

UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT

FORM B

For use by candidates and new employees

Period covered: January 1, 2012 - April 30, 2013

MAY 30 2013

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LEGISLATIVE RESOURCE CENTER

2013 JUN 10 AM 9:31

OFFICE OF THE CLERK

Name: Earl LeRoy Carter Daytime Telephone: _____


(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Candidate for the House of Representatives	State: <u>GA</u> District: <u>1</u>	Date of Election: _____	Check if Amendment <input type="checkbox"/>	A \$200 penalty shall be assessed against any individual who files more than 30 days late.
	<input type="checkbox"/> New officer or employee	Employing Office: _____			

In all sections, please type or print clearly in blue or black ink.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS — Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?

Yes ☐ No ☒

EXEMPTION — Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes ☐ No ☒

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Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

This page may be copied if more space is required.

SCHEDULE II - ASSETS AND "UNEARNED" INCOME

Name Earl LeRoy Carter

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income																							
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols).</p> <p>For all IRAs and other retirement plans (such as 401(k) plans), provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and the city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule II requirements, please refer to the instruction booklet.</p>		<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>*This column is for assets solely held by your spouse or dependent child.</p>													<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>							<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income derived from assets solely held by your spouse or dependent child.</p>																							
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year										
																						I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII
														None																															
														\$1 - \$1,000																															
														\$1,001 - \$15,000																															
														\$15,001 - \$50,000																															
														\$50,001 - \$100,000																															
														\$100,001 - \$250,000																															
														\$250,001 - \$500,000																															
														\$500,001 - \$1,000,000																															
														\$1,000,001 - \$5,000,000																															
														\$5,000,001 - \$25,000,000																															
														\$25,000,001 - \$50,000,000																															
														Over \$50,000,000																															
														Spouse/DC Asset over \$1,000,000*																															
SP, DC, Examples:	SP Mega Corp. Stock																																												
	Simon & Schuster																																												
JT	1st Bank of Paducah, KY accounts																																												
	Santitrust Bank CD																																												
	First Chatham Bank CD																																												
	The Coastal Bank CD																																												
	Bank of the Ozarks CD																																												
	Wells Fargo CD																																												
	The Savannah Bank CD																																												

Continuation Sheet (if needed)

Earl Le Roy Carter

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SCHEDULE III — LIABILITIES

Name

EARL LeRoy Carter

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Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. **Exclude:** Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred mo/year	Type of Liability	Amount of Liability										
				A \$10,001— \$15,000	B \$15,001— \$50,000	C \$50,001— \$100,000	D \$100,001— \$250,000	E \$250,001— \$500,000	F \$500,001— \$1,000,000	G \$1,000,001— \$5,000,000	H \$5,000,001— \$25,000,000	I \$25,000,001— \$50,000,000	J Over \$50,000,000	K Spouse/DC Liability over \$1,000,000
	<i>Example:</i> First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main Street, Dover, DE				X							
	N/a		N/a											

SCHEDULE IV — POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

Position	Name of Organization
President	Carter's Pharmacy, Inc.
Director	The Coastal Bank
Trustee	Young Harris College
Employee	Ornicare, Inc.

SCHEDULE V – AGREEMENTS

Name

Earl Le Roy Carter

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	<i>N/A</i>	

SCHEDULE VI – COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule I.

Source (Name and Address)	Brief Description of Duties
<i>Example: Doe Jones & Smith, Hometown, Homestate</i>	<i>Accounting services</i>
<i>N/A</i>	

A

Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

FARI L CARTER

POOLER GA 31326-1712

Morgan Stanley Smith Barney Holdings LLC
Herborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number:

Taxpayer ID Number:

Account Number:

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 9946 Part I with box A checked.)

DESCRIPTION (Box 6)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ABBOTT LABORATORIES									
									CUSIP: 002624100 Symbol (Box 1d): ABB
	56.000	10/17/12	12/28/12	\$3,622.05	\$3,680.76	\$0.00	(\$258.71)	\$0.00	
	50.000	10/18/12	12/28/12	\$3,233.99	\$3,289.75	\$0.00	(\$55.77)	\$0.00	
Security Subtotal	106.000			\$6,856.03	\$7,170.51	\$0.00	(\$314.48)	\$0.00	
ABERCROMBIE & FITCH CO									
									CUSIP: 002896207 Symbol (Box 1d): ANF
	20.000	02/02/12	02/29/12	\$935.17	\$841.82	\$0.00	\$93.35	\$0.00	
ACE LTD									
									CUSIP: H0023R105 Symbol (Box 1d): ACE
	6.000	03/31/11	01/27/12	\$414.41	\$396.50	\$0.00	\$27.91	\$0.00	
	29.000	05/02/11	01/27/12	\$2,005.01	\$1,939.36	\$0.00	\$65.65	\$0.00	
Security Subtotal	35.000			\$2,417.42	\$2,325.86	\$0.00	\$91.56	\$0.00	
ACME PACKET INC									
									CUSIP: 004784106 Symbol (Box 1d): APIKT
	15.000	02/25/11	02/03/12	\$500.31	\$1,144.89	\$644.58	(\$644.58)	\$0.00	
	14.000	01/04/12	07/08/12	\$223.30	\$357.81	\$0.00	(\$134.51)	\$0.00	
	6.000	03/08/12	07/08/12	\$85.60	\$168.52	\$0.00	(\$72.83)	\$0.00	
Security Subtotal	35.000			\$819.30	\$1,671.22	\$644.58	(\$851.92)	\$0.00	
AGILENT TECHNOLOGIES									
									CUSIP: 008481101 Symbol (Box 1d): A
	1.000	01/27/12	04/24/12	\$39.53	\$42.91	\$0.00	(\$3.38)	\$0.00	
	13.000	01/27/12	04/24/12	\$813.84	\$657.84	\$44.00	(\$44.00)	\$0.00	
	36.000	01/27/12	04/24/12	\$1,422.85	\$1,544.79	\$121.84	(\$121.84)	\$0.00	
	14.000	01/30/12	04/24/12	\$553.37	\$587.86	\$44.49	(\$44.49)	\$0.00	
	17.000	02/01/12	04/24/12	\$671.95	\$748.92	\$77.97	(\$77.97)	\$0.00	
	5.000	01/27/12	11/02/12	\$185.84	\$240.56	\$0.00	(\$54.82)	\$0.00	
	9.000	02/01/12	11/02/12	\$334.16	\$397.02	\$0.00	(\$62.86)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Security Mark
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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL CARTER

POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 6)	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
AGILENT TECHNOLOGIES	23.000	01/27/12	11/12/12	\$848.56	\$1,108.58	\$0.00	(\$258.03)	\$0.00	
	13.000	01/27/12	11/06/12	\$484.23	\$610.54	\$0.00	(\$146.06)	\$0.00	
	8.000	01/27/12	11/08/12	\$285.84	\$384.91	\$0.00	(\$99.07)	\$0.00	
	14.000	01/30/12	11/16/12	\$360.22	\$554.81	\$0.00	(\$194.39)	\$0.00	
	17.000	02/01/12	11/16/12	\$601.41	\$818.83	\$0.00	(\$211.42)	\$0.00	
Security Subtotal	170.000			\$2,422.26	\$7,706.38	\$289.30	(\$1,278.45)	\$0.00	
AGRIUM INC									
	23.000	09/13/12	12/28/12	\$2,242.90	\$2,368.21	\$0.00	(\$143.31)	\$0.00	
ALCATEL-LUCENT ADS									
	294.000	12/21/11	12/18/12	\$408.10	\$455.70	\$0.00	(\$47.60)	\$0.00	
	473.000	01/09/12	12/28/12	\$634.46	\$742.61	\$0.00	(\$103.13)	\$0.00	
	248.000	01/27/12	12/28/12	\$332.58	\$447.72	\$0.00	(\$115.14)	\$0.00	
Security Subtotal	1,013.000			\$1,380.16	\$1,645.03	\$0.00	(\$265.87)	\$0.00	
ALLEGHENY TECH INC									
	30.000	10/28/11	01/19/12	\$1,354.17	\$1,492.36	\$0.00	\$81.81	\$0.00	
	22.000	10/28/11	01/27/12	\$1,028.78	\$1,084.40	\$0.00	(\$67.84)	\$0.00	
	31.000	11/23/11	01/27/12	\$1,446.39	\$1,335.84	\$0.00	\$110.86	\$0.00	
	12.000	12/15/11	01/27/12	\$360.08	\$331.72	\$0.00	\$28.34	\$0.00	
Security Subtotal	95.000			\$4,189.42	\$4,244.32	\$0.00	\$133.27	\$0.00	
ALTRIA GROUP INC									
	64.000	09/18/11	01/27/12	\$1,810.39	\$1,722.88	\$0.00	\$87.42	\$0.00	
	82.000	09/28/11	01/27/12	\$1,753.73	\$1,833.28	\$0.00	(\$120.46)	\$0.00	
Security Subtotal	126.000			\$3,564.12	\$3,556.16	\$0.00	\$207.67	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

FULLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310832

Taxpayer ID Number:

Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 9b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 9a)	DATE ACQUIRED (Box 9b)	DATE OF SALE (Box 9c)	SALE PRICE (Box 9d)	ADJUSTED COST OR OTHER BASIS (Box 9e)	WASH SALE LOSS DISALLOWED (Box 9f)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 9g)	NOTES
AMAZON COM INC									
	7.000	06/27/11	02/01/12	\$1,232.80	\$1,415.16	\$182.31	(\$182.31)	\$0.00	
	4.000	08/27/11	09/12/12	\$872.99	\$908.66	\$0.00	\$64.33	\$0.00	
	2.000	08/27/11	08/11/12	\$402.89	\$404.33	\$0.00	\$28.36	\$0.00	
	4.000	08/15/11	08/11/12	\$865.39	\$803.69	\$0.00	\$61.70	\$0.00	
Security Subtotal	17.000			\$3,403.92	\$3,431.84	\$182.31	(\$27.92)	\$0.00	
AMERICAN ELECTRIC POWER CO									
	48.000	01/27/12	02/28/12	\$1,746.01	\$1,653.85	\$0.00	(\$107.84)	\$0.00	
AMERICAN EXPRESS CO									
	58.000	01/20/12	09/24/12	\$3,337.82	\$2,896.84	\$0.00	\$437.78	\$0.00	
	60.000	01/20/12	10/31/12	\$3,359.09	\$2,899.84	\$0.00	\$359.25	\$0.00	
	7.000	01/20/12	11/02/12	\$397.43	\$349.98	\$0.00	\$47.45	\$0.00	
	3.000	01/20/12	11/02/12	\$170.33	\$148.99	\$0.00	\$20.34	\$0.00	
	1.000	01/20/12	11/02/12	\$56.78	\$50.00	\$0.00	\$6.78	\$0.00	
	1.000	01/20/12	11/02/12	\$56.78	\$50.00	\$0.00	\$6.78	\$0.00	
	24.000	01/27/12	11/02/12	\$1,362.82	\$1,201.68	\$0.00	\$160.94	\$0.00	
	28.000	01/27/12	11/12/12	\$1,556.02	\$1,401.96	\$0.00	\$154.06	\$0.00	
	1.000	01/27/12	11/21/12	\$55.89	\$50.07	\$0.00	\$5.82	\$0.00	
	34.000	01/27/12	11/21/12	\$1,883.22	\$1,702.37	\$0.00	\$201.45	\$0.00	
Security Subtotal	217.000			\$12,266.28	\$10,953.73	\$0.00	\$1,400.75	\$0.00	
AMERIPRISE FINCL INC									
	24.000	01/27/12	08/29/12	\$1,311.80	\$1,290.31	\$0.00	\$21.09	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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FULLER GA 31522-1712

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 8b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 9940 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 2)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
AMGEN INC									
				CUSIP: 031162100	Symbol (Box 1d): AMGN				
	28.000	01/27/12	04/18/12	\$1,388.31	\$1,918.55	\$0.00	(\$29.24)	\$0.00	
	23.000	01/27/12	05/11/12	\$1,606.88	\$1,575.95	\$0.00	\$34.01	\$0.00	
	30.000	01/27/12	05/28/12	\$2,131.55	\$2,085.59	\$0.00	\$75.99	\$0.00	
Security Subtotal	81.000			\$3,820.85	\$3,550.09	\$0.00	\$90.76	\$0.00	
ANNALY CAPITAL MNGMT INC									
				CUSIP: 035710408	Symbol (Box 1d): NLY				
	48.000	04/18/12	12/28/12	\$669.10	\$756.48	\$0.00	(\$87.38)	\$0.00	
AON CORP									
				CUSIP: 037389103	Symbol (Box 1d):				
	49.000	09/27/11	03/16/12	\$2,404.47	\$2,053.01	\$0.00	\$351.46	\$0.00	
	71.000	01/27/12	03/15/12	\$3,484.02	\$3,868.74	\$0.00	\$115.28	\$0.00	
	7.000	01/27/12	03/16/12	\$363.80	\$332.13	\$0.00	\$11.37	\$0.00	
Security Subtotal	127.000			\$5,821.99	\$5,753.88	\$0.00	\$478.11	\$0.00	
APPLE INC									
				CUSIP: 037833100	Symbol (Box 1d): AAPL				
	6.000	12/06/12	12/28/12	\$5,061.79	\$5,221.81	\$0.00	(\$160.02)	\$0.00	
ARIAD PHARM INC									
				CUSIP: 04083A100	Symbol (Box 1d): ARIA				
	31.000	04/20/12	11/05/12	\$861.95	\$465.83	\$0.00	\$216.15	\$0.00	
	44.000	04/20/12	11/28/12	\$864.07	\$661.17	\$0.00	\$202.90	\$0.00	
Security Subtotal	75.000			\$1,646.05	\$1,127.00	\$0.00	\$519.05	\$0.00	
ARM HOLDINGS PLC ADS									
				CUSIP: 042088108	Symbol (Box 1d): ARMH				
	87.000	09/09/11	01/31/12	\$2,458.42	\$2,458.28	\$0.00	\$42.14	\$0.00	
	43.000	09/20/11	01/31/12	\$1,294.85	\$1,239.23	\$0.00	(\$54.39)	\$0.00	
Security Subtotal	130.000			\$3,733.27	\$3,695.51	\$0.00	\$57.76	\$0.00	

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

FARL L CARTER

POOLER GA 31322

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 28-4310832

Taxpayer ID Number:

Account Number:

Customer Service: 866-324-6888

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ASML HOLDING N.V. NEW									
	17,000	08/10/11	02/28/12	\$310.71	\$543.80	\$0.00	\$268.91	\$0.00	
	14,000	08/10/11	02/28/12	\$967.65	\$447.83	\$0.00	\$219.82	\$0.00	
	40,000	08/10/11	02/28/12	\$1,836.78	\$1,279.52	\$0.00	\$556.26	\$0.00	
	3,000	08/10/11	02/28/12	\$137.68	\$95.96	\$0.00	\$41.72	\$0.00	
	34,000	08/17/11	02/28/12	\$1,652.20	\$1,266.51	\$0.00	\$385.69	\$0.00	
	10,000	12/15/11	02/28/12	\$458.95	\$395.29	\$0.00	\$63.66	\$0.00	
	50,000	07/10/12	12/03/12	\$593.10	\$500.68	\$0.00	\$92.42	\$0.00	GL
	21,000	08/14/12	12/03/12	\$248.10	\$230.56	\$0.00	\$18.54	\$0.00	GL
	14,000	08/19/12	12/03/12	\$188.07	\$145.41	\$0.00	\$20.66	\$0.00	GL
Security Subtotal	205,000			\$5,571.24	\$4,905.66	\$0.00	\$1,665.68	\$0.00	
ASML HOLDING NV NY REG NEW									
	38,000	07/10/12	12/28/12	\$2,396.22	\$2,112.14	\$0.00	\$284.08	\$0.00	
	16,000	08/14/12	12/28/12	\$1,008.34	\$984.31	\$0.00	\$24.03	\$0.00	
	11,000	08/19/12	12/28/12	\$683.54	\$620.75	\$0.00	\$72.79	\$0.00	
Security Subtotal	65,000			\$4,088.10	\$3,717.21	\$0.00	\$370.89	\$0.00	
AUTOZONE INC									
	8,000	04/20/12	12/28/12	\$2,803.05	\$3,057.35	\$0.00	(\$254.30)	\$0.00	
AVALONBAY COMM INC									
	11,000	08/15/11	05/11/12	\$1,597.17	\$1,454.61	\$0.00	\$142.56	\$0.00	
BAIDU INC ADS									
	9,000	10/17/12	12/28/12	\$894.48	\$1,033.07	\$0.00	(\$138.59)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Security Mark
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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

MOORE GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 3)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
BANK OF NEW YORK MELLON CORP	CUSIP: 094038100	Symbol (Box 1d): BK							
	111.000	11/18/11	01/27/12	\$2,211.52	\$2,233.61	\$0.00	(\$22.09)	\$0.00	
BE AEROSPACE INC	CUSIP: 073302101	Symbol (Box 1d): BEAV							
	77.000	05/25/12	08/15/12	\$3,108.52	\$3,323.99	\$0.00	(\$215.47)	\$0.00	
	13.000	09/05/12	09/15/12	\$726.67	\$725.14	\$0.00	\$1.53	\$0.00	
Security Subtotal	94.000			\$3,835.19	\$4,049.13	\$0.00	(\$213.94)	\$0.00	
BEAM INC COM	CUSIP: 073730100	Symbol (Box 1d): BEAM							
	23.000	10/05/11	01/26/12	\$1,333.42	\$1,309.30	\$0.00	\$24.12	\$0.00	
	5.000	10/18/11	01/26/12	\$254.39	\$242.16	\$0.00	\$12.23	\$0.00	
	16.000	10/06/11	03/18/12	\$811.92	\$774.90	\$0.00	\$136.32	\$0.00	
	25.000	12/29/11	03/16/12	\$1,323.78	\$1,283.39	\$0.00	\$160.40	\$0.00	
Security Subtotal	75.000			\$4,133.87	\$3,589.75	\$0.00	\$544.12	\$0.00	
BECTON DICKINSON & CO	CUSIP: 075867100	Symbol (Box 1d): BDX							
	21.000	02/18/11	01/11/12	\$1,570.46	\$1,590.22	\$0.00	(\$19.76)	\$0.00	
	8.000	03/25/11	01/11/12	\$598.25	\$630.57	\$0.00	(\$32.31)	\$0.00	
Security Subtotal	29.000			\$2,168.71	\$2,220.79	\$0.00	(\$52.07)	\$0.00	
BED BATH & BEYOND INC	CUSIP: 075866100	Symbol (Box 1d): BBSY							
	38.000	09/29/11	07/05/12	\$2,377.83	\$2,220.59	\$0.00	\$157.24	\$0.00	
	18.000	09/29/11	08/14/12	\$1,214.08	\$1,110.29	\$0.00	\$103.79	\$0.00	
	4.000	09/29/11	08/14/12	\$255.56	\$233.75	\$0.00	\$21.84	\$0.00	
	23.000	12/22/11	08/14/12	\$1,469.88	\$1,325.09	\$0.00	\$144.59	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

FOUNDER AND VICE PRESIDENT

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310832

Taxpayer ID Number:

Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
BED BATH & BEYOND INC	2.000	12/22/11	08/29/12	\$133.78	\$115.23	\$0.00	\$18.53	\$0.00	
	24.000	09/29/12	09/29/12	\$1,738.50	\$1,712.15	\$0.00	\$26.35	\$0.00	
Security Subtotal	112.000			\$7,189.84	\$6,717.10	\$0.00	\$472.74	\$0.00	
BG GROUP PLC									
	20.000	01/27/12	12/28/12	\$324.39	\$449.64	\$0.00	(\$124.65)	\$0.00	
BHP BILLITON LTD									
	13.000	01/27/12	04/18/12	\$948.99	\$1,045.49	\$0.00	(\$96.50)	\$0.00	
	43.000	01/27/12	10/31/12	\$3,040.22	\$2,458.17	\$0.00	\$582.05	\$0.00	
Security Subtotal	56.000			\$3,989.21	\$2,503.66	\$0.00	\$1,485.55	\$0.00	
BLACKROCK INC									
	9.000	06/08/11	01/27/12	\$1,883.94	\$1,709.83	\$0.00	\$174.11	\$0.00	
	10.000	08/22/11	01/27/12	\$1,871.07	\$1,900.82	\$0.00	(\$29.75)	\$0.00	
	8.000	01/11/12	01/27/12	\$1,496.86	\$1,462.10	\$0.00	\$34.76	\$0.00	
Security Subtotal	27.000			\$3,451.87	\$3,072.75	\$0.00	\$379.12	\$0.00	
BOEING CO									
	23.000	09/23/11	09/06/12	\$1,688.94	\$1,351.18	\$0.00	\$337.76	\$0.00	
	24.000	09/23/11	09/17/12	\$1,677.80	\$1,406.92	\$0.00	\$270.88	\$0.00	
	30.000	09/29/11	09/25/12	\$2,089.52	\$1,883.45	\$0.00	\$206.07	\$0.00	
	15.000	10/17/11	09/25/12	\$1,044.75	\$829.85	\$0.00	\$214.90	\$0.00	
Security Subtotal	92.000			\$6,499.01	\$4,471.40	\$0.00	\$2,027.61	\$0.00	
BOSTON PROPERTIES INC									
	6.000	08/12/11	02/13/12	\$626.55	\$600.80	\$0.00	\$25.75	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Security Alert
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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

FULLER VA 21066-1112

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 9949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 6)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE OR OTHER BASIS (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
BRIDGESTONE CP ADR									
		CUSIP: 108441205		Symbol (Box 1d): BRDCY					
	45,000	10/07/11	08/24/12	\$2,060.20	\$2,050.85	\$0.00	\$9.35	\$0.00	
	20,000	02/29/12	08/24/12	\$828.98	\$881.10	\$0.00	(\$52.12)	\$0.00	
Security Subtotal	65,000			\$3,889.18	\$3,931.95	\$0.00	(\$12.57)	\$0.00	
CABOT OIL & GAS CORP A									
		CUSIP: 127097103		Symbol (Box 1d): COG					
	58,000	08/29/11	02/10/12	\$1,655.67	\$2,039.82	\$0.00	(\$183.95)	\$0.00	
	18,000	08/29/11	08/27/12	\$739.71	\$655.59	\$0.00	\$84.12	\$0.00	
	82,000	08/27/11	08/27/12	\$2,547.80	\$2,108.70	\$0.00	\$439.20	\$0.00	
Security Subtotal	138,000			\$5,133.28	\$4,804.91	\$0.00	\$328.37	\$0.00	
CAIRN ENERGY PLC ADR NEW									
		CUSIP: 12776P200		Symbol (Box 1d): CRNCY					
	285,000	07/30/12	12/28/12	\$2,227.20	\$2,398.86	\$0.00	(\$171.88)	\$0.00	
CAMERON INTNL CORP									
		CUSIP: 133428105		Symbol (Box 1d): CAM					
	50,000	08/17/11	08/21/12	\$2,077.74	\$2,474.32	\$0.00	(\$396.58)	\$0.00	
	32,000	08/30/11	08/21/12	\$1,328.75	\$1,360.64	\$0.00	(\$30.89)	\$0.00	
	7,000	10/24/11	06/21/12	\$230.88	\$361.58	\$0.00	(\$130.70)	\$0.00	
Security Subtotal	89,000			\$3,637.37	\$4,196.54	\$0.00	(\$559.17)	\$0.00	
CAPITAL ONE FINANCIAL CORP									
		CUSIP: 14040H105		Symbol (Box 1d): COF					
	79,000	10/31/12	12/28/12	\$4,485.52	\$4,755.98	\$0.00	(\$270.46)	\$0.00	
CARBO CERAMICS INC									
		CUSIP: 140781105		Symbol (Box 1d): CRR					
	7,000	01/27/12	12/28/12	\$534.99	\$718.84	\$0.00	(\$183.85)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 28-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CATERPILLAR INC CUSIP: 148123101 Symbol (Box 1d): CAT									
	15,000	01/10/12	12/28/12	\$1,307.97	\$1,482.82	\$0.00	(\$184.85)	\$0.00	
	10,000	03/30/12	12/28/12	\$871.38	\$1,084.73	\$0.00	(\$182.75)	\$0.00	
Security Subtotal	25,000			\$2,179.35	\$2,567.55	\$0.00	(\$377.80)	\$0.00	
CBS CORP NEW CL B SHRS CUSIP: 124857202 Symbol (Box 1d): CBS									
	50,000	11/18/11	01/10/12	\$1,422.66	\$1,235.68	\$0.00	\$186.98	\$0.00	
	45,000	11/18/11	01/18/12	\$1,270.12	\$1,112.11	\$0.00	\$158.01	\$0.00	
	15,000	11/18/11	01/18/12	\$426.84	\$370.70	\$0.00	\$56.14	\$0.00	
	15,000	11/21/11	01/18/12	\$426.83	\$361.58	\$0.00	\$65.25	\$0.00	
	27,000	11/21/11	03/21/12	\$854.39	\$650.84	\$0.00	\$203.55	\$0.00	
	38,000	12/08/11	03/21/12	\$1,202.48	\$1,007.70	\$0.00	\$194.78	\$0.00	
Security Subtotal	180,000			\$5,802.32	\$4,738.61	\$0.00	\$1,063.71	\$0.00	
CELANESE CORP SERIES A COM STK CUSIP: 150870108 Symbol (Box 1d): CE									
	17,000	01/27/12	02/23/12	\$824.33	\$838.45	\$0.00	(\$14.12)	\$0.00	
	39,000	01/27/12	08/20/12	\$1,491.72	\$1,823.49	\$0.00	(\$331.77)	\$0.00	
	22,000	04/18/12	06/20/12	\$841.49	\$1,044.45	\$0.00	(\$202.97)	\$0.00	
Security Subtotal	78,000			\$3,157.54	\$3,806.40	\$0.00	(\$648.86)	\$0.00	
CELGENE CORP CUSIP: 151020104 Symbol (Box 1d): CELG									
	19,000	08/28/12	12/03/12	\$1,500.36	\$1,189.32	\$0.00	\$311.04	\$0.00	
CENTURYLINK INC CUSIP: 156700106 Symbol (Box 1d): CTL									
	33,000	02/13/12	08/29/12	\$1,403.69	\$1,256.97	\$0.00	\$146.72	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CERNER CORP									
	19,000	05/15/11	02/10/12	\$1,314.93	\$1,140.31	\$0.00	\$174.62	\$0.00	
	12,000	06/15/12	12/20/12	\$914.74	\$979.65	\$0.00	(\$64.91)	\$0.00	
	13,000	09/22/12	12/29/12	\$1,067.19	\$1,136.08	\$0.00	(\$68.89)	\$0.00	
Security Subtotal	44,000			\$3,296.86	\$3,256.04	\$0.00	\$40.82	\$0.00	
CHECK POINT SOFTWARE TECH LTD									
	64,000	06/20/12	12/20/12	\$3,607.29	\$3,215.90	\$0.00	(\$391.39)	\$0.00	
CHICOS FAS INC									
	43,000	11/22/11	06/31/12	\$663.53	\$425.32	\$0.00	\$238.21	\$0.00	
	50,000	11/22/11	06/13/12	\$1,125.32	\$583.58	\$0.00	\$541.74	\$0.00	
	61,000	11/22/11	09/14/12	\$1,186.36	\$803.37	\$0.00	\$382.99	\$0.00	
Security Subtotal	154,000			\$2,875.21	\$1,812.27	\$0.00	\$1,062.94	\$0.00	
CHIPOTLE MEXICAN GRILL INC COM									
	1,000	05/13/11	01/04/12	\$346.21	\$277.57	\$0.00	\$78.64	\$0.00	
	1,000	05/13/11	01/13/12	\$355.72	\$277.57	\$0.00	\$78.15	\$0.00	
	2,000	05/13/11	02/15/12	\$761.44	\$555.15	\$0.00	\$206.29	\$0.00	
	3,000	05/24/11	02/15/12	\$1,142.12	\$845.33	\$0.00	\$296.83	\$0.00	
	3,000	06/27/11	02/15/12	\$1,142.12	\$905.54	\$0.00	\$236.58	\$0.00	
	1,000	07/29/11	02/15/12	\$360.72	\$325.94	\$0.00	\$34.78	\$0.00	
	8,000	07/13/12	10/18/12	\$2,276.66	\$3,094.10	\$0.00	(\$817.44)	\$0.00	
Security Subtotal	19,000			\$6,406.07	\$6,281.20	\$0.00	\$124.87	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 25-4310832

Taxpayer ID Number:

Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 5b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 1)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 2b)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CNOOC LTD ADS	3,000	06/19/12	07/28/12	\$562.82	\$693.59	\$0.00	(\$10.87)	\$0.00	
COACH INC	4,000	09/27/11	02/14/12	\$302.71	\$239.54	\$0.00	\$63.17	\$0.00	
	14,000	09/27/11	07/31/12	\$706.53	\$838.40	\$0.00	(\$131.87)	\$0.00	
	14,000	09/27/11	07/31/12	\$706.54	\$838.41	\$131.87	(\$131.87)	\$0.00	
	14,000	12/15/11	07/31/12	\$706.54	\$817.22	\$0.00	(\$110.68)	\$0.00	
	14,000	09/27/11	08/15/12	\$770.88	\$911.87	\$0.00	(\$141.18)	\$0.00	
	4,000	12/15/11	08/15/12	\$220.15	\$233.49	\$0.00	(\$13.30)	\$0.00	
	20,000	04/24/12	08/15/12	\$1,300.97	\$1,448.17	\$0.00	(\$147.20)	\$0.00	
	15,000	05/21/12	08/15/12	\$825.73	\$985.12	\$0.00	(\$159.39)	\$0.00	
Security Subtotal	39,000			\$5,339.29	\$6,322.22	\$131.87	(\$892.33)	\$0.00	
COCA COLA CO	18,000	07/19/11	05/11/12	\$1,367.54	\$1,237.47	\$0.00	\$160.07	\$0.00	
	38,000	07/19/11	07/19/12	\$2,755.80	\$2,474.84	\$0.00	\$281.86	\$0.00	
Security Subtotal	54,000			\$4,123.34	\$3,712.31	\$0.00	\$441.73	\$0.00	
COGNEX CORP	114,000	08/07/12	12/29/12	\$4,085.40	\$4,383.56	\$0.00	(\$298.16)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

POWER SERVICE #12

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 9943 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE OR OTHER BASIS (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 6)	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
COMCAST CORP CL A SPECIAL NEW									
	75.000	07/14/11	01/27/12	\$1,908.00	\$1,788.68	\$0.00	\$109.31	\$0.00	
	93.000	07/28/11	01/27/12	\$2,359.72	\$2,184.13	\$0.00	\$175.59	\$0.00	
	37.000	08/10/11	01/27/12	\$2,207.49	\$1,712.18	\$0.00	\$495.33	\$0.00	
Security Subtotal	205.000			\$6,475.21	\$5,685.99	\$0.00	\$774.23	\$0.00	
COMERICA INC									
	200.000	01/04/12	09/25/12	\$6,231.84	\$5,358.16	\$0.00	\$873.68	\$0.00	
	28.000	01/30/12	09/25/12	\$872.48	\$776.83	\$0.00	\$95.63	\$0.00	
Security Subtotal	228.000			\$7,104.32	\$6,134.99	\$0.00	\$969.31	\$0.00	
CONCHO RES INC									
	18.000	03/28/12	12/28/12	\$1,292.95	\$1,768.15	\$0.00	(\$405.16)	\$0.00	
CONOCOPHILLIPS									
	26.000	01/27/12	02/23/12	\$1,335.06	\$1,792.10	\$0.00	\$142.96	\$0.00	
CORPORATE EXEC BOARD CO									
	31.000	08/20/11	01/27/12	\$1,209.02	\$1,256.79	\$0.00	(\$47.77)	\$0.00	
CORPORATE OFFICES PPTY TR INC									
	87.000	12/12/11	07/12/12	\$1,523.26	\$1,411.73	\$0.00	\$111.53	\$0.00	
CLUMMINS INC									
	5.000	03/01/11	02/16/12	\$614.95	\$488.65	\$0.00	\$116.30	\$0.00	
	2.000	03/01/11	02/21/12	\$248.55	\$199.46	\$0.00	\$49.09	\$0.00	
	7.000	07/29/11	02/21/12	\$889.32	\$737.44	\$0.00	\$132.48	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

FOOTNOTES 1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 9949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CUMMINS INC	4,000	11/23/11	02/21/12	\$487.09	\$348.76	\$0.00	\$147.34	\$0.00	
	1,000	11/23/11	03/08/12	\$125.07	\$87.44	\$0.00	\$32.63	\$0.00	
	10,000	12/15/11	03/08/12	\$1,200.73	\$872.06	\$0.00	\$328.67	\$0.00	
	5,000	12/19/11	03/08/12	\$600.37	\$434.11	\$0.00	\$166.26	\$0.00	
Security Subtotal	34,000			\$4,197.66	\$3,178.91	\$0.00	\$972.77	\$0.00	
DANAHER CORPORATION									
									CUSIP: 235851102 Symbol (Box 1d): DHR
	31,000	03/02/11	01/27/12	\$1,622.66	\$1,554.18	\$0.00	\$68.48	\$0.00	
	57,000	07/28/11	01/27/12	\$2,983.61	\$2,815.45	\$0.00	\$168.16	\$0.00	
	3,000	07/28/11	01/27/12	\$157.03	\$149.18	\$0.00	\$8.85	\$0.00	
	37,000	08/24/11	01/27/12	\$1,988.73	\$1,575.00	\$0.00	\$381.73	\$0.00	
Security Subtotal	128,000			\$6,700.03	\$6,092.81	\$0.00	\$607.22	\$0.00	
DEERE & CO									
									CUSIP: 244199105 Symbol (Box 1d): DE
	9,000	01/27/12	02/23/12	\$751.97	\$788.40	\$36.43	(\$36.43)	\$0.00	
	20,000	01/27/12	12/19/12	\$1,724.75	\$1,752.02	\$0.00	(\$27.27)	\$0.00	
	2,000	01/27/12	12/19/12	\$172.46	\$175.18	\$2.72	(\$2.72)	\$0.00	
	1,000	01/27/12	12/19/12	\$86.23	\$87.60	\$0.00	(\$1.37)	\$0.00	
	9,000	02/22/12	12/19/12	\$776.13	\$780.20	\$0.00	(\$4.07)	\$0.00	
	2,000	03/20/12	12/19/12	\$172.47	\$165.28	\$0.00	\$7.19	\$0.00	
	1,000	03/20/12	12/24/12	\$86.26	\$82.64	\$0.00	\$3.62	\$0.00	
	8,000	08/14/12	12/24/12	\$690.11	\$642.06	\$0.00	\$48.05	\$0.00	
	1,000	08/14/12	12/24/12	\$86.26	\$80.26	\$0.00	\$6.00	\$0.00	
Security Subtotal	63,000			\$4,546.54	\$4,553.65	\$39.15	(\$7.02)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 28-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 8b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/LOSS AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
DEUTSCHE BOERSE AG UNSPON ADR	473.000	02/21/12	12/28/12	\$2,697.99	\$3,258.45	\$0.00	(\$420.52)	\$0.00	
EATON CORPORATION	42.000	08/07/12	12/03/12	\$2,169.51	\$1,887.87	\$0.00	\$281.64	\$0.00	BC
EDISON INTERNATIONAL	40.000	10/31/12	12/28/12	\$1,783.59	\$1,878.00	\$0.00	(\$94.44)	\$0.00	
EQT CORPORATION COM NEW	59.000	08/04/11	01/12/12	\$2,906.77	\$3,367.17	\$0.00	(\$460.40)	\$0.00	
	27.000	08/15/11	01/12/12	\$1,330.21	\$1,561.85	\$0.00	(\$231.64)	\$0.00	
Security Subtotal	86.000			\$4,236.98	\$4,929.02	\$0.00	(\$692.04)	\$0.00	
ESTEE LAUDER CO INC CL A	8.000	05/09/11	04/23/12	\$499.40	\$411.82	\$0.00	\$87.58	\$0.00	
	8.000	05/17/11	04/23/12	\$374.56	\$301.58	\$0.00	\$72.97	\$0.00	
Security Subtotal	14.000			\$873.96	\$713.40	\$0.00	\$160.55	\$0.00	
EXPRESS SCRIPTS HLDG CO COM	36.000	10/28/11	04/05/12	\$2,042.49	\$1,667.13	\$0.00	\$375.35	\$0.00	
	17.000	11/11/11	04/05/12	\$964.51	\$814.87	\$0.00	\$149.64	\$0.00	
	9.000	11/18/11	04/05/12	\$510.82	\$394.19	\$0.00	\$116.63	\$0.00	
	24.000	11/21/11	04/05/12	\$1,361.66	\$1,025.71	\$0.00	\$335.95	\$0.00	
	44.000	12/23/11	04/05/12	\$2,498.37	\$2,007.28	\$0.00	\$489.09	\$0.00	
Security Subtotal	130.000			\$7,375.84	\$5,909.18	\$0.00	\$1,466.66	\$0.00	

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Morgan Stanley

1099 Consolidated Tax Statement
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EARL L CARTER

POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 28-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 5b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8948 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 6)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FACEBOOK INC CL-A									
	94,000	05/18/12	12/28/12	\$2,477,335	\$1,847.22	\$0.00	(\$1,471.87)	\$0.00	
	84,000	05/21/12	12/28/12	\$1,657,440	\$2,180.48	\$0.00	(\$563.08)	\$0.00	
Security Subtotal	178,000			\$3,992,355	\$3,927.70	\$0.00	(\$2,034.95)	\$0.00	
FEDEX CORP									
	20,000	06/01/12	12/03/12	\$1,765.60	\$1,728.48	\$0.00	\$38.12	\$0.00	
FORD MOTOR CO NEW									
	95,000	01/18/12	06/11/12	\$697.47	\$1,164.70	\$0.00	(\$167.23)	\$0.00	
	2,000	01/18/12	06/22/12	\$29.54	\$24.52	\$0.00	(\$3.96)	\$0.00	
	3,000	01/18/12	06/22/12	\$30.81	\$36.78	\$0.00	(\$5.97)	\$0.00	
	50,000	01/18/12	06/22/12	\$513.40	\$613.00	\$0.00	(\$99.51)	\$0.00	
	139,000	01/27/12	06/22/12	\$1,427.49	\$1,703.29	\$0.00	(\$275.80)	\$0.00	
	16,000	01/27/12	06/22/12	\$164.32	\$196.06	\$0.00	(\$31.74)	\$0.00	
	18,000	01/27/12	06/22/12	\$164.32	\$196.06	\$0.00	(\$31.74)	\$0.00	
	94,000	01/27/12	06/22/12	\$965.36	\$1,150.95	\$0.00	(\$185.59)	\$0.00	
	1,000	01/27/12	07/12/12	\$9.15	\$12.24	\$0.00	(\$3.09)	\$0.00	
	4,000	01/27/12	07/12/12	\$36.59	\$48.96	\$0.00	(\$12.39)	\$0.00	
	32,000	01/27/12	07/12/12	\$252.72	\$391.81	\$0.00	(\$99.09)	\$0.00	
	38,000	01/27/12	07/12/12	\$347.60	\$465.28	\$0.00	(\$117.68)	\$0.00	
	80,000	01/30/12	07/12/12	\$548.54	\$796.60	\$0.00	(\$187.96)	\$0.00	
	2,000	02/28/12	07/12/12	\$18.29	\$24.50	\$0.00	(\$6.21)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FORD MOTOR CO NEW	48.000	02/28/12	07/12/12	\$439.07	\$588.00	\$0.00	(\$148.93)	\$0.00	
	85.000	02/28/12	07/12/12	\$777.53	\$1,037.84	\$0.00	(\$260.31)	\$0.00	
Security Subtotal	685.000			\$8,753.35	\$8,390.81	\$0.00	(\$1,637.23)	\$0.00	
FORTINET INC		CUSIP: 34950E109	Symbol (Box 1d): FTNT						
	18.000	03/08/12	12/08/12	\$396.51	\$475.97	\$0.00	(\$138.46)	\$0.00	
FREEPORT MCMORAN CP&GLD		CUSIP: 36671D857	Symbol (Box 1d): FCX						
	97.000	01/27/12	12/28/12	\$3,247.24	\$4,569.29	\$0.00	(\$1,321.45)	\$0.00	
	35.000	10/31/12	12/28/12	\$1,171.80	\$1,381.47	\$0.00	(\$209.57)	\$0.00	
Security Subtotal	132.000			\$4,419.04	\$5,950.76	\$0.00	(\$1,531.02)	\$0.00	
GENERAL ELECTRIC CO		CUSIP: 369604103	Symbol (Box 1d): GE						
	75.000	01/27/12	07/12/12	\$1,483.98	\$1,425.33	\$0.00	\$38.65	\$0.00	
	70.000	01/27/12	08/07/12	\$1,481.28	\$1,330.31	\$0.00	\$151.58	\$0.00	
Security Subtotal	145.000			\$2,965.26	\$2,755.64	\$0.00	\$192.21	\$0.00	
GILEAD SCIENCE		CUSIP: 375558103	Symbol (Box 1d): GILD						
	11.000	01/18/12	02/22/12	\$483.72	\$513.62	\$29.90	(\$29.90)	\$0.00	
	25.000	01/18/12	02/22/12	\$1,089.35	\$1,167.31	\$67.96	(\$67.96)	\$0.00	
	11.000	01/18/12	04/04/12	\$306.78	\$321.83	\$115.06	(\$115.06)	\$0.00	
	4.000	01/18/12	04/04/12	\$184.28	\$186.77	\$0.00	(\$2.49)	\$0.00	
	5.000	01/18/12	04/04/12	\$290.35	\$293.46	\$3.11	(\$3.11)	\$0.00	
	25.000	01/18/12	04/04/12	\$1,151.75	\$1,429.33	\$277.58	(\$277.58)	\$0.00	
	7.000	02/10/12	04/04/12	\$322.48	\$376.68	\$0.00	(\$54.18)	\$0.00	
Security Subtotal	88.000			\$3,978.70	\$4,529.00	\$483.61	(\$550.29)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

1099-CONSOLIDATED-2-1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:

Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
GNC HOLDINGS, INC COM CL A CUSIP: 38191G107 Symbol (Box 1d): GNC									
	52.000	04/23/12	12/28/12	\$1,586.72	\$1,577.34	\$0.00	(\$190.82)	\$0.00	
	35.000	09/05/12	12/28/12	\$1,135.29	\$1,408.05	\$0.00	(\$272.76)	\$0.00	
	19.000	06/28/12	12/28/12	\$816.30	\$708.13	\$0.00	(\$88.83)	\$0.00	
	22.000	11/02/12	12/28/12	\$718.82	\$503.26	\$0.00	(\$888.64)	\$0.00	
Security Subtotal	128.000			\$5,151.35	\$4,793.78	\$0.00	(\$357.57)	\$0.00	
GOLDCORP INC CUSIP: 380956409 Symbol (Box 1d): GG									
	10.000	02/23/12	12/20/12	\$351.28	\$498.50	\$0.00	(\$147.22)	\$0.00	
	25.000	09/18/12	12/20/12	\$583.44	\$1,301.14	\$0.00	(\$717.70)	\$0.00	
Security Subtotal	35.000			\$934.72	\$1,799.64	\$0.00	(\$864.92)	\$0.00	
GOLDMAN SACHS GRP INC CUSIP: 38141G164 Symbol (Box 1d): GS									
	3.000	03/02/11	01/27/12	\$327.84	\$487.85	\$0.00	(\$159.91)	\$0.00	
	9.000	03/02/11	01/27/12	\$683.82	\$1,483.55	\$479.73	(\$799.73)	\$0.00	
	7.000	05/04/11	01/27/12	\$798.19	\$1,084.79	\$0.00	(\$286.60)	\$0.00	
Security Subtotal	19.000			\$1,810.85	\$2,616.19	\$479.73	(\$835.24)	\$0.00	
GOOGLE INC-CL A CUSIP: 38259P508 Symbol (Box 1d): GOOG									
	2.000	07/29/11	08/22/12	\$1,137.75	\$1,211.88	\$74.21	(\$74.13)	\$0.00	
	2.000	11/18/11	08/22/12	\$1,137.74	\$1,205.50	\$0.00	(\$67.76)	\$0.00	
	4.000	01/20/12	08/22/12	\$2,275.49	\$2,354.73	\$79.24	(\$79.24)	\$0.00	
Security Subtotal	8.000			\$4,551.98	\$4,772.11	\$153.45	(\$220.13)	\$0.00	
GUESS INC CUSIP: 401617105 Symbol (Box 1d): GES									
	46.000	02/29/12	05/21/12	\$1,120.31	\$1,500.75	\$0.00	(\$380.44)	\$0.00	
	26.000	03/02/12	05/23/12	\$688.75	\$819.84	\$0.00	(\$131.09)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

FARI I. CARTER

POOLEH GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities
(Continued)

(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.)

