

UNITED STATES HOUSE OF REPRESENTATIVES
2018 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

LEGISLATIVE RESOURCE CENTER

2019 JUL 26 AM 10:44
(Office Use Only)

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

Name: **LAURENCE FRANCIS ROONEY**

Daytime Telephone: _____

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: FLORIDA	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>	
		District: 19				
REPORT TYPE	<input checked="" type="checkbox"/> 2018 Annual (Due: May 15, 2019)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____		

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"			

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from the report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

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Use additional sheets if more space is required.

NAME: LAURENCE FRANCIS ROONEY

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NAME: LAURENCE FRANCIS MOONEY

Page 4 of 57

NAME: LAURENCE FRANCIS ROONEY

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NAME: LAURENCE FRANCIS ROONEY

Page 6 of 57

NAME: LAURENCE FRANCIS ROONEY

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NAME: LAURENCE FRANCIS ROONEY

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NAME: LAURENCE FRANCIS ROONEY

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NAME: LAURENCE FRANCIS ROONEY

Doc 44-457

SCHEDULE A - ASSETS & "UNEARNED INCOME"

NAME: LAURENCE FRANCIS ROONEY

BLOCK A Assets and/or Income Sources			BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction p. 5, 5 (Part), E																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																	
39, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g. Partnership Income or Farm Income)	Current Year																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																												
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			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					

SCHEDULE A - ASSETS & "UNEARNED INCOME"

NAME: LAURENCE FRANCIS ROONEY

BLOCK A		BLOCK B												BLOCK C										BLOCK D												BLOCK E																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																	
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SP, DC, JT	ASSET NAME	EIF																							Current Year																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																												
			A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g. Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		
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SCHEDULE A - ASSETS & UNREALIZED INCOME

NAME: LAURENCE FRANCOIS ROBERT

BLOCK A Assets and/or Interest Securities	BLOCK B Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
20	21	22	23	24
DC	25	26	27	28
IF	29	30	31	32
ASSET NAME	EIF	None	None	None
Brown Advisory - Actuan Global Value Fund II Adv (A0004)	X			
Brown Advisory - American Tower Corp. (AAV)	X			
Brown Advisory - Arcturian Communities, Inc. (ABV)	X			
Brown Advisory - W.P. Carey, Inc. (WPC)	X			
Brown Advisory - EV State Property & Building Commission 5% DUE 11/01/2023 (B9151F01)	X			
Brown Advisory - Huntington Nat. School District GO 4% DUE 07/01/2027 (A06848W6)	X			
Brown Advisory - El Paso Co. TX GO 5% DUE 03/15/2029 (B3497U23)	X			
Brown Advisory - Linn Co. IL Community Unit School District #116 GO 5% DUE 01/15/2025 (B99120W2)	X			
Brown Advisory - NC State Medical Care Commission Retirement Facilities 7.5% DUE 03/01/2041 (B6A0V1N1)	X			
Brown Advisory - PA State University 5% DUE 09/01/2027 (799235Y8)	X			
Brown Advisory - Houston TX GO 5% DUE 03/15/2030 (A42403Y6)	X			
Brown Advisory - Houston TX GO 5% DUE 03/01/2018 (160075G6)	X			
Brown Advisory - Norwest AG Sponsored ADM (NAG)	X			
Brown Advisory - Merck & Co. Inc. (MRK)	X			
Brown Advisory - Abbvie, Inc. (ABBV)	X			
Round Family Investments Ltd Private Investments, Madrid, SL	X			
CS Brokerage - FIRST EAGLE GLOBAL FUND FIRST EAGLE GLOBAL (F001)	X			
CS Brokerage - NUTCRACKER FUNDING RATE INCOME FUND NUTCRACKER FUNDING RATE INCOME (NTRN)	X			
CS Brokerage - FIRST EAGLE GLOBAL FUND FIRST EAGLE GLOBAL (F001)	X			
CS Brokerage - UNIVERSITY NEW PACS COMP REV 5% DUE 02/15/21 PA (MUN)	X			
CS Brokerage - LOUISVILLE & JEFFERSON CITY GO 5% 11/01/2019 (MUN)	X			
CS Brokerage - STATE OF LOUISIANA GO 5.000% 07/15/23 J (M182423)	X			
CS Brokerage - WASH FED HAVY CRMT ANTC REV 5.000% 09/01/19 MS (MUN)	X			
CS Brokerage - THAVIS CITY TEX FOR ISSUES DTD 08 1.500% 09/01/19 MS (MUN)	X			
CS Brokerage - WASHINGTON CITY UTAH SOI DIST GO 5% 09/01/19 J (MUN)	X			
CS Brokerage - WASHINGTON CITY UTAH SOI DIST GO 5% 09/01/19 MS (MUN)	X			
CS Brokerage - MAINE MUNI BD BK REV 4% 11/01/19 MN (MUN)	X			
CS Brokerage - MAGELLAN MIDSTREAM PARTNERS LP CMN (MMP)	X			
CS Brokerage - RHODE ISLAND ECONOMIC REV 3.250% 08/15/19 J (MUN)	X			
CS Brokerage - KENTUCKY ST PRTY & BLDG COMM REV 5.000% 09/01/19 MN (MUN)	X			
CS Brokerage - MINNESOTA TECHNOLOGICAL UNIV REV 4% 10/01/19 AD (MUN)	X			
CS Brokerage - ARIZONA RND REGENTS UNIV REV 3% 09/01/19 J (MUN)	X			
CS Brokerage - PLAINS AMERICAN PIPELINE LP COMMON UNITS (PAA)	X			

NAME: LAURENCE FRANCIS RODNEY

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

NAME: LAURENCE FRANCIS RODNEY

BLOCK A		BLOCK B													BLOCK C								BLOCK D												BLOCK E				
Assets and/or Income Sources		Value of Asset													Type of Income								Amount of Income												Transaction				
																							Current Year																
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g. Partnership Income or Farm Income)	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII				
SP, DC, JT	ASSET NAME	EPF																																					
	GS Brokerage - DCB MIDSTREAM LP CMIN (DCP)			X																				X														P	
	GS Brokerage - ENERGY TRANSFER PARTNERS, LP CMIN (ETP)			X																				X													P		
	GS Brokerage - MAGELLAN MIDSTREAM PARTNERS LP CMIN (MMP)				X																			X													P		
	GS Brokerage - ENERGY TRANSFER PARTNERS, LP CMIN (ETP)					X																		X													P		
	GS Brokerage - VULCAN MATERIALS CO CMIN (VMC)																							X													S		
	GS Brokerage - YUM CHINA HOLDINGS, INC. CMIN (YUMC)			X																				X													S		
	GS Brokerage - VORNADO REALTY TRUST CMIN (VNO)			X																				X													S		
	GS Brokerage - SCANA CORP CMIN (SCS)			X																				X													S		
	GS Brokerage - D.R. HORTON, INC. CMIN (DHI)			X																				X													S		
	GS Brokerage - HARTFORD FINANCIAL SVCS GROUP CMIN (HIG)			X																				X													S		
	GS Brokerage - AGILENT TECHNOLOGIES, INC. CMIN (A)			X																				X													S		
	GS Brokerage - JAZZ PHARMACEUTICALS PFC CMIN (JAZZ)			X																				X													S		
	GS Brokerage - TARGET CORPORATION CMIN (TGT)			X																				X													S		
	GS Brokerage - EQUITY RESIDENTIAL CMIN (EDN)			X																				X													S		
	GS Brokerage - TWENTY-FIRST CENTURY FOX, INC. CMIN CLASS B (FOX)			X																				X													S		
	GS Brokerage - AMERISOURCEBERGEN CORPORATION CMIN (ABC)			X																				X													S		
	GS Brokerage - REGENCY CENTERS CORPORATION CMIN (REG)			X																				X													S		
	GS Brokerage - DISCOVERY COMMUNICATIONS, INC. CMIN (DISCA)			X																				X													S		
	GS Brokerage - TRACTOR SUPPLY CO CMIN (TSCO)			X																				X													S		
	GS Brokerage - PVI CORP CMIN (PVI)			X																				X													S		
	GS Brokerage - HARRIS CORP CMIN (HRS)			X																				X													S		
	GS Brokerage - CONCHO RESOURCES INC. CMIN (CXO)			X																				X													S		
	GS Brokerage - THE WILLIAMS COMPANIES, INC. CMIN (WVNB)			X																				X													S		
	GS Brokerage - ACURTY BRANDS, INC. CMIN (AVY)			X																				X													S		
	GS Brokerage - WILLIS TOWERS WATSON PLC CMIN (WLTW)			X																				X													S		
	GS Brokerage - NEWMONT MINING CORPORATION CMIN (NEM)			X																				X													S		
	GS Brokerage - PRAXAIR, INC. CMIN (PXI)			X																				X													S		
	GS Brokerage - MOODY'S CORPORATION CMIN (MCO)			X																				X													S		
	GS Brokerage - DISCOVERY COMMUNICATIONS, INC. CMIN SERIES A (DISCA)			X																				X													S		
	GS Brokerage - VF CORP CMIN (VFC)			X																				X													S		
	GS Brokerage - STANLEY BLACK & DECKER, INC. CMIN (SWK)			X																				X													S		
	GS Brokerage - MOLSON COORS BREWING CO CMIN CLASS B (TAP)			X																				X													S		
	GS Brokerage - NUCOR CORPORATION CMIN (NUE)			X																				X													S		
	GS Brokerage - COTY, INC. CMIN CLASS A (COTY)			X																				X													S		
	GS Brokerage - UNITED CONTINENTAL HOLDING INC CMIN (UAL)			X																				X													S		
	GS Brokerage - WESCO INTERNATIONAL INC CMIN (WCC)			X																				X													S		

SCHEDULE A - ASSETS & "UNEARNED INCOME"

