



Filing ID #10026233

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Harley E. Rouda Jr.
Status: Member
State/District: CA48

FILING INFORMATION

Filing Type: New Filer Report
Filing Year: 2018
Filing Date: 08/13/2019
Period Covered: 01/01/2017– 12/31/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Apex Sports Group [OL] LOCATION: Golden, CO, US DESCRIPTION: Ski boot systems		\$15,001 - \$50,000	None		
Armchair Investments LLC ⇒ Commercial Buildings [RP] LOCATION: Columbus, OH, US		\$1,000,001 - \$5,000,000	Rent	Not Applicable	\$100,001 - \$1,000,000
Armchair Investments LLC ⇒ Fidelity/Corient Capital Partners LLC Defined Benefit Plan [BA]		\$100,001 - \$250,000	Tax-Deferred		
Armchair Investments LLC ⇒ Her Realtors, LLC [OL] LOCATION: Columbus, OH, US DESCRIPTION: Real estate		\$500,001 - \$1,000,000	Rent	Not Applicable	\$100,001 - \$1,000,000
Armchair Investments LLC ⇒ TrueWest [OL] LOCATION: Location, CA, US		\$100,001 - \$250,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Pooled investment account (formerly known as SEAM II Fund)					
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Angel Oak Multi Strategy Inc. Insti [EF]		\$100,001 - \$250,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA International Core Equity [MF]		\$50,001 - \$100,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA U.S. Small Cap Growth Port Insti [EF]		\$15,001 - \$50,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA U.S. Small Cap Value Prtf Insti [EF]		\$15,001 - \$50,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Direxion SHS ETF TR Developed Mkts Bear [EF]		\$50,001 - \$100,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Fidelity Government Cash Reserves [BA]		\$100,001 - \$250,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Invesco Equally Weighted S&P 500 [EF]		\$50,001 - \$100,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ JPMorgan Strategic Income Opps CI I [EF]		\$100,001 - \$250,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Pioneer Multi-Asset Ultrashort Income Y [MF]		\$100,001 - \$250,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LLC Armchair Investments LLC Defined Benefit Plan ⇒ Proshares TR ULTPRO SHT 2017 [EF]					
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Rivernorth/Double Line Strategic Inc. I [MF]		\$100,001 - \$250,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ SPDR S&P Midcap 400 EFT Tr Utseri S&Pdcrp [EF]		\$50,001 - \$100,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Vanguard Admiral FDS Inc S&P 500 Value Index Fund [EF]		\$50,001 - \$100,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Vanguard S&P 500 Growth EFT [EF]		\$50,001 - \$100,000	Tax-Deferred		
Carta, Inc. ⇒ Grayl, Inc. [OL] LOCATION: Seattle, WA, US DESCRIPTION: Water purification products. Shares granted for services provided as a director.		\$15,001 - \$50,000	None		
Corient Capital Partners LLC ⇒ Fidelity Government Cash Reserves [BA]		\$1 - \$1,000	Interest	Not Applicable	\$1 - \$200
Corient Capital Partners LLC ⇒ Rocky Brands, Inc. (RCKY) [ST] COMMENTS: Sold in May 2018. Gross proceeds reported. Cost basis unknown.		None	Capital Gains, Dividends	Not Applicable	\$100,001 - \$1,000,000
Corient Capital Partners LLC ⇒ UTG, Inc. (UTGN) [ST]		\$1,001 - \$15,000	None		
RealStir Inc. [OP] DESCRIPTION: 100,000 shares with a strike price of \$0.50. Granted 4/1/2016 and valid for 6 years.		\$15,001 - \$50,000	None		
Rocky Brands, Inc. (RCKY) [ST]		\$100,001 - \$250,000	Dividends	Not Applicable	\$5,001 - \$15,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Rocky Brands, Inc. [OP]		\$50,001 - \$100,000	None		
DESCRIPTION: 2,000 options with \$11.55 strike price 4,000 options with \$18.90 strike price Market Price on 12/31/18: \$25.75					
Trident Holdings, Inc. ⇒ Cova, LLC [OL]		\$50,001 - \$100,000	None		
LOCATION: San Clemente, CA, US DESCRIPTION: Water sports apparel					
Trident Holdings, Inc. ⇒ Grayl, Inc. [OL]		\$100,001 - \$250,000	None		
LOCATION: Seattle, WA, US DESCRIPTION: Water purification products					
Trident Holdings, Inc. ⇒ HER Realty HomeGard [OL]		\$15,001 - \$50,000	None		
LOCATION: Columbus, OH, US DESCRIPTION: Home warranty services					
Trident Holdings, Inc. ⇒ Her, Inc. [OL]		\$1,000,001 - \$5,000,000	None		
LOCATION: Columbus, OH, US DESCRIPTION: Real estate					
Trident Holdings, Inc. ⇒ Raen Optics, Inc. [OL]		\$50,001 - \$100,000	None		
LOCATION: Encinitas, CA, US DESCRIPTION: Eyewear					
Trident Holdings, Inc. ⇒ Realstir, Inc. [OL]		\$50,001 - \$100,000	None		
LOCATION: San Diego, CA, US DESCRIPTION: Real estate technology					
Trident Holdings, Inc. ⇒ Relevium Labs, Inc. [OL]		\$15,001 - \$50,000	None		
LOCATION: Oxford, OH, US DESCRIPTION: Medical devices					
Trident Holdings, Inc. ⇒ Sophistiplate LLC [OL]		\$50,001 - \$100,000	Ownership Profits	Not Applicable	\$5,001 - \$15,000
LOCATION: Laguna Beach, CA, US DESCRIPTION: Paper products					
Trident Holdings, Inc. ⇒		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Stuffstr [OL]					
LOCATION: Seattle, WA, US					
DESCRIPTION: Item reuse/repair/resale/recycling services public benefit corporation					
Trident Holdings, Inc. ⇒ Trident Holdings, Inc. [OL]		\$5,000,001 - \$25,000,000	None		
LOCATION: Columbus, OH, US					
DESCRIPTION: Real estate services					
Trident Holdings, Inc. ⇒ Trident Title Agency Ltd. [OL]		\$1,000,001 - \$5,000,000	Ownership profits	Not Applicable	\$1,000,001 - \$5,000,000
LOCATION: Columbus, OH, US					
DESCRIPTION: Real estate title services					
Wells Fargo Accounts [BA]		\$15,001 - \$50,000	Interest	Not Applicable	\$1 - \$200
Wells Fargo Brokerage ⇒ Proshares Ultra Bloomberg Crude Oil (UCO) [EF]		\$1,000,001 - \$5,000,000	Capital Gains	Not Applicable	\$5,001 - \$15,000
Wells Fargo Brokerage ⇒ ProShares Ultrashort FTSE China 50 (FXP) [EF]		\$250,001 - \$500,000	Capital Gains	Not Applicable	\$5,001 - \$15,000
Wells Fargo Brokerage ⇒ Rocky Brands, Inc. (RCKY) [ST]		\$100,001 - \$250,000	Dividends	Not Applicable	\$2,501 - \$5,000
Wells Fargo Brokerage ⇒ Wells Fargo Standard Bank Deposit [BA]		\$100,001 - \$250,000	Interest	Not Applicable	\$201 - \$1,000
Wells Fargo IRA ⇒ Cash [BA]		\$15,001 - \$50,000	Tax-Deferred		
Wells Fargo IRA ⇒ Proshares Ultra Bloomberg Crude Oil (UCO) [EF]		\$250,001 - \$500,000	Tax-Deferred		
Wells Fargo IRA ⇒ ProShares Ultrashort FTSE China 50 (FXP) [EF]		\$100,001 - \$250,000	Tax-Deferred		
Wells Fargo IRA ⇒ Rocky Brands, Inc. (RCKY) [ST]		\$100,001 - \$250,000	Tax-Deferred		
Wells Fargo IRA (2) ⇒ Proshares Ultra Bloomberg Crude Oil (UCO) [EF]		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
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* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Trident Holdings, Inc.	Salary and Board Fees	N/A	\$1,130,896.83
Rocky Brands, Inc.	Board Fees	N/A	\$69,968.20
Trident Title Agency Ltd.	Board Fees	N/A	\$10,000.00
Trident Holdings, Inc.	Consulting Fee and Debt Repayment	N/A	\$12,000.00
Amazon	Spouse Royalties	N/A	N/A
Harlequin	Spouse Royalties	N/A	N/A
Spark Press	Spouse Royalties	N/A	N/A
Tule Publishing	Spouse Royalties	N/A	N/A
Trident Holdings, Inc.	Spouse Board Fees	N/A	N/A
Donald Masses Literary Agency	Spouse Royalties	N/A	N/A
Draft 2 Digital	Spouse Royalties	N/A	N/A
Drig Inc.	Spouse Royalties	N/A	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	American Express	December 2018	Credit Card	\$50,001 - \$100,000
	COMMENTS: Paid in full.			
JT	Wells Fargo	December 2013	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000
JT	Wells Fargo	December 2013	Home Equity Line of Credit	\$250,001 - \$500,000
JT	Wells Fargo	January 2017	Co-signor on mortgage on personal	\$250,001 -

