UNITED STATES HOUSE OF REPRESENTATIVES  2013 FINANCIAL DISCLOSURE STATEMENT  For Use by	Form A For Use by Members, Officers, and Employees	Page 1 of 11  CFC16 ACTYC NUSDECTE CENTER
Name: Nick Joe Rahall, II Daytime Telephone:	hone:	2015 JUN -3 PM 1: 25  M C.S. HOULE OF RUPRESCHIATIVES  (Office Use Only)
FILER X Member of or Candidate for State: WV STATUS U.S. House of Representatives District: 3rd	Officer or Employing Office:	
REPORT 2013 Annual (Due: May 15, 2014) Amendment	X Termination Date:	Date: 12/31/14
PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS		
A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? Or b. Make more than \$200 in uneamed income from any reportable asset during the reporting period?	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	arrangement with an the current calendar Yes No X
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction     Yes X No exceeding \$1,000 during the reporting period?	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?	slue from a single Yes No X
C. Did you or your spouse have "earned" income (e.g., sataries, honoraria, or pension/IRA distributions) of \$200 or more during the Yes No X	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	hild receive any totaling more than Yes No X
D. Did you, your spouse, or your dependent child have any reportable  Yes  X  No  No	Did any individual or organization make a delieu of paying you for a speech, appearance, or reporting period?	ization make a donation to charity in the Yes No X
E. Did you hold any reportable positions during the reporting period or in Yes X No	E CORR	ESPONDING SCHEDULE IF YOU ANSWER "YES"
IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION	- ANSWER EACH OF	THESE QUESTIONS
IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	eporting period? If you answered "yes" to this qu	estion, please contact Yes No X
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not this report details of such a trust that benefits you, your spouse, or dependent child?	be disclosed.	Have you excluded from Yes No X
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or tests for exemption? Do not answer 'yes' unless you have first consulted with the Committee on Ethics.	or liabilities of a spouse or dependent child because they meet all three	ise they meet all three Yes No X
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethical tests for exemption.		¥ <b>8</b>

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Nick Joe Rahall, II

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	brother	Beck	Inves	EGR		Examples:		more than \$1; we may be a contracted and provide a contracted provided provide	bank and interest	identify (a) each ass production of income a succeding \$1,000 at the succeding \$1,000 at the and (b) any other relationers that generated income during the year. Frovide complete name (do not use only ticker \$60 not use on	Asset
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		Beckley, WV - 1/2 with	nvestment Bldg & Land,	GR Industries, Inc	ABC Hedge Fund X	Simon & Schuster	Mega Corp. Stock	so, but, jist every financial institution where there is more than \$1,000 in interest bearing accounts. For ranial and other real properly hald for investment, provide a complete address or description, e.g., "rental properly," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second fromes and vacuation, homes (unless there was rental income during the reporting period); and any financial income during the reporting period); and any financial retirement program, including the Thrift Sevings Plan. If you have a privately-haded fund that is a Excepted Investment Fund, please check the "EIF box.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent while (DC), or jointly hald with anyone (JT), in the optional column on the far left.  For a detailed discussion of Schedule A requirements, please refer to the instruction booklest.	For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over	Identify (a) each asset held for investment or production of income and with a fair market value accepting \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income furing the year.  Provide complete names of stocks and mulual funds (do not use only licker symbols).  For all IRAs and other retirement plans (such as 401(it) blans) provide the value for each asset held in the account that exceeds the reporting thresholds.	BLOCK A Asset and/or income Source
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	SCHEDULE A ASSETS & "UNEARNED INCOME"
Name: Nick Joe Rahall, II	
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SCHEDULE A - ASSETS & "UNEARNED INCOME"

	SCHEDULE A - ASSETS & "UNEARNED INCOME"
Name: Nick Joe Rahall, II	
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## SCHEDULE B - TRANSACTIONS

Name: Nick Joe Rahall, II Page\_6\_ of \_\_11\_\_

			Wells Fargo Advisors personal account	Remainder Unit Trust - Transferred to	Wells Fargo Advisors NJR Charitable		See Attachment #6	Wells Fargo SEP IRA - See		See Attachment #6	Wells Fargo SEP IRA - See		Attachment #5	Wells Fargo Advisors Account - See		Attachment #5	Wells Fargo Advisors Account - See	Sp Example Mega Corp. Slock		* Column K is for assets solely held by your spouse or dependent child.	Caphar Salite: It is also it insured in equipment a caphail gain in oxcess of 3200, check the "caphail gain's box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.	o pomora or en asserta sono, please choose "partial sale" as the type of transaction.  Copilal Gains: If a soles transaction resultant in a continuation in a continuation of the continua	revenue in a septial see. Provide a unat description of an accipange (inhalaction, Exclude finalactions between you, your spouse, or dependent children, or he purchase of sale of your personal seldence, unless it generated rental income. If only a portion of an access of the final access to the contract of the con	dependent child for investment or the production of income. Include transactions that	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you your source or your
		3.5		100 mm			第137 7157 727	×						×				g		Pu	chase:	i áya	100		Τ <sub>V</sub>
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£ 5 5 5 5									ÇĦ.	\$ 12. \$				33.6 03.3		1000		×		> P₩	M Sile				Type of Transaction
					×															Exc	hange	,			non
					N/A			N/A			×			N/A			×	×		Che	ck Box il ( seded \$20	Capital 00	l Gain		
					1/6/14		Attached	See		Attached	See		Attached	See		Attached	See	36/13			weeldy, if applicable	Quarterly, Monthly, or Bi-	(MODAYR) or		Date
	, k	)gh 7	, (A)			7 2 4 Y		रा <del>धा</del> र()	Ser#e	etarri.	-877 17 - 63	4		~ -≤37€		Ġ.	ja Janga Maran	14 14		\$1,0 \$15	000 000				
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																					\$1,000,00 #e/DC At		*		╝

## **SCHEDULE B – TRANSACTIONS**

Name: Nick Joe Rahall, II Page 7 of 11

									Company Partnership - Liquidated	5.38% SNI Development		Attachment #7	UBS Financial Services IRA - See		Attachment #7	UBS Financial Services IRA - See	Sp Example Mega Corp. Stock	SP.DC, IT Asset	Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.  * Column K is for assets solely held by your apouse or dependent child.	resulted in a capital loss. Provide a blef description of an exchange transcion, Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose 'partial sale' as the type of transaction.	Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent that for investment the most of the property of
i i ()	il users				1.4	4	<u> </u>			<u> </u>			×						Purchase	het survive	Ţ
										×						×			Sale		pe of Tr
, s	*				e/ 	7.19 5.11	ş.			300 300 300			PV U	4 7 2 3			×	7.	Partial Sale		Type of Transaction
																			Exchange		Ĭ
													W/N			×	×		Check Box if Ca Exceeded \$200	patal Gain	
		!								8/31/14		Attached	See		Attached	See	35/13		Monthly, or Bi- weekly, if applicable	(MOXDAYR) or Quarterly,	Date
	d .	 91-68 13	153	Agric 2	4.			ilogi. Sir		×	: .,,								\$1,001- \$15,000	>	
													×			×	×		\$15,001- \$50,000		
							1, 1					-	÷						\$50,001- \$100,000	o	
																			\$100,001- \$250,000	9	A
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						 _													\$500,001- \$1.000,000	TI	f Trans
																			\$1,000,001- \$5,000,000		Transaction
													_	-		4	_	_	\$5,000,001- - \$25,000,000		<b>                                     </b>
	-															_	_		\$25,000,001- \$50,000,000 Over \$50,000,00	<u> </u>	{ <b> </b>
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				- 1			. !					Ì			Į				(Spouse/DC Ass		Ш

Name:	
Nick Joe Rahall	
hall, II	
<u>ס</u>	
Page 8 of 11	
of 11	

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000.

\*Column K is for liabilities held solely by your spouse or dependent child.

