



Filing ID #10027091

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Michael Waltz
Status: Member
State/District: FL06

FILING INFORMATION

Filing Type: New Filer Report
Filing Year: 2018
Filing Date: 08/12/2019
Period Covered: 01/01/2017– 12/31/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|-------------------------|----------------|-------------------------------|-------------------------|
| Askari Associates, LLC [OL] LOCATION: Alexandria, VA, US DESCRIPTION: News aggregation and analysis service | | None | Disbursement | Not Applicable | \$100,001 - \$1,000,000 |
| BoodleAI [OT] DESCRIPTION: Simple Agreement For Future Equity (SAFE) | | \$50,001 - \$100,000 | None | | |
| Candidate Loan [DO] | | \$250,001 - \$500,000 | None | | |
| Metis Solutions Corporation [PS] DESCRIPTION: Training and analysis services provider | | \$500,001 - \$1,000,000 | None | | |
| Metis Solutions Corporation - Options [OP] DESCRIPTION: Options are pursuant to a stock option plan described in Schedule F in Metis Solutions Corporation, strike price at \$0.05, expiration dates by tranche at 12/19/2026 and 08/03/2027 | | \$100,001 - \$250,000 | None | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|-----------------------|----------------|-------------------------------|-----------------------|
| Money Market Savings [BA] | | \$100,001 - \$250,000 | Interest | Not Applicable | \$1 - \$200 |
| Promissory Note from Askari Associates, LLC [DO] | | \$250,001 - \$500,000 | None | | |
| Publishing Agreement with Potomac Books for "Warrior Diplomat: A Green Beret's Battles from Washingt [IP] | | Undetermined | Royalties | Not Applicable | \$2,501 - \$5,000 |
| DESCRIPTION: Royalties are paid to Warrior Diplomat, LLC which I have reflected on Schedule A | | | | | |
| Raymond James Investment Acct ⇒ DFA COMMODITY PORTFOLIO INSTITUTIONAL CLASS N/L (DCMSX) [MF] | | \$1,001 - \$15,000 | Dividends | Not Applicable | \$1 - \$200 |
| Raymond James Investment Acct ⇒ DFA EMERGING MARKETS CORE EQUITY PORTFOLIO INST CLS N/L (DFCEX) [MF] | | \$1,001 - \$15,000 | Dividends | Not Applicable | \$201 - \$1,000 |
| Raymond James Investment Acct ⇒ DFA INTERNATIONAL SMALL COMPANY PORT INST CLASS N/L (DFISX) [MF] | | \$1,001 - \$15,000 | Dividends | Not Applicable | \$1,001 - \$2,500 |
| Raymond James Investment Acct ⇒ DFA LARGE CAP INTERNATIONAL PORT INSTITUTIONAL CLASS N/L (DFALX) [MF] | | \$15,001 - \$50,000 | Dividends | Not Applicable | \$201 - \$1,000 |
| Raymond James Investment Acct ⇒ DFA REAL ESTATE SECURITIES PORT INSTITUTIONAL CLASS N/L (DFREX) [MF] | | \$1,001 - \$15,000 | Dividends | Not Applicable | \$1 - \$200 |
| Raymond James Investment Acct ⇒ DFA U.S. LARGE CAP VALUE PORT INSTITUTIONAL CLASS N/L (DFLVX) [MF] | | \$15,001 - \$50,000 | Dividends | Not Applicable | \$2,501 - \$5,000 |
| Raymond James Investment Acct ⇒ DFA U.S. SMALL CAP VALUE PORT INSTITUTIONAL CLASS N/L (DFSVX) [MF] | | \$15,001 - \$50,000 | Dividends | Not Applicable | \$1,001 - \$2,500 |
| Raymond James Investment Acct ⇒ JP Morgan Money Market Sweep Account [BA] | | \$1,001 - \$15,000 | Interest | Not Applicable | \$1 - \$200 |
| Raymond James Investment Acct ⇒ VANGUARD MEGA CAP GROWTH ETF (MGK) [EF] | | \$15,001 - \$50,000 | Dividends | Not Applicable | \$201 - \$1,000 |
| Raymond James Investment Acct ⇒ | | \$1,001 - \$15,000 | Dividends | Not | \$1 - \$200 |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|---------------------|----------------|-------------------------------|-----------------------|
| VANGUARD SMALL-CAP GROWTH ETF (VBK) [EF] | | | | Applicable | |
| Raymond James IRA ⇒ DFA COMMODITY PORTFOLIO INSTITUTIONAL CLASS N/L (DCMSX) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James IRA ⇒ DFA EMERGING MARKETS CORE EQUITY PORTFOLIO INST CLS N/L (DFCEX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James IRA ⇒ DFA INTERNATIONAL SMALL COMPANY PORT INST CLASS N/L (DFISX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James IRA ⇒ DFA LARGE CAP INTERNATIONAL PORT INSTITUTIONAL CLASS N/L (DFALX) [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Raymond James IRA ⇒ DFA REAL ESTATE SECURITIES PORT INSTITUTIONAL CLASS N/L (DFREX) [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Raymond James IRA ⇒ DFA U.S. LARGE CAP VALUE PORT INSTITUTIONAL CLASS N/L (DFLVX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James IRA ⇒ DFA U.S. SMALL CAP VALUE PORT INSTITUTIONAL CLASS N/L (DFSVX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James IRA ⇒ JPMorgan Money Market Sweep Account [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James IRA ⇒ VANGUARD MEGA CAP GROWTH ETF (MGK) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James IRA ⇒ VANGUARD SMALL-CAP GROWTH ETF (VBK) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James Roth IRA ⇒ DFA U.S. LARGE CAP VALUE PORT INSTITUTIONAL CLASS N/L (DFLVX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James Roth IRA ⇒ DFA U.S. SMALL CAP VALUE PORT INSTITUTIONAL CLASS N/L (DFSVX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|---------------------|----------------|-------------------------------|-----------------------|
