### BILL CASSIDY, M.D.

6TH DISTRICT, LOUISIANA

### COMMITTEE ON AGRICULTURE

SUBCOMMITTEE ON CONSERVATION, CREDIT, ENERGY, AND RESEARCH

SUBCOMMITTEE ON RURAL DEVELOPMENT, BIOTECHNOLOGY, SPECIALTY CROPS, AND FOREIGN AGRICULTURE

### COMMITTEE ON EDUCATION AND LABOR

SUBCOMMITTEE ON EARLY CHILDHOOD, ELEMENTARY AND SECONDARY EDUCATION

SUBCOMMITTEE ON HIGHER EDUCATION, LIFELONG LEARNING, AND COMPETITIVENESS

### COMMITTEE ON NATURAL RESOURCES

SUBCOMMITTEE ON INSULAR AFFAIRS, OCEANS AND WILDLIFE



### HAND DELIVERED

WASHINGTON OFFICE:

506 CANNON HOUSE OFFICE BUILDING WASHINGTON, DC 20515 PHONE: (202) 225–3901 FAX: (202) 225–7313

DISTRICT OFFICE:

5555 HILTON AVENUE, SUITE 100 BATON ROUGE, LA 70808 PHONE: (225) 929–7711 EAX (225) 929–7888

http://cassidy.house.gov

### Congress of the United States

House of Representatives Washington, DC 20515

June 15, 2010

House Committee on Standards of Official Conduct HT-2, the Capitol Washington, D.C. 20515

Members of the Committee,

I write regarding the "Schedule I - Earned Income" section of the calendar year 2009 Financial Disclosure Statement. I received this income from Louisiana State University – Health Science Center (LSU-HSC) where I am faculty and have taught for twenty years.

As consistent with House Rules, I was paid in 2009 for services rendered prior to my swearing in. I also currently receive a teaching salary from LSU-HSC \$1,666.70 per month (\$20,000.00 per calendar year). I also did not receive any other benefits from LSU-HSC that would have caused me to exceed the earned income limit

I have included a breakdown of my 2009 (calendar year) LSU-HSC salary/compensation for 2009 services:

\$1,666.70	1/31/2009
\$1,666.70	2/28/2009
\$1,666.70	3/31/2009
\$1,666.70	4/30/2009
\$1,666.70	5/31/2009
\$1,666.70	6/30/2009
\$1,666.70	7/31/2009
\$1,666.70	8/31/2009
\$1,666.70	9/30/2009
\$1,666.70	10/31/2009
\$1,666.70	11/30/2009
\$1,666.70	12/31/2009 (actually paid on 1/4/2010)

To further clarify, I received a payment of \$37,067.42 from LSU-HSC in the 2009 calendar year for work that was carried out in the 2008 calendar year. My December, 2008 monthly salary was \$24,188.00 which is paid by LSU-HSC on January 1, 2009. The balance (\$9,247.00) is receipts for seeing private patients for whom collection was not

BILL CASSIDY, M.D.

6TH DISTRICT, LOUISIANA

COMMITTEE ON AGRICULTURE

SUBCOMMITTEE ON CONSERVATION, CREDIT, ENERGY, AND RESEARCH

SUBCOMMITTEE ON RURAL DEVELOPMENT, BIOTECHNOLOGY, SPECIALTY CROPS, AND FOREIGN AGRICULTURE

COMMITTEE ON EDUCATION AND LABOR

SUBCOMMITTEE ON EARLY CHILDHOOD, ELEMENTARY AND SECONDARY EDUCATION

SUBCOMMITTEE ON HIGHER EDUCATION, LIFELONG LEARNING, AND COMPETITIVENESS

COMMITTEE ON NATURAL RESOURCES

SUBCOMMITTEE ON INSULAR AFFAIRS, OCEANS AND WILDLIFE



### HAND DELIVERED

### WASHINGTON OFFICE:

506 CANNON HOUSE OFFICE BUILDING WASHINGTON, DC 20515 PHONE: {202} 225-3901 FAX: {202} 225-7313

DISTRICT OFFICE:

5555 HILTON AVENUE, SUITE 100 BATON ROUGE, LA 70808 PHONE: (226) 929-7711 FAX: (225) 929-7688

http://cassidy.house.gov

### Congress of the United States

House of Representatives Washington, DC 20515

made until after I was sworn in. By my recollection, the last such payment was in April of 2009.

Note, if LSU-HSC bills a third party payer for services I render in the course of my current responsibilities, LSU-HSC keeps the money to offset overhead expenses.

It is my intent to assure the Committee that I have not exceeded the outside earned income limit for calendar year 2009. In the spirit of transparency and adherence to House Rules I disclose the details of Schedule I.

Thank you for your attention to this letter. Please contact with questions.

Respectfully,

Bill Cassidy, M.D.

Member of Congress

CALENDA UNITED

### 7 Ξ EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS Report Status Filer Type If yes, complete and attach Schedule V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule III. If yes, complete and attach Schedule II. If yes, complete and attach Schedule I. or more from any source in the reporting period? Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 If yes, complete and attach Schedule IV. nore than \$1,000 at the end of the period? ¥es ¥es Yes Ύes ĕs 3 < 3 < 중 ĕ ö 공 Š **S** ≦ E ≤ ᆽ **≦** current calendar year? Did you, your spouse, or a dependent child receive any reportable travel or relimbursements for travel in the reporting period (worth more than \$335 Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Each question in this part must be answered and the appropriate Did you have any reportable agreement or arrangement with an outside schedule attached for each "Yes" response. If yes, complete and attach Schedule VIII. Did you hold any reportable positions on or before the date of filing in the If yes, complete and attach Schedule VI. If yes, complete and attach Schedule IX If yes, complete and attach Schedule VII. from one source)?

**∝** 

중

S

8

Š

<

Exemptions--

Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on

Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent

ğ

**8 ≤** 

ğ

8

ĕs

<

S

š

ᇂ

<

Trusts-

SCHEDULE I - EARNED INCOME	Name William M. Cassidy	Pa	Page 2 of 18
List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceedin \$1,000.	any source (other than the filer's current employmente source and amount of any honoraria; list only the so	ent by the U.S. Government) totaling \$200 or more source for other spouse earned income exceeding	nore eding
Source	Туре	Amount	
LSUHSC - New Orleans	Salary	\$54,872	<u>.</u>
Provident Life	Spouse's Disability Income	N/A	
Paul Revere Life	Spouse's Disability Income	N/A	

4	4	
₹	ı	ð
ä	Ė	۰
₹		J
è	٠	ř
_	4	
Ξ		
Г	ı	
	i	i
u		J
2	÷	•
ť		
3		
Ł		
è		
1	1	ì
1		
•	=	
Ξ		
-		
- 1	ı	
	-	
٦	۰	
d	•	۰
•	1	٩
٠	,	d
•	4	٩
٠,	,	6
r	1	٠
ı	ı	Ų
-		1
-		3
C	ı	9
•	•	•
٠		
_	В	ı
ē		
3	£	_
=	=	ï
τ		
•	-	
		•
		3
ŧ		
3		
2	3	ı
4		
£	T	٦
Ł	•	ı
٦	b	٠
٠	_	_
•	1	۱
ø	٠	ı
2	2	P
4	E	
	٠	٠
ı		Ų
t	-	٦
٠		ı
	1	3
	ľ	•
		•
Ę	2	
ž	2	
4	2	
4	2	)
4	2	
4	2	)
4	2	
4	2	
4	2	

SP SP SP SP SP P SP SP debt owed to you by your spouse, or by your or your spouse's child, the optional column on the far left. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in Government retirement programs. parent or sibling; any deposits totaling \$5,000 or less in personal information, see the instruction booklet. its value at the end of the reporting period. For an active business that is that are not self-directed, name the institution holding the account and In the account that exceeds the reporting threshold. For retirement plans in which you have the power, even if not exercised, to select the specific retirement plans (such as 401(k) plans) that are self directed (i.e., plans land, provide a complete address. Provide full names of stocks and than \$200 in "unearned" income during the year. For rental property or Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, savings accounts; any financial interest in or income derived from U.S Exclude: Your personal residence(s) (unless there is rental income); any activities, and its geographic location in Block A. For additional not publicly traded, state the name of the business, the nature of its investments), provide the value and income information on each asset mutual funds (do not use ticker symbols). For all IRAs and other and (b) any other assets or sources of income which generated more Asset and/or Income Source Capital World BD FD CL F-1 Carry indx ICI Barclays USD ET Intelligent Affac Inc Barrick Gold Corp American Express ALLNCE/BERNS INTL VAL-A Ш None None \$50,000 None \$15,001 -\$1,001 - \$15,000 None please specify the than fair market value, year. If you use a at close of reporting the value should be method used. If an valuation method other None. it is generated income, included only because asset was sold and is Value of Asset Year-End Name William M. Cassidy **BLOCK B** None REST/CAPITAL DIVIDENDS/INTE CAPITAL GAINS CAPITAL GAINS during the calendar year. even if reinvested, should may write "NA". For all not allow you to choose Check all columns that GAINS CAPITAL GAINS CAPITAL GAINS not generate any income Check "None" if asset did be listed as income. Dividends and Interest, appropriate box below. IRAs, indicate the type of other assets including all specific investments, you plans or accounts that do apply. For retirement income by checking the Type of Income BLOCK C NONE \$201 - \$1,000 \$201 - \$1,000 \$1,001 - \$2,500 \$201 - \$1,000 \$201 - \$1,000 earned or generated. appropriate box below. of income by checking the accounts that do not allow "None" if no income was Dividends and interest, even IRAs, indicate the category other assets, including all "NA" for income. For all you to choose specific For retirement plans or isted as income. Check if reinvested, should be investments, you may write Amount of Income BLOCK D S ഗ S ഗ exceeding exchanges (E) reporting year. \$1,000 in (P), sales (S), or nad purchases Indicate if asset Transaction Page 3 of 18 **BLOCK E** 

SCHEDULE II
I - ASSETS AND
"UNEARNED"
NCOME

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name William M. Cassidy	Cassidy		Page 4 of 18
JL	Cash Balance - Wells Fargo - Joint Account	\$1 - \$1,000	None	NONE	
SP	Cash Balance - Wells Fargo - Spouse's IRA	\$1,001 - \$15,000	None	NONE	
SP	Cash Balance - Wells Fargo - Spouse's IRA	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	Citigroup	\$1 - \$1,000	None	NONE	,
SP	Countrywide 05-17 1X2 Alternative Loan Trust	\$1,001 - \$15,000	INTEREST	\$1,001 - \$2,500	
SP	Countrywide 05-27 1X2 Alternative Loan Trust	\$1,001 - \$15,000	INTEREST	\$2,501 - \$5,000	
	CREF Equity Index	\$50,001 - \$100,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$5,001 - \$15,000	
	CREF Infl Linked Bond	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
SP	Cwalt 05-27 1X1 Alternative Loan Trust	\$1,001 - \$15,000	INTEREST	\$1,001 - \$2,500	
SP	Deleware US Grwth Fund	None	CAPITAL GAINS	\$201 - \$1,000	S
	Dreyfus Intl FDS INC Emerging Mkts FD CL A	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL	\$1 - \$200	
JT	Dreyfus Intl FDS INC Emerging MKTS FD CL A	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1 - \$200	
SP	Duke Realty Corp	None	CAPITAL GAINS	\$201 - \$1,000	S
SP	Encana Corp	None	CAPITAL GAINS	\$201 - \$1,000	S

: :

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name William M. Cassidy	. Cassidy		Page 5 of 18
J <sub>T</sub>	Exxon Mobile Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Sp	Factset Research Sys Inc	None	CAPITAL GAINS	\$201 - \$1,000	w
SP	FHLMC 2906 MS Inverse Floater	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
SP	Financial Select ET Sector SPDR XLF	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Sp	First Eagle FDS Inc Global FD CL I	\$50,001 - \$100,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1,001 - \$2,500	
JT	First Eagle FDS Inc Global FD CL I	\$15,001 - \$50,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$201 - \$1,000	
	First Eagle Funds Sogen Overseas Fund CI I	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL	\$201 - \$1,000	
JT	First Eagle Global Inc	None	CAPITAL GAINS	\$201 - \$1,000	S
SP	First Eagle Sogen GLBL A	None	CAPITAL GAINS	\$201 - \$1,000	S
SP	First Eagle US Value Fund CL I	\$50,001 - \$100,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$201 - \$1,000	
JT	First Eagle US Value Fund CL I	\$15,001 - \$50,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$201 - \$1,000	
	First Eagle US Value Fund CL I	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL	\$1 - \$200	
Ų	FMI FDS Inc Focus Fund	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1 - \$200	

----

SCHEDULE
SCHEDULE III - ASSETS AND "UNEARNED" INCOME
ND "UNEARNEI
D" INCOME
Name William M. Cassi
assidy

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	IE Name William M. Cassidy	Cassidy		Page 6 of 18
	FMI FDS INC Focus Fund	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1 - \$200	
Sp	FNMA 03-62 ID REMIC Multiclass CMO	\$1 - \$1,000	INTEREST	\$1 - \$200	
SP	FPL Group Inc	None	CAPITAL GAINS	\$201 - \$1,000	Ø
SP	Franklin INVS SECS TR Short Inter U S Govt	\$15,001 - \$50,000	DIVIDENDS//INT EREST/CAPITAL GAINS	\$201 - \$1,000	
SP	G/S GRWTH Opps CL A	None	CAPITAL GAINS	\$201 - \$1,000	Ø
	Gabelli Equity SER FD Ins Small Cap Growth FD	\$1,001 - \$15,000	None	NONE	
JT	Gabelli Equity Ser FD Ins Small Cap Growth FD	\$1,001 - \$15,000	None	NONE	
SP	GAIF II CL 0 Blended Strategies Portfolio	\$50,001 - \$100,000	CAPITAL GAINS	\$1,001 - \$2,500	
	Goldman Sachs TR Finl Square Money MFT FD Insti Class	\$1 - \$1,000	None	NONE	
SP	Goldman Sachs TR FINL Square Money MKT FD	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1 - \$200	
JT	Goldman Sachs TR Finl Square Money MKT FD INSTL Class	\$1,001 ~ \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1 - \$200	
Q <sub>S</sub>	Goldman Sachs TR Finl Square Money Mkt FD Instl Class FSMXX	\$1,001 - \$15,000	INTEREST/DIVID ENDS	\$1 - \$200	

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name William M. Cassidy	1. Cassidy		Page 7 of 18
SP	Goldman Sachs TR GS Govt Income FD	\$15,001 - \$50,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$201 - \$1,000	
SP	Green Tree 1996-6 B2 Financial Corporation	None	None	NONE	
SP	Green Tree 95-10 B2 Financial Corporation	\$1,001 - \$15,000	INTEREST	\$2,501 - \$5,000	
JT	Green Tree 95-10 B2 Financial Corporation	\$1,001 - \$15,000	INTEREST	\$1,001 - \$2,500	
SP	Green Tree 95-8 B2 Financial Corporation	\$15,001 - \$50,000	INTEREST	\$15,001 - \$50,000	
SP	Green Tree 97-3 B2 Financial Corporation	None	None	NONE	
Sp	Green Tree 98-2 B2 Financial Corporation	None	None	NONE	
Ţ	Green Tree 98-3 B2 Financial Corporation	None	None	NONE	
SP	Green Tree 98-3 B2 Financial Corporation	None	None	NONE	
SP	Greent Tree 97-7 B2 Financial Corporation	None	None	NONE	
ЧS	Growth Fund America CL F	None	CAPITAL GAINS	\$1,001 - \$2,500	S
SP	Growth Fund America CL F	None	CAPITAL GAINS	\$201 - \$1,000	S
Şp	Harris Assoc INVT TR Oakmark Equity & Income Fund	\$50,001 - \$100,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$201 - \$1,000	
	Hartford Cap Apprec FD-A	None	CAPITAL GAINS	\$201 - \$1,000	S

v
<u> </u>
т
П
_
_
~
٠.
_
-
ш
-
_
=
•
•
در
te
•
Œ
×
П
C/I
•
•
~
Z
_
_
4
-
~
-
111
ъ
_
7
=
Z
=
11
_
- 3
-
~
~
$\boldsymbol{c}$
$\underline{}$
2
-

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	IE Name William M. Cassidy	. Cassidy		Page 8 of 18
TL	Hartford Mut FDS Inc Capital Appreciation FD CL I	\$1,001~\$15,000	None	NONE	
	Hartford MUT FDS Inc Capital AppreciationFD CL I	\$1,001 - \$15,000	None	NONE	
	Hartford Mutl FDS Inc Midcap Fund	\$1,001 - \$15,000	None	NONE	
JT	Hartford Mutl FDS Inc Midcap Fund Class I	\$1,001 - \$15,000	None	NONE	
Sp	Index SHS INC MSCI S Korea WEBS Index Fun Webs Index Series EWY	\$1,001 ~ \$15,000	DIVIDENDS	\$1 - \$200	
Sp	lpath Dow Jones UBS ETN Copper Total Return Sub-Index JJC	\$1 - \$1,000	None	NONE	
Sp	lpath Dow Jones UBS ETN Industrial Metal Total Return Sub-Index JJM	\$1 - \$1,000	None	NONE	
SP	Ipath Dow Jones-UBS Lead Total Return Sub-Indexsm	\$1 - \$1,000	None	NONE	i i
SP	lpath Dow Jones-UBS Platinum Total return Sub-Indexsm PGM	\$1 - \$1,000	None	NONE	
SP	lpath JPY/USD Exchange Rate ETN JYN	\$1,001 - \$15,000	None	NONE	
SP	Ishares Barclays Aggregate Bond Fund Agg	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Ishares DJ US Med Device	None	CAPITAL GAINS	\$201 - \$1,000	S
SP	Ishares DJ US Med Device	None	CAPITAL GAINS	\$201 - \$1,000	S

0.3 86

;

,,
Y
<u>C</u>
エロフ
П
C
Č
_
'n
<u>''</u>
= -
7
ċ
Ρ,
Ų.
COT
П
_
- AUSTIS
_
) Z
<u>z</u>
C
C
÷
Ľ
2
<u> </u>
Z
Π
C
Ž
Z
Ċ
3
≥
π

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name William M. Cassidy	1. Cassidy	\$1 - \$200
SP	Ishares MSCI Brazil Index EWZ	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
SP	Ishares MSCI ET Thailand Index Fund THD	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
SP	Ishares MSCI Turkey ET Index Fund TUR	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
SP	Ishares S&P Latin America 40	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
SP	Ishares TR MSCI Emerging	None	CAPITAL GAINS	\$201 - \$1,000
SP	Ishares TR MSCI Emerging	None	CAPITAL GAINS	\$1,001 - \$2,500
JT	IVA Worldwide Fund Class I	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1 - \$200
SP	IVA Worldwide Fund Class I	\$50,001 - \$100,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$201 - \$1,000
Sp	Jackson National Life - JNL/Mellon Cap Mgt JNL 5 - sub-fund	\$15,001 - \$50,000	None	NONE
JT	John Hancock Life Insurance Co - Lifestyle Growth Sub Fund	\$15,001 - \$50,000	None	NONE
SP	KBW Insurance ETF KIE	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200
	Louisiana Public Employees Deferred Comp Plan	\$1,001 - \$15,000	IRA	\$2,501 - \$5,000
SP	Mainstay FD High Yield Corporate BD FD CL I	\$15,001 - \$50,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1,001 - \$2,500

1	í
2	
ζ	,
Ė	ľ
=	
П	Į
С	
7	
5	
Г	
П	ľ
•	•
ī	
-	
п	
÷	
u	ı
Ü	ŕ
×	
Ţ	ľ
POULTO	٠
t	ı
•	
	١
2	
4	í
C	
_	
_	i
C	
2	į
702	
П	Γ
1	į
-	
2	١
2	
ñ	ľ
ж	
١,	
_	
7	
7	١
١.	
<u> </u>	•
š	i
ä	į
П	١

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	E Name William M. Cassidy			-
SP	McDonalds Corp	None	CAPITAL GAINS	\$201 - \$1,000	s
SP	Md Technologies	\$1 - \$1,000	None	NONE	
SP	Morgan Stanley Tech	None	CAPITAL GAINS	\$201 - \$1,000	S
SP	Morgan Stanley Tech ET MTK	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
DC	MSMP - Kate	\$1,001 - \$15,000	None	NONE	
DC	MSMP - Meg	\$15,001 - \$50,000	None	NONE	
DC	MSMP - Will	\$15,001 - \$50,000	None	NONE	
SP	Nabors Industries LTD	None	CAPITAL GAINS	\$201 - \$1,000	S
SP	New World Fund Class F	None	CAPITAL GAINS	\$201 - \$1,000	S
SP	Oppenheimer INTL BD FD Class Y	\$15,001 - \$50,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$201 - \$1,000	
SP	Petro CDA	None	CAPITAL GAINS	\$201 - \$1,000	· v
SP	PIMCO FDS PAC INVT MGMT SER Total Return FD	\$15,001 - \$50,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1,001 - \$2,500	
	PIMCO FDS PAC Invt MGMT SER-Commodity Real	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL	\$1 - \$200	

1

i

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name William M. Cassidy	Cassidy		Page 11 of 18
SP	PIMCO Global Multi-Asset FND	\$50,001 - \$100,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$2,501 - \$5,000	
JT	Pimco Global Multi-Asset FND	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$201 - \$1,000	
SP	Post Properties	None	CAPITAL GAINS	\$201 - \$1,000	Ø
SP	Powershares DB Base Metals Funds DBS	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	Powershares DB Silves Fund DBS	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	Powershares Dynamic LEIS	None	CAPITAL GAINS	\$201 - \$1,000	တ
SP	Procter & Gamble	None	CAPITAL GAINS	\$201 - \$1,000	S
Ţ	Rental Property - 4051 Broussard Baton Rouge, LA 70806	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
	Rydex Series Funds Managed Futures Strategy	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL	\$1 - \$200	
Sp	Sector SPDR TR Technology Select Sector XLK	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
Sp	Select Sector SPDR TR Technology Select Sector XLK	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	Sigma Aldrich Corp	None	CAPITAL GAINS	\$201 - \$1,000	Ø
SP	Smallcap World Fund	None	CAPITAL GAINS	\$201 - \$1,000	S

- · ·

Π	
=	
=	
1	
7	
Ų	
C.	
П	
a	
~	
2	
C	
<u> </u>	
2	
ũ	Į
- 9	
-	
7	
Z	
XZIT	
XNIT C	
XNEC.	
XNEC: N	
XNEC: NO	
KNED. INCO	
KNED. INCOM	

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name William M. Cassidy	1. Cassidy		Page 12 of 18
SP	SPDR Dow Jones REIT ET RWR	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	SPDR KBW Bank ETF KBE	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	SPDR KBW CPTL MKTS	None	CAPITAL GAINS	\$201 - \$1,000	S
SP	SPDR KBW CPTL MKTS ETF KBE	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	SPDR Metals & Mining ET XME	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	SPDR Pharmaceuticals ET XPH	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	SPDR S&P Oil & Gas ET Equipement & services XES	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	SPDR S&P Retail ETF XRT	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	SPDR S&P Semicondctr ET XSD	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	SPDR S&P Semiconductr	None	CAPITAL GAINS	\$201 - \$1,000	S
	T Rowe Price MD-CP Val	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL	\$1 - \$200	
JT	T Rowe Price MD-CP Val	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1 - \$200	
SP	Target Corp	None	CAPITAL GAINS	\$1,001 - \$2,500	S
	TIAA Real Estate	\$50,001 - \$100,000	None	NONE	

more than the second common to the second common to

4 500 84 484

SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	E Name William M. Cassidy	. Cassidy		Page 13 of 18
	TIAA Traditional	\$100,001 - \$250,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$1,001 - \$2,500	
Sp	TIAA Traditional	\$1,001 - \$15,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$201 - \$1,000	
	TIAA-CREF IRA	\$250,001 - \$500,000	IRA	N/A	
SP	TIAA-CREF IRA	\$1,001 - \$15,000	IRA	N/A	
SP	Torchmark Corp	None	CAPITAL GAINS	\$201 - \$1,000	S
SP	US Natural Gas Fund LP	\$1,001 - \$15,000	None	NONE	_
SP	Vanguard REIT ETF	None	CAPITAL GAINS	\$201 - \$1,000	Ø
SP	Wal-Mart Stores Inc	None	CAPITAL GAINS	\$201 - \$1,000	S
SP	Wells Fargo Bank	\$1 - \$1,000	None	NONE	

1

;

# SCHEDULE IV - TRANSACTIONS

Name William M. Cassidy

Page 14 of 18

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC,		Type of	Capital Gain in Excess	,	
дŞ	Wells Fargo Securities (stmt 1 attached)	S	Yes	2009	\$100,001 - \$250,000
SP	Wells Fargo Securities (stmt 2 attached)	S	Yes	2009	\$100,001 - \$250,000
SP	Wells Fargo Securities (stmt 3 attached)	S	Yes	2009	\$250,001 - \$500,000
SP	Wells Fargo Securities (stmt 4 attached)	တ	Yes	2009	\$15,001 - \$50,000
į	Wells Fargo Securities (stmt 5 attached)	S	Yes	2009	\$15,001 - \$50,000
	Wells Fargo Securities (stmt 6 attached)	S	Yes	2009	\$1,001 - \$15,000
JT	Wells Fargo Securities (stmt 7 attached)	S	Yes	2009	\$50,001 - \$100,000
SP	Wells Fargo Securities (stmt 8 attached)	S	Yes	2009	\$1,001 - \$15,000
ЯS	Wells Fargo Securities (stmt 9 attached)	ס	A/N	2009	\$250,001 - \$500,000
SP	Wells Fargo Securities (stmt 10 attached)	ס	N/A	2009	\$1,001 - \$15,000
SP	Wells Fargo Securities (stmt 11 attached)	ס	N/A	2009	\$500,001 - \$1,000,000

# **SCHEDULE IV - TRANSACTIONS**

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. Name William M. Cassidy Page 15 of 18

SP,					
JT ,	Asset	Type of Transaction	Capital Gain in Excess of \$2007	Date	Amount of Transaction
SP Wel	Wells Fargo Securities (stmt 12 attached)	P	A/N	2009	\$1,001 - \$15,000
SP Wel	Wells Fargo Securities (stmt 13 attached)	ס	N/A	2009	\$15,001 - \$50,000
We	Wells Fargo Securities (stmt 14 attached)	סי	N/A	2009	\$15,001 - \$50,000
JT Wei	Wells Fargo Securities (stmt 15 attached)	P	N/A	2009	\$50,001 - \$100,000

SCHEDULE V - LIABILITIES cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household Name William M. Cassidy Page 16 of 18

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
ΤĽ	Chase Home Finance	Mortgage on Rent House	\$100,001 - \$250,000
JT	JP Morgan	Line of Credit	\$10,001 - \$15,000

### **SCHEDULE VIII - POSITIONS**

Name William M. Cassidy

Page 17 of 18

honorary nature; and positions listed on Schedule I. Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an

Board Member Baton Rouge Community Clinic	Position Name of Organization	

# SCHEDULE IX - AGREEMENTS

Name William M. Cassidy

Page 18 of 18

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
2009	State of Louisiana	Pension annuity for service in State Legislature

