

PAID DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT

FORM A  
For use by Members, officers, and employees

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ROBERT RANDOLPH NEUGEBAUER

(Full Name)

(Daytime Telephone)

(Office Use Only)

Filer Status

☒ Member of the U.S. House of Representatives

State: TX  
District: 19

☐ Officer Or Employee

Employing Office:

Report Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

|   |   |   |   |
|---|---|---|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?                             | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?            | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| If yes, complete and attach Schedule I.   |   | If yes, complete and attach Schedule VI.  |   |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?         | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| If yes, complete and attach Schedule II.  |   | If yes, complete and attach Schedule VII.   |   |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 at the end of the period?                                      | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?   | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| If yes, complete and attach Schedule III.   |   | If yes, complete and attach Schedule VIII.  |   |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | IX. Did you have any reportable agreement or arrangement with an outside entity?  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| If yes, complete and attach Schedule IV.  |   | If yes, complete and attach Schedule IX.  |   |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?                                 | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.  |   |
| If yes, complete and attach Schedule V.   |   |   |   |

IPO and EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

|   |   |
|---|---|
| IPO-- Did you purchase any shares that were allocated as a part of an Initial Public Offering?  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?                      | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

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| BLOCK A  |   | BLOCK B  | BLOCK C   | BLOCK D  | BLOCK E  |
|--|---|--|---|--|--|
| Asset and/or Income Source   |   | Year-End Value of Asset  | Type of Income  | Amount of Income   | Transaction  |
| <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p> |   | <p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."</p> <p>* This column is for assets held solely by your spouse or dependent child.</p> | <p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p> | <p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> <p>* This column is for income generated by assets held solely by your spouse or dependent child.</p> | <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p> |
| JT   | (1) CONGRESSIONAL FEDERAL CREDIT UNION, WASHINGTON, DC, ACCOUNTS            | \$100,001 - \$250,000  | INTEREST  | \$201 - \$1,000  | OTHER, DEPOSIT OF FUNDS  |
| JT   | (2) FIRST BANK & TRUST CO, LUBBOCK, TEXAS, ACCOUNTS                         | \$1,001 - \$15,000   | INTEREST  | \$1 - \$200  |  |
| JT   | (3) HSBC BANK USA, ACCOUNTS   | None   | INTEREST  | \$201 - \$1,000  | OTHER, FUNDS WITH-DRAWN  |
| SP   | (4) RETIREMENT ACCOUNT--ING LIFE AND ANNUITY COMPANY HOLDINGS LISTED BELOW: |  |   |  |  |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|    |  |                       |           |                   |  |
|----|--|-----------------------|-----------|-------------------|--|
|    | (a) ING INTERMEDIATE<br>FUND - A   | \$1,001 -<br>\$15,000 | INTEREST  | \$201 - \$1,000   |  |
|    | (b) ING VAN KAMPEN<br>GROWTH & INCOME-CIS  | \$1,001 -<br>\$15,000 | DIVIDENDS | \$1,001 - \$2,500 |  |
|    | (c) ING PIONEER MID CAP<br>VALUE PORT-SVC  | \$1,001 -<br>\$15,000 | DIVIDENDS | \$201 - \$1,000   |  |
| JT | (5) OLD TOWN, INC.,<br>LUBBOCK, TEXAS,<br>PROPERTY MANAGEMENT<br>COMPANY - SEE FOOTNOTE<br>1 |                       |           |                   |  |

