

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

FILER STATUS

REPORT TYPE

| child because they meet all three Yes No | | earned" income, transactions, o d with the Committee on Ethics. | EXEMPTION – Have you excluded from this report any other assets, "uneamed" income, transactions, or liabilities of a spouse or dependent tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. |
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| losed. Have you excluded from Yes No | ther "excepted trusts" need not be disc | mmittee on Ethics and certain o | TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? |
| to this question, please contact Yes No | eporting period? If you answered "yes" | itial Public Offering during the n | IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance. |
| OF THESE QUESTIONS | IATION - ANSWER EACH | OR TRUST INFORM | IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS |
| ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES" | ATTACH THE CORRESPO | Yes No | E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? |
| appearance, or article during the Yes No | I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period? | Yes No | D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? |
| dependent child receive any res No No dependent child receive any restricted No | H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period? | Yes No | C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? |
| dependent child receive any an \$350 in value from a single Yes No | G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period? | Yes No | B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period? |
| eement or arrangement with an Yes No No | F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? | Yes No | A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period? |

SCHEDULE A - ASSETS & "UNEARNED INCOME"

| 1 - ASSETS & "UNEARNED INCOME" |
|--------------------------------|
| Name: John K. Delaney |
| Page 2 of 51 |

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| | | SEE ATTACHED | ABC Hedge Fund X | Examples: Simon & Schuster | SP t SP Mega Cop. Stock Eff | more train a job in interest cearing accounts. For restal and other real property held for investment, provide a complete address or description, e.g., "rential property, and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (undess there was rental income during the reporting period); and any financial income during the reporting period); and any financial income during the reporting period; and any financial income during the reporting period; and any financial income during the reporting the Trinth Savings Plan. If you have a privately-traded fund that is an Excepted investment fund, please check the "EIF" box. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the farth. For a detailed discussion of Schedule A requirements, please refer to the instruction booklet. | For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is | For all RAs and other retrement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. | Provide complete names of stocks and mutual funds (do not use only ticker symbols). | exceeding 31,000 at the end or the reporting period and (b) any other reportable asset or source income that generated more than \$200 in "unearned" income during the year. | Identify (a) each asset held for investment or production of income and with a fair market value | Asset and/or Income Source |
|--|--|--------------|-----------------------|----------------------------|-----------------------------|--|--|--|---|---|---|----------------------------|
| | | | × | indelinite | × | None \$1-\$1,000 \$1,001-\$15,000 \$1,001-\$50,000 \$50,001-\$100,000 \$100,001-\$250,000 \$250,001-\$1,000,000 | > B C C D M M C C T C C C C C C C C C C C C C C C | | | used. If an asset was sold during the reporting period and is included only because it generated income, the value should be "None." *Column M is for assets held by your spouse or dependent child in which | | Value of Asset |
| | | | | | | \$1,000,001-\$5,000,000 \$5,000,001-\$25,000,000 \$25,000,001-\$50,000,000 Over \$50,000,000 SpouseIDC Asset over \$1,000,000* NOME | - - - - - - - | | | | | |
| | | | Parthen | noyanes | × | DIMIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Cher Type of Income | | | | Dividends, Interest, and Dividends, Interest, and reinvested, must be discill its held in taxable accounts set generated no income dur | Check all columns that apply. For accounts to generate tax-deferred income (such as 401(k), IRA, | Type of Income |
| | | | Partnership Income | | | (Specify: e.g., Partnership Income or Farm Income) None \$1-5200 | | | • | | १८६ | |
| | | | | | × | \$201-\$1,000 \$1,001-\$2,500 \$2,501-\$5,000 \$16,001-\$50,000 \$16,001-\$100,000 \$100,001-\$100,000 \$1,000,001-\$5,000,000 Over \$5,000,000 SpouledDC Asset with Income over \$1,000,000* | 2 | STATE AT THE STATE OF THE STATE | Column XII is for assets held by your spouse or dependen | cuspys; or nonine by creating are appropriate to construct the defended, interest, and cupital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated. | For assets for which you checked "Tax-Deferred" in Block C. you may check the "None" column. For all other assets indicate the may check the "None" column. | Amount of Income |
| | | | | | S(part) | \$1,000. P. S. S(part), or E | | an asset was sold, please indicate as follows: (S (part)). | 4 | | | Transaction |

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| Note receivables from Key Bridge Partners LLC, Bethesda, MD | Wells Fargo bank accounts | Charles Schwab bank accounts | One PacificCoast Bank, Oakland, CA - cash | Goldman Sachs - cash & MMA | Fidelity Municipal Money Market cash | E-Trade - cash | Congressional Bank, Bethesda, MD bank account | CapitalSource Bank, Brea, CA - | accounts | Bank of America/US Trust - bank | SP, DC, ASSET NAME JT : | | Asset and/or Income Source | BLOCK A | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| Indiabulls Real Estate Ltd Sponsored GDR REG S - stock | Indiabulls Infrastructure & Power Ltd Sponsored GDR- stock | Fidelity Asset Manager 50% - mutual fund | Congressional Bancshares Inc., Bethesda, MD - warrants | Congressional Bancshares Inc., Bethesda, MD - stock | Coca-Cola, Inc - stock | options (exercised and sold in 2013) | 1. | ASSET NAME | | Asset and/or Income Source | BLOCK A | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| SCHEDULE A - ASSETS & "UNEARNED INCOME" | BLOCK A Asset and/or income Source | | | | ASSET NAME | New Mexico Fin Auth St Transp | | New York NY bonds | New York State Environmental | Facs bond (called) | North Carolina State Pub Impt - | Ser B bond (called) | Ocean City, MD Ref & Mun Purp | | Ohio State Higher Educational | C. | Pennsylvania State bond | Riverside Cnty CA bond | Santa Fe TX Inden Sch Dist hand | יא יוימרף טכוי סייי פטוימ | | (called) S Regl GA Joint Dev Auth Revenue |
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| | me | | | Other Type of Income (e.g., Partnership Income o Income) | | | | | | | | | | | | | | | | | | |
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| John K. Delaney | | 1 | <u>-</u> _ | None | | | ⊢ | <u> </u> | | _ | | ⊢ | | ₩ | × | | ļ | | | <u> </u> | Κ | |
| 5 | | 1 | | \$1 - \$200 | _ | | \vdash | | | - | | \vdash | | ₩ | | ╀ | _ | <u> </u> | | <u> </u> | | |
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| Page 7 | |] | × | over \$5,000,000 | | | | | | | | | | | | | | | | | | |
| I | | <u> </u> | \$1,000,000* ≚ | Spouse/DC Income over \$1,0 | | | | | | | | | | | | | | | | | | |
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| SCHEDULE A - ASSETS & "UNEARNED INCOME" | Asset and/or Income Source | | ASSET NAME | Seattle WA Water Sys Revenue | bonds | Seattle Wash Mun Light & Power Rev hond (called) | Stephen F. Austin TX bond | Texas A&M University Revs bond (called) | Texas State bond | Tobacco Settlement Fing Corp NY bonds | Tumwater WA Office Properties | University Systems of MD Auxilary | Unref Ser A bond | Worcester Cnty MD Ref - CNS Pub | |
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| | Value of Asset | \$50,001 - \$100,000 m \$100,001 - \$250,000 | | _ | ⊢ | | × | | | | × | \dashv | × | × | |
| вгоск в | in S | \$100,001 - \$250,000 71 \$250,001 - \$500,000 61 | | | | | 1 | | | | | ┰ | <u> </u> | | |
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| | | Over \$50,000,000 — | | | | | | | | | | | | | |
| | | Spouse/DC Asset over \$1,000,000* | | | | | | | | | | | · | | I |
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| John K. Delaney | | \$1 - \$200 = | _ | | ┖ | | | | | | | _ | | | |
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| ₽ | Ž | \$1,001 - \$2,500 \ | | | <u> </u> | | | | | | | - | | | |
| B an | , E | \$2,501 - \$5,000 < | | | ┞. | | | | | | | \dashv | Ľ | 4 | ļ |
| пеу вьоск в | e e | \$5,001 - \$15,000 ≤ | | × | | <u>×</u> | | × | × | × | × | \dashv | | × | ŀ |
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| | ᄚ | \$100,001 - \$1,000,000 \(\overline{\pi}\) \(\overlin | | | ╫ | | | | _ | \vdash | | ┥ | ┝ | | |
| Page | | over \$5,000,000 × | | | ┢ | • | | | | | | \dashv | | | |
| ĺω | | Spouse/DC Income over \$1,000,000* $\stackrel{\succeq}{=}$ | | | ┢ | | | | | | | ┪ | | | |
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| f_51 BLOCK E | Transaction | P, S, S(part), or E | - | | | | P | | S | S | | | | | |

