

POSTMARKED

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT

FORM B

Period covered: January 1, 2013 - January 20, 2014

For use by candidates and new employees

LEGISLATIVE RESOURCE CENTER Page 1 of 35

2014 FEB 10 AM 9:33

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Name: JOSEPH GERARD BOCK Daytime Telephone: _____

(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Candidate for the House of Representatives	State: <u>IN</u> District: <u>2</u>	Date of Election: <u>Nov. 4, 2014</u>	Check if Amendment <input type="checkbox"/>	A \$200 penalty shall be assessed against any individual who files more than 30 days late.
	<input type="checkbox"/> New officer or employee	Employing Office: _____			

In all sections, please type or print clearly in blue or black ink.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

This page may be copied if more space is required.

Name	JOSEPH G. BOCK	Page	3	of	35
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For additional assets and unearned income, use next page.



JOSEPH G. BOCK

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Investment Report

December 1, 2013 - December 31, 2013

Online
FAST(sm)-Automated Telephone
Customer Service
Fidelity.com
800-544-5555
800-544-6666

Envelope

JOSEPH G BOCK

JOINT ACCOUNT

Fidelity Account sm JOSEPH G BOCK WITH RIGHTS OF SURVIVORSHIP TOD

Account Summary

Beginning value as of Dec 1 \$51,325.12
Additions 841.00
Withdrawals -2,907.69
Change in investment value 821.79
Ending value as of Dec 31 \$50,080.22
Account trades from Jan 2013 - Dec 2013 2

Income Summary

This Period
Taxable Dividends \$0.03
Lt cap gain 0.00
Tax-exempt Dividends 0.36
Total \$0.39
Year to Date
\$0.35
1.75
5.82
\$7.92

Realized Gain/Loss from Sales

This Period
Long-term loss \$0.00
Lt disallowed loss 0.00
Net long 0.00
Year to Date
-\$1,964.99
1,964.99
0.00

Amount you can borrow \$1,926.96
Maximum rate that could currently apply 8.57%

Holdings (Symbol as of December 31, 2013)

Stocks 11% of holdings
M BLACKBERRY LTD NPV ISIN #CA09228F1036
SEDOL #8GBH231 (BBRY)
Subtotal of Stocks

Performance	Quantity	Price per Unit	Total Cost Basis	Total Value
December 31, 2013	December 31, 2013	December 31, 2013		December 31, 2013

	740.000	\$7.440	\$21,542.75	\$4,684.20	\$5,505.60
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			21,542.75		5,505.60
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Mutual Funds 6% of holdings
FIDELITY CASH RESERVES (FDRXX)

7-day Yield: 0.01%	3,052.890	1.000	not applicable	3,052.86	3,052.89
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Investment Report

December 1, 2013 - December 31, 2013

Fidelity Account		JOSEPH G BOCK		WITH RIGHTS OF SURVIVORSHIP TOD	
Holdings	(Symbol) as of December 31, 2013	Performance December 31, 2013	Quantity December 31, 2013	Price per Unit December 31, 2013	Total Value December 31, 2013
Subtotal of Mutual Funds				Total Cost Basis	December 1, 2013

Core Account 83% of holdings					
FIDELITY MUNICIPAL MONEY MARKET (FTEXX)	7-day Yield: 0.01%	41,521.730	1.000	not applicable	41,521.73
Subtotal of Core Account					41,521.73

Total					\$21,542.75
<i>M - Position held in margin account.</i>					
<i>All remaining positions held in cash account.</i>					
<i>Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.</i>					

Transaction Details (for holdings with activity this period)

Core Account - Fidelity Municipal Money Market					
Description	Amount	Balance	Description	Amount	Balance
Beginning		\$43,588.06			
Investment Activity					
Securities bought	-\$0.03		Cash Management Activity	841.00	
Core account income	0.36		Deposits	-1,211.68	
Income	0.03		Bill payments	-1,696.01	
			Other withdrawals	- \$2,066.69	
Subtotal of Investment Activity	\$0.36		Subtotal of Cash Management Activity		- \$2,066.69
			Ending		\$41,521.73

Investment Activity					
Settlement Date	Security	Description	Quantity	Price per Unit	Transaction Amount
12/31	FIDELITY MUNICIPAL MONEY MARKET	Dividend received			\$0.36
12/31	FIDELITY CASH RESERVES	Dividend received			0.03
12/31	FIDELITY CASH RESERVES	Reinvestment	0.030	\$1.00000	-0.03

Cash Management Activity



Investment Report

December 1, 2013 - December 31, 2013

Envelope

JOSEPH G BOCK

RETIREMENT
ACCOUNT

Your Advisor

Brokerage JOSEPH G BOCK - ROTH INDIVIDUAL RETIREMENT ACCOUNT - FMTC CUSTODIAN

Account Summary

Beginning value as of Dec 1 \$176,109.11
Transaction costs, loads and fees -39.86
Change in investment value 4,843.54
Ending value as of Dec 31 \$180,912.79

Income Summary

Tax-free This Period \$253.65
Year to Date \$1,816.70

Accrued Interest (AI) \$0.00
Change in AI from last statement \$0.00

Your Advisor is an independent organization and is not affiliated with Fidelity Investments. Brokerage services provided by Fidelity Brokerage Services LLC, Member NYSE, SIPC.
800-544-6666. Brokerage Accounts carried with National Financial Services LLC, Member NYSE, SIPC

Holdings (Symbol) as of December 31, 2013

Stocks 86% of holdings

	Quantity December 31, 2013	Price per Unit December 31, 2013	Cost	Total Value December 1, 2013	Total Value December 31, 2013	Unrealized Gain (Loss) December 31, 2013
XL GROUP PLC SHS (XL)	166,497	\$31.840	\$5,105.41	\$5,326.23	\$5,301.26	\$ 195.85
EAI: \$93.24, EY: 1.76%						
TE CONNECTIVITY LTD COM CHF0.57 (TEL)	55,263	55.110	2,852.23	2,899.60	3,045.54	193.31
EAI: \$55.26, EY: 1.81%						
TYCO INTERNATIONAL LTD(SWITZERLAND) SHS (TYC)	154,000	41.040	5,778.67	5,873.56	6,320.16	541.49
EAI: \$98.56, EY: 1.56%						



