



Filing ID #10017346

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Rebecca Michelle Sherrill
Status: Congressional Candidate
State/District: NJ11

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2017
Filing Date: 09/10/2017
Period Covered: 01/01/2016– 08/31/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
3M Company (MMM)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Abbott Laboratories (ABT)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
AbbVie Inc. (ABBV)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Accenture plc Class A Ordinary Shares (ACN)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
AIA Group, Ltd. Sponsored American Depositary Receipt (AAGIY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Air Products and Chemicals, Inc. (APD)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Airbus Group Un-sponsored ADR (EADSY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Alexandria Real Estate Equities, Inc. (ARE)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Alibaba Group Holding Limited American Depositary	JT	\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Shares each representing one Ordinary share (BABA)					
Alphabet Inc. - Class A (GOOGL)	JT	\$1,001 - \$15,000	None		
Alphabet Inc. - Class C Capital Stock (GOOG)	JT	\$1,001 - \$15,000	None		
Altria Group, Inc. (MO)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Amadeus IT Holding SA Unsponsored American Depositary Shares (AMADY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Amazon.com, Inc. (AMZN)	JT	\$1,001 - \$15,000	None		
Amcor Limited American Depositary Shares (AMCRY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
American Express Company (AXP)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Ameriprise Financial, Inc. (AMP)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Amgen Inc. (AMGN)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Analog Devices, Inc. (ADI)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Apple Inc. (AAPL)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Arthur J. Gallagher & Co. (AJG)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Asahi Kaisai Corporation American Depositary Shares (AHKSY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
ASML Holding N.V. - ADS represents 1 ordinary share (ASML)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Assa Abloy AB Unsponsored ADR (ASAZY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Associated British Foods Plc American Depositary Shares (ASBFY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Astrazeneca PLC (AZN)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

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Autodesk, Inc. (ADSK)	JT	\$1,001 - \$15,000	None		
Automatic Data Processing, Inc. (ADP)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
AvalonBay Communities, Inc. (AVB)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Bank of America DESCRIPTION: Bank of America Checking Acct	SP	\$1,001 - \$15,000	None		
Bank of America Corporation (BAC)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
BB&T Corporation (BBT)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Becton, Dickinson and Company (BDX)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
BlackRock, Inc. (BLK)	JT	\$1,001 - \$15,000	None		
BNP Paribas American Depositary Shares (BNPQY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Boston Properties, Inc. (BXP)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Brenntag AG, Muehleim/Ruhr Unsponsored American Depositary Receipt (BNTGY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Bristol-Myers Squibb Company (BMY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
British American Tobacco Industries, p.l.c. Common Stock ADR (BTI)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Canadian National Railway Company (CNI)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Capital One Financial Corporation (COF)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Carlsberg AS Sponsored ADR Representing Class B Shares (CABGY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Cerner Corporation (CERN)	JT	\$1,001 - \$15,000	None		
Chevron Corporation (CVX)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

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Cincinnati Financial Corporation (CINF)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Cinemark Holdings Inc Cinemark Holdings, Inc. (CNK)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Cisco Systems, Inc. (CSCO)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
CME Group Inc. - Class A (CME)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
CMS Energy Corporation (CMS)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Coca-Cola Company (KO)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Comcast Corporation - Class A (CMCSA)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Compagnie Financiere Richemont Unsponsored ADR (CFRUY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
ConocoPhillips (COP)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Cullen/Frost Bankers, Inc. (CFR)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
D/B/A Chubb Limited New (CB)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Danone Sponsored ADR (DANOY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Deere & Company (DE)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Denso Corp Ltd Ord American Depositary Shares (DNZOY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Diageo plc (DEO)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Discover Financial Services (DFS)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
DNB ASA SPONSORED ADR Representing 10 (DNHBY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Dover Corporation (DOV)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Dr Pepper Snapple Group, Inc Dr Pepper Snapple Group, Inc (DPS)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Essilor International SA ADR (ESLOY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Expeditors International of Washington, Inc. (EXPD)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Exxon Mobil Corporation (XOM)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Facebook, Inc. - Class A (FB)	JT	\$1,001 - \$15,000	None		
FactSet Research Systems Inc. (FDS)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Fanuc Corporation Unsponsored ADR (FANUY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Fidelity 529 Ike ⇒ FIDELITY ADVISOR 529 DIVERSIFIED INTL A	DC	\$15,001 - \$50,000	None		
Fidelity 529 Ike ⇒ FIDELITY ADVISOR 529 DIVIDEND & INCOME A	DC	\$15,001 - \$50,000	None		
Fidelity 529 Ike ⇒ FIDELITY ADVISOR 529 NEW INSIGHTS A	DC	\$15,001 - \$50,000	None		
Fidelity 529 Lincoln ⇒ FIDELITY ADVISOR 529 DIVERSIFIED INTL A	DC	\$15,001 - \$50,000	None		
Fidelity 529 Lincoln ⇒ FIDELITY ADVISOR 529 DIVIDEND & INCOME A	DC	\$15,001 - \$50,000	None		
Fidelity 529 Lincoln ⇒ FIDELITY ADVISOR 529 NEW INSIGHTS A	DC	\$15,001 - \$50,000	None		
Fidelity 529 Maggie ⇒ FIDELITY ADVISOR 529 DIVERSIFIED INTL A	DC	\$15,001 - \$50,000	None		
Fidelity 529 Maggie ⇒ FIDELITY ADVISOR 529 DIVIDEND & INCOME A	DC	\$15,001 - \$50,000	None		
Fidelity 529 Maggie ⇒ FIDELITY ADVISOR 529 NEW INSIGHTS A	DC	\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity 529 Marit ⇒ FIDELITY ADVISOR 529 DIVIDEND & INCOME A	DC	\$15,001 - \$50,000	None		
Fidelity 529 Marit ⇒ FIDELITY ADVISOR 529 DIVERSIFIED INTL A	DC	\$1,001 - \$15,000	None		
Fidelity 529 Marit ⇒ FIDELITY ADVISOR 529 NEW INSIGHTS A	DC	\$15,001 - \$50,000	None		
Fidelity National Information Services, Inc. (FIS)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Gemalto NV Sponsored ADR (GTOMY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
General Dynamics Corporation (GD)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
General Electric Company (GE)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Genmab A/S American Depositary Shares (GMXAY)	JT	\$1,001 - \$15,000	None		
Genuine Parts Company (GPC)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Gilead Sciences, Inc. (GILD)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Givaudan SA Un-sponsored ADR (GVDNY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Grifols, S.A. - American Depositary Shares (GRFS)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Hartford Financial Services Group, Inc. (HIG)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
HCP, Inc. (HCP)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
HDFC Bank Limited (HDB)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Home Depot, Inc. (HD)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Honeywell International Inc. (HON)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Illinois Tool Works Inc. (ITW)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
ING Group, N.V. (ING)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200

