

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

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2011 JUN 23 AM 10:53

Jane Harman (Two Months Ended February 28, 2011)

310-643-3636

(Full Name)

(Daytime Telephone)

HAND DELIVERED
(Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: CA District: 36	<input type="checkbox"/> Officer Or Employee	Employing Office:
	Report Type	<input type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	
				Termination Date: 2/28/2011
A \$200 penalty shall be assessed against anyone who files more than 30 days late.				

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name Jane Harman (Two Months Ended February 28, 2011)

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
University of Southern California	Spouse Salary	N/A
The Newsweek Daily Beast Company LLC	Spouse Salary	N/A
Harman International Industries Inc	Spouse Retirement	N/A
Harman International Industries Inc	Spouse Non-Employee Compensation	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jane Harman (Two Months Ended February 28, 2011)

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any derivative holding of an asset in a personal trading or investment account.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
BANK ACCOUNTS:					
JT	City National Bank, Beverly Hills CA	\$500,001 - \$1,000,000		NONE	
JT	Citibank, New York NY	\$50,001 - \$100,000		NONE	
SP	UBS Bank USA Deposit Account, Washington DC	\$1,001 - \$15,000		NONE	
EQUITIES:					
	Harman International Industries- Common	\$5,000,001 - \$25,000,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jane Harman (Two Months Ended February 28, 2011)

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SP	Harman International Industries- Common	Over \$50,000,000	NONE	
	Putnam Intl Growth CI A Fund	\$100,001 - \$250,000	NONE	
	Fidelity CA Municipal MMF	\$1,001 - \$15,000	NONE	
SP	Sidney Harman 1987 Revocable Trust Managed Account - See Schedule A Attached			PS
JT	Sidney & Jane Harman Community Trust Managed Accounts - See Schedule B Attached			PS
SP	Sidney Harman Charitable Remainder Trust Managed Accounts - See Schedule C Attached			PS
JT	Jane Harman 25 Year Grantor Income Trust Managed Accounts - See Schedule D Attached			PS
	Jane Harman Revocable Trust Managed Accounts - See Schedule E Attached			PS
	The Lakes Descendants Trust - See Attachment 9	\$1,000,001 - \$5,000,000	DIVIDENDS/INTE REST	\$5,001 - \$15,000
	REAL ESTATE LIMITED PARTNERSHIPS:			
SP	Archon Core Plus RE Fund 2005 LP - See Attachment 1	\$500,001 - \$1,000,000	Partnership	NONE

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jane Harman (Two Months Ended February 28, 2011)

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SP	JBG Investment Fund I LP - See Attachment 6	\$1,000,001 - \$5,000,000	Partnership	NONE	
SP	JBG Investment Fund II LP - See Attachment 7	\$100,001 - \$250,000	Partnership	NONE	
JT	JBG Investment Fund V LP - See Attachment 8	\$100,001 - \$250,000	Partnership	NONE	
SP	Peabody Int'l RE Partners LLC - See Attachment 12	\$15,001 - \$50,000	Partnership	NONE	
	INVESTMENT LIMITED PARTNERSHIPS:				
SP	Arsenal Capital Partners Qualified Purchaser Fund LP - See Attachment 2	\$250,001 - \$500,000	Partnership	NONE	

JT	GS Mezzanine Partners 2006 LP - See Attachment 5	\$500,001 - \$1,000,000	Partnership	NONE	P
SP	Greenbriar Equity Funds LP - See Attachment 3	\$100,001 - \$250,000	Partnership	NONE	PS(part)
SP	Greycroft Partners LP - See Attachment 4	\$500,001 - \$1,000,000	Partnership	NONE	
SP	Harman Newsweek LLC - Owns 50% of Newsweek Daily Beast Company LLC	\$500,001 - \$1,000,000	Partnership	NONE	

SP	Media Rights Capital II - Motion Pictures and Television Company	\$250,001 - \$500,000	Partnership	NONE	
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JT	O'Connor Global Multi Strategy Alpha LP - Trader in Hedge Fund Positions	\$5,000,001 - \$25,000,000	Partnership	NONE	
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SP	Patricof Private Investment Club LP - See Attachment 10	\$1,001 - \$15,000	Partnership	NONE	
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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jane Harman (Two Months Ended February 28, 2011)					Page 6 of 10
SP	Patricof Private Investment Club II LP - See Attachment 11	\$15,001 - \$50,000	Partnership	NONE	
SP	Premier Pacific Vineyards LP - Vineyards and Wine Making	\$1,001 - \$15,000	Partnership	NONE	
SP	Rhone Partners II LP - See Attachment 13	\$500,001 - \$1,000,000	Partnership	NONE	
SP	Rhone Partners III LP - See Attachment 14	\$500,001 - \$1,000,000	Partnership	NONE	PS(part)
JT	SAIL Pacific Explorer Fund LLC - Trader in Hedge Fund Positions	\$100,001 - \$250,000	Partnership	NONE	
SP	Satellite Multi-Strategy Access Fund LLC - Trader in Hedge Fund Positions	\$15,001 - \$50,000	Partnership	NONE	S(part)
SP	Selectinvest ARV LP - See Attachment 15	\$500,001 - \$1,000,000	Partnership	NONE	
SP	Selectinvest ARV Plus 2X LP - See Attachment 15	\$500,001 - \$1,000,000	Partnership	NONE	
SP	Taconic Capital Partners LP - Trader in Hedge Fund Positions	\$1,000,001 - \$5,000,000	Partnership	NONE	
SP	TWCP Founders' Circle (QP) LP - Investor in Various Growth Companies	\$50,001 - \$100,000	Partnership	NONE	S(part)
SP	UBS Credit Recovery Fund LLC - Trader in Hedge Fund Positions	\$500,001 - \$1,000,000	Partnership	NONE	
SP	IRA Rollover - UBS Select Prime Investor Fund	\$250,001 - \$500,000	DIVIDENDS	\$1,001 - \$2,500	

SCHEDULE IV - TRANSACTIONS

Name Jane Harman (Two Months Ended February 28, 2011)

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	Sidney Harman 1987 Recovable Trust - See Schedule F Attached				
JT	Sidney & Jane Harman Community Trust - See Schedule G Attached				
SP	Sidney Harman Charitable Remainder Trust - See Schedule H Attached				
JT	Jane Harman 25 Year Grantor Income Trust - See Schedule I Attached				
	Jane Harman Revocable Trust - See Schedule J Attached				
SP	Greenbriar Equity Funds LP	P	N/A	3 Transactions	\$15,001 - \$50,000
SP	Greenbriar Equity Funds LP	S(part)	No	1/31/11	\$15,001 - \$50,000
JT	GS Mezzanine Partners 2006 LP	P	N/A	2/28/11	\$1,001 - \$15,000
SP	Rhone Partners III LP	P	N/A	1/20/11	\$100,001 - \$250,000
SP	Rhone Partners III LP	S(part)	No	2/22/11	\$250,001 - \$500,000
SP	Satellite Multi-Strategy Access Fund LLC	S(part)	No	1/26/11	\$15,001 - \$50,000

SCHEDULE IV - TRANSACTIONS

Name Jane Harman (Two Months Ended February 28, 2011)

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	TWCP Founders' Circle (QP) LP	S(part)	No	2/1/11	\$50,001 - \$100,000

SCHEDULE V - LIABILITIES

Name Jane Harman (Two Months Ended February 28, 2011)

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
SP	UBS Financial	9/14/2010	Term loan - Investment in Harman Newsweek LLC	\$1,000,001 - \$5,000,000

SCHEDULE VIII - POSITIONS

Name Jane Harman (Two Months Ended February 28, 2011)

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Jane Harman 25 Year Grantor Income Trust
Trustee	The Lakes Descendants Trusts
Trustee	The Lakes Descendants Non-Exempt Trusts
Vice-President	The Harman Family Foundation
Trustee	Smith College (On Leave)

JANE HARMAN
Financial Disclosure Statement
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Note 1 - Disclosure Regarding Limited Partnership Interests and Use of Alternative Valuation Methods:

Two basic methods have been used to determine the value of each partnership interest. These methods differ as a result of the information available at the date of filing.

