

**BRAD MILLER**

13TH DISTRICT, NORTH CAROLINA

WASHINGTON, DC

1127 LONGWORTH HOUSE OFFICE BUILDING  
WASHINGTON, DC 20515  
(202) 225-3032

[www.bradmiller.house.gov](http://www.bradmiller.house.gov)



**Congress of the United States**  
**House of Representatives**

COMMITTEE ON FINANCIAL SERVICES

CAPITAL MARKETS AND  
GOVERNMENT SPONSORED ENTERPRISES

FINANCIAL INSTITUTIONS AND  
CONSUMER CREDIT

OVERSIGHT AND INVESTIGATIONS

COMMITTEE ON SCIENCE, SPACE,  
AND TECHNOLOGY

RANKING MEMBER,  
ENERGY AND ENVIRONMENT

INVESTIGATIONS AND OVERSIGHT

November 8, 2011

The Honorable Jo Bonner  
Chairman of the Committee on Ethics  
1015 Longworth House Office Building  
Washington, DC 20515

**HAND DELIVERED**

LEGISLATIVE RESOURCE CENTER  
2011 NOV - 8 AM 10:46  
OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

Dear Mr. Chairman:

Please accept this letter to serve as an amendment to Schedule IV of my 2010 Financial Disclosure. In the event the Committee on Standards needs any further information for compliance, do not hesitate to contact me or my Chief of Staff, Ryan K. Hedgepeth, at 202-225-3032.

Sincerely,

Brad Miller  
Member of Congress

RALEIGH, NC

1300 ST. MARY'S STREET, SUITE 504  
RALEIGH, NC 27605  
(919) 836-1313

GREENSBORO, NC

125 SOUTH ELM STREET, SUITE 504  
GREENSBORO, NC 27401  
(336) 574-2909

**Confirmation**

**Morgan Stanley  
Smith Barney**

**MORGANSTANLEY SMITHBARNEY LLC**  
700 SPRING FOREST RD  
SUITE 200  
RALEIGH NC 27609

Account Number:  
Financial Advisor:

~~XXXXXXXXXXXX~~  
KEVIN BROWN/GORDON SMITH  
919-877-2400

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004ND009 027814

\*\*\* RALPH BRADLEY MILLER  
CGM SEP IRA CUSTODIAN  
GPM  
1300 ST. MARY'S ST., STE 504  
RALEIGH NC 27605-1276

#4,443

Summary For Settlement Date	01/07/2010
Total Sales	\$ 1,322.90
Net Amount	\$ 1,322.90 Credit

You Sold 16 at a price of 82.68350

ISHARES NASDAQ BIOTECHNOLOGY  
INDEX FD  
MATES TRADE 1914 100104014413  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,322.94
Other Fee	.04
Amount	\$ 1,322.90
Settlement Date	01/07/2010

Trade Date: 01/04/2010  
Market: Over-The-Counter

CUSIP#: 464287-55-6  
Security#: H741442  
Symbol: IBB C00070000

Solicited Order  
Cash Acct. HOLD PROCEEDS  
Ref #: 1702719

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

Account carried by Citigroup Global Markets Inc. Member SIPC. Morgan Stanley Smith Barney LLC. Member SIPC.  
As a reminder, payment for securities purchased, or delivery of securities sold, must be deposited by Settlement Date.  
See reverse for further details. Keep this document for your records. Thank you for your business.

**Confirmation**

**Morgan Stanley  
Smith Barney**

**MORGANSTANLEY SMITHBARNEY LLC**  
700 SPRING FOREST RD  
SUITE 200  
RALEIGH NC 27609

Account Number:  
Financial Advisor:

~~XXXXXXXXXXXX~~  
KEVIN BROWN/GORDON SMITH  
919-877-2400

Page 1 of 1

048ND009 028863

\*\*\* RALPH BRADLEY MILLER  
CGM SEP IRA CUSTODIAN  
GPM  
1300 ST. MARY'S ST., STE 504  
RALEIGH NC 27605-1276

#3,667

Summary For Settlement Date	02/22/2010
Total Sales	\$ 1,777.62
Net Amount	\$ 1,777.62 Credit

