

**HAND DELIVERED**

May 13, 2011

From: Rick Larsen, WA-02

Subject: CY2010 Financial Disclosure Clarification

To whom it may concern:

LEGISLATIVE RESOURCE CENTER

2011 MAY 13 PM 3:18

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As part of the reporting for the 2010 financial disclosure, I am writing to clarify one point.

Several funds listed as Columbia Funds on Schedule III and IV were not listed on the 2009 form.

On September 27, 2010, Riversource and Columbia Funds merged and Riversource independently renamed several of their funds as Columbia Funds. This action was not a result of any action my spouse or I took.

In other words, funds now listed as Columbia Funds are the same funds listed as the Riversource Funds on the 2009 form and the name change was a result of a merger, not of an action on my part to purchase, sell or exchange these funds.

In April of last year, my spouse and I did approve the exchange of several funds then named Riversource Funds for Wells Wealthbuilder funds. These exchanges are reflected in Schedule III and IV.

However, as the funds at the end of the year were named Columbia Funds, I added notes to Schedule III for those funds to indicate that at the time of the exchange, Columbia funds were named Riversource Funds.

I hope this provides appropriate clarification for both the name changes and to what actions my spouse and I controlled.

*pls contact me if any questions*

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A  
For use by Members, officers, and employees

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**HAND DELIVERED**

ACTIVE RESOURCE CLINIC

Richard Ray Larsen

(Full Name)

2022252605

(Daytime Telephone)

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U.S. HOUSE OF REPRESENTATIVES  
OFFICE-Use Only

<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>WA</u> District: <u>2</u>	<input type="checkbox"/> Officer Or Employee	Employing Office:
<b>Report Type</b>	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date:
<p><b>A \$200 penalty shall be assessed against anyone who files more than 30 days late.</b></p>				

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. If yes, complete and attach Schedule I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. If yes, complete and attach Schedule VI. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. If yes, complete and attach Schedule II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. If yes, complete and attach Schedule VII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. If yes, complete and attach Schedule III. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. If yes, complete and attach Schedule VIII. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. If yes, complete and attach Schedule IV. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<b>Trusts--</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name Richard Ray Larsen

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
June Baldwin Medical Writing	Spouse: fee for medical writing services	\$4,162

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Richard Ray Larsen

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts;</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
JT	Federal Congressional Credit Union/Checking	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	Federal Congressional Credit Union/Savings	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	Ameriprise One Account/Checking	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	Ameriprise One Account/Savings	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
Self	Richard R Larsen IRA/Fundamental Value A	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	E

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Richard Ray Larsen

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Self	Richard R Larsen IRA/ Columbia Diversified Equity Income Fund A (renamed from Riversource Diversified Equity Income Fund A after company merger)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	E
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Self	Wells Fargo Wealthbuilder Growth Allocation	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	E
Self	Richard R Larsen Roth IRA/ Columbia Multi-Advisor Small Cap value Fund A (Renamed from Small Cap Value Fund A after company merger)	\$1,001 - \$15,000	None	NONE	E

Self	Richard R Larsen Roth IRA/ Columbia Multi-Advisor Small Cap value Fund B (Renamed from Small Cap Value Fund B after company merger)	\$1 - \$1,000	None	NONE	E
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Self	Wells Fargo Wealthbuilder Equity	\$1,001 - \$15,000	None	NONE	E
SP	Tiia Ingrid Karlen TSCA/RVS Fundamental Value Fund A	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Tiia Ingrid Karlen IRA/Columbia Large Core Quantitative Fund A (renamed from Riversource Disciplined Equity Fund Class A after company merger)	\$1,001 - \$15,000	None	NONE	E

