

# UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

**FORM A** Page 1 of 10  
For use by Members, officers, and employees

H. James Saxton  
(Full Name)

(609) 261-5800  
(Daytime Telephone)

**Filer Status** ☒ Member of the U.S. House of Representatives

State: NJ District: 03

☐ Officer Or Employee

Employing Office:

**Report Type** ☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$500 penalty shall be assessed against anyone who files more than 30 days late.

MC (Office Use Only)

2008 MAY 15 PM 2:20

LEGISLATIVE RESOURCE CENTER

**HAND DELIVERED**

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$305 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule I.		If yes, complete and attach Schedule VI.	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$305 from one source)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule II.		If yes, complete and attach Schedule VII.	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule III.		If yes, complete and attach Schedule VIII.	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule IV.		If yes, complete and attach Schedule IX.	
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	
If yes, complete and attach Schedule V.			

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<b>Trusts-</b>	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b>	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name H. James Saxton

Page 2 of 10

BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
	High Street, Mt. Holly, NJ	\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	
	ATT (formerly Bell South)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Avaya Inc	None	None	NONE	S
	Bank of America (formerly Fleet/Summit)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Camden County Nu imp auth LES REVCNTY GTD Series B	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	Commerce Bank Checking	\$1,001 - \$15,000	Interest	\$1 - \$200	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name H. James Saxton

Page 3 of 10

East Orange NJ0.0 020119	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
East Windsor NJ4.1 Rgl Sch	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
Eaton Vance CLASSIO SR FLTG	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	P
Federal Credit Union	\$1 - \$1,000	Dividends	\$1 - \$200	
Fidelity Advisor SR11 (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Fidelity Advisor SR11	None	None	NONE	S
Fidelity Advisor SR111	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	S(part) P
Franklin Small Midcap Growth	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	P
General Electric Co	None	DIVIDENDS	\$1,001 - \$2,500	S
Hardyston NJ 4.1 412370CW4	\$15,001 - \$50,000	None	NONE	P
Hartford Mutual Midcap Fund	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	P
Honeywell Intl	None	CAPITAL GAINS	\$2,501 - \$5,000	S
Janney MM (Deyfus MM)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Janney MM (Deyfus MM) (IRA)	\$1 - \$1,000	INTEREST	\$1 - \$200	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name H. James Saxton

Page 4 of 10

JP Morgan Chase Co	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
MEDCO Health Solutions	\$1,001 - \$15,000	None	NONE	
NJ ST 4.00 646065AP7	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
NJ ST EFA 4.2 64605L4S4	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	P
NJ ST EFA 4.2 64605L5X2	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
NJ ST Environ 4.0 645788XF2	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	P
NJ ST ENVIron4.25 45788YE4	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
NJ ST HSG 4.55 646129M94	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	P
NJ ST RFDG 4.125 040123 646039JK4	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
NJ ST SER F 4.25 646065BW1	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
NJ ST TRNSN 4.25 646136PV7	\$1,001 - \$15,000	None	NONE	P
North Jersey Dist WTR Supply Commn NJ	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Oppenheimer MULTST NJ Muni	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	P
Oppenheimer Rochester Nat Muni	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name H. James Saxton

Page 5 of 10

Oppenheimer Intl Grwth A 68380L100	\$1,001 - \$15,000	None	\$1 - \$200	P
Pfizer	None	DIVIDENDS	\$201 - \$1,000	S
Pfizer(IRA)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Port Authority NY NJ 4.5 73348TEB3	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
Putnum Voyager	None	None	NONE	S
Seligman New Tech Fund	None	Return on Capital	\$1,001 - \$2,500	Liquidated
Sun America Style Focus multi	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	P
Sun America Style Select- Large Cap	None	None	NONE	S
Texas Instruments(IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
VIA Pharmaceutical (Corautus Genetics) IRA	\$1 - \$1,000	None	NONE	
Wachovia Corp New	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
Wachovia Corp(IRA)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Wayne Township NJ Sch Dist	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	

# SCHEDULE IV - TRANSACTIONS

Name: H. James Saxton

Page 6 of 10

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Hardyston NJ 4.1 412470CW4	P	5/04/07	\$15,001 - \$50,000
	NJ ST Environ 4.0 645788XF2	P	1/31/07	\$1,001 - \$15,000
	NJ ST EFA 4.2 64605L4S4	P	1/31/07	\$1,001 - \$15,000
	NJ ST EFA 4.2 64605L5X2	P	5/09/07	\$15,001 - \$50,000
	NJ ST 4.00 646065AP7	P	5/07/07	\$1,001 - \$15,000
	NJ ST SER F 4.25 646065BW1	P	5/03/07	\$1,001 - \$15,000
	NJ ST HSG 4.55 646129M94	P	5/07/07	\$1,001 - \$15,000
	NJ ST TRNSN 4.25 646136PV7	P	10/30/07	\$1,001 - \$15,000
	Oppenheimer INTL GRWTH A 68380L100	P	7/19/07	\$1,001 - \$15,000
	Port Authority NY NJ 4.5 73358TEB3	P	5/09/07	\$15,001 - \$50,000
	Avaya	S	10/26/07	\$1 - \$1,000
	Fidelity Advisor SR111	S(part)	5/03/07	\$15,001 - \$50,000

# SCHEDULE IV - TRANSACTIONS

Name H. James Saxton

Page 7 of 10

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Fidelity Advisor SR11	S	4/11/07	\$50,001 - \$100,000
	General Electric Co	S	1/30/07	\$1,001 - \$15,000
	Honeywell Intl	S	1/30/07	\$1,001 - \$15,000
	Pfizer	S	10/30/07	\$1,001 - \$15,000
	Putnum Voyager	S	4/11/07	\$1,001 - \$15,000
	Sun America Style Select Larg Cap	S	5/03/07	\$15,001 - \$50,000
	Seligman New Tech Fund	Liquidated	12/13/07	\$1-\$1,000
	Eaton Vance Classio Sr FLTG	P reinvest	various thru out year	\$1,001 - \$15,000
	Fidelity Advisor SR11	P reinvest	various thru out year	\$1,001 - \$15,000
	Franklin Small Midcap Growth	P reinvest	various thru out year	\$1,001 - \$15,000
	Oppenheimer Multst NJ Muni	P reinvest	various thru out year	\$1,001 - \$15,000
	Oppenheimer Rochester Nat Muni	P reinvest	various thru out year	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name H. James Saxton

Page 8 of 10

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Sun America Style Focus Multi	P reinvest	various thru out year	\$1,001 - \$15,000
	Hartford Mutual Midcap Fund	P reinvest	various thru out year	\$1,001 - \$15,000



# SCHEDULE V - LIABILITIES

Name H. James Saxton

Page 9 of 10

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Aurora Mortgage	Mortgage on High Street property	\$50,001 - \$100,000

**SCHEDULE VIII - POSITIONS**

Name H. James Saxton

Page 10 of 10

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Board of Directors	Environmental Law Institute