



Filing ID #10013574

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. John C. Carney Jr.  
**Status:** Member  
**State/District:** DE00

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2015  
**Filing Date:** 08/14/2016

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Delaware College Savings Plan ⇒ Delaware College Savings Plan (DC1)  DESCRIPTION: Sam's account Balance \$19,525	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Delaware College Savings Plan ⇒ Delaware College Savings Plan (DC2)  DESCRIPTION: Jim's account Balance \$65,941	DC	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Delaware State Employees Pension Fund		Undetermined	None		<input type="checkbox"/>
Fidelity 457 Retirement Plan ⇒ Fidelity Balanced		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Fidelity 457 Retirement Plan ⇒ Fidelity Convertible Securities		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity 457 Retirement Plan ⇒ Fidelity Diversified International  DESCRIPTION: Exchanged \$24K to Fidelity Select Biotech		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity 457 Retirement Plan ⇒ Fidelity Freedom 2025		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Exchanged out to Fidelity Freedom 2030 Match funds left					
Fidelity 457 Retirement Plan ⇒ Fidelity Freedom Fund 2030		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Exchange in from Freedom Fund 2025					
Fidelity 457 Retirement Plan ⇒ Fidelity Growth Company		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity 457 Retirement Plan ⇒ Fidelity Low Priced Stock		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity 457 Retirement Plan ⇒ Fidelity Mid Cap Value		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Fidelity 457 Retirement Plan ⇒ Fidelity Real Estate Inc.		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity 457 Retirement Plan ⇒ Fidelity Select Biotech		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: \$10,000 Exchange in from Diversified International					
Fidelity 457 Retirement Plan ⇒ Fidelity Strategic Dividend and Income		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity 457 Retirement Plan ⇒ Invesco Small Cap Growth		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Fidelity 457 Retirement Plan ⇒ PIMCO Total Return Inst.		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Fidelity 457 Retirement Plan ⇒ Templeton Global Bond A		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Fidelity 457 Retirement Plan ⇒ Vanguard Institutional Index		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
M & T Bank Roth IRA	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
M&T Bank CD ⇒ M&T Bank CD	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
M&T Savings Account ⇒ M&T Savings Account	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Unified Managed Account ⇒ American Electric Power Company, Inc. (AEP)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Chemours Company (CC)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Chevron Corporation (CVX)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Cohen and Steers Realty SHS Inc	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Columbia Select Lg Cap Growth A	SP	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ E.I. du Pont de Nemours and Company (DD)	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Eastman Chemical Company (EMN)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Invescp Small Cap Growth A	SP	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Johnson & Johnson (JNJ)	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ JP Morgan Chase & Co. (JPM)	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ JP Morgan Mid Cap Value A	SP	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Loomis Sayles Bond Retail	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Mainstay Hi Yield Corp Bond A	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Mainstay ICAP Intl A	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Mainstay ICAP Select Equity A	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Unified Managed Account ⇒ Morgan Stanley Bank NA	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ PEPCO Holdings, Inc (POM)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Pepsico, Inc. (PEP)	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ PIMCO Low Duration A	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ PNC Financial Services Group, Inc. (PNC)	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Putnam Diversified Inc TR C	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Unified Managed Account ⇒ Virtus Multi Sect Short Term Bond A	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
TIAA-CREF ⇒ CREF Money Market	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ PIMCO Foreign Bond (UNH) Inst	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ T. Rowe Price Int'l Growth and Income	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ Target Small Cap Value T	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ TIAA-CREF Lg Cap Val Index Institutional	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ TIAA-CREF T-C Large Cap Value Index_Inst	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase of shares					
TIAA-CREF ⇒ TIAA-CREF Traditional	SP	\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
TIAA-CREF ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TIAA-CREF Traditional RC 1					
DESCRIPTION: Exchange into this new fund					
TIAA-CREF ⇒ Van Equity Income Admiral	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ Vanguard Equity Income Admiral	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Exchange into new funds					
TIAA-CREF ⇒ Vanguard Explorer Fund Admiral	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ Vanguard Total Bond Market Index	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ Wells Fargo Adv Core Bond I	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Vanguard IRA ⇒ Vanguard Balanced Index Fund	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Vanguard IRA ⇒ Vanguard Explorer Fund Investor	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Vanguard IRA ⇒ Vanguard Primecap Fund Investor	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Vanguard IRA ⇒ Vanguard Windsor II Investor	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Vanguard Roth IRA ⇒ Vanguard Explorer Fund Investor		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Vanguard Roth IRA ⇒ Vanguard Strategic Equity	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Vanguard Taxable Cash Accts ⇒ Vanguard Balanced Index Fund	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Taxable Cash Accts ⇒ Vanguard Money Market Fund	JT	\$1,001 - \$15,000	Dividends, Interest	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Taxable Cash Accts ⇒ Vanguard Total Market Bond Fund	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?

\* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Delaware College Savings Plan ⇒ 529 College Savings Plan  DESCRIPTION: \$1,250 contribution	DC	01/20/2015	P	\$1,001 - \$15,000	
Delaware College Savings Plan ⇒ 529 College Savings Plan  DESCRIPTION: \$1,250 contribution	DC	02/20/2015	P	\$1,001 - \$15,000	
Delaware College Savings Plan ⇒ 529 College Savings Plan  DESCRIPTION: \$860 contribution	DC	02/23/2015	P	\$1,001 - \$15,000	
Delaware College Savings Plan ⇒ 529 College Savings Plan  DESCRIPTION: \$1,250 contribution	DC	03/20/2015	P	\$1,001 - \$15,000	
Delaware College Savings Plan ⇒ 529 College Savings Plan  DESCRIPTION: \$1,250 contribution	DC	04/20/2015	P	\$1,001 - \$15,000	
Delaware College Savings Plan ⇒ 529 College Savings Plan  DESCRIPTION: \$1,250 contribution	DC	05/20/2015	P	\$1,001 - \$15,000	
Delaware College Savings Plan ⇒ 529 College Savings Plan  DESCRIPTION: \$15,448 withdrawal for Tufts	DC	12/7/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
Delaware College Savings Plan ⇒ 529 College Savings Plan  DESCRIPTION: \$1000 contribution	DC	02/23/2015	P	\$1,001 - \$15,000	
Delaware College Savings Plan ⇒ 529 College Savings Plan  DESCRIPTION: Withdrawal of \$4,161	DC	04/22/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
Delaware College Savings Plan ⇒	DC	07/24/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
529 College Savings Plan					
DESCRIPTION: Withdrawal of \$17,675					
Delaware College Savings Plan ⇒ 529 College Savings Plan	DC	11/17/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: \$14,949 Withdrawal					
Delaware College Savings Plan ⇒ 529 College Savings Plan	DC	12/2/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: \$3,496 withdrawal					
Fidelity 457 Retirement Plan ⇒ Fidelity Diversified International		01/12/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Exchange to Fidelity Select Biotech					
Fidelity 457 Retirement Plan ⇒ Fidelity Freedom 2025		01/12/2015	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Exchange to Fidelity Freedom 2030					
Fidelity 457 Retirement Plan ⇒ Fidelity Freedom 2030		01/12/2015	P	\$15,001 - \$50,000	
DESCRIPTION: Exchange from Fidelity 2025					
Fidelity 457 Retirement Plan ⇒ Fidelity Select Biotech		01/12/2015	P	\$1,001 - \$15,000	
DESCRIPTION: Exchange in from Fidelity Diversified International					
Morgan Stanley Unified Managed Account ⇒ Morgan Stanley Bank NA	SP	12/30/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Check to Claire O'Neill					
Morgan Stanley Unified Managed Account ⇒ Morgan Stanley Bank NA	SP	11/17/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Check to Tracey Quillen					
Vanguard Taxable Cash Accts ⇒ Prime Money Market Fund	JT	07/1/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Check to Eckert Seamens					
Vanguard Taxable Cash Accts ⇒ Prime Money Market Fund	JT	10/8/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Check for ENT and Allergy of Delaware					

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Wilmington Friends School	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>Delaware College Savings Plan (Owner: DC) LOCATION: DE DESCRIPTION: Samuel's College Savings Plan</li><li>Delaware College Savings Plan (Owner: DC) LOCATION: DE DESCRIPTION: James' College Savings Plan</li><li>Fidelity 457 Retirement Plan DESCRIPTION: State of Delaware 457 Plan</li><li>M&amp;T Bank CD (Owner: DC) LOCATION: US</li><li>M&amp;T Savings Account (Owner: JT) LOCATION: DE, US DESCRIPTION: Bank Savings Account</li><li>Morgan Stanley Unified Managed Account (Owner: SP) LOCATION: DE, US DESCRIPTION: Tracey's inheritance from her mother, Marcia Quillen who died July 19, 2015. The funds were transferred from the estate to Tracey on November 16, 2015</li><li>TIAA-CREF (Owner: SP)</li></ul>
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- Vanguard IRA (Owner: SP)  
DESCRIPTION: Tracey's R/O IRA
- Vanguard Roth IRA (Owner: SP)  
DESCRIPTION: Tracey's Roth IRA
- Vanguard Roth IRA  
DESCRIPTION: John's Roth IRA
- Vanguard Taxable Cash Accts (Owner: JT)  
LOCATION: DE, US  
DESCRIPTION: Joint Cash Accounts

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. John C. Carney Jr., 08/14/2016