

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 62
For use by Members, officers, and employees

Lamar Smith
(Full Name)

202-225-4236
(Daytime Telephone)

Filer Status ☒ Member of the U.S. House of Representatives
State: TX District: 21

☐ Officer Or Employee
Employing Office:

Report Type ☒ Annual (May 15) ☐ Amendment ☐ Termination
Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

HAND DELIVERED
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2010 JUN 16 PM 4: 07

SCHEDULE I - EARNED INCOME

Name Lamar Smith

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
The First Church of Christ, Scientist	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.	BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
1/2 life interest in surface and mineral interest one half of Lamar Seeligson Ranch, Premont, TX	\$1,000,001 - \$5,000,000	RENT/Royalties/Surface Leases	\$50,001 - \$100,000	
1/3 interest in 19 Warren Street, Town of Barstable, MA	\$250,001 - \$500,000	RENT	\$2,501 - \$5,000	
SP 12 Stoneholm, Boston MA	\$250,001 - \$500,000	RENT	\$5,001 - \$15,000	
1211 Summit Rd. McLean, VA 22101	\$500,001 - \$1,000,000	RENT	\$15,001 - \$50,000	
315 Cleveland Court, San Antonio, TX	\$250,001 - \$500,000	None	NONE	
3M COMPANY COM	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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425 Soledad Ltd. San Antonio, TX	\$50,001 - \$100,000	CAPITAL GAINS	\$5,001 - \$15,000	
6800 Fleetwood Rd Unit 513, McLean, VA	\$100,001 - \$250,000	None	NONE	
ABBOTT LABS	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
AFFILIATED COMPUTER SVCS INCC L A	\$1,001 - \$15,000	None	NONE	
ALEXION PHARMACEUTICALS INC	\$1,001 - \$15,000	None	NONE	
ALLIANCE DATA SYS CORP	\$1,001 - \$15,000	None	NONE	
ALLSTATE CORP	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
ALPINE INCOME TR TAX OPTIMIZED	\$15,001 - \$50,000	DIVIDENDS/INTE REST	\$1,001 - \$2,500	
AMAZON INC	\$1,001 - \$15,000	None	NONE	
AMERICAN EXPRESS	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
AMERICAN FUNDS GRTH FUND OF AMER A	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
AMPHENOL CORP NEW CL A	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
ANADARKO PETE CORP	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
ANNALY MTG MGMT INC	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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APPLE COMPUTER INC	\$15,001 - \$50,000	None	NONE	
ASIANINFO HLDGS INC	\$1,001 - \$15,000	None	NONE	
AUTOLIV INC	\$1,001 - \$15,000	None	NONE	
AVON PRODS INC	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
BANK OF AMERICA CRP	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
BAXTER INTL INC	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
BJS WHSL CLUB INC	\$1,001 - \$15,000	None	NONE	
BROADCOM CORP	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
CAMECO CORP	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Cash & Equivalents - Merrill Lynch	\$15,001 - \$50,000	None	NONE	
CHUBB CORP	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
COLONIAL PPTYS TR SH BEN INT	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
COLUMBIA ACORN A	\$50,001 - \$100,000	None	NONE	
CONCHO RES INC COM	\$1,001 - \$15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Congressional Federal Credit Union	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
CONOCOPHILLIPS	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
COVIDIEN PLC SHS	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DANA HOLDING CORP COM	\$1,001 - \$15,000	None	NONE	
DAVITA INC	\$1,001 - \$15,000	None	NONE	
DELTA AIR LINES INC DEL COM NEW	\$1,001 - \$15,000	None	NONE	
DIRECTV COM CL A	\$1,001 - \$15,000	None	NONE	
DISCOVERY COMMUNICATNS NEW COM SER A	\$1,001 - \$15,000	None	NONE	

DODGE & COX STOCK FUND	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
DOLLAR THRIFTY AUTOMOTIVE GR COM	\$1,001 - \$15,000	None	NONE	
DU PONT E I DE NEMOURS	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
EBAY INC	\$1,001 - \$15,000	None	NONE	
ENDURANCE SPECIALITY HLDGS LSHS	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
ENTERGY CORP	\$1,001 - \$15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	EQUINIX INC NEW	\$1,001 - \$15,000	None	NONE	
	EXELON CORP	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	EXPEDIA INC DEL COM	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	F5 NETWORKS INC	\$1,001 - \$15,000	None	NONE	
	FOSTER WHEELER AGR	\$1,001 - \$15,000	None	NONE	
SP	Franklin Templeton - Retirement plan managed by Franklin Templeton	\$15,001 - \$50,000	Other Retirement plan	\$201 - \$1,000	
	FREEPORT MCMORAN COPPER	\$1,001 - \$15,000	None	NONE	
	Frost National Bank	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
	GENZYME CORP	\$1,001 - \$15,000	None	NONE	
	GILEAD SCIENCES INC COM	\$1,001 - \$15,000	None	NONE	
	GOODRICH B F CO	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	GOOGLE INC CL A	\$1,001 - \$15,000	None	NONE	
	HCP INC	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	HEALTH CARE REIT INC COM	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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HEINZ H J CO	\$1,001 - \$15,000	None	NONE	
HHGREGG INC COM	\$1,001 - \$15,000	None	NONE	
I B M	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
ILLINOIS TOOL WKS INC	\$1,001 - \$15,000	None	NONE	
INTEGRYS ENERGY GROUP INC	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
INTERNET BRANDS CL A COM	\$1,001 - \$15,000	None	NONE	
INVESCO LTD	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
IRIDIUM COMMUNICATIONS INC COM	\$1,001 - \$15,000	None	NONE	
ISHARES BARCLAYS 20+ YEAR TREAS BOND	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JOHNSON & JOHNSON	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JOY GLOBAL INC COM	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JUNIPER NETWORKS INC COM	\$1,001 - \$15,000	None	NONE	
KENNAMETAL INC COM	\$1,001 - \$15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Lago Vista Joint Venture (holding notes receivable for sales of land in Bandera County, TX)	\$1 - \$1,000	INTEREST/Other: Ordinary Business Income	\$1,001 - \$2,500	
Lazard Ltd	None	DIVIDENDS	\$1 - \$200	
LHC GROUP INC COM	\$1,001 - \$15,000	None	NONE	
LIFE TECHNOLOGIES CORP COM	\$1,001 - \$15,000	None	NONE	
SP Lot 18 Game Trail 4, Chafee County, CO	\$100,001 - \$250,000	None	NONE	
MARVELL TECHNOLOGY GROUP ORD	\$1,001 - \$15,000	None	NONE	
MCAFFEE INC	\$1,001 - \$15,000	None	NONE	
MCDERMOTT INTL INC	\$1,001 - \$15,000	None	NONE	
MEDCO HEALTH SOLUTIONS INC COM	\$1,001 - \$15,000	None	NONE	
MEDNAX INC COM	\$1,001 - \$15,000	None	NONE	
MICRON TECHNOLOGY INC	\$1,001 - \$15,000	None	NONE	
MICROSOFT CORP COM	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
MILLICOM INTL CELLULAR A SHS NEW	\$1,001 - \$15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lamar Smith				Page 10 of 62
MOTOROLA INC	\$1,001 - \$15,000	None	NONE	
NETAPP INC COM	\$1,001 - \$15,000	None	NONE	
NETLOGIC MICROSYSTEMS INC COM	\$1,001 - \$15,000	None	NONE	
NEUTRAL TANDEM INC COM	\$1,001 - \$15,000	None	NONE	
NISOURCE INC COM	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
NOBLE CORPORATION BAAR NAMEN-AKT	\$1,001 - \$15,000	None	NONE	
NOBLE ENERGY INC COM	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
NUVEEN NWQ MULTI-CAP VALUE A	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
OLD REP INTL CORP COM	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
ORION MARINE GROUP INC COM	\$1,001 - \$15,000	None	NONE	
PANERA BREAD CO CL A	\$1,001 - \$15,000	None	NONE	
PEABODY ENERGY CORP COM(5) 36.2500	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
PENSKE AUTOMOTIVE GRP INC COM	\$1,001 - \$15,000	None	NONE	
PEPSICO INC	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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<div>PETROHAWK ENERGY CORP</div> <div>\$1,001 - \$15,000</div> <div>NONE</div>	<div>NONE</div>
<div>POWERSHARES DB COMMODITY INDEX TRACKING FUND</div> <div>\$1,001 - \$15,000</div> <div>INTEREST/CAPITAL GAINS/Other: Sec 1256 contracts and saddles</div> <div>\$1,001 - \$2,500</div>	

