



Filing ID #10019808

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Mrs. Kathaleen Wall  
**Status:** Congressional Candidate  
**State/District:** TX02

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2017  
**Filing Date:** 01/31/2018  
**Period Covered:** 01/01/2017– 01/2/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Amazon.com, Inc. (AMZN)	JT	\$5,000,001 - \$25,000,000	None		
American Funds Fundamental Invs A Lw		\$1,001 - \$15,000	Tax-Deferred		
Anidex35 Mutual Cl A		\$1,001 - \$15,000	Tax-Deferred		
Apple Hospitality REIT Inc Com New		\$1,001 - \$15,000	Tax-Deferred		
Apple Inc. (AAPL)	JT	\$5,000,001 - \$25,000,000	Dividends	None	\$100,001 - \$1,000,000
Austin Sigma Inc LOCATION: Houston, TX, US DESCRIPTION: Software development	JT	\$1,001 - \$15,000	None		
Bristol-Myers Squibb Company (BMJ)	JT	None	Dividends	None	\$15,001 - \$50,000
Capital Income Builder-A		\$100,001 -	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
		\$250,000			
CenterPoint Energy, Inc (CNP)		\$1,001 - \$15,000	Tax-Deferred		
Citizens State Bank account	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Columbia US Treasury Index A		\$1,001 - \$15,000	Tax-Deferred		
Cullen/Frost Bankers, Inc. (CFR)	JT	\$500,001 - \$1,000,000	Dividends	None	\$1,001 - \$2,500
CyberArk Software Ltd. - Ordinary Shares (CYBR)		\$1,001 - \$15,000	Tax-Deferred		
Eaton Vance High Income Opportunities A		\$1,001 - \$15,000	Tax-Deferred		
Energys (ENS)		\$1,001 - \$15,000	Tax-Deferred		
Facebook, Inc. - Class A (FB)	JT	\$25,000,001 - \$50,000,000	None		
Fidelity 500 Index Premium Class	SP	\$500,001 - \$1,000,000	Tax-Deferred		
Fidelity Freedom 2050	SP	\$1,001 - \$15,000	Tax-Deferred		
Fidelity Government Cash Reserves		\$1 - \$1,000	Tax-Deferred		
Fidelity Govmt Daily Money Class	JT	\$100,001 - \$250,000	Interest	\$1 - \$200	\$1 - \$200
Frost Bank accounts	JT	\$5,000,001 - \$25,000,000	Interest	\$1 - \$200	\$15,001 - \$50,000
Fuller & Thaler Behavioral Small-Cap EQT		\$1,001 - \$15,000	Tax-Deferred		
Goldman Sachs LG Cap Growth Insights		\$1,001 - \$15,000	Tax-Deferred		
Hope Biosciences LLC	JT	\$5,000,001 - \$25,000,000	None		
LOCATION: Houston, TX, US DESCRIPTION: Medical research					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Invesco Aim Govt & Agency Short Term Inv Tr - Income		\$1,001 - \$15,000	Tax-Deferred		
Invesco Aim Govt & Agency Short Term Inv Tr - Principal		\$1,001 - \$15,000	Tax-Deferred		
J P Morgan Deposit Sweep	JT	\$1,000,001 - \$5,000,000	Interest	\$1 - \$200	\$201 - \$1,000
Janus Enterprise A Load Waived		\$1,001 - \$15,000	Tax-Deferred		
JP Morgan Chase Bank accounts	JT	\$5,000,001 - \$25,000,000	Interest	\$1 - \$200	\$15,001 - \$50,000
JP Morgan Small Cap Value Fund		\$1,001 - \$15,000	Tax-Deferred		
Koppers Holdings Inc. Koppers Holdings Inc. (KOP)		\$1,001 - \$15,000	Tax-Deferred		
L.C. Wall Jr. & Grace Wall Family Trust LOCATION: Leon County, TX, US DESCRIPTION: Oil and gas interests		\$1,001 - \$15,000	None		
ManIDger LLC LOCATION: Houston, TX, US DESCRIPTION: Software development	JT	\$100,001 - \$250,000	None		
