

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

Page 1 of 27

Ann L. Wagner

(Full Name)

(Daytime Telephone)

Filer Status: ☒ Member of the U.S. House of Representatives State: MO District: 2nd

☐ Officer Or Employee Employing Office:

Report Type: ☒ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2013 MAY -9 AM 11:25

(Office Use Only)

MC

SCHEDULE I - EARNED INCOME

Name Ann L. Wagner

Page 2 of 27

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Enterprise Holdings	Spouse - Salary	N/A
Washington University School of Law	Spouse - Teaching Stipend	N/A
St. Louis County Board of Police Commissioners	Spouse - Fee	N/A
United States Department of Treasury	Spouse - Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ann L. Wagner

Page 3 of 27

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	BLOCK B Year-End Value of Asset Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None." * This column is for assets held solely by your spouse or dependent child.	BLOCK C Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D Amount of Income For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. * This column is for income generated by assets held solely by your spouse or dependent child.	BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
JT Bank of America Checking Account	\$1,001 - \$15,000	INTEREST	NONE	
DC Bank of America Checking Account	\$1,001 - \$15,000	INTEREST	NONE	
DC Bank of America Money Market Account	\$1,001 - \$15,000	INTEREST	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ann L. Wagner

Page 4 of 27

Filer & SP	Missouri MOST 529 Plans:					
	-Moderate Age-Based Option: Vanguard Conservative Income Fund	\$100,001 - \$250,000	TAX-DEFERRED	NONE		E
	-Moderate Age-Based Option: Vanguard Income Portfolio	\$50,001 - \$100,000	TAX-DEFERRED	NONE		E
JT	Rental Timeshare - Breckenridge CO	\$50,001 - \$100,000	RENT	\$2,501 - \$5,000		
	Northwestern Mutual Insurance Policies:					
	-Whole Life (90 Life)	\$15,001 - \$50,000	None	NONE		
	-Whole Life (Extraordinary Life)	\$15,001 - \$50,000	None	NONE		
SP	Northwestern Mutual Insurance Policy(s):					
	Whole Life	\$250,001 - \$500,000	None	NONE		

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ann L. Wagner

Page 5 of 27

SP	Northwestern Mutual - Variable Complife:	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	-Select Bond	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	-International Equity (MSA/Franklin)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	-Large Cap Core (MSA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	-Index 400 Stock (MSA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	-Small Cap Growth Stock (MSA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	-Russell Multi-Style Equity	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	-Russell Non-US	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	-Russell Global Real Estate Securities	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	-Russell Core Bond	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Northwestern Mutual Insurance Policie(s): (Children):				
	-Whole Life	\$15,001 - \$50,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ann L. Wagner

Page 6 of 27

SP	National Life Insurance of Vermont:					
	-Whole Life	\$1,001 - \$15,000	None	NONE		
DC	Fidelity Roth IRA:					
	-Cash Reserves	\$1 - \$1,000	TAX-DEFERRED	NONE		
	-American EuroPacific Growth F-1	\$1,001 - \$15,000	TAX-DEFERRED	NONE		
	-BlackRock Small Cap Growth Instl	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P	
	-JP Morgan Mid Cap Value Instl	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P	
	-Toucharstone Fds Grp Tr Em Mkts Y	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P	
	-Vanguard Index Fds Vanguard Growth	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P	
SP	Northern Trust - Muenster Family Trust (Contingent Partial Interest):	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000		
	-MFB Northern Multi Manager Small Cap FD	\$1 - \$1,000	DIVIDENDS	\$1 - \$200		
	-MFB Northern Multi-Manager Mid Cap FD	\$1 - \$1,000	DIVIDENDS	\$1 - \$200		

