

# UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT

FORM B

For use by candidates and new employees

Period covered: January 1, 2010 - 2011

Name: Deborah Lynn Halvorsen Daytime Telephone: \_\_\_\_\_

LEGISLATIVE RESOURCE CENTER

2012 JAN 10 PM 2:07

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES  
(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Candidate for the House of Representatives	State: <u>IL</u> District: <u>2</u>	Date of Election: <u>3-20-12</u>	Check if Amendment <input type="checkbox"/>	A \$200 penalty shall be assessed against any individual who files more than 30 days late.
	<input type="checkbox"/> New officer or employee	Employing Office: _____			

In all sections, please type or print clearly in blue or black ink.

## PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "Yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Name Deborah Halvorsen Page 2 of 18

**Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.**

[illegible]

Name Deborah L. Halverson Page 3 of 18

**For additional assets and unearned income, use next page.**

Name Deborah L. Williams

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. **Exclude:** Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

[illegible]

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, or any educational or other institution other than the United States.

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

[illegible]

Name Deborah L. Halverson Page 5 of 18

[illegible]

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. **Exclude:** Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. **Do not repeat information listed on Schedule I.**

[illegible]

CLIENT STATEMENT | For the Period November 1-30, 2011

## Consolidated Summary

JIM BUSH  
C/O AMERICAN EAGLE STEEL

MorganStanley  
SmithBarney

60918

### OVERVIEW OF YOUR ACCOUNTS

	Beginning Value (incl. accr. int.) (11/1/11)	Net Contributions/ Withdrawals (11/1/11-11/30/11)	Change in Value (11/1/11-11/30/11)	Ending Value (incl. accr. int.) (11/30/11)	Begins on Page
<b>TOTAL ACCOUNTS</b>	<b>\$59,269.34</b>	<b>—</b>	<b>\$(1,128.70)</b>	<b>\$58,140.64</b>	
<b>Personal Accounts</b>					
JIM BUSH					
C/O AMERICAN EAGLE STEEL	1,716.28	—	\$(7.51)	1,708.77	**
<del>Basic Account Value: \$1,708.77 (Brokerage)</del>					
<b>Retirement Accounts</b>					
JIM BUSH C/O AMERICAN EAGLE STEEL	\$57,553.06	—	\$(1,121.19)	\$56,431.87	7
<del>Retirement Value: \$56,431.87 (Brokerage)</del>	57,553.06	—	(1,121.19)	56,431.87	
TRADITIONAL / ROLLOVER IRA					

This summary may include assets held in either brokerage and/or advisory (i.e., managed) accounts. For additional information about the differences between brokerage and advisory accounts, please visit <http://www.morganstanley.com/ourcommitment> \*\*There was no activity for this account this period, so a statement has not been included. A statement for this account will be included at quarter end.

# Consolidated Summary

Morgan Stanley  
Smith Barney

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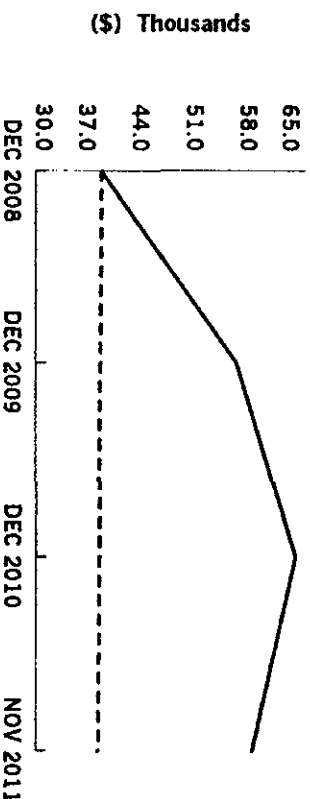
JIM BUSH  
C/O AMERICAN EAGLE STEEL

