

UNITED STATES HOUSE OF REPRESENTATIVES 2013 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

MAY 14 2014

Page 1 of 16

LEGISLATIVE RESOURCE CENTER

2014 MAY 21 PM 12:09

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Name: William Richard Keating Daytime Telephone: 202 225 3111

FILER STATUS	<input checked="" type="checkbox"/> Member of or Candidate for U.S. House of Representatives	State: <u>MA</u> District: <u>9</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____
REPORT TYPE	<input checked="" type="checkbox"/> 2013 Annual (Due: May 15, 2014)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination Date: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Page 2 of 16

Use additional sheets if more space is required.

Page 3 of 16

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: William Richard Hastings

Page 4 of 16

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income													BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	J	K	L	M	N	O	P	Q	R	S	T	SP, DC, JT, or E	
	Dodge + Cox Income																																			P
	Wm. Blair Int. Growth																																			P
	Artisan Sm. Cap. Value																																			P
	Fidelity Real Sm. Cap.																																			P
	Investment Partners T.E. Adv.																																			P
	American Research Corp.																																			P
	Dodge + Cox Int. St. Bond																																			P
	Norvest High Yield Muni																																			P
	Thornburg Real Estate																																			P
	WILLIAMS INT.																																			P
	COACH INC.																																			P
	Meridian Fund																																			P
	Longleaf Partners																																			P
	General Electric																																			P
	Third Ave. R.S. Fund																																			P

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: William Richard Kofman

Page 5 of 16

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
SP, JT, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
	None																																		
	\$1-\$1,000																																		
	\$1,001-\$15,000																																		
	\$15,001-\$50,000																																		
	\$50,001-\$100,000																																		
	\$100,001-\$250,000																																		
	\$250,001-\$500,000																																		
	\$500,001-\$1,000,000																																		
	\$1,000,001-\$5,000,000																																		
	\$5,000,001-\$25,000,000																																		
	\$25,000,001-\$50,000,000																																		
	Over \$50,000,000																																		
	Spouse/DC Asset over \$1,000,000*																																		
	Wertz Fund	X																	X																S
	Charles Schwab Co	X														X																			S
	Evans, Cardia, Inc															X																			P
	Fidelity Intern. Muni															X																			P
	Fidelity Tax-Free Muni		X														X																		P

SCHEDULE B - TRANSACTIONS

Name: William Richard Kennedy

Page 6 of 16

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date MM/DD/YY or Quarterly, or Bi- monthly, if applicable	Amount of Transaction										
		Purchase	Sell	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/5/13		X									
	Fidelity Nordic		X				5-16-13	X										
	Fidelity Capital Appreciation		X				5-16-13		X									
	Fidelity Low Price Stock		X				5-16-13		X									
	Fidelity Growth Co.		X				5-7-13		X									
	Wells Fargo Common Stock		X				5-16-13		X									
	RAIAL APPRECIATION		X				5-16-13	X										
	Dodge + Cox Balance F		X				5-16-13	X										
	Blackrock Intl.		X				5-16-13	X										
	Columbia Acorn Intl.		X				5-16-13		X									
	Cabell Equity Income		X				5-16-13	X										
	Harbor Capital Apprec		X				5-16-13	X										
	Oakmark Fund		X				5-16-13		X									
	Skyline Special Equity		X				5-16-13		X									
	Limar Gregory Equity		X				5-16-13		X									
	Tenard Brown Global Equity		X				5-16-13		X									
	Vanguard Value Index		X				5-16-13		X									
	Wastel Mene Cap		X				5-16-13	X										
	Procter + Gamble (P&G)		X				7-24-13	X										
	First Horizon National		X				1-8-13	X										

SCHEDULE B - TRANSACTIONS

Name: William Ridon Keating

Page 1 of 16

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period for any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of all exchange transactions. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.		Type of Transaction				Date (MM/YY) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
SP	Asset	Purchase	Sale	Partial Sale	Exchange	Check Box if Capital Gain Exceeded \$200	A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
	Example Mega Corp. Stock			X		X		X									
	Invesco Charter A		X					X									
	Large Mason CLR Bond		X					X									
	Aggressive Growth C																
	International Stock Index		X					X									
	REIT Real Estate Fund		X					X									
	LAOAC CO. Bond Struc		X					X									
	Enhanced Income		X														
	Large Company Stock Index		X														
	MASS. DEFERRED Compensation		X														
	LAOAC Fund		X														
	AT & T INC.		X					X									
	General Electric Co		X					X									
	Charles Schwab Co		X					X									
	Third Avenue Real Estate Fund		X					X									
	Wells Fargo Real Estate Fund		X					X									
	Longleaf Partners Fund		X														
	Meridian Fund		X														
	Alliance US ASSETMANAGER Fund		X														
	Treasury Inflation Fund		X					X									
	High Yield Bond Fund		X					X									
	Diversified Bond Fund		X					X									
	COACH INC	X	X														

SCHEDULE B - TRANSACTIONS

Name: William Richard Keating

Page 8 of 16

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
SP, DC, JT	Asset	Purchase	Sale	Partial Sale	Exchange			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/1/13		X									
	Fidelity Contra Fund	X					7-24-13	X										
	Fidelity Contra Fund	X					7-25-13	X										
	Vanguard 500 Index S&P 500	X					5-16-13			X								
	PIMCO Total Return Inst.	X					5-17-13		X									
	Virtus Quality Small Cap FDI	X					5-14-13		X									
	Fidelity Intermediate Divd. Income	X					5-14-13	X										
	Vanguard Intermediate Divd. Income	X					5-20-13		X									
	American Research Corp. Value Inst	X					5-20-13			X								
	Vanguard Intermediate Divd. Income	X					5-28-13		X									
	Fidelity Contra Fund	X					5-28-13		X									
	Fidelity Intermediate Divd. Income	X					6-11-13		X									
	Fidelity Contra Fund	X					6-11-13		X									
	Dodge & Cox International Stock Fund	X					6-25-13		X									
	Nuveen High Yield Municipal Bond	X					6-25-13		X									
	Fidelity Intermediate Divd. Income	X					6-25-13		X									
	Fidelity Contra Fund	X					7-9-13		X									
	T Rowe Price Divd Growth	X					7-9-13		X									
	Fidelity Intermediate Divd. Inc	X					7-9-13		X									
	Fidelity Contra Fund	X					7-9-13	X										

Page 9 of 16

Use additional sheets if more space is required.

Page 10 of 14

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: William Richard Keating

Page 12 of 16

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP DC JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$0-\$10,000	B \$10,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Report Liabilities Held Solely by Your Spouse or Dependent Child
	Example First Bank of Wilmington, DE	6/98	Mortgage on Rental Property, Dover, DE				X							

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
DIRECTOR	Sharon Credit Union

SCHEDULE F - AGREEMENTS

Name:

William Richard Frazier

Page 13 of 16

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

SCHEDULE G - GIFTS

Report the source (including name, city, and state), a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	\$400

Page 14 of 16

EXCLUDE: Privately-sponsored travel approved by the Ethics Committee, if post-travel disclosure was filed with the Clerk, travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342), political travel that is required to be reported under the Federal Election Campaign Act, travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Use additional sheets if more space is required.

Page 15 of 16[illegible]

Name: William Richard Krotting Page 16 of 16

[illegible]

Use additional sheets if more space is required.