			SP. DC, JT	
of Americ		Exemple First Sank of Wilmington, DE	Creditor	
12/14	5/98		Date Liability Incurred MO/YR	
MULUAGE OF 3033 Marathon	Credit Charges	Mortgage on Rental Property, Dover, DE	Type of Liability	
			\$10,001- \$15,000	
	×		\$15,001- \$50,000	
			\$60,001- \$100,000	
	×	×	\$100,001- \$250,000	
			\$250,001~ \$500,000	Amount of Liability
			\$500,001- \$1,000,000	t of Li
			\$1,000,001- \$5,000,000	ability
			\$5,000,001- \$25,000,000	1
			\$25,000,001- \$50,000,000	
			Over \$50,000,000	1
	<del> </del>			

## SCHEDULE E - POSITIONS

Partner (Uncompensated) Member (Uncompensated) Vice President & Secretary (Uncompensated) Member (Uncompensated) Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, frustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. Position Atlantic Equine Services, LLC (Family Partnership) American Task Force for Lebanon Board of Directors Professional Advisory Board of ALSAC/St. Jude's Children's Research Hospital EGR Industries, Inc. Name of Organization

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	Name:	
	Nick Joe Ra	
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	Rahall	
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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000.

						DC, JT	
Discover		Chase #2130		Americ	Example		·
/er		#2130		American Express	First Bank of Wilmington, DE	Creditor	
12/14		12/14		12/14	5/98	Date Liability Incurred MO/YR	
Credit Charges		Credit Charges		Credit Charges	Mortgage on Rental Property, Dover, DE	Type of Liability	
	at No.	×				\$19,001- \$15,000	25.54m V
×				×		\$15,001- \$50,000	
		,	- "			\$50,001- \$100,000	, a
					×	\$100,001- \$250,000	
					,	\$250,001- \$500,000	Amount of Liability
						\$500,001- \$1,000,000	t of Li
						\$1,000,001- \$5,000,000	ability
	- 1					\$5,000,001- \$25,000,000 ==	
			- 1			· · · · · · · · · · · · · · · · · · ·	- 1
						\$25,000,001- \$50,000,000	

## SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Position

Position	Position Name of Organization

Name: Nick Joe Rahall, II Page\_10\_ of \_11

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all fiabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000, "Column K is for liabilities held solely by your spouse or dependent child.

	Qu	8	(F	Sp	Ēxi	вр. Ос. 11
Detroit, MI	icken Lo		rmerly	ecialize	Example	
	Quicken Loans, Inc.,		(Formerly Morgan Stanley), Littleton,	Specialized Loan Servicing, LLC	First Bank of Wilmington, DE	Creditor
	10/06			01/07	5/98	Date Liability Incurred MO/YR
personal residence	Mortgage on Washington, DC		residence at Beckley, WV	Home Equity Loan on personal	Mortgage on Rental Property, Dover, DE	Type of Liability
1. 1.				,	1	\$16,001- \$16,000
						\$15,001- \$50,000
	F	ą	- 4			\$50,001- \$100,000
					×	\$100,001- \$250,000
				×		\$250,001- \$500,000 m \$500,001- \$1,000,001- \$5,000,001- \$5,000,0001- \$5,000,0001
	×					\$500,001- \$1,000,000
						\$1,000,001- \$5,000,000 °
						\$5,000,001- \$25,000,000 ±
						\$25,000,001 \$50,000,000
	_				_	Over \$50,000,000 -
						Over \$1,000,000* (Spouse/DC Liability)

## SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Position

listed in Schedule C; positions held in any religious, social, for	listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.
Position	Name of Organization

Name: Nick Joe Rahali, II	_
Page_11 of11	

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000.

\*Column K is for liabilities held solely by your spouse or dependent child.

							SP.	
					Citi Cards	Exemple		
					S	First Bank of Wilmington, DE	Creditor	
					12/14	5/98	Date Liability Incurred MO/YR	
					Credit Charges	Mortgage on Rental Property, Dover, DE	Type of Liability	
	4	)		log 3	16. 7.11	<u>'</u>	\$10,001- \$15,000	
					×		\$15,001- \$50,000 <sup>12</sup>	
							\$50,001- \$100,000	
		_				×	\$100,001- \$250,000	$\begin{bmatrix} \\ \end{bmatrix}$
		· .					\$250,001- \$500,000	moun m
							\$500,001- \$1,000,000	Amount of Liability
			4.				\$1,000,001- \$5,000,000	ability
							\$5,000,001- \$25,000,000 ±	
							\$25,000,001- \$50,000,000	
_							Over \$50,000,000	
		. :					Over \$1,000,000* (Spouse/DC Liability)	ł

## SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. Position Name of Organization

rogress summary

### SNAPSHOT

Page 1 of 8

NICK JOE RAHALL II

# DECEMBER 1, 2014 - DECEMBER 31, 2014

Value over time

	\$890,627.53	9,990.20 61.104.72	60,512.60 -465,000.00	\$852,359.99 371,660,02	THIS YEAR
0 L	507,000	307 000	614,000 -	921,000 -	1,228,000
01/14 02/14 03/14 04/14 05/14 05/14 07/14 08/14 09/14					
14 03/					
14 04/	ı				} }
4 05/1	İ				/
A 06/1	:				
4 07/1					
4 08/1					
4 09/1					
}					
4 11/1/					
10/14 11/14 12/14				/	

pening value ash deposited ecurities deposited ash withdrawn ecurities withdrawn

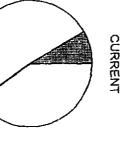
\$1,038,295.08 12,500.00 0.00 -150,000.00 0.00 1,524.32 -11,691.88

THIS PERIOD

ncome earned hange in value

losing value

\$890,627.53



Asset value

\$1,038,295.09

100%

\$890,627.53

100%

\$11,172

36 11,136 0

'ortfolio summary ASSETS Cash and sweep balances Stocks, options & ETFs Fixed income securities ASSET TYPE Mutual funds PREVIOUS VALUE ON NOV 30 495,985.34 468,257.00 74,052.75 47.77 45.10 7.13 0.00 % CURRENT VALUE ON DEC 31 360,796.03 455,835.00 73,996.50 0.00 40.51 51.18 8.31 0.00 ፠ ESTIMATED ANN. INCOME

Page 4 of 8

**DECEMBER 1, 2014 - DECEMBER 31, 2014** 

## \dditional information

Gross proceeds

THIS PERIOD 12,617.87

213,253.65 THIS YEAR

#### ortfolio detail

## ash and Sweep Balances

weep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual and used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as equired by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit weep. In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

ank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not overed by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank eposit Sweep for your account, please contact Your Financial Advisor.

otal Cash and Sweep Balances 40.51	terest Period 12/01/14 - 12/31/14	ANK DEPOSIT SWEEP 40.51	ANNUA
		0.01	YIELD EARNED*
\$360,796.03		360,796.03	CURRENT MARKET VALUE
\$36,07		36.07	ESTIMATED ANNUAL INCOME

APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual excentage yield earned is expressed as an annualized rate, based on a 365 day year.

## tocks, options & ETFs

#### tocks and ETFs

								ESTIN	ESTIMATED
SCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME	ANNUAL
AGEN INC				7000		22.00.00			s. 2
SDONALDS CORP									;
quired 08/22/94 L nc	10.52	1,000	13.18	13,352.58	93.7000	93,700.00	93,700.00 80,347.42	3,400.00	3.62
JRPHY OIL CORP	· [			:					
quired 11/12/14 S		200	52.50	10,655.00		10,104.00	-551.00		
quired 11/28/14 S		100	48.90	4,952.00		5,052.00	100.00		



#### NICK JOE RAHALL II

DECEMBER 1, 2014 - DECEMBER 31, 2014

## Stocks, options & ETFs Stocks and ETFs continued

2.44	\$11,136.00	\$353,954.05	\$455,835.00		\$101,880.95			51.18	otal Stocks, options & ETFs
2.44	\$11,136.00	\$353,954.05	\$455,835.00		\$101,880.95			51.18	otal Stocks and ETFs
2.23	3,840.00	169,172.63	171,760.00	85.8800	2,587.37	1.27	2,000	19.29	VAL-MART STORES INC VMT cquired 01/15/85 L nc
2.77	\$420.00	-\$451.00	\$15,156.00	50.5200	\$15,607.00	\$52.02	300	1.70	otal
ANNUAL	ANNUAL	UNREALIZED GAIN/LOSS	CURRENT MARKET VALUE	CURRENT PRICE	ADJ COST/ ORIG COST	ADJ PRICE/ ORIG PRICE	QUANTITY	% OF ACCOUNT	ESCRIPTION
ATED	ESTIMATED								

c Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

## Fixed Income Securities

corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix ystem to arrive at an estimated market value.

