HOWARD COBLE

SIXTH DISTRICT NORTH CAROLINA

2468 RAYBURN HOUSE OFFICE BUILDING WASHINGTON, DC 20515-3306 PHONE: (202) 225-3065 Fax: (202) 225-8611

e-mail: howard.coble@mail.house.gov www.coble.house.gov



LEGISLATIVE RESOURCE CENTERANSPORTATION AND

Congress of the United States | PM 3: 14 PRINTED ON RECYCLED PAPER

House of Representatives Charle of the Clerk U.S. House of Representatives Whashington. DC 20515-3306

June 21, 2012

MC

The Honorable Jo Bonner Chairman Committee on Ethics 1015 Longworth Building Washington, D.C. 20515

The Honorable Linda T. Sanchez Ranking Member Committee on Ethics 1015 Longworth Building Washington, D.C. 20515

Dear Chairman Bonner and Ranking Member Sanchez:

Thank you for your letter of June 5, 2012, requesting additional information on two mutual funds that I own and reported on my 2011 Financial Disclosure Statement. I am pleased to reply to your request.

You requested additional information on my Morgan Stanley Smith Barney Account and my UBS IRA. Because of transmission errors from the offices that handle these accounts for me. the attachments I included in my original filing were incomplete. I requested and received new statements showing sales and purchases from these accounts during the 2011 calendar year, which I have enclosed for your review.

I hope this will answer any questions you have concerning my 2011 Financial Disclosure Statement. If you have any additional questions, do not hesitate to contact me or my Chief of Staff Ed McDonald at x5-3065. Thank you again for bringing this matter to my attention.

Sincerely.

HOWARD COBLE Member of Congress To: 2022264363

63 From: UBS Mr. Éd McDonald

Fax: UBS

at: 18-JUN-2012-13: 33 Doc: 601 Page: 001

HAND DELIVERED

LIGIGLATIVE RESOURCE CENTER

2012 JUN 21 PM 3: 15

U.S. HOUSE OF REPRESENTATIVES

Fax:

Re:

To:

Mr. Coble's Year End Investment Holdings

Date:

June 18, 2012

Pages:

36

Mr. McDonald,

Attached is a copy of Mr. Coble's 12/31/2011 year-end account statement as well as printouts detailing the purchases and sales made during 2011.

The December statement is 26 pages in length (numbered "1 of 26", etc.) and 2 pages of disclaimers that aren't numbered. There are also 7 pages of activity screens reflecting the security transactions that occurred throughout the year.

If you have any questions, or require additional assistance, please do not hesitate to let me know.

Best regards,

Susan Glynn

Susan Glynn | Registered Client Service Associate | UBS Financial Services Inc.

3200 Northline Avenue, Suite 100 | Greensboro, NC 27408

Direct: 336.834.6903 | Fax: 855.409.6749 | Toll Free: 800.821.0355

Email: susan.glynn@ubs.com

UBS e-Delivery: easy. efficient. ecosmart. secure.

Go to www.ubs.com/onlineservices to sign up and get your important documents electronically

-\$5,173,40

\$23.938

210.000

MS-2006K9 BJ-331A)2

BI'S WHSL CLUB INC Trade#:01409 Blot:85 MCRGAN STANLEY Trade#:47463 Blot:60

BOUGHT BOUGHT

06/24/11 11/72/70

06/21/13 67/22/13

03/31/11 03/31/11

03/24/11 11/14/11

\$5,012.53

655.945

105.000

* Total Bought Amount: -\$26,613,33

LEGISLATIVE RESOURCE CENTER, UIN 21 PH 3: 15 87 HAND DELIVERED

OFFICE COLD ON THE MOUSE OF REPRESENTATIVES

Page 1 of 1 UBS Financia! Services \$3,888.65 \$5,809.00 \$2,505.00 4.7. A. 6. Buying Power: 182,920.00 Last Sent: 04/12 \$17.868 \$9.518 \$10.548 \$18.958 Price/Detail Activity as of: 05/10/12 280,000 245.000 355.000 Quantity 260,000 Funds Available: 92,342,28 Ž Activity as of (Intra): N/A Symbol SYHC-435457 SVNT-410284 Account# in View: AD17085 SVNT-4102B4 Debit/Credit Balance: RRD-132365 Home Voice 1: 25,00 Risk: Moderate F 05/11/12 15:07:06 Objective: Curr Income & Cap App Description SAVIENT PHARMACEUTICALS INC Trade#196281 Bioc136 SAVIENT PHARMACEUTICALS INC Trade#:15339 BIOL:38 DONNELLEY (R.R.) & SONS CO Trade#:15/756 Blot:60 Money Funds/Sweap Features: HH, Premier SE04020 - Client Inquiry - Activity for Money Mgr. N/A Heared by Atoty Cate 01/01/2011-12/31/2011, Bought Activity FA: ADRK BOUGHT BOUGHT BOUGHT BOUGHT 263,588.54 Status: Active Generated On: 05/11/2012 11/50/40 03/29/11 03/29/11 04/05/11 Address: 1 Howard Coble

264,472.10 Total Value:

Type: RMA

Acci

Page: 1 of 1 Rows; 1 - 5 of 6

* The "Tota!" is a sum of the values displayed in the "Amount" column.

2 Disposyed as an additional transaction, the ebove bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

Intraday updates for Equity, Flood Income and Mutual Fund states and some cash and security transactions are included on approximately a 20 minute delay Commission and flood mores, and may not may n

This report is for informational purposes only and may or may not include all haldings or client accounts. All information presented is subject to change at any time and is provided only as of the date individual record of client accounts and are not superseded, replaced by any of the information presented in these reports. Clients should not rely on this information in maging purchase or sell decisions, for tax purposes or otherwise.

http://cwv2proxy.cwfh.pwj.com:8080/CICE/activity?formFlag=Y&sortingFlag=Y&totRecCount=6&dateFrom=01/01/2011&dateTo=12/31/20...

Page 1 of 1

- 05/11/12 15:07:25

SE04020 - Client Inquiry - Activity for I

¹ Total Sold Amount: \$23,470.23.

Acct:	1			Home Voice 1:	3. 2. C			
Type: RMA St	Status: Adive	FA: ADRK Fes	Features: HH, Premer					
Address: 3 Howard Cable	rd Coble	Money Ngr: N/A Object	Objective: Curr Income & Cap App	Risk: Moderate	Activity as of (Intra): N/A	Activity as of: 05/10/12	Last Shrit: 04/12	
Total Value: 264,A72,10	-	Equity: 263,588.54	9 2	Debt/C 1 25.00	Debti/Credit Balance: 25.00	Funds Available: 92,342.28	Buying Power: 182,920.00	Power:
Constrained On 05/11/2012	05/11/2012	1000000		Account# in 1	Account# in View: AD17085		\$80	UBS Reanclal Services
Entry	Settie	ACEIVE.	Description		Symbol / Security#	Type Quantity	Price/Datate	Amount
03/24/11	03/29/11	2105	VERIZON COMPUNICATIONS INC Trade#:95565 Biot:60		VZ-492BA3	2 175.600	\$37.212	\$4,897.40
03/24/11	03/29/11	മാ	PFIZER INC Trade#:95825 Biot:60		PFE-370011	2 185.009	\$20,242	\$3,636.03
03/31/11	04/05/11	G105	CEPHALON INC Trade#:15196 Blot:36	The state of the s	CEPH-079928	75,003	\$76.080	\$5,589.77
06/21/11	06/24/11	SOLD	LINCOLN NATL CORP IND Trade#:01062 Blot:60		LMC-261521	2 - RF (1000	\$27.470	\$2,248.63
06/21/11	11/62/90	anos.	RESEARCH IN MOTION LTD CAD Trade#:98793 Blob:36		RIMM-4025P5	-75.000	\$26.560	\$1,912.25
11/22/10	11/22/20	501.0	BJS WHSL CLUB INC Trade#:47229 Biot:85		BJ-331A12	2 105 0/10	\$50.641	\$5,186.13

Page: 1 of 1 Rows: 1 · 6 of 6

I The Total" is a sum of the values displayed in the "Amount" column.

Inhaday updates for Equity, Fixed Income and Mutual Fund tones, and some cash and security transactions are included on approximately a 20 minute delay. Commission and fees, and may not match and sees, Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund drect business, Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund drect business, Mutual Fund trades are reflected intraday. ² Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

This report is for informational purposes only and may or may not include all notifings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The Firm's periodic accounts and are not superseded, replaced, or amended by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, fir tax purposes or otherwise.

Page 1 of 1 UBS Financial Services Price/Detail REINVEST RIV 162,920.00 Last Street: 04/12 12.970 Quantity 7,00 Activity as of: 05/10/12. Funds Available MFPSKA-54VAYD MFVFNA-544JF9 Symbol / Security# 92,342,28 Activity as of (Intra): N/A FEDERATED KAUFMAN FUND CLASS A LT CAP GAINS REINVESTED AT 4.76 NAV ON 12/05/11 SY=LTGB DAVIS NEW YORK VENTURE FD CL A DIVIDEND REINVESTED AT 32.22 NAV ON 12/21/11 SY=DIV3 Account# in View: AD17085 Debit/Credit Salance: Home Voice 1: 23.00 Risk: Moderate Description -05/11/12 15:07:37 Objective: Curr Income & Cap App Montey Funds / Swap Features: HH, Premier 862.28 Entry Date 01/01/2011-12/31/2011, Revivestment SE04020 - Client Inquiry - Activity for I Honey Mgr. N/A PA: ADRK 263,588,54 12/06/11 REINVESTMENT Activity Status: Active Generated On: 05/11/2012 Iddness: 1 Howard Coble 264,472.10 Total Value Type: RMA 12/06/11 Hittered B Act: 1 Entry Deter

Page: 1 of 1 Rows: 1 - 2 of 2

³ Total Reinvestreent Amount: -93, 123.30

1 The "Total" is a sum of the values displayed in the "Amount" column.

Intraday updates for Equity, Freed Income and Mutual Fund snaw, and some cash and security transactions are included on approximately a 20 minute delay. Commission and feet, put trades are estimates, and may not include commission and feet. Mutual Fund trades are reflected following price confirmation from the fund company or transfer agency basis. Fund direct business, Mutual Fund trades are not included intraday. 2 Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

This report is for informational purposes only and may or may not include all findings or client accounts. All information presented is subject to change at any time and is provided only as of the date indicated. The Firm's periodic accounts and official tax documents are the only official record of client accounts and are not superseded, replaced by any of the information presented in these reports. Clients should not reif on this information in making purchase or sell decisions, for tax purposes or otherwise.

5/11/2012 http://cwv2proxy.cwfh.pvvj.com:8080/CICE/activity?formFlag=Y&totRecCount=6&dateFrom=01/01/2011&dateFo=12/31/2011&playbackInf... ¹ Total Worthless Amount: \$0.00

Page 1 of 1 UBS Financial Service Buying Power 182,920.00 WORTH FSS Price/Detail Last Street: 04/12 Quantity Activity as of: 05/10/12 Punds Available 92,342,28 7 Symbol / Security# Activity as of (Intra): N/A 153432 Account# In View: AD17085 Debtt/Credit Balance: 25.00 Home Voice 1: Risk: Moderate Description FAIRPOINT COMMUNICATIONS INC SY WALL - 05/11/12 15:08:07 Objective: Curt Income & Cap App Money Funds/Sv Features: NH. Premier 662,28 SE04020 - Client Inquiry - Activity for (Filterial by: Entry Date 01/01/2011-12/31/2011, Worthess entry Money Mgr: N/A ACCORD WORTHLESS FA: ADRK Status: Active Generated On: 05/11/2012 Settin Date Address: 3 Howard Cobie 04/14/11 264,472.10 Type: RMA Fotal Value 04/14/11 Act: 1

Page: 1 of 1 Rows; 5 · 1 of 1

I the "Total" is a sum of the values displayed in the "Amount" column.

Intraday updates for Equity, Fixed Income and Mutual Fund trades are included on approximately a 20 minute delay Continussion and fees, and some cash and security trades are included on approximately a 20 minute delay Company or trades may not include commission and fees. Mutual Fund trades are reflected following pace confirmation from the fund company or trades may not included intradey.

Mutual Fund trades some fees are not included intradey. 2 Chsprieyed as an additional transaction, the above bank card activity is debted from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debted from your account on the following business day.

This report is for informational purposes only and may or may not include all holdings or chent accounts. All information presented its subject to change at any time and so fittle and official record of client accounts and are not superseded, replaced by any of the information presented in these reports. Clients should not rely on this information in making purchase or sell decisions, for tax purposes or otherwise.

Page 1 of 1 **UBS Financial Services** \$2.90e*C* Last Street: CA/12 Buying Pow 0.00 89.568 Price/Detail Activity as of: 05/10/12 250,000 Quantity Funds Available 282.41 Activety as of (Intra): N/A Y Symbol / SVNT-410284 Accounts in Views AD17088 Debit/Credit Balance: 0.00 Risk: Moderate Home Voice 1: Objective: Curr Income & Cap App - 05/11/12 15:09:11 Description SAVIENT PHARMACEUTICALS INC Trade#:97344 Biot:38 Money Funds/Sweep Feetures: HH, Premier Money Mgr: N/A 282,41 SE04020 - Client Inquiry - Activity for Filtering by: Entry Date 01/01/2011-12/31/2011, Bought Activity POUGHT FA: ADRK Address: 3 Howard Coble Traditional 1RA 26,061,58 Eguilly Status: Active Generated On: 05/11/2012. 03/29/11 Total Value 26,344.52 Type: IRA 03/24/11 Acct: 1

Page: 1 of 1 Rows: 1 - 1 of 1

Total Bought Amount: -\$2,498.39

* The "Total" is a sum of the values displayed in the "Amount" column.

Intraday updates for Equity, Fixed Income and Mutual Fund trades, and security transactions are included on approximately a 20 minute delay Commission and security transactions are included following price confirmation from the fund compary or transfer agent. Mutual Fund direct business, Matual Fund trades are reflected following price confirmation from the fund compary or transfer agent. Mutual Fund direct business, Matual Fund trades are not included inhaday. ² Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

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Page 1 of 1 12,749.03 UBS Financial Services Last Strnt: 04/12 Buying Pow \$11,441 Price/Detail 000 Activity as of: 05/10/12 Funds Available: Ounmeter 250.00 282.41 Activity as of (Intra): N/A 170 Symbol / Security# Accessit# in View: A017088 Debit/Credit Balance: Risk: Moderate MC 286869 Home Voice 1: 0.00 Objective: Curt Income & Cap App 05/11/12 15:09:19 Description MICRON TECHNOLOGY INC Trade#:97021 Righ:85 Money Funds/Sweep Features: HH, Premier Money Mgr: N/A 282.41 SF04020 - Client Inquiry - Activity for (--- Activity Filtered by: Entry Date 01/01/2011 12/31/2011, South Clos FA: ADRK Address: J Howard Coble Traditional TRA Status: Active Benerated On: 05/11/2012 03/25/11 Total Value 28,344,52 Type: IRA 03/24/11 Acct

Page: 1 of 1 Rows; 1 - 1 of t.

¹ Total Sold Amount: \$2,749.03

I The "Total" is a sum of the values displayed in the "Amount" column.

Intradey updates for Equity, Exed Income and Mutual Fund trades, and some cash and security transactions are included on approximately a 20 minute delay. Commission and set estimates, and may not match that is account. Fixed income agency trades may not include commission and fees. Mutual Fund trades one reflected following price confirmation from the fund company or trainsfer agent. Mutual Fund direct business, Mutual Fund trades come trade corrections and some fees are not included inhaday. 2 Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the fallowing business day.

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Page 1 of 1 UBS Pinancial Services Ansour Last Stint: 04/12 REINVEST DIV REINVEST RIV Price/Detail Buying Power: 90.00 8.429 0.154 Quantity Activity as of: 05/10/12 Ž 544U77 MFFSKA-54VAY0 Funds Available: Symbol / Security# Activity as of (Intra): N/A 282.41 DAVIS NEW YORK VENTURE FD CLA DIVIDEND REINVESTED AT 32.32 NAV ON 12/21/11 SY-DIV3 FEDERATED KAUFHAAN FIMD CLASS A LT CAD GAINS REINVESTED AT 4.76 NAV ON 12/05/11 SY-11'06 Account# in View: AD17088 Risk: Moderate Debit/Cradit Balance: 0.00 Home Voice 1: Objective: Curr Income & Cap App Description 05/11/12 15:09:25 Money Funds/Sweep. Features: HH, Premier Money Mgr: N/A 282.41 Settle 51/01/2011 12/31/2011, Reinvestment. SE04020 - Client Inquiry - Activity for FA: ADKK Address:) Howard Coble Traditional IRA 26,061.58 REINVESTMENT REINVESTMENT ACIMICA Status: Active Generated On: 05/11/2012 Total Value: 34,344,52 Type: IRA 12/22/11 Steward | Acct:

Page: Luf 1 Rows: 1.2 of 2

¹ Total Reinvestment Amount: -\$65.08

1 The "Total" is a sum of the values displayed in the "Amount" column.

Inhaday updates for Equity, Exed Income and Mutual Ford trades, and some cash and security transactions are included on approximately a 20 minute delay Commission and trades are established and trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund trades are reflected following price confirmation from the fund company or transfer agent. Mutual Fund direct business, Mutual Fund trades are not included intradey. 2 Displayed as an additional transaction, the above bank card activity is debited from your account on the 26th of each month, assuming this date is a business day. Otherwise, it is debited from your account on the following business day.

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5/11/2012 http://cwv2proxy.cw/h.pwj.com:8080/CICE/activity?formFlag=Y&totRecCount=1&dateFron=01/01/2011&dateTo=12/31/2011&playbackInf...

UBS financial Services fro 3260 Morthline Avenue Suite 106 Greensboro NC 27408-7600

G7220003127911211 X24 AD 0

Summary of your UBS Portfolio

HOWARD COBLE

Your Financial Advisor: ROBERT C KETNER

Phone: 336-854 7000/800-821-0355

Visit our website: www.ubs.com/irsarcialservices

 Hyou use UBS Online Services, consider changing your User Name and Password regularly to protect your personal data.

The amplied Go to use conforting the conforting of tems for your attention

Value of your portfolio

\$266,011.81	\$268,176.52	Value of your portfolio
0.00	0.00	Your liabilities 0.00
266,011.81	268,176.52	Your assets 266,011.81
on December 30 (\$)	on Noveraber 50 (\$)	on Noveraber 30 (\$) on December 30 (\$)

Tracking the value of your portfolio

- (!	Dec 2011
\$ Thousands 9,7	266.2	THE PARTY OF THE P		
	and a management of the same of			
	368.2			Nov 2011
			,	
\$ Thrsusands				
\$ 11mg	the state of the s		1	Der 2010

\$150.00

Withdrawale Your investment return:

Net deposits and

\$319,720.41

Value of your portfolio at year end 2010

Sources of your portfolio growth during 2011

\$4,307.86 -857 866.46

interest income

Dividend and

\$266,011.81

Value of your portfolio on Dec 30, 2011

market value Change in

Member StPC

From: NBS

To: 2022264363



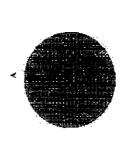
Your portfolio balance sheet December 2011

Summary of your assets

		Secember 30 (\$)	your pordolic
. ∢	Cash and money balances	2,119.07	0.80%
80	Cash alternatives	00.0	0.00%
Ų	Equibes	263,892,74	99.20%
۵	Fixed income	00.0	%00.0
ш	Alternative strategies	00.0	%00.0
L	Broad commodities	00.0	0.00%
ש	Real estate	0.00	0.00%
I	Other	00.0	0.00%
É	Total assets	5266,011.81	100.00%

UBS Bank USA deposit portfolio balances are included in the Cash and money balances value, are insured by the ESK within applicable limits, but are not protected by SIPC. See this important hittorrandon about your kinternent on the last two pages of this statement for details about three balances.

