

MAY 27 2015

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UNITED STATES HOUSE OF REPRESENTATIVES
2013 FINANCIAL DISCLOSURE STATEMENTForm A
For Use by Members, Officers, and Employees

LEGISLATIVE RESOURCE CENTER

2015 JUN -3 PM 1:25

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Name: Nick Joe Rahall, II

Daytime Telephone: _____

FILER STATUS	<input checked="" type="checkbox"/> Member of or Candidate for U.S. House of Representatives	State: WV District: 3rd	<input type="checkbox"/> Officer or Employee	Employing Office: _____
REPORT TYPE	<input type="checkbox"/> 2013 Annual (Due: May 15, 2014)	<input type="checkbox"/> Amendment	<input checked="" type="checkbox"/> Termination Date: 12/31/14	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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BLOCK A		BLOCK B													BLOCK C								BLOCK D												BLOCK E
Asset and/or Income Source		Value of Asset													Type of Income								Amount of Income												Transaction
<p>Indicate (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use only ticker symbols).</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For bank and other cash accounts, total the amounts in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.</p> <p>For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.</p>		<p>Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."</p> <p>*Column M is for assets held by your spouse or dependent child in which you have no interest.</p>													<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.</p>								<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.</p> <p>*Column XII is for assets held by your spouse or dependent child in which you have no interest.</p>												<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period.</p> <p>If only a portion of an asset was sold, please indicate as follows: (S (part)).</p> <p>Leave this column blank if there are no transactions that exceeded \$1,000.</p>
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	NONE								None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income over \$1,000,000*	
SP, DC, JT		EIF																																	
SP																																			
DC																																			
JT																																			
Examples:		Indefinite																																	
Simon & Schuster																																			
ABC Hedge Fund																																			
EGR Industries, Inc. -																																			
Investment Bldg & Land,																																			
Beckley, WV - 1/2 with																																			
brother																																			

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Nick Joe Rahall, II

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	EIF	A None	B \$1-\$1,000	C \$1,001-\$15,000	D \$15,001-\$50,000	E \$50,001-\$100,000	F \$100,001-\$250,000	G \$250,001-\$500,000	H \$500,001-\$1,000,000	I \$1,000,001-\$5,000,000	J \$5,000,001-\$25,000,000	K \$25,000,001-\$50,000,000	L Over \$50,000,000	M Spouse/DC Asset over \$1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II \$1-\$200	III \$201-\$1,000	IV \$1,001-\$2,500	V \$2,501-\$5,000	VI \$5,001-\$15,000	VII \$15,001-\$50,000	VIII \$50,001-\$100,000	IX \$100,001-\$1,000,000	X \$1,000,001-\$5,000,000	XI Over \$5,000,000	XII Spouse/DC Asset with Income over \$1,000,000*	P, S, S(part), or E	
	Hilton Head, SC				X												X						X														
	Timeshare Property - 2 week peak season																																				
	Wells Fargo Advisors									X							X	X	X																		
	Account - See Attachment #1																																				
	5.38% Interest SNI	X																				Partnership	X														S
	Development Company																																				
	Partnership - Real Estate Rental, NY																																				
	2.80% Interest Cambridge		X																			Partnership	X														
	Heights Apts Partnership-																																				
	Real Estate Rental, MS																																				

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Nick Joe Rahall, II

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, Split, or E
	Wells Fargo Advisors					X																X														Sales/purchases under \$1,000
	IRA - Attachment #2																					X														Attachment #6
	Wells Fargo Advisors																					X														
	SEP IRA - See																																			
	Attachment #3																																			
	Wells Fargo Checking																																			
	Account																																			
	10 Acres Real Estate -																																			
	3533 Marathon Avenue,																																			
	Castle Hayne, NC																																			

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Nick Joe Rahall, II

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BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	NONE																																			
	DIVIDENDS																																			
	RENT																																			
	INTEREST																																			
	CAPITAL GAINS																																			
	EXCEPTED/BLIND TRUST																																			
	TAX-DEFERRED																																			
	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)																																			
	Trust																																			
	None																																			
	\$1-\$200																																			
	\$201-\$1,000																																			
	\$1,001-\$2,500																																			
	\$2,501-\$5,000																																			
	\$5,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	Over \$5,000,000																																			
	Spouse/DC Asset with Income over \$1,000,000*																																			
					</																															

SCHEDULE B - TRANSACTIONS

Name: Nick Joe Rahall, II

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YY) or Quantity, Month, or Bi-weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
Example	Mega Corp. Stock			X		X	3/5/13		X									
	Wells Fargo Advisors Account - See Attachment #5		X			X	See Attached				X							
	Wells Fargo Advisors Account - See Attachment #5	X				N/A	See Attached			X								
	Wells Fargo SEP IRA - See See Attachment #6		X			X	See Attached		X									
	Wells Fargo SEP IRA - See See Attachment #6	X				N/A	See Attached		X									
	Wells Fargo Advisors NJR Charitable Remainder Unit Trust - Transferred to Wells Fargo Advisors personal account				X	N/A	1/6/14					X						

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Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: **Nick Joe Rahall, II**

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
	<i>Example</i> First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
	Bank of America	12/14	Credit Charges		X									
	Chase Home Finance LLC,	06/01	Mortgage on 3533 Marathon				X							
	Columbus, OH		Avenue, Castle Hayne, NC											

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Vice President & Secretary (Uncompensated)	EGR Industries, Inc.
Member (Uncompensated)	Professional Advisory Board of ALSAC/St. Jude's Children's Research Hospital
Member (Uncompensated)	American Task Force for Lebanon Board of Directors
Partner (Uncompensated)	Atlantic Equine Services, LLC (Family Partnership)

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: **Nick Joe Rahall, II**

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
	American Express	12/14	Credit Charges		X									
	Chase #2130	12/14	Credit Charges	X										
	Discover	12/14	Credit Charges		X									

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization

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Amount of Liability

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability											
				A	B	C	D	E	F	G	H	I	J	K	
				\$10,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)	
	Example	5/98	Mortgage on Rental Property, Dover, DE				X								
	Specialized Loan Servicing, LLC (Formerly Morgan Stanley), Littleton, CO	01/07	Home Equity Loan on personal residence at Beckley, WV					X							
	Quicken Loans, Inc., Detroit, MI	10/06	Mortgage on Washington, DC personal residence						X						

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

[illegible]

SCHEDULE D - LIABILITIES

Name: Nick Joe Rahall, II

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
	Citi Cards	12/14	Credit Charges		X									

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization

Use additional sheets if more space is required.



