

# PERIODIC TRANSACTION REPORT

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#### FILER INFORMATION

Name: Hon. Thomas MacArthur

Status: Member State/District: NJ03

#### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	JT	Adecco SA Unsponsored ADR (AHEXY) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De		07/8/2016 ur	08/1/2016	\$15,001 - \$50,000
	JT	Adecco SA Unsponsored ADR (AHEXY) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De		07/13/2016 ur	08/1/2016	\$1,001 - \$15,000
	JT	Adecco SA Unsponsored ADR (AHEXY) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De		07/21/2016 ur	08/1/2016	\$1,001 - \$15,000
	JT	adidas AG Sponsored ADR (ADDYY)  FILING STATUS: New  SUBHOLDING OF: Thomas C MacArthur and De	S eborah A MacArth	07/5/2016 ur	08/1/2016	\$15,001 - \$50,000
	JT	AMERIGAS PARTNER B/E 05.875% 082026  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De Description: Corp bond	<b>P</b> eborah A MacArth	07/6/2016 ur	08/1/2016	\$1,001 - \$15,000
	JT	AMERIGAS PARTNER B/E 05.875% 082026 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De Description: Corp bond	<b>P</b> eborah A MacArth	<b>07/22/2016</b> ur	08/1/2016	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	JT	ANGEL OAK MULTI-STRATEGY INCOME FUND CLASS INSTL  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De LOCATION: US DESCRIPTION: Mutual fund	S eborah A MacArth	<b>07/25/2016</b> ur	08/1/2016	\$15,001 - \$50,000
	JT	Astellas Pharma Inc Unsponsored ADR (ALPMY)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De		07/26/2016 ur	08/1/2016	\$15,001 - \$50,000
	JT	Astellas Pharma Inc Unsponsored ADR (ALPMY) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De		07/27/2016 ur	08/1/2016	\$1,001 - \$15,000
	JT	BLACKROCK HIGH YIELD BOND I  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De Location: US DESCRIPTION: Mutual fund	<b>P</b> eborah A MacArth	<b>07/1/2016</b> ur	08/1/2016	\$1,001 - \$15,000
	JT	BLACKROCK HIGH YIELD BOND I  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De Location: US DESCRIPTION: Mutual fund	S eborah A MacArth	<b>07/25/2016</b> ur	08/1/2016	\$15,001 - \$50,000
	JT	CHATHAMS NJ S/D FSA B/E . /R/ 4.25 071522  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Ded Description: Called muni bond		07/15/2016 ur	08/1/2016	\$15,001 - \$50,000
	JT	CSC HLDGS INC NTS 06.750% 111521 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De Description: Corp bond	<b>P</b> eborah A MacArth	<b>07/27/2016</b> ur	08/1/2016	\$15,001 - \$50,000
	JT	DCP Midstream Partners, LP DCP Midstream Partnership, LP Common Units (DPM) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De	P eborah A MacArth	07/20/2016 ur	08/1/2016	\$50,001 - \$100,000

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	JT	DOUBLE LINE TOTAL RETURN FUND INSTL  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De LOCATION: US DESCRIPTION: Mutual fund		07/25/2016 ur	08/1/2016	\$15,001 - \$50,000
	JT	FEDERATED FLOATING RATE STRATEGIC INCOME FD CL  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De Location: US DESCRIPTION: Mutual fund	S borah A MacArthi	07/25/2016 ur	08/1/2016	\$15,001 - \$50,000
	JT	IHS Markit Ltd Common Shares (INFO)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De Description: Received for shares of Markit Lt		<b>07/13/2016</b> ur	08/1/2016	\$15,001 - \$50,000
	JT	INTL LEASE FIN CORP NTS 08.250% 121520  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De Description: Corp bond	S borah A MacArth	07/28/2016 ur	08/1/2016	\$1,001 - \$15,000
	JT	iShares Core MSCI Emerging Markets ETF (IEMG) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De	S eborah A MacArth	07/25/2016 ur	08/1/2016	\$100,001 - \$250,000
	JT	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De	S borah A MacArth	<b>07/25/2016</b> ur	08/1/2016	\$15,001 - \$50,000
	JT	iShares Russell 1000 Growth ETF (IWF) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De		07/25/2016 ur	08/1/2016	\$100,001 - \$250,000
	JT	iShares Russell 1000 Growth ETF (IWF) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De		07/12/2016 ur	08/1/2016	\$1,001 - \$15,000
	JT	iShares Russell 1000 Value ETF (IWD)	P	07/12/2016	08/1/2016	\$1,001 - \$15,000

