

HAND
DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES
2018 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

2018 AUG 12 AM 11:21
(Office Use Only)

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

Name: W. J. Flores Daytime Telephone: 202-225-6120

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>TX</u> District: <u>12</u>	<input type="checkbox"/> Officer or Employee	<input type="checkbox"/> Employing Office:	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
REPORT TYPE	<input checked="" type="checkbox"/> 2018 Annual (Due: May 15, 2019)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination:	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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PS (over)

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: B. J. Jones

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII		
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	Federal Employee Thrift Savings Plan																																			P (Spouse)
	Alia																																			P (Spouse)
	Exhibit B																																			P (Spouse)
	Fidelity/Higher																																			P (Spouse)
	IRA																																			P (Spouse)
	(See Exhibit C and Notes 1 & 2)																																			P (Spouse)
	Sanders Opportunity Fund																																			P (Spouse)
	(See Note 3 and Exhibit D)																																			P (Spouse)
	Farm Bureau Life Ins Policy																																			P (Spouse)
	Fidelity CMAA																																			P (Spouse)
	Wells Fargo 1																																			P (Spouse)
	Wells Fargo 2																																			P (Spouse)

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Bill Jones

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
	TPH Partners LP (See Note 4 and Exhibits 64E)																					Cash													PS (part)
	TPH Partners L.P.																					Cash													PS (part)
	(See Note 4 and Exhibits 64H)																					Cash													PS (part)
	Real Estate -- Eulth County GA																					Cash													PS (part)
	AIM Associates MLP Fund L.A.--																					Cash													PS (part)
	Included in Fidelity/High Tower Investment																					Cash													PS (part)
	(See Exhibit 4)																					Cash													PS (part)
	AIM Bridge LLC --																					Cash													PS (part)
	Included in Fidelity/High Tower Investment																					Cash													PS (part)
	(See Exhibit 4)																					Cash													PS (part)

SCHEDULE B - TRANSACTIONS

Name: Bill Flores

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	SP	Example	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly Identify, if applicable	Amount of Transaction										
				Purchase	Sale	Partial Sale	Exchange			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$1,999,999	H \$2,000,000-\$5,000,000	I \$5,000,001-\$25,000,000	J Over \$25,000,000	K Over \$1,000,000* (Spouse/DC Asset)
			Fidelity/HighTower Joint Account --			X		X	2018		X									
			Purchases	X																
			Sales/Distributions		X															
			(See Note 1 & Exhibit A)																	
			Federal Employee Thrift Plan --	X	X	X	X				X									
			(See Exhibit B)																	
			Fidelity/HighTower IRA --	X																
			Purchases																	
			Sales/Distributions		X															
			(See Note 1 & Exhibit C)																	
			Sanders Opportunity Fund --																	
			Distributions																	
			(See Note 3)																	

SCHEDULE B - TRANSACTIONS

Name:

Bill Hones

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly Month, or 1st Quarter, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP, DC, JT	Asset																	
SP	Example: Mega Corp. Stock			X		X	2/28/18		X									
	THH Partners I LP--		X							X								
	Cash Calls			X							X							
	Distributions			X								X						
	(See Note 4 & Exhibit E)																	
	THH Partners II LP--		X								X							
	Cash Calls			X								X						
	Distributions			X									X					
	(See Note 4 & Exhibit G)																	
	AIM MLP Associates Fund LP--			X		X												
	Distributions												X					
	(See Note 5 & Exhibit A)																	
	AIM Bridge LLC --			X		X												
	Distributions												X					
	(See Note 5 & Exhibit A)																	

SCHEDULE D - LIABILITIES

Name: Bill Jones

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/16	Mortgage on Rental Property, Dover, DE				X							
	BNY Mortgage	07/15	Mortgage -- TX A.S.							X				
	BNY Mortgage	07/15	Mortgage -- CO A.S.						X					
	Amerihome Mortgage	12/16	Mortgage -- GA											
	See Note 6		Investment Property					X						
	Chase MasterCard	2018	See Note 7	X										

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization

SCHEDULE D - LIABILITIES

Name:

B:11 7/02

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/16	Mortgage on Rental Property, Dover, DE				X							
	Chase Master Card	10/18	See Note 7	X										
	Citi Bank MasterCard	2018	See Note 7	X										
	Capital One MasterCard	2018	See Note 7	X										
	Federal TSP Loan	6/16	TSP Loan		X									

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Trustee	Houston Baptist University (Non-compensated)
Director	Private Enterprise Research Center (Non-compensated)
Director	Congressional Hispanic Leadership Institute (Non-compensated)

SCHEDULE F - AGREEMENTS

Name: Bill Flores

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
None		

SCHEDULE G - GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$390 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives; gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent); local meals; and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$156 or less need not be added towards the \$390 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	\$400
None		

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EXCLUDE. Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]

Name: Bill Flores

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[illegible]

FILER NOTES
(Optional)

Name:

Bill Flones

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NOTE NUMBER	NOTES
1	<p>The 3a accounts are managed by HighTower Advisors pursuant to an asset allocation model that is periodically updated. All investment decisions are independently executed by HighTower to achieve the objectives set forth in the asset allocation model. HighTower reports transactions monthly. The account custodian is Fidelity Investments.</p> <p>2 HighTower Advisors assumed the operations of Salient Investment Advisors in 2018.</p> <p>3 Sanders Opportunity Fund is a private equity fund which has matured and which is being liquidated.</p> <p>4 TPH Partners LLD and TPH Partners LLC are private equity funds in which we are passive investors.</p> <p>5 AIM MLP Associates Fund LP and AIM Bridge LLC are private equity investments held in the Fidelity HighTower joint account</p>