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

Propared For:
LAURA L. CASSIDY IRA
FCC AS CUSTODIAN
MASTERS/EQUITY

120 KEYCORP NEW	35 KEYCORP NEW	140 KEYCORP NEW	30 INTUIT INC	10 INTUIT INC	80 INTUITING	35 IMS HEALTH INC	50 ENCANA CORP	25 EBAY INC	195 DUKE REALTY CORP	25 DUKE REALTY CORF	40 DR PEPPER SNAPPLE GROUP	115 DR PEPPER SNAPPLE GROUP	45 DIAGEOPLC	75 DELL INC	10 CONOCOPHILLIPS	10 CONOCOPHILLIPS	5 CONOCOPHILLIPS	35 CONOCOPHILLIPS	20 CADBURY SCHWEPPES ADR	80 BARRICK GOLD CORP	145 ANNALY CAPITAL MANAGEMNT	45 ANNALY CAPITAL MANAGEMNT	30 AMERICAN EXPRESS CO	30 AMERICAN EXPRESS CO	40 AMERICAN EXPRESS CO	30 AMERICAN EXPRESS CO	25 ALLIANT ENERGY CORP	75 ALLIANT ENERGY CORP	55 AFLACING
KEY	KEY	KEY	UTN	UTN	UTU	449934-10-8	ECA	EBAY	DRE	DRE	DPS	DPS	DEO	DELL	COP	COP	СОР	COP	CDSCY	ABX	NLY	NLY	AXP	AXP	AXP	AXP	LNI	LNT	AFL
07/16/2008	06/17/2008	06/17/2008	04/30/2009	03/10/2009	10/14/2008	10/10/2008	04/03/2009	07/17/2008	11/25/2008	10/30/2008	06/30/2008	06/20/2008	10/01/2008	12/19/2008	04/29/2009	01/09/2009	12/22/2008	10/21/2008	10/10/2008	10/21/2008	09/18/2008	09/18/2008	03/24/2009	10/09/2008	08/07/2008	08/07/2008	03/06/2009	10/08/2008	02/13/2009
1,144.85	403.90	1,615.60	691.48	242.10	2,088.07	484.07	2,257.61	589,69	1,324.36	303.81	840.00	2,724.95	3,110.36	859.74	414.88	523.26	245.88	1,947.12	658.76	1,919.13	1,902.28	590,37	440.69	795.19	1,497.98	1,123.50	515.43	2,111.33	1,140.02
9,5404	11.5400	11.5400	23.0492	24.2100	26.1009	13.6307	45.1521	23.5875	6,7916	12.1526	21.0000	23,6952	69.1191	11.4632	41.4880	52.3260	49.1752	55.6319	32.9379	23.9691	13.1192	13.1192	14.6897	26,5064	37.4497	37,4497	20.6173	28.1511	20.7276
05/22/2009	05/22/2009	03/24/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	03/06/2009	05/22/2009	05/22/2009	05/22/2009	04/28/2009	05/22/2009	05/22/2009	04/24/2009
642,02	187.25	1,261.64	800,14	266.71	2,133.70	423.23	2,585,43	424,79	1,744,00	223,58	842.98	2,423.56	2,421,86	805.04	446.90	446.89	223.44	1,564.10	701.58	3,036.72	2,043.54	562.96	707.63	707.61	943.49	744.50	593.93	1,781.76	1,595.29
5,3503	5,3503	9,0118	26,6720	26,6720	26,6720	12.0925	51,7100	16,9920	6.9438	8,9438	21.0750	21,0750	53,8206	10,7340	44,6900	44.6900	44,6900	44,6900	35,0800	37.9600	14,0938	12.5105	23,5880	23,5880	23.5880	24.6172	23.7576	23.7576	29.0062
-502,83	-216.65	-353.96	108,66	24.61	45.63	-60,84	327.82	-164.90	419.64	-80.23	2.98	-301.39	-588.50	-54.70	32,02	-76.37	-22.44	-383.02	42.82	1,117.59	141.26	-27,41	266.94	-87,58	-554.49	-379.00	78,50	-329.57	455.27
43.92	-53,64	-21.91	15.71	10,17	2.19	-12.57	14.52	-27,96	31.69	-26,41	0.35	-11,06	-22.14	-6.36	7.72	-14.60	-9.13	-19,67	\$.50	58.23	7.43	4,62 4	60.57	-11.01	-37.02	-33.73	15.23	-15.61	39.94

Page 1 of 4 11:09AM

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

2009 Tax Year

Prepared For:

LAURA L. CASSIDY IRA FCC AS CUSTODIAN MASTERS/EQUITY

60 AFLAC INC	Long 60 AFLAC INC		8 8 8	8 8 8 8	8 88 35 36 37	8 8 3 3 3 5	55 55 55 55 55 55 55 55 55 55 55 55 55	8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	25 55 55 55 55 55 55 55 55 55 55 55 55 5	50 55 55 55 55 55 55 55 55 55 55 55 55 5	8 8 9 3 3 3 5 5 5 5 5 6 6 6 6 6 6 6 6 6 6 6 6	125 55 55 55 55 55 55 55 55 55 55 55 55 5	8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	80 125 55 55 55 55 55 55 55 55 55 55 55 55 5	8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	80 83 83 83 84 85 85 85 85 85 85 85 85 85 85 85 85 85	8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	110 20 20 20 20 20 20 20 20 20 20 20 20 20	110 70 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8
		MUNICATIONS	MUNICATIONS	ORP F F MUNICATIONS	ORP F F MUNICATIONS	ORP F F MUNICATIONS	ORP F MUNICATIONS	NKS INC ORP F MUNICATIONS	H CORP NKS INC ORP F MUNICATIONS	AMBLE CO H CORP NKS INC ORP F MUNICATIONS	MUNICATIONS	THES INC THES INC WIBLE CO H CORP NKS INC NKS INC THES INC NKS INC NKS INC NKS INC NKS INC	TIES INC TIES INC WIBLE CO H CORP NKS INC CORP F F MUNICATIONS	TIES INC TIES INC WIBLE CO H CORP NKS INC NKS INC THE STRIC MUNICATIONS	RPORATED THES INC THES INC WIBLE CO H CORP NKS INC NKS INC THES INC NKS INC NKS INC NKS INC	RPORATED  TIES INC TIES INC WIBLE CO H CORP NKS INC NKS INC NKS INC	ING CORP RIPORATED  THES INC THES INC WIBLE CO H CORP NKS INC NKS INC NKS INC NKS INC NKS INC	ING CORP  RIPORATED  THES INC THES INC WIBLE CO H CORP NKS INC NKS INC NKS INC NKS INC NKS INC NKS INC	AS BREWING-B STRIES LTD IING CORP RIDMMAN CORP RIPORATED THES INC MIBLE CO H CORP NKS INC NKS	ORP AS BREWING-B STRIES LTD IING CORP RIDGAN CORP RIPORATED THES INC MIBLE CO H CORP NKS INC N	ORP  35 BREWING-B  STRIES LTD  STRIES LTD  IING CORP  RPORATED  TIES INC  THES INC  WIBLE CO  H CORP  NKS INC
		2	NZ	Z N 2 EX	Z N N N N N N N N N N N N N N N N N N N	2 × × × × ×	2 × × × × × × × × × × × × × × × × × × ×	2	2		2 2 8 S S S S S S S S S S S S S S S S S	2	71644E-10-2 PPS PPS PPS SIAL STI TGT TGT TGT TGT TGT TGT TGT TGT TGT T	71644E-10-2 PPS PPS PPS SIAL STI TOT TOT TOT TOT TOT TOT TOT TOT TOT T	:P :644E-10-2 :644E-10-2 PS PS G G T T T T T T T T T T T T T T T T T	OC :P :644E-10-2 :644E-10-2 PS G G T T T T T T T T T T T T T	EM OC OC SP 1844E-10-2 1844E-10-2 PS PS G G T T T T T T T T T T T T T T T T T	BR EM OC OC OC 98 1644E-10-2 98 98 98 98 98 98 98 98 98 98 98 98 98	88 80 OC OC 0644E-10-2 11 11 11 11 11 11 11 11 11 1	SF7 SF8 BR BR COC OC OC DEM	97 97 98 88 88 88 84 84 87 87 87 87 87 87 87 87 87 87 87 87 87
		09/17/2008	07/07/2008 09/17/2008	03/06/2009 07/07/2008 07/07/2008 09/17/2008	11/20/2008 03/08/2009 07/07/2008 07/07/2008 09/17/2008	07/15/2008 11/20/2008 03/05/2009 07/07/2008 07/07/2008 09/17/2008	01729/2008 07/15/2008 11/20/2008 03/05/2008 03/07/2008 07/07/2008 09/17/2008	01729/2009 01715/2009 07/15/2008 11/20/2008 03/05/2008 03/07/2008 07/07/2008	10/24/2008 01/29/2009 01/29/2009 07/15/2008 11/20/2008 03/06/2008 03/07/2008 05/17/2008	03/06/2009 10/24/2008 01/29/2009 01/29/2009 07/15/2008 11/20/2008 03/06/2009 07/07/2008 09/17/2008	03/24/2009 03/06/2009 10/24/2008 01/29/2009 01/15/2009 01/15/2008 11/20/2008 03/06/2009 07/07/2008 09/17/2008	02/06/2009 03/24/2009 03/06/2009 10/24/2008 01/25/2009 01/15/2008 11/20/2008 03/06/2009 07/07/2008 09/17/2008	10/17/2008 02/06/2009 03/24/2009 03/06/2009 10/24/2008 01/25/2009 01/15/2008 01/17/2008 03/06/2009 07/07/2008 09/17/2008	087227008 10/17/2008 02/08/2009 03/24/2009 03/08/2009 10/24/2008 01/29/2008 01/15/2008 01/15/2008 03/06/2009 07/07/2008 09/17/2008	10/14/2008 08/22/2008 10/17/2008 02/06/2009 03/24/2009 03/06/2009 10/24/2008 01/25/2009 01/25/2008 01/15/2008 01/15/2008 01/17/2008 03/07/2008 05/10/72008	1072/2008 10/14/2008 08/22/2008 10/17/2008 02/06/2009 03/24/2009 03/06/2009 10/24/2008 01/29/2008 01/29/2008 01/15/2008 01/17/2008 03/06/2009 07/07/2008	09/25/2008 10/14/2008 08/22/2008 10/17/2008 08/22/2009 03/26/2009 03/26/2009 10/24/2008 01/29/2009 01/29/2008 01/15/2008 11/20/2008 03/05/2009 07/07/2008 09/17/2008	01/12/2009 09:25/2008 10:27:25/2008 10:47:2008 09:22/2008 10:47:2008 09:22/2009 09:20:2009 09:20:2009 09:20:2009 09:20:2009 09:20:2009 09:20:2009 09:20:2009 09:20:2009 09:20:2009 09:20:2009 09:20:2009 09:20:2009 09:20:2009 09:20:2009 09:20:2009 09:20:2009	08/05/2008 01/12/2009 08/25/2008 10/25/2008 10/14/2008 08/22/2008 10/17/2008 03/24/2009 03/24/2009 03/05/2009 01/25/2009 01/25/2008 01/25/2008 01/25/2008 01/25/2008 03/05/2008 03/05/2008 03/05/2008 03/05/2008	07118/2DO8 08/05/2008 01/11/2DO9 08/25/2008 10/25/2008 10/114/2008 08/22/2008 10/117/2008 03/24/2009 03/05/2009 03/05/2009 01/25/2009 01/15/2008 01/15/2008 01/15/2008 01/15/2008 01/15/2008 01/15/2008 01/15/2008 01/15/2008	06/05/2008 07/18/2008 08/05/2008 01/1/2/2009 09/25/2008 10/2/2/2008 10/14/2008 08/2/2/2008 10/17/2008 03/06/2009 03/06/2009 01/25/2009 01/15/2008 01/15/2008 01/15/2008 03/06/2009 07/07/2008 03/06/2009
	69,706.68	3,052,35 <b>69,706,68</b>	422,82 2,818,80 3,052,35 69,706,68	499.14 422.82 2,818.80 3,052.35 68,706,68	2,024.87 499.14 492.82 2,818.80 3,052.35 <b>69,706.68</b>	2,407.90 2,024.87 499.14 422.82 2,818.80 3,052.35 69,706,68	1,021,74 2,407,90 2,024,87 499,14 422,82 2,818,80 3,052,35 69,706,68	340.59 1,021.74 2,407.90 2,024.87 499.14 422.82 2,818.80 3,052.35 69,706.68	2,056,07 340,59 1,021,74 2,407,90 2,024,87 499,14 422,82 2,818,80 3,052,35 69,706,68	2,280,87 2,056,07 340,59 1,021,74 2,407,90 2,024,87 499,14 422,82 2,818,80 3,052,35 69,706,68	457.04 2,260.87 2,056.07 340.59 1,021.74 2,407.90 2,024.87 499.14 422.82 2,818.80 3,052.35 69,706.68	1.487.13 457.04 2.280.87 2,056.07 340.59 1,021.74 2,407.90 2,024.87 499.14 422.82 2,818.80 3,052.35	883.52 1.487.13 457.04 2.280.87 2.056.07 340.59 1,021.74 2.407.90 2.024.87 499.14 422.82 2.818.80 3.052.35	3,589.70 883.52 1,487.13 457.04 2,280.87 2,056.07 340.59 1,021.74 2,407.90 2,024.87 489.14 422.82 2,818.80 3,052.35	2,428.20 3,589.70 883.52 1,487.13 457.04 2,280.87 2,096.07 340.59 1,021.74 2,407.90 2,024.87 499.14 422.82 2,818.80 3,052.35	1,611,96 2,428,20 3,599,70 883,52 1,487,13 457,04 2,280,87 2,096,07 340,59 1,021,74 2,407,90 2,024,87 499,14 422,82 2,818,80 3,052,35	846.60 1.611.96 2,428.20 3,589.70 883.52 1,487.13 457.04 2,280.87 2,056.07 340.59 1,021.74 2,407.90 2,024.87 489.14 422.82 2,818.80 3,052.35	1,284.49 846.60 1,611,96 2,428.20 3,599.70 883.52 1,487.13 457.04 2,280.87 2,956.07 3,40.59 1,021,74 2,407.90 2,024.87 489.14 422.82 2,818.80 3,052.35	3,325.70 1,284.49 846.60 1,611.96 2,428.20 3,589.70 883.52 1,487.13 457.04 2,280.87 2,056.07 340.59 1,021.74 2,407.90 2,024.87 489.14 422.82 2,818.80 3,052.35	1,017,14 3,325,70 1,284,49 846,60 1,611,96 2,428,20 3,589,70 883,52 1,487,13 457,04 2,280,87 2,056,07 3,40,59 1,021,74 2,407,90 2,024,87 489,14 422,82 2,818,80 3,052,35	988.93 1,017.14 3,325.70 1,284.49 846.60 1,611.96 2,428.20 3,589.70 883.52 1,487.13 457.04 2,280.87 2,096.07 340.59 1,021.74 2,407.90 2,024.87 489.14 422.82 2,818.80 3,052.35
	0.00																				
	i 1		_		_	_			,	,	,										
	66,713.62	2,733.07	2,442.31 2,742.31 2,733.07 66,713.62	1,087.20 268.05 2,442.31 2,733.07	3,028.42 1,087.20 268.06 2,442.31 2,733.07	1,770.04 3,028.42 1,087.20 268.05 2,442.31 2,733.07	1,038.02 1,770.04 3,028.42 1,087.20 268.05 2,442.31 2,733.07	489.30 1,038.02 1,770.04 3,028.42 1,087.20 268.06 2,442.31 2,733.07	2,505,18 489,30 1,038,02 1,770,04 3,028,42 1,087,20 268,06 2,442,31 2,733,07	2,658,43 2,505,18 489,30 1,038,02 1,770,04 3,028,42 1,087,20 268,05 2,442,31 2,733,07	574.89 2,658.43 2,505.18 489.30 1,038.02 1,770.04 3,028.42 1,087.20 268.05 2,442.31 2,733.07	1,796.50 574.89 2,658.43 2,505.18 489.30 1,038.02 1,770.04 3,028.42 1,087.20 268.05 2,442.31 2,733.07	1,162.24 1,796.50 574.89 2,658.43 2,505.18 489.30 1,038.02 1,770.04 3,028.42 1,770.04 3,028.42 1,087.20 268.05 2,442.31 2,733.07	2,324.47 1,162.24 1,796.50 574.89 2,658.43 2,505.18 489.30 1,038.02 1,770.04 3,028.42 1,770.04 3,028.42 1,087.20 268.05 2,442.31 2,733.07	2,321.97 2,324.47 1,162.24 1,796.50 574.89 2,658.43 2,505.18 489.30 1,038.02 1,770.04 3,028.42 1,770.04 3,028.42 1,087.20 268.05 2,442.31 2,733.07	1,688,36 2,321,97 2,324,47 1,162,24 1,796,50 574,89 2,658,43 2,505,18 489,30 1,038,02 1,770,04 3,028,42 1,770,04 3,028,42 1,087,20 268,05 2,442,31 2,733,07	\$36.38 1,688.36 2,321.97 2,324.47 1,162.24 1,796.50 574.89 2,658.43 2,505.18 489.30 1,038.02 1,770.04 3,028.42 1,770.04 3,028.42 1,087.20 268.05 2,442.31 2,733.07	1,820.67 936.38 1,688.36 2,321.97 2,324.47 1,162.24 1,796.50 574.89 2,658.43 2,505.18 489.30 1,038.02 1,770.04 3,028.42 1,087.20 268.05 2,442.31 2,733.07	3,045.62 1,820.67 936.38 1,688.36 2,321.97 2,324.47 1,162.24 1,796.50 574.89 2,658.43 2,505.18 489.30 1,038.02 1,770.04 3,028.42 1,087.20 268.05 2,442.31 2,733.07	783.74 3,045.62 1,820.67 936.38 1,888.36 2,321.97 2,324.47 1,162.24 1,796.50 574.89 2,658.43 2,505.18 489.30 1,038.02 1,770.04 3,028.42 1,087.20 268.05 2,442.31 2,733.07	684.98 783.74 3,045.62 1,820.67 936.38 1,688.36 2,321.97 2,324.47 1,162.24 1,796.50 574.89 2,658.43 2,505.18 489.30 1,038.02 1,770.04 3,028.42 1,087.20 268.05 2,442.31 2,733.07
		28,7700	24.4238 28.7700	36.2406 17.8707 24.4238 28.7700	40.3800 36.2406 17.8707 24.4238 28.7700	32,1828 40,3800 36,2406 17,8707 24,4238 26,7700	13.8406 32.1828 40.3800 36.2406 17.8707 24.4238 26.7700	19.5729 13.8406 32.1828 40.3800 36.2406 17.8707 24.4238 26.7700	45,5500 19,5729 13,8406 32,1828 40,3800 36,2406 17,8707 24,4238 26,7700	53,1700 45,5500 19,5729 13,8406 32,1829 40,3800 36,2406 17,8707 24,4238 26,7700	14.3725 53.1700 45.5500 19.5729 13.8406 32.1828 40.3800 36.2406 17.8707 24.4238 26.7700	14.3725 14.3725 53.1700 45.5500 19.5729 13.8406 32.1828 40.3800 36.2406 17.8707 24.4238 26.7700	29.0561 14.3725 14.3725 53.1700 45.5500 19.5729 13.8406 32.1828 40.3800 36.2406 17.8707 24.4238 28.7700	29.0561 29.0561 14.3725 14.3725 53.1700 45.5500 19.5729 13.8406 32.1828 40.3800 36.2406 17.8707 24.4238 28.7700	51.6006 29.0661 14.3725 14.3725 14.3725 53.1700 45.5500 19.5729 13.8406 32.1828 40.3800 36.2406 17.8707 24.4238 28.7700	48.2400 51.8006 29.0561 14.3725 14.3725 53.1700 45.5500 19.5728 13.8406 32.1828 40.3800 36.2406 17.8707 24.4238 28.7700	46.8200 48.2400 51.6006 29.0561 14.3725 14.3725 53.1700 45.5500 19.5728 13.8406 32.1828 40.3600 36.2406 17.8707 24.4238 28.7700	16.5520 46.8200 48.2400 51.6008 29.0561 14.3725 14.3725 14.3725 53.1700 45.5500 19.5729 13.8406 32.1828 40.3800 36.2406 17.8707 24.4238 28.7700	43.5100 16.5520 46.8200 48.2400 51.6006 29.0561 14.3725 14.3725 14.3725 53.1700 45.5500 19.5729 13.8406 32.1828 40.3800 36.2406 17.8707 24.4238 28.7700	19.5940 43.5100 16.5520 46.8200 48.2400 51.6006 29.0561 14.3725 14.3725 14.3725 53.1700 45.5500 19.5729 13.8406 32.1828 40.3800 36.2406 17.8707 24.4238 28.7700	34.2500 19.5940 43.5100 16.5520 46.8200 48.2400 51.6006 29.0561 14.3725 14.3725 14.3725 53.1700 45.5500 19.5729 13.8406 32.1828 40.3800 36.2406 17.8707 24.4238 28.7700
-983.06		•	•	•	•																
		-10.46	-13.36 -10.46	117.81 -36.60 -13.36 -10.46	49.56 117.81 -36.60 -13.36 -10.46	-26.49 49. <b>56</b> 117.81 -36.60 -13.36	1.59 -26.49 49.56 117.81 -36.60 -13.36	43.56 1.59 -26.49 49.56 117.81 -36.60 -13.36	21.84 43.66 1.59 -26.49 49.56 117.81 -36.60 -13.36	16.55 21.84 43.56 1.59 1.59 49.56 49.56 1177.81 -13.36	25.79 16.55 21.84 43.66 1.59 1.59 26.49 49.56 117.81 117.81 113.86	20.80 25.79 16.55 21.84 43.66 1.59 1.59 49.56 49.56 1117.81 117.81 133.60	30.07 20.90 25.79 16.55 21.84 43.66 1.59 -26.49 49.56 1117.81 13.60 -13.36	35.25 30.07 20.90 25.79 16.55 21.84 43.66 1.59 49.56 1117.81 38.60 -13.36	4.37 35.25 30.07 20.80 25.79 16.55 21.84 43.56 1.59 49.56 1117.81 38.60 -13.36	4,74 4,37 35,25 30,07 20,80 25,79 16,55 21,84 43,56 1,59 49,56 1117,81 133,60 113,36	10.60 4.74 4.37 35.25 30.07 20.80 25.79 16.55 21.84 43.56 1.59 49.56 1117.81 -28.49 49.56 -10.46	41.74 10.80 4.74 -4.37 35.25 30.07 20.80 25.79 16.55 21.84 43.66 1.59 49.56 1117.81 -36.60 -13.36	-8.42 41.74 10.80 4.74 -4.37 -35.25 30.07 20.80 16.55 21.84 43.66 1.59 49.56 1117.81 -35.60 -13.36	-22.95 -4.47 41.74 10.80 4.74 -4.37 -3.5.25 30.07 20.80 16.55 21.84 43.66 1.59 49.56 1117.81 33.50 -13.36	-8.42 -8.42 41.74 10.60 -4.74 -4.37 -35.25 -30.07 -20.80 -15.59 -16.55 -10.66 -17.81 -28.49 -29.49 -29.40 -29.40 -29.40 -29.40 -29.40 -29.40 -29.40 -29.40 -29.40 -29.40 -29.40 -29.40 -

Page 2 of 4 11:09AM

2009 Tax Year

Prepared For:

LAURA L. CASSIDY IRA FCC AS CUSTODIAN MASTERS/EQUITY

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

	70 SLM CORP	35 SLM CORP	35 SLM CORP	30 SLM CORP	50 SLM CORP	50 SLM CORP	15 QUEST DIAGNOSTICS INC	30 QUEST DIAGNOSTICS INC	10 QUEST DIAGNOSTICS INC	35 NORTHROP GRUMMAN CORP	35 NEWMONT MINING CORP	10 NEWMONT MINING CORP	10 NABORS INDUSTRIES LTD	35 NABORS INDUSTRIES LTD	45 NABORS INDUSTRIES LTD	180 MICROSOFT CORP	75 MEDTRONIC INC	50 ELITELY&CO	50 KIMBERLY-CLARK CORP	70 IMS HEALTH INC	35 IMS HEALTH INC	40 HERSHEY COMPANY	10 HERSHEY COMPANY	30 HERSHEY COMPANY	15 HERSHEY COMPANY	15 HERSHEY COMPANY	40 EBAY INC	80 EBAY INC	13 DR PEPPER SNAPPLE GROUP	65 DELLINC	40 DELLINC	10 CIMAREX ENERGY CO	Long - Cantinued
	SLM	SLM	SLM	SLM	SLM	SLM	DGX	Dex	DGX	NOC	NEW	NEW	NBR	NBR	NBR	MSFT	MDT	ודג	KMB	449934-10-8	449934-10-8	HSY	HSY	HSY	HSY	HSY	EBAY	EBAY	DPS	DELL	DELL	XEC	
	12/20/2007	12/12/2007	11/19/2007	08/16/2007	02/12/2007	02/12/2007	03/26/2007	01/22/2007	01/22/2007	08/30/2007	04/30/2008	03/07/2008	09/24/2007	09/24/2007	01/16/2007	08/31/2006	04/10/2007	08/31/2006	08/31/2006	01/07/2008	08/31/2006	10/25/2007	10/05/2007	10/05/2007	10/05/2007	08/06/2007	02/20/2008	12/13/2007	08/31/2006	09/15/2006	08/31/2006	01/16/2007	
	1,656,52	1,024.61	1,309.14	1,364.23	2,132.89	2,132.89	735.50	1,516.59	505,54	2,703.40	1,513,25	495.08	313,90	1,098,65	1,300.50	4,640.04	3,712.47	2,800.50	3,166.50	1,519.64	957.25	1,676.00	444.40	1,333.20	666,60	677.40	1,087.52	2,735.84	314.53	1,399.42	914.72	355.60	
	23.6646	29.2745	37.4041	45.4744	42.6578	42.6578	49.0332	50.5533	50.5533	77.2400	43,2357	49.5084	31.3900	31.3900	28.9000	25,7780	49.4997	56.0100	63.3300	21.7092	27.3500	41.9000	44.4400	44,4400	44.4400	45.1600	27.1880	34,1980	24.4500	21.5295	22,8680	35.5600	
	02/26/2009	02/26/2009	02/26/2009	02/26/2009	02/26/2009	01/20/2009	05/22/2009	05/22/2009	03/10/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/19/2009	04/29/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	03/06/2009	02/13/2009	02/13/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	
	370.23	185.11	185.10	158.66	264.44	612.53	748.94	1,497.85	445,64	1,688.35	1,638,66	468.18	165,51	600.23	709.70	3,526,82	2,405.93	1,712.45	2,556,43	846.45	423.22	1,397.96	349,49	945.61	550.97	550.95	679.66	1,359.32	273.96	697.69	429.34	. 296.10	
	5.2888	5.2888	5.2888	5.2888	5.2888	12.2507	49.9300	49.9300	44,5645	48.2400	46.8200	46.8200	16.5520	17.1500	15.7715	19.5940	32.0800	34.2500	51.1300	12.0925	12.0925	34.9500	34.9500	31,5205	36.7310	36.7310	16,9920	16.9920	21.0750	10.7340	10.7340	29.6101	
,	-1.286.29	-839.50	-1,124,04	-1,205.57	-1,868.45	-1,520.36	13.44	-18.74	-59.90	-1.015.05	125,41	-26.90	-148.39	498,42	-590,80	-1,113.22	-1,306.54	-1,088.05	-610.07	-673.19	-534.03	-276.04	-94.91	-387,59	-115.63	-126.45	407.86	-1,376.52	-40.57	-701.73	-485.38	-59.50	
	-77.65	-81.93	<del>-85</del> .86	-88,37	<b>-87.60</b>	-71.28	1.83	-1.24	-11,85	-37.55	8.29	5,43	47.27	45.37	<b>4</b> 5,43	-23.99	-35.19	-38.85	-19.27	44.30	-55.79	-16.59	-21.36	-29.07	-17.35	-18.67	-37.50	-50.31	-12.90	-50.14	-53.06	-16.73	

Page 3 of 4 11:09AM

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

### Schedule of Realized Gains and Losses

2009 Tax Year

Prepared For:

LAURA L. CASSIDY IRA FCC AS CUSTODIAN MASTERS/EQUITY

- 1	44,756,47	1		69,289.46 0.00			
	9 1,724,41	05/22/2006	43,4300	1,520.05	08/30/2007	VAMT	35 WAL-MART STORES INC
꺴	9 492.68	05/22/2005	47.2900	472.90	03/26/2007	TMM	10 WAL-MART STORES INC
4		05/22/2009	43,7700	2,188.50	08/31/2006	TRV	50 TRAVELERS COS INC/THE
*	9 1,156.16	02/02/2005	43.7700	1,313.10	08/31/2006	TRV	30 TRAVELERS COMPANIES INC
ä		05/22/2003	62,2700	2,802.15	08/31/2006	TMK	45 TORCHMARK CORP
							Long - Continued

-24,533.99	Long Term
-993.06	Short Term
Realized Gains or Losses	Realized

This report is a service from your investment executive, not the official record of your account or a substitute for statements and confirmations. It is prepared as of trade rather than settlement date and its date may differ from your statement. The realized gains and losses noted above are the gross amounts, prior to fees and expenses. This report uses sources we believe are reliable, but we cannot guarantee their reliability or accuracy. Please call your local branch manager about discrepancies between this and your client statement.

contact your investment executive forfurther information. Wells Fargo Advisors is the trade name used by two separate, registered broker-dealers and non-bank affiliates of Wells Fargo & Company providing certain retail investment brokerage services: Wells Fargo Advisors, LLC, member NYSE/SIPC, and Wells Fargo Advisors Financial Network, LLC, member FINRA/SIPC. Wells Fargo Advisors is not a legal or tax advisor. Investment and insurance Products are: Not FDIC-insured, Not Bank Guaranteed, \*Prices for Independently Valued Direct Investments cannot be displayed on this report and are not included in the portfolio value shown. Refer to your client statement or and May Lose Money.

