



Filing ID #10011236

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Mo Brooks
Status: Member
State/District: AL05

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2015
Filing Date: 05/15/2016

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
American New Perspective F2		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ave Maria Rising Dividend		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Avon Products, Inc. Bonds	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Avon Products, Inc. corporate bonds					
Baldwin County AL Board of Ed Bond	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Baldwin County AL Genl Oblig Wts	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Charles Schwab Corporation (SCHW)	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Clearbridge Special Inv. Tr I	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Columbia Contrarian Core C	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Columbia Contrarian Core Z	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Decatur Ala City Brd Ed Spl Tax Sch	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Decatur ALA Swr Rev Wts	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Digirad Corporation (DRAD)	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Duke Capital Corp. Bonds		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: These are corporate bonds.					
Duke Energy Corporation (DUK)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Duke Energy Corporation (DUK)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Etowah Cnty Ala Brd Ed Cap Outlay	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Homewood Ala City Brd Ed Spl Tax Sch Wts Rev	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Huntsville AL Genl Oblig Warrants	JT	\$1,001 - \$15,000	Interest	None	<input checked="" type="checkbox"/>
Huntsville-Redstone Village Bonds	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Inteliquent, Inc. (IQNT)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Legg Mason Opportunity Trust Mutual Fund	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Managers AMG Yacktman Svc	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Managers AMG Yacktman Svc		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Mobile Cnty Ala Brd Sch Commrs Cap	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Montevallo Ala Amern Vg Pub Edl Bldg A OID	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Bank, N. A.		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley Private Bank	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
MS Liquid Asset Fund	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: This asset was named "Morgan Stanley Bank, N. A." in last year's filing.					
New Media Investment Group Inc. (NEWM)		None	Tax-Deferred		<input type="checkbox"/>
COMMENTS: This investment was worth more than \$1,000 as of 12/31/14 and was therefore reported on the 2014 Financial Disclosure. However, it sold for less than \$1,000 on 5/14/15, and therefore the sale is not reported on Schedule B. Capital gain on the sale was less than \$200.					
New Senior Investment Group Inc. (SNR)		None	Tax-Deferred		<input checked="" type="checkbox"/>
Newcastle Investment Corporation Newcastle Investment Corporation (NCT)		None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: This asset was sold during the year for less than \$1,000. There was no capital gain.					
NORTH CAROLINA CAP FACS FIN AGY DUKE UNIV PJ REV-B	JT	\$1,001 - \$15,000	Interest	None	<input checked="" type="checkbox"/>
Oakmark I		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Phenix City Al Sch Wts Genl Oblig	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Pike Road Al OID	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Redstone Federal Credit Union	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Retirement Systems of Alabama	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Retirement Systems of Alabama		\$15,001 - \$50,000	None		<input type="checkbox"/>
COMMENTS: Because Brooks is over 60, he has been taking a monthly distribution from this retirement account.					
Royce Fund Mutual Fund	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Royce Global Value Fund Consultant Class	JT	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Royce Special EQ Multicap Cons	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Royce Total Return Con	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
RS Large Cap Alpha Fund Class A	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Seadrill Partners LLC Common Units Representing Limited Liability Company Interests (SDLP)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Southern Company (SOJA)	JT	\$1,001 - \$15,000	Interest	None	<input checked="" type="checkbox"/>
Tenn Valley Authority Bond	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
W.P. Carey Inc. REIT (WPC)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Avon Products, Inc. Bonds DESCRIPTION: Corporate Bonds issued by Avon Products, Inc.	JT	01/22/2015	P	\$1,001 - \$15,000	
Baldwin County AL Genl Oblig Wts	JT	03/10/2015	P	\$1,001 - \$15,000	
Columbia Contrarian Core C	JT	03/2/2015	P	\$1,001 - \$15,000	
Columbia Contrarian Core C	JT	03/31/2015	P	\$1,001 - \$15,000	
Columbia Contrarian Core C	JT	05/11/2015	P	\$1,001 - \$15,000	
Columbia Contrarian Core C	JT	06/1/2015	P	\$1,001 - \$15,000	
Columbia Contrarian Core C	JT	07/2/2015	P	\$1,001 - \$15,000	
Columbia Contrarian Core C	JT	08/6/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Columbia Contrarian Core C	JT	12/14/2015	P	\$1,001 - \$15,000	
Columbian Contarian Core C	JT	01/6/2015	P	\$1,001 - \$15,000	
Decatur ALA Swr Rev Wts	JT	03/31/2015	P	\$1,001 - \$15,000	
Digirad Corporation (DRAD)	JT	01/5/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
Huntsville AL Genl Oblig Warrants	JT	10/19/2015	P	\$1,001 - \$15,000	
New Senior Investment Group Inc. (SNR)		05/14/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
North Carolina Cap Facs Fin Agy Duke Univ	JT	11/2/2015	P	\$1,001 - \$15,000	
Royce Global Value Fund Consultant Class	JT	02/6/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
Royce Special EQ Multicap Cons	JT	01/2/2015	P	\$1,001 - \$15,000	
Royce Special EQ Multicap Cons	JT	02/3/2015	P	\$1,001 - \$15,000	
Royce Special EQ Multicap Cons	JT	02/6/2015	P	\$15,001 - \$50,000	
Royce Special EQ Multicap Cons	JT	12/18/2015	P	\$1,001 - \$15,000	
Royce Total Return Con	JT	12/18/2015	P	\$1,001 - \$15,000	
Southern Company (SO)	JT	10/1/2015	P	\$1,001 - \$15,000	

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Capitol Hill Tower	January 2011	Underlying mortgage on cooperative unit	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
April 2014	Morris J. Brooks, Jr. and Retirement Systems of Alabama	Brooks receives monthly retirement benefit payments as a result of his past employment with the State of Alabama and his contributions into the Retirement Systems of Alabama.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Heritage Foundation	01/28/2015	01/30/2015	Washington, DC - Middleburg, VA - Dulles, VA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Mo Brooks , 05/15/2016