

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A  
For use by Members, officers, and employees

Page 1 of 6 CONGRESSIONAL RESOURCE CENTER

Michael K Simpson  
(Full Name)

202 225-5531  
(Daytime Telephone)

U.S. HOUSE OF REPRESENTATIVES  
**HAND DELIVERED**  
(Deliver Only)

MAY 17 AM 9:19

Filer Status ☒ Member of the U.S. House of Representatives State: ID District: 2nd

☐ Officer Or Employee Employing Office:

Report Type ☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule I.		If yes, complete and attach Schedule VI.	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule II.		If yes, complete and attach Schedule VII.	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule III.		If yes, complete and attach Schedule VIII.	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule IV.		If yes, complete and attach Schedule IX.	
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	
If yes, complete and attach Schedule V.			

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE I - EARNED INCOME

Name Michael K. Simpson

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Battelle Energy Alliance	Spouse Salary	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Michael K. Simpson

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## BLOCK A

### Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

## BLOCK B

### Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

## BLOCK C

### Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.

## BLOCK D

### Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.

## BLOCK E

### Transaction

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

SP	Smith Barney - IRA	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	
SP	Smith Barney 100 shares General Electric Co.	\$1,001 - \$15,000	None	NONE	
	Smith Barney	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	
	Public Employee Retirement System of Idaho	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
SP	Idaho National Laboratory Employee Investment Plan (401K)	\$100,001 - \$250,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	
	Edward Jones - IRA	\$500,001 - \$1,000,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Michael K. Simpson

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SP	None	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	S
Edward Jones Idaho Health Fac Auth Rev Bond				
SP	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	
D.A. Davidson & Co. 400 shares Boeing Co.				
SP	\$250,001 - \$500,000	RENT/CAPITAL GAINS	\$5,001 - \$15,000	
Farm Ground Blackfoot, Idaho				
SP	\$100,001 - \$250,000	CAPITAL GAINS	\$100,001 - \$1,000,000	S(part)
Pheasant Hills Prop., LLC 255 W. 300 N. Blackfoot, Idaho				
	\$250,001 - \$500,000	RENT	\$5,001 - \$15,000	
Simpson Properties				
SP	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Westmark Credit Union Idaho Falls, Idaho Savings Account				
SP	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000	
Blackfoot School District Blackfoot, Idaho Contract on Partial Sale of farmground (2008)				

# SCHEDULE IV - TRANSACTIONS

Name Michael K. Simpson

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
JT	Edward Jones Idaho Health Fac Auth Rev Bond	S	No	6-4-09	\$1,001 - \$15,000
SP	Pheasant Hills Prop., LLC 255 W. 300 N. Blackfoot, Idaho	S(part)	No	6-29-09	\$100,001 - \$250,000

# SCHEDULE V - LIABILITIES

Name Michael K. Simpson

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	Bank of America Wilmington, DE	Credit Card	\$15,001 - \$50,000

# Morgan Stanley Smith Barney

## Individual Retirement Account December 1 - December 31, 2009

Page 1 of 8

KATHERINE SIMPSON  
CGM SPOUSAL IRA CUSTODIAN  
5265 SHADOW CREEK  
IDAHO FALLS ID 83401-6352

Morgan Stanley Smith Barney LLC. Member SIPC.

670 SO WOODRUFF AVE  
IDAHO FALLS ID 83401

Account carried by Citigroup Global Markets Inc. Member SIPC.

**IMPORTANT NOTICE FOR RETIREMENT PLAN CLIENTS.** See the last page of this statement for your fee invoice and the opportunity to elect to have the fee paid automatically each year from this account or from another Morgan Stanley Smith Barney account that you designate. Morgan Stanley Smith Barney reserves the right to liquidate assets in your account to satisfy outstanding fees.

### Account value

	Last period	This period	%
Bank Deposit Program <sup>SM</sup> - principal	\$ 28,392.32	\$ 28,284.42	99.97
TRAK <sup>®</sup> - Advisory Service	7.25	8.23	.03
<b>Total value</b>	<b>\$ 28,399.57</b>	<b>\$ 28,292.65</b>	<b>100.00</b>

Fair Market Value 12/31/09 **\$ 28,292.65**  
For IRS-related fair market value requirements, please see the  
"Fair Market Value - Form 5498" message.

### Earnings summary

	This period	This year
Other dividends	\$ 1.05	\$ 166.78
Bank Deposit Program <sup>SM</sup>	.73	1.22
<b>Total</b>	<b>\$ 1.78</b>	<b>\$ 168.00</b>

### Gain/loss summary

	This period	This year
Realized gain or (loss)	\$ 0.00	(\$ 5,586.85) LT
Unrealized gain or (loss) to date	(.04)	\$ 328.55 ST

### Cash, money fund, bank deposits

	This period	This year
<b>Opening balance</b>	<b>\$ 28,392.32</b>	
Securities bought and other subtractions	(1.05)	
Securities sold and other additions	0.00	
Deposits	0.00	144.21
Withdrawals	(108.63)	(407.27)
Dividends credited	1.05	
Bank Deposit Program <sup>SM</sup> interest reinvested	.73	
<b>Closing balance</b>	<b>\$ 28,284.42</b>	

A free credit balance in any securities account may be paid to you on demand.  
Although properly accounted for, these funds may be used for business purposes.

### Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	\$ 28,399.57	\$ 22,849.69
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	(108.63)	(263.06)
Beginning value net of deposits/withdrawals	28,290.94	22,586.63
Total value as of 12/31/2009 (excl. accr. int.)	\$ 28,292.65	\$ 28,292.65
Change in value	\$ 1.71	\$ 5,706.02

# MorganStanley SmithBarney

## Individual Retirement Account December 1 - December 31, 2009

KATHERINE SIMPSON

### IRA ACTIVITY SUMMARY

Total contributions, rollovers, recharacterizations, and distributions are reported to the IRS. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

2009 2008 Since Inception\*

#### Contributions

Total contributions \$ 0.00 \$ 0.00 \$ 0.00

\*Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.

### BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary

Contingent Beneficiary

MICHAEL SIMPSON

### PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 12/31/09, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at [www.smithbarney.com](http://www.smithbarney.com).

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold. Please Note: unrealized gain(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

### Bank Deposit Program<sup>SM</sup>

The Federal Deposit Insurance Corporation (FDIC) provides insurance on deposits up to \$250,000 per account owner, per bank. However, the Smith Barney Bank Deposit Program (BDP) applies certain limits to the maximum deposit amount permitted in certain banks, which may be below the maximum FDIC insurance limits for certain types of accounts. In those instances where an account type exceeds the maximum FDIC insurance limits, those deposits will be uninsured. Balances maintained in Deposit Accounts at each Affiliated Program Bank are not protected by the Securities Investor Protection Corporation ("SIPC") or any excess-SIPC coverage provided by Citigroup Global Markets Inc. For complete details please refer to the "Bank Deposit Program Disclosure Statement."

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
28,284.42	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	\$ 28,284.42		.03%	\$ 8.48
Total Bank Deposit Program		\$ 28,284.42	\$ 0.00	.03%	\$ 8.48



# MorganStanley SmithBarney

## Individual Retirement Account December 1 - December 31, 2009

KATHERINE SIMPSON

### TRAK ® Personalized Investment Advisory Service

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at \$1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below \$1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Certain mutual funds may not be transferable to other broker-dealers. For further information, please refer to the fund's Prospectus or call your Financial Advisor.