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ann L. Wagner

Page 7 of 27

	-MFB Northern FDS Multi-Manager Emerging Mkt Equity Fd	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	-MFB Northern FDS Multi-Manager Int'l Equity Fund	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
	-MFB Northern FDS Multi-Manager Large Cap Fund	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
	-MFB Northern Multi Manager Global Real Estate	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	-MFB Flexshare BOXX 3 YR TDIT	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	-MFX Flexshare Tri BOXX 5 YR TDIF	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	-NFX Flexshare Morningstar Global Upstream Nat Res	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	-MFB Northern FDS Fixed Income Fund	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
	-MFB Northern High Yield Fixed Income MFB MF	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
	-MFB Northern Ultra Short Fixed Income Fund	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
	-MFC Spdr Gold TR Gold Sh	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	-MFO Pimco Fds Pac Invst Man Commodity Real Return	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
	-MFB Northern Funds Small Cap Core Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ann L. Wagner

Page 8 of 27

Fidelity IRA:				
-Cash Reserves	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
-AQR Managed Futures Fund I	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
-American Beacon Small Cap Val	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
-Cohen & Steers Instl Realty	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
-Dodge & Cox International Stock	\$15,001 - \$50,000	TAX-DEFERRED	NONE	P
-JP Morgan Mid Cap Value	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
-Cohen & Steers International Realty I	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
-Meridian Growth Fund	None	TAX-DEFERRED	NONE	S
-Oakmark Intl Small Cap	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
-Blackrock Small Cap Growth	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
-Stone Harbor Local Mkts	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
-Touchstone Emerging Markets	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
-Vanguard Index Fds Vang Val Vipers	\$15,001 - \$50,000	TAX-DEFERRED	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ann L. Wagner

Page 9 of 27

	-Vanguard Index Fds Vang Growth	\$15,001 - \$50,000	TAX-DEFERRED	NONE	
	-Prudential Jennison MidCap Gr Z	\$15,001 - \$50,000	TAX-DEFERRED	NONE	P
SP	Fidelity Roth IRA:				
	-Cash Reserves	\$1 - \$1,000	TAX-DEFERRED	NONE	
	-Dodge & Cox International Stock Fund	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
	-JP Morgan MidCap Value Fund	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
	-Blackrock Small Cap Growth Equity	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
	-Touchstone Emerging Markets Fund	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
	-Vanguard Index Fds Vang Value Vipers	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
	-Vanguard Index Fds Vang Growth Vipers	\$1,001 - \$15,000	TAX-DEFERRED	NONE	
	-William Blair International Growth I	\$1,001 - \$15,000	TAX-DEFERRED	NONE	P
SP	Fidelity Traditional IRA:				

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ann L. Wagner

Page 10 of 27

	Cash Reserves	None	TAX-DEFERRED	NONE	
SP	Enterprise Holdings 401k & Profit Sharing Plan administered by Fidelity:				
	-Profit Sharing Fund (managed by Commerce Trust)	\$100,001 - \$250,000	TAX-DEFERRED	NONE	
	-401k Holdings:				
	-Pimco Total Rt Inst	\$50,001 - \$100,000	TAX-DEFERRED	NONE	P
	-Nuveen Win LGCP Gr I	\$50,001 - \$100,000	TAX-DEFERRED	NONE	P
	-Vanguard Windsor II Adm	\$15,001 - \$50,000	TAX-DEFERRED	NONE	P
	-Vanguard Sm Cap Idx Inst	\$15,001 - \$50,000	TAX-DEFERRED	NONE	P
	-Vanguard Mid Cap Idx Inst	\$15,001 - \$50,000	TAX-DEFERRED	NONE	P
	-AF EuroPac Growth R6	\$15,001 - \$50,000	TAX-DEFERRED	NONE	E
SP & DC II	Fidelity Custodial Account:				
	-Cash Reserves	\$1 - \$1,000	INTEREST	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ann L. Wagner

