

# UNITED STATES HOUSE OF REPRESENTATIVES

CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

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Suzan DelBene

(Full Name)

(Daytime Telephone)

Filer Status: ☒ Member of the U.S. House of Representatives State: WA District: 01

☐ Officer Or Employee Employing Office:

Report Type: ☒ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

|  |   |  |   |
|--|---|--|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?<br>If yes, complete and attach Schedule I.   | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?<br>If yes, complete and attach Schedule VI.             | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?<br>If yes, complete and attach Schedule II.  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)?<br>If yes, complete and attach Schedule VII. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?<br>If yes, complete and attach Schedule III. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?<br>If yes, complete and attach Schedule VIII.  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?<br>If yes, complete and attach Schedule IV.  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | IX. Did you have any reportable agreement or arrangement with an outside entity?<br>If yes, complete and attach Schedule IX.   | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?<br>If yes, complete and attach Schedule V.   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.   |   |

## IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

|   |   |
|---|---|
| IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?                      | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

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**SCHEDULE I - EARNED INCOME**

Name Suzan DeIBene

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source                                 | Type   | Amount      |
|--|--|-------------|
| Microsoft                              | Spouse Salary and other employment compensation        | N/A         |
| Washington State Department of Revenue | Salary for Director of Revenue for State of Washington | \$14,070.18 |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Suzan DeBene

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| BLOCK A  |   | BLOCK B  | BLOCK C   | BLOCK D  | BLOCK E  |
|--|---|--|---|--|--|
| Asset and/or Income Source   |   | Year-End Value of Asset  | Type of Income  | Amount of Income   | Transaction  |
| <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p> |   | <p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p> | <p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p> | <p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p> | <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p> |
| JT   | ABERDEEN WASH SPL REV<br>SPL REF REV BDS<br>03.00000% 11/01/2012<br>STAFFORD CREEK CTRN<br>CTR PROJ SER. 2009 | None   | INTEREST  | \$5,001 - \$15,000   | S  |
| JT   | ARIZONA BRD REGENTS<br>ARIZ ST UNIV SYS REV<br>05.00000% 07/01/2018<br>SYSTEM REV BDS                         | \$500,001 - \$1,000,000  | INTEREST  | \$15,001 - \$50,000  |  |
| JT   | AUSTIN TEX ELEC UTIL SYS<br>REV REF REV BDS<br>05.50000% 11/15/2014 SER.<br>2002A                             | None   | CAPITAL GAINS   | \$5,001 - \$15,000   | S  |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Suzan DeIBene

