



Filing ID #10021676

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Michael E. Capuano  
**Status:** Member  
**State/District:** MA07

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2017  
**Filing Date:** 05/14/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Central Street, Somerville, MA - though rent 27% of home, JT this amt is 100% of asset value [RP]  LOCATION: Somerville, MA, US	JT	\$500,001 - \$1,000,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
Congressional FCU [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
CT&M, LLC [OL]  LOCATION: Somerville, MA, US DESCRIPTION: Family Partnership that owns rental property	SP	\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
East Cambridge Savings Bank [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Fidelity - IRA Rollover ⇒ American Balanced Cl A [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity - IRA Rollover ⇒ American Century One Choice 2025 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity - IRA Rollover ⇒ Ariel Appreciation [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity - IRA Rollover ⇒ Contra Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity - IRA Rollover ⇒ Export & Multinational [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity - IRA Rollover ⇒ Family Value [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity - IRA Rollover ⇒ Fidelity Asset Manager [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity - IRA Rollover ⇒ Fidelity Balanced [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity - IRA Rollover ⇒ Fidelity Cash Reserves [MF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Fidelity - IRA Rollover ⇒ Fidelity GNMA Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity - IRA Rollover ⇒ Fidelity Low Priced Stk [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity - IRA Rollover ⇒ Fidelity Puritan [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity - IRA Rollover ⇒ Fidelity Select Biotech [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity - IRA Rollover ⇒ FPA Crescent Inst'l [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Fidelity - IRA Rollover ⇒ Int'l Discovery [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity - IRA Rollover ⇒ Invesco Growth & Income Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity - IRA Rollover ⇒ Pax World Small Cap Class A [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity - IRA Rollover ⇒ Royce Total Return FD Investment CL [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity - IRA Rollover ⇒ Select Nat'l Gas [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity - IRA Rollover ⇒ T Rowe Price Cap Appreciation [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity - IRA Traditional ⇒ Export & Multinational [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity - IRA Traditional ⇒ Fidelity Cash Reserves [MF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Fidelity - IRA Traditional ⇒ Fidelity Puritan [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
High Street Realty Trust [OT]	JT	\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Realty Trust					
Nationwide Retirement ⇒ Fidelity Contra Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Nationwide Retirement ⇒ NW Intl Val Instr Svc [MF]		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Nationwide Retirement ⇒ NW Lg Cap Growth [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Bank Life Insur (SBLI) [OT]		\$250,001 - \$500,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Term Life Insurance					
Somerville School Employees Federal Credit Union [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
TD Ameritrade 401K ⇒ American Century Equity [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
TD Ameritrade 401K ⇒ Baird Core Plus Bd Inv [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TD Ameritrade 401K ⇒ Baron Small Cap [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TD Ameritrade 401K ⇒ BMO Intermediate Tax Free [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TD Ameritrade 401K ⇒ Fidelity Low Priced St Fd [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TD Ameritrade 401K ⇒ First Eagle Fund of America [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
TD Ameritrade 401K ⇒ Harding Loevner Intl Sm [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TD Ameritrade 401K ⇒ Insured Deposit Acct (IDA) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
TD Ameritrade 401K ⇒ Metropolitan West Total [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TD Ameritrade 401K ⇒ PIMCO Total Return [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TD Ameritrade 401K ⇒ Principal Mid Cap Blend A [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TD Ameritrade 401K ⇒ Schwab Health Care Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
TD Ameritrade 401K ⇒ Schwab S&P 500 Index Fund [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
TD Ameritrade 401K ⇒ Schwab Total Stock Market Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TD Ameritrade 401K ⇒ T Rowe Price Retire 2020 Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
TD Ameritrade 401K ⇒ Vanguard Target Retire 2020 Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Winter Hill Bank [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity - IRA Rollover ⇒ Family Value [MF]	SP	12/4/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity - IRA Rollover ⇒ Fidelity American Balanced [MF]	SP	12/19/2017	P	\$15,001 - \$50,000	
Fidelity - IRA Rollover ⇒ Fidelity Asset Manager [MF]	SP	12/18/2017	P	\$15,001 - \$50,000	
Fidelity - IRA Rollover ⇒ Fidelity Balanced [MF]	SP	12/4/2017	P	\$1,001 - \$15,000	
Fidelity - IRA Rollover ⇒ Fidelity Contra Fund [MF]	SP	12/4/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity - IRA Rollover ⇒ Fidelity Export & Multinational [MF]	SP	12/4/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity - IRA Rollover ⇒ Fidelity Int'l Discovery [MF]	SP	12/4/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity - IRA Rollover ⇒ Fidelity Puritan [MF]	SP	12/18/2017	P	\$15,001 - \$50,000	
Fidelity - IRA Rollover ⇒ Fidelity Select Biotech [MF]	SP	12/4/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity - IRA Rollover ⇒ Fidelity Select Nat'l Gas [MF]	SP	12/4/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity - IRA Rollover ⇒ Pax World Small Cap Class A [MF]	SP	12/4/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity - IRA Traditional ⇒ Export & Multinational [MF]	SP	12/4/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity - IRA Traditional ⇒ Fidelity Puritan [MF]	SP	12/18/2017	P	\$1,001 - \$15,000	
TD Ameritrade 401K ⇒ American Century Equity [MF]	SP	12/1/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TD Ameritrade 401K ⇒ First Eagle Fund of America [MF]	SP	12/1/2017	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
TD Ameritrade 401K ⇒ Insured Deposit Acct (IDA) [MF]	SP	12/4/2017	P	\$15,001 - \$50,000	
TD Ameritrade 401K ⇒ Schwab Health Care Fund [MF]	SP	12/4/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade 401K ⇒ T Rowe Price Retire 2020 Fund [MF]	SP	12/1/2017	P	\$15,001 - \$50,000	
TD Ameritrade 401K ⇒ Vanguard Target Retire 2020 Fund [MF]	SP	12/15/2017	P	\$15,001 - \$50,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Raphael and Raphael, LLP	Spouse Salary	N/A
YNZ, LLC	Spouse Salary	N/A
CT&M, LLC	Spouse Salary	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Century Bank	Sept 2010	Mortgage on High Street, Somerville, MA	\$250,001 - \$500,000
SP	Winter Hill Bank	June 1999	Mortgage on CT&M, LLC, Somerville, MA	\$10,000 - \$15,000
JT	Century Bank	January 2014	Home Equity Loan re 172 Central St, Somerville, MA	\$15,001 - \$50,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The German Marshall Fund of the United States	02/18/2017	02/23/2017	Washington DC - Germany - Washington DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Franklin Center for Global Policy Exchange and Ripon Society	08/6/2017	08/11/2017	Washington DC - Germany - Washington DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Fidelity - IRA Rollover (Owner: SP)
- Fidelity - IRA Traditional (Owner: SP)
- Nationwide Retirement
- TD Ameritrade 401K (Owner: SP)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Michael E. Capuano , 05/14/2018