



Filing ID #10020308

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Debbie Dingell  
**Status:** Member  
**State/District:** MI12

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2017  
**Filing Date:** 05/15/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Chase Bank [BA]		\$100,001 - \$250,000	None	<input type="checkbox"/>
CONGRESSIONAL FEDERAL CREDIT UNION [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200 <input type="checkbox"/>
Fidelity Investments ⇒ SEP: FIDELITY CASH RESERVES [MF]		\$100,001 - \$250,000	Tax-Deferred	<input type="checkbox"/>
GM Retirement Savings Plan ⇒ FID DIVERSIFIED INTL. [MF]		\$100,001 - \$250,000	Tax-Deferred	<input type="checkbox"/>
GM Retirement Savings Plan ⇒ FID GROWTH COMPANY [MF]		\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>
GM Retirement Savings Plan ⇒ INCOME FUND [MF]		\$500,001 - \$1,000,000	Tax-Deferred	<input type="checkbox"/>
GM Retirement Savings Plan ⇒ LSV LARGE CAP VALUE [MF]		\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>
GM Retirement Savings Plan ⇒		\$100,001 -	Tax-Deferred	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SSGALG CAP INDEX [MF]		\$250,000			
Morgan Stanley Smith Barney Brokerage Account ⇒ Abbott Laboratories (ABT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ AbbVie Inc. (ABBV) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ ACAP STRATEGIC INTERVAL COMMON (XCAPX) [MF]	SP	\$50,001 - \$100,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Aircastle Limited (AYR) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Apple Inc. (AAPL) [ST]	SP	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Blackrock Global Allocation C (MCLOX) [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Catalyst Millburn HGD STRAT C (MBXCX) [MF]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Coca-Cola Company (KO) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Columbia Acorn C (LIACX) [MF]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Covanta Holding Corporation (CVA) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ DAVIS NEW YORK VENTURE FUND CL A (NYVTX) [MF]	SP	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ DowDuPont Inc. (DWDP) [ST]	SP	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Shares received in exchange for E. I. Du Pont de Nemours and Company (DD) shares as a result of merger.					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Smith Barney Brokerage Account ⇒ E.I. du Pont de Nemours and Company (DD) [ST]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Shares of E. I. DuPont de Nemours and Company (DD) exchanged for shares of Dow DuPont (DWDP) as a result of merger.					
Morgan Stanley Smith Barney Brokerage Account ⇒ Eaton Vance Tax-Managed Global Diversified Equity Income Fund Eaton Vance Tax-Managed Global Diversi (EXG) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Energy Transfer Partners, L.P. ENERGY TRANSFER PARNTERS (ETP) [ST]	SP	\$15,001 - \$50,000	Parternship Distribution	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Enterprise Products Partners L.P. (EPD) [ST]	SP	\$50,001 - \$100,000	Partnership Distribution	\$2,501 - \$5,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ FEDERATED PRME CSH OBLGTN WS (PCOXX) [MF]	SP	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ First Trust Dorsey Wright Focus 5 ETF (FV) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Closed-end Fund					
Morgan Stanley Smith Barney Brokerage Account ⇒ FRANKLIN MUTUAL QUEST FUND CLASS Z (MQIFX) [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ FRANKLIN TEMP MUTUAL BEACON Z (BEGRX) [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ GABELLI ASSET FUND (GABAX) [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ General Electric Company (GE) [ST]	SP	None	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ HEARTLAND VALUE FUND (HRTVX) [MF]	SP	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Hess Corporation (HES) [ST]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Smith Barney Brokerage Account ⇒ Home Depot, Inc. (HD) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Honeywell International Inc. (HON) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ International Paper Company (IP) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Microsoft Corporation (MSFT) [ST]	SP	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Morgan Stanley Private Bank NA (MSPBNA) [BA]	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]	SP	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Newell Brands Inc. (NWL) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Stanley Black & Decker, Inc. (SWK) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Walgreens Boots Alliance, Inc. (WBA) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Wells Fargo Asset Alloc C (EACFX) [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ 3M Company (MMM) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Ally Financial Inc. [CS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Corporate Bond					
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ AMERICAN INCOME FUND OF AMERICA C (IFACX) [MF]	JT	None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ AMERICAN INCOME FUND OF AMERICA C (IFAFX) [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: There was a Class Exchange from Class C (IFACX) to Class F-1 (IFAFX). Now IFAFX.					
