

MAY 11 2011

UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A

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For use by Members, officers, and employees

Christopher John Lee

(Full Name)

716-464-0200

(Daytime Telephone)

2011 MAY 13 PM 12:44

OFFICE USE ONLY

F4

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: NY District: 26	<input type="checkbox"/> Officer Or Employee	Employing Office:
	Report Type	<input type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input checked="" type="checkbox"/> Termination
A \$200 penalty shall be assessed against anyone who files more than 30 days late.				

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. If yes, complete and attach Schedule I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. If yes, complete and attach Schedule VI. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. If yes, complete and attach Schedule II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. If yes, complete and attach Schedule VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. If yes, complete and attach Schedule III. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. If yes, complete and attach Schedule IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. If yes, complete and attach Schedule IV. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source					BLOCK B Year-End Value of Asset		BLOCK C Type of Income		BLOCK D Amount of Income		BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any derivative holding, e.g., an option or future in a normal trading or</p>					<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>		<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>		<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>		<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
DC	SUMMIT ROCK HEDGED EQUITY LP		\$1,001 - \$15,000		DIVIDENDS/INTE REST/CAPITAL GAINS	\$1,001 - \$2,500	S(part)				
DC	CHICAGO IL TRANSP AUTH FED GAN BOND		\$1 - \$1,000		INTEREST	\$1 - \$200					
DC	WESTCHESTER CNTY NY HEALTH		\$1,001 - \$15,000		None	NONE	P				
DC	WEST VIRGINIA ECON DEV AUTH SO BOND		None		INTEREST	\$1 - \$200					
DC	Trust FBO Johnathan Lee (2)-INTEREST IN LEE FAMILY CAPITAL LLC										
DC	FIDELITY MONEY MARKET		\$1 - \$1,000		DIVIDENDS	\$1 - \$200					

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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DC	ISHARES RUSSELL 3000 INDEX ETF	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DC	ISHARES MSCI EAFE ETF	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DC	ISHARES MSCI EMERGING MARKET ETF	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DC	TRIBOROUGH BRDG & TUNL AUTH NY	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
DC	SUMMIT ROCK SELECT EQUITY LP	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1,001 - \$2,500	S(part)
DC	TOMPKINS CNTY NY IDA	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	SUMMIT ROCK DIVERSIFIED STRATEGIES LP	\$15,001 - \$50,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1,001 - \$2,500	
DC	SUMMIT ROCK PRIVATE EQUITY LP	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1 - \$200	
DC	SUMMIT ROCK REAL ASSETS LP	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1 - \$200	
DC	SUMMIT ROCK BALANCED RESERVES LP	None	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1 - \$200	
DC	LOOMIS SAYLES CREDIT ASSET FUND	None	None	NONE	S
DC	BERSTEIN BROKERAGE CASH BALANCE	\$1 - \$1,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	
DC	CALIFORNIA ECON REVOERY	\$1 - \$1,000	INTEREST	\$1 - \$200	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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DC	CALIFORNIA STATEWIDE CDA	\$1 - \$1,000	INTEREST	\$1 - \$200	
	INDIVIDUAL ACCOUNT (1)				
DC	SUMMIT ROCK STRATEGIC FIXED INCOME LP	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$201 - \$1,000	

DC	PITTSBURGH & ALLEGHENY CNTY	\$1,001 - \$15,000	None	NONE	P
DC	NEW YORK N Y	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	P
DC	NEW YORK NY HLTH & HOSP CORP	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	NEW YORK NY LEASE CNTY FAC	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
DC	NEW YORK NY TRANSL FIN AUTH	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
DC	NEW YORK ST DORM AUTH	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
DC	NEW YORK ST ENVRN FAC CORP	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	NEW YORK ST TWY AUTH SPL TAX BOND	\$1,001 - \$15,000	INTEREST/CAPITAL GAINS	\$201 - \$1,000	S(part)
DC	NORTH CAROLINA EASTN MUN PWR	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	TROY NY CAP RESOURCE	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
DC	ONONDAGA CNTY NY	\$1,001 - \$15,000	INTEREST	\$1 - \$200	

# **SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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DC	CITIZENS PPTY INS CORP FL	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	PORT AUTHORITY OF NY & NJ	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
DC	PORT SEATTLE WASH REV	\$1,001 - \$15,000	None	NONE	P
DC	PUERTO RICO COMMWLTH BOND	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	PUERTO RICO ELEC PWR AUTH	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
DC	PUERTO RICO PUB BLDGS AUTH	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	PUERTO RICO SALES TAX	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	RAILSPLITTER TOBACCO	\$1 - \$1,000	None	NONE	
DC	REGIONAL TRANSN DIST COLO	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
DC	TEXAS TRNSP COMM CENTRAL TEXAS TURNPIKE	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	OHIO AIR QUALITY DEV AUTH	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	PUERTO RICO ELEC PWR AUTH	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	CAMINO REAL REGL MOB AUTH	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	NEW YORK ST ENVRN FAC CORP	\$1 - \$1,000	INTEREST	\$1 - \$200	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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DC	NEW YORK ST TWY AUTH SPL TAX BOND	\$1 - \$1,000	INTEREST/CAPITAL GAINS	\$1 - \$200	
DC	NORTH CAROLINA EASTN MUN PWR	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	OHIO AIR QUALITY DEV AUTH	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	ONONDAGA CNTY NY	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	PITTSBURGH & ALLEGHENY CNTY	\$1 - \$1,000	None	NONE	
DC	PORT AUTHORITY OF NY & NJ	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	NEW YORK NY TRNSL FIN AUTH	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	PUERTO RICO COMMWLTH BOND	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	NEW YORK NY LEASE CNTY FAC	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	PUERTO RICO PUB BLDGS AUTH	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	PUERTO RICO SALES TAX	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	RAILSPLITTER TOBACCO	\$1 - \$1,000	None	NONE	
DC	REGIONAL TRANSN DIST COLO	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	TEXAS TRANSP COMM CENTRAL TEXAS TURNPIKE	\$1 - \$1,000	INTEREST	\$1 - \$200	

