



Filing ID #10007965

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** James E. Banks  
**Status:** Congressional Candidate  
**State/District:** IN03

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2015  
**Filing Date:** 06/10/2015

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Capital Income Builder Fund Class A	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CMS Energy Corporation (CMS)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Eli Lilly and Company (LLY)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
INDIANA 529 ⇒ College Choice 529 Direct Savings Plan (Age-based Option Growth Portfolio 100%)  LOCATION: IN DESCRIPTION: Lillian Banks		\$1,001 - \$15,000	Tax-Deferred		
INDIANA 529 ⇒ College Choice 529 Direct Savings Plan (Age-based Option Growth Portfolio 100%)  LOCATION: IN DESCRIPTION: Elizabeth Banks		\$1,001 - \$15,000	Tax-Deferred		
INDIANA 529 ⇒ College Choice 529 Direct Savings Plan (Age-based Option Growth Portfolio 100%)  LOCATION: IN		\$1 - \$1,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Joann Banks					
INPRS Defined Contribution Plan (2045 Retirement Fund)		\$15,001 - \$50,000	Tax-Deferred		
INPRS Defined Contribution Plan (2045 Retirement Fund)	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ AMG Yacktman Focused Fund Service Class	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ Income Fund of America Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ PGR Fund	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ T Rowe Price New Horizons	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ Washington Mutual Investors Fund Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
Marathon Oil Corporation (MRO)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Options Xpress Charles Schwab IRA		\$15,001 - \$50,000	Tax-Deferred		
ReliaStar Life Insurace	SP	\$100,001 - \$250,000	None		
Roth IRA ⇒ Capital World Growth & Income Fund Class A	SP	\$1 - \$1,000	Tax-Deferred		
Roth IRA ⇒ Investment Company of America Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ New World Class A	SP	\$1,001 - \$15,000	Tax-Deferred		
STAR Financial Bank Savings Account	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200
STAR Financial Checking Account	JT	\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
STAR Financial E-Checking Account	JT	\$1,001 - \$15,000	None		
Steel Dynamics, Inc. (STLD)		None	Capital Gains	None	\$2,501 - \$5,000

\* Asset class details available at the bottom of this form.

**SCHEDULE C: EARNED INCOME**

Source	Type	Amount Current Year to Filing	Amount Preceding Year
State of Indiana	Salary	\$1,522.67	\$40,711
State of Indiana	Spouse	N/A	N/A
U.S. Navy Rerserves	Salary	\$30,066.63	\$17,560.47
HDC/Bradley	Nonemployee compensation	\$42,829.42	\$19,998.02

**SCHEDULE D: LIABILITIES**

None disclosed.

**SCHEDULE E: POSITIONS**

Position	Name of Organization
President	Columbia City Rotary Club
Board Member	Northeast Indiana Workforce Development Board

**SCHEDULE F: AGREEMENTS**

Date	Parties To	Terms of Agreement
November 2014	Jim Banks and Indiana State Senate	Indiana State Senator Jim Banks was sworn in to office on 11/18/14 and immediately requested military leave of absence from his duties as authorized by IC 5-9-4 for the duration of his active duty. Upon return from deployment, Jim Banks submitted written notification of his return and readiness to resume his duties as Senator to the President Pro Tempore dated 5/7/15. He resumed office on 5/8/15.

**SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- INDIANA 529  
LOCATION: IN
- IRA (Owner: SP)
- Roth IRA (Owner: SP)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** James E. Banks , 06/10/2015