

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 14
For use by Members, officers, and employees

Wayne Edward Whitfield

(Full Name)

(202) 225-3115

(Daytime Telephone)

Filer Status ☒ Member of the U.S. House of Representatives State: KY District: 01

☐ Officer Or Employee Employing Office:

Report Type ☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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(Office Use Only)

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

HAND DELIVERED

SCHEDULE 1 - EARNED INCOME

Name Wayne Edward Whitfield

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
CSX Corporation	Pension Benefit	\$37,000
The Humane Society of the United States	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Wayne Edward Whitfield

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BLOCK A Asset and/or Income Source <small>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); now-dedicated facilities; and any other property held for investment.</small>	BLOCK B Year-End Value of Asset <small>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</small>	BLOCK C Type of Income <small>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</small>	BLOCK D Amount of Income <small>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</small>	BLOCK E Transaction <small>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</small>
Abbott Lab	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Adobe Systems	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S
Apple Inc.	None	DIVIDENDS	\$201 - \$1,000	S
AT&T	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Berkshire Hathaway - B	\$15,001 - \$50,000	None	NONE	
California St 4% 16	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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California St 4.5% 18	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
Campbell and Kenton County Bond	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	P
Chevron Stock	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
Cisco	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S
Coca-Cola	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Congressional Credit Union	\$1,001 - \$15,000	Interest	\$1 - \$200	
CSX Corp. Board	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
CVS Caremark	None	DIVIDENDS	\$201 - \$1,000	S
DMC Green	\$15,001 - \$50,000	None	NONE	
Duke Energy	None	DIVIDENDS	\$201 - \$1,000	S
Ebay Inc.	None	Dividends	\$201 - \$1,000	S
Exxon	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	
Fidelity Blue Chip Fund	\$15,001 - \$50,000	DIVIDENDS/INTE REST	\$1,001 - \$2,500	
Google	None	DIVIDENDS	\$201 - \$1,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Hampton Roads Revenue Bond	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	P
Hewlett Packard	None	DIVIDENDS	\$1,001 - \$2,500	S
Houston Tax Revenue Bond	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	P
Intel	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
International Business	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
Johnson and Johnson	None	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	S
Los Angeles County 5% 15	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
Louisville and Jefferson County Bond	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
SP Manulife Financial	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Marshall Isley Bank	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
May Department / Macy's	\$15,001 - \$50,000	DIVIDENDS	\$5,001 - \$15,000	
McDonalds	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Metropolitan Water 4% 12	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
Microsoft	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Monsanto	None	DIVIDENDS	\$201 - \$1,000	S
Nokia	None	DIVIDENDS	\$201 - \$1,000	S
Northwestern Annuity	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Old National Bank Building 1027 Indianapolis Road Green Castle, IN 46135	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	

PepsiCo Inc.	None	DIVIDENDS	\$201 - \$1,000	S
Pfizer	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S
Proctor and Gamble	None	DIVIDENDS	\$201 - \$1,000	S
Qualcomm Inc.	None	DIVIDENDS	\$1 - \$200	S
Sacramento County Municipal Bond	\$50,001 - \$100,000	Interest	\$201 - \$1,000	
Schering-Plough	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Schwab Account Cash	\$250,001 - \$500,000	INTEREST	\$2,501 - \$5,000	
SPDR Gold TR	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Statoil Hydro ASA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Travelers Corp.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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United Bank	\$50,001 - \$100,000	INTEREST	\$1 - \$200	
United Bank DC	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
Vacant Lot--Greenbrier-Sporting Club, Travelers Hill, White Sulfr Springs, WV, Lot 26	\$100,001 - \$250,000	None	NONE	
Vanguard Growth Index Fund	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	P
Vanguard Inflation	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
Vanguard Prime Money Market Fund	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
Vanguard S&P 500 Fund	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
Vanguard Short Term Tax	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	P
Vanguard Tax Exempt	\$50,001 - \$100,000	None	NONE	
Verizon	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
Virginia ST 5% Bond	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	P
Virginia ST Res 5.125 Bond	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	P
Walt Disney Co	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Washington First	\$15,001 - \$50,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	Wells Fargo	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S
	White Oak Aoc Growth Fund	None	None	NONE	S
	William Blair Growth Fund	None	None	NONE	S

SCHEDULE IV - TRANSACTIONS

Name Wayne Edward Whitfield

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Adobe Systems	S	Yes	08-12-2010	\$15,001 - \$50,000
	Apple Inc.	S	No	08-12-2010	\$15,001 - \$50,000
	Campbell and Kenton County Bond	P	N/A	10-15-2010	\$1,001 - \$15,000
	Cisco	S	Yes	08-12-2010	\$1,001 - \$15,000
	CVS Caremark	S	No	08-12-2010	\$15,001 - \$50,000
	Duke Energy	S	No	08-16-2010	\$1,001 - \$15,000
	Ebay Inc.	S	No	08-12-2010	\$1,001 - \$15,000
	Google	S	No	08/12/2010	\$1,001 - \$15,000
	Hampton Roads Revenue Bond	P	N/A	09/13/2010	\$15,001 - \$50,000
	Hewlett Packard	S	No	08-12-2010	\$1,001 - \$15,000
	Houston Tax Revenue Bond	P	N/A	09-16-2010	\$50,001 - \$100,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Johnson and Johnson	S	Yes	08-13-2010	\$15,001 - \$50,000
	Louisville and Jefferson County Bond	P	N/A	10-15-2010	\$15,001 - \$50,000
	Microsoft	P	N/A	04-23-2010	\$1,001 - \$15,000
	Monsanto	S	No	08-13-2010	\$15,001 - \$50,000
	Nokia	S	No	08-12-2010	\$1,001 - \$15,000
	PepsiCo Inc.	S	No	08-12-2010	\$15,001 - \$50,000
	Pfizer	S	Yes	08-12-2010	\$1,001 - \$15,000
	Proctor and Gamble	S	No	08-12-2010	\$15,001 - \$50,000
	Qualcomm Inc.	S	No	08-12-2010	\$1,001 - \$15,000
	Travelers Corp.	P	N/A	01-15-2010	\$1,001 - \$15,000
	Vanguard Growth Index Fund	P	N/A	09-06-2010	\$50,001 - \$100,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Vanguard Inflation	P	N/A	01-19-2010	\$15,001 - \$50,000
	Vanguard Prime Money Market Fund	P	N/A	09-06-2010	\$15,001 - \$50,000
	Vanguard Short Term Tax	P	N/A	01-19-2010	\$15,001 - \$50,000
	Verizon	P	N/A	01-20-2010	\$15,001 - \$50,000
	Virginia ST 5% Bond	P	N/A	09-04-2010	\$15,001 - \$50,000
	Virginia ST Res 5.125 Bond	P	N/A	09-04-2010	\$15,001 - \$50,000
	Wells Fargo	S	Yes	08-12-2010	\$1,001 - \$15,000
	White Oak Aoc Growth Fund	S	No	08-12-2010	\$1,001 - \$15,000
	William Blair Growth Fund	S	No	08-12-2010	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

Name Wayne Edward Whitfield

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	BB&T	January 2003	Mortgage- Lot 26 Greenbrier White Sulphur Springs, WV	\$100,001 - \$250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Wayne Edward Whitfield

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Turkish Coalition of America	March 27- April 3	DC-Istanbul-Bursa-Istanbul- Ankara-Istanbul-DC	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Wayne Edward Whitfield

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Governors	Wesley Theological Seminary