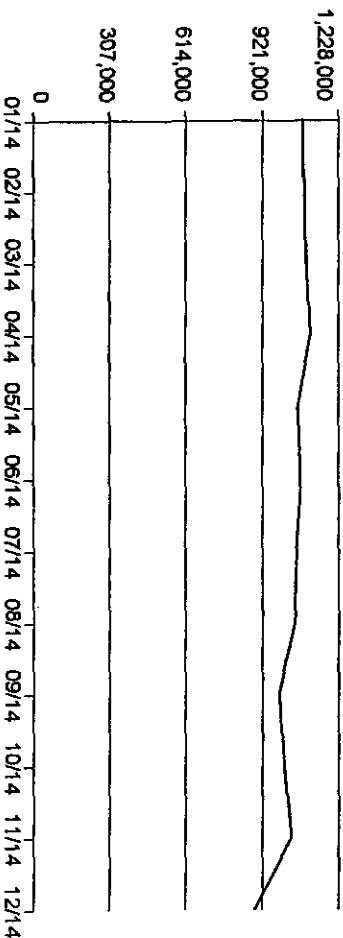
SNAPSHOT

NICK JOE RAHALL II

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DECEMBER 1, 2014 - DECEMBER 31, 2014

Value over time



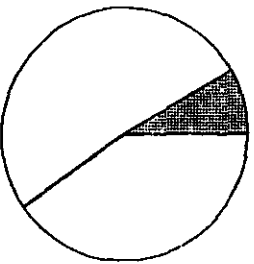
Attachment 1 - p. 1

Progress summary

	THIS PERIOD	THIS YEAR
Opening value	\$1,038,295.09	\$852,359.99
Cash deposited	12,500.00	371,660.02
Securities deposited	0.00	60,512.60
Cash withdrawn	-150,000.00	-465,000.00
Securities withdrawn	0.00	0.00
Income earned	1,524.32	9,990.20
Change in value	-11,691.88	61,104.72
Closing value	\$890,627.53	\$890,627.53

Portfolio summary

CURRENT



ASSETS



ASSET TYPE	PREVIOUS VALUE ON NOV 30	%	CURRENT VALUE ON DEC 31	%	ESTIMATED ANN. INCOME
Cash and sweep balances	495,985.34	47.77	360,796.03	40.51	36
Stocks, options & ETFs	468,257.00	45.10	455,835.00	51.18	11,136
Fixed income securities	74,052.75	7.13	73,996.50	8.31	0
Mutual funds	0.00	0.00	0.00	0.00	0
Asset value	\$1,038,295.09	100%	\$890,627.53	100%	\$11,172

Additional information

Gross proceeds	THIS PERIOD	THIS YEAR
	12,617.87	213,253.65

Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more days prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	ACCOUNT	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP		40.51	0.01	360,796.03	36.07
Interest Period 12/01/14 - 12/31/14					

Total Cash and Sweep Balances 40.51 \$360,796.03 \$36.07

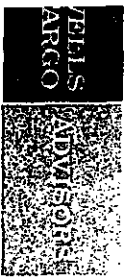
APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	ACCOUNT	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
AGEN INC		19.67	1,100	63.94	70,334.00	159,2900	175,219.00	104,885.00	3,476.00	1.98
CDONALDS CORP		10.52	1,000	13.18	13,352.58	93,7000	93,700.00	80,347.42	3,400.00	3.62
JRPHY OIL CORP			200	52.50	10,655.00		10,104.00	-551.00		
JR			100	48.90	4,952.00		5,052.00	100.00		
quired 11/12/14 S										
quired 11/28/14 S										





NICK JOE RAHALL II

DECEMBER 1, 2014 - DECEMBER 31, 2014

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	ACCOUNT	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
VAL-MART STORES INC		1.70	300	\$52.02	\$15,607.00	50.5200	\$15,156.00	-\$451.00	\$420.00	2.77
acquired 01/15/85 L nc		19.29	2,000	1.27	2,587.37	85.8800	171,760.00	169,172.63	3,840.00	2.23
total Stocks and ETFs		51.18			\$101,880.95		\$455,835.00	\$353,954.05	\$11,136.00	2.44
total Stocks, options & ETFs		51.18			\$101,880.95		\$455,835.00	\$353,954.05	\$11,136.00	2.44

Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

Municipal Bonds

DESCRIPTION	ACCOUNT	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
									ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
ANAWHA PUTNAM CNTY											
UNTINGTON CHARLESTON WV											
IF MTG 84A OY=11.5%											
PN 0.000% DUE 12/01/16											
TD 09/19/84											
loody AAA, S&P NR											
USIP 483686BF7											
acquired 01/15/96 L nc			50,000	89.54	44,771.39		49,331.00	4,559.61			
				31.25	15,628.00						
				89.40	22,350.80		24,665.50	2,314.70			
acquired 05/23/96 L nc			25,000	30.93	7,734.25						
total		8.31	75,000	\$89.49	\$67,122.19	98.6620	\$73,996.50	\$6,874.31	N/A	N/A	N/A
				\$31.14	\$23,362.25						

NICK JOE RAHALL II

DECEMBER 1, 2014 - DECEMBER 31, 2014

1 - p. 4

Fixed Income Securities

Municipal Bonds continued

DESCRIPTION	ACCOUNT	% OF QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
Total Municipal Bonds	8.31	75,000		\$67,122.19 \$23,362.25		\$73,996.50	\$6,874.31		
Total Fixed Income Securities	8.31			\$67,122.19 \$23,362.25		\$73,996.50	\$6,874.31		

Cost Information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.



SNAPSHOT

Page 1 of 8

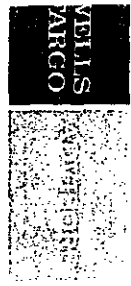
DECEMBER 1, 2014 - DECEMBER 31, 2014

Attachment 2 - p. 1

As a Wells Fargo Advisors Client, you can easily simplify your finances by combining all your investing and banking into a single, easy-to-manage relationship. By upgrading to the Command Asset Program, you'll have access to many more features and benefits to help you manage your finances. You'll see all your investing and banking activity on one comprehensive monthly statement. It's as simple as talking with Your Financial Advisor. Ask them today about the Command Asset Program.