## CHANGE IN VALUE OF YOUR ACCOUNTS

	This Year (11/1/11-11/30/11)	This Period (11/1/11-11/30/11)
Total Beginning Value (includes accrued interest)	\$63,816.33	\$59,269.34
Contributions	—	—
Withdrawals	(226.25)	—
Security Transfers	—	—
Net Contributions/Withdrawals	\$(226.25)	—
Change in Value	(5,449.44)	(1,128.70)
Total Ending Value (includes accrued interest)	\$58,140.64	\$58,140.64

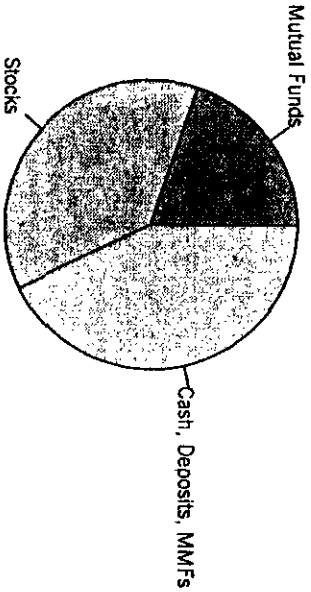
## CHANGE IN VALUE OVER TIME

This graph compares the total value of your account to the net amount invested. Net investment is the total amount invested minus the total amount withdrawn.



Net Contributions / Withdrawals include investment advisory fees to the extent applicable. Please see the activity section of your statement for more specific details on any advisory fees. This exhibit may not include transactions for investments in Annuities or where Morgan Stanley Smith Barney is not the custodian. This may affect the reported Net Invested Capital. If we are not the custodian there may also be a delay in the reporting of your Market Value. Please speak to your Financial Advisor if you have any questions.

## ALLOCATION OF HOLDINGS



Cash, Deposits, MMFs*	\$24,947.46	42.9
Stocks	21,700.12	37.3
Mutual Funds	11,493.06	19.8
<b>TOTAL VALUE</b>	<b>\$58,140.64</b>	<b>100.0%</b>

This allocation represents holdings on a trade date basis, and projected settled Cash/Deposits/MMF balances. We classify assets based on general characteristics such as: income generation, underlying capital structure, or exposure to certain market sectors. As many assets contain characteristics of more than one asset class, this asset classification may differ from others you may receive. These classifications are not intended to serve as a suitability analysis. \* Bank Deposits are eligible for FDIC insurance; FDIC rules apply and deposits are not SIPC insured. Cash and securities (including Money Market Funds) are eligible for SIPC coverage. Please see disclosures at end of the statement(s).

CLIENT STATEMENT | For the Period November 1-30, 2011

## Consolidated Summary

Morgan Stanley  
Smith Barney

80918

JIM BUSH  
C/O AMERICAN EAGLE STEEL

### BALANCE SHEET

	Last Period (as of 10/31/11)	This Period (as of 11/30/11)
<b>TOTAL VALUE (incl. accr. int.)</b>	<b>\$59,269.34</b>	<b>\$58,140.64</b>
<b>ASSETS</b>	<b>\$59,269.34</b>	<b>\$58,140.64</b>
Cash, Deposits, Money Market Funds	24,933.27	24,947.46
Stocks	22,650.21	21,700.12
Mutual Funds	11,685.86	11,493.06
<b>LIABILITIES (Outstanding Balance)</b>		
<i>There are no liabilities for these accounts.</i>		

### CASH FLOW

	This Year (11/1/11-11/30/11)	This Period (11/1/11-11/30/11)
<b>OPENING CASH, DEPOSITS, MMFs</b>	<b>\$33,346.59</b>	<b>\$24,933.27</b>
<b>INVESTMENT RELATED ACTIVITY</b>	<b>\$(8,172.88)</b>	<b>\$14.19</b>
Purchases	(12,855.66)	—
Dividend Reinvestments	(46.06)	(33.39)
Sales and Redemptions	4,127.51	—
Income	601.33	47.58
<b>CASH RELATED ACTIVITY</b>	<b>\$(226.25)</b>	<b>—</b>
Other Debits	(226.25)	—
<b>CLOSING CASH, DEPOSITS, MMFs</b>	<b>\$24,947.46</b>	<b>\$24,947.46</b>



