

UNITED STATES HOUSE OF REPRESENTATIVES

FORM B

FINANCIAL DISCLOSURE STATEMENT

For New Members, Candidates, and New Employees

Name: Jonathan Neil Pelzer

Daytime Telephone _____

FILER STATUS



New Member of or Candidate for U.S. House of Representatives
State: California
District: 30th
Candidates - Date of Election: June 5, 2018



Check if Amendment



New Officer or Employee
Employing Office: _____
Staff Filer Type (if Applicable):
Shared ☐ Principal Assistant ☐

Period Covered: January 1, 2017 to September 30, 2017

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

NOV 15 2017

Page 1 of 6

LEGISLATIVE RESOURCE CENTER

17 NOV 28 PM 1:16

U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child:

- a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or
b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?

Yes ☒ No ☐

E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?

Yes ☒ No ☐

C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?

Yes ☒ No ☐

F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?

Yes ☒ No ☐

D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?

Yes ☒ No ☐

J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?

Yes ☒ No ☐

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

Yes ☐ No ☒

EXEMPTION - Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes ☐ No ☒

Page 2 of 6

① See attached Sept monthly statement

Page 3 of 6

Use additional sheets if more space is required.

Page 4 of 6

INCOME LIMITS and PROHIBITED INCOME: Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2016 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,495. The 2017 limit is \$27,765. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: Jonathan Neil Pelzer

Page 5 of 6

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **New Members.** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
	Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
JT	Wells Fargo Bank Mortgage	6/13	Mortgage on Residence				X							
JT	Dept of Education NYS	6/08	Student Loans		X									
JT	Nelnet-	6/12	Student Loans					X						
JT	Chase - Visa	6/17	Credit Cards	X										

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

Position	Name of Organization
President & Owner, Director	Jon Pelzer, Inc.

SCHEDULE F - AGREEMENTS

Name: Jonathan Neil Pelzer

Page 6 of 6

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
	none	

SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

Source (Name and City/State)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting Services
Bartson Fabrics Inc, Midland Park, NJ	Commissions Paid on fabric sales
I T. G., Greensboro, NC	Commissions Paid on Fabric Sales
International To Corp	Commissions paid on fabric sales
Pacific Crest Trading Group	Commissions paid on fabric sales
Plaza/Annex Fabrics, City of Industry, CA	Commissions Paid on fabric sales

(2) Same Company, DBA

Income Summary

Description	This Period	Year to Date
Federally Taxable		
Deposit Accounts Interest	32.77	102.81
Cash Dividends	47.02	5,229.43
Corporate Bond and Other Interest	446.92	2,723.39
Federally Tax-Exempt¹		
Municipal Bond Interest	287.50	6,349.32
Total Income	814.21	14,404.95

¹ Certain income in this category may qualify for state tax exemption; consult your tax advisor.

Investment Detail

Description	Symbol	Quantity	Price	Market Value
Cash, Money Market, and Deposit Accounts				
DEPOSIT ACCOUNTS ^{X,Z}				35,344.94
Investments				
QWEST CORP 6.75%21 DUE 12/01/21 Ba1/BBB- CURRENT YIELD 6.18248%		5,000.0000	109.1794	5,458.97
CC HLDGS GS V L 3.849%23 DUE 04/15/23 Baa2/BBB CURRENT YIELD 3.68934%		5,000.0000	104.3275	5,216.38
HCA INC. 5%24 DUE 03/15/24 Ba1/BBB- CURRENT YIELD 4.68933%		5,000.0000	106.6250	5,331.25
BANK NEW YORK MEL 3.4%24 DUE 05/15/24 CALLABLE 04/15/24 AT 100.00000 A1/A CURRENT YIELD 3.29537%		5,000.0000	103.1748	5,158.74
L3 TECHNOLOGIES 3.95%24 DUE 05/28/24 CALLABLE 02/28/24 AT 100.00000 Baa3/BBB- CURRENT YIELD 3.77684%		5,000.0000	104.5847	5,229.24
U S BANCORP 3.6%24 DUE 09/11/24 CALLABLE 08/11/24 AT 100.00000 A1/A- CURRENT YIELD 3.46274%		5,000.0000	103.9637	5,198.19
SIMON PPTY GRP 3.375%24 DUE 10/01/24 CALLABLE 07/01/24 AT 100.00000 A2/A CURRENT YIELD 3.30848%		5,000.0000	102.0103	5,100.52
PARKER-HANNIFIN C 3.3%24 DUE 11/21/24 CALLABLE 08/21/24 AT 100.00000 Baa1/A CURRENT YIELD 3.21433%		5,000.0000	102.6652	5,133.26
CATERPILLAR FINL 3.25%24 DUE 12/01/24 A3/A CURRENT YIELD 3.16721%		5,000.0000	102.6139	5,130.70

Please see "Endnotes For Your Account" section for an explanation of the endnote codes and symbols on this statement.