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

### Schedule of Realized Gains and Losses

2009 Tax Year

LAURA L. CASSIDY IRA FCC AS CUSTODIAN

132 E-TRACS UBS BBG FOOD ETF 36 71 IPATH GSCI TOTAL RTN & & 5 ISHARES INC MSCI SOUTH 1 ISHARES INC MSCI SOUTH 1 ISHARES INC MSCI SOUTH 1 ISHARES DJ US MED DEVICE 1 ISHARES DJ US MED DEVICE 1 ISHARES INC MSCI SOUTH INDEX SHS INC MSCI BARCLAYS USD BARCLAYS USD ISHARES S&P LATIN AMER40 ISHARES DJ US MED DEVICE ISHARES DJ US MED DEVICE GREENHAVEN CONTINOUS ETF S&P NRT AM TECH SEMI ETF S&P NRTH AME NAT STRETF INDEX SHS INC MSCI ISHARES INC MSCI SOUTH ISHARES INC MSCI SOUTH ISHARES INC MSCI SOUTH ISHARES S&P LATIN AMER40 ISHARES S&P LATIN AMER40 ISHARES S&P LATIN AMER40 ISHARES S&P GLOBAL FINLX ISHARES FTSE/XINHUA CH25 ISHARES FTSEIXINHUA CH25 ISHARES DJ US MED DEVICE ISHARES DJ US MED DEVICE ISHARES S&P LATIN AMER40 ISHARES DJ US MED DEVICE 07/07/2009 09/24/2009 08/11/2009 07/21/2009 06/02/2009 06/23/2009 09/24/2009 07/21/2009 07/21/2009 06/23/2009 06/23/2009 06/23/2009 06/23/2009 06/02/2009 06/02/2009 06/02/2009 07/21/2009 07/21/2009 11/02/2009 09/24/2009 08/04/2008 07/17/2008 07/17/2009 06/02/2006 06/02/2009 10/02/2009 06/02/200 09/24/200 3,629,92 2 158 75 2,008.41 2,007.BB 1,728.40 2,882,72 2,170,81 2,879.27 1,928,79 2,011.46 1,791,04 2,004.56 2,162.77 2,926.44 141.68 271.37 141.68 42,51 43,76 43.21 86,42 78.73 52.63 50.89 43.21 43,22 48.89 39.3632 40.9772 43.7600 42,5094 43.2102 43.2102 43.2102 43.2102 43,2102 24.8510 37.4277 31.2964 39.3632 51.5760 52,6300 54.2740 54,2740 48.8900 50.8900 50,1141 30.4615 44.9185 39,2500 40.9880 44.9185 06/23/2009 09/24/2006 07/17/2009 06/23/2009 06/23/2009 08/04/2009 08/04/2009 07/21/2009 06/23/2009 08/17/2009 09/24/2009 09/24/2009 09/04/2009 08/11/2009 06/04/2009 06/23/2009 08/11/2009 12/15/2009 12/15/2009 12/15/2009 12/02/2009 08/17/2009 08/17/2005 08/17/2009 06/23/2009 06/23/2009 10/02/2009 10/02/2009 2,430.99 1,995.32 2,198.53 1,860.29 3,196.94 2,025.59 2,057.16 2,620.89 1,934.08 1,919.43 1,985,36 2,619.21 1,697.20 1,949.70 287.74 157.93 148.39 47.42 94.79 84.83 47.43 47.43 49,63 56.37 49.6 49 17 43.27 56.3740 57,5500 47,4300 26.4246 40.7220 42.4200 56.3740 56.3740 47,4315 32.6228 35,4502 39,4720 39.1730 49,6354 49.6354 49,6354 49,1800 47,4000 43.2800 22,5800 34.9250 47.4315 47.4315 28.9750 19.8557 -145.22 -263.51 **4**32.98 -209.05 256.96 446.28 187.07 -107.36 -105.61 -305.55-74.33 66.53 -88.45 69.25 6.10 16,37 -3,46 6.71 5.88 4.21 6.37 0.05 3.74 46 Page 1 of 5 -15.57 -6.69 13,44 -299 683 4.43 9.74 3.45 9.30 7.75 3.87

39

2009 Tax Year

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

Prepared For:

LAURA L. CASSIDY IRA FCC AS CUSTODIAN

Short - Continued 65 ISHRES BARCLY TIPS BD FD
B9 ISHRS BRCLY SHRT TRES BD
62 MARKET VECTORS STEEL IND
76 MARKET VECTORS 244 ISHARES TRIMSCI EMERGING 123 POWERSHRS DYNMC CONS DIS 96 ISHARES TR MSCIEMERGING 42 ISHARES MSCICHILE ETF 16 ISHARES TRIMSCI EMERGING 12 IPATH DOW JONES-UBS ETF 1 IPATH DOW JONES-UBS ETF 77 IPATH GBP/USD RATE ETN 2 POWERSHRS DB SILVER FD 2 ISHARES MSCI CHILE ETF 5 ISHARES TR MSCI EMERGING 10 ISHARES TRIMSCLEMERGING 13 ISHARES TR MSCI EMERGING 82 ISHARES DJ US BROKER DLR 1 JPATH DOW JONES-UBS ETF 17 IPATH DOW JONES-UBS ETF 3 IPATH DJ UBS CPR T/R ETN 62 PATH DJ AIG AGR T/R ETF 34 ISHARES MSCI BRAZIL INDX 2 IPATH OJ UBS IND T/R ETN 2 ISHARES MSC( BRAZIL (ND) 4 POWERSHRS DB SILVER FD 1 IPATH OJ UBS IND T/R ETN 3 ISHARES MSCI THAILND ETF 4 ISHARES MSCI BRAZIL INDX POWERSHARES DB PREC MTLS POWERSHARES DB COMMODITY POWERSHARES DYNAMIC SEMI MARKET VECTOR GLD MINERS EEM
ECH
TIP
SHV
SLX
SLX
MOO
GDX
FSI
PBC
PEZ EEM EEM KE W SGG 98 ₩rr Wrr OH£ Σ 5 퐒 06/23/2009 08/04/2009 06/23/2009 06/23/2009 06/23/2009 06/02/2009 09/24/2009 06/02/2006 06/02/2009 06/23/2009 09/24/2006 09/24/2009 06/02/2009 09/24/2009 06/02/2000 06/02/2009 07/21/2006 06/23/2009 06/23/2009 06/23/2009 09/24/2008 11/02/2008 09/24/2000 09/24/2008 09/24/200 07/21/200 07/21/200 09/24/200 06/02/200 06/02/200 10/02/2008 2 192 16 2,872.96 2,912.56 9.807.71 6,555,41 2,030.70 2,907.64 7,390,27 2,157,78 2,873,44 3,339.30 2,174.90 2,175,56 2,900.82 151.44 302,88 484.61 393.75 779.59 846.69 94.46 112,25 126.84 57.97 64.97 65.98 58.51 32 99 110.1990 100,8524 64,9663 37.8021 30,2880 30.2880 30.2880 26.3144 57.9700 64,9663 37.4160 32,9860 56.4773 17.8224 23,9000 44.6280 46,9768 47,2300 48.3500 30,2880 30,2880 30.2880 49,8050 46.3458 43.3676 32,9860 42.2800 64.7380 56,4773 10.9325 06/23/2009 06/23/2009 07/07/2008 08/17/2009 07/21/2008 07/07/2008 11/02/2008 2002/22/2008 06/23/2008 06/23/2008 07/07/2008 08/17/2008 08/17/2009 08/11/2009 08/04/2009 07/17/2005 06/23/2009 10/02/2009 11/02/2009 06/23/2008 06/23/2000 11/02/2009 11/02/2009 12/02/2009 11/02/2009 10/02/2009 08/04/2005 10/02/2008 12/02/2000 12/02/2008 9,805.90 6,535,19 1,879,35 8,625,17 3,299,75 2,037.61 2,066.55 2,315.67 2,508.92 2,475.34 3,304,42 1,965,85 2,565,88 2,001.16 184 19 349.59 433.06 508.34 123.11 89.50 783 81 67 46 849.08 71.85 121.67 59.29 60.18 32 93 110,1816 100,5440 29.6500 22,3920 35.6266 33.0130 39,9260 44,7480 44,7480 34,4220 35,3500 36,8400 34,9600 33.3138 31.7728 23.9745 60, 1900 65.3200 67,4700 49.9475 41,0400 42.8551 35.9300 32,9400 10.3850 41,3863 16.2701 40.5600 1,234.90 -137,29 437.22 -151,35 -191,93 191.00 -109.0t -585, 15 364.04 396,78 -307.56 -20.22 32.75 1.81 4. 88 39,31 23.73 10.86 -39,55 46.71 0.78 2.39 2.21 4 22 2.49 Page 2 of 5 -12.67 -15.01 -10.70 -20,17 -7,45 21.63 13.65 15.42 8.71 5.01 -0.02 **-0.31** -5.25 16.71 8.90 6.18 1.33 6.31 9.98 -8.89 3.81 0.28 9.67 4.90

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

2009 Tax Year

Prepared For:
LAURA L. CASSIDY IRA
FCC AS GUSTODIAN

	5 SPDR KBW CPTL MKTS ETF	2 SPDR KBW CPTL MKTS ETF	1 SPDR KBW CPTL MKTS ETF	35 SPDR S&P SEMICONDCTR ETF	11 SPDR S&P SEMICONDCTR ETF	16 SPDR S&P SEMICONDCTR ETF	63 SPDR S&P SEMICONDCTR ETF	1 SPDR S&P SEMICONDCTR ETF	47 SPDR S&P INTERNIL DV ETF	31 RYDEX S&P EW MATERIALS	43 RYDEX S&P EW MATERIALS	1 RYDEX S&P EW MATERIALS	3 RYDEX S&P EW MATERIALS	6 POWERSHARES DYNAMIC LEIS	2 POWERSHARES DYNAMIC LEIS	2 POWERSHARES DYNAMIC LEIS	113 POWERSHARES DYNAMIC LEIS	158 POWERSHARES DYNAMIC LEIS	6 POWERSHARES DYNAMIC LEIS	6 POWERSHARES DYNAMIC LEIS	9 POWERSHARES DYNAMIC LEIS	197 POWERSHARES FTSE ETF	192 POWERSHARES DYNAMIC	15 POWERSHARES DB OIL FD	7 POWERSHARES DB OIL FD	338 POWERSHARES DB OIL FD	1 POWERSHARES DB OIL FD	476 POWERSHARES DB OIL FD	3 POWERSHARE DB BASE METAL	10 POWERSHARE DB BASE METAL	344 POWERSHARE OB BASE METAL	9 POWERSHARE OB BASE METAL	ERSHARE DB BASE METAL	Short - Continued
	KCE	KCE	KCE	XSD	XSD	XSD	XSD	XSD	DWX	RTM	RTM	RTM	RTM	PEJ	PEJ	PEJ	PEJ	PEJ	PE)	PEJ	PEJ	РХН	PMR	DBO	080	DBO	DBO	D80	D88	DeB	D8B	D88	D88	
	06/02/2009	06/02/2009	06/02/2009	07/21/2009	07/17/2009	06/23/2009	06/02/2009	06/02/2009	06/02/2009	07/21/2009	07/17/2009	07/17/2009	07/17/2009	08/17/2009	08/12/2009	08/04/2009	07/21/2009	07/07/2009	07/07/2009	07/07/2009	07/07/2009	06/02/2009	06/02/2009	09/04/2009	08/17/2009	08/04/2009	08/04/2009	06/23/2009	08/12/2009	08/12/2009	08/12/2009	08/12/2009	08/12/2009	
	169,62	67.85	33.93	1,306.49	398.42	516.06	2,139.41	33.96	2,182.21	1,333.00	1,780.63	41.41	124.23	69.79	24.20	24.50	1,341.31	1,726.75	65,58	65,58	98,37	3,640.47	2,886.07	362.85	175.45	9,013.78	26.67	11,650,58	54.00	180.00	6,191,80	162.00	126.00	
	33,9224	33.9224	33,9224	37.3283	36,2200	32.2539	33.9588	33,9588	46,4300	43,0000	41.4100	41,4100	41.4100	11.6320	12.1000	12.2500	11.8700	10.9289	10.9289	10.9289	10.9289	18.4796	15.0316	24,1900	25.0645	26.6680	26,6680	24,4760	17.9994	17.9994	17.9994	17.9994	17.9994	
	06/04/2009	07/17/2009	07/07/2009	08/11/2009	06/11/2009	06/11/2009	08/11/2009	07/07/2009	06/23/2009	08/17/2009	08/17/2009	06/12/2009	08/04/2009	09/24/2009	09/24/2009	09/24/2009	09/24/2009	09/24/2009	09/04/2009	08/11/2009	07/17/2009	06/23/2009	06/23/2009	09/24/2009	09/24/2009	09/24/2009	08/11/2009	07/07/2009	12/02/2009	11/02/2009	09/24/2009	09/04/2009	08/17/2009	
	181.69	65,89	32.15	1,300.93	408.86	594.70	2,341.64	33.26	1,854.85	1,377.72	1,911.01	46.16	139,46	75,48	25.16	25.16	1,421.47	1,987.55	71.02	72.13	104.29	3,220.10	2,561.58	356.92	166.55	8,042.39	26.14	11,138.53	64,91	197.70	6,081.38	164.78	124.64	
	36.3400	32.9500	32.1600	37.1700	37.1700	37.1700	37.1700	33.2700	39,4660	44.4435	44,4435	46.1700	46,4900	12.5798	12,5798	12.5798	12.5798	12.5798	11.8384	12.0228	11.5884	16.3462	13,3420	23,7947	23.7947	23,7947	26.1500	23,4009	21,6400	19.7705	17.6789	18.3100	17.8068	
Pagi 11		-1,96																																
Page 3 of 5 11:11AM	7.12	-2.89	-5.25	-0.43	2.62	15.24	9.45	-2.06	-15.00	3,35	7.32	11.47	12.26	8.15	3.97	2.69	5.98	15.10	8.30	9.99	6.02	-11.55	11.24	<u>-1</u> .63	-5.07	-10.78	-1.99	.4 40	20.20	9.83	-1.78	1.72	-1.08	

Prepared For:

LAURA L. CASSIDY IRA FCC AS CUSTODIAN

		1.0000	189.79 -	10/02/2009	1.0000		189.79	09/04/2009	FSMXX	189.7900 GS FINL SQ MIMKT INSTL
		1.0000	127.00	07/21/2009	1.0000		127.00	07/17/2009	FSMXX	127 GS FINL SQ MMKT INSTL
		1.0000	16,279.00	07/21/2009	1.0000		16,279.00	07/07/2009	FSMXX	16,279 GS FINE SQ MMKT INSTE
				06/01/2009				03/24/2006	c	100 CITIGROUP INC
										Misc
	4,095.72		184,399.00			0.00	188,494.72			
-9.09	-264.25	31,4650	2,642.99	06/23/2009	34.6100		2,907.24	06/02/2009	DGS	84 WISDOMTREE E/M SMCP ETF
12,03	4.42	41.1620	41.17	09/24/2009	36,7500		36.75	09/04/2009	ONA	1 VANGUARD REIT ETF
12.82	332.05	41.1620	2,922,41	09/24/2009	36,4840		2,590.36	08/17/2009	ONA	71 VANGUARD REIT ETF
-11,19	-241,31	29.9366	1,915.89	06/23/2009	33,7062		2,157.20	06/02/2009	SNO	64 VANGUARD REIT ETF
-1.19	-23 14	48.1224	1,924,85	07/07/2009	48,6998		1,947.99	06/23/2009	VOX	40 VANGUARD TELECOMM ETF
-10,42	-376.70	29.9903	3,238,86	06/23/2009	33,4774		3,615,56	06/02/2009	OWV	108 VANGUARD EMRG MKTS ETF
0.04	4.59	45.8633	11,740.69	07/17/2009	45,8800		11,745.28	07/07/2009	먪	256 SPDR BRCL CAP 1-3MTH ETF
10.86	72.57	52.9370	741,10	09/24/2009	47.7514		668.53	07/21/2009	MTX	14 MORGAN STANLEY TECH ETF
	414.11	52.9370	3,493.75	09/24/2009	46,6614		3,079.64	07/17/2009	MTX.	66 MORGAN STANLEY TECH ETF
	9,49	49,8300	149.48	09/04/2009	46,6614		139.99	07/17/2009	NTX.	3 MORGAN STANLEY TECH ETF
	1.71	48.3900	48.36	08/17/2009	46,6614		46.67	07/17/2009	MTX	1 MORGAN STANLEY TECH ETF
-10.27	-295,98	26.3997	2,587,10	06/23/2009	29,4192		2,883,08	06/02/2009	XRT	99 SPDR S&P RETAIL ETF
4.78	32,86	21.1938	720.58	12/02/2009	20.2272		687.72	11/02/2009	KRE	34 SPDR KBW REGNL BNKG ETF
4.38	1.78	21.1938	42.38	12/02/2009	20,3000		40.60	10/02/2009	KRE	2 SPDR KBW REGNL BNKG ETF
÷.56	-11,40	21,1938	720.57	12/02/2009	21,5285		731,97	09/24/2009	KRE	34 SPDR KBW REGNL BNKG ETF
9.26	4.27	50,4000	50,39	12/02/2009	46,1165		46.12	09/24/2009	XME	1 SPDR METALS & MINING ETF
-9.56	415.92	57,1900	3,888.82	06/23/2009	£3,30£0		4,304.74	06/02/2009	GMF	66 SPDR S&P EMERGING ASIA
12.36	92.26	38,1232	838,69	09/24/2009	33,9285		746,43	07/21/2009	KOE	22 SPDR KBW CPTL MKTS ETF
20.89	118,58	38.1232	686.20	09/24/2009	31.5344		567.62	06/23/2009	KCE	18 SPDR KBW CPTL MKTS ETF
12.38	222.62	38.1232	2,020.47	09/24/2009	33.9224		1,797.85	06/02/2009	KCE	53 SPDR KBW CPTL MKTS ETF
4.57	1.55	35,4900	35,48	09/04/2009	33.9224		33.93	06/02/2009	KCE	1 SPDR KBW CPTL MKTS ETF
6.69	4.54	36.2000	72.39	08/11/2009	33.9224		67.85	06/02/2009	KCE	2 SPDR KBW CPTL MKTS ETF
										Short Continued

11:11AM

9,933.5630 GS FINE SQ MMRT INSTE

FSMXX FSMXX FSMXX FSMXX MDTO

5,533,56

0000 1.0000 1.0000 1.0000

9,933.56 189.79 127.00

1.0000 1.0000

2,500 MD TECHNOLOGIES

180.6002 FRONTIER BALANCED SER 1

10,000 FHR 2906 MS VAR% 7/15/34

31395K-W5-8 359077-10-5

04/26/2006

20,000,00

110.7400

06/19/2009 06/01/2009

06/01/2009 11/02/2009

03/24/2006

03/24/2006 09/04/2009

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

2009 Tax Year

Prepared For:

LAURA L. CASSIDY IRA FCC AS CUSTÓDIAN

400,000 CWALT 05-27A VAR% 082535 600,000 CWALT 05-27A VAR% 082535 300,000 CWALT 05-17 VAR% 7/25/35 400,000 CWALT 05-27 VAR% 8/25/35 500,000 GT 95-8 82 7.65% 121526 500,000 GT 96-6 B2 6.35% 9/15/27 55,000 GT 95-10 B2 7.4% 1/15/27 10,000 MD TECH 7.5% 06/30/15 15,000 GT 97-3 B2 8.03% 3/15/28 60,000 GT 98-3 82 8.07% 3/1/30 10,000 FNR 03-62 ID 5% 4/25/26 5,000 GT 97-7 B2 7.59%01/15/28 5,000 GT 98-3 82 8.07% 3/1/30 5,000 GT 98-2 B2 8.44% 6/1/28 12667G-M9-1 552688-AA-1 393505-XY-3 393505-UB-6 393505-KZ-4 393505-JX-1 393505-E7-3 393506-E7-3 393505-A9-3 31393D-MR-12667G-M9-1 12667G-RZ-8 2667G-MB-3 03/24/2006 03/22/2006 03/24/2006 03/24/2006 03/22/2006 03/24/2006 03/24/2006 03/24/2006 03/22/2006 03/22/2006 03/24/2006 03/22/2006 03/24/2006 03/24/2006 46,629,36 0,00 06/01/2009 06/01/2009 06/01/2009 06/01/2009 06/01/2009 06/01/2009 06/01/2009 06/01/2009 06/01/2009 06/01/2009 06/01/2009 06/01/2009 06/01/2009 06/01/2009 26,529.35

Realized Gains or Losses

Short Term

4,095.72

This report is a service from your investment executive, not the official record of your account or a substitute for statements and confirmations. It is prepared as of trade rather than settlement date and its date may differ from your statement. The realized gains and losses noted above are the gross amounts, prior to fees and expenses. This report uses sources we believe are reliable, but we cannot guarantee their reliability or accuracy. Please call your local branch manager about discrepancies between this and your client statement.

Wells Fargo & Company providing certain retail Investment brokerage services. Wells Fargo Advisors, LLC, member NYSE/SIPC, and Wells Fargo Advisors Financial \*Prices for Independently Valued Direct Investments cannot be displayed on this report and are not included in the portfolio value shown. Refer to your client statement or contact your investment executive forfurther information. Wells Fargo Advisors is the trade name used by two separate, registered broker-dealers and non-bank affiliates of Network, LLC, member FINRA/SIPC. Wells Fargo Advisors is not a legal or tax advisor. Investment and insurance Products are: Not FDIC-Insured, Not Bank Guaranteed, and May Lose Money.

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

## Schedule of Realized Gains and Losses

2009 Tax Year

Prepared For:
LAURA L. CASSIDY IRA
FCC AS CUSTODIAN

Short

																														••
47,4270 MARSICO FOCSD EQUITES A	1.3640 MARSICO FOCSD EQUITES A	2.1700 BOND FUND OF AMERICA F	2,3490 BOND FUND OF AMERICA F	2.3400 BOND FUND OF AMERICA F	1.5840 BOND FUND OF AMERICA F	3,9130 BOND FUND OF AMERICA F	4.8970 BOND FUND OF AMERICA F	3.2800 BOND FUND OF AMERICA F	3,1580 BOND FUND OF AMERICA F	2,9090 BOND FUND OF AMERICA F	2.7810 BOND FUND OF AMERICA F	2.7360 BOND FUND OF AMERICA F	2,6600 BOND FUND OF AMERICA F	1,3020 AMERICAN HIGH INC TR F	6.0680 AMERICAN HIGH INC TR F	7.2390 AMERICAN HIGH INC TR F	7.1680 AMERICAN HIGH INC TR F	4,0060 AMERICAN HIGH INC TR F	2.5390 AMERICAN HIGH INC TR F	12.9760 AMERICAN HIGH INC TR F	8.5830 AMERICAN HIGH INC TR F	7,7500 AMERICAN HIGH INC TR F	6,2610 AMERICAN HIGH INC TR F	5,8130 AMERICAN HIGH INC TR F	5,7320 AMERICAN HIGH INC TR F	5.6280 AMERICAN HIGH INC TR F	3.9120 ALLIANZ NFJ S/C VALUE-A	0.1580 ALLIANZ NFJ S/C VALUE-A	23.9210 ALLIANZ NFJ S/C VALUE-A	202.3080 ALLNCE/BERNS INTL VAL-A
NFEAX	NFEAX	BFAFX	AHTFX	AHTIFX	AHTFX	AHTTFX	AHTIFX	АНТЕХ	AHTFX	AHTFX	AHTFX	AHTFX	AHTFX	AHTEX	AHTFX	PCVAX	PCVAX	PCVAX	ABIAX											
01/20/2009	12/15/2008	04/27/2009	03/26/2009	02/26/2009	01/26/2009	12/26/2008	12/26/2008	11/26/2008	10/27/2008	09/26/2008	08/26/2008	07/28/2008	06/26/2008	05/29/2009	04/29/2009	03/30/2009	03/02/2009	01/29/2009	12/29/2008	12/29/2008	12/01/2008	10/29/2008	09/29/2008	08/29/2008	07/29/2008	06/30/2008	12/22/2008	12/15/2008	12/15/2008	01/20/2009
605,64	19.60	23.26	24.71	24.69	16,98	41.75	52.25	34.67	34.20	34.01	34.10	33,60	33,46	11,47	56.87	56.61	56.55	32.17	19.35	98.88	65,40	<b>64.09</b>	63.99	63.83	63.34	63,48	71.86	2.84	429.86	1,768.17
12,7700	14,3700	10.7200	10,5200	10.5500	10.7200	10,6700	10.6700	10,5700	10.8300	11,6900	12,2600	12.2800	12,5800	6.8100	8.2800	7.8200	7.7500	8.0300	7.6200	7,6200	7.8200	8.2700	10.2200	10.9600	11.0500	11.2800	18,3700	17.9700	17.9700	8.7400
06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009
769.28	22.12	24.00	25.96	25.86	17.50	43.24	54.12	36.24	34.90	32.14	30.73	30.23	29.39	11.69	61.62	64.94	64.30	35.93	22.77	116.40	76.99	69.52	56.16	52.14	51.41	50.48	78.76	3.18	481.53	2,350.83
16.2200	16.2200	11.0500	11.0500	11.0500	11.0500	11.0500	11.0500	11.0500	11.0500	11.0500	11.0500	11.0500	11,0500	8.9700	8.9700	8.9700	8.9700	8.9700	8.9700	8.9700	6.9700	8.9700	8.9700	8.9700	8.9700	8.9700	20,1300	20.1300	20.1300	11.6200
163,64	2.52	0.74	1.25	1.17	0.52	1.49	1.87	1.57	0.70	-1.87	-3.37	3.37	4.07	0.22	4.75	8.33	8.75	3.76	3.42	17.52	11.59	5.43	.7.83	-11.69	-11.93	-13.00	6.90	0.34	51.67	582.66
27.02	12,86	3.18	5.06	4.74	3.06	3.57	3.58	4.53	2.05	-5.50	-9.88	-10.03	-12.16	1.92			15,75	11.69	17.67	17.72	17.72	8.47	-12.24	-18.31	-1B,63	-20,48	9.60	11.97	12.02	32,95

Page 1 of 7 11:15AM

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

## Schedule of Realized Gains and Losses

2009 Tax Year

Prepared For:
LAURA L. CASSIDY IRA
FCC AS CUSTODIAN

Short - Continued

		2.2000 INVESTMENT CO AMERICA F AIL	1,9590 INVESTMENT CO AMERICA F AI	0.3150 AMER FNDS INTR BND FD AM IBI	1.3310 AMER FNDS INTR BND FD AM (B)	1.1740 AMER FNDS INTR BND FD AM IBI	1.5060 AMER FNDS INTR BND FD AM (B)	2.1180 AMER FNDS INTR BND FD AM (BI	2.0980 AMER FNDS INTR BND FD AM &BI	2.6980 AMER FNDS INTR BND FD AM IBI	2.7420 AMER FNDS INTR BND FD AM IBI	2.3550 AMER FNDS INTR BND FD AM (BI	2.5170 AMER FNDS INTR BND FD AM IBI	2.6500 AMER FNDS INTR BND FD AM IBI	2.3940 AMER FNDS INTR BND FD AM IBI	7.4770 HARTFORD CAP APPREC FD-A	73.4660 GROWTH FUND AMERICA CL F GF	8.9570 GROWTH FUND AMERICA CL F GF	328.3560 GROWTH FUND AMERICA CL F GF	40.9970 G/S GRWTH OPPS CLA GC	52.7640 G/S GRWTH OPPS CLA GO	1.3470 G/S MID CAP VALUE CLA GC	2.2930 G/S MID CAP VALUE CLA GC	15.3050 FIRST EAGLE SOGEN GLBL A SC	247.2300 FIRST EAGLE SOGEN GLBL A SC	0.7510 FPA PERENNIAL FD INC FP	1.0510 FPA PERENNIAL FD INC FP	0.7560 FPA PERENNIAL FD INC FP	1.1340 FPA PERENNIAL FD INC FP	4.3460 FPA PERENNIAL FD INC	21.1420 EUROPACIFIC GROWTH FD F AE	5.5040 EUROPACIFIC GROWTH FD F AE	9.9710 EUROPACIFIC GROWTH FD F AE	111.3500 DELAWARE US GRWTH FND A DU
		AICFX	AICFX	BFFX	BFFX	BFFX	BFFX (	(BFFX	lBFFX 1	)BFFX 1	IBFFX	IBFFX	IBFFX	IBFFX (	IBFFX	пнах	GFAFX (	GFAFX 1	GFAFX 1	GGOAX	GG0AX 1	GCMAX	GCMAX 1	SGENX	SGENX 1	FPPFX 1	FPPFX 1	FPPFX (	EPPEX (	FPPFX C	AEGFX	AEGFX 1	AEGFX 1	DUGAX
		09/08/2008 60.84	35/09/2008 59,72	)5/29/2009 4.03	34/29/2009 17.04	14.95	03/02/2009 19.10	01/29/2009 27.05	2/29/2008 26,65	2/01/2008 33.91	0/29/2008 34.39	09/29/2008 30.36	08/29/2008 32.80	07/29/2008 34.56	06/30/2008 31,48	154.99	01/20/2009 1,369.40	176.36	11/20/2008 5,680.56	01/20/2009 474.74	12/10/2008 616.28	01/20/2009 27.34	12/10/2008 47.93	12/19/2008 505,06	12/19/2008 8,158.59	2/17/2008 14.67	12/17/2008 20.54	07/03/2008 23.22	07/03/2008 34.83	07/03/2008 133.52	01/20/2009 526.23	12/24/2008 147.99	12/24/2008 268.11	01/20/2009 859.62
		27.6600	30,4800	12,8000	12.8000	12.7300	12,6800	12.7700	12.7000	12.5700	12,5400	12.8900	13,0300	13,0400	13,1500	20.7300	18.6400	19,6900	17.3000	11.5800	11.6800	20.2900	20.9000	33,0000	33.0000	19,5400	19.5400	30.7200	30,7200	30.7200	24.8900	26.8900	26.8900	7.7200
		06/02/2009	•		06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009		06/02/2009	06/02/2009							06/02/2009	06/02/2009	06/02/2009 8,	06/02/2009	-	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	•	06/02/2009 1,
			43.88 22.4000							34.62 12.8300						,															592.42 32.7500	180.25 32.7500		1,141.35 10.2500
11:15AN	Page 2 of 7	-11.56 -19.00	J.							0.71 2.09												4.81 17.5		40.26 7.9				4.04 -17.40			_		58.44 21.80	281.73 32.7
M	of 7	8	K)	50	ដ	ధ	5	<b>&amp;</b>	3	æ	8	6	8	ĸ	5	6	75	ቖ	49	5	ĸ	26	5	97	97	ឌ	*	お	రే	12	&	8	ර්	7

