



Filing ID #10000767

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Mr. Steve Cohen
Status: Member
State/District: TN09

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2013
Filing Date: 05/15/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
GOLD BULLION		\$50,001 - \$100,000	None	<input type="checkbox"/>
DESCRIPTION: Gold Bullion				
Stephens Advantage Account ⇒ Aetna Inc. (AET)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ Alpha Natural Resources, inc. comm stock (ANR)		\$1,001 - \$15,000	None	<input type="checkbox"/>
Stephens Advantage Account ⇒ Amgen Inc. (AMGN)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500 <input type="checkbox"/>
Stephens Advantage Account ⇒ AT&T Inc. (T)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500 <input type="checkbox"/>
Stephens Advantage Account ⇒ Barrick Gold Corporation (ABX)		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
Stephens Advantage Account ⇒ Berkshire Hathaway Inc. New (BRK.B)		\$50,001 - \$100,000	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Stephens Advantage Account ⇒ Boeing Company (BA)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ CHATTANOOGA HAMILTON CNTY TENN HOSP AUTH HOSP REV REF ERLANGER HLTH RMKT		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ CHATTANOOGA TENN ELEC REV SER A		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ CHATTANOOGA TENN ELEC REV SER A OID		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ CHATTANOOGA-HAMILTON CNTY TN HOSP AUTH HOSP REV ERLANGER MED CTR-SER A		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Cohen & Steers Total Return Realty Fund, Inc. (RFI)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ Comcast Corporation - Class A (CMCSA)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ COVENTRY HEALTH CARE INC DESCRIPTION: COVENTRY HEALTH INC was acquired by AETNA		None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Daimler AG (DDAIF)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ DDR Corp. (DDR)		\$1,001 - \$15,000	Dividends, Non-Dividend Distributions	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ DIRECTV (DTV)		\$15,001 - \$50,000	None		<input type="checkbox"/>
Stephens Advantage Account ⇒ Dominion Resources, Inc. Series A 8.375% Enhanced Junior Subordinated Notes (DRU)		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ FedEx Corporation (FDX)		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ First Horizon National Corporation (FHN)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Stephens Advantage Account ⇒ Fluor Corporation (FLR)		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ General Electric Company (GE)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ GlaxoSmithKline PLC (GSK)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ GREENE CNTY TENN REF RURAL SCH SER C GEN OBLIG UNLTD		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ GREENVILLE TENN REF & IMPT GO UT SUBJ TO ALT MIN TAX OID		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ HALLSDALE POWELL UTIL DIST KNOX CTY TN WTR & SWR REV REF SER A SPU		None	Interest	\$1 - \$200	<input type="checkbox"/>
Stephens Advantage Account ⇒ HAMBLENTY TENN GEN OBLIG UNLTD BANK QUALIFIED		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Stephens Advantage Account ⇒ HARPETH VY TN UTIL DIST DAVIDSON & WMSN CNTYS RFDG-SER A		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ HENDERSONVILLE TENN UTIL DIST WTRWKS & SWR REV		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Hudson City Bancorp, Inc. (HCBK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stephens Advantage Account ⇒ International Business Machines Corporation (IBM)		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ JOHNSON CITY TENN HLTH & EDL FACS BRD HOSP REV RFG & IMPT MED CTR HOSP ESC TO MTY		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ JP MORGAN CHASE CAP X DIV		None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
DESCRIPTION: Full call of bond 7/1/2013				
Stephens Advantage Account ⇒ JPMORGAN CHASE & CO 8.265% PERP		None	None	<input checked="" type="checkbox"/>
DESCRIPTION: Full call of bond on 9/1/2013				
Stephens Advantage Account ⇒ Marriott International - Class A (MAR)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ MAURY CNTY TENN SCH & PUB IMPT GEN OBLIG UNLTD		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ MEMPHIS TENN ELEC SYS REV SUB SER A OID		\$1,001 - \$15,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ MEMPHIS TN ELEC SYS REV REF-SUB MAND ERP		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ MEMPHIS TN GEN IMPT GO UNLT UNLTY		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ MEMPHIS-SHELBY CO TN ARPT AUTH SPL FACS REV FEDERAL EXP CORP-REFUNDING (AC3)		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500 <input type="checkbox"/>
Stephens Advantage Account ⇒ Merck & Company, Inc. Common Stock (MRK)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ MET GOVT NASHVILLE & DAVIDSON CNTY TENN ELEC REV CAP APPREC-SER (TF7)		None	Interest	\$1 - \$200 <input type="checkbox"/>
Stephens Advantage Account ⇒ MET GOVT NASHVILLE & DAVIDSON CNTY TN ELEC REV CAP APPREC SER A		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500 <input type="checkbox"/>
Stephens Advantage Account ⇒ MET GOVT NASHVILLE & DAVIDSON CNTY TN H&E FACS BRD REV HOSP CHARITY		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ MURFREESBORO TENN REF ELEC SYS		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ NEXEN INC		None	None	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Full call of bond on 3/28/2013					
Stephens Advantage Account ⇒ Northrop Grumman Corporation (NOC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Pepsico, Inc. (PEP)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Pfizer, Inc. (PFE)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ PUTNAM CNTY TENNESSEE PUB IMPT GO ULT XLCA INS		None	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ ROBERTSON CNTY TENN SCH & PUB IMPT GEN OBLIG UNLTD (G78)		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ ROBERTSON CNTY TENN SCH & PUB IMPT GEN OBLIG UNLTD (K32)		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ RUTHERFORD CNTY TN GO UNLT		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ RUTHERFORD CNTY, TN - ULT GO - REF/SCH PREREFUNDED		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ SAVANNAH VLY TN UTIL DIST WTRWKS REV REF-SER A		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ SHELBY CNTY REF-CABS GEN OBLIG UNLTD ZERO CPN (YX4)		None	Capital Gains, Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ SHELBY CNTY TENN HEALTH EDL & HSG FACS BRD REV ST JUDES CHILDRENS RESH HOSP OPT ERP (XF1)		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ SHELBY CNTY TN HEALTH EDL & HSG FAC BRD REV BAPT MEM HLTH SER 2004A		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒		\$15,001 - \$50,000	Interest	\$201 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SHELBY CNTY TN HLTH EDL & HSG FACS BRD REV ST JUDES CHILDRENS RESH HOSP AMER LBNS SYR ASSC				\$1,000	
