



Filing ID #10008714

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. John A. Yarmuth  
**Status:** Member  
**State/District:** KY03

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2014  
**Filing Date:** 10/9/2015

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3M Company (MMM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Absolute Strategies Fund-I		\$50,001 - \$100,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Accenture plc. Class A Ordinary Shares (ACN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Almost Family Inc (AFAM)		\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
Altria Group, Inc. (MO)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
American International Group, Inc. New (AIG)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Apple Inc. (AAPL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
AT&T Inc. (T)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Automatic Data Processing, Inc. (ADP)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Axis Capital Holdings Limited (AXS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Bank of America Corporation (BAC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Berkshire Hathaway Inc. New (BRK.B)		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Boeing Company (BA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Capital One Financial Corporation (COF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Chevron Corporation (CVX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Chubb Corporation (CB)		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Citigroup, Inc. (C)		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Coca-Cola Company (KO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Comcast Corporation - Class A (CMCSA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
CVS Health Corporation (CVS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Deere & Company (DE)		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Doonbeg Property		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Doonbeg, IE					
Ecolab Inc. (ECL)		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Energy Select Sector SPDS		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Estee Lauder Companies, Inc. (EL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Europacific Growth FD CL F-2		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Exxon Mobil Corporation (XOM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Federated Treasury Obligation Fund		\$1,001 - \$15,000	None		<input type="checkbox"/>
Federated Treasury Obligation Fund		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
General Electric Company (GE)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Gilead Sciences, Inc. (GILD)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Google Inc. - Class A (GOOGL)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Google Inc. - Class C Capital Stock (GOOG)		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
Health Care Select Sector SPDS		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Home Depot, Inc. (HD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Honeywell International Inc. (HON)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Intel Corporation (INTC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Johnson & Johnson (JNJ)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
JP Morgan Chase & Co. (JPM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
McDonald's Corporation (MCD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
McKesson Corporation (MCK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Merck & Company, Inc. Common Stock (MRK)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Microsoft Corporation (MSFT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley (MS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Mylan N.V. (MYL)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
NextEra Energy, Inc. (NEE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Northwestern Mutual annuity		\$100,001 - \$250,000	None		<input type="checkbox"/>
Oppenheimer DVLPING MKTS-Y		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Oracle Corporation (ORCL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Packaging Corporation of America (PKG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Penn Mutual insurance policy		\$100,001 - \$250,000	None		<input type="checkbox"/>
DESCRIPTION: This is an insurance policy.					
Pepsico, Inc. (PEP)		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
PNC Checking Account		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Praxair, Inc. (PX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Procter & Gamble Company (PG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Sameul Klein Trust		Undetermined	Excepted/Blind Trust	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Beneficiary of trust established by grandparents for education					
SanDisk Corporation (SNDK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Schlumberger N.V. (SLB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Sonny's Barbeque		\$5,000,001 - \$25,000,000	Royalties and income	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
DESCRIPTION: Interest in subchapter S corporation					
Starbucks Corporation (SBUX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
T Rowe Price High Yield FD-INV		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
TCW Emerging Markets Income CL I		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
TJX Companies, Inc. (TJX)		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Touchstone Small Cap Core Fund Class Y		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
U.S. Bancorp (USB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
UBS E-Tracs Alerian Mlp. Infrastructure		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Union Pacific Corporation (UNP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
United Rentals, Inc. (URI)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
United Technologies Corporation (UTX)		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard REIT ETF		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Walgreen Co. (WAG)		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Walt Disney Company (DIS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

