# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Hon. Denny Heck

Status: Member State/District: WA10

## FILING INFORMATION

**Filing Type:** Amendment Report

Filing Year: 2014

**Filing Date:** 06/14/2016

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AMERICAN FUNDS ⇒ AMERICAN BALANCED FUND-529C  Location: WA DESCRIPTION: 529 PLAN FBO M. HECK		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<b>▽</b>
AMERICAN FUNDS  DESCRIPTION: PURCHASED 07/07/2014		\$1 - \$1,000	Interest	\$1 - \$200	П
BRUIN DEVELOPMENT L.L.C.  LOCATION: TUMWATER, WA, US  DESCRIPTION: LOSS IN 2014. Commercial building ownership/re	ental	\$250,001 - \$500,000	PTR, Rent	None	
CINEMAS TULARE LP  Location: TULARE, CA, US  DESCRIPTION: LIMITED PARTNER BUSINESS ACTIVITY = CON	JT MMERCIAI	\$100,001 - \$250,000 L BUILDING RENTAL	PTR .S	\$5,001 - \$15,000	П
CONGRESSIONAL FED. CR UNION	DC	\$15,001 - \$50,000	Interest	None	
EVERGLADES ESTATES LP	JT	\$15,001 - \$50,000	PTR	None	<b>▽</b>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: FT. MOHAVE, AZ, US DESCRIPTION: LOSS IN 2014 LIMITED PARTNER IN LAND OW IN FT. MOHAVE, MOHAVE CO, AZ.	NERSHIP	FOR RETIREMENT H	HOUSING DEVELOPM	MENT. ASSET	IS LAND
FRUCI FAMILY LLC	SP	\$500,001 - \$1,000,000	PTR , Rent	\$15,001 - \$50,000	
LOCATION: SPOKANE, WA, US DESCRIPTION: LLC Member COMMERCIAL BUILDING OWNER	SHIP/REN	VTAL.			
FRUCI FAMILY LLC	SP	\$500,001 - \$1,000,000	PTR , Rent	\$15,001 - \$50,000	
LOCATION: SPOKANE, WA, US DESCRIPTION: LLC Member COMMERCIAL BUILDING OWNER	SHIP/REN	VTALS.			
GALAXY CINEMAS NORTHWEST LLC	JT	\$100,001 - \$250,000	PTR, Rent	\$1,001 - \$2,500	
LOCATION: MONROE, WA, US DESCRIPTION: PARTNERSHIP OWING COMMERCIAL BUILDIN	NG.				
INTREPID LEARNING SOLUTIONS INC.		\$500,001 - \$1,000,000	None		
DESCRIPTION: PRIVATELY HELD STOCK IN COMPANY					
LOAN TO CAMPAIGN - HECK FOR CONGRESS		\$250,001 - \$500,000	None		
DESCRIPTION: LOAN TO CAMPAIGN					
MORGAN STANLEY - JT TEN ⇒ CASH, MMF	JT	\$100,001 - \$250,000	Interest	\$1 - \$200	
MORGAN STANLEY - JT TEN $\Rightarrow$ Chevron Corporation (CVX)	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	П
MORGAN STANLEY - JT TEN ⇒ CLARK CO NEV SCH DIST BOND	JT	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	
Description: INTEREST RATE: 5.00% MATURITY DATE: 06/1	5/2027				
MORGAN STANLEY - JT TEN ⇒ CLEAR LAKE TX WTR REV A BOND	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	
DESCRIPTION: INTEREST RATE: 4.75% MATURITY DATE: 03/0	01/2033				
MORGAN STANLEY - JT TEN $\Rightarrow$ Costco Wholesale Corporation (COST)	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	
MORGAN STANLEY - JT TEN ⇒ Enerplus Corporation (ERF)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	