You Sold 34 at a price of 27.08100

HCC INSURANCE HOLDINGS INC  
MATES TRADE 1914 100217018127  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 920.75
Other Fee	.02
Amount	\$ 920.73
Settlement Date	02/22/2010

Trade Date: 02/17/2010  
Market: Over-The-Counter

CUSIP#: 404132-10-2  
Security#: H001820  
Symbol: HCC P00035000

Solicited Order  
Cash Acct.  
Ref #: 1543520

PREFERENTIAL RATE  
HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

You Sold 11 at a price of 77.90050

MONSANTO CO NEW  
MATES TRADE 1914 100217018140  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 856.91
Other Fee	.02
Amount	\$ 856.89
Settlement Date	02/22/2010

Trade Date: 02/17/2010  
Market: Over-The-Counter

CUSIP#: 61166W-10-1  
Security#: M593619  
Symbol: MON P00035000

Solicited Order  
Cash Acct.  
Ref #: 1543570

PREFERENTIAL RATE  
HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

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**Morgan Stanley  
Smith Barney**

**MORGANSTANLEY SMITHBARNEY LLC**  
700 SPRING FOREST RD  
SUITE 200  
RALEIGH NC 27609

Account Number:  
Financial Advisor:

**KEVIN BROWN/GORDON SMITH**  
919-877-2400

Page 1 of 1

090ND008 016841

\*\*\* RALPH BRADLEY MILLER  
CGM SEP IRA CUSTODIAN  
GPM  
1300 ST. MARY'S ST., STE 504  
RALEIGH NC 27605-1276

#1,266

Summary For Settlement Date	04/06/2010
Total Sales	\$ 1,486.70
Net Amount	\$ 1,486.70 Credit

You Sold 57 at a price of 26.08290

CISCO SYS INC  
MATES TRADE 1914 100331019859  
AVG PRICE SHOWN-DETAILS ON REQ  
CGMI AND/OR ITS AFFILIATES  
IS A MARKET MAKER

Gross Amount	\$ 1,486.73
Other Fee	.03
Amount	\$ 1,486.70
Settlement Date	04/06/2010

Trade Date: 03/31/2010  
Market: Over-The-Counter

CUSIP#: 17275R-10-2  
Security#: C420075  
Symbol: GSCO C00033000

Solicited Order  
Cash Acct. HOLD PROCEEDS  
Ref #: 1389760

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

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**MorganStanley  
SmithBarney**

**MORGANSTANLEY SMITHBARNEY LLC**  
700 SPRING FOREST RD  
SUITE 200  
RALEIGH NC 27609

Account Number:  
Financial Advisor:

**KEVIN BROWN/GORDON SMITH**  
919-877-2400

Page 1 of 2

134ND009 027181

\*\*\* RALPH BRADLEY MILLER  
CGM SEP IRA CUSTODIAN  
GPM  
1300 ST. MARY'S ST., STE 504  
RALEIGH NC 27605-1276

#3,913

Summary For Settlement Date	05/19/2010
Total Purchases	\$ 6,228.72
Total Sales	\$ 3,557.69
Net Amount	\$ 2,671.03 Debit

You Bought 34 at a price of 46.99620

HEWLETT PACKARD CO  
MATES TRADE 1914 100514020946  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,597.87
Amount	\$ 1,597.87
Settlement Date	05/19/2010

Trade Date: 05/14/2010 CUSIP#: 428236-10-3  
Market: Over-The-Counter Security#: H219374  
Symbol: HPQ C00033000

Solicited Order  
Cash Acct.  
Ref #: 1941586  
PREFERENTIAL RATE  
HOLD SECURITIES

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

You Bought 18 at a price of 84.73400

PROSPECTUS PREVIOUSLY SENT TO THIS CONSOLIDATION ADDRESS

ISHARES NASDAQ BIOTECHNOLOGY  
INDEX FD  
MATES TRADE 1914 100514020904  
AVG PRICE SHOWN-DETAILS ON REQ  
CGMI AND/OR ITS AFFILIATES  
IS A MARKET MAKER

Gross Amount	\$ 1,525.21
Amount	\$ 1,525.21
Settlement Date	05/19/2010

Trade Date: 05/14/2010 CUSIP#: 464287-55-6  
Market: Over-The-Counter Security#: H741442  
Symbol: IBB C00033000