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Apollo Senior Floating Rate Fund Inc. (AFT) [ST]		\$1 - \$1,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Apple Inc. (AAPL) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ AT&T Inc. (T) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Becton, Dickinson and Company (BDX) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Blackstone GSO Senior Floating Rate Term Fund Common Shares of Beneficial Interest (BSL) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Chevron Corporation (CVX) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ ClearBridge Energy MLP Fund Inc. (CEM) [ST]	JT	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Cohen & Steers Infrastructure Fund, Inc (UTF) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Cohen & Steers Limited Duration Preferred and Income Fund, Inc. (LDP) [ST]		None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Columbia Acorn C (LIACX) [MF]	JT	None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Comcast Corporation - Class A (CMCSA) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ DAVIS NEW YORK VENTURE FUND (NYVTX) [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ DAVIS NEW YORK VENTURE FUND (NYVTX) [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ DE WITT MICH PUB SCHS [OT] DESCRIPTION: Municipal Bond	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Deutsche X-Trackers MSCI Europe Hedged Equity ETF (DBEU) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ DODGE & COX INTERNATIONAL STOCK FUND (DODFX) [MF]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ DODGE & COX STOCK FUND (DODGX) [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ DowDuPont Inc. (DWDP) [ST] DESCRIPTION: Shares received in exchange for Dow Chemical Company (DOW) shares as a result of merger.	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Eaton Vance Michigan Municipal Income Trust Shares of Beneficial Interest (EMI) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Eaton Vance Tax-Managed Global Diversified Equity Income Fund Eaton Vance Tax-Managed Global Diversi (EXG) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Emerson Electric Company (EMR) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Enterprise Products Partners L.P. (EPD) [ST]		\$15,001 - \$50,000	Partnership Distribution	\$2,501 - \$5,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Exxon Mobil Corporation (XOM) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ First Trust Senior Floating Rate 2022 Target Term Fund Common Shares of Beneficial Interest (FIV) [ST]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ FRANKLIN MUTUAL GLB DISCOV A (TEDIX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ FRANKLIN MUTUAL QUEST FUND CLASS Z (MQIFX) [MF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ General Electric Company (GE) [ST]		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ GRAND RAPIDS MICH PUB SC GENL OBLIG REF [OT] DESCRIPTION: Municipal Bond	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ GRAND RAPIDS MICH PUB SCH GENL OBLIG REF [OT] DESCRIPTION: Municipal Bond		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Honeywell International Inc. (HON) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ International Business Machines Corporation (IBM) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ IVY ASSET STRATEGY (WASCX) [MF]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ LANSING MICH SEW DISP SYS REV REF [GS]	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ LIVONIA MICH MUN BLDG AUTH RFDG MBIA [OT] DESCRIPTION: Municipal Bond - Redemption of Bond Called	JT	None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Marsh & McLennan Companies, Inc. (MMC) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ METROPOLITAN WASH DC ARPTS AUTH ARPT SYS REV SER C [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ MICHIGAN ST. TRUNK LINE RFDG [GS]	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Microsoft Corporation (MSFT) [ST]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Mondelez International, Inc. - Class A (MDLZ) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Morgan Stanley Bank, N.A. (MSBNK) [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Morgan Stanley Private Bank NA (MSPBNA) [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ NextEra Energy, Inc. (NEE) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Novartis AG (NVS) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ NVIDIA Corporation (NVDA) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ OPPENHEIMER GLOBAL A (OPPAX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ OPPENHEIMER GLOBAL C (OGLCX) [MF]		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Pepsico, Inc. (PEP) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Praxair, Inc. (PX) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Procter & Gamble Company (PG) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Schlumberger N.V. (SLB) [ST]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ The Blackstone Group L.P. Common Units Representing Limited Partnership Interests (BX) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends, Interest, Partnership Distribution; Return of Capital	\$2,501 - \$5,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Toronto Dominion Bank (TD) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Unilever PLC (UL) [ST]		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ UNIT AAM HIGH 50 DIVIDEND STRATEGY 2015-4Q [OT]  DESCRIPTION: Unit Investment Trust - Equity Trust	JT	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ UNIT AAM HIGH 50 DIVIDEND STRATEGY 2016-4Q [OT]  DESCRIPTION: Unit Investment Trust - Equity Trusts	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ United Bankshares, Inc. (UBSI) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ UnitedHealth Group Incorporated (UNH) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Wal-Mart Stores, Inc. (WMT) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Waste Management, Inc. (WM) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ WESTERN ASSET INTERMEDIATE TERM MUNICIPALS FUND CLASS C (SMLLX) [GS]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Western Asset Managed Municipals Fund, Inc. (MMU) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Williams Companies, Inc. (WMB) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ AIG FOCUSED DIVIDEND STRATEGY FUND A (FDSAX) [MF]  DESCRIPTION: Formerly SUNAMERICA NEW FOC DIV STRA A (FDSAX)	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Ally Financial Inc. [CS]  DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Amgen Inc. (AMGN) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Morgan Stanley Smith Barney LLC IRA ⇒ Ares Capital Corporation - Closed End Fund (ARCC) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Burlington Northern Santa Fe LLC [CS] DESCRIPTION: Corporate Fixed Income - Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Cohen & Steers Closed-End Opportunity Fund, Inc. (FOF) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ COHEN & STEERS INFRASTRUCTURE FD (UTF) [MF]		\$1 - \$1,000	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ COHEN & STEERS LTD DUR PFD & INC (LDP) [MF]		None	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Costco Wholesale Corporation (COST) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Cummins Inc. (CMI) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ DAVIS NEW YORK VENTURE A (NYVTX) [MF]		\$100,001 - \$250,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Discover Bank [CS] DESCRIPTION: Corporate Fixed Income - Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ DODGE & COX INTL STOCK FUND (DODFX) [MF]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ DODGE & COX STOCK FUND (DODGX) [MF]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Duke Energy Corporation (DUK) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Eaton Vance Corporation (EV) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ eBay Inc. (EBAY) [CS]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
DESCRIPTION: Corporate Fixed Income - Corporate Bond				
Morgan Stanley Smith Barney LLC IRA ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Fedex Corp. [CS]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: Corporate Fixed Income - Corporate Bond				
Morgan Stanley Smith Barney LLC IRA ⇒ First Trust Senior Floating Rate 2022 Target Term Fund Common Shares of Beneficial Interest (FIV) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ First Trust Senior Floating Rate 2022 Target Term Fund Common Shares of Beneficial Interest (FIV) [ST]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Gabelli Dividend & Income Trust Common Shares of Beneficial Interest (GDV) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ GMAC CAP TRUST I 8.125% TO 2/15/2016 FLOATS AFTER (ALLY.A) (ALLY.A) [CS]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: Fixed Rate Capital Securities				
Morgan Stanley Smith Barney LLC IRA ⇒ Goldman Sachs New York NY CD [BA]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	None	None	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Honeywell International Inc. (HON) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Hospitality Prop Trust [CS]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: Corporate Fixed Income - Corporate Bond				
