



Filing ID #10004284

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Mr. John A. Yarmuth  
**Status:** Member  
**State/District:** KY03

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2013  
**Filing Date:** 08/28/2014

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Absolute Strategies Fund-I		\$100,001 - \$250,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Accenture plc. Class A Ordinary Shares (ACN)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Almost Family Inc (AFAM)		\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
American Express Company (AXP)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Apple Inc. (AAPL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
AT&T Inc. (T)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Automatic Data Processing, Inc. (ADP)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Berkshire Hathaway Inc. New (BRK.B)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chubb Corporation (CB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Citigroup, Inc. (C)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Coca-Cola Company (KO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Deere & Company (DE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Eaton Vance Mutual Funds		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Ecolab Inc. (ECL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Federal Treasury Obligations Fund		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Federal Treasury Obligations Fund		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Fifth Third checking account		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
General Electric Company (GE)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Google Inc. - Class C Capital Stock (GOOG)		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Home Depot, Inc. (HD)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Honeywell International Inc. (HON)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Intel Corporation (INTC)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
International Business Machines Corporation (IBM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
JP Morgan Chase & Co. (JPM)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
McDonald's Corporation (MCD)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Microsoft Corporation (MSFT)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NextEra Energy, Inc. (NEE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual annuity		\$100,001 - \$250,000	None		<input type="checkbox"/>
Oppenheimer Developing Markets		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Oracle Corporation (ORCL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Penn Mutual insurance policy		\$100,001 - \$250,000	None		<input type="checkbox"/>
Pepsico, Inc. (PEP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
PNC checking account		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Praxair, Inc. (PX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Procter & Gamble Company (PG)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Rental property		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Doonbeg, IE					
Republic Bank checking account		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Samuel Klein Trust		Undetermined	Excepted/Blind Trust	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Beneficiary of trust established by grandparents for education					
Sonny's Barbeque, Orlando FL		\$5,000,001 - \$25,000,000	Royalties and income	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
DESCRIPTION: Interest in subchapter S corporation					
SPDR Select Sector Fund - Energy Select Sector (XLE)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SPDR Select Sector Fund - Health Care (XLV)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Starbucks Corporation (SBUX)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
T Rowe High Yield		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TCW Funds		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
TJX Companies, Inc. (TJX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Touchstone Small Cap Core Fund		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
UBS E-Tracs Alerian MLP Infrastructure		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
United Technologies Corporation (UTX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard REIT ETF		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Walgreen Co. (WAG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Absolute Strategies Fund		08/12/2013	P	\$1,001 - \$15,000	
Accenture plc. Class A Ordinary Shares (ACN)		08/14/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Accenture plc. Class A Ordinary Shares (ACN)		09/16/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
American Express Company (AXP)		08/14/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Apple Inc. (AAPL)		08/14/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
			(partial)		
AT&T Inc. (T)		08/14/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Automatic Data Processing, Inc. (ADP)		08/14/2013	P	\$1,001 - \$15,000	
