

UNITED STATES HOUSE OF REPRESENTATIVES

Periodic Transaction Report

**HAND
DELIVERED** 1 of 1

LEGISLATIVE RESOURCE CENTER

NAME:

Carolyn McCarthy

OFFICE TELEPHONE:

202-225-5516

2014 FEB -3 AM 11:18

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

☒ Member of the U.S. House of Representatives

State: New York District: 04

☐ Officer or Employee
Employing Office: _____

File an original and 2 copies.

File an original and 1 copy.

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending.

☒ Initial Report ☐ Amendment

Did you purchase any shares that were allocated as a part of an Initial Public Offering? ☐ YES ☒ NO

Date of Report being Amended: _____

(For Official Use Only)

FULL ASSET NAME	TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION									
	PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J
JT SP DC	Provide full name, not ticker symbol.			(MM/DD/YY)	(MM/DD/YY)	\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000
JT	Example: Mega Corp. Common Stock	X		8/14/12	8/14/12		X								
	Neuberger Berman Real Estate	X		12/09/13		X									
	Blackrock NY Muni Inc Trust	X		12/09/13			X								
	Covidien PLC	X		01/23/14		X									
	Malinckrodt Public LTD	X		01/23/14		X									
	Qualcomm Inc.	X		01/23/14		X									

(This page will be publicly disclosed.)