JOSEPH G BOCK

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Investment Report

December 1, 2013 - December 31, 2013

Brokerage		JOSEPH G BOCK - ROTH INDIVIDUAL RETIREMENT ACCOUNT - FMTC CUSTODIAN					
Holdings	(Symbol as of December 31, 2013)	Quantity December 31, 2013	Price per Unit December 31, 2013	Cost	Total Value December 1, 2013	Total Value December 31, 2013	Unrealized Gain (Loss) December 31, 2013
ABBOTT LABORATORIES (ABT)		151.147	38.330	5,653.91	5,772.30	5,793.46	139.55
EAI: \$133.01, EY: 2.30%							
ALLERGAN INC (AGN)		61.066	111.080	5,318.32	5,923.44	6,783.21	1,464.89
EAI: \$12.21, EY: 0.18%							
ANADARKO PETE CORP (APC)		65.148	79.320	5,815.09		5,167.53	- 647.56
EAI: \$46.91, EY: 0.91%							
CABOT OIL & GAS CP COM (COG)		156.094	38.760	5,713.85	5,377.43	6,050.20	336.35
EAI: \$12.49, EY: 0.21%							
CATAMARAN CORP COM NPV		61.000	47.462	2,843.28	2,783.43	2,895.18	51.90
ISIN #CA148871023 SEDOL #B8J4N87 (CTRX)							
CELGENE CORP (CELG)		34.000	168.968	4,053.93	5,500.18	5,744.91	1,690.98
CITIGROUP INC COM NEW (C)		111.045	52.110	5,372.92	5,876.50	5,786.55	413.63
DEVON ENERGY CORP NEW (DVN)		93.335	61.870	5,691.48		5,774.63	83.15
EAI: \$82.13, EY: 1.42%							
EOG RESOURCES INC (EOG)		36.000	167.840	5,679.49		6,042.24	362.75
EAI: \$27.00, EY: 0.45%							
EBAY INC (EBAY)		92.000	54.865	4,930.69	4,647.84	5,047.58	116.89
GAMESTOP CORP NEW CL A (GME)		62.000	49.260	2,872.83		3,054.12	181.29
EAI: \$68.20, EY: 2.23%							
GREEN MTN COFFEE ROASTERS INC (GMCR)		84.000	75.540	5,495.45	5,659.92	6,345.36	849.91
EAI: \$84.00, EY: 1.32%							
HOME DEPOT INC (HD)		73.737	82.340	5,477.26	5,919.24	6,071.50	594.24
EAI: \$115.03, EY: 1.89%							
INTL BUSINESS MACH (IBM)		26.398	187.570	4,907.65	4,717.67	4,951.47	43.82
EAI: \$100.31, EY: 2.03%							
JPMORGAN CHASE & CO (JPM)		104.748	58.480	5,445.33	5,993.68	6,125.66	680.33
EAI: \$159.22, EY: 2.60%							
LILLY ELI & CO (LLY)		106.006	51.000	5,386.89	5,271.94	5,406.30	19.41
EAI: \$207.77, EY: 3.84%							
PPG INDUSTRIES (PPG)		29.359	189.660	3,890.10	5,386.33	5,568.22	1,678.12
EAI: \$71.64, EY: 1.29%							
PEPSICO INC (PEP)		68.000	82.940	5,353.54	5,743.28	5,639.92	286.38
EAI: \$154.36, EY: 2.74%							



Investment Report

December 1, 2013 - December 31, 2013

Brokerage

JOSEPH G BOCK - ROTH INDIVIDUAL RETIREMENT ACCOUNT - FMTC CUSTODIAN

Holdings (Symbol) as of December 31, 2013

Quantity	Price per Unit	Cost	Total Value	Unrealized Gain (Loss)
December 31, 2013	December 31, 2013		December 1, 2013	December 31, 2013

RALPH LAUREN CORP COM USD0.01 CLASS A

(RL)	33.081	176.570	5,528.00	5,796.78	5,841.11	313.11
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EAI: \$59.55, EY: 1.02%

ROCK-TENN CO CL A (RKT)

EAI: \$39.20, EY: 1.33%

SLM CORP COM (SLM)

EAI: \$118.82, EY: 2.28%

SANDISK CORP (SNDK)

EAI: \$82.51, EY: 1.28%

STARBUCKS CORP (SBUX)

EAI: \$74.88, EY: 1.33%

TYSON FOODS INC CL A FRML Y COM (TSN)

EAI: \$29.16, EY: 0.90%

UNION PACIFIC CORP (UNP)

EAI: \$116.92, EY: 1.88%

VISA INC COM CL A (V)

EAI: \$49.79, EY: 0.72%

Subtotal of Stocks

141,291.90

155,410.23

14,118.33

Core Account 14% of holdings

FIDELITY CASH RESERVES (FDRXX)

7-day Yield: 0.01%

Subtotal of Core Account

25,502.560

1.000

not applicable

32,065.88

25,502.56

not applicable

Total

\$ 141,291.90

\$ 180,912.79

\$ 14,118.33

All positions held in cash account unless indicated otherwise.

Total Cost does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.

Estimated Annual Income (EAI) & Estimated Yield (EY) - EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be lower or higher. EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security. For additional information, including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.



NOTRE DAME DC PLAN

JOSEPH BOCK

Retirement Savings Statement

Customer Service: (800) 343-0860
 Fidelity Investments Institutional Operations
 Company, Inc.
 82 Devonshire Street
 Boston, MA 02109

Your Account Summary

Statement Period: 12/01/2013 to 12/31/2013

Beginning Balance	\$1,087.89
Change in Account Value	\$27.92
Ending Balance	\$1,115.81
Additional Information	
Dividends & Interest	\$22.63

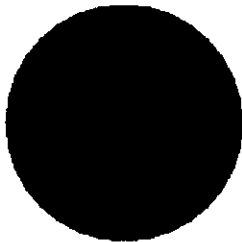
Your Personal Rate of Return

This Period **2.6%**

Your Personal Rate of Return is calculated with a time-weighted formula, widely used by financial analysts to calculate investment earnings. It reflects the results of your investment selections as well as any activity in the plan account(s) shown. There are other Personal Rate of Return formulas used that may yield different results. Remember that past performance is no guarantee of future results.

Your Asset Allocation

Statement Period: 12/01/2013 to 12/31/2013



■ 100.00% Stock Investments: \$1,115.81

Your account is allocated among the asset classes specified above as of 12/31/2013. Percentages and totals may not be exact due to rounding.

