



Filing ID #10027179

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Thomas Suozzi
Status: Member
State/District: NY03

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2018
Filing Date: 08/12/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Allianz- Qualified IRA ⇒ AZL DFA Multi Strategy Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Allianz- Qualified IRA ⇒ Black Rock Global Associates [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
AXA Investments- IRA Account ⇒ Sector Consumer Staples SPDR ETF XLP [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
AXA Investments- IRA Account ⇒ Sector Financial Select Sector EFT XLF [MF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
AXA Investments- IRA Account ⇒ Sector Healthcare Select Sector ETF XLV [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
AXA Investments- IRA Account ⇒ Sector Select Real Estate ETF [MF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
AXA Investments- IRA Account ⇒ Sector Technology Select Sector SPDR ELKV [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
AXA Life Insurance [WU]	SP	\$50,001 -	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$100,000			
AXA_Suozzi Family Trust Life Ins Trust [WU]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
BP Real Estate Opportunity Group LLC [OL]		\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: Sarasota, FL, US DESCRIPTION: Real Estate Development project with sole assets being located in Sarasota, Florida.					
Clarapath Corp [OL]		\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: New York/Manhattan, NY, US DESCRIPTION: Investment is a start up company relating to biotech for Pathology. Office are in Manhattan, New York.					
Damen Warehouse Owners, LLC, 6% Interest, 6% Interest [OL]		\$50,001 - \$100,000	Rent	None	<input type="checkbox"/>
LOCATION: Delaware, DE, US DESCRIPTION: Business is a warehouse.					
Emperial Risk Management Inc. [OL]	SP	\$1,001 - \$15,000	Ordinary Business Income	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: GLen Cove/ Nassau, NY, US DESCRIPTION: Entity is an Insurance Brokerage business located in Glen Cove, New York.					
Federal Government Deffered Compensation [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Gettin the Band Back on Broadway LLC [OT]		None	Ordinary Business Income	None	<input type="checkbox"/>
DESCRIPTION: Minority interest in LLC located in New York, New York.					
HCDC Holdings LLC [OL]		\$50,001 - \$100,000	Ordinary Business Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Glen Cove/Nassau, NY, US DESCRIPTION: Investment is a minority interest in this entity which operates a day camp located in East Hampton, New York.					
HCDC Realty Corp. [RP]		\$100,001 - \$250,000	Rent	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: Glen Cove/Nassau , NY, US DESCRIPTION: Investment is a minority interest in this entity which operates a day camp located in East Hampton, New York.					
KEPWRS Inv, LLC [RP]		\$15,001 - \$50,000	Rent	None	<input type="checkbox"/>
LOCATION: Williamsburgh, NY, US DESCRIPTION: Sole Asset is rental property located in Williamsburg, New York.					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Merrill Lynch ⇒ iShares Global Comm Services ETF (IXP) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Inherited IRA from late mother in 2018.					
Merrill Lynch- Advisor Discretion Account ⇒ Advanced Micro Devices, Inc. (AMD) [ST]		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Apple Inc. (AAPL) [ST]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ AT&T Inc. (T) [ST]		None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ AT&T Inc. (T) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Inherited IRA from late mother in 2018.					
Merrill Lynch- Advisor Discretion Account ⇒ BlackRock NY Municipal OPPORTUNITIES FUND [GS]		None	Capital Gains	None	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Boeing Company (BA) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Bristol-Myers Squibb Company (BMY) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Caterpillar, Inc. (CAT) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Chevron Corporation (CVX) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Inherited IRA from late mother in 2018.					
Merrill Lynch- Advisor Discretion Account ⇒ Cigna Corporation (CI) [ST]		None	Capital Gains	None	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Citigroup, Inc. (C) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ COCA COLA COM [GS]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Merrill Lynch- Advisor Discretion Account ⇒ COCA COLA HBC LTD Ordinary Shares (CCHBF) [ST]		None	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ ConocoPhillips (COP) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Consolidated Edison, Inc. (ED) [ST]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Control4 Corporation (CTRL) [ST] DESCRIPTION: Inherited IRA from late mother in 2018.		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Control4 Corporation (CTRL) [ST]		\$50,001 - \$100,000	Dividends	None	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Costco Wholesale Corporation (COST) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Crown Holdings, Inc. (CCK) [ST]		\$50,001 - \$100,000	Dividends	None	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Dominion Energy, Inc. (D) [ST]		None	Capital Gains	None	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ DowDuPont Inc. (DWDP) [ST] DESCRIPTION: Inherited IRA from late mother in 2018.		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Eli Lilly and Company (LLY) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Exxon Mobil Corporation (XOM) [ST] DESCRIPTION: Purchased and sold in the same year.		None	Capital Gains	None	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ General Motors Company (GM) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Henry Schein, Inc. (HSIC) [ST]		None	Capital Gains	None	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒		None	Capital Gains,	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Intel Corporation (INTC) [ST]			Dividends		
Merrill Lynch- Advisor Discretion Account ⇒ International Business Machines Corporation (IBM) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Inherited IRA from late mother in 2018.					