Name: M. J. Jones Page 14 of 34

[illegible]

Position Performance

WILLIAM H FLORES - Joint WROS () US Equity - Joint)

From December 31, 2017 to December 31, 2018

Exhibit 4 (XLS)

Symbol	Description	12/31/2017 Value	Inflows	Outflows	Net Flows	Change In Value of Securities Sold	Change In Value of Securities Held	Income/ Expenses	12/31/2018 Value
Cash									
FDUXX	Fidelity Treasury DMC	\$2,837	\$279,582	(\$267,267)	\$12,315	\$0	\$0	(\$5,348)	\$9,804
Money Market									
Money Market Total		\$2,837	\$279,582	(\$267,267)	\$12,315	\$0	\$0	(\$5,348)	\$9,804
Equity									
Large Cap Equities									
MMM	3M Co	\$4,237	\$8,535	(\$239)	\$8,296	\$0	(\$2,101)	\$239	\$10,670
MO	Altria Group Inc	\$6,427	\$0	(\$8,501)	(\$8,501)	(\$9)	\$0	\$83	\$0
AAPL	Apple Inc	\$4,400	\$5,561	(\$1,000)	\$4,560	\$25	(\$744)	\$129	\$8,360
T	AT&T Inc	\$7,367	\$14,911	(\$952)	\$13,959	\$0	(\$4,946)	\$952	\$17,352
ADP	Automatic Data Processing Inc	\$5,977	\$8,025	(\$889)	\$7,136	\$21	\$1,663	\$282	\$15,079
COF	Capital One Financial Corp	\$5,377	\$7,373	(\$1,212)	\$6,161	\$56	(\$2,759)	\$160	\$8,995
CL	Colgate-Palmolive Co	\$6,187	\$9,282	(\$1,050)	\$8,232	\$9	(\$2,453)	\$286	\$12,261
EMR	Emerson Electric Co	\$4,390	\$5,850	(\$594)	\$5,256	\$14	(\$1,587)	\$231	\$8,305
XOM	Exxon Mobil Corp	\$5,688	\$8,282	(\$488)	\$7,794	\$0	(\$1,900)	\$488	\$12,070
GIS	General Mills Inc	\$7,352	\$10,354	(\$13,838)	(\$3,484)	(\$3,829)	\$0	\$81	\$0
GIS	General Mills Inc	\$0	\$0	(\$147)	(\$147)	\$0	\$0	\$147	\$0
GIS	General Mills Inc	\$0	\$13,698	(\$319)	\$13,379	\$0	(\$1,042)	\$319	\$12,656
GILD	Gilead Sciences Inc	\$0	\$10,077	(\$285)	\$9,792	\$0	(\$2,259)	\$285	\$7,819
INTC	Intel Corp	\$5,078	\$7,395	(\$275)	\$7,119	\$0	\$152	\$275	\$12,624
IBM	International Business Machines Corp	\$5,083	\$7,347	(\$426)	\$6,921	\$0	(\$3,318)	\$426	\$9,094
IVK	iShares US Consumer Goods	\$0	\$12,495	(\$12,560)	(\$65)	\$65	\$0	\$0	\$0
JNJ	Johnson & Johnson	\$6,567	\$0	(\$856)	(\$856)	\$9	(\$448)	\$149	\$5,420
JPM	JPMorgan Chase & Co	\$0	\$11,694	(\$194)	\$11,500	\$0	(\$1,835)	\$194	\$9,860
LMT	Lockheed Martin Corp	\$9,632	\$11,172	(\$2,115)	\$9,056	\$51	(\$4,535)	\$459	\$14,663
MA	Mastercard Inc A	\$4,541	\$6,489	(\$56)	\$6,431	\$0	\$1,610	\$56	\$12,840
MCD	McDonald's Corp	\$6,713	\$10,497	(\$440)	\$10,057	\$0	\$1,435	\$440	\$18,845
PEP	PepsiCo Inc	\$6,965	\$11,390	(\$495)	\$10,895	\$0	(\$337)	\$495	\$18,008
PM	Phillip Morris International Inc	\$4,332	\$7,494	(\$438)	\$7,055	\$0	(\$4,282)	\$438	\$7,544
TPR	Tapestry Inc	\$6,236	\$0	(\$6,789)	(\$6,789)	\$484	\$0	\$68	\$0

Position Performance

WILLIAM H FLORES - Joint WROS US Equity - Joint)

From December 31, 2017 to December 31, 2018

Exhibit A (2x6)

Symbol	Description	12/31/2017 Value	Inflows	Outflows	Net Flows	Change in Value of Securities Sold	Change in Value of Securities Held	Income/ Expenses	12/31/2018 Value
Equity									
Large Cap Equities									
TXN	Texas Instruments Inc	\$0	\$10,833	(\$207)	\$10,626	\$0	(\$1,100)	\$207	\$9,734
HD	The Home Depot Inc	\$0	\$11,083	(\$280)	\$11,423	\$0	(\$858)	\$280	\$10,825
TX	TX Companies Inc	\$5,864	\$8,166	(\$241)	\$7,924	\$0	\$2,425	\$241	\$16,564
UPS	United Parcel Service Inc Class B	\$5,719	\$8,861	(\$1,041)	\$7,840	\$68	(\$1,618)	\$386	\$12,386
Large Cap Equities Total		\$12,583	\$37,843	(\$2,568)	\$35,275	\$68	(\$3,501)	\$7,714	\$64,495
International Developed Equities									
ACN	Accenture PLC A	\$5,511	\$6,701	(\$1,004)	\$5,697	\$38	(\$1,284)	\$201	\$10,153
LYB	LyondellBasell Industries NV	\$5,847	\$8,083	(\$1,060)	\$7,013	\$41	(\$3,243)	\$488	\$10,146
STX	Seagate Technology PLC	\$2,761	\$0	(\$3,590)	(\$3,590)	\$771	\$0	\$67	\$0
International Developed Equities Total		\$13,840	\$14,784	(\$4,654)	\$10,129	\$809	(\$4,507)	\$756	\$20,308
Equity Total		\$138,340	\$242,267	(\$59,582)	\$182,685	(\$2,295)	(\$35,373)	\$8,503	\$291,861

