

# UNITED STATES HOUSE OF REPRESENTATIVES

## CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

**FORM A**  
For use by Members, officers, and employees

Page 1 of 9

**HAND  
DELIVERED**

Steven J. Chabot  
(Full Name)

(Daytime Telephone)

(Office Use Only)

Filer Status ☒ Member of the U.S. House of Representatives State: OH District: 1

☐ Officer Or Employee Employing Office:

Report Type ☐ Annual (May 15)

☒ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

### PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. If yes, complete and attach Schedule I.		VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. If yes, complete and attach Schedule II.		IX. If yes, complete and attach Schedule VII.	
V. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	X. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
VI. If yes, complete and attach Schedule IV.		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	
VII. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		
VIII. If yes, complete and attach Schedule V.			

### IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE I - EARNED INCOME

Name Steven J. Chabot

Page 2 of 9

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
St. Xavier High School	Spouse salary	N/A
Payment for legal services performed while not serving as a Member of Congress	Attorney fees	\$2,000

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven J. Chabot

Page 3 of 9

BLOCK A <b>Asset and/or Income Source</b> <small>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use ticker symbols.)  For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.  For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.  For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</small>	BLOCK B <b>Year-End Value of Asset</b> <small>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.  If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."  * This column is for assets held solely by your spouse or dependent child.</small>	BLOCK C <b>Type of Income</b> <small>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</small>	BLOCK D <b>Amount of Income</b> <small>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below.  Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.  * This column is for income generated by assets held solely by your spouse or dependent child.</small>	BLOCK E <b>Transaction</b> <small>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</small>
Charles Schwab & Co IRA	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	
Actuant Corp common stock (in Schwab IRA listed above)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Castle Am common stock (in Schwab IRA listed above)	\$1,001 - \$15,000	DIVIDENDS	NONE	
Eagle Materials common stock (in Schwab IRA listed above)	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
Raven Industries Inc common stock (in Schwab IRA listed above)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Universal Stain & Alloy common stock (in Schwab IRA listed above)	\$1,001 - \$15,000	DIVIDENDS	NONE	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven J. Chabot

Page 4 of 9

Charles Schwab & Co	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Cintas common stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Victory Money Market Fund	None	DIVIDENDS	\$1 - \$200	S
Ohio Public Employees Deferred Compensation Vanguard Inst Index	\$15,001 - \$50,000	None	NONE	
PNC Bank account	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
US Bank account	\$50,001 - \$100,000	INTEREST	\$1 - \$200	
AdvantageBank CD	None	INTEREST	\$201 - \$1,000	S
Janus Fund IRA	\$15,001 - \$50,000	None	NONE	
Natixis Fund IRA	\$15,001 - \$50,000	None	NONE	
Finish Line common stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Mult Color Corp common stock	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
Merit Medical Systems common stock	\$1,001 - \$15,000	None	NONE	
Med Act Industries common stock	\$1,001 - \$15,000	None	NONE	
Matrix Service Corp common stock	\$1,001 - \$15,000	None	NONE	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven J. Chabot

Page 5 of 9

Marine Products Corp common stock	\$1,001 - \$15,000	None	\$201 - \$1,000	
Ohio Public Employees Deferred Compensation Guaranteed Return Option	\$15,001 - \$50,000	None	NONE	
Gulf Island common stock	\$1,001 - \$15,000	DIVIDENDS	NONE	
Ohio Public Employees Deferred Compensation Fidelity Magellan	\$15,001 - \$50,000	None	NONE	
Encore (Denbury) common stock	\$15,001 - \$50,000	None	NONE	
Daktronics common stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
CCA Industries common stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Bio Ref Labs common stock	\$15,001 - \$50,000	None	NONE	
Ohio Public Employees Retirement System	\$50,001 - \$100,000	None	NONE	
Sigmatron Intl Inc common stock	\$1,001 - \$15,000	None	NONE	
K-Swiss Inc common stock	\$1,001 - \$15,000	None	NONE	

**SCHEDULE IV - TRANSACTIONS**

Name Steven J. Chabot

Page 6 of 9

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	AdvantageBank CD	S	No	7-28-12	\$50,001 - \$100,000
	Victory Money Market Fund	S	No	3-19-12	\$1,001 - \$15,000

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name Steven J. Chabot

Page 7 of 9

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Heritage Foundation	Feb 6-8	DC-Baltimore, MD-DC	Y	Y	N	None

**SCHEDULE IX - AGREEMENTS**

Name Steven J. Chabot

Page 8 of 9

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
Dec 1985 - 1994	Ohio Public Employees Retirement System	Dates reflect period of paying into fund; eligible for receipt upon retirement
May 1990 - Dec 1994	Ohio Public Employees Deferred Compensation	Dates reflect period of paying into fund; eligible for receipt after age 55 or upon retirement



**FOOTNOTES**

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Increased by transfer of funds from AdvantageBank CD	US Bank account