

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

Form A
For use by Members, officers, and employees

Name: **Bradley Scott Schneider**

Daytime Telephone:

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>IL</u>	District: <u>10</u>	<input type="checkbox"/> Officer or Employee	Employing Office:
Report Type	<input checked="" type="checkbox"/> Annual (May 15, 2013)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

IPO—Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2013 AUG 13 PM 4:55
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

For payments to charity in lieu of honoraria, use Schedule II.

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

[illegible]

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 1 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction			
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	None	I	II	III	IV	V	VI	VII	VIII	IX	X		XI	XII	
		\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	\$1 - \$200																							\$201 - \$1,000
JT	1 - THE PRIVATE BANK, WINNETKA, IL - CHECKING						X											X						X													
JT	2 - THE PRIVATE BANK, WINNETKA, IL - SAVINGS			X															X					X													
JT	3 - SCHWAB, NORTHBROOK, IL - CHECKING		X																X					X													
JT	4 - COLE TAYLOR BANK, WHEELING, IL - CHECKING				X														X					X													
JT	5 - MESIROW BROKERAGE ACCOUNT VANGUARD SHORT TERM INVESTMENT GRADE INVESTOR CLASS									X									X																	P	
JT	VANGUARD LIMITED TERM TAX EXEMPT ADMIRAL CLASS				X														X																		
JT	CASH				X														X					X													
JT	6 - MESIROW BROKERAGE ACCOUNT (Manager: C.W. Henderson) FRANKLIN IN CMNTY MULTI SCHOOL BUILDING CRP FIRST MORTGAGE AGM B/E										X								X																		
JT	GRANT COUNTY WA SCHOOL DISTRICT 161 MOSES LAKE NPG B/E							X											X																		
JT	HOUSTON TX RFDG PUB IMPT SER A NPG B/E					X													X																		
JT	JOHNSON COUNTY KS UNI SCH DISTRICT 229						X												X																	S	
JT	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV SER A B/E				X														X																	P	
JT	ORLANDO FL UTILS COMMUN WTR &ELEC REV RFDG SER A B/E PTC			X															X																	S (PARTIAL)	
JT	TROY MI CITY SCHOOL DISTRICT RFDG BUILDING & SITE B/E							X											X																		
JT	SAN FRANCISCO CA BAY AREA RAPID TRAN DIST SALES TAX REV SER A				X														X																	P	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 2 OF 50

BLOCK A		BLOCK B													BLOCK C							BLOCK D												BLOCK E																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																							
Asset and/or Income Source		Value of Asset													Type of Income							Amount of Income (estimated at security level)												Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																							
		A	B	C	D	E	F	G	H	I	J	K	L	M																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																											

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 3 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction		
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII	
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,0001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000	
SP	ISHARES MSCI EAFE GROWTH INDEX ETF	X																	X																	S
SP	ISHARES MSCI EMERGING MARKETS INDEX ETF	X																	X																	S
SP	ISHARES RUSSELL 1000 VALUE INDEX ETF						X									X			X																	S (PARTIAL)
SP	IVY ASSET STRATEGY CL A						X									X																				P
SP	LORD ABBETT VALUE OPPORTUNITIES CL I						X												X																	S (PARTIAL)
SP	NEW CENTURY ALTERNATIVE STRATEGIES							X								X																				P
SP	NORTHERN HIGH YIELD FIXED INCOME	X														X			X																	S
SP	NORTHERN HIGH YIELD MUNICIPAL	X														X			X																	S
SP	NORTHERN MULTI MANAGER LARGE CAP	X														X			X																	S
SP	NORTHERN MULTI MANAGER MID CAP	X														X			X																	S
SP	NORTHERN MULTI MANAGER SMALL CAP	X														X			X																	S
SP	NORTHERN STOCK INDEX	X														X			X																	S
SP	OPPENHEIMER DEVELOPING MARKETS CLASS Y						X									X																				P
SP	PIMCO ALL ASSET INSTL CL						X									X																				P,S (PARTIAL)
SP	PIMCO COMMODITY REAL RETURN STRATEGIC INST	X														X																				S
SP	PIMCO TOTAL RETURN INSTL CL							X								X			X																	P,S (PARTIAL)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 4 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																							
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 5 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction			
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII		
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*																							
	MUNSTER IN SCHOOL BUILDING CORP RFDG FIRST MORTGAGE B/E		X															X	X																		S
	NORFOLK VA WTR RV MBIA B/E OLD		X																	X																S	
	NORTH EAST INDEPENDENT SCHOOL DISTRICT TAX B/E				X																															P	
	ROYSE CITY TX INDP SCH DIST RFDG SER A B/E				X													X																		P	
	SAN ANTONIO TAX ELECTRIC & GAS REV B/E				X													X																		P	
	SOUTH CAROLINA ST PUB SERVICE AUTH REV RFDG SER D		X															X																		P,S	
	SOUTH RIPLEY IN COMMUNITY MULTI SCHOOL BUILDING CORP FIRST MTG REV B/E AGM						X											X																		P	
	WASHINGTON ST SER A AGM B/E					X												X																		P	
	ID - MESROW BROKERAGE ACCOUNT (Manager: GWA)																																				
	AES CORP SENIOR NOTE B/E			X														X																		P	
	AK STEEL CORP SENIOR NOTE		X															X																		P,S	
	AMERICAN TOWER CORP SENIOR NOTE B/E			X														X																		P	
	AMERICAN TOWER CORP SENIOR NOTE B/E			X														X																		P	
	AMKOR TECHNOLOGY INC SENIOR NOTE B/E			X														X																		P	
	ARCELORMITTAL SA LUXEMBOURG NOTE B/E			X														X																		P	
	ARCH COAL INC SENIOR NOTE B/E			X														X																		P	
	ATWOOD OCEANICS INC SENIOR NOTE B/E			X														X																		P	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 6 OF 50

SP, DC, JT	Block A Asset and/or Income Source	Block B Value of Asset													Block C Type of Income							Block D Amount of Income (estimated at security level)												Block E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																													
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	None	I	II	III	IV	V	VI	VII	VIII	IX	X		XI	XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																											
		\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		
		None																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																													

