



Filing ID #10010175

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Steve Cohen
Status: Member
State/District: TN09

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2015
Filing Date: 05/16/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Gold Bullion		\$50,001 - \$100,000	None	<input type="checkbox"/>
DESCRIPTION: Gold Bullion				
Stephens Advantage Account ⇒ Aetna Inc. (AET)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ Amgen Inc. (AMGN)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ AT&T Inc. (T)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500 <input type="checkbox"/>
Stephens Advantage Account ⇒ AutoZone, Inc. (AZO)		\$15,001 - \$50,000	None	<input type="checkbox"/>
Stephens Advantage Account ⇒ Barrick Gold Corporation (ABX)		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
Stephens Advantage Account ⇒ Berkshire Hathaway Inc. New (BRK.B)		\$50,001 - \$100,000	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Stephens Advantage Account ⇒ Boeing Company (BA)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ CHATTANOOGA TENN ELEC REV SER A		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ CHATTANOOGA TENN ELEC REV SER A OID		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ CHATTANOOGA-HAMILTON CNTY TN HOSP AUTH H REV REF-ERLANGER-HLTH-REMK 11/24		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ CHATTANOOGA-HAMILTON CNTY TN HOSP AUTH H REV REF-ERLANGER-HLTH-REMK 11/24/09		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Cohen & Steers Total Return Realty Fund, Inc. (RFI)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Comcast Corporation - Class A (CMCSA)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Daimler AG (DDAIF)		\$50,001 - \$100,000	Dividends	None	<input type="checkbox"/>
Stephens Advantage Account ⇒ DDR Corp. (DDR)		\$1,001 - \$15,000	Capital Gains, Dividends, Non- dividend Distributions	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ DIRECTV (DTV)		None	None		<input type="checkbox"/>
DESCRIPTION: Direct TV merged with AT&T					
Stephens Advantage Account ⇒ DREYFUS SHORT-INTERMEDIATE MUNICIPAL BOND FUND CLASS D		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Federated Treasury Obligations AS		\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: This is not a security. This is just a cash account. There was no transaction. This is just cash.					
Stephens Advantage Account ⇒ FedEx Corporation (FDX)		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Stephens Advantage Account ⇒ First Horizon National Corporation (FHN)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Fluor Corporation (FLR)		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ FRANKLIN RISING DIVIDENDS FUND CLASS C		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ General Electric Company (GE)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ GlaxoSmithKline PLC (GSK)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ GREENE CNTY TENN REF RURAL SCH SER C GEN OBLIG UNLTD A1 MDU DESCRIPTION: Full call on bond. Asset NG4		None	None		<input type="checkbox"/>
Stephens Advantage Account ⇒ HAMBLENTY TENN GEN OBLIG UNLTD BANK QUALIFIED		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Stephens Advantage Account ⇒ HARPETH VY TN UTIL DIST DAVIDSON & WMSN CNTYS RFDG-SER A NEXT SINK 9/1/26 @ 100 AA3 MDU DESCRIPTION: Full call of bond. Asset JM2		None	None		<input type="checkbox"/>
Stephens Advantage Account ⇒ HENDERSONVILLE TENN UTIL DIST WTRWKS & SWR REV		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ International Business Machines Corporation (IBM)		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ JOHNSON CITY TENN HLTH & EDL FACS BRD HOSP REV RFG & IMPT MED CTR		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ Marriott International - Class A (MAR)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ MAURY CNTY TENN SCH & PUB IMPT GEN OBLIG UNLTD AA2 MDU		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Stephens Advantage Account ⇒ MEMPHIS TENN ELEC SYS REV SUB SER A OID		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ MEMPHIS TN ELEC SYS REV REF-SUB MAND ERP		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ MEMPHIS TN GEN IMPT GO UNLT UNDLY AA2/AA/AA-		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Merck & Company, Inc. Common Stock (MRK)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ MET GOVT NASHVILLE & DAVIDSON CNTY SPRTS UTH PUB IMPT-BALL PARK PJ		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ MET GOVT NASHVILLE & DAVIDSON CNTY TN ELEC REV CAP APPREC SER A		\$15,001 - \$50,000	None		<input type="checkbox"/>
Stephens Advantage Account ⇒ MET GOVT NASHVILLE & DAVIDSON CNTY TN H&E FACS BRD REV HOSP CHARITY		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ MET GOVT NASHVILLE & DAVIDSON CNTY TN HLTH & EDL HSG-WILLOW POINTE APTS PROJ REV BDS MAND ERP		\$1 - \$1,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Asset AV6					
Stephens Advantage Account ⇒ MURFREESBORO TENN REF ELEC SYS MDU Aa2		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Asset D82					
