



Filing ID #10016646

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Keith J. Rothfus
Status: Member
State/District: PA12

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2016
Filing Date: 05/15/2017

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3M Company (MMM)	SP	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
AGL Resources, Inc. (GAS)	SP	None	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Dividends: \$1,001-\$2500 Capital Gains: \$15,001-\$50,000					
AT&T Inc. (T)	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
BNY Mellon Bond Fund	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
BNY Mellon Emerging Market Fund		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
BNY Mellon International Fund		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
BNY Mellon Mid Cap Fund		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Dividends: \$201 - \$1000 Capital Gains: \$201 - \$1,000					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
BNY Mellon Small Cap Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Campbell Soup Company (CPB)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Caterpillar, Inc. (CAT)	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Charles Schwab Money Market Account		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Chevron Corporation (CVX)	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Cisco Systems, Inc. (CSCO)	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Citizens Bank	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Coca-Cola Company (KO)	SP	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Cons Staples Sel Sect SPDR XLP		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Dollar Bank	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Dollar Bank Accounts		\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Dow Chemical Company (DOW)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Dreyfus Disc Stock Fund	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Dreyfus Money Mkt Reserve	SP	None	None		<input type="checkbox"/>
Energy Sel Sect SPDR XLE		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Express Scripts Holding Company (ESRX)	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Frontier Communications Corporation (FTR)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Intel Corporation (INTC)	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Intel Corporation (INTC)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Ishares S&P Small Cap Fund		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Lewis Farms Minnesota, LP, 33% Interest	SP	Spouse/DC Over \$1,000,000	Farm Income, Rent	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: Marshall, MN, US DESCRIPTION: Produces Corn, Soy Bean and Sugar Beets					
Merck & Company, Inc. Common Stock (MRK)	SP	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
MetLife Policy		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Microsoft Corporation (MSFT)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Morgan Stanley Account	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Accounts		\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual Policy		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
PPL Corporation (PPL)	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Procter & Gamble Company (PG)	SP	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Procter & Gamble Company (PG)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A)	SP	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Southern Company (SO)	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SPDR Trust Series 1 Fund		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Spouse's Trust ⇒ 3M Company (MMM)	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Spouse's Trust ⇒ BNY Mellon Money Market Account	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse's Trust ⇒ BNYM Natl Inmdt Muni Bond Fund	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse's Trust ⇒ Chevron Corporation (CVX)	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Spouse's Trust ⇒ Eli Lilly and Company (LLY)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Spouse's Trust ⇒ Exxon Mobil Corporation (XOM)	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Spouse's Trust ⇒ General Electric Company (GE)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Spouse's Trust ⇒ International Business Machines Corporation (IBM)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse's Trust ⇒ JP Morgan Chase & Co. (JPM)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse's Trust ⇒ Microsoft Corporation (MSFT)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse's Trust ⇒ Procter & Gamble Company (PG)	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Talen Energy Corporation (TLN)	SP	None	None		<input checked="" type="checkbox"/>
Texas Instruments Incorporated (TXN)	SP	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Small Cap Vipers Fund		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Verizon Communications Inc. (VZ)	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Washington Mutual Investment Fund		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AGL Resources, Inc. (GAS)	SP	07/1/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Sale was the result of a merger between AGL Resources, Inc. and Southern Company.					
BNY Mellon Bond Fund	SP	12/2/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Talen Energy Corporation (TLN)	SP	12/6/2016	E	\$1,001 - \$15,000	
DESCRIPTION: Stock Redemption					

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
German Marshall Fund of the United States	02/26/2016	02/29/2016	Washington, DC - West Point, NY - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Spouse's Trust (Owner: SP)
DESCRIPTION: Spouse is a 1/3 beneficiary

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Keith J. Rothfus , 05/15/2017