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 7 OF 50

SP, DC, JT	Block A Asset and/or Income Source	Block B Value of Asset													Block C Type of Income							Block D Amount of Income (estimated at security level)												Block E Transaction		
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII	
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000	
SP	COMSTOCK RESOURCE INC SENIOR NOTE B/E		X														X								X											P
SP	CONSOL ENERGY INC SENIOR NOTE B/E		X															X							X										P	
SP	CRH AMERICAN INC GTD NOTE		X																						X										P	
SP	CRICKET COMMUNICATIONS INC SENIOR NOTE B/E		X															X							X										P	
SP	DIRECTV HOLDINGS LLC SENIOR NOTE B/E		X															X							X										P	
SP	DISH DBS CORP SENIOR NOTE B/E		X															X							X										P	
SP	DOMSTAR CORP SENIOR NOTE B/E		X															X							X										P	
SP	EL PASO ENERGY CORP MEDIUM TERM NOTE B/E		X															X							X										P	
SP	ENERGY TRANSFER EQUITY LP SENIOR NOTE		X															X							X										P	
SP	FEDERAL NATIONAL MORTGAGE ASSOCIATION POOL		X															X							X										P	
SP	FEDERAL NATIONAL MORTGAGE ASSOCIATION POOL			X														X							X										P	
SP	FEDERAL NATIONAL MORTGAGE ASSOCIATION POOL		X															X							X										P	
SP	FEDERAL NATIONAL MORTGAGE ASSOCIATION POOL			X														X							X										P	
SP	FEDERAL NATIONAL MORTGAGE ASSOCIATION POOL																	X							X										P	
SP	FORD MOTOR CO DEL GLOBAL LANDMARK SEC'S GLOBALS CPN		X															X							X										P	
SP	FRONTIER COMMUNICATIONS CORP SENIOR NOTE B/E		X															X							X										P	
SP	GENERAL ELECTRIC CAP CORP MEDIUM TERM NOTE B/E		X															X							X										P	
SP	GENON ESCROW CORP SENIOR NOTE B/E		X															X							X										P	

PAGE 8 OF 50

8/13/13

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 9 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction		
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII	
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000	
SP	NRG ENERGY INC SENIOR NOTE	X																	X																	P, S
SP	PIONEER NATIONAL RESOURCE NOTE			X														X																	P	
SP	PLAINS EXPLORATION & PRODUCTION COMPANY SENIOR NOTE B/E			X															X																P	
SP	POLYONE CORP SENIOR NOTE B/E			X														X																	P	
SP	RIO TINTO FINANCE USA LIMITED GTD NOTE B/E			X														X																	P	
SP	ROYAL CARIBBEAN CRUISES LIMITED SENIOR NOTE B/E			X														X																	P	
SP	SCIENTIFIC GAMES INTL INC GTD SENIOR SUBORDINATED NOTE B/E			X														X																	P	
SP	SEAGATE HDD CAYMAN SENIOR NOTE B/E			X															X																P	
SP	SPRINT CAP CORP GTD NOTE MAKE WHOLE CALL			X														X																	P	
SP	TECK RESOURCES LTD NOTE B/E			X														X																	P	
SP	TEEKAY CORP SENIOR NOTE B/E			X														X																	P	
SP	TEREX CORP NEW SENIOR NOTE B/E			X														X																	P	
SP	TESORO CORP SENIOR NOTE			X														X																	P	
SP	UNITED RENTALS NORTH AMERICA INC SENIOR NOTE B/E			X														X																	P	
SP	UNITED STATES STEEL CORP NEW SENIOR NOTE B/E			X														X																	P	
SP	UNITED STATES TREAS NT			X														X																	P	
SP	VALEERO ENERGY CORP NEW NOTE			X														X																	P	
SP	WEATHERFORD INTERNATIONAL LIMITED GTD SENIOR NOTE			X														X																	P	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 10 OF 50

Block A Asset and/or Income Source	Block B Value of Asset													Block C Type of Income							Block D Amount of Income (estimated at security level)												Block E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								
	A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																							
	None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																							
SP, DC, JT																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																									

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 11 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction		
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII	
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000	
	ISHARES BARCLAYS 7-10 YEAR TREASURY BOND FUND			X											X	X			X					X											P	
	LIBERTY ALL STAR EQUITY FUND SBI				X											X			X						X										S(PARTIAL)	
	LMP CAP & INCOME FD INC COM		X															X	X						X										S	
	MFS MULTIMARKET INCOME TR SH BEN INT			X												X			X						X										S (PARTIAL)	
JT	NUVEEN EQUITY PREM OPPORTUNITY FD			X												X								X												
JT	NUVEEN EQUITY PREM ADVANTAGE CLOSED END			X												X								X												
JT	NUVEEN EQUITY PREM & GROWTH FUND COM			X												X								X												
JT	NUVEEN CONNECTICUT PREMIUM INC MUNI PFD			X												X								X												
JT	NUVEEN DVID ADVANTAGE MUN FD MUNIFUND TERM PFD SHS SER			X												X								X												
JT	NUVEEN CREDIT STRATEGIES INCOME FUND			X												X								X												P, S(PARTIAL)
JT	POWERSHARES EXCHANGE TRADED FUND TR II SENIOR			X												X								X												P
JT	ROYCE VALUE TR INC			X												X								X												
JT	SECTOR SPDR TR SHS		X															X									X									S
JT	SPDR SER TR BARCLAYS HIGH YIELD BD		X																X						X											S
JT	SPECIAL OPPORTUNITIES FD INC COM			X																				X												
JT	SPECIAL OPPORTUNITIES FD INC PFD CONV			X												X								X												

