



Filing ID #10018065

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hans Keirstead
Status: Congressional Candidate
State/District: CA48

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2017
Filing Date: 10/15/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
AIVITA Biomedical		\$5,000,001 - \$25,000,000	None		
LOCATION: Irvine, CA, US DESCRIPTION: Private company					
Bernstein account		\$1,000,001 - \$5,000,000	Capital Gains, Dividends, Interest	\$50,001 - \$100,000	\$5,001 - \$15,000
Bernstein: Connor's trust		\$50,001 - \$100,000	None		
DESCRIPTION: Unmanaged equity account, in trust for our son Connor					
Checking account		\$50,001 - \$100,000	None		
E-Trade account		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
DESCRIPTION: Investment account					
Fidelity 401k		\$100,001 - \$250,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Hans 401k		\$100,001 - \$250,000	None		
Hans UCI pension		\$500,001 - \$1,000,000	None		
Putnam investments		\$50,001 - \$100,000	None		
DESCRIPTION: Investment account					
RBC Investment Account		\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
DESCRIPTION: Investment account					
Rental home		\$100,001 - \$250,000	Rent	\$2,501 - \$5,000	\$1,001 - \$2,500
LOCATION: Kelowna, CA					
Stifel investments		\$1,000,001 - \$5,000,000	Dividends	\$50,001 - \$100,000	\$50,001 - \$100,000
DESCRIPTION: Investment account					
Stifel: Connor's investment account		\$100,001 - \$250,000	None		
DESCRIPTION: Municipal bond investment account for our son					

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
AIVITA Biomedical	Salary	\$280,000.00	\$280,000.00
University of California at Irvine	Salary	\$40,000.00	\$40,000.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Chief Executive Officer	AIVITA Biomedical Inc.

Position	Name of Organization
President and Chief Science Officer	Caladrius Biomedical, Inc.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
AIVITA Biomedical Inc. (Irvine, CA, US)	Chief Executive Officer

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hans Keirstead , 10/15/2017