

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A  
For use by Members, officers, and employees

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Louis J. Barletta

570-751-0050

(Full Name)

(Daytime Telephone)

Filer Status ☒ Member of the U.S. House of Representative

State: PA District 11

☐ Officer Or Employee Employing Office

Report Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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# SCHEDULE I - EARNED INCOME

Name Louis J. Barletta

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
City of Hazleton, Pennsylvania	Salary	\$60,775

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any derivative holding; \$1,000 or less in a mutual fund; or any</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
JT	322 Rocky Road	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
JT	Cash- Capital One bank N.A.	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
JT	Cash- Morgan Stanley Bank N.A.	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	Deere & Company	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Hess Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Leucadia National Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

# **SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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JT	Eaton Vance Mutual Funds	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	Ishares Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Prudential Jennison Focus Fund	\$15,001 - \$50,000	None	NONE	
JT	Prudential S-T Corp Bond Fund	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
JT	Sector SPDR TR SBI Energy	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Sector SPDR TR SBI Technology	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	SPDR Index Shares Funds	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	SPRD Series Trust Barclays	\$50,001 - \$100,000	DIVIDENDS	\$5,001 - \$15,000	
JT	SPDR Series Trust S&P Metals	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	SPDR Series Trust S&P Dividend	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Vanguard Dividend Appreciation	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Vanguard Small Cap Growth ETF	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
JT	Vanguard Small Cap Value ETF	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Wisdomtree TR Emerging Cap Dividend	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

# **SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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JT	Wisdomtree Trust International	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Cash- Morgan Stanley Bank N.A.	\$50,001 - \$100,000	INTEREST	\$1 - \$200	
JT	Allergan Inc	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Altria Group Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Apple Inc.	\$1,001 - \$15,000	None	NONE	
JT	Coca-Cola Company	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	ConocoPhillips	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	EMC Corp	\$1,001 - \$15,000	None	NONE	
JT	Insignia System Inc	\$1,001 - \$15,000	None	NONE	
JT	Microsoft Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Norfolk Southern Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Novagold Resources Inc	\$1,001 - \$15,000	None	NONE	
JT	Nucor Corp	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Sandridge Energy Inc	\$1,001 - \$15,000	None	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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JT	SPDR Gold Trust Gold Shares	\$1,001 - \$15,000	None	NONE	
JT	Blackrock Funds II Strategic Income	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	Ishares S&P Midcap 400 Growth	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
JT	Ishares S&P Midcap 400 Value	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Ishares Trust Barclays	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Ishares Trust S&P US PFD Stock	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	
JT	Powershares Exchange Traded Fund	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	SPDR Series Trust Barclays	\$50,001 - \$100,000	DIVIDENDS	\$5,001 - \$15,000	
JT	SPDR Series Trust S&P Dividend	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Vanguard Small Cap Growth ETF	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Vanguard Small Cap Value ETF	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Wisdomtree Trust International	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	IRA- Cash- Capital One Bank N.A.	\$1 - \$1,000	None	NONE	
SP	IRA- Cash- Morgan Stanley Bank	\$1 - \$1,000	None	NONE	



Congress of the United States

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	IRA- Blackrock Global Allocation Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	IRA- Eaton Vance Mutual Funds Global	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	IRA- Ishares Trust Barclays	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	IRA- Oppenheimer Main Street Small	\$1 - \$1,000	None	NONE	
SP	IRA- Prudential S-T Corp Bond Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	IRA- Sector SPDR TR SBI Tech	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	IRA- Wells Fargo Advantage Utilities	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
	IRA- Wisdomtree Trust International	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	IRA- Cash- LPL Financial	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	IRA- Berkshire Hathaway Inc	\$1,001 - \$15,000	None	NONE	
	IRA- Bristol Myers Squibb Company	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	IRA- CVS Caremark Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	IRA- Devon Energy Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	IRA- Google Inc	\$1,001 - \$15,000	None	NONE	

TRANSPORTATION AND INFRASTRUCTURE COMMITTEE  
EDUCATION AND THE WORKFORCE COMMITTEE

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	IRA- International Business Machines	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	IRA- Merck & Company Inc	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	IRA- Microsoft Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	IRA- SPDR Gold Trust Gold Shares	\$1,001 - \$15,000	None	NONE	
	IRA- Weatherford International	\$1,001 - \$15,000	None	NONE	
	IRA- Blackrock Funds II Strategic	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
	IRA- Eaton Vance Mutual Funds	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	IRA- Sector SPDR TR SBO	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	IRA- SPDR Series Trust S&P Dividend	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	11110 Ballweg Lane	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	S(part)
	IRA- Lazard Emerging Markets	\$1,001 - \$15,000	None	NONE	
	IRA- Lazard Mid Cap Equity	\$1,001 - \$15,000	None	NONE	
	IRA- MCM Nasdaq 25	\$1,001 - \$15,000	None	NONE	
	IRA- PAM China- India	\$1,001 - \$15,000	None	NONE	



# **SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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IRA- MCM JNL 5	\$1,001 - \$15,000	None	NONE	
IRA- PPM America High Yield Bond	\$1,001 - \$15,000	None	NONE	
IRA- T. Rowe Price S-T Bond	\$15,001 - \$50,000	None	NONE	
IRA- MCM Small Cap Index	\$1,001 - \$15,000	None	NONE	
IRA- Jackson Dividend Income	\$1,001 - \$15,000	None	NONE	
IRA- Jackson S&P 4	\$1,001 - \$15,000	None	NONE	
IRA- Blackrock Comm Securities	\$1,001 - \$15,000	None	NONE	
IRA- Mellon Capital Management	\$1,001 - \$15,000	None	NONE	
IRA- Red Rocks	\$1,001 - \$15,000	None	NONE	
IRA- Lazard Emerging Markets	\$15,001 - \$50,000	None	NONE	
IRA- Lazard Mid Cap Equity	\$1,001 - \$15,000	None	NONE	
IRA- MCM Nasdaq 25	\$15,001 - \$50,000	None	NONE	
IRA- PAM China- India	\$1,001 - \$15,000	None	NONE	
IRA- MCM JNL 5	\$15,001 - \$50,000	None	NONE	