DESCRIPTION (Box 6)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE OR OTHER BASIS (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
GUESS INC	8,000	03/09/12	05/23/12	\$205.15	\$208.81	\$0.00	(\$83.66)	\$0.00	
	80,000	03/16/12	05/23/12	\$1,025.77	\$1,301.21	\$0.00	(\$275.44)	\$0.00	
Security Subtotal	120,000			\$3,917.29	\$2,110.82	\$0.00	(\$1,992.64)	\$0.00	
HALLIBURTON CO									
	66,000	01/27/12	03/20/12	\$2,288.57	\$2,474.89	\$0.00	(\$185.42)	\$0.00	
HASBRO INC									
	38,000	06/01/11	01/27/12	\$1,360.54	\$1,709.54	\$0.00	(\$439.00)	\$0.00	
	41,000	08/17/11	01/27/12	\$1,430.31	\$1,548.20	\$0.00	(\$115.89)	\$0.00	
	48,000	08/14/11	01/27/12	\$1,878.50	\$1,794.15	\$0.00	(\$89.64)	\$0.00	
Security Subtotal	128,000			\$3,669.35	\$3,108.89	\$0.00	(\$560.53)	\$0.00	
HESS CORPORATION									
	49,000	01/27/12	05/17/12	\$2,355.94	\$2,699.70	\$0.00	(\$342.76)	\$0.00	
	20,000	02/13/12	05/17/12	\$962.02	\$1,244.75	\$0.00	(\$282.74)	\$0.00	
Security Subtotal	69,000			\$3,317.96	\$3,944.45	\$0.00	(\$625.50)	\$0.00	
HEWLETT PACKARD									
	84,000	01/27/12	06/21/12	\$1,335.10	\$1,782.11	\$0.00	(\$447.01)	\$0.00	
	78,000	02/23/12	06/21/12	\$1,827.15	\$2,311.70	\$0.00	(\$484.55)	\$0.00	
Security Subtotal	142,000			\$3,162.25	\$4,093.81	\$0.00	(\$931.56)	\$0.00	
HUMANA INC									
	38,000	01/27/12	06/23/12	\$2,687.60	\$3,332.93	\$0.00	(\$644.53)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:

Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 7e)	DATE ACQUIRED (Box 7b)	DATE OF SALE (Box 7a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ICAP PLC SPON ADR									
	249,000	08/06/12	12/26/12	\$2,479.98	\$3,125.00	\$0.00	(\$645.02)	\$0.00	
	77,000	04/03/12	12/28/12	\$756.30	\$881.85	\$0.00	(\$125.55)	\$0.00	
Security Subtotal	326,000			\$3,236.28	\$4,006.85	\$0.00	(\$770.57)	\$0.00	
INTUITIVE SURGICAL INC									
	1,000	12/22/11	02/16/12	\$509.82	\$447.53	\$0.00	\$62.29	\$0.00	
	2,000	08/15/12	12/28/12	\$365.18	\$1,028.65	\$0.00	(\$663.47)	\$0.00	
	2,000	11/02/12	12/28/12	\$665.35	\$1,087.80	\$0.00	(\$422.45)	\$0.00	
Security Subtotal	5,000			\$2,535.35	\$2,574.09	\$0.00	(\$38.74)	\$0.00	
KAO CORP SPONS ADR									
	12,000	01/27/12	02/14/12	\$335.98	\$330.60	\$0.00	\$5.38	\$0.00	
KBR INC									
	55,000	01/31/12	07/26/12	\$1,456.77	\$1,767.43	\$0.00	(\$310.66)	\$0.00	
	6,000	02/21/12	07/26/12	\$158.74	\$203.75	\$0.00	(\$45.01)	\$0.00	
	10,000	03/07/12	07/26/12	\$261.23	\$352.80	\$0.00	(\$91.57)	\$0.00	
	11,000	03/30/12	07/26/12	\$287.35	\$392.10	\$0.00	(\$104.75)	\$0.00	
Security Subtotal	82,000			\$2,163.09	\$2,716.08	\$0.00	(\$552.99)	\$0.00	
KODI CORP UNSPON ADR									
	32,000	01/27/12	04/09/12	\$507.08	\$515.20	\$0.00	(\$8.11)	\$0.00	
LAM RESEARCH CORPORATION									
	3,000	02/29/12	09/19/12	\$58.64	\$125.58	\$0.00	(\$66.94)	\$0.00	
	58,000	02/23/12	09/19/12	\$1,249.40	\$1,599.00	\$0.00	(\$349.60)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARI L CARTER

POOLEH GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 6)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
LAM RESEARCH CORPORATION	24,000	02/29/12	09/28/12	\$751.02	\$1,004.60	\$0.00	(\$253.58)	\$0.00	
	19,000	02/01/12	09/28/12	\$564.56	\$797.93	\$0.00	(\$233.37)	\$0.00	
	18,000	05/09/12	09/28/12	\$583.27	\$725.36	\$0.00	(\$142.09)	\$0.00	
Security Subtotal	102,000			\$3,256.89	\$4,227.47	\$0.00	(\$970.58)	\$0.00	
LAS VEGAS SANDS CORPORATION									
	24,000	02/07/11	02/07/12	\$1,227.63	\$1,108.98	\$0.00	\$118.65	\$0.00	
	28,000	05/01/12	12/28/12	\$1,282.49	\$1,567.70	\$0.00	(\$285.21)	\$0.00	
Security Subtotal	52,000			\$2,490.12	\$2,676.68	\$0.00	(\$186.56)	\$0.00	
LINKEDIN CORP-A									
	9,000	03/21/12	09/19/12	\$1,091.37	\$895.37	\$0.00	\$196.00	\$0.00	
	14,000	03/21/12	11/15/12	\$1,381.02	\$1,392.80	\$0.00	(\$11.78)	\$0.00	
	10,000	08/14/12	11/15/12	\$986.44	\$1,034.32	\$0.00	(\$47.88)	\$0.00	
Security Subtotal	33,000			\$3,458.83	\$3,322.49	\$0.00	\$136.34	\$0.00	
LOCKHEED MARTIN CORP									
	20,000	07/06/11	01/27/12	\$1,842.58	\$1,633.86	\$0.00	\$208.72	\$0.00	
LULULEMON ATHLETICA INC									
	5,000	08/04/11	01/10/12	\$300.00	\$280.99	\$0.00	\$19.01	\$0.00	
LYNCH MOET HENNESSY LOUIS VUITT									
	12,000	01/27/12	08/21/12	\$387.39	\$387.36	\$0.00	\$0.03	\$0.00	
LYONDELBASELL NV CL-A									
	40,000	02/02/12	03/08/12	\$1,648.39	\$1,754.53	\$0.00	(\$106.14)	\$0.00	

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

1099-1001-12

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 28-4310632

Taxpayer ID Number:

Account Number:

Customer Service: 866-324-6068

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8849 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
MACY'S INC									
		CUSIP: 56616P104	Symbol (Box 1d): M						
	27,000	01/27/12	04/18/12	\$1,082.67	\$902.43	\$0.00	\$180.24	\$0.00	
MANPOWERGROUP INC COM									
		CUSIP: 56418H100	Symbol (Box 1d): MAN						
	25,000	02/03/12	05/08/12	\$872.56	\$1,167.42	\$0.00	(\$194.86)	\$0.00	
	14,000	02/14/12	05/08/12	\$544.63	\$624.33	\$0.00	(\$79.70)	\$0.00	
	3,000	02/24/12	05/08/12	\$116.71	\$196.04	\$0.00	(\$19.33)	\$0.00	
	6,000	03/07/12	05/08/12	\$233.41	\$253.99	\$0.00	(\$20.58)	\$0.00	
Security Subtotal	48,000			\$1,667.31	\$2,181.78	\$0.00	(\$314.47)	\$0.00	
MARATHON OIL CO									
		CUSIP: 565849106	Symbol (Box 1d): MRO						
	70,000	02/23/12	12/03/12	\$2,172.15	\$2,368.62	\$0.00	(\$228.47)	\$0.00	
	58,000	05/11/12	12/03/12	\$1,786.78	\$1,536.31	\$0.00	\$250.47	\$0.00	
Security Subtotal	128,000			\$3,958.93	\$3,904.93	\$0.00	\$54.00	\$0.00	
MASTERCARD INC CL A									
		CUSIP: 57636Q104	Symbol (Box 1d): MA						
	11,000	04/29/11	01/20/12	\$3,740.64	\$3,021.55	\$0.00	\$719.09	\$0.00	
	3,000	05/02/11	01/20/12	\$1,020.17	\$827.61	\$0.00	\$192.56	\$0.00	
Security Subtotal	14,000			\$4,760.81	\$3,849.16	\$0.00	\$911.65	\$0.00	
MEAD JOHNSON NUTRITION COMPANY									
		CUSIP: 562699106	Symbol (Box 1d): MJN						
	2,000	07/05/12	10/03/12	\$145.22	\$161.69	\$0.00	(\$16.47)	\$0.00	
	13,000	07/18/12	10/03/12	\$843.62	\$849.59	\$0.00	(\$5.97)	\$0.00	
Security Subtotal	15,000			\$1,088.84	\$1,111.28	\$0.00	(\$22.44)	\$0.00	
MICHAEL KORS HOLDINGS LTD									
		CUSIP: G60754101	Symbol (Box 1d): KORS						
	67,000	11/13/12	12/28/12	\$3,246.74	\$3,449.67	\$0.00	(\$202.93)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

FULLER 04 01 2012 1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 28-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 6)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 2)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
MICROSOFT CORP CUSIP: 804918104 Symbol (Box 1d): MSFT									
	38.000	03/27/12	05/24/12	\$1,129.30	\$1,147.98	\$0.00	(\$18.18)	\$0.00	
	44.000	01/27/12	12/03/12	\$1,147.50	\$1,295.16	\$0.00	(\$127.61)	\$0.00	
	161.000	01/27/12	12/28/12	\$4,306.39	\$4,739.11	\$0.00	(\$429.22)	\$0.00	
	31.000	03/29/12	12/28/12	\$204.46	\$350.24	\$0.00	(\$55.78)	\$0.00	
Security Subtotal	258.000			\$6,801.70	\$7,232.49	\$0.00	(\$630.79)	\$0.00	
MISSION WEST PPTYS INC CUSIP: 805203108 Symbol (Box 1d):									
	190.000	12/12/11	03/12/12	\$1,357.20	\$1,362.43	\$0.00	\$56.80	\$0.00	
MONSTER BEVERAGE CORP COM CUSIP: 611740101 Symbol (Box 1d): MNST									
	12.000	08/23/11	01/12/12	\$1,207.88	\$1,046.11	\$0.00	\$161.75	\$0.00	
	5.000	11/28/11	01/17/12	\$503.26	\$247.02	\$0.00	\$256.28	\$0.00	
Security Subtotal	17.000			\$1,711.14	\$1,293.13	\$0.00	\$218.01	\$0.00	
MSCI INC CL-A CUSIP: 55854G100 Symbol (Box 1d): MSCI									
	70.000	08/18/11	01/20/12	\$2,267.11	\$2,457.18	\$0.00	(\$189.47)	\$0.00	
	2.000	08/29/11	01/20/12	\$64.79	\$70.19	\$0.00	(\$5.40)	\$0.00	
	19.000	08/29/11	01/30/12	\$617.49	\$666.78	\$0.00	(\$49.29)	\$0.00	
	8.000	08/29/11	01/30/12	\$282.79	\$287.66	\$0.00	\$4.83	\$0.00	
	24.000	08/29/11	02/28/12	\$847.59	\$767.08	\$0.00	\$80.51	\$0.00	
	55.000	11/08/11	02/28/12	\$2,068.34	\$2,025.05	\$0.00	\$22.28	\$0.00	
Security Subtotal	182.000			\$6,138.31	\$6,273.95	\$0.00	(\$135.54)	\$0.00	
NETSCOUT SYSTEMS INC CUSIP: 64115T104 Symbol (Box 1d): NTCT									
	28.000	08/25/11	02/13/12	\$675.28	\$342.76	\$0.00	\$272.50	\$0.00	
	30.000	08/25/11	02/14/12	\$613.18	\$367.24	\$0.00	\$245.94	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

FARI CARTER
POOLER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:
Account Number:
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 6)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
NETSCOUT SYSTEMS INC	24,000	06/25/11	02/27/12	\$529.88	\$293.79	\$0.00	\$236.09	\$0.00	
	26,000	06/14/11	02/27/12	\$574.04	\$327.29	\$0.00	\$246.75	\$0.00	
	21,000	06/14/11	03/09/12	\$199.25	\$264.35	\$0.00	\$175.51	\$0.00	
	31,000	06/14/11	03/09/12	\$347.37	\$390.23	\$0.00	\$257.14	\$0.00	
Security Subtotal	102,000			\$1,449.54	\$1,368.66	\$0.00	\$1,493.53	\$0.00	
NEW GOLD INC	106,000	09/27/12	12/28/12	\$1,173.25	\$1,357.12	\$0.00	(\$183.87)	\$0.00	
NTT DOCOMO INC SP ADR	87,000	06/22/11	05/09/12	\$1,429.82	\$1,645.00	\$0.00	(\$215.38)	\$0.00	
	82,000	06/23/11	05/09/12	\$1,511.79	\$1,739.22	\$0.00	(\$221.44)	\$0.00	
Security Subtotal	179,000			\$2,941.61	\$3,384.22	\$0.00	(\$442.62)	\$0.00	
NXP SEMICONDUCTORS NV	72,000	04/01/11	01/19/12	\$1,575.00	\$2,208.39	\$0.00	(\$633.39)	\$0.00	
	38,000	07/01/11	01/19/12	\$725.70	\$1,046.40	\$0.00	(\$319.70)	\$0.00	
	24,000	07/29/11	01/19/12	\$458.33	\$474.52	\$0.00	(\$16.19)	\$0.00	
Security Subtotal	134,000			\$2,559.03	\$3,729.31	\$0.00	(\$1,169.28)	\$0.00	
OCCIDENTAL PETROLEUM CORP DE	15,000	01/27/12	12/28/12	\$1,138.92	\$1,514.86	\$0.00	(\$375.94)	\$0.00	
	10,000	07/12/12	12/28/12	\$759.28	\$841.06	\$0.00	(\$81.78)	\$0.00	
	19,000	06/07/12	12/28/12	\$987.07	\$1,189.30	\$0.00	(\$202.23)	\$0.00	
	18,000	06/29/12	12/28/12	\$1,385.71	\$1,580.19	\$0.00	(\$194.48)	\$0.00	
Security Subtotal	59,000			\$4,269.98	\$5,105.41	\$0.00	(\$835.43)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
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EARL L CARTER

FULLER SERVICE 1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 9949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
OGX PETROLEO E-SPON ADR									
									CUSIP: 570849108 Symbol (Box 1d): OGXPY
	313.000	07/13/11	06/06/12	\$1,325.27	\$2,314.78	\$0.00	(\$1,389.51)	\$0.00	
	31.000	07/28/11	06/06/12	\$151.07	\$265.98	\$0.00	(\$114.91)	\$0.00	
Security Subtotal	344.000			\$1,676.34	\$2,580.76	\$0.00	(\$1,904.42)	\$0.00	
ONYX PHARMACEUTICALS INC									
	40.000	12/23/11	03/02/12	\$1,466.51	\$1,750.92	\$0.00	(\$284.41)	\$0.00	
OWENS ILLINOIS COM NEW									
	65.000	01/16/12	06/13/12	\$1,219.75	\$1,518.84	\$0.00	(\$299.09)	\$0.00	
	23.000	01/26/12	06/13/12	\$451.81	\$544.27	\$0.00	(\$92.46)	\$0.00	
	22.000	02/01/12	06/13/12	\$412.84	\$545.96	\$0.00	(\$133.12)	\$0.00	
	4.000	03/08/12	06/13/12	\$75.06	\$84.74	\$0.00	(\$9.68)	\$0.00	
Security Subtotal	114.000			\$2,158.36	\$2,723.81	\$0.00	(\$565.45)	\$0.00	
PACCAR INC									
	30.000	02/13/12	06/07/12	\$1,222.44	\$1,310.25	\$0.00	(\$87.81)	\$0.00	
PALL CORPORATION									
	45.000	02/01/12	05/25/12	\$2,577.51	\$2,714.82	\$0.00	(\$137.31)	\$0.00	
	14.000	03/09/12	05/25/12	\$801.39	\$838.40	\$0.00	(\$37.01)	\$0.00	
Security Subtotal	59.000			\$3,378.90	\$3,553.22	\$0.00	(\$174.32)	\$0.00	
PALO ALTO NETWORKS INC									
	41.000	08/11/12	12/28/12	\$2,138.74	\$2,309.94	\$0.00	(\$171.20)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:

Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PEABODY ENERGY CORP									
									CUSIP: 704549194 Symbol (Box 1d): STU
	22.000	01/18/12	02/13/12	\$782.15	\$830.06	\$47.91	(\$47.91)	\$0.00	
	27.000	01/18/12	03/07/12	\$821.18	\$1,018.71	\$0.00	(\$197.53)	\$0.00	
	1.000	01/18/12	03/07/12	\$30.41	\$37.73	\$0.00	(\$7.32)	\$0.00	
	16.000	01/18/12	03/07/12	\$486.83	\$613.50	\$0.00	(\$126.67)	\$0.00	
	8.000	01/18/12	04/13/12	\$188.31	\$230.07	\$0.00	(\$80.28)	\$0.00	
	15.000	01/27/12	04/13/12	\$424.54	\$540.21	\$0.00	(\$115.67)	\$0.00	
	1.000	01/27/12	04/13/12	\$28.30	\$36.01	\$0.00	(\$7.71)	\$0.00	
	2.000	01/27/12	04/13/12	\$56.60	\$72.03	\$0.00	(\$15.43)	\$0.00	
	8.000	01/27/12	04/13/12	\$228.43	\$288.11	\$0.00	(\$61.68)	\$0.00	
	15.000	01/27/12	04/13/12	\$424.53	\$540.21	\$0.00	(\$115.68)	\$0.00	
	2.000	01/27/12	08/01/12	\$46.30	\$72.03	\$0.00	(\$25.73)	\$0.00	
	40.000	01/27/12	08/01/12	\$929.38	\$1,440.58	\$0.00	(\$510.58)	\$0.00	
	15.000	02/02/12	06/01/12	\$388.74	\$554.85	\$0.00	(\$206.11)	\$0.00	
Security Subtotal	170.000			\$5,775.30	\$6,274.08	\$47.91	(\$1,498.28)	\$0.00	
PERRIGO CO									
									CUSIP: 714290103 Symbol (Box 1d): PRGO
	13.000	08/13/12	10/18/12	\$1,547.36	\$1,443.23	\$0.00	\$104.13	\$0.00	
	7.000	06/22/12	10/18/12	\$833.19	\$905.87	\$0.00	\$27.22	\$0.00	
	2.000	08/22/12	10/24/12	\$234.23	\$230.28	\$0.00	\$3.95	\$0.00	
	8.000	09/28/12	10/24/12	\$838.32	\$832.97	\$0.00	\$5.35	\$0.00	
Security Subtotal	30.000			\$3,551.70	\$3,412.45	\$0.00	\$139.25	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6083

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 6)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 7b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PG&E CORPORATION									
		CUSIP: 69331C106		Symbol (Box 1d): PEG					
	22.000	01/27/12	02/18/12	\$500.36	\$503.42	\$0.00	\$5.94	\$0.00	
	47.900	01/27/12	01/31/12	\$1,188.38	\$1,180.02	\$0.00	\$83.84	\$0.00	
Security Subtotal	69.900			\$2,688.74	\$2,683.44	\$0.00	\$89.78	\$0.00	
PHILIP MORRIS INTL INC									
		CUSIP: 718172109		Symbol (Box 1d): PM					
	58.000	05/11/12	12/28/12	\$4,828.87	\$4,978.54	\$0.00	(\$149.57)	\$0.00	
PHILLIPS 66 COM									
		CUSIP: 718546104		Symbol (Box 1d): P6X					
	11.000	05/11/12	12/03/12	\$572.13	\$350.41	\$0.00	\$221.72	\$0.00	
PNC FINL SVCS GP									
		CUSIP: 693475105		Symbol (Box 1d): PNC					
	28.000	05/11/12	01/27/12	\$1,654.18	\$1,780.73	\$0.00	(\$129.54)	\$0.00	
POTASH CP OF SASKATCHEWAN INC									
		CUSIP: 737591107		Symbol (Box 1d): POT					
	18.000	05/12/11	05/01/12	\$680.54	\$618.87	\$0.00	(\$138.33)	\$0.00	
	21.000	04/05/11	05/01/12	\$693.20	\$1,110.88	\$0.00	(\$217.88)	\$0.00	
Security Subtotal	37.000			\$1,373.74	\$1,729.75	\$0.00	(\$355.99)	\$0.00	
PPG INDUSTRIES INC									
		CUSIP: 693506107		Symbol (Box 1d): PPG					
	22.000	02/25/11	01/27/12	\$1,953.61	\$1,824.43	\$0.00	\$29.18	\$0.00	
PPL CORPORATION									
		CUSIP: 69351T106		Symbol (Box 1d): PPL					
	138.000	01/27/12	04/18/12	\$3,716.32	\$3,858.81	\$0.00	(\$142.49)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 28-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6068

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 5)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PRECISION CASTPARTS CORP									
	11.000	06/17/11	01/19/12	\$1,568.06	\$1,568.06	\$0.00	\$280.85	\$0.00	
	4.000	06/30/11	01/19/12	\$726.31	\$726.31	\$0.00	\$84.28	\$0.00	
Security Subtotal	15.000			\$2,294.37	\$2,294.37	\$0.00	\$365.13	\$0.00	
PRECISION DRILLING COR									
	70.000	06/24/11	06/22/12	\$440.41	\$440.41	\$0.00	(\$520.01)	\$0.00	
	50.000	07/01/11	06/22/12	\$314.58	\$314.58	\$0.00	(\$411.55)	\$0.00	
	102.000	03/14/12	06/22/12	\$641.74	\$1,121.96	\$0.00	(\$480.22)	\$0.00	
	118.000	04/24/12	06/22/12	\$742.40	\$1,081.05	\$0.00	(\$338.65)	\$0.00	
Security Subtotal	340.000			\$2,139.13	\$2,889.56	\$0.00	(\$1,750.43)	\$0.00	
PUBLIC SERVICE ENTERPRISE GP									
	33.000	01/27/12	02/23/12	\$1,014.36	\$1,014.36	\$0.00	\$0.00	\$0.00	
	33.000	01/27/12	03/20/12	\$1,821.34	\$1,821.34	\$0.00	(\$65.17)	\$0.00	
Security Subtotal	66.000			\$2,835.70	\$2,835.70	\$0.00	(\$65.17)	\$0.00	
CLIK TECHNOLOGIES									
	50.000	08/18/11	03/18/12	\$1,280.87	\$1,280.87	\$0.00	\$258.88	\$0.00	
	9.000	11/23/11	03/18/12	\$273.30	\$273.30	\$0.00	\$45.38	\$0.00	
Security Subtotal	59.000			\$1,554.17	\$1,554.17	\$0.00	\$304.26	\$0.00	
QUESTAR CORP									
	70.000	08/04/12	12/28/12	\$1,428.60	\$1,428.60	\$0.00	(\$76.34)	\$0.00	
RALPH LAUREN CORP CL A									
	6.000	08/27/11	02/10/12	\$1,034.72	\$902.67	\$0.00	\$132.05	\$0.00	

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IMPORTANT TAX INFORMATION— PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

POWER 0A 31324-1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:

Account Number:

Customer Service: 866-324-6086

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 5b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 3)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
REYNOLDS AMERICAN INC		CUSIP: 781713106	Symbol (Box 1d): RAL						
	127.000	01/27/12	05/11/12	\$5,146.72	\$5,066.58	\$0.00	\$80.14	\$0.00	
SAGE GRP ADR		CUSIP: 79663S102	Symbol (Box 1d): SGPPY						
	27.000	01/27/12	11/01/12	\$636.35	\$499.77	\$0.00	\$136.58	\$0.00	
SANDISK CORP		CUSIP: 80004C101	Symbol (Box 1d): SNDK						
	6.000	01/27/12	05/07/12	\$214.99	\$275.02	\$0.00	(\$60.03)	\$0.00	
SCHLUMBERGER LTD		CUSIP: 806957108	Symbol (Box 1d): SLB						
	38.000	02/22/12	12/28/12	\$2,587.45	\$3,052.80	\$0.00	(\$465.35)	\$0.00	
	25.000	03/20/12	12/28/12	\$1,770.30	\$1,985.45	\$0.00	(\$215.15)	\$0.00	
Security Subtotal	64.000			\$4,357.05	\$5,038.25	\$0.00	(\$681.20)	\$0.00	
SHINHAN FINANCIAL GROUP CO LTD		CUSIP: 824966100	Symbol (Box 1d): SHG						
	4.000	01/27/12	12/28/12	\$144.88	\$160.15	\$0.00	(\$15.27)	\$0.00	
SIGNATURE BANK		CUSIP: 82969G104	Symbol (Box 1d): SBNY						
	61.000	08/27/11	01/05/12	\$3,745.41	\$3,043.95	\$0.00	\$701.46	\$0.00	
	11.000	10/24/11	01/05/12	\$675.40	\$587.39	\$0.00	\$88.01	\$0.00	
	15.000	11/22/11	01/05/12	\$992.40	\$858.87	\$0.00	\$133.53	\$0.00	
Security Subtotal	86.000			\$5,409.21	\$4,490.21	\$0.00	\$919.00	\$0.00	
SILVER WHEATON CORP		CUSIP: 828336107	Symbol (Box 1d): SLW						
	53.000	12/18/12	12/28/12	\$1,843.29	\$1,908.96	\$0.00	(\$65.67)	\$0.00	
SOFTBANK CORP UNSPONS ADR		CUSIP: 83404D109	Symbol (Box 1d): SFTBY						
	68.000	04/03/12	09/21/12	\$1,370.80	\$996.80	\$0.00	\$374.00	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

1099-1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 28-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
SPX CP CUSIP: 794635104 Symbol (Box 1d): SPW									
	12.000	02/09/12	12/09/12	\$974.73	\$971.26	\$0.00	(\$3.47)	\$0.00	
	3.000	02/09/12	12/11/12	\$966.66	\$963.44	\$0.00	(\$3.22)	\$0.00	
	14.000	02/19/12	12/11/12	\$985.80	\$1,082.96	\$0.00	(\$217.16)	\$0.00	
	2.000	02/21/12	12/13/12	\$122.06	\$155.46	\$0.00	(\$33.40)	\$0.00	
	10.000	03/01/12	12/13/12	\$810.32	\$748.77	\$0.00	(\$61.55)	\$0.00	
	11.000	06/13/12	12/13/12	\$671.35	\$737.20	\$0.00	(\$65.85)	\$0.00	
	7.000	09/27/12	12/13/12	\$427.23	\$455.71	\$0.00	(\$28.48)	\$0.00	
Security Subtotal	65.000			\$5,068.10	\$4,704.80	\$0.00	(\$363.30)	\$0.00	
ST JUDE MEDICAL INC CUSIP: 790849103 Symbol (Box 1d): STJ									
	89.000	12/21/11	01/27/12	\$2,853.10	\$2,821.15	\$0.00	\$31.95	\$0.00	
	50.000	01/31/12	04/19/12	\$1,907.99	\$2,090.22	\$0.00	(\$182.23)	\$0.00	
	19.000	02/10/12	04/19/12	\$725.04	\$806.29	\$0.00	(\$81.25)	\$0.00	
	1.000	02/10/12	06/12/12	\$36.02	\$42.44	\$0.00	(\$6.42)	\$0.00	
	19.000	02/24/12	06/12/12	\$684.32	\$821.17	\$0.00	(\$136.85)	\$0.00	
	23.000	03/01/12	06/12/12	\$528.38	\$664.25	\$0.00	(\$135.87)	\$0.00	
Security Subtotal	181.000			\$7,094.85	\$7,045.52	\$0.00	\$49.33	\$0.00	
STANLEY BLACK & DECKER INC CUSIP: 854502101 Symbol (Box 1d): SWK									
	18.000	05/04/11	01/27/12	\$1,148.96	\$1,154.47	\$0.00	(\$5.51)	\$0.00	
	31.000	09/07/11	01/27/12	\$2,220.30	\$1,829.24	\$0.00	\$391.06	\$0.00	
	30.000	09/14/11	01/27/12	\$2,148.67	\$1,969.33	\$0.00	\$179.34	\$0.00	
	14.000	02/29/12	06/07/12	\$896.06	\$1,074.73	\$0.00	(\$178.67)	\$0.00	
	11.000	03/08/12	06/07/12	\$704.77	\$837.47	\$0.00	(\$132.70)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Security held
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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

FOULER GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:

Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 1)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 6)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
STANLEY BLACK & DECKER INC	10,000	03/27/12	06/07/12	\$540.70	\$794.45	\$0.00	(\$153.75)	\$0.00	
	13,000	04/19/12	06/07/12	\$532.41	\$900.67	\$0.00	(\$127.56)	\$0.00	
Security Subtotal	23,000			\$1,073.11	\$1,695.12	\$0.00	\$250.13	\$0.00	
STRATASYS INC									
	25,000	09/12/12	12/03/12	\$1,825.25	\$1,596.92	\$0.00	\$238.33	\$0.00	
	8,000	09/19/12	12/03/12	\$438.06	\$353.58	\$0.00	\$84.48	\$0.00	
	5,000	09/25/12	12/03/12	\$355.05	\$296.82	\$0.00	\$58.23	\$0.00	
	28,000	11/05/12	12/03/12	\$2,117.29	\$1,787.73	\$0.00	\$329.51	\$0.00	
Security Subtotal	46,000			\$4,735.65	\$3,935.05	\$0.00	\$720.75	\$0.00	
TARGET CORPORATION									
	34,000	02/02/11	01/27/12	\$1,710.15	\$1,517.91	\$0.00	(\$192.24)	\$0.00	
	34,000	03/02/11	01/27/12	\$1,710.15	\$1,517.91	\$0.00	(\$192.24)	\$0.00	
	23,000	11/02/11	01/27/12	\$1,156.38	\$1,228.56	\$0.00	(\$72.18)	\$0.00	
Security Subtotal	91,000			\$4,576.68	\$4,254.38	\$0.00	\$322.30	\$0.00	
TD AMERITRADE HOLDING CORP									
	192,000	02/29/12	05/24/12	\$3,251.35	\$3,545.32	\$0.00	(\$293.97)	\$0.00	
	58,000	04/12/12	05/24/12	\$961.86	\$1,121.29	\$0.00	(\$159.41)	\$0.00	
	80,000	05/18/12	05/24/12	\$1,015.73	\$1,022.39	\$0.00	(\$6.66)	\$0.00	
Security Subtotal	310,000			\$5,229.04	\$5,689.00	\$0.00	\$443.34	\$0.00	
THE MOSAIC CO (HLG CO) NEW									
	15,000	08/25/11	02/24/12	\$978.53	\$1,019.87	\$0.00	(\$41.34)	\$0.00	
	17,000	08/25/11	03/28/12	\$986.55	\$1,155.97	\$0.00	(\$169.42)	\$0.00	
	4,000	08/25/11	03/28/12	\$234.48	\$319.82	\$0.00	(\$85.34)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

POOLE GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:

Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 3)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
THE MOSAIC CO (HLDG CO) NEW	7,000	08/20/11	03/26/12	\$410.33	\$488.75	\$0.00	(\$58.42)	\$0.00	
	10,000	10/27/11	03/26/12	\$555.19	\$606.90	\$0.00	(\$20.71)	\$0.00	
	11,000	10/27/11	03/26/12	\$697.90	\$667.58	\$0.00	(\$59.88)	\$0.00	
	18,000	11/22/11	03/26/12	\$684.75	\$657.60	\$0.00	\$37.15	\$0.00	
Security Subtotal	32,000			\$4,048.27	\$3,810.83	\$0.00	(\$237.44)	\$0.00	
THERMO FISHER SCIENTIFIC INC									
	42,000	08/10/11	01/27/12	\$2,200.37	\$2,128.75	\$0.00	\$71.62	\$0.00	
	8,000	08/17/11	01/27/12	\$314.31	\$324.57	\$0.00	(\$12.26)	\$0.00	
Security Subtotal	48,000			\$2,514.68	\$2,453.32	\$0.00	\$59.18	\$0.00	
THOR INDUSTRIES INC									
	110,000	11/01/12	12/26/12	\$4,091.46	\$4,305.26	\$0.00	(\$213.80)	\$0.00	
TIBCO SOFTWARE INC									
	11,000	04/23/12	11/21/12	\$273.78	\$358.12	\$0.00	(\$84.34)	\$0.00	
	17,000	04/23/12	12/05/12	\$534.18	\$553.46	\$0.00	(\$219.30)	\$0.00	
	55,000	04/23/12	12/06/12	\$1,087.80	\$1,790.80	\$0.00	(\$702.80)	\$0.00	
	12,000	08/21/12	12/06/12	\$227.34	\$342.95	\$0.00	(\$105.61)	\$0.00	
Security Subtotal	95,000			\$1,823.09	\$2,045.33	\$0.00	(\$211.24)	\$0.00	
TIME WARNER INC NEW									
	43,000	01/27/12	03/26/12	\$1,514.64	\$1,622.55	\$0.00	(\$87.91)	\$0.00	
	32,000	01/27/12	03/26/12	\$1,337.34	\$1,207.48	\$0.00	\$129.86	\$0.00	
Security Subtotal	75,000			\$2,851.98	\$2,830.03	\$0.00	\$21.85	\$0.00	

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

1099-1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6068

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 9849 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 6)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
TJX COS INC NEW CUSIP: 372540109 Symbol (Box 1d): TJX									
	38,000	01/27/12	05/11/12	\$1,575.63	\$1,287.65	\$0.00	\$287.68	\$0.00	
	85,000	01/27/12	06/01/12	\$3,345.13	\$2,914.84	\$0.00	\$630.29	\$0.00	
	38,000	01/27/12	06/21/12	\$3,806.35	\$2,982.53	\$0.00	\$823.72	\$0.00	
Security Subtotal	212,000			\$8,927.11	\$7,185.02	\$0.00	\$1,741.69	\$0.00	
TOWERS WATSON & CO CL A CUSIP: 891894107 Symbol (Box 1d): TW									
	42,000	02/29/12	06/14/12	\$2,181.25	\$2,690.10	\$0.00	(\$508.82)	\$0.00	
	13,000	03/07/12	08/14/12	\$575.15	\$620.37	\$0.00	(\$145.21)	\$0.00	
	47,000	03/16/12	08/14/12	\$2,490.35	\$3,135.33	\$0.00	(\$645.32)	\$0.00	
Security Subtotal	102,000			\$5,246.75	\$6,445.80	\$0.00	(\$1,199.05)	\$0.00	
TOYOTA MOTOR CP ADR NEW CUSIP: 892331307 Symbol (Box 1d): TM									
	16,800	02/07/12	09/18/12	\$1,300.10	\$1,272.70	\$0.00	\$27.40	\$0.00	
TURKYE GARANTI BANKASI A S CUSIP: 900146701 Symbol (Box 1d): TKGBY									
	47,000	01/27/12	07/27/12	\$181.31	\$175.31	\$0.00	\$6.00	\$0.00	
TYCO INTERNATIONAL LTD NEW CUSIP: H89128104 Symbol (Box 1d): TYC									
	77,000	11/16/11	01/27/12	\$3,787.44	\$3,689.08	\$0.00	\$108.36	\$0.00	
UNION PACIFIC CORP CUSIP: 907618108 Symbol (Box 1d): UNP									
	3,000	07/15/11	04/20/12	\$315.69	\$301.10	\$0.00	\$14.59	\$0.00	
	20,000	07/15/11	04/20/12	\$2,104.80	\$2,007.35	\$0.00	\$97.25	\$0.00	
	18,000	08/16/11	04/20/12	\$1,894.14	\$1,646.22	\$0.00	\$247.92	\$0.00	
	14,000	09/30/11	04/20/12	\$1,473.22	\$1,168.71	\$0.00	\$304.51	\$0.00	
	14,000	11/21/11	04/20/12	\$1,473.22	\$1,373.97	\$0.00	\$99.25	\$0.00	

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

MOORE GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 1)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE OR OTHER BASIS (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
UNION PACIFIC CORP	2,000	11/21/11	08/01/12	\$218.44	\$186.28	\$0.00	\$32.16	\$0.00	
	3,000	01/27/12	08/01/12	\$327.65	\$342.25	\$0.00	(\$14.60)	\$0.00	
	5,000	01/27/12	08/01/12	\$545.09	\$570.41	\$0.00	(\$25.32)	\$0.00	
Security Subtotal	79,000			\$8,353.08	\$7,806.29	\$0.00	\$746.77	\$0.00	
UNITEDHEALTH GP INC									
	35,000	01/27/12	08/29/12	\$1,914.12	\$1,769.62	\$0.00	\$144.50	\$0.00	
VERIFONE SYSTEMS INC									
	21,000	03/15/11	02/02/12	\$947.78	\$890.63	\$0.00	\$57.15	\$0.00	
	10,000	05/02/11	02/02/12	\$451.32	\$532.07	\$0.00	(\$80.75)	\$0.00	
	18,000	05/04/11	02/02/12	\$512.38	\$665.58	\$0.00	(\$153.20)	\$0.00	
	5,000	05/05/11	02/02/12	\$225.06	\$251.46	\$0.00	(\$26.40)	\$0.00	
	10,000	07/29/11	02/02/12	\$451.32	\$394.98	\$0.00	\$56.34	\$0.00	
	15,000	08/29/11	02/02/12	\$722.13	\$653.33	\$0.00	\$68.80	\$0.00	
	1,000	08/29/11	04/04/12	\$30.15	\$34.59	\$0.00	\$4.44	\$0.00	
	23,000	12/16/11	04/04/12	\$1,153.48	\$811.90	\$0.00	\$341.58	\$0.00	
	38,000	12/19/11	04/04/12	\$1,805.45	\$1,281.54	\$0.00	\$523.91	\$0.00	
Security Subtotal	140,000			\$8,619.67	\$6,738.11	\$0.00	\$1,881.56	\$0.00	
VERIZON COMMUNICATIONS									
	28,000	09/23/11	01/03/12	\$1,118.53	\$1,003.37	\$0.00	\$115.16	\$0.00	
	100,000	09/23/11	01/24/12	\$3,787.29	\$3,583.45	\$0.00	\$203.84	\$0.00	
	100,000	01/27/12	02/13/12	\$3,810.06	\$3,757.35	\$0.00	\$52.71	\$0.00	
Security Subtotal	228,000			\$8,695.88	\$6,344.20	\$0.00	\$2,351.68	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

1099-1012-1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310832

Taxpayer ID Number:
Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 9949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
VODAFONE GP PLC ADS NEW									
	70.000	01/19/12	12/28/12	\$1,756.58	\$1,502.42	\$0.00	(\$145.74)	\$0.00	
	46.000	01/19/12	12/28/12	\$1,234.58	\$1,384.52	\$0.00	(\$249.94)	\$0.00	
Security Subtotal	116.000			\$2,991.16	\$2,886.94	\$0.00	(\$324.68)	\$0.00	
WAL MART STORES INC									
	28.000	01/27/12	02/28/12	\$1,704.58	\$1,769.19	\$0.00	(\$64.61)	\$0.00	
WALT DISNEY CO HLDG CO									
	30.000	10/12/11	06/28/12	\$1,417.30	\$1,026.27	\$0.00	\$389.03	\$0.00	
WATSON PHARMACEUTICAL INC									
	7.000	07/22/11	01/27/12	\$419.31	\$489.34	\$0.00	(\$70.03)	\$0.00	
	4.000	07/29/11	01/27/12	\$236.51	\$289.34	\$0.00	(\$52.83)	\$0.00	
	12.000	08/04/11	01/27/12	\$716.82	\$771.38	\$0.00	(\$54.56)	\$0.00	
	3.000	08/10/11	01/27/12	\$179.70	\$194.56	\$0.00	(\$14.86)	\$0.00	
	14.000	08/28/11	01/27/12	\$838.82	\$994.16	\$0.00	(\$155.34)	\$0.00	
	10.000	01/05/12	01/27/12	\$595.01	\$513.25	\$0.00	\$81.76	\$0.00	
Security Subtotal	50.000			\$2,995.07	\$3,332.63	\$0.00	(\$337.56)	\$0.00	
WELLS FARGO & CO NEW									
	32.000	01/27/12	03/29/12	\$1,099.38	\$935.82	\$0.00	\$163.56	\$0.00	
	170.000	08/25/12	12/28/12	\$5,725.16	\$6,001.00	\$0.00	(\$275.84)	\$0.00	
Security Subtotal	202.000			\$6,824.54	\$6,936.82	\$0.00	(\$112.28)	\$0.00	
WESTAMERICA BANCORPORATION									
	158.000	05/14/12	12/28/12	\$5,640.27	\$7,143.84	\$0.00	(\$1503.57)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

FALEH GA 31322-1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 28-4310632

Taxpayer ID Number:

Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8948 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
WESTERN UN CO CUSIP: 330802109 Symbol (Box 1d): WU									
	15,000	07/18/11	01/27/12	\$2107.85	\$2187.83	\$0.00	\$10.32	\$0.00	
	30,000	07/18/11	01/27/12	\$4191.15	\$4190.23	\$0.00	\$0.90	\$0.00	
	14,000	07/29/11	01/27/12	\$267.58	\$273.08	\$5.51	(\$5.51)	\$0.00	
	48,000	08/15/11	01/27/12	\$636.52	\$640.35	\$0.00	\$36.17	\$0.00	
	40,000	08/30/11	01/27/12	\$764.50	\$620.00	\$0.00	\$144.50	\$0.00	
	5,000	01/11/12	01/27/12	\$95.55	\$95.80	\$0.00	\$1.78	\$0.00	
	45,000	01/11/12	01/27/12	\$890.08	\$944.20	\$0.00	\$15.86	\$0.00	
	2,000	07/29/11	07/18/12	\$33.98	\$38.31	\$0.00	(\$4.32)	\$0.00	
	12,000	07/29/11	07/18/12	\$204.00	\$228.84	\$0.00	(\$25.84)	\$0.00	
	1,000	01/11/12	07/18/12	\$12.00	\$18.78	\$0.00	(\$1.78)	\$0.00	
	82,000	01/11/12	07/18/12	\$863.08	\$975.51	\$0.00	(\$91.53)	\$0.00	
	106,000	01/19/12	07/18/12	\$1794.58	\$2008.13	\$0.00	(\$224.17)	\$0.00	
	3,000	01/25/12	07/18/12	\$50.99	\$58.48	\$0.00	(\$7.47)	\$0.00	
	54,000	02/22/12	12/28/12	\$732.35	\$970.48	\$0.00	(\$238.11)	\$0.00	
	78,000	03/16/12	12/28/12	\$1057.83	\$1407.90	\$0.00	(\$350.07)	\$0.00	
	80,000	04/27/12	12/28/12	\$613.72	\$1116.60	\$0.00	(\$302.88)	\$0.00	
	83,000	04/30/12	12/28/12	\$854.40	\$1155.18	\$0.00	(\$300.78)	\$0.00	
	50,000	06/18/12	12/28/12	\$678.19	\$940.00	\$0.00	(\$261.90)	\$0.00	
	40,000	08/27/12	12/28/12	\$542.48	\$735.58	\$0.00	(\$191.11)	\$0.00	
Security Subtotal	798,000			\$12,367.10	\$14,708.02	\$5.51	(\$1,735.92)	\$0.00	
XEROX CORP CUSIP: 984121103 Symbol (Box 1d): XRX									
	100,000	02/03/12	12/28/12	\$699.98	\$790.00	\$0.00	(\$120.01)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Security Mark
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Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

FULLER GR 01024-1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:

Account Number:

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8849 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 6)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
YUM BRANDS INC CUSIP: 888436101 Symbol (Box 1d): YUM									
	5,000	02/29/12	09/08/12	\$320.85	\$329.85	\$0.00	(\$9.00)	\$0.00	
	19,000	02/29/12	09/24/12	\$1,297.29	\$1,253.44	\$0.00	\$43.85	\$0.00	
	9,000	03/08/12	09/24/12	\$674.51	\$597.74	\$0.00	\$176.77	\$0.00	
	4,000	04/19/12	09/24/12	\$273.11	\$286.53	\$0.00	(\$13.42)	\$0.00	
Security Subtotal	37,000			\$2,565.76	\$2,467.56	\$0.00	\$98.20	\$0.00	
3M COMPANY CUSIP: 88579Y101 Symbol (Box 1d): MMM									
	19,000	03/23/11	01/27/12	\$1,856.56	\$1,747.79	\$0.00	(\$108.77)	\$0.00	
Total Short Term Covered Securities				\$566,007.70	\$583,120.72	\$2,466.42	(\$17,113.01)	\$0.00	

Short Term - Noncovered Securities (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8849 Part I with box B checked.)

