



Filing ID #10028978

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Raúl M. Grijalva  
**Status:** Member  
**State/District:** AZ03

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2017  
**Filing Date:** 08/8/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3426 9th Ave [RP]		\$50,001 - \$100,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Tucson, AZ, US					
AMERICAN CAP WRLD GR INC F2 (WGIFX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
ARIZONA STATE RETIREMENT SYSTEM [PE]		Undetermined	Tax-Deferred		<input type="checkbox"/>
CITY OF TUCSON SUPPLEMENTAL RETIREMENT SYSTEM [PE]	SP	Undetermined	Tax-Deferred		<input type="checkbox"/>
CLEARBRIDGE ALL CAP VALUE I (SFVYX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
CLEARBRIDGE APPRECIATION I (SAPYX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
CONGRESS MID CAP GROWTH INSTL (IMIDX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
FIRST TRUST VALU.LN DIV IDX (FVD) [EF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
first trust water etf (fiw) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
GUGGENHEIM SP GLOBAL WATER IN (CGW) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
INVESCO DIVERSIFIED DIVIDEND Y (LCEYX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
MFS MA INVESTORS GW STK I (MGTIX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
MORGAN STANLEY BANK NA [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
OPPENHEIMER GLOBAL Y (OGLYX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
PIMCO EMERGING MARKETS BD P (PEMPX) [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
PIONEER BOND [MF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
PIONEER MLTI AST ULT SHT INC Y (MYFRX) [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
PRINCIPAL SM MDCP DIV INC (PMDIX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
PRUDENTIAL JENNISON GLB OPP Z (PRJZX) [MF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM [PE]		Undetermined	Tax-Deferred		<input type="checkbox"/>
SPDR SP 500 ETF TRUST (SPY) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SPDR SP HOMEBUILDERS (XHB) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VANGUARD MIDCAP GROWTH [EF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO SPECIAL MDCP VL I (WFMIX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
first trust water etf (fiw) [EF]	SP	04/4/2017	P	\$1,001 - \$15,000	
first trust water etf (fiw) [EF]	SP	05/18/2017	P	\$1,001 - \$15,000	
GUGGENHEIM SP GLOBAL WATER IN (CGW) [EF]	SP	09/1/2017	P	\$1,001 - \$15,000	
OPPENHEIMER GLOBAL Y (OGLYX) [MF]	SP	08/23/2017	P	\$1,001 - \$15,000	
PIMCO EMERGING MARKETS BD P (PEMPX) [MF]	SP	02/14/2017	P	\$1,001 - \$15,000	
PIONEER BOND [MF]	SP	01/23/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
PRINCIPAL SM MDCP DIV INC (PMDIX) [MF]	SP	04/4/2017	P	\$1,001 - \$15,000	
PRINCIPAL SM MDCP DIV INC (PMDIX) [MF]	SP	08/23/2017	P	\$1,001 - \$15,000	
PRUDENTIAL JENNISON GLB OPP Z (PRJZX) [MF]	SP	11/6/2017	P	\$1,001 - \$15,000	

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
CITY OF TUCSON SUPPLEMENTAL RETIREMENT SYSTEM	SPOUSE PENSION	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	WELLS FARGO BANK	2008	MORTGAGE ON 220 13TH ST SE #4, WASHINGTON DC 20003	\$250,001 - \$500,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
ADVISORY COUNCIL MEMBER (UNCOMPENSATED)	CONGRESSIONAL HISPANIC CAUCUS INSTITUTE
BOARD MEMBER (UNCOMPENSATED)	PROGRESSIVE CONGRESS

**SCHEDULE F: AGREEMENTS**

Date	Parties To	Terms of Agreement
December 1999	RAUL GRIJALVA, ARIZONA STATE RETIREMENT SYSTEM	RETIREMENT PLAN (NOT SELF DIRECTED)
February 2002	RAUL GRIJALVA, PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM	ELECTED OFFICIALS RETIREMENT ACCOUNT (NOT SELF DIRECTED)

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
PROGRESSIVE CAUCUS CENTER	02/3/2017	02/5/2017	DC - BALTIMORE - DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

**EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

**CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Raúl M. Grijalva , 08/8/2019