NAME: LAURENCE FRANCIS ROONEY

BLOCK A		BLOCK B													BLOCK C								BLOCK D												BLOCK E		
Assets and/or Income Sources		Value of Asset													Type of Income								Amount of Income												Transaction		
SP, DC, JT	ASSET NAME	EIF																						Current Year												P. 5.5 (Part), E	
			A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g. Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII		
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000		
GS Brokerage -	ARCHER-DANIELS-MIDLAND COMPANY CMN (ADM)		X												X									X													5
GS Brokerage -	WYNN RESORTS, LIMITED CMN (WYNN)		X												X									X													5
GS Brokerage -	DOLLAR TREE STORES, INC. CMN (DLTR)		X												X									X													5
GS Brokerage -	REGIONS FINANCIAL CORPORATION CMN (RF)		X												X									X													5
GS Brokerage -	HOLOGIC INCORPORATED CMN (HOLX)		X												X									X													5
GS Brokerage -	WESTERN DIGITAL CORPORATION CMN (WDC)		X												X									X													5
GS Brokerage -	WASTE MANAGEMENT INC CMN (WM)		X												X									X													5
GS Brokerage -	LABORATORY CORPORATION OF AMER CMN (LH)		X												X									X													5
GS Brokerage -	L BRANDS, INC. CMN (LB)		X												X									X													5
GS Brokerage -	EMBRIDGE INC. CMN (ENB)		X												X									X													5
GS Brokerage -	WHIRLPOOL CORP. CMN (WHR)		X												X									X													5
GS Brokerage -	UNITED RENTALS, INC. CMN (URI)		X												X									X													5
GS Brokerage -	ANALOG DEVICES, INC. CMN (ADI)		X												X									X													5
GS Brokerage -	INVESTCO LTD. CMN (IVZ)		X												X									X													5
GS Brokerage -	DENTSPLY SIRONA INC CMN (XRAY)		X												X									X													5
GS Brokerage -	EQUIFAX INC. CMN (EQX)		X												X									X													5
GS Brokerage -	KROGER COMPANY CMN (KR)		X												X									X													5
GS Brokerage -	INGERSOLL-RAND PLC CMN (IR)		X												X									X													5
GS Brokerage -	AMPHENOL CORP CL-A (NEW) CMN CLASS A (APH)		X												X									X													5
GS Brokerage -	CUMMINS INC COMMON STOCK (CMI)		X												X									X													5
GS Brokerage -	VALEANT ENERGY CORPORATION CMN (VLD)		X												X									X													5
GS Brokerage -	P & E CORPORATION CMN (PEG)		X												X									X													5
GS Brokerage -	PACCAR INC CMN (PCAR)		X												X									X													5
GS Brokerage -	SYSCO CORPORATION CMN (SYV)		X												X									X													5
GS Brokerage -	FORTIVE CORPORATION CMN (FTV)		X												X									X													5
GS Brokerage -	M&T BANK CORPORATION CMN (MTB)		X												X									X													5
GS Brokerage -	BEST BUY CO INC CMN (BBY)		X												X									X													5
GS Brokerage -	VENTAS, INC. CMN (VTR)		X												X									X													5
GS Brokerage -	SKYWORKS SOLUTIONS INC CMN (SWKS)		X												X									X													5
GS Brokerage -	EMBRIDGE INC. CMN (ENB)		X												X									X													5
GS Brokerage -	RED HAT, INC. CMN (RHT)		X												X									X													5
GS Brokerage -	FIFTH THIRD BANCORP CMN (FITB)		X												X									X													5
GS Brokerage -	ROCKWELL AUTOMATION INC CMN (ROK)		X												X									X													5
GS Brokerage -	ROYAL CARIBBEAN CRUISES LTD SH: LB0008552856 (RCL)		X												X									X													5
GS Brokerage -	PARKER-HANNIFIN CORP CMN (PH)		X												X									X													5
GS Brokerage -	PRINCIPAL FINANCIAL GROUP, INC CMN (PFG)		X												X									X													5

NAME: LAURENCE FRANCIS ROONEY

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

NAME: LAURENCE FRANCIS RODNEY

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	EIF	Value of Asset													Type of Income								Amount of Income												P, S, S (Part), E	
			A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g. Partnership Income or Farm Income)	Current Year													
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII		
55	Brokerage - LINCOLN NATL CORP INC CMN (LNC)	X														X																					5
	55 Brokerage - AMEREN CORPORATION CMN (AEE)	X														X																					5
	55 Brokerage - AIR PRODUCTS & CHEMICALS INC CMN (APD)	X														X																					5
	55 Brokerage - EDWARDS LIFESCIENCES CORP CMN (EW)	X														X																					5
	55 Brokerage - HCA HEALTHCARE, INC CMN (HCA)	X														X																					5
	55 Brokerage - YUM BRANDS, INC CMN (YUM)	X														X																					5
	55 Brokerage - INTUITIVE SURGICAL, INC CMN (ISRG)	X														X																					5
	55 Brokerage - INTERNATIONAL PAPER CO CMN (IP)	X														X																					5
	55 Brokerage - HORMEL FOODS CORPORATION CMN (HRL)	X														X																					5
	55 Brokerage - SCHLUMBERGER LTD CMN (SLB)	X														X																					5
	55 Brokerage - AFLAC INCORPORATED CMN (AFL)	X														X																					5
	55 Brokerage - CMS ENERGY CORPORATION CMN (CMS)	X														X																					5
	55 Brokerage - THE PROGRESSIVE CORPORATION CMN (PGR)	X														X																					5
	55 Brokerage - FLUOR CORPORATION CMN (FLR)	X														X																					5
	55 Brokerage - AMERICAN ELECTRIC POWER INC CMN (AEP)	X														X																					5
	55 Brokerage - PIONEER NATURAL RESOURCES CO CMN (PXD)	X														X																					5
	55 Brokerage - CROWN CASTLE INTL CORP CMN (CCI)	X														X																					5
	55 Brokerage - ECOLAB INC CMN (ECI)	X														X																					5
	55 Brokerage - W.W. GRAINGER INC CMN (GWW)	X														X																					5
	55 Brokerage - MARATHON PETROLEUM CORPORATION CMN (MPC)	X														X																					5
	55 Brokerage - CITIZENS FINANCIAL GROUP, INC CMN (CFG)	X														X																					5
	55 Brokerage - MOHAWT INDUSTRIES INC COMMON STOCK (MHI)	X														X																					5
	55 Brokerage - ROPER TECHNOLOGIES INC CMN (ROP)	X														X																					5
	55 Brokerage - HUMANA INC CMN (HUM)	X														X																					5
	55 Brokerage - EBAY INC CMN (EBAY)	X														X																					5
	55 Brokerage - AON PLC CMN (AON)	X														X																					5
	55 Brokerage - WEC ENERGY GROUP, INC CMN (WEC)	X														X																					5
	55 Brokerage - FISERV, INC CMN (FISV)	X														X																					5
	55 Brokerage - LAM RESEARCH CORPORATION CMN (LRC)	X														X																					5
	55 Brokerage - BAXTER INTERNATIONAL INC CMN (BAX)	X														X																					5
	55 Brokerage - CARNIVAL CORPORATION CMN (CCL)	X														X																					5
	55 Brokerage - CORNING INCORPORATED CMN (GLW)	X														X																					5
	55 Brokerage - ALLSTATE CORPORATION COMMON STOCK (ALL)	X														X																					5
	55 Brokerage - ANADARKO PETROLEUM CORP CMN (APC)	X														X																					5
	55 Brokerage - SOUTHWEST AIRLINES CO CMN (LUV)	X														X																					5
	55 Brokerage - NORFOLK SOUTHERN CORP CMN (NSC)	X														X																					5

NAME: LAURENCE FRANCIS ROONEY

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NAME: LAURENCE FRANCIS ROONEY

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

NAME: LOUISE FRANCES ROONIN

BLOCK A		BLOCK B													BLOCK C								BLOCK D													BLOCK E	
Assets and/or Income Sources		Value of Asset													Type of Income								Amount of Income													Transaction	
SP, DC, JT		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify, e.g. Partnership Income or Farm Income)	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P 5, 5 (Part), 6	
	GS Brokerage - SALESFORCE.COM, INC. CMN (CRM)																																				5
	GS Brokerage - BLACKROCK, INC. CMN (BLK)																																				5
	GS Brokerage - AETNA INC. CMN (AET)																																				5
	GS Brokerage - CSX CORPORATION CMN (CSX)																																				5
	GS Brokerage - AMERICAN INTL GROUP, INC. CMN (AIG)																																				5
	GS Brokerage - CHARLES SCHWAB CORPORATION CMN (SCHW)																																				5
	GS Brokerage - AMERICAN EXPRESS CO. CMN (AEXP)																																				5
	GS Brokerage - BECTON, Dickinson and COMPANY CMN (BDX)																																				5
	GS Brokerage - CONOCOPHILLIPS CMN (COP)																																				5
	GS Brokerage - TIME WARNER INC. CMN (TWO)																																				5
	GS Brokerage - PHILIPS 66 PARTNERS LP CMN (PSXP)																																				5
	GS Brokerage - THE BANK OF AMERICA CORP CMN (BA)																																				5
	GS Brokerage - PNC FINANCIAL SERVICES GROUP CMN (PNC)																																				5
	GS Brokerage - UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK (UPS)																																				5
	GS Brokerage - THERMO FISHER SCIENTIFIC, INC. CMN (TMO)																																				5
	GS Brokerage - CATERPILLAR INTERNATIONAL, INC. CMN (CAT)																																				5
	GS Brokerage - MONSIEUR INTERNATIONAL, INC. CMN (MNDZ)																																				5
	GS Brokerage - PAYCOM HOLDINGS, INC. CMN (PAYC)																																				5
	GS Brokerage - ELI LILLY & CO CMN (LLY)																																				5
	GS Brokerage - WESTERN ENERGY INC. CMN (WEE)																																				5
	GS Brokerage - STANBURY CORP. CMN (SBUX)																																				5
	GS Brokerage - BROADCOM LIMITED CMN (AVGO)																																				5
	GS Brokerage - ABBOTT LABORATORIES CMN (ABB)																																				5
	GS Brokerage - LOWE'S COMPANIES INC. CMN (LOW)																																				5
	GS Brokerage - QUALCOMM INC. CMN (QCOM)																																				5
	GS Brokerage - U.S. BANK CORP. CMN (USB)																																				5
	GS Brokerage - ADOBE SYSTEMS INC. CMN (ADBE)																																				5
	GS Brokerage - MONSIEUR STANLEY CMN (MS)																																				5
	GS Brokerage - ACENTURE P.C. CMN (ACN)																																				5
	GS Brokerage - CATERPILLAR INC. (DELAWARE) CMN (CAT)																																				5
	GS Brokerage - BASTOLANES SQUARE COMPANY CMN (BSNY)																																				5
	GS Brokerage - GILEAD SCIENCES CMN (GILD)																																				5
	GS Brokerage - ENBRIDGE INC. CMN (ENB)																																				5
	GS Brokerage - UNION PACIFIC CORP. CMN (UNP)																																				5
	GS Brokerage - MC DONALD'S CORP. CMN (MCD)																																				5
	GS Brokerage - HONEYWELL INTL. INC. CMN (HON)																																				5

