#### Name: A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the FINANCIAL DISCLOSURE STATEMENT UNITED STATES HOUSE OF REPRESENTATIVES TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or your dependent child? D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? reporting period? PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS **EXEMPTION** – Have you excluded from this report any other assets, "uneamed" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER <u>BOTH</u> OF THESE QUESTIONS FILER STATUS end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period? Javier. X **Employing Office:** Candidates - Date of Election: U.S. House of Representatives New Officer or Employee New Member of or Candidate for THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE J. Ucasio ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES" State: HAWAII District: Yes Yes Yes Daytime Telephone: For New Members, Candidates, and New Employees Š 8 Š J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years? F. Did you have any reportable agreements or arrangements with an outside entity during the reporting period or in the current E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? calendar year up through the date of filing? FORM B Period Covered: January 1, Amendment Check if A \$200 penalty shall be assessed against any individual who files more than 30 days late. LEGISLATIVE RESOURCE CENTER 2016 APR -7 PM 2: 18 HOUSE OF THE SLECK OFFICE OFFI STREET MATERIALS IN Yes Yes Yes Yes Yes 중 S 공 Š Š

X

## SCHEDUL

| JLE A – ASSETS   | JLE A – ASSETS & "UNEARNED INCOME"   | Name: Jayjer A. O.  | e A. Ocasio Page 2 of 5  |
|--|--|---|--|
| BLOCK A  | BLOCK B  | BLOCK C   | BLOCK D  |
| nd/or Income Sources   | Value of Asset   | Type of Income  | Amount of Income   |
| come and with a fair market value you use a valuation method out to at the end of the reporting period, please specify the method used. It is reportable asset or source off an asset was sold during the generated more than \$200 in included only because it generated more than \$200 in included only because it generated. | Indicate value of asset at dose of the reporting period. If you use a valuation method other than fair market value, please specify the method used.  If an asset was sold during the reporting period and is included only because it generated income, the value | ficheck all columns that apply. For accounts For assets for which you definat generate tax-deferred income (such as other assets indicate the cat 401(k), IRA, 529 accounts), you may check and capital gains, even the "Tax-Deferred" column. Dividends accounts. Check "None" if indresst, and capital gains, even if | come and with a fair market value of asset at dose of the reporting period. Iff Check all columns that apply. For accounts For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all come and with a fair market value you use a valuation method other than fair merket value, that generate tax-deferred income (such as other assets indicate the category of income by checking the appropriate box below. Dividends, Interest, 401(k), IRA, 529 accounts), you may check and capital gains, even if reinvested, must be disclosed as income for assets held in taxable the reporting period of it an asset was sold during the reporting period and is interest, and capital gains, even if reinvested, must be disclosed as income or dependent third in which you checked "Tax-Deferred" in Block C, you may check the "None" on Block C, yo |

| Por                      | Wind | None   \$1.51,000   \$1,001.\$15,000   \$15,001.\$15,000   \$15,001.\$250,000   \$250,001.\$250,000   \$250,001.\$250,000   \$250,001.\$250,000,000   \$250,000,000   \$250,000,000   \$250,000,000   \$250,000,000   \$250,000,000   \$250,000,000   \$250,000,000   \$250,000,000   \$250,000,000   \$250,000,000   \$250,000,000   \$250,000,000   \$250,000,000   \$250,000,000   \$250,000,000   \$250,000,000   \$250,000,000   \$250,001.\$250,000   \$250,001.\$250,000   \$250,001.\$20,000   \$250,001.\$20,000   \$250,001.\$20,000   \$250,001.\$20,000   \$250,001.\$20,000   \$250,001.\$20,000   \$250,001.\$20,000   \$250,001.\$20,000   \$250,000.\$200,000   \$200.\$200.\$200.\$200.\$200.\$200.\$200.\$200 | Board   Boar | Description   Part   Part | Compared to the fact of your spouse (SP) or or or optional column on the fact of your spouse (SP) or optional column on the fact of your spouse (SP) or optional column on the fact of your spouse (SP) or optional column on the fact of your spouse (SP) or optional column on the fact of your spouse (SP) or optional column on the fact of your spouse (SP) or optional column on the fact of your spouse (SP) or optional column on the fact of your spouse (SP) or optional column on the fact of your spouse (SP) or optional column on the fact of your spouse (SP) or optional column on the fact of your spouse (SP) or optional column on the fact of your spouse (SP) or optional column on the fact of your spouse (SP) or optional column on the fact of your spouse (SP) or optional column on the fact of your sp | Color   Colo | Description   Part   Part |
|--------------------------|------|---|--|---|--|--|---|
| Mesoa Coro Stock  EFF  X |      | Examples: Simon & Schuster Indefinite Royalities X  | Examples: Royalise Royalise X  | Simon & Schusler   Indefinite   | Simon & Schusler   Indefinite  | Simon & Schusler  Indefinite  ABC Hedge Fund  X  X  X  X  X  X  X  X  X  X  X  X  X  | Simon & Schusler Indefinite   Royaties   X   X   X   X   X   X   X   X   X  |