Method 1

Where market value statements were available, the value was determined by applying the ownership percentage of the reporting individual to the total value of the capital account reserved for limited partners. Method 1 was implemented with regard to Archon Core Plus Real Estate Fund 2005 LP; Arsenal Capital Partners Qualified Purchaser Fund LP; GS Mezzanine Partners 2006 LP; Greenbriar Equity Funds LP; Greycroft Partners LP; Lakes Investment LLC; Patricof Private Investment Club LP; Patricof Private Investment Club II LP; Peabody International Real Estate Partners LLC; Rhone Partners II and Rhone Partners III LP; Selectinvest ARV LP and Selectinvest ARV Plus 2X LP.

Method 2

In some cases market value financial statements were not available. Accordingly, the ending capital balance for the reporting individual was used to value the partnership interest. This method was applied with regard to Harman Newsweek LLC; JBG Investment Fund I LP; JBG Investment Fund II LP; JBG Investment Fund V LLC; Media Rights Capital II; O'Connor Global Multi Strategy Alpha LP; Premier Pacific Vineyards LP; SAIL Pacific Explorer Fund LLC; Satellite Multi-Strategy Access Fund; Taconic Capital Partners LP and TWCP Founders' Circle (QP) Fund.

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Attachment 1 – Archon Core Plus Real Estate Fund 2005 LP Detail of Holdings

Various interests in real estate properties held are as follows:

Multifamily:

Savannah Apartments
Missions at Rancho Del Oro
Aventine at Courthouse Square
Broadstone at Strawberry Creek
Bala Sands
Dobson Towne Centre
Prestige Portfolio
Aventine at Town Center
Monarch at Shadowridge
Bala Desertscape

**Attachment 2 – Arsenal Capital Partners Qualified Purchaser Fund LP Detail
Of Investment Positions**

<u>Description</u>	<u>Category of Market Value</u>
Interdynamics Holdings Inc	\$15,001 - \$50,000
iMDs Holdings Corp	\$101,001 - \$250,000
Genovique Specialties Holding Corp	\$1,001 - \$15,000
Velsicol Chemical Holding Corp	\$1,001 - \$15,000
Vertellus Specialties Inc	\$1,001 - \$15,000
Cash and Other Investments	\$50,001 - \$100,000

JANE HARMAN
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Attachment 3 – Greenbriar Equity Funds LP Detail of Investment Holdings

The partnership invests in private transportation companies and related industries. The partnership held investments as follows:

<u>Description</u>	<u>Category of Market Value</u>
Active Aero Group	\$1,001 - \$15,000
VGAT Investors LLC	\$1,001 - \$15,000
GB Seventeenth Street Realty Holdings Corp	\$1,001 - \$15,000
Western Peterbilt Inc	\$15,001 - \$50,000
AmSafe Inc	\$50,001 - \$100,000
GA Holdings LLC	\$50,001 - \$100,000
Genco Supply Chain Solutions	\$100,001 - \$250,000

Attachment 4 – Greycroft Partners LP Detail of Investment Holdings

The partnership is engaged in venture capital investing in early stage revenue producing companies with emphasis on applications of digital media in wireless and internet arena. The partnership held investments as follows:

<u>Description</u>	<u>Category of Market Value</u>
Adly Inc	\$1,001 - \$15,000
Adenyo Inc	\$1,001 - \$15,000
Babble Media Inc	\$1,001 - \$15,000
Buddy Media Inc	\$100,001 - \$250,000
Buzzd, Inc	\$1,001 - \$15,000
Collective Media Inc	\$100,001 - \$250,000
Crowd Fusion Inc	\$1,001 - \$15,000
eTouches Inc	\$1,001 - \$15,000
Extreme Reach Inc	\$50,001 - \$100,000
Friendbuy Inc	\$1,001 - \$15,000
Glam Media Inc	\$1,001 - \$15,000
Health Plan One Inc	\$15,001 - \$50,000
Hip Digital Media Inc	\$1,001 - \$15,000
Ixtens Inc	\$1,001 - \$15,000
Joyent Inc	\$1,001 - \$15,000
K2 Network Inc	\$15,001 - \$50,000

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Attachment 4 – Greycroft Partners LP Detail of Investment Holdings (Continued)

<u>Description</u>	<u>Category of Market Value</u>
Lucid Commerce Inc	\$1,001 - \$15,000
M5 Networks Inc	\$50,001 - \$100,000
Maker Studios Inc	\$1,001 - \$15,000
Marketbright Inc	\$15,001 - \$50,000
Matchbin Inc	\$1,001 - \$15,000
MDX Medical Inc	\$15,001 - \$50,000
Netsertive Inc	\$1,001 - \$15,000
Oggi Finogi	\$15,001 - \$50,000
OnePIN Inc	\$1,001 - \$15,000
Panvideo Inc	\$1,001 - \$15,000
Resonate Networks Inc	\$15,001 - \$50,000
Sometrics Inc	\$1,001 - \$15,000
Sportgenic Inc	\$1,001 - \$15,000
Tagman Ltd	\$1,001 - \$15,000
TheHuffingtonPost.com Inc	\$1,001 - \$15,000
Tynt Multimedia	\$15,001 - \$50,000
United Sample Inc	\$50,001 - \$100,000
Vizu Corporation	\$15,001 - \$50,000
Vuze Inc	\$1,001 - \$15,000
WideOrbit Inc	\$15,001 - \$50,000
Cash and Other Investments	\$100,001 - \$250,000

Attachment 5 – GS Mezzanine Partners 2006 LP Detail of Investment Positions

<u>Description</u>	<u>Category of Market Value</u>
Americas	
Acosta Inc	\$50,001 - \$100,000
Berry Plastics Corporation	\$15,001 - \$50,000
Caesars Entertainment Corp	\$15,001 - \$50,000
Del Taco Holdings Inc	\$15,001 - \$50,000
ERICO International Corporation	\$15,001 - \$50,000
First Data Corporation	\$15,001 - \$50,000
Kranson Industries Inc	\$15,001 - \$50,000

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Attachment 5 – GS Mezzanine Partners 2006 LP Detail of Investment Positions
(Continued)

<u>Description</u>	<u>Category of Market Value</u>
Leslie's Poolmart Inc	\$15,001 - \$50,000
Marsico Capital Management LLC	\$1,001 - \$15,000
PETCO Animal Supplies Inc	\$1,001 - \$15,000
RGIS Inventory Specialists	\$15,001 - \$50,000
Unifrax Corporation	\$1,001 - \$15,000
VWR International Europe/Australia	\$15,001 - \$50,000
Alliance Boots Holdings Ltd	\$15,001 - \$50,000
Bawag PSK	\$1,001 - \$15,000
Brenntag Beteiligungs GmbH	\$1,001 - \$15,000
Gambro Holding AB	\$50,001 - \$100,000
Materis SAS	\$1,001 - \$15,000
Molinlycke Health Care AB	\$15,001 - \$50,000
Nine Entertainment Group Ltd	\$15,001 - \$50,000
PagesJaunes Groupe SA	\$1,001 - \$15,000
United Biscuits plc	\$15,001 - \$50,000

Attachment 6– JBG Investment Fund I LP Detail of Holdings

Various interests in real estate properties held are as follows:

Maryland
The Veridian (fka Silver Spring Gateway)-Residential
Blue Capital JV-Office
Upper Rock Phase II-Land
Upper Rock Phase III-Choke Cherry-Office

Virginia
Reston Office
The Westin Reston Heights-Hotel
Waterview-Hotel
Waterview-Residential

JANE HARMAN
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Attachment 7 – JBG Investment Fund II LP Detail of Holdings