| \$500,001 - \$1,000,000 | S | SCHEDULE A - ASSETS & "UNEARNED INCOME" BLOCK A Asset and/or Income Source Va | | 1 5 | 1 I 💆 | 1 9 | 1 < 💆 | <u>ا ڇ</u> ڇا | BLOCK B | E" BLOCK B Value of Asset |] # [| 1 1 | 4 1 | 4 | ↓ | 4 | . | 4 <u>,</u> 1 | ↓ ₹ | Name BLOCK C | Name LOCK C | | ▍ॗॗऀऀऻॗढ़ |)me |)me |) me | John K. | John K. | John K. | John K. | John K. | John K. | John K. Delaney BLOCK D Amount of Income | John K. Delaney BLOCK D Amount of Income | John K. Delaney Page S | John K. Delaney Page S |
|--|-----------|---|--------------|-------|-------|-------|---------|---------------|-------------------------|-----------------------------|-------|----------|---------|---------------------|----------|-----|----------|-------------------|---------------------|------------------------------|--|---|--|--|---|---|---|---|---|--|--|---|---|---|--|---|
| E EIF lew York, NY - X y fund P, New York, X damentally 1d, LP, ent in 3 x X | | | None | | | | | | \$250,001 - \$500,000 a | | | | | Over \$50,000,000 - | | | NONE | NONE DIVIDENDS | NONE DIVIDENDS RENT | NONE DIVIDENDS RENT INTEREST | NONE DIVIDENDS RENT INTEREST CAPITAL GAINS | NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST | NONE DIVIDENDS RENT INTEREST CAPITAL GAINS | NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of income (Specify: e.g., Partnership Income or Farm Income) None — | NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income (Specify: e.g., Partnership Income or Farm Income) None = \$1 - \$200 = | NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of income (Specify: e.g., Partnership Income or Farm Income) None — \$1 - \$200 — \$201 - \$1,000 — | NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of income (Specify: e.g., Partnership Income or Farm income) None - \$1 - \$200 = \$201 - \$1,000 = \$1,001 - \$2,500 < | NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of income (Specify: e.g., Partnership Income or Farm Income) None - \$1 - \$200 = \$201 - \$1,000 = \$1,001 - \$2,500 < | NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income (Specify: e.g., Partnership Income or Farm Income) None - \$1 - \$200 = \$201 - \$1,000 ≡ \$1,001 - \$2,500 < \$2,501 - \$5,000 < \$5,001 - \$15,000 ≤ | NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income (Specify: e.g., Partnership Income or Farm Income) None - \$1 - \$200 = \$201 - \$1,000 ≡ \$1,001 - \$2,500 < \$2,501 - \$5,000 < \$5,001 - \$15,000 ≤ | NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income (Specify: e.g., Partnership Income or Farm Income) None \$1 - \$200 \$1,001 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$55,001 - \$15,000 \$55,001 - \$15,000 | NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income (Specify: e.g., Partnership Income or Farm Income) None - \$1 - \$200 = \$201 - \$1,000 ≡ \$1,001 - \$2,500 | NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of income (Specify: e.g., Partnership Income or Farm income) None - \$1 - \$200 = \$201 - \$1,000 = \$1,001 - \$2,500 | NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of income (Specify: e.g., Partnership Income or Farm Income) None - \$1 - \$200 = \$201 - \$1,000 = \$201 - \$1,000 \$1,001 - \$2,500 \$5,001 - \$15,000 \$55,001 - \$15,000 \$55,001 - \$15,000 \$15,001 - \$0,000 \$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 \$1,000,001 - \$5,000,000 \$2,5000,000 \$2,5000,000 \$2,5000,000 \$300,001 - \$5,000,000 | NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of income (Specify: e.g., Partnership Income or Farm income) None - \$1 - \$200 = \$201 - \$1,000 = \$1,001 - \$2,500 |
| lew York, NY · x y fund P, New York, x damentally nd, LP, ent in 3 x x | 1 | ASSET NAME | | | | | | | \$ | | | | | | | . 1 | - | | | | | | | F 6 | 1 | | | | | | | | | | | |
| × × × × × × × × × × × × × × × × × × × | <u>\$</u> | ership Investments | | + | + | + | \top | \Box | | | | \bot | \perp | \perp | | 1 | _ | | | | | | | | | | | | | | | | | | | |
| × × | | Acacia Partners, LP, New York, NY - global long only equity fund | × | | | | | | | | | <u>×</u> | | | | | | | | | | | | Partnership income | Partnership income | Partnership income | Partnership income | Partnership income | Partnership income | Partnership income | Partnership income | | Partnership X | | | |
| ω | | American Steadfast, LP, New York, NY - long biased, fundamentally based equity hedge fund | | | | | | | | | × | | | <u> </u> | <u> </u> | | | | | | | | | Partnership income | Partnership | Partnership income | Partnership income | Partnership income | Partnership income | Partnership income | Partnership income | | Partnership X | | | |
| directed) - see below | | D3 Family Bulldog Fund, LP, Camas, WA - Investment in 3 private companies (not self directed) - see below | | | | | | × | | | | | | | | | | | | | | | 14- | Partnership income | Partnership income | Partnership income | Partnership income | Partnership income | Partnership income | Partnership X income | | | | | | |

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| D3 - H2D3V4, LP, Vancouver, WA - activities same as Holt Distressed Property Fund 2010 LP above | D3 - Holt Distressed Property Fund 2010 LP, Vancouver, WA - residential land banker that purchases developed, entitled lots in block sales for resale to local, regional and national home builders | D3 - Pediatric Services Holding Corporation, Atlanta, GA - Provides home nursing care for medically fragile infants and children in various states | ASSET NAME EIF | | | BLOCK A Asset and/or Income Source | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| <u> </u> | | | | Spouse/DC Income over \$1,000,000* | ╧┼ | | Page 10 of_ |
| | | | | P, S, S(part), or E | | BLOCK E Transaction | f_51 |

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|--|--|---|--|------------|---|--------------|------------------------------------|-----------------|---|
| JCF II AIV K, LP, New York, NY - see JC Flowers II LP for description of | JC Flowers II, LP, New York, NY - invests in buyouts, growth capital and special situations in the financial services industry | Healthpoint Capital Partners II, LP, New York, NY - private equity firm exclusively focused on orthopedic and dental device businesses | Farallon Capital Partners, LP, San Francisco, CA - global multi- strategy hedge fund | ASSET NAME | | | BLOCK A Asset and/or Income Source | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| Partnership income | Partnership income | Partnership income | Partnership income | | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | | Type of Income | | |
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| | | | | | over \$5,000,000 | × | | Page . | - 1 |
| | | | | | Spouse/DC Income over \$1,000,000* | Ĭ | | حال | ۱ ، |
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| Lone Tamarack, LP, Greenwich, CT (sole asset is Goldman Sachs cash pending investment in 2014) | Lone Cascade, LP, Greenwich, CT - global long-only equity fund (not self directed, fund manager letter on file with Committee on Ethics) | The HSH AIV 1 Trust, New York, NY - see JC Flowers II LP for x description of activities | Jupiter JCF AIV II Spec., LP, New York, NY - see JC Flowers II LP for description of activities | C, ASSET NAME EIF | | Asset and/or Income Source | BLOCK A | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| × | | × | × | | None - | -1 | | [호 |
| - | | <u> </u> | <u> </u> | + | \$1 - \$200 == | - | | John K. Delaney |
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| - | | | | + | \$5,001 - \$15,000 < | ┧┋ | 묜 |]] |
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| | | | | + | \$1,000,001 - \$5,000,000 × | _ | | Pa |
| | | 1 | | | over \$5,000,000 | 4 | | Page |
| | | | | 1 | Spouse/DC Income over \$1,000,000* | - | | 12 _{of} |
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| Friends of St. Patrick's Episcopal Day School, LLC, Washington, DC x | interest in Eloy Investors LLC which owns raw land for future development in Eloy, AZ) | EVR Investment, LLC, Scottsdale, AZ - sole asset is a partnership | Bristol Waldorf, LP (commercial x rental real estate, Iron Gate Mini Storage, Waldorf, MD, sole asset) | SP, DC. ASSET NAME EIF | None > 1- \$1,000 | | me Source Val | ВLОСК А | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| SCHEDULE A - ASSETS & "UNEARNED INCOME" | BLOCK A Asset and/or Income Source | | | ASSET NAME | Paychex Inc - stock | | Perkinelmer Inc - stock | erkinelmer Inc - stock errigo Co - stock | Perkinelmer Inc - stock Perrigo Co - stock Rollins Inc - stock | Perkinelmer Inc - stock Perrigo Co - stock Rollins Inc - stock Scripps Network Interactive stock | Perkinelmer Inc - stock Perrigo Co - stock Rollins Inc - stock Scripps Network Interactive stock Stock Sherwin Williams Co - stock | Perkinelmer Inc - stock Perrigo Co - stock Collins Inc - stock Coripps Network Interactock Cherwin Williams Co - stock | Perkinelmer Inc - stock Perrigo Co - stock Rollins Inc - stock Scripps Network Interactiv stock Sherwin Williams Co - stock Sigma Aldrich Corp - stock | Perkinelmer Inc - stock Perrigo Co - stock Rollins Inc - stock Scripps Network Interactiv stock Stock Sherwin Williams Co - stock Sigma Aldrich Corp - stock Signet Jewelers Ltd - stock Signet Jewelers Ltd - stock | Perkinelmer Inc - stock Perrigo Co - stock Rollins Inc - stock Scripps Network Interactive (stock Sherwin Williams Co - stock Sigma Aldrich Corp - stock Sigma Pewelers Ltd - stock Signet Jewelers Ltd - stock Tempur Sealy International - Transdigm Group Inc - stock | Perkinelmer Inc - stock Perrigo Co - stock Rollins Inc - stock Scripps Network Interactiv stock Sherwin Williams Co - stock Sigma Aldrich Corp - stock Sigma Pewelers Ltd - stock Signet Jewelers Ltd - stock Transdigm Group Inc - stock Vitamin Shoppe Inc - stock | Perrigo Co - stock Perrigo Co - stock Rollins Inc - stock Scripps Network Interaction Stock Sherwin Williams Co - stock Sigma Aldrich Corp - stock Sigmet Jewelers Ltd - stock Signet Jewelers Ltd - stock Transdigm Group Inc - stovitamin Shoppe Inc |
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| SCHEDULE A - ASSETS & "UNEARNED INCOME" | BLOCK A Asset and/or Income Source | | | ASSET NAME | Wolverine World Wide Inc - stock | Wells Fargo fixed income (Family | | Amarillo Texas Indep School | District - bond (called) | Allegany Cnty MD - bond | Raltimore MD Consol Public Impt | TO COLLOCA ACTIC TITLE | | bond Baltimore MD Proj Revenue - | nore MD Proj Revenue - | nore MD Proj Revenue - | bond Baltimore MD Proj Revenue - bond Birmingham AL Waterworks - bond | bond Baltimore MD Proj Revenue - bond Birmingham AL Waterworks - bond Calcasieu Parish LA School Dist - |
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| <u>ې</u> ۲ | SCHEDOLE A - ASSETS & ONEARINED INCOME | ⊣ | | 1 | 1 | 1 | |] | 2 | " | | 1 | | | 1 | ┥ | | | 1 | | ₽ <mark>├</mark> | Nam | | Ĉ I ∄ | | | | | | John K. Dela | John K. Dela | John K. Dela | John K. Dela | John K. Delaney | John K. Delaney | John K. Delaney | John K. Dela |
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| | Carroll County MD - bond | ļ | \vdash | | - | | | × | <u> </u> | _ | <u> </u> | _ | | | | | | - | ــــــ | | × | × | × | × | × | × | × | × | × | × | | | | | | | |
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| | Fargo ND Health Systems Revenue - bond | | | | | | J | × | | | | | | | | | | | | | × | × | × | × | × | × | × | × | × | | | | | | | | |
| | Florida State Brd of Education - bond (called) | | × | | | 1 | | | | | | | | | | | | | | | × | | × | | | | | | × × | | | | | | | | |
| | Harford Cnty MD - bond | | | <u> </u> | | | - | × | | | <u> </u> | | | <u></u> | | - | \vdash | \vdash | Ь— | | × | × | × | × | × | × | × | | × | × | × | | | | | | |
| | Illinois State Sales Tax Revenue - bond | | × | | - | | | ├── | | | | | | | | | - | | | | × | × | × | × | × | × | × | × | × | | | | | | | | |
| | Illinois State Unemployment Ins - bond | | × | 1 | 1 | <u> </u> | <u> </u> | 1 | ļ | | | | | | | | 1 | | | _ | × | × | × | × | × | × | × | × | | | | | | | | | |
| | Indiana Bd Bk Rev - bond (called) | | × | <u> </u> | | | | | <u> </u> | | | | | | | | - | - | 1 | _ | × | × | × | × | × . | × | × | × | × | | | | | | | | |
| | Maryland State Dept of Transp - bond | | | | | | _ | × | | | | | | | | | | | | _ | × | × | <u>×</u> | _ | × | × | × | × | × | × × | | | | | | | |