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DC	TOMPKINS CNTY NY IDA	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	TRIBOROUGH BRDG & TUNL AUTH NY	\$1 - \$1,000	INTEREST	\$1 - \$200	
	CHRISTOPHER LEE Individual (2)				
	INTERNET INFRASTRUCTURE HOLDERS	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	PORT SEATTLE WASH REV	\$1 - \$1,000	None	NONE	
DC	JEFFERSON LA MUNI	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	CLARK CNTY NV ARPT	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	FEDERAL HOME LOAN BANK	\$1,001 - \$15,000	INTEREST/CAPITAL GAINS	\$1 - \$200	PS(part)
DC	FLORIDA BRD OF ED LOTTERY	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	FLORIDA DEP FLORIDA FOREVER	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	FLORIDA HURRICANE CATASTROPHE	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	FULTON CNTYGA DEV	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	GREATER ORLANDO AVIATION AUTH	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	HOUSTON TX ARPT	\$1 - \$1,000	INTEREST	\$1 - \$200	

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DC	NEW YORK ST DORM AUTH	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
DC	JACKSONVILLE FL ELEC AUTH	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	MUNI ELECTRIC AUTH OF GA BOND	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
DC	LEE CNTY FLA ARPT	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	LONG ISLAND PWR AUTH NY	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	METROPOLITAN TRNSP AUTH NY	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	MIAMI-DADE CNTY FL AVIATION	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	MUNI ELECTRIC AUTH OF GA BOND	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	NASSAU CNTY NY	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	NEW YORK N Y CITY MUN WTR	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	NEW YORK N Y	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	NEW YORK NY HLTH & HOSP CORP	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	ILLINOIS ST BUILD ILL	\$1 - \$1,000	INTEREST	\$1 - \$200	
	ROYCE PREMIER FUND-INV CLASS	\$15,001 - \$50,000	CAPITAL GAINS	\$201 - \$1,000	



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DC						
	NEW YORK N Y CITY MUN WTR	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000		
	MASTERS SELECT INTERNATL FD	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200		
	MORGAN STANLEY INS M/C GR-I	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200		P
	MORGAN STANLEY INS US REAL-I	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000		
	OAKMARK INTL SMALL CAP- CL I	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200		P
	PIMCO COMMODITY RR STRAT-INS	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000		P
	T ROWE PRICE MID CAP GR	\$15,001 - \$50,000	CAPITAL GAINS	\$5,001 - \$15,000		PS(part)
	RS EMERGING MARKETS FUND-A	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000		P
	LOOMIS SAYLES BOND FUND-INS	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000		P
	RS VALUE FUND-A	\$15,001 - \$50,000	None	NONE		
	THORNBURG LTD TRM MUNI FD-I	\$100,001 - \$250,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$5,001 - \$15,000		PS(part)
	ROYCE VALUE PLUS FUND- SV	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200		
	T ROWE PRICE NEW AMER GROWTH	\$15,001 - \$50,000	DIVIDENDS/CAPIT AL GAINS	\$201 - \$1,000		P
	TEMPLETON GLOBAL BOND FD-AD	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500		P

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THORNBURG INTL VALUE FD-I	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
THORNBURG INTERMED MUNI FD-I	\$100,001 - \$250,000	DIVIDENDS/INTE REST//CAPITAL GAINS	\$5,001 - \$15,000	PS(part)
THORNBURG VALUE FUND-I	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
COLUMBIA VALUE & RESTRUCT-Z	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
FIDELITY HIGH INCOME FUND	None	DIVIDENDS/CAPIT AL GAINS	\$1,001 - \$2,500	S
LAUDUS ROSENBERG INTL DISCOVERY	None	CAPITAL GAINS	\$1,001 - \$2,500	S
RS PARTNERS FUND-A	\$15,001 - \$50,000	None	NONE	
FIDELITY ADV NEW INSIGHTS-I	\$50,001 - \$100,000	DIVIDENDS	\$1 - \$200	
FIDELITY MUNICIPAL MONEY MARKET FUND	\$100,001 - \$250,000	DIVIDENDS/INTE REST	\$1 - \$200	
COLUMBIA ACORN INTL-Z	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
AMERICAN EUROPACIFIC GRTH-F1	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
ARTISAN MID CAP VALUE FD- INV	\$15,001 - \$50,000	CAPITAL GAINS/DIVIDEN DS	\$201 - \$1,000	
ABSOLUTE STRATEGIES FUND-I	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	P
BROWN CAP MGMT SM COMPANY-I	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	P

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DODGE & COX INTL STOCK FUND	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
WELLS FARGO ADV INTRI VALU-I	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
LAZARD EMERG MKT EQY PORT-IN	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
FIDELITY EMERGING MARKET FD	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
AMERICAN EUROPACIFIC GRTH-F1	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
FIDELITY INTERMEDIATE MUNICI	\$100,001 - \$250,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$5,001 - \$15,000	PS(part)

FIDELITY LEVERAGED CO STOCK	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
FIDELITY SHORT INTERM MUNI I	\$50,001 - \$100,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$5,001 - \$15,000	PS(part)

