		12/19/18	01/07/19		X								
SP	PRUDENTIAL FINANCIAL INC CMN		X		12/17/18	01/07/19		X								
SP	WESTERN DIGITAL CORPORATION CMN		X		12/17/18	01/07/19		X								
SP	WESTERN DIGITAL CORPORATION CMN		X		12/17/18	01/07/19		X								
SP	WETLIFE, INC. CMN		X		12/17/18	01/07/19		X								
SP	EQUINIX, INC. REIT		X		12/17/18	01/07/19		X								
SP	WPP ENERGY, INC. CMN		X		12/13/18	01/07/19		X								

[illegible]

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Full Asset Name		Type of Transaction	Date of Transaction	Date Modified of Transaction	Amount of Transaction											Transaction in a Spouse or Dependent Child Asset		
SP/DC/JT	Provide full name, not ticker symbol.	Purchase	Sale	Exchange	MM/DD/YY	MM/DD/YY	A	B	C	D	E	F	G	H	I	J	K	
SP	PROLOGIS INC CMN		X		12/17/18		01/07/19	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Transaction in a Spouse or Dependent Child Asset over \$1,000,000
SP	STROVUS FINANCIAL CORP CMN		X		12/17/18		01/07/19											
SP	VANDER B.V. CMN		X		12/17/18		01/07/19											
SP	ILLINOIS TOOL WORKS CMN		X		12/17/18		01/07/19											
SP	CORNING INCORPORATED CMN		X		12/17/18		01/07/19											
SP	EMERSON ELECTRIC CO. CMN		X		12/17/18		01/07/19											
SP	VERTEX PHARMACEUTICALS INCORPO CMN		X		12/17/18		01/07/19											
SP	CABOT OIL & GAS CORPORATION CMN		X		12/17/18		01/07/19											
SP	PHILIP MORRIS INTL INC CMN		X		12/17/18		01/07/19											
SP	IGS CORPORATION CMN CLASS B		X		12/17/18		01/07/19											
SP	A.O. SMITH CORP (DEI) CMN		X		12/17/18		01/07/19											
SP	ALBEMARLE CORP CMN		X		12/17/18		01/07/19											
SP	DOVER CORPORATION CMN		X		12/17/18		01/07/19											
SP	FREIGHTLINER INC CMN		X		12/17/18		01/07/19											
SP	CENTURYLINK INC CMN		X		12/17/18		01/07/19											
SP	SHOPIFY INC CMN CLASS A		X		12/17/18		01/07/19											
SP	FMCO CORPORATION CMN		X		12/17/18		01/07/19											
SP	NOBLE ENERGY INC CMN		X		12/17/18		01/07/19											
SP	WESTERN ALLIANCE BANKCORP CMN		X		12/17/18		01/07/19											
SP	SOUTHWEST AIRLINES CO CMN		X		12/17/18		01/07/19											
SP	LOWES COMPANIES INC CMN		X		12/17/18		01/07/19											
SP	BANK OZK CMN		X		12/17/18		01/07/19											
SP	T-MOBILE US, INC. CMN		X		12/17/18		01/07/19											
SP	LEGG MASON INC CMN		X		12/17/18		01/07/19											
SP	ENERGY, INC. CMN		X		12/17/18		01/07/19											
SP	MASTERCARD INCORPORATED CMN CLASS A		X		12/17/18		01/07/19											
SP	CONTINENTAL RESOURCES, INC. CMN		X		12/17/18		01/07/19											
SP	SEI INVESTMENTS COMPANY CMN		X		12/17/18		01/07/19											
SP	MASCO CORPORATION CMN		X		12/17/18		01/07/19											
SP	MORTARUM INDUSTRIES INC COMMON STOCK		X		12/17/18		01/07/19											
SP	VALERO ENERGY CORPORATION CMN		X		12/17/18		01/07/19											
SP	FLOWSENSE CORPORATION CMN		X		12/17/18		01/07/19											
SP	VERIZON COMMUNICATIONS, INC. CMN		X		12/17/18		01/07/19											
SP	CHARTER COMMUNICATIONS, INC. CMN		X		12/17/18		01/07/19											
SP	PHILIPS 66 CMN		X		12/17/18		01/07/19											
SP	INCYTE CORPORATION CMN		X		12/17/18		01/07/19											