# **SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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	IRA- PPM America High Yield Bond	\$15,001 - \$50,000	None	NONE	
	IRA- T. Rowe Price S-T Bond	\$15,001 - \$50,000	None	NONE	
	IRA- MCM Small Cap Index	\$1,001 - \$15,000	None	NONE	
	IRA- Jackson S&P Dividend Income	\$1,001 - \$15,000	None	NONE	
	IRA- Jackson S&P 4	\$1,001 - \$15,000	None	NONE	
	IRA- Blackrock Comm Securities	\$1,001 - \$15,000	None	NONE	
	IRA- Mellon Capital Management	\$1,001 - \$15,000	None	NONE	
	IRA- Red Rocks	\$1,001 - \$15,000	None	NONE	
SP	IRA- Lazard Emerging Markets	\$1,001 - \$15,000	None	NONE	
SP	IRA- JNL/Lazard Mid Cap Equity	\$1,001 - \$15,000	None	NONE	
SP	IRA- Mellon Capital Management	\$1,001 - \$15,000	None	NONE	
SP	IRA- JNL/PAM China-India	\$1,001 - \$15,000	None	NONE	
SP	IRA- JNL/MCM JNL 5	\$1,001 - \$15,000	None	NONE	
SP	IRA- JNL/PPM America High Yield Bond	\$1,001 - \$15,000	None	NONE	

# **SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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SP	IRA- T. Rowe Price S-T Bond	\$15,001 - \$50,000	None	NONE	
SP	IRA- JNL/MCM Small Cap Index	\$1,001 - \$15,000	None	NONE	
SP	IRA- Jackson National Life	\$1,001 - \$15,000	None	NONE	
SP	IRA- JNL/S&P 4	\$1,001 - \$15,000	None	NONE	
SP	IRA- JNL/Blackrock Comm Securities	\$1,001 - \$15,000	None	NONE	
SP	IRA- Mellon Capital Management	\$1,001 - \$15,000	None	NONE	
SP	IRA- Red Rocks	\$1,001 - \$15,000	None	NONE	

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	11110 Ballweg Lane	S(part)	Yes	08-19-10	\$15,001 - \$50,000
JT	LPL Financial (See attached Schedule 1)	P	N/A	Various	\$500,001 - \$1,000,000
JT	LPL Financial (See attached Schedule 2)	S	Yes	Various	\$500,001 - \$1,000,000
	Jackson Annuity (See attached Schedule 3)	P	N/A	Various	\$100,001 - \$250,000
SP	Jackson Annuity (See attached Schedule 4)	P	N/A	Various	\$100,001 - \$250,000
	Jackson Annuity (See attached Schedule 3)	S	Yes	Various	\$50,001 - \$100,000
SP	Jackson Annuity (See attached Schedule 4)	S	Yes	Various	\$15,001 - \$50,000

# SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liabilit	Amount of Liabilit
JT	Wachovia Bank, N.A.- Statesville, NC	December 2003	Mortgage on 322 Rocky Road, Hazleton, PA	\$100,001 - \$250,000
JT	First National Bank- Harrisburg, PA	Decembe 2003	Line of credit, secured by 322 Rocky Road, Hazleton, PA	\$100,001 - \$250,000

## SCHEDULE VIII - POSITIONS

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	Luzerne County Community College- Advisory Board
Member	Pennsylvania League of Cities and Municipalities- Northeast District
Member	Federation for American Immigration Reform- National Board of Advisors
Member	National Republican Committee- Advisory Committee on Catholic Outreach
Member	Pennsylvania League of Cities and Municipalities- legislative Committee

# **Jackson Annuity 1006998522**

Fund Name	Purchase Date	Total Purchases	Transactions	Value	Gain/Loss
	March 11, 2010		December 28, 2010	December 3, 2010	
JNL/PIMCO Total Return Bond	34400.00	34400.00	(34872.66)	0.00	472.66
JNL/Mellon Cap. Managmt Small Cap Index	8600.00	8600.00		9796.48	1196.48
JNL/PPM American High Yield Bond	13760.00	13760.00	1743.62	16847.27	1343.65
JNL/Mellon Cap. Managmt NASDAQ 25	17200.00	17200.00		18964.36	1764.36
JNL/Lizard Mid Cap Equity	8600.00	8600.00		9725.10	1125.10
JNL/PAM China-India	8600.00	8600.00		9746.91	1146.91
JNL/S&P 4	8600.00	8600.00		9196.37	596.37
JNL/Red Rocks Listed Private Equity	8600.00	8600.00		9990.76	1390.76
JNL/BlackRock Commodity Securities	8600.00	8600.00		10082.42	1482.42
JNL/T Rowe Price Short-Term Bond	20640.00	20640.00	26154.50	46779.19	(15.31)
JNL/Lazard Emerging Markets	17200.00	17200.00		20125.04	2925.04
JNL/Mellon Capital Management JNL 5	17200.00	17200.00		19319.18	2119.18
JNL/S&P Dividend Income & Growth			3487.27	3486.81	(0.46)
JNL/Mellon Cap. Managmt Oil & Gas Sector			3487.27	3518.37	31.10
<b>Totals</b>	<b>172000.00</b>	<b>172000.00</b>		<b>187578.26</b>	<b>15578.26</b>

# **Schedule 4** **Jackson Annuity - Spouse**

Fund Name	Purchase Date March 11, 2010	Total Purchases	Transactions December 28, 2010	Value December 3, 2010	Gain/Loss
JNL/PIMCO Total Return Bond	15700.00	15700.00	(15951.08)	0.00	251.08
JNL/Mellon Cap. Managmt Small Cap Index	3925.00	3925.00		4480.99	555.99
JNL/PPM American High Yield Bond	6280.00	6280.00	797.55	7706.11	628.56
JNL/Mellon Cap. Managmt NASDAQ 25	7850.00	7850.00		8674.47	824.47
JNL/Lizard Mid Cap Equity	3925.00	3925.00		4448.36	523.36
JNL/PAM China-India	3925.00	3925.00		4458.33	533.33
JNL/S&P 4	3925.00	3925.00		4206.51	281.51
JNL/Red Rocks Listed Private Equity	3925.00	3925.00		4569.87	644.87
JNL/BlackRock Commodity Securities	3925.00	3925.00		4611.78	686.78
JNL/T. Rowe Price Short-Term Bond	9420.00	9420.00	11963.31	21397.23	1355.37
JNL/Lazard Emerging Markets	7850.00	7850.00		9205.37	986.78
JNL/Mellon Capital Management JNL 5	7850.00	7850.00		8836.78	986.78
JNL/S&P Dividend Income & Growth			1595.11	1594.90	(0.21)
JNL/Mellon Cap. Managmt Financial Sector			1595.11	1590.48	(4.63)
<b>Totals</b>	<b>78500.00</b>	<b>78500.00</b>		<b>85781.18</b>	<b>7281.18</b>