Account Value

Statement Period: 12/01/2013 to 12/31/2013

Displayed in this section is the value of your account for the statement period, in both shares and dollars.

Univ. of Notre Dame

Investment	Shares as of 11/30/2013	Shares as of 12/31/2013	Price as of 11/30/2013	Price as of 12/31/2013	Account Value as of 11/30/2013	Account Value as of 12/31/2013
Stock Investments					\$1,087.89	\$1,115.81
International						
FID Intl Discovery K	27.035	27.619	\$40.24	\$40.40	\$1,087.89	\$1,115.81
Account Totals					\$1,087.89	\$1,115.81

Remember that a dividend payment to fund shareholders reduces the share price of the fund, so a decrease in the share price for the statement period does not necessarily reflect lower fund performance.

Please refer to NetBenefits and other Plan information, such as your SPD, for a description of your right to direct investments under the Plan. For information on any plan restrictions or limitations on those rights visit NetBenefits and click on "Plan Information".

To help achieve long-term retirement security, you should give careful consideration to the benefits

JOSEPH G. BOCK
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FINANCIAL SERVICES

730 Third Avenue New York, NY 10017-3206

DR JOSEPH G BOCK

Quarterly Retirement Portfolio Statement

October 01, 2013 - December 31, 2013

For
DR JOSEPH G BOCK

Customer Service

Website: tiaa-cref.org
Automated 24-hour Information and
Personal Assistance (Español disponible) **800 842-2252**
Hearing Impaired (TTY phone users only) 800 842-2755
Monday through Friday, 8:00 a.m. - 10:00 p.m. (ET)
Saturday, 9:00 a.m. - 6:00 p.m. (ET)
To view your most current account information, go to our
website at tiaa-cref.org and log-in with your user ID and
password or call our 24-hour automated system.

Portfolio Summary

	This Period	Year-to-Date
Beginning Balance	\$389,126.75	\$315,429.41
Additions	10,585.98	270,790.61
Reductions	-35.01	-232,496.33
Gain/Loss	24,579.68	70,533.71
Ending Balance	\$424,257.40	\$424,257.40

Retirement Income Projection

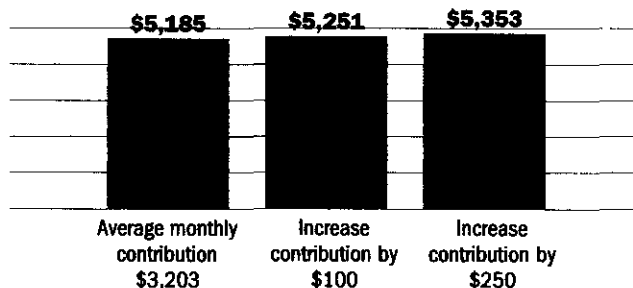
As part of your retirement savings planning, have you considered how much you need to retire? Saving a little more now can add up by the time you retire. **These charts are purely hypothetical and do not illustrate past or projected performance.**

What can you expect from Retirement income?

Average Monthly Contribution	Monthly Contribution Increased by	Sample Lifetime Retirement Monthly Income at Age 65
\$3,203	\$0	\$5,185
\$3,303	\$100	\$5,251
\$3,453	\$250	\$5,353

To raise your savings rate or further personalize the retirement income projection, visit us online at tiaa-cref.org or call TIAA-CREF at 800-842-2252. Recent changes to your contribution amounts may not be reflected on this statement.

Example of Monthly Income at Age 65



Message Board

Tax forms will be mailed and available online by January 31, 2014. To access online, log in at tiaa-cref.org, click: **MANAGE MY PORTFOLIO / STATEMENTS & REPORTS / TAX REPORTING FORMS**. Please note that a Form 1099 will be generated only if you have a taxable transaction related to your account.

Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among the investment choices within your account are also shown as Additions and Reductions.

Please refer to the back of this statement for Glossary Terms.

Quarterly Retirement Portfolio Statement

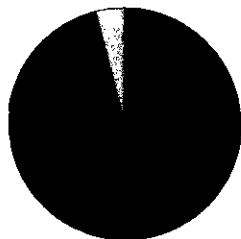
October 01, 2013 - December 31, 2013

DR JOSEPH G BOCK

Personalized Rate of Return

This Period	6.2%	This figure is an estimate of the performance of the assets in your retirement portfolio, as reflected on this statement but excluding your retirement healthcare program assets that are maintained at TIAA-CREF during the period(s) specified. Past performance is not a guarantee of future results. Please refer to the Disclosures Section for more information.
Year-to-Date	20.8%	

Asset Allocation Summary



If you are invested in more than one asset class, the Asset Allocation percentages may not be exact due to rounding.

Current Percent	Asset Class	Current Value
80%	Equities	\$338,016.74
13%	Real Estate	54,804.79
3%	Fixed Income	12,892.76
4%	Multi-Asset	15,074.34
100%	Total	\$420,788.63

Note: Retirement healthcare program assets are not included in the asset allocation pie chart.

Activity Summary by Asset Class

Asset Class/ Investment	Beginning Balance as of 10/01/2013	Additions	Reductions	Gain/Loss	Ending Balance as of 12/31/2013
Equities					
T-C Intl Eq Idx-Rtmt	\$21,373.18	\$0.00	\$0.00	\$1,217.01	\$22,590.19
T-C Intl Eq-Inst	123,351.94	4,835.97	0.00	10,377.96	138,565.87
T-C Social Ch Eq-Inst	32,089.72	0.00	0.00	3,036.38	35,126.10
T-C Intl Eq Idx-Inst	128,358.18	5,750.01	0.00	7,626.39	141,734.58
Total Equities	\$305,173.02	\$10,585.98	\$0.00	\$22,257.74	\$338,016.74
Real Estate					
TIAA Real Estate	\$53,932.34	\$0.00	\$0.00	\$872.45	\$54,804.79
Fixed Income					
T-C High-Yield-Inst	\$12,437.18	\$0.00	\$0.00	\$455.58	\$12,892.76
Multi-Asset					
CREF Social Choice	\$14,358.83	\$0.00	\$0.00	\$715.51	\$15,074.34
T-C Lifecycle 2050-Rtmt	3,225.38	0.00	-35.01	278.40	3,468.77
Total Multi-Asset	\$17,584.21	\$0.00	-\$35.01	\$993.91	\$18,543.11
Total Account Value	\$389,126.75	\$10,585.98	-\$35.01	\$24,579.68	\$424,257.40

Additions and Reductions: Additions include your contributions, rollovers and direct transfers into your account. Reductions include withdrawals, rollovers and direct transfers out of your account. Transfers among your investment choices are also shown as Additions and Reductions. For example, if you transferred money from one investment choice to another, the money going into an account is an Addition and the money leaving an account is a Reduction. Other adjustments to your portfolio are also included in the Additions and Reductions total.

