



Filing ID #10009519

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Lloyd K. Smucker
Status: Congressional Candidate
State/District: PA16

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2015
Filing Date: 02/19/2016
Period Covered: 01/01/2015– 02/15/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|---------------------------|-------------------------|-------------------------------|-------------------------|
| Insurance ⇒ Prudential Life Insurance DESCRIPTION: Whole Life Insurance | SP | \$1,001 - \$15,000 | Dividends, Interest | None | \$1 - \$200 |
| Other Assets ⇒ Fulton Bank - Bank Accounts | | \$500,001 - \$1,000,000 | Interest | \$1 - \$200 | \$1 - \$200 |
| Other Assets ⇒ H2O To Go Inc., 50% Interest LOCATION: MAYTOWN, PA, US DESCRIPTION: Water Filtration Business | | \$1,000,001 - \$5,000,000 | Dividends, Partial Sale | \$100,001 - \$1,000,000 | \$100,001 - \$1,000,000 |
| Other Investments ⇒ appMobi DESCRIPTION: NON PUBLIC STOCK | | \$15,001 - \$50,000 | None | | |
| Other Investments ⇒ INNOVENTURES FUND I, LLC LOCATION: LANCASTER, PA, US DESCRIPTION: Holding company for Wiley | | \$1,001 - \$15,000 | None | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|-----------------------|----------------|-------------------------------|-----------------------|
| Other Investments ⇒ INNOVENTURES FUND II, LLC | | \$1,001 - \$15,000 | None | | |
| LOCATION: LANCASTER, PA, US DESCRIPTION: Holding Company for Medstatic | | | | | |
| Other Investments ⇒ INNOVENTURES FUND THREE, LLC | | None | None | | |
| LOCATION: LANCASTER, PA, US DESCRIPTION: INVESTMENT FUND - No Assets | | | | | |
| PA State Pension ⇒ PA State Pension | | Undetermined | Tax-Deferred | | |
| TDAMERITRADE IRA ⇒ Activision Blizzard, Inc (ATVI) | | \$15,001 - \$50,000 | Tax-Deferred | | |
| TDAMERITRADE IRA ⇒ Apple Inc. (AAPL) | | \$100,001 - \$250,000 | Tax-Deferred | | |
| TDAMERITRADE IRA ⇒ Canadian National Railway Company (CNI) | | \$1,001 - \$15,000 | Tax-Deferred | | |
| TDAMERITRADE IRA ⇒ Markel Corporation (MKL) | | \$15,001 - \$50,000 | Tax-Deferred | | |
| TDAMERITRADE IRA ⇒ National Oilwell Varco, Inc. (NOV) | | \$1,001 - \$15,000 | Tax-Deferred | | |
| TDAMERITRADE IRA ⇒ Procter & Gamble Company (PG) | | \$1,001 - \$15,000 | Tax-Deferred | | |
| TDAMERITRADE IRA ⇒ TDAMERITRADE CASH ACCOUNT | | \$1,001 - \$15,000 | Tax-Deferred | | |
| TDAMERITRADE IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH) | | \$15,001 - \$50,000 | Tax-Deferred | | |

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount Current Year to Filing | Amount Preceding Year |
|-----------------------|--------|-------------------------------------|--------------------------|
| State of Pennsylvania | SALARY | \$7,111.55 | \$84,584.52 |
| H2O To Go Inc. | SALARY | \$2,250 | \$18,000 |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|-----------------------|----------------|--|------------------------|
| | BRIGHTMAN ENTERPRISES | SEPTEMBER 2009 | BUSINESS LOAN - Personal Guarantee | \$100,001 - \$250,000 |
| | FULTON BANK | AUGUST 2013 | BUSINESS LOAN - Personal Guarantee | \$250,001 - \$500,000 |
| | FULTON BANK | OCTOBER 2015 | BUSINESS LINE OF CREDIT - Personal Guarantee | \$100,001 - \$250,000 |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|--|--|
| Vice President COMMENTS: Uncompensated Position | PA Higher Educational Facilities Authority |
| Vice President COMMENTS: Uncompensated Position | State Public School Building Authority |
| MEMBER | Basic Education Funding Commission |
| MEMBER | State Board of Education |
| MEMBER | PHEAA - Pennsylvania Higher Education Assistance Agency |
| MEMBER | PennSERVE |
| MEMBER | Workforce Investment Board |
| MEMBER | Adult and Family Literacy Education Interagency Coordinating Council |
| MEMBER | Education Commission of the States |

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|---------------|------------------------------|---|
| December 2008 | STATE OF PENNSYLVANIA AND ME | State Pension Plan payable to me upon retirement. |

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Insurance (Owner: SP)
- Other Assets
LOCATION: US
- Other Investments
LOCATION: US
- PA State Pension
- TDAMERITRADE IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Lloyd K. Smucker , 02/19/2016