Solicited Order  
Cash Acct.  
Ref #: 1941586  
HOLD SECURITIES

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

You Bought 27 at a price of 57.42850

NEWMONT MINING CORP  
MATES TRADE 1914 100514021031  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,550.57
Amount	\$ 1,550.57
Settlement Date	05/19/2010

Trade Date: 05/14/2010 CUSIP#: 651639-10-6  
Market: Over-The-Counter Security#: N439528  
Symbol: NEM C00033000

Solicited Order  
Cash Acct.  
Ref #: 1941822  
PREFERENTIAL RATE  
HOLD SECURITIES

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

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Morgan Stanley  
Smith Barney\*\*\* RALPH BRADLEY MILLER  
CGM SEP IRA CUSTODIANAccount Number:  
Financial Advisor:

KEVIN BROWN/GORDON SMITH

You Bought 34 at a price of 45.73740

NUCOR CORP  
MATES TRADE 1914 100514020857  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,555.07
Amount	\$ 1,555.07
Settlement Date	05/19/2010

Trade Date: 05/14/2010  
Market: Over-The-CounterCUSIP#: 870346-10-5  
Security#: N895607  
Symbol: NUE C00033000Solicited Order  
Cash Acct.  
Ref #: 1941569PREFERENTIAL RATE  
HOLD SECURITIES

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

You Sold 31 at a price of 38.30300

\*\*\* TYCO INTL LTD CHF  
MATES TRADE 1914 100514020247  
AVG PRICE SHOWN-DETAILS ON REQ  
SEE INTERNATIONAL NOTE BELOW

Gross Amount	\$ 1,187.39
Other Fee	.03
Amount	\$ 1,187.36
Settlement Date	05/19/2010

Trade Date: 05/14/2010  
Market: Over-The-CounterCUSIP#: H89128-10-4  
Security#: T092072  
Symbol: TYC C00033000Solicited Order  
Cash Acct.  
Ref #: 1937599PREFERENTIAL RATE  
HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

You Sold 16 at a price of 43.27000

BAXTER INTL INC  
MATES TRADE 1914 100514020383  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 692.32
Other Fee	.02
Amount	\$ 692.30
Settlement Date	05/19/2010

Trade Date: 05/14/2010  
Market: Over-The-CounterCUSIP#: 071813-10-9  
Security#: B127197  
Symbol: BAX C00033000Solicited Order  
Cash Acct.  
Ref #: 1938758PREFERENTIAL RATE  
HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

You Sold 16 at a price of 104.87900

EOG RESOURCES INC  
MATES TRADE 1914 100514020147  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,678.06
Other Fee	.03
Amount	\$ 1,678.03
Settlement Date	05/19/2010

Trade Date: 05/14/2010  
Market: Over-The-CounterCUSIP#: 26875P-10-1  
Security#: E010507  
Symbol: EOG C00033000Solicited Order  
Cash Acct.  
Ref #: 1936478PREFERENTIAL RATE  
HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

## International Equity

This security is subject to special risks resulting from currency convertibility and fluctuations, uncertain liquidity, political factors, market volatility, tax considerations, and other special situations affecting the ultimate value and yield.

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**Confirmation**

**MorganStanley  
SmithBarney**

**MORGANSTANLEY SMITHBARNEY LLC**  
700 SPRING FOREST RD  
SUITE 200  
RALEIGH NC 27609

Account Number:  
Financial Advisor:

KEVIN BROWN/GORDON SMITH  
919-877-2400

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141ND008 024410

\*\*\* RALPH BRADLEY MILLER  
CGM SEP IRA CUSTODIAN  
GPM  
1300 ST. MARY'S ST., STE 504  
RALEIGH NC 27605-1276

#917

Summary For Settlement Date	05/26/2010
Total Sales	\$ 843.38
Net Amount	\$ 843.38 Credit

You Sold 14 at a price of 60.24290

EXXON MOBIL CORP  
MATES TRADE 1914 100521026883  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 843.40
Other Fee	.02
Amount	\$ 843.38
Settlement Date	05/26/2010

