MoN ☐ SeY -sisnil Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS If yes, complete and attach Schedule V. schedule attached for each "Yes" response. Each question in this part must be answered and the appropriate ON A sey than \$10,000) during the reporting period? Did you, your spouse, or a dependent child have any reportable liability (more If yes, complete and attach Schedule IV. If yes, complete and attach Schedule IX. period? Yes 📗 No 🔽 ☐ on 🔼 səx reportable asset in a transaction exceeding \$1,000 during the reporting Did you, your spouse, or dependent child purchase, sell, or exchange any Did you have any reportable agreement or arrangement with an outside If yes, complete and attach Schedule III. If yes, complete and attach Schedule VIII. more than \$1,000 at the end of the period? ✓ on ☐ sex ☐ oN 🔼 sə႓ VIII. current calendar year? more than \$200 in the reporting period or hold any reportable asset worth Did you hold any reportable positions on or before the date of filling in the Did you, your spouse, or a dependent cirild receive "unearned" income of If yes, complete and attach Schedule VII. if yes, complete and attach Schedule II. from one source)? ✓ ON 🗌 SƏX ✓ ON relimbursements for travel in the reporting period (worth more than \$350 you for a speech, appearance, or article in the reporting period? Did any individual or organization make a donation to charity in lieu of paying Did you, your spouse, or a dependent civild receive any reportable travel or If yes, complete and attach Schedule VI. If yes, complete and attach Schedule I. ☐ on 🔼 səx Aes 🗌 No 🔝 reporting period (i.e., aggregating more than \$350 and not otherwise or more from any source in the reporting period? Did you, your spouse, or a dependent child receive any reportable giff in the Did you or your spouse have "earned" income (e.g., salarles or fees) of \$280 PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS late. 1/2/2013 Type Termination Amendment (Gt ysM) IsunnA 🔲 more than 30 days. Report Termination Date: anyone who files District: 28 Status de assessed against Employee House of Representative Filer A \$200 penalty shall Member of the U.S. Employing Office: → Officer Or State: CA (Vitio esu epiño) (Daytime Telephone) (Full Name) Howard L Berman 3013EE8 - # LW 1:38 PECISEVINE BESONBOE CENTER EINN 2 5 2013 CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT For use by Members, officers, and employees UNITED STATES HOUSE OF REPRESENTATIVES **FORM A** Page 1 of 6

because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child

disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

--suondmex=

Yes 🗌 No 🔽

	1	Juliomy	1
List the source, type, and amount of earned income from any source (othe during the preceding calendar year. For a spouse, list the source and am \$1,000.	than the filer's current employment unt of any the sou	by the U.S. Government) totalin urce for other spouse earned in	ng \$200 or more ncome exceeding
	Name Howard L Berman		მ to S egsЧ

217,8\$	Legistative Pension	State of California
JunomA	Туре	Source

BFOCK E	вгоск в	ВГОСК С - Вешизи	Brock B  Name Howard I	DOLE III - ASSETS AND "UNEARNED" INCOME	
Transaction indicate if asset had purchases (P), sales (S), or exchanges (E) exchanges (E) exceeding \$1,000 in reporting year.	Amount of income For retirement accounts that do not allow you to choose specific investments or that generate tac- deferred income (auch as 40 kt) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of prome by checking the appropriate box below. Dividends, interest, and capital appropriate box below.  Olividends, interest, and capital disclosed as income. Check disclosed as income. Check of generated.  Out generated.	Type of Income Check all columns that apply. For retirement accounts that allot do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, inferest, and capital gains, even if retireated, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	Year-End Yalue of Asset Value of Asset As close of reporting year. If you use a valuation method other than flat peace of the method used. If an asset was sold and is included only because if la pererated income, the ite was to wait.	omplete insmes of stocks and mutual funds (do not use ticker symbols.)  Is and other retirement plans (such as 401(k) plans) that are self-directed its)  Its) provide the value for each asset held in the account that exceeds the specific thresholds. For retirement accounts which are not self-directed, provide the value for each asset held in the account that exceeds the period.  Or other real property held for investment, provide a complete address.  Or other real property held for investment, provide a complete address.  Shock A.  Plock A.  Plock A.  Shock A.  Shock A.  Plock A.  Shock A.  Shour personal residence, including second homes and vacation homes are was rental income during the reporting period); any deposits totaling sea in a personal checking or saving accounts; and any flushcial interest are derived from, a federal retirement program, including the Thrift and derived from, a federal retirement program, including the Thrift and	table excelled a value excelled excelled a value excelled a value a va
	NONE	Aone	- 100,038	• •	
	000,31\$ - 100,3\$	qінаязитяда	220,000	Province Farming CO	
	\$5,501 - \$5,000	INTEREST	- 100,000,15		ЯS
	000'09\$ - 100'91\$	DINIDENDS	- 100,000,1 500,000	i 📥	ЗЬ
PS(part)	\$1,001 - \$2,500	CAPITAL GAINS	- 100,003	·* [	
	000'51\$ - 100'5\$	DINIDENDS	200,000		

		\$1 - \$500	ІИТЕВЕЅТ	000'000 520'004 -	·	See attached UBS 2012 Resource Management Account Stmt (Page 3)	
) g	to 4 egs9		. Berman	Name Howard i	TIMOONI	I GENNYENO GNY OLEOVENEEDOGENO	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

lo d age 5 of	Номагд Г Вегтап	ame
		•

Report any purchase, sale, or exchange by you, your apouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Amount of Transaction	Date	Capital Gain in Excess of \$200?	Type of Transaction	i∍seA	SP, DC, TU
\$12,001 - \$50,000	suohs√	хәд	S(part)	See attached UBS Client Review Stmt from 1/1/12 - 1/2/13 (Page 7)	
000'001\$ - 100'09\$	various	A\N	ф	See attached UBS purchase statement from 1/1/12 - 1/2/13 (page 1)	

- LIABILITIES	Λ	<b>SCHEDNLE</b>
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Name Howard L Berman

Page 6 of 6

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. MOTE: Pending legislation may require Members to report mortgates on personal residences.

000	0,000,1\$ - 100,003\$	Mortgage on Principal Residence	60/1/9	Bank of America	TL
lity	idsiJ fo fruomA	Type of Liability	Date Liability Incurred	rojiberO	.se, DC, TL

## J.P.Morgan J.P. Morgan Securities

OFFICE SERVICING YOUR ACCOUNT
1,P, Morgan Searthes LLC
2028 Century Park East
Suite 3700
Los Angeles CG 90067
(310) 201-2800

J.P. Morgan Cleaning Corp. Three Chase Metrotach Canagr Brooklyn, New York 11245-0001 CLEARED THROUGH ITS WHOLLY OWNED SUBSIDIARY

JANIS G BERMAN

Francia Advisor SELIG,DAVID A.

TELEPHONE/EMAIL (310) 229-2189 David.Selig@jpmorgan.com
VISIT OUR WEBSITE www.jpmorgansecurities.com

# What's In This Statement

Sweep Program Activity... Transaction Detail Your Portfolio Holdings... Financial Summary Your Messages. ដ

JANIS G BERMAN HORIZON

Month End Closing Method: FIFO

STATEMENT PERIOD

December 1 - December 31,2012



November 30, 2012

# Your Portfolio at a Glance

NET EQUITY THIS PERIOD SWEEP PROGRAM BALANCE TOTAL VALUE OF SECURITIES THIS PERIOD \*This portfolio includes one or more unpriced securities that are not reflected in the Total Value of Securities and the Net Equity This Period CHANGE SINCE LAST STATEMENT NET EQUITY LAST STATEMENT \$767,187 751,533 761,626 15,654 5,561

There are no "Stop Loss" orders or other pending buy or sell open orders on file for your account.

# Market Value of Your Portfolio

\$15,654 \$10,802 \$248.422 \$244.046 \$505,111

Last statement's market value Current market value

If any information regarding 2012 interest, dividends, miscellaneous income, gross proceeds or original issue discount is required to be reported to the IRS for this account, a Consolidated form 1099 will be mailed to you no later than February 15, 2013, or a Form 1042S by March 15, 2013. The economic stimulus legislation (Public Law 110-343) enacted on October 3, 2008, may allow the extension of the 1099 mailing deadline generally from January 31 to February 15.

Please report any difference or non-recipit of chacks or stocks, indicated as delinence to you, to Claim Services at 000-03.51-1.028; of write to Claim Services at J.F. Morgan Claiming Corp. Three Claim Metroscot Cyrists, Brookyn, N.Y. 17245-0001

This summary is for informational purposes only. It is not intended as a tax document.

This statement should be retained for your records. See reverse side for important information

2 of 18

STATEMENT BACKER IS PRINTED ON THIS PAGE

JANIS G BERMAN

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J.P. Morgan Clearing Corp.

Three Chase Metroseth Center
Brooklyn, New York 11245-0001

# J.P.Morgan J.P.Morgan Securities

OFFICE SERVICING YOUR ACCOUNT I.P. Morgan Securities LtC 2029 Century Park East Suite 3700 Los Angeles, CA 90067 (210) 201-2800

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JANIS G BERMAN

STATEMENT PERIOD

December 1 - December 31,2012

NET PORTFOLIO VALUE Mutual Funds Assets
Sweep Program/Money Mkt Fds Portfolio Value 15,654.30 246,422.00 505,111.00 \$767,187.30 \$767,187.30 THIS PERIOD 10,801.94 244,046.00 506,778.00 \$761,625.94 LAST PERIOD

Cash Flow Analysis	THIS PERIOD	THIS YEAR
Opening Cash/Sweep Prog.	\$10,801.94	524,768.97
Div/Int/Other Inc (Credit)	5,978.52	23,910.14
Miscellaneous (Credit)	61.85	61.85
Sweep Program Div/Int	0.43	13.67
Amount Credited	\$6,040.80	\$23,985.66
Div/Int/Other Inc (Debit)	0.00	-990.68
Miscellaneous (Pebit)	0.00	-8,507.34
Funds Paid/Delivered	-1,188,44	-23,602,31
Amount Debited	5-1,188.44	\$-33,100.33
Net Cash/Sweep Prog. Act.	4.852.36	-9,114.67
Closing Cash/Sweep Prog.	\$15,654.30	\$15,654.30

00.0000	
521 929 15	Total \$5,978.95
4,459,43	Other Dividends Traterest 367.04
17,469.72	Dividends , 5,611.91
YEAR TO DATE	THIS PERIOD
	Income Summary

Your Portfolio
Allocation



Unshaded pontions denote debit belance and/or shon market values. The allocation percentage is demed from the absolute market value of your portfolio.

# J.P.Morgan Securities

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## Your Portfolio Holdings

The total cost basis for each security position and the unvealized gain/loss are provided solely for your convenience and may not be used for tax purposes or otherwise relied upon. If you have questions related to tax consequences, please consult your tax advisor. Unrealized gainfloss total reflects only those positions for which a cost basis is available or has been provided to J.P. Morgan Clearing Corp. (JPNCC). Unless the tax basis provided relates to a position purchased on the books of JPMCC, JPMCC has not and cannot validate the basis provided. Information on this statement related to cost and gainfloss calculations does not include adjustments for wash sales that may have occurred on the last business day of this statement period. These wash sale adjustments, if any, will be reflected on your next statement.

## CASH & MONEY MARKET FUNDS

DESCRIPTION	Tree	ALLENYTHO	PROCE	MARKET	COST	UNREALIZED GAINALOSS
JPMORGAN TR I PRIME MONEY MICT FD AGY CL SECURITY SYMBOL IS VMIXX CUSIF: 1008VZ007	CASH	15,654.30	1.00	15,654	15,654	;
SubTotal of Cash & Sweep Money Market Fund	cetFund			\$15,654	\$15,654	SO
PRIMARY FUND-INLIQUIDATION SECURITY NUM- ADDOCAS THE RESERVE PRIMARY FUND WAS CONSOLDATED INTO A SINGLE SHARE CLASS BY ITS LIQUIDATING AGENT, CREDERIAN, TILED "PRIMARY FUND IN LIQUIDATION" (SECURITY NUMBER ADDOCAS).	CASH	36.17	Unpriced		చ	NA
TOTAL CASH & MONEY MARKET FUNDS	5			\$15,654	\$15,690	SO
						İ

WHOLLY OWNED SUBSIDIARY J.P. Morgan Cleaning Corp. Three Chase Metrotech Center Brooklyn, New York 11245-0001

JANIS G BERMAN

STATEMENT PERIOD

December 1 - December 31,2012



November 30, 2012



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STATEMENT PERIOD
December 1 - December 31,2012

ACCOUNT NUMBER

November 30, 2012

Your Portfolio Holdings (continued)