**HOLDINGS:**

|  |  |                          |  |                     |                                   |
|--|--|--------------------------|--|---------------------|-----------------------------------|
|  | (a) REAL ESTATE 2716<br>85TH, LUBBOCK, TEXAS,<br>VALUED AT LUBBOCK<br>CENTRAL APPRAISAL<br>DISTRICT VALUE                    | \$100,001 -<br>\$250,000 | RENT   | \$5,001 - \$15,000  |                                   |
|  | (b) LIMITED PARTNERSHIP<br>INTEREST - SKYTOP<br>HOLDINGS, LLC, HOUSTON,<br>TEXAS, PRIVATE EQUITY<br>FUND - NOT SELF DIRECTED | \$250,001 -<br>\$500,000 | ORDINARY<br>INCOME/RENT/<br>INTEREST/<br>DIVIDENDS/<br>CAPITAL<br>GAINS/ROY-<br>ALTIES | \$15,001 - \$50,000 |                                   |
|  | (c) INVESTMENT IN<br>LUBBOCK LAND COMPANY<br>EIGHT, LTD, LUBBOCK,<br>TEXAS   | \$1,001 -<br>\$15,000    | ORDINARY<br>INCOME/<br>INTEREST  | \$5,001 - \$15,000  | OTHER,<br>FUNDS<br>WITH-<br>DRAWN |
|  | (d) CENTENNIAL BANK,<br>FORMERLY HCSB,<br>PLAINVIEW, TEXAS,<br>ACCOUNT   | \$50,001 -<br>\$100,000  | INTEREST   | \$201 - \$1,000     |                                   |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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|    |  |                       |          |                     |                         |
|----|--|-----------------------|----------|---------------------|-------------------------|
| JT | (6) OLD TOWN PROPERTIES, LTD, LUBBOCK, TEXAS - PARTNERSHIP HOLDS RENTAL PROPERTIES:<br>HOLDINGS: |                       |          |                     |                         |
|    | (a) 5717 88TH STREET, LUBBOCK, TEXAS, VALUED AT LUBBOCK CENTRAL APPRAISAL DISTRICT VALUE         | \$100,001 - \$250,000 | RENT     | \$5,001 - \$15,000  |                         |
|    | (b) 5731 90TH STREET, LUBBOCK, TEXAS, VALUED AT LUBBOCK CENTRAL APPRAISAL DISTRICT VALUE         | \$100,001 - \$250,000 | RENT     | \$5,001 - \$15,000  |                         |
|    | (c) 2013-2019 S. LOOP 289, LUBBOCK, TEXAS, VALUED AT LUBBOCK CENTRAL APPRAISAL DISTRICT VALUE    | \$100,001 - \$250,000 | RENT     | \$15,001 - \$50,000 |                         |
|    | (d) FIRST BANK & TRUST CO, LUBBOCK, TEXAS, ACCOUNTS  | \$50,001 - \$100,000  | INTEREST | \$201 - \$1,000     | OTHER, FUNDS WITH-DRAWN |
| JT | (7) KINGDOM ENTERPRISES, LTD, LUBBOCK, TEXAS - INVESTMENT PARTNERSHIP HOLDINGS:                  |                       |          |                     |                         |
|    | (a) COMPASS BANK, LUBBOCK, TEXAS, ACCOUNTS   | None                  | INTEREST | \$1 - \$200         | OTHER, FUNDS WITH-DRAWN |
|    | (b) CITY BANK TEXAS, LUBBOCK, TEXAS, ACCOUNTS  | \$100,001 - \$250,000 | INTEREST | \$1,001 - \$2,500   |                         |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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(c) FIRST BANK & TRUST  
CO, LUBBOCK, TEXAS,  
ACCOUNTS

\$100,001 -  
\$250,000

INTEREST

\$1,001 - \$2,500

OTHER,  
FUNDS  
WITH-  
DRAWN

(d) BROKERAGE  
ACCOUNT - GOLDMAN  
SACHS

HOLDINGS LISTED BELOW:

(1) BANK DEPOSITS

\$100,001 -  
\$250,000

INTEREST

\$1 - \$200

OTHER,  
FUNDS  
WITH-  
DRAWN

(2) WINNEBAGO CNTY  
ILL REV BOND

None

INTEREST

\$5,001 - \$15,000

S

(3) VANCOUVER  
WASHINGTON REV BOND

\$100,001 -  
\$250,000

INTEREST

\$5,001 - \$15,000

(4) NORTH EAST TEXAS  
IND SCH DIST BOND

\$250,001 -  
\$500,000

INTEREST

\$5,001 - \$15,000

(5) CLARK COUNTY  
WASHINGTON SCHL BOND

\$100,001 -  
\$250,000

INTEREST

\$5,001 - \$15,000

(6) BOULDER CITY NEV  
REV BOND

\$50,001 -  
\$100,000

INTEREST

\$2,501 - \$5,000

(7) CHICAGO ILLINOIS  
BRD OF EDU GO BOND

\$250,001 -  
\$500,000

INTEREST

\$5,001 - \$15,000

(8) CLEVELAND OHIO  
WATERWORKS REV BOND

\$50,001 -  
\$100,000

INTEREST

\$1,001 - \$2,500

(9) NEW RICHMOND WIS  
GO BOND

\$50,001 -  
\$100,000

INTEREST

\$1,001 - \$2,500

(10) TEX TRANSN  
COMMN ST HWY FD REV  
BOND

\$100,001 -  
\$250,000

INTEREST

\$5,001 - \$15,000

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|  |                       |          |                    |                         |
|--|-----------------------|----------|--------------------|-------------------------|
| (11) MASS (COMMON WEALTH OF) GO BOND         | \$250,001 - \$500,000 | INTEREST | \$5,001 - \$15,000 |                         |
| (12) MEMPHIS TENNESSEE (CITY OF) GO BOND     | \$100,001 - \$250,000 | INTEREST | \$2,501 - \$5,000  |                         |
| (13) NEW MEXICO FINANCE AUTHORITY REV BOND   | \$100,001 - \$250,000 | INTEREST | \$2,501 - \$5,000  |                         |
| (14) MASSACHUSETTS (COMMONWEALTH OF) GO BOND | \$100,001 - \$250,000 | INTEREST | \$5,001 - \$15,000 |                         |
| (15) CHANDLER ARIZ GO BOND                   | \$100,001 - \$250,000 | INTEREST | \$2,501 - \$5,000  |                         |
| (16) GARFIELD PITKIN & EAGLE CNTYS GO BOND   | \$50,001 - \$100,000  | INTEREST | \$2,501 - \$5,000  |                         |
| (17) HAWAII ST GO BOND                       | \$250,001 - \$500,000 | INTEREST | \$5,001 - \$15,000 | P                       |
| (18) STATE OF CONNECTICUT REV BOND           | \$100,001 - \$250,000 | None     | NONE               | P                       |
| (e) BROKERAGE ACCOUNT - GOLDMAN SACHS        |                       |          |                    |                         |
| HOLDINGS LISTED BELOW:                       |                       |          |                    |                         |
| (1) BANK DEPOSITS                            | \$50,001 - \$100,000  | INTEREST | \$1 - \$200        | OTHER, DEPOSIT OF FUNDS |
| (2) GS HIGH YIELD MUNICIPAL FUND             | \$250,001 - \$500,000 | INTEREST | \$5,001 - \$15,000 | P                       |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|  |                         |  |                     |  |
|--|-------------------------|--|---------------------|--|
| (3) LIMITED LIABILITY COMPANY INVESTMENT IN GS HEDGE FUND OPPORTUNITIES - THE COMPANY IS A PUBLICLY TRADED ENTITY, OWNERSHIP IS LESS THAN .09% | \$500,001 - \$1,000,000 | ORDINARY INCOME/ INTEREST/ DIVIDENDS/CAP -ITAL GAINS | \$15,001 - \$50,000 |  |
|--|-------------------------|--|---------------------|--|

|  |                     |                           |                   |              |
|--|---------------------|---------------------------|-------------------|--------------|
| (4) GOLDMAN SACHS GROUP, INC BOND  | None                | INTEREST                  | \$2,501 - \$5,000 | S            |
| (5) GS HIGH YIELD FUND   | \$1,001 - \$15,000  | DIVIDENDS/CAP -ITAL GAINS | \$1,001 - \$2,500 | P, < \$1,000 |
| (6) LIMITED PARTNERSHIP INVESTMENT IN OR ENERGY, LP - THE COMPANY IS A PUBLICLY TRADED ENTITY, OWNERSHIP IS < .01% | \$15,001 - \$50,000 | None                      | NONE              |              |

|   |                       |                                     |                    |  |
|---|-----------------------|-------------------------------------|--------------------|--|
| (7) .42% LIMITED PARTNER INVESTMENT IN WINDROCK PRIVATE EQUITY, LTD, A LIMITED PARTNERSHIP LOCATED IN HOUSTON, TEXAS - THE LIMITED PARTNERSHIP IS A PRIVATE EQUITY FUND - NOT SELF DIRECTED | \$50,001 - \$100,000  | INTEREST/ DIVIDENDS/CAP -ITAL GAINS | \$5,001 - \$15,000 |  |
| (9) LAND BLK E2 SEC 25 AB 269 TR B SW/R 18.13 ACRES, LUBBOCK, TEXAS - VALUED AT PURCHASE PRICE 04/2002  | \$100,001 - \$250,000 | FARM LEASE                          | \$201 - \$1,000    |  |

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(h) INVESTMENT IN  
LUBBOCK LAND COMPANY  
EIGHT, LTD, LUBBOCK,  
TEXAS

Other: LAND  
DEVELOPMENT

\$15,001 - \$50,000

HOLDINGS:

(1) VARIOUS LOTS  
BETWEEN 624-659 REGAL  
PARK, 89TH AND 90TH  
STREETS B/N HOMESTEAD  
AND IOLA, LUBBOCK,  
TEXAS, - VALUED AT  
PURCHASE PRICE IN 2004  
AND DEVELOPMENT COSTS  
2008

None

ORDINARY  
INCOME

REPORTED IN  
TOTAL ABOVE

S

(2) AMERICAN BANK OF  
COMMERCE, LUBBOCK,  
TEXAS, ACCOUNTS

\$15,001 -  
\$50,000

INTEREST

\$2,501 - \$5,000

OTHER,  
FUNDS  
WITH -  
DRAWN

(i) INVESTMENT IN  
LUBBOCK LAND  
INVESTMENT I, LP,  
LUBBOCK, TEXAS

HOLDINGS:

(1) LAND - 49.834  
ACRES, PREVIOUSLY  
68.044 ACRES, BLK AK SEC  
28 AB 750 TR ASA NW/3,  
LUBBOCK COUNTY, TEXAS -  
VALUED AT PURCHASE