# Consolidated Summary

MorganStanley  
SmithBarney

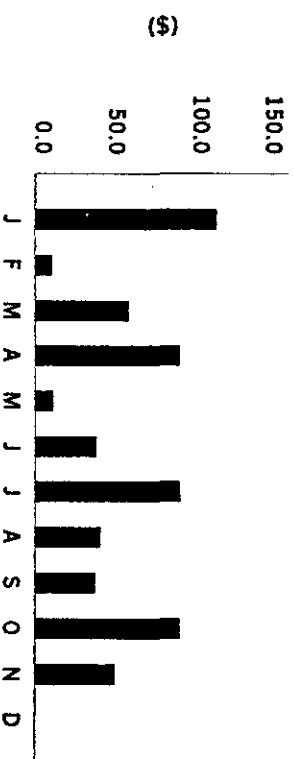
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JIM BUSH  
C/O AMERICAN EAGLE STEEL

## INCOME SUMMARY, THIS PERIOD

Accounts	Taxable Income (11/1/11-11/30/11)	Tax-Exempt Income (11/1/11-11/30/11)	Total Income (11/1/11-11/30/11)
Personal Accounts	0.01	—	0.01
Retirement Accounts	—	—	47.57
Education Accounts	—	—	—
Trust Accounts	—	—	—
Business Accounts	—	—	—
			\$47.58

## TOTAL INCOME YEAR TO DATE



## GAIN/(LOSS) SUMMARY

	UNREALIZED GAIN	UNREALIZED (LOSS)
Personal Accounts	—	(3,717.10)
Retirement Accounts	2,298.44	(23,066.96)
Education Accounts	—	—
Trust Accounts	—	—
Business Accounts	—	—

Gain/(Loss) and income information are provided for informational purposes only and should not be used for tax preparation. Gain/(Loss) summary information may change due to basis adjustments. Taxable and tax exempt income classifications are based on the characteristics of the underlying securities and not the taxable status of the account. Please refer to the Gain/(Loss) section of the disclosures for additional information.

NET REALIZED GAIN/(LOSS)	This Year (1/1/11-11/30/11)	This Period (11/1/11-11/30/11)
Personal Accounts	—	—
Retirement Accounts	504.18	—
Education Accounts	—	—
Trust Accounts	—	—
Business Accounts	—	—

CLIENT STATEMENT | For the Period November 1-30, 2011

## Account Summary

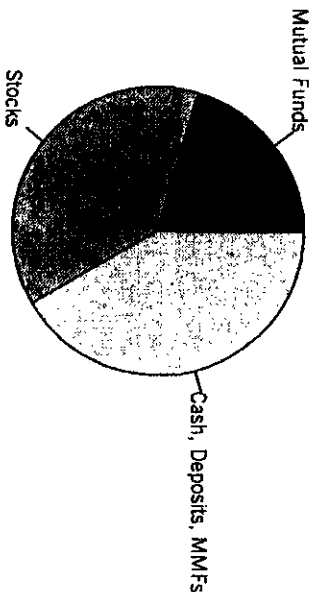
Brokerage Account  
TRADITIONAL / ROLLOVER IRA  
Householding Anniversary Date: 11/5/02  
Investment Objectives†: Speculation, Capital Appreciation