Various interests in real estate properties held are as follows:

Office Properties-

Blue Capital JV, Montgomery Co, MD
Chase Tower
12725 Twinbrook Parkway
5640 Fishers Lane/12441 Parklawn
Fishers Place I, II and III

Residential Properties-

Mallard Courts, Alexandria VA

Attachment 8 – JBG Investment Fund V LLC Detail of Holdings

Various interests in real estate properties held are as follows:

Maryland

Pike Center Shopping Center-Retail
5630 Fishers Lane-Office
Rockville Hilton-Hotel

Virginia

Reston Square Office
The Westin Reston Heights-Hotel
Hyatt Fair Lakes-Hotel

JANE HARMAN
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Attachment 9 – Lakes Descendants Trusts Detail of Investment Positions

Investment positions held at the end of the year in the Lakes Descendants Trusts are as follows:

Lakes Investment LLC

<u>Description</u>	<u>Category of Market Value</u>
JP Morgan TR 1 CA Tax Exempt MMF	\$100,001 - \$250,000
Equities:	
Berkshire Hathaway Inc	\$100,001 - \$250,000
Harman International Ind	\$250,001 - \$500,000
JP Morgan Chase & Co- Alerian MLP Index Fund	\$100,001 - \$250,000
Penn Virginia Corp	\$15,001 - \$50,000
Partnerships:	
Castle Advisors Hedge Fund	\$1,000,001 - \$5,000,000
Capital Q Restaurants LLC	\$100,001 - \$250,000
California Tax Exempt Municipal Bonds:	
CA State G/O 10/1/92	\$1,001 - \$15,000
Montebello CA Cmnty Redev Agy 6/1/97	\$15,001 - \$50,000
New Haven CA USD	\$250,001 - \$500,000
Sacramento CA MUD Elec Rev	\$1,001 - \$15,000

Attachment 10 - Patricof Private Investment Club, LP Detail of Investment Positions

<u>Description</u>	<u>Category of Market Value</u>
Non-Marketable Securities:	
Peregrine Semiconductor Corporation	\$1,001 - \$15,000

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Attachment 11 - Patricof Private Investment Club II, LP Detail of Investment Positions

<u>Description</u>	<u>Category of Market Value</u>
Non-Marketable Securities:	
MeshNetworks Inc	\$1 - \$1,000
MILCOM Technologies LLC	\$1 - \$1,000
Prometheus	\$15,001 - \$50,000

Attachment 12 – Peabody International Real Estate Private Partners LLC Detail of Holdings

Various investments in commercial real estate properties and companies located outside the United States as follows:

<u>Investment</u>	<u>Type</u>	<u>Location</u>
HPE Property	Commercial/Residential	Germany
Alitalia Headquarters	Office	Rome, Italy

Attachment 13 – Rhone Partners II, LP Detail of Investment Positions

<u>Description</u>	<u>Category of Market Value</u>
Marco Polo, Italy	\$250,001 - \$500,000
Rexair LLC (USA)	\$250,001 - \$500,000

Attachment 14 – Rhone Partners III, LP Detail of Investment Positions

<u>Description</u>	<u>Category of Market Value</u>
Magnesita Refractorios (Brazil)	\$100,001 - \$250,000
Quicksilver Inc (USA)	\$100,001 - \$250,000
Arizona Chemical (USA)	\$50,001 - \$100,000
Utex Industries Inc (USA)	\$100,001 - \$250,000
Euromar LLC (Greece)	\$15,001 - \$50,000
Greek Yellow Pages (fka Infote) (Greece)	\$100,001 - \$250,000

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Attachment 15 – Selectinvest ARV LP & Selectinvest ARV Plus 2X LP Detail of
Investment Positions

<u>Description</u>	<u>Category of Market Value</u>
Multi-Strategy	
DE Shaw Comp	\$50,001 - \$100,000
Magnetar Cap	\$1,001 - \$15,000
Polygon Global Opp	\$15,001 - \$50,000
Stark Investments	\$1,001 - \$15,000
QVT Associates	\$100,001 - \$250,000
ROC Capital Partners	\$15,001 - \$50,000
Event Driven Multi Strategy	
Farallon Capital Partners	\$15,001 - \$50,000
Castlerigg Partners	\$1,000 - \$15,000
Castlerigg LP Residual Side Pocket	\$1,000 - \$15,000
OZ Europe Domestic Partners LP	\$50,001 - \$100,000
OZ Europe Domestic Partners II LP Side Pocket	\$15,001 - \$50,000
Perry Partners	\$1,001 - \$15,000
Elliott Associates	\$50,001 - \$100,000
OZ Domestic Partners LP	\$15,001 - \$50,000
OZ Domestic Partners II LP Side Pocket	\$1,001 - \$15,000
Event Driven	
Atticus European	\$1,001 - \$15,000
Canyon Balance Equity	\$1,001 - \$15,000
Credit	
Cerberus Partners	\$50,001 - \$100,000
Silver Point Capital LP	\$1,001 - \$15,000
Silver Point Capital Fund LP Side Pocket	\$1,001 - \$15,000
Styx Partners	\$50,001 - \$100,000
King Street Capital	\$50,001 - \$100,000
Golden Tree Partners LP	\$1,001 - \$15,000
Selectinvest Focused Recovery Fund	\$50,001 - \$100,000
FRF Segregated Cash	\$15,001 - \$50,000
Marathon Realty OPP Fund LLC	\$50,001 - \$100,000
Green T G2 LP	\$15,001 - \$50,000

JANE HARMAN
Financial Disclosure Statement
Notes and Attachments
February 28, 2011

Attachment 15 – Selectinvest ARV LP & Selectinvest ARV Plus 2X LP Detail of
Investment Positions (Continued)

<u>Description</u>	<u>Category of Market Value</u>
Fixed Income	
Brevan Howard LP	\$50,001 - \$100,000
Comac Global Macro Fund LP	\$50,001 - \$100,000
Bluecrest Capital LP	\$50,001 - \$100,000
Capula Global Relative Value	\$15,001 - \$50,000
Volatility	
Artradis Barracuda Fund LP	\$15,001 - \$50,000
CTA	
Bluetrend Fund LP	\$15,001 - \$50,000
Macro	
DE Shaw Oculus Fund	\$15,001 - \$50,000
Brevan Howard Emerging Markets Fund	\$15,001 - \$50,000
LD Commodities Alpha Fund LP	\$15,001 - \$50,000
Blenheim Fund LLC	\$15,001 - \$50,000
Selectinvest Global Resources Fund	\$1,001 - \$15,000
Pharo Macro Fund Ltd	\$15,001 - \$50,000
The Clive Fund	\$15,001 - \$50,000
Woodbine Capital Fund LP	\$15,001 - \$50,000
Brevan Howard Asia LP	\$15,001 - \$50,000
L/S Equity Low Volume	
Ascend Partners Fund II LP	\$50,001 - \$100,000
The Alphagen Capella Fund LP	\$50,001 - \$100,000
Karsch Capital II LP	\$50,001 - \$100,000
Alydar LP	\$50,001 - \$100,000
Cazenove Europear EDQ Absolute Ret Fnd	\$15,001 - \$50,000
Highline Capital Partners LP	\$15,001 - \$50,000

JANE HARMAN
Financial Disclosure Statement
Notes and Attachments
February 28, 2011

**Attachment 15 – Selectinvest ARV LP & Selectinvest ARV Plus 2X LP Detail of
Investment Positions (Continued)**

<u>Description</u>	<u>Category of Market Value</u>
L/S Equity High Volume	
SCP Domestic Fund LP	\$50,001 - \$100,000
Level Global LP	\$50,001 - \$100,000
Artha Emerging Markets Fund LP	\$15,001 - \$50,000
SEG Partners II LP	\$15,001 - \$50,000
Egerton European Dollar Fund LP	\$15,001 - \$50,000
ESG Cross Border Equity Fund LP	\$15,001 - \$50,000
Visium Balanced Fund LP	\$15,001 - \$50,000
Enhanced Fund LP	\$15,001 - \$50,000
Amiya Global Emerging Opportunities Fund LP	\$1,001 - \$15,000
Cash and Other Investments	\$100,001 - \$250,000