Page 11 of 27

	-McDonalds Corp	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	-American Funds EuroPac F	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	-American Beacon Small Cap Val	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	P
	-Prudential Jennison Mid Cap Gr	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	P
	-Vanguard Index Fds Vang Val Vipers	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	-Vanguard Index Fds Vang Growth	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	-Schwab International Idx	None	CAPITAL GAINS	\$201 - \$1,000	S
	-Schwab Small Cap Idx	None	CAPITAL GAINS	\$1,001 - \$2,500	S
SP & DC III	Fidelity Custodial Account:				
	-Cash Reserves	\$1 - \$1,000	INTEREST	NONE	
	-American Funds EuroPac Gr F-2	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	-BlackRock Small Cap Growth Instl	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	P
	-JP Morgan Mid Cap Value Instl	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	P
	-Vanguard 500 Index Signal	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ann L. Wagner

Page 12 of 27

JT	Fidelity Trust:				
	-Cash Reserves	\$100,001 - \$250,000	INTEREST	\$1 - \$200	
	-Bonds:				
	-Fort Zumwalt Sch Dis Rev	None	INTEREST/CAPITAL GAINS	\$1,001 - \$2,500	S
	-Houston TX BDS Public Impt Ref	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
	-East China Sch Dist Mich Ref Sch	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
	-South San Antonio Tex Indpt School Dist	\$15,001 - \$50,000	INTEREST	NONE	
	-Duneland Ind School Bldg Corp First	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
	-Ramapo NY Pub Impt Bds Ser	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
	-Garfield Heights Ohio Cnty Sch Dist	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
	-Laurel Miss Sch Dist GO Bds Ser	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
	-Carbon Vy Pk & Rec Dist Colo Ref	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
	-South Dakota BRD Regts Hsg Bnds	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ann L. Wagner

Page 13 of 27

-Oshkosh Wis Storm Wtr Util Rev Bds	\$15,001 - \$50,000	INTEREST	NONE	P
-University NM Gallup Branch GO	\$50,001 - \$100,000	INTEREST	NONE	P
-Arizona BRD Reg Ariz St Univ Rev	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
-Polk Marion & Benton CNTY Ore Sch	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
-New York GO BDS Ser	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
-University Okla Revs Gen Rev Ref	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
-Renton Wash LTD Tax Go Bds	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
-Waupaca Cnty Wis Go Prom	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
-Berks Cnty PA GO Bnds	\$50,001 - \$100,000	INTEREST	NONE	
-Grand Prairie Tex WTR and Wastewater Bnds	\$100,001 - \$250,000	INTEREST	\$2,501 - \$5,000	
-Nacogdoches Tex Go Ref Bds Ser	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	P
-Chisholm Creek Util Auth KS Wtr & Swr	\$50,001 - \$100,000	INTEREST	NONE	P
-Pierce Cnty WA FGIC	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ann L. Wagner

Page 14 of 27

	Funds - Fidelity Trust:				
	-Vanguard Index Fds Vang Growth	\$250,001 - \$500,000	DIVIDENDS	\$2,501 - \$5,000	P
	-Vanguard Index Fds Val Vipers	\$250,001 - \$500,000	DIVIDENDS	\$5,001 - \$15,000	P
	-AQR Managed Futures	\$100,001 - \$250,000	DIVIDENDS	\$201 - \$1,000	P
	-American Beacon Small Cap Val	\$100,001 - \$250,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	P
	-Blackrock Small Cap Growth	\$100,001 - \$250,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	P
	-Cohen & Steers Instl Realty	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	P
	-Cohen & Steers International Realty	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	P
	-Dodge & Cox International Stock	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	P
	-JP Morgan Mid Cap Value	\$100,001 - \$250,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	P
	-Forward International Small Co	None	CAPITAL GAINS	\$1,001 - \$2,500	S
	-Oakmark International Small Cap	\$100,001 - \$250,000	DIVIDENDS	\$1,001 - \$2,500	P
	-Meridian Growth Fund	None	CAPITAL GAINS	\$2,501 - \$5,000	S
	-American New World Fund Class A	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ann L. Wagner

Page 15 of 27

-Stone Harbor Local Markets Instl	\$15,001 - \$50,000	DIVIDENDS/CAP TAL GAINS	\$1,001 - \$2,500	P
-Touchstone Emerging Markets Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
-Prudential Jennison Mid Cap Gr Z	\$100,001 - \$250,000	DIVIDENDS/CAP TAL GAINS	\$2,501 - \$5,000	P
-William Blair International Growth I	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	P
-ASG Managed Futures Strat Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P

