PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

	esponse.	appropriate schedule attached for each "Yes" response.	and the	answered	Each question in this part must be answered and the appropriate schedul
× ⊠	Yes	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	8 ✓	Yes 🔲	III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.
No No	Yes	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	8 □	Yes 🔀	II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.
<u>₹</u>	Š	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	<u>8</u>	Yes 😾	 Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? if yes, complete and attach Schedule I.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child? Yes L Yes <u>8</u> × <u>₹</u>

SCHEDÜLE I — EARNED INCOME (INCLUDING HONORARIA)

Name and Shea-Karter

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Exercise: initially pay (over as inational seaso of 10001 to pay), tooolar complication programing and	9	ונס ופכפועפע שוושפו מופ סטכומו מ	Occidi Occurry Act.
Course (include date of receipt for honorarie)	Type	· Amount	unt
Course (motates vale of tecept for honoraria)	1 7 00	Current Year to Filing	Preceding Year
XYZ Corporation, Houston, TX	Salary	\$6,300	\$28,450
	Director's Fee	\$400	\$3,200
XYZ Trade Association, Chicago, IL (Rec'd December 2)	Honorarium	0	\$1,000
Harris County, Texas Public Schools	Spouse Salary	NA	ŇA
Internal Revenue Service	Spouse Salary	N_{A}	Λ/A

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1)5 Savings Bowns		US Gove Thrift Savings Plan	OC Aim Tovoquent Goth Receive Shares		Examples: Simon & Schuster	SP Mena Corp. Stock	Exclude: Your personal residence, and its geographic location in Block A. Exclude: Your personal residence, Including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling seconds; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	For rental or other real property held for investment, provide a complete address.	Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.	್ಲ - B೬೦೮K A Asset and/or Income Source
<u> </u>			<	×	Indefinite	×	None \$1 - \$1,000 \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$250,000 \$250,001 - \$500,000 \$500,001 - \$1,000,000 \$500,001 - \$5,000,000 \$5,000,001 - \$5,000,000 \$5,000,001 - \$5,000,000 \$5,000,001 - \$50,000,000 \$25,000,001 - \$50,000,000 Over \$50,000,000	C C C C C C C C C C C C C C C C C C C	Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."	BLOCK B Value of Asset
<				×	Royalties	×	NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	>	Check all columns that apply. For retirement accounts that do not allow you to choose specific investments of that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, Interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK C Type of Income
V					×	×	None — \$1 - \$200 = \$201 - \$1,000 ≡ \$1,001 - \$2,500 ≥ \$2,501 - \$5,000 ≤ \$5,001 - \$15,000 ≦ \$50,001 - \$100,000 ≦ \$50,001 - \$100,000 ∑ \$100,001 - \$1,000,000 ∑ \$1,000,001 - \$5,000,000 ∑ None — \$1 - \$200 = \$201 - \$1,000 ∑ \$1,001 - \$2,500 ≥ \$2,501 - \$5,000 ≥ \$5,001 - \$15,000 ≤ \$50,001 - \$100,000 ∑ \$100,001 - \$1,000,000 ∑ \$1,000,001 - \$5,000,000 ∑ \$100,001 - \$5,000,000 ∑	Current Year Preceding Year	For retirement accounts that c to choose specific investment ate tax-deferred income (such or IRAs), you may check the For all other assets, indicate income by checking the all below. Dividends, interest gains, even if reinvested, closed as income. Check income was earned or genera	BLOCK D Amount of Income