Merrill Lynch- Advisor Discretion Account ⇒ International Business Machines Corporation (IBM) [ST]		None	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ International Paper Company (IP) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Inherited IRA from late mother in 2018.					
Merrill Lynch- Advisor Discretion Account ⇒ INTL PAPER CO [GS]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Intrexon Corporation (XON) [ST]		None	Capital Gains	None	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ iShares U.S. Technology ETF (IYW) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Inherited IRA from late mother in 2018.					
Merrill Lynch- Advisor Discretion Account ⇒ Johnson & Johnson (JNJ) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Inherited IRA from late mother in 2018.					
Merrill Lynch- Advisor Discretion Account ⇒ JPMorgan Chase Capital XVI JP Morgan Alerian MLP ETN (AMJ) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Martin Marietta Materials, Inc. (MLM) [ST]		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Purchased and sold with in the same year.					
Merrill Lynch- Advisor Discretion Account ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ MetLife, Inc. (MET) [ST]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Merrill Lynch- Advisor Discretion Account ⇒ Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ NEW YORK ST DORM ATRV [GS]		None	Capital Gains	None	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Oracle Corporation Ordinary Shares (OCLCF) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Inherited IRA from late mother in 2018.					
Merrill Lynch- Advisor Discretion Account ⇒ Pacific Gas & Electric Co. (PCG) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Inherited IRA from late mother in 2018.					
Merrill Lynch- Advisor Discretion Account ⇒ Pfizer, Inc. (PFE) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ PPL Corporation (PPL) [ST]		None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Procter & Gamble Company (PG) [ST]		None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Regeneron Pharmaceuticals, Inc. (REGN) [ST]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ SPDR S&P Retail ETF (XRT) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Inherited IRA from late mother in 2018.					
Merrill Lynch- Advisor Discretion Account ⇒ Superior Industries International, Inc. Common Stock (SUP) [ST]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Superior Industries International, Inc. Common Stock (SUP) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Inherited IRA from late mother in 2018.					
Merrill Lynch- Advisor Discretion Account ⇒ TE Connectivity Ltd. New Switzerland Registered Shares (TEL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Texas Instruments Incorporated (TXN) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Merrill Lynch- Advisor Discretion Account ⇒ United Rentals, Inc. (URI) [ST]		\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ VANGUARD NY LONG TERM [GS]		None	Capital Gains	None	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Verizon Communications Inc. (VZ) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Verizon Communications Inc. (VZ) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Inherited IRA from late mother in 2018.					
Merrill Lynch- Advisor Discretion Account ⇒ Walmart Inc. (WMT) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Inherited IRA from late mother in 2018.					
Merrill Lynch- Advisor Discretion Account ⇒ Walmart Inc. (WMT) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Waste Management, Inc. (WM) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ WESTCHESTER CNTY NY GO [GS]		None	Capital Gains	None	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Weyerhaeuser Company (WY) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Winnebago Industries, Inc. (WGO) [ST]		\$50,001 - \$100,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Naples Vanderbilt Investors, LLC [RP]		\$1,001 - \$15,000	Rent	\$1 - \$200	<input type="checkbox"/>
LOCATION: Naples , FL, US					
National Securities ⇒ Acres Capital Debt Opportunity Fund LP [MF]		\$500,001 - \$1,000,000	Tax-Deferred		<input checked="" type="checkbox"/>
National Securities ⇒ Fidelity Government Cash Reserves [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Phillipsburg Holdings LLC, 7% Interest [OL]		\$100,001 - \$250,000	Rent	None	<input type="checkbox"/>
LOCATION: West Orange , NJ, US					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Minority interest in LLC holding rental property in West Orange, New Jersey.					
SGC Commerical II, LLC [RP]		\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: Sarasota , FL, US DESCRIPTION: Real Estate Development project. Sole Asset is in Sarasota, Florida.					
Southampton Country Day Camp LLC [RP]		None	Ordinary Business Income	None	<input type="checkbox"/>
LOCATION: Southhampton/Suffolk, NY, US DESCRIPTION: Minority interest in this entity which operates a day camp located in South Hampton, New York.					