PAGE 12 OF 50

8/13/13

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 13 OF 50

SP, DC, JT	Block A Asset and/or Income Source	Block B Value of Asset													Block C Type of Income							Block D Amount of Income (estimated at security level)												Block E Transaction			
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	None	I	II	III	IV	V	VI	VII	VIII	IX	X		XI	XII	
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*																							
	EATON VANCE TAX MANAGED DIVERSIFIED EQUITY INCOME FD COM			X											X							X		X													
	EATON VANCE TAX MANAGED BUY WRITE			X											X							X		X													
	EATON VANCE TAX MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FD			X											X							X		X												P	
	EATON VANCE RISK MANAGED DIVERSIFIED EQUITY INCOME FD			X											X							X		X													
	ELLSWORTH FD			X											X							X		X												S (PARTIAL)	
	GDL FD CUMULATIVE PFD SER B			X											X							X		X													
	GUGGENHEIM INV MUNI INCOME CLASS A		X												X							X		X												S	
	ISHARES INC MSCI GLOBAL GOLD MINERS FD			X											X							X		X												P	
	ISHARES TR S&P 100 INDEX FUND			X											X							X		X												P, S (PARTIAL)	
	KAYNE ANDERSON MLP INVT CO SHS				X										X							X		X												P	
	LIBERTY ALL-STAR GROWTH FUND			X											X							X		X												S (PARTIAL)	
	LIBERTY ALL STAR EQUITY FUND SBI		X												X							X		X													
	MLP CAP & INCOME FD INC COM		X												X							X		X												S	
	MFS CHARTER INCOME TRUST SH BEN INT		X												X							X		X												S	
	NFJ DIVID INT & PREM STRATEGY FD COM SHS			X											X							X		X												P	
	NUVEEN EQUITY PREM OPPORTUNITY FD			X											X							X		X													

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 14 OF 50

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPTIAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
	NUVEEN EQUITY PREMIUM INCOME FUND			X											X							X		X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 15 OF 50

SP, DC, JT	Block A Asset and/or Income Source	Block B Value of Asset													Block C Type of Income							Block D Amount of Income (estimated at security level)												Block E Transaction		
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII	
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000	
	ZWEIG TOTAL RETURN FUND INC COM			X																	X															P
	FIDELITY GOVERNMENT MONEY MARKET			X																	X															
	13 - FIDELITY BROKERAGE ACCOUNT - IRA (Manager: Relative Value Partners)																																			
	SP AGIC EQUITY & CONV INCOME FD COM			X																	X															
	SP ALPS ETF TR ALERIAN MLP ETF				X																X															P
	SP ALLIANCE BERNSTEIN INCOME FD INC					X															X															P
	SP BLACKROCK DEFINED OPPORTUNITY CR TR		X																		X															S
	SP BLACKROCK GLOBAL OPPORTUNITIES EQUITY				X																X															P
	SP BLACKROCK CREDIT ALL INC TR IV COM					X															X															
	SP BLACKROCK ENHANCED EQUITY DIVID TR COM			X																	X															P
	SP BLACKROCK RES & COMMODITIES STRATEGY TR USD		X																		X															P, S
	SP CLOUGH GLOBAL OPPORTUNITIES FD SH BEN INT			X																	X															
	SP EATON VANCE LTD DURATION INCOME FD		X																		X															S
	SP EATON VANCE TAX MANAGED DIVERSIFIED EQUITY INCOME FD COM				X																X															P
	SP EATON VANCE TAX MANAGED BUY WRITE			X																	X															
	SP EATON VANCE TAX MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FD COM			X																	X															

PAGE 16 OF 50

8/13/13

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 17 OF 50

SP, DC, JT	Block A Asset and/or Income Source	Block B Value of Asset													Block C Type of Income							Block D Amount of Income (estimated at security level)												Block E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																							
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						
	SP ROYCE VALUE TR INC				X																X		X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 18 OF 50

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																										
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	None	I	II	III	IV	V	VI	VII	VIII	IX	X		XI	XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								
		\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															
		None			X										None																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																													

P, S, E

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 19 OF 50

SP, DC, JT	Block A Asset and/or Income Source	Block B Value of Asset													Block C Type of Income							Block D Amount of Income (estimated at security level)												Block E Transaction	
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,0001 - \$5,000,000	\$5,000,001 - \$25,000,000	25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000
	ISHARES BARCLAYS SHORT TREAS BOND FUND				X										X										X										S (PARTIAL)
	KAYNE ANDERSON MLP INVT CO MANDATORY REDEMABLE PFD SHS LIQUIDATION PREF CLASS E				X										X											X									
	LIBERTY ALL STAR EQUITY FUND SBI				X										X											X									
	LMP CAP & INCOME FD INC COM	X													X		X		X								X								S
	NUVEEN CREDIT STRATEGIES INCOME FUND	X													X		X		X									X							S
	NUVEEN EQUITY PREMIUM INCOME FUND			X											X		X								X										
	NUVEEN EQUITY PREMIUM ADVANTAGE CLOSED END FUND		X																						X										
	NUVEEN EQUITY PREM & GROWTH FUND COM			X											X		X								X										
	NUVEEN CA DVD ADV MUNI 2.35%		X														X									X									S
	NUVEEN MID DIVID ADVANTAGE MUNI FD		X														X									X									
	NUVEEN MID PREMIUM INC MUNICIPAL FUND			X													X									X									
	POWERSHARES EXCHANGED TRADED FUND TR II SENI	X													X		X									X									S
	ROYCE VALUE TR INC			X											X		X		X																
	SPDR S&P 500 ETF TRUST UNIT SER 1 S&P	X														X									X										S
	SPECIAL OPPORTUNITIES FD INC COM			X											X		X		X								X								
	SPECIAL OPPORTUNITIES FUND INC PFD CDIV 3%			X											X		X									X									

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 20 OF 50

Block A Asset and/or Income Source	Block B Value of Asset													Block C Type of Income							Block D Amount of Income (estimated at security level)												Block E Transaction					
	A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII				
	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*																										
SP, DC, JT																																						P, S, E
SUNAMERICA FOCUSED ALPHA LARGE CAP CLASS A	X																	X																		S		
TCW STRATEGIC INCOME FD INC	X																	X																		S		
TRI CONTINENTAL CORP COM				X														X																				
VANGUARD INDEX FDS VANGUARD TOTAL STR MKT ETF	X																	X																		P, S		
ZWEIG FD INC COM NEW				X														X	X																			
ZWEIG TOTAL RETURN FUND INC COM				X														X	X																			
FIDELITY GOVERNMENT MONEY MARKET				X																																		
FIDELITY GOVERNMENT MONEY MARKET																																						
FIDELITY GOVERNMENT MONEY MARKET									X																													
15 - MSSB BROKERAGE ACCOUNT (Manager: Westwood Management)																																						
SP APPLE INC									X									X																				
SP BOEING CO									X									X																				
SP BORG WARNER INC									X																													
SP CATERPILLAR INC									X									X																				
SP CHICAGO BRIDGE & IRON CO. N.V.									X									X																				
SP DEERE & CO									X									X																				
SP EXXON MOBIL CORP									X									X																				