### Jackson Annuity - Schedule 3

Fund Name	Purchase Date	Purchase Date	Total Purchases	Transactions	Value	Gain/Loss
	March 30, 2010	April 8, 2010		December 28, 2010	December 3, 2010	
JNL/PIMCO Total Return Bond	18849.16	6130.41	24979.57	(25386.74)	0.00	407.17
JNL/Mellon Cap. Managmt Small Cap Index	4712.29	1532.60	6244.89		7024.37	779.48
JNL/PPM American High Yield Bond	7539.66	2452.16	9991.82	507.73	11355.39	855.84
JNL/Mellon Cap. Managmt NASDAQ 25	9424.58	3065.20	12489.78		13439.73	949.95
JNL/Lizard Mid Cap Equity	4712.29	1532.60	6244.89		6961.58	716.69
JNL/PAM China-India	4712.29	1532.60	6244.89		6906.52	661.63
JNL/S&P 4	4712.29	1532.60	6244.89		6526.89	282.00
JNL/Red Rocks Listed Private Equity	4712.29	1532.60	6244.89		7079.39	834.50
JNL/BlackRock Commodity Securities	4712.29	1532.60	6244.89		7245.11	1000.22
JNL/T Rowe Price Short-Term Bond	11309.50	3678.24	14987.74	19040.06	34032.49	4.69
JNL/Lazard Emerging Markets	9424.58	3065.22	12489.80		14112.49	1622.69
JNL/Mellon Capital Management JNL 5	9424.58	3065.20	12489.78		13757.04	1267.26
JNL/S&P Dividend Income & Growth				2030.94	2026.19	(4.75)
JNL/Mellon Cap. Managmt Oil & Gas Sector				3808.01	3833.48	25.47
<b>Totals</b>	<b>94245.80</b>	<b>30652.03</b>	<b>124897.83</b>		<b>134300.67</b>	<b>9402.84</b>



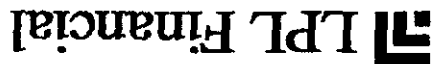
Member FINRA / SIPC  
9785 Towne Centre Drive  
San Diego, CA 92121-1968  
Federal ID No: 95-2834236

**TAX INFORMATION STATEMENT**  
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**Schedule 1**  
**Transactions - Purchases**  
**12/31/10**

MARY GRACE BARLETTA AND  
LOUIS J. BARLETTA

DESCRIPTION	DATE	ACTIVITY	QUANTITY	AMOUNT	CAF <sup>1</sup> NFR BOX
SPDR S&P MTL&AMINING ETF CUSIP: 78464A755	02/05/10	PURCHASE	100.00	\$4,756.61-	11
SECTR SPDR SBI ENER ETF CUSIP: 81369Y506	02/05/10	PURCHASE	100.00	\$5,503.67-	11



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MARY GRACE BARLETTA AND  
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DESCRIPTION	DATE	ACTIVITY
SPDR S&P MTL&MINING ETF	02/05/10	PURCHASE
CUSIP: 78464A755		
SECTR SPDR SBI ENER ETF	02/05/10	PURCHASE
CUSIP: 81369Y506		

QUANTITY	AMOUNT	CASE#
100.00	\$4,769.80-	11
100.00	\$5,506.67-	11

(IF APPLICABLE)  
CASE# NER BOX



Member FINRA / SIPC  
9785 Towne Centre Drive  
San Diego, CA 92121-1968  
Federal ID No: 95-2834236

MARY GRACE BARLETTA AND  
LOUIS J. BARLETTA

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been reported.

DESCRIPTION	DATE	ACTIVITY	QUANTITY	AMOUNT	CAE <sup>1</sup> NER BOX
-------------	------	----------	----------	--------	--------------------------

SPDR S&P MTL&MINING ETF	02/05/10	PURCHASE	50.00	\$2,393.14-	11
CUSIP: 78464A755					

SECTR SPDR SBI ENER ETF	02/05/10	PURCHASE	50.00	\$2,769.33-	11
CUSIP: 81369Y506					

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MARY GRACE BARLETTA AND  
 LOUIS J. BARLETTA

DESCRIPTION	DATE	ACTIVITY	QUANTITY	AMOUNT (IF APPLICABLE)	CAF <sup>1</sup> NER BOX
DEERE & COMPANY CUSIP: 244199105	12/07/10	PURCHASE	100.00	\$8,045.00-	11
EATON TAX MANAGED DIV I CUSIP: 277923686	10/08/10	PURCHASE	2,617.80	\$25,000.00-	11
HESS CORP CUSIP: 42809H107	12/03/10	PURCHASE	100.00	\$7,450.90-	11
ISHRS MSCI CDA INDEX ETF CUSIP: 464286509	03/22/10	PURCHASE	200.00	\$5,529.92-	11
ISHRS MSCI CDA INDEX ETF CUSIP: 464286509	05/25/10	PURCHASE	100.00	\$2,481.96-	11
SUBTOTAL				\$8,011.88-	
PROSH ULTST 500 CUSIP: 74347R883	05/20/10	PURCHASE	250.00	\$8,637.50-	11
PRUDENTIAL CORP BOND Z CUSIP: 74441R508	03/12/10	PURCHASE	8,696.65	\$100,000.00-	11
SPDR S&P INTL DIVIND ETF CUSIP: 78463X772	11/08/10	PURCHASE	150.00	\$8,566.50-	11
SPDR LEH HI YLD BOND ETF CUSIP: 78464A417	03/11/10	PURCHASE	625.00	\$24,643.75-	11
SPDR S&P DIVIDEND CUSIP: 78464A763	04/01/10	PURCHASE	200.00	\$9,894.00-	11
SPDR S&P DIVIDEND CUSIP: 78464A763	05/25/10	PURCHASE	100.00	\$4,552.96-	11
SUBTOTAL				\$14,446.96-	
SECTR SPDR SBI TECH ETF CUSIP: 81369Y803	03/22/10	PURCHASE	300.00	\$6,914.88-	11
SECTR SPDR SBI TECH ETF CUSIP: 81369Y803	06/10/10	PURCHASE	125.00	\$2,676.20-	11
SUBTOTAL				\$9,591.08-	
VANGUARD DIV APPREC ETF CUSIP: 921908844	03/11/10	PURCHASE	250.00	\$12,039.90-	11

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Federal ID No: 95-2834236

MARY GRACE BARLETTA AND  
LOUIS J. BARLETTA

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CONTINUED FROM PREVIOUS PAGE

DESCRIPTION	DATE	ACTIVITY	QUANTITY	AMOUNT	CAF, NEB, BOX
VGRO SMALL CAP GRWTH ETF	03/11/10	PURCHASE	100.00	\$6,509.80-	11
CUSIP: 922908596					
VGRO SMALL CAP GRWTH ETF	04/01/10	PURCHASE	100.00	\$6,669.98-	11
CUSIP: 922908595					
SUBTOTAL					
VANGUARD SML CAP VAL ETF	03/11/10	PURCHASE	100.00	\$5,928.60-	11
CUSIP: 922908611					
VANGUARD SML CAP VAL ETF	04/01/10	PURCHASE	100.00	\$6,030.00-	11
CUSIP: 922908611					
SUBTOTAL					
WSDMTRF INT MID DIV ETF	04/27/10	PURCHASE	100.00	\$4,890.00-	11
CUSIP: 97717W778					
WSDMTRF INT LGCP DIV ETF	04/01/10	PURCHASE	125.00	\$5,757.50-	11
CUSIP: 97717W794					



