

UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT

FORM B
For New Members, Candidates, and New Employees

Name: Noel D. Collins

Daytime Telephone: _____

FEB 08 2020
2020 FEB 10 AM 10:47

FILER STATUS	<input checked="" type="checkbox"/> New Member of or Candidate for U.S. House of Representatives	State: <u>Minnesota</u> District: <u>7</u>	<input type="checkbox"/> Check if Amendment
	Candidates - Date of Election: <u>11-3-2020</u>		
FILER STATUS	<input type="checkbox"/> New Officer or Employee	Staff Filer Type (if Applicable): <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant	Period Covered: January 1, <u>2018</u> to <u>5 Apr 30, 2019</u>

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

(Office Use Only)

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

<p>A. Did you, your spouse, or your dependent child:</p> <p>a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u></p> <p>b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>
<p>C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"
THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

<p>TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p>EXEMPTION - Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
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Page 2 of 7[illegible]

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SCHEDULE D - LIABILITIES

Name: Noel D. Collis

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. New Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/16	Mortgage on Rental Property, Dover, DE				X							
	Paul Collis	4/2015	Contract on C-Store				X							

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report positions held in the reporting period and the current calendar year. First-year candidates and new employees report positions held in the current calendar year and two previous years.

Position	Name of Organization
OWNER	Collis C Store + BAKERY
OWNER	DR. Collis(Medical Practice)

SCHEDULE F - AGREEMENTS

11

Name: Noel D. Collis

Page 6 of 7

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
	NONE	

SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

Source (Name and City/State)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting Services
NONE	

Name: Noel D. Collins

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Statement Date Dec 1 - Dec 31, 2018

Page 1 of 4

12-31-18

Noel D Collis

We're Here to Serve

Your client team is dedicated to understanding what's important to you, providing personalized strategies to help you achieve your goals, and partnering with you to keep you on track. Your financial advisor can help with your investment-related needs, and your branch office administrator can help with your administrative needs. Don't hesitate to contact them with questions.

Single, TOD

Account Value

\$705,265.78

1 Month Ago	\$799,168.85
1 Year Ago	\$1,050,530.47
3 Years Ago	\$799,301.30
5 Years Ago	\$1,442,436.04

Value Summary

	This Period	This Year
Beginning value	\$799,168.85	\$1,050,530.47
Assets added to account	0.00	0.00
Assets withdrawn from account	0.00	-198,314.11
Fees and charges	0.00	-50.17
Change in value	-93,903.07	-146,900.41
Ending Value	\$705,265.78	

For more information regarding the Value Summary section, please visit www.edwardjones.com/mystatementguide.

Rate of Return

Your Personal Rate of Return for Assets Held at Edward Jones	This Quarter	Year to Date	Last 12 Months	3 Years Annualized	5 Years Annualized
	-22.95%	-15.46%	-15.46%	62.54%	6.08%

Your Personal Rate of Return: Your Personal Rate of Return measures the investment performance of your account. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing Your Personal Rate of Return is important to help ensure you're on track to achieving your financial goals.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2009. This also includes investments you owned during this time period but have since sold. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit www.edwardjones.com/rateofreturn.

Attachments Page 1



Statement Date Dec 1 - Dec 31, 2018

Page 2 of 4

Asset Details (as of Dec 31, 2018)

additional details at www.edwardjones.com/accounts

Assets Held At Edward Jones

	Beginning Balance	Activity	Ending Balance
Money Market 1.77%*	19,647.53		24,839.58
Total Deposits		5,200.11	
Total Withdrawals		-8.06	

* The average yield on the money market fund for the past seven days.

Stocks	Price	Quantity	Value
Contrafact Corp	1.53	9,783	14,967.99
Electromed Inc	5.09	114,881	584,744.29
Ensco INTL PLC New Cl A Ord	3.56	807,60185	2,875.06
Eog Resources Inc	87.21	103,03808	8,985.95
McDermott International Inc	6.54	1,930	12,622.20
Medicines Co	19.14	500	9,570.00
Oasis Petroleum Inc New	5.53	750	4,147.50
Total SA Sponsored ADR	52.18	414,04341	21,604.79

Mutual Funds	Price	Quantity	Value
Integrity Will Mid-N Amer A	3.76	5,560.749	20,908.42

Total Account Value **\$705,265.78**

Summary of Realized Gain/Loss

	This Year
Short Term (assets held 1 year or less)	\$0.00
Long Term (held over 1 year)	45,004.12
Total	\$45,004.12

Summary totals may not include proceeds from uncosted securities or certain corporate actions.

Investment and Other Activity by Date

Date	Description	Quantity	Amount
12/14	Dividend on Ensco INTL PLC New Cl A Ord on 805.62486 Shares @ 0.01		\$8.06
12/17	Reinvestment into Ensco INTL PLC New Cl A Ord @ 4.0769 Reinvestment Fee \$0.00	1.97699	-8.06
12/21	Sell Electromed Inc @ 5.2883	-1,000	5,151.14
12/28	Dividend on Integrity Will Mid-N Amer A on 5,527.38 Shares @ 0.022		124.80

Page 2



Investment and Other Activity by Date (continued)

Date	Description	Quantity	Amount
12/28	Reinvestment into Integrity Will Mid-N Amer A @ 3.74	33.369	-124.80

Money Market Detail by Date

Beginning Balance on Dec 1					\$19,647.53
Date	Transaction	Description	Deposits	Withdrawals	Balance
12/17	Deposit		8.06		\$19,655.59
12/18	Withdrawal			-8.06	\$19,647.53
12/21	Deposit		5,151.14		\$24,798.67
12/31	Income	Dividend on Money Market for 41 Days @ 1.63%	40.91		\$24,839.58
Total			\$5,200.11	-\$8.06	
Ending Balance on Dec 31					\$24,839.58

Open Orders

Date	Description	Price
10/18	Open sell of Electromed Inc 1,000.00 shares as of 10/18/2018	\$7.00 LMT
11/05	Open sell of Electromed Inc 736.00 shares as of 11/5/2018	6.75 LMT

Your Relationship and Mailing Group(s)

Relationship - You have asked us to combine the accounts listed below for planning purposes as we work with you to achieve your financial goals. This means that information about these accounts and your goals and objectives may be shared with and accessible by each owner and authorized party in the relationship, including through Edward Jones Online Access and Edward Jones reports.

Mailing Group - You have also asked us to combine certain information about the accounts listed below into the mailing group(s) below for delivery purposes. Information for accounts within the same mailing group may be included in one envelope and mailed to the mailing group address. We may still send certain information directly to the account owners, as we believe appropriate.

Account Number	Account Owner(s)	Account Type	Mailing Group Address
	Noel D Collis	Single Account	P 302 First Street S E Little Falls, MN 56345
	Noel D Collis	Simplified Employee Pension Account Select	

Page 3



Statement Date Aug 31 - Sep 27, 2019

Page 1 of 4

9-27-19

Noel D Collis

Easy Access to Our Latest Market Guidance

We're committed to providing you with the information you need to make informed decisions. Your financial advisor has instant access to more than 5,000 companies and detailed recommendations on the nearly 300 stocks our Research analysts follow. He or she can also offer timely information on a variety of topics, including economic updates, market conditions and industry and company reports. To learn more, contact your financial advisor or visit edwardjones.com/guidance.

Single, TOD

Account Value

\$819,982.29

1 Month Ago	\$733,174.58
1 Year Ago	\$952,356.66
3 Years Ago	\$1,282,036.64
5 Years Ago	\$834,486.70

Value Summary

	This Period	This Year
Beginning value	\$733,174.58	\$705,265.78
Assets added to account	0.00	0.00
Assets withdrawn from account	-8,526.96	-39,158.00
Fees and charges	0.00	0.00
Change in value	95,334.67	153,874.51
Ending Value	\$819,982.29	

For more information regarding the Value Summary section, please visit www.edwardjones.com/mystatementguide.

Rate of Return

Your Personal Rate of Return for Assets Held at Edward Jones	This Quarter	Year to Date	Last 12 Months	3 Years Annualized	5 Years Annualized
	14.09%	22.34%	-10.34%	10.14%	28.73%

Your Personal Rate of Return: Your Personal Rate of Return measures the investment performance of your account. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing Your Personal Rate of Return is important to help ensure you're on track to achieving your financial goals.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2009. This also includes investments you owned during this time period but have since sold. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

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For the most current information, contact your financial advisor or visit www.edwardjones.com/rateofreturn.

Page 4



Asset Details (as of Sep 27, 2019)

additional details at www.edwardjones.com/access

Assets Held At Edward Jones

	Beginning Balance	Activity	Ending Balance
Money Market 1.35%*	4,979.67		43,889.82
Total Deposits		47,237.21	
Total Withdrawals		-8,526.96	

* The average yield on the money market fund for the past seven days.

Stocks	Price	Quantity	Value
Contrafact Corp	0.37	9,783	3,619.71
Electromed Inc	6.55	105,145	688,699.75
Eog Resources Inc	74.98	103.85066	7,786.72
McDermott International Inc	2.00	1,930	3,860.00
Medicines Co	48.20	500	24,100.00
Oasis Petroleum Inc New	3.46	750	2,595.00
Total SA Sponsored ADR	51.86	425.35079	22,058.69
Valaris PLC	4.93	202	995.86

Mutual Funds	Price	Quantity	Value
Integrity Will Mid-N Amer A	4.06	5,580.749	22,576.64

Total Account Value **\$819,982.29**

Summary of Realized Gain/Loss

	This Year
Short Term (assets held 1 year or less)	\$0.00
Long Term (held over 1 year)	39,537.90
Total	\$39,537.90

Summary totals may not include proceeds from uncosted securities or certain corporate actions.