Consulting Group Research (CGR) rating codes (FL, AL or NL) may be shown for certain mutual funds. Please refer to the "Guide to Investment Ratings" at the end of this statement for a description of these rating codes. All research ratings represent the "opinions" of Consulting Group Research and are not representations or guarantees of performance.

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions and current dividend for funds are based upon information provided by an outside vendor and are not verified by us. "Tax-Based Cost vs. Current Value" is being provided for information purposes only. "Cash Distributions (since inception)" when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions received in cash due to but not limited to the following: investments made prior to 1/1/89, asset transfers, recent activity and certain adjustments made in your account. "Total Purchases vs. Current Value" is provided to assist you in comparing your "Total purchases" excluding reinvested distributions, with the current value of the fund's shares in your account. "Fund Value Increase/Decrease" reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception.

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/Decrease	Yield	Anticipated income (annualized)
	COLUMBIA INTERMEDIATE BOND FUND Z	SRBFX									
	Rating: CG RESEARCH : AL										
.838	Reinvestments to date			\$ 8.27	\$ 8.835	\$ 8.79	\$ 8.23	(\$ .04) ST	67.28	5.06%	\$ .41
	Cash distributions (since inception)										
	Total Purchases vs. Current Value			0.00			8.23	Not available			
	Fund Value Increase/Decrease							Not available			
	Total TRAK Investments (Tax based)			\$ 8.27			\$ 8.23	(\$ .04) ST	5.06		\$ .41
	Total Fund Value Increase/Decrease							\$ .00 LT	\$ .00		
	Total portfolio value			\$ 28,282.58			\$ 28,282.55	(\$ .04) ST	.03		\$ 8.89
								\$ .00 LT			

**Individual Retirement Account**  
December 1 - December 31, 2009

KATHERINE SIMPSON

**TRANSACTION DETAILS** All transactions appearing are based on trade data.

**Investment activity**

Date	Activity	Description	Quantity	Price	Amount
12/01/09	Reinvest	COLUMBIA INTERMEDIATE BOND FUND Z			\$ -1.01
		WITHDRAWAL, PENDING REINVEST			
12/01/09	Reinvest	COLUMBIA INTERMEDIATE BOND FUND Z	.114	8.87	0.00
		REINVESTMENT SHS FOR 11/30/09 REINVESTED AMOUNT \$1.01			
12/04/09	Reinvest	COLUMBIA INTERMEDIATE BOND FUND Z			-.04
		WITHDRAWAL, PENDING REINVEST			
12/04/09	Reinvest	COLUMBIA INTERMEDIATE BOND FUND Z	.005	8.81	0.00
		REINVESTMENT SHS FOR 12/03/09 REINVESTED AMOUNT \$0.04			
Total securities bought and other subtractions					\$ -1.05
Total securities sold and other additions					\$ 0.00

**Withdrawals**

Date	Description	Reference no.	Amount
12/14/09	INVESTMENT & ADVISORY SERVICES FROM 10/01/09 TO 12/31/09		108.63

**Bank Deposit Programs<sup>SM</sup> activity**

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from a Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

Opening balance			\$ 28,382.32
Date	Activity	Description	Amount
12/14/09	Withdrawal	BANK DEPOSIT PROGRAM	-108.63
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	.73
Closing balance			\$ 28,284.42

# MorganStanley SmithBarney

## Individual Retirement Account December 1 - December 31, 2009

KATHERINE SIMPSON

### EARNINGS DETAILS

The tax status of earnings is relative to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends				
Date	Description	Comment	Taxable	Non-taxable
12/01/09	COLUMBIA INTERMEDIATE BOND FUND Z	CASH DIV ON	.8170 SHS \$ 1.01	
12/04/09	COLUMBIA INTERMEDIATE BOND FUND Z	CASH DIV ON	.9310 SHS .04	
Total other dividends earned			\$ 1.05	\$ 0.00

### Bank Deposit Program<sup>SM</sup> Interest

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
12/31/09	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 12/01/09-01/03/10 94 DAYS AVERAGE YIELD .03 %.	\$ .73		\$ .73
Total Bank Deposit Program interest earned			\$ .73	\$ 0.00	\$ .73

Please notify your Financial Advisor if you would like to impose any reasonable restrictions on the management of your account, or if there have been any changes in your financial situation, investment objectives, requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested.

We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any other investment advisors managing your account. If you would like a copy, please contact your Financial Advisor.

**Client Statement**  
November 1 - December 31, 2009

**KATHERINE SIMPSON**  
5265 SHADOW CREEK  
IDAHO FALLS ID 83401-6352

**Morgan Stanley Smith Barney LLC. Member SIPC.**

870 SO WOODRUFF AVE  
IDAHO FALLS ID 83401

Account carried by Citigroup Global Markets Inc. Member SIPC.

Account value	Last period	This period	%
Bank Deposit Program <sup>SM</sup> -principal	\$ 105.39	\$ 30.39	1.97
Common stocks & options	1,426.00	1,513.00	98.03
<b>Total Value</b>	<b>\$ 1,531.39</b>	<b>\$ 1,543.39</b>	<b>100.00</b>

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for, these funds may be used for business purposes.

Earnings summary	This period		This year
	Taxable	Non-taxable	
Qualified dividends	\$ 0.00	\$ 0.00	\$ 0.00
<b>Total</b>	<b>\$ 0.00</b>	<b>\$ 0.00</b>	<b>\$ 0.00</b>

Gain/loss summary	This period	This year
Unrealized gain or (loss) to date	(\$ 163.61)	

Cash, money fund, bank deposits	This period	This year
Opening balance	\$ 105.39	
Withdrawals	(75.00)	(75.00)
<b>Closing balance</b>	<b>\$ 30.39</b>	

**Portfolio summary**

Beginning total value (excl. accr. int.)	\$ 1,531.39	\$ 1,643.39
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	(75.00)	(75.00)
Beginning value net of deposits/withdrawals	1,456.39	1,568.39
Total value as of 12/31/2009 (excl. accr. int.)	\$ 1,543.39	\$ 1,543.39
Change in value	\$ 87.00	(\$ 25.00)

**PORTFOLIO DETAILS**

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**Bank Deposit Program™**

The Federal Deposit Insurance Corporation (FDIC) provides insurance on deposits up to \$250,000 per account owner, per bank. However, the Smith Barney Bank Deposit Program (BDP) applies certain limits to the maximum deposit amount permitted in certain banks, which may be below the maximum FDIC insurance limits for certain types of accounts. In those instances where an account type exceeds the maximum FDIC insurance limits, those deposits will be uninsured. Balances maintained in Deposit Accounts at each Affiliated Program Bank are not protected by the Securities Investor Protection Corporation ("SIPC") or any excess-SIPC coverage provided by Citigroup Global Markets Inc. For complete details please refer to the "Bank Deposit Program Disclosure Statement."

