



Filing ID #10019325

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: John Ward
Status: Congressional Candidate
State/District: FL06

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2017
Filing Date: 01/14/2018
Period Covered: 01/01/2016– 12/14/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
AGNC Investment Corp. (AGNC)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$2,501 - \$5,000
American Midstream Partners, LP Common Units representing Limited Partner Interests (AMID)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	None
Annaly Capital Management Inc (NLY)		\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	\$5,001 - \$15,000
Apollo Investment Corporation - Closed End Fund (AINV)		\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Ares Capital Corporation - Closed End Fund (ARCC)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
ARMOUR Residential REIT, Inc. (ARR)		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	None
BlackRock Capital Investment Corporation (BKCC)		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Broadview Networks Holdings 10.5% Notes Due 2017		None	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
DESCRIPTION: Broadview Networks Corporate Bond					
Broadview Networks Holdings Inc (BVWN)		None	Capital Gains	\$1,001 - \$2,500	None
Capital Product Partners L.P. - common units representing limited partner interests (CPLP)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Capstead Mortgage Corporation (CMO)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Chatham Nautilus Trust ⇒ Former Residence of Parents		\$100,001 - \$250,000	None		
LOCATION: Chatham, MA, US					
DynCorp International 10.375% Notes 2017		None	Capital Gains, Interest	\$5,001 - \$15,000	\$5,001 - \$15,000
DESCRIPTION: DynCorp International corporate bond, redeemed April 2017 and no longer held					
Energy Transfer Partners, L.P. Common Units representing limited partner interests (ETP)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	None
Fidelity Conservative Income Bond Fund - FCONX		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Fidelity Government Cash Reserves Money Market Fund - FDRXX		\$50,001 - \$100,000	Interest	\$201 - \$1,000	\$1 - \$200
Fidelity Government Money Market Fund - SPAXX		\$50,001 - \$100,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Fidelity International Small Cap Fund - FISMV		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
Fidelity MA Aggressive Growth Portfolio - MA1000904		\$250,001 - \$500,000	None		
Fidelity Midcap Value Fund - FSMVX		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	None
Fidelity Rollover IRA 1 ⇒	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity Equity Income Fund (FEQIX)					
Fidelity Rollover IRA 1 ⇒ Fidelity Government Cash Reserves Money Market Fund (FDRXX)	SP	\$100,001 - \$250,000	Tax-Deferred		
Fidelity Rollover IRA 1 ⇒ Fidelity Low Priced Stock Fund (FLPSX)	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity Rollover IRA 2 ⇒ Fidelity Blue Chip Growth Fund (FBGRX)	SP	\$1,001 - \$15,000	Tax-Deferred		
Fidelity Select Biotech Fund - FBIOX		\$50,001 - \$100,000	Capital Gains	\$201 - \$1,000	None
Fidelity Select Software and IT Services Fund - FSCSX		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	None
Fidelity Small Cap Index Premium Class - FSSVX		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	None
Fifth Street Finance Corp. (FSC)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$15,001 - \$50,000
Global Geophysical Services LLC - CUSIP 37954W115		\$1 - \$1,000	Interest	None	\$201 - \$1,000
DESCRIPTION: Investment in stock no longer publicly traded					
Granite Point Mortgage Trust Inc. (GPMT)		\$1,001 - \$15,000	None		
Hercules Capital, Inc. (HTGC)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$1,001 - \$2,500
INVESCO MORTGAGE CAPITAL INC (IVR)		\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
iShares MSCI Emerging Markets ETF - EEM		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
KCAP Financial, Inc. - common stock (KCAP)		None	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Landmark Infrastructure Partners LP - Common Units (LMRK)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Linco, LLC Escrow - CUSIP 535ESC107		\$1 - \$1,000	None		
DESCRIPTION: Investment in stock no longer publicly traded					
Loan to Campaign		\$250,001 - \$500,000	None		
M/C VP V, LLC		\$100,001 - \$250,000	None		
LOCATION: Boston, MA, US					
DESCRIPTION: Investment Company					
Martin Midstream Partners L.P. - Common Units Representing Limited Partnership Interests (MMLP)		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	\$1,001 - \$2,500
Monroe Capital Corporation (MRCC)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	None
MTGE Investment Corp. (MTGE)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$15,001 - \$50,000
New Residential Investment Corp. (NRZ)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
New York Mortgage Trust, Inc. (NYMT)		\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	\$5,001 - \$15,000
Newtek Business Services Corp. (NEWT)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	None
Oaktree Specialty Lending Corporation (OCSL)		\$100,001 - \$250,000	None		
PennantPark Investment Corporation - common stock (PNNT)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Prospect Capital Corporation (PSEC)		\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
Solar Capital Ltd. (SLRC)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
TICC Capital Corp. - Closed End Fund (TICC)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
TPG Specialty Lending, Inc. (TSLX)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
Two Harbors Investment Corp (TWO)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Unit Economic Investors LLC ⇒ Asset Overwatch Services, LLC		\$1,001 - \$15,000	None		
LOCATION: Jacksonville, FL, US					
DESCRIPTION: Tech-enabled outsourced security services contract management firm					
Unit Economic Investors LLC ⇒ Clik Media, Inc.		\$15,001 - \$50,000	None		
LOCATION: Marblehead, MA, US					
DESCRIPTION: Software-as-a-Service based building directory service					
Unit Economic Investors LLC ⇒ Leviathan Group I, LLC		\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	\$5,001 - \$15,000
LOCATION: Atlanta, GA, US					
DESCRIPTION: Physical security services firm providing tech-enabled patrol services					
Unit Economic Investors LLC ⇒ Security Development Group, LLC		\$1,000,001 - \$5,000,000	Dividends	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
LOCATION: Jacksonville, FL, US					
DESCRIPTION: Physical security services firm providing tech-enabled patrol services					
Unit Economic Investors LLC ⇒ Special Security Services, LLC		\$500,001 - \$1,000,000	Dividends	\$50,001 - \$100,000	None
LOCATION: Jacksonville, FL, US					
DESCRIPTION: Video surveillance and monitoring services					
Unit Economic Investors LLC ⇒ Unit Economic Advisors, LLC		\$15,001 - \$50,000	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
LOCATION: Ponte Vedra Beach, FL, US					
DESCRIPTION: Investment advisory services and consulting					
USAA Federal Savings Bank Checking Account		\$15,001 - \$50,000	None		
USAA Federal Savings Bank Joint Checking Account	JT	\$1,001 - \$15,000	None		