### CHANGE IN VALUE OF YOUR ACCOUNTS

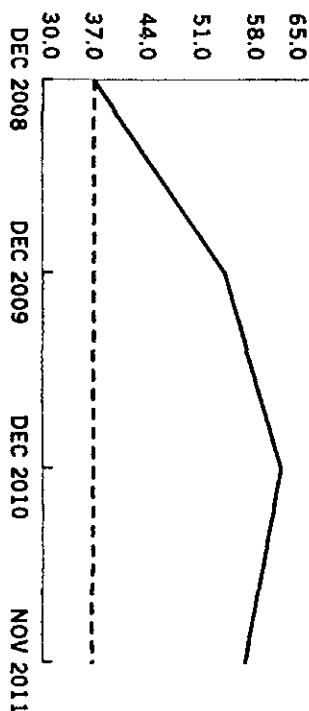
	This Year (11/1/11-11/30/11)	This Period (11/1/11-11/30/11)
Total Beginning Value(Includes accrued interest)	\$61,019.14	\$57,553.06
Contributions	—	—
Withdrawals	(131.25)	—
Security Transfers	—	—
Net Contributions/Withdrawals	\$(131.25)	—
Change in Value	(4,456.02)	(1,121.19)
Total Ending Value(Includes accrued interest)	\$56,431.87	\$56,431.87

This exhibit may not include transactions for investments in Annuities or where Morgan Stanley Smith Barney is not the custodian. This may affect the reported Net Invested Capital. If we are not the custodian there may also be a delay in the reporting of your Market Value. Please speak to your Financial Advisor if you have any questions. † See the Disclosures section of your statement for more information about investment objectives. Please review for accuracy and inform us if your investment objectives change.

### ALLOCATION OF HOLDINGS



(Thousands)



### CHANGE IN VALUE OVER TIME

This graph compares the total value of your account to the net amount invested. Net investment is the total amount invested minus the total amount withdrawn.

MorganStanley  
SmithBarney

JIM BUSH C/O AMERICAN EAGLE STEEL

10/27/18

This allocation represents holdings on a trade date basis, and projected settled Cash/Deposits/MMF balances. We classify assets based on general characteristics such as: income generation, underlying capital structure, or exposure to certain market sectors. As many assets contain characteristics of more than one asset class, this asset classification may differ from others you may receive. These classifications are not intended to serve as a suitability analysis. \* Bank Deposits are eligible for FDIC insurance; FDIC rules apply and deposits are not SIPC insured. Cash and securities (including Money Market Funds) are eligible for SIPC coverage. Please see disclosures at end of the statement(s).

CONSOLIDATED SUMMARY PERSONAL ACCOUNTS RETIREMENT ACCOUNTS EDUCATION ACCOUNTS TRUST ACCOUNTS BUSINESS ACCOUNTS

	Market Value	Percentage %
Cash, Deposits, MMFs*	\$23,527.59	41.7
Stocks	21,411.22	37.9
Mutual Funds	11,493.06	20.4
TOTAL VALUE	\$56,431.87	100.0%

CLIENT STATEMENT | For the Period November 1-30, 2011

# Account Summary

MorganStanley  
SmithBarney

110818

Retirement Account  
JIM BUSH C/O AMERICAN EAGLE STEEL

## BALANCE SHEET

	Last Period (as of 10/31/11)	This Period (as of 11/30/11)
<b>TOTAL VALUE (incl. accr. int.)</b>	\$57,553.06	\$56,431.87
<b>ASSETS</b>	\$57,553.06	\$56,431.87
Cash, Deposits, Money Market Funds	23,513.41	23,527.59
Stocks	22,353.79	21,411.22
Mutual Funds	11,685.86	11,493.06

## CASH FLOW

	This Year (1/1/11-11/30/11)	This Period (11/1/11-11/30/11)
<b>OPENING CASH, DEPOSITS, MMFs</b>	\$31,831.85	\$23,513.41
<b>INVESTMENT RELATED ACTIVITY</b>	\$18,173.01	\$14.18
Purchases	(12,855.66)	—
Dividend Reinvestments	(46.06)	(33.39)
Sales and Redemptions	4,127.51	—
Income	601.20	47.57
<b>CASH RELATED ACTIVITY</b>	\$(131.25)	—
Other Debits	(131.25)	—
<b>CLOSING CASH, DEPOSITS, MMFs</b>	\$23,527.59	\$23,527.59