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated Income (annualized)
30.39	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	\$ 30.39		.03 %	
Total Bank Deposit Program		\$ 30.39	\$ 0.00	.03 %	\$ 0.00

KATHERINE SIMPSON

**Common stocks & options**

Citi Investment Research & Analysis (CIRA), Morgan Stanley & Co. Incorporated (Morgan Stanley) and Standard & Poor's research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Where a particular company is covered by both CIRA and Morgan Stanley, you can and should view both research reports. CIRA and Morgan Stanley research reports may contain different or conflicting information about the subject companies of such research reports because they are prepared separately from each other. CIRA stock recommendations include an investment rating and a risk rating. The investment Rating Code (1, 2 or 3) is a function of CIRA's expectation of total return (forecast price appreciation and dividend yield within the next twelve months) and a Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing CIRA ratings. Morgan Stanley and Standard & Poor's research ratings have been normalized by these providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Morgan Stanley Smith Barney is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of research prepared by Standard & Poor's.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
100	GENERAL ELECTRIC CO Rating: Citigroup : 2M Morgan Stanley : 1 S&P : 1	GE	12/18/08	\$ 1,676.61	\$ 15.967	\$ 15.13	\$ 1,513.00	(\$ 163.61) LT	2.643%	\$ 40.00
<b>Total common stocks and options</b>										
				\$ 1,676.61			\$ 1,513.00	\$ 0.00 ST (\$ 163.61) LT	2.64	\$ 40.00
<b>Total portfolio value</b>										
				\$ 1,707.00			\$ 1,543.39	\$ 0.00 ST (\$ 163.61) LT	2.69	\$ 40.00

**TRANSACTION DETAILS** all transactions appearing are based on trade date

**Withdrawals**

Date	Description	Reference no.	Amount
12/17/09	BASIC ANNUAL FEE		75.00

**Bank Deposit Program<sup>SM</sup> activity**

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from a Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

<b>Opening balance</b>		\$ 105.39
Date	Activity Description	Amount
12/17/09	Withdrawal BANK DEPOSIT PROGRAM	-75.00
<b>Closing balance</b>		\$ 30.39

# MorganStanley SmithBarney

## Individual Retirement Account December 1 - December 31, 2009

Page 1 of 8

**MICHAEL SIMPSON**  
CGM IRA CUSTODIAN  
5265 SHADOW CREEK  
IDAHO FALLS ID 83401-6352

Morgan Stanley Smith Barney LLC, Member SIPC.

8700 SO WOODRUFF AVE  
IDAHO FALLS ID 83401

Account carried by Citigroup Global Markets Inc. Member SIPC.

**IMPORTANT NOTICE FOR RETIREMENT PLAN CLIENTS.** See the last page of this statement for your fee invoice and the opportunity to elect to have the fee paid automatically each year from this account or from another Morgan Stanley Smith Barney account that you designate. Morgan Stanley Smith Barney reserves the right to liquidate assets in your account to satisfy outstanding fees.

### Account value

	Last period	This period	%
Bank Deposit Program <sup>SM</sup> - principal	\$ 21,469.27	\$ 21,388.21	99.98
TRAK <sup>®</sup> - Advisory Service	4.52	4.97	.02
<b>Total value</b>	<b>\$ 21,473.79</b>	<b>\$ 21,393.18</b>	<b>100.00</b>

Fair Market Value 12/31/09 \$ 21,383.18  
For IRS-related fair market value requirements, please see the "Fair Market Value - Form 5498" message.

### Earnings summary

	This period	This year
Other dividends	\$ .48	\$ 104.87
Bank Deposit Program <sup>SM</sup>	.63	1.04
Cap. gains distributions-ST	0.00	13.91
Cap. gains distributions-LT	0.00	0.00
<b>Total</b>	<b>\$ 1.11</b>	<b>\$ 119.82</b>

### Cash, money fund, bank deposits

	This period	This year
<b>Opening balance</b>	<b>\$ 21,469.27</b>	
Securities bought and other subtractions	(.48)	
Securities sold and other additions	0.00	
<b>Deposits</b>	<b>0.00</b>	<b>107.47</b>
<b>Withdrawals</b>	<b>(81.69)</b>	<b>(311.35)</b>
Dividends credited	.48	
Bank Deposit Program <sup>SM</sup> interest reinvested	.63	
<b>Closing balance</b>	<b>\$ 21,388.21</b>	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for, these funds may be used for business purposes.

### Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	\$ 21,473.79	\$ 16,534.68
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	(81.69)	(203.88)
Beginning value net of deposits/withdrawals	21,392.10	16,330.80
Total value as of 12/31/2009 (excl. accr. int.)	\$ 21,383.18	\$ 21,383.18
Change in value	\$ 1.08	\$ 5,062.38

**Individual Retirement Account**  
**December 1 - December 31, 2009**

**MICHAEL SIMPSON**

<b>Gain/loss summary</b>		This period	This year
Realized gain or (loss)		\$ 0.00	(\$ 2,884.86) LT \$ 531.86 ST
Unrealized gain or (loss) to date	0.00		

**IRA ACTIVITY SUMMARY**

Total contributions, rollovers, recharacterizations, and distributions are reported to the IRS. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2009	2008	Since Inception*
<b>Contributions</b>			
Total contributions	\$ 0.00	\$ 0.00	\$ 0.00

\*Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.

**BENEFICIARIES**

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

<b>Primary Beneficiary</b>	<b>Contingent Beneficiary</b>
KATHERINE SIMPSON	

**PORTFOLIO DETAILS**

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 12/31/09, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at [www.smithbarney.com](http://www.smithbarney.com).

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold. Please Note: unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.



**MICHAEL SIMPSON**

Principal	Description	Current Value	Accrued Interest	Annualized % return	Anticipated Income (annualized)
21,388.21	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	\$ 21,388.21	-	.03 %	\$ 6.41
Total Bank Deposit Program		\$ 21,388.21	\$ 0.00	.03 %	\$ 6.41

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at \$1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below \$1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or TTM debits from your account.

Consulting Group Research (CGR) rating codes (F, L, A, or N) may be shown for certain mutual funds. Please refer to the "Guide to Investment Ratings" at the end of this statement for a description of these rating codes. All research ratings represent the "opinions" of Consulting Group Research and are not representations or guarantees of performance.

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions and current dividend for funds are based upon information provided by an outside vendor and are not verified by us. **"Tax-Based Cost vs. Current Value"** is being provided for information purposes only. **"Cash Distributions (since inception)"** when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions received in cash due to but not limited to the following: investments made prior to 11/1/89, asset transfers, recent activity and certain adjustments made in your account. **"Total Purchases vs. Current Value"** is provided to assist you in comparing your **"Total purchases"** excluding reinvested distributions, with the current value of the fund's shares in your account. **"Fund Value Increase/Decrease"** reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception.

