



Filing ID #10010596

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Raúl M. Grijalva
Status: Member
State/District: AZ03

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2015
Filing Date: 07/14/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3426 9th Ave LOCATION: Tucson, AZ, US	JT	\$50,001 - \$100,000	Rent	\$1,001 - \$2,500	<input type="checkbox"/>
American Express Company (AXP)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Amgen Inc. (AMGN)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Baxter International Inc. (BAX)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Boeing Company (BA)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Calamos Global Total Return Fund (CGO)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Corning Incorporated (GLW)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Delphi Automotive plc Ordinary Shares (DLPH)	SP	None	Capital Gains,	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
			Dividends		
Dow Chemical Company (DOW)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Eli Lilly and Company (LLY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
FedEx Corporation (FDX)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
First Industrial Realty Trust, Inc. (FR)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
General Dynamics Corporation (GD)	SP	None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
Genuine Parts Company (GPC)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Gilead Sciences, Inc. (GILD)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Healthcare Realty Trust Incorporated (HR)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Home Depot, Inc. (HD)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Honeywell International Inc. (HON)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Intel Corporation (INTC)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
John Hancock Financial Opportunities Fund (BTO)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
JP Morgan Chase & Co. (JPM)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
KKR & Co. L.P. Common Units Representing Limited Partnership Interest (KKR)	SP	None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
Kohl's Corporation (KSS)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Masonite International Corporation Ordinary Shares (DOOR)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Mastercard Incorporated (MA)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Microchip Technology Incorporated (MCHP)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Microsoft Corporation (MSFT)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
MORGAN STANLEY BANK NA	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Mylan N.V. (MYL)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Nike, Inc. (NKE)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Nuveen Diversified Dividend and Income Fund Shares of Beneficial Interest (JDD)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
OPPENHEIMER GLOBAL (OGLYX)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
PayPal Holdings, Inc. (PYPL)	SP	None	None		<input checked="" type="checkbox"/>
Pepsico, Inc. (PEP)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
PetSmart, Inc (PETM)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Pfizer, Inc. (PFE)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
QUALCOMM Incorporated (QCOM)	SP	None	None		<input checked="" type="checkbox"/>
Skechers U.S.A., Inc. (SKX)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Starbucks Corporation (SBUX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Stryker Corporation (SYK)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TeklaLife Sciences Investors (HQL)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
The Gabelli Healthcare & Wellness Trust Common Shares of Beneficial Interest (GRX)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
United Parcel Service, Inc. (UPS)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
United Technologies Corporation (UTX)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Visa Inc. (V)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Walgreens Boots Alliance, Inc. (WBA)	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Walt Disney Company (DIS)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Wells Fargo & Company (WFC)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
American Express Company (AXP)	SP	05/13/2015	P	\$1,001 - \$15,000	
American Express Company (AXP)	SP	09/15/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Amgen Inc. (AMGN)	SP	01/15/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Amgen Inc. (AMGN)	SP	05/11/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Baxter International Inc. (BAX)	SP	07/29/2015	P	\$1,001 - \$15,000	
Baxter International Inc. (BAX)	SP	10/15/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Boeing Company (BA)	SP	09/3/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Calamos Global Total Return Fund (CGO)	SP	05/28/2015	P	\$1,001 - \$15,000	