To view current performance for your specific investments, log in to your account at tiaa-cref.org or you can visit www.tiaa-cref.org/performance for general performance information.

JOSEPH G. BOCK 11 OF 35

Quarterly Retirement Portfolio Statement

October 01, 2013 - December 31, 2013

DR JOSEPH G BOCK

Portfolio Breakdown

	Beginning Balance as of 10/01/2013	Ending Balance as of 12/31/2013
Plans		
UNIVERSITY OF NOTRE DAME 403(B) RETIREMENT PLAN	\$193,894.10	\$212,117.91
UNIVERSITY OF NOTRE DAME 403(B) RETIREMENT PLAN	144,223.07	158,804.78
AMERICAN REFUGEE COMMITTEE 403(B) DC PLAN	26,411.02	27,275.75
HAVERFORD COLLEGE VOLUNTARY TDA PLAN	14,014.34	14,812.33
HAVERFORD COLLEGE DEFINED CONTRIBUTION RETIREMENT PLAN	7,358.84	7,777.86
Total Plans	\$385,901.37	\$420,788.63
Other Accounts		
HAVERFORD COLLEGE VEBA TRUST - EMERITI PLAN	\$3,225.38	\$3,468.77
Annuities in Your Plans		
TIAA D76888A-7	\$26,266.43	\$26,691.33
CREF V76888A-4	1,359.11	1,426.84
TIAA 3365894-9	13,411.30	13,628.25
CREF 4365894-7	12,999.72	13,647.50
TIAA L46787C-0	14,254.61	14,485.21
Total Annuities in Your Plans	\$68,291.17	\$69,879.13
Other Investments in Your Plans		
T-C Intl Eq Idx-Rtmt	\$21,373.18	\$22,590.19
T-C High-Yield-Inst	12,437.18	12,892.76
T-C Intl Eq-Inst	123,351.94	138,565.87
T-C Social Ch Eq-Inst	32,089.72	35,126.10
T-C Intl Eq Idx-Inst	128,358.18	141,734.58
Total Other Investments in Your Plans	\$317,610.20	\$350,909.50
Note: The Portfolio Breakdown section of this statement is a summary of your total portfolio, including retirement healthcare program assets.		

UNIVERSITY OF NOTRE DAME 403(B) RETIREMENT PLAN

Annuity Contract(s) & Other Investments in This Plan	Vested Percentage	Vested Balance
Annuity Contracts [REDACTED] & Other Investments		
Employee	100%	\$212,117.91
Total	100%	\$212,117.91

Plan Investment Detail

Asset Class/ Investment	Beginning Balance as of 10/01/2013	# of Units/ Shares	Unit/Share Price	Ending Balance as of 12/31/2013	# of Units/ Shares	Unit/Share Price
Pre-Tax Investments						
Equities						
T-C Intl Eq-Inst	\$19,191.59	1,746.2778	\$10.9900	\$20,772.02	1,775.3863	\$11.7000
T-C Social Ch Eq-Inst	32,089.72	2,216.1406	14.4800	35,126.10	2,269.1278	15.4800
T-C Intl Eq Idx-Inst	128,358.18	6,860.4053	18.7100	141,734.58	7,374.3278	19.2200
Total Equities	\$179,639.49			\$197,632.70		
Real Estate						
TIAA Real Estate	\$14,254.61	48.4663	\$294.1139	\$14,485.21	48.4663	\$298.8717
TIAA L46787C-0						
Total Pre-Tax Investments	\$193,894.10			\$212,117.91		
Total	\$193,894.10			\$212,117.91		

Welcome, Joseph Bock

[\(Edit\)](#)

Contact Us:

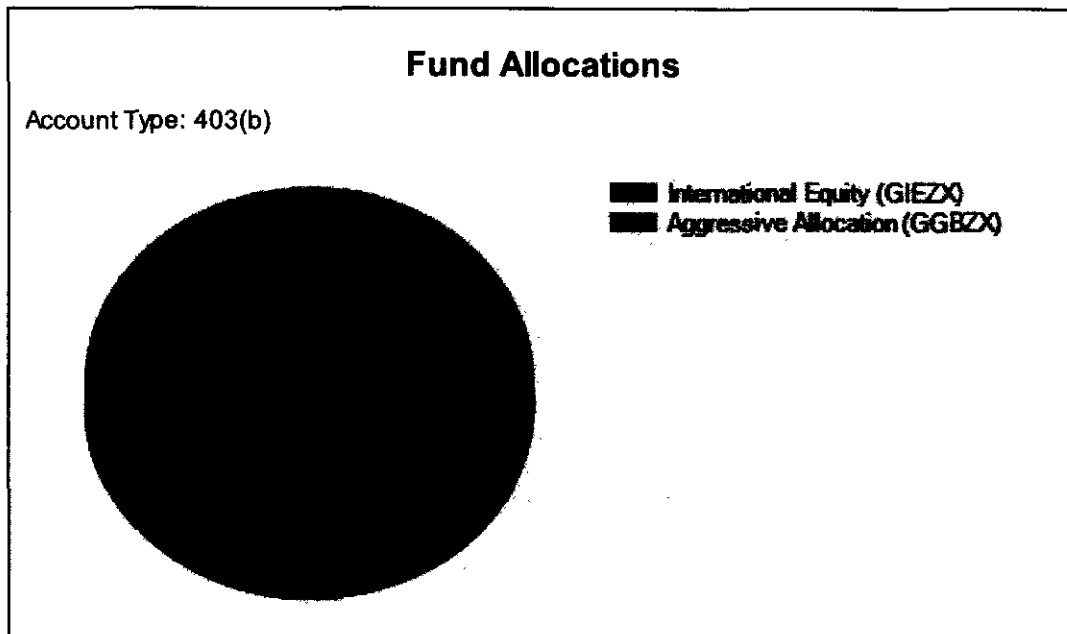
phone: 1-888-98-GUIDE

web: www.GuideStone.org

Investment Overview

[Vested Balances](#) | [Personal Rate of Return](#) | [Guided Planning Services](#)

	Shares	Market Value	Manage Account
403(b) (As of 1/2/2014)		\$11,746.65	Select Activity ▼
WILLIAM JEWELL COLLEGE		\$11,746.65	Select Activity ▼
<u>Aggressive Allocation (GGBZX)</u>	394.9084	\$5,789.36	
<u>International Equity (GIEZX)</u>	395.8329	\$5,957.29	
Total Portfolio Value		\$11,746.65	



You should consider the investment objectives, risks, charges and expenses of the funds carefully before investing. A prospectus with this and other information about the funds may be obtained by calling 1-888-98-GUIDE (1-888-984-8433) or downloading a [prospectus](#). It should be read carefully before investing.