SCHEDULE IV - TRANSACTIONS

Name Ann L. Wagner

Page 16 of 27

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Pierce Cnty WA FGIC	P	N/A	01-09-2012	\$50,001 - \$100,000
	American Beacon Small Cap Val	P	N/A	01-20-2012	\$1,001 - \$15,000
	AQR Managed Futures Strat I	P	N/A	01-20-2012	\$1,001 - \$15,000
	Cohen & Steers RealSh	P	N/A	01-20-2012	\$1,001 - \$15,000
	Oakmark International Small Cap I	P	N/A	01-20-2012	\$1,001 - \$15,000
	Touchstone Emerging Markets	P	N/A	01-20-2012	\$1,001 - \$15,000
	Vanguard Value ETF	P	N/A	01-20-2012	\$15,001 - \$50,000
	William Blair International Growth I	P	N/A	01-20-2012	\$15,001 - \$50,000
	Meridian Growth Fund	P	N/A	01-23-2012	\$1,001 - \$15,000
	BlackRock Small Cap Growth I	P	N/A	02-22-2012	\$1,001 - \$15,000
	Cohen & Steers Intl Realty I	P	N/A	02-22-2012	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Ann L. Wegner

Page 17 of 27

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Dodge & Cox International Stock	P	N/A	02-22-2012	\$15,001 - \$50,000
	JP Morgan Mid Cal Value Instl	P	N/A	02-22-2012	\$1,001 - \$15,000
	Stone Harbor Fds Local Mkts	P	N/A	02-22-2012	\$1,001 - \$15,000
	Vanguard Growth ETF	P	N/A	02-22-2012	\$15,001 - \$50,000
	Vanguard Value ETF	P	N/A	02-22-2012	\$15,001 - \$50,000
	William Blair International Growth I	P	N/A	02-22-2012	\$15,001 - \$50,000
	American Beacon Small Cap Val I	P	N/A	03-22-2012	\$1,001 - \$15,000
	AQR Managed Futures Strategy I	P	N/A	03-22-2012	\$1,001 - \$15,000
	Cohen & Steers RealSh Inst	P	N/A	03-22-2012	\$1,001 - \$15,000
	Oakmark International Small Cap I	P	N/A	03-22-2012	\$1,001 - \$15,000
	Touchstone Emerging Markets	P	N/A	03-22-2012	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Ann L. Wagner

Page 18 of 27

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Vanguard Value ETF	P	N/A	03-22-2012	\$15,001 - \$50,000
	William Blair International Growth I	P	N/A	03-22-2012	\$1,001 - \$15,000
	Meridian Growth Fund	P	N/A	03-23-2012	\$1,001 - \$15,000
	BlackRock Small Cap Growth I	P	N/A	04-10-2012	\$1,001 - \$15,000
	Cohen & Steers International Realty I	P	N/A	04-10-2012	\$1,001 - \$15,000
	Dodge & Cox International Stock	P	N/A	04-10-2012	\$1,001 - \$15,000
	JP Morgan Mid Cap Value Instl	P	N/A	04-10-2012	\$1,001 - \$15,000
	Stone Harbor Fds Local Mkts	P	N/A	04-10-2012	\$1,001 - \$15,000
	Vanguard Growth ETF	P	N/A	04-10-2012	\$15,001 - \$50,000
	American Beacon Small Cap Val	P	N/A	04-20-2012	\$1,001 - \$15,000
	AQR Managed Futures Strategy I	P	N/A	04-20-2012	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Ann L. Wagner