PRICELINE.COM NEW	\$1,001 - \$15,000	NONE	NONE	
PROCTOR & GAMBLE COM.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
PROGRESS ENERGY	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
QUALCOMM INC	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
RYDER SYS INC	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SBA COMMUNICATIONS CORP CL A	\$1,001 - \$15,000	NONE	NONE	
SOLERA HOLDINGS INC COM	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP Sovereign Bank	\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500	
SPDR GOLD SHARES	\$1,001 - \$15,000	NONE	NONE	
ST JUDE MED INC	\$1,001 - \$15,000	NONE	NONE	
SUCCESSFACTORS INC COM	\$1,001 - \$15,000	NONE	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SUNCOR ENERGY INC	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SYSCO CORP	\$1,001 - \$15,000	None	NONE	
TAIWAN SEMICONDUCTOR MANUFACTURING ADS	None	DIVIDENDS	\$201 - \$1,000	PS
TELEPHONE & DATA SYS INC SPL COM	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
TEVA PHARMACEUTICALS INDS LTD ADR	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
TEXAS INSTRS INC	\$1,001 - \$15,000	None	NONE	
TIME WARNER CABLE INC COM	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
TRW AUTOMOTIVE HLDGS CORP COM	\$1,001 - \$15,000	None	NONE	
UNITED STATES TREAS NT 3.500 02/15/18	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
URBAN OUTFITTERS INC COM	\$1,001 - \$15,000	None	NONE	
US TREASURY NOTES 4.250% 08/15/15	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
VEECO INSTRS INC DEL	\$1,001 - \$15,000	None	NONE	
VERIZON COMMUNICATIONS	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
VODAFONE GROUP PLC NEW SPONSORED ADR	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	WAL MART STORES INC	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	ZIMMER HLDGS INC	\$1,001 - \$15,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name Lamar Smith

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	3M COMPANY COM	P	N/A	01-23-09	\$1,001 - \$15,000
	ABBOTT LABORATORIES	S	No	07-07-09	\$1,001 - \$15,000
	ABBOTT LABS	P	N/A	12-15-09	\$1,001 - \$15,000
	ABBOTT LABS	P	N/A	02-27-09	\$1,001 - \$15,000
	ACTIVISION BLIZZARD INC COM	S(part)	No	11-05-09	\$1,001 - \$15,000
	ACTIVISION BLIZZARD INC COM	S(part)	No	11-19-09	\$1,001 - \$15,000
	ADTRAN INC	S	No	07-16-09	\$1,001 - \$15,000
	ADTRAN INC	P	N/A	04-24-09	\$1,001 - \$15,000
	ADVANCED AUTO PARTS INC	S(part)	No	03-18-09	\$1,001 - \$15,000
	ADVANCED AUTO PTS INC	P	N/A	02-19-09	\$1,001 - \$15,000
	AECOM TECHNOLOGY CORP DELAWARE	S(part)	Yes	08-17-09	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	AECOM TECHNOLOGY CORP DELAWARE COM	P	N/A	02-10-09	\$1,001 - \$15,000
	AEROPOSTALE	S(part)	Yes	05-07-09	\$1,001 - \$15,000
	AIRGAS INC	S(part)	No	01-12-09	\$1,001 - \$15,000
	AIRGAS INC	S(part)	No	05-07-09	\$1,001 - \$15,000
	AIRGAS INC	S(part)	No	06-03-09	\$1,001 - \$15,000
	AK STEEL HLDG CORP	S(part)	Yes	10-20-09	\$1,001 - \$15,000
	AK STEEL HLDG CORP	S(part)	Yes	06-09-09	\$1,001 - \$15,000
	AK STL HLDG CORP	P	N/A	05-15-09	\$1,001 - \$15,000
	AK STL HLDG CORP	P	N/A	04-30-09	\$1,001 - \$15,000
	AK STL HLDG CORP	P	N/A	05-01-09	\$1,001 - \$15,000
	AKAMAI TECH	S(part)	Yes	05-07-09	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	AKAMAI TECH	S(part)	No	03-10-09	\$1,001 - \$15,000
	ALLIANCE DATA SYS CORP	S	Yes	10-16-09	\$1,001 - \$15,000
	ALLIANCE DATA SYS CORP	P	N/A	08-04-09	\$1,001 - \$15,000
	ALLIANCE DATA SYS CORP	P	N/A	08-07-09	\$1,001 - \$15,000
	ALLSTATE CORP	P	N/A	06-12-09	\$1,001 - \$15,000
	ALTERA CORP	S	No	04-09-09	\$1,001 - \$15,000
	AMAZON INC	P	N/A	06-03-09	\$1,001 - \$15,000
	AMEDISYS INC	S(part)	No	02-25-09	\$1,001 - \$15,000
	AMERICAN EAGLE OUTFITTERS	S	No	06-30-09	\$1,001 - \$15,000
	AMERICAN EAGLE OUTFITTERS INC	P	N/A	05-12-09	\$1,001 - \$15,000
	AMERICAN EXPRESS	P	N/A	07-24-09	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	AMERICAN EXPRESS CO	S	Yes	10-26-09	\$1,001 - \$15,000
	AMERICAN TOWER CORP	S(part)	No	05-01-09	\$1,001 - \$15,000
	AMERICAN TOWER CORP	S(part)	No	07-31-09	\$1,001 - \$15,000
	ANNALY MTG MGMT INC	P	N/A	05-18-09	\$1,001 - \$15,000
	AON CORP	S(part)	No	01-27-09	\$1,001 - \$15,000
	AON CORP	S	No	05-15-09	\$1,001 - \$15,000
	APOLLO GROUP INC	P	N/A	02-02-09	\$1,001 - \$15,000
	APOLLO GROUP INC	P	N/A	01-30-09	\$1,001 - \$15,000
	APPLE COMPUTER INC	P	N/A	10-14-09	\$1,001 - \$15,000
	APPLE COMPUTER INC	P	N/A	11-19-09	\$1,001 - \$15,000
	APPLE COMPUTER INC	P	N/A	11-24-09	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	APPLE COMPUTER INC	P	N/A	10-13-09	\$1,001 - \$15,000
	APPLE COMPUTER INC	P	N/A	01-27-09	\$1,001 - \$15,000
	ARCSIGHT INC COM	P	N/A	08-03-09	\$1,001 - \$15,000
	ARCSIGHT INC COM	S(part)	Yes	09-15-09	\$1,001 - \$15,000
	ARRIS GROUP INC	S	No	07-31-09	\$1,001 - \$15,000
	ASIANINFO HLDGS INC	S(part)	Yes	12-16-09	\$1,001 - \$15,000
	ASPEN INSURANCE HLDGS COM USD0.15144558	S	Yes	08-06-09	\$1,001 - \$15,000
	ATHEROS COMMUNICATIONS INC	S	Yes	07-27-09	\$1,001 - \$15,000
	ATHEROS COMMUNICATIONS LTD	P	N/A	07-06-09	\$1,001 - \$15,000
	AUTOLIV INC	P	N/A	06-03-09	\$1,001 - \$15,000
	AVIS BUDGET GROUP INC	P	N/A	06-25-09	\$1,001 - \$15,000

