



Filing ID #10029388

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Markwayne Mullin  
**Status:** Member  
**State/District:** OK02

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2017  
**Filing Date:** 09/17/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Arvest Bank [BA]		\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Citizens Bankshares, Inc [BA]		\$500,001 - \$1,000,000	Sub S earnings	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
Citizens State Bank [BA]		\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
LPL Investments ⇒ 31431070 Cash [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
LPL Investments ⇒ AIG Focused Multi [MF]		\$1,001 - \$15,000	Capital Gains	None	<input type="checkbox"/>
LPL Investments ⇒ AIG Strategic [MF]		\$1,001 - \$15,000	Capital Gains	None	<input type="checkbox"/>
LPL Investments ⇒ Blackrock Global [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL Investments ⇒		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Catalyst Millburn [MF]					
LPL Investments ⇒ Citigroup [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LPL Investments ⇒ Cohen & Steers [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
LPL Investments ⇒ Cohen & Steers [MF]		\$1,001 - \$15,000	Capital Gains	None	<input type="checkbox"/>
LPL Investments ⇒ Geoglobal resorces [MF]		None	Capital Gains	None	<input type="checkbox"/>
LPL Investments ⇒ Innate Immunotherapeutics [MF]		\$1,001 - \$15,000	Capital Gains	None	<input type="checkbox"/>
LPL Investments ⇒ Sunamerica Strategic Value [MF]		None	None		<input type="checkbox"/>
DESCRIPTION: Sunamerica Strategic Value					
LPL Investments ⇒ Sunamerica Strategic Value [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Sunamerica Strategic Value					
LPL Investments ⇒ US Bank [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
LPL Investments ⇒ Victory [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Mullin 401(k) Plan ⇒ Blackrock [MF]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Mullin 401(k) Plan ⇒ Blackrock [MF]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Mullin 401(k) Plan ⇒ CMS Aggressive Blend [HE]	SP	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Mullin 401(k) Plan ⇒ CMS Risk managed medium equity [HE]		\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	<input type="checkbox"/>
Mullin 401(k) Plan ⇒ Invesco Energy [MF]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Mullin 401(k) Plan ⇒ Invesco Energy [MF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Mullin 401(k) Plan ⇒ Invesco Real Estate [MF]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Mullin 401(k) Plan ⇒ Invesco Real Estate [MF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Mullin 401(k) Plan ⇒ Ishare S&P 500 Growth [HE]	SP	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Mullin 401(k) Plan ⇒ Ishare S&P Value [HE]	SP	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Mullin 401(k) Plan ⇒ Ivy Assets [MF]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Mullin 401(k) Plan ⇒ Ivy Assets [MF]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Mullin 401(k) Plan ⇒ Ivy Limited [MF]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Mullin 401(k) Plan ⇒ Ivy Limited [MF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Mullin 401(k) Plan ⇒ JP Morgan Large Cap [MF]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Mullin 401(k) Plan ⇒ JP Morgan Large Cap [MF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Mullin 401(k) Plan ⇒ JP Morgan Market Neutral [MF]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Mullin 401(k) Plan ⇒ JP Morgan Market Neutral [MF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Mullin Environmental, Inc [OL]		\$50,001 - \$100,000	Sub S	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Broken Arrow, OK, US DESCRIPTION: Restaurant grease removal					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Mullin Farms [OL]  LOCATION: Westville, OK, US DESCRIPTION: Farm Property		\$15,001 - \$50,000	None	None	<input type="checkbox"/>
Mullin Plumbing New Construction Inc [OL]  LOCATION: Broken Arrow, OK, US DESCRIPTION: Remodeling		\$15,001 - \$50,000	Sub S	\$5,001 - \$15,000	<input type="checkbox"/>
