

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A  
For use by Members, officers, and employees

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LEGISLATIVE RESOURCE CENTER

2009 APR 17 PM 12:10

Lloyd Doggett  
(Full Name)

2022254865  
(Daytime Telephone)

Filer ☒ Member of the U.S. House of Representatives

State: TX  
District: 25

☐ Officer Or  
Employee

Employing Office:

Report Type ☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

**HAND DELIVERED**  
A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule I.		If yes, complete and attach Schedule VI.	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule II.		If yes, complete and attach Schedule VII.	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule III.		If yes, complete and attach Schedule VIII.	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule IV.		If yes, complete and attach Schedule IX.	
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		
If yes, complete and attach Schedule V.			

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Texas ERS	Annuity	\$64,906
The Pew Charitable Trust	Spouse Salary	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source  Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.  Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset  at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income  Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.	BLOCK D Amount of Income  For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.	BLOCK E Transaction  Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
1157 San Bernard St, Austin, TX	\$250,001 - \$500,000	RENT	\$15,001 - \$50,000	
1200 Baylor #401, Austin TX	\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	
3800 Kennelwood Austin, TX	\$250,001 - \$500,000	None	NONE	
6734 Spicewood Springs, Travis Co. TX	\$1,000,001 - \$5,000,000	Rent	\$50,001 - \$100,000	
8.16 acres Lohman's Ford Crossing Road., Travis Co., TX (1/3 interest)	\$15,001 - \$50,000	None	NONE	Gifted 2/3 interest
AIM Basic Balanced Fund	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	P

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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AIM Small Company Growth	\$100,001 - \$250,000	None	NONE
Alcatel Lucent	\$1,001 - \$15,000	None	NONE
American Century Ultra Fund	\$100,001 - \$250,000	DIVIDENDS	\$201 - \$1,000
Anadarko Petroleum	\$15,001 - \$50,000	Dividends	\$1 - \$200
Apache Corp	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
Applied Materials Inc	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
AT&T Corp Com	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
Bank of America Corp	\$1,001 - \$15,000	Dividends	\$1 - \$200
Baxter International	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
Bristol-Myers Squibb Co	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
Burlington Northern Santa Fe Common	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
CBS Corp	\$1,001 - \$15,000	Dividends	\$1 - \$200
CenturyTel (formerly Embarq)	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
Charles Schwab Corp	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Checkpoint Software	\$15,001 - \$50,000	None	NONE	
Chevron Corp Com	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	
Cisco Systems Inc	\$15,001 - \$50,000	None	NONE	
Citigroup Inc	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Coca-Cola Company	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	
Comcast	\$1,001 - \$15,000	None	\$1 - \$200	
Computer Sciences Corp	\$15,001 - \$50,000	None	NONE	
Congressional Federal Credit Union	\$15,001 - \$50,000	Interest	\$201 - \$1,000	
Credit Suisse Cap Apprec Fund	\$100,001 - \$250,000	DIVIDENDS	\$201 - \$1,000	
Dell Computer Corp	\$1,001 - \$15,000	None	NONE	
Dominion	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Exxon Mobil Corp Com	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	
First Energy	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	
Franklin Mutual Shares Fund	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	P

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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General Electric Co Com	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000
Guaranty Bank/Compass Bank	\$100,001 - \$250,000	INTEREST	\$2,501 - \$5,000
Hasan Abu-Issa Note (through Mountain Sates Escrow )	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000
Home Depot Inc Com	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
Honeywell International	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
INSD MUN INCM TR SR 86 Semi-Annual	\$1,001 - \$15,000	Interest	\$1 - \$200
Intel Corp Com	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
International Business Machines	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
International Paper Co.	\$1,001 - \$15,000	Dividends	\$1 - \$200
J P Morgan Chase & Co Com	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
Janus Fund	\$100,001 - \$250,000	DIVIDENDS	\$201 - \$1,000
Janus Twenty Fund	\$15,001 - \$50,000	None	NONE
Jensen Portfolio Fund	\$15,001 - \$50,000	Dividends	\$1 - \$200
Johnson & Johnson	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Luminex Corp Com New	\$15,001 - \$50,000	None	NONE
Merck & Co Inc	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
Mineral Interest, McFarland Tract, Ward Co., TX	\$1,001 - \$15,000	Royalty	\$1 - \$200
Nokia Corp Sponsored ADR	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000
Nuveen Inter Duration Muni Bond Fund	\$1,001 - \$15,000	Dividends	\$1 - \$200
Nuveen Multi-Manager	\$15,001 - \$50,000	Dividends/Gains	\$201 - \$1,000
Nuveen Muni Adv Fund	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
Oakmark International Fund	\$250,001 - \$500,000	Dividends/Gains	\$2,501 - \$5,000
One Earth Bank Holdings (preoperating funds)	None	None	NONE
Oppenheimer Strategic	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000
Oracle Corp Com	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000
Perkins Mid Cap Value Fund (formerly Janus Perkins)	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
Pfizer Inc	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
PPG Industries Inc	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Price Science and Technology	\$100,001 - \$250,000	None	NONE	
Proctor & Gamble Co	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	
Prosperity Bank	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	
Schwab Muni Money Fund	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	S, P
Selected American Shares	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	
SprintNextel Corp	\$1,001 - \$15,000	None	NONE	
Supertex Inc	\$15,001 - \$50,000	None	NONE	
Texas Instruments Inc	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
TIAA-CREF Retirement - Global Equities	\$50,001 - \$100,000	None	NONE	
TIAA-CREF Retirement - Real Estate	\$50,001 - \$100,000	None	NONE	
TIAA-CREF Retirement - Stock	\$15,001 - \$50,000	None	NONE	
Time Warner Inc Com	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Union Pacific Corp	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Vanguard Convertible Securities	\$500,001 - \$1,000,000	DIVIDENDS	\$15,001 - \$50,000	P



# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Vanguard Growth Index Fund Admiral	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	
Vanguard Int. Term Tax-Exempt Fund Admiral	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	
Vanguard Long-Term Corp Admiral	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	
Vanguard Ltd. Term Tax-Exempt Fund Admiral	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	P
Vanguard PRIMECAP Fund Admiral	\$500,001 - \$1,000,000	Dividends/Gains	\$2,501 - \$5,000	
Vanguard Selected Value Fund	\$250,001 - \$500,000	Dividends/Gains	\$5,001 - \$15,000	P
Vanguard Short Term Bond Index	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	P
Vanguard Small-Cap Index Fund Inv	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	
Vanguard Tax Exempt MM	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	P, S
Vanguard Total Stock Market	\$250,001 - \$500,000	Dividends/Gains	\$1,001 - \$2,500	
Vanguard U.S. Growth Fund Admiral	\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000	
Vanguard Wellesley Income fund	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Vanguard Windsor II Fund	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	
Verizon Communications Com	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Virtus Quality Large CAP (formerly Virtus Value Opportunity Fund)	\$250,001 - \$500,000	Dividends/Gains	\$2,501 - \$5,000	P
Waste Management Inc	None	Dividends	\$201 - \$1,000	Gifted
Weitz Value	\$250,001 - \$500,000	DIVIDENDS	\$201 - \$1,000	
Wells Fargo (formerly Wachovia)	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Western Union	\$1,001 - \$15,000	Dividends	\$1 - \$200	
Whole Foods Mkt Inc	\$250,001 - \$500,000	None	NONE	
Xerox Corporation	\$1,001 - \$15,000	None	\$1 - \$200	

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	AIM Basic Balanced Fund	P	N/A	Dividend Reinvestment	\$1,001 - \$15,000
	Franklin Mutual Shares Fund	P	N/A	Periodic Reinvestment	\$1,001 - \$15,000
	Schwab Muni Money Fund	P	N/A	3-11-10	\$1,001 - \$15,000
	Schwab Muni Money Fund	S	No	1-5-10	\$50,001 - \$100,000
	Schwab Muni Money Fund	S	No	12-7-10	\$1,001 - \$15,000
	Schwab Muni Money Fund	P	N/A	1-2-10	\$1,001 - \$15,000
	Schwab Muni Money Fund	P	N/A	2-18-10	\$1,001 - \$15,000
	Schwab Muni Money Fund	P	N/A	4-2-10	\$1,001 - \$15,000
	Schwab Muni Money Fund	S	No	11-7-10	\$1,001 - \$15,000
	Schwab Muni Money Fund	S	No	12-22-10	\$1,001 - \$15,000
	Schwab Muni Money Fund	P	N/A	7-2-10	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Schwab Muni Money Fund	P	N/A	8-18-10	\$1,001 - \$15,000
	Schwab Muni Money Fund	P	N/A	9-11-10	\$1,001 - \$15,000
	Schwab Muni Money Fund	P	N/A	10-2-10	\$1,001 - \$15,000
	Schwab Muni Money Fund	P	N/A	5-18-10	\$1,001 - \$15,000
	Schwab Muni Money Fund	P	N/A	12-22-10	\$1,001 - \$15,000
	Schwab Muni Money Fund	P	N/A	6-11-10	\$1,001 - \$15,000
	Schwab Muni Money Fund	P	N/A	2-3-10	\$1,001 - \$15,000
	Schwab Muni Money Fund	S	No	11-5-10	\$15,001 - \$50,000
	Vanguard Convertible Securities	P	N/A	Periodic Reinvestment	\$1,001 - \$15,000
	Vanguard Ltd. Term Tax - Exempt Fund Admiral	P	N/A	Periodic Reinvestment	\$1,001 - \$15,000
	Vanguard Selected Value	P	N/A	Periodic Reinvestment	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Vanguard Short Term Bond Index	P	N/A	Periodic Reinvestment	\$1,001 - \$15,000
	Vanguard Tax Exempt MM	P	N/A	Periodic Reinvestment	\$50,001 - \$100,000
	Vanguard Tax Exempt MM	S	No	1-26-09	\$15,001 - \$50,000
	Virtus Value Opportunity Fund	P	N/A	Dividend Reinvestment	\$1,001 - \$15,000

**SCHEDULE V - LIABILITIES**

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Liberty Bank, Austin	Letter of Credit on 6734 Spicewood Springs	\$250,001 - \$500,000

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Congressional Program of the Aspen Institute	April 4-15	US - Spain - US	Y	Y	Y	5 days
The Congressional Program of the Aspen Institute	May 22 - June 1	US - Croatia - US	Y	Y	Y	4 days