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
	WESTERN ASSET CORE PLUS PORTFOLIO INSTL CLASS	WACPX									
	Rating: CG RESEARCH : FL										
.49	Reinvestments to date			\$ 4.97	\$ 10.142	\$ 10.14	\$ 4.97	\$ 0.00	6.526 %		\$ .32
	Cash distributions (since inception)								45.97		

**MICHAEL SIMPSON**

**TRAK® Personalized Investment Advisory Service** continued

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/Decrease	Yield	Anticipated income (annualized)
	WESTERN ASSET CORE PLUS PORTFOLIO INSTL CLASS	WACPX		\$ 0.00			\$ 4.97	Not available			
	<b>Total Purchases vs. Current Value</b>							Not available			
	<b>Fund Value Increase/Decrease</b>							Not available			
	<b>Total TRAK Investments (Tax based)</b>			\$ 4.97			\$ 4.97	\$ 0.00 ST	6.52		\$ .32
								\$ 0.00 LT			
	<b>Total Fund Value Increase/Decrease</b>								\$ 0.00		
	<b>Total portfolio value</b>			\$ 21,383.18			\$ 21,383.18	\$ 0.00 ST	.03		\$ 6.73
								\$ 0.00 LT			

**TRANSACTION DETAILS** All transactions appearing are based on trade date

Date	Activity	Description	Quantity	Price	Amount
12/01/09	Reinvest	WESTERN ASSET CORE PLUS PORTFOLIO INSTL CLASS WITHDRAWAL, PENDING REINVEST			\$ -.48
12/01/09	Reinvest	WESTERN ASSET CORE PLUS PORTFOLIO INSTL CLASS REINVESTMENT SHS FOR 11/30/09 REINVESTED AMOUNT \$0.48	.047	10.21	0.00
	<b>Total securities bought and other subtractions</b>				
	<b>Total securities sold and other additions</b>				
					\$ -.48
					\$ 0.00

**Withdrawals**

Date	Description	Reference no.	Amount	Reference no.	Amount
12/14/09	INVESTMENT & ADVISORY SERVICES FROM 10/01/09 TO 12/31/09		81.69		

MICHAEL SIMPSON

**Bank Deposit Program<sup>SM</sup> activity**

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from a Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

Opening balance			\$ 21,469.27
Date	Activity	Description	Amount
12/14/09	Withdrawal	BANK DEPOSIT PROGRAM	-81.69

Date	Activity	Description	Amount
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	.63
		Closing balance	\$ 21,388.21

**EARNINGS DETAILS** The tax status of earnings is relative to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends				
Date	Description	Comment	Taxable	Non-taxable
12/01/09	WESTERN ASSET CORE PLUS PORTFOLIO INSTL CLASS	CASH DIV ON 4430 SHS	\$ .48	
			\$ .48	
				\$ 1.00
			\$ .48	\$ .48

**Bank Deposit Program<sup>SM</sup> Interest**

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
12/31/09	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 12/01/09-01/03/10 34 DAYS AVERAGE YIELD .03 %.	\$ .63		\$ .63
			\$ .63		
				\$ 0.00	\$ .63
			\$ .63	\$ 0.00	\$ .63

Please notify your Financial Advisor if you would like to impose any reasonable restrictions on the management of your account, or if there have been any changes in your financial situation, investment objectives, requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested.

We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any other investment advisors managing your account. If you would like a copy, please contact your Financial Advisor.

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**BENEFITS SUMMARY FOR MICHAEL K SIMPSON**

Based on Account information as of 06/30/09

**RETIREMENT BENEFIT**

Formula used to calculate your retirement benefit:

Months of Credited Service	203
x Average Monthly Salary	\$ 1,472.21
x Statutory Multiplier	.01917
x COLA Factor	1.29090
= Annual Benefit	\$ 7,395.72
÷ 12	
= Monthly Service Retirement Benefit	\$ 616.31

This estimate reflects the benefit you have earned thus far. It shows a regular retirement allowance at age 65 with no subsequent service and no future benefit enhancements. See the following sections for additional accrued benefits amounts.

**DISABILITY BENEFIT**

Formula used to calculate your disability benefit:

Months of Credited Service	
x Average Monthly Salary	
x Statutory Multiplier	
= Annual Benefit	
÷ 12	
= Monthly Disability Retirement Benefit	

If you left your employment due to your health, and were disabled as defined by applicable law, you may be eligible for disability retirement. Call 1-800-451-8228 for vital information about this process.

**SEPARATION BENEFIT  
(Your Account Balance)**

Account balance as of 06/30/2008	\$ 35,257.92
Contributions from 07/01/2008 to 06/30/09	
Accrued Interest 07/01/2008 to 06/30/09	\$ 3,362.16
Total Account as of 06/30/09	\$ 38,620.08
Separation Benefit = Total Account	\$ 38,620.08

Of your total account, your contributions are taxed or tax-deferred as follows:

Total Taxed Contributions	\$ 218.31
Total Tax-Deferred Contributions	\$ 10,346.33
Total Interest (Tax-Deferred)	\$ 28,055.44

A Separation Benefit is a lump-sum payment of your account balance plus interest. As an inactive member, your account balance and interest are available to you now. However, because you are vested to a lifetime retirement benefit, we suggest you consult with PERSI before withdrawing your funds as a Separation Benefit is paid in lieu of a retirement benefit and cancels any credited service. Your account balance and the interest you earn do not affect the amount of your retirement benefit. When you retire, you receive the retirement benefit amount calculated in the box above, not the account amount shown in this box. Generally, the total of your lifetime retirement benefit will far exceed your account balance.

**DEATH BENEFIT**

Member Contributions	\$ 10,564.64
Accrued Interest	\$ 28,055.44
Accumulated Contributions	\$ 38,620.08
Death Benefit	\$ 38,620.08

**Beneficiaries:**

Mary K Simpson	Primary	100%
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If you die before retirement, a death benefit will be paid to your beneficiary(ies). If your beneficiary is your surviving spouse, he or she may choose an optional annuity benefit similar to the retirement benefit above.

**Statement type: Preferred**  
**November 28 - December 31, 2009**

**EDWARD D JONES & CO CUSTODIAN**  
**FBO MICHAEL K SIMPSON IRA**  
**5265 SHADOW CREEK**  
**IDAHO FALLS ID 83401-6352**

201 Progress Parkway  
 Maryland Heights, MO 63043-3042  
[www.edwardjones.com](http://www.edwardjones.com)  
 Member SIPC

**Edward Jones**  
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PO BOX 778  
 BLACKFOOT ID 83221

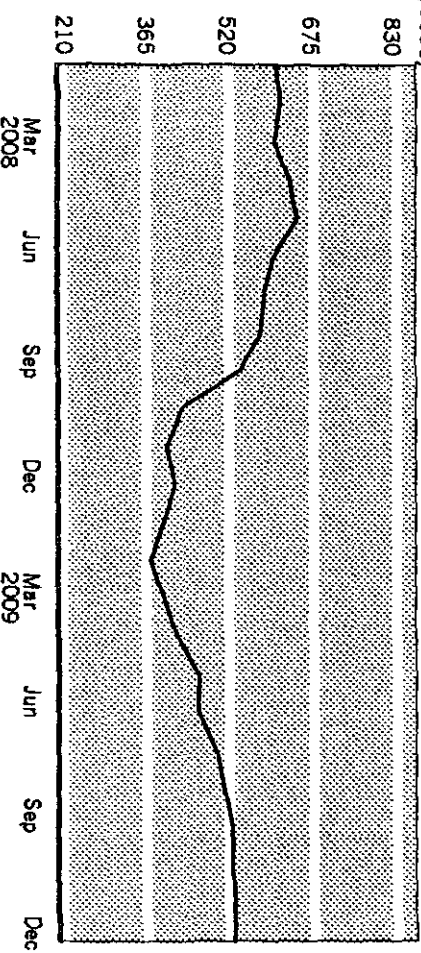
**Value Summary**

Value on Dec 31	\$533,333.92
Value on Nov 28	\$536,609.68
Value one year ago	\$425,163.71

**Summary of Your Assets**

	Value on Dec 31	Value on Nov 28	Dollar change
Held at Edward Jones			
Mutual funds	\$533,333.92	\$536,609.68	-\$3,275.76
Total at Edward Jones	\$533,333.92	\$536,609.68	-\$3,275.76

**Value of Your Account**  
 (\$000s)



This chart shows how the value of your account has changed recently. While it's important to be aware of short-term results, don't lose sight of the long term. Edward Jones believes a long-term investment strategy offers the greatest potential for success.