#### **Nunicipal Bonds**

									ESTIMATED	
ESCRIPTION	% OF	CHILNAND	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	INCOME	ANNUAL YIELD (%)
ANAWHA PUTNAM CNTY UNTINGTON CHARLESTON WV IF MTG 84A OY=11.5% PN 0.000% DUE 12/01/16 TD 09/19/84 loody AAA , S&P NR USIP 483686BF7 cquired 01/15/96 L nc		50,000 25,000	89.54 89.54 30.930	44,771.39 15,628.00 22,350.80 7,734.25		49,331.00 24,665.50	4,559.61 2,314.70			·
otal	8.31	8.31 75,000	\$89.49 \$31.14	\$67,122.19 \$23,362.25	98,6620	\$73,896.50	\$6,874.31	N/A	N/A	N/A

Page 5 of 8

#### funicipal Bonds continued ixed Income Securities

		•	\$6,874.31	\$73,996.50 \$6,874.3		\$67,122.19 \$23,362.25			8.31	otal Fixed Income Securities
		•	\$6,874.31	\$73,996.50		\$67,122.19 \$23,362.25		8.31 75,000	8.31	otal Municipal Bonds
ANNUAL YIELD (%)	ANNUAL	ACCRUED INTEREST	UNREALIZED GAIN/LOSS	CURRENT MARKET VALUE	CURRENT PRICE	ADJ COST/ ORIG COST	ADJ PRICE/ ORIG PRICE	QUANTITY	% OF ACCOUNT	ESCRIPTION
	ESTIMATED									•

> Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.



001 BK BK08

rogress summary

#### SNAPSHOT

#### MELINDA ROSS (IRA) FCC AS CUSTODIAN

#### Page 1 of 8

DECEMBER 1, 2014 - DECEMBER 31, 2014

THIS PERIOD

\$20,198.30

\$18,968.43

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

0.00

259.35

-437.76

THIS YEAR

As a Wells Fargo A

\$18,968.43

Command Asset Pro
0.00

0.00

0.00

Ask them today above the story and the story and the story are the story and the story are the story above the story above the story are the story above the story above the story are the story

pening value
ash deposited
nurities deposited
h withdrawn
curities withdrawn
come earned
nange in value

osing value

\$20,019.89

\$20,019.89

Ask them today about the Command Asset Program.	comprehensive monthly statement. It's as simple as talking with Your Financial Advisor.	you manage your finances. You'll see all your investing and banking activity on one	Command Asset Program, you'll have access to many more features and benefits to help	your investing and banking into a single, easy-to-manage relationship. By upgrading to the	As a Wells Fargo Advisors Client, you can easily simplify your finances by combining all

\$182	100%	\$20,019.89 100%	100%	\$20,198.30 100%	Asset value		
10	52.14		52.35	10,574.23	Mutual funds		
0	0.00		0.00	0.00	Fixed income securities		
172	13,71		14.02	2,831.04	Stocks, options & ETFs		
0	34.15		33.63	6,793.03	Cash and sweep balances	ASSETS	
ESTIMATED ANN. INCOME	%	CURRENT VALUE ON DEC 31	%	PREVIOUS VALUE ON NOV 30	ASSET TYPE		
					•	ortfolio summary	orti

#### MELINDA ROSS (IRA) FCC AS CUSTODIAN

Page 5 of 8

2 ~ p. 2

DECEMBER 1, 2014 - DECEMBER 31, 2014

## dditional information

Gross proceeds

THIS PERIOD 0.00

THIS YEAR 6,262.50

#### etail etail

## ash and Sweep Balances

weep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual nd used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as quired by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Neep. In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

ank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not overed by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank sposit Sweep for your account, please contact Your Financial Advisor.

SCRIPTION	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT WARKET VALUE	ESTIMATED ANNUAL INCOME	
NK DEPOSIT SWEEP	0,01	6,836,29	0.68	
terest Period 12/01/14 - 12/31/14				
rtal Cash and Sweep Balances		\$6,836.29	\$0,68	

\PYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual reentage yield earned is expressed as an annualized rate, based on a 365 day year.

## 'າcks, options & ETFs

#### ocks and ETFs

							ESTIMATED	TEO
SCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL	ANNUAL YIELD (%)
PLC SPONS ADR								-
quired 05/31/12	72	36.71	2,678.12	38.1200	2,744.64	66.52	172.80	6.29
tal Stocks and ETFs			\$2,678.12		\$2,744.64	\$66.52	\$172.80	6.30
tal Stocks, options & ETFs			\$2,678.12		\$2,744.64	\$66.52	\$172.80	6.30

DECEMBER 1, 2014 - DECEMBER 31, 2014

#### **Nutual Funds**

f a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

## **)pen End Mutual Funds**

0.10	\$10.09	\$2,134.83	\$10,438.96		\$8,304.13			otal Mutual Funds
0.10	\$10.09	\$2,134.83	\$10,438.96		\$8,304.13			otal Open End Mutual Funds
		.50 .68	\$4,007.50 \$1,337.68		einvestments)	Reinvestments ent (Including F	Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)	
N/A	N/A	\$601.44	\$5,345.18	16.3700	\$4,743.74	\$14.53	326.52300	otal
		349.11 252.33	4,356,61 988,57		4,007.50 736.24	15.03 12.19	266.13400 60.38900	CLGX cquired 03/27/07 no teinvestments m
			-					OHN HANCOCK II IFESTYLE GROWTH FUND
		.48 .30	\$3,348.48 \$1,745.30		einvestments)	Reinvestments ent (Including F	Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)	
0.20	\$10.09	\$1,533.39	\$5,093.78	17.8100	\$3,560,39	\$12.45	286.00700	otal
		1,523.78 9.61	4,872.26 221.52		3,348.48 211.91	12.24 17.03	273.56900 12.43800	RANKLIN CORFOLION LLOCATION FUND LASS C TCLX cquired 12/17/10 nc einvestments m
ANNUAL YIELD (%)	ANNUAL	UNREALIZED GAIN/LOSS	CURRENT MARKET VALUE	CURRENT PRICE	ADJ COST/ ORIG COST	ADJ PRICE/ ORIG PRICE	QUANTITY	ESCRIPTION
tal return. ATED	id typically do not reflect Total re	t Income only, an	dends and Interest	ld refer to Divid	l Income and Yiel	itimated Annua	priced at net asset value. Es	en End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return



a This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS. a Cost information that is reportable to the IRS.

Progress summary

#### **SNAPSHOT**

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NICK JOE RAHALL (SEP IRA) FCC AS CUSTODIAN

DECEMBER 1, 2014 - DECEMBER 31, 2014

Value over time

<b>5</b>		54,000		108 000	102,000	183	216,000
	\$190,222.53	4,833.09	0.00	o e. 000	0.00	\$189,933,27	THIS YEAR
	\$190,222.53	-6,288.58	0.00	0.00 0.00	0.00	\$192,853.07	THIS PERIOD

Opening value
Cash deposited
Securities deposited
Cash withdrawn
Securities withdrawn
ncome earned
Change in value

Slosing value

ortfolio summary

CURRENT

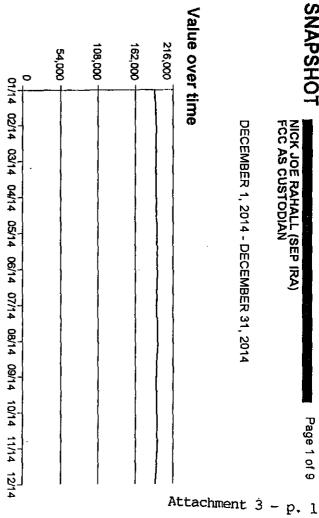
ASSETS

Cash and sweep balances Stocks, options & ETFs

Fixed income securities

ASSET TYPE

Asset value Mutual funds



_	54,000	108,000	162,000	216,000
35	<del>-  </del>	<del>- -</del>	<del>- </del> -	ΤŤ
01/14 02/14 03/14 04/14 05/14 06/14 07/14 08/14 09/14 10/14 11/14 12/14				
03/14				
04/14				
05/14				
06/14				
07/14				
08/14				
09/14				} }
10/14				
11/14				
12/14			!	