\$15,001 -  
\$50,000

OTHER: LAND -  
FARM AND  
INVESTMENTS/  
CAPITAL  
GAINS/INT-  
EREST

\$100,001 -  
\$1,000,000

S(part)

(2) LAND - 3.747 ACRES,  
BLK E2 AK SEC 25 AB 269 TR  
B2 SW/4, LUBBOCK  
COUNTY, TEXAS - VALUED  
AT PURCHASE PRICE IN 2007

\$1,001 -  
\$15,000

None

NONE



**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|  |                       |  |                     |         |
|--|-----------------------|--|---------------------|---------|
| (3) AMERICAN BANK OF COMMERCE, LUBBOCK, TEXAS, ACCOUNTS  | \$1,001 - \$15,000    | INTEREST                                       | \$1 - \$200         |         |
| (4) LAND - 20.501 ACRES, AK SEC 28 AB 750 TR A2 NW/3, LUBBOCK COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2012   | \$15,001 - \$50,000   | None   | NONE                | P       |
| (i) INVESTMENT IN PANHANDLE LAND INVESTMENTS I, LP, LUBBOCK, TEXAS HOLDINGS:   |                       |  |                     |         |
| (1) LAND - 1276.569 ACRES, PREVIOUSLY 1288.64 ACRES, SEC 37 B S & F, LOT BLOCK 0009, RANDALL COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007 AND DEVELOPMENT COSTS 2008 | \$250,001 - \$500,000 | OTHER: LAND-FARM AND INVESTMENT/ CAPITAL GAINS | \$15,001 - \$50,000 | S(part) |
| (2) AMERICAN BANK OF COMMERCE, LUBBOCK, TEXAS, ACCOUNTS  | \$1 - \$1,000         | INTEREST                                       | \$1 - \$200         |         |
| (k) INVESTMENT IN LUBBOCK LAND INVESTMENTS II, LP, LUBBOCK, TEXAS HOLDINGS:  |                       |  |                     |         |

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|    |   |                         |  |                    |                         |
|----|---|-------------------------|--|--------------------|-------------------------|
|    | (1) LAND - BLK E2 SEC 19 AB 104 & BLK E2 SEC 20 AB 752 TR A2 OF S/2 OF 19 & 20, LUBBOCK COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007 AND DEVELOPMENT COSTS 2008 | \$50,001 - \$100,000    | OTHER: LAND-FARM AND INVESTMENT/CAPITAL GAINS/INTEREST | \$2,501 - \$5,000  |                         |
|    | (2) AMERICAN BANK OF COMMERCE, LUBBOCK, TEXAS, ACCOUNTS   | \$1,001 - \$15,000      | None   | NONE               | OTHER, DEPOSIT OF FUNDS |
|    | (l) .1867% LIMITED PARTNER INVESTMENT IN FREESTONE SAWMILL PARTNERS II, LP, HOUSTON, TEXAS - NOT SELF DIRECTED  | \$1,001 - \$15,000      | None   | NONE               |                         |
|    | (m) MORTGAGE NOTE RECEIVABLE - ROBERT RANDOLPH NEUGEBAUER   | \$500,001 - \$1,000,000 | INTEREST   | \$5,001 - \$15,000 |                         |
|    | (n) .88% LIMITED PARTNER INVESTMENT IN FREESTONE SAWMILL PARTNERS, LP - NOT SELF DIRECTED   | None                    | None   | NONE               |                         |
| SP | (8) OIL AND GAS ROYALTY INTERESTS, VARIOUS HOLDINGS   | \$1,001 - \$15,000      | OTHER: OIL & GAS ROYALTIES                             | \$1,001 - \$2,500  |                         |
| SP | (9) GOLDMAN SACHS BROKERAGE RETIREMENT ACCOUNT HOLDINGS:  |                         |  |                    |                         |
|    | (a) CASH ON DEPOSIT   | \$50,001 - \$100,000    | INTEREST   | \$1 - \$200        |                         |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|  |                         |           |                     |                          |
|--|-------------------------|-----------|---------------------|--------------------------|
| (b) SPDR S&P 500 ETF TRUST   | \$1,001 - \$15,000      | DIVIDENDS | \$1 - \$200         |                          |
| (c) ISHARES TR - ISHARES MSCI EAFE INDEX FUND                                  | \$1,001 - \$15,000      | DIVIDENDS | \$1 - \$200         |                          |
| (10) 224 9TH STREET SE, WASHINGTON, DC - VALUED AT ESTIMATED FAIR MARKET VALUE | \$500,001 - \$1,000,000 | RENT      | \$15,001 - \$50,000 | OTHER, DECREASE IN VALUE |