**SCHEDULE B: TRANSACTIONS**

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
3M Company (MMM)		08/11/2014	P	\$1,001 - \$15,000	
Absolute Strategies Fund - I		08/4/2014	P	\$1,001 - \$15,000	
Altria Group, Inc. (MO)		08/11/2014	P	\$1,001 - \$15,000	
American Express Company (AXP)		08/20/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
American International Group, Inc. New (AIG)		10/15/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
American International Group, Inc. New (AIG)		08/11/2014	P	\$1,001 - \$15,000	
Apple Inc. (AAPL)		08/11/2014	P	\$1,001 - \$15,000	
AT&T Inc. (T)		08/11/2014	P	\$1,001 - \$15,000	
Automatic Data Processing, Inc. (ADP)		08/11/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Axis Capital Holdings Limited (AXS)		10/15/2014	P	\$1,001 - \$15,000	
Bank of America Corporation (BAC)		10/7/2014	P	\$1,001 - \$15,000	
Bank of America Corporation (BAC)		10/8/2014	P	\$1,001 - \$15,000	
Bank of America Corporation (BAC)		08/11/2014	P	\$1,001 - \$15,000	
Berkshire Hathaway Inc. New (BRK.B)		08/11/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Berkshire Hathaway Inc. New (BRK.B)		08/26/2014	P	\$1,001 - \$15,000	
Boeing Company (BA)		10/8/2014	P	\$1,001 - \$15,000	
Capital One Financial Corporation (COF)		08/11/2014	P	\$1,001 - \$15,000	
Chevron Corporation (CVX)		08/11/2014	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Chubb Corporation (CB)		08/11/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Citigroup, Inc. (C)		08/11/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Comcast Corporation - Class A (CMCSA)		11/14/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Comcast Corporation - Class A (CMCSA)		08/11/2014	P	\$1,001 - \$15,000	
CVS Health Corporation (CVS)		08/11/2014	P	\$1,001 - \$15,000	
Deere & Company (DE)		08/20/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
East West Bancorp, Inc. (EWBC)		10/1/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
East West Bancorp, Inc. (EWBC)		08/11/2014	P	\$1,001 - \$15,000	
Eaton Vance Mutual Funds TR		08/5/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ecolab Inc. (ECL)		08/11/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Energy Select Sector Spds		08/11/2014	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Estee Lauder Companies, Inc. (EL)		08/11/2014	P	\$1,001 - \$15,000	
Exxon Mobil Corporation (XOM)		08/11/2014	P	\$1,001 - \$15,000	
General Electric Company (GE)		08/11/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Gilead Sciences, Inc. (GILD)		08/11/2014	P	\$1,001 - \$15,000	
Google Inc. - Class C Capital Stock (GOOG)		08/11/2014	P	\$1,001 - \$15,000	
Google Inc. - Class C Capital Stock (GOOG)		09/13/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Health Care Select Sector Spds		08/11/2014	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Home Depot, Inc. (HD)		08/11/2014	P	\$1,001 - \$15,000	
International Business Machines Corporation (IBM)		08/11/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Johnson & Johnson (JNJ)		08/11/2014	P	\$1,001 - \$15,000	
McKesson Corporation (MCK)		08/11/2014	P	\$1,001 - \$15,000	
Merck & Company, Inc. Common Stock (MRK)		11/10/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Merck & Company, Inc. Common Stock (MRK)		08/26/2014	P	\$1,001 - \$15,000	
Morgan Stanley (MS)		08/20/2014	P	\$1,001 - \$15,000	
Mylan N.V. (MYL)		08/11/2014	P	\$1,001 - \$15,000	
Mylan N.V. (MYL)		08/26/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NextEra Energy, Inc. (NEE)		08/11/2014	P	\$1,001 - \$15,000	
Oppenheimer DVLPING MKTS-Y		10/30/2014	P	\$1,001 - \$15,000	
Oppenheimer DVLPING MKTS-Y		08/5/2014	P	\$1,001 - \$15,000	
Packaging Corporation of America (PKG)		08/11/2014	P	\$1,001 - \$15,000	
Pepsico, Inc. (PEP)		08/11/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Procter & Gamble Company (PG)		08/11/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SanDisk Corporation (SNDK)		08/11/2014	P	\$1,001 - \$15,000	
Schlumberger N.V. (SLB)		08/11/2014	P	\$1,001 - \$15,000	
TCW Emerging Markets Income CL I		08/5/2014	P	\$1,001 - \$15,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TJX Companies, Inc. (TJX)		08/11/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Touchstone Small Cap Core Class Y		08/5/2014	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
U.S. Bancorp (USB)		08/11/2014	P	\$1,001 - \$15,000	
UBS E-Tracs Alerian Mlp. Infrastructure		08/7/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Union Pacific Corporation (UNP)		08/20/2014	P	\$1,001 - \$15,000	
United Rentals, Inc. (URI)		08/11/2014	P	\$1,001 - \$15,000	
United Technologies Corporation (UTX)		08/11/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard REIT ETF		11/3/2014	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Walgreen Co. (WAG)		08/11/2014	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Walt Disney Company (DIS)		08/11/2014	P	\$1,001 - \$15,000	

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Ulster Bank of Scotland	June 2005	Mortgage on rental property in ireland	\$250,001 - \$500,000
	Fifth Third Bank	December 2011	Mortgage loan on Louisville, KY property	\$250,001 - \$500,000
	Fifth Third Bank	March 2012	Demand note	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Director	First Tee of Louisville
Director Emeritus	Kentucky Golf Association

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Yale University	03/31/2014	04/1/2014	Washington DC - New Haven CT - Washington DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. John A. Yarmuth , 10/9/2015