Page 19 of 27

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III. * This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Cohen & Steers RealSh Inst	P	N/A	04-20-2012	\$1,001 - \$15,000
	Oakmark International Small Cap I	P	N/A	04-20-2012	\$1,001 - \$15,000
	Touchstone Emerging Markets	P	N/A	04-20-2012	\$1,001 - \$15,000
	Vanguard Value ETF	P	N/A	04-20-2012	\$15,001 - \$50,000
	William Blair International Growth I	P	N/A	04-20-2012	\$1,001 - \$15,000
	Meridian Growth Fund	P	N/A	04-23-2012	\$1,001 - \$15,000
	BlackRock Small Cap Growth	P	N/A	05-08-2012	\$1,001 - \$15,000
	Cohen & Steers International Realty I	P	N/A	05-08-2012	\$1,001 - \$15,000
	Natixis ASG Man Futures Strat Y	P	N/A	05-08-2012	\$1,001 - \$15,000
	Oakmark International Small Cap I	P	N/A	05-08-2012	\$1,001 - \$15,000
	Stone Harbor Fds Local Mkts	P	N/A	05-08-2012	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Ann L. Wagner

Page 20 of 27

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.
* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Touchstone Emerging Markets	P	N/A	05-08-2012	\$1,001 - \$15,000
	William Blair International Growth I	P	N/A	05-08-2012	\$1,001 - \$15,000
	Meridian Growth Fund	P	N/A	05-09-2012	\$1,001 - \$15,000
	Nacodoches TX	P	N/A	05-10-2012	\$50,001 - \$100,000
	Oshkosh Wls Storm Wtr Utl Rev	P	N/A	10-16-2012	\$15,001 - \$50,000
	American Beacon Small Cap Val	P	N/A	10-24-2012	\$1,001 - \$15,000
	BlackRock Small Cap Growth	P	N/A	10-24-2012	\$1,001 - \$15,000
	JP Morgan Mid Cap Value	P	N/A	10-24-2012	\$1,001 - \$15,000
	Oakmark International Small Cap	P	N/A	10-24-2012	\$15,001 - \$50,000
	Prudential Jennison MidCap Gr Z	P	N/A	10-24-2012	\$50,001 - \$100,000
	Vanguard Value ETF	P	N/A	10-24-2012	\$15,001 - \$50,000

SCHEDULE IV - TRANSACTIONS

Name Ann L. Wagner

Page 21 of 27

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Chisolm Creek Util Auth KS Wtr & Swr Wastewater Ref	P	N/A	11-05-2012	\$50,001 - \$100,000
	University NM Gallup Branch GO	P	N/A	11-07-2012	\$50,001 - \$100,000
	Oakmark International Small Cap I	P	N/A	11-27-2012	\$1,001 - \$15,000
	Prudential Jennison Mid Cap Gr Z	P	N/A	11-27-2012	\$1,001 - \$15,000
	Vanguard Growth ETF	P	N/A	11-27-2012	\$15,001 - \$50,000
	William Blair International Growth I	P	N/A	11-27-2012	\$1,001 - \$15,000
	American Beacon Small Cap Val	P	N/A	12-24-2012	\$1,001 - \$15,000
	BlackRock Small Cap Growth	P	N/A	12-24-2012	\$1,001 - \$15,000
	JP Morgan Mid Cap Value	P	N/A	12-24-2012	\$1,001 - \$15,000
	Vanguard Value ETF	P	N/A	12-24-2012	\$15,001 - \$50,000
	Fort Zumwalt MO Sch Dist Ref	S	Yes	03-01-2012	\$15,001 - \$50,000

SCHEDULE IV - TRANSACTIONS

Name Ann L. Wagner

Page 22 of 27

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Forward International Small Co Instl	S	Yes	10-24-2012	\$15,001 - \$50,000
	Merdian Growth Fund	S	Yes	10-25-2012	\$50,001 - \$100,000
	William Blair International Growth I	P	N/A	10-24-2012	\$1,001 - \$15,000
	Dodge & Cox International Stock	P	N/A	01-20-2012	\$1,001 - \$15,000
	Prudential Jennison Mid Cap Gr Z	P	N/A	10-24-2012	\$15,001 - \$50,000
	Merdian Growth Fund	S	Yes	10-25-2012	\$1,001 - \$15,000
	Blackrock Small Cap Growth	P	N/A	06-21-2012	\$1,001 - \$15,000
	JP Morgan Mid Cap Val	P	N/A	06-21-2012	\$1,001 - \$15,000
	Touchstone Emerging Markets	P	N/A	06-21-2012	\$1,001 - \$15,000
	Vanguard Growth ETF	P	N/A	06-21-2012	\$1,001 - \$15,000
	American Funds EuroPac F	P	N/A	06-21-2012	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Ann L. Wagner