Morgan Stanley Smith Barney LLC IRA ⇒ Invesco High Income 2023 Target Term Fund Common Shares of Beneficial Interest (IHIT) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ iShares Nasdaq Biotechnology Index Fund (IBB) [ST]	SP	None	Tax-Deferred	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Morgan Stanley Smith Barney LLC IRA ⇒ IVY ASSET STRATEGY C (WASCX) [MF]		None	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Johnson Controls International plc Ordinary Share (JCI) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ LyondellBasell Industries NV Ordinary Shares Class A (LYB) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Macy's Inc (M) [ST]	SP	None	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ MACY'S RETAIL HLDGS INC. [CS]  DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Marriott International - Class A (MAR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Monsanto Co. [CS]  DESCRIPTION: Corporate Fixed Income - Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Morgan Stanley [CS]  DESCRIPTION: Corporate Fixed Income - Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Morgan Stanley Bank N.A. (MSBNK) [BA]  DESCRIPTION: Money Market		\$1 - \$1,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ MORGAN STANLEY BANK N.A. (MSBNK) [BA]  DESCRIPTION: Money Market	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ NICHOLAS FUND (NICSX) [MF]		\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Nike, Inc. (NKE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Morgan Stanley Smith Barney LLC IRA ⇒ NRG Yield, Inc. Class C (NYLD) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Oaktree Capital Group, LLC Class A Units Representing Limited Liability Company Interests (OAK) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Pfizer, Inc. (PFE) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Putnam Equity Spectrum C (PYSCX) [MF]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ SPDR S&P Dividend ETF (SDY) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Time Warner Inc. [CS]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: Corporate Fixed Income - Corporate Bond				
Morgan Stanley Smith Barney LLC IRA ⇒ UNIT AAM HIGH 50 DIVIDEND STRATEGY 2016-4Q [OT]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Unit Investment Trust - Equity Trusts				
Morgan Stanley Smith Barney LLC IRA ⇒ Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Walt Disney Company (DIS) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Wellpoint Inc. [CS]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: Corporate Fixed Income - Corporate Bond				
Morgan Stanley Smith Barney LLC IRA ⇒ WELLS FARGO ADVANTAGE ASSET ALLOCATION FD CL C (EACFX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Procter & Gamble Company (PG) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO BANK - CHECKING ACCOUNT [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO BANK - CHECKING ACCOUNT [BA]	JT	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO BANK - MONEY MARKET ACCOUNT [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley Smith Barney Brokerage Account ⇒ Aircastle Limited (AYR) [ST]	SP	05/17/2017	P	\$1,001 - \$15,000	
Morgan Stanley Smith Barney Brokerage Account ⇒ Aircastle Limited (AYR) [ST]	SP	07/10/2017	P	\$1,001 - \$15,000	
Morgan Stanley Smith Barney Brokerage Account ⇒ Catalyst Millburn HGD STRAT C (MBXCX) [MF]	SP	12/28/2017	P	\$15,001 - \$50,000	
Morgan Stanley Smith Barney Brokerage Account ⇒ Columbia Acorn C (LIACX) [MF]	SP	12/28/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Columbia Acorn C (LIACX) [MF]	SP	12/12/2017	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ DowDuPont Inc. (DWDP) [ST]	SP	09/1/2017	E	\$1,001 - \$15,000	
DESCRIPTION: Shares received in exchange for E. I. du Pont de Nemours and Company (DD) shares as a result of merger.					
Morgan Stanley Smith Barney Brokerage Account ⇒ DowDuPont Inc. (DWDP) [ST]	SP	09/19/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	07/10/2017	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley Smith Barney Brokerage Account ⇒ FEDERATED PRME CSH OBLGTN WS (PCOXX) [MF]	SP	10/18/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ FEDERATED PRME CSH OBLGTN WS (PCOXX) [MF]	SP	09/11/2017	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ FEDERATED PRME CSH OBLGTN WS (PCOXX) [MF]	SP	07/11/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ FEDERATED PRME CSH OBLGTN WS (PCOXX) [MF]	SP	06/29/2017	P	\$15,001 - \$50,000	
Morgan Stanley Smith Barney Brokerage Account ⇒ FEDERATED PRME CSH OBLGTN WS (PCOXX) [MF]	SP	06/21/2017	P	\$50,001 - \$100,000	