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Richard Ray Larsen

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SP	Tiia Ingrid Karlen IRA/Columbia Large Core Quantitative Fund B (renamed from Riversource Disciplined Equity Fund Class B after company merger)	\$1,001 - \$15,000	None	NONE	E
SP	Tiia Ingrid Karlen IRA/WellsWealthbuilder Growth Allocation	\$1,001 - \$15,000	None	NONE	E
Self	Riversource Flexible Annuity/Dynamic Equity	\$1,001 - \$15,000	None	NONE	
Self	Riversource Flexible Annuity/VP Balanced Fund	\$1,001 - \$15,000	None	NONE	
Self	Riversource Variable Life Insurance/ Core Equity	\$15,001 - \$50,000	None	NONE	E
Self	Riversource Variable Life Insurance/Dynamic Equity	\$1,001 - \$15,000	None	NONE	E
Self	Riversource Variable Life Insurance/VP Moderate Aggressive CI4	\$15,001 - \$50,000	None	NONE	E
JT	Columbia Diversified Equity Income Fund A (renamed from Riversource Diversified Equity Income Fund A after company merger)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	E
JT	Columbia Multi-Advisor Small Cap Value Fund A (renamed from Riversource Small Cap Value Fund A after company merger)	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	E

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Richard Ray Larsen

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JT	Columbia Dividend Opportunity Fund Class A (renamed from Riversource Dividend Opportunity Fund Class A after company merger)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	E
JT	Ameriprise One Financial Account/Wells Wealthbuilder Growth Balanced	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	E

# SCHEDULE IV - TRANSACTIONS

Name Richard Ray Larsen

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
Self	Richard R Larsen IRA/Riversource Diversified Equity Income Fund A (exchanged for Wells Fargo Wealthbuilder Growth Allocation)	E	N/A	4-13-10	\$1,001 - \$15,000
Self	Richard R Larsen IRA/Fundamental Value A (exchanged for Wells Fargo Wealthbuilder Growth Allocation)	E	N/A	4-13-10	\$1,001 - \$15,000
Self	Richard R Larsen IRA/Wells Fargo Wealthbuilder Growth Allocation	E	N/A	4-13-10	\$15,001 - \$50,000
Self	Richard R Larsen Roth IRA/ Small Cap Value Fund A (exchanged for Wells Fargo Wealthbuilder Equity)	E	N/A	4-13-10	\$1,001 - \$15,000
Self	Richard R Larsen Roth IRA/ Small Cap Value Fund B (exchanged for Wells Fargo Wealthbuilder Equity)	E	N/A	4-13-10	X < \$1,000
Self	Richard R Larsen Roth IRA/Wells Fargo Wealthbuilder Equity	E	N/A	4-13-10	\$1,001 - \$15,000
Self	Riversource Variable Life Insurance/ Core Equity (exchanged for VP Moderate Aggressive C14)	E	N/A	4-15-10 monthly FAD MAR	\$15,001 - \$50,000 \$420.00
Self	Riversource Variable Life Insurance/Dynamic Equity (exchanged for VP Moderate Aggressive C14)	E	N/A	4-15-10 monthly FAD MAR	\$1,001 - \$15,000 \$420.00
Self	Riversource Variable Life Insurance/VP Moderate Aggressive C14	E	N/A	4-15-10 monthly APE-DC	\$15,001 - \$50,000 \$420.00



# SCHEDULE IV - TRANSACTIONS

Name Richard Ray Larsen

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	Riversource Diversified Equity Income Fund A (exchanged for Wells Wealthbuilder Growth Balanced)	E	N/A	3-29-10	\$1,001 - \$15,000
JT	Riversource Dividend Opportunity Fund Class A (exchanged for Wells Wealthbuilder Growth Balanced)	E	N/A	3-29-10	\$15,001 - \$50,000
JT	Ameriprise One Financial Account/Wells Wealthbuilder Growth Balanced	E	N/A	3-29-10	\$50,001 - \$100,000
JT	Riversource Small Cap Value Fund A (exchanged for Wells Wealthbuilder Growth Balanced)	E	N/A	3-29-10	\$15,001 - \$50,000
SP	Tiia Ingrid Karlen IRA/Columbia Large Core Quantitative Fund B (renamed from Riversource Disciplined Equity Fund Class B after company merger) (exchanged for Wealthbuilder Growth Allocation)	E	N/A	4-6-10	\$1,001 - \$15,000
SP	Tiia Ingrid Karlen IRA/Columbia Large Core Quantitative Fund A (renamed from Riversource Disciplined Equity Fund Class A after company merger) (exchanged for Wealthbuilder Growth Allocation)	E	N/A	4-6-10	\$1,001 - \$15,000
SP	Tiia Ingrid Karlen IRA/WellsWealthbuilder Growth Allocation	E	N/A	4-6-10	\$1,001 - \$15,000