Page 23 of 27

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.
This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	American Beacon Small Cap Val	P	N/A	06-21-2012	\$1,001 - \$15,000
	Prudential Jennison Mid Cap Gr Z	P	N/A	06-21-2012	\$1,001 - \$15,000
	Vanguard Value ETF	P	N/A	06-21-2012	\$1,001 - \$15,000
	CitiGroup C	S	No	06-21-2012	\$1,001 - \$15,000
	Schwab 1000 Inv Fund	S	No	06-21-2012	\$1,001 - \$15,000
	Schwab International Idx	S	Yes	06-21-2012	\$1,001 - \$15,000
	Schwab Small Cap Idx	S	Yes	06-21-2012	\$1,001 - \$15,000
	WalGreen Company	S	No	06-21-2012	\$1,001 - \$15,000
	American Funds EuroPacific Gr F-2	P	N/A	10-24-2012	\$1,001 - \$15,000
	Blackrock Small Cap Growth	P	N/A	10-24-2012	\$1,001 - \$15,000
	JP Morgan Mid Cap Val	P	N/A	10-24-2012	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Ann L. Wagner

Page 24 of 27

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
	Vanguard 500 Index Signal	P	N/A	10-24-2012	\$1,001 - \$15,000
	Vanguard Aggressive Growth Portfolio	E	N/A	06-07-2012	\$15,001 - \$50,000
	Moderate Age Based Option: Vanguard Conservative Income Portfolio	E	N/A	06-07-2012	\$15,001 - \$50,000
	Vanguard Aggressive Growth Portfolio	E	N/A	06-07-2012	\$1,001 - \$15,000
	Moderate Age Based Option: Vanguard Conservative Income Portfolio	E	N/A	06-07-2012	\$1,001 - \$15,000
	Vanguard Aggressive Growth Portfolio	E	N/A	06-07-2012	\$15,001 - \$50,000
	Moderate Age Based Option: Vanguard Income Portfolio	E	N/A	06-07-2012	\$15,001 - \$50,000
	PIM Total Rt Inst	P	N/A	Monthly	\$1,001 - \$15,000
	Nuveen Win LGCP Gr1	P	N/A	Monthly	\$1,001 - \$15,000
	Vanguard Windsor II Adm	P	N/A	Monthly	\$1,001 - \$15,000
	Vanguard Sm Cap Idx Inst	P	N/A	Monthly	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Ann L. Wagner

Page 25 of 27

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
 Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.
 * This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Vanguard Mid Cap Idx Inst	P	N/A	Monthly	\$1,001 - \$15,000
	AF EuroPac Growth R4	E	N/A	09-30-2012	\$15,001 - \$50,000
	AF EuroPac Growth R6	E	N/A	09-30-2012	\$15,001 - \$50,000
	-MFX Flexshare Tri BOX 5 YR TDIF	P	N/A	07-01-2012	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

Name Ann L. Wagner

Page 26 of 27

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
SP	American Express Card, PO Box 650448, Dallas, TX	2012	Revolving Credit Card	\$15,001 - \$50,000

SCHEDULE VIII - POSITIONS

Name Ann L. Wagner

Page 27 of 27

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Ann L. and Raymond T. Wagner Revocable Trust, dated August 20, 2004
*Trustee	St. Louis Children's Hospital
*Board Member	Forest Park Forever
*Board Member	Washington University Catholic Student Center
*Board Member	Washington University International Scholars Academy
*Trustee	Cor Jesu Academy
*Resigned in November of 2012	