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Internationa Flavors & Fragrances, Inc. (IFF)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Japan Tobacco Un-sponsored American Depository Receipt (JAPAY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Jardine Matheson Holdings Ltd Un-sponsored ADR (JMHLY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Jason's Rollover IRA 90127 ⇒ iShares Core MSCI EAFE ETF (IEFA)	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Jason's Rollover IRA 90127 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Jason's Rollover IRA 90127 ⇒ iShares Core S&P Mid-Cap ETF (IJH)	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Jason's Rollover IRA 90127 ⇒ iShares Core S&P Small-Cap ETF (IJR)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Jason's Rollover IRA 90127 ⇒ iShares Select Dividend ETF (DVY)	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Jason's Rollover IRA 90127 ⇒ SPDR S&P 600 Small Cap ETF (SLY)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Johnson & Johnson (JNJ)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
KBC Group NV Un-sponsored ADR (KBCSY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
KDDI Corporation Un-sponsored ADR (KDDIY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Kering Un-sponsored ADR (PPRUY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Keyence Corp Ordinary Shares (KYCCF)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Kimberly-Clark Corporation (KMB)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
KLA-Tencor Corporation (KLAC)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

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Kubota Corporation (KUBTY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
L'Air Liquide Ord American Depositary Shares (AIQUY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
LVMH Moet Hennessy Louis Vuitton Unsponsored American Depositary Receipt (LVMUY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
M&T Bank Corporation (MTB)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Mass Mutual Whole Life Jason #1	SP	\$15,001 - \$50,000	None		
Mass Mutual Whole Life Jason #2	SP	\$1,001 - \$15,000	None		
Mass Mutual Whole Life Mikie #1		\$1,001 - \$15,000	None		
Mass Mutual Whole Life Mikie #2		\$1,001 - \$15,000	None		
McDonald's Corporation (MCD)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Medtronic plc. Ordinary Shares (MDT)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MercadoLibre, Inc. (MELI)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Merck & Company, Inc. Common Stock (MRK)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MetLife, Inc. (MET)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Microsoft Corporation (MSFT)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Mike's Rollover IRA 95031 ⇒ iShares Core MSCI EAFE ETF (IEFA)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Mike's Rollover IRA 95031 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Mike's Rollover IRA 95031 ⇒ iShares Core S&P Mid-Cap ETF (IJH)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Mike's Rollover IRA 95031 ⇒ iShares Core S&P Small-Cap ETF (IJR)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Mike's Rollover IRA 95031 ⇒ iShares Select Dividend ETF (DIVY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Mike's Rollover IRA 95031 ⇒ SPDR S&P 600 Small Cap ETF (SLY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Molson Coors Brewing Company Class B (TAP)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Mondelez International, Inc. - Class A (MDLZ)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Monster Beverage Corporation (MNST)	JT	\$1,001 - \$15,000	None		
Murata Manufacturing Co Ltd Unsponsored ADR (MRAAY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Naspers Limited N Shs Sponsored American Depositary Receipt Representing Class N Shares (NPSNY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
NextEra Energy, Inc. (NEE)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Nidec Corporation (NJ)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
NiSource Inc (NI)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Norfolk Southern Corporation (NSC)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Northern Trust Corporation (NTRS)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Novartis AG (NVS)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Novo Nordisk A/S (NVO)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
NTT DOCOMO, Inc American Depositary Shares (DCM)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
NY Saves 529 Lincoln ⇒ NY'S 529 AGGRESSIVE AGE-BASED OPTION:	DC	\$15,001 - \$50,000	None		

