



Filing ID #10021735

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Susan A. Davis  
**Status:** Member  
**State/District:** CA53

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2017  
**Filing Date:** 05/11/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
4113-15 Arbor Vitae San Diego, CA [RP]  LOCATION: San Diego, CA, US	JT	\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
AC Alternatives Market Neutral (ACVVX) [MF]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
AEGON N.V. (AEG) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Alaska Air Group, Inc. (ALK) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Allete, Inc. (ALE) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
CAL-Amer INC Prop IV [OT]  DESCRIPTION: Private Investment	JT	\$1,001 - \$15,000	passive	\$5,001 - \$15,000	<input type="checkbox"/>
CalAtlantic Group, Inc. (CAA) [ST]	SP	None	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Charles Schwab Corporation (SCHW) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Costco Wholesale Corporation (COST) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
EmersonEquity Money Market [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
EmersonEquity Money Market [BA]	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
EmersonEquity Money Market [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Fidelity Contrafund [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Fortinet, Inc. (FTNT) [ST]	SP	\$15,001 - \$50,000	Dividends	None	<input checked="" type="checkbox"/>
Franklin K2 Alternative [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Harding Loevner Emerging Markets Portfolio Advisor [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
HCP, Inc. (HCP) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Indexiq ETF TR IQ Hedge Multi-Strategy [EF]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Intercontinental Hotels Group American Depositary Shares (IHG) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
IShares TR iShares Currency Hedged MSCI EAFE [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
iShares TR iShares Currency Hedged MSCI EAFE ETF [EF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
iShares TR Nasdaq Biotechnology Index [EF]	SP	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Loomis Sayles Bond Fund Retail [MF]	SP	\$50,001 -	Capital Gains,	\$1,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$100,000	Dividends	\$2,500	
Lord Abbett Floating Rate Fund [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Lord Abbett Short Duration Inc Fd [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Lord Abbett Short Duration Income FD [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Nuveen EQ Market Neutral FD Cl. I (NIMEX) [MF]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Nuveen High Yield Muni Bond Fund [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Oppenheimer Developing Markets [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Oppenheimer Developing Markets [MF]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Pimco Low Duration Fd [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Powershares Exchange Traded FD [EF]	SP	\$50,001 - \$100,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Powershares Exchange- Traded Fd - TR Dynamic Bldg & Constr Portfolio [EF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Proshares TR RAFI Long/Short FD [EF]		None	None		<input checked="" type="checkbox"/>
QUALCOMM Incorporated (QCOM) [ST]	SP	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Royal Caribbean Cruises Ltd. (RCL) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Schwab Strategic TR US Tips ETF [EF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Sharespost 100 Fund Class A [MF]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Southwest Airlines Company (LUV) [ST]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SPDR Select Sector Fund - Financial (XLF) [EF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SPDR Select Sector Fund - Financial (XLF) [EF]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
T Rowe Price Health Sciences [MF]	SP	\$100,001 - \$250,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
T Rowe Price INTL DISC (PRIDX) [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
T Rowe Price Mid Cap Growth [MF]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
T Rowe Price Mid Cap Growth [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Templeton Global Bond Fund [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Templeton Global Bond Fund [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Valero Energy Corporation (VLO) [ST]	JT	None	None		<input type="checkbox"/>
Vanguard 500 Index Fd [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard Energy Fund [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard Short-Term Investment Grade [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard World FDS Vangaurd Information (VGT) [EF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Versum Materials, Inc. (VSM) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AEGON N.V. (AEG) [ST]	SP	06/29/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Alaska Air Group, Inc. (ALK) [ST]	SP	08/25/2017	P	\$15,001 - \$50,000	
Allete, Inc. (ALE) [ST]	SP	06/29/2017	P	\$1,001 - \$15,000	
Charles Schwab Corporation (SCHW) [ST]	SP	10/20/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Fortinet, Inc. (FTNT) [ST]	SP	06/29/2017	P	\$15,001 - \$50,000	
Franklin K2 Alternative [MF]	SP	06/29/2017	P	\$1,001 - \$15,000	
HCP, Inc. (HCP) [ST]	SP	10/20/2017	P	\$15,001 - \$50,000	
IndexIQ TR IQ Multi-Strategy Tracker [EF]		03/8/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
iShares TR iShares Currency Hedged MSCI EAFE ETF [EF]	SP	10/20/2017	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
ishares TR NASDAQ BioTechnology [EF]	SP	10/20/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Kroger Company (KR) [ST]	SP	08/25/2017	P	\$1,001 - \$15,000	
Kroger Company (KR) [ST]	SP	10/20/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Nuveen EQ Market Neutral FD Cl. I (NIMEX) [MF]		03/8/2017	P	\$15,001 - \$50,000	
Powershares Exchange Traded FD [EF]	SP	03/8/2017	P	\$15,001 - \$50,000	
Powershares Exchange- Traded Fd - TR Dynamic Bldg & Constr Portfolio [EF]	SP	10/20/2017	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Proshares TR RAFI Long/Short FD [MF]		06/29/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
QUALCOMM Incorporated (QCOM) [ST]	SP	06/29/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Schwab Strategic TR US Tips ETF [EF]	SP	10/20/2017	P	\$50,001 - \$100,000	
Select Sector SPDR TR Financial [MF]		06/29/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
T Rowe Price Health Sciences [MF]	SP	10/20/2017	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
T Rowe Price INTL DISC (PRIDX) [MF]		06/29/2017	P	\$1,001 - \$15,000	
Templeton Global Bond Fund [MF]		06/29/2017	P	\$1,001 - \$15,000	
Vanguard Energy Fund [MF]	SP	10/20/2017	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard World FDS Vangaurd Information (VGT) [EF]	SP	08/25/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Versum Materials, Inc. (VSM) [ST]	SP	10/20/2017	P	\$15,001 - \$50,000	

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Congressional Federal Credit Union	August 2015	Mortgage on San Diego Residence	\$250,001 - \$500,000
JT	Congressional Federal Credit Union	November 2016	Mortgage on Washington DC Residence	\$250,001 - \$500,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The Aspen Institute, Inc. (Congressional Program)	08/9/2017	08/18/2017	San Diego, CA - Oslo, Norway - San Diego, CA	3	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Susan A. Davis , 05/11/2018