



Filing ID #10018963

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Ken Romley
Status: Congressional Candidate
State/District: NC02

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2017
Filing Date: 01/17/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
401K ⇒ AF TRGT Date 2030 R3		\$15,001 - \$50,000	Tax-Deferred		
Berkshire Hathaway Inc. (BRK.A)		\$250,001 - \$500,000	None		
BofA Adv Tiered Interest Checking		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
BofA Core Checking	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200
BofA Interest Checking	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
BofA Regular Savings Account		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Loan to Ken Romley for Congress Campaign		\$250,001 - \$500,000	None		
Rollover IRA ⇒ Vanguard Windsor II Fund		\$250,001 - \$500,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Vanguard Health Care Fund		\$15,001 - \$50,000	Capital Gains, Dividends	None	\$5,001 - \$15,000
Vanguard International Growth		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Vanguard Prime Money Market Fund		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Vanguard REIT Index fund	DC	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Vanguard REIT Index Fund		\$15,001 - \$50,000	Dividends	None	\$1,001 - \$2,500
Vanguard Tax-Managed Capital Appreciation Fund		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Zift Solutions Inc.		\$500,001 - \$1,000,000	None		
DESCRIPTION: Stock in company I founded. Minority position (<5%).					

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Zift Solutions, Inc.	Salary for 2017	N/A	\$215,417.00
Zift Solutions, Inc.	Unused PTO - 2017	N/A	\$15,962.00
Zift Solutions, Inc.	Employment Agreement Payments	\$119,769.00	\$19,583.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Vanguard Brokerage	November 2017	Margin Account	\$100,001 - \$250,000
JT	Bank of America	Oct. 2013	Home Equity Line	\$50,001 - \$100,000
	BB&T	Oct 2015	Car Loan	\$15,001 - \$50,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	BofA Credit Card	March 2014	Credit card purchases	\$15,001 - \$50,000
SP	Saks Credit Card	March 2013	Credit card purchases	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Zift Solutions, Inc.
Board Observer	Zift Solutions, Inc.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none">◦ 401K◦ Rollover IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Ken Romley , 01/17/2018