Your current asset allocation



Eye on the markets

\$266,011.81

Value of your portfolio

Percentage change

Executor 2011 Yes 1.02% 0.82% e. Australia & Far East -0.94% -1 tal Aggregate Bond Index 10+ Vrs. 3.41% 2	December 2011		The second state of the second	
1.02% e, Australia & Far East tal Aggregate Bond Index 10+ Yrs. 3.41%	1.02% 0.82% e. Australia & Far East -0.94% -1 tal Aggregate Bond Index 10+ Vrs. 3.41%	hdex	December 2011	Year to date
0.82% -1 0.94% -1 dex 10+ Yrs. 3.41% 2	0.82% e, Australia & Far East -0.94% -1 tal Aggregate Bond Index 10+ Vrs. 3.41% 2	5&P 500	1.02%	2.11%
-0.94% dex 10+ Yrs. 3.41%	-0.94% dex 10+ Yrs. 3.41%	Russell 3000	0.82%	1.03%
Bardays Capital Aggregate Bond Index 10+ Yrs. 3.41% 21.78%	Bardays Capital Aggregate Bond Index 10+ Yrs. 3.41% 21.78%	MSCI - Europe, Australia & Far East	0.94%	-11.73%
		Bardays Capital Aggregate Bond Index 10+ Yrs.	3.41%	21.78%

Interest rates on December 30, 2011

3-month Treasury bills: 0.02%

One-month LIBOR: 0.30%

SBD: WOJJ

Your Finandal Advisor: ROBERT C KETNER Telephone 336-854-7000/800-821-0355

Summary of your UBS portfolio December 2011

Accounts in your portfolio

Details on page	Account number	Account name and type	Value on November 30 (\$)	Value on December 30 (5)	Percentage of pertidio
5	31	5 JHOWARD COBLE - RIMA 241,688.24 241,361.20 90.96%	241,688.24	241,361,20	96.06%
17		17 J.HOWJARD COBLE - IRA 26,438,28 24,350.61 9.04%	26,438.28	24,350.61	9.04%
	Total		\$268,176.52	\$266,011.81	100 %

Change in the value of your accounts

Account	Opening value on December 1 (\$)	Deposits, and uding securities transferred in (\$)	Withorawals and fees, including securities transferrer! (\$)	Ţ.	Change in value of outside assets and accusals (\$)	n aghed) market vilve (\$)	Cosing value on December 30 (\$)
	241,688.24	2,045.35	-150.00	1,426.78	00.00	-3,049,17	241,961.20
J HOWARD COBLE - IRA	26,488.28	0.00	-2,045.35	103.98	000	-496.30	24,050.61
Total \$268,176.52	\$268,176.52	\$2,045.35	-\$2,195,35	\$1,530.76	\$0.00	-\$3,545.47	\$266,011.81

December 2011

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the desidence of the account holder, account type, or product type, some interest and/or admining apprention, they pay be abledge to United States (US) and/or Busto. Ricc (PR) income taxes. The chemicantly, statement is set intended by be used and cumof be relied upon for tax purposes. Clients should refer to the appareable has reporting forms they receive from USS anniarity, such

Dividend and interest income earned by account

as the Forms 1099 and the Forms 480. for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US finernal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or inferest as tax evenipt or taxable mornie. Please consult your individual tax prepares.

			Year to date				
Taxable accounts	Taxable diudends (\$)	Tax-exempt cividends (\$)	Taxable Interest (5)	Tar-exempt interest (\$)	scellane	vor (\$) Total (\$)	Estimated Armual Income(\$)
J HOWARD COBLE - RMA 3,494	3,494,13	0.00	00:00	0.00	273.60	3,767.73	3,214
			Year to date				
Tax-deferred accounts		Dividends (\$)			Interest (\$) Miscelaneous (\$)	Total	Estimated Annual Income(5)
HOWARD COBLE - IRA		424.93	de manda et l'Argue desservant les es estantants et l'argue de l'a	00.00	0.00 115.20	540 13	411

Summary of gains and losses by account

Values reported below exclude products for which gains and losses are not classified and products with a trade date of the prior year.

Taxable accounts Short term (\$) Vear to date Short term (\$) Long term (\$) Short term (\$) Long term (\$) Long term (\$) Long term (\$) Short term (\$) Long term (\$) Lo	Realized gains and losses					Unrealized gains and losses	and losses
Short term (\$) tong term (\$) long term (\$) l		i	111	Year to da	- 1		
0.00 0.00 172.91 -3,170.66 -9,207.18 0.00 0.00 -0.62 295.10 -1,953.36		Short term (\$)	tong term (5)	Short term (\$)	Long term (\$)	Short term (\$)	Loving term: (\$)
0.00 0.00 -0.62 295.10 -1,953.3h	J HOWARD COBLE - RMA	0.00	00.0	172.91	-3,170.66	-9,207 18	-5,574 54
0.00 0.00 -0.62 295.10 -1,953.3h	Tax-deferred accounts						
	J HOWARD COBLE - IRA	0.00	0.00	-0.62	295.10	-1,953.36	-14,926.87

Page 4 of 26

From: UBS

U8S Prancial Services Inc. 3200 Northline Avenue Suite 100 Greensboro NC 27408-7600

Resource Management Account December 2011

CP22000/412795 1211 X24 AD 3

Your Financial Advisor:

Phone: 336-854-7000/800-821-0355 ROPERT C KETNER

Account name: J HOWARD COBLE

Account number: (

RMA Resourcetine at 800-RMA-1000, Questions about your statement? Call your Financial Advisor or the account

Visit our website:

www ups com/inancialcenices

Your investment objectives:

objectives, disagrae with them, or wish to Financial Advisor or Branch Manager, You investment objectives for this account. If statement at the end of this document atternative investment objectives in You have identified the following change them, please contact your Important information about your can find a full description of the you have questions about those

Your return objective:

Current monne & capital appreciation

Secondary - None selected Your risk profile:

Value of your account

\$241,961.20	\$241,688.24	Value of your account
000	0.00	Your labilities
	and the second	· and the second
241,961.20	241,688.24	Your assets
	**************************************	the control of the co
(5) oc liquid to (5)		

Change in the value of your account

	December 2011 (\$)	Year to date (\$)
Opening account value	\$241,688,24	\$283,485.82
Deposits, including investments transferred in	2,045.35	2,045.35
Withdrawals and fees, including investments transferred out	-150.00	-150.00
Dividend and interest income	1,426.78	3,767.73
Change in market value	-3,049.17	-47,187.70
Closing account value	\$241,961.20	\$241,961,20

Member SIPC

Pros: USS

Account name: Account number:

Cash activity summary

See the section. Account activity this month for denatis 1985 Bank USA beposit account balances are included in the opening and closing belaxes value, are insured by the BML within applicable limits, but are not proveded by NPC. See important information about your statement at the exist of this document for details about those balances.

	December 2011 (\$)	Year to date (\$)
Opening balances	\$1,205.26	\$1,996.74
Additions		
Dividend and interest income	1,426.78	3,767.73
Proceeds from investment transactions	00'0	23,480.90
Total additions	\$1,426.78	\$27,248.63
Subtractions		
Annual fee	-150.00	-150.00
Funds withdrawn for investments		
bought	-1,121.30	-27,734.63
Total subtractions	-\$1,271.30	-\$27,884.63
Net cash flow	\$155.48	-\$636.00
Closing balances	\$1,360.74	\$1,360.74

HOWARC COSLE

For purposes of this statement, revability of interest and dividend nuttine has been determined from a US tax reporting perspective. Based upon the regidence of the account broden account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or determined to produce the subject to United States (US) and/or determined the product of the product of the product should refer to the applicable as reporting forms they receive from US annually, such as the forms 480, for tax reporting information, it is the practice of UBS to file the applicable tax reporting forms with the US internal Revenue Service and FR Treatury Department, and in such form accurately classify dividents and reference is as according to taxable insortice. Peate consult your included tax prepares.

Dividend and interest income earned

\$3,767.73	\$1,426.78	Total dividend & interest
\$3,767.73	\$1,426.78	Total current year
2/3 60	0.00	Private investment. distributions
3,494.13	1,426.78	Taxable dividends
Year to date (\$)	December 2011 (5)	

Summary of gains and losses

Values reported helow exclude products for which gains and losses are not cassified

	Realized guins and losses	nd losses	Unrealized
	December 2011 (\$)	Year to date (\$)	gains and losses (\$)
Short term	0.00 172.91 -9,207.18	172.91	-9,207.18
Long term	00.0	0.30 -3,170.66	-5,574.54
Total	\$0.00	-\$2,997.75	

Resource Management Account

December 2011

UBS Bank USA Deposit Account APY

Opening UBS Bank USA Deposit balance Nov 7 Closing UBS Bank USA Deposit balance Dec 6 Number of days in interest period Annual percentage yield earned Interest period Nov 7 - Der 6 Average daily balance interest carned

\$0.00

\$1,139.92

0.00%

\$1,039.93 \$1,379.96

My Choice Rewards Points from UBS

Point balances on your current UBS cand wit automatikally transfer to your new, activated UBS Visa Sgenature credit card, and will reflect as "Points adjusted" for the remainder of 2011, if you do not have an activated UBS Visa Signature credit card, your rewards points wit expire on January 31, 2012. Rewards points are reflected as of the 26th of the month or the prior business say if the 26th falls on a weekenstholiday. Remarks point activity details can be found on www lats comforhinesenoces

	December 2011
Onening halance	Opening balance

UBS Your notes

Resource Management Account December 2011

J HOWARD CORLE

Your assets

Sone prixes, income and current values, shawn may be argens, and its areauli, usins and losses may not be accurately reflected. See important inframation about your statement at the end of this document for more information.

Cash

Cash and money balances

UBS Beak USA demost acrount balances are included in the Cash and money balances value, are insured by the FDIC within applicable limits, but are not protected by SPIC. See the important information about your statement at the end of this discuent for details arout those balances.

	Opening balance	Cheing balance	Price per chare	Average	Devisionalistensi	Obys in
Houding on Dec 1 (f) on Dec 36 (s) rate perior period	on Dec ! (f)	Ch Dec 30 (\$)	on Der, 30 (5)	raie	petion	period
URS BANK USA DEP ACCT	1,205.26	1,360.74				

Equities

Common stock

Bolding	Track	Number Of shares	Furchase price Average price per share (f)	Cost basis (5)	Price per shem or thec 30 (\$)	Vahre un Oec 30 t 3	Davableed Dain or loss (\$)	Holding
ALCOAINC			,			The second secon		
Symbol: AA Exchange, NYSE		,	;				1	
EAL \$58 Current yield: 1.38%	May 19, 09	485.000	10.127	4,911.76	8.650	4,195.25	.7.16.51	5
AMER EXPRESS CO								
Symbol: AXP_Exchange: AYSE								
EAU \$90 Current yield; 1 53%	15124, 91	125.000	34.057	4,257.13	47,170	5,896.25	1,639 (2	ב
AMERICAN INTL GROUP INC COM								
NEV.								
Symbol, AKG Exchange: NYSE	Mar 26, 08	5.000	965.172	4,825.86	23,206	116 00	-4,709 86	5
APPLIED MATERIALS INC								
Symbol: AMAT Exchange: OTC								
FAI: \$64 Current yield: 2.99%	Nov 27, 01	200.000	22.058	4,411.63	10,710	2,142.00	-2,269 63	<u>ا</u> دا
AT&T INC								
Symbol: T. Exchange: NYSE								
EAL \$317 Current yield: 5.82%	Aug 13, 10	180.000	27.557	4,960.43	30.240	5,443.20	482.77	ا ا
BANK OF AMER CORP								
Symbol: BAC Exchange NYSE								
EAi: \$19 Current yield; 0,71%	Nov 15, 10	480 000	12.490	5,995.38	5.560	2,668.80	-3,326,58	Ë
							continued next page	ext page

Page 9 of 26



Account name: Account number:

HOWARD COBLE

Holding	Travie Use	Number of shares	Purchase pricel Average raice per share (%)	Cost basis (6)	Price per ahare on Der, 30 (\$)	Value (y.) De 130 (\$)	Usalisarki) Usalisarki (\$) sad to riug	Hoding period
BAXTER INTLINC Symbol: EAX Exchange: NYSE.					The second property of second party and second party of the second			:
80STON SCIENTIFY CORP	May 18, 10	110,000	44 785	4,926.44	49.480	5,042.80	7) #3£	
Symbol, 85X Exchange, NYSk	Dec : 4, 09	380.000	5.177	3,487.42	5 340	2,029.20	-1,458 22	<u>:-</u>
CISCO SYSTEMS INC Symbol: CSCO Exchange: OTC	Transfer of the contract of th		Andrews and the second of the	4 4 4 5 4 4			TO THE PART OF THE PROPERTY AND A STREET OF THE PARTY.	
5Al. \$53 Current yield: 1.33%	Jul 7, 10	220,000	22 691	4,992.20	18,080	3,477,60	1.014 60	<u>-</u>
CITIGROUP INC				and the state of t			1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	:
Symbol: C. Exchange, NYSE For 62 Current violations	27 27 20	000 602	30.630	70 F00 A	016 96	20 20 20 20 20 20 20 20 20 20 20 20 20 2	+ 6.6	4-
	0' St volv	20.600	45 549	2 277 45	0.5.35	1 217 50	18 138 ·	5 <u>†</u>
Senanty traini		173 000	41 475	7.175 37	,	455163	260374	ī
CORNING INC						**************************************	A PARTY OF PERSONS AND ADDRESS AND A	
Symbol: GLW Exchange NYSF								
EAL: \$74 Current yield 2 33%	Nev 2, 06	245 000	20.822	5,101,61	12,980	3,180,10	11,921.51	1
DONNELLEY (R.R.) & SONS CO								:
Symbol and Excitative Cor. Edit 4489 Current yield: 7 21%	Dec 14, 09	225 000	22.500	5,062.50	14,430	3,24675	-1,815.75	-
	Mar 31, 11	245,000	19,529	4,784,62	14 430	3,535,35	1,249.27	ħ
Security total		470.000	20.951	9,847 12		0: 787.9	-3,065,02	
EMC CORP MASS						A STATE OF THE PARTY OF THE PAR	The second secon	
Symbol: EMC Exchange: NYSE	Nov 2, 06	175.000	12.607	2,206.25	21.540	3, 769, 50	1,563 24	브
FLEXTRONICS INT. LTD SGD	The second secon				The second secon	to come an employed all man day or patrick to livery come.		i
Symbol, PLEX Exchange: OTC,	Dec 14, 09	550.000	7.337	4,035.48	5 660	3,113,00	-522 48	15 j
FOREST LABORATORIES								; ;
Symbol: FRX: Exchange: NYSE	Oct 22, 58	215.000	23.155	4,978,51	30,250	6,505.90	1,527.39	5
FRONTER COMMUNICATIONS CORP								
Symbol: FTR Exchange: OTC								
EAI: \$24 Current yield: 14.56%	Feb 19, 08	32.000	9.408	301.08	5, :50	164.80	136.28	<u></u>
GENL ELECTRIC CO								
EAI' \$207 Current yeld: 3.79%	Sep 8, 10	305 000	16.191	4,938 50	17,910	5,462.55	524,05	-
							rontinued next page	ехт радя

Your assets , Equities , Common stock (connected)

Resource Management Account December 2011

Account name: Account number:

J HOWARD COBIE

Your Financial Advisor: ROBERT C KETNER 33G-854-7000/800-871-0355

ອີການຄຸນ [Trade date	Abumber Of Shares	Purchase price/ Average price per share (5)	Cost basis (\$)	Price per share on Dec 30 (\$)	Vetue on Dec 30 (\$)	Cinnestred gain or los. (\$)	Pendo
GILEAD SCIENCES INC. Symbol: GILD Exchange: OTC	Dec 16, 10	150.000	38.818	5,822.73	40.930	6,139.50	31677	5
GOODYEAR THE & RUBBER CO	the special communication and the special results and the special results are special results.	000 000	and the second s					
Symbol: OI Exchange, NYDE	IMBY .C, 10	386,000	19.24	5,031.60	14 J.M.	3,384 60	00.550	7
INTEL CORP Symbol: INTC Exchange, OTC								
EA!: \$210 Current yield: 3.46%	Apr 27, 06	250 000	20.716	5,179.20	24 250	6,062.50	36.530	-
KEYCORP NEW				•				
Symbol, KEY, Exchange, NYSE								
EAI: \$98 Current yield. 1.55%	Dec 14, 09	820.000	6.316	5,179.84	7.690	6,305.90	1125.86	[]
KNIGHT CAPITAL GROUP INC CL A								
Symbol, KCG, Exchange, NYSE	10,24,61	200 000	10,135	5,067.89	11.820	5,910.00	11 202	1
LINCOLN NATL CORP IND								
Symbol: UNC Exchange: NYSE								
EAI: \$58 Current yield: 1.66%	May 19, 09	180,000	18.617	3,351.19	19.420	3 495 60	144.41	5
MORGAN STANLEY								
Symbol: MS_Exchange_NYSE								
EAI: \$71 Current yield. 1,32%	May 18, 10	145.000	77.557	3,995.81	15.130	2,193.85	1,80,96	5
	Jul 22, 11	210,000	24-635	5,173.40	15.130	3,177.30	01.996,15	57
Security total		355.000	25.829	9,169.21		5,371.15	3,733.06	1
PFIZER INC.								
Symbol: PPE_Exchange: NYSF								
EAL \$352 Current yield: 4.07%	Aug 13, 10	305.000	16.674	5,085.58	21 640	6.600 20	1,514.62	H
		95 600	This information was unavailable	unavailable	21.640	2,055,80		
Security total		400.000	16 674	5,085.58		8,656,00	1,514.62	į
PROTECTIVE LIFE CORP								
Symbol: PL Exchange: NYSE								
EAt: \$173 Current yield: 2.84%	Jan 8, 10	270 000	18 522	5,001.10	22.560	6,091.20	1,090.10	1.1
SAVIENT PHARMACEUTICALS INC								
Symbol: SYMT Exchange: OTC.	Apr 18, 01	325.000	7.784	2,530.02	7.230	724.75	-1,805.27	=
	Mar 24, 11	260.000	10 019	2,605.08	2 230	579 80	-2,025.28	Ϋ́
	Mar 31, 11	355,000	10 953	3,888.65	2.230	791 65	-3,097.00	ts
Security total		940.000	9 600	9,023.75		2,096.20	6,927.55	
							continued next page	eart bage

FDCLA

Account name: Account number:

HOWARD COBLE

Your assets . Equities . Common stock (continued)

Holding) rade Uste	Number of shares	Purchase price Average price per share (\$)	(S) sase (\$)	Æ.	r shure 20 (S)	Value on Dec 30 (\$)	Unrealized	podavi podavi
SCHWAB CHARLES CORP WEW Symbol: SCHW Exchange, NYSE			of and additional or was a second of the sec	Spirit 'sylvithisman and a delated and a del	A CALL THE REAL PROPERTY OF THE PROPERTY OF TH	7 41 15 15 15 15 15 15 15 15 15 15 15 15 15	: : : : : : :	**************************************	
EAL: 565 Current yield. 2.14%	Nov 77, G1	270,000	15.893	4.291.14		11,260	3,040,40	7,250 94	Ţ.,
SYMANTEC CORP Symbol: SYMC Exchange: GTC	Mai 24, 11	280.000	18 389	5.149.06		15,650	4,382.00	.767.06	j h
TEXTRON INC									
Symbor: TXT Exchange: NYSE EAP \$20 Current yield: 0.42%	Sep 8, 10	255 000	19.238	4,905.91		18.490	4,714 95	-190 96	5
Total				\$153,610.38	0.38		\$133,090,36	-\$22,575.80	
Total estimated annual Income: \$2,596 Mutual funds	\$2,596								
total remersed is the total of all reinvested disidends. It does not include any cast disidends, it is not a lax tot for the purposes of desembning historia periods or cost basis. The shares you neceive each time you reinvest dividends become a separate cas los	er diendends, It dues not intiudi ig periods or cost basis. The sha los	include any cash dividends. It is not, he shares you receive each time you	. It is not a lax time you	Unrewized (b) generally be) may need to	Unreinized (fax) gain or fass as the difference between the cament value, and the cost bass and would generally be your taxable gain or fass if the security was sold on this date. The unrealized (lax) gain or has increased to be adjusted for return of capital payments in sider to determine the malized jain or basi for tax	ifference between is if the security wa of capital payments	the correct value and its sold on this date. This is selected to determine	the fost bars and work unsediced (lax) gain is the mained gain or k	Jd carioss Sarforta
Cost base is the total purchase cost of the accurity, including reinnesteri ubidents. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for rax reporting purposes.	e security, including reinvesterium ints in order to determine the ac	ubitionds. The cost is iglished cost basis for	tax reporting	reporting purposes investment return i reflected on your st not include cash on	reporting purposes. Investment return is the current value minus the amount von invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.	ue nieus, the amou ng shares that hawk e not remæested	nt yan impsked. 4 dan t been radized as eith	is not include shares th et a gain or u loss. It a	ar are no So does
Disposit.	ranber of shares	Furthase price Average price s per share (s)	Client investment (\$)	Cost basis (\$)	Price per chare on Ovc 30 (5)	Value on Dec 30 (\$)	Unrealized (jax) bain or loss (\$)	mesmen Holding Johnson (3) must	Holding

