MAC'	
~	
-	
20	

	Yes No 🗸		Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	other assets, "unearned" inc imption? Do not answer "ye	I from this report any all three tests for exe	1	Exemptions-	
	Yes No 🗸		Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	s" approved by the Committue accluded from this report of the committee of	Qualified Blind Trusts disclosed. Have you	Details regarding "o trusts" need not be child?	Trusts-	
•	S	STIONS	ATION ANSWER EACH OF THESE QUESTIONS	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION ANSWE	EPENDENT, OF	OF SPOUSE, DE	EXCLUSION	1 1
			schedule attached for each "Yes" response.			If yes, complete and attach Schedule V	If yes, compl	_
	) appropriate	d and the	Each question in this part must be answered and the appropriate	Yes No 🗸	have any reportable ilabil rlod?	Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	V. (more than \$10	-
			If yes, complete and attach Schedule IX.		₹.	If yes, complete and attach Schedule IV.	if yes, compl	
	Yes No 🗸		Did you have any reportable agreement or arrangement with an outside IX. entity?	e any Yes V No	urchase, sell, or exchange \$1,000 during the reporti	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Did you, your s  IV. reportable assu period?	
			If yes, complete and attach Schedule VIII.		=	If yes, complete and attach Schedule III.	If yes, compl	
	Yes V No	iling in the	Did you hold any reportable positions on or before the date of filing in the VIII. current calendar year?	me of worth Yes ✓ No	receive "unearmed" incor old any reportable asset v	Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Did you, your s III. more than \$200 more than \$1.0	_
			If yes, complete and attach Schedule VII.			If yes, complete and attach Schedule II.	If yes, compl	
	Yes No V	윽	Old you, your spouse, or a dependent child receive any reportable travel or VII. relimbursements for travel in the reporting period (worth more than \$335 from none source)?	of paying Yes No 🗸	onation to charity in lieu on reporting period?	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Did any individ	
•			If yes, complete and attach Schedule VI.			if yes, complete and attach Schedule I.	If yes, compl	T
	Yes U No V		Did you, your spouse, or a dependent child receive any reportable gift in VI. the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	of \$200 Yes ✓ No 🖂	me (e.g., salaries or fees) priod?	Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Did you or your l. or more from a	
			QUESTIONS	- ANSWER EACH OF THESE QUESTIONS		PRELIMINARY INFORMATION	PRELIMINA	l l
	more than 30 days late	more th	Termination Date:	nent Termination	☐ Amendment	✓ Annual (May 15)	Report Type	
	A \$200 penalty shall be assessed against anyone who files	A \$200 be asse	Officer Or Employing Office: Employee	Ä	S. State: Gentatives District: 3	Member of the U.S. House of Representatives	Filer Status	
. 70 / /	(Office Use Only)	Offic (Offic	(Daytime Telephone)		(Full Name)			
<b>27</b>	3	)n :	4787420085		Jim Marshall			
		3					,	
			For use by Members, officers, and employees	STATEMENT	L DISCLOSURE	CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT	CALENDAR Y	_
:			FORM A Page 1 of 11	SENTATIVES	E OF REPRE	UNITED STATES HOUSE OF REPRESENTATIVES	<b>UNITED S</b>	

## SCHEDULE I - EARNED INCOME

Name Jim Marshall

Page 2 of 11

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source Type	Amount
Office of the Chapter 13 Trustee Spouse's Salary N	N/A

							r			
	SP	SP	SP	SP			If you so choo that of your s in the options	Exclude: You debt owed to parent or sibl savings acco	ASSE Identify (a) ea a fair market and (b) any of than \$200 in fland, provide mutual funds retirement plain which you investments), in the account plans that are and its value that is not puts information, s	SCHEDUL
	American Growth Fund(ML)	American Euro Pacific(ML)	Allianz	Alabama Power Co(ML)	7.5% Of Minerals 125 acres, Wilkinson County, GA	12.5 % of 46.5 acres in Wilkinsons County	If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.	Asset and/or Income Source  Asset and/or Income Source  Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.	SCHEDULE III - ASSETS AND "UNEARNED" INCOME
	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$15,001 - \$50,000			Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	Name Jim Marshall
US	CAPITAL GAINS/DIVIDEN	CAPITAL GAINS/DIVIDEN DS	INTEREST	DIVIDENDS	CAPITAL GAINS	CAPITAL GAINS			BLOCK C  Type of Income Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all iRAs, indicate the type of income by checking the appropriate box below. Dividends and Interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.	hall
	\$1 - \$200	\$1 - \$200	\$201 - \$1,000	\$201 - \$1,000	NONE	NONE			Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.	
									BLOCK E Transactior Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.	Page 3 of 11