Stephens Advantage Account ⇒ SHELBY CO TN HLTH EDUCTNL & HSG FACS BRD REV METHODIST LE BONHEUR HLTHCARE OPT ERP		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ SULLIVAN CNTY TN HEALTH EDL & HSG FACS BRD REV REF HOS		None	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ TENN HSG DEV AGY MTG FIN SER A OPT ERP (VD9)		None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE ENERGY ACQUISITION CORP GAS REV SER A MAND ERP M-W CALL		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HOUSING DEV AGENCY HSG FIN PROG BDS SER 2009 1-A (MS8)		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HOUSING DEV AGENCY HSG FIN PROG BDS SERS 2009 A-1 (MJ8)		None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY AMT HOMEOWNERSHIP PG GO OF AGY OPT (P22)		None	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY HOMEOWNER-SHIP PROGG 1-A-NON AMT NON ACE		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY HSG FIN PROG SER A-1 XRDNRY RDM PROV		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY HSG FIN PROG-SER A-1 ERP		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE ST HSG DEV AGY NON AMT NON ACE HOMEOWNERSHIP PROG SER 1C GO OF AGY		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ TN HSG DEV AGY AMT HOMEOWNERSHIP PG ERP GO		None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
OF AGY (P48)					
Stephens Advantage Account ⇒ TN HSG DEV AGY AMT HOMEOWNERSHIP PG ISSUE 1 ERP GO OF AGY SBJ AMT (5C2)		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ TN HSG DEV AGY AMY HOMEOWNERSSHIP PG AMT GO OF AGY (P71)		None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ TN HSG DEV AGY HOMEOWNERSHIP PROG 1B OPT/MAND ERP GO OF AGY (QL9)		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TN HSG DEV AGY HOMEOWNERSHIP PROG SER 1B OPT ERP GO OF AGY (3C4)		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ TULLAHOMA TENN BROADBAND NETWORK GEN OBLIG UNLTD		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ United States Steel Corporation (X)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Western Union Company (WU)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stephens Roth IRA ⇒ Berkshire Hathaway Inc. New (BRK.B)		\$15,001 - \$50,000	None		<input type="checkbox"/>
Stephens Roth IRA ⇒ Boeing Company (BA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stephens Roth IRA ⇒ Eli Lilly and Company (LLY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Roth IRA ⇒ Federated Auto Govt Money Trust		\$1,001 - \$15,000	None		<input type="checkbox"/>
Stephens SEP IRA ⇒ A M R CORP ESCROW		\$1,001 - \$15,000	None		<input type="checkbox"/>
Stephens SEP IRA ⇒ AT&T Inc. (T)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Stephens SEP IRA ⇒ Berkshire Hathaway Inc. New (BRK.B)		\$50,001 - \$100,000	None		<input type="checkbox"/>
Stephens SEP IRA ⇒ Carnival Corporation (CCL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stephens SEP IRA ⇒ Chevron Corporation (CVX)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens SEP IRA ⇒ Coca-Cola Company (KO)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Cohen & Steers Quality Income Realty Fund Inc Common Shares (RQI)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens SEP IRA ⇒ Duke Energy Corporation (DUK)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Eli Lilly and Company (LLY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Emergent Biosolutions, Inc. (EBS)		\$15,001 - \$50,000	None		<input type="checkbox"/>
Stephens SEP IRA ⇒ Exxon Mobil Corporation (XOM)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Federated Auto Govt Money Trust		\$15,001 - \$50,000	None		<input type="checkbox"/>
Stephens SEP IRA ⇒ First Horizon National Corporation (FHN)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens SEP IRA ⇒ General Electric Company (GE)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ HCP, Inc. (HCP)		\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Host Hotels & Resorts, Inc. (HST)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Hudson City Bancorp, Inc. (HCBK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Stephens SEP IRA ⇒ Ingram Micro Inc. (IM)		\$1,001 - \$15,000	None		<input type="checkbox"/>
Stephens SEP IRA ⇒ International Business Machines Corporation (IBM)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens SEP IRA ⇒ Microsoft Corporation (MSFT)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens SEP IRA ⇒ Raytheon Company (RTN)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Regions Financial Corporation (RF)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Schlumberger N.V. (SLB)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Sony Corporation (SNE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stephens SEP IRA ⇒ Spectra Energy Corp (SE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stephens SEP IRA ⇒ Tim Hortons Inc. Common Shares (THI)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Time Warner Cable Inc (TWC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stephens SEP IRA ⇒ Time Warner Inc. New (TWX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stephens SEP IRA ⇒ Transocean Ltd (RIG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stephens SEP IRA ⇒ Walt Disney Company (DIS)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Weyerhaeuser Company (WY)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
TN 401k ⇒ Calvert Income I		\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
TN 401k ⇒ Fidelity Contrafund		\$15,001 - \$50,000	None	<input type="checkbox"/>
TN 401k ⇒ Fidelity OTC Portfolio		\$15,001 - \$50,000	None	<input type="checkbox"/>
TN 401k ⇒ Fidelity Puritan Fund		\$100,001 - \$250,000	None	<input type="checkbox"/>
TN 401k ⇒ Nationwide Bank Account		\$15,001 - \$50,000	None	<input type="checkbox"/>
TN 457 ⇒ Calvert Income I		\$1,001 - \$15,000	None	<input type="checkbox"/>
TN 457 ⇒ Fidelity Contrafund		\$100,001 - \$250,000	None	<input type="checkbox"/>
TN 457 ⇒ Nationwide Bank Account		\$1,001 - \$15,000	None	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Stephens Advantage Account ⇒ COVENTRY HEALTH CARE INC		09/3/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US					
Stephens Advantage Account ⇒ COVENTRY HEALTH CARE INC		05/7/2013	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: US					
DESCRIPTION: COVENTRY HEALTH was acquired by AETNA for cash and stock.					
Stephens Advantage Account ⇒ HALLSDALE POWELL UTIL DIST KNOX CNTY TN WTR & SWR REV REF SE		04/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ JP MORGAN CHASE CAP X DIV		05/8/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Full call of bond					