Southampton Day Camp Realty LLC [RP]		\$50,001 - \$100,000	Rent	\$1,001 - \$2,500	<input type="checkbox"/>
LOCATION: Southampton/Suffolk , NY, US DESCRIPTION: Rental property located in South Hampton, New York as a sole asset.					
Suozzi Solutions Inc, 100% Interest [OL]		None	Ordinary Business Income	None	<input type="checkbox"/>
LOCATION: Glen Cove/ Nassau, NY, US DESCRIPTION: This business is inactive and has no activity.					
TIAA-CREF ⇒ CREF Equity Index R2 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ CREF Inflation-Linked Bong R2 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ CREF Social Choice R2 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Merrill Lynch- Advisor Discretion Account ⇒ Apple Inc. (AAPL) [ST]		11/1/2018	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Apple Inc. (AAPL) [ST]		11/2/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒		04/17/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AT&T Inc. (T) [ST]					
Merrill Lynch- Advisor Discretion Account ⇒ BlackRock Municipal Income Investment Quality Trust (BAF) [ST]		06/27/2018	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ BlackRock Municipal Income Investment Quality Trust (BAF) [ST]		07/24/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ BLACKROCK NY MUNICIPAL OPPORTUNITIES FUND [GS]		07/24/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Boeing Company (BA) [ST]		10/1/2018	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Bristol-Myers Squibb Company (BMY) [ST]		10/1/2018	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Cigna Corporation (CI) [ST]		04/17/2018	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Cigna Corporation (CI) [ST]		07/25/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ COCA COLA COM [GS]		07/25/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Consolidated Edison, Inc. (ED) [ST]		07/25/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Crown Holdings, Inc. (CCK) [ST]		10/15/2018	P	\$50,001 - \$100,000	
Merrill Lynch- Advisor Discretion Account ⇒ Dominion Energy, Inc. (D) [ST]		06/27/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Exxon Mobil Corporation (XOM) [ST]		07/25/2018	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Exxon Mobil Corporation (XOM) [ST]		07/25/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Merrill Lynch- Advisor Discretion Account ⇒ General Motors Company (GM) [ST]		10/1/2018	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Henry Schein, Inc. (HSIC) [ST]		04/17/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Intel Corporation (INTC) [ST]		06/27/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ INTL PAPER CO [GS]		10/2/2018	P	\$50,001 - \$100,000	
Merrill Lynch- Advisor Discretion Account ⇒ Intrexon Corporation (XON) [ST]		12/14/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Martin Marietta Materials, Inc. (MLM) [ST]		10/15/2018	P	\$50,001 - \$100,000	
Merrill Lynch- Advisor Discretion Account ⇒ Martin Marietta Materials, Inc. (MLM) [ST]		11/7/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ MetLife, Inc. (MET) [ST]		07/25/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ NEW YORK ST DORN ATRV [GS]		07/24/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ PPL Corporation (PPL) [ST]		04/30/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Procter & Gamble Company (PG) [ST]		07/24/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Regeneron Pharmaceuticals, Inc. (REGN) [ST]		11/5/2018	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Regeneron Pharmaceuticals, Inc. (REGN) [ST]		11/7/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Superior Industries International, Inc. Common Stock (SUP) [ST]		10/15/2018	P	\$50,001 - \$100,000	
Merrill Lynch- Advisor Discretion Account ⇒		12/28/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Superior Industries International, Inc. Common Stock (SUP) [ST]					
Merrill Lynch- Advisor Discretion Account ⇒ United Rentals, Inc. (URI) [ST]		12/19/2018	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ VANGUARD NY LONG TERM [GS]		06/28/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Walmart Inc. (WMT) [ST]		11/4/2018	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ WESTCHESTER CNTY NY GO [GS]		07/24/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Weyerhaeuser Company (WY) [ST]		11/8/2018	P	\$50,001 - \$100,000	
Merrill Lynch- Advisor Discretion Account ⇒ Winnebago Industries, Inc. (WGO) [ST]		11/18/2018	P	\$50,001 - \$100,000	
National Securities ⇒ Acres Capital Debt Opportunity Fund LP [MF]		12/31/2018	P	\$500,001 - \$1,000,000	
National Securities ⇒ Fidelity Government Cash Reserves [MF]		12/31/2018	P	\$50,001 - \$100,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Empirical Risk Management Inc	Insurance Commissions	\$24,740.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Chairman	Morgan Park Summer Music Festival

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Allianz- Qualified IRA
- AXA Investments- IRA Account (Owner: SP)
- Merrill Lynch
- Merrill Lynch- Advisor Discretion Account
LOCATION: US
DESCRIPTION: Advisor Discretion Account under control of asset manager.
- National Securities
- TIAA-CREF (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Thomas Suozzi , 08/12/2019