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Tmsch	
	A	B	C	D	E	F	G	H	I	J	K	L	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		
SP JP Morgan Private Bank Account																																
SP JP Morgan Tax Free MM Premier Sweep Fund																																
SP UBS - Municipal Bonds (3812932)																																
SP Rina Money Mkt. Portfolio																																
SP Ca St Econ Recovery 08B																																
SP Ca St Econ Recovery 08B Sr A Pref Be/R/																																
SP Ca Stride Cmty's Dev Aut																																
SP Ca Infra & Eco Dev Bnk Ambac A Out97.273 Be/R/																																
SP California St Dept Wtr R Sr L Be/R/																																
SP Connecticut St																																
SP Golden St Tob Sectrzn																																
SP Illinois St																																
SP Kentucky Ppy Bldgs																																
SP Los Angeles Ca Dept Wtr Sr B Be/R/																																
SP Maryland St																																
SP Metropolitan Wtr Dist So Rv Be/R/																																
SP Ohio St																																
SP Puerto Rico Hwy&Trans Au																																
SP Puerto Rico Pub Fin B/E																																
SP San Diego Cmty Ca Reg L																																
SP Southern Ca Pub Pwr A Ut Be/R/																																
SP St Of Se Go																																
SP UBS - Brokerage (3812933)																																
SP Ubs Select Prime Institutional Fund																																
SP Ubs Bank Usa Deposit Account																																
SP Federated Municipal Obligations Fund																																
SP Rice Step Perfm Sec																																
SP Ubs E-Tras Cmei Energy Etn																																
SP UBS - Strategic Advisor (3815402)																																
SP Ubs Select Prime Institutional Fund																																
SP Alpine Ultra Short Tax Optimized Income Fd No																																
SP Arrio Global High Income Fund Class A																																
SP Columbia Short Term Municipal Bond Fund A																																
SP Goldman Sachs Government Income Fund I																																
SP Ishares Barclays Tips Bond Fund																																
SP Ishares Msci Eate Index Fund																																
SP Ishares Msci Emerging Markets Index Fund																																
SP Ishares Trust Russell 1000 Growth Index Fu																																

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JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY & JANE HARMAN COMMUNITY TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B													BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		A	B	C	D	E	F	G	H	I	J	K	L	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	Trnsctn
UBS - CP Brokerage Account (3B19031)	None																																
Federated Municipal Obligations Fund																																	
RBC STEP Perform Sec Issues MSCI EAFE 12/30/2011																																	
UBS - CP Muni (3B19036)																																	
Rena Money Mkt. Portfolio																																	
Atlanta Ga Airt St C Ry Be/R/ 5.000 010118 Dtd 122310																																	
Bay Area Toll Auth Calif Toll Brd Rev Be/R/																																	
California Edl Pass Auth Ser T-4 Rev Be/R/																																	
California St Dept Wtr R Sr L B/E/R																																	
California St Wtr Res Fsa																																	
Connecticut St St B Be/R/																																	
Energy Northwest Wa Elec Ser A Ry Be/R/																																	
Golden St Tob Seerthn Rev Ser A																																	
Illinois St Be/R/																																	
Kentucky Pwr Bldgs Rev Fsa Be/R/																																	
Los Angeles Calif Uni Stb Dist Ser B Fsa Be/R/																																	
Maryland St Sr B Be/R/																																	
Metropolitan Wtr Dis Sou Ser C Rev Be/R/																																	
North Carolina Eastn Mun Pwr Agy Ambac B/E L /R/																																	
Pasadena Calif Uni Stb D 1st Fsa Be/R/																																	
Puerto Rico Comwth Ser A Rev B/E /R/																																	
Railspitner Tobacco Set																																	
San Antonio Tx Elec Gas Ry Be/R/																																	
San Francisco Ca City & Cnty Rctev B Npfg Be/R/																																	
St Of So Go St A Be/R/																																	
St Of Wa Go R-201 Be/R/																																	
Texas Transn Commn St																																	
UBS - CP Westfield (3B19039)																																	
Rena Money Mkt. Portfolio																																	
Actna Inc																																	
Air Prod & Chemical Inc																																	
Alcon Inc Cht Unsolicited																																	
Amazon Com Inc																																	
Apple Inc																																	
Archer Daniels Midland Co																																	
Bank Of New York Mellon Corp																																	
Boeing Company																																	
Borg Warner Inc																																	

JANE HARMAN
SCHEDULE III ATTACHMENT
MAN COMMUNITY TRUST - M

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Tmstr
		A	B	C	D	E	F	G	H	I	J	K	L	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000								None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	
JT	Cisco Systems Inc							X						X							X											P
JT	Comcast Corp New Cl A		X				X							X								X										P
JT	Covidien Plc						X															X										
JT	Cox Corporation						X																									
JT	Eme Corp Mass							X																								
JT	Emerson Electric Co							X																								S
JT	Fedex Corp								X																							S
JT	General Motors Co Unsolicted		X																													S
JT	Genl Electric Co						X																									S
JT	Gilead Sciences Inc						X																									S
JT	Goodrich Corp						X																									S
JT	Google Inc Cl A							X																								P
JT	Hershey Co			X																												P
JT	Hewlett Packard Co						X																									S
JT	Honeywell Intl Inc						X																									S
JT	Illumina Inc						X																									S
JT	Intel Corp						X																									P
JT	Intl Business Mach						X																									
JT	Las Vegas Sands Corp						X																									
JT	Manulife Financial Corp Cad						X																									S
JT	Marvell Technology Group Ltd						X																									S
JT	Microsoft Corp						X																									P
JT	Nike Inc Cl B						X																									
JT	Oracle Corp							X																								P
JT	Priceline Com Inc New						X																									P
JT	Qualcomm Inc						X																									S
JT	Target Corp Unsolicted		X																													S
JT	Unitedhealth Group Inc						X																									P
JT	Unid Technologies Corp							X																								
JT	Valero Energy Corp New							X																								P
JT	Viacom Inc New Cl B						X																									S
JT	Walt Disney Co (Holding Co) Disney Com		X				X																									S
JT	Warner Chilcot Plc Cl A						X																									S
JT	Wells Fargo & Co New		X				X																									S
JT	<i>UBS - Strategic Advisor (3B19042)</i>																															
JT	Ubs Select Prime Institutional Fund									X																						
JT	Alpine Ultra Short Tax Optimized Income Fd No		X							X																						P
JT	Aalto Global High Income Fund Class A			X						X																						P
JT										X																						P

MAN COMMUNITY TRUST - N

[illegible]