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| Ocean City MD Ref & Municipal Purpose - bond | Northampton Boro PA Muni Auth Wtr Rev - bond | North Carolina State Pub Impt - bond (called) | New York NY Muni Bond Bank Agy Ser C - bond (called) | Montgomery County MD - bond | Miami-Dade County FL - bond | Maryland State Transportation Auth - bond | Maryland State Health & Hgr Educ - bond | ASSET NAME | | | Asset and/or Income Source | BLOCK A | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| Tobacco Settlement Fing Corp NY - bonds (called) | Texas State Tech Univ - bond (called) | Seattle Washington Water Systems - bonds (partial call) | Queen Annes County MD bond (matured) | Prince Georges Cnty MD - bond | Pennsylvania State Turnpike Comm - bond | Oklahoma State Capitol Impt Auth - bond (called) | Ohio State Higher Educational Facs - bond | ASSET NAME EIF | | Paser during an involve | BLOCK A | SCHEDULE A - ASSETS & "UNEARNED INCOME" | |
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| <u> </u> | | <u> </u> | ļ. | 1 | <u> </u> | ļ | <u> </u> | | Spouse/DC Income over \$1,000,000* | <u> </u> | | 4 ₽ | |
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|--------------------|--------------------------|---|--|--|--------------|---|----------------------------|----------|---|
| | Worcester County MD bond | Washington MD Suburban Sanitation District - bond | University Systems of Maryland - bond | University of California - bonds (called) | ASSET NAME | | Asset and/or Income Source | BLOCK A | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| | | \vdash | + | | ├ | Spouse/DC Income over \$1,000,000* | + | | Page 25 of |
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| SCHEDULE A - ASSETS & "UNEARNED INCOME" | BLOCK A Asset and/or Income Source | | ASSET NAME | Alliance Partners, LLC (Chevy | Chase, MD company that | facilitates the pooling of | resources among financial | organizations so they can | participate in large lending opportunities) | Acacia Partners, LP, New York, NY - | global long only equity fund | American Steadfast, LP, New York, NY - long biased , fundamentally | based equity hedge fund |
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| 1 = | | None > | | 4 | | | | | | | | | |
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| | BLOCK B Value of Asset | | | + | | | | | | | - | _ | |
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| ic ne | BLOCK C Type of Income | TAX-DEFERRED | | | | | | | | | | | |
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| 후 | | None – | | + | | | × | | | | | | |
| John K. Delaney | | \$1 - \$200 = | | + | | | | | | | - | | |
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| ag g | | \$1,000,001 - \$5,000,000 × | | \dashv | | | | | ļ | | _ | | |
| ညီ | | over \$5,000,000 ≚ | | \dashv | | | | | | | | | ㄴ |
| Page <u>26</u> of | | Spouse/DC Income over \$1,000,000* ≚ | | \dashv | | | | | | | | | |
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| SCHEDULE A - ASSETS & "UNEARNED INCOME" | ED INCOME" | Name | John K. Delaney | Page <u>27</u> of _51 |
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| BLOCK A | вгоск в | BLOCK C | BLOCK D | BLOCK E |
| Asset and/or income Source | Value of Asset | Type of Income | Amount of Income | Transaction |
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| | 000 0,000 50,000 00,000 000,000 55,000,000 | ND TRUST | 000 000 000 ,000 ,000 ,000,000 | 00 ome over \$1,000,000* |
| | None \$1 - \$1,000 \$1,001 - \$15,000 \$15,001 - \$50,00 \$50,001 - \$100,0 \$100,001 - \$250, \$250,001 - \$500, \$500,001 - \$1,00 \$1,000,001 - \$5,0 \$5,000,001 - \$5 \$25,000,001 - \$5 | NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TAX-DEFERRED Other Type of Ince.g., Partnership Income) | None \$1 - \$200 \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$50,001 - 100,00 \$100,001 - \$1,00 \$1,000,001 - \$5,000 | |
| SP, ASSET NAME EIF | | | | |
| BlueSpruce Fund LP, Chicago, IL - | | ! | | |
| primarily holds portfolio of US | | Partnerchin | | |
| equities (not self-directed, fund | × | income | × | ٦ |
| Committee on Ethics) | | | | |
| D3 Family Bulldog Fund, LP, | | | | |
| Camas, WA - Investment in 3 | × | Partnership | × | |
| private companies (not self | ; | income | | |
| directed) - see below | | | | |
| D3 - Pediatric Services Holding | | | | |
| Corporation, Atlanta, GA - | × . | Partnership | × | |
| Provides home nursing care for | > | income | > | |
| medically fragile infants and | | | | |
| children in various states. | | | | |

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| HCP Private Equity Fund II, LP, San Francisco, CA | D3 - H2D3V4, LP, Vancouver, WA - activities same as Holt Distressed Property Fund 2010 LP above | D3 - Holt Distressed Property Fund 2010 LP, Vancouver, WA - residential land banker that purchases developed, entitled lots in block sales for resale to local, regional and national home builders | ASSET NAME | | | Asset and/or income Source | BLOCK A | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| | - | | | None \$1 - \$1,000 | <u>~</u> | | | Ē |
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| | | | | \$100,001 - \$250,000 | <u></u> | Value of Asset | _ | = |
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| | | | | Over \$50,000,000 | _ | | | |
| | | | | Spouse/DC Asset over \$1,000,000* | 3 | | | |
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| <u> </u> | | | | CAPITAL GAINS | | Type of Income | BE | |
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| Partnership income | Partnership income | Partnership | | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | | ne | | |
| | | | | None | - | | | Jo |
| | | | | \$1 - \$200 | <u>=</u> | | | John K. Delaney |
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| <u> </u> | | | ļ | \$2,501 - \$5,000 | <u> </u> | ğ | , | lan |
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| \vdash | | | | over \$5,000,000 | ` | | | age |
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| | | | | | - - | | | Page <u>28</u> of |
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| SCHEDULE A - ASSETS & "UNEARNED INCOME" | ED INCOME" | Name | John K. Delaney Page <u>29</u> of |
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| BLOCK A | вгоск в | вгоск с | Ĝ |
| Asset and/or Income Source | Value of Asset | Type of Income | Amount of Income |
| | one >\$1,000 | TEREST APITAL GAINS (CEPTED/BLIND TRUST XX-DEFERRED ther Type of Income (Specify: g, Partnership Income or Farm come) | one |
| SP, ASSET NAME E | | | |
| Healthpoint Capital Partners II, LP, New York, NY - private equity firm x | × | Partnership | × |
| exclusively focused on orthopedic and dental device businesses | | | |
| JC Flowers II, LP, New York, NY - invests in buyouts, growth capital and special situations in the financial services industry | × | Partnership income | × |
| JCF II AIV K, LP, New York, NY - see JC Flowers II LP for description of activities | × | Partnership income | × |
| Jupiter JCF AIV II Spec., LP, New York, NY - see JC Flowers II LP for description of activities | × | Partnership income | * |