| Raymond James Roth IRA ⇒ JPMorgan Money Market Sweep Account [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James Roth IRA ⇒ VANGUARD MEGA CAP GROWTH ETF (MGK) [EF] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Raymond James Roth IRA ⇒ VANGUARD SMALL-CAP GROWTH ETF (VBK) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James SEP IRA ⇒ DFA COMMODITY PORTFOLIO INSTITUTIONAL CLASS N/L (DCMSX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James SEP IRA ⇒ DFA EMERGING MARKETS CORE EQUITY PORTFOLIO INST CLS N/L (DFCEX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James SEP IRA ⇒ DFA INTERNATIONAL SMALL COMPANY PORT INST CLASS N/L (DFISX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James SEP IRA ⇒ DFA LARGE CAP INTERNATIONAL PORT INSTITUTIONAL CLASS N/L (DFALX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James SEP IRA ⇒ DFA REAL ESTATE SECURITIES PORT INSTITUTIONAL CLASS N/L (DFREX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James SEP IRA ⇒ DFA U.S. LARGE CAP VALUE PORT INSTITUTIONAL CLASS N/L (DFLVX) [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Raymond James SEP IRA ⇒ DFA U.S. SMALL CAP VALUE PORT INSTITUTIONAL CLASS N/L (DFSVX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James SEP IRA ⇒ JPMorgan Money Market Sweep Account [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James SEP IRA ⇒ VANGUARD MEGA CAP GROWTH ETF (MGK) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Raymond James SEP IRA ⇒ | | \$1,001 - \$15,000 | Tax-Deferred | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|--------------------|----------------|-------------------------------|-----------------------|
| VANGUARD SMALL-CAP GROWTH ETF (VBK) [EF] | | | | | |
| Warrior Diplomat, LLC [OL] | | \$1,001 - \$15,000 | Disbursement | Not Applicable | \$15,001 - \$50,000 |
| LOCATION: St. Augustine Beach, FL, US | | | | | |
| DESCRIPTION: Business entity for filers media, speaking, and other public appearances. | | | | | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount Current Year to Filing | Amount Preceding Year |
|-----------------|-----------------|-------------------------------|-----------------------|
| Metis Solutions | Salary | N/A | \$374,129.00 |
| Fox News Corp. | Consulting Fees | N/A | \$23,076.00 |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|-----------------|---------------|----------|-------------------------|
| | Wells Fargo | 2015 | Mortgage | \$500,001 - \$1,000,000 |
| | JP Morgan Chase | 2017 | Mortgage | \$500,001 - \$1,000,000 |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|--------------------|-----------------------------|
| Board of Advisors | Parsons Corporation |
| Board of Directors | Metis Solutions Corporation |
| Board of Directors | Arms Wide Open Foundation |
| Member | Warrior Diplomat, LLC |

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|---------------|------------------------------------|--|
| June 2012 | Potomac Books, Filer | Publishing agreement to earn royalties from sales of "Warrior Diplomat" - also described on Schedule A |
| December 2016 | Metis Solutions Corporation, Filer | Filer is a participant in a stock option plan through which existing, already-vested options may be exercised. No more options will be awarded or will vest. |

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

| Source (Name and Address) | Brief Description of Duties |
|---|-----------------------------|
| Fox News Corp. (New York, NY, US) | Political Commentator |
| Metis Solutions (Arlington, VA, US) | Chief Executive Officer |
| Parsons Government Services (Lake Forest, CA, US) | Board Service |

SCHEDULE A ASSET CLASS DETAILS

| |
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| <ul style="list-style-type: none"> Raymond James Investment Acct LOCATION: US Raymond James IRA Raymond James Roth IRA Raymond James SEP IRA |
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Michael Waltz , 08/12/2019