



Filing ID #10019077

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Charles Whalen  
**Status:** Congressional Candidate  
**State/District:** NY23

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2017  
**Filing Date:** 12/26/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Generations Bank Accounts	JT	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	\$2,501 - \$5,000
NY State & Local Retirement System	SP	\$15,001 - \$50,000	None		
NYS Deferred Compensation Plan ⇒ Fidelity OTC	SP	\$50,001 - \$100,000	Tax-Deferred		
NYS Deferred Compensation Plan ⇒ MSIF Emerging Markets	SP	\$15,001 - \$50,000	Tax-Deferred		
NYS Deferred Compensation Plan ⇒ Stable Income Fund	SP	\$100,001 - \$250,000	Tax-Deferred		
NYS Deferred Compensation Plan ⇒ T. Rowe Price Equity Income	SP	\$50,001 - \$100,000	Tax-Deferred		
NYS Deferred Compensation Plan ⇒ T. Rowe Price Retirement 2020	SP	\$15,001 - \$50,000	Tax-Deferred		
NYS Deferred Compensation Plan ⇒ Vanguard Wellington Fund	SP	\$50,001 - \$100,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
TIAA-CREF IRA ⇒ CREF Bond Market	SP	\$1,001 - \$15,000	Tax-Deferred		
TIAA-CREF IRA ⇒ CREF Equity Index	SP	\$15,001 - \$50,000	Tax-Deferred		
TIAA-CREF IRA ⇒ CREF Growth	SP	\$1,001 - \$15,000	Tax-Deferred		
TIAA-CREF IRA ⇒ CREF Inflation Linked Bond	SP	\$1,001 - \$15,000	Tax-Deferred		
TIAA-CREF IRA ⇒ CREF Stock	SP	\$15,001 - \$50,000	Tax-Deferred		
TIAA-CREF IRA ⇒ S&P 500 Index Retirement Class	SP	\$1,001 - \$15,000	Tax-Deferred		
TIAA-CREF IRA ⇒ TIAA Traditional	SP	\$15,001 - \$50,000	Tax-Deferred		
TIAA-CREF Mixed ⇒ CREF Bond Market		\$1,001 - \$15,000	Tax-Deferred		
TIAA-CREF Mixed ⇒ CREF Equity Index		\$15,001 - \$50,000	Tax-Deferred		
TIAA-CREF Mixed ⇒ CREF Global Equities		\$15,001 - \$50,000	Tax-Deferred		
TIAA-CREF Mixed ⇒ CREF Growth		\$15,001 - \$50,000	Tax-Deferred		
TIAA-CREF Mixed ⇒ CREF Inflation Linked Bond		\$1,001 - \$15,000	Tax-Deferred		
TIAA-CREF Mixed ⇒ CREF Stock		\$100,001 - \$250,000	Tax-Deferred		
TIAA-CREF Mixed ⇒ S&P 500 Index Fund Retirement Class		\$1,001 - \$15,000	Tax-Deferred		
TIAA-CREF Mixed ⇒ TIAA Traditional		\$100,001 - \$250,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
US Savings Bonds	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	None
DESCRIPTION: Savings bonds					

\* Asset class details available at the bottom of this form.

**SCHEDULE C: EARNED INCOME**

Source	Type	Amount Current Year to Filing	Amount Preceding Year
New York State Department of Health	Spouse Salary	N/A	N/A
Congressional Budget Office	Salary	\$37,193.00	\$153,970.00
Self-employed musician	Performing music	\$1,517.00	\$1,747.00

**SCHEDULE D: LIABILITIES**

None disclosed.

**SCHEDULE E: POSITIONS**

Position	Name of Organization
President-Elect	Association for Evolutionary Economics
Visiting Scholar	The Baldy Center for Law & Social Policy, SUNY Buffalo Law School

**SCHEDULE F: AGREEMENTS**

None disclosed.

**SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

None disclosed.

**SCHEDULE A ASSET CLASS DETAILS**

<ul style="list-style-type: none"> <li>NYS Deferred Compensation Plan (Owner: SP)</li> <li>TIAA-CREF IRA (Owner: SP)</li> <li>TIAA-CREF Mixed</li> </ul>
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**EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Charles Whalen , 12/26/2017