# UNITED STATES HOUSE OF REPRESENTATIVES

**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

**FORM A**



For use by Members, officers, and employees

MAY 16 2011

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(Office Use Only)

ROBERT C. SCOTT (Full Name)

(757) 380-1000 (Daytime Telephone)

| | | | |
|---|---|---|---|
| **Filer Status** | ☑ Member of the U.S. House of Representatives — State: VA — District: 3RD | ☐ Officer Or Employee — Employing Office: | **A $200 penalty shall be assessed against anyone who files more than 30 days late.** |
| **Report Type** | ☑ Annual (May 15) — ☐ Amendment | ☐ Termination — Termination Date: | |

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | Yes | No |
|---|---|---|---|
| I. | Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** | ☐ | ☑ |
| II. | Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** | ☐ | ☑ |
| III. | Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** | ☑ | ☐ |
| IV. | Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** | ☑ | ☐ |
| V. | Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** | ☑ | ☐ |
| VI. | Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** | ☐ | ☑ |
| VII. | Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? **If yes, complete and attach Schedule VII.** | ☐ | ☑ |
| VIII. | Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** | ☑ | ☐ |
| IX. | Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** | ☐ | ☑ |

**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | Yes | No |
|---|---|---|---|
| **Trusts-** | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | ☐ | ☑ |
| **Exemptions--** | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | ☐ | ☑ |

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME



| | BLOCK A<br>**Asset and/or Income Source**<br>Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year.<br><br>Provide complete names of stocks and mutual funds (do not use ticker symbols.)<br><br>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.<br><br>For rental or other real property held for investment, provide a complete address.<br><br>For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.<br><br>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or | BLOCK B<br>**Year-End Value of Asset**<br>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None." | BLOCK C<br>**Type of Income**<br>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period. | BLOCK D<br>**Amount of Income**<br>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. | BLOCK E<br>**Transaction**<br>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year. |
|---|---|---|---|---|---|
| | AMCAP FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | AMERICAN BALANCED FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | AMERICAN CAPITAL WORLD GROWTH & INCOME FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | AMERICAN EUROPACIFIC GROWTH FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | AMERICAN FUNDAMENTAL INVS FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | AMERICAN GROWTH FD | $1,001 - $15,000 | None | NONE | |

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT C. SCOTT



| | | | | | |
|---|---|---|---|---|---|
| | AMERICAN NEW ECONOMY FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | AMERICAN SMALL CAP WORLD FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | APPLE STOCK | $1,001 - $15,000 | None | NONE | |
| | ASTON/MONTAG & CARDWELL GROWTH FD | None | CAPITAL GAINS | $201 - $1,000 | S |
| | AUTOMATIC DATA PROCESSING STOCK | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | AVERY DENNISON STOCK | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | BERKSHIRE HATHAWAY CL B STOCK | $15,001 - $50,000 | None | NONE | |
| | BLACKROCK TOTAL RETURN FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | BRIDGEWAY BLUE CHIP 35 IND FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | CAMPBELL SOUP STOCK | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | CAPITAL INCOME BUILDER CL A | $1,001 - $15,000 | DIVIDENDS | $201 - $1,000 | |
| | CLOGATE-PALMOLIVE STOCK | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | CRM MID CAP VALUE FD | $1 - $1,000 | CAPITAL GAINS | $201 - $1,000 | S |
| | DODGE & COX STOCK FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |

| | | | | | |
|---|---|---|---|---|---|
| | DOMINI EURO SOCIAL EQUITY INVESTOR FD | None | DIVIDENDS/CAPITAL GAINS | $201 - $1,000 | S |
| | DOMINI INTL SOCIAL EQUITY FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | P |
| | DOMINI SOCIAL EQUITY FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | EDGAR LOMAX VALUE FD | None | CAPITAL GAINS | $201 - $1,000 | S |
| | FIDELITY SELECT PHARMACEUTICAL FD | None | CAPITAL GAINS | $201 - $1,000 | S |
| | GOOGLE STOCK | $1,001 - $15,000 | None | NONE | |
| | HARBOR CAPITAL APPRECIATION FD | $15,001 - $50,000 | DIVIDENDS | $1 - $200 | |
| | HARBOR GLOBAL VALUE FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | HARBOR INTL FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | S(part) |
| | HEINZ (H.J.) STOCK | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | INCOME FUND OF AMERICA | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | JENSEN PORTFOLIO FD | None | DIVIDENDS/CAPITAL GAINS | $201 - $1,000 | S |
| | JPMORGAN CORE BOND FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | L-3 COMMUNICATIONS STOCK | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT C. SCOTT