NAME: LAURENCE FRANCIS RODNEY

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

NAME: LAURENCE FRANCIS ROONEY

		BLOCK B Value of Asset													BLOCK C Type of Income										BLOCK D Amount of Income												BLOCK E Transaction
																									Current Year												
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g. Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S (Part), E		
SP, DC, JT	ASSET NAME	None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g. Partnership Income or Farm Income)	None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000			
IT	ASSET NAME																																				
GS Brokerage -	EDION MOBIL CORPORATION CMN (EDM)	X													X								X													S	
GS Brokerage -	WELLS FARGO & CO (NEW) CMN (WFC)	X													X								X													S	
GS Brokerage -	BANK OF AMERICA CORP CMN (BAC)	X													X								X													S	
GS Brokerage -	ALPHABET INC CMN CLASS C (GOOG)	X													X								X													S	
GS Brokerage -	ALPHABET INC CMN CLASS A (GOOG)	X													X								X													S	
GS Brokerage -	JOHNSON & JOHNSON CMN (JNJ)	X													X								X													S	
GS Brokerage -	BERKSHIRE HATHAWAY INC CLASS B (BRUB)	X													X								X													S	
GS Brokerage -	JPMORGAN CHASE & CO CMN (JPM)	X													X								X													S	
GS Brokerage -	FACEBOOK INC CMN CLASS A (FB)	X													X								X													S	
GS Brokerage -	AMAZON.COM INC CMN (AMZN)	X													X								X													S	
GS Brokerage -	MICROSOFT CORPORATION CMN (MSFT)	X													X								X													S	
GS Brokerage -	APPLE INC CMN (AAPL)	X													X								X													S	
GS Brokerage -	SPDR S&P 500 ETF (SPY)	X													X								X													S	
Boomer Capital, LLC (Private Investments, Naples, FL)																																				P	
Mission Group Don LLC - Investment in restaurant																																				P	
Kingston Ridge Capital Master Fund, L.P. - Fund Manager Letter on file with the Ethics Committee (Fund closed - 90% of capital returned)																																				S	
Nice Receivable from Boomer Finance LLC (not paid off)																																				S	
Brown Advisory - MD State GO 5% DUE 06-01-2019 (574593M7)																																				P	
Brown Advisory - Charleston Co. SC School District GO 5% DUE 03-01-2018																																				S	
Brown Advisory - Montgomery Co. OH 5% DUE 05-01-2029 (613549R5)																																				P	
Brown Advisory - Chicago IL O'Hare International Airport 5% DUE 01-01-2031 (167598M7)																																				P	
Boomer Family Investments, Ltd. (Private Investments, Naples, FL)																																				P	
GS Brokerage - HOUSTON TEXAS REV 5.0000% 07/01/19 JJ (HJUN)																																				P	
GS Brokerage - WILLIAMSON CNTY TEX 06 5% 02/15/22 FA (WJUN)																																				P	
GS Brokerage - MCANABSON RES ADOT 7% 05/01/20 FA (MUN)																																				P	
GS Brokerage - DU PAGE CNTY IL GO 5.0000% 01/01/20 JJ (MJUN)																																				P	
GS Brokerage - WATERLOO IOWA CMNTY SCH DIST REV 5.2500% 01/01/20 JJ (MJUN)																																				P	
GS Brokerage - UPPER TRINITY REGU. WTR DIST REV 5% 08/01/19 FA (MJUN)																																				P	
GS Brokerage - WISCONSIN ST THARSH REV REV 5.0000% 07/01/21 JJ (MJUN)																																				P	
GS Brokerage - OMAHA CNTY ILL SCH BND CRTS COPI 5.5000% 08/01/24 FA (MJUN)																																				P	
GS Brokerage - WYUUE TEX GO 5% 02/15/20 FA (MJUN)																																				P	
GS Brokerage - HOUSTON TEX GO 5% 03/01/20 MS (MJUN)																																				P	
GS Brokerage - WATERLOO IOWA CMNTY SCH DIST 5 REV 5% 07/01/26 JJ (MJUN)																																				P	

NAME: LAURENCE FRANCIS ROONEY

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NOMME: LAURENCE FRANCIS ADONEY

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

NAME: LAURENCE FRANKS MOONEY

BLOCK A		BLOCK B													BLOCK C								BLOCK D												BLOCK E																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
Assets and/or Income Sources		Value of Asset													Type of Income								Amount of Income												Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
SP, DC, JT	ASSET NAME	EFF																						Current Year												P, S, J (Part), E																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																			
			A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g. Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
	Rooney Family Investments, Ltd. (Private Investments, Naples, FL)																							None																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															

NAME: LAURENCE FRANCIS ROONEY

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NAME: LAURENCE FRANCIS ROONEY

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SCHEDULE A - ASSETS & UNRECORDED INCOME

NAME: LAURENCE FRANCIS ROONEY

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income										BLOCK D Amount of Income												BLOCK E Transaction
																									Current Year												
SP, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g. Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII			
DC		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000	NONE								None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Income over \$1,000,000			
Morgan Stanley - SEATTLE WASH DRUM & WASTEWATER REV RATE/AA/AA+ 5.000% DUE 2027-09-01 (813631NCT)			X											X									X														P
1831 Michael Reddy, LLC - PROPERTY Investment in 1831 Michael Reddy Drive, Heron, VA				X										X									X														P
Brown Advisory - Houston TX Hotel Occupancy ABS 2.84% DUE 09-01-2033					X									X									X														P
Rooney Capital, LLC (Private Investments, Naples, FL)																								X													S
Morgan Stanley - DETROIT MICH WTR SUPPLY BKS REV SER-C RATE/AA/AA+ 5.350% DUE 2027-07-01 (751255NCT)			X															X					X														P
Morgan Stanley - CLEAR CREEK TEX INDOT SCH DIST RATE/AA/AA+ 5.000% DUE 2031-02-15 (184540521)				X										X									X														P
18111 Sunset Hills, LLC - PROPERTY Investment in 11111 Sunset Hills Drive, Heron, VA					X									X									X														P
Brown Advisory - Shreve Core MSCI EAFE ETF									X														X														P
Brown Advisory - Arden International Value Fund CI Adv			X																				X														S
Brown Advisory - Norfolk VA Economic Development Authority VBDN 1.61% DUE 12-01-2018			X																				X														S
Brown Advisory - T. Rowe Price Tax-Free High Yield Bond Fund			X														X						X														S
Brown Advisory - Brown Advisory Tax Exempt Bond Fund CI Int																							X														P
Brown Advisory - Chestnut OH Economic Development P20 11/01/18 @ 200.5% DUE 11-01-2028			X														X						X														S
Brown Advisory - IL State Financing Auth P20 11/1/18 @ 200.5 75% DUE 11-01-2028			X														X						X														S
Rooney Family Investments, Ltd. (Private Investments, Naples, FL)																																					S (Part)
GS Brokerage - BOX FINANCIAL CORP (NEW) CMH (BOXF)																							X														
Rooney Capital, LLC (Private Investments, Naples, FL)																																					
Morgan Stanley - MUSA DADR CO RLA SCH BND CORP/REV SER-A RATE/AA/AA+ 4.000% DUE 2028-08-01 (593334NCT)			X														X																				S
Morgan Stanley - PASADENA TEX INDOT SCH DIST SCH BNDG RET 5% DUE 2028-02-15 (702344NCT)				X																			X														P
Morgan Stanley - OHIO HEALTH PACS AUTH REV-A/D RATE/AA/AA+ 5.000% DUE 2031-12-01 (651255NCT)				X																			X														P
Morgan Stanley - WASHINGTON ST RATE/AA/AA+ 5.000% DUE 2028-08-01 (939740539)					X																		X														P
Morgan Stanley - PORT OF SEATTLE WA WTR/INDOT/UTL REV SER-A RATE/AA/AA+ 5.000% DUE 2031-08-01 (735589NCT)			X																				X														S
Morgan Stanley - WEST VIRGINIA ECONOMIC DEV AUTH LEASE REV RATE/AA/AA+ 5.000% DUE 2028-08-01 (755484NCT)																							X														P
Morgan Stanley - OHIO TURN GEN RCPTS AUTHS GENRCPTS REV RATE/AA/AA+ 5.000% DUE 2028-12-01 (677041NCT)																							X														P
Morgan Stanley - HEWLETT PACKARD ENTERPRISE CO RATE BAA/BBB A 5.000% DUE 2027-10-15 (428241NCT)			X																				X														S
Brown Advisory - Arden Global Value Fund CI Adv									X														X														P
Brown Advisory - Tarrant Co TX Cultural Education Facilities Finance Corp 2.5% DUE 12-01-2018										X													X														S
Rooney Family Investments, Ltd. (Private Investments, Naples, FL)																																					
GS Brokerage - KING CITY WASH PUB HOUS DIST CO 4.000% 12/01/2010 (MAMU)														X									X														P

NAME- LAURENCE CHARLIE DUNN

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Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

JT SP DC		FULL ASSET NAME Provide full name, not ticker symbol	Type of transaction				Date of Transaction	Amount of Transaction												
			PURCHASE	SALE	PARTIAL SALE	EXCHANGE		Check Box if Capital Gain Exceeded \$200	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J	K
										Transaction in a Spouse or Dependent Child Asset over \$1,000,000										
	Brown Advisory - Houston TX GO 5% DUE 03-01-2026 (442331K60)	X					1/2/2018		X											
	Roomey Family Investments, Ltd. (Private Investments, Naples, FL):																			
	GS Brokerage - ONEOK INC CMN (OKE)	X					1/5/2018	X												
	GS Brokerage - XCEL ENERGY INC CMN (XEL)	X					1/4/2018	X												
	GS Brokerage - SCHLUMBERGER LTD CMN (SLB)	X					1/4/2018	X												
	GS Brokerage - GENERAL ELECTRIC CO CMN (GE)	X					1/4/2018	X												
	GS Brokerage - AMERICAN ELECTRIC POWER INC CMN (AEP)	X					1/4/2018	X												
	GS Brokerage - PHILIP MORRIS INTL INC CMN (PM)	X					1/4/2018	X												
	GS Brokerage - CHUBB LTD CMN (CB)	X					1/4/2018	X												
	GS Brokerage - BOK FINANCIAL CORP (NEW) CMN (BOKF) (partial sale)	X					1/2/2018	X						X						
	GS Brokerage - COLOPLAST A/S SPONSORED ADR CMN (CLPBV)	X					1/2/2018	X												
	Roomey Capital, LLC (Private Investments, Naples, FL):																			
	Prival Securities Inc. Corporate Bonds - Ideal Living Corp (IDEA0575001217A) Due 12-31-17 (matured)	X					1/2/2018	X												
	Brown Advisory - T. Rowe Price Tax-Free High Yield Bond Fund (PRFHX)	X					2/1/2018	X												
	Brown Advisory - Public Storage (PSA)	X					2/1/2018	X												
	Brown Advisory - AvalonBay Communities, Inc. (AVB)	X					2/1/2018	X												
	Brown Advisory - American Tower Corp. (AMT)	X					2/1/2018	X												
	Brown Advisory - Artisan Global Value Fund CI Adv (APDGX)	X					2/1/2018	X												
	Brown Advisory - Brown Advisory Strategic Bond Fund CI Inv (BIABX)	X					2/1/2018	X												
	Brown Advisory - Brown Advisory Global Leaders Fund CI Inv (BIALX)	X					2/1/2018	X												
	Brown Advisory - Cardinal Small Cap Value Fund CI Inst (CCMSX)	X					2/1/2018	X												
	Brown Advisory - Eastern Government Properties, Inc. (DEA)	X					2/1/2018	X												
	Brown Advisory - Federal Realty Investment Trust (FRT)	X					2/1/2018	X												
	Brown Advisory - Federated Municipal Ultrashort Bond Fund Inst (FMUSX)	X					2/1/2018	X												
	Brown Advisory - W.P. Carey, Inc. (WPC)	X					2/1/2018	X												
	Brown Advisory - Public Storage (PSA)	X					1/30/2018	X												
	Brown Advisory - T. Rowe Price Tax-Free High Yield Bond Fund (PRFHX)	X					1/30/2018	X												
	Brown Advisory - Federated Municipal Ultrashort Bond Fund Inst (FMUSX)	X					1/30/2018	X												
	Brown Advisory - Federal Realty Investment Trust (FRT)	X					1/30/2018	X												
	Brown Advisory - Eastern Government Properties, Inc. (DEA)	X					1/30/2018	X												
	Brown Advisory - Cardinal Small Cap Value Fund CI Inst (CCMSX)	X					1/30/2018	X												
	Brown Advisory - Brown Advisory Global Leaders Fund CI Inv (BIALX)	X					1/30/2018	X												
	Brown Advisory - Brown Advisory Strategic Bond Fund CI Inv (BIABX)	X					1/30/2018	X												