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| Luxor Capital Partners, LP, New York, NY - opportunistic investment fund | Lone Cascade, LP, Greenwich, CT- global long-only equity fund (not self directed, fund manager letter on file with Committee on Ethics) | The HSH AIV 1 Trust, New York, NY - see JC Flowers II LP for description of activities | ASSET NAME E | | BLOCK A Asset and/or Income Source | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| | | | | None > | | = |
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| | | | | \$15,001 - \$50,000 | _ | ≦ |
| | | | <u> </u> | \$100,001 - \$250,000 | BLOCK B Value of Asset | = |
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| | | | | \$500,001 - \$1,000,000 ± | ıf Ag | |
| × | × | 1 | | \$1,000,001 - \$5,000,000 — | ssei | |
| | | <u> </u> | | \$5,000,001 - \$25,000,000 - | " | |
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| | | | | Spouse/DC Asset over \$1,000,000* | | |
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| Partnership income | Partnership income | Partnership income | | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | ne | |
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| | | | | \$1 - \$200 = | | John K. Delaney |
| | | | <u> </u> | \$201 - \$1,000 | ١. | <u> </u> ~ |
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| | | | <u> </u> | \$2,501 - \$5,000 < | BLOCK D Amount of Income | 힅 |
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| | | | | P, S, S(part), or E | BLOCK E Transaction | Page <u>30</u> of _51 |

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| VIP Global Hard Assets-Van Eck | Real Estate-Morgan Stanley | International Large Cap-MFS | Managed Bond-PIMCO | VIP Mid Cap Class 2-Fidelity | VIP Contrafund 2-Fidelity | Global Alloc VI Fund III-BlackRock | Pacific Life (variable universal life insurance) | | Wells Fargo - cash (Ins. Trust) | | ASSET NAME | | | BLOCK A Asset and/or income Source | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| × | + | Į×. | × | × | | × | surrender value | | | | | \$50,001 - \$100,000 | _ | BLOCK B Value of Asset | | "] |
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| | | | | <u> </u> | | | lacksquare | ┞ | <u> </u> | <u> </u> | | over \$5,000,000 | × | | Page 31 of | |
| | | | | <u> </u> | | <u> </u> | lacksquare | ↓_ | | <u> </u> | | Spouse/DC Income over \$1,000,000* | ≚ | | خَيَا | |
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| S25,000,001 - \$50,000,000 X | ┝ | | | | - | ├ | | <u> </u> | | | 4 | Ä | | | |
| Diver \$50,000,000 F | | | | | | | - | ├─ | | | | | | | |
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| Other Type of Income (Specify: e.g., Partnership Income or Farm Income) None | × | | | _ | 1 | _ | × | | | | | ₹ | | | |
| Other Type of Income (Specify: e.g., Partnership Income or Farm Income) None | | | _ | <u> </u> | ├ | <u> </u> | | <u> </u> | | | _ | e | 91 | ┡ | |
| Other Type of Income (Specify: e.g., Partnership Income or Farm Income) None | | | _ | ₩ | _ | _ | <u> </u> | | <u> </u> | | 4 | <u> </u> | Š | an | |
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| \$50,001 - 100,000 \$\leq\$ \$50,001 - \$1,000,000 \$\times\$ \$1,000,001 - \$1,000,000 \$\times\$ \$0,000,001 - \$5,000,000 \$\times\$ \$0,000,000 \$\times\$ \$50,000,000 \$\times\$ \$50,000,000 \$\times\$ \$50,000,000 \$\times\$ | | | | × | | | | | | None | - | | | 6 | |
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| Spouse/DC Income over \$1,000,000* Trans Spouse/DC Income over \$1,000,000* | | | | | L | | | | | over \$5,000,000 | × | | | ge . | |
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| art), or E | | | | | | | | | | P, S, S(part), or E | | Transaction | BLOCK E | of_51 | |

SCHEDULE B - TRANSACTIONS

| Name: John K. Delaney | |
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| Page 33 of 51 | |

| | | | | | | | | | | | | | | | SEE ATTACHED | SP Example Mega Corp. Stock | SP, DC, JT Asset | Capital Gaire: it a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. *Corlunn K is for assets solely held by your spouse or dependent child. | dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions therein you, your spouse, or dependent children, or the puricipate or set of your personal residence, unless it generated rental income. If only a portion of an exercit is only a nearly and nesses those transactions as set is not present the set of the puricipate or set of your personal residence, unless it generated rental income. If only a portion of an exercit is not nesses those transactions. | Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the |
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| | | | | | | | | | <u> </u> | | · | | | | | | | Over \$1,000,000 (Spouse/DC Ass | * | |

| SCHE | SCHEDULE B - TRANSACTIONS | | | | | | Name | e John K. | K. Delaney | ney | | | | | | Page 1 | 4 | 51 |
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| | | Type | of Tr | Type of Transaction | ğ | | Date | | | | Amo | Amount of | f Trar | Transaction | on | | | |
| | | | | | | | (MO/DA/YR) | • | • | | , " | | | 6 | = | _ | - | * |
| \$P, DC, JT | Asset | PURCHASE | SALE | PARTIAL SALE | EXCHANGE | Check Box If Gain Exceeds | or Quarterly, Monthly, or Bi weekly, if applicable | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | OVER \$50,000,000 | OVER \$1,000,000 (Spouse/DC Asset) |
| WELLS F | WELLS FARGO - M20643 / 8023 | | | | | | | | | | | | | | | | | |
| | Baltimore MD muni bond | × | | | | | 02/06/13 | | | | × | | | | | | | |
| | Illinois State Unemployment Ins bond | | × | | | × | 02/07/13 | | | | × | | | | | | | |
| | Frederick Cnty, MD bond | × | | | | | 03/05/13 | | | | × | | | | | | | <u> </u> |
| | Allegany Cnty, MD muni bond | × | | | | | 03/12/13 | | | | × | | | | | | | |
| | Maryland State Health & Hgr Eductni bonds | × | | | | | 03/14/13 | | | | × | | | | | | | |
| | Illinois State Sales Tax Revenue bond | | × | | | i | 03/14/13 | | | | × | | | | | | | |
| | Riverside Cnty CA bond | | × | | | × | 03/14/13 | | | | × | | | | | | | |
| | Florida St Brd of Ed muni bonds | | × | | | | 04/16/13 | | | | × | | | | | | <u> </u> | |
| | Ohio St Higher Educational Facs bond | × | | | | | 07/19/13 | | | | × | | | | | | <u> </u> | |
| | Maryland State Health & Hgr Eductni bonds | × | | | | | 08/22/13 | | | | × | | _ | | | | <u> </u> | <u> </u> |
| | Citizens Ppty Ins Corp, FL bond | × | | | | | 09/05/13 | | | | × | | | | | | _ | |
| | Stephen F Austin TX bond | × | | | | | 10/10/13 | | _ | | × | | | | | | | |
| | Texas State bond | | × | | | × | 09/19/13 | | | | × | | | | | | | |
| | Tobacco Settlement Fing Corp NY bond | | × | | | × | 09/19/13 | | | × | | | | | | | | |
| | S Regi GA Joint Dev Auth Revenue bond | × | | | | | 10/10/13 | | | | × | | | <u> </u> | i | <u>. </u> | | |
| | New Mexico Fin Auth St Transp bond | | | × | | | 10/11/13 | | | | × | ļ | | | | ļ. <u></u> . | | |
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| SCHI | SCHEDULE B - TRANSACTIONS | | | | | | Name | 1 | John K. Dela | laney | | | | | | Page (L.) | Page 35 of 51 | 51 |
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| | | Туре | of Tr | Type of Transaction | ion | | Date | | | | Amo | Amount of | of Tra | Transaction | 9 | | | |
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| sp, DC, Л | л Asset | PURCHASE | SALE | PARTIAL SALE | EXCHANGE | Check Box ii Gain Exceed | or Quarterly, Monthly, or Bi weekly, if applicable | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | OVER \$50,000,000 | OVER \$1,000,000 (Spouse/DC Asset) |
| WELLS | WELLS FARGO - 7445 | | | | | | | | | | | | | | | | | |
| | Indiabulis Infrastructure & Power Limited stock acquired following demerger of Indiabulis Real Estate Ltd stock | | | | × | | 01/23/13 | × | | | | | | | | | | |
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| CONGR | CONGRESSIONAL BANK - 8309 | | | | | | | | | | | | | | | | ļ | <u>.</u> |
| | Congressional Bancshares Inc stock | × | | | | | 07/31/13 | | × | | | | | | | | <u> </u> | |
| | Congressional Bancshares Inc stock | × | | | | | 12/30/13 | | | | | × | | | | | | |
| | | | | | | | | | | | | | | | | | |] |
| GOLDN | GOLDMAN SACHS - 9282 | | | | | | i | | | | | | | | | | | |
| | CapitalSource, Inc stock | | | × | | × | 07/23/13 | | | | <u> </u> | | | | × | | | |
| | | | | | | | | | | | ļ | | | | | | | |
| E-TRAD | E-TRADE - 3031 | ļ | | | | | | | | | ļ | | | | | | | |
| | CapitalSource, Inc stock | | | × | | | 03/28/13 | | | | <u> </u> | | × | | | <u> </u> | | |
| | CapitalSource, Inc stock | | | × | | | 04/11/13 | | | | | | | × | | | | |
| | CapitalSource, Inc stock | | | × | | | 04/11/13 | | | | × | | | | | | | <u> </u> |
| | CapitalSource, Inc stock | | | × | | | 05/06/13 | | | ļ | | | | × | | | | |
| | | | | | | | | | | | | | | | | | | <u> </u> |
| GRANT | GRANTOR RETAINED ANNUITY TRUST (WF 1504 JKD) | | | | | | | ļ | | | | | | | | $oxed{oxed}$ | | |
| | CapitalSource, Inc stock | | | × | | × | 07/24/13 | | | | | | | × | | | <u> </u> | |
| | CapitalSource, Inc stock | | × | | | × | 10/04/13 | | | | | | | × | | | | |