DESCRIPTION (Box 6)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ARES CAPITAL CORP CUSIP: 04010L103 Symbol (Box 1d): ARCC									
	55,000	08/30/11	05/09/12	\$844.44	\$831.27	\$0.00	\$13.17	\$0.00	
ASML HOLDING NV NY REG NEW CUSIP: N07059210 Symbol (Box 1d): ASML									
	0.450	07/10/12	11/29/12	\$27.12	\$25.29	\$0.00	\$1.83	\$0.00	GL
BRAMBLES LTD UNSPON ADR CUSIP: 105105100 Symbol (Box 1d): BMBLY									
	0.000	07/12/12	07/12/12	\$3.05	\$0.00	\$0.00	\$3.05	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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001778 MSG001 031063

Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

EARL L CARTER

FOULER WILKINSON-1712

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number:

Account Number:

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CREDIT SUISSE GROUP									
	0.000	08/14/12	08/14/12	\$3.34	\$0.00	\$0.00	\$3.34	\$0.00	
KRAFT FOODS GROUP INC COM									
	0.001	01/27/12	10/01/12	\$0.04	\$0.04	\$0.00	\$0.00	\$0.00	
PHILLIPS 66 COM									
	29.000	01/27/12	12/03/12	\$1,508.36	\$915.89	\$0.00	\$592.47	\$0.00	
SUSSEA 7 S.A. SPONSORED ADR									
	0.000	08/27/12	08/27/12	\$36.02	\$0.00	\$0.00	\$36.02	\$0.00	
Total Short Term Noncovered Securities				\$2,422.37	\$1,772.49	\$0.00	\$649.88	\$0.00	
Total Short Term Covered and Noncovered Securities				\$368,430.07	\$584,393.21	\$2,456.42	(\$16,463.13)	\$0.00	

Long Term - Covered Securities (Consider Box 8b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ACE LTD									
	12.000	06/02/11	08/29/12	\$885.25	\$802.49	\$0.00	\$82.76	\$0.00	
	8.000	05/05/11	08/29/12	\$442.62	\$407.12	\$0.00	\$35.50	\$0.00	
Security Subtotal	18.000			\$1,327.87	\$1,209.61	\$0.00	\$118.26	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

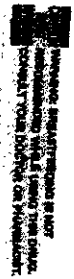
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Security Mark
at Right

Account Detail

STOCKS

COMMON STOCKS



Unit

EARL L CARTER

Morgan Stanley

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
----------------------	------------	----------	-----------	------------	--------------	------------------------	-------------------------	------------------

ABBOTT LABORATORIES (ABT)	1/28/13	114.000	\$33.036	\$3,766.15	\$4,208.88	\$442.73 ST		
	1/30/13	110.000	33.238	3,656.13	4,061.20	405.07 ST		
	2/8/13	5.000	34.350	206.10	221.52	15.42 ST		
	2/19/13	10.000	34.790	347.90	369.20	21.30 ST		
	3/25/13	50.000	33.731	1,686.53	1,846.00	159.47 ST		
	Total	290.000		9,662.81	10,706.80	1,043.99 ST	162.40	1.51
Share Price: \$36.920; Next Dividend Payable 05/15/13								

ACCENTURE PLC IRELAND CL A (ACN)	9/15/10	14.000	39.471	552.59	1,140.16	587.57 LT		
	11/10/10	35.000	45.375	1,588.12	2,850.40	1,262.28 LT		
	1/7/13	1.000	68.730	68.73	81.44	12.71 ST		
	1/17/13	3.000	70.030	210.09	244.32	34.23 ST		
	2/8/13	7.000	73.220	512.54	570.08	57.54 ST		
	Total	60.000		2,932.07	4,886.40	1,849.85 LT	97.20	1.98
Share Price: \$81.440; Next Dividend Payable 05/15/13								

ACE LTD (ACE)	5/5/11	1.000	67.853	67.85	89.14	21.29 LT		
	5/17/11	18.000	67.956	1,223.20	1,604.52	381.32 LT		
	5/17/11	13.000	68.287	887.73	1,158.82	271.09 LT		
	2/13/12	14.000	73.892	1,034.49	1,247.96	213.47 LT		
	10/31/12	15.000	78.717	1,180.76	1,337.10	156.34 ST		
	1/7/13	4.000	81.195	324.78	356.56	31.78 ST		
	1/17/13	2.000	83.410	166.82	178.28	11.46 ST		
	2/8/13	3.000	86.030	258.09	267.42	9.33 ST		
	2/19/13	5.000	86.710	433.55	445.70	12.15 ST		
	3/25/13	11.000	88.335	971.69	980.54	8.85 ST		
Share Price: \$89.140; Next Dividend Payable 07/20/13								
ADTRAN INC (ADTN)	1/28/13	285.000	20.468	5,833.49	5,985.00	151.51 ST		
	2/8/13	5.000	20.986	104.93	105.00	0.07 ST		
	2/19/13	10.000	23.610	236.10	210.00	(26.10) ST		
	Total	300.000		6,174.52	6,300.00	125.48 ST	108.00	1.71
Share Price: \$21.000; Next Dividend Payable 05/09/13								

AERCAP HOLDINGS N.V. (AER)	7/27/12	55.000	11.294	621.17	866.95	245.78 ST		
	8/2/12	41.000	11.158	457.46	646.27	188.81 ST		
	1/17/13	6.000	14.530	87.18	94.58	7.40 ST		
	Total	102.000		1,165.81	1,607.80	442.00 ST		
Share Price: \$21.000; Next Dividend Payable 05/09/13								

Account Detail

Sold

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	2/8/13	8,000	15.000	120.00	126.10	6.10 ST		
	Total	110,000		1,285.81	1,733.90	448.09 ST		

Share Price: \$15.763

AFFILIATED MGRS GROUP INC (AMG)

	5/25/11	23,000	103.012	2,369.28	3,580.64	1,211.36 LT		
	6/16/11	12,000	94.613	1,135.36	1,868.16	732.80 LT		
	9/30/11	22,000	79.706	1,753.53	3,424.96	1,671.43 LT		
	1/7/13	6,000	137.720	826.32	934.08	107.76 ST		
	1/17/13	3,000	141.670	425.01	467.04	42.03 ST		
	2/8/13	9,000	146.420	1,317.78	1,401.12	83.34 ST		
	Total	75,000		7,827.28	11,676.00	3,615.59 LT 233.13 ST		

Share Price: \$155.680

AFLAC INCORPORATED (AFL)

	12/3/12	67,000	53.131	3,559.80	3,647.48	87.68 ST		
	1/7/13	3,000	51.677	155.03	163.32	8.29 ST		
	1/17/13	2,000	51.420	102.84	108.88	6.04 ST		
	1/28/13	30,000	53.368	1,601.05	1,633.20	32.15 ST		
	2/8/13	8,000	50.250	402.00	435.52	33.52 ST		
	Total	110,000		5,820.72	5,988.40	167.68 ST	154.00	2.57

Share Price: \$54.440; Next Dividend Payable 06/20/13

AGRUM INC (AGU)

	1/28/13	25,000	113.320	2,833.00	2,291.75	(541.25) ST		
	2/19/13	5,000	108.270	541.35	458.35	(83.00) ST		
	Total	30,000		3,374.35	2,750.10	(624.25) ST	60.00	2.18

Share Price: \$91.670; Next Dividend Payable 07/20/13

AKZO NOBEL NV ADR (AKZOV)

	3/3/10	105,000	18.241	1,915.32	2,147.25	231.93 LT		
	3/9/10	33,000	18.254	602.37	674.85	72.48 LT		
	3/18/10	30,000	18.916	567.47	613.50	46.03 LT		
	4/14/10	30,000	19.686	590.57	613.50	22.93 LT		
	10/11/10	30,000	20.965	628.96	613.50	(15.46) LT		
	1/27/12	23,000	17.860	410.78	470.35	59.57 LT		
	1/7/13	19,000	21.670	411.73	388.55	(23.18) ST		
	1/17/13	10,000	23.340	233.40	204.50	(28.90) ST		
	2/8/13	20,000	22.760	455.20	409.00	(46.20) ST		
	2/19/13	10,000	23.180	231.80	204.50	(27.30) ST		
	Total	310,000		6,047.60	6,339.50	417.48 LT (125.58) ST	159.34	2.51

Share Price: \$20.450

Account Detail

Selling

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ALEXANDRIA REAL ESTATE EQ INC (ARE)	1/4/08	3,000	96.603	289.81	218.31	(71.50) LT R		
	7/31/09	23,000	37.872	871.06	1,673.71	802.65 LT R		
	1/28/13	20,000	73.288	1,465.76	1,455.40	(10.36) ST		
	2/8/13	4,000	72.458	289.83	291.08	1.25 ST		
Total		50,000		2,916.46	3,638.50	731.15 LT (9.11) ST	120.00	3.29

Share Price: \$72.770; Next Dividend Payable 07/2013								
ALLERGAN INC (AGN)	2/2/12	3,000	84.854	254.56	340.65	86.09 LT		
	6/5/12	30,000	89.330	2,679.91	3,406.50	726.59 ST		
	9/6/12	17,000	88.754	1,508.81	1,930.35	421.54 ST		
	1/17/13	5,000	105.060	525.30	567.75	42.45 ST		
Total		55,000		4,968.58	6,245.25	86.09 LT 1,190.58 ST	11.00	0.17

Share Price: \$113.550; Next Dividend Payable 06/2013								
AMAZON COM INC (AMZN)	8/15/11	13,000	200.924	2,612.01	3,299.53	687.52 LT		
	1/18/12	7,000	186.803	1,307.62	1,776.67	469.05 LT H		
	3/8/12	4,000	187.780	751.12	1,015.24	264.12 LT		
	3/30/12	9,000	203.826	1,834.43	2,284.29	449.86 LT		
	1/17/13	1,000	271.510	271.51	253.81	(17.70) ST		
	2/8/13	1,000	262.830	262.83	253.81	(9.02) ST		
	2/19/13	5,000	268.140	1,340.70	1,269.05	(71.65) ST		
	3/8/13	5,000	273.150	1,365.75	1,269.05	(96.70) ST		
	3/25/13	5,000	255.244	1,276.22	1,269.05	(7.17) ST		
Total		50,000		11,022.19	12,690.50	1,870.55 LT (202.24) ST		

Share Price: \$253.810								
AMERICAN CAMPUS CMMTYS INC (ACC)	7/11/07	93,000	25.275	2,350.60	4,151.52	1,800.92 LT R		
	7/12/07	2,000	24.890	49.78	89.28	39.50 LT R		
	2/14/08	2,000	23.070	46.14	89.28	43.14 LT R		
	2/19/08	7,000	22.531	157.72	312.48	154.76 LT R		
	12/14/10	8,000	29.615	236.92	357.12	120.20 LT R		
Total		112,000		2,841.16	4,999.68	2,158.52 LT	151.20	3.02

Share Price: \$44.640; Next Dividend Payable 05/2013								
AMERICAN ELECTRIC POWER CO (AEP)	1/27/12	39,000	40.301	1,571.74	2,005.77	434.03 LT		
	6/1/12	45,000	38.622	1,738.00	2,314.35	576.35 ST		
	1/7/13	4,000	43.358	173.43	205.72	32.29 ST		
	1/17/13	3,000	43.340	130.02	154.29	24.27 ST		

CLIENT STATEMENT | For the Period April 1-30, 2013

Account Detail

EARL L CARTER

Sel 

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	2/8/13	17,000	44.420	755.14	874.31	119.17 ST	434.03 LT	211.68
	Total	108,000		4,368.33	5,554.44	752.08 ST		3.81

Share Price: \$51.430; Next Dividend Payable 06/20/13

AMERICAN EXPRESS CO (AXP)								
	1/27/12	29,000	50.070	1,452.03	1,983.89	531.86 LT		
	2/15/12	33,000	51.553	1,701.24	2,257.53	556.29 LT		
	2/15/12	6,000	51.553	309.32	410.46	101.14 LT		
	4/18/12	15,000	58.429	876.44	1,026.15	149.71 LT		
	1/7/13	7,000	59.967	419.77	478.87	59.10 ST		
	1/17/13	1,000	60.800	60.80	68.41	7.61 ST		
	2/8/13	9,000	61.700	555.30	615.69	60.39 ST		
	Total	100,000		5,374.90	6,841.00	1,339.00 LT		92.00
						127.10 ST		1.34

Share Price: \$68.410; Next Dividend Payable 05/10/13

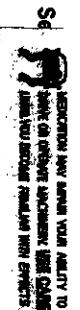
AMERICAN TOWER REIT COM (AMT)								
	3/16/12	44,000	63.489	2,793.50	3,695.56	902.06 LT		
	4/12/12	12,000	63.464	761.57	1,007.88	246.31 LT		
	6/22/12	36,000	67.719	2,437.88	3,023.64	585.76 ST		
	7/12/12	1,000	70.840	70.84	83.99	13.15 ST		
	7/12/12	1,000	70.840	70.84	83.99	13.15 ST		
	7/12/12	24,000	70.840	1,700.16	2,015.76	315.60 ST		
	1/7/13	17,000	77.054	1,309.91	1,427.83	117.92 ST		
	1/7/13	5,000	77.110	385.55	419.95	34.40 ST		
	1/17/13	2,000	78.845	157.69	167.98	10.29 ST		
	1/17/13	6,000	78.810	472.86	503.94	31.08 ST		
	2/8/13	9,000	76.920	692.28	755.91	63.63 ST		
	2/8/13	3,000	76.920	230.76	251.97	21.21 ST		
	2/14/13	3,000	73.763	221.29	251.97	30.68 ST		
	2/14/13	27,000	73.764	1,991.62	2,267.73	276.11 ST		
	2/19/13	3,000	74.220	222.66	251.97	29.31 ST		
	2/19/13	7,000	74.190	519.33	587.93	68.60 ST		
	Total	200,000		14,038.74	16,798.00	1,148.37 LT		208.00
						1,610.89 ST		1.23

Share Price: \$83.990; Next Dividend Payable 07/20/13

AMERIPRISE FINCL INC (AMP)								
	1/27/12	9,000	53.771	483.94	670.77	186.83 LT		
	2/13/12	27,000	54.899	1,482.28	2,012.31	530.03 LT		
	12/3/12	17,000	61.064	1,038.09	1,267.01	228.92 ST		

Morgan Stanley

Account Detail



EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	1/7/13	2,000	65.295	130.59	149.06	18.47 ST		
	1/17/13	2,000	65.530	131.06	149.06	18.00 ST		
	2/8/13	3,000	66.510	199.53	223.59	24.06 ST		
	2/19/13	5,000	68.940	344.70	372.65	27.95 ST		
	Total	65,000		3,810.19	4,844.45	716.86 LT 317.40 ST	135.20	2.79

Share Price: \$74.530; Next Dividend Payable 05/20/13

AMERISOURCEBERGEN CORP (ABC)								
	1/28/13	52,000	45.092	2,344.77	2,814.24	469.47 ST		
	2/19/13	3,000	47.150	141.45	162.36	20.91 ST		
	3/25/13	29,000	50.646	1,468.73	1,569.48	100.75 ST		
	4/19/13	30,000	55.581	1,667.44	1,623.60	(43.84) ST		
	Total	114,000		5,622.39	6,169.68	547.29 ST	95.76	1.55

Share Price: \$54.120; Next Dividend Payable 06/20/13

AMETEK INC NEW (AME)								
	1/18/11	60,000	26.972	1,618.32	2,442.60	824.28 LT		
	3/1/11	12,000	27.556	330.67	488.52	157.85 LT		
	10/24/11	10,000	27.800	278.00	407.10	129.10 LT H		
	10/24/11	39,000	26.477	1,032.59	1,587.69	555.10 LT		
	11/8/11	21,000	27.233	571.89	854.91	283.02 LT		
	12/9/11	14,000	27.439	384.14	569.94	185.80 LT		
	1/7/13	14,000	38.650	541.10	569.94	28.84 ST		
	1/17/13	6,000	39.060	234.36	244.26	9.90 ST		
	2/8/13	14,000	41.510	581.14	569.94	(11.20) ST		
	2/19/13	10,000	42.310	423.10	407.10	(16.00) ST		
	Total	200,000		5,995.31	8,142.00	2,135.15 LT 11.54 ST	48.00	0.58

Share Price: \$40.710; Next Dividend Payable 06/20/13

AMPHENOL CORP NEW CL A (APH)								
	4/25/13	20,000	75.713	1,514.26	1,510.40	(3.86) ST		
	4/29/13	11,000	74.925	824.17	830.72	6.55 ST		
	Total	31,000		2,338.43	2,341.12	2.69 ST	13.02	0.55

Share Price: \$75.520; Next Dividend Payable 07/20/13

ANADARKO PETE (APC)								
	11/4/10	1,000	65.039	65.04	84.76	19.72 LT		
	12/3/10	16,000	70.251	1,124.02	1,356.16	232.14 LT		
	5/17/11	12,000	72.294	867.53	1,017.12	149.59 LT		
	5/17/11	10,000	72.380	723.80	847.60	123.80 LT		
	11/3/11	16,000	82.628	1,322.04	1,356.16	34.12 LT		
	1/27/12	3,000	79.517	238.55	254.28	15.73 LT		

Account Detail



EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
	1/27/12	31.000	79.549	2,466.02	2,627.56	161.54 LT		
	1/27/12	4.000	79.549	318.20	339.04	20.84 LT		
	1/27/12	6.000	79.549	477.29	508.56	31.27 LT		
	1/27/12	3.000	79.549	238.65	254.28	15.63 LT		
	9/5/12	24.000	68.630	1,647.12	2,034.24	387.12 ST		
	12/3/12	12.000	74.319	891.83	1,017.12	125.29 ST		
	1/7/13	5.000	77.870	389.35	423.80	34.45 ST		
	1/7/13	7.000	77.910	545.37	593.32	47.95 ST		
	1/17/13	2.000	77.530	155.06	169.52	14.46 ST		
	1/17/13	4.000	77.540	310.16	339.04	28.88 ST		
	2/8/13	9.000	84.420	759.78	762.84	3.06 ST		
	2/8/13	5.000	84.440	422.20	423.80	1.60 ST		
Total		170.000		12,962.01	14,409.20	804.38 LT	61.20	0.42
						642.81 ST		

Share Price: \$84.760; Next Dividend Payable 06/20/13

ANNALY CAPITAL MNGMT INC (NLV)

	3/30/10	26.000	17.070	443.82	414.44	(29.38) LT		
	11/19/12	68.000	14.750	1,002.99	1,083.92	80.93 ST		
	1/28/13	144.000	14.816	2,133.55	2,295.36	161.81 ST		
	2/8/13	12.000	14.710	176.52	191.28	14.76 ST		
	2/13/13	50.000	15.150	757.50	797.00	39.50 ST		
	2/19/13	10.000	15.170	151.70	159.40	7.70 ST		
	4/12/13	125.000	15.859	1,982.43	1,992.50	10.07 ST		
Total		435.000		6,648.51	6,933.90	(29.38) LT	783.00	11.29
						314.77 ST		

Share Price: \$15.940; Next Dividend Payable 07/20/13

APARTMENT INVT & MGMT CO A (AIV)

	10/15/12	63.000	25.679	1,617.80	1,959.93	342.13 ST		
	1/7/13	7.000	27.540	192.78	217.77	24.99 ST		
	1/17/13	3.000	27.697	83.09	93.33	10.24 ST		
	2/7/13	7.000	27.587	193.11	217.77	24.66 ST		
Total		80.000		2,086.78	2,488.80	402.02 ST	76.80	3.08

Share Price: \$31.110; Next Dividend Payable 05/20/13

APPLE INC (AAPL)

	7/11/08	3.000	174.535	523.61	1,328.34	804.73 LT		
	9/11/08	5.000	149.360	746.80	2,213.90	1,467.10 LT		
	5/18/09	2.000	122.265	244.53	885.56	641.03 LT		
	9/18/09	6.000	185.882	1,115.29	2,656.68	1,541.39 LT		
	5/20/10	1.000	241.480	241.48	442.78	201.30 LT		

Account Detail

Select  DO NOT CHEW OR CRUSH BEFORE SWALLOWING.

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ARKEMA SPONS ADR (ARKAY)	8/16/10	9,000	249.004	2,241.04	3,985.02	1,743.98 LT		
	9/22/11	13,000	399.925	5,199.02	5,756.14	557.12 LT		
	1/27/12	1,000	445.833	445.83	442.78	(3.05) LT		
	1/27/12	7,000	445.833	3,120.83	3,099.46	(21.37) LT		
	1/27/12	17,000	445.833	7,579.15	7,527.26	(51.89) LT		
	1/27/12	2,000	445.833	891.67	885.56	(6.11) LT		
	1/28/13	15,000	450.787	6,761.81	6,641.70	(120.11) ST		
	1/28/13	2,000	450.785	901.57	885.56	(16.01) ST		
	2/19/13	2,000	458.470	916.94	885.56	(31.38) ST		
	Total	85,000		30,929.57	37,636.30	6,874.23 LT (167.50) ST	1,037.00	2.75

Share Price: \$442.760; Next Dividend Payable 05/2013

ARUBA NETWORKS INC (ARUN)	5/2/12	27,000	89.921	2,427.86	2,538.00	110.14 ST		
	6/19/12	19,000	67.916	1,290.40	1,786.00	495.60 ST		
	6/21/12	10,000	67.050	670.50	940.00	269.50 ST		
	1/17/13	2,000	109.115	218.23	188.00	(30.23) ST		
	2/8/13	7,000	114.257	799.80	658.00	(141.80) ST		
	Total	65,000		5,406.79	6,110.00	703.21 ST	129.68	2.12
	Share Price: \$94.000							

Share Price: \$22.490

ASCENA RETAIL GROUP INC COM (ASNA)	1/28/13	46,000	16.847	774.94	851.00	76.06 ST		
	2/13/13	124,000	17.008	2,109.02	2,294.00	184.98 ST		
	2/26/13	90,000	16.534	1,488.04	1,665.00	176.96 ST		
	3/1/13	95,000	16.485	1,566.04	1,757.50	191.46 ST		
	Total	355,000		5,938.04	6,567.50	629.46 ST		

Share Price: \$18.500

ASML HOLDING NV NY REG NEW (ASML)	1/28/13	68,000	75.150	5,110.20	5,057.16	(53.04) ST		
	2/8/13	7,000	75.110	525.77	520.59	(5.18) ST		
	3/13/13	31,000	69.951	2,168.47	2,305.47	137.00 ST		
	3/13/13	19,000	70.142	1,332.70	1,413.03	80.33 ST		

Account Detail



EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	3/13/13	1,000	69.950	69.95	74.37	4.42 ST		
	3/21/13	14,000	69.471	972.59	1,041.18	68.59 ST		
	4/1/13	18,000	67.582	1,216.47	1,338.66	122.19 ST		
Total		158,000		11,396.15	11,750.46	354.31 ST	92.75	0.78

Share Price: \$74.370, Next Dividend Payable 05/14/13

ASPEN INSURANCE HLDGS LTD (AHL)								
	9/1/09	34,000	25.623	871.18	1,298.46	427.28 LT		
	9/3/09	32,000	25.571	818.27	1,222.08	403.81 LT		
	9/22/09	42,000	26.121	1,097.08	1,503.98	506.90 LT		
	10/28/09	31,000	26.383	817.88	1,183.89	366.01 LT		
	11/30/09	42,000	25.795	1,083.37	1,603.98	520.61 LT		
	12/17/09	44,000	25.793	1,134.88	1,680.36	545.48 LT		
	4/5/10	35,000	28.597	1,000.91	1,336.65	335.74 LT		
	2/23/11	39,000	29.176	1,137.88	1,489.41	351.53 LT		
	4/15/11	18,000	28.109	505.96	687.42	181.46 LT		
	2/19/13	13,000	36.060	468.78	496.47	27.69 ST		
Total		330,000		8,936.19	12,602.70	3,638.82 LT	237.60	1.88
						27.69 ST		

Share Price: \$38.190, Next Dividend Payable 06/20/13

ASSOC ESTATES REALTY (AEC)								
	12/18/09	3,000	9.767	29.30	53.61	24.31 LT R		
	12/30/09	5,000	10.482	52.41	89.35	36.94 LT R		
	1/14/10	15,000	10.559	158.38	268.05	109.67 LT R		
	1/14/10	72,000	10.650	766.80	1,286.64	519.84 LT R		
	1/7/13	15,000	16.278	244.17	268.05	23.88 ST		
	1/17/13	4,000	16.270	65.08	71.48	6.40 ST		
	2/8/13	6,000	16.783	100.70	107.22	6.52 ST		
Total		120,000		1,416.84	2,144.40	690.76 LT	91.20	4.25
						36.80 ST		

Share Price: \$17.870, Next Dividend Payable 05/01/13

ASSURANT INC (AIZ)								
	5/27/09	50,000	22.700	1,134.99	2,377.00	1,242.01 LT		
	5/28/09	48,000	22.766	1,092.77	2,281.92	1,189.15 LT		
	2/8/13	2,000	38.560	77.12	95.08	17.96 ST		
	2/19/13	10,000	42.240	422.40	475.40	53.00 ST		
Total		110,000		2,727.28	5,229.40	2,431.16 LT	92.40	1.76
						70.96 ST		

Share Price: \$47.540, Next Dividend Payable 06/20/13

Account Detail

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WARNING: INVESTMENT IN NOT
RECOMMENDED BY MORGAN STANLEY
FOR ALL INVESTORS OR IN ALL CIRCUMSTANCES.

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
ASSURED GUARANTY LTD (AGO)	8/12/11	50,000	11.557	577.86	1,031.50	453.64 LT		
	1/28/13	205,000	16.758	3,435.49	4,229.15	793.66 ST		
	2/8/13	15,000	19.390	290.85	309.45	18.60 ST		
Total		270,000		4,304.20	5,570.10	453.64 LT 812.26 ST	108.00	1.93

Share Price: \$20.630; Next Dividend Payable 06/20/13

AT&T INC (T)	9/2/08	15,000	32.663	489.94	561.90	71.96 LT		
	11/11/09	62,000	26.389	1,636.11	2,322.52	686.41 LT		
	5/11/12	25,000	33.670	841.75	936.50	94.75 ST		
	1/17/13	10,000	33.210	332.10	374.60	42.50 ST		
	2/8/13	8,000	35.250	282.00	299.68	17.68 ST		
	3/25/13	56,000	36.400	2,038.40	2,097.76	59.36 ST		
Total		176,000		5,620.30	6,592.96	758.37 LT 214.29 ST	316.80	4.80

Share Price: \$37.460; Next Dividend Payable 05/01/13

AUTO LIV INC (ALV)	7/27/12	41,000	56.316	2,308.94	3,133.22	824.28 ST		
	1/7/13	4,000	66.680	266.72	305.68	38.96 ST		
	2/7/13	5,000	64.960	324.80	382.10	57.30 ST		
Total		50,000		2,900.46	3,821.00	920.54 ST	100.00	2.61

Share Price: \$76.420; Next Dividend Payable 06/20/13

AUTOZONE INC (AZO)	6/29/12	1,000	365.950	365.95	409.09	43.14 ST		
	1/28/13	9,000	370.240	3,332.16	3,681.81	349.65 ST		
Total		10,000		3,698.11	4,090.90	392.79 ST		

Share Price: \$409.090

AVALONBAY COMM INC (AVB)	8/15/11	2,000	132.237	264.47	266.08	1.61 LT		
	8/15/11	3,000	132.237	396.71	399.12	2.41 LT		
	8/29/11	4,000	132.848	531.39	532.16	0.77 LT		
	1/27/12	1,000	134.396	134.40	133.04	(1.36) LT		
	1/27/12	24,000	134.396	3,225.49	3,192.96	(32.53) LT		
	1/27/12	7,000	134.396	940.77	931.28	(9.49) LT		
	1/27/12	1,000	134.396	134.40	133.04	(1.36) LT		
	12/3/12	4,000	132.890	531.56	532.16	0.60 ST		
	12/3/12	9,000	132.890	1,196.01	1,197.36	1.35 ST		
	1/17/13	1,000	138.660	138.66	133.04	(5.62) ST		
	1/17/13	3,000	138.650	415.95	399.12	(16.83) ST		
	2/7/13	1,000	127.310	127.31	133.04	5.73 ST		

Account Detail



EARL L. CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	2/7/13	5,000	127.330	636.65	665.20	28.55 ST		
Total		65,000		8,673.77	8,647.60	(39.95) LT 13.78 ST	278.20	3.21

Share Price: \$133.040; Next Dividend Payable 07/2013

BADGER METER INC (BMM)

	8/3/11	56,000	36.625	2,051.01	2,446.08	395.07 LT		
	8/4/11	19,000	36.589	695.19	829.92	134.73 LT		
	8/5/11	19,000	35.477	674.07	829.92	155.85 LT		
	8/8/11	12,000	34.623	415.47	524.16	108.69 LT		
	9/28/12	68,000	36.671	2,493.63	2,970.24	476.61 ST		
	1/17/13	3,000	49.520	148.56	131.04	(17.52) ST		
	2/8/13	23,000	48.421	1,113.68	1,004.64	(109.04) ST		
Total		200,000		7,591.61	8,736.00	794.34 LT 350.05 ST	136.00	1.55

Share Price: \$43.680; Next Dividend Payable 06/2013

BAIDU INC ADS (BIDU)

	1/28/13	25,000	110.880	2,772.00	2,146.25	(625.75) ST		
Total								

Share Price: \$85.850

BALCHEM CP (BCPC)

	7/11/07	93,000	12.046	1,120.25	4,030.62	2,910.37 LT		
	1/7/13	7,000	36.967	258.77	303.38	44.61 ST		
	1/17/13	5,000	37.350	186.75	216.70	29.95 ST		
	2/8/13	5,000	38.800	194.00	216.70	22.70 ST		
	2/19/13	10,000	37.990	379.90	433.40	53.50 ST		
Total		120,000		2,139.67	5,200.80	2,910.37 LT 150.76 ST	26.40	0.50

Share Price: \$43.340; Next Dividend Payable 12/2013

BANK OF NOVA SCOTIA (BNS)

	8/2/12	44,000	51.038	2,245.68	2,536.16	290.48 ST		
	10/15/12	16,000	54.672	874.75	922.24	47.49 ST		
	1/17/13	3,000	58.310	174.93	172.92	(2.01) ST		
	2/8/13	7,000	58.720	411.04	403.48	(7.56) ST		
Total		70,000		3,706.40	4,034.80	328.40 ST	165.27	4.09

Share Price: \$57.640; Next Dividend Payable 07/2013

BASF SE SP ADR (BASFY)

	2/23/09	3,000	28.693	86.08	279.90	193.82 LT		
	1/28/10	17,000	56.694	963.79	1,586.10	622.31 LT		
	3/17/11	9,000	77.616	698.54	839.70	141.16 LT		
	7/29/11	6,000	90.760	544.56	559.80	15.24 LT		
	3/12/12	13,000	85.600	1,112.80	1,212.90	100.10 LT		
	2/8/13	7,000	96.390	674.73	653.10	(21.63) ST		

Account Detail

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
Share Price: \$93.300								
BEAM INC COM (BEAM)	4/19/13	32.000	64.559	2,065.88	2,070.72	4.84 ST		
	4/25/13	11.000	64.351	707.86	711.81	3.95 ST		
Total		55.000		4,080.50	5,131.50	1,072.63 LT (21.63) ST	135.63	2.64
Share Price: \$64.710; Next Dividend Payable 06/20/13								
BECTION DICKINSON & CO (BDX)	3/25/11	3.000	78.822	236.47	282.90	46.43 LT		
	3/25/11	6.000	78.822	472.93	565.80	92.87 LT		
	3/28/11	15.000	78.413	1,176.19	1,414.50	238.31 LT		
	4/12/11	16.000	81.368	1,301.88	1,508.80	206.92 LT		
	1/28/13	36.000	84.050	3,025.80	3,394.80	369.00 ST		
	2/8/13	4.000	88.200	352.80	377.20	24.40 ST		
Total		80.000		6,566.07	7,544.00	584.53 LT 393.40 ST	158.40	2.09
Share Price: \$94.300; Next Dividend Payable 06/20/13								
BG GROUP PLC (BRGY)	4/30/10	55.000	17.362	954.92	923.45	(31.47) LT		
	11/3/10	25.000	20.142	503.56	419.75	(83.81) LT		
	1/28/13	132.000	18.000	2,376.00	2,216.28	(159.72) ST		
	2/8/13	8.000	17.580	140.64	134.32	(6.32) ST		
	2/19/13	10.000	17.770	177.70	167.90	(9.80) ST		
Total		230.000		4,152.82	3,861.70	(115.28) LT (175.84) ST	60.03	1.55
Share Price: \$16.790; Next Dividend Payable 05/31/13								
BHP BILLITON PLC SPONS ADR (BBL)	8/31/11	51.000	68.681	3,502.73	2,883.03	(619.70) LT		
	7/18/12	19.000	56.508	1,073.65	1,074.07	0.42 ST		
	1/17/13	2.000	66.460	132.92	113.06	(19.86) ST		
	2/8/13	8.000	68.200	545.60	452.24	(93.36) ST		
Total		80.000		5,254.90	4,522.40	(619.70) LT (112.80) ST	182.40	4.03
Share Price: \$56.530								
BIOGEN IDEC INC (BIIB)	5/1/12	11.000	131.454	1,445.99	2,408.23	962.24 ST		
	5/14/12	5.000	137.196	685.98	1,094.65	408.67 ST		
	5/18/12	4.000	133.125	532.50	875.72	343.22 ST		
	6/14/12	18.000	138.650	2,495.70	3,940.74	1,445.04 ST		
	1/7/13	3.000	146.430	439.29	656.79	217.50 ST		
	4/11/13	7.000	200.804	1,405.63	1,532.51	126.88 ST		

Account Detail


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EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Share Price: \$218.930								
BOEING CO (BA)								
	10/17/11	2.000	61.990	123.98	182.82	58.84 LT		
	1/27/12	3.000	74.760	224.28	274.23	49.95 LT		
	1/27/12	50.000	74.759	3,737.95	4,570.50	832.55 LT		
	1/17/13	2.000	75.180	150.36	182.82	32.46 ST		
	2/8/13	8.000	76.620	612.96	731.28	118.32 ST		
Total		65.000		4,849.53	5,941.65	941.34 LT 150.78 ST	126.10	2.12
Share Price: \$91.410; Next Dividend Payable 06/20/13								
BOSTON PROPERTIES INC (BXP)								
	8/12/11	12.000	100.133	1,201.59	1,313.16	111.57 LT		
	8/12/11	1.000	100.133	100.13	109.43	9.30 LT		
	8/29/11	5.000	102.998	514.99	547.15	32.16 LT H		
	1/27/12	42.000	103.869	4,362.49	4,596.06	233.57 LT		
	1/27/12	6.000	103.869	623.21	656.58	33.37 LT		
	1/17/13	3.000	107.340	322.02	328.29	6.27 ST		
	1/17/13	2.000	107.340	214.68	218.86	4.18 ST		
	2/8/13	2.000	104.660	209.32	218.86	9.54 ST		
	2/8/13	2.000	104.660	209.30	218.86	9.56 ST		
Total		75.000		7,757.73	8,207.25	419.97 LT 29.55 ST	195.00	2.37
Share Price: \$109.430; Next Dividend Payable 07/20/13								
BRAMBLES LTD UNSPON ADR (BMBLTY)								
	5/2/12	216.000	15.448	3,336.75	3,898.80	562.05 ST		
	1/7/13	4.000	16.070	64.28	72.20	7.92 ST		
	1/17/13	8.000	16.390	131.12	144.40	13.28 ST		
	2/8/13	22.000	16.600	365.20	397.10	31.90 ST		
Total		250.000		3,897.35	4,512.50	615.15 ST	124.00	2.74
Share Price: \$18.050								
BRANDYWINE REALTY TR SBI NEW (BDN)								
	12/12/11	170.000	8.406	1,429.09	2,538.10	1,109.01 LT R	102.00	4.01
Share Price: \$14.930; Next Dividend Payable 07/20/13								
BUNZL PLC NEW (BZLFT)								
	7/11/07	30.000	71.100	2,133.00	2,987.40	854.40 LT		
	11/21/08	7.000	45.401	317.81	697.06	379.25 LT		
	4/13/10	14.000	59.245	829.43	1,394.12	564.69 LT		
	3/31/11	17.000	60.707	1,032.02	1,692.86	660.84 LT		
	1/27/12	8.000	67.720	541.76	796.64	254.88 LT		
	1/17/13	2.000	88.150	176.30	199.16	22.86 ST		

Account Detail

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EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	2/8/13	7.000	90.440	633.08	697.06	63.98 ST		
	Total	85.000		5,663.40	8,464.30	2,714.06 LT 86.84 ST	179.44	2.11

Share Price: \$99.580

CA INCORPORATED (CA)

	1/10/08	7.000	22.880	160.16	188.79	28.63 LT		
	8/1/08	29.000	22.685	657.87	782.13	124.26 LT		
	6/15/09	51.000	17.305	882.53	1,375.47	492.94 LT		
	10/23/09	42.000	21.348	896.61	1,132.74	236.13 LT		
	4/6/10	22.000	23.329	513.24	593.34	80.10 LT		
	8/12/10	45.000	18.264	821.88	1,213.65	391.77 LT		
	1/28/13	54.000	25.100	1,355.40	1,456.38	100.98 ST		
	2/19/13	10.000	24.970	249.70	269.70	20.00 ST		
	Total	260.000		5,537.39	7,012.20	1,353.83 LT 120.98 ST	260.00	3.70

Share Price: \$26.970; Next Dividend Payable 06/20/13

CABOT MICROELECTRONICS CORP (CCMP)

	9/7/12	80.000	34.780	2,782.41	2,680.80	(101.61) ST		
	1/28/13	130.000	37.350	4,855.50	4,356.30	(499.20) ST		
	2/8/13	10.000	35.813	358.13	335.10	(23.03) ST		
	3/22/13	26.000	33.890	881.15	871.26	(9.89) ST		
	Total	246.000		8,877.19	8,243.46	(633.73) ST		

Share Price: \$33.510

CAMDEN PROPERTY TRUST (CPT)

	7/11/07	36.000	65.391	2,354.08	2,604.24	250.16 LT R		
	2/8/13	4.000	68.878	275.51	289.36	13.85 ST		
	Total	40.000		2,629.59	2,893.60	250.16 LT 13.85 ST	100.80	3.48

Share Price: \$72.340; Next Dividend Payable 07/20/13

CANON INC ADR NEW (CAI)

	7/28/09	49.000	35.834	1,755.86	1,764.49	8.63 LT		
	9/2/09	28.000	37.741	1,056.75	1,008.28	(48.47) LT		
	1/7/13	13.000	38.630	502.19	468.13	(34.06) ST		
	1/17/13	2.000	38.130	76.26	72.02	(4.24) ST		
	2/8/13	18.000	34.910	628.38	648.18	19.80 ST		
	Total	110.000		4,019.44	3,961.10	(39.84) LT (18.50) ST	153.34	3.87

Share Price: \$36.010

CARBO CERAMICS INC (CRR)

	3/16/09	12.000	34.380	412.56	847.80	435.24 LT		
	3/24/09	30.000	30.025	900.75	2,119.50	1,218.75 LT		
	1/28/13	8.000	77.770	622.16	565.20	(56.96) ST		

Morgan Stanley

Account Detail

Sell  MY CARE BUSINESS

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	1/29/13	5,000	77.050	385.25	353.25	(32.00) ST		
	Total	55,000		2,320.72	3,885.75	1,653.99 LT (88.96) ST	59.40	1.52

Share Price: \$70.650; Next Dividend Payable 05/15/13

CATAMARAN CORP COM (CTRX)

	1/4/13	58,000	49.274	2,857.91	3,348.34	490.43 ST		
	1/17/13	2,000	52.370	104.74	115.46	10.72 ST		
	2/8/13	10,000	51.650	516.50	577.30	60.80 ST		
	3/7/13	28,000	56.219	1,574.13	1,616.44	42.31 ST		
	3/14/13	20,000	52.803	1,056.06	1,154.60	98.54 ST		
	Total	118,000		6,109.34	6,812.14	702.80 ST	—	—

Share Price: \$57.730

CATERPILLAR INC (CAT)

	8/5/09	26,000	47.763	1,241.84	2,201.42	959.58 LT		
	2/23/10	16,000	57.671	922.73	1,354.72	431.99 LT		
	5/10/10	7,000	66.344	464.41	592.69	128.28 LT		
	1/128/11	9,000	91.578	824.20	762.03	(62.17) LT		
	1/28/13	40,000	97.300	3,892.00	3,386.80	(505.20) ST		
	2/8/13	2,000	96.830	193.66	169.34	(24.32) ST		
	2/19/13	10,000	95.270	952.70	846.70	(106.00) ST		
	3/7/13	8,000	89.595	716.76	677.36	(39.40) ST		
	3/25/13	3,000	86.423	259.27	254.01	(5.26) ST		
	3/25/13	49,000	86.422	4,234.69	4,148.83	(85.86) ST		
	4/19/13	18,000	80.468	1,448.42	1,524.06	75.64 ST		
	4/19/13	2,000	80.470	160.94	169.34	8.40 ST		
	Total	190,000		15,311.62	16,087.30	1,457.68 LT (682.00) ST	395.20	2.45

Share Price: \$84.670; Next Dividend Payable 05/20/13

CBL & ASSOC PRITS INC (CBL)

	12/12/11	108,000	15.013	1,621.44	2,607.12	985.68 LT	99.36	3.81
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Share Price: \$24.140; Next Dividend Payable 07/20/13

CBRE GROUP INC (CBG)

	11/7/11	81,000	16.626	1,346.67	1,961.82	615.15 LT		
	11/11/11	42,000	16.296	684.44	1,017.24	332.80 LT		
	11/21/11	87,000	14.640	1,273.66	2,107.14	833.48 LT		
	4/10/12	40,000	17.777	711.08	968.80	257.72 LT		
	1/7/13	35,000	20.900	731.50	847.70	116.20 ST		
	1/17/13	30,000	20.720	621.60	726.60	105.00 ST		
	2/8/13	30,000	24.160	724.80	726.60	1.80 ST		
	2/19/13	5,000	24.400	122.00	121.10	(0.90) ST		

Account Detail

Select



EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
Total		350,000		6,215.75	8,477.00	2,039.15 LT 222.10 ST	—	—

Share Price: \$24.220

CENOVUS ENERGY INC COM (CVE)								
4/27/10	10,000	29.262	292.62	299.40	6.78 LT			
4/30/10	42,000	29.492	1,238.66	1,257.48	18.82 LT			
1/28/13	48,000	33.500	1,608.00	1,437.12	(170.88) ST			
2/8/13	10,000	32.700	327.00	299.40	(27.60) ST			
Total	110,000		3,466.28	3,293.40	25.60 LT (198.48) ST		103.73	3.14

Share Price: \$29.940; Next Dividend Payable 06/20/13

CERNER CORP (ICRN)								
6/3/11	2,000	58.533	117.07	193.54	76.47 LT			
10/24/11	11,000	68.245	750.70	1,064.47	313.77 LT			
12/19/11	14,000	57.216	801.02	1,354.78	553.76 LT			
1/28/13	1,000	83.360	83.36	96.77	13.41 ST			
2/8/13	2,000	88.290	176.58	193.54	16.96 ST			
Total	30,000		1,928.73	2,903.10	944.00 LT 30.37 ST		—	—