Portfolio summary

EXSP7CDHIS M0A301 NNNNNNNNNNNN NNN NNN NNN NNN NNN 44070000 4 4



MELINDA ROSS (IRA)
FCC AS CUSTODIAN

DECEMBER 1, 2014 - DECEMBER 31, 2014

Additional information

Gross proceeds	THIS PERIOD 0.00	THIS YEAR 6,262.50
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Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	0.01	6,836.29	0.68
Interest Period 12/01/14 - 12/31/14			

Total Cash and Sweep Balances **\$6,836.29** **\$0.68**

APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
PLC SPONS ADR							ANNUAL INCOME	ANNUAL YIELD (%)
acquired 05/31/12	72	36.71	2,678.12	38.1200	2,744.64	66.52	172.80	6.29
Total Stocks and ETFs			\$2,678.12		\$2,744.64	\$66.52	\$172.80	6.30
Total Stocks, options & ETFs			\$2,678.12		\$2,744.64	\$66.52	\$172.80	6.30

MELINDA ROSS (IRA)
FCC AS CUSTODIAN

DECEMBER 1, 2014 - DECEMBER 31, 2014

Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

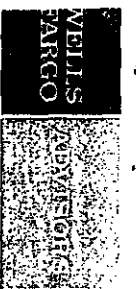
Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME	ANNUAL YIELD (%)
FRANKLIN CORFOLION ALLOCATION FUND CLASS C								
acquired 12/17/10 net reinvestments in	273.56900 12.43800	12.24 17.03	3,348.48 211.91		4,872.26 221.52	1,523.78 9.61		
total	286.00700	\$12.45	\$3,560.39	17.8100	\$5,093.78	\$1,533.39	\$10.09	0.20
Client Investment (Excluding Reinvestments)								
Gain/Loss on Client Investment (Including Reinvestments)								
OHN HANCOCK II LIFESTYLE GROWTH FUND CLASS C								
acquired 03/27/07 net reinvestments in	266.13400 60.38800	15.03 12.19	4,007.50 736.24		4,356.61 988.57	349.11 252.33		
total	326.52200	\$14.53	\$4,743.74	16.3700	\$5,345.18	\$601.44	N/A	N/A
Client Investment (Excluding Reinvestments)								
Gain/Loss on Client Investment (Including Reinvestments)								
total Open End Mutual Funds								
			\$8,304.13		\$10,438.96	\$2,134.83	\$10.09	0.10
total Mutual Funds			\$8,304.13		\$10,438.96	\$2,134.83	\$10.09	0.10

This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS.
Cost information is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.





SNAPSHOT

NICK JOE RAHALL (SEP IRA)
FCC AS CUSTODIAN

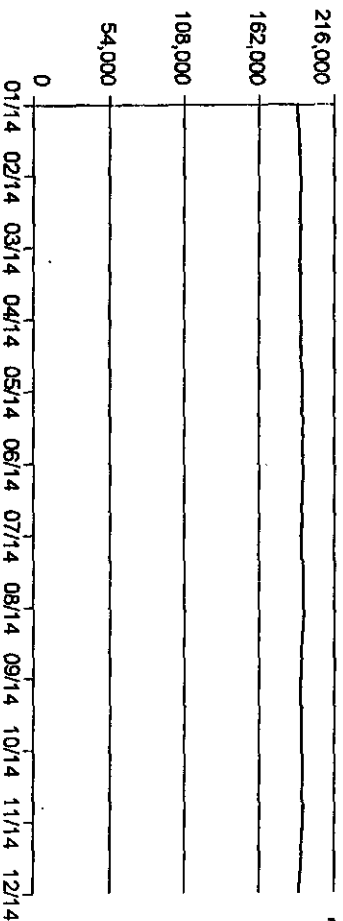
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DECEMBER 1, 2014 - DECEMBER 31, 2014

Progress summary

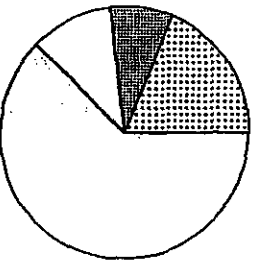
	THIS PERIOD	THIS YEAR
Opening value	\$192,853.07	\$189,933.27
Cash deposited	0.00	0.00
Securities deposited	0.00	0.00
Cash withdrawn	0.00	0.00
Securities withdrawn	0.00	0.00
Income earned	3,658.04	4,833.09
Change in value	-6,288.58	-4,543.83
Closing value	\$190,222.53	\$190,222.53

Value over time



Portfolio summary

CURRENT



ASSETS

ASSET TYPE	PREVIOUS VALUE ON NOV 30	%	CURRENT VALUE ON DEC 31	%	ESTIMATED ANN. INCOME
Cash and sweep balances	126,505.35	65.60	119,022.57	62.57	11
Stocks, options & ETFs	15,283.00	7.92	20,061.00	10.55	1,577
Fixed Income securities	15,083.12	7.82	15,175.66	7.98	866
Mutual funds	35,981.60	18.66	35,963.30	18.91	231
Asset value	\$192,853.07	100%	\$190,222.53	100%	\$2,685



NICK JOE RAHALL (SEP IRA)
FCC AS CUSTODIAN

DECEMBER 1, 2014 - DECEMBER 31, 2014

Additional information

Gross proceeds

THIS PERIOD
0.00

THIS YEAR
18,890.72

Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more days prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
ANK DEPOSIT SWEEP	62.57	0.01	119,022.57	11.90
Interest Period 12/01/14 - 12/31/14				

Total Cash and Sweep Balances \$119,022.57 \$11.90

APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED	
					ANNUAL INCOME	ANNUAL YIELD (%)
ARLYLE GROUP LP INIT LTD PARTNERSHIP INT	2.89	200	27.5000	5,500.00	128.00	2.32
SHARES SILVER TR ET	2.38	300	15.0600	4,518.00	N/A	N/A
NN ENERGY LLC UNITS	2.66	500	10.1300	5,065.00	1,449.50	28.61
OWERSHARES DB ET	2.62	200	24.8900	4,978.00	N/A	N/A
3A						

DECEMBER 1, 2014 - DECEMBER 31, 2014

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ANNUAL INCOME	ANNUAL YIELD (%)
Total Stocks and ETFs	10.55			\$20,061.00	\$1,577.50	7.86
Total Stocks, options & ETFs	10.55			\$20,061.00	\$1,577.50	7.86

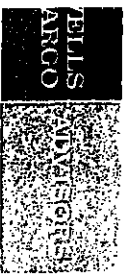
Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