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|    |   |                            |                            |                     |         |
|----|---|----------------------------|----------------------------|---------------------|---------|
| JT | AUSTIN TEX WTR &<br>WASTEWATER SYS REV<br>04.00000% 11/15/2016 REF<br>REV BDS SER. 2009A                | \$250,001 -<br>\$500,000   | INTEREST                   | \$5,001 - \$15,000  |         |
| JT | BOISE CITY IDAHO URBAN<br>RENEWAL AGY 05.00000%<br>08/15/2017 LEASE REV REF<br>BDS ADA COURTS SER. 2005 | \$250,001 -<br>\$500,000   | INTEREST                   | \$5,001 - \$15,000  |         |
| JT | CHELAN CNTY WASH PUB<br>UTIL DIST NO 001 05.00000%<br>07/01/2013 CONS REV REV<br>NTS SER. 2008-A        | None                       | INTEREST                   | \$5,001 - \$15,000  | S       |
| JT | COLORADO DEPT TRANS<br>REV RANS SER. 2001<br>05.50000% 06/15/2013                                       | None                       | INTEREST,CAP<br>ITAL GAINS | \$2,501 - \$5,000   | S       |
| JT | ENERGY NORTHWEST<br>WASH ELEC REV REV<br>05.25000% 07/01/2016 REF<br>BDS PROJECT1 SER. A                | \$100,001 -<br>\$250,000   | INTEREST,CAP<br>ITAL GAINS | \$15,001 - \$50,000 | S(part) |
| JT | FIDELITY TAX-FREE MONEY<br>MARKET   | \$50,001 -<br>\$100,000    | DIVIDENDS                  | \$1 - \$200         |         |
| JT | FLORIDA ST BRD ED<br>LOTTERY REV LOTTERY<br>05.00000% 07/01/2017 REV<br>REF BDS SER. 2010F              | \$500,001 -<br>\$1,000,000 | INTEREST                   | \$15,001 - \$50,000 |         |
| JT | FLORIDA ST TPK AUTH TPK<br>REV REV BDS SER.<br>03.00000% 07/01/2012 2009A                               | None                       | INTEREST                   | \$2,501 - \$5,000   | S       |
| JT | FLORIDA ST TPK AUTH TPK<br>REV TPK REV REF<br>05.00000% 07/01/2017 BDS<br>SER. 2003 B                   | \$500,001 -<br>\$1,000,000 | INTEREST                   | \$15,001 - \$50,000 | P       |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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|    |   |                            |          |                     |   |
|----|---|----------------------------|----------|---------------------|---|
| JT | FLORIDA ST TPK AUTH TPK<br>REV TPK REV REF<br>05.25000% 07/01/2015 BDS<br>SER. 2003 B                                   | \$100,001 -<br>\$250,000   | INTEREST | \$5,001 - \$15,000  |   |
| JT | GRANT CNTY WASH PUB<br>UTIL DIST NO 2 05.00000%<br>01/01/2020 PRIEST RAPIDS<br>HYDRO ELEC REV REV AND<br>REF BDS SER. A | \$250,001 -<br>\$500,000   | INTEREST | \$5,001 - \$15,000  |   |
| JT | HOUSTON TEX 2007 BDS<br>PUBLIC IMPT REF BDS<br>04.00000% 03/01/2017 SER.<br>2007A                                       | \$500,001 -<br>\$1,000,000 | INTEREST | \$15,001 - \$50,000 |   |
| JT | IDAHO HEALTH FACS AUTH<br>REV REV BDS ST 03.00000%<br>07/01/2013 LUKES REGL<br>MED CTR IDAHO SER. 2005                  | \$500,001 -<br>\$1,000,000 | INTEREST | \$5,001 - \$15,000  | P |
| JT | IDAHO ST UNIV REVS GEN<br>REV REF BDS SER.<br>04.00000% 04/01/2015 2012   | \$100,001 -<br>\$250,000   | INTEREST | \$1,001 - \$2,500   | P |
| JT | IDAHO ST BLDG AUTH BLDG<br>REV REV REF BDS<br>05.00000% 09/01/2023 IDAHO<br>ST UNIV SER. 2012C                          | \$500,001 -<br>\$1,000,000 | INTEREST | \$5,001 - \$15,000  | P |
