

Periodic Transaction Report

17 NOV 13 PM 1:57

U.S. HOUSE OF REPRESENTATIVES



NAME:
DAVID PHILLIP ROE

State: **TN** District: **01**

Officer or Employee

File an original and 1 copy.

YES

NO

[illegible]

| FULL ASSET NAME | | TYPE OF TRANS-ACTION | | | DATE OF TRANS-ACTION | DATE NOTIFIED OF TRANS-ACTION | AMOUNT OF TRANSACTION | | | | | | | | | | |
|---------------------------------------|--|-------------------------------------|-------------------------------------|--------------------------|----------------------|-------------------------------|-------------------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|---------------------------|--------------------------|--|
| Provide full name, not ticker symbol: | | PURCHASE | SALE | EXCHANGE | (MO/DAY/YR) | (MO/DAY/YR) | A | B | C | D | E | F | G | H | I | J | |
| | | | | | | | \$1,000-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | |
| JT | | | | | | | | | | | | | | | | | |
| | FNMA PMA3058 04%2047 AMORTIZED FCR ⁺ | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 10/26/17 | 11/10/17 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| | VERIZON COMMUNICATIONS GLB 05.150% ⁺ | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 10/16/17 | 11/10/17 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| | FEDERAL HOME LN MTG CORP NOTES 03. ⁺ | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 10/13/17 | 11/10/17 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| | COMCAST CORP COMPANY GUARNT 06.4C ⁺ | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | 10/5/17 | 11/10/17 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| | U.S. TREASURY BOND 4.375% FEB 15 2038 ⁺ | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 10/5/17 | 11/10/17 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| | TELECOM ITALIA SPA NEW REPSTG 10 OR ⁺ | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 11/2/17 | 11/10/17 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| | NOKIA CORP SPONS ADR FINLAND ADR ⁺ | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 10/31/17 | 11/10/17 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

| FOOTNOTE NUMBER | FILER NOTES (optional) |
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| FULL ASSET NAME | | TYPE OF TRANS-ACTION | | | DATE OF TRANS-ACTION | DATE NOTIFIED OF TRANS-ACTION | AMOUNT OF TRANSACTION | | | | | | | | | | |
|---------------------------------------|---|-------------------------------------|--------------------------|--------------------------|----------------------|-------------------------------|-------------------------------------|-------------------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|---------------------------|--------------------------|--|
| Provide full name, not ticker symbol. | | PURCHASE | SALE | EXCHANGE | (MO/DAY/YR) | (MO/DAY/YR) | A | B | C | D | E | F | G | H | I | J | |
| | | | | | | | \$1,000-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | |
| JT | | | | | | | | | | | | | | | | | |
| | CITIZENS FINANCIAL GROUP INC Trade#: ⁺ 2 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 10/26/17 | 11/10/17 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| | SANOI SPON ADR UNSOLICITED Trade#: ⁺ 3 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 10/26/17 | 11/10/17 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| | HSBC HOLDINGS PLC NEW GB SPON ADR ⁺ | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 10/20/17 | 11/10/17 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| | HSBC HOLDINGS PLC NEW GB SPON ADR ⁺ | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 10/26/17 | 11/10/17 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| | PUBLICIS GROUPE S.A. NEW SPON ADR ⁺ 1 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 10/24/17 | 11/10/17 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| | VERIZON COMMUNICATIONS INC Trade#: ⁺ 4 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 10/24/17 | 11/10/17 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| | WPP PLC NEW SPON ADR UNSOLICITED ⁺ | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 10/24/17 | 11/10/17 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

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| Provide full name, not ticker symbol. | | PURCHASE | SALE | EXCHANGE | (MO/DAY/YR) | (MO/DAY/YR) | A | B | C | D | E | F | G | H | I | J | |
| | | | | | | | \$1,000-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | |
| JT | | | | | | | | | | | | | | | | | |
| CEMEX S.A.B. DE C.V. SPON ADR Trade#: | | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 10/18/17 | 11/10/17 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| VODAFONE GROUP PLC SPON ADR Trade#: | | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 10/16/17 | 11/10/17 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| SWATCH GROUP AG ADR UNSOLICITED | | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | 10/30/17 | 11/10/17 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| WELLS FARGO & CO NEW UNSOLICITED | | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | 10/26/17 | 11/10/17 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| PROCTER & GAMBLE CO UNSOLICITED | | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | 10/26/17 | 11/10/17 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| AT&T INC UNSOLICITED Trade#: | 34205 Bio | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | 10/24/17 | 11/10/17 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| ASTRAZENECA PLC SPON ADR UNSOLICIT | | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | 10/23/17 | 11/10/17 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

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| | | | | A | B | C | D | E | F | G | H | I | J | |
| JT | PURCHASE SALE EXCHANGE | (MOD/YYR) | (MOD/YYR) | \$1,000-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | |
| AMERICA MOVIL S.A.B. DE C.V. SER L SPON | <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | 10/17/17 | 11/10/17 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| WILLIS TOWERS WATSON PUB LTD CO | <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | 10/12/17 | 11/10/17 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| TAKEDA PHARMACEUTICAL CO LTD SPON | <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> | 10/10/17 | 11/10/17 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
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