



Filing ID #10019412

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Mr. Yatish J. Joshi
Status: Congressional Candidate
State/District: IN02

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2017
Filing Date: 01/31/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Cha Cha Properties, LLC ⇒ Commercial Investment Property LOCATION: South Bend, IN, US		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	\$15,001 - \$50,000
Cha Cha Properties, LLC ⇒ Commercial Investment Property LOCATION: Mishawaka, IN, US		\$100,001 - \$250,000	Rent	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
Cont Mutual Funds 456 ⇒ Alger Spectra (ASPZX)		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$2,501 - \$5,000
Cont Mutual Funds 456 ⇒ American New World (NFFFX)		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	None
Cont Mutual Funds 456 ⇒ Business Deposit		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Cont Mutual Funds 456 ⇒ Delaware Value (DDVIX)		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$2,501 - \$5,000
Cont Mutual Funds 456 ⇒		\$100,001 -	Capital Gains,	\$5,001 -	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Emerald Banking & Finance (HSSIX)		\$250,000	Dividends	\$15,000	
Cont Mutual Funds 456 ⇒ Henderson International Oppty (HFOIX)		None	Dividends	None	\$1,001 - \$2,500
DESCRIPTION: Name change to Janus Henderson on 6/6/17.					
Cont Mutual Funds 456 ⇒ Janus Henderson International Opps (HFOIX)		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	None
Cont Mutual Funds 456 ⇒ Thornburg Developing World Fund (THDIX)		None	Dividends	\$201 - \$1,000	\$201 - \$1,000
Cont Mutual Funds 456 ⇒ Westwood Small Cap Value (WHGSX)		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	\$2,501 - \$5,000
Cont P/S Mutual Funds 385 ⇒ Alger Spectra Fund (ASPZX)		\$250,001 - \$500,000	Tax-Deferred		
Cont P/S Mutual Funds 385 ⇒ Delaware Value Fund (DDVIX)		\$250,001 - \$500,000	Tax-Deferred		
Cont P/S Mutual Funds 385 ⇒ Driehaus Emerging Markets Growth (DREGX)		\$100,001 - \$250,000	Tax-Deferred		
Cont P/S Mutual Funds 385 ⇒ Hartford International Small Co (HNSJX)		\$50,001 - \$100,000	Tax-Deferred		
Cont P/S Mutual Funds 385 ⇒ Janus Henderson Enterprise Fund (JMGRX)		\$250,001 - \$500,000	Tax-Deferred		
Cont P/S Mutual Funds 385 ⇒ John Hancock Disciplined Value M/C (JVMIX)		\$100,001 - \$250,000	Tax-Deferred		
Cont P/S Mutual Funds 385 ⇒ JP Morgan High Yield (OHYFX)		\$50,001 - \$100,000	Tax-Deferred		
Cont P/S Mutual Funds 385 ⇒ MFS Emerging Markets Debt (MEDIX)		\$100,001 - \$250,000	Tax-Deferred		
Cont P/S Mutual Funds 385 ⇒ Money Fund		\$100,001 - \$250,000	Tax-Deferred		
Cont P/S Mutual Funds 385 ⇒		\$100,001 -	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Oppenheimer International Bond (OIBYX)		\$250,000			
Cont P/S Mutual Funds 385 ⇒ Principal Midcap Fund (PCBIX)		\$250,001 - \$500,000	Tax-Deferred		
Cont P/S Mutual Funds 385 ⇒ T Rowe Price Global Technology fund (PRGTX)		\$250,001 - \$500,000	Tax-Deferred		
Cont P/S Mutual Funds 385 ⇒ Westwood Smallcap fund (WHGSX)		\$1,001 - \$15,000	Tax-Deferred		
Cont P/S Spectrum 649 ⇒ Allstate Corp 6.625% Preferred (ALLPRE)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Arch Capital Group 5.25% Preferred (ACGLP)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Bank of New York 5.20% Preferred (BKPRC)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ BB&T Corp 5.20% Preferred (BBTPRG)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ BB&T Corp 5.625% Preferred (BBTPRE)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Capital One Financial 6.25% Preferred (COFPRC)	JT	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Capital One Financial Co 6.20% Preferred (COFPRF)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Capital One Financial Corp 6.0% Preferred (COFPRP)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Charles Schwab Corp 6.0% Preferred (SCHWPRC)					
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Citigroup Inc. 6.875% Preferred (CPRL)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Citigroup Inc., 6.875% Preferred (CPRK)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Dominion Energy Inc, 5.25% Jr sub. (DRUA)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ General Electric Cap Corp 4.7% Sr. Notes (GEK)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ General Electric Cap Corp 4.875% Sr. Note (GEB)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ General Electric Capital Corp 4.875% Sr. Note (GEH)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Georgia Power Co 5.00% Jr. Sub (GPJA)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Goldman Sach Group 6.375% Preferred (GSPRK)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Goldman Sachs Group 5.5% Preferred (GSPRJ)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Hartford Financial Services Preferred (HGH)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Integrys Energy Group, Inc, 6% sub note					
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ JP Morgan Chase 6.125% Preferred (JPMPRF)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ JP Morgan Chase 6.30% Preferred (JPMPRE)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ JP MORGan Chase 6.7% Preferred (JPMPRB)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Keycorp 6.125% Preferred (KEYPRI)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Money Fund		\$1,001 - \$15,000	Tax-Deferred		
Cont P/S Spectrum 649 ⇒ Morgan Stanley 6.625% Preferred (MSPRG)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Morgan Stanley 6.875% Preferred (MSPRF)	JT	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Morgan Stanley 7.125% Preferred (MSPRE)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Morgan Stanley Variable Rate Preferred (MSPRK)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ PNC Financial Services Series P Preferred (PNCPRP)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Principal Capital Securities Fund (PCSFX)		\$50,001 - \$100,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Cont P/S Spectrum 649 ⇒ Regions Financial Corp Variable % Preferred (RFPRB)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ SCE Trust V Variable % Preferred (SCEPRK)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ State Street Corp Variable % Preferred (STTPRD)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ US Bancorp Variable % Preferred (USBPRM)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Verizon Communications 5.90% Preferred (VZA)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ W. R. Berkley 5.75% Preferred (WRBPRD)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Wells Fargo & Co 6.625% Preferred (WFCPRR)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Spectrum 649 ⇒ Wells Fargo 5.85% Preferred (WFCPRQ)		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Preferred Stock					
Cont P/S Struct Notes 384 ⇒ International stock index structured note		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: International Index Structured Note due 6/29/18					
Cont Struct Notes 489 ⇒ Business Deposit		\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200
Cont Struct Notes 489 ⇒ CABCO Trust For Goldman Sachs (GYB)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
DESCRIPTION: This is a "floating rate" preferred stock					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Cont Struct Notes 489 ⇒ First Trust DOW TARGET 10 series 7011		\$1,000,001 - \$5,000,000	None		
DESCRIPTION: This is a Unit Investment Trust					
Cont Struct Notes 489 ⇒ First Trust DOW Target Dividend Series 6349		None	Dividends	\$15,001 - \$50,000	None
DESCRIPTION: This is a Unit Investment Trust (terminated 11/13/17)					
Cont Struct Notes 489 ⇒ First Trust Energy Independence Port Series 12		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	None
DESCRIPTION: This is a Unit Investment Trust					
Cont Struct Notes 489 ⇒ First Trust Energy Independence Series 5		None	Dividends	None	\$201 - \$1,000
DESCRIPTION: This is a Unit Investment Trust that terminated on 8/11/16					
Dearborn Crane & Engineering Company		\$1,000,001 - \$5,000,000	None		
LOCATION: Mishawaka, IN, US					
DESCRIPTION: Fabrication & installation of industrial cranes					
Drum High Quality & Div 458 ⇒ 3M Company (MMM)		None	Dividends	None	\$1,001 - \$2,500
Drum High Quality & Div 458 ⇒ Amgen Inc. (AMGN)		None	Dividends	None	\$201 - \$1,000
Drum High Quality & Div 458 ⇒ Automatic Data Processing, Inc. (ADP)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$201 - \$1,000
Drum High Quality & Div 458 ⇒ C.H. Robinson Worldwide, Inc. (CHRW)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	None
Drum High Quality & Div 458 ⇒ Capital Product Partners L.P. - common units representing limited partner interests (CPLP)		None	Dividends	None	\$201 - \$1,000
Drum High Quality & Div 458 ⇒ CSX Corporation (CSX)		None	Dividends	None	\$201 - \$1,000
Drum High Quality & Div 458 ⇒ Delaware Value (DDVIX)		None	Dividends	None	\$201 - \$1,000
Drum High Quality & Div 458 ⇒		None	Dividends	None	\$1,001 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Dover Corporation (DOV)					\$2,500
Drum High Quality & Div 458 ⇒ Emerson Electric Company (EMR)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	None
Drum High Quality & Div 458 ⇒ Honeywell International Inc. (HON)		None	Dividends	None	\$201 - \$1,000
Drum High Quality & Div 458 ⇒ iShares Core S&P Mid-Cap ETF (IJH)		None	Dividends	None	\$2,501 - \$5,000
Drum High Quality & Div 458 ⇒ iShares Global Tech ETF (IXN)		None	Dividends	None	\$1,001 - \$2,500
Drum High Quality & Div 458 ⇒ iShares U.S. Financial ETF (IYF)		None	Dividends	None	\$1,001 - \$2,500
Drum High Quality & Div 458 ⇒ Johnson & Johnson (JNJ)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$1,001 - \$2,500
Drum High Quality & Div 458 ⇒ Martin Midstream Partners L.P. - Common Units Representing Limited Partnership Interests (MMLP)		None	Dividends	None	\$1,001 - \$2,500
Drum High Quality & Div 458 ⇒ Memorial Production Partners LP - Common Units (MEMP)		None	Dividends	None	\$201 - \$1,000
Drum High Quality & Div 458 ⇒ Microsoft Corporation (MSFT)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$1,001 - \$2,500
Drum High Quality & Div 458 ⇒ Money Fund		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Drum High Quality & Div 458 ⇒ NGL ENERGY PARTNERS LP Common Units representing Limited Partner Interests (NGL)		None	Dividends	None	\$1,001 - \$2,500
Drum High Quality & Div 458 ⇒ Paychex, Inc. (PAYX)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$1 - \$200
Drum High Quality & Div 458 ⇒ Procter & Gamble Company (PG)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Drum High Quality & Div 458 ⇒ QUALCOMM Incorporated (QCOM)		None	Dividends	None	\$2,501 - \$5,000
Drum High Quality & Div 458 ⇒ Raytheon Company (RTN)		None	Dividends	None	\$201 - \$1,000
Drum High Quality & Div 458 ⇒ Schwab US Dividend Equity ETF (SCHD)		None	Dividends	None	\$1,001 - \$2,500
Drum High Quality & Div 458 ⇒ Texas Instruments Incorporated (TXN)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	None
Drum High Quality & Div 458 ⇒ Tiffany & Co. (TIF)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$201 - \$1,000
Drum High Quality & Div 458 ⇒ Union Pacific Corporation (UNP)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$1,001 - \$2,500
Drum High Quality & Div 458 ⇒ United Technologies Corporation (UTX)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$1 - \$200
Drum High Quality & Div 458 ⇒ V.F. Corporation (VFC)		None	Dividends	None	\$201 - \$1,000
Drum High Quality & Div 458 ⇒ Vaughan Nelson Value Oppty (VNVYX)		None	Capital Gains	None	\$2,501 - \$5,000
Drum High Quality & Div 458 ⇒ Wal-Mart Stores, Inc. (WMT)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$1,001 - \$2,500
Drum Spectrum Preferred stock 468 ⇒ Alabama Power 5.0% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Drum Spectrum Preferred stock 468 ⇒ Allstate 5.65% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ Allstate 6.25% Ser F Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ Allstate 6.625% ser C Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Allstate Corp 5.10% sub deb due 1/15/53		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Allstate Corp 6.625% Ser E Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Allstate Ser C 6.75% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ American Financial 6.25% sub dev due 9/30/54		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Arch Capital 5.25% Ser E Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Arch Capital 5.45% ser F Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ AT&T 5.35% Global Note due 11/1/66		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Axis Capital 5.50% Ser D Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Bank of NY Mellon 5.2% Ser C Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ BB&T 5.20% Ser G Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ BB&T 5.625% Ser E Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ BB&T 5.625% Ser H Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Capial One Finanial 6.0% Ser B Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Capital One Financial 6.20% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Capital One Financial 6.70% Ser D Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Charles Schwab 5.95% Ser D Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Citigroup 6.87% Ser L Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Citigroup 6.875% Ser K Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Citigroup 7.125% Ser J Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Comcast 5.0% Note due 12/15/61		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ DDR Corp 6.375% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Digital Realty 7.375% Ser H Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Dominion Energy 5.25% Jr Sub Note due 7/30/76		\$1,001 - \$15,000	Interest	\$201 - \$1,000	None
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ DTE Energy 5.25% Ser E Jr. Sub Note due 12/1/77		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ DTE Energy 5.375% Ser F sub deb due 6/1/76		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ DTE Energy 6.0% Ser F due 12/15/76		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Duke Energy 5.125% Jr sub deb Ser B due 1/15/73		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Entergy Arkansas 4.875% first mort due 9/1/66		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Entergy Mississippi 4.90% first mort due 10/1/66		\$1,001 - \$15,000	Interest	\$1 - \$200	None
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ First Repubic Bank 5.125% ser H Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ GATX corp 5.625% Sr note due 5/30/66		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ GE Cap 4.70% Sr note due 5/16/53		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ GE Cap 4.875% Sr Note due 1/29/53		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ GE Cap 4.875% Sr note due 10/15/52		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Georgia Power 5.0% jr sub note due 10/1/77		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Goldman Sachs 5.50% ser J Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Goldman Sachs 6.20% Ser N Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Goldman Sachs 6.375% Ser K Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Hartford Fin Serv (HGH) Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Integrys Energy 6% jr sub note due 2073		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Interstate P&L 5.1% Ser D Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ JP Morgan 5.45% Ser P Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ JP Morgan 6.10% Ser AA Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ JP Morgan 6.125% Ser Y Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ JP Morgan 6.30% Ser W Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ JP Morgan 6.70% Ser T Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ KeyCorp 6.125% Ser E Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ KIMCO Realty 5.125% Ser L Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ KIMCO Realty 5.625% Class K Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ KIMCO Realty Class J 5.50% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Money Market Fund		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Morgan Stanley 6.625% Ser G Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Morgan Stanley 6.875% Ser F Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Morgan Stanley 7.125% Ser E Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Morgan Stanley ser A floating rate preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Drum Spectrum Preferred stock 468 ⇒ Morgan Stanley Ser K Variable Rate Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ National Retail Properties 5.7% ser E Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ National Retail Property 5.2% Ser F Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Nextera Energy 5.00% ser K jr sub deb due 1/15/73		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Nextera Energy 5.125% Ser I Jr sub deb due 11/15/72		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Nextera Energy 5.25% ser K Jr Sub Deb due 6/1/76		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ NY Community Bancorp 6.375% Ser A Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ People's United Fin Ser A variable rate Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ PNC Ser P Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ PPL 5.9% Ser B Jr sub note due 4/30/73		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Principal Capital Securities (PCSFY)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$2,501 - \$5,000
Drum Spectrum Preferred stock 468 ⇒		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Prudential 5.7% sr sub note due 3/15/53					
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ PS Business Pks 5.25% ser X Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Public Storage 4.95% Ser D Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Public Storage 5.15% Ser F Preferred		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Public Storage 5.20% ser W Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Public Storage 5.20% ser X Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Public Storage 5.375% ser V Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Public Storage 5.875% Ser A Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Public Storage 6.00% Ser Z Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Public Storage 6.375% Ser Y Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Qwest corp 6.125% sr note due 6/1/53		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Qwest Corp 6.5% Sr Note due 9/1/56 DESCRIPTION: Preferred Stock					
Drum Spectrum Preferred stock 468 ⇒ Regions financial Variable Rate Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ Reinsurance Grp of Amer 5.75% sub deb due 6/15/56 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	None
Drum Spectrum Preferred stock 468 ⇒ Reinsurance Grp of Amer variable rate note due 9/15/42 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	None
Drum Spectrum Preferred stock 468 ⇒ RenaissanceRe 5.375% Ser E Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ SCE Trust Ser K variable rate preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ SCE Trust VI 5.0% preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ Southern co 5.25% jr sub note due 10/1/76 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ Southern co 5.25% jr sub note due 12/1/77 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ Sr Housing PPTY Trust 5.625% Sr Note due 8/1/42 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ Sr. Housing Pty 6.25% Sr. Notes due 2/1/46 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Drum Spectrum Preferred stock 468 ⇒ State street corp 6.0% ser E preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ State Street corp Ser D variable rate Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ Stifel Financial 5.20% Sr. note due 10/15/47 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ TCF Financial 5.7% Ser C Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Drum Spectrum Preferred stock 468 ⇒ US Bancorp (USBPRH) variable rate Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ Ventas Realty 5.45% Sr note due 3/15/43 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ Verizon 5.9% note due 2054 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ Vornado Realty 5.4% ser L Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ Wells Fargo 5.50% ser X Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ Wells Fargo 5.625 % Ser Y Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Drum Spectrum Preferred stock 468 ⇒ Wells Fargo 5.85% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Drum Spectrum Preferred stock 468 ⇒ Wells Fargo 6.625% ser R Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ WR Berkley 5.625% sub deb due 4/30/53 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Drum Spectrum Preferred stock 468 ⇒ WR Berkley 5.75% sub deb due 6/1/56 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	None
Drum Spectrum Preferred stock 468 ⇒ WR Berkley 5.90% sub deb due 3/1/56 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	None
Drum Structured Notes 509 ⇒ Energy Step Up Note due 4/26/19 DESCRIPTION: Structured Note issued by Bank of America		\$50,001 - \$100,000	None		
Drum Structured Notes 509 ⇒ Eurostoxx 50 Index structured note due 6/29/18 DESCRIPTION: Structured Note issued by Barclay's Bank		\$50,001 - \$100,000	None		
Drum Structured Notes 509 ⇒ Eurostoxx 50 Index Structured Note due 6/29/18 DESCRIPTION: Structured Note Issued by CIBC		\$100,001 - \$250,000	None		
Drum Structured Notes 509 ⇒ Eurostoxx 50 Index Structured Note due 7/27/18 DESCRIPTION: Structured Note issued by Credit Suisse		\$250,001 - \$500,000	None		
Drum Structured Notes 509 ⇒ International Index Structured Note due 6/29/18 DESCRIPTION: Structured Note issued by Credit Suisse		\$100,001 - \$250,000	None		
Drum Structured Notes 509 ⇒ Money Market Fund		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ Aercap Holdings N.V. Ordinary Shares (AER)		\$1,001 - \$15,000	None		
Drum Wedge MCV 457 ⇒ Alliance Data Systems Corporation (ADS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Drum Wedge MCV 457 ⇒ Ally Financial Inc. (ALLY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Drum Wedge MCV 457 ⇒ Ashland Global Holdings Inc. (ASH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ Assurant, Inc. (AIZ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Drum Wedge MCV 457 ⇒ AvalonBay Communities, Inc. (AVB)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
Drum Wedge MCV 457 ⇒ CIT Group Inc (CIT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ Convergys Corporation (CVG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ CSRA Inc. (CSRA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ Cullen/Frost Bankers, Inc. (CFR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ D.R. Horton, Inc. (DHI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ Dana Incorporated (DAN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ Delphi Technologies PLC Ordinary Shares (DLPH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ Dover Corporation (DOV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ DXC Technology Company (DXC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Drum Wedge MCV 457 ⇒ Eastman Chemical Company (EMN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒		\$1,001 - \$15,000	Dividends	\$201 -	\$201 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
EPR Properties (EPR)				\$1,000	\$1,000
Drum Wedge MCV 457 ⇒ EQT Corporation (EQT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ Fifth Third Bancorp (FITB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ FNF Group of Fidelity National Financial, Inc. (FNF)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Drum Wedge MCV 457 ⇒ Great Plains Energy Incorporated (GXP)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Drum Wedge MCV 457 ⇒ Keysight Technologies Inc. (KEYS)		\$1,001 - \$15,000	None		
Drum Wedge MCV 457 ⇒ Lamar Advertising Company - Class A (LAMR)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Drum Wedge MCV 457 ⇒ Marvell Technology Group Ltd. (MRVL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ Mednax, Inc. (MD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ MFA Financial, Inc. (MFA)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Drum Wedge MCV 457 ⇒ MGM Growth Properties LLC Class A common shares representing limited liability company interests (MGP)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Drum Wedge MCV 457 ⇒ Murphy Oil Corporation (MUR)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Drum Wedge MCV 457 ⇒ Owens-Illinois, Inc. (OI)		\$1,001 - \$15,000	None		
Drum Wedge MCV 457 ⇒ Packaging Corporation of America (PKG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Drum Wedge MCV 457 ⇒ Pinnacle West Capital Corporation (PNW)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Drum Wedge MCV 457 ⇒ Republic Services, Inc. (RSG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ Royal Caribbean Cruises Ltd. (RCL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ Signature Bank (SBNY)		\$1,001 - \$15,000	None		
Drum Wedge MCV 457 ⇒ TCF Financial Corporation (TCF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ Transdigm Group Incorporated Transdigm Group Inc. (TDG)		\$1,001 - \$15,000	None		
Drum Wedge MCV 457 ⇒ UGI Corporation (UGI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ Universal Health Services, Inc. (UHS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Drum Wedge MCV 457 ⇒ Weatherford International plc (WFT)		\$1,001 - \$15,000	None		
Drum Wedge MCV 457 ⇒ Wyndham Worldwide Corp Common Stock (WYN)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Drum Wedge MCV 457 ⇒ Zimmer Biomet Holdings, Inc. (ZBH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
GTA Containers, Inc. LOCATION: South Bend, IN, US DESCRIPTION: Manufacturer of collapsible tanks		\$1,000,001 - \$5,000,000	Shareholder Distribution	\$100,001 - \$1,000,000	None
GTA Drum, Inc. LOCATION: South Bend, IN, US DESCRIPTION: Manufacturer of collapsible drums		\$1,000,001 - \$5,000,000	None		
Inova Federal Credit Union		\$250,001 - \$500,000	Interest	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Joan 401K ⇒ American Funds 2025 Target Date (AADTX)	SP	\$100,001 - \$250,000	Tax-Deferred		
Joan Beneficiary IRA 341 ⇒ Abbott Laboratories (ABT)		\$15,001 - \$50,000	Tax-Deferred		
Joan Beneficiary IRA 341 ⇒ AbbVie Inc. (ABBV)		\$15,001 - \$50,000	Tax-Deferred		
Joan Beneficiary IRA 341 ⇒ AT&T Inc. (T)		\$15,001 - \$50,000	Tax-Deferred		
Joan Beneficiary IRA 341 ⇒ Dominion Energy, Inc. (D)		\$1,001 - \$15,000	Tax-Deferred		
Joan Beneficiary IRA 341 ⇒ Duke Energy Corporation (DUK)		\$15,001 - \$50,000	Tax-Deferred		
Joan Beneficiary IRA 341 ⇒ Money Market Fund		\$1,001 - \$15,000	Tax-Deferred		
Joan Beneficiary IRA 341 ⇒ Raytheon Company (RTN)		\$15,001 - \$50,000	Tax-Deferred		
Joan Beneficiary IRA 341 ⇒ Total S.A. (TOT)		\$15,001 - \$50,000	Tax-Deferred		
Joan Beneficiary IRA 341 ⇒ Verizon Communications Inc. (VZ)		\$15,001 - \$50,000	Tax-Deferred		
Joan Beneficiary IRA HQ&DIV 661 ⇒ 3M Company (MMM)		\$1,001 - \$15,000	Tax-Deferred		
Joan Beneficiary IRA HQ&DIV 661 ⇒ Automatic Data Processing, Inc. (ADP)		\$1,001 - \$15,000	Tax-Deferred		
Joan Beneficiary IRA HQ&DIV 661 ⇒ C.H. Robinson Worldwide, Inc. (CHRW)		\$1,001 - \$15,000	Tax-Deferred		
Joan Beneficiary IRA HQ&DIV 661 ⇒ Emerson Electric Company (EMR)		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Joan Beneficiary IRA HQ&DIV 661 ⇒ Johnson & Johnson (JNJ)		\$1,001 - \$15,000	Tax-Deferred		
Joan Beneficiary IRA HQ&DIV 661 ⇒ Microsoft Corporation (MSFT)		\$1,001 - \$15,000	Tax-Deferred		
Joan Beneficiary IRA HQ&DIV 661 ⇒ Paychex, Inc. (PAYX)		\$1,001 - \$15,000	Tax-Deferred		
Joan Beneficiary IRA HQ&DIV 661 ⇒ Procter & Gamble Company (PG)		\$1,001 - \$15,000	Tax-Deferred		
Joan Beneficiary IRA HQ&DIV 661 ⇒ Texas Instruments Incorporated (TXN)		\$1,001 - \$15,000	Tax-Deferred		
Joan Beneficiary IRA HQ&DIV 661 ⇒ Tiffany & Co. (TIF)		\$1,001 - \$15,000	Tax-Deferred		
Joan Beneficiary IRA HQ&DIV 661 ⇒ Union Pacific Corporation (UNP)		\$1,001 - \$15,000	Tax-Deferred		
Joan Beneficiary IRA HQ&DIV 661 ⇒ United Technologies Corporation (UTX)		\$1,001 - \$15,000	Tax-Deferred		
Joan Beneficiary IRA HQ&DIV 661 ⇒ Wal-Mart Stores, Inc. (WMT)		\$1,001 - \$15,000	Tax-Deferred		
Joan Brokerage ⇒ 3M Company (MMM)	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Joan High Qual Div 662 ⇒ 3M Company (MMM)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Joan High Qual Div 662 ⇒ Automatic Data Processing, Inc. (ADP)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
Joan High Qual Div 662 ⇒ C.H. Robinson Worldwide, Inc. (CHRW)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
Joan High Qual Div 662 ⇒ Emerson Electric Company (EMR)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
Joan High Qual Div 662 ⇒ Johnson & Johnson (JNJ)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Joan High Qual Div 662 ⇒ Microsoft Corporation (MSFT)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Joan High Qual Div 662 ⇒ Money Market Fund		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan High Qual Div 662 ⇒ Paychex, Inc. (PAYX)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
Joan High Qual Div 662 ⇒ Procter & Gamble Company (PG)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Joan High Qual Div 662 ⇒ QUALCOMM Incorporated (QCOM)		None	Dividends	None	\$201 - \$1,000
Joan High Qual Div 662 ⇒ Texas Instruments Incorporated (TXN)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
Joan High Qual Div 662 ⇒ Tiffany & Co. (TIF)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1 - \$200
Joan High Qual Div 662 ⇒ Union Pacific Corporation (UNP)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Joan High Qual Div 662 ⇒ United Technologies Corporation (UTX)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
Joan High Qual Div 662 ⇒ Wal-Mart Stores, Inc. (WMT)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1 - \$200
Joan IRA 209 ⇒ Columbia Thermostat Fund (COTZX)		\$50,001 - \$100,000	Tax-Deferred		
Joan IRA 209 ⇒ Express Scripts Holding Company (ESRX)		\$1,001 - \$15,000	Tax-Deferred		
Joan IRA 209 ⇒ Merck & Company, Inc. Common Stock (MRK)		\$15,001 - \$50,000	Tax-Deferred		
Joan IRA 209 ⇒ Money Market Fund		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Joan IRA 209 ⇒ Walt Disney Company (DIS)		\$50,001 - \$100,000	Tax-Deferred		
Joan Misc Managers 663 ⇒ AIG 2.3% due 7/16/19		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ Air Products and Chemicals, Inc. (APD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Alexandria VA IDA RCF Mtg Rev 3.0% due 10/1/18		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$1 - \$200
Joan Misc Managers 663 ⇒ Allstate Corp 6.625% Ser E Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ Amerco (UHAL)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ American Express Cr 2.125% due 7/27/18		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ Anheuer-Busch Invev 2.5% due 7/15/22		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ Aon plc Class A Ordinary Shares (AON)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Arch Capital Group 5.25% Ser E Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ Arch Capital Group Ltd. (ACGL)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ Astrazeneca 3.375% due 11/16/25		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ Atlantic City GO 3.0% due 10/1/19		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Joan Misc Managers 663 ⇒ Autodesk, Inc. (ADSK)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ AZ School Board 5.0% due 9/1/20		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$1 - \$200
Joan Misc Managers 663 ⇒ Bank of NY Mellon 4.65% due 2/4/24 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Bank of NY Mellon 5.20% Ser C Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ BB&T 5.20% Ser G Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ BB&T 5.625% Ser E Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ BB&T MTN 2.05% due 6/19/18 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Benton Ark school Dist 3.0% due 2/1/23		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$1 - \$200
Joan Misc Managers 663 ⇒ BHP Billiton Fun USA 3.25% due 11/21/21 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Black Knight, Inc. (BKI)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ Boeing 4.875% due 2/15/20 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ BP Capital3.588% due 4/14/27 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Joan Misc Managers 663 ⇒ Brookfield Asset Management Inc. (BAM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Brown & Brown, Inc. (BRO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Brown Forman Inc Class B (BF.B)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Joan Misc Managers 663 ⇒ Burlington North Santa 5.75% due 3/15/18 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Capital One Fin 6.0% Ser B Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ CarMax Inc (KMX)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ CBRE Group Inc Common Stock Class A (CBG)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ CDK Global, Inc. (CDK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Charles Schwab6.00% Ser C Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Cisco Systems 2.125% due 3/1/19 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ CitiGroup 3.875% due 10/25/23 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Citigroup 6.875% Ser K Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Citigroup 6.875% Ser L Preferred					
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ Citigroup 7.125% Ser J Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ Coca-Cola 3.15% due 11/15/20		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ Colfax Corporation (CFX)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ Comcast 3.15% due 3/1/26		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ Copart, Inc. (CPRT)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ CVS Caremark 2.25% due 12/5/18		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ Daphne AL GO 3.0% due 4/1/21		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$1 - \$200
Joan Misc Managers 663 ⇒ Deere & Co 4.375% due 10/16/19		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ DENTSPLY SIRONA Inc. (XRAY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Joan Misc Managers 663 ⇒ Dollar General Corporation (DG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Dollar Tree, Inc. (DLTR)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ Dominion Energy 5.25% Jr Sub Note due 7/30/76		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Joan Misc Managers 663 ⇒ Duke Energy 3.15% due 8/15/27 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ East Aldine Mgmt Dist TX 4.0% due 2/15/23		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$1 - \$200
Joan Misc Managers 663 ⇒ East Aldine Mgmt Dist TXSales Tax Rev 4.0% due 2/15/24		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$1 - \$200
Joan Misc Managers 663 ⇒ Ecolab Inc. (ECL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Enterprise Products 5.20% due 9/1/20 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Equinix, Inc. (EQIX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Fastenal Company (FAST)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Fidelity National Information Services, Inc. (FIS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ FNF Group of Fidelity National Financial, Inc. (FNF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Forest City Realty Trust, Inc. (FCE.A)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Gartner, Inc. (IT)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ GE 5.25% due 12/6/17 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ GE Cap 4.70% Sr Note due 5/16/53 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Joan Misc Managers 663 ⇒ GE Cap 4.875% Sr Note due 1/29/53 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ GE Cap 4.875% Sr. Note due 10/15/52 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Georgia Power Co 5.0% Jr sub note due 10/1/77 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Gilead Sciences 3.5% due 2/1/25 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Goldman Sachs 3.625% due 1/22/23 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Goldman Sachs 5.50% Ser J Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Goldman Sachs 6.375% SerK preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Harris-Montgomery Co GO 3.0% due 9/1/22		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$1 - \$200
Joan Misc Managers 663 ⇒ Hartford Financial Preferred (HGH) DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Home Depot 2.7% due 4/1/23 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Howard Hughes Corporation (HHC)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
IHS Markit Ltd. - Common Shares (INFO)					
Joan Misc Managers 663 ⇒ Integrys Energy 6.0% Jr sub note due 2073		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ Intuit Inc. (INTU)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Jackson Milton OH Schools 2.0% due 6/1/20		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$1 - \$200
Joan Misc Managers 663 ⇒ JP Morgan 3.625% due 5/13/24		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ JP MOrgan 6.10% Ser AA Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ JP Morgan 6.125% Ser Y Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ JP Morgan 6.30% Ser W Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ JP Morgan 6.7% Ser T Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ KeyCorp 6.125% Ser E Perferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ Kroger 2.65% due 10/15/26		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ Lafayette Co MISS GO 4.0% due 6/1/25		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$1 - \$200
Joan Misc Managers 663 ⇒		\$50,001 -	Interest	\$201 -	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Lancaster PA Higher Ed College Rev 4.0% due 4/1/18		\$100,000		\$1,000	
Joan Misc Managers 663 ⇒ Lennar Corporation Class A (LEN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Liberty Broadband Corporation - Class A (LBRDA)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ Liberty Broadband Corporation - Class C (LBRDK)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ Liberty Expedia Holdings, Inc. - Series A (LEXEA)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ Liberty Global plc - Class A Ordinary Shares (LBTYA)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ Liberty Interactive Corporation - Series A Liberty Ventures (LVNTA)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ Liberty Media Corporation - Series C Liberty Formula One (FWONK)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ Liberty Media Corporation - Series C Liberty SiriusXM (LSXMK)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ Lockheed Martin 3.55% due 1/15/26 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Loews Corporation (L)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Lowe's 3.125% due 4/15/22 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ M&T Bank Corporation (MTB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Macquarie Infrastructure Corporation (MIC)					
Joan Misc Managers 663 ⇒ Markel Corporation (MKL)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ Martin Marietta Materials, Inc. (MLM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ McDonald's Corp 3.25% due 6/10/24		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ Mercer Co NJ IMP AT SLD WST Rev 5.0% due 12/1/17		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$1 - \$200
Joan Misc Managers 663 ⇒ MetLife 3.048% due 12/15/22		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ Miami-Dade Co FL SPL 5.0% due 10/1/24		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$1 - \$200
Joan Misc Managers 663 ⇒ Microchip Technology Incorporated (MCHP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Mississippi Dev BK SPL 5.0% due 1/1/23		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$1 - \$200
Joan Misc Managers 663 ⇒ Mohawk Industries, Inc. (MHK)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ Money Market Funds		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Moody's Corporation (MCO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Morgan Stanley 3.70% due 10/23/24		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ Morgan Stanley 6.625% Ser G Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ Morgan Stanley 6.875% Ser F Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ Morgan Stanley 7.125% Ser E Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ Morgan Stanley Ser K Variable rate Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ Motorola Solutions, Inc. (MSI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ Occidental Petroleum 3.0% due 2/15/27		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ O'Reilly Automotive, Inc. (ORLY)		\$1,001 - \$15,000	None		
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ P&G 2.30% due 2/6/22		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ Pennsylvania ST TPK Commn Oil Franchise Tax 5.0% due 12/1/22		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ Pfizer 2/10% due 5/15/19		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ Pflugerville TX GO 5.0% due 8/1/24		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ PNC Financial Services Ser P preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ Port St Lucie FL CMNTY Redev AGY 4.0% due 1/1/19		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Joan Misc Managers 663 ⇒ Principal Capital Securities (PCSFX)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$201 - \$1,000
Joan Misc Managers 663 ⇒ Progressive Corporation (PGR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Regions Financial Ser B variable rate Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ Restaurant Brands International Inc. Common Shares (QSR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Roper Technologies, Inc. (ROP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Joan Misc Managers 663 ⇒ Ross Stores, Inc. (ROST)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ S&P Global Inc. (SPGI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ SBA Communications Corporation - Class A (SBAC)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ SC ST HSG Gin-Dev Auth MTG Rev 1.30% due 7/1/21		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$1 - \$200
Joan Misc Managers 663 ⇒ SCE Trust V Ser K variable rate preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ Sensata Technologies Holding N.V. Ordinary Shares (ST)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ Sherwin-Williams Company (SHW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ State Street 6.00% Ser E Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Joan Misc Managers 663 ⇒ State Street Variable rate preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ Time Warner 3.6% due 7/15/25		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ Transdigm Group Incorporated Transdigm Group Inc. (TDG)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ TransUnion (TRU)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ Tucson AZ GO 5.0% due 8/1/24		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$1 - \$200
Joan Misc Managers 663 ⇒ United Health Group 4.7% due 2/15/21		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ United Technologies 4.5% due 4/15/20		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ US Bancorp 4.125% due 5/24/21		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
Joan Misc Managers 663 ⇒ US Bancorp Variable rate preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Preferred Stock					
Joan Misc Managers 663 ⇒ VeriSign, Inc. (VRSN)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ Verisk Analytics, Inc. (VRSK)		\$1,001 - \$15,000	None		
Joan Misc Managers 663 ⇒ Verizon Communications 5.15% due 9/15/23		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Joan Misc Managers 663 ⇒ Vulcan Materials Company (VMC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ W. R. Berkley 5.75% sub deb Due 6/1/56 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Walt Disney Co 3.15% due 9/17/25 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Wellpoint 3.7% due 8/15/21 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Wells Fargo 3.0% due 10/23/26 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Wells Fargo 5.625% Ser Y Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Wells Fargo 5.85% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ Wells Fargo ser R 6.625 Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Misc Managers 663 ⇒ White Mountains Insurance Group, Ltd. (WTM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Joan Non-Advisory 208 ⇒ AT&T Inc. (T)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Joan Non-Advisory 208 ⇒ BP p.l.c. (BP)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Joan Non-Advisory 208 ⇒ Dominion Energy, Inc. (D)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Joan Non-Advisory 208 ⇒ Dr Pepper Snapple Group, Inc Dr Pepper Snapple Group, Inc (DPS)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Joan Non-Advisory 208 ⇒ Guggenheim multi-asset Income (CVY)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Joan Non-Advisory 208 ⇒ Money Market Fund		\$100,001 - \$250,000	Interest	\$201 - \$1,000	None
Joan Non-Advisory 208 ⇒ Nuveen limited Term Muni (FLTDX)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1 - \$200
Joan Non-Advisory 208 ⇒ Pepsico, Inc. (PEP)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Joan Non-Advisory 208 ⇒ PIMCO Stocks Plus (PSPAX)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1 - \$200
Joan Non-Advisory 208 ⇒ Powershares S&P 500 Low Volatility (SPLV)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Joan Non-Advisory 208 ⇒ Raytheon Company (RTN)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Joan Non-Advisory 208 ⇒ Southern Company (SO)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Joan Non-Advisory 208 ⇒ Structured Note on Eurostoxx 50 Index	SP	\$100,001 - \$250,000	None		
DESCRIPTION: Eurostoxx 50 Structured Note issued by CIBC					
Joan Non-Advisory 208 ⇒ Total S.A. (TOT)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Joan Non-Advisory 208 ⇒ Verizon Communications Inc. (VZ)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Joan Non-Advisory 208 ⇒ Welltower Inc. (HCN)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Joan Roth IRA 210 ⇒ Apple Inc. (AAPL)		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Joan Roth IRA 210 ⇒ MFS GROWTH FUND (MFEIX)		\$1,001 - \$15,000	Tax-Deferred		
Joan Roth IRA 210 ⇒ MFS MASS INVESTORS FUND (MITIX)		\$1,001 - \$15,000	Tax-Deferred		
Joan Roth IRA 210 ⇒ Money Market Fund		\$1,001 - \$15,000	Tax-Deferred		
Note Receivable from Dearborn Crane & Engineering Co., Inc. DESCRIPTION: Mishawaka, IN		\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	\$15,001 - \$50,000
Note Receivable from Foxtrot Realty Corporation DESCRIPTION: South Bend, IN		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	\$5,001 - \$15,000
Note Receivable from GTA Drum, Inc. DESCRIPTION: South Bend, IN		None	Interest	None	\$1,001 - \$2,500
Note Receivable from Joshi for Indiana, Inc. DESCRIPTION: South Bend, IN		\$100,001 - \$250,000	None		
UBS - 10yr GRAT ⇒ Alkeon Growth Partners II		\$500,001 - \$1,000,000	None		
UBS - 10yr GRAT ⇒ Mainstay Cushing MLP		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
UBS - 10yr GRAT ⇒ Nineteen77 Global Merger Arbitrage		\$250,001 - \$500,000	None		
UBS - 10yr GRAT ⇒ Nineteen77 Global Multi-Strategy LLc		\$100,001 - \$250,000	None		
UBS - Addicott IRR Trust - SA ⇒ AbbVie Inc. (ABBV)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
UBS - Addicott IRR Trust - SA ⇒ Allergan plc Ordinary Shares (AGN)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
UBS - Addicott IRR Trust - SA ⇒		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank of America Corporation Class A Warrant expiring January 16, 2019 (BAC.A)					
UBS - Addicott IRR Trust - SA ⇒ Bank of America Corporation Class B Warrants expiring 10/28/2018 (BAC.B)		\$1,001 - \$15,000	None		
UBS - Addicott IRR Trust - SA ⇒ Capital One Financial Corporation Warrants expiring November 14, 2018 (COF.W)		\$50,001 - \$100,000	None		
UBS - Addicott IRR Trust - SA ⇒ Celgene Corporation (CELG)		\$15,001 - \$50,000	None		
UBS - Addicott IRR Trust - SA ⇒ Centene Corporation (CNC)		\$15,001 - \$50,000	None		
UBS - Addicott IRR Trust - SA ⇒ Citigroup Inc. Warrants Class A expiring January 4, 2019 (C.A)		\$1,001 - \$15,000	None		
UBS - Addicott IRR Trust - SA ⇒ Comerica Incorporated Warrant expiring November 14, 2018 (CMA.W)		\$50,001 - \$100,000	None		
UBS - Addicott IRR Trust - SA ⇒ Hartford Financial Services Group, Inc. (HIG.W)		\$15,001 - \$50,000	None		
UBS - Addicott IRR Trust - SA ⇒ Health Care Select Sector SPDR		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
UBS - Addicott IRR Trust - SA ⇒ Humana Inc. (HUM)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
UBS - Addicott IRR Trust - SA ⇒ JPMorgan Chase Warrants DESCRIPTION: Warrants on JPM common stock.		\$50,001 - \$100,000	None		
UBS - Addicott IRR Trust - SA ⇒ Lincoln National Corporation Warrant expiring July 10, 2019 (LNC.W)		\$50,001 - \$100,000	None		
UBS - Addicott IRR Trust - SA ⇒ PNC Financial Services Group, Inc. Warrant expiring December 31, 2018 (PNC.W)		\$50,001 - \$100,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - Addicott IRR Trust - SA ⇒ Regeneron Pharmaceuticals, Inc. (REGN)		\$15,001 - \$50,000	None		
UBS - Addicott IRR Trust - SA ⇒ UnitedHealth Group Incorporated Common Stock (UNH)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
UBS - Addicott IRR Trust - SA ⇒ Virtus Rampart Equity		\$250,001 - \$500,000	None		
UBS - Addicott IRR Trust - SA ⇒ Wells Fargo & Company Warrants expiring October 28, 2018 (WFC.W)		\$15,001 - \$50,000	None		
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ 3M Company (MMM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ AB Electrolux Class B American Depositary Receipts (ELUXY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ ABB Ltd (ABB)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Abbott Laboratories (ABT)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ AbbVie Inc. (ABBV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ ABIOMED, Inc. (ABMD)		\$1,001 - \$15,000	None		
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Accenture plc Class A Ordinary Shares (ACN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Acuity Brands Inc (AYI)					
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Adobe Systems Incorporated (ADBE)		\$15,001 - \$50,000	None		
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ ADRY - Koninkluke Ahold Delhaize NV Spon ADR		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Foreign Stock					
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Advanced Micro Devices, Inc. (AMD)		\$1,001 - \$15,000	None		
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Air Products and Chemicals, Inc. (APD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Akamai Technologies, Inc. (AKAM)		\$15,001 - \$50,000	None		
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Alexion Pharmaceuticals, Inc. (ALXN)		\$50,001 - \$100,000	None		
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Align Technology, Inc. (ALGN)		\$1,001 - \$15,000	None		