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Stephens Advantage Account ⇒ JPMORGAN CHASE & CO 8.625% PERP DESCRIPTION: FULL CALL OF BOND	09/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ MET GOVT NASHVILLE & DAVIDSON CNTY TENN ELEC REV CAP APPREC-SER (TF7)	05/15/2013	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ NEXEN INC DESCRIPTION: Full call of bond	03/28/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ PUTNAM CNTY TENNESSEE PUB IMPT GO ULT XLCA INS	03/18/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ SHELBY CNTY TN REF-CABS GEN OBLIG UNLTD ZERO CPN (YX4)	08/1/2013	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ SHELBY CO TN HLTH EDUCTNL & HSG FACS BRD REV METHODIST LE BONHEUR HLTHCARE OPT ERP	12/16/2013	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ SULLIVAN CNTY TN HEALTH EDL & HSG FACS BRD REV HOSP WELL	09/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENN HSG DEV AGY MTG FIN SER A OPT ERP (VD9)	07/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HOUSING DEV AGENCY HSG FIN PROG BDS SER 2009 1-A (MS8)	07/1/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HOUSING DEV AGENCY HSG FIN PROG BDS SERS 2009 A-1 (MJ8)	07/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY AMT HOMEOWNERSHIP PG GO OF AGY OPT (P22)	01/2/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TN HSG DEV AGY AMT HOMEOWNERSHIP PG AMT GO	07/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
OF AGY (P71)					
Stephens Advantage Account ⇒ TN HSG DEV AGY AMT HOMEOWNERSHIP PG AMT GO OF AGY (P71)		04/1/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TN HSG DEV AGY AMT HOMEOWNERSHIP PG ERP GO OF AGY (P48)		07/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TN HSG DEV AGY AMT HOMEOWNERSHIP PG ISSUE 1 ERP GO OF AGY SBJ AMT (5C2)		01/2/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TN HSG DEV AGY HOMEOWNERSHIP PROG SER 1B OPT ERP GO OF AGY (3C4)		01/2/2013	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
State of Tennessee	Legislative Pension	\$24,204.12

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Circuit Playhouse

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2007	State of Tennessee	Pension annuity for service in the state legislature
January 2007	State of Tennessee	Health insurance received as retirement benefit from service in the state legislature.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Stephens Advantage Account
LOCATION: US
- Stephens Roth IRA
- Stephens SEP IRA
- TN 401k
- TN 457

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Steve Cohen , 05/15/2014