Equities & Options

DESCRIPTION
ISHARES TRUST RUSSELL 2000
INDEX FD
SYMBOL: IVM ISHARES TR BARCLAYS TREAS INFLATION PROTECTED SECS FO SYMBOL: TIP ISHARES RUSSELL 1000 INDEX FUND SYMBOL: IWB ISHARES CORE TOTAL USBOND MARKET ETF SYMBOL: AGG ISHARES MSCI EAFE INDEX FUND SYMBOL: EFA ISHARES TR RUSSELL MIDCAP INDEX FD SYMBOL: IWR ISHARES IR DOW JONES SEL DIVID INDEX FD SYMBOL: DVY CASE TO A CASH TSAS CASH CASH CASH CASH 5 09/18/09 10/06/09 02/11/11 05/08/09 10/05/09 04/28/10 ACQUISITION DATE 05/08/09 10/06/09 02/11/11 03/26/07 10/06/09 03/26/07 11/28/11 303 117 126 60 431 159 139 139 133 206 132 74 362 130 139 93 238 136 102 ğ 111.08 113.10 PRICE 84.32 121.41 79.15 56.86 57.24 9.865 10,624 5,059 34,114 12,585 11,002 11,002 10,527 23,299 14,929 14,929 14,929 20.583 7,392 7,903 5,288 49,208 28,896 16,512 12,384 40,068 63.18 59.17 58.05 73.34 70.18 65.85 77.91 52.85 45.81 54.45 60.29 100.46 104.08 101.38 107.67 50.55 27,232 9,409 8,069 9,754 14,458 8,692 5,765 17,900 5,963 7,580 4,357 19,131 5,955 7,568 5,607 24,770 13,788 10,982 35,385 UNREALIZED GAINA, OSS
7,648
3,902 LT
3,044 LT
702 LT 8,841 6,237 2,604 6,882 3,176 2,933 773 1,452 1,436 LT 335 LT -319 LT 4.703 LT 4.126 2.724 1.402 4,683 LT 5 55 99 ANNUAL INCOME 1,253 1,485 51. 637 423 701 641 YIELD (%) 3.09 2.55

# J.P.Morgan J.B. Morgan Securities

OFFICE SERVICING YOUR ACCOUNT J.P. Morgan Securities LLC 2020 Commy Park East Suite 3700 Los Angeles, CA 90067 (310) 201-2500

J.P. Morgan Cleaning Corp. Titree Chase Metrotech Center Brooklyn, New York 11245-0001 CLEARED THROUGH ITS

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STATEMENT PERIOD December 1 - December 31,2012

# Your Portfolio Holdings (continued)

Equities & Options (Continued)

ACCOUNT NUMBER

November 30, 2012

DESCRIPTION	ACCT TAPE	ACQUISTION DATE	TIMAND	PRICE	VALUE	UNIT COST	COST	UNREALIZED GAUNALOSS	ESTIMATED ESTIMATED ANNUAL INCOME YIELD (%)	YIELD (%)
WISDOM TREE EMERGING MARKETS EQUITY INCOME FUND ETF SYMBOL: DEM	CASH	08/16/10	432	57.19	24,706	51.71	22,339	2,367 LT	814	3,29
Total Equities& Options		•			\$246,422		\$205,720	\$40.702	\$6,465	
TOTAL EQUITIES				,	\$246,422		\$205,720	\$40,702	\$6,465	

## MUTHAL FUNDS

A maximum of two tax lots per position will be reported in the mutual funds section. These will be the aggregate totals of the long-term holdings and the short-term holdings respectively. Funds tracked with the Average Cost methodology are denoted with an 'X' next to the Unit Cost number.

DESCRIPTION	ACCT ACQUISITION TYPE DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED	ESTIMATED ESTIMATED ANNUAL INCOME YIELD (7	15 F
INVESCO ENERGY FUND CLASS A SYMBOL: IENAX	CASH	340.76	37.44	12,758	43.67	14,881	-2,123 נו		
SYMBOL: MOLOX STANBOL: MOLOX	CASH	3,354,341	19.74	66,215	18.27	61,284	4.931 LT	778	 
BLACKROCK CALIFORNIA MUNCIPAL BOND FUND INC CL & SYMBOL MECMX	CASH	10,717.753	12.68	135,901	10.68	114,420	21,481 LT	4.909	ب ق
DOUBLELINE FDS TR	CASH	6,212.287	11.33	70,385	11.15	69.267	ו,118 נו	4.293 6.10	_

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Three Chase Merchiech Center Brookyn, New York 11245-0001

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STATEMENT PERIOD
December 1 - December 31,2012

ACCOUNT NUMBER

November 30, 2012

Your Portfolio Holdings (continued)

MUTUAL FUNDS (Continued)

DESCRIPTION	ACCT ACQUISITION TYPE DATE	QUANTTY	SAICE	VALUE	UNIT COST	COST	GANALOSS	ANNUAL INCOME	E YIELD (%)
TAY FOS INC ASSET STRATEGY FO CL A SYMBOL: WASAX	ž: l	2,357.754	25.88	61,019	22.81	53,785	7,234 נז	1,582	2.59
LOOMIS SAYLES FDS 8 STRATEGIC INCOME FD CL A	CASH	4,895.529	15,47	75,734	14.07	68,872	6,862 נז	3,951	5.22
MATTHEWS PACIFIC TIGER FUND SYMBOL: MAPTX	CASH	1,057.86	24.42	25,833	17.57	18,581	7,252 LT	165	- P
OPPENHEIMER DEVELOPING MARKETS FUND-CL A SYMBOL: ODMAX	CASH	813.492	35.29	28,708	21.79	17,727	10,981 נד	112	0.39
PERMANENT PORTFOLIO FUND INC	CASH	587.137	48.64	28,558	47.19	27.707	851 LT	159	0.56
TOTAL MUTUAL FUNDS				\$505,111		\$446,524	\$58,587	\$15,949	

YOUR PORTFOLIGHOLDINGSESTIMATED ANNUAL INCOME YOUR PRICED PORTFOLIGHOLDINGS

\$22,414 \$767,187



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Three Crase Macroscin Cortar

Brooklyn, New York 11245-0001

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ACCOUNT NUMBER STATEMENT PERIOD

December 1 - December 31, 2012

November 30, 2012

## Transaction Detail

DIVIDENDS / INTEREST / OTHER INCOME

			ODMAX	OPPENHEIMER DEVELOPING MARKETS FUND-CL A RECORD 12/06/12 PAY 12/07/12	12/10/12
	0.4852	238	ПP	ISHARES TR BARCLAYS TREAS INFLATION PROTECTED SECS FD REC 12/05/12 PAY 12/07/12	12/07/12
	0.4043	443	AGG	ISHARES CORE TOTAL US BOND MARKET ETF S/T CAD GNS 443 SHS REC 12/05/12 PAY 12/07/12	12/07/12
	0.2223	443	AGG	ISHARES CORE TOTAL US BOND MARKET ETF REC 12/05/12 PAY 12/07/12	12/07/12
	0.0397	443	AGG	ISHARES CORE TOTAL U S BOND MARKET ET LT CAP GMS 443 SHS REC 12/05/12 PM 12/07/12	12/07/12
			PRPFX	PERMANENT PORTFOLIO FUND INC LT CAP-GAIN DIV RECORD 12/04/12 PAY 12/05/12	12/06/12
			PRPFX	PERMANENT PORTFOLIO FUND INC RECORD 12/04/12 PAY 12/05/12	12/06/12
			סרואא	DOUBLELINE FOSTR TOTAL RETURN BO FD CL N RECORD 11/29/12 PAY 11/30/12	12/03/12
			MECMX	BLACKROCK CALIFORNIA MUNCIPAL BOND FUND INC CL A RECORD 00/00/00 PAY 11/30/12	12/03/12
DEBIT AMOUNT	RAIE(S)	YTTYMUO	SYMBOLICUSIP	DESCRIPTION	DATE



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CLEARED THROUGH ITS WHOLLY OWNED SUBSIDIARY LP. Morgan Clearing Corp. Three Chass Metrotech Conter Brooklyn, New York 11245-0001

JANIS G BERMAN

Transaction Detail (continued)

DIVIDENDS / INTEREST / OTHER INCOME (Continued)

DIVIDENDS (Continued)

232.43		0.5392	431	BMI	ISHARES RUSSELL 1000INDEX FUND REC 12/21/12 PAY 12/26/12	12/26/12
220.16		0.7266	303	IWWI	ISHARES TRUST RUSSELL 2000 INDEX FD REC 12/21/12 PAY 12/26/12	12/26/12
808.25				NEFZX	LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A RECORD 12/19/12 PAY 12/20/12	12/21/12
116.38				MECMX	BLACKROCK CALIFORNIA MUNCIPAL BOND FUND NC CL A ST CAPGAIN DY RECORD 12/18/12 PAY 12/19/12	12/20/12
48.38				MECIMX	BLACKROCK CALIFORNIA MUNCIPAL BOND TUND INC CL A LT CAPCANN DIV RECORD 12/18/12 PAY 12/19/12	12/20/12
358.81				MDLOX	BLACKROCK GLOBAL ALLOCATION FD INC CL A RECORD 12/18/12 PAY 12/19/12	12/20/12
165.16				MAPTX	MATTHEWS PACIFIC TIGER FUND RECORD 12/12/12 PAY 12/13/12	12/14/12
21.65				MAPIX	MATTHEWS PACIFIC TIGER FUND LT CAPGAIN DIV RECORD 12/12/12 PAY 12/13/12	12/14/12
CREDIT AMOUNT 1,583.23	DEBIT AMOUNT	RAJE(\$)	OUANTITY	WASAX	DESCREPTION IVY FDS INC ASSET STRAITEGY FD CL A RECORD 12/12/12 PAY 12/13/12	24T 12/14/12

STATEMENT PERIOD December 1 - December 31,2012

ACCOUNT NUMBER

November 30, 2012

J.P.Morgan Securities

OFFICE SERVICING YOUR ACCOUNT J.P. AROTAIN SECURISHS LLC 2029 Century Park East Suite 3700 Los Arogees, CA 90067 (310) 201-2600

J.P. Morgan Clearing Corp. Three Chase Mercotech Cerner Brooklyn, New York 11245-0001 WHOLLY OWNED SUBSIDIARY

JANIS G BERMAN

STATEMENT PERIOD

December 1 - December 31, 2012

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Transaction Detail (continued)

DIVIDENDS / INTEREST / OTHER INCOME (Continued)

> ACCOUNT NUMBER November 30, 2012

DIVIDENDS (Continued)

\$5.978.52						TOTAL DIVIDENDS(CREDIT)
87.23		0.2019	432	DEM	WISDOM TREE EMERGING MARKETS EQUIT INCOME FUND EIF REC 12/27/12 PAY 12/31/12	12/31/12
220.65		0.6095	362	EFA	ISHARES MSCI EAFE INDEX FUND REC 12/20/12 PAY 12/27/12	12/27/12
385.77		0.5511	700	DWY	ISHARES TR DOW JONES SEL DIVID INDEX FD REC 12/21/12 PAY 12/26/12	12/26/12
169.38		0.8222	206	WR	ISHARES TR RUSSELL MIDCAP INDEX FD REC 12/21/12 PAY 12/26/12	12/26/12
CREDIT AMOUNT	DEBIT AMOUNT	RATE(S)	YTTUNBLED	SYMBOLCUSIP	DESCRIPTION	DATE

## MISCELLANEOUS

\$61.85	מוח	OTAL MISCELLANEOUS(CREDIT	TOTAL MIS
28.43	128-1REBATE FEE	JOURNAL	12/28/12
13.29	128-1 REBATE FEE	JOURNAL	12/28/12
5,64	128-1REBATE FEE	JOURNAL	12/28/12
14.49	128-1REBATE FEE	JOURNAL	12/21/12
DEBIT AMOUNT CREDIT AMOUNT	DESCRIPTION	TRANSACTION	MODAY



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JANIS G BERMAN

STATEMENT PERIOD

December 1 - December 31,2012

ACCOUNT NUMBER

Transaction Detail (continued)

12/03/12 12/03/12 12/03/12 SWEEP PROGRAM ACTIVITY 12/06/12 12/14/12 12/10/12 12/07/12 12/21/12 12/20/12 END. REINVEST DIVIDEND E GNO FUND GNUF FUND PANCEGAN TR 1
PRIME MONEY MICH FO ACY CL
SECURITY SAMEDL IS VAMIXX
MONTHLY DIVIDEND 11/201-11/20
PANCEGAN TR 1
PRIME MONEY MICH FO ACY CL
SECURITY SYMBOL IS VAMIXX
MONTHLY DIVIDEND REINVESTED
PHOREGAN TR 1
PRIME MONEY MICH FO ACY CL
SECURITY SYMBOL IS VAMIXX
MITALOAY PERENTION

JPANCEGAN TR 1
PRIME MONEY MICH FO ACY CL
SECURITY SYMBOL IS VAMIXX
MITALOAY PURCHASE
JPANCEGAN TR 1
PRIME MONEY MICH FO ACY CL
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PRIME MONEY MICH TO ACY CL
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PRIME MONEY MICH TO ACY CL
SECURITY SYMBOL IS VAMIXX
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JPANCEGAN TR 1
PRIME MONEY MICH TO ACY CL
SECURITY SYMBOL IS VAMIX
MITALOAY PURCHASE
JPANCEGAN TR 1
PRIME MONEY MICH TO ACY CL
SECURITY SYMBOL IS VAMIX
MITALOAY PURCHASE
JPANCEGAN TR 1
J OPENING BALANCE 1008/2007 SUMBOLICUSE 1008V2007 100BV2007 1008V2007 1008V2007 1008V2007 -520.64 112.60 410.74 369.90 523.57 0.43 LAST STATEMENT 808.25 523.57 112.60 410.74 369.90 November 30, 2012 CREDIT AMOUNT 520.64

