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₹	Yes	sactions, or liabilities of a spouse or dependent child because with the Committee on Ethics.	I" income, trans	EXEMPTION —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee or
Š X	Yes	d certain other "excepted trusts" need not be disid?	on Ethics and dependent chil	TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
,	NSWER EACH OF THESE QUESTIONS	 	T INFORM	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION
the)nse.	must be answered and ed for each "Yes" respo	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	8 □	V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.
No.	arrangement with	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	N _S	IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.
N _o	or before the date	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	No	income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.
	d receive any n the reporting Yes X	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	ĕ ⊠	II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.
¥ ⊠	receive any regating more Yes	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Z _o	I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes Yes
		E QUESTIONS	OF THES	PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTION
assessed nore than	A \$200 penalty shall be assessed against anyone who files more than 30 days late.	or Employing Office:	Officer or Employee	Filer Status Member of the U.S. State: Vo. Status House of Representatives District: OH Report Member of the U.S. State: Vo. House of Representatives District: OH Amendment
HC	2012 AUG -7 AM 9: 09	Daytime Telephone: 2c2、225~6365	Daytime T	Name: James Randy Farbes, Sr.
Page 1 of 92 ERED	HAND DELIVERED	Form A For use by Members, officers, and employees	MENT	UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

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Name James Randy Forbes Sr. Page 2

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Solling	The second secon	Amount
	Approved Teaching Eng	90 000
	Legislative Pension	\$9,000
Examples: Civil War Roundtable (Oct. 2nd)	Spouse Speech	\$1,000
Ontario County Board of Education	Spouse Salary	NA
Virginia Consultants LLC	Spouse Sulary	NA

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SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

tope for transmitting the list is included in each Member's filling package.			
Source	Activity	Date	Amount
Association of American Associations, Washington, DC	Speech	Feb. 2, 2011	\$2,000
	Ailce	Aug. 13, 2011	\$500
NIA			77.
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	13 15 15 15 15 15 15 15 15 15 15 15 15 15	5 5 5 5 1 1	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
			20000

BLOCK A

Asset and/or Income Source

more than \$200 in "unearned" income during the year. the end of the reporting period, and (b) any other Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at reportable asset or sources of income which generated

not use ticker symbols.) Provide complete names of stocks and mutual funds (do

value at the end of the reporting period. the name of the institution holding the account and ment accounts which are not self-directed, provide only account that exceeds the reporting thresholds. For retireinvestments), provide the value for each asset held in the the power, even if not exercised, to select the specific plans) that are self-directed (i.e., plans in which you have For all IRAs and other retirement plans (such as 401(k)

For rental or other real property held for investment, provide a complete address.

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If only a

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tion in Block A. that is not publicly traded, state the name of the business, the nature of its activities, and its geographic loca-For an ownership interest in a privately-held business

Savings Plan. from, a federal retirement program, including the Thrift ing \$5,000 or less in a personal checking or saving income during the reporting period); any deposits totalhomes and vacation homes (unless there was rental Exclude: Your personal residence, including second accounts; and any financial interest in, or income derived

income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. If you so choose, you may indicate that an asset or None

1 - 1,000

\$1,001 - \$15,000

\$15,001 - \$50,000

\$50,001 - \$100,000

\$100,001 - \$250,000

\$250,001 - \$500,000

\$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000

\$5,000,001 **–** \$25,000,000

\$25,000,001 - \$50,000,000

EXCEPTED/BLIND TRUST

(Specify: e.g., Partnership Income or Farm Income)

or exam-See below S) (partial follows: indicate as sold, please an asset is

Over \$50,000,000

NONE

RENT

None

\$1 - \$200

\$201 - \$1,000

\$1,001 -- \$2,500

\$2,501 - \$5,000

\$5,001 - \$15,000

\$15,001 - \$50,000

\$50,001 - \$100,000

Over \$5,000,000

\$100,001 - \$1,000,000

\$1,000,001 - \$5,000,000

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DIVIDENDS

INTEREST

CAPITAL GAINS

TAX-DEFERRED

Other Type of Income

please refer to the instruction booklet For a detailed discussion of Schedule III requirements

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Examples.