Share Price: \$96.770

CHECK POINT SOFTWARE TECH LTD (CHKP)								
10/18/12	56,000	41.133	2,303.43	2,610.72	307.29 ST			
1/28/13	80,000	49.436	3,954.90	3,729.60	(225.30) ST			
2/8/13	4,000	51.720	206.88	186.48	(20.40) ST			
Total	140,000		6,465.21	6,526.80	61.59 ST		—	—

Share Price: \$46.620

CHEVRON CORP (CVX)								
7/11/07	10,000	90.280	902.80	1,220.10	317.30 LT			
7/13/07	1,000	93.470	93.47	122.01	28.54 LT			
7/18/07	1,000	92.940	92.94	122.01	29.07 LT			
4/30/08	20,000	95.536	1,910.71	2,440.20	529.49 LT			
8/6/08	21,000	82.939	1,741.72	2,562.21	820.49 LT			
10/28/09	22,000	76.763	1,688.78	2,684.22	995.44 LT			
1/6/10	19,000	79.369	1,508.01	2,318.19	810.18 LT			
1/7/10	1,000	79.350	79.35	122.01	42.66 LT			
12/3/12	11,000	105.205	1,157.26	1,342.11	184.85 ST			
1/7/13	4,000	109.518	438.07	488.04	49.97 ST			
1/17/13	2,000	115.670	231.34	244.02	12.68 ST			
2/8/13	8,000	115.420	923.36	976.08	52.72 ST			
2/19/13	10,000	116.040	1,160.40	1,220.10	59.70 ST			

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Account Detail

EARL L CARTER



STOCKS COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		130,000		11,928.21	15,861.30	3,573.17 LT 359.92 ST	520.00	3.27

Share Price: \$122.010, Next Dividend Payable 06/20/13

CHINA MOBILE LTD (CHL)

6/18/08	5,000	68.076	340.38	276.20	(64.18) LT			
8/20/08	23,000	60.574	1,393.21	1,270.52	(122.69) LT			
9/29/08	26,000	45.745	1,189.36	1,436.24	246.88 LT			
10/13/08	2,000	47.325	94.65	110.48	15.83 LT			
7/17/09	13,000	49.824	647.71	718.12	70.41 LT			
1/27/12	9,000	50.573	455.16	497.16	42.00 LT			
1/7/13	2,000	57.580	115.16	110.48	(4.68) ST			
1/17/13	5,000	56.460	282.30	276.20	(6.10) ST			
2/8/13	5,000	55.260	276.30	276.20	(0.10) ST			
2/19/13	5,000	55.250	276.25	276.20	(0.05) ST			
3/20/13	65,000	52.895	3,438.17	3,590.60	152.43 ST			
Total	160,000		8,508.65	8,838.40	188.25 LT 141.50 ST		316.48	3.58

Share Price: \$55.240

CHIPOTLE MEXICAN GRILL INC COM (CMG)

Share Price: \$363.190

CIELO SA SPONSORED ADR NEW (CIOXY)

4/19/13	11,000	360.796	3,968.76	3,995.09	26.33 ST			
12/17/12	92,000	26.611	2,448.19	2,459.16	10.97 ST			
1/17/13	3,000	27.360	82.08	80.19	(1.89) ST			
2/8/13	15,000	28.550	428.25	400.95	(27.30) ST			
Total	110,000		2,968.52	2,940.30	(18.22) ST		101.64	3.45

Share Price: \$26.730

CITIGROUP INC NEW (C)

1/27/12	118,000	30.510	3,600.13	5,505.88	1,905.75 LT			
2/13/12	44,000	33.410	1,470.04	2,053.04	583.00 LT			
3/20/12	50,000	38.090	1,904.50	2,333.00	428.50 LT			
10/31/12	24,000	37.448	898.75	1,119.84	221.09 ST			
1/4/13	163,000	41.949	6,837.64	7,605.58	767.94 ST			
1/4/13	6,000	41.948	251.69	279.96	28.27 ST			
1/7/13	16,000	42.280	676.48	746.56	70.08 ST			
1/7/13	9,000	42.300	380.70	419.94	39.24 ST			
1/17/13	13,000	41.190	535.47	606.58	71.11 ST			
1/17/13	14,000	41.190	576.66	653.24	76.58 ST			
1/28/13	3,000	42.430	127.29	139.98	12.69 ST			
1/28/13	40,000	42.430	1,697.19	1,866.40	169.21 ST			

Account Detail



EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
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	2/8/13	9,000	42.660	383.94	419.94	36.00 ST		
	2/8/13	11,000	42.660	469.26	513.26	44.00 ST		
	2/14/13	35,000	44.150	1,545.25	1,633.10	87.85 ST		
	2/19/13	8,000	44.330	354.64	373.28	18.64 ST		
	2/19/13	7,000	44.350	310.45	326.62	16.17 ST		
	3/7/13	35,000	44.678	1,563.74	1,633.10	69.36 ST		
	Total	605,000		23,583.82	28,229.30	2,917.25 LT 1,728.23 ST	24.20	0.08

Share Price: \$46.660; Next Dividend Payable 05/20/13								
CLARCOR INC (CLC)	7/11/07	170,000	37.936	6,449.08	8,789.00	2,339.92 LT		
	2/8/13	10,000	51.190	511.90	517.00	5.10 ST		
	Total	180,000		6,960.98	9,306.00	2,339.92 LT 5.10 ST	97.20	1.04

Share Price: \$51.700; Next Dividend Payable 07/20/13								
CNOOC LTD ADS (CEO)	6/19/12	16,000	197.898	3,166.37	2,997.44	(168.93) ST		
	2/8/13	4,000	200.710	802.84	749.36	(53.48) ST		
	Total	20,000		3,969.21	3,746.80	(222.41) ST	109.02	2.90

Share Price: \$187.340								
COACH INC (COH)	3/25/13	51,000	49.783	2,538.94	3,001.86	462.92 ST	61.20	2.03

Share Price: \$58.860; Next Dividend Payable 07/20/13								
COBALT INTL ENERGY (CIE)	10/24/12	79,000	20.212	1,596.76	2,207.26	610.50 ST		
	12/12/12	6,000	25.368	152.21	167.64	15.43 ST		
	1/7/13	10,000	26.070	260.70	279.40	18.70 ST		
	1/17/13	2,000	24.810	49.62	55.88	6.26 ST		
	2/8/13	13,000	25.060	325.78	363.22	37.44 ST		
	Total	110,000		2,385.07	3,073.40	688.33 ST	—	—

Share Price: \$27.940								
COGNEX CORP (CGNX)	1/28/13	128,000	39.890	5,105.97	5,081.60	(24.37) ST		
	2/8/13	2,000	42.250	84.50	79.40	(5.10) ST		
	Total	130,000		5,190.47	5,161.00	(29.47) ST	57.20	1.10

Share Price: \$39.700								
COGNIZANT TECH SOLUTIONS CL A (CTSH)	5/7/12	27,000	58.812	1,587.92	1,749.60	161.68 ST		
	10/18/12	21,000	69.370	1,456.76	1,360.80	(95.96) ST		
	1/7/13	2,000	75.500	151.00	129.60	(21.40) ST		
	1/17/13	2,000	78.570	157.14	129.60	(27.54) ST		
	2/8/13	3,000	78.650	235.95	194.40	(41.55) ST		

Account Detail



EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
COLGATE PALMOLIVE CO (CL)	Total	55,000		3,588.77	3,564.00	(24.77) ST		
Share Price: \$64.800								
9/23/11	39,000	88.871	3,465.98	4,656.99	1,191.01 LT			
1/7/13	6,000	106.030	636.18	716.46	80.28 ST			
1/17/13	2,000	109.060	218.12	238.82	20.70 ST			
2/8/13	3,000	110.760	332.28	358.23	25.95 ST			
2/13/13	28,000	107.748	3,016.93	3,343.48	326.55 ST			
2/19/13	2,000	111.760	223.52	238.82	15.30 ST			
3/5/13	4,000	115.748	462.99	477.64	14.65 ST			
3/8/13	26,000	115.514	3,003.37	3,104.66	101.29 ST			
3/14/13	12,000	114.459	1,373.51	1,432.92	59.41 ST			
Total	122,000		12,732.88	14,568.02	1,191.01 LT	644.13 ST	331.84	2.27

Share Price: \$119.410; Next Dividend Payable 05/15/13

COMCAST CORP (NEW) CLASS A (CMCSA)

6/3/11	2,000	24.409	48.82	82.60	33.78 LT			
6/3/11	5,000	24.409	122.04	206.50	84.46 LT			
6/3/11	2,000	24.409	48.82	82.60	33.78 LT			
6/29/11	76,000	24.967	1,897.48	3,138.80	1,241.32 LT			
8/4/11	84,000	22.038	1,851.23	3,469.20	1,617.97 LT			
1/27/12	3,000	26.397	79.19	123.90	44.71 LT			
1/27/12	106,000	26.397	2,798.05	4,377.80	1,579.75 LT			
1/27/12	2,000	26.397	52.79	82.60	29.81 LT			
8/29/12	35,000	34.139	1,194.86	1,445.50	250.64 ST			
9/5/12	4,000	33.670	134.68	165.20	30.52 ST			
9/5/12	51,000	33.669	1,717.14	2,106.30	389.16 ST			
1/7/13	6,000	37.958	227.75	247.80	20.05 ST			
1/7/13	14,000	37.950	531.30	578.20	46.90 ST			
1/17/13	6,000	39.840	239.04	247.80	8.76 ST			
1/17/13	11,000	39.840	438.24	454.30	16.06 ST			
1/28/13	84,000	39.713	3,335.88	3,469.20	133.32 ST			
1/28/13	14,000	39.713	555.98	578.20	22.22 ST			
2/8/13	16,000	38.640	618.24	660.80	42.56 ST			
2/8/13	9,000	38.637	347.73	371.70	23.97 ST			
2/19/13	3,000	41.360	124.08	123.90	(0.18) ST			
2/19/13	7,000	41.400	289.80	289.10	(0.70) ST			
3/14/13	25,000	40.780	1,019.50	1,032.50	13.00 ST			

Account Detail

Select

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
Total		565,000		17,672.64	23,334.50	4,665.58 LT 996.28 ST	440.70	1.88

Share Price: \$41.300, Next Dividend Payable 07/2013

CONCHO RES INC (CXO)								
12/16/10	5,000	83.578	417.89	430.65	12.76 LT			
12/20/10	9,000	86.057	774.51	775.17	0.66 LT			
11/21/12	4,000	80.735	322.94	344.52	21.58 ST			
1/28/13	58,000	91.000	5,278.00	4,995.54	(282.46) ST			
2/19/13	4,000	97.640	390.56	344.52	(46.04) ST			
Total	80,000		7,183.90	6,890.40	13.42 LT (306.92) ST			

Share Price: \$86.130

CONOCOPHILLIPS (COP)								
1/27/12	36,000	53.136	1,859.75	2,115.75	256.00 LT			
6/21/12	108,000	54.226	5,856.36	6,528.60	672.24 ST			
1/17/13	7,000	59.280	414.96	423.15	8.19 ST			
Total	150,000		8,131.07	9,067.50	256.00 LT 680.43 ST		396.00	4.36

Share Price: \$60.450, Next Dividend Payable 06/2013

CORPORATE EXEC BOARD CO (CEB)								
6/20/11	28,000	40.542	1,135.16	1,578.08	442.92 LT			
6/23/11	64,000	41.073	2,628.67	3,607.04	978.37 LT			
6/12/12	90,000	38.273	3,444.54	5,072.40	1,627.86 ST			
1/17/13	13,000	49.250	640.25	732.68	92.43 ST			
2/19/13	15,000	54.220	813.30	845.40	32.10 ST			
Total	210,000		8,661.92	11,835.60	1,421.29 LT 1,752.39 ST		189.00	1.59

Share Price: \$56.360, Next Dividend Payable 06/2013

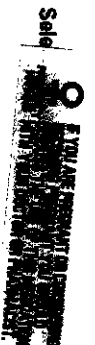
CORUS ENTMT CL B NYTG (CLREY)								
1/8/10	102,000	19.146	1,952.91	2,504.08	551.17 LT			
2/16/10	38,000	17.634	670.10	932.89	262.79 LT			
1/7/13	30,000	24.507	735.20	736.49	1.29 ST			
1/17/13	3,000	24.720	74.16	73.64	(0.52) ST			
2/8/13	17,000	24.735	420.50	417.34	(3.16) ST			
Total	190,000		3,852.87	4,664.48	813.96 LT (2.39) ST		191.14	4.09

Share Price: \$24.550, Next Dividend Payable 05/2013

COVIDIEN PLC NEW (COV)								
11/2/10	26,000	39.827	1,036.49	1,659.84	624.35 LT			
11/2/10	5,000	39.827	199.13	319.20	120.07 LT			
3/3/11	18,000	52.852	951.34	1,149.12	197.78 LT			
3/16/11	13,000	50.688	658.95	829.92	170.97 LT			

Account Detail

EARL L CARTER

Sold


STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	4/28/11	28.000	56.239	1,574.68	1,787.52	212.84 LT		
	5/5/11	20.000	54.484	1,089.67	1,276.80	187.13 LT		
	1/27/12	60.000	51.995	3,119.71	3,830.40	710.69 LT		
	1/7/13	5.000	59.150	295.75	319.20	23.45 ST		
	1/7/13	5.000	59.188	295.94	319.20	23.26 ST		
	1/17/13	3.000	60.560	181.68	191.52	9.84 ST		
	1/17/13	4.000	60.560	242.24	255.36	13.12 ST		
	2/8/13	8.000	63.260	506.08	510.72	4.64 ST		
	2/8/13	5.000	63.260	316.30	319.20	2.90 ST		
Total		200.000		10,466.96	12,768.00	2,223.83 LT	208.00	1.62
						77.21 ST		

Share Price: \$63.840, Next Dividend Payable 05/03/13

CREDIT SUISSE GROUP (CS)

	1/28/13	92.000	29.410	2,705.72	2,647.76	(57.96) ST		
	2/19/13	3.000	29.210	87.63	86.34	(1.29) ST		
Total		95.000		2,793.35	2,734.10	(59.25) ST	9.98	0.36

Share Price: \$28.780

CSL LTD UNSPON ADR (CMXHY)

	7/6/11	69.000	17.463	1,204.94	2,251.47	1,046.53 LT		
	8/16/11	55.000	16.134	887.37	1,794.65	907.28 LT		
	1/17/13	2.000	28.820	57.64	65.26	7.62 ST		
	2/8/13	12.000	29.700	356.40	391.56	35.16 ST		
Total		138.000		2,506.35	4,502.94	1,953.81 LT	61.27	1.36
						42.78 ST		

Share Price: \$32.630

CUMMINS INC (CMI)

	9/5/12	28.000	95.469	2,673.12	2,978.92	305.80 ST		
	9/6/12	14.000	98.535	1,379.49	1,489.46	109.97 ST		
	12/6/12	6.000	100.340	602.04	638.34	36.30 ST		
	12/19/12	7.000	108.376	758.63	744.73	(13.90) ST		
	1/7/13	5.000	112.240	561.20	531.95	(29.25) ST		
	2/4/13	17.000	116.045	1,972.77	1,808.63	(164.14) ST		
	2/8/13	3.000	119.040	357.12	319.17	(37.95) ST		
	2/21/13	12.000	112.157	1,345.88	1,276.68	(69.20) ST		
Total		92.000		9,650.25	9,787.88	137.63 ST	184.00	1.87

Share Price: \$106.390, Next Dividend Payable 06/20/13

CVS CAREMARK CORP (CVS)

	1/4/12	42.000	41.546	1,744.92	2,443.56	698.64 LT		
	1/11/12	33.000	41.933	1,383.79	1,919.94	536.15 LT		
	1/27/12	74.000	42.069	3,113.08	4,305.32	1,192.24 LT		

Account Detail



EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
	5/11/12	43,000	45.886	1,973.11	2,501.74	528.63 ST		
	1/7/13	8,000	49.900	399.20	465.44	66.24 ST		
	1/17/13	2,000	52.300	104.60	116.36	11.76 ST		
	2/8/13	18,000	51.187	921.36	1,047.24	125.88 ST		
	Total	220,000		9,640.06	12,799.60	2,427.03 LT 732.51 ST	198.00	1.54

Share Price: \$58.180; Next Dividend Payable 05/03/13								
DEERE & CO (DE)	8/14/12	23,000	80.260	1,845.98	2,053.90	207.92 ST		
	8/15/12	3,000	74.040	222.12	267.90	45.78 ST		
	8/15/12	9,000	74.039	666.35	803.70	137.35 ST		
	1/17/13	2,000	90.110	180.22	178.60	(1.62) ST H		
	2/8/13	3,000	92.820	278.46	267.90	(10.56) ST		
Total		40,000		3,193.13	3,572.00	378.87 ST	81.60	2.28

Share Price: \$89.300; Next Dividend Payable 05/01/13								
DEUTSCHE BOERSE AG UNSPON ADR (DBOEV)	5/1/12	169,000	6.397	1,081.11	1,047.80	(33.31) ST		
	1/28/13	546,000	6.580	3,592.68	3,385.20	(207.48) ST		
	2/8/13	35,000	6.310	220.85	217.00	(3.85) ST		
	2/19/13	20,000	6.350	127.00	124.00	(3.00) ST		
Total		770,000		5,021.64	4,774.00	(247.64) ST	143.99	3.01

Share Price: \$6.200								
DICE HOLDINGS INC (DHC)	7/25/12	146,000	7.279	1,062.72	1,232.24	169.52 ST		
	9/5/12	149,000	7.667	1,142.31	1,257.56	115.25 ST		
	12/13/12	55,000	8.422	463.19	464.20	1.01 ST		
	12/17/12	55,000	8.415	462.85	464.20	1.35 ST		
	1/7/13	15,000	9.330	139.95	126.60	(13.35) ST		
	1/17/13	13,000	9.510	123.63	109.72	(13.91) ST		
	2/8/13	17,000	9.596	163.13	143.48	(19.65) ST		
	2/19/13	20,000	9.980	199.60	168.80	(30.80) ST		
Total		470,000		3,757.38	3,966.80	209.42 ST		

Share Price: \$8.440								
DIGITAL REALTY TRUST INC (DLR)	7/11/07	43,000	38.159	1,640.84	3,032.36	1,391.52 LT R		
	5/28/09	2,000	34.140	68.28	141.04	72.76 LT R		
	Total	45,000		1,709.12	3,173.40	1,464.28 LT	140.40	4.42

Share Price: \$70.520; Next Dividend Payable 06/20/13								
DISCOVER FINCL SVCS (DFS)	3/25/13	134,000	44.873	6,013.04	5,861.16	(151.88) ST		
	4/19/13	32,000	43.232	1,383.43	1,399.68	16.25 ST		
	Total	166,000		7,396.47	7,260.84	(135.63) ST		

Morgan Stanley

Account Detail



EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
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Share Price: \$43.740

DOUGLAS EMMETT INC (DEI)

5/8/09	50.000	7.877	393.86	1,308.50	914.64 LT R			
5/12/09	55.000	7.980	438.91	1,439.35	1,000.44 LT R			
11/10/10	3.000	16.413	49.24	78.51	29.27 LT R			
4/1/11	3.000	18.143	54.43	78.51	24.08 LT R			
Total	111.000		936.44	2,904.87	1,968.43 LT		79.92	2.75

Share Price: \$26.170; Next Dividend Payable 07/2013

DST SYSTEMS INC (DST)

6/3/10	4.000	37.986	151.95	276.60	124.65 LT			
6/8/10	26.000	36.361	945.38	1,797.90	852.52 LT			
6/14/10	27.000	37.742	1,019.03	1,867.05	848.02 LT			
8/8/11	18.000	46.611	839.00	1,244.70	405.70 LT			
1/17/13	4.000	63.140	252.56	276.60	24.04 ST			
2/8/13	7.000	67.600	473.20	484.05	10.85 ST			
Total	86.000		3,681.12	5,946.90	2,230.89 LT		103.20	1.73

Share Price: \$69.150; Next Dividend Payable 06/2013

DU PONT EL DE NEMOURS & CO (DD)

2/1/13	49.000	47.996	2,351.80	2,670.99	319.19 ST			
2/8/13	1.000	47.400	47.40	54.51	7.11 ST			
2/19/13	5.000	47.210	236.05	272.55	36.50 ST			
3/25/13	23.000	48.950	1,125.85	1,253.73	127.88 ST			
Total	78.000		3,761.10	4,251.78	490.68 ST		140.40	3.30

Share Price: \$54.510; Next Dividend Payable 06/2013

E*TRADE FINANCIAL CORP NEW COM (ETFC)

11/16/11	29.000	8.460	245.33	298.41	53.08 LT			
12/15/11	80.000	7.940	635.20	823.20	188.00 LT			
1/27/12	35.000	8.190	286.65	360.15	73.50 LT			
1/28/13	541.000	10.590	5,729.19	5,566.89	(162.30) ST			
2/8/13	25.000	10.968	274.19	257.25	(16.94) ST			
Total	710.000		7,170.56	7,305.90	314.58 LT		--	--

Share Price: \$10.290

EARTHLINK INC (ELNK)

2/16/11	45.000	8.435	379.57	256.05	(123.52) LT R			
1/28/13	400.000	6.718	2,687.20	2,276.00	(411.20) ST			
2/8/13	25.000	7.048	176.21	142.25	(33.96) ST			
2/19/13	10.000	6.220	62.20	56.90	(5.30) ST			

Account Detail

THIS INFORMATION
SHOULD BE TAKEN WITH
CAUTION OR WARNING

EARL L CARTER

STOCKS COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
EASTGROUP PROPERTIES INC (EGP)								
Share Price: \$5.690; Next Dividend Payable 07/2013								
	7/11/07	42,000	42.303	1,776.72	2,648.94	872.22 LT R		
	2/19/08	4,000	40.465	161.86	252.28	90.42 LT R		
	5/28/09	1,000	32.070	32.07	63.07	31.00 LT R		
Total		47,000		1,970.65	2,964.29	993.64 LT	99.64	3.36
EATON CORP PLC SHS (ETN)								
Share Price: \$63.070; Next Dividend Payable 06/2013								
	12/3/12	42,000	51.655	2,169.51	2,579.22	409.71 ST		
	1/7/13	3,000	55.830	167.49	184.23	16.74 ST		
	1/17/13	1,000	56.350	56.35	61.41	5.06 ST		
	2/8/13	4,000	59.000	236.00	245.64	9.64 ST		
Total		50,000		2,629.35	3,070.50	441.15 ST	84.00	2.73
EATON VANCE CP (EV)								
Share Price: \$61.410; Next Dividend Payable 06/2013								
	6/19/12	275,000	26.248	7,218.26	10,967.00	3,748.74 ST		
	1/7/13	5,000	32.940	164.70	199.40	34.70 ST		
	1/17/13	4,000	34.000	136.00	159.52	23.52 ST		
	2/8/13	26,000	37.629	978.35	1,036.88	58.53 ST		
	2/19/13	10,000	40.780	407.80	398.80	(9.00) ST		
Total		320,000		8,905.11	12,761.60	3,856.49 ST	256.00	2.00
EBAY INC (EBAY)								
Share Price: \$39.880; Next Dividend Payable 05/15/13								
	3/12/13	106,000	51.903	5,501.69	5,553.34	51.65 ST		
	3/13/13	44,000	51.253	2,255.14	2,305.16	50.02 ST		
Total		150,000		7,756.83	7,858.50	101.67 ST	—	—
EDISON INTERNATIONAL (EIX)								
Share Price: \$52.390								
	1/28/13	46,000	46.720	2,149.12	2,474.80	325.68 ST		
	2/8/13	4,000	47.710	190.84	215.20	24.36 ST		
	3/25/13	38,000	50.635	1,924.14	2,044.40	120.26 ST		
Total		88,000		4,264.10	4,734.40	470.30 ST	118.80	2.50
EMC CORP MASS (EMC)								
Share Price: \$53.800; Next Dividend Payable 07/2013								
	12/3/12	91,000	24.902	2,266.12	2,041.13	(224.99) ST		
	1/7/13	9,000	24.148	217.33	201.87	(15.46) ST		
	1/17/13	1,000	24.040	24.04	22.43	(1.61) ST		
	2/8/13	9,000	24.870	223.83	201.87	(21.96) ST		
	3/25/13	96,000	23.760	2,281.00	2,153.28	(127.72) ST		



EARL L CARTER

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
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Share Price: \$22.430

ENBRIDGE INC (ENB)	10/27/11	60,000	35.348	2,120.89	2,856.00	735.11 LT		
	11/3/11	52,000	34.882	1,813.85	2,475.20	661.35 LT		
	11/22/11	54,000	34.785	1,878.39	2,570.40	692.01 LT		
	12/19/11	34,000	35.088	1,192.98	1,618.40	425.42 LT		
	3/23/12	12,000	37.867	454.40	571.20	116.80 LT		
	1/7/13	28,000	43.730	1,224.44	1,332.80	108.36 ST		
	1/17/13	11,000	44.550	490.05	523.60	33.55 ST		
	2/8/13	29,000	44.390	1,287.31	1,380.40	93.09 ST		
	3/21/13	55,000	45.940	2,526.68	2,618.00	91.32 ST		
Total		335,000		12,988.99	15,946.00	2,630.69 LT 326.32 ST	411.38	2.57

Share Price: \$47.600, Next Dividend Payable 06/20/13

ENDURANCE SPCLTY HLDGS LTD (ENH)	5/2/08	35,000	35.323	1,236.29	1,713.95	477.66 LT		
	5/14/08	20,000	35.116	702.32	979.40	277.08 LT		
	5/21/08	15,000	34.048	510.72	734.55	223.83 LT		
	10/13/08	5,000	23.138	115.69	244.85	129.16 LT		
	5/11/09	15,000	25.691	385.36	734.55	349.19 LT		
	2/3/10	15,000	35.842	537.63	734.55	196.92 LT		
	3/19/10	15,000	36.916	553.74	734.55	180.81 LT		
	5/19/11	24,000	41.420	994.07	1,175.28	181.21 LT		
	1/28/13	86,000	42.530	3,657.58	4,211.42	553.84 ST		
	2/8/13	10,000	43.900	439.00	489.70	50.70 ST		
Total		240,000		9,132.40	11,752.80	2,015.86 LT 604.54 ST	307.20	2.61

Share Price: \$48.970, Next Dividend Payable 06/20/13

ENSTAR GROUP LTD (ESGR)	4/11/11	12,000	99.453	1,193.43	1,525.08	331.65 LT		
	4/20/12	12,000	93.284	1,119.41	1,525.08	405.67 LT		
	6/4/12	10,000	91.838	918.38	1,270.90	352.52 ST		
	1/17/13	2,000	117.930	235.86	254.18	18.32 ST		
	2/19/13	4,000	127.380	509.52	508.36	(1.16) ST		
Total		40,000		3,976.60	5,083.60	737.32 LT 369.68 ST	—	—

Share Price: \$127.090

Account Detail

Select THIS MESSAGE MAY BE REPRODUCED OR TRANSMITTED IN ANY FORM OR BY ANY MEANS, ELECTRONIC OR MECHANICAL, INCLUDING PHOTOCOPYING, RECORDING, OR BY ANY INFORMATION STORAGE AND RETRIEVAL SYSTEM.

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
EOG RESOURCES INC (EOG)	7/18/12	3.000	96.980	290.94	363.48	72.54 ST		
	7/18/12	38.000	96.980	3,685.24	4,604.08	918.84 ST		
	8/29/12	29.000	108.495	3,146.36	3,513.64	367.28 ST		
	8/29/12	2.000	108.495	216.99	242.32	25.33 ST		
	9/5/12	1.000	108.700	108.70	121.16	12.46 ST		
	9/5/12	18.000	108.703	1,956.65	2,180.88	224.23 ST		
	12/3/12	6.000	118.825	712.95	726.96	14.01 ST		
	1/7/13	3.000	125.990	377.97	363.48	(14.49) ST		
	1/17/13	6.000	126.250	757.50	726.96	(30.54) ST		
	1/17/13	3.000	126.250	378.75	363.48	(15.27) ST		
	2/8/13	7.000	133.100	931.70	848.12	(83.58) ST		
	2/8/13	4.000	133.120	532.48	484.64	(47.84) ST		
	4/19/13	19.000	113.492	2,156.34	2,302.04	145.70 ST		
	4/19/13	3.000	113.490	340.47	363.48	23.01 ST		
Total		142.000		15,593.04	17,204.72	1,611.68 ST	106.50	0.61

Share Price: \$121.160; Next Dividend Payable 07/20/2013

EPR PPTYS COM (EPR)	3/18/09	8.000	13.923	111.38	452.32	340.94 LT R		
	3/18/09	59.000	13.921	821.37	3,335.86	2,514.49 LT R		
	3/18/09	3.000	13.921	41.76	169.62	127.86 LT R		
	5/13/09	61.000	16.740	1,021.15	3,448.94	2,427.79 LT R		
	8/5/09	2.000	28.470	56.94	113.08	56.14 LT R		
	10/25/10	3.000	46.950	140.85	169.62	28.77 LT R		
	10/29/10	1.000	45.480	45.48	56.54	11.06 LT R		
	4/1/11	5.000	46.476	232.38	282.70	50.32 LT R		
	1/28/13	39.000	47.382	1,847.90	2,205.06	357.16 ST		
	1/28/13	9.000	47.350	426.15	508.86	82.71 ST		
	2/8/13	4.000	46.918	187.67	226.16	38.49 ST		
	2/8/13	6.000	46.970	281.82	339.24	57.42 ST		
Total		200.000		5,214.85	11,308.00	5,557.37 LT	632.00	5.58

Share Price: \$56.540; Next Dividend Payable 05/15/13

EQUIFAX INC (EFI)	9/10/09	58.000	26.903	1,560.40	3,549.60	1,989.20 LT		
	10/5/10	152.000	31.238	4,748.25	9,302.40	4,554.15 LT		
	2/7/13	20.000	55.750	1,115.00	1,224.00	109.00 ST		
	2/8/13	10.000	54.873	548.73	612.00	63.27 ST		
	2/19/13	10.000	55.680	556.80	612.00	55.20 ST		

Account Detail

WARNING IF YOU
 SELECT A SECURITY MARK
 IT MAY BE A LIQUIDITY
 RISK

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		250.000		8,529.18	15,300.00	6,543.35 LT 227.47 ST	220.00	1.43

Share Price: \$61.200; Next Dividend Payable 06/2013

ERICSSON LM TEL ADR CL B NEW (ERIC)

1/27/12	74.000	9.038	668.80	910.94	242.14 LT			
1/28/13	491.000	10.840	5,322.39	6,044.21	721.82 ST			
2/8/13	45.000	12.156	547.04	553.95	6.91 ST			
2/19/13	20.000	12.570	251.40	246.20	(5.20) ST			
Total	630.000		6,789.63	7,755.30	242.14 LT 723.53 ST		185.22	2.38

Share Price: \$12.310

ESSEX PROPERTY TRUST INC (ESS)

11/19/10	13.000	106.924	1,390.01	2,041.65	651.64 LT R			
12/28/10	1.000	113.780	113.78	157.05	43.27 LT R			
12/12/11	3.000	131.893	395.68	471.15	75.47 LT R			
Total	17.000		1,899.47	2,669.85	770.38 LT		82.28	3.08

Share Price: \$157.050; Next Dividend Payable 07/2013

ESTEE LAUDER CO INC CL A (EL)

5/17/11	8.000	50.264	402.11	554.80	152.69 LT			
7/29/11	10.000	52.713	527.13	693.50	166.37 LT			
2/3/12	26.000	56.396	1,466.29	1,803.10	336.81 LT			
5/18/12	5.000	55.130	275.65	346.75	71.10 ST			
9/27/12	9.000	61.708	555.37	624.15	68.78 ST			
12/5/12	12.000	59.567	714.80	832.20	117.40 ST			
1/7/13	5.000	61.780	308.90	346.75	37.85 ST			
1/17/13	3.000	64.500	193.50	208.05	14.55 ST			
2/8/13	7.000	62.980	440.86	485.45	44.59 ST			
2/11/13	27.000	61.730	1,666.72	1,872.45	205.73 ST			
2/19/13	8.000	63.540	508.32	554.80	46.48 ST			
3/8/13	16.000	65.949	1,055.18	1,109.60	54.42 ST			
Total	136.000		8,114.83	9,431.60	655.87 LT 660.90 ST		97.92	1.03

Share Price: \$69.350; Next Dividend Payable 06/2013

EXPERIAN GP LTD ADR (EXPGV)

11/19/07	5.000	8.733	43.67	87.85	44.18 LT			
5/14/08	87.000	8.128	707.10	1,528.59	821.49 LT			
6/23/09	100.000	7.662	766.23	1,757.00	990.77 LT			
1/27/12	58.000	13.730	796.34	1,019.06	222.72 LT			
1/7/13	15.000	16.500	247.50	263.55	16.05 ST			
1/17/13	6.000	17.300	103.80	105.42	1.62 ST			

Account Detail

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EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
	2/8/13	34,000	17.430	592.62	597.38	4.76 ST		
	2/19/13	10,000	16.890	168.90	175.70	6.80 ST		
Total		315,000		3,426.16	5,534.55	2,079.16 LT 29.23 ST	91.98	1.66

Share Price: \$17.570

EXXON MOBIL CORP (XOM)	12/8/10	24,000	71.835	1,724.03	2,135.76	411.73 LT		
	3/10/11	16,000	82.313	1,317.00	1,423.84	106.84 LT		
	6/20/12	15,000	84.267	1,264.01	1,334.85	70.84 ST		
	12/3/12	8,000	88.191	705.53	711.92	6.39 ST		
	1/7/13	10,000	87.648	876.48	889.90	13.42 ST		
	2/8/13	10,000	88.340	883.40	889.90	6.50 ST		
	2/19/13	10,000	89.290	892.90	889.90	(3.00) ST		
Total		93,000		7,663.35	8,276.07	518.57 LT 94.15 ST	234.36	2.83

Share Price: \$88.990; Next Dividend Payable 06/20/13

FACEBOOK INC CL A (FB)	8/21/12	26,000	19.279	501.26	721.99	220.73 ST		
	9/5/12	22,000	18.531	407.68	610.91	203.23 ST		
	9/12/12	18,000	20.532	369.58	499.84	130.26 ST		
	11/13/12	24,000	19.788	474.92	666.45	191.53 ST		
	11/16/12	82,000	23.453	1,923.15	2,277.05	353.90 ST		
	1/28/13	178,000	32.326	5,754.10	4,942.87	(811.23) ST		
	2/8/13	10,000	28.860	288.60	277.68	(10.92) ST		
	2/19/13	10,000	28.870	288.70	277.68	(11.02) ST		
	4/25/13	65,000	26.330	1,711.44	1,804.98	93.54 ST		
Total		435,000		11,719.43	12,079.51	360.02 ST		

Share Price: \$27.769

FANUC CORPORATION UNSP ADR (FANUY)	2/14/12	110,000	28.824	3,170.64	2,769.80	(400.84) LT		
	5/2/12	45,000	28.682	1,290.69	1,133.10	(157.59) ST		
	1/7/13	5,000	30.250	151.25	125.90	(25.35) ST		
	1/17/13	7,000	27.850	194.95	176.26	(18.69) ST		
	2/8/13	23,000	26.450	608.35	579.14	(29.21) ST		
	2/15/13	40,000	26.930	1,077.20	1,007.20	(70.00) ST		
	2/19/13	10,000	26.200	262.00	251.80	(10.20) ST		
Total		240,000		6,755.08	6,043.20	(400.84) LT (311.04) ST	82.08	1.35

Share Price: \$25.180

Account Detail

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EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
FEDL RLTY INVT TRUST S B I (FRT)	2/18/09	2,000	41.115	82.23	234.02	151.79 LT R		
	3/12/09	5,000	38.406	192.03	585.05	393.02 LT R		
	3/4/09	10,000	39.839	398.39	1,170.10	771.71 LT R		
	7/31/09	12,000	56.674	680.09	1,404.12	724.03 LT R		
Total		29,000		1,352.74	3,393.29	2,040.55 LT	84.68	2.49

Share Price: \$117.010, Next Dividend Payable 07/2013

FIDELITY NATL INFORMATION SE (FIS)	7/11/07	40,000	31.659	1,266.35	1,682.00	415.65 LT		
	1/23/08	9,000	22.671	204.04	378.45	174.41 LT		
	4/29/08	16,000	20.890	334.24	672.80	338.56 LT		
	7/10/08	19,000	20.874	396.60	798.95	402.35 LT		
	7/14/08	17,000	20.582	349.90	714.85	364.95 LT		
	10/6/08	30,000	15.953	478.58	1,261.50	782.92 LT		
	4/7/10	13,000	24.078	313.02	546.65	233.63 LT		
	11/18/11	24,000	23.750	570.00	1,009.20	439.20 LT		
	6/22/12	2,000	33.340	66.68	84.10	17.42 ST		
	6/22/12	155,000	33.339	5,167.53	6,517.75	1,350.22 ST		
	1/7/13	7,000	35.660	249.62	294.35	44.73 ST		
	1/7/13	8,000	35.660	285.28	336.40	51.12 ST		
	1/17/13	8,000	37.080	296.64	336.40	39.76 ST		
	1/17/13	8,000	37.100	296.80	336.40	39.60 ST		
	2/8/13	7,000	37.510	262.57	294.35	31.78 ST		
	2/8/13	17,000	37.510	637.67	714.85	77.18 ST		
Total		380,000		11,175.52	15,979.00	3,151.67 LT	334.40	2.09
						1,651.81 ST		

Share Price: \$42.050, Next Dividend Payable 06/2013

FIRST CASH FINL SVCS INC (FCS)	10/1/10	210,000	27.313	5,735.67	10,808.70	5,073.03 LT		
	12/12/11	50,000	36.717	1,835.84	2,573.50	737.66 LT		
	1/7/13	20,000	48.916	978.32	1,029.40	51.08 ST		
	2/8/13	10,000	54.230	542.30	514.70	(27.60) ST		
	2/19/13	10,000	55.640	556.40	514.70	(41.70) ST		
Total		300,000		9,648.53	15,441.00	5,810.69 LT	—	—
						(18.22) ST		

Share Price: \$51.470

FOREST OIL CP 0.01 (FST)	7/9/09	27,000	9.206	248.55	113.13	(135.42) LT		
	6/21/12	165,000	6.448	1,063.94	691.35	(372.59) ST		
	1/28/13	163,000	6.540	1,066.00	682.97	(383.03) ST		

Account Detail

See E-PRODUCT TO ROOM TEMPORATURE. THIS PRODUCT EXPIRES AFTER

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	2/19/13	15,000	6.350	95.25	62.85	(32.40) ST		
	Total	370,000		2,473.74	1,550.30	(135.42) LT (788.02) ST		

Share Price: \$4.190

FREEPORT MCMORAN CP&GLD (FCX)

	1/28/13	146,000	34.595	5,050.90	4,442.78	(608.12) ST		
	2/8/13	14,000	35.800	501.20	426.02	(75.18) ST		
	Total	160,000		5,552.10	4,868.80	(683.30) ST	200.00	4.10

Share Price: \$30.430; Next Dividend Payable 05/01/13

FRESENIUS MEDICAL CARE AG&CO (FMS)

	2/15/08	8,000	26.373	202.98	274.08	71.10 LT		
	2/15/11	24,000	32.459	779.01	822.24	43.23 LT		
	1/27/12	20,000	35.461	709.22	685.20	(24.02) LT		
	1/7/13	2,000	33.640	67.28	68.52	1.24 ST		
	1/17/13	4,000	33.710	134.84	137.04	2.20 ST		
	2/8/13	2,000	34.730	69.46	68.52	(0.94) ST		
	Total	60,000		1,962.79	2,055.60	90.31 LT 2.50 ST	18.78	0.91

Share Price: \$34.260

FUSION-IO INC (FIO)

	2/13/13	124,000	16.703	2,071.17	2,328.72	257.55 ST		
	2/19/13	6,000	16.810	100.86	112.68	11.82 ST		
	3/7/13	22,000	16.870	371.13	413.16	42.03 ST		
	Total	152,000		2,543.16	2,854.56	311.40 ST		

Share Price: \$18.780

GENERAL ELECTRIC CO (GE)

	1/27/12	336,000	19.004	6,385.48	7,489.44	1,103.96 LT		
	4/18/12	84,000	19.178	1,610.99	1,872.36	261.37 LT		
	12/3/12	50,000	21.010	1,050.50	1,114.50	64.00 ST		
	1/7/13	30,000	21.056	631.67	668.70	37.03 ST		
	1/17/13	17,000	21.337	362.73	378.93	16.20 ST		
	2/8/13	33,000	22.466	741.39	735.57	(5.82) ST		
	Total	550,000		10,782.76	12,259.50	1,365.33 LT 111.41 ST	418.00	3.40

Share Price: \$22.290; Next Dividend Payable 07/2013

GENL DYNAMICS CORP (GD)

	3/27/09	25,000	43.059	1,076.47	1,849.00	772.53 LT		
	7/27/09	18,000	50.879	915.83	1,331.28	415.45 LT		
	1/27/12	29,000	70.407	2,041.80	2,144.84	103.04 LT		
	1/27/12	3,000	70.407	211.22	221.88	10.66 LT		
	1/17/13	3,000	71.010	213.03	221.88	8.85 ST		

Account Detail



STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	2/8/13	2,000	66.700	133.40	147.92	14.52 ST	179.20	3.02
Total		80,000		4,591.75	5,916.80	1,301.68 LT 23.37 ST		

Share Price: \$73.960; Next Dividend Payable 05/10/13

GILEAD SCIENCE (GILD)	4/18/12	44,000	23.385	1,028.93	2,228.16	1,199.23 LT H		
	4/18/12	20,000	23.385	467.69	1,012.80	545.11 LT H		
	4/18/12	8,000	23.385	187.08	405.12	218.04 LT H		
	4/18/12	2,000	23.385	46.77	101.28	54.51 LT H		
	4/18/12	2,000	23.385	46.77	101.28	54.51 LT H		
	4/18/12	6,000	23.385	140.31	303.84	163.53 LT H		
	5/11/12	46,000	25.820	1,187.70	2,329.44	1,141.74 ST		
	10/3/12	100,000	35.046	3,504.59	5,064.00	1,559.41 ST		
	1/7/13	8,000	38.490	307.92	405.12	97.20 ST		
	1/7/13	4,000	38.460	153.84	202.56	48.72 ST		
	1/17/13	12,000	38.875	466.50	607.68	141.18 ST		
	1/17/13	10,000	38.870	388.70	506.40	117.70 ST		
	2/8/13	14,000	40.910	572.74	708.96	136.22 ST		
	2/8/13	14,000	40.910	572.74	708.96	136.22 ST		
	3/14/13	33,000	46.014	1,518.45	1,671.12	152.67 ST		
	3/14/13	2,000	46.015	92.03	101.28	9.25 ST		
	4/8/13	35,000	47.087	1,648.03	1,772.40	124.37 ST		
Total		360,000		12,330.79	18,230.40	2,234.93 LT 3,664.68 ST		

Share Price: \$50.640

GIVAUDAN SA ADR (GVDNY)	6/25/09	153,000	13.479	2,062.24	3,939.75	1,877.51 LT		
	4/14/10	96,000	18.554	1,781.18	2,472.00	690.82 LT		
	4/12/11	21,000	20.633	433.29	540.75	107.46 LT		
	1/27/12	43,000	18.890	812.27	1,107.25	294.98 LT		
	1/7/13	17,000	20.980	356.66	437.75	81.09 ST		
	1/17/13	11,000	21.930	241.23	283.25	42.02 ST		
	2/8/13	39,000	23.850	930.15	1,004.25	74.10 ST		
Total		380,000		6,617.02	9,785.00	2,970.77 LT 197.21 ST	269.04	2.74