| | | | | | |
|---|---|---|---|---|---|
| | LEGG MASON EMERGING MARKETS TRUST FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | LEGG MASON GROWTH TRUST FD | $1,001 - $15,000 | None | NONE | |
| | LEGG MASON SPECIAL INV TRUST | $1,001 - $15,000 | None | NONE | |
| | MAIRS & POWERS GROWTH FD | $1,001 - $15,000 | DIVIDENDS/CAPITAL GAINS | $201 - $1,000 | S(part) |
| | MASS MUTUAL AMERICAN CENTURY INCOME & GROWTH FD | $1,001 - $15,000 | None | NONE | |
| | MASS MUTUAL FIDELITY VIP CONTRAFUND | $1,001 - $15,000 | None | NONE | |
| | MASS MUTUAL OPPENHEIMER CORE BOND FD | $1,001 - $15,000 | None | NONE | |
| | MASS MUTUAL OPPENHEIMER INTL GROWTH FD | $1,001 - $15,000 | None | NONE | |
| | MASS MUTUAL OPPENHEIMER MAIN STREET G&I FD | $1,001 - $15,000 | None | NONE | |
| | MASS MUTUAL PANORAMA GROWTH FD | $1,001 - $15,000 | None | NONE | |
| | MASS MUTUAL PANORAMA TOTAL RETURN FD | $1,001 - $15,000 | None | NONE | |
| | MASS MUTUAL T. ROWE PRICE MID CAP GROWTH FD | $1,001 - $15,000 | None | NONE | |
| | METROPOLITAN WEST TOTAL RETURN BD FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT C. SCOTT



| | | | | | |
|---|---|---|---|---|---|
| | NASDAQ PREMIUM INCOME & GROWTH FD | $1,001 - $15,000 | DIVIDENDS/CAPITAL GAINS | $1,001 - $2,500 | S(part) |
| | NORTHEAST INVESTORS GROWTH FD | $15,001 - $50,000 | None | NONE | |
| | NORTHEAST INVESTORS TRUST FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | PS(part) |
| | PAYCHEX STOCK | $1,001 - $15,000 | DIVIDENDS | $201 - $1,000 | |
| | PIMCO PAC INVT DIVERSIFIED INC FD | $1,001 - $15,000 | None | NONE | |
| | PRAXAIR STOCK | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | PROCTOR & GAMBLE STOCK | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | RANIER MID CAP EQUITY PORTFOLIO FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | P |
| | SSGA EMERGING MARKETS FD | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | STRATTON SMALL CAP VALUE FD | None | CAPITAL GAINS | $201 - $1,000 | S |
| | SYSCO STOCK | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | T ROWE PRICE BLUE CHIP GROWTH FD | $1,001 - $15,000 | None | NONE | |
| | VANGUARD DIVIDEND APPRECIATION FD | $1,001 - $15,000 | None | NONE | P |
| | VANGUARD TOTAL STOCK MARKET EFT | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT C. SCOTT 

| | | | | | |
|---|---|---|---|---|---|
| | YUM BRANDS STOCK | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |

# SCHEDULE IV - TRANSACTIONS

Name ROBERT C. SCOTT



**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.**

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of $200? | Date | Amount of Transaction |
|---|---|---|---|---|---|
| | ADVENT/ CLAYMORE ENHANCED GROWTH & INCOME FD | S | Yes | 09/08/10 | $1,001 - $15,000 |
| | ARTIO INTL EQUITY FD (FORMERLY JULIUS BAER INTL EQUITY FD) | S | No | 09/13/10 | $1,001 - $15,000 |
| | ASTON/MONTAG & CARDWELL GROWTH FD | S | Yes | 09/09/10 | $1,001 - $15,000 |
| | BLACKROCK LARGE CAP CORE FD | S | No | 08-09-10 | $1,001 - $15,000 |
| | CRM MID CAP VALUE FD | S(part) | Yes | 09/13/10 | $1,001 - $15,000 |
| | DODGE & COX INCOME FUND | S | No | 08-09-10 | $1,001 - $15,000 |
| | DOMINI EUROPEAN SOCIAL EQUITY FD | S | Yes | 03-19-10 | $1,001 - $15,000 |
| | DOMINI INTL SOCIAL EQ INV FD | P | N/A | 03-19-10 | $1,001 - $15,000 |
| | EDGAR LOMAX VALUE FD | S | Yes | 06-28-10 09-08-10 | $1,001 - $15,000 |
| | FIDELITY SELECT PHARMACEUTICAL FD | S | Yes | 06-26-10 | $1,001 - $15,000 |
| | HARBOR INTL FD | S | No | 09-13-10 | $1,001 - $15,000 |