		VAL			_		
\$192,853.07	126,505.35 15,283.00 15,083.12 35,981.60	PREVIOUS VALUE ON NOV 30	01/14	54,000	108,000	162,000	16,000
53.07	126,505.35 15,283.00 15,083.12 35,981.60	00 S	02/14				
100%	65.60 7.92 7.82 18.66	%	03/14				1
\$190,222.53 100%	119,022,57 62,57 20,061.00 10,55 15,175.66 7.98 35,963,30 18,91	CURRENT VALUE ON DEC 31 %	01/14 02/14 03/14 04/14 05/14 06/14 07/14 08/14 09/14 10/14 11/14 12/14				
\$2,685	11 1,577 866 231	ESTIMATED ANN. INCOME	09/14 10/14 11/14 12/14				

DECEMBER 1, 2014 - DECEMBER 31, 2014

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## Additional information

Gross proceeds

THIS PERIOD 0.00

THIS YEAR 18,890.72

#### ortfolio detail

## Jash and Sweep Balances

weep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual and used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as equired by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit weep. In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

ank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not overed by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank leposit Sweep for your account, please contact Your Financial Advisor.

	% OF	ANNUAL PERCENTAGE	CURRENT	ESTIMATED	
ESCRIPTION	ACCOUNT	YIELD EARNED"	MARKET VALUE	ANNUAL INCOME	
ANK DEPOSIT SWEEP	62.57	0.01	119,022.57	11.90	
terest Period 12/01/14 - 12/31/14					
otal Cash and Sweep Balances	62.57		\$119,022.57	\$11.90	

APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual ercentage yield earned is expressed as an annualized rate, based on a 365 day year.

## itocks, options & ETFs

#### tocks and ETFs

SHEE의국시주제상RE

OCKS and Eles					ESTIMATED	TED
SCRIPTION	% OF	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ANNUAL	ANNUAL YIELD (%)
IT LTD PARTNERSHIP INT	2.89	200	27.5000	5,500.00	128.00	2.32
HARES SILVER TR ET	2.38	2.38 300	15,0600	4,518.00	NA	NA
N ENERGY LLC UNITS	2.66	500	10.1300	5,065.00	1,449.50	28.61
WERSHARES DB ET	2.62	200	24.8900	4,978.00	N/A	NA

## NICK JOE RAHALL (SEP IRA) FCC AS CUSTODIAN

**DECEMBER 1, 2014 - DECEMBER 31, 2014** 

## Stocks, options & ETFs

Stocks and ETFs continued

7.86	\$1,577.50	\$20,061.00			10.55	Total Stocks, options & ETFs
7.86	\$1,577.50	\$20,061.00			10.55	Total Stocks and ETFs
ANNUAL YIELD (%)	ANNUAL	CURRENT MARKET VALUE	GURRENT PRICE	QUANTITY	% OF ACCOUNT	DESCRIPTION
ESTIMATED	EST					

## Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

#### Corporate Bonds

5.71	\$866.25	\$216.57	\$15,175.66			7.98	otal Fixed Income Securities
5.71	\$866.25	\$216.57	\$15,175.66		12,000	7.98	otal Corporate Bonds
5.33	472.50	118.13	8,853.81	126.4830	7,000	4.65	JOLDMAN SACHS GROUP INC JUBORDINATE NOTES JPN 6.750% DUE 10/01/37 JTD 10/03/07 FC 04/01/08 100dy BAA2 , S&P 8BB+ JUSIP 38141GFD1
6.22 22	393.75	98.44	6,321.85	126.4370	5,000	3.32 2.32	JNION CARBIDE SLOBAL NOTES JON CALL LIFE PN 7.875% DUE 04/01/23 JTD 04/01/93 FC 10/01/93 Joody BAA2 , S&P BBB JUSIP 905572AD5
ANNUAL YIELD (%)	ANNUAL	ACCRUED INTEREST	CURRENT MARKET VALUE	CURRENT PRICE	QUANTITY	% OF ACCOUNT	DESCRIPTION
	ESTIMATED						



1

## NICK JOE RAHALL (SEP IRA) FCC AS CUSTODIAN

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DECEMBER 1, 2014 - DECEMBER 31, 2014

#### Mutual Funds

Open End Mutual Funds
Open End Mutual Fund share

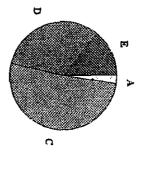
0.64	\$231.71	\$35,963.30			18.91	otal Mutual Funds
0.64	\$231.71	\$35,963.30			18.91	otal Open End Mutual Funds
						n Reinvestment
1.13	215.96	19,015.22	10.8300	1,755.79200	10.00	MF LARGE CAP EQUITY UND CLASS AMF CAX
						)n Reinvestment
		-				MALL CAP GROWTH FUND LASS A VUASX
N/A	N/A	8,735.12	44.4900	196 33900	4.59	LLIANCEBERNSTEIN FDS
						OCL N NCGFX In Reinvestment
0.19	15.75	8,212.96	25.2800	324.88000	4.32	ISTON FUNDS NONTAG & CALDWELL GROWTH
ANNUAL YIELD (%)	. ANNUAL INCOME	CURRENT MARKET VALUE	CURRENT PRICE	QUANTITY	% OF ACCOUNT	ESCRIPTION
ATED	ncome only, and typically do not reflect total re	Idenas and Interest II	Spen End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Field relet to Dividends and Income only, and typically do not relect Total return.  ESTIMATED  ESTIMATED	het asset Value. Es	re priced at n	Spen End Mutual Fund Shares a

## Your account balance sheet

## Summary of your assets

	•	Value	Percentage of your account
>	Cash and money balances	1,276.18	2.55%
œ	Cash alternatives	0.00	0.00%
	Equities	25,699.63	51.30%
ס	Fixed .: Lome	16,038.51	32.02%
ш	Non-traditional	7,078.27	14.13%
71	Commodities	0,00	0.00%
្ន	Other	0.00	0.00%
ŏ	Total assets	\$50,092,59	100.00%

## Your current asset allocation



#### Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the Important information about your statement on the last two pages of this statement for details about those balances.

Attachment 4

## Eye on the markets

Value of your account

\$50,092.59

	Percentage change	e change
Index		Year to date
S&P 500	2.69%	13.98%
Russell 3000	2.42%	12.56%
MSCI - Europe, Australia & Far East	1.37%	-1.08%
Barclays Capital U.S. Aggregate Bond Index	0.70%	5.86%

#### Interest rates on

3-month Treasury bills: 0.01%

One-month LIBOR: 0.15%

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#### Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

#### Cash

### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances. UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the Important information about your statement at the end of this document for details about those

Total	UBS BANK USA DEP ACCT	Cash	Holding
\$1,047.25	1,047.25	0.00	Opening balance
\$1,276.18	1,273.71	2.47	Closing balance
			Price per share
			Average rate
			Dividend/Interest period
			Days in period
	250,000.00		Cap amount (\$)

#### Equities

## Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends, it does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting

investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number	Purchase price/ Number - Average price of chares - ner chare (1)	Client (S)	Cost	Cost Price oer share	Value	Unrealized (tax)	investment Holding
FIRST TR EUROPE ALPHADEX ETF								
Symbol: FEP								
Trade date: Aug 20, 14	92,000	32.045	2,948.22	2,948.22	30.530	2,808.76	-139,46	ST
Trade date: Aug 20, 14	2,000	32.020	64.04	64.04	30.530	61.06	-2.98	ST
EAI: \$58 Current yield: 2,02%								

continued next page



 $\Gamma$ 

Account name:
Account type:

NICK J RAHALL JR. IRA Rollover

Your Financial Advisor: THE HANDO FELDMAN ADAMS GROUP 301-718-5000/800-638-2909 <sup>(7)</sup>