# CLIENT STATEMENT | For the Period November 1-30, 2011

## Account Summary

Retirement Account

Morgan Stanley  
Smith Barney  
JIM BUSH C/O AMERICAN EAGLE STEEL

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## INCOME SUMMARY

	This Year (11/1/11-11/30/11)	This Period (11/1/11-11/30/11)
<b>TOTAL INCOME</b>	<b>\$601.20</b>	<b>\$47.57</b>
<b>INCOME</b>	<b>601.20</b>	<b>47.57</b>
Dividends	569.30	17.81
Long Term Capital Gains Distributions	26.58	26.58
Interest	2.35	0.21
Other Income	2.97	2.97

## GAIN/(LOSS) SUMMARY

	This Year (11/1/11-11/30/11)	Gain/(Loss)
<b>NET REALIZED</b>	<b>\$504.18</b>	
Gain	506.35	
(Loss)	(2.17)	
<b>This Period (11/1/11-11/30/11)</b>		<b>Gain/(Loss)</b>
<b>NET REALIZED</b>		
Gain		
(Loss)		
<b>NET UNREALIZED (11/30/11)</b>	<b>\$(20,768.52)</b>	
Gain	2,298.44	
(Loss)	(23,066.96)	

Gain/(Loss) and income information are provided for informational purposes only and should not be used for tax preparation. Gain/(Loss) summary information may change due to basis adjustments. Please refer to the Gain/(Loss) section of the disclosures for additional information.

## RETIREMENT RECAP

2010 Fair Market Value (includes accrued interest) \$61,019.14

Contributions	2010	2011
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No Contributions For This Account	—	—
Max. Individual Contributions Allowed (by SSN)	6,000.00	6,000.00

The Fair Market Value reflected above includes accrued but unpaid interest in the value of your IRA. For IRAs, also see the "Additional IRA Information" in the Disclosure section at the end of this statement.

## Total Individual Contributions

Max by Social Security Number (SSN)

	2010	2011	TOTAL ALLOWABLE
	0.00		Under Age 50
	0.00		Age 50 and over
			\$5,000.00 \$6,000.00

## CONTRIBUTIONS TO DATE

MorganStanley

SmithBarney

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# Holdings

Retirement Account JIM BUSH C/O AMERICAN EAGLE STEEL

This section reflects the impact of positions purchased/sold on a trade date basis, and will include positions purchased and omit positions sold in the current month. Please see the "Unsettled Purchases/Sales Activity" section for more information.

The "Market Value" and "Unrealized Gain/(Loss)" figures shown are representative values as of the last business day of the statement period indicated, which may not reflect the value that could actually be obtained in the market. See "Pricing of Securities" in the Disclosures section at the end of this statement. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date.

Estimated annual income and estimated yield for certain securities can include return of principal or capital gains which could overstate such estimates. Estimated yield and estimated annual income are estimates and the actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Structured products appear in various statement product categories and are identified as "Structured Products" in the Security Description column. If you hold structured products, please see "Special Considerations Regarding Structured Products" in the Disclosures section.

New Treasury regulations require that we report on Form 1099-B after the close of the tax year your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. Cost basis is reflected on monthly statements for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Disclosures section of this statement for additional information.

## CASH, DEPOSITS AND MONEY MARKET FUNDS

Cash, Deposits and Money Market Funds are generally displayed on a settlement date basis. However, Global Currency Deposits may include both settled and unsettled positions. Estimated Annual Income, Accrued Interest and APY% will only be displayed for fully settled positions. In the event, all or a portion of a Global Currency Deposit position is unsettled as of month end, this data will not be available.

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
CASH	\$11.00			
MORGAN STANLEY PRIVATE BANK NA #	23,516.59	2.00	—	0.010
CASH, DEPOSITS AND MONEY MARKET FUNDS				
	Percentage of Assets %	Market Value		Estimated Annual Income
	41.7%	\$23,527.59		\$2.00
				\$0.00

# Bank deposits are at Morgan Stanley Bank, N.A. and Morgan Stanley Private Bank, National Association (Members FDIC), affiliates of Morgan Stanley Smith Barney. Cash holdings shown exclude cash holdings in custody at another firm for which you receive a separate statement.