SP	MONDLEIZ INTERNATIONAL, INC. CMN		X		12/17/18		01/07/19											
SP	INTUITIVE SURGICAL, INC. CMN		X		12/17/18		01/07/19											
SP	HES CORPORATION CMN		X		12/17/18		01/07/19											
SP	NEUROGENE BIOSCIENCES, INC. CMN		X		12/17/18		01/07/19											
SP	GLOBAL PAYMENTS INC. CMN		X		12/17/18		01/07/19											
SP	IGMA CORPORATION CMN		X		12/17/18		01/07/19											
SP	OCCIDENTAL PETROLEUM CORP CMN		X		12/17/18		01/07/19											
SP	AMERISOURCEBERGEN CORPORATION CMN		X		12/17/18		01/07/19											
SP	ILCO CORPORATION CMN		X		12/17/18		01/07/19											
SP	NETAP, INC. CMN		X		12/17/18		01/07/19											
SP	PACKAGING CORP OF AMERICA COMMON STOCK		X		12/17/18		01/07/19											
SP	OWENS CORNING CMN		X		12/17/18		01/07/19											
SP	HASBRO, INC. CMN		X		12/17/18		01/07/19											
SP	COMCAST CORPORATION CMN CLASS A VOTING		X		12/17/18		01/07/19											
SP	BEST BUY CO INC CMN		X		12/17/18		01/07/19											
SP	TAKE TWO INTERACTIVE SOFTWARE INC		X		12/17/18		01/07/19											
SP	CARMAX, INC. CMN		X		12/17/18		01/07/19											
SP	ARMORED INC. CMN		X		12/17/18		01/07/19											
SP	CHESTER ENERGY, INC. CMN		X		12/17/18		01/07/19											
SP	ADIENT PFC CMN		X		12/17/18		01/07/19											
SP	JAZZ PHARMACEUTICALS PLC CMN		X		12/17/18		01/07/19											
SP	TIFFANY & CO CMN		X		12/17/18		01/07/19											
SP	QUIN CORPORATION CMN		X		12/17/18		01/07/19											
SP	CARDINAL HEALTH, INC. CMN		X		12/17/18		01/07/19											

Full Asset Name		Type of Transaction	Date of Transaction	Date Modified of Transaction	Amount of Transaction										Transaction in a Spouse or Dependent Child Asset over		
SP/DC/IT	Provide full name, not ticker symbol.	Purchase	Sale	Exchange	MM/DD/YY	MM/DD/YY	A	B	C	D	E	F	G	H	I	J	K
SP	THEMNO FISHER SCIENTIFIC INC CMN		X		12/17/18	01/07/19											
SP	WYNN RESORTS, LIMITED CMN		X		12/17/18	01/07/19											
SP	ARISTA NETWORKS, INC. CMN		X		12/17/18	01/07/19											
SP	BLUEBIRD BIO INC CMN		X		12/17/18	01/07/19											
SP	TMILITE, INC. CMN		X		12/17/18	01/07/19											
Rite Aid® 2998 Trust																	
SP	ILLINOIS FIN AUTH REV REV E 8750% 08/15/18 FA		X		12/19/18	01/07/19					X						
SP	HONOLULU HAWAII CITY & CNTY GO 5.2500% 09/01/26 MS		X		12/17/18	01/07/19				X							
SP	CYAHOGA CNTY OHIO GO 5% 12/01/20 JD		X		12/18/18	01/07/19				X							
SP	PARKER CNTY TEX GO 4.2500% 02/15/21 FA		X		12/14/18	01/07/19				X							
SP	COOK CNTY IL SCH DIST NO 107 GO 3% 12/01/19 JD		X		12/14/18	01/07/19				X							
SP	HATBORO HOSHMAN PA SCH DIST GO 2% 10/15/19-CA AO		X		12/14/18	01/07/19				X							
SP	CENTRAL LAKE CNTY IL LT REV 4.0000% 05/01/19 MN		X		12/14/18	01/07/19				X							
SP	WOODBRIDGE TWP NJ GO 3% 08/15/19 FA		X		12/13/18	01/07/19				X							
SP	KING CNTY WASH SCH DIST NO 405 GO 5% 12/01/19 JD		X		12/03/18	01/07/19				X							
SP	DALHART TEX INDPT SCH DIST GO 4.0000% 02/15/19 FA		X		12/13/18	01/07/19				X							
SP	PUT/2SP @ 266 EXP 12/28/2018		X		12/26/18	01/07/19				X							