Share Price: \$25.750

Account Detail

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
GLOBAL PAYMENT INC (GPN)								
	5/4/12	24,000	44.948	1,078.74	1,113.60	34.86 ST		
	5/14/12	51,000	43.250	2,206.74	2,366.40	160.66 ST		
	5/15/12	27,000	42.926	1,159.01	1,252.80	93.79 ST		
	5/17/12	22,000	41.883	921.42	1,020.80	99.38 ST		
	6/21/12	26,000	42.052	1,093.34	1,206.40	113.06 ST		
	1/17/13	10,000	49.840	498.40	464.00	(34.40) ST		
	2/8/13	10,000	49.830	498.30	464.00	(34.30) ST		
Total		170,000		7,454.95	7,888.00	433.05 ST	13.60	0.17
Share Price: \$46.400; Next Dividend Payable 05/20/13								
GNC HOLDINGS, INC COM CL A (GNC)								
	1/28/13	138,000	35.793	4,939.37	6,255.54	1,316.17 ST		
	2/8/13	6,000	36.120	216.72	271.98	55.26 ST		
	3/13/13	12,000	40.268	483.21	543.96	60.75 ST		
Total		156,000		5,639.30	7,071.48	1,432.18 ST	93.60	1.32
Share Price: \$45.330; Next Dividend Payable 06/20/13								
GOLDMAN SACHS GRP INC (GS)								
	1/11/12	9,000	99.130	892.17	1,314.63	422.46 LT H		
	8/29/12	10,000	105.988	1,059.88	1,460.70	400.82 ST		
	1/28/13	23,000	144.340	3,319.82	3,359.61	39.79 ST		
	2/19/13	3,000	158.080	474.24	438.21	(36.03) ST		
Total		45,000		5,746.11	6,573.15	422.46 LT	90.00	1.36
Share Price: \$146.070; Next Dividend Payable 06/20/13								
GRACO INC (GGG)								
	3/16/09	42,000	16.853	707.84	2,542.26	1,834.42 LT		
	3/20/09	1,000	15.790	15.79	60.53	44.74 LT		
	3/24/09	77,000	17.034	1,311.63	4,660.81	3,349.18 LT		
	3/25/09	16,000	17.779	284.47	968.48	684.01 LT		
	5/25/10	110,000	30.692	3,376.14	6,658.30	3,282.16 LT		
Total		246,000		5,695.87	14,890.38	9,194.51 LT	246.00	1.65
Share Price: \$60.530; Next Dividend Payable 05/01/13								
GRANITE REAL ESTATE INVESTMET (GRPU)								
	1/4/13	25,000	—	Pending Corp. Action	988.00	N/A		
	1/17/13	5,000	39.550	197.75	197.60	(0.15) ST		
	2/8/13	4,000	39.350	157.40	158.08	0.68 ST		
Total		34,000		0.00	1,343.68	0.53 ST	69.60	5.17
Share Price: \$39.520; Next Dividend Payable 05/15/13								
GRUPO FINANCIERO BANORTE SAB (GBOOY)								
	3/21/13	82,000	38.837	3,184.61	3,097.96	(86.65) ST		
	4/1/13	24,000	40.031	960.74	906.72	(54.02) ST		

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Account Detail

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EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Share Price: \$37.780, Next Dividend Payable 05/03/13								
HCA HOLDINGS INC (HCA)	1/15/13	81.000	34.869	2,824.37	3,231.09	406.72 ST		
	1/29/13	9.000	36.670	330.03	359.01	28.98 ST		
	2/8/13	5.000	37.240	186.20	199.45	13.25 ST		
	2/12/13	53.000	36.116	1,914.15	2,114.17	200.02 ST		
	2/19/13	12.000	36.490	437.88	478.68	40.80 ST		
	3/8/13	34.000	37.405	1,271.78	1,356.26	84.48 ST		
Total		194.000		6,964.41	7,738.66	774.25 ST		
Share Price: \$39.890								
HCP INCORPORATED (HCP)	12/18/09	17.000	28.732	488.45	906.10	417.65 LT R		
	12/18/09	5.000	28.756	143.78	266.50	122.72 LT R		
	12/30/09	10.000	29.070	290.70	533.00	242.30 LT R		
	12/31/09	5.000	29.434	147.17	266.50	119.33 LT R		
	1/20/10	12.000	28.838	346.06	639.60	293.54 LT R		
	1/10/10	2.000	33.210	66.42	106.60	40.18 LT R		
Total		51.000		1,482.58	2,718.30	1,235.72 LT	107.10	3.93
Share Price: \$53.300, Next Dividend Payable 05/20/13								
HEALTH CARE RET INC (HCN)	7/11/07	47.000	35.527	1,669.78	3,523.59	1,853.81 LT R		
	5/11/09	3.000	28.677	86.03	224.91	138.88 LT R		
	4/1/11	5.000	49.986	249.93	374.85	124.92 LT R		
Total		55.000		2,005.74	4,123.35	2,117.61 LT	168.30	4.08
Share Price: \$74.970, Next Dividend Payable 05/20/13								
HILLENBRAND INC (HI)	11/30/10	17.000	19.302	328.14	427.21	99.07 LT		
	6/1/11	252.000	22.579	5,689.98	6,332.76	642.78 LT		
	6/8/11	91.000	22.791	2,074.02	2,286.83	212.81 LT		
	1/17/13	1.000	23.800	23.80	25.13	1.33 ST		
	2/8/13	19.000	24.728	469.84	477.47	7.63 ST		
	2/19/13	10.000	24.920	249.20	251.30	2.10 ST		
Total		390.000		8,834.98	9,800.70	954.66 LT	304.20	3.10
Share Price: \$25.130, Next Dividend Payable 06/20/13								
HOME DEPOT INC (HD)	6/7/12	76.000	51.291	3,898.14	5,574.60	1,676.46 ST		
	6/11/12	23.000	51.453	1,183.43	1,687.05	503.62 ST		
	7/5/12	25.000	52.228	1,305.69	1,833.75	528.06 ST		
	8/14/12	10.000	54.706	547.06	733.50	186.44 ST		

Account Detail

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EARL L CARTER

Morgan Stanley

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
HONEYWELL INTERNATIONAL INC (HON)	1/7/13	6,000	62.920	377.52	440.10	62.58 ST		
	1/17/13	6,000	65.180	391.08	440.10	49.02 ST		
	1/29/13	14,000	67.200	940.80	1,026.90	86.10 ST		
	2/19/13	10,000	67.400	674.00	733.50	59.50 ST		
	Total	170,000		9,317.72	12,469.50	3,151.78 ST	265.20	2.12
Share Price: \$73.350; Next Dividend Payable 06/20/13								
HOSPITALITY PPTYS TR COM SBI (HPT)	2/13/12	37,000	59.936	2,217.62	2,720.98	503.36 LT		
	6/1/12	28,000	54.280	1,519.83	2,059.12	539.29 ST		
	1/17/13	1,000	67.430	67.43	73.54	6.11 ST		
	2/8/13	4,000	70.570	282.28	294.16	11.88 ST		
	2/19/13	5,000	70.730	353.65	367.70	14.05 ST		
	Total	75,000		4,440.81	5,515.50	503.36 LT 571.33 ST	123.00	2.23

Share Price: \$73.540; Next Dividend Payable 06/20/13								
HOSPITALITY PPTYS TR COM SBI (HPT)	7/12/07	1,000	41.270	41.27	29.41	(11.86) LT		
	10/8/08	3,000	13.213	39.64	88.23	48.59 LT		
	10/9/08	2,000	12.655	25.31	58.82	33.51 LT		
	11/10/10	2,000	22.250	44.50	58.82	14.32 LT		
	12/12/11	14,000	21.898	306.57	411.74	105.17 LT		
	1/28/13	92,000	25.948	2,387.26	2,705.72	318.46 ST		
Total	2/8/13	6,000	25.988	155.93	176.45	20.53 ST		
	Total	120,000		3,000.48	3,529.20	189.73 LT 338.99 ST	225.60	6.39

Share Price: \$29.410; Next Dividend Payable 05/24/13								
HOST HOTEL & RESORTS INC (HST)	12/18/09	34,000	11.220	381.48	621.18	239.70 LT		
	12/30/09	35,000	11.828	413.99	639.45	225.46 LT		
	12/31/09	50,000	11.704	585.19	913.50	328.31 LT		
	12/31/09	20,000	12.049	240.97	365.40	124.43 LT		
	1/14/10	1,000	12.510	12.51	18.27	5.76 LT		
	1/20/10	40,000	12.132	485.26	730.80	245.54 LT		
Total		180,000		2,119.40	3,288.60	1,169.20 LT	72.00	2.18

Share Price: \$18.270; Next Dividend Payable 07/20/13								
ICAP PLC SPON ADR (IAPLV)	7/16/12	69,000	9.802	676.34	622.79	(53.55) ST		
	1/28/13	356,000	10.590	3,770.04	3,213.26	(556.78) ST		
	2/8/13	15,000	11.290	169.35	135.39	(33.96) ST		
	2/19/13	10,000	10.890	108.90	90.26	(18.64) ST		

Account Detail

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EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
Total		450,000		4,724.63	4,061.70	(662.93) ST	300.60	7.40

Share Price: \$9.026

ICICI BANK LTD (IBN)

3/8/13	96,000	44.635	4,284.93	4,494.72	209.79 ST	55.10	1.22
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Share Price: \$46.820

INDUSTRIAL & COML BK CHINA ADR (IDCBY)

12/7/12	236,000	13.849	3,268.48	3,339.40	70.92 ST		
12/21/12	114,000	14.167	1,615.03	1,613.10	(1.93) ST		
1/7/13	20,000	14.800	296.00	283.00	(13.00) ST		
1/17/13	9,000	15.260	137.34	127.35	(9.99) ST		
2/8/13	21,000	14.480	304.08	297.15	(6.93) ST		
2/19/13	30,000	14.470	434.10	424.50	(9.60) ST		
Total	430,000		6,055.03	6,084.50	29.47 ST	276.49	4.54

Share Price: \$14.150

INTERPUBLIC GROUP OF COS INC (IPG)

11/8/07	10,000	8.765	87.65	138.40	50.75 LT		
1/4/08	47,000	7.788	366.02	650.48	284.46 LT		
10/6/08	172,000	6.458	1,110.74	2,380.48	1,269.74 LT		
2/4/10	77,000	6.527	502.57	1,065.68	563.11 LT		
4/14/11	79,000	11.896	939.76	1,093.36	153.60 LT		
7/28/11	140,000	10.129	1,418.09	1,937.60	519.51 LT		
8/5/11	65,000	8.496	552.23	899.60	347.37 LT		
8/10/11	105,000	7.970	836.85	1,453.20	616.35 LT		
7/26/12	35,000	10.030	351.05	484.40	133.35 ST		
11/6/12	145,000	9.995	1,449.23	2,006.80	557.57 ST		
1/7/13	25,000	11.720	293.00	346.00	53.00 ST		
1/17/13	17,000	11.970	203.49	235.28	31.79 ST		
2/8/13	73,000	12.158	887.50	1,010.32	122.82 ST		
2/19/13	10,000	12.560	125.60	138.40	12.80 ST		
Total	1,000,000		9,123.78	13,840.00	3,804.89 LT 911.33 ST	300.00	2.16

Share Price: \$13.840, Next Dividend Payable 06/2013

INTL BUSINESS MACHINES CORP (IBM)

12/19/07	6,000	107.272	643.63	1,215.24	571.61 LT		
6/23/10	13,000	130.186	1,692.42	2,633.02	940.60 LT		
6/24/10	1,000	129.230	129.23	202.54	73.31 LT		
7/28/10	12,000	129.245	1,550.94	2,430.48	879.54 LT		
11/3/10	11,000	143.558	1,579.14	2,227.94	648.80 LT		
3/25/13	5,000	210.132	1,050.66	1,012.70	(37.96) ST		

Account Detail

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		48,000		6,646.02	9,721.92	3,113.86 LT (37.96) ST	182.40	1.87

Share Price: \$202.540; Next Dividend Payable 06/20/13
JACK HENRY & ASSOC INC (JKHY)

	9/9/08	88,000	20.596	1,812.45	4,083.20	2,270.75 LT		
	6/25/10	159,000	24.522	3,899.01	7,377.60	3,478.59 LT		
	1/7/13	53,000	41.142	2,180.50	2,459.20	278.70 ST		
	1/17/13	15,000	41.250	618.75	696.00	77.25 ST		
	2/8/13	5,000	43.708	218.54	232.00	13.46 ST		
	2/19/13	20,000	43.720	874.40	928.00	53.60 ST		
Total		340,000		9,603.65	15,776.00	5,749.34 LT 423.01 ST	176.80	1.12

Share Price: \$46.400; Next Dividend Payable 06/20/13
JARDINE MATHESON HLDGS LTD ADR (JMHL)

	1/30/13	62,000	64.643	4,007.85	4,048.60	40.75 ST		
	2/8/13	3,000	62.020	186.06	195.90	9.84 ST		
	3/8/13	31,000	66.766	2,069.76	2,024.30	(45.46) ST		
	4/8/13	32,000	66.942	2,142.13	2,089.60	(52.53) ST		
Total		128,000		8,405.80	8,358.40	(47.40) ST	161.92	1.93

Share Price: \$65.300; Next Dividend Payable 05/30/13
JPMORGAN CHASE & CO (JPM)

	1/7/09	5,000	28.970	144.85	245.05	100.20 LT		
	6/2/09	65,000	34.940	2,271.13	3,185.65	914.52 LT		
	2/2/11	34,000	45.789	1,556.82	1,666.34	109.52 LT		
	8/3/11	79,000	39.739	3,139.40	3,871.79	732.39 LT		
	6/1/12	32,000	32.272	1,032.70	1,568.32	535.62 ST		
	1/28/13	60,000	46.710	2,802.60	2,940.60	138.00 ST		
	2/8/13	10,000	48.646	486.46	490.10	3.64 ST		
	2/19/13	10,000	49.370	493.70	490.10	(3.60) ST		
Total		295,000		11,927.66	14,457.95	1,856.63 LT 673.66 ST	354.00	2.44

Share Price: \$49.010; Next Dividend Payable 07/20/13
KEYCORP NEW (KEY)

	1/27/12	343,000	7.853	2,693.41	3,419.71	726.30 LT		
	1/7/13	17,000	8.945	152.07	169.49	17.42 ST		
	1/17/13	1,000	9.330	9.33	9.97	0.64 ST		
	2/8/13	29,000	9.578	277.75	289.13	11.38 ST		
	2/19/13	10,000	9.590	95.90	99.70	3.80 ST		

Account Detail



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STOCKS COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		400.000		3,228.46	3,988.00	726.30 LT 33.24 ST	80.00	2.00
Share Price: \$9.970; Next Dividend Payable 06/2013								
KOHL'S CORPORATION WISC (KSS)	4/18/13	49.000	46.648	2,285.74	2,305.94	20.20 ST	68.60	2.97
Share Price: \$47.060; Next Dividend Payable 06/2013								
KONINKLIKE AHOOLD ADR (AHONY)	11/11/08	140.000	10.961	1,534.55	2,207.80	673.25 LT		
	11/21/08	20.000	10.929	218.57	315.40	96.83 LT		
	2/10/09	92.000	11.463	1,054.58	1,450.84	396.26 LT		
	6/16/09	56.000	11.551	646.88	883.12	236.24 LT		
	7/31/09	42.000	11.295	474.38	662.34	187.96 LT		
	8/21/09	51.000	12.126	618.45	804.27	185.82 LT		
	1/27/12	40.000	13.420	536.80	630.80	94.00 LT		
	1/7/13	19.000	13.690	260.11	299.63	39.52 ST		
	1/17/13	16.000	14.100	225.60	252.32	26.72 ST		
	2/8/13	44.000	14.180	623.92	693.88	69.96 ST		
	2/19/13	10.000	14.680	146.80	157.70	10.90 ST		
Total		530.000		6,340.64	8,358.10	1,870.36 LT 147.10 ST	253.34	3.03
Share Price: \$15.770; Next Dividend Payable 05/02/13								
KRAFT FOODS GROUP INC COM (KRFT)	1/27/12	29.000	41.456	1,202.23	1,493.21	290.98 LT		
	3/20/12	12.000	39.352	472.22	617.88	145.66 LT		
	6/28/12	9.000	37.831	340.48	463.41	122.93 ST		
	1/17/13	1.000	46.940	46.94	51.49	4.55 ST		
	2/8/13	4.000	46.900	187.60	205.96	18.36 ST		
	2/19/13	5.000	47.350	236.75	257.45	20.70 ST		
Total		60.000		2,486.22	3,089.40	436.64 LT 166.54 ST	120.00	3.88
Share Price: \$51.490; Next Dividend Payable 07/2013								
L OREAL CO ADR (LRLCY)	9/8/11	140.000	20.690	2,896.66	4,974.20	2,077.54 LT		
	11/9/12	50.000	25.121	1,256.07	1,776.50	520.43 ST		
	1/7/13	10.000	27.394	273.94	355.30	81.36 ST		
	1/17/13	5.000	29.138	145.69	177.65	31.96 ST		
	2/8/13	25.000	29.108	727.70	888.25	160.55 ST		
Total		230.000		5,300.06	8,171.90	2,077.54 LT 794.30 ST	112.70	1.37

Share Price: \$35.530

Account Detail

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
LABORATORY CP AMER HLDGS NEW (LH)	1/4/13	27,000	87.885	2,372.90	2,520.72	147.82 ST		
	1/7/13	13,000	87.488	1,137.35	1,213.68	76.33 ST		
	1/7/13	2,000	87.490	174.98	186.72	11.74 ST		
	1/8/13	14,000	86.461	1,210.45	1,307.04	96.59 ST		
	1/17/13	3,000	88.380	265.14	280.08	14.94 ST		
	2/8/13	6,000	90.180	541.08	560.16	19.08 ST		
	2/20/13	16,000	89.751	1,436.01	1,493.76	57.75 ST		
Total		81,000		7,137.91	7,562.16	424.25 ST		

Share Price: \$93.360

LANDSTAR SYSTEMS INC (LSTR)	7/11/07	220,000	49.686	10,930.88	12,019.70	1,088.82 LT		
	9/6/07	7,000	42.600	298.20	382.44	84.24 LT		
	9/17/10	25,000	38.633	965.82	1,365.87	400.05 LT		
	Total	252,000		12,194.90	13,768.02	1,573.11 LT		0.45

Share Price: \$54.635

LAS VEGAS SANDS CORPORATION (LVS)	5/5/11	7,000	42.575	298.02	393.75	95.73 LT		
	9/21/11	20,000	49.820	996.40	1,125.00	128.60 LT		
	5/18/12	21,000	47.815	1,004.12	1,181.25	177.13 ST		
	1/28/13	76,000	52.820	4,014.32	4,275.00	260.68 ST		
	2/19/13	6,000	51.190	307.14	337.50	30.36 ST		
Total		130,000		6,620.00	7,312.50	224.33 LT	182.00	2.48

Share Price: \$56.250; Next Dividend Payable 06/20/13

LENDER PROCESSING SERV (LPS)	8/9/11	93,000	16.481	1,532.72	2,579.82	1,047.10 LT		
	8/10/11	75,000	16.749	1,256.18	2,080.50	824.32 LT		
	1/7/13	22,000	24.480	538.56	610.28	71.72 ST		
	1/17/13	10,000	23.170	231.70	277.40	45.70 ST		
	2/19/13	46,000	25.057	1,152.61	1,276.04	123.43 ST		
	4/16/13	59,000	24.568	1,449.54	1,636.66	187.12 ST		
Total		305,000		6,161.31	8,460.70	1,871.42 LT	122.00	1.44

Share Price: \$27.740; Next Dividend Payable 06/20/13

LENNAR CORPORATION (LEN)	2/29/12	48,000	23.474	1,126.75	1,978.56	851.81 LT		
	3/7/12	25,000	23.522	588.05	1,030.50	442.45 LT		
	8/7/12	20,000	30.469	609.37	824.40	215.03 ST		
	8/14/12	17,000	31.641	537.89	700.74	162.85 ST		
	12/19/12	26,000	39.308	1,022.02	1,071.72	49.70 ST		

Account Detail

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
	1/7/13	4,000	40.980	163.92	164.88	0.96 ST		
	1/17/13	2,000	41.840	83.68	82.44	(1.24) ST		
	1/29/13	18,000	42.730	769.14	741.96	(27.18) ST		
	1/31/13	58,000	41.395	2,400.93	2,390.76	(10.17) ST		
	2/8/13	12,000	39.970	479.64	494.64	15.00 ST		
	2/12/13	18,000	41.447	746.05	741.96	(4.09) ST		
	2/19/13	12,000	39.790	477.48	494.64	17.16 ST		
	2/21/13	15,000	37.253	558.80	618.30	59.50 ST		
Total		275,000		9,563.72	11,335.50	1,294.26 LT 477.52 ST	44.00	0.38

Share Price: \$41.220, Next Dividend Payable 05/08/13

LEUCADIA NAT CP (LUN)

	11/12/08	37,000	19.019	703.71	1,142.93	439.22 LT		
	2/19/09	30,000	14.125	423.75	926.70	502.95 LT		
	3/5/09	29,000	11.089	321.59	895.81	574.22 LT		
	10/21/09	11,000	23.738	261.12	339.79	78.67 LT		
	2/5/10	17,000	20.916	355.57	525.13	169.56 LT		
	2/16/10	35,000	21.301	745.53	1,081.15	335.62 LT		
	6/3/10	46,000	20.328	935.09	1,420.94	485.85 LT		
	12/16/11	19,000	21.365	405.94	586.91	180.97 LT		
	5/18/12	40,000	20.430	817.21	1,235.60	418.39 ST		
	5/23/12	35,000	20.203	707.10	1,081.15	374.05 ST		
	6/4/12	45,000	19.127	860.70	1,390.05	529.35 ST		
	1/28/13	131,000	24.319	3,185.84	4,046.59	860.75 ST		
	2/8/13	25,000	25.884	647.09	772.25	125.16 ST		
	2/19/13	10,000	26.324	263.24	308.90	45.66 ST		
Total		510,000		10,633.48	15,753.90	2,767.06 LT 2,353.36 ST	127.50	0.80

Share Price: \$30.890, Next Dividend Payable 06/20/13

LEXINGTON REALTY TRUST (LXP)

	12/12/11	200,000	7.349	1,469.85	2,562.00	1,092.15 LT R		
	1/29/13	20,000	10.968	219.36	256.20	36.84 ST		
	2/8/13	10,000	10.759	107.59	128.10	20.51 ST		
Total		230,000		1,796.80	2,946.30	1,092.15 LT 57.35 ST	138.00	4.68

Share Price: \$12.810, Next Dividend Payable 07/20/13

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EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
LEXMARK INTL INC NEW A (LXK)	8/13/09	58,000	17.773	1,030.81	1,757.98	727.17 LT		
	6/20/11	14,000	28.266	395.72	424.34	28.62 LT		
	1/28/13	150,000	28.569	4,285.35	4,546.50	261.15 ST		
	1/29/13	38,000	23.530	894.14	1,151.78	257.64 ST		
Total		260,000		6,606.02	7,880.60	755.79 LT	312.00	3.95
						518.79 ST		

Share Price: \$30.310, Next Dividend Payable 06/20/13								
LIFE TECHNOLOGIES CORP (LIFE)	9/22/11	5,000	36.847	184.24	368.45	184.21 LT		
	10/10/11	28,000	36.843	1,031.59	2,063.32	1,031.73 LT		
	4/26/12	18,000	46.089	829.61	1,326.42	496.81 LT		
	6/1/12	22,000	39.945	878.80	1,621.18	742.38 ST		
	1/7/13	10,000	51.720	517.20	736.90	219.70 ST		
	2/8/13	10,000	63.060	630.60	736.90	106.30 ST		
Total		93,000		4,072.04	6,853.17	1,712.75 LT		
						1,068.38 ST		

Share Price: \$73.690								
LINCOLN ELEC HLDGS INC (LECO)	7/11/07	128,000	37.389	4,785.82	6,753.28	1,967.46 LT		
	1/17/13	8,000	52.030	416.24	422.08	5.84 ST		
	2/8/13	4,000	54.900	219.60	211.04	(8.56) ST		
	2/19/13	10,000	56.609	566.09	527.60	(38.49) ST		
Total		150,000		5,987.75	7,914.00	1,967.46 LT	120.00	1.51
						(41.21) ST		

Share Price: \$52.760, Next Dividend Payable 07/20/13								
LINCOLN NTL CORP IND (LNCG)	6/23/11	45,000	26.699	1,201.45	1,530.45	329.00 LT		
	6/24/11	47,000	26.683	1,254.12	1,598.47	344.35 LT		
	7/27/11	75,000	26.697	2,002.29	2,550.75	548.46 LT		
	8/25/11	45,000	19.111	859.98	1,530.45	670.47 LT		
	2/8/13	8,000	29.340	234.72	272.08	37.36 ST		
Total		220,000		5,552.56	7,482.20	1,892.28 LT	105.60	1.41
						37.36 ST		

Share Price: \$34.010, Next Dividend Payable 05/01/13								
LINDE AG SPONSORED ADR (LNCGT)	1/13/10	216,000	12.159	2,626.45	4,114.80	1,488.35 LT		
	1/29/10	66,000	10.996	725.73	1,257.30	531.57 LT		
	3/17/11	45,000	14.975	673.89	857.25	183.36 LT		
	1/27/12	48,000	15.660	751.68	914.40	162.72 LT		
	1/7/13	35,000	17.380	608.30	666.75	58.45 ST		
	1/17/13	10,000	17.750	177.50	190.50	13.00 ST		

Account Detail

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	2/8/13	40,000	17.510	700.40	762.00	61.60 ST		
	2/19/13	10,000	17.920	179.20	190.50	11.30 ST		
	4/5/13	100,000	18.656	1,865.59	1,905.00	39.41 ST		
Total		570,000		8,308.74	10,858.50	2,366.00 LT 183.76 ST	135.66	1.24

Share Price: \$19.050

LOWES COMPANIES INC (LOW)

	8/29/12	73,000	28.565	2,085.25	2,804.66	719.41 ST		
	1/7/13	7,000	34.710	242.97	268.94	25.97 ST		
	1/17/13	1,000	36.850	36.85	38.42	1.57 ST		
	2/8/13	9,000	38.900	350.10	345.78	(4.32) ST		
Total		90,000		2,715.17	3,457.80	742.63 ST	57.60	1.66

Share Price: \$38.420; Next Dividend Payable 05/08/13

LTC PROPERTIES INC (LTC)

	5/8/09	25,000	17.455	436.37	1,162.50	726.13 LT R		
	5/12/09	25,000	17.992	449.81	1,162.50	712.69 LT R		
	5/28/09	1,000	19.600	19.60	46.50	26.90 LT R		
	11/10/10	1,000	27.340	27.34	46.50	19.16 LT R		
	4/1/11	4,000	28.015	112.06	186.00	73.94 LT R		
Total		56,000		1,045.18	2,604.00	1,558.82 LT	104.16	4.00

Share Price: \$46.500; Next Dividend Payable 05/20/13

LYONDELLBASELL NV CL-A (LYB)

	6/20/12	45,000	40.470	1,821.13	2,731.50	910.37 ST R		
	7/12/12	28,000	38.733	1,084.51	1,699.60	615.09 ST R		
	10/31/12	17,000	52.588	893.99	1,031.90	137.91 ST R		
	1/7/13	5,000	58.948	294.74	303.50	8.76 ST		
	1/17/13	4,000	61.830	247.32	242.80	(4.52) ST		
	2/7/13	11,000	61.207	673.28	667.70	(5.58) ST		
	2/19/13	10,000	61.090	610.90	607.00	(3.90) ST		
	3/25/13	14,000	63.316	886.43	849.80	(36.63) ST		
Total		134,000		6,512.30	8,133.80	1,621.50 ST	214.40	2.63

Share Price: \$60.700; Next Dividend Payable 06/20/13

MACYS INC (NM)

	1/27/12	58,000	33.423	1,938.54	2,586.80	648.26 LT		
	2/28/12	31,000	37.597	1,165.50	1,382.60	217.10 LT		
	6/1/12	25,000	36.936	923.41	1,115.00	191.59 ST		
	1/7/13	6,000	37.645	225.87	267.60	41.73 ST		
	1/17/13	2,000	38.170	76.34	89.20	12.86 ST		
	2/8/13	8,000	39.990	319.92	356.80	36.88 ST		

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Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Share Price: \$44.600; Next Dividend Payable 07/2013								
MARATHON OIL CO (MRO)	1/28/13	76,000	33.594	2,553.11	2,482.92	(70.19) ST		
	2/19/13	9,000	35.660	320.94	294.03	(26.91) ST		
	3/25/13	105,000	33.636	3,531.77	3,430.35	(101.42) ST		
	4/19/13	44,000	29.786	1,310.57	1,437.48	126.91 ST		
Total		234,000		7,716.39	7,644.78	(71.61) ST	159.12	2.08
Share Price: \$32.670; Next Dividend Payable 06/2013								
MARKEL CORP (HOLDING CO) (MKL)	12/19/12	5,000	446.850	2,234.25	2,681.25	447.00 ST		
	12/20/12	1,000	431.830	431.83	536.25	104.42 ST		
	1/7/13	4,000	444.450	1,777.80	2,145.00	367.20 ST		
Total		10,000		4,443.88	5,362.50	918.62 ST		
Share Price: \$536.250								
MERCK & CO INC NEW COM (MRK)	1/27/12	163,000	38.512	6,277.50	7,661.00	1,383.50 LT		
	3/20/12	45,000	37.883	1,704.73	2,115.00	410.27 LT		
	4/18/12	32,000	38.500	1,232.00	1,504.00	272.00 LT		
	12/3/12	8,000	44.550	356.40	376.00	19.60 ST		
	1/7/13	12,000	42.150	505.80	564.00	58.20 ST		
	1/17/13	10,000	42.738	427.38	470.00	42.62 ST		
	2/8/13	40,000	41.107	1,644.26	1,880.00	235.74 ST		
Total		310,000		12,148.07	14,570.00	2,065.77 LT	533.20	3.65
Share Price: \$47.000; Next Dividend Payable 07/2013								
METHANEX CORPORATION (MEOH)	2/14/13	70,000	34.948	2,446.36	2,966.60	520.24 ST		
	2/21/13	7,000	34.839	243.87	296.66	52.79 ST		
Total		77,000		2,690.23	3,263.26	573.03 ST	61.60	1.88
Share Price: \$42.380; Next Dividend Payable 06/2013								
MICHAEL KORS HOLDINGS LTD (KORS)	11/15/12	17,000	47.674	810.46	967.98	157.52 ST		
	11/21/12	8,000	51.489	411.91	455.52	43.61 ST		
	1/28/13	87,000	57.790	5,027.72	4,953.78	(73.94) ST		
	2/8/13	8,000	57.130	457.04	455.52	(1.52) ST		
	2/21/13	22,000	60.158	1,323.48	1,252.68	(70.80) ST		
	3/12/13	12,000	55.806	669.67	683.28	13.61 ST		
Total		154,000		8,700.28	8,768.76	68.48 ST		
Share Price: \$56.940								

Account Detail

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EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
MICROS SYST (MCRS)	4/19/13	34,000	42.544	1,446.50	1,441.94	(4.56) ST		
	4/26/13	37,000	42.018	1,554.68	1,569.17	14.49 ST		
	Total	71,000		3,001.18	3,011.11	9.93 ST		
							—	—

Share Price: \$42.410

MICROSOFT CORP (MSFT)	1/28/13	190,000	28.006	5,321.22	6,289.00	967.78 ST		
	2/19/13	10,000	28.010	280.10	331.00	50.90 ST		
	3/25/13	95,000	28.110	2,670.45	3,144.50	474.05 ST		
	Total	295,000		8,271.77	9,764.50	1,492.73 ST	271.40	2.77

Share Price: \$33.100; Next Dividend Payable 06/2013

MINDRAY MEDICAL INTL LTD (MNR)	3/8/13	112,000	37.730	4,225.74	4,422.88	197.14 ST	51.52	1.16

Share Price: \$39.490

MONDELEZ INTL INC COM (MDLZ)	1/27/12	89,000	24.989	2,223.98	2,799.05	575.07 LT		
	3/20/12	35,000	24.958	873.53	1,100.75	227.22 LT		
	6/28/12	26,000	24.224	629.83	817.70	187.87 ST		
	1/17/13	9,000	28.010	252.09	283.05	30.96 ST		
	2/8/13	11,000	27.840	306.24	345.95	39.71 ST		
	Total	170,000		4,285.67	5,346.50	802.29 LT 258.54 ST	88.40	1.65

Share Price: \$31.450; Next Dividend Payable 07/2013

MONMOUTH RL EST INVT CP A (MNR)	1/14/10	83,000	6.240	517.90	883.12	365.22 LT R		
	1/14/10	10,000	6.194	61.94	106.40	44.46 LT R		
	4/1/11	4,000	7.665	30.66	42.56	11.90 LT R		
	2/19/13	3,000	10.470	31.41	31.92	0.51 ST		
	Total	100,000		641.91	1,064.00	421.58 LT 0.51 ST	60.00	5.63

Share Price: \$10.640; Next Dividend Payable 06/2013

MONSANTO CO/NEW (MON)	2/10/12	12,000	77.267	927.21	1,281.84	354.63 LT		
	2/24/12	20,000	79.496	1,589.92	2,136.40	546.48 LT		
	2/29/12	22,000	77.787	1,711.32	2,350.04	638.72 LT		
	3/1/12	5,000	79.988	399.94	534.10	134.16 LT		
	4/3/12	18,000	81.383	1,464.90	1,922.76	457.86 LT		
	1/7/13	7,000	95.840	670.88	747.74	76.86 ST		
	1/17/13	8,000	101.540	812.32	854.56	42.24 ST		
	2/8/13	8,000	100.740	805.92	854.56	48.64 ST		

Account Detail

Earl L Carter
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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
Total		100.000		8,382.41	10,682.00	2,131.85 LT 167.74 ST	150.00	1.40

Share Price: \$106.820; Next Dividend Payable 07/20/13
NATIONAL OILWELL VARCO INC (NOV)

7/12/12	16.000	67.019	1,072.30	1,043.52	(28.78) ST			
8/7/12	16.000	77.578	1,241.24	1,043.52	(197.72) ST			
12/3/12	22.000	68.043	1,496.95	1,434.84	(62.11) ST			
1/7/13	4.000	70.970	283.88	260.88	(23.00) ST			
1/17/13	3.000	71.800	215.40	195.66	(19.74) ST			
2/8/13	12.000	69.120	829.44	782.64	(46.80) ST			
Total	73.000		5,139.21	4,761.06	(378.15) ST		37.96	0.79

Share Price: \$65.220; Next Dividend Payable 06/20/13
NATIONAL RETAIL PROPERTIES I (NNN)

12/29/09	27.000	19.989	539.71	1,071.36	531.65 LT R			
1/14/10	35.000	19.933	697.65	1,388.80	691.15 LT R			
1/17/13	1.000	32.370	32.37	39.68	7.31 ST			
2/8/13	7.000	32.747	229.23	277.76	48.53 ST			
Total	70.000		1,498.96	2,777.60	1,222.80 LT 55.84 ST		110.60	3.98

Share Price: \$39.680; Next Dividend Payable 05/15/13
NESTLE SPON ADR REP REG SHR (NSRGV)

10/10/07	21.000	41.249	866.24	1,495.83	629.59 LT			
5/20/08	19.000	45.342	861.50	1,353.37	491.87 LT			
7/31/08	30.000	44.192	1,325.76	2,136.90	811.14 LT			
10/8/08	20.000	39.669	793.37	1,424.60	631.23 LT			
10/8/08	3.000	39.669	119.01	213.69	94.68 LT			
8/19/09	35.000	40.181	1,406.35	2,493.05	1,086.70 LT			
10/26/10	18.000	54.180	975.24	1,282.14	306.90 LT			
2/8/13	4.000	69.730	278.92	284.92	6.00 ST			
Total	150.000		6,626.39	10,684.50	4,052.11 LT 6.00 ST		274.06	2.56

Share Price: \$71.230; Next Dividend Payable 05/24/13
NEW GOLD INC (NGD)

11/9/10	333.000	8.748	2,913.15	2,657.34	(255.81) LT			
8/10/11	89.000	11.089	986.92	710.22	(276.70) LT			
1/28/13	163.000	9.407	1,533.39	1,300.74	(232.65) ST			
2/8/13	25.000	10.045	251.13	199.50	(51.63) ST			
2/19/13	60.000	9.218	553.06	478.80	(74.26) ST			

Account Detail

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EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		670,000		6,237.65	5,346.60	(532.51) LT (358.54) ST	—	—

Share Price: \$7.980

NEXTERA ENERGY INC COM (NEE)

4/18/12	59,000	62.972	3,715.34	4,839.77	1,124.43 LT			
7/12/12	21,000	68.585	1,440.28	1,722.63	282.35 ST			
1/17/13	6,000	71.200	427.20	492.18	64.98 ST			
2/8/13	4,000	72.020	288.08	328.12	40.04 ST			
Total	90,000		5,870.90	7,382.70	1,124.43 LT 387.37 ST		237.60	3.21

Share Price: \$82.030; Next Dividend Payable 06/20/13

NOKIAN TYRES OY UNSPON ADR (NKRKY)

4/8/13	192,000	22.108	4,244.76	4,152.96	(91.80) ST		143.23	3.44
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Share Price: \$21.630; Next Dividend Payable 05/13/13

NORDEA NK SWEDEN AB (PUBL) ADR (NRBAY)

7/6/11	76,000	10.849	824.53	912.00	87.47 LT			
7/18/11	100,000	9.781	978.09	1,200.00	221.91 LT			
1/27/12	48,000	8.700	417.60	576.00	158.40 LT			
1/28/13	361,000	10.430	3,765.23	4,332.00	566.77 ST			
2/8/13	25,000	11.430	285.75	300.00	14.25 ST			
2/19/13	20,000	11.790	235.80	240.00	4.20 ST			
Total	630,000		6,507.00	7,560.00	467.78 LT 585.22 ST		219.87	2.90

Share Price: \$12.000

NOVARTIS AG ADR (NVS)

7/11/07	15,000	55.270	829.05	1,106.40	277.35 LT			
2/8/11	26,000	56.342	1,464.88	1,917.76	452.88 LT			
3/22/11	16,000	54.922	878.75	1,180.16	301.41 LT			
12/20/11	25,000	56.399	1,409.97	1,844.00	434.03 LT			
1/7/13	3,000	64.210	192.63	221.28	28.65 ST			
1/17/13	2,000	66.060	132.12	147.52	15.40 ST			
2/8/13	8,000	68.310	546.48	590.08	43.60 ST			
Total	95,000		5,453.88	7,007.20	1,465.67 LT 87.65 ST		195.42	2.78

Share Price: \$73.760; Next Dividend Payable 04/20/14

NXP SEMICONDUCTORS NV (NXP)

6/15/12	90,000	20.458	1,841.22	2,479.50	638.28 ST			
6/22/12	32,000	21.418	685.36	881.60	196.24 ST			
6/29/12	54,000	23.130	1,249.03	1,487.70	238.67 ST			
1/7/13	14,000	27.220	381.08	385.70	4.62 ST			
2/8/13	10,000	31.910	319.10	275.50	(43.60) ST			

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EARL L CARTER

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
	2/19/13	10,000	32.750	327.50	275.50	(52.00) ST		
	Total	210,000		4,803.29	5,785.50	982.21 ST		

Share Price: \$27.550

OCCIDENTAL PETROLEUM CORP DE (OXY)

	1/28/13	92,000	83.910	7,719.71	8,211.92	492.21 ST		
	2/8/13	8,000	88.310	706.48	714.08	7.60 ST		
	2/19/13	10,000	85.910	859.10	892.60	33.50 ST		
	Total	110,000		9,285.29	9,818.60	533.31 ST	281.60	2.86

Share Price: \$89.260; Next Dividend Payable 07/2013

OMNICARE INC (OCR)

	11/16/10	32,000	24.204	774.52	1,400.64	626.12 LT		
	11/19/10	32,000	23.996	767.87	1,400.64	632.77 LT		
	11/23/10	40,000	23.455	938.20	1,750.80	812.60 LT		
	11/24/10	3,000	23.480	70.44	131.31	60.87 LT		
	11/29/10	54,000	23.488	1,268.34	2,363.58	1,095.24 LT		
	12/31/10	25,000	25.417	635.43	1,094.25	458.82 LT		
	1/20/11	51,000	25.403	1,295.55	2,232.27	936.72 LT		
	2/8/13	3,000	39.770	119.31	131.31	12.00 ST		
	2/19/13	10,000	39.340	393.40	437.70	44.30 ST		
	Total	250,000		6,263.06	10,942.50	4,623.14 LT	140.00	1.27

Share Price: \$43.770; Next Dividend Payable 06/2013

OMNICOM GROUP (OMC)

	1/22/09	26,000	25.087	652.26	1,554.02	901.76 LT		
	2/19/09	23,000	25.219	580.04	1,374.71	794.67 LT		
	2/19/09	24,000	25.254	606.10	1,434.48	828.38 LT		
	5/25/10	57,000	36.621	2,087.37	3,406.89	1,319.52 LT		
	9/9/11	24,000	37.974	911.38	1,434.48	523.10 LT		
	9/30/11	22,000	37.378	822.32	1,314.94	492.62 LT		
	10/19/12	26,000	48.943	1,272.53	1,554.02	281.49 ST		
	1/7/13	8,000	51.200	409.60	478.16	68.56 ST		
	1/17/13	3,000	53.290	159.87	179.31	19.44 ST		
	2/8/13	7,000	54.930	384.51	418.39	33.88 ST		
	2/19/13	10,000	57.270	572.70	597.70	25.00 ST		
	Total	230,000		8,458.68	13,747.10	4,860.05 LT	368.00	2.67

Share Price: \$59.770; Next Dividend Payable 07/2013

Account Detail

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EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ORACLE CORP (ORCL)	10/28/10	2,000	28.773	57.55	65.56	8.01 LT		
	4/13/11	56,000	33.680	1,886.07	1,835.68	(50.39) LT		
	6/29/11	55,000	32.542	1,789.83	1,802.90	13.07 LT		
	2/23/12	39,000	28.576	1,114.48	1,278.42	163.94 LT		
	1/7/13	8,000	34.340	274.72	262.24	(12.48) ST		
	1/17/13	6,000	34.710	208.26	196.68	(11.58) ST		
	2/8/13	14,000	34.896	488.55	458.92	(29.63) ST		
	3/25/13	58,000	31.299	1,815.34	1,901.24	85.90 ST		
Total		238,000		7,634.80	7,801.64	134.63 LT 32.21 ST	57.12	0.73

Share Price: \$32.780

OWENS & MINOR INC NEW (OMI)	7/11/07	259,000	23.662	6,128.42	8,435.63	2,307.21 LT		
	9/16/10	40,000	26.555	1,062.20	1,302.80	240.60 LT		
	9/17/10	68,000	26.759	1,819.63	2,214.76	395.13 LT		
Total		367,000		9,010.25	11,953.19	2,942.94 LT	352.32	2.94

Share Price: \$32.570, Next Dividend Payable 06/20/13

PALO ALTO NETWORKS INC (PANW)	11/21/12	5,000	54.992	274.96	270.50	(4.46) ST		
	1/28/13	53,000	57.330	3,038.49	2,867.30	(171.19) ST		
	2/8/13	2,000	54.350	108.70	108.20	(0.50) ST		
	2/19/13	5,000	55.670	278.35	270.50	(7.85) ST		
	3/25/13	6,000	53.867	323.20	324.60	1.40 ST		
Total		71,000		4,023.70	3,841.10	(182.60) ST	—	—

Share Price: \$54.100

PARTNER COMM CO LTD ADR (PTNR)	9/19/12	520,000	5.132	2,668.59	3,603.60	935.01 ST		
	1/7/13	130,000	6.018	782.37	900.90	118.53 ST		
	2/8/13	90,000	5.600	504.00	623.70	119.70 ST		
Total		740,000		3,954.96	5,128.20	1,173.24 ST	269.36	5.25

Share Price: \$6.930

PENTAIR LTD (PNR)	3/15/13	40,000	52.839	2,113.56	2,174.00	60.44 ST		
	3/25/13	29,000	52.318	1,517.23	1,576.15	58.92 ST		
	4/16/13	12,000	52.450	629.40	652.20	22.80 ST		
Total		81,000		4,260.19	4,402.35	142.16 ST	74.52	1.69

Share Price: \$54.350, Next Dividend Payable 05/10/13

PERNOD RICARD SA UNSPONS ADR (PDRDY)	6/21/12	210,000	20.335	4,270.25	5,197.50	927.25 ST		
	1/17/13	3,000	24.697	74.09	74.25	0.16 ST		
	2/8/13	27,000	24.559	663.09	668.25	5.16 ST		

Account Detail

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description

Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
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Share Price: \$24.750

PETROFAC LTD LONDON UNSPON ADR (POFCY)