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

2009 Tax Year

Prepared For:
LAURA L. CASSIDY IRA
FCC AS CUSTODIAN

INVESTMENT CO AMERICA F   AUCFX   AU	06/02/2009 06/02/2009	64,92		WASH MUTL INVS FD INC F
STMENT CO.AMERICA, F.         ACFX         12/19/2009         66.57         20.5300         66022009         86.00           STMENT CO.AMERICA, F.         LAFFX         09/19/2009         43.4         11.70         06/20/2009         82.2           A.BBIT AFFILATED A         LAFFX         09/19/2009         43.4         11.70         06/20/2009         22.2           A.BBIT AFFILATED A         LAFFX         11/25/2009         43.6         17.200         06/20/2009         22.6           D.ABIT AFFILATED A         LAFFX         12/25/2009         24.4         7.200         06/20/2009         23.5           D.ABIT AFFILATED A         LAFFX         02/19/2009         24.4         7.200         06/20/2009         29.5           D.ABIT AFFILATED A         LAFFX         02/19/2009         24.4         7.200         06/20/2009         29.5           D.ABIT AFFILATED A         LAFFX         02/19/2009         24.4         7.200         06/20/2009         29.25           D.ABIT AFFILATED A         LAFFX         02/19/2009         24.4         7.200         06/20/2009         29.25           D.ABIT AFFILATED A         LAFFX         02/19/2009         24.4         7.200         06/20/2009         29.25           D.	06/02/2009 06/02/2009	63,56	12/22/2008	3.0380 WASH MUTL INVS FD INC F WSHFX
STIMENT CO AMERICA F         AIGFX         12/18/2008         80.57         20.5300         66/22/2008           STIMENT CO AMERICA F         AIGFX         0.9392009         43.42         11.7100         0.602/2008           JABBT AFFILLATED A         LAFFX         0.9192009         43.41         11.7200         0.602/2008           JABBT AFFILLATED A         LAFFX         0.1222009         84.54         7.2000         0.602/2008           JABBT AFFILLATED A         LAFFX         0.2132009         84.54         7.2000         0.602/2008           JABBT AFFILLATED A         LAFFX         0.2132009         84.54         7.2000         0.602/2009           JABBT AFFILLATED A         LAFFX         0.2132009         84.54         7.2000         0.602/2009           JABBT AFFILLATED A         LAFFX         0.2132009         975.73         28.500         0.602/2009           JABBT AFFILLATED A         LAFFX         0.0122009         975.73         28.500         0.602/2009           JABBT AFFILLATED A         LAFFX         0.0222009         975.73         28.500         0.602/2009           JABBT AFFILLATED A         PTTLAX         0.0222009         975.73         28.500         0.602/2009           JABBT AFFILLATED A	06/02/2009 06/02/2009	175.38		WASH MUTL INVS FD INC F
STIMENT CO AMERICAF         AIGFX         12/19/2008         66.57         20.5300         0602/2009         66.00           STIMENT CO AMERICAF         AIGFX         060902009         61.33         16.700         0602/2009         62.2           JABBT AFFLIATED A         LAFFX         061902009         4.51         7.2200         0602/2009         52.6           JABBT AFFLIATED A         LAFFX         051920209         4.51         7.2200         0602/2009         52.6           JABBT AFFLIATED A         LAFFX         021920200         21.95         8.090         0602/2009         22.6           JABBT AFFLIATED A         LAFFX         02192000         24.76         8.1800         0602/2009         28.5           JABBT AFFLIATED A         LAFFX         02192000         975.71         28.5         0602/2009         2.67           JABBT AFFLIATED A         LAFFX         01202000         975.73         28.5         0602/2009         2.25           JABBT AFFLIATED A         LAFFX         01202000         975.73         28.25         0602/2009         2.25           JABBT AFFLIATED A         PTTAX         01202/2009         975.73         28.25         0602/2009         2.87           JABBT AFFLIATED A	06/02/2009 06/02/2009	62.89		WASH MUTL INVS FO INC F
STIMENT CO AMERICAF         AIGFX         12/18/2008         66.57         20.5300         66.022009         66.02           STIMENT CO AMERICAF         AIGFX         030902009         61.33         16.7100         60022009         62.22           DABBT AFFILIATED A         LAFFX         081930000         21.98         4.91         7.2200         60022009         22.93           DABBT AFFILIATED A         LAFFX         112522008         4.91         7.200         60022009         23.53           DABBT AFFILIATED A         LAFFX         12262209         24.96         4.96         4.90         60022009         29.53           DABBT AFFILIATED A         LAFFX         122422009         24.96         4.93         30.470         60022009         29.53           DABBT AFFILIATED A         LAFFX         05192209         24.96         4.96         4.90         60022009         5.07           DABBT AFFILIATED A         LAFFX         05192209         27.40         4.96         4.90         60022009         5.07           DABBT AFFILIATED A         LAFFX         05192209         29.52         29.52         29.52         29.52         60022009         5.92           DABBT AFFILIATED A         NAFFX         05192209<	06/02/2009 06/02/2009	62,56		WASH MUTL INVS FD INC F
STIMENT CO AMERICA F         AICFX         12/18/2006         66.57         20.5300         06/202009         66.08           SIMENT CO AMERICA F         AICFX         08/19/2009         41.33         16.7100         06/202009         82.22           SIMENT CO AMERICA F         AICFX         08/19/2009         43.42         11.7700         06/202009         82.22           2.0.881 AFFILLATED A         LAFFX         11/22/2009         43.61         7.2000         06/202009         23.63           2.0.881 AFFILLATED A         LAFFX         02/19/2009         4.76         8.000         06/202009         23.63           2.0.881 AFFILLATED A         LAFFX         02/19/2009         4.76         8.1200         06/202009         23.63           2.0.881 AFFILLATED A         LAFFX         02/19/2009         4.76         8.1200         06/202009         25.07           2.0.881 AFFILLATED A         LAFFX         02/19/2009         4.76         8.1200         06/202009         25.07           2.0.881 AFFILLATED A         LAFFX         02/19/2009         4.76         8.1200         06/202009         25.07           2.0.881 AFFILLATED A         LAFFX         02/19/2009         4.76         8.238         0.4700         06/202009 <t< td=""><td>06/02/2009 06/02/2009</td><th>976.45</th><td></td><td>SMALLCAP WORLD FUND CL F</td></t<>	06/02/2009 06/02/2009	976.45		SMALLCAP WORLD FUND CL F
STIMENT CO AMERICAF         AICFX         12/18/2008         60.57         20.580         060/22/2009         66.08           STIMENT CO AMERICAF         AICFX         08/99/2009         61.33         16.710         06/02/2009         62.22           STIMENT CO AMERICAF         AICFX         08/99/2009         61.33         16.710         06/02/2009         62.22           JABBT AFFILATED A         LAFFX         08/99/2009         21.96         68.54         17.200         06/02/2009         22.63           JABBT AFFILATED A         LAFFX         01/20/2009         24.48         7.200         06/02/2009         23.63           JABBT AFFILATED A         LAFFX         02/19/2009         24.48         7.200         06/02/2009         26.93           JABBT AFFILATED A         LAFFX         02/19/2009         24.48         7.200         06/02/2009         26.93           JABBT AFFILATED A         LAFFX         02/19/2009         24.48         7.200         06/02/2009         26.93           JABBT AFFILATED A         LAFFX         02/19/2009         24.48         7.200         06/02/2009         26.93           JABBT AFFILATED A         LAFFX         02/19/2009         24.48         7.200         66/02/2009         20.93	06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	38.82		TROWE PRICE MID-CAP VAL
STIMENT CO AMERICAF         AICFX         12/18/2008         60.57         20.5300         06/02/2009         86.08           STIMENT CO AMERICAF         AICFX         020962009         61.33         16.170         06/02/2009         62.22           DABBT AFFILATED A         LAFFX         020962009         43.42         11.770         06/02/2009         22.25           DABBT AFFILATED A         LAFFX         112562009         21.96         8.050         06/02/2009         22.85           DABBT AFFILATED A         LAFFX         07/120/2009         86.45         7.200         06/02/2009         22.85           DABBT AFFILATED A         LAFFX         05/120/2009         4.76         8.190         06/02/2009         22.85           DABBT AFFILATED A         LAFFX         05/120/2009         4.76         8.190         06/02/2009         22.85           DABBT AFFILATED A         LAFFX         05/120/2009         4.76         8.190         06/02/2009         22.25           DABBT AFFILATED A         LAFFX         05/120/2009         27.73         28.550         06/02/2009         22.25           DABBT AFFILATED A         PITTAX         05/120/2009         31.03         10.10         06/02/2009         22.25	06/02/2009 06/02/2009	60.39		T ROWE PRICE MID-CAP VAL
STIMENT CO AMERICAF         ACFX         12/19/2006         66.57         20.5300         6602/2009         66.08           STIMENT CO AMERICAF         ACFX         0309/2009         61.33         15.7100         0602/2009         62.22           STIMENT CO AMERICAF         ACFX         0309/2009         61.33         15.7100         0602/2009         62.22           DABBT AFFILLATED A         LAFFX         0619/2009         43.61         7.200         0602/2009         22.63           DABBT AFFILLATED A         LAFFX         07120/2009         66.43         7.200         0602/2009         23.63           DABBT AFFILLATED A         LAFFX         07120/2009         66.43         7.200         0602/2009         23.63           DABBT AFFILLATED A         LAFFX         07120/2009         22.48         7.200         0602/2009         23.63           DABBT AFFILLATED A         LAFFX         07120/2009         27.39         24.48         7.200         0602/2009         23.25           DABBT AFFILLATED A         NWFFX         07120/2009         27.73         28.500         0602/2009         23.25           DABBT AFFILLATED A         NWFFX         07120/2009         27.73         28.500         0602/2009         23.62 </td <td>06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009</td> <th>77.64</th> <td>_</td> <td>TROWE PRICE MID-CAP VAL</td>	06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	77.64	_	TROWE PRICE MID-CAP VAL
STMENT CO AMERICAF         AICFX         12/19/2008         66.57         20.5300         0602/2009         66.08           STMENT CO AMERICAF         AICFX         0209/2009         61.33         16.7100         0602/2009         82.22           STMENT CO AMERICAF         AICFX         0209/2009         43.42         11.7100         0602/2009         82.22           JABBT AFFILIATED A         LAFFX         1128/2009         21.96         89.90         0602/2009         23.63           JABBT AFFILIATED A         LAFFX         1128/2009         21.96         88.44         7.000         0602/2009         23.63           JABBT AFFILIATED A         LAFFX         0219/2009         86.44         7.000         0602/2009         29.25           JABBT AFFILIATED A         LAFFX         0219/2009         82.39         8.900         0602/2009         29.25           JABBT AFFILIATED A         LAFFX         0212/2009         97.573         28.500         0602/2009         29.25           JABBT AFFILIATED A         LAFFX         0212/2009         975.73         28.500         0602/2009         29.25           JABBT AFFILIATED A         PTTAX         01/20/2009         975.73         28.500         0602/2009         29.25 <td>06/02/2009 06/02/2009</td> <th>23.24</th> <td>05/01/2009</td> <td>PIMCO TOTAL RETURN FD-A</td>	06/02/2009 06/02/2009	23.24	05/01/2009	PIMCO TOTAL RETURN FD-A
STIMENT CO AMERICA F         AUCFX         12/19/2008         60.57         20.5300         0602/2009         66.08           STIMENT CO AMERICA F         AUCFX         02409/2009         61.33         16.7100         0600/2009         82.22           DABBT AFFILIATED A         LAFFX         02409/2009         43.42         11.7700         0600/2009         22.13           DABBT AFFILIATED A         LAFFX         01206/2009         21.96         80.900         0600/2009         23.53           DABBT AFFILIATED A         LAFFX         0213/2009         4.76         8.0900         0600/2009         23.53           DABBT AFFILIATED A         LAFFX         0213/2009         4.76         8.0900         0600/2009         29.25           DABBT AFFILIATED A         LAFFX         0213/2009         4.76         8.0900         0600/2009         29.25           DABBT AFFILIATED A         LAFFX         0213/2009         4.76         8.0900         0600/2009         5.07           DABBT AFFILIATED A         NVFFX         0120/2009         975.73         29.40         50.00         6600/2009         5.07           DABBT AFFILIATED A         NVFFX         0120/2009         975.73         31.30         50.00         6600/2009	06/02/2009 06/02/2009	23.24	04/01/2009	PIMCO TOTAL RETURN FD-A
STMENT CO AMERICA F         AIGFX         12/19/2008         60.57         20.5300         0602/2009         60.02           STMENT CO AMERICA F         AIGFX         03409/2009         61.33         16.7100         0602/2009         82.22           SABSI AFFILIATED A         LAFFX         06/19/2008         43.42         11.7700         0602/2009         22.60           DABSI AFFILIATED A         LAFFX         06/19/2009         21.96         68.04         7.2200         0602/2009         29.25           DABSI AFFILIATED A         LAFFX         02/13/2009         86.44         7.2901         0502/2009         29.25           DABSI AFFILIATED A         LAFFX         02/13/2009         86.44         7.2901         0502/2009         29.25           DABSI AFFILIATED A         LAFFX         02/13/2009         4.76         8.1800         0602/2009         29.25           DABSI AFFILIATED A         LAFFX         05/19/2009         4.76         8.1800         0602/2009         5.07           WORLD FUND CLASS F         NWFFX         102/2009         97.73         8.1800         0602/2009         1,346.20           VOTOTAL RETURN FDA         PTTAX         07/10/2008         29.82         10.0700         0602/2009         29.21 <td>06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009</td> <th>23,96</th> <td></td> <td>PIMCO TOTAL RETURN FD-A</td>	06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	23,96		PIMCO TOTAL RETURN FD-A
STMENT CO AMERICA F         AICFX         12/19/2008         69.57         20.5300         0602/2009         86.06           STMENT CO AMERICA F         AICFX         0309/2009         61.33         16.7100         0602/2009         82.22           DABBT AFFILLATED A         LAFFX         08/19/2008         43.42         11.7700         0602/2009         82.60           DABBT AFFILLATED A         LAFFX         11/25/2008         43.61         7.200         0602/2009         82.60           DABBT AFFILLATED A         LAFFX         02/19/2009         86.44         7.000         0602/2009         82.60           DABBT AFFILLATED A         LAFFX         02/19/2009         4.76         7.200         0602/2009         82.50           DABBT AFFILLATED A         LAFFX         02/19/2009         4.76         8.180         0602/2009         82.50           DABBT AFFILLATED A         LAFFX         02/19/2009         4.76         8.180         0602/2009         5.07           DABBT AFFILLATED A         LAFFX         02/19/2009         4.76         8.180         0602/2009         5.07           DABBT AFFILLATED A         NWFFX         01/20/2009         975.73         28.50         0602/2009         1.06.51 <td< td=""><td>06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009</td><th>31,84</th><td>02/03/2009</td><td>PIMCO TOTAL RETURN FD-A</td></td<>	06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	31,84	02/03/2009	PIMCO TOTAL RETURN FD-A
STMENT CO AMERICA F         AUCFX         12/19/2008         69.57         20.5300         06/02/2009         86.08           STMENT CO AMERICA F         AUCFX         0309/2009         61.33         16.7100         06/02/2009         82.22           DABBT AFFILIATED A         LAFFX         08/19/2008         43.42         11.7700         06/02/2009         23.13           DABBT AFFILIATED A         LAFFX         01/25/2008         21.96         06.92         06/02/2009         22.60           DABBT AFFILIATED A         LAFFX         01/25/2009         66.43         7.200         06/02/2009         23.63           DABBT AFFILIATED A         LAFFX         01/25/2009         66.43         7.000         06/02/2009         28.59           DABBT AFFILIATED A         LAFFX         05/19/2009         4.76         8.100         06/02/2009         28.59           DABBT AFFILIATED A         LAFFX         05/19/2009         4.76         8.100         06/02/2009         29.25           DABBT AFFILIATED A         LAFFX         05/19/2009         4.76         8.100         06/02/2009         5.07           WORLD FUND CLASS F         NIWFFX         07/10/2009         975.73         28.550         06/02/2009         30.02	06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	35.22	01/05/2009	PIMCO TOTAL RETURN FD-A
STIMENT CO AMERICA F         ÁICFX         12/19/2008         69.57         20.5300         680/22009         86.08           STIMENT CO AMERICA F         AICFX         03/09/2009         61.33         16.7100         06/02/2009         82.22           D ABBT AFFILIATED A         LAFFX         08/19/2008         43.42         11.7700         06/02/2009         23.13           D ABBT AFFILIATED A         LAFFX         11/25/2008         43.91         7.200         06/02/2009         23.63           D ABBT AFFILIATED A         LAFFX         11/25/2008         864.54         7.000         06/02/2009         23.63           D ABBT AFFILIATED A         LAFFX         02/13/2009         864.54         7.000         06/02/2009         23.63           D ABBT AFFILIATED A         LAFFX         02/13/2009         4.76         8.090         06/02/2009         29.25           D ABBT AFFILIATED A         LAFFX         05/19/2009         4.76         8.1800         06/02/2009         5.07           D ABBT AFFILIATED A         NWFFX         01/20/2009         975.73         28.50         06/02/2009         5.07           VOTOTAL RETURN FD-A         PTTAX         06/02/2009         975.73         28.50         06/02/2009         29.72 </td <td>06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009</td> <th>133.63</th> <td>12/12/2008</td> <td>PIMCO TOTAL RETURN FD-A</td>	06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	133.63	12/12/2008	PIMCO TOTAL RETURN FD-A
STIMENT CO AMERICA F         AICFX         12/19/2008         69.57         20.5300         06/02/2009         86.06           STIMENT CO AMERICA F         AICFX         03/09/2009         61.33         16.7100         06/02/2009         82.22           D ABBT AFFILLATED A         LAFFX         08/19/2008         43.42         11.7700         06/02/2009         52.60           D ABBT AFFILLATED A         LAFFX         11/25/2008         21.96         8.090         06/02/2009         52.60           D ABBT AFFILLATED A         LAFFX         01/20/2009         86.454         7.000         06/02/2009         23.63           D ABBT AFFILLATED A         LAFFX         01/20/2009         86.454         7.000         06/02/2009         82.60           D ABBT AFFILLATED A         LAFFX         05/19/2009         4.76         8.090         06/02/2009         82.50           D ABBT AFFILLATED A         LAFFX         05/19/2009         4.76         8.090         06/02/2009         82.50           D ABBT AFFILLATED A         LAFFX         05/19/2009         97.73         20.40         06/02/2009         106.51           D ABBT AFFILLATED A         NWFFX         01/20/2009         97.73         20.500         06/02/2009         106.51	06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	234.60		PIMCO TOTAL RETURN FD-A
STIMENT CO AMERICA F         AICFX         12/19/2008         60.57         20.5300         0602/2009         86.06           STIMENT CO AMERICA F         AICFX         03/09/2009         61.33         16.7100         0602/2009         82.22           D ABBT AFFILLATED A         LAFFX         08/19/2008         43.42         11.7700         06/02/2009         32.13           D ABBT AFFILLATED A         LAFFX         11/25/2008         43.61         7.2200         06/02/2009         23.63           D ABBT AFFILLATED A         LAFFX         11/25/2008         21.96         8.0500         06/02/2009         23.63           D ABBT AFFILLATED A         LAFFX         01/20/2009         864.54         7.0000         06/02/2009         23.63           D ABBT AFFILLATED A         LAFFX         01/20/2009         24.48         7.2901         06/02/2009         29.25           D ABBT AFFILLATED A         LAFFX         05/19/2009         4.76         8.1800         06/02/2009         5.07           D ABBT AFFILLATED A         LAFFX         05/19/2009         97.573         28.1800         06/02/2009         5.07           NORDLD FUND CLASS F         NVMFFX         01/20/2009         975.73         28.5500         06/02/2009         30.02 <td>06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009</td> <th>29.24</th> <td>12/02/2008</td> <td>PIMCO TOTAL RETURN FD-A</td>	06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	29.24	12/02/2008	PIMCO TOTAL RETURN FD-A
STIMENT CO AMERICA F         AICFX         12/19/2008         60.57         20.5300         05/02/2009         86.08           STIMENT CO AMERICA F         AICFX         03/09/2009         61.33         16.7100         05/02/2009         82.22           D ABBT AFFILIATED A         LAFFX         08/19/2008         43.42         11.7700         05/02/2009         32.13           D ABBT AFFILIATED A         LAFFX         11/12/52/2008         43.61         7.2200         05/02/2009         23.63           D ABBT AFFILIATED A         LAFFX         01/20/2009         864.54         7.0000         05/02/2009         23.63           D ABBT AFFILIATED A         LAFFX         01/20/2009         864.54         7.0000         05/02/2009         28.25           D ABBT AFFILIATED A         LAFFX         02/13/2009         24.48         7.2901         05/02/2009         28.25           D ABBT AFFILIATED A         LAFFX         05/19/2009         4.76         8.1900         05/02/2009         5.07           VWDRLD FUND CLASS F         NWFFX         01/20/2009         975.73         28.5500         05/02/2009         1,346.20           VWDRLD FUND CLASS F         NWFFX         05/02/2009         975.73         10.6500         05/02/2009	06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	32,44	11/04/2008	PIMCO TOTAL RETURN FD-A
STIMENT CO AMERICA F         AICFX         12/19/2008         60.57         20.5300         06/02/2009         66.08           STIMENT CO AMERICA F         AICFX         03/09/2009         61.33         16.7100         06/02/2009         82.22           DABBT AFFILLATED A         LAFFX         08/19/2008         43.42         11.7700         06/02/2009         32.13           DABBT AFFILLATED A         LAFFX         11/25/2008         43.61         7.2200         06/02/2009         52.60           DABBT AFFILLATED A         LAFFX         11/25/2008         21.96         8.0900         06/02/2009         23.63           DABBT AFFILLATED A         LAFFX         01/20/2009         664.54         7.0000         06/02/2009         23.69           DABBT AFFILLATED A         LAFFX         01/20/2009         24.48         7.2901         06/02/2009         29.25           DABBT AFFILLATED A         LAFFX         05/19/2009         4.76         8.1800         06/02/2009         5.07           WORLD FUND CLASS F         NWFFX         05/19/2009         97.573         28.5500         06/02/2009         1.94.20           DO TOTAL RETURN FDA         PITAX         06/02/2009         97.573         10.6000         06/02/2009         29.31	06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	27.48	10/01/2008	PIMCO TOTAL RETURN FD-A
STIMENT CO AMERICA F         AICFX         12/19/2008         60.57         20.5300         06/02/2009         66.08           STIMENT CO AMERICA F         AICFX         03/09/2009         61.33         16.7100         06/02/2009         82.22           DABBT AFFILLATED A         LAFFX         08/19/2008         43.42         11.7700         06/02/2009         32.13           DABBT AFFILLATED A         LAFFX         11/25/2008         21.96         8.0900         06/02/2009         23.63           DABBT AFFILLATED A         LAFFX         01/20/2009         664.54         7.0000         06/02/2009         23.63           DABBT AFFILLATED A         LAFFX         01/20/2009         664.54         7.0000         06/02/2009         29.25           DABBT AFFILLATED A         LAFFX         01/20/2009         24.48         7.2901         06/02/2009         29.25           DABBT AFFILLATED A         LAFFX         05/19/2009         4.76         8.1800         06/02/2009         5.07           VADRLD FUND CLASS F         NWFFX         05/19/2009         97.573         28.5500         06/02/2009         1.96.20           20 TOTAL RETURN FDA         PTTAX         06/02/2009         30.33         10.5000         06/02/2009         29.21	06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	30.71	09/02/20/60	
STIMENT CO AMERICA F         AICFX         12/19/2008         60.57         20.5300         06/02/2009         66.08           STIMENT CO AMERICA F         AICFX         03/09/2009         61.33         16.7100         06/02/2009         82.22           DABBT AFFILLATED A         LAFFX         08/19/2008         43.42         11.7700         06/02/2009         32.13           DABBT AFFILLATED A         LAFFX         11/25/2008         43.61         7.2200         06/02/2009         23.63           DABBT AFFILLATED A         LAFFX         01/20/2009         664.54         7.0000         06/02/2009         23.63           DABBT AFFILLATED A         LAFFX         01/20/2009         664.54         7.0000         06/02/2009         23.63           DABBT AFFILLATED A         LAFFX         01/20/2009         664.54         7.0000         06/02/2009         23.63           DABBT AFFILLATED A         LAFFX         02/19/2009         4.76         8.1800         06/02/2009         5.07           DABBT AFFILLATED A         LAFFX         05/19/2009         4.76         8.1800         06/02/2009         5.07           VOPICL FUND CLASS F         NWFFX         05/19/2009         97.573         28.5500         06/02/2009         1.08.51	06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	29,82	08/01/2008	PIMCO TOTAL RETURN FD-A
STMENT CO AMERICA F         AICFX         12/19/2008         60.57         20.5300         06/02/2009         66.08           STMENT CO AMERICA F         AICFX         02/09/2009         61.33         16.7100         06/02/2009         82.22           DABBT AFFILIATED A         LAFFX         08/19/2008         43.42         11.7700         06/02/2009         32.13           DABBT AFFILIATED A         LAFFX         11/25/2008         21.96         8.0500         06/02/2009         23.63           DABBT AFFILIATED A         LAFFX         01/20/2009         664.54         7.0000         06/02/2009         23.63           DABBT AFFILIATED A         LAFFX         01/20/2009         664.54         7.0000         06/02/2009         23.63           DABBT AFFILIATED A         LAFFX         01/20/2009         64.48         7.2901         06/02/2009         29.25           DABBT AFFILIATED A         LAFFX         02/19/2009         4.76         8.1900         06/02/2009         29.25           DABBT AFFILIATED A         LAFFX         02/19/2009         4.76         8.1900         06/02/2009         5.07           VWORLD FUND CLASS F         NWFFX         01/20/2009         975.73         28.5500         06/02/2009         1,346.20	06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	30.33	07/01/2008	
STMENT CO AMERICA F         AICFX         12/19/2008         60.57         20.5300         06/02/2009         66.08           STMENT CO AMERICA F         AICFX         02/09/2009         61.33         16.7100         06/02/2009         82.22           DABBT AFFILIATED A         LAFFX         08/19/2008         43.42         11.7700         06/02/2009         32.13           DABBT AFFILIATED A         LAFFX         1/125/2008         21.96         8.0590         06/02/2009         23.63           DABBT AFFILIATED A         LAFFX         01/120/2009         684.54         7.000         06/02/2009         29.25           DABBT AFFILIATED A         LAFFX         01/120/2009         684.54         7.000         06/02/2009         29.25           DABBT AFFILIATED A         LAFFX         01/120/2009         4.76         8.1900         06/02/2009         29.25           DABBT AFFILIATED A         LAFFX         02/19/2009         4.76         8.1900         06/02/2009         5.07           VOORLD FUND CLASS F         NVFFX         01/120/2009         97.5/73         28.5500         06/02/2009         106.51	06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	31.03	06/02/2008	-
STMENT CO AMERICA F         AIGFX         12/19/2008         60.57         20.5300         0502/2009         66.68           STMENT CO AMERICA F         AIGFX         02/09/2009         61.33         16.7100         0502/2009         82.22           DABBT AFFILIATED A         LAFFX         08/19/2008         43.42         11.7700         05/02/2009         32.13           DABBT AFFILIATED A         LAFFX         11/25/2008         21.96         8.0900         06/02/2009         23.63           DABBT AFFILIATED A         LAFFX         01/20/2009         684.54         7.0000         06/02/2009         29.25           DABBT AFFILIATED A         LAFFX         01/20/2009         684.54         7.2901         05/02/2009         29.25           DABBT AFFILIATED A         LAFFX         01/20/2009         24.48         7.2901         05/02/2009         29.25           DABBT AFFILIATED A         LAFFX         02/19/2009         4.76         8.1900         06/02/2009         5.07           PART AFFILIATED A         LAFFX         02/19/2009         4.76         8.1900         06/02/2009         5.07           PART AFFILIATED A         LAFFX         02/19/2009         4.76         8.1900         06/02/2009         5.07 <tr< td=""><td>06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009</td><th>975.73</th><td></td><td>NEW WORLD FUND CLASS F</td></tr<>	06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	975.73		NEW WORLD FUND CLASS F
STIMENT CO AMERICA F         AICFX         12/19/2008         60.57         20.5300         06/02/2009         60.68           STIMENT CO AMERICA F         AICFX         02/09/2009         61.33         16.7100         06/02/2009         82.22           DABBT AFFILLATED A         LAFFX         08/19/2008         43.42         11.7700         06/02/2009         32.13           DABBT AFFILLATED A         LAFFX         1/256/2008         21.96         8.0800         06/02/2009         23.63           DABBT AFFILLATED A         LAFFX         01/20/2009         664.54         7.0000         06/02/2009         826.90           DABBT AFFILLATED A         LAFFX         02/13/2009         24.48         7.2901         06/02/2009         29.25           DABBT AFFILLATED A         LAFFX         05/19/2009         4.76         8.1800         06/02/2009         5.07	06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	82.38		NEW WORLD FUND CLASS F
STIMENT CO AMERICA F         AICFX         12/19/2008         60.57         20.5300         05/02/2009         60.68           STIMENT CO AMERICA F         AICFX         03/09/2009         61.33         16.7100         05/02/2009         82.22           DABBT AFFILIATED A         LAFFX         08/19/2008         43.42         11.7700         05/02/2009         32.13           DABBT AFFILIATED A         LAFFX         11/25/2008         43.61         7.2200         05/02/2009         52.60           DABBT AFFILIATED A         LAFFX         11/25/2008         21.96         80.950         05/02/2009         23.63           DABBT AFFILIATED A         LAFFX         01/20/2009         664.54         7.000         05/02/2009         826.90           DABBT AFFILIATED A         LAFFX         01/20/2009         24.48         7.2901         05/02/2009         29.25	06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	4.76	05/19/2009	LORD ABBT AFFILIATED A
STIMENT CO AMERICA F         AICFX         12/19/2008         60.57         20.5300         05/02/2009         60.68           STIMENT CO AMERICA F         AICFX         03/09/2009         61.33         16.7100         06/02/2009         82.22           DABBT AFFILIATED A         LAFFX         08/19/2008         43.42         11.7700         05/02/2009         32.13           DABBT AFFILIATED A         LAFFX         11/25/2008         43.61         7.2200         08/02/2009         52.60           DABBT AFFILIATED A         LAFFX         12/26/2008         21.96         8.0900         06/02/2009         23.63           DABBT AFFILIATED A         LAFFX         01/20/2009         664.54         7.0000         06/02/2009         826.90	06/02/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	24.48	02/13/2009	LORD ABBT AFFILIATED A
STIMENT CO AMERICA F         AICFX         12/19/2008         60.57         20.5300         05/02/2009         60.8           STIMENT CO AMERICA F         AICFX         03/09/2009         61.33         16.7100         06/02/2009         82.22           D ABBT AFFILIATED A         LAFFX         08/19/2008         43.42         11.7700         05/02/2009         32.13           D ABBT AFFILIATED A         LAFFX         11/25/2008         43.61         7.2200         05/02/2009         52.60           D ABBT AFFILIATED A         LAFFX         12/26/2008         21.96         8.0900         06/02/2009         23.63	06/02/2009 06/02/2009 06/02/2009 06/02/2009	664.54	01/20/2009	LORD ABST AFFILIATED A
STIMENT CO AMERICA F         AICFX         12/19/2008         60.57         20.5300         06/02/2009         66.08           STIMENT CO AMERICA F         AICFX         03/09/2009         61.33         16.7100         06/02/2009         82.22           D ABBT AFFILIATED A         LAFFX         08/19/2008         43.42         11.7700         06/02/2009         32.13           D ABBT AFFILIATED A         LAFFX         11/25/2008         43.61         7.2200         06/02/2009         52.60	06/02/2009 06/02/2009 06/02/2009 06/02/2009	21.96	12/26/2008	
STMENT CO AMERICA F         AICFX         12/19/2008         60.57         20.5300         06/02/2009         66.08           STMENT CO AMERICA F         AICFX         03/09/2009         61.33         16.7100         06/02/2009         82.22           D ABBT AFFILIATED A         LAFFX         08/19/2008         43.42         11.7700         06/02/2009         32.13	06/02/2009 06/02/2009 06/02/2009	43.61	11/25/2008	
STIMENT CO AMERICA F         AICFX         12/19/2008         60.57         20.5300         06/02/2009         66.08           STIMENT CO AMERICA F         AICFX         03/09/2009         61.33         16.7100         05/02/2009         82.22	06/02/2009	43.42	08/19/2008	
STMENT CO AMERICA F AICFX 12/19/2008 60.57 20.5300 06/02/2009 86,08	06/02/2009	61.33	03/09/2009	
		60.57	12/19/2008	

