



Filing ID #10011145

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Mikhail Oliver Rosenberg
Status: Congressional Candidate
State/District: NY10

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2016
Filing Date: 05/16/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Chase Bank Checking Account		\$1,001 - \$15,000	Interest	None	None
Coldwater Rosenberg LLC ⇒ Diller Mayer Coldwater Company LLC ⇒ 5135 Coldwater Canyon Ave LOCATION: Sherman Oaks, CA, US DESCRIPTION: multi-family housing		\$500,001 - \$1,000,000	Rent	\$5,001 - \$15,000	\$15,001 - \$50,000
Coldwater Rosenberg LLC ⇒ Diller Mayer Coldwater Company LLC ⇒ Simi Masson Ranch LOCATION: Simi Valley, CA, US DESCRIPTION: land		\$50,001 - \$100,000	None		
First Republic Bank Checking Account		\$50,001 - \$100,000	Interest	None	\$1 - \$200
Individual 529 - Carolina Rosenberg ⇒ JPMorgan 529 Aggressive Age-Based Portfolio - C		\$1,001 - \$15,000	Tax-Deferred		
Individual 529 - David Rosenberg ⇒ JPMorgan 529 Moderate Growth Age-Based		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Portfolio - C					
Individual 529 - Enrique Rosenberg ⇒ JPMorgan 529 Moderate Growth Age-Based Portfolio - C		\$1,001 - \$15,000	Tax-Deferred		
Individual 529 - Sophia Rosenberg ⇒ JPMorgan 529 Moderate Growth Age-Based Portfolio - C		\$1,001 - \$15,000	Tax-Deferred		
Individual 529 - Stanley Rosenberg ⇒ JPMorgan 529 Aggressive Age-Based Portfolio - C		\$1,001 - \$15,000	Tax-Deferred		
IRA Account ⇒ Alliance Bernstein High Income Fund Class A		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
IRA Account ⇒ DoubleLine Total Return Bond Fund Class N		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
IRA Account ⇒ Harding Loevner Emerging Markets Portfolio Advisor Class		\$1,001 - \$15,000	Dividends	None	\$1 - \$200
IRA Account ⇒ Metropolitan West Total Return Bond Fund Class M		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
IRA Account ⇒ Oppenheimer & Co Bank Advantage Bank Deposit Program		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
IRA Account ⇒ Oppenheimer SteelPath MLP Alpha Fund Class A		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
IRA Account ⇒ Templeton Global Bond Fund Class A		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
IRA Account ⇒ Tweedy, Browne Global Value Fund		\$1,001 - \$15,000	Capital Gains, Dividends	None	\$201 - \$1,000
IRA Account ⇒ Vanguard 500 Index Fund Admiral Class		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
IRA Account ⇒ Vanguard Dividend Growth Fund Investor Shares		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
IRA Account ⇒ Vanguard Mid-Cap Value Index Fund Admiral Shares		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
IRA Account ⇒ Vanguard REIT Index Fund Admiral Shares		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
Las Palmas Holdings LLC ⇒ 420 Highway 92 LOCATION: Kearney, MO, US DESCRIPTION: Commercial retail property		\$500,001 - \$1,000,000	Rent	None	\$100,001 - \$1,000,000
Loan receivable - Oliver Rosenberg for Congress		\$100,001 - \$250,000	None		
Muirfield Holdings LLC ⇒ Prealth LOCATION: New York, NY, US DESCRIPTION: Internet / mobile app company		\$100,001 - \$250,000	None		
Oliver Rosenberg Trust ⇒ National Bank of California Bank Account		\$50,001 - \$100,000	None		
Oliver Rosenberg Trust ⇒ Wells Fargo Bank Account		\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200
Oppenheimer & Co Brokerage Account ⇒ Alliance Bernstein High Income Fund Class A		None	Dividends	\$1 - \$200	\$201 - \$1,000
Oppenheimer & Co Brokerage Account ⇒ Apple Inc. (AAPL)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
Oppenheimer & Co Brokerage Account ⇒ AT&T Inc. (T)		None	Dividends	\$1 - \$200	\$201 - \$1,000
Oppenheimer & Co Brokerage Account ⇒ Facebook, Inc. - Class A (FB)		\$15,001 - \$50,000	None		
Oppenheimer & Co Brokerage Account ⇒ Microsoft Corporation (MSFT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Oppenheimer & Co Brokerage Account ⇒ Oppenheimer & Co Advantage Bank Deposit Program		\$15,001 - \$50,000	Interest	None	None
Oppenheimer & Co Brokerage Account ⇒ Schwab U.S. Large-Cap ETF		\$100,001 - \$250,000	Dividends	\$1 - \$200	\$201 - \$1,000