Automatic Data Processing, Inc. (ADP)		08/29/2013	P	\$1,001 - \$15,000	
Automatic Data Processing, Inc. (ADP)		09/16/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Berkshire Hathaway Inc. (BRK.A)		05/8/2013	P	\$1,001 - \$15,000	
Berkshire Hathaway Inc. New (BRK.B)		09/16/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Caterpillar, Inc. (CAT)		05/8/2013	P	\$1,001 - \$15,000	
Chubb Corporation (CB)		09/16/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Chubb Corporation (CB)		05/8/2013	P	\$1,001 - \$15,000	
Citigroup, Inc. (C)		08/22/2013	P	\$1,001 - \$15,000	
Citigroup, Inc. (C)		08/14/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Citigroup, Inc. (C)		09/16/2013	P	\$1,001 - \$15,000	
Coca-Cola Company (KO)		08/14/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Eaton Vance Mutual Funds		08/21/2013	P	\$15,001 - \$50,000	
Ecolab Inc. (ECL)		08/14/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Europacific Growth Fund		08/12/2013	P	\$1,001 - \$15,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Europacific Growth Fund	09/12/2013	P	\$1,001 - \$15,000	
General Electric Company (GE)	08/14/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Google Inc. - Class C Capital Stock (GOOG)	08/14/2013	P	\$1,001 - \$15,000	
Home Depot, Inc. (HD)	08/14/2013	P	\$1,001 - \$15,000	
Home Depot, Inc. (HD)	05/8/2013	P	\$1,001 - \$15,000	
Honeywell International Inc. (HON)	08/14/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Honeywell International Inc. (HON)	09/16/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Honeywell International Inc. (HON)	05/8/2013	P	\$1,001 - \$15,000	
Intel Corporation (INTC)	08/14/2013	P	\$1,001 - \$15,000	
Intel Corporation (INTC)	09/16/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
International Business Machines Corporation (IBM)	08/29/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JP Morgan Chase & Co. (JPM)	08/23/2013	P	\$1,001 - \$15,000	
JP Morgan Chase & Co. (JPM)	08/14/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
McDonald's Corporation (MCD)	08/14/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
McDonald's Corporation (MCD)	05/8/2103	P	\$1,001 - \$15,000	
Microsoft Corporation (MSFT)	08/14/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Oppenheimer Developing Markets	04/2/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Oppenheimer International Bond fund		08/21/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Oppenheimer International Bond Fund		04/2/2013	P	\$1,001 - \$15,000	
Oracle Corporation (ORCL)		08/14/2013	P	\$1,001 - \$15,000	
Oracle Corporation (ORCL)		08/29/2013	P	\$1,001 - \$15,000	
Oracle Corporation (ORCL)		09/16/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Pepsico, Inc. (PEP)		08/14/2013	P	\$1,001 - \$15,000	
Pepsico, Inc. (PEP)		09/16/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Pimco Real Return Fund		04/2/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Procter & Gamble Company (PG)		09/16/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SPDR Gold Trust (GLD)		04/4/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
SPDR Select Sector Fund - Energy Select Sector (XLE)		08/14/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SPDR Select Sector Fund - Health Care (XLV)		08/14/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Starbucks Corporation (SBUX)		08/14/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Starbucks Corporation (SBUX)		09/16/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Starbucks Corporation (SBUX)		05/8/2013	P	\$1,001 - \$15,000	
T Rowe High Yield Fund		08/20/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TCW Funds Emerging Markets Income Fund		04/2/2013	P	\$1,001 - \$15,000	
TJX Companies, Inc. (TJX)		08/14/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
TJX Companies, Inc. (TJX)		09/16/2013	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Touchstone Small Cap Core Fund		08/12/2013	P	\$1,001 - \$15,000	
Touchstone Small Cap Core Fund		09/12/2013	P	\$1,001 - \$15,000	
UBS E-Tracs Alerian MLP Infrastructure		08/23/2013	P	\$15,001 - \$50,000	
UBS E-Tracs Alerian MLP Infrastructure		09/16/2013	P	\$1,001 - \$15,000	
United Technologies Corporation (UTX)		05/8/2013	P	\$1,001 - \$15,000	
US Bancorp Del DEPOSITARY SH S G (USB\$N)		08/14/2013	P	\$1,001 - \$15,000	
Vanguard REIT ETF		08/14/2013	P	\$1,001 - \$15,000	
Yum! Brands, Inc. (YUM)		05/8/2013	P	\$1,001 - \$15,000	

### SCHEDULE C: EARNED INCOME

None disclosed.

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Ulster Bank of Scotland	June 2005	Mortgage on rental property in Ireland	\$250,001 - \$500,000
	Fifth Third Bank	December 2011	Mortgage loan on Louisville KY property	\$250,001 - \$500,000
	Fifth Third Bank	March 2012	Demand note	\$500,001 - \$1,000,000



## SCHEDULE E: POSITIONS

Position	Name of Organization
Director	First Tee of Louisville
Director Emeritus	Kentucky Golf Association

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Mr. John A. Yarmuth , 08/28/2014