| JT | INTERMOUNTAIN PWR AGY<br>UTAH PWR SUPPLY<br>05.50000% 07/01/2015 REV<br>SUB PWR SUPPLY REV REF<br>BDS SER. 2008A        | \$250,001 -<br>\$500,000   | INTEREST | \$15,001 - \$50,000 |   |
| JT | LOWER COLO RIV AUTH<br>TEX TRANSMISSION<br>05.00000% 05/15/2022<br>CONTRACT REV<br>TRANSMISSION CONTRACT<br>REF REV BDS | \$500,001 -<br>\$1,000,000 | INTEREST | \$15,001 - \$50,000 |   |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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|    |   |                            |                            |                     |   |
|----|---|----------------------------|----------------------------|---------------------|---|
| JT | PORT SEATTLE WASH REV<br>LIEN REF REV BDS<br>04.000000% 06/01/2017 SER.<br>2010 B   | \$500,001 -<br>\$1,000,000 | INTEREST                   | \$15,001 - \$50,000 |   |
| JT | PORTLAND ORE URBAN<br>RENEWAL & REDEV REF<br>05.000000% 06/15/2020 BDS<br>SER. A  | \$500,001 -<br>\$1,000,000 | INTEREST                   | \$15,001 - \$50,000 |   |
| JT | PRINCE GEORGES CNTY<br>MD CONS PUB IMPT BDS<br>05.000000% 10/01/2015 SER.<br>2003 A   | None                       | INTEREST,<br>CAPITAL GAINS | \$15,001 - \$50,000 | S |
| JT | SEATTLE WASH LTD TAX<br>GO IMPT REF BDS SER.<br>05.000000% 05/01/2022 2009  | \$250,001 -<br>\$500,000   | INTEREST                   | \$5,001 - \$15,000  |   |
| JT | SERVICE CTR RELOCATION<br>INC FORT 04.250000%<br>03/01/2019 WORTH TEX<br>LEASE REV REV BDS<br>SERVICE CTR PROJ SER.<br>2004 | \$100,001 -<br>\$250,000   | INTEREST                   | \$5,001 - \$15,000  |   |
| JT | SOUTH CAROLINA ST PUB<br>SVC AUTH REV REV<br>05.000000% 01/01/2016<br>OBLIGS BDS SANTEE<br>COOPER SER. 2007A                | None                       | INTEREST,<br>CAPITAL GAINS | \$15,001 - \$50,000 | S |
| JT | TEXAS ST PUB FIN AUTH<br>REV 05.000000% 01/01/2018<br>UNEMPLOYMENT COMP<br>OBLIG ASSMT REV BDS SER<br>B                     | \$250,001 -<br>\$500,000   | INTEREST                   | \$5,001 - \$15,000  |   |
| JT | UNIVERSITY TEX UNIV<br>REVS REV BDS SER.<br>05.000000% 08/15/2021 2009D   | \$250,001 -<br>\$500,000   | INTEREST                   | \$15,001 - \$50,000 |   |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|    |  |                               |                             |                            |          |
|----|--|-------------------------------|-----------------------------|----------------------------|----------|
| JT | WASHINGTON ST CTFS<br>PARTN COPS SER.<br>03.25000% 01/01/2014 2009A  | \$100,001 -<br>\$250,000      | INTEREST                    | \$2,501 - \$5,000          |          |
| JT | WASHINGTON ST CTFS<br>PARTN COPS SER.<br>04.00000% 01/01/2018 2011D  | \$250,001 -<br>\$500,000      | INTEREST                    | \$5,001 - \$15,000         |          |
| JT | WASHINGTON ST HEALTH<br>CARE FACS AUTH REV<br>04.00000% 10/01/2013 REV<br>BDS SEATTLE CHILDRENS<br>HOSP SER. 2010B | None                          | INTEREST,<br>CAPITAL GAINS  | \$5,001 - \$15,000         | S        |
| JT | WASHINGTON ST HEALTH<br>CARE FACS AUTH REV<br>04.00000% 11/01/2012 REV<br>BDS PEACEHEALTH<br>SYSTEMS SER. 2009A    | None                          | INTEREST,<br>CAPITAL GAINS  | \$5,001 - \$15,000         | S        |