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Alleghany Corporation (Y)		\$15,001 - \$50,000	None		
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Allegion plc Ordinary Shares (ALLE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Allianz SE ADS (AZSEY)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Alphabet Inc. - Class A (GOOGL)		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)		\$50,001 - \$100,000	None		
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Altria Group, Inc. (MO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Amadeus IT Holding SA Un-sponsored American Depository Shares (AMADY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Amdocs Limited - Ordinary Shares (DOX)		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ American Tower Corporation (AMT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Amgen Inc. (AMGN)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Aon plc Class A Ordinary Shares (AON)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Apple Inc. (AAPL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Aptiv PLC Ordinary Shares (APTV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Archer-Daniels-Midland Company (ADM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Banco Santander, S.A. Sponsored ADR (SAN)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ BB&T Corporation (BBT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Becton, Dickinson and Company (BDX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ BlackRock, Inc. (BLK)		\$1,001 - \$15,000	None		
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ BP p.l.c. (BP)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Broadcom Limited - Ordinary Shares (AVGO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Broadridge Financial Solutions, Inc. (BR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Canon, Inc. American Depositary Shares (CAJ)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ CDW Corporation (CDW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ China Mobile Limited (CHL)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Chubb Limited (CB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Cigna Corporation (CI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Cintas Corporation (CTAS)					
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Cisco Systems, Inc. (CSCO)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Citigroup, Inc. (C)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ CK Hutchison Hldgs LTD Unsponsored ADR		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Symbol: CKHUY					
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Comcast Corporation - Class A (CMCSA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Constellation Brands, Inc. (STZ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Coty Inc. Class A (COTY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Crown Castle International Corp. (CCI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Danaher Corporation (DHR)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Deutsche Telekom AG (DEGY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ DMLRY - Daimler AG Unsponsored ADR		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Foreign Stock					
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Duke Energy Corporation (DUK)					
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ DXC Technology Company (DXC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Eaton Corporation, PLC Ordinary Shares (ETN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ ENI S.p.A. (E)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ ENLAY - Enel SPA ADR		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Foreign Stock					
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ EOG Resources, Inc. (EOG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Equifax, Inc. (EFX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Estee Lauder Companies, Inc. (EL)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Exxon Mobil Corporation (XOM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Fidelity National Information Services, Inc. (FIS)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Fiserv, Inc. (FISV)		\$1,001 - \$15,000	None		
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ FUJIY - Fuji Film Holding Corp ADR		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Foreign Stock					
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ General Mills, Inc. (GIS)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ GlaxoSmithKline PLC (GSK)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Goldman Sachs Group, Inc. (GS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Home Depot, Inc. (HD)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Honda Motor Company, Ltd. (HMC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Honeywell International Inc. (HON)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ IBDRY - IBERDROLA SA SPON ADR		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Foreign Stock					
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Illinois Tool Works Inc. (ITW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Ingersoll-Rand plc (IR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ ISUZY - ISUZU Motor CO LTD ADR ADR		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Foreign Stock					
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Johnson & Johnson (JNJ)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Johnson Controls International plc Ordinary Share (JCI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ JP Morgan Chase & Co. (JPM)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ KGFHY - Kingfisher PLC New Spon ADR DESCRIPTION: Foreign Stock		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Lloyds Banking Group Plc American Depositary Shares (LYG)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Lockheed Martin Corporation (LMT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ MarketAxess Holdings, Inc. (MKTX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Marsh & McLennan Companies, Inc. (MMC)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ McKesson Corporation (MCK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Medtronic plc. Ordinary Shares (MDT)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ MetLife, Inc. (MET)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Microsoft Corporation (MSFT)					
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Nasdaq, Inc. (NDAQ)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ National Grid Transco, PLC National Grid PLC (NGG)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ NextEra Energy, Inc. (NEE)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Northrop Grumman Corporation (NOC)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Novartis AG (NVS)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ NTT DOCOMO, Inc American Depositary Shares (DCM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Occidental Petroleum Corporation (OXY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Pepsico, Inc. (PEP)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Pfizer, Inc. (PFE)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Philip Morris International Inc (PM)					
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ PNC Financial Services Group, Inc. (PNC)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ PPG Industries, Inc. (PPG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ QBE Insurance Group Ltd Sponsored American Depositary Receipt (QBIEY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Rwe Ag Ord Bearer Dm5 American Depositary Receipt (RWE0Y)		\$1,001 - \$15,000	None		
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Sanofi American Depositary Shares (SNY)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ SAP SE ADS (SAP)		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Sempra Energy (SRE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Singapore Telecommunications Limited Sponsored ADR New 2006 (SGAPY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Starbucks Corporation (SBUX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ State Street Corporation (STT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Stryker Corporation (SYK)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Takeda Pharmaceutical Co Ltd Sponsored ADR (TKPYY)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Telefonica SA (TEF)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ TeliaSonera AB Un-sponsored ADR (TLSNY)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Tesco Plc Ord American Depositary Shares (TSCDY)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Texas Instruments Incorporated (TXN)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ TGBAX - TEMPLETON GLBAL BOND ADV		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ The Travelers Companies, Inc. (TRV)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Thermo Fisher Scientific Inc (TMO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ TJX Companies, Inc. (TJX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Tokio Marine Holdings, Inc. Sponsored ADR (TKOMY)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ U.S. Bancorp (USB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ UBS FDIC INSURED DEP PGM		\$100,001 - \$250,000	Interest	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Union Pacific Corporation (UNP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ United Overseas Bk Singapore American Depositary Shares (UOVEY)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ United Technologies Corporation (UTX)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ UnitedHealth Group Incorporated Common Stock (UNH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ WEC Energy Group, Inc. (WEC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Wells Fargo & Company (WFC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ WPP plc American Depositary Shares (WPP)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Xcel Energy Inc. (XEL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust ⇒ Zurich Insurance Group Limited Sponsored American Depositary Shares (ZURVY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - GST Trust ⇒ Align Technology, Inc. (ALGN)		\$1,001 - \$15,000	None		
UBS - GST Trust ⇒ Alkeon Growth Partners II		\$1,000,001 - \$5,000,000	None		
UBS - GST Trust ⇒ Morgan Stanley Finance DESCRIPTION: Structured note tied to S&P500 index.		\$50,001 - \$100,000	None		
UBS - GST Trust ⇒ Schlumberger N.V. (SLB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - IRA - Long Term Themes ⇒ Abbott Laboratories (ABT)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ AIA Group, Ltd. Ordinary Shares (AAIGF)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Airports of Thailand Public Co Ltd Unsponsored ADR (AIPUY)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Alnylam Pharmaceuticals, Inc. (ALNY)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Amazon.com, Inc. (AMZN)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Aon plc Class A Ordinary Shares (AON)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ ASML Holding N.V. - ADS represents 1 ordinary share (ASML)		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - IRA - Long Term Themes ⇒ Assa Abloy AB Unsponsored ADR (ASAZY)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Cash		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Celgene Corporation (CELG)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ CF Industries Holdings, Inc. (CF)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ CHEMICAL WKS OF RICHTER GEDEON PLC Ordinary shares (RGEDF)		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: EXCHANGE: OTC HUF EXCHANGE RATE: 262.49425					
UBS - IRA - Long Term Themes ⇒ Cisco Systems, Inc. (CSCO)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Citrix Systems, Inc. (CTXS)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ ConAgra Brands, Inc. (CAG)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Costco Wholesale Corporation (COST)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Delphi Technologies PLC Ordinary Shares (DLPH)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Denso Corp Ltd Ord American Depositary Shares (DNZOY)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Ecolab Inc. (ECL)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Eli Lilly and Company (LLY)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Facebook, Inc. - Class A (FB)		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - IRA - Long Term Themes ⇒ Gamuda Bhd Ordinary Shares (GMUAF)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Guangdong Investment Ltd Unsponsored ADR (GGDVY)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Hexagon AB American Depositary Shares (HXGBY)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ ICICI Bank Limited (IBN)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Invesco Ltd (IVZ)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ IPG Photonics Corporation (IPGP)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Johnson Controls International plc Ordinary Share (JCI)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Kroger Company (KR)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Kubota Corporation (KUBTY)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Lexicon Pharmaceuticals, Inc. (LXRX)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Lincoln National Corporation (LNC)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Magna International, Inc. (MGA)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Mallinckrodt plc Ordinary Shares (MNK)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Marathon Oil Corporation (MRO)		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - IRA - Long Term Themes ⇒ Maxim Integrated Products, Inc. (MXIM)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ MetLife, Inc. (MET)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Micron Technology, Inc. (MU)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ New Oriental Education & Technology Group, Inc. Sponsored ADR representing 1 Ordinary Share (EDU)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Nike, Inc. (NKE)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Novo Nordisk A/S (NVO)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Orpea ACT (ORPEF)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Otsuka Holdings Co. Ltd, Tokyo Unsponsored ADR (OTSKY)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Pentair plc. Ordinary Share (PNR)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Praxair, Inc. (PX)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Procter & Gamble Company (PG)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Prudential Financial, Inc. (PRU)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ PT Bank Central Asia TBK Unsponsored ADR (PBCRY)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ PTC Inc. (PTC)		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - IRA - Long Term Themes ⇒ Reckitt Benckiser Plc Sponsored ADR (RBGLY)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Sage Group Plc Ordinary Shares (SGGEF)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Sanofi American Depositary Shares (SNY)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ SAP SE ADS (SAP)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Sensata Technologies Holding N.V. Ordinary Shares (ST)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Sims Metal Management Ltd Sponsored American Depositary Shares (SMSMY)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Sino Biopharmaceutical Ordinary Shares (SBMFF)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ South State Corporation (SSB)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Statoil ASA (STO)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ T. Rowe Price Group, Inc. (TROW)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Takeda Pharmaceutical Co Ltd Sponsored ADR (TKPYY)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ UnitedHealth Group Incorporated Common Stock (UNH)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Valeo Sa Ord American Depositary Shares (VLEEY)		\$1,001 - \$15,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ventas, Inc. (VTR)					
UBS - IRA - Long Term Themes ⇒ Voya Financial, Inc. (VOYA)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ West Japan Railway Co Unsponsored ADR (WJRY)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Long Term Themes ⇒ Wright Medical Group N.V. - Ordinary Shares (WMGI)		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Yatish IRA XXX11 ⇒ ALGER SPECTRA FUND CLASS		\$50,001 - \$100,000	Tax-Deferred		
UBS - IRA - Yatish IRA XXX11 ⇒ BARLCAYS BANK DELAWARE ML-CD SX5E		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: BARCLAYS BANK DELAWARE ML-CD SX5E 5/28/2021					
UBS - IRA - Yatish IRA XXX11 ⇒ CENTURY LINK INC NTS B/E RATE 07.650% MATURES 03/15/42		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: CENTURYLINK INC NTS B/E RATE 07.650% MATURES 03/15/42 ACCRUED INTEREST \$796.87					
UBS - IRA - Yatish IRA XXX11 ⇒ CREDIT SUISSE AG RT 0.000% DUE 06/29/18 LINKED TO INDEX		\$50,001 - \$100,000	Tax-Deferred		
DESCRIPTION: Structured Note					
UBS - IRA - Yatish IRA XXX11 ⇒ HARTFORD BALANCED INCOME FUND CLASS I - HBLIX		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - Yatish IRA XXX11 ⇒ HSBC USA INC TRIGGER PS SX5E 2/28/2023		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: HSBC USA INC TRIGGER PS SX5E 2/28/2023 Exchange: OTC					
UBS - IRA - Yatish IRA XXX11 ⇒ HSBC USA INC. TRIGGER ROS RTY 12/29/2017		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: HSBC USA INC. TRIGGER ROS RTY 12/29/2017					
UBS - IRA - Yatish IRA XXX11 ⇒ JANUS HENDERSON BALANCED FUND CLASS I SHARES - JBALX		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - IRA - Yatish IRA XXX11 ⇒ JPMORGAN CHASE & CO. TRIGGER ROS SX5E 08/31/2018		\$50,001 - \$100,000	Tax-Deferred		
DESCRIPTION: JPMORGAN CHASE & CO. TRIGGER ROS SX5E 08/31/2018 Exchange: OTC					
UBS - IRA - Yatish IRA XXX11 ⇒ MORGAN STANLEY FINANCE CT-GEARS SX5E 03/31/2020		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: MORGAN STANLEY FINANCE CT-GEARS SX5E 03/31/2020 Exchange: OTC					
UBS - IRA - Yatish IRA XXX11 ⇒ MORGAN STANLEY TRIGGER PS SPX 07/31/2024		\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: MORGAN STANLEY TRIGGER PS SPX 7/31/2024 Exchange: OTC					
UBS - IRA - Yatish IRA XXX11 ⇒ TEMPLETON GLBAL BOND ADV - TGBAX		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: TEMPLETON GLBAL BOND ADV Symbol: TGBAX					
UBS - IRA - Yatish IRA XXX11 ⇒ WESTWOOD INCOME OPPORTUNITY FUND INSTITUTIONAL CLASS - WHGIX		\$15,001 - \$50,000	Tax-Deferred		
UBS - IRA - YATISH ROTH ⇒ INVESCO GLOBAL SMALL & MID CAP GROWTH FUND CLASS A - AGAAX		\$1,001 - \$15,000	Tax-Deferred		
UBS - Irrevocable TR - AI ⇒ AlphaKeys Real Estate Opportunity Fund IV		\$100,001 - \$250,000	None		
UBS - Irrevocable TR - AI ⇒ NB Crossroads 2010 Fund		\$250,001 - \$500,000	None		
UBS - Irrevocable TR - AI ⇒ UBS FDIC Insured Deposit		\$100,001 - \$250,000	Interest	\$1 - \$200	\$1 - \$200
UBS - Non GST TR ⇒ Blue Mountain Credit Alternatives Fund		\$500,001 - \$1,000,000	None		
UBS - Non GST TR ⇒ Guggenheim Directional Allocation Fund		\$15,001 - \$50,000	Capital Gains	None	\$5,001 - \$15,000
UBS - Non GST TR ⇒ HSBC USA Inc		\$100,001 - \$250,000	None		
DESCRIPTION: Structured note tied to EuroStoxx 50 Index.					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ 1st Source Corporation (SRCE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ A.O. Smith Corporation (AOS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ ABB Ltd (ABB)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ ABIOMED, Inc. (ABMD)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Acuity Brands Inc (AYI)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1,001 - \$2,500
UBS - NonGST ⇒ Adobe Systems Incorporated (ADBE)		\$15,001 - \$50,000	Capital Gains	None	\$2,501 - \$5,000
UBS - NonGST ⇒ Advanced Micro Devices, Inc. (AMD)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Akamai Technologies, Inc. (AKAM)		\$15,001 - \$50,000	None		
UBS - NonGST ⇒ Alexion Pharmaceuticals, Inc. (ALXN)		\$15,001 - \$50,000	None		
UBS - NonGST ⇒ Alleghany Corporation (Y)		\$15,001 - \$50,000	None		
UBS - NonGST ⇒ Allegion plc Ordinary Shares (ALLE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Allergan plc Ordinary Shares (AGN)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Allianz SE ADS (AZSEY)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Allstate Corp. Matures 05/16/19		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Corporate Bond					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ Alphabet Inc. - Class A (GOOGL)		\$15,001 - \$50,000	None		
UBS - NonGST ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)		\$15,001 - \$50,000	None		
UBS - NonGST ⇒ Altria Group, Inc. (MO)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$1,001 - \$2,500
UBS - NonGST ⇒ Amadeus IT Holding SA Unsponsored American Depositary Shares (AMADY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Amazon.com, Inc. (AMZN)		\$15,001 - \$50,000	None		
UBS - NonGST ⇒ Amcor Limited American Depositary Shares (AMCRY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Amdocs Limited - Ordinary Shares (DOX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ American Express Co. Matures 03/19/18 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ American Express Company (AXP)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ American States Water Company (AWR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Ameriprise Financial, Inc. (AMP)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Amphenol Corporation (APH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Anadarko Petroleum Corporation (APC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Analog Devices, Inc. (ADI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ Anheuser-Busch Inbev SA Sponsored ADR (BUD)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Anika Therapeutics Inc. (ANIK)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Apache Corporation (APA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Apple Inc. (AAPL)		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS - NonGST ⇒ Applied Industrial Technologies, Inc. (AIT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ AptarGroup, Inc. (ATR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Aptiv PLC Ordinary Shares (APTV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Arconic Inc. (ARNC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Artisan Partners Asset Management Inc. Class A (APAM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Aspen Technology, Inc. (AZPN)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ AT&T Inc. Matures 02/01/18 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ ATRION Corporation (ATRI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Autodesk, Inc. (ADSK)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Avery Dennison Corporation (AVY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Ball Corporation (BLL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Banco Bradesco Sa American Depositary Shares (BBD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Banco Santander, S.A. Sponsored ADR (SAN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Bank of America Corporation (BAC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Bank of Hawaii Corporation (BOH)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Baxter International Inc. (BAX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Bemis Company, Inc. (BMS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Berkshire Hathaway Inc. New (BRK.B)		\$15,001 - \$50,000	None		
UBS - NonGST ⇒ Big Lots, Inc. (BIG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Biogen Inc. (BIIB)		\$15,001 - \$50,000	Capital Gains	None	\$201 - \$1,000
UBS - NonGST ⇒ BioMarin Pharmaceutical Inc. (BMRN)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Bio-Techne Corp (TECH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ BlackRock, Inc. (BLK)		\$15,001 - \$50,000	None		
UBS - NonGST ⇒		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Boston Beer Company, Inc. (SAM)					
UBS - NonGST ⇒ Boston Scientific Corporation (BSX)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ BP p.l.c. (BP)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Bridge Bancorp, Inc. (BDGE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Brinker International, Inc. (EAT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Bristol-Myers Squibb Company (BMY)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$5,001 - \$15,000
UBS - NonGST ⇒ British American Tobacco Industries, p.l.c. Common Stock ADR (BTI)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Broadcom Limited - Ordinary Shares (AVGO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Broadridge Financial Solutions, Inc. (BR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Bruker Corporation (BRKR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Brunswick Corporation (BC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Burlington Stores, Inc. (BURL)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ BWX Technologies, Inc. (BWXT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ C.H. Robinson Worldwide, Inc. (CHRW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Cabot Oil & Gas Corporation (COG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ Canadian National Railway Company (CNI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Canon, Inc. American Depositary Shares (CAJ)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Capital One Multi-Asset Matures 01/15/25		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Carlsberg AS Sponsored ADR Representing Class B Shares (CABGY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ CarMax Inc (KMX)		\$15,001 - \$50,000	None		
UBS - NonGST ⇒ Carnival Corporation (CCL)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Caseys General Stores, Inc. (CASY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Celgene Corporation (CELG)		\$15,001 - \$50,000	None		
UBS - NonGST ⇒ Cenovus Energy Inc (CVE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Centene Corporation (CNC)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Centerpoint Energy Transition Matures 08/15/23		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ CGI Group, Inc. (GIB)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Charles River Laboratories International, Inc. (CRL)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Charles Schwab Corporation (SCHW)		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ Chemed Corp (CHE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Chevron Corporation (CVX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ China Mobile Limited (CHL)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Chipotle Mexican Grill, Inc. (CMG)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Choice Hotels International, Inc. (CHH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Cielo SA Sponsored ADR (CIOXY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Cigna Corporation (CI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Cinemark Holdings Inc Cinemark Holdings, Inc. (CNK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Cisco Systems, Inc. (CSCO)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Clorox Company (CLX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Coca-Cola Company (KO)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Cohen & Steers Inc (CNS)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS - NonGST ⇒ Coherent, Inc. (COHR)		\$15,001 - \$50,000	None		
UBS - NonGST ⇒ Comcast Corporation - Class A (CMCSA)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Commerce Bancshares, Inc. (CBSH)					
UBS - NonGST ⇒ Compagnie Financiere Richemont Unsponsored ADR (CFRUY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Compass Group Plc American Depositary Shares (CMPGY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Constellation Brands, Inc. (STZ)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$5,001 - \$15,000
UBS - NonGST ⇒ CoreCivic, Inc. (CXW)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ CoreSite Realty Corporation (COR)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ CorVel Corp. (CRVL)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Costco Wholesale Corporation (COST)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$2,501 - \$5,000
UBS - NonGST ⇒ Crown Castle International Corp. (CCI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Csl Ltd SPONSORED ADR (CSLLY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ CubeSmart Common Shares (CUBE)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ CVB Financial Corporation (CVBF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ CVS Health Corporation (CVS)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Deere & Company (DE)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Delta Air Lines, Inc. (DAL)					
UBS - NonGST ⇒ DENTSPLY SIRONA Inc. (XRAY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Deutsche Boerse Ag Unsponsored ADR (DBOEY)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS - NonGST ⇒ Deutsche Telekom AG (DTEGY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Diamondback Energy, Inc. - Common Stock (FANG)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Discover Card Matures 01/18/22		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Dollar General Corporation (DG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Dollar Tree, Inc. (DLTR)		\$15,001 - \$50,000	None		
UBS - NonGST ⇒ Donaldson Company, Inc. (DCI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Dorman Products, Inc. (DORM)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Dril-Quip, Inc. (DRQ)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ DST Systems, Inc. (DST)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Eaton Vance Corporation (EV)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ eBay Inc. (EBAY)		\$1,001 - \$15,000	Capital Gains	None	\$1,001 - \$2,500
UBS - NonGST ⇒ Ecolab Inc. (ECL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ Edwards Lifesciences Corporation (EW)		\$1,001 - \$15,000	Capital Gains	None	\$201 - \$1,000
UBS - NonGST ⇒ Electronic Arts Inc. (EA)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Eli Lilly and Company (LLY)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Enel Societa Per Azioni Unsponsored ADR (ENLAY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ ENI S.p.A. (E)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Entergy Corporation (ETR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ EPAM Systems, Inc. (EPAM)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Essilor International SA ADR (ESLOY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Esterline Technologies Corporation (ESL)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ ExlService Holdings, Inc. (EXLS)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Expeditors International of Washington, Inc. (EXPD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Facebook, Inc. - Class A (FB)		\$15,001 - \$50,000	None		
UBS - NonGST ⇒ Fanuc Corporation Unsponsored ADR (FANUY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ FedEx Corporation (FDX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒		\$1,001 - \$15,000	Dividends	\$201 -	\$201 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
First American Corporation (FAF)				\$1,000	\$1,000
UBS - NonGST ⇒ FLIR Systems, Inc. (FLIR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Flowers Foods, Inc. (FLO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ FNMA PL Matures 10/01/25		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Fomento Economico Mexicano S.A.B. de C.V. (FMX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Fortune Brands Home & Security, Inc. (FBHS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Forum Energy Technologies, Inc. (FET)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Franklin Electric Co., Inc. (FELE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Franklin Resources, Inc. (BEN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ FUJIFILM Holdings Corporation American Depositary Shares (FUJIY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ GEA Group Ag Sponsored ADR (GEAGY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ General Dynamics Corporation (GD)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Gentherm Inc (THRM)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Genuine Parts Company (GPC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ German American Bancorp, Inc. (GABC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ Gilead Sciences, Inc. (GILD)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ GlaxoSmithKline PLC (GSK)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Globus Medical, Inc. Class A (GMED)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Goldman Sachs Group, Inc. (GS)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Haemonetics Corporation (HAE)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Harris Corporation (HRS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Hartford Financial Services Group, Inc. (HIG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Hasbro, Inc. (HAS)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Hawaiian Electric Industries, Inc. (HE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Helen of Troy Limited (HELE)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Herman Miller, Inc. (MLHR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Home Depot, Inc. (HD)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Honda Motor Company, Ltd. (HMC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Honeywell International Inc. (HON)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ Horace Mann Educators Corporation (HMN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Hub Group, Inc. - Class A (HUBG)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Huntington Bancshares Incorporated (HBAN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Huntsman Corporation (HUN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Iberdrola Sa Sponsored ADR (IBDRY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ IDEXX Laboratories, Inc. (IDXX)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ IHS Markit Ltd. - Common Shares (INFO)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ ILG, Inc (ILG)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Illumina, Inc. (ILMN)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Incyte Corporation (INCY)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Independent Bank Corp. (INDB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Infinity Property and Casualty Corporation (IPCC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Informa PLC Sponsored ADR (IFJPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ ING Group, N.V. (ING)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ InterDigital, Inc. (IDCC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ Intesa Sanpaolo S.P.A. Sponsored ADR (ISNPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Intuitive Surgical, Inc. (ISRG)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Invesco Ltd (IVZ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ IPG Photonics Corporation (IPGP)		\$1,001 - \$15,000	Capital Gains	None	\$201 - \$1,000
UBS - NonGST ⇒ Isuzu Motors Ltd Ord American Depositary Shares (ISUZY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Japan Tobacco Un-sponsored American Depositary Receipt (JAPAY)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS - NonGST ⇒ Johnson & Johnson (JNJ)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ JP Morgan Chase & Co. (JPM)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Julius Baer Group Ltd Un-sponsored ADR (JBAXY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Kadant Inc (KAI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Kao Corporation Sponsored ADR (KCRPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Kasikornbank Public Co Ltd Un-sponsored ADR (KPCPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Keysight Technologies Inc. (KEYS)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒		\$1,001 - \$15,000	Interest	\$201 -	\$201 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Kimberly Clark Corp. Matures 08/01/20				\$1,000	\$1,000
DESCRIPTION: Corporate Bond					
UBS - NonGST ⇒ Kingfisher plc Sponsored American Depositary Receipt (KGFHY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Kroger Company (KR)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Kroton Educacional SA, Brazil Sponsored ADR (KROTY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Lakeland Financial Corporation (LKFN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Lancaster Colony Corporation (LANC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Landstar System, Inc. (LSTR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Las Vegas Sands Corp. (LVS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ LaSalle Hotel Properties (LHO)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Lennar Corporation Class A (LEN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Liberty Broadband Corporation - Class C (LBRDK)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Liberty Interactive Corporation - Series A QVC Group (QVCA)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Liberty Media Corporation - Series C Liberty SiriusXM (LSXMK)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Lincoln Electric Holdings, Inc. - Common Shares (LECO)					
UBS - NonGST ⇒ Lions Gate Entertainment Corporation Class A Voting Shares (LGF.A)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Lions Gate Entertainment Corporation Class B Non-Voting Shares (LGF.B)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Lloyds Banking Group Plc American Depositary Shares (LYG)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Lowe's Companies, Inc. (LOW)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ M&T Bank Corporation (MTB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Markel Corporation (MKL)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ MarketAxess Holdings, Inc. (MKTIX)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$2,501 - \$5,000
UBS - NonGST ⇒ Martin Marietta Materials, Inc. (MLM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Masimo Corporation (MASI)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Mastercard Incorporated (MA)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ McCormick & Company, Incorporated (MKC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ McKesson Corporation (MCK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Mediobanca - Banca Di Credito Finanziario SPA Un-sponsored ADR (MDIBY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ Mednax, Inc. (MD)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Medtronic plc. Ordinary Shares (MDT)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Metlife Inc. Matures 09/15/23		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Corporate Bond					
UBS - NonGST ⇒ Metlife Inc. Matures 12/15/22		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Corporate Bond					
UBS - NonGST ⇒ Mettler-Toledo International, Inc. (MTD)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ MGM Resorts International (MGM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Microchip Technology Incorporated (MCHP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Micron Technology, Inc. (MU)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Microsemi Corporation (MSCC)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Microsoft Corporation (MSFT)		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
UBS - NonGST ⇒ Minerals Technologies Inc. (MTX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Mondelez International, Inc. - Class A (MDLZ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Monro, Inc. (MNRO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Monsanto Company (MON)					
UBS - NonGST ⇒ Monster Beverage Corporation (MNST)		\$1,001 - \$15,000	Capital Gains	None	\$2,501 - \$5,000
UBS - NonGST ⇒ Moody's Corporation (MCO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Morningstar, Inc. (MORN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ National Fuel Gas Company (NFG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ National Grid Transco, PLC National Grid PLC (NGG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ National Rural Utilities Co-Op Matures 01/27/20		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Corporate Bond					
UBS - NonGST ⇒ Natural Gas Services Group, Inc. (NGS)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ NETGEAR, Inc. (NTGR)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ New York Community Bancorp, Inc. (NYCB)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ NewJersey Resources Corporation (NJR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ NewMarket Corp (NEU)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ NIC Inc. (EGOV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Nike, Inc. (NKE)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$5,001 - \$15,000
UBS - NonGST ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
NiSource Inc (NI)					
UBS - NonGST ⇒ Norfolk Southern Corporation (NSC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Novartis AG (NVS)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ NTT DOCOMO, Inc American Depositary Shares (DCM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ NVIDIA Corporation (NVDA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Oceaneering International, Inc. (OII)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Old Dominion Freight Line, Inc. (ODFL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Omnicom Group Inc. (OMC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ ONE Gas, Inc. (OGS)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Open Text Corporation - Common Shares (OTEX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Oppenheimer Developing Markets Class Y		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
UBS - NonGST ⇒ Oppenheimer International Bond Fund Class Y		\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	\$5,001 - \$15,000
UBS - NonGST ⇒ Oracle Corporation (ORCL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Orbital ATK, Inc. (OA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ O'Reilly Automotive, Inc. (ORLY)		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ Paddy Power plc Unsponsored ADR (PDYPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Palo Alto Networks, Inc. (PANW)		\$1,001 - \$15,000	Capital Gains	None	\$1,001 - \$2,500
UBS - NonGST ⇒ PayPal Holdings, Inc. (PYPL)		\$15,001 - \$50,000	Capital Gains	None	\$1,001 - \$2,500
UBS - NonGST ⇒ Pernod Ricard SA Unsponsored ADR (PDRDY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Pioneer Natural Resources Company (PXD)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Plantronics, Inc. (PLT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ PNC Bank Matures 02/17/22 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Pool Corporation (POOL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Power Integrations, Inc. (POWI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ PPL Corporation (PPL)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ PPL Electric Utilities Corp. Matures 09/15/21 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Prada Spa Unsponsored ADR (PRDSY)		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Praxair, Inc. (PX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒		\$15,001 - \$50,000	Dividends	\$201 -	\$201 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Progressive Corporation (PGR)				\$1,000	\$1,000
UBS - NonGST ⇒ PT Bank Mandiri Persero TBK Unsponsored ADR (PPER)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ PTC Inc. (PTC)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Public Service Electric & Gas Co. Matures 08/15/24 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Public Service Electric Matures 09/15/26 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ QBE Insurance Group Ltd Sponsored American Depositary Receipt (QBIEY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Quaker Chemical Corporation (KWR)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS - NonGST ⇒ Reckitt Benckiser Plc Sponsored ADR (RBGLY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Red Hat, Inc. (RHT)		\$15,001 - \$50,000	None		
UBS - NonGST ⇒ Regal Entertainment Group Class A (RGC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Regeneron Pharmaceuticals, Inc. (REGN)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ RELX PLC PLC American Depositary Shares (RELX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Rockwell Collins, Inc. (COL)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Royal Caribbean Cruises Ltd. (RCL)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ RSP Permian, Inc. (RSPP)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Rwe Ag Ord Bearer Dm5 American Depositary Receipt (RWEQY)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Ryman Hospitality Properties, Inc. (RHP)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Sabra Health Care REIT, Inc. (SBRA)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Sally Beauty Holdings, Inc. (SBH)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Sanderson Farms, Inc. (SAFM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Sanofi American Depositary Shares (SNY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ SAP SE ADS (SAP)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ SBA Communications Corporation - Class A (SBAC)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Schlumberger N.V. (SLB)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Schneider Electric SE Unsponsored ADR (SBGSY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Scotts Miracle-Gro Company (SMG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Seagate Technology PLC - Ordinary Shares (STX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ ServiceNow, Inc. (NOW)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Sherwin-Williams Company (SHW)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Silgan Holdings Inc. (SLGN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Singapore Telecommunications Limited Sponsored ADR New 2006 (SGAPY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Sirius XM Holdings Inc. (SIRI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Smith & Nephew SNATS, Inc. (SNN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Sonoco Products Company (SON)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Southwest Airlines Company (LUV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Splunk Inc. (SPLK)		\$15,001 - \$50,000	None		
UBS - NonGST ⇒ Square, Inc. Class A (SQ)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Starbucks Corporation (SBUX)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Sun Communities, Inc. (SUI)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Suncor Energy Inc. (SU)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Suntrust Bank Matures 05/01/23		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Corporate Bond					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ SunTrust Banks, Inc. (STI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Synopsys, Inc. (SNPS)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Synovus Financial Corp. (SNV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Syntel, Inc. (SYNT)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Sysco Corporation (SYY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Takeda Pharmaceutical Co Ltd Sponsored ADR (TKPYY)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Tanger Factory Outlet Centers, Inc. (SKT)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Tech Data Corporation (TECD)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Telefonica SA (TEF)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ TeliaSonera AB Un-sponsored ADR (TLSNY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Templeton Global Bond ADV		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
UBS - NonGST ⇒ Tennant Company (TNC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Tenneco Inc. (TEN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ Teradata Corporation (TDC)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Tesco Plc Ord American Depositary Shares (TSCDY)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Texas Capital Bancshares, Inc. (TCBI)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Texas Instruments Incorporated (TXN)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Texas Roadhouse, Inc. (TXRH)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS - NonGST ⇒ TGRT Corp. Matures 07/15/20 DESCRIPTION: Corporate Bond		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ The Cheesecake Factory Incorporated (CAKE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ The Ensign Group, Inc. (ENSG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ The Hain Celestial Group, Inc. (HAIN)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ The Kraft Heinz Company (KHC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ The Ultimate Software Group, Inc. (ULTI)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Thermo Fisher Scientific Inc (TMO)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ TJX Companies, Inc. (TJX)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Tokio Marine Holdings, Inc. Sponsored ADR (TKOMY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ Tompkins Financial Corporation (TMP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Tootsie Roll Industries, Inc. (TR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ TransUnion (TRU)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Tupperware Brands Corporation (TUP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Tyler Technologies, Inc. (TYL)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ U.S. Physical Therapy, Inc. (USPH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Ubiquiti Networks, Inc. (UBNT)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ UBS Group AG Registered Ordinary Shares (UBS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ UMB Financial Corporation (UMBF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Unifirst Corporation (UNF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Unilever NV (UN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ United Overseas Bk Singapore American Depositary Shares (UOVEY)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ United Parcel Service, Inc. (UPS)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ United Rentals, Inc. (URI)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒		\$15,001 - \$50,000	Dividends	\$201 -	\$201 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UnitedHealth Group Incorporated Common Stock (UNH)				\$1,000	\$1,000
UBS - NonGST ⇒ US Bank Cincinnati		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Corporate Bond					