Investment and Other Activity by Date

Date	Description	Quantity	Amount
9/04	Sell Electromed Inc @ 5.70	-1,000	\$5,552.55
9/04	Transfer to 9081671910		-8,526.96
9/06	Sell Electromed Inc @ 5.84	-1,000	5,689.05
9/13	Sell Electromed Inc @ 6.193	-1,000	6,060.36
9/13	Sell Electromed Inc @ 6.11	-510	3,046.68
9/13	Sell Electromed Inc @ 6.10	-373	2,224.49

Page 5



Statement Date Aug 31 - Sep 27, 2019

Page 3 of 4

Investment and Other Activity by Date (continued)

Date	Description	Quantity	Amount
9/13	Sell Electromed Inc @ 6.05	-117	691.39
9/20	Sell Electromed Inc @ 6.2611	-1,000	6,100.93
9/25	Sell Electromed Inc @ 6.3176	-1,000	6,156.30
9/27	Sell Electromed Inc @ 7.00	-1,000	6,848.86
9/27	Sell Electromed Inc @ 6.75	-736	4,854.67

Money Market Detail by Date

Beginning Balance on Aug 31					\$4,979.67
Date	Transaction	Description	Deposits	Withdrawals	Balance
9/04	Deposit		5,552.55		\$10,532.22
9/04	Withdrawal	Money Market Sale		-8,526.96	\$2,005.26
9/06	Deposit		5,689.05		\$7,694.31
9/13	Deposit		12,022.92		\$19,717.23
9/20	Deposit		6,100.93		\$25,818.16
9/20	Income	Dividend on Money Market for 33 Days @ 1.54%	11.93		\$25,830.09
9/25	Deposit		6,156.30		\$31,986.39
9/27	Deposit		11,703.53		\$43,689.92
Total			\$47,237.21	-\$8,526.96	
Ending Balance on Sep 27					\$43,689.92

Pending Trades

Date	Description	Settlement Date	Total Amount
9/26	Pending sell of Electromed Inc 75.00 shares @ 7.00	9/30/2019	\$470.05

Open Orders

Date	Description	Price
9/26	Open sell of Electromed Inc 925.00 shares as of 9/26/2019	\$7.00 LMT

Page 6



Statement Date Oct 27 - Dec 31, 2018

Page 1 of 6

12-31-18

Edward Jones Trust Co As Cust
Noel Collis MD
FBO Noel D Collis SEP

One Strategy, Many Choices

It's good to have choices. With Edward Jones, you choose the account options that fit your goals and how you communicate with your client team. No matter which options you choose, you and your financial advisor will work together to develop a strategy to help you work toward achieving your long-term goals. Talk with your financial advisor today to discuss your choices and which may be best-suited to you.

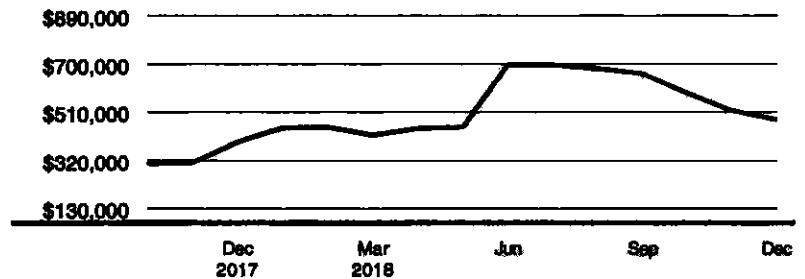
Simplified Employee Pension - Select Custodian: Edward Jones Trust Company Portfolio Objective - Account: All Equity Focus

Account Value

\$480,622.20

1 Month Ago	\$515,862.68
1 Year Ago	\$393,298.67
3 Years Ago	\$0.00
5 Years Ago	\$0.00

Value of Your Account



Value Summary

	This Period	This Year
Beginning Value	\$586,191.43	\$393,298.67
Assets Added to Account	0.00	0.00
Assets Withdrawn from Account	0.00	-311.31
Fees and Charges	0.00	-0.15
Change in Value	-105,569.23	87,634.99
Ending Value	\$480,622.20	

For more information regarding the Value Summary section, please visit www.edwardjones.com/mystatementguide.

Rate of Return

Your Personal Rate of Return for Assets Held at Edward Jones	This Quarter	Year to Date	Last 12 Months	3 Years Annualized	5 Years Annualized
	-27.48%	22.28%	22.28%	—	—

Page 7



Rate of Return (continued)

Your Personal Rate of Return: Your Personal Rate of Return measures the investment performance of your account. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing Your Personal Rate of Return is important to help ensure you're on track to achieving your financial goals.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2009. This also includes investments you owned during this time period but have since sold. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

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For the most current information, contact your financial advisor or visit www.edwardjones.com/rateofreturn.

Asset Details (as of Dec 31, 2018)

additional details at www.edwardjones.com/access

Assets Held At Edward Jones

	Beginning Balance	Deposits	Withdrawals	Ending Balance
Retirement Money Market 1.75%*	\$92,990.49	\$271.14	-\$21,975.92	\$71,285.71

* The average yield on the money market fund for the past seven days.

Stocks	Price	Quantity	Value	Rate of Return*
Acadia Pharmaceuticals Inc Symbol: ACAD Asset Category: Growth Research Rating: None	16.17	1,000	16,170.00	-16.26%
Anthera Pharmaceuticals Inc Symbol: ANTH Asset Category: Aggressive Research Rating: None	0.026	125	3.25	-96.87%
Canadian National Railway Co Symbol: CNI Asset Category: Growth & Income Research Rating: Hold Estimated Yield: 1.80%	74.11	542.51789	40,208.00	-5.96%
Esperion Therapeutics Inc Symbol: ESPR Asset Category: Growth Research Rating: None	46.00	600	27,600.00	0.58%
Madrigal Pharmaceuticals Inc Symbol: MDGL Asset Category: Growth Research Rating: None	112.72	900	101,448.00	—
McDermott International Inc Symbol: MDR Asset Category: Growth Research Rating: None	6.54	3,000	19,620.00	-15.56%

Page 8



Asset Details (continued)

Stocks	Price	Quantity	Value	Rate of Return*
Nektar Therapeutics Symbol: NKTR Asset Category: Growth Research Rating: None	32.87	1,000	32,870.00	-40.98%
Osisko Gold Royalties Limited Symbol: OR Asset Category: Growth Research Rating: None Estimated Yield: 1.73%	8.78	1,500	13,170.00	18.46%
Sangamo Therapeutics Inc Symbol: SGMO Asset Category: Growth Research Rating: None	11.48	2,000	22,960.00	-32.00%
Seabridge Gold Inc Symbol: SA Asset Category: Growth Research Rating: None	13.23	1,500	19,845.00	12.36%
Suncor Cda Inc New Symbol: SU Asset Category: Growth & Income Research Rating: Buy Estimated Yield: 3.78%	27.97	1,360.73272	38,059.69	-9.97%
Torex Gold Resources Inc Common Symbol: TORXF Asset Category: Growth Research Rating: None	9.52	2,500	23,800.00	36.57%
Total S A Sponsored ADR Symbol: TOT Asset Category: Growth & Income Research Rating: Buy Estimated Yield: 5.66%	52.18	357.70982	18,685.30	1.90%
Transocean Ltd Symbol: RIG Asset Category: Growth Research Rating: None	6.94	2,747.54131	19,067.94	-27.35%
Williams Companies Inc Symbol: WMB Asset Category: Growth & Income Research Rating: Hold Estimated Yield: 6.17%	22.05	634.07312	13,981.31	-14.68%

Page 9



Asset Details (continued)

Stocks	Price	Quantity	Value	Rate of Return*
Ziopharm Oncology Symbol: ZIOP Asset Category: Aggressive Research Rating: None	1.87	1,000	1,870.00	-42.49%

Estimated Yield

The Estimated Yield (EY) in the preceding section compares the anticipated earnings on your investments in the coming year to the current price of the investments. It is based on past interest and dividend payments made by the securities held in your account. Changes in the price of a security over time or in the amount of the investment held in your account will cause the EY to vary. The EY is only an estimate and cannot be guaranteed by Edward Jones or the issuers of the securities. Your actual yield may be higher or lower than the estimated amounts. Estimates for any securities that have a return of principal or capital gain may be overstated. Income cannot be estimated for any securities that do not have an annual payment amount or frequency available at the time of estimation. Yield to Maturity is typically reported for Zero Coupon Bonds as these securities do not have an annual payment.

The Edward Jones' Research Rating referenced in this document does not take into account your particular investment profile and is not intended as an express recommendation to purchase, hold or sell particular securities, financial instruments or strategies. You should contact your Edward Jones financial advisor before acting upon the Edward Jones Research Rating referenced. You can access full research reports including additional disclosures via Online Access or from your financial advisor.

Total Account Value	\$480,622.20
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*Your Rate of Return for each individual asset above is as of December 31, 2018. Returns greater than 12 months are annualized.

Your Rate of Return in the Asset Details section above measures the investment performance of each of your individual assets. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing your Rate of Return is important to help ensure you're on track to achieving your financial goals.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2009. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

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For the most current information, contact your financial advisor or visit www.edwardjones.com/performance

Retirement Summary

	This Period	Cumulative
2018 Contributions	\$0.00	\$0.00
2017 Contributions	0.00	0.00

Page 10



Investment and Other Activity by Date

Date	Description	Quantity	Amount
12/11	Buy McDermott International Inc @ 7.5162	1,000	-\$7,701.47
12/21	Buy McDermott International Inc @ 6.9899	2,000	-14,274.45
12/24	Dividend on Suncor Cda Inc New on 1,347.301 Shares @ 0.264747		356.69
12/24	Reinvestment into Suncor Cda Inc New @ 26.5558 Reinvestment Fee \$0.00	13.43172	-356.69
12/28	Dividend on Canadian National Railway Co on 540.0807 Shares @ 0.333536		180.14
12/28	Reinvestment into Canadian National Railway Co @ 73.913 Reinvestment Fee \$0.00	2.43719	-180.14
12/31	Dividend on Williams Cos Inc on 623.82951 Shares @ 0.34		212.10
12/31	Reinvestment into Williams Cos Inc @ 20.7056 Reinvestment Fee \$0.00	10.24361	-212.10

Retirement Money Market Detail by Date

Beginning Balance on Oct 27					\$92,990.49
Date	Transaction	Description	Deposits	Withdrawals	Balance
11/20	Income	Dividend on Retirement Money Market for 29 Days @ 1.53%	113.15		\$93,103.64
12/11	Withdrawal			-7,701.47	\$85,402.17
12/21	Withdrawal			-14,274.45	\$71,127.72
12/31	Income	Dividend on Retirement Money Market for 41 Days @ 1.62%	157.99		\$71,285.71
Total			\$271.14	-\$21,975.92	
Ending Balance on Dec 31					\$71,285.71

Custodian: Edward Jones Trust Company

This Edward Jones brokerage statement also serves as the Edward Jones Trust Company custodial account statement. No other account statement will be provided by Edward Jones Trust company for the period of time reflected on this statement.