555555

1,681.46 1,836.35 7,228.95

5,283.00

7.312.50 6,875,00

5,631.041 4,988.651

5,019.501

32,207 50

32.500 32.500 32.500 32.500 32.500 32.500

1,357.601

1,357.60 1,568.66 502.71

24,978.551

4,988 65

25 026 23 755 25.205 33.940

Trade date: Mar 23, 98

Trade date: Oct 31, 97 Frade date: Oct 10, 97 Trade date: Feb 9, 96 Symbol NYVIX

Trade date: Dec 14, 96 Trade date: Nov 27, 07 Frade date: Dec 14, 05

24,978.55

5,631.04 5,019 50

502.71

38.260

225,000 210,000 991,000 40,000 41,000 13,000 43,000 265,056

7,711.63

--This information was unavailable---

10,302 50

-57.60 -236.16 -80.21

1,300,60 1,332,50 422,50 1,397,50 8,614,32

902.69

12 of 26

Page

EAI: \$420 Current yield: 0.60%

Total reinvested

Resource Management Account

Account name: Account number:

HOWARD COBLE

Your Financial Advisor: ROBERT C KETNER 336-854-7000/800-821-0355

December 2011

Your assets · Equities · Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Universitied (tax) gain or leus (\$)	ž a
Security total	2,:45.0%	24.673	44,046 71	51,758 34	The same state of the same same same same same same same sam	69,714,32	16,558.48	
HEDERATED KAUFMAN					* ** ** ** ** ** ** ** ** ** ** ** ** *			The same of the sa
FUND CLASS A								
Symbol: HAUAX								
Trade date: Jan 14, 04	5,148.000	5.381	27,701 59	27,701.591	4.650	23,938,19	-3.763,40	17
Trade date: Nov 27, 07	163.000	5.300	961.70	961.701	4 650	757.95	-203.75	13
Total remested	2,280 301	5.567		12,694.92	4.650	10,603,40	-2,091 52	
Security total	7,591 301	5.448	28,663.29	41,358.21		35,299.54	4,058 67	6,636.25
Total			\$72,710.00	\$93,116.55		\$105,013.86	\$10,499.81	\$6,636.25
Total estimated annual income: \$420								

Indicates cost basis information provided by you or another third party. URS FS has not verified the information and does not guarantee its accusary

Other equity investments

Cost basis and gains and boses have not been amosted antomatically for return of capital payments. Restricted security values are estimated for informational principes. See Important information about your statement at the end of the document for additional information.

			YOUTHANK DOCK					
	Tracte	Number	Average price	#80V	Price per share	Value on	Chreating)	Holding
Holding	date	of shares	per share (3)	basis (\$)	on Dec 30 (\$)	35 X X	(\$) ssol to theta	Derting
ALLIANCE BERNSTEIN HLDG 'P MLP								
Symbol: AB Exchange: NYSE								
Eat. \$198 Current yield: 7.97%	Dec. 8, 09	190.000	27.199	5,167 951	13.080	2,485.20	-2,682.75	17

* indicates cost basis information provided by you an another thind party. UBS TS has not verified this information and does not guarantee its accuracy.

Warrants								
Holding	irade	Number of warrants	Purhase price per warrant (\$)	Cest bass (5)	Price per warrant on Dec 30 (5)	Value del Dec 30 (\$)	Darlesian (3) and so rise	Holding
WARRANTS AMERICAN INT.	WASBANIS AMERICAN INT.							
Expres: Jan 19, 21	Jan 26, 11	2.000	17,000	24.00	5.510	11,02	-22 98	ત્ર



Account name: Account number:

HOWARD COBLE

			Percentage of	C04	Estiment	Unrealized
		Value on Dec 30 (3)	your account.	(4) seeq	STREET, STREET, ST	्रकात का कार्क
Cash	Cash and money balances	1,360,74	0.56%	1,360.74		
	* Cammon stock	33,090,38	A CONTRACTOR OF THE PARTY OF TH	153,610.38	2.596.00	-22,575 80
	, spur	105,013 86		93,116.55	420 (40	10,499.81
	Other equity is vestments	2,485.20		5,167.95	198 00	-2,682.75
	Warrants	11.02		34 00		-22.98
	Total equities	240,600.46	99.44%	251,928.88	3,214,00	14,781.72
Total		\$241,961.20	100.00%	\$253,289.62	\$3,214.00	-\$14,781.72

Account activity this month

* Miscing cost basis information

	Date	Activity		
Dividend and interest income				
Taxable dividends	Dec 1	Dividend	Dividend INTEL CORP PAID ON 250	52.50
	540	Dividend	DONNELLEY (R.R.) & SONS CO PAID CN 470	122.26
	2ec 6	Lt Cap Gain	FEDERATED KAUFMAN FUND CLASS A LONG TERM CAPITAL SAIN	703-41
	Dec 6	Dividend	1	
	Dec 14	Dividend	APPLIED MATERIALS INC PAID ON 200	
	Dec 15	Dividend	KEYCORP NEW PAID ON 820	i]
	Dec 15	Dividend		i
	Dec 22	Divideral	YORK VENTURE FD CL A	
	Dec 23	Dividend	A VIETNAME IN THE STREET, STRE	ĺ
	Dec 29	Dividend		6.00
	Total ta	Total taxable dividends		\$1,426.78
	Total di	Total dividend and Interest income	erest income	\$1.426.78

Card items for your Attention:
The UBS credit card gives you access to the Visa Signature Luxury Hotel Collection. Enjoy exclusive benefits at a selection of the world's most intriguing and prestigious properties and receive an unparalleled experience with unique benefits like feet in-room internet access. Get details at www ubs comprises gnaturehoteis.

Your assets (continued)

Your total assets

UBS W

Resource Management Account December 2011

Account name: Account number:

me: JHOWA

I HOWARD COBLE

Your Financial Advisor: ROBERT C KETNER 336-854-7000/800-827-0355

Outstanding balance **20 C**S Available Credit Line \$5,000.00 \$5,000.00 Credit line Minimum Payment Due Payment Due Date 30.00 UBS Visa Signature credit card(s) payment information

We provincitify information on your USS Visa Signature credit cards solely as a countery to you. The USS Visa Signature credit and and arrownt are issued by UBS Bank USA, UBS Bank USA servis you the official statement for this account and you should make your payment accounts to their instruction.

We do not include your bustanuing credit card bakings in the value of your account with UBS financial Services Inc.

Date Atmy	Description	Date Activity Description Dec 6 fee france Avivital FFF Charge
Total annual fees	200 TO THE TOTAL THE TANK THE	Total annual fees

Investment transactions

For make information about the price/solve shown for restricted securities, see. Important information about

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Date	Activity	Description		Quartity	(\$) eyeA	Phote (\$)	Proceeds from anyestment (\$)	funds for mathorass for investments brught (\$)	Accrued interest (\$)
Oec 6	Reinvestment	FEDERATED KAUPMAN FUND CLASS A LT CAP GAINS REINVESTED AT A 76 NAV ON 12/05/11	A LT CAP 1 12/05/11	147.775		1		-703.41	
Dec 22	Reinvestment	DAVIS NEW YORK VENTURE FOICL A DIVIDEND REINVESTED AT 32,22 NAV ON 12/21/11	DIVIDEND // 1	12 970	and a strain of the case of th			417.89	And the state of t
Dec 28	'-	PRZER INC FM AD 17088 7100		95.000	2,045.35			of the same of the same same same same same same same sam	and the case of the case of the case of
Total					many data y registration and production of the control of the cont			-51,121,30	
:		\$50g	Activity	Description				(\$) Tenokijy	
Money	Money balance activities	Nov 30	Balance forward					\$1,205.26	
		Oer 2	Deposit	UBS BANK	UBS BANK USA DEPOSIT ACCOUNT	OKUNT		174.70	
		[bec 7	Withdrawai	UBS BANK	UBS BANK USA DEPOSIT ACCOUNT	DUNT		00.69-	
		Dec 15	Deposit	UBS BANK	UBS BANK USA DEPOSIT ACCOUNT	DUNT		16.00	
		Dec 16	Deposit	UBS BANK	UBS BANK USA DEPOSIT ACCOUNT	DUNT		24.60	
		Dec 19	Deposit	UBS BANK	UBS BANK USA DEPOSIT ACCOUNT	OUNT		36.38	
		Dec 27	Deposit	UBS BANK	UBS BANK USA DEPOSIT ACCOUNT	OUNT		4.80	
		Dec 30	Deposit	UBS BANK	UBS BANK USA DEPOSIT ACCOUNT	DUNT		6.00	
		Dec 30	Closing UBS Bank USA Deposit Account	A Deposit Ac	count			\$1,360.74	

The UBS Bank USA Deposit Account is your primary sweep option.

UBS Francial Services Inc. 3200 Northine Avenue Suite 100 Greensboro NC 27408-7500

CP2205.6312807 1211 X24 AD 0

Retirement Account

Resource Management Account

December 2011

Your financial Advisor:

Phone: 336-854-7006/800-821-0355 ROBERT C KFTNER

Questions about your statement?

Account type: Individual Retirement Account

Account number: (

TRADITIONAL IRA

Account name: J HOWARD COBLE

RMA ResourceLine at 800-RMA-1600. Call your Financial Advisor or the account 011017088

Visit our website:

www.ubs.com/mandalservices

Your investment objectives:

objectives, disagree with their, or wish to Fruancial Advisor or Branch Manager, You investment objectives for this account, if statement at the end of this donument. alternative investment objectives in change them, please contact your Important information about your You have identified the following can find a full description of the you have questions about these

Your return objective:

Current income & capital appreciation Your risk profile:

Secondary - None selected Primary - Moderate

Value of your account

\$24,050.61	\$26,488.28	Value of your account
00'0	00:0	Your liabilities
resemble and the description of	A AND A COMMENT OF THE PARTY OF	**************************************
24,050.61	26,488.28	Your assets
		the members of suitables and a property of the state of t
	OF MOVEMBER 30 (1)	

Change in the value of your account

)		
:	December 2011 (\$)	Year to date (\$)
Opening account value	\$26,488,28	\$36,234.59
Withdrawals and fees, including investments transferred out	-2,045,35	-2,045.35
Dividend and interest income	103.98	
Change in market value	496.30	10,6
Closing account value	\$24,050.61	\$24,050.61

Your account instructions

- UBS Financial Services Inc. is your custodian.
- Your account is approved for normal distributions.

Member SIPC

Account name: Account number:

Cash activity summary

See the section: Account activity this month for decads (185 Bank 1,54 depost account bathrass are included in the opening and choing ballanes value, are insured by \$4 EDC, within applicable limits, but are not prosected by \$40C. See important information about your staken and the end of this document for decads about those balances.

	December 2011 (5)	Year to date (\$)
Opening balances	\$699.43	\$1.03
Additions		
Dividend and interest income	103.98	540.13
Proceeds from investment transactions	00.0	2,758.64
Total additions	\$103.98	\$3,298.77
Subtractions		
Funds withdrawn for investments		
bought	-45.08	-2,541.47
Total subtractions	-\$45.08	-\$2,541.47
Net cash flow	\$58.90	\$757.30
Closing balances	\$758.33	\$758.33

HOWARD COBLE

Dividend and interest income earned

for purposes of dis statement, taxability of interest and disident income has been deserutived from a US tax reporting perspective. Based upon the residence of the eccount holder, account type, or product type, some enterest and/or disidend payments may not be subject to Uriest States (US) and/or Destructives (IS) and/or Destructives of the control of the control

\$540.13	\$103.98	Total dividend & interest
\$540.13	\$103.98	Total current year
115.20	0.00	Private investment distributions
424.93	103.98	Dwidends
Year to date (\$)	December 2011 (\$)	

Summary of gains and losses

Yalues reported below exclude products for which gains and losses are not clausified.

-\$16,880.23	\$294.48	\$0.00	Total
-14,926.87	295.10 -14,926.87	0,00	Long term
-1,953.36	1	0.00	Short term
to date (\$) gains and losses (\$)	=	December 2011 (\$) Yes	
Unrealized	d losses	Realized gains and losses	•

Resource Management Account December 2011

Account name:
Account type:
Account number:

J HOWARD COBLE Individual Retirement Account

Your Financial Advisor: ROBERT C KETNER 33G-854-7000/800-821-/355

UBS Bank USA Deposit Account APY

Interest period Nov 7 - Dec 6

_	
Closing UBS Bank USA Deposit balance Dec 6	\$724.13
Number of days in interest period 30	30
Average daily balance \$681.77	\$681.77
interest earned	\$0.00
Annual percentage yield earned	%00.0

Beneficiaries you've named for this account

The beneficiaries listed below reflect the information in our incords as of the end of this statement period. If this information is rist current or you wish to change your beneficiaries, please contact your Financial Advisor. Beneficiary changes are not effective unless you give them to us in writing and we accept them. If you live in a coromunity property state, your beneficiary designation may be subject to community property laws.

Percentage Beneficiary Controgent beneficiaries	Primary Beneficiaries Estate (100.00%)
Percentage Beneficiary	100.00% Ray Holt Coble

Summary of your contributions

Contribution type shown in this section reflects information you powided to us, and we are not responsible for its accuracy. Note that employer and employee contributions are reported to the IRS for the tax year which they are made.

Tax year 2010 (\$)	The state of the s	36,230.97
(as year 2011 (\$)		0.00
Current period (%) Tax year 2011 (\$) Tax year 2010 (\$)		0.00
Constribution type	- The state of the	Transfers

Retirement services information

2011 Year-End valuation statement - Form 5498

Retain this statement as your year-end valuation. If your Asset portfolio includes insurance products, unpriced Private investments or if you own Outside assets that do not appear on this statement, we will automatically provide you with an updated year-end valuation in January 2012. This 2011 year-end value of your fRA (or the updated value, if applicable) will be furnished to the internal Revenue Service.

December 30 value

SAU : eo 17

\$24,050.61

Tax year 2010 (\$)	 1,724,37
Tex year 2011 (\$)	 2,045.35
Current period (5) Tax year 2011 (5) Tax year 2010 (5)	 2,045.35
Distribution type	 Normal distributions

HOWARD COBLE hidividual Retirement Account

Your assets

Some prices, income and current values shown may be arputusimate. As a result, gains and losses may not be accurately reflected. See impartant information about your stutement, at the ond of this document for more information.

Cash

Cash and money balances

1985 Bank 1954 deposit account balances are included in the Cosh and money balances value, are insured by the FORC within upplicable limits, but are not protected by SPC. See the Important information about your statement at the and of this obsurvent for details about those belances.

185 BANK USA DEP ACCT	
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Equities

Common stock

Hebring from Harden Greet of Sheries Average price (1986)									
Adva 26, 08 3 000 926.313 2,778.94 23.200 69.60		82 17 17 17 17 17 17 17 17 17 17 17 17 17	Nomber	Purchase pace/ Average price		Price per share	Valletun	paylike(f)	He/ding
Mov 27, 51: 150,000 926.313 2,778,94 23,200 69.60 Nov 27, 51: 150,000 22.137 3,320.57 10,710 1,606.50 Sep 19, 00 225,000 19.062 4,288.96 3,130 1,822.50 Nov 15, 10 320,000 12.541 4,013.16 5,560 1,779.20 Mar 26, 08 95,000 30,457 2,893.50 14,430 1,370.85	+O+Clify)	Cone	of snares	per snare (1)	COSE DASS (A)	ON CHICAGO	(1) (1) (1) (1) (1) (1) (1) (1) (1) (1)	dan of loss (a)	8 E
May 26, 08 3 000 926.313 2,778.94 23.200 69.60 Nov 27, 01 150.000 22 137 3,320.57 10,710 1,606.50 Sep 19, 00 225.000 19.062 4,288.96 8,100 1,822.50 Nov 15, 10 320.000 12.541 4,013.16 5,560 1,779.20 Mat 26, 08 95.000 30.457 2,893.50 14,430 1,370.85	MMERICAN INTO GROUP INC COM								
Now 27, 01 150,000 22,137 3,320,57 10,710 69,60 Now 27, 01 150,000 22,137 3,320,57 10,710 1,606,50 Sep 19, 00 225,000 19,062 4,288.96 8,100 1,822,50 Nov 15, 10 320,000 12,541 4,013,16 5,560 1,779,20 Mat 26, 08 95,000 30,457 2,893,50 14,430 1,370,85	KA30								
Now 27, 91 150,000 22,137 3,320,57 10,710 1,606,50 Sep 19, 00 225,000 19,062 4,288.96 8,100 1,822,50 Nov 15, 10 320,000 12,541 4,013,16 5,560 1,779,20 Jul 7, 10 45,000 41,120 1,850,43 26,310 1,183,95 Mai 26, 08 95,000 30,457 2,893,50 14,430 1,370,85	Symbol, AKS, Exchange; NYSE	1.4a 26, 08	3 000	926.313	2,778,94	23,200	69.60	2,709,34	
Now 27, 91 150,000 22,137 3,320,57 10,710 1,606,50 Sep 19, 00 225,000 19,062 4,288.96 8,130 1,822,50 Nov 15, 10 320,000 12,541 4,013,16 5,560 1,779,20 Jul 7, 10 45,000 47,120 1,850,43 26,310 1,183,95 Mai 26, 08 95,000 30,457 2,893,50 14,430 1,370,85	APPLIED MATERIALS INC							,	
Nov 27, 01 150.000 22.137 3.320.57 10.710 1,606.50 Sep 19, 00 225.000 19.062 4,288.96 8,100 1,822.50 Nov 15, 10 320.000 12.541 4,013.16 5.560 1,779.20 Jul 7, 10 45.000 41.120 1,850.43 26.310 1,183.95 Mat 26, 08 95.000 30.457 2,893.50 14.430 1,370.85	symbol, AMAT, Exchange, OTC								
Sep 19, 00 225.000 19.062 4,288.96 8.100 1,822.50 Nov 15, 10 320.000 12.541 4,013.16 5.560 1,779.20 Jul 7, 10 45.000 41.120 1,850.43 26.310 1,183.95 Mat 26, 08 95.000 30.457 2,893.50 14.430 1,370.85	Al. \$48 Current yield 2:99%	Nov 27, O	150.000	22.137	3,320,57	10,710	1,605 50	1,714.07	<u></u> 1
Sep 19, 00 225,000 19,062 4,288.96 8,100 1,822.50 Nov 15, 10 320,000 12.541 4,013.16 5,560 1,779.20 Jul 7, 10 45,000 41,120 1,850.43 26,310 1,183.95 Mar 26, 08 95,000 30,457 2,893.50 14,430 1,370.85	ATMEL CORP								
Mov 15, 10 320.000 12 541 4,013.16 5.560 1,779.20 1.173.920 1,779.	symbol: ATML Exchange OTC	Sep 19, 00	225.000	19,062	4,288.96	8,100	1,822.50	-2,466 46	5
Mov 15, 10 320.000 12 541 4,013.16 5.560 1,779.20 1.183.95 1.1779.20 1,183.95 1.185.043 26.310 1,183.95 1.183.95 1.1870.85 1,370.85 1,370.85	BANK OF AMER CORP								
May 15, 10 320.000 12 541 4,013.16 5.560 1,779.20 1.187, 10 45.000 41.120 1,850.43 26.310 1,183.95 Mar 26, 08 95 000 30.457 2,893.50 14.430 1,370.85	ymbol: BAC Exchange, NYSE								
Jul 7, 10 45,000 41,120 1,850,43 26,310 1,183,95 Mar 26, 08 95,000 30,457 2,893.50 14,430 1,370,85	At: \$13 Current yield, 0 73%	Nov 15, 10	320.000	12 541	4,013.16	5,560	1,779 20	-2,233.96	7
Jul 7, 10 45,000 41,120 1,850,43 26,310 1,183.95 Mar 26, 08 95,000 30,457 2,893.50 14,430 1,370,85	THEROUP INC								
Mat 26, 08 95 000 30.457 2,893 50 14.430 1,370.85	lymbol. C. Exchange, NYSE								
Mat 26, 08 95 000 30.457 2,893 50 14.430 1.370.85	At. \$2 Current yield: 0.17%	Jul 7, 10	45.000	47,120	1,850.43	26.310	1,183,95	- NES 48	<u>)</u>
Mat 26, 08 95 000 30,457 2,893 50 14,430 1,370.85	CONNELLEY (R.R.) & SONS CO								
Mat 26, 08 95 000 30.457 2,893 50 14,430 1,370.85 -	Symbol, RRD Exchange OTC								
URAU)	JAI: \$99 Clarrent yield. 7.22%	Mar 26, 08	95 000	30,457	2,893 50	14,430	1,370.85	-1,522.65	E
								ebed bed begunion	oced peace

