

MARTHA ROBY  
2ND DISTRICT, ALABAMA

CANNON HOUSE OFFICE BUILDING  
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PHONE: (202) 225-2901

**INSIDE MAIL**

COMMITTEE:  
APPROPRIATIONS

**Congress of the United States**

**House of Representatives**

**Washington, DC 20515-0102**

**September 18, 2015**

2015 SEP 22 PM 4:11

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

Honorable Karen L. Haas  
Clerk of the U.S. House of Representatives  
Office of the Clerk  
U.S. Capitol, Room H154  
Washington, D.C. 20515

✓  
MC

RE: Response of Martha Roby to CY2013 Financial Disclosure Statement Checklist

Dear Ms. Haas:

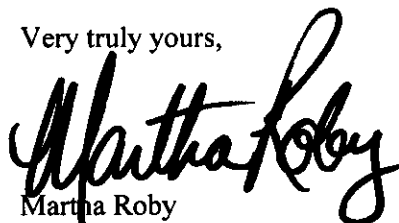
This letter responds to a June 8, 2015 letter and accompanying checklist from the Committee on Ethics related to the Calendar Year 2013 Financial Disclosure Statement that I previously filed with the Office of the Clerk of the House.

This letter reconciles the items noted in the Checklist as follows:

1. My Calendar Year 2013 Financial Disclosure Statement reported that my spouse and I jointly owned Mainstay Cornerstone Growth Class A. This asset was acquired by us on January 23, 2013 when we exchanged 183.066 shares of Mainstay Growth Equity Class A valued at \$11.661 per share and then owned by us for 73.117 shares of Mainstay Cornerstone Growth Class A fund then valued at \$19.196 per share. The information related to this exchange was also previously described in my letter dated May 4, 2015 to you.
2. The IRA account into which Columbia Balanced Fund Class A and Eaton Vance Atlanta Capital SMID – Cap Fund Class A were purchased with the cash which was previously the sole IRA account asset is a rollover IRA account held by Stifel Nicolaus as Custodian. This rollover IRA account was opened in 2011 to receive retirement plan assets held for my benefit by the City of Montgomery, Alabama related to my tenure as a member of the Montgomery City Council from 2003 through 2010, when I was elected as a Member of the House of Representatives.
3. The Mass Mutual Managed Conservative Portfolio reported as owned by my spouse through the Balch & Bingham LLP 401(k) Profit Sharing Plan was acquired on April 28, 2014 through a reallocation of assets held by his profit sharing plan. The Mass Mutual Managed Conservative Portfolio should not have been reported as held by my spouse on my Calendar Year 2013 Financial Disclosure Statement because it was not then owned by me or any other person or entity subject to disclosure by me.

Thank you for your time and attention to this matter. If you have any questions, please do not hesitate to contact Stephen E. Boyd, my Chief of Staff, at 202-225-2901.

Very truly yours,



Martha Roby  
Member of Congress