Your Relationship and Mailing Group(s)

Relationship - You have asked us to combine the accounts listed below for planning purposes as we work with you to achieve your financial goals. This means that information about these accounts and your goals and objectives may be shared with and accessible by each owner and authorized party in the relationship, including through Edward Jones Online Access and Edward Jones reports.

Mailing Group - You have also asked us to combine certain information about the accounts listed below into the mailing group(s) below for delivery purposes. Information for accounts within the same mailing group may be included in one envelope and mailed to the mailing group address. We may still send certain information directly to the account owners, as we believe appropriate.

Account Number	Account Owner(s)	Account Type	Mailing Group Address
	Noel D Collis	SEP	

Page 11



Edward Jones Trust Co As Cust
 Noel Collis MD
 FBO Noel D Collis SEP

A History of Serving You

Founded in 1922, Edward Jones has grown from 304 branch offices in 1980 to nearly 15,000 offices in the U.S. and through our affiliate in Canada. Today, we are the nation's largest financial services firm in terms of branch offices, and more than 7 million clients benefit from our highly personal approach to investing. The team at your Edward Jones office is supported by professionals at our headquarters dedicated to developing advice and guidance to help you reach your goals.

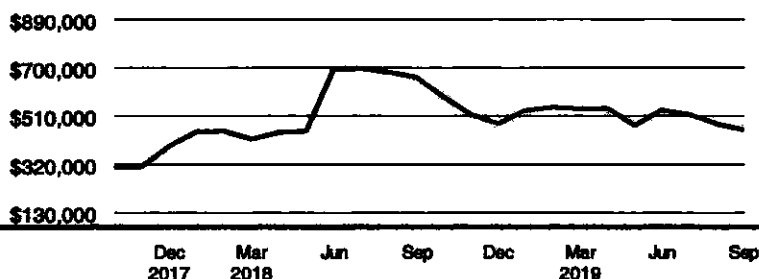
Simplified Employee Pension - Select Custodian: Edward Jones Trust Company Portfolio Objective - Account: All Equity Focus

Account Value

\$455,934.22

1 Month Ago	\$480,129.22
1 Year Ago	\$662,768.03
3 Years Ago	\$0.00
5 Years Ago	\$0.00

Value of Your Account



Value Summary

	This Period	This Year
Beginning Value	\$515,955.38	\$480,622.20
Assets Added to Account	0.00	0.00
Assets Withdrawn from Account	0.00	-232.43
Fees and Charges	0.00	0.00
Change in Value	-60,021.16	-24,455.55
Ending Value	\$455,934.22	

For more information regarding the Value Summary section, please visit www.edwardjones.com/mystatementguide.

Rate of Return

Your Personal Rate of Return for Assets Held at Edward Jones	This Quarter	Year to Date	Last 12 Months	3 Years Annualized	5 Years Annualized
	-14.04%	-4.84%	-30.98%	—	—

Page 12



Rate of Return (continued)

Your Personal Rate of Return: Your Personal Rate of Return measures the investment performance of your account. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing Your Personal Rate of Return is important to help ensure you're on track to achieving your financial goals.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2009. This also includes investments you owned during this time period but have since sold. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit www.edwardjones.com/rateofreturn.

Asset Details (as of Sep 27, 2019)

additional details at www.edwardjones.com/access

Assets Held At Edward Jones

	Beginning Balance	Deposits	Withdrawals	Ending Balance
Retirement Money Market 1.32%*	\$16.95	—	—	\$16.95

* The average yield on the money market fund for the past seven days.

Stocks	Price	Quantity	Value	Rate of Return*
Acadia Pharmaceuticals Inc Symbol: ACAD Asset Category: Growth Research Rating: None	36.78	1,000	36,780.00	55.98%
Annaly Capital Management Inc Symbol: NLY Asset Category: Growth & Income Research Rating: None Estimated Yield: 11.44%	8.74	2,845	24,865.30	-4.73%
Anthera Pharmaceuticals Inc Symbol: ANTH Asset Category: Aggressive Research Rating: None	0.05	125	6.25	-83.34%
Canadian National Railway Co Symbol: CNI Asset Category: Growth & Income Research Rating: Hold Estimated Yield: 1.81%	89.42	547.39287	48,947.83	6.91%
Electromed Inc Symbol: ELMD Asset Category: Aggressive Research Rating: None	6.55	3,000	19,650.00	19.91%

Page 13



Asset Details (continued)

Stocks	Price	Quantity	Value	Rate of Return*
Esperion Therapeutics Inc Symbol: ESPR Asset Category: Growth Research Rating: None	37.78	600	22,668.00	-15.24%
Fortuna Mines Inc Symbol: FSM Asset Category: Aggressive Research Rating: None	3.27	2,000	6,540.00	-7.80%
Madrigal Pharmaceuticals Inc Symbol: MDGL Asset Category: Growth Research Rating: None	85.13	900	76,617.00	—
Mag Silver Corp Symbol: MAG Asset Category: Growth Research Rating: None	11.09	1,000	11,090.00	45.74%
McDermott International Inc Symbol: MDR Asset Category: Growth Research Rating: None	2.00	5,000	10,000.00	-73.20%
Nektar Therapeutics Symbol: NKTR Asset Category: Growth Research Rating: None	17.90	1,000	17,900.00	-61.83%
Sangamo Therapeutics Inc Symbol: SGMO Asset Category: Growth Research Rating: None	9.19	3,500	32,165.00	-28.27%
Seabridge Gold Inc Symbol: SA Asset Category: Growth Research Rating: None	13.20	1,500	19,800.00	6.52%
Suncor Cda Inc New Symbol: SU Asset Category: Growth & Income Research Rating: Buy Estimated Yield: 3.99%	31.69	1,401.17788	44,403.33	1.56%
Torex Gold Resources Inc Common Symbol: TOROF Asset Category: Growth Research Rating: None	13.198	2,500	32,995.00	80.64%
Total S A Sponsored ADR Symbol: TOT Asset Category: Growth & Income Research Rating: Buy Estimated Yield: 5.46%	51.86	367.47876	19,057.45	2.90%

Page 14



Asset Details (continued)

Stocks	Price	Quantity	Value	Rate of Return*
Transocean Ltd Symbol: RIG Asset Category: Growth Research Rating: None	4.53	2,747.54131	12,446.36	-34.19%
Williams Companies Inc Symbol: WMB Asset Category: Growth & Income Research Rating: Hold Estimated Yield: 6.28%	24.20	651.47744	15,765.75	-2.67%
Ziopharm Oncology Symbol: ZIOP Asset Category: Growth Research Rating: None	4.22	1,000	4,220.00	23.89%

Estimated Yield

The Estimated Yield (EY) in the preceding section compares the anticipated earnings on your investments in the coming year to the current price of the investments. It is based on past interest and dividend payments made by the securities held in your account. Changes in the price of a security over time or in the amount of the investment held in your account will cause the EY to vary. The EY is only an estimate and cannot be guaranteed by Edward Jones or the issuers of the securities. Your actual yield may be higher or lower than the estimated amounts. Estimates for any securities that have a return of principal or capital gain may be overstated. Income cannot be estimated for any securities that do not have an annual payment amount or frequency available at the time of estimation. Yield to Maturity is typically reported for Zero Coupon Bonds as these securities do not have an annual payment.

The Edward Jones' Research Rating referenced in this document does not take into account your particular investment profile and is not intended as an express recommendation to purchase, hold or sell particular securities, financial instruments or strategies. You should contact your Edward Jones financial advisor before acting upon the Edward Jones Research Rating referenced. You can access full research reports including additional disclosures via Online Access or from your financial advisor.

Total Account Value

\$455,934.22

*Your Rate of Return for each individual asset above is as of September 27, 2019. Returns greater than 12 months are annualized.

Your Rate of Return in the Asset Details section above measures the investment performance of each of your individual assets. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing your Rate of Return is important to help ensure you're on track to achieving your financial goals.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2009. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit www.edwardjones.com/performance

Page 15



Statement Date Sep 29 - Dec 31, 2018

Page 1 of 2

1231-18

DEBORAH COLLIS

Your 2018 Tax Forms from Edward Jones

Edward Jones will furnish all Forms 1099-R and 1099-Q by Jan. 31 and all Consolidated 1099 Tax Statements by Feb. 15, per IRS requirements. Your Consolidated 1099 Tax Statement may not be final as of Feb. 15 if we have not received final information from issuers. All forms will be finalized by March 15. Visit us at edwardjones.com/taxcenter to learn more about your Edward Jones tax forms.

Single

Account Value

\$12,725.00

1 Month Ago	\$14,250.00
1 Year Ago	\$15,175.00
3 Years Ago	\$4,625.00
5 Years Ago	\$8,500.00

Value Summary

	This Period	This Year
Beginning value	\$16,250.00	\$15,175.00
Assets added to account	0.00	0.00
Assets withdrawn from account	0.00	0.00
Fees and charges	0.00	0.00
Change in value	-3,525.00	-2,450.00
Ending Value	\$12,725.00	

For more information regarding the Value Summary section, please visit www.edwardjones.com/mystatementguide.

Asset Details (as of Dec 31, 2016)

additional details at www.edwardjones.com/access

Assets Held At Edward Jones

Stocks	Price	Quantity	Cost Basis	Unrealized Gain/Loss	Value
Electromed Inc	5.09	2,500	—	—	\$12,725.00
Total Account Value					\$12,725.00

Cost basis is the amount of your investment for tax purposes and is used to calculate gain or loss upon sale or other disposition of a security. It is not a measure of performance. The cost basis amounts on your statement should not be relied upon for tax preparation purposes. Cost basis information may be from outside sources and has not been verified for accuracy. Refer to your official tax documents for information about reporting cost basis. Consult a qualified tax advisor or an attorney regarding your situation. If you believe the cost basis information is inaccurate, contact Client Relations.