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	AVIS BUDGET GROUP INC	P	N/A	05-11-09	\$1,001 - \$15,000
	AVIS BUDGET GROUP INC COMMON	S(part)	Yes	07-01-09	\$1,001 - \$15,000
	AVIS BUDGET GROUP INC COMMON	S(part)	Yes	07-21-09	\$1,001 - \$15,000
	AVON PRODS INC	P	N/A	07-30-09	\$1,001 - \$15,000
	AXIS CAPITAL HOLDINGS LTD COM USD0.0125	S(part)	No	01-06-09	\$1,001 - \$15,000
	AXIS CAPITAL HOLDINGS LTD COM USD0.0125	S(part)	No	02-03-09	\$1,001 - \$15,000
	AXIS CAPITAL HOLDINGS LTD COM USD0.0125	S(part)	No	02-04-09	\$1,001 - \$15,000
	BAKER HUGHES INC	S	Yes	05-18-09	\$1,001 - \$15,000
	BANK OF AMER CORP	P	N/A	05-07-09	\$1,001 - \$15,000
	BANK OF AMER CRP	P	N/A	11-17-09	\$1,001 - \$15,000
	BANKRATE INC COM CASH PAYMENT OF \$28.50 PER SHARE	S	No	02-26-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	BAXTER INTL INC	P	N/A	10-20-09	\$1,001 - \$15,000
	BAXTER INTL INC	P	N/A	03-03-09	\$1,001 - \$15,000
	BIG LOTS INC	P	N/A	04-20-09	\$1,001 - \$15,000
	BIG LOTS INC (OHIO)	S	No	06-17-09	\$1,001 - \$15,000
	BJS WHSL CLUB INC	P	N/A	09-22-09	\$1,001 - \$15,000
	BMC SOFTWARE INC	P	N/A	03-06-09	\$1,001 - \$15,000
	BMC SOFTWARE INC	P	N/A	02-06-09	\$1,001 - \$15,000
	BMC SOFTWARE INC	S(part)	Yes	04-17-09	\$1,001 - \$15,000
	BORG WARNER AUTOMOTIVE INC	P	N/A	05-04-09	\$1,001 - \$15,000
	BORGWARNER INC	S(part)	Yes	08-12-09	\$1,001 - \$15,000
	BORGWARNER INC	S(part)	No	08-19-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	BRINKS HOME SEC HLDGS INC COM	P	N/A	03-04-09	\$1,001 - \$15,000
	BROADCOM CORP	P	N/A	11-19-09	\$1,001 - \$15,000
	BROADCOM CORP	P	N/A	11-20-09	\$1,001 - \$15,000
	BROADCOM CORP CL A	S(part)	No	02-23-09	\$1,001 - \$15,000
	BROADCOM CORP CL A	S(part)	No	02-24-09	\$1,001 - \$15,000
	BROADCOM CORP CL A	S(part)	No	02-20-09	\$1,001 - \$15,000
	BROCADE COMMUNICATIONS SYS INC COM NEW	S(part)	Yes	11-11-09	\$1,001 - \$15,000
	BROCADE COMMUNICATIONS SYS INC COM NEW	S(part)	Yes	11-19-09	\$1,001 - \$15,000
	CALGON CARBON CORB	S(part)	No	01-30-09	\$1,001 - \$15,000
	CALGON CARBON CORP	S(part)	No	01-29-09	\$1,001 - \$15,000
	CARRIZO OIL & GAS INC	S	Yes	05-11-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	CARTER HLDGS INC	P	N/A	08-07-09	\$1,001 - \$15,000
	CARTER HLDGS INC	P	N/A	07-30-09	\$1,001 - \$15,000
	CARTER HLDGS INC	P	N/A	07-31-09	\$1,001 - \$15,000
	CARTER INC FORMERLY CARTER HLDG INC TO 09/13/2003	S	No	11-10-09	\$1,001 - \$15,000
	CENTRAL EUROPEAN DISTR CORP	P	N/A	07-27-09	\$1,001 - \$15,000
	CENTRAL EUROPEAN DISTR CORP	S(part)	No	12-04-09	\$1,001 - \$15,000
	CENTRAL EUROPEAN DISTR CORP	S(part)	No	12-03-09	\$1,001 - \$15,000
	CENTRAL EUROPEAN DISTR CORP	P	N/A	08-06-09	\$1,001 - \$15,000
	CF INDS HLDGS INC COM	S(part)	No	05-29-09	\$1,001 - \$15,000
	CF INDS HLDGS INC COM	S(part)	No	05-27-09	\$1,001 - \$15,000
	CF INDS HLDGS INC COM	P	N/A	03-25-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	CHUBB CORP	P	N/A	09-11-09	\$1,001 - \$15,000
	CHUBB CORP	P	N/A	11-09-09	\$1,001 - \$15,000
	CHUBB CORP	P	N/A	08-26-09	\$1,001 - \$15,000
	CIENA CORP COM NEW DELAWARE	S	No	07-07-09	\$1,001 - \$15,000
	CIENA CORPORATION COM NEW	P	N/A	04-24-09	\$1,001 - \$15,000
	CINTAS CORP	S(part)	Yes	12-29-09	\$1,001 - \$15,000
	CISCO SYS INC	P	N/A	07-20-09	\$1,001 - \$15,000
	CISCO SYS INC	S(part)	Yes	11-19-09	\$1,001 - \$15,000
	CISCO SYS INC	P	N/A	08-11-09	\$1,001 - \$15,000
	CISCO SYS INC	S(part)	No	07-27-09	\$1,001 - \$15,000
	CISCO SYS INC	P	N/A	08-17-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	CISCO SYS INC	P	N/A	08-06-09	\$1,001 - \$15,000
	CISCO SYS INC	P	N/A	07-21-09	\$1,001 - \$15,000
	CISCO SYS INC	S(part)	No	09-04-09	\$1,001 - \$15,000
	CLEAN HBRS INC	S(part)	No	05-04-09	\$1,001 - \$15,000
	CLOROX CO	P	N/A	03-04-09	\$1,001 - \$15,000
	CLOROX CO DEL	S	Yes	05-01-09	\$1,001 - \$15,000
	CME GROUP INC	P	N/A	03-24-09	\$1,001 - \$15,000
	CME GROUP INC	S	No	04-29-09	\$1,001 - \$15,000
	COCA COLA ENTERPRISES INC	P	N/A	05-07-09	\$1,001 - \$15,000
	COCA COLA ENTERPRISES INC	S	Yes	10-29-09	\$1,001 - \$15,000
	COLGATE-PALMOLIVE CO	S	Yes	10-07-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	COMMUNITY HEALTH SYS INC NEW	S(part)	No	11-23-09	\$1,001 - \$15,000
	COMMUNITY HEALTH SYS INC NEW	S(part)	No	10-28-09	\$1,001 - \$15,000
	COMPANHIA VALE DO RIO DOCE SPONSORED ADR	P	N/A	02-09-09	\$1,001 - \$15,000
	CON WAY INC COM	P	N/A	05-08-09	\$1,001 - \$15,000
	CON WAY INC COM	S(part)	Yes	10-22-09	\$1,001 - \$15,000
	CON WAY INC COM	S(part)	Yes	08-21-09	\$1,001 - \$15,000
	CONCHO RES INC COM	P	N/A	06-09-09	\$1,001 - \$15,000
	CONCHO RES INC COM	P	N/A	06-19-09	\$1,001 - \$15,000
	CONCHO RES INC COM	P	N/A	06-08-09	\$1,001 - \$15,000
	CONCUR TECHNOLOGIES INC	S(part)	No	02-05-09	\$1,001 - \$15,000
	COVENTRY HEALTH CARE INC	P	N/A	01-30-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	COVIDIEN LIMITED COM SHS ISIN BMG2552X1083	S(part)	No	03-04-09	\$1,001 - \$15,000
	COVIDIEN LTD WHEN ISSUED	P	N/A	01-23-09	\$1,001 - \$15,000
	CROWN HLDGS INC COM	S(part)	Yes	06-19-09	\$1,001 - \$15,000