UBS - NonGST ⇒ US Treasury Note Matures 02/15/25		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ US Treasury Note Matures 05/15/23		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ US Treasury Note Matures 06/30/24		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ US Treasury Note Matures 08/15/26		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ US Treasury Note Matures 08/15/27		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ US Treasury Note Matures 09/30/18		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ US Treasury Note Matures 09/30/22		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ US Treasury Note Matures 10/31/21		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Vail Resorts, Inc. (MTN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Valmont Industries, Inc. (VMI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Vantiv, Inc. Class A (VNTV)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Verizon Communications Inc. (VZ)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ Vinci SA ADR (VCISY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Visa Inc. (V)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Vmware, Inc. Common stock, Class A (VMW)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ W.W. Grainger, Inc. (GWW)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Wabco Holdings Inc. (WBC)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Walt Disney Company (DIS)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Walt Disney Company Matures 05/30/19		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Corporate Bond					
UBS - NonGST ⇒ Washington Federal, Inc. (WAFD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Washington Trust Bancorp, Inc. (WASH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Waste Connections, Inc. Common Shares (WCN)		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$1,001 - \$2,500
UBS - NonGST ⇒ Wells Fargo & Company (WFC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Welltower Inc. (HCN)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - NonGST ⇒ Western Alliance Bancorporation Common Stock (WAL)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Western Union Company (WU)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - NonGST ⇒ WEX Inc. common stock (WEX)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ WH Group Ltd. Unsponsored American Depositary Receipt (WGHPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Sponsored Version					
UBS - NonGST ⇒ Williams-Sonoma, Inc. Common Stock (WSM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Wolters Kluwer N V Ord American Depositary Shares (WTKWY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Woodward, Inc. (WWD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ WPP plc American Depositary Shares (WPP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Xcel Energy Inc. (XEL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Yahoo Japan Corp Unsponsored ADR (YAHYOY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Yum China Holdings, Inc. (YUMC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Zayo Group Holdings, Inc. (ZAYO)		\$1,001 - \$15,000	None		
UBS - NonGST ⇒ Zimmer Biomet Holdings, Inc. (ZBH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Zoetis Inc. Class A (ZTS)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - NonGST ⇒ Zurich Insurance Group Limited Sponsored American Depositary Shares (ZURVY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi IRR Tr-Access ⇒		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Alexion Pharmaceuticals, Inc. (ALXN)					
UBS - Yatish J Joshi IRR Tr-Access ⇒ Alleghany Corporation (Y)		\$1,001 - \$15,000	None		
UBS - Yatish J Joshi IRR Tr-Access ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)		\$1,001 - \$15,000	None		
UBS - Yatish J Joshi IRR Tr-Access ⇒ Altria Group, Inc. (MO)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi IRR Tr-Access ⇒ Apple Inc. (AAPL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi IRR Tr-Access ⇒ Bank of America Corporation (BAC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi IRR Tr-Access ⇒ Berkshire Hathaway Inc. New (BRK.B)		\$15,001 - \$50,000	None		
UBS - Yatish J Joshi IRR Tr-Access ⇒ BlackRock, Inc. (BLK)		\$1,001 - \$15,000	None		
UBS - Yatish J Joshi IRR Tr-Access ⇒ Bristol-Myers Squibb Company (BMY)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS - Yatish J Joshi IRR Tr-Access ⇒ CarMax Inc (KMX)		\$1,001 - \$15,000	None		
UBS - Yatish J Joshi IRR Tr-Access ⇒ Carnival Corporation (CCL)		\$1 - \$1,000	None		
UBS - Yatish J Joshi IRR Tr-Access ⇒ Chevron Corporation (CVX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi IRR Tr-Access ⇒ Cisco Systems, Inc. (CSCO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi IRR Tr-Access ⇒ Coca-Cola Company (KO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi IRR Tr-Access ⇒ Deere & Company (DE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - Yatish J Joshi IRR Tr-Access ⇒ Dollar Tree, Inc. (DLTR)		\$1,001 - \$15,000	None		
UBS - Yatish J Joshi IRR Tr-Access ⇒ Eli Lilly and Company (LLY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi IRR Tr-Access ⇒ FedEx Corporation (FDX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi IRR Tr-Access ⇒ General Dynamics Corporation (GD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi IRR Tr-Access ⇒ Lowe's Companies, Inc. (LOW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi IRR Tr-Access ⇒ NewMarket Corp (NEU)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi IRR Tr-Access ⇒ Nike, Inc. (NKE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi IRR Tr-Access ⇒ Norfolk Southern Corporation (NSC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi IRR Tr-Access ⇒ O'Reilly Automotive, Inc. (ORLY)		\$1,001 - \$15,000	None		
UBS - Yatish J Joshi IRR Tr-Access ⇒ Progressive Corporation (PGR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi IRR Tr-Access ⇒ Southwest Airlines Company (LUV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi IRR Tr-Access ⇒ UBS FDIC INSURED DEP PGM		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi IRR Tr-Access ⇒ Verizon Communications Inc. (VZ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi IRR Tr-Access ⇒ Visa Inc. (V)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS - Yatish J Joshi IRR Tr-Access ⇒		\$1,001 - \$15,000	Dividends	\$201 -	\$201 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Wells Fargo & Company (WFC)				\$1,000	\$1,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Accenture plc Class A Ordinary Shares (ACN)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ BlackRock, Inc. (BLK)		\$1,001 - \$15,000	None		
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ British American Tobacco Industries, p.l.c. Common Stock ADR (BTI)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Chevron Corporation (CVX)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Chubb Limited (CB)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Coca-Cola Company (KO)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Colgate-Palmolive Company (CL)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Crane Company (CR)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ CVS Health Corporation (CVS)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Diageo plc (DEO)		\$15,001 - \$50,000	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Home Depot, Inc. (HD)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Intel Corporation (INTC)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Invesco Ltd (IVZ)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Johnson & Johnson (JNJ)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Lockheed Martin Corporation (LMT)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Marsh & McLennan Companies, Inc. (MMC)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ McDonald's Corporation (MCD)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Medtronic plc. Ordinary Shares (MDT)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Microsoft Corporation (MSFT)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ NextEra Energy, Inc. (NEE)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Novartis AG (NVS)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Pepsico, Inc. (PEP)		\$1 - \$1,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Praxair, Inc. (PX)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Rockwell Automation, Inc. (ROK)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Suncor Energy Inc. (SU)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Texas Instruments Incorporated (TXN)		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ Union Pacific Corporation (UNP)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ United Technologies Corporation (UTX)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - Yatish J Joshi Revocable Trust - DivRul ⇒ V.F. Corporation (VFC)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Accenture plc Class A Ordinary Shares (ACN)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Adobe Systems Incorporated (ADBE)		\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Alphabet Inc. - Class A (GOOGL)		\$15,001 - \$50,000	Capital Gains	None	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ American Tower Corporation (AMT)		\$15,001 - \$50,000	Capital Gains	None	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Ameriprise Financial, Inc. (AMP)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ AmerisourceBergen Corporation (ABC)		\$15,001 - \$50,000	Capital Gains, Dividends	None	\$1 - \$200
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ BlackRock, Inc. (BLK)		\$15,001 - \$50,000	Capital Gains	\$1 - \$200	None
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Colgate-Palmolive Company (CL)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Comcast Corporation - Class A (CMCSA)		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ CVS Health Corporation (CVS)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Danaher Corporation (DHR)		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Ecolab Inc. (ECL)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Facebook, Inc. - Class A (FB)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$1,001 - \$2,500
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Home Depot, Inc. (HD)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Honeywell International Inc. (HON)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Intercontinental Exchange Inc. (ICE)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$1 - \$200
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Invesco Ltd (IVZ)		\$15,001 - \$50,000	Capital Gains, Dividends	None	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Lowe's Companies, Inc. (LOW)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ McDonald's Corporation (MCD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Medtronic plc. Ordinary Shares (MDT)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Mondelez International, Inc. - Class A (MDLZ)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	None
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ O'Reilly Automotive, Inc. (ORLY)		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	None
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Parker-Hannifin Corporation (PH)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	None
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Red Hat, Inc. (RHT)		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	None
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Rockwell Automation, Inc. (ROK)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$1,001 - \$2,500
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Schlumberger N.V. (SLB)		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	None
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Starbucks Corporation (SBUX)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Thermo Fisher Scientific Inc (TMO)		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ TJX Companies, Inc. (TJX)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ UBS BANK USA BUS ACCT		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ United Technologies Corporation (UTX)		\$15,001 - \$50,000	Capital Gains, Dividends	None	\$201 - \$1,000
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Visa Inc. (V)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$1 - \$200
UBS - Yatish J Joshi Revocable Trust - QGARP ⇒ Walt Disney Company (DIS)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	None
UBS - Yatish Trust - Alt Port ⇒ ABBEY CAPITAL MULTI-MANAGER FUND LTD		\$250,001 - \$500,000	None		
UBS - Yatish Trust - Alt Port ⇒ ARES EUROPEAN RE IV LP		\$250,001 - \$500,000	None		
UBS - Yatish Trust - Alt Port ⇒ NINETEEN 77 GL MGR ARB		\$500,001 - \$1,000,000	None		
UBS - Yatish Trust - Alt Port ⇒ NINETEEN 77 GLOBAL MULTI-STRATEGY WM LLC		\$500,001 - \$1,000,000	None		
UBS - Yatish Trust - Alt Port ⇒ UBS Bank USA BUS ACCT		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
UBS - Yatish Trust - IRR Ins Prem ⇒ HSBC USA INC AIRBAG PS SX5E DESCRIPTION: Structured Product 09/30/2022		\$50,001 - \$100,000	None		
UBS - Yatish Trust - IRR Ins Prem ⇒ NATIONWIDE NEW YOURLIFE NO LAPSE GUAR WIRE DESCRIPTION: B501131400		\$500,001 - \$1,000,000	None		
UBS - Yatish Trust - IRR Ins Prem ⇒ UBS FDIC INSURED DEP PGM		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
UBS Addicott IRR TR- Global Select ⇒ 3M Company (MMM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Addicott IRR TR- Global Select ⇒ Adobe Systems Incorporated (ADBE)		\$1,001 - \$15,000	None		
UBS Addicott IRR TR- Global Select ⇒ Allergan plc Ordinary Shares (AGN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Addicott IRR TR- Global Select ⇒ Alphabet Inc. - Class A (GOOGL)		\$1,001 - \$15,000	None		
UBS Addicott IRR TR- Global Select ⇒ Alphabet Inc. - Class A (GOOGL)		\$1,001 - \$15,000	None		
UBS Addicott IRR TR- Global Select ⇒ Amazon.com, Inc. (AMZN)		\$1,001 - \$15,000	None		
UBS Addicott IRR TR- Global Select ⇒ American Tower Corporation (AMT)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒ Anadarko Petroleum Corporation (APC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Addicott IRR TR- Global Select ⇒ Apple Inc. (AAPL)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒ AT&T Inc. (T)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒ Barron's 400 (BFOR)		\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
UBS Addicott IRR TR- Global Select ⇒ Boeing Company (BA)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒ Celgene Corporation (CELG)		\$1,001 - \$15,000	None		
UBS Addicott IRR TR- Global Select ⇒ Chevron Corporation (CVX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒ Cisco Systems, Inc. (CSCO)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Addicott IRR TR- Global Select ⇒ Coca-Cola Company (KO)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒ Comcast Corporation - Class A (CMCSA)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒ FedEx Corporation (FDX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Addicott IRR TR- Global Select ⇒ General Motors Company (GM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒ Gilead Sciences, Inc. (GILD)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒ Intel Corporation (INTC)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒ Intercontinental Exchange Inc. (ICE)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1,001 - \$2,500
UBS Addicott IRR TR- Global Select ⇒ International Business Machines Corporation (IBM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒ iShares Core MSCI EAFE ETF (IEFA)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
UBS Addicott IRR TR- Global Select ⇒ iShares Core MSCI Emerging Markets ETF (IEMG)		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
UBS Addicott IRR TR- Global Select ⇒ iShares Core S&P 500 ETF (IVV)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS Addicott IRR TR- Global Select ⇒ iShares Core S&P Small-Cap ETF (IJR)		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒ iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS Addicott IRR TR- Global Select ⇒ iShares Intermediate Credit Bond ETF (CIU)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒		\$15,001 - \$50,000	Dividends	\$201 -	\$201 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
iShares MSCI China ETF (MCHI)				\$1,000	\$1,000
UBS Addicott IRR TR- Global Select ⇒ iShares MSCI EAFE ETF (EFA)		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
UBS Addicott IRR TR- Global Select ⇒ iShares Russell Midcap Growth ETF (IWP)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Addicott IRR TR- Global Select ⇒ iShares Russell Mid-cap Value ETF (IWS)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒ JP Morgan Chase & Co. (JPM)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒ McKesson Corporation (MCK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Addicott IRR TR- Global Select ⇒ Medtronic plc. Ordinary Shares (MDT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Addicott IRR TR- Global Select ⇒ Merck & Company, Inc. Common Stock (MRK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Addicott IRR TR- Global Select ⇒ Microsoft Corporation (MSFT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Addicott IRR TR- Global Select ⇒ Pepsico, Inc. (PEP)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒ Salesforce.com Inc (CRM)		\$1,001 - \$15,000	None		
UBS Addicott IRR TR- Global Select ⇒ Schlumberger N.V. (SLB)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒ Starbucks Corporation (SBUX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Addicott IRR TR- Global Select ⇒ The Travelers Companies, Inc. (TRV)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒ Thermo Fisher Scientific Inc (TMO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Addicott IRR TR- Global Select ⇒ TJX Companies, Inc. (TJX)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$2,501 - \$5,000
UBS Addicott IRR TR- Global Select ⇒ United Technologies Corporation (UTX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Addicott IRR TR- Global Select ⇒ VanEck Vectors J.P. Morgan EM Local Currency Bond ETF (EMLC)		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
UBS Addicott IRR TR- Global Select ⇒ VanEck Vectors Morningstar Wide Moat ETF (MOAT)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Addicott IRR TR- Global Select ⇒ VanEck Vectors Russia ETF (RSX)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS Addicott IRR TR- Global Select ⇒ Vanguard Mid-Cap ETF (VO)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS Addicott IRR TR- Global Select ⇒ Vanguard Total World Stock Index ETF (VT)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS Addicott IRR TR- Global Select ⇒ Visa Inc. (V)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Addicott IRR TR- Global Select ⇒ Walt Disney Company (DIS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Addicott IRR TR- Global Select ⇒ Yum! Brands, Inc. (YUM)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1,001 - \$2,500
UBS Addicott IRR Trust ⇒ Anadarko Petroleum Corporation (APC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ Aqua America, Inc. (WTR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ Baker Hughes, a GE company Class A (BHGE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ Cabot Oil & Gas Corporation (COG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Addicott IRR Trust ⇒ Callon Petroleum Company (CPE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ Cheniere Energy, Inc. (LNG)		\$1,001 - \$15,000	None		
UBS Addicott IRR Trust ⇒ Diamondback Energy, Inc. - Common Stock (FANG)		\$1,001 - \$15,000	None		
UBS Addicott IRR Trust ⇒ Dominion Energy, Inc. (D)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ DowDuPont Inc. (DWDP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ Enbridge Inc (ENB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ Energen Corporation (EGN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ EQT Corporation (EQT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ Forum Energy Technologies, Inc. (FET)		\$1,001 - \$15,000	None		
UBS Addicott IRR Trust ⇒ Halliburton Company (HAL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ Kinder Morgan, Inc. (KMI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ Marathon Petroleum Corporation (MPC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ MRC Global Inc. (MRC)		\$1,001 - \$15,000	None		
UBS Addicott IRR Trust ⇒ National Fuel Gas Company (NFG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ NewJersey Resources Corporation (NJR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Addicott IRR Trust ⇒ OGE Energy Corporation (OGE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ PDC Energy, Inc. (PDCE)		\$1,001 - \$15,000	None		
UBS Addicott IRR Trust ⇒ Pembina Pipeline Corp. Ordinary Shares (PBA)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
UBS Addicott IRR Trust ⇒ Pioneer Natural Resources Company (PXD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ Plains Group Holdings, L.P. Class A Shares representing limited partner interests (PAGP)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
UBS Addicott IRR Trust ⇒ Range Resources Corporation (RRC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ Sealed Air Corporation (SEE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ Sempra Energy (SRE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ Targa Resources, Inc. (TRGP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ TransCanada Corporation (TRP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ UGI Corporation (UGI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ Waste Management, Inc. (WM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS Addicott IRR Trust ⇒ Westlake Chemical Corporation (WLK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Addicott IRR Trust ⇒ Williams Companies, Inc. (WMB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS- Joshi- SA Trust ⇒ Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA)		\$250,001 - \$500,000	Capital Gains	None	\$15,001 - \$50,000
UBS- Joshi- SA Trust ⇒ Apple Inc. (AAPL)		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
UBS- Joshi- SA Trust ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU)		\$15,001 - \$50,000	Capital Gains	None	\$5,001 - \$15,000
UBS- Joshi- SA Trust ⇒ Freeport-McMoRan, Inc. (FCX)		\$50,001 - \$100,000	Capital Gains	None	\$1,001 - \$2,500
UBS- Joshi- SA Trust ⇒ Goldman Sachs Group, Inc. (GS\$C)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS- Joshi- SA Trust ⇒ Indiana Fin Auth Hosp 5.5% matures 05/01/24		\$1,001 - \$15,000	Interest	\$201 - \$1,000	None
UBS- Joshi- SA Trust ⇒ Indiana Fin Auth Hosp 5.5% matures 05/01/24 pre-refunded		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS- Joshi- SA Trust ⇒ MetLife, Inc. Preferred Series A Floating Rate (MET\$A)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS- Joshi- SA Trust ⇒ Morgan Stanley Dep Shs repstg 1/1000 Pfd Ser A (MS\$A)		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
UBS- Joshi- SA Trust ⇒ Oppenheimer Developing Markets Y		\$100,001 - \$250,000	Capital Gains, Dividends	\$201 - \$1,000	None
UBS- Joshi- SA Trust ⇒ Oppenheimer SteelPath MLP Alpha Fund Y		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
UBS- Joshi- SA Trust ⇒ Pimco Dynamic Credit & Mortgage Income		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
DESCRIPTION: Bond					
UBS- Joshi- SA Trust ⇒ Sacramento CA CTY FIN AU 5.4% matures 11/01/20		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS- Joshi- SA Trust ⇒ Suncor Energy Inc. (SU)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS- Joshi- SA Trust ⇒ Templeton Global Bond Adv (TGBAX)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS- Joshi- SA Trust ⇒ Vertex Pharmaceuticals Incorporated (VRTX)		\$50,001 - \$100,000	None		
UBS- Joshi- SA Trust ⇒ Wisconsin Pub Pwr Sys 5% matures 07/01/23		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS- Joshi- SA Trust ⇒ Wisconsin Public Power System Bond 5% matures 07/01/23 Pre-refunded		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS- Joshi- SA Trust ⇒ Wisconsin St Health & Ed 5% matures 06/01/26		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS Lamont Grat Annuity Trust ⇒ 1st Source Corporation (SRCE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ 3i Group Plc Unsponsored ADR (TGOPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ A.O. Smith Corporation (AOS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ AB Electrolux Class B American Depositary Receipts (ELUXY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ ABIOMED, Inc. (ABMD)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Acuity Brands Inc (AYI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Adobe Systems Incorporated (ADBE)		\$15,001 - \$50,000	None		
UBS Lamont Grat Annuity Trust ⇒ Advanced Micro Devices, Inc. (AMD)		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Lamont Grat Annuity Trust ⇒ Akamai Technologies, Inc. (AKAM)		\$15,001 - \$50,000	None		
UBS Lamont Grat Annuity Trust ⇒ Alexion Pharmaceuticals, Inc. (ALXN)		\$50,001 - \$100,000	None		
UBS Lamont Grat Annuity Trust ⇒ Align Technology, Inc. (ALGN)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Alleghany Corporation (Y)		\$15,001 - \$50,000	None		
UBS Lamont Grat Annuity Trust ⇒ Allegion plc Ordinary Shares (ALLE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Allianz SE ADS (AZSEY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Alphabet Inc. - Class A (GOOGL)		\$15,001 - \$50,000	Capital Gains	None	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)		\$50,001 - \$100,000	None		
UBS Lamont Grat Annuity Trust ⇒ Altria Group, Inc. (MO)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS Lamont Grat Annuity Trust ⇒ Amadeus IT Holding SA Un-sponsored American Depositary Shares (AMADY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Amazon.com, Inc. (AMZN)		\$50,001 - \$100,000	None		
UBS Lamont Grat Annuity Trust ⇒ Amcor Limited American Depositary Shares (AMCRY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Amdocs Limited - Ordinary Shares (DOX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ American Express Company (AXP)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
American States Water Company (AWR)					
UBS Lamont Grat Annuity Trust ⇒ Ameriprise Financial, Inc. (AMP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Amphenol Corporation (APH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Anadarko Petroleum Corporation (APC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Analog Devices, Inc. (ADI)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Anheuser-Busch Inbev SA Sponsored ADR (BUD)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Anika Therapeutics Inc. (ANIK)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Apache Corporation (APA)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Apple Inc. (AAPL)		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS Lamont Grat Annuity Trust ⇒ Applied Industrial Technologies, Inc. (AIT)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ AptarGroup, Inc. (ATR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Aptiv PLC Ordinary Shares (APTV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Arconic Inc. (ARNC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Artisan Partners Asset Management Inc. Class A (APAM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Aspen Technology, Inc. (AZPN)		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Lamont Grat Annuity Trust ⇒ Astellas Pharma Inc Unsponsored ADR (ALPMY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Atos Origin SA Unsponsored ADR (AEXAY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ ATRION Corporation (ATRI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Autodesk, Inc. (ADSK)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Avery Dennison Corporation (AVY)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ AXA SA Sponsored ADR (AXAHY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ BAE SYSTEMS plc Sponsored ADR (BAESY)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Ball Corporation (BLL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Banco Bradesco Sa American Depositary Shares (BBD)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Bank Hapoalim BM Sponsored ADR (BKHY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Bank of America Corporation (BAC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Bank of Hawaii Corporation (BOH)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ BASF SE American Depositary Shares (BASFY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Lamont Grat Annuity Trust ⇒ Baxter International Inc. (BAX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Bayer Aktiengesellschaft American Depositary Shares (BAYRY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Bemis Company, Inc. (BMS)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Berkshire Hathaway Inc. New (BRK.B)		\$50,001 - \$100,000	None		
UBS Lamont Grat Annuity Trust ⇒ Big Lots, Inc. (BIG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Biogen Inc. (BIIB)		\$15,001 - \$50,000	None		
UBS Lamont Grat Annuity Trust ⇒ BioMarin Pharmaceutical Inc. (BMRN)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Bio-Techne Corp (TECH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ BlackRock, Inc. (BLK)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS Lamont Grat Annuity Trust ⇒ BOC Hong Kong (BHKLY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Boston Beer Company, Inc. (SAM)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Boston Scientific Corporation (BSX)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Bridge Bancorp, Inc. (BDGE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Brinker International, Inc. (EAT)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Lamont Grat Annuity Trust ⇒ Bristol-Myers Squibb Company (BMY)		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$5,001 - \$15,000
UBS Lamont Grat Annuity Trust ⇒ British American Tobacco Industries, p.l.c. Common Stock ADR (BTI)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Broadcom Limited - Ordinary Shares (AVGO)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Broadridge Financial Solutions, Inc. (BR)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Bruker Corporation (BRKR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Brunswick Corporation (BC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Burlington Stores, Inc. (BURL)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ C.H. Robinson Worldwide, Inc. (CHRW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Cabot Oil & Gas Corporation (COG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Camden National Corporation (CAC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Canadian National Railway Company (CNI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Canadian Pacific Railway Limited (CP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Cap Gemini SA American Depositary Shares (CGEMY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Carlsberg AS Sponsored ADR Representing Class B Shares (CABGY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Lamont Grat Annuity Trust ⇒ CarMax Inc (KMX)		\$15,001 - \$50,000	None		
UBS Lamont Grat Annuity Trust ⇒ Carnival Corporation (CCL)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS Lamont Grat Annuity Trust ⇒ Carnival Plc ADS ADS (CUK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Caseys General Stores, Inc. (CASY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Celgene Corporation (CELG)		\$15,001 - \$50,000	Capital Gains	None	\$2,501 - \$5,000
UBS Lamont Grat Annuity Trust ⇒ Cenovus Energy Inc (CVE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Centene Corporation (CNC)		\$1,001 - \$15,000	Capital Gains	None	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ CGI Group, Inc. (GIB)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Charles River Laboratories International, Inc. (CRL)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Charles Schwab Corporation (SCHW)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Chemed Corp (CHE)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Chevron Corporation (CVX)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ China Construction Bank Corporation Un-sponsored ADR representing H shares (CICHY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ China Mobile Limited (CHL)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
China Shenhua Energy Co Ltd Unsponsored ADR representing A shs (CSUAY)					
UBS Lamont Grat Annuity Trust ⇒ Chipotle Mexican Grill, Inc. (CMG)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Choice Hotels International, Inc. (CHH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Cielo SA Sponsored ADR (CIOXY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Cigna Corporation (CI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Cinemark Holdings Inc Cinemark Holdings, Inc. (CNK)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Cisco Systems, Inc. (CSCO)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Clorox Company (CLX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Coca-Cola Company (KO)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS Lamont Grat Annuity Trust ⇒ Cohen & Steers Inc (CNS)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Coherent, Inc. (COHR)		\$15,001 - \$50,000	None		
UBS Lamont Grat Annuity Trust ⇒ Comcast Corporation - Class A (CMCSA)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Commerce Bancshares, Inc. (CBSH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Compagnie Financiere Richemont Unsponsored ADR (CFRUY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒		\$1,001 - \$15,000	Capital Gains,	\$201 -	\$201 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Compass Group Plc American Depositary Shares (CMPGY)			Dividends	\$1,000	\$1,000
UBS Lamont Grat Annuity Trust ⇒ Constellation Brands, Inc. (STZ)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$15,001 - \$50,000
UBS Lamont Grat Annuity Trust ⇒ Contrl Ag Ord American Depositary Shares (CTTAY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ CoreCivic, Inc. (CXW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ CoreSite Realty Corporation (COR)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$2,501 - \$5,000
UBS Lamont Grat Annuity Trust ⇒ CorVel Corp. (CRVL)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Costco Wholesale Corporation (COST)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Crown Castle International Corp. (CCI)		\$1,001 - \$15,000	Dividends, Excepted/Blind Trust	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Csl Ltd SPONSORED ADR (CSLLY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ CubeSmart Common Shares (CUBE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ CVB Financial Corporation (CVBF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ CVS Health Corporation (CVS)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Daiwa House Ind Ltd American Depositary Shares (DWAHY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Danone Sponsored ADR (DANOY)		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Lamont Grat Annuity Trust ⇒ Dbs Group Holdings Ltd Ord American Depositary Shares (DBSDY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Deere & Company (DE)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Delta Air Lines, Inc. (DAL)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1,001 - \$2,500
UBS Lamont Grat Annuity Trust ⇒ DENTSPLY SIRONA Inc. (XRAY)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Deutsche Boerse Ag Unsponsored ADR (DBOEY)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Deutsche Post AG Sponsored ADR (DPSGY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Diamondback Energy, Inc. - Common Stock (FANG)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Dollar Tree, Inc. (DLTR)		\$50,001 - \$100,000	None		
UBS Lamont Grat Annuity Trust ⇒ Donaldson Company, Inc. (DCI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Dorman Products, Inc. (DORM)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Dril-Quip, Inc. (DRQ)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ DST Systems, Inc. (DST)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ DXC Technology Company (DXC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Eaton Vance Corporation (EV)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
eBay Inc. (EBAY)					
UBS Lamont Grat Annuity Trust ⇒ Ecolab Inc. (ECL)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Edwards Lifesciences Corporation (EW)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Eli Lilly and Company (LLY)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS Lamont Grat Annuity Trust ⇒ ENN Energy Holdings Ltd Unsponsored ADR (XNGSY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Entergy Corporation (ETR)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ EPAM Systems, Inc. (EPAM)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Essilor International SA ADR (ESLOY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Esterline Technologies Corporation (ESL)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ ExlService Holdings, Inc. (EXLS)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Expeditors International of Washington, Inc. (EXPD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Facebook, Inc. - Class A (FB)		\$15,001 - \$50,000	Capital Gains	None	\$5,001 - \$15,000
UBS Lamont Grat Annuity Trust ⇒ Fanuc Corporation Unsponsored ADR (FANUY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ FedEx Corporation (FDX)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ First American Corporation (FAF)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Lamont Grat Annuity Trust ⇒ FLIR Systems, Inc. (FLIR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Flowers Foods, Inc. (FLO)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Fomento Economico Mexicano S.A.B. de C.V. (FMX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Fortune Brands Home & Security, Inc. (FBHS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Forum Energy Technologies, Inc. (FET)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Franklin Electric Co., Inc. (FELE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Franklin Resources, Inc. (BEN)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Fresenius Medical Care AG (FMS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Fujitsu Ltd ADR (FJTSY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ GEA Group Ag Sponsored ADR (GEAGY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ General Dynamics Corporation (GD)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Gentherm Inc (THRM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Genuine Parts Company (GPC)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ German American Bancorp, Inc. (GABC)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Globus Medical, Inc. Class A (GMED)					
UBS Lamont Grat Annuity Trust ⇒ Grupo Aeroportuario Del Pacifico, S.A. B. de C.V. Grupo Aeroportuario Del Pacifico, S.A. de C.V. (PAC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Haemonetics Corporation (HAE)		\$15,001 - \$50,000	None		
UBS Lamont Grat Annuity Trust ⇒ Harris Corporation (HRS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Hartford Financial Services Group, Inc. (HIG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Hasbro, Inc. (HAS)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Hawaiian Electric Industries, Inc. (HE)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Heineken Nv Sponsored ADR (HEINY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Helen of Troy Limited (HELE)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ HENKEL AG & CO KGAA PRFD SPON ADR		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: PREFERRED SECURITY SYM: HENYO					
UBS Lamont Grat Annuity Trust ⇒ Herman Miller, Inc. (MLHR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Hess Corporation (HES)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Hexcel Corporation (HXL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Hill-Rom Holdings Inc (HRC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Lamont Grat Annuity Trust ⇒ Hitachi, Ltd. American Depositary Shares (HTHIY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Home Depot, Inc. (HD)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$1,001 - \$2,500
UBS Lamont Grat Annuity Trust ⇒ Honeywell International Inc. (HON)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Horace Mann Educators Corporation (HMN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Howden Joinery Group Plc Un-sponsored ADR (HWDJY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Hoya Corp Sponsored ADR (HOCPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Hub Group, Inc. - Class A (HUBG)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Huntington Bancshares Incorporated (HBAN)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Huntsman Corporation (HUN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ IDEXX Laboratories, Inc. (IDXX)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ IHS Markit Ltd. - Common Shares (INFO)		\$1,001 - \$15,000	Capital Gains	None	\$1,001 - \$2,500
UBS Lamont Grat Annuity Trust ⇒ ILG, Inc (ILG)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Illumina, Inc. (ILMN)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Incyte Corporation (INCY)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Independent Bank Corp. (INDB)					
UBS Lamont Grat Annuity Trust ⇒ Infinity Property and Casualty Corporation (IPCC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Informa PLC Sponsored ADR (IFJPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ ING Group, N.V. (ING)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ InterDigital, Inc. (IDCC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Intesa Sanpaolo S.P.A. Sponsored ADR (ISNPY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Intuitive Surgical, Inc. (ISRG)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Invesco Ltd (IVZ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ IPG Photonics Corporation (IPGP)		\$1,001 - \$15,000	Capital Gains	None	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Japan Tobacco Un-sponsored American Depositary Receipt (JAPAY)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Johnson & Johnson (JNJ)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Julius Baer Group Ltd Un-sponsored ADR (JBAXY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Kadant Inc (KAI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Kao Corporation Sponsored ADR (KCRPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Kasikornbank Public Co Ltd Un-sponsored ADR		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
(KPCPY)					
UBS Lamont Grat Annuity Trust ⇒ Keysight Technologies Inc. (KEYS)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Kroton Educacional SA, Brazil Sponsored ADR (KROTY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Lakeland Financial Corporation (LKFN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Lancaster Colony Corporation (LANC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Landstar System, Inc. (LSTR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Las Vegas Sands Corp. (LVS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ LaSalle Hotel Properties (LHO)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Lee & Man Paper Manufacturing Limited Sponsored ADR (LMPMY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Legal & General Group Plc 10p American Depositary Shares (LGGNY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Lennar Corporation Class A (LEN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Liberty Broadband Corporation - Class C (LBRDK)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Liberty Interactive Corporation - Series A QVC Group (QVCA)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Liberty Media Corporation - Series C Liberty SiriusXM (LSXMK)		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Lamont Grat Annuity Trust ⇒ Lincoln Electric Holdings, Inc. - Common Shares (LECO)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Lions Gate Entertainment Corporation Class A Voting Shares (LGF.A)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Lions Gate Entertainment Corporation Class B Non-Voting Shares (LGF.B)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Lloyds Banking Group Plc American Depositary Shares (LYG)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Lowe's Companies, Inc. (LOW)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Lukoil Co Sponsored ADR (LUKOY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ M&T Bank Corporation (MTB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Macquarie Group, Ltd. Sponsored ADR (MQBKY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Magna International, Inc. (MGA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Manulife Financial Corporation (MFC)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Markel Corporation (MKL)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ MarketAxess Holdings, Inc. (MKTX)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1,001 - \$2,500
UBS Lamont Grat Annuity Trust ⇒ Martin Marietta Materials, Inc. (MLM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Lamont Grat Annuity Trust ⇒ Masimo Corporation (MASI)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ MATTHEWS CHINA FUND		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
UBS Lamont Grat Annuity Trust ⇒ McCormick & Company, Incorporated (MKC)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ McKesson Corporation (MCK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Mediobanca - Banca Di Credito Finanziario SPA Un-sponsored ADR (MDIBY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Mednax, Inc. (MD)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Mettler-Toledo International, Inc. (MTD)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ MGM Resorts International (MGM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Michelin Compagnie Generale des Etablissements Michelin Un-sponsored ADR representing Nom Shares (MGDDY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Microchip Technology Incorporated (MCHP)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Micron Technology, Inc. (MU)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Microsemi Corporation (MSCC)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Minerals Technologies Inc. (MTX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Mitsubishi Electric Corp ADR (MIELY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Lamont Grat Annuity Trust ⇒ Mondelez International, Inc. - Class A (MDLZ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Monro, Inc. (MNRO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Monsanto Company (MON)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Monster Beverage Corporation (MNST)		\$1,001 - \$15,000	Capital Gains	None	\$5,001 - \$15,000
UBS Lamont Grat Annuity Trust ⇒ Moody's Corporation (MCO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Morningstar, Inc. (MORN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ MS&AD Insurance Group Holdings, Inc. Un-sponsored ADR (MSADY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Muenchener Re Group AG Un-sponsored ADR (MURGY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ National Fuel Gas Company (NFG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Natural Gas Services Group, Inc. (NGS)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ NETGEAR, Inc. (NTGR)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ New York Community Bancorp, Inc. (NYCB)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ NewJersey Resources Corporation (NJR)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ NewMarket Corp (NEU)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Lamont Grat Annuity Trust ⇒ NIC Inc. (EGOV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Nike, Inc. (NKE)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$2,501 - \$5,000
UBS Lamont Grat Annuity Trust ⇒ NiSource Inc (NI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Norfolk Southern Corporation (NSC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Novartis AG (NVS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ NVIDIA Corporation (NVDA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Oceaneering International, Inc. (OII)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Old Dominion Freight Line, Inc. (ODFL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Omnicom Group Inc. (OMC)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ ONE Gas, Inc. (OGS)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Open Text Corporation - Common Shares (OTEX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ OPPENHEIMER DEVELOPING MARKETS CLASS Y		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Oracle Corporation (ORCL)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Orbital ATK, Inc. (OA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
O'Reilly Automotive, Inc. (ORLY)					
UBS Lamont Grat Annuity Trust ⇒ Paddy Power plc Unsponsored ADR (PDYPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Palo Alto Networks, Inc. (PANW)		\$15,001 - \$50,000	Capital Gains	None	\$5,001 - \$15,000
UBS Lamont Grat Annuity Trust ⇒ PayPal Holdings, Inc. (PYPL)		\$15,001 - \$50,000	None		
UBS Lamont Grat Annuity Trust ⇒ Pernod Ricard SA Unsponsored ADR (PDRDY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ PICC Property and Casualty Company Ltd Unsponsored ADR representing Shares -H- (PPCCY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Pioneer Natural Resources Company (PXD)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Plantronics, Inc. (PLT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Pool Corporation (POOL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ POSCO (PKX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Power Integrations, Inc. (POWI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ PPL Corporation (PPL)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Prada Spa Unsponsored ADR (PRDSY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Praxair, Inc. (PX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Progressive Corporation (PGR)		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Lamont Grat Annuity Trust ⇒ PT Bank Mandiri Persero TBK Un-sponsored ADR (PPER)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ PT Krakatau Steel Persero TBK Un-sponsored ADR (PKRKY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ PTC Inc. (PTC)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Quaker Chemical Corporation (KWR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Reckitt Benckiser Plc Sponsored ADR (RBGLY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Red Hat, Inc. (RHT)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Regal Entertainment Group Class A (RGC)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Regeneron Pharmaceuticals, Inc. (REGN)		\$15,001 - \$50,000	None		
UBS Lamont Grat Annuity Trust ⇒ RELX PLC PLC American Depositary Shares (RELX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Rio Tinto Plc (RIO)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Rockwell Collins, Inc. (COL)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Royal Caribbean Cruises Ltd. (RCL)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒		\$1,001 - \$15,000	Dividends	\$201 -	\$201 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B)				\$1,000	\$1,000
UBS Lamont Grat Annuity Trust ⇒ RSP Permian, Inc. (RSPP)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Ryman Hospitality Properties, Inc. (RHP)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Sabra Health Care REIT, Inc. (SBRA)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Sally Beauty Holdings, Inc. (SBH)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Sanderson Farms, Inc. (SAFM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ SAP SE ADS (SAP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ SBA Communications Corporation - Class A (SBAC)		\$1,001 - \$15,000	Capital Gains	None	\$1,001 - \$2,500
