



Filing ID #10015058

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Jeb Hensarling  
**Status:** Member  
**State/District:** TX05

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2016  
**Filing Date:** 06/14/2017

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Allianzgi NFJ Divid INT & Prem	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Amazon.com, Inc. (AMZN)	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
American Global Balanced Fund Class F1 N/L	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Artisan International Investor Class Fund (Retirement Account)		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
Blackrock International Grwth & Inc TR Com Bene Inter	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Boeing Company (BA)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Calamos Growth & Income Fund Class A M/F (CVTRX)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Capital Income Builder Fund Class A - American Funds M/F (CAIBX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Capital World Growth & Income Fund Class F1 - American N/L	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chevron Corporation (CVX)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Dreyfus Opportunity Small Cap Fund (Retirement Account)		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
E.I. du Pont de Nemours and Company (DD)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Eaton Vance Tax MNGD GBL DV EQ	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Energy Transfer Equity, L.P. Energy Transfer Equity, L.P. Common Units representing Limited Partners (ETE)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Federated Strategic Value Dividend Fund Class C M/F (SVACX)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Fidelity 500 Index Premium (Retirement Account)		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity Capital and Income Fund		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Fidelity Cash Reserves (Retirement Account)		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Contra Fund (Retirement Account)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Municipal Money Market Fund		\$1,001 - \$15,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Fidelity New Markets income (Retirement Account)		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Fidelity Overseas Fund		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Fidelity Real Estate Investment (Retirement Account)		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Fidelity Select Gold (Retirement Account)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity Select Semiconductors		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Fidelity Strategic Income (Retirement Account)		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Fidelity Total Bond Fund		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Fidelity VIP Contra Fund (Retirement Account)		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
Fidelity VIP Growth Opportunities Fund (Retirement Account)		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
Fidelity VIP Index 500 (Retirement Account)		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Fidelity VIP Real Estate (Retirement Account)		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Fidelity VIP Strategic Income (Retirement Account)		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
First Trust Exch Traded FD VI Multi Asset DIV INCM INDX FD	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
First Trust Health Care Alphadex FD ETF	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Gabelli DIVD & Income TR	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Guggenheim Macro Opportunities FD CL C M/F (GIOCX)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Income Fund of America Class A - American Funds M/F (AMECX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Income Fund of America Class F1 - American Funds N/L	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Invesco Floating Rate Fund Class A M/F	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Invesco Global Real Estate Income Fund Class A M/F (ASRAX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Invesco SR Income TR (VVR)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
MFS High Income Fund Class A M/F	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Consulting Group - Emerging Markets Equity Investment (Retirement Account)	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley - Consulting Group - Government Money Market Investment (Retirement Account)	SP	\$1 - \$1,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley - Consulting Group - International Equity Investment (Retirement Account)	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley - Consulting Group - Large Capital Capital Growth Investment (Retirement Account)	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley - Consulting Group - Large Capital Value Equity Investment (Retirement Account)	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley - Consulting Group - Small Capital Growth Investment (Retirement Account)	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley - Consulting Group - Small Capital Value Equity Investment (Retirement Account)	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Nuveen Dow 30 DYN Overwrite SHS (SIAX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Oppenheimer Senior Floating Rate Fund Class C M/F (OOSCX)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Pimco Fundamental Index Plus Trust (Retirement Account)		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Pimco Total Return Class D (Retirement Account)		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
Pimco VIT Total Return (Retirement Account)		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
Prospect Capital Corporation (SPEC)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Raymond James IRA Retirement Account Distribution	SP	\$1,001 - \$15,000	Distribution	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: Mandatory Distribution					
SandRidge Permian Trust Common Units of Beneficial Interest (PER)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
StoneMor Partners L.P. Common Unit Rep Limited Partnership Interests (STON)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Tekla Healthcare Opportunit FD SHS	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Templeton Global Bond Sec. (Retirement Account)		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Thornburg Investment Income Builder Fund Class A M/F	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Thornburg Investment Income Builder Fund Class C M/F (TIBCX	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard S&P 500 Fund		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Western Asset Short Duration High Income Fund Class A M/F (SHIAX)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Dreyfus Opportunity Small Cap Fund (Retirement Account)		08/9/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity 500 Index Premium (Retirement Account)		08/9/2016	P	\$15,001 - \$50,000	
Fidelity 500 Index Premium (Retirement Account)		12/9/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Fidelity Capital and income Fund		10/4/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Fidelity Capital and Income Fund		07/25/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
			(partial)		
Fidelity Inflation Protected Bond (Retirement Account)		08/8/2016	S	\$50,001 - \$100,000	<input type="checkbox"/>
Fidelity Municipal Money Market Fund		09/1/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Municipal Money Market Fund		09/2/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Municipal Money Market Fund		09/6/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Municipal Money Market Fund		11/2/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Municipal Money Market Fund		11/23/2016	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Municipal Money Market Fund		11/30/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity New Markets Income (Retirement Account)		08/8/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Fidelity Overseas Fund		07/21/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Fidelity Overseas Fund		08/9/2016	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Fidelity Select Semiconductors		07/13/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Fidelity Select Semiconductors		07/21/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Fidelity Select Semiconductors		09/20/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Fidelity Select Semiconductors		11/22/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity Total Bond Fund		03/21/2016	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Total Bond Fund		05/26/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Fidelity Total Bond Fund		07/18/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Fidelity Total Bond Fund		08/8/2016	P	\$50,001 - \$100,000	
Fidelity Total Bond Fund		09/20/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Fidelity Total Bond Fund		10/4/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard S&P 500 Fund		02/24/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard S&P 500 Fund		04/8/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard S&P 500 Fund		07/12/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard S&P 500 Fund		07/13/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard S&P 500 Fund		09/29/2016	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard S&P 500 Fund		10/4/2016	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

### SCHEDULE C: EARNED INCOME

None disclosed.

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	First State Bank	2016	Mortgage on personal property - Dallas, Texas	\$500,001 - \$1,000,000
	Wells Fargo	July 2004	Mortgage on personal property - Alexandria, VA	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The Heritage Foundation	01/27/2016	01/29/2016	Washington, D.C. - Middleburg, V.A. - Washington, D.C.	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
The American Enterprise Institute	03/3/2016	03/6/2016	Washington, D.C. - Sea Island, GA - Dallas, TX	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No



## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Jeb Hensarling , 06/14/2017