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## SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Source	Туре Ат	Amount
Keene State	Approved Teaching Fee Legislative Pension	\$6,000 \$9,000
Examples: Civil War Roundtable (Oct. 2nd) Ontario County Board of Education	Spouse Speech Spouse Salary	\$1,000 NA
Aeak LLC	Spouse Salary	N <b>A</b>
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# SCHEDULE II—PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

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Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC XYZ Magazine	Speech Article	Feb. 2, 2011 Aug. 13, 2011	\$2,000 \$500
N/A			
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#### TANTICACION ACCOUNTANTS DU/MI ED 529 Africain Axia IT I MI ED GED AGGRESIVE ARE S please refer to the instruction booklet. optional column on the far left. income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the It you so choose, you may indicate that an asset or that is not publicly traded, state the name of the busi-Savings Plan. tion in Block A. For an ownership interest in a privately-held business vide a complete address. For rental or other real property held for investment, provalue at the end of the reporting period. the name of the institution holding the account and not use ticker symbols.) of income with a fair market value exceeding \$1,000 at For a detailed discussion of Schedule III requirements accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift ing \$5,000 or less in a personal checking or saving homes and vacation homes (unless there was rental Exclude: Your personal residence. ness, the nature of its activities, and its geographic locament accounts which are not self-directed, provide only the power, even if not exercised, to select the specific Provide complete names of stocks and mutual funds (do more than \$200 in "unearned" income during the year. the end of the reporting period, and (b) any other Identify (a) each asset held for investment or production ncome during the reporting period); any deposits totalaccount that exceeds the reporting thresholds. For retireplans) that are self-directed (i.e., plans in which you have For all IRAs and other retirement plans (such as 401(k) reportable asset or sources of income which generated nvestments), provide the value for each asset held in the Examples: Don A Wells Asset and/or Income Source Ŷ Patro Simon & Schuster 1st Bank of Paducah, KY Accounts Mega Corp. Stock **BLOCK A** bank acet 1-asay including second इं None generated income, the value should be "None." year and is included only because it please specify the method used. method other than fair market value, reporting year. If you use a valuation Indicate value of asset at close of If an asset was sold during the reporting œ \$1 - \$1,000 Indefinite 人 O \$1,001 - \$15,000 O \$15,001 - \$50,000 Value of Asset × ш \$50,001 - \$100,000 BLOCK B × m \$100,001 - \$250,000 ០ \$250,001 - \$500,000 I \$500,001 - \$1,000,000 \_ \$1,000,001 - \$5,000,000 ے \$5,000,001 - \$25,000,000 \* \$25,000,001 - \$50,000,000 Over \$50,000,000 NONE if the asset generated no income durdisclosed as income. Check "None" gains, even if reinvested, must be Dividends, interest, and capital Check all columns that apply. ing the reporting period. may check the "Tax-Deferred" column. that generate tax-deferred income you to choose specific investments <u>or</u> retirement accounts that do not allow (such as 401(k) plans or IRAs), you У × DIVIDENDS × RENT Type of Income INTEREST BLOCK C × CAPITAL GAINS **EXCEPTED/BLIND TRUST** TAX-DEFERRED Royalties Other Type of Income (Specify: e.g., Partnership Income or Farm Income) None earned or generated Income. Check "None" if no income was reinvested, must be disclosed as interest, and capital gains, even if the appropriate box below. Dividends, cate the category of income by checking Deferred" in Block C, you may check the "None" column. For all other assets, indi-For assets for which you checked "Tax-\$1 - \$200 = \$201 - \$1,000 ≡ Amount of Income ₹ × \$1,001 - \$2,500 < BLOCK D \$2,501 - \$5,000 ≤ × \$5,001 - \$15,000 ≦ \$15,001 - \$50,000 ≦ \$50,001 - \$100,000 × \$100,001 - \$1,000,000 $\overline{\mathbf{x}}$

\$1,000,001 - \$5,000,000

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for exam-See below (S) (partial) follows: indicate as sold, pleas an asset is