2401 Cedar Springs Rd, Dallas, TX 75201 1-888-984-8433

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JOSEPH G. BOCK 13 OF 35

RAYMOND JAMIES®

JOSEPH G. BOCK 14 OF 35
December 31, 2012 to January 31, 2013

Cash & Cash Alternatives

Your Portfolio
Joseph IRA Account No. [REDACTED]

Raymond James Bank Deposit Program ‡

Description	(Symbol)	Value	Est. Annual Income Yield	Est. Annual Income
Raymond James Bank Deposit Program ‡				
Barclays Bank Delaware		\$69,456.85	0.04%	\$46.62
Raymond James Bank N.A.		\$57,111.84		
Citibank NA		\$0.69		
American Express Centurion		\$0.07		
Raymond James Bank Deposit Program Total		\$116,569.25		\$46.62

Your bank priority status: IN

Participating banks recently added: Amarillo National Bank, added on 12/20/2012

Cash & Cash Alternatives Total

\$116,569.25 **\$46.62**

‡ Please See the Raymond James Bank Deposit Program on the Understanding Your Statement page.

Equities

Stocks

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Annual Income Yield	Est. Annual Income	Gain or (Loss) Pct.	Gain or (Loss)
ACCRETIVE HEALTH INCORPORATED (AH)										
	2,500.000	01/17/2013	\$12.806	\$32,014.40	\$12.910	\$32,275.00			0.81%	\$260.60
CARROLS RESTAURANT GROUP INCORPORATED (TAST)										
	4,000.000	12/04/2012	\$6.151	\$24,604.95	\$6.270	\$25,080.00			1.93%	\$475.05
TANGOE INCORPORATED (TNGO)										
	2,500.000	11/15/2012	\$12.068	\$30,169.90	\$14.450	\$36,125.00			19.74%	\$5,955.10
Stocks Total				\$86,789.25		\$93,480.00	0.00%		7.71%	\$6,690.75

RAYMOND JAMIES®

JOSEPH G. BOCK 15 OF 35
December 31, 2012 to January 31, 2013

Your Portfolio (continued)

Joseph IRA Account No. [REDACTED]

Equities (continued)

REITs / Tangibles †

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss) Pct.	Gain or (Loss)
LEGACY RESERVES LP UNIT LP INT (LGCY)	1,500.000		\$24.137	\$36,204.85	\$25.150	\$37,726.00	9.07%	\$3,420.00	4.20%	\$1,520.15
LOT 1	1,000.000	11/08/2012	\$24.805	\$24,804.95	\$25.150	\$25,150.00	9.07%	\$2,280.00	1.39%	\$345.05
LOT 2	500.000	12/17/2012	\$22.800	\$11,399.90	\$25.150	\$12,575.00	9.07%	\$1,140.00	10.31%	\$1,175.10
MEMORIAL PRODTN PARTNERS LP COM U REP LTD (MEMP)	3,500.000	12/07/2012	\$17.001	\$59,504.95	\$18.110	\$63,385.00	11.21%	\$7,105.00	6.52%	\$3,880.05
QIR ENERGY LP UNIT LTD PRINS (QIRE)	2,000.000	12/07/2012	\$16.242	\$32,484.95	\$18.420	\$36,840.00	10.59%	\$3,900.00	13.41%	\$4,355.05
REITs / Tangibles Total				\$128,194.75		\$137,950.00	10.46%	\$14,425.00	7.61%	\$9,755.25

† Please see REITs/Tangibles on the Understanding Your Statement page.

Equities Total				\$214,984.00		\$231,430.00	8.23%	\$14,425.00	7.85%	\$16,446.00
----------------	--	--	--	--------------	--	--------------	-------	-------------	-------	-------------

RAYMOND JAMES®

JOSEPH C. BOCK 16 OF 35
December 31, 2012 to January 31, 2013

Your Activity
Joseph IRA Account No. [REDACTED]

Activity Summary

Income			Expenses			Purchases		
Type	This Statement	Year to Date	Type	This Statement	Year to Date	Type	This Statement	Year to Date
Interest at RJ Bank Deposit Program	\$3.05	\$3.05	Fees	\$(504.61)	\$(504.61)	Purchases	\$41,169.35	\$41,169.35
Total Income	\$3.05	\$3.05	Total Expenses	\$(504.61)	\$(504.61)	Total Purchases	\$41,169.35	\$41,169.35

Sales / Redemptions

Type	This Statement	Year to Date
Sales	\$153,578.85	\$153,578.85
Total Sales/Redemptions	\$153,578.85	\$153,578.85

Cash Sweep Transfers

Type	This Statement
Transfers From	\$32,024.08
Transfers To	\$(143,928.97)
Net Transfers	\$(111,904.89)

Activity Detail

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Quantity	Price	Amount	Cash Balance	Additional Detail
Beginning Balance								
						\$0.00	\$4,661.31	RJ Bank Deposit Program Balance
01/03/2013	Cash Sweep	Transfer To	Raymond James Bank Deposit Program			\$(25,425.48)	\$(25,425.48)	\$30,086.79 RJ Bank Deposit Program Balance
01/03/2013	Sale/ Redemption	Sale	ATLAC INC PFD 5.5% DUE 09/15/2052 Callable 09/28/2017 (001066300)	(1,000,000)	\$25.436	\$25,425.48	\$0.00	

Account No.

Closing Value \$208.014.45

JOSEPH GERARD BOCK &
[REDACTED] JT/WROS

Raymond James Financial Services, Inc.
RAYMOND JAMES FINANCIAL SVCS | www.rjfs.com
raymondjames.com/investorpage/ | 800-769-6797
24 hours a day, 7 days a week, excluding holidays
Online Account Access | raymondjames.com/investoraccess

Statement Copies to:

Value Over Time

Primary: Speculation with a high risk tolerance and a time horizon exceeding 10 years.