Asset	Owner	Value of Asset	Income Type(s)		Гх. > \$1,000?
MORGAN STANLEY - JT TEN ⇒ FNMA MED TERM NOTE	JT	\$1 - \$1,000	Interest	\$1 - \$200	П
DESCRIPTION: INTEREST RATE: ZERO COUPON MATURITY D	ATE: 04/0	8/2016			
MORGAN STANLEY - JT TEN ⇒ General Electric Company (GE)	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	П
MORGAN STANLEY - JT TEN ⇒ GlaxoSmithKline PLC (GSK)	JT	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	
MORGAN STANLEY - JT TEN ⇒ Halyard Health, Inc. (HYH)  DESCRIPTION: RECEIVED AS STOCK DIVIDEND	JT	\$1,001 - \$15,000	Dividends	None	<b>✓</b>
MORGAN STANLEY - JT TEN ⇒ HARRIS CO TE CULT ED FACS BOND  DESCRIPTION: INTEREST RATE: 5.00% MATURITY DATE: 10/0	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	
DESCRIPTION. INTEREST RATE. 5,00% WATCHIT DATE. 10/0					
MORGAN STANLEY - JT TEN ⇒ Heritage Financial Corporation (HFWA)	JT	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	
$\begin{array}{l} \text{MORGAN STANLEY - JT TEN} \Rightarrow \\ \text{Kimberly-Clark Corporation (KMB)} \end{array}$	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	П
MORGAN STANLEY - JT TEN ⇒ KING CO HSG-I BE 6700 20JA01 (494759LM3)	JT	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<b>✓</b>
DESCRIPTION: PARTIAL SALE 01/01/2014 INTEREST RATE: 6.7	70% MATU	RITY DATE: 01/01/20	020		
MORGAN STANLEY - JT TEN ⇒ KING CO WA SCH DIST 403 BOND	JT	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	
DESCRIPTION: INTEREST RATE: 5.00% MATURITY DATE: 12/0	01/2028				
MORGAN STANLEY - JT TEN ⇒ KING TRANS TAX BE 5000 (49474ESU8)	JT	None	Interest	\$201 - \$1,000	<b>✓</b>
Description: SOLD 06-02-14					
MORGAN STANLEY - JT TEN $\Rightarrow$ Nustar Energy L.P. Common Units (NS)	JT	\$50,001 - \$100,000	Interest, ORDINARY	\$1,001 - \$2,500	
MORGAN STANLEY - JT TEN $\Rightarrow$ NV SYS HIGHER ED UNIV REV-B BOND	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
DESCRIPTION: INTEREST RATE: 5.00% MATURITY DATE: 07/0	01/2018				
$MORGAN STANLEY - JT TEN \Rightarrow$	JT	\$15,001 - \$50,000	Interest	\$201 -	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
NV SYS HIGHR ED UNIV REV-B BOND				\$1,000	
DESCRIPTION: INTEREST RATE: 5.00% MATURITY DATE: 07/	01/2018				
MORGAN STANLEY - JT TEN ⇒ OK DEV FIN BE 5000 (678908ZM9)	JT	None	Interest	\$201 - \$1,000	<b>▽</b>
Description: sold 02-18-14					
MORGAN STANLEY - JT TEN ⇒ PIERCE/LEWIS WA SCH DIST 404 BOND	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
DESCRIPTION: INTEREST RATE: 5.125% MATURITY DATE: 12,	01/2035				
MORGAN STANLEY - JT TEN ⇒ PIERCE/LEWIS WA SCH DIST 404 BOND	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
DESCRIPTION: INTEREST RATE: 5.125% MATURITY DATE: 12,	01/2035				
MORGAN STANLEY - JT TEN ⇒ RealNetworks, Inc. (RNWK)	JT	\$15,001 - \$50,000	Dividends	None	
MORGAN STANLEY - JT TEN ⇒ Seaspan Corporation Seaspan Corporation Common Shares (SSW)	JT	\$15,001 - \$50,000	NON DIVIDEND DISTRIBUTIONS	\$2,501 - \$5,000	П
MORGAN STANLEY - JT TEN ⇒ SEATTLE WA DRAIN & WW REV BOND	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	
DESCRIPTION: INTEREST RATE: 5.00% MATURITY DATE: 02/	01/2029				
MORGAN STANLEY - JT TEN $\Rightarrow$ UN NEB UNIV REVS STUDENT HSG BOND	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	
DESCRIPTION: INTEREST RATE: 5.0 % MATURITY DATE: 06/	15/2033				
MORGAN STANLEY - JT TEN ⇒ VANCOUVER HSG BE 6650 (921626HA8)	JT	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000	<u> </u>
DESCRIPTION: PARTIAL SALE 02-03-2014 INTEREST RATE: 6	.65 MATUR	ATY DATE: 03/01/202	21		
MORGAN STANLEY - JT TEN $\Rightarrow$ YAKIMA CO WA SCH DIST 208 BOND	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	
DESCRIPTION: INTEREST RATE: 5.00% MATURITY DATE: 12/	01/2026				
MORGAN STANLEY - VICTOR LLC ⇒ 3M Company (MMM)	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	
MORGAN STANLEY - VICTOR LLC ⇒ ATLANTA GA ARPT REV SER A BOND	SP	None	Interest	\$2,501 - \$5,000	<u>~</u>
DESCRIPTION: SALE					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MORGAN STANLEY - VICTOR LLC ⇒ Bristol-Myers Squibb Company (BMY)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	
MORGAN STANLEY - VICTOR LLC ⇒ CASH, MMF	SP	\$250,001 - \$500,000	Interest	\$1 - \$200	
MORGAN STANLEY - VICTOR LLC $\Rightarrow$ ClearBridge American Energy MLP Fund Inc. (CBA)	SP	\$15,001 - \$50,000	NON DIVIDEND DISTRIBUTIONS	\$1,001 - \$2,500	П