Morgan Stanley Smith Barney Brokerage Account ⇒ Franklin Mututal Quest Z (MQIFX) [MF]	SP	11/28/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ General Electric Company (GE) [ST]	SP	10/18/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Hess Corporation (HES) [ST]	SP	05/17/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Johnson & Johnson (JNJ) [ST]	SP	06/7/2017	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Microsoft Corporation (MSFT) [ST]	SP	06/7/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]	SP	06/7/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Newell Brands Inc. (NWL) [ST]	SP	10/18/2017	P	\$15,001 - \$50,000	
Morgan Stanley Smith Barney Brokerage Account ⇒ Newell Brands Inc. (NWL) [ST]	SP	12/28/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Smith Barney Brokerage Account ⇒ Walgreens Boots Alliance, Inc. (WBA) [ST]	SP	04/5/2017	P	\$1,001 - \$15,000	
Morgan Stanley Smith Barney Brokerage Account ⇒	SP	11/28/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Walgreens Boots Alliance, Inc. (WBA) [ST]			(partial)		
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ AMERICAN INCOME FUND OF AMERICA C (IFAFX) [MF] DESCRIPTION: Mutual Fund	JT	03/13/2017	E	\$15,001 - \$50,000	
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Apollo Senior Floating Rate Fund Inc. (AFT) [ST]		12/28/2017	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ AT&T Inc. (T) [ST]		03/28/2017	P	\$1,001 - \$15,000	
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Chevron Corporation (CVX) [ST]		03/15/2017	P	\$15,001 - \$50,000	
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Cisco Systems, Inc. (CSCO) [ST]		11/15/2017	P	\$15,001 - \$50,000	
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Cohen & Steers Limited Duration Preferred and Income Fund, Inc. (LDP) [ST]		05/11/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Columbia Acorn C (LIACX) [MF]	JT	12/28/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Comcast Corporation - Class A (CMCSA) [ST]		06/7/2017	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ DE WITT MICH PUB SCHS [OT] LOCATION: US DESCRIPTION: Municipal Bond	JT	04/27/2017	P	\$15,001 - \$50,000	
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ DowDuPont Inc. (DWDP) [ST] DESCRIPTION: Shares received in exchange for Dow Chemical Company (DOW) shares as a result of merger.	JT	09/1/2017	E	\$15,001 - \$50,000	
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Emerson Electric Company (EMR) [ST]		10/31/2017	P	\$1,001 - \$15,000	
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ First Trust Senior Floating Rate 2022 Target Term Fund Common Shares of Beneficial Interest (FIV) [ST]		12/28/2017	P	\$15,001 - \$50,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ General Electric Company (GE) [ST]		10/31/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ International Business Machines Corporation (IBM) [ST]		08/14/2017	P	\$1,001 - \$15,000	
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ IVY ASSET STRATEGY C (WASCX) [MF]		02/27/2017	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ LIVONIA MICH MUN BLDG AUTH REDG MBIA [OT]	JT	05/1/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: Municipal Bond -- Redemption of Bond Called					
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Mondelez International, Inc. - Class A (MDLZ) [ST]		03/28/2017	P	\$1,001 - \$15,000	
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Mondelez International, Inc. - Class A (MDLZ) [ST]		08/28/2017	P	\$1,001 - \$15,000	
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ NVIDIA Corporation (NVDA) [ST]		03/16/2017	P	\$1,001 - \$15,000	
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Schlumberger N.V. (SLB) [ST]		11/28/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Toronto Dominion Bank (TD) [ST]		04/5/2017	P	\$15,001 - \$50,000	
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Unilever PLC (UL) [ST]		01/6/2017	P	\$1,001 - \$15,000	
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Unilever PLC (UL) [ST]		02/27/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ UNIT AAM HIGH 50 DIVIDEND STRATEGY 2015-4Q [OT]	JT	03/10/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: Unit Investment Trust - Equity Trust					
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ UNIT AAM HIGH 50 DIVIDEND STRATEGY 2016-4Q [OT]	JT	03/10/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US DESCRIPTION: Unit Investment Trust - Equity Trusts					
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ UNIT AAM HIGH 50 DIVIDEND STRATEGY 2016-4Q [OT]	JT	03/10/2017	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: Unit Investment Trust - Equity Trusts					