Position Performance

WILLIAM H FLORES - Joint WROS

Taxable Bonds - Joint

From December 31, 2017 to December 31, 2018

Exh. 6.7 A (3x2)

Symbol	Description	12/31/2017 Value	Inflows	Outflows	Net Flows	Change in Value of Securities Sold	Change in Value of Securities Held	Income/ Expenses	12/31/2018 Value
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Cash									
Money Market									
FDJXX	Fidelity Treasury DMC	\$70,990	\$048,159	(\$670,097)	(\$21,938)	\$0	\$0	(\$1,450)	\$53,601

	Money Market Total	\$70,990	\$048,159	(\$670,097)	(\$21,938)	\$0	\$0	(\$1,450)	\$53,601
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Fixed Income

High Yield Bonds									
EIFAX	Eaton Vance Floating-Rate Advantage I	\$0	\$120,000	(\$122,043)	(\$2,043)	\$34	\$0	\$2,008	\$0

EIFAX	Eaton Vance Floating-Rate Advantage I	\$0	\$82,234	(\$80,674)	\$21,561	\$0	(\$1,117)	\$674	\$21,118
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FFRIHX	Fidelity Floating Rate High Income	\$0	\$120,000	(\$121,290)	(\$1,290)	(\$143)	\$0	\$1,433	\$0
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	High Yield Bonds Total	\$0	\$322,234	(\$304,006)	\$18,229	(\$109)	(\$1,117)	\$4,115	\$21,118
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Position Performance

WILLIAM H FLORES - Joint WROS

From December 31, 2017 to December 31, 2018

Real Assets - Joint

Exhibit 6.7A (4x6)

Symbol	Description	12/31/2017 Value	Inflows	Outflows	Net Flows	Change in Value of Securities Sold	Change in Value of Securities Held	Income/ Expenses	12/31/2018 Value
Cash									
Money Market									
FDUXX	Fidelity Treasury DMC	\$2	\$866,134	(\$862,152)	\$3,981	\$0	\$0	(\$1,809)	\$2,174
MLPs									
Real Assets									
MLPs									
MLP000000	Saltent MLP Fund, L.P.	\$1,440,902	\$0	(\$650,000)	(\$650,000)	\$0	(\$147,026)	\$0	\$643,876
Other									
Private Equity									
AIMASSFUN	American Infrastructure MLP Associates Fund, LP	\$165,510	\$0	(\$5,816)	(\$5,816)	\$0	\$14,165	\$0	\$173,859
Total									
		\$1,606,414	\$866,134	(\$867,968)	(\$5,816)	\$0	(\$132,861)	(\$1,809)	\$619,899

Position Performance

WILLIAM H FLORES - Joint WROS

From December 31, 2017 to December 31, 2018

Exhib. 6.2A (5x)

Symbol	Description	12/31/2017 Value	Inflows	Outflows	Net Flows	Change In Value		Income/ Expenses	12/31/2018 Value
						of Securities Sold	of Securities Held		
Cash									
Money Market									
FCASH	Cash	\$0	\$35,000	(\$35,000)	\$0	\$0	\$0	\$0	\$0
Money Market Total									
		\$0	\$00,000	(\$00,000)	\$0	\$0	\$0	\$0	\$0
Fixed Income									
High Yield Bonds									
EIFAX	Easton Vance Floating-Rate Advantage I	\$0	\$82,234	(\$82,234)	\$0	\$0	\$0	\$0	\$0
High Yield Bonds Total									
		\$0	\$02,000	(\$00,000)	\$0	\$0	\$0	\$0	\$0
Total									
		\$0	\$10,000	(\$10,000)	\$0	\$0	\$0	\$0	\$0

Position Performance

WILLIAM H FLORES ()

From December 31, 2017 to December 31, 2018

Exhibit A (6x1) ☐ 20

HIGHTOWER

Symbol	Description	12/31/2017 Value	Inflows	Outflows	Net Flows	Change in Value of Securities Sold	Change in Value of Securities Held	Income/ Expenses	12/31/2018 Value
Other									
Private Equity									
AMBRIDGO	AM Bridge LLC	\$59,980	\$0	(\$673)	(\$673)	\$0	\$3,072	\$0	\$62,379
Private Equity Total									
		\$59,980	\$0	(\$673)	(\$673)	\$0	\$3,072	\$0	\$62,379
Other									
THH00000	THH Partners II, L.P.	\$189,467	\$29,108	\$0	\$29,108	\$0	\$29,000	(\$1,285)	\$219,098
THH00000	THH Partners, L.P.	\$167,044	\$0	\$0	\$0	\$0	(\$16,925)	(\$170)	\$150,104
Other Total									
		\$356,511	\$29,108	\$0	\$29,108	\$0	\$12,075	(\$1,455)	\$384,214
Other Total									
		\$356,511	\$29,108	\$0	\$29,108	\$0	\$12,075	(\$1,455)	\$384,214

Exhibit B (1x4) 21



Thrift Savings Plan

WILLIAM H. FLORES



Your 2018 Annual Statement

Account Number:



Date of Birth: [Redacted]
Retirement Coverage: FERS
Employment Status: Active
Beneficiary Named: Yes
Service Required for Full Vesting: 2 years from 01/03/2011

How your account value changed in 2018

Value on January 1, 2018	\$228,970.55
Change in value this year	23,641.71
Value on December 31, 2018	\$252,612.26

Will you be ready for retirement?