Secondary: Growth with a high risk tolerance and a time horizon exceeding 10 years.

Value in 000's

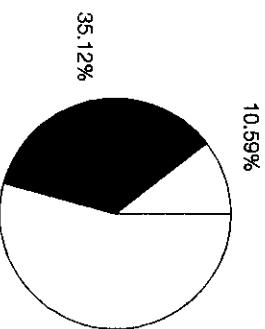
Date	Value in 000's
02/08	\$141.50
01/09	\$198.06
01/10	\$226.35
01/11	\$254.63
01/12	\$282.91
01/13	\$311.19








Value Percentage

Category	Amount	Percentage
US Equities	\$ 112,910.00	54.29%
Non-US Equities	\$ -	-
Fixed Income	\$ -	-
Real Estate & Tangibles	\$ 73,060.00	35.12%
Alternative Investments	\$ -	-
Non-classified	\$ -	-
Cash & Cash Alternatives	\$ 22,044.45	10.59%

See Understanding Your Statement for important information about these calculations.

Excludes some limited partnerships and unpriced securities. Annuity and RJ Bank CD performance may not be all inclusive.



	US Equities	\$	112,910.00	54.29%
	Non-US Equities	\$	-	-
	Fixed Income	\$	-	-
	Real Estate & Tangibles	\$	73,060.00	35.12%
	Alternative Investments	\$	-	-
	Non-classified	\$	-	-
	Cash & Cash Alternatives	\$	22,044.45	10.59%

RAYMOND JAMES®

JOSEPH C. BOCK 18 OF 35
December 31, 2012 to January 31, 2013

Cash & Cash Alternatives

Your Portfolio
Bock Capital Access Joint Account

Raymond James Bank Deposit Program ‡

Description	(Symbol)	Value	Est. Income Yield	Est. Annual Income
Raymond James Bank Deposit Program ‡				
Raymond James Bank N.A.		\$19,184.95	0.04%	\$8.81
Barclays Bank Delaware		\$2,859.30		
Citibank NA		\$0.20		
Raymond James Bank Deposit Program Total		\$22,044.45		\$8.81
Your bank priority state: IN				
Participating banks recently added: Amarillo National Bank, added on 12/20/2012				
Cash & Cash Alternatives Total		\$22,044.45		\$8.81

‡ Please See the Raymond James Bank Deposit Program on the Understanding Your Statement page.

Equities

Stocks

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss) Pct.	Gain or (Loss)
ACCRETIVE HEALTH INCORPORATED (AH)										
	1,000,000	01/17/2013	\$12.814	\$12,813.90	\$12.910	\$12,910.00			0.75%	\$96.10
NHB HOLDINGS INCORPORATED PRIVATE PLACEMENT (NHBH) *										
	10,000,000	12/29/2006			\$10.000	\$100,000.00				

Stocks Total

\$112,910.00 0.00%

* Please see Non-Traded Securities on the Understanding Your Statement page.

RAYMOND JAMIES®

JOSEPH G. BOCK 19 OF 35
December 31, 2012 to January 31, 2013

Your Portfolio (continued)
Bock Capital Access Joint Account No. [REDACTED]

Equities (continued)

REITs / Tangibles †

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss) Pct.	Gain or (Loss)
MEMORIAL PRODTN PARTNERS LP COM U REP LTD (MEMP)	2,000.000	12/07/2012	\$17.002	\$34,004.95	\$18.110	\$36,220.00	11.21%	\$4,060.00	6.51%	\$2,215.05
QR ENERGY LP UNIT LTD PRINS (QRE)	2,000.000	12/07/2012	\$16.242	\$32,484.95	\$18.420	\$36,840.00	10.59%	\$3,900.00	13.41%	\$4,355.05
REITs / Tangibles Total				\$66,489.90		\$73,060.00	10.90%	\$7,960.00	9.88%	\$6,570.10

† Please see REITs/Tangibles on the Understanding Your Statement page.

Equities Total

\$185,970.00 4.28% \$7,960.00

c Cost basis for these tax lots/securities will be supplied to the IRS on Form 1099-B.

RAYMOND JAMIES®

JOSEPH G. BOCK 20 OF 35
December 31, 2012 to January 31, 2013

Your Activity
Bock Capital Access Joint Account No. [REDACTED]

Activity Summary

Income			Expenses			Purchases		
Type	This Statement	Year to Date	Type	This Statement	Year to Date	Type	This Statement	Year to Date
Interest at RJ Bank Deposit Program	\$0.68	\$0.68	Fees	\$(560.36)	\$(560.36)	Purchases	\$(12,813.90)	\$(12,813.90)
Total Income	\$0.68	\$0.68	Total Expenses	\$(560.36)	\$(560.36)	Total Purchases	\$(12,813.90)	\$(12,813.90)

Sales / Redemptions

Type	This Statement	Year to Date
Sales	\$19,184.66	\$19,184.66
Total Sales/Redemptions	\$19,184.66	\$19,184.66

Cash Sweep Transfers

Type	This Statement
Transfers From	\$13,374.26
Transfers To	\$(19,184.66)
Net Transfers	\$(5,810.40)

Activity Detail

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Check/Deposit Code	Quantity	Price	Amount	Cash Balance	Additional Detail
Beginning Balance									
01/16/2013	Expense	Fee	Cash				\$(560.36)	\$(560.36)	1Q Fees for 090/365 Days at 1.70% on \$133,963.87
01/16/2013	Cash Sweep	Transfer From	Raymond James Bank Deposit Program				\$560.36	\$0.00	\$15,673.01 RJ Bank Deposit Program Balance

Continuation Sheet (if needed)

Page 2 of 35

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CliftonLarsonAllen Wealth Advisors
PORTFOLIO APPRAISAL