(Individual retirement account)



**Statement type: Preferred**  
**November 28 - December 31, 2009**

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**Your Retirement Account Summary**

	This period	Cumulative
2009 Contributions	\$0.00	\$0.00
2008 Contributions	\$0.00	\$0.00
Fee paid by check	\$0.00	\$40.00

**Information Regarding 2010 Required Minimum Distributions (RMDs)**  
 Retirement accountholders age 70-1/2 and older were not required to take a distribution in 2009. In 2010, those age 70-1/2 and older may be required to take an RMD from their accounts by Dec. 31, 2010. However, if you turn 70-1/2 in 2010, you may defer your 2010 RMD until April 1, 2011. If an RMD is due, Edward Jones will report that information to the IRS on Form 5498. Contact your Edward Jones financial advisor for your RMD calculation.

**Summary of Your Income**

	This Period	Year-to-date
Income from securities		
Money market dividends	—	\$0.78
Dividends	878.14	10,278.85
Capital gain distributions	163.86	163.86
Total	\$1,042.00	\$10,443.49

**Your Estimated Interest and Dividends**

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with \* is being reinvested. \*\* This payment cannot be estimated because it is a long or short payment. The 12 month total estimated for this security does not contain the long or short payment.

Mutual Funds	Quantity	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
AIM SHORT TERM BOND FUND CL A*	4,062	75	75	75	75	75	75	75	75	75	75	75	75	900
AIM US GOVERNMENT FUND CL A*	3,951	119	119	119	119	119	119	119	119	119	119	119	119	1,428
AMERICAN FUNDS SH TERM BD FD A*	5,627	67	67	67	67	67	67	67	67	67	67	67	67	804
AMEFN US GOVT SECS FUND CL A*	4,018	128	128	128	128	128	128	128	128	128	128	128	128	1,536



**Statement type: Preferred**  
**November 28 - December 31, 2009**

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Mutual Funds	Quantity	2010												Total
		JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	
BOND FUND OF AMERICA CL A*	4,175	175	175	175	175	175	175	175	175	175	175	175	175	2,100
INTER BOND FD OF AMERICA CL A*	4,299	120	120	120	120	120	120	120	120	120	120	120	120	1,440
<b>Total</b>		<b>684</b>	<b>684</b>	<b>684</b>	<b>684</b>	<b>684</b>	<b>684</b>	<b>684</b>	<b>684</b>	<b>684</b>	<b>684</b>	<b>684</b>	<b>684</b>	<b>8,208</b>

**Your Assets at Edward Jones**

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AIM CASH RESERVE FUND	Cash/Equivalents	1.00	74,905.000	\$74,905.00	—	—
Quote Symbol: AIMXX						
AIM SHORT TERM BOND FUND CL A	Income	8.63	4,062.044	35,055.44	35,000.00	—
Quote Symbol: STBAX						
AIM US GOVERNMENT FUND CL A	Income	8.78	3,951.148	34,691.08	35,000.00	—
Quote Symbol: AGOVX						
AMERICAN FUNDS MONEY MARKET FUND CL A	Cash/Equivalents	1.00	170,404.570	170,404.57	—	—
Quote Symbol: AFAXX						
AMERICAN FUNDS SHORT TERM BOND FUND OF AMERICA CL A	Income	10.01	5,627.279	56,329.06	56,500.00	—
Quote Symbol: ASBAX						
AMERICAN US GOVERNMENT SECURITIES FUND CL A	Income	13.98	4,018.705	56,181.50	56,500.00	—
Quote Symbol: AMUSX						



**Statement type: Preferred**  
**November 28 - December 31, 2009**

Mutual funds, continued	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
BOND FUND OF AMERICA CL A Quote Symbol: ABNDX	Income	11.80	4,175.180	\$49,267.12	\$26,572.52	—
INTERMEDIATE BOND FUND OF AMERICA CL A Quote Symbol: AIBAX	Income	13.14	4,299.859	56,500.15	56,500.00	—
Total mutual funds				\$533,333.92	—	—
Total estimated asset value				\$533,333.92		

**Summary of Your Investment Activity**

Total cash and money market funds on Nov 28	\$0.00
<b>Additions</b>	
Income	\$1,042.00
Total additions	\$1,042.00
<b>Subtractions</b>	
Withdrawals to purchase securities	-\$1,042.00
Total subtractions	-\$1,042.00
Total cash and money market funds on Dec 31	\$0.00





**Statement type: Preferred**  
**November 28 - December 31, 2009**

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**Detail of Your Investment Activity**

**Additions**

Income	Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Dividends	11/30	AMERN US GOVT SECS FUND CL A AT DAILY ACCRUAL RATE	3990.113			\$93.17	Reinvested
		11/30	INTER BOND FD OF AMERICA CL A AT DAILY ACCRUAL RATE	4283.548			87.46	Reinvested
		12/01	AIM US GOVERNMENT FUND CL A AT DAILY ACCRUAL RATE	3941.441			87.56	Reinvested
		12/01	AIM SHORT TERM BOND FUND CL A AT DAILY ACCRUAL RATE	4055.62			56.63	Reinvested
		12/01	AIM CASH RESERVE FUND AT DAILY ACCRUAL RATE	74901.17			3.83	Reinvested
		12/01	AMERN HIGH INCOME TRUST CL A FOR PAYMENT AS OF 11/30/09				48.15	Reinvested Mtd
		12/01	AMERICAN FUNDS SH TERM BD FD A AT DAILY ACCRUAL RATE	5621.891			54.42	Reinvested
		12/28	BOND FUND OF AMERICA CL A AT DAILY ACCRUAL RATE	4160.366			174.81	Reinvested
		12/29	INTER BOND FD OF AMERICA CL A AT DAILY ACCRUAL RATE	4290.114			128.15	Reinvested
		12/29	AMERN US GOVT SECS FUND CL A AT DAILY ACCRUAL RATE	3996.615			144.96	Reinvested
		12/29	AMERN US GOVT SECS FUND CL A	3996.615	0.041		\$163.86	Reinvested
		<b>Total Income</b>						<b>\$1,042.00</b>