J.P.Morgan

J.P.Morgan Securities

CLEARED THROUGH ITS WHOLLY DWNIED SUBSIDIARY J.P. Morgan Cleaning Corp. Tree Chase Memolech Center Brooklyn, New York 11245-0001

JANIS G BERMAN

STATEMENT PERIOD

December 1 - December 31,2012

ACCOUNT NUMBER

12 of 18

Transaction Detail (continued)

\$520.64	<b>5-5.373.00</b>		4,852,36			GACTIVITY	NET SWEEPING ACTIVITY
\$0.43						RAM DIV/INT	SWEEP PROGRAM DIV/INT
			15,654.30		CLOSING BALANCE		12/31/12
	87.23		87.23	1008V2007	PRIME MOURS MRT FD AGY CL PRIME MOURTY MRT FD AGY CL SECURITY SYMBOL IS VMIXX INTRA-DAY PURCHASE	FUND	12/31/12
	47.36		47.36	1008V2007	PANORGAN TR ( PRIME MONEY MAT FD AGY CL SECURITY SYMBOL IS VAXIXX FROM CASH ACCOUNT BALANCE	FUND	12/31/12
	220.65		220.65	1008V2007	JPWORGAN TR I PRIME MONEY MICT FD AGY CL SECURITY SYMBOL SYMIXX INTRA-DAY PURCHASE	FUND	12/27/12
	1,007.74		1,007.74	100BVZ007	JPMORGAN TR I  PRIME MONEY MICH FO ACY CL SECURITY SYMBOL IS VMIXX INTRA-DAY PURCHASE	FUND	72/26/12
	14,49		14.49	1008V2007	JPMORGAN TR :  PRIME MONEY MKT FD AGY CL SECHETY SYMBOL IS VAIIXX FROM CASH ACCOUNT BALANCE	FUND	12/24/12
CREDIT AMOUNT	DEBIT AMOUNT	PRICE	YITTYAND	SWARDTORSIL	DESCRIPTION	TRANSACTION	DATE NO/DAY
November 30, 2012	LAST STATEMENT				(Continued)	SWEEP PROGRAM ACTIVITY (Continued)	SWEEP PRO
						1 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	

FUNDS PAID / DELIVERED

TOTAL FUNDS PA			12/03/12	DATE CLEARED
S PAID / DELIVERED			12/03/12	TRANSACTION, DATE
	00000001 000000846	322271627 876-306777-1	ACH BANK TRF	DESCRIPTION
			FND WIRED	TRANSACTION TYPE
				REFERENCE NUMBER
\$1.188.44			1,188.44	EBIT AMOUNT

## J.P. Morgan Securities J.P.Morgan

13 of 18

OFFICE SERVICING YOUR ACCOUNT
J.P. Morgan Securities LLC
2022 Cantury Park East
Suita 3700
Los Angeles, CA
310) 201-2600

CLEARED THROUGH ITS J.P. Morgan Clearing Corp. Three Chase Metrotech Cemer Brooklyn, New York 11245-0001

JANIS G BERMAN

STATEMENT PERIOD

December 1 - December 31,2012

November 30, 2012

## Your messages

important information For Clients Holding Restricted Securities.

Restricted Securities (typically noted as "Restricted" or "RSTD" in the security description) have not been registered under the Securities Act of 1933 and may not be "freely traded." Since restricted securities are subject to certain restrictions which may render them illiquid or less liquid than freely-tradeable shares, there can be no assurance a secondary market exists. While we typically use the value of the registered/unrestricted security of the same issuer and same class for statement (and other) reporting purposes, the price realizable in a sale of the securities may be less than the "Market Value" indicated and could be zero. No attempt has been made to independently value the specific security subject to its restriction. Additionally, inclusion of pricing of these holdings will result in the aggregated value of your portfolio as reflected on this report being overstated by an amount equal to the difference (if any) between the value of the freely-traded underlying security and the actual value of your restricted shares. For additionall information on pricing, please see "Market Prices" on the back of your account

As part of the Financial Industry Regulatory Authority (FINRA) Investor Education Program, you may obtain information on FINRA's Public Disclosure Program by contacting FINRA is telephone number (20) 289-9999 or via the internet address which is www.finra.org. In addition, a brochure explaining the Public Disclosure Program is available from FINRA upon request.

# IP. Morgan Brokerage Account. Equity Salance 21/2/13 \*\*

MON O	CL A1 100%	12*RB7*BERMAN JANIS G 4/LQ	51/0/1 00/00/00	•	**
TER H	TER HLD FRM NA	CASH AVAIL 10,493 TEFRA 4	4 TOT MV		770,110
HOLD !	HOLD PROCEEDS	ACH C	ACH C FN:43 TOT EQTY	•	770,110
	POSITION	SECURITY DESCRIPTION	PRICE XCHG	DLA	SECURITY
	***** TY	***** TYPE 1 *****- HISTORICAL POSITIONS	***		PAGE: 1
	10,493.39	10,493.39 JEMORGAN TR I	1.000		A000BV2
		PRIME MONEY MKT FD AGY CL		3	10,493
	36.17	PRIMARY FUND-IN LIQUIDATION	0.000		A000CA5
	340.76	**INVESCO ENERGY FUND	38,290		IENAX
		CLASS A		ΔM	13,047
	3,354.341	**BLACKROCK GLOBAL ALLOCATION	20.010		MDLOX
		FD INC CL A		Z.	67,120
	10,717.753	**BLACKROCK CALIFORNIA	12.680		MECHY
		MUNCIPAL BOND FUND INC CL A		N.	135,901
	6,212.287	**DOUBLELINE FDS TR	11.330		DITINX
		TOTAL RETURN BD FD CL N		3	70,385
	303	ISHARES TRUST RUSSELL 2000	86.800		IMM
		INDEX FD		3	26,300
	431	ISHARES RUSSELL 1000 INDEX	81.100		IWB
		FUND		S	34,954
1					

FIRST SCREEN
A/C: 720 09281 CY: DT: 010213 S:

No Purchases or Sales 1/1/12-1/2/13

	4,895.529		238		700		2,357.754		443		362		206	**** 150	POSITION	HOLD PROCEEDS	TER HLD FRM NA	MGN CL AT 100%	
STRATEGIC INCOME FD CL A	**LOOMIS SAYLES FDS II	INFLATION PROTECTED SECS FI	ISHARES TR BARCLAYS TREAS	INDEX FD	ISHARES TR DOW JONES SEL DI	ASSET STRATEGY FD CL A	**IVY FDS INC	MARKET ETF	ISHARES CORE TOTAL U S BOND		ISHARES MSCI EAFE INDEX FUN	INDEX FD	ISHARES TR RUSSELL MIDCAP	E 1 ***** HISTORICAL POSIT	SECURITY DESCRIPTION		CASH AVAIL 10,493		12*RB7*BERMAN JANIS G
	15.590		121.340		IVID 58.540	Prof	26.570	tet.	110.950	l gg	ID 57.745	לכי	115.970	HONG ******	PRICE XCHG I	ACH C FN:43 TOT EQTY	TEFRA 4 TOT MV	,	
MV 76,321	NEFZX	WV 28,878	GIL	40,978	YVQ	MV 62,645	WASAX	W 49,150	AGG	W 20,903	EFA	IV 23,889	LMR	PAGE: 2	LA SECURITY	770,110	770,110		* *
	MΛ	**LOOMIS SAYLES FDS II 15.590 NEF STRATEGIC INCOME FD CL A MV	INFLATION PROTECTED SECS FD MV NEI **LOOMIS SAYLES FD II 15.590 MV NEI STRATEGIC INCOME FD CL A	ISHARES TR BARCLAYS TREAS 121.340 TIE INFLATION PROTECTED SECS FD MV **LOOMIS SAYLES FDS II 15.590 MV STRATEGIC INCOME FD CL A	INDEX FD ISHARES TR BARCLAYS TREAS INFLATION PROTECTED SECS FD **LOOMIS SAYLES FDS II 15.590 MV STRATEGIC INCOME FD CL A	ISHARES TR DOW JONES SEL DIVID 58.540 MV INDEX FD MV TIE ISHARES TR BARCLAYS TREAS 121.340 MV TIE INFLATION PROTECTED SECS FD MV **LOOMIS SAYLES FDS II 15.590 MV NEE STRATEGIC INCOME FD CL A	ASSET STRATEGY FD CL A ISHARES TR DOW JONES SEL DIVID 58.540 MV INDEX FD ISHARES TR BARCLAYS TREAS INFLATION PROTECTED SECS FD **LOOMIS SAYLES FDS II 15.590 MV STRATEGIC INCOME FD CL A	**IVY FDS INC 26.570 WAS ASSET STRATEGY FD CL A ISHARES TR DOW JONES SEL DIVID 58.540 MV DVY INDEX FD ISHARES TR BARCLAYS TREAS 121.340 MV TIE INFLATION PROTECTED SECS FD MV WEI **LOOMIS SAYLES FDS II 15.590 MV WEI STRATEGIC INCOME FD CL A	MARKET ETF  **IVY FDS INC  ASSET STRATEGY FD CL A ISHARES TR DOW JONES SEL DIVID 58.540 INDEX FD ISHARES TR BARCLAYS TREAS INFLATION PROTECTED SECS FD  **LOOMIS SAYLES FD CL A STRATEGIC INCOME FD CL A  MV WAS WAS NAME  **ALOOMIS SAYLES FD CL A	ISHARES CORE TOTAL U S BOND 110.950 MV  **IVY FDS INC  **SSET STRATEGY FD CL A ISHARES TR DOW JONES SEL DIVID 58.540 MV INDEX FD ISHARES TR BARCLAYS TREAS INFLATION PROTECTED SECS FD  **LOOMIS SAYLES FDS II 15.590 MV STRATEGIC INCOME FD CL A  MY  **HOOMIS SAYLES FD CL A  **MY  **HOOMIS SAYLES FD CL A	ISHARES CORE TOTAL U S BOND 110.950 MV  **IVY FDS INC  **SSET STRATEGY FD CL A ISHARES TR DOW JONES SEL DIVID 58.540 MV INDEX FD ISHARES TR BARCLAYS TREAS INFLATION PROTECTED SECS FD  **LOOMIS SAYLES FDS II 15.590 MV STRATEGIC INCOME FD CL A  MY  NEI  **STRATEGIC INCOME FD CL A	ISHARES MSCI EAFE INDEX FUND 57.745  ISHARES CORE TOTAL U S BOND 110.950  MARKET ETF  **IVY FDS INC  ASSET STRATEGY FD CL A ISHARES TR DOW JONES SEL DIVID 58.540 INDEX FD ISHARES TR BARCLAYS TREAS INFLATION PROTECTED SECS FD  **LOOMIS SAYLES FDS II 15.590  MV  NEI  STRATEGIC INCOME FD CL A  WV  NEI  MV  NEI	INDEX FD ISHARES MSCI EAFE INDEX FUND 57.745  ISHARES CORE TOTAL U S BOND 110.950  MARKET ETF  **IVY FDS INC ASSET STRATEGY FD CL A ISHARES TR DOW JONES SEL DIVID 58.540 INDEX FD ISHARES TR BARCLAYS TREAS INFLATION PROTECTED SECS FD  **LOOMIS SAYLES FDS II 15.590  MV NEI STRATEGIC INCOME FD CL A  MV NEI  MV N	ISHARES TR RUSSELL MIDCAP 115.970 MV INDEX FD ISHARES MSCI EAFE INDEX FUND 57.745 MV ISHARES CORE TOTAL U S BOND 110.950 MV **IVY FDS INC ASSET STRATEGY FD CL A ISHARES TR DOW JONES SEL DIVID 58.540 MV INDEX FD ISHARES TR BARCLAYS TREAS 121.340 MV **LOOMIS SAYLES FDS II 15.590 MV STRATEGIC INCOME FD CL A STRATEGIC INCOME FD CL A	YPE 1 *****- HISTORICAL POSITIONS ************************************	SECURITY DESCRIPTION  TYPE 1 ****- HISTORICAL POSITIONS	N SECURITY DESCRIPTION PRICE XCHG DLA SECURITY TYPE 1 ****- HISTORICAL POSITIONS ************************************	A CASH AVAIL 10,493 TEFRA 4 TOT MV 770,110  N SECURITY DESCRIPTION PRICE XCHG DLA SECURITY TYPE 1 ****- HISTORICAL POSITIONS ******** PAGE: 06 ISHARES TR RUSSELL MIDCAP 115.970 MV 23,889 62 ISHARES MSCI EAFE INDEX FUND 57.745 MV 23,889 62 ISHARES CORE TOTAL U S BOND 110.950 MV 20,903 43 ISHARES CORE TOTAL U S BOND 110.950 MV 49,150  MARKET ETF 54 **IVY FDS INC 26.570 MV 49,150  ASSET STRATEGY FD CL A 15HARES TR DOW JONES SEL DIVID 58.540 MV 40,978  INDEX FD INFLATION PROTECTED SECS FD MV 40,978  INFLATION PROTECTED SECS FD MV 28,878  STRATEGIC INCOME FD CL A 15.590 MV 40,878  STRATEGIC INCOME FD CL A NEFZX  STRATEGIC INCOME FD CL A NEFZX  STRATEGIC INCOME FD CL A NEFZX	CASH AVAIL  10,493 TEFRA 4 TOT MV 770,110  ACH C FN:43 TOT EQTY 770,110  SECURITY DESCRIPTION  PRICE XCHG DLA SECURITY  TYPE 1 ***** HISTORICAL POSITIONS ********  6 ISHARES TR RUSSELL MIDCAP  INDEX FD  2 ISHARES MSCI EAFE INDEX FUND 57.745  MARKET ETF  4 **IVY FDS INC ASSET STRATEGY FD CL A ISHARES TR DOW JONES SEL DIVID 58.540  INDEX FD  INDEX FD  INFLATION PROTECTED SECS FD  **LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A  ACH C FN:43 TOT MV 70,110 SECURITY 770,110 PRICE XCHG DIA SECURITY PRICE XCHG DIA SECURITY PRICE XCHG DIA SECURITY PAGE: IWR 110.950 MV 23,889 STRATEGIC INCOME FD CL A SECURITY PAGE: IWR 110.950 MV 20,903 AGG AGG WV 49,150 WV 49,150 WV 49,150 WV 49,150 WV 40,978 STRATEGIC INCOME FD CL A STRATEGIC INCOME FD CL A STRATEGIC INCOME FD CL A