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 21 OF 50

Block A		Block B													Block C							Block D												Block E			
Asset and/or Income Source		Value of Asset													Type of Income							Amount of Income (estimated at security level)												Transaction			
SP, DC, JT		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, E		
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000			
SP	FEDEX CORP					X								X											X												
SP	GILEAD SCIENCE						X							X										X													
SP	GOOGLE INC-CL A							X						X										X													
SP	JOHNSON CONTROLS INCORPORATED				X										X										X												
SP	MASTERCARD INCOME CLASS A						X																		X												
SP	MB FINANCIAL INC NEW													X										X													
SP	MIDDLEBY CORP DEL								X					X										X													
SP	PARKER HANNIFIN CORP								X					X										X													
SP	QUALCOMM INC								X					X										X													
SP	SCHLUMBERGER LTD								X					X										X													
SP	STRATASYS LTD SHS								X					X										X													
SP	TAYLOR CAPITAL GP INC								X					X										X													
SP	UNION PACIFIC CORP								X					X										X													
SP	CSX CORP																																			S	
SP	STRATASYS INC																																			S	
SP	CASH			X														X						X													

P, S, E

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 22 OF 50

Block A Asset and/or Income Source		Block B Value of Asset													Block C Type of Income							Block D Amount of Income (estimated at security level)												Block E Transaction	
		A	B	C	D	E	F	G	H	I	J	K	L	M								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII		
SP, DC, JT		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000	P, S, E
16 - MSSB BROKERAGE ACCOUNT (Manager: Morgan Stanley Smith Barney)																																			
SP	AMERICAN ELECTRIC POWER CO			X												X								X											
SP	EXXON MOBIL CORP					X										X									X										
SP	FIRST ENERGY CORP			X												X								X											
SP	SEMPRA ENERGY			X												X								X											
SP	TAYLOR CAPITAL GP INC			X												X								X											
SP	CASH					X											X							X											
17 - SCHWAB BROKERAGE ACCOUNT																																			
JT	Cash		X														X							X											
JT	NETFLIX Inc			X													X							X											
JT	United States Steel Corp			X													X							X											
JT	3M COMPANY			X																				X											
18 - SCHWAB BROKERAGE ACCOUNT - ROTH IRA																																			
	Cash			X																				X											
	AMERICAN BEACON LG CAP VAL			X																				X											
	AMER CENT GROWTH				X																			X											
	FMI FOCUS FD		X																				X												

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 23 OF 50

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																												
	A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																											
	None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																											
SP, DC, JT																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																													

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 24 OF 50

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction	
		A	B	C	D	E	F	G	H	I	J	K	L	M								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII		
SP, DC, JT		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	None	DIVIDENDS	RENT	INTEREST	CAPTIAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000	P, S, E
LIFE INSURANCE																																			
DC	21 - PACIFIC LIFE Variable Policy		X											X	X																				
DC	22 - LINCOLN FINANCIAL Universal Policy				X									X																					
	23 - MDRJB INVESTMENT PARTNERSHIP, LLC																																		
JT	GENERATION 3 LP (PRIVATE EQUITY, NORTHBROOK, IL)																																		
JT	G3: AVRIGRAMS GROUP (INVESTMENT BUFFALO GROVE, IL)			X										X	X																				
JT	G3: FLUDIMESH NETWORKS, LLC (INVESTMENT BUFFALO GROVE, IL)			X										X	X																				
JT	G3: EZ WA TECH, LLC (INVESTMENT LOUISVILLE, KY)			X										X	X																				
JT	G3: LOGICMARK, LLC (INVESTMENT FAIRFAX STATION, VA)			X										X	X																				
JT	HILLSIDE PROPERTY COMPANY, LLC (OFFICE BLDG, NASHVILLE, TN)			X													X							X											
JT	NEXT REALTY FUND VIII		X											X	X									X											
JT	NORTH SHORE RESIDENTIAL INC		X											X	X									X											
JT	PRISCIENT PARTNERS VII (Investment in Trupanion Pet Insurance, Berwyn, IL)			X										X	X									X											
JT	PRIME NOTRE DAME STUDENT HOUSING, LLC (APARTMENT BUILDING, SOUTH BEND, IN)		X														X								X										
JT	PRIME LAKE BLUFF RESIDENTIAL, LLC (APARTMENT BUILDING, LAKE BLUFF, IL)			X										X	X									X											
JT	SHERIDAN POINTE REAL ESTATE FUND																																		
JT	SHERIDAN POINTE (REAL ESTATE FUND, 153 MAPLE AVE., WILMETTE, IL)		X											X	X									X											
JT	SHERIDAN POINTE (REAL ESTATE FUND, 143 MAPLE AVE., WILMETTE, IL)		X											X	X									X											

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 25 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																	
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																
JT	SHERIDAN POINTE (REAL ESTATE FUND, 202 GIRARD AVE., WILMETTE, IL)			X										X									X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																												