Corporate Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
JNION CARBIDE	3.32	5,000	126.4370	6,321.85	98.44	393.75	6.22
GLOBAL NOTES							
ION CALL LIFE							
PN 7.875% DUE 04/01/23							
TD 04/01/93 FC 10/01/93							
body BAA2, S&P BBB							
USIP 905572AD5							
SOLDMAN SACHS GROUP INC	4.65	7,000	126.4830	8,853.81	118.13	472.50	5.33
UBORDINATE NOTES							
PN 6.750% DUE 10/01/37							
TD 10/03/07 FC 04/01/08							
body BAA2, S&P BBB+							
USIP 36141GFD1							
Total Corporate Bonds	7.98	12,000		\$15,175.66	\$216.57	\$866.25	5.71
Total Fixed Income Securities	7.98			\$15,175.66	\$216.57	\$866.25	5.71





NICK JOE RAHALL (SEP IRA)
FCC AS CUSTODIAN

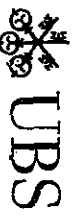
DECEMBER 1, 2014 - DECEMBER 31, 2014

Mutual Funds

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED	
					ANNUAL INCOME	ANNUAL YIELD (%)
STON FUNDS	4.32	324,88000	25.2800	8,212.96	15.75	0.19
ONTAG & CALDWELL GROWTH						
ID CLN						
ACGFX						
Reinvestment						
ALLIANCEBERNSTEIN FDS	4.59	196,33900	44.4900	8,735.12	N/A	N/A
SMALL CAP GROWTH FUND						
CLASS A						
UASX						
Reinvestment						
AMF LARGE CAP EQUITY	10.00	1,755,79200	10.8300	19,015.22	215.96	1.13
UND CLASS AMF						
CAX						
Reinvestment						
Total Open End Mutual Funds	18.91			\$35,963.30	\$231.71	0.64
Total Mutual Funds	18.91			\$35,963.30	\$231.71	0.64

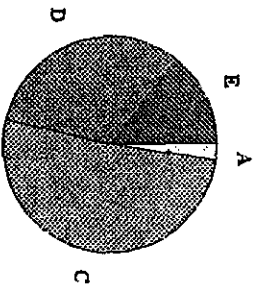


Your account balance sheet

Summary of your assets

	Value	Percentage of your account
A Cash and money balances	1,276.18	2.55%
B Cash alternatives	0.00	0.00%
C Equities	25,699.63	51.30%
D Fixed income	16,038.51	32.02%
E Non-traditional	7,078.27	14.13%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$50,092.59	100.00%
Value of your account	\$50,092.59	

Your current asset allocation



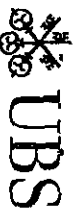
Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the important information about your statement on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	Year to date
S&P 500	2.69%	13.98%
Russell 3000	2.42%	12.56%
MSCI - Europe, Australia & Far East	1.37%	-1.08%
Barclays Capital U.S. Aggregate Bond Index	0.70%	5.86%

Interest rates on

3-month Treasury bills: 0.01%
One-month LIBOR: 0.15%



Portfolio Management Program

Account name:
Account type:

NICK J RAHALL JR.
IRA Rollover

Your Financial Advisor:
THE HANDO FELDMAN ADAMS GROUP
301-718-5000/800-638-2909

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance	Closing balance	Price per share	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	0.00	2.47					
UBS BANK USA DEP ACCT	1,047.25	1,273.71					250,000.00
Total	\$1,047.25	\$1,276.18					

Equities

Closed end funds & Exchange traded products

Total/reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share	Value	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
FIRST TR EUROPE ALPHADEX ETF									
Symbol: FEP									
Trade date: Aug 20, 14	92,000	32.045	2,948.22	2,948.22	30.530	2,808.76	-139.46		ST
Trade date: Aug 20, 14	2,000	32.020	64.04	64.04	30.530	61.06	-2.98		ST
EAL: \$58 Current yield: 2.02%									

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Portfolio Management Program

Account name:
Account type:

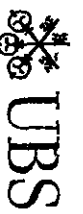
NICK J RAHALL JR.
IRA Rollover

Your Financial Advisor:
THE HANDO FELDMAN ADAMS GROUP
301-718-5000/800-638-2909

Your assets • Equities • Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share	Value	Unrealized (tax) gain or loss (\$)	Investment return (%)	Holding period
FIRST TRUST MID CAP CORE									
Security total	94,000	32.045	3,012.26	3,012.26		2,869.82	-142.44	-142.44	
ALPHADEX FD									
Symbol: FNX									
Trade date: Aug 20, 14	35,000	52.732	1,845.64	1,845.64	52.610	1,841.35	-4.29		ST
Trade date: Aug 20, 14	3,000	52.636	157.91	157.91	52.610	157.83	-0.08		ST
EAI: \$13 Current yield: 0.65%									
Security total	38,000	52.725	2,003.55	2,003.55		1,999.18	-4.37	-4.37	
ISHARES S&P 500 VALUE ETF									
Symbol: IVE									
Trade date: Aug 12, 11	15,000	54.160	812.40	812.40	93.850	1,407.75	595.35		LT
Trade date: Aug 20, 14	2,000	91.005	182.01	182.01	93.850	187.70	5.69		ST
EAI: \$33 Current yield: 2.07%									
Security total	17,000	58.495	994.41	994.41		1,595.45	601.04	601.04	
ISHARES S&P 500 GROWTH ETF									
Symbol: IGV									
Trade date: Aug 12, 11	17,000	63.820	1,084.94	1,084.94	113.200	1,924.40	839.46		LT
Trade date: Aug 20, 14	4,000	107.470	429.88	429.88	113.200	452.80	22.92		ST
EAI: \$31 Current yield: 1.30%									
Security total	21,000	72.134	1,514.82	1,514.82		2,377.20	862.38	862.38	
SPDR S&P 600 SMALL CAP ETF									
Symbol: SLY									
Trade date: Jul 15, 13	12,000	92.450	1,109.40	1,109.40	105.659	1,267.90	158.50	158.50	LT
EAI: \$14 Current yield: 1.10%									
VANGUARD REIT ETF									
Symbol: VNQ									
Trade date: Aug 12, 11	6,000	54.790	328.74	328.74	80.570	483.42	154.68	154.68	LT
EAI: \$17 Current yield: 3.52%									
VANGUARD FTSE EMERGING MARKETS ETF									
Symbol: VWO									

continued next page



Portfolio Management Program

Account name: NICK J RAHALL JR.
Account type: IRA Rollover

Your Financial Advisor:
THE HANDO FELDMAN ADAMS GROUP
301-718-5000/800-638-2909

Your assets , Equities , Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share	Value	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Aug 12, 11	45,000	42.220	1,899.90	1,899.90	42.170	1,897.65	-2.25	-2.25	LT
EAI: \$53 Current yield: 2.79%									
Total			\$10,863.08	\$10,863.08		\$12,490.62	\$1,627.54	\$1,627.54	
Total estimated annual income: \$219									