|              |  |          | _  |  |  |  |  |          |          |         |   |  |              |  | 구요육        |  |     |                                       |
|--------------|--|----------|--|--|--|--|--|----------|----------|---------|---|--|--------------|--|------------|--|-----|---------------------------------------|
|              |  |          |  | _  | -  |  |  |          |          |         |   |  |              |  |            |  |     | 8                                     |
|              |  |          |  |  |  |  |  |          |          |         |   |  |              |  |            |  |     | BLOCK A  Assets and/or Income Sources |
|              |  |          |  |  |  |  |  |          |          |         |   |  |              |  |            |  |     | <u>හ</u>                              |
|              |  |          |  |  |  |  |  |          |          |         |   |  |              | İ  | ≥ .        |  |     | JØ.                                   |
|              |  |          |  |  |  |  |  |          |          |         |   |  |              |  | ASSET NAME |  |     | BLOCK A                               |
|              |  |          |  |  |  |  |  |          |          |         |   |  | ĺ            |  | ξ          |  |     | <b>교</b>                              |
| }            |  |          |  |  |  | 1  |  |          |          |         |   |  |              |  | m          |  |     | ğ                                     |
|              |  |          |  |  |  |  |  |          |          |         |   |  |              |  |            |  |     | Š                                     |
|              |  |          |  |  |  |  | 1  |          |          |         |   |  | 1            |  | l          |  |     | Š                                     |
|              |  |          |  |  |  | <u> </u>   |  |          |          |         |   |  |              |  |            |  |     | 8                                     |
|              |  |          |  |  | İ  |  |  |          |          |         |   |  |              |  | 4          |  |     |                                       |
|              |  |          |  |  |  |  |  |          |          |         |   |  |              |  |            | None >   |     |                                       |
|              |  |          |  |  |  |  |  |          |          |         |   |  |              |  |            | \$1-\$1,000 co   |     |                                       |
|              |  |          |  |  |  |  |  |          |          |         |   |  |              |  |            | \$1,001-\$15,000 O   |     |                                       |
|              |  |          |  |  |  |  | 1  |          |          |         |   |  |              |  |            | \$15,001-\$50,000  |     |                                       |
|              |  |          |  |  |  |  |  |          |          |         |   |  |              |  |            | \$50,001-\$100,000 m   |     | <b>&lt;</b>                           |
|              |  |          |  |  |  | <b></b>  |  |          |          |         |   |  |              |  |            | \$100,001-\$250,000  |     | i e                                   |
|              |  |          |  |  |  |  |  |          |          |         |   |  |              |  |            | \$250,001-\$500,000 o  |     | BLOCK B                               |
| ļ            |  |          |  |  | 1  |  |  |          | <u> </u> |         |   |  |              |  |            | \$500,001-\$1,000,000  | 1   | BLOCK B                               |
|              |  | !        |  |  |  |  |  |          |          |         |   |  |              | 1  |            | \$1,000,001-\$5,000,000 —  | 1   | ğ                                     |
| <b>—</b>     |  |          |  |  |  | 1  |  |          |          |         |   |  |              |  |            | \$5,000,001-\$25,000,000 -   | 1   |                                       |
|              |  |          |  |  |  |  |  |          |          |         |   |  |              |  |            | \$25,000,001-\$50,000,000  | 1   |                                       |
|              |  |          |  |  |  |  | †  |          |          |         |   |  |              |  |            | Over \$50,000,000  | 1   |                                       |
|              |  |          |  |  |  | -  |  |          |          |         |   |  |              |  |            | Spouse/DC Asset over \$1,000,000* ≤  | 1   |                                       |
|              |  |          | _  |  |  |  |  |          |          |         |   |  |              |  |            | NONE   | 1   |                                       |
| <u> </u>     |  |          |  |  |  | <del> </del>                                     | ļ <u> </u>                                       |          | 1        |         |   |  |              |  |            | DMIDENDS   | 1   |                                       |
| -            |  | ļ        |  |  | 1  | <del> </del>                                     |  |          |          |         |   | -  |              |  |            | RENT   | 1   |                                       |
|              |  |          |  |  |  |  | <u> </u>   |          |          |         |   |  | <del> </del> | _  |            |  | -   | ₹                                     |
|              |  | ļ        |  |  | -  | <u> </u>   | ļ <u> </u>                                       |          |          |         |   |  |              |  |            | INTEREST   | 1   | <b>6</b> E                            |
| <u> </u>     |  |          |  |  | ļ  | ļ  |  |          |          |         |   |  |              |  |            | CAPITAL GAINS  | 4   | BLOCK C                               |
|              |  |          |  |  |  | <u> </u>   |  |          |          |         |   |  |              |  |            | EXCEPTED/BLIND TRUST   |     | <b>ର</b> ୯                            |
|              |  |          |  |  |  |  |  |          |          |         |   |  |              |  |            | TAX-DEFERRED   |     | 96                                    |
|              |  |          |  |  |  |  |  |          |          |         |   |  |              |  |            | Other Type of Income (Specify: e.g.,   | ŀ   |                                       |
