

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A

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For use by Members, officers, and employees

**HAND
DELIVERED**

C.A. Dutch Ruppersberger

(Full Name)

202-225-3061

(Carline Telephone)

2010 MAY 12 PM 2:45

(Office Use Only)

Filer
Status

☒ Member of the U.S.
House of Representatives

State: MD
District: 02

☐ Officer or
Employee

Report
Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (such as salaries or fees) of \$200 or more from any source in the reporting period?

Yes ☒ No ☐

VI.

Did you, your spouse, or a dependent child receive any reportable income in the reporting period (i.e., earnings more than \$200 and not otherwise exempt)?

Yes ☐ No ☒

II. Did any individual or organization make a motion to co-sponsor legislation or pay for a speech, appearance, or article in the reporting period?

Yes ☐ No ☒

VII.

Did you, your spouse, or a dependent child receive any reportable level or reimbursement for travel in the reporting period (worth more than \$200 from one source)?

Yes ☐ No ☒

III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?

Yes ☒ No ☐

VIII.

Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?

Yes ☒ No ☐

IX.

Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?

Yes ☐ No ☒

X.

Each question in this part must be answered and the appropriate schedule attached for each "yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-

Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes ☐ No ☒

Exemptions--

Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.

Yes ☐ No ☒

SCHEDULE I - EARNED INCOME

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List the source, type and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Baltimore County, Maryland	Retirement Pension	\$38,607

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period; and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B

Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold, and is included only because it is generated income, the value should be "None."

BLOCK C

Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.

BLOCK D

Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.

BLOCK E

Transaction

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

SP	Agency & Treasury Bonds (IRA)	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
	American Balance Fund (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	AMEX SPDR (IRA)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	P
	Bank of America	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
SP	BJ SVCS CO (IRA)	None	None	NONE	S
	Bristol Myers Squibb Co. (JUM Trust)	\$1,001 - \$15,000	CAPITAL GAINS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Brock Global Energy (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
SP	Cohen & Steers Reit & Utility (JIM Trust)	\$1,001 - \$15,000	NONE	NONE	
SP	Corporate Office PPTYS TR (JIM Trust)	\$1,001 - \$15,000	NONE	NONE	
SP	Duke Energy Corp. (JIM Trust)	\$1,001 - \$15,000	CAPITAL GAINS	\$1,001 - \$2,500	
	Eaton Vance TAD Income Fund (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
SP	Eaton Vance TAD Income Fund (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
	Fidelity Contrafund (IRA)	\$50,001 - \$100,000	NONE	NONE	
	Fidelity Energy SVC2 (IRA)	\$15,001 - \$50,000	NONE	NONE	
	Fidelity Equity Income Fund (IRA)	\$15,001 - \$50,000	INTEREST	\$5,001 - \$15,000	
SP	First Mariner	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
	Ford Motor Co. (IRA)	\$1 - \$1,000	NONE	NONE	
SP	Gambell Dividend & Income (JIM Trust)	\$1,001 - \$15,000	CAPITAL GAINS	NONE	
	GE Capital Corp. (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1,001 - \$2,500	P
SP	Gladstone Commercial Corp (JIM Trust)	\$1,001 - \$15,000	CAPITAL GAINS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Gladstone Investment Corp. (JIM Trust)	\$1,001 - \$15,000	CAPITAL GAINS	NONE	
SP	Growth Fund of America (JIM Trust)	\$1,001 - \$15,000	CAPITAL GAINS	NONE	
SP	High Yield Corporate Bond (IRA)	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	P
	High Yield Corporate Bond (IRA)	\$50,001 - \$100,000	INTEREST	\$2,501 - \$5,000	P
	Inflation Protected TIPS (IRA)	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
SP	Inflation Protected TIPS (IRA)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	John J. Murphy Trust (IRA) (specific holding listed in this Schedule)	None	DIVIDENDS	\$5,001 - \$15,000	
	Johnson & Johnson (IRA)	None	CAPITAL GAINS	NONE	S
	McCormick & Co. Inc. (IRA)	None	CAPITAL GAINS	NONE	S
	MFS SunLife Fixed/Variable Annuity - General Account (no specific holdings)	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
SP	MFS SunLife Fixed/Variable Annuity - General Account (no specific holdings)	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	MSCI EAFE INDEX (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
	MSCI Emerging Mkts (IRA)	None	DIVIDENDS	\$201 - \$1,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	Neu Ber Genesis Fund (IRA)	\$50,001 - \$100,000	INTEREST	\$5,001 - \$15,000	
SP	Neu Ber Real Estate Securities Fund (JJM Trust)	None	CAPITAL GAINS	NONE	S
	NW Money Market Prime (IRA)	\$100,001 - \$250,000	None	NONE	
SP	Oracle Corp (JJM Trust)	\$1,001 - \$15,000	CAPITAL GAINS	\$2,501 - \$5,000	
	Power China (IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Powershares DB (IRA)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	Rupp & Assoc Inc. (S Corp) (Blind Trust)	\$100,001 - \$250,000	DIVIDENDS	\$50,001 - \$100,000	
	Timonium, Md - Legal/ Collections				
SP	Sector Select SPDR Fund (JJM Trust)	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	
	SPDR Gold TR (IRA)	None	CAPITAL GAINS	\$2,501 - \$5,000	S
SP	Spectra Energy Corp. (JJM Trust)	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	
SP	Staples Inc. (JJM Trust)	\$1,001 - \$15,000	CAPITAL GAINS	\$2,501 - \$5,000	
JT	Summer Beach #608 Ocean City, MD.	\$250,001 - \$500,000	RENT	\$5,001 - \$15,000	
SP	Summit Community Bank	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Sumner Focused Alpha LG Cap (JIM Trust)	None	CAPITAL GAINS	\$5,001 - \$15,000	S
SP	TDAM MNY MKT. (IRA)	\$1,001 - \$15,000	None	NONE	S(part)
SP	TDAM MNY MKT. (IRA)	\$1,001 - \$15,000	None	NONE	
SP	Teleflex Inc. (JIM Trust)	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	
SP	Treasury Cash Trust Reserve (JIM Trust)	None	None	NONE	S
SP	US Govt. MNY MKT. (JIM Trust)	\$1,001 - \$15,000	None	NONE	P
	Vanguard US LG CAP (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Vanguard US Mid CAP (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Vanguard US SM CAP (IRA)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Varian Medical Systems Inc. (IRA)	None	DIVIDENDS	NONE	S

