Filing ID #10002054

Financial Disclosure Report

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Filer Information

Name: Mr. John C. Carney Jr.
Status: Member
State/District: DE00

Filing Information

Filing Type: Annual Report
Filing Year: 2013
Filing Date: 06/16/2014

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Delaware College Savings Plan ⇒ Delaware College Savings Plan Description: Monthly deposits of $1,000	DC	$50,001 - $100,000	Tax-Deferred	None	☐
Delaware College Savings Plan ⇒ Delaware College Savings Plan Description: Monthly contributions of $1,000	DC	$50,001 - $100,000	Tax-Deferred	None	☐
Delaware State Employees Pension Fund		Undetermined	None		☐
Fidelity 457 Retirement Plan ⇒ Fidelity Diversified International		$15,001 - $50,000	Tax-Deferred	None	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Freedom 2025		$50,001 - $100,000	Tax-Deferred	None	☑
Fidelity 457 Retirement Plan ⇒ Fidelity Growth Company		$15,001 - $50,000	Tax-Deferred	None	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Low Priced Stock		$1,001 - $15,000	Tax-Deferred	None	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Fidelity 457 Retirement Plan ⇒ Fidelity Mid Cap Stock		$15,001 - $50,000	Tax-Deferred	None	
Fidelity 457 Retirement Plan ⇒ Fidelity Mid Cap Value		$15,001 - $50,000	Tax-Deferred	None	
Fidelity 457 Retirement Plan ⇒ Fidelity Retirement Money Market		None	Tax-Deferred	None	✓
Fidelity 457 Retirement Plan ⇒ Fidelity Select Biotech		$1,001 - $15,000	Tax-Deferred	None	✓
Fidelity 457 Retirement Plan ⇒ Fidelity Select Electronics		None	Tax-Deferred	None	✓
Fidelity 457 Retirement Plan ⇒ Invesco Small Cap Growth		$15,001 - $50,000	Tax-Deferred	None	
Fidelity 457 Retirement Plan ⇒ PIMCO Total Return Inst.		$15,001 - $50,000	Tax-Deferred	None	✓
Fidelity 457 Retirement Plan ⇒ Templeton Global Bond A		$15,001 - $50,000	Tax-Deferred	None	✓
Fidelity 457 Retirement Plan ⇒ Vanguard Institutional Index		$50,001 - $100,000	Tax-Deferred	None	✓
M&T Bank CD ⇒ M&T Bank CD	DC	$1,001 - $15,000	Interest	$1 - $200	
M&T Savings Account ⇒ M&T Savings Account	JT	$1,001 - $15,000	Interest	$1 - $200	
TIAA-CREF ⇒ CREF Social Choice	SP	$1,001 - $15,000	Tax-Deferred	None	
TIAA-CREF ⇒ CREF Stock	SP	$15,001 - $50,000	Tax-Deferred	None	
TIAA-CREF ⇒ TIAA Real Estate	SP	$1,001 - $15,000	Tax-Deferred	None	
TIAA-CREF ⇒ TIAA-CREF Traditional	SP	$15,001 - $50,000	Tax-Deferred	None	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Vanguard IRA ⇒ Vanguard Balanced Index Fund	SP	$1,001 - $15,000	Tax-Deferred	None	☐
Vanguard IRA ⇒ Vanguard Explorer Fund Investor	SP	$1,001 - $15,000	Tax-Deferred	None	☐
Vanguard IRA ⇒ Vanguard Primecap Fund Investor	SP	$1,001 - $15,000	Tax-Deferred	None	☐
Vanguard IRA ⇒ Vanguard Windsor II Investor	SP	$1,001 - $15,000	Tax-Deferred	None	☐
Vanguard Roth IRA ⇒ Vanguard Explorer Fund Investor		$1,001 - $15,000	Tax-Deferred	None	☐
Vanguard Roth IRA ⇒ Vanguard Strategic Equity	SP	$1,001 - $15,000	Tax-Deferred	None	☐
Vanguard Taxable Cash Accts ⇒ Vanguard Balanced Index Fund	JT	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☑
Vanguard Taxable Cash Accts ⇒ Vanguard Money Market Fund	JT	$1,001 - $15,000	Dividends, Interest	$201 - $1,000	☑
Vanguard Taxable Cash Accts ⇒ Vanguard Total Market Bond Fund	JT	$1,001 - $15,000	Dividends	$1 - $200	☑