Your 12/31/2018 TSP account balance would provide you a lifetime monthly amount of

\$1,484*

*This estimate:

- assumes that you are age 62 (or your current age if you are older);
- uses an annuity interest rate index of 3.250%; and
- assumes that you took a single life annuity with level payments and no additional features.

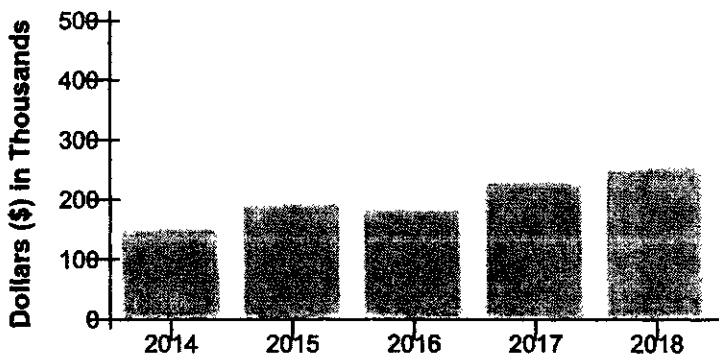
Note: The above annuity estimate is not a guarantee. You can use the "Retirement Income Calculator" on the TSP's website to learn more about your available annuity options.

Questions? Visit tsp.gov

ThriftLine: 1-877-968-3778
Outside the U.S. and Canada: 404-233-4400
TDD: 1-877-847-4385
YouTube: [youtube.com/tsp4gov](https://www.youtube.com/tsp4gov)
Twitter: @tsp4gov
Facebook: [fb.com/tsp4gov](https://www.facebook.com/tsp4gov)

To correct any of your personal information, contact your agency.

Your 5-Year Account Balance History



A MESSAGE FOR YOU

If you're thinking about moving the money in your TSP account to another provider, think twice. You're almost certain to pay higher fees if you move your money out of the TSP. Visit tsp.gov/keepingscore for a list of questions you should consider asking the provider. Then, compare the answers to the TSP.



Keep your account secure; review your account profile.

Custom ID Created: 02/21/2011
Web Password Date: 08/12/2014
ThriftLine PIN Date: 02/20/2011
Quarterly Statements: Electronic
Annual Statements: Mailed

A Summary of Your Account Activity in 2018

Beginning Balance as of January 1, 2018	\$228,970.55
Contributions	33,200.00
Transfers/Rollovers	0.00
Loan Disbursements	0.00
Loan Payments	10,495.80
In-Service Withdrawals	0.00
Investment Gain/Loss	- 20,054.09
Post-Separation Withdrawals	0.00
Ending Balance as of December 31, 2018	\$252,612.26

Contributions to Your Account in 2018 (Without Adjustments)

Employee Contributions ¹		Agency Contributions		Transfers/Rollovers Into the TSP		
Traditional	Roth	Automatic 1%	Matching	Traditional	Roth	Total
\$24,500.00	\$0.00	\$1,740.00	\$6,960.00	\$0.00	\$0.00	\$33,200.00

¹ Includes regular, catch-up, makeup, and late contributions. The traditional contribution amount includes \$6,000.00 in traditional catch-up contributions. The Roth contribution amount includes \$0.00 in Roth catch-up contributions.

Your Lifetime TSP Contributions

Traditional	Roth	Catch-Up ²	Total
\$140,984.00	\$0.00	\$45,988.00	\$186,972.00

This section reflects your contributions from all periods of service.

² Includes traditional and Roth balances.

Your Primary Beneficiary Information (As you designated on 03/30/2011)

Name	Share
[REDACTED]	



The Federal Retirement Thrift Investment Board submits an annual report to Congress each year on the operations of the Thrift Savings Plan, including participant demographics and contribution information. To read the report, visit frib.gov.

Your Account Distribution on 12/31/2018

Funds for Diversified Growth and Stability

L 2050 Fund	0%
L 2040 Fund	0%
L 2030 Fund	0%
L 2020 Fund	0%
L Income Fund	7%

Funds for Stability

G Fund — Government Securities Investment Fund	0%
F Fund — Fixed Income Index Investment Fund	7%

Funds for Long-Term Growth

C Fund — Common Stock Index Investment Fund	30%
S Fund — Small Capitalization Stock Index Investment Fund	28%
I Fund — International Stock Index Investment Fund	28%

Your Investment Allocation for Future Contributions

Funds for Diversified Growth and Stability

L 2050 Fund	0%
L 2040 Fund	0%
L 2030 Fund	0%
L 2020 Fund	0%
L Income Fund	50%

Funds for Stability

G Fund — Government Securities Investment Fund	0%
F Fund — Fixed Income Index Investment Fund	50%

Funds for Long-Term Growth

C Fund — Common Stock Index Investment Fund	0%
S Fund — Small Capitalization Stock Index Investment Fund	0%
I Fund — International Stock Index Investment Fund	0%

TSP Fund Performance (As of 12/31/2018)

	Individual TSP Funds					Lifecycle (Target Date) Funds					Your Rates of Return ³
	G Fund	F Fund	C Fund	S Fund	I Fund	L 2050	L 2040	L 2030	L 2020	L Income	
1-Year	2.91%	0.15%	-4.41%	-9.26%	-13.43%	-6.02%	-4.89%	-3.58%	-0.36%	0.71%	-7.06%
3-Year	2.35%	2.28%	9.26%	7.67%	3.50%	6.65%	6.22%	5.75%	4.90%	3.47%	-1.30%
5-Year	2.28%	2.88%	8.53%	5.49%	0.88%	5.33%	5.10%	4.79%	4.22%	3.21%	0.88%

³ Your personal rates of return are calculated with a time-weighted formula, widely used by financial analysts to calculate investment earnings. They reflect the results of your investment selections as well as activity in your account. There are other personal rate of return formulas used that may yield different results. Remember that past performance is no guarantee of future results.