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SEU : NO.1-J

Account name: Account number:

HOWARD COBLE

Your assets . Equities . Common stock (continued)

HOVING GAC CORP MASS Symbol: EMC Exchange NYSE FEXTRONIES MIT 170 SGD						Chreeksed	Selection.
KSE	Samus to	per state (%)	Cost basis (\$)	on Dec 30 (5)	C. 8. 20	Tath or tost (\$	Douge
XTRONICS (MT) 170 SGD	195.000	13,576	7,647.40	21 540	4,200,30	06 255'	Ξ.
	Andrew was a second of the sec					The state of the s	
Symbol FLEX Exchange, OTC Feb 1, 07	210.000	12 145	2,550,52	5.560	1,188.50	-1,361.92	73
GENL ELECTRIC CO							
Symbol GE Exchange NYSE							
**	Sep 8, 10 160.000	16,256	2,602,65	17.910	2,865.60	262.95	5
Ŷ							
Symbol, SVRIT Exchange: CTC Apr 12, 52	52 150 000	5,4!1	811.70	2.230	334 50	-477.20	55
Mar 24, 11	11 250.000	9.985	2,496.39	7.230	. 557.50	-1,938.89	15
Security total	400.000	8.270	3,308.09		892.00	. 2,416.09	
SCHWAB CHARLES CORPINEW	The state of the s						1
Symbol: SCHW_Exchanger NYSE							
EA!: \$52 Current yield: 2.15% Nov 27, 01	01 215.000	15.923	3,423.61	11,260	2,420.90	1, 500, 71	D)
Total			\$33,677.83		\$19,400.00	-\$14,277.83	

Mutual funds

5	5	\$ 5
forst remiested is the total of all reinvested dividends at does not include any sasti dividends, it is not a	lot for the purposes of determining holding beliods or dist basis. The shares you receive each time you retines disclosed the your retinest disclosed become a separate tax fol.	Cost back, is the total purchase cost of the security, including reinvested dividends. The cost basis may a for he adjusted for return in Sapital psyments in order to determine the adjusted cost basis for tax report backgrass.
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92	85	g c
200	lot for the purposes of determining holding or reinvest dividands become a separate tax fot	£ 5
2	ž ę	34.
at the	2 5	Cost basis to the adju- busiones.
20	2 5	

Unrealized flast gain or loss in the difference between the rainent value and the cost basis and would generally be your takethe gain or loss if the setulity was sold on this date. The unrealized loss gain or loss in may need to be addisted for return of capital pavments in coder to determine the realized gain or lose for tax reporting purposes.	Investment return is the current value minus the amount you invested. It does not include shows that are not reflected on your claiming shows that take been realized as enter a gain or a loss. It also does not include cash deighouts that were not reinvested.
, jij	§ 2 %

713.56 32.500 826.80		4	Furchase DROS	1	į		1	1	
K VENTURE PHY: 0.60%	Holding	serence of shares	per share (\$)		(\$) sised	on Dec 30 (5)	26c 30 (5)	gain or loss (5)	polied (\$) material
ын: 0.60% гиды	DAVIS NEW YORK VENTURE			Į.			• • • • •		
ын 0.60% пады	FDCLA								
Total reinvested 25.440 28.048 713.56 32.500 826.80 11. EAI: \$5 Current yield: 0.60% FEDERATED KAUFMAN FUND CLASS A FUND CLA	Symbol: NYV7X								
EAI: \$5 Cutrent yield: 0.60% FEDERATED KAUFMAN FUND CLASS A	Total reinvested	25 440	28.048		713.56	32.500	826.80	113.24	876.80
FEDERATED KAUFIMAN FUND CLASS A	EAl: \$5 Current yield: 0.60%		:			;			
FUND CLASS A	FEDERATED KAUFIMAN								
	FUND CLASS A								

Page 22 of 26

continued next page

Prom: UBS

Resource Management Account December 2011

Account name:
Account type:
Account number:

J HOWARD COSTE Individual Retirement Account

336-854-7000/800-821-0355 Your Financial Advisor: ROBERT C KETNER

Your assets , Equities . Mutual funds (continued)

		Furchase prices Average price	Citent	C05	Price per share	Value on	Unrealized (Tax)	problem ingelia	
floiding.	of symes	per single (S)	#IVESTITIENT (\$)	(\$) signal	on Dec 3C (\$)	Cer 30 (5)	gain or fors (\$)	return (\$) perced	
Symbol: KAUAX									
Trade date: Jan 14, 04	254.900	5.392	1,369.78	1,369.781	4.650	1,18:10	-188.68	ħ	
Total remested	179,026	5.591		1,000.96	4.650	832,47	163 49	=	
Security total	433,026	5,475	1,369.78	2,370,74		2,013.57	-357 17	643.79	
Total			\$1,369.78	\$3,084,30		\$2,840.37	-\$243.93	\$1,470.59	

Thiskates cost base information provided by you or another third party. URS 75 has not verified this information and does not gustantee its accused; Total estimated annual income: \$5

Other equity investments

Cost basis and gains and losses have not been adjusted automatically for recum of capital payments. Resulcized security valves are estimated for informational runpices. See Important information about your statement at the end of this flocument for additional information.

			Purchase price/					
	Fracte	Number	Average price	Cost	Phone they share	Value on	threaked	Location
Holding	date	of shares	per share (\$)	(\$) siseq	an Dec 30:13	54 8 55 50	prices (\$) seal to men	CAN'T CHAN'T
ALLIANCE BERNSTON H.DG. LP MIP								
Symbol: AB Exchange NYSE								
EAt: \$83 Current yield: 7.93%	Sep 19, 08	80.000	42 417	3,393,381	13 080	1,046.40	2,346.98	Ħ

Indicates cost base information provided by you or acother thirld party. 1865 FS has not verified this information and duse not guarantee its accuracy.

Warrents

Holding period	! !		ር _ና
Untedized (\$) solves			- 11 AS
Value ou Oec 30 (\$)			5.51
Cost Price per warrant basis (\$)			5 510
Cost basis (S)			17 00
Purchase price per warrant (\$)			17.000
Nurrber of warrants			1.000
Trathe of date of			jan 20, 11
Holders	WARRANTS AMERICAN INTO	GROUP INC	Expires, Jan 19, 21

SBU : MOTH

10: 2022264262

		Value on Dec 30 (£)	Percentage of your occount	Cryst Basis (1)	Symmetry (\$) - xxxxxx (included	Unrewized
Cash and money b	Cash and money balances	758.33	3.15%	758.33		manage of the state of the stat
Equities		19,400.00		33,677.83	1	-14,277,83
	Mistual funds	2,840 37		3,084.30	2.00	-243 93
	Other equity investments	1,046.40		3,393,38	83.00	-2,346.98
	Warants			17.00		97.1.49
	Total equities	23,292.28	96.85%	40,172.51	411,00	-16,880,23
Total	otal	\$24.050.61	100.00%	\$40.930.84	\$411.00	-\$16,880,23

Account activity this month

	Date	Activity	Description Amain's (3	Athenry (\$)
Dividend and interest income				
Dividends	Dec 1	Dec 1 Dividend	Dec 1 Dividend DONNELLEY (R.R.) & SONS CO PAID ON 95	2.1.70
	9 2	Dividend	PFIZER INC PAID ON 95 19 6c	19 00
	Dec 6	Dec 6 Lt Cap Sain	FEDERATED KAUMAAN FUND CLASS A LONG TERM CAMTAL GAIM	C1 04
	Dec 14	Dec 14 Dividend		12.00
	Dec 22		DAVIS NEW YORK VENTURE FD C.L.A.	4.96
	15ec 23	Dec 23 Dividend	BANK OF AMER CORPPAID ON	3.20
	Total di	Total dividends	**	\$103.98
	Total di	Total dividend and interest income	irest income	\$103,98

investment transactions

PFOM: UBS

For more information about the priceivalue shown for resurced securities, see Important information about your statement at the end of this document.

Date	क्रमांक ए	Desciption	Quantity	Value (5)	Pree (S)	Proceeds from (mestiment transactions (3)	Funds vélitäram for irresiments kought (5)	Acoused interest (\$)
Dec 6	Dec 6 Reinvestment	Dec 6 Reinvestment FEDERATED KAUPMAN HIND CLASS A LT CAP 8 429 GANNS REINVESTED AT 4.76 NAV ON 12/05/11	8 429	A TOTAL OF THE PROPERTY OF THE			-40.12	\$7 \$ TRIPLE
Dec 22	Dec 22 Reinvestment		0.154			and the second of the second o	9.4	
Dec 28	Dec 28 Transfer	Dec 28 Transter PFIZER INC -2,045.35	-95.000	-95.000 -2.045.35			Andread Continued in the second case of the continue of the co	
Total		•					-545.08	

Your assets (cortinied)

Resource Management Account December 2011

Account name:
Account type:
Account number:

Your Financial Advisor: ROBERT C KETNER 336-854-7000/800-821-0355

J HOWARD CORLE Individual Retirement Account

Account activity this mon	manth (continued)			
	Date	Activity	Descriptor	\$ Charact (\$)
Money balance activities	Nov 30	Balance forward	forward	\$699,43
	Dec 2	Deposit	UBS BANK USA DEPOSIT ACCOUNT	24.70
	Dec.7	Geposit		19.00
	Dec 15	Deposit	UBS BANK USA DEPOSIT ACCOUNT	12.00
	Dec 27	Deposit	UBS BANK USA DEPOSIT ACCOUNT	3.20
	96 % Dec %	Closing UBS Bank U	Closing UBS Bank USA Deposit Account \$758.33	\$758.33

The UBS Bark TEA Deposit Account is your primary sweep option.

SEN: WOJ.

9F: 18-3NM-S015-12:22 DOC: 901 bede: 024

SEU: xe1

SSU: worl

To: 2022264363

Important information about your statement

UBS finan, all Services Inc. (fine "Farm" or "UBS sinancial Services", in member of all principal seturity, commodity and opporas a security of 16.1056. UBS financial Shot, Wermanker, 1816.1056. UBS financial Services Inc. Early Member's statistical or UBS financial Services Inc. The Financial Service Inc. The Financial Service Inc. The Financial Service Inc. The Financial Services account. Other records, eacen of final base decruments, companing conhitming also should not be decrured to service Inc. Services Inc. Services Inc. Services Inc. The Financial Services Inc. Inc. The Financial Services Inc. Including your rights under the Securides Investor Protection Act (SIRA). If the financial Services Increment of the Services Inc. Serv

Customer complaints or inquiries may be directed to the Firm's Client Relations Department at (201) 352-1659 or tol-the-est 800-354-9103, 800 a,M. to 6500 p.M. Et Monday Unough Fielday, or wirthen to US Finandal Sandces Inc., Client Relations
Department, P.O. 802-766 Union City, NJ 20697, inc. ose of errors or questions flow, Stot decil card transcellor. (FUT), the payment, 130's Stot decil card transcellor. (Assert of all transcellor.) Misself, and tensection or at 150 p.M. Stot decil card transcellor. (Misself, and tensection or at 150 p.M. Stot decil card transcellor.) Misself, and tensection or at 150 p.M. Stot decil card transcellor. (Misself, and tensection or at 150 p.M. Stot decil card transcellor wire are storen as you card, it out this card at the storen of the stot of it you need their information of the store or problem spixened. (1) Provide your one or problem spixened. (1) Provide your one internation of the suspected error. The Pirm of Card beyon amount of the suspected error. The Pirm of preservable in the store or problem spixened. (1) Provide in the provident or or cardial and one constitution of the suspected error. The Pirm of Card beyon or problem spixened (1) Provide in the providence of the store or problem spixened (1) Provide in the providence of the store or problem spixened (1) Provide in the providence of the store or problem spixened (1) Provide in the suspected error. The Pirm of Card beyon or property spixers and problem spixers days to do that the Pirm will cardial you are visually and the tense or compain and or feel they are or the money of the service or or problem spixers and the receipt of the service or or problem spixers and the receipt or and services or or problem spixers and the receipt or and services or or problem spixers and the receipt or and services or or problem spixers and the receipt or and services or or problem spixers and the receipt or and the receipt or or or problem spixers and the receipt or or or problem spixers and the receipt or or or problem spixers.

All there's brouds the traver payment.

In parcel introducing declared on the front of this statement in addition to requise account leve, a country statement in addition to requise account leve, a country to what be subject to markherone face frontly for light may be subject to markherone face frontly for urgan. seyment for securities purchases, and charges for unpaid

amounts in cast accounts. Accounts transfering to other testititions may be subject to a transfer fee.

Leas have Leaguest and Lists (the Bank") through the USA (the Bank") through the USA (the Bank") to deposited by the Firm, actory as your agent, at the Bank. The Firm, as your agent, at the Bank. The Firm, as your agent, at the Bank. The Firm, as your agent, insulating technic over the teleposite accounts exhabited on your benefit when being Cath on persons of the Bank or protected by the FDIC to the \$250.00 per deposition in accordance with Polit raise. FOR insulative coverage changes will apply to throts sweeping into the Bank when per and exhaps and affected through the Resource of the Polya and coffered through the Resource of the Polya and coffered through the Resource of Account 65.40 and Basc Investment Accounts. Further information regarding the Plays an and afterward regarding out the Plays and admitted and the Asiato of the Bank when the regarding the PDIC, the sebsite at www PDIC, typ. Deposits at the Bank and are not protected by SIPC Use. "UBS financial Services Account French by SIPC Use." "UBS financial Services Account French by SIPC Use." "UBS financial Services Account French by SIPC Use." "UBS financial Services Account

UBS Financial Servites Account protection
The firm is a newlete of the Scienties investor Protection
Corporation (SMC), SMC produce, protection for your accounts, at the firm for up to \$50,0,000, per costomer.
In Moding a maximum of \$25,0,0,000, per costomer.
In Moding a maximum of \$25,0,0,000, per costomer.
In Moding a maximum of \$25,0,0,000, per costomer.
In the unified product of the firm where for the firm that the SMC account of the firm where you are a last count bare woo account at the firm where you are a post account holder. In the word and a particular special and second where you are a post account holder. In the word control and the second where you are a post account holder. In two accounts agreed under SMC to be a considered \$50,000,000 for \$55,000 and \$50,000.
The firm, together word refer affibility, has also pruchased supplements in orderior. SMC to be account maximum amount payable to all clients, collectively under the supplemental profes in \$50,000.
The firm, together word certain affibility, has also pruchased supplements in orderiors and initiations.
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The firm, together word certain affibility, and the supplemental profes for up to \$1.9 intialities in the appropriate collectively under the approach of the policy countrions and limitations.
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UBS Financial Sewices is not a bank. Unless reference disclosed, securities and other investments. References ARE more TRIC. RASHED, ARE NOT FRICE ONSURED, AND FARY LOSE VALUE.

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Dividend Reinvestment Program (DRP)
The price reflected is an areage, price and the octual price may be obtained from your financial Advisor. Gray whose shares are purchased under 1980; contais shares will be soid and the cash credited to you've account. There may be a small difference, positive or meatine, between the distinct termination of price and of the supplied by the issuer and the market price at which the partial shares are soid

Only whick units may be held in your account. If you are entitled to a partial unit as a result of a thorsend payment or otherwise the form wall either self-whole units at market once or accept an amount determined by a registered clearing agrency and credit your account in

Investment objectives

The investment objectives you select neither the executions of sold several goals you have fet this and own and apply to the whole account, not to sessific executivents within the arctitum. Press advise the Firm promptly in while got any significant or anger in your financies fullation or investment objectives. For each account held you choose one return adjective and printy and, if applicable, secondary risk motive the following significable. alternatives

Return objectives

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Produce a Containation of Income and Capaial Appreciation: Investments seeking both the generation: of income and growth of principal

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Important information about your statement (continued)

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2022264363 Morgan Stanley Smith Page 001

HAND DELIVERED

MorganStanley SmithBarney 5
U.S. HOUSE OF RETAILS SENTATIVES

TO:

Ed McDonald

DESTINATION FAX:

12022264363

SENDER'S FAX:

Judy Robertson

PAGES:

FROM:

32 (including this cover page)

SUBJECT:

With the permission of our client, please see the attached 1099 and year end summary for 2011.

Please call if you have questions or do not receive all pages. The document is 31 pages.

Judy Robertson Sr. Client Service Associate Morgan Stanley Smith Barney 336-271-4309 800-456-0456

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MorganStanley SmithBarney

Account Number

Summary, call toll-free Monday through Friday, 9:00 a.m. to 5:00 p.m. EST., If you have questions about your Forms 1099 or Year End 1(800) 727-1099

advice, please consult your tax advisor.

Important income tax return document enclosed. For tax

Page 1 of 31

Forms 1099 and 2011 Year End Summary

Ref: 00004960 00043461

MorganStanley SmithBarney

Forms 1099 for 2011

Branch Office: 336 275 2881 300 N GREENE ST-17FL WACHOVIA TOWER GREENSBORO NC 27401 **MORGANSTANLEY SMITHBARNEY LLC** MICHAEL A. ROBERTSON Your Financial Advisor 27408-5100 2102 N. ELM STREET, SUITE B GREENSBORO NC Account Number J HOWARD COBLE SS *

Вох 3 Box 1a (dista a negligence penalty or other sarction may be imposed on you if this income is taxable and the IRS determines that it has not NEW YORK, NEW YORK 10013 CITIGROUP GLOBAL MKTS INC been reported. This is important tax information and is being furnished to the internal Revenue Service. If you are required to file a return, Tex ID Number 11-2418191 Attn: Tex Reporting Service Center 388 GREENWICH STREET Form 1099-DIV Dividends and Distributions 2013 Nondividend distributions Totał ordinary dividends \$ 61.24 \$ 374.71 80× 4 Box 1b Federal income tax withheld **\$ 112.31** Box 5 Investment expenses Box 2a Total capital gain distributions Bax 6 Foreign tax paid Box 2b Unrecaptured Sec. 1250 gain **\$ 5.00** Box 7 Foreign country or US possession Box 2c **Various** Section 1202 gain Box 8 Box 2d Collectibles (28%) gain Box 9 Non cash liquidation distributions

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Page 2 of 31

Tax ID Number 11-2418191 Attn: Tex Reporting Service Center

MorganStanley SmithBarney

Forms 1099 for 2011

Ref: 00004960 00043462

NEW YORK, NEW YORK 10013 388 GREENWICH STREET CITIGROUP GLOBAL MKTS INC.

