

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

FORM A

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For use by Members, officers, and employees

**HAND  
DELIVERED**

THADDEUS GEORGE MCCOTTER

(Full Name)

202-225-8171

(Daytime Telephone)

2012 MAY 15 PM 4: 29

OFFICE USE ONLY

Filer Status ☒ Member of the U.S. House of Representatives

State: MI District: 11

☐ Officer Or Employee

Employing Office:

Report Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name THADDEUS GEORGE MCCOTTER

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
ST MARY'S MERCY HOSPITAL	SPOUSES SALARY	\$37,348
INTERCOLLEGIATE STUDIES INST	ROYALTIES FROM BOOK SALES	\$1,908

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name THADDEUS GEORGE MCCOTTER

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p>		<p>At close of reporting year, if you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP	11 SHS DAIMLER AG	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	403B AMERICAN CENTURY GROWTH	\$1 - \$1,000	None	NONE	
SP	403b Black Rck US Opps	\$1 - \$1,000	None	NONE	
SP	403b Columbia SmCap Val	\$1 - \$1,000	None	NONE	
SP	403b Columbia SmCapGrth	\$1 - \$1,000	None	NONE	
SP	403b Dreyfus LtdHghYld	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	403b Federated Tolt Rtm	\$1,001 - \$15,000	None	NONE	
SP	403b Fidelity Low Price	\$1,001 - \$15,000	None	NONE	
SP	403b Harbor Intl	\$1 - \$1,000	None	NONE	
SP	403b Loomis Value	\$1 - \$1,000	None	NONE	
SP	403b TAP Instl Mid Value	\$1 - \$1,000	None	NONE	
SP	403b TFLIC Guar Pool	\$1,001 - \$15,000	None	NONE	
SP	403b Vanguard Intl Prot	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
SP	403b Vanguard Inst Indx	\$1,001 - \$15,000	None	NONE	
JT	BANK OF AMERICA BANK ACCOUNT (closed - balance transferred to Catholic Vantage Financial)	None	INTEREST	\$1 - \$200	S
DC	BANK OF AMERICA BANK ACCOUNT (closed - balance transferred to Catholic Vantage Financial)	None	INTEREST	\$1 - \$200	S
DC	BANK OF AMERICA BANK ACCOUNT (closed - balance transferred to Catholic Vantage Financial)	None	INTEREST	\$1 - \$200	S

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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DC	BANK OF AMERICA BANK ACCOUNT (closed - balance transferred to Catholic Vantage Financial)	None	INTEREST	\$1 - \$200	S
JT	CATHOLIC VANTAGE FINANCIAL BANK ACCOUNTS	\$50,001 - \$100,000	INTEREST	\$1 - \$200	P
DC	CATHOLIC VANTAGE FINANCIAL BANK ACCOUNTS (EM)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
DC	CATHOLIC VANTAGE FINANCIAL BANK ACCOUNTS (GM)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
DC	CATHOLIC VANTAGE FINANCIAL BANK ACCOUNTS (TM)	\$1,001 - \$15,000	INTEREST	\$1 - \$200	P
JT	COLUMBIA MONEY MARKET FUND	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	PS(part)
DC	ESA COLUMBIA MULTI- ADVISOR SMALL CAP VALUE (EM)	\$15,001 - \$50,000	None	NONE	
DC	ESA COLUMBIA MULTI- ADVISOR SMALL CAP VALUE (GM)	None	None	NONE	S
DC	ESA COLUMBIA MULTI- ADVISOR SMALL CAP VALUE (TM)	\$15,001 - \$50,000	None	NONE	
	IRA RVS STRATEGIC SELECT PORTFOLIO - AMERICAN CENT INFL PROT BOND	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
	IRA RVS STRATEGIC SELECT PORTFOLIO - CENTURY S/CAP SELECT	None	CAPITAL GAINS	\$1,001 - \$2,500	S

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name THADDEUS GEORGE MCCOTTER

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IRA RVS STRATEGIC  
SELECT PORTFOLIO -  
FIDELITY ADV NEW  
INSIGHTS