10/31/11	268,000	11.571	3,101.16	2,795.24	(305.92) LT		
9/25/12	107,000	13.230	1,415.58	1,116.01	(299.57) ST		
1/7/13	5,000	13.300	66.50	52.15	(14.35) ST		
1/17/13	36,000	13.440	483.84	375.48	(108.36) ST		
2/8/13	24,000	12.970	311.28	250.32	(60.96) ST		
2/19/13	20,000	12.450	249.00	208.60	(40.40) ST		
3/15/13	185,000	11.664	2,157.78	1,929.55	(228.23) ST		
Total	645,000		7,785.14	6,727.35	(305.92) LT (751.87) ST	185.76	2.76

Share Price: \$10.430; Next Dividend Payable 06/10/13

Pfizer Inc (PFE)

8/5/09	77,000	15.707	1,209.42	2,238.39	1,028.97 LT		
10/7/09	88,000	16.690	1,468.72	2,558.16	1,089.44 LT		
1/11/09	93,000	17.690	1,645.16	2,703.51	1,058.35 LT		
9/29/10	70,000	17.446	1,221.24	2,034.90	813.66 LT		
1/5/11	100,000	17.961	1,796.08	2,907.00	1,110.92 LT		
2/8/13	27,000	26.836	724.57	784.89	60.32 ST		
2/19/13	10,000	27.630	276.30	290.70	14.40 ST		
Total	465,000		8,341.49	13,517.55	5,101.34 LT 74.72 ST	446.40	3.30

Share Price: \$29.070; Next Dividend Payable 06/20/13

PHILIP MORRIS INTL INC (PM)

1/28/13	64,000	89.076	5,700.86	6,117.76	416.90 ST	217.60	3.55
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PHILLIPS 66 COM (PSX)

5/11/12	23,000	31.856	732.68	1,401.85	669.17 ST		
6/20/12	45,000	34.490	1,552.05	2,742.75	1,190.70 ST		
8/7/12	48,000	40.531	1,945.50	2,925.60	980.10 ST		
1/7/13	4,000	51.498	205.99	243.80	37.81 ST		
1/17/13	4,000	55.100	220.40	243.80	23.40 ST		
1/28/13	34,000	57.494	1,954.79	2,072.30	117.51 ST		
2/8/13	2,000	63.950	127.90	121.90	(6.00) ST		
Total	160,000		6,739.31	9,752.00	3,012.69 ST	200.00	2.05

Share Price: \$60.950; Next Dividend Payable 06/20/13

PNC FINL SVCS GP (PNC)

5/11/11	17,000	63.598	1,081.16	1,153.96	72.80 LT		
8/31/11	41,000	50.482	2,069.76	2,783.08	713.32 LT		
1/28/13	72,000	62.213	4,479.33	4,887.36	408.03 ST		

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EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	1/28/13	34.000	62.213	2,115.24	2,307.92	192.68 ST		
	2/8/13	6.000	63.437	380.62	407.28	26.66 ST		
Total		170.000		10,126.11	11,539.60	786.12 LT 627.37 ST	299.20	2.59

Share Price: \$67.880, Next Dividend Payable 05/05/13

PRECISION CASTPARTS CORP (PCP)								
	9/30/11	2.000	156.203	312.41	382.58	70.17 LT		
	11/21/11	6.000	158.247	949.48	1,147.74	198.26 LT		
	12/15/11	7.000	155.317	1,087.22	1,339.03	251.81 LT		
	6/29/12	5.000	165.302	826.51	956.45	129.94 ST		
	8/15/12	5.000	160.256	801.28	956.45	156.17 ST		
	1/17/13	3.000	185.690	557.07	573.87	16.80 ST		
	2/8/13	2.000	190.400	380.80	382.58	1.78 ST		
	4/8/13	11.000	182.822	2,011.04	2,104.19	93.15 ST		
Total		41.000		6,925.81	7,842.89	520.24 LT 396.84 ST	4.92	0.06

Share Price: \$191.290, Next Dividend Payable 07/20/13

PUBLIC STORAGE (PSA)								
	8/12/09	2.000	73.838	147.68	330.00	182.32 LT		
	8/17/09	1.000	67.870	67.87	165.00	97.13 LT		
	8/19/09	1.000	66.818	66.82	165.00	98.18 LT		
	8/19/09	21.000	66.818	1,403.17	3,465.00	2,061.83 LT		
	8/19/09	1.000	66.818	66.82	165.00	98.18 LT		
	12/10/10	7.000	99.771	698.40	1,155.00	456.60 LT		
	7/12/12	9.000	145.533	1,309.80	1,485.00	175.20 ST		
	8/7/12	1.000	145.910	145.91	165.00	19.09 ST		
	8/7/12	12.000	145.906	1,750.87	1,980.00	229.13 ST		
	1/7/13	1.000	146.230	146.23	165.00	18.77 ST		
	1/7/13	4.000	146.105	584.42	660.00	75.58 ST		
	1/17/13	1.000	148.610	148.61	165.00	16.39 ST		
	1/17/13	3.000	148.670	446.01	495.00	48.99 ST		
	2/8/13	4.000	156.920	627.68	660.00	32.32 ST		
	2/8/13	2.000	156.920	313.84	330.00	16.16 ST		
Total		70.000		7,924.13	11,550.00	2,994.24 LT 631.63 ST	350.00	3.03

Share Price: \$165.000, Next Dividend Payable 06/20/13

PUBLICIS GROUPE SA ADS (PUBG)								
	4/5/13	240.000	17.538	4,209.14	4,180.80	(28.34) ST		
Total							54.96	1.31

Share Price: \$17.420



CLIENT STATEMENT | For the Period April 1-30, 2013

Account Detail

EARL L CARTER

Sale

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
QUALCOMM INC (QCOM)	9/30/10	79,000	45.286	3,577.57	4,866.40	1,288.83 LT		
	6/3/11	29,000	57.794	1,676.03	1,786.40	110.37 LT		
	1/27/12	24,000	57.885	1,389.25	1,478.40	89.15 LT		
	12/19/12	34,000	62.813	2,135.63	2,094.40	(41.23) ST		
	1/7/13	8,000	63.930	511.44	492.80	(18.64) ST		
	1/17/13	7,000	65.170	456.19	431.20	(24.99) ST		
	2/8/13	23,000	67.080	1,542.84	1,416.80	(126.04) ST		
	2/19/13	6,000	65.510	393.06	369.60	(23.46) ST		
	4/19/13	10,000	63.925	639.25	616.00	(23.25) ST		
Total		220,000		12,321.26	13,552.00	1,488.35 LT (257.61) ST	308.00	2.27

Share Price: \$61.600; Next Dividend Payable 06/20/13

QUESTAR CORP (STR)

	5/21/10	20,000	14.279	285.57	507.80	222.23 LT		
	8/2/10	22,000	16.827	370.20	558.58	188.38 LT		
	9/20/10	59,000	17.339	1,022.98	1,498.01	475.03 LT		
	3/1/11	62,000	17.741	1,099.94	1,574.18	474.24 LT		
	7/29/11	16,000	18.568	297.09	406.24	109.15 LT		
	1/24/12	106,000	19.478	2,064.65	2,691.34	626.69 LT		
	1/28/13	125,000	23.108	2,888.46	3,173.75	285.29 ST		
	2/8/13	20,000	23.610	472.20	507.80	35.60 ST		
	2/19/13	10,000	23.650	236.50	253.90	17.40 ST		
Total		440,000		8,737.59	11,171.60	2,095.72 LT 338.29 ST	299.20	2.67

Share Price: \$25.390; Next Dividend Payable 06/20/13

RALPH LAUREN CORP CL A (RL)

	9/27/11	9,000	150.445	1,354.01	1,634.22	280.21 LT		
	11/7/11	9,000	157.219	1,414.97	1,634.22	219.25 LT		
	12/9/11	6,000	147.527	885.16	1,089.48	204.32 LT		
	1/7/13	1,000	161.040	161.04	181.58	20.54 ST		
	1/17/13	1,000	168.340	168.34	181.58	13.24 ST		
	2/8/13	4,000	177.240	708.96	726.32	17.36 ST		
Total		30,000		4,692.48	5,447.40	703.78 LT 51.14 ST	48.00	0.88

Share Price: \$181.580; Next Dividend Payable 07/20/13

RAYONIER INCORPORATED (RYN)

	12/12/11	53,000	41.003	2,173.14	3,149.26	976.12 LT		
	1/7/13	2,000	53.285	106.57	118.84	12.27 ST		
	1/17/13	3,000	54.560	163.68	178.26	14.58 ST		

Account Detail

Select U

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
	2/8/13	7.000	54.476	381.33	415.94	34.61 ST		
	Total	65.000		2,824.72	3,862.30	976.12 LT 61.46 ST	114.40	2.96

Share Price: \$59.420; Next Dividend Payable 06/20/13

REALTY INCOME CORP (O)

Share Price: \$50.970; Next Dividend Payable 05/15/13

	7/11/07	66.000	23.303	1,538.02	3,364.02	1,826.00 LT R	143.55	4.26
	11/16/09	26.000	32.070	833.81	1,212.12	378.31 LT		
	3/25/10	18.000	31.361	564.49	839.16	274.67 LT		
	8/8/11	42.000	31.084	1,305.54	1,958.04	652.50 LT		
	1/7/13	4.000	41.890	167.56	186.48	18.92 ST		
	2/8/13	10.000	43.920	439.20	466.20	27.00 ST		
	4/2/13	78.000	47.953	3,740.30	3,636.36	(103.94) ST		
	Total	178.000		7,050.90	8,298.36	1,305.48 LT (58.02) ST	249.02	3.00

Share Price: \$46.620

REGIONS FINANCIAL CORP NEW (RF)

	2/23/12	390.000	5.747	2,241.41	3,311.10	1,069.69 LT		
	3/20/12	170.000	6.420	1,091.38	1,443.30	351.92 LT		
	10/31/12	150.000	6.513	976.92	1,273.50	296.58 ST		
	1/7/13	70.000	7.458	522.04	594.30	72.26 ST		
	1/17/13	22.000	7.539	165.85	186.78	20.93 ST		
	2/8/13	58.000	7.928	459.82	492.42	32.60 ST		
	Total	860.000		5,457.42	7,301.40	1,421.61 LT 422.37 ST	103.20	1.41

Share Price: \$8.490; Next Dividend Payable 07/20/13

REX ENERGY CORP (REXX)

	6/3/11	18.000	11.938	214.88	289.26	74.38 LT		
	6/10/11	52.000	11.670	606.83	835.64	228.81 LT		
	6/13/11	54.000	11.358	613.33	867.78	254.45 LT		
	1/7/13	6.000	13.330	79.98	96.42	16.44 ST		
	1/17/13	5.000	13.780	68.90	80.35	11.45 ST		
	1/29/13	15.000	13.520	202.80	241.05	38.25 ST		
	Total	150.000		1,786.72	2,410.50	557.64 LT 66.14 ST	—	—

Share Price: \$16.070

RIO TINTO PLC SPON ADR (RIO)

	12/18/12	56.000	58.041	3,250.31	2,579.36	(670.95) ST		
	1/7/13	4.000	57.710	230.84	184.24	(46.60) ST		
	1/17/13	1.000	54.780	54.78	46.06	(8.72) ST		

Account Detail

Select

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
	2/8/13	4.000	57.650	230.60	184.24	(46.36) ST		
	2/19/13	5.000	57.320	286.60	230.30	(56.30) ST		
	Total	70.000		4,053.13	3,224.20	(828.93) ST	115.92	3.59

Share Price: \$46.060

RLI CORP (RLI)	8/3/09	20.000	49.655	993.10	1,437.00	443.90 LT		
	9/4/09	85.000	51.982	4,418.48	6,107.25	1,688.77 LT		
	6/17/11	32.000	59.972	1,919.10	2,299.20	380.10 LT		
	6/23/11	34.000	59.861	2,035.29	2,442.90	407.61 LT		
	Total	171.000		9,365.97	12,286.35	2,920.38 LT	218.88	1.78

Share Price: \$71.850; Next Dividend Payable 06/20/13

ROCHE HOLDINGS ADR (RHHBY)	10/21/08	52.000	37.693	1,960.04	3,245.84	1,285.80 LT		
	11/21/08	28.000	31.914	893.60	1,747.76	854.16 LT		
	11/25/08	30.000	34.312	1,029.36	1,872.60	843.24 LT		
	4/26/10	35.000	40.277	1,409.70	2,184.70	775.00 LT		
	7/23/10	27.000	32.243	870.57	1,685.34	814.77 LT		
	1/27/12	18.000	43.130	776.34	1,123.56	347.22 LT		
	1/7/13	10.000	51.590	515.90	624.20	108.30 ST		
	1/17/13	6.000	54.220	325.32	374.52	49.20 ST		
	1/28/13	16.000	54.643	874.28	998.72	124.44 ST		
	1/28/13	73.000	54.642	3,988.88	4,556.66	567.78 ST		
	2/8/13	1.000	55.050	55.05	62.42	7.37 ST		
	2/8/13	4.000	54.990	219.96	249.68	29.72 ST		
	2/19/13	3.000	57.230	171.69	187.26	15.57 ST		
	2/19/13	7.000	57.230	400.61	436.94	36.33 ST		
	4/19/13	12.000	61.273	735.28	749.04	13.76 ST		
	4/19/13	63.000	61.274	3,860.24	3,932.46	72.22 ST		
	Total	385.000		18,086.82	24,031.70	4,920.19 LT	625.24	2.60

Share Price: \$62.420

SABMILLER PLC SPONS ADR (SBMRY)	2/7/13	118.000	50.310	5,936.56	6,383.80	447.24 ST		
	2/8/13	2.000	50.530	101.06	108.20	7.14 ST		
	2/19/13	10.000	50.330	503.30	541.00	37.70 ST		
	Total	130.000		6,540.92	7,033.00	492.08 ST	117.91	1.67

Share Price: \$54.100

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EARL L CARTER

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
SAFRAN SA (SAFRY)								
	10/15/12	64.000	38.494	2,463.62	3,129.60	665.98 ST		
	1/7/13	1.000	43.310	43.31	48.90	5.59 ST		
	1/17/13	5.000	45.290	226.45	244.50	18.05 ST		
Total		70.000		2,733.38	3,423.00	689.62 ST	68.53	2.00
SAIC, INC. (SAI)								
	1/28/13	178.000	12.077	2,149.65	2,659.32	509.67 ST		
	2/8/13	12.000	12.110	145.32	179.28	33.96 ST		
Total		190.000		2,294.97	2,838.60	543.63 ST	91.20	3.21
Share Price: \$48.900								
Share Price: \$14.940, Next Dividend Payable 07/20/13								
SALESFORCE.COM, INC. (CRM)								
	1/14/10	28.000	17.388	486.86	1,151.08	664.22 LT		
	10/12/10	40.000	25.650	1,025.98	1,644.40	618.42 LT		
	11/23/11	28.000	26.561	743.72	1,151.08	407.36 LT		
	3/19/12	8.000	37.551	300.41	328.88	28.47 LT		
	1/17/13	4.000	43.063	172.25	164.44	(7.81) ST		
	1/29/13	12.000	43.718	524.61	493.32	(31.29) ST		
Total		120.000		3,253.83	4,933.20	1,718.47 LT	—	—
						(39.10) ST		
Share Price: \$41.110								
SANOFI ADR (SNY)								
	2/11/13	86.000	48.151	4,140.99	4,588.10	447.11 ST		
	2/14/13	24.000	48.497	1,163.92	1,280.40	116.48 ST		
	3/11/13	42.000	49.366	2,073.36	2,240.70	167.34 ST		
	3/25/13	146.000	50.110	7,316.00	7,789.10	473.10 ST		
	3/25/13	2.000	50.110	100.22	106.70	6.48 ST		
	4/19/13	28.000	53.026	1,484.74	1,493.80	9.06 ST		
	4/19/13	2.000	53.025	106.05	106.70	0.65 ST		
Total		330.000		16,385.28	17,605.50	1,220.22 ST	430.32	2.44
Share Price: \$53.350								
SAP AG (SAP)								
	7/11/07	50.000	50.207	2,510.36	3,992.50	1,482.14 LT		
	10/16/08	12.000	34.963	419.56	958.20	538.64 LT		
	1/7/13	3.000	79.750	239.25	239.55	0.30 ST		
	1/17/13	7.000	77.540	542.78	558.95	16.17 ST		
	2/8/13	3.000	79.960	239.88	239.55	(0.33) ST		
	2/19/13	5.000	80.380	401.90	399.25	(2.65) ST		
Total		80.000		4,353.73	6,388.00	2,020.78 LT	54.00	0.84
						13.49 ST		
Share Price: \$79.850								

Morgan Stanley

Account Detail

Sale

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
SBERBANK RUSSIA SPONSORED ADR (SBRBY)	7/27/12	206,000	11.109	2,288.50	2,649.16	360.66 ST		
	9/18/12	199,000	12.373	2,462.23	2,559.14	96.91 ST		
	1/7/13	15,000	13.060	195.90	192.90	(3.00) ST		
	1/17/13	7,000	13.510	94.57	90.02	(4.55) ST		
	2/8/13	33,000	14.320	472.56	424.38	(48.18) ST		
	2/19/13	10,000	14.550	145.50	128.60	(16.90) ST		
Total		470,000		5,659.26	6,044.20	384.94 ST	91.18	1.50

Share Price: \$12.860

SCHLUMBERGER LTD (SLB)	10/28/10	19,000	69.446	1,319.48	1,414.17	94.69 LT		
	10/28/10	3,000	69.446	208.34	223.29	14.95 LT		
	3/1/12	4,000	78.650	314.60	297.72	(16.88) LT		
	6/28/12	16,000	61.890	990.24	1,190.88	200.64 ST		
	1/28/13	102,000	79.347	8,093.39	7,591.86	(501.53) ST		
	1/28/13	30,000	79.340	2,380.20	2,232.90	(147.30) ST		
	2/8/13	4,000	78.920	315.68	297.72	(17.96) ST		
	2/8/13	2,000	78.940	157.88	148.86	(9.02) ST		
	2/19/13	8,000	79.970	639.76	595.44	(44.32) ST		
	2/19/13	2,000	80.000	160.00	148.86	(11.14) ST		
	4/19/13	18,000	69.605	1,252.89	1,339.74	86.85 ST		
	4/19/13	2,000	69.605	139.21	148.86	9.65 ST		
Total		210,000		15,971.67	15,630.30	92.76 LT (434.13) ST	262.50	1.67

Share Price: \$74.430, Next Dividend Payable 07/2013

SCHNEIDER ELEC SA UNSP ADR (SBGAY)	9/16/09	130,000	10.511	1,366.49	1,904.50	538.01 LT		
	2/1/10	55,000	10.503	577.67	805.75	228.06 LT		
	4/13/10	94,000	11.949	1,123.17	1,377.10	253.93 LT		
	9/24/10	59,000	12.550	740.45	864.35	123.90 LT		
	9/16/11	112,000	11.650	1,304.80	1,640.80	336.00 LT		
	1/17/13	20,000	14.729	294.58	293.00	(1.58) ST		
	2/8/13	50,000	14.649	732.46	732.50	0.04 ST		
	2/19/13	10,000	14.919	149.19	146.50	(2.69) ST		
	4/5/13	185,000	14.773	2,732.94	2,710.25	(22.69) ST		
Total		715,000		9,021.75	10,474.75	1,479.92 LT (26.92) ST	504.08	4.81

Share Price: \$14.650

Account Detail

Select

SECURITIES REALIZED GAINS/LOSSES ARE
CALCULATED AND THE GAIN/LOSS IS AT A
TIME WHEN THE SECURITY IS SOLD OR
RECEIVED AND SHOULD BE USED FOR
TAX AND FINANCIAL REPORTING PURPOSES.

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
SEMPRA ENERGY (SRE)								
	1/27/12	75,000	57.784	4,333.79	6,213.75	1,879.96 LT		
	1/7/13	5,000	72.000	360.00	414.25	54.25 ST		
	1/17/13	1,000	72.830	72.83	82.85	10.02 ST		
	2/8/13	9,000	75.920	683.28	745.65	62.37 ST		
Total		90,000		5,449.90	7,456.50	1,879.96 LT 126.64 ST	226.80	3.04

Share Price: \$82.850, Next Dividend Payable 07/2013

SENIOR HSG PPTY TR SBI (SNH)	12/12/11	68,000	20.749	1,410.91	1,933.24	522.33 LT R		
	1/7/13	2,000	24.460	48.92	56.86	7.94 ST		
	1/17/13	6,000	24.388	146.33	170.58	24.25 ST		
	2/8/13	9,000	24.426	219.83	255.87	36.04 ST		
Total		85,000		1,825.99	2,416.55	522.33 LT 68.23 ST	132.60	5.48

Share Price: \$28.430, Next Dividend Payable 05/21/13

SGS SA ADR (SGSOY)	8/19/09	95,000	12.122	1,151.59	2,300.90	1,149.31 LT		
	9/1/09	50,000	12.511	625.56	1,211.00	585.44 LT		
	11/12/09	62,000	12.767	791.56	1,501.64	710.08 LT		
	12/16/09	106,000	12.846	1,361.69	2,567.32	1,205.63 LT		
	1/7/13	57,000	22.390	1,276.23	1,380.54	104.31 ST		
	1/17/13	20,000	22.450	449.00	484.40	35.40 ST		
	2/8/13	20,000	24.690	493.80	484.40	(9.40) ST		
	2/19/13	20,000	25.250	505.00	484.40	(20.60) ST		
Total		430,000		6,654.43	10,414.60	3,650.46 LT 109.71 ST	71.81	0.68

Share Price: \$24.220

SHINHAN FINANCIAL GROUP CO LTD (SHG)	1/27/12	8,000	40.037	320.29	274.32	(45.97) LT		
	1/28/13	96,000	38.610	3,706.56	3,291.84	(414.72) ST		
	2/8/13	6,000	36.600	219.60	205.74	(13.86) ST		
Total		110,000		4,246.45	3,771.90	(45.97) LT (428.58) ST	50.82	1.34

Share Price: \$34.290

SILVER WHEATON CORP (SLW)	1/12/10	64,000	16.991	1,087.42	1,569.92	482.50 LT		
	3/23/10	45,000	15.744	708.48	1,103.85	395.37 LT		
	1/28/13	67,000	34.018	2,279.19	1,643.51	(635.68) ST		
	2/8/13	14,000	37.037	518.52	343.42	(175.10) ST		
	2/19/13	10,000	34.570	345.70	245.30	(100.40) ST		

Morgan Stanley

Account Detail

Select

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		200.000		4,939.31	4,906.00	877.87 LT (911.18) ST	112.00	2.28

Share Price: \$24.530; Next Dividend Payable 07/2013

SIMON PTY GROUP INC (SPG)

7/11/07	4.000	102.770	411.08	712.28	301.20 LT
7/11/07	3.000	102.770	308.31	534.21	225.90 LT
7/11/07	1.000	102.770	102.77	178.07	75.30 LT
2/6/08	1.000	86.200	86.20	178.07	91.87 LT
3/17/09	1.000	33.780	33.78	178.07	144.29 LT
8/3/09	1.000	56.480	56.48	178.07	121.59 LT
8/6/09	3.000	56.783	170.35	534.21	363.86 LT
8/7/09	2.000	65.955	131.91	356.14	224.23 LT
1/27/12	7.000	136.629	956.40	1,246.49	290.09 LT
1/27/12	6.000	136.629	819.77	1,068.42	248.65 LT
1/27/12	6.000	136.629	819.77	1,068.42	248.65 LT
1/27/12	5.000	136.629	683.15	890.35	207.20 LT
1/27/12	9.000	136.629	1,229.66	1,602.63	372.97 LT
1/27/12	1.000	136.629	136.63	178.07	41.44 LT
3/12/12	3.000	137.913	413.74	534.21	120.47 LT
3/12/12	30.000	137.913	4,137.38	5,342.10	1,204.72 LT
3/12/12	3.000	137.913	413.74	534.21	120.47 LT
3/16/12	16.000	141.467	2,263.47	2,849.12	585.65 LT
3/16/12	2.000	141.465	282.93	356.14	73.21 LT
1/7/13	3.000	159.680	479.04	534.21	55.17 ST
1/7/13	3.000	159.620	478.86	534.21	55.35 ST
1/17/13	4.000	160.260	641.04	712.28	71.24 ST
1/17/13	3.000	160.260	480.78	534.21	53.43 ST
2/8/13	6.000	162.270	973.62	1,068.42	94.80 ST
2/8/13	7.000	162.250	1,135.75	1,246.49	110.74 ST
Total	130.000		17,646.61	23,149.10	5,061.76 LT
					598.00
					2.58

Share Price: \$178.070; Next Dividend Payable 05/2013

SOCIEDAD QUIMICA Y MINERA ADS (SQM)

8/21/08	2.000	36.905	73.81	98.98	25.17 LT
9/9/08	36.000	28.688	1,032.78	1,781.64	748.86 LT
10/16/08	17.000	17.182	292.09	841.33	549.24 LT
1/27/12	8.000	58.590	468.72	395.92	(72.80) LT
1/7/13	2.000	57.870	115.74	98.98	(16.76) ST

Account Detail

Select

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
	1/17/13	3,000	57.510	172.53	148.47	(24.06) ST		
	2/8/13	7,000	57.770	404.39	346.43	(57.96) ST		
Total		75,000		2,560.06	3,711.75	1,250.47 LT (98.78) ST	72.23	1.94

Share Price: \$49.490

SODEXO (SDXAY)

	5/26/09	35,000	50.367	1,762.86	2,927.75	1,164.89 LT		
	11/13/09	23,000	58.313	1,341.21	1,923.95	582.74 LT		
	3/18/11	6,000	68.617	411.70	501.90	90.20 LT		
	1/27/12	10,000	72.560	725.60	836.50	110.90 LT		
	12/13/12	4,000	84.218	336.87	334.60	(2.27) ST		
	1/7/13	2,000	84.665	169.33	167.30	(2.03) ST		
	1/17/13	4,000	86.170	344.68	334.60	(10.08) ST		
	2/8/13	11,000	90.681	997.49	920.15	(77.34) ST		
Total		95,000		6,089.74	7,946.75	1,948.73 LT (91.72) ST	172.81	2.17

Share Price: \$83.650

SOFTBANK CORP UNSPONS ADR (SFTBY)

	4/3/12	85,000	14.656	1,245.75	2,116.50	870.75 LT		
	5/9/12	93,000	15.217	1,415.18	2,315.70	900.52 ST		
	1/17/13	3,000	17.010	51.03	74.70	23.67 ST		
	2/7/13	27,000	18.490	499.23	672.30	173.07 ST		
	2/8/13	10,000	18.260	182.60	249.00	66.40 ST		
Total		218,000		3,393.79	5,428.20	870.75 LT 1,163.66 ST	67.14	1.23

Share Price: \$24.900

SPLUNK INC (SPLK)

	1/31/13	58,000	33.768	1,958.57	2,366.40	407.83 ST		
	2/8/13	2,000	33.230	66.46	81.60	15.14 ST		
Total		60,000		2,025.03	2,448.00	422.97 ST		

Share Price: \$40.800

STANCORP FINCL GP INC (SFG)

	1/28/13	35,000	39.670	1,388.45	1,511.30	122.85 ST		
	2/8/13	2,000	38.490	76.98	86.36	9.38 ST		
Total		37,000		1,465.43	1,597.66	132.23 ST	34.41	2.15

Share Price: \$43.180, Next Dividend Payable 12/2013

STARBUCKS CORP WASHINGTON (SBUX)

	6/29/10	11,000	25.358	278.94	669.24	390.30 LT		
	8/15/11	69,000	38.423	2,651.20	4,197.96	1,546.76 LT		
	5/14/12	24,000	54.152	1,299.64	1,460.16	160.52 ST		
	5/18/12	14,000	52.384	733.38	851.76	118.38 ST		

Account Detail

Select

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	8/14/12	22,000	46.660	1,026.52	1,338.48	311.96 ST		
	1/7/13	10,000	55.580	555.80	608.40	52.60 ST		
	2/8/13	10,000	56.320	563.20	608.40	45.20 ST		
	2/19/13	10,000	54.460	544.60	608.40	63.80 ST		
Total		170,000		7,653.28	10,342.80	1,937.06 LT 752.46 ST	142.80	1.38

Share Price: \$60.840; Next Dividend Payable 05/20/13

STARWOOD HTLS & RSTS WW INC (HOT)	7/23/10	7,000	47.376	331.63	451.64	120.01 LT		
	5/3/11	6,000	58.230	349.38	387.12	37.74 LT		
	5/5/11	11,000	58.436	642.80	709.72	66.92 LT		
	9/14/11	10,000	42.624	426.24	645.20	218.96 LT		
	12/20/11	17,000	46.651	793.06	1,096.84	303.78 LT		
	4/10/12	25,000	53.668	1,341.70	1,613.00	271.30 LT		
	6/12/12	26,000	50.517	1,313.43	1,677.52	364.09 ST		
	1/7/13	8,000	59.770	478.16	516.16	38.00 ST		
	1/17/13	1,000	60.260	60.26	64.52	4.26 ST		
	2/8/13	9,000	62.470	562.23	580.68	18.45 ST		
	2/19/13	10,000	61.470	614.70	645.20	30.50 ST		
Total		130,000		6,913.59	8,387.60	1,018.71 LT 455.30 ST	162.50	1.93

Share Price: \$64.520; Next Dividend Payable 12/20/13

STATE STREET CORP (STT)	1/27/12	42,000	38.672	1,624.22	2,455.74	831.52 LT		
	8/29/12	27,000	41.346	1,116.35	1,578.69	462.34 ST		
	1/7/13	1,000	49.310	49.31	58.47	9.16 ST		
	1/17/13	3,000	50.470	151.41	175.41	24.00 ST		
	1/29/13	7,000	56.090	392.63	409.29	16.66 ST		
	2/19/13	5,000	57.460	287.30	292.35	5.05 ST		
Total		85,000		3,621.22	4,969.95	831.52 LT 517.21 ST	88.40	1.77

Share Price: \$58.470; Next Dividend Payable 07/20/13

STRATASYS LTD SHS (SSYS)	9/12/12	14,000	73.010	1,022.14	1,162.70	140.56 ST		
	9/19/12	6,000	73.010	438.06	498.30	60.24 ST		
	9/25/12	5,000	73.010	365.05	415.25	50.20 ST		
	11/5/12	29,000	73.010	2,117.29	2,408.45	291.16 ST		
	1/17/13	4,000	86.370	345.48	332.20	(13.28) ST		
	2/8/13	2,000	82.360	164.72	166.10	1.38 ST		

Account Detail

Select

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
	2/14/13	24,000	71.438	1,714.51	1,993.20	278.69 ST		
	2/19/13	1,000	69.650	69.65	83.05	13.40 ST		
	2/22/13	27,000	67.903	1,833.39	2,242.35	408.96 ST		
Total		112,000		8,070.29	9,301.60	1,231.31 ST		

Share Price: \$83.050

STRYKER CORP (SYK)

	3/25/13	59,000	64.578	3,810.13	3,869.22	59.09 ST		
	4/19/13	29,000	64.233	1,862.77	1,901.82	39.05 ST		
Total		88,000		5,672.90	5,771.04	98.14 ST	93.28	1.61

Share Price: \$65.580; Next Dividend Payable 07/20/13

SUBSEA 7 S.A. SPONSORED ADR (SUBCY)

	5/23/11	90,000	24.605	2,214.49	1,933.20	(281.29) LT		
	6/30/11	27,000	25.785	696.20	579.96	(116.24) LT		
	8/9/11	52,000	20.623	1,072.39	1,116.96	44.57 LT		
	1/27/12	19,000	20.630	391.97	408.12	16.15 LT		
	1/7/13	2,000	24.220	48.44	42.96	(5.48) ST		
	1/17/13	15,000	24.640	369.60	322.20	(47.40) ST		
	2/8/13	15,000	23.960	359.40	322.20	(37.20) ST		
Total		220,000		5,152.49	4,725.60	(336.81) LT	127.60	2.70
						(90.08) ST		

Share Price: \$21.480

SVENSKA CELLULOSE B SP ADR (SVCBY)

	10/15/12	136,000	17.883	2,432.09	3,542.26	1,110.17 ST		
	1/7/13	4,000	21.650	86.60	104.18	17.58 ST		
	1/17/13	6,000	23.060	138.36	156.28	17.92 ST		
	1/29/13	14,000	24.140	337.96	364.64	26.68 ST		
Total		160,000		2,995.01	4,167.36	1,172.35 ST	89.60	2.15

Share Price: \$26.046

SYMANTEC CORP (SYMC)

	6/20/12	76,000	14.911	1,133.21	1,846.80	713.59 ST		
	6/25/12	154,000	14.045	2,162.99	3,742.20	1,579.21 ST		
	1/7/13	20,000	19.570	391.40	486.00	94.60 ST		
	1/17/13	7,000	20.960	146.72	170.10	23.38 ST		
	2/8/13	13,000	22.660	294.58	315.90	21.32 ST		
	2/19/13	10,000	22.600	226.00	243.00	17.00 ST		
Total		280,000		4,354.90	6,804.00	2,449.10 ST		

Share Price: \$24.300

SYNTEL INC (SYNT)

	7/11/07	137,000	30.106	4,124.47	8,654.29	4,529.82 LT R		
	3/7/08	66,000	23.104	1,524.86	4,169.22	2,644.36 LT R		

Account Detail

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description

Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
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Total	203.000		5,649.33	12,823.51	7,174.18 LT		
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Share Price: \$63.170

TANGER FACTORY OUTLET CENTERS (SKT)

8/12/09	10.000	18.194	181.94	371.20	189.26 LT		
8/14/09	10.000	17.540	175.40	371.20	195.80 LT		
8/17/09	2.000	16.805	33.61	74.24	40.63 LT		
8/19/09	34.000	16.898	574.53	1,262.08	687.55 LT		
12/12/11	21.000	28.009	588.18	779.52	191.34 LT R		
2/8/13	8.000	35.948	287.58	296.96	9.38 ST		
Total	85.000		1,841.24	3,155.20	1,304.58 LT 9.38 ST	76.50	2.42

Share Price: \$37.120; Next Dividend Payable 05/15/13

TARGET CORPORATION (TGT)

11/2/11	34.000	53.415	1,816.12	2,399.04	582.92 LT		
2/28/12	21.000	55.631	1,168.26	1,481.76	313.50 LT		
6/1/12	16.000	57.360	917.76	1,128.96	211.20 ST		
1/7/13	4.000	61.388	245.55	282.24	36.69 ST		
2/8/13	5.000	62.450	312.25	352.80	40.55 ST		
Total	80.000		4,459.94	5,644.80	896.42 LT 288.44 ST	115.20	2.04

Share Price: \$70.560; Next Dividend Payable 06/20/13

THERMO FISHER SCIENTIFIC INC (TMO)

8/17/11	18.000	54.428	979.70	1,452.24	472.54 LT		
9/7/11	27.000	53.909	1,455.54	2,178.36	722.82 LT		
1/28/13	24.000	70.846	1,700.31	1,936.32	236.01 ST		
2/19/13	1.000	74.860	74.86	80.68	5.82 ST		
Total	70.000		4,210.41	5,647.60	1,195.36 LT 241.83 ST	42.00	0.74

Share Price: \$80.680; Next Dividend Payable 07/20/13

THOR INDUSTRIES INC (THO)

1/28/13	98.000	43.488	4,261.87	3,634.82	(627.05) ST		
2/7/13	12.000	37.930	455.16	445.08	(10.08) ST		
2/19/13	10.000	37.980	379.80	370.90	(8.90) ST		
Total	120.000		5,096.83	4,450.80	(646.03) ST	86.40	1.94

Share Price: \$37.090; Next Dividend Payable 07/20/13

TOYOTA MOTOR CP ADR NEW (TM)

2/7/12	33.000	79.543	2,624.93	3,837.90	1,212.97 LT		
2/24/12	19.000	84.637	1,608.11	2,209.70	601.59 LT		
1/17/13	1.000	96.840	96.84	116.30	19.46 ST		
2/8/13	7.000	104.450	731.15	814.10	82.95 ST		

Account Detail

Select

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
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Total		60,000		5,061.03	6,978.00	1,814.56 LT 102.41 ST	82.50	1.18
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Share Price: \$116.300

TRAVELERS COMPANIES INC COM (TRV)	11/4/09	24,000	51.193	1,228.63	2,049.84	821.21 LT		
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	21/01/10	30,000	49.604	1,488.11	2,562.30	1,074.19 LT		
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	2/9/11	31,000	58.623	1,817.30	2,647.71	830.41 LT		
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	21/3/12	23,000	59.100	1,359.30	1,964.43	605.13 LT		
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	2/8/13	12,000	78.590	943.08	1,024.92	81.84 ST		
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Total		120,000		6,836.42	10,249.20	3,330.94 LT 81.84 ST	240.00	2.34
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Share Price: \$85.410; Next Dividend Payable 06/2013

TRIMBLE NAV LTD (TRMB)	4/22/13	53,000	27.339	1,448.98	1,523.22	74.24 ST		
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	4/25/13	44,000	28.704	1,262.96	1,264.56	1.60 ST		
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Total		97,000		2,711.94	2,787.78	75.84 ST		
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Share Price: \$28.740

TULLOW OIL PLC ADR (TUWO)	1/28/13	400,000	9.210	3,684.00	3,108.00	(576.00) ST		
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	21/9/13	20,000	9.650	193.00	155.40	(37.60) ST		
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Total		420,000		3,877.00	3,263.40	(613.60) ST	28.98	0.88
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Share Price: \$7.770; Next Dividend Payable 05/31/13

TURKIYE GARANTI BANKASI A S (TKGBV)	4/15/13	795,000	5.413	4,303.49	4,459.95	156.46 ST	45.32	1.01
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Share Price: \$5.610

U S BANCORP COM NEW (USB)	1/27/12	113,000	27.976	3,161.25	3,760.64	599.39 LT		
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	1/17/13	5,000	33.000	165.00	166.40	1.40 ST		
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	2/8/13	12,000	33.650	403.80	399.36	(4.44) ST		
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Total		130,000		3,730.05	4,326.40	599.39 LT (3.04) ST	101.40	2.34
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Share Price: \$33.280; Next Dividend Payable 07/2013

UNICHARM CORP UNSPON ADR (UNICY)	4/30/13	350,000	12.996	4,548.67	4,550.00	1.33 ST	69.65	1.53
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Share Price: \$13.000

UNILEVER NV NY SH NEW (UN)	2/6/09	23,000	21.741	500.04	977.04	477.00 LT		
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	3/24/09	26,000	19.653	510.98	1,104.48	593.50 LT		
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	1/27/12	90,000	33.315	2,998.31	3,823.20	824.89 LT		
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	1/27/12	17,000	33.315	566.35	722.16	155.81 LT		
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	1/7/13	5,000	38.180	190.90	212.40	21.50 ST		
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	1/7/13	9,000	38.190	343.71	382.32	38.61 ST		
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	1/17/13	5,000	38.780	193.90	212.40	18.50 ST		
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Account Detail

Select 1

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
	1/17/13	13.000	38.780	504.14	552.24	48.10 ST		
	2/8/13	10.000	39.090	390.90	424.80	33.90 ST		
	2/8/13	12.000	39.098	469.18	509.76	40.58 ST		
Total		210.000		6,668.41	8,920.80	2,051.20 LT 201.19 ST	228.90	2.56

Share Price: \$42.480

UNION PACIFIC CORP (UNP)								
	1/27/12	56.000	114.083	6,388.65	8,285.76	1,897.11 LT		
	1/17/13	2.000	131.630	263.26	295.92	32.66 ST		
	2/8/13	2.000	132.720	265.44	295.92	30.48 ST		
	2/19/13	5.000	137.350	686.75	739.80	53.05 ST		
Total		65.000		7,604.10	9,617.40	1,897.11 LT 116.19 ST	179.40	1.86

Share Price: \$147.960; Next Dividend Payable 07/20/13

UNITED TECHNOLOGIES CORP (UTX)								
	7/12/07	10.000	73.520	735.20	912.90	N/A		
	12/12/07	1.000	77.110	77.11	91.29	14.18 LT		
	4/7/08	1.000	72.430	72.43	91.29	18.86 LT		
	12/15/10	23.000	79.200	1,821.59	2,099.67	278.08 LT		
	4/18/12	13.000	81.349	1,057.54	1,186.77	129.23 LT		
	1/17/13	2.000	86.490	172.98	182.58	9.60 ST		
	2/8/13	4.000	90.070	360.28	365.16	4.88 ST		
	3/25/13	16.000	92.533	1,480.53	1,460.64	(19.89) ST		
Total		71.000		5,115.98	6,481.59	458.12 LT (5.41) ST	151.94	2.34

Share Price: \$91.290; Next Dividend Payable 06/20/13

UNIVERSAL TECHNICAL INSTIT (UTI)								
	5/21/08	27.000	11.999	323.98	320.49	(3.49) LT		
	2/12/09	38.000	11.716	445.19	451.06	5.87 LT		
	2/19/09	36.000	11.576	416.73	427.32	10.59 LT		
	2/20/09	24.000	11.636	279.27	284.88	5.61 LT		
	1/28/13	33.000	11.140	367.62	391.71	24.09 ST		
	2/8/13	2.000	11.550	23.10	23.74	0.64 ST		
Total		160.000		1,855.89	1,899.20	18.58 LT 24.73 ST	64.00	3.36

Share Price: \$11.870; Next Dividend Payable 06/20/13

Account Detail

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
UTD OVERSEAS BK LTD SPON ADR (UOVEY)	1/20/12	51,000	26.804	1,366.99	1,780.92	413.93 LT		
	4/18/12	50,000	29.786	1,489.28	1,746.00	256.72 LT		
	1/7/13	5,000	31.950	159.75	174.60	14.85 ST		
	1/17/13	4,000	31.090	124.36	139.68	15.32 ST		
	2/8/13	6,000	30.980	185.88	209.52	23.64 ST		
	2/19/13	10,000	31.500	315.00	349.20	34.20 ST		
Total		126,000		3,641.26	4,399.92	670.65 LT 88.01 ST	122.72	2.78

Share Price: \$34.920

UTI WORLDWIDE INC (UTIW)

	10/16/12	84,000	13.548	1,138.07	1,233.96	95.89 ST		
	11/8/12	80,000	13.754	1,100.32	1,175.20	74.88 ST		
	11/14/12	48,000	13.222	634.65	705.12	70.47 ST		
	11/15/12	36,000	13.068	470.45	528.84	58.39 ST		
	12/7/12	77,000	12.530	964.80	1,131.13	166.33 ST		
	1/7/13	15,000	13.570	203.55	220.35	16.80 ST		
	1/17/13	13,000	14.720	191.36	190.97	(0.39) ST		
	2/8/13	17,000	15.055	255.94	249.73	(6.21) ST		
	2/19/13	10,000	15.750	157.50	146.90	(10.60) ST		
Total		380,000		5,116.64	5,582.20	465.56 ST	22.80	0.40

Share Price: \$14.690; Next Dividend Payable 07/2013

VENTAS INC (VTR)

	7/11/07	36,000	36.750	1,323.00	2,866.68	1,543.68 LT		
	10/29/10	1,000	53.250	53.25	79.63	26.38 LT		
	4/1/11	4,000	54.490	217.96	318.52	100.56 LT		
Total		41,000		1,594.21	3,264.83	1,670.62 LT	109.88	3.36

Share Price: \$79.630; Next Dividend Payable 06/2013

VERIZON COMMUNICATIONS (VZ)

	3/25/13	156,000	49.132	7,664.61	8,409.96	745.35 ST	321.36	3.82
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Share Price: \$53.910; Next Dividend Payable 05/01/13

VIACOM INC NEW CLASS B (VIAB)

	8/21/08	11,000	28.420	312.62	703.89	391.27 LT		
	10/22/08	24,000	18.176	436.22	1,535.76	1,099.54 LT		
	1/16/09	24,000	16.335	392.03	1,535.76	1,143.73 LT		
	3/16/11	55,000	43.653	2,400.92	3,519.45	1,118.53 LT		
	6/1/11	36,000	50.864	1,831.09	2,303.64	472.55 LT		
	9/28/11	29,000	41.669	1,208.41	1,855.71	647.30 LT		
	1/7/13	7,000	56.100	392.70	447.93	55.23 ST		
	1/17/13	4,000	57.930	231.72	255.96	24.24 ST		
	2/8/13	10,000	59.370	593.70	639.90	46.20 ST		

Account Detail

SA [REDACTED]

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		200.000		7,799.41	12,798.00	4,872.92 LT 125.67 ST	220.00	1.71