MORGAN STANLEY - VICTOR LLC ⇒ DALLAS/FT WORTH TX INTL ARPT BOND  DESCRIPTION: SALE	SP	None	Interest	\$201 - \$1,000	<b>▽</b>
MORGAN STANLEY - VICTOR LLC ⇒ Duke Energy Corporation (DUK)	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
MORGAN STANLEY - VICTOR LLC ⇒ FIRST EAGLE GLOBAL C  DESCRIPTION: DIVIDEND REINVESTMENT	SP	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<b>▼</b>
MORGAN STANLEY - VICTOR LLC ⇒ General Electric Company (GE)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
MORGAN STANLEY - VICTOR LLC ⇒ NACOGDOCHES TX GENL OBLIG BOND  DESCRIPTION: INTEREST RATE: 3.50% MATURITY DATE: 09/4	SP 01/2030	\$15,001 - \$50,000	Interest	\$201 - \$1,000	П
MORGAN STANLEY - VICTOR LLC ⇒ Seadrill Partners LLC Common Units Representing Limited Liability Company Interests (SDLP)	SP	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	
MORGAN STANLEY - VICTOR LLC ⇒ Spectra Energy Corp (SE)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
MORGAN STANLEY - VICTOR LLC ⇒ Tekla Healthcare Investors (HQH)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	
DESCRIPTION: 2014 NAME CHANGE (PRIOR YEAR WAS H & Q	HEALTHO	CARE.			
MORGAN STANLEY - VICTOR LLC ⇒ Unilever PLC (UL)	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
MORGAN STANLEY - VICTOR LLC ⇒ Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD)  DESCRIPTION: SALE OF 1,000 SH VODAFONE GP PLC	SP	None	Capital Gains, Dividends	\$5,001 - \$15,000	<b>▽</b>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MORGAN STANLEY - VICTOR LLC ⇒ WA ST HEALTH CARE FACS AUTH BOND	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	
DESCRIPTION: 93978HDXO INTEREST RATE: 5.00% MATURITY	II DAIE, I	0/01/2042			
MORGAN STANLEY - VICTOR LLC ⇒ WA ST HEALTH CARE FACS AUTH BOND  DESCRIPTION: INTEREST RATE: 5.00% MATURITY DATE: 08/	SP /15/2044	\$15,001 - \$50,000	Interest	\$201 - \$1,000	
DESCRITION. INTEREST RATE. 3.00% MITTORTT DATE. 00/	15/ 2044				
MORGAN STANLEY - VICTOR LLC ⇒ WA ST REV MTR VEH FUEL TAX-D BOND	SP	\$15,001 - \$50,000	Interest	\$201 - \$1,000	
DESCRIPTION: INTEREST RATE: 4.50% MATURITY DATE: 01/0	01/2027				
MORGAN STANLEY SUPER SIMPLE $401(k) \Rightarrow$ CASH, MMF		\$15,001 - \$50,000	Interest	Not Applicable	
MORGAN STANLEY SUPER SIMPLE $401(k) \Rightarrow$ Citigroup, Inc. (C)		\$1,001 - \$15,000	Interest	Not Applicable	
MORGAN STANLEY SUPER SIMPLE $401(k) \Rightarrow$ Comcast Corporation - Class A (CMCSA)		\$50,001 - \$100,000	Dividends	Not Applicable	
MORGAN STANLEY SUPER SIMPLE $401(k) \Rightarrow$ FIRST EAGLE GLOBAL A (SGENX)		\$50,001 - \$100,000	Capital Gains, Dividends	Not Applicable	<u> </u>
DESCRIPTION: DIVIDEND REINVESTMENT					
MORGAN STANLEY SUPER SIMPLE $401(k) \Rightarrow$ Guggenheim China Small Cap Index ETF (HAO)		\$1,001 - \$15,000	Dividends	Not Applicable	
MORGAN STANLEY SUPER SIMPLE 401(k) ⇒ Johnson & Johnson (JNJ)		\$100,001 - \$250,000	Dividends	Not Applicable	
MORGAN STANLEY SUPER SIMPLE $401(k) \Rightarrow$ PowerShares India Portfolio (PIN)		\$1,001 - \$15,000	Interest	Not Applicable	
MORGAN STANLEY SUPER SIMPLE $401(k) \Rightarrow$ Procter & Gamble Company (PG)		\$15,001 - \$50,000	Dividends	Not Applicable	
MORGAN STANLEY SUPER SIMPLE 401(k) ⇒ Starbucks Corporation (SBUX)		\$100,001 - \$250,000	Dividends	Not Applicable	
MORGAN STANLEY SUPER SIMPLE 401(k) ⇒ VALE S.A. American Depositary Shares Each Representing one common share (VALE)		\$1,001 - \$15,000	Dividends	Not Applicable	
MORGAN STANLEY SUPER SIMPLE 401(k) ⇒		\$1,001 - \$15,000	Dividends	Not	<b>▽</b>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Verizon Communications Inc. (VZ)				Applicable	
MORGAN STANLEY SUPER SIMPLE 401(k) ⇒ Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD)		\$1,001 - \$15,000	Dividends	Not Applicable	П
VANGUARD - 403(b) 7 - EQUITY INC FUND	SP	\$100,001 - \$250,000	Capital Gains, Dividends	Not Applicable	
VANGUARD-WINDSOR FUND	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	
WA Dept. of Retirement Systems	SP	Undetermined	Retirement	\$50,001 - \$100,000	
Washington Dept. of Retirement Systems  Description: Defined Benefit Plan		Undetermined	Retirement	None	
WSECU - CASH, CHECKING	JT	\$100,001 - \$250,000	Interest	\$1 - \$200	
WSECU - CERTIFICATE OF DEPOSIT	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	