Page 16



Statement Date Jul 27 - Sep 27, 2019

Page 1 of 4

9/27/19

DEBORAH COLLIS

A Financial Strategy as Unique as You

At Edward Jones, your financial advisor works to understand what's most important to you. He or she will use an established process to build personalized strategies to help you reach your goals. Once you have an investment strategy in place, your financial advisor will partner together with you throughout your life to help keep you on track.

Single

Account Value

\$42,595.00

1 Month Ago	\$30,800.00
1 Year Ago	\$16,250.00
3 Years Ago	\$11,475.00
5 Years Ago	\$4,625.00

Value Summary

	This Period	This Year
Beginning value	\$32,625.00	\$12,725.00
Assets added to account	8,526.96	27,418.86
Assets withdrawn from account	0.00	0.00
Fees and charges	0.00	0.00
Change in value	1,443.04	2,450.14
Ending Value	\$42,595.00	

For more information regarding the Value Summary section, please visit www.edwardjones.com/mystatementguide.

Asset Details (as of Sep 27, 2019)

additional details at www.edwardjones.com/access

Assets Held At Edward Jones

Stocks	Price	Quantity	Cost Basis	Unrealized Gain/Loss	Value
Annaly Capital Management Inc	8.74	3,000	27,419.86	-1,199.86	\$26,220.00
Electromed Inc	6.55	2,500	—	—	16,375.00
Total Account Value					\$42,595.00

Cost basis is the amount of your investment for tax purposes and is used to calculate gain or loss upon sale or other disposition of a security. It is not a measure of performance. The cost basis amounts on your statement should not be relied upon for tax preparation purposes. Cost basis information may be from outside sources and has not been verified for accuracy. Refer to your official tax documents for information about reporting cost basis. Consult a qualified tax advisor or an attorney regarding your situation. If you believe the cost basis information is inaccurate, contact Client Relations.

17

Account Holder(s) Deborah Collis
Account Number
Financial Advisor Kyle T. Hoggart, 520-632-1019
302 First Street S E, Little Falls, MN 56345



Statement Date Jul 27 - Sep 27, 2019

Page 2 of 4

Investment and Other Activity by Date

Date	Description	Quantity	Amount
9/04	Transfer from 9081069511		\$8,526.96
9/04	Buy Annaly Capital Management Inc @ 8.3255	1,000	-8,526.96

18

E-TRADE SECURITIES LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: NOEL D COLLIS
Account Name: NOEL D COLLIS
Taxpayer Identification Number: ***-**-****
Account Executive No: ET1
ORIGINAL: 12/31/2018

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NOEL D COLLIS

Copy B for recipient ☐ 2nd TIN Notice
☐ FATCA Filing Requirement
Payer's Federal Identification Number: *****
Payer's Name, Street, City, State, Zip Code:
E-TRADE SECURITIES LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484
Telephone Number: 800 367 2331

2018 CONSOLIDATED FORMS 1099

1a. Total ordinary dividends (includes Boxes 1b, 5, 6)	\$3,230.28
1b. Qualified dividends	\$3,008.88
2a. Total capital gain distributions (includes Boxes 2b, 2c, 2d)	\$0.00
2b. Unrecaptured section 1250 gain	\$0.00
2c. Section 1202 gain	\$0.00
2d. Collectibles (28%) gain	\$0.00
3. Nondividend distributions	\$0.00
4. Federal income tax withheld	\$0.00
5. Section 199A dividends	\$0.00
6. Investment expenses	\$0.00
7. Foreign tax paid	\$284.52
8. Foreign country or U.S. possession	Various
9. Cash liquidation distributions	\$0.00
10. Noncash liquidation distributions	\$0.00
11. Exempt-interest dividends (includes Box 12)	\$0.00
12. Specified private activity bond interest dividends (AMT)	\$0.00

1. Interest income (not included in Box 3)	\$26.25
2. Early withdrawal penalty	\$0.00
3. Interest on U.S. Savings Bonds & Treasury obligations	\$0.00
4. Federal income tax withheld	\$0.00
5. Investment expenses	\$0.00
6. Foreign tax paid	\$0.00
7. Foreign country or U.S. possession	\$0.00
8. Tax-exempt interest (includes Box 9)	\$0.00
9. Specified private activity bond interest	\$0.00
10. Market discount	\$0.00
11. Bond premium	\$0.00
12. Bond premium on Treasury obligations	\$0.00
13. Bond premium on tax-exempt bonds	\$0.00
14. Tax-exempt and tax credit bond CUSIP no.	\$0.00

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES.
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

ETRADE SECURITIES LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No:
Account Name:
Taxpayer Identification Number: ***-**-****
Account Executive No: ET1
ORIGINAL: 12/31/2018

REALIZED GAIN / LOSS SUMMARY

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

SHORT-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART I		PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box A (basis reported to IRS)		\$37,841.84	\$25,884.18	\$0.00	\$0.00	\$11,857.75
Box A - Ordinary - (basis reported to IRS)		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B (basis not reported to IRS)		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B - Ordinary - (basis not reported to IRS)		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Short-Term		\$37,841.84	\$25,884.18	\$0.00	\$0.00	\$11,857.75

LONG-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART II		PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box D (basis reported to IRS)		\$136,278.61	\$78,671.05	\$0.00	\$0.00	\$56,808.56
Box D - Ordinary - (basis reported to IRS)		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E (basis not reported to IRS)		\$36,713.87	\$78,048.51	\$0.00	\$0.00	(\$41,335.54)
Box E - Ordinary - (basis not reported to IRS)		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Long-Term		\$172,992.48	\$157,720.56	\$0.00	\$0.00	\$15,271.92

UNKNOWN TERM - CODE (X) REPORT ON FORM 8949 PART I OR PART II

Box B or Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B or Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Unknown Term		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Page 20

E-TRADE SECURITIES LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: NOEL D COLLIS
Account Name: NOEL D COLLIS
Taxpayer Identification Number: ***-**-****
Account Executive No: ET1
ORIGINAL: 12/31/2018

RECIPIENT'S Name, Street Address, City, State, and ZIP Code
NOEL D COLLIS

Copy B for recipient ☐ 2nd TIN Notice ☐ FATCA Filing Requirement
Payer's Federal Identification Number: [REDACTED]
Payer's Name, Street, City, State, Zip Code:
E-TRADE SECURITIES LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484
Telephone Number: 800 397 2331

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: Taxpayers are ultimately responsible for the accuracy of their tax returns.

Covered Short-Term Gains or Losses on Gross Proceeds Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Market Discount (Box 1f)	Wash Sale Loss (Box 1g)	Gain/Loss Amount	Additional Information
***ALLO GOLD INC COM	1,300,00000	08/20/2017	04/13/2018	\$2,633.68	\$6,402.85	\$0.00	\$0.00	(\$3,749.27)	
CUSIP: 01627X108	1,200,00000	12/03/2017	04/13/2018	\$2,448.55	\$3,567.59	\$0.00	\$0.00	(\$1,119.04)	
	1,200,00000	03/16/2018	04/13/2018	\$2,448.55	\$2,868.85	\$0.00	\$0.00	(\$449.40)	
Subtotal	3,700,00000			\$7,530.78	\$12,839.49	\$0.00	\$0.00	(\$5,308.71)	
CALL EOG 01/18/19 CUSIP: 8KQWFT1	80	10,00000	08/13/2017	08/02/2018	\$28,989.16	\$13,014.70	\$0.00	\$0.00	\$15,974.46
4 ITEMS - TOTAL				\$37,541.94	\$25,854.19	\$0.00	\$0.00	\$11,687.75	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES.
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

E-TRADE SECURITIES LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: NOEL D COLLIS
Account Name: Taxpayer Identification Number: ***-**-
Account Executive No: ET1
ORIGINAL: 12/31/2018

Covered Long-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part II with Box D checked

Box 6: Gross Proceeds Box 6: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Long-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
**OCEANACOLD CORPORATION CUSIP: 675222103	361.00000	10/10/2012	10/22/2016	\$1,092.18	\$1,545.35	\$0.00	\$0.00	(\$453.17)	
	482.00000	10/22/2012	10/22/2016	\$1,458.26	\$2,029.89	\$0.00	\$0.00	(\$571.73)	
	482.00000	10/24/2012	10/22/2016	\$1,458.26	\$2,009.89	\$0.00	\$0.00	(\$551.73)	
	482.00000	11/05/2012	10/22/2016	\$1,458.26	\$1,907.89	\$0.00	\$0.00	(\$449.73)	
	482.00000	11/09/2012	10/22/2016	\$1,458.26	\$2,149.89	\$0.00	\$0.00	(\$691.73)	
	482.00000	11/20/2012	10/22/2016	\$1,458.26	\$2,068.89	\$0.00	\$0.00	(\$611.73)	
	482.00000	11/23/2012	10/22/2016	\$1,458.26	\$2,109.89	\$0.00	\$0.00	(\$651.73)	
	723.00000	12/31/2012	10/22/2016	\$2,187.39	\$2,220.89	\$0.00	\$0.00	(\$33.60)	
	723.00000	02/22/2013	10/22/2016	\$2,187.39	\$2,343.89	\$0.00	\$0.00	(\$156.60)	
	28.00000	12/12/2013	10/22/2016	\$79.76	\$49.89	\$0.00	\$0.00	\$29.76	
Subtotals	4,727.00000			\$14,288.28	\$18,458.26	\$0.00	\$0.00	(\$4,141.87)	
PROSHARES TR PROSHARES ULTRASHORT TR TREA CUSIP: 743478201	50.00000	09/18/2012	08/06/2016	\$1,842.73	\$3,313.89	\$0.00	\$0.00	(\$1,471.26)	
	25.00000	09/28/2012	08/06/2016	\$821.36	\$1,553.89	\$0.00	\$0.00	(\$632.63)	
Subtotals	75.00000			\$2,784.09	\$4,867.89	\$0.00	\$0.00	(\$2,103.89)	
**SSR MINING INC COM CUSIP: 784730103	\$25.00000	09/07/2016	03/18/2016	\$8,308.49	\$8,195.80	\$0.00	\$0.00	\$112.69	
CALL EOG 01/18/19 80 CUSIP: 8KQWFT1	10.00000	01/03/2017	01/31/2018	\$28,634.84	\$28,042.73	\$0.00	\$0.00	\$3,591.81	
CALL HFC 01/18/19 20 CUSIP: 8LFCGT29	5.00000	01/03/2017	05/14/2016	\$24,846.89	\$7,375.53	\$0.00	\$0.00	\$17,171.36	
	2.00000	01/03/2017	05/22/2016	\$10,783.87	\$2,950.21	\$0.00	\$0.00	\$7,843.66	
	3.00000	01/03/2017	05/22/2016	\$16,183.26	\$4,425.32	\$0.00	\$0.00	\$11,767.96	
	5.00000	01/03/2017	08/05/2016	\$28,742.07	\$7,375.53	\$0.00	\$0.00	\$22,366.54	
Subtotals	16.00000			\$81,276.11	\$22,126.59	\$0.00	\$0.00	\$59,149.52	
18 ITEMS - TOTAL				\$136,278.61	\$79,671.05	\$0.00	\$0.00	\$56,608.66	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES.
The above is important tax information and is being furnished to the Internal Revenue Service (IRS) as indicated. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