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Mega Corp. Stock Simon & Schuster

1st Bank of Paducah, KY Accounts

Indefinite

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Royalties

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(partial)

Dalo

Value of Asset **BLOCK B**

please specify the method used method other than fair market value reporting year. If you use a valuation Indicate value of asset at close 으

generated income, the value should be year and is included only because it If an asset was sold during the reporting "None."

Type of Income

Dividends, interest, and capital may check the "Tax-Deferred" column. Check all columns that apply. that generate tax-deferred income (such as 401(k) plans or IRAs), you you to choose specific investments <u>or</u> retirement accounts that do not allow

BLOCK C

if the asset generated no income durdisclosed as income. Check "None" gains, even if reinvested, must be earned or generated interest, and capital gains, even the appropriate box below. Dividends, cate the category of income by checking "None" column. For all other assets, indireinvested, must be disclosed as Deferred" in Block C, you may check the income. Check "None" if no income was

year.

BLOCK D

For assets for which you checked "Tax-Amount of Income

\$1,000 in reporting or exchanges asset had (E) exceeding (P), sales (S) purchases Indicate if the ransaction BLOCK E

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SCHEDULE III—ASSETS AND "UNEARNED" INCOME Continuation Sheet (if needed)

Name

Page 5 of 9

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SCHEDULE IV— TRANSACTIONS

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												SP	SP, DC, JT	cate (i.e. Capital (of \$200, III.	resulted i	Report a or depen erty held	
											N)A	Example: Mega Corpo	Asset	ates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below. Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.	resulted in a capital loss. Provide a brief description of any exchange trans- action. Exclude transactions between you, your spouse or dependent chil- dren or the nurchase or sale of your personal residence unless it cener-	Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that	
														PURCHASE		of Tr	
												×		SALE		Type of Transaction	
														EXCHANGE		tion	
														Check Box if Gain Exceeds	Capital ed \$200)	
												10-12-11		Quarterly, Monthly, or Bi-weekly, if applicable	(MO/DAY/YR)	Date	
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SCHEDULE V— LIABILITIES

Name James Randy Forbes & Page Z of 9

business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. **NOTE:** Pending legislation may require Members to report Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a

SP, DC, Creditor Creditor Liability Incurred MoC, JT Creditor Creditor Movear JT May 1998 Example: First Bank of Wilmington, DE May 1998 Southust Bank of Wilmington, DE May 1998 Southust Bank of Wilmington, DE May 1998 July 199	
Example: First Bank of Wilmington, DE May 199 Bank of Wilmington, DE May 199 Southust Bank of Wilmington, DE 11/09 Wells Farge 1/07 Wells Farge Fivancial 1/109 DULE VI— GIFTS Cong, Fed Creatity Winner DULE VI— GIFTS Cong, Fed Creatity Winner	
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May 199	\dashv
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\$25,000,001- \$50,000,000	
Over \$50,000,000	

Report the source, a brief description, and the value of all gifts totalling more than \$350 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	\$375
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/	Forbes
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SCHEDULE VII— TRAVEL PAYMENTS AND REIMBURSEMENTS

or were paid by you and reimbursed by the sponsor. you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$350 received by

spouse or dependent child that is totally independent of his or her relationship to you Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a

Source	Date(s)	1	Lodaina?			Number of days not
		City of Return	(Y/N)	(Y/N)	Member Included? (Y/N)	at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	z	Z	Z	None
Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Υ	2 Days
AMERICAN ISAS EDINATION AREC, NOV. 4 -	100. y -	OC-Israel - OC	γ	Y	Y	STOWN
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SCHEDULE VIII—POSITIONS

Name James Randy Fortes Ss. Page 7

organization, or any educational or other institution other than the United States. proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner,

tions); and positions solely of an honorary nature. Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organiza-

_	 	 		
			NA	Position
				Name of Organization
			9 9 9 9 9 9 9 9	

SCHEDULE IX—AGREEMENTS

employee welfare or benefit plan maintained by a former employer. government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of

	Date	Parties To	Terms of Agreement
_		NA	
_			