(11) GOLDMAN SACHS BROKERAGE RETIREMENT ACCOUNT  
HOLDINGS:

|  |                       |           |                   |                         |
|--|-----------------------|-----------|-------------------|-------------------------|
| (a) CASH ON DEPOSIT                              | \$100,001 - \$250,000 | INTEREST  | \$201 - \$1,000   |                         |
| (b) SPDR S&P 500 ETF TRUST                       | \$1,001 - \$15,000    | DIVIDENDS | \$1 - \$200       |                         |
| (c) ISHARES TR - ISHARES MSCI EAFE INDEX FUND    | \$1,001 - \$15,000    | DIVIDENDS | \$1 - \$200       |                         |
| (12) BROKERAGE ACCOUNT - GOLDMANS SACH HOLDINGS: |                       |           |                   |                         |
| (a) CASH ON DEPOSIT                              | \$100,001 - \$250,000 | INTEREST  | \$201 - \$1,000   | OTHER, DEPOSIT OF FUNDS |
| (b) THE GOLDMAN SACHS GROUP INC.                 | None                  | INTEREST  | \$1,001 - \$2,500 | S                       |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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(c) LIMITED PARTNERSHIP INVESTMENT IN OR ENERGY, LP - THE COMPANY IS A PUBLICLY TRADED ENTITY, OWNERSHIP IS LESS THAN .01%

\$50,001 - \$100,000

None

NONE

P

JT

(13) EMPOWERMENT ENTERPRISES, LLC, LUBBOCK, TEXAS - PARTNERSHIP HOLDS RENTAL EQUIPMENT. HOLDINGS:

(a) FIRST BANK & TRUST CO, LUBBOCK, TEXAS, ACCOUNTS

\$1 - \$1,000

None

NONE

(b) WATERCRAFT

\$500,001 - \$1,000,000

None

NONE

(14) INVESTMENT IN PANHANDLE LAND DEVELOPMENT, INC., S CORPORATION - FORMED TO PURCHASE AND DEVELOP REAL ESTATE. NO REAL ESTATE HELD DURING REPORT PERIOD

\$1,001 - \$15,000

None

NONE

(a) LUBBOCK NATIONAL BANK, LUBBOCK, TX, ACCOUNT

\$1 - \$1,000

None

NONE

(15) FARM LAND - CROSBY COUNTY, TEXAS, SEC 7 SVY 8 BLK 11 #479

\$50,001 - \$100,000

OTHER: COOP DIVIDENDS/ CROP SALES

\$201 - \$1,000

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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|   |                    |                                   |                         |         |
|---|--------------------|-----------------------------------|-------------------------|---------|
| (16) OIL AND GAS ROYALTY INTERESTS, VARIOUS HOLDINGS  | \$1,001 - \$15,000 | Other: OIL AND GAS ROYALTIES      | \$5,001 - \$15,000      |         |
| (17) INVESTMENT IN LLIH DEVELOPMENT, INC., S CORPORATION, LUBBOCK, TEXAS - FORMED TO PURCHASE AND DEVELOP REAL ESTATE, HOLDINGS:  |                    | Other: LAND DEVELOPMENT/ INTEREST | NONE                    |         |
| (a) LOTS 2-8 COOPER STONE, NORTH SIDE OF 108TH STREET, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009   | None               | Other: LAND DEVELOPMENT           | REPORTED IN TOTAL ABOVE | S       |
| (b) 1 LOT IN COOPER RIDGE, PREVIOUSLY 3 LOTS, VARIOUS LOTS BETWEEN #7 AND #95, ON 108TH STREET, 109TH STREET, 110TH STREET, AND 111TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009      | \$1,001 - \$15,000 | Other: LAND DEVELOPMENT           | REPORTED IN TOTAL ABOVE | S(part) |
| (c) 1 LOT IN COOPER CREEK, PREVIOUSLY 3 LOTS, VARIOUS LOTS BETWEEN #67 AND #135, ON 111TH STREET, 112TH STREET, CANTON AVENUE, AND DETROIT AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009 | \$1,001 - \$15,000 | Other: LAND DEVELOPMENT           | REPORTED IN TOTAL ABOVE | S(part) |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