Share Price: \$63.990; Next Dividend Payable 07/2013								
VISA INC CL A (V)	7/16/12	41.000	126.923	5,203.84	6,906.86	1,703.02 ST		
	9/24/12	20.000	133.825	2,676.49	3,369.20	692.71 ST		
	1/7/13	4.000	158.200	632.80	673.84	41.04 ST		
	1/17/13	4.000	159.980	639.92	673.84	33.92 ST		
	2/8/13	6.000	157.980	947.88	1,010.76	62.88 ST		
	2/19/13	5.000	157.280	786.40	842.30	55.90 ST		
Total		80.000		10,887.33	13,476.80	2,589.47 ST	105.60	0.78

Share Price: \$168.460; Next Dividend Payable 06/2013								
VOLKSWAGEN AG SPONS ADR (VLKPY)	1/23/13	76.000	48.943	3,719.68	3,077.24	(642.44) ST		
	2/8/13	4.000	48.350	193.40	161.96	(31.44) ST		
	2/19/13	5.000	48.620	243.10	202.45	(40.65) ST		
Total		85.000		4,156.18	3,441.65	(714.53) ST	57.89	1.68

Share Price: \$40.490; Next Dividend Payable 05/03/13								
WALT DISNEY CO HLDG CO (DIS)	10/12/11	28.000	34.276	959.71	1,759.52	799.81 LT		
	1/27/12	117.000	39.264	4,593.89	7,352.28	2,758.39 LT		
	5/11/12	27.000	45.070	1,216.88	1,696.68	479.80 ST		
	1/7/13	8.000	50.996	407.97	502.72	94.75 ST		
	1/31/13	9.000	54.009	486.08	565.56	79.48 ST		
	1/31/13	61.000	54.009	3,294.56	3,833.24	538.68 ST		
	2/6/13	30.000	54.852	1,645.55	1,885.20	239.65 ST		
	2/8/13	5.000	54.550	272.75	314.20	41.45 ST		
	2/8/13	5.000	54.556	272.78	314.20	41.42 ST		
	2/19/13	4.000	55.610	222.44	251.36	28.92 ST		
	2/19/13	6.000	55.610	333.66	377.04	43.38 ST		
	3/25/13	54.000	56.167	3,033.03	3,393.36	360.33 ST		
	3/25/13	1.000	56.170	56.17	62.84	6.67 ST		
Total		355.000		16,795.47	22,308.20	3,558.20 LT 1,954.53 ST	266.25	1.19

Share Price: \$62.840; Next Dividend Payable 12/2013								
WD 40 COMPANY (WDFC)	7/12/07	123.000	34.281	4,216.60	6,633.39	2,416.79 LT		
	7/13/07	67.000	34.280	2,296.73	3,613.31	1,316.58 LT		
	8/16/10	80.000	34.746	2,779.66	4,314.40	1,534.74 LT		
	3/11/11	30.000	40.575	1,217.24	1,617.90	400.66 LT		

Account Detail

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
WEINGARTEN REALTY INV SBI (WRI)								
Share Price: \$53.930; Next Dividend Payable 07/2013								
	7/31/09	47,000	15.455	726.38	1,601.29	874.91 LT		
	1/28/13	23,000	28.618	658.21	783.61	125.40 ST		
	2/8/13	5,000	29.648	148.24	170.35	22.11 ST		
Total		75,000		1,532.83	2,555.25	874.91 LT	91.50	3.58
						147.51 ST		
WELLPOINT INC (WLP)								
Share Price: \$34.070; Next Dividend Payable 06/2013								
	2/27/09	6,000	34.080	204.48	437.52	233.04 LT		
	4/27/09	19,000	39.995	759.91	1,385.48	625.57 LT		
	10/8/09	27,000	45.167	1,219.52	1,968.84	749.32 LT		
	4/23/10	15,000	57.909	868.63	1,093.80	225.17 LT		
	1/27/12	7,000	65.114	455.80	510.44	54.64 LT		
	3/13/12	10,000	64.505	645.05	729.20	84.15 LT		
	7/26/12	16,000	53.376	854.02	1,166.72	312.70 ST		
	8/6/12	20,000	54.652	1,093.03	1,458.40	365.37 ST		
	1/17/13	8,000	62.510	500.08	583.36	83.28 ST		
	2/8/13	12,000	66.370	796.44	875.04	78.60 ST		
Total		140,000		7,396.96	10,208.80	1,971.89 LT	210.00	2.05
						839.95 ST		
WELLS FARGO & CO NEW (WFC)								
Share Price: \$72.920; Next Dividend Payable 06/2013								
	1/27/12	314,000	29.238	9,180.73	11,925.72	2,744.99 LT		
	1/27/12	11,000	29.238	321.62	417.78	96.16 LT		
	10/31/12	22,000	33.751	742.52	835.56	93.04 ST		
	10/31/12	3,000	33.751	101.25	113.94	12.69 ST		
	1/28/13	35,000	35.140	1,229.90	1,329.30	99.40 ST		
	2/8/13	15,000	34.815	522.23	569.70	47.47 ST		
	2/19/13	20,000	35.100	702.00	759.60	57.60 ST		
Total		420,000		12,800.25	15,951.60	2,841.15 LT	504.00	3.15
						310.20 ST		
WESTAMERICA BANCORPORATION (WABC)								
Share Price: \$37.980; Next Dividend Payable 06/2013								
	1/28/13	174,000	44.503	7,743.45	7,549.86	(193.59) ST		
	2/8/13	6,000	44.302	265.81	260.34	(5.47) ST		
Total		180,000		8,009.26	7,810.20	(199.06) ST	266.40	3.41
Share Price: \$43.390; Next Dividend Payable 05/2013								

Account Detail

Selling

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
WESTERN UN CO (WU)								
	1/25/12	3,000	19.487	58.46	44.43	(14.03) LT		
	4/30/12	2,000	18.335	36.67	29.62	(7.05) ST		
	1/28/13	370,000	13.965	5,167.09	5,479.70	312.61 ST		
	2/8/13	15,000	14.687	220.30	222.15	1.85 ST		
	2/19/13	10,000	14.240	142.40	148.10	5.70 ST		
Total		400,000		5,624.92	5,924.00	(14.03) LT 313.11 ST	200.00	3.37
Share Price: \$14.810, Next Dividend Payable 06/20/13								
WHOLE FOODS MARKETS INC (WFM)								
	1/12/11	6,000	50.179	301.07	529.92	228.85 LT		
	1/27/12	7,000	74.530	521.71	618.24	96.53 LT		
	1/7/13	4,000	88.200	352.80	353.28	0.48 ST		
	1/17/13	2,000	90.510	181.02	176.64	(4.38) ST		
	2/8/13	3,000	94.630	283.89	264.96	(18.93) ST		
	2/19/13	3,000	87.060	261.18	264.96	3.78 ST		
Total		25,000		1,901.67	2,208.00	325.38 LT (19.05) ST	20.00	0.90
Share Price: \$88.320, Next Dividend Payable 07/20/13								
WILEY JOHN & SON CL A (JWA)								
	12/27/10	13,000	46.024	598.31	496.21	(102.10) LT		
	1/28/13	223,000	38.338	8,549.44	8,511.91	(37.53) ST		
	2/8/13	4,000	39.073	156.29	152.68	(3.61) ST		
	2/19/13	10,000	39.690	396.90	381.70	(15.20) ST		
Total		250,000		9,700.94	9,542.50	(102.10) LT (56.34) ST	240.00	2.51
Share Price: \$38.170, Next Dividend Payable 07/20/13								
WILLIS GROUP HOLDINGS PLC (WSH)								
	7/11/07	5,000	43.600	218.00	198.40	(19.60) LT		
	2/12/08	6,000	31.181	187.09	238.08	50.99 LT		
	2/12/08	26,000	31.181	810.71	1,031.68	220.97 LT		
	7/9/08	25,000	31.500	787.50	992.00	204.50 LT		
	7/15/08	22,000	30.349	667.67	872.96	205.29 LT		
	7/15/08	3,000	30.349	91.05	119.04	27.99 LT		
	9/16/08	25,000	30.814	770.35	992.00	221.65 LT		
	9/23/08	42,000	30.958	1,300.23	1,666.56	366.33 LT		
	10/8/08	27,000	27.806	750.76	1,071.36	320.60 LT		
	12/16/08	44,000	23.218	1,021.60	1,745.92	724.32 LT		
	2/19/09	20,000	23.968	479.35	793.60	314.25 LT		
	8/13/09	24,000	26.087	626.09	952.32	326.23 LT		

Morgan Stanley

Account Detail

Select

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	10/21/09	28.000	27.272	763.61	1,111.04	347.43 LT		
	10/6/10	46.000	31.223	1,436.26	1,825.28	389.02 LT		
	9/28/11	32.000	34.314	1,098.05	1,269.76	171.71 LT		
	10/24/12	4.000	33.440	133.76	158.72	24.96 ST		
	10/24/12	31.000	33.440	1,036.64	1,230.08	193.44 ST		
	1/7/13	1.000	34.550	34.55	39.68	5.13 ST		
	1/7/13	19.000	34.530	656.07	753.92	97.85 ST		
	1/17/13	5.000	34.690	173.45	198.40	24.95 ST		
	1/17/13	11.000	34.690	381.59	436.48	54.89 ST		
	2/8/13	19.000	36.257	688.89	753.92	65.03 ST		
	2/8/13	15.000	36.260	543.90	595.20	51.30 ST		
	2/19/13	16.000	36.720	587.52	634.88	47.36 ST		
	2/19/13	14.000	36.720	514.08	555.52	41.44 ST		
Total		510.000		15,758.77	20,236.80	3,871.68 LT 606.35 ST	571.20	2.82

Share Price: \$39.680; Next Dividend Payable 07/2013

WOLVERINE WORLD WIDE (WWW)	12/21/11	108.000	35.703	3,855.93	5,159.16	1,303.23 LT		
	6/12/12	38.000	40.105	1,523.98	1,815.26	291.28 ST		
	1/7/13	24.000	39.886	957.27	1,146.48	189.21 ST		
	1/17/13	1.000	42.720	42.72	47.77	5.05 ST		
	2/8/13	19.000	43.158	820.00	907.63	87.63 ST		
	3/22/13	52.000	46.202	2,402.48	2,484.04	81.56 ST		
	Total	242.000		9,602.38	11,560.34	1,303.23 LT 654.73 ST	116.16	1.00

Share Price: \$47.770; Next Dividend Payable 05/01/13

WORKDAY INC CL A (WDAY)	10/15/12	21.000	51.947	1,090.89	1,315.65	224.76 ST		
	10/16/12	16.000	52.634	842.15	1,002.40	160.25 ST		
	1/7/13	3.000	51.830	155.49	187.95	32.46 ST		
	2/8/13	5.000	51.040	255.20	313.25	58.05 ST		
	3/8/13	15.000	63.771	956.57	939.75	(16.82) ST		
	Total	60.000		3,300.30	3,759.00	458.70 ST	—	—

Share Price: \$62.650

WORLD FUEL SERVICES CORP (INT)	7/11/07	217.000	21.355	4,634.04	8,799.35	4,165.31 LT		
	8/27/07	36.000	18.775	675.90	1,459.80	783.90 LT		
	1/7/13	17.000	42.214	717.63	689.35	(28.28) ST		
	1/17/13	17.000	41.580	706.86	689.35	(17.51) ST		

Account Detail

Seller

EARL L CARTER

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	2/8/13	23.000	42.886	986.37	932.65	(53.72) ST		
Total		310.000		7,720.80	12,570.50	4,949.21 LT (99.51) ST	46.50	0.36

Share Price: \$40.550; Next Dividend Payable 07/2013

XEROX CORP (XRX)								
	1/3/08	19.000	8.475	161.03	163.02	1.99 LT		
	8/10/11	36.000	7.998	287.91	308.88	20.97 LT		
	9/2/11	70.000	7.977	558.38	600.60	42.22 LT		
	1/28/13	985.000	8.207	8,084.09	8,451.30	367.21 ST		
	2/8/13	90.000	7.985	718.61	772.20	53.59 ST		
Total		1,200.000		9,810.02	10,296.00	65.18 LT 420.80 ST	276.00	2.68

Share Price: \$8.580; Next Dividend Payable 07/2013

ZIMMER HLDGS INC (ZMH)								
	7/23/10	17.000	53.068	902.15	1,299.65	397.50 LT		
	1/28/13	53.000	75.130	3,981.89	4,051.85	69.96 ST		
	2/8/13	5.000	75.940	379.70	382.25	2.55 ST		
Total		75.000		5,263.74	5,733.75	397.50 LT 72.51 ST	60.00	1.04

Share Price: \$76.450; Next Dividend Payable 07/2013

COMMON STOCKS								
				\$1,733,198.88	\$2,133,108.52	\$309,368.35 LT \$88,285.13 ST	\$40,972.06 \$0.00	1.92%

PREFERRED STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
CBLASSOC PROP 7.375 SER D (CBL.D)								
	10/25/10	30.000	\$23.540	\$706.21	\$762.30	\$56.09 LT		
	4/1/11	3.000	24.307	72.92	76.23	3.31 LT		
	1/7/13	2.000	25.195	50.39	50.82	0.43 ST		
	1/17/13	1.000	25.300	25.30	25.41	0.11 ST		
	1/29/13	4.000	25.480	101.92	101.64	(0.28) ST		
Total		40.000		956.74	1,016.40	59.40 LT 0.26 ST	73.76	7.25

Share Price: \$25.410; Next Dividend Payable 07/2013

STOCKS	Percentage of Assets %	Estimated		Yield %
		Total Cost	Market Value	
	98.0%	\$1,734,155.62	\$2,134,124.92	
			Unrealized Gain/(Loss)	
			\$309,427.75 LT	
			\$88,285.39 ST	
			Annual Income	
			\$41,045.82	1.92%
			\$0.00	

Account Detail

EARL L CARTER

Investment Objectives[†]: Capital Appreciation, Income, Aggressive Income, Speculation

[†] See the Expanded Disclosures for more information about investment objectives. Please review for accuracy and inform us if your investment objectives change.

Brokerage Account

HOLDINGS

This section reflects the impact of positions purchased/sold on a trade date basis, and will include positions purchased and omit positions sold in the current month. Please see the "Unsettled Purchases/Sales Activity" section for more information.

The "Market Value" and "Unrealized Gain/(Loss)" figures shown are representative values as of the last business day of the statement period indicated, which may not reflect the value that could actually be obtained in the market. See "Pricing of Securities" in the Expanded Disclosures. Fixed income securities are sorted by maturity or pre-refunding date, and alphabetically within date.

Estimated annual income and estimated yield for certain securities can include return of principal or capital gains which could overstate such estimates. Estimated yield and estimated annual income are estimates and the actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Structured products appear in various statement product categories and are identified as "Structured Products" in the Security Description column. Estimated accrued interest, estimated annual income and estimated yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) assume specified accrual conditions are met during the relevant observation period and payment in full of all contingent interest. If you hold structured products, please see "Special Considerations Regarding Structured Products" in the Disclosures.

New Treasury regulations require that we report on Form 1099-B after the close of the tax year your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. Cost basis is reflected on monthly statements for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Expanded Disclosures for additional information.

CASH, DEPOSITS AND MONEY MARKET FUNDS

Cash, Deposits and Money Market Funds are generally displayed on a settlement date basis. However, Global Currency Deposits may include both settled and unsettled positions. Estimated Annual Income, Accrued Interest and APY% will only be displayed for fully settled positions. In the event, all or a portion of a Global Currency Deposit position is unsettled as of month end, this data will not be available.

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
MS ACTIVE ASSETS TAX FR TRUST	\$13,581.87	\$1.36	0.010	—
CASH, DEPOSITS AND MONEY MARKET FUNDS	4.4%	Market Value \$13,581.87	Estimated Annual Income \$1.36	Estimated Annual Income Accrued Interest \$0.00

Money market funds seek to maintain a share price of \$1.00, therefore the dollar amounts listed equal the number of shares. Additional information concerning these transactions is available upon request. For more information about the pricing of Money Market Funds, please see the Expanded Disclosures.

The money market funds reflected above include the balances in your automatic sweep feature, if any, and may include other money market funds that have been purchased in your account.

Account Detail

EARL L CARTER

ALTERNATIVE INVESTMENTS

Your interests in Alternative Investments may not be held at Morgan Stanley Smith Barney LLC, but may have been purchased through Morgan Stanley Smith Barney LLC, and are not covered by SIPC. The information provided to you: 1) is included solely as a service to you and certain transactions may not be reported; 2) is derived from you or another external source for which we are not responsible, and may have been modified to take into consideration capital calls or distributions to the extent applicable; 3) may not reflect actual shares, share prices or values; 4) may include invested or distributed amounts in addition to a fair value estimate; and 5) should not be relied upon for tax reporting purposes. Alternative Investments are illiquid and may not be valued daily, therefore the estimated valuation provided will be as of the most recent date available for presentation and will be included in summaries of your assets. Such valuation may not be the most recent valuation delivered by the fund in which you are invested. No representation is made that the valuation is a market value or that the interest could be liquidated at this value. We are not required to take any action with respect to your investment unless valid instructions are received from you in a timely manner. Some positions reflected on your statement may not represent interests in the fund, but rather redemption proceeds withheld by the issuer pending final valuations which are not subject to the investment performance of the fund and may or may not accrue interest for the length of the withholding.

For Managed Futures and Alternative Investments there are likely to be restrictions on redemptions; please see applicable offering document. The Commitment/Aggregate investment reflected in the Hedge Funds category is equal to the total investment to date. Total cost as reflected in the Hedge Funds category is equal to the Commitment/Aggregate investment plus any placement fees reported. Redemptions as reflected in the Hedge Funds category are equal to any past redemptions/sales that were reported to us. Estimated value is the value reported to us as of the most recent date available. Commitment in the Private Equity and Real Estate section is equal to the obligation of an investor to provide funding to date in accordance with the Limited Partnership Agreement. Distributions in the Hedge Funds, Private Equity and Real Estate categories consist of distributed prior income or return of capital from the fund. The Total Return reflected in the Hedge Funds category is calculated based on Estimated Value plus Distributions and Redemptions less the Total Cost. This is presented for informational purposes only and should not be used for tax reporting purposes and would not be included in any tax reporting that we provide.

MANAGED FUTURES

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Estimated Value	Unrealized Gain/(Loss)	Valuation Date
MS SPCTRM SELECT (EST.VAL)	8/1/07	1,027.397	\$29,200	\$30,000.00	\$29,876.70	\$(123.30)	4/29/13
Estimated NAV: \$29.08							
MS SPCTRM STRATEGC (EST.VAL)	8/1/07	1,699.717	17.650	30,000.00	23,915.01	(6,084.99)	4/29/13
Estimated NAV: \$14.07							
MS SPCTRM TECH (EST.VAL)	8/1/07	1,318.681	22.750	30,000.00	23,380.21	(6,619.79)	4/29/13
Estimated NAV: \$17.73							
MANAGED FUTURES							
HEDGE FUNDS - SHARES							
Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Estimated Value	Unrealized Gain/(Loss)	Valuation Date
AIP ABSLT RTN (EST.VAL)	8/1/07	165.582	\$1,207.860	\$200,000.00	\$217,955.58	\$17,955.58	3/31/13
Estimated NAV: \$1,316.30							

ALTERNATIVE INVESTMENTS

Percentage of Assets %

Estimated Value

\$295,127.50

B

STERNE, AGEE & LEACH INC	Account #
2012 1089-B	OMB No. 1545-0735
Proceeds from Broker and Barter Exchange Transactions	

SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1b - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional information	Notes
These columns are not reported to the IRS							
MONROE GA 4.2 110117 / CUSIP: 610532AZ6 / Symbol: 11/26/12	25,000.000	25,000.00	03/30/12	25,000.00	0.00	Redemption Original basis: \$25,461.75	AA
SCBT FINANCIAL CORP / CUSIP: 78401V102 / Symbol: 12/20/12	0.300	11.82	12/20/12	0.00	11.82	Cash in lieu	
Totals:		25,011.82		25,000.00	11.82		

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1b - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional information	Notes
These columns are not reported to the IRS							
ATKINSON GA 5.0 060134 / CUSIP: 047629AP5 / Symbol: 12/18/12	10,000.000	10,546.70	07/06/09	9,085.35	1,461.35	Sale Original basis: \$9,016.20	AA
BARROW GA 5.0 100128 / CUSIP: 068743BA0 / Symbol: 03/19/12	25,000.000	26,825.75	01/19/10	25,816.07	1,009.68	Sale Original basis: \$26,264.25	AA
BURKE GA A 5.3 010133 / CUSIP: 121342MJ5 / Symbol: 02/15/12	20,000.000	21,558.30	04/14/09	20,152.07	1,406.23	Sale Original basis: \$20,209.60	AA
CAMDEN GA SD 5.0 010112 / CUSIP: 132783AA9 / Symbol: 01/03/12	5,000.000	5,000.00	10/21/02	5,000.00	0.00	Redemption Original basis: \$5,150.60	AA
CHATHAM GA HOS5.5 010134 / CUSIP: 162033GD0 / Symbol: 06/14/12	10,000.000	10,518.20	05/06/10	9,287.00	1,231.20	Sale Original basis: \$9,257.70	AA
FLOYD GA WTR 3.75 110112 / CUSIP: 343595CP7 / Symbol: 11/01/12	10,000.000	10,000.00	10/31/06	10,000.00	0.00	Redemption Original basis: \$10,055.80	AA

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax returns.

**For NONCOVERED lots, values for Date of acquisition, Cost or other basis and Wash sale loss disallowed are provided for your reference and are NOT reported to the IRS.

Less commissions.

STERNEL AGEE & LEACHING 2012 1099-B	Proceeds from Broker and Barter Exchange Transactions (continued)	Account # 123456789 OMB No. 1545-0715
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LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1b - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional information	Notes
GAINESVILLE GA4.0 111518 / CUSIP: 363010WG0 / Symbol:							
06/14/12	30,000.000	31,983.90	02/03/08	30,143.12	1,840.78	Sale Original basis: \$30,364.60	AA
GA ST HI ED 5.0 081523 / CUSIP: 373511AN4 / Symbol:							
10/02/12	10,000.000	11,010.00	06/15/09	10,377.25	632.75	Sale Original basis: \$10,562.20	AA
ROME GA DEV 4.1 010119 / CUSIP: 776004BC8 / Symbol:							
02/15/12	20,000.000	21,868.90	03/28/08	20,105.49	1,763.41	Sale Original basis: \$20,161.60	AA
SAVANNAH GA 3.65 070114 / CUSIP: 80483CCY8 / Symbol:							
12/27/12	20,000.000	20,000.00	11/04/02	19,628.90	371.10	Redemption	
SAVANNAH GA 4.0 / CUSIP: 80483CKJ9 / Symbol:							
06/14/12	40,000.000	43,277.10	01/21/11	41,117.66	2,159.44	Sale Original basis: \$41,475.30	AA
Totals:		212,588.85		200,712.91	11,875.94		

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax returns.

**For NONCOVERED lots, values for Date of acquisition, Cost or other basis and Wash sale loss disallowed are provided for your reference and are NOT reported to the IRS.

Less commissions.

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"0411800"

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EARL L CARTER

POOLER GA 31322-1712

FINANCIAL ADVISOR:

HOLLEY K DEAN

33 BULL STREET • SUITE 100

SAVANNAH GA 31401

(912) 234-5400

Branch Code: SV92



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EARL L CARTER INVESTMENT ACCOUNT SUMMARY

ACCOUNT NUMBER

Statement Period: 04/01/13 to 04/30/13

ASSET SUMMARY

	This Month	Prior Month
Cash or Cash Alternatives	\$103,435.18	\$77,063.78
Equities	476,485.75	488,043.60
Fixed Income Securities	527,416.90	526,113.85
Unit Investment Trusts	30,334.67	28,596.39
Total Invested Assets	1,137,672.50	1,119,817.62
Less Debit Balance	(24,551.25)	0.00
Total Account Value	\$1,113,121.25	\$1,119,817.62
Total Combined Value	\$1,113,121.25	\$1,119,817.62

CASH ACTIVITY

	This Period
Opening Balance	\$26,142.88
Securities Sold	26,355.92
Securities Purchased	(53,219.83)
Maturities, Tenders, Redemptions	28,518.83
Total Interest	157.16
Net Money Market Activity	(52,514.28)
Net Other Bookkeeping	8.07
Ending Balance	\$(24,551.25)

EARNINGS SUMMARY

	This Period	Year-to-Date
Dividends	0.00	227.57
Net Interest Income	157.16	6,879.63
Total Income	\$157.16	\$7,107.20

PORTFOLIO SUMMARY

	This Period	Year-to-Date
Beginning Account Value	\$1,119,817.62	\$1,112,287.82
Net Security Deposits/Withdrawals	0.00	0.00
Net Cash Deposits/Withdrawals	0.00	0.00
Value Net of Deposits/Withdrawals	1,119,817.62	1,112,287.82
Total Income	157.16	7,107.20
Asset Appreciation	(6,853.53)	(6,253.77)
Total Value as of 04/30/13	\$1,113,121.25	\$1,113,121.25

*The mission of Sterne Agee is to build
wealth for our clients.*



ACCOUNT NUMBER

Statement Period: 04/01/13 to 04/30/13

PORTFOLIO HOLDINGS - CASH OR CASH ALTERNATIVES

Amount	Description	Yield
(24,551.25)	Cash Account Balance	
103,435.18	TREASURY CASH TRUST	
Total Cash or Cash Alternatives		78,883.93
Percentage of Total Invested Assets		6.93%

PORTFOLIO HOLDINGS - EQUITIES

Common Stocks

Total Shares	Description	Symbol	Price	Current Value	Est. Annual Income	Trade Date	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss
600	BANK OF AMERICA CORP	BAC	12.31	7,386.00	24.00	05/03/06	300	50.300	15,090.23	(11,397.23)
						03/16/09	300	7.105	2,131.77	1,561.23
		TOTAL:							17,222.00	(9,836.00)
10,000	CITIZENS EFFINGHAM BANCSHARES INC		Unavailable			09/16/05	10,000	Unavailable	Unavailable	Unavailable
6,825	COASTAL BANKSHARES INC		6.27	42,792.75		09/16/05	6,500	15.384	100,000.00	(59,245.00)
						07/14/09	325	Unavailable	Unavailable	Unavailable
		TOTAL:							100,000.00	(59,245.00)
64,935	COASTAL BANKSHARES INC RESTRICTED		6.27	407,142.45		05/11/11	64,935	Unavailable	Unavailable	Unavailable
165	OMNICARE INC	OCR	43.77	7,222.05	92.40	09/16/05	31	Unavailable	Unavailable	Unavailable
						04/20/06	7	Unavailable	Unavailable	Unavailable
						05/01/06	20	Unavailable	Unavailable	Unavailable
						05/02/07	45	Unavailable	Unavailable	Unavailable
						06/09/09	44	Unavailable	Unavailable	Unavailable
						01/25/10	18	Unavailable	Unavailable	Unavailable
		TOTAL:							Unavailable	Unavailable

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EARL L CARTER

INVESTMENT ACCOUNT



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ACCOUNT NUMBER

Statement Period: 04/01/13 to 04/30/13

PORTFOLIO HOLDINGS - EQUITIES

Common Stocks

Total Shares	Description	Symbol	Price	Current Value	Est. Annual Income	Trade Date	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss
250	SCBT FINANCIAL CORP	SCBT	47.77	11,942.50	180.00	02/06/08	78.125	70.540	5,510.98	(1,778.95)
						01/22/04	117.125	73.182	8,571.45	(2,976.39)
						02/06/08	54.75	75.863	4,153.50	(1,538.10)
						TOTAL:			18,235.93	(6,293.44)
									135,457.93	(75,374.44)
Total Common Stocks				476,485.75	296.40					
Percentage of Total Equities				100.00%						
Percentage of Total Invested Assets				41.88%						

PORTFOLIO HOLDINGS - FIXED INCOME - MUNICIPAL DEBT

Quantity	Description	Price	Current Value	Est. Annual Income	Trade Date	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss
25,000	ATLANTA GA DEV AUTH STDNT HSG REV RFDG FACS PIEDMONT B/E OID @95.602 CPN 3.000% DUE 09/01/28 DTD 05/14/13 FC 09/01/13 CALL 09/01/23 @ 100.000 CUSIP#: 047771D12	98.077	24,519.25	750.00	04/19/13	25,000	98.205	24,551.25	(32.00)
5,000	ATLANTA GA WTR & WSTWTR REV SER A RFDG FGAC B/E OID @96.495 5.21% CPN 5.000% DUE 11/01/38 DTD 04/01/99 FC 11/01/99 CALL 05/31/13 @ 100.000 CUSIP#: 047870DK3	100.126	5,006.30	250.00	09/05/02	5,000	101.445	5,000.00	6.30
10,000	ATLANTA GA DEV AUTH REV	104.739	10,473.90	400.00	04/13/10	10,000	103.447	10,122.03	351.87



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INVESTMENT ACCOUNT



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ACCOUNT NUMBER

Statement Period: 04/01/13 to 04/30/13

PORTFOLIO HOLDINGS - FIXED INCOME - MUNICIPAL DEBT

Quantity	Description	Price	Current Value	Est. Annual Income	Trade Date	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss
TUFF ATDC PJ SER C B/E									
OID@98.958 4.125%									
CPN 4.000% DUE 01/01/16									
DTD 09/01/05 FC 01/01/06									
CALL 01/01/15 @ 100.000									
CUSIP#: 04780NEL7									
25,000	BIBB CNTY GA DEV AUTH REV MACON ST CLG FNDTN REAL AGM B/E OID @97.641 CPN 3.250% DUE 07/01/30 DTD 12/18/12 FC 01/01/13 CALL 07/01/22 @ 100.000 CUSIP#: 08869FEV7	95.101	23,775.25	812.50	12/14/12	25,000	100.018	25,004.36	(1,229.11)
5,000	BLECKLEY CNTY&DODGE CNTY JT DEV GA STDNT HSG REV MGC II B/E OID @99.264 CPN 3.100% DUE 07/01/13 DTD 02/20/08 FC 07/01/08 CUSIP#: 09349AAD0	100.436	5,021.80	155.00	05/13/10	5,000	103.399	5,009.44	12.36
15,000	BLECKLEY DODGE CNTY GA JT DEV AUTH STUDENT HSG REV B/E OID@97.547 5.29% CPN 5.125% DUE 07/01/37 DTD 02/20/08 FC 07/01/08 CALL 07/01/18 @ 100.000 CUSIP#: 09349AAA6	102.728	15,409.20	768.75	08/12/10	15,000	103.125	15,327.03	82.17
20,000	CAIRO GA COMB PUB UTIL REV RFDG & IMPT AGM B/E CPN 4.500% DUE 01/01/19 DTD 12/01/04 FC 07/01/05 CALL 01/01/15 @ 100.000 CUSIP#: 127796AP5	105.005	21,001.00	900.00	02/05/09	20,000	100.015	20,000.63	1,000.37

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EARL L CARTER

INVESTMENT ACCOUNT



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ACCOUNT NUMBER

Statement Period: 04/01/13 to 04/30/13

PORTFOLIO HOLDINGS - FIXED INCOME - MUNICIPAL DEBT

Quantity	Description	Price	Current Value	Est. Annual Income	Trade Date	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss
30,000	CARROLLTON GA PAYROLL DEV AUTH REV UWG PHASE II PL AGM B/E OID@98.622 CPN 3.000% DUE 06/15/23 DTD 07/17/12 FC 12/15/12 CALL 06/15/22 @ 100.000 CUSIP#: 145339KB2	102.116	30,634.80	900.00	07/02/12	30,000	100.015	30,004.34	630.46
20,000	CARROLLTON GA PAYROLL DEV REV ANTIC CTF UWG ATHLTC AGM B/E OID@97.159 CPN 3.250% DUE 06/15/23 DTD 04/12/12 FC 06/15/12 CALL 06/15/18 @ 100.000 CUSIP#: 145339JG3	102.374	20,474.80	650.00	03/30/12	20,000	99.554	19,917.96	556.84
20,000	CARROLLTON GA PAYROLL DEV AUTH REV STDNT HSG A OID @99.154 SYNCORA B/E CPN 4.000% DUE 09/01/18 DTD 10/01/04 FC 03/01/05 CALL 09/01/14 @ 100.000 CUSIP#: 145339CH8	102.825	20,565.00	800.00	08/31/10	20,000	103.759	20,261.36	303.64
25,000	COBB CNTY GA DEV STDNT REC & ACTIVITIES REV B/E KSU SRAC OID @97.268 CPN 3.250% DUE 07/15/29 DTD 03/07/13 FC 07/15/13 CALL 07/15/22 @ 100.000 CUSIP#: 19077CAP6	98.226	24,556.50	812.50	03/01/13	25,000	100.018	25,004.43	(447.93)
25,000	COBB CNTY GA DEV STDNT REC ACTIVITY CTR REV REAL EST B/E OID @98.270 CPN 3.000% DUE 07/15/25	100.261	25,065.25	750.00	02/22/13	25,000	100.018	25,004.43	60.82



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INVESTMENT ACCOUNT



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ACCOUNT NUMBER

Statement Period: 04/01/13 to 04/30/13

PORTFOLIO HOLDINGS - FIXED INCOME - MUNICIPAL DEBT

Quantity	Description	Price	Current Value	Est. Annual Income	Trade Date	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss
5,000	DTD 03/07/13 FC 07/15/13								
	CALL 07/15/22 @ 100.000								
	CUSIP#: 19077CAK7								
	COLUMBUS GA WTR & SWR REV UNREFUNDED RFDG NPMG B/E	103.101	5,155.05	250.00	01/08/08	5,000	108.436	5,421.80	(266.75)
10,000	CPN 5.000% DUE 05/01/22								
	DTD 01/01/05 FC 05/01/05								
	CALL 05/01/14 @ 100.000								
	CUSIP#: 199144TX4								
10,000	COLUMBUS GA WTR & SWR REV PREREFUNDED RFDG NPMG B/E	104.684	10,468.40	500.00	01/08/08	10,000	108.436	10,843.60	(375.20)
	CPN 5.000% DUE 05/01/22								
	DTD 01/01/05 FC 05/01/05								
	PRE 05/01/14 @ 100.000								
5,000	CUSIP#: 199144TJ5								
	CRISP CNTY GA DEV ATH ENVIRONMNTL IMPRT RV REF INTL PPR A B/E AMT	107.829	5,391.45	277.50	09/29/03	5,000	104.756	5,046.02	345.43
	CPN 5.550% DUE 02/01/15								
	DTD 02/01/02 FC 08/01/02								
15,000	CUSIP#: 226658AB8								
	DALTON GA DEV AUTH REV RFDG HAMILTON HC SYS SER A B/E OID @ 97.515 3.24%	98.499	14,774.85	450.00	11/14/12	15,000	99.504	14,925.60	(150.75)
	CPN 3.000% DUE 08/15/25								
	DTD 12/05/12 FC 02/15/13								
20,000	CALL 08/15/22 @ 100.000								
	CUSIP#: 235550FD7								
	DE KALB CNTY GA DEV AUTH REV EMORY UNIV PROJ	75.571	15,114.20		03/18/03	20,000	36.012	11,963.54	3,150.66

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INVESTMENT ACCOUNT

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ACCOUNT NUMBER

Statement Period: 04/01/13 to 04/30/13

PORTFOLIO HOLDINGS - FIXED INCOME - MUNICIPAL DEBT

Quantity	Description	Price	Current Value	Est. Annual Income	Trade Date	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss
10,000	DOWNTOWN SAVANNAH AUTH GA REV RFDG & IMPT CAP IMPT B/E OID @98.689 CPN 4.000% DUE 08/01/18 DTD 12/23/03 FC 02/01/04 CALL 08/01/13 @ 100.000 CUSIP#: 261172HL7	100.738	10,073.80	400.00	10/31/06	10,000	100.025	10,000.10	73.70
5,000	DOWNTOWN SAVANNAH AUTH GA REV RFDG & IMPT CAP IMPT B/E OID @98.515 CPN 3.500% DUE 08/01/14 DTD 12/23/03 FC 02/01/04 CALL 08/01/13 @ 100.000 CUSIP#: 261172HG8	100.757	5,037.85	175.00	06/02/10	5,000	106.219	5,025.45	12.40
10,000	FULTON CNTY GA DEV AUTH REV TUFF ATLANTA HSG LLC GA ST B/E OID@98.877 3.87 CPN 3.750% DUE 09/01/23 DTD 12/29/11 FC 03/01/12 CALL 09/01/21 @ 100.000 CUSIP#: 359900W37	110.73	11,073.00	375.00	01/11/12	10,000	102.069	10,183.33	889.67
10,000	FLOYD CNTY GA HOSP AUTH REV RFDG ANTC CTF FLOYD MED CTR P1 SER B B/E CPN 3.000% DUE 07/01/15 DTD 06/27/12 FC 01/01/13 CUSIP#: 343575HA7	105.183	10,518.30	300.00	01/23/13	10,000	104.824	10,432.09	86.21
25,000	GEORGIA MUN ASN INC LSNG	101.518	25,379.50	875.00	10/02/09	25,000	101.881	25,072.44	307.06





ACCOUNT NUMBER

Statement Period: 04/01/13 to 04/30/13

PORTFOLIO HOLDINGS - FIXED INCOME - MUNICIPAL DEBT

Quantity	Description	Price	Current Value	Est. Annual Income	Trade Date	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss
15,000	PG CTF PARTN PUB PURP PJ AGM B/E OID@98.760 3.65% CPN 3.500% DUE 12/01/13 DTD 12/01/03 FC 06/01/04 CUSIP#: 373293BU2	96.988	14,548.20	450.00	10/25/12	15,000	100.03	15,004.37	(456.17)
15,000	GRIFFIN GA COMB PUB UTIL REV RFDG AGM B/E OID @98.295 3.15% CPN 3.000% DUE 01/01/27 DTD 11/07/12 FC 01/01/13 CALL 01/01/23 @ 100.000 CUSIP#: 398192ED2	105.447	15,817.05	750.00	07/26/11	15,000	107.763	15,474.99	342.06
25,000	NEWTON CNTY GA WATER REV B/E NPG CPN 5.000% DUE 07/01/22 DTD 09/01/04 FC 01/01/05 PRE 07/01/14 @ 100.000 CUSIP#: 652583BS3	102.141	25,535.25	750.00	06/15/12	25,000	99.091	24,772.75	762.50
10,000	OCONEE CNTY GA IDA REV RFDG OILT PJ B/E OID @97.701 3.25% CPN 3.000% DUE 07/01/23 DTD 06/28/12 FC 01/01/13 CALL 07/01/22 @ 100.000 CUSIP#: 67556TCS0	103.844	10,384.40	250.00	02/03/11	10,000	100.045	10,002.39	382.01
35,000	PRIVATE CLGS & UNIVS AUTH GA REV RFDG EMORY UNIV SER C B/E CPN 2.500% DUE 09/01/15 DTD 07/23/09 FC 03/01/10 CUSIP#: 74265LMM6	102.363	35,827.05	892.50	10/03/11	35,000	102.829	35,990.45	(163.40)

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INVESTMENT ACCOUNT



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ACCOUNT NUMBER

Statement Period: 04/01/13 to 04/30/13

PORTFOLIO HOLDINGS - FIXED INCOME - MUNICIPAL DEBT

Quantity	Description	Price	Current Value	Est. Annual Income	Trade Date	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss
FACS AUTH GA REV AGNES									
SCOTT CLIG B/E									
CPN 2.550% DUE 06/01/28									
DTD 04/28/11 FC 12/01/11									
PUT 06/01/15 @ 100.000									
CUSIP#: 742649MA1									
20,000	RICHMOND CNTY GA DEV AUTH EDL FACS REV MCG	101.816	20,363.20	1,000.00	12/02/11	20,000	102.841	20,313.94	49.26
SER A B/E AMBAC									
CPN 5.000% DUE 12/15/34									
DTD 11/18/04 FC 12/15/04									
CALL 12/15/14 @ 100.000									
CUSIP#: 764586AU7									
10,000	SOUTH GA GOV SWCS ATH GA RVTELECOMM/CABLE FCIC	101.373	10,137.30	312.50	10/16/12	10,000	102.572	10,143.84	(6.54)
B/E OID 97.978 3.37%									
CPN 3.125% DUE 01/01/14									
DTD 04/01/04 FC 07/01/04									
CUSIP#: 838001AK1									
10,000	SOUTH REG JT DEV ATH GA RVVSU AUXILIARY SVC STDNT	103.859	10,385.90	450.00	12/22/11	10,000	100.045	10,003.69	382.21
AASRD GTY B/E OID96.903									
CPN 4.500% DUE 08/01/39									
DTD 06/26/08 FC 08/01/08									
CALL 08/01/18 @ 100.000									
CUSIP#: 839636BT3									
25,000	SPRINGFIELD GA WTR & SWR REV RFDG B/E B/Q	99.074	24,768.50	750.00	06/14/12	25,000	100.018	25,004.00	(235.50)
OID @ 97.739 3.2%									
CPN 3.000% DUE 09/01/26									
DTD 07/17/12 FC 09/01/12									
CALL 09/01/18 @ 100.000									
CUSIP#: 850501BE6									





ACCOUNT NUMBER

Statement Period: 04/01/13 to 04/30/13

PORTFOLIO HOLDINGS - FIXED INCOME - MUNICIPAL DEBT

Quantity	Description	Price	Current Value	Est. Annual Income	Trade Date	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss
20,000	WAYNE CNTY GA HOSP AUTH DB RFDG & IMPT ANTIC CTF SYNCORA B/E OID @98.935 CPN 4.000% DUE 03/01/14 DTD 05/02/06 FC 09/01/06 CUSIP#: 944389BT1	100.803	20,160.60	800.00	04/20/09	20,000	95.745	19,723.25	437.35
Total Fixed Income - Municipal Debt			527,416.90	17,956.25	520,554.90				
Percentage of Total Invested Assets			46.36%						

PORTFOLIO HOLDINGS - UNIT INVESTMENT TRUSTS

Total Shares	Description	Symbol	Price	Current Value	Est. Annual Income	Trade Date	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss
2,895	FIRST TRUST 4069 DOW TARGET 10 APR 13 MO RE		10.478	30,334.67	956.50	04/05/13	2,895	9.902	28,668.58	1,666.09
Total Unit Investment Trusts				30,334.67	956.50					
Percentage of Total Invested Assets				2.67%						

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agee

EARL L CARTER

INVESTMENT ACCOUNT

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SIPC

ACCOUNT NUMBER

Statement Period: 04/01/13 to 04/30/13

SECURITY TRANSACTION ACTIVITY

Date	Transaction	Quantity	Description	Price	Amount
04/05/13	PURCHASE	2,895	FIRST TRUST 4069 DOW TARGET 10 APR 13 MO RE	9.902	(28,668.58)
04/19/13	PURCHASE	25,000	ATLANTA GA DEV AUTH STDNT HSG REV RPDG FACS PIEDMONT B/E OID @95.602 CPN 3.000% DUE 09/01/28 DTD 05/14/13 FC 09/01/13 CALL 09/01/23 @ 100.000	98.187	(24,551.25)
Total Securities Purchased					(53,219.83)
04/19/13	SALE	(25,000)	FULTON CNTY GA DEV AUTH REV TUFF/ATLANTA HSG LLC GA ST B/E OID @98.121 CPN 3.000% DUE 09/01/20 DTD 12/29/11 FC 03/01/12	105.00	26,355.92
Total Securities Sold					26,355.92



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EARL L CARTER

INVESTMENT ACCOUNT

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SIPC

ACCOUNT NUMBER

Statement Period: 04/01/13 to 04/30/13

MATURITIES, TENDERS, REDEMPTIONS

Date	Transaction	Quantity	Description	Amount
04/05/13	REDEMPTION	(2,526.652)	FIRST TRUST UNIT 3370 DOW TARGET 10 MAR 12 MONTHLY REIN	28,518.83
Total Maturities, Tenders, Redemptions Credit				28,518.83

OTHER BOOKKEEPING

Date	Transaction	Quantity	Description	Amount
04/08/13	CASH IN LIEU		FIRST TRUST ROLL OVER CASH-IN-LIEU OF FRAC	8.07
Total Other Bookkeeping Credits				8.07

INTEREST

Date	Transaction	Description	Amount
04/05/13	INTEREST	FIRST TRUST UNIT 3370 DOW TARGET 10 MAR 12 MONTHLY REIN FIRST TRUST UNIT 3370	157.16
Total Interest Credits			157.16

MONEY MARKET ACTIVITY

Date	Transaction	Quantity	Description	Amount
04/02/13	PURCHASE		TREASURY CASH TRUST	(26,142.88)
04/08/13	PURCHASE		TREASURY CASH TRUST	(7.41)
04/09/13	PURCHASE		TREASURY CASH TRUST	(8.07)
04/24/13	PURCHASE		TREASURY CASH TRUST	(26,355.92)
Total Money Market Debits				(52,514.28)

End of Statement



Home

Saving for
RetirementManaging
Life EventsEntering
RetirementMy
Account

Statement of Account

Carter's Pharmacy Profit Sharing Plan
Subscription: Carter's Pharmacy
Subset: All Employees

A statement of account is available for any period up to one year in length. The statement period must fall within the two year period ending 5/22/2013.