|              |  |          |  |  |  |  |  |          |          |         |   |  |              |  |            | Partnership Income or Farm Income)   |     |                                       |
|              |  |          | _  |  | -  |  |  |          |          |         |   |  |              |  |            | None -   |     |                                       |
|              |  |          |  |  |  | _  |  | -        |          |         |   |  |              |  |            | \$1-\$200 ==   |     |                                       |
|              |  |          |  |  |  |  |  |          |          |         |   |  |              | <b></b>  |            | \$201-\$1,000 <b>=</b>   |     |                                       |
|              |  |          |  |  |  |  |  | -        |          |         |   |  | <b></b>      |  |            | \$1,001-\$2,500 <  |     |                                       |
|              |  | -        | —  | <del>                                     </del> |  | <del> </del>                                     |  |          |          |         |   | <u> </u>   |              | <del>                                     </del> |            |  | 1   |                                       |
|              |  |          | Ь—   | -  |  | +-   |  |          |          |         |   |  |              |  |            | \$5,001-\$15,000 ≤ <b>6</b>  | 1   |                                       |
|              |  | · · ·    | _  |  |  | <b>_</b>   |  |          |          |         |   |  |              |  |            | \$15,001-\$50,000 ≦  | 1   |                                       |
|              |  |          |  |  | <u> </u>   |  |  |          |          |         |   |  |              |  |            | \$15,001-\$50,000 ≦ \$<br>\$50,001-\$100,000 ≦ \$                                    | 1   |                                       |
|              |  |          |  |  | _  |  |  |          |          |         |   | <b>—</b>   |              |  |            | \$100,001-\$1,000,000 😾  | 1   |                                       |
|              |  |          |  |  | <del>                                     </del> |  |  |          | <u> </u> |         |   |  |              |  |            | \$1,000,001-\$5,000,000 ×  |     | <b>&gt;</b>                           |
|              |  | <b></b>  | <del>                                     </del> | Η-   | -  |  | <del>                                     </del> |          |          |         |   | <del>                                     </del> |              |  |            | Over \$5,000,000 🔀   |     | BLOCK D                               |
|              |  | <b></b>  | <u> </u>   |  |  |  | <del>                                     </del> |          |          |         |   |  | <u> </u>     |  |            | Spouse/DC Income over \$1,000,000*   | 1   | BLOCK D                               |
|              |  |          | <del>                                     </del> |  |  |  |  |          |          |         |   |  |              |  |            | None –   | 1 : | Š                                     |
|              |  |          |  |  |  | $\vdash$   |  |          |          |         | ŀ |  | <b></b>      | <del>                                     </del> |            | \$1-\$200 =  |     |                                       |
|              |  |          | <u> </u>   |  |  |  | <del>                                     </del> |          |          |         |   |  | † · · ·      |  |            | \$201-\$1,000 ==   |     | 3                                     |
| <u> </u>     |  | ļ        | <u> </u>   |  |  |  | T  |          |          |         |   |  |              | Τ.   |            | \$1 M1.\$2 FM =  |     | -                                     |
| $\vdash$     | -  |          |  | _  |  | <del>                                     </del> | $\vdash$   | $\vdash$ |          |         |   | Ì.   |              | <b>†</b> "                                       |            | \$2,501-\$5,000 < CCCCCCCCCCCCCCCCCCCCCCCCCCCCCCCCC                                  | 1   |                                       |
| <del> </del> |  | <u> </u> | <del>                                     </del> |  |  |  | -  |          |          | <b></b> | • |  |              |  |            | \$5,001-\$15,000 ≤ <u>C</u>  | 1   |                                       |
|              |  |          |  |  |  | <del> </del>                                     |  |          |          |         |   |  |              |  |            | \$15,001-\$50,000 ≦ O  |     |                                       |
| -            |  |          | _  | <u> </u>   |  |  | <u> </u>   |          |          |         |   | <u> </u>   |              |  |            | \$15,001-\$50,000 \(\leq\) \(\begin{array}{c} \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ |     |                                       |
|              |  |          |  |  |  |  | <u> </u>   |          |          |         |   | $\vdash$   |              |  |            | \$100,001-\$1,000,000 \(\overline{\pi}\)   |     |                                       |
| -            |  | -        |  |  | <del>                                     </del> |  |  |          |          |         |   |  | -            |  |            | \$1,000,001-\$5,000,000 ×  |     |                                       |
|              |  |          | <b> </b>   | <del>                                     </del> |  | <del>  -</del>                                   |  |          |          |         |   |  |              |  |            | Over \$5,000,000 ×   |     |                                       |
|              | <del>                                     </del> |          | <del>                                     </del> | -  |  |  | <del>                                     </del> |          |          |         |   | $\vdash$   | <u> </u>     |  | <b>!</b>   | Spouse/DC income over \$1,000,000*   | 1   |                                       |
|              | 1  |          |  | Ц  | L  |  |  |          |          | L       |   | 1  |              | t  |            |  | 1   |                                       |