AMERICAN GRW FD OF AMER-F1	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	S(part)
HARBOR INTERNATIONAL GRO-INS	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
HARBOR INTERNATIONAL FD-INS	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JANUS OVERSEAS FUND-I	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
PERKINS MID CAP VAL-T	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
DWS DREMAN S/C VALUE FND-S	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	FAIRHOLME FUND	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	P
DC	GREATER ORLANDO AVIATION AUTH	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
DC	CALIFORNIA STATEWIDE CDA	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	CAMINO REAL REGL MOB AUTH	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	CHICAGO IL TRANSP AUTH FED GAN BOND	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	CITIZENS PPTY INS CORP FL	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
DC	CLARK CNTY NV ARPT	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	FEDERAL HOME LOAN BANK	\$15,001 - \$50,000	INTEREST/CAPITAL GAINS	\$201 - \$1,000	PS(part)
DC	FLORIDA BRD OF ED LOTTERY	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
DC	FLORIDA DEP FLORIDA FOREVER	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	IRA:				
DC	FULTON CNTYGA DEV	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	LOOMIS SAYLES CREDIT ASSET FUND	None	None	NONE	S
DC	HOUSTON TX ARPT	\$1,001 - \$15,000	INTEREST	\$1 - \$200	

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DC	ILLINOIS ST BUILD ILL	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
DC	JACKSONVILLE FL ELEC AUTH	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	JEFFERSON LA MUNI	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	LEE CNTY FLA ARPT	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
DC	LONG ISLAND PWR AUTH NY	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	P
DC	METROPOLITAN TRANSP AUTH NY	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	MIAMI-DADE CNTY FL AVIATION	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	ISHARES TR S&P GLOBAL TELECOMMUNICATIONS SECTOR INDEX FD	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

DC	FLORIDA HURRICANE CATASTROPHE	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	ISHARES MSCI EAFE ETF	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	PS(part)
DC	NASSAU CNTY NY	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	ARTISAN MID CAP VALUE FD-INV	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	
	COLUMBIA ACORN INTL-Z	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	COLUMBIA VALUE & RESTRUCT-Z	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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	DODGE & COX INCOME FUND	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	PS(part)
	DWS DREMAN S/C VALUE FND-S	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	WELLS FARGO ADV INTRI VALU-I	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	RAINIER MID CAP EQUITY	None	None	NONE	S
DC	Trust FBO Johnathan Lee (1)- INTEREST IN LEE FAMILY CAPITAL LLC				
DC	CALIFORNIA ECON REVOERY	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	ISHARES RUSSELL 3000 INDEX ETF	\$100,001 - \$250,000	DIVIDENDS	\$1,001 - \$2,500	PS(part)
DC	BERSTEIN BROKERAGE CASH BALANCE	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS/INTEREST	\$1 - \$200	
DC	ISHARES MSCI EMERGING MARKET ETF	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	PS(part)
DC	SUMMIT ROCK STRATEGIC FIXED INCOME LP	\$15,001 - \$50,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$2,501 - \$5,000	
DC	SUMMIT ROCK SELECT EQUITY LP	\$50,001 - \$100,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$15,001 - \$50,000	S(part)
DC	SUMMIT ROCK HEDGED EQUITY LP	\$50,001 - \$100,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$5,001 - \$15,000	S(part)



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DC	SUMMIT ROCK DIVERSIFIED STRATEGIES LP	\$100,001 - \$250,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$5,001 - \$15,000	
DC	SUMMIT ROCK PRIVATE EQUITY LP	\$15,001 - \$50,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$201 - \$1,000	P
DC	SUMMIT ROCK REAL ASSETS LP	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$201 - \$1,000	P
DC	Summit Rock Balanced Reserves LP	None	DIVIDENDS/INTE REST/CAPITAL GAINS	\$201 - \$1,000	S
	ABSOLUTE STRATEGIES FUND-I	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DC	FIDELITY MONEY MARKET	\$1 - \$1,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	
	TEXAS TRANSP COMM CENTRAL TEXAS TURNPIKE	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
	SUMMIT ROCK PRIVATE EQUITY LP	\$50,001 - \$100,000	None	NONE	P
	NEW YORK ST EVNRMNTL FACS	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	NORTH CAROLINA EASTN MUN PWR	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
	NORTH CAROLINA MUN PWR	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
	OHIO AIR QUALITY DEV AUTH	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	PORT AUTHORITY OF NY & NJ	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	



