

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

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**HAND
DELIVERED**
LEGISLATIVE RESOURCE CENTER

Doris O. Matsui

202-225-7163

2012 MAY 15 PM 3: 54

(Full Name)

(Daytime Telephone)

Office of the Clerk
U.S. House of Representatives

Filer Status ☒ Member of the U.S. House of Representatives

State: CA District: 05

☐ Officer Or Employee
Employing Office:

Report Type ☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source <small>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</small>	BLOCK B Year-End Value of Asset <small>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</small>	BLOCK C Type of Income <small>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</small>	BLOCK D Amount of Income <small>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</small>	BLOCK E Transaction <small>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</small>
Ally Midvak, UT CD	None	INTEREST	\$201 - \$1,000	
Bank India, New York, NY	None	INTEREST	\$2,501 - \$5,000	
Bank of America Checking Account	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Cash Management Account-Merrill Lynch	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
Congressional Federal Credit Union	\$100,001 - \$250,000	INTEREST	\$201 - \$1,000	
Deutsche Bank Ins Deposit Program	\$100,001 - \$250,000	INTEREST	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Pimco Commodity Real Return Strategy Fund	\$15,001 - \$50,000	DIVIDENDS	\$5,001 - \$15,000	P
Sun Life Co of Canada	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
Vanguard Emerging Markets Stock Index	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	P
Vanguard European Stock Index Signal Fund Foreign	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S(part)
Vanguard Inflation Protected Securities Fund	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	PS(part)
Vanguard Intermediate Term Tax Exempt Fund Investor Class	\$100,001 - \$250,000	INTEREST/CAPITAL GAINS	\$2,501 - \$5,000	PS(part)

Vanguard Large-Cap Index Signal Fund	\$100,001 - \$250,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	PS(part)
Vanguard Limited-Term Municipal Bond Fund	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	P
Vanguard Mid-Cap Index Signal Fund	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S(part)
Vanguard Pacific Stock Index Signal Fund Foreign	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
Vanguard Reit Index Signal Fund	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	S(part)
Vanguard Small-Cap Index Signal Fund	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	S(part)
WestMed Holding Company, Englewood, CA - Stock in privately held medical development technology	\$1,001 - \$15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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White House Federal Credit Union	\$100,001 - \$250,000	INTEREST	\$1 - \$200	
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SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Pimco Commodity Real Return Strategy Fund	P	N/A	8-17-11	\$1,001 - \$15,000
	Vanguard Emerging Markets Stock Index	P	N/A	8-18-11	\$1,001 - \$15,000
	Vanguard Emerging Markets Stock Index	P	N/A	5-18-11	\$15,001 - \$50,000
	Vanguard European Stock Index Signal Fund Foreign	S(part)	Yes	5-18-11	\$1,001 - \$15,000
	Vanguard Inflation Protected Securities Fund	S(part)	Yes	8-17-11	\$15,001 - \$50,000
	Vanguard Inflation Protected Securities Fund	P	N/A	5-3-11	\$1,001 - \$15,000
	Vanguard Intermediate Term Tax Exempt Fund Investor Class	S(part)	Yes	8-17-11	\$15,001 - \$50,000
	Vanguard Intermediate Term Tax Exempt Fund Investor Class	P	N/A	5-3-11	\$1,001 - \$15,000
	Vanguard Large-Cap Index Signal Fund	P	N/A	8-17-11	\$1,001 - \$15,000
	Vanguard Large-Cap Index Signal Fund	S(part)	Yes	5-18-11	\$1,001 - \$15,000
	Vanguard Limited-Term Municipal Bond Fund	P	N/A	8-17-11	\$15,001 - \$50,000

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Vanguard Limited-Term Municipal Bond Fund	P	N/A	5-3-11	\$1,001 - \$15,000
	Vanguard Mid-Cap Index Signal Fund	S(part)	Yes	5-2-11	\$1,001 - \$15,000
	Vanguard Mid-Cap Index Signal Fund	S(part)	Yes	5-18-11	\$1,001 - \$15,000
	Vanguard Reit Index Signal Fund	S(part)	Yes	5-3-11	\$1,001 - \$15,000
	Vanguard Small-Cap Index Signal Fund	S(part)	Yes	5-3-11	\$1,001 - \$15,000

SCHEDULE VIII - POSITIONS

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Regent	Smithsonian Institution, Board of Regents
Board Member (Not Compensated)	National Symphony Orchestra