UBS Lamont Grat Annuity Trust ⇒ Schlumberger N.V. (SLB)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Schneider Electric SE Un-sponsored ADR (SBGSY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Scotts Miracle-Gro Company (SMG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Seagate Technology PLC - Ordinary Shares (STX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ ServiceNow, Inc. (NOW)		\$1,001 - \$15,000	Capital Gains	None	\$1,001 - \$2,500
UBS Lamont Grat Annuity Trust ⇒ Sherwin-Williams Company (SHW)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Silgan Holdings Inc. (SLGN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Lamont Grat Annuity Trust ⇒ SINOPEC Shangai Petrochemical Company, Ltd. (SHI)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Sirius XM Holdings Inc. (SIRI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Smith & Nephew SNATS, Inc. (SNN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Smurfit Kappa Group Plc American Depositary Shares (SMFKY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Sonoco Products Company (SON)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Southwest Airlines Company (LUV)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Splunk Inc. (SPLK)		\$15,001 - \$50,000	None		
UBS Lamont Grat Annuity Trust ⇒ Square, Inc. Class A (SQ)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Standard Bank Group Limited Sponsored ADR (SGBLY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Sun Communities, Inc. (SUI)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ SunTrust Banks, Inc. (STI)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Synopsys, Inc. (SNPS)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Synovus Financial Corp. (SNV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Syntel, Inc. (SYNT)		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Lamont Grat Annuity Trust ⇒ Sysco Corporation (SYY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Tableau Software, Inc. Class A (DATA)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$1,001 - \$2,500
UBS Lamont Grat Annuity Trust ⇒ Tanger Factory Outlet Centers, Inc. (SKT)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ TE Connectivity Ltd. New Switzerland Registered Shares (TEL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Telenor ASA American Depositary Shares (TELNY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Tennant Company (TNC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Tenneco Inc. (TEN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Teradata Corporation (TDC)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Terna Rete Elettrica Nazionale SPA Unsponsored American Depositary Receipt (TEZNY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Texas Capital Bancshares, Inc. (TCBI)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Texas Instruments Incorporated (TXN)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Texas Roadhouse, Inc. (TXRH)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
The Cheesecake Factory Incorporated (CAKE)					
UBS Lamont Grat Annuity Trust ⇒ The Hain Celestial Group, Inc. (HAIN)		\$15,001 - \$50,000	None		
UBS Lamont Grat Annuity Trust ⇒ The Hershey Company (HSY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ The Ultimate Software Group, Inc. (ULTI)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Thermo Fisher Scientific Inc (TMO)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Tompkins Financial Corporation (TMP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Tootsie Roll Industries, Inc. (TR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Toronto Dominion Bank (TD)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Total S.A. (TOT)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ TransCanada Corporation (TRP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ TransUnion (TRU)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Tupperware Brands Corporation (TUP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Tyler Technologies, Inc. (TYL)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ U.S. Physical Therapy, Inc. (USPH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Ubiquiti Networks, Inc. (UBNT)		\$1,001 - \$15,000	Capital Gains	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Lamont Grat Annuity Trust ⇒ UBS Group AG Registered Ordinary Shares (UBS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ UMB Financial Corporation (UMBF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Unibail-Rodamco SE Unsponsored ADR (UNRDY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Unifirst Corporation (UNF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Unilever NV (UN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ United Overseas Bk Singapore American Depositary Shares (UOVEY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ United Parcel Service, Inc. (UPS)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ United Rentals, Inc. (URI)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ UnitedHealth Group Incorporated Common Stock (UNH)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Vail Resorts, Inc. (MTN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Valmont Industries, Inc. (VMI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Vantiv, Inc. Class A (VNTV)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Verizon Communications Inc. (VZ)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Vinci SA ADR (VCISY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Lamont Grat Annuity Trust ⇒ Visa Inc. (V)		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	\$5,001 - \$15,000
UBS Lamont Grat Annuity Trust ⇒ VMware, Inc. Common stock, Class A (VMW)		\$15,001 - \$50,000	None		
UBS Lamont Grat Annuity Trust ⇒ W.W. Grainger, Inc. (GWW)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Wabco Holdings Inc. (WBC)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Walt Disney Company (DIS)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Washington Federal, Inc. (WAFD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Washington Trust Bancorp, Inc. (WASH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Waste Connections, Inc. Common Shares (WCN)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Wells Fargo & Company (WFC)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS Lamont Grat Annuity Trust ⇒ Welltower Inc. (HCN)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ West Japan Railway Co Unsponsored ADR (WJRY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Western Alliance Bancorporation Common Stock (WAL)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Western Union Company (WU)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ WEX Inc. common stock (WEX)		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS Lamont Grat Annuity Trust ⇒ WH Group Ltd. Un-sponsored American Depositary Receipt (WGHPY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Williams-Sonoma, Inc. Common Stock (WSM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Wolters Kluwer N V Ord American Depositary Shares (WTKWY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Woodward, Inc. (WWD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Xcel Energy Inc. (XEL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Yahoo Japan Corp Un-sponsored ADR (YAHOOY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Yum China Holdings, Inc. (YUMC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS Lamont Grat Annuity Trust ⇒ Zayo Group Holdings, Inc. (ZAYO)		\$1,001 - \$15,000	None		
UBS Lamont Grat Annuity Trust ⇒ Zimmer Biomet Holdings, Inc. (ZBH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS Lamont Grat Annuity Trust ⇒ Zoetis Inc. Class A (ZTS)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-A Joshi IRR Trust ⇒ Alphabet Inc. - Class A (GOOGL)		\$15,001 - \$50,000	None		
UBS-A Joshi IRR Trust ⇒ Amazon.com, Inc. (AMZN)		\$100,001 - \$250,000	None		
UBS-A Joshi IRR Trust ⇒ Barclays Bank Structured Note		\$100,001 - \$250,000	None		
DESCRIPTION: Structured Note tied to VWO					
UBS-A Joshi IRR Trust ⇒ Credit Suisse Structured Note		\$50,001 - \$100,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Structured Note tied to Russell 2000					
UBS-A Joshi IRR Trust ⇒ Fidelity Advisor Biotechnology Fund Class C		\$100,001 - \$250,000	None		
UBS-A Joshi IRR Trust ⇒ Netflix, Inc. (NFLX)		\$50,001 - \$100,000	None		
UBS-A Joshi IRR Trust ⇒ UBS AG Structured Note		\$15,001 - \$50,000	None		
DESCRIPTION: Structured Note tied to S&P 500					
UBS-Drum ⇒ 1st Source Corporation (SRCE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ 3M Company (MMM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Abbott Laboratories (ABT)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ AbbVie Inc. (ABBV)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Accenture plc Class A Ordinary Shares (ACN)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Activision Blizzard, Inc (ATVI)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Agilent Technologies, Inc. (A)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Air Products and Chemicals, Inc. (APD)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Akamai Technologies, Inc. (AKAM)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Allegheny Technologies Incorporated (ATI)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Allianz SE ADS (AZSEY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Drum ⇒ Altria Group, Inc. (MO)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Amadeus IT Holding SA Un-sponsored American Depositary Shares (AMADY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Amcor Limited American Depositary Shares (AMCRY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Amdocs Limited - Ordinary Shares (DOX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ American States Water Company (AWR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ American Tower Corporation (AMT)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ AmerisourceBergen Corporation (ABC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Amgen Inc. (AMGN)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Anika Therapeutics Inc. (ANIK)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ ANSYS, Inc. (ANSS)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Aon plc Class A Ordinary Shares (AON)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Apple Inc. (AAPL)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Applied Industrial Technologies, Inc. (AIT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ AptarGroup, Inc. (ATR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Drum ⇒ Aptiv PLC Ordinary Shares (APTV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Archer-Daniels-Midland Company (ADM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Arrow Electronics, Inc. (ARW)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Artisan Partners Asset Management Inc. Class A (APAM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Aspen Technology, Inc. (AZPN)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ ATRION Corporation (ATRI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Autodesk, Inc. (ADSK)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU)		\$1,001 - \$15,000	Capital Gains	None	\$201 - \$1,000
UBS-Drum ⇒ Banco Bradesco Sa American Depositary Shares (BBD)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Bank of Hawaii Corporation (BOH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ BB&T Corporation (BBT)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Becton, Dickinson and Company (BDX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Bemis Company, Inc. (BMS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Big Lots, Inc. (BIG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Drum ⇒ Bio-Rad Laboratories, Inc. Class A (BIO)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Bio-Techne Corp (TECH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Black Knight, Inc. (BKI)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ BlackRock, Inc. (BLK)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ BorgWarner Inc. (BWA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Boston Beer Company, Inc. (SAM)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Boston Properties, Inc. (BXP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Bridge Bancorp, Inc. (BDGE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Brinker International, Inc. (EAT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ British American Tobacco Industries, p.l.c. Common Stock ADR (BTI)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Broadcom Limited - Ordinary Shares (AVGO)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Broadridge Financial Solutions, Inc. (BR)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Bruker Corporation (BRKR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Cabot Corporation (CBT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Drum ⇒ Camden National Corporation (CAC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Canadian National Railway Company (CNI)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS-Drum ⇒ Carlsberg AS Sponsored ADR Representing Class B Shares (CABGY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ CBRE Group Inc Common Stock Class A (CBG)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ CDW Corporation (CDW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Cenovus Energy Inc (CVE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ CGI Group, Inc. (GIB)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Charles River Laboratories International, Inc. (CRL)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Chemed Corp (CHE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Choice Hotels International, Inc. (CHH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Chubb Limited (CB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Cielo SA Sponsored ADR (CIOXY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Cigna Corporation (CI)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Cimarex Energy Co (XEC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Cintas Corporation (CTAS)					
UBS-Drum ⇒ Cisco Systems, Inc. (CSCO)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Citigroup, Inc. (C)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Cohen & Steers Inc (CNS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Coherent, Inc. (COHR)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Comcast Corporation - Class A (CMCSA)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Commerce Bancshares, Inc. (CBSH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Compagnie Financiere Richemont Unsponsored ADR (CFRUY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
UBS-Drum ⇒ Compass Group Plc American Depositary Shares (CMPGY)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS-Drum ⇒ Constellation Brands, Inc. (STZ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Continental Resources, Inc. (CLR)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ CoreSite Realty Corporation (COR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ CorVel Corp. (CRVL)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Coty Inc. Class A (COTY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒		\$1,001 - \$15,000	Dividends	\$201 -	\$201 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Crown Castle International Corp. (CCI)				\$1,000	\$1,000
UBS-Drum ⇒ Csl Ltd SPONSORED ADR (CSLLY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ CSX Corporation (CSX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ CubeSmart Common Shares (CUBE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Cummins Inc. (CMI)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ CVB Financial Corporation (CVBF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ D.R. Horton, Inc. (DHI)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1,001 - \$2,500
UBS-Drum ⇒ Danaher Corporation (DHR)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS-Drum ⇒ Darden Restaurants, Inc. (DRI)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ DENTSPLY SIRONA Inc. (XRAY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Deutsche Boerse Ag Unsponsored ADR (DBOXY)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1,001 - \$2,500
UBS-Drum ⇒ Deutsche Post AG Sponsored ADR (DPSGY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Donaldson Company, Inc. (DCI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Dorman Products, Inc. (DORM)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Dover Corporation (DOV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Drum ⇒ Dril-Quip, Inc. (DRQ)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ DST Systems, Inc. (DST)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Duke Energy Corporation (DUK)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ DXC Technology Company (DXC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Eastman Chemical Company (EMN)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Eaton Corporation, PLC Ordinary Shares (ETN)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ EchoStar Corporation - common stock (SATS)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Enbridge Inc (ENB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ EOG Resources, Inc. (EOG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Equifax, Inc. (EFX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Essilor International SA ADR (ESLOY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Estee Lauder Companies, Inc. (EL)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ ExlService Holdings, Inc. (EXLS)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Exxon Mobil Corporation (XOM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fanuc Corporation Unsponsored ADR (FANUY)					
UBS-Drum ⇒ Fidelity National Information Services, Inc. (FIS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Fiserv, Inc. (FISV)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Flowers Foods, Inc. (FLO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Fomento Economico Mexicano S.A.B. de C.V. (FMX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Forum Energy Technologies, Inc. (FET)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Franklin Electric Co., Inc. (FELE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ GATX Corporation (GATX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ GEA Group Ag Sponsored ADR (GEAGY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ General Dynamics Corporation (GD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ General Mills, Inc. (GIS)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Gentherm Inc (THRM)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ German American Bancorp, Inc. (GABC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Global Payments Inc. (GPN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Globus Medical, Inc. Class A (GMED)		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Drum ⇒ Goldman Sachs Group, Inc. (GS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Haemonetics Corporation (HAE)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Hawaiian Electric Industries, Inc. (HE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Herman Miller, Inc. (MLHR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Hill-Rom Holdings Inc (HRC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Home Depot, Inc. (HD)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Honeywell International Inc. (HON)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Horace Mann Educators Corporation (HMN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Hub Group, Inc. - Class A (HUBG)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ ILG, Inc (ILG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Illinois Tool Works Inc. (ITW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Independent Bank Corp. (INDB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Infinity Property and Casualty Corporation (IPCC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Informa PLC Sponsored ADR (IFJPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
ING Group, N.V. (ING)					
UBS-Drum ⇒ Ingersoll-Rand plc (IR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Intercontinental Exchange Inc. (ICE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ InterDigital, Inc. (IDCC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Intesa Sanpaolo S.P.A. Sponsored ADR (ISNPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Intuit Inc. (INTU)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS-Drum ⇒ iShares Silver Trust ETF		\$50,001 - \$100,000	None		
UBS-Drum ⇒ Jabil Inc. (JBL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Japan Tobacco Un-sponsored American Depository Receipt (JAPAY)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS-Drum ⇒ Johnson & Johnson (JNJ)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Johnson Controls International plc Ordinary Share (JCI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ JP Morgan Chase & Co. (JPM)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Julius Baer Group Ltd Un-sponsored ADR (JBAXY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Kadant Inc (KAI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Kao Corporation Sponsored ADR (KCRPY)					
UBS-Drum ⇒ Kasikornbank Public Co Ltd Unsponsored ADR (KPCPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ KeyCorp (KEY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Keysight Technologies Inc. (KEYS)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Kroton Educacional SA, Brazil Sponsored ADR (KROTY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Laboratory Corporation of America Holdings (LH)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Lakeland Financial Corporation (LKFN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Lancaster Colony Corporation (LANC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Landstar System, Inc. (LSTR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Las Vegas Sands Corp. (LVS)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ LaSalle Hotel Properties (LHO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Lincoln Electric Holdings, Inc. - Common Shares (LECO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Lloyds Banking Group Plc American Depositary Shares (LYG)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Lockheed Martin Corporation (LMT)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Drum ⇒ MarketAxess Holdings, Inc. (MKTX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Marsh & McLennan Companies, Inc. (MMC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Masco Corporation (MAS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Masimo Corporation (MASI)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ McKesson Corporation (MCK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Mediobanca - Banca Di Credito Finanziario SPA Un-sponsored ADR (MDIBY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Mednax, Inc. (MD)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Medtronic plc. Ordinary Shares (MDT)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ MetLife, Inc. (MET)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Microsoft Corporation (MSFT)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Minerals Technologies Inc. (MTX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Mondelez International, Inc. - Class A (MDLZ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Monro, Inc. (MNRO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Morningstar, Inc. (MORN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Drum ⇒ Nasdaq, Inc. (NDAQ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Natural Gas Services Group, Inc. (NGS)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ NETGEAR, Inc. (NTGR)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Newfield Exploration Company (NFX)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ NewJersey Resources Corporation (NJR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ NextEra Energy, Inc. (NEE)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ NIC Inc. (EGOV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Northrop Grumman Corporation (NOC)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS-Drum ⇒ Novartis AG (NVS)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1,001 - \$2,500
UBS-Drum ⇒ Occidental Petroleum Corporation (OXY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Oceaneering International, Inc. (OII)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ ONE Gas, Inc. (OGS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Open Text Corporation - Common Shares (OTEX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Packaging Corporation of America (PKG)					
UBS-Drum ⇒ Paddy Power plc Unsponsored ADR (PDYPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Pepsico, Inc. (PEP)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Pernod Ricard SA Unsponsored ADR (PDRDY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Pfizer, Inc. (PFE)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Philip Morris International Inc (PM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Plantronics, Inc. (PLT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ PNC Financial Services Group, Inc. (PNC)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Power Integrations, Inc. (POWI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ PPG Industries, Inc. (PPG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Prada Spa Unsponsored ADR (PRDSY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Progressive Corporation (PGR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ PT Bank Mandiri Persero TBK Unsponsored ADR (PPERU)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Quaker Chemical Corporation (KWR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Raymond James Financial, Inc. (RJF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Drum ⇒ Reckitt Benckiser Plc Sponsored ADR (RBGLY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Reinsurance Group of America, Incorporated (RGA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ RELX PLC PLC American Depositary Shares (RELX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ RenaissanceRe Holdings Ltd. (RNR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Republic Services, Inc. (RSG)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Ryman Hospitality Properties, Inc. (RHP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Sally Beauty Holdings, Inc. (SBH)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Sanderson Farms, Inc. (SAFM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ SAP SE ADS (SAP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Schlumberger N.V. (SLB)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Schneider Electric SE Un-sponsored ADR (SBGSY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Scotts Miracle-Gro Company (SMG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Sealed Air Corporation (SEE)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Drum ⇒ Silgan Holdings Inc. (SLGN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Smith & Nephew SNATS, Inc. (SNN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Snap-On Incorporated (SNA)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Starbucks Corporation (SBUX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ State Street Corporation (STT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Stifel Financial Corporation (SF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Stryker Corporation (SYK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Suncor Energy Inc. (SU)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Synchrony Financial (SYF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Synopsys, Inc. (SNPS)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Syntel, Inc. (SYNT)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Tanger Factory Outlet Centers, Inc. (SKT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Tech Data Corporation (TECD)		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Drum ⇒ Templeton Global Bond ADV		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS-Drum ⇒ Tennant Company (TNC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Tenneco Inc. (TEN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Teradata Corporation (TDC)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Texas Capital Bancshares, Inc. (TCBI)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ Texas Instruments Incorporated (TXN)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Texas Roadhouse, Inc. (TXRH)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ The Cheesecake Factory Incorporated (CAKE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ The Ensign Group, Inc. (ENSG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ The Travelers Companies, Inc. (TRV)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS-Drum ⇒ Thermo Fisher Scientific Inc (TMO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ TJX Companies, Inc. (TJX)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$1,001 - \$2,500
UBS-Drum ⇒ Tompkins Financial Corporation (TMP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Tootsie Roll Industries, Inc. (TR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Total System Services, Inc. (TSS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Drum ⇒ Tupperware Brands Corporation (TUP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ U.S. Bancorp (USB)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ U.S. Physical Therapy, Inc. (USPH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ UBS Group AG Registered Ordinary Shares (UBS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ UMB Financial Corporation (UMBF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Unifirst Corporation (UNF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Unilever NV (UN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Union Pacific Corporation (UNP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ United Overseas Bk Singapore American Depositary Shares (UOVEY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ United Technologies Corporation (UTX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ UnitedHealth Group Incorporated Common Stock (UNH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Valmont Industries, Inc. (VMI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Vinci SA ADR (VCISY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Washington Federal, Inc. (WAFD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Drum ⇒ Washington Trust Bancorp, Inc. (WASH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ WEC Energy Group, Inc. (WEC)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ Wells Fargo & Company (WFC)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Drum ⇒ WEX Inc. common stock (WEX)		\$1,001 - \$15,000	None		
UBS-Drum ⇒ WH Group Ltd. Un-sponsored American Depositary Receipt (WGHPY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Sponsored Version. (WHGLY)					
UBS-Drum ⇒ Williams-Sonoma, Inc. Common Stock (WSM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Wolters Kluwer N V Ord American Depositary Shares (WTKWY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Woodward, Inc. (WWD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Xcel Energy Inc. (XEL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Xilinx, Inc. (XLNX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Drum ⇒ Yahoo Japan Corp Un-sponsored ADR (YAHOOY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-GTA Containers ⇒ Credit Suisse AG		\$100,001 - \$250,000	None		
DESCRIPTION: Structured Note tied to S&P 500					
UBS-GTA Containers ⇒ Credit Suisse AG Trigger SPS Structured Note		\$100,001 - \$250,000	None		
DESCRIPTION: Tied to SPX					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-GTA Containers ⇒ Guggenheim Directional Allocation Fund Class A		\$50,001 - \$100,000	None		
UBS-GTA Drum ⇒ Alphakeys KKR Fund XI		\$250,001 - \$500,000	None		
UBS-GTA Drum ⇒ Alphakeys KKR Fund XI (MFQGIA)		\$250,001 - \$500,000	None		
DESCRIPTION: Private Equity					
UBS-GTA Drum ⇒ Alphakeys KKR Fund XI LLC Commitment (MFQGIB)		\$250,001 - \$500,000	None		
DESCRIPTION: Private Equity					
UBS-IRR Trust-SWP ⇒ 3M Company (MMM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ AB High Income Fund Class Advisor		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
UBS-IRR Trust-SWP ⇒ Adobe Systems Incorporated (ADBE)		\$1,001 - \$15,000	None		
UBS-IRR Trust-SWP ⇒ Aetna Inc. (AET)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Air Products and Chemicals, Inc. (APD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Alexion Pharmaceuticals, Inc. (ALXN)		\$1,001 - \$15,000	None		
UBS-IRR Trust-SWP ⇒ Align Technology, Inc. (ALGN)		\$1,001 - \$15,000	None		
UBS-IRR Trust-SWP ⇒ Allergan plc Ordinary Shares (AGN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)		\$15,001 - \$50,000	None		
UBS-IRR Trust-SWP ⇒ Altria Group, Inc. (MO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-IRR Trust-SWP ⇒ Amazon.com, Inc. (AMZN)		\$15,001 - \$50,000	None		
UBS-IRR Trust-SWP ⇒ American International Group, Inc. New (AIG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ American Tower Corporation (AMT)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-IRR Trust-SWP ⇒ Anthem, Inc. (ANTM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Astrazeneca PLC (AZN)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-IRR Trust-SWP ⇒ BioMarin Pharmaceutical Inc. (BMRN)		\$1,001 - \$15,000	None		
UBS-IRR Trust-SWP ⇒ Brighthouse Financial, Inc. (BHF)		\$1 - \$1,000	None		
UBS-IRR Trust-SWP ⇒ Celgene Corporation (CELG)		\$1,001 - \$15,000	None		
UBS-IRR Trust-SWP ⇒ Charles Schwab Corporation (SCHW)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Chevron Corporation (CVX)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-IRR Trust-SWP ⇒ Chubb Limited (CB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Citigroup, Inc. (C)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Comcast Corporation - Class A (CMCSA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Concho Resources Inc. (CXO)		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-IRR Trust-SWP ⇒ Costco Wholesale Corporation (COST)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ CRH PLC American Depositary Shares (CRH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Ireland Sponsored ADR					
UBS-IRR Trust-SWP ⇒ Diageo plc (DEO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Dollar General Corporation (DG)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ DowDuPont Inc. (DWDP)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS-IRR Trust-SWP ⇒ Dr Pepper Snapple Group, Inc Dr Pepper Snapple Group, Inc (DPS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Equinix, Inc. (EQIX)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS-IRR Trust-SWP ⇒ Exelon Corporation (EXC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Facebook, Inc. - Class A (FB)		\$15,001 - \$50,000	None		
UBS-IRR Trust-SWP ⇒ Fastenal Company (FAST)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ FirstEnergy Corporation (FE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ General Electric Company (GE)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Goldman Sachs Group, Inc. (GS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Halliburton Company (HAL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-IRR Trust-SWP ⇒ Hess Corporation (HES)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Home Depot, Inc. (HD)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1,001 - \$2,500
UBS-IRR Trust-SWP ⇒ Honeywell International Inc. (HON)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Illumina, Inc. (ILMN)		\$1,001 - \$15,000	None		
UBS-IRR Trust-SWP ⇒ International Paper Company (IP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Interpublic Group of Companies, Inc. (IPG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Invesco Ltd (IVZ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ John Hancock Disciplined Value Mid Cap Fund Class I		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	\$1,001 - \$2,500
UBS-IRR Trust-SWP ⇒ JP Morgan Chase & Co. (JPM)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-IRR Trust-SWP ⇒ Kellogg Company (K)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ KeyCorp (KEY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Koninklijke Philips N.V. NY Registry Shares (PHG)		\$1,001 - \$15,000	None		
UBS-IRR Trust-SWP ⇒ Kroger Company (KR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Lockheed Martin Corporation (LMT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Lowe's Companies, Inc. (LOW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-IRR Trust-SWP ⇒ Marathon Petroleum Corporation (MPC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Marsh & McLennan Companies, Inc. (MMC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Mastercard Incorporated (MA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Mattel, Inc. (MAT)		\$1,001 - \$15,000	None		
UBS-IRR Trust-SWP ⇒ McKesson Corporation (MCK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Medtronic plc. Ordinary Shares (MDT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Merck & Company, Inc. Common Stock (MRK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ MetLife, Inc. (MET)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Microsoft Corporation (MSFT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Monster Beverage Corporation (MNST)		\$1,001 - \$15,000	None		
UBS-IRR Trust-SWP ⇒ Morgan Stanley (MS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Motorola Solutions, Inc. (MSI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ NextEra Energy, Inc. (NEE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Nielsen N.V. Ordinary Shares (NLSN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-IRR Trust-SWP ⇒ Northrop Grumman Corporation (NOC)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS-IRR Trust-SWP ⇒ Novo Nordisk A/S (NVO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Oppenheimer Main Street Mid Cap Fund Class Y		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	\$1,001 - \$2,500
UBS-IRR Trust-SWP ⇒ Oracle Corporation (ORCL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Pacific Gas & Electric Co. (PCG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ PayPal Holdings, Inc. (PYPL)		\$1,001 - \$15,000	None		
UBS-IRR Trust-SWP ⇒ Pfizer, Inc. (PFE)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-IRR Trust-SWP ⇒ Philip Morris International Inc (PM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Praxair, Inc. (PX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Procter & Gamble Company (PG)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Prudential Financial, Inc. (PRU)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Prudential Jennison Mid Cap Growth Fund Inc. Z		\$50,001 - \$100,000	Capital Gains	None	\$2,501 - \$5,000
UBS-IRR Trust-SWP ⇒ Public Service Enterprise Group Incorporated (PEG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ QUALCOMM Incorporated (QCOM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Quest Diagnostics Incorporated (DGX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-IRR Trust-SWP ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-IRR Trust-SWP ⇒ Royce Pennsylvania Mutual Fund		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	\$2,501 - \$5,000
UBS-IRR Trust-SWP ⇒ S&P Global Inc. (SPGI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Salesforce.com Inc (CRM)		\$1,001 - \$15,000	Capital Gains	None	\$1,001 - \$2,500
UBS-IRR Trust-SWP ⇒ ServiceNow, Inc. (NOW)		\$1,001 - \$15,000	Capital Gains	None	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Splunk Inc. (SPLK)		\$1,001 - \$15,000	None		
UBS-IRR Trust-SWP ⇒ Starbucks Corporation (SBUX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Suncor Energy Inc. (SU)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ SunTrust Banks, Inc. (STI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ The Priceline Group Inc. (PCLN)		\$1,001 - \$15,000	Capital Gains	None	\$201 - \$1,000
UBS-IRR Trust-SWP ⇒ The Travelers Companies, Inc. (TRV)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Total S.A. (TOT)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-IRR Trust-SWP ⇒ TransUnion (TRU)		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-IRR Trust-SWP ⇒ U.S. Bancorp (USB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Ulta Beauty, Inc. (ULTA)		\$1,001 - \$15,000	None		
UBS-IRR Trust-SWP ⇒ Unilever NV (UN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Union Pacific Corporation (UNP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ United Parcel Service, Inc. (UPS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ UnitedHealth Group Incorporated Common Stock (UNH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Vanguard FTSE Emerging Markets		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS-IRR Trust-SWP ⇒ Verizon Communications Inc. (VZ)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-IRR Trust-SWP ⇒ Visa Inc. (V)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Waste Connections, Inc. Common Shares (WCN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Wells Fargo & Company (WFC)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-IRR Trust-SWP ⇒ West Pharmaceutical Services, Inc. (WST)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-IRR Trust-SWP ⇒ Zoetis Inc. Class A (ZTS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Joshi- Muni Por ⇒ California ST 5% matures 09/01/31		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Joshi- Muni Por ⇒ California ST PUB WKS 5% matures 05/01/29		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS-Joshi- Muni Por ⇒ California St Pub Wks 5% matures 09/01/27		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS-Joshi- Muni Por ⇒ Connecticut ST 5% matures 04/15/24		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS-Joshi- Muni Por ⇒ Conroe TX Indept Sch 5% matures 02/15/35		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS-Joshi- Muni Por ⇒ Denton TX INDPT SCH 5% matures 08/15/28		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS-Joshi- Muni Por ⇒ Denver Co City & Cnty 4% matures 12/01/20		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS-Joshi- Muni Por ⇒ Lower CO TX AU 5% matures 05/15/19		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS-Joshi- Muni Por ⇒ Massachusetts St 2% matures 4/23/18		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS-Joshi- Muni Por ⇒ Massachusetts St Dev Fin 5% matures 07/01/32		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS-Joshi- Muni Por ⇒ Miami-Dade Cnty FL SCH 5% matures 11/01/30		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS-Joshi- Muni Por ⇒ New Jersey ST 5% matures 06/01/24		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	None
UBS-Joshi- Muni Por ⇒ New Jersey ST TPK AUTH 5% matures 01/01/28		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS-Joshi- Muni Por ⇒ New Jersey ST TPK Auth 5% matures 01/01/31		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS-Joshi- Muni Por ⇒ New Jersey Trans Trust 5.25% matures 06/15/23		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	None
UBS-Joshi- Muni Por ⇒		\$15,001 - \$50,000	Interest	\$1,001 -	\$1,001 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
New York City Transition 5% matures 02/01/26				\$2,500	\$2,500
UBS-Joshi- Muni Por ⇒ New York Mun WTR Fin 5.25% matures 06/15/30		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS-Joshi- Muni Por ⇒ New York NY 5% matures 08/01/24		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	None
UBS-Joshi- Muni Por ⇒ New York NY City Mun Wt 5% matures 06/15/34		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS-Joshi- Muni Por ⇒ New York St Dorm Auth 5% matures 03/15/34		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS-Joshi- Muni Por ⇒ NJ ECO DV UTH SCH FACS 5% matures 12/15/18		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS-Joshi- Muni Por ⇒ Orlando Orange CNTY FL 5% matures matures 07/01/22		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
UBS-Joshi- Muni Por ⇒ Pennsylvania ST 5% matures 01/01/27		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS-Joshi- Muni Por ⇒ Philadelphia PA Wstewtr 5% matures 07/01/21		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS-Joshi- Muni Por ⇒ Railsplitter Tobacco Set 5% matures 06/01/25		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS-Joshi- Muni Por ⇒ ST Dorm Auth Sales Tax 5% matures 03/15/30		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
UBS-Joshi- Muni Por ⇒ Tech Data Corporation (TECD)		\$1,001 - \$15,000	None		
UBS-Joshi- Muni Por ⇒ Univ Tx Univ 5% bond matures 08/15/26		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS-Joshi Trust-RMA ⇒ Barclay's Bank Delaware Structured Note		\$100,001 - \$250,000	None		
DESCRIPTION: Tied to SX5E					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Joshi Trust-RMA ⇒ Credit Suisse AG Structured Note		\$100,001 - \$250,000	None		
DESCRIPTION: Tied to SPX					
UBS-Joshi Trust-RMA ⇒ Gilead Sciences, Inc. (GILD)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
UBS-Joshi Trust-RMA ⇒ Guggenheim Directional Allocation Fund A		\$250,001 - \$500,000	None		
UBS-Joshi Trust-RMA ⇒ HSBC USA Inc Structured Note		\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	None
DESCRIPTION: note tied to Russell 2000 index					
UBS-Joshi Trust-RMA ⇒ HSBC USA Inc Structured Note		\$100,001 - \$250,000	None		
DESCRIPTION: Tied to EEM					
UBS-Joshi Trust-RMA ⇒ HSBC USA Inc Structured Note		\$100,001 - \$250,000	None		
DESCRIPTION: Tied to SX5E					
UBS-Joshi Trust-RMA ⇒ Jackson National Perspective II Rev B		\$1,000,001 - \$5,000,000	None		
DESCRIPTION: Variable Annuity					
UBS-Joshi Trust-RMA ⇒ Kinder Morgan, Inc. (KMI)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
UBS-Joshi Trust-RMA ⇒ Mainstay Cushing MLP Premier C		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	\$1,001 - \$2,500
UBS-Joshi Trust-RMA ⇒ Morgan Staley Finance Structured Note		\$100,001 - \$250,000	None		
DESCRIPTION: Tied to SX7P					
UBS-Joshi Trust-RMA ⇒ Morgan Stanley Finance Structured Note		\$250,001 - \$500,000	None		
DESCRIPTION: Tied to SPX					
UBS-Joshi Trust-RMA ⇒ Puerto Rico Highway Tran		\$1 - \$1,000	Interest	\$201 - \$1,000	None
UBS-Joshi Trust-RMA ⇒		\$250,001 -	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS AG Structured Note		\$500,000			
DESCRIPTION: tied to VWO					
UBS-Joshi Trust-RMA ⇒ UBS AG Structured Note		\$250,001 - \$500,000	None		
DESCRIPTION: Tied to SX5E					
UBS-NonGST-AI ⇒ Blue Mountain Credit Alternatives		\$500,001 - \$1,000,000	None		
UBS-NonGST-AI ⇒ Guggenheim Directional Allocation Fund Class A		\$15,001 - \$50,000	None		
UBS-NonGST-AI ⇒ HSBC Structured Note		\$100,001 - \$250,000	None		
DESCRIPTION: Structured Note tied to Euro Stock Index					
UBS-Yatish Trust-SWP ⇒ 1st Source Corporation (SRCE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ 3i Group Plc Unsponsored ADR (TGOPY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ 3M Company (MMM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ AB Electrolux Class B American Depositary Receipts (ELUXY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Abbott Laboratories (ABT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Accenture plc Class A Ordinary Shares (ACN)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Activision Blizzard, Inc (ATVI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Adobe Systems Incorporated (ADBE)		\$1,001 - \$15,000	Capital Gains	None	\$1,001 - \$2,500
UBS-Yatish Trust-SWP ⇒ Agilent Technologies, Inc. (A)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Yatish Trust-SWP ⇒ Air Products and Chemicals, Inc. (APD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Albemarle Corporation (ALB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Alexion Pharmaceuticals, Inc. (ALXN)		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	\$201 - \$1,000
UBS-Yatish Trust-SWP ⇒ Alexion Pharmaceuticals, Inc. (ALXN)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Align Technology, Inc. (ALGN)		\$15,001 - \$50,000	None		
UBS-Yatish Trust-SWP ⇒ Allison Transmission Holdings, Inc. (ALSN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Alphabet Inc. - Class C Capital Stock (GOOG)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Alpine Global Premier Properties Fund Alpine Global Premier Properties Fund Common Shares of Benefic (AWP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Altria Group, Inc. (MO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Amazon.com, Inc. (AMZN)		\$15,001 - \$50,000	None		
UBS-Yatish Trust-SWP ⇒ American States Water Company (AWR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Amphenol Corporation (APH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Analog Devices, Inc. (ADI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Anika Therapeutics Inc. (ANIK)		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Yatish Trust-SWP ⇒ Apple Inc. (AAPL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
UBS-Yatish Trust-SWP ⇒ Applied Industrial Technologies, Inc. (AIT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Applied Materials, Inc. (AMAT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ AptarGroup, Inc. (ATR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Arch Capital Group Ltd. (ACGL)		\$1,001 - \$15,000	Dividends	None	None
UBS-Yatish Trust-SWP ⇒ Arista Networks, Inc. (ANET)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Arthur J. Gallagher & Co. (AJG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Artisan Partners Asset Management Inc. Class A (APAM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Aspen Technology, Inc. (AZPN)		\$1,001 - \$15,000	Dividends	None	None
UBS-Yatish Trust-SWP ⇒ Astellas Pharma Inc Unsponsored ADR (ALPMY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Atos Origin SA Unsponsored ADR (AEXAY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ ATRION Corporation (ATRI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Automatic Data Processing, Inc. (ADP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ AvalonBay Communities, Inc. (AVB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Yatish Trust-SWP ⇒ AXA SA Sponsored ADR (AXAHY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ BAE SYSTEMS plc Sponsored ADR (BAESY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Bank Hapoalim BM Sponsored ADR (BKHYI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Bank of America Corporation (BAC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Bank of Hawaii Corporation (BOH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Bank Rakyat Indonesia Unsponsored ADR Rep Shs B (BKRKY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ BASF SE American Depositary Shares (BASFY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Bayer Aktiengesellschaft American Depositary Shares (BAYRY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ BB&T Corporation (BBT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Becton, Dickinson and Company (BDX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Bemis Company, Inc. (BMS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ BIC SA Unsponsored ADR (BICEY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Big Lots, Inc. (BIG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ BioMarin Pharmaceutical Inc. (BMRN)		\$1,001 - \$15,000	Dividends	None	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Yatish Trust-SWP ⇒ Bio-Techne Corp (TECH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ BlackRock, Inc. (BLK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ BOC Hong Kong (BHKLY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Boston Beer Company, Inc. (SAM)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Boston Properties, Inc. (BXP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Brinker International, Inc. (EAT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Broadcom Limited - Ordinary Shares (AVGO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Bruker Corporation (BRKR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ C.R. Bard, Inc. (BCR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Calgon Carbon Corporation (CCC)		\$1 - \$1,000	Dividends	None	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Camden National Corporation (CAC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Canadian Pacific Railway Limited (CP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Cap Gemini SA American Depositary Shares (CGEMY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Capital One Financial Corporation (COF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Celgene Corporation (CELG)		\$1,001 - \$15,000	Dividends	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Yatish Trust-SWP ⇒ Charles River Laboratories International, Inc. (CRL)		\$1,001 - \$15,000	Dividends	None	None