Capital gains distributions  
 Short-term



**Statement type: Preferred**  
**November 28 - December 31, 2009**

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**Subtractions**

Withdrawals to purchase securities	Date	Quantity	Price per share	Trade date	Amount	Source of Funds
11/30 REINVESTMENT INTO AMERN US GOVT SECS FUND CL A	11/30	6.502	14.33		-\$93.17	Income
11/30 REINVESTMENT INTO INTER BOND FD OF AMERICA CL A	11/30	6.566	13.32		-87.46	Income
12/01 REINVESTMENT INTO AMERICAN FDS MMKT FUND CL A	12/01	48.15	1.00		-48.15	Income
12/01 REINVESTMENT INTO AIM CASH RESERVE FUND	12/01	3.83	1.00		-3.83	Income
12/01 REINVESTMENT INTO AIM US GOVERNMENT FUND CL A	12/01	9.707	9.02		-87.56	Income
12/01 REINVESTMENT INTO AMERICAN FUNDS SH TERM BD FD A	12/01	5.388	10.10		-54.42	Income
12/01 REINVESTMENT INTO AIM SHORT TERM BOND FUND CL A	12/01	6.424	8.66		-55.63	Income
12/28 REINVESTMENT INTO BOND FUND OF AMERICA CL A	12/28	14.814	11.80		-174.81	Income
12/29 REINVESTMENT INTO AMERN US GOVT SECS FUND CL A	12/29	10.369	13.98		-144.96	Income
12/29 REINVESTMENT INTO INTER BOND FD OF AMERICA CL A	12/29	9.745	13.15		-128.15	Income
12/29 REINVESTMENT INTO AMERN US GOVT SECS FUND CL A	12/29	11.721	13.98		-163.86	Income
<b>Total withdrawals to purchase securities</b>					<b>-\$1,042.00</b>	



**2009 CONSOLIDATED 1099 STATEMENT**

(Includes 1099-INT, 1099-B.)

**Figures Are Final**

Printed on January 16, 2010

Page 1 of 4

Recipient's Name  
and Address:MICHAEL K SIMPSON &  
KATHERINE SIMPSON  
5265 SHADOW CREEK  
IDAHO FALLS ID 83401-6352

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

**1099-INT Interest Income - 2009 Statement Summary**

FORM NO. 1045-0715

Box 1	Interest Income	0.00
Box 2	Early Withdrawal Penalty	0.00
Box 3	Interest on U.S. Savings Bonds and Treasury Obligations	0.00
Box 4	Federal Income Tax Withheld	0.00
Box 8	Tax-Exempt Interest	172.50
Box 9	Specified Private Activity Bond Interest	0.00

**1099-B Proceeds From Broker And Barter Exchange Transactions**

FORM NO. 1045-0715

Activity Type	Quantity (Box 5)/ CUSIP No. (Box 1b)	Date Of Sale or Exchange (Box 1a)	Description (Box 7)	Stocks, Bonds, etc. Gross Proceeds Less Commissions (Box 2)	Federal Income Tax Withheld (Box 4)
Sells	5,000 451295TW9	06/04	IDAHO HEALTH FACS AUTH REV	5,120.05	0.00
<b>Total</b>				<b>5,120.05</b>	<b>0.00</b>

**COST BASIS SUMMARY**

Figures Are Final

Printed on January 16, 2010

Page 2 of 4

Recipient's Name  
and Address:MICHAEL K SIMPSON &  
KATHERINE SIMPSON  
5265 SHADOW CREEK  
IDAHO FALLS ID 83401-6352

**THIS IS NOT A 1099 FORM AND IS NOT REPORTED TO THE IRS.** It is a summary of the cost basis information for most of the transactions reported on your Form 1099-B. Please note that in the table below, the LT indicates a long-term gain/(loss), and a ST indicates a short-term gain/(loss), and a UN indicates the holding period could not be determined. **Cost Basis information may be from outside sources or provided by clients and has not been verified for accuracy. It should not be relied upon for tax preparation purposes without independent verification by your tax professional.** While we have attempted to adjust cost basis for items such as wash sales, return of capital or corporate actions like mergers and spin-offs, we cannot guarantee completeness in all cases. The Average Cost method is used to calculate the cost basis for open-end mutual funds. The FIFO (first-in, first-out) method is used for all other securities. If any other method of calculating cost basis has been used for your tax preparation, do not rely on the following figures.

Quantity/ CUSIP No.	Description/ Adjustments	Date Acquired	Date Sold	Proceeds (Sales Price)	Cost Basis	Gain/Loss(-)
5,000 451295TW9	IDAHO HEALTH FACS AUTH REV	11/25/2008	06/04	5120.05	5,000.00	120.05 ST

Total ST Proceeds	5,120.05
Total ST Cost Basis	5,000.00
Total ST Gain	120.05
Total ST Loss	0.00
Net ST G/L	120.05
Net Gain/Loss(-)	120.05

**SUMMARY OF INVESTMENT INCOME**

Figures Are Final

Printed on January 16, 2010

Page 3 of 4

Recipient's Name  
and Address:MICHAEL K SIMPSON &  
KATHERINE SIMPSON  
5265 SHADOW CREEK  
IDAHO FALLS ID 83401-6352

**THIS IS NOT A 1099 FORM** ..... It is a summary of the income you received from your Edward Jones account in 2009. For a complete description of each activity, please refer to your account statement for that period. If you have any questions, please contact your Edward Jones Financial Advisor.

2009 Date	Description	CUSIP No.	Amount in 2009	
<b>Interest Income - Tax-Exempt</b>				
05/01	IDAHO HEALTH FACS AUTH REV	451295TW9	137.81	*
06/08	IDAHO HEALTH FACS AUTH REV	451295TW9	34.69	*
<b>Total Tax-Free Muni Not Subj AMT (Box 8 on 1099-INT) :</b>			<b>172.50</b>	
<b>* Total Income from Municipal Obligations Issued in your State and Owned Directly</b>			<b>172.50</b>	

**2009 ADDITIONAL TAX INFORMATION**

Figures Are Final

Printed on January 16, 2010

Page 4 of 4

Recipient's Name  
and Address:MICHAEL K SIMPSON &  
KATHERINE SIMPSON  
5265 SHADOW CREEK  
IDAHO FALLS ID 83401-6352

This supplemental information for state tax exclusions reports the amount of income derived from federal, municipal and US territory obligations. A portion of this amount may be excludable from state taxable income based upon the state's law. The information below includes the state of issuance of the municipal bond or the state from which income is derived. This state is presumed to be your state of residency. If the state indicated is not your state of residency, please notify your financial advisor, whose name and contact information is provided below. Please contact your tax advisor to determine how much of the income may be excludable from your taxable state income.

**Potential State Tax Exclusions from Municipal and US Territory Obligations**

Total Income from Municipal Obligations Issued in your State and Owned Directly (Refer to Summary of Investment Income section of tax package for itemized payments.)

**\$172.50**

This ADDITIONAL TAX INFORMATION has been supplied to Edward Jones by outside sources. While we cannot assure the accuracy of this information, we believe it to be correct. This information is intended as a general guide and does not constitute tax advice. You should consult your tax professional or state tax authority to determine how this information may apply to your specific situation.

\*\*\*\*\* Please refer to the enclosed tax reporting brochure for further explanation of how this supplemental information affects your tax return. \*\*\*\*\*

Thank you for doing business with Edward Jones. This is the end of your 2009 tax reporting information. If you have any questions concerning any matter, especially errors or omissions, contact Neil & Nathan Anderson at 208-785-3370 immediately or the Edward Jones Tax Hotline at 1-800-282-0829.

