

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 14
For use by Members, officers, and employees

Frank Pallone, Jr.
(Full Name)

202-225-4671
(Daytime Telephone)

LEGISLATIVE RESOURCE CENTER
2011 MAY 13 PM 4:09
(Office Use Only)

HAND DELIVERED

Filer ☒ Member of the U.S. House of Representatives State: NJ District 6

☐ Officer Or Employee

U.S. House of Representatives
A \$200 penalty shall be assessed against anyone who files more than 30 days late.

Report Type ☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name Frank Pallone, Jr

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
US EPA	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deductible tuition \$5,000 or less in a personal checking or</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP	AIM Global Real Estate - IRA	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
DC	Am Cent Growth	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
SP	Am. Cent. Vista Fund - IRA	None	None	NONE	S
DC	American Centy Inv Div Bd fd inst cl	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
DC	Artio Int'l	None	None	NONE	S
SP	Artio International - IRA	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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DC	Artisan Fund Small Cap Value	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	Black Rock Large Cap - IRA	None	DIVIDENDS	\$1 - \$200	S
SP	Blackrock Int'l Opp Fund - IRA	None	DIVIDENDS	\$1 - \$200	S
SP	Blair Williams Funds Int'l - IRA	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Brandywine blue fd I	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	Cambier Oppty Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
JT	Cisco Systems Stock	None	None	NONE	S
DC	Cohen & Steers Realty	None	DIVIDENDS	\$1 - \$200	S
SP	Diamond Hill Fund - IRA	None	DIVIDENDS	\$1 - \$200	S
DC	Dodge and Cox Fund (Int'l Stock Fund)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Dodge and Cox Income Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	S(part)
SP	Dreyfus Appreciation FD INC - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DC	Dreyfus Emerging mkt's fd cl I	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	DWS value ser inc small cap value fd cl s - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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DC	EV Boston Income Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
DC	Fidelity Short Fixed Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
DC	First Amer Inv Fds Inc Real Est secs fd cl Y	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	Franklin Dynatech - Roth IRA	\$1,001 - \$15,000	None	NONE	
SP	Franklin Dynatech Fund - Roth IRA	\$1,001 - \$15,000	None	NONE	
SP	GE Stock - IRA	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
SP	Goldman Money Fund - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DC	Goldman Sachs MMF	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	PS(part)
SP	Hancock Classic Value Fund - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
DC	Harbor Cap App Fund	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	P
DC	Harbor Int'l Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Harbor Int'l Fund - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	Hotchkiss & Wiley Fund - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
JT	iShares Software Index ETF	None	None	NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Lauder Estee Stock - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Legg Mason Value TR Fund	\$1,001 - \$15,000	None	NONE	S(part)
SP	Managers Time SQ MD Cap Fund - IRA	\$1,001 - \$15,000	None	NONE	
DC	Morgan JP Core Bond	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
SP	Morgan Stanley instl fund trust mid cap growth port class I - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DC	Munder Mid Cap Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
SP	Nuveen Tradewinds Funds - IRA	None	DIVIDENDS	\$1 - \$200	S
SP	Oppenheimer dev mkt's cl Y - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
JT	Pheonix Cos. Stock	None	None	NONE	S
DC	PIMCO Commodity Real Return	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	PIMCO Commodity Real Return - IRA	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
DC	Pimco Total Ret Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	S(part)
DC	Pioneer Cullen Value	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
DC	Pioneer Fund	None	DIVIDENDS	\$1 - \$200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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DC	Pioneer Oak Small Cap Fund	\$1,001 - \$15,000	None	NONE	P
DC	Royce Value Plus	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	RS Emg Mkts Fund (formerly ParkAve Fund) - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Security Mid Cap Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	Sentinel mut funds small company class I - IRA	\$1,001 - \$15,000	None	NONE	P
