

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

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For use by Members, officers, and employees

**HAND
DELIVERED**

Sean Patrick Maloney

(Full Name)

(Daytime Telephone)

(Office Use Only)

2013 MAY 15 AM 9:41

MC

Filer Status ☒ Member of the U.S. House of Representative

State: NY District: 18

☐ Officer Or Employee

Employing Office:

Report Type ☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Orrick, Herrington & Sutcliffe	Salary/Partnership Income	\$152,000 (tn)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source	Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>	<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below.</p> <p>Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
1214 10th Avenue, Neptune NJ	None	None	NONE	S
1441 Lincoln Road, Miami Beach, FL	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	
283 E. Hill Road, Jeffersonville, NY	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Bridge loan to Mr. S. Foster, purchaser of personal residence (loan paid in full)	None	INTEREST	\$2,501 - \$5,000	Other
Capital account, Orrick, Herrington and Sutcliffe (tn)	\$250,001 - \$500,000	INTEREST	\$2,501 - \$5,000	
Citibank accts.	\$15,001 - \$50,000	INTEREST	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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James F. and Joan D. Maloney Trust (fn)	\$500,001 - \$1,000,000	EXCEPTED TRUST	NONE		
Orrick, Herrington & Sutcliffe 401(k) Plan Metropolitan West High Yield	\$1,001 - \$15,000	TAX-DEFERRED	NONE		
Orrick, Herrington & Sutcliffe 401(k) Plan, Doubleline Total Return Fund	\$1,001 - \$15,000	TAX-DEFERRED	NONE		
Orrick, Herrington & Sutcliffe 401(k) Plan, Invesco Equal Weight S&P 500 Index	\$1,001 - \$15,000	TAX-DEFERRED	NONE		
Orrick, Herrington & Sutcliffe 401(k) Plan, PIMCO Emerging Local Bonds	\$1,001 - \$15,000	TAX-DEFERRED	NONE		
Orrick, Herrington & Sutcliffe 401(k) Plan, PIMCO Total Return Fund	\$1,001 - \$15,000	TAX-DEFERRED	NONE		
Orrick, Herrington & Sutcliffe 401(k) Plan, Schwab S&P 500 Select Fund	\$1,001 - \$15,000	TAX-DEFERRED	NONE		
Orrick, Herrington & Sutcliffe 401(k) Plan, Vanguard Emerging Mkt Stock Index	\$1,001 - \$15,000	TAX-DEFERRED	NONE		
Orrick, Herrington & Sutcliffe 401(k) Plan, Vanguard Midcap Index	\$1,001 - \$15,000	TAX-DEFERRED	NONE		
Orrick, Herrington & Sutcliffe 401(k) Plan, Vanguard Total Bond Index	\$1,001 - \$15,000	TAX-DEFERRED	NONE		
Orrick, Herrington & Sutcliffe 401(k) Plan, Western Asset Core Plus Bond Fund	\$1,001 - \$15,000	TAX-DEFERRED	NONE		

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name		Sean Patrick Maloney		Page 5 of 9	
Orrick, Herrington & Sutcliffe Partners' Defined Benefit Pension Plan (fn)	\$50,001 - \$100,000	TAX-DEFERRED	NONE		
Orrick, Herrington & Sutcliffe 401(k) Plan, Double Line Emerging Mkts Fixed Income	\$1,001 - \$15,000	TAX-DEFERRED	NONE		

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
 Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.
 * This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	1214 10th Avenue, Neptune NJ	S	No	4-4-12	\$100,001 - \$250,000

SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	Sun Trust Bank	Mar 2005	Mortgage on 1441 Lincoln, Miami Beach	\$100,001 - \$250,000
	PNC Bank	Aug 2005	Mortgage on 1214 10th Ave, Neptune, NJ (zero balance as of sale of property)	\$100,001 - \$250,000
	Suntrust Bank	Jul 2006	Mortgage on 283 E. Hill Rd, Jefferson, NY	\$15,001 - \$50,000
	Citibank	Jun 2011	Law firm capital loan (zero balance as of early 2013)	\$100,001 - \$250,000
	Citibank	Nov 2010	Line of Credit (secured by personal residence)	\$100,001 - \$250,000
	Citibank Master Card	Revolving	Credit card	\$15,001 - \$50,000
	American Express	Revolving	AMEX card	\$15,001 - \$50,000
	Citibank	Aug 2009	Line of Credit (secured by personal residence)	\$50,001 - \$100,000

SCHEDULE VIII - POSITIONS

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner (resigned as of end of 2012)	Orrick, Herrington & Sutcliffe LLP
Director (resigned approximately November 2012)	Constitutional Accountability Center

FOOTNOTES

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Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Return of capital received in January 2013.	Capital acct, Orrick, Herrington & Sutcliffe
2	Schedule III	Trust established by parents for benefit of me and four brothers. Waived receipt of income for 2012. Value reported on candidate filing should have been between \$500,000 and \$1m.	James F. and Joan D. Maloney Trust
3	Schedule III	Non-self directed. Liquidated January 13, 2013.	Orrick Partners' Defined Benefit Pension Plan
4	Schedule III	Liquidated January 13, 2013.	Orrick 401(k) Plan
5	Schedule I	Salary for first four months of 2012 reported on candidate filing should have been \$122,000.	Orrick, Herrington & Sutcliffe