

Periodic Transaction Report

**HAND
DELIVERED**

Page 1 of

LEGISLATIVE RESOURCE: 111

2016 SEP 13 PM 12:22

U.S. HOUSE OF REPRESENTATIVES
OFFICE OF THE CLERK

<p>NAME: <u>Upston</u></p>		<p>OFFICE TELEPHONE: <u>225-3761</u></p>	
<p><input checked="" type="checkbox"/> Member of the U.S. House of Representatives State: <u>NY</u> District: <u>6</u> File an original and 2 copies</p>		<p><input type="checkbox"/> Officer or Employee Employing Office: _____ File an original and 1 copy</p>	
<p>Did you purchase any shares that were allocated as a part of an Initial Public Offering? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>		<p>Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending. <input checked="" type="checkbox"/> Initial Report <input type="checkbox"/> Amendment</p>	
<p>If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.</p>		<p>2016 SEP 13 PM 12:22 LEGISLATIVE RESOURCE CENTER OFFICE OF THE CLERK U.S. HOUSE OF REPRESENTATIVES A \$200 penalty shall be assessed against anyone who files more than 30 days late.</p>	

[illegible]