

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

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HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

Theodore E. Rokita

202-225-5037

2012 MAY 15 PM 5:18

(Full Name)

(Daytime Telephone)

Office Use Only

Filer Status
☒ Member of the U.S. House of Representatives

State: IN
District: CD4

☐ Officer Or Employee

Employing Office:

Report Type
☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

U.S. HOUSE OF REPRESENTATIVES
A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
State of Indiana	Salary (Prior to becoming Member)	\$2,900
Somerset CPA's P.C.	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source <small>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</small>	BLOCK B Year-End Value of Asset <small>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</small>	BLOCK C Type of Income <small>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</small>	BLOCK D Amount of Income <small>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</small>	BLOCK E Transaction <small>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</small>
JT Rental Condo - Mass Ave Indianapolis, IN	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
JT Rental House - 54th Street Indianapolis, IN	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
SP Rental Townhouse Indianapolis, IN	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
INVEST - BP PLC Spons ADR	None	DIVIDENDS/CAP ITAL GAINS	\$201 - \$1,000	S
INVEST - Calamos Conv GR	None	DIVIDENDS/CAP ITAL GAINS	\$201 - \$1,000	S
INVEST - Cash Account	\$15,001 - \$50,000	INTEREST	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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INVST - Columbia Acorn Fund	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S
INVST - Davis New York Venture Fund	\$1,001 - \$15,000	DIVIDENDS/INTEREST	\$1 - \$200	
INVST - Dell Inc	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S
INVST - Dow Chemical	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S
INVST - Growth Fund America CL A	None	CAPITAL GAINS	\$1,001 - \$2,500	S
INVST - Nationwide Americas Marketflex	None	CAPITAL GAINS	\$1,001 - \$2,500	S
INVST - Peabody Energy Corp	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S
INVST - Pioneer SER TR 1	None	CAPITAL GAINS	\$201 - \$1,000	S
IRA - Calamos International Growth Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P
IRA - Dreyfus Small Company Value Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P
IRA - First Eagle Global Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P
IRA - IVY Asset Strategy Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P
IRA - Janus Perkins Mid Cap Value Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P
IRA - Nuveen Tradewinds Value Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	IRA - Oppenheimer International	\$1,001 - \$15,000	Tax Deferred	NONE	P
	IRA - Permanent Portfolio Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P
	IRA - Yackman Fund Inc	\$1,001 - \$15,000	Tax Deferred	NONE	P
	ROTH IRA - First Eagle Global	\$1,001 - \$15,000	Tax Deferred	NONE	
	State of Indiana 401(a) Plan Indiana Fund 2035(1)	\$1,001 - \$15,000	Tax Deferred	NONE	
	State of Indiana 401(a) Plan Indiana Stable Value Fund(2)	\$1,001 - \$15,000	Tax Deferred	NONE	
	State of Indiana 457 Plan Indiana Fund 2035(1)	\$1,001 - \$15,000	Tax Deferred	NONE	
	State of Indiana 457 Plan Indiana Stable Value Fund(2)	\$1,001 - \$15,000	Tax Deferred	NONE	
SP	401(k) - Baron Small Cap	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	401(k) - Cambiar Small Cap Fund	\$15,001 - \$50,000	Tax Deferred	NONE	P
SP	401(k) - Mainstay Large Cap Growth	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	401(k) - Nuveen NWQ Large Cap Value	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	401(k) - Pimco Total Return Fund	\$15,001 - \$50,000	Tax Deferred	NONE	P
SP	401(k) - Virtus Real Estate Securities	\$1,001 - \$15,000	Tax Deferred	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	529 College Choice - Inflation Protected Portfolio	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	Fifth Third Bank Savings	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
SP	INVST SP - Angie's List Inc.	\$1,001 - \$15,000	None	NONE	P
SP	INVST SP - Apple Inc.	\$1,001 - \$15,000	None	NONE	P
SP	INVST SP - Fifth Third Bank Stock	\$1,001 - \$15,000	DIVIDENDS/INTE REST	\$1 - \$200	
SP	UBS IRA - FMI Large Cap	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	UBS IRA - Franklin/Templeton Global Bond Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	UBS IRA - iShares Barclays Intermediate Credit Bond Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	UBS IRA - iShares MSCI Japan Index Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	UBS IRA - iShares Russell Top 200 Growth Index Fund	\$1,001 - \$15,000	Tax Deferred	NONE	PS(part)
SP	UBS IRA - iShares Russell Top 200 Value Index Fund	\$1,001 - \$15,000	Tax Deferred	NONE	PS(part)
SP	UBS IRA - John Hancock Disciplined Value Mid Cap Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	UBS IRA - JP Morgan Core Bond Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	UBS IRA - Liquid Assets Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	UBS IRA - Loomis Sayles Investment Grade Bond Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	UBS IRA - Mainstay ICAP International Fund	\$1,001 - \$15,000	Tax Deferred	NONE	PS(part)
SP	UBS IRA - Manning & Napier Fund, Inc. World Opportunities	\$1,001 - \$15,000	Tax Deferred	NONE	PS(part)
SP	UBS IRA - Oppenheimer International Bond Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	UBS IRA - Pimco Total Return Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	UBS IRA - Prudential Jennison Mid-Cap Growth Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	UBS IRA - River Park/Wedgewood Retail Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	UBS IRA - SPDR MSCI ACWI Ex-US	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	UBS IRA - SPDR S&P 500 EFT Trust	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	UBS IRA - Vanguard Bond Index Fund Intermediate Term Bond	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	UBS IRA - Vanguard Bond Index Fund Total Bond Market	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	UBS IRA - Vanguard MSCI Emerging Markets ETF	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	UBS IRA - Vanguard Total Stock Market ETF	\$1,001 - \$15,000	Tax Deferred	NONE	P
SP	UBS IRA - Virtus Multi-Sector Short Term Bond Fund	\$1,001 - \$15,000	Tax Deferred	NONE	P

