



Filing ID #10012107

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Aliena Jeanene Kern  
**Status:** Congressional Candidate  
**State/District:** MNo6

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2016  
**Filing Date:** 05/16/2016  
**Period Covered:** 01/01/2015– 05/15/2016

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Brokerage Account 4508 ⇒ SPY Standard and Poors Index Fund	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Houghton House  LOCATION: Houghton, MI, US	JT	\$50,001 - \$100,000	Rent	\$1,001 - \$2,500	\$5,001 - \$15,000
Personal Savings	JT	\$250,001 - \$500,000	Interest	\$201 - \$1,000	None
Putnam Investments ⇒ Putnam Growth and Income-A	SP	\$1,001 - \$15,000	Tax-Deferred		
Putnam Investments ⇒ Putnam Growth Opportunities - A	SP	\$1,001 - \$15,000	Tax-Deferred		
Putnam Investments ⇒ Putnam International Growth	SP	\$1,001 - \$15,000	Tax-Deferred		
Putnam Investments ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Putnam International Value					
Putnam Investments ⇒ Putnam Multi-Cap Growth Fund -A	SP	\$15,001 - \$50,000	Tax-Deferred		
Putnam Investments ⇒ Putnam Multi-Cap Value Fund-A	SP	\$1,001 - \$15,000	Tax-Deferred		
Putnam Investments ⇒ Putnam Small Cap Value Fund - A	SP	\$1,001 - \$15,000	Tax-Deferred		
Rollover 2311 ⇒ PowerShares QQQ Trust, Series 1 (QQQ)		\$1,001 - \$15,000	Tax-Deferred		
Rollover 2311 ⇒ Vanguard Total Stock Market ETF (VTI)		\$1,001 - \$15,000	Tax-Deferred		
Roth 6689 ⇒ iShares Dow Jones U.S. ETF (IYY)		\$1,001 - \$15,000	Tax-Deferred		
Roth 6689 ⇒ Microsoft Corporation (MSFT)		\$1,001 - \$15,000	Tax-Deferred		
Roth 6689 ⇒ SPDR Dow Jones Industrial Average ETF (DIA)		\$1,001 - \$15,000	Tax-Deferred		
Roth 6689 ⇒ VBIIX		\$1,001 - \$15,000	Tax-Deferred		
Roth-6653 ⇒ iShares Core U.S. Vaue ETF (IUSV)	SP	\$1,001 - \$15,000	Tax-Deferred		
Roth-6653 ⇒ PowerShares QQQ Trust, Series 1 (QQQ)	SP	\$1,001 - \$15,000	Tax-Deferred		
Roth-6653 ⇒ VBIIX	SP	\$1,001 - \$15,000	Tax-Deferred		
Savings-4136	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Income was less than \$1.00.					
SEP IRA-2817 (Kern Statistical Services, Inc.) ⇒ Alibaba Group Holding Limited American Depositary	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Shares each representing one Ordinary share (BABA)					
SEP IRA-2817 (Kern Statistical Services, Inc.) ⇒ Altria Group, Inc. (MO)	SP	\$50,001 - \$100,000	Tax-Deferred		
SEP IRA-2817 (Kern Statistical Services, Inc.) ⇒ Exxon Mobil Corporation (XOM)	SP	\$1,001 - \$15,000	Tax-Deferred		
SEP IRA-2817 (Kern Statistical Services, Inc.) ⇒ Freeport-McMoRan, Inc. (FCX)	SP	\$1,001 - \$15,000	Tax-Deferred		
SEP IRA-2817 (Kern Statistical Services, Inc.) ⇒ Nacel Energy Corp. (NCEN)	SP	\$1 - \$1,000	Tax-Deferred		
SEP IRA-2817 (Kern Statistical Services, Inc.) ⇒ Pfizer, Inc. (PFE)	SP	\$15,001 - \$50,000	Tax-Deferred		
SEP IRA-2817 (Kern Statistical Services, Inc.) ⇒ PowerShares QQQ Trust, Series 1 (QQQ)	SP	\$50,001 - \$100,000	Tax-Deferred		
SEP IRA-2817 (Kern Statistical Services, Inc.) ⇒ Seventy Seven Energy Inc. (SSE)	SP	\$1 - \$1,000	Tax-Deferred		
SEP IRA-2817 (Kern Statistical Services, Inc.) ⇒ SPDR S&P 500 (SPY)	SP	\$50,001 - \$100,000	Tax-Deferred		
SEP IRA-2817 (Kern Statistical Services, Inc.) ⇒ United States 12 Month Oil (USL)	SP	\$1,001 - \$15,000	Tax-Deferred		
TIAA-CREF ⇒ TIAA-CREF		\$15,001 - \$50,000	Tax-Deferred		

\* Asset class details available at the bottom of this form.

**SCHEDULE C: EARNED INCOME**

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Kern Statistical Services, Inc.	Spouse Salary	N/A	N/A

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	US Bank	May 2011	Mortgage on Rental House	\$15,001 - \$50,000
JT	US Bank	June 2003	Revolving business credit line	\$15,001 - \$50,000
JT	US Bank	January 2014	Auto Loan	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Secretary/Treasurer	Kern Statistical Services, Inc.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>◦ Brokerage Account 4508 (50% Interest) (Owner: JT) LOCATION: US DESCRIPTION: Taxable brokerage account holding stocks and bonds. ETRADE</li><li>◦ Putnam Investments (Owner: SP)</li><li>◦ Rollover 2311 DESCRIPTION: Jeanene IRA from American Tower</li><li>◦ Roth 6689 DESCRIPTION: Roth IRA in Jeanene's Name</li><li>◦ Roth-6653 (Owner: SP) DESCRIPTION: Roth IRA owned by John Kern</li><li>◦ SEP IRA-2817 (Kern Statistical Services, Inc.) (Owner: SP) DESCRIPTION: Simplified Employee Pension IRA Account at ETRADE</li><li>◦ TIAA-CREF</li></ul>
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Aliena Jeanene Kern , 05/16/2016