| JT | Global Partnerships Microcredit<br>Finance Fund (EIF)  | \$100,001 -<br>\$250,000      | DIVIDENDS                   | \$5,001 - \$15,000         |          |
| JT | FIDELITY MUNICIPAL<br>MONEY MARKET   | \$500,001 -<br>\$1,000,000    | DIVIDENDS                   | \$1 - \$200                |          |
| JT | BERKSHIRE HATHAWAY INC<br>DEL CL A FRMLY COMMON  | \$100,001 -<br>\$250,000      | None                        | NONE                       |          |
| JT | MICROSOFT CORP   | \$250,001 -<br>\$500,000      | DIVIDENDS                   | \$5,001 - \$15,000         |          |
| JT | PACCAR   | \$50,001 -<br>\$100,000       | DIVIDENDS                   | \$2,501 - \$5,000          |          |
| JT | SPARTAN INTL INDEX FID<br>ADV CLASS  | \$100,001 -<br>\$250,000      | DIVIDENDS,<br>CAPITAL GAINS | \$2,501 - \$5,000          |          |
| JT | SPDR S&P 500 ETF TRUST<br>UNIT SER 1 S&P   | \$5,000,001 -<br>\$25,000,000 | DIVIDENDS,<br>CAPITAL GAINS | \$100,001 -<br>\$1,000,000 | PS(part) |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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|    |   |                            |                          |                         |         |
|----|---|----------------------------|--------------------------|-------------------------|---------|
| JT | VANGUARD INTL EQUITY INDEX FDS MSCI EME | \$50,001 - \$100,000       | DIVIDENDS                | \$1,001 - \$2,500       |         |
| JT | FIDELITY MUNICIPAL MONEY MARKET         | \$15,001 - \$50,000        | DIVIDENDS                | \$1 - \$200             |         |
| SP | FIDELITY CASH RESERVES                  | \$1,000,001 - \$5,000,000  | INTEREST                 | \$1 - \$200             |         |
| SP | MICROSOFT CORP                          | \$1,000,001 - \$5,000,000  | DIVIDENDS, CAPITAL GAINS | \$100,001 - \$1,000,000 | S(part) |
| SP | RYDEX NOVA INV                          | \$500,001 - \$1,000,000    | DIVIDENDS                | \$201 - \$1,000         |         |
| SP | VANG INST INDEX PLUS                    | \$5,000,001 - \$25,000,000 | DIVIDENDS                | \$100,001 - \$1,000,000 |         |
| SP | VANG FTSE AW IDX IS                     | \$250,001 - \$500,000      | DIVIDENDS                | \$5,001 - \$15,000      |         |
| SP | VANG INST INDEX PLUS                    | \$250,001 - \$500,000      | DIVIDENDS                | \$5,001 - \$15,000      |         |
| SP | FID GROWTH CO K                         | \$250,001 - \$500,000      | DIVIDENDS                | \$5,001 - \$15,000      |         |
|    | VANG INST INDEX PLUS                    | \$15,001 - \$50,000        | DIVIDENDS                | \$201 - \$1,000         |         |
|    | FIDELITY CONTRAFUND K                   | \$15,001 - \$50,000        | DIVIDENDS                | \$201 - \$1,000         |         |
|    | PIMCO TOTAL RETURN                      | \$1,001 - \$15,000         | None                     | NONE                    |         |
|    | SPDR S&P 500 ETF TRUST UNIT SER 1 S&P   | \$1,001 - \$15,000         | DIVIDENDS                | \$1 - \$200             |         |
|    | FDIC INSURED DEPOSIT AT WELLS FARGO IRA | \$1,001 - \$15,000         | INTEREST                 | \$1 - \$200             |         |



# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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|    |   |                       |           |                    |  |
|----|---|-----------------------|-----------|--------------------|--|
|    | FIDELITY CASH RESERVES                    | \$15,001 - \$50,000   | DIVIDENDS | \$1 - \$200        |  |
|    | MICROSOFT CORP                            | \$100,001 - \$250,000 | DIVIDENDS | \$2,501 - \$5,000  |  |
|    | SPARTAN 500 INDEX FD INVESTOR CLASS       | \$100,001 - \$250,000 | DIVIDENDS | \$1,001 - \$2,500  |  |
|    | SPDR S&P 500 ETF TRUST UNIT SER 1 S&P     | \$50,001 - \$100,000  | DIVIDENDS | \$1,001 - \$2,500  |  |
| SP | SPDR S&P 500 ETF TRUST UNIT SER 1 S&P     | \$15,001 - \$50,000   | DIVIDENDS | \$201 - \$1,000    |  |
| DC | FIDELITY MUNICIPAL MONEY MARKET           | \$50,001 - \$100,000  | DIVIDENDS | \$1 - \$200        |  |
| DC | SPDR S&P 500 ETF TRUST UNIT SER 1 S&P     | \$250,001 - \$500,000 | DIVIDENDS | \$2,501 - \$5,000  |  |
| DC | VANGUARD 500 INDEX FD INVESTOR SHS        | \$100,001 - \$250,000 | DIVIDENDS | \$1,001 - \$2,500  |  |
| DC | FIDELITY MUNICIPAL MONEY MARKET           | \$50,001 - \$100,000  | DIVIDENDS | \$1 - \$200        |  |
| DC | SPDR S&P 500 ETF TRUST UNIT SER 1 S&P     | \$250,001 - \$500,000 | DIVIDENDS | \$2,501 - \$5,000  |  |
| DC | VANGUARD 500 INDEX FD INVESTOR SHS        | \$100,001 - \$250,000 | DIVIDENDS | \$1,001 - \$2,500  |  |
|    | Electro Rent Common Stock                 | \$50,001 - \$100,000  | DIVIDENDS | \$5,001 - \$15,000 |  |
| DC | VANGUARD TAX-MANAGED CAP APPRECIATION ADM | \$15,001 - \$50,000   | DIVIDENDS | \$201 - \$1,000    |  |
| DC | VANGUARD TAX-MANAGED CAP APPRECIATION ADM | \$15,001 - \$50,000   | DIVIDENDS | \$201 - \$1,000    |  |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|    |   |                          |           |      |  |  |
|----|---|--------------------------|-----------|------|--|--|
| JT | BOISE CITY IDAHO ARPT<br>REV ARPT PKG 04.000000%<br>09/01/2017 REV BDS PKG<br>FACS PROJ SER. 2012                       | \$250,001 -<br>\$500,000 | DIVIDENDS | NONE |  |  |
| JT | FLORIDA ST BRD ED PUB<br>ED CAP OUTLAY 05.000000%<br>06/01/2024 REF BDS SER.<br>2011D                                   | \$250,001 -<br>\$500,000 | DIVIDENDS | NONE |  |  |
| JT | UNIVERSITY COLO<br>ENTERPRISE SYS REV<br>05.000000% 06/01/2022 REF<br>BDS THE REGENTS OF THE<br>UNIV OF COLORADO SER. B | \$250,001 -<br>\$500,000 | DIVIDENDS | NONE |  |  |
| JT | UNIVERSITY IDAHO UNIV<br>REVS UNIV BDS 05.250000%<br>04/01/2041 SER. 2011   | \$250,001 -<br>\$500,000 | DIVIDENDS | NONE |  |  |
| JT | WASHINGTON ST GO BDS<br>MOTOR VEHICLE FUEL<br>00.000000% 12/01/2017 TAX<br>SER. 2005 F                                  | \$250,001 -<br>\$500,000 | None      | NONE |  |  |
| JT | Dumping Lisa LLC  | None                     | None      | NONE |  |  |

