

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

FORM A

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For use by Members, officers, and employees

**HAND DELIVERED**  
LEGISLATIVE RESOURCE CENTER

WILLIAM JAMES PASCARELL

973-523-6152

(Full Name)

(Daytime Telephone)

Filer Status ☒ Member of the U.S. House of Representatives State: NJ District: 8TH

☐ Officer Or Employee Employing Office:

Report Type ☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$50 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

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**SCHEDULE I - EARNED INCOME**

Name WILLIAM JAMES PASCRELL

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
STATE OF NEW JERSEY	PENSION	\$57,147

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name WILLIAM JAMES PASCRELL

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p>		<p>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
	AIG RETIREMENT IRA FIXED INCOME ACCOUNT	\$100,001 - \$250,000	INTEREST	\$2,501 - \$5,000	
SP	AIG RETIREMENT IRA FIXED INCOME ACCOUNT	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
JT	AMERIPRISE CENTURYLINK INC.	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
JT	AMERIPRISE MARLBORO NJ MUA	None	INTEREST	NONE	S
JT	AMERIPRISE MONEY MARKET	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	P
JT	AMERIPRISE NJ BUILDING AUTHORITY BOND	None	INTEREST	NONE	S

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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JT	AMERIPRISE REPUBLIC BANCORP STOCK	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
JT	AMERIPRISE SPRINT NEXTEL STOCK	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
JT	AMERIPRISE TORTOISE ENERGY STOCK	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	FIDELITY INVESTMENTS MAGELLAN	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	FIDELITY INVESTMENTS MAGELLAN	\$100,001 - \$250,000	DIVIDENDS	\$1,001 - \$2,500	
JT	FREEDOM BANK	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
	FREEDOM BANK IRA	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	FREEDOM BANK IRA	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	FREEDOM BANK MONEY MARKET	\$100,001 - \$250,000	INTEREST	\$201 - \$1,000	
SP	HUDSON CITY SAVINGS BANK CERTIFICATE OF DEPOSIT	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
	JACKSON NATIONAL LIFE INSURANCE COMPANY ANNUITY	\$100,001 - \$250,000	INTEREST	\$2,501 - \$5,000	
SP	MERRILL LYNCH BLACKROCK FUNDAMENTAL GROWTH	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	MERRILL LYNCH ML BANK	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	MET LIFE MLI USA VARIABLE ANNUITY SERIES VA	\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500	P
	PRUDENTIAL FINANCIAL ANNUITY	None	INTEREST	NONE	S
SP	SHORE PIRATES LLC	\$250,001 - \$500,000	RENT	NONE	
JT	STATE OF NEW JERSEY	\$250,001 - \$500,000	Other: (Please specify) PENSION	\$50,001 - \$100,000	

JT	STIFEL NICOLAUS FRONTIER COMMUNICATIONS STOCK	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	STIFEL NICOLAUS CASH	\$1 - \$1,000	INTEREST	\$1 - \$200	
JT	STIFEL NICOLAUS NUVEEN NEW JERSEY DIVIDEND ADVANTAGE MUNICIPAL FUNDS II	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

JT	STIFEL NICOLAUS PIMCO MUNICIPAL INCOME FUND	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	TD BANK NORTH CERTIFICATE OF DEPOSIT	None	INTEREST	NONE	S
SP	TD BANK NORTH IRA CERTIFICATE OF DEPOSIT	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	TD BANK NORTH IRA CERTIFICATE OF DEPOSIT	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	TD BANK NORTH IRA CERTIFICATE OF DEPOSIT	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	TD BANK NORTH IRA CERTIFICATE OF DEPOSIT	\$1,001 - \$15,000	INTEREST	\$1 - \$200	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	TRANSAMERICA ANNUITY	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
SP	TRANSAMERICA ANNUITY	\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500	
	TRANSAMERICA ANNUITY	None	INTEREST	NONE	S
SP	TRANSAMERICA ANNUITY	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
JT	UNITED STATES SAVINGS BONDS SERIES E AND EE	\$50,001 - \$100,000	INTEREST	\$2,501 - \$5,000	
	WACHOVIA IRA	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
JT	WELLS FARGO ADVANTAGE FUNDS EVERGREEN MUNICIPAL MONEY MARKET	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	WELLS FARGO CASH	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	WELLS FARGO EVERGREEN LARGE CAP	None	INTEREST	NONE	S
JT	WELLS FARGO PUTNAM NJ TAX EXEMPT	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
	WESTERN NATIONAL LIFE INSURANCE CO	\$500,001 - \$1,000,000	DIVIDENDS	\$15,001 - \$50,000	P

# SCHEDULE IV - TRANSACTIONS

Name WILLIAM JAMES PASCRELL

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	AMERIPRISE MARLBORO NJ MUA	S	No	12/07/11	\$15,001 - \$50,000
JT	AMERIPRISE MONEY MARKET	P	N/A	12/08/11	\$15,001 - \$50,000
JT	AMERIPRISE NJ BUILDING AUTHORITY BOND	S	No	06/15/11	\$15,001 - \$50,000
SP	HUDSON CITY SAVINGS BANK CERTIFICATE OF DEPOSIT	P	N/A	12/11/11	\$1,001 - \$15,000
	MET LIFE MLI USA VARIABLE ANNUITY SERIES VA	P	N/A	12/19/11	\$100,001 - \$250,000
	PRUDENTIAL FINANCIAL ANNUITY	S	No	12/12/11	\$100,001 - \$250,000
JT	TD BANK NORTH CERTIFICATE OF DEPOSIT	S	No	06/30/11	\$50,001 - \$100,000
	TRANSAMERICA ANNUITY	S	No	12/11/11	\$100,001 - \$250,000
JT	WELLS FARGO EVERGREEN LARGE CAP	S	No	11/30/11	\$15,001 - \$50,000
JT	WELLS FARGO PUTNAM NJ TAX EXEMPT	P	N/A	11/30/11	\$15,001 - \$50,000
	WESTERN NATIONAL LIFE INSURANCE CO	P	N/A	0930/11	\$15,001 - \$50,000