

WILLIAM L. OWENS  
21ST DISTRICT, NEW YORK

COMMITTEE ON APPROPRIATIONS  
SUBCOMMITTEE ON DEFENSE  
SUBCOMMITTEE ON HOMELAND SECURITY



**HAND DELIVERED**

LEGISLATIVE RESOURCE CENTER

**Congress of the United States**

**House of Representatives**

**Washington, DC 20515**

May 15, 2013

Karen L. Haas  
Clerk of the House  
U.S. House of Representatives  
Legislative Resource Center  
B-106 Cannon House Office Building  
Washington DC 20515

Dear Clerk:

I am writing to file an amendment to several of my previously filed financial disclosure reports. During the process of completing my CY 2012 filing, I came across several unintentional errors on past reports. The following is a detailed breakdown of changes to those reports.

- In CY 2010, my wife and I each purchased shares of Duke Energy Corp & Verizon stock. The purchases were inadvertently combined so that only one purchase for each asset was reported in the CY 2010 report.

Accordingly, my CY 2010 filing should be amended as follows:

- Schedule IV should be amended to include the following additional purchases:
  - IRA of Jane Owens purchased Verizon Stock on 12/7/10 in the range of \$1,001-\$15,000.
  - IRA of Jane Owens purchased Duke Energy Corp Stock on 12/29/10 in the range of \$1,001-\$15,000.
- Schedule III Attachment (4) should be amended to include the following additional assets in the IRA of Jane Owens:
  - Verizon Stock with a value of \$1,001-\$15,000.
  - Duke Energy Corp Stock with a value of \$1,001-\$15,000.
- Schedule III Attachment (4) should be amended to reflect the following change in value.
  - Fed Govt MMP 5 with a value of \$1-\$1,000.

My CY 2011 filing also should be amended as follows:

- Schedule III Attachment (3) should be amended to include the following additional assets in the IRA of Jane Owens
  - Verizon Stock with a value of \$1,001-\$15,000.
  - Duke Energy Corp Stock with a value of \$1,001-\$15,000.

PLEASE RESPOND TO  
WASHINGTON OFFICE:

405 CANNON HOUSE OFFICE BUILDING  
WASHINGTON, DC 20515  
(202) 225-4611

DISTRICT OFFICE:

120 WASHINGTON STREET, SUITE 200  
WATERTOWN, NY 13601  
(315) 782-3150

14 DURKEE STREET, SUITE 320  
PLATTSBURGH, NY 12901  
(518) 563-1406

136 GLEN STREET  
GLENS FALLS, NY 12801  
(518) 743-0964

✓  
MC

- Schedule III Attachment (3) should be amended to reflect the following change in value.
  - Fed Govt MMP 5 with a value of \$1-\$1,000.

These amendments affect neither the range of the assets reported in the IRA of William L. Owens nor the range of the Duke Energy Corp & Verizon Stock purchases made through the IRA as disclosed in past reports.


- Schedule III of all past reports (Form B as a candidate, CY 2009, CY 2010, & CY 2011) should be amended to include the following additional asset of the Stafford, Piller, Plimpton, Kelleher & Trombley Profit Sharing Plan (a 401k tax-deferred account):
  - Dodge & Cox Income Fund with a value of \$15,001-\$50,000.

I acquired this asset several years prior to entering Congress and there have been no subsequent transactions or changes in value.

- The Federated Capital Preservation account that was previously reported as an asset of the IRA of William L. Owens on the CY 2010 and CY 2011 reports should have been reported as an asset of the Stafford, Piller, Plimpton, Kelleher & Trombley Profit Sharing Plan (401k). Accordingly, my CY 2010 and CY 2011 filings should be amended as follows:
  - Schedule III Attachment (1) of both the CY 2010 and CY 2011 reports should be amended by deleting Federal Capital Preservation.
  - Schedule III Attachment (2) of the CY 2010 report should be amended to include Federal Capital Preservation with a value of \$100,001-\$250,000.
  - Schedule III of the CY 2011 report should be amended to include Federal Capital Preservation with a value of \$250,001-\$500,000 as an asset of the Stafford, Piller, Plimpton, Kelleher & Trombley Profit Sharing Plan (a 401k tax-deferred account).
- My unexercised stock option for NBT Common Stock expired in late 2010. Accordingly, Schedule III of my CY 2011 report should be amended by deleting NBT Unexercised Stock Options.

Please do not hesitate to contact me if you have any further questions or seek further clarification.

Very Truly Yours,



Bill Owens  
Member of Congress