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	401(k) - Baron Small Cap	P	N/A	6/2/11	\$1,001 - \$15,000
SP	401(k) - Cambiar Small Cap Fund	P	N/A	6/2/11	\$1,001 - \$15,000
SP	401(k) - Columbia Small Cap Value II A	S	No	6/1/11	\$15,001 - \$50,000
SP	401(k) - Mainstay Large Cap Growth	P	N/A	6/2/11	\$1,001 - \$15,000
SP	401(k) - Nuveen NWQ Large Cap Value	P	N/A	6/2/11	\$1,001 - \$15,000
SP	401(k) - Pimco Total Return A	S	No	6/1/11	\$15,001 - \$50,000
SP	401(k) - Pimco Total Return Fund	P	N/A	6/2/11	\$15,001 - \$50,000
SP	401(k) - PNC Mid Cap Value II A	S	No	6/1/11	\$1,001 - \$15,000
SP	401(k) - Royce Value Plus SVC	S	No	6/1/11	\$15,001 - \$50,000
SP	401(k) - Russell Real Estate Securities	S	No	6/1/11	\$1,001 - \$15,000
SP	401(k) - Turner Mid Cap Growth Inv	S	No	6/1/11	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	401(k) - Virtus Real Estate Securities	P	N/A	6/2/11	\$1,001 - \$15,000
SP	529 College Choice - Inflation Protected Portfolio	P	N/A	12/30/11	\$1,001 - \$15,000
	INVST - BP PLC Spons ADR	S	Yes	7/22/11	\$1,001 - \$15,000
	INVST - Calamos Conv GR	S	Yes	7/22/11	\$1,001 - \$15,000
	INVST - Cash Account	P	N/A	7/22/11	\$15,001 - \$50,000
	INVST - Columbia Acorn Fund	S	Yes	7/22/11	\$1,001 - \$15,000
	INVST - Dell Inc	S	Yes	7/22/11	\$1,001 - \$15,000
	INVST - Dow Chemical	S	Yes	7/22/11	\$1,001 - \$15,000
	INVST - Growth Fund America CL A	S	Yes	7/22/11	\$1,001 - \$15,000
	INVST - Growth Fund America CL A (Was Growth Fund America)	E	N/A	5/11/11	\$1,001 - \$15,000
	INVST - Nationwide Americas Marketflex	S	Yes	3/30/11	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	INVST - Peabody Energy TR	S	Yes	7/22/11	\$1,001 - \$15,000
	INVST - Pioneer SER TR 1	S	Yes	7/22/11	\$1,001 - \$15,000
	INVST - US Natural Gas Fund	P	N/A	3/8/11	\$1,001 - \$15,000
SP	INVST SP - Angie's List Inc.	P	N/A	11/23/11	\$1,001 - \$15,000
	INVST SP - Apple Inc.	P	N/A	11/15/11	\$1,001 - \$15,000
	IRA - Calamos International Growth Fund	P	N/A	7/14/11	\$1,001 - \$15,000
	IRA - Dreyfus Advantage Small Cap Fund	P	N/A	7/14/11	\$1,001 - \$15,000
	IRA - Dreyfus Small Company Value Fund	P	N/A	7/14/11	\$1,001 - \$15,000
	IRA - First Eagle Global Fund	P	N/A	7/14/11	\$1,001 - \$15,000
	IRA - IVY Asset Strategy Fund	P	N/A	7/14/11	\$1,001 - \$15,000
	IRA - Janus Perkins Mid Cap Value Fund	P	N/A	7/14/11	\$1,001 - \$15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	IRA - Nuveen Tradewinds Value Fund	P	N/A	7/14/11	\$1,001 - \$15,000
	IRA - Oppenheimer International	P	N/A	7/14/11	\$1,001 - \$15,000
	IRA - Permanent Portfolio Fund	P	N/A	7/14/11	\$1,001 - \$15,000
	IRA - Yackman Fund Inc	P	N/A	7/14/11	\$1,001 - \$15,000
