

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 6
For use by Members, officers, and employees

William Leslie Johnson

(Full Name)

(Daytime Telephone)

Filer Status ☒ Member of the U.S. House of Representatives

State: OH
District: 6

☐ Officer Or Employee

Employing Office:

Report Type ☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

2013 MAY -9 PM 1:49

LEGISLATIVE RESOURCE CENTER

HAND DELIVERED

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | | |
|--|---|--|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response. | |

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | |
|---|---|
| IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Leslie Johnson

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| BLOCK A Asset and/or Income Source | | BLOCK B Year-End Value of Asset | BLOCK C Type of Income | BLOCK D Amount of Income | BLOCK E Transaction |
|---|--|--|---|--|--|
| <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p> | | <p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p> | <p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p> | <p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p> | <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p> |
| | MAX Federal Credit Union - Personal Bank Account | \$50,001 - \$100,000 | INTEREST | \$1 - \$200 | |
| | JP Morgan Chase - Personal Bank Account | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |
| | Stoneridge 401K - AM EuroPacific Growth R4 | \$1,001 - \$15,000 | None | NONE | |
| | Stoneridge 401K - Artisan Mid Cap Value Fund | \$1,001 - \$15,000 | None | NONE | |
| | Stoneridge 401K - Baron Small Cap | \$15,001 - \$50,000 | None | NONE | |
| | Stoneridge, Inc Stock (SRI) | \$15,001 - \$50,000 | None | NONE | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

| Name William Leslie Johnson | | | Page 3 of 6 |
|-----------------------------------|--------------------|-------------------------|-----------------|
| IRA - SEI US Mgd Vol Fund | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 |
| IRA - Apache Corp Com | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 |
| IRA - SEI Large Cap Fund | \$1,001 - \$15,000 | DIVIDENDS/CAPITAL GAINS | \$201 - \$1,000 |
| IRA - CISCO Sys Com | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 |
| IRA - General Electric Com | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 |
| IRA - Pfizer Inc | \$1 - \$1,000 | DIVIDENDS | \$1 - \$200 |
| IRA - SEI Global Mgd Vol Fund | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 |
| IRA - SEI High Yield Bond Fund | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 |
| IRA - SEI US Fixed Income | \$1,001 - \$15,000 | DIVIDENDS/CAPITAL GAINS | \$201 - \$1,000 |
| IRA - SEI Short Duration Govt | \$1,001 - \$15,000 | DIVIDENDS/CAPITAL GAINS | \$1 - \$200 |
| IRA - SEI Real Return Fund | \$1,001 - \$15,000 | DIVIDENDS/CAPITAL GAINS | \$1 - \$200 |
| IRA - SEI Enhanced Income Fund | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 |
| IRA - SEI Multi Strategy Fund | \$1,001 - \$15,000 | DIVIDENDS/CAPITAL GAINS | \$201 - \$1,000 |
| IRA - SEI Multi Asset Accum. Fund | \$1,001 - \$15,000 | DIVIDENDS/CAPITAL GAINS | \$1 - \$200 |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Leslie Johnson

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| | | | | |
|--------------------------------------|--------------------|-------------------------|-------------|--|
| IRA - SEI Multi Asset Cap Stab Fund | \$1,001 - \$15,000 | DIVIDENDS/CAPITAL GAINS | \$1 - \$200 | |
| IRA - SEI Multi Asset Inflation Fund | \$1,001 - \$15,000 | DIVIDENDS/CAPITAL GAINS | \$1 - \$200 | |
| TOD - SEI US Mgd Volatility Fund | \$1 - \$1,000 | DIVIDENDS/CAPITAL GAINS | \$1 - \$200 | |
| TOD - SEI Large Cap Fund | \$1 - \$1,000 | DIVIDENDS/CAPITAL GAINS | \$1 - \$200 | |
| TOD - SEI Global Volatility Fund | \$1,001 - \$15,000 | None | NONE | |
| TOD - SEI US Fixed Income Fund | \$1 - \$1,000 | DIVIDENDS/CAPITAL GAINS | \$1 - \$200 | |
| TOD - SEI High Yield Bond Fund | \$1 - \$1,000 | DIVIDENDS | \$1 - \$200 | |
| TOD - SEI Short Duration Govt Fund | \$1 - \$1,000 | DIVIDENDS/CAPITAL GAINS | \$1 - \$200 | |
| TOD - SEI Real Return Fund | \$1 - \$1,000 | DIVIDENDS/CAPITAL GAINS | \$1 - \$200 | |
| TOD - SEI Enhanced Income Fund | \$1 - \$1,000 | DIVIDENDS | \$1 - \$200 | |
| TOD - SEI Multi Strategy Fund | \$1 - \$1,000 | DIVIDENDS/CAPITAL GAINS | \$1 - \$200 | |
| TOD - SEI Multi Asset Accum Fund | \$1 - \$1,000 | DIVIDENDS/CAPITAL GAINS | \$1 - \$200 | |
| TOD - SEI Multi Asset Cap Stab Fund | \$1 - \$1,000 | DIVIDENDS/CAPITAL GAINS | \$1 - \$200 | |
| TOD - SEI Multi Asset Inflation Fund | \$1 - \$1,000 | DIVIDENDS/CAPITAL GAINS | \$1 - \$200 | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Leslie Johnson

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| | | | | | |
|----|--|---------------------|-------------------------|-------------------|---------|
| SP | Non-IRA Brokerage - All Bern Equity Income | \$1,001 - \$15,000 | DIVIDENDS/CAPITAL GAINS | \$201 - \$1,000 | |
| SP | Non-IRA Brokerage - Eaton Vance Atl Cap Foc Growth | \$1,001 - \$15,000 | None | NONE | |
| SP | Non-IRA Brokerage - Fidelity Adv Lev Co Stock | \$1,001 - \$15,000 | DIVIDENDS | \$1 - \$200 | |
| SP | Non-IRA Brokerage - Oppenheimer Glob Strat Inc | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | |
| SP | Non-IRA Columbia Funds - Columbia Cap Alloc Moderate | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | |
| SP | Riversource Variable Univ Life | \$1,001 - \$15,000 | None/TAX-DEFERRED | NONE | |
| SP | Traditional IRA Columbia Funds - Columbia Cap Alloc Moderate | \$1,001 - \$15,000 | None/TAX-DEFERRED | NONE | |
| SP | Beneficial IRA Columbia Funds - Columbia Cap Alloc Moderate | \$15,001 - \$50,000 | TAX-DEFERRED | \$1,001 - \$2,500 | S(part) |
| SP | Roth IRA Columbia Funds - Columbia Cap Alloc Moderate | \$1,001 - \$15,000 | None/TAX-DEFERRED | NONE | |
| SP | METLIFE Total Control Account | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |
| DC | Columbia Div Eq Inc | \$1,001 - \$15,000 | DIVIDENDS | \$201 - \$1,000 | |

SCHEDULE IV - TRANSACTIONS

Name William Leslie Johnson

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

* This column is for assets solely held by your spouse or dependent child.

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of \$200? | Date | Amount of Transaction |
|------------------|--|------------------------|---|---------|-----------------------|
| SP | Beneficial IRA Columbia Funds - Columbia Cap Alloc Moderate | S(part) | No | 9-18-12 | \$1,001 - \$15,000 |