Beginning Date: (mm/dd/yyyy)

15Q108124

Ending Date: (mm/dd/yyyy)

15Q108124

Statement of Account for the period 04/30/2013 to 04/30/2013

Account Summary		Investment Summary		Source Summary		Loan Summary	
	beginning balance	contributions	distributions	other activity	transfers	investment income	ending balance
MM S&P 500 Index(Northm Trst)							
Dollars	\$284,755.47	\$0.00	\$0.00	\$0.00	\$0.00	\$765.47	\$285,520.94
Shares/Units	599.242314						599.242314
NAV/Price	475.192526						476.469926
Guaranteed							
Dollars	\$98,128.49	\$0.00	\$0.00	\$0.00	\$0.00	\$7.96	\$98,136.45
Shares/Units	5,768.171805						5,768.171805
NAV/Price	17.012061						17.013439
Comstock (Invesco)							
Dollars	\$967,151.81	\$0.00	\$0.00	\$0.00	\$0.00	\$477.85	\$967,629.66
Shares/Units	7,122.528239						7,122.528239
NAV/Price	135.787713						135.854802
MM S&P Md Cp Indx (Nrtn Tr)							
Dollars	\$146,134.71	\$0.00	\$0.00	\$0.00	\$0.00	\$1,291.15	\$147,425.86
Shares/Units	1,194.906051						1,194.906051
NAV/Price	122.298073						123.378618

This statement displays the activity on your account for the business days during the period you select. Activity posted after the close of business will be reflected in the statement for the following business day. Balances are subject to your plan's vesting requirements. Your current vested balance is displayed on the Account Balances page.

Contact Information

For additional information:

1-800-743-5274

www.retiresmart.com

EARL CARTER

01/01/2013 - 03/31/2013

Birth Year: 1957 Hire Date: 11/01/1988

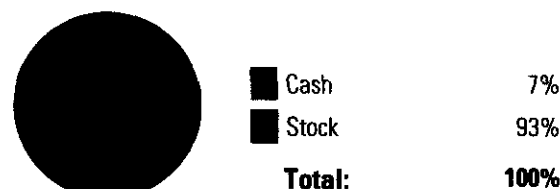
Carter's Pharmacy Profit Sharing Plan Retirement Plan Statement

account number [REDACTED] 1-1

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Beginning Balance		\$1,298,335.05
Contributions	<i>Participant</i>	\$5,269.12
	<i>Employer</i>	\$28,192.52
Withdrawals		\$0.00
Expenses		-\$1,205.97
Gain/Loss		\$137,972.83
Ending Balance		\$1,468,563.55
Vested Balance		\$1,468,563.55
Change this Period		\$170,228.50

Investment Mix by Asset Class



Your vested account balance is current as of 03/31/2013.

Balances are rounded. Investments with less than 1% are not shown.

Rate of Return

This Period	10.50%
Year-to-Date	10.50%

Estimated dollar-weighted rates of return based on cash flow in account. The calculation assumes an evenly distributed cash flow throughout applicable periods. Returns could be distorted by non-periodic transactions and may differ from the investment option performance because of the level and timing of cash flows. Plan expenses are not reflected as part of this calculation.



PLAN Getting a tax refund and not sure what to do with it? Try a 45/45/10 model: 45% save, 45% debt, 10% splurge!

RetireSMARTSM
Moves

ALOCATE



CONSOLIDATE



PLAN



SAVE

[illegible]

Lifestage planning, Lifestyle choices

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How you take distributions from your retirement account is as important as your total nest egg amount. If you are 50 or over, the RetireSmart Ready tool on our website now lets you customize and plan for your income needs in retirement. Set your priorities, personalize your goals, plan your budget, and create a strategy that balances income in retirement from your available sources. Log on and learn more today!

If you have ever wondered about the answer, or even wondered if they should, check out our upcoming RetireSmart online seminar featuring Farnoosh Torabi, best-selling author, finance journalist, and Gen Y money coach. You'll learn more about gender-based considerations when it comes to finance and retirement planning.

May 8, 2013
12:00 p.m. ET
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The comments made by Farnoush Torabi are solely her opinion and do not reflect the opinion or position of MassMutual. Farnoush is an independent consultant, who is not an employee of MassMutual.

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Beneficiary(ies) ::::::::::::::::::::

Amy C. Carter


Percentage
100%

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	Beginning Balance	Deposits	Withdrawals	Expenses	Gain/Loss	Ending Balance
PARTICIPANT						
Rollover	\$143,596.02	\$0.00	\$0.00	\$0.00	\$16,375.22	\$159,971.24

Activity Summary by Source (continued) ::::::::::::::::::::::::::::::::::

	Beginning Balance	Deposits	Withdrawals	Expenses	Gain/Loss	Ending Balance
401(k) Contribution	\$386,529.55	\$5,269.12	\$0.00	-\$1,205.97	\$40,037.12	\$430,629.82
TOTAL PARTICIPANT	\$530,125.57	\$5,269.12	\$0.00	-\$1,205.97	\$56,412.34	\$590,601.06
EMPLOYER						
Company Profit Sharing	\$607,003.12	\$19,250.24	\$0.00	\$0.00	\$64,275.57	\$690,528.93
Company Match	\$161,206.36	\$1,442.28	\$0.00	\$0.00	\$17,010.63	\$179,659.27
Safe Harbor Non-elective	\$0.00	\$7,500.00	\$0.00	\$0.00	\$274.29	\$7,774.29
TOTAL EMPLOYER	\$768,209.48	\$28,192.52	\$0.00	\$0.00	\$81,560.49	\$877,962.49
TOTAL ALL SOURCES	\$1,298,335.05	\$33,461.64	\$0.00	-\$1,205.97	\$137,972.83	\$1,468,563.55

 **PLAN** Saving for retirement is especially critical for women. On average, women live longer and earn less than men. And most women - roughly 90% - will be on their own, financially, at some point in their lives.

Contribution Summary by Source ::::::::::::::::::::::::::::::::::

Pay Period: 12/31/2012 - 02/12/2013

	This Period	Vested Balance	Vested Percent
PARTICIPANT			
Rollover	\$0.00	\$159,971.24	100%
401(k) Contribution	\$5,269.12	\$430,629.82	100%
TOTAL PARTICIPANT	\$5,269.12	\$590,601.06	
EMPLOYER			
Company Profit Sharing	\$19,250.24	\$690,528.93	100%
Company Match	\$1,442.28	\$179,659.27	100%
Safe Harbor Non-elective	\$7,500.00	\$7,774.29	100%
TOTAL EMPLOYER	\$28,192.52	\$877,962.49	
TOTAL CONTRIBUTIONS	\$33,461.64	\$1,468,563.55	

Expense Detail ::::::::::::::::::::::::::::::::::

	Amount
Plan Administration Fee*	-\$1,205.97

*These charges may include, but are not limited to, fees/expenses for legal, accounting, audit, compliance, intermediary/advisor, investment, record keeping, and trustee services (collectively "Plan Administration Fee").

In addition to the fees and expenses disclosed on the quarterly statement, some of the plan's administrative expenses for the preceding quarter were paid from the total annual operating expenses of one or more of the plan's designated investment alternatives (e.g. through revenue sharing arrangements, Rule 12b-1 fees, sub transfer agent fees).

Life Insurance Amount	Annual Premium	Cash Value
\$598,457.00	\$0.00	\$168,309.86

The fair market value of the policy may not equal, and may exceed, the cash surrender value of the policy at the time of transfer. Cash value is not included in statement ending balance.

	Beginning Balance	Deposits	Withdrawals	Expenses	Transfers	Gain/Loss	Ending Balance	% of Assets
CASH								
Guaranteed	\$91,791.88	\$5,019.23	\$0.00	-\$102.87	\$0.00	\$693.98	\$97,402.22	7%
TOTAL CASH	\$91,791.88	\$5,019.23	\$0.00	-\$102.87	\$0.00	\$693.98	\$97,402.22	7%
STOCK								
Sel Lrg Cp Val (Columb/Huber)	\$617,490.79	\$0.00	\$0.00	\$0.00	-\$635,652.29	\$18,161.50	\$0.00	0%
Equity Div Income (Fidelity)	\$222,072.77	\$0.00	\$0.00	\$0.00	-\$227,877.55	\$5,804.78	\$0.00	0%
Comstock (Invesco)	\$0.00	\$11,711.59	\$0.00	-\$686.20	\$863,529.84	\$71,420.44	\$945,975.67	64%
MM S&P 500 Index(Northrn Trst)	\$241,754.52	\$11,711.59	\$0.00	-\$275.83	\$0.00	\$25,820.43	\$279,010.71	19%
Mid Cap Index (Columbia)	\$0.00	\$5,019.23	\$0.00	-\$141.07	\$128,768.38	\$12,528.41	\$146,174.95	10%
Sel Md Cp Gr II (TRP/Frontier)	\$125,225.09	\$0.00	\$0.00	\$0.00	-\$128,768.38	\$3,543.29	\$0.00	0%
TOTAL STOCK	\$1,206,543.17	\$28,442.41	\$0.00	-\$1,103.10	\$0.00	\$137,278.85	\$1,371,161.33	93%
TOTAL ALL INVESTMENTS	\$1,298,335.05	\$33,461.64	\$0.00	-\$1,205.97	\$0.00	\$137,972.83	\$1,468,563.55	100%

Percentages are rounded. Balances with less than 1% show as 0%. Expenses column does not include the investments' expenses.

Blue indicates options you are invested in. Balances rounded, investments with less than \$1 not shown.

The Future Contribution Investment Selection source group(s) in the chart below are defined as follows:

	Balance	Future Contribution Investment Selection	1 Year	3 Years	5 Years	10 Years or Since Inception
CASH		Source Group 1				
STABLE VALUE						
Guaranteed	\$97,402	15%	N/A	N/A	N/A	N/A
Effective Annual Interest Rate: 3.00%						
Benchmark: N/A						
BOND						
INTERMEDIATE TERM BOND						
Prm Core Bond (Babson)			5.10%	6.14%	6.36%	5.35%
Expense Ratio as of 04/02/2012:						
Gross .78%, Net .60%						
Benchmark: Barclays US Agg Bond			3.79%	5.53%	5.47%	5.03%

Investment Performance as of 03/31/2013 (continued) ::::::::::::::::::::::::::::::::::

	Balance	Future Contribution Investment Selection	1 Year	3 Years	5 Years	10 Years or Since Inception
Bond Index (Northern)		Source Group 1				
Expense Ratio as of 08/24/2012:			3.31%	5.01%	4.80%	5.17%
Gross .76%, Net .47%						
Inception Date: 03/01/2007						
Benchmark: Barclays US Agg Bond			3.79%	5.53%	5.47%	5.03%
STOCK						
LARGE CAP VALUE						
Comstock (Invesco)	\$945,975	35%	17.70%	12.25%	6.51%	9.10%
Expense Ratio as of 08/28/2012:						
Gross .88%, Net .88%						
Benchmark: Russell 1000® Value Idx			18.77%	12.74%	4.85%	9.17%
LARGE CAP CORE						
MM S&P 500 Index(Northern Trst)	\$279,010	35%	13.48%	12.19%	5.37%	8.07%
Expense Ratio as of 04/02/2012:						
Gross .47%, Net .42%						
Benchmark: S&P 500® Index			13.95%	12.67%	5.81%	8.53%
Blended Research Core Eq (MFS)			12.94%	12.14%	6.13%	9.44%
Expense Ratio as of 04/02/2012:						
Gross 1.39%, Net .65%						
Benchmark: S&P 500® Index			13.95%	12.67%	5.81%	8.53%
LARGE CAP GROWTH						
Capital Appreciation (Alger)			10.38%	11.39%	7.22%	11.77%
Expense Ratio as of 03/01/2012:						
Gross 1.31%, Net 1.31%						
Benchmark: Russell 1000® Growth Index			10.09%	13.06%	7.30%	8.63%
MID CAP CORE						
Mid Cap Index (Columbia)	\$146,174	15%	17.29%	14.61%	9.44%	12.04%
Expense Ratio as of 07/01/2012:						
Gross .68%, Net .46%						
Benchmark: S&P MidCap 400			17.83%	15.13%	9.86%	12.44%
SMALL CAP VALUE						
Sm Cmpny Opportunity (Victory)			13.89%	12.63%	8.70%	12.16%
Expense Ratio as of 04/02/2012:						
Gross 1.41%, Net 1.41%						
Benchmark: Russell 2000® Idx			16.30%	13.45%	8.24%	11.52%
SMALL CAP CORE						
Small Cap Index (Northern)			15.90%	12.82%	7.66%	10.77%
Expense Ratio as of 07/31/2012:						
Gross .84%, Net .46%						
Benchmark: Russell 2000® Idx			16.30%	13.45%	8.24%	11.52%

Investment Performance as of 03/31/2013 (continued) ::::::::::::::::::::::::::::::

	Balance	Future Contribution Investment Selection	1 Year	3 Years	5 Years	10 Years or Since Inception
		Source Group 1				
Small Cap Equity (Invesco)			15.00%	14.66%	8.09%	11.34%
Expense Ratio as of 04/30/2012:						
Gross 1.35%, Net 1.35%						
Benchmark: Russell 2000® Idx			16.30%	13.45%	8.24%	11.52%
SMALL CAP GROWTH						
New Horizons (T. Rowe Price)			13.34%	19.69%	13.46%	14.02%
Expense Ratio as of 08/08/2012:						
Gross 1.06%, Net 1.06%						
Benchmark: Russell 2000® Growth Index			14.52%	14.74%	9.04%	11.61%
INTL/GLOBAL LARGE CORE						
Sel Overseas (MFS/Hrs/JPMorgn)			11.68%	6.47%	3.25%	10.76%
Expense Ratio as of 06/30/2012:						
Gross 1.44%, Net 1.13%						
Benchmark: MSCI® EAFE® Idx			11.25%	5.00%	-.89%	9.69%
Intl Equity Index (Northern)¹			10.83%	4.55%	-1.36%	3.67%
Expense Ratio as of 08/24/2012:						
Gross .93%, Net .56%						
Inception Date: 04/01/2005						
Benchmark: MSCI® EAFE® Idx			11.25%	5.00%	-.89%	9.69%
ASSET ALLOCATION						
ASSET ALLOCATION/LIFESTYLE						
Prm Balanced (Babson)			10.08%	9.54%	6.23%	7.36%
Expense Ratio as of 04/02/2012:						
Gross .70%, Net .68%						
Benchmark: Premier Balanced Custom Index			10.87%	10.39%	5.72%	7.22%
ASSET ALLOCATION/LIFECYCLE						
Retirmnt Incm (T. Rowe Price)			6.85%	6.92%	5.23%	6.62%
Expense Ratio as of 10/01/2012:						
Gross .82%, Net .82%						
Benchmark: DJ Moderately Conservative			6.67%	7.51%	5.18%	7.46%
Retirmnt 2005 (T. Rowe Price)			7.63%	7.67%	5.44%	5.74%
Expense Ratio as of 10/01/2012:						
Gross .84%, Net .84%						
Inception Date: 03/01/2004						
Benchmark: DJ Target Today Index			3.80%	5.98%	5.18%	5.97%
Retirmnt 2010 (T. Rowe Price)			8.14%	8.19%	5.40%	8.11%
Expense Ratio as of 03/01/2012:						
Gross .86%, Net .86%						
Benchmark: DJ Target 2010 Index			4.69%	6.51%	4.73%	7.44%

Investment Performance as of 03/31/2013 (continued) ::::::::::::::::::::::::::::::

	Balance	Future Contribution Investment Selection	1 Year	3 Years	5 Years	10 Years or Since Inception
Retirmnt 2015 (T. Rowe Price)		Source Group 1	8.97%	8.82%	5.56%	6.07%
Expense Ratio as of 10/01/2012:						
Gross .91%, Net .91%						
Inception Date: 03/01/2004						
Benchmark: DJ Target 2015 Index			5.81%	7.13%	4.75%	8.17%
Retirmnt 2020 (T. Rowe Price)			9.55%	9.32%	5.56%	8.83%
Expense Ratio as of 10/01/2012:						
Gross .95%, Net .95%						
Benchmark: DJ Target 2020 Index			7.03%	7.84%	4.83%	9.03%
Retirmnt 2025 (T. Rowe Price)			10.20%	9.64%	5.51%	6.23%
Expense Ratio as of 10/01/2012:						
Gross .98%, Net .98%						
Inception Date: 03/01/2004						
Benchmark: DJ Target 2025 Index			8.33%	8.61%	5.04%	9.81%
Retirmnt 2030 (T. Rowe Price)			10.61%	9.97%	5.49%	9.36%
Expense Ratio as of 10/01/2012:						
Gross 1.00%, Net 1.00%						
Benchmark: DJ Target 2030 Index			9.58%	9.20%	5.17%	10.37%
Retirmnt 2035 (T. Rowe Price)			10.83%	10.11%	5.45%	6.26%
Expense Ratio as of 10/01/2012:						
Gross 1.02%, Net 1.02%						
Inception Date: 03/01/2004						
Benchmark: DJ Target 2035 Index			10.68%	9.69%	5.30%	10.71%
Retirmnt 2040 (T. Rowe Price)			11.02%	10.22%	5.52%	9.35%
Expense Ratio as of 10/01/2012:						
Gross 1.03%, Net 1.03%						
Benchmark: DJ Target 2040 Index			11.49%	10.03%	5.42%	10.81%
Retirmnt 2045 (T. Rowe Price)			11.02%	10.22%	5.51%	6.23%
Expense Ratio as of 10/01/2012:						
Gross 1.03%, Net 1.03%						
Inception Date: 06/01/2005						
Benchmark: DJ Target 2045 Index			11.91%	10.21%	5.55%	10.87%
Retirmnt 2050 (T. Rowe Price)			11.03%	10.21%	5.50%	3.84%
Expense Ratio as of 10/01/2012:						
Gross 1.03%, Net 1.03%						
Inception Date: 01/01/2007						
Benchmark: DJ Target 2050 Index			11.95%	10.22%	5.55%	10.87%

Investment Performance as of 03/31/2013 (continued) ::::::::::::::::::::::::::::::::::

	Balance	Future Contribution Investment Selection	1 Year	3 Years	5 Years	10 Years or Since Inception
		Source Group 1				
Retirmnt 2055 (T. Rowe Price)			11.09%	10.26%	5.49%	3.84%
Expense Ratio as of 10/01/2012:						
Gross 1.03%, Net 1.03%						
Inception Date: 01/01/2007						
Benchmark: DJ Target 2055 Index			11.95%	10.22%	5.55%	10.87%

1 Transfers in or out of this investment may not be made between 2:30 p.m. and 4 p.m. ET each day.

Performance shown since inception date for options that do not have full time periods. Inception date information may vary by investment option. Consult the applicable prospectus or fact sheet as noted for additional details.

Performance shown is historical and does not guarantee future results. Current performance may be higher or lower than that shown. For investment options other than the guaranteed or fixed interest accounts, investment return and principal values fluctuate so that your value at distribution may be more or less than the amount invested. There are no assurances investment objectives will be achieved. Performance shown is net of investment management fees and other investment fees and expenses and includes reinvestment of dividends and capital gains distributions, if any. Contract or plan charges, if applicable, have not been deducted from performance. Returns will be lower if they are deducted.

Money in the guaranteed or fixed interest account is subject to market value adjustment if your plan's contract is terminated (in whole or part) prior to distribution, in which case your account balance may increase or decrease.

Indexes and benchmarks are not actively managed, do not incur fees or expenses and are not available for direct investment.

For Current Performance (including most recent month end) or information on Investment Selections please go to www.retiresmart.com.

Please consider an investment option's objectives, risks, fees and expenses carefully before investing. This and other information about the investment option can be found in the applicable prospectuses or summary prospectuses, if any, or fact sheets for the investment options listed, which are available from your plan sponsor, the participant web site at www.retiresmart.com, or by contacting our Participant Information Center at 1-800-743-5274 between 8:00 a.m. and 8:00 p.m. ET, Monday through Friday. Please read them carefully before investing.

Important Information ::::::::::::::::::::::::::::::::::

Please review the information contained in this statement. Call **1-800-743-5274** and notify MassMutual in writing within 30 days if you think any of the data is incorrect. MassMutual is not responsible for losses that arise due to changes in the market or if you do not contact us in a timely manner.

Important Information (continued)

To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly. If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk. In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk. It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals.

For more information regarding individual investing and diversification, please visit the Department of Labor website at www.dol.gov/ebsa/investing.html.

Permitted Disparity If applicable, the Plan Administrator may allocate the plan sponsor's non-elective (Profit Sharing/Money Purchase) contributions to the Plan using a method known as "permitted disparity." Under this method, the plan administrator will allocate a greater amount (measured as a percentage of compensation) to those whose annual compensation exceeds a specified amount up to the Social Security Taxable Wage Base in effect for the year (excess contribution), and a lesser percentage to those whose compensation does not exceed the specified amount (base contribution). The permitted disparity between the allocation rate of the base contribution and the excess contribution is limited to a percentage that can not exceed 5.7%. The allocation is structured to take into account the lower contribution rate that the plan sponsor makes to Social Security on behalf of those participants who earn more than the Social Security Taxable Wage Base.

Excessive Trading Your plan is subject to controls on excessive trading which generally prohibit you from transferring in to most investment options if you have transferred in and out of the option within the previous 60 days. Certain stable value investment options are not subject to this restriction. A copy of the complete excessive trading control policy applicable to your plan is provided annually with your account statement and is also available on MassMutual's website, RetireSmartSM, at www.retiresmart.com.

Life Insurance If applicable, the cash value of your life insurance policy(ies) will be provided to you periodically in a separate report from your plan sponsor if the values have not been provided to MassMutual for reporting on this statement.

Accrued Benefit (vesting) Your account statement indicates the amount of your accrued benefit and, in most cases, the portion of your benefit which is vested (nonforfeitable). If your statement does not indicate the portion of your benefit which is vested or if the vested amount shown on your statement is zero, please refer to the vesting provisions in your Summary Plan Description or consult with your Plan Administrator for that information.

POOLER GA 31322-

www.retiresmart.com

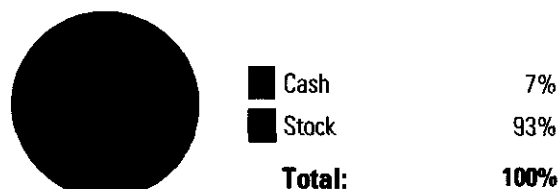
POOLER GA 31322-

Birth Year: 1957 Hire Date: 11/01/1988

account number [REDACTED]

Beginning Balance		\$1,291,345.59
Contributions	<i>Participant</i>	\$5,192.28
	<i>Employer</i>	\$1,442.28
Withdrawals		\$0.00
Expenses		-\$2,891.42
Gain/Loss		\$3,246.32
Ending Balance		\$1,298,335.05
Vested Balance		\$1,298,335.05
Change this Period		\$6,989.46

Investment Mix by Asset Class



Balances are rounded. Investments with less than 1% are not shown.

This Period	0.25%
Year-to-Date	11.62%

Estimated dollar-weighted rates of return based on cash flow in account. The calculation assumes an evenly distributed cash flow throughout applicable periods. Returns could be distorted by non-periodic transactions and may differ from the investment option performance because of the level and timing of cash flows. Plan expenses are not reflected as part of this calculation.



PLAN If you are wondering how much Social Security will provide at retirement, use the participant website Social Security Benefits calculator. It will help you estimate what you might receive, for a better idea of your total financial picture in retirement.

RetireSMARTSM
Moves

DISCUSSION



Discussion



附註



2000

Start the year right:

IMPROVE YOUR FINANCIAL OUTLOOK

	Beginning Balance	Deposits	Withdrawals	Expenses	Gain/Loss	Ending Balance
401(k) Contribution	\$383,364.85	\$5,192.28	\$0.00	-\$2,891.42	\$863.84	\$386,529.55
TOTAL PARTICIPANT	\$526,439.19	\$5,192.28	\$0.00	-\$2,891.42	\$1,385.52	\$530,125.57
EMPLOYER						
Company Profit Sharing	\$605,554.69	\$0.00	\$0.00	\$0.00	\$1,448.43	\$607,003.12
Company Match	\$159,351.71	\$1,442.28	\$0.00	\$0.00	\$412.37	\$161,206.36
TOTAL EMPLOYER	\$764,906.40	\$1,442.28	\$0.00	\$0.00	\$1,860.80	\$768,209.48
TOTAL ALL SOURCES	\$1,291,345.59	\$6,634.56	\$0.00	-\$2,891.42	\$3,246.32	\$1,298,335.05



Contribution Summary by Source ::::::::::::::::::::

	This Period	Vested Balance	Vested Percent
PARTICIPANT			
Rollover	\$0.00	\$143,596.02	100%
401(k) Contribution	\$5,192.28	\$386,529.55	100%
TOTAL PARTICIPANT	\$5,192.28	\$530,125.57	
EMPLOYER			
Company Profit Sharing	\$0.00	\$607,003.12	100%
Company Match	\$1,442.28	\$161,206.36	100%
TOTAL EMPLOYER	\$1,442.28	\$768,209.48	
TOTAL CONTRIBUTIONS	\$6,634.56	\$1,298,335.05	

[illegible]

	Amount
Plan Administration Fee*	-\$2,891.42

*These charges may include, but are not limited to, fees/expenses for legal, accounting, audit, compliance, intermediary/advisor, investment, record keeping, and trustee services (collectively "Plan Administration Fee").

In addition to the fees and expenses disclosed on the quarterly statement, some of the plan's administrative expenses for the preceding quarter were paid from the total annual operating expenses of one or more of the plan's designated investment alternatives (e.g. through revenue sharing arrangements, Rule 12b-1 fees, sub transfer agent fees).

Life Insurance Amount	Annual Premium	Cash Value
\$598,457.00	\$0.00	\$168,309.86

The fair market value of the policy may not equal, and may exceed, the cash surrender value of the policy at the time of transfer. Cash value is not included in statement ending balance.

	Beginning Balance	Deposits	Withdrawals	Expenses	Transfers	Gain/Loss	Ending Balance	% of Assets
CASH								
Guaranteed	\$90,373.54	\$995.16	\$0.00	-\$253.55	\$0.00	\$676.73	\$91,791.88	7%
TOTAL CASH	\$90,373.54	\$995.16	\$0.00	-\$253.55	\$0.00	\$676.73	\$91,791.88	7%
STOCK								
Sel Lrg Cp Val (Columb/Huber)	\$616,294.76	\$0.00	\$0.00	-\$1,040.43	\$0.00	\$2,236.46	\$617,490.79	47%
Equity Div Income (Fidelity)	\$220,905.96	\$2,322.06	\$0.00	-\$609.19	\$0.00	-\$546.06	\$222,072.77	17%
MM S&P 500 Index(Northern Trst)	\$241,243.44	\$2,322.12	\$0.00	-\$657.25	\$0.00	-\$1,153.79	\$241,754.52	19%
Sel Md Cp Gr II (TRP/Frontier)	\$122,527.89	\$995.22	\$0.00	-\$331.00	\$0.00	\$2,032.98	\$125,225.09	10%
TOTAL STOCK	\$1,200,972.05	\$5,639.40	\$0.00	-\$2,637.87	\$0.00	\$2,569.59	\$1,206,543.17	93%
TOTAL ALL INVESTMENTS	\$1,291,345.59	\$6,634.56	\$0.00	-\$2,891.42	\$0.00	\$3,246.32	\$1,298,335.05	100%

Percentages are rounded. Balances with less than 1% show as 0%. Expenses column does not include the investments' expenses.

Blue indicates options you are invested in. Balances rounded, investments with less than \$1 not shown.

The Future Contribution Investment Selection source group(s) in the chart below are defined as follows:

Source Group 1 - All Contributions

	Balance	Future Contribution Investment Selection	1 Year	3 Years	5 Years	10 Years or Since Inception
CASH		Source Group 1				
STABLE VALUE						
Guaranteed	\$91,791	15%	N/A	N/A	N/A	N/A
Effective Annual Interest Rate: 3.00%						
Benchmark: N/A						
Premier Money Market (Babson)'			.01%	.01%	.46%	1.59%
Expense Ratio as of 03/01/2012:						
Gross .46%, Net .46%						
Benchmark: CitiTreasury Bill 3Mon			.09%	.08%	.44%	1.69%

Investment Performance as of 12/31/2012 (continued) ::::::::::::::::::::::::::::::

	Balance	Future Contribution Investment Selection	Source Group 1	1 Year	3 Years	5 Years	10 Years or Since Inception
BOND							
INTERMEDIATE TERM BOND							
Prm Core Bond (Babson)				5.94%	6.85%	6.56%	5.50%
Expense Ratio as of 04/02/2012:							
Gross .78%, Net .60%							
Benchmark: Barclays US Agg Bond				4.23%	6.20%	5.95%	5.19%
STOCK							
LARGE CAP VALUE							
Sel Lrg Cp Val (Columb/Huber)¹	\$617,490			11.22%	6.43%	-.91%	6.26%
Expense Ratio as of 09/17/2012:							
Gross .77%, Net .75%							
Benchmark: S&P 500® Index				15.98%	10.87%	1.66%	7.09%
Equity Div Income (Fidelity)¹	\$222,072	35%		14.41%	7.92%	-1.38%	5.26%
Expense Ratio as of 04/02/2012:							
Gross .93%, Net .93%							
Benchmark: Russell 1000® Value Idx				17.50%	10.86%	.59%	7.37%
LARGE CAP CORE							
Sel Focused Value (Harris)¹				21.17%	13.77%	6.57%	11.04%
Expense Ratio as of 04/02/2012:							
Gross .90%, Net .80%							
Benchmark: Russell 1000® Idx				16.42%	11.12%	1.92%	7.52%
MM S&P 500 Index(Northrn Trst)	\$241,754	35%		15.51%	10.40%	1.25%	6.65%
Expense Ratio as of 04/02/2012:							
Gross .47%, Net .42%							
Benchmark: S&P 500® Index				15.98%	10.87%	1.66%	7.09%
MID CAP GROWTH							
Sel Md Cp Gr II (TRP/Frontier)¹	\$125,225	15%		14.33%	12.63%	4.00%	10.75%
Expense Ratio as of 06/30/2012:							
Gross 1.20%, Net 1.10%							
Benchmark: Russell Mid Cap® Growth Index				15.81%	12.91%	3.23%	10.32%
INTL/GLOBAL LARGE GROWTH							
Prem Intl Equity (OFI Inst)¹				21.43%	8.83%	.56%	11.80%
Expense Ratio as of 09/17/2012:							
Gross 1.07%, Net 1.07%							
Benchmark: MSCI® EAFE® Idx				17.32%	3.56%	-3.69%	8.21%
ASSET ALLOCATION							
ASSET ALLOCATION/LIFESTYLE							
Global Allocation (OFI)¹				10.50%	5.19%	1.28%	5.47%
Expense Ratio as of 04/02/2012:							
Gross 1.12%, Net 1.04%							
Benchmark: Lipper Balanced Index				11.87%	8.03%	2.80%	6.50%

Investment Performance as of 12/31/2012 (continued) ::::::::::::::::::::::::::::::::::

1 Contributions may not be invested in this investment option.

1 Transfers into this investment option are not allowed.

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Earl Carter, XXXXX3657, Participant, Active

[illegible]

Partner's Share of Income, Deductions, Credits, etc. ▶ See back of form and separate instructions.

Part I

A Partnership's employer identification number
[REDACTED]

B Partnership's name, address, city, state, and ZIP code
Guardian Point, L.P.
224 Court Square
Charlottesville, VA 22902

C IRS Center where partnership filed return
Ogden, UT

D ☐ Check if this is a publicly traded partnership (PTP)

Part II

E Partner's identifying number 3

F Partner's name, address, city, state, and ZIP code
Earl Carter
Pooler, GA 31322

G ☐ General partner or LLC member-manager ☒ Limited partner or other LLC member

H ☒ Domestic partner ☐ Foreign partner

I1 What type of entity is this partner? (see instructions) INDIVIDUAL

I2 If this partner is a retirement plan (IRA/SEP/Keogh/etc.), check here (see instructions) ☐

J Partner's share of profit, loss, and capital (see instructions):

	Beginning	Ending
Profit	0.00 %	0.91 %
Loss	0.00 %	0.91 %
Capital	0.00 %	0.91 %

K Partner's share of liabilities at year end:

Nonrecourse	\$
Qualified nonrecourse financing	\$
Recourse	\$

L Partner's capital account analysis:	
Beginning capital account	\$ _____
Capital contributed during the year	\$ <u>500,000</u>
Current year increase (decrease)	\$ <u>62,430</u>
Withdrawals & distributions	\$ (_____)
Ending capital account	\$ <u>562,430</u>

☐ Tax basis ☒ GAAP ☐ Section 704(b) book
☐ Other (explain) _____

M Did the partner contribute property with a built-in gain or loss?
☐ Yes ☒ No
 If "Yes," attach statement (see instructions)

Part III

1	Ordinary business income (loss)	15	Credits
2	Net rental real estate income (loss)		
3	Other net rental income (loss)	16	Foreign transactions See Statement
4	Guaranteed payments		
5	Interest income		
6a	Ordinary dividends 3,741		
6b	Qualified dividends 3,076		
7	Royalties		
8	Net short-term capital gain (loss) 1,530		
9a	Net long-term capital gain (loss) 42	17	Alternative minimum tax (AMT) items
9b	Collectibles (28%) gain (loss)		
9c	Unrecaptured section 1250 gain		
10	Net section 1231 gain (loss)	18	Tax-exempt income and nondeductible expenses
11	Other income (loss) See Statement		
12	Section 179 deduction	19	Distributions
13	Other deductions See Statement		
		20	Other information See Statement
14	Self-employment earnings (loss) See Statement		

***See attached statement for additional information.**

For IRS Use Only

This list identifies the codes used on Schedule K-1 for all partners and provides summarized reporting information for partners who file Form 1040. For detailed reporting and filing information, see the separate Partner's Instructions for Schedule K-1 and the instructions for your income tax return.

1. Ordinary business income (loss). Determine whether the income (loss) is passive or nonpassive and enter on your return as follows.

	Report on
Passive loss	See the Partner's Instructions
Passive income	Schedule E, line 28, column (g)
Nonpassive loss	Schedule E, line 28, column (h)
Nonpassive income	Schedule E, line 28, column (i)
2. Net rental real estate income (loss)	See the Partner's Instructions
3. Other net rental income (loss)	See the Partner's Instructions
Net income	Schedule E, line 28, column (g)
Net loss	See the Partner's Instructions
4. Guaranteed payments	Schedule E, line 28, column (j)
5. Interest income	Form 1040, line 8a
6a. Ordinary dividends	Form 1040, line 9a
6b. Qualified dividends	Form 1040, line 9b
7. Royalties	Schedule E, line 4
8. Net short-term capital gain (loss)	Schedule D, line 5
9a. Net long-term capital gain (loss)	Schedule D, line 12
9b. Collectibles (28%) gain (loss)	28% Rate Gain Worksheet, line 4 (Schedule D instructions)
9c. Unrecaptured section 1250 gain	See the Partner's Instructions
10. Net section 1231 gain (loss)	See the Partner's Instructions
11. Other income (loss)	See the Partner's Instructions
Code	
A Other portfolio income (loss)	See the Partner's Instructions
B Involuntary conversions	See the Partner's Instructions
C Sec. 1256 contracts & straddles	Form 6781, line 1
D Mining exploration costs recapture	See Pub. 535
E Cancellation of debt	Form 1040, line 21 or Form 982
F Other income (loss)	See the Partner's Instructions
12. Section 179 deduction	See the Partner's Instructions
13. Other deductions	See the Partner's Instructions
A Cash contributions (50%)	See the Partner's Instructions
B Cash contributions (30%)	
C Noncash contributions (50%)	
D Noncash contributions (30%)	
E Capital gain property to a 50% organization (30%)	
F Capital gain property (20%)	See the Partner's Instructions
G Contributions (100%)	
H Investment interest expense	Form 4952, line 1
I Deductions—royalty income	Schedule E, line 19
J Section 59(e)(2) expenditures	See the Partner's Instructions
K Deductions—portfolio (2% floor)	Schedule A, line 23
L Deductions—portfolio (other)	Schedule A, line 28
M Amounts paid for medical insurance	Schedule A, line 1 or Form 1040, line 29
N Educational assistance benefits	See the Partner's Instructions
O Dependent care benefits	Form 2441, line 12
P Preproductive period expenses	See the Partner's Instructions
Q Commercial revitalization deduction from rental real estate activities	See Form 8582 instructions
R Pensions and IRAs	See the Partner's Instructions
S Reforestation expense deduction	See the Partner's Instructions
T Domestic production activities information	See Form 8903 instructions
U Qualified production activities income	Form 8903, line 7b
V Employer's Form W-2 wages	Form 8903, line 17
W Other deductions	See the Partner's Instructions

14. Self-employment earnings (loss)

Note. If you have a section 179 deduction or any partner-level deductions, see the Partner's Instructions before completing Schedule SE.

A Net earnings (loss) from self-employment	Schedule SE, Section A or B
B Gross farming or fishing income	See the Partner's Instructions
C Gross non-farm income	See the Partner's Instructions

15. Credits

A Low-income housing credit (section 42(j)(5)) from pre-2008 buildings	See the Partner's Instructions
B Low-income housing credit (other) from pre-2008 buildings	
C Low-income housing credit (section 42(j)(5)) from post-2007 buildings	
D Low-income housing credit (other) from post-2007 buildings	
E Qualified rehabilitation expenditures (rental real estate)	
F Other rental real estate credits	See the Partner's Instructions
G Other rental credits	
H Undistributed capital gains credit	
I Alcohol and cellulosic biofuel fuels credit	Form 1040, line 71; check box a
J See the Partner's Instructions	See the Partner's Instructions

Code	Report on
J Work opportunity credit	See the Partner's Instructions
K Disabled access credit	
L Empowerment zone and renewal community employment credit	
M Credit for increasing research activities	
N Credit for employer social security and Medicare taxes	
O Backup withholding	See the Partner's Instructions
P Other credits	
16. Foreign transactions	
A Name of country or U.S. possession	Form 1116, Part I
B Gross income from all sources	
C Gross income sourced at partner level	
Foreign gross income sourced at partnership level	
D Passive category	Form 1116, Part I
E General category	
F Other	
Deductions allocated and apportioned at partner level	
G Interest expense	Form 1116, Part I
H Other	Form 1116, Part I
Deductions allocated and apportioned at partnership level to foreign source income	
I Passive category	Form 1116, Part I
J General category	
K Other	
Other information	
L Total foreign taxes paid	Form 1116, Part II
M Total foreign taxes accrued	Form 1116, Part II
N Reduction in taxes available for credit	Form 1116, line 12
O Foreign trading gross receipts	Form 8873
P Extraterritorial income exclusion	Form 8873
Q Other foreign transactions	See the Partner's Instructions
17. Alternative minimum tax (AMT) items	
A Post-1986 depreciation adjustment	See the Partner's Instructions and the instructions for Form 6251
B Adjusted gain or loss	
C Depletion (other than oil & gas)	
D Oil, gas, & geothermal—gross income	
E Oil, gas, & geothermal—deductions	
F Other AMT items	
18. Tax-exempt income and nondeductible expenses	
A Tax-exempt interest income	Form 1040, line 8b
B Other tax-exempt income	See the Partner's Instructions
C Nondeductible expenses	See the Partner's Instructions
19. Distributions	
A Cash and marketable securities	See the Partner's Instructions
B Distribution subject to section 737	
C Other property	
20. Other information	
A Investment income	Form 4952, line 4a
B Investment expenses	Form 4952, line 5
C Fuel tax credit information	Form 4136
D Qualified rehabilitation expenditures (other than rental real estate)	See the Partner's Instructions
E Basis of energy property	See the Partner's Instructions
F Recapture of low-income housing credit (section 42(j)(5))	Form 8611, line 8
G Recapture of low-income housing credit (other)	Form 8611, line 8
H Recapture of investment credit	See Form 4255
I Recapture of other credits	See the Partner's Instructions
J Look-back interest—completed long-term contracts	See Form 8897
K Look-back interest—income forecast method	See Form 8866
L Dispositions of property with section 179 deductions	See the Partner's Instructions
M Recapture of section 179 deduction	
N Interest expense for corporate partners	
O Section 453(f)(3) information	
P Section 453A(c) information	
Q Section 1260(b) information	
R Interest allocable to production expenditures	
S CCF nonqualified withdrawals	
T Depletion information—oil and gas	
U Amortization of reforestation costs	
V Unrelated business taxable income	
W Precontribution gain (loss)	
X Section 108(j) information	
Y Other information	

Item L - Reconciliation

Total income (loss) per Schedule K-1	-877
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Unrealized appreciation (depreciation) and timing differences	63,307
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Guaranteed payments	NONE
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Transfer of interest in	NONE
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Transfer of interest out	NONE
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Total Current Year Increase (Decrease) Per Item L	62,430
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Box 6 and/or Box 11 Code F - Dividend Income

Amount of foreign source income included in Box 6a and/or Box 11 code F:	1,522
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Amount of foreign source income included in Box 6b and/or Box 11 code F:	1,522
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Box 11 - Other Income (Loss)

F - Other Income (Loss)

Section 988 gain (loss)	-745
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Total Box 11 Code F	-745
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Box 13 - Other Deductions

H - Investment interest expense	1,342
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W - Other Deductions

Other Deductions	3,874
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Total Box 13 Code W	3,874
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Box 14 - Self-Employment Earnings (Loss)

A - Net earnings (loss) from self-employment	NONE
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Box 16 - Foreign Transactions

A - Foreign country code	CA
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B - Gross income from all sources	5,313
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C - Gross income sourced at partner level	1,572
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Foreign Gross Income Sourced at Partnership Level:

D - Passive income	1,522
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Deductions Allocated and Apportioned at Partner Level

G - Interest expense	1,342
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H - Other	3,874
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Other Information:

L - Total foreign taxes paid	229
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Box 20 - Other Information

A - Investment income	3,741
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B - Investment expenses	3,874
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V - Unrelated Business Taxable Income

UBTI - Ordinary income (expense)	-255
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UBTI - Short term capital gain (loss)	302
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Your share of Qualified Dividends included in Ordinary income (loss) subject to UBTI	345
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Total Box 20 Code V	47
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Dividends Received Deduction:

Dividends eligible for the 70% Dividends Received Deduction	875
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Part III, Box 13 Other Deductions, Code H -- Investment Interest Expense:

Individual partners that do not materially participate in the operations of the Partnership must report interest expense as investment interest expense on Form 4952, line 1. After application of the limitations of Form 4952, any resulting deduction pertaining to this amount should be entered on Schedule E, Part II, column (h). Individual partners that materially participate in the operations of the Partnership enter the amount in Box 13 Code H directly on Schedule E, Part II, column (h).

Part III, Box 13 Other Deductions, Code W -- Other Deductions:

Professional fees, management fees, and other deductions which are related to the Partnership's activity as a trader in securities should be entered by an "individual" taxpayer on Schedule E, Part II as nonpassive.

Part III, Box 20 Other Information, Codes A & B -- Investment Income and Expenses:

The amount reported in Box 20 Code A includes Interest and Dividends (including Qualified Dividends). The amount reported in Box 20 Code B includes the expenses in Box 13 Codes K and/or W. Amounts reported in Boxes 1, 8, 9a, and 11 should be considered in computing your Net Investment Income. Please consult your tax advisor.

Non-Passive Activity Disclosure:

Unless otherwise noted, income from the Partnership is not passive income for purposes of the Passive Activity Loss rules (Temp. Reg. Section 1.469-1T(e)(6)).