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Your assets , Equities , Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (S)	Client investment (S)	Cost basis (\$)	Price per share	Value	Unrealized (tax) gain or loss (\$)	Investment Holding return (\$) period	Holding period
Security total	94.000	32.045	3,012.26	3,012.26		2,869.82	-142,44	-142.44	
FIRST TRUST MID CAP CORE									
ALPHADEX FD									
Symbol: FNX									
Trade date: Aug 20, 14	35.000	52.732	1,845.64	1,845.64	52,610	1,841.35	4.29		72
Trade date: Aug 20, 14	3,000	52,636	157.91	157.91	52,610 .	157.83	-0.08		ST
EAI: \$13 Current yield: 0.65%									
Security total	38,000	52.725	2,003.55	2,003.55	•	1,999.18	-4.37	4.37	
ISHARES S&P 500 VALUE ETF		•						; ;	
Symbol; IVE									
Trade date: Aug 12, 11	15.000	54,160	812,40	812.40	93.850	1,407.75	595.35		ユ
Trade date: Aug 20, 14	. 2.000	91,005	182,01	182,01	93.850	187.70	5.69		21
EAI: \$33 Current yield: 2.07%									
Security total	17,000	58.495	994.41	994.41		1,595.45	601.04	601.04	
ISHARES S&P 500 GROWTH ETF									
Symbol: IVW			, .						
Trade date: Aug 12, 11	17.000	63,820	1,084,94	1,084.94	113.200	1,924.40	839.46		ロ
Trade date: Aug 20, 14	4.000	107.470	429.88	429.88	113.200	452.80	22.92		21
EAI: \$31 Current yield: 1,30%					•				
Security total	21.000	72.134	1,514.82	1,514.82		2,377.20	862.38	862.38	
SPDR S&P 600 SMALL CAP ETF									
Symbol: SLY									
Trade date: Jul 15, 13	12,000	92.450	1,109.40	1,109.40	105.659	1,267.90	158.50	158.50	ユ
EAI: \$14 Current yield: 1.10%									
VANGUARD REIT ETF									
Symbol: VNQ									
Trade date: Aug 12, 11	6.000	54.790	328,74	328,74	80.570	483.42	154.68	154,68	딕
EAI: \$17 Current yield: 3.52%								•  -  -	
VANGUARD FTSE EMERGING MARKETS			;						ļ
ETF									

continued next page

Symbol: VWO

Account name: Account type:

NICK J RAHALL JR. IRA Rollover

Your Financial Advisor: CTHE HANDO FELDMAN ADAMS GROUP 1 301-718-5000/800-638-2909

# Your assets , Equities , Closed end funds & Exchange traded products (continued)

-		1							
Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price ner share	هر باد/	Unrealized (tax) gain or loss (S)	investment Holding return (\$) period	Holding period
Trade date: Aug 12, 11	45,000	42.220	1,899.90	1,899.90	42.170	1,897.65	-2.25	-2.25	디
EAI: \$53 Current yield: 2.79%									
Total			\$10,863.08	\$10,863.08		\$12,490.62	\$1,627.54	\$1,627.54	i
Total estimated annual income: \$219			٠	•	***				
Mutual funds		•			<b>.</b> .				
Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.  Cost basis is the total ourchase cost of the security including reinvested dividends. The cost basis may need	It does not include ost basis. The share uding reinvested di	any cash dividends. Is you receive each vidends. The cost b	It is not a tax time you asis may need	Unrealized (tax) gain generally be your ta may need to be adjusted to be adjusted to the second purposes.	ax) gain or loss is the your taxable gain or lead to be adjusted for return resurnsess.	difference between loss if the security warn of capital payment	Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.	e cost basis and would Inrealized (tax) gain or he realized gain or loss	loss for tax
to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.	o determine the adj	justed cost basis for	tax reporting	Investment r reflected on not include o	Investment return is the current value minus the am reflected on your statement, including shares that h not include cash dividends that were not reinvested.	alve minus the amouseding shares that have ere not reinvested.	<i>Investment return</i> is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.	not include shares that a gain or a loss. It also	are not o does

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (S)	Price per share	Value	Unrealized (tax) · gain or loss (\$)	Investment Holding return (5) period
ABERDEEN INTERNATIONAL								,
EQUITY FUND					٠			
INSTITUTIONAL CLASS								
Symbol: GIGIX						•		
Trade date: Aug 12, 11	15.809	12,986	205.30	205.30	15.040	237.76	32,46	コ
Trade date: Aug 20, 14	44.788	16,199	725.56	725.56	15.040	673.61	-51.95	ST
Total reinvested	1.318	14,466		19.07	15.040	19.83	0.76	
EAI: \$39 Current yield: 4.19%								
Security total	61.915	15,342	930.86	949.93		931.20	-18.73	0.34
ALLIANCE BERNSTEIN								
SMALL CAP GROWTH FUND								
ADVISOR CLASS						•		
Symbol: QUAYX								
Trade date: Sep 21, 12	10.623	42.118	. 447.43	447.43	52.580	558.56	111.13	덕
Trade date: Aug 20, 14	0.118	53, 135	6.27	6.27	52.580	6.20	-0.07	ST
Total reinvested	1.050	47.095		49.45	52,580	55.21	5.76	
Security total	11.791	42.672	453.70	503.15		619.97	116.82	166.27
CAMBIAR SMALL CAP FUND								

continued next page



Account name:
Account type:

NICK J RAHALL JR. IRA Rollover

Your Financial Advisor: THE HANDO FELDMAN ADAMS GROUP 301-718-5000/800-638-2909

continued next page	٠		•					
	5,96	29.03	39.340	23.07		31,260	0.738	Total reinvested
ZĮ.	28.57	653.71	39.340	625.14	625.14	37.620	16.617	Trade date: Aug 20, 14
듸	162.58	367.79	39.340	205.21	205.21	21.949	.9.349	Trade date: Oct 11, 11
								Symbol: JMVSX
								VALUE FUND CLASS S
						;		JP MORGAN MID CAP
540.11	434,63	1,495.36		1,060.74	955.26	21.274	. 49.862	Security total
							٠	EAI: \$55 Current yield: 3.68%
	18.68	124.16	29.990	105.48		25,478	4,140	Total reinvested
77	-1.48	164.02	29.990	165.50	165.50	30.261	5,469	Trade date: Aug 20, 14
디	417.43	1,207.19	29.990	789.76	789.76	19.619	40.253	Trade date: Aug 12, 11
								Symbol: GCIEX
								FUND CLASS I
	******		i	i				GABELLI EQUITY INCOME
149.87	41.86	967.74		925.88	817.87	22.914	40.407	Security total
								EAI: \$21 Current yield: 2,17%
	6.97	114.98	23.950	108.01		22,497	4.801	Total reinvested
ц	34.89	852.76	23.950	817.87	817.87	22.970	35,606	Trade date: Sep 21, 12
				•				Symbol: SGOIX
								FUND CLASS I
								FIRST EAGLE OVERSEAS
117.19 ST	117.19	2,377.75	19.680	2,260.56	2,260.56	18.709	120.821	Trade date: Aug 20, 14
								Symbol: UMLGX
								CAP GWTH Z
							İ	COLUMBIA SELECT LGE
136.47	88.09	614.86		526.77	478.39	19.473	27.051	Security total
	5.43	53,81	22.730	48.38		20.435	2.367	Total reinvested
ST	-5.20	262.80	22.730	268.00	268,00	23.179	11.562	Trade date: Aug 20, 14
נז	87.86	298.25	22.730	210.39	210.39	16.033	13.122	Trade date: Aug 12, 11
								Symbol: CAMZX
								INSTITUTIONAL CLASS
return (5) period	Unrealized (tax) gain or loss (\$)	Value	Price per share	Cost basis (\$)	Client investment (\$)	Purchase price/ Average price per share (\$)	Number of shares	Holding
4							ual funds (continued)	Your assets , Equities , Mutual funds (continued)

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Account name: Account type:

NICK J RAHALL JR. IRA Rollover

Your Financial Advisor: .
THE HANDO FELDMAN ADAMS GROUP DA 301-718-5000/800-638-2909 1

Your assets , Equities , Mutual funds (continued)