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

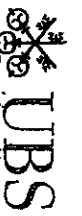
Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share	Value	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ABERDEEN INTERNATIONAL EQUITY FUND									
INSTITUTIONAL CLASS									
Symbol: GIGIX									
Trade date: Aug 12, 11	15,809	12.986	205.30	205.30	15.040	237.76	32.46		LT
Trade date: Aug 20, 14	44,788	16,199	725.56	725.56	15.040	673.61	-51.95		ST
Total reinvested	1,318	14.466		19.07	15.040	19.83	0.76		
EAI: \$39 Current yield: 4.19%									
Security total	61,915	15,342	930.86	949.93		931.20	-18.73	0.34	
ALLIANCE BERNSTEIN SMALL CAP GROWTH FUND									
ADVISOR CLASS									
Symbol: QUAYX									
Trade date: Sep 21, 12	10,623	42.118	447.43	447.43	52.580	558.56	111.13		LT
Trade date: Aug 20, 14	0,118	53.135	6.27	6.27	52.580	6.20	-0.07		ST
Total reinvested	1,050	47.095		49.45	52.580	55.21	5.76		
Security total	11,791	42.672	453.70	503.15		619.97	116.82	166.27	
CAMBIAR SMALL CAP FUND									

continued next page



Portfolio Management Program

Account name: NICK J RAHALL JR.
Account type: IRA Rollover

Your Financial Advisor:
THE HANDO FELDMAN ADAMS GROUP
301-718-5000/800-638-2909

Your assets > Equities > Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share	Value	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
INSTITUTIONAL CLASS									
Symbol: CAMZX									
Trade date: Aug 12, 11	13,122	16.033	210.39	210.39	22.730	298.25	87.86		LT
Trade date: Aug 20, 14	11,562	23.179	268.00	268.00	22.730	262.80	-5.20		ST
Total reinvested	2,367	20.435		48.38	22.730	53.81	5.43		
Security total	27,051	19.473	478.39	526.77		614.86	88.09	136.47	
COLUMBIA SELECT LGE									
CAP GWTH Z									
Symbol: UMLGX									
Trade date: Aug 20, 14	120,821	18.709	2,260.56	2,260.56	19.680	2,377.75	117.19	117.19	ST
FIRST EAGLE OVERSEAS									
FUND CLASS I									
Symbol: SGOIX									
Trade date: Sep 21, 12	35,606	22.970	817.87	817.87	23.950	852.76	34.89		LT
Total reinvested	4,801	22.497		108.01	23.950	114.98	6.97		
EAI: \$21 Current yield: 2.17%									
Security total	40,407	22.914	817.87	925.88		967.74	41.86	149.87	
GABELL EQUITY INCOME									
FUND CLASS I									
Symbol: GCIEX									
Trade date: Aug 12, 11	40,253	19.619	789.76	789.76	29.990	1,207.19	417.43		LT
Trade date: Aug 20, 14	5,469	30.261	165.50	165.50	29.990	164.02	-1.48		ST
Total reinvested	4,140	25.478		105.48	29.990	124.16	18.68		
EAI: \$55 Current yield: 3.68%									
Security total	49,862	21.274	955.26	1,060.74		1,495.36	434.63	540.11	
JP MORGAN MID CAP									
VALUE FUND CLASS S									
Symbol: JMVXX									
Trade date: Oct 11, 11	9,349	21.949	205.21	205.21	39.340	367.79	162.58		LT
Trade date: Aug 20, 14	16,617	37.620	625.14	625.14	39.340	653.71	28.57		ST
Total reinvested	0,738	31.260		23.07	39.340	29.03	5.96		

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Portfolio Management Program

Account name:
Account type:

NICK J RAHALL JR.
IRA Rollover

Your Financial Advisor:
THE HANDO FELDMAN ADAMS GROUP
301-718-5000/800-638-2909

Your assets , Equities , Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share	Value	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
O'SHAUGHNESSY ENHANCED DIVIDEND FUND CLASS I									
EAI: \$6 Current yield: 0.57%									
Security total	26,704	31.959	830.35	853.42		1,050.53	197.11	220.18	
MARKETS CLASS Y									
Symbol: ODFIX									
Trade date: Aug 20, 14	73,735	13.609	1,003.53	1,003.53	12.284	905.75	-97.78	-97.78	ST
EAI: \$49 Current yield: 5.41%									
MARKETS CLASS Y									
Symbol: ODVXX									
Trade date: Aug 12, 11	47,083	31.549	1,485.46	1,485.46	38.450	1,810.33	324.87		LT
Total reinvested	2,118	32.247		68.30	38.450	81.44	13.14		
EAI: \$8 Current yield: 0.42%									
Security total	49,201	31.580	1,485.46	1,553.76		1,891.77	338.01	406.31	
GROWTH FUND									
Symbol: RPMGX									
Trade date: Aug 20, 14	12,924	77.647	1,003.52	1,003.52	81.860	1,057.95	54.43	54.43	ST
SECTOR FUND I									
Symbol: VAPIX									
Trade date: Jul 10, 13	57,126	15.225	869.80	869.80	13.910	794.62	-75.18	-75.18	LT
EAI: \$5 Current yield: 0.63%									
VOYA GLOBAL REAL ESTATE FUND CLASS I									
Symbol: IGLUX									
Trade date: Aug 12, 11	21,670	15.500	335.90	335.90	20.430	442.71	106.81		LT
Total reinvested	2,878	17.557		50.53	20.430	58.80	8.27		
EAI: \$11 Current yield: 2.19%									
Security total	24,548	15.742	335.90	386.43		501.51	115.08	165.61	

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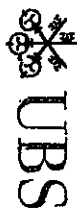
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Portfolio Management Program

Account name: NICK J RAHALL JR.
Account type: IRA RolloverYour Financial Advisor:
THE HANDO FELDMAN ADAMS GROUP
301-718-5000/800-638-2909

Your assets, Equities, Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share	Value	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Total			\$11,425.20	\$11,897.49		\$13,209.01	\$1,311.53	\$1,783.81	
Total estimated annual income: \$194									

