

**HAND DELIVERED**

LEGISLATIVE RESOURCE CENTER

2013 MAY -7 PM 1:10

U.S. HOUSE OF REPRESENTATIVES

May 6, 2013

Clerk of the House of Representatives  
Legislative Resource Center  
Room B-106, Cannon House Office Building  
Washington, DC 20515-6612

**Re: Representative Chellie M. Pingree**

Dear Clerk:

Enclosed please find amended pages to my annual Financial Disclosure Report for calendar year 2011. Schedules III and IV have been amended to reflect additional information received after the original report was filed. Please note that reportable underlying assets are indented on the report under the asset in which they are held.

Thanks you for your attention to this matter.

Sincerely,

  
Representative Chellie M. Pingree

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name **Chellie Pingree**

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols).</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting threshold. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes, (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving account; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p>	<p>At close of reporting year, if you use a valuation method other than fair market value, please specify the method used. If an asset was sold and its included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if income generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchase (P), sale (S), or exchange (E) exceeding \$1,000 in reporting year.</p>
JP Morgan Chase Bank	\$1,000,001 - \$5,000,000	INTEREST	\$201 - \$1,000	
Nebo Lodge, Inc, an inn and restaurant, 11 Mullins Lane, North Haven, ME	\$500,001 - \$1,000,000	None	NONE	
See Attached				

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**[illegible]

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**[illegible]

# SCHEDULE IV - TRANSACTIONS

Name Chollie Pughree

Page 4 of 6

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities (futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	See attached				

# SCHEDULE IV - TRANSACTIONS

Asset	Type of Transaction Purch. Sale Exch.	Cap exch.	Date	Amount of Transaction					
				1,000- 15,000	15,000- 50,000	50,000- 100,000	100,000- 250,000	250,000- 500,000	500,000- 1,000,000 & Over
SP WDE Partners, LP	x		11/29/2011						x
SP Dune Real Estate Fund II LP	x		3/4/2011						x
SP Dune Real Estate Fund II LP	x		6/2/2011						x
SP Dune Real Estate Fund II LP	x		10/11/2011						x
SP Maine's Own Organic Milk Co LLC - C Preferred Unit	x		2/4/2011			x			
SP Maine's Own Organic Milk Co LLC - C Preferred Unit	x		2/23/2011			x			
SP Maine's Own Organic Milk Co LLC - C Preferred Unit	x		3/14/2011			x			
SP Maine's Own Organic Milk Co LLC - C Preferred Unit	x		4/5/2011			x			
SP Maine's Own Organic Milk Co LLC - C Preferred Unit	x		4/14/2011			x			
SP Maine's Own Organic Milk Co LLC - C Preferred Unit	x		10/3/2011			x			
SP Maine's Own Organic Milk Co LLC - C Preferred Unit	x		11/16/2011			x			
SP Ulysses Real Estate Partners, LP	x		7/25/2011			x			
SP Energy Pioneer Solutions, Inc.	x		8/17/2011				x		
SP Rebeco, LLC - Federal Street #10	x		2/23/2011					x	
SP Kite Pharma Inc.	x		2/15/2011						x
SP Acadia Partners LLC exchange for investment in Futures Fund LLC		x	6/7/2011						x
SP Astenbeck Commodities Fund II LP (via Acadia Partners LLC) *	x		11/30/2011						x
SP Acadia Partners LLC *	x		12/31/2011						x
SP High Rise Partners II, LP	x		3/23/2011						x
SP Paloma Partners Management Co.	x		9/30/2011			x			
SP Evogene Ltd	x		12/15/2011						x
SP National Field LLC	x		4/26/2011				x		
SP Neoprobe Corp	x		1/13/2011				x		
SP Neoprobe Corp	x		1/21/2011				x		
SP Neoprobe Corp	x		1/24/2011			x			
SP Neoprobe Corp	x		1/27/2011					x	
SP Neoprobe Corp	x		2/9/2011				x		
SP Neoprobe Corp	x		2/11/2011				x		
SP Neoprobe Corp	x		4/14/2011					x	
SP Neoprobe Corp	x		4/15/2011				x		
SP Neoprobe Corp	x		4/18/2011					x	
SP Neoprobe Corp	x		4/21/2011				x		
SP Vinda International Holdings	x		6/30/2011						x
SP Delphi Energy Corp	x		10/31/2011					x	
SP Cinsure Inc. (partial sale)	x		2/15/2011			x			
SP Centurion Telecom International Holdings Ltd (partial sale)	x		6/30/2011				x		
SP Chilton China Opportunities, LP	x		1/13/2011						x

\* Note: Capital gains were outweighed by other partnership losses so were not reported on Schedule III.