SP	Texas Inst Stock - IRA	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP	Touchstone Inst Fund- IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
SP	Unilever PLC Stock - IRA	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
DC	Verizon (gift from grandparent in 2010)	\$1,001 - \$15,000	None	NONE	
SP	Walt Disney Stock - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Wells Fargo Adv Small Cap-IRA	\$1,001 - \$15,000	None	NONE	
	Wells Fargo Advantage Fund - Trad Small Cap Growth (formerly Evergreen Growth) - Roth IRA	\$1,001 - \$15,000	None	NONE	
SP	Wells Fargo Advantage Funds (formerly Evergreen Fund) - Roth IRA	\$1,001 - \$15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Wells Fargo Fund - IRA	None	None	NONE	S
DC	Wells Fargo Ad intrinsic value (formerly Evergreen Intrinsic Value)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DC	WT Mut Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Am. Cent Vista Fund - IRA	S	No	03/10/2010	\$1,001 - \$15,000
DC	American Centy Invst Div Bd fd inst cl	P	N/A	8/17/2010	\$1,001 - \$15,000
DC	Artio Int'l	S	No	08/17/2010	\$1,001 - \$15,000
SP	Artio International - IRA	P	N/A	09/17/2010	\$1,001 - \$15,000
DC	Artisan Fund Small Cap Value	P	N/A	08/18/2010	\$1,001 - \$15,000
SP	Black Rock Large Cap - IRA	S	No	04/13/2010; 09/17/2010; 09/21/2010	\$1,001 - \$15,000
SP	Blackrock Int'l Opp Fund - IRA	S	No	09/17/2010; 09/21/2010	\$1,001 - \$15,000
SP	Brandywine blue fd I - IRA	P	N/A	09/17/2010	\$1,001 - \$15,000
SP	Cambier Oppty Fund - IRA	S(part)	No	09/17/2010	\$1,001 - \$15,000
JT	Cisco Systems Stock	S	No	04/13/2010	\$1,001 - \$15,000
DC	Cohen & Steers Realty	S	No	04/09/2010; 7/9/2010; 8/17/2010	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transactio
SP	Diamond Hill Fund - IRA	S	No	09/17/2010; 09/21/2010	\$1,001 - \$15,000
DC	Dodge and Cox Income Fund	S(part)	No	08/17/2010	\$1,001 - \$15,000
SP	Dreyfus Appreciation FD INC - IRA	P	N/A	09/17/2010; 09/22/2010	\$1,001 - \$15,000
DC	Dreyfus Emerging mkt's fd cl I	P	N/A	08/17/2010	\$1,001 - \$15,000
SP	DWS value ser inc small cap value fd cl s - IRA	P	N/A	09/17/2010	\$1,001 - \$15,000
DC	Fidelity Short Fixed Fund	S(part)	No	08/17/2010	\$1,001 - \$15,000
DC	First Amer Inv't Fds Inc Real Est secs fd cl Y	P	N/A	08/17/2010	\$1,001 - \$15,000
SP	Goldman Money Fund - IRA	P	N/A	3/11/2010; 9/17/2010	\$1,001 - \$15,000
DC	Goldman Sachs MMF	P	N/A	08/17/2010	\$1,001 - \$15,000
DC	Goldman Sachs MMF	S(part)	No	01/12/2010; 04/12/2010; 10/08/2010	\$1,001 - \$15,000
SP	Hancock Classic Value Fund - IRA	S(part)	No	04/13/2010; 09/17/2010	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC	Harbor Cap App Fund	P	N/A	08/18/2010	\$1,001 - \$15,000
SP	Harbor Int'l Fund - IRA	P	N/A	09/17/2010	\$1,001 - \$15,000
SP	Hotchkiss & Wiley Fund - IRA	S(part)	No	04/13/2010; 09/17/2010	\$1,001 - \$15,000
JT	iShares Software Index ETF	S	No	04/13/2011	\$1,001 - \$15,000
SP	Legg Mason Value TR Fund - IRA	S(part)	No	09/17/2010	\$1,001 - \$15,000
DC	Morgan JP Core Bond	P	N/A	08/18/2010	\$1,001 - \$15,000
SP	Morgan Stanley instl fund trust mid cap growth port class I - IRA	P	N/A	03/11/2010	\$1,001 - \$15,000
DC	Munder Mid Cap Fund	S(part)	No	08/17/2010	\$1,001 - \$15,000
SP	Nuveen Tradewinds Funds - IRA	S	No	09/17/2010; 09/21/2010	\$1,001 - \$15,000
SP	Oppenheimer dev mks cl Y - IRA	P	N/A	09/17/2010	\$1,001 - \$15,000
JT	Phoenix Cos. Stock	S	No	04/13/2010	<\$1,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC	Pimco Total Ret Fund	S(part)	No	08/17/2010	\$1,001 - \$15,000
DC	Pioneer Cullen Value	P	N/A	08/18/2010	\$1,001 - \$15,000
DC	Pioneer Fund	S	No	08/17/2010	\$1,001 - \$15,000
DC	Pioneer Oak Small Cap Fund	P	N/A	08/17/2010; 08/18/2010	\$1,001 - \$15,000
SP	Security Mid Cap Fund - IRA	P	N/A	09/17/2010	\$1,001 - \$15,000
SP	Sentinel mut funds small company class I - IRA	P	N/A	09/17/2010	\$1,001 - \$15,000
SP	Touchstone Inst Fund - IRA	S(part)	No	04/13/2010; 09/17/2010	\$1,001 - \$15,000
DC	Wells Fargo Ad Intrinsic Value	P	N/A	08/17/2010	\$1,001 - \$15,000
SP	Wells Fargo Fund - IRA	S	No	04/13/2010; 09/17/2010; 09/21/2010	\$1,001 - \$15,000
DC	WT Mut Fund	S(part)	No	08/17/2010	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	Congressional Federal Credit Union	Sept. 2007	Personal Line of Credit (formerly Personal Loan)	\$15,001 - \$50,000
JT	Chase Visa	Dec 2010	Revolving Charge Acct	\$15,001 - \$50,000

SCHEDULE VI - GIFTS

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Report the source, a brief description, and the value of all gifts totaling more than \$335 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
State of New Jersey - Division of State Lottery	NJ Lottery winnings	\$24,835.33