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share	Value	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ABERDEEN GLOBAL HIGH INCOME FUND CLASS I									
Symbol: JHYX									
Trade date: Sep 15, 11	111,277	9.563	1,064.15	1,064.15	9.780	1,088.28	24.13		LT
Total reinvested	39,496	9.853		389.16	9.780	386.27	-2.89		
EAI: 394 Current yield: 6.37%									
Security total	150,773	9.639	1,064.15	1,453.31		1,474.55	21.24	410.40	
BLACKROCK STRATEGIC INCOME I									
Symbol: BSIX									
Trade date: Jun 26, 13	179,226	9.969	1,786.88	1,786.88	10.300	1,846.03	59.15		LT
Trade date: Aug 20, 14	15,379	10.330	158.87	158.87	10.300	158.40	-0.47		ST
EAI: \$47 Current yield: 2.34%									
Security total	194,605	9.998	1,945.75	1,945.75		2,004.43	58.68	58.68	
FEDERATED FLOATING RATE STRATEGIC INCOME FD CL									
Symbol: FFRSX									
Trade date: Jun 27, 13	89,784	10.000	897.84	897.84	9.950	893.35	-4.49		LT

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Portfolio Management Program

Account name: NICK J RAHALL JR.
Account type: IRA Rollover

Your Financial Advisor: THE HANDO FELDMAN ADAMS GROUP
301-718-5000/800-638-2909

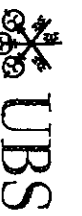
Your assets , Fixed income , Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share	Value	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Aug 20, 14	10.730	10.000	107.30	107.30	9.950	106.76	-0.54		ST
EAI: \$36 Current yield: 3.60%									
Security total	100.514	10.000	1,005.14	1,005.14		1,000.11	-5.03	-5.03	
GOLDMAN SACHS STRATEGIC INCOME CLI									
Symbol: GSZIX									
Trade date: Aug 12, 11	170.597	9.789	1,670.09	1,670.09	10.390	1,772.50	102.41		LT
Trade date: Sep 15, 11	0.721	9.694	6.99	6.99	10.390	7.49	0.50		LT
Total reinvested	20.546	10.238		210.36	10.390	213.47	3.11		
EAI: \$53 Current yield: 2.66%									
Security total	191.864	9.837	1,677.08	1,887.44		1,993.46	106.02	316.38	
INVESCO FLOATING RATE FUND CLASS Y									
Symbol: AFRYX									
Trade date: Apr 4, 14	111.895	7.969	891.80	891.80	7.850	878.37	-13.43		ST
Trade date: Aug 20, 14	15.016	7.920	118.93	118.93	7.850	117.88	-1.05		ST
EAI: \$44 Current yield: 4.42%									
Security total	126.911	7.964	1,010.73	1,010.73		996.25	-14.48	-14.48	
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS S									
Symbol: JSOSX									
Trade date: Aug 12, 11	153.712	11.539	1,773.83	1,773.83	11.770	1,809.18	35.35		LT
Trade date: Aug 20, 14	0.249	11.847	2.95	2.95	11.770	2.93	-0.02		ST
Total reinvested	15.540	11.666		181.30	11.770	182.91	1.61		
EAI: \$31 Current yield: 1.55%									
Security total	169.501	11.552	1,776.78	1,958.08		1,995.02	36.94	218.24	
METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS I									
Symbol: MWMTX									
Trade date: Sep 15, 11	113.282	10.499	1,189.46	1,189.46	10.930	1,238.17	48.71		LT

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Portfolio Management Program

Account name: NICK J RAHALL JR.
Account type: IRA Rollover

Your Financial Advisor:
THE HANDO FELDMAN ADAMS GROUP
301-718-5000/800-638-2909

Your assets , Fixed income , Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share	Value	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Oct 11, 11	57.971	10.370	601.16	601.16	10.930	633.62	32.46		LT
Total reinvested	26.476	10.725		283.97	10.930	289.38	5.41		
EAI: \$50 Current yield: 2.31%									
Security total	197.729	10.492	1,790.62	2,074.59		2,161.17	86.58	370.55	
PRUDENTIAL TOTAL RETURN									
BOND FUND CLASS Z									
Symbol: PDBZX									
Trade date: Apr 24, 14	102.696	14.230	1,461.42	1,461.42	14.500	1,489.09	27.67		ST
Trade date: Aug 20, 14	45.341	14.420	653.82	653.82	14.500	657.44	3.62		ST
EAI: \$78 Current yield: 3.63%									
Security total	148.037	14.289	2,115.24	2,115.24		2,146.53	31.29	31.29	
WESTERN ASSET CORE PLUS									
BOND FUND CLASS I									
Symbol: WACPX									
Trade date: Sep 15, 11	123.062	11.070	1,362.30	1,362.30	11.670	1,436.13	73.83		LT
Trade date: Oct 11, 11	54.514	10.969	598.01	598.01	11.670	636.18	38.17		LT
Trade date: Aug 20, 14	0.002	10.000	0.02	0.02	11.670	0.02			ST
Total reinvested	16.680	11.423		190.54	11.670	194.66	4.12		
EAI: \$78 Current yield: 3.44%									
Security total	194.258	11.072	1,960.33	2,150.87		2,266.99	116.12	306.66	
Total			\$14,345.82	\$15,601.15		\$16,038.51	\$437.36	\$1,692.69	
Total estimated annual income: \$511									



Portfolio Management Program

Account name: NICK J RAVALL JR.
Account type: IRA Rollover

Your Financial Advisor:
THE HANDO FELDMAN ADAMS GROUP
301-718-5000/800-638-2909

Your assets (continued)

Non-traditional

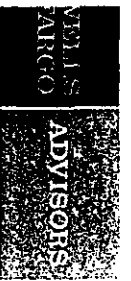
Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

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Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.
Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share	Value	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
GOLDMAN SACHS									
MULTI-MANAGER ALTS FUND CLASS I									
Symbol: GSMMX									
Trade date: Apr 17, 14	326,650	10.478	3,422.81	3,422.81	10.880	3,553.95	131.14	131.14	ST
NEUBERGER BERMAN ABS RET MULTI-MGR CLASS I									
Symbol: NABIX									
Trade date: Apr 17, 14	310,657	11.049	3,432.56	3,432.56	11.120	3,454.50	21.94		ST
Trade date: Aug 20, 14	6,279	11.100	69.70	69.70	11.120	69.82	0.12		ST
Security total	316,936	11.050	3,502.26	3,502.26		3,524.32	22.06		
Total			\$6,925.07	\$6,925.07		\$7,078.27	\$153.20	\$153.20	



2014 Consolidated Forms 1099

As of Date: 02/19/15

NICK JOE RAHALL II

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Reportable Tax Information

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder. Taxpayers are ultimately responsible for the accuracy of their tax returns.