	CROWN HLDGS INC COM	S(part)	No	04-16-09	\$1,001 - \$15,000
	CROWN HLDGS INC COM	S(part)	No	06-18-09	\$1,001 - \$15,000
	CROWN HOLDINGS INC	P	N/A	02-05-09	\$1,001 - \$15,000
	CVR ENERGY INC COM	S(part)	Yes	04-28-09	\$1,001 - \$15,000
	CVR ENERGY INC COM	S(part)	Yes	05-04-09	\$1,001 - \$15,000
	CVR ENERGY INC COM	P	N/A	02-05-09	\$1,001 - \$15,000
	CVS CAREMARK CORP	S(part)	No	11-05-09	\$1,001 - \$15,000
	CVS CAREMARK CORP	S(part)	No	11-06-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	CVS CAREMARK CORP	S(part)	No	06-30-09	\$1,001 - \$15,000
	D R HORTON INC	S(part)	Yes	04-17-09	\$1,001 - \$15,000
	D R HORTON INC	P	N/A	03-11-09	\$1,001 - \$15,000
	DANA HOLDING CORP COM	P	N/A	09-30-09	\$1,001 - \$15,000
	DANA HOLDING CORP COM	P	N/A	09-25-09	\$1,001 - \$15,000
	DANA HOLDING CORP COM	P	N/A	09-24-09	\$1,001 - \$15,000
	DARDEN RESTAURANTS	P	N/A	04-23-09	\$1,001 - \$15,000
	DARDEN RESTAURANTS	S	No	07-31-09	\$1,001 - \$15,000
	DAVITA INC	P	N/A	11-24-09	\$1,001 - \$15,000
	DELL INC	P	N/A	06-15-09	\$1,001 - \$15,000
	DELL INC	S	Yes	10-01-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	DELTA AIR LINES INC DEL COM NEW	P	N/A	12-02-09	\$1,001 - \$15,000
	DEVRY INC	S(part)	No	01-30-09	\$1,001 - \$15,000
	DIAMOND FOODS INC COM	S	Yes	04-02-09	\$1,001 - \$15,000
	DIAMOND FOODS INC COM	P	N/A	03-05-09	\$1,001 - \$15,000
	DICKS SPORTING GOODS INC	S(part)	No	05-04-09	\$1,001 - \$15,000
	DIRECTV COM CL A	P	N/A	12-29-09	\$1,001 - \$15,000
	DIRECTV COM CL A	P	N/A	12-23-09	\$1,001 - \$15,000
	DIRECTV GROUP INC	P	N/A	01-16-09	\$1,001 - \$15,000
	DIRECTV GROUP INC	P	N/A	01-23-09	\$1,001 - \$15,000
	DIRECTV GROUP INC	P	N/A	05-04-09	\$1,001 - \$15,000
	DISCOVERY COMMUNICATNS NEW COM SER A	P	N/A	04-01-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	DOLLAR THRIFTY AUTOMOTIVE GRCOM	P	N/A	10-30-09	\$1,001 - \$15,000
	DOLLAR TREE INC COM	S	No	02-25-09	\$1,001 - \$15,000
	DOLLAR TREE INC COM	P	N/A	02-17-09	\$1,001 - \$15,000
	DOMINION RESOURCES INC VA NEW	S	No	09-14-09	\$1,001 - \$15,000
	DOMINOS PIZZA INC COM	S	No	06-17-09	\$1,001 - \$15,000
	DOW CHEMICAL CO	S	Yes	10-21-09	\$1,001 - \$15,000
	DOW CHEMICAL CORP	P	N/A	08-31-09	\$1,001 - \$15,000
	DOW CHEMICAL CORP	P	N/A	08-28-09	\$1,001 - \$15,000
	EATON CORP	S	No	02-04-09	\$1,001 - \$15,000
	EBAY INC	P	N/A	08-06-09	\$1,001 - \$15,000
	EBAY INC	P	N/A	08-10-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	EMDEON INC CL A	S	No	09-29-09	\$1,001 - \$15,000
	EMDEON INC CL A	P	N/A	09-23-09	\$1,001 - \$15,000
	ENDURANCE SPECIALTY HOLDINGS LTD COM USD1	S(part)	Yes	07-24-09	\$1,001 - \$15,000
	ENDURANCE SPECIALTY HOLDINGS LTD COM USD1	S(part)	Yes	07-27-09	\$1,001 - \$15,000
	EQUINIX INC NEW	S(part)	No	02-18-09	\$1,001 - \$15,000
	EQUINIX INC NEW	P	N/A	01-23-09	\$1,001 - \$15,000
	EXELON CORP	P	N/A	07-28-09	\$1,001 - \$15,000
	EXELON CORP	P	N/A	12-15-09	\$1,001 - \$15,000
	EXPEDIA INC DEL COM	P	N/A	11-19-09	\$1,001 - \$15,000
	F5 NETWORKS INC	S(part)	No	03-18-09	\$1,001 - \$15,000
	F5 NETWORKS INC	P	N/A	01-23-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	F5 NETWORKS INC	S(part)	No	03-19-09	\$1,001 - \$15,000
	FIFTH THIRD BANCORP	P	N/A	07-24-09	\$1,001 - \$15,000
	FIFTH THIRD BANCORP	P	N/A	07-27-09	\$1,001 - \$15,000
	FIFTH THIRD BANCORP	S(part)	No	11-17-09	\$1,001 - \$15,000
	FIFTH THIRD BANCORP	S(part)	Yes	11-18-09	\$1,001 - \$15,000
	FIFTH THIRD BANCORP	S(part)	No	10-28-09	\$1,001 - \$15,000
	FIRST SOLAR INC COM	P	N/A	01-23-09	\$1,001 - \$15,000
	FIRST SOLAR INC COM	S(part)	No	02-26-09	\$1,001 - \$15,000
	FIRST SOLAR INC COM	S(part)	No	02-06-09	\$1,001 - \$15,000
	FLOWERS FOODS INC	S	No	05-22-09	\$1,001 - \$15,000
	FLOWERS FOODS INC	P	N/A	01-23-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	FOSTER WHEELER AG ORD CHF3	S	No	11-19-09	\$1,001 - \$15,000
	FREEPORT MCMORAN COPPER	P	N/A	10-02-09	\$1,001 - \$15,000
	FREEPORT MCMORAN COPPER & GOLD INC.	S(part)	Yes	11-30-09	\$1,001 - \$15,000
	FTI CONSULTING INC	S	No	01-16-09	\$1,001 - \$15,000
	GAMESTOP CORP NEW CL A	S(part)	No	05-27-09	\$1,001 - \$15,000
	GENERAL CABLE CORP	P	N/A	05-29-09	\$1,001 - \$15,000
	GENERAL CABLE CORP	P	N/A	06-19-09	\$1,001 - \$15,000
	GENERAL CABLE CORP	S	No	08-07-09	\$1,001 - \$15,000
	GILEAD SCIENCES INC	S(part)	No	10-23-09	\$1,001 - \$15,000
	GILEAD SCIENCES INC COM	P	N/A	01-23-09	\$1,001 - \$15,000
	GM CORP	P	N/A	05-28-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	GOODYEAR TIRE & RUBBER CO	S(part)	No	11-19-09	\$1,001 - \$15,000
	GOODYEAR TIRE & RUBR	P	N/A	08-05-09	\$1,001 - \$15,000
	GOOGLE INC CL A	P	N/A	11-20-09	\$1,001 - \$15,000
	GOOGLE INC CL A	P	N/A	11-19-09	\$1,001 - \$15,000
	GRAFTECH INTERNATIONAL LTD INC COM	P	N/A	06-30-09	\$1,001 - \$15,000
	GRAFTECH INTL LTD COM	S(part)	Yes	10-06-09	\$1,001 - \$15,000
	GRAFTECH INTL LTD COM	S(part)	No	10-05-09	\$1,001 - \$15,000
	HEALTH CARE REIT INC COM	P	N/A	09-09-09	\$1,001 - \$15,000
	HEINZ H J CO	P	N/A	11-24-09	\$1,001 - \$15,000
	HENRY SCHEIN INC	S	Yes	06-05-09	\$1,001 - \$15,000
	HEWLETT PACKARD CO	P	N/A	03-11-09	\$1,001 - \$15,000