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

잌

## SCHEDULE C - EARNED INCOME

| than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For both the filer a source for other spouse earned income exceeding \$1,000. See examples below. | Name: Javier A. Dcasid |
|---|------------------------|
| reporting period.   | Page 3 of 5            |
| For both the filer  | <u></u>                |

List the source, type, and amount of earned income from any source (other t and filer's spouse, list the source and amount of any honoraria. List only the INCOME LIMITS and PROHIBITED INCOME: Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2014 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,955. The 2015 limit is \$27,225. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff. EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

|  |  |  |  |  | hone | Ontario County Board of Education | Examples: State of Maryland | ABC Trade Association, Baltimore, MD (July 15) | <b>Source</b> (include date of receipt for nonoraria) |        |
|--|--|--|--|--|------|-----------------------------------|-----------------------------|--|---|--------|
|  |  |  |  |  |      | Spouse Salary                     | Salary<br>Speech            | Honorarium                                     | Туре  | 4      |
|  |  |  |  |  |      | N/A                               | \$20,000                    | \$0  | Current Year to Filing                                | Am     |
|  |  |  |  |  |      | N/A                               | \$1,000                     | \$500  | Preceding Year  | Amount |

### SCHEDULE D - LIABILITIES

| Name: Javie & Ocasio   | Page 4 of S                        |
|--|------------------------------------|
|  |                                    |
| a reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting           | ount owed during the reporting     |
| by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence           | gage on your personal residence    |
| old furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and | ss you are personally liable); and |
| should Report a revolving charge account (i.e. credit card) only if the halance at the close of the reporting period         | the cines of the reporting period  |

exceeded \$10,000. Report liabilities of over \$10,000 owed to any one creditor at any time during the period. New Members: Members are required to report all liabilities secured to (unless you rent it out or are a Member); loans secured by automobiles, househ liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Re exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

