



Filing ID #10020930

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. William R. Keating  
**Status:** Member  
**State/District:** MA09

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2017  
**Filing Date:** 05/4/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
271 canton st [RP]	JT	\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: stoughton, MA, US					
american beacon lg. capital [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
AQR Style Premier Alt [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Baird Aggregate Bond Inst [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Black rock Strat Inc Opp [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Boston Partners Global [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Brandes Int SmallCap [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
BrownCapMgt SmallCo [MF]		\$50,001 -	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$100,000			
Com of Mass Retirement [PE]		\$100,001 - \$250,000	See Schedule C	\$100,001 - \$1,000,000	<input type="checkbox"/>
Dodge and Cox Inc [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Double line Ret Bond [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Double line Total Ret [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
fidelity contrafund [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity intermediate Muni Inc [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Real Estate Inc [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
FidelityCash Reserve [MF]		\$1 - \$1,000	Tax-Deferred		<input checked="" type="checkbox"/>
IShare Edge Multifactor USA [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IShares Edge Multifactor Int [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
iShares Trust Currency [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IShares Trust EAFE Gro [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
JP Morgan Core Bond [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Loomis Sayles Bond [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
LSV Sm Cap Val Inst [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Mathew Asian Gro and Inc [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Pear Tree Polaris ForVal [MF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stone Ridge Reinsurance Risk [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Stoneridge All Asset [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Trans America Mid Cap [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TRowePrice MidCap Gro [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
TRowePrice MidCap Gro [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Tweedy Browne Global Val [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
US Airways Retirement [PE]		\$1,001 - \$15,000	See Schedule C	\$5,001 - \$15,000	<input type="checkbox"/>
vanguard 500 index [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Vanguard Balanced Index [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard federal Money Mkt [MF]		\$1 - \$1,000	Tax-Deferred		<input checked="" type="checkbox"/>
Vanguard Intermediate Tax [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard Tax Managed Balanced [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Versus Capital Real Assets [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AQR Style Premier Alt [MF]		03/8/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
Artisan International [MF]		03/8/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
Baird Aggregate Bond Inc [MF]		03/8/2017	P	\$1,001 - \$15,000	
Black rock Strat Inc Opp [MF]		03/8/2017	P	\$15,001 - \$50,000	
Boston Partners Global [MF]		03/8/2017	P	\$1,001 - \$15,000	
Brown capital Mgt Small Co [MF]		03/8/2017	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Dodge and Cox Stock [MF]		03/8/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
Double line Total Return [MF]		03/8/2017	P	\$15,001 - \$50,000	
Fidelity Contra Fund [MF]		03/8/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Real Estate Inc [MF]		03/8/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IShares Edge Multifactor Int [MF]		03/8/2017	P	\$15,001 - \$50,000	
IShares Edge Multifactor USA [MF]		03/8/2017	P	\$50,001 - \$100,000	
IShares Tr Currency [MF]		03/8/2017	P	\$15,001 - \$50,000	
JP Morgan Core Bond [MF]		03/8/2017	P	\$1,001 - \$15,000	
Lazard Global Listed Infrastructur Inst [MF]		03/8/2017	P	\$15,001 - \$50,000	
Lazed Global Listed Infrastructue Inst [MF]		09/20/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
LSV Small Cap Inst [MF]		03/8/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Mathews Asian Growth and Income [MF]	03/8/2017	P	\$1,001 - \$15,000	
Stone Ridge All Asset [MF]	04/3/2017	P	\$15,001 - \$50,000	
Stone Ridge Insurance Risk Premium Interval [MF]	12/11/2017	P	\$1,001 - \$15,000	
Stone Ridge Reinsurance High Yield Risk [MF]	12/11/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Stone Ridge Reinsurance High Yield Risk [MF]	12/15/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
Stone Ridge Reinsurance Risk Premium interval [MF]	12/15/2017	P	\$15,001 - \$50,000	
TRowe Price MidCap Val [MF]	03/8/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Tweedy Browne Global Value [MF]	03/8/2017	P	\$1,001 - \$15,000	
Vanguard Balanced Index [MF]	03/31/2017	P	\$1,001 - \$15,000	
Vanguard Prime Ca [MF]	03/8/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
Vanguard Prime Cap [MF]	03/8/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
vanguard Tax managed Balanced [MF]	10/6/2017	P	\$1,001 - \$15,000	
Versus Capital Real Assets [MF]	09/20/2017	P	\$50,001 - \$100,000	

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Commonwealth of Massachusetts Retirement Plan	Retirement Plan	\$112,693.00
U.S. Airways Retirement Plan	Spouses Retirement	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Sharon Credit Union	Nov. 2016	Residential Mortgage	\$100,001 - \$250,000

### SCHEDULE E: POSITIONS

Position	Name of Organization
Director	Sharon Crdit Union

### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 1999	Commonwealth of Massachusetts / self	Retirement Plan
September 1996	U.S. Airways/spouse	Retirement Plan

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Sharon Credit Union	01/25/2017	01/28/2017	Boston - Vieux - Boston	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. William R. Keating , 05/4/2018