i T

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Jim Marshall	hall		Page 4 of 11	
SP	Ameritrade	\$1,001 - \$15,000	INTEREST	\$1 - \$200		
SP	Bank of India CD	None	INTEREST	NONE	PS	<b>767</b> €
SP	BHP Billiton	\$1,001 - \$15,000	DIVIDENDS/CAPI TAL GAINS	\$1 - \$200		MANACTION
JT	Black Rock bond fund, formerly know as Merrill Lynch Municipal Bond Fund/	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000		
JT	Branch Banking and Trust	\$50,001 - \$100,000	Interest	\$1 - \$200		
SP	Cardinal Health	\$1,001 - \$15,000	CAPITAL GAINS/DIVIDEN DS	\$1 - \$200		
SP	Carefusion	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	4	
J	CD Goldman Sachs	Wone	INTEREST	NONE	S	1001 a
Sp.	Coca Cola	\$15,001 - \$50,000	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000		Tit ans het in
	Congressional Credit Union	\$1,001 - \$15,000	INTEREST	\$1 - \$200		
	CREF Bond Market	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200		
	CREF Global Equities	\$1,001 - \$15,000	CAPITAL GAINS	NONE		
	CREF Growth	\$1,001 - \$15,000	CAPITAL GAINS	NONE		
SP	CREF Money Market	\$100,001 - \$250,000	DIVIDENDS	\$201 - \$1,000	Ø	

SCHEDUI	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Jim Marshall	hall		Page 5 of 11
	CREF Stock (Retirement)	None	CAPITAL GAINS	NONE	S
မှ	Davis NY Venture	\$1,001 - \$15,000	DIVIDENDS/CAPI TAL GAINS	\$1 - \$200	
SP	Davis Opportunity Fund	\$1,001 - \$15,000	CAPITAL GAINS/DIVIDEN DS	NONE	
SP	Dryden National Muncipals	\$15,001 - \$50,000	INTEREST/DIVID ENDS	\$201 - \$1,000	
SP	Fedex	\$1,001 - \$15,000	CAPITAL GAINS/DIVIDEN DS	\$1 - \$200	
SP	FIA Card SVS (IAXX) (MLSEP)	\$100,001 - \$250,000	DIVIDENDS	NONE	•
SP	Fidelity Magellan	\$1,001 - \$15,000	DIVIDENDS/CAPI TAL GAINS	\$1 - \$200	
	Fideltiy Contrafund(527)	\$1,001 - \$15,000	CAPITAL GAINS	NONE	
SP	Jennison Utility Fund (2 acct, ML and Prudential)	\$15,001 - \$50,000	DIVIDENDS/CAPI TAL GAINS	\$201 - \$1,000	
	Jennison Utility Fund formerly Prudential Utilities Fund	\$15,001 - \$50,000	CAPITAL GAINS/DIVIDEN DS	\$1 - \$200	
SP	Jennison Value Fd	\$15,001 - \$50,000	CAPITAL GAINS/DIVIDEN DS	NONE	
	McGinnis Capital LP	\$180,001 - \$250,080	CAPITAL GAINS	NONE	Ŋ
JT	Merrill Lynch Cash Management Account	\$50,000 288000	Dividends	\$201 - \$1,000	