UBS-Yatish Trust-SWP ⇒ Charles Schwab Corporation (SCHW)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS-Yatish Trust-SWP ⇒ Chemed Corp (CHE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ China Construction Bank Corporation Unsponsored ADR representing H shares (CICHY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ China Mobile Limited (CHL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ China Shenhua Energy Co Ltd Unsponsored ADR representing A shs (CSUAY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Choice Hotels International, Inc. (CHH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Chubb Limited (CB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Cincinnati Financial Corporation (CINF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ CME Group Inc. - Class A (CME)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ CMS Energy Corporation (CMS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Cognex Corporation (CGNX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Cohen & Steers Inc (CNS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Coherent, Inc. (COHR)		\$1,001 - \$15,000	Dividends	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Yatish Trust-SWP ⇒ Colfax Corporation (CFX)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Comcast Corporation - Class A (CMCSA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Commerce Bancshares, Inc. (CBSH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Compass Group Plc American Depositary Shares (CMPGY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Concho Resources Inc. (CXO)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ ConocoPhillips (COP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Contrl Ag Ord American Depositary Shares (CTTAY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Core Laboratories N.V. (CLB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ CoreSite Realty Corporation (COR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ CorVel Corp. (CRVL)		\$1 - \$1,000	None		
UBS-Yatish Trust-SWP ⇒ CSX Corporation (CSX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ CubeSmart Common Shares (CUBE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Cullen/Frost Bankers, Inc. (CFR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ CVB Financial Corporation (CVBF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Yatish Trust-SWP ⇒ D.R. Horton, Inc. (DHI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Daiwa House Ind Ltd American Depositary Shares (DWAHY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Danone Sponsored ADR (DANOY)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Dbs Group Holdings Ltd Ord American Depositary Shares (DBSDY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ DENTSPLY SIRONA Inc. (XRAY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Discover Financial Services (DFS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Dollar General Corporation (DG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Donaldson Company, Inc. (DCI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Dorman Products, Inc. (DORM)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Dover Corporation (DOV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ DowDuPont Inc. (DWDP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Dr Pepper Snapple Group, Inc Dr Pepper Snapple Group, Inc (DPS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Dril-Quip, Inc. (DRQ)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ DST Systems, Inc. (DST)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Yatish Trust-SWP ⇒ DTE Energy Company (DTE)		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Eaton Vance Corporation (EV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Edison International (EIX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Edwards Lifesciences Corporation (EW)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Eli Lilly and Company (LLY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ ENN Energy Holdings Ltd Unsponsored ADR (XNGSY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ EPAM Systems, Inc. (EPAM)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ ExlService Holdings, Inc. (EXLS)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Exxon Mobil Corporation (XOM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Facebook, Inc. - Class A (FB)		\$15,001 - \$50,000	None		
UBS-Yatish Trust-SWP ⇒ Fidelity National Information Services, Inc. (FIS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ FIRST REPUBLIC BANK (FRC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Flowers Foods, Inc. (FLO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Fortive Corporation (FTV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Yatish Trust-SWP ⇒ Forum Energy Technologies, Inc. (FET)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Franklin Electric Co., Inc. (FELE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Fresenius Medical Care AG (FMS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Fujitsu Ltd ADR (FJTSY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ General Dynamics Corporation (GD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Gentherm Inc (THRM)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Genuine Parts Company (GPC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ German American Bancorp, Inc. (GABC)		\$1 - \$1,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Gilead Sciences, Inc. (GILD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Globus Medical, Inc. Class A (GMED)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Goldman Sachs Group, Inc. (GS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Grupo Aeroportuario Del Pacifico, S.A. B. de C.V. Grupo Aeroportuario Del Pacifico, S.A. de C.V. (PAC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Haemonetics Corporation (HAE)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Hanesbrands Inc. (HBI)		\$1 - \$1,000	Dividends	None	\$1 - \$200
UBS-Yatish Trust-SWP ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Hartford Financial Services Group, Inc. (HIG)					
UBS-Yatish Trust-SWP ⇒ Hawaiian Electric Industries, Inc. (HE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Herman Miller, Inc. (MLHR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Hill-Rom Holdings Inc (HRC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Hitachi, Ltd. American Depositary Shares (HTHIY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Home Depot, Inc. (HD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Honeywell International Inc. (HON)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Horace Mann Educators Corporation (HMN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Howden Joinery Group Plc Unsponsored ADR (HWDJY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Hub Group, Inc. - Class A (HUBG)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ ILG, Inc (ILG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Illinois Tool Works Inc. (ITW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Incyte Corporation (INCY)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Independent Bank Corp. (INDB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Infinity Property and Casualty Corporation (IPCC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Yatish Trust-SWP ⇒ ING Group, N.V. (ING)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ InterDigital, Inc. (IDCC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Internationa Flavors & Fragrances, Inc. (IFF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ IPG Photonics Corporation (IPGP)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ ITT Inc. (ITT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Jack Henry & Associates, Inc. (JKHY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Johnson & Johnson (JNJ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Kadant Inc (KAI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Kimberly-Clark Corporation (KMB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ KLA-Tencor Corporation (KLAC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Lakeland Financial Corporation (LKFN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Lancaster Colony Corporation (LANC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Landstar System, Inc. (LSTR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ LaSalle Hotel Properties (LHO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Yatish Trust-SWP ⇒ Lee & Man Paper Manufacturing Limited Sponsored ADR (LMPMY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Legal & General Group Plc 10p American Depositary Shares (LGGNY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Lincoln Electric Holdings, Inc. - Common Shares (LECO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Lukoil Co Sponsored ADR (LUKOY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ M&T Bank Corporation (MTB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ MACOM Technology Solutions Holdings, Inc. (MTSI)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Macquarie Group, Ltd. Sponsored ADR (MQBKY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Magna International, Inc. (MGA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Manulife Financial Corporation (MFC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Masimo Corporation (MASI)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Merck & Company, Inc. Common Stock (MRK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ MetLife, Inc. (MET)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Michelin Compagnie Generale des Etablissements Michelin Un-sponsored ADR representing Nom Shares (MGDDY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Microchip Technology Incorporated (MCHP)					
UBS-Yatish Trust-SWP ⇒ Microsoft Corporation (MSFT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Minerals Technologies Inc. (MTX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Mitsubishi Electric Corp ADR (MIELY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Mohawk Industries, Inc. (MHK)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Molson Coors Brewing Company Class B (TAP)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Mondelez International, Inc. - Class A (MDLZ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Monro, Inc. (MNRO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Monster Beverage Corporation (MNST)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Moody's Corporation (MCO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Morningstar, Inc. (MORN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Motorola Solutions, Inc. (MSI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ MS&AD Insurance Group Holdings, Inc. Unsponsored ADR (MSADY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Muenchener Re Group AG Unsponsored ADR (MURGY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
NETGEAR, Inc. (NTGR)					
UBS-Yatish Trust-SWP ⇒ NewJersey Resources Corporation (NJR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ NextEra Energy, Inc. (NEE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ NIC Inc. (EGOV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ NiSource Inc (NI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Nordson Corporation (NDSN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Norfolk Southern Corporation (NSC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Northern Trust Corporation (NTRS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ NVIDIA Corporation (NVDA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Occidental Petroleum Corporation (OXY)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Yatish Trust-SWP ⇒ Oceaneering International, Inc. (OII)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ ONE Gas, Inc. (OGS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Packaging Corporation of America (PKG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Parker-Hannifin Corporation (PH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ PayPal Holdings, Inc. (PYPL)		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Yatish Trust-SWP ⇒ Pepsico, Inc. (PEP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Pfizer, Inc. (PFE)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Philip Morris International Inc (PM)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ PICC Property and Casualty Company Ltd Un-sponsored ADR representing Shares -H- (PPCCY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Plantronics, Inc. (PLT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ PNC Financial Services Group, Inc. (PNC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ POSCO (PKX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Power Integrations, Inc. (POWI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ PPG Industries, Inc. (PPG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Progressive Corporation (PGR)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS-Yatish Trust-SWP ⇒ Prudential Financial, Inc. (PRU)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Publicis Groupe S.A. (PUBGY)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Quaker Chemical Corporation (KWR)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS-Yatish Trust-SWP ⇒ QUALCOMM Incorporated (QCOM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Yatish Trust-SWP ⇒ Red Hat, Inc. (RHT)		\$15,001 - \$50,000	None		
UBS-Yatish Trust-SWP ⇒ Republic Services, Inc. (RSG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ ResMed Inc. (RMD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Rio Tinto Plc (RIO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Royal DSM NV Sponsored ADR (RDSMY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
UBS-Yatish Trust-SWP ⇒ RPM International Inc. (RPM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Ryman Hospitality Properties, Inc. (RHP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ S&P Global Inc. (SPGI)		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Salesforce.com Inc (CRM)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Sally Beauty Holdings, Inc. (SBH)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Samsonite International S.A Unsponsor ADR (SMSEY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Sanderson Farms, Inc. (SAFM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Schlumberger N.V. (SLB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Yatish Trust-SWP ⇒ ServiceNow, Inc. (NOW)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Sherwin-Williams Company (SHW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Siemens AG American Depositary Shares (SIEGY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Silgan Holdings Inc. (SLGN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Simon Property Group, Inc. (SPG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ SINOPEC Shanghai Petrochemical Company, Ltd. (SHI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Smurfit Kappa Group Plc American Depositary Shares (SMFKY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Snap-On Incorporated (SNA)		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Standard Bank Group Limited Sponsored ADR (SGBLY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Stanley Black & Decker, Inc. (SWK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Starbucks Corporation (SBUX)		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
UBS-Yatish Trust-SWP ⇒ Synopsys, Inc. (SNPS)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Syntel, Inc. (SYNT)		\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
UBS-Yatish Trust-SWP ⇒ T. Rowe Price Group, Inc. (TROW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Yatish Trust-SWP ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ TAL Education Group American Depositary Shares (TAL)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Tanger Factory Outlet Centers, Inc. (SKT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ TE Connectivity Ltd. New Switzerland Registered Shares (TEL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Tech Data Corporation (TECD)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Telenor ASA American Depositary Shares (TELNY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Tennant Company (TNC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Tenneco Inc. (TEN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Teradata Corporation (TDC)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Teradyne, Inc. (TER)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS-Yatish Trust-SWP ⇒ Terna Rete Elettrica Nazionale SPA Unsponsored American Depositary Receipt (TEZNY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Tetra Tech, Inc. (TTEK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Texas Capital Bancshares, Inc. (TCBI)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Texas Instruments Incorporated (TXN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Yatish Trust-SWP ⇒ Texas Roadhouse, Inc. (TXRH)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
UBS-Yatish Trust-SWP ⇒ The Cheesecake Factory Incorporated (CAKE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ The Ensign Group, Inc. (ENSG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ The Kraft Heinz Company (KHC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ The Travelers Companies, Inc. (TRV)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Time Warner Inc. New (TWX)		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1,001 - \$2,500
UBS-Yatish Trust-SWP ⇒ TJX Companies, Inc. (TJX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Tompkins Financial Corporation (TMP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Tootsie Roll Industries, Inc. (TR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Torchmark Corporation (TMK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Toronto Dominion Bank (TD)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Total S.A. (TOT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ TransCanada Corporation (TRP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Tupperware Brands Corporation (TUP)		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Yatish Trust-SWP ⇒ U.S. Bancorp (USB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ U.S. Physical Therapy, Inc. (USPH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Ulta Beauty, Inc. (ULTA)		\$1,001 - \$15,000	Capital Gains	None	\$1,001 - \$2,500
UBS-Yatish Trust-SWP ⇒ UMB Financial Corporation (UMBF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Unibail-Rodamco SE Un-sponsored ADR (UNRDY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Unifirst Corporation (UNF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Union Pacific Corporation (UNP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ V.F. Corporation (VFC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Valero Energy Corporation (VLO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Valmont Industries, Inc. (VMI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Verizon Communications Inc. (VZ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Vermilion Energy Inc. Common (VET)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
UBS-Yatish Trust-SWP ⇒ Viacom Inc. - Class B (VIAB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Visa Inc. (V)		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$2,501 - \$5,000
UBS-Yatish Trust-SWP ⇒ Walgreens Boots Alliance, Inc. (WBA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UBS-Yatish Trust-SWP ⇒ Washington Federal, Inc. (WAFD)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Wells Fargo & Company (WFC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ West Japan Railway Co Unsponsored ADR (WJRY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ WEX Inc. common stock (WEX)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Whirlpool Corporation (WHR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Williams-Sonoma, Inc. Common Stock (WSM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Wright Medical Group N.V. - Ordinary Shares (WMGI)		\$1,001 - \$15,000	None		
UBS-Yatish Trust-SWP ⇒ Wynn Resorts, Limited (WYNN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Xcel Energy Inc. (XEL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Xilinx, Inc. (XLNX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
UBS-Yatish Trust-SWP ⇒ Zimmer Biomet Holdings, Inc. (ZBH)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
UBS-Yatish Trust-SWP ⇒ Zoetis Inc. Class A (ZTS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish 529 #1 ⇒ American Funds EuroPacific Growth Portfolio (aepcx)		\$1,001 - \$15,000	Tax-Deferred		
LOCATION: IN					
Yatish 529 #1 ⇒ Boston company dynamic large cap value fund (drgvx)		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LOCATION: IN					
Yatish 529 #1 ⇒ Diamond Hill small/mid cap portfolio (dhmcx)		\$1,001 - \$15,000	Tax-Deferred		
LOCATION: IN					
Yatish 529 #1 ⇒ International Equity Index portfolio		\$1,001 - \$15,000	Tax-Deferred		
LOCATION: IN					
Yatish 529 #1 ⇒ Large cap index portfolio (brgcx)		\$1,001 - \$15,000	Tax-Deferred		
LOCATION: IN					
Yatish 529 #1 ⇒ T Rowe price large cap growth portfolio (trlgx)		\$1,001 - \$15,000	Tax-Deferred		
LOCATION: IN					
Yatish 529 #2 ⇒ American funds EuroPacific growth portfolio (aepcx)		\$1,001 - \$15,000	Tax-Deferred		
LOCATION: IN					
Yatish 529 #2 ⇒ Boston company dynamic large cap value portfolio (drgvx)		\$1,001 - \$15,000	Tax-Deferred		
LOCATION: IN					
Yatish 529 #2 ⇒ Diamond Hill small cap portfolio (dhmcx)		\$1,001 - \$15,000	Tax-Deferred		
LOCATION: IN					
Yatish 529 #2 ⇒ International equity index portfolio		\$1,001 - \$15,000	Tax-Deferred		
LOCATION: IN					
Yatish 529 #2 ⇒ Large cap index portfolio (brgcx)		\$1,001 - \$15,000	Tax-Deferred		
LOCATION: IN					
Yatish 529 #2 ⇒ T Rowe Price large cap large cap growth portfolio (trlgx)		\$1,001 - \$15,000	Tax-Deferred		
LOCATION: IN					
Yatish AGG ETF Strategy 367 ⇒		\$50,001 -	Dividends	\$201 -	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Consumer Discretionary SPDR (XLY)		\$100,000		\$1,000	
Yatish AGG ETF Strategy 367 ⇒ Energy sector SPDR (XLE)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
Yatish AGG ETF Strategy 367 ⇒ Financial Sector SPDR (XLF)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
Yatish AGG ETF Strategy 367 ⇒ First Tr Cloud Computing (SKYY)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
Yatish AGG ETF Strategy 367 ⇒ First Tr FD Jones IN (FDN)		\$15,001 - \$50,000	None		
Yatish AGG ETF Strategy 367 ⇒ Health Care Select SPDR (XLV)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
Yatish AGG ETF Strategy 367 ⇒ Money Fund		\$1,001 - \$15,000	Interest	\$1 - \$200	None
Yatish AGG ETF Strategy 367 ⇒ Nasdaq Biotech (IBB)		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
Yatish AGG ETF Strategy 367 ⇒ Real Estate Select SPDR (XLRE)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
Yatish AGG ETF Strategy 367 ⇒ Vanguard Consumer Staples (VDC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish AGG ETF Strategy 367 ⇒ Vanguard Industrial (VIS)		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	None
Yatish AGG ETF Strategy 367 ⇒ Vanguard Information tech (VGT)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
Yatish AGG ETF Strategy 367 ⇒ Vanguard Meaterials (VAW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ AAON, Inc. (AAON)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ ABM Industries Incorporated (ABM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Yatish Delaware SCC 653 ⇒ Adamas Pharmaceuticals, Inc. (ADMS)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ American Equity Investment Life Holding Company (AEL)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Anixter International Inc. (AXE)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Applied Industrial Technologies, Inc. (AIT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Armada Hoffer Properties, Inc. (AHH)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ ATN International, Inc. (ATNI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Balchem Corporation (BCPC)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Barnes Group, Inc. (B)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Belden Inc (BDC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Boise Cascade, L.L.C. (BCC)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Brooks Automation, Inc. (BRKS)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Bryn Mawr Bank Corporation (BMTC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Callidus Software, Inc. (CALD)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Carrizo Oil & Gas, Inc. (CRZO)		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Yatish Delaware SCC 653 ⇒ Casella Waste Systems, Inc. - Class A (CWST)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Catalent, Inc. (CTLT)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Chuy's Holdings, Inc. (CHUY)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ City Holding Company (CHCO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Clovis Oncology, Inc. (CLVS)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ CoBiz Financial Inc. (COBZ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Columbus McKinnon Corporation (CMCO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ CONMED Corporation (CNMD)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
Yatish Delaware SCC 653 ⇒ Continental Building Products, Inc. (CBPX)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Convergys Corporation (CVG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ CryoLife, Inc. (CRY)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Del Frisco's Restaurant Group, Inc. (DFRG)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ EastGroup Properties, Inc. (EGP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ ESCO Technologies Inc. (ESE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Essent Group Ltd. Common Shares (ESNT)		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Yatish Delaware SCC 653 ⇒ Evercore Inc. Class A (EVR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Exact Sciences Corporation (EXAS)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ ExlService Holdings, Inc. (EXLS)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ FCB Financial Holdings, Inc. Class A (FCB)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Federal Signal Corporation (FSS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ First Bancorp (FBNC)		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	None
Yatish Delaware SCC 653 ⇒ First Industrial Realty Trust, Inc. (FR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ First Interstate BancSystem, Inc. - Class A (FIBK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Five Below, Inc. (FIVE)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Flushing Financial Corporation (FFIC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Gramercy Property Trust (GPT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Granite Construction Incorporated (GVA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Great Western Bancorp, Inc. (GWB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ GrubHub Inc. (GRUB)		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Yatish Delaware SCC 653 ⇒ Hawaiian Holdings, Inc. (HA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ HealthSouth Corporation (HLS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Hope Bancorp, Inc. (HOPE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Houlihan Lokey, Inc. Class A (HLI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ II-VI Incorporated (IIVI)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Independent Bank Corp. (INDB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Independent Bank Group, Inc (IBTX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Infinity Property and Casualty Corporation (IPCC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ InterXion Holding N.V. Ordinary Shares (INXN)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ J & J Snack Foods Corp. (JJSF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ j2 Global, Inc. (JCOM)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Jack In The Box Inc. (JACK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Kadant Inc (KAI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Kaiser Aluminum Corporation (KALU)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Keane Group, Inc. (FRAC)		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Yatish Delaware SCC 653 ⇒ Kforce, Inc. (KFRC)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Kite Realty Group Trust (KRG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ KLX Inc. (KLXI)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Knight-Swift Transportation Holdings Inc. (KNX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ LaSalle Hotel Properties (LHO)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Ligand Pharmaceuticals Incorporated (LGND)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Mack-Cali Realty Corporation (CLI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ MACOM Technology Solutions Holdings, Inc. (MTSI)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ MainSource Financial Group, Inc. (MSFG)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Malibu Boats, Inc. (MBUU)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ MaxLinear, Inc. (MXL)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Merit Medical Systems, Inc. (MMSI)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ MGIC Investment Corporation (MTG)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Minerals Technologies Inc. (MTX)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Yatish Delaware SCC 653 ⇒ Money Fund		\$1,001 - \$15,000	Interest	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ MYR Group, Inc. (MYRG)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Natera, Inc. (NTRA)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Navigant Consulting, Inc. (NCI)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Neenah Paper, Inc. (NP)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ NETGEAR, Inc. (NTGR)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ NorthWestern Corporation (NWE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ NuVasive, Inc. (NUVA)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Old National Bancorp (ONB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ On Assignment, Inc. (ASGN)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Paycom Software, Inc. (PAYC)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Pebblebrook Hotel Trust Common Shares of Beneficial Interest (PEB)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Pioneer Energy Services Corp. Common Stk (PES)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Plantronics, Inc. (PLT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Prestige Brand Holdings, Inc. (PBH)					
Yatish Delaware SCC 653 ⇒ Primerica, Inc. (PRI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Proofpoint, Inc. (PFPT)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Q2 Holdings, Inc. (QTWO)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Quaker Chemical Corporation (KWR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Quidel Corporation (QDEL)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Ramco-Gershenson Properties Trust (RPT)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Repligen Corporation (RGEN)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Retrophin, Inc. (RTRX)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ RSP Permian, Inc. (RSPP)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Selective Insurance Group, Inc. (SIGI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Semtech Corporation (SMTC)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Silicon Laboratories, Inc. (SLAB)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ South Jersey Industries, Inc. (SJI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Spectrum Pharmaceuticals, Inc. (SPPI)		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Yatish Delaware SCC 653 ⇒ Spire Inc. (SR)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ SRC Energy Inc. (SRCI)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Sterling Bancorp (STL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Steven Madden, Ltd. (SHOO)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Stifel Financial Corporation (SF)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Superior Energy Services, Inc. (SPN)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Tenneco Inc. (TEN)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Tetra Tech, Inc. (TTEK)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ The Cheesecake Factory Incorporated (CAKE)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ The KEYW Holding Corporation (KEYW)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ The Medicines Company (MDCO)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ U.S. Silica Holdings, Inc. (SLCA)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Umpqua Holdings Corporation (UMPQ)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ United Bankshares, Inc. (UBSI)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
United Fire Group, Inc (UFCS)					
Yatish Delaware SCC 653 ⇒ US Ecology, Inc. (ECOL)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Delaware SCC 653 ⇒ Vanda Pharmaceuticals Inc. (VNDA)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Venator Materials PLC Ordinary Shares (VNTR)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ WageWorks, Inc. (WAGE)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ WNS (WNS)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ Wright Medical Group N.V. - Ordinary Shares (WMGI)		\$1,001 - \$15,000	None		
Yatish Delaware SCC 653 ⇒ WSFS Financial Corporation (WSFS)		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish DTAQ 328 ⇒ AB Svensk Ekportkredit (RJI)		\$100,001 - \$250,000	None		
Yatish DTAQ 328 ⇒ Consumer Discretionary (XLY)		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	None
Yatish DTAQ 328 ⇒ Guggenheim S&P 500 (RSP)		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Yatish DTAQ 328 ⇒ Health Care Select (XLV)		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	None
Yatish DTAQ 328 ⇒ Ishares 3-7 yr Treas (SHY)		None	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Yatish DTAQ 328 ⇒ ishares 7-10 yr treasury (IEF)		None	Dividends	None	\$1,001 - \$2,500
Yatish DTAQ 328 ⇒ Ishares Aggregate Bond (AGG)		None	Dividends	\$201 - \$1,000	\$5,001 - \$15,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Yatish DTAQ 328 ⇒ Ishares core MSCI (IEFA)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Yatish DTAQ 328 ⇒ Ishares core MSCI EM (IEMG)		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$201 - \$1,000
Yatish DTAQ 328 ⇒ Ishares MSCI EAFE (EFA)		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Yatish DTAQ 328 ⇒ Ishares US Energy		None	Dividends	None	\$1,001 - \$2,500
Yatish DTAQ 328 ⇒ Ishares US Real Estate (IYR)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$1,001 - \$2,500
Yatish DTAQ 328 ⇒ ishares US Tech (IYW)		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Yatish DTAQ 328 ⇒ Materials Select Sector SPDR (XLB)		None	Dividends	None	\$1,001 - \$2,500
Yatish DTAQ 328 ⇒ Sector SPDR Consumer Staples (XLP)		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$201 - \$1,000
Yatish DTAQ 328 ⇒ Sector SPDR Energy (XLE)		None	Dividends	None	\$201 - \$1,000
Yatish DTAQ 328 ⇒ Sector SPDR Industrial (XLI)		None	Dividends	None	\$1,001 - \$2,500
Yatish DTAQ 328 ⇒ Sector SPDR Utilities (XLU)		None	Dividends	None	\$5,001 - \$15,000
Yatish DTAQ 328 ⇒ select sector SPDR Tech TR (XLK)		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	None
Yatish DTAQ 328 ⇒ SPDR Gold Trust (GLD)		\$100,001 - \$250,000	None		
Yatish DTAQ 328 ⇒ SPDR US Financial Sector (XLF)		None	Dividends	\$201 - \$1,000	None
Yatish DTAQ 328 ⇒		\$100,001 -	Dividends	\$2,501 -	\$2,501 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Vanguard FTSE EM (VWO)		\$250,000		\$5,000	\$5,000
Yatish Misc Advisory 884 ⇒ American New World (NFFFX)		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	None
Yatish Misc Advisory 884 ⇒ Archrock Partners, L.P. - Common Units representing Limited Partner Interests (APLP)		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	None
Yatish Misc Advisory 884 ⇒ Capital Product Partners L.P. - common units representing limited partner interests (CPLP)		None	Dividends	None	\$2,501 - \$5,000
Yatish Misc Advisory 884 ⇒ Clearbridge Aggress Growth (SAGYX)		\$250,001 - \$500,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1 - \$200
Yatish Misc Advisory 884 ⇒ CrossAmerica Partners LP Common Units representing limited partner interests (CAPL)		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	None
Yatish Misc Advisory 884 ⇒ DCP Midstream Partners, LP DCP Midstream Partnership, LP Common Units (DPM)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	None
Yatish Misc Advisory 884 ⇒ ING 7.20% Preferred (called) DESCRIPTION: Preferred Stock		None	Dividends	None	\$5,001 - \$15,000
Yatish Misc Advisory 884 ⇒ ishares Core S&P Mid Cap (IJH)		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	None
Yatish Misc Advisory 884 ⇒ Ishares global tech (IXN)		\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	None
Yatish Misc Advisory 884 ⇒ Ishares MSCI Indionesia (EIDO)		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Misc Advisory 884 ⇒ Ishares MSCI Mexico (EWW)		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Yatish Misc Advisory 884 ⇒ Ishares MSCI Turkey (TUR)		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Yatish Misc Advisory 884 ⇒		\$50,001 -	Dividends	\$5,001 -	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Kayne Anderson MLP Investment Company (KYN)		\$100,000		\$15,000	
Yatish Misc Advisory 884 ⇒ Martin Midstream Partners L.P. - Common Units Representing Limited Partnership Interests (MMLP)		\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	None
Yatish Misc Advisory 884 ⇒ Money Fund		\$100,001 - \$250,000	Interest	\$1 - \$200	\$1 - \$200
Yatish Misc Advisory 884 ⇒ NGL ENERGY PARTNERS LP Common Units representing Limited Partner Interests (NGL)		\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	None
Yatish Misc Advisory 884 ⇒ SPDR Bloomberg High Yield Bond (JNK)		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Yatish Misc Advisory 884 ⇒ Sprague Resources LP Common Units representing Limited Partner Interests (SRLP)		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	None
Yatish Misc Advisory 884 ⇒ Summit Midstream Partners, LP Common Units Representing Limited Partner Interests (SMLP)		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	None
Yatish Misc Advisory 884 ⇒ Templeton SC EM (TEMZX)		None	Dividends	None	\$201 - \$1,000
Yatish Misc Advisory 884 ⇒ USD Partners LP Common Units representing limited partner interest (USDP)		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	None
Yatish Misc Advisory 884 ⇒ Wells Fargo Special Mid Cap (WFMIX)		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$1,001 - \$2,500
Yatish Non-Advisory 315 ⇒ Eurostoxx 50 Step Up note due 6/29/18 DESCRIPTION: Structured Note		\$15,001 - \$50,000	None		
Yatish Non-Advisory 315 ⇒ Eurostoxx 50 Step Up note due 7/27/18 DESCRIPTION: Structured Note		\$100,001 - \$250,000	None		
Yatish Non-Advisory 315 ⇒ FT 4950 US Energy Independance ser 5 DESCRIPTION: Unit Trust		None	Dividends	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Yatish Non-Advisory 315 ⇒ FT Unit 6236 US Energy Independace Portfolio series 12		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Unit Investment Trust					
Yatish Non-Advisory 315 ⇒ FT Unit 6309 Dow Target 10 due 10/6/17		None	Dividends	\$2,501 - \$5,000	None
DESCRIPTION: Unit Trust					
Yatish Non-Advisory 315 ⇒ FT Unit 6889 Dow Target 10		\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	None
DESCRIPTION: Unit Investment Trust					
Yatish Non-Advisory 315 ⇒ International Equity Index Note due 6/29/18		\$100,001 - \$250,000	None		
DESCRIPTION: Structured Note					
Yatish Non-Advisory 315 ⇒ Virtus Emerting Markets Fund (PICEX)		\$50,001 - \$100,000	None		
Yatish Spectrum Preferred Stock 672 ⇒ Alabama Power Co 5.0% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Allstate 6.25% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Allstate 6.625% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Allstate 6.75% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Allstate Corp 5.1-% sub deb due 1/15/53		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Allstate Corp 5.625% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Yatish Spectrum Preferred Stock 672 ⇒ Allstate ser E 6.625% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ American Financial Grp Sub Deb 6.25% due 9/30/54 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	None
Yatish Spectrum Preferred Stock 672 ⇒ Arch Capital Grp 5.25% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Spectrum Preferred Stock 672 ⇒ Arch Capital Grp 5.45% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Spectrum Preferred Stock 672 ⇒ AT&T Global Notes 5.35% due 11/1/66 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	None
Yatish Spectrum Preferred Stock 672 ⇒ Axis Capital Holdings 5.5% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Bank of New York Mellon 5.20% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ BB&T 5.20% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ BB&T 5.625% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ BB&T Corp 5.625 ser E Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Capial One Financial 6.0% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Yatish Spectrum Preferred Stock 672 ⇒ Capital One 6.20% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Capital One 6.25% Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Capital One 6.7% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Charles Schwab 6.0% Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Citigroup 6.875% Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Citigroup 6.875% ser L Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Citigroup 7.125% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Comcast Corp Notes 5.00% due 12/15/61		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ DDR Corp 6.375% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Digital Realty Trust 7.375% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Dominion Energy 5.25% sub note due 7/30/76		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Preferred Stock					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Yatish Spectrum Preferred Stock 672 ⇒ DTE Energy co 5.25% Jr Sub Note due 12/1/77 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ DTE Energy Co 5.375% Jr Sub Note due 6/1/76 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Duke Energy 5.125% Sub Deb due 1/15/73 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Entergy Arkansas 4.875% first mtg due 9/1/66 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Entergy Louisiana 4.875% Mrg bonds due 9/1/66 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Entergy Louisiana LLC 4.70% due 6/1/63 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Entergy Mississippi 4.90% first mtg due 10/1/66 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	None
Yatish Spectrum Preferred Stock 672 ⇒ Entergy New Orleans 5.50% first mtg due 4/1/66 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ First Republic Bank 5.125% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Spectrum Preferred Stock 672 ⇒ GATX Corp 5.625% Sr. Note due 5/30/66 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ GE Capital 4.70% Sr Note due 5/16/53 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Yatish Spectrum Preferred Stock 672 ⇒ GE Capital 4.875% Sr Note due 1/29/53 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ GE Capital 4.875% Sr Note due 10/15/52 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Georgia Power Co 5.00% Jr sub note due 10/1/77 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	None
Yatish Spectrum Preferred Stock 672 ⇒ Goldman Sachs 5.50% ser J Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Goldman Sachs 6.30% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Goldman Sachs 6.375% preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Hartford Financial Services (HGH) DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Integrys Energy 6% Jr. sub note due 2073 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Interstate Power & Light 5.1% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ JP Morgan Ser AA 6.10% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ JP Morgan Ser P 5.45% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Yatish Spectrum Preferred Stock 672 ⇒ JP Morgan ser T 6.7% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ JP Morgan Ser W 6.30% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ JP Morgan Ser Y 6.125% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ KeyCorp 6.125% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
Yatish Spectrum Preferred Stock 672 ⇒ KIMCO Realty 5.125% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Spectrum Preferred Stock 672 ⇒ KIMCO Realty 5.50% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ KIMCO Realty 5.625% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Money Fund		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Morgan Stanley 6.625% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
Yatish Spectrum Preferred Stock 672 ⇒ Morgan Stanley 6.875% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Morgan Stanley 7.125% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Morgan Stanley Ser A Floating Rate Preferred					
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Morgan Stanley Ser K Variable Rate Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ National Retail Properties 5.2% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ National Retail Properties 5.70%		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Nextera Energy Cap Holdings 5.0% Jr sub debt due 1/15/73		\$1,001 - \$15,000	Interest	\$1 - \$200	None
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Nextera Energy Cap Holdings 5.125% Jr Sub Deb due 11/15/72		\$1,001 - \$15,000	Interest	\$1 - \$200	None
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Nextera Energy Cap Holdings 5.25% Jr sub deb due 6/1/76		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ NY Community Bancorp 6.375% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ People's United Fin Inc Var% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ PNC Financial Services Ser P Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ PPL Caital Funding 5.9% Jr Sub Notes due 4/30/73		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Yatish Spectrum Preferred Stock 672 ⇒ Prudential Financial 5.7% Jr Sub Note due 3/15/53 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ PS Business Pks 5.25% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Spectrum Preferred Stock 672 ⇒ Public Storage ser A 5.875% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Public Storage ser D 4.95% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Public Storage ser D 5.20% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Public Storage ser F 5.15% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Spectrum Preferred Stock 672 ⇒ Public Storage ser V 5.375% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Public Storage ser X 5.20% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Public Storage ser Y 6.375% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Public Storage ser Z 6.00% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Quest Corp Sr note 6.5% due 9/1/56 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Yatish Spectrum Preferred Stock 672 ⇒ Quest Corp Sr. Note 6.125% due 6/1/53 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ Regions Financial ser B Variable Pfd DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Spectrum Preferred Stock 672 ⇒ Reinsurance grp of America 5.75% sub debt due 6/15/42 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ RenaissanceRe 5.375% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ SCE Trust V variable % Preferred DESCRIPTION: Preferred Stock		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
Yatish Spectrum Preferred Stock 672 ⇒ SCE Trust VI 5.0% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Yatish Spectrum Preferred Stock 672 ⇒ Southern Co 5.25% jr sub note due 12/1/67 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	None
Yatish Spectrum Preferred Stock 672 ⇒ Sr Housing Properties 6.25% Sr Note due 2/1/46 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	None
Yatish Spectrum Preferred Stock 672 ⇒ Sr. Housing PPTY5.625% Sr Note due 8/1/42 DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Yatish Spectrum Preferred Stock 672 ⇒ State Street corp ser E 6.0% Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
Yatish Spectrum Preferred Stock 672 ⇒ State Street ser D variable Preferred DESCRIPTION: Preferred Stock		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Yatish Spectrum Preferred Stock 672 ⇒ Stifel Financial 5.20% Sr. Note due 10/15/47		\$1,001 - \$15,000	Interest	\$1 - \$200	None
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ TCF Financial 5.7% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ US Bancorp Variable rate preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Ventas Realty 5.45% Sr note due 3/15/43		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Verizon Communications 5.9% note due 2054		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Vornado Realty Trust ser L 5.4% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Wells Fargo 5.85% floating rate preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Wells Fargo 6.625% Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Wells Fargo ser X 5.5% Preferred		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ Wells Fargo ser Y 5.625% Preferred		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ WR Berkley 5.625% sub deb due 4/30/53		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Yatish Spectrum Preferred Stock 672 ⇒ WR Berkley 5.75% Sub deb due 6/1/56		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					
Yatish Spectrum Preferred Stock 672 ⇒ WR Berkley Corp 5.9% sub deb due 3/1/56		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Preferred Stock					