ETRADE SECURITIES LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No:
Account Name:
Taxpayer Identification Number:
Account Executive No:
ET1
ORIGINAL: 12/31/2018

Noncovered Long-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part II with Box E checked
Box 6: Gross Proceeds **Box 6: Box Checked (Noncovered Security)**

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description	Quantity Sold	Date Acquired	Date Sold or Disposed	Proceeds/ Reported to IRS	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss	Gain/Loss Amount	Additional Information
(Box 1e)				(Box 1d)					
PETROBRAS ARGENTINA SA AMERICAN DEPOSITARY SHARES EACH REPRESENTING 10 CL B SH CUSIP: 71646108	450.00000	12/28/2009	05/03/2018	\$4,748.88	\$3,647.25	\$0.00	\$0.00	\$1,088.64	
	400.00000	12/28/2009	05/03/2018	\$4,219.85	\$3,242.00	\$0.00	\$0.00	\$977.85	
	300.00000	12/28/2009	05/03/2018	\$3,152.88	\$2,431.50	\$0.00	\$0.00	\$721.38	
	750.00000	12/28/2009	05/03/2018	\$7,874.72	\$6,078.75	\$0.00	\$0.00	\$1,795.97	
	100.00000	12/28/2009	05/03/2018	\$1,055.46	\$810.50	\$0.00	\$0.00	\$245.96	
Subtotals	2,000.00000			\$21,050.80	\$16,210.00	\$0.00	\$0.00	\$4,840.80	
PROSHARES TR PROSHARES ULTRASHORT TR TREA CUSIP: 74347B201	100.00000	05/07/2010	08/08/2018	\$3,895.45	\$16,541.99	\$0.00	\$0.00	(\$12,656.54)	
	150.00000	05/07/2010	08/08/2018	\$5,528.18	\$24,797.16	\$0.00	\$0.00	(\$19,268.98)	
	3.50000	07/09/2010	08/08/2018	\$128.89	\$502.80	\$0.00	\$0.00	(\$373.91)	
	21.50000	07/09/2010	08/08/2018	\$782.57	\$3,087.39	\$0.00	\$0.00	(\$2,305.02)	
	25.00000	10/15/2010	08/08/2018	\$821.36	\$3,389.84	\$0.00	\$0.00	(\$2,568.59)	
	50.00000	09/07/2011	08/08/2018	\$1,842.73	\$6,751.79	\$0.00	\$0.00	(\$4,909.06)	
	25.00000	09/18/2011	08/08/2018	\$821.36	\$2,444.79	\$0.00	\$0.00	(\$1,623.43)	
	50.00000	10/14/2011	08/08/2018	\$1,842.73	\$4,303.85	\$0.00	\$0.00	(\$2,461.12)	
Subtotals	428.00000			\$18,663.17	\$61,839.51	\$0.00	\$0.00	(\$46,176.34)	
13 ITEMS - TOTAL				\$38,713.97	\$78,049.51	\$0.00	\$0.00	(\$41,336.54)	

FOOTNOTES
SHORT SALE - Short sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

E*TRADE SECURITIES LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: NOEL U'CELLIS
Account Name: Taxpayer Identification Number: ***-**-****
Account Executive No: ET1
ORIGINAL: 12/31/2018

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional Information
Total Non-Qualified Dividends (Included in Box 1a)							
04/02/18	BANK OF AMERICA CORP	060506104	QUALIFIED DIVIDEND	\$10.82	-	-	-
08/28/18	BANK OF AMERICA CORP	060506104	QUALIFIED DIVIDEND	\$10.82	-	-	-
08/28/18	BANK OF AMERICA CORP	060506104	QUALIFIED DIVIDEND	\$13.65	-	-	-
12/29/18	BANK OF AMERICA CORP	060506104	QUALIFIED DIVIDEND	\$13.65	-	-	-
				\$221.43			
04/27/18	OCEANAGOLD CORPORATION	675222103	QUALIFIED DIVIDEND	\$0.28	\$0.04	-	Box 8: CANADA
04/27/18	OCEANAGOLD CORPORATION	675222103	QUALIFIED DIVIDEND	\$46.89	\$7.05	-	Box 8: CANADA
09/14/18	OCEANAGOLD CORPORATION	675222103	QUALIFIED DIVIDEND	\$0.56	\$0.08	-	Box 8: CANADA
09/14/18	OCEANAGOLD CORPORATION	675222103	QUALIFIED DIVIDEND	\$63.88	\$14.10	-	Box 8: CANADA
01/19/18	ROYAL GOLD INC	780287106	QUALIFIED DIVIDEND	\$300.00	-	-	-
04/20/18	ROYAL GOLD INC	780287106	QUALIFIED DIVIDEND	\$300.00	-	-	-
07/20/18	ROYAL GOLD INC	780287106	QUALIFIED DIVIDEND	\$300.00	-	-	-
10/19/18	ROYAL GOLD INC	780287106	QUALIFIED DIVIDEND	\$300.00	-	-	-
01/19/18	TOTAL S A 1 ADR REPRESENTING 1	88151E109	QUALIFIED DIVIDEND	\$372.65	\$55.90	-	Box 8: FRANCE
04/18/18	TOTAL S A 1 ADR REPRESENTING 1	88151E109	QUALIFIED DIVIDEND	\$360.87	\$67.13	-	Box 8: FRANCE
07/09/18	TOTAL S A 1 ADR REPRESENTING 1	88151E109	QUALIFIED DIVIDEND	\$358.17	\$53.73	-	Box 8: FRANCE
10/19/18	TOTAL S A 1 ADR REPRESENTING 1	88151E109	QUALIFIED DIVIDEND	\$369.18	\$55.38	-	Box 8: FRANCE
07/28/18	EURO RESOURCES S A ORDINARY SH	F3366F102	QUALIFIED DIVIDEND	\$137.03	\$41.11	-	Box 8: FRANCE
Total Qualified Dividends (Box 1b included in Box 1a)				\$3,098.85			
Total Ordinary Dividends (Box 1a)				\$3,230.28			

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E*TRADE SECURITIES LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: NOEL U COLLIS
Account Name: NOEL U COLLIS
Taxpayer Identification Number: ***-**-****
Account Executive No: ET1
ORIGINAL: 12/31/2018

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional Information
Total Foreign Tax Paid (Box 7)					\$284.62		

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY.

ETRADE SECURITIES LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: NOEL D COLLIS
Account Name: Taxpayer Identification Number: ***-**-****
Account Executive No: ET1
ORIGINAL: 12/31/2018

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional Information
01/26/18	EXTENDED INSURANCE SWEEP DEPOSIT A	2145605	TAXABLE INTEREST	\$0.60	-	-	
02/26/18	EXTENDED INSURANCE SWEEP DEPOSIT A	2145605	TAXABLE INTEREST	\$0.61	-	-	
03/26/18	EXTENDED INSURANCE SWEEP DEPOSIT A	2145605	TAXABLE INTEREST	\$0.45	-	-	
04/26/18	EXTENDED INSURANCE SWEEP DEPOSIT A	2145605	TAXABLE INTEREST	\$0.36	-	-	
05/26/18	EXTENDED INSURANCE SWEEP DEPOSIT A	2145605	TAXABLE INTEREST	\$2.76	-	-	
06/26/18	EXTENDED INSURANCE SWEEP DEPOSIT A	2145605	TAXABLE INTEREST	\$9.29	-	-	
07/26/18	EXTENDED INSURANCE SWEEP DEPOSIT A	2145605	TAXABLE INTEREST	\$6.36	-	-	
08/27/18	EXTENDED INSURANCE SWEEP DEPOSIT A	2145605	TAXABLE INTEREST	\$3.08	-	-	
09/26/18	EXTENDED INSURANCE SWEEP DEPOSIT A	2145605	TAXABLE INTEREST	\$0.79	-	-	
10/26/18	EXTENDED INSURANCE SWEEP DEPOSIT A	2145605	TAXABLE INTEREST	\$0.83	-	-	
11/26/18	EXTENDED INSURANCE SWEEP DEPOSIT A	2145605	TAXABLE INTEREST	\$1.76	-	-	
12/26/18	EXTENDED INSURANCE SWEEP DEPOSIT A	2145605	TAXABLE INTEREST	\$0.21	-	-	
Total Interest Income Not Included In Box 3 (Box 1)				\$26.25			

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ETRADE SECURITIES LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: NOEL D COLLIS
Account Name: Taxpayer Identification Number: ***-**-****
Account Executive No: ET1
ORIGINAL: 12/31/2018

