



Filing ID #10015914

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Rick Larsen  
**Status:** Member  
**State/District:** WA02

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2016  
**Filing Date:** 05/9/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
AFI Cash Reserve Certificate	JT	\$1,001 - \$15,000	Interest	\$1 - \$200 <input type="checkbox"/>
Ameriprise Financial One Account/CNL Healthcare Inc (widely diversified property investment fund)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
Ameriprise One Financial Account/Corporate Property Associates 18 Global Inc Cl A (widely diversifie	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
Ameriprise One Financial Account/Wells Fargo Wealthbuilder Growth Balanced	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200 <input type="checkbox"/>
Ameriprise One Financial Account/Western Asset Intermediate Term Municipal Cl C	JT	\$1,001 - \$15,000	None	<input type="checkbox"/>
DC1/John Hancock College	DC	\$15,001 - \$50,000	None	<input checked="" type="checkbox"/>
LOCATION: AK				
DC2/John Hancock College	DC	\$1,001 - \$15,000	None	<input type="checkbox"/>
LOCATION: AK				

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Federal Congressional Credit Union/Checking	JT	\$1,001 - \$15,000	Interest	\$1 - \$200 <input type="checkbox"/>
Richard R Larsen IRA/Franklin Square Investment Corporation II		\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: FSIC is a non-traded Business Development Corporation fund				
Richard R Larsen IRA/Industrial Property Trust		\$15,001 - \$50,000	None	<input checked="" type="checkbox"/>
Richard R Larsen IRA/Wells Fargo WealthBuilder Growth Allocation		\$1,001 - \$15,000	None	<input type="checkbox"/>
Richard R Larsen Roth IRA/Corporate Property Associates 17 Global Inc (widely diversified property i		\$1,001 - \$15,000	None	<input type="checkbox"/>
Richard R Larsen Roth IRA/Wells Fargo Wealthbuilder Equity		\$1,001 - \$15,000	None	<input type="checkbox"/>
Riversource indexed universal life insurance/S&P 500 Indexed Account		\$50,001 - \$100,000	None	<input checked="" type="checkbox"/>
Riversource RAVA 5 Advantage Variable Annuity/VP Moderate Portfolio Class 2		\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: Annuity that holds mutual fund. Retirement asset				
Tiia Ingrid Karlen Roth IRA/Ameriprise Insured Money Market Account	SP	\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: Money market account created in new Tiia Karlen Roth IRA as part of rollover of Tiia Karlen IRA to Tiia Karlen Roth IRA				
Tiia Ingrid Karlen Roth IRA/Franklin Square Investment Corporation II	SP	\$1,001 - \$15,000	None	<input checked="" type="checkbox"/>
DESCRIPTION: Rolled over IRA to Roth IRA in August 2016; FSIC is a non-traded Business Development Corporation fund				
Tiia Ingrid Karlen Roth IRA/Wells Fargo Wealth Builder Growth Allocation	SP	\$1,001 - \$15,000	None	<input checked="" type="checkbox"/>
DESCRIPTION: Rolled over IRA to Roth IRA in August 2016				
Tiia Ingrid Karlen TSCA/Columbia Contrarian Core Fund Class A	SP	\$1,001 - \$15,000	None	<input type="checkbox"/>

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DC1/John Hancock College  LOCATION: WA DESCRIPTION: withdrawal for education purposes	DC	01/19/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DC1/John Hancock College  LOCATION: WA DESCRIPTION: withdrawal for education purposes	DC	04/18/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
DC1/John Hancock College  LOCATION: WA DESCRIPTION: Deposit into account	DC	02/17/2016	P	\$1,001 - \$15,000	
Richard R Larsen IRA/Industrial Property Trust  DESCRIPTION: purchase of non-traded REIT within the IRA using proceeds from Ameriprise Insured Money Market account with in same IRA. Proceeds in AIMMA from previous sale reported on CY2015 report		04/8/2016	P	\$15,001 - \$50,000	
Riversource indexed universal life insurance/S&P Indexed Acct  DESCRIPTION: Monthly premium		Monthly	P	\$350.00	
Tiia Ingrid Karlen IRA/Franklin Square Investment Corporation II  DESCRIPTION: Rolled over Tiia Ingrid Karlen IRA/Franklin Square Investment to Tiia Ingrid Karlen Roth IRA/Franklin Square Investment. Closed out Tiia Ingrid Karlen IRA/Franklin Square Investment.	SP	08/31/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Tiia Ingrid Karlen IRA/Wells Fargo Wealth Builder Growth Allocation  DESCRIPTION: Rolled over Tiia Ingrid Karlen IRA/Wells Fargo Wealth Builder Growth Allocation to Tiia Ingrid Karlen Roth IRA/Wells Fargo Wealth Builder Growth Allocation. Closed out Tiia Ingrid Karlen IRA/Wells Fargo Wealth Builder Growth Allocation	SP	08/31/2016	S	\$1,001 - \$15,000	<input type="checkbox"/>
Tiia Ingrid Karlen Roth IRA/Franklin Square Investment Corporation II  DESCRIPTION: Rolled over Tiia Ingrid Karlen IRA/Franklin Square Investment Corporation II to Tiia Ingrid Karlen Roth IRA/Franklin Square Investment Corporation II. Closed out Tiia Ingrid Karlen IRA/Franklin Square Investment Corporation II	SP	08/31/2016	P	\$1,001 - \$15,000	
Tiia Ingrid Karlen Roth IRA/Wells Fargo Wealth Builder Growth Allocation  DESCRIPTION: Rolled over Tiia Ingrid Karlen IRA/Wells Fargo Wealth Builder Growth Allocation to Tiia Ingrid Karlen Roth IRA/Wells Fargo Wealth Builder Growth Allocation. Closed out Tiia Ingrid Karlen IRA/Wells Fargo Wealth Builder Growth Allocation	SP	08/31/2016	P	\$1,001 - \$15,000	

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Suburban Hospital	spouse salary for training	\$831.00
National String Symphonia Orchestra	Spouse salary for playing in orchestra	\$390.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	BAC Loans	December 2011	Mortgage for secondary residence	\$250,001 - \$500,000
JT	Everbank	March 2012	Mortgage for primary residence	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Aspen Institute Congressional Program	03/28/2016	04/4/2016	Washington DC - Beijing, China - Nanjing, China - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Rick Larsen , 05/9/2017