**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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PUERTO RICO COMWLTH	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
PUERTO RICO PUB BLDGS AUTH	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
NEW YORK ST DORM AUTH	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	P
REGIONAL TRANSN DIST COLO DENVER	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
NEW YORK NY TRANSL FIN AUTH	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
TOBACCO SETTLEMENT FING CORP NY	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	S(part)
TOMPKINS CNTY NY IDA	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
TROY NY CAP RESOURCE CORP	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
WEST VIRGINIA ECON DEV AUTH	None	INTEREST	\$1 - \$200	S
NEW YORK N Y CITY MUN WTR	None	INTEREST/CAPITAL GAINS	\$2,501 - \$5,000	S
FEDERAL HOME LOAN BANK	None	INTEREST/CAPITAL GAINS	\$2,501 - \$5,000	S
LOOMIS SAYLES CREDIT ASSET FUND	None	None	NONE	S
FAIRHOLME FUND	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
FIDELITY ADV NEW INSIGHTS-I	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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PUERTO RICO SALES TAX FIN CORP	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
ILLINOIS ST BUILD ILL	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
ISHARES TR FTSE XINHUA HK CHINA 25 INDEX FD	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
BERSTEIN BROKERAGE CASH BALANCE	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
CAMINO REAL REGL MOB AUTH	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
CHICAGO IL TRANSP AUTH	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
CITIZENS PPTY INS CORP FL	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
CLARK CNTY NV ARPT	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
FLORIDA HURRICANE CATASTROPHE	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
FLORIDA BRD ED LOTTERY	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	P
NEW YORK NY LEASE CNTY FAC	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
HOUSTON TX ARPT SYS	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
FIDELITY LEVERAGED CO STOCK	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JACKSONVILLE FL ELEC AUTH	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	JEFFERSON LA MUNI	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	LONG ISLAND PWR AUTH	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	METROPOLITAN TRANSP AUTH NY	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	MIAMI-DADE CNTY FL AVIATION	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	MUNI ELECTRIC AUTH OF GA	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	NEW YORK NY PRIOR ISSUES	\$1,001 - \$15,000	None	NONE	
	NEW YORK NY	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
	NEW YORK NY HLTH & HOSP	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	FLORIDA DEPT FLORIDA FOREVER	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
SP	PIMCO LOW DURATION FUND-INST	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
SP	ARTISAN MID CAP VALUE FD-INV	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	
SP	DODGE & COX INCOME FUND	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	WELLS FARGO ADV INTRI VALU-A	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	FIDELITY CASH RESERVES	\$1 - \$1,000	None	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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SP	FIDELITY EMERGING MARKET FD	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	
SP	FIDELITY ADV NEW INSIGHTS-I	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	
SP	FIDELITY LEVERAGED CO STOCK	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	FIDELITY REAL ESTATE INVEST	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	FIDELITY CASH RESERVES	\$1 - \$1,000	None	NONE	
SP	LOOMIS SAYLES BOND FUND-RET	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	IRA				
SP	PIMCO TOTAL RETURN FUND-INST	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	P
SP	PRUDENTIAL JENN M/C GTH-A	\$1,001 - \$15,000	None	NONE	P
SP	ROYCE PREMIER FUND-SV	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	
SP	RS PARTNERS FUND-A	\$1,001 - \$15,000	None	NONE	
SP	RS VALUE FUND-A	\$1,001 - \$15,000	None	NONE	
SP	THORNBURG INTL VALUE FD-A	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	THORNBURG VALUE FUND-A	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	COLUMBIA VALUE & RESTRUCT-Z	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	AMERICAN GRW FD OF AMER-F1	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	RS VALUE FUND-A	\$1,001 - \$15,000	None	NONE	
	SUMMIT ROCK DIVERSIFIED STRATEGIES LP	\$500,001 - \$1,000,000	None	NONE	P
	FIDELITY REAL ESTATE INVEST	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	HARBOR INTERNATIONAL FD-INS	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	LAZARD EMERG MKT EQY PORT-OP	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	LOOMIS SAYLES BOND FUND-RET	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
	MASTERS SELECT INTERNATL FD	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	PIMCO COMMODITY RR STRAT-INS	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
	PIMCO LOW DURATION FUND-INST	\$15,001 - \$50,000	DIVIDENDS/CAPIT AL GAINS	\$201 - \$1,000	
	PIMCO TOTAL RETURN FUND-INST	\$15,001 - \$50,000	DIVIDENDS/CAPIT AL GAINS	\$2,501 - \$5,000	PS(part)
SP	AMERICAN EUROPACIFIC GRTH-F1	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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	ROYCE VALUE PLUS FUND-SV	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	COLUMBIA ACORN INTL-Z	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	T ROWE PRICE NEW AMER GROWTH	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	P
	T ROWE PRICE MID CAP GR	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	
	TEMPLETON GLOBAL BOND FD-AD	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
	THORNBURG INTL VALUE FD-I	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	THORNBURG VALUE FUND-I	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	VANGUARD S/T INVEST GR-INV	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	
	AMERICAN GRW FD OF AMER-F1	None	None	NONE	S
	FIDELITY HIGH INCOME FUND	None	DIVIDENDS	\$1 - \$200	S
	FIDELITY EMERGING MARKET FD	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	P
	ROYCE PREMIER FUND-SV	\$1,001 - \$15,000	CAPITAL GAINS	\$1 - \$200	
	CAMINO REAL REGI MOB AUTH	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	SUMMIT ROCK BALANCED RESERVES LP	\$100,001 - \$250,000	None	NONE	PS(part)

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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SUMMIT ROCK SELECT EQUITY LP	\$250,001 - \$500,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$50,001 - \$100,000	S(part)
SUMMIT ROCK HEDGED EQUITY LP	\$500,001 - \$1,000,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$100,001 - \$1,000,000	S(part)
SUMMIT ROCK DIVERSIFIED STRATEGIES LP	\$1,000,001 - \$5,000,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$50,001 - \$100,000	
SUMMIT ROCK PRIVATE EQUITY LP	\$100,001 - \$250,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1,001 - \$2,500	P
SUMMIT ROCK REAL ASSETS LP	\$50,001 - \$100,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$5,001 - \$15,000	P
SUMMIT ROCK BALANCED RESERVES LP	None	DIVIDENDS/INTE REST/CAPITAL GAINS	\$2,501 - \$5,000	
BERSTEIN BROKERAGE CASH BALANCE	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS/INTEREST	\$201 - \$1,000	
ISHARES MSCI EMERGING MARKET ETF	\$100,001 - \$250,000	DIVIDENDS	\$1,001 - \$2,500	PS(part)
CALIFORNIA STATEWIDE CDA	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
ISHARES MSCI EAFE ETF	\$250,001 - \$500,000	DIVIDENDS	\$5,001 - \$15,000	PS(part)
CHICAGO IL TRNSP AUTH FED GAN BOND	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
CITIZENS PPTY INS CORP FL	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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	CLARK CNTY NV ARPT	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	FEDERAL HOME LOAN BANK	\$100,001 - \$250,000	INTEREST/CAPITAL GAINS	\$2,501 - \$5,000	PS(part)
	FLORIDA BRD OF ED LOTTERY	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	P
	FLORIDA DEP FLORIDA FOREVER	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	FLORIDA HURRICANE CATASTROPHE	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	FULTON CNTYGA DEV	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	GREATER ORLANDO AVIATION AUTH	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
	CALIFORNIA ECON REVOERY	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	Individual (4)				
DC	WEST VIRGINIA ECON DEV AUTH SO BOND	None	INTEREST	\$1 - \$200	
	ISHARES S&P NORTH AMERICAN NATURAL RESOURCES SECTOR INDEX FD	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	ISHARES S&P NORTH AMERICAN TECHNOLOGY SECTOR INDEX FD	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	CASH MONEY MARKET	\$1,001 - \$15,000	INTEREST	\$1 - \$200	



# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	CHRISTOPHER J LEE Individual Account (3):				
	QUANTUM FUEL SYS TECHNOLOGIES WORLDWIDE	\$1 - \$1,000	None	NONE	
SP	Individual				
SP	BERKSHIRE HATHAWAY INC	None	CAPITAL GAINS	\$2,501 - \$5,000	S
	SUMMIT ROCK STRATEGIC FIXED INCOME LP	\$250,001 - \$500,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$15,001 - \$50,000	
SP	MIDDLEBY CORP	None	CAPITAL GAINS	\$5,001 - \$15,000	S
	JACKSONVILLE FL ELEC AUTH	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
	Bank Accounts	\$50,001 - \$100,000	None	NONE	
	Other				
	Residential property, Lancaster, NY	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
	PRINCIPAL COMMON STOCK	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	TROY NY CAP RESOURCE	\$1 - \$1,000	INTEREST	\$1 - \$200	
DC	WESTCHESTER CNTY NY HEALTH	\$1 - \$1,000	None	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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	TRUST FBO CHRISTOPHER J LEE -INTEREST IN LEE FAMILY CAPITAL LLC					
	FIDELITY MONEY MARKET	\$1,001 - \$15,000	DIVIDENDS/CAPIT TAL GAINS	\$1 - \$200		
	ISHARES RUSSELL 3000 INDEX ETF	\$1,000,001 - \$5,000,000	DIVIDENDS	\$5,001 - \$15,000	PS(part)	
SP	DISNEY WALT COMPANY	None	DIVIDENDS/CAPIT TAL GAINS	\$201 - \$1,000	S	
	WEST VIRGINIA ECON DEV AUTH SO BOND	None	INTEREST	\$1 - \$200	S	
	PUERTO RICO ELEC PWR AUTH	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P	
	PUERTO RICO PUB BLDGS AUTH	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	P	
	PUERTO RICO SALES TAX	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000		
	RAILSPLITTER TOBACCO	\$1,001 - \$15,000	None	NONE	P	
	REGIONAL TRANSN DIST COLO	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	P	
	TEXAS TRNSP COMM CENTRAL TEXAS TURNPIKE	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000		
	TOMPKINS CNTY NY IDA	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000		
	TRIBOROUGH BRDG & TUNL AUTH NY	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500		
	HOUSTON TX ARPT	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000		

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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WESTCHESTER CNTY NY HEALTH	\$1,001 - \$15,000	None	NONE	P
PORT AUTHORITY OF NY & NJ	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
LOOMIS SAYLES CREDIT ASSET FUND	None	None	NONE	S
CHARITABLE TRUST FBO CHRISTOPHER J LEE - INTEREST IN LEE FAMILY CHARITABLE CAPITAL LLC				
FIDELITY MONEY MARKET	\$15,001 - \$50,000	DIVIDENDS/INTE REST	\$1 - \$200	
ISHARES RUSSELL 3000 INDEX ETF	\$250,001 - \$500,000	DIVIDENDS	\$1,001 - \$2,500	PS(part)
ISHARES MSCI EAFE ETF	\$100,001 - \$250,000	DIVIDENDS	\$1,001 - \$2,500	PS(part)
ISHARES MSCI EMERGING MARKET ETF	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	PS(part)
SUMMIT ROCK STRATEGIC FIXED INCOME LP	\$100,001 - \$250,000	None	NONE	
SUMMIT ROCK SELECT EQUITY LP	\$500,001 - \$1,000,000	None	NONE	S(part)
SUMMIT ROCK HEDGED EQUITY LP	\$250,001 - \$500,000	None	NONE	S(part)
TROY NY CAP RESOURCE	\$15,001 - \$50,000	INTEREST	\$1 - \$200	P
NEW YORK NY LEASE CNTY FAC	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	P

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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ISHARES TR MSCI EMERGING MKTS INDEX FD	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JEFFERSON LA MUNI	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
LEE CNTY FLA ARPT	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
LONG ISLAND PWR AUTH NY	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	P
METROPOLITAN TRANSP AUTH NY	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
MIAMI-DADE CNTY FL AVIATION	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
MUNI ELECTRIC AUTH OF GA BOND	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
NASSAU CNTY NY	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
NEW YORK N Y CITY MUN WTR	\$1,001 - \$15,000	INTEREST	\$1,001 - \$2,500	
PUERTO RICO COMMWLTH BOND	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
NEW YORK NY HLTH & HOSP CORP	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
PORT SEATTLE WASH REV	\$15,001 - \$50,000	None	NONE	P
NEW YORK NY TRNSL FIN AUTH	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
NEW YORK ST DORM AUTH	\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500	P

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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NEW YORK ST ENVRN FAC CORP	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
NEW YORK ST TWY AUTH SPL TAX BOND	\$15,001 - \$50,000	INTEREST/CAP ITAL GAINS	\$1,001 - \$2,500	S(part)
NORTH CAROLINA EASTN MUN PWR	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
OHIO AIR QUALITY DEV AUTH	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
ONONDAGA CNTY NY	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
PITTSBURGH & ALLEGHENY CNTY	\$15,001 - \$50,000	None	NONE	P
ILLINOIS ST BUILD ILL	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
NEW YORK N Y	\$50,001 - \$100,000	INTEREST	\$2,501 - \$5,000	P