Calamos Global Total Return Fund (CGO)	SP	10/15/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Corning Incorporated (GLW)	SP	05/11/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Delphi Automotive plc Ordinary Shares (DLPH)	SP	02/5/2015	P	\$1,001 - \$15,000	
Delphi Automotive plc Ordinary Shares (DLPH)	SP	07/29/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Dow Chemical Company (DOW)	SP	12/9/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Eli Lilly and Company (LLY)	SP	05/13/2015	P	\$1,001 - \$15,000	
FedEx Corporation (FDX)	SP	10/15/2015	P	\$1,001 - \$15,000	
First Industrial Realty Trust, Inc. (FR)	SP	01/27/2015	P	\$1,001 - \$15,000	
First Industrial Realty Trust, Inc. (FR)	SP	04/10/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
General Dynamics Corporation (GD)	SP	04/10/2015	P	\$1,001 - \$15,000	
General Dynamics Corporation (GD)	SP	06/2/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Genuine Parts Company (GPC)	SP	05/11/2015	P	\$1,001 - \$15,000	
Genuine Parts Company (GPC)	SP	07/29/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Gilead Sciences, Inc. (GILD)	SP	01/15/2015	P	\$1,001 - \$15,000	
Gilead Sciences, Inc. (GILD)	SP	05/28/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Healthcare Realty Trust Incorporated (HR)	SP	05/11/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Home Depot, Inc. (HD)	SP	01/15/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Home Depot, Inc. (HD)	SP	05/13/2015	P	\$1,001 - \$15,000	
Home Depot, Inc. (HD)	SP	07/10/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Honeywell International Inc. (HON)	SP	08/19/2015	P	\$1,001 - \$15,000	
Intel Corporation (INTC)	SP	05/13/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
John Hancock Financial Opportunities Fund (BTO)	SP	01/27/2015	P	\$1,001 - \$15,000	
KKR & Co. L.P. Common Units Representing Limited Partnership Interest (KKR)	SP	04/10/2015	P	\$1,001 - \$15,000	
KKR & Co. L.P. Common Units Representing Limited Partnership Interest (KKR)	SP	04/28/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Kohl's Corporation (KSS)	SP	12/9/2015	P	\$1,001 - \$15,000	
Masonite International Corporation Ordinary Shares (DOOR)	SP	08/19/2015	P	\$1,001 - \$15,000	
Microchip Technology Incorporated (MCHP)	SP	01/15/2015	P	\$1,001 - \$15,000	
Microchip Technology Incorporated (MCHP)	SP	07/14/2015	P	\$1,001 - \$15,000	
Microsoft Corporation (MSFT)	SP	09/15/2015	P	\$1,001 - \$15,000	
Mylan N.V. (MYL)	SP	04/10/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Nuveen Diversified Dividend and Income Fund Shares of Beneficial Interest (JDD)	SP	05/11/2015	P	\$1,001 - \$15,000	
Nuveen Diversified Dividend and Income Fund Shares of Beneficial Interest (JDD)	SP	09/15/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Oppenheimer GLOBAL (OGLYX)	SP	04/10/2015	P	\$1,001 - \$15,000	
PayPal Holdings, Inc. (PYPL)	SP	07/29/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PayPal Holdings, Inc. (PYPL)	SP	09/3/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
PetSmart, Inc (PETM)	SP	01/27/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
QUALCOMM Incorporated (QCOM)	SP	02/5/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Skechers U.S.A., Inc. (SKX)	SP	12/9/2015	P	\$1,001 - \$15,000	
Starbucks Corporation (SBUX)	SP	07/10/2015	P	\$1,001 - \$15,000	
Stryker Corporation (SYK)	SP	05/28/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TeklaLife Sciences Investors (HQL)	SP	07/14/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TeklaLife Sciences Investors (HQL)	SP	10/15/2015	P	\$1,001 - \$15,000	
The Gabelli Healthcare & Wellness Trust Common Shares of Beneficial Interest (GRX)	SP	04/10/2015	P	\$1,001 - \$15,000	
United Parcel Service, Inc. (UPS)	SP	04/10/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
United Technologies Corporation (UTX)	SP	01/27/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
United Technologies Corporation (UTX)	SP	08/19/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Walgreens Boots Alliance, Inc. (WBA)	SP	07/14/2015	P	\$1,001 - \$15,000	
Walgreens Boots Alliance, Inc. (WBA)	SP	12/9/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Walt Disney Company (DIS)	SP	02/5/2015	P	\$1,001 - \$15,000	

SCHEDULE C: EARNED INCOME

Source	Type	Amount
CITY OF TUCSON SUPPLEMENTAL RETIREMENT SYSTEM	SPOUSE PENSION	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	WELLS FARGO BANK	2008	MORTGAGE ON 220 13TH ST SE #4, WASHINGTON DC 20003	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
ADVISORY COUNCIL MEMBER	CONGRESSIONAL HISPANIC CAUCUS INSTITUTE
BOARD MEMBER	PROGRESSIVE CONGRESS

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 1998	RAUL GRIJALVA; ARIZONA STATE RETIREMENT SYSTEM	RETIREMENT PLAN (NOT SELF DIRECTED)
February 2002	RAUL GRIJALVA; PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM	ELECTED OFFICIALS RETIREMENT ACCOUNT (NOT SELF DIRECTED)

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Progressive Congress	02/5/2015	02/7/2015	DC - PHILADELPHIA - DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Humpty Dumpty Institute	12/3/2015	12/4/2015	DC - New York, NY - DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Raúl M. Grijalva , 07/14/2016