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 26 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction				
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII			
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000			
	SHERMAN REAL ESTATE FUND VIII, LLC (REAL ESTATE, TRIBECA APARTMENTS, PLANO, TX)			X										X	X									X														
JT	SHERMAN REAL ESTATE FUND VIII, LLC (REAL ESTATE, SOHO PARKWAY, MCKINNEY, TX)				X										X	X								X														
JT	SHERMAN REAL ESTATE FUND VIII, LLC (REAL ESTATE, ANSLEY FALLS, CHARLOTTE, NC)			X											X	X								X														
JT	SHERMAN REAL ESTATE FUND VIII, LLC (REAL ESTATE, PRESERVE AT MILL CREEK, BUFORD, GA)			X											X	X								X														
JT	SHERMAN REAL ESTATE FUND VIII, LLC (REAL ESTATE, ENCLAVE AT TRANQUILITY LAKE, TAMPA, FL)			X											X	X								X														
JT	SHERMAN REAL ESTATE FUND VIII, LLC (REAL ESTATE, PRESERVE AT STEELE CREEK, CHARLOTTE, NC)			X											X	X								X														
JT	SHERMAN REAL ESTATE FUND IX																																					
JT	SHERMAN REAL ESTATE FUND IX, LLC (REAL ESTATE, SOHO PARKWAY, MCKINNEY, TX)			X											X	X								X														
JT	SHERMAN REAL ESTATE FUND IX, LLC (REAL ESTATE, ANSLEY FALLS, CHARLOTTE, NC)			X											X	X								X														
JT	SHERMAN REAL ESTATE FUND IX, LLC (REAL ESTATE, PRESERVE AT MILL CREEK, BUFORD, GA)			X											X	X								X														
JT	SHERMAN REAL ESTATE FUND IX, LLC (REAL ESTATE, ENCLAVE AT TRANQUILITY LAKE, TAMPA, FL)			X											X	X								X														
JT	SHERMAN REAL ESTATE FUND IX, LLC (REAL ESTATE, PRESERVE AT STEELE CREEK, CHARLOTTE, NC)			X											X	X								X														
JT	SHERMAN REAL ESTATE FUND IX, LLC (REAL ESTATE, STONERIDGE FARMS AT HILLWOOD, MURFREESBORO, TN)			X											X	X								X														
	OTHER INDIVIDUAL INVESTMENTS																																					
	24 - RENTAL PROPERTY (SINGLE FAMILY HOME, DEERFIELD, IL)					X										X																						
	25 - M FINANCIAL HOLDINGS (INSURANCE, POTLAND, OR)				X																																	
JT	26 - AERO DIRECT II (AIRCRAFT PARTS DEALER, WHEELING, IL)				X												X																					

P, S, E

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 27 OF 50

Block A		Block B													Block C							Block D												Block E																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																											
Asset and/or Income Source		Value of Asset													Type of Income							Amount of Income (estimated at security level)												Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																											
		A	B	C	D	E	F	G	H	I	J	K	L	M																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

Block A		Block B													Block C							Block D												Block E																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																										
Asset and/or Income Source		Value of Asset													Type of Income							Amount of Income (estimated at security level)												Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																										
		A	B	C	D	E	F	G	H	I	J	K	L	M																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																														

PAGE 79 OF 100

8/13/13

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 30 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																										
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																									
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,0001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																									
	BANNER-ESSEX-CORE APT FUND I (APARTMENTS, WHISPER HOLLOW APARTMENTS, MARYLAND HEIGHTS MO, 63043)			X										X	X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																													

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 31 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																														
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																													
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																													
	SHERIDAN POINTE (REAL ESTATE FUND, 114 SHERIDAN ROAD, WILMETTE, IL)			X																			Partnership Income			X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

BLOCK A		BLOCK B													BLOCK C							BLOCK D												BLOCK E																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		
Asset and/or Income Source		Value of Asset													Type of Income							Amount of Income (estimated at security level)												Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		
		A	B	C	D	E	F	G	H	I	J	K	L	M																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						

P, S, E

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 33 OF 50

Block A		Block B													Block C							Block D												Block E																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																							
Asset and/or Income Source		Value of Asset													Type of Income							Amount of Income (estimated at security level)												Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																							
		A	B	C	D	E	F	G	H	I	J	K	L	M																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																											</

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 34 OF 50

SP, DC, JT	Block A Asset and/or Income Source	Block B Value of Asset													Block C Type of Income							Block D Amount of Income (estimated at security level)												Block E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																									
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								

P, S, E

PAGE 35 OF 50

8/13/13

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 36 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
	FIDELITY GOVERNMENT MONEY MARKET																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						

P, S, E

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 37 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPTIAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,0001 - \$5,000,000	\$5,000,001 - \$25,000,000	25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
	SHERIDAN POINTE REAL ESTATE FUND (RED SEAL)																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 38 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																														
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															
	SHERMAN REAL ESTATE FUND VI, LLC (REAL ESTATE, PRESIDIO APARTMENTS AUSTIN, TX)			X										X										X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																									

P, S, E

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 39 OF 50

SP, DC, JT	Block A Asset and/or Income Source	Block B Value of Asset													Block C Type of Income							Block D Amount of Income (estimated at security level)												Block E Transaction P, S, E			
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII		
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,0001 - \$5,000,000	\$5,000,001 - \$25,000,000	25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*																							
DC	CARDIONET INC.			X										X	None									X													
DC	DTS INC.			X										X										X													
DC	DIGITALGLOBE INC.			X										X										X													
DC	FLOTEK INDUSTRIES			X										X										X													
DC	GEOSPACE TECHNOLOGIES			X										X										X													
DC	KMG CHEMICAL INC			X										X										X													
DC	MERCURY SYSTEMS			X										X										X													
DC	MONOTYPE IMAGING			X										X										X													
DC	NVE CORPORATION			X										X										X													
DC	PURE CYCLE CORP.			X										X										X													
DC	SCIENTIFIC LEARNING			X										X										X													
DC	SUMMODICS INC.			X										X										X													
DC	TESSERA TECHNOLOGIES			X										X										X													
DC	WESENSE INC.			X										X										X													
DC	PRIME BROKER CASH ACCOUNT			X										X										X													
DC	GENERATION 3 LP (PRIVATE EQUITY, NORTHBROOK, IL)																																				
DC	G3: AVRIDRMS GROUP (INVESTMENT BUFFALO GROVE, IL)			X										X										X													