Investment Detail (continued)

<i>Description</i>	<i>Symbol</i>	<i>Quantity</i>	<i>Price</i>	<i>Market Value</i>
Investments (continued)				
JPMORGAN CHASE 3.125%25 DUE 01/23/25 CALLABLE 10/23/24 AT 100.00000 A3/A- CURRENT YIELD 3.11064%		5,000.0000	100.4616	5,023.08
APPLE INC 2.5%25 DUE 02/09/25 Aa1/AA+ CURRENT YIELD 2.5376%		5,000.0000	98.5179	4,925.90
MERCK & CO INC 2.75%25 DUE 02/10/25 CALLABLE 11/10/24 AT 100.00000 A1/AA CURRENT YIELD 2.74343%		5,000.0000	100.2393	5,011.97
MICROSOFT CORP 2.7%25 DUE 02/12/25 CALLABLE 11/12/24 AT 100.00000 Aaa/AAA CURRENT YIELD 2.68996%		5,000.0000	100.3731	5,018.66
COMCAST CORPORA 3.375%25 DUE 02/15/25 CALLABLE 11/15/24 AT 100.00000 A3/A- CURRENT YIELD 3.2926%		5,000.0000	102.5023	5,125.12
WELLS FARGO BK N A 3%25 DUE 02/19/25 A2/A CURRENT YIELD 3.0285%		5,000.0000	99.0589	4,952.95
PNC BANK 2.95%25 DUE 02/23/25 CALLABLE 01/22/25 AT 100.00000 A2/A CURRENT YIELD 2.9527%		5,000.0000	99.9085	4,995.43
LOCKHEED MARTIN C 2.9%25 DUE 03/01/25 CALLABLE 12/01/24 AT 100.00000 Baa1/BBB+ CURRENT YIELD 2.91807%		5,000.0000	99.3805	4,969.03
ABBOTT LABORATOR 2.95%25 DUE 03/15/25 CALLABLE 12/15/24 AT 100.00000 Baa3/BBB CURRENT YIELD 2.99215%		5,000.0000	98.5912	4,929.56
FORD MOTOR CRED 4.389%26 DUE 01/08/26 Baa2/BBB CURRENT YIELD 4.23315%		5,000.0000	103.6816	5,184.08
CANYON TX ISD 5%18 GO UTX DUE 02/15/18 NR/AAA CURRENT YIELD 4.92382%		10,000.0000	101.5470	10,154.70
S CA PPA TRANS PROJ 6%27 ELEC UTIL DUE 07/01/27 OID PRE-REFUNDED 07/01/18 AT 100.00000 Aa3/AA- CURRENT YIELD 5.77433%		15,000.0000	103.9080	15,586.20
OKLAHOMA ST 5%18 EXCISE TAX DUE 07/15/18 Aa2/AA CURRENT YIELD 4.84449%		15,000.0000	103.2100	15,481.50

Please see "Endnotes For Your Account" section for an explanation of the endnote codes and symbols on this statement.

Investment Detail (continued)