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		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur					
	JT	iShares Russell 1000 Value ETF (IWD) FILING STATUS: New	S	07/25/2016	08/1/2016	\$100,001 - \$250,000	
		Subholding Of: Thomas C MacArthur and De	eborah A MacArth	ur			
	JT	iShares US Preferred Stock ETF (PFF)	S	07/25/2016	08/1/2016	\$15,001 - \$50,000	
		FILING STATUS: New Subholding Of: Thomas C MacArthur and De	borah A MacArth	ur			
	JT	Markit Ltd Common Shares (MRKT)	E	07/13/2016	08/1/2016	\$15,001 - \$50,000	
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De DESCRIPTION: Exchanged for shares of IHS Ma		ur			
	JT	MARKWEST ENERGY NTS B/E 05.500% 021523	S	07/6/2016	08/1/2016	\$1,001 - \$15,000	
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De	eborah A MacArthi	ıır			
		Description: Corp bond					
	JT	MARKWEST ENERGY NTS B/E 05.500% 021523	S	07/8/2016	08/1/2016	\$15,001 - \$50,000	
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De DESCRIPTION: Corp bond	eborah A MacArth	ur			
	JT	NextEra Energy Partners, LP Common Units representing limited partner interests (NEP)	P	07/20/2016	08/1/2016	\$15,001 - \$50,000	
		FILING STATUS: New Subholding Of: Thomas C MacArthur and De	eborah A MacArth	ur			
	JT	NUVEEN HIGH YIELD MUNICIPAL BOND FUND I	S	07/25/2016	08/1/2016	\$15,001 - \$50,000	
		FILING STATUS: New Subholding Of: Thomas C MacArthur and De	eborah A MacArth	ur			
		Location: US Description: Mutual fund					
	JT	ONEOK, Inc. (OKE)	S	07/20/2016	08/1/2016	\$50,001 - \$100,000	
		FILING STATUS: New Subholding Of: Thomas C MacArthur and De	eborah A MacArth	ur			
	JT	RICE ENERGY INC NTS B/E 06.250%	P	07/22/2016	08/1/2016	\$1,001 - \$15,000	

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		O50122 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De DESCRIPTION: Corp bond	borah A MacArth	ur		
	JT	SPRINT CAPITAL CORP 06.900% 050119  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De Description: Corp bond	P borah A MacArth	07/15/2016 ur	08/1/2016	\$1,001 - \$15,000
	JT	THE MEN'S WEARHOUSE INC 07.000% 070122  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De Description: Corp bond	P borah A MacArthi	<b>07/19/2016</b> ur	08/1/2016	\$1,001 - \$15,000
	JT	Vanguard FTSE Developed Markets ETF (VEA)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De		07/25/2016 ur	08/1/2016	\$250,001 - \$500,000
	JT	Vanguard Mid-Cap Growth ETF - DNQ (VOT)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De		<b>07/25/2016</b> ur	08/1/2016	\$50,001 - \$100,000
	JT	Vanguard Mid-Cap Value ETF - DNQ (VOE)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De	S borah A MacArth	07/25/2016 ur	08/1/2016	\$50,001 - \$100,000
	JT	Vanguard REIT ETF - DNQ (VNQ) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De	S borah A MacArth	07/25/2016 ur	08/1/2016	\$1,001 - \$15,000
	JT	Vanguard Small-Cap ETF - DNQ (VB) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and De	S borah A MacArth	07/25/2016 ur	08/1/2016	\$50,001 - \$100,000

## ASSET CLASS DETAILS

• Thomas C MacArthur and Deborah A MacArthur (Owner: JT) LOCATION: US

### INITIAL PUBLIC OFFERINGS

C Yes No

## **C**ERTIFICATION AND **S**IGNATURE

 $\overline{\mathbb{M}}$  I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

 $\textbf{Digitally Signed:} \ Hon. \ Thomas \ MacArthur\,, o 8/6/2016$