SP	SAN ANTONIO TEX GO 5% 08/01/19 FA		X		12/14/18	01/07/19				X							
SP	KANSAS ST DEPT TRAMSH HWY REV REV 5% 09/01/19 MS		X		12/03/18	01/07/19				X							
SP	N H MAIN BD BK REV 5.0000% 08/15/19 FA		X		12/14/18	01/07/19				X							
SP	PENNSYLVANIA ST GO 5.0000% 09/15/19 MS		X		12/14/18	01/07/19				X							
SP	HOUSTON TEX UTIL 3% FOM ISSU REV 5.0000% 11/15/19 MN		X		12/03/18	01/07/19				X							
SP	CONNECTICUT ST GO 4% 09/15/19 MS		X		12/17/18	01/07/19				X							
SP	MONTGOMERY CNTY PA GO 5% 05/01/19 MN		X		12/14/18	01/07/19				X							
SP	LAREDO TEX DB 5.0000% 02/15/19 FA		X		12/04/18	01/07/19				X							
SP	PUT/2SP @ 268 EXP 12/21/2018		X		12/18/18	01/07/19				X							
SP	HAYS CNTY TEX DB 5% 02/15/19 FA		X		12/14/18	01/07/19				X							
SP	COLLIN CNTY TEX GO 4% 02/15/19 FA		X		12/14/18	01/07/19				X							
SP	SAN JACINTO TEX CMNTY COLLEGE GO 4% 02/15/19 FA		X		12/03/18	01/07/19				X							
SP	DELAWARE CNTY PA AUTH UNIV REV REV 5% 12/01/20 JD		X		12/14/18	01/07/19				X							
SP	ARLINGTON TEX INDPT SCH DIST GO 5% 02/15/19 FA		X		12/14/18	01/07/19				X							
SP	BERKSHIRE HATHAWAY INC. CLASS B		X		12/19/18	01/07/19				X							
SP	A181 INC. CMN		X		12/19/18	01/07/19				X							
SP	THE HOME DEPOT, INC. CMN		X		12/19/18	01/07/19				X							
SP	FACEBOOK, INC. CMN CLASS A		X		12/18/18	01/07/19				X							
SP	PLAINS GP HOLDINGS, L.P. CMN		X		12/18/18	01/07/19				X							
SP	CITIGROUP INC. CMN		X		12/18/18	01/07/19				X							
SP	IMPULP CMN		X		12/18/18	01/07/19				X							
SP	HUNTSVILLE ALA DB 5% 09/01/19 MS		X		12/07/18	01/07/19				X							
SP	DOWNDUPONT INC. CMN		X		12/19/18	01/07/19				X							
SP	CHEVRON CORPORATION CMN		X		12/19/18	01/07/19				X							
SP	PERSCO, INC. CMN		X		12/19/18	01/07/19				X							
SP	WALT DISNEY COMPANY (THE) CMN		X		12/19/18	01/07/19				X							
SP	ADOBE INC. CMN		X		12/19/18	01/07/19				X							
SP	PAYPAL HOLDINGS, INC. CMN		X		12/19/18	01/07/19				X							
SP	INTL BUSINESS MACHINES CORP CMN		X		12/19/18	01/07/19				X							
SP	PLAINS GP HOLDINGS, L.P. CMN		X		12/19/18	01/07/19				X							
SP	EXXON MOBIL CORPORATION CMN		X		12/19/18	01/07/19				X							
SP	BOOKING HOLDINGS INC CMN		X		12/19/18	01/07/19				X							
SP	CHARLES SCHWAB CORPORATION CMN		X		12/19/18	01/07/19				X							
SP	AMAZON.COM INC CMN		X		12/19/18	01/07/19				X							
SP	AMGEN INC. CMN		X		12/19/18	01/07/19				X							
SP	EOG RESOURCES INC CMN		X		12/19/18	01/07/19				X							
SP	3M COMPANY CMN		X		12/19/18	01/07/19				X							
SP	METLIFE, INC. CMN		X		12/19/18	01/07/19				X							
SP	MORGAN STANLEY CMN		X		12/19/18	01/07/19				X							
SP	LOWES COMPANIES INC CMN		X		12/19/18	01/07/19				X							
SP	BUCKETE PARTNERS LP UNITS CMN		X		12/18/18	01/07/19				X							
SP	SHELL MIDSTREAM PARTNERS, L.P. CMN		X		12/19/18	01/07/19				X							

SP/DC/IT	Full Asset Name	Type of Transaction			Date of Transaction	Date Modified of Transaction	Amount of Transaction											Transaction in a Spouse or Dependent Child Asset over
		Purchase	Sale	Exchange			MM/DD/YY	MM/DD/YY	A	B	C	D	E	F	G	H	I	
Provide full name, not ticker symbol.																		