Security total	EAI: \$11 Current yield: 2.19%	Total reinvested	Trade date: Aug 12, 11	Symbol: IGUX	FUND CLASS I	VOYA GLOBAL REAL ESTATE	EAI: \$5 Current yield: 0.63%	Trade date: Jul 10, 13	Symbol: VAPIX	SECTOR FUND I	VIRTUS PREMIUM ALPHA	Trade date: Aug 20, 14	Symbol: RPMGX	GROWTH FUND	T ROWE PRICE MID CAP	Security total	EAI: \$8 Current yield: 0.42%	Total reinvested	Trade date: Aug 12, 11	Symbol: ODVYX	MARKETS CLASS Y	OPPENHEIMER DEVELOPING	EAI: \$49 Current yield: 5.41%	Trade date: Aug 20, 14	Symbol: OFDIX	DIVIDEND FUND CLASS I	O'SHAUGHNESSY ENHANCED	Security total	EAI: \$6 Current yield: 0.57%	Holding
24.548		2.878	21.670					57.126		•		12.924				49.201		2.118	47.083					73.735				26.704		Number of shares
15.742	•	17.557	15.500					15.225			,	77.647				31.580		32.247	31.549					13.609				31.959		Purchase price/ Average price per share (\$)
335.90		•	335.90					869.80				1,003.52 ·				1,485.46			1,485.46					1,003.53				830.35		Client investment (\$)
386.43		50.53	335,90					869.80				1,003.52			į	1,553.76		68.30	1,485.46					1,003.53		·		853,42	,	Cost basis (\$)
		20.430	20.430					13.910				81.860			:			38,450	38,450					12.284						Price per share
501.51		58.80	442.71					794,62				1,057.95				1,891.77		81.44	1,810.33			,		905.75				1,050.53		Value
115.08		8,27	106.81					-75.18				54.43			;	338,01		13.14	324.87					-97.78				197.11		Unrealized (tax) gain or loss (5)
165.61 continued next page						i		-75.18				54,43	,			406.31								-97.78				220.18		investment Holding return (S) period
ext page			5					<u>-</u>	-			1 51				!	•		5			•		ST						Holding period



Account name: Account type:

IRA Rollover NICK J RAHALL JR.

THE HANDO FELDMAN ADAMS GROUP 301-718-5000/800-638-2909 Your Financial Advisor.

# Your assets , Equities , Mutual funds (continued)

Total estimated annual income: \$194	Total	Purchase price/ Number Average price Holding of shares per share (5)
	\$11,425.20	e/ ce Client S) investment (S)
	\$11,897.49	Cost basis (\$)
		Price per share
	\$13,209.01	Value
	\$1,311.53	Unrealized (tax) gain or loss (\$)
	\$1,783.81	Investment Holding return (\$) period

#### Fixed income

#### Mutual funds

Cost pasts is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

> I (tax) gain or loss is the difference between the current value and the cost basis and would be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may e adjusted for return of capital payments in order to determine the realized gain or loss for tax purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

continued next page								
Ţ	-4.49	893.35	. 9.950	897.84	897.84	10.000	89.784	Trade date: Jun 27, 13
							-	Symbol: FFRSX
								15
								STRATEGIC INCOME FD CL
								FEDERATED FLOATING RATE
58.68	58.68	2,004.43		1,945.75	1,945.75	9.998	194.605	Security total
								EAI: \$47 Current yield: 2.34%
ST	-0.47	158.40	10.300	158.87	158.87	10.330	15.379	Trade date: Aug 20, 14
ū	59.15	1,846.03	10.300	1,786.88	1,786.88	9.969	179.226	Trade date: Jun 26, 13
								Symbol: BSIIX
		,						INCOME
-								BLACKROCK STRATEGIC
410.40	21.24	1,474.55		1,453.31	1,064.15	9.639	150.773	Security total
								EAI: \$94 Current yield: 6.37%
	-2.89	386.27	9.780	389.16		9.853	39.496	Total reinvested
4	24.13	1,088.28	9.780	1,064.15	1,064.15	9.563	111.277	Trade date: Sep 15, 11
								Symbol: JHYIX
								INCOME FUND CLASS I
	;			į				ABERDEEN GLOBAL HIGH
investment Holding return (\$) period	Unrealized (tax) gain or loss (\$)	Value	Price per share	Cost basis (S)	Client investment (\$)	Purchase price/ Average price per share (S)	Number of shares	Holding

Page 10 of 16

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Account type:

NICK J RAHALL JR. IRA Rollover

THE HANDO FELDMAN ADAMS GROUP 1

301-718-5000/800-638-2909

# Your assets , Fixed income , Mutual funds (continued)

		•						
Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (S)	Price per share	Value 	Unrealized (tax) gain or loss (5)	Investment Holding return (S) period
Trade date: Aug 20, 14	10.730	10.000	107.30	107.30	9.950 '	106.76	-0.54	15
EAI: \$36 Current yield: 3.60%								
Security total	100.514	10.000	1,005.14	1,005.14		1,000.11	-5.03	-5.03
GOLDMAN SACHS STRATEGIC						:		
INCOME CL I								
Symbol: GSZIX								
Trade date: Aug 12, 11	170.597	9,789	1,670.09	1,670.09	10.390	1,772.50	102.41	딕
Trade date: Sep 15, 11	0.721	9.694	6.99	6.99	10.390	7.49	0.50	· [
Total reinvested	20.546	10.238		210.36	10.390	213.47	3,11	
EAI: \$53 Current yield: 2.66%					•			
Security total	191.864	9.837	1,677.08	1,887.44		1,993.46	106.02	316.38
INVESCO FLOATING RATE								
FUND CLASS Y								
Symbol: AFRYX								-
Trade date: Apr 4, 14	111.895	7,969	891.80	891.80	7.850	878.37	-13.43	ST
Trade date: Aug 20, 14	15,016	7.920	118.93	118.93	`7.850	117.88	-1.05	ST
EAI: \$44 Current yield: 4.42%								
Security total	126.911	7.964	1,010.73	1,010.73		996.25	-14.48	-14.48
JP MORGAN STRATEGIC					i			
INCOME OPPORTUNITIES		,						
FUND CLASS S								
Symbol: JSOSX								
Trade date: Aug 12, 11	153.712	11.539	1,773.83	1,773.83	.11.770	1,809.18	35.35	ET.
Trade date: Aug 20, 14	0.249	11.847	2.95	2.95	11.770	2.93	-0.02	15
Total reinvested	15.540	11.666	•	181.30	11.770	182.91	1.61	
EAI: \$31 Current yield: 1.55%						٠.		
Security total	169.501	11.552	1,776.78	1,958.08		1,995.02	36.94	218.24
METROPOUTAN WEST TOTAL								
RETURN BOND FUND CLASS I	-		~					
Symbol: MWTIX								
Trade date: Sep 15, 11	113.282	10.499	1,189.46	1,189.46	10.930	1,238.17	48.71	. IT
								continued next page



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Account type: IRA Roll

NICK J RAHALL JR. IRA Rollover

Your Financial Advisor: ON THE HANDO FELDMAN ADAMS GROUP \$301-718-5000/800-638-2509 \$\omega\$.