Joseph Bock

Strategy: SMA
 December 31, 2013

Quantity	Security	Adjusted Unit Cost	Total Adjusted Cost	Price	Market Value	Pct. Assets
EQUITY FUNDS						
US EQUITY						
1,986.657	Neuberger Berman Socially Resp Inv	26.98	53,600.00	34.88	69,294.60	12.1
2,693.700	Parnassus Equity Income - Inst	29.85	80,400.00	36.73	98,939.60	17.2
1,929.553	Parnassus Small-Cap	22.57	43,550.00	28.72	55,416.76	9.6
			177,550.00		223,650.96	38.9
INTERNATIONAL EQUITY						
8,457.071	Pax World International Inst	7.92	67,000.00	9.38	79,327.33	13.8
ALTERNATIVE EQUITY						
2,919.039	Absolute Strategies I	11.25	32,830.00	10.97	32,021.86	5.6
			277,380.00		335,000.14	58.3
BOND FUNDS						
US FIXED INCOME						
3,083.930	Baird Short-Term Bond Institutional Class	9.78	30,150.00	9.69	29,883.28	5.2
4,725.306	FPA New Income	10.63	50,250.00	10.27	48,528.89	8.4
6,860.784	PIMCO Total Return III Instl	10.20	70,000.00	9.38	64,354.15	11.2
461.000	Vanguard Short Term Bond ETF	81.39	37,520.01	79.93	36,847.73	6.4
			187,920.01		179,614.06	31.2
			187,920.01		179,614.06	31.2

1

CliftonLarsonAllen Wealth Advisors
PORTFOLIO APPRAISAL

Joseph Bock

Strategy: SMA
December 31, 2013

Quantity	Security	Adjusted Unit Cost	Total Adjusted Cost	Price	Market Value	Pct. Assets
CASH						
CASH & EQUIVALENTS						
	Money Market Fund		60,195.93		60,195.93	10.5
			60,195.93		60,195.93	10.5
TOTAL PORTFOLIO			525,495.94		574,810.13	100.0

CliftonLarsonAllen Wealth Advisors
INCOME AND EXPENSES

Joseph Bock [REDACTED]

Strategy: SMA

From 01-01-2013 Through 12-31-2013

Symbol	Security		
	Ex-Date	Pay-Date	Amount
Equity Funds			
nbsrx	Neuberger Berman Socially Resp Inv		
	12-16-2013	12-16-2013	596.39
prilx	Parnassus Equity Income - Inst		
	03-28-2013	03-28-2013	297.12
	06-28-2013	06-28-2013	307.62
	09-30-2013	09-30-2013	383.58
	12-27-2013	12-27-2013	479.21
			1,467.53
parsx	Parnassus Small-Cap		
	12-27-2013	12-27-2013	789.57
pxnix	Pax World International Inst		
	06-20-2013	06-20-2013	810.85
	12-20-2013	12-20-2013	479.00
			1,289.85
	Equity Funds Total		4,143.34
Bond Funds			
bsbix	Baird Short-Term Bond Institutional Class		
	01-25-2013	01-25-2013	38.67
	02-25-2013	02-25-2013	43.67
	03-25-2013	03-25-2013	44.49
	04-25-2013	04-25-2013	49.57
	05-28-2013	05-28-2013	43.60
	06-25-2013	06-25-2013	45.56
	07-25-2013	07-25-2013	37.38
	08-26-2013	08-26-2013	38.58
	09-25-2013	09-25-2013	41.77
	10-25-2013	10-25-2013	40.57
	11-25-2013	11-25-2013	40.10
	12-26-2013	12-26-2013	59.96
			523.92
fpnix	FPA New Income		
	01-02-2013	01-02-2013	330.77

CliftonLarsonAllen Wealth Advisors
INCOME AND EXPENSES

Joseph Bock [REDACTED]

Strategy: SMA

From 01-01-2013 Through 12-31-2013

Symbol	Security		Amount
	Ex-Date	Pay-Date	
	04-01-2013	04-01-2013	378.02
	07-01-2013	07-01-2013	425.28
	10-01-2013	10-01-2013	567.04
	12-18-2013	12-18-2013	378.02
			<hr/> 2,079.13
ptsax	PIMCO Total Return III Instl		
	01-31-2013	01-31-2013	104.22
	02-28-2013	02-28-2013	117.94
	03-28-2013	03-28-2013	148.03
	04-30-2013	04-30-2013	181.23
	05-31-2013	05-31-2013	155.62
	06-28-2013	06-28-2013	103.65
	07-31-2013	07-31-2013	113.22
	08-30-2013	08-30-2013	113.37
	09-30-2013	09-30-2013	88.42
	10-31-2013	10-31-2013	96.51
	11-29-2013	11-29-2013	102.52
	12-31-2013	12-31-2013	70.35
			<hr/> 1,395.08
bsv	Vanguard Short Term Bond ETF		
	02-07-2013	02-07-2013	43.37
	03-07-2013	03-07-2013	37.41
	04-05-2013	04-05-2013	38.93
	05-07-2013	05-07-2013	37.52
	06-07-2013	06-07-2013	37.43
	07-08-2013	07-08-2013	35.16
	08-07-2013	08-07-2013	34.66
	09-09-2013	09-09-2013	34.96
	10-07-2013	10-07-2013	33.71
	11-07-2013	11-07-2013	35.24
	12-06-2013	12-06-2013	33.79
	12-31-2013	12-31-2013	35.55
			<hr/> 437.73
	Bond Funds Total		<hr/> 4,435.86
Cash			
mmf	Money Market Fund		

CliftonLarsonAllen Wealth Advisors
INCOME AND EXPENSES

Joseph Bock [REDACTED]

Strategy: SMA

From 01-01-2013 Through 12-31-2013

Symbol	Security		Amount
	Ex-Date	Pay-Date	
	01-15-2013	01-15-2013	0.56
	02-15-2013	02-15-2013	0.49
	03-15-2013	03-15-2013	0.44
	04-15-2013	04-15-2013	0.41
	05-15-2013	05-15-2013	0.37
	06-15-2013	06-15-2013	0.38
	07-15-2013	07-15-2013	0.37
	08-15-2013	08-15-2013	0.39
	09-15-2013	09-15-2013	0.38
	10-15-2013	10-15-2013	0.37
	11-15-2013	11-15-2013	0.38
	12-15-2013	12-15-2013	0.41
			4.95