Mullin Plumbing West Division Inc [OL]  LOCATION: Moore, OK, US DESCRIPTION: Engaged in plumbing activites		\$1,000,001 - \$5,000,000	Sub S	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
Mullin plumbing, Inc [OL]  LOCATION: Broken Arrow, OK, US DESCRIPTION: Plumbing Company		\$1,000,001 - \$5,000,000	Sub S	\$100,001 - \$1,000,000	<input type="checkbox"/>
Mullin Properties West Division LLC, 50% Interest [OL]  LOCATION: Oklahoma City, OK, US DESCRIPTION: Congressman owns 50% interest in this investment		\$500,001 - \$1,000,000	Sub S	\$5,001 - \$15,000	<input type="checkbox"/>
Mullin Properties, LLC [OL]  LOCATION: Various locations, OK, US DESCRIPTION: Property locations include Coweta, Oklahoma City, Broken Arrow, Westville, OK		\$250,001 - \$500,000	Rent	\$100,001 - \$1,000,000	<input type="checkbox"/>
Mullin Services, Inc [OL]  LOCATION: Broken Arrow, OK, US DESCRIPTION: HVAC		\$250,001 - \$500,000	Sub S	\$100,001 - \$1,000,000	<input type="checkbox"/>
Provident Trust Group life insurance [WU]  DESCRIPTION: Cash surrender value of life insurance		\$250,001 - \$500,000	None		<input type="checkbox"/>
TMT LLC ⇒ TMT LLC, 33% Interest [OL]  LOCATION: Sheridan, WY, US DESCRIPTION: Investment in restaurant business in Sheridan WY	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Vacant Land 118 S. Elm Pl [RP]  LOCATION: Stilwell, OK, US		\$1 - \$1,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Vacant Land at Town of Stilwell, Adair County by Mullin Properties, LLC					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LPL Investments ⇒ AIG Focused Multi [MF]		04/13/2017	P	\$1,001 - \$15,000	
LPL Investments ⇒ AIG Strategic Value [MF]		04/13/2017	P	\$1,001 - \$15,000	
LPL Investments ⇒ Catalyst Milburn [MF]		06/13/2017	P	\$15,001 - \$50,000	
Mullin 401(k) Plan ⇒ CMS Aggressive Blend Fund [MF] DESCRIPTION: 401(k) fund transfer	SP	05/8/2017	E	\$15,001 - \$50,000	
Mullin 401(k) Plan ⇒ CMS Risk-Managed Medium Equity [MF] DESCRIPTION: 401(k) fund transfer		05/8/2017	E	\$50,001 - \$100,000	
Mullin 401(k) Plan ⇒ Invesco Energy [MF] DESCRIPTION: Fund Transfer to new 401(k) plan		05/8/2017	E	\$1,001 - \$15,000	
Mullin 401(k) Plan ⇒ Invesco Energy [MF] DESCRIPTION: Fund Transfer to new 401(k) plan	SP	05/8/2017	E	\$1,001 - \$15,000	
Mullin 401(k) Plan ⇒ Invesco Real Estate [MF] DESCRIPTION: Fund Transfer to new 401(k) plan		05/8/2017	E	\$1,001 - \$15,000	
Mullin 401(k) Plan ⇒ Invesco Real Estate [MF] DESCRIPTION: Fund Transfer to new 401(k) plan	SP	05/8/2017	E	\$1,001 - \$15,000	
Mullin 401(k) Plan ⇒ iShare S&P 500 Growth ETF [MF] DESCRIPTION: 401(k) fund transfer	SP	05/8/2017	E	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Mullin 401(k) Plan ⇒ IShare S&P Value [MF] DESCRIPTION: 401(k) fund transfer	SP	05/8/2017	E	\$15,001 - \$50,000	
Mullin 401(k) Plan ⇒ Ivy Assets [MF] DESCRIPTION: Fund Transfer to new 401(k) plan		05/8/2017	E	\$15,001 - \$50,000	
Mullin 401(k) Plan ⇒ Ivy Assets [MF] DESCRIPTION: Fund Transfer to new 401(k) plan	SP	05/8/2017	E	\$15,001 - \$50,000	
Mullin 401(k) Plan ⇒ Ivy Limited [MF] DESCRIPTION: Fund Transfer to new 401(k) plan		05/8/2017	E	\$1,001 - \$15,000	
Mullin 401(k) Plan ⇒ Ivy Limited [MF] DESCRIPTION: Fund Transfer to new 401(k) plan	SP	05/8/2017	E	\$1,001 - \$15,000	
Mullin 401(k) Plan ⇒ JP Morgan Large Cap [MF] DESCRIPTION: Fund Transfer to new 401(k) plan		05/8/2017	E	\$15,001 - \$50,000	
Mullin 401(k) Plan ⇒ JP Morgan Large Cap [MF] DESCRIPTION: Fund Transfer to new 401(k) plan	SP	05/8/2017	E	\$1,001 - \$15,000	
Mullin 401(k) Plan ⇒ JP Morgan Market Neutral [MF] DESCRIPTION: Fund Transfer to new 401(k) plan		05/8/2017	E	\$1,001 - \$15,000	
Mullin 401(k) Plan ⇒ JP Morgan Market Neutral [MF] DESCRIPTION: Fund Transfer to new 401(k) plan	SP	05/8/2017	E	\$1,001 - \$15,000	
Mullin Plumbing West equipment sale [OL] LOCATION: Oklahoma City, OK, US DESCRIPTION: Sale of asset equipment sales		12/31/2017	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Mullin Plumbing Inc	Spouse salary	\$107,600.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Mr. Jim Mullin	1/1/2007	Purchase of plumbing businesses	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>LPL Investments</li><li>Mullin 401(k) Plan</li><li>Mullin 401(k) Plan (Owner: SP)</li><li>TMT LLC (33% Interest) (Owner: SP)</li></ul>
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Markwayne Mullin , 09/17/2019