Trade Date: 05/21/2010  
Market: Over-The-Counter

CUSIP#: 30231G-10-2  
Security#: E011007  
Symbol: XOM

Solicited Order  
Cash Acct.  
Ref #: 2395293

PREFERENTIAL RATE  
HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

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## Confirmation

Morgan Stanley  
Smith BarneyMORGANSTANLEY SMITHBARNEY LLC  
700 SPRING FOREST RD  
SUITE 200  
RALEIGH NC 27609Account Number:  
Financial Advisor:[REDACTED]  
KEVIN BROWN/GORDON SMITH  
919-877-2400

Page 1 of 1

181ND008 030164

\*\*\* RALPH BRADLEY MILLER  
CGM SEP IRA CUSTODIAN  
GPM  
1300 ST. MARY'S ST., STE 504  
RALEIGH NC 27605-1276

#3,824

Summary For Settlement Date 06/14/2010

Net Amount \$ .00 Credit

Due to a Stock Split, we have credited your account 18 share(s) of:

GENERAL MILLS INC

Based on your long position of 18 share(s).

Date: 06/14/2010

CUSIP#: 370334-10-4

Security#: G165065

Symbol: GIS

Cash Acct.

Account carried by Citigroup Global Markets Inc. Member SIPC. Morgan Stanley Smith Barney LLC. Member SIPC.  
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Confirmation

Morgan Stanley  
Smith Barney

MORGANSTANLEY SMITHBARNEY LLC  
700 SPRING FOREST RD  
SUITE 200  
RALEIGH NC 27609

Account Number:  
Financial Advisor:

KEVIN BROWN/GORDON SMITH  
919-877-2400

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162ND008 020296

\*\*\* RALPH BRADLEY MILLER  
CGM SEP IRA CUSTODIAN  
GPM  
1300 ST. MARY'S ST., STE 504  
RALEIGH NC 27605-1276

#1,748

Summary For Settlement Date	06/16/2010
Total Purchases	\$ 1,293.69
Total Sales	\$ 1,027.66
Net Amount	\$ 266.03 Debit

You Bought 17 at a price of 76.09920

VISA INC COM CL A  
MATES TRADE 1914 100811022030  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,293.69
Amount	\$ 1,293.69
Settlement Date	06/16/2010

Trade Date: 06/11/2010 CUSIP#: 92826C-83-9  
Market: Over-The-Counter Security#: V558088  
Symbol: V

Solicited Order  
Cash Acct.  
Ref #: 1463376

PREFERENTIAL RATE  
HOLD SECURITIES

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

You Sold 63 at a price of 16.31240

SCHWAB CHARLES CORP  
MATES TRADE 1914 100811021966  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,027.68
Other Fee	.02
Amount	\$ 1,027.66
Settlement Date	06/16/2010

Trade Date: 06/11/2010 CUSIP#: 808513-10-5  
Market: Over-The-Counter Security#: S097742  
Symbol: SCHW

Solicited Order  
Cash Acct.  
Ref #: 1463098

PREFERENTIAL RATE  
HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

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**Confirmation**

**Morgan Stanley  
Smith Barney**

**MORGANSTANLEY SMITHBARNEY LLC**  
700 SPRING FOREST RD  
SUITE 200  
RALEIGH NC 27609

Account Number:  
Financial Advisor:

**KEVIN BROWN/GORDON SMITH**  
919-877-2400

Page 1 of 1

166ND006 030576

\*\*\* RALPH BRADLEY MILLER  
CGM SEP IRA CUSTODIAN  
GPM  
1300 ST. MARY'S ST., STE 504  
RALEIGH NC 27605-1276

#725

Summary For Settlement Date	06/18/2010
Total Sales	\$ 1,148.24
Net Amount	\$ 1,148.24 Credit

You Sold 2 at a price of 257.38000

APPLE INC  
MATES TRADE 1914 100615007330  
AVG PRICE SHOWN-DETAILS ON REQ  
CGMI AND/OR ITS AFFILIATES  
IS A MARKET MAKER

