

**UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT**
FORM B

For use by candidates and new employees

Period covered: January 1, 2011 - February 20, 2012

FEB 27 2012

LEGISLATIVE RESOURCE CENTER

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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)Name: Alan F. Lee Daytime Telephone: _____

Filer Status	<input checked="" type="checkbox"/> Candidate for the House of Representatives	State: <u>TX</u> District: <u>33</u>	Date of Election: <u>11-2-2012</u>	Check if Amendment <input type="checkbox"/>	A \$200 penalty shall be assessed against any individual who files more than 30 days late.
	<input type="checkbox"/> New officer or employee	Employing Office: _____			

In all sections, please type or print clearly in blue or black ink.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS**TRUSTS**—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?Yes ☐ No ☒**EXEMPTION**—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.Yes ☐ No ☒

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Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

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SCHEDULE II - ASSETS AND "UNEARNED" INCOME

Name

Alan F. Lee

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BLOCK A Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols).

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.

BLOCK B Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

BLOCK D Amount of Income

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																			
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SP, DC, JT	Examples:	SP Mega Corp. Stock	Simon & Schuster	1st Bank of Paducah KY accounts	Indefinite	None	\$1,001 - \$15,000	\$50,001 - \$100,000	\$250,001 - \$500,000	\$1,000,001 - \$5,000,000	\$25,000,001 - \$50,000,000	NONE	RENT	CAPITAL GAINS	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Royalties	Brothers																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		

SCHEDULE II — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name

Alan F. Ler

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BLOCK A		BLOCK B											BLOCK C				BLOCK D																						
Asset and/or Income Source		Value of Asset											Type of Income				Amount of Income																						
SP, JT, DC		A	B	C	D	E	F	G	H	I	J	K	NONE	RENT	CAPITAL GAINS	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year						Preceding Year																
																	II	III	IV	V	VI	VII	VIII	IX	X	XI	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		
	12408 Fish																																						
	12412 Fish																																						
	12416 Fish																																						
	1334 Hardned																																						
	1338 Hardned																																						
	1342 Hardned																																						
	19004 Whitewater																																						
	810 Maplecrest																																						
	316 Fox Run																																						
	4720 Crawford																																						
	5205 Young																																						
	5209 Young																																						
	5312 Yager																																						
	6032 McAfee																																						

Continuation Sheet (if needed)

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SCHEDULE III - LIABILITIES

Name

Alan F. Lee

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. **Exclude:** Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred mo/year	Type of Liability	Amount of Liability									
				A	B	C	D	E	F	G	H	I	J
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main Street, Dover, DE	\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
	LBPS / Seterus		Mtg: 10200 Concord										
	Bank of America		Mtg: 5209 Yong										
	Bank of America		Mtg: 5205 Young										
	Bank of America		Mtg: 5312 Yager										
	Bank of America		Mtg: 210 Mylcrest										

SCHEDULE IV - POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

Position	Name of Organization

SCHEDULE III — LIABILITIES

Name

Alan F. Lee

Page 2 of 4

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	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main Street, Dover, DE	\$10,001 — \$15,000	\$15,001 — \$50,000	\$50,001 — \$100,000	\$100,001 — \$250,000	\$250,001 — \$500,000	\$500,001 — \$1,000,000	\$1,000,001 — \$5,000,000	\$5,000,001 — \$25,000,000		Over \$50,000,000
	Citi Mortgage		Mtg: 6032 McAfee		X								
	Citi Mortgage		Mtg: 19004 Whitewater			X							
	Nationstar Mortgage		Mtg: 31L Fox Run			X							
	Indy Mac Bank		Mtg: 4720 Crawford				X						
	Indy Mac Bank		Mtg: 1334 Hardened			X							

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Position	Name of Organization

SCHEDULE III - LIABILITIES

Name

Alan F. L. & C.

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	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main Street, Dover, DE				X						
	Indy Mac Bank		Mtg: 1338 Hardned			X							
	Indy Mac Bank		Mtg: 9624 Windridge			X							
	Owen / Litton		Mtg: 1342 Hardned			X							
	Owen / Litton		Mtg: 9727 Whistler				X						
	Natl Farm Life Ins		Mtg: 904 Galaxy			X							

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Position	Name of Organization

SCHEDULE III - LIABILITIES

Name

Alan F. Lee

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	Comerica Bank		Mortgage on 4 houses				X						
	(Sterling Bank)	Feb 2008	-8465 Willow Creek										
			-12408 Fish										
			-12412 Fish										
			-12416 Fish										

SCHEDULE IV - POSITIONS

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