| SCHE | SCHEDULE B - TRANSACTIONS | | | | | | Nam | Name John K. D | K. Del | elaney | | | | | | Page 36 | <u>6 எ 51</u> | <u> </u> |
|------------|---|----------|-------|---------------------|----------|------------------------------|---|--------------------|---------------------|----------------------|-----------------------|-----------------------|----------------------------|------------------------------|-------------------------------|--------------------------------|----------------------|---------------------------------------|
| | | Туре | of Tr | Type of Transaction | ğ | | Date | | | | Am | Ĭ | Amount of Transaction | nsacti | 9 | | | |
| | | | | | | | (MO/DA/YR) | * | | <u> </u> | _x o | , m | 71 | 6 | I | _ | | * |
| \$Р, DC, Л | Asset | PURCHASE | SALE | PARTIAL SALE | EXCHANGE | Check Box if Gain Exceeds | or Quarterly, Monthly, or Bi weekly, if applicable | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | OVER \$50,000,000 | OVER \$1,000,000 (Spouse/DC Asset) |
| | | | | | | | | | | | | | | <u> </u> | | | | |
| GRANTO | GRANTOR RETAINED ANNUITY TRUST (WF 4905 AMD) | | | | | | | | | | | | | | | | | |
| SP | CapitalSource, Inc stock | | | × | | X | 07/24/13 | | | | | | | | | | | × |
| φŞ | CapitalSource, Inc stock | | | × | | × | 10/04/13 | | | | | | | | | | | × |
| SP | CapitalSource, Inc stock | | | × | | × | 10/07/13 | × | | | | | | | | | | <u></u> |
| SP | CapitalSource, Inc stock | | × | | | × | 10/08/13 | | | | | | × | ļ | | | | |
| | | | | | | | | | | | | | | | | | | <u> </u> |
| PARTNE | PARTNERSHIP INVESTMENTS | | | | | | | | | | | | | <u> </u> | | | | |
| | Lone Tamarack, LP | × | | | | | 12/23/13 | | | | | | × | | | | | |
| | Alliance Partners, LLC | × | | | | | 07/26/13 | | | | | | | × | | | _ | |
| | | | | | | | | | | | | | | | | | - | |
| 1997 Fa | 1997 Family Trust u/a dtd 12/23/1997 | | | | | | | | | | | | | <u> </u> | | | | <u></u> |
| WELLS F | WELLS FARGO M20478 | | | | | | | | | | | · | | | | | | |
| | Northhampton Boro PA Muni Auth Wtr Rev bond (unsettled at 12/31/12) | × | | | | | 01/15/13 | | | | × | | | | | | | |
| | Prince Georges Cnty MD - bond (unsettled at 12/31/12) | × | | | | | 01/02/13 | | | | × | | | | | | | |
| | Baltimore MD Consol Public Impt - bond | × | | | | | 02/11/13 | | | | × | | | | | | | |
| | Maryland State Transportation Auth - bond | × | | | | | 03/01/13 | | | | × | | | | | | | <u> </u> |
| | Allegany Cnty MD - bond | × | | | | | 03/12/13 | | ļ | | × | | | | | | <u> </u> | |
| | Maryland State Health & Hgr Educ - bond | × | | | | | 03/14/13 | | | | × | | <u> </u> | | | | | |
| | Illinois State Sales Tax Revenue - bond | <u></u> | Ľ | | | | 03/14/13 | | | × | | | | | | | | |

| SCHE | SCHEDULE B - TRANSACTIONS | | | | | | Name | Name John K. | K. Delaney | aney | | | | | _ | Page 37 of 51 | 7 of . | |
|-----------|---|----------|---------|---------------------|----------|---------------------------------|---|--------------------|---------------------|----------------------|-----------------------|-----------------------|----------------------------|------------------------------|-------------------------------|--------------------------------|----------------------|---------------------------------------|
| | | Тур | e of Tr | Type of Transaction | ion | | Date | | | | Amo | Amount of | f Trar | Transaction | 5 | | | |
| | | | | | | | (MO/DA/YR) | , | 9 0 | <u></u> | 0 | m | 7 | 6 | = | _ | _ | * |
| sP, DC, Л | Asset | PURCHASE | SALE | PARTIAL SALE | EXCHANGE | Check Box if C Gain Exceeded | or Quarterly, Monthly, or Bl weekly, if applicable | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | OVER \$50,000,000 | OVER \$1,000,000 (Spouse/DC Asset) |
| | Illinois State Unemployment Ins - bond | | × | | | | 03/14/13 | | | × | | | | | | | | <u> </u> |
| | Washington MD Suburban Sanitation District - bond | | × | | | | 06/04/13 | | | × | | | | | . , | | | |
| | Calcasieu Parish LA School Dist - bond | × | | | | | 06/11/13 | | | | × | | | | | | | <u> </u> |
| | Baltimore MD Proj Revenue - bond | × | | | | | 06/17/13 | | | | × | | | | ļ | | - | |
| | Ohio State Higher Educational Facs - bond | × | | | | | 07/19/13 | | | | × | | | | | | _ | |
| | Birmingham AL Waterworks - bond | × | | | | | 09/04/13 | | | <u> </u> | × | | _ | | | | | <u> </u> |
| | Fargo ND Health Systems Revenue - bond | × | | | | | 09/17/13 | | | | × | | | ļ | | | | |
| | Pennsylvania State Turnpike Comm - bond | × | | | | | 10/17/13 | | | | × | | <u> </u> | ļ | _ | | | |
| | Cecil County MD - bond | | × | | | | 10/18/13 | | | | × | | | | | | | |
| | Centerville Ohio - bond | | × | | | | 10/18/13 | | | × | | | Į. | | | | | |
| | Oakmark International Fund #109 - mutual fund | × | | | | | 12/23/13 | | | × | ļ | ļ | | | | ļ | | <u> </u> |
| | | | | | | | | | | | | | | | | | <u> </u> | |
| | | | | _ | | | | | | | | | | | _ | _ | _ | <u> </u> |
| | Micros Systems Inc stock | × | | | | | 01/02/13 | × | | | | <u> </u> | | | | | | |
| | Perkinelmer Inc stock | | | × | | × | 01/02/13 | × | | ļ | | | | | | | | |
| : | Scripps Network Interactive Cl A - stock | × | | | | | 01/02/13 | × | | | | | | ļ | | _ | | |
| | Wabtec - stock | | | × | | × | 01/02/13 | × | | | | | | | | | | |
| | Transdigm Group, Inc stock | | | × | | × | 01/03/13 | × | | | | ļ | | | | | _ | <u></u> |
| | Patterson Companies - stock | | × | | | × | 01/04/13 | × | | <u> </u> | <u> </u> | <u> </u> | <u> </u> | <u> </u> | ļ | <u> </u> | <u> </u> | |
| | Williams Sonoma - stock | × | | | | | 01/04/13 | × | | | | | | | | | | : |
| | | | | | | | | | | | | | | | | | | |

| SCHEDULE B - TRANSACTIONS | | | | | Name | John K. E | K. Delaney | ₹ | | | | | Page | <u>\</u> |
|--|----------|---------------------|----------|------------------------------|---|--------------------|---------------------|----------------------|-----------------------|--------------------------------------|------------------------------|--|--------------------------------|----------------------|
| | Type of | Type of Transaction | | | Date | | | , | mou | nt of T | Amount of Transaction | ction | | |
| | | | | | (MO/DA/YR) | > | 8 | C D | | , F | 9 | I | - | ı |
| sp,DC,ЛT Asset | PURCHASE | PARTIAL SALE | EXCHANGE | Check Box if Gain Exceeds | or Quarterly, Monthly, or Bi weekly, if applicable | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 \$500,001 - | \$1,000,000 \$1,000,001 - | \$5,000,000 \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | OVER \$50,000,000 |
| Scripps Network Interactive CI A - stock | × | , | | | 01/04/13 | × | | | | | | | | |
| Harley Davidson Inc stock | × | • | | | 01/08/13 | × | | | | | | | | |
| Perkinelmer Inc stock | | × | | × | 01/11/13 | × | | | | | | | | |
| Harry Winston Diamond - stock | | × | | × | 01/14/13 | × | | | | | | | | |
| Harley Davidson Inc stock | × | | | | 01/17/13 | × | | ļ | | | | | | |
| Williams Sonoma - stock | × | | | | 01/17/13 | | × | ļ | | | | | | |
| Perkinelmer Inc stock | | × | | × | 01/17/13 | × | | | | | | | <u> </u> | |
| Garmin Ltd. New - stock | × | | | | 01/24/13 | × | 1 | ļ | <u> </u> | ! | | | | Ī |
| Markel Corp Holding Company - stock | × | | | | 01/24/13 | × | | ļ | | | | - | | |
| Ametek Inc. New - stock | | × | | × | 01/25/13 | × | | | | | | | | <u> </u> |
| Signet Jewelers Ltd stock | | × | | × | 01/28/13 | × | | | | | | | | |
| Amphenol Corp. Class A - stock | × | | | | 01/29/13 | × | | | | | | | | |
| Kirby Corporation - stock | | × | : | × | 01/29/13 | × | | | | | | - | | |
| Ametek Inc. New - stock | | × | | × | 01/29/13 | × | | | | 1 | | | | |
| Blount International Inc stock | | × | | × | 01/29/13 | × | | | | | | | | |
| Sigma Aldrich Corp stock | | × | | × | 01/30/13 | × | | | | | | | | |
| Dentsply International Inc stock | × | | | | 01/31/13 | × | | | | | | | | |
| Harley Davidson Inc stock | × | | | | 01/31/13 | × | | | | <u> </u> | | | | |
| Wolverine World Wide Inc stock | × | | | | 01/31/13 | × | | <u> </u> | - | <u> </u> | | | | |
| Wabtec - stock | | × | | × | 01/31/13 | × | | | | | - | | | |