KATHERINE SIMPSON  
5265 SHADOWCREEK  
IDAHO FALLS ID 83401

ACCOUNT NUMBER

FINANCIAL CONSULTANT

1906 JENNIE LEE DRIVE  
IDAHO FALLS, ID 83404

TAX ID NUMBER

ON FILE

OFFICE# 05A PC NUMBER 1140  
DC81D4

## PORTFOLIO SUMMARY

ASSET	9/30/09 VALUE	12/31/09 VALUE	%
CASH			
MONEY FUNDS			
FIXED INCOME DEPOSIT PROGRAM**	155.24	123.24	2
ROUTINES/OPTIONS	21,660.00	21,652.00	98
CORPORATE BONDS			
MUNICIPAL BONDS			
US GOVERNMENT BONDS			
RENTAL PROPERTY			
ZERO COUPON MUNI BONDS			
OTHER			
ASSETS HELD AT END	21,915.24	21,775.24	100
LESS: LOANS OUTSTANDING			
ASSETS NOT HELD AT END			
TOTAL ASSET VALUE	21,915.24	22,075.24	

## MESSAGE TO CLIENTS

\* Statement of Financial Condition Available for Review \*  
Obtain a free copy of D.A. Davidson & Co.'s September 30, 2009,  
Statement of Financial Condition by visiting our web site at  
[www.davidsoncompanies.com](http://www.davidsoncompanies.com), by calling 1-800-332-5915,  
or by contacting your Financial Consultant.

D.A. Davidson & Co. is subject to the Uniform Net Capital Rule(15c3-1)  
pursuant to the Securities Exchange Act of 1934. Under the alternate  
method, the Company is required to maintain a net capital amount in  
excess of the greater of \$250,000 or 2% of aggregate debit balances  
as defined in the formula for Reserve Requirements under SEC Rule  
15c3-3. At September 30, 2009, the Company's net capital of  
\$61,553,327 was 83.8% of aggregate debit items and net capital  
exceeded the required capital of \$1,468,380 by \$60,084,947.  
\*The IRS has extended the 2009 mailing deadline for 1099s to 2/16/10.\*

\*\* PLEASE SEE IMPORTANT DISCLOSURES UNDER THE SECTION TITLED  
"BANK INSURED DEPOSIT PROGRAM" IN THIS STATEMENT.

## ACTIVITY SUMMARY

	THIS MONTH 21,915.24	YEAR-TO-DATE 17,818.76
TOTAL BEGINNING ACCOUNT VALUE		
MONEY ACTIVITY THIS PERIOD:		
MONEY RECEIVED FROM:		
INVESTMENTS SOLD		
INCOME		
DEPOSITS	168.00	672.48
OTHER		
TOTAL MONEY RECEIVED	168.00	672.48
MONEY USED FOR:		
INVESTMENTS PURCHASED		
WITHDRAWALS		
DISADVANTAGE CHECKS		(1,000.00)
DISADVANTAGE VISA		
INTEREST CHARGES		
OTHER		
TOTAL MONEY USED		(1,000.00)
NET CHANGE IN MONEY BALANCES	168.00	(327.52)
INVESTMENT ACTIVITY THIS PERIOD:		
INVESTMENTS PURCHASED		
INVESTMENTS SOLD		
NEW PURCHASES AND SALES		
CHANGE IN INVESTMENT VALUES	(8.00)	4,584.00
TOTAL ACCOUNT VALUE ON 12/31/09	22,075.24	22,075.24

## PERIODIC SUMMARY

	THIS MONTH 168.00	YEAR-TO-DATE 672.48
TAXABLE DIVIDENDS		
TAXABLE INTEREST		
NON-TAXABLE DIVIDENDS		
NON-TAXABLE INTEREST		
NON-TAXABLE DIVIDEND		
NON-TAXABLE ANY FUND DIV		
SHORT TERM CAP GAIN		
LONG TERM CAP GAIN		
EXEMPTED PARTNERSHIP DISS		
TOTAL	168.00	672.48
TAXABLE INTEREST PURCHASED		
NON-TAX INTEREST PURCHASED		
TAXABLE INTEREST SOLD		
NON-TAX INTEREST SOLD		
TAX WITHHELD		

KATHERINE SIMPSON

YOUR TOTAL BORROWING POWER IS \$10,800

BORROWING POWER IS ESTIMATED AS FIFTY PERCENT OF MARGINABLE SECURITIES IN YOUR INVESTMENT ACCOUNT. PLEASE CALL YOUR FINANCIAL CONSULTANT FOR APPLICABLE INTEREST RATES AND LOAN ELIGIBILITY.

FOR THE PERIOD ENDING 12/31/09, THE INTEREST RATE ON YOUR BANK DEPOSIT PROGRAM IS 0.01%, WHICH EQUATES TO AN APY OF 0.01%. APY IS A PERCENTAGE RATE THAT REFLECTS THE TOTAL AMOUNT OF INTEREST PAID ON THE ACCOUNT, BASED ON THE INTEREST RATE AND THE FREQUENCY OF COMPOUNDING FOR A 365-DAY PERIOD.

\*\*\* 2009 YEAR-END TAX INFORMATION \*\*\*

BE ON THE LOOKOUT FOR YOUR 2009 DAVIDSON 1099 TAX FORM CONTAINING INFORMATION NEEDED TO PREPARE YOUR 2009 TAX RETURNS. WE WILL HAVE OUR 1099 FORMS PREPARED AND MAILED TO YOU BY FEBRUARY 16, 2010. IF YOU OWNED AN INTEREST IN A LIMITED PARTNERSHIP ANYTIME DURING 2009, YOU WILL RECEIVE A TAX REPORTING FORM K-1 DIRECTLY FROM THE PARTNERSHIP. IF YOU HAVE QUESTIONS REGARDING ANY INFORMATION REPORTED TO YOU ON THE DAVIDSON 1099 OR A PARTNERSHIP K-1, PLEASE CONTACT YOUR FINANCIAL CONSULTANT.

PLEASE REFER TO THE SECTION "PORTFOLIO SECURITIES HELD AT D.A. DAVIDSON & CO." ON THE BACK OF THIS STATEMENT FOR INFORMATION CONCERNING MARKET PRICES INCLUDED ON THIS STATEMENT. "ESTIMATED ANNUAL INCOME" (EAI) INFORMATION PRESENTED BELOW, WHEN AVAILABLE, REFLECTS THE ESTIMATED AMOUNT YOU WOULD EARN ON A SECURITY IF YOUR CURRENT POSITION AND ITS RELATED INCOME REMAINED CONSTANT FOR A YEAR. "CURRENT EST. YIELD" (EAY), WHEN AVAILABLE, REFLECTS THE CURRENT ESTIMATED ANNUAL INCOME DIVIDED BY THE CURRENT MARKET VALUE OF THE SECURITY AS OF THE STATEMENT CLOSING DATE. EAI AND EAY CONTAIN CERTAIN TYPES OF SECURITIES THAT COULD INCLUDE A RETURN OF PRINCIPAL CAPITAL GAINS, IN WHICH CASE THE EAI OR EAY WOULD BE OVERSTATED. IN ADDITION, BONDS CALLS, MATURITIES, RECLASSIFICATION OF DIVIDENDS, CAPITAL GAINS AND RETURN OF CAPITAL MAY OVERSTATE OR UNDERSTATE EAI OR EAY. EAI AND EAY ARE ESTIMATES AND THE ACTUAL INCOME AND YIELD MIGHT BE LOWER OR HIGHER THAN THE ESTIMATED AMOUNTS. EAY REFLECTS ONLY THE INCOME GENERATED BY AN INVESTMENT AND IT DOES NOT REFLECT CHANGES IN THE SECURITIES' PRICE WHICH MAY FLUCTUATE. THE INFORMATION USED TO DERIVE THESE ESTIMATES IS OBTAINED FROM THIRD PARTIES WE CONSIDER RELIABLE. PAST PERFORMANCE IS NO GUARANTEE OF FUTURE RESULTS.

