



Filing ID #10029063

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Ted Lieu  
**Status:** Congressional Candidate  
**State/District:** CA33

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2014  
**Filing Date:** 08/13/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
American High-Income Trust-529A [5P] LOCATION: CA		\$1,001 - \$15,000	Interest	\$1 - \$200	\$201 - \$1,000
Capital World Growth and Income-529A [5P] LOCATION: CA		\$1,001 - \$15,000	Interest	\$1 - \$200	\$201 - \$1,000
Condominium for rent [RP] LOCATION: Fort Myers, FL, US		\$100,001 - \$250,000	Rent	\$1,001 - \$2,500	\$5,001 - \$15,000
Growth Fund of America-529A [5P] LOCATION: CA		\$1,001 - \$15,000	Capital Gains, Interest	\$1 - \$200	\$201 - \$1,000
Income Fund of America-529A [5P] LOCATION: CA		\$1,001 - \$15,000	Interest	\$1 - \$200	\$201 - \$1,000
Kinecta Account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Kinecta Account for Austin Lieu [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Kinecta Account for Brennan Lieu [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Rothschild SMID Cap Value [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
SD Window [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
SGA Large Cap Growth [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
SSGA S&P 500 Index Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Times Square SMID Cap Growth [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
UBS 401k Plus Plan [MF]		\$1,001 - \$15,000	Capital Gains, Interest	\$1 - \$200	\$1,001 - \$2,500
UBS Diversified Ifn [MF]		\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
UBS Dividend Fixed Income [MF]		\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
UBS International Equity [MF]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
UBS Large Cap Equity [MF]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
UBS Lifecycle 2030 [MF]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
UBS Lifecycle 2040 [MF]		\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
UBS Self-directed Window [MF]		\$1,001 - \$15,000	Interest	None	\$201 - \$1,000
UBS SMID CAP Equity [MF]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$201 - \$1,000
UBS Stable Value [MF]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
US Bank Account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Vanguard Prime Money Market [MF]		\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Vanguard Target Retirement 2030 [MF]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$201 - \$1,000
Wells Fargo Account for Austin Lieu [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Wells Fargo Account for Brennan Lieu [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Wells Fargo PMA Bank Accounts [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Harriton Investment Corp.	Spouse Salary	N/A	N/A
State of California	Salary	\$15,987.00	\$95,921.00
State of California	Per Diem	\$4,657.74	\$28,142.42

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?  
☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or

dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Ted Lieu , 08/13/2019