| SCHEDULE B - TRANSACTIONS | | | | | | Name | | John K. Dela | aney | | | | | | မ္တ | Page 39 of 51 | |
|----------------------------------|-------------|---------------------|----------|-------|--|---|----------|--------------|-------------|---------------|--------------------|----------|-------------|--------------|---------|---------------|---------------------------|
| | dλ <u>ι</u> | Type of Transaction | ansact | ion | | Date | | | | Amount | unt of | f Tran | Transaction | ň | | | |
| s, pc, л Asset | CHASE | ALE | IAL SALE | HANGE | Check Box if Capit Gain Exceeded \$20 | (MO/DA/YR) or Quarterly, Monthly, or Bi | \$15,000 | - \$50,000 | - \$100,000 | L - \$250,000 | m 1 - \$500,000 | 00 | 01- a 00 | 01- <u>±</u> | 001 | 000 | ,000,000 == (DC Asset) |
| | | | <u> </u> | | × | 01/31/13 | × \$ | \$ | \$ | \$ | <u>\$</u> | | | \$ | ╬ | ヿ | |
| Perkinelmer Inc stock | | | ļ, | | , | 01/01/10 | , | _ | 1 | _ | - | | _ | + | \perp | + | |
| Perrigo Co stock | | | × | | × | 02/01/13 | × | | | | | | | | L | | |
| Kirby Corporation - stock | | | × | | × | 02/13/13 | × | | | | | | | | | | |
| Micros Systems Inc stock | × | | | | | 02/13/13 | × | | | | _ | | | | | | |
| Wabtec - stock | | | × | | × | 02/13/13 | × | | | | | | | | | | |
| Dentsply International Inc stock | × | | | | | 02/14/13 | | × | | | | | | | | | |
| Mohawk Industries Inc stock | × | | | | | 02/15/13 | | × | <u> </u> | _ | ļ | | | | | <u> </u> | |
| Wabtec - stock | | | × | | × | 02/15/13 | × | | | | ļ | | _ | | | | |
| Wolverine World Wide Inc stock | × | | | | | 02/19/13 | × | | | | | | <u> </u> | | | | |
| Harley Davidson Inc stock | × | | | | | 02/19/13 | × | | | | | | | | | | |
| Mohawk industries Inc stock | х | | | | | 02/19/13 | × | | | | <u> </u> | | | | _ | <u> </u> | |
| Garmin Ltd. New - stock | | | × | | × | 02/20/13 | × | | | | | | | | _ | | l |
| Mohawk Industries Inc stock | × | | | | | 02/20/13 | | × | | | | | <u> </u> | | _ | | |
| Kirby Corporation - stock | | | × | | × | 02/20/13 | × | | | | _ | | | | - | | |
| Blount International Inc stock | | | × | | | 02/20/13 | × | | | | | <u> </u> | | | | | |
| Micros Systems Inc stock | × | | | | | 02/20/13 | × | | | | <u> </u> | ļ | | | | | <u> </u> |
| Harry Winston Diamond - stock | | × | | | × | 03/04/13 | × | | | | | _ | | | | | |
| Wabtec - stock | | | × | | × | 03/05/13 | × | | | 1 | | | | | | | |
| Micros Systems Inc stock | × | | | | | 03/06/13 | × | | | | <u> </u> | | _ | | | | |
| Sigma Aldrich Corp stock | | | × | | × | 03/06/13 | × | | | | ļ | | _ | | - | | |
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SCHEDULE B - TRANSACTIONS

Name John K. Delaney Page 40 of 51

| | | Туре | of Tra | Type of Transaction | 9 | | Date | | _ | ┥. ┃ | 15 I | | nount of T | nount of Transac | nt of Transactio | - | - |
|-----------|---|----------|--------|---------------------|----------|------------------------------|--|-----------------------|--------------------|--------------|--------------------|--|---|--|--|---|--|
| | | | | | | | (MO/DA/YR) | 콩 | ₹ > | | № в с | 3 G D 8 | 3 G D 8 | 3 G 3 B V | A B C D E F | A B C D E F | A B C D E F G H |
| SP, DC, Л | Asset | PURCHASE | SALE | PARTIAL SALE | EXCHANGE | Check Box If Gain Exceeds | or Quarterly, Monthly, or B weekly, if applicable | erfy, or Bi bhe | \$1,001 - \$15,000 | | \$1,001 - \$15,000 | \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$250,000 | \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$250,000 \$250,001 - \$500,000 | \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$250,000 \$250,001 - \$500,000 \$1,000,000 - \$1,000,000 | \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$250,000 \$250,001 - \$500,000 \$1,000,000 | \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$250,000 \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 \$5,000,001 - | \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$250,000 \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 |
| | Ametek Inc. New - stock | | | × | | × | 03/06/13 | /13 | × | × | × | × | × | × | × | × | × |
| | CBRE Group Inc stock | | | × | | × | 03/11/13 | /13 | /13 X | | | | | | | | |
| | Kirby Corporation - stock | | | × | | × | 03/12/13 | 2/13 | 2/13 X | | | | | | | | |
| | Mohawk Industries, Inc stock | × | | | | | 03/ | 03/21/13 | 21/13 X | | | | | | | | |
| | Perrigo Co stock | | | × | | × | 03/ | 03/25/13 | 25/13 X | | | | | | | | |
| | Vitamin Shoppe Inc stock | × | | | | | 2 | 04/10/13 | 10/13 × | | | | | | | | |
| | Vitamin Shoppe Inc stock | × | | | | | 8 | 04/11/13 | 11/13 X | - | - | - | - | - | - | - | - |
| | Vitamin Shoppe Inc - stock | × | | | | | 94/ | 04/15/13 | 15/13 X | - | - | - | - | - | - | - | - |
| | Perrigo Co stock | | | × | | × | 2 | 04/22/13 | 22/13 X | - | - | - | - | - | - | - | - |
| | Brookfield Prop Part LP - PTP units acquired in spin-off from Brookfield Asset Mgmt | | | , | × | | 04/15/13 | 5/13 | 5/13 × | | | | | | | | |
| | Brookfield Prop Part LP - PTP units | | × | | | i | 04/22/13 | 2/13 | 2/13 × | | | | | | | | |
| | Sigma Aldrich Corp stock | | | × | | × | 04/23/13 | /13 | /13 × | | | | | | | | |
| | Ametek Inc. New - stock | | | × | | × | 04/23/13 | 3/13 | 3/13 X | | | | | | | | |
| | Sigma Aldrich Corp stock | | | × | | × | 04/24/13 | 4/13 | 4/13 × | - | - | - | - | - | - | - | - |
| | Harley Davidson Inc stock | × | | | | | 04/2 | 04/25/13 | 5/13 X | | | | | | | | |
| | Perkinelmer Inc stock | | | × | | × | 04/2 | 04/26/13 | 16/13 | :6/13 × | | | | | | | |
| | Markel Corp Holding Company - stock | × | | | | | 05/03/13 | §/13 | 3/13 × | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| | Mohawk Industries Inc stock | × | | | | | 05/03/13 | \$/13 | 3/13 × | | | | | | | | |
| | Vitamin Shoppe Inc stock | × | | | | | 05/03/13 | \$/13 | 1/13 × | + | + | + | + | + | + | + | + |
| | Vitamin Shoppe Inc stock | × | | | | | 05/06/13 | 13 | 13 × | | | \vdash | | | | \vdash | |

