

HAND DELIVERED

**UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT**

FORM A

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For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

BARTON JENNINGS GORDON

202-778-9000

(Full Name)

(Daytime Telephone)

PM 2011 MAY -3 PM 12:22
OFFICE OF THE CLERK
(OFFICIAL USE ONLY) REPRESENTATIVES

Filer Status

☒ Member of the U.S. House of Representatives

State: TN
District: 6

☐ Officer Or Employee

Employing Office:

Report Type

☐ Annual (May 15)

☐ Amendment

☒ Termination

Termination Date:

12/31/2010

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? <i>If yes, complete and attach Schedule I.</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? <i>If yes, complete and attach Schedule VI.</i>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? <i>If yes, complete and attach Schedule II.</i>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? <i>If yes, complete and attach Schedule VII.</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? <i>If yes, complete and attach Schedule III.</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? <i>If yes, complete and attach Schedule VIII.</i>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? <i>If yes, complete and attach Schedule IV.</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? <i>If yes, complete and attach Schedule IX.</i>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? <i>If yes, complete and attach Schedule V.</i>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOMEName **BARTON JENNINGS GORDON**

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
KORNFERRY INTERNATIONAL	SPOUSE SALARY	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name BARTON JENNINGS GORDON

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
	CONGRESSIONAL FCU - CASH	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
DC	MORGAN STANLEY SMITH BARNEY IRREV TRUST - CITIBANK NA - BANK DEPOSIT PROGRAM	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	MORGAN STANLEY SMITH BARNEY IRREV TRUST - SHERMAN TEX INDPT SCH DIST BOND	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
DC	MORGAN STANLEY SMITH BARNEY IRREV TRUST - TEXAS TPK AU CENTRAL TEXAS PTK BOND	\$1,001 - \$15,000	INTEREST	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name BARTON JENNINGS GORDON

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DC	MORGAN STANLEY SMITH BARNEY IRREV TRUST - DIAMOND TRUST SER 1	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
DC	MORGAN STANLEY SMITH BARNEY UTMA - BANK DEPOSIT PROGRAM	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
DC	MORGAN STANLEY SMITH BARNEY UTMA JP MORGAN STOCK	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
DC	MORGAN STANLEY SMITH BARNEY UTMA UTS SPDR TRUST SER 1	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
DC	MORGAN STANLEY SMITH BARNEY UTMA STRIPS TINTS GENERIC INT PMT	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
DC	MORGAN STANLEY SMITH BARNEY UTMA - SOUTH DAKOTA - BANK DEPOSIT	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	MORGAN STANLEY SMITH BARNEY 11025 MONEY MARKET	None	None	NONE	
SP	MORGAN STANLEY SMITH BARNEY 04311	None	None	NONE	
SP	WELLS FARGO 401K STABLE RETURN FUND	\$250,001 - \$500,000	None	NONE	P
SP	WELLS FARGO 401K PIMCO TOTAL RETURN I	\$15,001 - \$50,000	None	NONE	P
SP	WELLS FARGO 401K ADVANTAGE INDEX	\$50,001 - \$100,000	None	NONE	P
SP	KORN/FERRY WEALTH ACUMULATION PLAN - WHOLE LIFE INSURANCE	\$50,001 - \$100,000	NONE	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name BARTON JENNINGS GORDON

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SP	N.W. MUTUAL LIFE INSURANCE - WHOLE LIFE INSURANCE	\$15,001 - \$50,000	NONE	NONE	
SP	WELLS FARGO DEF. COMP. - PIMCO TOTAL RETURN FUND	\$15,001 - \$50,000	NONE	NONE	P
SP	WELLS FARGO DEF. COMP. - MFS TOTAL RETURN FUND	None	None	NONE	P
SP	WELLS FARGO DEF. COMP. - ADVANTAGE INDEX FUND	\$50,001 - \$100,000	NONE	NONE	P
SP	WELLS FARGO DEF. COMP. - ADVANTAGE SMALL CO VALUE	None	None	NONE	
SP	WELLS FARGO DEF. COMP. - CONSERVATIVE HEDGE FUND	None	None	NONE	
SP	WELLS FARGO DEF. COMP. - DIVERSIFIED HEDGE FUND	None	None	NONE	
SP	WELLS FARGO DEF. COMP. - ADV INDEX (ADMIN)	None	NONE	NONE	
SP	WELLS FARGO GOVT MONEY MARKET	\$50,001 - \$100,000	None	NONE	
SP	WELLS FARGO PIMCO TOTAL RETURN	\$15,001 - \$50,000	None	NONE	
	CREDIT SUISSE IRA - PERSHING GOVERNMENT ACCOUNT	None	None	NONE	
	CREDIT SUISSE IRA - ISHARES TR MSCI EMERGING MKTS INDEX FUND	None	None	NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name BARTON JENNINGS GORDON