# Your assets , Fixed income , Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (S)	Cost basis (\$)	Price per share	Value	Unrealized (tax) gain or loss (\$)	Investment Holding return (\$) period
Trade date: Oct 11, 11	57.971	10.370	601.16	601.16	10.930	633.62	32.46	נו
Total reinvested	26.476	10.725		283.97	10.930	289.38	5.41	
EAI: \$50 Current yield: 2.31%								
Security total	197.729	10.492	1,790.62	2,074.59		2,161.17	86.58	370.55
PRUDENTIAL TOTAL RETURN					•			
BOND FUND CLASS Z								
Symbol: PDBZX								
Trade date: Apr 24, 14	102.696	14.230	1,461.42	1,461.42	14.500	1,489.09	27.67	TZ
Trade date: Aug 20, 14	45.341	14.420	653.82	653.82	14.500	657.44	3.62	ST
EAI: \$78 Current yield: 3.63%			,					
Security total	148.037	14.289	2,115.24	2,115.24		2,146.53	31.29	31.29
WESTERN ASSET CORE PLUS			•					
BOND FUND CLASS I								
Symbol: WACPX								
Trade date: Sep 15, 11	123.062	11.070	1,362.30	1,362.30	11.670	1,436.13	73.83	다
Trade date: Oct 11, 11	54.514	10.969	598.01	598,01	11.670	636.18	38,17	드
Trade date: Aug 20, 14	0.002	10.000	0.02	0.02	11.670	0.02		ST
Total reinvested	16.680	11,423		190.54	11.670	194.66	4.12	
EAI: \$78 Current yield: 3.44%								
Security total	194.258	11.072	1,960.33	2,150.87	i 	2,266.99	116.12	306,66
Total			\$14,345.82	\$15,601.15	<b>i</b> .	\$16,038.51	\$437.36	\$1,692,69

Total estimated annual income: \$511



Account name: · · Account type:

NICK J RAHALL JR. IRA Rollover

Your Financial Advisor: Your Financial Advisor: THE HANDO FELDMAN ADAMS GROUP 301-718-5000/800-638-2909

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### Your assets (continued)

#### Non-traditional

#### Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share	Value	Unrealized (tax) gain or loss (S)	Investment Holding return (\$) period	Halding period
GOLDMAN SACHS									
MULTI-MANAGER ALTS FUND									
CLASSI									
Symbol: GSMMX									
Trade date: Apr 17, 14	326.650	10.478	3,422.81	3,422.81	10.880	3,553.95	131.14	131.14	ST
NEUBERGER BERMAN ABS RET									
MULTI-MGR CLASS I					-				
Symbol: NABIX									
Trade date: Apr 17, 14	310.657	11.049	3,432.56	3,432.56	11.120	3,454.50	21.94		S.
Trade date: Aug 20, 14	6.279	11.100	69.70	69.70	11.120	69.82	0.12		SŢ
Security total	316.936	11.050	3,502.26	3,502.26		3,524.32	22.06	22.06	
Total			\$6,925.07	\$6,925.07		\$7,078.27	\$153.20	\$153.20	

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# 2014 Consolidated Forms 1099

As of Date: 02/19/15

NICK JOE RAHALL II

## Reportable Tax Information

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder. Taxpayers are ultimately responsible for the accuracy of their tax returns.

OMB No. 1545-071. OMB No. 1545-0715

# Short Term Gains/Losses - Report on Form 8949, Part I with Box A checked

Box 2: Type of Gain or Loss - Short Term
Box 3: Basis Reported to the IRS

Box 5: Box not Checked (Covered Security)

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

•			•	•				
Description CUSIP	Date Acquired	Date Sold or Disposed	Proceeds	Cost or Other Basis	Code	Adjustments	Gain/Loss	
(Box tal	Quantity Sold (8ex tb)	(Bex te)	(Box 1d)	(Bax 1e)	iBox 10	(Box 1g)	Amount	Additional Information
AGRIUM INC	85.00000 02/05/2014	02/10/2014	7,428.97	7,273.72		0.00	155.25	
CUSIP: 008916108	10.00000 02/05/2014	02/10/2014	868.04	855.73		0.00	12.31	
	200.00000 01/22/2014	02/20/2014	17,657.43	18,657.00		0.00	-999.57	
Subtotals	295.00000		\$25,954.44	\$26,786.45		\$0.00	(\$832.01)	
CELANESE CORPORATION	115,00000 02/03/2014	02/24/2014	5,961.85	5,839.04		0.00	122.81	
SERIES A CUSIP: 150870103	135.00000 11/29/2013	02/27/2014	7,120.88	7,688.00		0.00	-567.12	
Subtotals	250.00000		\$13,082.73	\$13,527.04		\$0.00	(\$444.31)	
GIGAMON INC	300.00000 04/08/2014	05/08/2014	5,063.28	5,357.97		0.00	-294.69	:
CUSIP: 37518B102	200.00000 04/08/2014	05/08/2014	3,375.53	3,577.00		0.00	-201.47	
Subtotals	500.00000		\$8,438.81	\$8,934.97		\$0.00	(\$496.16)	
MARATHON PETROLEUM CORP CUSIP: 56585A102	150,00000 12/15/2014	12/17/2014	12,617.87	12,331.50		0.00	286.37	
MASTEC INC CUSIP: 576323109	175.00000 08/26/2013	01/07/2014	5,626.40	5,825.75		0.00	-199.35	
MOOG INC CL A CUSIP: 615394202	125.00000 02/03/2014 75.00000 02/03/2014	02/12/2014 02/12/2014	7,503.00 4,499.54	7,271.25 4,362.75		o.oo	231.75 136.79	
Subtotals	200,00000		\$12,002.54	\$11,634.00		\$0.00	\$368.54	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

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As of Date: 02/19/15

#### NICK JOE RAHALL II

# 1099 B. Proceeds from Broker and Barrer Exchange Gansactions continued with the continued of the continued o

30x 2: Type of Gain or Loss - Short Term 30x 3: Basis Reported to the IRS 30x 5: Box not Checked (Covered Security)

short Term Gains/Losses - Report on Form 8949, Part I with Box A checked

ine 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

escription ;USIP	≽	Date Sold or Disposed	Proceeds	<u>5</u>	<u>A</u>	Gain/Loss	
lox (1)	Quantity Sold (Box 1b)	(Bex 10)	(Bear 1d)	(Box 1¢)		Amount	Additional Information
PUMA BIOTECHNOLOGY INC CUSIP: 74587V107	200.00000 07/24/2014	07/29/2014	45,133.50	42,130.50	0.00	3,003.00	Short Sale
YUM BRANDS INC CUSIP: 988498101	200.00000 07/21/2014	11/04/2014	14,280.70	14,981.98	0.00	-701.28	
PUT HERBALIFE LTD \$65 EXP 08/01/14 CUSIP: N/A	2.00000 07/22/2014	07/30/2014	1,278.90	731.03	0.00	547.87	
PUT TESLA MOTORS \$190 EXP 06/21/14 CUSIP: N/A	1.00000 01/23/2014	01/27/2014	3,723.89	3,366.04	0.00	357.85	
PUT TESLA MOTORS \$260 EXP 04/19/14 CUSIP: N/A	1.00000 02/26/2014	02/26/2014	2,409.10	2,189.89	0,00	219.21	
PUT FACEBOOK INC \$75 EXP 04/19/14 CUSIP: N/A	2.00000 02/20/2014	03/14/2014	1,578.90	1,550.98	0.00	27.92	
PUT PUMA BIOTECHNOLOGY \$240 EXP 09/05/14 CUSIP: N/A	1.00000 07/30/2014	09/05/2014	0.00	3,291.04	0.00	-3,291.04	
PUT PUMA BIOTECHNOLOGY \$275 EXP 12/20/14 CUSIP: N/A	1.00000 09/10/2014	09/26/2014	5,328.84	4,651.04	0.00	677.80	
Totals (8949, Part I with Box A checked)	e)		\$151,458.62	\$151,932.21	\$0.00	(\$475.59)	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.



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## 2014 Consolidated Forms 1099

As of Date: 02/19/15

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NICK JOE RAHALL II

# 1099-B Proceeds from Broker and Bartet Exchange Transactions, continued

.ong Term Gains/Losses - Report on Form 8949, Part II with Box D checked

Type of Gain or Loss - Long Term Basis Reported to the IRS Box not Checked (Covered Security)

οχ 5:

he 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

escription USIP	Date Acquired Acquired Ouantity Sold Ouantity Sold Ouantity Sold Ouantity Sold Ouantity Sold Ouantity Sold Ouantity Sold	Date Sold or Disposed	Proceeds (Bax 1s)	Cost or Other Basis	Code (Box 17)	Adjustments	Gain/Loss Amount	Additional Information
CANADIAN NATURAL RESOURCES LTD CUSIP: 136385101	235.00000 03/20/2012 02/11/2014 65.00000 04/20/2012 02/11/2014	02/11/2014 02/11/2014	7,998.53 2,212.37	8,407.83 2,162.75		0.00 0.00	-409.30 49.62	į
Subtotals	300.00000		\$10,210,90	\$10,570.58		\$0.00	(\$359.68)	
HEWLETT-PACKARD COMPANY CUSIP: 428236103	125.00000 08/17/2011 175.00000 03/04/2011	01/13/2014 01/29/2014	3,501,45 4,976,33	4,020.81 7,539.23		0.00	-519.36 -2,562.90	
Subtotals	300.00000		\$8,477.78	\$11,560.04		\$0.00	(\$3,082.26)	
Totals (8949, Part II with Box D checked)	(¢		\$18,588.68	\$22,130.62		\$0.00	(\$3,441.94)	