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
GTA CONTAINERS, INC.	Salary	\$283,894.19	\$262,509.70
DEARBORN CRANE & ENGINEERING COMPANY	Spouse Salary	N/A	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	UBS	December 2015	Personal line of credit	\$500,001 - \$1,000,000
	UBS	November 2012	Business line of credit	\$1,000,001 - \$5,000,000
	UBS	December 2016	Personal Credit Line - GST Trust	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
PRESIDENT	GTA CONTAINERS, INC.
BOARD OF DIRECTOR	GTA CONTAINERS, INC
PRESIDENT,	GTA DRUM, INC
BOARD OF DIRECTOR	GTA DRUM, INC
SECRETARY	GTA CONTAINERS, INC.

Position	Name of Organization
SECRETARY	GTA DRUM, INC.
BOARD OF DIRECTOR	FOXTROT REALTY CORPORATION
PRESIDENT	FOXTROT REALTY CORPORATION
MEMBER	CHA CHA PROPERTIES, LLC
CHAIRMAN OF BOARD	THE GEORGINA JOSHI FOUNDATION, INC.
PRESIDENT	THE GEORGINA JOSHI FOUNDATION, INC.
SECRETARY	THE GEORGINA JOSHI FOUNDATION, INC.
BOARD OF DIRECTOR	BIKE THE BEND, INC
SECRETARY	BIKE THE BEND, INC.
TREASURER	BIKE THE BEND, INC.
CHAIRMAN OF BOARD	DEARBORN CRANE & ENGINEERING COMPANY
SECRETARY	DEARBORN CRANE & ENGINEERING COMPANY
MEMBER	GTA INNOVATION, LLC