SP	UBS IRA - Alternative Strategies Fund	S	No	7/21/11	\$1,001 - \$15,000
SP	UBS IRA - Blackrock High Yield Bond	S	No	8/8/11	\$1,001 - \$15,000
SP	UBS IRA - Blackrock High Yield Bond	P	N/A	7/22/11 7/31/11	\$1,001 - \$15,000
SP	UBS IRA - Calvert Short Duration Income Fund	S	No	12/2/11	\$1,001 - \$15,000
SP	UBS IRA - Calvert Short Duration Income Fund	P	N/A	7/22/11 Monthly	\$1,001 - \$15,000
SP	UBS IRA - Equities Fund	S	No	7/21/11	\$50,001 - \$100,000
SP	UBS IRA - Fixed Income Fund	S	No	7/21/11	\$15,001 - \$50,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	UBS IRA - FMI Large Cap	P	N/A	11/3/11	\$1,001 - \$15,000
SP	UBS IRA - Franklin/Templeton Global Bond Fund	P	N/A	7/22/11	\$1,001 - \$15,000
SP	UBS IRA - Hartford International Opportunities Fund	S(part)	No	8/8/11	\$1,001 - \$15,000
SP	UBS IRA - Hartford International Opportunities Fund	S	No	8/19/11	\$1,001 - \$15,000
SP	UBS IRA - Hartford International Opportunities Fund	P	N/A	7/22/11	\$1,001 - \$15,000
SP	UBS IRA - iShares Barclays Intermediate Credit Bond Fund	P	N/A	7/22/11	\$1,001 - \$15,000
SP	UBS IRA - iShares MSCI Japan Index Fund	P	N/A	7/22/11	\$1,001 - \$15,000
SP	UBS IRA - iShares Russell Top 200 Growth Index Fund	P	N/A	7/22/11	\$1,001 - \$15,000
SP	UBS IRA - iShares Russell Top 200 Growth Index Fund	S(part)	No	11/9/11	\$1,001 - \$15,000
SP	UBS IRA - iShares Russell Top 200 Value Index Fund	P	N/A	7/22/11	\$1,001 - \$15,000
SP	UBS IRA - iShares Russell Top 200 Value Index Fund	S(part)	No	11/3/11	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	UBS IRA - iShares S&P US Preferred Stock Index Fund	S	No	8/8/11	\$1,001 - \$15,000
SP	UBS IRA - iShares S&P US Preferred Stock Index Fund	P	N/A	7/22/11	\$1,001 - \$15,000
SP	UBS IRA - John Hancock Disciplined Value Mid Cap Fund	P	N/A	7/22/11	\$1,001 - \$15,000
SP	UBS IRA - JP Morgan Core Bond Fund	P	N/A	7/22/11	\$1,001 - \$15,000
SP	UBS IRA - Loomis Sayles Investment Grade Bond Fund	P	N/A	7/22/11	\$1,001 - \$15,000
SP	UBS IRA - Mainstay ICAP International Fund	S(part)	No	8/8/11	\$1,001 - \$15,000
SP	UBS IRA - Mainstay ICAP International Fund	P	N/A	7/22/11	\$1,001 - \$15,000
SP	UBS IRA - Manning & Napier Fund, Inc. World Opportunities	S(part)	No	8/8/11	\$1,001 - \$15,000
SP	UBS IRA - Manning & Napier Fund, Inc. World Opportunities	P	N/A	7/22/11	\$1,001 - \$15,000
SP	UBS IRA - Oppenheimer International Bond Fund	P	N/A	7/22/11	\$1,001 - \$15,000
SP	UBS IRA - Pimco Total Return Fund	P	N/A	7/22/11	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	UBS IRA - Prudential Jennison Mid-Cap Growth Fund	P	N/A	7/22/11	\$1,001 - \$15,000
SP	UBS IRA - River Park/Wedgewood Retail Fund	P	N/A	11/9/11	\$1,001 - \$15,000
