



Filing ID #10020978

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. C. A. Dutch Ruppersberger  
**Status:** Member  
**State/District:** MD02

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2017  
**Filing Date:** 05/2/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3325 Mannheim Ct. [RP] LOCATION: Rockingham Co., VA, US	JT	None	None		<input checked="" type="checkbox"/>
Bank of America [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Deposits ⇒ Nationwide Fixed Account (IRA) [IH] DESCRIPTION: RMD = required minimum distribution		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Cash Deposits ⇒ Summit Community Bank [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Deposits ⇒ TDAM FDIC Insured Deposit [IH] DESCRIPTION: RMD =Required minimum distribution		\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Compass 2 ⇒ Compass 2 - (now Delaware Life) (IRA) [FN] DESCRIPTION: General Account (No specific holdings) SP RMD = Required Min. Distribution	SP	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Compass 2 Variable Annuity ⇒ Compass 2 - (now -Delaware Life) (IRA) [FN]		\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: General Account (No specific holdings) RMD = required minimum distribution					
Exchange Traded Funds ⇒ ISHARES Core S&P 500 (IVV) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES Corporate Bond (LQD) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES MSCI EAFE (EFA) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES US Preferred Stock(PFF) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ Market Vectors (GDX) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Exchange Traded Funds ⇒ POWERSHARES (QQQ) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ Powershares Emerging Mkts (PCY) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Financial Select Sector(XLF) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Purchase					
Exchange Traded Funds ⇒ SPDR Global Real Estate (RWO) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard Emerging Markets (VWO) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard High Div Yd (VYM) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard MSCI Europe (VGK) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard Short Corp Bond (VCSH) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard US Mid Cap (VO) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Exchange Traded Funds ⇒ Vanguard US Small Cap (VB) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Rupp & Assoc. Inc. Timonium, Md. Collections [EQ]	SP	\$100,001 - \$250,000	Dividends	\$50,001 - \$100,000	<input type="checkbox"/>
Sandy Shores Equity Group, LLC ⇒ House #2, 50% interest [RP]  LOCATION: Baltimore, MD, US	JT	None	None		<input checked="" type="checkbox"/>
Sandy Shores Equity Group, LLC ⇒ House #3, 50% interest [RP]  LOCATION: Baltimore, MD, US	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
Sandy Shores Equity Group, LLC ⇒ House #8, 50% Interest [RP]  LOCATION: Baltimore, MD, US	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
Sandy Shores Equity Group, LLC ⇒ Land [RP]  LOCATION: Baltimore, MD, US	JT	\$250,001 - \$500,000	None		<input type="checkbox"/>
Stocks (IRA) ⇒ BlackRock Energy and Resources Trust (BGR) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Stocks (IRA) ⇒ Nuveen Quality Preferred Income Fund 2 Shares of Beneficial Interest (JPS) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
3325 Mannheim Ct. [RP]  LOCATION: Rockingham Co., VA, US	JT	11/9/2017	S	\$250,001 - \$500,000	<input type="checkbox"/>
Cash Deposits ⇒ Nationwide Fixed Account (IRA) [IR]		07/26/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Cash Deposits ⇒		10/31/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TDAM FDIC Insured Deposit [IH]			(partial)		
Compass 2 ⇒ Compass 2 (now Delaware Life) [IR]	SP	09/25/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Compass 2 Variable Annuity ⇒ Compass 2 - (now Delaware Life) [IR]		Quarterly	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES Core S&P 500 (IVV) [IR]		02/8/2017	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ ISHARES Corp Bond (LQD) [IR]		11/14/2017	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES MSCI EAFE (EFA) [IR]		02/16/2017	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ ISHARES US Preferred Stock (PFF) [IR]		10/6/2017	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES US Preferred Stock (PFF) [IR]		11/14/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Nuveen Quality Preferred Income(JPS) [IR]		02/14/2017	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ POWERSHARES (QQQ) [IR]		10/3/2017	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ POWERSHARES (QQQ) [IR]		10/12/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Powershares Emerging Mkts ((PCY) [IR]		10/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard High Div Yd (VYM) [IR]		02/16/2017	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ Vanguard MSCI Europe (VGK) [IR]		03/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard US Mid Cap (VO) [IR]		02/16/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Exchange Traded Funds ⇒ Vanguard US Mid Cap (VO) [IR]		07/24/2017	P	\$15,001 - \$50,000	
Sandy Shores Equity Group, LLC ⇒ House #2, 50% Interest [RP]	JT	08/29/2017	S	\$250,001 - \$500,000	<input type="checkbox"/>
LOCATION: Baltimore County, MD, US					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Baltimore County, Maryland	Retirement Pension	\$91,074.00
Maryland State Teachers	Retirement Pension	\$5,741.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Provident Funding	July, 2012	Mortgage on Primany Residence	\$100,001 - \$250,000
JT	Peoples Bank, York, PA.	January, 2015	Construction loans	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	U. of Md. Medical Systems Shock Trauma
Board Member	United States Navel Academy

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
August 1975	Baltimore Co. Employment Retirement System	Balto. Co. Pension & Deferred Compensation Plan
August 1988	Maryland State Retirement Agency	Md. State Retirement & Pension System

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Cash Deposits
- Compass 2 (Owner: SP)
- Compass 2 Variable Annuity
- Exchange Traded Funds
- Sandy Shores Equity Group, LLC (50% Interest) (Owner: JT)  
LOCATION: MD, US
- Stocks (IRA)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. C. A. Dutch Ruppertsberger , 05/2/2018