1099-B Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Short Term Gains/Losses - Report on Form 8949, Part I with Box A checked

Box 2: Type of Gain or Loss - Short Term

Box 3: Basis Reported to the IRS

Box 5: Box not Checked (Covered Security)

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description CUSIP	Quantity Sold (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
AGRIUM INC CUSIP: 008916108	85.00000	02/05/2014	02/10/2014	7,428.97	7,273.72		0.00	155.25	
	10.00000	02/05/2014	02/10/2014	868.04	855.73		0.00	12.31	
	200.00000	01/22/2014	02/20/2014	17,657.43	18,657.00		0.00	-999.57	
Subtotals	295.00000			\$26,954.44	\$26,786.45		\$0.00	(\$832.01)	
CELANESE CORPORATION SERIES A CUSIP: 150870103	115.00000	02/03/2014	02/24/2014	5,961.85	5,839.04		0.00	122.81	
	135.00000	11/29/2013	02/27/2014	7,120.88	7,688.00		0.00	-567.12	
Subtotals	250.00000			\$13,082.73	\$13,527.04		\$0.00	(\$444.31)	
GIGAMON INC CUSIP: 37518B102	300.00000	04/08/2014	05/08/2014	5,063.28	5,357.97		0.00	-294.69	
	200.00000	04/08/2014	05/08/2014	3,375.53	3,577.00		0.00	-201.47	
Subtotals	500.00000			\$8,438.81	\$8,934.97		\$0.00	(\$496.16)	
MARATHON PETROLEUM CORP CUSIP: 56585A102	150.00000	12/15/2014	12/17/2014	12,617.87	12,331.50		0.00	286.37	
MASTEC INC CUSIP: 576323109	175.00000	08/26/2013	01/07/2014	5,626.40	5,825.75		0.00	-199.35	
MOOG INC CL A CUSIP: 615394202	125.00000	02/03/2014	02/12/2014	7,503.00	7,271.25		0.00	231.75	
	75.00000	02/03/2014	02/12/2014	4,499.54	4,362.75		0.00	136.79	
Subtotals	200.00000			\$12,002.54	\$11,634.00		\$0.00	\$368.54	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

2014 Consolidated Forms 1099

As of Date: 02/19/15

NICK JOE RAHALL II

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1099-B, Proceeds from Broker and Barter Exchange Transactions, Continued, Form NO. 1099-B, 07-15

Short Term Gains/Losses - Report on Form 8949, Part I with Box A checked

Box 2: Type of Gain or Loss - Short Term
Box 3: Basis Reported to the IRS
Box 5: Box not Checked (Covered Security)

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description	Quantity Sold (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Code (Box 1f)	Adjustments (Box 1g)	Gain/Loss Amount	Additional Information
PUMA BIOTECHNOLOGY INC CUSIP: 74587V107	200.00000	07/24/2014	07/29/2014	45,133.50	42,130.50		0.00	3,003.00	Short Sale
YUM BRANDS INC CUSIP: 988498101	200.00000	07/21/2014	11/04/2014	14,280.70	14,981.98		0.00	-701.28	
PUT HERBALIFE LTD \$65 EXP 08/01/14 CUSIP: N/A	2.00000	07/22/2014	07/30/2014	1,278.90	731.03		0.00	547.87	
PUT TESLA MOTORS \$190 EXP 06/21/14 CUSIP: N/A	1.00000	01/23/2014	01/27/2014	3,723.89	3,366.04		0.00	357.85	
PUT TESLA MOTORS \$260 EXP 04/19/14 CUSIP: N/A	1.00000	02/26/2014	02/26/2014	2,409.10	2,189.89		0.00	219.21	
PUT FACEBOOK INC \$75 EXP 04/19/14 CUSIP: N/A	2.00000	02/20/2014	03/14/2014	1,578.90	1,550.98		0.00	27.92	
PUT PUMA BIOTECHNOLOGY \$240 EXP 09/05/14 CUSIP: N/A	1.00000	07/30/2014	09/05/2014	0.00	3,291.04		0.00	-3,291.04	
PUT PUMA BIOTECHNOLOGY \$275 EXP 12/20/14 CUSIP: N/A	1.00000	09/10/2014	09/26/2014	5,328.84	4,651.04		0.00	677.80	
Totals (8949, Part I with Box A checked)				\$151,458.62	\$151,932.21		\$0.00	(\$476.59)	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.



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2014 Consolidated Forms 1099

As of Date: 02/19/15

NICK JOE RAHALL II

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1099-B Proceeds from Broker and Barter Exchange Transactions, continued

OMB NO. 1545-0715

Long Term Gains/Losses - Report on Form 8949, Part II with Box D checked

- Box 2: Type of Gain or Loss - Long Term
- Box 3: Basis Reported to the IRS
- Box 5: Box not Checked (Covered Security)

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Code (Box 1g)	Adjustments (Box 1h)	Gain/Loss Amount	Additional Information
CANADIAN NATURAL RESOURCES LTD CUSIP: 136385101	235.00000	03/20/2012	02/11/2014	7,998.53	8,407.83		0.00	-409.30	
	65.00000	04/20/2012	02/11/2014	2,212.37	2,162.75		0.00	49.62	
Subtotals	300.00000			\$10,210.90	\$10,570.58		\$0.00	(\$359.68)	
HEWLETT-PACKARD COMPANY CUSIP: 428236103	125.00000	08/17/2011	01/13/2014	3,501.45	4,020.81		0.00	-519.36	
	175.00000	03/04/2011	01/29/2014	4,976.33	7,539.23		0.00	-2,562.90	
Subtotals	300.00000			\$8,477.78	\$11,560.04		\$0.00	(\$3,082.26)	
Totals (8949, Part II with Box D checked)				\$18,688.68	\$22,130.62		\$0.00	(\$3,441.94)	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
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a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

2014 Consolidated Forms 1099

As of Date: 02/19/15

NICK JOE RAYALL II

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1099-B Proceeds from Broker and Dealer Exchange Transactions, continued
 -ong Term Gains/Losses - Report on Form 8949, Part II with Box E checked

Box 2: Type of Gain or Loss - Long Term
 Box 3: Basis Not Reported to the IRS
 Box 5: Box Checked (Noncovered Security)