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	CREDIT SUISSE IRA - ISHARES TR MSCI EAFE INDEX FUND	None	None	NONE	S
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JT	PARK CENTER PARTNERSHIPS (2) (OFFICE BUILDINGS IN BRENTWOOD TN)	\$250,001 - \$500,000	RENT/INTEREST	\$15,001 - \$50,000	
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SP	UBS FINANCIAL SERVICES KORN/FERRY INTL STOCK AND OPTIONS	\$250,001 - \$500,000	CAPITAL GAINS	\$5,001 - \$15,000	S(part)
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	CENTRE CAPITAL PARTNERSHIPS (7) INVESTORS (NOT SELF DIRECTED)	\$250,001 - \$500,000	INTEREST/CAPIT TAL GAINS	\$1,001 - \$2,500	
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	CREDIT SUISSE IRA - STANDARD & POORS DEPOSITORY RECEIPTS	None	None	NONE	S
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	CREDIT SUISSE IRA - ISHARES TR RUSSELL 2000 VALUE INDEX FUND	None	NONE	NONE	S
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	CREDIT SUISSE IRA - ISHARES TR RUSSELL MIDCAP GROWTH INDEX FUND	None	NONE	NONE	S
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SP	CREDIT SUISSE IRA - PERSHING GOVERNMENT ACCOUNT	\$1,001 - \$15,000	None	NONE	
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SP	CREDIT SUISSE IRA - ISHARES TR MSCI EMERGING MKTS INDEX FUND	None	None	NONE	
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SP	CREDIT SUISSE IRA - ISHARES TR MSCI EAFE INDEX FUND	\$1,001 - \$15,000	None	NONE	
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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name BARTON JENNINGS GORDON

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SP	CREDIT SUISSE IRA - ISHARES TR RUSSELL MIDCAP GROWTH INDEX FUND	\$1,001 - \$15,000	None	NONE	
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SP	CREDIT SUISSE IRA - ISHARES TR RUSSELL 2000 VALUE INDEX FUND	\$1,001 - \$15,000	None	NONE	
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SP	CREDIT SUISSE IRA - STANDARD & POORS DEPOSITORY RECEIPTS	\$1,001 - \$15,000	None	NONE	
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JT	PNC BANK NATIONAL ASSOCIATION CHECKING 9389	\$50,001 - \$100,000	INTEREST	\$1 - \$200	
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SP	PNC BANK NATIONAL ASSOCIATION MM 1113	\$250,001 - \$500,000	INTEREST	\$1,001 - \$2,500	
	CREDIT SUISSE 307 - INSTL GOVT FUND CSAM CASH MGMT	\$100,001 - \$250,000	INTEREST	\$1 - \$200	

	CREDIT SUISSE 307 - DREYFUS MUNI CASH PLUS	None	INTEREST	\$1 - \$200	
	CREDIT SUISSE 307 - BLACKSTONE GROUP PARTNERSHIP	\$15,001 - \$50,000	ORDINARY INCOME	\$201 - \$1,000	

	CREDIT SUISSE 307 - BROADPOINT GLEACHER SEC GROUP	\$1,001 - \$15,000	None	NONE	
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	CREDIT SUISSE 307 - CITIGROUP INC	\$15,001 - \$50,000	None	NONE	P
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	LEE STREET INVESTORS LLC	\$15,001 - \$50,000	INTEREST/CAPIT AL GAINS	\$201 - \$1,000	P
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	MERRILL LYNCH IRA - LEE STREET INVESTORS LLC	\$100,001 - \$250,000	None	NONE	P
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SCHEDULE IV - TRANSACTIONS

Name BARTON JENNINGS GORDON

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	LEE STREET INVESTORS LLC	P	N/A	2010	\$15,001 - \$50,000
	MERRILL LYNCH-IRA- LEE STREET INVESTORS LLC	P	N/A	2010	\$50,001 - \$100,000
	CREDIT SUISSE 307 - CITIGROUP INC	P	N/A	06-08-10	\$15,001 - \$50,000
SP	KORNFERRY INTL STOCK OPTIONS	S	Yes	12-08-10	\$15,001 - \$50,000
	CREDIT SUISSE IRA - ISHARES TR RUSSELL MIDCAP GROWTH INDEX FUND	S	No	09-13-10	\$1,001 - \$15,000
	CREDIT SUISSE IRA - ISHARES TR MSCI EMERGING MKTS INDEX FUND	S	No	09-08-10	\$15,001 - \$50,000
	CREDIT SUISSE IRA - ISHARES TR MSCI EAFE INDEX FUND	S	No	09-08-10	\$1,001 - \$15,000
	CREDIT SUISSE IRA - ISHARES TR RUSSELL 2000 VALUE INDEX FUND	S	No	09-13-10	\$1,001 - \$15,000
	CREDIT SUISSE IRA - STANDARD & POORS DEPOSITORY RECEIPTS	S	No	09-13-10	\$1,001 - \$15,000

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
ASPEN INSTITUTE CONGRESSIONAL PROGRAM	APR 5-11	DC-LISBON-DC	Y	Y	N	0
GLOBE INTERNATIONAL	NOV 4-9	DC-TIANJIN-SAN FRANCISCO	Y	Y	N	0
		RETURN TO SAN FRANCISCO FOR OFFICIAL TRAVEL TO DEPT OF ENERGY SPONSORED MEETING				