

# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Hon. Doris O. Matsui

Status: Member State/District: CA06

## FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2016

**Filing Date:** 05/9/2017

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America Checking		\$1 - \$1,000	Interest	\$1 - \$200	
Cash Management Account - Merrill Lynch		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Congressional Federal Credit Union		\$15,001 - \$50,000	Interest	\$1 - \$200	
Deutsche Bank In Deposit Program		\$250,001 - \$500,000	Interest	\$1 - \$200	
Nuveen Limited Term Municipal Bond Fund		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<b>▽</b>
Oppenheimer Developing Markets		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Pimco Commodity Real Return Strategy Fund		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Sun Life Co. of Canada		\$1,001 - \$15,000	Interest	\$1 - \$200	
SunTrust Bank Checking		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard European Stock Index		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<u>~</u>
Vanguard Inflation Protected Securities Fund		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
Vanguard Intermediate Term Tax Exempt Fund		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	П
Vanguard Large-Cap Index		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	
Vanguard Mid-Cap Index		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	П
Vanguard Pacific Stock Index		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Vanguard Reit Index		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	П
Vanguard Small-Cap Index		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
WestMed Holding Company, Englewood CA  Description: Stock in privately held medical technology descriptions.	evelopmen	\$1,001 - \$15,000 t company	None		
White House Federal Credit Union		\$15,001 - \$50,000	Interest	\$1 - \$200	

# SCHEDULE B: TRANSACTIONS

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Nuveen Limited Term Municipal Bond Fund	12/9/2016	P	\$100,001 - \$250,000	
Vanguard Limited Term Municipal Bond Fund	12/9/2016	S	\$100,001 - \$250,000	
Description: (Previously Vanguard Limited Term Tax Exempt)				

# SCHEDULE C: EARNED INCOME

None disclosed.

#### SCHEDULE D: LIABILITIES

None disclosed.

#### SCHEDULE E: Positions

Position	Name of Organization
Board Member	National Symphony Orchestra
Regent Emerita	Smithsonian Institution, Board of Regents
Advisory Board Member	Smithsonian National Museum of American History

## SCHEDULE F: AGREEMENTS

None disclosed.

#### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

# EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

C Yes No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

• Yes • No

#### CERTIFICATION AND SIGNATURE

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Doris O. Matsui, 05/9/2017