MORGANSTANLEY SMITHBARNEY LLC MECHAEL A. ROBERTSON

Branch Office: 336 275 2881 300 N GREENE ST-17FL GREENSBORO NC 27401

Your Financial Advisor

WACHOVIA TOWER

GREENSBORO NC 2102 N. ELM STREET, SUITE B J HOWARD COBLE

27408-5100

J HOWARD COBLE

Account Number

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Form 1099-B Proceeds from Broker and Barter Exchange Transactions 2011

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This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return,

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is also deducted. Accrued stated interest income is reported on Form 1099-INT and included in your "Details of 1099 Reported Interest income". The gross proceeds from each transaction are individually reported to the IRS after deducting commissions. For bond transactions, accrued stated interest income

,				199.91	Noncovered	O.		10/19/11	FIRST TR EXCH TRADED FD FIRST TR AMEX BIOTECHNOLOGY INDX FD 33733E2X3000	120002760
				1.024,63	Noncovered	荔		02/18/11	FIRST TRUST MULTI CAP VALUE ALPHADEX FUND 33733C 108000	120001700
			233.45	245.91	Covered Short-term	55	vəribus	10/11/11	BOOZ ALLEN HAMIL TON HOLDING CP 039502105000	120000900
			47.07	48.29	Covered Short-term	ω	08/18/11	10/10/11	BOOZ ALLEN HAMILTON HOLDING CP 099502100000	120000800
ļ			109.84	110.17	Covered Short-term	7	08/18/11	10,07/11	BOOZ ALLEN HAMILTON HOLDING CP 098502 106000	120000700
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			\$ 95,43	\$ 10t.09	Covered Short-term	6	08/09/11	08/26/11	800Z ALLEN HAMIL TON HOLDING CP 099502 108000	120000400
tex withheld (Box 4)	on amount in Box 2 (Box 15)	ioss disallowed (Box 5)	Cost or other basis (8ox 3)	less commissions (Box 2)	(Bax 6) / Type of gain or loss (Box 8)	Quantity	Date of Acquistion (Box 1b)	Date of Sale or Exchange (Box 1a)	Description (Box 9) / CUSIP Number	Reference
Federal	Check if loss not Federa	Wash sale		Gross	Covered or Noncovered					

Page 3 of 31

MorganStanley SmithBarney

Ref: 00004960 00043463

Forms 1099 for 2011

J HOWARD COBLE

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Account Number

This is imported a negligence posen reported.	This is important tax information and is being furnished to the internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.	imposed on y	he internal Re ou if this inco	wenue Servi ome is taxab	ce. If you are I ie and the IRS	required to file determines thi	a return, at it has not			
	Form 1095-B Proceeds from Broker and Barter Exchange Transactions 2011 - combruen		e Echango Transactions 2011 - continued		Continued				484 1545 O715)	
Reference	Description (Box 9) ໄ	Date of Sale or Exchange	Date of Acquistion		Covered or Noncovered (Box 6) / Type of gain or	Grass proceeds less commissions	Cost or other	Wash sale loss disallowed	Check if loss not Federal allowed based income on amount tax in Box 2 with hear	it Federal income tax withheld
Number	CUSIT NUMBER	(Box Ta)	(Box 1b)	Quantity	loss (Bax 8)	(Box 2)	basis (Box 3)	(Box 5)	(Box 35)	(Box 4)
120002800	FIRST TR EXCH TRADED FD FIRST TR AMEX BIOTECHNOLOGY INDX FD 33733E203000	10/20/11		Un.	Noncovered	\$ 166.17				
12,0002900	FIRST TR EXCH TRADED FD FIRST TA AMEX BIOTECHNOLOGY INDX FD 33733E2X3000	10/20/11		4	Noncovered	132.93				
120003000	FIRST TR EXCH TRADED FD FIRST TR AMEX BIOTECHNOLOGY INDX FD 33733E203000	10/21/11		83	Nancovered	275.58				
120003100	FIRST TR EXCH TRADED FD FIRST TR AMEX BIOTECHNOLOGY INDX FD 39733E203000	10/24/11		80	Noncovered	279.99				
120003400	FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD 33733E302000	03/16/11		6	Noncovered	205.20				
120003500	FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD 39733E302000	03/16/11		υn	Noncovered	171,0Q				
120003600	FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD 39733E302000	03/22/11		4	Noncowered	138.55				
120003900	FRIST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD 33733E302020	07/12/11		OH.	Noncovered	184,54				
120004000	FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD 33733E3620000	07/15/11		9	Noncovered	329.21				

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Ref: 00004960 00043464

MorganStanley SmithBarney

Forms 1099 for 2011

Page 5 of 31

J HOWARD COBLE

Account Number

This is important tax information and is being furnished to the internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

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		Date of Sale			Noncowered	proceeds		Wash sale	alfowed based	income
Reference	Description (Box 9) /	or Exchange	Acquistion		of pain or	commissions	Cost or other	disallowed	in Box 2	withheld
Number	CUSIP Number	(Box 1a)	(Box 1b)	Quantity	loss (Box 8)	(Box 2)	basis (Box 3)	(Box 5)	(Box 15)	(Box 4)
120004300	FIRST TRUST BICK INDEX FUND 33733H107000	02/10/11		88	Noncovered	\$ 243.26				
120004400	FIRST TRUST BICK INDEX FUND 33783H107000	02/19/11		6	Noncovered	182.44				
120004500	FIRST TRUST BICK INDEX FUND 33733H107000	02/10/11		и	Noncovered	152.03				
120004600	FIRST TRUST BICK INDEX FUND 33733H107000	02/11/11		9	Noncovered	274.04				
120005400	FIRST TR ISE GLOBAL COPPER INDEX FD	06/30/11		œ	Noncovered	336.54				
	SON SON TOWNS									
120005560	FIRST TR ISE GLOBAL COPPER INDEX FD 33733J103000	07/15/11		3	Noncovered	126.58				
120005600	FIRST TR ISE GLOBAL COPPER INDEX FD 39733J103000	07/20/11		7	Noncovered	300.84				
120005700	FIRST TR (SE GLOBAL COPPER INDEX FD 33733,J103000	07/25/11		9	Noncovered	391.49				
120005800	FIRST TR ISE GLOBAL COPPER INDEX FD 33733J103000	08/02/11		90	Noncovered	370.54				
120006000	FIRST TRUST SAP REIT INDEX FD	03/16/11		ž	Noncovered	208.60				
	33734G108000									

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Ref: 00004960 00043465

MorganStanley SmithBarney

J HOWARD COBLE Forms 1099 for 2011

Account Number

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Torm 105	Form 1099-B Proceeds from Broker and Barter Exchange Transactions 2011-continued			Hors 2011	- continued				(CN)84-1645-0716)	
					Covered or				Check if loss not Federal	not Federal
		Date of Sale	Date of		Noncovered	proceeds		Wash sale	allowed based	
Reference	Description (Box 9) / CUSIP Number	or Exchange (Box 1a)	Acquistion (Box 1b)	Quantity	of gain or loss (Box 8)	commissions (Box 2)	Cost or other basis (Box 3)	disallowed	in Box 2 (Box 15)	withheld
120006100	FIRST TRUST SAP REIT INDEX	03/16/11		ಪ	Noncovered	\$ 193.70				
	33734G108000									
120006200	FIRST TRUST S&? REIT INDEX FD	03/22/11		ජ	Noncovered	153.71				
	307340 100000							-		
120006300	FIRST 18051 S&P REIT INDEX FD 33734G 108000	08/23/11		75	Noncovered	284.22				
120006400	FIRST TRUST SAP REIT HIDEX	09/31/11		려	Noncovered	232.57				
	SQT GHO FORGOOD									
120006500	FIRST TRUST S&P REIT INDEX FD 33734G108000	05/11/11		-	Noncovered	16.24				
120008600	FIRST TRUST S&P REIT INDEX FD 33734G 106000	05/17/11		1	Noncovered	16.02				
120007300	FIRST TR ISE REVERE NAT GAS INDEX FUND 33734J102000	09/30/11		s	Noncovered	47.92				
120007400	FIRST TR ISE REVERE NAT GAS INDEX FUND 33734 J 102000	10,08,11		8	Noncovered	96.09				
120007500	FIRSY TR ISE REVERE NAT GAS INDEX FUND 33734J102000	10,07/11		හ	Noncovered	80.79				

Page 6 of 31

MorganStanley SmithBarney

Ref: 00004960 00043466

Forms 1099 for 2011

J HOWARD COBLE

Account Number

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This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Reference Number 120007760	Form 1039-B Procesds from Broker and Barter Exchange Transactions 2011 continuer Covered or Nancovered or Exchange Transactions 2011 continuer CUSIP Number Description (Box 9) / Or Exchange Acquisition (Box 6) / Ty turnber CUSIP Number (Box 1a) (Box 1b) Quantity loss (Box 6) / Ty 20007600 FRST TR ISE REVERE NAT GAS 10/11/11 10 Noncovered 20007700 FRST TR ISE REVERE NAT GAS 10/12/11 10 Noncovered 20007700 FRST TR ISE REVERE NAT GAS 10/12/11 10 Noncovered 20007700 FRST TR ISE REVERE NAT GAS 10/12/11 10 Noncovered 20007700 FRST TR ISE REVERE NAT GAS 10/12/11 10 Noncovered	Date of Sale Date of (Box 1a) 10/11/11 10/12/11 03/23/11	anspections 201: Stion Quantity b) Quantity 60	Covered or Noncovered or Noncovered (Box 6) / Type of gain or loss (Box 8) Noncovered Noncovered	Gross proceeds less commissions (Box 2) \$ 171.09	Wash sale joss Cost or other disallowed basis (Box 3) (Box 5)	Wasi Joss disal	Wash sale loss disallowed (Box 5)	ICMB# 1545-0746) Check if loss not Feder # ash sale allowed based income s on amount tax allowed in Box 2 withheli bx 5) (Box 15) (Box 4)
9000	FIRST TR ISE REVERE NAT GAS INDEX FUND 33734 J102000 FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FD 33734X 10 1000	10/12/11 03/23/11	9 . 85	Noncovered		72.00 80.90	72.00 80.90	72.00 90.90	72.00
120008100	FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FD 33734X101000 FIRST TRUST ENERGY	03/25/11	ದ ಅ	Noncovered Noncovered		187.91 279.53	187.91	187.91	187.9.1
120011000	AL PHADEX FUND 33734X127000 FIRST TRUST ENERGY AL PHADEX FUND	09/28/11		Noncovered		199.09	199.09	199.08	199.09
120011100	FIRST TRUST ENERGY ALPHADEX FUND 33734X 127000	10/05/11	21	Noncovered		351.78	351.78	351.78	351.78
1200 \$1200	erst trust energy Alphadex fund 33734x127000	19/07/11	¥	Noncovered		240.B4	240.84	240.84	240.84
1200 11300	First trust energy Alphadex fund 33734x 127000	10/18/11	ā	Noncovered		279.97	279.97	279.97	279.97

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a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return,

MorganStanley SmithBarney

Ref: 00004960 00043467

Forms 1099 for 2011

J HOWARD COBLE

Account Number

\$5 # [

The second second second			Control of the last of the las		The state of the s				THE REAL PROPERTY AND ADDRESS OF THE PARTY AND	
2000		Date of Sale	Date of	Se de la companya de	Covered or Noncovered	Gross proceeds	erich gebit er an bei der ericht Geber ein der Geber eine Geber eine Geber ein der Geber ein der Geber ein der	Wash sale	Check loss not Federal	ol Federa
Reference	Description (Box 9) / CUSIP Number	or Exchange	Acquistion (Box 1b)	Opaniiv	of gain or loss (Box 8)	commissions (Box 2)	Cost or other	disallowed	in Box 2	withheld
120011600	FIRST TRUST HEALTH CARE ALPHADEX FUND 33734X143000	05/11/11		ß	Noncovered	\$ 1.278.09	;			ŀ
120011700	FIRST TRUST HEALTH CARE ALPHADEX FUND 33734X143000	05/17/11		<u> -</u>	Noncovered	30.16				
120012500	FIRST TRUST MATERIALS ALPHADEX FUND 33734X 168000	05/11/11		ଫା	Noncovered	128.58				
1200 12600	FIRST TRUST MATERIALS ALPHADEX FUND 33734X 168000	05/17 <i>/</i> 1 t		ŧŧ	Noncovered	472.28				
120012780	First trust materials al Phadex Fund 33734x 168000	06/08/11		αź	Noncovered	194.88				
1200 12800	eirst trust materials al phadex fund 33734X 168000	06/15/11		ర	Noncovered	239.26				
120013000	FIRST TRUST MATERIALS ALPHADEX FUND 33734X 168000	09/13/11		2	Noncovered	\$1.4 7				
120013100	FIRST TRUST MATERIALS ALPHADEX FUND 33734X 168000	11,03/11		6	Noncovered	127,43				
1200 13200	FIRST TRUST TECHNOLOGY ALPHADEX FUND 33734X176000	05/11/11		9	Noncovered	227,01				

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MorganStanley SmithBarney

Ref: 00004960 00043468

Forms 1099 for 2011

Account Number J HOWARD COBLE

\$5 *****

1200 15500	1200 14300	120014200	120014100	1200 140000	120013900	1200 13800	120013700	120013600	Reference Number	
ISHARES SILVER TRUST	FIRST TRUST TECHNOLOGY ALPHADEX FUND 33734X 176000	FIRST TRUST TECHNOLOGY ALPHADEX FUND 33734X 176000	FIRST TRUST TECHNOLOGY ALPHADEX FUND 33734X 176000	FIRST TRUST TECHNOLOGY ALPHADEX FUND 33734X176000	FIRST TRUST TECHNOLOGY ALPHADEX FUND 33734X176000	FIRST TRUST TECHNOLOGY ALPHADEX FUND 33734X176000	FIRST TRUST TECHNOLOGY ALPHADEX FUND 33734X 176000	FIRST TRUST TECHNOLOGY ALPHADEX FUND 33734X176000	Description (Box 9) / CUSIP Number	Form 1029-B Proceeds from Broker and Barter Exchange Transactions 2911-
07/15/11	10,07/11	10,05/11	09/30/11	09/27/11	09/21/11	09/12/11	09,08/11	09,07/11	Date of Sale or Exchange (Box 1a)	Barter Excha
									Date of Acquistion (Box 1b)	
_	Ð	õ	4	75°	on .	#	ಕ	20	Quantity	
Noncovered	Noncovered	Noncovered	Noncovered	Noncovered	Noncovered	Noncovered	Noncovered	Noncovered	Covered or Noncovered (Box 6) / Type of gain or loss (Box 8)	- continued
37.90	173.69	354,88	73.75	218.59	99.34	208.67	192.30	\$ 384.72	Gross proceeds less commissions (Box 2)	
									Cost or other basis (Box 3)	
									Wash sale loss disallowed (Box 5)	A Company of the Comp
									Check if loss not Federal allowed based income on amount tax in Box 2 withheld (Box 4)	
									not Federal income tax withheld (Box 4)	

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been reported.

This is important tax information and is being furnished to the internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not

MorganStanley SmithBarney

Ref: 00004960 00043469

Forms 1099 for 2011

J HOWARD COBLE Account Number

SS #

1200 16700	1200-18600	1200 16500	1200 16400	120016300	1200 16200	120016000	120013900	120015800	1200 \$5700	120015600	Reference Number
POWERSHARES DWA EMERGING NKTS 739360207000	POWERSHARES DWA EMERGING MKTS 739360207000	POWERSHARES DWA EMERGING WKTS 739360207000	POWERSHARES DWA EMERGING MKTS 738380207000	ISHARES IBOXX HIGH YLD CORP BOND FD 464288513000	ISHARES BOXX HIGH YLD CORP BOND FD 464288513000	ISHARES BOXX \$ INVESTOP INVESTMENT GRADE CORP FD FD 464287242000	ISHARES SILVER TRUST 46428/2109000	ISHARES SILVER TRUST 464280109000	ISHARES SILVER TRUST 46428Q109000	ISHARES SILVER TRUST 464280109000	Covered or Noncovered or Sale Date of Sale Description (Box 9) / or Exchange Acquistion (Box 9) / type (Box 1a) (Box 1a) Quantity loss (Box 8)
05/11/11	02/16/11	02/15/11	02/14/11	09/22/11	05/17/11	02/18/11	08/02/11	07/26/11	07/26/11	07/22/11	Date of Sale or Exchange (Box 1a)
											Date of Acquistion (Box 1b)
7	3	4	ಜ	_	2	o	ឌី	ы	DO	2	Cuantity
Noncovered	Noncovered	Noncovered	Noncovered	Noncovered	Noncovered	Noncovered	Noncovered	Noncovered	Noncovered	Noncovered	Covered or Noncovered (8ox 6) / Type of gain or loss (8ox 8)
131.06	263.78	244.63	575.83	84.88	184.44	645.52	514.35	198.04	316.87	\$ 78.24	Gross proceeds less commissions (Bax 2)
											Cost or other basis (Box 3)
		:									Wash sale loss disallowed (Box 5)
											Check if loss not Fader at sale allowed based income on amount tax wed in Box 2 withheld (Box 4)
											s not Federal ncome income tex withheld (Box 4)

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been reported.

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Ref: 00004960 00043470

MorganStanley SmithBarney

Forms 1099 for 2011

J HOWARD COBLE

Account Number

SS #

				134.51	Noncovered	4		08/11/11	REVENUESHARES ADR FUND 761396609000	1200-18900
				172,99	Noncovered	On		08/05/11	REVENUESHARES ADR FUND 76139605000	1200 188000
				769.32	Noncovered	22		08/04/11	REVENUESHARES ADR FUND 761396605000	1200 18700
				684.12	Noncovered	ŧ7		05/17/11	REVENUESHARES ADS FUND 761396605000	1200 18500
				952.79	Noncovered	23		02/11/11	POWERSHARES FISE RAFI DEVELOPED MKTS 73936T785000	1200 18200
				988.74	Noncovered	8		07/22/11	POWERSHARES CEF INCOME COMPOSITE PORTFOLIO 73936QB43000	1200 18000
				155.03	Noncovered	5		07/15/1t	POWERSHARES CEF INCOME COMPOSITE PORTFOLIO 739360843000	120017900
				57.94	Noncovered	2		05/17/11	POWERSHARES CEF INCOME COMPOSITE PORTFOLIO 738360843000	120017800
				313.63	Noncovered	ಪ		11,09/11	POWERSHARES S&P BK IN PORT ETF 739360769000	120017500
				48.74	Noncovered	ω		11,03/11	POWERSHARES DWA EMERGING MKTS 739360207000	120017100
				\$ 97.59	Noncovered	O1		09/22/11	POWERSHARES DWA FMERGING MKTS 739360207000	1200 16900
tax withhaid (Box 4)	on amount in Box 2 (Box 15)	loss disatiowed (Box 5)	Cost or other basis (Box 3)	less commissions (Box 2)	(Box 6) / Type of gain or loss (Box 8)	Quantity	Date of Acquistion (Box 1b)	Date of Sale or Exchange (Box 1a)	Description (Box 9) / CUSIP Number	Reference Number
Federal	Check if loss not Federal	Mach cale		Gross	Covered or					
	[CMB# 1545-0715]			Meson Nister Nis	- continued	cdops 2011		larter Excha	Form 1099-B Proceeds from Broker and Barter Exchange Transactions 2011 - pareneed	Form 1099

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a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not

This is important tax information and is being furnished to the internal Revenue Service. If you are required to file a return,

been reported.