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

JT SP DC		FULL ASSET NAME Provide full name, not ticker symbol	Type of transaction				Check Box if Capital Gain Exceeded \$200	Date of Transaction (MM/DD/YY)	Amount of Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
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	Brown Advisory -	Artisan Global Value Fund CI Adv (APDGSX)	X					1/30/2018			X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		

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									Transaction in a Spouse or Dependent Child Asset over \$1,000,000										
	GS Brokerage - HEXAGON AB ADR CMN (HXGBV)	X					2/9/2018		X										
	GS Brokerage - ENTERPRISE PRODUCTS PART L.P. CMN (EPD)	X					2/1/2018		X										
	GS Brokerage - TYLER TEX IR COLLEGE DIST GO 4% 02/15/19 FA (MUNI)	X					2/2/2018		X										
	GS Brokerage - MAINE ST TPK AUTH TPK REV 4.2500% 07/01/21 JI (MUNI)	X					2/2/2018		X										
	GS Brokerage - PERMOD RICARD UNSPONSORED ADR CMN (PDROBY)	X					1/22/2018		X										
	GS Brokerage - WESTERN GAS PARTNERS, LP CMN (WES)	X					2/1/2018		X										
	GS Brokerage - GA STATE ROAD & TOLLWAY AUTH REV 5.0000% 06/01/19 JD (M1695819-)	X					2/2/2018		X										
	GS Brokerage - ILL ST SALES TAX REV 4.2500% 06/15/25 JD (MUNI)	X					2/2/2018		X										
	GS Brokerage - OAK ISLAND N C ENTERPRISE SYS REV 4% 06/01/19 JD (MUNI)	X					2/2/2018		X										
	GS Brokerage - TRANSCANADA CORP CMN (TRP)	X					2/1/2018		X										
	GS Brokerage - ENERGY TRANSFER PARTNERS, L.P. CMN (ETP)	X					2/1/2018		X										
	GS Brokerage - CITY OF BROWNSVILLE, TEXAS REV 5.0000% 09/01/19 MS (M1868319)	X					2/2/2018		X										
	GS Brokerage - MPX LP CMN (MPX)	X					2/1/2018		X										
	GS Brokerage - WILLIAMS PARTNERS L.P. CMN (WPZ)	X					2/1/2018		X										
	GS Brokerage - ATHENS TEX INDP SCH DIST GO 5% 08/15/19 FA (MUNI)	X					2/2/2018		X										
	GS Brokerage - BARTOW CNTY GA DB 5% 03/01/19 MS (MUNI)	X					2/2/2018		X										
	GS Brokerage - OHIO WATER DEVELOPMENT AUTH REV 5.0000% 06/01/19 JD (M818819-)	X					2/2/2018		X										
	GS Brokerage - HAWAII ST FOR ISSUES DTD PRIOR GO 5.0000% 06/01/24 CA ID (MUNI)	X					2/2/2018		X										
	GS Brokerage - VIRGINIA PUBLIC BLDG AUTHO REV 5.0000% 08/01/24 CA FA (MUNI)	X					2/2/2018		X										
	GS Brokerage - OMAHA NEBRASKA PUBLIC POWER REV 4.0000% 02/01/20 FA (M1770120)	X					2/2/2018		X										
	GS Brokerage - PORT SEATTLE WASH REV 4% 04/01/19 AO (MUNI)	X					2/2/2018		X										
	GS Brokerage - UNIVERSITY NORTH COLO GREELEY REV 4.0000% 06/01/19 JD (MUNI)	X					2/2/2018		X										
	GS Brokerage - ANTERO MIDSTREAM PARTNERS LP CMN (AM)	X					2/1/2018		X										
	GS Brokerage - TARGA RESOURCES CORP. CMN (TRGP)	X					2/1/2018		X										
	GS Brokerage - BUCKEYE PARTNERS LP UNITS CMN (BP)	X					2/1/2018		X										
	GS Brokerage - ENERGY TRANSFER EQUITY L.P. CMN (ETE)	X					2/1/2018		X										
	GS Brokerage - EQT MIDSTREAM PARTNERS LP CMN (EQM)	X					2/1/2018		X										
	GS Brokerage - SUNCOR LP CMN (SUN)	X					2/1/2018		X										
	GS Brokerage - ANTERO MIDSTREAM PARTNERS LP CMN (AM)	X					1/16/2018		X										
	GS Brokerage - CHENIERE ENERGY, INC. CMN (LNG)	X					2/1/2018		X										
	GS Brokerage - DCP MIDSTREAM LP CMN (DCP)	X					1/30/2018		X										
	GS Brokerage - SUNCOR LP CMN (SUN)	X					2/1/2018		X										
	GS Brokerage - DCP MIDSTREAM LP CMN (DCP)	X					2/1/2018		X										
	GS Brokerage - VALEO ENERGY PARTNERS LP CMN (VLP)	X					2/1/2018		X										
	GS Brokerage - ONEOK INC CMN (OKE)	X					2/1/2018		X										
	GS Brokerage - MPX LP CMN (MPX)	X					1/16/2018		X										

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			PURCHASE	SALE	PARTIAL SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K					
	GS Brokerage - DCP MIDSTREAM LP CMN (DCP)	X					1/30/2018	X																
	GS Brokerage - ENERGY TRANSFER PARTNERS, L.P. CMN (ETP)	X					1/16/2018	X																
	GS Brokerage - MAGELLAN MIDSTREAM PARTNERS LP CMN (MMP)	X					1/16/2018	X																
	GS Brokerage - ENERGY TRANSFER PARTNERS, L.P. CMN (ETP)	X					1/16/2018	X																
	GS Brokerage - VULCAN MATERIALS CO CMN (VMC)	X					2/1/2018	X																
	GS Brokerage - YUM CHINA HOLDINGS, INC. CMN (YUMC)	X					2/1/2018	X																
	GS Brokerage - VORNADO REALTY TRUST CMN (VNO)	X					2/1/2018	X																
	GS Brokerage - SCANA CORP CMN (SCG)	X					2/1/2018	X																
	GS Brokerage - D.R. HORTON, INC. CMN (DHI)	X					2/1/2018	X																
	GS Brokerage - HARTFORD FINANCIAL SVCS GROUP CMN (HIG)	X					2/1/2018	X																
	GS Brokerage - AGILENT TECHNOLOGIES, INC. CMN (A)	X					2/1/2018	X																
	GS Brokerage - JAZZ PHARMACEUTICALS PLC CMN (JAZZ)	X					2/1/2018	X																
	GS Brokerage - TARGET CORPORATION CMN (TGT)	X					2/1/2018	X																
	GS Brokerage - EQUITY RESIDENTIAL CMN (EQH)	X					2/1/2018	X																
	GS Brokerage - TWENTY-FIRST CENTURY FOX, INC. CMN CLASS B (FOX)	X					2/1/2018	X																
	GS Brokerage - AMERISOURCEBERGEN CORPORATION CMN (ABC)	X					2/1/2018	X																
	GS Brokerage - REGENCY CENTERS CORPORATION CMN (REG)	X					2/1/2018	X																
	GS Brokerage - DISCOVERY COMMUNICATIONS, INC. CMN (DISCK)	X					2/1/2018	X																
	GS Brokerage - TRACTOR SUPPLY CO CMN (TSCO)	X					2/1/2018	X																
	GS Brokerage - PVH CORP CMN (PVH)	X					2/1/2018	X																
	GS Brokerage - HARRIS CORP CMN (HRS)	X					2/1/2018	X																
	GS Brokerage - CONCHO RESOURCES INC. CMN (CKO)	X					2/1/2018	X																
	GS Brokerage - THE WILLIAMS COMPANIES, INC. CMN (WMB)	X					2/1/2018	X																
	GS Brokerage - ACUTY BRANDS, INC. CMN (AV)	X					2/1/2018	X																
	GS Brokerage - WILLIS TOWERS WATSON PLC CMN (WLTW)	X					2/1/2018	X																
	GS Brokerage - NEWMONT MINING CORPORATION CMN (NEM)	X					2/1/2018	X																
	GS Brokerage - PRAXAIR, INC. CMN (PX)	X					2/1/2018	X																
	GS Brokerage - MOODY'S CORPORATION CMN (MCO)	X					2/1/2018	X																
	GS Brokerage - DISCOVERY COMMUNICATIONS, INC. CMN SERIES A (DISCA)	X					2/1/2018	X																
	GS Brokerage - VF CORP CMN (VFC)	X					2/1/2018	X																
	GS Brokerage - STANLEY BLACK & DECKER, INC. CMN (SWK)	X					2/1/2018	X																
	GS Brokerage - MOLSON COORS BREWING CO CMN CLASS B (TAP)	X					2/1/2018	X																
	GS Brokerage - NUCOR CORPORATION CMN (NUE)	X					2/1/2018	X																
	GS Brokerage - COTY, INC. CMN CLASS A (COTY)	X					2/1/2018	X																
	GS Brokerage - UNITED CONTINENTAL HOLDING INC CMN (UAL)	X					2/1/2018	X																
	GS Brokerage - WESCO INTERNATIONAL INC. CMN (WCC)	X					2/1/2018	X																