# SCHEDULE IV - TRANSACTIONS

Name Suzan DeIBene

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

| SP,<br>DC,<br>JT | Asset   | Type of<br>Transaction | Capital<br>Gain in<br>Excess<br>of \$200? | Date       | Amount of Transaction |
|------------------|---|------------------------|---|------------|-----------------------|
| JT               | AUSTIN TEX ELEC UTIL SYS REV REF<br>REV BDS 05.50000% 11/15/2014 SER.<br>2002A                          | S                      | Yes                                       | 02-08-12   | \$250,001 - \$500,000 |
| JT               | ABERDEEN WASH SPL REV SPL REF REV<br>BDS 03.00000% 11/01/2012 STAFFORD<br>CREEK CTRN CTR PROJ SER. 2009 | S                      | No  | 11-01-12   | \$100,001 - \$250,000 |
| JT               | BOISE CITY IDAHO ARPT REV ARPT PKG<br>04.00000% 09/01/2017 REV BDS PKG FACS<br>PROJ SER. 2012           | P                      | N/A                                       | 11-02-12   | \$250,001 - \$500,000 |
| JT               | COLORADO DEPT TRANS REV RANS<br>SER. 2001 05.50000% 06/15/2013  | S                      | Yes                                       | 11-27-2012 | \$100,001 - \$250,000 |
| JT               | ENERGY NORTHWEST WASH ELEC REV<br>REV 05.25000% 07/01/2016 REF BDS<br>PROJECT1 SER. A                   | S                      | Yes                                       | 02-08-2012 | \$100,001 - \$250,000 |
| JT               | FLORIDA ST BRD ED PUB ED CAP<br>OUTLAY 05.00000% 06/01/2024 REF BDS<br>SER. 2011D                       | P                      | N/A                                       | 12-20-12   | \$250,001 - \$500,000 |
| JT               | FLORIDA ST TPK AUTH TPK REV REV<br>BDS SER. 03.00000% 07/01/2012 2009A                                  | S                      | No  | 07-02-12   | \$100,001 - \$250,000 |
| JT               | FLORIDA ST TPK AUTH TPK REV TPK<br>REV REF 05.00000% 07/01/2017 BDS SER.<br>2003 B                      | P                      | N/A                                       | 01-26-12   | \$15,001 - \$50,000   |
| JT               | FLORIDA ST TPK AUTH TPK REV TPK<br>REV REF 05.00000% 07/01/2017 BDS SER.<br>2003 B                      | P                      | N/A                                       | 02-02-12   | \$50,001 - \$100,000  |

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

| SP,<br>DC,<br>JT | Asset   | Type of<br>Transaction | Capital<br>Gain in<br>Excess<br>of \$200? | Date       | Amount of Transaction   |
|------------------|---|------------------------|---|------------|-------------------------|
| JT               | IDAHO HEALTH FACS AUTH REV REV<br>BDS ST 03.00000% 07/01/2013 LUKES<br>REGL MED CTR IDAHO SER. 2005                 | P                      | N/A                                       | 08-01-12   | \$15,001 - \$50,000     |
| JT               | IDAHO ST BLDG AUTH BLDG REV REV<br>REF BDS 05.00000% 09/01/2023 IDAHO ST<br>UNIV SER. 2012C                         | P                      | N/A                                       | 03-16-12   | \$100,001 - \$250,000   |
| JT               | IDAHO ST UNIV REVS GEN REV REF BDS<br>SER. 04.00000% 04/01/2015 2012  | P                      | N/A                                       | 06-21-12   | \$100,001 - \$250,000   |
| JT               | PRINCE GEORGES CNTY MD CONS PUB<br>IMPT BDS 05.00000% 10/01/2015 SER. 2003<br>A                                     | S                      | Yes                                       | 08-27-2012 | \$100,001 - \$250,000   |
| JT               | SOUTH CAROLINA ST PUB SVC AUTH<br>REV REV 05.00000% 01/01/2016 OBLIGS<br>BDS SANTEE COOPER SER. 2007A               | S                      | Yes                                       | 03-19-12   | \$500,001 - \$1,000,000 |
| JT               | UNIVERSITY COLO ENTERPRISE SYS<br>REV 05.00000% 06/01/2022 REF BDS THE<br>REGENTS OF THE UNIV OF COLORADO<br>SER. B | P                      | N/A                                       | 10-12-12   | \$250,001 - \$500,000   |
| JT               | UNIVERSITY IDAHO UNIV REVS UNIV BDS<br>05.25000% 04/01/2041 SER. 2011   | P                      | N/A                                       | 12-31-12   | \$250,001 - \$500,000   |
| JT               | WASHINGTON ST HEALTH CARE FACS<br>AUTH REV 04.00000% 10/01/2013 REV BDS<br>SEATTLE CHILDRENS HOSP SER. 2010B        | S                      | Yes                                       | 10-12-12   | \$250,001 - \$500,000   |