QUANTITY	SECURITY DESCRIPTION/(SYMBOL)	DATE ACQUIRED	UNIT COST BASIS	TOTAL COST BASIS	MARKET PRICE	MARKET VALUE	UNREALIZED GAIN OR LOSS		ESTIMATED CURRENT ANNUAL EST YIELD INCOME	
										%
400	BOEING CO(BA)	6/18/08	N/A	N/A	54.13	21,652.00			672.00	3.10%
									672.00	3.10%

COST INFORMATION IS PRESENTED AS A GENERAL GUIDE TO PORTFOLIO PERFORMANCE. THE VALUES PRESENTED SHOULD NOT BE RELIED UPON FOR TAX PURPOSES. PLEASE COMPARE THESE VALUES TO YOUR PURCHASE RECORDS AND NOTIFY YOUR FINANCIAL CONSULTANT IF CORRECTIONS ARE REQUIRED.



DATE	ACTIVITY	DESCRIPTION	AMOUNT	DAILY TOTAL
12/04/09	CASH DIV	400 BORING CO(BA)	168.00	168.00

168.00

DATE	ACTIVITY	DESCRIPTION	SOURCE/USE	AMOUNT	BALANCE
		BANK INSURED DEPOSIT PROGRAM			255.24
12/07/09	DEPOSIT	PURCHASE FR(1) CASH ACCT	CASH ACCOUNT	168.00	
				168.00	
		BANK INSURED DEPOSIT PROGRAM			423.24
		CLOSING BALANCE			423.24

BANK AND LOCATION

Deutsche Bank, New York, NY

CURRENT VALUE

423.24

TOTAL BANK INSURED DEPOSITS

423.24

\*\* AS OF THE END OF THE PERIOD COVERED BY THIS STATEMENT YOU HAD FUNDS IN THE AMOUNTS AND AT THE BANK(S) SHOWN ABOVE RELATING TO THE BANK INSURED DEPOSIT PROGRAM (BIDP). FOR OTHER INFORMATION RELATING TO THE BIDP SEE THE "OTHER INFORMATION" SECTION OF THIS STATEMENT FOR THE INTEREST RATE EARNED DURING THE PERIOD AND THE "INCOME ACTIVITY" SECTION OF THIS STATEMENT FOR THE TOTAL INTEREST EARNED AND CREDITED DURING THE PERIOD. FUNDS HELD IN THE BANKS SHOWN ABOVE ARE OBLIGATIONS OF THOSE BANKS AND NOT OF D.A.DAVIDSON & CO. FUNDS HELD IN THE BIDP ARE INSURED UP TO APPLICABLE LIMITS BY THE FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC). THE SECURITIES INDUSTRY PROTECTION CORPORATION (SIPC) HAS TAKEN THE POSITION THAT IT WILL NOT COVER CUSTOMER BALANCES HELD IN PROGRAMS SUCH AS THE BIDP. MORE INFORMATION ABOUT D.A. DAVIDSON'S BIDP MAY BE OBTAINED AT WWW.DAVIDSON.COM/BIDP. QUESTIONS ABOUT THE BIDP OR YOUR BALANCES AND ACCOUNT ACTIVITY IN THE BIDP SHOULD BE DIRECTED TO YOUR FINANCIAL CONSULTANT.

\*\*\* END OF STATEMENT \*\*\*

RESEARCH RATINGS FROM STANDARD & POOR'S AND MOODY'S FINANCIAL INFORMATION SERVICES MAY BE DISPLAYED FOR CERTAIN SECURITIES LISTED ON THIS STATEMENT. RATINGS REPRESENT THE OPINIONS OF THE RESPECTIVE RESEARCH PROVIDERS, ARE SUBJECT TO CHANGE, AND SHOULD NOT BE CONSTRUED AS REPRESENTATIONS OR GUARANTEES OF PERFORMANCE. WE OBTAINED THIS INFORMATION FROM THIRD PARTIES AND THEREFORE WE CANNOT GUARANTEE ITS ACCURACY. PLEASE CONSULT YOUR FINANCIAL CONSULTANT FOR AN INTERPRETATION OF THESE RATINGS AS THEY RELATE TO YOUR PORTFOLIO.



## STATEMENT

Member Number	From	Through	Page of
[REDACTED]	12-05-09	01-05-10	1 2

AUTO\*\*SCH 5-DIGIT 83401 587 1 AV 0.335  
KATHERINE SIMPSON BR 3  
3200 SHADON CREEK DR  
DARRO FALLS ID 83401-6352



Notice: See Reverse Side for Mail Transaction - Instructions And Other Important Information.

Effective Mo. Day Yr.	Transaction Description	Amount	Balance
	<b>SUFFIX: 00 PRIMARY SAVINGS ACCT</b>		
	<b>BEGINNING BALANCE</b>		<b>10,474.44</b>
12-15-09	DIRECT DEPOSIT FIRST AMERICAN	1,387.83	
12-16-09	TFR TO SHARES [REDACTED]	-5,136.08	
12-18-09	ATM-TR [REDACTED] Transfer Person064015921	-4,804.73	
	TFR TO LOAN [REDACTED]		
12-18-09	ATM-TR [REDACTED] Transfer Person064235531	-1,563.92	
	TFR TO SHARES [REDACTED]		
01-01-10	DIVIDEND	5.76	
	<b>ENDING BALANCE</b>		<b>363.30</b>
	YTD DIVIDENDS: 5.76		
	FOR: 2009 YTD DIVIDENDS: 69.03		
	ANNUAL PERCENTAGE YIELD EARNED: 0.3233%		

12-18-09	ATM-TR	Transfer Person064235531	-1,563.92	
	TFR TO SHARES			
01-01-10	DIVIDEND		5.76	
	<b>ENDING BALANCE</b>			<b>363.30</b>

YTD DIVIDENDS: 5.76

FOR: 2009 YTD DIVIDENDS: 69.03  
ANNUAL PERCENTAGE YIELD EARNED: 0.3233%

<b>BEGINNING BALANCE</b>			<b>5,348.08</b>
DEPOSITS		25,237.03	
CHECKS		11,500.00	
OTHER DEDUCTIONS		14,005.00	
MAINT/SERVICE CHARGE		.00	
<b>ENDING BALANCE</b>			<b>5,080.11</b>
TOTAL NUMBER OF CHECKS CLEARED	1		

DEPOSITS

12-11-09	DIRECT DEPOSIT		1,315.84
12-16-09	TFR FROM LOAN	-DI	4,800.00
12-16-09	TFR FROM SHARES		5,136.08
12-18-09	DIRECT DEPOSIT		1,315.84
12-18-09	ATM-TR	-DI	1,563.92
	Transfer Personal Branch		
12-24-09	DIRECT DEPOSIT		1,387.33
12-31-09	DIRECT DEPOSIT	-DI	1,483.41
12-31-09	DIRECT DEPOSIT	-DI	.10
01-01-10	DIRECT DEPOSIT	-PR	8,234.51
<b>TOTAL:</b>			<b>25,237.03</b>