Form 1099-8 Proceeds from Broker and Berter Exchange Transactions 2011-commed

Noncovered

Covered or

Gross proceeds

Wash sale

allowed based Check if loss not Federal

income

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Ref: 00004960 00043471

MorganStanley SmithBarney

Forms 1099 for 2011

J HOWARD COBLE

Account Number

SS #

				\$ 23,036.27						Totals
				182.74	Noncovered	U N		08/23/11	SPDR BARCLAYS CAPITAL CONV SECURITIES ETF 78484A359000	120020100
				146.19	Noncovered	4		08/23/11	SPDR BARCLAYS CAPITAL CONV SECURITIES ETF 78464A359000	120020000
				74.77	Noncovered	2		08/11/11	SPDR BARCLAYS CAPITAL CONV SECURITIES ETF 78484A359000	1200-199600
				111.97	Noncovered	ယ		08/05/11	SPDR BARCLAYS CAPITAL CONV SECURITIES ETF 78454A359000	120019800
- ;				541.06	Noncovered	K		08/04/11	SPDA BARCLAYS CAPITAL CONV SECURITIES ETF 78454A359000	120019700
				99.29	Noncovered	ω		08/23/11	REVENUESHARES ADR FUND 761396605000	120019200
				133.23	Noncovered	4		08/23/11	REVENUESHARES ADR FUND 761396605000	120079100
				\$ 207.29	Noncovered	ø		08/15/11	REVENUESHARES ADA FUND 761396605000	1200 19000
tex withheld (Box 4)	on amount in Box 2 (Box 15)	joss disallowed (Box 5)	Cost or other basis (Box 3)	less commissions (Box 2)	(Box 6) / Type of gain or loss (Box 8)	Quantity	Date of Acquistion (Box 1b)	Date of Sale or Exchange (Box 1a)	Description (Box 9) / CUSIP Number	Reference Number

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Totals

\$ 1.10

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Ref: 00004960 00043472 CUSIP Number: 46428Q109000 This tax document is being supplied for use in completing your federal income tax return. Please refer to the enclosed brochure for more information. Supplemental Tax Information for Unit Amestment Public MorganStanley SmithBarney Description: ISHARES SILVER TRUST Organizational Expenses Investment Expenses Paid With Sale Proceeds J HOWARD COBLE 2011 Year End Summary Account Number Investment Expenses on Tax Exempt Income Adjustment to Basis

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Totals

MorganStanley SmithBarney

Ref: 00004960 00043473

2011 Year End Summary

J HOWARD COBLE Account Number

CUSIP Number: 78463V107000 This tax document is being supplied for use in completing your federal income tax return. Please refer to the enclosed brochure for more information. Supplemental Tax Internation for time interaction. If use replicated Unallocated Income Description : SPDR GOLD TR GOLD SHS JP1702 Organizational Expenses Investment Expenses Paid With Safe Proceeds \$ 28 investment Expenses on Tax Exempt Income Adjustment I Basis N

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MorganStanley SmithBarney

Ref: 00004960 00043474

2011 Year End Summary

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JHOWARD CORLE

Account Number	ショウサイスレ こくりたた
	n

We are pleased to provide you with this overview of activity in your account this year. This information will help you understand the performance of your investments and manage your ussistance of your Financial Advisor. This information is not provided to the IRS.	ccount this year. investments and manage your ted to the IRS.	ge your account with the	t the
Value of your portfolio	Earthy symmaty 2011		
Description Amount	Due to IRS reporting requirements, these figures may not be the	ements, these flaures may	rnot be th
t balance	totals reported on your monthly statement	thly statement	
Control of the contro	Description	1099 Reported	€3 660)
THE PROPERTY OF THE PROPERTY O	Other dividends **	\$ 323.64	
	Qualified dividends	112.31	
	Totals	\$ 435.95	

Qualified dividends **\$ 435.95** empt e same as

**If you received money funds earnings, copital gain distributions or non-taxable distributions, they are included in this amount.

Foreign tax paid Description or foreign regulations.

The following taxes have been withheld in compliance with U.S. federal, state

* 323.64 **# 435,95** 11231 **Z** ≥ m ≺

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Amount \$6.60

Ref: 00004960 00043475

MorganStanley SmithBarney

2011 Year End Summary

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J HOWARD COBLE
Account Number

he follow or divider	The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.	dends reported on Form 1099-DI t earnings, and accrued dividend	 Amount displays received. 	red			
Reference	CUSIP	Total Ordinary Dividends Short term Dividends* capital gain* (Box 1a)	Qualified dividends (Box 1b)	Total capital gain distributions (Box 2a)	Unrecaptured Sec. 1250 gain (Box 2b)	Section 1202 gain (Box 2c)	Collectibles (28%) gain (Box 2d)
150000100	Z7828N 102000 EATON VANCE TAX MANAGED	\$.36	91.				
	DIVERSIFIED EQUITY INCOME FD						
	A FOR ION OF THIS INCOME HAS BEEN RECLASSIFIED						
150000200	278290 166000 EATON VANCE SR FLOATING RT FUND	11.44					
150000300	33733E302000 FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	1.12	1.12				
150000460	33733.1103000	11.35	11.35				
	INDEX FOR EXCEDENCE CONTAIN THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT						
	A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED						
150000500	33734G108000 FIRST TRUST S&P REIT INDEX FD	23.95					
	33734K 109000 FIRST TRUST LARGE CAP CORE	1.26	1.26				
150000600	ALPHADEX FUND						

Ref: 00004960 00043476
MorganStanley SmithBarney
2011 Year End Su

J HOWARD COBLE
Account Number

Qualified dividends (Box 1b) \$ 11.51 11.51 1.26 \$ 3.03				Contract	THE RESERVE OF THE PARTY OF THE		\$ 5 mm 1 m	
PIRST TRUST CONSUMER PIRST TRUST CONSUMER PIRST TRUST CONSUMER STAPLES APHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFED 3279AV179700 BEEN RECLASSIFED 3279AV17900 BEEN RECLASSIFED 3279AV179AV0	Reference		inary I Box 1a	Qualified dividends (Box 1b)	Total capital gain distributions (Box 2a)	Unrecaptured Sec. 1250 gain (Box 2b)	Section 1202 gain (Box 2c)	Collectibles (28%) gain (Box 2d)
FIRST TRUST CONSUMER AS BEEN RECL ASSIFIED 373AY119000 A PORTION OF THIS INCOME HAS BEEN RECL ASSIFIED 373AY127000 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 373AY12700 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 373AY127000 5.73 FIRST TRUST ENERGY ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 373AY184000 FIRST TRUST RUST HEALTH CARE ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 373AY184000 FIRST TRUST SY UTILS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 373AY184000 FIRST TRUST WILS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 373AY184000 FIRST TRUST WILS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 373AY184000 STARES BOXX 9 INVESTOP INVESTMENT GRADE CORP FD FD 4042885 13000 SHARES BOXX HIGH YLD CORP BOND FD	150000700	33734X 101000		\$ 13.26				
DISCRETIONARY ALPHADEX PD A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 373AX119000 11.51 4 PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 373AX12700 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 373AX127000 FIRST TRUST EMERGY A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 373AX127000 FIRST TRUST HEALTH CARE ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 373AX184000 FIRST TRUST RUST METRIALS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 373AX184000 573AX184000 573A		FIRST TRUST CONSUMER						
### A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 3730X119000 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 3734X127000 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 3734X19000 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 3734X19000 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 3734X19000 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 3734X19000 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 3734X19000 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 3734X19000 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 3734X19000 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 3734X19000 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 3734X19000 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 46428613000 SHARES IBOXX # INVESTOP INVESTMENT GRADE CORP FD FD 46428613000 SHARES IBOXX HIGH YLD CORP BOND FD		DISCRETIONARY ALPHADEX FD						
BEEN RECLASSIFIED 33734X119000 APORTION OF THUS INCOME HAS BEEN RECLASSIFIED 33734X127000 A PORTION OF THUS INCOME HAS BEEN RECLASSIFIED 33734X127000 FIRST TRUST EMERGY ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 33734X147000 FIRST TRUST HEALTH CARE ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 33734X18000 FIRST TRUST MATERIALS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 33734X184000 FIRST TRUST WITLS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 33734X184000 37734X184000 37734X		A PORTION OF THIS INCOME HAS						
FIRST TRUST CONSUMER STAPLES ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 33734X127000 5.73 FIRST TRUST EHERGY ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 33734X143000 FIRST TRUST HEALTH CARE ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 33734X188000 FIRST TRUST MATERIALS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 33734X189000 FIRST TRUST WITHS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 33734X189000 FIRST TRUST WITHS INCOME HAS BEEN RECLASSIFIED 35734X189000 FIRST TRUST WITHS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 35734X189000 FIRST TRUST WITHS BEEN RECLASSIFIED 464286F13000 FIRST MENT GRADE CORP FD FD INVESTMENT GRADE CORP FD FD	1000000	STREET STREET	11 86	11 61				
ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 373X1127000 5.73 ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 373X1137000 THRST TRUST HEALTH CARE ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 373X1137000 THRST TRUST MATERIALS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 3734X184000 FIRST TRUST MATERIALS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 3734X184000 FIRST TRUST WITLS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 3734X184000 464287242000 SHARES IBOXX # INVESTOP INVESTMENT GRADE CORP FD FD BOND FD SHARES IBOXX HIGH YLD CORP BOND FD		FIRST TRUST CONSUMER STAPLES	11.01	-				
A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 32734X127000 FIRST TRUST ENERGY ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 32734X143000 FIRST TRUST HEALTH CARE ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 32734X18000 FIRST TRUST MATERIALS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 32734X184000 FIRST TRUST WATERIALS BEEN RECLASSIFIED 32734X184000 FIRST TRUST TRUST WATERIALS BEEN RECLASSIFIED 32734X184000 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 32734X184000 FIRST TRUST TRUST WATERIALS BEEN RECLASSIFIED 32734X184000 APORTION OF THIS INCOME HAS BEEN RECLASSIFIED 32734X184000 APORTION OF THIS INCO		ALPHADEX FUND						
### ### ### ### ######################		A PORTION OF THIS INCOME HAS						
3734X127000		BEEN RECLASSIFIED						
FIRST TRUST EMERGY ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 33734X143000 FIRST TRUST HEALTH CARE ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 33734X18000 FIRST TRUST MATERIALS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 33734X184000 FIRST TRUST UTILS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 37734X184000 FIRST TRUST UTILS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 37734X184000 BEEN RECLASSIFIED 464287242000 BEEN RECLASSIFIED 464287342000 FIRST GRADE CORP FD FD INVESTMENT GRADE CORP BOND FD	150000900	33734X127000	5.73	5,73				
ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFJED 33734X143000 FIRST TRUST HEALTH CARE ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFJED 33734X168000 FIRST TRUST MATERIALS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFJED 33734X184000 FIRST TRUST UTILS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFJED 37734X184000 BEEN RECLASSIFJED 37734X184000 S1734X184000 BEEN RECLASSIFJED 484287342000 BEEN RECLASSIFJED 484287342000 S1744RES IBOXX # INVESTOP INVESTMENT GRADE CORP FD FO 55442865 IBOXX HIGH YLD CORP BOND FD		FIRST TRUST ENERGY						
A PORTION OF THIS INCOME HAS BEEN RECL ASSIFIED 37794X143000 FIRST TRUST HEALTH CARE AL PHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECL ASSIFIED 37794X169000 FIRST TRUST MATERIALS AL PHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECL ASSIFIED 37794X184000 FIRST TRUST UTILS AL PHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECL ASSIFIED 37794X184000 FIRST TRUST UTILS BEEN RECL ASSIFIED 484287742000 SEEN RECL ASSIFIED 484287742000 SEEN RECL ASSIFIED 484287742000 SEEN RECL ASSIFIED 484287742000 SHARES IBOXX # INVESTOP INVESTMENT GRADE CORP FD FD SHARES IBOXX HIGH YLD CORP BOND FD		ALPHADEX FUND						
BEEN RECLASSIFIED 3734X143000 FIRST TRUST HEALTH CARE ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 3734X168000 FIRST TRUST MATERIALS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 3734X184000 FIRST TRUST UTILS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 484287242000 BEEN RECLASSIFIED 484287242000 FIRST TRUST GRADE CORP FD FD INVESTMENT GRADE CORP FD FD SHAARES IBOXX # INVESTOP INVESTMENT GRADE CORP FD FD SHAARES IBOXX HIGH YLD CORP BOND FD		A POSITION OF THIS INCOME HAS						
33734X143000 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 33734X184000 FIRST TRUST WE THIS INCOME HAS BEEN RECLASSIFIED 33734X184000 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 33734X184000 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 484287742000 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 484287742000 SHARES IBOXX # INVESTOP INVESTMENT GRADE CORP FD FD INVESTMENT GRADE CORP FD FD INVESTMENT GRADE CORP FD FD ISHARES IBOXX HIGH YLD CORP BOND FD		BEEN RECLASSIFIED						
FIRST TRUST HEALTH CARE AL PHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 30734X 188000 FIRST TRUST MATERIALS AL PHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 33734X 184000 FIRST TRUST UTILS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 464287742000 BEEN RECLASSIFIED 464287742000 SHARES IBOXX # INVESTOP INVESTMENT GRADE CORP FD FD SHARES IBOXX HIGH YLD CORP BOND FD	150001000	39734X143000	1.01	1.01				
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FIRST TRUST MATERIALS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 3734X184000 FIRST TRUST UTILS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 404287242000 BEEN RECLASSIFIED 404287242000 FINVESTIMENT GRADE CORP FD FD SHARES IBOXX # INVESTOP INVESTIMENT GRADE CORP FD FD SHARES IBOXX HIGH YLD CORP BOND FD	150001130	33734X 168000	3.03	3.03				
A PORTION OF THIS INCOME HAS 8 EEN RECLASSIFIED 37794X 184000 FIRST TRUST UTILS A PORTION OF THIS INCOME HAS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 464287242000 51944ARES IBOXX # INVESTOP INVESTMENT GRADE CORP FD FD 19442865 13000 19544ARES IBOXX HIGH YLD CORP BOND FD		FIRST TRUST MATERIALS						
A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 9.58 FIRST TRUST UTILS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 464287242000 464287242000 SHARES IBOXX 9 INVESTOP INVESTMENT GRADE CORP FD FD 464288613000 464288613000 SHARES IBOXX HIGH YLD CORP BOND FD		ALPHADEX FUND						
8EEN RECLASSIFIED 3734X184000 9.58 FIRST TRUST UTILS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 464287742000 SHAARES IBOXX 4 INVESTOP INVESTMENT GRADE CORP FD FD 464288513000 464288513000 SHARES IBOXX HIGH YLD CORP BOND FD		A PORTION OF THIS INCOME HAS						
33734X 184000 9.58 FIRST TRUST UTILS ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 464287242000 SHARES IBOXX # INVESTOP INVESTMENT GRADE CORP FD FD SHARES IBOXX HIGH YLD CORP BOND FD 9.58		BEEN RECLASSIFIED						
ALPHADEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 464287242000 ISHARES IBOXX 9 INVESTOP INVESTMENT GRADE CORP FD FD 464286513000 ISHARES IBOXX HIGH YLD CORP BOND FD	150001200	33734X184000	9.58	9.58				
ALPHADEX FUND A POATION OF THIS INCOME HAS BEEN RECLASSIFIED 4642877242000 ISHARES IBOXX # INVESTOP INVESTMENT GRADE CORP FD FD 464288513000 ISHARES IBOXX HIGH YLD CORP BOND FD		FIRST TRUST UTILS						
A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED 464287742000 ISHARES IBOXX # INVESTOP INVESTMENT GRADE CORP FD FD 464288513000 ISHARES IBOXX HIGH YLD CORP BOND FD		ALPHADEX FUND						
BEEN RECLASSIFIED 464287742000 ISHARES IBOXX # INVESTOP INVESTMENT GRADE CORP FD FD 4642865 13000 ISHARES IBOXX HIGH YLD CORP BOND FD		A PORTION OF THIS INCOME HAS						
464287242000 ISHARES IBOXX \$ INVESTOP INVESTMENT GRADE CORP FD FD 4642885 13000 ISHARES IBOXX HIGH YLD CORP BOND FD		BEEN RECLASSIFIED						
ISHARES IBOXX \$ INVESTOP INVESTMENT GRADE CORP FD FD 464286513000 ISHARES IBOXX HIGH YLD CORP BOND FD	150001300	464287242000	2.56					
INVESTMENT GRADE CORP FD FD 464286513000 ISHARES IBOXX HIGH YLD CORP BOND FD		ISHARES IBOXX \$ INVESTOP						
464288513000 ISHARES IBOXX HIGH YLD CORP BOND FD		INVESTMENT GRADE CORP FD FD						
	150001400	464286513000	75.22					
BOND FD		ISHARES IBOXX HIGH YLD CORP						
		BOND FD						

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MorganStanley SmithBarney

2011 Year End Summary

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J HOWARD COBLE

Account Number

12000	Total Ordinary Dividends Chord form Custified	Total Ordinary Dividends	n Onedifori	Total	14	Sardian 1909	Coltantition
Reference number	e CUSIP Description	Dividends* capital gain* (Box 1a)	ain* dividends (Box 1b)	distributions (Box 2a)	Sec. 1250 gain (Box 2b)	gain (Box 2c)	(28%) gain (Box 2d)
150001500	- 1	# 22.12	\$ 22.12	,		,	
	THIS AMOUNT INCLUDES TAXES						
	PAID TO A FOREIGN GOVERNMENT						
	A PORTION OF THIS INCOME HAS						
	BEEN RECLASSIFIED						
150001600		21.82					
150001700	. 1	104,40					
	COMPOSITE PORTFOLIO						
	A PORTION OF THIS INCOME HAS					-	
1000100		33.63	3				
100000000		207.17	23-04				
	A PORTION OF THIS INCOME HAS						
	BEEN RECLASSIFIED						
150001900	0 78464A369000	18.76	4.56				
	SECURITIES ETF						
	A PORTION OF THIS INCOME HAS						
	BEEN RECLASSIFIED						
150002000	- 1	9.47					
	FUND						
Totais		\$ 374.71	\$ 112.91				
		-					
The for	The lotal of these two categories equals the amount reported in Form 1099-DIV, Box 1a.	reported in Form 1099-DIV. Box	r do				
	nde:						

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Please note:

* "Dividends" includes both Qualified and non-qualified dividends received.

* "Total capital gain distributions" is a total of long term capital gain distributions and the amounts reported in Boxes 2b through 2d.