AFTER FEE PERFORMANCE EXPENSE ACCOUNTS

manfee	Management Fee		
	01-30-2013	01-30-2013	30.25
	01-30-2013	01-30-2013	171.36
	01-30-2013	01-30-2013	1,291.34
	01-30-2013	01-30-2013	44.87
	01-30-2013	01-30-2013	102.10
	04-24-2013	04-24-2013	174.91
	04-24-2013	04-24-2013	99.63
	04-24-2013	04-24-2013	59.56
	04-24-2013	04-24-2013	59.56
	04-24-2013	04-24-2013	1,296.75
	08-09-2013	08-09-2013	1,292.24
	08-09-2013	08-09-2013	164.72
	08-09-2013	08-09-2013	90.50
	08-09-2013	08-09-2013	57.37
	08-09-2013	08-09-2013	57.37
	10-30-2013	10-30-2013	172.46
	10-30-2013	10-30-2013	91.42
	10-30-2013	10-30-2013	58.28
	10-30-2013	10-30-2013	58.28
	10-30-2013	10-30-2013	1,339.74
			6,712.71

NET INCOME **1,871.44**

JOSEPH G. BOCK 26 OF 35

CliftonLarsonAllen Wealth Advisors
PORTFOLIO APPRAISAL
Joseph Bock Roth Contributory IRA

Strategy: Advisor Designed
December 31, 2013

Quantity	Security	Adjusted Unit Cost	Total Adjusted Cost	Price	Market Value	Pct. Assets
EQUITY FUNDS						
INTERNATIONAL EQUITY						
259,000	Vanguard FTSE Emerging Markets ETF	42.44	10,992.88	41.14	10,655.26	43.8
			10,992.88		10,655.26	43.8
CASH						
CASH & EQUIVALENTS						
	Money Market Fund		13,679.90		13,679.90	56.2
			13,679.90		13,679.90	56.2
TOTAL PORTFOLIO			24,672.78		24,335.16	100.0

CliftonLarsonAllen Wealth Advisors
PORTFOLIO APPRAISAL
Joseph Bock Roth Conversion IRA

Strategy: Balanced
 December 31, 2013

Quantity	Security	Adjusted Unit Cost	Total Adjusted Cost	Price	Market Value	Pct. Assets
EQUITY FUNDS						
OPPORTUNISTIC EQUITY						
241.000	Market Vectors Gold Miners	54.03	13,020.30	21.13	5,092.33	13.5
			13,020.30		5,092.33	13.5
BOND FUNDS						
ALTERNATIVE FIXED INCOME						
2,102.710	Principal Global Div Inc Instl	14.03	29,500.00	14.07	29,585.13	78.6
			29,500.00		29,585.13	78.6
CASH						
CASH & EQUIVALENTS						
	Money Market Fund		2,966.59		2,966.59	7.9
			2,966.59		2,966.59	7.9
TOTAL PORTFOLIO					37,644.05	100.0

Continuation Sheet (if needed)

Page 29 of 35

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Continuation Sheet (if needed)

JOSEPH G. BOCK

Page 30 of 35

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TRUST OF 1994

JOSEPH G. BOCK 31 OF 35

Description	Quantity	Most Recent Price	Most Recent Value
FIDELITY TAX-FREE MONEY MARKET	149,461.53	1	149,461.53
ASTRAZENECA ADR	100	53.24	5,324.00
BANK OF MONTREAL COM	500	70.55	35,275.00
BERKSHIRE HATHAWAY INC DEL CL B	100	115.72	11,572.00
EXELON CORP	800	28.05	22,436.40
GENERAL ELECTRIC CO	500	27.17	13,582.50
ALTRIA GROUP INC	700	37.73	26,410.30
NATIONAL OILWELL VARCO INC	500	82.94	41,470.00
NOVARTIS AG ADR	400	78.29	31,316.00
QUAD / GRAPHICS INC COM	1,000.00	24.41	24,410.00
DONNELLEY R R & SONS	1,500.00	17.2	25,800.00
AT&T INC COM	500	35.67	17,835.00
			404,892.73

Continuation Sheet (if needed)

Page 32 of 35

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SCHEDULE III — LIABILITIES

Name **JOSEPH G. BECK**

Page **33** of **35**

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. **Exclude:** Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred mo/year	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main Street, Dover, DE	\$10,001 — \$15,000	\$15,001 — \$50,000	\$50,001 — \$100,000	\$100,001 — \$250,000	\$250,001 — \$500,000	\$500,001 — \$1,000,000	\$1,000,001 — \$5,000,000	\$5,000,001 — \$25,000,000	\$25,000,001 — \$50,000,000	Over \$50,000,000	Spouse/DC Liability over \$1,000,000
	NONE.						X							

SCHEDULE IV — POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

Position	Name of Organization
MEMBER	ANTI-VIOLENCE COMMISSION, CITY OF SOUTH BEND
MEMBER	SAFETY AND SECURITY COMMITTEE, SOUTH BEND COMMUNITY SCHOOL CORP.
MEMBER	INTERNATIONAL ADVISORY COMMITTEE, AMERICAN RED CROSS, SAINT JOSEPH COUNTY, IN
TREASURER	HOME OWNERS' ASSOCIATION, THE POINT AT NORTH SHORE WOODS, SOUTH BEND
MEMBER	ADVISORY COMMITTEE, CENTER FOR CONFLICT STUDIES, MONTEREY INSTITUTE

(CONTINUED)
SCHEDULE III — LIABILITIES

Name **JOSEPH G. BOCK**

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. **Exclude:** Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred mo/year	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main Street, Dover, DE	\$10,001— \$15,000	\$15,001— \$50,000	\$50,001— \$100,000	\$100,001— \$250,000	\$250,001— \$500,000	\$500,001— \$1,000,000	\$1,000,001— \$5,000,000	\$5,000,001— \$25,000,000	\$25,000,001— \$50,000,000	Over \$50,000,000	Spouse/DC Liability over \$1,000,000
	None.						X							

SCHEDULE IV — POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

Position	Name of Organization
EDITORIAL ADVISOR	DEVELOPMENT IN PRACTICE, OXFORD
MEMBER	EDITORIAL BOARD, SAGE
VISITING FELLOW	BOONZAGA UNIVERSITY, SPOKANE
MEMBER OF ADVISORY GROUP	UNICEF AND GATES FOUNDATION

SCHEDULE V - AGREEMENTS

Name **JOSEPH C. BOCK**

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
NOV. 8, 2013	GREG CRAWFORD, DEAN OF SCIENCE UNIVERSITY OF NOTRE DAME & ME	REDUCTION OF AGREEMENT TO 50%
NOV. 1, 2012	STATE OF MISSOURI & ME	LEGISLATIVE PENSION PLAN PARTICIPATION

SCHEDULE VI - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule I.

Source (Name and Address)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting services
(NONE TO ADD)	