11:15AM

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

2009 Tax Year

Prepared For:
LAURA L. CASSIDY IRA
FCC AS CUSTODIAN

-1.78 -27.09	25,3700	4.79	06/02/2009	34,6800	6.57	12/20/2006	FPPFX	0.1890 FPA PERENNIAL FD INC
-17.65 -26.86	25.3700	48,05	06/02/2009	34.6800	65,70	12/20/2006	FPPFX	1.8940 FPA PERENNIAL FD INC
-134,03 -26.84	25,3700	365,28	06/02/2009	34.6800	499.31	12/20/2006	FPPFX	14.3980 FPA PERENNIAL FD INC
-1.73 -26.53	25.3700	4,79	06/02/2009	34,5100	6.52	07/06/2006	FPPFX	0.1890 FPA PERENNIAL FD INC
-19.00 -26.50	25.3700	52.71	06/02/2009	34,5100	71.71	07/06/2006	FPPFX	2.0780 FPA PERENNIAL FD INC
-25,91 -26.50	25.3700	71.87	06/02/2009	34,5100	97.78	07/06/2006	FPPFX	2.8330 FPA PERENNIAL FD INC
-5,497.82 -33.85	25.3700	12,700.24	06/02/2009	38.3500	19,198.06	03/28/2006	EPPEX	500.6010 FPA PERENNIAL FD INC
-378.13 -27.51	32,7500	996.25	06/02/2009	45,1800	1,374,38	02/07/2008	AEGFX	30.4200 EUROPACIFIC GROWTH FD F
-2,915.59 -35.34	32.7500	5,334,41	06/02/2009	\$0.6500	8,250.00	12/31/2007	AEGFX	162.8830 EUROPACIFIC GROWTH FD F
402.59 -24.41	10.2500	1,246.66	06/02/2009	13.5600	1,649.25	02/07/2008	DUGAX	121.5260 DELAWARE US GRWTH FND A
-3,245,91 -32,79	10.2500	6,654.09	06/02/2009	15,2500	9,900.00	12/31/2007	DUGAX	649,1800 DELAWARE US GRWTH FND A
416.50 -25.25	16.2200	1,232.75	06/02/2009	21.7000	1,649.25	02/07/2008	NFEAX	76,0020 MARSICO FOCSD EQUITES A
-3,361,82 -33.96	16.2200	6,538.18	06/02/2009	24.5600	9,900,00	12/31/2007	NFEAX	403.0940 MARSICO FOCSD EQUITES A
-4.69 -13.89	11,0500	29.07	06/02/2009	12,8300	33,76	05/27/2008	BFAFX	2.6310 BOND FUND OF AMERICA F
4,35 -13.06	11,0500	28.95	06/02/2009	12,7100	33.30	04/28/2008	BFAFX	2.6200 BOND FUND OF AMERICA F
4.37 -13.09	11.0500	29.02	06/02/2009	12,7100	33.39	03/26/2008	BFAFX	2.6270 BOND FUND OF AMERICA F
<b>-</b> 4,38 -13.94	11.0500	27.04	06/02/2009	12.8400	31.42	02/26/2008	BFAFX	2.4470 BOND FUND OF AMERICA F
-170.64 -15.52	11.0500	928.86	06/02/2009	13,0600	1,099.50	02/07/2006	BFAFX	84.0600 BOND FUND OF AMERICA F
-2.71 -16.14	11.0600	14.08	06/02/2009	13.1700	16.79	01/29/2008	BFAFX	1.2750 BOND FUND OF AMERICA F
-636,36 -15.39	11.0500	3,498,38	06/02/2009	13.0600	4,134.74	12/31/2007	BFAFX	316.5960 BOND FUND OF AMERICA F
-14.27 -22.69	8.9700	48.08	06/02/2009	11.6300	62.35	05/29/2008	AHTFX	5.3610 AMERICAN HIGH INC TR F
-13.83 -22.48	8.9700	47.70	06/02/2009	11.5700	61.53	04/29/2008	AHTEX	5.3180 AMERICAN HIGH INC TR F
-12.43 -20.06	6.9700	49,52	06/02/2009	11,2200	61,95	03/31/2008	AHTFX	5.5210 AMERICAN HIGH INC TR F
-12,40 -21,53	6.9700	45,20	06/02/2009	11,4300	57,60	02/29/2008	AHTFX	5.0390 AMERICAN HIGH INC TR F
-299,56 -21.80	8.9700	1,074,62	06/02/2009	11,4700	1,374,38	02/07/2008	AHTFX	119.8240 AMERICAN HIGH INC TR F
-7.79 -22.34	8.9700	27.08	06/02/2009	11.5500	34.87	01/30/2008	AHTFX	3.0190 AMERICAN HIGH INC TR F
-1,562.96 -24.37	8,9700	4,851,12	06/02/2008	11,8600	6,414,08	12/31/2007	АНТЕХ	540.8170 AMERICAN HIGH INC TR F
-236,42 -28.67	20.1300	588,21	06/02/2009	28,2200	824,63	02/07/2008	PCVAX	29.2210 ALLIANZ NEJ SIC VALUE-A
-1,314.27 -32.06	20,1300	2,784.84	06/02/2009	29,6300	4,099.11	12/31/2007	PCVAX	138.3430 ALLIANZ NFJ S/C VALUE-A
-539.99 -39.29	11.6200	834.39	06/02/2009	19,1400	1,374.38	02/07/2008	ABIAX	71.8070 ALLNCE/BERNS INTL VAL-A
-3.925.92 -47.59	11.6200	4,324.08	06/02/2009	22,1700	8,250,00	12/31/2007	ABIAX	372.1240 ALLNCE/BERNS INTL VAL-A
								Long
0,011,00								

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

2009 Tax Year

Prepared Por:

LAURA L. CASSIDY IRA
FCC AS CUSTODIAN

		294,0090 PIMCO TOTAL RETURN FD-A PITAX	25,4990 NEW WORLD FUND CLASS F NWFFX	139,6650 NEW WORLD FUND CLASS F NWFFX	3.2300 LORD ABBT AFFILIATED A LAFFX	1,6740 LORD ABBT AFFILIATED A LAFFX	128,3460 LORD ABBT AFFILIATED A LAFFX	708,1550 LORD ABBT AFFILIATED A LAFFX	2,0090 INVESTMENT CO AMERICA F AICFX	54,1630 INVESTMENT CO AMERICA F AICEX	295,1850 INVESTMENT CO AMERICA F AICEX	2.5270 AMER FNDS INTR BND FD AM UBFFX	2,5610 AMER FNDS INTR BND FD AM (BFFX	2,5250 AMER FNDS INTR BND FD AM IBFFX	2,4000 AMER FNDS INTR BND FD AM 18FFX	101.3550 AMER FNDS INTR BND FD AM IBFFX	1.9380 AMER FNDS INTR BND FD AM (BFFX	336.4750 AMER FNDS INTR BND FD AM IBFFX	494,4380 HARTFORD CAP APPREC FD-A ITHAX	61.9090 GROWTH FUND AMERICA CL F GFAFX	325,8660 GROWTH FUND AMERICA CL F GFAFX	94.8310 G/S GRWTH OPPS CLA GGOAX	495,5910 G/S GRWTH OPPS CLA GGOAX	24,8910 G/S MID CAP VALUE CL A GCMAX	140,0280 G/S MID CAP VALUE CLA GCMAX	3,509,5380 FIRST EAGLE SOGEN GLBL A SGENX	0,6030 FIRST EAGLE SOGEN GLBL A SGENX	10.8170 FIRST EAGLE SOGEN GLBLA SGENX	10.8980 FIRST EAGLE GLOBAL FD A SGENX	50,1640 FPA PERENNIAL FD INC FPPFX	5.0570 FPA PERENNIAL FD INC FPPFX	0.1740 FPA PERENNIAL FD INC FPPFX	0.1740 FPA PERENNIAL FD INC FPPFX	Long - Condinued 2,7900 FPA PERENNIAL FD INC FPPFX
		7AX 12/31/2007	FFX 02/07/2008	FFX 12/31/2007	FX 05/20/2008	FX 02/20/2008	FX 02/07/2008	FX 12/31/2007	FX 03/10/2008	FX 02/07/2008	FX 12/31/2007	FX 05/29/2008	FX 04/29/2008	FX 03/31/2008	FX 02/29/2008	FX 02/07/2008	FX 01/30/2008	FX 12/31/2007	AX 12/31/2007	AFX 02/07/2008	AFX 12/31/2007	OAX 02/07/2008	DAX 12/31/2007	MAX 02/07/2008	MAX 12/31/2007	ENX 12/31/2007	ENX 12/31/2007	ENX 12/31/2007	ENX 12/31/2007	FX 12/19/2007	FX 12/19/2007	FX 07/05/2007	FX 07/05/2007	PFX 07/05/2007
		3,142.95	1,374,38	8,250.00	43.25	21.58	1,649.25	9,900.00	59.14	1,649.25	9,714,55	33.31	33.80	33,68	32,30	1,374.38	26,40	4,535.67	20,000.00	1,924.13	11,011.01	1,924.13	11,195.40	824.63	4,950.00	157,578.24	27.08	485.69	489,33	1,677.99	169.15	6.73	6.73	107.76
		10,6900	53,9000	59.0700	13,3900	12,8900	12.8500	13,9800	29,4400	30,4500	32,9100	13,1800	13,2000	13,3400	13.4600	13.5600	13.6200	13,4800	40,4500	31.0800	33,7900	20,2900	22.5900	33,1300	35,3500	44,9000	44,9000	44,9000	44 9000	33,4500	33,4500	38,6200	38.6200	38,6200
		06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	05/26/2009	04/09/2009	01/15/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009	06/02/2009
		3,063.57	1,004.40	5,501.40	28.13	14,58	1,117,89	6,168,03	45,00	1,213,25	6,612,14	32.42	32.85	32,39	30,79	1,300,39	24.86	4,316.97	12,929,55	1,451,14	7,638.30	1,495,48	7,815,47	593,90	3,341,06	125,044,83	20.91	341.50	339,90	1,272,69	128.29	4,41	4,41	70.78
		10.4200	39.3900	39.3900	8.7100	8.7100	8.7100	8.7100	22,4000	22,4000	22.4000	12.8300	12.8300	12,8300	12.8300	12.8300	12.8300	12.8300	26.1500	23.4400	23.4400	15.7700	15.7700	23.8600	23.8600	35.6300	34,6800	31.5700	31.1900	25.3700	25.3700	25.3700	25.3700	25.3700
11	Page	-79.38		-2,748.60			-		-14.14 -2		3,102.41										3,372.71											-2,32	-2.32	-36,98 -
11:15AM	Page 5 of 7	-2.53	-26.92	33.32	34.96 8	32,44	-32.22	-37.70	-23.91	-26.44	-31.94	-2.67	-2.81	ડ. <b>8</b> 3	4.67	5.38	5.83	4.82	35.35	24.58	30.63	22.28	30.19	-27.98	32,50	-20.65	-22.78	-29,69	30,54	24.15	24.16	-34.47	34,47	34.32

### Schedule of Realized Gains and Losses 2009 Tax Year

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

Prepared For:

LAURA L. CASSIDY IRA
FCC AS CUSTODIAN

			894,48	i		9.8	694.48			
		10.4200	22.91	06/02/2009	10,4300		22.91	06/01/2009	PTTAX	2.1970 PIMCO TOTAL RETURN FD-A
		1.0000	671,57	10/09/2009	1.0000		671.57	01/20/2009	FSMXX	Misc 671.5700 GS FINL SQ MMKT INSTL
'	-96,70292		267,860.88			0.00	364,563.80			
31.18	-19.30	21.3600	42.65	06/02/2009	31.0200		61.95	03/24/2008	WSHFX	1.9970 WASH MUTL INVS FD INC F
ر ن	-515.81	21.3600	1,133.46	06/02/2009	31.0800		1,649.27	02/07/2008	WSHFX	53.0650 WASH MUTLINVS FD INC F
36.35	-3,517,76	21.3600	6,158.96	06/02/2009	33.5600		9,676.72	12/31/2007	WSHFX	288,3410 WASH MUTL INVS FD INC F
نو	-334.37	25,1500	765.13	06/02/2009	36.1400		1,099.50	02/07/2008	SCWFX	30.4230 SMALLCAP WORLD FUND CL F
37.90	-2,501,48	25.1500	4,098.52	06/02/2009	40,5000		6,600.00	12/31/2007	SCWFX	162.9630 SMALLCAP WORLD FUND CL F
-22	-304.70	16.7100	1,069.68	06/02/2009	21,4700		1,374.38	02/07/2008	TRMCX	64.0140 T ROWE PRICE MID-CAP VAL
-26	-2,041.07	16,7100	5,931.54	06/02/2009	22,4600		7,972.61	12/31/2007	TRMCX	354,9700 TROWE PRICE MID-CAP VAL
۲	-1.36	10.4200	28.75	06/02/2009	10.9100		30.11	05/01/2008	PTTAX	2,7600 PIMCO TOTAL RETURN FD-A
	-1.23	10.4200	26.08	06/02/2009	10.9100		27,31	04/01/2008	PTTAX	2.5030 PIMCO TOTAL RETURN FD-A
-5.05	-1.54	10,4200	28.98	06/02/2009	10.9700		30.52	03/03/2008	PTTAX	2.7820 PIMCO TOTAL RETURN FD-A
-5.19	-57.03	10.4200	1,042,47	06/02/2009	10.9900		1,099.50	02/07/2008	PTTAX	100.0450 PIMCO TOTAL RETURN FD-A
-5.31	-1.26	10,4200	22.47	06/02/2009	11.0000		23.73	02/01/2008	PTTAX	2.1570 PIMCO TOTAL RETURN FD-A
										Long - Continued

2009 Tax Year

Thursday, May 13, 2010 YP09 NADER\*-HURLEY



Realized Gains or Losses

Short Term 5,811.96

Long Term -96,702.92

This report is a service from your investment executive, not the official record of your account or a substitute for statements and confirmations. It is prepared as of trade rather than settlement date and its date may differ from your statement. The realized gains and losses noted above are the gross amounts, prior to fees and expenses. This report uses sources we believe are reliable, but we cannot guarantee their reliability or accuracy. Please call your local branch manager about discrepancies between this and your client statement.

contact your investment executive forfurther information. Wells Fargo Advisors is the trade name used by two separate, registered broker-dealers and non-bank affiliates of Wells Fargo & Company providing certain retail investment brokerage services: Wells Fargo Advisors, LLC, member NYSE/SIPC, and Wells Fargo Advisors Financial Network, LLC, member FINRA/SIPC. Wells Fargo Advisors is not a legal or tax advisor. Investment and Insurance Products are: Not FDIC-Insured, Not Bank Guaranteed, and May Lose Money. \*Prices for Independently Valued Direct Investments cannot be displayed on this report and are not included in the portfolio value shown. Refer to your client statement or

#### Schedule of Realized Gains and Losses

2009 Tax Year

Prepared For: LAURA L. CASSIDY IRA FCC AS CUSTODIAN COMPASS

								Long									0												4
16 COLGATE PALMOLIVE CO	16 CHEVRON CORPORATION	12 BURLINGTON NORTHN SANTA	13 BP PLC SPONS ADR	3 AUTOMATIC DATA PROCESSIN	21 AUTOMATIC DATA PROCESSIN	34 ANALOG DEVICES INC	11 ALEXANDRIA REAL ESTATE			24 TARGET CORP	23 OWENS & MINOR INC NEW	19 NEW JERSEY RES CORP	13 NEW JERSEY RES CORP	19 JM SMUCKER CO	18 HARRIS CORP DEL	3 HARRIS CORP DEL	0.4715 HARRIS STRATEX NETWORKS	4 HARRIS STRATEX NETWORKS	12 GENL MILLS INC	3 GENL DYNAMICS CORP COM	11 GENL DYNAMICS CORP COM	19 FACTSET RESERACH SYS INC	20 CLOROX COMPANY	17 BROWN FORMAN CORP CLB	11 BECTON DICKINSON & CO	10 AIR PRODUCTS & CHEMICALS	30 AT&TINC	17 AFLAC INC	
СF	cvx	121897-10-4	타	ADP	AOP	ADI	ARE			тст	OMI	NJR	NJR.	SJM	HRS	HRS	41457P-10-6	41457P-10-6	GIS	GD	GD	FDS	CLX	BFB	BDX	APD	<b>T</b>	AFL	
04/28/2006	04/28/2006	04/28/2006	04/28/2006	05/07/2007	04/28/2006	11/26/2007	06/23/2006			10/24/2008	07/02/2008	07/08/2008	07/08/2008	04/22/2009	09/15/2008	09/15/2008	05/27/2009	05/27/2009	06/27/2008	02/17/2009	02/11/2009	02/09/2009	07/11/2008	07/01/2008	02/17/2009	06/26/2008	10/30/2008	07/15/2008	
945.60	980.80	954.84	965.77	139,63	346.80	1,050.65	940.50		12,512.19 0.00	795,38	1,034.17	608.68	416,47	733.89	852.20	142.04	2.53	21,46	720,92	158.75	592.05	806,58	1,043,68	1,018,95	769.12	993,04	813.20	989,08	
59.1000	61,3000	79.5700	74.2900	46.5426	40.3143	30,9016	85.5000			33,1410	44.9638	32,0358	32,0358	38,6259	47.3449	47 3449	5.3660	5.3660	60.0773	52,9175	53,8227	42 4517	52.1842	59.9380	69,9200	99.3042	27.1068	58.1811	
05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	06/22/2009	05/22/2009	04/22/2009			05/22/2009	05/22/2009	05/22/2009	02/17/2009	05/22/2009	05/22/2009	02/17/2009	05/27/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	
1,033.09	1,041.57	822.33	621.90	108.12	756.81	804,41	370.51		11,214.89	988,77	763.12	618.63	496,04	754.28	544.84	122.70	2.52	23.39	632.79	168.45	617.62	1,033.00	1,031.57	771.61	725,54	628.98	712.20	57B.84	
64.5700	65.1000	68.5300	47.8400	36,0400	36,0400	23.6600	33.6839			41.2000	33,1800	32.5606	38,1574	39.7000	30.2700	40.9031	5.3444	5.8475	52.7341	56.1500	56.1500	54.3700	51.5800	45,3900	65,9600	62,9000	23.7406	34.0506	
87,49	60.77	-132.51		-31.51	-89,79	-246.24	-569,99		-1,297.30	193,39		9.95	79.57	20.39	-307.36		-0.01		-88.13		25.57	226,42	-12.11		43.58	-364.06	-101,00	410.24	
9.25	6.20	·13.88	-35.61	-22.57	-10.61	-23.44	-60.60			24.31	-26.21	1.63	19,11	2.78	36.07	-13.62	0.40	8,99	-12,22	6.11	4.32	28.07	-1.16 6	-24.27	-5.67	36.86 86	-12.42	41.48	

Page 1 of 3 11:08AM

## Schedule of Realized Gains and Losses

2009 Tax Year

Prepared For:
LAURA L. CASSIDY IRA
FCC AS CUSTODIAN
COMPASS

15 L	<b>11</b> 3	32 8	15 8	25 8	25	16 7	17 F	20 F	25 F	17 F	23 F	17 1	16 7	21 1	28 1	22 1	39 N	18 E	25	17 J	8 4	3 -	18 1	19 +	<b>=</b>	24 F	13 [	33 6	23	14 0	18 (	Long - Continued
UNITED TECHNOLOGIES CORP	3M CO	SYSCO CORPORATION	STATE STREET CORP	SIGMA ALDRICH CORP	SCANA CORP COM	PROCTER & GAMBLE CO	PRAXAIR INC	POLARIS INDS INC	PIEDMONT NATURAL GAS CO	PEPSICO INCORPORATED	PAYCHEX INC	NOVARTIS AG	NORFOLK SOUTHERN CORP	NORDSTROM INC	MCDONALDS CORP	MARATHON OIL CORP	MDU RESOURCES GROUP	ELI LILLY & CO	KIMCO REALTY CORP	JOHNSON & JOHNSON	INTL BUSINESS MACH CORP	INTL BUSINESS MACH CORP	ILL TOOL WORKS INC	HARLEY DAVIDSON INC	GRAINGER WWINC	FPL GROUP INC	ENERGEN CORPORATION	EATON VANCE CORP NON VTG	CORPORATE OFFICE PPTYS	CONOCOPHILLIPS	18 COMMERCE BANCSHARES INC	ued
νπχ	MMM	SYY	STT	SIAL	SCG	PG	PX	P	PNY	PEP	PAYX	NVS	NSC	NAS	MCD	MRO	MDU	רוא	KIM	'n	iBM	IBM	WF	HOG	GWW	FPL	EGN	ĘV	OFC	COP	CBSH	
04/28/2006	04/28/2006	04/28/2006	04/28/2006	02/27/2007	04/28/2006	04/28/2006	03/13/2007	04/28/2006	04/28/2006	04/28/2006	04/28/2006	04/28/2006	04/28/2006	06/26/2007	04/28/2006	04/17/2007	04/28/2006	04/28/2006	06/12/2006	03/02/2007	04/28/2006	04/28/2006	04/28/2006	04/28/2006	07/16/2007	04/28/2006	04/28/2006	04/28/2006	04/28/2006	04/28/2006	04/28/2006	
952.95	938,63	966.08	976.50	1,024.51	968.75	931.84	1,029.42	962.00	614.25	987.87	932.68	974.95	985.14	1,057.90	970.20	1,099.89	965,64	954,72	911.48	1,053.99	658.64	246,99	927.90	975.65	1,033.83	948.72	461.89	952.38	951.51	952.14	939.60	
63,5300	85,3300	30,1900	65.1000	40.9805	38.7500	58.2400	60.5540	48,1000	24,5700	58.1100	40.5600	57.3500	54,7300	50.3761	34,6500	49.9952	24.7600	53.0400	36,4590	61.9996	82,3300	82,3300	51.5500	51,3500	93,9845	39.5300	35,5300	28.8600	41.3700	68.0100	52,2000	
05/22/2009	05/22/2009	05/22/2009	02/06/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	02/11/2009	05/22/2009	05/22/2009	02/17/2009	05/22/2009	02/13/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	05/22/2009	
773.53	621.70	744.94	454.12	1.147.47	716.98	857.26	1,212.40	553.58	549.73	881.77	619.83	686,78	635,56	444.76	1,592.05	655,80	687.16	624.04	286.26	939,40	825.33	273.94	593.62	234.80	839.49	1,291.88	479.68	842.79	640.08	628.30	551.23	
51.5700	56.5200	23.2800	30.2750	45.9000	28.6800	53.5806	71.3200	27,6800	21.9900	51.8706	26.9500	40,4000	35,3100	21.1800	56.8606	29.8100	17.6200	34.6700	11.4506	55.2606	103.1700	91.3155	32,9800	12.3582	76,3200	53.8300	36,9000	25.5400	27.8306	44.8800	30.6251	
-179,42																																
-18.83	33.77	-22,89	-53.50	12,00	-25.99	8,00	17.78	42.45	-10.50	-10,74	-33,56	-29.56	45.49 45.49	-57,96	64.10	40.38	-28.84	34.64	-68.59	-10.87	25.31	10.91	-36.03	-75,93	-18.80	36,17	3.85	-1.51	-32.73	34.01	41.33	

Page 2 of 3 11:08AM

#### Schedule of Realized Gains and Losses

2009 Tax Year

Prepared For:

LAURA L. CASSIDY IRA FCC AS CUSTODIAN COMPASS

Long - Continued 15 WAL-MART STORES INC ¥ 04/28/2006 36,815.43 682.20 0.00 45,4800 05/22/2009 29,189.43 744.43 49.6300 -7,626.00 62.23 9.12

Realized Gains or Losses

-1,297.30

Long Term -7,626.00

and your client statement. This report is a service from your investment executive, not the official record of your account or a substitute for statements and confirmations. It is prepared as of trade rather than settlement date and its date may differ from your statement. The realized gains and losses noted above are the gross amounts, prior to fees and expenses. This report uses sources we believe are reliable, but we cannot guarantee their reliability or accuracy. Please call your local branch manager about discrepancies between this