TSP Administrative Expenses for 2018

	G Fund	F Fund	C Fund	S Fund	I Fund	L 2050	L 2040	L 2030	L 2020	L Income
Cost Per \$1,000 in Your Account	40¢	41¢	41¢	40¢	41¢	40¢	40¢	40¢	40¢	40¢
Net Expense Ratio	.040%	.041%	.041%	.040%	.041%	.040%	.040%	.040%	.040%	.040%

Did you know that you only paid 40 cents for every \$1,000 in your TSP account in 2018?

* This is an average net administrative expense of each of the 5 core TSP funds. A detailed explanation of 2018 expenses as well as fees associated with securities lending will be available in the April 2019 Highlights.

Fund	Beginning Balance	Contributions and Additions	Withdrawals and Deductions	Interfund Transfers	Investment Gain/Loss	Ending Balance
G Fund	\$228,970.55	\$7,283.30	\$0.00	– \$236,844.74	\$590.89	\$0.00
F Fund	0.00	18,206.30	0.00	0.00	340.04	18,546.34
C Fund	0.00	0.00	0.00	78,158.77	– 3,767.53	74,391.24
S Fund	0.00	0.00	0.00	78,158.76	– 6,521.85	71,636.91
I Fund	0.00	0.00	0.00	80,527.21	– 10,552.15	69,975.06
L Income Fund	0.00	18,206.20	0.00	0.00	– 143.49	18,062.71
Total	\$228,970.55	\$43,695.80	\$0.00	\$0.00	– \$20,054.09	\$252,612.26

Fund	Beginning Balance	Investment Gain/Loss	Ending Balance	Beginning Price	Shares Number	Ending Price	Shares Number
G Fund	\$228,970.55	\$590.89	\$0.00	\$15.5405	14,733.7955	\$15.9923	0.0000
F Fund	0.00	340.04	18,546.34	18.1150	0.0000	18.1428	1,022.2424
C Fund	0.00	– 3,767.53	74,391.24	37.6103	0.0000	35.9523	2,069.1648
S Fund	0.00	– 6,521.85	71,636.91	48.4661	0.0000	43.9772	1,628.9557
I Fund	0.00	– 10,552.15	69,975.06	30.8556	0.0000	26.7131	2,619.5037
L Income Fund	0.00	– 143.49	18,062.71	19.5506	0.0000	19.6899	917.3591
Total	\$228,970.55	– \$20,054.09	\$252,612.26				

	Loan Number
	[REDACTED]
Loan Issue Date	06/23/2016
Beginning Principal	\$36,302.11
Principal Repaid ⁴	9,902.09
Ending Principal	\$26,400.02
Interest Paid	593.71

⁴ Principal repaid includes corrections to any loan disbursements or loan payments.

Position Performance

WILLIAM H FLORES-Rollover IRA [REDACTED] Equity Funds - IRA)

From December 31, 2017 to December 31, 2018

Exhibit C (1X52) [25]

HIGHTOWER

Symbol	Description	12/31/2017 Value	Inflows	Outflows	Net Flows	Change in Value of Securities Sold	Change in Value of Securities Held	Income/ Expenses	12/31/2018 Value
Cash									
Money Market									
FDUXX	Fidelity Treasury DMC	\$7,566	\$115,725	(\$100,000)	\$15,725	\$0	\$0	(\$14,321)	\$8,970
Money Market Total									
		\$7,566	\$115,725	(\$100,000)	\$15,725	\$0	\$0	(\$14,321)	\$8,970
Equity									
Large Cap Equities									
DFLVX	DFA US Large Cap Value I	\$524,121	\$0	(\$80,582)	(\$80,582)	\$8,111	(\$78,395)	\$9,582	\$382,838
Large Cap Equities Total									
		\$524,121	\$0	(\$80,582)	(\$80,582)	\$8,111	(\$78,395)	\$9,582	\$382,838
Small Cap Equities									
DFSTX	DFA US Small Cap I	\$81,985	\$0	(\$765)	(\$765)	\$2,739	(\$14,171)	\$765	\$70,554
DFPFX	DFA US Targeted Value I	\$104,154	\$0	(\$1,023)	(\$1,023)	\$3,915	(\$21,234)	\$1,023	\$86,835
BSMIX	iShares Russell Small/Mid-Cap Idx K	\$42,112	\$0	(\$580)	(\$580)	\$1,013	(\$5,807)	\$580	\$37,317
Small Cap Equities Total									
		\$228,250	\$0	(\$2,368)	(\$2,368)	\$7,666	(\$41,213)	\$2,368	\$194,705
International Developed Equities									
DFIVX	DFA International Value I	\$401,051	\$0	(\$10,311)	(\$10,311)	\$10,255	(\$89,544)	\$10,311	\$321,782
International Developed Equities Total									
		\$401,051	\$0	(\$10,311)	(\$10,311)	\$10,255	(\$89,544)	\$10,311	\$321,782
Emerging Equities									
DPEVX	DFA Emerging Markets Value I	\$115,089	\$0	(\$2,484)	(\$2,484)	\$0	(\$16,107)	\$2,484	\$98,983
Emerging Equities Total									
		\$115,089	\$0	(\$2,484)	(\$2,484)	\$0	(\$16,107)	\$2,484	\$98,983