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction	
	A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII		
	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	None									\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000			
SP, DC, JT		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	None	DIVIDENDS	RENT	INTEREST	CAPTIAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000	P, S, E
DC	G3: FLUIDMESH NETWORKS, LLC (INVESTMENT BUFFALO GROVE, IL)	X												X								X												S	
DC	G3: EZ WA TECH, LLC (INVESTMENT LOUISVILLE, KY)	X												X									X											S	
DC	G3: LOGICMARK, LLC (INVESTMENT FAIRFAX STATION, VA)	X												X								X												S	
DC	AERODIRECT FUND II - (AIRCRAFT PARTS DEALER WHEELING, IL)		X														X								X										
DC	DK FINANCE GROUP		X											X									X												
DC	LEAD OUT THIRD SOLUTION (PRIVATE EQUITY, NORTHBROOK, IL)		X											X									X												
DC	QUESTEK (MATERIAL SCIENCES, EVANSTON, IL)		X											X									X												
DC	PRESIDENT PARTNERS VII (Investment in Trupanion Pet Insurance, Berwyn, IL)		X											X									X												
DC	STERLING VENTURE PARTNERS (Investment, Northbrook, IL)		X											X									X												
DC	FIDELITY BROKERAGE ACCOUNT (Manager: Relative Value Partners)																																		
DC	ALPS ETF TR ALERIAN MLP ETF		X											X									X												
DC	ALLIANCE BERNSTEIN INCOME FD INC		X											X									X												
DC	BLACKROCK GLOBAL OPPORTUNITES EQUITY		X											X									X												
DC	BLACKROCK CREDIT ALL INC TR IV COM		X											X									X												
DC	EATON VANCE TAX MANAGED BUY WRITE		X											X									X												
DC	EATON VANCE TAX MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FD COM		X											X									X												

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 41 OF 50

Block A Asset and/or Income Source		Block B Value of Asset													Block C Type of Income								Block D Amount of Income (estimated at security level)												Block E Transaction
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
SP, DC, JT		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000	P, S, E
DC	EATON VANCE RISK MANAGED DIVERSIFIED EQUITY INCOME FD		X												X								X												
DC	GDL FD CUMULATIVE PFD SER B			X												X								X											
DC	ISHARES TR S&P 100 INDEX FUND			X												X								X											
DC	ISHARES BARCLAYS 7-10 YEAR TREASURY BOND FUND			X												X								X											
DC	LIBERTY ALL STAR EQUITY FUND SBI			X												X								X											
DC	NUVEEN CONNECTICUT PREMIUM INC MUNI PFD			X													X							X											
DC	NUVEEN CREDIT STRATEGIES INCOME FUND			X																				X											
DC	POWERSHARES EXCHANGED TRADED FUND TR II SENI			X													X							X											
DC	ROYCE VALUE TR INC			X													X							X											
DC	SECTOR SPDR TR SHS BEN INT ENERGY		X															X							X										S
DC	SPECIAL OPPORTUNITIES FD INC COM			X															X					X											
DC	SPECIAL OPPORTUNITIES FD INC PFD CONV 3%			X																				X											
DC	TRI CONTINENTAL CORP COM			X																				X											
DC	VANGUARD SECTOR INDEX FDS VANGUARD INFORMATION TECHNOLOGY VIPERS			X																					X										
DC	VANGUARD INDEX FDS VANGUARD TOTAL STK MKT ETF			X																				X											
DC	ZWEIG FD INC COM NEW			X																				X											

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 42 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction P, S, E			
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII		
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000		
DC	ZWEIG TOTAL RETURN FUND INC COM			X												X									X												
DC	FIDELITY GOVERNMENT MONEY MARKET			X													X									X											
	49 - CD Family Investment Partnership - DC																																				
DC	CASH - MB Financial, CHICAGO, IL - Money Market					X												X							X												
DC	INS LIFE INS CASH VALUE					X										X									X												
DC	ACORN II - Mutual Fund			X												X									X												
DC	BANNER-ESSEX-CORE APT FUND I (APARTMENTS, MCDOWELL PLACE APARTMENTS, NAPERVILLE, IL 60563)			X												X									X												
DC	BANNER-ESSEX-CORE APT FUND I (APARTMENTS, RIACHI AT ONE21 APARTMENTS, PLANO TX, 75025)			X												X									X												
DC	BANNER-ESSEX-CORE APT FUND I (APARTMENTS, WHISPER HOLLOW APARTMENTS, MARYLAND HEIGHTS MO, 63043)			X												X									X												
DC	BANNER-ESSEX-CORE APT FUND I (APARTMENTS, RIVERS EDGE/FORDEEM TOWERS APARTMENTS, MADISON WI, 53704)			X												X									X												
DC	BANNER-ESSEX-CORE APT FUND I (APARTMENTS, STONEWOOD VILLAGE APARTMENTS, MADISON WI, 53714)			X												X									X												
DC	BANNER WHISPER HOLDERS (REAL ESTATE, NORTHBROOK, IL)			X												X									X												
DC	BELGRAVIA 600 LAKE SHORE DR (REAL ESTATE, CHICAGO IL)			X												X									X												
DC	ENCLAVE AT WINGHAVEN UJ, LLC (REAL ESTATE, NORTHBROOK, IL)			X												X									X												
DC	NEXT BRONZEVILLE (REAL ESTATE, NORTHBROOK, IL)		X																						Partnership Income		X										
DC	NEXT GATEWAY (REAL ESTATE, NORTHBROOK, IL)		X																					Partnership Income		X											
DC	NEXT RANDOLPH & WELLS (REAL ESTATE, NORTHBROOK, IL)		X														X							Partnership Income		X											

P, S, E

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 43 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																			
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																							
	PRIME LAKE BLUFF RESIDENTIAL, LLC (APARTMENT BUILDING, LAKE BLUFF, IL)			X											X	None																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					

P, S, E

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 44 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																														
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,0001 - \$5,000,000	\$5,000,001 - \$25,000,000	25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																														
DC	SHERMAN REAL ESTATE FUND VI, LLC (REAL ESTATE, INDIGO POINTE, GRAND PRAIRIE, TX)			X										X	X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		

P, S, E

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 45 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPTIAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,0001 - \$5,000,000	\$5,000,001 - \$25,000,000	25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC income over \$1,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
DC	CALLIDUS SOFTWARE INC.		X											X	None									X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 46 OF 50