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	HEWLETT-PACKARD CO DE	S(part)	Yes	12-01-09	\$1,001 - \$15,000
	HEWLETT-PACKARD CO DE	S(part)	Yes	11-27-09	\$1,001 - \$15,000
	HEWLETT-PACKARD CO DE	S(part)	No	11-25-09	\$1,001 - \$15,000
	HEWLETT-PACKARD CO DE	S(part)	Yes	11-30-09	\$1,001 - \$15,000
	HGGREGG INC COM	P	N/A	11-04-09	\$1,001 - \$15,000
	HIBBETT SPORTS INC COM	S(part)	No	06-04-09	\$1,001 - \$15,000
	HIBBETT SPORTS INC COM	P	N/A	03-10-09	\$1,001 - \$15,000
	HIBBETT SPORTS INC COM	S(part)	Yes	06-03-09	\$1,001 - \$15,000
	I B M	P	N/A	11-10-09	\$1,001 - \$15,000
	ICON SPON ADR EACH 1REP 1 SHR	S(part)	No	04-22-09	\$1,001 - \$15,000
	ICON SPON ADR EACH 1REP 1 SHR	S(part)	No	04-28-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	ICONIX BRAND GROUP INC	S(part)	No	09-30-09	\$1,001 - \$15,000
	ICONIX BRAND GROUP INC COM	P	N/A	06-18-09	\$1,001 - \$15,000
	ILLINOIS TOOL WKS INC	P	N/A	11-12-09	\$1,001 - \$15,000
	INTREPID POTASH INC COM	S	No	01-30-09	\$1,001 - \$15,000
	INTREPID POTASH INC COM	P	N/A	01-23-09	\$1,001 - \$15,000
	INVESCO LTD	P	N/A	07-02-09	\$1,001 - \$15,000
	IRIDIUM COMMUNICATIONS INC COM	P	N/A	10-05-09	\$1,001 - \$15,000
	ISHARES BARCLAY 20+ YEAR TREAS BOND	P	N/A	10-22-09	\$1,001 - \$15,000
	ISHARES BARCLAYS 20+ YEAR TREAS BOND	P	N/A	11-20-09	\$1,001 - \$15,000
	ISHARES BARCLAYS 20+ YEAR TREAS BOND	P	N/A	04-22-09	\$1,001 - \$15,000
	ISHARES BARCLAYS 20+ YEAR TREAS BOND	P	N/A	08-26-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	ITRON INC	S(part)	No	02-27-09	\$1,001 - \$15,000
	ITT EDUCATIONAL SVCS INC COM	P	N/A	02-10-09	\$1,001 - \$15,000
	ITT EDUCATIONAL SVCSINC	S(part)	No	04-29-09	\$1,001 - \$15,000
	ITT EDUCATIONAL SVCSINC	S(part)	No	04-09-09	\$1,001 - \$15,000
	JAMES RIV COAL CO COM NEW	S(part)	No	05-28-09	\$1,001 - \$15,000
	JAMES RIVER COAL CO COM NEW	P	N/A	05-05-09	\$1,001 - \$15,000
	JARDEN CORP COM	P	N/A	04-24-09	\$1,001 - \$15,000
	JARDEN CORP COM	S(part)	Yes	08-05-09	\$1,001 - \$15,000
	JARDEN CORP COM	S(part)	Yes	08-07-09	\$1,001 - \$15,000
	JOY GLOBAL INC COM	P	N/A	08-12-09	\$1,001 - \$15,000
	JOY GLOBAL INC COM	P	N/A	06-05-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	JUNIPER NETWORKS INC COM	P	N/A	10-20-09	\$1,001 - \$15,000
	JUNIPER NETWORKS INC COM	P	N/A	11-12-09	\$1,001 - \$15,000
	JUNIPER NETWORKS INC COM	P	N/A	11-19-09	\$1,001 - \$15,000
	KENNAMETAL INC COM	P	N/A	12-18-09	\$1,001 - \$15,000
	KENNAMETAL INC COM	P	N/A	12-17-09	\$1,001 - \$15,000
	KENNAMETAL INC COM	P	N/A	12-21-09	\$1,001 - \$15,000
	LABORATORY CORP AMERHLDGS COM NEW	S	No	01-21-09	\$1,001 - \$15,000
	LAZARD LTD COM CLASSA USD0.01	S	No	05-19-09	\$1,001 - \$15,000
	LENDER PROCESSING SVCS INC COM	S(part)	No	01-30-09	\$1,001 - \$15,000
	LENDER PROCESSING SVCS INC COM	S(part)	No	01-29-09	\$1,001 - \$15,000
	LENNAR CORP	P	N/A	04-24-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	LENNAR CORP CL A	S	No	06-05-09	\$1,001 - \$15,000
	LHC GROUP INC COM	P	N/A	09-17-09	\$1,001 - \$15,000
	LHC GROUP INC COM	P	N/A	09-18-09	\$1,001 - \$15,000
	LIFE TECHNOLOGIES CORP COM	P	N/A	05-12-09	\$1,001 - \$15,000
	LINCARE HLDGS INC	S(part)	No	03-23-09	\$1,001 - \$15,000
	LOCKHEED MARTIN CORP	P	N/A	01-13-09	\$1,001 - \$15,000
	LOCKHEED MARTIN CORP	S(part)	No	02-11-09	\$1,001 - \$15,000
	LONGTOP FINANCIAL TECHNOLOGIES LTD ADR EACH REPR 1	S(part)	No	08-17-09	\$1,001 - \$15,000
	LONGTOP FINL TECHNOLOGIES LTD ADRUNSOLICITED	P	N/A	07-27-09	\$1,001 - \$15,000
	M I SCHOTTENSTEIN HOMES INC COM	P	N/A	05-20-09	\$1,001 - \$15,000
	M/I HOMES INC	S	No	06-19-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	MARVELL TECH GROUP COM USD0.002	S(part)	Yes	05-07-09	\$1,001 - \$15,000
	MARVELL TECHNOLOGY GROUP ORD	P	N/A	02-05-09	\$1,001 - \$15,000
	MARVELL TECHNOLOGY GROUP ORD	P	N/A	11-19-09	\$1,001 - \$15,000
	MARVELL TECHNOLOGY GROUP ORD	P	N/A	12-29-09	\$1,001 - \$15,000
	MARVELL TECHNOLOGY GROUP ORD	P	N/A	09-17-09	\$1,001 - \$15,000
	MASIMO CORP	S(part)	No	05-07-09	\$1,001 - \$15,000
	MCAFEE INC	P	N/A	11-19-09	\$1,001 - \$15,000
	MCAFEE INC	S(part)	Yes	06-30-09	\$1,001 - \$15,000
	MCDERMOTT INTERNATIONAL INC COM STK USD1	S	Yes	08-25-09	\$1,001 - \$15,000
	MCDONALDS CORP	P	N/A	01-23-09	\$1,001 - \$15,000
	MCDONALDS CORP	S(part)	No	05-04-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	MEDASSETS INC COM	S(part)	Yes	08-04-09	\$1,001 - \$15,000
	MEDCO HEALTH SOLUTIONS INC COM	P	N/A	11-05-09	\$1,001 - \$15,000
	MEDCO HEALTH SOLUTIONS INC COM	P	N/A	12-23-09	\$1,001 - \$15,000
	MEDNAX INC COM	P	N/A	08-05-09	\$1,001 - \$15,000
	MEDNAX INC COM	P	N/A	07-10-09	\$1,001 - \$15,000
	MEDNAX INC COM	S(part)	Yes	11-25-09	\$1,001 - \$15,000
	MEDNAX INC COM	P	N/A	06-25-09	\$1,001 - \$15,000
	MERITAGE CORP COM	P	N/A	04-20-09	\$1,001 - \$15,000
	MERITAGE HOMES CORP	S(part)	Yes	04-30-09	\$1,001 - \$15,000
	MERITAGE HOMES CORP	S(part)	Yes	04-29-09	\$1,001 - \$15,000