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E	
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Trnsch	
		A	B	C	D	E	F	G	H	I	J	K	L	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		
SP	Partnerships	None																			None												
SP	UBS Credit Recovery Fund LLC	\$1 - \$1,000																			\$1 - \$200												
SP	UBS - Strategic Advisor (3B00134)	\$1,001 - \$15,000																			\$201 - \$1,000												
SP	Ubs Select Prime Institutional Fund	\$15,001 - \$50,000																			\$1,001 - \$2,500												
SP	Alpine Ultra Short Tax Optimized Income Fd No	\$50,001 - \$100,000																			\$2,501 - \$5,000												
SP	Artio Global High Income Fund Class A	\$100,001 - \$250,000																			\$5,001 - \$15,000												
SP	Calvert Short Duration Income Fund Class A	\$250,001 - \$500,000																			\$15,001 - \$50,000												
SP	Eaton Vance Strategic Income Fund Class A	\$500,001 - \$1,000,000																			\$50,001 - \$100,000												
SP	Fidelity Advisor Short Fixed Income Fund CI A	\$1,000,001 - \$5,000,000																			\$100,001 - \$1,000,000												
SP	Iq Hedge Multi-Strat Tracker	\$5,000,001 - \$25,000,000																			\$1,000,001 - \$5,000,000												
SP	Ishares Barclays 1-3 Yr Cd Bd Fd	Over \$50,000,000																															
SP	Ishares Barclays Tips Bond Fund																																
SP	Ishares Msci Ealtc Index Fund																																
SP	Ishares Msci Emerging Markets Index Fund																																
SP	Ishares Trust Russell 1000 Value Index Fun																																
SP	Ishares Trust Russell 2000 Growth Index Fd																																
SP	Ishares Trust Russell 2000 Value Index Fd																																
SP	Ishares Trust Russell Midcap Growth Index																																
SP	Ishares Trust Russell Midcap Value Index F																																
SP	Ishares Trust Russell Small Market Neutral F																																
SP	Jp Morgan Highbridge Stat Market Neutral F																																
SP	Loomis Sayles Investment Grade Bond																																
SP	Victory National Municipal Bond Fund																																
SP	Wells Fargo Advantage Short-Term Municipal																																
SP	Wells Fargo Advantage Ultra Short Term Muni																																
SP	UBS - Westfield (3B12906)																																
SP	Roma Money Mkt Portfolio																																
SP	Cash Balance																																
SP	Aetna Inc																																
SP	Air Prod & Chemical Inc																																
SP	Alcon Inc																																
SP	Amazon Com Inc																																
SP	Apple Inc																																
SP	Archex Daniels Midland Co																																
SP	Bank Of New York Mellon Corp																																
SP	Boeing Company																																
SP	Borg Warner Inc																																
SP	Cisco Systems Inc																																
SP	Comcast Corp New CI A																																

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

BLOCK A		BLOCK B													BLOCK C							BLOCK D											BLOCK E	
Asset and/or Income Source		Value of Asset													Type of Income							Amount of Income											Insect	
		A	B	C	D	E	F	G	H	I	J	K	L	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI			
SP	Covidien Plc	None																			None												S	
SP	Csk Corporation	\$1 - \$1,000																																S
SP	Em Corp Mass	\$1,001 - \$15,000																																S
SP	Emerson Electric Co	\$15,001 - \$50,000																																S
SP	Fedex Corp	\$50,001 - \$100,000																																S
SP	General Motors Co	\$100,001 - \$250,000	X																															S
SP	Genl Electric Co	\$250,001 - \$500,000																																S
SP	Gilead Sciences Inc	\$500,001 - \$1,000,000																																S
SP	Goodrich Corp	\$1,000,001 - \$5,000,000																																S
SP	Google Inc Cl A	\$5,000,001 - \$25,000,000																																S
SP	Hershey Co	\$25,000,001 - \$50,000,000																																P
SP	Hewlett Packard Co	Over \$50,000,000																																P
SP	Honeywell Intl Inc	Dividends																																S
SP	Illumina Inc	Rent																																S
SP	Intel Corp	Interest																																P
SP	Intl Business Mach	Capital Gains																																P
SP	Las Vegas Sands Corp	Excepted Trust																																S
SP	Manulife Financial Corp Can	Qualified Blind Trust																																S
SP	Marvell Technology Group Ltd	Other Type of Income																																P
SP	Microsoft Corp																																	P
SP	Nike Inc Cl B																																	P
SP	Oracle Corp																																	P
SP	PriceLine Com Inc New																																	S
SP	Qualcomm Inc																																	S
SP	Target Corp		X																															S
SP	UnitedHealth Group Inc																																	P
SP	Unid Technologies Corp																																	P
SP	Valero Energy Corp New																																	S
SP	Viacom Inc New Cl B																																	S
SP	Walt Disney Co (Holding Co) Disney Com		X																															S
SP	Warner Chilcott Plc Cl A																																	S
SP	Wells Fargo & Co New																																	S
SP	UBS - Brokerage (3812908)																</																	

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C						BLOCK D												
Asset and/or Income Source		Value of Asset												Type of Income						Amount of Income												
		A	B	C	D	E	F	G	H	I	J	K	L	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000								None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	
JT	Partnerships Held:																															
JT	Blum Strategic Partners II LP								X										Partship		X											
JT	GS Pvt Eq Concord Healthcare Fund Offsh								X										Partship		X											
JT	GS WLR Opportunities Fund LP									X									Partship		X											
JT	JBG/Fund III Investor LLC									X									Partship		X											
JT	JBG/Fund V Qualified Investor LLC									X									Partship		X											
JT	JBG/Fund VII Qualified Investor LLC									X									Partship		X											
JT	SAIL Pacific Explorer Fund LLC									X									Partship		X											
JT	UBP Selectinvest Multistrategy LP									X									Partship		X											
JT	JP Morgan																															
JT	JP Morgan Prime MMF								X													X										
JT	Allianz SE Spon Adr																					X										
JT	AXA-UAP Spons ADR								X													X										
JT	AKZO Nobel NV																					X										
JT	Banco Santander SA																					X										
JT	Canon Inc ADR																					X										
JT	Carrefour SA ADR																					X										
JT	CRH PLC ADR																					X										
JT	Ericsson LM Tel Co ADR																					X										
JT	Esprit Holdings Ltd HKD ADR																					X										
JT	France Telecom ADR																					X										
JT	Givaudan SA ADR																					X										
JT	HSBC Holdings PLC																					X										
JT	Ishares Trust MSCI EAFE Index Fund																					X										
JT	KAO Corp ADR																					X										
JT	Mitsubishi UFJ Final Grp Inc Spons ADR																					X										
JT	Nestle SA ADR																					X										
JT	Nintendo Co Ltd ADR																					X										
JT	Novartis AG ADR																					X										
JT	NTT Doocomo Inc ADR																					X										
JT	Reed Elsevier PLC ADR																					X										
JT	Roche Holding Ltd ADR																					X										
JT	Sanofi Aventis ADR																					X										
JT	SAP Aktiengesellschaft ADR																					X										
JT	Societe Generale ADR																					X										
JT	Syngenta AG Spons ADR																					X										
JT	Telefonica SA Spons ADR																					X										
JT	Teva Pharmaceuticals Ind Ltd Israel ADR																					X										