SP	THE ROADTHERM CO CMN	X			12/10/18	01/07/19	X											
SP	IMPLX LP CMN	X			12/18/18	01/07/19	X											
SP	CATERPILLAR INC (DELAWARE) CMN	X			12/10/18	01/07/19	X											
SP	MICRON TECHNOLOGY, INC CMN	X			12/10/18	01/07/19	X											
SP	NETELIX, INC CMN	X			12/10/18	01/07/19	X											
SP	GENERAL ELECTRIC CO CMN	X			12/10/18	01/07/19	X											
SP	AMERICAN INTL GROUP, INC CMN	X			12/10/18	01/07/19	X											
SP	ACTIVISION BLIZZARD, INC CMN	X			12/10/18	01/07/19	X											
SP	CELEBRE CORPORATION CMN	X			12/10/18	01/07/19	X											
SP	SYNOBUS FINANCIAL CORP CMN	X			12/10/18	01/07/19	X											
SP	NAVIDA CORPORATION CMN	X			12/10/18	01/07/19	X											
SP	BIRISTOL-ARMERS SQUIBB COMPANY CMN	X			12/10/18	01/07/19	X											
SP	INITIATIVE SURGICAL, INC CMN	X			12/18/18	01/07/19	X											
SP	BUCKEYE PARTNERS LP UNITS CMN	X			12/10/18	01/07/19	X											
SP	PRINCIPAL FINANCIAL GROUP, INC CMN	X			12/10/18	01/07/19	X											
SP	MARATHON PETROLEUM CORPORATION CMN	X			12/18/18	01/07/19	X											
SP	BUCKEYE PARTNERS LP UNITS CMN	X			12/10/18	01/07/19	X											
SP	ELECTRONIC ARTS CMN	X			12/10/18	01/07/19	X											
SP	LINCOLN NATL CORP INC CMN	X			12/10/18	01/07/19	X											
SP	UNION GROUP CMN	X			12/10/18	01/07/19	X											
SP	ENERGY TRANSFER LP CMN	X			12/04/18	01/07/19	X											
SP	PNC FINANCIAL SERVICES GROUP CMN	X			12/10/18	01/07/19	X											
SP	D.R. HORTON, INC CMN	X			12/10/18	01/07/19	X											
SP	MONSTER BEVERAGE CORPORATION CMN	X			12/10/18	01/07/19	X											
SP	CVS HEALTH CORP CMN	X			12/10/18	01/07/19	X											
SP	CONSTELLATION BRANDS INC CMN CLASS A	X			12/10/18	01/07/19	X											
SP	STERLING BANKCORP CMN	X			12/10/18	01/07/19	X											
SP	CAPITAL ONE FINANCIAL CORP CMN	X			12/10/18	01/07/19	X											
SP	MDAC'S INC CMN	X			12/10/18	01/07/19	X											
SP	EBAY INC CMN	X			12/10/18	01/07/19	X											
SP	SEAGATE TECHNOLOGY PLC CMN	X			12/10/18	01/07/19	X											
SP	VALERO ENERGY CORPORATION CMN	X			12/10/18	01/07/19	X											
SP	LOCKHEED MARTIN CORPORATION CMN	X			12/10/18	01/07/19	X											
SP	NCR CORPORATION CMN	X			12/10/18	01/07/19	X											
SP	CENTENE CORPORATION CMN	X			12/10/18	01/07/19	X											
SP	OMAREX ENERGY CO CMN	X			12/10/18	01/07/19	X											
SP	RANTHEON COMPANY CMN	X			12/10/18	01/07/19	X											
SP	DIACHRONICS ENERGY INC CMN	X			12/10/18	01/07/19	X											
SP	DEVON ENERGY CORPORATION (NEW) CMN	X			12/10/18	01/07/19	X											
SP	GENERAL MILLS INC CMN	X			12/10/18	01/07/19	X											
SP	NORTHERN TRUST CORP CMN	X			12/10/18	01/07/19	X											
SP	PHILLIPS 66 CMN	X			12/10/18	01/07/19	X											
SP	NEWFIELD EXPLORATION CO CMN	X			12/10/18	01/07/19	X											
SP	LYONDELBASELL INDUSTRIES N.V. CMN CLASS A	X			12/10/18	01/07/19	X											
SP	CHUBB LIMITED CMN	X			12/10/18	01/07/19	X											
SP	FEDER CORPORATION CMN	X			12/04/18	01/07/19	X											
SP	ENERGY TRANSFER LP CMN	X			12/10/18	01/07/19	X											
SP	AMERIPRISE FINANCIAL, INC CMN	X			12/10/18	01/07/19	X											
SP	ALIGN TECHNOLOGY, INC CMN	X			12/10/18	01/07/19	X											
SP	MOHAWK INDUSTRIES INC COMMON STOCK	X			12/10/18	01/07/19	X											
SP	CONSOLIDATED EDISON INC CMN	X			12/10/18	01/07/19	X											
SP	ALLSTATE CORPORATION COMMON STOCK	X			12/10/18	01/07/19	X											
SP	XEROX CORPORATION CMN	X			12/10/18	01/07/19	X											
SP	IPMORGAN CHASE & CO CMN	X			12/10/18	01/07/19	X											
SP	PULTZSP @ 240 EXP 02/15/2019	X			12/10/18	01/07/19	X											
SP	APPLE INC CMN	X			12/10/18	01/07/19	X											
SP	OCCIDENTAL PETROLEUM CORP CMN	X			12/10/18	01/07/19	X											
SP	ALTRIA GROUP, INC CMN	X			12/10/18	01/07/19	X											
SP	PULZSP @ 225 EXP 02/01/2019	X			12/10/18	01/07/19	X											
SP	U.S. BANCORP CMN	X			12/10/18	01/07/19	X											

SP/DC/IT	Full Asset Name	Type of Transaction	Date of Transaction	Date Notified of Transaction	Amount of Transaction											Transaction in a Spouse or Dependent Child Asset over	
					A	B	C	D	E	F	G	H	I	J	K		
Provide full name, not ticker symbol.																	
SP	TEAS INSTRUMENTS INC. CMN	Purchase	12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	CENTURYLINK INC. CMN	Sale	12/10/18	01/07/19	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-