2018 INVESTMENT DETAILS

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
07/31/18	ACADIA PHARMACEUTICALS INC	004228108	PURCHASE	800.000	\$14.94	\$7,474.85	
01/31/18	ALEXCO RESOURCE CORP	01635P108	PURCHASE	3,000.000	\$1.52	\$4,568.95	
03/18/18	ALIO GOLD INC COM	01627X108	PURCHASE	1,200.000	\$2.41	\$2,896.85	
04/13/18	ALIO GOLD INC COM	01627X108	SELL	3,700.000	\$2.04	\$7,552.79	
03/18/18	BELLO SUN MINING CORP	080556109	PURCHASE	5,000.000	\$0.25	\$1,256.45	
04/02/18	BELLO SUN MINING CORP	080556109	PURCHASE	10,000.000	\$0.28	\$2,848.95	
05/23/18	BELLO SUN MINING CORP	080556109	PURCHASE	10,000.000	\$0.20	\$2,004.95	
08/22/18	BELLO SUN MINING CORP	080556109	PURCHASE	10,000.000	\$0.17	\$1,671.95	
11/09/18	BELLO SUN MINING CORP	080556109	PURCHASE	6,000.000	\$0.27	\$1,624.95	
11/19/18	BELLO SUN MINING CORP	080556109	PURCHASE	10,000.000	\$0.27	\$2,704.95	
01/09/18	ESPERION THERAPEUTICS INC	28684W105	PURCHASE	100.000	\$86.00	\$8,606.95	
05/03/18	ESPERION THERAPEUTICS INC	28684W105	PURCHASE	300.000	\$36.10	\$10,834.95	
05/14/18	ESPERION THERAPEUTICS INC	28684W105	PURCHASE	200.000	\$41.13	\$8,231.83	
05/29/18	ESPERION THERAPEUTICS INC	28684W105	PURCHASE	200.000	\$36.01	\$7,208.85	
08/11/18	ESPERION THERAPEUTICS INC	28684W105	PURCHASE	100.000	\$38.58	\$3,860.76	
08/21/18	ESPERION THERAPEUTICS INC	28684W105	PURCHASE	100.000	\$38.98	\$3,898.95	
02/22/18	FORTUNA SILVER MINES INC	346915108	PURCHASE	1,100.000	\$4.60	\$5,068.95	
01/17/18	GOLDEN ARROW RES CORP NEW COM	36090W102	PURCHASE	4,000.000	\$0.54	\$2,168.95	
04/02/18	INCYTE CORPORATION FORMERLY INCYTE GENO	46337C102	PURCHASE	100.000	\$86.42	\$8,647.45	
08/06/18	INTREXON CORP COM	46122T102	PURCHASE	1,000.000	\$15.11	\$15,113.95	
08/06/18	JASON INDUSTRIES INC	471172108	PURCHASE	500.000	\$14.85	\$7,428.95	
11/20/18	JASON INDUSTRIES INC	471172108	PURCHASE	5,000.000	\$2.54	\$12,688.95	
12/03/18	JASON INDUSTRIES INC	471172108	PURCHASE	2,000.000	\$2.05	\$4,104.95	
06/01/18	MCDERMOTT INTERNATIONAL INC COMMON S	580037703	PURCHASE	2,000.000	\$1.90	\$3,804.95	
11/23/18	MCDERMOTT INTERNATIONAL INC COMMON S	580037703	CASH IN LIEU	1,200.000	-	\$11.57	
11/29/18	MEDICINES CO	584688105	PURCHASE	200.000	\$9.13	\$1,826.95	
02/22/18	NEKTAR THERAPEUTICS	640288108	PURCHASE	200.000	\$21.44	\$4,288.95	
08/03/18	NEKTAR THERAPEUTICS	640288108	PURCHASE	100.000	\$86.59	\$8,659.95	
08/09/18	NEKTAR THERAPEUTICS	640288108	PURCHASE	200.000	\$53.94	\$10,792.95	
08/11/18	NEKTAR THERAPEUTICS	640288108	PURCHASE	200.000	\$62.74	\$12,552.75	
08/21/18	NEKTAR THERAPEUTICS	640288108	PURCHASE	200.000	\$52.94	\$10,588.95	
07/02/18	NEKTAR THERAPEUTICS	640288108	PURCHASE	100.000	\$56.02	\$5,602.95	
10/22/18	OCEANAGOLD CORPORATION	675222103	PURCHASE	200.000	\$48.45	\$9,695.55	
			SELL	28.000	\$3.03	\$79.76	

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Page 27

E*TRADE SECURITIES LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: NCEL D ULLIS
Account Name: Taxpayer Identification Number: ***-**-****
Account Executive No: ET1
ORIGINAL: 12/31/2018

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
10/22/18 ***	OCEANAGOLD CORPORATION	679222103	SELL	4,899,000	\$3.03	\$14,216.54	
08/03/18 ***	PETROBRAS ARGENTINA SA AMERICAN DEPO	716461109	SELL	100,000	\$10.57	\$1,056.46	
08/03/18 ***	PETROBRAS ARGENTINA SA AMERICAN DEPO	716461109	SELL	300,000	\$10.51	\$3,152.89	
08/03/18 ***	PETROBRAS ARGENTINA SA AMERICAN DEPO	716461109	SELL	400,000	\$10.55	\$4,219.85	
08/03/18 ***	PETROBRAS ARGENTINA SA AMERICAN DEPO	716461109	SELL	450,000	\$10.56	\$4,748.89	
08/03/18 ***	PETROBRAS ARGENTINA SA AMERICAN DEPO	716461109	SELL	750,000	\$10.50	\$7,874.72	
08/08/18	PROSHARES TR PROSHARES ULTRASHORT TR TR	743478201	SELL	500,000	\$36.87	\$18,427.25	
03/16/18 ***	SSR MINING INC COM	784730103	SELL	825,000	\$8.99	\$8,308.49	
07/18/18	SANGAMO THERAPEUTICS INC COMMON STOCK	800877106	PURCHASE	1,000,000	\$15.18	\$15,185.95	
07/31/18	SANGAMO THERAPEUTICS INC COMMON STOCK	800877106	PURCHASE	500,000	\$13.80	\$6,902.45	
08/08/18	SANGAMO THERAPEUTICS INC COMMON STOCK	800877106	PURCHASE	500,000	\$13.87	\$6,938.55	
02/01/18 ***	SEABRIDGE GOLD INC	811616105	PURCHASE	1,000,000	\$11.83	\$11,834.85	
01/17/18	ZIOPHARM ONCOLOGY INC	86873P101	PURCHASE	1,000,000	\$4.31	\$4,313.15	
02/01/18	ZIOPHARM ONCOLOGY INC	86873P101	PURCHASE	1,000,000	\$4.00	\$4,008.98	
03/28/18	ZIOPHARM ONCOLOGY INC	86873P101	PURCHASE	1,000,000	\$4.08	\$4,084.95	
05/03/18	ZIOPHARM ONCOLOGY INC	86873P101	PURCHASE	1,000,000	\$4.28	\$4,284.85	
07/31/18	ZIOPHARM ONCOLOGY INC	86873P101	PURCHASE	1,000,000	\$2.55	\$2,551.45	
08/08/18	ZIOPHARM ONCOLOGY INC	86873P101	PURCHASE	1,500,000	\$2.37	\$3,556.95	

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
11/20/18	CALL MDR 01/18/21 10 MCDERMOTT IN	8QZZGD3	PURCHASE	18,000	\$2.80	\$3,912.67	
01/31/18	CALL EOG 01/18/18 90 EOG RESOURCE	8KQWFT1	SELL	10,000	\$28.65	\$28,654.64	
08/02/18	CALL EOG 01/18/18 90 EOG RESOURCE	8KQWFT1	SELL	10,000	\$30.00	\$29,989.16	
06/14/18	CALL HFC 01/18/18 20 HOLLYFRONTIE	8LFGT29	SELL	5,000	\$48.11	\$24,546.89	
06/22/18	CALL HFC 01/18/18 20 HOLLYFRONTIE	8LFGT29	SELL	2,000	\$54.00	\$10,793.87	
06/22/18	CALL HFC 01/18/18 20 HOLLYFRONTIE	8LFGT29	SELL	3,000	\$54.00	\$16,193.28	
08/05/18	CALL HFC 01/18/18 20 HOLLYFRONTIE	8LFGT29	SELL	5,000	\$59.50	\$29,742.07	

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E*TRADE

N. Collis

E*TRADE Pro Elite
Investment Account

Account Number

Statement Period : September 1, 2019 - September 30, 2019

Account Type: INDIVIDUAL

ACCOUNT HOLDINGS**CASH & CASH EQUIVALENTS (0.63% of Holdings)**

DESCRIPTION	PORTFOLIO %	AMOUNT
Extended Insurance Sweep Deposit Account		
Opening Balance		105,181.16
Closing Balance	0.63	2,564.93
Average Balance		35,646.49
Extended Insurance Sweep Deposit Account Balance by Bank as of September 30, 2019		
ETRADE BANK		2,564.93
Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC-insured up to an aggregate of at least \$1,250,000. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit www.etrade.com/esdaagreement or call us at 1-800-ETRADE-1 (1-800-387-2331).		
TOTAL CASH & CASH EQUIVALENTS	0.63%	\$2,564.93

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (99.37% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
ACADIA PHARMACEUTICALS INC	ACAD	Margin	500	35.9900	17,995.00	4.44		
***ALEXCO RESOURCE CORP	AXU	Margin	8,000	1.7300	13,840.00	3.42		
ANI PHARMACEUTICALS, INC.		Margin	363		0.00	0.00		
CONTINGENT VALUE RTS RSTO EXP 06/18/2023								
BANK OF AMERICA CORP	BAC	Margin	91	29.1700	2,654.47	0.66	66.00	2.48%
***BELO SUN MINING CORP	VNNHF	Margin	56,900	0.3720	20,832.00	5.14		
CALL CVS 01/15/21 55		Margin	20	11.6000	23,200.00	5.73		
CVS HEALTH CORPORATION EXP 01/15/2021								
CALL MDR 01/15/21 10		Margin	26	0.4000	1,000.00	0.26		
MCDERMOTT INTERNATIONAL INC EXP 01/15/2021								
***CANARC RESOURCE CORP	CRCUF	Cash	10,100	0.0470	474.70	0.12		

VALUE on
Page 31

Page 29



E*TRADE Pro Elite
Investment Account



Account Num:

Statement Period: September 1, 2019 - September 30, 2019

Account Type: INDIVIDUAL

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (Continued)