Network, LLC, member FINRA/SIPC. Wells Fargo Advisors is not a legal or tax advisor. Investment and Insurance Products are: Not FDIC-Insured, Not Bank Guaranteed, contact your investment executive forfurther information. Wells Fargo Advisors is the trade name used by two separate, registered broker-dealers and non-bank affiliates of Wells Fargo & Company providing certain retail investment brokerage services: Wells Fargo Advisors, LLC, member NYSE/SIPC, and Wells Fargo Advisors Financial and May Lose Money. \*Prices for Independently Valued Direct Investments cannot be displayed on this report and are not included in the portfolio value shown. Refer to your client statement or

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

2009 Tax Year

Prepared For:
WILLIAM M. CASSIDY IRA
FCC AS CUSTODIAN

			126,62			0.00	125.62			
		1.0000	125.62	10/09/2009	1.0000		125.62	07/21/2009	FSMXX	Misc 125.6200 GS FINL SQ MMKT INSTL
	-14,619.49		37,618.78	   		0.00	62,238.27			
-39,12	-310.61	16,7300	483,38	07/20/2009	27.4800		793.99	12/31/2007	KSCVX	28.8930 KEELEY S/C VALU FD CL-A
43.80	-1,975.64	16,7300	2,534,67	07/20/2009	29.7700		4,510.31	04/20/2007	KSCVX	151.5050 KEELEY S/C VALU FD CL-A
-26.85	-192.82	25,7200	525,33	07/20/2009	35.1600		718.15	03/10/2008	ПНАХ	20.4250 HARTFORD CAP APPREC FD-A
-30,58	-1,218.03	25,7200	2,765.00	07/20/2009	37.0500		3,983.03	02/29/2008	ПНАХ	107.5040 HARTFORD CAP APPREC FD-A
-30,32	-16.08	7,6800	36,96	07/20/2009	11.0200		53.04	12/19/2007	FMVAX	4.8130 FRANKLIN MIDCAP VALUE-A
-30.31	-68.64	7,6800	157,84	07/20/2009	11.0200		226.48	12/19/2007	FMVAX	20.5520 FRANKLIN MIDCAP VALUE-A
-30.31	-74.26	7.6800	170.73	07/20/2009	11.0200		244.99	12/19/2007	FMVAX	22.2310 FRANKLIN MIDCAP VALUE-A
-33.68	-95.55	7,6800	188,16	07/20/2009	11.5800		283.71	12/18/2006	FMVAX	24,5000 FRANKLIN MIDCAP VALUE-A
.33, <b>68</b>	-123.30	7,6800	242.82	07/20/2009	11.5800		366.12	12/18/2006	FMVAX	31.6170 FRANKLIN MIDCAP VALUE-A
-31.98	-6,585.39	7.6800	14,222.61	07/20/2009	11.2900		20,908.00	05/23/2006	FMVAX	1,851.9040 FRANKLIN MIDCAP VALUE-A
-17.97	-596.72	35,4300	2,724.43	07/20/2009	43,1900		3,321.15	03/10/2008	SGENX	76.8960 FIRST EAGLE SOGEN GLBL A
-19.39	-3,282.45	35,4300	13,566,85	07/20/2009	43.9500		16,829.30	02/29/2008	SGENX	382.9200 FIRST EAGLE SOGEN GLBL A
										Long
	474.00		3,164.43			0.00	2,690.43			
18.23	115.85	16,7300	751.22	07/20/2009	14,1500		635.37	01/20/2009	KSCVX	44,9020 KEELEY SIC VALUED CL-A
35.23	241.41	25.7200	926.70	07/20/2009	19,0200		685.29	01/20/2009	ПНАХ	36.0300 HARTFORD CAP APPREC FD-A
24,03	9.85	25,7200	50,84	07/20/2009	20.7300		40.99	12/24/2008	ПНАХ	1.9770 HARTFORD CAP APPREC FD-A
17.45	15.63	7,6800	105.20	07/20/2009	6.5400		89.57	12/16/2008	FMVAX	13.6960 FRANKLIN MIDCAP VALUE-A
7.36	5.32	35,4300	77.56	07/20/2009	33,0000		72.24	12/19/2008	SGENX	2.1890 FIRST EAGLE SOGEN GLBL A
7.36	86.94	35,4300	1,252.91	07/20/2009	33.0000		1,166.97	12/19/2008	SGENX	35,3630 FIRST EAGLE SOGEN GLBL A
										Short

2009 Tax Year

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

Prepared For:

WILLIAM M. CASSIDY IRA
FCC AS CUSTODIAN

Realized Gains or Losses
474.00
Long Term -14,619.49

This report is a service from your investment executive, not the official record of your account or a substitute for statements and confirmations. It is prepared as of trade rather than settlement date and its date may differ from your statement. The realized gains and losses noted above are the gross amounts, prior to fees and expenses. This and your client statement. report uses sources we believe are reliable, but we cannot guarantee their reliability or accuracy. Please call your local branch manager about discrepancies between this

contact your investment executive forfurther information. Wells Fargo Advisors is the trade name used by two separate, registered broker-dealers and non-bank affiliates of Wells Fargo & Company providing certain retail Investment brokerage services: Wells Fargo Advisors, LLC, member NYSE/SIPC, and Wells Fargo Advisors Financial Network, LLC, member FINRA/SIPC. Wells Fargo Advisors is not a legal or tax advisor. Investment and insurance Products are: Not FDIC-Insured, Not Bank Guaranteed, and May Lose Money. \*Prices for Independently Valued Direct Investments cannot be displayed on this report and are not included in the portfolio value shown. Refer to your client statement or

## Schedule of Realized Gains and Losses 2009 Tax Year

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

Prepared For:
WILLIAM MORGAN CASSIDY SEP IRA
FCC AS CUSTODIAN

						0,00	32,756.79			
				06/24/2009	14,1500		635.37	01/20/2009	KSCVX	44.9020 KEELEY S/C VALU FD CL-A
				06/24/2009	27.4800		793.99	12/31/2007	KSCVX	28,8930 KEELEY S/C VALUED CL-A
				06/24/2009	29.7700		4,510,31	04/20/2007	KSCVX	151.5050 KEELEY S/C VALU FD CL-A
				06/24/2009	19.0200		685,29	01/20/2009	ПНАХ	35.0300 HARTFORD CAP APPREC FD-A
				06/24/2009	20.7300		40.99	12/24/2008	пнах	1.9770 HARTFORD CAP APPREC FD-A
				06/24/2009	35,1600		718.15	03/10/2008	ПНАХ	20.4250 HARTFORD CAP APPREC FD-A
				06/24/2009	37.0500		3,983.03	02/29/2008	ПНАХ	107.5040 HARTFORD CAP APPREC FD-A
				06/24/2009	33.0000		72.24	12/19/2008	SGENX	2.1890 FIRST EAGLE SOGEN GLBL A
				06/24/2009	33,0000		1,166.97	12/19/2008	SGENX	35,3630 FIRST EAGLE SOGEN GLBL A
				06/24/2009	43,1900		3,321.15	03/10/2008	SGENX	76.8960 FIRST EAGLE SOGEN GLBL A
				06/24/2009	43.9500		16,829.30	02/29/2008	SGENX	382,9200 FIRST EAGLE SOGEN GLBL A
										Misc
	-42.80		62.50			0.00	106.30			
40.85	42.80	21.9900	62.50	04/09/2009	37.0500		105.30	02/29/2008	ТНАХ	Long 2,8420 HARTFORD CAP APPREC FD-A
	-610,58		1,383,17			0.00	1,993.75			
-30.72	-585.53	30,4500	1,320.67	01/20/2009	43,9500		1,906.20	02/29/2008	SGENX	43.3720 FIRST EAGLE GLOBAL FD A
-28.61	-25.05	31.3700	62.50	01/14/2009	43,9500		87.55	02/29/2008	SGENX	Short 1.9920 FIRST EAGLE GLOBAL FD A
		3	Table of Control of Control			****	The second secon	The second secon		

2009 Tax Year

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

Prepared For:

WILLIAM MORGAN CASSIDY SEP IRA

FCC AS CUSTODIAN



This report is a service from your investment executive, not the official record of your account or a substitute for statements and confirmations. It is prepared as of trade rather than settlement date and its date may differ from your statement. The realized gains and losses noted above are the gross amounts, prior to fees and expenses. This report uses sources we believe are reliable, but we cannot guarantee their reliability or accuracy. Please call your local branch manager about discrepancies between this and your dient statement.

contact your investment executive forfurther information. Wells Fargo Advisors is the trade name used by two separate, registered broker-dealers and non-bank affiliates of Wells Fargo & Company providing certain retail investment brokerage services: Wells Fargo Advisors, LLC, member NYSE/SIPC, and Wells Fargo Advisors Financial and May Lose Money. Network, LLC, member FINRA/SIPC. Wells Fargo Advisors is not a legal or tax advisor. Investment and Insurance Products are: Not FDIC-Insured, Not Bank Guaranteed, \*Prices for Independently Valued Direct Investments cannot be displayed on this report and are not included in the portfolio value shown. Refer to your client statement or

Page 13 of 14

Amended Date: 2/19/10

#### Realize

#### LIAM M CASSIDY & RAL CASSIDY COM

ADVISORS

## Realized Gain/Loss Detail for Year

\$670.90	\$4,994.41	\$5,665.31					Total - Short Term
0.00	90.64	90,64	10/09/09	09/01/09	1.0000	90.6400	GOLDMAN SACHS IN FINE SQUARE MONEY MKT FD INSTL CLASS
34.40	233.12	267.52	08/31/09	12/19/08	33.0000	7.0640	
555.74	3,765.79	4,321.53	08/31/09	12/19/08	33.0000	114.1150	FIRST EAGLE GLOBAL INC GLOBAL FD CL A
12.05	124.71	136.76	08/31/09	06/22/09	41.6900	2.9910	
27.08	117.63	144.71	08/31/09	03/23/09	37.1700	3.1650	
14.72	119.15	133.87	08/31/09	12/22/08	40.6900	2.9280	
17.48	141.26	158.74	08/31/09	12/22/08	40.6900	3.4720	
-17.27	137.65	120.38	08/31/09	09/22/08	52.2800	2.6330	CLASS
				,			CAPITAL INCOME BLDR
7.98	93.06	101.04	08/31/09	06/19/09	23,9200	3.8900	
7.84	32.83	40,67	08/31/09	03/20/09	20.9700	1.5660	
11.38	48.74	60,12	08/31/09	12/22/08	21.0500	2,3150	
-0.50	89.83	89.33	08/31/09	09/22/08	26.1100	3.4400	CLASS A
							GROWTH AND INCOME FO
GAINLOSS	ADJ COST/ ORIG COST	PROCEEDS	LOSE DATE	ACQUIRED CLOSE DATE	ADJ PRICE/ ORIG PRICE	QUANTITY	Short Term DESCRIPTION

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

001 / BRLA / YP09

Amended Date: 2/19/10

-\$12,006.68	\$96,837.12	\$86,830.44	;				Total - Long Term
-7,280.62	68,551.21	61,270.59	08/31/09	02/07/08	42.3700	1,617.9190	FIRST EAGLE GLOBAL INC GLOBAL FD CL A
-26.87	134.99	108.12	08/31/09	06/23/08	57.0700	2.3650	
-13.67	68.44	54.77	08/31/09	03/24/08	57.1500	1.1980	
-3,177.91	15,000.00	11,822.09	08/31/09	02/07/08	58.0100	258.5760	CLASS
	!	;	,			:	CAPITAL INCOME BLDR
-10.21	82.48	72.27	08/31/09	06/23/08	29.6400	2.7830	
-1,497.40	15,000.00	13,502.60	08/31/09	02/07/08	28.8500	519.9310	CLASS A
				ļ		:	GROWTH AND INCOME FD
GAIN/LOSS	ADJ COST/ ORIG COST	PROCEEDS	LOSE DATE	DATE ACQUIRED CLOSE DATE	ADJ PRICE/ ORIG PRICE	QUANTITY	Long Term DESCRIPTION

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

001/ BRLA / YP09

(Tev nati) epepop popo

## Schedule of Realized Gains and Losses

2009 Tax Year

Prepared For:
LAURA L. CASSIDY IRA
FCC AS CUSTODIAN

				05/26/2009	11.6300		62,35	05/29/2008	AHTFX	5,3610 AMERICAN HIGH INC TR F
				05/26/2009	11,5700		61,53	04/29/2008	АНТЕХ	5.3180 AMERICAN HIGH INC TR F
				05/26/2009	11,2200		61.95	03/31/2008	AHTEX	5.5210 AMERICAN HIGH INC TR F
				05/26/2009	11.4300		57.60	02/29/2008	AHTTFX	5.0390 AMERICAN HIGH INC TR F
				05/26/2009	11,4700		1,374.38	02/07/2008	AHTEX	119.8240 AMERICAN HIGH INC TR F
				05/26/2009	11,5500		34.87	01/30/2008	AHTFX	3.0190 AMERICAN HIGH INC TR F
				05/26/2009	11,8600		6,414.08	12/31/2007	AHTEX	540.8170 AMERICAN HIGH INC TR F
				05/26/2009	18.3700		71.86	12/22/2008	PCVAX	3.9120 ALLIANZ NFJ SIC VALUE-A
				05/26/2009	17,9700		2.84	12/15/2008	PCVAX	0.1580 ALLIANZ NEJ S/C VALUE-A
				05/26/2009	17.9700		429,86	12/15/2008	PCVAX	23.9210 ALLIANZ NFJ S/C VALUE-A
				05/26/2009	28.2200		824,63	02/07/2008	PCVAX	29.2210 ALLIANZ NFJ S/C VALUE-A
				05/26/2009	29,6300		4,099.11	12/31/2007	PCVAX	138,3430 ALLIANZ NFJ S/C VALUE-A
				05/26/2009	8,7400		1,768.17	01/20/2009	ABIAX	202.3080 ALLNCE/BERNS INTL VAL-A
				05/26/2009	19,1400		1,374.38	02/07/2008	ABIAX	71.8070 ALLNCE/BERNS INTL VAL-A
				05/26/2009	22,1700		8,250,00	12/31/2007	ABIAX	372.1240 ALLNCE/BERNS INTL VAL-A
										Xisc
	-2,185.01		10,964,40	1		0.00	13,139,41	ĺ		
43,39	-96.88	19.0000	126.40	01/20/2009	33,5600		223.28	12/31/2007	WSHFX	6.6530 WA MUT INVS CL F 1
<u>4</u> 1.59	-115,36	13.1200	162.03	01/20/2009	22,4600		277.39	12/31/2007	TRMCX	12.3500 ROWE PRICE MIDCAP VAL FD
-3.9 <b>3</b>	-135,83	10.2700	3,321.22	01/20/2009	10,6900		3,457.05	12/31/2007	РПАХ	323.3900 PIMCO FDS TOTAL RETURN A
41,42	-76.81	19.2800	108.64	01/20/2009	32,9100		185,45	12/31/2007	AICFX	5.6350 INVESTMENT CO AMER F 1
4.90	-161.83	12.8200	3,143.35	01/20/2009	13,4800		3,305.18	12/31/2007	IBFFX	245.1910 INTER BD FD AMER CL F 1
42.38	-228,43	19.4700	310.56	01/15/2009	33,7900		538,99	12/31/2007	GFAFX	15,9510 GWTH FUND AMER INC F 1
-17.00	-419.06	10.8400	2,046.20	01/20/2009	13.0600		2,465,26	12/31/2007	BFAFX	188.7640 BOND FD AMER INC CL F 1
-32,38	-594.43	8,0200	1,241.49	01/20/2009	11,8600		1,835.92	12/31/2007	AHTEX	154,7990 AMERICAN HIGH INC CL F 1
41.88	-356.38	17.2200	494,51	01/20/2009	29,6300		850,89	12/31/2007	PCVAX	28.7170 ALLIANZ NJF SML CAP VL A
										Long
	0.21		23.72			0,00	23.51			
0.89	0.21	11.0700	23.72	06/18/2009	10,9700		23.51	05/26/2009	BFAFX	Short 2.1430 BOND FUND OF AMERICA F
1							in the second se	,		

2009 Tax Year

Thursday, May 13, 2010 YP09 NADER~HURLEY

Prepared For:
LAURA L. CASSIDY IRA
FCC AS CUSTODIAN

76,0020 MARSICO FOCSD EQUITES A	403.0940 MARSICO FOCSD EQUITES A	2,1700 BOND FUND OF AMERICA F	2,3490 BOND FUND OF AMERICA F	2,3400 BOND FUND OF AMERICA F	1,5840 BOND FUND OF AMERICA F	3.9130 BOND FUND OF AMERICA F	4.8970 BOND FUND OF AMERICA F	3,2800 BOND FUND OF AMERICA F	3,1580 BOND FUND OF AMERICA F	2,9090 BOND FUND OF AMERICA F	2,7810 BOND FUND OF AMERICA F	2,7360 BOND FUND OF AMERICA F	2,6600 BOND FUND OF AMERICA F	2,6310 BOND FUND OF AMERICA F	2,6200 BOND FUND OF AMERICA F	2,6270 BOND FUND OF AMERICA F	2,4470 BOND FUND OF AMERICA F	84,0600 BOND FUND OF AMERICA F	1.2750 BOND FUND OF AMERICA F	316,5960 BOND FUND OF AMERICA F	6,8680 AMERICAN HIGH INC TR F	7.2390 AMERICAN HIGH INC TR F	7.1680 AMERICAN HIGH INC TR F	4,0060 AMERICAN HIGH INC TR F	2,5390 AMERICAN HIGH INC TR F	12.9760 AMERICAN HIGH INC TR F	8,5830 AMERICAN HIGH INC TR F	7.7500 AMERICAN HIGH INC TR F	6.2610 AMERICAN HIGH INC TR F	5.8130 AMERICAN HIGH INC TR F	5,7320 AMERICAN HIGH INC TR F	5.5280 AMERICAN HIGH INC TR F	Misc - Continued .
NFEAX	NFEAX	BFAFX	BFAFX	BFAFX	AHTFX	AHTFX	AHTEX	AHTFX	AHTFX	AHTFX	AHTFX	AHTFX	AHTFX	AHTFX	AHTFX	AHTEX																	
02/07/2008	12/31/2007	04/27/2009	03/26/2009	02/26/2009	01/26/2009	12/26/2008	12/26/2008	11/26/2008	10/27/2008	09/26/2008	08/26/2008	07/28/2008	06/26/2008	05/27/2008	04/28/2008	03/26/2008	02/26/2008	02/07/2008	01/29/2008	12/31/2007	04/29/2009	03/30/2009	03/02/2009	01/29/2009	12/29/2008	12/29/2008	12/01/2008	10/29/2008	09/29/2008	08/29/2008	07/29/2008	06/30/2008	
1,649.25	9,900,00	23.26	24.71	24.69	16.98	41.75	52.25	34,67	34.20	34,01	34.70	33.60	33,46	33,76	33.30	33,39	31.42	1,099.50	16.79	4,134.74	56.87	56.61	55.55	32.17	19.35	98.88	65.40	64.09	63,99	63.83	63.34	63.48	
21,7000	24.5600	10.7200	10.5200	10.5500	10.7200	10.6700	10.6700	10.5700	10.8300	11.6900	12.2600	12.2800	12.5800	12.8300	12.7100	12.7100	12.8400	13.0800	13.1700	13.0600	8.2800	7.8200	7.7500	8.0300	7.6200	7.6200	7.6200	8.2700	10.2200	10.9800	11.0500	11.2800	
05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

2009 Tax Year

Prepared For:
LAURA L. CASSIDY IRA
FCC AS CUSTODIAN

2,4000 AMER FNDS INTR BND FD AM	101.3550 AMER FNDS INTR BND FD AM	1,9380 AMER FNDS INTR BND FD AM	336,4750 AMER FNDS INTR BND FD AM	73.4660 GROWTH FUND AMERICA CL F	8,9570 GROWTH FUND AMERICA CLF	328.3560 GROWTH FUND AMERICA CL F	61.9090 GROWTH FUND AMERICA CL F	325,8660 GROWTH FUND AMERICA CL F	40.9970 G/S GRWTH OPPS CLA	52,7640 G/S GRWTH OPPS CL A	94.8310 G/S GRWTH OPPS CLA	495,5910 G/S GRWTH OPPS CL A	1.0200 GS FINL SQ MMKT INSTL	1,1700 GS FINL SQ MMKT INSTL	1.5100 GS FINL SQ MMKT INSTL	0.8400 GS FINL SQ MMKT INSTL	2,101.8700 GS FINL SQ MMKT INSTL	294,1100 GS FINL SQ MMKT INSTL	1,3470 G/S MID CAP VALUE CL A	2.2930 G/S MID CAP VALUE CL A	24.8910 G/S MID CAP VALUE CL A	140,0280 G/S MID CAP VALUE CLA	21,1420 EUROPACIFIC GROWTH FD F	5,5040 EUROPACIFIC GROWTH FD F	9,9710 EUROPACIFIC GROWTH FD F	30.4200 EUROPACIFIC GROWTH FD F	162,8830 EUROPACIFIC GROWTH FD F	111.3500 DELAWARE US GRWTH FND A	121.6260 DELAWARE US GRWTH FND A	649.1800 DELAWARE US GRWTH FND A	47.4270 MARSICO FOCSD EQUITES A	1.3640 MARSICO FOCSD EQUITES A
IBFFX	IBFFX	IBFFX	BFFX	GFAFX	GFAFX	GFAFX	GFAFX	GFAFX	GGOAX	GGOAX	GGOAX	GGOAX	FSMXX	FSMXX	FSMXX	FSMXX	FSMXX	<b>FSMXX</b>	GCMAX	GCMAX	GCMAX	GCMAX	AEGFX	<b>AEGFX</b>	AEGFX	AEGFX	AEGFX	DUGAX	DUGAX	DUGAX	NFEAX	NFEAX
02/29/2008	02/07/2008	01/30/2008	12/31/2007	01/20/2009	12/23/2008	11/20/2008	02/07/2008	12/31/2007	01/20/2009	12/10/2008	02/07/2008	12/31/2007	05/01/2009	04/01/2009	03/02/2009	02/02/2009	01/20/2009	01/20/2009	01/20/2009	12/10/2008	02/07/2008	12/31/2007	01/20/2009	12/24/2008	12/24/2008	02/07/2008	12/31/2007	01/20/2009	02/07/2008	12/31/2007	01/20/2009	12/15/2008
32.30	1,374,38	26,40	4,535,67	1,369.40	176.36	5,580.56	1,924.13	11,011.01	474.74	616,28	1,924.13	11,195,40	1.02	1,17	1.51	0.84	2,101.87	294.11	27.34	47.93	824.63	4,950.00	526.23	147.99	268,11	1,374.38	8,250.00	859.62	1,649.25	9,900.00	605.64	19.60
13,4600	13,5600	13,6200	13,4800	18.6400	19.6900	17.3000	31.0800	33.7900	11.5800	11,6800	20.2900	22,5900	1,0000	1.0000	1.0000	1.0000	1.0000	1.0000	20.2900	20.9000	33.1300	35,3500	24,8900	26.8900	26,8900	45,1800	50,6500	7.7200	13.5600	15.2500	12.7700	14.3700
05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	04/09/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/25/2009	05/26/2009
Ī	•	-	_	•	•	•	_	•	•	•	_	•	_	•	•	•	•	•		_	•	•	•	•	_	•	•	•	_	•	•	_
																		294.11														
																		1.0000														

Page 3 of 6 11:12AM

2009 Tax Year

Prepared For:

LAURA L. CASSIDY IRA FCC AS CUSTODIAN

> Thursday, May 13, 2010 YP09 NADER\*-HURLEY

25.4990 NEW WORLD FUND CLASS F NWFFX	139,5650 NEW WORLD FUND CLASS F NWFFX	0.5820 LORD ABBT AFFILIATED A LAFFX	3.3580 LORD ABBT AFFILIATED A LAFFX	94.9340 LORD ABBT AFFILIATED A LAFFX	2.7140 LORD ABBT AFFILIATED A LAFFX	6.0400 LORD ABBT AFFILIATED A LAFFX	3.6890 LORD ABBT AFFILIATED A LAFFX	3.2300 LORD ABBT AFFILIATED A LAFFX	1.6740 LORD ABBT AFFILIATED A LAFFX	128,3460 LORD ABBT AFFILIATED A LAFFX	708.1550 LORD ABBT AFFILIATED A LAFFX	3.8700 INVESTMENT CO AMERICA F AICFX	2.9500 INVESTMENT CO AMERICA F AICEX	2.2000 INVESTMENT CO AMERICA F AICEX	1.9590 INVESTMENT CO AMERICA F AICFX	2.0090 INVESTMENT CO AMERICA F AICFX	54.1630 INVESTMENT CO AMERICA F AICFX	295.1850 INVESTMENT CO AMERICA F AICFX	1.3310 AMERIFNOS INTRIBNO FO AM IBFFX	1.1740 AMER FNDS INTR BND FD AM IBFFX	1.5060 AMER FNDS INTR BND FD AM IBFFX	2.1180 AMER FNDS INTR BND FD AM IBFFX	2.0980 AMER FNDS INTR BND FD AM IBFFX	2.6980 AMER FNDS INTR BND FD AM IBFFX	2.7420 AMER FNDS INTR BND FD AM IBFFX	2.3550 AMER FNDS INTR BND FD AM BFFX	2.5170 AMER FNDS INTR BND FD AM IBFFX	2.6500 AMER FNDS INTR BND FD AM 18FFX	2.3940 AMER FNDS INTR BND FD AM IBFFX	2.5270 AMER FNDS INTR BND FD AM IBFFX	2.5610 AMER FNDS INTR BND FD AM IBFFX	2.5250 AMER FNDS INTR BND FD AM IBFFX	Misc - Continued
02/07/2008 1	12/31/2007 8	05/19/2009	02/13/2009	01/20/2009	12/26/2008	11/25/2008	08/19/2008	05/20/2008	02/20/2008	02/07/2008 1	12/31/2007 8	03/09/2009	12/19/2008	09/08/2008	06/09/2008	03/10/2008	02/07/2008	12/31/2007	04/29/2009	03/30/2009	03/02/2009	01/29/2009	12/29/2008	12/01/2008	10/29/2008	09/29/2008	08/29/2008	07/29/2008	06/30/2008	05/29/2008	04/29/2008	03/31/2008	
1,374.38 53,9000	8,250.00 59.0700	4,76 8,1800	24.48 7.2901	664,54 7,0000	21,96 8,0900	43.61 7.2200	43,42 11,7700	43.25 13,3900	21.58 12,8900	1,649.25	9,900.00 13,9800	61.33 16,7100	60.57 20,5300	60.84 27.6600	59.72 30,4800	59.14 29.4400	1,649.25 30,4500	9,714.55 32,9100	17.04 12.8000	14.95 12.7300	19.10 12,6800	27,05 12,7700	26,65 12,7000	33.91 12.5700	34.39 12,5400	30.36 12,8900	32,80 13,0300	34.56 13,0400	31.48 13.1500	33.31 13.1800	33.80 13.2000	33,68 13,3400	
05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	0\$/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	05/26/2009	

Thursday, May 13, 2010 YP09 NADER-HURLEY

2009 Tax Year

Prepared For:

LAURÁ L. CASSIDY IRA FCC AS CUSTODIAN

sc - Continued					
2.7940 NEW WORLD FUND CLASS F	NWFFX	12/24/2008	62.38	30,4700	05/26/2009
34,1760 NEW WORLD FUND CLASS F	NWFFX	01/20/2009	975.73	28.5500	05/26/2009
294,0090 PIMCO TOTAL RETURN FD-A	PTTAX	12/31/2007	3,142.95	10,6900	05/26/2009
2.1570 PIMCO TOTAL RETURN FD-A	РПТАХ	02/01/2008	23.73	11.0000	05/26/2009
100,0450 PIMCO TOTAL RETURN FD-A	PTTAX	02/07/2008	1,089.50	10,9900	05/26/2009
2,7820 PIMCO TOTAL RETURN FD-A	PITAX	03/03/2008	30,52	10.9700	05/26/2009
2.5030 PIMCO TOTAL RETURN FD-A	PTTAX	04/01/2008	27.31	10.9100	05/26/2009
2.7600 PIMCO TOTAL RETURN FD-A	PTTAX	05/01/2008	30,11	10,9100	05/26/2009
2.8810 PIMCO TOTAL RETURN FD-A	PITAX	06/02/2008	31.03	10,7700	05/26/2009
2.8530 PIMCO TOTAL RETURN FD-A	PTTAX	07/01/2008	30.33	10.6300	05/26/2009
2.8130 PIMCO TOTAL RETURN FD-A	РПАХ	08/01/2008	29,82	10,6000	05/26/2009
2.8810 PIMCO TOTAL RETURN FD-A	PTTAX	09/02/2008	30,71	10,6600	05/26/2009
2.6730 PIMCO TOTAL RETURN FD-A	PTTAX	10/01/2008	27.48	10.2800	05/26/2009
3.1990 PIMCO TOTAL RETURN FD-A	PTTAX	11/04/2008	32.44	10.1400	05/26/2009
2.8390 PIMCO TOTAL RETURN FD-A	PITAX	12/02/2008	29.24	10.3000	05/26/2009
23.6970 PIMCO TOTAL RETURN FD-A	РПАХ	12/12/2008	234.60	9.9000	05/26/2009
13,4980 PIMCO TOTAL RETURN FD-A	PITAX	12/12/2008	133.63	9,9000	05/26/2009
3.4730 PIMCO TOTAL RETURN FD-A	PTTAX	01/05/2009	35.22	10.1400	05/26/2009
3.1370 PIMCO TOTAL RETURN FD-A	PTTAX	02/03/2009	31.84	10,1500	05/26/2009
23940 PIMCO TOTAL RETURN FD-A	PITAX	03/02/2009	23,96	10,0100	05/26/2009
2.2940 PIMCO TOTAL RETURN FD-A	PTTAX	04/01/2009	23.24	10,1300	05/26/2009
2.2740 PIMCO TOTAL RETURN FD-A	PITAX	05/01/2009	23.24	10,2200	05/26/2009
354,9700 TROWE PRICE MID-CAP VAL	TRMCX	12/31/2007	7,972.61	22,4600	05/26/2009
64.0140 TROWE PRICE MID-CAP VAL	TRMCX	02/07/2008	1,374,38	21,4700	05/26/2009
5,6420 TROWE PRICE MID-CAP VAL	TRMCX	12/16/2008	77.64	13.7600	05/26/2009
4.3890 TROWE PRICE MID-CAP VAL	TRMCX	12/16/2008	60.39	13,7600	05/26/2009
2.8210 TROWE PRICE MID-CAP VAL	TRMCX	12/16/2008	38.82	13.7600	05/26/2009
162,9630 SMALLCAP WORLD FUND CLF	SCWFX	12/31/2007	6,600.00	40,5000	05/26/2009
30.4230 SMALLCAP WORLD FUND CLF	SCWFX	02/07/2008	1,099,50	36, 1400	05/26/2009
52.1610 SMALLCAP WORLD FUND CLF	SCWFX	01/20/2009	976.45	18.7200	05/26/2009
288.3410 WASH MUTL INVS FD INC F	WSHFX	12/31/2007	9,676.72	33.5600	05/26/2009
53.0650 WASH MUTLINUS FD INC F	WSHFX	02/07/2008	1,649,27	31,0800	05/26/2009
1.9970 WASH MUTL INVS FD INC F	WSHFX	03/24/2008	61.95	31.0200	05/26/2009

2009 Tax Year

Thursday, May 13, 2010 YP09 NADER\*-HURLEY

Prepared For:

LAURA L. CASSIDY IRA FCC AS CUSTODIAN

	3,0380 WASH MUTL INVS FD INC F WSHFX 3,6800 WASH MUTL INVS FD INC F WSHFX
9 175.38 20.9200 05/26/200 63.56 20.9200 05/26/200	
175.38 20.9200 05/26/200	
	8.3830 WASH MUTL INVS FD INC F WSHFX
09/22/2008 62.89 29.2500 05/26/2009	2.1500 WASH MUTLINVS FD INC F WSHFX
06/23/2008 62.56 29.9300 05/26/2009	2.0900 WASH MUTLINVS FD INC F WSHFX

-2,185.01	Long Term
0.21	Short Term
Realized Gains or Losses	Realize

This report is a service from your investment executive, not the official record of your account or a substitute for statements and confirmations. It is prepared as of trade rather than settlement date and its date may differ from your statement. The realized gains and losses noted above are the gross amounts, prior to fees and expenses. This report uses sources we believe are reliable, but we cannot guarantee their reliability or accuracy. Please call your local branch manager about discrepancies between this and your client statement.

contact your investment executive forfurther information. Wells Fargo Advisors is the trade name used by two separate, registered broker-dealers and non-bank affiliates of Wells Fargo & Company providing certain retail investment brokerage services: Wells Fargo Advisors, LLC, member NYSE/SIPC, and Wells Fargo Advisors Financial Network, LLC, member FINRA/SIPC. Wells Fargo Advisors is not a legal or tax advisor, Investment and insurance Products are: Not FDIC-Insured, Not Bank Guaranteed, \*Prices for Independently Valued Direct Investments cannot be displayed on this report and are not included in the portfolio value shown. Refer to your client statement or and May Lose Money.

CASSIDY LAURA L

# Trade Activity from 01/01/2009 to 12/31/2009

Run Date: 5/13/2010 3:20:28 PM

06/02/2009 06/02/2009 06/02/2009 06/02/2009 07/02/2009 07/02/2009 07/02/2009 07/02/2009	06/07/2009 06/02/2009 06/02/2009 06/02/2009 06/02/2009	11/02/2009 11/02/2009 10/02/2009 05/24/2009 11/02/2009 10/02/2009	06/2/2009 06/02/2009 07/11/2009 05/24/2009 12/02/2009 12/02/2009 05/24/2009	Activity Date 06/02/2009 05/02/2009 12/02/2009 06/02/2009
06/05/209 06/05/209 09/29/2009 09/29/2009 06/05/2009 11/05/2009 12/18/2009	06/05/2009 06/05/2009 12/07/2009 12/07/2009 11/05/2009	11/05/2009 11/05/2009 10/07/2009 10/07/2009 10/07/2009 10/07/2009	06/05/2009 06/05/2009 07/21/2009 07/21/2009 06/25/2009 06/25/2009 06/25/2009 06/25/2009	
PURCHASE PURCHASE PURCHASE PURCHASE PURCHASE PURCHASE PURCHASE PURCHASE	MIGDING PURCHASE PURCHASE PURCHASE PURCHASE	PURCHASE PURCHASE PURCHASE PURCHASE PURCHASE PURCHASE PURCHASE		Source Transaction Code Type PURCHASE PURCHASE PURCHASE PURCHASE PURCHASE
				Transaction Description
z z z z z z z z	ZZZZ	z,2 z z z z z z	z z z z z z z z z z	Unsolicite N N
NAMES AND CONTROL OF THE CONTROL OF	DOMESTINGS AND ENAMED SAID THE STATE BOOM JONES AND ENAMED SAID THE STATE SECTION SPORT IN LANGUAGE SECTION SPORT IN SALECT SECTION SPORT SALECT SECTION SPORT SPO	ISSUE NCE BRAZEL  SHARES MSCI BRAZEL  FINANCIAL SELECT ETH  FINANCIAL SELECT ETH  FINANCIAL SELECT ETH  FINANCIAL SELECT ETH  SHARES MSCI ETH	INTERPORTATION OF THE PROPERTY	Unsolicited Security Description  AN ENTRY OF THE IN MAKET VECTORS STEEL  N SPDR SAP OIL & GAS ETF  N SPDR SAP OIL & GAS ETF  N MAKET VECTOR GOLD
PMR PMR 11: 11: 11: 11: 11: 11: 11: 11: 11:	,			Sourity ID SLX SLX MGS XES ACC GDX
2	1504 19700 1	W/N  ANZ  N/N  ANZ  N/N	1995 - NAN 92,000 FIG. 10/10 32,000 RTM N/N 47,000 RTM N/N 47,000 TUR N/N 41,000 TUR N/N 7,000 TUR N/N 7,000 TUR N/N 7,000 RTM N/N 7,000 TUR N/N 7,000 TUR N/N 7,000	Cancel/ Rebill N/N N/N N/N
192.000 192.000 16.000 16.700 98.000 98.000 70.000	62,000 82,000 9,000 5,000 18,000 27,000			vantity 76.000 62.000 72.000 6.000 6.000 55.000
	\$46.35 -\$2.8 \$46.35 -\$2.8 \$25.29 -\$1. \$27.08 -\$1. \$26.04 -\$7.			
**************************************		-\$1,821.77 \$.00 -\$1,821.77 \$.00 -\$1,833 \$.00 -\$7,315 \$.00 -\$7,315 \$.00 -\$1,730 \$.00 -\$1,730 \$.00		Amou
0.00%		<b>沙袋袋</b>		

Page 1 of 7 Broker/Dealer Use Only - Not To Be Shown To Public.

. cozzani	Continue.	NAMES					16.000	THE WAY	And the second second	8
6007/20/NT	6007//0/01	75	では、これでは、これでは、これでは、これでは、これでは、これでは、これでは、これ	dia .	STOCK OF THE STATE	N/N	000.1	\$42.85	-\$42,85	\$.00
09/24/2009	09/29/2009	- 7	The state of the s	N IPATH GBP/USD RATE ETN		N/N	77.000	\$43.37	-\$3,339,30	*20
9077709							1000		T. C. C. F.	
09/24/2009	09/29/2009	PURCHASE		N KBW INSURANCE ETF		N/N	21,000	\$35.04	-\$735.79	\$.00
11/02/2009			本文行のおといる地方があるとなっている。				70.00	000	1000	MED : 104
12/02/2009	12/07/2009	PURCHASE	and the second description of the second sec	N BARQLAYS USD ETF		2/2	4,000	\$45.75	00'831\$-	\$.00
11/02/2009	11/02/2009				700 00 5 55 55 56 50 00 N/N N/N N/N N/N N/N N/N N/N N/N N/N	2/2	1000	74.377	76. 354	3
			のでは、「大きな、「大きな、「ない」というでは、「ない」というです。 「ない」というでは、「ない」というでは、「ない」というできません。 「ない」というできません。「ない」というできません。「ない」と	N TRAIN THE CONTRACT	署.		A STATE OF THE STA	200.00	20.70	
09/24/2009		PURCHASE	で、東京の日本の日本の一大学の日本の日本の日本の日本の日本の日本の日本の日本の日本の日本の日本の日本の日本の	, in	(5)	N/Z	77.000	<b>\$44</b> .92	-\$3,458.72	\$.8
SECOND.		100	のでは、「一般の一般のでは、「一般の一般の一般の一般の一般の一般の一般の一般の一般の一般の一般の一般の一般の一		\$600 - 1005 - 1 001886 - 1 001886 - 1 0 001886 - 1 0 001886 - 1 0 001886 - 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		1000	<b>50.02</b>	0.1858	
06/02/2009		Į,	THE STATE OF THE S	N POWERSHARES DYNAMIC MKT	251	Z/Z	199.000	\$10.93	-\$2,175.56	\$.00
TO SERVICE STATES					第一人とは、「「「「「「」」」というでは、「「」」という。「「」」というでは、「「」」というでは、「「」」というでは、「「」」というできます。「「」」というできます。「「」」というできます。「「」」			- (B-044		**************************************
07/21/2009	ä	PURCHASE	で成功(9) こと (権力・10円) シーン (権力・10円) アイフィー・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・	ř.		N/N	49.000	\$40.98	-\$2,007.88	\$
THE STATE OF THE S	100	The Land of the La	The second secon			が、中国の大学の大学	0006.27.01	S. W. L.	- Unit 622-1973	\$600 CO08
* 15 (10 (10 (10 (10 (10 (10 (10 (10 (10 (10	constant and const		では、これでは、Application Company of the Company of th	A GOLDAN SACIO IN TOIL I		A A	000.700/of	OO'T		.w.
09/24/2009	09/25/2009	PURCHASE	THE REPORT OF THE PROPERTY OF	į	2000	2 / 2	214.000	<b>\$1.00</b>	-\$214.00	\$.00
A SOUTH A							127.000	21.00	- 0.ZI	*00
11/02/2009	11/05/2009	PURCHASE	の (A) 「 (A) 教命を持ちない。 アード・特別できるとなっているとうできない。 (A) こうこう とうにゅう (A) ないまた (A) な	ř		N/N	21.000	\$34,09	-\$715.68	
12/02/2009		SVEORIG			VON 1,000 777.49 1,000	N.N.	1200	27.60	4. 4.775.38	%000 00S
- 600 cm		が成立している。	では、1770年の1970年の東京の大きない。 1970年の1970年の1970年の東京の大きない。 1970年の1970年の1970年の東京の大きない。1970年の1970年				POOT	のことで	大学の大学の大学の大学の大学の大学の大学の大学の大学の大学の大学の大学の大学の大	0,000 00's
08/04/2009	08/07/2009	PURCHASE	The second secon	N POWERSHARES DYNAMIC MKT		N/N	2.000	\$12,25	-\$24.50	-30
5004/1/160		TOTAL STREET		獸		NN	1000		<b>500</b>	. 500
07/21/2009	07/24/2009	PURCHASE		1 3	: ;	Z	113.000	\$11.87	-\$1,341.31	<b>\$.0</b> 0
600751700	06/25/2009 06/25/2009 06/25/2009 06/25/2009 06/25/2009 06/25/2009 06/25/2009 06/25/2009 06/25/2009 06/25/2009		一般の一般の一般の一般の一般の一般の一般の一般の一般の一般の一般の一般の一般の一				200	11-10	21.00 S1.20 15.00	
	2007 lo2 lo0		では、自己はは、これが自己は、これが自己は、自己は、自己は、自己は、自己は、自己は、自己は、自己は、自己は、自己は、	連載の表現の表現では、 NATION TRACE AND	2009年2月1日 1月1日 1月1日 1月1日 1日 1	を開き 日本州 2市以上、 コーコーコーコー	Monor	CONTRACTOR OF THE PARTY OF THE	OCTOC-	2002 0 CO
06/02/2009	06/05/2009	PURCHASE		N SPDR S&P SEMICONDCIR ETF	OSX	×/×	64.000	\$33,96	-\$2.173.37	Ö
SOCIALISM	** 60826250 ***	THE PARTY OF THE P		1270		No. Company	1000	\$40.86	E - E - E - E - E - E - E - E - E - E -	***
11/02/2009	11/05/2009	PURCHASE			\$	N/N	20.000	\$37.46	-\$749.18	<b>\$.00</b>
606/11/20	07/24/2009	STATE OF STA	70 (24) 2009 T	A Charles and splitters and the second			<b>35.000</b>	67.30	21.00.6	<b>4.00</b>
11/02/2009	11/05/2009	PURCHASE		원 동		N N	34,000		-\$687.72	\$,00
And Chin	9.		学院の教育と 1000年代		は 100mm   1		THE PERSON NAMED IN	12.00	198.48	100
COD (L2 /CO	50 200 100 100 100 100 100 100 100 100 10	PORCHASE	19.1. 化香香香 医动物性 医多种 医多种 医多种性 医多种		ABC 開発を取ります。 2008年1月20日 - 1908年1月2日 - 1908年1日 - 1908年1	STATE OF STA	THE SALVEY	721.53	76.TS/S-	September 1998
07/07/2009		PURCHASE	STATE OF THE PROPERTY OF THE STATE OF THE ST	N ISHARES DOW JONES US		N/N	1.000	\$42.51	<b>-\$42.5</b> 1	\$8
10/02/2009	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	NATORD!	があることとはおりませんかってはないましまっています。				200	0000	20.00	
09/24/2009	09/29/2009	PURCHASE		N POWERSHARES DB SILVER		Z / Z	58.000	\$29.25	-\$1,696.62	<b>\$.</b>
6002/20/90	6002[2009	PURCHASE		N SPDR SAM WILLOW VINE CIT	L.N		17,00		- 17 182 21 ·	8

Page 2 of 7 Broker/Dealer Use Only - Not To Be Shown To Public.

									- 12 H 446		_	的	_					经记忆			· ·				¥.		11年 12年 12年 12年 12年 12年 12年 12年 12年 12年			: :	食品							-	September 4		- Take		14 1		
6092/F2/GD		06/02/2009	11/02/2009	06/23/2009	Konzist for	12/02/2009		1007	2/02/2009	TI WOOD ON	08/17/2009	05042005	06/02/2009	- edit regist.	06/02/2009	SECTION SECTION	11/02/2009			06/07/2009	TO CO TO TO	06/23/2009	2002/2009	09/24/2009	- 60 Z 100 +	06/02/2009	1107/2009	07/07/2009	SATURE FARM	06/02/2009	Battern	08/12/2009	000000	12/02/2009	BOOTEM	07/21/2009	606.117.00	11/02/2009	- 1902 W 2000	08/04/2009	12/02/2009	10/02/2009		Date	
	でを表示して 100	0			を と は は と は は と は は と は は は は は は は は は	1		No.	1 1000 1000 1000 1000 1000 1000 1000 10		!				0		1	STATE OF										0					極機		李北西	0		1	嫯	,		,		Date	
09/29/2009	10/07/2009	06/05/2009	11,05/2009	06/26/2009	6007,6760	12/0//2009	Line King	1007	2/07/2009	NAME AND ADDRESS OF THE PARTY O	08/20/2009	,6002/01/50	06/05/2009	100 (50.00)	06/05/2009	KIND IS 160	11/05/2009	The state of the	A 000 LOSS	600c/20/90	Th 177 71.55	06/26/2009	06057000	09/29/2009	GOTTO	06/05/2009	6001245ULT	07/10/2009	<b>GOOTE IN</b>	06/05/2009		08/17/2009	600 700	12/07/2009	- GOOTPAD	07/24/2009	707.2009	11/05/2009	. BODZ 62 160	08/07/2009	12707/2009		100	Date	
機械		-			都会の影響		大学とは、日本の					4			1000		,		San	Table Company		The second second		70		70		7		1						5	314.		期表			ì		Code	
AND SECTION SE	TROUGH SALDAR	PURCHASE	PURCHASE	PURCHASE	PUNCHASE		JAN TANA				PURCHASE	TOTAL PROPERTY.	PURCHASE		PURCHASE	HURCHASH	PURCHASE	SAMO		ESAHORINA FINANCIA		PURCHASE		PURCHASE		PURCHASE	THE OWNER.	PURCHASE	SALDAN.	URCHASE		PURCHASE	BOTTON	PURCHASE	A THE	PURCHASE		PURCHASE	<b>HOROTINE</b>	PURCHASE	PURCHASE IT	PURCHASE		Тура	(Amonata)
	" 對 " 」		は世界を			and the second second	Section 1	が、 では、 では、 では、 では、 では、 では、 では、 では	THE RULE OF STREET	J								<b>高いのでは、大学の</b>	選ぶは ぶなみ	子が大きる品質は	TO ALL TO	Section of the section of																	F.						•
	DISCHASE COMPANY OF THE PROPERTY OF THE PROPER		学の方に 一般の一般の一般の一般の一般の一般の一般の一般の一般の一般の一般の一般の一般の一			TOTAL PROPERTY AND ADDRESS.	では、「一般のでは、「ない」という。 「一般など、「ない」という。 「ない」という。 「ないない」という。 「ないない」という。 「ないない」という。 「ないない」という。 「ないない」という。 「ないない」という。 「ないない」という。 「ないない」という。 「ないない」という。 「ないないない」という。 「ないないない」という。 「ないないないないない。」という。「ないないないないないないないない。」という。「ないないないないないないないないないないないないないないないない。」という。「ないないないないないないないないないないないないないないないないないないない	TO SEE STATE OF THE SECOND	<b>原则是他的教授</b>			1000年				をおります。 は 日本のでは、 できないのでは、 できないのできない。 できないのできないのできない。 できないのできないのできない。 これのできないのできない。		神教のこの のなかれるとして、大大学はない場合の	Cold No.	<b>计算程度数据</b>	は流行とは、は、は、は、など、など、など、など、など、など、など、など、など、など、など、など、など、	ENGLASTICATION OF SHOP	以 · · · · · · · · · · · · · · · · · · ·				· · · · · · · · · · · · · · · · · · ·													!				Transa	
		ĺ			では、	THE STREET			"Y. Super- 5.33	A STATE OF THE STA	200 mg	を手続きをきるながれた。					7	State of the last	Salar Complex	<b>热 附着的体积</b>	山川大道港で	Control Special Control of Contro					<b>建筑程</b> 于															 		Transaction Description	
		A CONTRACTOR OF THE SECOND					<b>新聞 人類解析系统</b>	放光学 经免损的	Mary Mary College		1000	魔者がは						祖为法院上	では、		労場に申報												はながらなる											1	
7.7	10000000000000000000000000000000000000	z			å.	-	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	1			Z ;		z		2	大阪の	Z			2 4 4		2		z		z		2	2	2			齱	2		z		2		_		z		nsoficited	
SECTOR SPORTS	TANK THE PARK	E-TRACS UB	SPOR KBW	SHARES BA	SOR KON	SPDR KBW BANK ETT		ISPARCES DARKCLAIS	CHAPES BA	WALL TO SERVICE	VANGUARD RETTETT		VANGUARD RET ET	SPORTS TO	IPATH GSCI TOTAL RTN	THE WINDS MAKE	SPOR SALP RETAIL ETF	STURENCE OF THE PARTY OF THE PA	OF UNITED IN THE PARTY OF THE P			ISHARES BARCLAYS		PATH DOW		MISDOMIRE	<b>MEGRIDA</b>	SPDR BARCI		ISHARES TR		OWERSHA	Section 1	<b>YORGAN ST</b>		INDEX SHS INC MSCI		INDEX SHS INC MSCI		ISHARES MSCI CHILE	TO X SEC	INDEX SHS INC MSC	ON THE STATE	Unsolicited Security Description	
ANK EIT		E-TRACS UBS BBG CMCI ETI	SEDERAR MARKET	ISHARES BARCLAYS TIPS	SPORKEN BANKETS	ANK ETF		CAIO	TELABLE BARCHAYS		RETER		哥哥	SPORSON FREEDRICKS	TOTAL RTN		ETAILETF			CDDO COD BETAIL ETE		RCLAYS	POWER STATES OF MANUE	IPATH DOW JONES UBS ETN		WISDOMTREE EMERGING ETF	SIG SHOWNON THE	SPDR BARCLAYS CAPITAL	PARTICINATE OF SECURITY		THE PROPERTY OF THE PARTY OF TH	POWERSHARES DB BASI	AND THE PROPERTY OF THE PROPER	MORGAN STANLEY TECH		NC MSCI		NC MSCI			珠形	NC MSCI	TO BE ST	escription	
	GARTHUNIS CONTINOUS SITE 12 A	F		S		the country of the same of the			STATE OF THE PARTY AND ADDRESS.								C. British	1000円の対象		Section Control	門の記念は他様の	CLYSHING TO AND	C	9		G EFF		F		Section C. C. Communication			NAME OF	#	9					뒤			* Throng Carstonisam as		
X Z			- JOH	₽	4	æ		400	ACC.		Š.	ONA Let	¥ o		ŝ		×			707		AFS	8		₩.	ន្ត		盟		XG		DBS		₹		PWY		PWΥ	PIN	<u>5</u>			Щ	Security ID	
	1500 vs 12485	779	TOBE THE PROPERTY OF THE PARTY		は一般の対象を表現しているとのでは、これは、これには、これには、これには、これには、これには、これには、これには		THE REPORT OF THE PARTY OF THE	To the second second	Sept Control of the C		to and a supplied of the last							AND RESIDENCE OF THE PARTY OF T	THE STANSFELL ST	A COLUMN	<b>《新疆》的《新疆》的《西班牙》,"是一个,是一个,是一个,一个一个,一个一个一个一个一个一个一个一个一个一个一个一</b>	7,00°																						ψ ĭĐ	
COSTA MOST		N/N		Z / T		2/1	NAME OF THE OWNER, OWNE	N/N	OF SELECTION		Z / Z		Z `		Z /	が変え	N/	北京			以在从于高级的	2 / Z		Z/Z		2/2		z/z		Z/Z		×/:		× /		z/z		2 / Z		N/N	I.K.	z / z		Rebill	
				_		-	The second second	The state of the s				1000年	-		-	はないとなる		東人はいる	W. T. T. T.		明本為海	Codification Continues Continues	記載 物質 しん	_		_	では、	_		_				_		_				_		_			
000.	TO COOL	132,000	35,000	65.000	31,000	6.000	September 1	5,000	Contraction of	Towns of	71.000		64.000	8000	71,000	21000	20.000	11000	30,000	98 000	Carlo Carlo	89.000		26.000		84,000	17000	256.000	15000	55.000	THE PARTY OF	455.000	. Oct.	1.000		51,000		47,000		2.000	1900	2.000		Quantity	
C.C.C.	A. 421,85	\$22.17	<b>51.18</b>	\$100.85	צמ	\$22.07	3,114,53	TTCOTE	2013		\$36.48	7 262	\$33.71		\$30,46	13.38	\$34.11	Trees and			AND SECOND	\$110.20		\$32.99		\$34.61	<b>100</b>	\$45,88		\$39.25		\$18.00		\$55,36		\$39,36				\$47.23	1900	\$44.86	6.62	Price	
					Ž.				A COLUMN TO SERVICE STATE OF THE SERVICE STATE OF T		95 UPS CS-	淡浴潭	-\$2.1		-\$2,1		\$	1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -	0.74		と学りと特別があった。	-\$9.807.71		÷	3116	-\$2,907.24	ASS.				那			*		-\$2,0		-\$2,038.74		<u>.</u>		ų,	Ì	Amount	
	01 T C 68 C	26,44	12051	S5.41	. 477J.30	-\$132.42	<b>37.58</b>	-5315.33	TO A CONTRACT	A Section	90 36	W. T.	57.20	2	62.77		-\$682.13	#24 ·	00.C0074	22.00	THE PROPERTY OF THE PROPERTY OF THE PARTY OF	07.71		-\$857.64	0.59	07.24	1000	45.28	360.5	S8.75	17047	89.74		\$55.36	B	07.52		38.74		-\$94.46	16 M	89.72			
<b>10</b> 1	in a		45		m.		がおいないと	î.	1,13	ě								- 10		*	2				× 74						Ψ,		懸		- 00				986		275.3	<b>\$.</b> 00			
0.00%	0.000	0.00%	0.00%	0.00%	0.00%	0.00%	0.000	0.UU	occupa.	P.	200	00%	8	800%	0.00%	0.00%	0.00%	0.00%	0,000		Children of the Children	0.00%	2007	0.00%	2000	0.00%	* CANON	0.00%	*00%	0.00%		0.00%	2008	0.00%		0.00%		0.00%		0.00%		0.00%	60	Discount	

Page 3 of 7 Broker/Dealer Use Only - Not To Be Shown To Public.

# Trade Activity from 01/01/2009 to 12/31/2009

Run Date: 5/13/2010 3:20:28 PM

Page 4 of 7
Broker/Dealer Use Only - Not To Be Shown To Public.