A/C: 720 09281 CY: DT: 010213 S:

432	587.137		נפא נופ	1,057.86	****	NOILISOA	HOLD PROCEEDS	TER HLD FRM NA	MGN CI AI 100%
WISDOM TREE EMERGING MARKETS EQUITY INCOME FUND ETF	**PERMANENT PORTFOLIO FUND INC			1,057.86 **MATTHEWS PACIFIC TIGER FUND	***** TYPE 1 *****- HISTORICAL POSITIONS	SECURITY DESCRIPTION		CASH AVAIL	12*RB7*BERMAN JANIS G
3 MARKETS ETF	IO FUND INC	CHENG		FIGER FUND	AL POSITIONS	ION	ACH C	10,493 TEFRA	
57.970	49.120		) ) )	24.720	****	PRICE XCHG	ACH C FN: 43 TOT EQTY	10,493 TEFRA 4 TOT MV	03/12//13
MA	Νį	ΛM	ΜV			DLA	YT		
DEM 25,043	PRPEX 28,840	29,106	26, 150	MAPTX	PAGE:	SECURITY	770,110	770,110	*

w

LAST SCREEN A/C: 720 09281 CY: DT: 010213 S:

# UBS Financial beries Purchases from 1/1/12-1/2/13

Client Inquiry - Activity for 01/18/13 14:45:36

Page 1 of 1

S Type: RMA Activity as of (Intra); N/A Address: Howard Lawrence Berman Status: Active Activity as of: 01/17/13 FA: JPAB Money Mgr: N/A Features: HH, Premier, PCS Last Strnt: 12/12 Objective: Curr Income & Cap App Home Vaice Risk: Aggressive/Speculative

330,277.76 Total Value: 278,034.38 Equity: 20,814.77 Money Funds/Sweep: 9 Debli/Credit Balance: 20,814.77 Funds Available: 0.00 **Buying Power:** 

Filtered by: Entry Date 01/81/2012 01/02/2013, Bought Generated On: 02/18/2013 05/11/12 06/28/1/2 06/28/12 10/18/17 10/18/12 04/26/12 05/11/12 06/28/12 05/11/13 04/26/12 04/26/12 04/26/12 Entry Date 04/26/12 10/23/12 07/03/12 21,623,12 07/03/12 10/23/12 05/16/12 05/16/12 05/01/12 05/16/12 Settle 05/01/12 05/01/12 05/01/12 05/01/12 Date LHS/NO8 LHDROB HENDE Activity BOUGHT **BOUGHT BOUGHT** POUGHT HOUGHT BOUGHT BOUGHT JEDUGH HOUGHT HOUGHT ALPHA NATURAL RESOURCES INC 第一Trade#:05172 Blot:60 TALISMAN FNERGY INC CAD BHP BILLITON LTD SPON ADR Trade#:15739 Biot:60
POTASH CORP SASK INC CANADA CAD
Trade#:92297 Biot:60 NEWMONT MINING CORP (HOLDING CO.)
Trade#:16739 Biot:60 Trade#:15612 Blot:60 CENTURYLINK INC CENTURYLINK INC IMTL PAPER CO Trade#:61702 SILVER WHEATON CORP CAD Trade#:92431 Blot:60 Trade#:91757 Blol:60 Trade#:16534 BARRICK GOLD CORP CAD **ENCANA CORP CAD** Trade#:92160 Blot:60 MOSAIC CO Trade#:91969 Blot:60 Blot:60 Blot:21 Description Account# in Vie TLM-4/65AU IP-229512 ANR-OUSAIMB CTL-0827A6 Symbol / Security# NEM-332607 GG 1862S8 CTL 0827A6 BHP (M9AJS ECA-1725R6 POT-366242 SLW-423220 ABX-0439G4 MOS-042F50 Type Quantity 600.000 750.000 125,000 200.000 250.000 150,000 150,000 206.000 125.000 150.000 350,000 150.000 100.000 Price/Detail **UBS Financial Services** \$27.990 \$13.298 \$38.570 \$39.537 \$61,450 \$43.104 \$45.717 \$35.128 \$9.368 \$19.857 \$39.857 \$29.907 \$52.257 .\$7,15A.15 46,190.0 41,232 · 1.686.全 וחטטחו S.J.005.5. 作送出 8.0.76 % \$7 838.57 (1811 CF .5. 1.1.9 \$7 (5)1.0 34 (Sec. ) 1 65 1

1 Total Bought Amount: -\$88,892,49

Intraday updates for Equity, Fosed Income and Murual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. <u>Commission and fees for Equity trades are estimates, and may not match what is charged to the account,</u> fixed income agency hades may not include commission and fees. Musual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fuild transfers, some trade corrections and some fees are not included intraday.

this report is for informational burposes only and may or may not include all holdings or client accounts. All information presented is subject to change at any time and is provided unly as of the date indicated. The Firm's periodic account statements and official tax documents are the only official record of client accounts and are not superseded, replaced, or amended by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, for tax purposes or otherwise.

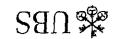
Page: 1 of 1 Rows: 1 - 13 of 13

I The "Total" is a sum of the values displayed in the "Amount" column

<sup>2</sup> Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

Branch office: 2029 Century Park East Sure 3000 Los Angeles, CA 900673016

What's inside



#### WaivaA InailO RaU

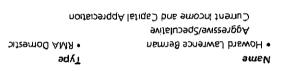
as of January 2, 2013

#### Prepared for

NAM838 QRAWOH

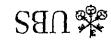
#### Accounts included in this review

8	Important information about this report.
Z	Realized garnhoss.
7	Portfolio holdings









#### ${\bf Portfolio}\ holdings$

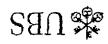
as of January 02, 2013

#### Summary of Portfolio Holdings

%001	%01.Z	02,251,72	%1Z`S	ES. 34E, 812	£338°467.81	83.121,12E2	ollotrog lstr	ΔŢ.
%000	<b>%00'0</b>	0.00	%00'0	00.0	00.0	00.0	Other	3
12.00%	%ES:0	79.735	% 75 · l	16.037	66.826,02	89.831,02	8road commodities	_
%00°51	%ES'0	<b>79.73</b> 2	%75'1	15.037	66'826'05	88.881,02	seitibommoo bsora	3
%00'0	%00.0	00.0	%00.0	00.0	00.0	00.0	Alternative strategies	a
% LE.8	%00 O	00.0	%16 VI	87.029,5	00.455,85	24.603,72	Global fixed income	_
<b>%90</b> 0	%///9	St.El	%197	14.06	97.861	184.70	J.C. fixed income	
% LE.8	%50'0	24.E1	%99'7L	AE.4E8,E	28,422,76	Z4,887,42	Fixed income	כ
<b>%96</b> 1	<b>%</b> 69'9	445.50	%68 <sup>.</sup> 9-	79.264-	00'\$\$9'9	79'. 471'. 4	Global equity	_
%65 <sup>.</sup> E7	%7E''Z	46.428,1	%71 <sup>'9-</sup>	78.912,2-	60.170,08	96'067'58	Mon U.S. equity	
%6S'SÞ	%167	19'ESS't	%95°PL	90'799'61	124,763.44	8£.660,2£1	U.S. equity	
%71.17%	% <b>v</b> 8.Z	80'758'9	%EL'9	72,126,51	241,489.53	227,537.96	Equities	8
%67°S	%00 <sup>°</sup> 0	00.0	%00.0	00.0	59.859.81	79.979,81	des. 2.U	_
% 5 r 'S	%00'0	00.0	%00.0	00.0	18,626.62	18,626.62	Cash and cash afternatives	٧
-, -	Current (%) blsiy	Est. annual income (\$)	Unrealized (%) szol/nisp	Unrealized (\$) ssol/nisp	no auleV (\$) E105\20\10	Cost basis (\$)		_

Balanced mutual funds are allocated in the 'Other' category

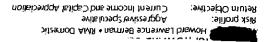


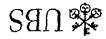


Portfolio holdings - as of January 02, 2013 (continued)

#### Details of portfolio holdings

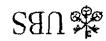
%8 % :		50† %1 12. %†	7E 397.00	%61 <sup>,</sup>	75 776'E 18'798'1	PS 682'6 98 PET5	2,280,00 3,280,05	79 85 68 69	00 SE	00.47 00.791	PROCTER & GAMBLE CO. RAYTHEON CO NEW
%†! %†? %!6 %{\$ %Z8	t %5 0 %8 7 %2	7	1 00:097	% EE 6 % 09 5 % 02 1 % 68 64 2	11.854,1 01.527 08.12 84.151,0	00 020'#1 71 660'E 91 #86'8 91 #86'8	76 427,4 68 202,5 76 740,6 77 888,21	06'9# 15'25 88'17 7'06	06 77 97 75 81 17 97 57 18 75	00'00E 00'05Z 00'12 00'E6	JOHNSON & JOHNSON COM MEWMONT MINING CORP MOSPIC CO (HOLDING CO )
%86 %86	7 %6 0 %68 7 %29 1 %27	7 %96 0 %17 E %25 7 %p0	7: 00:00E 7: 00:06 7: 00:822	%67 17 % \$7 87 % \$2 87 % \$2 12- % 98 12-	51.896.2 00 1.24 29 1.02:1 72.72.2 75.259.4	05 22 1/01 00 86 1 7 00 1/28 8 00 796 5	PC 889'8 DO 799'1 PE 691'7 DO 799'1 PC 85'22'	6P/DP 8E 17 #Z:88 78:67	29.85 29.91 29.91 49.44 49.44 60.44	00 00 00 00 00 00 00 00 00 00 00 00 00	EMERSON ELECTRIC CO EXCELON CORP INTEL CORP INTEL CORP
%87 %07:1 %6Z:1 %EZ:1 %EZ:1	1 %60   %68   %95   %99	7 %00 2 %00 0 %527 9 %272	00.00 5 SZ 75 6 00.00 7 S 75	% 72' 65 % 10' 01 - % 10' 01 - % 22' 0 % 10' 01 - % 20' 5 Cc	61.882,8- 10.811,1 10.814,1 10	00.003,6 00.003,6 00.003,6 00.003,6 00.003,6	60.058,E 20.704,8 40.204,8 50.704,8 50.304,E	02.22 22.21 46.02 81.04 09.6	E8.71 28.81 38.81 01.81	00.00 00.00 00.00 00.00 00.00 00.000,1	COENB D. ALENE MINES CORP
ò % oiloire	to % equity_pe	,,,	) leunne .tz3 yv (\$) 9mooni	bəsilsəndU (%) szol\nisp	basilaand (\$) szoNniep	Market value (\$)	(\$) sised 1500	Price on (\$) £102\20\1(	-Ανg. purchase price (\$)	yiiJneuQ	Equities 2.U
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Portfolio holdings - as of January 02, 2013 (continued)

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ER WHEATON CORP CAD	00'051	30.05	Z0'48	77 <sup>2</sup> 265 <sup>1</sup> 7	00'ESS'S	89 296	%6Z 07	00'77	<b>%9/0</b>	%oe z	% <b>†</b> 9 (:
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MECO CORP CANADA CAD	00.005	60°57	22.02	77.710,2	00'770'7	77.£76-	%l761-	07.08	%00 Z	%491	611
SELITON LTD SPON ADR		17.29	£6.6Z	Z9:888'L	SZ 166'6	5,152.63	%9tr ZZ	00 087	%08.Z	%たした	۲۶ ۵۰
BRICK GOLD CORP CAD	00.002	Z0.24	£8.2£	ÞĽÞ10'6	00.801,7	<b>7</b> 7.806 t-	%L1.12-	00.031	%S7'7	%P6 7	60°Z
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tal U.S. equity				8£ 660'5£1 <b>\$</b>	99'E9Z'051\$	90.499,818	%95*tL	9 85598	%\$6'Z	%60 <b>7</b> 9	65 S p
MEX-COM											
VEL DIZNEL CO (HOEDING CO)	00'0SL	Z#'51	01/15	- 00' L7E' Z	00'599'4	00'77E'S	%57'0EZ	)S'ZII	%	%41 E	37.Z
M TECHNOLOGIES INC	4,000.00	£6`Z	82.0	11,739,84	3,104.00	78'SE9'8-	%95°E.∠-	0.00	%00°0	%67 I	60
IION BYCIEIC CORB	00.87	30.25	97 87)	SZ'89Z'Z	0S 7E9'6	52' <b>39</b> 8'L	354.66%	0 202	/St'7 (	%66 E	2.8
5. equity				4-21-4-2		7,110-14					
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Portfolio holdings - as of January 02, 2013 (continued)