|   |   |      |  | sp<br>cc. Jт                               |   |                     |
|---|---|------|--|--|---|---------------------|
| - |   |      | Example                                |  |   |                     |
|   |   | none | First Bank of Wilmington, DE           | Creditor                                   |   |                     |
|   |   |      | 5/98                                   | Date<br>Liability<br>Incurred<br>MO/YR     |   |                     |
|   |   |      | Mortgage on Rental Property, Dover, DE | Type of Liability                          |   |                     |
|   |   |      |  | \$10,001-<br>\$15,000                      | > |                     |
|   |   |      |  | \$15,001-<br>\$50,000                      | ф |                     |
|   |   |      |  | \$50,001-<br>\$100,000                     | ဂ |                     |
|   |   |      | х                                      | \$100,001-<br>\$250,000                    | D |                     |
|   |   |      |  | \$250,001-<br>\$500,000                    | m | Amount of Liability |
|   |   |      |  | \$500,001-<br>\$1,000,000                  | п | t of Lia            |
|   |   |      |  | \$1,000,001-<br>\$5,000,000                | 6 | ability             |
|   | ] |      |  | \$5,000,001-<br>\$25,000,000               | I |                     |
| - |   |      |  | \$25,000,001-<br>\$50,000,000              | _ |                     |
|   |   |      |  | Over \$50,000,000                          | _ |                     |
|   |   |      |  | Over \$1,900,000*<br>(Spouse/DC Liability) | ~ |                     |

#### **SCHEDULE E - POSITIONS**

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report positions held in the reporting period and the current calendar year. First-year candidates and new employees report positions held in the current calendar year and two previous years.

| the current calendar year. First-year candidates and new t | the current calefroat year. Fill styear candidates and new employees report positions ried in the current calefroat year and two previous years. |
|--|--|
| Position   | Name of Organization   |
| None   |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

## **SCHEDULE F - AGREEMENTS**

| agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government; | Name: Ja               |
|--|------------------------|
| employment; a leave of absence during the period of  | Name: Javier A. Deasid |
| government service;  | Page S of S            |

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

|  |  |      | Date                 |
|--|--|------|----------------------|
|  |  | none | Parties to Agreement |
|  |  |      | Terms of Agreement   |

# SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

| •        | •                                      |                             |
|----------|--|-----------------------------|
|          | Source (Name and City/State)           | Brief Description of Duties |
| Example: | Doe Jones & Smith, Hometown, Homestate | Accounting Services         |
|          | none                                   |                             |
|          |  |                             |
|          |  |                             |
|          |  |                             |
|          |  |                             |
|          |  |                             |
|          |  |                             |

#### CAMPAIGN NOTICE

#### REGARDING FINANCIAL DISCLOSURE REQUIREMENT

If you have not yet raised (either through contributions or loans from yourself of 7 PM 2: 17 others) or spent in excess of \$5,000 for your campaign, or if you have withdrawn your candidacy, please indicate your status and sign and date below. U.S. HOUSE OF REPRESENTATIVES

The Honorable Karen L. Haas, Clerk Office of the Clerk, U.S. House of Representatives Legislative Resource Center 135 Cannon House Office Building Washington, DC 20515-6601

| ndicate Your Status:<br>Select One) | Dear Madam Clerk:  |
|-------------------------------------|--|
|                                     | This is to notify you that I have not yet raised (either through contributions or loans from myself      |
| Over \$5,000 •                      | or others) or spent in excess of \$5,000 for my campaign for the U.S. House of Representatives.          |
| Exceeded                            | I understand that when I do raise or spend in excess of \$5,000 for my campaign, I must file a Financial |
|                                     | Disclosure Statement with the Clerk of the House of Representatives according to the deadlines           |
|                                     | set out on pages 2 and 3 of the Financial Disclosure Instruction booklet, a copy of which has been       |
|                                     | provided to me by the Clerk.   |
|                                     |  |
| 1                                   | This is to notify you that under the laws of the state of,   |
| Withdrawal of Candidacy             | I withdrew my candidacy for the U.S. House of Representatives on   |
|                                     | [Note: If your Financial Disclosure Statement was due before the date on which you withdrew              |
|                                     | from the race, you still must file a Financial Disclosure Statement with the House.]                     |
|                                     |  |
|                                     | Name (Please Print or Type): Javier A. Ocasio  |
|                                     | State: Hawaii District: 1  |
|                                     | Date: 28 Morch 16  |
|                                     |  |

(THIS PAGE WILL BE MADE PUBLICLY AVAILABLE)

RETURN COMPLETED STATEMENT TO:
The Clerk, U.S. House of Representatives
Legislative Resource Center
135 Cannon House Office Building
Washington, DC 20515-6601