# Holdings

Retirement Account

JIM BUSH C/O AMERICAN EAGLE STEEL

MorganStanley  
SmithBarney  
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## STOCKS

### COMMON STOCKS

Morgan Stanley & Co. LLC (Morgan Stanley), Cit Investment Research & Analysis (CIRA), and Standard & Poor's equity research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the applicable research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Where a particular company is covered by both Morgan Stanley and CIRA, you can and should view both research reports. CIRA's equity research ratings are (1) Buy, (2) Neutral and (3) Sell. For ease of comparison, Morgan Stanley and Standard & Poor's equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell). Please refer to the end of this statement for a summary guide describing Morgan Stanley, CIRA and Standard & Poor's ratings. Morgan Stanley Smith Barney LLC does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of research prepared for Standard & Poor's.

Security Description	Quantity	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
CONS AMER INDS CORP NEW (CMDJ) Share Price: \$0.000	11,300.000	\$9,387.85	\$4.52	\$(9,383.33)	—	—
DWS HIGH INCOME OPPORTUNITIES (DHG) Share Price: \$13.620; Next Dividend Payable 12/11	100.000	4,000.00	1,362.00	(2,638.00)	132.00	9.69
FORD MOTOR CO NEW (F) Share Price: \$10.600; Rating: Morgan Stanley: 1, Citigroup: 1H, S&P: 1	710.000	9,921.34	7,526.00	(2,395.34)	—	—
INUV INC COM NEW (INUV) Share Price: \$1.150	170.000	3,632.95	195.50	(3,437.45)	—	—
MS EMERGING MKTS DOMESTIC DEBT (EDD) Share Price: \$14.790; Next Dividend Payable 01/12	250.000	5,000.00	3,697.50	(1,302.50)	300.00	8.11
NATIONWIDE SAFE T PROPANE INC (NSFE) Share Price: \$0.002	5,000.000	710.00	10.50	(699.50)	—	—
SIRIUS XM RADIO INC COM (SRI) Share Price: \$1.800; Rating: Morgan Stanley: 1, S&P: 1	2,984.000	4,436.67	5,371.20	934.53	—	—
VULCAN MATERIALS CO (VMC) Share Price: \$32.440; Rating: Citigroup: 3, S&P: 3; Next Dividend Payable 12/09/11	100.000	4,519.42	3,244.00	(1,275.42)	4.00	0.12
STOCKS	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
	37.9%	\$41,608.23	\$21,411.22	\$(20,197.01)	\$436.00	2.04%
					\$0.00	

**CLIENT STATEMENT** | For the Period November 1-30, 2011

# Holdings

Retirement Account

**Morgan Stanley  
Smith Barney**

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# MUTUAL FUNDS

## OTHER MUTUAL FUNDS

**"Total Purchases vs Market Value"** is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash, due to but not limited to the following: investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account.

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, plus the cash distributions shown, and the current value of the fund's shares. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes.

Security Description	Quantity	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
<b>GAMCO WESTWOOD MIGHTY MITES C (WMWMCX)</b>						
Purchases	89,698	\$1,000.00	\$1,311.38	\$311.38		
Reinvestments	7,747	99.74	113.27	13.53		
Total	97,445	1,099.74	1,424.65	324.91		
Total Purchases vs Market Value			1,424.65			
Net Value Increase/(Decrease)		1,000.00	424.65			
Share Price: \$14.620; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest						
<b>INV ASSET STRATEGY C (WASGX)</b>						
Purchases	385,148	10,000.00	8,812.18	(1,187.82)		
Reinvestments	54,905	964.83	1,256.23	291.40		
Total	440,053	10,964.83	10,068.41	(896.42)		
Total Purchases vs Market Value			10,068.41			
Net Value Increase/(Decrease)		10,000.00	68.41			
Share Price: \$22.880; Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest						
<b>MUTUAL FUNDS</b>						
	Percentage of Assets	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
	20.4%	\$12,064.57	\$11,493.06	\$(571.51)	\$0.00	—
					\$0.00	—