* Asset class details available at the bottom of this form.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Delaware College Savings Plan ⇒ Delaware College Savings Plan Location: US Description: Check of $4,000 to Clemson	DC	12/30/2013	S	$1,001 - $15,000	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Freedom 2025 Description: Exchange from Fidelity Retirement Money Market $10,000		04/1/2013	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Freedom 2025		10/18/2013	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Description: Exchange from PIMCO Total Return					
Fidelity 457 Retirement Plan ⇒ Fidelity Low Priced Stock Description: Exchange from Fidelity Select Biotech		11/20/2013	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Retirement Money Market Description: Exchange to Fidelity Freedom 2025 and PIMCO Total Return		04/1/2013	S (partial)	$1,001 - $15,000	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Retirement Money Market Description: Exchange to Templeton Global Bond A		04/2/2013	S (partial)	$1,001 - $15,000	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Retirement Money Market Description: Exchange to Fidelity Total Bond Fund		04/4/2013	S (partial)	$1,001 - $15,000	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Retirement Money Market Description: Exchange to Templeton Global Bond A		04/10/2013	S (partial)	$1,001 - $15,000	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Retirement Money Market Description: Exchange to Templeton Global Bond A		05/13/2013	S (partial)	$1,001 - $15,000	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Retirement Money Market Description: Exchange to Invesco Small Cap Growth		05/22/2013	S (partial)	$1,001 - $15,000	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Select Biotech Description: Exchange to Vanguard Inst. Index $5K and Fidelity Low Priced Stock $10K		11/20/2013	S (partial)	$1,001 - $15,000	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Select Electronics Description: Exchange to PIMCO Total Return		01/29/2013	S (partial)	$15,001 - $50,000	☐
Fidelity 457 Retirement Plan ⇒ Fidelity Total Bond Fund Description: Exchange from Fidelity Money Market		04/4/2013	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Fidelity Total Bond Fund		05/22/2013	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DESCRIPTION: Exchange to Templeton Global Bond A					
Fidelity 457 Retirement Plan ⇒ Invesco Small Cap Growth DESCRIPTION: Exchange from Fidelity Money Market		05/22/2013	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ PIMCO Total Return Inst. DESCRIPTION: Exchange from Fidelity Select Electronics		01/29/2013	P	$15,001 - $50,000	
Fidelity 457 Retirement Plan ⇒ PIMCO Total Return Inst. DESCRIPTION: Exchange from Fidelity Retirement Money Market		04/1/2013	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ PIMCO Total Return Inst. DESCRIPTION: Exchange to Vanguard Inst. Index $10K Templeton Global Bond $10K Fidelity Freedom 2025 $5K		10/18/2013	S (partial)	$15,001 - $50,000	☐
Fidelity 457 Retirement Plan ⇒ Templeton Global Bond A DESCRIPTION: Exchange from Fidelity Money Market		04/2/2013	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Templeton Global Bond A DESCRIPTION: Exchange from Fidelity Money Market		04/10/2013	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Templeton Global Bond A DESCRIPTION: Exchange from Fidelity Money Market		05/13/2013	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Templeton Global Bond A DESCRIPTION: Exchange from Fidelity Total Bond Fund		05/22/2013	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Templeton Global Bond A DESCRIPTION: Exchange from PIMCO Total Return		10/18/2013	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Vanguard Institutional Index DESCRIPTION: Exchange from PIMCO Total Return		10/18/2013	P	$1,001 - $15,000	
Fidelity 457 Retirement Plan ⇒ Vanguard Institutional Index DESCRIPTION: Exchange from Fidelity Select Biotech		11/20/2013	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Vanguard Taxable Cash Accts ⇒ Balanced Index fund DESCRIPTION: Exchange from Prime Money Market	JT	04/2/2013	P	$1,001 - $15,000	
Vanguard Taxable Cash Accts ⇒ Balanced Index fund DESCRIPTION: Exchange from Total Bond Index Fund	JT	11/5/2013	P	$1,001 - $15,000	
Vanguard Taxable Cash Accts ⇒ Prime Money Market Fund	JT	03/8/2013	S	$1,001 - $15,000	☐
Vanguard Taxable Cash Accts ⇒ Prime Money Market Fund DESCRIPTION: To Total Bond Index Investor Fund	JT	04/2/2013	S (partial)	$1,001 - $15,000	☐
Vanguard Taxable Cash Accts ⇒ Prime Money Market Fund DESCRIPTION: Check for $4,4112	JT	04/23/2013	S	$1,001 - $15,000	☐
Vanguard Taxable Cash Accts ⇒ Prime Money Market Fund DESCRIPTION: Check for $2,000	JT	05/28/2013	S	$1,001 - $15,000	☐
Vanguard Taxable Cash Accts ⇒ Prime Money Market Fund DESCRIPTION: Check for $5,600	JT	07/16/2013	S	$1,001 - $15,000	☐
Vanguard Taxable Cash Accts ⇒ Prime Money Market Fund DESCRIPTION: Buy Total Bond Index Fund	JT	09/25/2013	S (partial)	$1,001 - $15,000	☐
Vanguard Taxable Cash Accts ⇒ Prime Money Market Fund DESCRIPTION: Check for $3,276	JT	10/10/2013	S	$1,001 - $15,000	☐
Vanguard Taxable Cash Accts ⇒ Prime Money Market Fund DESCRIPTION: To Total Bond Index Fund	JT	10/18/2013	S (partial)	$1,001 - $15,000	☐
Vanguard Taxable Cash Accts ⇒ Total Bond Index Investor Fund	JT	04/2/2013	P	$1,001 - $15,000	
Vanguard Taxable Cash Accts ⇒ Total Bond Index Investor Fund	JT	11/5/2013	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DESCRIPTION: To Balanced Index Fund					
Vanguard Taxable Cash Accts ⇒ Total Bond Index Investor Fund DESCRIPTION: Exchange from Prime Money Market	JT	09/25/2013	P	$1,001 - $15,000	
Vanguard Taxable Cash Accts ⇒ Total Bond Index Investor Fund DESCRIPTION: Exchange from Prime Money Market	JT	10/18/2013	P	$1,001 - $15,000	