SP	UBS IRA - SPDR MSCI ACWI Ex-US	P	N/A	12/7/11	\$1,001 - \$15,000
SP	UBS IRA - SPDR S&P 500 EFT Trust	P	N/A	8/8/11	\$1,001 - \$15,000
SP	UBS IRA - Vanguard Bond Index Fund Intermediate Term Bond	P	N/A	7/22/11	\$1,001 - \$15,000
SP	UBS IRA - Vanguard Bond Index Fund Total Bond Market	P	N/A	8/8/11	\$1,001 - \$15,000
SP	UBS IRA - Vanguard MSCI Emerging Markets ETF	P	N/A	7/22/11	\$1,001 - \$15,000
SP	UBS IRA - Vanguard Total Stock Market ETF	P	N/A	12/7/11	\$1,001 - \$15,000
SP	UBS IRA - Virtus Multi-Sector Short Term Bond Fund	P	N/A	7/22/11	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	GMAC	Dec 2010	Mortgage on Principal Residence	\$250,001 - \$500,000
SP	GMAC (Formerly Chase Bank)	Apr 2011	Mortgage on Indy Rental Townhouse	\$100,001 - \$250,000
JT	GMAC	Feb 2010	Mortgage on 54th St. Rental House	\$50,001 - \$100,000
	Fifth Third Bank	2002	Mortgage on Mass Ave Rental Condo	\$15,001 - \$50,000
JT	PNC Bank	June 2005	Equity Line on Principal Residence	\$15,001 - \$50,000
SP	PNC Bank	Nov 2003	Equity Line on Rental Townhouse	\$10,001 - \$15,000
	Fifth Third Bank	Dec 2011	Revolving Charge Account	\$10,001 - \$15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Heritage Foundation	Jan. 27-30	FL-Los Angeles	Y	Y	N	1 Day
Liberty Fund, Inc.	Jan. 30- Feb. 3	Los Angeles-Indianapolis	Y	Y	N	1 Day
Steamboat Institute	Aug. 19-20	Indianapolis-Steamboat Springs, CO-Indianapolis	Y	Y	N	None

SCHEDULE VIII - POSITIONS

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	St. Vincent Hospital Foundation Board
Board Member	St. Joseph College Board of Trustees

FOOTNOTES

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	UBS IRA - Cash Alternatives Fund did not need to be listed and is no longer listed on the current report	UBS IRA - Cash Alternatives Fund (2011 Report)
2	Schedule III	This item does not appear in the current report because it was not required to be listed on the previous report and is below the reporting threshold.	Pace Oil and Gas LTD (2011 Report)
3	Schedule III	This item does not appear in the current report because it was not required to be listed on the previous report and is below the reporting threshold.	Provident Energy TR (2011 Report)
4	Schedule III	This item has been converted to INVST - Cash Account	Wells Fargo Sweep Account (2011 Report)
5	Schedule IV	There is no corresponding Schedule III transaction due to YE value below threshold amounts.	INVST - US Natural Gas Fund (2012 Report)
6	Schedule III	Rollover to IRA	State of Indiana PERF
7	Schedule V	Equity line amount has dropped from previous report.	PNC Bank
8	Schedule V	Total mortgage amount due has dropped from previous year.	Fifth Third Bank