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C8.8Sb,21\$ :sbn9bivib b9teavr eb.828,S1\$ :netuni finamtea RataW:JA80JB 988-Mi3Hn3DD	00 005	85 i.S	99.77	Z1.887,01	00:086,r1	88 L <b>†</b> S	%?0° <u>\$</u>	1 OSSZZ	7%661	%\$Z*ZZ	%¢E:E
FRANKLIN GOLD & PREC MET A	£7.E40,t	56.EE	64.1£	<b>7</b> E.9E4,2E	66.888,SE	8£.572,5-	%9Z.7.	45.17	%E1.0	%ES:1×9	%89 <sup>°</sup> 6
sad commodities	Quantity	Avg. purchase price (\$) 0	Price on 1/02/2013 (\$)	(\$) sized f20)	Market value (S)	bazileand (2) zzolvnieg	Unrealized (%) szol/nisg	Est. annual Ci		% of broad comm	o % bilotrioq
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Portfolio holdings - as of January 02, 2013 (continued)

83 121,1258

Cost basis (\$)

L6'297'6EE\$

Market value (\$)

Total accrued interest (included in market values): \$0.04

Report created on: January 14, 2013

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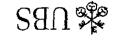
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Unrealized

(\$) ssol/uleb

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#### Realized gain/loss

Year 2012

TOTAL GAINS:

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59	65

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LONG TERM - TOTAL REALIZED GAIN/(LOSS):			2,066.34							
:235201 JATOT		•	10,912.09							
SHORT TERM - TOTAL REALIZED GAIN/(LOSS	:		00.0							
TOTAL REALIZED GAIN/(LOSS):					80'598'68		ZÞ'L£Þ'Lサ	⊅£'990'Z	%\$Z'\$	
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PATRIOT COAL CORP	NOTTAEEO7	פכאכט	00 00E	1107/17/50	.25 too'z	107/8/7012	E9.5P	¥6'5\$6'9·	%SE'66:	n
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ARENA PHARMACEUTICALS INC	701/40040	ANAA	00.025	6002/20/20	\$1.070,1	Z10Z/8Z/90	25.788,2	81.718,1	%ZI:1S1	1
	CUSIP	lodmy2	Viting	Purchase 936b	Purchase (\$) Invome	ele? ejsb	elec ( <b>\$</b> ) Janoms	Realized (\$) (\$)	Percent oT (%) (reol)\nisp	GLIII

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My SOOS, IE 1907/95/90 SMILE AND SOURCE SOUR nogal seri . Sant baya sang angkerkataka nomana cara nogal seri . Sant baya sang angkerkataka nomana cara sirit . COOK . TE hadra sang angkerkataka nomana cara ard tandragos souciolisti mentograi enemos richises ent nocion sur esono esono analesien dans residentales ent

Disclosures applicable to accounts at UBS Financial Services Inc.



#### Disclosures applicable to accounts at UBS Financial Services Inc. (continued)

Account changes: At UBS, we are committed to belping you work toward your linancial goals. So that we may continue prohiding you with financial advice that is consistent with your investment objectives, please consider the following two questions:

1) Have there been any changes to your financial by Have there been any changes to your financial that also not investment objectives?

2) Wouldyou like to implement or mocify any restrictions regarding the management of your accounts? Wouldyou like to implement of your accounts the arway to either question is 'yes,' it is important that you contact your financial Advisor as soon as accounts, please contact your investment manager accounts, please contact your investment manager investment restrictions on your account.

ADV disclosure: A complimentary copy of our current form ADV Cisclosure Brochure that describes the advisory program and related feets is available through your financial Advisor. Please contact your financial Advisor. Please contact your financial Advisor any questions.

Important information for former Piper Jaffray and Michonald investments of according and Michonald investments of according of commer piper, shall be former provided investments of commer piper, talitay and Michonald investments accounts prior to August 12, 2006 and Michonald investments accounts prior to August 12, 2006 and 2007, the date the respective accounts were converted to UBS FS. UBS FS has not independently verified this formation not do we make any representations or warmand any verified this information and will not be light to you if any such information is unavailable, delayed or inaccourate.

for insurance, annuities, and S29 Alans, UBS FS, telles on information chicking from third party services it believes to be reliable. UBS FS does not independently verify or guarantee the accutecy or validity of any information provided by third parties. Information for insurance, annuities, and S29 Alans that has been provided by a service may not reflect the quantity and market value as of the previous business day. When maket value as of the previous business day. When maket value as of the previous business day. When maket value as of the previous business day. When maket value as of the previous business day. When maket value as of the previous business day, when maket value as of the previous business day, when

Investors cutside the L.S. are subject to securities and law requisitors within their abplicable jurisdiction that lax regulations within their report. Aptining in this report shall be construed in this report shall be construed to be a solicitation to buy or offer to self any security, product or service be solicited, of self any such security, product or service be solicited, offered or sold in any jurisdiction where such activity would be contrary to the securities faws or other to solicited the such securities that or the securities faws or other to solicite the such activity would be contrary to the securities faws or other solicites faws and regulations or would subject USS to other local laws and regulations or would subject USS to other local solicited requirements within such jurisdiction.

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16'992'7EE\$

58.5313

£1.888,38

spuesnoul \$

Your liabilities

Your assets

Accrued interest in value above

Value of your account

6'6ZE

3.755

0.9₽£

60'15

00.0

\$359,888,35

329,888,35

on November 30 (5)

December 2012

## HOWARD LAWRENCE BERMAN

Value of your account

82 1185withdrawals Net deposits and 66 2187288 at year end 2011 Value of your account during 2012 Sources of your account growth

\$334,266.91 includes accrued interest. As a service to you, your portfolio value of

on Dec 31, 2012 Value of your account

market value ni agned2 accrued interest Change in value of

emooni Izanalini

Your investment return:

bas basbivid

Tracking the value of your account

₹692

pending return of principal, and other assets that are not held by UBS.

Starting in December 2006, your account values shown in this graph include accrued interest,

Dec 2002 Dec 2002 Dec 2002 Dec 2008 Dec 2000 Dec 2010 Pec 2011 Nov 2012 Dec 2012

7.£62

ubs,com/onlineservices. Not enrolled? Go to regularly to protect your personal data. changing your User Name and Password → If you use UBS Online Services, consider

items for your attention

www.ubs.com/financialservices Visit our website:

account 366007886. RMA ResourceLine at 800-RMA-1000,

Call your financial Advisor or the Questions about your statement?

7489-E23-008/0007-577-016: 310-159-1 CAMP8ELL/KARMAU Your Financial Advisor:

hedmun innocc

Account name: HOWARD LAWRENCE BERMAN

O 91 ESIX S1S1 23E142S00199A

2015-79009 AD selegnA soJ Suite 3000 2029 Century Park East UBS Financial Services Inc.



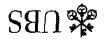
21.12

00.0

16.992, AEE

334,266.91

ou December 31 (\$)



Value of your account

#### Your account balance sheet

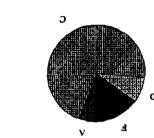
Summary of your assets

The value of your account includes assets held at UBS and certain assets held away from UBS. See page 1 for more information.



### • UBS Bank USA deposit account balances are included in the Cash and money balances value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See the Important information about your statement at the end of

this document for details about those balances.



### Your current asset allocation



%00.00r	16.882,4568	stesse la	JO.
%00.0	00.0	Other	ŀ
%00.0	00.0	Seal estate	5
%68.41	£1.677,94	Broad commodities	=
%00.0	00.0	Alternative strategies	5
%0S.8	£6.0SA,8S	emozni bexi <del>7</del>	O
%S0:17	66.164,752	səitiup3	)
%00`0	00.0	Cash alternatives	8
%9S'S	98.472,81	Cash and money balances	A
Percentage of your account	Value on December 31 (\$)		

16.882,455\$

#### Eye on the markets

әбиецо	Percentage	
Year to date	December 2012	yepul
%00 <sup>.</sup> 91	%16.0	OOS 9.82
%ZÞ.ƏI	%EZ.1	Russell 3000
%06.71	%1Z.E	MSCI - Europe, Australia & Far East
%18.8	%00°l-	Barclays Capital Aggregate Bond Index 10+ Yrs.

Interest rates on December 31, 2012

3-month Treasury bills: 0.10% One-month LIBOR: 0.21%







#### Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

bəsilsərnU	sassol b	ne znieg basileañ	
(\$) seszol bns snisg	Year to date (\$)	December 2012 (\$)	
16.215,5	00.0	00.0	Short term
Z8.198,9	₹.066.34	00.0	Long term
E7.702,E12	\$5,066.34	\$0.00	Total

#### Cash activity summary

See the section. Account activity this month for details. UBS Bank USA deposit account balances are

(2) etch of seaV	(2) C IOC sedmeseO	
		details about those balances.
of this document for	one and is insmerers work should not all	not protected by SIPC. See Important info
pplicable limits, but are	ces value, are insured by the FDIC within a	fucinged in the opening and closing balan

98.472,81 <b>\$</b>	98.472,81 <b>2</b>	Closing balances
Z8'67L'L7\$-	\$1,286.84	Wet cash flow
80.784,682-	80.027\$-	snoitzettdus letoT
08.271,68-	6E EES-	Funds withdrawn for investments bought
82,181-	69.36-	Other funds debited
00.021-	00.021-	eet IsunnA
		Subtractions
97.7EE,8 <b>4</b> \$	Z6'900 <b>'Z\$</b>	snoitibbe letoT
E1.644,14	⊅S`L	Proceeds from investment transactions
E1.888,8	8E.200,2	Dividend and interest income
		snoitibbA.
89'727'65\$	Z0,88 <b>Z,</b> 71 <b>\$</b>	səsnel <del>s</del> d pninəqO
rear to date (4)	(¢) 2107 Jaguagan	<del></del>

#### Change in the value of your account

December 2012

16.992,4568.91	16.332,4EE <b>\$</b>	Closing account value
28.521-	78.622°Z	Change in market value
80.0-	60.03	Change in value of accrued interest
£1.888,8	88.200,2	Dividend and interest income
82.11.8-	69.381-	Withdrawals and f. s., including investments transferred out
66.548,755\$	\$5.888,95	Opening account value
Year to date (\$)	December 2012 (\$)	

Resource Management Account

#### Dividend and interest income earned

individual tax preparer. such forms accurately classify dividends and or interest as tax exempt or taxable income. Please consult your applicable tax reporting forms with the US internal Kevenue Service and PK I reasony Department, and in purposes. Clients should refer to the applicable lax reporting forms they receive from UBS annually, such as the Forms 480, for tax reporting information. It is the practice of UBS to file the income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax some interest and or dividend payments may not be subject to United States (US) and or Puerto Rico (PR) tax reporting perspective, Based upon the residence of the account holder, account type, or product type, For purposes of this statement, taxability of interest and dividend income has been determined from a US

17.71	₱9°↓	legioning Vetigeo to muteA
£1.888,0 <b>\$</b>	85,200,2\$	Total dividend & interest
12,141	00.0	Prior year adjustment
\$6'9 <b>\</b> L'9\$	85,200,2\$	Total current year
50.61	SL'L	Taxable interest
78.727,8	2,004.23	Taxable dividends
Year to date (\$)	December 2012 (\$)	

710-772-7000/800-553-9847 CAMPBELUKARMAU Your Financial Advisor:







#### Withholdings and tax summary

82.131-	69.35-	Foreign taxes paid
Year to date (\$)	December 2012 (\$)	

#### UBS Bank USA Deposit Account APY

%00.0	bennee bleiy egetneoned leunnA	
\$0.00	bennes teaterlin	
82.122,71\$	Average daily balance	
30	Number of days in interest period	
pp'61E'21\$ ,	Closing UBS Bank USA Deposit balance Dec 6	
62'691'21\$	Opening UBS 8ank USA Deposit balance Nov 7	

#### Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative nvestment objectives in important information about your statement at the end of this decrinant

document.