*Transactions in mutual fund positions held directly at the Fund Company are not included in the total above and are not reflected on the Summary Page. For more information about the pricing of Money Market Funds, please see the Disclosures section of the statement.*

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
TOTAL MARKET VALUE	100.0%	\$53,672.80	\$56,431.87	\$(20,768.52)	\$438.00	0.78%
					\$0.00	

TOTAL VALUE (includes accrued interest)

CLIENT STATEMENT | For the Period November 1-30, 2011

Activity

Retirement Account JIM BUSH C/O AMERICAN EAGLE STEEL

MorganStanley  
SmithBarney

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CASH FLOW ACTIVITY BY DATE

Transaction Settlement		Description		Quantity	Price	Credits/(Debits)
Date	Activity Type					
11/1	Miscellaneous Income	NATURAL GAS LITIGATION PROCEED				\$2.97
11/28	Long Term Capital Gain	GAMCO WESTWOOD MIGHTY MITES C				26.58
11/28	Short Term Capital Gain	GAMCO WESTWOOD MIGHTY MITES C				6.81
11/28	Dividend Reinvestment	GAMCO WESTWOOD MIGHTY MITES C	REINVESTMENT	2.380	14.0300	(33.39)
11/29	Interest Income	MORGAN STANLEY PRIVATE BANK NA				0.21
		(Period 10/29-11/29)				
11/30	Dividend	DWS HIGH INCOME OPPORTUNITIES				11.00
NET CREDITS/(DEBITS)						\$14.18

Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.

MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY

Date	Activity Type	Description	Credits/(Debits)
11/1	Automatic Investment	BANK DEPOSIT PROGRAM	\$11.00
11/2	Automatic Investment	BANK DEPOSIT PROGRAM	2.97
11/29	Automatic Investment	BANK DEPOSIT PROGRAM	0.21
NET ACTIVITY FOR PERIOD			\$14.18





Quarterly Statement  
September 30, 2011

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Primary account number: [REDACTED]

### Year-to-date dividends and capital gains

	Account #	Fund #	Dividends	Short-term capital gains	Long-term capital gains
Washington Mutual Investors Fund-A	[REDACTED]	01	\$1,918.58	\$0.00	\$0.00
Totals			\$1,918.58	\$0.00	\$0.00

### Activity detail for account #63475191

Account value: \$107,063.23

Washington Mutual Investors Fund-A Fund number 01 Symbol AWSHX Objective Growth and Income

Initial investment date: 01/01/2003

100.00% of the account's assets are in this fund

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price	Shares transacted	Share balance
01/01/11	Beginning balance	\$111,644.97	\$27.21		4,103.086
03/18/11	Income Dividend 0.155	\$635.98	\$27.70	22.960	4,126.046
06/17/11	Income Dividend 0.155	\$639.54	\$28.11	22.751	4,148.797
09/23/11	Income Dividend 0.155	\$643.06	\$25.51	25.208	4,174.005
09/30/11	Ending balance	\$107,063.23	\$25.65		4,174.005

### About your accounts

#### Beneficiary information

	Account #	Primary	Contingent
CB&T CUST SIMPLE IRA JAMES W BUSH	[REDACTED]	BENE INFO ON FILE--SEE ACCOUNT NOTES	Not Provided

To update and read important legal information about your beneficiary designations, please go to [americanfunds.com/beneficiary](http://americanfunds.com/beneficiary).

#### Information about your investments

**Your responsibilities.** Please review this statement carefully to confirm that we have accurately acted on your instructions. If you identify any discrepancies, please immediately notify your financial adviser or call us at 800/421-0180. If you delay in reporting an error, we may be unable to adjust your account.

**Estate planning information.** If you are an executor or administrator of a recently deceased IRA owner's estate, we can provide a statement showing the value of the account as of the IRA owner's date of death. You may order this statement by calling Shareholder Services at 800/421-0180. We will provide the information within 90 days of the request.