Description	Symbol	Quantity	Price	Market Value
Investments (continued)				
ORLANDO FL SPL 5%19 IMPT DUE 10/01/19 Aa2/AA CURRENT YIELD 4.64187%		15,000.0000	107.7150	16,157.25
NJ ECON DEV AUTH 5.75%23 VP DUE 09/01/23 PRE-REFUNDED 03/01/21 AT 100.00000 Baa1/NR CURRENT YIELD 4.98768%		10,000.0000	115.2840	11,528.40
SULPHUR SPGS CA 5.375%22 SCH COPS DUE 02/01/22 OID XTRO AMBAC INDEMNITY ESCROWED TO MATURITY NR/NR CURRENT YIELD 4.87528%		5,000.0000	110.2500	5,512.50
SAN DIEGO CA TRANS 5%22 EXCISE TAX DUE 04/01/22 NR/AAA CURRENT YIELD 4.29449%		15,000.0000	116.4280	17,464.20
CA ST DEPT WTR RES 5%22 ELEC UTIL DUE 05/01/22 Aa1/AA CURRENT YIELD 4.28126%		10,000.0000	116.7880	11,678.80
UNIV AR 5%22 PUB EDUC DUE 11/01/22 Aa2/NR CURRENT YIELD 4.26588%		10,000.0000	117.2090	11,720.90
DUPAGE ETC IL SD205 5%23 GO UTX DUE 01/01/23 NR/AA+ CURRENT YIELD 4.32137%		10,000.0000	115.7040	11,570.40
WEBER BASIN UT WTR 5%24 WTR UTIL DUE 10/01/24 CALLABLE 04/01/24 AT 100.00000 NR/AA+ CURRENT YIELD 4.17543%		15,000.0000	119.7480	17,962.20
KATY TX ISD 5%25 GO UTX DUE 02/15/25 Aaa/AAA CURRENT YIELD 4.09718%		15,000.0000	122.0350	18,305.25
SD ST HLTH/ED FAC 5%25 LEAS SCH DUE 08/01/25 Aa1/NR CURRENT YIELD 4.10738%		15,000.0000	121.7320	18,259.80
JACKSONVILLE FLA TR 5%26 EXCISE TAX DUE 08/01/26 CALLABLE 08/01/25 AT 100.00000 NR/AA CURRENT YIELD 4.12885%		10,000.0000	121.0990	12,109.90
SNOHOMSH CN WA SD15 4%27 GO UTX DUE 12/01/27 CALLABLE 06/01/26 AT 100.00000 Aa1/AA+ CURRENT YIELD 3.52892%		10,000.0000	113.3490	11,334.90
UNITED AIRLINES 3.75%28 ABS-CDO DUE 03/03/28 -- FACTOR= .885297621 AMORT AMT=\$4,426.49 CURRENT YIELD 3.61971%		5,000.0000	103.5993	4,585.81

Please see "Endnotes For Your Account" section for an explanation of the endnote codes and symbols on this statement.



Investment Detail (continued)

Description	Symbol	Quantity	Price	Market Value
Investments (continued)				
AMERICAN AIRLIN 3.375%28 ABS-SPCL DUE 11/01/28 AMRPT-- FACTOR= .903924909 AMORT AMT=\$4,519.62 CURRENT YIELD 3.41772%		5,000.0000	98.7500	4,463.13
ALPHABET INC. CLASS A	GOOGL	4.0000	973.7200	3,894.88
APPLE INC	AAPL	28.0000	154.1200	4,315.36
APPLIED MATERIALS	AMAT	105.0000	52.0900	5,469.45
BAXTER INTERNATIONAL	BAX	45.0000	62.7500	2,823.75
CELGENE CORP	CELG	28.0000	145.8200	4,082.96
FACEBOOK INC CLASS A	FB	27.0000	170.8700	4,613.49
FCB FINANCIAL HLDGS CLASS A	FCB	46.0000	48.3000	2,221.80
GENERAL MOTORS CO	GM	53.0000	40.3800	2,140.14
ILLINOIS TOOL WORKS	ITW	26.0000	147.9600	3,846.96
INTEGER HLDGS CORP	ITGR	53.0000	51.1500	2,710.95
NOKIA CORP SPON F SPONSORED ADR 1 ADR REPS 1 ORD SHS	NOK	300.0000	5.9800	1,794.00
STAMPS.COM INC	STMP	6.0000	202.6500	1,215.90
STANLEY BLACK & DECK	SWK	26.0000	150.9700	3,925.22
TELECOM ITALIA F SPONSORED ADR 1 ADR REPS 10 ORD SHS	TI	25.0000	9.4000	235.00
GENERAL MOTORS CO 19 WTS WARRANTS EXP 07/10/19	GMWB	48.0000	22.4100	1,075.68
Total Account Value				390,679.35

Transaction Detail

Settle Date	Trade Date	Transaction	Description	Quantity	Price	Total
Cash, Money Market, and Deposit Accounts Activity						
09/01	09/01	Bond Interest	LOCKHEED MARTIN C 2.9%25 DUE 03/01/25			72.50
09/01	09/01	Bond Interest	NJ ECON DEV AUTH 5.75%23 VP DUE 09/01/23			287.50
09/05	09/03	Bond Interest	UNITED AIRLINES 3.75%28 ABS-CDO DUE 03/03/28			85.67
09/06	09/06	Funds Paid	WIRED FUNDS DISBURSED			(475,000.00)
09/06	09/06	Service Fee	WIRED FUNDS FEE			(25.00)
09/11	09/11	Bond Interest	U S BANCORP 3.6%24 DUE 09/11/24			90.00

Please see "Endnotes For Your Account" section for an explanation of the endnote codes and symbols on this statement.