Your return objective: Current income & capital appreciation

Your risk profile:

эчіггэтррА - үтвтіг9

Secondary - None selected

#### Your account instructions

Your account cost basis default closing method is RFO, first In, first Out

HOWARD LAWRENCE BERMAN

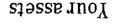
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CAMPBELUKARMALI

Your Financial Advisor:

December 2012 Resource Management Account





more information. Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important information about your statement at the end of this document for

### yseo

### Cash and money balances

statement at the end of this document for details about those balances. the FDIC within applicable limits, but are not protected by SIPC, See the Important information about your UBS Bank USA deposit account balances are included in the Cash and money balances value, are insured by

			98. <del>p</del> /2,8r <b>2</b>	20.885.71\$	lsfoT
			18,404.04	20.882,71	UBS BANK USA DEP ACCT
			28.071	00.0	C ash
ni sy	_ * -	Price per share on Dec 31 (\$)	(2) I E sed no	Operation (\$) f section (\$)	бирүон

Recount number:

Account name:

HOWARD LAWRENCE BERMAN

letoT	Z0.882.712	98.472,81 <b>2</b>					
UBS BANK USA DEP ACCT	ZO:88Z*/_1	18,404.04					
Cash	00.0	28.0√↑					
бикон	ON USC 1 (5)	(c) I C Darr NO	ON DEC 31 (4)	9761	nouad	beroa	

Purchase pricel

### **Equities**

Stock	Common

								ALPHA NATURAL RESOURCES INC
IJ	75.EE2,8-	05.715,1	OÞ/2'6	ZZ'0\$Z'9	900.42	152,000	11,75 YEM	Symbol: AMR Exchange: NYSE
IJ	57.568,5-	05.712,1	OÞZ 6	\$2.028,£	30.802	155.000	11,52 guA	
15	98.27	00°S0E′Z	0 <b>7</b> 7.6	11,282,7	ZÞ9 <sup>*</sup> 6	000:052	21,81 150	
	£1.590,8-	00'074'6		£1.E£8,71	EE8.71	000.000,1		Security total
								BARRICK GOLD CORP CAD
								Symbol: X8A Exchange: NYSE
17	88.914,1-	00.102,8	32.010	8£.026,4	49.203	100.000	Feb 1,11	EAl: \$160 Current yield: 2.29% CAD Exchange rate: 0.99570
TZ	9£ £65-	00.102,8	32.010	9£.460,4	£Þ6.0Þ	000.00 (	S1 ,8S 1gA	
	47.5 ro, S-	00.200,7		47.410,e	7ZO.24	200.000		Security total
abed axe	98 E96' l	OS:708'6	OS4.87	29.8£8,7	807.58	125.000	St ,85 nul	819 BILLI TON LTD SPON ADR 37Mbol: 8419 Exchange: NYSE 8-81: \$280 Current yield: 2.888

continued next page

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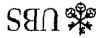
CAMPBELUKARMAU

Your Financial Advisor:

Your assets , Equities , Common stock (continued)

### Recount annousA Resource Management Account :emen InnoxxA

December 2012



### HOWARD LAWRENCE BERMAN

LT TS TS	4. (\$) ssoq xo use5	OO. 444, OO	(2) FE 39G no	(\$) sised ROD	Per share (5)	29.16.17 to	exeb	Holding  CAMECO CORP CANADA CAD Symbol: CC1 Exchange: NYSE EA! Current yield: 2.05% CAD Exchange rate: 0.99570  CENTURYLINK INC CENTURYLINC Symbol: CTL Exchange: NYSE
15	77.870, 1-	00.446,E	027.61	<i>44.</i> 410,2	880°5Z	200,000	ii,is aul	CENTURYLINC
								2C+11:2011211272 712:1001114C
	Sp.225-	00°\$78 <b>°</b> L	39.120	Z\$.97Q.8	28£.04	200.000	Sf.ll ysM	%15.5 \$1,160 Current yield: 7.41%
	pp.9S-	7,824.00	0S1.9£	44.088,7	39.402	200,000	S1 ,85 nut	
	98.80£-	00.846,21		98:956'51	39.892	400,000		Security total
זז	390.54	8p'91E'1	6 <b>Þ</b> 9 <sup>.</sup> 61	Þ6 <sup>-</sup> SZ6	058.£1	000.73	SD, TE puA	CISCO SYSTEMS INC Symbol: CSCO Exchange: OTC EAI: \$38 Current yield: 2.89%
וו	S0:809 <del>-</del>	00.667,2	056.91	20.704,a	21,356	000.00E	II, \\ \S \\ y \text{SM}	CLOUD PEAK ENERGY INC
<u></u> -	16'662'1	00.026,4	74.600	60.058,£	001.81	200,000	01,511QA	COEUR D'ALENE MINES CORP Symbol: CDE Exchange: NYSE
	87.998.78	78.221,8	096'75	90 <sup>.</sup> 95 <i>L</i> ′£	24.390	000.42f	20,1€ guA	EMERSON ELECTRIC CO Symbol: EMR Exchange: NYSE EM: \$253 Current yield: 3.10%
								ENCANA CORP CAD Symbol: ECA Exchange: NYSE EAI: \$560 Current yield: 4.05%
13	ÞE.7 Eð, S-	00°2\$6′ξ	092'61	ÞE'685'9	35.946	200.000	Or, StagA	ON269.0 :ater agentix3 OAD
רד	15.202, 1-	2,964.00	097.91	15.69¢°¢	967.62	000°051	DI'ZL AON	
15	27. <u>20</u> 5-	00.819,8	097.91	27.811,7 72.571.91	990.35	000.02E	Apr 26, 12	letot vtimpe)
—— LT	₽Z'O₽Z'Z-	00.5E8,E1	OÞ7.92	72.771,81 h7.888,8	25.968 25.9443	700.000		Security fotal  EXELON CORP Symbol: EXC Exchange: NYSE  EAI: \$420 Current yield: 7.06%
	Z9.284,1	00:559'8	98°220	85.691,7	£69°12	900,001	01,51 vov	EXXON MOBIL CORP Symbol: XOM Exchange: NYSE EAI: \$228 Current yield: 2.63%

15

December 2012 Resource Management Account



Secunty total



Your assets , Equities ,	ebsīī ejsb	Mumber of shares	Purchase price Average price Assets (\$)	(\$) sised bo>	Price per share	no aulaV Dec 31 (\$)	besilsennU (\$) szol 10 nisg	poibloH poinsq
GOLDCORP INC NEW CAD			(2) 2 2 2 2 3		(6)	(1) 15225	(6)	
EAI: \$162 Current yield: 1.47% CAD Exchange rate: 0.99570	Nov 28, 06	1 \$0.000	59.329	∠£.66€, <b>4</b>	007.38	00 <sup>-</sup> 505'S	£9.201,1	IJ
ON2999: 0.99570								12
Security total	Si,ii yeM	000.02 t 000.00£	₹\$9'₹ \$\$6'\$£	69'76 <i>1</i> '6 97'866'9	007.3£	00.202,2 00.010,11	47.111 78.712,1	10
INTEL CORP				<del></del>				
Symbol: IMTC Exchange: OTC EAI: \$90 Current yield: 4.36%	20,15 guA	000.001	078.81	00.733,1	029.02	00.580,5	00.26£	ŢJ
INTL PAPER CO 3ymbol: IP Exchange: NYSE EAI: \$300 Current yield: 3.01%	51 ,85 mul	250.000	Z 19 <sup>-</sup> 8Z	SE'751'Z	0 <b>48</b> .9E	00:096'6	\$9'508'Z	15
JOHNSON & JOHNSON COM Symbol: JNJ Exchange: NYSE EAI: \$212 Current yield: 3.48%	20,1£ guA	000.78	01E.Þ2	<u> </u>	001.07	07.860,8	ET.ETE, I	<u></u>
MCDONALDS CORP Symbol: MCD Exchange: NYSE EAI: \$286 Current yield: 3.49%	SO, IE DUA	000.56	097.£2	89'607'7	012.88	£2.502,8	\$8. <b>E</b> 66,8	
MEDTRONIC INC 5 Ymbol: MDI Exchange: NYSE 7 Turrent yield: 2.94%	S0,15 guA	000.47	081.14	Z€.7 <b>₽</b> Q.€	070'14	87'SEO'E	⊅8°l t-	n
OD JIAZOM 3Vmbol: MOS Exchange: NYSE IA: \$250 Current yield: 1.77%	60 ,£ <b>puA</b>	000.001	£71.32	86.718,2	0£9.95	00.699,2	Z9'SÞ	11
	Apr 26, 12	120.000	63.320	ZD:866'L	089.92	05.464,8	8Þ <sup>.</sup> 96Þ	15
latot ytinuse		280.000	Z97`#S	07.218,61		05.721,41	01.542	
CO) CO)								
.o.) Ambol: WEM Exchange: NYSE Al: \$420 Cuurent yield: 3.01%	30 'צ יחן 2	000.021	\$60 <sup>.</sup> 68	01.498,2	Opp <sup>-</sup> 9p	00:996'9	06.101,1	נז
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Account number:

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December 2012 Resource Management Account



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Your assets , Equities , Common stock (continued)

layo"				98.626,605\$		£4.871,055 <b>\$</b>	\$5.845,01\$	
WALT DISNEY CURER HELD (CO)	S0,1€ guA	000.021	£ZÞ'S <b>l</b>	00.1SE,S	D67.64	05 <sup>.</sup> 89 <i>b</i> 'Z	05 / þ l'S	ח
lesot (Jhuba)		000.000,4	Z£6.2	48.9E7,11		3,040.00	#8'669'8-	
	լլ'լշ unr	2,000.000	Zpp.Z	t1.488,4	094.0	00.022,1	11 49E E-	13
гом тесниогобієз іис Обм тесниогобієз іис	Mar 22, 04	000.000,s	754.8	07.228,3	094.0	00.052,1	0L'SEE'S-	IJ
UNION PACIFIC CORP Symbol: UNP Exchange: NYSE EAI: \$207 Current yield: 2,20%	SO,1E guA	000°SZ	057'08	SZ 89Z Z	057.251	00.924,8	\$Z'09 I'Z	וז
TALISMAN ENERGY INC CAD Symbol: TLM Exchange: NYSE EAI: \$162 Current yeld: 2.38% CAD Exchange rate: 0.99570	21,81 150	000.009	059.£t	11.061,8	088.11	00:862'9	11.295,1-	15
SILVER WHEATON CORP CAD Symbol: \$LW Exchange: NYSE EAI: \$42 Current yield: 0.78% CAD Exchange rate: 0.99570	S1, 35 1qA	150,000	30.649	Zv'.465'v	080.∂€	00'ZI\$'S	85.418	ıs
RAYTHEON CO NEW Symbol: RTM Exchange: NYSE EAI: \$334 Current yield: 3.47%	50,1€ puA	000.731	000.25	00'578'5	095`25	ZS Z19'6	ZS.737,E	า
PROCTER & GAMBLE CO Symbol: PG Exchange: NYSE EAI: \$166 Current yield: 3.30%	S0,1E guA	000.47	SSE.AA	50.08 <i>1</i> , €	068'29	98° EZO'S	18.547,1	เา
Security total		220.000	908.84	29.10 <b>2</b> ,21		05.271,01	21.620.2-	
	Sf , 3S 1qA	122.000	111,44	66.512,2	069'07	5 <i>2</i> :980'\$	\$L.75\$-	S
POTA SH CORP SA SK INC CANADA CAD Symbol: POT Exchange: NYSE EAI: \$210 Current yield: 8.06% CAD Exchange rate: 0.99570	f1,SS guA	000'SZI	23.501	£9.788,8	069.04	\$Z:980'S	8£.10ā,1-	ר
ұліЫоН	Frade date	radmuM sanariz to	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	no suleV (\$) FE 250	besileennU (\$) szol no nieg	nibloH ioinsq

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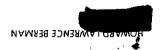
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netween the current value and the cost basis and would curity was sold on this date. The unrealized (tax) gain or loss ton tax Dayments in order to determine the realized gain or loss for tax	generally be your taxable gain or loss if the sec

reflected on your statement, including shares that have been realized as either a gain or a loss. It also does Investment return is the current value minus the amount you invested. It does not include shares that are not

not include cash dividends that were not reinvested.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would 29.741,7 69:59-ZE'1Z9-52.974,8

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Investment return is the current value minus the amount you invested. It does not include shares that are not sasoding bushodar may need to be adjusted for refurn of capital payments in order to determine the realized gain or loss for tax generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss

reflected on your statement, including shares that have been realized as either a gain or a loss. It also does

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to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need

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reinvest dividends become a separate tax lot.

lot for the purposes of determining holding periods or cost basis. The shares you receive each time you

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax

Security total

Total reinvested

Symbol: BGR

pnibloH

Security total

Total reinvested

XAVNO : lodmy2 A 3UJAV

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Trade date: Aug 3, 10

\*No.S :blay snemu > C1S :IA3

DWS DREMAN INTERNATION

Trade date: Aug 22, 11

EAl: \$446 Current yield: 6.89%

BLACKROCK ENERGY & RES TR

lot for the purposes of determining holding periods or cost basis. The shares you receive each time you Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax

Resource Management Account

to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting

### Closed end funds & Exchange traded products

December 2012

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December 2012





payments and if issued at a discount, accreted original issue discount (OID).

reflected. The cost basis for asset backed securities has been adjusted automatically for return of principal

Your assets (continued)

### Fixed income

### Asset backed securities

calculate current values. Actual market values may vary and thus gainst bases may not be accurately Prices are obtained from independent quotation bureaus that use computerized valuation formulas to

	01.112	rs.2612		17.4812		000,000,052		Total
וו	89.51	69.731	429.211	10.421	000.901	000.000,01	S0,15 guA	EAI: \$11 Current yield: 6.48%
								COSIP 36224BCK3
								ACCRUED INTEREST \$0.90
								CURRENT PAR VALUE 145
								RATE 07.5000% MATURES 05/15/22
								CHMA PL 323274X
וו	82.5-	21.82	100.444	107.0€	109,000	000.000,01	SO,1 € guA	EAl: \$3 Current yield: 9.46%
								129961288 9202
								ACCRUED INTEREST \$0.22
								SS 3UJAV RAT TRREDO
			ų.					81\21\60 \cdot \cd
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period	(\$) ssot to mag	Dec 31 (\$)	(\$) TE >9()	(\$) sised teop	price(\$)	at maturity (\$)	date	6uibloH
PribloH	besitee nit.)	no sulsV	Price on	b∌tzu(bA	925rDnu9	Total tace value	9b¢1Ī	

Account number:

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Total estimated annual income: \$14 Total accrued interest: \$1,12

Indicates cost basis information provided by you or another thind party. UBS FS has not verified this information and does not guarantee its accuracy.