Gross Amount	\$ 514.76
Other Fee	.01
Amount	\$ 514.75
Settlement Date	06/18/2010

Trade Date: 06/15/2010  
Market: Over-The-Counter

CUSIP#: 037833-10-0  
Security#: A603109  
Symbol: AAPL

Solicited Order  
Cash Acct.  
Ref #: 1694540

HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

You Sold 12 at a price of 52.79250

COGNIZANT TECH SOLUTIONS CL A  
MATES TRADE 1914 100615007598  
AVG PRICE SHOWN-DETAILS ON REQ  
CGMI AND/OR ITS AFFILIATES  
IS A MARKET MAKER

Gross Amount	\$ 633.51
Other Fee	.02
Amount	\$ 633.49
Settlement Date	06/18/2010

Trade Date: 06/15/2010  
Market: Over-The-Counter

CUSIP#: 192446-10-2  
Security#: C486888  
Symbol: CTSI

Solicited Order  
Cash Acct.  
Ref #: 1695218

HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

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700 SPRING FOREST RD  
SUITE 200  
RALEIGH NC 27609

Account Number:  
Financial Advisor:

**KEVIN BROWN/GORDON SMITH**  
919-877-2400

Page 1 of 1

167ND009 027428

\*\*\* RALPH BRADLEY MILLER  
CGM SEP IRA CUSTODIAN  
GPM  
1300 ST. MARY'S ST., STE 504  
RALEIGH NC 27605-1276

#3,463

Summary For Settlement Date	06/21/2010
Total Purchases	\$ 1,344.20
Total Sales	\$ 1,280.05
Net Amount	\$ 84.15 Debit

You Bought 51 at a price of 28.35890

MICROSOFT CORP  
MATES TRADE 1914 100616005720  
AVG PRICE SHOWN-DETAILS ON REQ  
CGMI AND/OR ITS AFFILIATES  
IS A MARKET MAKER

Gross Amount	\$ 1,344.20
Amount	\$ 1,344.20
Settlement Date	08/21/2010

Trade Date: 06/16/2010  
Market: Over-The-Counter

CUSIP#: 594918-10-4  
Security#: M415385  
Symbol: MSFT

Solicited Order  
Cash Acct. HOLD SECURITIES  
Ref #: 1530553

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

You Sold 40 at a price of 32.00200

CVS CAREMARK CORP  
MATES TRADE 1914 100616005775  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,280.08
Other Fee	.03
Amount	\$ 1,280.05
Settlement Date	06/21/2010

Trade Date: 06/16/2010  
Market: Over-The-Counter

CUSIP#: 126850-10-0  
Security#: C009323  
Symbol: CVS

Solicited Order  
Cash Acct. PREFERENTIAL RATE  
Ref #: 1531116 HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

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**Confirmation****Morgan Stanley  
Smith Barney****MORGANSTANLEY SMITHBARNEY LLC**  
700 SPRING FOREST RD  
SUITE 200  
RALEIGH NC 27609Account Number:  
Financial Advisor:[REDACTED]  
KEVIN BROWN/GORDON SMITH  
919-877-2400

Page 1 of 1

109ND009 022405

\*\*\* RALPH BRADLEY MILLER  
CGM SEP IRA CUSTODIAN  
GPM  
1300 ST. MARY'S ST., STE 504  
RALEIGH NC 27605-1276

#832

Summary For Settlement Date	06/23/2010
Total Sales	\$ 1,424.30
Net Amount	\$ 1,424.30 Credit

You Sold 34 at a price of 41.89200

NUCOR CORP  
MATES TRADE 1914 100618008643  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,424.33
Other Fee	.03
Amount	\$ 1,424.30
Settlement Date	06/23/2010

Trade Date: 06/18/2010  
Market: Over-The-CounterCUSIP#: 670346-10-5  
Security#: N695607  
Symbol: NUESolicited Order  
Cash Acct.  
Ref #: 1415161PREFERENTIAL RATE  
HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

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RALEIGH NC 27609

Account Number:  
Financial Advisor:

**KEVIN BROWN/GORDON SMITH**  
919-877-2400

Page 1 of 1

175ND009 025987

\*\*\* RALPH BRADLEY MILLER  
CGM SEP IRA CUSTODIAN  
GPM  
1300 ST. MARY'S ST., STE 504  
RALEIGH NC 27605-1276

#6,176

Summary For Settlement Date	06/29/2010
Total Sales	\$ 1,080.85
Net Amount	\$ 1,080.85 Credit