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LOUIS J. BARLETTA AND  
MARY GRACE BARLETTA

DESCRIPTION	DATE	ACTIVITY	QUANTITY	AMOUNT	CAE, NER, BOX
ALTRIA GROUP INC CUSIP: 018490102	08/05/10	PURCHASE	100.00	\$6,371.85-	11
ALTRIA GROUP INC CUSIP: 02209S103	08/31/10	PURCHASE	100.00	\$2,240.76-	11
ALTRIA GROUP INC CUSIP: 02209S103	11/23/10	PURCHASE	300.00	\$7,285.28-	11
SUBTOTAL				\$9,536.04-	
BRCLY S&P 500 VIX ST ETN CUSIP: 06740C527	08/11/10	PURCHASE	300.00	\$6,839.97-	11
BLACKROCK STRT INC OP INSL CUSIP: 09256H286	10/08/10	PURCHASE	2,446.18	\$25,000.00-	11
CARBO CERAMICS INC CUSIP: 140781105	07/13/10	PURCHASE	50.00	\$4,030.50-	11
CARBO CERAMICS INC CUSIP: 140781105	07/15/10	PURCHASE	50.00	\$3,950.00-	11
CARBO CERAMICS INC CUSIP: 140781105	08/06/10	PURCHASE	50.00	\$3,793.00-	11
SUBTOTAL				\$11,773.50-	

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LOUIS J. BARLETTA AND  
 MARY GRACE BARLETTA

CONTINUED FROM PREVIOUS PAGE

DESCRIPTION	DATE	ACTIVITY	QUANTITY	AMOUNT	CAE <sup>1</sup> MER. BOX
CLEAN ENERGY FUELS CORP CUSIP: 184499101	03/08/10	PURCHASE	200.00	\$3,969.84-	11
CONOCOPHILLIPS CUSIP: 20825C104	05/17/10	PURCHASE	100.00	\$5,519.00-	11
CONOCOPHILLIPS CUSIP: 20825C104	05/25/10	PURCHASE	50.00	\$2,436.50-	11
DWS STRAT HIGH YLD TAX S CUSIP: 23337W501	03/08/10	PURCHASE	6,167.76	\$75,000.00-	11
DWS MUNICIPAL INCOME TR CUSIP: 23338M106	03/08/10	PURCHASE	2,200.00	\$25,937.78-	11
DWS MUNICIPAL INCOME TR CUSIP: 23338M106	03/08/10	PURCHASE	800.00	\$9,424.00-	11
SUBTOTAL				\$35,361.78-	
EMC CORP MASS CUSIP: 268648102	04/14/10	PURCHASE	300.00	\$5,766.00-	11
EATON EMG MKT LOC INCM I CUSIP: 277923447	10/08/10	PURCHASE	4,537.20	\$50,000.00-	11
INSIGNIA SYSTEMS INC CUSIP: 45765Y105	11/12/10	PURCHASE	500.00	\$3,396.40-	11
ISHRS BRCLYS TIPS BD ETF CUSIP: 464287176	03/12/10	PURCHASE	300.00	\$31,268.16-	11
ISHRS S&P 500 GRWTH ETF CUSIP: 464287309	03/08/10	PURCHASE	200.00	\$11,750.00-	11
ISHRS S&P MIDCP400 ETF CUSIP: 464287606	03/10/10	PURCHASE	100.00	\$8,336.84-	11
ISHRS S&P MIDCP400 ETF CUSIP: 464287606	03/22/10	PURCHASE	50.00	\$4,259.50-	11
SUBTOTAL				\$12,598.34-	
ISHRS S&P MIDCP400 ETF CUSIP: 464287705	03/10/10	PURCHASE	100.00	\$7,034.88-	11

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LOUIS J. BARLETTA AND  
MARY GRACE BARLETTA

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DESCRIPTION	DATE	ACTIVITY	QUANTITY	AMOUNT	CAE, NER, BOX
ISHS S&P MIDCAP400 ETF CUSIP: 464287705	03/22/10	PURCHASE	60.00	\$3,696.97	11
<b>SUBTOTAL</b>				\$10,631.86	
ISHS S&P US PFD ETF CUSIP: 464288687	03/08/10	PURCHASE	239.00	\$9,237.35	11
ISHS S&P US PFD ETF CUSIP: 464288687	03/12/10	PURCHASE	405.00	\$15,788.70	11
<b>SUBTOTAL</b>				\$25,024.05	
ISHRS SILVER TR CUSIP: 464280109	03/08/10	PURCHASE	200.00	\$3,390.00	11
NOVAGOLD RES INC NEW CUSIP: 66987E208	11/09/10	PURCHASE	500.00	\$7,135.00	11
NOVAGOLD RES INC NEW CUSIP: 66987E208	11/10/10	PURCHASE	250.00	\$3,482.30	11
<b>SUBTOTAL</b>				\$10,617.30	
NUCOR CORP CUSIP: 670346105	04/05/10	PURCHASE	200.00	\$9,469.98	11
NUCOR CORP CUSIP: 670346105	10/08/10	PURCHASE	100.00	\$3,994.99	11
<b>SUBTOTAL</b>				\$13,464.97	
PWRSH FIN PFD PORT ETF CUSIP: 73936X229	03/12/10	PURCHASE	993.00	\$17,278.20	11
PWRSH \$ INDX BULL ETF CUSIP: 73936D107	03/24/10	PURCHASE	200.00	\$4,801.98	11
PROSH ULTST 500 ETF CUSIP: 74347R883	05/20/10	PURCHASE	500.00	\$17,350.00	11
SPDR LEH HI YLD BOND ETF CUSIP: 78464A417	03/08/10	PURCHASE	1,000.00	\$39,300.00	11
SPDR LEH HI YLD BOND ETF CUSIP: 78464A417	03/12/10	PURCHASE	200.00	\$7,899.90	11
SPDR LEH HI YLD BOND ETF CUSIP: 78464A417	03/12/10	PURCHASE	200.00	\$7,900.00	11
<b>SUBTOTAL</b>				\$55,099.90	

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LOUIS J. BARLETTA AND  
MARY GRACE BARLETTA