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| | ULE B - TRANSACTIONS | | | | | | Name | e John K. | 11- | Jelaney | | | | | | Page | Of 27 | |
| | | Type | of Tra | Type of Transaction | jon | | Date | | | | Amo | Amount of | f Tran | Transaction | ž | | | |
| | | | | | | - | (MO/DA/YR) | ^ | | _ | , • | , " | 70 | 6 | I | - | _ | * |
| Sign Sign Sign Sign Sign Sign Sign Sign | Asset | PURCHASE | SALE | PARTIAL SALE | EXCHANGE | Check Box if Gain Exceeds | or Quarterly, Monthly, or Bi weekly, if applicable | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | \$50,000,000 | OVER \$1,000,000 (Spouse/DC Asset) |
| Sign Man Pe De Vit W. Sign Man De So De W. Sign Man De Vit W. Sign Man | Scripps Network Interactive CI A - stock | | | × | | × | 05/07/13 | × | | | | | | | | | | |
| Sig Sig Ma | Wabtec - stock | | | × | | X | 05/08/13 | × | | | | | | | | | | |
| Sign Sign Sign Sign Sign Sign Sign Sign | Dentsply International Inc stock | × | | | | | 05/09/13 | × | | | | | | | | | | |
| Sign Sign Man De | Scripps Network Interactive Cl A - stock | | | × |) | × | 05/10/13 | × | | | | | | ļ | | | | |
| Sig Sig No. | Dentsply International Inc stock | × | | | | | 05/14/13 | × | | | | | | ļ | | | | <u> </u> |
| Sig Sig Sig Sig Sig Sig Sig Sig Sig Sig | Mohawk Industries Inc stock | × | | | | | 05/14/13 | × | | | | | | ļ | | | | <u> </u> |
| Sign MA | Dentsply International Inc stock | × | | | | | 05/16/13 | × | | | | | | ļ | | | | |
| Sig Sig Sig Sig Sig Sig Sig Sig Sig Sig | Sigma Aldrich Corp stock | | | × | | × | 05/16/13 | × | | | | | | | ļ | | | |
| Sig No. | Vitamin Shoppe Inc stock | × | | | | | 05/16/13 | × | | | | | | ļ | ļ | | | |
| Sig Sig Ma | Sigma Aldrich Corp stock | | | × | | × | 05/17/13 | × | | | | | | | | | | |
| Sig Sig | Wabtec - stock | | | × | | × | 05/17/13 | × | | | | | | | <u> </u> | | 1 | <u> </u> |
| Sign MA | Vitamin Shoppe Inc stock | × | | | | | 05/17/13 | × | | | | | | | | | | |
| Pe Sig | Dentsply International Inc stock | × | | | | | 05/20/13 | × | | | | | | | | | | |
| Sig Sig | Perrigo Co stock | | | × | | × | 05/20/13 | × | | | | | | | | | | |
| Sig | Mohawk Industries Inc stock | × | | | | | 05/29/13 | × | | | | | | | - | ļ | | |
| Sig | Sigma Aldrich Corp stock | | | × | | × | 06/05/13 | × | | | | | | | | ļ | _ | |
| | Sigma Aldrich Corp stock | ļ | | × | | × | 06/11/13 | × | | | | | _ | | | ļ | | |
| S | Vitamin Shoppe Inc stock | × | | | | | 06/12/13 | × | | | | | ļ | | <u> </u> | <u> </u> | _ | <u> </u> |
| W | Wabtec - stock | | | × | | × | 06/12/13 | × | | L | | _ | | _ | - | - | - | 1 |
| Pa | Paychex Inc stock | | | × | | × | 06/13/13 | × | | | | | | | _ | | | |

SCHEDULE B - TRANSACTIONS

SP, DC, JT O Reilly Automotive New - stock Sigma Aldrich Corp. - stock O Reilly Automotive New - stock Sigma Aidrich Corp. - stock Sherwin Williams Co. - stock Sherwin Williams Co. - stock Sherwin Williams Co. - stock Ametek Inc. New - stock Cabelas Inc. - stock Scripps Network Interactive CI A - stock Cabelas Inc. - stock CBRE Group Inc. - stock Perrigo Co. - stock Harley Davidson, Inc. - stock Cabelas Inc. - stock Cabelas Inc. - stock Cabelas Inc. - stock Cabelas Inc. - stock Cabelas Inc. - stock Wabtec - stock Asset × × × × × PURCHASE × × × × × × × × Type of Transaction × SALE × × × PARTIAL SALE EXCHANGE Check Box if Capital × × × × × × × Gain Exceeded \$200 or Quarterly, Monthly, or B weekly, if applicable 07/23/13 07/23/13 07/22/13 06/19/13 07/18/13 07/18/13 06/27/13 06/24/13 06/21/13 06/20/13 (MO/DA/YR) 08/06/13 07/30/13 07/25/13 06/18/13 07/22/13 07/25/13 07/22/13 07/10/13 07/10/13 06/19/13 Date × > \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 **Amount of Transaction** \$100,001 - \$250,000 \$250,001 - \$500,000 \$500,001 -\$1,000,000 \$1,000,001 -0 \$5,000,000 \$5,000,001 -I \$25,000,000 \$25,000,001 -\$50,000,000 OVER \$50,000,000 OVER \$1,000,000 * (Spouse/DC Asset)

Name John K. Delaney

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| | | | | | | | | | | | | | | _ | | | | |
|-------------|------------------------------------|----------|---------------------|--------------|----------|---------------------------------|---|--------------------|--------------------|--------------------|--------------------|--------------------|----------------------------|------------------------------|-------------------------------|--------------------------------|----------------------|------------------------------------|
| SCH | SCHEDULE B - TRANSACTIONS | | | | | | Name | ı | John K. Delaney | aney | | | | <u> </u> | | Page 43 of | | 51 |
| | | Тур | Type of Transaction | ansac | tion | | Date | | | | Amo | unt o | Amount of Transaction | sactio | š | | | |
| | | E | | VLE | GE | s Box if Capit Exceeded \$20 | (MO/DA/YR) or Quarterly, | | .000 | 0,000 | 50,000 | 00,000 | 71 | | | <u>-</u> | | |
| \$¢, DC, JI | эл Asset | PURCHASE | SALE | PARTIAL SALE | EXCHANGE | Gain Exc | or Quarterly, Monthly, or Bi weekly, if applicable | \$1,001 - \$15,000 | \$15,001 - \$50,00 | \$50,001 - \$100,0 | \$100,001 - \$250, | \$250,001 - \$500, | \$500,001 - \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | OVER \$50,000,000 | OVER \$1,000,00 (Spouse/DC Asse |
| | Cabelas Inc stock | × | | | | | 08/06/13 | × | | | | | | | | | | |
| | Cabelas Inc stock | × | | | | | 08/20/13 | × | | | | | | | | | | <u>.</u> |
| | Heico Corp. New - stock | | | × | | × | 08/23/13 | | × | | | | | | | | | |
| | Wolverine World Wide Inc stock | | | × | | × | 08/26/13 | × | | | | | | | | | | |
| | Harley Davidson Inc stock | | | × | | × | 09/09/13 | × | | | | | | | <u> </u> | _ | | |
| | Amphenol Corp. Cl A - stock | | | × | | × | 09/09/13 | × | | | | | | | | _ | | <u></u> |
| | Heico Corp. New - stock | | | × | | × | 09/11/13 | × | | | | | ļ | | | | | |
| | Tempur Sealy International - stock | × | | | | | 09/11/13 | | × | | | | | | | | | |
| | Tempur Sealy International - stock | × | | | | | 09/13/13 | | × | | | | | | | | | |
| | Vitamin Shoppe Inc stock | | | × | | | 09/17/13 | × | | | | | | | | ļ | | |
| | Wolverine World Wide Inc stock | | | × | | × | 09/19/13 | × | | | | | | ļ | | ļ | | |
| | Tempur Sealy International - stock | × | | | | ŀ | 09/27/13 | | × | | | | | | | | <u> </u> | |
| | Tempur Sealy International - stock | × | | | | | 10/01/13 | × | | | | | | | | | <u> </u> | |
| | Wolverine World Wide Inc stock | | | × | | × | 10/03/13 | × | | | | ļ <u>-</u> | | <u> </u> | | | - | |
| | Cabelas Inc stock | × | | | | | 10/08/13 | | × | | | | | | | | | |
| | Wolverine World Wide Inc stock | | | × | | × | 10/15/13 | × | L_ | _ | | | <u> </u> | <u> </u> | | <u> </u> | _ | L_ |
| | Perkinelmer Inc stock | | | × | | × | 10/17/13 | × | | | | | | | - | | | <u> </u> |
| | Garmin Ltd. New - stock | | | × | | × | 10/21/13 | × | | | | | <u> </u> | | <u> </u> | | | |
| | Garmin Ltd. New - stock | | | × | | × | 10/22/13 | × | | | <u> </u> | _ | | | - | _ | - | |
| | Garmin Ltd. New - stock | | | × | | × | 10/23/13 | × | | | | | _ | | | | | |

SCHEDULE B - TRANSACTIONS

PIMCO TOTAL RETURN INST sp, DC, Л PARTNERSHIP INVESTMENTS BlueSpruce Fund LP PIMCO Total Return Inst - mutual fund (reinv. div.) Wolverine World Wide Inc. - stock Rollins Inc. - stock CBRE Group Inc. - stock Harley Davidson Inc. - stock CBRE Group Inc. - stock Heico Corp. New - stock Cabelas Inc. - stock O'Reilly Automotive New - stock Ametek inc. New - stock Scripps Network Interactive CI A - stock Vitamin Shoppe Inc. - stock Tempur Sealy International - stock Tempur Sealy International - stock Vitamin Shoppe Inc. - stock Asset × × × × × × PURCHASE Type of Transaction × SALE × × × × × PARTIAL SALE EXCHANGE Check Box if Capital × × × × × Gain Exceeded \$200 or Quarterly, Monthly, or B weekly, if applicable (MO/DA/YR) 06/27/13 12/18/13 12/11/13 11/27/13 11/26/13 11/26/13 11/22/13 11/22/13 11/07/13 11/05/13 10/29/13 10/28/13 10/24/13 12/11/13 10/24/13 monthly Date × × × × × × × × \$1,001 - \$15,000 × × × × × × \$15,001 - \$50,000 × \$50,001 - \$100,000 Amount of Transaction \$100,001 - \$250,000 \$250,001 - \$500,000 × \$500,001 -\$1,000,000 \$1,000,001 -6 \$5,000,000 \$5,000,001 -I \$25,000,000 \$25,000,001 -_ \$50,000,000 OVER \$50,000,000 OVER \$1,000,000 × (Spouse/DC Asset)