<sup>\*</sup> Asset class details available at the bottom of this form.

# SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AMERICAN FUNDS		07/7/2014	P	\$400.00	
AMERICAN FUNDS ⇒ AMERICAN FUNDS 529C  DESCRIPTION: COLLEGE FUND FOR R.D. HECK.		01/7/2014	P	\$15,001 - \$50,000	
EVERGLADES ESTATES LP  DESCRIPTION: PARTIAL SALE	JT	08/12/2014	S (partial)	\$15,001 - \$50,000	П
MORGAN STANLEY - JT TEN ⇒ Halyard Health, Inc. (HYH)  DESCRIPTION: STOCK DIVIDEND FROM KMB	JT	10/31/2014	E	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MORGAN STANLEY - JT TEN ⇒ KING CO HSG-I BE 6700 (494759LM3)  DESCRIPTION: PARTIAL SALE	JT	01/1/2014	S (partial)	\$1,001 - \$15,000	
MORGAN STANLEY - JT TEN ⇒ KING TRANS TAX BE 5000 (49474ESU8)	JT	06/2/2014	S	\$15,001 - \$50,000	П
MORGAN STANLEY - JT TEN ⇒ OK DEV FIN BE 5000 (678908ZM9)	JT	02/18/2014	S	\$1,001 - \$15,000	
MORGAN STANLEY - JT TEN ⇒ VANCOUVER HSG BE 6650 (921626HA8)	JT	02/3/2014	S (partial)	\$1,001 - \$15,000	П
MORGAN STANLEY - VICTOR LLC ⇒ ATLANTA GA ARPT REV SER A BOND  DESCRIPTION: SALE OF 60,000 SH	SP	07/1/2014	S	\$50,001 - \$100,000	
MORGAN STANLEY - VICTOR LLC ⇒ DALLAS/FT WORTH TX INTL ARPT BOND  DESCRIPTION: SALE OF 20,000 SH	SP	03/20/2014	S	\$15,001 - \$50,000	П
MORGAN STANLEY - VICTOR LLC ⇒ FIRST EAGLE GLOBAL A (SGENX)  DESCRIPTION: 2014 DIVIDEND REINVESTMENT	SP	12/16/2014	P	\$1,001 - \$15,000	
MORGAN STANLEY - VICTOR LLC ⇒ Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD)  DESCRIPTION: SALE OF 1,000 SH	SP	01/2/2014	S	\$15,001 - \$50,000	<b>V</b>
MORGAN STANLEY SUPER SIMPLE 401(k) ⇒ Verizon Communications Inc. (VZ)		02/21/2014	P	\$1,001 - \$15,000	