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Jim Marshall	ham		Page 6 of 11
SP,	Merrill Lynch Bank Depost fund	\$100,001 250,400 \$250,800 500,400	INTEREST	\$201 - \$1,000	5
SP	Merrill Lynch Bank USA 2nd sep	None	INTEREST	NONE	
SP	Merrill Lynch Consults	None	Capital Gains	NONE	Š
7	IXIS-CDF-C	(	(	(	
,	Merrill LYNCH CONSULTS-	\$250,001 -	CAPITAL	NONE	
	Allianz (formerly managed byAIM INVESCO)	\$500,000	DS GAINS/DIVIDEN		
SP	Merrill Lynch Institutional Fund (MLIXX)	\$59,001 - \$100,008_ O	INTEREST	\$201 - \$1,000	Ø
J	Merrill Lynch Institutional Funds (MLIXX)	\$250,001\$\(\phi_00\)\(\phi_0\)	INTEREST	\$201 - \$1,000	67
SP	Met Life Affiliates	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	Nation wide money market, formerlyGartmore Money Mkt Fd Ps	\$1,001 - \$15,000	INTEREST	NONE	
SP	Nafional City Bank CD	None	INTEREST	\$201 - \$1,000	
	Nationwide Fund D(formerly gartmore)	\$1,001 - \$15,000	CAPITAL GAINS	NONE	
	Nationwide LGCAP GR formerly American Century Ultra	\$1,001 - \$15,000	CAPITAL GAINS	NONE	
	New Inter Val Inst Svc	\$1,001 - \$15,000	CAPITAL GAINS	NONE	
SP	Oracle	\$1,001 - \$15,000	CAPITAL GAINS	NONE	

SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	IE Name Jim Marshall	hall		Page 7 of 11
SP	Professional Women's Investment Group	\$1,001 - \$15,000	CAPITAL GAINS/DIVIDEN DS	NONE	
SP	Prudential Stock	\$1,001 - \$15,000	Dividends	\$1 - \$200	
SP	RVS Disciplined Equity Fund CL A	\$1,001 - \$15,000	CAPITAL GAINS	NONE	
P	RVS Large Cap Equity Fund	\$15,001 - \$50,000	CAPITAL GAINS	NONE	
SP	RVS Tax-exempt High Income Fund	\$1,001 - \$15,000	INTEREST	NONE	
SP	Symetra Life Retirment Services	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
JT	T. Rowe Price Science and Technology Fund	\$1 - \$1,000	CAPITAL GAINS	NONE	
JT	T. Rowe Price Tax Exempt Money Market	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
S <sub>T</sub>	T. Rowe Price Tax Free Short Intermediate	\$15,001 - \$50,000	DIVIDENDS	NONE	
ŞP	Templeton Growth(ML)	\$1,001 - \$15,000	CAPITAL GAINS/DIVIDEN DS	\$1 - \$200	
	TIAA Real Estate	\$1,001 - \$15,000	CAPITAL GAINS	NONE	
	TIAA Traditonal(Retirement)	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	
	TIAA-Cref International Equty	\$1,001 - \$15,000	CAPITAL GAINS	NONE	
	TIAA-Cref Lifecycle Fund 2015	None	CAPITAL/GAINS	MONE	$\mathbb{S}$

SCHEDU	SCHEDULE I:I - ASSETS AND "UNEARNED" INCOME	E Name Jim Marshall	hall		Page 8 of 11
	TIAX-Cref Midcap Value	None	CAPITAL GAINS	NOVE	9
ĺ	TIAA-Cref Small Cap Value	None	DIVIDENDS/CAPI	NONE	S
SP	United States Savings Bonds	\$50,001 - \$100,000	INTEREST	NONE	
SP	Vanguard 500 Index Fund	\$15,001 - \$50,000	INTEREST/CAPI TAL GAINS	\$1,001 - \$2,500	
SP	Walgreen	\$1,001 - \$15,000	CAPITAL GAINS/DIVIDEN DS	NONE	

## **Schedule IV Transactions**

already open. These transactions are shown on Page 6 of Schedule III. for my spouse. My spouse also redeemed the funds she had in her account and placed the funds in Merrill Lynch Bank deposit fund that was Account was already open. We also redeemed most of the funds in The Merrill Lynch Institutional Funds, (MLIXX) that were in our Joint account. were deposited in a Merrill Lynch Cash Management Account. These transactions are shown on page 5 of Schedule III. The Cash Management These funds were placed in a cash management account in February, 2010. These funds were used for expenses and retirement contributions The only change real change in my finances since the 2009 was the sale of my interest in McGinnis Capital in July 2010. Those funds

Since there is no software for 2010, I have crossed through all of the accounts that were sold in 2009

## SCHEDULE VIII - POSITIONS

Name Jim Marshall

Page 10 of 11

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Pro Bono Counsel	Better Business Bureau
Band	Education First/Community First
President	Macon Heritage Housing Foundatiion
Board Member	Board of Visitors, United States Military Academy