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JT SP DC	Provide full name, not ticker symbol																	
	GS Brokerage - ARCHER-DANIELS-MIDLAND COMPANY CMN (ADM)	X					2/1/2018	X										
	GS Brokerage - WYNN RESORTS, LIMITED CMN (WYNN)	X					2/1/2018	X										
	GS Brokerage - DOLLAR TREE STORES, INC. CMN (DLTR)	X					2/1/2018	X										
	GS Brokerage - REGIONS FINANCIAL CORPORATION CMN (RF)	X					2/1/2018	X										
	GS Brokerage - HOLOGIC INCORPORATED CMN (HOLX)	X					2/1/2018	X										
	GS Brokerage - WESTERN DIGITAL CORPORATION CMN (WDC)	X					2/1/2018	X										
	GS Brokerage - WASTE MANAGEMENT INC CMN (WM)	X					2/1/2018	X										
	GS Brokerage - LABORATORY CORPORATION OF AMER CMN (LH)	X					2/1/2018	X										
	GS Brokerage - L BRANDS, INC. CMN (LB)	X					2/1/2018	X										
	GS Brokerage - ENBRIDGE INC. CMN (ENB)	X					2/1/2018	X										
	GS Brokerage - WHIRLPOOL CORP. CMN (WHR)	X					2/1/2018	X										
	GS Brokerage - UNITED RENTALS, INC. CMN (URI)	X					2/1/2018	X										
	GS Brokerage - ANALOG DEVICES, INC. CMN (ADI)	X					2/1/2018	X										
	GS Brokerage - INVESCO LTD. CMN (IVZ)	X					2/1/2018	X										
	GS Brokerage - DENTSPLY SIRONA INC CMN (KRAY)	X					2/1/2018	X										
	GS Brokerage - EQUIFAX INC. CMN (EFX)	X					2/1/2018	X										
	GS Brokerage - KROGER COMPANY CMN (KR)	X					2/1/2018	X										
	GS Brokerage - INGERSOLL-RAND PLC CMN (IR)	X					2/1/2018	X										
	GS Brokerage - AMPHENOL CORP CL-A (NEW) CMN CLASS A (APH)	X					2/1/2018	X										
	GS Brokerage - CUMMINS INC COMMON STOCK (CMI)	X					2/1/2018	X										
	GS Brokerage - VALENO ENERGY CORPORATION CMN (VLO)	X					2/1/2018	X										
	GS Brokerage - P G & E CORPORATION CMN (PEG)	X					2/1/2018	X										
	GS Brokerage - PACCAR INC CMN (PCAR)	X					2/1/2018	X										
	GS Brokerage - SYSCO CORPORATION CMN (SY)	X					2/1/2018	X										
	GS Brokerage - FORTIVE CORPORATION CMN (FTV)	X					2/1/2018	X										
	GS Brokerage - M&T BANK CORPORATION CMN (MTB)	X					2/1/2018	X										
	GS Brokerage - BEST BUY CO INC CMN (BBY)	X					2/1/2018	X										
	GS Brokerage - VENTAS, INC. CMN (VTR)	X					2/1/2018	X										
	GS Brokerage - SKYWORKS SOLUTIONS INC CMN (SWKS)	X					2/1/2018	X										
	GS Brokerage - ENBRIDGE INC. CMN (ENB)	X					1/16/2018	X										
	GS Brokerage - RED HAT, INC. CMN (RHT)	X					2/1/2018	X										
	GS Brokerage - FIFTH THIRD BANCORP CMN (FITB)	X					2/1/2018	X										
	GS Brokerage - ROCKWELL AUTOMATION INC CMN (ROK)	X					2/1/2018	X										
	GS Brokerage - ROYAL CARIBBEAN CRUISES LTD ISIN: LR000862868 (RCL)	X					2/1/2018	X										
	GS Brokerage - PARKER-HANNIFIN CORP. CMN (PH)	X					2/1/2018	X										
	GS Brokerage - PRINCIPAL FINANCIAL GROUP, INC CMN (PFG)	X					2/1/2018	X										

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JT SP DC	Provide full name, not ticker symbol																	
	GS Brokerage - PAYCHEX, INC. CMN (PAYX)	X					2/1/2018	X										
	GS Brokerage - WEYERHAEUSER COMPANY CMN (WY)	X					2/1/2018	X										
	GS Brokerage - CONAGRA BRANDS INC CMN (CAG)	X					2/1/2018	X										
	GS Brokerage - DOLLAR GENERAL CORPORATION CMN (DG)	X					2/1/2018	X										
	GS Brokerage - DTE ENERGY COMPANY CMN (DTE)	X					2/1/2018	X										
	GS Brokerage - E*TRADE FINANCIAL CORPORATION CMN (ETFC)	X					2/1/2018	X										
	GS Brokerage - AMERICAN AIRLINES GROUP INC CMN (AAL)	X					2/1/2018	X										
	GS Brokerage - NORTHERN TRUST CORP CMN (NTRS)	X					2/1/2018	X										
	GS Brokerage - DIGITAL REALTY TRUST, INC. CMN (DLR)	X					2/1/2018	X										
	GS Brokerage - FRANKLIN RESOURCES INC CMN (BEN)	X					2/1/2018	X										
	GS Brokerage - HEWLETT PACKARD ENTERPRISE CO CMN (HPE)	X					2/1/2018	X										
	GS Brokerage - EQUINIX, INC. REIT (EQIX)	X					2/1/2018	X										
	GS Brokerage - CONSTELLATION BRANDS INC CMN CLASS A (STZ)	X					2/1/2018	X										
	GS Brokerage - CARDINAL HEALTH, INC. CMN (CH)	X					2/1/2018	X										
	GS Brokerage - APACHE CORP. CMN (APA)	X					2/1/2018	X										
	GS Brokerage - FASTENAL COMPANY CMN (FAST)	X					2/1/2018	X										
	GS Brokerage - FREIGHT-MCMORAN INC CMN (FCX)	X					2/1/2018	X										
	GS Brokerage - AUTODESK INC CMN (ADSK)	X					2/1/2018	X										
	GS Brokerage - AUTOZONE, INC. CMN (AZO)	X					2/1/2018	X										
	GS Brokerage - WELLTOWER INC. CMN (HCN)	X					2/1/2018	X										
	GS Brokerage - ALTIMA INC. CLOSE ENDED FUND (AABA)	X					2/1/2018	X										
	GS Brokerage - ZOETIS INC. CMN CLASS A (ZTS)	X					2/1/2018	X										
	GS Brokerage - MARTIN MARIETTA MATERIALS, INC CMN (MLM)	X					2/1/2018	X										
	GS Brokerage - TYSON FOODS INC CL-A CMN CLASS A (TSN)	X					2/1/2018	X										
	GS Brokerage - PPG INDUSTRIES, INC. CMN (PPG)	X					2/1/2018	X										
	GS Brokerage - KINDER MORGAN INC CMN CLASS P (KMI)	X					2/1/2018	X										
	GS Brokerage - ELECTRONIC ARTS CMN (EA)	X					2/1/2018	X										
	GS Brokerage - MONSTER BEVERAGE CORPORATION CMN (MNST)	X					2/1/2018	X										
	GS Brokerage - SYNCHRONY FINANCIAL CMN (SVF)	X					2/1/2018	X										
	GS Brokerage - CONSOLIDATED EDISON INC CMN (ED)	X					2/1/2018	X										
	GS Brokerage - DISCOVER FINANCIAL SERVICES CMN (DFS)	X					2/1/2018	X										
	GS Brokerage - CVS HEALTH CORP CMN (CVS)	X					2/1/2018	X										
	GS Brokerage - VERISK ANALYTICS, INC. CMN (VRSK)	X					2/1/2018	X										
	GS Brokerage - XCEL ENERGY INC. CMN (XEL)	X					2/1/2018	X										
	GS Brokerage - DEVON ENERGY CORPORATION (NEW) CMN (DVN)	X					2/1/2018	X										
	GS Brokerage - ROSS STORES, INC CMN (ROST)	X					2/1/2018	X										

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GS Brokerage -	LINCOLN NATL CORP INC CMN (LNC)	X					2/1/2018	X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								

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	GS Brokerage - DOMINION ENERGY INC CMN (D)	X					2/1/2018	X										
	GS Brokerage - EXELON CORPORATION CMN (EXC)	X					2/1/2018	X										
	GS Brokerage - CME GROUP INC. CMN CLASS A (CME)	X					2/1/2018	X										
	GS Brokerage - TE CONNECTIVITY LTD CMN (TEL)	X					2/1/2018	X										
	GS Brokerage - DELTA AIR LINES, INC. CMN (DAL)	X					2/1/2018	X										
	GS Brokerage - CIGNA CORPORATION CMN (CI)	X					2/1/2018	X										
	GS Brokerage - FORD MOTOR COMPANY CMN (F)	X					2/1/2018	X										
	GS Brokerage - ACTIVISION BLIZZARD INC CMN (ATVI)	X					2/1/2018	X										
	GS Brokerage - TWENTY-FIRST CENTURY FOX, INC. CMN CLASS A (FOXA)	X					2/1/2018	X										
	GS Brokerage - BB&T CORPORATION CMN (BBT)	X					2/1/2018	X										
	GS Brokerage - APTIV PLC CMN (APTIV)	X					2/1/2018	X										
	GS Brokerage - VERTEX PHARMACEUTICALS INCORPO CMN (VRTX)	X					2/1/2018	X										
	GS Brokerage - MICRON TECHNOLOGY, INC. CMN (MU)	X					2/1/2018	X										
	GS Brokerage - MARSH & MCLENNAN CO INC CMN (MMC)	X					2/1/2018	X										
	GS Brokerage - BOSTON SCIENTIFIC CORP. COMMON STOCK (BSX)	X					2/1/2018	X										
	GS Brokerage - STRYKER CORPORATION CMN (SYK)	X					2/1/2018	X										
	GS Brokerage - INTUIT INC CMN (INTU)	X					2/1/2018	X										
	GS Brokerage - DEERE & COMPANY CMN (DE)	X					2/1/2018	X										
	GS Brokerage - EATON CORP PLC CMN (ETN)	X					2/1/2018	X										
	GS Brokerage - NORTHROP GRUMMAN CORP CMN (NOC)	X					2/1/2018	X										
	GS Brokerage - WALGREENS BOOTS ALLIANCE, INC. CMN (WBA)	X					2/1/2018	X										
	GS Brokerage - AMERIPRISE FINANCIAL, INC. CMN (AMP)	X					2/1/2018	X										
	GS Brokerage - COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (CTSH)	X					2/1/2018	X										
	GS Brokerage - HP INC. CMN (HPO)	X					2/1/2018	X										
	GS Brokerage - SUNTRUST BANKS INC CMN (STI)	X					2/1/2018	X										
	GS Brokerage - LYONDELBASELL INDUSTRIES N.V. CMN CLASS A (LYB)	X					2/1/2018	X										
	GS Brokerage - S&P GLOBAL INC. CMN (SPGI)	X					2/1/2018	X										
	GS Brokerage - ILLUMINA, INC CMN (ILMN)	X					2/1/2018	X										
	GS Brokerage - EMERSON ELECTRIC CO. CMN (EMR)	X					2/1/2018	X										
	GS Brokerage - MONSANTO COMPANY CMN (MON)	X					2/1/2018	X										
	GS Brokerage - INTERCONTINENTAL EXCHANGE INC CMN (ICE)	X					2/1/2018	X										
	GS Brokerage - SEMPRA ENERGY CMN (SRE)	X					2/1/2018	X										
	GS Brokerage - JOHNSON CONTROLS INTERNATIONAL CMN (JCI)	X					2/1/2018	X										
	GS Brokerage - HALLIBURTON COMPANY CMN (HAL)	X					2/1/2018	X										
	GS Brokerage - REGENERON PHARMACEUTICAL INC CMN (REGN)	X					2/1/2018	X										
	GS Brokerage - DUKE ENERGY CORPORATION CMN (DUK)	X					2/1/2018	X										