Name John K. Delaney

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| | | Туре | of Tra | Type of Transaction | Ön | | Date | | | | Αп | nount | of T | Amount of Transaction | tion | | | |
|-----------|--------------------|--------|--------|---------------------|--------|---------------------|--|-----------------|----------------|----------------|----------------|----------------|-------------|------------------------------|-------------|-------------------------------------|----------------------|--|
| | | | | | | | (MO/DA/YR) | * | æ | C | 0 | m | 71 | 9 | I | _ | _ | |
| | | SE | | ALE | GE | k Box if Exceeds | or Quarterly, | | 000,000 | 000,00 | 250,000 | | | | | | | |
| s», DC, Л | Asset | PURCHA | SALE | PARTIAL S | EXCHAN | Gain | Monthly, or 8: weekly, if applicable | \$1,001 - \$15, | \$15,001 - \$5 | \$50,001 - \$1 | \$100,001 - \$ | \$250,001 - \$ | \$500,001 - | \$1,000,000 \$1,000,001 - | \$5,000,000 | \$25,000,000 \$25,000,001 | \$50,000,000 OVER | |
| | BlueSpruce Fund LP | × | | | | | 09/27/13 | | | | | × | | | | | | |
| | BlueSpruce Fund LP | × | | | | | 12/30/13 | | | | | × | | | | | _ | |

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SCHEDULE C - EARNED INCOME

Name: John K. Delaney Page 46 of 51

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding \$1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act

INCOME LIMITS and PROHIBITED INCOME: The 2013 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,955. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

| types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationiship) were totally promibited. | e totally pronibited. | |
|---|---------------------------------------|----------------|
| Source (include date of receipt for honoraria) | Туре | Amount |
| | Approved Teaching Fee | \$48,000 |
| EXamples: Civil War Rounttable (Oct. 2) Ontario County Board of Education | Spouse Speech Spouse Salary | \$1,000 NJA |
| CapitalSource Finance LLC, Los Angeles, CA | Exercise of Options | 4,774,968 |
| | (For services rendered prior to 2013) | |
| CapitalSource Finance, Brea, CA | Director fees | 5,000 |
| | (For services rendered prior to 2013) | |
| CapitalSource Bank, Brea, CA | Director fees | 56,250 |
| | (For services rendered prior to 2013) | |
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SCHEDULE D - LIABILITIES

Name: John K. Delaney

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period. By you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000.

*Column K is for liabilities held solely by your spouse or dependent child.

| J | | | | | | | ₽ | | | ď |
|---|---|---|---------------------------------------|---|--------------|--|--|----|---------------------|--|
| | | | | | | | , | | | umnio, |
| | | | | | SEE A | Example | | | | K IS TOT III |
| | | | | | SEE ATTACHED | First Bank of Wilmington, DE | Creditor | | | Column K is for liabilities neid solely by your spouse or dependent casio. |
| | | | | | | 5/98 | Date Liability Incurred MO/YR | | | репоент стяю. |
| | | , | · · · · · · · · · · · · · · · · · · · | | | Mortgage on Rental Property, Dover, DE | Type of Liability | | | |
| | | - | | | | | \$10,001- \$15,000 | > | | |
| | | | | | | | \$15,001- \$50,000 | | | |
| | | | | | | | \$50,001- \$100,000 | C | | |
| | | | | | | × | \$100,001- \$250,000 | D | , | |
| | | | | | | | \$250,001- \$500,000 | m | Amount of Liability | ١ |
| | | | | | | | \$500,001- \$1,000,000 | 'n | tofLi | |
| | | | | | | | \$1,000,001- \$5,000,000 | 6 | Billity | |
| | | | | | | | \$5,000,001- \$25,000,000 | ± | | |
| | 1 | | | | | | \$25,000,001- \$50,000,000 | - | | |
| | - | | | 1 | 1 | | | | | |
| | | | | | | | Over \$50,000,000 | ۷ | | |

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions

| listed in Schedule C, positions held in any religious, social, fraternal, or political entiti | listed in Schedule C, positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. |
|---|---|
| Position | Name of Organization |
| SEE ATTACHED | |
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|---|----------|------|---|---------------------------|----------------------------|--|--|----------|---------------------|--------------------------------------|
| | | | | л | Л | 7 | SP, DC, JT | | | SE |
| | | | The 1997 Family Trust dtd 12/23/97, Potomac, MD | American Express Platinum | American Express Centurion | Wells Fargo Home Mortgage | Creditor | | | SCHEDULE D - LIABILITIES (continued) |
| | | | 9/2011 | 12/2013 | 12/2013 | 4/2011 refinanced 5/2013 | Date Liability Incurred MO/YR | | | |
| | | | Investment loan | Credit card | Credit card | 4/2011 refinanced Mortgage on home, Potomac, MD 5/2013 | Type of Liability | | | Name John K. Delaney |
| | | | | | | | \$10,001 - \$15,000 | > | | |
| | | | | × | | | \$15,001 - \$50,000 | | | |
| | | | | | × | | \$50,001 - \$100,000 | C | | |
| | | | | | ļ | | \$100,001 - \$250,000 | 0 | à. | |
| _ | <u> </u> | | | <u> </u> | <u> </u> | | \$250,001 - \$500,000 | m | Amount of Liability | |
| | | | | | | | \$500,001 \$1,000,000 | 71 | 9 | |
| | | | × | <u> </u> | ļ | × | \$1,000,001 - \$5,000,000 | • | ability | |
| | | | | | | | \$5,000,001 - \$25,000,000 | = | | |
| | | | | | <u> </u> | | \$25,000,001 - \$50,000,000 | - | | Page |
| | | _ | | | | - | OVER \$50,000,000 | _ | | Page 48 of 51 |
| | | | | | | <u> </u> | OVER \$1,000,000 (Spouse/DC Asset) | * | | E |

| SCHEDULE E - POSITIONS - continued | | Name Page 49 of _51_ |
|--|-------------------------------------|----------------------|
| | | |
| Position | | Name of Organization |
| Co-Founder, Director and Member of LLC | Alliance Partners, LLC | |
| LLC Member | Alliance Partners Management, LLC | |
| Founder and Chairman | Blueprint Maryland | |
| Director | Georgetown University | |
| Member of Executive Committee of the Board and | | |
| Board of Trustees | Potomac School | |
| Director | National Symphony Orchestra | |
| Director / Chairman | Congressional Bank | |
| Director | One Pacific Coast Bank | |
| Member of Advisory Board | Enlightenment Capital | |
| Limited partner | Acacia Partners, LP | |
| Limited partner | American Steadfast, LP | |
| Limited partner | The D3 Family Bulldog Fund, LP | |
| Limited partner | Faralion Capital Partners, LP | |
| Limited partner | Healthpoint Capital Partners II, LP | |
| Limited partner | JC Flowers II, LP | |
| Limited partner | JCF HRE AIV II 1 Trust | |
| Limited partner | JCF II AIV K, LP | |
| Limited partner | Jupiter JCF AIV II Special, LP | |
| Limited partner | The HSH AIV 1 Trust | |
| Limited partner | Lone Cascade, LP | |
| Limited partner | Lone Tamarack, LP | |
| Limited partner | Bristol Waldorf, LP | |
| LLC Member | East Capitol Venture, LLC | |

| SCHEDULE E - POSITIONS - continued | John K. Delaney | Page 50 _{of_51_} |
|---|--|---------------------------|
| | | |
| Position | Name of Organization | |
| LLC Member | EVR Investment, LLC | |
| LLC Member - Manager | Friends of St. Patrick's Episcopal Day School, LLC | |
| LLC Member | WF Holding Co, LLC | |
| Managing Member and registered agent for MD company | Key Bridge Investments, LLC | |
| Manager | Parkgien, LLC | |

SCHEDULE F - AGREEMENTS

| Name: JC | |
|----------------------|--|
| hn | |
| K. Delaney | |
| Pag | |
| ₉ 51 of 5 | |
| 51 | |

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date | Parties to Agreement | Terms of Agreement |
|----------|---|---|
| 06/15/12 | AP Commercial LLC, Alliance Partners LLC, and John K. Delaney | 06/15/12 AP Commercial LLC, Alliance Partners LLC, and John K. Delaney Employment agreement through December 2012, with continued availability. |
| | | of health insurance benefits, office and support services post termination. |
| | | |
| 06/15/12 | 06/15/12 Alliance Partners Management LLC, Alliance Partners LLC, and John K. Detaney | Continued participation in Long Term Incentive Plan managed by |
| | | Alliance Partners Management LLC. |
| | | |

SCHEDULE G - GIFTS

Report the source (including name, city, and state), a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the

| Source | Description | Value |
|--|--|-------|
| Example: Mr. Joseph Smith, Arlington, VA | Silver Platter (determination of personal friendship received from the Ethics Committee) | \$400 |
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