CONTINUED FROM PREVIOUS PAGE

DESCRIPTION	DATE	ACTIVITY	QUANTITY	AMOUNT	CAF <sup>1</sup> MER.MOX
SPDR S&P DIVIDEND ETF CUSIP: 78464A763	03/24/10	PURCHASE	300.00	\$14,726.97-	11
SANDRIDGE ENERGY INC CUSIP: 80007P307	10/08/10	PURCHASE	1,000.00	\$5,770.00-	11
VGRO SMALL CAP GRWTH ETF CUSIP: 922908695	03/08/10	PURCHASE	100.00	\$8,415.00-	11
VGRO SMALL CAP GRWTH ETF CUSIP: 922908695	03/22/10	PURCHASE	50.00	\$3,272.50-	11
SUBTOTAL				\$9,687.50-	
VANGUARD SML CAP VAL ETF CUSIP: 922908611	03/10/10	PURCHASE	100.00	\$5,893.16-	11
VANGUARD SML CAP VAL ETF CUSIP: 922908611	03/22/10	PURCHASE	50.00	\$3,005.97-	11
SUBTOTAL				\$8,899.13-	
VISA INC CLASS A CUSIP: 92826C839	04/29/10	PURCHASE	100.00	\$9,307.80-	11
WISDMTRE INT LGCP DIV ETF CUSIP: 97717W794	03/10/10	PURCHASE	200.00	\$9,078.00-	11



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DESCRIPTION	DATE	ACTIVITY	QUANTITY	AMOUNT	CAE, MER, BOX
SPDR S&P MTL&MINING ETF	02/05/10	PURCHASE	100.00	\$4,754.59-	11
CUSIP: 78464A755					
SECTR SPDR SBI ENER ETF	02/05/10	PURCHASE	100.00	\$5,501.67-	11
CUSIP: 81369Y506					

Schedule 2  
Transactions-Sales  
12/31/10

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[illegible]

DESCRIPTION	QUANTITY	ACQUIRED DATE	GROSS	ORIGINAL	COST BASIS	ADJUSTED COST BASIS	LOSS	SALE OR
DWS INTER TAX/AMT FREE C	1,832.338	09/23/08	20,943.62	19,770.92	19,770.92	0.00	1,172.70	
DWS INTER TAX/AMT FREE C	1,832.338	09/23/08	20,943.62	19,770.92	19,770.92	0.00	1,172.70	
DWS INTER TAX/AMT FREE C	513.077	09/23/08	5,536.09	5,536.09	5,536.09	0.00	328.38	
DWS INTER TAX/AMT FREE C	1,832.338	09/23/08	20,943.62	19,770.92	19,770.92	0.00	1,172.70	
DWS INTER TAX/AMT FREE C	1,832.338	09/23/08	20,943.62	19,770.92	19,770.92	0.00	1,172.70	
DWS INTER TAX/AMT FREE C	0.201	09/26/08	2.29	2.16	2.16	0.00	0.13	
DWS INTER TAX/AMT FREE C	0.201	09/26/08	2.29	2.16	2.16	0.00	0.13	
DWS INTER TAX/AMT FREE C	0.201	09/26/08	2.29	2.16	2.16	0.00	0.13	
DWS INTER TAX/AMT FREE C	0.201	09/26/08	2.29	2.16	2.16	0.00	0.13	
DWS INTER TAX/AMT FREE C	6.608	10/29/08	75.52	70.05	70.05	0.00	5.47	

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**LONG-TERM CAPITAL GAINS AND LOSSES:**

[illegible]

MARY GRACE BARLETTA AND  
 LOUIS J. BARLETTA

## 2010 REALIZED GAINS AND LOSSES

CONTINUED FROM PREVIOUS PAGE

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### LONG-TERM CAPITAL GAINS AND LOSSES:

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	GROSS PROCEEDS	ORIGINAL COST BASIS	ADJUSTED COST BASIS	GAIN OR LOSS
WSDMTRE INT LGCP DIV ETF	150.000	11/11/09	12/03/10	6,714.37	7,282.56	0.00	(568.19)
WSDMTRE INT LGCP DIV ETF	100.000	11/11/09	12/03/10	4,476.24	4,859.05	0.00	(382.81)
WSDMTRE INT LGCP DIV ETF	75.000	11/11/09	12/03/10	3,357.18	3,648.87	0.00	(291.49)
SUBTOTAL LONG-TERM CAPITAL GAINS AND LOSSES				193,099.96	184,994.25	0.00	8,105.71
NET / TOTAL GAINS/LOSSES				250,780.29	246,357.54	0.00	5,422.75

MARY GRACE BARLETTA AND  
LOUIS J. BARLETTA

## 2010 REALIZED GAINS AND LOSSES

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### SHORT-TERM CAPITAL GAINS AND LOSSES:

DESCRIPTION	QUANTITY	ACQUIRED	DATE	GROSS PROCEEDS	COST BASIS	ADJUSTED	SALE OR LOSS
SPDR S&P BIOTECH ETF	115.000	06/04/09	02/04/10	6,193.39	5,704.86	0.00	488.53
SUBTOTAL SHORT-TERM CAPITAL GAINS AND LOSSES				6,193.39	5,704.86	0.00	488.53

### LONG-TERM CAPITAL GAINS AND LOSSES:

DESCRIPTION	QUANTITY	ACQUIRED	DATE	GROSS PROCEEDS	COST BASIS	ADJUSTED	SALE OR LOSS
COLUMBIA MARSHCO 21ST C	153.194	07/16/05	02/04/10	1,586.93	1,647.28	0.00	(60.36)
COLUMBIA MARSHCO 21ST C	899.814	07/19/05	02/04/10	9,321.16	9,705.00	0.00	(383.84)
COLUMBIA MARSHCO 21ST C	14.567	06/21/06	02/04/10	150.89	173.06	0.00	(22.17)
COLUMBIA MARSHCO 21ST C	6.543	06/21/06	02/04/10	67.77	77.73	0.00	(9.96)
COLUMBIA MARSHCO 21ST C	3.004	12/15/06	02/04/10	31.11	40.76	0.00	(9.65)
COLUMBIA MARSHCO 21ST C	5.307	06/22/07	02/04/10	54.97	79.28	0.00	(24.31)
COLUMBIA MARSHCO 21ST C	9.308	06/22/07	02/04/10	96.42	139.06	0.00	(42.64)
COLUMBIA MARSHCO 21ST C	3.733	12/14/07	02/04/10	38.67	59.72	0.00	(21.06)
COLUMBIA MARSHCO 21ST C	17.857	12/14/07	02/04/10	184.98	285.71	0.00	(100.73)
COLUMBIA MARSHCO 21ST C	395.857	03/18/08	02/04/10	4,100.67	5,355.95	0.00	(1,255.28)
COLUMBIA MARSHCO 21ST C	307.456	07/18/08	02/04/10	3,184.98	4,005.00	0.00	(820.01)
ISHS CHN & STRS MTRS IDX	45.000	07/18/08	02/04/10	2,157.48	3,490.64	0.00	(1,333.16)
SUBTOTAL LONG-TERM CAPITAL GAINS AND LOSSES				20,978.02	25,059.20	0.00	(4,081.18)
NET CAPITAL GAINS/LOSSES				27,169.41	30,764.06	0.00	(3,594.65)