# SCHEDULE IV - TRANSACTIONS

Name Suzan DeBene

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

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| SP,<br>DC,<br>JT | Asset   | Type of<br>Transaction | Capital<br>Gain in<br>Excess<br>of \$200? | Date     | Amount of Transaction     |
|------------------|---|------------------------|---|----------|---------------------------|
| JT               | WASHINGTON ST HEALTH CARE FACS<br>AUTH REV 04.00000% 11/01/2012 REV BDS<br>PEACEHEALTH SYSTEMS SER. 2009A | S                      | Yes                                       | 12-20-12 | \$250,001 - \$500,000     |
| JT               | SPDR S&P 500 ETF TRUST UNIT SER 1<br>S&P  | P                      | N/A                                       | 12-21-12 | \$250,001 - \$500,000     |
| JT               | SPDR S&P 500 ETF TRUST UNIT SER 1<br>S&P  | P                      | N/A                                       | 11-16-12 | \$100,001 - \$250,000     |
| JT               | SPDR S&P 500 ETF TRUST UNIT SER 1<br>S&P  | S(part)                | Yes                                       | 07-30-12 | \$100,001 - \$250,000     |
| JT               | SPDR S&P 500 ETF TRUST UNIT SER 1<br>S&P  | S(part)                | Yes                                       | 07-19-12 | \$250,001 - \$500,000     |
| JT               | SPDR S&P 500 ETF TRUST UNIT SER 1<br>S&P  | S(part)                | Yes                                       | 07-13-12 | \$100,001 - \$250,000     |
| SP               | MICROSOFT CORP  | S(part)                | Yes                                       | 08-24-12 | \$1,000,001 - \$5,000,000 |
| SP               | MICROSOFT CORP  | S(part)                | No  | 10-26-12 | \$500,001 - \$1,000,000   |
| SP               | MICROSOFT CORP  | P                      | N/A                                       | 03-30-12 | \$1,001 - \$15,000        |
| SP               | MICROSOFT CORP  | P                      | N/A                                       | 06-29-12 | \$1,001 - \$15,000        |

# SCHEDULE IV - TRANSACTIONS

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| SP,<br>DC,<br>JT | Asset          | Type of<br>Transaction | Capital<br>Gain in<br>Excess<br>of \$200? | Date     | Amount of Transaction |
|------------------|----------------|------------------------|---|----------|-----------------------|
| SP               | MICROSOFT CORP | P                      | N/A                                       | 09-28-12 | \$1,001 - \$15,000    |

# SCHEDULE IX - AGREEMENTS

Name Suzan DeIBene

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date    | Parties To                           | Terms of Agreement   |
|---------|--------------------------------------|--|
| Ongoing | Microsoft Corporation & Kurt DeIBene | Although I am no longer a Microsoft employee, I still participate in the Microsoft Health Plan as a covered spouse as a result of my husband's Microsoft employment. |

# FOOTNOTES

Name Suzan DeBene

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| Number | Section / Schedule | Footnote   | This note refers to the following item |
|--------|--------------------|--|--|
| 1      | Schedule III       | Chelan Cnty Wash bond was sold but not within the reporting period, so the transaction is not included in Schedule IV. |  |
| 2      | Schedule III       | Shares were received and deposited as part of spouse's compensation for being an employee of Microsoft.                | MICROSOFT CORP<br>(See Footnote)       |
| 3      | Schedule IV        | Additional shares received as part of spouse's employment at Microsoft.  | MICROSOFT CORP                         |
| 4      | Schedule III       | Dumping Lisa was an investment we made in a motion picture that as of last year was declared worthless.                | Dumping Lisa LLC                       |