\$15,001 -  
\$50,000

DIVIDENDS

\$1 - \$200

IRA RVS STRATEGIC  
SELECT PORTFOLIO - JOHN  
HANCOCK LG CAP EQUITY

\$15,001 -  
\$50,000

DIVIDENDS

\$1 - \$200

IRA RVS STRATEGIC  
SELECT PORTFOLIO -  
OPENHEIMER GLOBAL  
STRATEGIC INCOME

\$15,001 -  
\$50,000

DIVIDENDS

\$1,001 - \$2,500

IRA RVS STRATEGIC  
SELECT PORTFOLIO - VK  
GROWTH AND INCOME

\$15,001 -  
\$50,000

DIVIDENDS

\$201 - \$1,000

IRA RVS STRATEGIC  
SELECT PORTFOLIO -  
AMERIPRISE MONEY  
MARKET FUND

\$1,001 -  
\$15,000

DIVIDENDS

\$1 - \$200

P

ROTH COLUMBIA MID-CAP  
GROWTH OPPORTUNITY

\$1,001 -  
\$15,000

DIVIDENDS

\$201 - \$1,000

ROTH COLUMBIA MULTI-  
ADVISOR INTL VALUE

\$1,001 -  
\$15,000

DIVIDENDS

\$201 - \$1,000

ROTH COLUMBIA  
PORTFOLIO BUILDER  
MODERATE

\$1,001 -  
\$15,000

DIVIDENDS

\$1 - \$200

ROTH COLUMBIA  
PORTFOLIO BUILDER  
MODERATE

\$1,001 -  
\$15,000

DIVIDENDS

\$1 - \$200

RVS ROLLOVER Retirement  
Advisor 4 VA - SURRENDER  
VALUE

\$15,001 -  
\$50,000

None

NONE

SP

RVS Variable Universal Life -  
FACE VALUE

\$100,001 -  
\$250,000

None

NONE

P

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name THADDEUS GEORGE MCCOTTER

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DC	UGMA COLUMBIA LARGE CAP GROWTH	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DC	UGMA Columbia Large Core Quantitative	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
DC	UGMA Columbia Mid-Cap Growth Opp	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	S(part)
DC	UGMA Columbia Select Smaller-Cap Value	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
DC	UGMA SELIGMAN GROWTH FUND	None	None	NONE	S

# SCHEDULE IV - TRANSACTIONS

Name THADDEUS GEORGE MCCOTTER

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC	BANK OF AMERICA BANK ACCOUNTS - TRANSFERRED TO CATHOLIC VANTAGE FINANCIAL	S	No	VARIOUS	<\$1000
DC	BANK OF AMERICA BANK ACCOUNTS - TRANSFERRED TO CATHOLIC VANTAGE FINANCIAL	S	No	VARIOUS	\$1,001 - \$15,000
DC	BANK OF AMERICA BANK ACCOUNTS - TRANSFERRED TO CATHOLIC VANTAGE FINANCIAL	S	No	VARIOUS	\$15,001 - \$50,000
JT	BANK OF AMERICA BANK ACCOUNTS - TRANSFERRED TO CATHOLIC VANTAGE FINANCIAL	S	No	VARIOUS	\$1,001 - \$15,000
JT	CATHOLIC VANTAGE FINANCIAL BANK ACCOUNTS	P	N/A	VARIOUS	\$50,001 - \$100,000
DC	CATHOLIC VANTAGE FINANCIAL BANK ACCOUNTS (EM)	P	N/A	VARIOUS	\$1,001 - \$15,000
DC	CATHOLIC VANTAGE FINANCIAL BANK ACCOUNTS (GM)	P	N/A	VARIOUS	\$1,001 - \$15,000
DC	CATHOLIC VANTAGE FINANCIAL BANK ACCOUNTS (TM)	P	N/A	VARIOUS	\$1,001 - \$15,000
JT	COLUMBIA MONEY MARKET	P	N/A	2-8-11, 5-2-11	\$1,001 - \$15,000
JT	COLUMBIA MONEY MARKET	S(part)	No	11-21-11	\$1,001 - \$15,000



# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC	ESA COLUMBIA MULTI-ADVISOR SMALL CAP VALUE (GM)	S	No	1-4-11, 8-9-11	\$1,001 - \$15,000
	IRA RVS STRATEGIC SELECT PORTFOLIO - AMERICAN CENT INFL PROT BOND	P	N/A	06-23-11	\$15,001 - \$50,000
	IRA RVS STRATEGIC SELECT PORTFOLIO - AMERIPRISE MONEY MARKET FUND	P	N/A	VARIOUS	\$1,001 - \$15,000
	IRA RVS STRATEGIC SELECT PORTFOLIO - CENTURY SMALL CAP SELECT	S	Yes	06-23-11	\$15,001 - \$50,000
SP	RVS VARIABLE UNIVERSAL LIFE - FACE VALUE - PREMIUM PAYMENTS	P	N/A	\$150 PER MONTH	\$1,001 - \$15,000
DC	UGMA COLUMBIA LARGE CAP GROWTH	P	N/A	04-08-11	\$1,001 - \$15,000
DC	UGMA Columbia Large Core Quantitative	S(part)	No	08-26-11	\$1,001 - \$15,000
DC	UGMA Columbia Mid-Cap Growth Opp	S(part)	No	4-8-11, 8-26-11, 12-22-11	\$1,001 - \$15,000
DC	UGMA COLUMBIA SMALLER CAP VALUE	S(part)	No	08-26-11	\$1,001 - \$15,000
DC	UGMA SELIGMAN GROWTH FUND	S	No	04-08-11	\$1,001 - \$15,000

# SCHEDULE V - LIABILITIES

Name THADDEUS GEORGE MCCOTTER

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	CITIMORTGAGE	MAY 1999	MORTGAGE ON PRINCIPLE RESIDENCE	\$100,001 - \$250,000
SP	TD AUTO FINANCE	NOVEMBE R 2011	AUTO LOAN	\$15,001 - \$50,000

**SCHEDULE VIII - POSITIONS**

Name THADDEUS GEORGE MCCOTTER

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PRESIDENT	SCREAMING LEMUR PUBLISHING COMPANY LLC - REP MCCOTTER IS SOLE OWNER AND PRESIDENT OF THE COMPANY WHOSE SOLE PURPOSE IS TO RECEIVE COMPENSATION FROM BOOK SALES. SEE SECTION IX FOR ADDITIONAL DETAILS.

# SCHEDULE IX - AGREEMENTS

Name THADDEUS GEORGE MCCOTTER

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
6/23/09	INTERCOLLEGIATE STUDIES INSTITUTE INC (ISI), THE PUBLISHER AND THADDEUS MCCOTTER	CONGRESSMAN MCCOTTER WROTE A BOOK OF APPROXIMATELY 50,000 WORDS IN LENGTH. IN EXCHANGE, THE CONGRESSMAN IS TO RECEIVE COMPENSATION OF 10% OF ALL GROSS REVENUES PAID TO THE PUBLISHER.
6/23/09	ISI CONTINUED	IN 2011, CONGRESSMAN MCCOTTER RECEIVED \$1908 IN COMPENSATION UNDER THE AGREEMENT. AFTER THE CONTRACT EXPIRES, THERE ARE NO AGREEMENTS OF FUTURE EMPLOYMENT WITH ISI. THERE WAS NO REQUIRED LEAVE OF ABSENCE FROM GOVT SERVICE TO COMPLETE OR PROMOTE THE BOOK.
06/23/09	ISI CONTINUED	FURTHERMORE, THERE ARE NO PROVISIONS TO CONTINUE OR DEFER ANY PAYMENTS BY ISI AS ALL COMPENSATION IS BASED ON GROSS REVENUES FROM BOOKS SALES. FINALLY, THERE IS NO CONTINUING PARTICIPATION IN ANY EMPLOYEE WELFARE OR BENEFITS PLAN BY ISI OR ITS AFFILIATES