SP, DC, JT	Block A Asset and/or Income Source	Block B Value of Asset													Block C Type of Income								Block D Amount of Income (estimated at security level)												Block E Transaction	
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPTIAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII		
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,0001 - \$5,000,000	\$5,000,001 - \$25,000,000	25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	None								None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000		
DC	G3: FLUIDMESH NETWORKS, LLC (INVESTMENT BUFFALO GROVE, IL)		X											X	X								X													
DC	G3: EZ WA TECH, LLC (INVESTMENT LOUISVILLE, KY)			X											X	X							X													
DC	G3: LOGICMARK, LLC (INVESTMENT FAIRFAX STATION, VA)			X											X								X													
DC	AERODIRECT FUND II - (AIRCRAFT PARTS DEALER, WHEELING, IL)			X																						X										
DC	DK FINANCE GROUP		X												X								X													
DC	LEAD OUT THIRD SOLUTION (PRIVATE EQUITY, NORTHBROOK, IL)		X												X								X													
DC	QUESTEK (MATERIAL SCIENCES, EVANSTON, IL)			X											X								X													
DC	PRESCIENT PARTNERS VII (Investment in Trupanion Pet Insurance, Berwyn, IL)			X											X								X													
DC	STERLING VENTURE PARTNERS (INVESTMENT, NORTHBROOK, IL)			X											X								X													
DC	FIDELITY BROKERAGE ACCOUNT (Manager: Relative Value Partners)																																			
DC	ALPS ETF TR ALERIAN MLP ETF		X												X								X													
DC	ALLIANCE BERNSTEIN INCOME FD INC			X											X								X													
DC	BLACKROCK CREDIT ALL INC TR IV COM			X											X								X													
DC	EATON VANCE TAX MANAGED BUY WRITE			X											X								X													
DC	EATON VANCE TAX MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FD COM			X											X								X													
DC	EATON VANCE RISK MANAGED DIVERSIFIED EQUITY INCOME FD			X											X								X													

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 47 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction				
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII			
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000			
DC	GDL FD CUMULATIVE PFD SER 8			X											X										X													
DC	ISHARES TR S&P 100 INDEX FUND				X												X								X													
DC	ISHARES BARCLAYS 7-10 YEAR TREASURY BOND FUND																X								X													
DC	LIBERTY ALL STAR EQUITY FUND SBI			X													X								X													
DC	NUVEEN CONNECTICUT PREMIUM INC MUNI PFD																	X							X													
DC	NUVEEN CREDIT STRATEGIES INCOME FUND																		X						X													
DC	POWERSHARES EXCHANGED TRADED FUND TR II SENI																			X					X													
DC	ROYCE VALUE TR INC																X								X													
DC	SECTOR SPDR TR SHS BEN INT ENERGY			X															X							X											S	
DC	SPECIAL OPPORTUNITIES FD INC COM																			X					X													
DC	SPECIAL OPPORTUNITIES FD INC PFD CONV 3%																			X					X													
DC	TRI CONTINENTAL CORP COM																			X					X													
DC	VANGUARD INDEX FDS VANGUARD TOTAL STK MKT ETF																			X					X													
DC	ZWEIG FD INC COM NEW																			X					X													
DC	ZWEIG TOTAL RETURN FUND INC COM																			X					X													
DC	FIDELITY GOVERNMENT MONEY MARKET																			X					X													
	50 - CD Family Insurance Trust																																					

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 48 OF 50

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction	
		A	B	C	D	E	F	G	H	I	J	K	L	M								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII		
SP, DC, JT		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,0001 - \$5,000,000	\$5,000,001 - \$25,000,000	25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000	P, S, E
FIDELITY BROKERAGE ACCOUNT (Manager: Relative Value Partners)																																			
SP	AGIC EQUITY & CONV INCOME FD COM			X												X								X											
SP	ALPS ETF TR ALERIAN MLP ETF			X												X								X											
SP	ALLIANCE BERNSTEIN INCOME FD INC			X												X								X											
SP	BLACKROCK GLOBAL OPPORTUNITIES EQUITY			X												X								X											
SP	BLACKROCK CREDIT ALL INC TR IV COM			X													X							X											
SP	BLACKROCK ENHANCED EQUITY DIVID TR COM			X													X							X											
SP	CLOUGH GLOBAL OPPORTUNITIES FD SH BEN INT			X												X								X											
SP	EATON VANCE TAX MANAGED DIVERSIFIED EQUITY INCOME FD COM			X												X								X											
SP	EATON VANCE TAX MANAGED BUY WRITE			X													X							X											
SP	EATON VANCE TAX MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FD COM				X																				X										
SP	EATON VANCE RISK MANAGED DIVERSIFIED EQUITY INCOME FD				X												X								X										
SP	ELLSWORTH FD				X												X								X										
SP	GOL FD CUMULATIVE PFD SER B				X												X								X										
SP	ISHARES INC MSCI GLOBAL GOLD MINERS FD				X												X								X										
SP	ISHARES TR S&P 100 INDEX FUND					X																				X									
SP	ISHARES BARCLAYS 7-10 YEAR TREASURY BOND FUND				X																					X									
SP	LIBERTY ALL STAR EQUITY FUND SBI				X												X									X									

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 49 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																							
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						
				X										X										X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

NAME: BRAD SCHNEIDER

PAGE 50 OF 50

SP, DC, JT	Block A Asset and/or Income Source	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income (estimated at security level)												BLOCK E Transaction P, S, E		
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII	
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		Spouse/DC Income over \$1,000,000	
SP	FIDELITY GOVERNMENT MONEY MARKET			X										X									X													
	Morgan Stanley Smith Barney Brokerage Account																																			
SP	CASH						X										X																			
SP	CASH					X											X							X												
SP	MANULIFE FINANCIAL CORP				X												X																			
	IRMCO INVESTMENT																																			
SP	BELDON STRATFORD LP (PARTNERSHIP, CHICAGO IL 60618)			X										X									X													
SP	FLAMINGO APTS LP (PARTNERSHIP, CHICAGO IL 60618)				X												X		X							X										
SP	NORTH SHORE HOTEL LP (PARTNERSHIP, CHICAGO IL 60618)				X												X		X	X									X							
SP	JOHN HANCOCK LIFE INSURANCE POLICY					X								X									X													

PAGE 54 OF 78

[illegible]