DESCRIPTION	SYMBOL/ISIN	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
ESPERION THERAPEUTICS INC.	ESPR	Margin	1,300	36.6600	40,326.00	9.85		
***EURO RESSOURCES S A	ERRSF	Cash	800		0.00	0.00		
ORDINARY SHARES								
FINE PRIME THERAPEUTICS INC	FPRX	Margin	400	3.8750	1,550.00	0.38		
COM								
***FORTUNA SILVER MINES INC	FSM	Margin	2,300	3.0900	7,107.00	1.75		
***GOLDEN ARROW RES CORP NEW	GARWF	Margin	11,000	0.1140	1,254.00	0.31		
COM								
***GOLDEN STAR RES LTD	GSS	Margin	400	2.8600	1,144.00	0.28		
COM NO PAR								
INCYTE CORPORATION	IBCV	Margin	100	74.2300	7,423.00	1.68		
FORMERLY INCYTE GENOMICS INC								
INTRIXON CORPORATION	XON	Margin	1,500	5.7208	8,580.00	2.12		
COMMON STOCK								
JASON INDUSTRIES INC	JASH	Margin	24,500	0.3590	8,687.50	2.15		
***LEAGOLD MNG CORP	LMCNF	Margin	3,000	1.9208	5,762.40	1.42		
COM NEW								
***MCDERMOTT INTERNATIONAL INC	MDR	Margin	1,585	2.0200	3,201.70	0.79		
COMMON STOCK								
MEDICINES CO	MDCO	Margin	1,200	50.0000	60,000.00	14.61		
NEKTAR THERAPEUTICS	NKTR	Margin	1,000	18.2150	18,215.00	4.50		
PACIRA BIOSCIENCES INC	PCRX	Margin	500	38.0700	19,035.00	4.70		
COMMON STOCK								
PROSHARES TR								
PROSHARES	TBT	Margin	500	24.3600	12,180.00	3.01	288.00	2.36%
ULTRASHORT TR TREAS								
***ROYAL ROAD MINERALS LIMITED	77990753	Cash	89		0.00	0.00		
RSTD								
SANBANO THERAPEUTICS INC	SBNO	Margin	2,000	9.0500	18,100.00	4.47		
COMMON STOCK								

Page 30



E*TRADE Pro Elite
Investment Account



Account Number:

Statement Period: September 1, 2019 - September 30, 2019

Account Type: INDIVIDUAL

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (Continued)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
***SEABRIDGE GOLD INC	SA	Margin	1,000	12.6600	12,660.00	3.12		
SMTX CORPORATION	SMTX	Margin	18,052	2.1600	38,992.32	9.62		
***TOTAL S A 1 ADR REPRESENTING 1 ORD SHS	TOT	Margin	505.5258	52.0000	26,287.35	6.48	1,217.00	4.63%
ZIOPHARM ONCOLOGY INC	ZIOP	Margin	7,500	4.2800	32,100.00	7.92		
TOTAL STOCKS, OPTIONS & ETF					\$402,811.44	89.37%	\$1,571.00	8.38%
TOTAL PRICED PORTFOLIO HOLDINGS (ON 09/30/19)					\$405,176.37			
TOTAL ESTIMATED ACCOUNT HOLDINGS ANNUAL INCOME					\$1,571.00			

TRANSACTION HISTORY

SECURITIES PURCHASED OR SOLD

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
09/03/19 09:30	09/06/19	JASON INDUSTRIES INC	JASN	Bought	5,000	0.5200	2,604.95	
TOTAL SECURITIES ACTIVITY							\$2,604.95	

DIVIDENDS & INTEREST ACTIVITY

DATE	TRANSACTION TYPE	DESCRIPTION	SYMBOL/ CUSIP	AMOUNT DEBITED	AMOUNT CREDITED
09/26/19	Interest	EXTENDED INSURANCE SWEEP DEPOSIT ACCOUNT INTEREST			2.34
09/27/19	Dividend	BANK OF AMERICA CORP CASH DIV ON 91 SHS REC 09/06/19 PAY 09/27/19	BAC		16.38
TOTAL DIVIDENDS & INTEREST ACTIVITY					\$18.72
NET DIVIDENDS & INTEREST ACTIVITY					\$18.72



E*TRADE Pro Elite
Investment Account



Account Number

Statement Period : September 1, 2019 - September 30, 2019

Account Type: INDIVIDUAL

WITHDRAWALS & DEPOSITS

DATE	TRANSACTION TYPE	DESCRIPTION	WITHDRAWALS	DEPOSITS
09/04/19	Chk Disburse	CHECK DISBURSEMENT CD13333106 PAYEE NOEL D COLLIS CHECK PROCESSED	100,000.00	
09/05/19	Adjustment	TFR CASH TO MARGIN	2,604.95	
09/05/19	Adjustment	TFR CASH TO MARGIN		2,604.95
09/27/19	Adjustment	TRNSFR FROM MARGIN TO CASH		16.38
09/27/19	Adjustment	TRNSFR FROM MARGIN TO CASH	16.38	
NET WITHDRAWALS & DEPOSITS			\$100,000.00	

EXTENDED INSURANCE SWEEP DEPOSIT ACCOUNT (ESDA) ACTIVITY (0.0100% APY/0.0800%APY Earned as of 09/30/19)

Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC-insured up to an aggregate of at least \$1,250,000. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit www.etrade.com/extendedsweep or call us at 1-800-ETRADE-1 (1-800-387-2331).

DATE	TRANSACTION TYPE	DESCRIPTION	TRANSACTION AMOUNT
09/01/19		OPENING BALANCE	\$105,151.16
09/05/19	Withdrawal	EXTND INS SWEEP ACCT(FDIC-INS)	-102,604.95
09/26/19	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	2.34
09/27/19	Deposit	EXTND INS SWEEP ACCT(FDIC-INS)	16.38
09/30/19		CLOSING BALANCE	\$2,564.93



N. Collis

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E*TRADE Platinum
Investment Account



Account Number:

Statement Period: December 1, 2018 - December 31, 2018

Account Type: SEP IRA

ACCOUNT HOLDINGS

CASH & CASH EQUIVALENTS (0.26% of Holdings)

DESCRIPTION	PORTFOLIO %	AMOUNT
Retirement Sweep Deposit Account Program		
Opening Balance		5,808.26
Closing Balance	0.26	1,208.24
Average Balance		7,618.17
Retirement Sweep Deposit Account Program Balance by Bank as of December 31, 2018		
ETRADE BANK		1,208.24
The E*TRADE Financial Retirement Sweep Deposit Account Program (RSDA Program) is a bank sweep program associated with eligible E*TRADE Securities LLC retirement accounts. Cash balances held in retirement accounts are swept to bank deposit accounts at E*TRADE Bank and E*TRADE Savings Bank, which are affiliates of E*TRADE Securities LLC. The RSDA Program is designed to offer up to \$500,000 in FDIC deposit insurance coverage to eligible retirement accounts. RSDA Program balances held at the affiliated banks are not covered by SIPC protection. Your balance in the RSDA Program is available to your retirement account for your investment and use upon your request. To learn more about the RSDA Program, please review your RSDA Program Customer Agreement, visit www.etrade.com , or call us at 1-800-387-2331.		
TOTAL CASH & CASH EQUIVALENTS	0.26%	\$1,208.24

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (99.74% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	AICT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
***BELO SUN MINING CORP	VNNHF	Cash	40,000	0.2810	11,240.00	2.46		
DIREXION SHS ETF TR DAILY 20+ YR TREASURY BEAR 3X SHS NEW	TMV	Cash	40	18.2300	729.20	0.16	6.00	0.89%
***ENSCO PLC CLASS A ORDINARY SHARE	ESV	Cash	4,800	3.5600	17,088.00	3.75	182.00	1.12%
ESPERION THERAPEUTICS INC	ESPR	Cash	300	46.0000	13,800.00	3.03		
FIVE PRIME THERAPEUTICS INC COM	FPRX	Cash	1,200	9.3000	11,160.00	2.45		
***FORTUNA SILVER MINES INC	FSM	Cash	700	3.6400	2,548.00	0.56		
***FRANCO-NEVADA CORPORATION	FNV	Cash	900	70.1700	63,153.00	13.65	864.00	1.37%
INCYTE CORPORATION FORMERLY INCYTE GENOMICS INC	INCY	Cash	100	63.5900	6,359.00	1.39		
JASON INDUSTRIES INC	JASN	Cash	6,000	1.3700	8,220.00	1.80		

Total
on next page

Page 32 A



E*TRADE Platinum
Investment Account



Account Number:

Statement Period: December 1, 2018 - December 31, 2018

Account Type: SEP IRA

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (Continued)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL NET VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
***KIRKLAND LAKE GOLD LTD COMMON SHARES	KL	Cash	1,000	26.0900	26,090.00	5.72	119.00	0.46%
***LEASOLD MNG CORP COM NEW	LMCNF	Cash	12,000	1.2864	15,436.80	3.39		
MEDICINES CO	MDCO	Cash	1,200	19.1400	22,968.00	5.04		
NOVAVAX INC	NVAX	Cash	5,200	1.8400	9,568.00	2.10		
***OSISKO GOLD ROYALTIES LTD COM	OR	Cash		8.7800	0.00	0.00		
***SABINA GOLD & SILVER CORPORATION	SGSVF	Cash	15,000	0.8980	13,470.00	2.95		
SANGAMO THERAPEUTICS INC COMMON STOCK	SGNO	Cash	3,000	11.4800	34,440.00	7.55		
***SILVERCORP METALS INC	SVM	Cash	5,000	2.1000	10,500.00	2.30	125.00	1.19%
SMTX CORPORATION	SMTX	Cash	28,385	4.0600	115,810.80	25.39		
***TOREX GOLD RES INC COM NEW	TORXF	Cash	3,630	9.5200	34,557.60	7.58		
***TOTAL S A 1 ADR REPRESENTING 1 ORD SHS	TOT	Cash	300	52.1800	15,654.00	3.43	741.00	4.73%
***TRANSCOCEAN LTD US LISTED	RIG	Cash	2,400	6.9400	16,656.00	3.65		
ZIOPHARM ONCOLOGY INC	ZIOP	Cash	2,900	1.8700	5,423.00	1.19		
TOTAL STOCKS, OPTIONS & ETF					\$454,871.40	99.74%	\$2,046.00	0.45%
TOTAL PRICED PORTFOLIO HOLDINGS (ON 12/31/18)					\$456,079.64			
TOTAL ESTIMATED ACCOUNT HOLDINGS ANNUAL INCOME					\$2,046.00			