Exhibit C (LXJ) [22]

William H Flores

HIGHTOWER

Position Performance

WILLIAM H FLORES-Rollover IRA [REDACTED] MLP GP - IRA)

From December 31, 2017 to December 31, 2018

Symbol	Description	12/31/2017 Value	Inflows	Outflows	Net Flows	Change in Value of Securities Sold	Change in Value of Securities Held	Income/ Expenses	12/31/2018 Value
Cash									
Money Market									
FDUXX	Fidelity Treasury DMC	\$3,184	\$484,927	(\$473,303)	\$11,624	\$0	\$0	(\$9,277)	\$5,511
Money Market Total		\$3,184	\$484,927	(\$473,303)	\$11,624	\$0	\$0	(\$9,277)	\$5,511
Real Assets									
MLPs									
ANDX	Andeavor Logistics Lp Com Unit Lp Int	\$17,460	\$0	(\$18,757)	(\$18,757)	(\$1,617)	\$914	\$0	\$0
ANDX	Andeavor Logistics Lp Com Unit Lp Int	\$0	\$0	(\$358)	(\$358)	\$0	\$0	\$358	\$0
AM_OLD	Antero Midstream Corporation	\$33,483	\$17,308	(\$24,192)	(\$8,884)	\$1,374	(\$8,526)	\$327	\$21,775
BPMP	Bp Midstream Partners Lp Unit Ltd Pfr	\$28,288	\$20,880	(\$10,637)	\$10,343	(\$183)	(\$8,410)	\$0	\$30,039
LNG	Cheniere Energy Inc	\$0	\$45,210	\$0	\$45,210	\$0	(\$3,362)	\$0	\$41,847
ETE	Energy Transfer Equity LP	\$48,468	\$3,805	(\$54,272)	(\$50,467)	(\$3,325)	\$5,325	\$0	\$0
ET	Energy Transfer LP	\$0	\$77,243	(\$1,341)	\$75,902	(\$2)	(\$14,817)	\$0	\$81,083
ETP	Energy Transfer Partners LP	\$30,554	\$12,868	(\$53,610)	(\$40,742)	(\$2,991)	\$13,180	\$0	\$0
ENLK	EnLink Midstream Partners LP	\$0	\$28,685	(\$32,434)	(\$3,869)	\$1,070	\$2,800	\$0	\$0
EPD	Enterprise Products Partners LP	\$80,867	\$19,098	(\$15,763)	\$3,333	\$537	(\$2,623)	\$0	\$82,114
EQM	EQM Midstream Partners LP	\$0	\$11,682	(\$11,804)	\$189	(\$467)	\$298	\$0	\$0
GEL	Genesee Energy LP	\$40,811	\$13,057	(\$25,184)	(\$12,107)	(\$29)	(\$2,244)	\$0	\$28,431
MPLX	MPLX LP Partnership Units	\$22,807	\$30,561	(\$28,760)	\$3,801	\$133	(\$1,683)	\$0	\$25,068
NBLX	Noble Midstream Partners LP	\$30,300	\$9,978	(\$33,193)	(\$23,214)	(\$6,886)	(\$200)	\$0	\$0
NBLX	Noble Midstream Partners LP	\$0	\$17,210	\$0	\$17,210	\$0	(\$3,021)	\$0	\$14,189
OKE	ONEOK Inc	\$28,184	\$0	(\$36,226)	(\$36,226)	\$6,179	\$0	\$863	\$0
PBA	Pembina Pipeline Corp	\$0	\$31,524	(\$12,811)	\$18,713	(\$911)	(\$2,779)	\$257	\$15,280
PBXCP	Phillips 66 Partners LP	\$37,901	\$4,907	(\$13,396)	(\$8,489)	(\$378)	(\$3,515)	\$0	\$25,519
PAA	Plains All American Pipeline LP	\$0	\$83,469	(\$5,787)	\$77,682	(\$995)	(\$7,008)	\$0	\$49,679
RMP	Rico Midstream Partners LP	\$24,261	\$2,689	(\$23,768)	(\$21,078)	(\$3,728)	\$0	\$545	\$0
SEMG	Sengroup Corp	\$0	\$5,720	\$0	\$5,720	\$0	(\$787)	\$0	\$4,933
SHLX	Shell Midstream Partners LP	\$51,818	\$9,244	(\$13,542)	(\$4,298)	(\$3,418)	(\$19,014)	\$2,467	\$27,365
TEGP	Tadgrass Energy GP LP	\$23,088	\$3,528	(\$23,985)	(\$20,470)	(\$3,432)	\$0	\$813	\$0

Position Performance

WILLIAM H FLORES-Rollover IRA [REDACTED] MLP GP - (IRA)