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B											BLOCK C							BLOCK D												
Asset and/or Income Source		Value of Asset											Type of Income							Amount of Income												
		A	B	C	D	E	F	G	H	I	J	K	L	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
		None																			None											
		\$1 - \$1,000																			\$1 - \$200											
		\$1,001 - \$15,000																			\$201 - \$1,000											
		\$15,001 - \$50,000																			\$1,001 - \$2,500											
		\$50,001 - \$100,000																			\$2,501 - \$5,000											
		\$100,001 - \$250,000																			\$5,001 - \$15,000											
		\$250,001 - \$500,000																			\$15,001 - \$50,000											
		\$500,001 - \$1,000,000																			\$50,001 - \$100,000											
		\$1,000,001 - \$5,000,000																			\$100,001 - \$1,000,000											
		\$5,000,001 - \$25,000,000																			\$1,000,001 - \$5,000,000											
		\$25,000,001 - \$50,000,000																			Over \$5,000,000											
		Over \$50,000,000																														
JT	TNT NV ADR (TPG)																				X											
JT	UBS AG New																				X											
JT	Unilever PLC ADR							X													X											
JT	Vodafone Group PLC ADR	X																			X											
JT	WPP Group PLC ADR				X																X											
JT	UBS - Brokerage (WSJ3033)																				X											
JT	Rbc Step Perform Sec																				X											
JT	Ubs E-Tracs Cinci Energy Etn	X																														
JT	UBS - Munis (3B13034)																															
JT	Ca Shwde Cmnty's Dev Aut																				X											
JT	California Edl Fcas Auth																				X											
JT	California St Dept Wtr R SR L BE/R																				X											
JT	Connecticut St Str B BE/R																				X											
JT	Illinois St Be/R																				X											
JT	Kentucky Ppy Bldgs Rev Fsa Be/R																				X											
JT	Los Angeles Ca Wstwr																				X											
JT	Maryland St																				X											
JT	Metropolitan Wtr Dist Rv Be/R	X																			X											
JT	Missouri St Hwy Trans Rev Be /R/																				X											
JT	North Carolina Easin Mun Pwr Agy Ambac B/E L /R/																				X											
JT	Puerto Rico Hg Fin Auth																				X											
JT	San Antonio Tx Elec Gas Sr A Rv Be/R	X																			X											
JT	St Of SC Co Sr A Be/R																				X											
JT	Texas St																				X											
JT	UBS - SA (3B13035)																				X											
JT	Ubs Select Prime Institutional Fund																				X											
JT	Alpine Ultra Short Tax Optimized Income Fd No																				X											
JT	Diamond Hill Long-Short Fund Class A																				X											
JT	Iq Hedge Multi-Strat Tracker																				X											
JT	Ishares Msci Emerging Markets Index Fund																				X											
JT	Ishares Trust Russell 1000 Value Index Fun																				X											
JT	Ishares Trust Russell 2000 Growth Index Fd																				X											
JT	Ishares Trust Russell 2000 Value Index Fd																				X											
JT	Ishares Trust Russell Midcap Growth Index																				X											
JT	Ishares Trust Russell Midcap Value Index F																				X											
JT	Ishares Trust Russell Midcap Value Index F																				X											
JT	Jp Morgan Highbridge Strat Market Neutral F																				X											
JT	Jp Morgan Strategic Income Opportunities																				X											
JT	Northern Funds High Yield Municipal Fund																				X											
JT																					X											
JT																					X											
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JT																					X											
JT																					X											

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B													BLOCK C							BLOCK D										
Asset and/or Income Source		Value of Asset													Type of Income							Amount of Income										
		A	B	C	D	E	F	G	H	I	J	K	L	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
JT	Victory National Municipal Bond Fund	None																			None											
JT	Wells Fargo Advantage Short-Term Municipal	\$1 - \$1,000																			\$1 - \$200											
JT	Wells Fargo Advantage Ultra Short Term Mun	\$1,001 - \$15,000																			\$201 - \$1,000											
JT	UBS - Moody A1/BBB (3B13037)	\$15,001 - \$50,000																			\$1,001 - \$2,500											
JT	Rena Money Mkt. Portfolio	\$50,001 - \$100,000																			\$2,501 - \$5,000											
JT	Babcock & Wilcox Co New Com	\$100,001 - \$250,000																			\$5,001 - \$15,000											
JT	Bank Of Amer Corp	\$250,001 - \$500,000																			\$15,001 - \$50,000											
JT	Baxter Intl Inc	\$500,001 - \$1,000,000																			\$50,001 - \$100,000											
JT	Clear Channel Outdoor Holdings Inc Cl A	\$1,000,001 - \$5,000,000																			\$100,001 - \$1,000,000											
JT	Commercial Metals Co	\$5,000,001 - \$25,000,000																			\$1,000,001 - \$5,000,000											
JT	East West Bancorp Inc	Over \$50,000,000																			Over \$5,000,000											
JT	Fushi Copperveid Inc													X																		
JT	Goldman Sachs Group Inc																															
JT	Goodyear Tire & Rubber Co																															
JT	Grace (W.R.) & Co New																															
JT	Hospira Inc																															
JT	Huntsman Corp																															
JT	Isaiah Dow Jones Us Oil & Gas Exploration																															
JT	Isaiah Trust Russell 3000 Value Index Fd																															
JT	Magic Investment Corp Wis																															
JT	Microtron Technology Inc																															
JT	Mpe Office Trust Inc Reli Sbi																															
JT	Mueller Water Products Inc Ser A																															
JT	Navistar Intl Corp New																															
JT	O Charley's Inc																															
JT	Rayonier Inc Reit																															
JT	Redwood Trust Inc																															
JT	Reinsurance Group Amer Inc New																															
JT	Textainer Group Holdings Ltd																															
JT	Utilities Holders Trust																															
JT	Weyerhaeuser Co																															
JT	UBS - Westfield (3B13038)																															
JT	Rena Money Mkt. Portfolio																															
JT	Aetna Inc																															
JT	Air Prod & Chemical Inc																															
JT	Alcon Inc Cnf Unsolicited																															
JT	Amazon Com Inc																															
JT	Apple Inc																															

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B													BLOCK C							BLOCK D											
Asset and/or Income Source		Value of Asset													Type of Income							Amount of Income											
		A	B	C	D	E	F	G	H	I	J	K	L	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000								None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		
JT	Archer Daniels Midland Co			X																	X											P	
JT	Bank Of New York Mellon Corp					X															X											P	
JT	Boeing Company				X																		X									S	
JT	Borg Warner Inc					X											X						X									S	
JT	Cisco Systems Inc					X																										P	
JT	Comcast Corp New CI A				X																		X									P	
JT	Coviden Plc					X								X									X									P	
JT	Csx Corporation					X											X						X									S	
JT	Emc Corporation					X																										S	
JT	Emc Corp Mass					X																										S	
JT	Emerson Electric Co					X											X															S	
JT	Fedex Corp					X											X						X									S	
JT	General Motors Co Unsolicted		X																					X								S	
JT	Genl Electric Co					X								X																		S	
JT	Glend Sciences Inc					X																										S	
JT	Goodrich Corp Unsolicted		X														X								X							S	
JT	Google Inc CI A						X																									P	
JT	Hershey Co Unsolicted			X																													
JT	Hewlett Packard Co					X																											
JT	Honeywell Intl Inc					X											X						X									S	
JT	Illumina Inc					X											X															P	
JT	Intel Corp					X																											
JT	Intl Business Mach					X																											
JT	Las Vegas Sands Corp					X																											S
JT	Manulife Financial Corp Cad					X											X																
JT	Marvell Technology Group Ltd					X																											P
JT	Microsoft Corp					X																											
JT	Nike Inc CI B					X																											S
JT	Oracle Corp					X											X																S
JT	Priceline.Com Inc New					X																											P
JT	Qualcomm Inc					X											X																S
JT	Target Corp Unsolicted		X														X																S
JT	Unitedhealth Group Inc				X																												P
JT	Unid Technologies Corp				X																												
JT	Valero Energy Corp New				X																												P
JT	Viacom Inc New CI B				X																												
JT	Walt Disney Co (Holding Co) Disney Com				X									X											X							S	
JT	Warner Chilcott Plc CI A				X												X																S
JT	Wells Fargo & Co New			X													X																S

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN REVOCABLE TRUST

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Trust
		A	B	C	D	E	F	G	H	I	J	K	L	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
UNIS - JH Rev Trust PMP (3800155)		None																			None											
		\$1 - \$1,000																			\$1 - \$200											
		\$1,001 - \$15,000																			\$201 - \$1,000											
		\$15,001 - \$50,000																			\$1,001 - \$2,500											
		\$50,001 - \$100,000																			\$2,501 - \$5,000											
		\$100,001 - \$250,000																			\$5,001 - \$15,000											
		\$250,001 - \$500,000																			\$15,001 - \$50,000											
		\$500,001 - \$1,000,000																			\$50,001 - \$100,000											
		\$1,000,001 - \$5,000,000																			\$100,001 - \$1,000,000											
		\$5,000,001 - \$25,000,000																			\$1,000,001 - \$5,000,000											
		\$25,000,001 - \$50,000,000																			Over \$5,000,000											
		Over \$50,000,000																														
UBS Select Prime Institutional Fund						X															X											
Alpine Ultra Short Tax Optimized Income Fd No									X																X							P
Calamos Market Neutral Income Fund Class A										X											X											
Eaton Vance Floating Rate High Income Fund																																
iShares Barclays 1-3 Yr CD Bd Fd														X																		
iShares Msci Eafe Index Fund																					X											
iShares Msci Emerging Markets Index Fund														X							X											
iShares Trust Russell 1000 Growth Index Fu																					X											
iShares Trust Russell 1000 Value Index Fun																																
iShares Trust Russell 2000 Growth Index Fd																					X											
iShares Trust Russell 2000 Value Index Fd														X																		
iShares Trust Russell Midcap Growth Index																																
iShares Trust Russell Midcap Value Index F														X																		
Pineco Commodity Real Return Strategy Fund																					X											P
Spot Gold Trust														X																		
Victory National Municipal Bond Fund																																
Wells Fargo Advantage Short-Term Municipal														X																		
Wells Fargo Advantage Ultra Short-Term Muni																																P