MorganStanley SmithBarney

Ref: 00004960 00043478

2011 Year End Summary

J HOWARD COBLE

Account Number

Totals Reference number The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received. 150001700 150001500 150000400 Details of 1099 Reported Dividends and Distributions 2011, Boxes 3 - 9 50000100 A POSTION OF THIS INCOME HAS COMPOSITE PORTFOLIO PAID TO A FOREIGN GOVERNMENT 337331103000 BEEN RECLASSIFIED 27829N 302000 BEEN RECLASSIFIED THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT 739360,207000 A PORTION OF THIS INCOME HAS DIVERSIFIED EQUITY INCOME FD EATOM VANCE TAX-MANAGED BEEN RECLASSIFIED POWERSHARES CE# INCOME A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED FIRST TR ISE GLOBAL COPPER A PORTION OF THIS INCOME HAS THIS AMOUNT INCLUDES TAXES OWERSHARES DWA EMERGING MKTS NDEX FD Nondividend distributions (Box 3) \$ 61.24 5.54 4 Federal income tax withheld (Box 4) hyvestment expenses (Box 5) Foreign tax paid (Box 6) \$ 6.60 50 <u>د</u> 44 Cash liquidation distributions (Box 8) Non cash liquidation distributions (Box 9) **イカレミミこ**の DZM z > m <

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2011 Year End Summary

J HOWARD COBLE
Account Number

	(10.96)	176.09 44.19	132.94 38.23	10/20/11	07/08/11	FIRST FR EXCH TRADED FD FIRST TR AMEX BIOTECHNOLOGY INDX FD	~ 4	125000080
						acted as your agent		
						MorganStanley SmithBarney LLC		
	(10.70)	44.02	33.32		11/06/30	TR AMEX BIOTECHNOLOGY INDX FD		
	[51,43]	218.02	166,59	10/19/11	05/23/\$1	FIRST TR EXCH TRADED FD FIRST	យា	125000070
63.01		328.77	391.78		08/21/10		ಪ	
26.42		94,12	120.54		08/20/10	acted as your agent	4	
32.30		118.38	150.68		09/19/10	MorganStanley SmithBarney LLC	G I	
25.54		95.00	120.54		08,18,80	ALPHADEX FUND	4.	
48.12		192.97	241.09	02/11/11	08/11/39	FIRST TRUST MULTI CAP VALUE	\$	125000060
						in this transaction.		
8.16		90.21	38,37		11/10/60	acted as your agent	Φ	
2.19		98.17	38		09/01/11	MorganStanley SmithBarney LLC	¢n	
2.11		47.07	49, 88	10/11/11	08/18/11	BOOZ ALLEN HAMIL TON HOLDING CP	ω	125000050
						in this transaction.		
						acted as your agent		
						MorganStaniey SmithBarney LLC		
122		47.07	48.29	10/10/11	08/18/15	BDOZ ALLEN HAMIL TON HOLDING CP	w	125000040
						in this transaction.		
						acced as your agent		
						MorganStanley SmithBarney LLC		
.33		109.84	310.17	10/07/11	08/18/11	BOOZ ALLEN HAMILTON HOLDING CP	7	125000030
						in this transaction.		
						acted as your agent		
						MorganStanley SmithBarney LLC		
	(5.96)	95.43	89,47	09/30/11	08,09/11	BDOZ ALLEN HAMILTON HOLDING CP	Ċn:	129000020
						in this transaction.		
						acted as your agent		
						MorganStanley SmithBarney LLC		
\$ 5.66		\$ 95,43	\$ 101.08	08/26/11	11/60/80	BOOZ ALLEN HAMILTON HOLDING CP	¢n.	125000010
Gain	(Loss)	Cost	Sale Propeds	Closing ⊺rade Date	Opening Trade Date	Security Description	Quantity	Reference number
		this material is k advisor.	section. Please note, assistance of your tax	il is included in this varetion without the	3 (if applicable), used for tax prep	although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.	ash in lieu les ared for infor	lihough ca eing prepa
	In addition	nombbe of bevieness even verm	lon eucoui penuose A	ue sepritore diunio.	Sale Proceeds" o	This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income your	TOWS FOR	his section
177 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1	** *** *** *** *** *** *** *** *** ***					TAX NECESSARY CONTRACTOR	2 N 1 N 1 N 1 N 1 N 1 N 1 N 1 N 1 N 1 N

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Ref: 00004960 00043480

J HOWARD COBLE
Account Number

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
129000090	4	FIRST TR EXCH TRADED FD FIRST	07,08/11	10/20/11	\$ 132.93	* 176.75	(\$43.82)	
		MorganStanley SmithBarney LLC						
		acted as your agent						
125000100	., 4	FIRST TR EXCH TRADED FD FIRST	07/08/11	10/21/11	34,45	44,19	(9.74)	
	ω	TR AMEX BIOTECHNOLOGY INDX FD	07/11/11		103.34	131.26	(27.92)	
	N	MorganStanley SmithBarney LLC	07/12/11		88.80	96.50	(17.60)	
	N	acted as your agent	07/20/11		නුස	85.39	(16.50)	
125000110	ជា	FIRST TR EXCH TRADED FO FIRST	07/20/11	10/24/11	174.99	213.46	(38.47)	
		TR AMEX BIOTECHNOLOGY INDX FD	07/26/11		36 ,03	42.25	(7.25)	
		MorganStanley SmithBarney LLC	08/02/11		36,0 0	38.86	(3.88)	
	1	acted as your agent	11/30/60		35.00	35.09	(:09)	
125000170	ម	FIRST TRUST BICK INDEX FUND	09/21/10	02/10/11	91.22	92.04	(.82)	
	ω	MorganStanley SmithBarney LLC	09/28/10		91.22	92.97	(1.75)	
	N	acted as your agent	11/12/10		60.82	66.20	(6.38)	
		in this transaction.						
125000180	2	FIRST TRUST BICK INDEX FUND	08/21/10	02/10/11	60.81	61.36	(.56)	
	4	MorganStanley SmithBarney LLC	09/21/10		121.63	122.72	(1.09)	
		acted as your agent in this transaction.						
125000190	5	FIRST TRUST BICK INDEX FUND	09/21/10	02/10/11	152.03	153,40	(1.37)	
		MorganStartley SmithBarney LLC						
		acted as your agent						
		an enis transacaon.	4444	2	3	25.45	3	
128000200	., (THESE EXCELLENCE FORD	10/12/10	W/10/10	30.45	38.20	(205)	
	to	MorganStanley SmithBarney LLC	12/15/10		243.59	254.50	(10.91)	
		aced as your agent in this transaction.						
125000210	3	FIRST TR ISE GLOBAL COPPER	03/23/11	06/30/11	126.20	126.76	(.56)	
	2	NDEX FO	03/23/11		84.14 14	84.51	(.37)	
	ω	MorganStanley SmithBarney LLC	03/29/11		126,20	128.67	(2,47)	
		acted as your agent						
125000220	2	FIRST TR ISE GLOBAL COPPER	03/29/11	11/15/170	94.45	85.78	(1.33)	
		INDEX FD	04/12/11		42.23	44.50	(2.27)	
		MorganStanley SmithBarney LLC						
		arted as writer accept						

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25000340

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FIRST TR ISE REVERE NAT GAS

08/18/11

10/05/11

112.16

acted as your agent

MorganStanley SmithBarney LLC

NDEX FUND

MorganStanley SmithBarney LLC

125000330

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FIRST FR ISE REVERE NAT GAS

08/18/11

09/30/11

47.92

8.16)

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acted as your agent

MorganStanley SmithBarney LEC

MDEX FUND

25000320

FIRST TRUST S&P REIT INDEX

06/16/10

05/17/18

13.56

2.46

acted as your agent

MorganStacley SmithBarney LEC

FIRST TRUST S&P REIT INDEX

06/16/18

05/11/11

13.56

2.68

Reference number

Quantity

Security Description

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25000250

FIRST TR ISE GLOBAL COPPER

05/11/11 06/08/11 06/15/11

08/02/11

41,17 82,34

41.91 79.84 231.42

47

2.50 15.61

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9.77

MorganStanley SmithBarney LEC

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FIRST TR ISE GLOBAL COPPER MorganStanley SmithBarney LLC FIRST TR ISE GLOBAL COPPER

NDEX FO

MorganStanley SmithBarney LEC

125000300

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FIRST TRUST S&P REIT INDEX

06/16/16

31/18/80

232.57

203.43

29.14

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acted as your agent

MorganStanley SmithBarney LLC

MorganStanley SmithBarney LLC

FIRST TRUST S&P REIT INDEX

06/16/10

104.71

16.76

Ref: 00004960 00043481

Account Number

04/12/11 04/12/11 07/25/11 07/20/11 Sale Proceeds \$ 300.84 391,49 1311.49 400,4B Cost (* 10.65)

(Loss)

N

8 99

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J HOWARD COBLE
Account Number 2011 Year End Summary

2 FRIST TR ISE REVERIE NAT GAS NOCADITI 0007/11 0 02.22 0 07.28 (6.5.06) ANGEN PUND ANG	Reference number Q	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
### ### ### ### ### ### ### ### ### ##		2 .	FIRST TR ISE REVERE NATIGAS	09/18/11	10/07/11	\$ 32.32	\$37.38	(\$ 5.06)	
MicrogenStanlary Stanlar Sanda San		ω	INDEX FUND	33 /06/80		48.47	57.68	(9.21)	
### BACT TRUST ERECRAT CAS 08/30/11 10/11/11 173.09 192.26 (21.77) ###################################			MorganStanley SmithBarney LLC						
PERST TRY ISE REVERE NAT GAS 08/30/11 10/11/11 171.09 192.26 (21.7) Morgan/Sbarley SmirkBarney LLC seed as your again 10 FIRST TRY ISE REVERE NAT GAS 08/30/11 10/12/11 172.00 192.26 (20.26) MOEX FUND Morgan/Sbarley SmirkBarney LLC Sacret as your again 11 FIRST TRY ISE REVERE NAT GAS 08/30/11 08/30/11 116.47 116.47 119.57 (28.9) 4 Morgan/Sbarley SmirkBarney LLC 03/30/11 08/30/11 18.00 192.26 (20.26) (20.26			acted as your agent						
NODEX FUND MorganStandey SmittiBarney LLC Sada Se your agent	03600	ö	FIRST TR ISE REVERE NAT GAS	08/30/11	10/11/11	171.09	192.26	[21.17]	
Mogan/Starley SmitlBarney LLC			INDEX FUND						
10 FIRST TRUST ENERGY 09/30/11 172.00 192.26 120.26 100.00			MorganStanley SmithBarney LLC						
10			acted as your agent						
MorganStanley SmithBarney LLC Acted as your agent	00370	Ö	FIRST TR ISE REVERE NAT GAS	11.05.80	10/12/11	172.00	192.26	[20.26]	
MorganStanley SmithBarney LLC			INDEX FUND						
### Acted as your agent 5 FIRST TRUST ENERGY 09/29/11 09/29/11 159.79 119.95 (2.89) 4 MorganStanley SmithBarney LLC 09/29/11 09/28/11 199.09 271.45 (72.39) 4 MorganStanley SmithBarney LLC 09/30/11 09/28/11 199.09 271.45 (5.53) 4 PHADEK FUND MorganStanley SmithBarney LLC 174 174 175.75 175.29 4 PHADEX FUND MorganStanley SmithBarney LLC 174 175.75 175.29 4 PHADEX FUND 09/30/11 10/05/11 175.76 224.18 173.42) 5 PHRST TRUST ENERGY 09/30/11 10/05/11 175.76 224.18 173.42) 6 PHRST TRUST ENERGY 09/30/11 10/07/11 175.76 224.18 173.42) 7 PHRST TRUST ENERGY 09/10/11 10/07/11 175.76 175.42 185.85 (33.43) 7 PHRST TRUST ENERGY 09/10/11 10/07/11 175.76 185.85 (43.43) 7 PHRST TRUST ENERGY 09/10/11 10/10/11 175.76 185.85 (43.43) 8 PHRST TRUST ENERGY 05/11/11 10/10/11 175.75 346.88 (66.91) 8 PHRST TRUST ENERGY 05/11/11 10/18/11 279.97 346.88 (66.91) 8 PHRST TRUST ENERGY 05/11/11 10/18/11 279.97 346.88 (66.91) 8 PHRST TRUST ENERGY 176.76 289.94 8 ALPHADEK FUND 05/11/11 10/18/11 279.97 346.88 (66.91) 8 PHRST TRUST ENERGY 176.76 289.94 8 ALPHADEK FUND 176.76 289.96 8 ALPHADEK FUND 176.76 289.96 9 ALPHADEK FUND 176.76 9 ALPHADEK FU			MorganStanley SmithBarney LLC						
### ### ### ### ### ### ### ### ### ##			acted as your agent						
4 McG and Standey SmintBarney LLC 03/30/11 03/23/11 99.09 271.45 (72.36) 4 Morg and Standey SmintBarney LLC 03/30/11 03/30/11 99.09 271.45 (72.36) 4 Morg and Standey SmintBarney LLC 03/30/11 03/30/11 99.09 271.45 (72.36) 4 PRST TRUST ENERGY 03/30/11 03/30/11 10/05/11 190.05/1 1448.07 (72.36) 4 PRST TRUST ENERGY 03/30/11 10/05	X00400	며	FIRST TRUST ENERGY	03/23/11	11,06:30	116.47	119.36	(2.89)	
4 MorganStanley SmithBarney LLC 09/30/11 09/28/11 199.09 271.45 (5.53) Actached as your againt 11 FIRST TRUST ENERGY ALPHADEX FUND NorganStanley SmithBarney LLC actach as your againt 6 FIRST TRUST ENERGY ALPHADEX FUND NorganStanley SmithBarney LLC actach as your againt 7 FIRST TRUST ENERGY ALPHADEX FUND NorganStanley SmithBarney LLC actach as your againt 15 FIRST TRUST ENERGY O6/12/11 10/07/11 120.42 183.85 (43.43) ALPHADEX FUND NorganStanley SmithBarney LLC actach as your againt 16 FIRST TRUST ENERGY O6/11/11 10/18/11 279.97 346.88 (66.91) ALPHADEX FUND NorganStanley SmithBarney LLC actach as your againt 17 FIRST TRUST ENERGY O6/11/11 10/18/11 279.97 346.88 (66.91) ALPHADEX FUND NorganStanley SmithBarney LLC actach as your againt 18 ALPHADEX FUND NorganStanley SmithBarney LLC O1/28/11 10/18/11 279.97 346.88 (66.91) ALPHADEX FUND NorganStanley SmithBarney LLC O1/28/11 10/18/11 249.69 419.92		ω	ALPHADEX FUND	09/23/11		69.88	71.62	(1.74)	
### STYRUST ENERGY 03/30/11 03/30/11 199.09 271.45 (72.36) ####################################		۵	MorganStanley SmithBarney LLC	03/30/11		93.18	98.71	(5.53)	
11			acted as your agent						
ALPHADEX FUND Morgan/Sharley SmithBarney LLC acted as your agent 6 FIRST TRUST ENERGY 03/30/11 150.75 120.42 188 (73.42) 9 ALPHADEX FUND 6 Morgan/Stanley SmithBarney LLC 04/12/11 10/07/11 120.42 183.85 (43.43) 7 FIRST TRUST ENERGY 04/12/11 10/07/11 120.42 183.85 (43.43) 7 ALPHADEX FUND Morgan/Stanley SmithBarney LLC 205/11/11 10/18/11 279.97 346.88 (41.46) 15 FIRST TRUST ENERGY 05/11/11 10/18/11 279.97 346.88 (66.91) ALPHADEX FUND Norgan/Stanley SmithBarney LLC 2/15/10 05/11/11 547.75 448.08 8 FIRST TRUST HEALTH CARE 12/05/70 05/11/11 488.89 419.92	00410	11	FIRST TRUST ENERGY	11,06/60	09/28/11	60.661	271.45	[72.36)	
MorganStanley SmithBarney LLC			ALPHADEX FUND						
### Acted as your agent 100.51 100.51 148.07 148.07 147.56) #### ALPHADEX FUNID 03/30/11 10/05/11 150.76 224.18 173.42) #### ALPHADEX FUNID 03/31/11 10/07/11 120.42 183.85 (43.43) #### ALPHADEX FUNID 05/11/11 10/07/11 120.42 181.88 (43.43) #### ALPHADEX FUNID 05/11/11 10/18/11 120.42 181.88 (41.46) #### ALPHADEX FUNID 05/11/11 10/18/11 279.97 346.88 (66.91) #### ALPHADEX FUNID 12/06/10 05/11/11 547.75 448.08 #### ALPHADEX FUNID 12/08/11 10/18/11 547.75 448.08 419.92 ###################################			MorganStanley SmithBarney LLC						
6 FIRST TRUST ENERGY 03:30/11 10/05/11 100.51 148.07 (47.56) 9 AL PHADEX FUND 03:31/11 150.76 224.18 (73.42) 6 MorganStanley SmithBarney LLC 04/12/11 10/07/11 120.42 18.385 (38.94) 7 FIRST TRUST ENERGY 04/12/11 10/07/11 120.42 18.385 (43.43) 7 AL PHADEX FUND 05/11/11 10/18/11 27.9.27 18.385 (43.43) MorganStanley SmithBarney LLC acted as your agent 7 FIRST TRUST ENERGY 05/11/11 10/18/11 27.9.97 346.88 (66.91) MorganStanley SmithBarney LLC acted as your agent 7 FIRST TRUST ENERGY 05/11/11 10/18/11 27.9.97 346.88 (66.91) AL PHADEX FUND 05/11/11 10/18/11 27.9.97 346.88 (66.91) B FIRST TRUST HEALTH CARE 12/06/19 05/11/11 547.75 448.08 205.35 419.92			acted as your agent						
### ALPHADEX FUND 03/31/11 150.76 224.18 (73.42) ####################################	XXX420	ф	FIRST TRUST ENERGY	11/06/80	10,05,/11	100.51	148.07	[47.56]	
6 MorganStanley SmithBarney LLC 04/12/11 10/07/11 120,42 183.85 (43.43) 7 FIRST FRUST ENERGY 04/12/11 10/07/11 120,42 183.85 (43.43) 7 ALPHADEX FUND 05/11/11 120,42 181.98 (41.46) MorganStanley SmithBarney LLC 279.97 346.88 (41.46) MorganStanley SmithBarney LLC 279.97 346.88 (66.91) ALPHADEX FUND NorganStanley SmithBarney LLC 279.97 346.88 (66.91) 15 FIRST TRUST ENERGY 05/11/11 10/18/11 279.97 346.88 (66.91) ALPHADEX FUND 12/16/10 05/11/11 547.75 480.8 ALPHADEX FUND 12/16/10 05/11/11 543.45 205.35 ALPHADEX FUND 12/16/10 05/11/11 543.45 205.35 ALPHADEX FUND 12/16/10 05/11/11 543.45 205.35		9	ALPHADEX FUND	09/31/11		150.76	224.18	(73.42)	
### ### ### ### ######################		ф	MorganStanley SmithBarney LLC	04/12/11		100.51	140.45	(39.94)	
7 FIRST TRUST ENERGY 04/12/11 10/07/11 120.42 183.85 (43.43) 7 ALPHADEX FUND 05/11/11 120A2 181.88 (41.46) MorganStanley SmithBarney LLC acted as your agent 15 FIRST TRUST ENERGY 05/11/11 10/18/11 279.97 346.88 (66.91) ALPHADEX FUND NorganStanley SmithBarney LLC acted as your agent 18 FIRST TRUST HEALTH CARE 12/06/10 05/11/11 547.75 480.8 (66.91) B ALPHADEX FUND 12/16/10 05/11/11 547.75 480.8 (66.91) 16 MorganStanley SmithBarney LLC 01/28/11 486.89 419.92			acted as your agent			***************************************	skeledensensenkerkiddikkelessifikkiladiskeledeskeledeskar		
7 ALPHADEX FUND 05/11/11 120A2 151.88 (41A6) MorganStanley SmithBarney LLC acted as your agent 15 FIRST TRUST ENERGY 05/11/11 10/18/11 279.97 346.88 (66.91) ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent 18 FIRST TRUST HEALTH CARE 12/06/10 05/11/11 547.75 448.08 ALPHADEX FUND 12/16/10 05/11/11 547.75 448.08 MorganStanley SmithBarney LLC 01/28/11 486.89 419.92	00430	7	FIRST TRUST ENERGY	04/12/11	10,07/11	120.42	183.85	(43.43)	
MorganStanley SmithBarney LLC acred as your agent 10/18/11 10/18/11 279.97 346.88 /66.91)		7	ALPHADEX FUND	05/11/11		120,42	161.BB	(41.46)	
## ## ## ## ## ## ## ## ## ## ## ## ##			MorganStanley SmithBarney LLC						
## FIRST TRUST ENERGY 05/11/11 10/18/11 279.97 346.88 (66.91) ALPHADEX FUND MorganStanley SmithBarney LLC acted as your agent ### FIRST TRUST HEALTH CARE 12/06/10 05/11/11 547.75 448.08 ### ALPHADEX FUND ### 12/15/10 05/11/11 243.45 205.35 ### MorganStanley SmithBarney LLC 01/28/11 486.89 419.92			acted as your agent						
ALPHADEX FUND MorganStaniay SmithBarney LLC acted as your agent 18 FIRST TRUST HEALTH CARE 12/06/10 12/15/10 13/15/10 148.69 12/15/20 12/15/20 13/15/10 13/15/20 13/	200440	럙	FIRST TRUST ENERGY	05/11/11	10/18/11	279.97	346.88	(66.91)	
MorganStanley SmithBarney LLC acted as your agent 12/06/k0 05/11/11 547.75 448.08 18 FIRST TRUST HEALTH CARE 12/06/k0 05/11/11 547.75 420.08 8 ALPHADEX FUND 12/15/10 243.45 205.35 16 MorganStanley SmithBarney LLC 01/28/11 486.69 419.92			ALPHADEX FUND						
acted as your agent 18 FIRST TRUST HEALTH CARE 12/06/K0 05/11/11 547.75 448.08 B ALPHADEX FUND 12/15/10 243.45 205.35 16 MorganStaniay SmithBarney LLC 01/28/11 486.69 419.92			MorganStanley SmithBarney LLC						
18 FIRST TRUST HEALTH CARE 12/06/K0 05/11/11 547.75 448.08 B ALPHADEX FUND 12/15/10 243.45 205.35 16 MorganStanley SmithBarney LLC 01/28/11 486.89 419.92			acted as your agent						
B ALPHADEX FUND 12/15/10 243-45 205.35 16 MorganStanley SmithBarney LLC 01/28/11 486.89 419.92	00450	₩	FIRST TRUST HEALTH CARE	12/06/10	05/11/11	547.75	448.DB		99.67
MorganStanley SmithBarney LLC 01/28/11 486.89 419.92		₩	ALPHADEX FUND	12/15/10		243.45	205.35		38. YO
		ಕ	MorganStanley SmithBarney LLC	01/28/11		486.89	419.92		66.97