SP	GENERAL DYNAMICS CORP. CMN	Exchange	12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	STATE STREET CORPORATION (NEW) CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	PRUDENTIAL FINANCIAL INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	ALPHABET INC. CMN CLASS A		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	PHILIP MORRIS INTL INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	PUT/SP @ 262 EXP 01/04/2019		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	PUT/SP @ 250 EXP 01/25/2019		12/13/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	PIOWER NATURAL RESOURCES CO CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	ORACLE CORPORATION CMN		12/10/18	01/07/18	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	ALPHABET INC. CMN CLASS C		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	UNICE PLC CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	CONCHO RESOURCES INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	DOMINION GROUP CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	VALERO ENERGY PARTNERS LP CMN		12/19/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	STRYKER CORPORATION CMN		12/19/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	THE BANK OF NY MELLON CORP. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	DOLLAR TREE STORES, INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	LENNAR CORPORATION CMN CLASS A		12/10/18	01/07/18	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	HUMANA INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	RAYMOND JAMES FINANCIAL, INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	THEIRMO FISHER SCIENTIFIC INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	DOLLAR GENERAL CORPORATION CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	EDISON INTERNATIONAL CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	LIQ. CORPORATION CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	JOHNSON CONTROLS INTERNATIONAL CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	CHARTER COMMUNICATIONS, INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	KEYCORP CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	FIFTH THIRD BANCORP CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	GSB CORPORATION CMN CLASS B		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	FLEETCOR TECHNOLOGIES, INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	THE WILLIAMS COMPANIES, INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	MASTERCARD INCORPORATED CMN CLASS A		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	COMERICA INCORPORATED CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	BECTON, DICKINSON AND COMPANY CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	MICROSOFT CORPORATION CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	VALERO ENERGY PARTNERS LP CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	BIOGEN INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	SOUTHWEST BANKS INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	VALERO ENERGY PARTNERS LP CMN		12/19/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	MGM RESORTS INTERNATIONAL CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	AUTORESK, INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	ECOLAB INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	INCYTE CORPORATION CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	FMC CORPORATION CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	THE PROGRESSIVE CORPORATION CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	QUALCOMM INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	ROPER TECHNOLOGIES INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	M&T BANK CORPORATION CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	A.O. SMITH CORP IDEL CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	VERTEX PHARMACEUTICALS INCORPO CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	BEST BUY CO INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	ORIELLY AUTOMOTIVE, INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	CONAGRA BRANDS INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-	\$5,000,001-	\$1,000,001-
SP	UNITED RENTALS, INC. CMN		12/10/18	01/07/19	\$1,001-	\$15,001-	\$50,001-	\$100,001-	\$250,001-								

[illegible]