CONTINUED ON THE NEXT PAGE



MARY GRACE BARLETTA AND  
LOUIS J. BARLETTA

## 2010 REALIZED GAINS AND LOSSES

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### SHORT-TERM CAPITAL GAINS AND LOSSES:

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	GROSS PROCEEDS	ORIGINAL COST BASIS	ADJUSTED COST BASIS	GAIN OR LOSS
SPDR S&P BIOTECH ETF	115.000	06/04/08	02/04/10	6,201.40	5,700.01	5,700.01	501.39
SUBTOTAL SHORT-TERM CAPITAL GAINS AND LOSSES				6,201.40	5,700.01	5,700.01	501.39

### LONG-TERM CAPITAL GAINS AND LOSSES:

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	GROSS PROCEEDS	ORIGINAL COST BASIS	ADJUSTED COST BASIS	GAIN OR LOSS
COLUMBIA MARSHCO 21ST C	153.194	07/15/05	02/04/10	1,586.88	1,647.29	1,647.29	(60.31)
COLUMBIA MARSHCO 21ST C	950.835	07/19/05	02/04/10	9,849.99	10,255.00	10,255.00	(405.01)
COLUMBIA MARSHCO 21ST C	6.698	06/21/06	02/04/10	69.38	79.57	79.57	(10.19)
COLUMBIA MARSHCO 21ST C	14.913	06/21/06	02/04/10	154.48	177.17	177.17	(22.69)
COLUMBIA MARSHCO 21ST C	3.075	12/15/06	02/04/10	31.85	41.73	41.73	(9.88)
COLUMBIA MARSHCO 21ST C	5.560	06/22/07	02/04/10	57.59	83.07	83.07	(25.48)
COLUMBIA MARSHCO 21ST C	9.754	06/22/07	02/04/10	101.04	145.72	145.72	(44.68)
COLUMBIA MARSHCO 21ST C	3.911	12/14/07	02/04/10	40.51	62.58	62.58	(22.07)
COLUMBIA MARSHCO 21ST C	18.712	12/14/07	02/04/10	193.84	289.39	289.39	(95.55)
COLUMBIA MARSHCO 21ST C	385.857	03/18/08	02/04/10	4,100.80	5,365.95	5,365.95	(1,265.15)
COLUMBIA MARSHCO 21ST C	307.456	07/18/08	02/04/10	3,185.08	4,005.00	4,005.00	(819.92)
ISHS CHN & STRS MURS IDX	45.000	07/18/08	02/04/10	2,158.78	3,484.26	3,484.26	(1,325.48)
SUBTOTAL LONG-TERM CAPITAL GAINS AND LOSSES				21,530.32	25,636.73	25,636.73	(4,106.41)
NET CAPITAL GAINS/LOSSES				27,731.72	31,336.74	31,336.74	(3,605.02)

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LOUIS J. BARLETTA

**LONG-TERM CAPITAL GAINS AND LOSSES:**

DATE	QUANTITY	DATE	DATE	PROCEEDS	ORIGINAL	ADJUSTED	LOSS	GAIN OR
COLUMBIA MARSHCO 21ST C	208.875	07/19/05	02/04/10	2,162.79	2,253.79	0.00	0.00	(91.00)
COLUMBIA MARSHCO 21ST C	6.854	06/21/06	02/04/10	70.93	81.42	0.00	0.00	(10.49)
COLUMBIA MARSHCO 21ST C	15.259	06/21/06	02/04/10	157.92	181.28	0.00	0.00	(23.36)
COLUMBIA MARSHCO 21ST C	3.147	12/15/06	02/04/10	32.56	42.70	0.00	0.00	(10.14)
COLUMBIA MARSHCO 21ST C	10.199	06/22/07	02/04/10	105.38	152.38	0.00	0.00	(46.83)
COLUMBIA MARSHCO 21ST C	5.815	06/22/07	02/04/10	60.18	86.87	0.00	0.00	(26.69)
COLUMBIA MARSHCO 21ST C	19.568	12/14/07	02/04/10	202.51	313.08	0.00	0.00	(110.57)
COLUMBIA MARSHCO 21ST C	4.090	12/14/07	02/04/10	42.32	65.44	0.00	0.00	(23.12)
COLUMBIA MARSHCO 21ST C	395.857	03/18/08	02/04/10	4,096.93	6,355.95	0.00	0.00	(1,259.02)
COLUMBIA MARSHCO 21ST C	307.456	07/18/08	02/04/10	3,182.08	4,006.00	0.00	0.00	(822.92)
ISHS CHN & STRS MJRS IDX	45.000	07/18/08	02/04/10	2,155.68	3,485.17	0.00	0.00	(1,329.49)
SENECA VLY PA 5.0 010120	50,000.000	11/02/05	03/11/10	51,080.00	51,441.01	50,870.79	50,870.79	2,09.21
SUBTOTAL LONG-TERM CAPITAL GAINS AND LOSSES	50,000.000	11/02/05	09/20/10	53,408.00	118,905.10	101,664.49	101,664.49	2,614.30
NET CAPITAL GAINS/LOSSES				116,757.45	118,905.10	101,664.49		(930.12)

MARY GRACE BARLETTA AND  
LOUIS J. BARLETTA

## 2010 REALIZED GAINS AND LOSSES

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### SHORT-TERM CAPITAL GAINS AND LOSSES:

DESCRIPTION	QUANTITY	DATE	DATE	GROSS	ORIGINAL	ADJUSTED	SALE OR
SPDR S&P BIOTECH ETF	115.000	06/04/09	02/04/10	6,201.41	5,704.72	0.00	LOSS
SUBTOTAL SHORT-TERM CAPITAL GAINS AND LOSSES				6,201.41	5,704.72	0.00	496.69