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| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of $200? | Date | Amount of Transaction |
|---|---|---|---|---|---|
| | HOTCHKIS & WILEY CORE VALUE FD | S | No | 09-13-10 | $1,001 - $15,000 |
| | JANUS FLEXIBLE BOND FD | S | No | 09-13-10 08-09-10 | $1,001 - $15,000 |
| | JENSEN PORTFOLIO | S | Yes | 09-13-10 | $1,001 - $15,000 |
| | LAZARD EMERGING MARKETS EQUITY FD | S | No | 09-13-10 08-09-10 | $1,001 - $15,000 |
| | LIBERTY ALL-STAR EQUITY FD | S | No | 06-01-10 | $1,001 - $15,000 |
| | MAIRS & POWERS GROWTH FD | S(part) | Yes | 07-27-10 | $1,001 - $15,000 |
| | MFS VALUE FD | S | No | 09-13-10 | $1,001 - $15,000 |
| | NASDAQ PREMIUM INCOME & GROWTH FD | S(part) | Yes | 09-08-10 | $1,001 - $15,000 |
| | NORTHEAST INVESTORS TRUST FD | P | N/A | MONTHLY | $1,001 - $15,000 |
| | NORTHEAST INVESTORS TRUST FD | S(part) | No | 10-13-10 | $1,001 - $15,000 |
| | OPPENHEIMER INTL GROWTH FD | S | No | 09-13-10 | $1,001 - $15,000 |

# SCHEDULE IV - TRANSACTIONS

Name ROBERT C. SCOTT



**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.**

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of $200? | Date | Amount of Transaction |
|---|---|---|---|---|---|
| | PIMCO PAC INVT MGMT SER TOTAL RETURN FD | S | No | 09-13-10 | $1,001 - $15,000 |
| | PIONEER FUND | S | No | 09-13-10 | $1,001 - $15,000 |
| | PIONEER FUND | P | N/A | 08-09-10 08-12-10 | $1,001 - $15,000 |
| | RANIER MID CAP EQUITY PORTFOLIO FD | P | N/A | 09-03-10 08-09-10 | $1,001 - $15,000 |
| | RANIER MID CAP EQUITY PORTFOLIO FD | S | No | 09-13-10 | $1,001 - $15,000 |
| - | SELECTED AMERICAN SHARES FD | S | No | 09-09-10 | $1,001 - $15,000 |
| | STRATTON SMALL CAP VALUE FD | S | Yes | 09-13-10 | $1,001 - $15,000 |
| | TCW GALILEO DIVERSIFIED VALUE FD | S | No | 08-09-10 | $1,001 - $15,000 |
| | TOUCHSTONE SANDS CAP INTL GROWTH FD | S | No | 08-09-10 09-13-10 | $1,001 - $15,000 |

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| | | | | | |
|---|---|---|---|---|---|
| | VANGUARD DIVIDEND APPRECIATION FD | P | N/A | 09-21-10 10-07-10 | $1,001 - $15,000 |

# SCHEDULE IV - TRANSACTIONS

Name ROBERT C. SCOTT



**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.**

| SP, DC, JT | Asset | Type of Transaction | Capital Gain in Excess of $200? | Date | Amount of Transaction |
|---|---|---|---|---|---|
| | VANGUARD TOTAL STOCK MARKET ETF | S | No | 07-26-10 | $1,001 - $15,000 |
| | WELLS FARGO ADVANTAGE ENDEAVOR SELECT FD | S | No | 09-13-10 | $1,001 - $15,000 |

## SCHEDULE V - LIABILITIES

Name ROBERT C. SCOTT



**Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.**

| SP, DC, JT | Creditor | Date Liability Incurred | Type of Liability | Amount of Liability |
|---|---|---|---|---|
| | CONGRESSIONAL FEDERAL CREDIT UNION | | CREDIT CARD | $15,001 - $50,000 |
| | WACHOVIA BROKERAGE | | MARGIN BALANCE | $15,001 - $50,000 |
| | CITIBANK | | CREDIT CARD | $15,001 - $50,000 |
| | CHASE BANK | | CREDIT CARD | $100,001 - $250,000 |
| | BANK OF AMERICA | | CREDIT CARD | $15,001 - $50,000 |

# SCHEDULE VIII - POSITIONS

Name ROBERT C. SCOTT



**Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.**

| Position | Name of Organization |
|---|---|
| BOARD MEMBER | HAMPTON ROADS MARCH OF DIMES |

Addendum to Calendar Year 2010 Financial Disclosure Statement for Robert C. Scott

1. I am a member of an investment club, the Peninsula Profit Pros, and my share is between $15,000.00 and $50,000.00; however, only six stocks in the club's portfolio which are listed in Section III are reportable items: Apple, Berkshire Hathaway Cl B, Colgate-Palmolive, Google, L-3 Communications, and Sysco.

2. The following reportable securities which appear in Schedule III were acquired by me through inheritance: Blackrock Total Return Fd, Dodge & Cox Stock Fd, Metropolitan West Total Return Fd, JPMorgan Core Bond Fd, Pimco Pac Invt Mgmt Ser Total Return Fd, Pimco Pac Invt Diversified Inc Fd, and T Rowe Price Blue Chip Fd.

3. CRM Mid Cap Value Fund was sold in its entirety on 09-13-10, the security reaching a reportable level through increase in value; however, a non-reportable amount of the security was obtained through inheritance in #2 above after the sale.

Signature of Reporting Individual

5/16/2011
Date