	METROPICS COMMUNICATIONS INC	S(part)	No	06-05-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	METROPOLIS COMMUNICATIONS INC COM	P	N/A	02-27-09	\$1,001 - \$15,000
	MICROCHIP TECH INC	S(part)	Yes	08-13-09	\$1,001 - \$15,000
	MICROCHIP TECH INC	S(part)	Yes	08-12-09	\$1,001 - \$15,000
	MICROCHIP TECHNOLOGY INC COM	P	N/A	04-16-09	\$1,001 - \$15,000
	MICROCHIP TECHNOLOGY INC COM	P	N/A	04-14-09	\$1,001 - \$15,000
	MICROCHIP TECHNOLOGY INC COM	P	N/A	05-07-09	\$1,001 - \$15,000
	MICRON TECHNOLOGY	S(part)	Yes	09-18-09	\$1,001 - \$15,000
	MICRON TECHNOLOGY INC	P	N/A	04-09-09	\$1,001 - \$15,000
	MICRON TECHNOLOGY INC	P	N/A	05-01-09	\$1,001 - \$15,000
	MICRON TECHNOLOGY INC	P	N/A	12-29-09	\$1,001 - \$15,000
	MICROSOFT CORP	S(part)	Yes	08-06-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	MICROSOFT CORP	S(part)	Yes	08-18-09	\$1,001 - \$15,000
	MICROSOFT CORP COM	P	N/A	04-24-09	\$1,001 - \$15,000
	MICROSOFT CORP COM	P	N/A	10-23-09	\$1,001 - \$15,000
	MONOLITHIC PWR SYS INC COM	S(part)	No	01-30-09	\$1,001 - \$15,000
	MORGAN STANLEY	S(part)	No	09-15-09	\$1,001 - \$15,000
	MORGAN STANLEY	S(part)	No	09-16-09	\$1,001 - \$15,000
	MORGAN STANLEY DEAN	P	N/A	05-20-09	\$1,001 - \$15,000
	MOTOROLA INC	P	N/A	09-16-09	\$1,001 - \$15,000
	MOTOROLA INC	P	N/A	09-17-09	\$1,001 - \$15,000
	MOTORS LIQ CO COM	S	No	06-18-09	\$1,001 - \$15,000
	NATIONAL OILWELL VARCO INC	S(part)	Yes	06-03-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	NATIONAL OILWELL VARCO INC	S(part)	No	05-28-09	\$1,001 - \$15,000
	NATIONAL SEMICONDUCTOR	P	N/A	05-07-09	\$1,001 - \$15,000
	NATIONAL SEMICONDUCTOR	P	N/A	05-05-09	\$1,001 - \$15,000
	NATL SEMICONDUCTOR CORP COM	S(part)	No	09-18-09	\$1,001 - \$15,000
	NATL SEMICONDUCTOR CORP COM	S(part)	Yes	09-17-09	\$1,001 - \$15,000
	NCI INC CL A	S	No	05-29-09	\$1,001 - \$15,000
	NETAPP INC COM	P	N/A	08-17-09	\$1,001 - \$15,000
	NETAPP INC COM	P	N/A	08-12-09	\$1,001 - \$15,000
	NETEZZA CORP	S(part)	No	04-21-09	\$1,001 - \$15,000
	NETFLIX COM INC COM	P	N/A	06-19-09	\$1,001 - \$15,000
	NETFLIX COM INC COM	P	N/A	06-18-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	NETFLIX COM INC COM	S	No	08-06-09	\$1,001 - \$15,000
	NETLOGIC MICROSYSTEMS INC	S(part)	No	04-23-09	\$1,001 - \$15,000
	NETLOGIC MICROSYSTEMS INC COM	P	N/A	12-22-09	\$1,001 - \$15,000
	NEUTRAL TANDEM INC COM	S(part)	No	12-17-09	\$1,001 - \$15,000
	NEUTRAL TANDEM INC COM	P	N/A	11-17-09	\$1,001 - \$15,000
	NEUTRAL TANDEM INC COM	P	N/A	06-12-09	\$1,001 - \$15,000
	NEUTRAL TANDEM INC COM	P	N/A	06-18-09	\$1,001 - \$15,000
	NEUTRAL TANDEM INC COM	P	N/A	11-19-09	\$1,001 - \$15,000
	NEUTRAL TANDEM INC COM	P	N/A	10-01-09	\$1,001 - \$15,000
	NEUFIELD EXPL CO	P	N/A	03-30-09	\$1,001 - \$15,000
	NEUFIELD EXPLORATIONCO	S	Yes	06-16-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	NIH HLDGS INC NEW	S(part)	No	04-22-09	\$1,001 - \$15,000
	NOBLE CORPORATION (SWITZERLAND) COM CHF4.75	S(part)	Yes	11-25-09	\$1,001 - \$15,000
	NOBLE CORPORATION (SWITZERLAND) COM CHF4.75	S(part)	Yes	11-17-09	\$1,001 - \$15,000
	NOBLE CORPORATION (SWITZERLAND) COM CHF4.75	S(part)	Yes	09-18-09	\$1,001 - \$15,000
	NOBLE CORPORATION (SWITZERLAND) COM CHF4.75	S(part)	No	07-02-09	\$1,001 - \$15,000
	NOBLE CORPORATION BAAR NAMEN-AKT	P	N/A	04-21-09	\$1,001 - \$15,000
	NOBLE ENERGY INC COM	P	N/A	04-07-09	\$1,001 - \$15,000
	NOBLE ENERGY INC COM	P	N/A	04-06-09	\$1,001 - \$15,000
	NOBLE ENERGY INC COM	S	No	07-02-09	\$1,001 - \$15,000
	NUANCE COMMUNICATIONS INC COM	S(part)	No	11-11-09	\$1,001 - \$15,000
	O REILLY AUTOMOTIVE INC	S(part)	No	05-28-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	O REILLY AUTOMOTIVE INC COM	P	N/A	03-03-09	\$1,001 - \$15,000
	OCCIDENTAL PETE CORP	P	N/A	03-09-09	\$1,001 - \$15,000
	OCCIDENTAL PETE CORP	P	N/A	03-04-09	\$1,001 - \$15,000
	OCCIDENTAL PETROLEUM CORP	S(part)	Yes	10-01-09	\$1,001 - \$15,000
	OCCIDENTAL PETROLEUM CORP	S(part)	No	03-10-09	\$1,001 - \$15,000
	OCCIDENTAL PETROLEUM CORP	S(part)	No	04-06-09	\$1,001 - \$15,000
	OCCIDENTAL PETROLEUM CORP	S(part)	No	04-07-09	\$1,001 - \$15,000
	OMNITURE INC COM	S(part)	No	02-05-09	\$1,001 - \$15,000
	OMNITURE INC COM	S(part)	No	02-06-09	\$1,001 - \$15,000
	OMNITURE INC COM	S(part)	No	04-23-09	\$1,001 - \$15,000
	ON SEMICONDUCTOR CORP COM	P	N/A	08-31-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	ON SEMICONDUCTOR CRP	S(part)	Yes	05-08-09	\$1,001 - \$15,000
	ON SEMICONDUCTOR CRP	S(part)	No	10-13-09	\$1,001 - \$15,000
	ON SEMICONDUCTOR CRP	S(part)	No	10-14-09	\$1,001 - \$15,000
	ORION MARINE GROUP INC COM	P	N/A	10-07-09	\$1,001 - \$15,000
	ORION MARINE GROUP INC COM	P	N/A	08-14-09	\$1,001 - \$15,000
	PANERA BREAD CO CL A	P	N/A	10-21-09	\$1,001 - \$15,000
	PANERA BREAD CO CL A	P	N/A	11-18-09	\$1,001 - \$15,000
	PANERA BREAD COMPANY CL A	S	Yes	04-13-09	\$1,001 - \$15,000
	PARTNERRE COM STK USD1	S(part)	Yes	01-23-09	\$1,001 - \$15,000
	PEABODY ENERGY CORP	S(part)	No	09-29-09	\$1,001 - \$15,000