Oppenheimer & Co Brokerage Account ⇒ Templeton Global Bond Fund Advisor Class		None	Dividends	\$1 - \$200	\$201 - \$1,000
Roth IRA ⇒ AB High Income Fund Class A		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Roth IRA ⇒ DoubleLine Total Return Bond Fund Class N		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Roth IRA ⇒ Harding Loevner Emerging Markets Portfolio Advisor Class		\$1,001 - \$15,000	Dividends	None	\$1 - \$200
Roth IRA ⇒ Metropolitan West Total Return Bond Fund Class M		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Roth IRA ⇒ Oppenheimer & Co Advantage Bank Deposit Program		\$1 - \$1,000	Interest	None	None
Roth IRA ⇒ Oppenheimer SteelPath MLP Alpha Fund Class A		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Roth IRA ⇒ Templeton Global Bond Fund Class A		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Roth IRA ⇒ Tweedy, Browne Global Value Fund		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
Roth IRA ⇒ U.S. Dollars		\$1 - \$1,000	Interest	None	None
Roth IRA ⇒ Vanguard 500 Index Fund Admiral Class		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Roth IRA ⇒		\$1,001 - \$15,000	Capital Gains,	\$1 - \$200	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Vanguard Dividend Growth Fund Investor Shares			Dividends		
Roth IRA ⇒ Vanguard Mid-Cap Value Index Fund Admiral Shares		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Roth IRA ⇒ Vanguard REIT Index Fund ETF Shares		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
SD Sheryl Brigitte LLC ⇒ Jedamist Inc		\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	\$5,001 - \$15,000
LOCATION: Los Angeles, CA, US DESCRIPTION: medical building leasehold					
SD Sheryl Brigitte LLC ⇒ WDW Joint Venture		\$500,001 - \$1,000,000	Income	\$5,001 - \$15,000	\$5,001 - \$15,000
LOCATION: Downey, CA, US DESCRIPTION: skilled nursing facility					
SEP IRA ⇒ Alliance Bernstein High Income Fund Class A		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
SEP IRA ⇒ DoubleLine Total Return Bond Fund Class N		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
SEP IRA ⇒ Harding Loevner Emerging Markets Portfolio Advisor Class		\$1,001 - \$15,000	Dividends	None	None
SEP IRA ⇒ Metropolitan West Total Return Bond Fund Class M		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
SEP IRA ⇒ Oppenheimer & Co Advantage Bank Deposit Program		\$1 - \$1,000	Interest	None	\$1 - \$200
SEP IRA ⇒ Oppenheimer SteelPath MLP Alpha Fund Class A		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
SEP IRA ⇒ Templeton Global Bond Fund Class A		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
SEP IRA ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Tweedy, Browne Global Value Fund					
SEP IRA ⇒ Vanguard 500 Index Fund Admiral Class		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
SEP IRA ⇒ Vanguard Dividend Growth Fund Investor Shares		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
SEP IRA ⇒ Vanguard Mid-Cap Value Index Fund Admiral Shares		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
SEP IRA ⇒ Vanguard REIT Index Fund Admiral Shares		\$1,001 - \$15,000	Dividends	\$1 - \$200	None

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
The Shabbat Project Inc	Event planning	N/A	\$2,090.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
CEO	Prealth
Manager	Las Palmas Holdings LLC

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Coldwater Rosenberg LLC
LOCATION: US
- Coldwater Rosenberg LLC ⇒ Diller Mayer Coldwater Company LLC
LOCATION: US
- Individual 529 - Carolina Rosenberg
LOCATION: NY
- Individual 529 - David Rosenberg
LOCATION: NY
- Individual 529 - Enrique Rosenberg
LOCATION: NY
- Individual 529 - Sophia Rosenberg
LOCATION: NY
- Individual 529 - Stanley Rosenberg
LOCATION: NY
- IRA Account
- Las Palmas Holdings LLC
LOCATION: US
- Muirfield Holdings LLC
LOCATION: US
- Oliver Rosenberg Trust
- Oppenheimer & Co Brokerage Account
LOCATION: US
- Roth IRA
- SD Sheryl Brigitte LLC
LOCATION: US
- SEP IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mikhail Oliver Rosenberg , 05/16/2016