From December 31, 2017 to December 31, 2018

Symbol	Description	12/31/2017 Value	Inflows	Outflows	Net Flows	Change in Value of Securities Sold	Change in Value of Securities Held	Income/ Expenses	12/31/2018 Value
Real Assets									
MLPs									
TGE	Talgrass Energy LP A	\$0	\$18,886	(\$18,105)	(\$1,206)	\$1,206	\$0	\$0	\$0
TGE	Talgrass Energy LP A	\$0	\$0	(\$272)	(\$272)	\$0	\$0	\$272	\$0
TEP	Talgrass Energy Partners LP	\$40,990	\$3,313	(\$40,486)	(\$37,182)	(\$1,571)	(\$2,237)	\$0	\$0
TRGP	Targa Resources Corp	\$0	\$58,858	(\$3,886)	\$55,163	\$190	(\$13,718)	\$1,373	\$43,008
TRP	TransCanada Corp	\$0	\$12,768	(\$11,066)	\$890	(\$880)	\$0	\$0	\$0
VLP	Valero Energy Partners LP	\$0	\$11,408	(\$13,174)	(\$1,766)	\$1,428	\$0	\$338	\$0
WGP	Western Gas Equity Partners LP	\$28,130	\$0	(\$30,187)	(\$30,187)	\$2,087	\$0	\$0	\$0
WGP	Western Gas Equity Partners LP	\$0	\$0	(\$334)	(\$334)	\$0	\$0	\$334	\$0
WGP	Western Gas Equity Partners LP	\$0	\$21,705	(\$18,983)	\$2,712	(\$3,063)	\$0	\$361	\$0
WGP	Western Gas Equity Partners LP	\$0	\$0	(\$278)	(\$278)	\$0	\$0	\$278	\$0
WES_OLD	Western Gas Partners, LP	\$0	\$540	(\$546)	(\$6)	\$8	\$0	\$0	\$0
WMB	Williams Companies Inc	\$25,947	\$49,919	(\$11,284)	\$38,634	(\$985)	(\$17,580)	\$1,961	\$48,287
WPZ	Williams Partners LP	\$24,703	\$3,275	(\$36,432)	(\$32,157)	\$122	\$7,332	\$0	\$0
MLPs Total		\$696,860	\$607,221	(\$620,083)	(\$12,862)	(\$20,286)	(\$77,672)	\$10,539	\$496,578

Exhibit C Q30 [27]

Position Performance

WILLIAM H FLORES-Rollover IRA Taxable Bonds - IRA

From December 31, 2017 to December 31, 2018

Symbol	Description	12/31/2017 Value	Inflows	Outflows	Net Flows	Change in Value of Securities Sold	Change in Value of Securities Held	Income/ Expenses	12/31/2018 Value
Cash									
Money Market									
FUXX	Fidelity Treasury DMC	\$4,092	\$746,515	(\$734,872)	\$11,643	\$0	\$0	(\$7,907)	\$7,828
Money Market Total		\$4,092	\$746,515	(\$734,872)	\$11,643	\$0	\$0	(\$7,907)	\$7,828
Fixed Income									
Corporate Bonds									
00208RDC3	AAAI Inc 04/01/2024 4.450% Call 01/01/2024 100.00	\$0	\$77,751	(\$3,336)	\$74,414	\$0	(\$1,507)	\$3,336	\$76,244
Accrued Income		-	\$1,289	-	\$1,289	-	-	-	\$834
DBLTX	Douglasline Total Return Bond I	\$183,697	\$0	(\$181,805)	(\$181,805)	(\$2,429)	\$0	\$637	\$0
389822SM8	General Electric Co 02/1/2021 5.300%	\$0	\$79,691	(\$1,989)	\$77,694	\$0	(\$4,588)	\$1,988	\$75,093
Accrued Income		-	\$55	-	\$55	-	-	-	\$1,546
37046XBD7	General Motors Financial Co Inc 11/24/2020 3.700% Call 10/24/2020 100.00	\$0	\$76,258	(\$2,775)	\$73,483	\$0	(\$1,561)	\$2,775	\$74,697
Accrued Income		-	\$632	-	\$632	-	-	-	\$265
42824CAW9	Hewlett Packard Enterprise Co 10/15/2025 4.900% Call 07/15/2025 100.00	\$0	\$78,070	(\$3,675)	\$74,395	\$0	(\$2,481)	\$3,675	\$75,590
Accrued Income		-	\$1,358	-	\$1,358	-	-	-	\$776
LCD	iShares Bond & Investment Grade Corporate Bond ETF	\$176,019	\$0	(\$171,635)	(\$171,635)	(\$4,384)	\$0	\$0	\$0
LCD	iShares Bond & Investment Grade Corporate Bond ETF	\$0	\$0	(\$465)	(\$465)	\$0	\$0	\$465	\$0
49468BAE1	Kinder Morgan Inc DE 12/01/2019 3.080% Call 11/01/2019 100.00	\$0	\$50,207	(\$1,525)	\$48,682	\$0	(\$495)	\$1,525	\$48,712
Accrued Income		-	\$339	-	\$339	-	-	-	\$127
LSIX	Loomis Sayles Investment Grade Bond Y	\$216,462	\$0	(\$216,940)	(\$216,940)	\$186	\$0	\$292	\$0
61945CACT	Mosaic Co New Sr NT 4.250% 11/15/2023 4.250% Call 09/15/2023 100.00	\$0	\$51,784	(\$2,125)	\$49,659	\$0	(\$1,528)	\$2,125	\$50,257
Accrued Income		-	\$608	-	\$608	-	-	-	\$272

Exhibit C (4x5) 28

Position Performance

WILLIAM H FLORES-Rollover IRA - Taxable Bonds - (IRA)

From December 31, 2017 to December 31, 2018

Exhibit C (5x3) 29

Symbol	Description	12/31/2017 Value	Inflows	Outflows	Net Flows	Change in Value of Securities Sold	Change in Value of Securities Held	Income/ Expenses	12/31/2018 Value
Fixed Income									
Corporate Bonds									
BND	Vanguard Total Bond Market ETF	\$180,578	\$0	(\$148,312)	(\$148,312)	(\$2,583)	\$0	\$326	\$0
94674BFC9	Wells Fargo & Co 03/08/2022 3.500% Accrued Income	\$0	\$75,886	(\$2,825)	\$73,063	\$0	(\$938)	\$2,625	\$74,750
			\$1,182	-	\$1,182				\$924