* Asset class details available at the bottom of this form.

Schedule C: Earned Income

Source	Type	Amount
Wilmington Friends School	Spouse salary	N/A

Schedule D: Liabilities

None disclosed.

Schedule E: Positions

Position	Name of Organization
Director	Delaware Cancer Consortium

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

Source	Description	Value
Carol Quillen (Davidson, NC, US)	Paid $10,000 for Dependent Child's Clemson tuition	$10,000
Marcia Quillen (Wilmington, DE, US)	College Tuition payment to Clemson Univ.	$13,000

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Delaware College Savings Plan (Owner: DC)
  Location: DE
  Description: Samuel's College Savings Plan
- Delaware College Savings Plan (Owner: DC)
  Location: DE
  Description: James' College Savings Plan
- Fidelity 457 Retirement Plan
  Description: State of Delaware 457 Plan
- M&T Bank CD (Owner: DC)
  Location: US
- M&T Savings Account (Owner: JT)
  Location: DE, US
  Description: Bank Savings Account
- TIAA-CREF (Owner: SP)
- Vanguard IRA (Owner: SP)
  Description: Tracey's R/O IRA
- Vanguard Roth IRA (Owner: SP)
  Description: Tracey's Roth IRA
- Vanguard Roth IRA
  Description: John's Roth IRA
- Vanguard Taxable Cash Accts (Owner: JT)
  Location: DE, US
  Description: Joint Cash Accounts

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Comments

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. John C. Carney Jr., 06/16/2014