Stephens Advantage Account ⇒ Northrop Grumman Corporation (NOC)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ Pepsico, Inc. (PEP)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ ROBERTSON CNTY TENN SCH & PUB IMPT GEN OBLIG UNLTD		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ ROBERTSON CNTY TENN SCH & PUB IMPT GEN OBLIG		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
UNLTD					
Stephens Advantage Account ⇒ RUTHERFORD CNTY TN GO UNLT		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ RUTHERFORD CNTY, TN - ULT GO - REF/SCH PREREFUNDED 04/01/15 @102.00 DESCRIPTION: Full call of bond. Asset 3N6		None	None		<input type="checkbox"/>
Stephens Advantage Account ⇒ SAVANNAH VLY TN UTIL DIST WTRWKS REV REF-SER A		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ SHELBY CNTY TENN HEALTH EDL & HSG FACS BRD REV ST JUDES		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ SHELBY CNTY TN HEALTH EDL & HSG FAC BD REV BAPT MEM HLTH SER 2004A		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ SHELBY CNTY TN HLTH EDL & HSG FACS BRD REV ST JUDES CHILDRENS RESH HOSP		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ SHELBY CO TN HLTH EDUCTNL & HSG FACS BRD REV METHODIST LE BONHEUR		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENN HSG DEV AGY RSDL FING PRG REV NON-AMT- ISSUE 1B REVENUE BONDS		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENN ST HSG DEV AGY NON-ACE HOMEOWNERSHIP PROG-SER 2C		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE ENERGY ACQUISITION CORP GAS REV SER A MAND ERP M-W CALL		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HOUSING DEV AGENCY HSG FIN PROG BDS SERV 2009 A-1		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Stephens Advantage Account ⇒		\$15,001 - \$50,000	Interest	\$201 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TENNESSEE HSG DEV AGY FIN PROG SER A-1 XRDNRY RDM PROV				\$1,000	
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY HOMEOWNER-SHIP PROG 1-A-NON AMT NON ACE		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY HSG FIN PROG-SER A-1 ERP		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FIN PROG REV NON-AMT NON ADJUSTED CURRENT		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE ST HSG DEV AGY HOMEOWNERSHIP PROGRAM-SER 2B		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE ST HSG DEV AGY NON AMT NON ACE HOMEOWNERSHIP		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE ST HSG DEV AGY NON-AMT NON ACE HOMEOWNERSHIP PROGRAM		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE ST HSG DEV AGY REF-SER A REVENUE BONDS MDY UNDLY AA2		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE ST HSG DEV AGY REF-SER A REVENUE BONS MDY UNDLY AA2		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TN HSG DEV AGY AMT HOMEOWNERSHIP PG ISSUE 1 ERP GO OF AGY SBJ AMT		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Asset 5C2					
Stephens Advantage Account ⇒ TN HSG DEV AGY HOMEOWNSHP PROG SER 1B OPT ERP GO OF AGY MDUAa1		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Asset 3C4					
Stephens Advantage Account ⇒ TN HSG DEV AGY HOMEOWNSHP PROG SER 1B OPT ERP GO OF AGY MDUAa1		None	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Full call of bond. Asset 3C4					
Stephens Advantage Account ⇒ Toyota Motor Corporation (TM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TULLAHOMA TENN BROADBAND NETWORK GEN OBLIG UNLTD		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Western Union Company (WU)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stephens Roth IRA ⇒ Berkshire Hathaway Inc. New (BRK.B)		\$15,001 - \$50,000	None		<input type="checkbox"/>
Stephens Roth IRA ⇒ Boeing Company (BA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stephens Roth IRA ⇒ Eli Lilly and Company (LLY)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ American Airlines Group, Inc. (AAL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stephens SEP IRA ⇒ AT&T Inc. (T)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens SEP IRA ⇒ Berkshire Hathaway Inc. New (BRK.B)		\$100,001 - \$250,000	None		<input type="checkbox"/>
Stephens SEP IRA ⇒ Carnival Corporation (CCL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stephens SEP IRA ⇒ Chevron Corporation (CVX)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens SEP IRA ⇒ Coca-Cola Company (KO)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens SEP IRA ⇒ Cohen & Steers Quality Income Realty Fund Inc Common Shares (RQI)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens SEP IRA ⇒ Duke Energy Corporation (DUK)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Stephens SEP IRA ⇒ Eli Lilly and Company (LLY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Emergent Biosolutions, Inc. (EBS)		\$15,001 - \$50,000	None		<input type="checkbox"/>