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

FULL ASSET NAME		Type of transaction				Check Box if Capital Gain Exceeded \$200	Date of Transaction	Amount of Transaction										
		PURCHASE	SALE	PARTIAL SALE	EXCHANGE		(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J	K
JT SP DC	Provide full name, not ticker symbol																	
	GS Brokerage - THE KRAFT HEINZ CO CMN (KHC)	X					2/1/2018	X										
	GS Brokerage - TIX COMPANIES INC (NEW) CMN (TIX)	X					2/1/2018	X										
	GS Brokerage - PROLOGIS INC CMN (PLD)	X					2/1/2018	X										
	GS Brokerage - PHILLIPS 66 CMN (PSX)	X					2/1/2018	X										
	GS Brokerage - MARIOTT INTERNATIONAL, INC CMN CLASS A (MAR)	X					2/1/2018	X										
	GS Brokerage - CAPITAL ONE FINANCIAL CORP CMN (COF)	X					2/1/2018	X										
	GS Brokerage - RAYTHEON COMPANY CMN (RTN)	X					2/1/2018	X										
	GS Brokerage - SHERWIN-WILLIAMS CO CMN (SHW)	X					2/1/2018	X										
	GS Brokerage - APPLIED MATERIALS INC CMN (AMAT)	X					2/1/2018	X										
	GS Brokerage - AMERICAN TOWER CORPORATION CMN (AMT)	X					2/1/2018	X										
	GS Brokerage - EXPRESS SCRIPTS HOLDING COMPAN CMN (ESRX)	X					2/1/2018	X										
	GS Brokerage - STATE STREET CORPORATION (NEW) CMN (STT)	X					2/1/2018	X										
	GS Brokerage - THE TRAVELERS COMPANIES, INC CMN (TRV)	X					2/1/2018	X										
	GS Brokerage - METLIFE, INC. CMN (MET)	X					2/1/2018	X										
	GS Brokerage - OCCIDENTAL PETROLEUM CORP CMN (OXY)	X					2/1/2018	X										
	GS Brokerage - CHUBB LTD CMN (CB)	X					2/1/2018	X										
	GS Brokerage - ALLEGAN PLC CMN (AGN)	X					2/1/2018	X										
	GS Brokerage - KINDER MORGAN INC CMN CLASS P (KMI)	X					1/12/2018	X										
	GS Brokerage - ESTEE LAUDER COS INC CL-A CMN CLASS A (EL)	X					2/1/2018	X										
	GS Brokerage - AUTOMATIC DATA PROCESSING INC CMN (ADP)	X					2/1/2018	X										
	GS Brokerage - COLGATE-PALMOLIVE CO CMN (CL)	X					2/1/2018	X										
	GS Brokerage - PRUDENTIAL FINANCIAL INC CMN (PRU)	X					2/1/2018	X										
	GS Brokerage - ANTHEM, INC. CMN (ANTM)	X					2/1/2018	X										
	GS Brokerage - SIMON PROPERTY GROUP INC CMN (SPG)	X					2/1/2018	X										
	GS Brokerage - GENERAL ELECTRIC CO CMN (GE)	X					2/1/2018	X										
	GS Brokerage - ENBRIDGE INC. CMN (ENB)	X					1/16/2018	X										
	GS Brokerage - GENERAL MOTORS COMPANY CMN (GM)	X					2/1/2018	X										
	GS Brokerage - EOG RESOURCES INC CMN (EOG)	X					2/1/2018	X										
	GS Brokerage - FEDEX CORP CMN (FDX)	X					2/1/2018	X										
	GS Brokerage - ILLINOIS TOOL WORKS CMN (ITW)	X					2/1/2018	X										
	GS Brokerage - LOCKHEED MARTIN CORPORATION CMN (LMT)	X					2/1/2018	X										
	GS Brokerage - CHARTER COMMUNICATIONS, INC. CMN (CHTR)	X					2/1/2018	X										
	GS Brokerage - BIOGEN INC. CMN (BIB)	X					2/1/2018	X										
	GS Brokerage - NIKE CLASS-B CMN CLASS B (NKE)	X					2/1/2018	X										
	GS Brokerage - DANAHER CORPORATION CMN (DHR)	X					2/1/2018	X										
	GS Brokerage - GENERAL DYNAMICS CORP. CMN (GD)	X					2/1/2018	X										

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

FULL ASSET NAME		Type of transaction				Date of Transaction	Amount of Transaction											
		PURCHASE	SALE	PARTIAL SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K
JT SP DC	Provide full name, not ticker symbol					Check Box if Capital Gain Exceeded \$200	(MM/DD/YY)	\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Transaction in a Spouse or Dependent Child Asset over \$1,000,000
	GS Brokerage - SALESFORCE.COM, INC CMN (CRM)	X					2/1/2018	X										
	GS Brokerage - BLACKROCK, INC. CMN (BLK)	X					2/1/2018	X										
	GS Brokerage - AETNA INC. CMN (AET)	X					2/1/2018	X										
	GS Brokerage - CSX CORPORATION CMN (CSX)	X					2/1/2018	X										
	GS Brokerage - AMERICAN INTL GROUP, INC. CMN (AIG)	X					2/1/2018	X										
	GS Brokerage - CHARLES SCHWAB CORPORATION CMN (SCHW)	X					2/1/2018	X										
	GS Brokerage - AMERICAN EXPRESS CO. CMN (AEP)	X					2/1/2018	X										
	GS Brokerage - BECTON, DICKINSON AND COMPANY CMN (BDX)	X					2/1/2018	X										
	GS Brokerage - CONOCOPHILLIPS CMN (COP)	X					2/1/2018	X										
	GS Brokerage - TIME WARNER INC. CMN (TWX)	X					2/1/2018	X										
	GS Brokerage - PHILLIPS 66 PARTNERS LP CMN (PSXP)	X					1/26/2018	X										
	GS Brokerage - THE BANK OF NY MELLON CORP CMN (BK)	X					2/1/2018	X										
	GS Brokerage - PNC FINANCIAL SERVICES GROUP, CMN (PNC)	X					2/1/2018	X										
	GS Brokerage - UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK (UPS)	X					2/1/2018	X										
	GS Brokerage - THERMO FISHER SCIENTIFIC INC CMN (TMO)	X					2/1/2018	X										
	GS Brokerage - CELGENE CORPORATION CMN (CELG)	X					2/1/2018	X										
	GS Brokerage - MONDELEZ INTERNATIONAL, INC. CMN (MDLZ)	X					2/1/2018	X										
	GS Brokerage - PAYPAL HOLDINGS, INC. CMN (PYPL)	X					2/1/2018	X										
	GS Brokerage - ELI LILLY & CO CMN (LLY)	X					2/1/2018	X										
	GS Brokerage - NEXTERA ENERGY, INC. CMN (NEE)	X					2/1/2018	X										
	GS Brokerage - STARBUCKS CORP. CMN (SBUX)	X					2/1/2018	X										
	GS Brokerage - BROADCOM LIMITED CMN (AVGO)	X					2/1/2018	X										
	GS Brokerage - ABBOTT LABORATORIES CMN (ABT)	X					2/1/2018	X										
	GS Brokerage - LOWES COMPANIES INC CMN (LOW)	X					2/1/2018	X										
	GS Brokerage - QUALCOMM INC CMN (QCOM)	X					2/1/2018	X										
	GS Brokerage - U.S. BANCORP CMN (USB)	X					2/1/2018	X										
	GS Brokerage - ADOBE SYSTEMS INC CMN (ADBE)	X					2/1/2018	X										
	GS Brokerage - MORGAN STANLEY CMN (MS)	X					2/1/2018	X										
	GS Brokerage - ACCENTURE PLC CMN (ACN)	X					2/1/2018	X										
	GS Brokerage - CATERPILLAR INC (DELAWARE) CMN (CAT)	X					2/1/2018	X										
	GS Brokerage - BRISTOL-MYERS SQUIBB COMPANY CMN (BMY)	X					2/1/2018	X										
	GS Brokerage - GILEAD SCIENCES CMN (GILD)	X					2/1/2018	X										
	GS Brokerage - ENBRIDGE INC. CMN (ENB)	X					1/16/2018	X										
	GS Brokerage - UNION PACIFIC CORP. CMN (UNP)	X					2/1/2018	X										
	GS Brokerage - MC DONALDS CORP CMN (MCD)	X					2/1/2018	X										
	GS Brokerage - HONEYWELL INTL INC CMN (HON)	X					2/1/2018	X										

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

FULL ASSET NAME		Type of transaction				Date of Transaction	Amount of Transaction												
Provide full name, not ticker symbol		PURCHASE	SALE	PARTIAL SALE	EXCHANGE		Check Box if Capital Gain Exceeded \$200	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J	K
		Transaction in a Spouse or Dependent Child Asset over \$1,000,000																	
JT SP DC	GS Brokerage - UNITED TECHNOLOGIES CORPORATIO CMN (UTX)	X					2/1/2018	X											
	GS Brokerage - PRICELINE GROUP INC/THE CMN (PCLN)	X					2/1/2018	X											
	GS Brokerage - NETFLIX, INC. CMN (NFLX)	X					2/1/2018	X											
	GS Brokerage - COSTCO WHOLESALE CORPORATION CMN (COST)	X					2/1/2018	X											
	GS Brokerage - 3M COMPANY CMN (MMM)	X					2/1/2018	X											
	GS Brokerage - TEXAS INSTRUMENTS INC. CMN (TXN)	X					2/1/2018	X											
	GS Brokerage - NVIDIA CORPORATION CMN (NVDA)	X					2/1/2018	X											
	GS Brokerage - LEIDOS HLDS INC CMN (LDOS)	X					2/1/2018	X											
	GS Brokerage - INTL BUSINESS MACHINES CORP CMN (IBM)	X					2/1/2018	X											
	GS Brokerage - AMGEN INC. CMN (AMGN)	X					2/1/2018	X											
	GS Brokerage - ORACLE CORPORATION CMN (ORCL)	X					2/1/2018	X											
	GS Brokerage - WAL-MART STORES, INC. CMN (WMT)	X					2/1/2018	X											
	GS Brokerage - ALTRIA GROUP, INC. CMN (MO)	X					2/1/2018	X											
	GS Brokerage - MEDTRONIC PUBLIC LIMITED COMPA CMN (MDT)	X					2/1/2018	X											
	GS Brokerage - WALT DISNEY COMPANY (THE) CMN (DIS)	X					2/1/2018	X											
	GS Brokerage - MERCK & CO, INC. CMN (MRK)	X					2/1/2018	X											
	GS Brokerage - PHILIP MORRIS INTL INC CMN (PM)	X					2/1/2018	X											
	GS Brokerage - COCA-COLA COMPANY (THE) CMN (KO)	X					2/1/2018	X											
	GS Brokerage - PEPSICO, INC. CMN (PEP)	X					2/1/2018	X											
	GS Brokerage - MASTERCARD INCORPORATED CMN CLASS A (MA)	X					2/1/2018	X											
	GS Brokerage - DOWDUPONT INC. CMN (DWDUP)	X					2/1/2018	X											
	GS Brokerage - ABBVIE INC CMN (ABBV)	X					2/1/2018	X											
	GS Brokerage - PROCTER & GAMBLE COMPANY (THE) CMN (PG)	X					2/1/2018	X											
	GS Brokerage - COMCAST CORPORATION CMN CLASS A VOTING (CMCSA)	X					2/1/2018	X											
	GS Brokerage - VERIZON COMMUNICATIONS, INC. CMN (VZ)	X					2/1/2018	X											
GS Brokerage - CISCO SYSTEMS, INC. CMN (CSCO)	X					2/1/2018	X												
GS Brokerage - ENBRIDGE INC. CMN (ENB)	X					1/30/2018	X												
GS Brokerage - PFIZER INC. CMN (PFE)	X					2/1/2018	X												
GS Brokerage - BOEING COMPANY CMN (BA)	X					2/1/2018	X												
GS Brokerage - INTEL CORPORATION CMN (INTC)	X					2/1/2018	X												
GS Brokerage - AT&T INC CMN (T)	X					2/1/2018	X												
GS Brokerage - UNITEDHEALTH GROUP INCORPORATE CMN (UNH)	X					2/1/2018	X												
GS Brokerage - CITIGROUP INC. CMN (C)	X					2/1/2018	X												
GS Brokerage - CHEVRON CORPORATION CMN (CVX)	X					2/1/2018	X												
GS Brokerage - VISA INC. CMN CLASS A (V)	X					2/1/2018	X												
GS Brokerage - THE HOME DEPOT, INC. CMN (HD)	X					2/1/2018	X												