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below:

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	AMEX SPDR (IRA)	P	N/A	3/09	\$15,001 - \$50,000
	BSVCS CO. (IRA)	S	No	3/09	\$1,001 - \$15,000
	Brock Global Energy (IRA)	P	N/A	1/09	\$15,001 - \$50,000
SP	Eaton Vance TAD Income Fund (IRA)	P	N/A	5/09	\$1,001 - \$15,000
	Eaton Vance TAD Income Fund (IRA)	P	N/A	7/09	\$1,001 - \$15,000
	GE Capital Corp. (IRA)	P	N/A	2/09	\$1,001 - \$15,000
	High Yield Corporate Bond (IRA)	P	N/A	2/09	\$15,001 - \$50,000
SP	High Yield Corporate Bond (IRA)	P	N/A	1/09	\$1,001 - \$15,000
	Johnson & Johnson (IRA)	S	No	3/09	\$1,001 - \$15,000
	McCormick & Co. Inc. (IRA)	S	No	3/09	\$1,001 - \$15,000
	MSCI EAFE INDEX (IRA)	P	N/A	3/09	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities, futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below:

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	MSCI Emerging Mkts (IRA)	S	Yes	1/09	\$1,001 - \$15,000
SP	Neu Ber Real Estate Securities Fund (JJM Trust)	S	No	3/09	\$1,001 - \$15,000
	SPDR Gold TR (IRA)	S	Yes	3/09	\$1,001 - \$15,000
SP	Sunamerica Focused Alpha LG Cap (JJM Trust)	S	Yes	12/09	\$1,001 - \$15,000
	TDAM MINY MKT. (IRA)	S(part)	No	1/09	\$100,001 - \$250,000
SP	Treasury Cash Trust Reserve (JJM Trust)	S	No	3/09	\$1,001 - \$15,000
SP	US Govt. MINY MKT. (JJM Trust)	P	N/A	3/09	\$1,001 - \$15,000
	Varian Medical Systems Inc. (IRA)	S	No	3/09	\$1,001 - \$15,000

SCHEDULE VIII - POSITIONS

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any educational or other institution other than the United States. Exclude: positions held in any religious, social, fraternal, or political organization of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	University of Baltimore Law School Advisory Council
Board Member	University of MD. Medical Systems Stock Trustees
Board Member	MD. State Fair
Board Member	Any Soldier, Inc.
Board Member	United States Naval Academy

SCHEDULE IX - AGREEMENTS

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
11/24/75	Baltimore County Employee Retirement System	Baltimore Co. Pension Plan & Deferred Comp. Plan