Stephens SEP IRA ⇒ Exxon Mobil Corporation (XOM)		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Federated Treasury Oblig AS		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Stephens SEP IRA ⇒ General Electric Company (GE)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ HCP, Inc. (HCP)		\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Host Hotels & Resorts, Inc. (HST)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Ingram Micro Inc. (IM)		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stephens SEP IRA ⇒ International Business Machines Corporation (IBM)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens SEP IRA ⇒ Microsoft Corporation (MSFT)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens SEP IRA ⇒ Raytheon Company (RTN)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Regions Financial Corporation (RF)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Schlumberger N.V. (SLB)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Sony Corporation (SNE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stephens SEP IRA ⇒ Spectra Energy Corp (SE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Stephens SEP IRA ⇒ Time Inc. (TIME)		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Stephens SEP IRA ⇒ Time Warner Cable Inc (TWC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stephens SEP IRA ⇒ Time Warner Inc. New (TWX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Toyota Motor Corporation (TM)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Transocean Ltd (RIG)		\$1 - \$1,000	None		<input type="checkbox"/>
Stephens SEP IRA ⇒ Walt Disney Company (DIS)		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Weyerhaeuser Company (WY)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
TN 401k ⇒ FIDELITY CONTRAFUND		\$15,001 - \$50,000	None		<input type="checkbox"/>
TN 401k ⇒ FIDELITY OTC PORTFOLIO		\$15,001 - \$50,000	None		<input type="checkbox"/>
TN 401k ⇒ FIDELITY PURITAN FUND		\$100,001 - \$250,000	None		<input type="checkbox"/>
TN 401k ⇒ NATIONWIDE BANK ACCOUNT		\$15,001 - \$50,000	None		<input type="checkbox"/>
TN 401k ⇒ Western Asset Core Plus Bond IS		\$15,001 - \$50,000	None		<input type="checkbox"/>
TN 457 ⇒ FIDELITY CONTRAFUND		\$250,001 - \$500,000	None		<input type="checkbox"/>
TN 457 ⇒ NATIONWIDE BANK ACCOUNT		\$1,001 - \$15,000	None		<input type="checkbox"/>
TN 457 ⇒ Western Asset Core Plus Bond IS		\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Stephens Advantage Account ⇒ AutoZone, Inc. (AZO)		08/25/2015	P	\$15,001 - \$50,000	
Stephens Advantage Account ⇒ First Horizon National Corporation (FHN)		12/29/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Franklin Rising Dividends Fund Class C DESCRIPTION: Dividend Reinvestment FRDTX		12/2/2015	P	\$1,001 - \$15,000	
Stephens Advantage Account ⇒ Hudson City Bancorp, Inc. (HCBK)		02/5/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ M&T Bank Corporation (MTB) DESCRIPTION: Merged with Hudson Bank. PTR recorded as an exchange to M&T		12/29/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Pfizer, Inc. (PFE)		12/3/2015	S	\$50,001 - \$100,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENN ST HSG DEV AGY NON-AMT NON-ACE HOMEOWNERSHIP PROG-SER 2C OPT ERP CALLED IN PART 7/1/15 @100		04/28/2015	P	\$15,001 - \$50,000	
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FIN PROG REV NON-AMT NON ADJUSTED CURRENT EARNINGS-ISSUE 2C OPT ERP MDU Aa1		11/24/2015	P	\$15,001 - \$50,000	
Stephens Advantage Account ⇒ TENNESSEE ST HSG DEV AGY HOMEOWNERSHIP PROGRAM-SER 2B REVENUE BONDS MDY UNDLY Aa1		04/15/2015	P	\$15,001 - \$50,000	
Stephens Advantage Account ⇒ TENNESSEE ST HSG DEV AGY NON-AMT NON ACE HOMEOWNERSHIP PROGRAM SER 2012-2C OPT/MAND ERP		05/29/2015	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Stephens Advantage Account ⇒ TENNESSEE ST HSG DEV AGY REF-SER A REVENUE BONDS MDY UNDLY Aa2 ERP OPTIONAL		06/24/2015	P	\$1,001 - \$15,000	
Stephens Advantage Account ⇒ TENNESSEE ST HSG DEV AGY REF-SER A REVENUE BONDS MDY UNDLY Aa2 ERP OPTIONAL		07/15/2015	P	\$15,001 - \$50,000	
Stephens Advantage Account ⇒ Toyota Motor Corporation (TM)		08/25/2015	P	\$1,001 - \$15,000	
Stephens Advantage Account ⇒ United States Steel Corporation (X)		12/29/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Hudson City Bancorp, Inc. (HCBK)		11/9/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
Stephens SEP IRA ⇒ Toyota Motor Corporation (TM)		08/25/2015	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
State of Tennessee	Tennessee Consolidated Retirement System	\$24,898.14

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Circuit Playhouse

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Humpty Dumpty Institute	12/3/2015	12/4/2015	Washington, DC - New York, NY - Memphis, TN	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Aspen Institute Congressional Program	05/26/2015	05/30/2015	Memphis, TN - Montreal, Canada - Memphis, TN	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Stephens Advantage Account
LOCATION: US
- Stephens Roth IRA
- Stephens SEP IRA
- TN 401k
- TN 457

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Steve Cohen , 05/16/2016