	PEABODY ENERGY CORP COM(5) 36.2500	P	N/A	08-07-09	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	PEABODY ENERGY CORP COM(5) 36.2500	P	N/A	08-06-09	\$1,001 - \$15,000
	PENSKE AUTOMOTIVE GRP INC COM	P	N/A	06-10-09	\$1,001 - \$15,000
	PETROHAWK ENERGY CORP	S	No	06-08-09	\$1,001 - \$15,000
	PETROHAWK ENERGY CORP	P	N/A	11-19-09	\$1,001 - \$15,000
	PETROLEO BRASILEIRO SA PETROBRAS ADS EACH REP 2 CO	S(part)	No	04-21-09	\$1,001 - \$15,000
	PETROLEO BRASILEIRO SA PETROBRAS ADS EACH REP 2 CO	S(part)	Yes	04-23-09	\$1,001 - \$15,000
	PETROLEO BRASILEIRO SA PETROBRAS ADS EACH REP 2 CO	S(part)	Yes	04-24-09	\$1,001 - \$15,000
	PMC-SIERRA INC	S(part)	No	12-21-09	\$1,001 - \$15,000
	PMC-SIERRA INC	S(part)	Yes	04-08-09	\$1,001 - \$15,000
	PMC-SIERRA INC	P	N/A	02-11-09	\$1,001 - \$15,000
	PMC-SIERRA INC	P	N/A	07-30-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	PMC-SIERRA INC	P	N/A	07-27-09	\$1,001 - \$15,000
	PMC-SIERRA INC	S(part)	No	12-22-09	\$1,001 - \$15,000
	PNC FINL SVCS GROUP	S(part)	No	01-15-09	\$1,001 - \$15,000
	PNC FINL SVCS GROUP	S(part)	No	01-16-09	\$1,001 - \$15,000
	PRICELINE COM INC COM NEW	S(part)	Yes	11-19-09	\$1,001 - \$15,000
	PRICELINE.COM NEW	P	N/A	06-01-09	\$1,001 - \$15,000
	PRINCIPAL FINANCIAL GROUP	S	No	08-03-09	\$1,001 - \$15,000
	PROCTOR & GAMBLE COM.	P	N/A	05-11-09	\$1,001 - \$15,000
	PROCTOR & GAMBLE COM.	P	N/A	05-18-09	\$1,001 - \$15,000
	PROCTOR & GAMBLE COM.	P	N/A	08-28-09	\$1,001 - \$15,000
	QUALCOMM INC	S(part)	No	02-03-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	QUALCOMM INC	P	N/A	03-18-09	\$1,001 - \$15,000
	QUALCOMM INC	P	N/A	01-23-09	\$1,001 - \$15,000
	QUALCOMM INC	P	N/A	11-19-09	\$1,001 - \$15,000
	QUALCOMM INC	S(part)	No	10-02-09	\$1,001 - \$15,000
	RESEARCH IN MOTION COM NPV ISIN #CA7609751028 SEDO	S	Yes	05-07-09	\$1,001 - \$15,000
	ROWAN COS INC	S(part)	Yes	08-26-09	\$1,001 - \$15,000
	RYDER SYS INC	P	N/A	08-04-09	\$1,001 - \$15,000
	SAIC INC COM	P	N/A	01-27-09	\$1,001 - \$15,000
	SAIC INC COM	S	No	06-11-09	\$1,001 - \$15,000
	SANDRIDGE ENERGY INC COM	P	N/A	05-18-09	\$1,001 - \$15,000
	SANDRIDGE ENERGY INC COM	P	N/A	05-11-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	SANDRIDGE ENERGY INC COM	S(part)	No	06-23-09	\$1,001 - \$15,000
	SEAGATE TECHNOLOGY COM USD0.00001	S	Yes	04-06-09	\$1,001 - \$15,000
	SHANDA INTERACTIVE ENTERTAINMENT SPON ADR EA REP 2	S	No	08-20-09	\$1,001 - \$15,000
	SHANDA INTERACTIVE ENTMT LTDSPONSORED ADR	P	N/A	04-30-09	\$1,001 - \$15,000
	SHAW GROUP INC	S(part)	No	02-24-09	\$1,001 - \$15,000
	SHAW GROUP INC COM	P	N/A	01-08-09	\$1,001 - \$15,000
	SHAW GROUP INC COM	P	N/A	01-09-09	\$1,001 - \$15,000
	SOLERA HLDGS INC	S(part)	No	06-15-09	\$1,001 - \$15,000
	SOLERA HLDGS INC	S(part)	Yes	10-27-09	\$1,001 - \$15,000
	SOLERA HOLDINGS INC COM	P	N/A	01-23-09	\$1,001 - \$15,000
	SONIC AUTOMOTIVE INC	S	Yes	05-08-09	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	ST JUDE MED INC	P	N/A	01-23-09	\$1,001 - \$15,000
	ST JUDE MEDICAL INC	S(part)	No	10-06-09	\$1,001 - \$15,000
	ST JUDE MEDICAL INC	S(part)	No	10-07-09	\$1,001 - \$15,000
	STATE STR CORP COM	P	N/A	05-19-09	\$1,001 - \$15,000
	STATE STREET CORP	S	Yes	07-24-09	\$1,001 - \$15,000
	STEEL DYNAMICS INC	P	N/A	06-16-09	\$1,001 - \$15,000
	STEEL DYNAMICS INC	S(part)	No	10-20-09	\$1,001 - \$15,000
	STEEL DYNAMICS INC	P	N/A	06-12-09	\$1,001 - \$15,000
	STEEL DYNAMICS INC	S(part)	No	10-06-09	\$1,001 - \$15,000
	SUNCOR ENERGY INC COM	P	N/A	05-27-09	\$1,001 - \$15,000
	SWIFT ENERGY CO	S(part)	Yes	11-20-09	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	SWIFT ENERGY CO	S(part)	No	07-10-09	\$1,001 - \$15,000
	SWIFT ENERGY CO	S(part)	No	11-19-09	\$1,001 - \$15,000
	SWIFT ENERGY CO COM	P	N/A	08-06-09	\$1,001 - \$15,000
	SWIFT ENERGY CO COM	P	N/A	06-18-09	\$1,001 - \$15,000
	SWIFT ENERGY CO COM	P	N/A	06-17-09	\$1,001 - \$15,000
	SYBASE INC	S(part)	Yes	08-06-09	\$1,001 - \$15,000
	SYBASE INC	P	N/A	01-30-09	\$1,001 - \$15,000
	SYBASE INC	P	N/A	01-29-09	\$1,001 - \$15,000
	SYMANTEC CORP	S	No	07-30-09	\$1,001 - \$15,000
	SYMANTEC CORP COM	P	N/A	04-14-09	\$1,001 - \$15,000
	SYMANTEC CORP COM	P	N/A	05-01-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	SYNAPTICS INC COM	P	N/A	01-16-09	\$1,001 - \$15,000
	SYSCO CORP	P	N/A	11-09-09	\$1,001 - \$15,000
	TAIWAN SEMICONDUCTOR MFG CO ADR SPONSORED	P	N/A	01-26-09	\$1,001 - \$15,000
	TAIWAN SEMICONDUCTOR MFG CO ADR SPONSORED	P	N/A	05-01-09	\$1,001 - \$15,000
	TAIWAN SEMICONDUCTOR MFG CO ADR SPONSORED	P	N/A	01-23-09	\$1,001 - \$15,000
	TAIWAN SEMICONDUCTORMANUFACTURING ADS EACH CNV INT	S(part)	Yes	10-16-09	\$1,001 - \$15,000
	TAIWAN SEMICONDUCTORMANUFACTURING ADS EACH CNV INT	S(part)	No	10-19-09	\$1,001 - \$15,000
	TELLABS INC	S	No	06-15-09	\$1,001 - \$15,000
	TENNECO INC COM	S(part)	No	09-24-09	\$1,001 - \$15,000
	TENNECO INC COM	S(part)	No	09-25-09	\$1,001 - \$15,000
	TERADATA CORP DEL COM	S	Yes	05-12-09	\$1,001 - \$15,000