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ United Bankshares, Inc. (UBSI) [ST]		06/7/2017	P	\$15,001 - \$50,000	
Morgan Stanley Smith Barney LLC Brokerage Account ⇒ Williams Companies, Inc. (WMB) [ST]		03/28/2017	P	\$1,001 - \$15,000	
Morgan Stanley Smith Barney LLC IRA ⇒ Cohen & Steers Infrastructure Fund, Inc (UTF) [ST]		09/19/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Cohen & Steers Limited Duration Preferred and Income Fund, Inc. (LDP) [ST]		07/31/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	01/6/2017	P	\$1,001 - \$15,000	
Morgan Stanley Smith Barney LLC IRA ⇒ First Trust Senior Floating Rate 2022 Target Term Fund Common Shares of Beneficial Interest (FIV) [ST]	SP	11/15/2017	P	\$15,001 - \$50,000	
Morgan Stanley Smith Barney LLC IRA ⇒ First Trust Senior Floating Rate 2022 Target Term Fund Common Shares of Beneficial Interest (FIV) [ST]		09/19/2017	P	\$1,001 - \$15,000	
Morgan Stanley Smith Barney LLC IRA ⇒ First Trust Senior Floating Rate 2022 Target Term Fund Common Shares of Beneficial Interest (FIV) [ST]		07/31/2017	P	\$15,001 - \$50,000	
Morgan Stanley Smith Barney LLC IRA ⇒ First Trust Senior Floating Rate 2022 Target Term Fund Common Shares of Beneficial Interest (FIV) [ST]	SP	05/11/2017	P	\$1,001 - \$15,000	
Morgan Stanley Smith Barney LLC IRA ⇒ Invesco High Income 2023 Target Term Fund Common Shares of Beneficial Interest (IHIT) [ST]	SP	11/28/2017	P	\$15,001 - \$50,000	
Morgan Stanley Smith Barney LLC IRA ⇒	SP	09/6/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares Nasdaq Biotechnology Index Fund (IBB) [ST]					
Morgan Stanley Smith Barney LLC IRA ⇒ IVY ASSET STRATEGY C (WASCX) [MF]		02/27/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Johnson Controls International plc Ordinary Share (JCI) [ST]	SP	03/28/2017	P	\$1,001 - \$15,000	
Morgan Stanley Smith Barney LLC IRA ⇒ Macy's Inc (M) [ST]	SP	02/1/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Smith Barney LLC IRA ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	08/28/2017	P	\$1,001 - \$15,000	
Morgan Stanley Smith Barney LLC IRA ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	03/16/2017	P	\$1,001 - \$15,000	
Morgan Stanley Smith Barney LLC IRA ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	08/14/2017	P	\$1,001 - \$15,000	
Morgan Stanley Smith Barney LLC IRA ⇒ UNIT AAM High 50 DIVIDEND STRATEGY 2016-4Q [OT]		03/10/2017	P	\$1,001 - \$15,000	
LOCATION: US					
DESCRIPTION: Unit Investment Trust - Equity Trusts					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Morgan Stanley Smith Barney LLC	Spouse IRA Distribution	N/A
Prudential	Pension	\$90,119.88

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

<b>Position</b>	<b>Name of Organization</b>
ADVISORY BOARD MEMBER	AMERICAN CIVIL LIBERTIES UNION (ACLU) OF MICHIGAN
MEMBER, BOARD OF ADVISORS	ARAB AMERICAN NATIONAL MUSEUM
EXECUTIVE BOARD	BOY SCOUTS, GREAT LAKES COUNCIL
TRUSTEE	CHILDREN'S INN AT NIH
BOARD MEMBER	DETROIT ECONOMIC CLUB
ADVISORY BOARD MEMBER	GIRL SCOUTS OF SOUTHEASTERN MICHIGAN
BOARD MEMBER	HEALTH IN PLACE
BOARD MEMBER	KOMEN ADVISORY COMMITTEE BOARD
CO-CHAIR	METROPOLITAN AFFAIRS COMMITTEE (MAC), BUSINESS, LABOR GOVT. GROUP
ADVISORY BOARD MEMBER	MICHIGAN'S CHILDREN
BOARD MEMBER; EXECUTIVE COMMITTEE	MICHIGAN FITNESS FOUNDATION
CORPORATE ADVISORY BOARD	SO OTHERS MIGHT EAT (SOME)
HONORARY CHAIR	STATE SOCIETY OF MICHIGAN
BOARD MEMBER	MICHIGAN ROUNDTABLE FOR DIVERSITY AND INCLUSION
LEADERSHIP COUNCIL CHAIR	MOTORCITIES NATIONAL HERITAGE AREA
BOARD MEMBER	NATIONAL MUSEUM FOR WOMEN IN THE ARTS
BOARD MEMBER AND EXECUTIVE COMMITTEE (PAST CHAIR)	PARADE COMPANY
EX OFFICIO BOARD MEMBER	SOUTHEAST MICHIGAN COUNCIL OF GOVERNMENTS (SEMCOG)
ADVISORY COUNCIL	VITAL VOICES
BOARD MEMBER	WASHINGTON PERFORMING ARTS SOCIETY (WPAS)
ADVISORY BOARD MEMBER	UNIVERSITY OF MICHIGAN DEPRESSION CENTER
BOARD MEMBER	UNIVERSITY OF MICHIGAN FORD SCHOOL COMMITTEE
EX OFFICIO, PAST CHAIR	DEARBORN GOODFELLOWS
BOARD MEMBER	GO RED FOR WOMEN

## **SCHEDULE F: AGREEMENTS**

Date	Parties To	Terms of Agreement
2014	GENERAL MOTORS LLC	Continued participation in qualified retirement plan. Qualified plan transferred to Prudential in 2013.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Fidelity Investments  
LOCATION: US
- GM Retirement Savings Plan
- Morgan Stanley Smith Barney Brokerage Account (Owner: SP)  
LOCATION: US
- Morgan Stanley Smith Barney LLC Brokerage Account  
LOCATION: US
- Morgan Stanley Smith Barney LLC Brokerage Account (Owner: JT)  
LOCATION: US
- Morgan Stanley Smith Barney LLC IRA (Owner: SP)
- Morgan Stanley Smith Barney LLC IRA

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Debbie Dingell , 05/15/2018