Over \$5,000,000

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or exchanges (E) exceeding (P), sales (S) purchases asset had Indicate if the

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	Comment of the contract	NL ACC	Prodential Valve Find Prodential Blend Find	Horas M	L'ORITA CONTRA (TRA)		mid Car	Diamond Hill Irage Cap	Mutual	evisa Stake	Amorican Century		BLOCK A Asset and/or Income Source	SCHEDULE III—ASSETS AND Continuation Sheet (if needed)
	>	,×,		*	X	< ×	<b>X</b>		×	rds	X	None	BLOCK B Year-End Value of Asset	ASSETS AND "UNEARNED" INCOME (if needed)
	>		**	X	X	<>	X	×	<b>X</b>		X	NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST TAX-DEFERRED Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	BLOCK C Type of Income	Name Mic
	7	X		X.	X				X			None	BLOCK D  Amount of Income	Ichael J Rapers Page
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## **SCHEDULE IV— TRANSACTIONS**

resulted in a capital tosts. Frovide a priet rescription of any excitating transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.  Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule.	Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that regulated in control loss Broade a belot described of any exchange transactions.	SCHEDULE IV— TRANSACTIONS
PURCHASE	Type of Transaction	
SALE	ype	
EXCHANGE	ction	
Check Box if Capita Gain Exceeded \$20		
(MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Date	Name {
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Heport any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property, held for investment that exceeded \$1,000 Include transactions that		of Transaction	ction		Date			Αm	oun	t of 1	rans	Amount of Transaction	9		
resulted in a capital loss, Provide a brief description of any exchange trans- action. Exclude transactions between you, your spouse or dependent chil-				pital \$200	(MO/DAY/YR)	Α	8	ဂ	٥	m	TI	മ	I	-	ح
dren, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.		•	ANGE	ox if Ca	or Quarterly,			)	-  )	-  -		01- 00	01- 000		000
Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.	PURC	SALE	EXCH	Check E Gain Ex	Bi-weekly, if applicable	\$1,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,00 \$250,00	\$250,00° \$500,000	\$500,00 \$1,000,0	\$1,000,0 \$5,000,0	\$5,000,0 \$25,000,	\$25,000, \$50,000,	Over \$50,000
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SP Example: Mega Corporation Common Stock (partial sale)		×			10-12-11		×								
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### SCHEDULE V— LIABILITIES

Name Michael J Rogers Page Tot

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report

in Circumstance	indigages on possession constraints					Amo	o nu	Amount of Liability	₹		
S O		Liability		<b>&gt;</b>	င	0	m	j.		<u> </u>	0 _
JT ,	Creditor	Incurred Mo/Year	Type of Liability	\$10,001- \$15,000 \$15,001-	\$50,000 \$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001 \$5,000,000	\$5,000,001 \$25,000,000 \$25,000,00	\$50,000,000 Over \$50,000,000
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE			×					-
	Michigan Commorce Bank 9/07	907	L.O.C. Howal MI		X						-
4	Bof De	5/09	Mortgage, Va		<u> </u>			X			

#### SCHEDULE VI — GIFTS

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. Report the source, a brief description, and the value of all gifts totalling more than \$350 received by you, your spouse, or a dependent child from any source during the year.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	\$375
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	Page 8 of O

# SCHEDULE VII— TRAVEL PAYMENTS AND REIMBURSEMENTS

or were paid by you and reimbursed by the sponsor. Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor

spouse or dependent child that is totally independent of his or her relationship to you. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. §7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a

	•	•				
Source	Date(s)	City of Departure—Destination— City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	< Z	< Z	< Z	None
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organization, or any educational or other institution other than the United States. proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner,

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations): and positions solely of an honorary nature.

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Position	Name of Organization
	NA

### SCHEDULE IX—AGREEMENTS

employee welfare or benefit plan maintained by a former employer. government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of

Date	Parties To	Terms of Agreement
	NR	