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

JT SP DC		FULL ASSET NAME Provide full name, not ticker symbol	Type of transaction				Check Box if Capital Gain Exceeded \$200	Date of Transaction (MM/DD/YY)	Amount of Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						
			PURCHASE	SALE	PARTIAL SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																												
		GS Brokerage - EXXON MOBIL CORPORATION CMN (XOM)	X					2/1/2018		X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

FULL ASSET NAME		Type of transaction				Date of Transaction	Amount of Transaction												
Provide full name, not ticker symbol		PURCHASE	SALE	PARTIAL SALE	EXCHANGE		Check Box If Capital Gain Exceeded \$200	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J	K
		Transaction in a Spouse or Dependent Child Asset over \$1,000,000																	
JT	GS Brokerage - UNIV NORTH IOWA UNIV REVS REV 5% 07/01/35 JJ (MUNI)	X					3/2/2018		X										
SP		GS Brokerage - BLOOMINGTON IND REDEV DIST TAX REV 5.2500% 08/01/28 FA (MUNI)	X					3/1/2018			X								
DC		GS Brokerage - DALLAS TEXAS AREA RPD TRANST REV 5.0000% 12/01/20-CA ID (M1707020)	X					3/1/2018		X									
		GS Brokerage - BALTIMORE COUNTY MD GO 5.0000% 03/01/20 MS (MUNI)	X					3/1/2018				X							
		GS Brokerage - BEAUFORT CNTY S C SCH DIST GO 5% 03/01/20 MS (MUNI)	X					3/1/2018		X									
		GS Brokerage - EQT MIDSTREAM PARTNERS LP CMN (EQM)	X					3/1/2018		X									
		GS Brokerage - SUNCOCO LP CMN (SUN)	X					3/1/2018		X									
		GS Brokerage - SHELL MIDSTREAM PARTNERS LP CMN (SHLX)	X					3/1/2018		X									
		GS Brokerage - PHILLIPS 66 PARTNERS LP CMN (PSXP)	X					3/1/2018		X									
		GS Brokerage - VALERO ENERGY PARTNERS LP CMN (VLP)	X					3/1/2018		X									
		GS Brokerage - THE WILLIAMS COMPANIES, INC. CMN (WMB)	X					3/1/2018		X									
		GS Brokerage - ENERGY TRANSFER EQUITY L.P. CMN (ETE)	X					3/1/2018		X									
		GS Brokerage - ANTERO MIDSTREAM PARTNERS LP CMN (AM)	X					3/1/2018		X									
		GS Brokerage - MAGELLAN MIDSTREAM PARTNERS LP CMN (MMP)	X					3/1/2018		X									
		GS Brokerage - WESTERN GAS PARTNERS, LP CMN (WES)	X					3/1/2018		X									
		GS Brokerage - TRANSCANADA CORP CMN (TRP)	X					3/1/2018		X									
		GS Brokerage - CHENIERE ENERGY, INC. CMN (LNG)	X					3/1/2018		X									
		GS Brokerage - DCP MIDSTREAM LP CMN (DCP)	X					3/1/2018		X									
		GS Brokerage - ENTERPRISE PRODUCTS PART L.P. CMN (EPD)	X					3/1/2018		X									
		GS Brokerage - ENERGY TRANSFER PARTNERS, L.P. CMN (ETP)	X					3/1/2018		X									
		GS Brokerage - PLAINS ALL AMERICAN PIPELINE L.P. COMMON UNITS (PAA)	X					3/1/2018		X									
		GS Brokerage - TARGA RESOURCES CORP. CMN (TRGP)	X					3/1/2018		X									
		GS Brokerage - ONEOK INC CMN (OKE)	X					3/1/2018		X									
		GS Brokerage - WILLIAMS PARTNERS L.P. CMN (WPZ)	X					3/1/2018		X									
		GS Brokerage - BUCKEYE PARTNERS LP UNITS CMN (BPL)	X					3/1/2018		X									
		GS Brokerage - MPLX LP CMN (MPLX)	X					3/1/2018		X									
		GS Brokerage - WASHINGTON ST GO 5% 02/01/29 FA (MUNI)	X					2/28/2018		X									
		GS Brokerage - MONROE COUNTY SCHOOL DISTRICT REV 4.0000% 10/01/19 AO (MUNI)	X					2/28/2018		X									
		GS Brokerage - CONN ST SPL TAX OBLIG REV 4% 11/01/19 MN (M1755619-)	X					2/28/2018		X									
		GS Brokerage - MISSISSIPPI DEV BK SPL OBLIG REV 5% 01/01/20 JJ (MUNI)	X					2/28/2018		X									
	GS Brokerage - WESTIN KY UNIV REVS REV 3% 09/01/19 MS (MUNI)	X					2/28/2018		X										
	GS Brokerage - LAKE HAVASU CITY ARIZ DB 5% 07/01/19 JJ (MUNI)	X					2/28/2018		X										
	GS Brokerage - NH ST BUSINESS FIN AUTH RFDG REV 6.1250% 10/01/39-CA AO (MUNI)	X					2/28/2018			X									
	GS Brokerage - PEARLAND TEX INDPT SCH DIST GO 5% 02/15/20 FA (MUNI)	X					2/28/2018			X									
	GS Brokerage - GRNT CNTY WASH PUB UTIL DIST N REV 3.5000% 01/01/20 JJ (M1737920)	X					2/28/2018			X									
	GS Brokerage - LAMAR TEX CONS INDPT SCH DIST GO 5% 02/15/20 FA (MUNI)	X					2/28/2018				X								

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

JT SP DC		FULL ASSET NAME Provide full name, not ticker symbol	Type of Transaction				Date of Transaction (MM/DD/YY)	Amount of Transaction												
			PURCHASE	SALE	PARTIAL SALE	EXCHANGE		Check Box if Capital Gain Exceeded \$200	A \$1,000-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Transaction in a Spouse or Dependent Child Asset over \$1,000,000	
		GS Brokerage - DOUGLAS CMTY NEB SCH DIST NO GO 2.5000% 12/15/19 JD (MUNI)	X				2/28/2018		X											
		GS Brokerage - NUVEEN SYMPHONY FLOATING RATE INCOME FUND I NUVEEN SYMPHONY FLOATING RATE INCOME (NFIIX)	X				2/28/2018						X							
		GS Brokerage - SEMAGROUP CORPORATION CMN CLASS A (SEMG)	X				2/22/2018													
		GS Brokerage - SHELL MIDSTREAM PARTNERS LP CMN (SHLX)	X				2/20/2018													
		GS Brokerage - SHELL MIDSTREAM PARTNERS LP CMN (SHLX)	X				2/20/2018													
		GS Brokerage - SHELL MIDSTREAM PARTNERS LP CMN (SHLX)	X				2/20/2018													
		Rooney Capital, LLC (Private Investments, Naples, FL)																		
		Morgan Stanley - MADISON WIS AREA TECHNICAL COLLEGE DIST SCH INMT SER-12-A RATE AAA/AAA 4.500% DUE:2029-03-01 (55844PXC1)		X			2/21/2018		X											
		Morgan Stanley - INDIANA ST FIN AUTH WTR UTL REV-A RATE N/A/AA- 5.000% DUE:2027-10-01 (45505KAP5)	X				2/21/2018		X											
		Morgan Stanley - CHICAGO O'HARE INTL AIRP NON-AMT REV REF-B RATE A/2A 5.250% DUE:2029-01-01 (16793NFS)	X				2/12/2018		X											
		RP 11720, LLC - property investment 11720 Sunrise Valley Drive, Reston, VA - Solid Property		X			3/1/2018			X										
		Note Receivable from Rooney Holdings LLC	X				2/28/2018		X											
		Mission Group Dos LLC - Investment in restaurant	X				3/7/2018		X											
		Brown Advisory - AZ State Health Facilities - VRDN JPM LOC 1.65% DUE 05-01-2018 (0405071T3)	X				3/27/2018				X									
		Brown Advisory - Tobacco Settlement NJ Financing Corp 5% DUE 06-01-2022 (888808GX4)	X				4/5/2018			X										
		Rooney Family Investments, Ltd. (Private Investments, Naples, FL)																		
		GS Brokerage - RECKITT BENCKISER GROUP PLC SPONSORED ADR CMN (R86GLY)																		
		GS Brokerage - ADDAS AG ADR CMN (ADDY)		X			4/9/2018			X										
		GS Brokerage - TRAVIS CMTY TEX HSG FIN CORP REV 2.0000% 04/01/21-CA-PT AQ (MUNI)	X				4/2/2018			X										
		GS Brokerage - SHAKOPEE MINN INDPST SCH DIST GO 5% 02/01/20 PA (MUNI)	X				3/19/2018				X									
		GS Brokerage - PENN HGRH EDU ASSIST AGCY REV 5.0000% 09/01/21-CA MS (M1696731)	X				3/16/2018					X								
		GS Brokerage - EGT MIDSTREAM PARTNERS LP CMN (ECOM)	X				3/14/2018			X										
		Rooney Capital, LLC (Private Investments, Naples, FL)																		
		Brown Advisory - Metropolitan West Unconstrained Bond Fund C1		X			3/27/2018			X										
		Note Receivable from Rooney Holdings Inc.	X				4/5/2018				X									
		Vetra Energia - oil and gas investments - Colombia	X				4/4/2018			X										
		Brown Advisory - Artisan Global Value Fund C1 Adv																		
		Brown Advisory - Washington MD Suburban San Dist - VRDN TDB SPA 1.48% DUE 06-01-2018 (940157143)	X				5/9/2018				X									
		Brown Advisory - Brown Advisory Strategic Bond Fund C1 Inv	X				5/2/2018					X								
							4/20/2018				X									

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

FULL ASSET NAME		Type of transaction				Check Box if Capital Gain Exceeded \$200	Date of Transaction (MM/DD/YY)	Amount of Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																										
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SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

JT SP DC		FULL ASSET NAME Provide full name, not ticker symbol	Type of transaction				Date of Transaction	Amount of Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								
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		GS Brokerage - CHUBB LIMITED CMN (CB)		X			6/29/2018		X																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																							