You Sold 29 at a price of 37.27150

**MEDTRONIC INC**  
MATES TRADE 1914 100624012286  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,080.87
Other Fee	.02
Amount	\$ 1,080.85
Settlement Date	06/29/2010

Trade Date: 06/24/2010  
Market: Over-The-Counter

CUSIP#: 585055-10-6  
Security#: M286611  
Symbol: MDT

Solicited Order  
Cash Acct.  
Ref #: 1681426

PREFERENTIAL RATE  
HOLD PROCEEDS

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Account Number:  
Financial Advisor:

KEVIN BROWN/GORDON SMITH  
919-877-2400

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178ND009 021362

\*\*\* RALPH BRADLEY MILLER  
CGM SEP IRA CUSTODIAN  
GPM  
1300 ST. MARY'S ST., STE 504  
RALEIGH NC 27605-1278

#3,428

Summary For Settlement Date	06/30/2010
Total Purchases	\$ 1,322.16
Net Amount	\$ 1,322.16 Debit

You Bought 70 at a price of 18.88800

MACYS INC  
MATES TRADE 1914 100625003158  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,322.16
Amount	\$ 1,322.16
Settlement Date	06/30/2010

Trade Date: 06/25/2010  
Market: Over-The-Counter

CUSIP#: 55616P-10-4  
Security#: M046300  
Symbol: M

Solicited Order  
Cash Acct.  
Ref #: 2019002

PREFERENTIAL RATE  
HOLD SECURITIES

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

Account carried by Citigroup Global Markets Inc. Member SIPC. Morgan Stanley Smith Barney LLC. Member SIPC.  
As a reminder, payment for securities purchased, or delivery of securities sold, must be deposited by Settlement Date.  
See reverse for further details. Keep this document for your records. Thank you for your business.

**Confirmation**

**Morgan Stanley  
Smith Barney**

**MORGANSTANLEY SMITHBARNEY LLC**  
700 SPRING FOREST RD  
SUITE 200  
RALEIGH NC 27609

Account Number:  
Financial Advisor:

**KEVIN BROWN/GORDON SMITH**  
919-877-2400

Page 1 of 1

187ND009 02581

\*\*\* RALPH BRADLEY MILLER  
CGM SEP IRA CUSTODIAN  
GPM  
1300 ST. MARY'S ST., STE 504  
RALEIGH NC 27605-1276

#3,076

Summary For Settlement Date	07/21/2010
Total Purchases	\$ 1,338.92
Net Amount	\$ 1,338.92 Debit

You Bought 42 at a price of 31.87900

\*\*\* CARNIVAL CORP  
(PAIRED STOCK)  
MATES TRADE 1914 100716008292  
AVG PRICE SHOWN-DETAILS ON REQ  
SEE INTERNATIONAL NOTE BELOW

Gross Amount	\$ 1,338.92
Amount	\$ 1,338.92
Settlement Date	07/21/2010

Trade Date: 07/16/2010  
Market: Over-The-Counter

CUSIP#: 143658-30-0  
Security#: C213824  
Symbol: CCL

Solicited Order  
Cash Acct.  
Ref #: 1553167  
PREFERENTIAL RATE  
HOLD SECURITIES

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

**International Equity**

This security is subject to special risks resulting from currency convertibility and fluctuations, uncertain liquidity, political factors, market volatility, tax considerations, and other special situations affecting the ultimate value and yield.

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**Confirmation**

**Morgan Stanley  
Smith Barney**

**MORGANSTANLEY SMITHBARNEY LLC**  
700 SPRING FOREST RD  
SUITE 200  
RALEIGH NC 27608

Account Number:  
Financial Advisor:

**KEVIN BROWN/GORDON SMITH**  
919-877-2400

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207ND009 021828

\*\*\* RALPH BRADLEY MILLER  
CGM SEP IRA CUSTODIAN  
GPM  
1300 ST. MARY'S ST., STE 504  
RALEIGH NC 27605-1276

#3,833

Summary For Settlement Date	07/29/2010
Total Purchases	\$ 1,332.07
Net Amount	\$ 1,332.07 Debit