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

[illegible]

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

		Type of Transaction		Date	Amount of Transaction										
Asset					B	C	D	E	F	G	H	I	J	K	
		Purchase			\$1,001-\$15,000										
		Sale				\$15,001-\$50,000									
		Exchange													
				(MO/DAY/YR)											
SP	Wells Fargo Advantage Short-Term Municipal	X		2 Transactions	X										
SP	Wells Fargo Advantage Ultra Short Term Muni	X		2 Transactions		X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY & JANE HARMAN COMMUNITY TRUST - MANAGED ACCOUNTS

Asset	Type of Transaction	Date	Amount of Transaction										
			B	C	D	E	F	G	H	I	J	K	
		(MO/DAY/YR)	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	
JT UBS - CP Brokerage Account (3B19031)	Purchase												
JT Federated Municipal Obligations Fund	Sale	2 Transactions	X										
JT Ubs E-Tracs Cncl Energy Ein	Exchange	2/2/2011					X						
JT UBS - CP Munis (3B19036)													
JT Connecticut St Sr B Be/R/		2/16/2011						X					
JT Kentucky Ppy Bldg Rev Fsa Be/R/		1/25/2011					X						
JT Maryland St Sr B Be/R/		2/15/2011							X				
JT St Of Sc Go St A Be/R/		2/11/2011				X							
JT Bay Area Toll Auth Calif Toll Bridge Rev B/E/R/		2/7/2011					X						
JT California St Wtr Res Fsa Be/R/		1/27/2011					X						
JT Fremont Cal Usd Alameda Ser B Fsa B/E /R/		1/28/2011					X						
JT Massachusetts H&E Auth Sr A Rv Be/R/		2/4/2011					X						
JT Massachusetts St Dev Fin B-1 Be/R/		1/27/2011						X					
JT Metropolitan Wtr Dis Sou Ser C Rev Be /R/		2/7/2011						X					
JT Mill Vly Cal Sch Sr A Be/R/		1/27/2011						X					
JT Minnesota St Sr A Be/R/		1/21/2011						X					
JT Montgomery Co Tx Go Be/R/		1/21/2011						X					
JT Pasadena Calif Uni Sch D 1st Fsa B/E /R/		2/4/2011						X					
JT Sacramento Cnty Ca Sauth Fsic Rv Be/R/		2/4/2011						X					
JT Salt Riv Ppy Az Agri&Imp Sr B Rv Be/R/		2/4/2011						X					
JT San Francisco Ca City&Co Sr A Be/R/		2/7/2011					X						
JT St Of Wa Go R-201 Be/R/		1/24/2011						X					
JT UBS - CP Westfield (3B19039)													
JT Aetna Inc Unsolicited		2 Transactions	X										
JT Archer Daniels Midland Co		5 Transactions			X								
JT Bank Of New York Mellon Corp		4 Transactions		X									
JT Cisco Systems Inc Unsolicited		6 Transactions			X								
JT Comcast Corp New Cl A Unsolicited		3 Transactions	X										
JT Hershey Co Unsolicited		2/25/2011											
JT Intel Corp Unsolicited		5 Transactions			X								
JT Microsoft Corp Unsolicited		5 Transactions				X							
JT Priceline.Com Inc New Unsolicited		1/3/2011	X										

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY & JANE HARMAN COMMUNITY TRUST - MANAGED ACCOUNTS

Asset	Type of Transaction	Date	Amount of Transaction										
			B	C	D	E	F	G	H	I	J	K	
		(MO/DAY/YR)	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	
JT Unitedhealth Group Inc Unsolicited	Purchase	10 Transactions					X						
JT Valero Energy Corp New Unsolicited		9 Transactions				X							
JT Alcon Inc Cht Unsolicited		8 Transactions				X							
JT Boeing Company Unsolicited		2 Transactions		X									
JT Borg Warner Inc Unsolicited		1/4/2011	X										
JT Dell Inc Unsolicited		6 Transactions				X							
JT Emerson Electric Co Unsolicited		3 Transactions		X									
JT Fedex Corp Unsolicited		4 Transactions						X					
JT General Motors Co Unsolicited		2 Transactions											
JT Genl Electric Co Unsolicited		1/4/2011	X										
JT Goodrich Corp Unsolicited		8 Transactions			X								
JT Honeywell Intl Inc Unsolicited		1/4/2011	X										
JT Illumina Inc Unsolicited		1/4/2011	X										
JT Manulife Financial Corp Cad		6 Transactions		X									
JT Marvel Technology Group Ltd		1/19/2011	X										
JT Qualcomm Inc Unsolicited		3 Transactions		X									
JT Target Corp Unsolicited		2/18/2011				X							
JT Viacom Inc New Cl B Unsolicited		1/19/2011	X										
JT Walt Disney Co (Holding Co) Disney Com		2 Transactions		X									
JT Warner Chilcott Plc Cl A Unsolicited		1/19/2011	X										
JT Wells Fargo & Co New Unsolicited		1/4/2011	X										
JT <i>UBS - Strategic Advisor (3B19042)</i>													
JT Alpine Ultra Short Tax Optimized Income Fd No		2 Transactions	X										
JT Arrio Global High Income Fund Class A		2/1/2011	X										
JT Northern Funds High Yield Municipal Fund		2 Transactions	X										
JT Victory National Municipal Bond Fund		2/24/2011	X										
JT Wells Fargo Advantage Short-Term Municipal		2 Transactions		X									
JT Wells Fargo Advantage Ultra Short Term Muni		2 Transactions	X										

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset	Type of Transaction			Date	Amount of Transaction										
	Purchase	Sale	Exchange		(MO/DAY/YR)	B	C	D	E	F	G	H	I	J	K
SP	<i>UBS - Strategic Advisor (3800134)</i>														
SP	Artio Global High Income Fund Class A	X			2/1/2011	X									
SP	Calvert Short Duration Income Fund Class A	X			2 Transactions	X									
SP	Eaton Vance Strategic Income Fund Class A	X			2 Transactions	X									
SP	Loomis Sayles Investment Grade Bond	X			2 Transactions		X								
SP	Wells Fargo Advantage Short-Term Municipal	X			2 Transactions	X									
SP	Alpine Ultra Short Tax Optimized Income Fd No	X			1/21/2011		X								
SP	Wells Fargo Advantage Ultra Short Term Muni		X		1/20/2011				X						
SP	<i>UBS - Westfield (3812906)</i>														
SP	Aetna Inc Unsolicited	X			1/18/2011	X									
SP	Archer Daniels Midland Co	X			3 Transactions	X									
SP	Bank Of New York Mellon Corp	X			3 Transactions	X									
SP	Cisco Systems Inc Unsolicited	X			4 Transactions	X									
SP	Comcast Corp New Cl A Unsolicited	X			4 Transactions	X									
SP	Hershey Co Unsolicited	X			2/25/2011	X									
SP	Intel Corp Unsolicited	X			2 Transactions	X									
SP	Microsoft Corp Unsolicited	X			4 Transactions		X								
SP	Priceline.Com Inc New Unsolicited	X			1/3/2011	X									
SP	Unitedhealth Group Inc Unsolicited	X			6 Transactions		X								
SP	Valero Energy Corp New Unsolicited	X			6 Transactions		X								
SP	Alcon Inc Chf Unsolicited	X			5 Transactions		X								
SP	Bank Of New York Mellon Corp	X			1/4/2011	X									
SP	Boeing Company Unsolicited	X			1/14/2011	X									
SP	Covidien Plc Unsolicited	X			1/19/2011	X									
SP	Dell Inc Unsolicited	X			6 Transactions		X								
SP	Emerson Electric Co Unsolicited	X			2 Transactions	X									
SP	Fedex Corp Unsolicited	X			2 Transactions	X									
SP	General Motors Co Unsolicited	X			2/1/2011		X								
SP	Goodrich Corp Unsolicited	X			6 Transactions	X									
SP	Honeywell Intl Inc Unsolicited	X			1/4/2011	X									
SP	Manulife Financial Corp Cad	X			3 Transactions	X									
SP	Qualcomm Inc Unsolicited	X			1/25/2011	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset	Type of Transaction			Date	Amount of Transaction										
	Purchase	Sale	Exchange		(MO/DAY/YR)	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,00	\$25,000,001-\$50,000,0	Over \$50,000,000
SP Target Corp Unsolicited		X		2/18/2011			X								
SP Viacom Inc New Cl B Unsolicited		X		1/19/2011		X									
SP Walt Disney Co (Holding Co) Disney Com		X		2/9/2011		X									
SP Wells Fargo & Co New Unsolicited		X		1/4/2011		X									
SP UBS - Brokerage (3B12908)															
SP Ubs E-Tracs CmcI Energy Etn		X		2/7/11				X							