STMT-

CASSIDY LAURA L

6002/61/10 6002/61/10	Activity Date
20/2009 20/2009 20/2009 20/2009 20/2009 20/2009 20/2009 20/2009 20/2009	Date
01/29/2009 01/29/2009	o settle
	Source Code
SATE STATE S	Transaction Type
	<b>1</b>
	Trans
	ransaction Description Unsolicited Security Description
	cription (
	Insolicited
REDERATED COLUMNATIONS SET GROWTH FUND ASSET DEANWITH FUND ASSET AND ASSET AFTUAN COLUMNATION ASSET AND PAC GROWTH FE GOLDMAN SACHS TR. FI GOLDMAN SACHS TR.	Security I
REDERATED COLUMN SACRET COLUMN	Description
A CONTRACT OF THE CONTRACT OF	
GOSXX GOSXX GOSXX GOSXX ABGX ABGX ABGX ABGX ABGX ABGX ABGX AB	Security ID
	म् उठ
N N N N N N N N N N N N N N N N N N N	Cancel/ Rebit
0.000 0.000 9.134 9.134 9.134 9.134 9.134 9.136 11.13	Quantity
\$1.00 \$18.64 \$7.00 \$7.00 \$1.00 \$1.00 \$10.00 \$10.00	Price
40,000 and 10,000 and	Net Amount
	Commission t Amount
003 003 003 003 003 003 003 003 003 003	
%00.00 %0	Discount

CASSIDY LAURA L

، بر. الم		.,	A: Sel	} !	že.		4		11/3/20 24/20 24/20		7 15	ř	Į,	ù		W C	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	% 3	1 19.4		100		* *		ا الارا الارا				"( `*		in the second		è,		100 m		
06/02/2009	09/25/2009	06/03/2009	06/05/2009	06/03/2009	09/28/2009-09/25	06/03/2009	06/05/2009	06/05/2009	:06/03/2009	09/25/2009	06/03/2009	09/25/2009	GOOD KOAD	09/25/2009	A PROPERTY.	08/25/2009	THE PERSON	09/25/2009	600Z F0990	06/05/2009	6002/32/60	07/30/2009	25.05.200	09/25/2009	Score/frailfip*	09/25/2009	06/05/2009	06/03/2009	6002702/80	06/03/2009	Company of the Company	09/25/2009	6002/600	09/25/2009	<b>3002/5000</b>	Date	Activity
4 14							100						報 の	100 July 100		F. 18. 18. 18. 18. 18. 18. 18. 18. 18. 18	子の一次	- Co Co.			が大ない	- T							oczybeże		3		神社	; ;	数据	Date	<b>A</b> 07
06/03/2009	09/28/2009	06/04/2009	06/08/2009	06/04/2009	2009 09/28/2009	06/04/2009	6002,80,90	06/08/2009	600Z/E0/90	09/28/2009	- de/de/2009	09/28/2009	SOOF MINOR	09/28/2009		08/28/2009		09/28/2009	500 / 50 PU	06/08/2009	50020200	07/30/2009	SOCIOLES SE	09/28/2009	Some feeting.	09/28/2009	COUP AND SO	06/04/2009	0.08/25/80:00	06/04/2009	GD SAD POR	09/28/2009	SOCRETER	09/28/2009	06/08/2009	Date	Settle
	1. 1.4 1.4 2.4		いまりでは										子を といい	ž.	が の 湯い	¥ .	一本の	e de la companya de l				NO AMON AND INC.			<b>非常国际</b>		<b>建</b>		関の液の				はないが変し		なな透り	Code	Source
SALE	PURCHASE	PURCHASE	PURCHASE	PURCHASE	PUNCHASE	PURCHASE	PURCHASE	PURCHASE	PROPASE	PURCHASE	PURCHASE	PURCHASE	PORCHASE	PURCHASE	Tablem	PURCHASE	SAMPLE S	PURCHASE	PURCLASE	PURCHASE	T COURT	PURCHASE		PURCHASE	TOTAL DESIGNATION	PURCHASE	TOWN TOWN	PURCHASE	THEFT	PURCHASE	TOTAL STATE	PURCHASE	THOUSE !	PURCHASE	TANTON	Туре	Transaction
10 mm 10 mm 10 mm.					· 1	:			ない きんしょ						を と ない	٠ د د	を持ちない	A							を変え		**				Market Services				1000		3
1 7					を見れて	}	の 一大小小						\$ 50 mm		第五十八八八 題		· · · · · · · · · · · · · · · · · · ·	The foundation of the foundation of the	古者の大田の「松田の町」のこれの人の人の地で見るのできる。		沙河湖						情報では、「新聞」を持ち、「「一」では、「一」では、「一」である。 「一」では、「「」で、「」に、「「」に、「」に、「」に、「「」に、「「」に、「「」に、「」に、「」に				の方式を				<b>新新</b>	Trai	
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		S.	· · · · · · · · · · · · · · · · · · ·				をいいます		TOTAL PROPERTY.		10年の日本の大学を			9	松野科	0	P. T. W. T. W. T. W. T. W.		1、1、1、1、1、1、1、1、1、1、1、1、1、1、1、1、1、1、1、	The state of			なながある。		ない かりませる ない		がはきます								Contract of the	Transaction Description	
	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	i T V	<b>温度を変める</b>				間 ( ) ( ) ( ) ( )				1 1 1			*	HI THE SECOND		のではかる				イン・データ 変数				1000年		等 一個						過過器器		選及 野山路	escription	
Z	N (1)	ं र र जिल्हा <b>ट</b>	學。 是 1988年 1988年	z		z		z		2		2		2		2		2		2		z		z		z	生 水素	z		z		z		2			
EUROP/	HARRIS	HARRIS	MERIC	AMERIC	MAINS	MAINST	SMIN	OW AVI	ON SAL	OW AVI	SOLDHANI SACHS IR	SOLDM	HUGO	FIRSTE	TRSIL	US NAT	TH-M	FIRSTE	. TRST	FIRSTE	Dividu	GAIF II	ED INT	OPPEN		GOLDW	000	SOLDW.	200	- PING		PIMO		FANK	MANAL	<b>Unsolicited Security Description</b>	
EUROPACIFIC GROWTH FD -	HARRIS ASSOC INVITTR*	HARRIS ASSOC INVT TR -	AMERICAN FUNDS	AMERICAN FUNDS	MAINSTAY ED HIGH YEURA	MAINSTAY HIGH YIELD	MANSTAY HIGH YIELD>*	IVA WORLDWIDE FUND	IVA WORLDWIDE FUND	IVA WORLDWIDE FUND	SHOWS	GOLDMAN SACHS TR FINL -	GOLDHAN SACIS TR	FIRST EAGLE US VALUE -	THE STEP STATES	JS NATURAL GAS FUND LP	TISTING TO SUMILEY	TRST EAGLE FDS INC	TAST FAGE SOMEN	TRST EAGLE SOGEN	INCORPORATION TO A STATE OF THE PARTY OF THE	GAJF II CL O INITIAI	<b>计图图图图</b>	OPPENHEIMER INTL BD FD		GOLDMAN SACHS TR	COLDHAN SOLISTR	GOLDMAN SACHS TR			AND THE STATE OF		SSEE	RANKLIN INVS SECS TR	TA SALE IN	ity Descrip	
ALH PO 1	75 75 1*	;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;		٦.	*YELD-*	1. EP		* AB	4.0	Į.	7	E INL ,			<b>进制</b> 经	AND F		J.		1,				T 80 ED *		.¥ ≥		.¥ ≥	ð	<b>-</b>	を記録が	1		* X X		Ži S	
		i i	· 沙麦属		では、地域を	_			18 18 18 18 18 18 18 18 18 18 18 18 18 1		である	_	~		調しの大学											_	が経過で	_									
AEGPX	OAKBX	OAKBX	XTD	XFFBW	THYX:	XXX		IVWIX		IWIX.	TSTOCK	PSMXX		¥		1 S	XX	SID	SID	SGID				XABTO	THE NAME OF	GSGOX	000	CSGOX		2		PGA X		FROX	RGVX 107	Security ID	
できる。	Section of the section of		N. W. T. W. W. T. W. T. W. T. W. T. W. T. W. T. W. W. T. W. T. W. W. T. W. T. W. W. W. W. T. W. W. T. W. W. T. W. W. T. W. W. W. T. W. W. W. T. W. W. W. T. W. W. W. W. W. T. W.		1					,	arij Arij					Table 1											京 有意 みな								學學	•	
REDEX: 100 N/N	ZZ		N. M.	Z / Z	N.W.	Z/Z		Z ;	N.	z		Z Z		2 2		Z	MX Manager M	Z/N	DESCRIPTION OF THE REPORT OF THE PROPERTY OF T	SGIDX N/N 255.864 \$35.29		Z/Z		Z		Z	COX OF THE PARTY O	Z		Z Z		Z/Z	THE PARTY OF THE P	z	N AN	Rebill	Cancel/
22	N/N 168,163	2,00	130-565	සු	85,704					H	39	1,12	1.21	26		36	3.2	4	1	25		50,000.000		2,57	2,028,057	11				4,46		26	1000	19	N/N 25/932	Quantity	
9.920	8.163	2,001.649	0.565	637,664	3704	2,414.739	776353.7	674.998	787.0	- 4	3,954,410.	0.470	2 490	8.356	300	362.000	164	49.004	100	5.864		0.000		6,443		116.318	100	804.379	376.039	1,469.760	弧	262.224		196.959	145 ×	đ.	
\$35.37 \$32.75	\$24.48	\$22.71	\$18.77	\$19.01	15.50	\$5.02	15.04	\$13.27	E 614	\$14.97	<b>5</b>	\$1.00	1,222,490	\$14.40	E 65	\$11.61	92000	\$39.47	÷\$35.40	\$35.29	1001	\$1.00	101	\$6.52	10.00	\$15.36	160 152 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	\$15.07	. <b>6 6 1 1</b>	\$10.17	<b>4.00.0</b>	\$11.19		\$10.46	1.90.00	Price	
\$14,932,25 \$7,529,88	-\$4,116,63	±45,457.46	7. 水路在以	-\$12,121,99	ACCOUNT IN	-\$12,121.99		1	7 (7) 27 (8) 51 (8)	-\$1,675.80		-\$1,120.47	102.3		p 4			-\$1,934.17	100	-\$9,02	, C. 10. 11	-\$50,000.00		-\$16,798.41			MAX.		\$50,008.0E			-\$2,934.29			A	Amount	Z Ct
9.88 9.88	-\$4,116.63	₹?. <b>6</b>	Sec. 219	98	( ) ( ) ( ) ( )	1.99	22,0082		3 B	5.8	<b>A.</b>	0.47				2,10	45 15 P. C.	4.17	\$15.457.46	4.		0.00		8.41	STORE STORE	6.65	12 12 18 14 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	198					- Selector		A 18 10 10		Commission
\$.00 *	\$.00	<b>\$</b> .00	\$00	<b>\$</b> .00	88	<b>\$</b>	8	8	8	No.	8		900	<b>\$</b>			Take.		¢₩ €.		<b>S</b>	8	2	<b>\$</b> .00	88	8	1	8		<b>\$</b> .8		<b>\$</b>		<b>\$</b>	Matte Priorities		ission
0.00%	0.00%	0.00%	0.00%	0.00%	800%	0.00%	0.00%	0.00%	0.00%	2,00%	200%	0.00%	D005	0.00%		0.00%	A000	0.00%	200%	0.00%	200%	0.00%	0.00%	0.00%	\$000	0.00%		0.00%	203	0.00%	%00.0	0.00%	2	0.00%		Discount	

CASSIDY LAURA L

# Trade Activity from 01/01/2009 to 12/31/2009

Rum Date: 5/13/2010 3:18:09 PM

	d'a	g		. i	55	J.	¥,					Ę	Ž.	#5			1	Č.	12, 12,	i d	4, ;		dia.	Į.	, i		
05/22/2009 05/22/2009 02/11/2009 05/22/2009	05/22/2009	02/17/2009	05/22/2009	05/22/2009	600212150	05/22/2009	6002777 ICO	6002/22/50	05/22/2009	GOVERN CO.	05/22/2009	05/22/2009	100 March 100 Ma	05/22/2009	6007/77/50		02/09/2009		01/02/2009	6002/92/10	SOUTH	01/16/2009	- 02/03/2009	02/10/2009	02/17/2009	600¢/#Z/B0	Activity Date
	100000					<b>雅</b> 斯峰 学	関の変数						学生	Merchant Commercial							動を対する			ははない	S. Archer		Date of
05/28/2009 05/28/2009 02/17/2009 05/28/2009	05/28/2009	02/20/2009	05/28/2009	05/28/2009	05/28/2009	05/28/2009	5005/67/50	6002/82/50	05/28/2009	ILL BOOK NO.	05/28/2009	05/28/2009	SORRIBEZED.	05/28/2009	C5/28/2009	Springer	02/12/2009	No. of Particular	01/02/2009	01/26/2009	GOZ TI KU	01/16/2009	SOUZ/EO/CO	02/10/2009	02/20/2009	04/7/2009	Settle Date
	作品 化基础				-			河洋森	2.000				医经验以	SCHOOL STANSFORM	A. A. M.				****	Salah " Tarah " Januari				N. S.			Source Code
SATE SATE	SALE	SAIF			31g	4	VALE ARREST		SALE		SALE	SAITE	SALE:	SALE	C Y	CHRIST .	PURCHASE		PIRCHASE	PURCHASE	SADAL	PURCHASE		PURCHASE	PURCHASE	TIRQUES	Transaction Type
	では、「大きな」では、これのでは、これには、これでは、これでは、これでは、これでは、これでは、これでは、これでは、これで				大学の大学者、文学教育者所になる。 あるい できる		のでは、1975年のでは、1985年の198	大学 一大学 一大学 一大学 一大学 一大学 一大学 一大学 一大学 一大学 一	のでは、これでは、これには、「一般では、「「「「「「」」」」とは、「「」」」とは、「「」」」と、「「」」」と、「」」と、「		ができる。 は、 は、 は、 は、 は、 は、 は、 は、 に、 に、 に、 に、 に、 に、 に、 に、 に、 に	高いで、1995年の発展の表現では、「ANDALTHE THE THE TANK TO THE	では、またいでは、これでは、これでは、これでは、これでは、これでは、これでは、これでは、これ	のでは、 できる は、 できる はない できる はない はない はない はんかい はんかい はんかい はんかい はんかい はんかい はんかい はんか	<b>腾时的装饰是是海绵横在时间的漂亮了,被不见了腿沿墙地上的大门内顶</b>		· 《 · · · · · · · · · · · · · · · · · ·	では、「「「「「「」」」というでは、「「「」」というできない。「「「」」というできない。「「「」」というできない。「「「」」というできない。「「「」」というできない。「「「」」というできない。「「」	子の表記をする。子の女性の一人の情報の表表的である。 子の子の子の子の子の子の子の子の子の子の子の子の子の子の子の子の子の子の子の	所では100mでの対し、アイルのは200mでは、100mでは100mでは、100mでは100mでは100mでは100mでは、100mでは100mでは100mでは100mでは100mでは100mでは100mでは100mでは		1977年の日本の日本の日本の日本の日本の日本の日本の日本の日本の日本の日本の日本の日本の			ができた。 こうかい こうかい はんしゅう しょうしゅ かんしゅう はんしゅう はんしゅう はんしゅう はんしゅう しゅうしゅう しゅう		Transaction Description (
	2 2	z 2	z	2	記事が	2	1. 1		Z		2	Z		2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2			Z		z 2	Z.		2		2 <b>2</b>	Z		Unsolicited
STORES INC ILLS INC		HARRIS CORP. DE	BURLINGTON NORTHN SANTA	GRAINGEX BY WITH	TION .			INC.	AIR PRODUCTS & CHEMICALS		NITERNATIONAL BUSINESS		THE GROUP THE		AUTOMATIC DATA	BECOMINICATION & CO.	FACTSET RESEARCH SYSTEMS	43	COVI OBLIGATIONS BIND	4		- 3		GOVT OBLIGATIONS FUND	GENL DYNAMICS CORP COM	INSMOCRATION	Unsolicited Security Description
N/N 15,000 \$15.73 \$527.79 \$00 0.0  N/N 12,000 \$52.73 \$522.79 \$00 0.0  N/N 17,000 \$34.05 \$578.84 \$00 0.0		HBC TOTAL		AMA	は一個などのでは、	PPL			APD			NVS			ADP		FDS	CODO CONTRACTOR OF THE PARTY OF	SORY	CODX	<b>100</b>	CODX		SODX			Security ID
N N N N N N N N N N N N N N N N N N N	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	2 2 2	2 / Z	2/2	- K. W. W.	N/N	Z/Z		Z/Z			N/N	N	N/N	Z/Z		N/N	N. William	Z (2	N/N		N/N		N/N	N/N	W. J	Cancel/ Rebill
15,000 12,000 25,000 -17,000	-30,000	0008F	-12,000	000 115	19000	-24,000	-24.000	20,000	10.000		000 8	-17.000	3000	-17.000	-24.000	THE PARTY NAMED IN	19.000	0,000	0000	0.000		0.000	0.00	0.000	ř	Applied A	Quantity
\$52.73 \$11.44 \$34.05	23.7	200	\$68.5	)	11.504	\$53.83	\$41.20	177	\$62.90	6.00	1 5013	\$40.4	18. D. T. C.	\$71.32	36.04	2 609	\$42.45		*100	\$1.00		\$1.00		13	\$52.92	100	Price
\$78.84 \$632,73 \$78.84	25 17 S	, C.1.3	\$822.3	<b>5839.</b> 4	1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1	\$1,291.86	\$988.7.		\$628.98		T. 5083	\$686.7	Trest.	\$1,717.40	\$864.9	TOTAL ST. S.	-\$806.58	3550		\$7.6£		-\$38,47		77 65- Caracter		790	Net Amount
***			مد		THE REAL PROPERTY.		7		*			*	様ない	での最初期の最	•		~		があた。	******		***		4	\$.00		Commission Amount
7,000,000,000,000,000,000,000,000,000,0	00 0.00%	90 000	00.00	0.00	00 000	00.00	0.00	980	00.00	 	300	00.00		200	0.00	8 7 00	00.00	900		0.00	Some on	.00 0.00%		200 0 00 x	Ň.	2003	on Discount
8888	<b>₽</b> ₹ 3	₽ 📆	\$	8 3	*	<b>3</b> 6 (3)	ē] <b>₹</b>	3	8	<b>F</b> 3	e J	\$	*	2 %	8 %	8	\$	W) a	R N	×	W.	8		₽ 🛊	E	38	<del>-</del>

Page 1 of 3 Broker/Dealer Use Only - Not To Be Shown To Public.

7

# Trade Activity from 01/01/2009 to 12/31/2009

Run Date: 5/13/2010 3:19:18 PM

Account Number: CASSIDY LAURA L

04/29/2009 05/22/2009 05/22/2009	05/22/2009	05/22/2009	6062/51/10	02/11/2009	0002/22/20	6002,000	05/22/2009	03/65/2009	600C/90/E0	02/10/2009	Gutte de de la constante de la	03/24/2009		01/29/2009	5007/c0/kg		03/24/2009	- Salacisular	03/06/2009		0000/25/10	02/05/2009	01/26/2009	01/30/2009	40022000	01/27/2009	6007/01/cn	600750/10	Activity Date
05/04/2009 05/22/2009 05/28/2009	05/28/2009	05/28/2009	-600c/bt/210	02/11/2009	6007/17/	6007,000,00	05/28/2009	2,000(5)(0)(2,00)	PONCY TO THE PROPERTY OF THE PARTY OF THE PA	02/10/2009	Bonziloist	03/27/2009		02/03/2009	6007/80/40	Notice of the second	03/27/2009	Section 1	03/11/2009	2000	01/73/7000	02/05/2009	South Comments	01/30/2009	- 600 m to	01/27/2009	4002/c1/c0	SCHOOL SOUTH	As Of Settle S Date Date
SALE	SALE	SALE		SALE SALE	SALE		SALE		DI IBCHACE	PURCHASE	Referen	PURCHASE		PURCHASE	PURCHASE	THE PROPERTY OF	PURCHASE	THE PROPERTY OF THE PARTY OF TH	PURCHASE		DIBCHASE	PURCHASE	The second second	PURCHASE		PURCHASE	PURUTASE	COUNTIAC	Source Transaction Code Type
		A CANADA A THE REAL PROPERTY OF THE RESIDENCE OF THE PROPERTY		1. 神光《门户 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.	(1) · 1 · 1 · 1 · 1 · 1 · 1 · 1 · 1 · 1 ·	一年 一	は、 とうこと は 様 かっとう できない ないない ないかっこう はいかん かいしょ	THE PROPERTY OF THE PROPERTY O	大学の一個の一個の一個の一個の一個の一個の一個の一個の一個の一個の一個の一個の一個の	は man and and and and and and and and and a	のなければ、 はない はのかない ないかん			<b>参加を持ち、同時に対する。 ・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・</b>	《大学》 《《《《《《《《《》 《《》 《《》 《《》 《《》 《《》 《《》 《》	では行うとは、 大きな (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)	第9.00.00mm   10.00mm   1	は 一般の	、 The State - The Assemble Assemble Assemble Assemble - The State of		では、日本のでは、日本には、日本のでは、日本のでは、日本には、日本のでは、日本には、日本には、日本には、日本には、日本には、日本には、日本には、日本に	(中部) 经支收时间 化氢氢钠商品 保护 经撤入 人名英格兰	· · · · · · · · · · · · · · · · · · ·			医马勒氏性病 医异乙基甲基甲基氏 医阿拉克氏 建氯甲基 法法律 医人名英格兰 医克里氏征	· · · · · · · · · · · · · · · · · · ·	からは、別の中に対するないでは、	Transaction Description
Y NABORS INDUSTRIES LTD  Y NABORS INDUSTRIES CTD  Y NABORS INDUSTRIES CTD	Y NORTHROP GRUMMAN CORP	Y ELLILLY & CO	N. GOYTIOBLIGATIONS TIND	N GOVT OBJ IGATIONS FUND	N GOVT OBLIGATIONS FUND			LANGUER & GAMPLE OF	THE PARTY OF THE P	N GOYT OBLIGATIONS FUNI	Salite College College	Y AMERICAN EXPRESS COMPA		Y SINTRUST BANKS INC	Y ENCANA CORP	T. WINGING MARCH	Y POST PROPERTIES INC		Y TORCHMARK CORP			N GOVT OBLIGATIONS FUND	UNDERFORMATION OF THE PARTY OF	N GOVT OBLIGATIONS FUND	The Section of the Control of the Co	N GOVT OBLIGATIONS FUND	T INTUIT INC	ONTH SMOTTENED LACE TO WELL	Unsolicited Security Description
D NBR -55.000 \$15.000 \$16.000	1	ś.		- 4: 			Į.	ĸî Ni	# 1 A			₹		WALLES AND STREET AND AND AND ADDRESS OF THE PARTY OF THE			PPS	を かんき できる	1					3		D GOIXX			Security ID
N/N N/N	N/N N/N	N/N	S. NOW LESS LAND	Z / Z /	N/N	・部間道のでは	の会議者を示す。 2/2	N/N	で 新聞の 電影 が は きがん	N/N	一 日本	N/N		N/N	N N	MAN SAN SAN SAN SAN SAN SAN SAN SAN SAN S	N/N		N/N S . C . Add			N/N	· · · · · · · · · · · · · · · · · · ·	N/N	及 <b>建</b>	N / N	2/2 2/2	THE PARTY OF THE P	Cancel/ Rebill Qu
-45.000 \$15.77 -35.000 \$15.25 120.000 \$16.55	-70.000 \$48.2	-70.000 <b>\$34.2</b>	0.000	0.000 0.004 000.071	0.000 \$1.0	0.00	180.000 \$10.7	\$45.6	30.000 528.0	0.000 \$1.0	D00 - 000	30.000 \$14.6		910	50.000 \$45.1	5000 S07	40.000 \$11.4	25,000 500.55	30.000 \$16.6	V.T.	*0.000 A P	0.000 \$1.0	cools (out)	0.000 \$1.0		0.000 \$1.00	10.000 \$24.21	distriction of	Quantity Price
<b>1</b>	4 \$3,376.71	1	503.45	(8)	4		3 \$1,932.07	;;	12			7			ř	5 31111100		ii.		20.710¢-		; i		1		\$1.00 -\$5.50	11 -\$242.10	MS TENEVIS DATE	Net Con Amount A
\$.00 0.09% \$.000 0.00%	5	\$.00 0.00%	θ,	J.			%00.0 00.\$	r:	100	<b>\$</b>	100	*	**************************************	£.	S.	12		3	8			; ;	2000	ţ	2.0	200 0.20	\$.00 0.00%	300	Commission Amount Discount

Page 1 of 4 Broker/Dealer Use Only - Not To Be Shown To Public

Account Number:

CASSIDY WILLIAM M

	-20
00/21/2009 00/21/2009	Activity Date
770100 770100 770100	Date Of
6002/17100 6002/02/05 6002/07/05	Settle Date
	Source
PURCHASE PUR	Transac Type
	Type
	成で (A) (A) (A) (A) (A) (A)
	Transac
	Transaction Description
	X 및
222222222222222222222222222222222222222	Unsolicited Security Description
TROWE PACE MO-CO VALUE TROWE PACE MO-CO VALUE DREFFILS ENERG MATS ENST EAGLE IS VALUE GOLDMAN SACHS FINLS FIRST EAGLE IS NOS FIRST EAGLE IS NOS GOLDMAN SACHS FINLS GOLDMAN SACHS FINLS FINT EAGLE FINDS FIRST EAGLE FINDS FIRST EAGLE FINDS FIRST EAGLE FINLS HARTFORD CAP APPREC HARTFORD MATIS HANGE SACHS FINNING THE FINLS GOLDMAN SACHS TR FINL GOLDMAN SACHS TR FINL GOLDMAN SACHS TR FINL HARTFORD CAP APPREC	d Securi
HANTIOUT CANADARE C  HANTIOUT FAILS THE BANK TO AND	Security Description
ACTO AND ACTO ACTO ACTO ACTO ACTO ACTO ACTO ACTO	ridon FR 10 14
PENNON PE	Security ID
TRIVICA N/N  TRIVI	è
	Cancel Rebit
3 2 2 2 3 2 3 2 3 2 3 2 3 2 3 2 3 2 3 2	= 4
110.769 118.088 118.088 118.088 118.005 118.00	Quantity 1106/76
	P
\$17.63 \$1	Price
\$125.4.6 \$110.00 \$1,611.33 \$1,611.33 \$1,611.33 \$1,611.33 \$1,000.33	Net Com Amount Au
H 7 H 7 H 8 K 8 B 8 B 8 B 8 B 8 B 8 B 8 B 8 B 8 B	Commission Amount
\$ 2 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	
9,000,0 9,0	Discount

#### 2009 AMENDED FORMS 1099

Page 10 of 14

57mT-15



Your Financial Advisor:
NADER\*-HURLEY
7031 COMMERCE CIRCLE
STE 200
BATON ROUGE LA 70809
(225) 928-2900

Payer: FIRST CLEARING, LLC 2801 MARKET STREET SAINT LOUIS, MO 63103 Payer ID #: 23-2384840

Amended Date: 2/19/10

# Federal Non-Reportable Information

#### Miscellaneous Activity Detail

Notes	Date	Activity	Amount	Line Ref
ASSET ADVISOR FEE	08/31/2009	ADVISORY FEES	-85,04	74
ASSET ADVISOR FEE	10/09/2009	ADVISORY FEES	-264.21	7
TOTAL ADVISORY FEES			-349.25	14

#### Purchase Activity Detail

-0.30		0.30000	REINVEST DIVIDEND	12/01/2009	38141W232	GS FINL SO MMKT INSTL
-0.31	-	0.31000	REINVEST DIVIDEND	11/02/2009	38141W232	GS FINL SQ MMKT INSTL
-0.29		0.29000	REINVEST DIVIDEND	10/01/2009	38141W232	GS FINL SQ MMKT INSTL
-1,848.07	1.00000	1,848.07000	PURCHASE	09/01/2009	38141W232	GS FINL SQ MMKT INSTL
-4.07		0.15700	REINVEST CAP GAIN	09/30/2009	36239T103	GABELLI SMALL CAP GROWTH
-4,620.26	24,30000	190,13400	PURCHASE	09/01/2009	362397103	GABELLI SMALL CAP GROWTH
-6.26		0.28000	REINVEST DIVIDEND	10/30/2009	302933106	FMI FUNDS INC FOCUS FND
-4,620.26	20.66000	223.63300	PURCHASE	09/01/2009	302933106	FMI FUNDS INC FOCUS FND
-222.53		14.80600	REINVEST DIVIDEND	12/18/2009	32008F861	FIRST EAGLE US VALU FD-1
-13,860.77	13.89000	997.89600	PURCHASE	09/01/2009	32008F861	FIRST EAGLE US VALU FD4
-238.38		5.88300	REINVEST DIVIDEND	12/18/2009	32008F606	FIRST EAGLE SOGEN-I
-13,860.77	37.62000	368.44200	PURCHASE	09/01/2009	32008F606	FIRST EAGLE SOGEN-I
-65.19		5.77900	REINVEST DIVIDEND	12/18/2009	26201H104	DREYFUS INTLEMRG MKTS A
-5,544.31	10.12000	547.85700	PURCHASE	09/01/2009	26201H104	DREYFUS INTLEMRG MKTS A
Amount	Price	Quantity	Activity	Date	Cusip	Description

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

001 / BRLA / YP09





57MT-15



Your Financial Advisor:
NADER\*-HURLEY
7031 COMMERCE CIRCLE
STE 200
BATON ROUGE LA 70809
(225) 928-2900

Payer:
FIRST CLEARING, LLC
2801 MARKET STREET
SAINT LOUIS, MO 63103
Payer ID # 23-2384840

Amended Date: 2/19/10

Page 11 of 14

# Federal Non-Reportable Information

#### Purchase Activity Detail Continued

Description	Cusip	Date	Activity	Quantity	Price	Amount
HARTFORD CAP APPC FD I	416649309	09/01/2009	PURCHASE	342,49500	26.98000	-9 240 51
HARTFORD MIDCAP FD CL I	41664M870	09/01/2009	PURCHASE	349.79900	15.85000	-5 544 31
IVA WORLDWIDE FUND CL I	45070A206	09/01/2009	PURCHASE		14 38000	13 050 77
			. 00.000	000.0000	14.00000	10,000.77
IVA WORLDWIDE FUND CL I	45070A206	12/17/2009	REINVEST DIVIDEND	8.95300		-131.96
IVA WORLDWIDE FUND CL!	45070A206	12/17/2009	REINVEST CAP GAIN	23.03800		-339.58
PIMCO GLB MULTI-ASSET	72201P100	09/01/2009	PURCHASE	1,277.49000	10.85000	-13.860.77
PIMCO GLB MULTI-ASSET	72201P100	09/21/2009	REINVEST DIVIDEND	1.37900		-15.56
PIMCO GLB MULTI-ASSET	72201P100	12/11/2009	REINVEST CAP GAIN	8.94000		-102.27
PIMCO GLB MULTI-ASSET	72201P100	12/11/2009	REINVEST CAP GAIN	6.33400		-72.46
T ROWE PRICE MID-CAP VAL	77957Y106	09/01/2009	PURCHASE	303.63100	18.26000	-5.544.31
T ROWE PRICE MID-CAP VAL	77957Y106	12/17/2009	REINVEST DIVIDEND	3.08800		-83 76

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

GOT / WHIN / YP

YT112053 021567 053175236112 NNNNN NNNNN NNNNN NNNNNN 000006