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC	Trust FBO Johnathan Lee (1)- INTEREST IN LEE FAMILY CAPITAL LLC				
DC	CITIZENS PPTY INS CORP FL	P	N/A	3/24/2010	\$1,001 - \$15,000
DC	Summit Rock Real Assets LP	P	N/A	VARIOUS	\$1,001 - \$15,000
DC	Summit Rock Private Equity LP	P	N/A	VARIOUS	\$1,001 - \$15,000
DC	NEW YORK ST TWY AUTH SPL TAX BOND	S(part)	N/A	10/1/2010	\$1,001 - \$15,000
DC	Summit Rock Select Equity LP	S(part)	N/A	10/6/2010	\$50,001 - \$100,000
DC	Summit Rock Hedged Equity LP	S(part)	N/A	10/6/2010	\$15,001 - \$50,000
	Individual Account (1):				
DC	LOOMIS SAYLES CREDIT ASSET FUND	S	N/A	1/15/2010	\$15,001 - \$50,000
DC	ILLINOIS ST BUILD ILL	P	N/A	6/16/2010	\$1,001 - \$15,000
SP	PRUDENTIAL JENN M/C GTH-A	P	N/A	3/18/2010	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	PIMCO TOTAL RETURN FUND-INST	P	N/A	VARIOUS	\$1,001 - \$15,000
SP	LOOMIS SAYLES BOND FUND-RET	P	N/A	VARIOUS	\$1,001 - \$15,000
SP	FIDELITY HIGH INCOME FUND	S	N/A	3/17/2010	
SP	RAINIER MID CAP EQUITY	S	N/A	3/17/2010	\$1,001 - \$15,000
SP	IRA:				
	PIMCO TOTAL RETURN FUND-INST	S(part)	N/A	11/24/2010	\$1,001 - \$15,000
DC	WEST VIRGINIA ECON DEV AUTH SO BOND	S	N/A	6/1/2010	
DC	PORT AUTHORITY OF NY & NJ	P	N/A	1/12/2011	\$1,001 - \$15,000
DC	ISHARES MSCI EAFE ETF	S(part)	N/A	3/22/2010	\$1,001 - \$15,000
DC	ISHARES MSCI EAFE ETF	P	N/A	VARIOUS	\$15,001 - \$50,000
DC	ISHARES RUSSELL 3000 INDEX ETF	S(part)	N/A	3/22/2010	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
DC	ISHARES RUSSELL 3000 INDEX ETF	P	N/A	VARIOUS	\$50,001 - \$100,000
DC	WESTCHESTER CNTY NY HEALTH	P	N/A	12/9/2010	\$1,001 - \$15,000
DC	TROY NY CAP RESOURCE	P	N/A	4/14/2010	\$1,001 - \$15,000
DC	REGIONAL TRANSN DIST COLO	P	N/A	8/5/2010	\$1,001 - \$15,000
DC	FLORIDA BRD OF ED LOTTERY	P	N/A	2/26/2010	\$1,001 - \$15,000
DC	PORT SEATTLE WASH REV	P	N/A	7/16/2010	\$1,001 - \$15,000
DC	GREATER ORLANDO AVIATION AUTH	P	N/A	4/5/2010	\$1,001 - \$15,000
DC	PITTSBURGH & ALLEGHENY CNTY	P	N/A	9/15/2010	\$1,001 - \$15,000
DC	NEW YORK ST DORM AUTH	P	N/A	9/23/2010, 10/6/2010	\$1,001 - \$15,000
DC	NEW YORK NY LEASE CNTY FAC	P	N/A	6/11/2010	\$1,001 - \$15,000
DC	NEW YORK NY	P	N/A	7/29/2010	\$1,001 - \$15,000



# SCHEDULE IV - TRANSACTIONS

Name Christopher John Lee

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
DC	LONG ISLAND PWR AUTH NY	P	N/A	5/4/2010	\$1,001 - \$15,000
DC	LEE CNTY FLA ARPT	P	N/A	6/17/2010	\$1,001 - \$15,000
	DODGE & COX INCOME FUND	P	N/A	VARIOUS	\$1,001 - \$15,000
DC	PUERTO RICO ELEC PWR AUTH	P	N/A	4/23/2010	\$1,001 - \$15,000
	PIMCO COMMODITY RR STRAT-INS	P	N/A	VARIOUS	\$1,001 - \$15,000
	PIMCO TOTAL RETURN FUND-INST	P	N/A	VARIOUS	\$1,001 - \$15,000
	FIDELITY SHORT INTERM MUNI I	P	N/A	VARIOUS	\$100,001 - \$250,000
	FIDELITY INTERMEDIATE MUNICI	S(part)	Yes	4/30/2010,6/14/2010	\$50,001 - \$100,000
	FIDELITY INTERMEDIATE MUNICI	P	N/A	VARIOUS	\$1,001 - \$15,000
	MORGAN STANLEY INS M/C GR-I	P	N/A	VARIOUS	\$15,001 - \$50,000
	THORNBURG INTL VALUE FD-I	P	N/A	VARIOUS	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	TEMPLETON GLOBAL BOND FD-AD	P	N/A	VARIOUS	\$50,001 - \$100,000
	AMERICAN GRW FD OF AMER-F1	S(part)	Yes	11/24/2010	\$15,001 - \$50,000
	RS EMERGING MARKETS FUND-A	P	N/A	VARIOUS	\$15,001 - \$50,000
	THORNBURG LTD TRM MUNI FD-I	P	N/A	VARIOUS	\$100,001 - \$250,000
	OAKMARK INTL SMALL CAP-CL I	P	N/A	VARIOUS	\$15,001 - \$50,000
	LOOMIS SAYLES BOND FUND-INS	P	N/A	VARIOUS	\$15,001 - \$50,000
	FAIRHOLME FUND	P	N/A	12/16/2010, 12/3 1/2010	\$1,001 - \$15,000
	BROWN CAP MGMT SM COMPANY-I	P	N/A	3/17/2010, 12/16 /2010	\$1,001 - \$15,000
	ABSOLUTE STRATEGIES FUND-I,	P	N/A	4/30/2010, 6/30/ 2010	\$15,001 - \$50,000
	FIDELITY HIGH INCOME FUND	S	Yes	3/17/2010	\$15,001 - \$50,000
	LAUDUS ROSENBERG INTL DISCOVERY	S	Yes	3/17/2010	\$15,001 - \$50,000

# SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	T ROWE PRICE NEW AMER GROWTH	P	N/A	VARIOUS	\$15,001 - \$50,000
	FIDELITY HIGH INCOME FUND	S	N/A	3/17/2010	\$1,001 - \$15,000
DC	FEDERAL HOME LOAN BANK	P	N/A	2/12/2010,7/13/2010	\$15,001 - \$50,000
	TEMPLETON GLOBAL BOND FD-AD	P	N/A	VARIOUS	\$1,001 - \$15,000
	T ROWE PRICE NEW AMER GROWTH	P	N/A	VARIOUS	\$1,001 - \$15,000
	PIMCO COMMODITY RR STRAT-INS	P	N/A	VARIOUS	\$1,001 - \$15,000
	LOOMIS SAYLES BOND FUND-RET	P	N/A	VARIOUS	\$1,001 - \$15,000
	LAZARD EMERG MKT EQY PORT-OP	P	N/A	VARIOUS	\$1,001 - \$15,000
	FIDELITY EMERGING MARKET FD	P	N/A	4/30/2010,12/3/2010	\$1,001 - \$15,000
	FIDELITY SHORT INTERM MUNI I	S(part)	Yes	4/30/2010,5/24/2010	\$100,001 - \$250,000
	COLUMBIA VALUE & RESTRUCT-Z	S(part)	N/A	4/30/2010	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	DODGE & COX INCOME FUND	S(part)	N/A	4/30/2010	\$1,001 - \$15,000
	AMERICAN GRW FD OF AMER-F1	S	N/A	4/30/2010, 11/24/2010	\$1,001 - \$15,000
	IRA:				
	THORNBURG INTERMED MUNI FD-I	S(part)	Yes	4/30/2010	\$1,001 - \$15,000
	THORNBURG INTERMED MUNI FD-I	P	N/A	VARIOUS	\$1,001 - \$15,000
	T ROWE PRICE MID CAP GR	S(part)	Yes	11/24/2010	\$1,001 - \$15,000
	T ROWE PRICE MID CAP GR	P	N/A	12/14/2010	\$1,001 - \$15,000
	THORNBURG LTD TRM MUNI FD-I	S(part)	Yes	4/30/2010, 5/24/2010	\$100,001 - \$250,000
	ABSOLUTE STRATEGIES FUND-I	P	N/A	VARIOUS	\$1,001 - \$15,000
	ISHARES MSCI EMERGING MARKET ETF	S(part)	N/A	3/22/2010	\$15,001 - \$50,000
	REGIONAL TRANSN DIST COLO	P	N/A	8/5/2010	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Summit Rock Select Equity LP	S(part)	N/A	12/2/2010	\$50,001 - \$100,000
	Summit Rock Hedged Equity LP	S(part)	N/A	10/7/2010	\$100,001 - \$250,000
	NEW YORK N Y CITY MUN WTR	S	Yes	1/13/2010	\$15,001 - \$50,000
	WEST VIRGINIA ECON DEV AUTH	S	N/A	6/1/2010	\$1,001 - \$15,000
	LOOMIS SAYLES CREDIT ASSET FUND	S	N/A	1/15/2010	\$100,001 - \$250,000
	CHARITABLE TRUST FBO CHRISTOPHER J LEE - INTEREST IN LEE FAMILY CHARITABLE CAPITAL LLC				
	Summit Rock Diversified Dtrategies LP	P	N/A	3/30/2010	\$100,001 - \$250,000
	FEDERAL HOME LOAN BANK	P	N/A	2/12/2010, 7/13/2010	\$100,001 - \$250,000
	Summit Rock Private Equity LP	P	N/A	VARIOUS	\$15,001 - \$50,000
	ISHARES MSCI EMERGING MARKET ETF	P	N/A	VARIOUS	\$100,001 - \$250,000
	ISHARES MSCI EAFE ETF	S(part)	N/A	3/22/2010	\$15,001 - \$50,000

# SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	ISHARES MSCI EAFE ETF	P	N/A	VARIOUS	\$250,001 - \$500,000
	ISHARES RUSSELL 3000 INDEX ETF	S(part)	N/A	3/22/2010	\$15,001 - \$50,000
	ISHARES RUSSELL 3000 INDEX ETF	P	N/A	VARIOUS	\$500,001 - \$1,000,000
	WESTCHESTER CNTY NY HEALTH	P	N/A	12/9/2010	\$1,001 - \$15,000
DC	ISHARES MSCI EMERGING MARKET ETF	P	N/A	VARIOUS	\$15,001 - \$50,000
	FEDERAL HOME LOAN BANK	S(part)	N/A	VARIOUS	\$50,001 - \$100,000
	ISHARES RUSSELL 3000 INDEX ETF	S(part)	N/A	3/22/2010	\$15,001 - \$50,000
SP	DISNEY WALT COMPANY	S	Yes	10/6/2010	\$1,001 - \$15,000
SP	BERKSHIRE HATHAWAY INC	S	Yes	10/6/2010	\$1,001 - \$15,000
SP	INDIVIDUAL:				
	FEDERAL HOME LOAN BANK	S	Yes	VARIOUS	\$100,001 - \$250,000