### LONG-TERM CAPITAL GAINS AND LOSSES:

DESCRIPTION	QUANTITY	DATE	DATE	GROSS	ORIGINAL	ADJUSTED	SALE OR
CTVIA MARSHCO 21ST C	153.194	07/15/05	02/04/10	1,586.89	1,547.29	0.00	LOSS
COLUMBIA MARSHCO 21ST C	862.709	07/19/05	02/04/10	8,936.58	9,305.00	0.00	(368.42)
COLUMBIA MARSHCO 21ST C	14.316	06/21/06	02/04/10	148.29	170.07	0.00	(21.78)
COLUMBIA MARSHCO 21ST C	6.429	06/21/06	02/04/10	66.59	76.38	0.00	(9.79)
COLUMBIA MARSHCO 21ST C	2.952	12/15/06	02/04/10	30.67	40.06	0.00	(9.49)
COLUMBIA MARSHCO 21ST C	5.121	06/22/07	02/04/10	53.04	76.51	0.00	(23.47)
COLUMBIA MARSHCO 21ST C	8.983	06/22/07	02/04/10	93.05	134.21	0.00	(41.16)
COLUMBIA MARSHCO 21ST C	3.603	12/14/07	02/04/10	37.32	57.64	0.00	(20.32)
COLUMBIA MARSHCO 21ST C	17.234	12/14/07	02/04/10	178.52	275.75	0.00	(97.23)
COLUMBIA MARSHCO 21ST C	395.857	03/18/08	02/04/10	4,100.58	5,355.95	0.00	(1,255.37)
COLUMBIA MARSHCO 21ST C	307.456	07/18/08	02/04/10	3,184.92	4,005.06	0.00	(820.08)
ISHS CHN & STRS MJRS IDX	45.000	07/18/08	02/04/10	2,157.01	3,494.75	0.00	(1,337.74)
SUBTOTAL LONG-TERM CAPITAL GAINS AND LOSSES				20,573.36	24,638.61	0.00	(4,065.25)
NET CAPITAL GAINS/LOSSES				26,774.77	30,343.33	0.00	(3,568.56)

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LOUIS J. BARLETTA AND  
MARY GRACE BARLETTA

## 2010 REALIZED GAINS AND LOSSES

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### SHORT-TERM CAPITAL GAINS AND LOSSES:

DESCRIPTION	QUANTITY	ACQUIRED DATE	SOLD DATE	GROSS PROCEEDS	ORIGINAL COST BASIS	ADJUSTED COST BASIS	SALVAGE LOSS
ALTRIA GROUP INC	314.000	04/01/09	03/08/10	6,506.74	5,137.04	0.00	1,369.70
APPLE INC	22.000	04/01/08	03/08/10	4,820.14	2,383.00	0.00	2,437.14
AT&T INC	225.000	04/01/08	03/08/10	5,694.69	5,847.30	0.00	(152.61)
BP PLC SPONS ADRT	132.000	11/03/09	03/08/10	7,412.03	7,582.38	0.00	(180.35)
BRCLY S&P 500 VIX ST ETN	150.000	08/11/10	09/03/10	2,924.95	3,419.98	0.00	(495.04)
BRCLY S&P 500 VIX ST ETN	150.000	08/11/10	09/03/10	2,509.80	3,419.98	0.00	(910.08)
CERAMICS INC	50.000	07/13/10	10/28/10	4,203.76	4,030.50	0.00	173.26
CERAMICS INC	50.000	07/15/10	10/28/10	4,203.77	3,950.00	0.00	253.77
CERAMICS INC	50.000	08/08/10	10/28/10	4,203.77	3,793.00	0.00	410.77
CLEAN ENERGY FUELS CORP	200.000	03/08/10	12/28/10	2,824.11	3,969.84	0.00	(1,145.73)
COCA COLA COMPANY	44.000	04/01/09	03/08/10	2,392.24	1,978.99	0.00	413.25
COLGATEPALMOLIVE COMPANY	73.000	11/03/09	03/08/10	6,124.66	5,682.87	0.00	441.79
CONOCOPHILLIPS	50.000	06/26/10	06/15/10	2,681.95	2,436.50	0.00	245.45
DISNEY WALT COMPANY	108.000	04/01/08	03/08/10	3,574.81	2,028.09	0.00	1,546.82
DOW CHEMICAL COMPANY	141.000	04/01/09	03/08/10	4,186.44	1,252.04	0.00	2,934.40
DWS MUNICIPAL INCOME TR	2,200.000	03/08/10	12/30/10	24,049.25	25,937.78	0.00	(1,888.53)
DWS MUNICIPAL INCOME TR	800.000	03/08/10	12/30/10	8,745.18	9,424.00	0.00	(678.81)
DWS STRAT HIGH YLD TAX S	6,167.763	03/08/10	10/08/10	77,097.03	75,000.00	0.00	2,097.03
DWS STRAT HIGH YLD TAX S	14.557	03/26/10	10/08/10	181.86	176.72	0.00	5.24
DWS STRAT HIGH YLD TAX S	28.418	04/27/10	10/08/10	355.22	348.12	0.00	7.10
DWS STRAT HIGH YLD TAX S	24.126	05/26/10	10/08/10	301.57	296.30	0.00	5.27
DWS STRAT HIGH YLD TAX S	28.239	06/26/10	10/08/10	352.98	342.54	0.00	10.45
DWS STRAT HIGH YLD TAX S	27.183	07/27/10	10/08/10	339.79	332.45	0.00	7.34
DWS STRAT HIGH YLD TAX S	24.872	08/28/10	10/08/10	310.90	311.15	0.00	(0.25)
DWS STRAT HIGH YLD TAX S	28.038	09/27/10	10/08/10	350.48	351.03	0.00	(0.54)
EATON EMG MKT LOC INCM I	4,537.205	10/08/10	12/28/10	47,050.81	50,000.00	49,984.85	(2,015.15)
EATON EMG MKT LOC INCM I	15.686	11/01/10	12/28/10	162.66	170.51	0.00	(7.85)
ENTRENGY CORP NEW	29.240	12/01/10	12/28/10	303.23	302.05	0.00	1.18
EXXON MOBIL CORP	50.000	04/01/09	03/08/10	3,976.44	3,433.38	0.00	543.06
FEDERATED EQ PRUD BEAR A	2,950.231	04/01/09	03/08/10	15,459.21	17,111.34	0.00	(1,652.13)
HEWLETT-PACKARD COMPANY	42.000	04/01/09	03/08/10	2,166.75	1,385.93	0.00	780.82
ISHARES BARCLAY AGRT BD	144.000	04/01/09	03/08/10	15,035.65	14,551.17	0.00	484.48
ISHARES BARCLAY AGRT BD	116.000	03/08/10	03/08/10	12,112.06	12,089.23	0.00	22.83
ISHARES INVESTMT BD FD	103.000	11/03/09	03/08/10	10,882.39	10,753.20	0.00	129.19
ISHRS SILVER TR	100.000	03/08/10	10/07/10	2,212.96	1,695.00	0.00	517.96
ISHRS SILVER TR	100.000	03/08/10	10/07/10	2,213.00	1,695.00	0.00	518.00
ISHS S&P 500 GRWTH FD	200.000	03/08/10	08/11/10	11,252.92	11,750.00	0.00	(497.08)
JPMORGAN CHASE & COMPANY	36.000	04/01/09	03/08/10	1,494.48	967.39	0.00	527.09
MCDONALDS CORP	97.000	04/01/09	03/08/10	6,335.95	5,337.70	0.00	998.25
METRONIC INC	158.000	04/01/09	03/08/10	7,109.90	4,683.12	0.00	2,426.78
SOFT CORP	202.000	04/01/09	03/08/10	5,803.97	3,898.24	0.00	1,905.73
YIS ASG GLOBAL FD A	2,001.970	06/26/09	03/08/10	20,900.57	19,999.68	0.00	900.89
NEW YORK CMNTY BANCORP	538.000	11/03/09	03/08/10	8,441.33	5,714.51	0.00	2,726.82