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none">◦ Cha Cha Properties, LLC LOCATION: South Bend, IN, US◦ Cont Mutual Funds 456 (100% Interest) LOCATION: US DESCRIPTION: Brokerage Account◦ Cont P/S Mutual Funds 385 (Owner: JT) COMMENTS: The Plan covers all eligible past & present GTA & Dearborn non-union employees, including Yatish and Joan.◦ Cont P/S Spectrum 649 (Owner: JT) COMMENTS: The Plan covers all eligible past & present GTA & Dearborn non-union employees, including Yatish and Joan.◦ Cont P/S Struct Notes 384 (Owner: JT) COMMENTS: The Plan covers all eligible past & present GTA & Dearborn non-union employees, including Yatish and Joan.◦ Cont Struct Notes 489 LOCATION: US DESCRIPTION: Brokerage Account

- Drum High Quality & Div 458
LOCATION: US
DESCRIPTION: Brokerage Account
- Drum Spectrum Preferred stock 468
LOCATION: US
DESCRIPTION: Brokerage Account
- Drum Structured Notes 509
LOCATION: US
DESCRIPTION: Brokerage Account
- Drum Wedge MCV 457
LOCATION: US
DESCRIPTION: Brokerage Account
- Joan 401K (Owner: SP)
- Joan Beneficiary IRA 341 (Owner: SP)
- Joan Beneficiary IRA HQ&DIV 661 (Owner: SP)
- Joan Brokerage (Owner: SP)
LOCATION: US
- Joan High Qual Div 662 (Owner: SP)
LOCATION: US
DESCRIPTION: Brokerage Account
- Joan IRA 209 (Owner: SP)
- Joan Misc Managers 663 (Owner: SP)
LOCATION: US
DESCRIPTION: Brokerage Account
- Joan Non-Advisory 208 (Owner: SP)
LOCATION: US
DESCRIPTION: Brokerage Account
- Joan Roth IRA 210 (Owner: SP)
LOCATION: US
DESCRIPTION: Brokerage Account
- UBS - 10yr GRAT
DESCRIPTION: XXX57
- UBS - Addicott IRR Trust - SA
DESCRIPTION: XXX66
- UBS - GST Marital Share Trust Created under Louise E Adicott revocable trust
DESCRIPTION: XX690
- UBS - GST Trust
DESCRIPTION: XXX70
- UBS - IRA - Long Term Themes
- UBS - IRA - Yatish IRA XXX11
- UBS - IRA - YATISH ROTH
- UBS - Irrevocable TR - AI
DESCRIPTION: XXX70
- UBS - Non GST TR
DESCRIPTION: XXX78
- UBS - NonGST