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|   |                      |                         |                         |                         |
|---|----------------------|-------------------------|-------------------------|-------------------------|
| (d) LUBBOCK NATIONAL BANK, LUBBOCK, TX, ACCOUNTS  | \$1,001 - \$15,000   | None                    | NONE                    | OTHER, DEPOSIT OF FUNDS |
| (e) 5 LOTS IN COOPER RIDGE, PREVIOUSLY 8, VARIOUS LOTS BETWEEN #97 AND #113, ON 111TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2010 AND DEVELOPMENT COSTS 2010            | \$15,001 - \$50,000  | Other: LAND DEVELOPMENT | REPORTED IN TOTAL ABOVE | S(part)                 |
| (f) LOTS IN COOPER RIDGE, VARIOUS LOTS BETWEEN #114-147, ON BLK E2 SEC 19 & 20 AB 104 & 752 TR A2A OF S/2, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2011 & DEVELOPMENT COSTS 2011 | \$50,001 - \$100,000 | Other: LAND DEVELOPMENT | REPORTED IN TOTAL ABOVE | S(part)                 |
| (18) GOLD, SILVER & BULLION   | \$50,001 - \$100,000 | None                    | NONE                    |                         |
| (19) INVESTMENT IN THE TRAILS AT REGAL PARK DEVELOPMENT, INC., S CORPORATION, LUBBOCK, TEXAS - FORMED TO PURCHASE AND DEVELOP REAL ESTATE, HOLDINGS:                              |                      | Other: LAND DEVELOPMENT | NONE                    |                         |
| (a) LUBBOCK NATIONAL BANK, LUBBOCK, TEXAS, ACCOUNT  | \$15,001 - \$50,000  | INTEREST                | \$1 - \$200             | OTHER, FUNDS WITH-DRAWN |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

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|   |                       |                         |                         |         |
|---|-----------------------|-------------------------|-------------------------|---------|
| (b) 4 LOTS, PREVIOUSLY 6, IN THE TRAILS AT REGAL PARK, VARIOUS LOTS BETWEEN #1 AND #40, ON IOLA AVENUE, IRONTON AVENUE, JUNEAU AVENUE, 91ST STREET, 90TH STREET, KEWANEE AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2010 AND DEVELOPMENT COSTS 2010 | \$15,001 - \$50,000   | Other: LAND DEVELOPMENT | REPORTED IN TOTAL ABOVE | S(part) |
| (c) LOTS IN THE TRAILS AT REGAL PARK, VARIOUS LOTS BETWEEN #41 AND #56, ON 89TH STREET, 91ST STREET, AND JUNEAU AVENUE  | \$50,001 - \$100,000  | Other: LAND DEVELOPMENT | REPORTED IN TOTAL ABOVE | S(part) |
| (d) LOT 643 OF REGAL PARK, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE   | \$1,001 - \$15,000    | None                    | NONE                    | P       |
| (e) LOTS IN THE TRAILS AT REGAL PARK, VARIOUS LOTS BETWEEN #57 AND #94, ON 88TH STREET, 89TH STREET, 88TH PLACE, IRONTON, AND KEWANEE AVENUE, VALUED AT PURCHASE PRICE DEVELOPMENT COSTS 2012   | \$250,001 - \$500,000 | None                    | NONE                    | P       |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name ROBERT RANDOLPH NEUGEBAUER

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(f) LOTS IN THE TRAILS AT  
REGAL PARK, VARIOUS  
LOTS BETWEEN #95 AND  
#115 ON JUSTICE AVENUE  
AND KEWANEE AVENUE,  
VALUED AT PURCHASE  
PRICE AND DEVELOPMENT  
COSTS 2012

|   |  |                          |      |      |   |
|---|--|--------------------------|------|------|---|
|   |  | \$100,001 -<br>\$250,000 | None | NONE | P |
| (20) MASS MUTUAL - WHOLE<br>LIFE INSURANCE POLICIES |  | \$1,001 -<br>\$15,000    | NA   |      |   |



# SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

\* This column is for assets solely held by your spouse or dependent child.

| SP,<br>DC,<br>JT | Asset                                       | Type of<br>Transaction | Capital<br>Gain in<br>Excess<br>of \$200? | Date  | Amount of Transaction |
|------------------|---|------------------------|---|---|-----------------------|
|                  | (7)(d)(2) WINNEBAGO CNTY ILL REV BOND       | S                      | No  | 12-30-12  | \$100,001 - \$250,000 |
|                  | (7)(d)(17) HAWAII ST GO BOND                | P                      | N/A                                       | 1-3-12  | \$250,001 - \$500,000 |
|                  | (7)(d)(18) STATE OF CONNECTICUT REV<br>BOND | P                      | N/A                                       | 12-17-12  | \$100,001 - \$250,000 |
|                  | (7)(e)(2) GS HIGH YIELD MUNICIPAL FUND      | P                      | N/A                                       | 1-31-12, 2-29-<br>12, 3-30-12, 4-<br>30-12, 5-31-12,<br>6-29-12, 7-31-<br>12, 8-31-12, 9-<br>28-12, 10-31-<br>12, 11-30-12,<br>12-31-12 | \$1,001 - \$15,000    |

|  |  |   |    |  |                       |
|--|--|---|----|--|-----------------------|
|  | (7)(e)(4) GOLDMAN SACHS GROUP, INC<br>BOND   | S | No | 6-15-12                                    | \$100,001 - \$250,000 |
|  | (7)(h)(1) VARIOUS LOTS BETWEEN 624-<br>659 REGAL PARK, 89TH AND 90TH<br>STREETS B/N HOMESTEAD AND IOLA,<br>LUBBOCK, TEXAS, - VALUED AT<br>PURCHASE PRICE IN 2004 AND<br>DEVELOPMENT COSTS 2008 | S | No | 1-20-12, 5-3-<br>12, 5-14-12, 12-<br>28-12 | \$50,001 - \$100,000  |

# SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.  
 Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.  
 \* This column is for assets solely held by your spouse or dependent child.

| SP, DC, JT | Asset  | Type of Transaction | Capital Gain in Excess of \$200? | Date                       | Amount of Transaction |
|------------|--|---------------------|----------------------------------|----------------------------|-----------------------|
|            | (7)(i)(1) LAND - 49.834 ACRES, PREVIOUSLY 68.044 ACRES, BLK AK SEC 28 AB 750 TR ASA NW/3, LUBBOCK COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007                             | S(part)             | Yes                              | 12-31-12                   | \$250,001 - \$500,000 |
|            | (7)(i)(4) LAND - 20.501 ACRES, AK SEC 28 AB 750 TR A2 NW/3, LUBBOCK COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2012   | P                   | N/A                              | 12-12-12                   | \$15,001 - \$50,000   |
|            | (7)(i)(1) LAND - 1276.569 ACRES, PREVIOUSLY 1288.64 ACRES, SEC 37 B S & F, LOT BLOCK 0009, RANDALL COUNTY, TEXAS - VALUED AT PURCHASE PRICE IN 2007 AND DEVELOPMENT COSTS 2008 | S(part)             | Yes                              | 2-21-12                    | \$15,001 - \$50,000   |
|            | (12)(b) THE GOLDMAN SACHS GROUP INC.   | S                   | No                               | 6-15-12                    | \$50,001 - \$100,000  |
|            | (12)(c) LIMITED PARTNERSHIP INVESTMENT IN QR ENERGY, LP - THE COMPANY IS A PUBLICLY TRADED ENTITY, OWNERSHIP IS LESS THAN .01%   | P                   | N/A                              | 11-14-12, 12-6-12, 12-7-12 | \$50,001 - \$100,000  |
|            | (17)(a) LOTS 2-8 COOPER STONE, NORTH SIDE OF 108TH STREET, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009  | S                   | No                               | 7-20-12                    | \$1,001 - \$15,000    |

# SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.  
 Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.  
 \* This column is for assets solely held by your spouse or dependent child.

| SP,<br>DC,<br>JT | Asset   | Type of<br>Transaction | Capital<br>Gain in<br>Excess<br>of \$200? | Date                      | Amount of Transaction |
|------------------|---|------------------------|---|---------------------------|-----------------------|
|                  | (17)(b) 1 LOT IN COOPER RIDGE, PREVIOUSLY 3 LOTS, VARIOUS LOTS BETWEEN #7 AND #95, ON 108TH STREET, 109TH STREET, 110TH STREET, AND 111TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009      | S(part)                | No  | 2-10-12, 7-27-12          | \$1,001 - \$15,000    |
|                  | (17)(c) 1 LOT IN COOPER CREEK, PREVIOUSLY 3 LOTS, VARIOUS LOTS BETWEEN #67 AND #135, ON 111TH STREET, 112TH STREET, CANTON AVENUE, AND DETROIT AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2009 AND DEVELOPMENT COSTS 2009 | S(part)                | No  | 1-14-12, 7-12-12          | \$1,001 - \$15,000    |
|                  | (17)(e) 5 LOTS IN COOPER RIDGE, PREVIOUSLY 8, VARIOUS LOTS BETWEEN #97 AND #113, ON 111TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2010 AND DEVELOPMENT COSTS 2010  | S(part)                | No  | 2-20-12, 5-10-12, 9-25-12 | \$1,001 - \$15,000    |

# SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.  
Capital Gains — If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.  
\* This column is for assets solely held by your spouse or dependent child.