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GROWTH PORTFOLIO					
NY Saves 529 Maggie ⇒ NY'S 529 AGGRESSIVE AGE-BASED OPTION: GROWTH PORTFOLIO	DC	\$15,001 - \$50,000	None		
Occidental Petroleum Corporation (OXY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Oracle Corporation (ORCL)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Pepsico, Inc. (PEP)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Pernod Ricard SA Unsponsored ADR (PDRDY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Pfizer, Inc. (PFE)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Philip Morris International Inc (PM)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
PNC Financial Services Group, Inc. (PNC)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
PPG Industries, Inc. (PPG)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Praxair, Inc. (PX)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Procter & Gamble Company (PG)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Progressive Corporation (PGR)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Prudential Financial, Inc. (PRU)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Prudential Public Limited Company (PUK)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
QUALCOMM Incorporated (QCOM)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Reckitt Benckiser Plc Sponsored ADR (RBGLY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Regeneron Pharmaceuticals, Inc. (REGN)	JT	\$1,001 - \$15,000	None		

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Republic Services, Inc. (RSG)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Resource Management Acct 90126	JT	\$100,001 - \$250,000	None		
DESCRIPTION: Brokerage account all in cash					
Rio Tinto Plc (RIO)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Roche Holdings AG Basel American Depositary Shares (RHHBY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
RPM International Inc. (RPM)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Ryohin Keikaku Co Ltd (RYKKY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
S&P Global Inc. (SPGI)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Safran S.A. Unsponsored ADR (SAFRY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Sampo OYJ Unsponsored ADR representing A shares (SAXPY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Samsonite International S.A Unsponsord ADR (SMSEY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
SAP SE ADS (SAP)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Schlumberger N.V. (SLB)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
SEI Investments Company (SEIC)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Siemens AG American Depositary Shares (SIEGY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Simon Property Group, Inc. (SPG)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Singapore Telecommunications Limited Sponsored ADR New 2006 (SGAPY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Smc Cp Ordinary Shares (SMECF)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Snap-On Incorporated (SNA)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Softbank Corp Unsponsored ADR (SFTBY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Sonova Holding AG Unsponsored ADR (SONVY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
SSE Plc Sponsored ADR (SSEZY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Stanley Black & Decker, Inc. (SWK)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Sumitomo Mitsui Financial Group Inc Unsponsored American Depositary Shares (SMFG)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Svenska Handelsbanken Unsponsored ADR representing A Shares (SVNLY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Sysmex Corporation Unsponsored ADR (SSMXY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
T. Rowe Price Group, Inc. (TROW)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Texas Instruments Incorporated (TXN)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
The Kraft Heinz Company (KHC)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
The Travelers Companies, Inc. (TRV)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Tiffany & Co. (TIF)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Time Warner Inc. New (TWX)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Total S.A. (TOT)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
U.S. Bancorp (USB)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Group AG Registered Ordinary Shares (UBS)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Union Pacific Corporation (UNP)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
United Parcel Service, Inc. (UPS)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
USAA Checking Account DESCRIPTION: Joint Checking	JT	\$15,001 - \$50,000	None		
V.F. Corporation (VFC)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Valero Energy Corporation (VLO)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Validus Holdings, Ltd. Common Shares (VR)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Varex Imaging Corporation (VREX)	JT	\$1 - \$1,000	None		
Varian Medical Systems, Inc. (VAR)	JT	\$1,001 - \$15,000	None		
Verizon Communications Inc. (VZ)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Visa Inc. (V)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Walgreens Boots Alliance, Inc. (WBA)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Wells Fargo & Company (WFC)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Westrock Company (WRK)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Wyndham Worldwide Corp Common Stock (WYN)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Wynn Macau Limited Unsponsored ADR (WYNMY)	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Xcel Energy Inc. (XEL)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yum China Holdings, Inc. (YUMC)	JT	\$1,001 - \$15,000	None		
Yum! Brands, Inc. (YUM)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
UBS Securities LLC	Salary	\$835,901.00	\$1,253,852.00
US Department of Justice	Salary	\$.00	\$50,704.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	PennyMac	January 2010	Home Mortgage	\$1,000,001 - \$5,000,000
JT	UBS	September 2016	Home Mortgage	\$250,001 - \$500,000
SP	Citadel	March 2016	Auto Loan	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Fidelity 529 Ike (Owner: DC)
 LOCATION: NJ
- Fidelity 529 Lincoln (Owner: DC)
 LOCATION: NJ
- Fidelity 529 Maggie (Owner: DC)
 LOCATION: NJ
- Fidelity 529 Marit (Owner: DC)

LOCATION: NJ

- Jason's Rollover IRA 90127 (Owner: SP)
- Mike's Rollover IRA 95031
- NY Saves 529 Lincoln (Owner: DC)
LOCATION: NY
- NY Saves 529 Maggie (Owner: DC)
LOCATION: NY

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Rebecca Michelle Sherrill , 09/10/2017