\*\*\*\*

### Structured products

factors, such as hedging and transaction costs, credit considerations, bid-ask spreads and market liquidity. would be able to purchase, sell, enter into, assign or terminate any instrument will be impacted by other

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from third parties or issuers and do not reflect adjustments taken by such third parties or issuers for financial reporting purposes arising from changes in the market value of such statesctions. The value at which you There may be little or no secondary market for structured products. Prices are estimated values obtained

IJ	21.288,£	28,224.00	141.120	24,591.85	155.959	20,000.000	60, 65 ys.M	00.000,02\$ :sized toos leniginQ
								\$130 <u>1</u> 5014
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boined	(2) seal to mise	(\$) LE >>()	on Dec 31 (5)	(2) sised	ber share (\$)	Quantity	date	биіріон
pnibloH	besilgerdU	no suleV	Prixe per share	120.)	Purchase price		9bs:1T	

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**CAMPBELUKARMAU** 

Your Financial Advisor:



### Resource Management Account December 2012

Account name:
Account number:



Your Financial Advisor: CAMPBELL/KARMAU 310-772-7000/800-553-9847

### Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

### Cash and money balances

UBS Bank USA deposit account balances are included in the Cash and money balances value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See the *Important information about your statement at the end of this document* for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend Interest period	Days in period	
Cash	0.00	170.82					
UBS BANK USA DEP ACCT	17,288.02	18,404.04					
Total	\$17,288,02	\$18,574.86					

### **Equities**

### Common stock

Holding	Trade date	Number of shares	Purchase pricel Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ALPHA NATURAL RESOURCES INC								
Symbol: ANR Exchange: NYSE	May 27, 11	125.000	54.006	6,7\$0.77	9.740	1,217.50	-5,533.27	LT
	Aug 22,11	125.000	30.802	3,850.25	9.740	1,217.50	-2,632.75	LT
	Oct 18, 12	750.000	9.642	7,232.11	9.740	7,305.00	72.89	ST
Security total		1,000.000	17.833	17,833.13		9,740.00	-8,093.13	
BARRICK GOLD CORP CAD Symbol: ABX Exchange: NYSE EAI: \$160 Current yield: 2.29%								
CAD Exchange rate: 0.99570	Feb 1,11	100.000	49.203	4,920.38	35.010	3,501.00	-1,419.38	Ĺľ
	Apr 26, 12	100.000	40.943	4,094.36	35.010	3,501.00	-593.36	ST
Security total		200.000	45.074	9,014.74		7,002.00	-2,012.74	
BHP BILLITON LTD SPON ADR Symbol: BHP Exchange: NYSE EAI: \$280 Current yield: 2.86%	Jun 28, 12	125.000	62.708	7,838.62	78.420	9,802.50	1,963.88 continued ne	ST ext page



### Resource Management Account December 2012

Account name: Account number:



Your Financial Advisor: CAMPBELL/KARMALI 310-772-7000/800-553-9847

### Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CAMECO CORP CANADA CAD			P					
Symbol: CCJ Exchange: NYSE								
EAI: \$81 Current yield: 2.05%								
CAD Exchange rate: 0.99570	Jun 21, 11	200.000	25.088	5,017.77	19.720	3,944.00	-1 ,073.77	LT
CENTURYLINK INC								
Symbol: CTL Exchange: NYSE								
EAI: \$1,160 Current yield: 7.41%	May 11, 12	200.000	40.382	8,076.42	39.120	7,824.00	-252.42	ST
	Jun 28, 12	200.000	39.402	7,880.44	39.120	7,824.00	-56.44	ST
Security total		400.000	39.892	15,956.86	•	15,648.00	-308.86	
CISCO SYSTEMS INC								
Symbol: CSCO Exchange: OTC								
EAI: \$38 Current yield: 2.89%	Aug 31,02	67. <b>00</b> 0	13.820	925.94	19.649	1,316.48	390.54	LT
CLOUD PEAK ENERGY INC		· <u> </u>						
Symbol: CLD Exchange: NYSE	May 27, 11	300.000	21.356	6,407.05	19.330	5,799.00	-608.05	LT
COEUR D'ALENE MINES CORP						· · · · · · · · · · · · · · · · · · ·	-	
Symbol: CDE Exchange: NYSE	Apr 12, 10	200.000	18.100	3,620.09	24.600	4,920.00	1,299.91	LT
EMERSON ELECTRIC CO								
Symbol: EMR Exchange: NYSE						•		
EAI: \$253 Current yield: 3.10%	Aug 31, 02	154.000	24.390	3,756.06	52.960	8,155.84	4,399.78	LT
ENCANA CORP CAD								
Symbol: ECA Exchange: NYSE								
EAI: \$560 Current yield: 4.05%					40.000			
CAD Exchange rate: 0.99570	Apr 12, 10	200.000	32.946	6,589.34	19.760	3,952.00	-2,637.34	ĻŤ
	Nov 12, 10	150.000	29.796	4,469.51	19.760	2,964.00	-1,505.51	ŁΤ
	Apr 26, 12	350.000	20.339	7,118.72	19.760	6,916.00	-202.72	ST
Security total		700.000	25.968	18,177.57		13,832.00	-4,345.57	_
EXELON CORP								
Symbol: EXC Exchange: NYSE								
EAI: \$420 Current yield: 7.06%	Aug 3, 10	200.000	43.443	8,688.74	29.740	5,948.00	-2,740.74	LT
EXXON MOBIL CORP	· · · · · · · · · · · · · · · · ·							
Symbol: XOM: Exchange: NYSE								
EAI: \$228 Current yield: 2.63%	Nov 12, 10	100.000	71.693	7,169.38	86.550	8,655.00	1,485.62 continued nex	LT t page



### Resource Management Account December 2012

Account name:
Account number:



Your Financial Advisor: CAMPBELUKARMALI 310-772-7000/800-553-9847

### Your assets , Equities , Common stock (continued)

Holding	Trade date	Number of shares	Purchase pricel Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
GOLDCORP INC NEW CAD								
Symbol: GG Exchange: NYSE								
EAI; \$162 Current yield: 1.47%								
CAD Exchange rate: 0.99570	Nov 28, 06	150.000	29.329	4,399.37	36.700	5,505.00	1,105.63	LT
	May 11, 12	150.000	35.955	\$,393.26	36.700	5,505.00	111.74	ST
Security total		300.000	32.642	9,792.63		11,010.00	1,217.37	
INTEL CORP								
Symbol: INTC Exchange: OTC								
EAI: \$90 Current yield: 4.36%	Aug 31, 02	100.000	16.670	1,667.00	20.620	2,062.00	395.00	LT
INTL PAPER CO			<u> </u>					
Symbol: IP Exchange: NYSE								
EAI: \$300 Current yield: 3.01%	Jun 28, 12	250.000	28.617	7,154.35	39.840	9,960.00	2,805.65	ST
JOHNSON & JOHNSON COM	•							
Symbol: JNJ Exchange: NYSE								
EAI: \$212 Current yield: 3.48%	Aug 31, 02	87.000	54.310	4,724.97	70.100	6,098.70	1,373.73	LT
MCDONALDS CORP								
Symbol: MCD Exchange: NYSE								
EAI: \$286 Current yield: 3.49%	Aug 31, 02	93,000	23.760	2,209.68	88.210	8,203.53	5,993.85	LT
MEDTRONIC INC								
Symbol: MOT Exchange: NYSE				2017.22		2.025.40		
EAI: \$77 Current yield: 2.54%	Aug 31, 02	74.000	41.180	3,047.32	41.020	3,035.48	-11.84	LT
MOSAIC CO								
Symbol: MOS Exchange: NYSE	A 2 00	100.000	56.173	5,617.38	56.630	5,663.00	45.62	. •
EAI: \$250 Current yield: 1.77%	Aug 3, 09			•		•		LŤ
	Apr 26, 12	150.000	. 53.320	7,998.02	56.630	8,494.50	496.48	ST
Security total		250.000	54,462	13,615.40		14,157.50	542.10	
NEWMONT MINING CORP (HOLDING								
CO)								
Symbol: NEM Exchange: NYSE	1.17.00	150.000	39.094	5.864.10	46.440	6,966.00	1 1 0 1 0 0	
EAI: \$420 Current yield: 3.01%	Jul 7, 05	7.7	= -	• • •	- "	•	1,101.90	LT 
	May 11,12	150.000	46.697	7,004.67	46.440	6,966.00	-38.67	ST
security total		300.000	42.896	12,868.77		13,932.00	1,063.23	_
							continued nex	t page



Resource Management Account December 2012

Account name:
Account number:



Your Financial Advisor: CAMPBELI/KARMAU 310-772-7000/800-553-9847

Local coocers and arriver a requirement across (continued)	Common Stock (con	tinued)						
Holding	Trade date	Number of shares	Purchase price Average price per share (1)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
POTASH CORP SASK INC CANADA								
CAD								
Symbol: POT Exchange: NYSE								
EAI: \$210 Current yield: 2.06%								
CAD Exchange rate: 0.99570	Aug 22, 11	125.000	\$3.501	6,687.63	40.690	5,086.25	-1,601.38	ᄓ
	Apr 26, 12	125,000	44.111	5,513.99	40.690	5,086.25	-427.74	ŞŢ
Security total		250.000	48.806	12,201.62		10,172.50	-2,029.12	
PROCTER & GAMBLE CO								
Symbol: PG Exchange: NYSE						•		
EAI: \$166 Current yield: 3.30%	Aug 31, 02	74.000	44.325	3,280.05	67.890	5,023.86	1,743.81	5
RAYTHEON CO NEW								
Symbol: RTN Exchange: NYSE				3		)		i
CAI: \$354 CUITER YELD: 3.4776	Aug 51, 02	107.000	35.000	5,045.00	37.300	20.2.06	2/0/.52	2
SITATION CONTINUES								
EAI: \$42 Current yield: 0.78%								
CAD Exchange rate: 0.99570	Apr 26, 12	150.000	30.649	4,597.42	36.080	5,412.00	814.58	<b>2</b> 1
TAUSMAN ENERGY INC CAD								}
Symbol: TLM Exchange: NYSE								
EAI: \$162 Current yield: 2.38%								
CAD Exchange rate: 0.99570	Oct 18, 12	600.000	13.650	8,190.11	11.330	6,798.00	-1,392.11	SI
UNION PACIFIC CORP								
Symbol: UNP Exchange: NYSE								
EAI: \$207 Current yield: 2.20%	Aug 31, 02	75.000	30.250	2,268.75	125.720	9,429.00	7,160.25	<b>-</b>
UQM TECHNOLOGIES INC					,			}
Symbol: UQM Exchange: AMEX	Mar 22, 04	2,000.000	3.427	6,855.70	0.760	1,520.00	-5,335.70	딕
	Jun 21, 11	2,000.000	2.442	4,884.14	0.760	1,520.00	-3,364.14	<u>_</u>
Security total		4,000.000	2.935	11,739.84		3,040.00	-8,699,84	
WALT DISNEY CO (HOLDING CO)			`					
DISNEY COM								
Symbol: DIS Exchange: NYSE EAI: \$113 Current weld: 1.51%	Aug 31. 02	150,000	15.473	2 321.00	49.790	7.468.50	5.147 SO	<del>-</del>
Total				\$209 929 86		\$220.178.41	\$10 248 55	
Total actimated annual income: \$6.211	77.			4		4		
Total estimated annual income: 56	5.277							



Account name: Account number:



Your Financial Advisor: CAMPBELUKARMAU 310-772-7000/800-553-9847

# Your assets , Equities (continued)

# Closed end funds & Exchange traded products

Total minvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price  Average price  per share (\$)	Client investment (\$)	Cost basis (\$)	Cost Price per share basis (\$) on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Irwestment Holding return (\$) period
BLACKROCK ENERGY & RES TR								
Symbol: BGR								
Trade date: Aug 22, 11	250,000	26.167	6,541.94	6,541.94	23.550	5,887.50	-654.44	נו
Total reinvested	25.000	24.227		605.68	23.550	588.75	-16.93	
EAI: \$446 Current yield: 6.89%								
Security total	275.000	25,991	6,541.94	7,147.62		6,476.25	-671.37	-65.69
Musical funde								

## Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax bot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting removes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

EAI: \$217 Current yield: 2.00% Security total	Total reinvested	Symbol: DNVAX	DWS DREMAN INTERNATION	Holding
1,188.304	1,133.787 54.51 <i>7</i>			Number of shares
8.803	8.824			Purchase price Average price per share (\$)
10,005.25	10,005.25			Clent investment (\$)
10,460.48	10,005.25 455.23			Cost basis (\$)
	9.120 9.120	s :		Cost Price per share basis (\$) on Dec 31 (\$)
10,837.33	10,340.14 497.19			Value on Dec 31 (\$)
376.85	334.89 41.96	}		Unrealized (tax) gain or loss (\$)
832.08	5			investment Holding return (3) period



December 2012 Resource Management Account

> Account number: Account name:



310-772-7000/800-553-9847 Your Financial Advisor: CAMPBELUKARMAU

Your assets (continued)

## Fixed income

## Asset backed securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains bosses may not be accurately

reflected. The cost basis for asset backed securities has been adjusted automatically for return of principal payments and if issued at a discount, accreted original issue discount (OID).