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Ref: 00004960 00043483

MorganStanley SmithBarney

2011 Year End Summary

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J HOWARD COBLE

Account Number

Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
1	FIRST TRUST HEALTH CARE	01/28/11	05/17/11	\$ 30.16	\$ 26.25		\$ 3.91
	MorganStanley SmithBarney LLC						
	acted as your agent						
រោ	FIRST TRUST TECHNOLOGY	11/11/10	10,05/11	93.39	104.37	(86.04)	
-	ALPHADEX FUND	06/15/11		18.67	22.61	(3.94)	
	MorgenStanley SmithBarney LLC						
	acted as your agent						
N	FIRST TRUST TECHNOLOGY	06/15/11	10,07/11	38.60	45.23	(6.63)	
¢ħ	ALPHADEX FUND	07/08/11		115.79	143.92	(28.13)	
	MorganStanley SmithBarney LLC	11/10/80		19,30	21.71	(2.41)	
	acted as your agent						
	ISHARES SILVER TRUST	05:02/11	07/15/11	37.53	43.86	(5.93)	
	arted as your agent						
	an this transaction.						
2	ISHARES SILVER TRUST	05/02/11	07/22/11	78.24	87.71	(9.47)	
	MorganStanley SmithBarney LLC						
	acted as your agent						
٨	ISHARES SILVER TRUST	05/09/11	07/26/11	158.44	162.17	(3.73)	
ψ	MorganStanley SmithBarney LLC	05/05/11		119.83	105.50		13.23
.	acted as your agent	05/05/11		38.60	35.20		4,45
	in this transaction.						
2	ISHARES SILVER TRUST	05/02/11	07/26/11	79.22	87.71	(8.49)	
ω	MorganStanley SmithBarney LLC	05/03/11		118.82	121.63	(2.81)	
	acted as your agent						
	in tols transactor.						
***	ISHARES SILVER TRUST	05/05/11	08/02/11	39.57	35.20		4.37
မ	MorganStanley SmithBarney LLC	05/11/11		118.70	105,44		13.26
9	acted as your agent	05,17/11		355.08	292.01		64.07
	in this transaction.						
-	ISHARES IBOXX & INVESTOP	03/04/10	02/11/11	307.59	105.73		1.86
	INVESTMENT GRADE CORP FD FD						
	MorganStapley SmithBarney LLC						
	acted ac unit anant						
	Quantity 1			Opening Trade Date 01/28/11 11/11/6 06/15/11 06/15/11 06/02/11 05/02/11 05/02/11 05/02/11 05/02/11 05/02/11 05/02/11 05/02/11 05/02/11 05/02/11 05/02/11 05/02/11 05/02/11 05/02/11 05/02/11 05/02/11 05/02/11 05/02/11	Opening Trade Date Closing Trade Date Sale Proceeds 01/28/11 05/17/11 \$ 30.16 11/11/K0 10/05/11 \$ 30.16 06/15/11 10/05/11 \$ 30.16 06/15/11 10/05/11 \$ 30.39 06/15/11 10/07/11 \$ 38.60 07/08/11 115.79 \$ 38.60 05/02/11 07/15/11 \$ 37.93 05/02/11 07/15/11 \$ 37.93 05/02/11 07/28/11 \$ 37.93 05/03/11 07/28/11 \$ 37.93 05/03/11 07/28/11 \$ 37.93 05/03/11 07/28/11 \$ 39.60 05/03/11 07/28/11 \$ 39.60 05/03/11 07/28/11 \$ 39.60 05/03/11 05/03/11 \$ 39.60 05/03/11 05/03/11 \$ 39.57 05/03/11 05/03/11 \$ 39.57 05/03/11 05/03/11 \$ 39.57 05/03/11 05/03/11 \$ 39.57 05/03/11 05/03/11 \$ 39.57	Opening Trade Date Closing Trade Date Sale Proceeds 01/28/11 05/17/11 \$ 30.16 11/11/K0 10/05/11 \$ 30.16 06/15/11 10/05/11 \$ 30.16 06/15/11 10/05/11 \$ 30.39 06/15/11 10/07/11 \$ 38.60 07/08/11 115.79 \$ 38.60 05/02/11 07/15/11 \$ 37.93 05/02/11 07/15/11 \$ 37.93 05/02/11 07/28/11 \$ 37.93 05/03/11 07/28/11 \$ 37.93 05/03/11 07/28/11 \$ 37.93 05/03/11 07/28/11 \$ 39.60 05/03/11 07/28/11 \$ 39.60 05/03/11 07/28/11 \$ 39.60 05/03/11 05/03/11 \$ 39.60 05/03/11 05/03/11 \$ 39.57 05/03/11 05/03/11 \$ 39.57 05/03/11 05/03/11 \$ 39.57 05/03/11 05/03/11 \$ 39.57 05/03/11 05/03/11 \$ 39.57	Opening Trade Date Closing Trade Date Sale Proceeds Cost (01/28/11 05/17/11 \$30.16 \$2.25 01/28/11 05/17/11 \$30.16 \$2.25 01/28/11 05/17/11 \$30.16 \$2.25 05/15/11 10/07/11 \$3.39 10A.37 05/15/11 10/07/11 \$35.39 10A.37 05/02/11 07/15/11 \$37.23 45.23 05/02/11 07/15/11 37.23 43.86 05/02/11 07/25/11 37.24 87.71 05/02/11 07/25/11 158.44 162.17 05/02/11 07/25/11 39.60 35.20 05/02/11 07/25/11 39.60 35.20 05/03/11 07/25/11 39.57 35.20 05/03/11 08/02/11 39.57 35.20 05/03/11 08/02/11 118.92 121.53 05/03/11 08/02/11 39.57 35.20 05/03/11 08/03/11 38.60 36.00

125000860

125000850

125000840

Reference number

125000760

125000790

25000800

Ref: 00004960 00043484

25000810

125000820

2011 Year End Summary

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Account Number J HOWARD COBLE

Datails of Short Term Celin (Loss) 2011, continued Quantity _ 8 <u>ت</u>ا ∾ಥೆ ಪ MorganStanley SmithBarney LLC REVENUESHARES ADR FUND POWERSHARES FTSE RAFI POWERSHARES CEF INCOME Security Description MorganStanley SmithBarney LLC n this transaction. MorganStanley SmithBarney LLC in this transaction. MorganStanley SmithBarney LLC REVENUESHARES ADR FUND in this transaction. MorganStanley SmithBarney LLC DEVELOPED MKTS POWERSHARES S&P BK LN PORT ETF sched as your agent acted as your agent acted as your agent MorganStanley SmithBarney LLC MorganStanley SmithBarney LLC scled as your agent MorganStanley SmithBarney LLC COMPOSITE PORTFOLIO MorganStanley SmithBarney LLC cted as your agent ected as your agent ected as your agent scred as your agent 08/03/10 12/15/70 06/16/10 31,790,80 02/11/11 02/11/11 02/11/11 11/16/16 Closing Trade Date 08/23/11 07/22/11 08/15/11 11/11/80 08/05/11 08/04/11 05/17/11 22/11/15 11,/09/11 Sale Proceeds **\$** 313.03 34.97 524.54 911.36 41.43 207.29 585,99 78,13 134.51 172.99 130.09 **\$316.23** 37.54 607.20 497.83 71.35 812.16 35.06 **16**1.92 242.88 161.92 202,40 124,85 Cost (\$ 3.20) (28.69) $\{27.41\}$ (2.57) (82.66) (95.59)(29.41)(Loss) 88.16 6.78 99,20 Seg. 5.24 イカマミミこの $\mathbf{Z} \mathbf{m}$ П

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25000900

SPOR BARCLAYS CAPITAL CONV MorganStanley SmithBarney LLC SPDR BARCLAYS CAPITAL CONV

02/15/11

11/31/80

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(10.38)

SECURITIES ETF acted as your agent SECURITIES ETF acted as your agent

MorganStanley SmithBarney LLC

25000890

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125000880

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SECURITIES ETF

SPDR BARCLAYS CAPITAL CONV

MorganStanley SmithBarney LLC

09/23/10 02/14/11

231.88 115.94

194.33 232.68 127.64

(11.70) (108.) (100.)

(15.66)

193.24

09/21/80

08/04/11

02/14/11

CB/05/11

111.97

in this transaction

acted as your agent

REVENUESHARES ADR FUND

Security Description

MorgenStepley SmithBarney LLC

05/11/11
06/02/11

02/11/15

08/23/11

\$ 33.10

\$40.48

(# 7.38)

(7.08) (3.73)

(Loss)

Cost

40.18 36.82

33, 10 33,09

Closing Trade Dale

25000910

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SPDR BARCLAYS CAPITAL CONV

02/15/11

08/23/11

182,74

212.86

(30.12)

SECURITIES ETF acted as your agent

MorganStanley SmithBarney LLC

125000920

SPOR BARCLAYS CAPITAL CONV

02/15/11

08/23/11

146.19

170.29

[24,10]

14,610.24

15,037.05

(# 1,219.63)

1792.82

MorganStapley SmithBarney LLC

Delets of Short Listin Gain (Loss) 2011, continued

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Account Number

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MorganStanley SmithBarney

2011 Year End Summary

J HOWARD COBLE

Account Number

						MorganStanley SmithBarney LLC		
34.98		116.73	351.73	CS/22/11	04/90/10	FIRST TRUST S&P REIT INDEX	ಕ	125000280
						MorganStanley SmithBarney LLC acted as your agent		•
41.96		151.74	193,70	11/31/00	01/06/10	FIRST TRUST S&P REIT INDEX	ថ	125000270
						MorganStanley SmithBarney LLC acted as your agent		
45,18		183.42	206.60	03/16/11	01/06/10	FIRST TRUST S&P REIT INDEX	2	125000260
						TR DOW JONES INTERNET INDX FD MorganStanley SmithBarney LLC acted as your agent		
203.61		125.60	329.21	07/15/11	03/16/09	FIRST TR EXCH TRADED FO FIRST	9	125000160
18.16		35.82	14/.83		03/16/08	IR DOW JONES IN LEME! (NDX FD) MorganStanley SmithBarney LLC acted as your agent	4	
22.72		14,19	36.91	07/12/11	09/13/06	FIRST TR EXCH TRADED FD FIRST	_	125000150
81.79		56.76	138.55	03/22/11	03/13/09	FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD MorganStanley SmithBarney LLC acted as your agent	0 4	125000140
100.63		70.37	171.00	03/16/11	02/26/09	FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD MorganStanley SmithBarney LLC acted as your agent	5	125000130
\$40.25 80.04		\$28.15 56.76	\$ 68.40 136.80	03/16/11	02/26/09	FIRST TR EXCH TRADED FD FIRST TR DOW JONES INTERNET INDX FD MorganStanley SmithBarney LLC acted as your agent	5 در ه	125000120
Gain	(Loss)	Cost	Sale	Closing Trade Date	Opening Trade Date	Security Description	ce Quantity	Reference
	t may have received. In addition. this material is x advisor.	ou may have rece e, this material is tax advisor.	y accrued income y section. Please nob assistance of your y	olumn excludes an I is included in this a ration without the	"Sale Proceeds" (B (if applicable), i used for tax prep	This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax	ction shows you h cash in lieu lea repared for infor	his sec though sing po

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J HOWARD COBLE
Account Number

125000290 12	FIRST TRUST S&P REIT MOEX	01/06/10	2000			
	70	1	CS/ZS/TT	\$ 179.51	\$ 140.67	* 39,44
	MorganStanley SmithBarney LLC					
	acted as your agent					
125000380 9	PIRST TRUST CONSUMER	04/22/08	03/23/11	180.90	96.10	84.80
	MorganStanley SmithBarney LLC acted as your agent					
125000390 9	FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FD	04/22/09	03/25/11	187.91	96. YO	91,81
	MorganStanley SmithBarney LLC acted as your agent					
125000470 5	FIRST TRUST MATERIALS	12/24/08	05/11/11	128.58	56.35	72.23
	MorganStardey SmithBarney LLC					
125000480 19	FIRST TRUST MATERIALS	12/24/08	05/17/11	472.28	214.34	258.14
	ALPHADEX FUND NorganStanley SmithBarney LLC					
	acted as your agent					
125000490 8	FIRST TRUST MATERIALS ALPHADEX FUND	12/24/08	06/08/11	394,68	90.16	104.52
	MorganStanley SmithBarney Lt.C acted as your agent					
125000500 9	FIRST TRUST MATERIALS	12/24/08	06/15/11	215.33	101.4 4	98.EU
m#	ALPHADEX FUND	01/02/09		23.93	12.39	11.54
	acted as your agent					
125000510 2	FIRST TRUST MATERIALS	01/02/09	11/21/60	41.47	24.78	16.69
	At PRAJEX FUND MorganStanley SmithBarney LLC					
	acted as your agent					
125000520 6	FIRST TRUST MATERIALS ALPHADEX FUND	01/02/09	11,03/11	127.43	74.34	53.09
	MorganStanley SmithBarney LLC					

Ref: 00004950 00043488

2011 Year End Summary

J HOWARD COBLE
Account Number

Reference number	Quantity	Reference number Quantity Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cosl {	(Loss)	Gain
129000530	9	FIRST TRUST TECHNOLOGY ALPHADEX FUND Macrona Straight Straight Trust	07/30/09	05/11/11	\$ 227.01	\$ 132.F3		\$ 94.88
		acted as your agent						
125000540	14	FIRST TRUST TECHNOLOGY	07/30/0 9	09/07/11	269.30	205.53		63.77
	Ç7	ALPHADEX FUND	09/16/09		115,42	96.54		18.88
		MorganStaniey SmithBarney LLC acted as your agent						
25000550	ö	FIRST TRUST TECHNOLOGY ALPHADEX FUND	09/16/09	09/08/11	192.30	160.90		31.40
		MorganStanley SmithBarney LLC acted as your agent						
125000560	13	FIRST TRUST TECHNOLOGY	09/16/09	09/12/18	208.67	176.99		31.68
		ALPHADEX FUND MorganStanley SmithBarney LLC						
de account	•		20120	200	82	25. 27		3
	(ALPHADEX FUND		1 2	\$ \$	6.70		i
		MorganStanley SmittBarney LLC acted as your agent						
125000580	라	FIRST TRUST TECHNOLOGY ALPHADEX FUND	09/16/09	09/27/11	218.58	176.99		41.59
		MorganStapley SmithBarney LLC						
125000590		FIRST TRUST TECHNOLOGY	09/15/09	09/30/11	38.44	16.09		2 35
	ω	ALPHADEX FUND	07/26/10		55.31	55.84	(.53)	!
		MorganStanley SmithBarney LLC						
125000600	7	FIRST TRUST TECHNOLOGY	07/26/10	10/05/11	130.75	130.30		.45
	***	ALPHADEX FUND	08,037,10		13 .58	18.43		.25
	on	MorganStanley SmithBarney LLC	08/11/10		93,39	38.46		4,93
		acted as your agent						
125000670	**	ISHARES IBOXX & INVESTOR	06/11/09	02/11/11	107.59	98.09		9.50
	٠ ٨٠	INVESTMENT GRADE CORP FD FD	06/18/09		215.17	196.89		18.28
					24.	100		}

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2011 Year End Summary

Account Number J HOWARD COBLE

Reference	Quantity	Reference Quantity Security Description	Opening Trade Date	Closing Trade Dale	Sæle Proceeds	Cost (Loss) Gain	(Loss)	Gain
125000680	2	ISHARES IBOXX HIGH YLD CORP	08/17/09	05/17/11	\$ 184,44	\$ 159.61		\$ 24.83
		MorganStanley SmithBarney LLC acted as your agent						
125000690	746	ISHARES IBOXX HIGH YLD CORP	08/17/09	09/22/11	94,88	79.81		5.07
		MorganStanley SmithBarney LLC acted as your agent						
125000700	허	POWERSHARES DWA EMERGING MKTS	04/29/09	02/14/11	261.74	149.26		112.48
	65	MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/06/09		314.09	195.38		118.71
125000710	ä	POWERSHARES DWA EMERGING MKTS MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/06/09	02/15/11	244.63	151.96		92.67
125000720	4 .	POWERSHARES DWA EMERGING MKTS MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/06/09 07/21/09	02/16/11	246.19 17.59	151.96 12.14		94.23 5.45
125000730	7	POWERSHARES DWA EMERGING MXTS MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/21/08	05/11/11	131.06	85.02		48.04
125000740	\$F	POWERSHARES DWA EMERCING MKTS MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/21/09	07/22/11	97.59	60.72		36.87
123000750	ú	POWERSHARES DWA EMERGING MKTS MorgenStanley SmithBarney LLC acted as your agent in this transaction.	07/21/08	11,03/11	48.74	36.A4		12.30
125000770	N	POWERSHARES CEF INCOME COMPOSITE PORTFOLIO MorganStanley SmithBarney LLC acted as your agent	03/31/16	05/17/11	51.94	51.52R		.42

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MorganStanley SmithBarney

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2011 Year End Summary

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count Number	TOWARD COBLE
	177

						# 363.73					Total
		T AND MIGNIT SERVICES	EROM 10/01/11	10/21/13	220000400	96.83		ENVESTMENT AND MOMT SERVICES FROM 07/01/11 TO 08/30/11	PROMIDI/C	07/15/11	220000300
		F AND MIGNIT SERVICES	FROM 04/01/11	04/15/11	220000200	\$ 91.42		INVESTMENT AND MGMT SERVICES FROM 01/01/11 TO 03/31/11	FROM OUG	01/21/11	220000160 01/21/11
	Referral rumber		Description	Date	Reference	Amount	Referral number		Description	Date	Reference number
					year.	nt during the	your accoun	This section reflects any deposits or withdrawals made to your account during the year. Withdrawals	is any depi	on reflect als	This section Withdrawals
\$ \$ \$	\$900 \$\$00 \$\tau \tau \tau \tau \tau \tau \tau \tau	· · · · · · · · · · · · · · · · · · ·	******		· All Carlotte All	come	fication of inc	R The basis for this tax lot has been adjusted due to a reclassification of income	tax lot has	is for this I	R The bas
	(\$ 4.75)	\$ 5,921.04	8,428.03	.8							Total
	(4.22)	214.03	209.81		08/04/11	08,03/30	<u>8</u>	REVENUESMARES ADR FUND MorganStanley SmithBarney LLC acted as your agent in this transaction.	6 REN Mo acts in t		125000820
		218.77K	234,18			06/16/10	8	acted as your agent			
		403.98 K	418.31			05/13/10	95	MorganStanley SmithBarney LLC	16 Mo		
		25.88 K	26.02			04/27/10	2	COMPOSITE PORTFOLIO			
		180.78R	182.14		07/22/11	04/01/10	Ş.	POWERSHARES CEF INCOME	7 20		125000790
			and the second of the second o			W. Control of the Con		MorganStanley SmithBarney LLC acted as your agent	Mo		
		* 154.55 X	\$ 155.03	4	07/15/11	03/31/10	23	POWERSHARES CEF INCOME COMPOSITE PORTFOLIO	8 00 00 00		125000780
	(Loss)	Cost	Proceeds	קי	Trade Dale	Trade Date T	Jrao O	Security Description		Quantity	number