SCHEDULE IV - TRANSACTIONS

NAME: BRAD SCHNEIDER

PAGE 55 OF 78

SP, DC, JT	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Exchange			A \$1,001 - \$15,000	B \$15,001 - \$50,000	C \$50,001 - \$100,000	D \$100,001 - \$250,000	E \$250,001 - \$500,000	F \$500,001 - \$1,000,000	G \$1,000,001 - \$5,000,000	H \$5,000,001 - \$25,000,000	I \$25,000,001 - \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	BERWYN INCOME	X				6/28/12	X										
SP	BERWYN INCOME	X				12/28/12	X										
SP	BERWYN INCOME	X				12/28/12	X										
SP	COLUMBIA ACORN CLASS A	X				6/6/12	X										
SP	COLUMBIA ACORN CLASS A	X				12/6/12	X										
SP	COLUMBIA ACORN CLASS A		X		X	3/27/12			X								
SP	EUROPACIFIC GROWTH CLASS FL	X				1/3/12				X							
SP	EUROPACIFIC GROWTH CLASS FL	X				12/26/12	X										
SP	FAIRHOLME FUNDS INC		X		X	8/24/12		X									
SP	FIRST EAGLE GLOBAL CLASS I	X				3/27/12			X								
SP	FIRST EAGLE GLOBAL CLASS I	X				12/14/12	X										
SP	FIRST EAGLE GLOBAL CLASS I	X				12/14/12	X										
SP	ISHARES MSCI EAFE GROWTH INDEX ETF		X		X	1/3/12				X							
SP	ISHARES MSCI EMERGING MARKETS INDEX ETF		X		X	1/3/12				X							
SP	ISHARES RUSSELL 1000 VALUE INDEX ETF		X		X	1/3/12				X							
SP	IVY ASSET STRATEGY CLASS A	X				3/27/12			X								
SP	IVY ASSET STRATEGY CLASS A	X				12/13/12	X										
SP	LORD ABBETT VALUE OPPORTUNITIES CL I		X		X	3/27/12		X									
SP	NEW CENTURY ALTERNATIVE STRATEGIES	X				1/3/12				X							
SP	NEW CENTURY ALTERNATIVE STRATEGIES	X				3/27/12			X								

NAME: BRAD SCHNEIDER

PAGE 63 OF 78

[illegible]

PAGE 58 OF 78

[illegible]

PAGE 59 OF 78

[illegible]

PAGE 60 OF 78

[illegible]

NAME: BRAD SCHNEIDER

PAGE 67 OF 78

[illegible]

PAGE 63 OF 78

[illegible]

PAGE 64 OF 78

[illegible]

PAGE 65 OF 78

[illegible]

PAGE 66 OF 78

[illegible]

PAGE 67 OF 78

[illegible]

PAGE 68 OF 78

[illegible]

SCHEDULE IV - TRANSACTIONS

NAME: BRAD SCHNEIDER

PAGE 69 OF 78

		Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DAV/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction											
		Purchase	Sale	Exchange			A \$1,001 - \$15,000	B \$15,001 - \$50,000	C \$50,001 - \$100,000	D \$100,001 - \$250,000	E \$250,001 - \$500,000	F \$500,001 - \$1,000,000	G \$1,000,001 - \$5,000,000	H \$5,000,001 - \$25,000,000	I \$25,000,001 - \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)	
SP, DC, JT	Asset																	
SP	BLACKROCK RES & COMMODITIES STRATEGY TR USD		X		X	9/17/12	X											
SP	DWS HIGH INCOME		X			1/23/12		X										
SP	EATON VANCE LTD DURATION INCOME FD		X		X	5/4/12	X											
SP	EATON VANCE SHORT DURATION DIVERSIFIED		X			7/20/12	X											
SP	EATON VANCE SHORT DURATION DIVERSIFIED		X			7/23/12	X											
SP	EATON VANCE TAX MANAGED DIVERSIFIED EQUITY INCOME FD	X				3/30/12		X										
SP	ELLSWORTH FUND		X			3/30/12		X										
SP	GDL FD CUMULATIVE PRD SER B		X			10/9/12	X											
SP	GUGENHEIM INV MUNI INCOME CLASS A		X		X	1/9/12	X											
SP	ISHARES BARCLAYS 7-10 YEAR TREASURY BOND FUND	X				12/12/12	X											
SP	ISHARES IBOXX INVESTMENT GRADE CORP BD FUND	X				2/1/12		X										
SP	ISHARES IBOXX INVESTMENT GRADE CORP BD FUND		X		X	11/20/12	X											
SP	ISHARES IBOXX INVESTMENT GRADE CORP BD FUND		X		X	12/12/12	X											
SP	ISHARES INC MSCI GLOBAL GOLD MINERS FD	X				5/22/12		X										
SP	ISHARES TR S&P 100 INDEX FUND		X			1/3/12	X											
SP	ISHARES TR S&P 100 INDEX FUND	X				1/24/12	X											
SP	ISHARES TR S&P 100 INDEX FUND	X				5/14/12		X										
SP	ISHARES TR S&P 100 INDEX FUND	X				6/26/12		X										
SP	ISHARES TR S&P 100 INDEX FUND	X				7/27/12		X										
SP	ISHARES TR S&P 100 INDEX FUND		X		X	9/24/12		X										

NAME: BRAD SCHNEIDER

PAGE 71 OF 78

[illegible]

NAME: BRAD SCHNEIDER

PAGE 74 OF 78

[illegible]

PAGE 75 OF 78

[illegible]

SCHEDULE V— LIABILITIES

Name **Bradley Scott Schneider**

Page **76** of **78**

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
	<i>Example:</i> First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE	\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Liability Over \$1,000,000*
JT	PNC Bank, Cleveland, OH	June, 2011	Home Equity Loan on Residence in Deerfield, IL				X							

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$350 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
<i>Example:</i> Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	\$375
NA		

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

[illegible]

SCHEDULE VIII—POSITIONS

Name Bradley Scott Schneider

Page 78 of 78

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Managing Member	Cadence Consulting Group, LLC
Managing Member	Lead-Out Capital Partners, LLC
Managing Member	Lead-Out Third Solutions Investment Partnership, LLC
Advisor	Project Hope for Ovarian Cancer
VP, Director	Brandon Dann Rotstein Memorial Foundation

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NA	

Use additional sheets if more space is required.