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCES ROONEY

JT SP DC	FULL ASSET NAME Provide full name, not ticker symbol	Type of transaction				Date of Transaction (MM/DD/YY)	Amount of Transaction										
		PURCHASE	SALE	PARTIAL SALE	EXCHANGE		A	B	C	D	E	F	G	H	I	J	K
	Brown Advisory - Tarrant Co. TX Cultural Education Facilities Finance Corp. 2.5% DUE 12-01-2018	X				9/1/2018	X										
	Brown Advisory - LA State Local Government Environmental Facs & Cmnty Dev Auth 5% DUE 10-01-2026		X			8/24/2018		X									
	Brown Advisory - Citizens Property Insurance Corp. FL 5% DUE 06-01-2020	X				8/23/2018			X								
	Brown Advisory - Applington-Partersburg LA Community School District P/R 7/1/19 @ 100 5.25% DUE 07-01-2023	X				8/14/2018		X									
	Rooney Family Investments, Ltd. (Private Investments, Naples, FL):																
	GS Brokerage - HOUSTON TEXAS REV 5.0000% 07/01/19 JJ (MUUN)		X			9/4/2018	X										
	GS Brokerage - PAYNE CNTY OKLA INDPT SCH DIST GO 3% 06/01/19 ID (MUUN)		X			9/4/2018	X										
	GS Brokerage - HAWAII ST GO 5.0000% 08/01/23 FA (MUUN)		X			9/4/2018	X										
	GS Brokerage - WHITEWATER WIS UNI SCH DIST GO 4% 04/01/22 AD (MUUN)		X			9/4/2018	X										
	GS Brokerage - ATLANTA GA ARPT REV REV 5% 01/01/22 JJ (MUUN)		X			9/4/2018	X										
	GS Brokerage - WISCONSIN ST TRANSN REV REV 5.0000% 07/01/21 JJ (MUUN)		X			9/4/2018	X										
	GS Brokerage - HIDALGO CNTY TEX DB 5% 08/15/21 FA (MUUN)		X			9/4/2018	X										
	GS Brokerage - WISCONSIN ST GO 5% 05/01/20 MN (MUUN)		X			9/4/2018	X										
	GS Brokerage - LAMAR TEX CONS INDPT SCH DIST GO 5% 02/15/20 FA (MUUN)		X			9/4/2018	X										
	GS Brokerage - NY N Y CITY HEALTH & HOSP CORP REV 5.0000% 02/15/19 FA (M1754919-1)		X			9/4/2018	X										
	GS Brokerage - UNIVERSITY NEB PACS CORP REV 5% 02/15/21 FA (MUUN)		X			9/4/2018	X										
	GS Brokerage - LOUISVILLE & JEFFERSON CNTY KY GO 5% 11/01/20 MN (MUUN)		X			9/4/2018	X										
	GS Brokerage - STATE OF LOUISIANA GO 5.0000% 07/15/23 JJ (M1821423)		X			9/4/2018	X										
	GS Brokerage - STATE OF CONNECTICUT GO 5.0000% 08/15/21 FA (MUUN)		X			9/4/2018	X										
	GS Brokerage - BALTIMORE COUNTY MD GO 5.0000% 09/01/20 MS (MUUN)		X			9/4/2018	X										
	GS Brokerage - MILWAUKEE WIS GO 5% 02/01/23 FA (MUUN)		X			9/4/2018	X										
	GS Brokerage - STATE OF MICHIGAN GO 5.0000% 05/01/23 MN (M905523)		X			9/4/2018	X										
	GS Brokerage - BROWNVILLE TEX IMPT CORP REV 4.0000% 02/15/21 FA (MUUN)		X			9/4/2018	X										
	GS Brokerage - BLOOM MISS GO 3% 03/01/19 MS (MUUN)		X			9/4/2018	X										
	GS Brokerage - UNIVERSITY ILL UNIV REV 5% 04/01/21 CA AD (MUUN)		X			9/4/2018	X										
	GS Brokerage - WESTBROOK ME GO 5% 04/01/23 AD (MUUN)		X			9/4/2018	X										
	GS Brokerage - GEORGIA MUN ELEC AUTH PWR REV 5% 01/01/22 JJ (MUUN)		X			9/4/2018	X										
	GS Brokerage - TULSA CNTY OKLA INDPT SCH DIST GO 2% 03/01/19 MS (MUUN)		X			9/4/2018	X										
	RP Van Buren LLC, LLC - property investment in 510, 512, 516 and 520 Herndon Parkway and 250 Exchange Place		X			8/14/2018											
	2826 Old Lee, LLC - property investment in 2826 Old Lee Hwy, Fairfax, VA		X			8/14/2018											
	1831 Michael Faraday, LLC - property investment in 1831 Michael Faraday Drive, Reston, VA		X			9/5/2018											
	Rooney Capital, LLC (Private Investments, Naples, FL):																
	Morgan Stanley - DALLAS TEX RATE/A1/A+ 5.000% DUE 2031-02-15 (235219P6)	X				9/5/2018	X										
	Morgan Stanley - HARRIS CNTY TEX CULTURAL ED PACS FIN CORP REV-A RATE/A1/A+ 5.000% DUE 2030-12-01 (414)	X				8/29/2018	X										

SCHEDULE B - TRANSACTIONS

NAME: LAURENCE FRANCIS ROONEY

FULL ASSET NAME		Type of transaction				Check Box If Capital Gain Exceeded \$200	Date of Transaction (MM/DD/YY)	Amount of Transaction										
Provide full name, not ticker symbol		PURCHASE	SALE	PARTIAL SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K
JT SP DC	Morgan Stanley - SEATTLE WASH DRAIN & WASTEWATER REV RATE:AA1/AA+ 5.000% DUE:2027-09-01 (812631KC2)	X					8/29/2018	X										
	1831 Michael Faraday, LLC - property investment in 1831 Michael Faraday Drive, Reston, VA	X					9/5/2018		X									
	Brown Advisory - Houston TX Hotel Occupancy ARS 2.84% DUE 09-01-2033	X					10/3/2018		X									
	Rooney Capital, LLC (Private Investments, Naples, FL):																	
	Morgan Stanley - DETROIT MICH WTR SUPPLY SYS REV SER-C RATE:AA1/AA+ 5.750% DUE:2027-07-01 (2512557H2)	X					9/13/2018	X										
	Morgan Stanley - CLEAR CREEK TEX INDPT SCH DIST RATE:N/A/AAA 5.000% DUE:2031-02-15 (1845405Z1)	X					9/13/2018	X										
	RP 11111 Sunset Hills LLC - property investment in 11111 Sunset Hills Drive, Reston, VA	X					10/3/2018	X										
	Brown Advisory - iShares Core MSCI EAFE ETF	X					11/13/2018					X						
	Brown Advisory - Artisan International Value Fund CI Adv	X					11/13/2018				X							
	Brown Advisory - Norfolk VA Economic Development Authority VRDN 1.61% DUE 12-01-2018	X					11/1/2018		X									
	Brown Advisory - T. Rowe Price Tax-Free High Yield Bond Fund	X					10/25/2018			X								
	Brown Advisory - Brown Advisory Tax Exempt Bond Fund CI Inst	X					10/25/2018			X								
	Brown Advisory - Cincinnati OH Economic Development P/R 11/01/18 @ 100.5% DUE 11-01-2028	X					11/1/2018		X									
	Brown Advisory - IL State Financing Auth P/R 11/1/18 @ 100.575% DUE 11-01-2028	X					11/1/2018		X									
	Rooney Family Investments, Ltd. (Private Investments, Naples, FL):																	
	GS Brokerage - BOK FINANCIAL CORP (NEW) CMN (BOKF)		X				10/11/2018	X										
	Rooney Capital, LLC (Private Investments, Naples, FL):																	
	Morgan Stanley - MIAMI-DADE CO FLA SCH BRD COPSREV SER-A RATE:AA1/A+ 4.000% DUE:2028-08-01 (59333M79)	X					11/2/2018	X										
	Morgan Stanley - PASADENA TEX INDPT SCH DIST SCH BLDG REF PSF-GTD RATE:AAA/AAA 5.000% DUE:2028-02	X					11/2/2018	X										
	Morgan Stanley - IDAHO HEALTH FACS AUTH REV-ID RATE:AA3/AA+ 5.000% DUE:2031-12-01 (451295XP9)	X					10/29/2018	X										
	Morgan Stanley - WASHINGTON ST RATE:AA1/AA+ 5.000% DUE:2030-06-01 (93974CG53)	X					10/29/2018	X										
	Morgan Stanley - PORT OF SEATTLE WA INTERMEDIATE UEN REV SER-A RATE:AA1/A+ 5.000% DUE:2031-08-01 (95	X					10/17/2018	X										
	Morgan Stanley - WEST VIRGINIA ECONOMIC DEV AUTH LEASE REV RATE:AA3/A+ 5.000% DUE:2029-06-01 (95	X					10/17/2018	X										
	Morgan Stanley - OHIO UNIV GEN RCPTS ATHENS GENRCPTS REV RATE:AA3/A+ 5.000% DUE:2028-12-01 (677	X					10/12/2018	X										
	Morgan Stanley - HEWLETT PACKARD ENTERPRISE CO RATE:BAZ/BBB 4.900% DUE:2025-10-15 (42824CAW9)	X					10/11/2018	X										
	Brown Advisory - Artisan Global Value Fund CI Adv	X					11/21/2018		X									
	Brown Advisory - Tarrant Co. TX Cultural Education Facilities Finance Corp. 2.5% DUE 12-01-2018	X					11/15/2018	X										
	Rooney Family Investments, Ltd. (Private Investments, Naples, FL):																	
	GS Brokerage - KING CNTY WASH PUB HOSP DIST GO 4.0000% 12/01/20 JD (MUNI)	X					11/28/2018	X										

NAME: LAURENCE FRANCIS ROONEY

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Source (include date of receipt for honoraria)

[illegible]

SCHEDULE D - LIABILITIES

Name: **LAURENCE FRANCIS ROONEY**

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP DC JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/16	Mortgage on Rental Property, Dover, DE				X							
	NONE													

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Manager/Member	LFR Capital, LLC (Holding Co. for Filer/Spouse/Non-Dependent Children's Trusts' Investment Portfolio; not an investment advisor)
Member	2826 Old Lee LLC (Holding Co. for a real estate investment)
Member	RP Belmont Land LLC (Holding Co. for a real estate investment)
Officer	Rooney Finance LLC (Holding Co. for Filer/Spouse/Non-Dependent Children's Trusts' Investment Portfolio; not an investment advisor)
Member	RRE 3330 LLC (Holding Co. for a real estate investment)

SCHEDULE F - AGREEMENTS

Name: **LAURENCE FRANCIS ROONEY**

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
NONE		

SCHEDULE G - GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$360 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives; gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent); local meals; and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$156 or less need not be added towards the \$360 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal hospitality received from the Committee on Ethics)	\$400
NONE		

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EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the donor.

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Name: LAURENCE FRANCIS ROONEY Page 56 of 57

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Use additional sheets if more space is required.