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Cyprium Partners	Advisory Council Retainer	\$25,000.00	\$25,000.00
Key Bank	Salary and Incentive Compensation	\$300,000.00	\$350,000.00
Xactly Corporation	Director Fees and Incentives	\$140,000.00	\$181,000.00
Leviathan Group I, LLC	Consulting Fee	\$18,000.00	\$18,000.00
Define Studio, Jacksonville Beach, FL	Spouse Salary	N/A	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Bank of America	April 2017	Credit Card	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Executive Chairman and Board Member	Security Development Group, LLC
Executive Chairman and Board Member	Leviathan Group I, LLC
Executive Chairman and Board Member	Special Security Strategies, LLC
Board Member	Clik Media, Inc.
Executive Chairman and Board Member	Asset Overwatch Services, LLC
Board Member	Cornerstone Classical Education Foundation
Board Member and Treasurer	Ponte Vedra Athletic Association Lacrosse Club
Board Member	Xactly Corporation
Board Member	Fusion Media Holdings

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2017	United Healthcare, Key Bank, and Candidate	Health insurance continuation through June 30, 2018

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Cyprium Partners (Cleveland, OH, US)	Advisory Services through Unit Economic Advisors, LLC
Leviathan Group I, LLC (Atlanta, GA, US)	Advisory Services through Unit Economic Investors, LLC

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none">Chatham Nautilus TrustFidelity Rollover IRA 1 (Owner: SP)Fidelity Rollover IRA 2 (Owner: SP)Unit Economic Investors LLC LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: John Ward , 01/14/2018