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LOUIS J. BARLETTA AND  
MARY GRACE BARLETTA

**2010 REALIZED GAINS AND LOSSES**

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**SHORT-TERM CAPITAL GAINS AND LOSSES:**

DESCRIPTION	QUANTITY	ACQUIRED DATE	PROCEEDS	GROSS	ORIGINAL COST BASIS	ADJUSTED COST BASIS	GAIN OR LOSS
NOVARTIS AG SPONS ADR	110.000	04/01/09	03/08/10	5,974.30	4,199.66	0.00	1,774.64
POWERSH \$ INDX TR BULL\$H	200.000	03/24/10	05/20/10	6,008.61	4,801.98	4,801.98	206.63
ETF	100.000	05/20/10	09/20/10	2,986.22	3,470.00	0.00	(483.78)
PRASH ULTST 500	100.000	05/20/10	08/06/10	6,258.45	6,940.00	0.00	(681.55)
PRASH ULTST 500	100.000	05/20/10	08/06/10	3,141.22	3,470.00	0.00	(328.78)
ETF	100.000	05/20/10	09/03/10	3,200.94	3,470.00	0.00	(269.06)
GOLD TRUST GOLD ETF	65.000	04/01/09	03/08/10	7,151.85	5,920.06	0.00	1,231.79
SOLD TRUST GOLD ETF	28.000	11/03/09	03/08/10	3,080.81	2,973.04	0.00	107.77
SUNOR ENERGY INC NEW	174.000	04/01/09	03/08/10	5,413.14	3,942.78	0.00	1,470.36
TEVA PHARMACEUTICAL ADR	115.000	04/01/09	03/08/10	6,984.03	5,249.54	0.00	1,734.49
UNION PACIFIC CORP	103.000	04/01/09	03/08/10	7,116.22	4,319.77	0.00	2,796.45
VISA INC CLASS A	100.000	04/29/10	07/13/10	7,584.77	9,307.80	0.00	(1,723.03)
VODAFONE GRP PLC NEW ADR	258.000	04/01/09	03/08/10	5,784.31	4,829.40	0.00	954.91
SUBTOTAL SHORT-TERM CAPITAL GAINS AND LOSSES				425,986.87	405,210.15	54,786.83	20,791.87

**LONG-TERM CAPITAL GAINS AND LOSSES:**

DESCRIPTION	QUANTITY	ACQUIRED DATE	PROCEEDS	GROSS	ORIGINAL COST BASIS	ADJUSTED COST BASIS	GAIN OR LOSS
3M COMPANY	8.000	09/13/05	03/08/10	652.39	593.12	0.00	59.27
3M COMPANY	74.000	06/04/08	03/08/10	6,034.62	5,693.56	0.00	341.06
ABBOTT LABORATORIES	114.000	04/01/09	04/21/10	5,938.16	5,314.06	0.00	624.10
APPLE INC	25.000	04/01/09	05/07/10	5,944.41	2,707.94	0.00	3,236.47
CISCO SYSTEMS INC	200.000	03/06/02	03/08/10	5,146.42	3,280.00	0.00	1,866.42
CISCO SYSTEMS INC	53.000	03/06/02	03/08/10	1,363.81	867.60	0.00	496.21
CISCO SYSTEMS INC	200.000	03/06/02	10/29/10	4,591.82	3,273.99	0.00	1,317.83
DIAMOND HILL LONG SHRT A	817.778	07/11/08	03/08/10	14,885.63	16,024.40	0.00	(1,138.77)
DIAMOND HILL LONG SHRT A	11.933	12/17/08	03/08/10	193.67	169.69	0.00	23.98
DIAMOND HILL LONG SHRT A	29.416	12/31/08	03/08/10	477.43	402.71	0.00	74.72
DOW CHEMICAL COMPANY	100.000	04/01/09	05/20/10	3,258.94	1,877.86	0.00	1,381.08
FREEPORT MCMRN COP & GLD	75.000	03/06/09	05/20/10	2,556.95	887.96	0.00	1,668.99
GOOGLE INC CL A	13.000	04/01/09	11/15/10	7,768.41	4,607.59	0.00	3,160.82
HEWLETT-PACKARD COMPANY	100.000	04/01/09	04/20/10	5,341.95	3,299.81	0.00	2,042.14
ISHARES INVESTMT BD FD	156.000	12/04/08	03/08/10	16,482.06	14,440.92	0.00	2,041.14
JOHNSON & JOHNSON	4.000	10/17/08	09/28/10	249.51	253.24	0.00	(3.73)
JOHNSON & JOHNSON	93.000	12/04/08	09/28/10	5,801.30	5,296.92	0.00	504.38
JPMORGAN CHASE & COMPANY	100.000	04/01/09	05/20/10	3,851.93	2,763.94	0.00	1,087.99
JPMORGAN HIGHBRIDGE STAT A	724.628	07/11/08	03/08/10	11,449.12	11,753.47	0.00	(304.35)
JPMORGAN HIGHBRIDGE STAT A	3.799	12/23/08	03/08/10	60.03	62.27	0.00	(2.24)
MICROSOFT CORP	10.000	12/11/08	03/08/10	287.32	200.13	0.00	87.19

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DESCRIPTION	QUANTITY	DATE	DATE	GROSS	ORIGINAL	ADJUSTED	LOSS	GAIN OR
		ACQUIRED	SOLD	PROCEEDS	COST BASIS	COST BASIS		
NORFOLK SOUTHERN CORP	25.000	04/01/09	05/07/10	1,394.97	870.40	0.00	0.00	524.57
PROCTER & GAMBLE COMPANY	131.000	08/22/06	03/08/10	8,302.68	7,984.45	0.00	0.00	318.23
SUBTOTAL LONG-TERM CAPITAL GAINS AND LOSSES				118,100.50	94,968.91	0.00	0.00	23,131.59
NET CAPITAL GAINS/LOSSES				544,087.37	500,179.06	54,786.83		43,923.48