The right choice for the long term\*



**American Funds®**

PO Box 6164  
Indianapolis, IN 46206-6164

JAMES W BUSH  
565 ABERDEEN DR  
CRETE IL 60417-1202

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Employer: AMERICAN EAGLE STEEL LLC  
Primary account number: [REDACTED]

#### Annual fee due

A \$10 annual fee will be deducted in December from traditional IRA, Roth IRA, SIMPLE IRA, 403(b), 457, money-purchase plans, profit-sharing plans, Coverdell ESA and 529 accounts. If you prefer to pay by check, we must receive it by November 30.

#### Making a new and improved statement

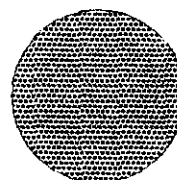
Welcome to your redesigned quarterly statement, based on valued input from you and financial advisers. By providing you with more detailed information, you can better monitor your American Funds investments. See the enclosed guide for details about the enhancements.

#### Portfolio value of all accounts

**\$107,063.23**

	Since initial investment on 01/01/2003*	Year-to-date since 01/01/2011
Beginning value	\$26,483.15	\$111,644.97
+ Additions	\$69,810.00	\$0.00
- Withdrawals	-\$80.00	\$0.00
+/- Investment gain/decline	\$10,850.08	-\$4,581.74
<b>Ending value as of 09/30/2011</b>	<b>\$107,063.23</b>	<b>\$107,063.23</b>
Total change in value	+\$80,580.08	-\$4,581.74

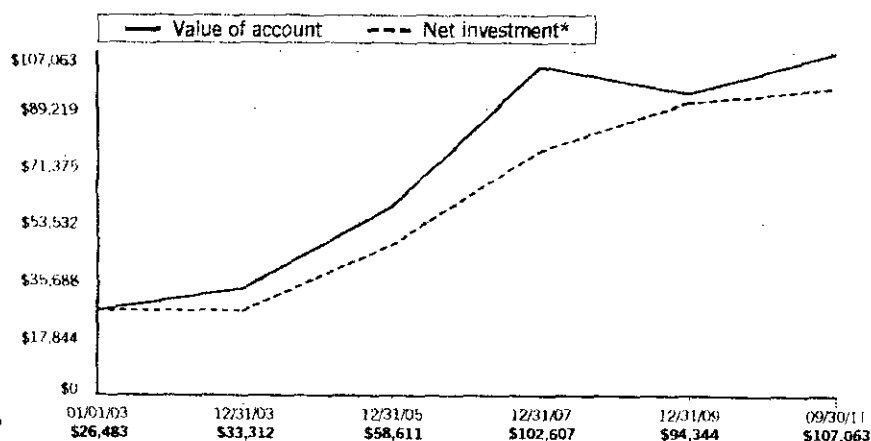
#### Portfolio allocation



**Growth and income** 100.00%  
100.00%

\*For accounts opened prior to 2003, an initial investment date of January 1, 2003 is used.  
More information is available at [americanfunds.com/statement](http://americanfunds.com/statement).

#### Portfolio value history



\*Net investment is the total amount you invested minus the total amount you withdrew.

#### How to contact us

##### Through your financial adviser

MICHAEL MCCANN  
(708) 361-1229  
AMERIPRISE FINANCIAL SERVICES, INC.  
15255 S 94TH AVE STE 405  
ORLAND PARK IL 60462-3889  
[americanfunds.com](http://americanfunds.com)

**FundsLine® 24-hour automated service**  
800/325-3590

**Shareholder Services Representative**  
800/421-0180 (M-F 8 a.m. to 8 p.m. Eastern time)

##### Mail

Capital Bank and Trust Company  
PO Box 6164  
Indianapolis IN 46206-6164

##### Overnight Courier

Capital Bank and Trust Company  
12711 N. Meridian St  
Carmel, IN 46032-9181

**Questions about your statement**  
[americanfunds.com/statement](http://americanfunds.com/statement)

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