Owner	Creditor	Date Incurred	Type	Amount of Liability
			residence	\$500,000
	Wells Fargo	January 2018	Margin Account	\$100,001 - \$250,000
COMMENTS: \$0 balance as of 12/31/18.				

SCHEDULE E: POSITIONS

Position	Name of Organization
Director COMMENTS: Resigned 2019	Rocky Brands, Inc.
Chief Executive Officer, Boardmember COMMENTS: Resigned 2019	HomeGard
Member COMMENTS: Resigned 2019	Partners United Financial, LLC
President, Board of Managers Member, Board of Advisors Member COMMENTS: Resigned 2019	Homeco LLC
Chief Executive Officer, President, Director COMMENTS: Resigned 2019	Trident Holdings, Inc.
President, Director COMMENTS: Resigned 2019	HER, Inc.
Chief Executive Officer, Director COMMENTS: Resigned 2019	HER Realtors, LLC
Managing Member COMMENTS: Resigned 2019	Armchair Investments, LLC
Boardmember COMMENTS: Resigned 2019	Grayl, Inc.
Boardmember COMMENTS: Resigned 2019	Cova, LLC
Boardmember	Relevium Labs, Inc.
Boardmember	Realstir

Position	Name of Organization

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Armchair Investments LLC
LOCATION: US
- Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan
LOCATION: US
- Carta, Inc.
LOCATION: US
- Corient Capital Partners LLC
LOCATION: US
- Trident Holdings, Inc.
LOCATION: US
- Wells Fargo Brokerage
LOCATION: US
- Wells Fargo IRA
- Wells Fargo IRA (2)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Harley E. Rouda Jr., 08/13/2019