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

Asset		Type of Transaction			Date	Amount of Transaction										
		Purchase	Sale	Exchange	(MO/DAY/YR)	B	C	D	E	F	G	H	I	J	K	
JT	JP Morgan					\$1,001-\$15,000										
JT	Carrefour SA ADR	X			02/10/11		X									
JT	Vodafone Group PLC ADR		X		02/07/11			X								
JT	UBS - Brokerage Account (3B13033)															
JT	Ubs E-Tracs Cmel Energy Etn		X		2/2/2011					X						
JT	UBS - Munis (W/313034)															
JT	Connecticut St Sr B Be/R/	X			2/16/2011				X							
JT	Kentucky Ppty Bldgs Rev Fsa Be/R/	X			1/25/2011				X							
JT	Maryland St Sr B Be/R/	X			2/15/2011				X							
JT	St Of Sc Go Sr A Be/R/	X			2/11/2011				X							
JT	Bay Area Toll Auth Ca To Rv Be/R/		X		1/21/2011				X							
JT	Calif Dept Wtr Res Cent Sr Ag Rv Be/R/		X		1/24/2011				X							
JT	California St Be /R/		X		2/4/2011			X								
JT	California St Var Gen Ob Npfg B/E /R/		X		2/4/2011			X								
JT	California St Wtr Res Fsa Be/R/		X		1/24/2011				X							
JT	California St Wtr Res Sr Ae Rv Be/R/		X		1/24/2011			X								
JT	East Bay Ca Mud Wstwr Sys Rv Ser B Ambac Be/R/		X		2/1/2011					X						
JT	Fremont Cal Usd Alameda Ser B Fsa B/E /R/		X		1/25/2011				X							
JT	Jea Fl Wtr&Swr Sys Sr D Rv Be/R/		X		2/1/2011					X						
JT	Mass St Go Consol Ln Sr A Be/R/		X		1/12/2011			X								
JT	Massachusetts St Dev Fin B-1 Be/R/		X		1/24/2011				X							
JT	Metropolitan Wtr Dist Rv Be/R/		X		2/1/2011			X								
JT	Railsplitter Tobacco Set Be/R/		X		2/2/2011				X							
JT	San Antonio Tx Elec Gas Sr A Rv Be/R/		X		2/1/2011				X							
JT	San Francisco Ca Ccd Go Ser C Fsa Be/R/		X		1/24/2011			X								
JT	UBS - SA (3B13035)															
JT	Alpine Ultra Short Tax Optimized Income Fd No	X			2 Transactions	X										
JT	Northern Funds High Yield Municipal Fund	X			2/25/2011	X										
JT	Wells Fargo Advantage Short-Term Municipal	X			2 Transactions	X										
JT	UBS - Moody ADR (3B13037)															
JT	Magic Investment Corp Wis Unsolicited	X			2/8/2011	X										
JT	O Charleys Inc Unsolicited	X			2 Transactions	X										

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

		Type of Transaction		Date	Amount of Transaction										
Asset		Transaction			B	C	D	E	F	G	H	I	J	K	
		Purchase	Sale	Exchange	(MO/DAY/YR)	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000
JT	Redwood Trust Inc Unsolicited	X			2/17/2011		X								
JT	East West Bancorp Inc Unsolicited		X		1/26/2011		X								
JT	Micron Technology Inc Unsolicited		X		2/17/2011		X								
JT	UBS - Westfield (3B13038)														
JT	Aetna Inc Unsolicited	X			1/18/2011	X									
JT	Archer Daniels Midland Co	X			3 Transactions		X								
JT	Bank Of New York Mellon Corp	X			3 Transactions	X									
JT	Cisco Systems Inc Unsolicited	X			6 Transactions		X								
JT	Comcast Corp New CI A Unsolicited	X			4 Transactions	X									
JT	Hershey Co Unsolicited	X			2/25/2011	X									
JT	Intel Corp Unsolicited	X			2 Transactions	X									
JT	Microsoft Corp Unsolicited	X			4 Transactions		X								
JT	Priceline Com Inc New Unsolicited	X			1/3/2011	X									
JT	Unitedhealth Group Inc Unsolicited	X			6 Transactions			X							
JT	Valero Energy Corp New Unsolicited	X			8 Transactions		X								
JT	Alcon Inc Chf Unsolicited				8 Transactions		X								
JT	Boeing Company Unsolicited	X			1/14/2011	X									
JT	Borg Warner Inc Unsolicited	X			1/4/2011	X									
JT	Csx Corporation Unsolicited	X			1/18/2011	X									
JT	Dell Inc Unsolicited	X			6 Transactions			X							
JT	Emerson Electric Co Unsolicited	X			2 Transactions	X									
JT	Fedex Corp Unsolicited	X			2 Transactions	X									
JT	General Motors Co Unsolicited	X			2/1/2011		X								
JT	Goodrich Corp Unsolicited	X			7 Transactions		X								
JT	Illumina Inc Unsolicited	X			1/18/2011	X									
JT	Manulife Financial Corp Cad	X			5 Transactions	X									
JT	Oracle Corp Unsolicited	X			1/4/2011	X									
JT	Qualcomm Inc Unsolicited	X			3 Transactions	X									
JT	Target Corp Unsolicited	X			2/18/2011			X							
JT	Walt Disney Co (Holding Co) Disney Com	X			2/9/2011	X									
JT	Warner Chilcott Plc CI A Unsolicited	X			1/18/2011	X									
JT	Wells Fargo & Co New Unsolicited	X			1/4/2011	X									

		Type of Transaction	Date	Amount of Transaction
		Purchase		B \$1,001-\$15,000
		Sale		C \$15,001-\$50,000
		Exchange	(MO/DAY/YR)	D \$50,001-\$100,000
				E \$100,001-\$250,000
				F \$250,001-\$500,000
				G \$500,001-\$1,000,000
				H \$1,000,001-\$5,000,000
				I \$5,000,001-\$25,000,000
				J \$25,000,001-\$50,000,000
				K Over \$50,000,000
UBS - JH Rev Trust PMP (3B00155)				
Alpine Ultra Short Tax Optimized Income Fd No	X		2 Transactions	X
Pimco Commodity Real Return Strategy Fund	X		1/3/11	X
Wells Fargo Advantage Short-Term Municipal	X		2 Transactions	X