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# 1099-E Proceeds from Broke and Barter Exchange Transactions communed

-ong Term Gains/Losses - Report on Form 8949, Part II with Box € checked

3 ox 2: Type of Gain or Loss - Long Term
3 ox 3: Basis Not Reported to the IRS
3 ox 5: Box Checked (Noncovered Security)

'he 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

	(\$22,933.96)	\$0.00	\$80,361.94	\$57,427.98			i otals (6349, Part II With Box E checked)
	(\$8,994.17)	\$0.00	\$12,350.11	\$3,365.94		00000.67	The state of the s
	-1,433.82	0.00	2,759.42	1,325,00	410770170	## 00000	Cartotale
	-2,700.90	2.5	0,011.00	225.50	02/10/2017	29 62500 06/24/2008	
	2750.05	3 8	3 644 80	R83 73	02/10/2014	19.75000 01/04/2008	CUSIP: 949/46101
	4 700 30	3	5.945.00	1.146.61	02/10/2014	25.62500 10/24/2007	WELLS FARGO COMPANY
	-1,452.84	0.00	7,091.74	3,000,90	01/23/2014		CUSIP: 689648103
			1 200	F 635 00	VIOGEG 10	200 0000 09/18/2008	OTTER TAIL CORPORATION
	-291.64	0.00	1,395.62	1,103.98	01/07/2014	50.00000 10/23/2007	CUSIP: 584404107
	-1,245.37	0.00	6,645.07	5,399.70	01/08/2014	200.00000 04/11/2008	GENERAL ELECTRIC COMPANY CUSIP: 369604103
	(\$15,322.54)	\$0.00	\$25,861.65	\$10,339.11		3/9,00000	Captonia
	-1,326.82	0.00	3,394.65	2,007.00	102014	375 0000	Cittofala
	-3,200.90		3 2 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	3,000.10	02/10/2014	75.00000 09/26/2007	
	3,000,7	3 8	л оля OO	2 757 10	02/10/2014	100.00000 03/27/2006	
	5036.41	3	7.793.50	2,757.09	02/10/2014	100.00000 04/20/2005	
	-5.758.41	0.00	8,515.50	2,757.09	02/10/2014	100.00000 09/29/2004	CUSIP: 364730101
	(\$9,074.39)	\$0.00	\$11,232.70	44,100.00			GANINETT OO INC DEI
	1,000.01		*44 000 VE	45 ARO 26		45,00000	Subtotals
	-2 098 32	0.00	3,047.50	949.18	02/10/2014	19.78948 10/09/2008	•
	-2.969.15	0.0	3,562.38	593.23	02/10/2014	12.36842 01/08/2008	
	-1.693.50	9	1,953.51	260.01	02/10/2014	100/2007	
	-2,313.42	0.00	2,669.36	355.94	02/10/2014	7.42105 11/06/2007	CUSIP: 172967424
	\$13,446.99	\$0.00	\$15,885.00	\$25,431,99			CITIOROLID INC NEW
	5,/15./9	0.00	0,384.00	14,100.70		250 00000	Subtotals
	7,731.20	0.00	9,591.00	13 100 70	01/23/2014	100.00000 01/01/2001	CUSIP: 031162100
CONTRACTOR INTO THE COLUMN TO			۱	47 200 00	מל ומפוטות א	150 0000 01/01/2001	AMGEN INC
Additional Information	Amount	Adjustments	Other Basis Code	(Bax 1d)	(Box 1c)	Quantity Sold (box 1b)	Special (II)
			Coefor	Proceeds	Date Sold or Disposed	Date Acquired	Carcipator
	io compiere Jose retain.	and an inches		,		t.	becription

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#### Wells Fargo Advisors Account

#### 2014 Purchases

Date	Description	Amount
01/22	200 Agrium Inc	\$18,657.00
01/23	1 Put Tesla Motors \$190 Exp 06/21/14	3,366.04
02/03	115 Celanese Corporation Series A	5,839.04
02/03	200 Moog Inc CI A	11,634.00
02/05	95 Agrium Inc	8,129.45
02/20	2 Put Facebook Inc \$75 Exp 04/19/14	1,550.98
02/26	1 Put Tesla Motors \$260 Exp 04/19/14	2,189.89
04/08	500 Gigamon Inc	8,934.97
07/21	200 Yum Brands Inc	14,981.98
07/22	2 Put Herbalife Ltd \$65 Exp 08/01/14	731.03
07/24	200 Puma Biotechnology Inc	42,130.50
07/30	1 Put Puma Biotechnology \$240 Exp 09/05/14	3,291.04
09/10	1 Put Puma Biotechnology \$275 Exp 12/20/14	4,651.04
11/12	200 Murphy Oil Corp	10,655.00
12/01	100 Murphy Oil Corp	4,952.00
12/15	150 Marathon Petroleum Corp	<u>12.331.50</u>

\$154,025,46

#### Wells Fargo SEP IRA

#### 2014 Transactions

Date	Description	Amount
<u>Purchases</u>		
01/29	300 I Shares Silver Trust	\$5,751.53
09/09	200 Carlyle Group LP Partnership Int	6,415.00
09/11	300 I Shares Silver Trust	5,478.00
09/19	200 Powershares DB ETF Agriculture Fund	5,140.98
10/13	375 American Realty Capital Properties Inc	4,418.25
10/28	200 Powershares DB ETF Agriculture Fund	5,204.00
12/05	275 Linn Energy LLC Units	4,672.50
12/09	100 Linn Energy LLC Units	1,402.00
12/15	125 Linn Energy LLC Units	1,475.75
12/30	109.417 AMF Large Cap Equity Fund reinvestment	1,199.21
12/31	51.003 Aston Funds Growth Fd reinvestment	<u>1,301.60</u>
		42,458.82
<u>Sales</u>		
01/29	3,000 First Data Corp Co GTD Callable 11.25% Due 03/31/16	\$3,000.00
02/18	300 I Shares Silver Trust	6,141.15
10/14	200 Powershares DB ETF Agriculture Fund	5,247.88
10/21	375 American Realty Capital Properties Inc	<u>4,501.69</u>
		<u>\$18,890.72</u>

#### **USB Financial Services IRA**

#### **2014 Transactions**

Date	Description	Amount
<u>Purchases</u>		
04/02	109.383 Templeton Global Total Return Class A	\$1,460.26
04/23	312.335 Neuberger Berman ABS Ret Fund	3,432.56
04/23	329.105 Goldman Sachs Multi-Manager Alts Fund	3,432.56
04/29	102.412 Prudential Total Return Bond Fund Class A	1,461.42
08/21	120.821 Columbia Select Large Cap Growth Z Fd	2,260.56
08/21	73.735 O'Shaughnessy Enhanced Dividend Fd	1,003.53
08/21	12.924 T Rowe Price Mid Cap Growth Fd	1,003.52
08/25	92 First Tr Europe Alphadex ETF DE	2,948.22
08/25	35 First Trust Mid Cap Core Alphadex Fd	<u>1.845.64</u>
		\$18.848.27
<u>Sales</u>		
03/31	112.167 Templeton Global Bond Adv	\$1,459.29
04/21	66.998 Diamond Hill Long Short Fund	1,534.92
04/21	45.941 Gateway fund Class Y	1,330.45
04/21	72.035 Mainstay Marketfield Fund Class I	1,293.75
04/21	80.543 Merger Fund SBI	1,295.94
04/29	109,383 Templeton Global Total Return Class A	1,461.36
08/21	31.009 Wells Fargo Growth Fd	1,736.81
08/25	10 SPDR S&P 600 Small Cap ETF	1,025.58
08/25	15 SPDR S&P Midcap 400 ETF TR	3,883.73
08/25	54 Vanguard FTSE Europe ETF DE VSP	3.088.66
		<u>\$18,110.49</u>