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Code	Adjustments	Gain/Loss Amount	Additional Information
AMGEN INC CUSIP: 031162100	150.00000	01/01/2001	01/08/2014	17,322.20	9,591.00		0.00	7,731.20	
	100.00000	01/01/2001	01/23/2014	12,109.79	6,394.00		0.00	5,715.79	
Subtotals	250.00000			\$29,431.99	\$15,985.00		\$0.00	\$13,446.99	
CITIGROUP INC NEW CUSIP: 172967424	7.42105	11/06/2007	02/10/2014	355.94	2,669.36		0.00	-2,313.42	
	5.42105	11/06/2007	02/10/2014	260.01	1,953.51		0.00	-1,693.50	
	12.36842	01/08/2008	02/10/2014	593.23	3,562.38		0.00	-2,969.15	
	19.78948	10/09/2008	02/10/2014	949.18	3,047.50		0.00	-2,098.32	
Subtotals	46.00000			\$2,158.36	\$11,232.76		\$0.00	(\$9,074.39)	
GANNETT CO INC DEL CUSIP: 364730101	100.00000	09/29/2004	02/10/2014	2,757.09	8,515.50		0.00	-5,758.41	
	100.00000	04/20/2005	02/10/2014	2,757.09	7,793.50		0.00	-5,036.41	
	100.00000	03/27/2006	02/10/2014	2,757.10	5,958.00		0.00	-3,200.90	
	75.00000	09/26/2007	02/10/2014	2,067.83	3,394.65		0.00	-1,326.82	
Subtotals	375.00000			\$10,339.11	\$26,661.65		\$0.00	(\$15,322.54)	
GENERAL ELECTRIC COMPANY CUSIP: 369604103	200.00000	04/11/2008	01/08/2014	5,399.70	6,645.07		0.00	-1,245.37	
MEDIA GENERAL INC CL A CUSIP: 584404107	50.00000	10/23/2007	01/07/2014	1,103.98	1,395.62		0.00	-291.64	
OTTER TAIL CORPORATION CUSIP: 689648103	200.00000	09/18/2008	01/23/2014	5,638.90	7,091.74		0.00	-1,452.84	
WELLS FARGO COMPANY CUSIP: 949746101	25.62500	10/24/2007	02/10/2014	1,146.61	5,946.00		0.00	-4,799.39	
	19.75000	01/04/2008	02/10/2014	883.73	3,644.89		0.00	-2,760.96	
	29.62500	06/24/2008	02/10/2014	1,325.60	2,759.42		0.00	-1,433.82	
Subtotals	75.00000			\$3,355.94	\$12,350.11		\$0.00	(\$8,994.17)	
Totals (8949, Part II with Box E checked)				\$57,427.98	\$80,361.94		\$0.00	(\$22,933.96)	

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Wells Fargo Advisors Account

2014 Purchases

Date	Description	Amount
01/22	200 Agrium Inc	\$18,657.00
01/23	1 Put Tesla Motors \$190 Exp 06/21/14	3,366.04
02/03	115 Celanese Corporation Series A	5,839.04
02/03	200 Moog Inc Cl A	11,634.00
02/05	95 Agrium Inc	8,129.45
02/20	2 Put Facebook Inc \$75 Exp 04/19/14	1,550.98
02/26	1 Put Tesla Motors \$260 Exp 04/19/14	2,189.89
04/08	500 Gigamon Inc	8,934.97
07/21	200 Yum Brands Inc	14,981.98
07/22	2 Put Herbalife Ltd \$65 Exp 08/01/14	731.03
07/24	200 Puma Biotechnology Inc	42,130.50
07/30	1 Put Puma Biotechnology \$240 Exp 09/05/14	3,291.04
09/10	1 Put Puma Biotechnology \$275 Exp 12/20/14	4,651.04
11/12	200 Murphy Oil Corp	10,655.00
12/01	100 Murphy Oil Corp	4,952.00
12/15	150 Marathon Petroleum Corp	<u>12,331.50</u>
		<u>\$154,025.46</u>

Wells Fargo SEP IRA

2014 Transactions

Date	Description	Amount
<u>Purchases</u>		
01/29	300 I Shares Silver Trust	\$5,751.53
09/09	200 Carlyle Group LP Partnership Int	6,415.00
09/11	300 I Shares Silver Trust	5,478.00
09/19	200 Powershares DB ETF Agriculture Fund	5,140.98
10/13	375 American Realty Capital Properties Inc	4,418.25
10/28	200 Powershares DB ETF Agriculture Fund	5,204.00
12/05	275 Linn Energy LLC Units	4,672.50
12/09	100 Linn Energy LLC Units	1,402.00
12/15	125 Linn Energy LLC Units	1,475.75
12/30	109.417 AMF Large Cap Equity Fund reinvestment	1,199.21
12/31	51.003 Aston Funds Growth Fd reinvestment	<u>1,301.60</u>
		42,458.82
<u>Sales</u>		
01/29	3,000 First Data Corp Co GTD Callable 11.25% Due 03/31/16	\$3,000.00
02/18	300 I Shares Silver Trust	6,141.15
10/14	200 Powershares DB ETF Agriculture Fund	5,247.88
10/21	375 American Realty Capital Properties Inc	<u>4,501.69</u>
		<u>\$18,890.72</u>

USB Financial Services IRA

2014 Transactions

Date	Description	Amount
<u>Purchases</u>		
04/02	109.383 Templeton Global Total Return Class A	\$1,460.26
04/23	312.335 Neuberger Berman ABS Ret Fund	3,432.56
04/23	329.105 Goldman Sachs Multi-Manager Alts Fund	3,432.56
04/29	102.412 Prudential Total Return Bond Fund Class A	1,461.42
08/21	120.821 Columbia Select Large Cap Growth Z Fd	2,260.56
08/21	73.735 O'Shaughnessy Enhanced Dividend Fd	1,003.53
08/21	12.924 T Rowe Price Mid Cap Growth Fd	1,003.52
08/25	92 First Tr Europe Alphadex ETF DE	2,948.22
08/25	35 First Trust Mid Cap Core Alphadex Fd	<u>1,845.64</u>
		<u>\$18,848.27</u>
 <u>Sales</u>		
03/31	112.167 Templeton Global Bond Adv	\$1,459.29
04/21	66.998 Diamond Hill Long Short Fund	1,534.92
04/21	45.941 Gateway fund Class Y	1,330.45
04/21	72.035 Mainstay Marketfield Fund Class I	1,293.75
04/21	80.543 Merger Fund SBI	1,295.94
04/29	109.383 Templeton Global Total Return Class A	1,461.36
08/21	31.009 Wells Fargo Growth Fd	1,736.81
08/25	10 SPDR S&P 600 Small Cap ETF	1,025.58
08/25	15 SPDR S&P Midcap 400 ETF TR	3,883.73
08/25	54 Vanguard FTSE Europe ETF DE VSP	<u>3,088.66</u>
		<u>\$18,110.49</u>