E*TRADE Platinum
Investment Account



Account Number

Statement Period : December 1, 2018 - December 31, 2018

Account Type: SEP IRA

TRANSACTION HISTORY

SECURITIES PURCHASED OR SOLD

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/CLASP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
12/04/18 11:41	12/08/18	***OSISKO GOLD ROYALTIES LTD COM	OR	Sold	-500	7.3200		3,654.94
12/19/18 12:22	12/21/18	JASON INDUSTRIES INC	JASN	Bought	3,000	1.5900	4,774.95	
12/24/18 10:55	12/27/18	JASON INDUSTRIES INC	JASN	Bought	3,000	1.3000	3,904.95	
TOTAL SECURITIES ACTIVITY							\$8,679.90	\$3,654.94

DIVIDENDS & INTEREST ACTIVITY

DATE	TRANSACTION TYPE	DESCRIPTION	SYMBOL/CLASP	AMOUNT DEBITED	AMOUNT CREDITED
12/14/18	Dividend	***ENSCO PLC CLASS A ORDINARY SHARE CASH DIV ON 4800 SHS REC 12/03/18 PAY 12/14/18	ESV		48.00
12/19/18	Dividend	***SILVERCORP METALS INC CASH DIV ON 5000 SHS REC 11/29/18 PAY 12/19/18	SVM		62.50
12/20/18	Dividend	***FRANCO-NEVADA CORPORATION CASH DIV ON 800 SHS REC 12/10/18 PAY 12/20/18	FRV		216.00
12/26/18	Interest	E*TRADE SAVINGS BANK RSDA INTEREST			0.44
TOTAL DIVIDENDS & INTEREST ACTIVITY					\$326.94
NET DIVIDENDS & INTEREST ACTIVITY					\$326.94

RETIREMENT SWEEP DEPOSIT ACCOUNT PROGRAM (RSDA) ACTIVITY (0.0700% APY/0.0700%APY Earned as of 12/31/18)

The E*TRADE Financial Retirement Sweep Deposit Account Program (RSDA Program) is a bank sweep program associated with eligible E*TRADE Securities LLC retirement accounts. Cash balances held in retirement accounts are swept to bank deposit accounts at E*TRADE Bank and E*TRADE Savings Bank, which are affiliates of E*TRADE Securities LLC. The RSDA Program is designed to offer up to \$500,000 in FDIC deposit insurance coverage to eligible retirement accounts. RSDA Program balances held at the affiliated banks are not covered by SIPC protection. Your balance in the RSDA Program is available to your retirement account for your investment and use upon your request. To learn more about the RSDA Program, please review your RSDA Program Customer Agreement, visit www.etrade.com, or call us at 1-800-387-2331.

DATE	TRANSACTION TYPE	DESCRIPTION	TRANSACTION AMOUNT
12/01/18		OPENING BALANCE	\$5,986.28
12/06/18	Deposit	RETIREMENT SWEEP DEP FDIC-INS	3,654.94
12/17/18	Deposit	RETIREMENT SWEEP DEP FDIC-INS	48.00
12/20/18	Deposit	RETIREMENT SWEEP DEP FDIC-INS	62.50



E*TRADE Platinum
Investment Account



Account Number: [REDACTED]

Statement Period: December 1, 2018 - December 31, 2018

Account Type: SEP IRA

RETIREMENT SWEEP DEPOSIT ACCOUNT PROGRAM (RSDA) ACTIVITY (Continued)

DATE	TRANSACTION TYPE	DESCRIPTION	TRANSACTION AMOUNT
12/21/18	Withdrawal	RETIREMENT SWEEP DEP FDIC-INS	-4,558.95
12/26/18	Deposit	RETIREMENT SWEEP DEP FDIC-INS	0.44
12/27/18	Withdrawal	RETIREMENT SWEEP DEP FDIC-INS	-3,904.95
12/31/18		CLOSING BALANCE	\$1,208.24



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E*TRADE Pro Elite
Investment Account



Account Number: 60

Statement Period: September 1, 2019 - September 30, 2019

Account Type: SEP IRA

ACCOUNT HOLDINGS

CASH & CASH EQUIVALENTS (1.10% of Holdings)

DESCRIPTION	PORTFOLIO %	AMOUNT
Retirement Sweep Deposit Account Program		
Opening Balance		8,898.08
Closing Balance	1.10	5,208.32
Average Balance		8,775.12
Retirement Sweep Deposit Account Program Balance by Bank as of September 30, 2019		
ETRADE BANK		5,208.32
The E*TRADE Financial Retirement Sweep Deposit Account Program (RSDA Program) is a bank sweep program associated with eligible E*TRADE Securities LLC retirement accounts. Cash balances held in retirement accounts are swept to bank deposit accounts at E*TRADE Bank and E*TRADE Savings Bank, which are affiliates of E*TRADE Securities, LLC. The RSDA Program is designed to offer up to \$500,000 in FDIC deposit insurance coverage to eligible retirement accounts. RSDA Program balances held at the affiliated banks are not covered by SIPC protection. Your balance in the RSDA Program is available to your retirement account for your investment and use upon your request. To learn more about the RSDA Program, please review your RSDA Program Customer Agreement, visit www.etrade.com , or call us at 1-800-387-2331.		
TOTAL CASH & CASH EQUIVALENTS	1.10%	\$5,208.32

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (98.90% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
ANNALY CAPITAL MANAGEMENT INC	NLY	Cash	1,000	8.8000	8,800.00	1.86	1,100.00	12.50%
***BELO SUN MINING CORP	VNNHF	Cash	40,000	0.3720	14,880.00	3.15		
DIREXION SHS ETF TR DAILY 20+ YR TREASURY BEAR 3X SHS NEW	TMV	Cash	40	10.3900	415.60	0.08	9.00	2.17%
ELECTROMED INC	ELMD	Cash	2,520	6.6100	16,657.20	3.52		
ESPERION THERAPEUTICS INC	ESPR	Cash	300	36.6600	10,998.00	2.33		
FIVE PRIME THERAPEUTICS INC COM	FPRX	Cash	1,200	3.8750	4,650.00	0.98		
***FORTUNA SILVER MINES INC	FSM	Cash	700	3.0900	2,163.00	0.46		
***FRANCO-NEVADA CORPORATION	FRV	Cash	500	91.1600	45,580.00	9.64	600.00	1.10%
INCYTE CORPORATION FORMERLY INCYTE GENOMICS INC	INCY	Cash	180	74.2300	7,423.00	1.57		
JASON INDUSTRIES INC	JASN	Cash	8,000	0.3550	2,840.00	0.60		

TOTAL
on next
page

Page 36



E*TRADE Pro Elite
Investment Account



Account Number

Statement Period : September 1, 2019 - September 30, 2019

Account Type: SEP IRA

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (Continued)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
***KIRKLAND LAKE GOLD LTD COMMON SHARES	KL	Cash	1,000	44.8000	44,800.00	9.48	188.00	0.36%
***LEASOLD MING CORP COM NEW	LMCNF	Cash	12,000	1.9208	23,049.60	4.88		
MEDICINES CO	MDCO	Cash	1,500	50.0000	60,000.00	12.68		
NEXTAR THERAPEUTICS	NKTR	Cash	750	18.2150	13,661.25	2.89		
***SABINA GOLD & SILVER CORPORATION	SGSVF	Cash	20,000	1.3000	26,000.00	5.50		
SANGAMO THERAPEUTICS INC COMMON STOCK	SGMO	Cash	3,000	9.0500	27,150.00	5.74		
***SEABRIDGE GOLD INC	SA	Cash	500	12.8600	6,430.00	1.34		
SMTC CORPORATION	SMTX	Cash	30,385	2.1600	65,631.60	13.88		
***TOREX GOLD RES INC COM NEW	TORUF	Cash	3,000	12.4007	37,202.10	7.87		
***TOTAL S A 1 ADR REPRESENTING 1 ORD SHS	TOT	Cash	300	52.0000	15,600.00	3.30	722.00	4.63%
***TRANSOCEAN LTD US LISTED	RIG	Cash	2,400	4.4700	10,728.00	2.27		
***VALARIS PLC CLASS A ORDINARY SHARES	VAL	Cash	2,200	4.8100	10,582.00	2.24		
ZIOPHARM ONCOLOGY INC.	ZIOP	Cash	2,900	4.2800	12,412.00	2.63		
TOTAL STOCKS, OPTIONS & ETF					\$467,553.35	98.80%	\$2,491.00	0.53%
TOTAL PRICED PORTFOLIO HOLDINGS (ON 09/30/19)					\$472,761.67			
TOTAL ESTIMATED ACCOUNT HOLDINGS ANNUAL INCOME					\$2,491.00			



E*TRADE Pro Elite
Investment Account



Account Number: ****

Statement Period: September 1, 2019 - September 30, 2019

Account Type: SEP IRA

TRANSACTION HISTORY

SECURITIES PURCHASED OR SOLD

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
09/23/19 08:30	09/25/19	SMTG CORPORATION	SMTX	Bought	1,500	2.5400	3,814.95	
TOTAL SECURITIES ACTIVITY							\$3,814.95	

DIVIDENDS & INTEREST ACTIVITY

DATE	TRANSACTION TYPE	DESCRIPTION	SYMBOL/ CUSIP	AMOUNT DEBITED	AMOUNT CREDITED
09/26/19	Dividend	***FRANCO-NEVADA CORPORATION CASH DIV ON 500 SHS REC 09/13/19 PAY 09/26/19	FRV		125.00
09/26/19	Interest	E*TRADE SAVINGS BANK RSDA INTEREST			0.19
TOTAL DIVIDENDS & INTEREST ACTIVITY					\$125.19
NET DIVIDENDS & INTEREST ACTIVITY					\$125.19

RETIREMENT SWEEP DEPOSIT ACCOUNT PROGRAM (RSDA) ACTIVITY (0.0100% APY/0.0200%APY Earned as of 09/30/19)

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DATE	TRANSACTION TYPE	DESCRIPTION	TRANSACTION AMOUNT
09/01/19		OPENING BALANCE	\$8,208.08
09/25/19	Withdrawal	RETIREMENT SWEEP DEP FDIC-INS	-3,814.95
09/26/19	Deposit	RETIREMENT SWEEP DEP FDIC-INS	0.19
09/27/19	Deposit	RETIREMENT SWEEP DEP FDIC-INS	125.00
09/30/19		CLOSING BALANCE	\$5,208.32