| SP,<br>DC,<br>JT | Asset   | Type of<br>Transaction | Capital<br>Gain in<br>Excess<br>of \$200? | Date   | Amount of Transaction |
|------------------|---|------------------------|---|--|-----------------------|
|                  | (17)(f) LOTS IN COOPER RIDGE, VARIOUS LOTS BETWEEN #114-147, ON BLK E2 SEC 19 & 20 AB 104 & 752 TR A2A OF S/2; LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2011 & DEVELOPMENT COSTS 2011   | S(part)                | No  | 2-16-12, 3-5-12, 3-13-12, 5-2-12, 7-9-12, 7-12-12, 7-20-12, 8-14-12, 8-20-12, 8-23-12, 10-18-12, 11-7-12 | \$15,001 - \$50,000   |
|                  | (19)(b) 4 LOTS, PREVIOUSLY 6, IN THE TRAILS AT REGAL PARK, VARIOUS LOTS BETWEEN #1 AND #40, ON IOLA AVENUE, IRONTON AVENUE, JUNEAU AVENUE, 91ST STREET, 90TH STREET, KEWANEE AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 2010 AND DEVELOPMENT COSTS 2010 | S(part)                | No  | 1-21-12, 1-30-12   | \$15,001 - \$50,000   |
|                  | (19)(c) LOTS IN THE TRAILS AT REGAL PARK, VARIOUS LOTS BETWEEN #41 AND #56, ON 89TH STREET, 91ST STREET, AND JUNEAU AVENUE  | S(part)                | No  | 2-1-12, 2-10-12, 3-5-12, 3-18-12, 4-24-12, 12-19-12  | \$50,001 - \$100,000  |
|                  | (19)(d) LOT 643 OF REGAL PARK, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE   | P                      | N/A                                       | 12-27-12   | \$1,001 - \$15,000    |

# SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

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Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.  
 Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.  
 \* This column is for assets solely held by your spouse or dependent child.

| SP,<br>DC,<br>JT | Asset   | Type of<br>Transaction | Capital<br>Gain in<br>Excess<br>of \$200? | Date     | Amount of Transaction |
|------------------|---|------------------------|---|----------|-----------------------|
|                  | (19)(e) LOTS IN THE TRAILS AT REGAL PARK, VARIOUS LOTS BETWEEN #57 AND #94, ON 88TH STREET, 89TH STREET, 88TH PLACE, IRONTON, AND KEWANEE AVENUE, VALUED AT PURCHASE PRICE DEVELOPMENT COSTS 2012 | P                      | N/A                                       | 12-31-12 | \$100,001 - \$250,000 |
|                  | (19)(f) LOTS IN THE TRAILS AT REGAL PARK, VARIOUS LOTS BETWEEN #95 AND #115 ON JUSTICE AVENUE AND KEWANEE AVENUE, VALUED AT PURCHASE PRICE AND DEVELOPMENT COSTS 2012                             | P                      | N/A                                       | 12-31-12 | \$100,001 - \$250,000 |

# SCHEDULE V - LIABILITIES

Name ROBERT RANDOLPH NEUGEBAUER

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. \*This column is for liabilities held solely by your spouse or dependent child.

| SP,<br>DC,<br>JT | Creditor                     | Date<br>Liability<br>Incurred | Type of Liability                                   | Amount of Liability     |
|------------------|------------------------------|-------------------------------|---|-------------------------|
| JT               | KINGDOM ENTERPRISES, LTD.    | APRIL<br>2010                 | MORTGAGE ON 224 9TH<br>STREET SE,<br>WASHINGTON, DC | \$500,001 - \$1,000,000 |
|                  | AADVANTAGE CITI MASTERCARD   | VARIOUS                       | REVOLVING LINE OF<br>CREDIT                         | \$15,001 - \$50,000     |
| SP               | AADVANTAGE CITI MASTERCARD   | VARIOUS                       | REVOLVING LINE OF<br>CREDIT                         | \$10,001 - \$15,000     |
|                  | AMERICAN EXPRESS CREDIT CARD | VARIOUS                       | REVOLVING LINE OF<br>CREDIT                         | \$0-\$10,000            |

# SCHEDULE VIII - POSITIONS

Name ROBERT RANDOLPH NEUGEBAUER

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position               | Name of Organization                       |
|------------------------|--|
| PARTNER                | KINGDOM ENTERPRISES, LTD.                  |
| PARTNER                | OLD TOWN PROPERTIES, LTD.                  |
| MEMBER                 | EMPOWERMENT ENTERPRISES, LLC               |
| OFFICER                | OLD TOWN, INC.                             |
| NONOFFICER SHAREHOLDER | PANHANDLE LAND DEVELOPMENT, INC.           |
| NONOFFICER SHAREHOLDER | LI-HI DEVELOPMENT, INC.                    |
| NONOFFICER SHAREHOLDER | THE TRAILS AT REGAL PARK DEVELOPMENT, INC. |
| PARTNER                | QR ENERGY, LP                              |

**FOOTNOTES**

Name ROBERT RANDOLPH NEUGEBAUER

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| Number | Section / Schedule | Footnote  | This note refers to the following item |
|--------|--------------------|---|--|
| 1      | Schedule III       | The corporation is the general partner of Old Town Properties, Ltd., which is listed on Schedule III (Asset #6) | (5) OLD TOWN, INC.                     |