# SCHEDULE IV - TRANSACTIONS

Name Christopher John Lee

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	FEDERAL HOME LOAN BANK	P	N/A	2/12/2010	\$100,001 - \$250,000
	ISHARES MSCI EMERGING MARKET ETF	S(part)	N/A	3/22/2010	\$1,001 - \$15,000
	ISHARES MSCI EMERGING MARKET ETF	P	N/A	VARIOUS	\$15,001 - \$50,000
	TOBACCO SETTLEMENT FING CORP NY	S(part)	N/A	5/19/2010	\$1,001 - \$15,000
	ISHARES MSCI EAFE ETF	P	N/A	VARIOUS	\$50,001 - \$100,000
	RAILSPITTER TOBACCO	P	N/A	12/1/2010	\$1,001 - \$15,000
	ISHARES RUSSELL 3000 INDEX ETF	P	N/A	VARIOUS	\$100,001 - \$250,000
	TROY NY CAP RESOURCE CORP	P	N/A	4/14/2010	\$1,001 - \$15,000
	REGIONAL TRANSN DIST COLO DENVER	P	N/A	8/5/2010	\$1,001 - \$15,000
	NEW YORK NY LEASE CNTY FAC	P	N/A	6/11/2010	\$15,001 - \$50,000
	NEW YORK ST DORM AUTH	P	N/A	9/23/2010	\$15,001 - \$50,000

# SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	NEW YORK NY	P	N/A	7/29/2010	\$15,001 - \$50,000
	FLORIDA BRD ED LOTTERY	P	N/A	2/26/2010	\$1,001 - \$15,000
	ISHARES MSCI EAFE ETF	S(part)	N/A	3/22/2010	\$15,001 - \$50,000
DC	ISHARES RUSSELL 3000 INDEX ETF	P	N/A	VARIOUS	\$1,001 - \$15,000
	TROY NY CAP RESOURCE	P	N/A	4/14/2010	\$15,001 - \$50,000
	WEST VIRGINIA ECON DEV AUTH SO BOND	S	N/A	6/1/2010	\$1,001 - \$15,000
	LOOMIS SAYLES CREDIT ASSET FUND	S	N/A	1/15/2010	\$250,001 - \$500,000
	TRUST FBO CHRISTOPHER J LEE -INTEREST IN LEE FAMILY CAPITAL LLC				
DC	FEDERAL HOME LOAN BANK	S(part)	Yes	VARIOUS	\$1,001 - \$15,000
DC	FEDERAL HOME LOAN BANK	P	N/A	2/12/2010, 7/13/2010	\$1,001 - \$15,000
DC	NEW YORK ST DORM AUTH	P	N/A	9/23/2010, 10/6/2010	\$1,001 - \$15,000



# SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Summit Rock Select Equity LP	S(part)	N/A	10/6/2010	\$500,001 - \$1,000,000
DC	ISHARES MSCI EAFE ETF	P	N/A	VARIOUS	\$1,001 - \$15,000
	NEW YORK ST TWY AUTH SPL TAX BOND	S(part)	N/A	10/1/2010	\$15,001 - \$50,000
DC	Summit Rock Select Equity LP	S(part)	N/A	10/6/2010	\$1,001 - \$15,000
DC	Summit Rock Hedged Equity LP	S(part)	N/A	10/6/2010	\$1,001 - \$15,000
DC	WEST VIRGINIA ECON DEV AUTH SO BOND	S	N/A	6/1/2010	
DC	LOOMIS SAYLES CREDIT ASSET FUND	S	N/A	1/15/2010	\$1,001 - \$15,000
DC	Trust FBO Johnathan Lee (2)- INTEREST IN LEE FAMILY CAPITAL LLC				
DC	FEDERAL HOME LOAN BANK	S(part)	N/A	VARIOUS	\$1,001 - \$15,000
SP	MIDDLEBY CORP	S	Yes	7/8/2010	\$1,001 - \$15,000
DC	ISHARES MSCI EMERGING MARKET ETF	P	N/A	VARIOUS	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	LONG ISLAND PWR AUTH NY	P	N/A	5/4/2010	\$15,001 - \$50,000
	PUERTO RICO PUB BLDGS AUTH	P	N/A	2/18/2010	\$1,001 - \$15,000
	PUERTO RICO ELEC PWR AUTH	P	N/A	4/23/2010	\$15,001 - \$50,000
	PUERTO RICO COMWLTH BOND	P	N/A	4/28/2010	\$1,001 - \$15,000
	PORT SEATTLE WASH REV	P	N/A	7/16/2010	\$15,001 - \$50,000
	PORT AUTHORITY OF NY & NJ	P	N/A	1/12/2011	\$1,001 - \$15,000
	PITTSBURGH & ALLEGHENY CNTY	P	N/A	9/15/2010	\$15,001 - \$50,000
	NEW YORK ST DORM AUTH	P	N/A	9/23/2010, 10/6/ 2010	\$100,001 - \$250,000
	Summit Rock Hedged Equity LP	S(part)	N/A	10/6/2010	\$250,001 - \$500,000
	NEW YORK NY	P	N/A	7/29/2010	\$15,001 - \$50,000
DC	ISHARES MSCI EMERGING MARKET ETF	S(part)	N/A	3/22/2010	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	LEE CNTY FLA ARPT	P	N/A	6/17/2010	\$15,001 - \$50,000
	ILLINOIS ST BUILD ILL	P	N/A	6/16/2010	\$15,001 - \$50,000
	GREATER ORLANDO AVIATION AUTH	P	N/A	4/5/2010	\$15,001 - \$50,000
	FLORIDA BRD OF ED LOTTERY	P	N/A	2/26/2010	\$15,001 - \$50,000
	CITIZENS PPTY INS CORP FL	P	N/A	3/24/2010	\$1,001 - \$15,000
	Summit Rock Real Assets LP	P	N/A	VARIOUS	\$15,001 - \$50,000
	Summit Rock Private Equity LP	P	N/A	VARIOUS	\$15,001 - \$50,000
	NEW YORK NY LEASE CNTY FAC	P	N/A	6/11/2010	\$15,001 - \$50,000

# SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	HSBC MORTGAGE CORP	2009	Mortgage on Lancaster, NY residential property	\$100,001 - \$250,000