DESCRIPTION: XXXX671

- UBS - Yatish J Joshi IRR Tr-Access
DESCRIPTION: XXX57
- UBS - Yatish J Joshi Revocable Trust - DivRul
DESCRIPTION: XXX84
- UBS - Yatish J Joshi Revocable Trust - QGARP
DESCRIPTION: XX253
- UBS - Yatish Trust - Alt Port
DESCRIPTION: XX 204
- UBS - Yatish Trust - IRR Ins Prem
DESCRIPTION: XX567
- UBS Addicott IRR TR- Global Select
DESCRIPTION: XXX780
- UBS Addicott IRR Trust
DESCRIPTION: XXX023
- UBS- Joshi- SA Trust
DESCRIPTION: XX 255
- UBS Lamont Grat Annuity Trust
DESCRIPTION: XX460
- UBS-A Joshi IRR Trust
DESCRIPTION: XXX625
- UBS-Drum
LOCATION: US
DESCRIPTION: XXX892
- UBS-GTA Containers
LOCATION: US
- UBS-GTA Drum
LOCATION: US
- UBS-IRR Trust-SWP
DESCRIPTION: XXX624
- UBS-Joshi- Muni Por
DESCRIPTION: XXX311
- UBS-Joshi Trust-RMA
DESCRIPTION: XX 787
- UBS-NonGST-AI
DESCRIPTION: XXX078
- UBS-Yatish Trust-SWP
DESCRIPTION: XX203
- Yatish 529 #1
LOCATION: IN
- Yatish 529 #2
LOCATION: IN
- Yatish AGG ETF Strategy 367
LOCATION: US
DESCRIPTION: Brokerage Account
- Yatish Delaware SCC 653

LOCATION: US

DESCRIPTION: Brokerage Account

- Yatish DTAQ 328

LOCATION: US

DESCRIPTION: Brokerage Account

- Yatish Misc Advisory 884

LOCATION: US

DESCRIPTION: Brokerage Account

- Yatish Non-Advisory 315

LOCATION: US

DESCRIPTION: Brokerage Account

- Yatish Spectrum Preferred Stock 672

LOCATION: US

DESCRIPTION: Brokerage Account

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Yatish J. Joshi , 01/31/2018