 $<sup>\</sup>sp{*}$  Asset class details available at the bottom of this form.

# SCHEDULE C: EARNED INCOME

Source	Туре	Amount
Dept. of Retirement Services - State of Washington	Spouse pension	N/A

# SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Туре	Amount of Liability
	ALASKA AIR VISA	12/2014	CREDIT CARD	\$15,001 - \$50,000
JT	WSECU	11/2011	HOME MORTGAGE	\$250,001 - \$500,000

## **SCHEDULE E: POSITIONS**

None disclosed.

#### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
1973	Myself & The State of Washington	Participation in Retirement Program

### SCHEDULE G: GIFTS

None disclosed.

#### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

#### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### SCHEDULE A AND B ASSET CLASS DETAILS

• AMERICAN FUNDS

LOCATION: WA

Description: 529 College Savings Plan

• MORGAN STANLEY - JT TEN (Owner: JT)

LOCATION: OLYMPIA, WA, US

• MORGAN STANLEY - VICTOR LLC (Owner: SP)

LOCATION: OLYMPIA, WA, US

o MORGAN STANLEY SUPER SIMPLE 401(k)

DESCRIPTION: DENNIS L. HECK 401(k) SUB-TITLED: VIP PLUS INDIVIDUAL 401(K)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

© Yes © No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

C Yes No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Denny Heck, 06/14/2016