Holding	Trade date	Total face value at maturity (5)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (3)	Value on Dec 31 (\$)	Urrealized gain or loss (\$)	Holding Period
GNMA PL 256119X			i,	ļ		Ĺ		
CURRENT PAR VALUE 28								
ACCRUED INTEREST \$0.22								
CUSIP 36219QPC1								
EAI: \$3 Current yield: 9.46%	Aug 31, 02	10,000.000	109.000	30.701	100,444	28.12	-2.58	LT.
GNMA PL 323274X								
RATE 07.5000% MATURES 05/15/22								
CURRENT PAR VALUE 145								
ACCRUED INTEREST \$0.90								
CUSP 36224BCK3								
EAI: \$11 Current yield: 6.48%	Aug 31, 02	10,000.000	106.000	154.011	115,654	167.69	13.68	5
Total		\$20,000.000		\$184.71		\$195.81	\$11.10	
Total accrued interest: \$1.12								

## Total estimated annual income: \$14

## Structured products

reporting purposes arising from changes in the market value of such transactions. The value at which you	market value of such tra	insactions. The value at	which you					
Holding	Trade date	Quantity	Purchase price per share (\$)	Cost basis (5)	Cost Price per share basis (\$) on Dec 31 (\$)	Value on Dec 31 (\$)	Urrealized Holding gain or loss (\$) period	Holding period
SUN TRUST BANK								
CD GLD								
S/30/2014								
Original cost basis: \$20,000.00	May 26, 09	20,000.000	122.959	24,591.85	141.120	28,224.00	3,632.15	4

<sup>1</sup> Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.



Account name: Account number:



Your Financial Advisor: CAMPBELUKARMALI 310-772-7000/800-553-9847

Your assets (continued)

## **Broad commodities**

# Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends, It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price Average price per share (\$)	Client investment (\$)	Cost basis (3)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment Holding return (3) period	Holding period
GUGGENHEIM S&P GLOBAL WATER									
INDEX ETF									
Symbol: CGW									
Trade date: Jun 21, 11	500.000	21.576	10,788.12	10,788.12	22.236	11,118.00	329.88	329.88	딕
EAI: \$226 Current yield: 2.03%									
ISHARES SILVER TRUST									
Symbol: SLV									
Trade date: Mar 18, 10	225.000	17.516	3,941.19	3,941.19	29.370	6,608.25	2,667.06	2,667.06	G
Total			\$14,729.31	\$14,729.31		\$17,726.25	\$2,996.94	\$2,996.94	
Total estimated annual income: \$226									

## Mutual funds

Total minvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you renvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tas) gain or loss is the difference between the current value and the cost basis and would generally be your leashly gain or loss if the security was sold on this date. The unrealized (tas) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not remested.

continued next page								
ū	-488.68	9,516.57	30.710	10,005.25	10,005.25	32.286	309.885	Frade date: May 30, 06
U	1,202.79	11,208.04	30.710	10,005.25	10,005.25	27.414	. 364.964	Trade date: Dec 29, 05
								Symbol: FKRCX
			;					FT-FRANKLIN GOLD & PREC MET A
Investment Holding return (\$) period	Unrealized (tax) gain or loss (\$)	Value on Dec 31 (\$)	Cost Price per share basis (\$) on Dec 31 (\$)	Cost basis (\$)	Client rivestment (\$)	Purchase price Average price per share (\$)	Number of shares	Holding

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Resource Managernent Account December 2012

Account name: Account number:



Your Financial Advisor: CAMPBELUXARMAU 310-772-7000/800-553-9847

# Your assets , Broad commodities , Mutual funds (continued)

Holding	Number A	Average price Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Urrealized (tax) gain or loss (\$)	Investment Holding return (\$) period
Total reinvested	368.879	41.826		15,428.87	30,710	11,328.27	-4,100.60	
EAI: \$42 Current yield: 0.13%								
Security total	1,043.728	33.955	20,010.50	35,439.37		32,052.88	-3,386.49	12,042.38
Your total assets								
			Value on Dec 31 (\$)	Percentage of your account	ge of ount	Cost basis (\$)	Estimated armual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	}	18,574.86	S,	5.56%	18,574.86		
Equities	Common stock		220,178.41			209,929.86	6,211.00	10,248.55
	Closed end funds & Exchange traded	ged	6.476.25			7.147.62	446.00	-67137
	Mutual funds		10,837.33			10,460.48	217.00	376.85
	Total equities		237,491.99	71.0	71.05%	227,537.96	6,874.00	9,954.03
Fixed income	Asset backed securities		195.81			184.71	14.00	11.10
	Structured products	:	28,224.00			24,591.85		3,632.15
	Total accrued interest		1.12					
	Total fixed income	<u> </u>	28,420.93	8.5	8.50%	24,776.56	14.00	3,643.25
Broad commodities	Closed end funds & Exchange traded	ged Dage	36 367 61			14 739 31	00 900	70 you
	products		C7.07/1			10.57.41	22.0.22	#6.066.7
	Mutual funds		32,052.88			35,439.37	42.00	-3,386.49
	Total broad commodities	   	49,779.13	14,89%	9%6	50,168.68	268.00	-389.55
Total			\$334,266.91	100.00%		\$321,058.06	\$7,156.00	\$13,207.73

# Account activity this month

	Date	Activity	Description	An An	Amount (\$)
Dividend and interest income					
Taxable dividends	Dec 3	Dec 3 Dividend	INTEL CORP PAID ON 100AS OF 12/01/12	12/01/12	22.50
	Dec 5	Jec 5 Foreign	SILVER WHEATON CORP CAD PAID ON	150	10.50
		Dividend			
	Dec 5	Dividend	FT-FRANKLIN GOLD & PREC MET A		41.65
	Dec 5	Dec 5 Lt Cap Gain	FT-FRANKLIN GOLD & PREC MET A LONG TERM CAPITAL GAIN		346.53
	Dec 10	Dec 10 Dividend	EXELON CORP PAID ON 200		105.00
					continued next page

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Page 12 of 16

(C) for some	(e) 11 EOU 15	00.23	37.00	63.14	53.07
	Description		EXXON MOBIL CORP PAID ON 100	PAMERSON FI FOTBIC CO PAID ON 154	
	Activity		Dec 10 Dividend	Footping.	Uec 10 Dividend
ontinued)	Date Activity		0F 20		Oet 12
Account activity this month (continued)		And the sent interest income (continued)	# for divide and forestimed!		

57.00	63.14	53.07	40:00		00.57	71.61	9.38	290:00	13.50	52.85	112.50	19.24	105.00	225.50	109.76	140.00		40.50	¢2 004 23	0.00	55.0	0.92	\$1.15	\$2,005.38	Amount (\$)	-1 50.00	-\$150.00
EXXON MOBIL CORP PAID ON 100	EMERSON ELECTRIC CO PAID ON 154	IOHNSON & JOHNSON COM PAID ON 87	BARRICK GOLD CORP CAD PAID ON 200		INTL PAPER CO PAID ON 250	MCDONALDS CORP PAID ON 93	CISCO SYSTEMS INC PAID ON 67	CENTURYUNK INC PAID ON 400	GOLDCORP INC NEW CAD PAID ON 300	DIAK DREMAN INTERNATION VALUE A	MALE DISNEY CO (HOLDING CO) DISNEY COM PAID ON 150	MEDIRONIC INC PAID ON 74	NEWMONT MINING CORP (HOLDING CO.) PAID ON 300	GUGGENHEIM S&P GLOBAL WATER INDEX ETF PAID ON 500	RI ACKROCK ENERGY & RESTR	ENCANA CORP CAD PAID ON 700		TALISMAN ENERGY INC CAD PAID ON 600			GNMA PL 256119X 09.5000DUE 09/15/18FACTOR 0.00281685000UPAID ON 10000 AS OF 12/15/12	GNMA PL 323274X 07.5000DUE 05/15/22 FACTOR 0.01 4529410000PAID ON 1000DAS OF 12/15/12	CUSP: 362248CK3	Total taxable interest Total dividend and interest income	Decomplied	ANNIALEE CHARGE	
Dividend	Dividend	Diagend Diagend	Foreign	Dividend	Dividend	Dividend	Dividend	Dividend	Foreign	DIAME.	DIMOEILO	Dividend	Dividend	Dividend	Constitution	Foreign	Dividend	Foreign	Dividend	Total taxable dividends	interest	Interest		Total taxable interest Total dividend and inf		Activity	Total annual fees
0 10 10	100	2 2	Dec 17	Š	Dec 17	Dec 17	Dec 19	Dec 21	Dec 21		Dec 2/	Dec 28	20,28	20 20		Dec 31		Dec 31		Total tax	Dec 17	Dec 17		Total tax Total div		)   Date	Total and
vidend and interest income (continued)	l axable dividends (Continued)																				Taxable interest						\$8

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Fees

Resource Management Account December 2012

Account name: Account number:

HOWARD LAWRENCE BERMAN

Your Financial Advisor: CAMPBELUKARMALI 310-772-7000/800-553-9847

# Account activity this month (continued)

	Date	Activity	Description	Amount (5)
Other funds debited	Dec 5	Foreign Tax Withheld	SILVER WHEATON CORP CAD	-1.58
	Dec 17	Dec 17 Foreign Tax Withheld	BARRICK GOLD CORP CAD	-6.00
	Dec 21	Dec 21 Foreign Tax Withheld	GOLDCORP INC NEW CAD	-2.03
	Dec 31	Foreign Tax Withheld	ENCANA CORP CAD	-21.00
	Dec 31	Foreign Tax Withheld	TAUSMAN ENERGY INC CAD	-6.08
	Total of	Total other funds debited	P	63.65

## Investment transactions

For more information about the price value shown for restricted securities, see *Important information about* your statement at the end of this document.

						Proceeds from	Funds	Activity
Date	Activity	Description	Quantity	Vatue (\$)	Price (\$)	transactions (\$)	investments bought (\$)	interest (\$)
Dec 5	Reinvestment	FT-FRANK UN GOLD & PREC MET A DIVIDEND REINVESTED AT 30.57 NAV ON 12/03/12	1.362			i 1	-41.65	
Dec 5	Reinvestment	FT-FRANK LIN GOLD & PREC MET A LT CAP GAINS REINVESTED AT 30.57 NAV ON 12/03/12	11.336		:		-346.53	
Dec 17	Return Of Principal	GNMA PL 256119X 09.5000 DUE 09/15/18 FACTOR 0.00281 6850000 PAID ON 10000 AS OF 12/15/12 CUSIP: 36219QPC1				0.32		
Dec.17	Return Of Principal	GNMA PL 223274X D7.5000 DUE 05/15/22 FACTOR 0.014529410000 PAID ON 10000 A5 OF 12/15/12 CUSIP: 362248CK3		· ·		1.22		
Dec 27	Reinvestment	DWS DREMAN INTERNATION VALUE A DIVIDEND REINVESTED AT 9.01 NAV ON 12/26/12	5.866				-52.8\$	
Dec 31	Reinvestment	BLACKROCK ENERGY & RES TR AT 23.090642 REINVEST PRICE REINVEST DIV ON 12/31/12 EXECUTION CAPACITY: AGENT	4.000				-92.36	
Total				} }		<b>21.5</b>	£533.39	



Your Financial Advisor: CAMPBELJKARMALI 310-772-7000/800-553-9847

LOWARD LAWRENCE BERMAIN	
Account name: Account number:	
ce Management Account	۰٫ 2012

Account activity this month	1th (continued)			()) transmy
	Date	Activity	Description	(e) a poulo.
	60	Balance forward		\$17,288.02
Money balance activities	NOV 30	Denosit	UBS BANK USA DEPOSIT ACCOUNT	22.50
	Dec 4	Denosit	UBS BANK USA DEPOSIT ACCOUNT	8.92
	) 	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT	-150.00
	7 7 7	Denosit	UBS BANK USA DEPOSIT ACCOUNT	225.14
	Dec 1	Denosit	UBS BANK USA DEPOSIT ACCOUNT	53.07
	Dec 12	Deposit	LIBS BANK LISA DEPOSIT ACCOUNT	183.30
	Dec 18	Deposit	LIBS BANK USA DEPOSIT ACCOUNT	9.38
	Dec 20	Deposit	LIBS BANK USA DEPOSIT ACCOUNT	301.47
	Dec 24	Ueposit	TALOUTO DEPOSIT ACCOUNT	462.24
	Dec 31	Deposit	טפט פאואי מיט מדי מייט פרי מייט	C18 A04 04
	Dec 31	Closing UBS Bank U	Closing UBS Bank USA Deposit Account	

The UBS Bank USA Deposit Account is your primary sweep option.



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