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Name Lamar Smith

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	TERRA INDS INC COM	P	N/A	02-23-09	\$1,001 - \$15,000
	TEVA PHARMACEUTICAL INDS LTD ADR	P	N/A	01-23-09	\$1,001 - \$15,000
	TEVA PHARMACEUTICAL INDUSTRIES ADR-EACH CNV INTO 1	S(part)	No	06-05-09	\$1,001 - \$15,000
	TEXAS INSTRS INC	P	N/A	11-25-09	\$1,001 - \$15,000
	TEXAS INSTRS INC	P	N/A	11-30-09	\$1,001 - \$15,000
	TEXAS INSTRS INC	P	N/A	11-27-09	\$1,001 - \$15,000
	THERMO FISHER SCIENTIFIC INC	S(part)	No	12-23-09	\$1,001 - \$15,000
	THERMO FISHER SCIENTIFIC INC	S(part)	No	03-24-09	\$1,001 - \$15,000
	THERMO FISHER SCIENTIFIC INC	S(part)	No	12-24-09	\$1,001 - \$15,000
	THERMO FISHER SCIENTIFIC INC	S(part)	No	12-28-09	\$1,001 - \$15,000
	THERMO FISHER SCIENTIFIC INC	S(part)	No	12-29-09	\$1,001 - \$15,000

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Name Lamar Smith

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	TIME WARNER CABLE INC COM	P	N/A	09-11-09	\$1,001 - \$15,000
	TIME WARNER INC COM	P	N/A	04-16-09	\$1,001 - \$15,000
	TIME WARNER INC NEW COM NEW	S	Yes	07-30-09	\$1,001 - \$15,000
	TRANSOCEAN LIMITED COM CHF15	S	No	04-21-09	\$1,001 - \$15,000
	TRANSOCEAN LTD	P	N/A	01-23-09	\$1,001 - \$15,000
	TREEHOUSE FOODS INC	S(part)	No	02-11-09	\$1,001 - \$15,000
	TREEHOUSE FOODS INC	S(part)	No	03-31-09	\$1,001 - \$15,000
	TREEHOUSE FOODS INC	S(part)	No	02-10-09	\$1,001 - \$15,000
	TRW AUTOMOTIVE HLDGS CORP COM	P	N/A	08-12-09	\$1,001 - \$15,000
	TRW AUTOMOTIVE HLDGS CORP COM	P	N/A	08-20-09	\$1,001 - \$15,000
	TYCO ELECTRONICS LTD	P	N/A	07-10-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	TYCO ELECTRONICS LTD	P	N/A	07-24-09	\$1,001 - \$15,000
	TYCO ELECTRONICS LTD COM CHF2.60	S(part)	Yes	09-18-09	\$1,001 - \$15,000
	TYCO INTERNATIONAL LTD SHS	P	N/A	03-18-09	\$1,001 - \$15,000
	TYCO INTERNATIONAL LTD SHS	P	N/A	03-17-09	\$1,001 - \$15,000
	TYCO INTERNATIONAL LTD(SWITZERLAND) COMCHF7.60	S	Yes	07-01-09	\$1,001 - \$15,000
	TYSON FOODS INC CL A	P	N/A	06-19-09	\$1,001 - \$15,000
	TYSON FOODS INC CL AFRMLY COM	S	No	07-20-09	\$1,001 - \$15,000
	ULTRA PETROLEUM CORP COM NPV	S	Yes	05-15-09	\$1,001 - \$15,000
	UNITED STATES TREAS NT 3.500 02/15/18	P	N/A	01-23-09	\$1,001 - \$15,000
	UNITED STATES TREAS NTS 3.500% 02/15/2010	S	No	10-08-09	\$15,001 - \$50,000
	UQM TECHNOLOGIES INC COM	P	N/A	10-30-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	URBAN OUTFITTERS INC COM	P	N/A	11-17-09	\$1,001 - \$15,000
	US AIRWAYS	P	N/A	01-16-09	\$1,001 - \$15,000
	US TREASURY NOTES 3.500% 02/15/10	P	N/A	01-23-09	\$1,001 - \$15,000
	US TREASURY NOTES 4.250% 08/15/15	P	N/A	01-23-09	\$1,001 - \$15,000
	VEECO INSTRS INC DEL	P	N/A	10-28-09	\$1,001 - \$15,000
	VEECO INSTRS INC DEL	P	N/A	10-29-09	\$1,001 - \$15,000
	VERIZON COMMUNICATIONS	P	N/A	09-11-09	\$1,001 - \$15,000
	WAL MART STORES INC	P	N/A	09-16-09	\$1,001 - \$15,000
	WAL MART STORES INC	P	N/A	01-23-09	\$1,001 - \$15,000
	WAL-MART STORES INC	S(part)	No	05-01-09	\$1,001 - \$15,000
	WAL-MART STORES INC	S(part)	No	07-30-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	WELLS FARGO & CO NEW	S	Yes	05-15-09	\$1,001 - \$15,000
	WELLS FARGO NEW	P	N/A	01-23-09	\$1,001 - \$15,000
	WRIGHT MED GROUP INC	S(part)	No	03-09-09	\$1,001 - \$15,000
	YAHOO INC	S(part)	No	11-19-09	\$1,001 - \$15,000
	YAHOO INC	P	N/A	10-21-09	\$1,001 - \$15,000
	YUM BRANDS INC COM	P	N/A	05-06-09	\$1,001 - \$15,000
	YUM BRANDS INC COM	P	N/A	05-05-09	\$1,001 - \$15,000
	YUM BRANDS INC COM	P	N/A	05-04-09	\$1,001 - \$15,000
	YUM! BRANDS INC	S	No	10-29-09	\$1,001 - \$15,000
	ZHONGPIN INC COM	S(part)	No	11-10-09	\$1,001 - \$15,000
	ZHONGPIN INC COM	P	N/A	10-13-09	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	ZHONGPIN INC COM	S(part)	No	11-09-09	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	CHASE HOME FINANCE LLC	Mortgage on 1211 Summit Rd. McLean, VA	\$100,001 - \$250,000
SP	VIEWPOINT BANK	Mortgage on 12 Stoneholm, Boston, MA	\$100,001 - \$250,000

FOOTNOTES

Name Lamar Smith

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Securities transactions are in a discretionary, managed account which Lamar Smith and his spouse have no control over what is bought or sold.	Securities transactions