High Yield Bonds									
EIFAX	Eaton Vance Floating-Rate Advantage I	\$0	\$110,000	(\$4,813)	\$105,187	\$0	(\$5,525)	\$4,813	\$104,475
FFRXH	Fidelity Floating Rate High Income	\$0	\$110,000	(\$4,485)	\$105,505	\$0	(\$5,238)	\$4,485	\$104,762

* Fixed Income Total

\$128,756

\$714,872

(\$748,818)

(\$51,643)

(\$5,228)

(\$28,280)

\$28,573

\$880,243

IRA Totals (\$ Millions) \$1.607 \$2.465 \$2.770 - \$(-0.033) \$1.327 \$1.032 \$2.207

30

Exhibit A (IXI)

Sanders Opportunity Fund (Institutional), LP
Schedule of Investments
December 31, 2018

Description	Shares	Cost	Estimated Fair Value	Portfolio %
Restricted Common and Preferred Stock:				
<u>Marketing</u>				
Big Tent Entertainment				0.0%
 <u>Limited Partnerships</u>				
<u>Investment</u>				
Corporate Opportunities Fund (Inst), LP				10.1
Life Sciences Opportunity Fund II				12.9
Life Sciences Opportunity Fund				1.2
SMH Private Equity Group II LP				8.1
 <u>Pharmaceuticals</u>				
SMH Spepharm II, LLC				3.7
SMH Spepharm, LLC				11.7
SMH Swiss Pharma II, LLC				13.2
SMH Swiss Pharma, LLC				9.0
 <u>Healthcare</u>				
SMH Nuphyscia LLC				3.8
SMH Nuphyscia LLC Srs C				.6
 <u>Software</u>				
SMH Zilliant LLC Srs B				20.1
SMH Zilliant LLC Srs C				5.6
 <u>Warrants</u>				
<u>Investment</u>				
Life Sciences Opportunity Fund L.P.				0.0
Life Sciences Opportunity Fund Inst'l L.P.				0.0
SMH Private Equity Group II LP				0.0
ESTIMATED TOTAL INVESTMENTS				100.0%

DRAFT

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Exhibit C (1X1)

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Combined Schedule of Investments as of Year End 2018
(\$ in thousands)

Company	2018 Investments	2018 Distributions	Remaining Investments (Cost)	Investments (Fair Value)	TPHP Equity Line Remaining	% of Portfolio
Elm Grove						58.8
BlueRock Receivable						37.0
Ingrain Receivable						4.2
Total Portfolio (Incl. Rec.)						100.0%



TPH PARTNERS, L.P.
COMMITMENT SUMMARY & CAPITAL ACCOUNT STATEMENT
(EXPRESSED IN US DOLLARS AND UNAUDITED)

FOR THE PERIOD:
Inception to December 31, 2018

Total Commitments:	\$96,608,824
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Account: William H. Flores			
Commitment Amount:	\$500,000	Percent Ownership:	0.5176%
Funded Commitment: ¹	\$462,963	% of Capital Called:	92.5925%
Commitment Remaining: ¹	\$37,037	% of Commitment Remaining:	7.4075%

	Current Quarter	Year-to-Date	Inception-to-Date
Beginning Capital	\$44,899	\$86,536	\$ 0
Transfer In/Out	0	0	0
Capital Contributions	1,547	16,634	516,051
Investment Gain (Loss)	(2,930)	8,290	(19,997)
Less Expenses/Fees	(542)	(1,879)	(78,283)
Net Gain (Loss)	(3,471)	6,411	(98,280)
Distributions	0	(66,606)	(374,797)
Ending Capital	\$42,975	\$42,975	\$42,975

¹ Reinvestable Distributions in the amount of \$53,089 decrease Funded Commitment and increase Commitment Remaining.

Exhibit F (IX)

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Exhibit G (1X1)

Confidential

Combined Schedule of Investments as of Year End 2018
(\$ in thousands)

Company	2018 Investments	2018 Distributions	Investments (Cost)	Investments (Fair Value)	TPHP Equity Line Remaining	% of Portfolio
Antioch						21.8
Elk Meadows						16.6
Elm Grove						20.0
Laurel Mountain						34.7
Principle						6.6
Total Portfolio						
EnWater Receivable						0.3
Total Portfolio						100.0



TPH PARTNERS II, L.P.
COMMITMENT SUMMARY & CAPITAL ACCOUNT STATEMENT
(EXPRESSED IN US DOLLARS AND UNAUDITED)

FOR THE PERIOD:
Inception to December 31, 2018

Total Commitments:	\$195,125,000
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Account: William H. Flores			
Commitment Amount:	\$500,000	Percent Ownership:	0.2562%
Funded Commitment:	\$460,640	% of Capital Called:	92.1280%
Commitment Remaining:	\$39,360	% of Commitment Remaining:	7.8720%

	Current Quarter	Year-to-Date	Inception-to-Date
Beginning Capital	\$398,571	\$385,779	\$ 0
Transfer In/Out	0	0	0
Capital Contributions	16,918	75,801	461,949
Investment Gain (Loss)	(144,074)	(141,596)	7,392
Less Expenses/Fees	(1,738)	(6,256)	(62,660)
Net Gain (Loss)	(145,812)	(147,851)	(55,268)
Distributions	0	(32,580)	(125,532)
Carried Interest Paid	0	(11,472)	(11,472)
Ending Capital	\$269,677	\$269,677	\$269,677
Estimated Carried Interest			7,354
Ending Capital per U.S. GAAP			\$277,031