Massachutetts Invs Tr Sh Ben Int A		\$1,001 - \$15,000	Tax-Deferred		
Metropolitan West Total Return Cl M		\$1,001 - \$15,000	Tax-Deferred		
Metropolitan West Ultra S/Duration Bond		\$1,001 - \$15,000	Tax-Deferred		
MFS Corporate Bond A Load Waived		\$1,001 - \$15,000	Tax-Deferred		
Nationwide NW AMFDS NVIT ASSTALLOC II	SP	\$100,001 - \$250,000	Tax-Deferred		
Nationwide WR IVY ASST STRAT	SP	\$1,000,001 - \$5,000,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Netflix, Inc. (NFLX)	JT	\$5,000,001 - \$25,000,000	None		
New York Life 7-year Guaranteed Fixed Income Interest Account	SP	\$1,000,001 - \$5,000,000	Tax-Deferred		
Nu Skin Enterprises, Inc. (NUS)		\$1,001 - \$15,000	Tax-Deferred		
Nucor Corporation (NUE)		\$1,001 - \$15,000	Tax-Deferred		
NVIDIA Corporation (NVDA)	JT	\$5,000,001 - \$25,000,000	Dividends	None	\$15,001 - \$50,000
Oppenheimer Intl Growth Fd Cl A Shs		\$1,001 - \$15,000	Tax-Deferred		
Oppenheimer Sr Floating Rate A		\$1,001 - \$15,000	Tax-Deferred		
Palo Alto Networks, Inc. (PANW)	JT	\$1,000,001 - \$5,000,000	None		
Parametric International Equitiy Investor		\$15,001 - \$50,000	Tax-Deferred		
Principal Equity Inc -A		\$1,001 - \$15,000	Tax-Deferred		
Schlumberger N.V. (SLB)		\$1 - \$1,000	Tax-Deferred		
Texas RT Systems LLC	JT	\$50,001 - \$100,000	O&G Royalties	None	None
LOCATION: Houston, TX, US DESCRIPTION: Oil and Gas production					
Trinseo S.A. Ordinary Shares (TSE)		\$1,001 - \$15,000	Tax-Deferred		
Verizon Communications Inc. (VZ)		\$1 - \$1,000	Tax-Deferred		
Wall Ranch - Leon County Executive LLC		\$1 - \$1,000	None		
LOCATION: Leon County, TX, US DESCRIPTION: Oil and Gas drilling rights					
Wall Ranch - Leon County GP, LLC		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
LOCATION: Leon County, TX, US DESCRIPTION: Farming and ranching					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Wall Ranch - Leon County Ltd		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
LOCATION: Leon County, TX, US DESCRIPTION: Farming and ranching					
Walt Disney Company (DIS)	JT	None	Dividends	None	\$100,001 - \$1,000,000
Wright Managed Equity Tr Sel Bluchip Eq		\$1,001 - \$15,000	Tax-Deferred		
Wright Managed Income Tr Current Income		\$1,001 - \$15,000	Tax-Deferred		

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Austin Sigma Inc	salary paid monthly	N/A	\$6,000.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Visa	December, 2017	Credit card monthly bill	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Employee	Austin Sigma, Inc.
VP/Sec/Treasurer	Austin Sigma, Inc.
Trustee	L.C. Wall Jr. & Grace Wall Family Trust
LLC Member	Wall Ranch-Leon County GP, LLC
Limited Partner	Wall Ranch-Leon County Ltd.
LLC Member	Wall Ranch - Leon County Executive LLC

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2017	Austin Sigma, Inc. and myself	Employee agreement, including payment of salary

## **SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

None disclosed.

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Mrs. Kathaleen Wall , 01/31/2018