You Bought 23 at a price of 57.91600

MONSANTO CO NEW  
MATES TRADE 1914 100728004774  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,332.07
Amount	\$ 1,332.07
Settlement Date	07/29/2010

Trade Date: 07/28/2010  
Market: Over-The-Counter

CUSIP#: 81188W-10-1  
Security#: M593619  
Symbol: MON

Solicited Order  
Cash Acct.  
Ref #: 1635093

PREFERENTIAL RATE  
HOLD SECURITIES

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**Confirmation**

**Morgan Stanley  
Smith Barney**

**MORGANSTANLEY SMITHBARNEY LLC**  
700 SPRING FOREST RD  
SUITE 200  
RALEIGH NC 27609

Account Number:  
Financial Advisor:

**KEVIN BROWN/GORDON SMITH**  
919-877-2400

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214ND009 024717

\*\*\* RALPH BRADLEY MILLER  
CGM SEP IRA CUSTODIAN  
GPM  
1300 ST. MARY'S ST., STE 504  
RALEIGH NC 27605-1276

#1,398

Summary For Settlement Date	08/05/2010
Total Sales	\$ 1,213.41
Net Amount	\$ 1,213.41 Credit

You Sold 63 at a price of 19.26090

CORNING INC  
MATES TRADE 1814 100802019035  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,213.44
Other Fee	.03
Amount	\$ 1,213.41
Settlement Date	08/05/2010

Trade Date: 08/02/2010  
Market: Over-The-Counter

CUSIP#: 219350-10-5  
Security#: C698429  
Symbol: GLW

Solicited Order  
Cash Acct.  
Ref #: 1849692  
PREFERENTIAL RATE  
HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

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**Confirmation**

**Morgan Stanley  
Smith Barney**

**MORGANSTANLEY SMITHBARNEY LLC**  
700 SPRING FOREST RD  
SUITE 200  
RALEIGH NC 27609

Account Number:  
Financial Advisor:

KEVIN BROWN/GORDON SMITH  
919-877-2400

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215ND009 028359

\*\*\* RALPH BRADLEY MILLER  
CGM SEP IRA CUSTODIAN  
GPM  
1300 ST. MARY'S ST., STE 504  
RALEIGH NC 27605-1276

#5,361

Summary For Settlement Date	08/06/2010
Total Purchases	\$ 1,362.23
Net Amount	\$ 1,362.23 Debit

You Bought 39 at a price of 34.92900

MERCK & CO INC NEW  
MATES TRADE 1914 100803018023  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,362.23
Amount	\$ 1,362.23
Settlement Date	08/06/2010

Trade Date: 08/03/2010  
Market: Over-The-Counter

CUSIP#: 58933Y-10-6  
Security#: M321119  
Symbol: MRK

Solicited Order  
Cash Acct.  
Ref #: 1726548

PREFERENTIAL RATE  
HOLD SECURITIES

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

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**Confirmation**

**Morgan Stanley  
Smith Barney**

**MORGANSTANLEY SMITHBARNEY LLC**  
700 SPRING FOREST RD  
SUITE 200  
RALEIGH NC 27609

Account Number:  
Financial Advisor:

**KEVIN BROWN/GORDON SMITH**  
819-877-2400

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217ND008 018910

\*\*\* RALPH BRADLEY MILLER  
CGM SEP IRA CUSTODIAN  
GPM  
1300 ST. MARY'S ST., STE 504  
RALEIGH NC 27805-1276

#1,462

Summary For Settlement Date	08/10/2010
Total Sales	\$ 1,096.65
Net Amount	\$ 1,096.65 Credit

You Sold 53 at a price of 20.69190

LOWES COMPANIES INC  
MATES TRADE 1914 100805014704  
AVG PRICE SHOWN-DETAILS ON REQ

Gross Amount	\$ 1,096.67
Other Fee	.02
Amount	\$ 1,096.65
Settlement Date	08/10/2010

Trade Date: 08/05/2010  
Market: Over-The-Counter

CUSIP#: 548661-10-7  
Security#: L646242  
Symbol: LOW

Solicited Order  
Cash Acct.  
Ref #: 1712296

PREFERENTIAL RATE  
HOLD PROCEEDS

Morgan Stanley Smith Barney LLC acted as your agent in this transaction.

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